

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5899.50	6755.20	USD	42,785.00	
12JUL17	S	2	PD120CT17	5903.50	6755.20	USD	-42,585.00	
12JUL17	S	2	PD120CT17	5895.00	6755.20	USD	-43,010.00	
12JUL17	S	3	PD120CT17	5898.00	6755.20	USD	-64,290.00	
12JUL17	B	2	PD120CT17	5905.00	6755.20	USD	42,510.00	
12JUL17	B	3	PD120CT17	5910.00	6755.20	USD	63,390.00	
12JUL17	B	2	PD120CT17	5913.00	6755.20	USD	42,110.00	
12JUL17	B	3	PD120CT17	5923.50	6755.20	USD	62,377.50	
12JUL17	B	5	PD120CT17	5919.00	6755.20	USD	104,525.00	
12JUL17	B	2	PD120CT17	5919.50	6755.20	USD	41,785.00	
12JUL17	B	3	PD120CT17	5920.50	6755.20	USD	62,602.50	
20JUL17	S	10	PD120CT17	5958.00	6755.20	USD	-199,300.00	
09AUG17	S	5	PD120CT17	6446.87	6755.20	USD	-38,541.25	
	NET	0 AVG BGHT 5915.05	SOLD 6050.24				74,358.75	0.00
13JUL17	S	2	PD130CT17	5877.00	6756.00	USD	-43,950.00	
13JUL17	S	2	PD130CT17	5886.00	6756.00	USD	-43,500.00	
13JUL17	S	1	PD130CT17	5887.00	6756.00	USD	-21,725.00	
13JUL17	S	7	PD130CT17	5878.50	6756.00	USD	-153,562.50	
13JUL17	S	3	PD130CT17	5878.00	6756.00	USD	-65,850.00	
14JUL17	S	2	PD130CT17	5872.00	6756.00	USD	-44,200.00	
14JUL17	S	3	PD130CT17	5876.00	6756.00	USD	-66,000.00	
14JUL17	S	2	PD130CT17	5879.50	6756.00	USD	-43,825.00	
14JUL17	S	8	PD130CT17	5880.00	6756.00	USD	-175,200.00	
14JUL17	S	2	PD130CT17	5881.00	6756.00	USD	-43,750.00	
14JUL17	S	10	PD130CT17	5882.50	6756.00	USD	-218,375.00	
14JUL17	B	3	PD130CT17	5879.00	6756.00	USD	65,775.00	
14JUL17	B	2	PD130CT17	5881.50	6756.00	USD	43,725.00	
14JUL17	B	3	PD130CT17	5882.00	6756.00	USD	65,550.00	
14JUL17	B	2	PD130CT17	5884.00	6756.00	USD	43,600.00	
14JUL17	B	2	PD130CT17	5881.00	6756.00	USD	43,750.00	
14JUL17	B	5	PD130CT17	5900.00	6756.00	USD	107,000.00	
14JUL17	S	2	PD130CT17	5884.50	6756.00	USD	-43,575.00	
14JUL17	S	3	PD130CT17	5886.00	6756.00	USD	-65,250.00	
14JUL17	B	2	PD130CT17	5915.50	6756.00	USD	42,025.00	
14JUL17	B	3	PD130CT17	5914.50	6756.00	USD	63,112.50	
20JUL17	B	25	PD130CT17	5958.62	6756.00	USD	498,362.50	
	NET	0 AVG BGHT 5928.00	SOLD 5880.46				-55,862.50	0.00
17JUL17	B	2	PD170CT17	5960.00	6759.20	USD	39,960.00	
17JUL17	S	2	PD170CT17	5984.00	6759.20	USD	-38,760.00	
17JUL17	B	5	PD170CT17	5994.50	6759.20	USD	95,587.50	
17JUL17	B	5	PD170CT17	5997.00	6759.20	USD	95,275.00	
09AUG17	S	10	PD170CT17	6448.75	6759.20	USD	-77,612.50	
	NET	0 AVG BGHT 5989.79	SOLD 6371.29				114,450.00	0.00
18JUL17	S	3	PD180CT17	5987.00	6760.00	USD	-57,975.00	
18JUL17	S	2	PD180CT17	5993.00	6760.00	USD	-38,350.00	
18JUL17	B	2	PD180CT17	6002.00	6760.00	USD	37,900.00	
18JUL17	B	1	PD180CT17	6007.00	6760.00	USD	18,825.00	
18JUL17	B	2	PD180CT17	6004.00	6760.00	USD	37,800.00	
18JUL17	B	7	PD180CT17	6004.50	6760.00	USD	132,212.50	
18JUL17	B	3	PD180CT17	6005.00	6760.00	USD	56,625.00	
18JUL17	B	5	PD180CT17	6007.50	6760.00	USD	94,062.50	
09AUG17	S	15	PD180CT17	6449.00	6760.00	USD	-116,625.00	
	NET	0 AVG BGHT 6005.15	SOLD 6334.10				164,475.00	0.00
20JUL17	S	2	PD200CT17	5976.00	6761.75	USD	-39,287.50	
20JUL17	S	3	PD200CT17	5976.50	6761.75	USD	-58,893.75	
20JUL17	B	1	PD200CT17	5979.00	6761.75	USD	19,568.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5980.00	6761.75	USD	19,543.75	
20JUL17	B	1	PD200CT17	5981.00	6761.75	USD	19,518.75	
20JUL17	B	2	PD200CT17	5989.50	6761.75	USD	38,612.50	
20JUL17	B	3	PD200CT17	5975.00	6761.75	USD	59,006.25	
20JUL17	B	2	PD200CT17	5977.00	6761.75	USD	39,237.50	
09AUG17	S	5	PD200CT17	6447.00	6761.75	USD	-39,343.75	
	NET	0AVG BGHT	5979.80	SOLD	6211.65		57,962.50	0.00
25JUL17	S	1	PD250CT17	6092.00	6765.68	USD	-16,842.00	
25JUL17	S	1	PD250CT17	6093.00	6765.68	USD	-16,817.00	
25JUL17	S	2	PD250CT17	6182.00	6765.68	USD	-29,184.00	
25JUL17	B	2	PD250CT17	6124.50	6765.68	USD	32,059.00	
25JUL17	B	2	PD250CT17	6181.50	6765.68	USD	29,209.00	
25JUL17	B	2	PD250CT17	6258.50	6765.68	USD	25,359.00	
25JUL17	B	1	PD250CT17	6254.00	6765.68	USD	12,792.00	
25JUL17	B	1	PD250CT17	6260.00	6765.68	USD	12,642.00	
25JUL17	B	1	PD250CT17	6272.00	6765.68	USD	12,342.00	
09AUG17	S	5	PD250CT17	6449.14	6765.68	USD	-39,567.50	
	NET	0AVG BGHT	6212.78	SOLD	6310.52		21,992.50	0.00
26JUL17	S	3	PD260CT17	6328.00	6766.11	USD	-32,858.25	
26JUL17	S	2	PD260CT17	6332.00	6766.11	USD	-21,705.50	
26JUL17	S	3	PD260CT17	6354.00	6766.11	USD	-30,908.25	
26JUL17	S	2	PD260CT17	6379.50	6766.11	USD	-19,330.50	
26JUL17	S	3	PD260CT17	6381.50	6766.11	USD	-28,845.75	
26JUL17	S	2	PD260CT17	6356.00	6766.11	USD	-20,505.50	
26JUL17	B	2	PD260CT17	6277.50	6766.11	USD	24,430.50	
26JUL17	B	3	PD260CT17	6265.50	6766.11	USD	37,545.75	
26JUL17	B	2	PD260CT17	6275.00	6766.11	USD	24,555.50	
26JUL17	B	1	PD260CT17	6277.00	6766.11	USD	12,227.75	
26JUL17	B	6	PD260CT17	6276.00	6766.11	USD	73,516.50	
26JUL17	B	1	PD260CT17	6276.50	6766.11	USD	12,240.25	
26JUL17	B	3	PD260CT17	6272.00	6766.11	USD	37,058.25	
26JUL17	B	2	PD260CT17	6274.00	6766.11	USD	24,605.50	
26JUL17	S	2	PD260CT17	6321.50	6766.11	USD	-22,230.50	
26JUL17	S	3	PD260CT17	6327.00	6766.11	USD	-32,933.25	
	NET	0AVG BGHT	6273.75	SOLD	6347.48		36,862.50	0.00
27JUL17	B	2	PD270CT17	6351.00	6766.54	USD	20,777.00	
27JUL17	B	1	PD270CT17	6352.00	6766.54	USD	10,363.50	
27JUL17	B	1	PD270CT17	6350.00	6766.54	USD	10,413.50	
27JUL17	B	1	PD270CT17	6356.00	6766.54	USD	10,263.50	
27JUL17	S	3	PD270CT17	6335.00	6766.54	USD	-32,365.50	
27JUL17	B	3	PD270CT17	6337.00	6766.54	USD	32,215.50	
28JUL17	S	2	PD270CT17	6284.00	6766.54	USD	-24,127.00	
28JUL17	S	1	PD270CT17	6285.50	6766.54	USD	-12,026.00	
28JUL17	S	1	PD270CT17	6286.50	6766.54	USD	-12,001.00	
28JUL17	S	1	PD270CT17	6291.00	6766.54	USD	-11,888.50	
28JUL17	S	2	PD270CT17	6319.00	6766.54	USD	-22,377.00	
28JUL17	S	1	PD270CT17	6319.50	6766.54	USD	-11,176.00	
28JUL17	S	1	PD270CT17	6321.50	6766.54	USD	-11,126.00	
28JUL17	S	1	PD270CT17	6324.50	6766.54	USD	-11,051.00	
09AUG17	B	5	PD270CT17	6450.20	6766.54	USD	39,542.50	
	NET	0AVG BGHT	6386.31	SOLD	6310.73		-24,562.50	0.00
31JUL17	S	3	PD310CT17	6380.00	6768.25	USD	-29,118.75	
31JUL17	S	2	PD310CT17	6376.00	6768.25	USD	-19,612.50	
31JUL17	S	3	PD310CT17	6377.00	6768.25	USD	-29,343.75	
31JUL17	S	4	PD310CT17	6374.00	6768.25	USD	-39,425.00	
31JUL17	S	2	PD310CT17	6364.00	6768.25	USD	-20,212.50	

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31JUL17	S	3	PD31OCT17	6366.00	6768.25	USD	-30,168.75	
31JUL17	S	3	PD31OCT17	6370.00	6768.25	USD	-29,868.75	
31JUL17	S	3	PD31OCT17	6369.00	6768.25	USD	-29,943.75	
31JUL17	S	2	PD31OCT17	6371.00	6768.25	USD	-19,862.50	
31JUL17	S	2	PD31OCT17	6373.50	6768.25	USD	-19,737.50	
31JUL17	S	2	PD31OCT17	6373.00	6768.25	USD	-19,762.50	
31JUL17	S	1	PD31OCT17	6376.50	6768.25	USD	-9,793.75	
09AUG17	B	30	PD31OCT17	6451.74	6768.25	USD	237,382.50	
	NET	0AVG BGHT 6451.74	SOLD 6372.45				-59,467.50	0.00
01AUG17	B	2	PD01NOV17	6378.00	6769.18	USD	19,559.00	
01AUG17	B	3	PD01NOV17	6380.00	6769.18	USD	29,188.50	
01AUG17	S	2	PD01NOV17	6354.00	6769.18	USD	-20,759.00	
01AUG17	S	3	PD01NOV17	6356.00	6769.18	USD	-30,988.50	
01AUG17	B	2	PD01NOV17	6348.00	6769.18	USD	21,059.00	
01AUG17	B	1	PD01NOV17	6346.00	6769.18	USD	10,579.50	
01AUG17	B	2	PD01NOV17	6336.00	6769.18	USD	21,659.00	
01AUG17	S	2	PD01NOV17	6335.00	6769.18	USD	-21,709.00	
01AUG17	S	3	PD01NOV17	6335.50	6769.18	USD	-32,526.00	
01AUG17	S	3	PD01NOV17	6341.00	6769.18	USD	-32,113.50	
01AUG17	S	2	PD01NOV17	6343.00	6769.18	USD	-21,309.00	
09AUG17	B	5	PD01NOV17	6452.12	6769.18	USD	39,632.50	
	NET	0AVG BGHT 6391.37	SOLD 6344.10				-17,727.50	0.00
02AUG17	S	1	PD02NOV17	6326.00	6770.10	USD	-11,102.50	
02AUG17	S	3	PD02NOV17	6326.50	6770.10	USD	-33,270.00	
02AUG17	S	1	PD02NOV17	6327.00	6770.10	USD	-11,077.50	
02AUG17	B	3	PD02NOV17	6330.50	6770.10	USD	32,970.00	
02AUG17	B	2	PD02NOV17	6331.50	6770.10	USD	21,930.00	
02AUG17	B	2	PD02NOV17	6336.00	6770.10	USD	21,705.00	
02AUG17	B	1	PD02NOV17	6337.00	6770.10	USD	10,827.50	
02AUG17	B	2	PD02NOV17	6338.00	6770.10	USD	21,605.00	
02AUG17	B	3	PD02NOV17	6335.00	6770.10	USD	32,632.50	
02AUG17	B	2	PD02NOV17	6336.50	6770.10	USD	21,680.00	
09AUG17	S	10	PD02NOV17	6452.31	6770.10	USD	-79,447.50	
	NET	0AVG BGHT 6334.50	SOLD 6410.37				28,452.50	0.00
03AUG17	S	2	PD03NOV17	6306.00	6771.03	USD	-23,251.50	
03AUG17	S	1	PD03NOV17	6308.00	6771.03	USD	-11,575.75	
03AUG17	S	1	PD03NOV17	6314.50	6771.03	USD	-11,413.25	
03AUG17	S	2	PD03NOV17	6315.00	6771.03	USD	-22,801.50	
03AUG17	S	2	PD03NOV17	6317.50	6771.03	USD	-22,676.50	
03AUG17	S	2	PD03NOV17	6318.50	6771.03	USD	-22,626.50	
03AUG17	S	2	PD03NOV17	6352.50	6771.03	USD	-20,926.50	
03AUG17	S	1	PD03NOV17	6353.00	6771.03	USD	-10,450.75	
03AUG17	S	1	PD03NOV17	6355.50	6771.03	USD	-10,388.25	
03AUG17	S	1	PD03NOV17	6349.00	6771.03	USD	-10,550.75	
04AUG17	B	1	PD03NOV17	6342.50	6771.03	USD	10,713.25	
04AUG17	B	1	PD03NOV17	6344.50	6771.03	USD	10,663.25	
04AUG17	B	2	PD03NOV17	6349.00	6771.03	USD	21,101.50	
04AUG17	B	2	PD03NOV17	6360.00	6771.03	USD	20,551.50	
04AUG17	B	1	PD03NOV17	6365.00	6771.03	USD	10,150.75	
04AUG17	B	3	PD03NOV17	6371.00	6771.03	USD	30,002.25	
04AUG17	B	1	PD03NOV17	6373.00	6771.03	USD	9,950.75	
04AUG17	B	2	PD03NOV17	6380.00	6771.03	USD	19,551.50	
04AUG17	B	1	PD03NOV17	6368.00	6771.03	USD	10,075.75	
04AUG17	B	1	PD03NOV17	6353.00	6771.03	USD	10,450.75	
04AUG17	S	2	PD03NOV17	6326.00	6771.03	USD	-22,251.50	
04AUG17	S	3	PD03NOV17	6326.50	6771.03	USD	-33,339.75	

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09AUG17	B	5	PD03NOV17	6452.89	6771.03	USD	39,767.50	0.00
	NET	0 AVG BGHT 6385.07	SOLD 6326.53				-29,273.75	
07AUG17	S	2	PD07NOV17	6349.50	6774.73	USD	-21,261.50	0.00
07AUG17	S	3	PD07NOV17	6352.00	6774.73	USD	-31,704.75	
07AUG17	B	1	PD07NOV17	6379.00	6774.73	USD	9,893.25	
07AUG17	B	1	PD07NOV17	6382.50	6774.73	USD	9,805.75	
07AUG17	B	1	PD07NOV17	6383.50	6774.73	USD	9,780.75	
07AUG17	B	2	PD07NOV17	6385.50	6774.73	USD	19,461.50	
07AUG17	B	5	PD07NOV17	6386.00	6774.73	USD	48,591.25	
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	1	PD07NOV17	6435.00	6774.73	USD	8,493.25	
07AUG17	B	3	PD07NOV17	6438.00	6774.73	USD	25,254.75	
07AUG17	S	3	PD07NOV17	6410.00	6774.73	USD	-27,354.75	
07AUG17	S	1	PD07NOV17	6411.50	6774.73	USD	-9,080.75	
07AUG17	S	1	PD07NOV17	6412.00	6774.73	USD	-9,068.25	
07AUG17	S	1	PD07NOV17	6412.50	6774.73	USD	-9,055.75	
07AUG17	S	2	PD07NOV17	6413.00	6774.73	USD	-18,086.50	
07AUG17	S	1	PD07NOV17	6414.00	6774.73	USD	-9,018.25	
07AUG17	S	1	PD07NOV17	6414.50	6774.73	USD	-9,005.75	
07AUG17	S	2	PD07NOV17	6415.00	6774.73	USD	-17,986.50	
07AUG17	S	1	PD07NOV17	6415.50	6774.73	USD	-8,980.75	
07AUG17	S	2	PD07NOV17	6416.00	6774.73	USD	-17,936.50	
09AUG17	B	5	PD07NOV17	6454.43	6774.73	USD	40,037.50	
	NET	0 AVG BGHT 6414.76	SOLD 6397.65				-8,553.75	
08AUG17	B	1	PD08NOV17	6398.00	6775.65	USD	9,441.25	0.00
08AUG17	B	1	PD08NOV17	6405.00	6775.65	USD	9,266.25	
08AUG17	B	2	PD08NOV17	6406.50	6775.65	USD	18,457.50	
08AUG17	B	1	PD08NOV17	6402.00	6775.65	USD	9,341.25	
08AUG17	S	2	PD08NOV17	6398.00	6775.65	USD	-18,882.50	
08AUG17	S	1	PD08NOV17	6407.00	6775.65	USD	-9,216.25	
08AUG17	S	1	PD08NOV17	6406.50	6775.65	USD	-9,228.75	
08AUG17	S	1	PD08NOV17	6411.00	6775.65	USD	-9,116.25	
08AUG17	B	1	PD08NOV17	6436.00	6775.65	USD	8,491.25	
08AUG17	B	1	PD08NOV17	6438.50	6775.65	USD	8,428.75	
08AUG17	B	2	PD08NOV17	6440.50	6775.65	USD	16,757.50	
08AUG17	B	5	PD08NOV17	6443.00	6775.65	USD	41,581.25	
08AUG17	B	1	PD08NOV17	6467.50	6775.65	USD	7,703.75	
08AUG17	B	1	PD08NOV17	6479.50	6775.65	USD	7,403.75	
08AUG17	B	2	PD08NOV17	6483.50	6775.65	USD	14,607.50	
08AUG17	B	1	PD08NOV17	6481.00	6775.65	USD	7,366.25	
08AUG17	B	2	PD08NOV17	6485.00	6775.65	USD	14,532.50	
08AUG17	B	2	PD08NOV17	6480.00	6775.65	USD	14,782.50	
08AUG17	B	1	PD08NOV17	6482.00	6775.65	USD	7,341.25	
08AUG17	B	1	PD08NOV17	6479.00	6775.65	USD	7,416.25	
	NET	+20 AVG BGHT 6450.98	SOLD 6404.10				156,475.00	
09AUG17	B	2	PD09NOV17	6502.00	6776.58	USD	13,729.00	0.00
09AUG17	B	1	PD09NOV17	6502.50	6776.58	USD	6,852.00	
09AUG17	B	1	PD09NOV17	6503.50	6776.58	USD	6,827.00	
09AUG17	B	1	PD09NOV17	6491.00	6776.58	USD	7,139.50	
	NET	+5 AVG BGHT 6500.20					34,547.50	
11AUG17	S	4	PD10NOV17	6363.00	6777.50	USD	-41,450.00	
11AUG17	S	1	PD10NOV17	6366.00	6777.50	USD	-10,287.50	
11AUG17	B	1	PD10NOV17	6395.50	6777.50	USD	9,550.00	
11AUG17	S	1	PD10NOV17	6396.00	6777.50	USD	-9,537.50	
11AUG17	S	2	PD10NOV17	6423.00	6777.50	USD	-17,725.00	
11AUG17	S	1	PD10NOV17	6418.00	6777.50	USD	-8,987.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	2	PD10NOV17	6415.50	6777.50	USD	-18,100.00	
11AUG17	S	2	PD10NOV17	6415.00	6777.50	USD	-18,125.00	
11AUG17	S	3	PD10NOV17	6416.00	6777.50	USD	-27,112.50	
	NET	-15 AVG BGHT 6395.50	SOLD 6399.19				-141,775.00	0.00
14AUG17	S	2	PD14NOV17	6423.50	6776.83	USD	-17,666.50	
14AUG17	S	1	PD14NOV17	6427.00	6776.83	USD	-8,745.75	
14AUG17	S	2	PD14NOV17	6428.00	6776.83	USD	-17,441.50	
14AUG17	B	5	PD14NOV17	6406.00	6776.83	USD	46,353.75	
14AUG17	B	2	PD14NOV17	6402.00	6776.83	USD	18,741.50	
14AUG17	B	1	PD14NOV17	6404.00	6776.83	USD	9,320.75	
14AUG17	S	1	PD14NOV17	6408.00	6776.83	USD	-9,220.75	
14AUG17	B	2	PD14NOV17	6407.00	6776.83	USD	18,491.50	
14AUG17	B	1	PD14NOV17	6407.50	6776.83	USD	9,233.25	
	NET	+5 AVG BGHT 6405.41	SOLD 6423.00				49,066.25	0.00
16AUG17	S	1	PD16NOV17	6377.00	6776.25	USD	-9,981.25	
16AUG17	S	4	PD16NOV17	6378.00	6776.25	USD	-39,825.00	
16AUG17	S	2	PD16NOV17	6378.50	6776.25	USD	-19,887.50	
16AUG17	S	3	PD16NOV17	6380.00	6776.25	USD	-29,718.75	
16AUG17	B	1	PD16NOV17	6467.50	6776.25	USD	7,718.75	
16AUG17	B	2	PD16NOV17	6469.00	6776.25	USD	15,362.50	
16AUG17	B	1	PD16NOV17	6468.00	6776.25	USD	7,706.25	
16AUG17	B	1	PD16NOV17	6467.00	6776.25	USD	7,731.25	
16AUG17	B	3	PD16NOV17	6491.00	6776.25	USD	21,393.75	
16AUG17	B	2	PD16NOV17	6494.00	6776.25	USD	14,112.50	
16AUG17	B	2	PD16NOV17	6484.00	6776.25	USD	14,612.50	
16AUG17	B	1	PD16NOV17	6486.00	6776.25	USD	7,256.25	
16AUG17	B	1	PD16NOV17	6487.00	6776.25	USD	7,231.25	
16AUG17	B	5	PD16NOV17	6490.00	6776.25	USD	35,781.25	
16AUG17	B	2	PD16NOV17	6489.00	6776.25	USD	14,362.50	
16AUG17	S	1	PD16NOV17	6489.00	6776.25	USD	-7,181.25	
16AUG17	B	2	PD16NOV17	6526.00	6776.25	USD	12,512.50	
16AUG17	B	3	PD16NOV17	6527.00	6776.25	USD	18,693.75	
	NET	+15 AVG BGHT 6492.44	SOLD 6388.64				77,881.25	0.00
17AUG17	S	2	PD17NOV17	6490.50	6776.78	USD	-14,314.00	
17AUG17	S	1	PD17NOV17	6490.00	6776.78	USD	-7,169.50	
17AUG17	S	1	PD17NOV17	6491.50	6776.78	USD	-7,132.00	
17AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
18AUG17	B	2	PD17NOV17	6469.00	6776.78	USD	15,389.00	
18AUG17	B	1	PD17NOV17	6470.50	6776.78	USD	7,657.00	
18AUG17	S	2	PD17NOV17	6490.00	6776.78	USD	-14,339.00	
18AUG17	S	2	PD17NOV17	6492.00	6776.78	USD	-14,239.00	
18AUG17	S	2	PD17NOV17	6466.00	6776.78	USD	-15,539.00	
18AUG17	S	1	PD17NOV17	6470.00	6776.78	USD	-7,669.50	
18AUG17	S	6	PD17NOV17	6491.00	6776.78	USD	-42,867.00	
18AUG17	S	3	PD17NOV17	6500.00	6776.78	USD	-20,758.50	
18AUG17	S	2	PD17NOV17	6503.00	6776.78	USD	-13,689.00	
18AUG17	S	2	PD17NOV17	6507.00	6776.78	USD	-13,489.00	
18AUG17	S	3	PD17NOV17	6511.00	6776.78	USD	-19,933.50	
18AUG17	B	2	PD17NOV17	6489.50	6776.78	USD	14,364.00	
18AUG17	B	1	PD17NOV17	6501.00	6776.78	USD	6,894.50	
18AUG17	B	1	PD17NOV17	6505.00	6776.78	USD	6,794.50	
18AUG17	B	1	PD17NOV17	6507.50	6776.78	USD	6,732.00	
18AUG17	B	2	PD17NOV17	6512.00	6776.78	USD	13,239.00	
18AUG17	B	2	PD17NOV17	6528.00	6776.78	USD	12,439.00	
18AUG17	B	1	PD17NOV17	6511.50	6776.78	USD	6,632.00	
18AUG17	B	3	PD17NOV17	6460.00	6776.78	USD	23,758.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	B 2 PD17NOV17	6461.00	6776.78	USD	15,789.00	
	NET -10 AVG BGHT 6488.58 SOLD 6493.55				-68,570.00	0.00
21AUG17	B 3 PD21NOV17	6540.00	6778.92	USD	17,919.00	
21AUG17	B 2 PD21NOV17	6565.00	6778.92	USD	10,696.00	
21AUG17	B 1 PD21NOV17	6613.00	6778.92	USD	4,148.00	
21AUG17	B 2 PD21NOV17	6615.00	6778.92	USD	8,196.00	
21AUG17	B 1 PD21NOV17	6592.00	6778.92	USD	4,673.00	
21AUG17	B 1 PD21NOV17	6621.00	6778.92	USD	3,948.00	
	NET +10 AVG BGHT 6580.60				49,580.00	0.00
22AUG17	B 2 PD22NOV17	6635.00	6779.45	USD	7,222.50	
22AUG17	B 1 PD22NOV17	6592.00	6779.45	USD	4,686.25	
22AUG17	B 1 PD22NOV17	6618.00	6779.45	USD	4,036.25	
22AUG17	B 1 PD22NOV17	6604.00	6779.45	USD	4,386.25	
22AUG17	B 5 PD22NOV17	6574.00	6779.45	USD	25,681.25	
	NET +10 AVG BGHT 6595.40				46,012.50	0.00
23AUG17	B 2 PD24NOV17	6542.00	6780.52	USD	11,926.00	
23AUG17	S 2 PD24NOV17	6557.50	6780.52	USD	-11,151.00	
25AUG17	S 2 PD24NOV17	6721.50	6780.52	USD	-2,951.00	
25AUG17	S 2 PD24NOV17	6722.00	6780.52	USD	-2,926.00	
25AUG17	S 1 PD24NOV17	6724.00	6780.52	USD	-1,413.00	
	NET -5 AVG BGHT 6542.00 SOLD 6675.14				-6,515.00	0.00
29AUG17	S 1 PD29NOV17	6769.00	6783.18	USD	-354.50	
29AUG17	S 2 PD29NOV17	6766.50	6783.18	USD	-834.00	
29AUG17	S 2 PD29NOV17	6770.50	6783.18	USD	-634.00	
29AUG17	S 1 PD29NOV17	6771.00	6783.18	USD	-304.50	
29AUG17	S 1 PD29NOV17	6771.50	6783.18	USD	-292.00	
29AUG17	S 1 PD29NOV17	6775.00	6783.18	USD	-204.50	
29AUG17	S 7 PD29NOV17	6785.00	6783.18	USD	318.50	
	NET -15 AVG SOLD 6777.03				-2,305.00	0.00
01SEP17	S 1 PD01DEC17	6835.00	6784.25	USD	1,268.75	
01SEP17	S 1 PD01DEC17	6835.50	6784.25	USD	1,281.25	
01SEP17	S 1 PD01DEC17	6837.00	6784.25	USD	1,318.75	
01SEP17	S 1 PD01DEC17	6837.50	6784.25	USD	1,331.25	
01SEP17	S 1 PD01DEC17	6838.00	6784.25	USD	1,343.75	
	NET -5 AVG SOLD 6836.60				6,543.75	0.00
04SEP17	S 3 PD04DEC17	6882.50	6785.75	USD	7,256.25	
04SEP17	S 2 PD04DEC17	6888.00	6785.75	USD	5,112.50	
04SEP17	S 2 PD04DEC17	6906.00	6785.75	USD	6,012.50	
04SEP17	S 3 PD04DEC17	6908.50	6785.75	USD	9,206.25	
	NET -10 AVG SOLD 6896.10				27,587.50	0.00
05SEP17	B 1 PD05DEC17	6953.00	6786.25	USD	-4,168.75	
05SEP17	B 1 PD05DEC17	6956.00	6786.25	USD	-4,243.75	
05SEP17	B 1 PD05DEC17	6957.00	6786.25	USD	-4,268.75	
05SEP17	B 5 PD05DEC17	6958.00	6786.25	USD	-21,468.75	
05SEP17	B 1 PD05DEC17	6960.00	6786.25	USD	-4,343.75	
05SEP17	B 1 PD05DEC17	6952.00	6786.25	USD	-4,143.75	
05SEP17	S 3 PD05DEC17	6847.00	6786.25	USD	4,556.25	
05SEP17	S 2 PD05DEC17	6860.50	6786.25	USD	3,712.50	
	NET +5 AVG BGHT 6956.80 SOLD 6852.40				-34,368.75	0.00
06SEP17	S 5 PD06DEC17	6884.50	6786.75	USD	12,218.75	
06SEP17	S 5 PD06DEC17	6886.50	6786.75	USD	12,468.75	
	NET -10 AVG SOLD 6885.50				24,687.50	0.00
08SEP17	B 3 PD08DEC17	6827.00	6787.71	USD	-2,946.75	
08SEP17	B 2 PD08DEC17	6828.00	6787.71	USD	-2,014.50	
08SEP17	B 2 PD08DEC17	6789.00	6787.71	USD	-64.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	6794.50	6787.71	USD	339.50	
08SEP17	B	2	PD08DEC17	6760.00	6787.71	USD	1,385.50	
08SEP17	B	2	PD08DEC17	6765.00	6787.71	USD	1,135.50	
08SEP17	B	1	PD08DEC17	6768.00	6787.71	USD	492.75	
	NET	+10 AVG BGHT 6794.42	SOLD 6794.50				-1,672.50	0.00
11SEP17	B	2	PD11DEC17	6700.00	6789.15	USD	4,457.50	
11SEP17	B	1	PD11DEC17	6707.00	6789.15	USD	2,053.75	
11SEP17	B	2	PD11DEC17	6719.50	6789.15	USD	3,482.50	
11SEP17	B	1	PD11DEC17	6721.00	6789.15	USD	1,703.75	
11SEP17	B	1	PD11DEC17	6734.00	6789.15	USD	1,378.75	
11SEP17	B	2	PD11DEC17	6714.00	6789.15	USD	3,757.50	
11SEP17	B	1	PD11DEC17	6735.00	6789.15	USD	1,353.75	
	NET	+10 AVG BGHT 6716.40					18,187.50	0.00
12SEP17	B	1	PD12DEC17	6710.00	6789.63	USD	1,990.75	
12SEP17	B	1	PD12DEC17	6712.00	6789.63	USD	1,940.75	
12SEP17	B	1	PD12DEC17	6733.50	6789.63	USD	1,403.25	
12SEP17	B	2	PD12DEC17	6735.00	6789.63	USD	2,731.50	
12SEP17	B	1	PD12DEC17	6738.00	6789.63	USD	1,290.75	
12SEP17	B	1	PD12DEC17	6740.00	6789.63	USD	1,240.75	
12SEP17	B	3	PD12DEC17	6652.00	6789.63	USD	10,322.25	
12SEP17	B	2	PD12DEC17	6663.50	6789.63	USD	6,306.50	
12SEP17	B	3	PD12DEC17	6715.50	6789.63	USD	5,559.75	
12SEP17	B	2	PD12DEC17	6645.00	6789.63	USD	7,231.50	
12SEP17	B	1	PD12DEC17	6649.00	6789.63	USD	3,515.75	
12SEP17	B	2	PD12DEC17	6648.50	6789.63	USD	7,056.50	
	NET	+20 AVG BGHT 6688.45					50,590.00	0.00
13SEP17	B	2	PD13DEC17	6567.00	6790.10	USD	11,155.00	
13SEP17	B	3	PD13DEC17	6568.00	6790.10	USD	16,657.50	
	NET	+5 AVG BGHT 6567.60					27,812.50	0.00
18SEP17	S	5	PD18DEC17	6550.00	6792.50	USD	-30,312.50	
18SEP17	S	2	PD18DEC17	6552.00	6792.50	USD	-12,025.00	
18SEP17	S	1	PD18DEC17	6553.00	6792.50	USD	-5,987.50	
18SEP17	S	1	PD18DEC17	6554.00	6792.50	USD	-5,962.50	
18SEP17	S	1	PD18DEC17	6554.50	6792.50	USD	-5,950.00	
18SEP17	S	2	PD18DEC17	6537.00	6792.50	USD	-12,775.00	
18SEP17	S	3	PD18DEC17	6538.00	6792.50	USD	-19,087.50	
18SEP17	S	2	PD18DEC17	6510.50	6792.50	USD	-14,100.00	
18SEP17	S	1	PD18DEC17	6514.50	6792.50	USD	-6,950.00	
18SEP17	S	1	PD18DEC17	6516.00	6792.50	USD	-6,912.50	
18SEP17	S	1	PD18DEC17	6515.00	6792.50	USD	-6,937.50	
	NET	-20 AVG SOLD 6538.50					-127,000.00	0.00
19SEP17	S	5	PD19DEC17	6540.00	6792.50	USD	-31,562.50	
19SEP17	S	3	PD19DEC17	6528.00	6792.50	USD	-19,837.50	
19SEP17	S	1	PD19DEC17	6534.00	6792.50	USD	-6,462.50	
19SEP17	S	1	PD19DEC17	6536.00	6792.50	USD	-6,412.50	
19SEP17	B	5	PD19DEC17	6513.00	6792.50	USD	34,937.50	
19SEP17	B	2	PD19DEC17	6540.50	6792.50	USD	12,600.00	
19SEP17	B	1	PD19DEC17	6536.50	6792.50	USD	6,400.00	
19SEP17	B	1	PD19DEC17	6534.00	6792.50	USD	6,462.50	
19SEP17	B	1	PD19DEC17	6533.00	6792.50	USD	6,487.50	
19SEP17	B	2	PD19DEC17	6529.00	6792.50	USD	13,175.00	
19SEP17	B	1	PD19DEC17	6529.50	6792.50	USD	6,575.00	
19SEP17	B	2	PD19DEC17	6530.00	6792.50	USD	13,125.00	
	NET	+5 AVG BGHT 6526.47	SOLD 6535.40				35,487.50	0.00
21SEP17	S	1	PD21DEC17	6454.00	6791.50	USD	-8,437.50	
21SEP17	S	1	PD21DEC17	6456.00	6791.50	USD	-8,387.50	

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21SEP17	S	2	PD21DEC17	6457.00	6791.50	USD	-16,725.00	
21SEP17	S	1	PD21DEC17	6458.50	6791.50	USD	-8,325.00	
21SEP17	S	1	PD21DEC17	6462.50	6791.50	USD	-8,225.00	
21SEP17	S	1	PD21DEC17	6463.00	6791.50	USD	-8,212.50	
21SEP17	S	1	PD21DEC17	6463.50	6791.50	USD	-8,200.00	
21SEP17	S	1	PD21DEC17	6464.00	6791.50	USD	-8,187.50	
21SEP17	S	1	PD21DEC17	6464.50	6791.50	USD	-8,175.00	
21SEP17	B	2	PD21DEC17	6472.00	6791.50	USD	15,975.00	
21SEP17	B	1	PD21DEC17	6478.00	6791.50	USD	7,837.50	
21SEP17	B	2	PD21DEC17	6473.00	6791.50	USD	15,925.00	
	NET	-5 AVG BGHT 6473.60	SOLD 6460.00				-43,137.50	0.00
22SEP17	B	2	PD22DEC17	6415.50	6790.50	USD	18,750.00	
22SEP17	S	2	PD22DEC17	6420.00	6790.50	USD	-18,525.00	
22SEP17	B	5	PD22DEC17	6448.00	6790.50	USD	42,812.50	
	NET	+5 AVG BGHT 6438.71	SOLD 6420.00				43,037.50	0.00
25SEP17	S	2	PD27DEC17	6453.00	6793.00	USD	-17,000.00	
25SEP17	S	1	PD27DEC17	6450.00	6793.00	USD	-8,575.00	
25SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
26SEP17	S	2	PD27DEC17	6519.50	6793.00	USD	-13,675.00	
26SEP17	S	2	PD27DEC17	6521.00	6793.00	USD	-13,600.00	
26SEP17	S	1	PD27DEC17	6523.50	6793.00	USD	-6,737.50	
26SEP17	S	1	PD27DEC17	6410.50	6793.00	USD	-9,562.50	
26SEP17	S	1	PD27DEC17	6440.50	6793.00	USD	-8,812.50	
26SEP17	S	1	PD27DEC17	6442.00	6793.00	USD	-8,775.00	
26SEP17	S	2	PD27DEC17	6443.00	6793.00	USD	-17,500.00	
27SEP17	S	1	PD27DEC17	6440.00	6793.00	USD	-8,825.00	
27SEP17	S	3	PD27DEC17	6441.00	6793.00	USD	-26,400.00	
27SEP17	S	1	PD27DEC17	6443.50	6793.00	USD	-8,737.50	
27SEP17	B	5	PD27DEC17	6465.00	6793.00	USD	41,000.00	
27SEP17	B	5	PD27DEC17	6471.50	6793.00	USD	40,187.50	
	NET	-10 AVG BGHT 6468.25	SOLD 6462.45				-84,087.50	0.00
28SEP17	B	2	PD28DEC17	6513.00	6793.47	USD	14,023.50	
28SEP17	S	2	PD28DEC17	6511.00	6793.47	USD	-14,123.50	
28SEP17	B	2	PD28DEC17	6533.00	6793.47	USD	13,023.50	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
28SEP17	B	1	PD28DEC17	6530.00	6793.47	USD	6,586.75	
28SEP17	B	1	PD28DEC17	6531.00	6793.47	USD	6,561.75	
28SEP17	B	1	PD28DEC17	6565.00	6793.47	USD	5,711.75	
28SEP17	B	1	PD28DEC17	6567.00	6793.47	USD	5,661.75	
28SEP17	B	2	PD28DEC17	6567.50	6793.47	USD	11,298.50	
28SEP17	B	1	PD28DEC17	6559.00	6793.47	USD	5,861.75	
	NET	+10 AVG BGHT 6542.00	SOLD 6511.00				61,317.50	0.00
29SEP17	B	2	PD29DEC17	6528.00	6793.93	USD	13,296.50	
29SEP17	B	1	PD29DEC17	6530.00	6793.93	USD	6,598.25	
29SEP17	B	2	PD29DEC17	6532.00	6793.93	USD	13,096.50	
29SEP17	S	1	PD29DEC17	6532.00	6793.93	USD	-6,548.25	
29SEP17	S	2	PD29DEC17	6532.50	6793.93	USD	-13,071.50	
29SEP17	S	2	PD29DEC17	6534.00	6793.93	USD	-12,996.50	
	NET	0 AVG BGHT 6530.00	SOLD 6533.00				375.00	0.00
02OCT17	B	1	PD02JAN18	6529.50	6795.80	USD	6,657.50	
02OCT17	B	2	PD02JAN18	6531.00	6795.80	USD	13,240.00	
02OCT17	B	1	PD02JAN18	6510.00	6795.80	USD	7,145.00	
02OCT17	B	1	PD02JAN18	6519.00	6795.80	USD	6,920.00	
02OCT17	B	1	PD02JAN18	6519.50	6795.80	USD	6,907.50	
02OCT17	S	1	PD02JAN18	6529.00	6795.80	USD	-6,670.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

11th October 2017

Sapien Capital Limited
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	+5 AVG BGHT 6523.33	SOLD 6529.00				34,200.00	0.00
030CT17	S	5	PD03JAN18	6456.00	6796.27	USD	-42,533.75	
030CT17	S	1	PD03JAN18	6466.00	6796.27	USD	-8,256.75	
030CT17	S	2	PD03JAN18	6467.00	6796.27	USD	-16,463.50	
030CT17	S	2	PD03JAN18	6467.50	6796.27	USD	-16,438.50	
	NET	-10 AVG SOLD 6461.50					-83,692.50	0.00
040CT17	B	2	PD04JAN18	6524.00	6796.73	USD	13,636.50	
040CT17	S	2	PD04JAN18	6523.50	6796.73	USD	-13,661.50	
040CT17	S	1	PD04JAN18	6513.50	6796.73	USD	-7,080.75	
040CT17	S	1	PD04JAN18	6514.50	6796.73	USD	-7,055.75	
040CT17	S	3	PD04JAN18	6515.50	6796.73	USD	-21,092.25	
	NET	-5 AVG BGHT 6524.00	SOLD 6517.36				-35,253.75	0.00
050CT17	B	1	PD05JAN18	6642.50	6797.20	USD	3,867.50	
050CT17	B	3	PD05JAN18	6641.50	6797.20	USD	11,677.50	
050CT17	B	1	PD05JAN18	6643.00	6797.20	USD	3,855.00	
050CT17	B	1	PD05JAN18	6689.50	6797.20	USD	2,692.50	
050CT17	B	2	PD05JAN18	6690.00	6797.20	USD	5,360.00	
050CT17	B	2	PD05JAN18	6691.00	6797.20	USD	5,310.00	
050CT17	B	2	PD05JAN18	6683.00	6797.20	USD	5,710.00	
050CT17	B	1	PD05JAN18	6682.00	6797.20	USD	2,880.00	
050CT17	B	2	PD05JAN18	6684.00	6797.20	USD	5,660.00	
	NET	+15 AVG BGHT 6671.83					47,012.50	0.00
090CT17	S	5	PD09JAN18	6677.00	6799.07	USD	-15,258.75	
090CT17	S	1	PD09JAN18	6665.00	6799.07	USD	-3,351.75	
090CT17	S	1	PD09JAN18	6666.50	6799.07	USD	-3,314.25	
090CT17	S	2	PD09JAN18	6676.00	6799.07	USD	-6,153.50	
090CT17	S	1	PD09JAN18	6665.50	6799.07	USD	-3,339.25	
090CT17	S	1	PD09JAN18	6671.00	6799.07	USD	-3,201.75	
090CT17	S	1	PD09JAN18	6657.50	6799.07	USD	-3,539.25	
090CT17	S	1	PD09JAN18	6670.00	6799.07	USD	-3,226.75	
090CT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
090CT17	S	1	PD09JAN18	6661.50	6799.07	USD	-3,439.25	
090CT17	S	2	PD09JAN18	6662.00	6799.07	USD	-6,853.50	
090CT17	S	2	PD09JAN18	6663.50	6799.07	USD	-6,778.50	
090CT17	S	1	PD09JAN18	6667.00	6799.07	USD	-3,301.75	
090CT17	S	5	PD09JAN18	6675.50	6799.07	USD	-15,446.25	
	NET	-25 AVG SOLD 6669.80					-80,793.75	0.00
110CT17	B	2	PD11JAN18	6795.50	6800.00	USD	225.00	
110CT17	B	1	PD11JAN18	6797.00	6800.00	USD	75.00	
110CT17	B	2	PD11JAN18	6798.50	6800.00	USD	75.00	
	NET	+5 AVG BGHT 6797.00					375.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
110CT17	S	5	DEC17	308.750	309.550	USD	-1,000.00	
110CT17	S	4	DEC17	308.900	309.550	USD	-650.00	
110CT17	S	2	DEC17	308.850	309.550	USD	-350.00	
	NET	-11 AVG SOLD 308.823					-2,000.00	0.00
08SEP17	S	5	MAR18	309.600	311.550	USD	-2,437.50	
08SEP17	S	2	MAR18	309.900	311.550	USD	-825.00	
08SEP17	S	2	MAR18	309.800	311.550	USD	-875.00	
08SEP17	S	1	MAR18	309.750	311.550	USD	-450.00	
08SEP17	S	1	MAR18	308.900	311.550	USD	-662.50	
12SEP17	S	2	MAR18	307.300	311.550	USD	-2,125.00	
12SEP17	S	2	MAR18	307.250	311.550	USD	-2,150.00	
12SEP17	S	7	MAR18	307.500	311.550	USD	-7,087.50	
12SEP17	S	10	MAR18	305.000	311.550	USD	-16,375.00	

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12SEP17	CMX COPPER 25,000 CMX					
	NET -32 AVG SOLD 307.427				-32,987.50	0.00
	B 10 MAY18	306.250	312.750	USD	16,250.00	
	NET +10 AVG BGHT 306.250				16,250.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,149,031.25
CMX Copper	FUTURES	2,543,050.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-18,737.50	-18,737.50	-18,737.50	-18,737.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	384,711.25	384,711.25	384,711.25	384,711.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-332,900.20	-332,900.20
- Options	-18,737.50	-18,737.50
	0.00	0.00
TOTAL EQUITY	-351,637.70	-351,637.70
Initial Margins	-104,950.00	-104,950.00
Excess/(Shortage)	-456,587.70	-456,587.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	384,711.25	384,711.25
Net Liquidating Value	33,073.55	33,073.55

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14JUL17	S	1	PD130CT17	5875.50	6756.00	USD	-22,012.50	
14JUL17	S	1	PD130CT17	5882.50	6756.00	USD	-21,837.50	
20JUL17	B	2	PD130CT17	5958.62	6756.00	USD	39,869.00	
	NET	0 AVG BGHT 5958.62	SOLD 5879.00				-3,981.00	0.00
21JUL17	B	1	PD200CT17	6050.00	6761.75	USD	17,793.75	
21JUL17	B	2	PD200CT17	6034.50	6761.75	USD	36,362.50	
02OCT17	S	3	PD200CT17	6462.25	6761.75	USD	-22,462.50	
	NET	0 AVG BGHT 6039.67	SOLD 6462.25				31,693.75	0.00
25JUL17	B	1	PD250CT17	6267.50	6765.68	USD	12,454.50	
25JUL17	B	2	PD250CT17	6266.50	6765.68	USD	24,959.00	
25JUL17	B	1	PD250CT17	6265.50	6765.68	USD	12,504.50	
25JUL17	B	1	PD250CT17	6266.50	6765.68	USD	12,479.50	
02OCT17	S	5	PD250CT17	6465.79	6765.68	USD	-37,486.25	
	NET	0 AVG BGHT 6266.50	SOLD 6465.79				24,911.25	0.00
26JUL17	S	1	PD260CT17	6340.50	6766.11	USD	-10,640.25	
26JUL17	S	1	PD260CT17	6344.00	6766.11	USD	-10,552.75	
26JUL17	S	1	PD260CT17	6378.00	6766.11	USD	-9,702.75	
26JUL17	S	1	PD260CT17	6381.00	6766.11	USD	-9,627.75	
26JUL17	S	1	PD260CT17	6396.50	6766.11	USD	-9,240.25	
26JUL17	B	3	PD260CT17	6275.00	6766.11	USD	36,833.25	
26JUL17	B	1	PD260CT17	6274.00	6766.11	USD	12,302.75	
26JUL17	B	1	PD260CT17	6273.00	6766.11	USD	12,327.75	
26JUL17	S	1	PD260CT17	6331.00	6766.11	USD	-10,877.75	
26JUL17	S	1	PD260CT17	6341.00	6766.11	USD	-10,627.75	
26JUL17	S	1	PD260CT17	6342.00	6766.11	USD	-10,602.75	
26JUL17	S	1	PD260CT17	6324.50	6766.11	USD	-11,040.25	
26JUL17	S	1	PD260CT17	6323.50	6766.11	USD	-11,065.25	
09AUG17	B	5	PD260CT17	6449.77	6766.11	USD	39,542.50	
	NET	0 AVG BGHT 6362.09	SOLD 6350.20				-2,971.25	0.00
27JUL17	S	1	PD270CT17	6355.00	6766.54	USD	-10,288.50	
27JUL17	B	1	PD270CT17	6317.00	6766.54	USD	11,238.50	
	NET	0 AVG BGHT 6317.00	SOLD 6355.00				950.00	0.00
31JUL17	S	2	PD310CT17	6343.00	6768.25	USD	-21,262.50	
31JUL17	S	3	PD310CT17	6344.00	6768.25	USD	-31,818.75	
09AUG17	B	5	PD310CT17	6451.74	6768.25	USD	39,563.75	
	NET	0 AVG BGHT 6451.74	SOLD 6343.60				-13,517.50	0.00
02AUG17	S	1	PD02NOV17	6331.00	6770.10	USD	-10,977.50	
02AUG17	S	1	PD02NOV17	6327.50	6770.10	USD	-11,065.00	
02AUG17	S	1	PD02NOV17	6313.00	6770.10	USD	-11,427.50	
02AUG17	S	2	PD02NOV17	6314.50	6770.10	USD	-22,780.00	
02AUG17	S	1	PD02NOV17	6335.50	6770.10	USD	-10,865.00	
02AUG17	S	1	PD02NOV17	6340.50	6770.10	USD	-10,740.00	
02AUG17	S	1	PD02NOV17	6341.00	6770.10	USD	-10,727.50	
02AUG17	S	2	PD02NOV17	6341.50	6770.10	USD	-21,430.00	
02AUG17	B	5	PD02NOV17	6331.50	6770.10	USD	54,825.00	
02AUG17	B	1	PD02NOV17	6364.50	6770.10	USD	10,140.00	
09AUG17	B	4	PD02NOV17	6452.51	6770.10	USD	31,759.00	
	NET	0 AVG BGHT 6383.20	SOLD 6330.05				-13,288.50	0.00
03AUG17	S	1	PD03NOV17	6314.00	6771.03	USD	-11,425.75	
03AUG17	S	1	PD03NOV17	6316.50	6771.03	USD	-11,363.25	
03AUG17	S	2	PD03NOV17	6318.50	6771.03	USD	-22,626.50	
03AUG17	S	1	PD03NOV17	6319.50	6771.03	USD	-11,288.25	
04AUG17	S	1	PD03NOV17	6321.50	6771.03	USD	-11,238.25	
04AUG17	S	1	PD03NOV17	6327.00	6771.03	USD	-11,100.75	
04AUG17	S	2	PD03NOV17	6339.50	6771.03	USD	-21,576.50	

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04AUG17	S	2	PD03NOV17	6338.00	6771.03	USD	-21,651.50	
09AUG17	B	11	PD03NOV17	6452.89	6771.03	USD	87,488.50	
	NET	0 AVG	BGHT 6452.89				-34,782.25	0.00
07AUG17	S	2	PD07NOV17	6347.50	6774.73	USD	-21,361.50	
07AUG17	S	2	PD07NOV17	6348.50	6774.73	USD	-21,311.50	
07AUG17	S	1	PD07NOV17	6355.50	6774.73	USD	-10,480.75	
07AUG17	B	1	PD07NOV17	6367.00	6774.73	USD	10,193.25	
07AUG17	B	1	PD07NOV17	6364.50	6774.73	USD	10,255.75	
07AUG17	B	1	PD07NOV17	6363.50	6774.73	USD	10,280.75	
07AUG17	B	1	PD07NOV17	6365.50	6774.73	USD	10,230.75	
07AUG17	B	1	PD07NOV17	6356.00	6774.73	USD	10,468.25	
	NET	0 AVG	BGHT 6363.30				-1,725.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6775.65	USD	-9,191.25	
09AUG17	B	1	PD08NOV17	6454.82	6775.65	USD	8,020.75	
	NET	0 AVG	BGHT 6454.82				-1,170.50	0.00
10AUG17	S	1	PD10NOV17	6387.00	6777.50	USD	-9,762.50	
11AUG17	S	1	PD10NOV17	6368.00	6777.50	USD	-10,237.50	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	1	PD10NOV17	6369.50	6777.50	USD	-10,200.00	
11AUG17	S	1	PD10NOV17	6365.50	6777.50	USD	-10,300.00	
11AUG17	S	2	PD10NOV17	6363.50	6777.50	USD	-20,700.00	
11AUG17	S	2	PD10NOV17	6364.50	6777.50	USD	-20,650.00	
11AUG17	S	1	PD10NOV17	6378.00	6777.50	USD	-9,987.50	
11AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	1	PD10NOV17	6431.00	6777.50	USD	-8,662.50	
02OCT17	B	12	PD10NOV17	6473.91	6777.50	USD	91,077.00	
	NET	0 AVG	BGHT 6473.91				-28,785.50	0.00
14AUG17	B	2	PD14NOV17	6397.50	6776.83	USD	18,966.50	
14AUG17	B	1	PD14NOV17	6409.50	6776.83	USD	9,183.25	
14AUG17	B	1	PD14NOV17	6415.00	6776.83	USD	9,045.75	
14AUG17	B	1	PD14NOV17	6400.00	6776.83	USD	9,420.75	
14AUG17	B	2	PD14NOV17	6399.00	6776.83	USD	18,891.50	
14AUG17	B	3	PD14NOV17	6402.00	6776.83	USD	28,112.25	
02OCT17	S	10	PD14NOV17	6475.14	6776.83	USD	-75,422.50	
	NET	0 AVG	BGHT 6402.35				18,197.50	0.00
16AUG17	S	1	PD16NOV17	6364.50	6776.25	USD	-10,293.75	
16AUG17	S	2	PD16NOV17	6369.00	6776.25	USD	-20,362.50	
16AUG17	S	2	PD16NOV17	6367.00	6776.25	USD	-20,462.50	
02OCT17	B	5	PD16NOV17	6475.20	6776.25	USD	37,631.25	
	NET	0 AVG	BGHT 6475.20				-13,487.50	0.00
21AUG17	S	1	PD21NOV17	6521.00	6778.92	USD	-6,448.00	
21AUG17	S	1	PD21NOV17	6508.50	6778.92	USD	-6,760.50	
21AUG17	B	1	PD21NOV17	6608.50	6778.92	USD	4,260.50	
21AUG17	B	8	PD21NOV17	6619.00	6778.92	USD	31,984.00	
21AUG17	B	1	PD21NOV17	6620.00	6778.92	USD	3,973.00	
21AUG17	B	3	PD21NOV17	6591.00	6778.92	USD	14,094.00	
21AUG17	B	2	PD21NOV17	6590.00	6778.92	USD	9,446.00	
21AUG17	B	1	PD21NOV17	6593.00	6778.92	USD	4,648.00	
21AUG17	B	1	PD21NOV17	6592.00	6778.92	USD	4,673.00	
21AUG17	B	1	PD21NOV17	6595.50	6778.92	USD	4,585.50	
21AUG17	B	1	PD21NOV17	6596.50	6778.92	USD	4,560.50	
21AUG17	B	1	PD21NOV17	6597.50	6778.92	USD	4,535.50	
02OCT17	S	18	PD21NOV17	6477.75	6778.92	USD	-135,526.50	
	NET	0 AVG	BGHT 6605.40				-61,975.00	0.00
23AUG17	B	5	PD24NOV17	6550.00	6780.52	USD	28,815.00	

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23AUG17	B	2	PD24NOV17	6551.00	6780.52	USD	11,476.00	
23AUG17	B	3	PD24NOV17	6553.50	6780.52	USD	17,026.50	
23AUG17	B	1	PD24NOV17	6546.50	6780.52	USD	5,850.50	
23AUG17	S	1	PD24NOV17	6549.00	6780.52	USD	-5,788.00	
02OCT17	S	10	PD24NOV17	6479.40	6780.52	USD	-75,280.00	
	NET	0 AVG	BGHT 6550.82	SOLD 6485.73			-17,900.00	0.00
29AUG17	S	1	PD29NOV17	6808.50	6783.18	USD	633.00	
29AUG17	S	1	PD29NOV17	6806.50	6783.18	USD	583.00	
29AUG17	S	3	PD29NOV17	6812.00	6783.18	USD	2,161.50	
02OCT17	B	5	PD29NOV17	6482.35	6783.18	USD	37,603.75	
	NET	0 AVG	BGHT 6482.35	SOLD 6810.20			40,981.25	0.00
30AUG17	B	1	PD30NOV17	6784.00	6783.72	USD	-7.00	
30AUG17	B	1	PD30NOV17	6792.50	6783.72	USD	-219.50	
30AUG17	B	2	PD30NOV17	6786.00	6783.72	USD	-114.00	
30AUG17	B	1	PD30NOV17	6783.50	6783.72	USD	5.50	
31AUG17	S	2	PD30NOV17	6801.00	6783.72	USD	864.00	
31AUG17	S	2	PD30NOV17	6800.00	6783.72	USD	814.00	
31AUG17	S	1	PD30NOV17	6864.50	6783.72	USD	2,019.50	
31AUG17	B	2	PD30NOV17	6841.50	6783.72	USD	-2,889.00	
31AUG17	S	1	PD30NOV17	6810.00	6783.72	USD	657.00	
31AUG17	S	2	PD30NOV17	6791.50	6783.72	USD	389.00	
31AUG17	S	2	PD30NOV17	6793.50	6783.72	USD	489.00	
31AUG17	S	1	PD30NOV17	6786.50	6783.72	USD	69.50	
31AUG17	S	1	PD30NOV17	6783.50	6783.72	USD	-5.50	
02OCT17	B	5	PD30NOV17	6482.90	6783.72	USD	37,602.50	
	NET	0 AVG	BGHT 6669.13	SOLD 6801.38			39,675.00	0.00
01SEP17	S	1	PD01DEC17	6805.50	6784.25	USD	531.25	
01SEP17	S	1	PD01DEC17	6806.50	6784.25	USD	556.25	
01SEP17	S	1	PD01DEC17	6817.50	6784.25	USD	831.25	
01SEP17	S	1	PD01DEC17	6816.50	6784.25	USD	806.25	
01SEP17	S	1	PD01DEC17	6817.00	6784.25	USD	818.75	
01SEP17	S	1	PD01DEC17	6831.00	6784.25	USD	1,168.75	
02OCT17	B	6	PD01DEC17	6483.45	6784.25	USD	45,120.00	
	NET	0 AVG	BGHT 6483.45	SOLD 6815.67			49,832.50	0.00
06SEP17	S	5	PD06DEC17	6911.00	6786.75	USD	15,531.25	
06SEP17	S	5	PD06DEC17	6908.50	6786.75	USD	15,218.75	
02OCT17	B	10	PD06DEC17	6484.62	6786.75	USD	75,532.50	
	NET	0 AVG	BGHT 6484.62	SOLD 6909.75			106,282.50	0.00
08SEP17	S	5	PD08DEC17	6820.50	6787.71	USD	4,098.75	
08SEP17	S	5	PD08DEC17	6720.00	6787.71	USD	-8,463.75	
02OCT17	B	10	PD08DEC17	6485.45	6787.71	USD	75,565.00	
	NET	0 AVG	BGHT 6485.45	SOLD 6770.25			71,200.00	0.00
15SEP17	S	1	PD15DEC17	6531.00	6791.06	USD	-6,501.50	
15SEP17	S	1	PD15DEC17	6534.00	6791.06	USD	-6,426.50	
15SEP17	S	1	PD15DEC17	6536.50	6791.06	USD	-6,364.00	
15SEP17	S	1	PD15DEC17	6538.50	6791.06	USD	-6,314.00	
15SEP17	S	1	PD15DEC17	6539.50	6791.06	USD	-6,289.00	
02OCT17	B	5	PD15DEC17	6488.37	6791.06	USD	37,836.25	
	NET	0 AVG	BGHT 6488.37	SOLD 6535.90			5,941.25	0.00
18SEP17	S	1	PD18DEC17	6554.50	6792.50	USD	-5,950.00	
18SEP17	S	1	PD18DEC17	6555.50	6792.50	USD	-5,925.00	
18SEP17	S	2	PD18DEC17	6551.00	6792.50	USD	-12,075.00	
18SEP17	S	1	PD18DEC17	6556.50	6792.50	USD	-5,900.00	
18SEP17	S	1	PD18DEC17	6564.50	6792.50	USD	-5,700.00	
18SEP17	S	2	PD18DEC17	6574.00	6792.50	USD	-10,925.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011E

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
18SEP17	S 2 PD18DEC17	6567.00	6792.50	USD	-11,275.00	
18SEP17	S 4 PD18DEC17	6572.50	6792.50	USD	-22,000.00	
18SEP17	S 2 PD18DEC17	6570.50	6792.50	USD	-11,100.00	
02OCT17	B 6 PD18DEC17	6489.62	6792.50	USD	45,432.00	
	NET -10 AVG BGHT 6489.62 SOLD 6565.38				-45,418.00	0.00
09OCT17	B 2 PD09JAN18	6659.00	6799.07	USD	7,003.50	
09OCT17	B 2 PD09JAN18	6658.00	6799.07	USD	7,053.50	
09OCT17	B 3 PD09JAN18	6660.00	6799.07	USD	10,430.25	
09OCT17	B 3 PD09JAN18	6666.00	6799.07	USD	9,980.25	
	NET +10 AVG BGHT 6661.20				34,467.50	0.00
10OCT17	B 2 PD10JAN18	6678.00	6799.53	USD	6,076.50	
10OCT17	B 3 PD10JAN18	6681.50	6799.53	USD	8,852.25	
10OCT17	B 5 PD10JAN18	6697.00	6799.53	USD	12,816.25	
	NET +10 AVG BGHT 6688.55				27,745.00	0.00
11OCT17	B 5 PD11JAN18	6731.50	6800.00	USD	8,562.50	
	NET +5 AVG BGHT 6731.50				8,562.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
10OCT17	S 4 DEC17	303.350	309.550	USD	-6,200.00	
10OCT17	S 7 DEC17	303.500	309.550	USD	-10,587.50	
10OCT17	S 8 DEC17	304.150	309.550	USD	-10,800.00	
10OCT17	S 3 DEC17	304.100	309.550	USD	-4,087.50	
11OCT17	S 1 DEC17	305.800	309.550	USD	-937.50	
11OCT17	S 10 DEC17	305.750	309.550	USD	-9,500.00	
	NET -33 AVG SOLD 304.445				-42,112.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,330,087.00
CMX Copper	FUTURES	2,511,675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-42,112.50	-42,112.50	-42,112.50	-42,112.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	221,438.00	221,438.00	221,438.00	221,438.00

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-170,744.74	-170,744.74
Variation Margins		
- Futures	-42,112.50	-42,112.50
- Options	0.00	0.00
TOTAL EQUITY	-212,857.24	-212,857.24
Initial Margins	-103,950.00	-103,950.00
Excess/(Shortage)	-316,807.24	-316,807.24
FOR INFORMATION ONLY		
Forward Unrealised P&L	221,438.00	221,438.00
Net Liquidating Value	8,580.76	8,580.76

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12JUL17	B	1	PD120CT17	5910.50	6755.20	USD	21,117.50	
12JUL17	B	2	PD120CT17	5913.00	6755.20	USD	42,110.00	
12JUL17	B	2	PD120CT17	5911.00	6755.20	USD	42,210.00	
09AUG17	S	5	PD120CT17	6446.87	6755.20	USD	-38,541.25	
	NET	0 AVG	BGHT 5911.70				66,896.25	0.00
13JUL17	S	3	PD130CT17	5875.00	6756.00	USD	-66,075.00	
13JUL17	S	2	PD130CT17	5882.50	6756.00	USD	-43,675.00	
13JUL17	S	5	PD130CT17	5881.00	6756.00	USD	-109,375.00	
14JUL17	S	2	PD130CT17	5880.00	6756.00	USD	-43,800.00	
14JUL17	S	3	PD130CT17	5880.50	6756.00	USD	-65,662.50	
14JUL17	S	2	PD130CT17	5883.00	6756.00	USD	-43,650.00	
14JUL17	S	3	PD130CT17	5884.00	6756.00	USD	-65,400.00	
14JUL17	B	2	PD130CT17	5882.00	6756.00	USD	43,700.00	
14JUL17	B	2	PD130CT17	5892.50	6756.00	USD	43,175.00	
14JUL17	B	3	PD130CT17	5899.00	6756.00	USD	64,275.00	
14JUL17	S	1	PD130CT17	5896.50	6756.00	USD	-21,487.50	
14JUL17	S	1	PD130CT17	5888.00	6756.00	USD	-21,700.00	
14JUL17	B	5	PD130CT17	5916.00	6756.00	USD	105,000.00	
20JUL17	B	10	PD130CT17	5958.62	6756.00	USD	199,345.00	
	NET	0 AVG	BGHT 5927.83				-25,330.00	0.00
17JUL17	B	3	PD170CT17	6002.50	6759.20	USD	56,752.50	
17JUL17	B	2	PD170CT17	6003.00	6759.20	USD	37,810.00	
09AUG17	S	5	PD170CT17	6448.75	6759.20	USD	-38,806.25	
	NET	0 AVG	BGHT 6002.70				55,756.25	0.00
18JUL17	S	2	PD180CT17	5991.00	6760.00	USD	-38,450.00	
18JUL17	B	2	PD180CT17	5980.00	6760.00	USD	39,000.00	
18JUL17	B	1	PD180CT17	6006.00	6760.00	USD	18,850.00	
18JUL17	B	1	PD180CT17	6007.00	6760.00	USD	18,825.00	
18JUL17	B	2	PD180CT17	6008.00	6760.00	USD	37,600.00	
18JUL17	B	1	PD180CT17	6004.00	6760.00	USD	18,900.00	
09AUG17	S	5	PD180CT17	6449.00	6760.00	USD	-38,875.00	
	NET	0 AVG	BGHT 5999.00				55,850.00	0.00
19JUL17	B	3	PD190CT17	5989.50	6760.88	USD	57,853.50	
19JUL17	B	2	PD190CT17	5989.00	6760.88	USD	38,594.00	
19JUL17	B	2	PD190CT17	6001.00	6760.88	USD	37,994.00	
19JUL17	B	3	PD190CT17	5988.00	6760.88	USD	57,966.00	
19JUL17	S	1	PD190CT17	5970.50	6760.88	USD	-19,759.50	
19JUL17	S	2	PD190CT17	5983.00	6760.88	USD	-38,894.00	
19JUL17	S	1	PD190CT17	5973.50	6760.88	USD	-19,684.50	
19JUL17	S	1	PD190CT17	5968.00	6760.88	USD	-19,822.00	
19JUL17	S	2	PD190CT17	5969.00	6760.88	USD	-39,594.00	
19JUL17	S	1	PD190CT17	5969.50	6760.88	USD	-19,784.50	
19JUL17	S	1	PD190CT17	5970.00	6760.88	USD	-19,772.00	
19JUL17	S	1	PD190CT17	5965.00	6760.88	USD	-19,897.00	
	NET	0 AVG	BGHT 5991.25				-4,800.00	0.00
21JUL17	B	2	PD200CT17	6016.50	6761.75	USD	37,262.50	
21JUL17	B	1	PD200CT17	6036.50	6761.75	USD	18,131.25	
21JUL17	B	2	PD200CT17	6038.00	6761.75	USD	36,187.50	
21JUL17	B	2	PD200CT17	6032.50	6761.75	USD	36,462.50	
21JUL17	B	1	PD200CT17	6028.00	6761.75	USD	18,343.75	
21JUL17	B	2	PD200CT17	6030.50	6761.75	USD	36,562.50	
21JUL17	S	2	PD200CT17	6002.00	6761.75	USD	-37,987.50	
21JUL17	S	3	PD200CT17	6002.50	6761.75	USD	-56,943.75	
09AUG17	S	5	PD200CT17	6447.00	6761.75	USD	-39,343.75	
	NET	0 AVG	BGHT 6029.95				48,675.00	0.00
26JUL17	S	5	PD260CT17	6350.00	6766.11	USD	-52,013.75	

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26JUL17	B	3	PD260CT17	6291.00	6766.11	USD	35,633.25	
26JUL17	B	2	PD260CT17	6295.00	6766.11	USD	23,555.50	
26JUL17	B	2	PD260CT17	6284.00	6766.11	USD	24,105.50	
26JUL17	B	3	PD260CT17	6286.00	6766.11	USD	36,008.25	
26JUL17	B	2	PD260CT17	6274.50	6766.11	USD	24,580.50	
26JUL17	B	2	PD260CT17	6279.50	6766.11	USD	24,330.50	
26JUL17	B	1	PD260CT17	6280.00	6766.11	USD	12,152.75	
26JUL17	S	2	PD260CT17	6326.50	6766.11	USD	-21,980.50	
26JUL17	S	3	PD260CT17	6329.00	6766.11	USD	-32,783.25	
26JUL17	S	2	PD260CT17	6334.00	6766.11	USD	-21,605.50	
26JUL17	S	3	PD260CT17	6341.00	6766.11	USD	-31,883.25	
	NET	0 AVG BGHT 6285.13	SOLD 6338.73				20,100.00	0.00
27JUL17	B	1	PD270CT17	6334.00	6766.54	USD	10,813.50	
27JUL17	B	2	PD270CT17	6335.00	6766.54	USD	21,577.00	
27JUL17	B	2	PD270CT17	6337.50	6766.54	USD	21,452.00	
27JUL17	B	2	PD270CT17	6354.50	6766.54	USD	20,602.00	
27JUL17	B	5	PD270CT17	6356.50	6766.54	USD	51,255.00	
27JUL17	B	3	PD270CT17	6350.00	6766.54	USD	31,240.50	
27JUL17	S	3	PD270CT17	6346.00	6766.54	USD	-31,540.50	
27JUL17	S	2	PD270CT17	6352.00	6766.54	USD	-20,727.00	
28JUL17	S	2	PD270CT17	6269.00	6766.54	USD	-24,877.00	
28JUL17	S	3	PD270CT17	6270.50	6766.54	USD	-37,203.00	
09AUG17	S	5	PD270CT17	6450.00	6766.54	USD	-39,567.50	
	NET	0 AVG BGHT 6348.03	SOLD 6356.10				3,025.00	0.00
31JUL17	B	1	PD310CT17	6413.50	6768.25	USD	8,868.75	
31JUL17	B	1	PD310CT17	6414.00	6768.25	USD	8,856.25	
31JUL17	S	5	PD310CT17	6386.50	6768.25	USD	-47,718.75	
31JUL17	B	1	PD310CT17	6411.00	6768.25	USD	8,931.25	
31JUL17	B	2	PD310CT17	6397.00	6768.25	USD	18,562.50	
31JUL17	S	1	PD310CT17	6395.00	6768.25	USD	-9,331.25	
31JUL17	S	3	PD310CT17	6371.50	6768.25	USD	-29,756.25	
31JUL17	S	1	PD310CT17	6375.00	6768.25	USD	-9,831.25	
31JUL17	S	5	PD310CT17	6377.00	6768.25	USD	-48,906.25	
31JUL17	S	2	PD310CT17	6364.50	6768.25	USD	-20,187.50	
31JUL17	S	3	PD310CT17	6362.50	6768.25	USD	-30,431.25	
31JUL17	S	2	PD310CT17	6374.00	6768.25	USD	-19,712.50	
31JUL17	S	3	PD310CT17	6374.50	6768.25	USD	-29,531.25	
09AUG17	B	20	PD310CT17	6451.74	6768.25	USD	158,255.00	
	NET	0 AVG BGHT 6442.69	SOLD 6375.60				-41,932.50	0.00
01AUG17	B	3	PD01NOV17	6379.00	6769.18	USD	29,263.50	
01AUG17	B	2	PD01NOV17	6380.00	6769.18	USD	19,459.00	
01AUG17	S	2	PD01NOV17	6352.00	6769.18	USD	-20,859.00	
01AUG17	S	3	PD01NOV17	6357.00	6769.18	USD	-30,913.50	
01AUG17	S	3	PD01NOV17	6339.50	6769.18	USD	-32,226.00	
01AUG17	S	2	PD01NOV17	6340.50	6769.18	USD	-21,434.00	
09AUG17	B	5	PD01NOV17	6452.12	6769.18	USD	39,632.50	
	NET	0 AVG BGHT 6415.76	SOLD 6347.45				-17,077.50	0.00
03AUG17	S	2	PD03NOV17	6318.00	6771.03	USD	-22,651.50	
03AUG17	S	3	PD03NOV17	6319.00	6771.03	USD	-33,902.25	
03AUG17	B	1	PD03NOV17	6313.50	6771.03	USD	11,438.25	
03AUG17	B	2	PD03NOV17	6314.50	6771.03	USD	22,826.50	
03AUG17	B	2	PD03NOV17	6316.50	6771.03	USD	22,726.50	
03AUG17	B	3	PD03NOV17	6355.00	6771.03	USD	31,202.25	
03AUG17	S	5	PD03NOV17	6353.50	6771.03	USD	-52,191.25	
03AUG17	S	2	PD03NOV17	6355.50	6771.03	USD	-20,776.50	
03AUG17	B	2	PD03NOV17	6352.00	6771.03	USD	20,951.50	

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03AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
03AUG17	S	3	PD03NOV17	6341.50	6771.03	USD	-32,214.75	
03AUG17	S	1	PD03NOV17	6343.50	6771.03	USD	-10,688.25	
03AUG17	B	2	PD03NOV17	6353.00	6771.03	USD	20,901.50	
04AUG17	B	2	PD03NOV17	6348.00	6771.03	USD	21,151.50	
04AUG17	B	1	PD03NOV17	6354.00	6771.03	USD	10,425.75	
04AUG17	B	3	PD03NOV17	6374.00	6771.03	USD	29,777.25	
04AUG17	B	2	PD03NOV17	6378.00	6771.03	USD	19,651.50	
04AUG17	S	3	PD03NOV17	6356.50	6771.03	USD	-31,089.75	
04AUG17	S	2	PD03NOV17	6365.50	6771.03	USD	-20,276.50	
04AUG17	S	5	PD03NOV17	6362.50	6771.03	USD	-51,066.25	
04AUG17	B	2	PD03NOV17	6354.50	6771.03	USD	20,826.50	
04AUG17	B	3	PD03NOV17	6366.50	6771.03	USD	30,339.75	
04AUG17	B	1	PD03NOV17	6369.00	6771.03	USD	10,050.75	
04AUG17	B	1	PD03NOV17	6358.00	6771.03	USD	10,325.75	
04AUG17	S	5	PD03NOV17	6324.00	6771.03	USD	-55,878.75	
04AUG17	B	4	PD03NOV17	6383.00	6771.03	USD	38,803.00	
04AUG17	B	1	PD03NOV17	6383.50	6771.03	USD	9,688.25	
	NET	0 AVG BGHT 6357.17	SOLD 6344.16				-10,412.50	0.00
09AUG17	B	2	PD09NOV17	6490.50	6776.58	USD	14,304.00	
09AUG17	B	3	PD09NOV17	6492.00	6776.58	USD	21,343.50	
09AUG17	B	3	PD09NOV17	6486.50	6776.58	USD	21,756.00	
09AUG17	B	1	PD09NOV17	6487.00	6776.58	USD	7,239.50	
09AUG17	B	3	PD09NOV17	6484.00	6776.58	USD	21,943.50	
09AUG17	B	3	PD09NOV17	6487.50	6776.58	USD	21,681.00	
	NET	+15 AVG BGHT 6487.87					108,267.50	0.00
11AUG17	B	2	PD10NOV17	6372.00	6777.50	USD	20,275.00	
11AUG17	B	1	PD10NOV17	6373.00	6777.50	USD	10,112.50	
11AUG17	S	3	PD10NOV17	6373.00	6777.50	USD	-30,337.50	
	NET	0 AVG BGHT 6372.33	SOLD 6373.00				50.00	0.00
14AUG17	S	2	PD14NOV17	6408.00	6776.83	USD	-18,441.50	
14AUG17	S	2	PD14NOV17	6417.50	6776.83	USD	-17,966.50	
14AUG17	S	1	PD14NOV17	6406.00	6776.83	USD	-9,270.75	
14AUG17	S	3	PD14NOV17	6415.00	6776.83	USD	-27,137.25	
14AUG17	S	2	PD14NOV17	6418.00	6776.83	USD	-17,941.50	
14AUG17	S	2	PD14NOV17	6418.50	6776.83	USD	-17,916.50	
14AUG17	S	3	PD14NOV17	6419.50	6776.83	USD	-26,799.75	
14AUG17	B	2	PD14NOV17	6409.00	6776.83	USD	18,391.50	
14AUG17	B	1	PD14NOV17	6409.50	6776.83	USD	9,183.25	
14AUG17	B	2	PD14NOV17	6411.00	6776.83	USD	18,291.50	
14AUG17	B	5	PD14NOV17	6403.00	6776.83	USD	46,728.75	
	NET	-5 AVG BGHT 6406.45	SOLD 6415.57				-42,878.75	0.00
15AUG17	S	2	PD15NOV17	6370.00	6776.50	USD	-20,325.00	
15AUG17	B	2	PD15NOV17	6364.50	6776.50	USD	20,600.00	
	NET	0 AVG BGHT 6364.50	SOLD 6370.00				275.00	0.00
16AUG17	S	7	PD16NOV17	6382.00	6776.25	USD	-68,993.75	
16AUG17	S	3	PD16NOV17	6383.00	6776.25	USD	-29,493.75	
16AUG17	B	2	PD16NOV17	6454.00	6776.25	USD	16,112.50	
16AUG17	B	1	PD16NOV17	6459.50	6776.25	USD	7,918.75	
16AUG17	B	2	PD16NOV17	6458.00	6776.25	USD	15,912.50	
16AUG17	B	2	PD16NOV17	6485.50	6776.25	USD	14,537.50	
16AUG17	B	1	PD16NOV17	6487.50	6776.25	USD	7,218.75	
16AUG17	B	3	PD16NOV17	6491.50	6776.25	USD	21,356.25	
16AUG17	B	3	PD16NOV17	6491.00	6776.25	USD	21,393.75	
16AUG17	B	1	PD16NOV17	6494.00	6776.25	USD	7,056.25	
16AUG17	S	1	PD16NOV17	6491.00	6776.25	USD	-7,131.25	

OPEN POSITION STATEMENT



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SAP0011F

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	3	PD16NOV17	6487.00	6776.25	USD	21,693.75	
16AUG17	B	3	PD16NOV17	6481.00	6776.25	USD	22,143.75	
16AUG17	B	3	PD16NOV17	6483.00	6776.25	USD	21,993.75	
16AUG17	B	2	PD16NOV17	6490.50	6776.25	USD	14,287.50	
	NET	+15 AVG BGHT 6481.44	SOLD 6392.18				86,006.25	0.00
17AUG17	B	2	PD17NOV17	6523.50	6776.78	USD	12,664.00	
17AUG17	B	1	PD17NOV17	6525.00	6776.78	USD	6,294.50	
17AUG17	B	2	PD17NOV17	6526.00	6776.78	USD	12,539.00	
17AUG17	S	2	PD17NOV17	6473.00	6776.78	USD	-15,189.00	
17AUG17	S	3	PD17NOV17	6475.00	6776.78	USD	-22,633.50	
18AUG17	S	1	PD17NOV17	6489.50	6776.78	USD	-7,182.00	
18AUG17	S	1	PD17NOV17	6490.50	6776.78	USD	-7,157.00	
18AUG17	S	6	PD17NOV17	6491.50	6776.78	USD	-42,792.00	
18AUG17	S	2	PD17NOV17	6492.00	6776.78	USD	-14,239.00	
18AUG17	S	3	PD17NOV17	6493.00	6776.78	USD	-21,283.50	
18AUG17	S	2	PD17NOV17	6494.50	6776.78	USD	-14,114.00	
18AUG17	S	2	PD17NOV17	6499.00	6776.78	USD	-13,889.00	
18AUG17	S	3	PD17NOV17	6500.50	6776.78	USD	-20,721.00	
18AUG17	S	2	PD17NOV17	6502.00	6776.78	USD	-13,739.00	
18AUG17	S	3	PD17NOV17	6503.00	6776.78	USD	-20,533.50	
18AUG17	B	2	PD17NOV17	6501.00	6776.78	USD	13,789.00	
18AUG17	B	3	PD17NOV17	6502.00	6776.78	USD	20,608.50	
18AUG17	B	1	PD17NOV17	6486.50	6776.78	USD	7,257.00	
18AUG17	B	2	PD17NOV17	6487.00	6776.78	USD	14,489.00	
18AUG17	B	1	PD17NOV17	6487.50	6776.78	USD	7,232.00	
18AUG17	B	1	PD17NOV17	6488.00	6776.78	USD	7,219.50	
	NET	-15 AVG BGHT 6504.53	SOLD 6492.15				-111,380.00	0.00
21AUG17	B	2	PD21NOV17	6574.00	6778.92	USD	10,246.00	
21AUG17	B	1	PD21NOV17	6585.50	6778.92	USD	4,835.50	
21AUG17	B	1	PD21NOV17	6591.00	6778.92	USD	4,698.00	
21AUG17	B	1	PD21NOV17	6591.50	6778.92	USD	4,685.50	
	NET	+5 AVG BGHT 6583.20					24,465.00	0.00
22AUG17	B	1	PD22NOV17	6628.00	6779.45	USD	3,786.25	
22AUG17	B	2	PD22NOV17	6631.00	6779.45	USD	7,422.50	
22AUG17	B	3	PD22NOV17	6632.00	6779.45	USD	11,058.75	
22AUG17	B	1	PD22NOV17	6627.50	6779.45	USD	3,798.75	
22AUG17	B	1	PD22NOV17	6626.50	6779.45	USD	3,823.75	
22AUG17	B	1	PD22NOV17	6615.00	6779.45	USD	4,111.25	
22AUG17	B	1	PD22NOV17	6616.00	6779.45	USD	4,086.25	
22AUG17	B	4	PD22NOV17	6620.00	6779.45	USD	15,945.00	
22AUG17	B	1	PD22NOV17	6621.00	6779.45	USD	3,961.25	
22AUG17	B	2	PD22NOV17	6638.00	6779.45	USD	7,072.50	
22AUG17	B	3	PD22NOV17	6639.00	6779.45	USD	10,533.75	
	NET	+20 AVG BGHT 6628.25					75,600.00	0.00
25AUG17	S	3	PD24NOV17	6723.00	6780.52	USD	-4,314.00	
25AUG17	S	2	PD24NOV17	6724.00	6780.52	USD	-2,826.00	
25AUG17	S	2	PD24NOV17	6729.50	6780.52	USD	-2,551.00	
25AUG17	S	1	PD24NOV17	6730.50	6780.52	USD	-1,250.50	
25AUG17	S	2	PD24NOV17	6731.50	6780.52	USD	-2,451.00	
25AUG17	B	1	PD24NOV17	6719.00	6780.52	USD	1,538.00	
25AUG17	B	1	PD24NOV17	6721.00	6780.52	USD	1,488.00	
25AUG17	B	3	PD24NOV17	6718.00	6780.52	USD	4,689.00	
	NET	-5 AVG BGHT 6718.80	SOLD 6726.95				-5,677.50	0.00
29AUG17	S	3	PD29NOV17	6780.00	6783.18	USD	-238.50	
29AUG17	S	2	PD29NOV17	6787.00	6783.18	USD	191.00	
29AUG17	S	3	PD29NOV17	6790.50	6783.18	USD	549.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	2	PD29NOV17	6791.50	6783.18	USD	416.00	
29AUG17	S	2	PD29NOV17	6775.00	6783.18	USD	-409.00	
29AUG17	S	3	PD29NOV17	6773.00	6783.18	USD	-763.50	
29AUG17	S	2	PD29NOV17	6792.50	6783.18	USD	466.00	
29AUG17	S	3	PD29NOV17	6794.50	6783.18	USD	849.00	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
29AUG17	S	3	PD29NOV17	6805.00	6783.18	USD	1,636.50	
29AUG17	S	1	PD29NOV17	6805.50	6783.18	USD	558.00	
29AUG17	B	2	PD29NOV17	6810.00	6783.18	USD	-1,341.00	
29AUG17	B	3	PD29NOV17	6811.00	6783.18	USD	-2,086.50	
29AUG17	B	1	PD29NOV17	6822.00	6783.18	USD	-970.50	
29AUG17	B	1	PD29NOV17	6824.50	6783.18	USD	-1,033.00	
29AUG17	B	2	PD29NOV17	6827.00	6783.18	USD	-2,191.00	
29AUG17	B	1	PD29NOV17	6791.00	6783.18	USD	-195.50	
29AUG17	B	2	PD29NOV17	6794.00	6783.18	USD	-541.00	
29AUG17	B	3	PD29NOV17	6794.50	6783.18	USD	-849.00	
	NET	-10 AVG BGHT 6807.73	SOLD 6789.20				-5,445.00	0.00
30AUG17	S	1	PD30NOV17	6785.00	6783.72	USD	32.00	
30AUG17	S	1	PD30NOV17	6786.50	6783.72	USD	69.50	
30AUG17	S	1	PD30NOV17	6787.00	6783.72	USD	82.00	
30AUG17	S	2	PD30NOV17	6788.00	6783.72	USD	214.00	
30AUG17	S	1	PD30NOV17	6790.00	6783.72	USD	157.00	
30AUG17	S	2	PD30NOV17	6790.50	6783.72	USD	339.00	
30AUG17	S	1	PD30NOV17	6796.00	6783.72	USD	307.00	
30AUG17	S	1	PD30NOV17	6777.00	6783.72	USD	-168.00	
31AUG17	S	3	PD30NOV17	6806.00	6783.72	USD	1,671.00	
31AUG17	S	2	PD30NOV17	6807.50	6783.72	USD	1,189.00	
31AUG17	B	4	PD30NOV17	6854.00	6783.72	USD	-7,028.00	
31AUG17	B	1	PD30NOV17	6838.00	6783.72	USD	-1,357.00	
31AUG17	S	2	PD30NOV17	6792.00	6783.72	USD	414.00	
31AUG17	S	3	PD30NOV17	6794.00	6783.72	USD	771.00	
	NET	-15 AVG BGHT 6850.80	SOLD 6793.88				-3,307.50	0.00
01SEP17	B	3	PD01DEC17	6802.00	6784.25	USD	-1,331.25	
01SEP17	B	2	PD01DEC17	6802.50	6784.25	USD	-912.50	
01SEP17	S	2	PD01DEC17	6818.00	6784.25	USD	1,687.50	
01SEP17	S	3	PD01DEC17	6824.00	6784.25	USD	2,981.25	
01SEP17	B	1	PD01DEC17	6825.50	6784.25	USD	-1,031.25	
01SEP17	B	2	PD01DEC17	6826.00	6784.25	USD	-2,087.50	
01SEP17	S	3	PD01DEC17	6840.50	6784.25	USD	4,218.75	
	NET	0 AVG BGHT 6811.06	SOLD 6828.69				3,525.00	0.00
05SEP17	B	2	PD05DEC17	6955.00	6786.25	USD	-8,437.50	
05SEP17	B	2	PD05DEC17	6956.00	6786.25	USD	-8,487.50	
05SEP17	B	1	PD05DEC17	6956.50	6786.25	USD	-4,256.25	
05SEP17	B	2	PD05DEC17	6958.00	6786.25	USD	-8,587.50	
05SEP17	B	3	PD05DEC17	6951.00	6786.25	USD	-12,356.25	
05SEP17	S	2	PD05DEC17	6919.50	6786.25	USD	6,662.50	
05SEP17	S	1	PD05DEC17	6926.50	6786.25	USD	3,506.25	
05SEP17	S	1	PD05DEC17	6927.00	6786.25	USD	3,518.75	
05SEP17	S	1	PD05DEC17	6926.00	6786.25	USD	3,493.75	
	NET	+5 AVG BGHT 6954.75	SOLD 6923.70				-24,943.75	0.00
06SEP17	S	2	PD06DEC17	6897.00	6786.75	USD	5,512.50	
06SEP17	S	3	PD06DEC17	6903.00	6786.75	USD	8,718.75	
06SEP17	S	2	PD06DEC17	6908.00	6786.75	USD	6,062.50	
06SEP17	S	3	PD06DEC17	6910.00	6786.75	USD	9,243.75	
	NET	-10 AVG SOLD 6904.90					29,537.50	0.00
11SEP17	B	2	PD11DEC17	6708.50	6789.15	USD	4,032.50	

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11SEP17	B	2	PD11DEC17	6710.00	6789.15	USD	3,957.50	
11SEP17	B	1	PD11DEC17	6710.50	6789.15	USD	1,966.25	
11SEP17	B	3	PD11DEC17	6725.00	6789.15	USD	4,811.25	
11SEP17	B	4	PD11DEC17	6727.00	6789.15	USD	6,215.00	
11SEP17	B	3	PD11DEC17	6728.00	6789.15	USD	4,586.25	
11SEP17	B	1	PD11DEC17	6733.00	6789.15	USD	1,403.75	
11SEP17	B	2	PD11DEC17	6733.50	6789.15	USD	2,782.50	
11SEP17	B	2	PD11DEC17	6734.00	6789.15	USD	2,757.50	
11SEP17	B	2	PD11DEC17	6754.00	6789.15	USD	1,757.50	
11SEP17	B	3	PD11DEC17	6753.00	6789.15	USD	2,711.25	
	NET	+25 AVG BGHT 6729.98					36,981.25	0.00
12SEP17	B	1	PD12DEC17	6723.00	6789.63	USD	1,665.75	
12SEP17	B	2	PD12DEC17	6724.00	6789.63	USD	3,281.50	
12SEP17	B	2	PD12DEC17	6721.00	6789.63	USD	3,431.50	
12SEP17	B	2	PD12DEC17	6687.00	6789.63	USD	5,131.50	
12SEP17	B	3	PD12DEC17	6691.00	6789.63	USD	7,397.25	
12SEP17	B	5	PD12DEC17	6729.00	6789.63	USD	7,578.75	
12SEP17	S	5	PD12DEC17	6652.00	6789.63	USD	-17,203.75	
	NET	+10 AVG BGHT 6713.67 SOLD 6652.00					11,282.50	0.00
13SEP17	B	1	PD13DEC17	6604.00	6790.10	USD	4,652.50	
13SEP17	B	2	PD13DEC17	6607.00	6790.10	USD	9,155.00	
13SEP17	B	2	PD13DEC17	6593.00	6790.10	USD	9,855.00	
	NET	+5 AVG BGHT 6600.80					23,662.50	0.00
18SEP17	S	2	PD18DEC17	6530.00	6792.50	USD	-13,125.00	
18SEP17	S	3	PD18DEC17	6531.50	6792.50	USD	-19,575.00	
18SEP17	S	5	PD18DEC17	6527.00	6792.50	USD	-33,187.50	
	NET	-10 AVG SOLD 6528.95					-65,887.50	0.00
19SEP17	S	2	PD19DEC17	6528.00	6792.50	USD	-13,225.00	
19SEP17	S	3	PD19DEC17	6526.00	6792.50	USD	-19,987.50	
19SEP17	B	2	PD19DEC17	6531.00	6792.50	USD	13,075.00	
19SEP17	B	3	PD19DEC17	6527.50	6792.50	USD	19,875.00	
	NET	0 AVG BGHT 6528.90 SOLD 6526.80					-262.50	0.00
21SEP17	S	3	PD21DEC17	6439.50	6791.50	USD	-26,400.00	
21SEP17	S	3	PD21DEC17	6440.50	6791.50	USD	-26,325.00	
21SEP17	S	4	PD21DEC17	6442.00	6791.50	USD	-34,950.00	
	NET	-10 AVG SOLD 6440.80					-87,675.00	0.00
26SEP17	S	2	PD27DEC17	6504.50	6793.00	USD	-14,425.00	
26SEP17	S	3	PD27DEC17	6507.50	6793.00	USD	-21,412.50	
27SEP17	S	2	PD27DEC17	6461.50	6793.00	USD	-16,575.00	
27SEP17	S	3	PD27DEC17	6458.00	6793.00	USD	-25,125.00	
	NET	-10 AVG SOLD 6482.85					-77,537.50	0.00
28SEP17	B	1	PD28DEC17	6446.00	6793.47	USD	8,686.75	
28SEP17	B	2	PD28DEC17	6447.00	6793.47	USD	17,323.50	
28SEP17	B	5	PD28DEC17	6454.00	6793.47	USD	42,433.75	
28SEP17	B	2	PD28DEC17	6458.00	6793.47	USD	16,773.50	
28SEP17	B	2	PD28DEC17	6470.00	6793.47	USD	16,173.50	
28SEP17	B	3	PD28DEC17	6474.00	6793.47	USD	23,960.25	
28SEP17	B	3	PD28DEC17	6562.00	6793.47	USD	17,360.25	
28SEP17	B	2	PD28DEC17	6562.50	6793.47	USD	11,548.50	
	NET	+20 AVG BGHT 6484.95					154,260.00	0.00
29SEP17	S	1	PD29DEC17	6531.50	6793.93	USD	-6,560.75	
29SEP17	S	3	PD29DEC17	6530.00	6793.93	USD	-19,794.75	
29SEP17	S	1	PD29DEC17	6531.00	6793.93	USD	-6,573.25	
29SEP17	B	2	PD29DEC17	6521.00	6793.93	USD	13,646.50	
29SEP17	B	3	PD29DEC17	6516.50	6793.93	USD	20,807.25	

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	NET 0 AVG BGHT 6518.30 SOLD 6530.50				1,525.00	0.00
03OCT17	S 5 PD03JAN18	6456.00	6796.27	USD	-42,533.75	
	NET -5 AVG SOLD 6456.00				-42,533.75	0.00
05OCT17	B 3 PD05JAN18	6669.00	6797.20	USD	9,615.00	
05OCT17	B 1 PD05JAN18	6683.50	6797.20	USD	2,842.50	
05OCT17	B 1 PD05JAN18	6684.00	6797.20	USD	2,830.00	
05OCT17	B 3 PD05JAN18	6706.00	6797.20	USD	6,840.00	
05OCT17	B 2 PD05JAN18	6698.50	6797.20	USD	4,935.00	
	NET +10 AVG BGHT 6688.95				27,062.50	0.00
09OCT17	S 5 PD09JAN18	6657.50	6799.07	USD	-17,696.25	
09OCT17	S 2 PD09JAN18	6662.50	6799.07	USD	-6,828.50	
09OCT17	S 1 PD09JAN18	6664.50	6799.07	USD	-3,364.25	
09OCT17	S 2 PD09JAN18	6663.50	6799.07	USD	-6,778.50	
09OCT17	S 1 PD09JAN18	6658.00	6799.07	USD	-3,526.75	
09OCT17	S 4 PD09JAN18	6659.00	6799.07	USD	-14,007.00	
09OCT17	S 2 PD09JAN18	6660.00	6799.07	USD	-6,953.50	
09OCT17	S 3 PD09JAN18	6661.00	6799.07	USD	-10,355.25	
	NET -20 AVG SOLD 6660.05				-69,510.00	0.00
11OCT17	B 2 PD11JAN18	6796.50	6800.00	USD	175.00	
11OCT17	B 1 PD11JAN18	6798.00	6800.00	USD	50.00	
11OCT17	B 1 PD11JAN18	6798.50	6800.00	USD	37.50	
11OCT17	B 1 PD11JAN18	6799.00	6800.00	USD	25.00	
	NET +5 AVG BGHT 6797.70				287.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 5 DEC17	308.800	309.550	USD	-937.50	
11OCT17	S 6 DEC17	308.900	309.550	USD	-975.00	
	NET -11 AVG SOLD 308.855				-1,912.50	0.00
11SEP17	S 4 MAR18	308.000	311.550	USD	-3,550.00	
11SEP17	S 1 MAR18	308.050	311.550	USD	-875.00	
11SEP17	S 5 MAR18	308.400	311.550	USD	-3,937.50	
11SEP17	S 4 MAR18	308.200	311.550	USD	-3,350.00	
11SEP17	S 2 MAR18	308.150	311.550	USD	-1,700.00	
12SEP17	S 7 MAR18	307.950	311.550	USD	-6,300.00	
12SEP17	S 4 MAR18	307.850	311.550	USD	-3,700.00	
28SEP17	S 6 MAR18	295.250	311.550	USD	-24,450.00	
	NET -33 AVG SOLD 305.748				-47,862.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,190,950.00
CMX Copper	FUTURES	3,371,775.00

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-49,775.00	-49,775.00	-49,775.00	-49,775.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	196,498.75	196,498.75	196,498.75	196,498.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-130,030.25	-130,030.25
Variation Margins - Futures	-49,775.00	-49,775.00
- Options	0.00	0.00
TOTAL EQUITY	-179,805.25	-179,805.25
Initial Margins	-215,123.25	-215,123.25
Excess/(Shortage)	-394,928.50	-394,928.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	196,498.75	196,498.75
Net Liquidating Value	16,693.50	16,693.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011G

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	5	PD120CT17	5921.00	6755.20	USD	104,275.00	
12JUL17	B	1	PD120CT17	5923.00	6755.20	USD	20,805.00	
12JUL17	B	4	PD120CT17	5922.50	6755.20	USD	83,270.00	
12JUL17	B	2	PD120CT17	5916.50	6755.20	USD	41,935.00	
12JUL17	B	3	PD120CT17	5912.00	6755.20	USD	63,240.00	
20JUL17	S	10	PD120CT17	5958.00	6755.20	USD	-199,300.00	
10AUG17	S	5	PD120CT17	6413.02	6755.20	USD	-42,772.50	
	NET	0AVG BGHT 5919.13	SOLD 6109.67				71,452.50	0.00
13JUL17	S	5	PD130CT17	5882.00	6756.00	USD	-109,250.00	
14JUL17	S	9	PD130CT17	5880.00	6756.00	USD	-197,100.00	
14JUL17	S	4	PD130CT17	5881.00	6756.00	USD	-87,500.00	
14JUL17	S	1	PD130CT17	5884.00	6756.00	USD	-21,800.00	
14JUL17	S	1	PD130CT17	5887.00	6756.00	USD	-21,725.00	
14JUL17	S	1	PD130CT17	5872.50	6756.00	USD	-22,087.50	
14JUL17	S	5	PD130CT17	5876.50	6756.00	USD	-109,937.50	
14JUL17	S	2	PD130CT17	5880.50	6756.00	USD	-43,775.00	
14JUL17	S	1	PD130CT17	5882.00	6756.00	USD	-21,850.00	
14JUL17	S	1	PD130CT17	5882.50	6756.00	USD	-21,837.50	
14JUL17	B	3	PD130CT17	5892.00	6756.00	USD	64,800.00	
14JUL17	B	2	PD130CT17	5881.50	6756.00	USD	43,725.00	
20JUL17	B	25	PD130CT17	5958.62	6756.00	USD	498,362.50	
	NET	0AVG BGHT 5946.82	SOLD 5880.18				-49,975.00	0.00
17JUL17	B	4	PD170CT17	5998.00	6759.20	USD	76,120.00	
17JUL17	B	1	PD170CT17	5999.50	6759.20	USD	18,992.50	
10AUG17	S	5	PD170CT17	6416.00	6759.20	USD	-42,900.00	
	NET	0AVG BGHT 5998.30	SOLD 6416.00				52,212.50	0.00
18JUL17	S	2	PD180CT17	5991.00	6760.00	USD	-38,450.00	
18JUL17	S	2	PD180CT17	5991.50	6760.00	USD	-38,425.00	
18JUL17	B	1	PD180CT17	5993.00	6760.00	USD	19,175.00	
18JUL17	B	1	PD180CT17	5995.00	6760.00	USD	19,125.00	
18JUL17	B	2	PD180CT17	5996.00	6760.00	USD	38,200.00	
18JUL17	B	1	PD180CT17	6011.00	6760.00	USD	18,725.00	
18JUL17	B	3	PD180CT17	6007.50	6760.00	USD	56,437.50	
18JUL17	B	6	PD180CT17	6005.00	6760.00	USD	113,250.00	
18JUL17	B	1	PD180CT17	6005.50	6760.00	USD	18,862.50	
18JUL17	B	2	PD180CT17	6007.00	6760.00	USD	37,650.00	
18JUL17	B	2	PD180CT17	6004.50	6760.00	USD	37,775.00	
10AUG17	S	15	PD180CT17	6416.25	6760.00	USD	-128,906.25	
	NET	0AVG BGHT 6003.79	SOLD 6326.78				153,418.75	0.00
21JUL17	B	2	PD200CT17	6031.50	6761.75	USD	36,512.50	
21JUL17	B	3	PD200CT17	6032.00	6761.75	USD	54,731.25	
10AUG17	S	5	PD200CT17	6414.25	6761.75	USD	-43,437.50	
	NET	0AVG BGHT 6031.80	SOLD 6414.25				47,806.25	0.00
24JUL17	S	2	PD240CT17	6014.00	6765.25	USD	-37,562.50	
24JUL17	S	1	PD240CT17	6010.00	6765.25	USD	-18,881.25	
24JUL17	S	2	PD240CT17	6021.00	6765.25	USD	-37,212.50	
10AUG17	B	5	PD240CT17	6416.16	6765.25	USD	43,636.25	
	NET	0AVG BGHT 6416.16	SOLD 6016.00				-50,020.00	0.00
25JUL17	S	3	PD250CT17	6065.00	6765.68	USD	-52,551.00	
25JUL17	S	5	PD250CT17	6066.00	6765.68	USD	-87,460.00	
25JUL17	S	3	PD250CT17	6126.00	6765.68	USD	-47,976.00	
25JUL17	S	2	PD250CT17	6064.00	6765.68	USD	-35,084.00	
25JUL17	S	2	PD250CT17	6124.50	6765.68	USD	-32,059.00	
25JUL17	B	1	PD250CT17	6199.50	6765.68	USD	14,154.50	
25JUL17	B	3	PD250CT17	6201.00	6765.68	USD	42,351.00	
25JUL17	B	2	PD250CT17	6232.50	6765.68	USD	26,659.00	

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25JUL17	B	1	PD250CT17	6218.00	6765.68	USD	13,692.00	
25JUL17	B	5	PD250CT17	6224.00	6765.68	USD	67,710.00	
25JUL17	B	4	PD250CT17	6212.00	6765.68	USD	55,368.00	
25JUL17	B	2	PD250CT17	6212.50	6765.68	USD	27,659.00	
25JUL17	B	2	PD250CT17	6213.00	6765.68	USD	27,634.00	
25JUL17	S	1	PD250CT17	6207.00	6765.68	USD	-13,967.00	
25JUL17	B	1	PD250CT17	6217.50	6765.68	USD	13,704.50	
25JUL17	B	2	PD250CT17	6228.00	6765.68	USD	26,884.00	
25JUL17	B	1	PD250CT17	6221.00	6765.68	USD	13,617.00	
25JUL17	B	1	PD250CT17	6222.00	6765.68	USD	13,592.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
10AUG17	S	10	PD250CT17	6416.39	6765.68	USD	-87,322.50	
	NET	0AVG	BGHT 6217.08	SOLD 6217.34			172.50	0.00
26JUL17	S	1	PD260CT17	6354.00	6766.11	USD	-10,302.75	
26JUL17	S	2	PD260CT17	6376.50	6766.11	USD	-19,480.50	
26JUL17	S	2	PD260CT17	6337.00	6766.11	USD	-21,455.50	
26JUL17	S	1	PD260CT17	6338.00	6766.11	USD	-10,702.75	
26JUL17	S	4	PD260CT17	6355.00	6766.11	USD	-41,111.00	
26JUL17	B	3	PD260CT17	6275.50	6766.11	USD	36,795.75	
26JUL17	B	2	PD260CT17	6276.00	6766.11	USD	24,505.50	
26JUL17	B	3	PD260CT17	6273.00	6766.11	USD	36,983.25	
26JUL17	B	2	PD260CT17	6274.00	6766.11	USD	24,605.50	
26JUL17	B	8	PD260CT17	6277.00	6766.11	USD	97,822.00	
26JUL17	B	2	PD260CT17	6276.50	6766.11	USD	24,480.50	
10AUG17	S	10	PD260CT17	6416.82	6766.11	USD	-87,322.50	
	NET	0AVG	BGHT 6275.73	SOLD 6385.36			54,817.50	0.00
27JUL17	S	2	PD270CT17	6339.50	6766.54	USD	-21,352.00	
27JUL17	S	1	PD270CT17	6345.50	6766.54	USD	-10,526.00	
27JUL17	S	1	PD270CT17	6346.00	6766.54	USD	-10,513.50	
27JUL17	S	1	PD270CT17	6347.00	6766.54	USD	-10,488.50	
27JUL17	B	5	PD270CT17	6353.50	6766.54	USD	51,630.00	
27JUL17	B	2	PD270CT17	6356.50	6766.54	USD	20,502.00	
27JUL17	B	1	PD270CT17	6359.00	6766.54	USD	10,188.50	
27JUL17	B	1	PD270CT17	6361.00	6766.54	USD	10,138.50	
27JUL17	B	1	PD270CT17	6362.00	6766.54	USD	10,113.50	
27JUL17	S	2	PD270CT17	6348.00	6766.54	USD	-20,927.00	
27JUL17	S	2	PD270CT17	6349.00	6766.54	USD	-20,877.00	
27JUL17	S	1	PD270CT17	6355.50	6766.54	USD	-10,276.00	
28JUL17	S	3	PD270CT17	6283.00	6766.54	USD	-36,265.50	
28JUL17	S	1	PD270CT17	6285.00	6766.54	USD	-12,038.50	
28JUL17	S	1	PD270CT17	6286.00	6766.54	USD	-12,013.50	
28JUL17	S	3	PD270CT17	6288.00	6766.54	USD	-35,890.50	
28JUL17	S	2	PD270CT17	6289.00	6766.54	USD	-23,877.00	
10AUG17	B	10	PD270CT17	6417.45	6766.54	USD	87,272.50	
	NET	0AVG	BGHT 6386.85	SOLD 6316.45			-35,200.00	0.00
31JUL17	S	5	PD310CT17	6382.50	6768.25	USD	-48,218.75	
31JUL17	S	2	PD310CT17	6377.50	6768.25	USD	-19,537.50	
31JUL17	S	1	PD310CT17	6374.00	6768.25	USD	-9,856.25	
31JUL17	S	2	PD310CT17	6368.50	6768.25	USD	-19,987.50	
31JUL17	S	1	PD310CT17	6362.50	6768.25	USD	-10,143.75	
31JUL17	S	2	PD310CT17	6360.00	6768.25	USD	-20,412.50	
31JUL17	S	2	PD310CT17	6362.00	6768.25	USD	-20,312.50	
31JUL17	S	1	PD310CT17	6363.50	6768.25	USD	-10,118.75	
31JUL17	S	4	PD310CT17	6364.00	6768.25	USD	-40,425.00	
10AUG17	B	20	PD310CT17	6419.09	6768.25	USD	174,580.00	
	NET	0AVG	BGHT 6419.09	SOLD 6370.23			-24,432.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	2	PD02NOV17	6316.00	6770.10	USD	-22,705.00	
02AUG17	S	1	PD02NOV17	6317.00	6770.10	USD	-11,327.50	
02AUG17	S	2	PD02NOV17	6324.00	6770.10	USD	-22,305.00	
02AUG17	B	2	PD02NOV17	6334.00	6770.10	USD	21,805.00	
02AUG17	B	1	PD02NOV17	6335.00	6770.10	USD	10,877.50	
02AUG17	S	1	PD02NOV17	6339.50	6770.10	USD	-10,765.00	
02AUG17	S	1	PD02NOV17	6343.50	6770.10	USD	-10,665.00	
02AUG17	S	1	PD02NOV17	6344.50	6770.10	USD	-10,640.00	
10AUG17	B	5	PD02NOV17	6419.91	6770.10	USD	43,773.75	
	NET	0AVG BGHT 6387.82	SOLD 6328.06				-11,951.25	0.00
03AUG17	S	5	PD03NOV17	6307.00	6771.03	USD	-58,003.75	
03AUG17	S	2	PD03NOV17	6316.00	6771.03	USD	-22,751.50	
03AUG17	S	3	PD03NOV17	6317.00	6771.03	USD	-34,052.25	
03AUG17	S	2	PD03NOV17	6348.00	6771.03	USD	-21,151.50	
03AUG17	S	2	PD03NOV17	6351.00	6771.03	USD	-21,001.50	
03AUG17	S	1	PD03NOV17	6347.00	6771.03	USD	-10,600.75	
04AUG17	B	3	PD03NOV17	6357.50	6771.03	USD	31,014.75	
04AUG17	B	2	PD03NOV17	6358.00	6771.03	USD	20,651.50	
04AUG17	B	2	PD03NOV17	6368.00	6771.03	USD	20,151.50	
04AUG17	B	1	PD03NOV17	6363.50	6771.03	USD	10,188.25	
04AUG17	B	1	PD03NOV17	6364.00	6771.03	USD	10,175.75	
04AUG17	B	1	PD03NOV17	6366.00	6771.03	USD	10,125.75	
04AUG17	S	3	PD03NOV17	6359.00	6771.03	USD	-30,902.25	
04AUG17	S	1	PD03NOV17	6355.50	6771.03	USD	-10,388.25	
04AUG17	S	1	PD03NOV17	6336.50	6771.03	USD	-10,863.25	
10AUG17	B	10	PD03NOV17	6420.33	6771.03	USD	87,675.00	
	NET	0AVG BGHT 6391.07	SOLD 6331.60				-29,732.50	0.00
07AUG17	B	2	PD07NOV17	6425.00	6774.73	USD	17,486.50	
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	3	PD07NOV17	6421.50	6774.73	USD	26,492.25	
07AUG17	B	1	PD07NOV17	6422.00	6774.73	USD	8,818.25	
07AUG17	B	3	PD07NOV17	6423.50	6774.73	USD	26,342.25	
07AUG17	B	1	PD07NOV17	6418.00	6774.73	USD	8,918.25	
07AUG17	B	1	PD07NOV17	6424.00	6774.73	USD	8,768.25	
07AUG17	S	5	PD07NOV17	6412.50	6774.73	USD	-45,278.75	
07AUG17	S	1	PD07NOV17	6413.50	6774.73	USD	-9,030.75	
07AUG17	S	1	PD07NOV17	6414.50	6774.73	USD	-9,005.75	
07AUG17	S	5	PD07NOV17	6415.50	6774.73	USD	-44,903.75	
	NET	0AVG BGHT 6423.08	SOLD 6414.00				-2,725.00	0.00
08AUG17	B	2	PD08NOV17	6436.00	6775.65	USD	16,982.50	
08AUG17	B	1	PD08NOV17	6440.00	6775.65	USD	8,391.25	
08AUG17	B	1	PD08NOV17	6441.00	6775.65	USD	8,366.25	
08AUG17	B	1	PD08NOV17	6444.50	6775.65	USD	8,278.75	
08AUG17	B	2	PD08NOV17	6437.50	6775.65	USD	16,907.50	
08AUG17	B	1	PD08NOV17	6447.00	6775.65	USD	8,216.25	
08AUG17	B	1	PD08NOV17	6450.00	6775.65	USD	8,141.25	
08AUG17	B	1	PD08NOV17	6455.50	6775.65	USD	8,003.75	
08AUG17	B	2	PD08NOV17	6460.00	6775.65	USD	15,782.50	
08AUG17	B	3	PD08NOV17	6462.00	6775.65	USD	23,523.75	
08AUG17	B	2	PD08NOV17	6481.00	6775.65	USD	14,732.50	
08AUG17	B	1	PD08NOV17	6473.00	6775.65	USD	7,566.25	
08AUG17	B	1	PD08NOV17	6474.50	6775.65	USD	7,528.75	
08AUG17	B	1	PD08NOV17	6477.00	6775.65	USD	7,466.25	
	NET	+20AVG BGHT 6455.88					159,887.50	0.00
14AUG17	S	1	PD14NOV17	6420.50	6776.83	USD	-8,908.25	
14AUG17	S	1	PD14NOV17	6417.50	6776.83	USD	-8,983.25	

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14AUG17	S	2	PD14NOV17	6422.00	6776.83	USD	-17,741.50	
14AUG17	S	2	PD14NOV17	6419.00	6776.83	USD	-17,891.50	
14AUG17	S	3	PD14NOV17	6415.00	6776.83	USD	-27,137.25	
14AUG17	S	3	PD14NOV17	6417.00	6776.83	USD	-26,987.25	
14AUG17	S	4	PD14NOV17	6419.50	6776.83	USD	-35,733.00	
14AUG17	S	1	PD14NOV17	6405.00	6776.83	USD	-9,295.75	
14AUG17	S	1	PD14NOV17	6406.00	6776.83	USD	-9,270.75	
14AUG17	S	2	PD14NOV17	6418.00	6776.83	USD	-17,941.50	
14AUG17	B	2	PD14NOV17	6405.50	6776.83	USD	18,566.50	
14AUG17	B	1	PD14NOV17	6406.50	6776.83	USD	9,258.25	
14AUG17	B	1	PD14NOV17	6407.50	6776.83	USD	9,233.25	
14AUG17	B	2	PD14NOV17	6404.00	6776.83	USD	18,641.50	
14AUG17	B	2	PD14NOV17	6405.00	6776.83	USD	18,591.50	
14AUG17	B	2	PD14NOV17	6403.00	6776.83	USD	18,691.50	
14AUG17	B	4	PD14NOV17	6412.00	6776.83	USD	36,483.00	
14AUG17	B	1	PD14NOV17	6413.00	6776.83	USD	9,095.75	
	NET	-5 AVG BGHT 6407.33	SOLD 6417.05				-41,328.75	0.00
16AUG17	S	1	PD16NOV17	6379.00	6776.25	USD	-9,931.25	
16AUG17	S	1	PD16NOV17	6380.00	6776.25	USD	-9,906.25	
16AUG17	S	2	PD16NOV17	6380.50	6776.25	USD	-19,787.50	
16AUG17	S	1	PD16NOV17	6381.00	6776.25	USD	-9,881.25	
16AUG17	S	2	PD16NOV17	6388.00	6776.25	USD	-19,412.50	
16AUG17	S	2	PD16NOV17	6383.50	6776.25	USD	-19,637.50	
16AUG17	S	3	PD16NOV17	6384.50	6776.25	USD	-29,381.25	
16AUG17	S	3	PD16NOV17	6385.50	6776.25	USD	-29,306.25	
16AUG17	B	1	PD16NOV17	6454.00	6776.25	USD	8,056.25	
16AUG17	B	1	PD16NOV17	6455.00	6776.25	USD	8,031.25	
16AUG17	B	1	PD16NOV17	6458.00	6776.25	USD	7,956.25	
16AUG17	B	1	PD16NOV17	6460.50	6776.25	USD	7,893.75	
16AUG17	B	1	PD16NOV17	6461.00	6776.25	USD	7,881.25	
16AUG17	B	1	PD16NOV17	6467.00	6776.25	USD	7,731.25	
16AUG17	B	1	PD16NOV17	6465.50	6776.25	USD	7,768.75	
16AUG17	B	1	PD16NOV17	6468.00	6776.25	USD	7,706.25	
16AUG17	B	1	PD16NOV17	6472.00	6776.25	USD	7,606.25	
16AUG17	B	1	PD16NOV17	6462.50	6776.25	USD	7,843.75	
16AUG17	B	1	PD16NOV17	6494.00	6776.25	USD	7,056.25	
16AUG17	B	1	PD16NOV17	6494.50	6776.25	USD	7,043.75	
16AUG17	B	5	PD16NOV17	6490.00	6776.25	USD	35,781.25	
16AUG17	B	3	PD16NOV17	6491.50	6776.25	USD	21,356.25	
16AUG17	B	4	PD16NOV17	6512.00	6776.25	USD	26,425.00	
16AUG17	B	1	PD16NOV17	6515.00	6776.25	USD	6,531.25	
16AUG17	B	1	PD16NOV17	6545.00	6776.25	USD	5,781.25	
16AUG17	B	2	PD16NOV17	6549.00	6776.25	USD	11,362.50	
16AUG17	B	1	PD16NOV17	6544.50	6776.25	USD	5,793.75	
16AUG17	B	1	PD16NOV17	6546.00	6776.25	USD	5,756.25	
	NET	+15 AVG BGHT 6494.43	SOLD 6383.60				64,118.75	0.00
18AUG17	S	3	PD17NOV17	6465.00	6776.78	USD	-23,383.50	
18AUG17	S	1	PD17NOV17	6466.00	6776.78	USD	-7,769.50	
18AUG17	S	5	PD17NOV17	6464.50	6776.78	USD	-39,035.00	
18AUG17	S	3	PD17NOV17	6492.00	6776.78	USD	-21,358.50	
18AUG17	S	1	PD17NOV17	6493.50	6776.78	USD	-7,082.00	
18AUG17	S	1	PD17NOV17	6496.50	6776.78	USD	-7,007.00	
18AUG17	S	1	PD17NOV17	6501.00	6776.78	USD	-6,894.50	
18AUG17	S	3	PD17NOV17	6507.00	6776.78	USD	-20,233.50	
18AUG17	S	2	PD17NOV17	6509.50	6776.78	USD	-13,364.00	
18AUG17	S	4	PD17NOV17	6493.00	6776.78	USD	-28,378.00	
18AUG17	B	2	PD17NOV17	6508.00	6776.78	USD	13,439.00	

OPEN POSITION STATEMENT



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SAP0011G

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6500.00	6776.78	USD	6,919.50	
18AUG17	B	1	PD17NOV17	6498.50	6776.78	USD	6,957.00	
18AUG17	B	1	PD17NOV17	6503.00	6776.78	USD	6,844.50	
18AUG17	B	1	PD17NOV17	6512.00	6776.78	USD	6,619.50	
18AUG17	B	1	PD17NOV17	6519.00	6776.78	USD	6,444.50	
18AUG17	S	2	PD17NOV17	6465.50	6776.78	USD	-15,564.00	
18AUG17	S	1	PD17NOV17	6470.50	6776.78	USD	-7,657.00	
18AUG17	B	1	PD17NOV17	6485.50	6776.78	USD	7,282.00	
18AUG17	B	2	PD17NOV17	6486.00	6776.78	USD	14,539.00	
18AUG17	B	1	PD17NOV17	6483.00	6776.78	USD	7,344.50	
18AUG17	B	1	PD17NOV17	6489.00	6776.78	USD	7,194.50	
	NET	-15 AVG	BGHT 6498.17	SOLD 6483.85			-114,142.50	0.00
21AUG17	B	2	PD21NOV17	6594.00	6778.92	USD	9,246.00	
21AUG17	B	3	PD21NOV17	6595.50	6778.92	USD	13,756.50	
21AUG17	B	3	PD21NOV17	6598.00	6778.92	USD	13,569.00	
21AUG17	B	2	PD21NOV17	6600.00	6778.92	USD	8,946.00	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	B	1	PD21NOV17	6588.00	6778.92	USD	4,773.00	
21AUG17	B	3	PD21NOV17	6586.00	6778.92	USD	14,469.00	
	NET	+15 AVG	BGHT 6593.30				69,607.50	0.00
22AUG17	B	2	PD22NOV17	6615.00	6779.45	USD	8,222.50	
22AUG17	B	2	PD22NOV17	6626.00	6779.45	USD	7,672.50	
22AUG17	B	1	PD22NOV17	6592.00	6779.45	USD	4,686.25	
22AUG17	B	1	PD22NOV17	6585.00	6779.45	USD	4,861.25	
22AUG17	B	3	PD22NOV17	6587.00	6779.45	USD	14,433.75	
22AUG17	B	1	PD22NOV17	6584.00	6779.45	USD	4,886.25	
	NET	+10 AVG	BGHT 6600.40				44,762.50	0.00
25AUG17	S	2	PD24NOV17	6722.00	6780.52	USD	-2,926.00	
25AUG17	S	1	PD24NOV17	6725.50	6780.52	USD	-1,375.50	
25AUG17	S	1	PD24NOV17	6724.00	6780.52	USD	-1,413.00	
25AUG17	S	1	PD24NOV17	6724.50	6780.52	USD	-1,400.50	
	NET	-5 AVG	SOLD 6723.60				-7,115.00	0.00
29AUG17	S	5	PD29NOV17	6784.00	6783.18	USD	102.50	
29AUG17	S	1	PD29NOV17	6774.50	6783.18	USD	-217.00	
29AUG17	S	3	PD29NOV17	6777.00	6783.18	USD	-463.50	
29AUG17	S	1	PD29NOV17	6785.50	6783.18	USD	58.00	
29AUG17	S	1	PD29NOV17	6786.00	6783.18	USD	70.50	
29AUG17	S	1	PD29NOV17	6787.00	6783.18	USD	95.50	
29AUG17	S	1	PD29NOV17	6775.00	6783.18	USD	-204.50	
29AUG17	S	1	PD29NOV17	6776.00	6783.18	USD	-179.50	
29AUG17	S	2	PD29NOV17	6776.50	6783.18	USD	-334.00	
29AUG17	S	2	PD29NOV17	6773.00	6783.18	USD	-509.00	
29AUG17	S	1	PD29NOV17	6772.00	6783.18	USD	-279.50	
29AUG17	S	3	PD29NOV17	6778.50	6783.18	USD	-351.00	
29AUG17	S	3	PD29NOV17	6770.00	6783.18	USD	-988.50	
29AUG17	S	3	PD29NOV17	6789.00	6783.18	USD	436.50	
29AUG17	S	2	PD29NOV17	6771.00	6783.18	USD	-609.00	
	NET	-30 AVG	SOLD 6778.68				-3,372.50	0.00
31AUG17	S	1	PD30NOV17	6795.50	6783.72	USD	294.50	
31AUG17	S	1	PD30NOV17	6781.50	6783.72	USD	-55.50	
31AUG17	B	1	PD30NOV17	6797.50	6783.72	USD	-344.50	
31AUG17	B	1	PD30NOV17	6799.50	6783.72	USD	-394.50	
	NET	0 AVG	BGHT 6798.50	SOLD 6788.50			-500.00	0.00
04SEP17	S	1	PD04DEC17	6889.00	6785.75	USD	2,581.25	
04SEP17	S	1	PD04DEC17	6890.00	6785.75	USD	2,606.25	
04SEP17	S	1	PD04DEC17	6894.50	6785.75	USD	2,718.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	1	PD04DEC17	6895.50	6785.75	USD	2,743.75	
04SEP17	S	1	PD04DEC17	6898.00	6785.75	USD	2,806.25	
	NET	-5 AVG	SOLD 6893.40				13,456.25	0.00
05SEP17	B	4	PD05DEC17	6940.00	6786.25	USD	-15,375.00	
05SEP17	B	1	PD05DEC17	6941.00	6786.25	USD	-3,868.75	
05SEP17	B	1	PD05DEC17	6950.00	6786.25	USD	-4,093.75	
05SEP17	B	1	PD05DEC17	6952.00	6786.25	USD	-4,143.75	
05SEP17	B	1	PD05DEC17	6953.00	6786.25	USD	-4,168.75	
05SEP17	B	1	PD05DEC17	6957.00	6786.25	USD	-4,268.75	
05SEP17	B	1	PD05DEC17	6959.50	6786.25	USD	-4,331.25	
05SEP17	S	1	PD05DEC17	6847.00	6786.25	USD	1,518.75	
05SEP17	S	1	PD05DEC17	6853.50	6786.25	USD	1,681.25	
05SEP17	S	1	PD05DEC17	6860.00	6786.25	USD	1,843.75	
05SEP17	S	2	PD05DEC17	6853.00	6786.25	USD	3,337.50	
	NET	+5 AVG	BGHT 6947.25				-31,868.75	0.00
06SEP17	S	3	PD06DEC17	6888.00	6786.75	USD	7,593.75	
06SEP17	S	2	PD06DEC17	6889.00	6786.75	USD	5,112.50	
	NET	-5 AVG	SOLD 6888.40				12,706.25	0.00
08SEP17	B	1	PD08DEC17	6826.50	6787.71	USD	-969.75	
08SEP17	B	1	PD08DEC17	6821.00	6787.71	USD	-832.25	
08SEP17	B	1	PD08DEC17	6815.00	6787.71	USD	-682.25	
08SEP17	B	1	PD08DEC17	6819.00	6787.71	USD	-782.25	
08SEP17	B	2	PD08DEC17	6822.00	6787.71	USD	-1,714.50	
08SEP17	B	1	PD08DEC17	6822.50	6787.71	USD	-869.75	
08SEP17	B	1	PD08DEC17	6825.00	6787.71	USD	-932.25	
08SEP17	B	1	PD08DEC17	6828.00	6787.71	USD	-1,007.25	
08SEP17	B	1	PD08DEC17	6828.50	6787.71	USD	-1,019.75	
	NET	+10 AVG	BGHT 6822.95				-8,810.00	0.00
11SEP17	B	5	PD11DEC17	6708.00	6789.15	USD	10,143.75	
11SEP17	B	4	PD11DEC17	6728.50	6789.15	USD	6,065.00	
11SEP17	B	1	PD11DEC17	6740.00	6789.15	USD	1,228.75	
	NET	+10 AVG	BGHT 6719.40				17,437.50	0.00
12SEP17	B	1	PD12DEC17	6710.00	6789.63	USD	1,990.75	
12SEP17	B	1	PD12DEC17	6720.00	6789.63	USD	1,740.75	
12SEP17	B	1	PD12DEC17	6721.00	6789.63	USD	1,715.75	
12SEP17	B	1	PD12DEC17	6716.00	6789.63	USD	1,840.75	
12SEP17	B	2	PD12DEC17	6729.00	6789.63	USD	3,031.50	
12SEP17	B	1	PD12DEC17	6733.00	6789.63	USD	1,415.75	
12SEP17	B	1	PD12DEC17	6688.50	6789.63	USD	2,528.25	
12SEP17	B	1	PD12DEC17	6689.50	6789.63	USD	2,503.25	
12SEP17	B	1	PD12DEC17	6687.00	6789.63	USD	2,565.75	
12SEP17	B	1	PD12DEC17	6687.50	6789.63	USD	2,553.25	
12SEP17	B	4	PD12DEC17	6690.00	6789.63	USD	9,963.00	
12SEP17	B	1	PD12DEC17	6692.50	6789.63	USD	2,428.25	
12SEP17	B	2	PD12DEC17	6718.00	6789.63	USD	3,581.50	
12SEP17	B	1	PD12DEC17	6725.00	6789.63	USD	1,615.75	
12SEP17	B	1	PD12DEC17	6689.00	6789.63	USD	2,515.75	
	NET	+20 AVG	BGHT 6705.65				41,990.00	0.00
13SEP17	B	2	PD13DEC17	6568.50	6790.10	USD	11,080.00	
13SEP17	B	2	PD13DEC17	6564.50	6790.10	USD	11,280.00	
13SEP17	B	1	PD13DEC17	6565.50	6790.10	USD	5,615.00	
	NET	+5 AVG	BGHT 6566.30				27,975.00	0.00
18SEP17	S	10	PD18DEC17	6550.00	6792.50	USD	-60,625.00	
18SEP17	S	1	PD18DEC17	6538.00	6792.50	USD	-6,362.50	
18SEP17	S	2	PD18DEC17	6528.00	6792.50	USD	-13,225.00	

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18SEP17	S	2	PD18DEC17	6529.00	6792.50	USD	-13,175.00	
18SEP17	S	4	PD18DEC17	6527.50	6792.50	USD	-26,500.00	
18SEP17	S	1	PD18DEC17	6519.00	6792.50	USD	-6,837.50	
	NET	-20 AVG SOLD 6539.05					-126,725.00	0.00
19SEP17	S	2	PD19DEC17	6536.00	6792.50	USD	-12,825.00	
19SEP17	S	1	PD19DEC17	6534.50	6792.50	USD	-6,450.00	
19SEP17	S	1	PD19DEC17	6537.00	6792.50	USD	-6,387.50	
19SEP17	S	1	PD19DEC17	6538.00	6792.50	USD	-6,362.50	
19SEP17	B	1	PD19DEC17	6507.00	6792.50	USD	7,137.50	
19SEP17	B	1	PD19DEC17	6508.00	6792.50	USD	7,112.50	
19SEP17	B	1	PD19DEC17	6509.00	6792.50	USD	7,087.50	
19SEP17	B	2	PD19DEC17	6510.00	6792.50	USD	14,125.00	
19SEP17	B	3	PD19DEC17	6536.50	6792.50	USD	19,200.00	
19SEP17	B	1	PD19DEC17	6531.00	6792.50	USD	6,537.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
	NET	+5 AVG BGHT 6521.70 SOLD 6536.30					35,675.00	0.00
21SEP17	S	1	PD21DEC17	6453.00	6791.50	USD	-8,462.50	
21SEP17	S	1	PD21DEC17	6458.50	6791.50	USD	-8,325.00	
21SEP17	S	1	PD21DEC17	6436.00	6791.50	USD	-8,887.50	
21SEP17	S	1	PD21DEC17	6439.00	6791.50	USD	-8,812.50	
21SEP17	S	1	PD21DEC17	6440.50	6791.50	USD	-8,775.00	
21SEP17	S	1	PD21DEC17	6442.50	6791.50	USD	-8,725.00	
21SEP17	S	1	PD21DEC17	6446.50	6791.50	USD	-8,625.00	
21SEP17	S	3	PD21DEC17	6457.00	6791.50	USD	-25,087.50	
	NET	-10 AVG SOLD 6448.70					-85,700.00	0.00
22SEP17	B	1	PD22DEC17	6390.00	6790.50	USD	10,012.50	
22SEP17	B	5	PD22DEC17	6391.00	6790.50	USD	49,937.50	
22SEP17	B	2	PD22DEC17	6392.00	6790.50	USD	19,925.00	
22SEP17	B	1	PD22DEC17	6395.00	6790.50	USD	9,887.50	
22SEP17	B	1	PD22DEC17	6388.50	6790.50	USD	10,050.00	
	NET	+10 AVG BGHT 6391.25					99,812.50	0.00
25SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
25SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	
25SEP17	S	2	PD27DEC17	6454.00	6793.00	USD	-16,950.00	
26SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
26SEP17	S	1	PD27DEC17	6438.50	6793.00	USD	-8,862.50	
26SEP17	S	1	PD27DEC17	6439.50	6793.00	USD	-8,837.50	
26SEP17	S	1	PD27DEC17	6440.50	6793.00	USD	-8,812.50	
26SEP17	S	2	PD27DEC17	6441.50	6793.00	USD	-17,575.00	
26SEP17	S	1	PD27DEC17	6429.50	6793.00	USD	-9,087.50	
26SEP17	S	1	PD27DEC17	6435.00	6793.00	USD	-8,950.00	
26SEP17	S	2	PD27DEC17	6442.50	6793.00	USD	-17,525.00	
27SEP17	B	2	PD27DEC17	6465.00	6793.00	USD	16,400.00	
27SEP17	B	2	PD27DEC17	6462.50	6793.00	USD	16,525.00	
27SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
	NET	-10 AVG BGHT 6462.80 SOLD 6443.13					-89,925.00	0.00
28SEP17	B	2	PD28DEC17	6564.50	6793.47	USD	11,448.50	
28SEP17	B	1	PD28DEC17	6568.00	6793.47	USD	5,636.75	
28SEP17	B	2	PD28DEC17	6572.00	6793.47	USD	11,073.50	
	NET	+5 AVG BGHT 6568.20					28,158.75	0.00
29SEP17	B	1	PD29DEC17	6561.00	6793.93	USD	5,823.25	
29SEP17	B	2	PD29DEC17	6565.00	6793.93	USD	11,446.50	
29SEP17	B	1	PD29DEC17	6569.00	6793.93	USD	5,623.25	
29SEP17	B	1	PD29DEC17	6559.00	6793.93	USD	5,873.25	

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29SEP17	B	3	PD29DEC17	6546.00	6793.93	USD	18,594.75	
29SEP17	B	3	PD29DEC17	6549.00	6793.93	USD	18,369.75	
29SEP17	B	1	PD29DEC17	6543.00	6793.93	USD	6,273.25	
29SEP17	B	3	PD29DEC17	6544.00	6793.93	USD	18,744.75	
29SEP17	S	1	PD29DEC17	6532.00	6793.93	USD	-6,548.25	
29SEP17	S	1	PD29DEC17	6536.50	6793.93	USD	-6,435.75	
29SEP17	S	1	PD29DEC17	6530.00	6793.93	USD	-6,598.25	
29SEP17	S	1	PD29DEC17	6530.50	6793.93	USD	-6,585.75	
29SEP17	S	2	PD29DEC17	6531.00	6793.93	USD	-13,146.50	
29SEP17	S	2	PD29DEC17	6533.00	6793.93	USD	-13,046.50	
29SEP17	S	1	PD29DEC17	6525.00	6793.93	USD	-6,723.25	
29SEP17	S	1	PD29DEC17	6529.00	6793.93	USD	-6,623.25	
	NET	+5 AVG BGHT 6551.93	SOLD 6531.10				25,041.25	0.00
03OCT17	S	1	PD03JAN18	6463.50	6796.27	USD	-8,319.25	
03OCT17	S	1	PD03JAN18	6464.00	6796.27	USD	-8,306.75	
03OCT17	S	1	PD03JAN18	6465.00	6796.27	USD	-8,281.75	
03OCT17	S	1	PD03JAN18	6466.00	6796.27	USD	-8,256.75	
03OCT17	S	1	PD03JAN18	6467.00	6796.27	USD	-8,231.75	
	NET	-5 AVG SOLD 6465.10					-41,396.25	0.00
05OCT17	B	1	PD05JAN18	6692.50	6797.20	USD	2,617.50	
05OCT17	B	1	PD05JAN18	6691.50	6797.20	USD	2,642.50	
05OCT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	
05OCT17	B	2	PD05JAN18	6682.00	6797.20	USD	5,760.00	
05OCT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	
05OCT17	B	1	PD05JAN18	6687.00	6797.20	USD	2,755.00	
05OCT17	B	2	PD05JAN18	6683.00	6797.20	USD	5,710.00	
05OCT17	B	1	PD05JAN18	6684.50	6797.20	USD	2,817.50	
	NET	+10 AVG BGHT 6686.20					27,750.00	0.00
09OCT17	S	2	PD09JAN18	6663.00	6799.07	USD	-6,803.50	
09OCT17	S	5	PD09JAN18	6663.50	6799.07	USD	-16,946.25	
09OCT17	S	3	PD09JAN18	6664.00	6799.07	USD	-10,130.25	
09OCT17	S	5	PD09JAN18	6680.00	6799.07	USD	-14,883.75	
	NET	-15 AVG SOLD 6669.03					-48,763.75	0.00
10OCT17	S	1	PD10JAN18	6686.00	6799.53	USD	-2,838.25	
10OCT17	S	1	PD10JAN18	6688.00	6799.53	USD	-2,788.25	
10OCT17	S	1	PD10JAN18	6693.00	6799.53	USD	-2,663.25	
10OCT17	S	1	PD10JAN18	6698.00	6799.53	USD	-2,538.25	
10OCT17	S	1	PD10JAN18	6706.00	6799.53	USD	-2,338.25	
10OCT17	S	2	PD10JAN18	6707.00	6799.53	USD	-4,626.50	
10OCT17	S	1	PD10JAN18	6710.00	6799.53	USD	-2,238.25	
10OCT17	S	2	PD10JAN18	6711.50	6799.53	USD	-4,401.50	
	NET	-10 AVG SOLD 6701.80					-24,432.50	0.00
11OCT17	B	1	PD11JAN18	6801.00	6800.00	USD	-25.00	
11OCT17	B	3	PD11JAN18	6799.00	6800.00	USD	75.00	
11OCT17	B	1	PD11JAN18	6802.00	6800.00	USD	-50.00	
	NET	+5 AVG BGHT 6800.00					0.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	2	DEC17	308.950	309.550	USD	-300.00	
11OCT17	S	1	DEC17	308.850	309.550	USD	-175.00	
11OCT17	S	4	DEC17	308.900	309.550	USD	-650.00	
11OCT17	S	1	DEC17	309.050	309.550	USD	-125.00	
11OCT17	S	3	DEC17	309.000	309.550	USD	-412.50	
	NET	-11 AVG SOLD 308.945					-1,662.50	0.00
12SEP17	S	1	MAR18	307.450	311.550	USD	-1,025.00	
12SEP17	S	1	MAR18	307.550	311.550	USD	-1,000.00	

OPEN POSITION STATEMENT



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SAP0011G

11th October 2017

Sapien Capital Limited
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
12SEP17	S 2 MAR18	307.300	311.550	USD	-2,125.00	
12SEP17	S 3 MAR18	307.600	311.550	USD	-2,962.50	
12SEP17	S 4 MAR18	307.700	311.550	USD	-3,850.00	
12SEP17	S 4 MAR18	306.300	311.550	USD	-5,250.00	
12SEP17	S 3 MAR18	306.400	311.550	USD	-3,862.50	
12SEP17	S 3 MAR18	306.550	311.550	USD	-3,750.00	
12SEP17	S 1 MAR18	306.450	311.550	USD	-1,275.00	
	NET -22 AVG SOLD 306.986				-25,100.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,314,868.75
CMX Copper	FUTURES	2,538,025.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-26,762.50	-26,762.50	-26,762.50	-26,762.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	220,142.50	220,142.50	220,142.50	220,142.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-161,954.55	-161,954.55
- Options	-26,762.50	-26,762.50
	0.00	0.00
TOTAL EQUITY	-188,717.05	-188,717.05
Initial Margins	-103,950.00	-103,950.00
Excess/(Shortage)	-292,667.05	-292,667.05
FOR INFORMATION ONLY		
Forward Unrealised P&L	220,142.50	220,142.50
Net Liquidating Value	31,425.45	31,425.45

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5897.00	6755.20	USD	42,910.00	
12JUL17	B	3	PD120CT17	5898.00	6755.20	USD	64,290.00	
12JUL17	B	2	PD120CT17	5922.50	6755.20	USD	41,635.00	
12JUL17	B	1	PD120CT17	5923.00	6755.20	USD	20,805.00	
12JUL17	B	4	PD120CT17	5925.00	6755.20	USD	83,020.00	
12JUL17	B	2	PD120CT17	5921.00	6755.20	USD	41,710.00	
12JUL17	B	1	PD120CT17	5929.00	6755.20	USD	20,655.00	
12JUL17	B	1	PD120CT17	5919.50	6755.20	USD	20,892.50	
12JUL17	B	1	PD120CT17	5920.00	6755.20	USD	20,880.00	
12JUL17	B	3	PD120CT17	5919.00	6755.20	USD	62,715.00	
20JUL17	S	10	PD120CT17	5958.00	6755.20	USD	-199,300.00	
10AUG17	S	10	PD120CT17	6413.02	6755.20	USD	-85,545.00	
	NET	0 AVG BGHT 5916.18	SOLD 6185.51				134,667.50	0.00
13JUL17	S	2	PD130CT17	5877.00	6756.00	USD	-43,950.00	
13JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
13JUL17	S	2	PD130CT17	5883.00	6756.00	USD	-43,650.00	
14JUL17	S	1	PD130CT17	5869.00	6756.00	USD	-22,175.00	
14JUL17	S	2	PD130CT17	5872.00	6756.00	USD	-44,200.00	
14JUL17	S	2	PD130CT17	5872.50	6756.00	USD	-44,175.00	
14JUL17	S	3	PD130CT17	5876.50	6756.00	USD	-65,962.50	
14JUL17	S	2	PD130CT17	5877.50	6756.00	USD	-43,925.00	
14JUL17	S	1	PD130CT17	5878.50	6756.00	USD	-21,937.50	
14JUL17	S	4	PD130CT17	5880.00	6756.00	USD	-87,600.00	
14JUL17	S	4	PD130CT17	5882.00	6756.00	USD	-87,400.00	
14JUL17	S	1	PD130CT17	5882.50	6756.00	USD	-21,837.50	
14JUL17	B	2	PD130CT17	5892.00	6756.00	USD	43,200.00	
14JUL17	B	1	PD130CT17	5882.50	6756.00	USD	21,837.50	
14JUL17	B	1	PD130CT17	5879.00	6756.00	USD	21,925.00	
14JUL17	B	1	PD130CT17	5883.50	6756.00	USD	21,812.50	
20JUL17	B	20	PD130CT17	5958.62	6756.00	USD	398,690.00	
	NET	0 AVG BGHT 5944.06	SOLD 5878.10				-41,222.50	0.00
19JUL17	B	2	PD190CT17	5992.00	6760.88	USD	38,444.00	
19JUL17	B	5	PD190CT17	5990.00	6760.88	USD	96,360.00	
19JUL17	B	1	PD190CT17	5997.50	6760.88	USD	19,084.50	
19JUL17	B	1	PD190CT17	5999.00	6760.88	USD	19,047.00	
19JUL17	B	1	PD190CT17	5995.00	6760.88	USD	19,147.00	
19JUL17	S	1	PD190CT17	6000.50	6760.88	USD	-19,009.50	
19JUL17	B	1	PD190CT17	5993.50	6760.88	USD	19,184.50	
19JUL17	S	2	PD190CT17	5972.50	6760.88	USD	-39,419.00	
19JUL17	S	2	PD190CT17	5963.50	6760.88	USD	-39,869.00	
19JUL17	S	1	PD190CT17	5964.00	6760.88	USD	-19,922.00	
10AUG17	S	5	PD190CT17	6415.25	6760.88	USD	-43,203.75	
	NET	0 AVG BGHT 5992.64	SOLD 6173.89				49,843.75	0.00
20JUL17	S	2	PD200CT17	5969.50	6761.75	USD	-39,612.50	
20JUL17	S	1	PD200CT17	5971.50	6761.75	USD	-19,756.25	
20JUL17	S	1	PD200CT17	5967.50	6761.75	USD	-19,856.25	
20JUL17	S	3	PD200CT17	5970.50	6761.75	USD	-59,343.75	
20JUL17	S	3	PD200CT17	5973.50	6761.75	USD	-59,118.75	
21JUL17	B	3	PD200CT17	6031.00	6761.75	USD	54,806.25	
21JUL17	B	5	PD200CT17	6032.00	6761.75	USD	91,218.75	
21JUL17	B	2	PD200CT17	6033.00	6761.75	USD	36,437.50	
	NET	0 AVG BGHT 6031.90	SOLD 5971.00				-15,225.00	0.00
25JUL17	S	3	PD250CT17	6045.50	6765.68	USD	-54,013.50	
25JUL17	S	4	PD250CT17	6046.00	6765.68	USD	-71,968.00	
25JUL17	S	2	PD250CT17	6046.50	6765.68	USD	-35,959.00	
25JUL17	S	1	PD250CT17	6047.00	6765.68	USD	-17,967.00	

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25JUL17	S	1	PD250CT17	6062.50	6765.68	USD	-17,579.50	
25JUL17	S	3	PD250CT17	6066.50	6765.68	USD	-52,438.50	
25JUL17	S	1	PD250CT17	6067.00	6765.68	USD	-17,467.00	
25JUL17	B	5	PD250CT17	6181.50	6765.68	USD	73,022.50	
25JUL17	B	1	PD250CT17	6225.50	6765.68	USD	13,504.50	
25JUL17	B	2	PD250CT17	6227.00	6765.68	USD	26,934.00	
25JUL17	B	2	PD250CT17	6227.50	6765.68	USD	26,909.00	
25JUL17	B	1	PD250CT17	6228.50	6765.68	USD	13,429.50	
25JUL17	B	4	PD250CT17	6230.00	6765.68	USD	53,568.00	
25JUL17	B	1	PD250CT17	6221.50	6765.68	USD	13,604.50	
25JUL17	B	4	PD250CT17	6226.00	6765.68	USD	53,968.00	
25JUL17	B	2	PD250CT17	6226.50	6765.68	USD	26,959.00	
25JUL17	B	1	PD250CT17	6231.50	6765.68	USD	13,354.50	
25JUL17	B	2	PD250CT17	6233.50	6765.68	USD	26,609.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	4	PD250CT17	6236.00	6765.68	USD	52,968.00	
25JUL17	B	1	PD250CT17	6242.50	6765.68	USD	13,079.50	
25JUL17	B	9	PD250CT17	6224.00	6765.68	USD	121,878.00	
10AUG17	S	25	PD250CT17	6416.39	6765.68	USD	-218,306.25	
	NET	0	AVG BGHT 6222.33	SOLD 6279.98			57,656.25	0.00
26JUL17	S	2	PD260CT17	6297.00	6766.11	USD	-23,455.50	
26JUL17	S	2	PD260CT17	6300.00	6766.11	USD	-23,305.50	
26JUL17	S	1	PD260CT17	6301.50	6766.11	USD	-11,615.25	
26JUL17	S	2	PD260CT17	6302.00	6766.11	USD	-23,205.50	
26JUL17	S	2	PD260CT17	6303.00	6766.11	USD	-23,155.50	
26JUL17	S	1	PD260CT17	6345.00	6766.11	USD	-10,527.75	
26JUL17	S	1	PD260CT17	6356.00	6766.11	USD	-10,252.75	
26JUL17	S	2	PD260CT17	6371.50	6766.11	USD	-19,730.50	
26JUL17	S	2	PD260CT17	6387.00	6766.11	USD	-18,955.50	
26JUL17	S	1	PD260CT17	6390.00	6766.11	USD	-9,402.75	
26JUL17	S	2	PD260CT17	6392.00	6766.11	USD	-18,705.50	
26JUL17	S	4	PD260CT17	6351.00	6766.11	USD	-41,511.00	
26JUL17	S	2	PD260CT17	6352.00	6766.11	USD	-20,705.50	
26JUL17	S	1	PD260CT17	6354.00	6766.11	USD	-10,302.75	
26JUL17	B	4	PD260CT17	6306.00	6766.11	USD	46,011.00	
26JUL17	B	1	PD260CT17	6307.00	6766.11	USD	11,477.75	
26JUL17	B	2	PD260CT17	6275.50	6766.11	USD	24,530.50	
26JUL17	B	1	PD260CT17	6282.50	6766.11	USD	12,090.25	
26JUL17	B	1	PD260CT17	6269.00	6766.11	USD	12,427.75	
26JUL17	B	2	PD260CT17	6273.00	6766.11	USD	24,655.50	
26JUL17	B	2	PD260CT17	6278.00	6766.11	USD	24,405.50	
26JUL17	B	2	PD260CT17	6278.50	6766.11	USD	24,380.50	
26JUL17	B	3	PD260CT17	6276.50	6766.11	USD	36,720.75	
26JUL17	B	2	PD260CT17	6277.50	6766.11	USD	24,430.50	
26JUL17	B	2	PD260CT17	6281.00	6766.11	USD	24,255.50	
26JUL17	B	6	PD260CT17	6279.00	6766.11	USD	73,066.50	
26JUL17	B	2	PD260CT17	6277.00	6766.11	USD	24,455.50	
26JUL17	S	2	PD260CT17	6328.00	6766.11	USD	-21,905.50	
26JUL17	S	2	PD260CT17	6332.50	6766.11	USD	-21,680.50	
26JUL17	S	1	PD260CT17	6335.00	6766.11	USD	-10,777.75	
26JUL17	S	2	PD260CT17	6340.50	6766.11	USD	-21,280.50	
26JUL17	S	2	PD260CT17	6342.00	6766.11	USD	-21,205.50	
26JUL17	S	1	PD260CT17	6337.00	6766.11	USD	-10,727.75	
10AUG17	B	5	PD260CT17	6417.02	6766.11	USD	43,636.25	
	NET	0	AVG BGHT 6301.49	SOLD 6340.50			34,135.00	0.00
27JUL17	S	1	PD270CT17	6339.00	6766.54	USD	-10,688.50	
27JUL17	S	1	PD270CT17	6341.00	6766.54	USD	-10,638.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	6348.50	6766.54	USD	-10,451.00	
27JUL17	S	1	PD27OCT17	6349.50	6766.54	USD	-10,426.00	
27JUL17	S	1	PD27OCT17	6350.50	6766.54	USD	-10,401.00	
27JUL17	B	2	PD27OCT17	6348.50	6766.54	USD	20,902.00	
27JUL17	B	5	PD27OCT17	6350.50	6766.54	USD	52,005.00	
27JUL17	B	3	PD27OCT17	6351.50	6766.54	USD	31,128.00	
27JUL17	B	4	PD27OCT17	6352.00	6766.54	USD	41,454.00	
27JUL17	B	1	PD27OCT17	6352.50	6766.54	USD	10,351.00	
28JUL17	B	5	PD27OCT17	6329.50	6766.54	USD	54,630.00	
28JUL17	S	1	PD27OCT17	6317.50	6766.54	USD	-11,226.00	
28JUL17	S	4	PD27OCT17	6324.50	6766.54	USD	-44,204.00	
28JUL17	S	1	PD27OCT17	6325.50	6766.54	USD	-11,026.00	
28JUL17	S	1	PD27OCT17	6326.50	6766.54	USD	-11,001.00	
28JUL17	S	1	PD27OCT17	6327.00	6766.54	USD	-10,988.50	
28JUL17	S	2	PD27OCT17	6327.50	6766.54	USD	-21,952.00	
28JUL17	S	5	PD27OCT17	6328.50	6766.54	USD	-54,755.00	
28JUL17	S	5	PD27OCT17	6329.00	6766.54	USD	-54,692.50	
28JUL17	S	5	PD27OCT17	6329.50	6766.54	USD	-54,630.00	
10AUG17	B	10	PD27OCT17	6417.45	6766.54	USD	87,272.50	
	NET	0AVG BGHT 6369.55	SOLD 6330.43				-29,337.50	0.00
31JUL17	S	1	PD31OCT17	6362.50	6768.25	USD	-10,143.75	
31JUL17	S	3	PD31OCT17	6377.50	6768.25	USD	-29,306.25	
31JUL17	S	2	PD31OCT17	6364.50	6768.25	USD	-20,187.50	
31JUL17	S	2	PD31OCT17	6365.50	6768.25	USD	-20,137.50	
31JUL17	S	2	PD31OCT17	6362.00	6768.25	USD	-20,312.50	
31JUL17	S	1	PD31OCT17	6364.00	6768.25	USD	-10,106.25	
31JUL17	S	1	PD31OCT17	6367.50	6768.25	USD	-10,018.75	
31JUL17	S	1	PD31OCT17	6369.00	6768.25	USD	-9,981.25	
31JUL17	S	2	PD31OCT17	6370.00	6768.25	USD	-19,912.50	
31JUL17	S	2	PD31OCT17	6366.00	6768.25	USD	-20,112.50	
31JUL17	S	3	PD31OCT17	6367.00	6768.25	USD	-30,093.75	
10AUG17	B	20	PD31OCT17	6419.09	6768.25	USD	174,580.00	
	NET	0AVG BGHT 6419.09	SOLD 6367.63				-25,732.50	0.00
02AUG17	S	1	PD02NOV17	6333.50	6770.10	USD	-10,915.00	
02AUG17	S	1	PD02NOV17	6334.00	6770.10	USD	-10,902.50	
02AUG17	S	1	PD02NOV17	6334.50	6770.10	USD	-10,890.00	
02AUG17	S	2	PD02NOV17	6335.50	6770.10	USD	-21,730.00	
02AUG17	B	2	PD02NOV17	6331.00	6770.10	USD	21,955.00	
02AUG17	B	1	PD02NOV17	6332.00	6770.10	USD	10,952.50	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	1	PD02NOV17	6337.00	6770.10	USD	10,827.50	
	NET	0AVG BGHT 6333.40	SOLD 6334.60				150.00	0.00
03AUG17	S	2	PD03NOV17	6312.50	6771.03	USD	-22,926.50	
03AUG17	S	2	PD03NOV17	6313.50	6771.03	USD	-22,876.50	
03AUG17	S	1	PD03NOV17	6314.50	6771.03	USD	-11,413.25	
03AUG17	S	1	PD03NOV17	6328.50	6771.03	USD	-11,063.25	
03AUG17	S	2	PD03NOV17	6330.00	6771.03	USD	-22,051.50	
03AUG17	S	2	PD03NOV17	6332.00	6771.03	USD	-21,951.50	
03AUG17	B	5	PD03NOV17	6311.00	6771.03	USD	57,503.75	
03AUG17	S	3	PD03NOV17	6348.50	6771.03	USD	-31,689.75	
03AUG17	S	1	PD03NOV17	6349.50	6771.03	USD	-10,538.25	
03AUG17	S	1	PD03NOV17	6348.00	6771.03	USD	-10,575.75	
04AUG17	B	1	PD03NOV17	6360.00	6771.03	USD	10,275.75	
04AUG17	B	1	PD03NOV17	6372.00	6771.03	USD	9,975.75	
04AUG17	B	1	PD03NOV17	6373.00	6771.03	USD	9,950.75	
04AUG17	B	1	PD03NOV17	6374.00	6771.03	USD	9,925.75	

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04AUG17	B	1	PD03NOV17	6379.00	6771.03	USD	9,800.75	
04AUG17	B	1	PD03NOV17	6380.00	6771.03	USD	9,775.75	
04AUG17	B	2	PD03NOV17	6381.00	6771.03	USD	19,501.50	
04AUG17	S	1	PD03NOV17	6361.00	6771.03	USD	-10,250.75	
04AUG17	S	3	PD03NOV17	6359.00	6771.03	USD	-30,902.25	
04AUG17	B	1	PD03NOV17	6358.50	6771.03	USD	10,313.25	
04AUG17	S	2	PD03NOV17	6326.00	6771.03	USD	-22,251.50	
04AUG17	S	1	PD03NOV17	6329.00	6771.03	USD	-11,050.75	
04AUG17	S	2	PD03NOV17	6331.00	6771.03	USD	-22,001.50	
10AUG17	B	10	PD03NOV17	6420.13	6771.03	USD	87,725.00	
	NET	0 AVG BGHT 6379.78	SOLD 6335.13				-26,795.00	0.00
07AUG17	B	2	PD07NOV17	6348.00	6774.73	USD	21,336.50	
07AUG17	B	1	PD07NOV17	6349.00	6774.73	USD	10,643.25	
07AUG17	B	1	PD07NOV17	6346.00	6774.73	USD	10,718.25	
07AUG17	B	1	PD07NOV17	6346.50	6774.73	USD	10,705.75	
07AUG17	B	3	PD07NOV17	6418.00	6774.73	USD	26,754.75	
07AUG17	B	2	PD07NOV17	6419.00	6774.73	USD	17,786.50	
07AUG17	B	4	PD07NOV17	6420.00	6774.73	USD	35,473.00	
07AUG17	B	2	PD07NOV17	6425.00	6774.73	USD	17,486.50	
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	1	PD07NOV17	6436.00	6774.73	USD	8,468.25	
07AUG17	B	2	PD07NOV17	6441.00	6774.73	USD	16,686.50	
10AUG17	S	10	PD07NOV17	6421.57	6774.73	USD	-88,290.00	
	NET	+10 AVG BGHT 6405.28	SOLD 6421.57				96,437.50	0.00
08AUG17	S	4	PD08NOV17	6395.00	6775.65	USD	-38,065.00	
08AUG17	S	1	PD08NOV17	6395.50	6775.65	USD	-9,503.75	
08AUG17	B	2	PD08NOV17	6472.00	6775.65	USD	15,182.50	
08AUG17	B	3	PD08NOV17	6474.50	6775.65	USD	22,586.25	
08AUG17	B	2	PD08NOV17	6476.00	6775.65	USD	14,982.50	
08AUG17	B	1	PD08NOV17	6475.00	6775.65	USD	7,516.25	
08AUG17	B	1	PD08NOV17	6473.00	6775.65	USD	7,566.25	
08AUG17	B	1	PD08NOV17	6474.00	6775.65	USD	7,541.25	
08AUG17	B	2	PD08NOV17	6483.00	6775.65	USD	14,632.50	
08AUG17	B	2	PD08NOV17	6480.50	6775.65	USD	14,757.50	
08AUG17	B	1	PD08NOV17	6480.00	6775.65	USD	7,391.25	
	NET	+10 AVG BGHT 6476.57	SOLD 6395.10				64,587.50	0.00
09AUG17	S	4	PD09NOV17	6455.00	6776.58	USD	-32,158.00	
09AUG17	S	1	PD09NOV17	6456.00	6776.58	USD	-8,014.50	
10AUG17	B	5	PD09NOV17	6422.79	6776.58	USD	44,223.75	
	NET	0 AVG BGHT 6422.79	SOLD 6455.20				4,051.25	0.00
14AUG17	S	4	PD14NOV17	6418.00	6776.83	USD	-35,883.00	
14AUG17	S	3	PD14NOV17	6420.00	6776.83	USD	-26,762.25	
14AUG17	S	3	PD14NOV17	6419.00	6776.83	USD	-26,837.25	
14AUG17	S	1	PD14NOV17	6401.50	6776.83	USD	-9,383.25	
14AUG17	S	2	PD14NOV17	6402.00	6776.83	USD	-18,741.50	
14AUG17	S	2	PD14NOV17	6403.00	6776.83	USD	-18,691.50	
14AUG17	B	2	PD14NOV17	6402.00	6776.83	USD	18,741.50	
14AUG17	B	3	PD14NOV17	6403.00	6776.83	USD	28,037.25	
14AUG17	B	5	PD14NOV17	6410.00	6776.83	USD	45,853.75	
	NET	-5 AVG BGHT 6406.30	SOLD 6413.37				-43,666.25	0.00
16AUG17	S	2	PD16NOV17	6378.00	6776.25	USD	-19,912.50	
16AUG17	S	1	PD16NOV17	6379.50	6776.25	USD	-9,918.75	
16AUG17	S	5	PD16NOV17	6380.00	6776.25	USD	-49,531.25	
16AUG17	S	2	PD16NOV17	6380.50	6776.25	USD	-19,787.50	
16AUG17	S	2	PD16NOV17	6388.50	6776.25	USD	-19,387.50	
16AUG17	S	3	PD16NOV17	6391.00	6776.25	USD	-28,893.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	5	PD16NOV17	6488.00	6776.25	USD	36,031.25	
16AUG17	B	2	PD16NOV17	6489.00	6776.25	USD	14,362.50	
16AUG17	B	3	PD16NOV17	6493.00	6776.25	USD	21,243.75	
16AUG17	B	3	PD16NOV17	6513.00	6776.25	USD	19,743.75	
16AUG17	B	2	PD16NOV17	6515.00	6776.25	USD	13,062.50	
16AUG17	B	3	PD16NOV17	6521.00	6776.25	USD	19,143.75	
16AUG17	B	2	PD16NOV17	6527.00	6776.25	USD	12,462.50	
16AUG17	B	3	PD16NOV17	6547.00	6776.25	USD	17,193.75	
16AUG17	B	2	PD16NOV17	6548.50	6776.25	USD	11,387.50	
	NET	+10 AVG BGHT 6512.84	SOLD 6383.10				17,200.00	0.00
17AUG17	S	1	PD17NOV17	6489.50	6776.78	USD	-7,182.00	
17AUG17	S	3	PD17NOV17	6490.00	6776.78	USD	-21,508.50	
17AUG17	S	1	PD17NOV17	6491.00	6776.78	USD	-7,144.50	
18AUG17	S	3	PD17NOV17	6462.50	6776.78	USD	-23,571.00	
18AUG17	S	2	PD17NOV17	6466.00	6776.78	USD	-15,539.00	
18AUG17	S	5	PD17NOV17	6465.50	6776.78	USD	-38,910.00	
18AUG17	S	2	PD17NOV17	6490.00	6776.78	USD	-14,339.00	
18AUG17	S	3	PD17NOV17	6491.00	6776.78	USD	-21,433.50	
18AUG17	S	2	PD17NOV17	6493.00	6776.78	USD	-14,189.00	
18AUG17	S	3	PD17NOV17	6494.00	6776.78	USD	-21,208.50	
18AUG17	B	2	PD17NOV17	6510.00	6776.78	USD	13,339.00	
18AUG17	B	2	PD17NOV17	6500.00	6776.78	USD	13,839.00	
18AUG17	B	1	PD17NOV17	6502.00	6776.78	USD	6,869.50	
18AUG17	B	2	PD17NOV17	6517.00	6776.78	USD	12,989.00	
18AUG17	B	1	PD17NOV17	6526.00	6776.78	USD	6,269.50	
18AUG17	B	1	PD17NOV17	6528.00	6776.78	USD	6,219.50	
18AUG17	B	1	PD17NOV17	6529.00	6776.78	USD	6,194.50	
18AUG17	B	2	PD17NOV17	6487.00	6776.78	USD	14,489.00	
18AUG17	S	2	PD17NOV17	6487.00	6776.78	USD	-14,489.00	
	NET	-15 AVG BGHT 6509.42	SOLD 6481.20				-119,305.00	0.00
21AUG17	S	5	PD21NOV17	6524.50	6778.92	USD	-31,802.50	
21AUG17	B	1	PD21NOV17	6579.00	6778.92	USD	4,998.00	
21AUG17	B	1	PD21NOV17	6583.00	6778.92	USD	4,898.00	
21AUG17	B	2	PD21NOV17	6538.00	6778.92	USD	12,046.00	
21AUG17	B	1	PD21NOV17	6577.00	6778.92	USD	5,048.00	
21AUG17	S	2	PD21NOV17	6543.00	6778.92	USD	-11,796.00	
21AUG17	B	1	PD21NOV17	6548.00	6778.92	USD	5,773.00	
21AUG17	B	2	PD21NOV17	6550.50	6778.92	USD	11,421.00	
21AUG17	B	2	PD21NOV17	6552.00	6778.92	USD	11,346.00	
21AUG17	B	3	PD21NOV17	6590.00	6778.92	USD	14,169.00	
21AUG17	B	2	PD21NOV17	6596.00	6778.92	USD	9,146.00	
21AUG17	B	4	PD21NOV17	6585.00	6778.92	USD	19,392.00	
21AUG17	B	3	PD21NOV17	6586.00	6778.92	USD	14,469.00	
21AUG17	B	1	PD21NOV17	6570.00	6778.92	USD	5,223.00	
21AUG17	B	1	PD21NOV17	6580.00	6778.92	USD	4,973.00	
21AUG17	B	1	PD21NOV17	6570.50	6778.92	USD	5,210.50	
21AUG17	B	1	PD21NOV17	6573.00	6778.92	USD	5,148.00	
21AUG17	B	1	PD21NOV17	6574.00	6778.92	USD	5,123.00	
	NET	+20 AVG BGHT 6573.91	SOLD 6529.79				94,785.00	0.00
22AUG17	B	3	PD22NOV17	6579.00	6779.45	USD	15,033.75	
22AUG17	B	2	PD22NOV17	6580.00	6779.45	USD	9,972.50	
	NET	+5 AVG BGHT 6579.40					25,006.25	0.00
23AUG17	B	3	PD24NOV17	6542.00	6780.52	USD	17,889.00	
23AUG17	B	2	PD24NOV17	6544.00	6780.52	USD	11,826.00	
25AUG17	S	1	PD24NOV17	6706.00	6780.52	USD	-1,863.00	
25AUG17	S	4	PD24NOV17	6706.50	6780.52	USD	-7,402.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
25AUG17	S 1 PD24NOV17	6723.00	6780.52	USD	-1,438.00	
25AUG17	S 3 PD24NOV17	6724.00	6780.52	USD	-4,239.00	
25AUG17	S 1 PD24NOV17	6728.50	6780.52	USD	-1,300.50	
	NET -5 AVG BGHT 6542.80 SOLD 6715.55				13,472.50	0.00
29AUG17	S 2 PD29NOV17	6766.00	6783.18	USD	-859.00	
29AUG17	S 4 PD29NOV17	6769.00	6783.18	USD	-1,418.00	
29AUG17	S 4 PD29NOV17	6770.00	6783.18	USD	-1,318.00	
29AUG17	S 2 PD29NOV17	6785.00	6783.18	USD	91.00	
29AUG17	S 1 PD29NOV17	6786.00	6783.18	USD	70.50	
29AUG17	S 1 PD29NOV17	6788.00	6783.18	USD	120.50	
29AUG17	S 3 PD29NOV17	6775.50	6783.18	USD	-576.00	
29AUG17	S 1 PD29NOV17	6771.50	6783.18	USD	-292.00	
29AUG17	S 1 PD29NOV17	6772.00	6783.18	USD	-279.50	
29AUG17	S 1 PD29NOV17	6776.50	6783.18	USD	-167.00	
	NET -20 AVG SOLD 6773.93				-4,627.50	0.00
31AUG17	S 2 PD30NOV17	6799.00	6783.72	USD	764.00	
31AUG17	S 3 PD30NOV17	6800.00	6783.72	USD	1,221.00	
	NET -5 AVG SOLD 6799.60				1,985.00	0.00
01SEP17	B 2 PD01DEC17	6806.00	6784.25	USD	-1,087.50	
01SEP17	B 1 PD01DEC17	6801.50	6784.25	USD	-431.25	
01SEP17	B 1 PD01DEC17	6802.50	6784.25	USD	-456.25	
01SEP17	B 1 PD01DEC17	6803.00	6784.25	USD	-468.75	
01SEP17	S 2 PD01DEC17	6836.50	6784.25	USD	2,612.50	
01SEP17	S 3 PD01DEC17	6837.50	6784.25	USD	3,993.75	
	NET 0 AVG BGHT 6803.80 SOLD 6837.10				4,162.50	0.00
04SEP17	S 2 PD04DEC17	6907.00	6785.75	USD	6,062.50	
04SEP17	S 2 PD04DEC17	6908.00	6785.75	USD	6,112.50	
04SEP17	S 1 PD04DEC17	6910.50	6785.75	USD	3,118.75	
	NET -5 AVG SOLD 6908.10				15,293.75	0.00
05SEP17	B 1 PD05DEC17	6940.00	6786.25	USD	-3,843.75	
05SEP17	B 4 PD05DEC17	6941.50	6786.25	USD	-15,525.00	
05SEP17	B 2 PD05DEC17	6934.00	6786.25	USD	-7,387.50	
05SEP17	B 1 PD05DEC17	6948.00	6786.25	USD	-4,043.75	
05SEP17	B 2 PD05DEC17	6950.00	6786.25	USD	-8,187.50	
05SEP17	B 2 PD05DEC17	6952.00	6786.25	USD	-8,287.50	
05SEP17	S 2 PD05DEC17	6938.50	6786.25	USD	7,612.50	
05SEP17	B 1 PD05DEC17	6953.00	6786.25	USD	-4,168.75	
05SEP17	B 4 PD05DEC17	6954.00	6786.25	USD	-16,775.00	
	NET +15 AVG BGHT 6946.76 SOLD 6938.50				-60,606.25	0.00
06SEP17	S 2 PD06DEC17	6883.50	6786.75	USD	4,837.50	
06SEP17	S 1 PD06DEC17	6885.50	6786.75	USD	2,468.75	
06SEP17	S 3 PD06DEC17	6886.00	6786.75	USD	7,443.75	
06SEP17	S 5 PD06DEC17	6886.50	6786.75	USD	12,468.75	
06SEP17	S 2 PD06DEC17	6887.00	6786.75	USD	5,012.50	
06SEP17	S 2 PD06DEC17	6888.00	6786.75	USD	5,062.50	
	NET -15 AVG SOLD 6886.20				37,293.75	0.00
08SEP17	B 3 PD08DEC17	6825.00	6787.71	USD	-2,796.75	
08SEP17	B 1 PD08DEC17	6828.00	6787.71	USD	-1,007.25	
08SEP17	B 1 PD08DEC17	6832.00	6787.71	USD	-1,107.25	
08SEP17	B 3 PD08DEC17	6685.00	6787.71	USD	7,703.25	
08SEP17	B 1 PD08DEC17	6686.00	6787.71	USD	2,542.75	
08SEP17	B 1 PD08DEC17	6683.00	6787.71	USD	2,617.75	
	NET +10 AVG BGHT 6755.90				7,952.50	0.00
11SEP17	B 2 PD11DEC17	6705.50	6789.15	USD	4,182.50	
11SEP17	B 1 PD11DEC17	6709.00	6789.15	USD	2,003.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11SEP17	B 1 PD11DEC17	6710.00	6789.15	USD	1,978.75	
11SEP17	B 1 PD11DEC17	6713.00	6789.15	USD	1,903.75	
11SEP17	B 5 PD11DEC17	6753.00	6789.15	USD	4,518.75	
	NET +10 AVG BGHT 6730.80				14,587.50	0.00
12SEP17	B 5 PD12DEC17	6713.50	6789.63	USD	9,516.25	
12SEP17	B 1 PD12DEC17	6714.50	6789.63	USD	1,878.25	
12SEP17	B 7 PD12DEC17	6715.00	6789.63	USD	13,060.25	
12SEP17	B 1 PD12DEC17	6731.00	6789.63	USD	1,465.75	
12SEP17	B 2 PD12DEC17	6734.00	6789.63	USD	2,781.50	
12SEP17	B 2 PD12DEC17	6735.00	6789.63	USD	2,731.50	
12SEP17	B 2 PD12DEC17	6709.50	6789.63	USD	4,006.50	
	NET +20 AVG BGHT 6718.75				35,440.00	0.00
13SEP17	B 2 PD13DEC17	6569.50	6790.10	USD	11,030.00	
13SEP17	B 1 PD13DEC17	6569.00	6790.10	USD	5,527.50	
13SEP17	B 1 PD13DEC17	6565.00	6790.10	USD	5,627.50	
13SEP17	B 1 PD13DEC17	6567.00	6790.10	USD	5,577.50	
	NET +5 AVG BGHT 6568.00				27,762.50	0.00
18SEP17	S 1 PD18DEC17	6553.50	6792.50	USD	-5,975.00	
18SEP17	S 1 PD18DEC17	6551.50	6792.50	USD	-6,025.00	
18SEP17	S 1 PD18DEC17	6553.00	6792.50	USD	-5,987.50	
18SEP17	S 2 PD18DEC17	6552.50	6792.50	USD	-12,000.00	
	NET -5 AVG SOLD 6552.60				-29,987.50	0.00
09OCT17	S 2 PD09JAN18	6676.00	6799.07	USD	-6,153.50	
09OCT17	S 1 PD09JAN18	6677.00	6799.07	USD	-3,051.75	
09OCT17	S 1 PD09JAN18	6677.50	6799.07	USD	-3,039.25	
09OCT17	S 1 PD09JAN18	6678.00	6799.07	USD	-3,026.75	
	NET -5 AVG SOLD 6676.90				-15,271.25	0.00
	<u>CMX COPPER 25,000 CMX</u>					
08SEP17	S 7 MAR18	312.500	311.550	USD	1,662.50	
08SEP17	S 2 MAR18	312.600	311.550	USD	525.00	
08SEP17	S 2 MAR18	312.400	311.550	USD	425.00	
08SEP17	S 7 MAR18	306.150	311.550	USD	-9,450.00	
08SEP17	S 4 MAR18	306.050	311.550	USD	-5,500.00	
12SEP17	S 3 MAR18	307.000	311.550	USD	-3,412.50	
12SEP17	S 2 MAR18	307.100	311.550	USD	-2,225.00	
12SEP17	S 4 MAR18	307.400	311.550	USD	-4,150.00	
12SEP17	S 13 MAR18	307.350	311.550	USD	-13,650.00	
12SEP17	S 11 MAR18	307.450	311.550	USD	-11,275.00	
12SEP17	S 5 MAR18	308.450	311.550	USD	-3,875.00	
12SEP17	S 4 MAR18	308.400	311.550	USD	-3,150.00	
12SEP17	S 2 MAR18	308.250	311.550	USD	-1,650.00	
13SEP17	S 5 MAR18	301.000	311.550	USD	-13,187.50	
13SEP17	S 2 MAR18	300.900	311.550	USD	-5,325.00	
13SEP17	S 2 MAR18	300.800	311.550	USD	-5,375.00	
13SEP17	S 2 MAR18	300.950	311.550	USD	-5,300.00	
	NET -77 AVG SOLD 307.139				-84,912.50	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,608,778.75
CMX Copper	FUTURES	5,912,425.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-84,912.50	-84,912.50	-84,912.50	-84,912.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	324,693.75	324,693.75	324,693.75	324,693.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-216,487.51	-216,487.51
- Options	0.00	0.00
TOTAL EQUITY	-301,400.01	-301,400.01
Initial Margins	-372,139.25	-372,139.25
Excess/(Shortage)	-673,539.26	-673,539.26
FOR INFORMATION ONLY		
Forward Unrealised P&L	324,693.75	324,693.75
Net Liquidating Value	23,293.74	23,293.74

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 5 PD28DEC17	6517.00	6793.47	USD	34,558.75	
28SEP17	B 5 PD28DEC17	6518.00	6793.47	USD	34,433.75	
28SEP17	B 5 PD28DEC17	6571.00	6793.47	USD	27,808.75	
	NET +15 AVG BGHT 6535.33				96,801.25	0.00
11OCT17	B 5 PD11JAN18	6732.00	6800.00	USD	8,500.00	
	NET +5 AVG BGHT 6732.00				8,500.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 11 DEC17	296.250	309.550	USD	-36,575.00	
28SEP17	S 11 DEC17	296.200	309.550	USD	-36,712.50	
28SEP17	S 11 DEC17	298.750	309.550	USD	-29,700.00	
	NET -33 AVG SOLD 297.067				-102,987.50	0.00
11OCT17	S 11 MAR18	307.750	311.550	USD	-10,450.00	
	NET -11 AVG SOLD 307.750				-10,450.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,292,250.00
CMX Copper	FUTURES	3,297,112.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-113,437.50	-113,437.50	-113,437.50	-113,437.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	105,301.25	105,301.25	105,301.25	105,301.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-202.41	-202.41
- Futures	-113,437.50	-113,437.50
- Options	0.00	0.00
TOTAL EQUITY	-113,639.91	-113,639.91
Initial Margins	-283,114.75	-283,114.75
Excess/(Shortage)	-396,754.66	-396,754.66
FOR INFORMATION ONLY		
Forward Unrealised P&L	105,301.25	105,301.25
Net Liquidating Value	-8,338.66	-8,338.66

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 1 PD28DEC17	6553.00	6793.47	USD	6,011.75	
28SEP17	B 1 PD28DEC17	6553.50	6793.47	USD	5,999.25	
28SEP17	B 1 PD28DEC17	6555.50	6793.47	USD	5,949.25	
28SEP17	B 1 PD28DEC17	6556.00	6793.47	USD	5,936.75	
28SEP17	B 1 PD28DEC17	6556.50	6793.47	USD	5,924.25	
	NET +5 AVG BGHT 6554.90				29,821.25	0.00
29SEP17	B 2 PD29DEC17	6565.00	6793.93	USD	11,446.50	
29SEP17	B 1 PD29DEC17	6567.00	6793.93	USD	5,673.25	
29SEP17	B 1 PD29DEC17	6572.00	6793.93	USD	5,548.25	
29SEP17	B 1 PD29DEC17	6572.50	6793.93	USD	5,535.75	
29SEP17	B 1 PD29DEC17	6573.00	6793.93	USD	5,523.25	
29SEP17	B 1 PD29DEC17	6574.00	6793.93	USD	5,498.25	
29SEP17	B 1 PD29DEC17	6558.50	6793.93	USD	5,885.75	
29SEP17	B 1 PD29DEC17	6563.00	6793.93	USD	5,773.25	
29SEP17	B 1 PD29DEC17	6560.00	6793.93	USD	5,848.25	
	NET +10 AVG BGHT 6567.00				56,732.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 5 DEC17	297.950	309.550	USD	-14,500.00	
28SEP17	S 2 DEC17	298.050	309.550	USD	-5,750.00	
28SEP17	S 2 DEC17	298.100	309.550	USD	-5,725.00	
28SEP17	S 2 DEC17	298.000	309.550	USD	-5,775.00	
29SEP17	S 4 DEC17	298.500	309.550	USD	-11,050.00	
29SEP17	S 2 DEC17	298.800	309.550	USD	-5,375.00	
29SEP17	S 3 DEC17	298.950	309.550	USD	-7,950.00	
29SEP17	S 2 DEC17	298.600	309.550	USD	-5,475.00	
29SEP17	S 4 DEC17	298.900	309.550	USD	-10,650.00	
29SEP17	S 2 DEC17	298.450	309.550	USD	-5,550.00	
29SEP17	S 2 DEC17	298.250	309.550	USD	-5,650.00	
29SEP17	S 2 DEC17	298.350	309.550	USD	-5,600.00	
29SEP17	S 1 DEC17	297.750	309.550	USD	-2,950.00	
	NET -33 AVG SOLD 298.398				-92,000.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,461,112.50
CMX Copper	FUTURES	2,461,787.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-92,000.00	-92,000.00	-92,000.00	-92,000.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	86,553.75	86,553.75	86,553.75	86,553.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-151.56	-151.56
Variation Margins	- Futures	-92,000.00	-92,000.00
	- Options	0.00	0.00
TOTAL EQUITY		-92,151.56	-92,151.56
Initial Margins		-204,779.25	-204,779.25
Excess/(Shortage)		-296,930.81	-296,930.81
FOR INFORMATION ONLY			
Forward Unrealised P&L		86,553.75	86,553.75
Net Liquidating Value		-5,597.81	-5,597.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
20SEP17	B 2 PD20DEC17	6541.00	6792.50	USD	12,575.00	
20SEP17	B 2 PD20DEC17	6542.00	6792.50	USD	12,525.00	
20SEP17	B 1 PD20DEC17	6543.00	6792.50	USD	6,237.50	
	NET +5 AVG BGHT 6541.80				31,337.50	0.00
27SEP17	B 2 PD27DEC17	6468.00	6793.00	USD	16,250.00	
27SEP17	B 2 PD27DEC17	6469.00	6793.00	USD	16,200.00	
27SEP17	B 1 PD27DEC17	6463.00	6793.00	USD	8,250.00	
	NET +5 AVG BGHT 6467.40				40,700.00	0.00
28SEP17	B 2 PD28DEC17	6467.00	6793.47	USD	16,323.50	
28SEP17	B 3 PD28DEC17	6452.00	6793.47	USD	25,610.25	
28SEP17	B 3 PD28DEC17	6563.50	6793.47	USD	17,247.75	
28SEP17	B 2 PD28DEC17	6564.00	6793.47	USD	11,473.50	
	NET +10 AVG BGHT 6510.85				70,655.00	0.00
29SEP17	B 5 PD29DEC17	6547.00	6793.93	USD	30,866.25	
	NET +5 AVG BGHT 6547.00				30,866.25	0.00
09OCT17	S 2 PD09JAN18	6670.00	6799.07	USD	-6,453.50	
09OCT17	S 2 PD09JAN18	6658.50	6799.07	USD	-7,028.50	
09OCT17	S 1 PD09JAN18	6660.00	6799.07	USD	-3,476.75	
	NET -5 AVG SOLD 6663.40				-16,958.75	0.00
	<u>CMX COPPER 25,000 CMX</u>					
27SEP17	S 4 DEC17	293.950	309.550	USD	-15,600.00	
27SEP17	S 7 DEC17	293.800	309.550	USD	-27,562.50	
28SEP17	S 4 DEC17	293.900	309.550	USD	-15,650.00	
28SEP17	S 7 DEC17	293.250	309.550	USD	-28,525.00	
29SEP17	S 8 DEC17	297.750	309.550	USD	-23,600.00	
29SEP17	S 3 DEC17	297.650	309.550	USD	-8,925.00	
	NET -33 AVG SOLD 295.021				-119,862.50	0.00
28SEP17	S 11 MAR18	300.300	311.550	USD	-30,937.50	
	NET -11 AVG SOLD 300.300				-30,937.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,239,312.50
CMX Copper	FUTURES	3,259,750.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-150,800.00	-150,800.00	-150,800.00	-150,800.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	156,600.00	156,600.00	156,600.00	156,600.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-14,783.95	-14,783.95
Variation Margins	- Futures	-150,800.00	-150,800.00
	- Options	0.00	0.00
TOTAL EQUITY		-165,583.95	-165,583.95
Initial Margins		-232,771.00	-232,771.00
Excess/(Shortage)		-398,354.95	-398,354.95
FOR INFORMATION ONLY			
Forward Unrealised P&L		156,600.00	156,600.00
Net Liquidating Value		-8,983.95	-8,983.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011N

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
27SEP17	B 2 PD27DEC17	6483.00	6793.00	USD	15,500.00	
27SEP17	B 2 PD27DEC17	6485.00	6793.00	USD	15,400.00	
27SEP17	B 1 PD27DEC17	6486.50	6793.00	USD	7,662.50	
	NET +5 AVG BGHT 6484.50				38,562.50	0.00
28SEP17	B 2 PD28DEC17	6460.00	6793.47	USD	16,673.50	
28SEP17	B 2 PD28DEC17	6473.00	6793.47	USD	16,023.50	
28SEP17	B 1 PD28DEC17	6475.00	6793.47	USD	7,961.75	
28SEP17	B 2 PD28DEC17	6461.00	6793.47	USD	16,623.50	
28SEP17	S 2 PD28DEC17	6454.00	6793.47	USD	-16,973.50	
28SEP17	B 1 PD28DEC17	6565.00	6793.47	USD	5,711.75	
28SEP17	B 2 PD28DEC17	6566.00	6793.47	USD	11,373.50	
28SEP17	B 2 PD28DEC17	6568.00	6793.47	USD	11,273.50	
	NET +10 AVG BGHT 6508.00 SOLD 6454.00				68,667.50	0.00
29SEP17	B 2 PD29DEC17	6537.00	6793.93	USD	12,846.50	
29SEP17	B 2 PD29DEC17	6536.00	6793.93	USD	12,896.50	
29SEP17	B 1 PD29DEC17	6542.00	6793.93	USD	6,298.25	
	NET +5 AVG BGHT 6537.60				32,041.25	0.00
09OCT17	S 2 PD09JAN18	6667.00	6799.07	USD	-6,603.50	
09OCT17	S 2 PD09JAN18	6668.50	6799.07	USD	-6,528.50	
09OCT17	S 1 PD09JAN18	6670.00	6799.07	USD	-3,226.75	
	NET -5 AVG SOLD 6668.20				-16,358.75	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 4 DEC17	294.300	309.550	USD	-15,250.00	
28SEP17	S 3 DEC17	294.050	309.550	USD	-11,625.00	
28SEP17	S 4 DEC17	293.650	309.550	USD	-15,900.00	
28SEP17	S 4 DEC17	298.650	309.550	USD	-10,900.00	
28SEP17	S 7 DEC17	298.500	309.550	USD	-19,337.50	
29SEP17	S 4 DEC17	297.250	309.550	USD	-12,300.00	
29SEP17	S 4 DEC17	297.200	309.550	USD	-12,350.00	
29SEP17	S 3 DEC17	297.400	309.550	USD	-9,112.50	
	NET -33 AVG SOLD 296.608				-106,775.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,423,937.50
CMX Copper	FUTURES	2,447,012.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-106,775.00	-106,775.00	-106,775.00	-106,775.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	122,912.50	122,912.50	122,912.50	122,912.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011N

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-22,523.96	-22,523.96
Variation Margins		
- Futures	-106,775.00	-106,775.00
- Options	0.00	0.00
TOTAL EQUITY	-129,298.96	-129,298.96
Initial Margins	-169,327.50	-169,327.50
Excess/(Shortage)	-298,626.46	-298,626.46
FOR INFORMATION ONLY		
Forward Unrealised P&L	122,912.50	122,912.50
Net Liquidating Value	-6,386.46	-6,386.46

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00110

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
030CT17	B 1 PD03JAN18	6512.50	6796.27	USD	7,094.25	
030CT17	B 1 PD03JAN18	6513.00	6796.27	USD	7,081.75	
030CT17	B 1 PD03JAN18	6512.00	6796.27	USD	7,106.75	
030CT17	B 1 PD03JAN18	6529.00	6796.27	USD	6,681.75	
030CT17	B 1 PD03JAN18	6522.50	6796.27	USD	6,844.25	
	NET +5 AVG BGHT 6517.80				34,808.75	0.00
050CT17	B 1 PD05JAN18	6563.00	6797.20	USD	5,855.00	
050CT17	B 1 PD05JAN18	6563.50	6797.20	USD	5,842.50	
050CT17	B 1 PD05JAN18	6560.00	6797.20	USD	5,930.00	
050CT17	B 1 PD05JAN18	6554.00	6797.20	USD	6,080.00	
050CT17	B 1 PD05JAN18	6631.00	6797.20	USD	4,155.00	
	NET +5 AVG BGHT 6574.30				27,862.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
030CT17	S 1 DEC17	296.000	309.550	USD	-3,387.50	
030CT17	S 2 DEC17	296.800	309.550	USD	-6,375.00	
030CT17	S 5 DEC17	296.050	309.550	USD	-16,875.00	
030CT17	S 3 DEC17	296.500	309.550	USD	-9,787.50	
050CT17	S 2 DEC17	298.350	309.550	USD	-5,600.00	
050CT17	S 2 DEC17	298.200	309.550	USD	-5,675.00	
050CT17	S 2 DEC17	298.150	309.550	USD	-5,700.00	
050CT17	S 2 DEC17	297.950	309.550	USD	-5,800.00	
050CT17	S 2 DEC17	301.400	309.550	USD	-4,075.00	
050CT17	S 1 DEC17	301.300	309.550	USD	-2,062.50	
	NET -22 AVG SOLD 297.670				-65,337.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-1,636,512.50
CMX Copper	FUTURES	1,637,187.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-65,337.50	-65,337.50	-65,337.50	-65,337.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	62,671.25	62,671.25	62,671.25	62,671.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00110

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-85.44	-85.44
Variation Margins	- Futures	-65,337.50	-65,337.50
	- Options	0.00	0.00
TOTAL EQUITY		-65,422.94	-65,422.94
Initial Margins		-131,518.75	-131,518.75
Excess/(Shortage)		-196,941.69	-196,941.69
FOR INFORMATION ONLY			
Forward Unrealised P&L		62,671.25	62,671.25
Net Liquidating Value		-2,751.69	-2,751.69

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB011**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,049,864.57	-1,049,864.57
Variation Margins		
- Futures	-750,650.00	-750,650.00
- Options	0.00	0.00
TOTAL EQUITY	-1,800,514.57	-1,800,514.57
Initial Margins	-1,708,397.00	-1,708,397.00
Excess/(Shortage)	-3,508,911.57	-3,508,911.57
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	1,881,523.00	1,881,523.00
Net Liquidating Value	81,008.43	81,008.43

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025L

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5912.00	6755.20	USD	42,160.00	
12JUL17	B	3	PD120CT17	5913.00	6755.20	USD	63,165.00	
10AUG17	S	5	PD120CT17	6413.02	6755.20	USD	-42,772.50	
	NET	0 AVG BGHT 5912.60	SOLD 6413.02				62,552.50	0.00
13JUL17	S	5	PD130CT17	5883.00	6756.00	USD	-109,125.00	
19JUL17	B	5	PD130CT17	5965.87	6756.00	USD	98,766.25	
	NET	0 AVG BGHT 5965.87	SOLD 5883.00				-10,358.75	0.00
17JUL17	B	2	PD170CT17	6003.00	6759.20	USD	37,810.00	
17JUL17	B	1	PD170CT17	6006.50	6759.20	USD	18,817.50	
17JUL17	B	2	PD170CT17	6013.00	6759.20	USD	37,310.00	
17JUL17	B	5	PD170CT17	6002.00	6759.20	USD	94,650.00	
10AUG17	S	5	PD170CT17	6416.00	6759.20	USD	-42,900.00	
23AUG17	S	5	PD170CT17	6554.75	6759.20	USD	-25,556.25	
	NET	0 AVG BGHT 6004.85	SOLD 6485.38				120,131.25	0.00
19JUL17	B	5	PD190CT17	6001.00	6760.88	USD	94,985.00	
19JUL17	B	5	PD190CT17	6003.00	6760.88	USD	94,735.00	
19JUL17	S	3	PD190CT17	5967.00	6760.88	USD	-59,541.00	
19JUL17	S	2	PD190CT17	5968.00	6760.88	USD	-39,644.00	
23AUG17	S	5	PD190CT17	6554.00	6760.88	USD	-25,860.00	
	NET	0 AVG BGHT 6002.00	SOLD 6260.70				64,675.00	0.00
20JUL17	S	5	PD200CT17	5952.50	6761.75	USD	-101,156.25	
20JUL17	S	2	PD200CT17	5961.50	6761.75	USD	-40,012.50	
20JUL17	S	3	PD200CT17	5962.00	6761.75	USD	-59,981.25	
21JUL17	B	10	PD200CT17	6035.00	6761.75	USD	181,687.50	
21JUL17	S	5	PD200CT17	5995.50	6761.75	USD	-95,781.25	
10AUG17	B	5	PD200CT17	6414.45	6761.75	USD	43,412.50	
	NET	0 AVG BGHT 6161.48	SOLD 5969.93				-71,831.25	0.00
24JUL17	S	5	PD240CT17	5997.00	6765.25	USD	-96,031.25	
24JUL17	S	5	PD240CT17	5998.50	6765.25	USD	-95,843.75	
24JUL17	B	5	PD240CT17	6027.00	6765.25	USD	92,281.25	
24JUL17	B	5	PD240CT17	6027.50	6765.25	USD	92,218.75	
	NET	0 AVG BGHT 6027.25	SOLD 5997.75				-7,375.00	0.00
25JUL17	B	5	PD250CT17	6155.00	6765.68	USD	76,335.00	
25JUL17	B	5	PD250CT17	6179.00	6765.68	USD	73,335.00	
25JUL17	B	4	PD250CT17	6224.50	6765.68	USD	54,118.00	
25JUL17	B	6	PD250CT17	6225.00	6765.68	USD	81,102.00	
25JUL17	B	3	PD250CT17	6227.00	6765.68	USD	40,401.00	
25JUL17	B	2	PD250CT17	6227.50	6765.68	USD	26,909.00	
25JUL17	B	5	PD250CT17	6260.00	6765.68	USD	63,210.00	
23AUG17	S	10	PD250CT17	6555.92	6765.68	USD	-52,440.00	
	NET	+20 AVG BGHT 6211.80	SOLD 6555.92				362,970.00	0.00
26JUL17	S	5	PD260CT17	6384.00	6766.11	USD	-47,763.75	
26JUL17	B	3	PD260CT17	6273.00	6766.11	USD	36,983.25	
26JUL17	B	2	PD260CT17	6275.00	6766.11	USD	24,555.50	
	NET	0 AVG BGHT 6273.80	SOLD 6384.00				13,775.00	0.00
02AUG17	S	5	PD02NOV17	6333.50	6770.10	USD	-54,575.00	
02AUG17	B	5	PD02NOV17	6350.00	6770.10	USD	52,512.50	
	NET	0 AVG BGHT 6350.00	SOLD 6333.50				-2,062.50	0.00
03AUG17	S	5	PD03NOV17	6354.00	6771.03	USD	-52,128.75	
10AUG17	B	5	PD03NOV17	6420.33	6771.03	USD	43,837.50	
	NET	0 AVG BGHT 6420.33	SOLD 6354.00				-8,291.25	0.00
07AUG17	S	4	PD07NOV17	6364.00	6774.73	USD	-41,073.00	
07AUG17	S	1	PD07NOV17	6366.50	6774.73	USD	-10,205.75	
10AUG17	B	5	PD07NOV17	6421.97	6774.73	USD	44,095.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025L

11th October 2017

backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 6421.97 SOLD 6364.50				-7,183.75	0.00
08AUG17	B 1 PD08NOV17	6439.00	6775.65	USD	8,416.25	
08AUG17	B 4 PD08NOV17	6439.50	6775.65	USD	33,615.00	
08AUG17	B 4 PD08NOV17	6484.00	6775.65	USD	29,165.00	
08AUG17	B 1 PD08NOV17	6487.50	6775.65	USD	7,203.75	
	NET +10 AVG BGHT 6462.05				78,400.00	0.00
14AUG17	S 4 PD14NOV17	6408.00	6776.83	USD	-36,883.00	
14AUG17	S 1 PD14NOV17	6407.00	6776.83	USD	-9,245.75	
23AUG17	B 5 PD14NOV17	6565.70	6776.83	USD	26,391.25	
	NET 0 AVG BGHT 6565.70 SOLD 6407.80				-19,737.50	0.00
15AUG17	S 1 PD15NOV17	6362.50	6776.50	USD	-10,350.00	
15AUG17	S 3 PD15NOV17	6363.00	6776.50	USD	-31,012.50	
15AUG17	S 1 PD15NOV17	6363.50	6776.50	USD	-10,325.00	
15AUG17	S 5 PD15NOV17	6365.00	6776.50	USD	-51,437.50	
23AUG17	B 10 PD15NOV17	6565.70	6776.50	USD	52,700.00	
	NET 0 AVG BGHT 6565.70 SOLD 6364.00				-50,425.00	0.00
16AUG17	B 5 PD16NOV17	6536.00	6776.25	USD	30,031.25	
16AUG17	B 10 PD16NOV17	6537.50	6776.25	USD	59,687.50	
16AUG17	B 5 PD16NOV17	6544.00	6776.25	USD	29,031.25	
16AUG17	S 5 PD16NOV17	6541.00	6776.25	USD	-29,406.25	
	NET +15 AVG BGHT 6538.75 SOLD 6541.00				89,343.75	0.00
18AUG17	S 5 PD17NOV17	6500.00	6776.78	USD	-34,597.50	
23AUG17	B 5 PD17NOV17	6563.89	6776.78	USD	26,611.25	
	NET 0 AVG BGHT 6563.89 SOLD 6500.00				-7,986.25	0.00
21AUG17	B 1 PD21NOV17	6588.50	6778.92	USD	4,760.50	
21AUG17	B 4 PD21NOV17	6593.00	6778.92	USD	18,592.00	
21AUG17	B 5 PD21NOV17	6595.00	6778.92	USD	22,990.00	
	NET +10 AVG BGHT 6593.55				46,342.50	0.00
22AUG17	B 5 PD22NOV17	6582.50	6779.45	USD	24,618.75	
	NET +5 AVG BGHT 6582.50				24,618.75	0.00
07SEP17	S 5 PD07DEC17	6871.00	6787.23	USD	10,471.25	
	NET -5 AVG SOLD 6871.00				10,471.25	0.00
08SEP17	S 8 PD08DEC17	6821.00	6787.71	USD	6,658.00	
08SEP17	S 2 PD08DEC17	6821.50	6787.71	USD	1,689.50	
08SEP17	S 5 PD08DEC17	6682.50	6787.71	USD	-13,151.25	
08SEP17	S 5 PD08DEC17	6679.00	6787.71	USD	-13,588.75	
08SEP17	S 14 PD08DEC17	6678.00	6787.71	USD	-38,398.50	
08SEP17	S 1 PD08DEC17	6681.00	6787.71	USD	-2,667.75	
	NET -35 AVG SOLD 6719.76				-59,458.75	0.00
14SEP17	B 5 PD14DEC17	6482.00	6790.58	USD	38,572.50	
	NET +5 AVG BGHT 6482.00				38,572.50	0.00
15SEP17	S 5 PD15DEC17	6500.50	6791.06	USD	-36,320.00	
15SEP17	S 5 PD15DEC17	6503.50	6791.06	USD	-35,945.00	
15SEP17	S 5 PD15DEC17	6508.00	6791.06	USD	-35,382.50	
15SEP17	S 5 PD15DEC17	6509.50	6791.06	USD	-35,195.00	
15SEP17	S 5 PD15DEC17	6510.00	6791.06	USD	-35,132.50	
15SEP17	S 10 PD15DEC17	6501.00	6791.06	USD	-72,515.00	
15SEP17	S 5 PD15DEC17	6502.00	6791.06	USD	-36,132.50	
15SEP17	S 5 PD15DEC17	6505.00	6791.06	USD	-35,757.50	
	NET -45 AVG SOLD 6504.50				-322,380.00	0.00
19SEP17	B 5 PD19DEC17	6537.50	6792.50	USD	31,875.00	
19SEP17	B 1 PD19DEC17	6539.00	6792.50	USD	6,337.50	
19SEP17	B 2 PD19DEC17	6539.50	6792.50	USD	12,650.00	
19SEP17	B 2 PD19DEC17	6540.50	6792.50	USD	12,600.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
19SEP17	B 2 PD19DEC17	6527.50	6792.50	USD	13,250.00	
19SEP17	B 2 PD19DEC17	6528.00	6792.50	USD	13,225.00	
19SEP17	B 1 PD19DEC17	6530.00	6792.50	USD	6,562.50	
	NET +15 AVG BGHT 6535.17				96,500.00	0.00
28SEP17	B 5 PD28DEC17	6443.50	6793.47	USD	43,746.25	
28SEP17	B 5 PD28DEC17	6440.00	6793.47	USD	44,183.75	
28SEP17	B 5 PD28DEC17	6568.50	6793.47	USD	28,121.25	
28SEP17	B 5 PD28DEC17	6572.00	6793.47	USD	27,683.75	
28SEP17	B 15 PD28DEC17	6563.00	6793.47	USD	86,426.25	
28SEP17	B 5 PD28DEC17	6565.50	6793.47	USD	28,496.25	
	NET +40 AVG BGHT 6534.81				258,657.50	0.00
05OCT17	B 5 PD05JAN18	6684.00	6797.20	USD	14,150.00	
05OCT17	B 5 PD05JAN18	6676.00	6797.20	USD	15,150.00	
05OCT17	B 5 PD05JAN18	6677.00	6797.20	USD	15,025.00	
05OCT17	B 5 PD05JAN18	6682.00	6797.20	USD	14,400.00	
	NET +20 AVG BGHT 6679.75				58,725.00	0.00
09OCT17	S 5 PD09JAN18	6647.50	6799.07	USD	-18,946.25	
	NET -5 AVG SOLD 6647.50				-18,946.25	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 6 DEC17	298.400	309.550	USD	-16,725.00	
28SEP17	S 16 DEC17	298.450	309.550	USD	-44,400.00	
28SEP17	S 11 DEC17	298.600	309.550	USD	-30,112.50	
28SEP17	S 11 DEC17	298.900	309.550	USD	-29,287.50	
28SEP17	S 11 DEC17	298.300	309.550	USD	-30,937.50	
05OCT17	S 11 DEC17	303.500	309.550	USD	-16,637.50	
05OCT17	S 11 DEC17	303.550	309.550	USD	-16,500.00	
05OCT17	S 22 DEC17	303.800	309.550	USD	-31,625.00	
	NET -99 AVG SOLD 300.814				-216,225.00	0.00
28SEP17	S 11 MAR18	300.200	311.550	USD	-31,212.50	
	NET -11 AVG SOLD 300.200				-31,212.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,730,912.50
CMX Copper	FUTURES	8,270,687.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-247,437.50	-247,437.50	-247,437.50	-247,437.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	739,698.75	739,698.75	739,698.75	739,698.75

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-512,222.55	-512,222.55
Variation Margins		
- Futures	-247,437.50	-247,437.50
- Options	0.00	0.00
TOTAL EQUITY	-759,660.05	-759,660.05
Initial Margins	-346,500.00	-346,500.00
Excess/(Shortage)	-1,106,160.05	-1,106,160.05
FOR INFORMATION ONLY		
Forward Unrealised P&L	739,698.75	739,698.75
Net Liquidating Value	-19,961.30	-19,961.30

OPEN POSITION STATEMENT



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SAP0025M

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	4	PD120CT17	5916.00	6755.20	USD	83,920.00	
12JUL17	B	1	PD120CT17	5919.50	6755.20	USD	20,892.50	
12JUL17	B	1	PD120CT17	5924.50	6755.20	USD	20,767.50	
12JUL17	B	4	PD120CT17	5925.00	6755.20	USD	83,020.00	
20JUL17	S	5	PD120CT17	5957.80	6755.20	USD	-99,675.00	
10AUG17	S	5	PD120CT17	6413.02	6755.20	USD	-42,772.50	
	NET	0 AVG	BGHT 5920.80	SOLD 6185.41			66,152.50	0.00
13JUL17	S	2	PD130CT17	5880.00	6756.00	USD	-43,800.00	
13JUL17	S	1	PD130CT17	5882.00	6756.00	USD	-21,850.00	
13JUL17	S	1	PD130CT17	5882.50	6756.00	USD	-21,837.50	
13JUL17	S	1	PD130CT17	5884.00	6756.00	USD	-21,800.00	
13JUL17	S	4	PD130CT17	5868.00	6756.00	USD	-88,800.00	
13JUL17	S	1	PD130CT17	5868.50	6756.00	USD	-22,187.50	
20JUL17	B	10	PD130CT17	5958.62	6756.00	USD	199,345.00	
	NET	0 AVG	BGHT 5958.62	SOLD 5874.90			-20,930.00	0.00
17JUL17	B	5	PD170CT17	5981.00	6759.20	USD	97,275.00	
17JUL17	B	2	PD170CT17	6008.50	6759.20	USD	37,535.00	
17JUL17	B	3	PD170CT17	6009.50	6759.20	USD	56,227.50	
17JUL17	B	2	PD170CT17	6001.00	6759.20	USD	37,910.00	
17JUL17	B	3	PD170CT17	6001.50	6759.20	USD	56,827.50	
10AUG17	S	5	PD170CT17	6416.00	6759.20	USD	-42,900.00	
23AUG17	S	10	PD170CT17	6554.75	6759.20	USD	-51,112.50	
	NET	0 AVG	BGHT 5997.13	SOLD 6508.50			191,762.50	0.00
21JUL17	B	2	PD200CT17	6033.00	6761.75	USD	36,437.50	
21JUL17	B	1	PD200CT17	6036.00	6761.75	USD	18,143.75	
21JUL17	B	1	PD200CT17	6037.00	6761.75	USD	18,118.75	
21JUL17	B	1	PD200CT17	6040.50	6761.75	USD	18,031.25	
21JUL17	S	1	PD200CT17	5995.00	6761.75	USD	-19,168.75	
21JUL17	S	2	PD200CT17	5996.50	6761.75	USD	-38,262.50	
21JUL17	S	2	PD200CT17	5998.00	6761.75	USD	-38,187.50	
	NET	0 AVG	BGHT 6035.90	SOLD 5996.80			-4,887.50	0.00
24JUL17	S	1	PD240CT17	6000.00	6765.25	USD	-19,131.25	
24JUL17	S	1	PD240CT17	6001.00	6765.25	USD	-19,106.25	
24JUL17	S	3	PD240CT17	6004.50	6765.25	USD	-57,056.25	
24JUL17	B	2	PD240CT17	6029.50	6765.25	USD	36,787.50	
24JUL17	B	3	PD240CT17	6029.00	6765.25	USD	55,218.75	
	NET	0 AVG	BGHT 6029.20	SOLD 6002.90			-3,287.50	0.00
25JUL17	B	5	PD250CT17	6155.00	6765.68	USD	76,335.00	
25JUL17	B	2	PD250CT17	6219.00	6765.68	USD	27,334.00	
25JUL17	B	3	PD250CT17	6217.50	6765.68	USD	41,113.50	
25JUL17	B	1	PD250CT17	6204.00	6765.68	USD	14,042.00	
25JUL17	B	1	PD250CT17	6205.50	6765.68	USD	14,004.50	
25JUL17	B	3	PD250CT17	6205.00	6765.68	USD	42,051.00	
25JUL17	B	5	PD250CT17	6263.00	6765.68	USD	62,835.00	
23AUG17	S	10	PD250CT17	6555.92	6765.68	USD	-52,440.00	
	NET	+10 AVG	BGHT 6210.25	SOLD 6555.92			225,275.00	0.00
26JUL17	S	2	PD260CT17	6383.00	6766.11	USD	-19,155.50	
26JUL17	S	2	PD260CT17	6383.50	6766.11	USD	-19,130.50	
26JUL17	S	1	PD260CT17	6387.00	6766.11	USD	-9,477.75	
26JUL17	B	2	PD260CT17	6279.00	6766.11	USD	24,355.50	
26JUL17	B	1	PD260CT17	6270.50	6766.11	USD	12,390.25	
26JUL17	B	2	PD260CT17	6272.00	6766.11	USD	24,705.50	
26JUL17	B	2	PD260CT17	6275.00	6766.11	USD	24,555.50	
26JUL17	B	3	PD260CT17	6277.00	6766.11	USD	36,683.25	
	NET	+5 AVG	BGHT 6275.35	SOLD 6384.00			74,926.25	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	2	PD02NOV17	6334.50	6770.10	USD	-21,780.00	
02AUG17	S	3	PD02NOV17	6335.50	6770.10	USD	-32,595.00	
02AUG17	B	3	PD02NOV17	6332.00	6770.10	USD	32,857.50	
02AUG17	B	5	PD02NOV17	6336.00	6770.10	USD	54,262.50	
02AUG17	B	2	PD02NOV17	6337.00	6770.10	USD	21,655.00	
	NET	+5 AVG BGHT 6335.00	SOLD 6335.10				54,400.00	0.00
03AUG17	S	2	PD03NOV17	6341.50	6771.03	USD	-21,476.50	
03AUG17	S	3	PD03NOV17	6342.00	6771.03	USD	-32,177.25	
10AUG17	B	5	PD03NOV17	6420.33	6771.03	USD	43,837.50	
	NET	0 AVG BGHT 6420.33	SOLD 6341.80				-9,816.25	0.00
07AUG17	S	5	PD07NOV17	6366.00	6774.73	USD	-51,091.25	
10AUG17	B	5	PD07NOV17	6421.97	6774.73	USD	44,095.00	
	NET	0 AVG BGHT 6421.97	SOLD 6366.00				-6,996.25	0.00
08AUG17	B	2	PD08NOV17	6478.00	6775.65	USD	14,882.50	
08AUG17	B	1	PD08NOV17	6479.00	6775.65	USD	7,416.25	
08AUG17	B	2	PD08NOV17	6479.50	6775.65	USD	14,807.50	
	NET	+5 AVG BGHT 6478.80					37,106.25	0.00
14AUG17	B	1	PD14NOV17	6407.50	6776.83	USD	9,233.25	
14AUG17	B	1	PD14NOV17	6409.50	6776.83	USD	9,183.25	
14AUG17	B	3	PD14NOV17	6408.00	6776.83	USD	27,662.25	
	NET	+5 AVG BGHT 6408.20					46,078.75	0.00
15AUG17	S	2	PD15NOV17	6418.00	6776.50	USD	-17,925.00	
15AUG17	B	1	PD15NOV17	6419.00	6776.50	USD	8,937.50	
15AUG17	S	2	PD15NOV17	6418.50	6776.50	USD	-17,900.00	
15AUG17	S	2	PD15NOV17	6419.00	6776.50	USD	-17,875.00	
15AUG17	S	5	PD15NOV17	6368.00	6776.50	USD	-51,062.50	
23AUG17	B	10	PD15NOV17	6565.70	6776.50	USD	52,700.00	
	NET	0 AVG BGHT 6552.36	SOLD 6395.55				-43,125.00	0.00
16AUG17	B	3	PD16NOV17	6535.50	6776.25	USD	18,056.25	
16AUG17	B	1	PD16NOV17	6536.50	6776.25	USD	5,993.75	
16AUG17	B	1	PD16NOV17	6537.00	6776.25	USD	5,981.25	
16AUG17	B	2	PD16NOV17	6537.50	6776.25	USD	11,937.50	
16AUG17	B	1	PD16NOV17	6539.50	6776.25	USD	5,918.75	
16AUG17	B	1	PD16NOV17	6540.50	6776.25	USD	5,893.75	
16AUG17	B	1	PD16NOV17	6542.00	6776.25	USD	5,856.25	
	NET	+10 AVG BGHT 6537.70					59,637.50	0.00
18AUG17	S	1	PD17NOV17	6482.00	6776.78	USD	-7,369.50	
18AUG17	S	1	PD17NOV17	6482.50	6776.78	USD	-7,357.00	
18AUG17	S	1	PD17NOV17	6484.00	6776.78	USD	-7,319.50	
18AUG17	S	2	PD17NOV17	6484.50	6776.78	USD	-14,614.00	
18AUG17	S	1	PD17NOV17	6500.00	6776.78	USD	-6,919.50	
18AUG17	S	2	PD17NOV17	6500.50	6776.78	USD	-13,814.00	
18AUG17	S	2	PD17NOV17	6501.50	6776.78	USD	-13,764.00	
23AUG17	B	10	PD17NOV17	6563.89	6776.78	USD	53,222.50	
	NET	0 AVG BGHT 6563.89	SOLD 6492.15				-17,935.00	0.00
21AUG17	B	2	PD21NOV17	6589.00	6778.92	USD	9,496.00	
21AUG17	B	3	PD21NOV17	6592.50	6778.92	USD	13,981.50	
21AUG17	B	3	PD21NOV17	6590.00	6778.92	USD	14,169.00	
21AUG17	B	2	PD21NOV17	6597.00	6778.92	USD	9,096.00	
	NET	+10 AVG BGHT 6591.95					46,742.50	0.00
24AUG17	S	2	PD24NOV17	6703.00	6780.52	USD	-3,876.00	
24AUG17	S	2	PD24NOV17	6706.00	6780.52	USD	-3,726.00	
24AUG17	S	1	PD24NOV17	6706.50	6780.52	USD	-1,850.50	
	NET	-5 AVG SOLD 6704.90					-9,452.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025M

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	6767.00	6783.18	USD	-404.50	
29AUG17	S	1	PD29NOV17	6767.50	6783.18	USD	-392.00	
29AUG17	S	2	PD29NOV17	6763.50	6783.18	USD	-984.00	
29AUG17	S	1	PD29NOV17	6761.00	6783.18	USD	-554.50	
	NET	-5 AVG	SOLD 6764.50				-2,335.00	0.00
05SEP17	B	2	PD05DEC17	6938.50	6786.25	USD	-7,612.50	
05SEP17	B	1	PD05DEC17	6939.00	6786.25	USD	-3,818.75	
05SEP17	B	2	PD05DEC17	6940.00	6786.25	USD	-7,687.50	
	NET	+5 AVG	BGHT 6939.20				-19,118.75	0.00
06SEP17	S	1	PD06DEC17	6908.50	6786.75	USD	3,043.75	
06SEP17	S	1	PD06DEC17	6909.00	6786.75	USD	3,056.25	
06SEP17	S	1	PD06DEC17	6909.50	6786.75	USD	3,068.75	
06SEP17	S	2	PD06DEC17	6910.00	6786.75	USD	6,162.50	
	NET	-5 AVG	SOLD 6909.40				15,331.25	0.00
08SEP17	S	2	PD08DEC17	6822.00	6787.71	USD	1,714.50	
08SEP17	S	2	PD08DEC17	6823.00	6787.71	USD	1,764.50	
08SEP17	S	1	PD08DEC17	6823.50	6787.71	USD	894.75	
08SEP17	S	2	PD08DEC17	6827.50	6787.71	USD	1,989.50	
08SEP17	S	3	PD08DEC17	6828.00	6787.71	USD	3,021.75	
08SEP17	S	1	PD08DEC17	6682.00	6787.71	USD	-2,642.75	
08SEP17	S	1	PD08DEC17	6682.50	6787.71	USD	-2,630.25	
08SEP17	S	2	PD08DEC17	6678.50	6787.71	USD	-5,460.50	
08SEP17	S	5	PD08DEC17	6683.00	6787.71	USD	-13,088.75	
08SEP17	S	1	PD08DEC17	6679.00	6787.71	USD	-2,717.75	
	NET	-20 AVG	SOLD 6753.40				-17,155.00	0.00
14SEP17	S	2	PD14DEC17	6502.00	6790.58	USD	-14,429.00	
14SEP17	S	3	PD14DEC17	6496.00	6790.58	USD	-22,093.50	
	NET	-5 AVG	SOLD 6498.40				-36,522.50	0.00
15SEP17	S	2	PD15DEC17	6494.00	6791.06	USD	-14,853.00	
15SEP17	S	2	PD15DEC17	6495.50	6791.06	USD	-14,778.00	
15SEP17	S	1	PD15DEC17	6496.00	6791.06	USD	-7,376.50	
15SEP17	S	4	PD15DEC17	6501.00	6791.06	USD	-29,006.00	
15SEP17	S	1	PD15DEC17	6508.00	6791.06	USD	-7,076.50	
15SEP17	S	3	PD15DEC17	6510.00	6791.06	USD	-21,079.50	
15SEP17	S	2	PD15DEC17	6513.00	6791.06	USD	-13,903.00	
	NET	-15 AVG	SOLD 6502.87				-108,072.50	0.00
28SEP17	B	4	PD28DEC17	6459.00	6793.47	USD	33,447.00	
28SEP17	B	3	PD28DEC17	6460.00	6793.47	USD	25,010.25	
28SEP17	B	2	PD28DEC17	6461.50	6793.47	USD	16,598.50	
28SEP17	S	4	PD28DEC17	6460.00	6793.47	USD	-33,347.00	
28SEP17	B	2	PD28DEC17	6466.00	6793.47	USD	16,373.50	
28SEP17	B	3	PD28DEC17	6467.00	6793.47	USD	24,485.25	
28SEP17	B	2	PD28DEC17	6559.50	6793.47	USD	11,698.50	
28SEP17	B	4	PD28DEC17	6560.50	6793.47	USD	23,297.00	
28SEP17	B	1	PD28DEC17	6562.00	6793.47	USD	5,786.75	
28SEP17	B	3	PD28DEC17	6564.00	6793.47	USD	17,210.25	
	NET	+20 AVG	BGHT 6503.63 SOLD 6460.00				140,560.00	0.00
05OCT17	B	7	PD05JAN18	6643.00	6797.20	USD	26,985.00	
05OCT17	S	2	PD05JAN18	6642.50	6797.20	USD	-7,735.00	
05OCT17	B	5	PD05JAN18	6664.50	6797.20	USD	16,587.50	
05OCT17	B	5	PD05JAN18	6667.00	6797.20	USD	16,275.00	
05OCT17	B	2	PD05JAN18	6701.50	6797.20	USD	4,785.00	
05OCT17	B	5	PD05JAN18	6697.00	6797.20	USD	12,525.00	
05OCT17	B	3	PD05JAN18	6699.00	6797.20	USD	7,365.00	
	NET	+25 AVG	BGHT 6671.98 SOLD 6642.50				76,787.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 3 PD09JAN18	6644.00	6799.07	USD	-11,630.25	
09OCT17	S 2 PD09JAN18	6646.00	6799.07	USD	-7,653.50	
	NET -5 AVG SOLD 6644.80				-19,283.75	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 2 DEC17	293.850	309.550	USD	-7,850.00	
28SEP17	S 9 DEC17	293.900	309.550	USD	-35,212.50	
28SEP17	S 4 DEC17	298.250	309.550	USD	-11,300.00	
28SEP17	S 5 DEC17	298.200	309.550	USD	-14,187.50	
28SEP17	S 2 DEC17	298.450	309.550	USD	-5,550.00	
05OCT17	S 11 DEC17	301.950	309.550	USD	-20,900.00	
05OCT17	S 11 DEC17	303.000	309.550	USD	-18,012.50	
05OCT17	S 11 DEC17	302.900	309.550	USD	-18,287.50	
05OCT17	S 2 DEC17	304.650	309.550	USD	-2,450.00	
05OCT17	S 3 DEC17	304.500	309.550	USD	-3,787.50	
05OCT17	S 6 DEC17	304.550	309.550	USD	-7,500.00	
05OCT17	S 11 DEC17	304.450	309.550	USD	-14,025.00	
	NET -77 AVG SOLD 301.287				-159,062.50	0.00
28SEP17	S 4 MAR18	300.100	311.550	USD	-11,450.00	
28SEP17	S 7 MAR18	300.200	311.550	USD	-19,862.50	
	NET -11 AVG SOLD 300.164				-31,312.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-6,060,707.50
CMX Copper	FUTURES	6,625,225.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-190,375.00	-190,375.00	-190,375.00	-190,375.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	715,842.50	715,842.50	715,842.50	715,842.50

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-541,030.58	-541,030.58
Variation Margins	- Futures	-190,375.00	-190,375.00
	- Options	0.00	0.00
TOTAL EQUITY		-731,405.58	-731,405.58
Initial Margins		-277,200.00	-277,200.00
Excess/(Shortage)		-1,008,605.58	-1,008,605.58
FOR INFORMATION ONLY			
Forward Unrealised P&L		715,842.50	715,842.50
Net Liquidating Value		-15,563.08	-15,563.08

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	5	PD120CT17	5920.00	6755.20	USD	104,400.00	
10AUG17	S	5	PD120CT17	6413.02	6755.20	USD	-42,772.50	
	NET	0AVG	BGHT 5920.00	SOLD 6413.02			61,627.50	0.00
13JUL17	S	5	PD130CT17	5882.50	6756.00	USD	-109,187.50	
20JUL17	B	5	PD130CT17	5958.62	6756.00	USD	99,672.50	
	NET	0AVG	BGHT 5958.62	SOLD 5882.50			-9,515.00	0.00
17JUL17	B	5	PD170CT17	6001.00	6759.20	USD	94,775.00	
17JUL17	B	5	PD170CT17	5997.50	6759.20	USD	95,212.50	
10AUG17	S	10	PD170CT17	6416.00	6759.20	USD	-85,800.00	
	NET	0AVG	BGHT 5999.25	SOLD 6416.00			104,187.50	0.00
18JUL17	B	5	PD180CT17	6003.50	6760.00	USD	94,562.50	
18JUL17	B	5	PD180CT17	6007.50	6760.00	USD	94,062.50	
10AUG17	S	10	PD180CT17	6416.25	6760.00	USD	-85,937.50	
	NET	0AVG	BGHT 6005.50	SOLD 6416.25			102,687.50	0.00
19JUL17	S	5	PD190CT17	5968.00	6760.88	USD	-99,110.00	
20JUL17	B	5	PD190CT17	5959.70	6760.88	USD	100,147.50	
	NET	0AVG	BGHT 5959.70	SOLD 5968.00			1,037.50	0.00
20JUL17	S	5	PD200CT17	5984.00	6761.75	USD	-97,218.75	
21JUL17	B	5	PD200CT17	6040.00	6761.75	USD	90,218.75	
21JUL17	S	5	PD200CT17	5996.00	6761.75	USD	-95,718.75	
10AUG17	B	5	PD200CT17	6414.45	6761.75	USD	43,412.50	
	NET	0AVG	BGHT 6227.23	SOLD 5990.00			-59,306.25	0.00
24JUL17	B	5	PD240CT17	6001.00	6765.25	USD	95,531.25	
24JUL17	S	5	PD240CT17	5996.00	6765.25	USD	-96,156.25	
24JUL17	S	5	PD240CT17	5998.00	6765.25	USD	-95,906.25	
24JUL17	B	10	PD240CT17	6029.00	6765.25	USD	184,062.50	
23AUG17	S	5	PD240CT17	6555.33	6765.25	USD	-26,240.00	
	NET	0AVG	BGHT 6019.67	SOLD 6183.11			61,291.25	0.00
25JUL17	B	5	PD250CT17	6148.00	6765.68	USD	77,210.00	
25JUL17	B	5	PD250CT17	6174.00	6765.68	USD	73,960.00	
25JUL17	B	5	PD250CT17	6222.00	6765.68	USD	67,960.00	
23AUG17	S	15	PD250CT17	6555.92	6765.68	USD	-78,660.00	
	NET	0AVG	BGHT 6181.33	SOLD 6555.92			140,470.00	0.00
31JUL17	S	5	PD310CT17	6370.00	6768.25	USD	-49,781.25	
10AUG17	B	5	PD310CT17	6419.09	6768.25	USD	43,645.00	
	NET	0AVG	BGHT 6419.09	SOLD 6370.00			-6,136.25	0.00
03AUG17	S	5	PD03NOV17	6348.00	6771.03	USD	-52,878.75	
10AUG17	B	5	PD03NOV17	6420.33	6771.03	USD	43,837.50	
	NET	0AVG	BGHT 6420.33	SOLD 6348.00			-9,041.25	0.00
07AUG17	S	1	PD07NOV17	6360.00	6774.73	USD	-10,368.25	
07AUG17	S	4	PD07NOV17	6362.00	6774.73	USD	-41,273.00	
07AUG17	S	5	PD07NOV17	6358.50	6774.73	USD	-52,028.75	
10AUG17	B	10	PD07NOV17	6421.97	6774.73	USD	88,190.00	
	NET	0AVG	BGHT 6421.97	SOLD 6360.05			-15,480.00	0.00
08AUG17	B	5	PD08NOV17	6474.50	6775.65	USD	37,643.75	
08AUG17	B	5	PD08NOV17	6483.50	6775.65	USD	36,518.75	
08AUG17	B	5	PD08NOV17	6481.00	6775.65	USD	36,831.25	
08AUG17	B	5	PD08NOV17	6475.00	6775.65	USD	37,581.25	
08AUG17	B	5	PD08NOV17	6480.00	6775.65	USD	36,956.25	
	NET	+25AVG	BGHT 6478.80				185,531.25	0.00
15AUG17	S	5	PD15NOV17	6360.00	6776.50	USD	-52,062.50	
15AUG17	S	2	PD15NOV17	6365.00	6776.50	USD	-20,575.00	
15AUG17	S	3	PD15NOV17	6368.50	6776.50	USD	-30,600.00	
23AUG17	B	10	PD15NOV17	6565.70	6776.50	USD	52,700.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT 6565.70	SOLD 6363.55				-50,537.50	0.00
16AUG17	B	1	PD16NOV17		6535.50	6776.25	USD	6,018.75	
16AUG17	B	3	PD16NOV17		6541.50	6776.25	USD	17,606.25	
16AUG17	B	2	PD16NOV17		6542.00	6776.25	USD	11,712.50	
16AUG17	B	4	PD16NOV17		6540.00	6776.25	USD	23,625.00	
	NET	+10 AVG	BGHT 6540.40					58,962.50	0.00
18AUG17	S	7	PD17NOV17		6500.00	6776.78	USD	-48,436.50	
18AUG17	S	3	PD17NOV17		6501.00	6776.78	USD	-20,683.50	
23AUG17	B	10	PD17NOV17		6563.89	6776.78	USD	53,222.50	
	NET	0 AVG	BGHT 6563.89	SOLD 6500.30				-15,897.50	0.00
21AUG17	B	5	PD21NOV17		6587.00	6778.92	USD	23,990.00	
21AUG17	B	5	PD21NOV17		6593.50	6778.92	USD	23,177.50	
	NET	+10 AVG	BGHT 6590.25					47,167.50	0.00
22AUG17	B	5	PD22NOV17		6613.00	6779.45	USD	20,806.25	
	NET	+5 AVG	BGHT 6613.00					20,806.25	0.00
07SEP17	S	5	PD07DEC17		6868.00	6787.23	USD	10,096.25	
	NET	-5 AVG	SOLD 6868.00					10,096.25	0.00
08SEP17	S	5	PD08DEC17		6822.50	6787.71	USD	4,348.75	
08SEP17	S	5	PD08DEC17		6683.00	6787.71	USD	-13,088.75	
08SEP17	S	3	PD08DEC17		6680.50	6787.71	USD	-8,040.75	
08SEP17	S	6	PD08DEC17		6679.00	6787.71	USD	-16,306.50	
08SEP17	S	6	PD08DEC17		6678.00	6787.71	USD	-16,456.50	
08SEP17	S	5	PD08DEC17		6680.00	6787.71	USD	-13,463.75	
	NET	-30 AVG	SOLD 6703.70					-63,007.50	0.00
15SEP17	S	5	PD15DEC17		6489.00	6791.06	USD	-37,757.50	
15SEP17	S	5	PD15DEC17		6496.50	6791.06	USD	-36,820.00	
15SEP17	S	5	PD15DEC17		6472.00	6791.06	USD	-39,882.50	
15SEP17	S	5	PD15DEC17		6475.50	6791.06	USD	-39,445.00	
	NET	-20 AVG	SOLD 6483.25					-153,905.00	0.00
28SEP17	B	3	PD28DEC17		6435.00	6793.47	USD	26,885.25	
28SEP17	B	2	PD28DEC17		6436.50	6793.47	USD	17,848.50	
28SEP17	B	5	PD28DEC17		6437.00	6793.47	USD	44,558.75	
28SEP17	B	5	PD28DEC17		6440.00	6793.47	USD	44,183.75	
28SEP17	B	5	PD28DEC17		6567.00	6793.47	USD	28,308.75	
28SEP17	B	5	PD28DEC17		6563.00	6793.47	USD	28,808.75	
	NET	+25 AVG	BGHT 6488.52					190,593.75	0.00
05OCT17	B	5	PD05JAN18		6698.50	6797.20	USD	12,337.50	
05OCT17	B	5	PD05JAN18		6698.00	6797.20	USD	12,400.00	
05OCT17	B	5	PD05JAN18		6696.00	6797.20	USD	12,650.00	
06OCT17	B	5	PD05JAN18		6713.50	6797.20	USD	10,462.50	
06OCT17	B	5	PD05JAN18		6710.50	6797.20	USD	10,837.50	
	NET	+25 AVG	BGHT 6703.30					58,687.50	0.00
09OCT17	S	1	PD09JAN18		6646.00	6799.07	USD	-3,826.75	
09OCT17	S	4	PD09JAN18		6646.50	6799.07	USD	-15,257.00	
	NET	-5 AVG	SOLD 6646.40					-19,083.75	0.00
	<u>CMX COPPER 25,000 CMX</u>								
28SEP17	S	11	DEC17		292.750	309.550	USD	-46,200.00	
28SEP17	S	11	DEC17		298.550	309.550	USD	-30,250.00	
05OCT17	S	11	DEC17		304.500	309.550	USD	-13,887.50	
	NET	-33 AVG	SOLD 298.600					-90,337.50	0.00
28SEP17	S	11	MAR18		300.100	311.550	USD	-31,487.50	
05OCT17	S	11	MAR18		306.300	311.550	USD	-14,437.50	
05OCT17	S	11	MAR18		306.200	311.550	USD	-14,712.50	
06OCT17	S	11	MAR18		307.100	311.550	USD	-12,237.50	

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06OCT17	CMX COPPER 25,000 CMX S 11 MAR18 NET -55 AVG SOLD 305.350	307.050	311.550	USD	-12,375.00 -85,250.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,139,337.50
CMX Copper	FUTURES	6,662,012.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-175,587.50	-175,587.50	-175,587.50	-175,587.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	641,236.25	641,236.25	641,236.25	641,236.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins - Futures	-481,230.21	-481,230.21
- Options	-175,587.50	-175,587.50
	0.00	0.00
TOTAL EQUITY	-656,817.71	-656,817.71
Initial Margins	-277,200.00	-277,200.00
Excess/(Shortage)	-934,017.71	-934,017.71
FOR INFORMATION ONLY		
Forward Unrealised P&L	641,236.25	641,236.25
Net Liquidating Value	-15,581.46	-15,581.46

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00250

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	5	PD120CT17	5922.00	6755.20	USD	104,150.00	
23AUG17	S	5	PD120CT17	6552.35	6755.20	USD	-25,356.25	
	NET	0 AVG	BGHT 5922.00				78,793.75	0.00
13JUL17	S	5	PD130CT17	5882.50	6756.00	USD	-109,187.50	
20JUL17	B	5	PD130CT17	5958.62	6756.00	USD	99,672.50	
	NET	0 AVG	BGHT 5958.62				-9,515.00	0.00
17JUL17	B	1	PD170CT17	6008.00	6759.20	USD	18,780.00	
17JUL17	B	1	PD170CT17	6010.00	6759.20	USD	18,730.00	
17JUL17	B	1	PD170CT17	6012.00	6759.20	USD	18,680.00	
17JUL17	B	1	PD170CT17	6013.00	6759.20	USD	18,655.00	
17JUL17	B	1	PD170CT17	6001.50	6759.20	USD	18,942.50	
17JUL17	B	2	PD170CT17	6007.50	6759.20	USD	37,585.00	
17JUL17	B	1	PD170CT17	5999.00	6759.20	USD	19,005.00	
17JUL17	B	2	PD170CT17	6000.00	6759.20	USD	37,960.00	
	NET	+10 AVG	BGHT 6005.85				188,337.50	0.00
24JUL17	S	2	PD240CT17	6000.00	6765.25	USD	-38,262.50	
24JUL17	S	1	PD240CT17	6001.00	6765.25	USD	-19,106.25	
24JUL17	S	2	PD240CT17	6004.00	6765.25	USD	-38,062.50	
10AUG17	B	5	PD240CT17	6416.16	6765.25	USD	43,636.25	
	NET	0 AVG	BGHT 6416.16				-51,795.00	0.00
25JUL17	B	1	PD250CT17	6124.00	6765.68	USD	16,042.00	
25JUL17	B	2	PD250CT17	6126.00	6765.68	USD	31,984.00	
25JUL17	B	2	PD250CT17	6123.50	6765.68	USD	32,109.00	
25JUL17	B	1	PD250CT17	6116.00	6765.68	USD	16,242.00	
25JUL17	B	1	PD250CT17	6117.00	6765.68	USD	16,217.00	
25JUL17	B	2	PD250CT17	6115.50	6765.68	USD	32,509.00	
25JUL17	B	1	PD250CT17	6187.00	6765.68	USD	14,467.00	
25JUL17	B	1	PD250CT17	6191.00	6765.68	USD	14,367.00	
25JUL17	B	1	PD250CT17	6192.00	6765.68	USD	14,342.00	
25JUL17	B	1	PD250CT17	6186.00	6765.68	USD	14,492.00	
25JUL17	B	1	PD250CT17	6189.00	6765.68	USD	14,417.00	
	NET	+14 AVG	BGHT 6145.14				217,188.00	0.00
27JUL17	B	2	PD270CT17	6358.00	6766.54	USD	20,427.00	
27JUL17	B	1	PD270CT17	6347.00	6766.54	USD	10,488.50	
27JUL17	B	2	PD270CT17	6357.50	6766.54	USD	20,452.00	
	NET	+5 AVG	BGHT 6355.60				51,367.50	0.00
07AUG17	S	1	PD07NOV17	6368.00	6774.73	USD	-10,168.25	
07AUG17	S	4	PD07NOV17	6371.00	6774.73	USD	-40,373.00	
10AUG17	B	5	PD07NOV17	6421.97	6774.73	USD	44,095.00	
	NET	0 AVG	BGHT 6421.97				-6,446.25	0.00
08AUG17	B	4	PD08NOV17	6439.00	6775.65	USD	33,665.00	
08AUG17	B	1	PD08NOV17	6452.50	6775.65	USD	8,078.75	
08AUG17	B	1	PD08NOV17	6469.00	6775.65	USD	7,666.25	
08AUG17	B	1	PD08NOV17	6467.00	6775.65	USD	7,716.25	
08AUG17	B	1	PD08NOV17	6478.50	6775.65	USD	7,428.75	
08AUG17	B	2	PD08NOV17	6483.00	6775.65	USD	14,632.50	
	NET	+10 AVG	BGHT 6458.90				79,187.50	0.00
15AUG17	S	1	PD15NOV17	6365.00	6776.50	USD	-10,287.50	
15AUG17	S	1	PD15NOV17	6368.00	6776.50	USD	-10,212.50	
15AUG17	S	2	PD15NOV17	6368.50	6776.50	USD	-20,400.00	
15AUG17	S	1	PD15NOV17	6370.00	6776.50	USD	-10,162.50	
15AUG17	S	1	PD15NOV17	6370.50	6776.50	USD	-10,150.00	
15AUG17	S	2	PD15NOV17	6373.00	6776.50	USD	-20,175.00	
15AUG17	S	1	PD15NOV17	6373.50	6776.50	USD	-10,075.00	
15AUG17	S	1	PD15NOV17	6374.00	6776.50	USD	-10,062.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	B 10 PD15NOV17	6565.70	6776.50	USD	52,700.00	
	NET 0 AVG BGHT 6565.70 SOLD 6370.40				-48,825.00	0.00
16AUG17	B 2 PD16NOV17	6534.00	6776.25	USD	12,112.50	
16AUG17	B 1 PD16NOV17	6536.50	6776.25	USD	5,993.75	
16AUG17	B 2 PD16NOV17	6536.00	6776.25	USD	12,012.50	
	NET +5 AVG BGHT 6535.30				30,118.75	0.00
17AUG17	B 1 PD17NOV17	6526.00	6776.78	USD	6,269.50	
17AUG17	B 1 PD17NOV17	6527.00	6776.78	USD	6,244.50	
17AUG17	B 1 PD17NOV17	6528.00	6776.78	USD	6,219.50	
17AUG17	B 1 PD17NOV17	6529.00	6776.78	USD	6,194.50	
17AUG17	B 1 PD17NOV17	6531.50	6776.78	USD	6,132.00	
18AUG17	S 1 PD17NOV17	6494.00	6776.78	USD	-7,069.50	
18AUG17	S 1 PD17NOV17	6494.50	6776.78	USD	-7,057.00	
18AUG17	S 1 PD17NOV17	6497.00	6776.78	USD	-6,994.50	
18AUG17	S 1 PD17NOV17	6499.50	6776.78	USD	-6,932.00	
18AUG17	S 1 PD17NOV17	6502.00	6776.78	USD	-6,869.50	
	NET 0 AVG BGHT 6528.30 SOLD 6497.40				-3,862.50	0.00
21AUG17	B 1 PD21NOV17	6589.00	6778.92	USD	4,748.00	
21AUG17	B 1 PD21NOV17	6590.00	6778.92	USD	4,723.00	
21AUG17	B 1 PD21NOV17	6597.00	6778.92	USD	4,548.00	
21AUG17	B 1 PD21NOV17	6598.50	6778.92	USD	4,510.50	
21AUG17	B 1 PD21NOV17	6600.00	6778.92	USD	4,473.00	
	NET +5 AVG BGHT 6594.90				23,002.50	0.00
24AUG17	S 1 PD24NOV17	6711.00	6780.52	USD	-1,738.00	
24AUG17	S 1 PD24NOV17	6719.50	6780.52	USD	-1,525.50	
24AUG17	S 2 PD24NOV17	6721.00	6780.52	USD	-2,976.00	
24AUG17	S 1 PD24NOV17	6723.00	6780.52	USD	-1,438.00	
	NET -5 AVG SOLD 6719.10				-7,677.50	0.00
29AUG17	B 1 PD29NOV17	6818.50	6783.18	USD	-883.00	
29AUG17	B 4 PD29NOV17	6815.00	6783.18	USD	-3,182.00	
29AUG17	B 1 PD29NOV17	6816.00	6783.18	USD	-820.50	
	NET +6 AVG BGHT 6815.75				-4,885.50	0.00
07SEP17	S 2 PD07DEC17	6868.00	6787.23	USD	4,038.50	
07SEP17	S 1 PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S 1 PD07DEC17	6869.50	6787.23	USD	2,056.75	
07SEP17	S 1 PD07DEC17	6872.00	6787.23	USD	2,119.25	
	NET -5 AVG SOLD 6869.20				10,246.25	0.00
08SEP17	S 5 PD08DEC17	6823.00	6787.71	USD	4,411.25	
08SEP17	S 1 PD08DEC17	6678.50	6787.71	USD	-2,730.25	
08SEP17	S 5 PD08DEC17	6680.50	6787.71	USD	-13,401.25	
08SEP17	S 9 PD08DEC17	6678.00	6787.71	USD	-24,684.75	
08SEP17	S 10 PD08DEC17	6680.00	6787.71	USD	-26,927.50	
	NET -30 AVG SOLD 6703.27				-63,332.50	0.00
15SEP17	S 3 PD15DEC17	6505.00	6791.06	USD	-21,454.50	
15SEP17	S 2 PD15DEC17	6505.50	6791.06	USD	-14,278.00	
	NET -5 AVG SOLD 6505.20				-35,732.50	0.00
28SEP17	B 1 PD28DEC17	6562.50	6793.47	USD	5,774.25	
28SEP17	B 3 PD28DEC17	6564.00	6793.47	USD	17,210.25	
28SEP17	B 15 PD28DEC17	6565.00	6793.47	USD	85,676.25	
28SEP17	B 1 PD28DEC17	6561.50	6793.47	USD	5,799.25	
	NET +20 AVG BGHT 6564.55				114,460.00	0.00
29SEP17	B 1 PD29DEC17	6526.00	6793.93	USD	6,698.25	
29SEP17	B 1 PD29DEC17	6529.50	6793.93	USD	6,610.75	
29SEP17	B 1 PD29DEC17	6523.00	6793.93	USD	6,773.25	

OPEN POSITION STATEMENT



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SAP00250

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
29SEP17	B 1 PD29DEC17	6521.00	6793.93	USD	6,823.25	
29SEP17	B 1 PD29DEC17	6522.00	6793.93	USD	6,798.25	
	NET +5 AVG BGHT 6524.30				33,703.75	0.00
06OCT17	B 1 PD05JAN18	6691.00	6797.20	USD	2,655.00	
06OCT17	B 2 PD05JAN18	6693.00	6797.20	USD	5,210.00	
06OCT17	B 1 PD05JAN18	6695.00	6797.20	USD	2,555.00	
06OCT17	B 1 PD05JAN18	6694.00	6797.20	USD	2,580.00	
06OCT17	B 2 PD05JAN18	6683.50	6797.20	USD	5,685.00	
06OCT17	B 2 PD05JAN18	6685.00	6797.20	USD	5,610.00	
06OCT17	B 1 PD05JAN18	6687.00	6797.20	USD	2,755.00	
	NET +10 AVG BGHT 6689.00				27,050.00	0.00
09OCT17	S 5 PD09JAN18	6649.00	6799.07	USD	-18,758.75	
	NET -5 AVG SOLD 6649.00				-18,758.75	0.00
	<u>CMX COPPER 25,000 CMX</u>					
29AUG17	S 11 DEC17	310.850	309.550	USD	3,575.00	
28SEP17	S 10 DEC17	298.200	309.550	USD	-28,375.00	
28SEP17	S 11 DEC17	298.300	309.550	USD	-30,937.50	
28SEP17	S 12 DEC17	298.450	309.550	USD	-33,300.00	
	NET -44 AVG SOLD 301.456				-89,037.50	0.00
28SEP17	S 11 MAR18	300.300	311.550	USD	-30,937.50	
29SEP17	S 2 MAR18	298.450	311.550	USD	-6,550.00	
29SEP17	S 2 MAR18	298.500	311.550	USD	-6,525.00	
29SEP17	S 2 MAR18	298.750	311.550	USD	-6,400.00	
29SEP17	S 2 MAR18	298.300	311.550	USD	-6,625.00	
29SEP17	S 3 MAR18	298.350	311.550	USD	-9,900.00	
06OCT17	S 2 MAR18	306.000	311.550	USD	-2,775.00	
06OCT17	S 2 MAR18	306.100	311.550	USD	-2,725.00	
06OCT17	S 2 MAR18	306.150	311.550	USD	-2,700.00	
06OCT17	S 5 MAR18	306.200	311.550	USD	-6,687.50	
06OCT17	S 2 MAR18	305.950	311.550	USD	-2,800.00	
06OCT17	S 3 MAR18	305.800	311.550	USD	-4,312.50	
06OCT17	S 6 MAR18	305.850	311.550	USD	-8,550.00	
	NET -44 AVG SOLD 302.688				-97,487.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,166,525.00
CMX Copper	FUTURES	6,645,575.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-186,525.00	-186,525.00	-186,525.00	-186,525.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	602,625.00	602,625.00	602,625.00	602,625.00

OPEN POSITION STATEMENT



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SAP00250

11th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-433,447.44	-433,447.44
Variation Margins		
- Futures	-186,525.00	-186,525.00
- Options	0.00	0.00
TOTAL EQUITY	-619,972.44	-619,972.44
Initial Margins	-277,200.00	-277,200.00
Excess/(Shortage)	-897,172.44	-897,172.44
FOR INFORMATION ONLY		
Forward Unrealised P&L	602,625.00	602,625.00
Net Liquidating Value	-17,347.44	-17,347.44

OPEN POSITION STATEMENT



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SAP0025T

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	3	PD120CT17	5913.50	6755.20	USD	63,127.50	
12JUL17	B	1	PD120CT17	5915.00	6755.20	USD	21,005.00	
12JUL17	B	1	PD120CT17	5916.50	6755.20	USD	20,967.50	
20JUL17	S	5	PD120CT17	5958.00	6755.20	USD	-99,650.00	
	NET	0 AVG	BGHT 5914.40				5,450.00	0.00
13JUL17	S	2	PD130CT17	5871.50	6756.00	USD	-44,225.00	
13JUL17	S	3	PD130CT17	5873.00	6756.00	USD	-66,225.00	
14JUL17	B	5	PD130CT17	5929.50	6756.00	USD	103,312.50	
	NET	0 AVG	BGHT 5929.50				-7,137.50	0.00
17JUL17	S	5	PD170CT17	5927.00	6759.20	USD	-104,025.00	
17JUL17	B	2	PD170CT17	5980.50	6759.20	USD	38,935.00	
17JUL17	B	1	PD170CT17	5981.00	6759.20	USD	19,455.00	
17JUL17	B	1	PD170CT17	5981.50	6759.20	USD	19,442.50	
17JUL17	B	1	PD170CT17	5982.00	6759.20	USD	19,430.00	
17JUL17	B	5	PD170CT17	6000.00	6759.20	USD	94,900.00	
17JUL17	B	5	PD170CT17	6019.00	6759.20	USD	92,525.00	
17JUL17	B	5	PD170CT17	5995.00	6759.20	USD	95,525.00	
20JUL17	S	5	PD170CT17	5960.08	6759.20	USD	-99,890.00	
10AUG17	S	10	PD170CT17	6416.00	6759.20	USD	-85,800.00	
	NET	0 AVG	BGHT 5998.78				90,497.50	0.00
18JUL17	S	5	PD180CT17	5989.50	6760.00	USD	-96,312.50	
18JUL17	B	5	PD180CT17	6004.50	6760.00	USD	94,437.50	
	NET	0 AVG	BGHT 6004.50				-1,875.00	0.00
19JUL17	S	3	PD190CT17	5996.50	6760.88	USD	-57,328.50	
19JUL17	S	1	PD190CT17	5998.00	6760.88	USD	-19,072.00	
19JUL17	S	1	PD190CT17	5998.50	6760.88	USD	-19,059.50	
19JUL17	B	3	PD190CT17	6003.50	6760.88	USD	56,803.50	
19JUL17	B	2	PD190CT17	6004.50	6760.88	USD	37,819.00	
19JUL17	S	5	PD190CT17	5988.00	6760.88	USD	-96,610.00	
19JUL17	S	5	PD190CT17	5972.50	6760.88	USD	-98,547.50	
20JUL17	B	10	PD190CT17	5959.70	6760.88	USD	200,295.00	
	NET	0 AVG	BGHT 5974.43				4,300.00	0.00
20JUL17	B	5	PD200CT17	5979.00	6761.75	USD	97,843.75	
20JUL17	S	5	PD200CT17	5970.00	6761.75	USD	-98,968.75	
21JUL17	B	5	PD200CT17	6004.50	6761.75	USD	94,656.25	
21JUL17	B	5	PD200CT17	6032.00	6761.75	USD	91,218.75	
21JUL17	S	5	PD200CT17	5987.00	6761.75	USD	-96,843.75	
10AUG17	S	5	PD200CT17	6414.25	6761.75	USD	-43,437.50	
	NET	0 AVG	BGHT 6005.17				44,468.75	0.00
24JUL17	S	5	PD240CT17	6001.50	6765.25	USD	-95,468.75	
10AUG17	B	5	PD240CT17	6416.16	6765.25	USD	43,636.25	
	NET	0 AVG	BGHT 6416.16				-51,832.50	0.00
25JUL17	B	1	PD250CT17	6114.50	6765.68	USD	16,279.50	
25JUL17	B	1	PD250CT17	6117.50	6765.68	USD	16,204.50	
25JUL17	B	1	PD250CT17	6123.50	6765.68	USD	16,054.50	
25JUL17	B	3	PD250CT17	6125.00	6765.68	USD	48,051.00	
25JUL17	B	4	PD250CT17	6128.50	6765.68	USD	63,718.00	
25JUL17	B	3	PD250CT17	6147.50	6765.68	USD	46,363.50	
25JUL17	B	1	PD250CT17	6153.50	6765.68	USD	15,304.50	
25JUL17	B	1	PD250CT17	6197.00	6765.68	USD	14,217.00	
25JUL17	B	5	PD250CT17	6217.50	6765.68	USD	68,522.50	
10AUG17	S	20	PD250CT17	6416.39	6765.68	USD	-174,645.00	
	NET	0 AVG	BGHT 6156.25				130,070.00	0.00
26JUL17	S	5	PD260CT17	6350.00	6766.11	USD	-52,013.75	
26JUL17	S	1	PD260CT17	6329.50	6766.11	USD	-10,915.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	2	PD260CT17	6336.00	6766.11	USD	-21,505.50	
26JUL17	S	2	PD260CT17	6336.50	6766.11	USD	-21,480.50	
26JUL17	S	2	PD260CT17	6344.50	6766.11	USD	-21,080.50	
26JUL17	S	3	PD260CT17	6350.50	6766.11	USD	-31,170.75	
26JUL17	B	5	PD260CT17	6305.00	6766.11	USD	57,638.75	
26JUL17	B	2	PD260CT17	6278.00	6766.11	USD	24,405.50	
26JUL17	B	1	PD260CT17	6279.00	6766.11	USD	12,177.75	
26JUL17	B	2	PD260CT17	6270.50	6766.11	USD	24,780.50	
26JUL17	B	1	PD260CT17	6280.00	6766.11	USD	12,152.75	
26JUL17	B	4	PD260CT17	6278.50	6766.11	USD	48,761.00	
26JUL17	B	4	PD260CT17	6279.50	6766.11	USD	48,661.00	
26JUL17	B	1	PD260CT17	6289.00	6766.11	USD	11,927.75	
26JUL17	S	3	PD260CT17	6320.00	6766.11	USD	-33,458.25	
26JUL17	S	2	PD260CT17	6330.00	6766.11	USD	-21,805.50	
26JUL17	S	3	PD260CT17	6331.00	6766.11	USD	-32,633.25	
26JUL17	S	5	PD260CT17	6334.00	6766.11	USD	-54,013.75	
26JUL17	S	1	PD260CT17	6339.50	6766.11	USD	-10,665.25	
26JUL17	S	1	PD260CT17	6333.00	6766.11	USD	-10,827.75	
26JUL17	S	1	PD260CT17	6308.00	6766.11	USD	-11,452.75	
26JUL17	S	1	PD260CT17	6308.50	6766.11	USD	-11,440.25	
26JUL17	S	1	PD260CT17	6306.50	6766.11	USD	-11,490.25	
10AUG17	B	13	PD260CT17	6416.82	6766.11	USD	113,519.25	
	NET	0 AVG BGHT 6336.99	SOLD 6334.65				-1,929.00	0.00
27JUL17	B	2	PD270CT17	6317.00	6766.54	USD	22,477.00	
27JUL17	B	1	PD270CT17	6319.00	6766.54	USD	11,188.50	
27JUL17	B	2	PD270CT17	6357.00	6766.54	USD	20,477.00	
27JUL17	B	1	PD270CT17	6354.00	6766.54	USD	10,313.50	
27JUL17	B	1	PD270CT17	6358.00	6766.54	USD	10,213.50	
27JUL17	B	1	PD270CT17	6359.00	6766.54	USD	10,188.50	
27JUL17	S	2	PD270CT17	6336.50	6766.54	USD	-21,502.00	
27JUL17	S	1	PD270CT17	6338.50	6766.54	USD	-10,701.00	
27JUL17	S	3	PD270CT17	6331.00	6766.54	USD	-32,665.50	
27JUL17	S	4	PD270CT17	6331.50	6766.54	USD	-43,504.00	
28JUL17	S	2	PD270CT17	6283.00	6766.54	USD	-24,177.00	
28JUL17	S	1	PD270CT17	6283.50	6766.54	USD	-12,076.00	
28JUL17	S	1	PD270CT17	6289.50	6766.54	USD	-11,926.00	
28JUL17	S	1	PD270CT17	6290.00	6766.54	USD	-11,913.50	
28JUL17	S	1	PD270CT17	6311.00	6766.54	USD	-11,388.50	
28JUL17	S	3	PD270CT17	6315.50	6766.54	USD	-33,828.00	
28JUL17	S	1	PD270CT17	6313.50	6766.54	USD	-11,326.00	
28JUL17	B	1	PD270CT17	6319.00	6766.54	USD	11,188.50	
28JUL17	B	1	PD270CT17	6320.00	6766.54	USD	11,163.50	
28JUL17	B	1	PD270CT17	6321.00	6766.54	USD	11,138.50	
28JUL17	B	7	PD270CT17	6319.50	6766.54	USD	78,232.00	
28JUL17	B	2	PD270CT17	6320.50	6766.54	USD	22,302.00	
28JUL17	B	2	PD270CT17	6322.00	6766.54	USD	22,227.00	
28JUL17	B	1	PD270CT17	6324.50	6766.54	USD	11,051.00	
10AUG17	S	3	PD270CT17	6417.05	6766.54	USD	-26,211.75	
	NET	0 AVG BGHT 6328.00	SOLD 6329.64				941.25	0.00
31JUL17	S	1	PD310CT17	6345.00	6768.25	USD	-10,581.25	
31JUL17	S	2	PD310CT17	6380.00	6768.25	USD	-19,412.50	
31JUL17	S	1	PD310CT17	6380.50	6768.25	USD	-9,693.75	
31JUL17	S	1	PD310CT17	6383.00	6768.25	USD	-9,631.25	
31JUL17	S	1	PD310CT17	6383.50	6768.25	USD	-9,618.75	
31JUL17	S	4	PD310CT17	6344.00	6768.25	USD	-42,425.00	
10AUG17	B	10	PD310CT17	6419.09	6768.25	USD	87,290.00	
	NET	0 AVG BGHT 6419.09	SOLD 6362.80				-14,072.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	4	PD01NOV17	6380.00	6769.18	USD	38,918.00	
01AUG17	B	1	PD01NOV17	6380.50	6769.18	USD	9,717.00	
01AUG17	B	2	PD01NOV17	6355.00	6769.18	USD	20,709.00	
01AUG17	B	2	PD01NOV17	6355.50	6769.18	USD	20,684.00	
01AUG17	B	1	PD01NOV17	6356.00	6769.18	USD	10,329.50	
10AUG17	S	10	PD01NOV17	6419.10	6769.18	USD	-87,520.00	
	NET	0 AVG BGHT 6367.75	SOLD 6419.10				12,837.50	0.00
02AUG17	S	1	PD02NOV17	6310.50	6770.10	USD	-11,490.00	
02AUG17	S	4	PD02NOV17	6311.00	6770.10	USD	-45,910.00	
02AUG17	S	2	PD02NOV17	6312.00	6770.10	USD	-22,905.00	
02AUG17	S	2	PD02NOV17	6314.50	6770.10	USD	-22,780.00	
02AUG17	S	1	PD02NOV17	6316.50	6770.10	USD	-11,340.00	
02AUG17	S	2	PD02NOV17	6317.00	6770.10	USD	-22,655.00	
02AUG17	S	1	PD02NOV17	6321.00	6770.10	USD	-11,227.50	
02AUG17	S	1	PD02NOV17	6321.50	6770.10	USD	-11,215.00	
02AUG17	S	1	PD02NOV17	6322.00	6770.10	USD	-11,202.50	
02AUG17	B	2	PD02NOV17	6330.00	6770.10	USD	22,005.00	
02AUG17	B	1	PD02NOV17	6330.50	6770.10	USD	10,990.00	
02AUG17	B	2	PD02NOV17	6331.00	6770.10	USD	21,955.00	
02AUG17	B	1	PD02NOV17	6332.50	6770.10	USD	10,940.00	
02AUG17	B	2	PD02NOV17	6334.00	6770.10	USD	21,805.00	
02AUG17	B	1	PD02NOV17	6333.50	6770.10	USD	10,915.00	
02AUG17	B	2	PD02NOV17	6336.00	6770.10	USD	21,705.00	
02AUG17	B	6	PD02NOV17	6337.00	6770.10	USD	64,965.00	
02AUG17	B	3	PD02NOV17	6339.00	6770.10	USD	32,332.50	
02AUG17	B	1	PD02NOV17	6362.50	6770.10	USD	10,190.00	
02AUG17	S	1	PD02NOV17	6360.00	6770.10	USD	-10,252.50	
02AUG17	B	2	PD02NOV17	6348.00	6770.10	USD	21,105.00	
02AUG17	B	2	PD02NOV17	6350.00	6770.10	USD	21,005.00	
02AUG17	B	2	PD02NOV17	6352.00	6770.10	USD	20,905.00	
02AUG17	S	1	PD02NOV17	6353.00	6770.10	USD	-10,427.50	
10AUG17	S	10	PD02NOV17	6419.71	6770.10	USD	-87,597.50	
	NET	0 AVG BGHT 6339.26	SOLD 6356.76				11,815.00	0.00
03AUG17	S	1	PD03NOV17	6306.50	6771.03	USD	-11,613.25	
03AUG17	S	1	PD03NOV17	6309.00	6771.03	USD	-11,550.75	
03AUG17	S	1	PD03NOV17	6314.50	6771.03	USD	-11,413.25	
03AUG17	S	1	PD03NOV17	6316.50	6771.03	USD	-11,363.25	
03AUG17	S	3	PD03NOV17	6326.00	6771.03	USD	-33,377.25	
03AUG17	S	2	PD03NOV17	6347.50	6771.03	USD	-21,176.50	
03AUG17	S	2	PD03NOV17	6348.50	6771.03	USD	-21,126.50	
03AUG17	S	1	PD03NOV17	6350.50	6771.03	USD	-10,513.25	
03AUG17	S	1	PD03NOV17	6318.00	6771.03	USD	-11,325.75	
03AUG17	S	4	PD03NOV17	6319.00	6771.03	USD	-45,203.00	
03AUG17	S	1	PD03NOV17	6321.00	6771.03	USD	-11,250.75	
03AUG17	S	1	PD03NOV17	6321.50	6771.03	USD	-11,238.25	
03AUG17	S	2	PD03NOV17	6322.00	6771.03	USD	-22,451.50	
03AUG17	S	1	PD03NOV17	6322.50	6771.03	USD	-11,213.25	
03AUG17	B	1	PD03NOV17	6311.50	6771.03	USD	11,488.25	
03AUG17	B	3	PD03NOV17	6314.50	6771.03	USD	34,239.75	
03AUG17	B	1	PD03NOV17	6315.50	6771.03	USD	11,388.25	
03AUG17	S	3	PD03NOV17	6315.50	6771.03	USD	-34,164.75	
04AUG17	B	1	PD03NOV17	6361.00	6771.03	USD	10,250.75	
04AUG17	B	1	PD03NOV17	6362.00	6771.03	USD	10,225.75	
04AUG17	B	1	PD03NOV17	6365.00	6771.03	USD	10,150.75	
04AUG17	B	2	PD03NOV17	6381.50	6771.03	USD	19,476.50	
04AUG17	S	3	PD03NOV17	6362.00	6771.03	USD	-30,677.25	

OPEN POSITION STATEMENT



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SAP0025T

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	2	PD03NOV17	6363.00	6771.03	USD	-20,401.50	
04AUG17	S	3	PD03NOV17	6357.00	6771.03	USD	-31,052.25	
04AUG17	S	2	PD03NOV17	6358.00	6771.03	USD	-20,651.50	
04AUG17	B	1	PD03NOV17	6340.50	6771.03	USD	10,763.25	
04AUG17	S	2	PD03NOV17	6338.50	6771.03	USD	-21,626.50	
04AUG17	S	2	PD03NOV17	6339.50	6771.03	USD	-21,576.50	
04AUG17	B	1	PD03NOV17	6341.00	6771.03	USD	10,750.75	
04AUG17	B	1	PD03NOV17	6341.50	6771.03	USD	10,738.25	
04AUG17	B	3	PD03NOV17	6348.50	6771.03	USD	31,689.75	
04AUG17	B	1	PD03NOV17	6323.50	6771.03	USD	11,188.25	
04AUG17	B	2	PD03NOV17	6331.00	6771.03	USD	22,001.50	
04AUG17	B	1	PD03NOV17	6331.50	6771.03	USD	10,988.25	
04AUG17	S	1	PD03NOV17	6326.50	6771.03	USD	-11,113.25	
04AUG17	S	3	PD03NOV17	6330.00	6771.03	USD	-33,077.25	
04AUG17	S	1	PD03NOV17	6331.00	6771.03	USD	-11,000.75	
04AUG17	S	1	PD03NOV17	6328.00	6771.03	USD	-11,075.75	
04AUG17	B	2	PD03NOV17	6365.50	6771.03	USD	20,276.50	
04AUG17	B	2	PD03NOV17	6366.00	6771.03	USD	20,251.50	
04AUG17	S	2	PD03NOV17	6360.00	6771.03	USD	-20,551.50	
04AUG17	S	2	PD03NOV17	6362.50	6771.03	USD	-20,426.50	
10AUG17	B	20	PD03NOV17	6420.33	6771.03	USD	175,350.00	
10AUG17	B	5	PD03NOV17	6420.13	6771.03	USD	43,862.50	
	NET	0 AVG BGHT 6383.21	SOLD 6336.57				-57,131.25	0.00
07AUG17	S	5	PD07NOV17	6368.00	6774.73	USD	-50,841.25	
07AUG17	B	2	PD07NOV17	6355.50	6774.73	USD	20,961.50	
07AUG17	B	2	PD07NOV17	6364.00	6774.73	USD	20,536.50	
07AUG17	B	1	PD07NOV17	6366.00	6774.73	USD	10,218.25	
07AUG17	B	1	PD07NOV17	6367.50	6774.73	USD	10,180.75	
07AUG17	B	3	PD07NOV17	6365.50	6774.73	USD	30,692.25	
07AUG17	B	2	PD07NOV17	6366.50	6774.73	USD	20,411.50	
07AUG17	B	4	PD07NOV17	6367.00	6774.73	USD	40,773.00	
07AUG17	B	1	PD07NOV17	6383.00	6774.73	USD	9,793.25	
07AUG17	B	2	PD07NOV17	6386.00	6774.73	USD	19,436.50	
07AUG17	B	1	PD07NOV17	6386.50	6774.73	USD	9,705.75	
07AUG17	B	1	PD07NOV17	6425.50	6774.73	USD	8,730.75	
07AUG17	B	2	PD07NOV17	6426.00	6774.73	USD	17,436.50	
07AUG17	B	2	PD07NOV17	6428.00	6774.73	USD	17,336.50	
07AUG17	B	1	PD07NOV17	6384.50	6774.73	USD	9,755.75	
07AUG17	S	2	PD07NOV17	6409.50	6774.73	USD	-18,261.50	
07AUG17	S	3	PD07NOV17	6410.00	6774.73	USD	-27,354.75	
07AUG17	S	5	PD07NOV17	6415.50	6774.73	USD	-44,903.75	
10AUG17	S	5	PD07NOV17	6421.57	6774.73	USD	-44,145.00	
23AUG17	S	5	PD07NOV17	6562.50	6774.73	USD	-26,528.75	
	NET	0 AVG BGHT 6381.18	SOLD 6435.47				33,933.75	0.00
08AUG17	B	4	PD08NOV17	6402.50	6775.65	USD	37,315.00	
08AUG17	B	4	PD08NOV17	6399.50	6775.65	USD	37,615.00	
08AUG17	S	3	PD08NOV17	6402.00	6775.65	USD	-28,023.75	
08AUG17	B	1	PD08NOV17	6444.50	6775.65	USD	8,278.75	
08AUG17	B	4	PD08NOV17	6445.00	6775.65	USD	33,065.00	
08AUG17	B	2	PD08NOV17	6450.50	6775.65	USD	16,257.50	
08AUG17	B	2	PD08NOV17	6454.00	6775.65	USD	16,082.50	
08AUG17	B	1	PD08NOV17	6456.50	6775.65	USD	7,978.75	
08AUG17	B	3	PD08NOV17	6469.00	6775.65	USD	22,998.75	
08AUG17	B	1	PD08NOV17	6478.50	6775.65	USD	7,428.75	
08AUG17	B	1	PD08NOV17	6473.00	6775.65	USD	7,566.25	
23AUG17	S	20	PD08NOV17	6563.00	6775.65	USD	-106,325.00	
	NET	0 AVG BGHT 6437.24	SOLD 6542.00				60,237.50	0.00

OPEN POSITION STATEMENT



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09AUG17	B	3	PD09NOV17	6496.00	6776.58	USD	21,043.50	
09AUG17	B	2	PD09NOV17	6499.50	6776.58	USD	13,854.00	
09AUG17	B	3	PD09NOV17	6470.50	6776.58	USD	22,956.00	
09AUG17	S	3	PD09NOV17	6473.50	6776.58	USD	-22,731.00	
09AUG17	S	1	PD09NOV17	6476.00	6776.58	USD	-7,514.50	
09AUG17	S	1	PD09NOV17	6477.50	6776.58	USD	-7,477.00	
09AUG17	S	1	PD09NOV17	6478.00	6776.58	USD	-7,464.50	
09AUG17	S	1	PD09NOV17	6482.50	6776.58	USD	-7,352.00	
09AUG17	S	6	PD09NOV17	6483.50	6776.58	USD	-43,962.00	
09AUG17	S	3	PD09NOV17	6487.50	6776.58	USD	-21,681.00	
09AUG17	S	2	PD09NOV17	6485.50	6776.58	USD	-14,554.00	
10AUG17	B	10	PD09NOV17	6422.79	6776.58	USD	88,447.50	
	NET	0 AVG BGHT 6451.47	SOLD 6481.61				13,565.00	0.00
10AUG17	B	1	PD10NOV17	6460.00	6777.50	USD	7,937.50	
10AUG17	B	1	PD10NOV17	6461.00	6777.50	USD	7,912.50	
10AUG17	B	8	PD10NOV17	6461.50	6777.50	USD	63,200.00	
10AUG17	B	1	PD10NOV17	6450.00	6777.50	USD	8,187.50	
10AUG17	S	1	PD10NOV17	6457.00	6777.50	USD	-8,012.50	
10AUG17	S	2	PD10NOV17	6459.50	6777.50	USD	-15,900.00	
10AUG17	S	1	PD10NOV17	6460.00	6777.50	USD	-7,937.50	
10AUG17	S	2	PD10NOV17	6452.00	6777.50	USD	-16,275.00	
11AUG17	B	7	PD10NOV17	6394.00	6777.50	USD	67,112.50	
11AUG17	S	3	PD10NOV17	6364.50	6777.50	USD	-30,975.00	
11AUG17	S	3	PD10NOV17	6367.00	6777.50	USD	-30,787.50	
11AUG17	S	1	PD10NOV17	6368.50	6777.50	USD	-10,225.00	
11AUG17	S	1	PD10NOV17	6371.50	6777.50	USD	-10,150.00	
11AUG17	S	2	PD10NOV17	6346.50	6777.50	USD	-21,550.00	
11AUG17	S	2	PD10NOV17	6350.50	6777.50	USD	-21,350.00	
11AUG17	S	1	PD10NOV17	6351.50	6777.50	USD	-10,650.00	
11AUG17	B	3	PD10NOV17	6396.50	6777.50	USD	28,575.00	
11AUG17	S	2	PD10NOV17	6367.50	6777.50	USD	-20,500.00	
	NET	0 AVG BGHT 6429.07	SOLD 6388.33				-21,387.50	0.00
14AUG17	S	1	PD14NOV17	6432.00	6776.83	USD	-8,620.75	
14AUG17	S	1	PD14NOV17	6426.00	6776.83	USD	-8,770.75	
14AUG17	S	1	PD14NOV17	6427.00	6776.83	USD	-8,745.75	
14AUG17	S	2	PD14NOV17	6427.50	6776.83	USD	-17,466.50	
14AUG17	S	1	PD14NOV17	6426.50	6776.83	USD	-8,758.25	
14AUG17	S	2	PD14NOV17	6438.50	6776.83	USD	-16,916.50	
14AUG17	S	2	PD14NOV17	6442.50	6776.83	USD	-16,716.50	
14AUG17	S	5	PD14NOV17	6414.50	6776.83	USD	-45,291.25	
14AUG17	B	1	PD14NOV17	6396.00	6776.83	USD	9,520.75	
14AUG17	B	3	PD14NOV17	6402.00	6776.83	USD	28,112.25	
14AUG17	B	1	PD14NOV17	6399.00	6776.83	USD	9,445.75	
14AUG17	B	3	PD14NOV17	6406.50	6776.83	USD	27,774.75	
14AUG17	B	1	PD14NOV17	6407.50	6776.83	USD	9,233.25	
14AUG17	B	1	PD14NOV17	6409.00	6776.83	USD	9,195.75	
23AUG17	B	5	PD14NOV17	6565.70	6776.83	USD	26,391.25	
	NET	0 AVG BGHT 6457.70	SOLD 6426.73				-11,612.50	0.00
15AUG17	B	3	PD15NOV17	6400.00	6776.50	USD	28,237.50	
15AUG17	B	2	PD15NOV17	6401.50	6776.50	USD	18,750.00	
15AUG17	S	2	PD15NOV17	6371.50	6776.50	USD	-20,250.00	
15AUG17	S	3	PD15NOV17	6380.50	6776.50	USD	-29,700.00	
15AUG17	S	3	PD15NOV17	6363.50	6776.50	USD	-30,975.00	
15AUG17	S	2	PD15NOV17	6368.00	6776.50	USD	-20,425.00	
15AUG17	S	5	PD15NOV17	6381.00	6776.50	USD	-49,437.50	
23AUG17	B	10	PD15NOV17	6565.70	6776.50	USD	52,700.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT 6510.67	SOLD 6374.40				-51,100.00	0.00
16AUG17	S	1	PD16NOV17		6363.00	6776.25	USD	-10,331.25	
16AUG17	S	1	PD16NOV17		6363.50	6776.25	USD	-10,318.75	
16AUG17	S	3	PD16NOV17		6365.50	6776.25	USD	-30,806.25	
16AUG17	S	2	PD16NOV17		6380.50	6776.25	USD	-19,787.50	
16AUG17	S	3	PD16NOV17		6379.50	6776.25	USD	-29,756.25	
16AUG17	B	5	PD16NOV17		6400.00	6776.25	USD	47,031.25	
16AUG17	B	5	PD16NOV17		6434.00	6776.25	USD	42,781.25	
16AUG17	B	5	PD16NOV17		6435.00	6776.25	USD	42,656.25	
16AUG17	B	1	PD16NOV17		6467.50	6776.25	USD	7,718.75	
16AUG17	B	1	PD16NOV17		6469.50	6776.25	USD	7,668.75	
16AUG17	B	1	PD16NOV17		6470.50	6776.25	USD	7,643.75	
16AUG17	B	2	PD16NOV17		6471.50	6776.25	USD	15,237.50	
16AUG17	B	3	PD16NOV17		6489.50	6776.25	USD	21,506.25	
16AUG17	B	2	PD16NOV17		6490.00	6776.25	USD	14,312.50	
16AUG17	B	5	PD16NOV17		6516.50	6776.25	USD	32,468.75	
16AUG17	B	5	PD16NOV17		6540.00	6776.25	USD	29,531.25	
16AUG17	B	5	PD16NOV17		6536.00	6776.25	USD	30,031.25	
23AUG17	S	15	PD16NOV17		6563.50	6776.25	USD	-79,781.25	
	NET	+15 AVG	BGHT 6477.66	SOLD 6487.00				117,806.25	0.00
17AUG17	S	2	PD17NOV17		6469.50	6776.78	USD	-15,364.00	
17AUG17	S	3	PD17NOV17		6472.50	6776.78	USD	-22,821.00	
18AUG17	S	3	PD17NOV17		6453.50	6776.78	USD	-24,246.00	
18AUG17	S	1	PD17NOV17		6454.50	6776.78	USD	-8,057.00	
18AUG17	S	1	PD17NOV17		6456.00	6776.78	USD	-8,019.50	
18AUG17	S	1	PD17NOV17		6456.50	6776.78	USD	-8,007.00	
18AUG17	S	4	PD17NOV17		6457.50	6776.78	USD	-31,928.00	
18AUG17	S	2	PD17NOV17		6461.00	6776.78	USD	-15,789.00	
18AUG17	S	1	PD17NOV17		6462.50	6776.78	USD	-7,857.00	
18AUG17	S	1	PD17NOV17		6463.50	6776.78	USD	-7,832.00	
18AUG17	S	1	PD17NOV17		6467.00	6776.78	USD	-7,744.50	
18AUG17	S	3	PD17NOV17		6505.00	6776.78	USD	-20,383.50	
18AUG17	S	4	PD17NOV17		6505.50	6776.78	USD	-27,128.00	
18AUG17	S	1	PD17NOV17		6506.00	6776.78	USD	-6,769.50	
18AUG17	S	1	PD17NOV17		6506.50	6776.78	USD	-6,757.00	
18AUG17	S	1	PD17NOV17		6507.50	6776.78	USD	-6,732.00	
18AUG17	S	3	PD17NOV17		6509.00	6776.78	USD	-20,083.50	
18AUG17	S	2	PD17NOV17		6509.50	6776.78	USD	-13,364.00	
18AUG17	B	5	PD17NOV17		6489.50	6776.78	USD	35,910.00	
18AUG17	B	1	PD17NOV17		6490.00	6776.78	USD	7,169.50	
18AUG17	B	1	PD17NOV17		6497.00	6776.78	USD	6,994.50	
18AUG17	B	1	PD17NOV17		6492.00	6776.78	USD	7,119.50	
18AUG17	B	2	PD17NOV17		6492.50	6776.78	USD	14,214.00	
18AUG17	B	1	PD17NOV17		6494.50	6776.78	USD	7,057.00	
18AUG17	B	1	PD17NOV17		6495.00	6776.78	USD	7,044.50	
18AUG17	B	3	PD17NOV17		6496.00	6776.78	USD	21,058.50	
18AUG17	S	1	PD17NOV17		6480.50	6776.78	USD	-7,407.00	
18AUG17	S	1	PD17NOV17		6481.50	6776.78	USD	-7,382.00	
18AUG17	S	1	PD17NOV17		6482.00	6776.78	USD	-7,369.50	
18AUG17	S	2	PD17NOV17		6483.00	6776.78	USD	-14,689.00	
23AUG17	B	25	PD17NOV17		6563.89	6776.78	USD	133,056.25	
	NET	0 AVG	BGHT 6537.16	SOLD 6481.05				-56,106.25	0.00
21AUG17	S	2	PD21NOV17		6476.00	6778.92	USD	-15,146.00	
21AUG17	S	2	PD21NOV17		6478.50	6778.92	USD	-15,021.00	
21AUG17	S	1	PD21NOV17		6479.00	6778.92	USD	-7,498.00	
21AUG17	B	1	PD21NOV17		6546.00	6778.92	USD	5,823.00	

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21AUG17	B	1	PD21NOV17	6545.00	6778.92	USD	5,848.00	
21AUG17	B	2	PD21NOV17	6545.50	6778.92	USD	11,671.00	
21AUG17	B	1	PD21NOV17	6542.50	6778.92	USD	5,910.50	
21AUG17	B	2	PD21NOV17	6552.00	6778.92	USD	11,346.00	
21AUG17	B	1	PD21NOV17	6554.50	6778.92	USD	5,610.50	
21AUG17	B	1	PD21NOV17	6566.00	6778.92	USD	5,323.00	
21AUG17	B	1	PD21NOV17	6568.00	6778.92	USD	5,273.00	
21AUG17	S	1	PD21NOV17	6558.00	6778.92	USD	-5,523.00	
21AUG17	B	1	PD21NOV17	6555.50	6778.92	USD	5,585.50	
21AUG17	B	3	PD21NOV17	6623.00	6778.92	USD	11,694.00	
21AUG17	B	2	PD21NOV17	6612.00	6778.92	USD	8,346.00	
21AUG17	B	1	PD21NOV17	6572.00	6778.92	USD	5,173.00	
21AUG17	B	1	PD21NOV17	6574.50	6778.92	USD	5,110.50	
21AUG17	B	1	PD21NOV17	6575.50	6778.92	USD	5,085.50	
21AUG17	B	2	PD21NOV17	6576.00	6778.92	USD	10,146.00	
	NET	+15 AVG BGHT 6573.31	SOLD 6491.00				64,757.50	0.00
22AUG17	B	2	PD22NOV17	6548.50	6779.45	USD	11,547.50	
22AUG17	B	3	PD22NOV17	6550.50	6779.45	USD	17,171.25	
22AUG17	S	1	PD22NOV17	6572.00	6779.45	USD	-5,186.25	
22AUG17	S	4	PD22NOV17	6574.50	6779.45	USD	-20,495.00	
22AUG17	B	4	PD22NOV17	6590.00	6779.45	USD	18,945.00	
22AUG17	B	1	PD22NOV17	6591.50	6779.45	USD	4,698.75	
22AUG17	B	1	PD22NOV17	6614.50	6779.45	USD	4,123.75	
22AUG17	B	1	PD22NOV17	6615.50	6779.45	USD	4,098.75	
22AUG17	B	3	PD22NOV17	6616.50	6779.45	USD	12,221.25	
22AUG17	B	1	PD22NOV17	6631.50	6779.45	USD	3,698.75	
22AUG17	B	1	PD22NOV17	6632.00	6779.45	USD	3,686.25	
22AUG17	B	2	PD22NOV17	6644.50	6779.45	USD	6,747.50	
22AUG17	B	1	PD22NOV17	6635.50	6779.45	USD	3,598.75	
22AUG17	B	1	PD22NOV17	6641.50	6779.45	USD	3,448.75	
22AUG17	B	3	PD22NOV17	6634.50	6779.45	USD	10,871.25	
22AUG17	B	1	PD22NOV17	6635.00	6779.45	USD	3,611.25	
22AUG17	S	2	PD22NOV17	6610.00	6779.45	USD	-8,472.50	
22AUG17	S	2	PD22NOV17	6612.00	6779.45	USD	-8,372.50	
22AUG17	S	1	PD22NOV17	6616.00	6779.45	USD	-4,086.25	
22AUG17	B	2	PD22NOV17	6579.00	6779.45	USD	10,022.50	
22AUG17	B	3	PD22NOV17	6580.00	6779.45	USD	14,958.75	
	NET	+20 AVG BGHT 6601.52	SOLD 6593.00				86,837.50	0.00
23AUG17	B	1	PD24NOV17	6592.00	6780.52	USD	4,713.00	
23AUG17	S	1	PD24NOV17	6592.50	6780.52	USD	-4,700.50	
23AUG17	B	5	PD24NOV17	6567.00	6780.52	USD	26,690.00	
23AUG17	B	5	PD24NOV17	6559.50	6780.52	USD	27,627.50	
23AUG17	B	1	PD24NOV17	6540.00	6780.52	USD	6,013.00	
23AUG17	B	1	PD24NOV17	6552.50	6780.52	USD	5,700.50	
23AUG17	B	2	PD24NOV17	6553.00	6780.52	USD	11,376.00	
23AUG17	B	1	PD24NOV17	6553.50	6780.52	USD	5,675.50	
23AUG17	B	1	PD24NOV17	6554.00	6780.52	USD	5,663.00	
23AUG17	B	1	PD24NOV17	6558.00	6780.52	USD	5,563.00	
23AUG17	B	1	PD24NOV17	6560.00	6780.52	USD	5,513.00	
23AUG17	S	1	PD24NOV17	6568.50	6780.52	USD	-5,300.50	
23AUG17	S	1	PD24NOV17	6571.00	6780.52	USD	-5,238.00	
23AUG17	S	2	PD24NOV17	6572.50	6780.52	USD	-10,401.00	
23AUG17	S	2	PD24NOV17	6579.50	6780.52	USD	-10,051.00	
23AUG17	S	1	PD24NOV17	6576.00	6780.52	USD	-5,113.00	
23AUG17	S	1	PD24NOV17	6578.00	6780.52	USD	-5,063.00	
23AUG17	S	1	PD24NOV17	6586.00	6780.52	USD	-4,863.00	
23AUG17	S	1	PD24NOV17	6587.50	6780.52	USD	-4,825.50	

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23AUG17	S	7	PD24NOV17	6588.00	6780.52	USD	-33,691.00	
23AUG17	S	1	PD24NOV17	6589.00	6780.52	USD	-4,788.00	
23AUG17	B	5	PD24NOV17	6579.00	6780.52	USD	25,190.00	
23AUG17	B	1	PD24NOV17	6571.50	6780.52	USD	5,225.50	
23AUG17	B	1	PD24NOV17	6582.00	6780.52	USD	4,963.00	
23AUG17	B	1	PD24NOV17	6584.00	6780.52	USD	4,913.00	
23AUG17	B	1	PD24NOV17	6560.50	6780.52	USD	5,500.50	
23AUG17	B	1	PD24NOV17	6565.00	6780.52	USD	5,388.00	
23AUG17	S	4	PD24NOV17	6570.50	6780.52	USD	-21,002.00	
23AUG17	S	1	PD24NOV17	6571.50	6780.52	USD	-5,225.50	
24AUG17	B	1	PD24NOV17	6601.50	6780.52	USD	4,475.50	
24AUG17	B	1	PD24NOV17	6609.50	6780.52	USD	4,275.50	
24AUG17	B	1	PD24NOV17	6610.50	6780.52	USD	4,250.50	
24AUG17	B	1	PD24NOV17	6611.50	6780.52	USD	4,225.50	
24AUG17	B	1	PD24NOV17	6627.50	6780.52	USD	3,825.50	
24AUG17	S	1	PD24NOV17	6610.50	6780.52	USD	-4,250.50	
24AUG17	S	1	PD24NOV17	6611.00	6780.52	USD	-4,238.00	
24AUG17	S	3	PD24NOV17	6613.00	6780.52	USD	-12,564.00	
24AUG17	S	1	PD24NOV17	6616.50	6780.52	USD	-4,100.50	
24AUG17	B	1	PD24NOV17	6606.00	6780.52	USD	4,363.00	
24AUG17	S	1	PD24NOV17	6620.50	6780.52	USD	-4,000.50	
24AUG17	S	2	PD24NOV17	6631.50	6780.52	USD	-7,451.00	
24AUG17	S	1	PD24NOV17	6629.50	6780.52	USD	-3,775.50	
24AUG17	S	1	PD24NOV17	6634.00	6780.52	USD	-3,663.00	
24AUG17	S	2	PD24NOV17	6634.50	6780.52	USD	-7,301.00	
24AUG17	S	2	PD24NOV17	6639.00	6780.52	USD	-7,076.00	
24AUG17	S	1	PD24NOV17	6658.50	6780.52	USD	-3,050.50	
24AUG17	S	2	PD24NOV17	6670.00	6780.52	USD	-5,526.00	
24AUG17	S	2	PD24NOV17	6681.50	6780.52	USD	-4,951.00	
24AUG17	B	1	PD24NOV17	6668.50	6780.52	USD	2,800.50	
24AUG17	B	2	PD24NOV17	6669.00	6780.52	USD	5,576.00	
24AUG17	S	2	PD24NOV17	6689.50	6780.52	USD	-4,551.00	
24AUG17	B	2	PD24NOV17	6693.50	6780.52	USD	4,351.00	
24AUG17	S	2	PD24NOV17	6700.50	6780.52	USD	-4,001.00	
24AUG17	S	2	PD24NOV17	6710.00	6780.52	USD	-3,526.00	
24AUG17	S	2	PD24NOV17	6711.50	6780.52	USD	-3,451.00	
24AUG17	S	2	PD24NOV17	6712.00	6780.52	USD	-3,426.00	
24AUG17	S	2	PD24NOV17	6709.00	6780.52	USD	-3,576.00	
24AUG17	S	2	PD24NOV17	6714.00	6780.52	USD	-3,326.00	
24AUG17	S	2	PD24NOV17	6715.00	6780.52	USD	-3,276.00	
24AUG17	S	3	PD24NOV17	6717.50	6780.52	USD	-4,726.50	
24AUG17	S	2	PD24NOV17	6718.50	6780.52	USD	-3,101.00	
24AUG17	S	2	PD24NOV17	6720.50	6780.52	USD	-3,001.00	
24AUG17	S	2	PD24NOV17	6729.50	6780.52	USD	-2,551.00	
24AUG17	S	1	PD24NOV17	6693.00	6780.52	USD	-2,188.00	
25AUG17	B	1	PD24NOV17	6703.00	6780.52	USD	1,938.00	
25AUG17	B	2	PD24NOV17	6704.00	6780.52	USD	3,826.00	
25AUG17	B	2	PD24NOV17	6705.50	6780.52	USD	3,751.00	
25AUG17	B	5	PD24NOV17	6724.50	6780.52	USD	7,002.50	
25AUG17	B	3	PD24NOV17	6729.50	6780.52	USD	3,826.50	
25AUG17	B	2	PD24NOV17	6730.50	6780.52	USD	2,501.00	
25AUG17	S	4	PD24NOV17	6707.00	6780.52	USD	-7,352.00	
25AUG17	S	1	PD24NOV17	6703.50	6780.52	USD	-1,925.50	
25AUG17	B	1	PD24NOV17	6699.50	6780.52	USD	2,025.50	
25AUG17	B	1	PD24NOV17	6700.00	6780.52	USD	2,013.00	
25AUG17	S	2	PD24NOV17	6700.00	6780.52	USD	-4,026.00	
	NET	-20 AVG BGHT 6625.61	SOLD 6650.54				-29,472.50	0.00

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29AUG17	B	1	PD29NOV17	6757.00	6783.18	USD	654.50	
29AUG17	S	5	PD29NOV17	6789.00	6783.18	USD	727.50	
29AUG17	B	1	PD29NOV17	6754.00	6783.18	USD	729.50	
29AUG17	B	4	PD29NOV17	6755.50	6783.18	USD	2,768.00	
29AUG17	S	4	PD29NOV17	6790.00	6783.18	USD	682.00	
29AUG17	B	1	PD29NOV17	6783.50	6783.18	USD	-8.00	
29AUG17	S	1	PD29NOV17	6799.00	6783.18	USD	395.50	
29AUG17	B	3	PD29NOV17	6765.50	6783.18	USD	1,326.00	
29AUG17	B	1	PD29NOV17	6785.00	6783.18	USD	-45.50	
29AUG17	S	1	PD29NOV17	6773.00	6783.18	USD	-254.50	
29AUG17	S	2	PD29NOV17	6785.50	6783.18	USD	116.00	
29AUG17	B	1	PD29NOV17	6752.00	6783.18	USD	779.50	
29AUG17	B	1	PD29NOV17	6754.50	6783.18	USD	717.00	
29AUG17	S	1	PD29NOV17	6762.50	6783.18	USD	-517.00	
29AUG17	S	2	PD29NOV17	6783.50	6783.18	USD	16.00	
29AUG17	S	4	PD29NOV17	6791.00	6783.18	USD	782.00	
29AUG17	S	4	PD29NOV17	6798.50	6783.18	USD	1,532.00	
29AUG17	B	2	PD29NOV17	6780.50	6783.18	USD	134.00	
29AUG17	S	2	PD29NOV17	6769.50	6783.18	USD	-684.00	
29AUG17	S	3	PD29NOV17	6770.00	6783.18	USD	-988.50	
29AUG17	S	2	PD29NOV17	6784.50	6783.18	USD	66.00	
29AUG17	S	3	PD29NOV17	6786.50	6783.18	USD	249.00	
29AUG17	S	1	PD29NOV17	6805.00	6783.18	USD	545.50	
29AUG17	B	3	PD29NOV17	6815.00	6783.18	USD	-2,386.50	
29AUG17	S	3	PD29NOV17	6813.50	6783.18	USD	2,274.00	
29AUG17	S	1	PD29NOV17	6817.50	6783.18	USD	858.00	
29AUG17	B	1	PD29NOV17	6812.00	6783.18	USD	-720.50	
29AUG17	B	1	PD29NOV17	6814.50	6783.18	USD	-783.00	
29AUG17	B	1	PD29NOV17	6779.00	6783.18	USD	104.50	
29AUG17	B	2	PD29NOV17	6780.00	6783.18	USD	159.00	
29AUG17	B	1	PD29NOV17	6781.00	6783.18	USD	54.50	
29AUG17	B	5	PD29NOV17	6817.00	6783.18	USD	-4,227.50	
29AUG17	B	5	PD29NOV17	6819.00	6783.18	USD	-4,477.50	
29AUG17	B	3	PD29NOV17	6801.50	6783.18	USD	-1,374.00	
29AUG17	B	2	PD29NOV17	6802.50	6783.18	USD	-966.00	
29AUG17	B	10	PD29NOV17	6804.00	6783.18	USD	-5,205.00	
29AUG17	B	5	PD29NOV17	6799.50	6783.18	USD	-2,040.00	
29AUG17	B	5	PD29NOV17	6792.00	6783.18	USD	-1,102.50	
29AUG17	B	5	PD29NOV17	6790.50	6783.18	USD	-915.00	
29AUG17	B	4	PD29NOV17	6800.50	6783.18	USD	-1,732.00	
29AUG17	B	1	PD29NOV17	6802.00	6783.18	USD	-470.50	
	NET	+30 AVG	BGHT 6794.21	SOLD 6789.13			-13,227.50	0.00
30AUG17	B	2	PD30NOV17	6775.50	6783.72	USD	411.00	
30AUG17	B	1	PD30NOV17	6782.00	6783.72	USD	43.00	
30AUG17	B	1	PD30NOV17	6785.50	6783.72	USD	-44.50	
30AUG17	B	1	PD30NOV17	6794.50	6783.72	USD	-269.50	
30AUG17	S	4	PD30NOV17	6785.50	6783.72	USD	178.00	
30AUG17	S	4	PD30NOV17	6788.00	6783.72	USD	428.00	
30AUG17	S	2	PD30NOV17	6789.00	6783.72	USD	264.00	
30AUG17	S	2	PD30NOV17	6790.50	6783.72	USD	339.00	
30AUG17	S	2	PD30NOV17	6792.50	6783.72	USD	439.00	
30AUG17	S	2	PD30NOV17	6786.00	6783.72	USD	114.00	
30AUG17	S	1	PD30NOV17	6786.50	6783.72	USD	69.50	
30AUG17	S	2	PD30NOV17	6787.00	6783.72	USD	164.00	
30AUG17	S	1	PD30NOV17	6791.50	6783.72	USD	194.50	
31AUG17	S	1	PD30NOV17	6785.00	6783.72	USD	32.00	
31AUG17	S	2	PD30NOV17	6786.00	6783.72	USD	114.00	

OPEN POSITION STATEMENT



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SAP0025T

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6795.50	6783.72	USD	294.50	
31AUG17	S	1	PD30NOV17	6796.00	6783.72	USD	307.00	
31AUG17	S	2	PD30NOV17	6806.00	6783.72	USD	1,114.00	
31AUG17	S	3	PD30NOV17	6806.50	6783.72	USD	1,708.50	
31AUG17	B	5	PD30NOV17	6836.00	6783.72	USD	-6,535.00	
31AUG17	B	5	PD30NOV17	6834.00	6783.72	USD	-6,285.00	
31AUG17	B	6	PD30NOV17	6834.50	6783.72	USD	-7,617.00	
31AUG17	B	4	PD30NOV17	6835.00	6783.72	USD	-5,128.00	
31AUG17	B	5	PD30NOV17	6825.50	6783.72	USD	-5,222.50	
31AUG17	S	2	PD30NOV17	6788.50	6783.72	USD	239.00	
31AUG17	S	2	PD30NOV17	6789.50	6783.72	USD	289.00	
31AUG17	S	1	PD30NOV17	6797.50	6783.72	USD	344.50	
31AUG17	B	1	PD30NOV17	6781.00	6783.72	USD	68.00	
31AUG17	B	1	PD30NOV17	6781.50	6783.72	USD	55.50	
31AUG17	B	2	PD30NOV17	6790.50	6783.72	USD	-339.00	
31AUG17	B	1	PD30NOV17	6793.50	6783.72	USD	-244.50	
	NET	0 AVG BGHT 6819.27	SOLD 6791.30				-24,475.00	0.00
01SEP17	B	3	PD01DEC17	6803.50	6784.25	USD	-1,443.75	
01SEP17	B	1	PD01DEC17	6804.00	6784.25	USD	-493.75	
01SEP17	B	1	PD01DEC17	6805.00	6784.25	USD	-518.75	
01SEP17	S	5	PD01DEC17	6824.50	6784.25	USD	5,031.25	
	NET	0 AVG BGHT 6803.90	SOLD 6824.50				2,575.00	0.00
04SEP17	B	5	PD04DEC17	6860.00	6785.75	USD	-9,281.25	
04SEP17	S	1	PD04DEC17	6884.50	6785.75	USD	2,468.75	
04SEP17	B	1	PD04DEC17	6910.50	6785.75	USD	-3,118.75	
04SEP17	S	5	PD04DEC17	6882.50	6785.75	USD	12,093.75	
04SEP17	S	5	PD04DEC17	6890.00	6785.75	USD	13,031.25	
04SEP17	S	5	PD04DEC17	6890.50	6785.75	USD	13,093.75	
04SEP17	S	4	PD04DEC17	6891.00	6785.75	USD	10,525.00	
04SEP17	S	1	PD04DEC17	6891.50	6785.75	USD	2,643.75	
04SEP17	B	4	PD04DEC17	6880.00	6785.75	USD	-9,425.00	
04SEP17	B	1	PD04DEC17	6881.50	6785.75	USD	-2,393.75	
04SEP17	B	1	PD04DEC17	6885.50	6785.75	USD	-2,493.75	
04SEP17	B	9	PD04DEC17	6886.00	6785.75	USD	-22,556.25	
04SEP17	B	1	PD04DEC17	6887.00	6785.75	USD	-2,531.25	
04SEP17	S	5	PD04DEC17	6880.00	6785.75	USD	11,781.25	
04SEP17	S	1	PD04DEC17	6888.50	6785.75	USD	2,568.75	
04SEP17	S	5	PD04DEC17	6905.00	6785.75	USD	14,906.25	
04SEP17	B	3	PD04DEC17	6909.00	6785.75	USD	-9,243.75	
04SEP17	B	1	PD04DEC17	6910.00	6785.75	USD	-3,106.25	
04SEP17	B	1	PD04DEC17	6915.00	6785.75	USD	-3,231.25	
	NET	-5 AVG BGHT 6885.57	SOLD 6889.64				15,731.25	0.00
05SEP17	B	5	PD05DEC17	6927.50	6786.25	USD	-17,656.25	
05SEP17	B	1	PD05DEC17	6928.50	6786.25	USD	-3,556.25	
05SEP17	B	1	PD05DEC17	6929.00	6786.25	USD	-3,568.75	
05SEP17	B	1	PD05DEC17	6930.00	6786.25	USD	-3,593.75	
05SEP17	B	1	PD05DEC17	6929.50	6786.25	USD	-3,581.25	
05SEP17	B	6	PD05DEC17	6930.50	6786.25	USD	-21,637.50	
	NET	+15 AVG BGHT 6929.17					-53,593.75	0.00
06SEP17	S	1	PD06DEC17	6879.50	6786.75	USD	2,318.75	
06SEP17	S	7	PD06DEC17	6880.50	6786.75	USD	16,406.25	
06SEP17	S	1	PD06DEC17	6881.00	6786.75	USD	2,356.25	
06SEP17	S	1	PD06DEC17	6881.50	6786.75	USD	2,368.75	
06SEP17	S	1	PD06DEC17	6885.00	6786.75	USD	2,456.25	
06SEP17	B	2	PD06DEC17	6899.50	6786.75	USD	-5,637.50	
06SEP17	B	1	PD06DEC17	6900.00	6786.75	USD	-2,831.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	1	PD06DEC17	6900.50	6786.75	USD	-2,843.75	
06SEP17	B	1	PD06DEC17	6901.00	6786.75	USD	-2,856.25	
06SEP17	S	5	PD06DEC17	6887.00	6786.75	USD	12,531.25	
06SEP17	S	2	PD06DEC17	6889.00	6786.75	USD	5,112.50	
06SEP17	S	1	PD06DEC17	6890.50	6786.75	USD	2,593.75	
06SEP17	S	5	PD06DEC17	6911.50	6786.75	USD	15,593.75	
06SEP17	B	1	PD06DEC17	6891.50	6786.75	USD	-2,618.75	
06SEP17	B	1	PD06DEC17	6892.50	6786.75	USD	-2,643.75	
06SEP17	B	2	PD06DEC17	6894.00	6786.75	USD	-5,362.50	
06SEP17	B	1	PD06DEC17	6894.50	6786.75	USD	-2,693.75	
06SEP17	S	5	PD06DEC17	6872.50	6786.75	USD	10,718.75	
06SEP17	S	2	PD06DEC17	6874.00	6786.75	USD	4,362.50	
06SEP17	S	2	PD06DEC17	6874.50	6786.75	USD	4,387.50	
06SEP17	S	1	PD06DEC17	6875.50	6786.75	USD	2,218.75	
06SEP17	S	3	PD06DEC17	6886.50	6786.75	USD	7,481.25	
06SEP17	S	2	PD06DEC17	6891.50	6786.75	USD	5,237.50	
06SEP17	S	1	PD06DEC17	6902.00	6786.75	USD	2,881.25	
06SEP17	S	4	PD06DEC17	6888.00	6786.75	USD	10,125.00	
06SEP17	S	5	PD06DEC17	6893.50	6786.75	USD	13,343.75	
06SEP17	S	6	PD06DEC17	6885.50	6786.75	USD	14,812.50	
06SEP17	S	2	PD06DEC17	6878.50	6786.75	USD	4,587.50	
06SEP17	S	1	PD06DEC17	6879.00	6786.75	USD	2,306.25	
06SEP17	S	7	PD06DEC17	6886.00	6786.75	USD	17,368.75	
	NET	-55 AVG BGHT 6896.70	SOLD 6886.18				134,081.25	0.00
07SEP17	B	2	PD07DEC17	6926.50	6787.23	USD	-6,963.50	
07SEP17	B	5	PD07DEC17	6927.50	6787.23	USD	-17,533.75	
07SEP17	B	3	PD07DEC17	6928.00	6787.23	USD	-10,557.75	
07SEP17	B	2	PD07DEC17	6912.00	6787.23	USD	-6,238.50	
07SEP17	B	1	PD07DEC17	6922.00	6787.23	USD	-3,369.25	
07SEP17	B	4	PD07DEC17	6923.00	6787.23	USD	-13,577.00	
07SEP17	B	2	PD07DEC17	6925.50	6787.23	USD	-6,913.50	
07SEP17	B	6	PD07DEC17	6922.50	6787.23	USD	-20,290.50	
07SEP17	S	5	PD07DEC17	6858.00	6787.23	USD	8,846.25	
07SEP17	S	5	PD07DEC17	6858.50	6787.23	USD	8,908.75	
07SEP17	S	2	PD07DEC17	6859.00	6787.23	USD	3,588.50	
07SEP17	S	6	PD07DEC17	6860.00	6787.23	USD	10,915.50	
07SEP17	S	2	PD07DEC17	6862.00	6787.23	USD	3,738.50	
07SEP17	S	5	PD07DEC17	6863.00	6787.23	USD	9,471.25	
07SEP17	S	2	PD07DEC17	6864.00	6787.23	USD	3,838.50	
07SEP17	S	1	PD07DEC17	6866.50	6787.23	USD	1,981.75	
07SEP17	S	3	PD07DEC17	6870.50	6787.23	USD	6,245.25	
07SEP17	S	2	PD07DEC17	6875.50	6787.23	USD	4,413.50	
07SEP17	S	2	PD07DEC17	6878.50	6787.23	USD	4,563.50	
07SEP17	S	5	PD07DEC17	6864.50	6787.23	USD	9,658.75	
07SEP17	B	5	PD07DEC17	6864.50	6787.23	USD	-9,658.75	
07SEP17	B	5	PD07DEC17	6865.00	6787.23	USD	-9,721.25	
07SEP17	B	5	PD07DEC17	6860.50	6787.23	USD	-9,158.75	
07SEP17	S	5	PD07DEC17	6903.00	6787.23	USD	14,471.25	
07SEP17	S	5	PD07DEC17	6890.50	6787.23	USD	12,908.75	
07SEP17	S	5	PD07DEC17	6872.50	6787.23	USD	10,658.75	
	NET	-15 AVG BGHT 6901.21	SOLD 6870.29				226.25	0.00
08SEP17	B	5	PD08DEC17	6924.50	6787.71	USD	-17,098.75	
08SEP17	B	4	PD08DEC17	6852.00	6787.71	USD	-6,429.00	
08SEP17	B	2	PD08DEC17	6860.00	6787.71	USD	-3,614.50	
08SEP17	B	3	PD08DEC17	6860.50	6787.71	USD	-5,459.25	
08SEP17	B	5	PD08DEC17	6843.50	6787.71	USD	-6,973.75	
08SEP17	S	5	PD08DEC17	6852.00	6787.71	USD	8,036.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
08SEP17	S	5	PD08DEC17		6851.50	6787.71	USD	7,973.75	
08SEP17	S	2	PD08DEC17		6854.50	6787.71	USD	3,339.50	
08SEP17	S	2	PD08DEC17		6858.50	6787.71	USD	3,539.50	
08SEP17	S	4	PD08DEC17		6824.50	6787.71	USD	3,679.00	
08SEP17	S	5	PD08DEC17		6832.50	6787.71	USD	5,598.75	
08SEP17	B	5	PD08DEC17		6824.00	6787.71	USD	-4,536.25	
08SEP17	B	5	PD08DEC17		6826.00	6787.71	USD	-4,786.25	
08SEP17	S	5	PD08DEC17		6812.00	6787.71	USD	3,036.25	
08SEP17	S	5	PD08DEC17		6820.50	6787.71	USD	4,098.75	
08SEP17	S	1	PD08DEC17		6836.00	6787.71	USD	1,207.25	
08SEP17	S	6	PD08DEC17		6829.50	6787.71	USD	6,268.50	
08SEP17	B	1	PD08DEC17		6818.00	6787.71	USD	-757.25	
08SEP17	B	4	PD08DEC17		6818.50	6787.71	USD	-3,079.00	
08SEP17	B	5	PD08DEC17		6823.00	6787.71	USD	-4,411.25	
08SEP17	B	1	PD08DEC17		6851.50	6787.71	USD	-1,594.75	
08SEP17	B	1	PD08DEC17		6683.50	6787.71	USD	2,605.25	
08SEP17	B	3	PD08DEC17		6683.00	6787.71	USD	7,853.25	
08SEP17	B	1	PD08DEC17		6679.00	6787.71	USD	2,717.75	
08SEP17	B	4	PD08DEC17		6678.00	6787.71	USD	10,971.00	
08SEP17	B	1	PD08DEC17		6680.00	6787.71	USD	2,692.75	
	NET	+10 AVG BGHT 6813.23		SOLD 6834.49				14,877.50	0.00
11SEP17	B	5	PD11DEC17		6702.00	6789.15	USD	10,893.75	
11SEP17	B	2	PD11DEC17		6705.50	6789.15	USD	4,182.50	
11SEP17	B	3	PD11DEC17		6706.50	6789.15	USD	6,198.75	
11SEP17	S	4	PD11DEC17		6698.00	6789.15	USD	-9,115.00	
11SEP17	S	1	PD11DEC17		6699.00	6789.15	USD	-2,253.75	
11SEP17	S	2	PD11DEC17		6706.00	6789.15	USD	-4,157.50	
11SEP17	S	3	PD11DEC17		6703.50	6789.15	USD	-6,423.75	
11SEP17	B	1	PD11DEC17		6727.50	6789.15	USD	1,541.25	
11SEP17	B	1	PD11DEC17		6728.00	6789.15	USD	1,528.75	
11SEP17	B	1	PD11DEC17		6729.00	6789.15	USD	1,503.75	
11SEP17	B	1	PD11DEC17		6730.00	6789.15	USD	1,478.75	
11SEP17	B	1	PD11DEC17		6730.50	6789.15	USD	1,466.25	
11SEP17	S	3	PD11DEC17		6747.50	6789.15	USD	-3,123.75	
	NET	+2 AVG BGHT 6712.37		SOLD 6712.00				3,720.00	0.00
12SEP17	S	1	PD12DEC17		6715.00	6789.63	USD	-1,865.75	
12SEP17	S	1	PD12DEC17		6718.00	6789.63	USD	-1,790.75	
12SEP17	S	5	PD12DEC17		6700.00	6789.63	USD	-11,203.75	
12SEP17	B	5	PD12DEC17		6707.50	6789.63	USD	10,266.25	
12SEP17	B	2	PD12DEC17		6714.50	6789.63	USD	3,756.50	
12SEP17	B	5	PD12DEC17		6733.50	6789.63	USD	7,016.25	
12SEP17	S	2	PD12DEC17		6707.50	6789.63	USD	-4,106.50	
12SEP17	B	3	PD12DEC17		6729.50	6789.63	USD	4,509.75	
12SEP17	B	2	PD12DEC17		6730.00	6789.63	USD	2,981.50	
12SEP17	B	2	PD12DEC17		6723.00	6789.63	USD	3,331.50	
12SEP17	B	3	PD12DEC17		6724.50	6789.63	USD	4,884.75	
12SEP17	B	2	PD12DEC17		6675.00	6789.63	USD	5,731.50	
12SEP17	B	3	PD12DEC17		6676.00	6789.63	USD	8,522.25	
12SEP17	B	5	PD12DEC17		6655.00	6789.63	USD	16,828.75	
12SEP17	B	1	PD12DEC17		6650.50	6789.63	USD	3,478.25	
12SEP17	B	2	PD12DEC17		6651.00	6789.63	USD	6,931.50	
12SEP17	B	3	PD12DEC17		6651.50	6789.63	USD	10,359.75	
12SEP17	B	4	PD12DEC17		6652.50	6789.63	USD	13,713.00	
12SEP17	B	1	PD12DEC17		6647.50	6789.63	USD	3,553.25	
12SEP17	S	3	PD12DEC17		6643.00	6789.63	USD	-10,997.25	
12SEP17	S	2	PD12DEC17		6644.00	6789.63	USD	-7,281.50	
12SEP17	S	6	PD12DEC17		6649.50	6789.63	USD	-21,019.50	

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12SEP17	S	2	PD12DEC17	6645.00	6789.63	USD	-7,231.50	
12SEP17	S	1	PD12DEC17	6646.00	6789.63	USD	-3,590.75	
12SEP17	S	1	PD12DEC17	6637.00	6789.63	USD	-3,815.75	
12SEP17	S	3	PD12DEC17	6642.50	6789.63	USD	-11,034.75	
12SEP17	S	3	PD12DEC17	6643.50	6789.63	USD	-10,959.75	
12SEP17	S	6	PD12DEC17	6656.50	6789.63	USD	-19,969.50	
12SEP17	S	3	PD12DEC17	6655.50	6789.63	USD	-10,059.75	
12SEP17	B	1	PD12DEC17	6663.50	6789.63	USD	3,153.25	
12SEP17	B	2	PD12DEC17	6673.50	6789.63	USD	5,806.50	
12SEP17	S	3	PD12DEC17	6663.50	6789.63	USD	-9,459.75	
12SEP17	S	1	PD12DEC17	6667.50	6789.63	USD	-3,053.25	
12SEP17	S	2	PD12DEC17	6668.50	6789.63	USD	-6,056.50	
12SEP17	S	2	PD12DEC17	6677.00	6789.63	USD	-5,631.50	
12SEP17	S	3	PD12DEC17	6669.00	6789.63	USD	-9,047.25	
12SEP17	S	7	PD12DEC17	6671.50	6789.63	USD	-20,672.75	
12SEP17	S	2	PD12DEC17	6654.50	6789.63	USD	-6,756.50	
12SEP17	S	1	PD12DEC17	6651.50	6789.63	USD	-3,453.25	
12SEP17	S	1	PD12DEC17	6654.00	6789.63	USD	-3,390.75	
12SEP17	S	2	PD12DEC17	6653.00	6789.63	USD	-6,831.50	
12SEP17	B	5	PD12DEC17	6670.00	6789.63	USD	14,953.75	
12SEP17	B	5	PD12DEC17	6671.00	6789.63	USD	14,828.75	
12SEP17	B	3	PD12DEC17	6668.00	6789.63	USD	9,122.25	
12SEP17	B	2	PD12DEC17	6668.50	6789.63	USD	6,056.50	
	NET	-2 AVG BGHT 6684.85	SOLD 6663.10				-39,494.00	0.00
13SEP17	B	5	PD13DEC17	6605.00	6790.10	USD	23,137.50	
13SEP17	B	3	PD13DEC17	6595.50	6790.10	USD	14,595.00	
13SEP17	B	2	PD13DEC17	6597.00	6790.10	USD	9,655.00	
13SEP17	B	10	PD13DEC17	6590.00	6790.10	USD	50,025.00	
13SEP17	B	5	PD13DEC17	6595.00	6790.10	USD	24,387.50	
13SEP17	B	5	PD13DEC17	6590.50	6790.10	USD	24,950.00	
13SEP17	B	5	PD13DEC17	6578.50	6790.10	USD	26,450.00	
13SEP17	B	5	PD13DEC17	6587.00	6790.10	USD	25,387.50	
13SEP17	B	5	PD13DEC17	6579.00	6790.10	USD	26,387.50	
13SEP17	B	5	PD13DEC17	6570.00	6790.10	USD	27,512.50	
13SEP17	B	5	PD13DEC17	6560.50	6790.10	USD	28,700.00	
13SEP17	B	5	PD13DEC17	6561.00	6790.10	USD	28,637.50	
13SEP17	S	5	PD13DEC17	6550.50	6790.10	USD	-29,950.00	
13SEP17	S	5	PD13DEC17	6555.50	6790.10	USD	-29,325.00	
13SEP17	S	5	PD13DEC17	6542.00	6790.10	USD	-31,012.50	
	NET	+45 AVG BGHT 6583.55	SOLD 6549.33				219,537.50	0.00
14SEP17	B	5	PD14DEC17	6531.00	6790.58	USD	32,447.50	
14SEP17	B	5	PD14DEC17	6516.00	6790.58	USD	34,322.50	
14SEP17	B	5	PD14DEC17	6480.00	6790.58	USD	38,822.50	
14SEP17	S	1	PD14DEC17	6467.00	6790.58	USD	-8,089.50	
14SEP17	B	1	PD14DEC17	6457.00	6790.58	USD	8,339.50	
14SEP17	S	2	PD14DEC17	6459.00	6790.58	USD	-16,579.00	
14SEP17	S	3	PD14DEC17	6462.00	6790.58	USD	-24,643.50	
14SEP17	S	1	PD14DEC17	6495.50	6790.58	USD	-7,377.00	
14SEP17	S	2	PD14DEC17	6496.00	6790.58	USD	-14,729.00	
14SEP17	S	1	PD14DEC17	6496.50	6790.58	USD	-7,352.00	
14SEP17	S	1	PD14DEC17	6501.00	6790.58	USD	-7,239.50	
14SEP17	S	4	PD14DEC17	6506.00	6790.58	USD	-28,458.00	
14SEP17	S	1	PD14DEC17	6506.50	6790.58	USD	-7,102.00	
14SEP17	S	5	PD14DEC17	6514.50	6790.58	USD	-34,510.00	
14SEP17	S	5	PD14DEC17	6515.00	6790.58	USD	-34,447.50	
14SEP17	S	5	PD14DEC17	6524.50	6790.58	USD	-33,260.00	
14SEP17	B	1	PD14DEC17	6517.50	6790.58	USD	6,827.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	5	PD14DEC17	6518.00	6790.58	USD	34,072.50	
14SEP17	B	2	PD14DEC17	6519.50	6790.58	USD	13,554.00	
14SEP17	S	10	PD14DEC17	6522.00	6790.58	USD	-67,145.00	
14SEP17	S	4	PD14DEC17	6523.00	6790.58	USD	-26,758.00	
14SEP17	S	1	PD14DEC17	6523.50	6790.58	USD	-6,677.00	
14SEP17	S	3	PD14DEC17	6525.00	6790.58	USD	-19,918.50	
14SEP17	S	5	PD14DEC17	6517.50	6790.58	USD	-34,135.00	
14SEP17	S	5	PD14DEC17	6513.50	6790.58	USD	-34,635.00	
14SEP17	S	5	PD14DEC17	6510.50	6790.58	USD	-35,010.00	
14SEP17	S	5	PD14DEC17	6503.00	6790.58	USD	-35,947.50	
14SEP17	S	5	PD14DEC17	6502.00	6790.58	USD	-36,072.50	
14SEP17	S	5	PD14DEC17	6503.50	6790.58	USD	-35,885.00	
	NET	-55 AVG	BGHT 6509.94	SOLD 6509.08			-387,585.00	0.00
15SEP17	B	5	PD15DEC17	6504.50	6791.06	USD	35,820.00	
15SEP17	B	5	PD15DEC17	6505.00	6791.06	USD	35,757.50	
15SEP17	S	2	PD15DEC17	6497.50	6791.06	USD	-14,678.00	
15SEP17	S	2	PD15DEC17	6493.50	6791.06	USD	-14,878.00	
15SEP17	S	1	PD15DEC17	6494.00	6791.06	USD	-7,426.50	
15SEP17	S	5	PD15DEC17	6483.00	6791.06	USD	-38,507.50	
	NET	0 AVG	BGHT 6504.75	SOLD 6489.10			-3,912.50	0.00
19SEP17	B	2	PD19DEC17	6553.50	6792.50	USD	11,950.00	
19SEP17	B	3	PD19DEC17	6550.50	6792.50	USD	18,150.00	
19SEP17	B	3	PD19DEC17	6532.00	6792.50	USD	19,537.50	
19SEP17	B	5	PD19DEC17	6515.00	6792.50	USD	34,687.50	
19SEP17	B	5	PD19DEC17	6518.00	6792.50	USD	34,312.50	
19SEP17	B	2	PD19DEC17	6522.50	6792.50	USD	13,500.00	
19SEP17	B	3	PD19DEC17	6524.50	6792.50	USD	20,100.00	
19SEP17	S	5	PD19DEC17	6519.50	6792.50	USD	-34,125.00	
19SEP17	B	5	PD19DEC17	6515.50	6792.50	USD	34,625.00	
19SEP17	B	5	PD19DEC17	6508.00	6792.50	USD	35,562.50	
19SEP17	B	5	PD19DEC17	6517.00	6792.50	USD	34,437.50	
19SEP17	B	1	PD19DEC17	6527.00	6792.50	USD	6,637.50	
19SEP17	B	2	PD19DEC17	6528.50	6792.50	USD	13,200.00	
19SEP17	B	2	PD19DEC17	6529.00	6792.50	USD	13,175.00	
19SEP17	S	5	PD19DEC17	6540.00	6792.50	USD	-31,562.50	
19SEP17	S	2	PD19DEC17	6542.50	6792.50	USD	-12,500.00	
19SEP17	B	1	PD19DEC17	6534.50	6792.50	USD	6,450.00	
19SEP17	B	3	PD19DEC17	6535.50	6792.50	USD	19,275.00	
19SEP17	B	4	PD19DEC17	6538.00	6792.50	USD	25,450.00	
19SEP17	B	2	PD19DEC17	6530.00	6792.50	USD	13,125.00	
19SEP17	B	1	PD19DEC17	6536.00	6792.50	USD	6,412.50	
19SEP17	B	1	PD19DEC17	6533.00	6792.50	USD	6,487.50	
19SEP17	B	1	PD19DEC17	6531.50	6792.50	USD	6,525.00	
19SEP17	B	1	PD19DEC17	6537.00	6792.50	USD	6,387.50	
	NET	+45 AVG	BGHT 6525.84	SOLD 6531.88			301,800.00	0.00
20SEP17	S	1	PD20DEC17	6555.50	6792.50	USD	-5,925.00	
20SEP17	S	2	PD20DEC17	6557.00	6792.50	USD	-11,775.00	
20SEP17	S	2	PD20DEC17	6559.00	6792.50	USD	-11,675.00	
20SEP17	B	1	PD20DEC17	6564.50	6792.50	USD	5,700.00	
20SEP17	B	1	PD20DEC17	6567.00	6792.50	USD	5,637.50	
20SEP17	B	2	PD20DEC17	6568.50	6792.50	USD	11,200.00	
20SEP17	S	1	PD20DEC17	6564.50	6792.50	USD	-5,700.00	
20SEP17	S	4	PD20DEC17	6567.00	6792.50	USD	-22,550.00	
20SEP17	B	3	PD20DEC17	6542.50	6792.50	USD	18,750.00	
20SEP17	B	1	PD20DEC17	6545.00	6792.50	USD	6,187.50	
20SEP17	S	2	PD20DEC17	6551.50	6792.50	USD	-12,050.00	

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20SEP17	S	6	PD20DEC17	6556.50	6792.50	USD	-35,400.00	
20SEP17	S	5	PD20DEC17	6526.50	6792.50	USD	-33,250.00	
20SEP17	B	2	PD20DEC17	6513.50	6792.50	USD	13,950.00	
20SEP17	S	10	PD20DEC17	6512.50	6792.50	USD	-70,000.00	
20SEP17	S	1	PD20DEC17	6513.50	6792.50	USD	-6,975.00	
20SEP17	S	1	PD20DEC17	6520.50	6792.50	USD	-6,800.00	
	NET	-25 AVG BGHT 6546.80	SOLD 6538.67				-160,675.00	0.00
21SEP17	S	5	PD21DEC17	6488.00	6791.50	USD	-37,937.50	
21SEP17	S	5	PD21DEC17	6462.50	6791.50	USD	-41,125.00	
21SEP17	B	3	PD21DEC17	6465.00	6791.50	USD	24,487.50	
21SEP17	B	1	PD21DEC17	6465.50	6791.50	USD	8,150.00	
21SEP17	B	1	PD21DEC17	6466.00	6791.50	USD	8,137.50	
21SEP17	B	2	PD21DEC17	6457.00	6791.50	USD	16,725.00	
21SEP17	B	2	PD21DEC17	6463.50	6791.50	USD	16,400.00	
21SEP17	S	1	PD21DEC17	6450.00	6791.50	USD	-8,537.50	
21SEP17	B	2	PD21DEC17	6448.50	6791.50	USD	17,150.00	
21SEP17	B	1	PD21DEC17	6447.00	6791.50	USD	8,612.50	
21SEP17	B	5	PD21DEC17	6435.50	6791.50	USD	44,500.00	
21SEP17	B	3	PD21DEC17	6438.50	6791.50	USD	26,475.00	
21SEP17	B	1	PD21DEC17	6441.50	6791.50	USD	8,750.00	
21SEP17	B	3	PD21DEC17	6446.00	6791.50	USD	25,912.50	
21SEP17	B	3	PD21DEC17	6444.50	6791.50	USD	26,025.00	
21SEP17	B	3	PD21DEC17	6449.00	6791.50	USD	25,687.50	
21SEP17	B	2	PD21DEC17	6475.50	6791.50	USD	15,800.00	
21SEP17	B	3	PD21DEC17	6482.00	6791.50	USD	23,212.50	
21SEP17	B	2	PD21DEC17	6468.00	6791.50	USD	16,175.00	
21SEP17	B	3	PD21DEC17	6469.00	6791.50	USD	24,187.50	
21SEP17	B	5	PD21DEC17	6432.00	6791.50	USD	44,937.50	
21SEP17	B	4	PD21DEC17	6450.00	6791.50	USD	34,150.00	
21SEP17	B	5	PD21DEC17	6453.00	6791.50	USD	42,312.50	
21SEP17	B	2	PD21DEC17	6454.00	6791.50	USD	16,875.00	
21SEP17	B	1	PD21DEC17	6455.50	6791.50	USD	8,400.00	
21SEP17	B	2	PD21DEC17	6451.00	6791.50	USD	17,025.00	
21SEP17	B	2	PD21DEC17	6456.00	6791.50	USD	16,775.00	
	NET	+50 AVG BGHT 6452.57	SOLD 6472.95				429,262.50	0.00
22SEP17	S	5	PD22DEC17	6404.00	6790.50	USD	-48,312.50	
22SEP17	S	5	PD22DEC17	6404.50	6790.50	USD	-48,250.00	
	NET	-10 AVG SOLD 6404.25					-96,562.50	0.00
25SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
25SEP17	S	1	PD27DEC17	6460.50	6793.00	USD	-8,312.50	
25SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
25SEP17	S	1	PD27DEC17	6461.50	6793.00	USD	-8,287.50	
25SEP17	S	1	PD27DEC17	6463.50	6793.00	USD	-8,237.50	
25SEP17	S	1	PD27DEC17	6440.00	6793.00	USD	-8,825.00	
25SEP17	S	4	PD27DEC17	6445.50	6793.00	USD	-34,750.00	
26SEP17	B	1	PD27DEC17	6479.50	6793.00	USD	7,837.50	
26SEP17	B	2	PD27DEC17	6477.50	6793.00	USD	15,775.00	
26SEP17	B	1	PD27DEC17	6493.00	6793.00	USD	7,500.00	
26SEP17	B	1	PD27DEC17	6479.00	6793.00	USD	7,850.00	
26SEP17	S	1	PD27DEC17	6407.50	6793.00	USD	-9,637.50	
26SEP17	S	1	PD27DEC17	6411.00	6793.00	USD	-9,550.00	
26SEP17	S	1	PD27DEC17	6411.50	6793.00	USD	-9,537.50	
26SEP17	S	1	PD27DEC17	6412.50	6793.00	USD	-9,512.50	
26SEP17	S	1	PD27DEC17	6409.50	6793.00	USD	-9,587.50	
26SEP17	S	5	PD27DEC17	6410.50	6793.00	USD	-47,812.50	
26SEP17	S	1	PD27DEC17	6410.00	6793.00	USD	-9,575.00	

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26SEP17	S	1	PD27DEC17	6417.00	6793.00	USD	-9,400.00	
26SEP17	S	2	PD27DEC17	6417.50	6793.00	USD	-18,775.00	
26SEP17	S	1	PD27DEC17	6418.00	6793.00	USD	-9,375.00	
27SEP17	B	1	PD27DEC17	6438.00	6793.00	USD	8,875.00	
27SEP17	B	2	PD27DEC17	6437.50	6793.00	USD	17,775.00	
27SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
27SEP17	B	1	PD27DEC17	6439.50	6793.00	USD	8,837.50	
27SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
27SEP17	S	1	PD27DEC17	6440.00	6793.00	USD	-8,825.00	
27SEP17	B	3	PD27DEC17	6441.00	6793.00	USD	26,400.00	
27SEP17	B	2	PD27DEC17	6442.00	6793.00	USD	17,550.00	
	NET	-10 AVG BGHT 6452.81	SOLD 6428.96				-100,550.00	0.00
28SEP17	B	1	PD28DEC17	6470.00	6793.47	USD	8,086.75	
28SEP17	B	1	PD28DEC17	6470.50	6793.47	USD	8,074.25	
28SEP17	B	3	PD28DEC17	6471.50	6793.47	USD	24,147.75	
28SEP17	B	1	PD28DEC17	6514.50	6793.47	USD	6,974.25	
28SEP17	S	1	PD28DEC17	6516.50	6793.47	USD	-6,924.25	
28SEP17	B	1	PD28DEC17	6527.00	6793.47	USD	6,661.75	
28SEP17	B	1	PD28DEC17	6531.50	6793.47	USD	6,549.25	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
28SEP17	B	1	PD28DEC17	6526.50	6793.47	USD	6,674.25	
28SEP17	B	1	PD28DEC17	6527.50	6793.47	USD	6,649.25	
28SEP17	B	2	PD28DEC17	6529.00	6793.47	USD	13,223.50	
28SEP17	B	2	PD28DEC17	6529.50	6793.47	USD	13,198.50	
28SEP17	B	1	PD28DEC17	6530.00	6793.47	USD	6,586.75	
28SEP17	B	1	PD28DEC17	6532.00	6793.47	USD	6,536.75	
28SEP17	B	1	PD28DEC17	6544.00	6793.47	USD	6,236.75	
28SEP17	B	1	PD28DEC17	6554.50	6793.47	USD	5,974.25	
28SEP17	B	1	PD28DEC17	6559.50	6793.47	USD	5,849.25	
28SEP17	B	1	PD28DEC17	6562.00	6793.47	USD	5,786.75	
	NET	+20 AVG BGHT 6519.33	SOLD 6516.50				136,997.50	0.00
04OCT17	S	5	PD04JAN18	6515.00	6796.73	USD	-35,216.25	
04OCT17	S	5	PD04JAN18	6507.50	6796.73	USD	-36,153.75	
04OCT17	S	5	PD04JAN18	6509.00	6796.73	USD	-35,966.25	
	NET	-15 AVG SOLD 6510.50					-107,336.25	0.00
05OCT17	B	5	PD05JAN18	6563.00	6797.20	USD	29,275.00	
05OCT17	B	2	PD05JAN18	6555.50	6797.20	USD	12,085.00	
05OCT17	B	2	PD05JAN18	6559.00	6797.20	USD	11,910.00	
05OCT17	B	1	PD05JAN18	6554.50	6797.20	USD	6,067.50	
05OCT17	B	2	PD05JAN18	6626.50	6797.20	USD	8,535.00	
05OCT17	B	5	PD05JAN18	6630.50	6797.20	USD	20,837.50	
05OCT17	B	5	PD05JAN18	6631.50	6797.20	USD	20,712.50	
05OCT17	B	5	PD05JAN18	6633.50	6797.20	USD	20,462.50	
05OCT17	S	2	PD05JAN18	6627.50	6797.20	USD	-8,485.00	
05OCT17	B	5	PD05JAN18	6640.00	6797.20	USD	19,650.00	
05OCT17	B	5	PD05JAN18	6669.50	6797.20	USD	15,962.50	
05OCT17	S	5	PD05JAN18	6682.00	6797.20	USD	-14,400.00	
05OCT17	S	5	PD05JAN18	6686.50	6797.20	USD	-13,837.50	
05OCT17	B	1	PD05JAN18	6671.00	6797.20	USD	3,155.00	
05OCT17	B	1	PD05JAN18	6674.50	6797.20	USD	3,067.50	
05OCT17	B	1	PD05JAN18	6675.50	6797.20	USD	3,042.50	
05OCT17	B	2	PD05JAN18	6677.50	6797.20	USD	5,985.00	
05OCT17	B	5	PD05JAN18	6679.50	6797.20	USD	14,712.50	
05OCT17	B	5	PD05JAN18	6682.00	6797.20	USD	14,400.00	
	NET	+40 AVG BGHT 6635.77	SOLD 6674.79				173,137.50	0.00
09OCT17	S	5	PD09JAN18	6677.00	6799.07	USD	-15,258.75	

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09OCT17	S	5	PD09JAN18	6679.50	6799.07	USD	-14,946.25	
09OCT17	S	5	PD09JAN18	6673.00	6799.07	USD	-15,758.75	
09OCT17	S	10	PD09JAN18	6678.50	6799.07	USD	-30,142.50	
09OCT17	S	5	PD09JAN18	6681.50	6799.07	USD	-14,696.25	
09OCT17	S	10	PD09JAN18	6661.50	6799.07	USD	-34,392.50	
09OCT17	S	10	PD09JAN18	6661.00	6799.07	USD	-34,517.50	
09OCT17	S	5	PD09JAN18	6679.00	6799.07	USD	-15,008.75	
09OCT17	S	10	PD09JAN18	6660.00	6799.07	USD	-34,767.50	
09OCT17	S	5	PD09JAN18	6680.50	6799.07	USD	-14,821.25	
09OCT17	S	5	PD09JAN18	6664.50	6799.07	USD	-16,821.25	
09OCT17	B	3	PD09JAN18	6659.50	6799.07	USD	10,467.75	
09OCT17	B	1	PD09JAN18	6660.00	6799.07	USD	3,476.75	
09OCT17	B	1	PD09JAN18	6660.50	6799.07	USD	3,464.25	
09OCT17	B	5	PD09JAN18	6658.50	6799.07	USD	17,571.25	
09OCT17	S	5	PD09JAN18	6667.00	6799.07	USD	-16,508.75	
09OCT17	S	5	PD09JAN18	6643.50	6799.07	USD	-19,446.25	
09OCT17	S	5	PD09JAN18	6650.00	6799.07	USD	-18,633.75	
09OCT17	B	3	PD09JAN18	6651.00	6799.07	USD	11,105.25	
09OCT17	B	5	PD09JAN18	6654.00	6799.07	USD	18,133.75	
09OCT17	B	1	PD09JAN18	6653.00	6799.07	USD	3,651.75	
09OCT17	S	8	PD09JAN18	6653.50	6799.07	USD	-29,114.00	
09OCT17	S	6	PD09JAN18	6660.50	6799.07	USD	-20,785.50	
09OCT17	S	2	PD09JAN18	6662.00	6799.07	USD	-6,853.50	
09OCT17	S	3	PD09JAN18	6665.00	6799.07	USD	-10,055.25	
09OCT17	S	5	PD09JAN18	6659.00	6799.07	USD	-17,508.75	
	NET	-95 AVG BGHT 6656.18	SOLD 6665.72				-312,166.25	0.00
10OCT17	B	1	PD10JAN18	6703.50	6799.53	USD	2,400.75	
10OCT17	B	4	PD10JAN18	6704.00	6799.53	USD	9,553.00	
10OCT17	B	5	PD10JAN18	6719.50	6799.53	USD	10,003.75	
10OCT17	B	5	PD10JAN18	6722.00	6799.53	USD	9,691.25	
10OCT17	B	1	PD10JAN18	6729.50	6799.53	USD	1,750.75	
10OCT17	B	4	PD10JAN18	6730.00	6799.53	USD	6,953.00	
10OCT17	S	5	PD10JAN18	6712.00	6799.53	USD	-10,941.25	
10OCT17	S	5	PD10JAN18	6720.00	6799.53	USD	-9,941.25	
10OCT17	S	5	PD10JAN18	6726.50	6799.53	USD	-9,128.75	
10OCT17	S	5	PD10JAN18	6729.50	6799.53	USD	-8,753.75	
	NET	0 AVG BGHT 6718.83	SOLD 6722.00				1,587.50	0.00
11OCT17	B	5	PD11JAN18	6727.00	6800.00	USD	9,125.00	
11OCT17	S	5	PD11JAN18	6750.00	6800.00	USD	-6,250.00	
11OCT17	B	5	PD11JAN18	6790.00	6800.00	USD	1,250.00	
11OCT17	B	1	PD11JAN18	6792.00	6800.00	USD	200.00	
11OCT17	B	1	PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	B	4	PD11JAN18	6793.00	6800.00	USD	700.00	
11OCT17	B	4	PD11JAN18	6793.50	6800.00	USD	650.00	
11OCT17	B	5	PD11JAN18	6794.50	6800.00	USD	687.50	
11OCT17	B	3	PD11JAN18	6769.50	6800.00	USD	2,287.50	
11OCT17	B	5	PD11JAN18	6770.00	6800.00	USD	3,750.00	
11OCT17	B	1	PD11JAN18	6771.00	6800.00	USD	725.00	
11OCT17	B	1	PD11JAN18	6773.50	6800.00	USD	662.50	
11OCT17	B	5	PD11JAN18	6775.50	6800.00	USD	3,062.50	
11OCT17	B	5	PD11JAN18	6779.00	6800.00	USD	2,625.00	
11OCT17	B	5	PD11JAN18	6780.00	6800.00	USD	2,500.00	
11OCT17	B	5	PD11JAN18	6795.00	6800.00	USD	625.00	
11OCT17	B	2	PD11JAN18	6796.50	6800.00	USD	175.00	
11OCT17	B	7	PD11JAN18	6797.00	6800.00	USD	525.00	
11OCT17	B	1	PD11JAN18	6797.50	6800.00	USD	62.50	
11OCT17	B	5	PD11JAN18	6799.50	6800.00	USD	62.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 2 PD11JAN18	6801.00	6800.00	USD	-50.00	
11OCT17	B 3 PD11JAN18	6801.50	6800.00	USD	-112.50	
	NET +70 AVG BGHT 6784.16 SOLD 6750.00				23,450.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 11 DEC17	308.450	309.550	USD	-3,025.00	
11OCT17	S 22 DEC17	308.600	309.550	USD	-5,225.00	
11OCT17	S 11 DEC17	308.650	309.550	USD	-2,475.00	
11OCT17	S 11 DEC17	307.950	309.550	USD	-4,400.00	
11OCT17	S 22 DEC17	307.550	309.550	USD	-11,000.00	
11OCT17	S 10 DEC17	307.850	309.550	USD	-4,250.00	
11OCT17	S 1 DEC17	307.700	309.550	USD	-462.50	
11OCT17	S 11 DEC17	308.050	309.550	USD	-4,125.00	
11OCT17	S 7 DEC17	308.750	309.550	USD	-1,400.00	
11OCT17	S 11 DEC17	308.900	309.550	USD	-1,787.50	
11OCT17	S 26 DEC17	308.800	309.550	USD	-4,875.00	
11OCT17	S 11 DEC17	309.000	309.550	USD	-1,512.50	
	NET -154 AVG SOLD 308.393				-44,537.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-11,344,242.75
CMX Copper	FUTURES	11,873,137.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-44,537.50	-44,537.50	-44,537.50	-44,537.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	531,267.00	531,267.00	531,267.00	531,267.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-504,590.20	-504,590.20
Variation Margins - Futures	-44,537.50	-44,537.50
- Options	0.00	0.00
TOTAL EQUITY	-549,127.70	-549,127.70
Initial Margins	-885,854.00	-885,854.00
Excess/(Shortage)	-1,434,981.70	-1,434,981.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	531,267.00	531,267.00
Net Liquidating Value	-17,860.70	-17,860.70

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12JUL17	B	1	PD120CT17	5914.00	6755.20	USD	21,030.00	
12JUL17	B	1	PD120CT17	5912.00	6755.20	USD	21,080.00	
12JUL17	B	2	PD120CT17	5913.50	6755.20	USD	42,085.00	
12JUL17	B	1	PD120CT17	5916.50	6755.20	USD	20,967.50	
20JUL17	S	5	PD120CT17	5958.00	6755.20	USD	-99,650.00	
	NET	0AVG BGHT 5913.90	SOLD 5958.00				5,512.50	0.00
13JUL17	S	2	PD130CT17	5871.00	6756.00	USD	-44,250.00	
13JUL17	S	3	PD130CT17	5872.00	6756.00	USD	-66,300.00	
14JUL17	B	5	PD130CT17	5918.00	6756.00	USD	104,750.00	
	NET	0AVG BGHT 5918.00	SOLD 5871.60				-5,800.00	0.00
17JUL17	S	5	PD170CT17	5929.50	6759.20	USD	-103,712.50	
17JUL17	B	1	PD170CT17	5978.00	6759.20	USD	19,530.00	
17JUL17	B	1	PD170CT17	5981.00	6759.20	USD	19,455.00	
17JUL17	B	1	PD170CT17	5983.50	6759.20	USD	19,392.50	
17JUL17	B	1	PD170CT17	5977.50	6759.20	USD	19,542.50	
17JUL17	B	1	PD170CT17	5979.00	6759.20	USD	19,505.00	
17JUL17	B	5	PD170CT17	5995.50	6759.20	USD	95,462.50	
17JUL17	B	5	PD170CT17	6000.00	6759.20	USD	94,900.00	
17JUL17	B	10	PD170CT17	6010.00	6759.20	USD	187,300.00	
20JUL17	S	10	PD170CT17	5960.08	6759.20	USD	-199,780.00	
10AUG17	S	10	PD170CT17	6416.00	6759.20	USD	-85,800.00	
	NET	0AVG BGHT 5999.06	SOLD 6136.33				85,795.00	0.00
18JUL17	S	1	PD180CT17	5985.50	6760.00	USD	-19,362.50	
18JUL17	S	1	PD180CT17	5986.00	6760.00	USD	-19,350.00	
18JUL17	S	1	PD180CT17	5987.00	6760.00	USD	-19,325.00	
18JUL17	S	1	PD180CT17	5980.50	6760.00	USD	-19,487.50	
18JUL17	S	1	PD180CT17	5982.00	6760.00	USD	-19,450.00	
18JUL17	S	4	PD180CT17	5988.00	6760.00	USD	-77,200.00	
18JUL17	S	1	PD180CT17	5988.50	6760.00	USD	-19,287.50	
18JUL17	B	4	PD180CT17	5998.50	6760.00	USD	76,150.00	
18JUL17	B	1	PD180CT17	5999.00	6760.00	USD	19,025.00	
20JUL17	B	5	PD180CT17	5960.70	6760.00	USD	99,912.50	
	NET	0AVG BGHT 5979.65	SOLD 5986.15				1,625.00	0.00
19JUL17	S	2	PD190CT17	5994.00	6760.88	USD	-38,344.00	
19JUL17	S	1	PD190CT17	5994.50	6760.88	USD	-19,159.50	
19JUL17	S	1	PD190CT17	5995.00	6760.88	USD	-19,147.00	
19JUL17	S	1	PD190CT17	5995.50	6760.88	USD	-19,134.50	
19JUL17	B	5	PD190CT17	6003.50	6760.88	USD	94,672.50	
19JUL17	S	5	PD190CT17	5987.00	6760.88	USD	-96,735.00	
19JUL17	S	5	PD190CT17	5970.00	6760.88	USD	-98,860.00	
20JUL17	B	10	PD190CT17	5959.70	6760.88	USD	200,295.00	
	NET	0AVG BGHT 5974.30	SOLD 5983.87				3,587.50	0.00
20JUL17	B	1	PD200CT17	5976.50	6761.75	USD	19,631.25	
20JUL17	B	1	PD200CT17	5979.00	6761.75	USD	19,568.75	
20JUL17	B	1	PD200CT17	5975.50	6761.75	USD	19,656.25	
20JUL17	B	1	PD200CT17	5977.00	6761.75	USD	19,618.75	
20JUL17	B	1	PD200CT17	5980.00	6761.75	USD	19,543.75	
20JUL17	S	5	PD200CT17	5975.50	6761.75	USD	-98,281.25	
21JUL17	B	3	PD200CT17	6002.00	6761.75	USD	56,981.25	
21JUL17	B	2	PD200CT17	6003.00	6761.75	USD	37,937.50	
21JUL17	B	1	PD200CT17	6030.00	6761.75	USD	18,293.75	
21JUL17	B	4	PD200CT17	6036.00	6761.75	USD	72,575.00	
21JUL17	B	5	PD200CT17	6031.50	6761.75	USD	91,281.25	
21JUL17	S	5	PD200CT17	5988.00	6761.75	USD	-96,718.75	
10AUG17	S	10	PD200CT17	6414.25	6761.75	USD	-86,875.00	
	NET	0AVG BGHT 6011.58	SOLD 6198.00				93,212.50	0.00

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24JUL17	S	10	PD240CT17	6001.00	6765.25	USD	-191,062.50	
10AUG17	B	10	PD240CT17	6416.16	6765.25	USD	87,272.50	
	NET	0AVG	BGHT 6416.16	SOLD 6001.00			-103,790.00	0.00
25JUL17	B	2	PD250CT17	6085.50	6765.68	USD	34,009.00	
25JUL17	B	1	PD250CT17	6088.00	6765.68	USD	16,942.00	
25JUL17	B	1	PD250CT17	6103.00	6765.68	USD	16,567.00	
25JUL17	B	5	PD250CT17	6114.00	6765.68	USD	81,460.00	
25JUL17	B	4	PD250CT17	6116.50	6765.68	USD	64,918.00	
25JUL17	B	2	PD250CT17	6116.00	6765.68	USD	32,484.00	
25JUL17	B	1	PD250CT17	6228.50	6765.68	USD	13,429.50	
25JUL17	B	2	PD250CT17	6229.00	6765.68	USD	26,834.00	
25JUL17	B	2	PD250CT17	6229.50	6765.68	USD	26,809.00	
10AUG17	S	20	PD250CT17	6416.39	6765.68	USD	-174,645.00	
	NET	0AVG	BGHT 6138.78	SOLD 6416.39			138,807.50	0.00
26JUL17	S	5	PD260CT17	6378.50	6766.11	USD	-48,451.25	
26JUL17	S	1	PD260CT17	6338.50	6766.11	USD	-10,690.25	
26JUL17	S	1	PD260CT17	6346.00	6766.11	USD	-10,502.75	
26JUL17	S	1	PD260CT17	6349.50	6766.11	USD	-10,415.25	
26JUL17	S	2	PD260CT17	6350.00	6766.11	USD	-20,805.50	
26JUL17	B	5	PD260CT17	6305.00	6766.11	USD	57,638.75	
26JUL17	B	1	PD260CT17	6277.00	6766.11	USD	12,227.75	
26JUL17	B	1	PD260CT17	6278.00	6766.11	USD	12,202.75	
26JUL17	B	1	PD260CT17	6284.00	6766.11	USD	12,052.75	
26JUL17	B	1	PD260CT17	6282.00	6766.11	USD	12,102.75	
26JUL17	B	2	PD260CT17	6276.50	6766.11	USD	24,480.50	
26JUL17	B	1	PD260CT17	6275.00	6766.11	USD	12,277.75	
26JUL17	B	1	PD260CT17	6281.50	6766.11	USD	12,115.25	
26JUL17	B	2	PD260CT17	6273.00	6766.11	USD	24,655.50	
26JUL17	S	1	PD260CT17	6310.00	6766.11	USD	-11,402.75	
26JUL17	S	1	PD260CT17	6311.00	6766.11	USD	-11,377.75	
26JUL17	S	1	PD260CT17	6311.50	6766.11	USD	-11,365.25	
26JUL17	S	1	PD260CT17	6313.50	6766.11	USD	-11,315.25	
26JUL17	S	1	PD260CT17	6316.00	6766.11	USD	-11,252.75	
26JUL17	S	1	PD260CT17	6330.00	6766.11	USD	-10,902.75	
26JUL17	S	1	PD260CT17	6336.50	6766.11	USD	-10,740.25	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	S	1	PD260CT17	6333.50	6766.11	USD	-10,815.25	
26JUL17	S	1	PD260CT17	6334.00	6766.11	USD	-10,802.75	
26JUL17	S	3	PD260CT17	6308.00	6766.11	USD	-34,358.25	
26JUL17	S	1	PD260CT17	6306.50	6766.11	USD	-11,490.25	
26JUL17	S	1	PD260CT17	6304.50	6766.11	USD	-11,540.25	
10AUG17	B	10	PD260CT17	6417.02	6766.11	USD	87,272.50	
	NET	0AVG	BGHT 6338.87	SOLD 6335.90			-1,855.00	0.00
27JUL17	B	1	PD270CT17	6333.00	6766.54	USD	10,838.50	
27JUL17	B	1	PD270CT17	6333.50	6766.54	USD	10,826.00	
27JUL17	B	1	PD270CT17	6336.00	6766.54	USD	10,763.50	
27JUL17	B	2	PD270CT17	6334.00	6766.54	USD	21,627.00	
27JUL17	S	1	PD270CT17	6330.50	6766.54	USD	-10,901.00	
27JUL17	S	1	PD270CT17	6331.50	6766.54	USD	-10,876.00	
27JUL17	S	1	PD270CT17	6332.00	6766.54	USD	-10,863.50	
27JUL17	S	1	PD270CT17	6337.00	6766.54	USD	-10,738.50	
27JUL17	S	1	PD270CT17	6337.50	6766.54	USD	-10,726.00	
27JUL17	S	2	PD270CT17	6331.00	6766.54	USD	-21,777.00	
27JUL17	S	2	PD270CT17	6339.00	6766.54	USD	-21,377.00	
27JUL17	S	1	PD270CT17	6339.50	6766.54	USD	-10,676.00	
28JUL17	B	1	PD270CT17	6323.50	6766.54	USD	11,076.00	

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28JUL17	B	1	PD27OCT17	6316.00	6766.54	USD	11,263.50	
28JUL17	B	1	PD27OCT17	6318.00	6766.54	USD	11,213.50	
28JUL17	B	2	PD27OCT17	6320.00	6766.54	USD	22,327.00	
28JUL17	B	2	PD27OCT17	6324.00	6766.54	USD	22,127.00	
28JUL17	B	2	PD27OCT17	6324.50	6766.54	USD	22,102.00	
28JUL17	S	1	PD27OCT17	6325.50	6766.54	USD	-11,026.00	
28JUL17	S	3	PD27OCT17	6327.50	6766.54	USD	-32,928.00	
28JUL17	S	3	PD27OCT17	6329.00	6766.54	USD	-32,815.50	
28JUL17	S	2	PD27OCT17	6328.00	6766.54	USD	-21,927.00	
28JUL17	B	1	PD27OCT17	6339.50	6766.54	USD	10,676.00	
28JUL17	B	1	PD27OCT17	6340.00	6766.54	USD	10,663.50	
28JUL17	B	1	PD27OCT17	6344.00	6766.54	USD	10,563.50	
28JUL17	B	3	PD27OCT17	6334.50	6766.54	USD	32,403.00	
28JUL17	S	12	PD27OCT17	6334.00	6766.54	USD	-129,762.00	
28JUL17	B	2	PD27OCT17	6335.00	6766.54	USD	21,577.00	
28JUL17	B	4	PD27OCT17	6336.00	6766.54	USD	43,054.00	
10AUG17	B	5	PD27OCT17	6417.45	6766.54	USD	43,636.25	
	NET	0AVG BGHT 6344.94	SOLD 6332.48				-9,656.25	0.00
31JUL17	S	1	PD31OCT17	6384.00	6768.25	USD	-9,606.25	
31JUL17	S	1	PD31OCT17	6385.50	6768.25	USD	-9,568.75	
31JUL17	S	1	PD31OCT17	6383.50	6768.25	USD	-9,618.75	
31JUL17	B	1	PD31OCT17	6383.00	6768.25	USD	9,631.25	
31JUL17	B	1	PD31OCT17	6383.50	6768.25	USD	9,618.75	
31JUL17	B	1	PD31OCT17	6385.00	6768.25	USD	9,581.25	
31JUL17	S	1	PD31OCT17	6370.00	6768.25	USD	-9,956.25	
31JUL17	S	2	PD31OCT17	6371.00	6768.25	USD	-19,862.50	
31JUL17	S	1	PD31OCT17	6374.50	6768.25	USD	-9,843.75	
31JUL17	S	1	PD31OCT17	6370.50	6768.25	USD	-9,943.75	
10AUG17	B	5	PD31OCT17	6418.89	6768.25	USD	43,670.00	
	NET	0AVG BGHT 6405.74	SOLD 6376.25				-5,898.75	0.00
01AUG17	B	2	PD01NOV17	6379.00	6769.18	USD	19,509.00	
01AUG17	B	1	PD01NOV17	6380.00	6769.18	USD	9,729.50	
01AUG17	B	1	PD01NOV17	6380.50	6769.18	USD	9,717.00	
01AUG17	B	1	PD01NOV17	6381.00	6769.18	USD	9,704.50	
01AUG17	B	1	PD01NOV17	6354.50	6769.18	USD	10,367.00	
01AUG17	B	1	PD01NOV17	6355.00	6769.18	USD	10,354.50	
01AUG17	B	2	PD01NOV17	6356.00	6769.18	USD	20,659.00	
01AUG17	B	1	PD01NOV17	6356.50	6769.18	USD	10,317.00	
10AUG17	S	10	PD01NOV17	6419.30	6769.18	USD	-87,470.00	
	NET	0AVG BGHT 6367.75	SOLD 6419.30				12,887.50	0.00
02AUG17	B	1	PD02NOV17	6323.50	6770.10	USD	11,165.00	
02AUG17	S	1	PD02NOV17	6310.50	6770.10	USD	-11,490.00	
02AUG17	S	1	PD02NOV17	6311.00	6770.10	USD	-11,477.50	
02AUG17	S	1	PD02NOV17	6314.50	6770.10	USD	-11,390.00	
02AUG17	S	3	PD02NOV17	6318.00	6770.10	USD	-33,907.50	
02AUG17	S	3	PD02NOV17	6318.50	6770.10	USD	-33,870.00	
02AUG17	S	1	PD02NOV17	6320.00	6770.10	USD	-11,252.50	
02AUG17	S	1	PD02NOV17	6321.00	6770.10	USD	-11,227.50	
02AUG17	B	4	PD02NOV17	6328.50	6770.10	USD	44,160.00	
02AUG17	B	1	PD02NOV17	6331.00	6770.10	USD	10,977.50	
02AUG17	B	1	PD02NOV17	6332.50	6770.10	USD	10,940.00	
02AUG17	B	1	PD02NOV17	6333.00	6770.10	USD	10,927.50	
02AUG17	B	3	PD02NOV17	6334.00	6770.10	USD	32,707.50	
02AUG17	B	1	PD02NOV17	6336.50	6770.10	USD	10,840.00	
02AUG17	B	2	PD02NOV17	6337.00	6770.10	USD	21,655.00	
02AUG17	B	1	PD02NOV17	6338.00	6770.10	USD	10,802.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

11th October 2017

Sapien Capital Limited
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	1	PD02NOV17	6338.50	6770.10	USD	10,790.00	
02AUG17	B	1	PD02NOV17	6335.50	6770.10	USD	10,865.00	
02AUG17	S	1	PD02NOV17	6338.00	6770.10	USD	-10,802.50	
02AUG17	B	3	PD02NOV17	6361.00	6770.10	USD	30,682.50	
02AUG17	B	1	PD02NOV17	6363.50	6770.10	USD	10,165.00	
02AUG17	B	1	PD02NOV17	6354.50	6770.10	USD	10,390.00	
10AUG17	S	5	PD02NOV17	6419.51	6770.10	USD	-43,823.75	
23AUG17	S	5	PD02NOV17	6560.00	6770.10	USD	-26,262.50	
	NET	0AVG	BGHT 6339.07	SOLD 6396.46			31,563.75	0.00
03AUG17	S	1	PD03NOV17	6303.50	6771.03	USD	-11,688.25	
03AUG17	S	1	PD03NOV17	6305.00	6771.03	USD	-11,650.75	
03AUG17	S	2	PD03NOV17	6307.50	6771.03	USD	-23,176.50	
03AUG17	S	5	PD03NOV17	6312.00	6771.03	USD	-57,378.75	
03AUG17	S	3	PD03NOV17	6318.00	6771.03	USD	-33,977.25	
03AUG17	S	2	PD03NOV17	6319.00	6771.03	USD	-22,601.50	
03AUG17	S	1	PD03NOV17	6344.00	6771.03	USD	-10,675.75	
03AUG17	S	3	PD03NOV17	6348.00	6771.03	USD	-31,727.25	
03AUG17	S	1	PD03NOV17	6350.00	6771.03	USD	-10,525.75	
03AUG17	S	1	PD03NOV17	6324.50	6771.03	USD	-11,163.25	
03AUG17	S	3	PD03NOV17	6322.00	6771.03	USD	-33,677.25	
03AUG17	S	1	PD03NOV17	6324.00	6771.03	USD	-11,175.75	
03AUG17	B	1	PD03NOV17	6310.00	6771.03	USD	11,525.75	
03AUG17	B	1	PD03NOV17	6311.00	6771.03	USD	11,500.75	
03AUG17	B	1	PD03NOV17	6317.50	6771.03	USD	11,338.25	
03AUG17	B	2	PD03NOV17	6318.00	6771.03	USD	22,651.50	
03AUG17	S	1	PD03NOV17	6304.00	6771.03	USD	-11,675.75	
04AUG17	B	3	PD03NOV17	6357.50	6771.03	USD	31,014.75	
04AUG17	B	1	PD03NOV17	6358.50	6771.03	USD	10,313.25	
04AUG17	B	1	PD03NOV17	6359.00	6771.03	USD	10,300.75	
04AUG17	S	2	PD03NOV17	6362.00	6771.03	USD	-20,451.50	
04AUG17	S	3	PD03NOV17	6363.00	6771.03	USD	-30,602.25	
04AUG17	S	4	PD03NOV17	6355.00	6771.03	USD	-41,603.00	
04AUG17	S	1	PD03NOV17	6355.50	6771.03	USD	-10,388.25	
04AUG17	B	2	PD03NOV17	6359.50	6771.03	USD	20,576.50	
04AUG17	B	1	PD03NOV17	6361.00	6771.03	USD	10,250.75	
04AUG17	S	2	PD03NOV17	6333.50	6771.03	USD	-21,876.50	
04AUG17	S	3	PD03NOV17	6334.50	6771.03	USD	-32,739.75	
04AUG17	B	2	PD03NOV17	6362.50	6771.03	USD	20,426.50	
04AUG17	B	1	PD03NOV17	6363.50	6771.03	USD	10,188.25	
04AUG17	B	2	PD03NOV17	6365.00	6771.03	USD	20,301.50	
04AUG17	B	1	PD03NOV17	6367.50	6771.03	USD	10,088.25	
04AUG17	B	2	PD03NOV17	6376.00	6771.03	USD	19,751.50	
04AUG17	B	1	PD03NOV17	6381.50	6771.03	USD	9,738.25	
04AUG17	B	1	PD03NOV17	6382.00	6771.03	USD	9,725.75	
04AUG17	B	2	PD03NOV17	6364.50	6771.03	USD	20,326.50	
10AUG17	B	15	PD03NOV17	6420.33	6771.03	USD	131,512.50	
	NET	0AVG	BGHT 6379.50	SOLD 6332.28			-47,223.75	0.00
07AUG17	S	1	PD07NOV17	6339.50	6774.73	USD	-10,880.75	
07AUG17	S	1	PD07NOV17	6336.50	6774.73	USD	-10,955.75	
07AUG17	S	1	PD07NOV17	6337.50	6774.73	USD	-10,930.75	
07AUG17	S	1	PD07NOV17	6347.00	6774.73	USD	-10,693.25	
07AUG17	S	1	PD07NOV17	6349.50	6774.73	USD	-10,630.75	
07AUG17	S	3	PD07NOV17	6341.00	6774.73	USD	-32,529.75	
07AUG17	S	3	PD07NOV17	6344.00	6774.73	USD	-32,304.75	
07AUG17	S	1	PD07NOV17	6344.50	6774.73	USD	-10,755.75	
07AUG17	S	1	PD07NOV17	6346.50	6774.73	USD	-10,705.75	
07AUG17	S	1	PD07NOV17	6347.50	6774.73	USD	-10,680.75	

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07AUG17	B	1	PD07NOV17	6366.00	6774.73	USD	10,218.25	
07AUG17	S	1	PD07NOV17	6341.50	6774.73	USD	-10,830.75	
07AUG17	B	3	PD07NOV17	6365.00	6774.73	USD	30,729.75	
07AUG17	B	1	PD07NOV17	6365.50	6774.73	USD	10,230.75	
07AUG17	B	1	PD07NOV17	6366.50	6774.73	USD	10,205.75	
07AUG17	B	3	PD07NOV17	6367.00	6774.73	USD	30,579.75	
07AUG17	B	3	PD07NOV17	6367.50	6774.73	USD	30,542.25	
07AUG17	B	3	PD07NOV17	6368.00	6774.73	USD	30,504.75	
07AUG17	B	1	PD07NOV17	6418.50	6774.73	USD	8,905.75	
07AUG17	B	2	PD07NOV17	6419.00	6774.73	USD	17,786.50	
07AUG17	B	1	PD07NOV17	6419.50	6774.73	USD	8,880.75	
07AUG17	B	1	PD07NOV17	6424.50	6774.73	USD	8,755.75	
07AUG17	B	2	PD07NOV17	6420.50	6774.73	USD	17,711.50	
07AUG17	B	1	PD07NOV17	6421.50	6774.73	USD	8,830.75	
07AUG17	B	1	PD07NOV17	6422.50	6774.73	USD	8,805.75	
07AUG17	B	1	PD07NOV17	6421.00	6774.73	USD	8,843.25	
07AUG17	S	2	PD07NOV17	6411.50	6774.73	USD	-18,161.50	
07AUG17	S	1	PD07NOV17	6412.00	6774.73	USD	-9,068.25	
07AUG17	B	1	PD07NOV17	6408.50	6774.73	USD	9,155.75	
07AUG17	S	2	PD07NOV17	6412.50	6774.73	USD	-18,111.50	
07AUG17	S	6	PD07NOV17	6413.00	6774.73	USD	-54,259.50	
	NET	0AVG BGHT 6389.06	SOLD 6372.42				-10,812.50	0.00
08AUG17	B	1	PD08NOV17	6415.50	6775.65	USD	9,003.75	
08AUG17	B	4	PD08NOV17	6418.50	6775.65	USD	35,715.00	
08AUG17	S	5	PD08NOV17	6395.00	6775.65	USD	-47,581.25	
08AUG17	B	1	PD08NOV17	6400.50	6775.65	USD	9,378.75	
08AUG17	B	1	PD08NOV17	6401.50	6775.65	USD	9,353.75	
08AUG17	B	2	PD08NOV17	6402.50	6775.65	USD	18,657.50	
08AUG17	B	1	PD08NOV17	6398.00	6775.65	USD	9,441.25	
08AUG17	B	1	PD08NOV17	6437.00	6775.65	USD	8,466.25	
08AUG17	B	5	PD08NOV17	6444.00	6775.65	USD	41,456.25	
08AUG17	B	1	PD08NOV17	6456.00	6775.65	USD	7,991.25	
08AUG17	B	2	PD08NOV17	6458.00	6775.65	USD	15,882.50	
08AUG17	B	1	PD08NOV17	6462.00	6775.65	USD	7,841.25	
08AUG17	B	1	PD08NOV17	6473.00	6775.65	USD	7,566.25	
08AUG17	B	4	PD08NOV17	6475.00	6775.65	USD	30,065.00	
23AUG17	S	20	PD08NOV17	6563.00	6775.65	USD	-106,325.00	
	NET	0AVG BGHT 6438.34	SOLD 6529.40				56,912.50	0.00
09AUG17	S	1	PD09NOV17	6477.00	6776.58	USD	-7,489.50	
09AUG17	S	3	PD09NOV17	6479.00	6776.58	USD	-22,318.50	
09AUG17	S	1	PD09NOV17	6482.50	6776.58	USD	-7,352.00	
09AUG17	B	1	PD09NOV17	6499.00	6776.58	USD	6,939.50	
09AUG17	B	2	PD09NOV17	6494.00	6776.58	USD	14,129.00	
09AUG17	B	2	PD09NOV17	6494.50	6776.58	USD	14,104.00	
09AUG17	S	1	PD09NOV17	6483.50	6776.58	USD	-7,327.00	
09AUG17	S	3	PD09NOV17	6484.00	6776.58	USD	-21,943.50	
09AUG17	S	1	PD09NOV17	6481.50	6776.58	USD	-7,377.00	
09AUG17	S	4	PD09NOV17	6489.00	6776.58	USD	-28,758.00	
09AUG17	S	1	PD09NOV17	6490.00	6776.58	USD	-7,164.50	
10AUG17	B	10	PD09NOV17	6422.79	6776.58	USD	88,447.50	
	NET	0AVG BGHT 6446.93	SOLD 6483.97				13,890.00	0.00
10AUG17	B	1	PD10NOV17	6457.50	6777.50	USD	8,000.00	
10AUG17	B	1	PD10NOV17	6459.50	6777.50	USD	7,950.00	
10AUG17	B	1	PD10NOV17	6461.00	6777.50	USD	7,912.50	
10AUG17	B	2	PD10NOV17	6463.50	6777.50	USD	15,700.00	
10AUG17	B	2	PD10NOV17	6471.00	6777.50	USD	15,325.00	

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10AUG17	B	1	PD10NOV17	6471.50	6777.50	USD	7,650.00	
10AUG17	B	1	PD10NOV17	6476.50	6777.50	USD	7,525.00	
10AUG17	B	1	PD10NOV17	6478.00	6777.50	USD	7,487.50	
10AUG17	S	1	PD10NOV17	6447.00	6777.50	USD	-8,262.50	
10AUG17	B	1	PD10NOV17	6456.50	6777.50	USD	8,025.00	
10AUG17	S	1	PD10NOV17	6409.50	6777.50	USD	-9,200.00	
10AUG17	S	2	PD10NOV17	6410.00	6777.50	USD	-18,375.00	
10AUG17	S	2	PD10NOV17	6410.50	6777.50	USD	-18,350.00	
10AUG17	B	1	PD10NOV17	6420.50	6777.50	USD	8,925.00	
10AUG17	B	1	PD10NOV17	6421.00	6777.50	USD	8,912.50	
10AUG17	B	1	PD10NOV17	6418.00	6777.50	USD	8,987.50	
10AUG17	B	1	PD10NOV17	6423.50	6777.50	USD	8,850.00	
10AUG17	B	2	PD10NOV17	6424.50	6777.50	USD	17,650.00	
10AUG17	S	1	PD10NOV17	6422.00	6777.50	USD	-8,887.50	
11AUG17	S	1	PD10NOV17	6355.50	6777.50	USD	-10,550.00	
11AUG17	S	1	PD10NOV17	6363.00	6777.50	USD	-10,362.50	
11AUG17	S	2	PD10NOV17	6363.50	6777.50	USD	-20,700.00	
11AUG17	S	1	PD10NOV17	6364.00	6777.50	USD	-10,337.50	
11AUG17	S	1	PD10NOV17	6351.00	6777.50	USD	-10,662.50	
11AUG17	S	1	PD10NOV17	6357.50	6777.50	USD	-10,500.00	
11AUG17	S	1	PD10NOV17	6360.00	6777.50	USD	-10,437.50	
11AUG17	S	1	PD10NOV17	6366.50	6777.50	USD	-10,275.00	
11AUG17	S	1	PD10NOV17	6368.50	6777.50	USD	-10,225.00	
11AUG17	S	3	PD10NOV17	6420.00	6777.50	USD	-26,812.50	
11AUG17	S	1	PD10NOV17	6420.50	6777.50	USD	-8,925.00	
11AUG17	S	1	PD10NOV17	6421.50	6777.50	USD	-8,900.00	
23AUG17	B	5	PD10NOV17	6564.20	6777.50	USD	26,662.50	
	NET	0 AVG BGHT 6476.48	SOLD 6392.48				-46,200.00	0.00
14AUG17	S	4	PD14NOV17	6415.00	6776.83	USD	-36,183.00	
14AUG17	S	2	PD14NOV17	6426.50	6776.83	USD	-17,516.50	
14AUG17	S	1	PD14NOV17	6429.00	6776.83	USD	-8,695.75	
14AUG17	S	1	PD14NOV17	6438.50	6776.83	USD	-8,458.25	
14AUG17	S	2	PD14NOV17	6442.00	6776.83	USD	-16,741.50	
14AUG17	S	1	PD14NOV17	6402.00	6776.83	USD	-9,370.75	
14AUG17	B	4	PD14NOV17	6382.00	6776.83	USD	39,483.00	
14AUG17	B	1	PD14NOV17	6380.00	6776.83	USD	9,920.75	
14AUG17	S	1	PD14NOV17	6440.50	6776.83	USD	-8,408.25	
14AUG17	S	3	PD14NOV17	6441.50	6776.83	USD	-25,149.75	
14AUG17	B	1	PD14NOV17	6396.50	6776.83	USD	9,508.25	
14AUG17	B	1	PD14NOV17	6398.50	6776.83	USD	9,458.25	
14AUG17	B	1	PD14NOV17	6401.50	6776.83	USD	9,383.25	
14AUG17	B	2	PD14NOV17	6402.00	6776.83	USD	18,741.50	
14AUG17	B	2	PD14NOV17	6406.00	6776.83	USD	18,541.50	
14AUG17	B	1	PD14NOV17	6406.50	6776.83	USD	9,258.25	
14AUG17	B	1	PD14NOV17	6409.00	6776.83	USD	9,195.75	
14AUG17	B	1	PD14NOV17	6408.50	6776.83	USD	9,208.25	
14AUG17	B	2	PD14NOV17	6408.00	6776.83	USD	18,441.50	
14AUG17	B	3	PD14NOV17	6407.50	6776.83	USD	27,699.75	
23AUG17	S	5	PD14NOV17	6565.50	6776.83	USD	-26,416.25	
	NET	0 AVG BGHT 6399.15	SOLD 6462.95				31,900.00	0.00
15AUG17	S	1	PD15NOV17	6368.00	6776.50	USD	-10,212.50	
15AUG17	S	1	PD15NOV17	6369.00	6776.50	USD	-10,187.50	
15AUG17	S	1	PD15NOV17	6366.00	6776.50	USD	-10,262.50	
15AUG17	S	3	PD15NOV17	6367.50	6776.50	USD	-30,675.00	
15AUG17	S	1	PD15NOV17	6368.50	6776.50	USD	-10,200.00	
15AUG17	S	2	PD15NOV17	6371.00	6776.50	USD	-20,275.00	
15AUG17	S	3	PD15NOV17	6371.50	6776.50	USD	-30,375.00	

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15AUG17	S	1	PD15NOV17	6379.50	6776.50	USD	-9,925.00	
15AUG17	B	1	PD15NOV17	6373.00	6776.50	USD	10,087.50	
15AUG17	B	1	PD15NOV17	6375.50	6776.50	USD	10,025.00	
15AUG17	S	3	PD15NOV17	6367.00	6776.50	USD	-30,712.50	
15AUG17	S	1	PD15NOV17	6364.50	6776.50	USD	-10,300.00	
23AUG17	B	15	PD15NOV17	6565.70	6776.50	USD	79,050.00	
	NET	0 AVG	BGHT 6543.18	SOLD 6369.15			-73,962.50	0.00
16AUG17	S	1	PD16NOV17	6362.00	6776.25	USD	-10,356.25	
16AUG17	S	1	PD16NOV17	6364.50	6776.25	USD	-10,293.75	
16AUG17	S	2	PD16NOV17	6365.00	6776.25	USD	-20,562.50	
16AUG17	S	1	PD16NOV17	6376.50	6776.25	USD	-9,993.75	
16AUG17	S	1	PD16NOV17	6378.00	6776.25	USD	-9,956.25	
16AUG17	S	1	PD16NOV17	6379.50	6776.25	USD	-9,918.75	
16AUG17	S	1	PD16NOV17	6385.00	6776.25	USD	-9,781.25	
16AUG17	B	2	PD16NOV17	6377.50	6776.25	USD	19,937.50	
16AUG17	B	1	PD16NOV17	6379.00	6776.25	USD	9,931.25	
16AUG17	B	1	PD16NOV17	6383.50	6776.25	USD	9,818.75	
16AUG17	S	1	PD16NOV17	6382.50	6776.25	USD	-9,843.75	
16AUG17	B	1	PD16NOV17	6390.50	6776.25	USD	9,643.75	
16AUG17	B	1	PD16NOV17	6432.50	6776.25	USD	8,593.75	
16AUG17	B	1	PD16NOV17	6433.00	6776.25	USD	8,581.25	
16AUG17	B	1	PD16NOV17	6434.00	6776.25	USD	8,556.25	
16AUG17	B	5	PD16NOV17	6434.50	6776.25	USD	42,718.75	
16AUG17	B	2	PD16NOV17	6435.00	6776.25	USD	17,062.50	
16AUG17	B	4	PD16NOV17	6436.00	6776.25	USD	34,025.00	
16AUG17	B	1	PD16NOV17	6436.50	6776.25	USD	8,493.75	
16AUG17	S	1	PD16NOV17	6363.50	6776.25	USD	-10,318.75	
16AUG17	B	2	PD16NOV17	6489.50	6776.25	USD	14,337.50	
16AUG17	B	2	PD16NOV17	6490.00	6776.25	USD	14,312.50	
16AUG17	B	1	PD16NOV17	6491.50	6776.25	USD	7,118.75	
16AUG17	B	1	PD16NOV17	6514.50	6776.25	USD	6,543.75	
16AUG17	B	1	PD16NOV17	6515.00	6776.25	USD	6,531.25	
16AUG17	B	1	PD16NOV17	6515.50	6776.25	USD	6,518.75	
16AUG17	B	1	PD16NOV17	6516.00	6776.25	USD	6,506.25	
16AUG17	B	1	PD16NOV17	6517.50	6776.25	USD	6,468.75	
16AUG17	B	1	PD16NOV17	6539.00	6776.25	USD	5,931.25	
16AUG17	B	1	PD16NOV17	6542.00	6776.25	USD	5,856.25	
16AUG17	B	1	PD16NOV17	6543.50	6776.25	USD	5,818.75	
16AUG17	B	1	PD16NOV17	6532.50	6776.25	USD	6,093.75	
16AUG17	B	1	PD16NOV17	6537.50	6776.25	USD	5,968.75	
16AUG17	B	3	PD16NOV17	6538.50	6776.25	USD	17,831.25	
16AUG17	B	1	PD16NOV17	6517.00	6776.25	USD	6,481.25	
16AUG17	B	1	PD16NOV17	6523.50	6776.25	USD	6,318.75	
23AUG17	S	15	PD16NOV17	6563.50	6776.25	USD	-79,781.25	
	NET	+15 AVG	BGHT 6470.25	SOLD 6486.96			125,193.75	0.00
17AUG17	S	1	PD17NOV17	6474.50	6776.78	USD	-7,557.00	
17AUG17	S	1	PD17NOV17	6483.00	6776.78	USD	-7,344.50	
17AUG17	S	1	PD17NOV17	6478.00	6776.78	USD	-7,469.50	
17AUG17	S	1	PD17NOV17	6480.50	6776.78	USD	-7,407.00	
17AUG17	S	1	PD17NOV17	6484.50	6776.78	USD	-7,307.00	
18AUG17	S	1	PD17NOV17	6446.50	6776.78	USD	-8,257.00	
18AUG17	S	2	PD17NOV17	6449.00	6776.78	USD	-16,389.00	
18AUG17	S	3	PD17NOV17	6450.00	6776.78	USD	-24,508.50	
18AUG17	S	2	PD17NOV17	6452.00	6776.78	USD	-16,239.00	
18AUG17	S	1	PD17NOV17	6454.50	6776.78	USD	-8,057.00	
18AUG17	S	1	PD17NOV17	6455.00	6776.78	USD	-8,044.50	
18AUG17	S	1	PD17NOV17	6456.50	6776.78	USD	-8,007.00	

OPEN POSITION STATEMENT



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SAP0025U

11th October 2017

Sapien Capital Limited
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	1	PD17NOV17	6458.50	6776.78	USD	-7,957.00	
18AUG17	S	3	PD17NOV17	6459.00	6776.78	USD	-23,833.50	
18AUG17	S	2	PD17NOV17	6499.50	6776.78	USD	-13,864.00	
18AUG17	S	1	PD17NOV17	6500.50	6776.78	USD	-6,907.00	
18AUG17	S	2	PD17NOV17	6501.50	6776.78	USD	-13,764.00	
18AUG17	S	2	PD17NOV17	6505.00	6776.78	USD	-13,589.00	
18AUG17	S	2	PD17NOV17	6505.50	6776.78	USD	-13,564.00	
18AUG17	S	1	PD17NOV17	6506.00	6776.78	USD	-6,769.50	
18AUG17	S	1	PD17NOV17	6506.50	6776.78	USD	-6,757.00	
18AUG17	S	1	PD17NOV17	6509.00	6776.78	USD	-6,694.50	
18AUG17	S	2	PD17NOV17	6509.50	6776.78	USD	-13,364.00	
18AUG17	S	1	PD17NOV17	6510.00	6776.78	USD	-6,669.50	
18AUG17	B	5	PD17NOV17	6489.50	6776.78	USD	35,910.00	
18AUG17	B	1	PD17NOV17	6499.00	6776.78	USD	6,944.50	
18AUG17	B	1	PD17NOV17	6500.00	6776.78	USD	6,919.50	
18AUG17	B	1	PD17NOV17	6500.50	6776.78	USD	6,907.00	
18AUG17	B	1	PD17NOV17	6502.50	6776.78	USD	6,857.00	
18AUG17	B	1	PD17NOV17	6496.50	6776.78	USD	7,007.00	
18AUG17	B	1	PD17NOV17	6485.50	6776.78	USD	7,282.00	
18AUG17	B	1	PD17NOV17	6487.50	6776.78	USD	7,232.00	
18AUG17	S	1	PD17NOV17	6480.50	6776.78	USD	-7,407.00	
18AUG17	S	1	PD17NOV17	6481.00	6776.78	USD	-7,394.50	
23AUG17	B	25	PD17NOV17	6563.89	6776.78	USD	133,056.25	
	NET	0AVG BGHT 6540.98	SOLD 6479.35				-57,006.25	0.00
21AUG17	S	2	PD21NOV17	6476.00	6778.92	USD	-15,146.00	
21AUG17	S	2	PD21NOV17	6478.00	6778.92	USD	-15,046.00	
21AUG17	S	1	PD21NOV17	6480.00	6778.92	USD	-7,473.00	
21AUG17	B	1	PD21NOV17	6576.50	6778.92	USD	5,060.50	
21AUG17	B	1	PD21NOV17	6583.50	6778.92	USD	4,885.50	
21AUG17	B	1	PD21NOV17	6587.00	6778.92	USD	4,798.00	
21AUG17	B	1	PD21NOV17	6547.50	6778.92	USD	5,785.50	
21AUG17	B	1	PD21NOV17	6545.50	6778.92	USD	5,835.50	
21AUG17	S	1	PD21NOV17	6546.00	6778.92	USD	-5,823.00	
21AUG17	S	1	PD21NOV17	6549.50	6778.92	USD	-5,735.50	
21AUG17	B	2	PD21NOV17	6558.00	6778.92	USD	11,046.00	
21AUG17	B	2	PD21NOV17	6557.50	6778.92	USD	11,071.00	
21AUG17	B	1	PD21NOV17	6558.50	6778.92	USD	5,510.50	
21AUG17	B	1	PD21NOV17	6562.50	6778.92	USD	5,410.50	
21AUG17	B	1	PD21NOV17	6621.00	6778.92	USD	3,948.00	
21AUG17	B	4	PD21NOV17	6621.50	6778.92	USD	15,742.00	
21AUG17	B	2	PD21NOV17	6586.00	6778.92	USD	9,646.00	
21AUG17	B	1	PD21NOV17	6568.00	6778.92	USD	5,273.00	
21AUG17	B	1	PD21NOV17	6576.00	6778.92	USD	5,073.00	
21AUG17	B	2	PD21NOV17	6577.00	6778.92	USD	10,096.00	
	NET	+15 AVG BGHT 6580.41	SOLD 6497.64				59,957.50	0.00
22AUG17	B	1	PD22NOV17	6552.00	6779.45	USD	5,686.25	
22AUG17	S	1	PD22NOV17	6568.00	6779.45	USD	-5,286.25	
22AUG17	B	2	PD22NOV17	6576.00	6779.45	USD	10,172.50	
22AUG17	B	1	PD22NOV17	6578.00	6779.45	USD	5,036.25	
22AUG17	B	1	PD22NOV17	6578.50	6779.45	USD	5,023.75	
22AUG17	B	1	PD22NOV17	6587.00	6779.45	USD	4,811.25	
22AUG17	B	1	PD22NOV17	6612.00	6779.45	USD	4,186.25	
22AUG17	B	2	PD22NOV17	6619.00	6779.45	USD	8,022.50	
22AUG17	B	1	PD22NOV17	6617.50	6779.45	USD	4,048.75	
22AUG17	B	1	PD22NOV17	6620.00	6779.45	USD	3,986.25	
22AUG17	B	1	PD22NOV17	6589.50	6779.45	USD	4,748.75	
22AUG17	B	2	PD22NOV17	6580.00	6779.45	USD	9,972.50	

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22AUG17	B	1	PD22NOV17	6582.50	6779.45	USD	4,923.75	
22AUG17	B	1	PD22NOV17	6584.50	6779.45	USD	4,873.75	
22AUG17	B	1	PD22NOV17	6574.00	6779.45	USD	5,136.25	
22AUG17	B	1	PD22NOV17	6577.00	6779.45	USD	5,061.25	
22AUG17	B	1	PD22NOV17	6581.50	6779.45	USD	4,948.75	
22AUG17	B	1	PD22NOV17	6582.00	6779.45	USD	4,936.25	
22AUG17	B	1	PD22NOV17	6583.00	6779.45	USD	4,911.25	
	NET	+20 AVG BGHT 6588.05	SOLD 6568.00				95,200.00	0.00
23AUG17	S	1	PD24NOV17	6575.00	6780.52	USD	-5,138.00	
23AUG17	S	1	PD24NOV17	6573.00	6780.52	USD	-5,188.00	
23AUG17	S	1	PD24NOV17	6569.00	6780.52	USD	-5,288.00	
23AUG17	S	1	PD24NOV17	6570.50	6780.52	USD	-5,250.50	
23AUG17	S	1	PD24NOV17	6573.50	6780.52	USD	-5,175.50	
23AUG17	B	2	PD24NOV17	6568.00	6780.52	USD	10,626.00	
23AUG17	B	1	PD24NOV17	6579.50	6780.52	USD	5,025.50	
23AUG17	B	1	PD24NOV17	6570.50	6780.52	USD	5,250.50	
23AUG17	B	1	PD24NOV17	6575.00	6780.52	USD	5,138.00	
24AUG17	S	1	PD24NOV17	6611.50	6780.52	USD	-4,225.50	
24AUG17	S	1	PD24NOV17	6612.50	6780.52	USD	-4,200.50	
24AUG17	S	2	PD24NOV17	6613.50	6780.52	USD	-8,351.00	
24AUG17	S	1	PD24NOV17	6614.00	6780.52	USD	-4,163.00	
24AUG17	S	2	PD24NOV17	6616.50	6780.52	USD	-8,201.00	
24AUG17	B	1	PD24NOV17	6606.50	6780.52	USD	4,350.50	
24AUG17	B	1	PD24NOV17	6607.00	6780.52	USD	4,338.00	
24AUG17	S	1	PD24NOV17	6625.50	6780.52	USD	-3,875.50	
24AUG17	S	1	PD24NOV17	6626.50	6780.52	USD	-3,850.50	
24AUG17	S	1	PD24NOV17	6627.50	6780.52	USD	-3,825.50	
24AUG17	S	1	PD24NOV17	6628.00	6780.52	USD	-3,813.00	
24AUG17	S	1	PD24NOV17	6629.00	6780.52	USD	-3,788.00	
25AUG17	B	1	PD24NOV17	6714.00	6780.52	USD	1,663.00	
25AUG17	B	2	PD24NOV17	6714.50	6780.52	USD	3,301.00	
25AUG17	B	1	PD24NOV17	6716.00	6780.52	USD	1,613.00	
25AUG17	B	1	PD24NOV17	6724.00	6780.52	USD	1,413.00	
25AUG17	S	5	PD24NOV17	6712.00	6780.52	USD	-8,565.00	
25AUG17	S	1	PD24NOV17	6671.00	6780.52	USD	-2,738.00	
25AUG17	S	1	PD24NOV17	6671.50	6780.52	USD	-2,725.50	
25AUG17	S	1	PD24NOV17	6679.00	6780.52	USD	-2,538.00	
25AUG17	S	1	PD24NOV17	6680.50	6780.52	USD	-2,500.50	
25AUG17	B	2	PD24NOV17	6682.00	6780.52	USD	4,926.00	
25AUG17	B	2	PD24NOV17	6687.00	6780.52	USD	4,676.00	
	NET	-10 AVG BGHT 6649.72	SOLD 6636.83				-41,080.00	0.00
29AUG17	S	2	PD29NOV17	6791.00	6783.18	USD	391.00	
29AUG17	S	2	PD29NOV17	6795.00	6783.18	USD	591.00	
29AUG17	S	1	PD29NOV17	6782.00	6783.18	USD	-29.50	
29AUG17	S	1	PD29NOV17	6792.00	6783.18	USD	220.50	
29AUG17	S	1	PD29NOV17	6794.00	6783.18	USD	270.50	
29AUG17	S	1	PD29NOV17	6781.50	6783.18	USD	-42.00	
29AUG17	S	3	PD29NOV17	6788.00	6783.18	USD	361.50	
29AUG17	S	1	PD29NOV17	6793.00	6783.18	USD	245.50	
29AUG17	S	3	PD29NOV17	6784.50	6783.18	USD	99.00	
29AUG17	S	4	PD29NOV17	6786.00	6783.18	USD	282.00	
29AUG17	S	2	PD29NOV17	6807.50	6783.18	USD	1,216.00	
29AUG17	S	2	PD29NOV17	6808.00	6783.18	USD	1,241.00	
29AUG17	S	1	PD29NOV17	6812.00	6783.18	USD	720.50	
29AUG17	S	1	PD29NOV17	6813.50	6783.18	USD	758.00	
29AUG17	B	1	PD29NOV17	6816.50	6783.18	USD	-833.00	
29AUG17	B	4	PD29NOV17	6817.00	6783.18	USD	-3,382.00	

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29AUG17	B	2	PD29NOV17	6817.50	6783.18	USD	-1,716.00	
29AUG17	B	1	PD29NOV17	6822.00	6783.18	USD	-970.50	
29AUG17	B	1	PD29NOV17	6804.00	6783.18	USD	-520.50	
29AUG17	B	2	PD29NOV17	6804.50	6783.18	USD	-1,066.00	
29AUG17	B	1	PD29NOV17	6808.00	6783.18	USD	-620.50	
29AUG17	B	2	PD29NOV17	6802.00	6783.18	USD	-941.00	
29AUG17	B	1	PD29NOV17	6803.00	6783.18	USD	-495.50	
29AUG17	B	1	PD29NOV17	6811.50	6783.18	USD	-708.00	
29AUG17	B	1	PD29NOV17	6806.00	6783.18	USD	-570.50	
29AUG17	B	1	PD29NOV17	6807.50	6783.18	USD	-608.00	
29AUG17	B	1	PD29NOV17	6813.00	6783.18	USD	-745.50	
29AUG17	B	2	PD29NOV17	6825.00	6783.18	USD	-2,091.00	
29AUG17	B	1	PD29NOV17	6788.50	6783.18	USD	-133.00	
29AUG17	B	1	PD29NOV17	6794.00	6783.18	USD	-270.50	
29AUG17	S	1	PD29NOV17	6803.00	6783.18	USD	495.50	
29AUG17	S	2	PD29NOV17	6803.50	6783.18	USD	1,016.00	
29AUG17	S	1	PD29NOV17	6804.00	6783.18	USD	520.50	
29AUG17	B	3	PD29NOV17	6803.50	6783.18	USD	-1,524.00	
29AUG17	S	1	PD29NOV17	6806.00	6783.18	USD	570.50	
29AUG17	B	3	PD29NOV17	6793.00	6783.18	USD	-736.50	
29AUG17	S	4	PD29NOV17	6804.50	6783.18	USD	2,132.00	
	NET	-5 AVG BGHT 6807.91	SOLD 6796.19				-6,872.50	0.00
30AUG17	B	5	PD30NOV17	6790.00	6783.72	USD	-785.00	
30AUG17	B	1	PD30NOV17	6776.00	6783.72	USD	193.00	
30AUG17	S	1	PD30NOV17	6787.50	6783.72	USD	94.50	
30AUG17	B	1	PD30NOV17	6813.50	6783.72	USD	-744.50	
30AUG17	B	2	PD30NOV17	6814.00	6783.72	USD	-1,514.00	
30AUG17	B	1	PD30NOV17	6814.50	6783.72	USD	-769.50	
30AUG17	B	1	PD30NOV17	6816.00	6783.72	USD	-807.00	
30AUG17	S	5	PD30NOV17	6786.00	6783.72	USD	285.00	
30AUG17	S	4	PD30NOV17	6787.00	6783.72	USD	328.00	
30AUG17	S	1	PD30NOV17	6789.50	6783.72	USD	144.50	
30AUG17	S	1	PD30NOV17	6790.00	6783.72	USD	157.00	
30AUG17	S	4	PD30NOV17	6790.50	6783.72	USD	678.00	
30AUG17	B	1	PD30NOV17	6773.00	6783.72	USD	268.00	
30AUG17	B	1	PD30NOV17	6774.50	6783.72	USD	230.50	
30AUG17	B	3	PD30NOV17	6775.50	6783.72	USD	616.50	
31AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
31AUG17	S	1	PD30NOV17	6784.00	6783.72	USD	7.00	
31AUG17	S	3	PD30NOV17	6785.00	6783.72	USD	96.00	
31AUG17	S	1	PD30NOV17	6785.50	6783.72	USD	44.50	
31AUG17	S	2	PD30NOV17	6805.50	6783.72	USD	1,089.00	
31AUG17	S	1	PD30NOV17	6806.50	6783.72	USD	569.50	
31AUG17	B	1	PD30NOV17	6803.50	6783.72	USD	-494.50	
31AUG17	S	4	PD30NOV17	6804.50	6783.72	USD	2,078.00	
31AUG17	B	1	PD30NOV17	6807.00	6783.72	USD	-582.00	
31AUG17	B	1	PD30NOV17	6808.00	6783.72	USD	-607.00	
31AUG17	B	3	PD30NOV17	6809.00	6783.72	USD	-1,896.00	
31AUG17	B	1	PD30NOV17	6836.00	6783.72	USD	-1,307.00	
31AUG17	B	1	PD30NOV17	6836.50	6783.72	USD	-1,319.50	
31AUG17	B	1	PD30NOV17	6825.50	6783.72	USD	-1,044.50	
31AUG17	B	1	PD30NOV17	6827.00	6783.72	USD	-1,082.00	
31AUG17	B	1	PD30NOV17	6827.50	6783.72	USD	-1,094.50	
31AUG17	B	1	PD30NOV17	6832.50	6783.72	USD	-1,219.50	
31AUG17	B	1	PD30NOV17	6833.50	6783.72	USD	-1,244.50	
31AUG17	B	2	PD30NOV17	6834.50	6783.72	USD	-2,539.00	
31AUG17	B	3	PD30NOV17	6835.00	6783.72	USD	-3,846.00	

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31AUG17	B	3	PD30NOV17	6835.50	6783.72	USD	-3,883.50	
31AUG17	B	1	PD30NOV17	6826.50	6783.72	USD	-1,069.50	
31AUG17	B	1	PD30NOV17	6840.00	6783.72	USD	-1,407.00	
31AUG17	B	1	PD30NOV17	6842.00	6783.72	USD	-1,457.00	
31AUG17	S	2	PD30NOV17	6832.50	6783.72	USD	2,439.00	
31AUG17	S	1	PD30NOV17	6825.50	6783.72	USD	1,044.50	
31AUG17	B	1	PD30NOV17	6820.00	6783.72	USD	-907.00	
31AUG17	S	1	PD30NOV17	6824.00	6783.72	USD	1,007.00	
31AUG17	S	1	PD30NOV17	6783.00	6783.72	USD	-18.00	
31AUG17	S	1	PD30NOV17	6786.00	6783.72	USD	57.00	
31AUG17	S	2	PD30NOV17	6787.50	6783.72	USD	189.00	
31AUG17	S	1	PD30NOV17	6792.00	6783.72	USD	207.00	
31AUG17	S	1	PD30NOV17	6795.50	6783.72	USD	294.50	
31AUG17	B	1	PD30NOV17	6800.00	6783.72	USD	-407.00	
31AUG17	B	5	PD30NOV17	6801.00	6783.72	USD	-2,160.00	
	NET	+10 AVG BGHT 6811.56	SOLD 6795.08				-22,620.00	0.00
01SEP17	B	1	PD01DEC17	6812.50	6784.25	USD	-706.25	
01SEP17	B	2	PD01DEC17	6815.00	6784.25	USD	-1,537.50	
01SEP17	B	1	PD01DEC17	6815.50	6784.25	USD	-781.25	
01SEP17	B	1	PD01DEC17	6816.00	6784.25	USD	-793.75	
01SEP17	S	1	PD01DEC17	6813.00	6784.25	USD	718.75	
01SEP17	S	1	PD01DEC17	6815.50	6784.25	USD	781.25	
01SEP17	S	1	PD01DEC17	6817.00	6784.25	USD	818.75	
01SEP17	S	1	PD01DEC17	6818.50	6784.25	USD	856.25	
01SEP17	S	1	PD01DEC17	6819.00	6784.25	USD	868.75	
01SEP17	B	1	PD01DEC17	6828.50	6784.25	USD	-1,106.25	
01SEP17	S	1	PD01DEC17	6840.00	6784.25	USD	1,393.75	
01SEP17	S	1	PD01DEC17	6831.50	6784.25	USD	1,181.25	
01SEP17	S	1	PD01DEC17	6834.50	6784.25	USD	1,256.25	
01SEP17	S	1	PD01DEC17	6835.00	6784.25	USD	1,268.75	
01SEP17	S	3	PD01DEC17	6830.50	6784.25	USD	3,468.75	
01SEP17	B	2	PD01DEC17	6836.50	6784.25	USD	-2,612.50	
01SEP17	S	1	PD01DEC17	6833.00	6784.25	USD	1,218.75	
	NET	-5 AVG BGHT 6821.94	SOLD 6826.81				6,293.75	0.00
04SEP17	S	4	PD04DEC17	6883.50	6785.75	USD	9,775.00	
04SEP17	S	1	PD04DEC17	6889.00	6785.75	USD	2,581.25	
04SEP17	B	1	PD04DEC17	6895.50	6785.75	USD	-2,743.75	
04SEP17	B	1	PD04DEC17	6897.50	6785.75	USD	-2,793.75	
04SEP17	B	2	PD04DEC17	6900.00	6785.75	USD	-5,712.50	
04SEP17	B	1	PD04DEC17	6908.00	6785.75	USD	-3,056.25	
04SEP17	B	1	PD04DEC17	6909.50	6785.75	USD	-3,093.75	
04SEP17	B	1	PD04DEC17	6911.50	6785.75	USD	-3,143.75	
04SEP17	S	1	PD04DEC17	6880.00	6785.75	USD	2,356.25	
04SEP17	S	1	PD04DEC17	6880.50	6785.75	USD	2,368.75	
04SEP17	S	2	PD04DEC17	6882.00	6785.75	USD	4,812.50	
04SEP17	S	1	PD04DEC17	6885.50	6785.75	USD	2,493.75	
04SEP17	S	1	PD04DEC17	6886.00	6785.75	USD	2,506.25	
04SEP17	S	1	PD04DEC17	6887.00	6785.75	USD	2,531.25	
04SEP17	S	1	PD04DEC17	6888.00	6785.75	USD	2,556.25	
04SEP17	S	1	PD04DEC17	6888.50	6785.75	USD	2,568.75	
04SEP17	S	1	PD04DEC17	6889.50	6785.75	USD	2,593.75	
04SEP17	S	1	PD04DEC17	6890.00	6785.75	USD	2,606.25	
04SEP17	S	3	PD04DEC17	6891.00	6785.75	USD	7,893.75	
04SEP17	S	2	PD04DEC17	6891.50	6785.75	USD	5,287.50	
04SEP17	B	3	PD04DEC17	6888.00	6785.75	USD	-7,668.75	
04SEP17	B	2	PD04DEC17	6888.50	6785.75	USD	-5,137.50	
04SEP17	S	2	PD04DEC17	6883.00	6785.75	USD	4,862.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	6890.50	6785.75	USD	-2,618.75	
04SEP17	B	1	PD04DEC17	6894.00	6785.75	USD	-2,706.25	
04SEP17	B	1	PD04DEC17	6894.50	6785.75	USD	-2,718.75	
04SEP17	B	1	PD04DEC17	6905.00	6785.75	USD	-2,981.25	
04SEP17	B	1	PD04DEC17	6909.00	6785.75	USD	-3,081.25	
04SEP17	S	1	PD04DEC17	6905.00	6785.75	USD	2,981.25	
04SEP17	S	2	PD04DEC17	6905.50	6785.75	USD	5,987.50	
04SEP17	S	1	PD04DEC17	6907.50	6785.75	USD	3,043.75	
04SEP17	S	1	PD04DEC17	6908.00	6785.75	USD	3,056.25	
04SEP17	S	1	PD04DEC17	6908.50	6785.75	USD	3,068.75	
04SEP17	S	1	PD04DEC17	6911.00	6785.75	USD	3,131.25	
04SEP17	S	1	PD04DEC17	6914.00	6785.75	USD	3,206.25	
04SEP17	S	1	PD04DEC17	6917.50	6785.75	USD	3,293.75	
04SEP17	B	1	PD04DEC17	6893.00	6785.75	USD	-2,681.25	
04SEP17	S	1	PD04DEC17	6898.00	6785.75	USD	2,806.25	
04SEP17	B	1	PD04DEC17	6899.50	6785.75	USD	-2,843.75	
04SEP17	B	1	PD04DEC17	6901.50	6785.75	USD	-2,893.75	
04SEP17	S	1	PD04DEC17	6906.00	6785.75	USD	3,006.25	
04SEP17	S	2	PD04DEC17	6907.00	6785.75	USD	6,062.50	
04SEP17	B	2	PD04DEC17	6889.50	6785.75	USD	-5,187.50	
04SEP17	B	4	PD04DEC17	6890.00	6785.75	USD	-10,425.00	
04SEP17	B	1	PD04DEC17	6918.50	6785.75	USD	-3,318.75	
04SEP17	B	1	PD04DEC17	6919.50	6785.75	USD	-3,343.75	
04SEP17	B	1	PD04DEC17	6921.00	6785.75	USD	-3,381.25	
04SEP17	B	1	PD04DEC17	6915.00	6785.75	USD	-3,231.25	
04SEP17	B	1	PD04DEC17	6916.00	6785.75	USD	-3,256.25	
	NET	-5 AVG BGHT 6899.32	SOLD 6894.01				9,418.75	0.00
05SEP17	B	3	PD05DEC17	6927.50	6786.25	USD	-10,593.75	
05SEP17	B	1	PD05DEC17	6928.00	6786.25	USD	-3,543.75	
05SEP17	B	3	PD05DEC17	6929.00	6786.25	USD	-10,706.25	
05SEP17	B	1	PD05DEC17	6929.50	6786.25	USD	-3,581.25	
05SEP17	B	2	PD05DEC17	6930.00	6786.25	USD	-7,187.50	
05SEP17	B	1	PD05DEC17	6930.50	6786.25	USD	-3,606.25	
05SEP17	B	1	PD05DEC17	6932.00	6786.25	USD	-3,643.75	
05SEP17	B	1	PD05DEC17	6932.50	6786.25	USD	-3,656.25	
05SEP17	B	3	PD05DEC17	6937.50	6786.25	USD	-11,343.75	
05SEP17	B	1	PD05DEC17	6938.00	6786.25	USD	-3,793.75	
05SEP17	B	1	PD05DEC17	6938.50	6786.25	USD	-3,806.25	
05SEP17	B	2	PD05DEC17	6927.00	6786.25	USD	-7,037.50	
05SEP17	S	3	PD05DEC17	6847.00	6786.25	USD	4,556.25	
05SEP17	S	1	PD05DEC17	6850.50	6786.25	USD	1,606.25	
05SEP17	S	1	PD05DEC17	6852.00	6786.25	USD	1,643.75	
	NET	+15 AVG BGHT 6931.25	SOLD 6848.70				-64,693.75	0.00
06SEP17	S	4	PD06DEC17	6879.50	6786.75	USD	9,275.00	
06SEP17	S	10	PD06DEC17	6885.50	6786.75	USD	24,687.50	
06SEP17	B	1	PD06DEC17	6888.00	6786.75	USD	-2,531.25	
06SEP17	B	3	PD06DEC17	6899.00	6786.75	USD	-8,418.75	
06SEP17	B	1	PD06DEC17	6899.50	6786.75	USD	-2,818.75	
06SEP17	B	1	PD06DEC17	6901.00	6786.75	USD	-2,856.25	
06SEP17	S	1	PD06DEC17	6890.50	6786.75	USD	2,593.75	
06SEP17	S	1	PD06DEC17	6909.50	6786.75	USD	3,068.75	
06SEP17	S	2	PD06DEC17	6911.50	6786.75	USD	6,237.50	
06SEP17	B	1	PD06DEC17	6890.00	6786.75	USD	-2,581.25	
06SEP17	B	1	PD06DEC17	6888.50	6786.75	USD	-2,543.75	
06SEP17	B	1	PD06DEC17	6889.50	6786.75	USD	-2,568.75	
06SEP17	B	1	PD06DEC17	6891.00	6786.75	USD	-2,606.25	
06SEP17	B	1	PD06DEC17	6892.00	6786.75	USD	-2,631.25	

OPEN POSITION STATEMENT



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SAP0025U

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	1	PD06DEC17	6892.50	6786.75	USD	-2,643.75	
06SEP17	B	4	PD06DEC17	6893.00	6786.75	USD	-10,625.00	
06SEP17	B	4	PD06DEC17	6894.00	6786.75	USD	-10,725.00	
06SEP17	B	1	PD06DEC17	6893.50	6786.75	USD	-2,668.75	
06SEP17	S	2	PD06DEC17	6912.50	6786.75	USD	6,287.50	
06SEP17	S	1	PD06DEC17	6880.00	6786.75	USD	2,331.25	
06SEP17	S	1	PD06DEC17	6882.50	6786.75	USD	2,393.75	
06SEP17	S	1	PD06DEC17	6884.00	6786.75	USD	2,431.25	
06SEP17	S	1	PD06DEC17	6886.50	6786.75	USD	2,493.75	
06SEP17	S	1	PD06DEC17	6869.00	6786.75	USD	2,056.25	
06SEP17	S	1	PD06DEC17	6870.00	6786.75	USD	2,081.25	
06SEP17	S	1	PD06DEC17	6870.50	6786.75	USD	2,093.75	
06SEP17	S	1	PD06DEC17	6873.00	6786.75	USD	2,156.25	
06SEP17	S	1	PD06DEC17	6874.00	6786.75	USD	2,181.25	
06SEP17	S	1	PD06DEC17	6875.50	6786.75	USD	2,218.75	
06SEP17	S	1	PD06DEC17	6876.00	6786.75	USD	2,231.25	
06SEP17	S	2	PD06DEC17	6883.00	6786.75	USD	4,812.50	
06SEP17	S	1	PD06DEC17	6887.50	6786.75	USD	2,518.75	
06SEP17	S	1	PD06DEC17	6892.00	6786.75	USD	2,631.25	
06SEP17	S	5	PD06DEC17	6888.00	6786.75	USD	12,656.25	
06SEP17	S	2	PD06DEC17	6889.00	6786.75	USD	5,112.50	
06SEP17	S	1	PD06DEC17	6889.50	6786.75	USD	2,568.75	
06SEP17	S	1	PD06DEC17	6893.50	6786.75	USD	2,668.75	
06SEP17	S	2	PD06DEC17	6890.00	6786.75	USD	5,162.50	
06SEP17	S	2	PD06DEC17	6885.00	6786.75	USD	4,912.50	
06SEP17	S	1	PD06DEC17	6883.50	6786.75	USD	2,418.75	
06SEP17	S	5	PD06DEC17	6886.00	6786.75	USD	12,406.25	
06SEP17	S	1	PD06DEC17	6887.00	6786.75	USD	2,506.25	
06SEP17	S	1	PD06DEC17	6896.00	6786.75	USD	2,731.25	
06SEP17	S	1	PD06DEC17	6896.50	6786.75	USD	2,743.75	
06SEP17	S	1	PD06DEC17	6898.00	6786.75	USD	2,781.25	
06SEP17	B	1	PD06DEC17	6907.50	6786.75	USD	-3,018.75	
06SEP17	S	2	PD06DEC17	6905.00	6786.75	USD	5,912.50	
06SEP17	S	1	PD06DEC17	6905.50	6786.75	USD	2,968.75	
06SEP17	S	1	PD06DEC17	6897.00	6786.75	USD	2,756.25	
	NET	-40 AVG BGHT	6894.45	SOLD	6888.10		97,850.00	0.00
07SEP17	B	3	PD07DEC17	6925.00	6787.23	USD	-10,332.75	
07SEP17	B	4	PD07DEC17	6927.50	6787.23	USD	-14,027.00	
07SEP17	B	1	PD07DEC17	6928.00	6787.23	USD	-3,519.25	
07SEP17	B	2	PD07DEC17	6911.00	6787.23	USD	-6,188.50	
07SEP17	B	1	PD07DEC17	6912.50	6787.23	USD	-3,131.75	
07SEP17	B	1	PD07DEC17	6913.00	6787.23	USD	-3,144.25	
07SEP17	B	1	PD07DEC17	6920.50	6787.23	USD	-3,331.75	
07SEP17	B	3	PD07DEC17	6924.00	6787.23	USD	-10,257.75	
07SEP17	B	2	PD07DEC17	6925.50	6787.23	USD	-6,913.50	
07SEP17	B	1	PD07DEC17	6921.00	6787.23	USD	-3,344.25	
07SEP17	B	4	PD07DEC17	6922.50	6787.23	USD	-13,527.00	
07SEP17	B	2	PD07DEC17	6923.00	6787.23	USD	-6,788.50	
07SEP17	S	1	PD07DEC17	6898.50	6787.23	USD	2,781.75	
07SEP17	S	1	PD07DEC17	6899.50	6787.23	USD	2,806.75	
07SEP17	S	1	PD07DEC17	6900.00	6787.23	USD	2,819.25	
07SEP17	S	1	PD07DEC17	6901.00	6787.23	USD	2,844.25	
07SEP17	S	2	PD07DEC17	6901.50	6787.23	USD	5,713.50	
07SEP17	S	5	PD07DEC17	6902.00	6787.23	USD	14,346.25	
07SEP17	S	1	PD07DEC17	6857.50	6787.23	USD	1,756.75	
07SEP17	S	3	PD07DEC17	6859.00	6787.23	USD	5,382.75	
07SEP17	S	1	PD07DEC17	6859.50	6787.23	USD	1,806.75	

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SAP0025U

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6866.50	6787.23	USD	1,981.75	
07SEP17	S	1	PD07DEC17	6867.50	6787.23	USD	2,006.75	
07SEP17	S	2	PD07DEC17	6870.50	6787.23	USD	4,163.50	
07SEP17	S	1	PD07DEC17	6871.00	6787.23	USD	2,094.25	
07SEP17	S	2	PD07DEC17	6871.50	6787.23	USD	4,213.50	
07SEP17	S	1	PD07DEC17	6874.00	6787.23	USD	2,169.25	
07SEP17	S	3	PD07DEC17	6876.50	6787.23	USD	6,695.25	
07SEP17	B	1	PD07DEC17	6858.00	6787.23	USD	-1,769.25	
07SEP17	B	1	PD07DEC17	6862.50	6787.23	USD	-1,881.75	
07SEP17	B	1	PD07DEC17	6863.00	6787.23	USD	-1,894.25	
07SEP17	B	3	PD07DEC17	6864.50	6787.23	USD	-5,795.25	
07SEP17	B	1	PD07DEC17	6864.00	6787.23	USD	-1,919.25	
07SEP17	B	1	PD07DEC17	6866.00	6787.23	USD	-1,969.25	
07SEP17	B	3	PD07DEC17	6873.00	6787.23	USD	-6,432.75	
07SEP17	B	3	PD07DEC17	6863.50	6787.23	USD	-5,720.25	
07SEP17	S	1	PD07DEC17	6884.50	6787.23	USD	2,431.75	
07SEP17	S	1	PD07DEC17	6887.00	6787.23	USD	2,494.25	
07SEP17	S	1	PD07DEC17	6889.00	6787.23	USD	2,544.25	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
07SEP17	S	2	PD07DEC17	6872.00	6787.23	USD	4,238.50	
07SEP17	S	1	PD07DEC17	6889.50	6787.23	USD	2,556.75	
07SEP17	S	2	PD07DEC17	6890.50	6787.23	USD	5,163.50	
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	5	PD07DEC17	6878.00	6787.23	USD	11,346.25	
07SEP17	S	2	PD07DEC17	6878.50	6787.23	USD	4,563.50	
07SEP17	B	1	PD07DEC17	6894.50	6787.23	USD	-2,681.75	
07SEP17	B	2	PD07DEC17	6897.50	6787.23	USD	-5,513.50	
07SEP17	B	1	PD07DEC17	6896.50	6787.23	USD	-2,731.75	
07SEP17	S	4	PD07DEC17	6898.00	6787.23	USD	11,077.00	
07SEP17	S	2	PD07DEC17	6899.00	6787.23	USD	5,588.50	
07SEP17	S	2	PD07DEC17	6902.50	6787.23	USD	5,763.50	
	NET	-10 AVG BGHT 6901.48	SOLD 6883.75				5,080.00	0.00
08SEP17	B	3	PD08DEC17	6925.50	6787.71	USD	-10,334.25	
08SEP17	B	2	PD08DEC17	6926.50	6787.71	USD	-6,939.50	
08SEP17	B	1	PD08DEC17	6928.00	6787.71	USD	-3,507.25	
08SEP17	S	1	PD08DEC17	6925.50	6787.71	USD	3,444.75	
08SEP17	S	1	PD08DEC17	6821.00	6787.71	USD	832.25	
08SEP17	S	1	PD08DEC17	6821.50	6787.71	USD	844.75	
08SEP17	S	2	PD08DEC17	6822.00	6787.71	USD	1,714.50	
08SEP17	S	1	PD08DEC17	6825.50	6787.71	USD	944.75	
08SEP17	S	2	PD08DEC17	6832.50	6787.71	USD	2,239.50	
08SEP17	S	1	PD08DEC17	6833.00	6787.71	USD	1,132.25	
08SEP17	S	2	PD08DEC17	6835.50	6787.71	USD	2,389.50	
08SEP17	S	2	PD08DEC17	6836.50	6787.71	USD	2,439.50	
08SEP17	S	1	PD08DEC17	6837.50	6787.71	USD	1,244.75	
08SEP17	S	1	PD08DEC17	6839.00	6787.71	USD	1,282.25	
08SEP17	B	1	PD08DEC17	6825.50	6787.71	USD	-944.75	
08SEP17	S	1	PD08DEC17	6823.50	6787.71	USD	894.75	
08SEP17	B	1	PD08DEC17	6783.50	6787.71	USD	105.25	
08SEP17	B	3	PD08DEC17	6784.50	6787.71	USD	240.75	
08SEP17	B	1	PD08DEC17	6787.00	6787.71	USD	17.75	
08SEP17	B	1	PD08DEC17	6788.00	6787.71	USD	-7.25	
08SEP17	B	1	PD08DEC17	6802.00	6787.71	USD	-357.25	
08SEP17	B	1	PD08DEC17	6805.00	6787.71	USD	-432.25	
08SEP17	B	1	PD08DEC17	6805.50	6787.71	USD	-444.75	
08SEP17	B	1	PD08DEC17	6806.00	6787.71	USD	-457.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6837.00	6787.71	USD	1,232.25	
08SEP17	B	2	PD08DEC17	6793.00	6787.71	USD	-264.50	
08SEP17	B	1	PD08DEC17	6799.50	6787.71	USD	-294.75	
08SEP17	B	1	PD08DEC17	6804.00	6787.71	USD	-407.25	
08SEP17	B	1	PD08DEC17	6807.00	6787.71	USD	-482.25	
08SEP17	S	1	PD08DEC17	6738.00	6787.71	USD	-1,242.75	
08SEP17	S	2	PD08DEC17	6739.00	6787.71	USD	-2,435.50	
08SEP17	S	1	PD08DEC17	6740.00	6787.71	USD	-1,192.75	
08SEP17	S	1	PD08DEC17	6742.50	6787.71	USD	-1,130.25	
	NET	0 AVG BGHT 6832.27	SOLD 6814.32				-9,875.00	0.00
11SEP17	B	1	PD11DEC17	6679.00	6789.15	USD	2,753.75	
11SEP17	B	1	PD11DEC17	6689.00	6789.15	USD	2,503.75	
11SEP17	B	1	PD11DEC17	6693.50	6789.15	USD	2,391.25	
11SEP17	B	1	PD11DEC17	6689.50	6789.15	USD	2,491.25	
11SEP17	B	1	PD11DEC17	6691.50	6789.15	USD	2,441.25	
11SEP17	B	1	PD11DEC17	6692.00	6789.15	USD	2,428.75	
11SEP17	B	4	PD11DEC17	6675.00	6789.15	USD	11,415.00	
11SEP17	B	5	PD11DEC17	6703.00	6789.15	USD	10,768.75	
11SEP17	B	2	PD11DEC17	6757.50	6789.15	USD	1,582.50	
11SEP17	B	3	PD11DEC17	6758.50	6789.15	USD	2,298.75	
11SEP17	B	2	PD11DEC17	6730.50	6789.15	USD	2,932.50	
11SEP17	B	3	PD11DEC17	6732.00	6789.15	USD	4,286.25	
11SEP17	S	1	PD11DEC17	6746.00	6789.15	USD	-1,078.75	
11SEP17	S	5	PD11DEC17	6745.00	6789.15	USD	-5,518.75	
11SEP17	S	4	PD11DEC17	6747.00	6789.15	USD	-4,215.00	
	NET	+15 AVG BGHT 6711.88	SOLD 6745.90				37,481.25	0.00
12SEP17	S	2	PD12DEC17	6695.00	6789.63	USD	-4,731.50	
12SEP17	S	1	PD12DEC17	6696.00	6789.63	USD	-2,340.75	
12SEP17	S	1	PD12DEC17	6698.00	6789.63	USD	-2,290.75	
12SEP17	S	1	PD12DEC17	6700.00	6789.63	USD	-2,240.75	
12SEP17	S	3	PD12DEC17	6700.50	6789.63	USD	-6,684.75	
12SEP17	S	1	PD12DEC17	6701.00	6789.63	USD	-2,215.75	
12SEP17	S	1	PD12DEC17	6701.50	6789.63	USD	-2,203.25	
12SEP17	B	1	PD12DEC17	6721.50	6789.63	USD	1,703.25	
12SEP17	B	1	PD12DEC17	6725.00	6789.63	USD	1,615.75	
12SEP17	B	2	PD12DEC17	6728.50	6789.63	USD	3,056.50	
12SEP17	B	4	PD12DEC17	6729.50	6789.63	USD	6,013.00	
12SEP17	B	1	PD12DEC17	6730.00	6789.63	USD	1,490.75	
12SEP17	B	1	PD12DEC17	6735.50	6789.63	USD	1,353.25	
12SEP17	B	1	PD12DEC17	6736.00	6789.63	USD	1,340.75	
12SEP17	B	1	PD12DEC17	6737.00	6789.63	USD	1,315.75	
12SEP17	B	1	PD12DEC17	6737.50	6789.63	USD	1,303.25	
12SEP17	B	1	PD12DEC17	6738.00	6789.63	USD	1,290.75	
12SEP17	B	1	PD12DEC17	6739.00	6789.63	USD	1,265.75	
12SEP17	B	1	PD12DEC17	6674.00	6789.63	USD	2,890.75	
12SEP17	B	1	PD12DEC17	6676.50	6789.63	USD	2,828.25	
12SEP17	B	1	PD12DEC17	6690.50	6789.63	USD	2,478.25	
12SEP17	B	1	PD12DEC17	6692.00	6789.63	USD	2,440.75	
12SEP17	B	1	PD12DEC17	6676.00	6789.63	USD	2,840.75	
12SEP17	B	1	PD12DEC17	6646.00	6789.63	USD	3,590.75	
12SEP17	B	1	PD12DEC17	6646.50	6789.63	USD	3,578.25	
12SEP17	B	1	PD12DEC17	6645.50	6789.63	USD	3,603.25	
12SEP17	B	1	PD12DEC17	6648.00	6789.63	USD	3,540.75	
12SEP17	B	1	PD12DEC17	6651.50	6789.63	USD	3,453.25	
12SEP17	B	1	PD12DEC17	6653.00	6789.63	USD	3,415.75	
12SEP17	S	1	PD12DEC17	6642.00	6789.63	USD	-3,690.75	
12SEP17	S	2	PD12DEC17	6643.00	6789.63	USD	-7,331.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	6644.00	6789.63	USD	-3,640.75	
12SEP17	S	1	PD12DEC17	6648.00	6789.63	USD	-3,540.75	
12SEP17	S	3	PD12DEC17	6645.00	6789.63	USD	-10,847.25	
12SEP17	S	1	PD12DEC17	6646.00	6789.63	USD	-3,590.75	
12SEP17	S	1	PD12DEC17	6646.50	6789.63	USD	-3,578.25	
12SEP17	S	1	PD12DEC17	6647.00	6789.63	USD	-3,565.75	
12SEP17	S	1	PD12DEC17	6650.00	6789.63	USD	-3,490.75	
12SEP17	S	1	PD12DEC17	6651.00	6789.63	USD	-3,465.75	
12SEP17	S	2	PD12DEC17	6652.00	6789.63	USD	-6,881.50	
12SEP17	S	1	PD12DEC17	6680.00	6789.63	USD	-2,740.75	
12SEP17	S	1	PD12DEC17	6682.50	6789.63	USD	-2,678.25	
12SEP17	S	2	PD12DEC17	6661.50	6789.63	USD	-6,406.50	
12SEP17	S	2	PD12DEC17	6668.00	6789.63	USD	-6,081.50	
12SEP17	S	1	PD12DEC17	6668.50	6789.63	USD	-3,028.25	
12SEP17	S	1	PD12DEC17	6670.50	6789.63	USD	-2,978.25	
12SEP17	S	2	PD12DEC17	6659.50	6789.63	USD	-6,506.50	
12SEP17	S	2	PD12DEC17	6655.00	6789.63	USD	-6,731.50	
12SEP17	S	1	PD12DEC17	6656.00	6789.63	USD	-3,340.75	
12SEP17	S	2	PD12DEC17	6656.50	6789.63	USD	-6,656.50	
12SEP17	S	1	PD12DEC17	6657.00	6789.63	USD	-3,315.75	
12SEP17	S	1	PD12DEC17	6659.00	6789.63	USD	-3,265.75	
12SEP17	B	1	PD12DEC17	6667.50	6789.63	USD	3,053.25	
12SEP17	B	1	PD12DEC17	6669.00	6789.63	USD	3,015.75	
12SEP17	B	1	PD12DEC17	6672.00	6789.63	USD	2,940.75	
12SEP17	B	4	PD12DEC17	6670.00	6789.63	USD	11,963.00	
12SEP17	B	1	PD12DEC17	6670.50	6789.63	USD	2,978.25	
12SEP17	B	1	PD12DEC17	6671.00	6789.63	USD	2,965.75	
12SEP17	B	2	PD12DEC17	6673.00	6789.63	USD	5,831.50	
	NET	-5 AVG BGHT 6693.24	SOLD 6665.76				-40,903.75	0.00
13SEP17	B	2	PD13DEC17	6607.00	6790.10	USD	9,155.00	
13SEP17	B	1	PD13DEC17	6611.00	6790.10	USD	4,477.50	
13SEP17	B	1	PD13DEC17	6594.00	6790.10	USD	4,902.50	
13SEP17	B	2	PD13DEC17	6591.50	6790.10	USD	9,930.00	
13SEP17	B	5	PD13DEC17	6590.50	6790.10	USD	24,950.00	
13SEP17	B	3	PD13DEC17	6592.00	6790.10	USD	14,857.50	
13SEP17	B	1	PD13DEC17	6591.00	6790.10	USD	4,977.50	
13SEP17	B	3	PD13DEC17	6590.00	6790.10	USD	15,007.50	
13SEP17	B	3	PD13DEC17	6593.00	6790.10	USD	14,782.50	
13SEP17	B	1	PD13DEC17	6595.50	6790.10	USD	4,865.00	
13SEP17	B	3	PD13DEC17	6593.50	6790.10	USD	14,745.00	
13SEP17	B	4	PD13DEC17	6596.00	6790.10	USD	19,410.00	
13SEP17	B	1	PD13DEC17	6579.50	6790.10	USD	5,265.00	
13SEP17	B	1	PD13DEC17	6580.00	6790.10	USD	5,252.50	
13SEP17	B	1	PD13DEC17	6581.00	6790.10	USD	5,227.50	
13SEP17	B	1	PD13DEC17	6562.50	6790.10	USD	5,690.00	
13SEP17	B	3	PD13DEC17	6563.00	6790.10	USD	17,032.50	
13SEP17	B	1	PD13DEC17	6561.00	6790.10	USD	5,727.50	
13SEP17	B	1	PD13DEC17	6559.00	6790.10	USD	5,777.50	
13SEP17	B	4	PD13DEC17	6560.00	6790.10	USD	23,010.00	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	1	PD13DEC17	6572.00	6790.10	USD	5,452.50	
13SEP17	B	1	PD13DEC17	6574.50	6790.10	USD	5,390.00	
13SEP17	B	1	PD13DEC17	6565.50	6790.10	USD	5,615.00	
13SEP17	B	3	PD13DEC17	6570.00	6790.10	USD	16,507.50	
13SEP17	B	1	PD13DEC17	6602.00	6790.10	USD	4,702.50	
13SEP17	S	1	PD13DEC17	6544.00	6790.10	USD	-6,152.50	
13SEP17	B	1	PD13DEC17	6550.50	6790.10	USD	5,990.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
13SEP17	B	1	PD13DEC17		6552.50	6790.10	USD	5,940.00	
13SEP17	S	1	PD13DEC17		6553.00	6790.10	USD	-5,927.50	
13SEP17	S	1	PD13DEC17		6555.00	6790.10	USD	-5,877.50	
13SEP17	S	1	PD13DEC17		6549.00	6790.10	USD	-6,027.50	
13SEP17	B	1	PD13DEC17		6550.00	6790.10	USD	6,002.50	
13SEP17	S	4	PD13DEC17		6554.00	6790.10	USD	-23,610.00	
13SEP17	S	2	PD13DEC17		6554.50	6790.10	USD	-11,780.00	
13SEP17	B	2	PD13DEC17		6557.00	6790.10	USD	11,655.00	
	NET	+45 AVG BGHT 6580.69	SOLD 6552.60					228,562.50	0.00
14SEP17	B	1	PD14DEC17		6529.50	6790.58	USD	6,527.00	
14SEP17	B	2	PD14DEC17		6530.00	6790.58	USD	13,029.00	
14SEP17	B	1	PD14DEC17		6538.00	6790.58	USD	6,314.50	
14SEP17	B	1	PD14DEC17		6543.00	6790.58	USD	6,189.50	
14SEP17	S	1	PD14DEC17		6456.00	6790.58	USD	-8,364.50	
14SEP17	S	1	PD14DEC17		6456.50	6790.58	USD	-8,352.00	
14SEP17	S	2	PD14DEC17		6457.00	6790.58	USD	-16,679.00	
14SEP17	S	1	PD14DEC17		6457.50	6790.58	USD	-8,327.00	
14SEP17	S	1	PD14DEC17		6458.50	6790.58	USD	-8,302.00	
14SEP17	S	1	PD14DEC17		6459.00	6790.58	USD	-8,289.50	
14SEP17	S	3	PD14DEC17		6460.00	6790.58	USD	-24,793.50	
14SEP17	B	1	PD14DEC17		6465.00	6790.58	USD	8,139.50	
14SEP17	S	1	PD14DEC17		6471.50	6790.58	USD	-7,977.00	
14SEP17	S	1	PD14DEC17		6512.50	6790.58	USD	-6,952.00	
14SEP17	S	1	PD14DEC17		6514.00	6790.58	USD	-6,914.50	
14SEP17	S	1	PD14DEC17		6527.00	6790.58	USD	-6,589.50	
14SEP17	S	1	PD14DEC17		6522.00	6790.58	USD	-6,714.50	
14SEP17	S	1	PD14DEC17		6523.00	6790.58	USD	-6,689.50	
14SEP17	S	1	PD14DEC17		6524.50	6790.58	USD	-6,652.00	
14SEP17	S	1	PD14DEC17		6517.50	6790.58	USD	-6,827.00	
14SEP17	S	1	PD14DEC17		6520.00	6790.58	USD	-6,764.50	
14SEP17	S	4	PD14DEC17		6506.00	6790.58	USD	-28,458.00	
14SEP17	S	2	PD14DEC17		6500.00	6790.58	USD	-14,529.00	
14SEP17	S	3	PD14DEC17		6502.50	6790.58	USD	-21,606.00	
14SEP17	S	3	PD14DEC17		6508.50	6790.58	USD	-21,156.00	
14SEP17	S	1	PD14DEC17		6509.50	6790.58	USD	-7,027.00	
14SEP17	S	3	PD14DEC17		6497.00	6790.58	USD	-22,018.50	
14SEP17	S	1	PD14DEC17		6498.50	6790.58	USD	-7,302.00	
14SEP17	S	1	PD14DEC17		6499.00	6790.58	USD	-7,289.50	
14SEP17	S	3	PD14DEC17		6499.50	6790.58	USD	-21,831.00	
14SEP17	S	3	PD14DEC17		6500.50	6790.58	USD	-21,756.00	
14SEP17	S	2	PD14DEC17		6501.50	6790.58	USD	-14,454.00	
14SEP17	S	1	PD14DEC17		6503.00	6790.58	USD	-7,189.50	
14SEP17	S	7	PD14DEC17		6504.50	6790.58	USD	-50,064.00	
14SEP17	S	2	PD14DEC17		6502.00	6790.58	USD	-14,429.00	
14SEP17	S	4	PD14DEC17		6504.00	6790.58	USD	-28,658.00	
14SEP17	S	2	PD14DEC17		6505.50	6790.58	USD	-14,254.00	
	NET	-55 AVG BGHT 6522.58	SOLD 6497.33					-407,010.00	0.00
15SEP17	B	1	PD15DEC17		6505.50	6791.06	USD	7,139.00	
15SEP17	B	1	PD15DEC17		6508.00	6791.06	USD	7,076.50	
15SEP17	B	1	PD15DEC17		6509.00	6791.06	USD	7,051.50	
15SEP17	B	2	PD15DEC17		6506.00	6791.06	USD	14,253.00	
15SEP17	B	2	PD15DEC17		6507.00	6791.06	USD	14,203.00	
15SEP17	B	1	PD15DEC17		6501.00	6791.06	USD	7,251.50	
15SEP17	S	3	PD15DEC17		6494.00	6791.06	USD	-22,279.50	
15SEP17	S	1	PD15DEC17		6483.50	6791.06	USD	-7,689.00	
15SEP17	S	1	PD15DEC17		6488.00	6791.06	USD	-7,576.50	
15SEP17	S	2	PD15DEC17		6488.50	6791.06	USD	-15,128.00	

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15SEP17	S	3	PD15DEC17	6491.00	6791.06	USD	-22,504.50	
15SEP17	S	1	PD15DEC17	6492.00	6791.06	USD	-7,476.50	
15SEP17	S	1	PD15DEC17	6492.50	6791.06	USD	-7,464.00	
15SEP17	S	1	PD15DEC17	6493.00	6791.06	USD	-7,451.50	
	NET	-5 AVG BGHT 6506.19	SOLD 6490.85				-40,595.00	0.00
18SEP17	S	1	PD18DEC17	6518.00	6792.50	USD	-6,862.50	
18SEP17	S	1	PD18DEC17	6519.00	6792.50	USD	-6,837.50	
18SEP17	S	2	PD18DEC17	6516.00	6792.50	USD	-13,825.00	
18SEP17	B	4	PD18DEC17	6515.00	6792.50	USD	27,750.00	
18SEP17	B	1	PD18DEC17	6548.00	6792.50	USD	6,112.50	
18SEP17	B	1	PD18DEC17	6549.00	6792.50	USD	6,087.50	
18SEP17	B	1	PD18DEC17	6550.50	6792.50	USD	6,050.00	
18SEP17	B	1	PD18DEC17	6551.00	6792.50	USD	6,037.50	
18SEP17	B	1	PD18DEC17	6552.50	6792.50	USD	6,000.00	
18SEP17	B	3	PD18DEC17	6538.50	6792.50	USD	19,050.00	
18SEP17	B	2	PD18DEC17	6544.00	6792.50	USD	12,425.00	
18SEP17	B	1	PD18DEC17	6531.50	6792.50	USD	6,525.00	
18SEP17	B	2	PD18DEC17	6536.00	6792.50	USD	12,825.00	
18SEP17	B	1	PD18DEC17	6537.00	6792.50	USD	6,387.50	
18SEP17	B	1	PD18DEC17	6537.50	6792.50	USD	6,375.00	
18SEP17	S	2	PD18DEC17	6530.50	6792.50	USD	-13,100.00	
18SEP17	S	1	PD18DEC17	6524.50	6792.50	USD	-6,700.00	
18SEP17	S	2	PD18DEC17	6524.00	6792.50	USD	-13,425.00	
18SEP17	S	1	PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S	1	PD18DEC17	6537.50	6792.50	USD	-6,375.00	
18SEP17	S	1	PD18DEC17	6538.50	6792.50	USD	-6,350.00	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	1	PD18DEC17	6540.00	6792.50	USD	-6,312.50	
	NET	+5 AVG BGHT 6536.45	SOLD 6527.96				29,037.50	0.00
19SEP17	B	5	PD19DEC17	6542.50	6792.50	USD	31,250.00	
19SEP17	S	1	PD19DEC17	6525.00	6792.50	USD	-6,687.50	
19SEP17	S	1	PD19DEC17	6528.00	6792.50	USD	-6,612.50	
19SEP17	S	1	PD19DEC17	6528.50	6792.50	USD	-6,600.00	
19SEP17	S	1	PD19DEC17	6530.50	6792.50	USD	-6,550.00	
19SEP17	S	1	PD19DEC17	6532.50	6792.50	USD	-6,500.00	
19SEP17	B	2	PD19DEC17	6541.00	6792.50	USD	12,575.00	
19SEP17	B	1	PD19DEC17	6541.50	6792.50	USD	6,275.00	
19SEP17	B	1	PD19DEC17	6543.00	6792.50	USD	6,237.50	
19SEP17	B	1	PD19DEC17	6544.50	6792.50	USD	6,200.00	
19SEP17	B	1	PD19DEC17	6522.50	6792.50	USD	6,750.00	
19SEP17	B	1	PD19DEC17	6523.00	6792.50	USD	6,737.50	
19SEP17	S	1	PD19DEC17	6525.50	6792.50	USD	-6,675.00	
19SEP17	S	1	PD19DEC17	6527.00	6792.50	USD	-6,637.50	
19SEP17	B	1	PD19DEC17	6524.50	6792.50	USD	6,700.00	
19SEP17	B	2	PD19DEC17	6525.50	6792.50	USD	13,350.00	
19SEP17	B	1	PD19DEC17	6538.00	6792.50	USD	6,362.50	
19SEP17	B	1	PD19DEC17	6539.00	6792.50	USD	6,337.50	
19SEP17	B	1	PD19DEC17	6540.00	6792.50	USD	6,312.50	
19SEP17	B	3	PD19DEC17	6534.50	6792.50	USD	19,350.00	
19SEP17	B	2	PD19DEC17	6535.00	6792.50	USD	12,875.00	
19SEP17	B	1	PD19DEC17	6526.50	6792.50	USD	6,650.00	
19SEP17	B	1	PD19DEC17	6527.50	6792.50	USD	6,625.00	
19SEP17	B	1	PD19DEC17	6516.50	6792.50	USD	6,900.00	
19SEP17	B	1	PD19DEC17	6515.00	6792.50	USD	6,937.50	
19SEP17	B	2	PD19DEC17	6517.50	6792.50	USD	13,750.00	
19SEP17	B	1	PD19DEC17	6518.50	6792.50	USD	6,850.00	
19SEP17	B	5	PD19DEC17	6525.00	6792.50	USD	33,437.50	

OPEN POSITION STATEMENT



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SAP0025U

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	6526.00	6792.50	USD	6,662.50	
19SEP17	B	1	PD19DEC17	6529.00	6792.50	USD	6,587.50	
19SEP17	B	1	PD19DEC17	6530.50	6792.50	USD	6,550.00	
19SEP17	B	2	PD19DEC17	6532.00	6792.50	USD	13,025.00	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	B	3	PD19DEC17	6529.50	6792.50	USD	19,725.00	
19SEP17	S	1	PD19DEC17	6538.00	6792.50	USD	-6,362.50	
19SEP17	B	3	PD19DEC17	6528.50	6792.50	USD	19,800.00	
19SEP17	B	2	PD19DEC17	6530.00	6792.50	USD	13,125.00	
19SEP17	B	2	PD19DEC17	6531.00	6792.50	USD	13,075.00	
19SEP17	B	2	PD19DEC17	6531.50	6792.50	USD	13,050.00	
19SEP17	B	1	PD19DEC17	6533.50	6792.50	USD	6,475.00	
	NET	+46 AVG BGHT 6530.99	SOLD 6529.38				300,412.50	0.00
20SEP17	S	1	PD20DEC17	6544.00	6792.50	USD	-6,212.50	
20SEP17	S	1	PD20DEC17	6553.50	6792.50	USD	-5,975.00	
20SEP17	S	1	PD20DEC17	6556.00	6792.50	USD	-5,912.50	
20SEP17	S	2	PD20DEC17	6558.00	6792.50	USD	-11,725.00	
20SEP17	S	1	PD20DEC17	6564.50	6792.50	USD	-5,700.00	
20SEP17	S	1	PD20DEC17	6565.50	6792.50	USD	-5,675.00	
20SEP17	S	3	PD20DEC17	6569.00	6792.50	USD	-16,762.50	
20SEP17	S	2	PD20DEC17	6561.00	6792.50	USD	-11,575.00	
20SEP17	S	1	PD20DEC17	6562.00	6792.50	USD	-5,762.50	
20SEP17	S	1	PD20DEC17	6563.00	6792.50	USD	-5,737.50	
20SEP17	S	1	PD20DEC17	6564.00	6792.50	USD	-5,712.50	
20SEP17	S	1	PD20DEC17	6566.50	6792.50	USD	-5,650.00	
20SEP17	S	1	PD20DEC17	6523.00	6792.50	USD	-6,737.50	
20SEP17	S	1	PD20DEC17	6523.50	6792.50	USD	-6,725.00	
20SEP17	S	2	PD20DEC17	6524.00	6792.50	USD	-13,425.00	
20SEP17	S	1	PD20DEC17	6525.00	6792.50	USD	-6,687.50	
	NET	-21 AVG SOLD 6552.55					-125,975.00	0.00
21SEP17	S	1	PD21DEC17	6466.50	6791.50	USD	-8,125.00	
21SEP17	S	3	PD21DEC17	6473.00	6791.50	USD	-23,887.50	
21SEP17	S	1	PD21DEC17	6474.00	6791.50	USD	-7,937.50	
21SEP17	S	1	PD21DEC17	6475.50	6791.50	USD	-7,900.00	
21SEP17	S	3	PD21DEC17	6476.00	6791.50	USD	-23,662.50	
21SEP17	S	1	PD21DEC17	6479.50	6791.50	USD	-7,800.00	
21SEP17	S	1	PD21DEC17	6483.50	6791.50	USD	-7,700.00	
21SEP17	S	1	PD21DEC17	6485.00	6791.50	USD	-7,662.50	
21SEP17	S	1	PD21DEC17	6457.50	6791.50	USD	-8,350.00	
21SEP17	S	1	PD21DEC17	6459.50	6791.50	USD	-8,300.00	
21SEP17	S	1	PD21DEC17	6462.50	6791.50	USD	-8,225.00	
21SEP17	S	1	PD21DEC17	6463.00	6791.50	USD	-8,212.50	
21SEP17	S	1	PD21DEC17	6463.50	6791.50	USD	-8,200.00	
21SEP17	S	1	PD21DEC17	6464.50	6791.50	USD	-8,175.00	
21SEP17	S	1	PD21DEC17	6467.50	6791.50	USD	-8,100.00	
21SEP17	S	1	PD21DEC17	6454.50	6791.50	USD	-8,425.00	
21SEP17	S	1	PD21DEC17	6451.00	6791.50	USD	-8,512.50	
21SEP17	S	1	PD21DEC17	6454.00	6791.50	USD	-8,437.50	
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	
21SEP17	B	1	PD21DEC17	6457.50	6791.50	USD	8,350.00	
21SEP17	B	1	PD21DEC17	6451.50	6791.50	USD	8,500.00	
21SEP17	B	1	PD21DEC17	6453.50	6791.50	USD	8,450.00	
21SEP17	B	1	PD21DEC17	6458.00	6791.50	USD	8,337.50	
21SEP17	B	3	PD21DEC17	6458.50	6791.50	USD	24,975.00	
21SEP17	B	2	PD21DEC17	6446.00	6791.50	USD	17,275.00	
21SEP17	B	1	PD21DEC17	6446.50	6791.50	USD	8,625.00	
21SEP17	B	1	PD21DEC17	6447.00	6791.50	USD	8,612.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	6448.00	6791.50	USD	8,587.50	
21SEP17	B	2	PD21DEC17	6448.50	6791.50	USD	17,150.00	
21SEP17	B	2	PD21DEC17	6454.00	6791.50	USD	16,875.00	
21SEP17	B	2	PD21DEC17	6456.50	6791.50	USD	16,750.00	
21SEP17	B	2	PD21DEC17	6445.00	6791.50	USD	17,325.00	
21SEP17	S	1	PD21DEC17	6465.00	6791.50	USD	-8,162.50	
21SEP17	B	1	PD21DEC17	6487.00	6791.50	USD	7,612.50	
21SEP17	B	1	PD21DEC17	6483.00	6791.50	USD	7,712.50	
21SEP17	B	1	PD21DEC17	6464.50	6791.50	USD	8,175.00	
21SEP17	B	1	PD21DEC17	6465.00	6791.50	USD	8,162.50	
21SEP17	B	1	PD21DEC17	6472.00	6791.50	USD	7,987.50	
21SEP17	B	2	PD21DEC17	6474.00	6791.50	USD	15,875.00	
21SEP17	B	1	PD21DEC17	6477.00	6791.50	USD	7,862.50	
21SEP17	B	2	PD21DEC17	6482.00	6791.50	USD	15,475.00	
21SEP17	B	2	PD21DEC17	6471.00	6791.50	USD	16,025.00	
21SEP17	B	2	PD21DEC17	6475.00	6791.50	USD	15,825.00	
21SEP17	B	2	PD21DEC17	6480.00	6791.50	USD	15,575.00	
21SEP17	B	8	PD21DEC17	6469.50	6791.50	USD	64,400.00	
21SEP17	B	6	PD21DEC17	6470.00	6791.50	USD	48,225.00	
21SEP17	B	1	PD21DEC17	6459.00	6791.50	USD	8,312.50	
21SEP17	B	1	PD21DEC17	6455.50	6791.50	USD	8,400.00	
21SEP17	B	2	PD21DEC17	6453.00	6791.50	USD	16,925.00	
21SEP17	B	3	PD21DEC17	6480.50	6791.50	USD	23,325.00	
21SEP17	B	1	PD21DEC17	6427.00	6791.50	USD	9,112.50	
21SEP17	B	1	PD21DEC17	6451.00	6791.50	USD	8,512.50	
	NET	+35 AVG BGHT 6463.83	SOLD 6467.85				289,125.00	0.00
22SEP17	S	1	PD22DEC17	6399.00	6790.50	USD	-9,787.50	
22SEP17	S	3	PD22DEC17	6400.00	6790.50	USD	-29,287.50	
22SEP17	S	1	PD22DEC17	6403.00	6790.50	USD	-9,687.50	
22SEP17	S	1	PD22DEC17	6385.00	6790.50	USD	-10,137.50	
22SEP17	S	1	PD22DEC17	6393.50	6790.50	USD	-9,925.00	
22SEP17	S	2	PD22DEC17	6395.50	6790.50	USD	-19,750.00	
22SEP17	S	1	PD22DEC17	6396.50	6790.50	USD	-9,850.00	
22SEP17	S	1	PD22DEC17	6446.00	6790.50	USD	-8,612.50	
22SEP17	B	1	PD22DEC17	6449.00	6790.50	USD	8,537.50	
22SEP17	S	5	PD22DEC17	6470.00	6790.50	USD	-40,062.50	
	NET	-15 AVG BGHT 6449.00	SOLD 6422.75				-138,562.50	0.00
25SEP17	B	1	PD27DEC17	6475.50	6793.00	USD	7,937.50	
25SEP17	B	1	PD27DEC17	6479.50	6793.00	USD	7,837.50	
25SEP17	B	2	PD27DEC17	6476.50	6793.00	USD	15,825.00	
25SEP17	B	1	PD27DEC17	6473.50	6793.00	USD	7,987.50	
25SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
25SEP17	S	1	PD27DEC17	6459.00	6793.00	USD	-8,350.00	
25SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
25SEP17	S	1	PD27DEC17	6456.00	6793.00	USD	-8,425.00	
25SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
25SEP17	S	2	PD27DEC17	6463.50	6793.00	USD	-16,475.00	
25SEP17	S	1	PD27DEC17	6464.50	6793.00	USD	-8,212.50	
25SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
25SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S	4	PD27DEC17	6452.00	6793.00	USD	-34,100.00	
25SEP17	S	2	PD27DEC17	6453.00	6793.00	USD	-17,000.00	
25SEP17	B	1	PD27DEC17	6453.00	6793.00	USD	8,500.00	
25SEP17	B	1	PD27DEC17	6446.00	6793.00	USD	8,675.00	
25SEP17	S	1	PD27DEC17	6445.50	6793.00	USD	-8,687.50	
26SEP17	B	1	PD27DEC17	6479.00	6793.00	USD	7,850.00	
26SEP17	B	1	PD27DEC17	6479.50	6793.00	USD	7,837.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	8	PD27DEC17	6480.00	6793.00	USD	62,600.00	
26SEP17	B	1	PD27DEC17	6497.50	6793.00	USD	7,387.50	
26SEP17	B	1	PD27DEC17	6501.50	6793.00	USD	7,287.50	
26SEP17	S	1	PD27DEC17	6496.00	6793.00	USD	-7,425.00	
26SEP17	S	2	PD27DEC17	6501.00	6793.00	USD	-14,600.00	
26SEP17	S	1	PD27DEC17	6503.50	6793.00	USD	-7,237.50	
26SEP17	S	3	PD27DEC17	6504.50	6793.00	USD	-21,637.50	
26SEP17	S	1	PD27DEC17	6516.50	6793.00	USD	-6,912.50	
26SEP17	S	2	PD27DEC17	6517.50	6793.00	USD	-13,775.00	
26SEP17	S	1	PD27DEC17	6522.00	6793.00	USD	-6,775.00	
26SEP17	S	1	PD27DEC17	6525.00	6793.00	USD	-6,700.00	
26SEP17	S	1	PD27DEC17	6510.00	6793.00	USD	-7,075.00	
26SEP17	S	1	PD27DEC17	6512.00	6793.00	USD	-7,025.00	
26SEP17	B	2	PD27DEC17	6500.00	6793.00	USD	14,650.00	
26SEP17	B	1	PD27DEC17	6505.00	6793.00	USD	7,200.00	
26SEP17	B	2	PD27DEC17	6508.50	6793.00	USD	14,225.00	
26SEP17	B	1	PD27DEC17	6512.00	6793.00	USD	7,025.00	
26SEP17	B	2	PD27DEC17	6513.50	6793.00	USD	13,975.00	
26SEP17	B	1	PD27DEC17	6498.50	6793.00	USD	7,362.50	
26SEP17	B	1	PD27DEC17	6499.00	6793.00	USD	7,350.00	
26SEP17	B	1	PD27DEC17	6501.00	6793.00	USD	7,300.00	
26SEP17	B	2	PD27DEC17	6503.00	6793.00	USD	14,500.00	
26SEP17	B	2	PD27DEC17	6507.00	6793.00	USD	14,300.00	
26SEP17	B	2	PD27DEC17	6508.00	6793.00	USD	14,250.00	
26SEP17	B	1	PD27DEC17	6509.00	6793.00	USD	7,100.00	
26SEP17	B	2	PD27DEC17	6502.00	6793.00	USD	14,550.00	
26SEP17	B	1	PD27DEC17	6502.50	6793.00	USD	7,262.50	
26SEP17	B	1	PD27DEC17	6503.50	6793.00	USD	7,237.50	
26SEP17	S	1	PD27DEC17	6415.00	6793.00	USD	-9,450.00	
26SEP17	S	2	PD27DEC17	6407.00	6793.00	USD	-19,300.00	
26SEP17	S	2	PD27DEC17	6407.50	6793.00	USD	-19,275.00	
26SEP17	S	1	PD27DEC17	6408.50	6793.00	USD	-9,612.50	
26SEP17	S	1	PD27DEC17	6409.00	6793.00	USD	-9,600.00	
26SEP17	S	1	PD27DEC17	6410.50	6793.00	USD	-9,562.50	
26SEP17	S	1	PD27DEC17	6416.00	6793.00	USD	-9,425.00	
26SEP17	S	1	PD27DEC17	6416.50	6793.00	USD	-9,412.50	
26SEP17	S	1	PD27DEC17	6409.50	6793.00	USD	-9,587.50	
26SEP17	S	1	PD27DEC17	6410.00	6793.00	USD	-9,575.00	
26SEP17	S	7	PD27DEC17	6411.00	6793.00	USD	-66,850.00	
26SEP17	S	1	PD27DEC17	6404.50	6793.00	USD	-9,712.50	
26SEP17	S	1	PD27DEC17	6417.00	6793.00	USD	-9,400.00	
26SEP17	S	1	PD27DEC17	6419.00	6793.00	USD	-9,350.00	
26SEP17	S	1	PD27DEC17	6420.00	6793.00	USD	-9,325.00	
26SEP17	B	1	PD27DEC17	6418.00	6793.00	USD	9,375.00	
26SEP17	B	2	PD27DEC17	6424.50	6793.00	USD	18,425.00	
27SEP17	S	1	PD27DEC17	6425.00	6793.00	USD	-9,200.00	
27SEP17	S	4	PD27DEC17	6426.00	6793.00	USD	-36,700.00	
27SEP17	B	3	PD27DEC17	6474.00	6793.00	USD	23,925.00	
27SEP17	B	4	PD27DEC17	6473.00	6793.00	USD	32,000.00	
27SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
27SEP17	B	1	PD27DEC17	6461.00	6793.00	USD	8,300.00	
27SEP17	B	1	PD27DEC17	6462.50	6793.00	USD	8,262.50	
27SEP17	B	2	PD27DEC17	6437.50	6793.00	USD	17,775.00	
27SEP17	B	1	PD27DEC17	6438.50	6793.00	USD	8,862.50	
27SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
27SEP17	B	1	PD27DEC17	6441.00	6793.00	USD	8,800.00	
27SEP17	B	3	PD27DEC17	6445.00	6793.00	USD	26,100.00	

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27SEP17	B	1	PD27DEC17	6446.00	6793.00	USD	8,675.00	
27SEP17	B	1	PD27DEC17	6447.50	6793.00	USD	8,637.50	
	NET	+5 AVG BGHT 6477.78	SOLD 6448.92				-3,162.50	0.00
28SEP17	S	4	PD28DEC17	6426.00	6793.47	USD	-36,747.00	
28SEP17	B	5	PD28DEC17	6453.50	6793.47	USD	42,496.25	
28SEP17	B	1	PD28DEC17	6463.50	6793.47	USD	8,249.25	
28SEP17	B	3	PD28DEC17	6454.50	6793.47	USD	25,422.75	
28SEP17	B	3	PD28DEC17	6522.50	6793.47	USD	20,322.75	
28SEP17	B	1	PD28DEC17	6523.50	6793.47	USD	6,749.25	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,686.75	
	NET	+10 AVG BGHT 6479.39	SOLD 6426.00				73,180.00	0.00
29SEP17	S	1	PD29DEC17	6527.00	6793.93	USD	-6,673.25	
29SEP17	S	4	PD29DEC17	6528.00	6793.93	USD	-26,593.00	
29SEP17	B	1	PD29DEC17	6562.00	6793.93	USD	5,798.25	
29SEP17	B	4	PD29DEC17	6564.50	6793.93	USD	22,943.00	
29SEP17	S	2	PD29DEC17	6530.00	6793.93	USD	-13,196.50	
29SEP17	S	2	PD29DEC17	6531.00	6793.93	USD	-13,146.50	
29SEP17	S	1	PD29DEC17	6532.00	6793.93	USD	-6,548.25	
29SEP17	S	1	PD29DEC17	6540.00	6793.93	USD	-6,348.25	
29SEP17	S	4	PD29DEC17	6541.00	6793.93	USD	-25,293.00	
29SEP17	S	5	PD29DEC17	6503.00	6793.93	USD	-36,366.25	
	NET	-15 AVG BGHT 6564.00	SOLD 6525.60				-105,423.75	0.00
02OCT17	B	4	PD02JAN18	6525.50	6795.80	USD	27,030.00	
02OCT17	B	5	PD02JAN18	6526.00	6795.80	USD	33,725.00	
02OCT17	B	1	PD02JAN18	6537.50	6795.80	USD	6,457.50	
02OCT17	B	1	PD02JAN18	6538.00	6795.80	USD	6,445.00	
02OCT17	B	1	PD02JAN18	6539.00	6795.80	USD	6,420.00	
02OCT17	B	1	PD02JAN18	6540.50	6795.80	USD	6,382.50	
02OCT17	B	1	PD02JAN18	6528.50	6795.80	USD	6,682.50	
02OCT17	B	1	PD02JAN18	6539.50	6795.80	USD	6,407.50	
	NET	+15 AVG BGHT 6530.33					99,550.00	0.00
04OCT17	S	2	PD04JAN18	6507.50	6796.73	USD	-14,461.50	
04OCT17	S	1	PD04JAN18	6509.50	6796.73	USD	-7,180.75	
04OCT17	S	1	PD04JAN18	6511.00	6796.73	USD	-7,143.25	
04OCT17	S	5	PD04JAN18	6515.00	6796.73	USD	-35,216.25	
04OCT17	S	3	PD04JAN18	6515.50	6796.73	USD	-21,092.25	
04OCT17	S	2	PD04JAN18	6517.50	6796.73	USD	-13,961.50	
04OCT17	S	3	PD04JAN18	6508.00	6796.73	USD	-21,654.75	
04OCT17	S	3	PD04JAN18	6509.00	6796.73	USD	-21,579.75	
	NET	-20 AVG SOLD 6512.15					-142,290.00	0.00
05OCT17	B	2	PD05JAN18	6552.50	6797.20	USD	12,235.00	
05OCT17	B	2	PD05JAN18	6554.50	6797.20	USD	12,135.00	
05OCT17	B	1	PD05JAN18	6555.50	6797.20	USD	6,042.50	
05OCT17	B	1	PD05JAN18	6552.00	6797.20	USD	6,130.00	
05OCT17	B	2	PD05JAN18	6553.00	6797.20	USD	12,210.00	
05OCT17	B	2	PD05JAN18	6555.00	6797.20	USD	12,110.00	
05OCT17	B	5	PD05JAN18	6634.00	6797.20	USD	20,400.00	
05OCT17	B	1	PD05JAN18	6640.50	6797.20	USD	3,917.50	
05OCT17	B	1	PD05JAN18	6642.00	6797.20	USD	3,880.00	
05OCT17	B	2	PD05JAN18	6642.50	6797.20	USD	7,735.00	
05OCT17	B	1	PD05JAN18	6641.50	6797.20	USD	3,892.50	
05OCT17	B	1	PD05JAN18	6684.00	6797.20	USD	2,830.00	
05OCT17	B	2	PD05JAN18	6684.50	6797.20	USD	5,635.00	
05OCT17	B	1	PD05JAN18	6675.50	6797.20	USD	3,042.50	
05OCT17	B	1	PD05JAN18	6676.00	6797.20	USD	3,030.00	
05OCT17	B	1	PD05JAN18	6677.00	6797.20	USD	3,005.00	

OPEN POSITION STATEMENT



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SAP0025U

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	2	PD05JAN18	6677.50	6797.20	USD	5,985.00	
05OCT17	B	1	PD05JAN18	6680.00	6797.20	USD	2,930.00	
05OCT17	B	1	PD05JAN18	6685.50	6797.20	USD	2,792.50	
06OCT17	S	1	PD05JAN18	6663.00	6797.20	USD	-3,355.00	
06OCT17	S	3	PD05JAN18	6660.00	6797.20	USD	-10,290.00	
06OCT17	S	1	PD05JAN18	6664.50	6797.20	USD	-3,317.50	
06OCT17	S	1	PD05JAN18	6655.50	6797.20	USD	-3,542.50	
06OCT17	S	1	PD05JAN18	6658.00	6797.20	USD	-3,480.00	
06OCT17	S	2	PD05JAN18	6657.00	6797.20	USD	-7,010.00	
06OCT17	S	1	PD05JAN18	6656.50	6797.20	USD	-3,517.50	
	NET	+20 AVG BGHT 6623.95	SOLD 6659.15				95,425.00	0.00
09OCT17	S	1	PD09JAN18	6676.00	6799.07	USD	-3,076.75	
09OCT17	S	2	PD09JAN18	6674.00	6799.07	USD	-6,253.50	
09OCT17	S	2	PD09JAN18	6674.50	6799.07	USD	-6,228.50	
09OCT17	S	3	PD09JAN18	6677.00	6799.07	USD	-9,155.25	
09OCT17	S	2	PD09JAN18	6679.50	6799.07	USD	-5,978.50	
09OCT17	S	1	PD09JAN18	6666.00	6799.07	USD	-3,326.75	
09OCT17	S	1	PD09JAN18	6678.50	6799.07	USD	-3,014.25	
09OCT17	S	3	PD09JAN18	6655.00	6799.07	USD	-10,805.25	
09OCT17	S	2	PD09JAN18	6665.00	6799.07	USD	-6,703.50	
09OCT17	S	1	PD09JAN18	6668.00	6799.07	USD	-3,276.75	
09OCT17	S	1	PD09JAN18	6677.50	6799.07	USD	-3,039.25	
09OCT17	S	1	PD09JAN18	6657.00	6799.07	USD	-3,551.75	
09OCT17	S	1	PD09JAN18	6656.50	6799.07	USD	-3,564.25	
09OCT17	S	4	PD09JAN18	6660.00	6799.07	USD	-13,907.00	
09OCT17	S	2	PD09JAN18	6661.50	6799.07	USD	-6,878.50	
09OCT17	S	1	PD09JAN18	6671.50	6799.07	USD	-3,189.25	
09OCT17	S	4	PD09JAN18	6670.50	6799.07	USD	-12,857.00	
09OCT17	S	3	PD09JAN18	6654.50	6799.07	USD	-10,842.75	
09OCT17	S	1	PD09JAN18	6664.00	6799.07	USD	-3,376.75	
09OCT17	S	1	PD09JAN18	6666.50	6799.07	USD	-3,314.25	
09OCT17	S	2	PD09JAN18	6667.00	6799.07	USD	-6,603.50	
09OCT17	S	1	PD09JAN18	6673.00	6799.07	USD	-3,151.75	
09OCT17	B	1	PD09JAN18	6656.00	6799.07	USD	3,576.75	
09OCT17	B	1	PD09JAN18	6660.50	6799.07	USD	3,464.25	
09OCT17	B	2	PD09JAN18	6661.50	6799.07	USD	6,878.50	
09OCT17	B	2	PD09JAN18	6657.50	6799.07	USD	7,078.50	
09OCT17	S	2	PD09JAN18	6653.50	6799.07	USD	-7,278.50	
09OCT17	S	5	PD09JAN18	6658.50	6799.07	USD	-17,571.25	
09OCT17	S	1	PD09JAN18	6659.50	6799.07	USD	-3,489.25	
09OCT17	S	1	PD09JAN18	6661.00	6799.07	USD	-3,451.75	
09OCT17	S	3	PD09JAN18	6662.00	6799.07	USD	-10,280.25	
09OCT17	S	4	PD09JAN18	6657.50	6799.07	USD	-14,157.00	
09OCT17	S	1	PD09JAN18	6662.50	6799.07	USD	-3,414.25	
09OCT17	S	5	PD09JAN18	6658.00	6799.07	USD	-17,633.75	
09OCT17	S	4	PD09JAN18	6659.00	6799.07	USD	-14,007.00	
	NET	-60 AVG BGHT 6659.08	SOLD 6663.69				-202,380.00	0.00
10OCT17	B	1	PD10JAN18	6719.00	6799.53	USD	2,013.25	
10OCT17	B	4	PD10JAN18	6719.50	6799.53	USD	8,003.00	
10OCT17	B	2	PD10JAN18	6725.50	6799.53	USD	3,701.50	
10OCT17	B	2	PD10JAN18	6728.00	6799.53	USD	3,576.50	
10OCT17	B	3	PD10JAN18	6728.50	6799.53	USD	5,327.25	
10OCT17	B	5	PD10JAN18	6730.00	6799.53	USD	8,691.25	
10OCT17	S	1	PD10JAN18	6693.00	6799.53	USD	-2,663.25	
10OCT17	S	1	PD10JAN18	6694.00	6799.53	USD	-2,638.25	
10OCT17	S	2	PD10JAN18	6697.50	6799.53	USD	-5,101.50	
10OCT17	S	1	PD10JAN18	6701.00	6799.53	USD	-2,463.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6704.00	6799.53	USD	-4,776.50	
10OCT17	S	1	PD10JAN18	6704.50	6799.53	USD	-2,375.75	
10OCT17	S	2	PD10JAN18	6705.50	6799.53	USD	-4,701.50	
10OCT17	S	2	PD10JAN18	6729.00	6799.53	USD	-3,526.50	
10OCT17	S	1	PD10JAN18	6731.00	6799.53	USD	-1,713.25	
10OCT17	S	2	PD10JAN18	6731.50	6799.53	USD	-3,401.50	
10OCT17	S	2	PD10JAN18	6732.00	6799.53	USD	-3,376.50	
	NET	0 AVG BGHT 6725.85	SOLD 6713.09				-5,425.00	0.00
11OCT17	B	5	PD11JAN18	6728.00	6800.00	USD	9,000.00	
11OCT17	B	5	PD11JAN18	6732.00	6800.00	USD	8,500.00	
11OCT17	S	1	PD11JAN18	6734.50	6800.00	USD	-1,637.50	
11OCT17	S	1	PD11JAN18	6735.50	6800.00	USD	-1,612.50	
11OCT17	S	3	PD11JAN18	6736.50	6800.00	USD	-4,762.50	
11OCT17	S	1	PD11JAN18	6745.00	6800.00	USD	-1,375.00	
11OCT17	S	2	PD11JAN18	6747.50	6800.00	USD	-2,625.00	
11OCT17	B	1	PD11JAN18	6788.50	6800.00	USD	287.50	
11OCT17	B	4	PD11JAN18	6790.00	6800.00	USD	1,000.00	
11OCT17	B	1	PD11JAN18	6790.50	6800.00	USD	237.50	
11OCT17	B	1	PD11JAN18	6785.00	6800.00	USD	375.00	
11OCT17	B	1	PD11JAN18	6766.50	6800.00	USD	837.50	
11OCT17	B	5	PD11JAN18	6767.00	6800.00	USD	4,125.00	
11OCT17	B	1	PD11JAN18	6777.00	6800.00	USD	575.00	
11OCT17	B	1	PD11JAN18	6782.00	6800.00	USD	450.00	
11OCT17	B	2	PD11JAN18	6789.00	6800.00	USD	550.00	
11OCT17	B	5	PD11JAN18	6779.00	6800.00	USD	2,625.00	
11OCT17	B	1	PD11JAN18	6780.50	6800.00	USD	487.50	
11OCT17	B	5	PD11JAN18	6781.00	6800.00	USD	2,375.00	
11OCT17	B	5	PD11JAN18	6799.00	6800.00	USD	125.00	
11OCT17	B	5	PD11JAN18	6798.00	6800.00	USD	250.00	
	NET	+40 AVG BGHT 6773.50	SOLD 6739.94				19,787.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	4	DEC17	305.800	309.550	USD	-3,750.00	
11OCT17	S	7	DEC17	308.350	309.550	USD	-2,100.00	
11OCT17	S	11	DEC17	308.450	309.550	USD	-3,025.00	
11OCT17	S	2	DEC17	308.200	309.550	USD	-675.00	
11OCT17	S	1	DEC17	307.900	309.550	USD	-412.50	
11OCT17	S	2	DEC17	307.350	309.550	USD	-1,100.00	
11OCT17	S	11	DEC17	307.400	309.550	USD	-5,912.50	
11OCT17	S	11	DEC17	307.950	309.550	USD	-4,400.00	
11OCT17	S	7	DEC17	308.050	309.550	USD	-2,625.00	
11OCT17	S	10	DEC17	308.000	309.550	USD	-3,875.00	
11OCT17	S	11	DEC17	308.900	309.550	USD	-1,787.50	
11OCT17	S	11	DEC17	308.850	309.550	USD	-1,925.00	
	NET	-88 AVG SOLD 308.114					-31,587.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-6,453,161.25
CMX Copper	FUTURES	6,778,512.50

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-31,587.50	-31,587.50	-31,587.50	-31,587.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	328,175.00	328,175.00	328,175.00	328,175.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-307,394.22	-307,394.22
- Options	0.00	0.00
TOTAL EQUITY	-338,981.72	-338,981.72
Initial Margins	-498,464.00	-498,464.00
Excess/(Shortage)	-837,445.72	-837,445.72
FOR INFORMATION ONLY		
Forward Unrealised P&L	328,175.00	328,175.00
Net Liquidating Value	-10,806.72	-10,806.72

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	5	PD02NOV17	6319.50	6770.10	USD	-56,325.00	
02AUG17	B	2	PD02NOV17	6333.50	6770.10	USD	21,830.00	
02AUG17	B	2	PD02NOV17	6337.50	6770.10	USD	21,630.00	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	1	PD02NOV17	6336.50	6770.10	USD	10,840.00	
02AUG17	B	4	PD02NOV17	6337.00	6770.10	USD	43,310.00	
02AUG17	B	2	PD02NOV17	6331.00	6770.10	USD	21,955.00	
02AUG17	B	3	PD02NOV17	6338.00	6770.10	USD	32,407.50	
02AUG17	B	3	PD02NOV17	6334.50	6770.10	USD	32,670.00	
02AUG17	B	2	PD02NOV17	6335.50	6770.10	USD	21,730.00	
10AUG17	S	15	PD02NOV17	6419.71	6770.10	USD	-131,396.25	
	NET	0AVG BGHT 6335.65	SOLD 6394.66				29,503.75	0.00
03AUG17	S	2	PD03NOV17	6304.00	6771.03	USD	-23,351.50	
03AUG17	S	1	PD03NOV17	6307.00	6771.03	USD	-11,600.75	
03AUG17	S	1	PD03NOV17	6309.00	6771.03	USD	-11,550.75	
03AUG17	S	1	PD03NOV17	6312.50	6771.03	USD	-11,463.25	
03AUG17	S	1	PD03NOV17	6317.50	6771.03	USD	-11,338.25	
03AUG17	S	1	PD03NOV17	6318.50	6771.03	USD	-11,313.25	
03AUG17	S	2	PD03NOV17	6319.50	6771.03	USD	-22,576.50	
03AUG17	S	1	PD03NOV17	6320.00	6771.03	USD	-11,275.75	
03AUG17	B	1	PD03NOV17	6311.00	6771.03	USD	11,500.75	
03AUG17	B	1	PD03NOV17	6317.50	6771.03	USD	11,338.25	
03AUG17	B	1	PD03NOV17	6328.50	6771.03	USD	11,063.25	
03AUG17	B	1	PD03NOV17	6333.50	6771.03	USD	10,938.25	
03AUG17	B	1	PD03NOV17	6342.50	6771.03	USD	10,713.25	
04AUG17	S	1	PD03NOV17	6355.50	6771.03	USD	-10,388.25	
04AUG17	S	1	PD03NOV17	6357.50	6771.03	USD	-10,338.25	
04AUG17	S	1	PD03NOV17	6358.50	6771.03	USD	-10,313.25	
04AUG17	S	1	PD03NOV17	6358.00	6771.03	USD	-10,325.75	
04AUG17	B	5	PD03NOV17	6359.50	6771.03	USD	51,441.25	
04AUG17	S	1	PD03NOV17	6331.00	6771.03	USD	-11,000.75	
04AUG17	S	1	PD03NOV17	6334.50	6771.03	USD	-10,913.25	
04AUG17	S	1	PD03NOV17	6337.00	6771.03	USD	-10,850.75	
04AUG17	S	1	PD03NOV17	6339.50	6771.03	USD	-10,788.25	
04AUG17	S	1	PD03NOV17	6341.00	6771.03	USD	-10,750.75	
04AUG17	B	2	PD03NOV17	6364.00	6771.03	USD	20,351.50	
04AUG17	B	2	PD03NOV17	6364.50	6771.03	USD	20,326.50	
04AUG17	B	1	PD03NOV17	6366.00	6771.03	USD	10,125.75	
04AUG17	S	4	PD03NOV17	6361.00	6771.03	USD	-41,003.00	
04AUG17	S	2	PD03NOV17	6359.50	6771.03	USD	-20,576.50	
10AUG17	B	5	PD03NOV17	6420.33	6771.03	USD	43,837.50	
10AUG17	B	5	PD03NOV17	6420.13	6771.03	USD	43,862.50	
	NET	0AVG BGHT 6378.23	SOLD 6336.28				-26,220.00	0.00
07AUG17	S	3	PD07NOV17	6346.50	6774.73	USD	-32,117.25	
07AUG17	S	1	PD07NOV17	6344.50	6774.73	USD	-10,755.75	
07AUG17	S	1	PD07NOV17	6345.00	6774.73	USD	-10,743.25	
07AUG17	B	1	PD07NOV17	6368.00	6774.73	USD	10,168.25	
07AUG17	B	2	PD07NOV17	6365.00	6774.73	USD	20,486.50	
07AUG17	B	1	PD07NOV17	6366.50	6774.73	USD	10,205.75	
07AUG17	B	1	PD07NOV17	6367.00	6774.73	USD	10,193.25	
07AUG17	B	2	PD07NOV17	6367.50	6774.73	USD	20,361.50	
07AUG17	B	2	PD07NOV17	6375.00	6774.73	USD	19,986.50	
07AUG17	B	1	PD07NOV17	6376.50	6774.73	USD	9,955.75	
07AUG17	B	1	PD07NOV17	6418.00	6774.73	USD	8,918.25	
07AUG17	B	1	PD07NOV17	6420.00	6774.73	USD	8,868.25	
07AUG17	B	1	PD07NOV17	6417.50	6774.73	USD	8,930.75	
07AUG17	B	1	PD07NOV17	6419.00	6774.73	USD	8,893.25	

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07AUG17	B	1	PD07NOV17	6419.50	6774.73	USD	8,880.75	
07AUG17	S	1	PD07NOV17	6409.00	6774.73	USD	-9,143.25	
07AUG17	S	1	PD07NOV17	6412.50	6774.73	USD	-9,055.75	
07AUG17	S	1	PD07NOV17	6413.00	6774.73	USD	-9,043.25	
07AUG17	S	1	PD07NOV17	6413.50	6774.73	USD	-9,030.75	
07AUG17	S	1	PD07NOV17	6417.00	6774.73	USD	-8,943.25	
10AUG17	S	5	PD07NOV17	6421.57	6774.73	USD	-44,145.00	
	NET	0 AVG	BGHT 6385.80				2,871.25	0.00
			SOLD 6393.46					
08AUG17	S	1	PD08NOV17	6401.50	6775.65	USD	-9,353.75	
08AUG17	B	1	PD08NOV17	6399.50	6775.65	USD	9,403.75	
08AUG17	B	1	PD08NOV17	6401.00	6775.65	USD	9,366.25	
08AUG17	B	3	PD08NOV17	6400.50	6775.65	USD	28,136.25	
08AUG17	B	1	PD08NOV17	6401.50	6775.65	USD	9,353.75	
08AUG17	B	1	PD08NOV17	6437.50	6775.65	USD	8,453.75	
08AUG17	B	1	PD08NOV17	6438.00	6775.65	USD	8,441.25	
08AUG17	B	3	PD08NOV17	6440.00	6775.65	USD	25,173.75	
08AUG17	B	1	PD08NOV17	6477.00	6775.65	USD	7,466.25	
08AUG17	B	1	PD08NOV17	6475.00	6775.65	USD	7,516.25	
08AUG17	B	1	PD08NOV17	6480.00	6775.65	USD	7,391.25	
08AUG17	B	2	PD08NOV17	6474.50	6775.65	USD	15,057.50	
23AUG17	S	15	PD08NOV17	6563.00	6775.65	USD	-79,743.75	
	NET	0 AVG	BGHT 6436.25				46,662.50	0.00
			SOLD 6552.91					
09AUG17	S	2	PD09NOV17	6477.00	6776.58	USD	-14,979.00	
09AUG17	S	1	PD09NOV17	6480.00	6776.58	USD	-7,414.50	
09AUG17	S	1	PD09NOV17	6483.50	6776.58	USD	-7,327.00	
09AUG17	S	1	PD09NOV17	6488.50	6776.58	USD	-7,202.00	
09AUG17	B	1	PD09NOV17	6487.00	6776.58	USD	7,239.50	
09AUG17	B	1	PD09NOV17	6490.00	6776.58	USD	7,164.50	
09AUG17	B	1	PD09NOV17	6492.00	6776.58	USD	7,114.50	
09AUG17	B	3	PD09NOV17	6482.00	6776.58	USD	22,093.50	
09AUG17	S	2	PD09NOV17	6484.50	6776.58	USD	-14,604.00	
09AUG17	S	1	PD09NOV17	6485.50	6776.58	USD	-7,277.00	
09AUG17	S	2	PD09NOV17	6482.50	6776.58	USD	-14,704.00	
09AUG17	S	2	PD09NOV17	6489.50	6776.58	USD	-14,354.00	
09AUG17	S	1	PD09NOV17	6490.00	6776.58	USD	-7,164.50	
09AUG17	B	1	PD09NOV17	6483.50	6776.58	USD	7,327.00	
09AUG17	S	2	PD09NOV17	6483.00	6776.58	USD	-14,679.00	
09AUG17	S	1	PD09NOV17	6477.50	6776.58	USD	-7,477.00	
09AUG17	S	1	PD09NOV17	6482.00	6776.58	USD	-7,364.50	
10AUG17	B	10	PD09NOV17	6422.79	6776.58	USD	88,447.50	
	NET	0 AVG	BGHT 6448.61				14,840.00	0.00
			SOLD 6483.53					
10AUG17	B	2	PD10NOV17	6455.50	6777.50	USD	16,100.00	
10AUG17	B	2	PD10NOV17	6470.50	6777.50	USD	15,350.00	
10AUG17	B	1	PD10NOV17	6476.00	6777.50	USD	7,537.50	
10AUG17	B	1	PD10NOV17	6455.00	6777.50	USD	8,062.50	
10AUG17	S	1	PD10NOV17	6446.00	6777.50	USD	-8,287.50	
10AUG17	S	3	PD10NOV17	6413.50	6777.50	USD	-27,300.00	
10AUG17	S	6	PD10NOV17	6410.00	6777.50	USD	-55,125.00	
10AUG17	S	1	PD10NOV17	6411.50	6777.50	USD	-9,150.00	
10AUG17	B	3	PD10NOV17	6423.00	6777.50	USD	26,587.50	
10AUG17	B	4	PD10NOV17	6427.00	6777.50	USD	35,050.00	
10AUG17	S	2	PD10NOV17	6418.50	6777.50	USD	-17,950.00	
11AUG17	S	1	PD10NOV17	6371.00	6777.50	USD	-10,162.50	
11AUG17	S	1	PD10NOV17	6368.00	6777.50	USD	-10,237.50	
11AUG17	S	1	PD10NOV17	6369.50	6777.50	USD	-10,200.00	
11AUG17	S	1	PD10NOV17	6365.50	6777.50	USD	-10,300.00	

OPEN POSITION STATEMENT



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SAP0025V

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6360.50	6777.50	USD	-10,425.00	
23AUG17	B	5	PD10NOV17	6564.20	6777.50	USD	26,662.50	
	NET	0AVG	BGHT 6476.72				SOLD 6401.64	0.00
14AUG17	S	1	PD14NOV17	6413.00	6776.83	USD	-9,095.75	
14AUG17	S	1	PD14NOV17	6418.00	6776.83	USD	-8,970.75	
14AUG17	S	1	PD14NOV17	6412.50	6776.83	USD	-9,108.25	
14AUG17	S	1	PD14NOV17	6417.00	6776.83	USD	-8,995.75	
14AUG17	S	1	PD14NOV17	6416.00	6776.83	USD	-9,020.75	
14AUG17	B	1	PD14NOV17	6402.00	6776.83	USD	9,370.75	
14AUG17	B	1	PD14NOV17	6404.00	6776.83	USD	9,320.75	
14AUG17	B	1	PD14NOV17	6411.50	6776.83	USD	9,133.25	
14AUG17	B	2	PD14NOV17	6408.50	6776.83	USD	18,416.50	
14AUG17	B	2	PD14NOV17	6407.50	6776.83	USD	18,466.50	
14AUG17	B	1	PD14NOV17	6412.00	6776.83	USD	9,120.75	
14AUG17	B	1	PD14NOV17	6410.50	6776.83	USD	9,158.25	
14AUG17	B	1	PD14NOV17	6408.00	6776.83	USD	9,220.75	
	NET	+5AVG	BGHT 6408.00				SOLD 6415.30	0.00
16AUG17	S	1	PD16NOV17	6364.50	6776.25	USD	-10,293.75	
16AUG17	S	1	PD16NOV17	6365.00	6776.25	USD	-10,281.25	
16AUG17	S	1	PD16NOV17	6366.50	6776.25	USD	-10,243.75	
16AUG17	S	1	PD16NOV17	6370.50	6776.25	USD	-10,143.75	
16AUG17	S	1	PD16NOV17	6371.50	6776.25	USD	-10,118.75	
16AUG17	B	1	PD16NOV17	6385.50	6776.25	USD	9,768.75	
16AUG17	S	1	PD16NOV17	6380.00	6776.25	USD	-9,906.25	
16AUG17	S	1	PD16NOV17	6381.00	6776.25	USD	-9,881.25	
16AUG17	S	1	PD16NOV17	6381.50	6776.25	USD	-9,868.75	
16AUG17	S	2	PD16NOV17	6382.00	6776.25	USD	-19,712.50	
16AUG17	S	1	PD16NOV17	6384.00	6776.25	USD	-9,806.25	
16AUG17	B	2	PD16NOV17	6436.00	6776.25	USD	17,012.50	
16AUG17	B	1	PD16NOV17	6438.50	6776.25	USD	8,443.75	
16AUG17	B	1	PD16NOV17	6434.50	6776.25	USD	8,543.75	
16AUG17	B	1	PD16NOV17	6435.00	6776.25	USD	8,531.25	
16AUG17	B	3	PD16NOV17	6457.00	6776.25	USD	23,943.75	
16AUG17	B	2	PD16NOV17	6453.00	6776.25	USD	16,162.50	
16AUG17	B	3	PD16NOV17	6512.50	6776.25	USD	19,781.25	
16AUG17	B	2	PD16NOV17	6515.00	6776.25	USD	13,062.50	
16AUG17	B	3	PD16NOV17	6550.00	6776.25	USD	16,968.75	
16AUG17	B	2	PD16NOV17	6550.50	6776.25	USD	11,287.50	
	NET	+10AVG	BGHT 6483.86				SOLD 6375.32	0.00
17AUG17	S	2	PD17NOV17	6476.50	6776.78	USD	-15,014.00	
17AUG17	B	1	PD17NOV17	6484.00	6776.78	USD	7,319.50	
17AUG17	B	1	PD17NOV17	6485.00	6776.78	USD	7,294.50	
17AUG17	S	2	PD17NOV17	6487.00	6776.78	USD	-14,489.00	
17AUG17	S	5	PD17NOV17	6490.00	6776.78	USD	-35,847.50	
17AUG17	S	1	PD17NOV17	6490.50	6776.78	USD	-7,157.00	
17AUG17	S	1	PD17NOV17	6489.00	6776.78	USD	-7,194.50	
17AUG17	S	1	PD17NOV17	6493.50	6776.78	USD	-7,082.00	
18AUG17	S	2	PD17NOV17	6495.00	6776.78	USD	-14,089.00	
18AUG17	S	2	PD17NOV17	6496.50	6776.78	USD	-14,014.00	
18AUG17	S	1	PD17NOV17	6498.00	6776.78	USD	-6,969.50	
18AUG17	B	1	PD17NOV17	6498.50	6776.78	USD	6,957.00	
18AUG17	B	1	PD17NOV17	6499.00	6776.78	USD	6,944.50	
18AUG17	B	3	PD17NOV17	6499.50	6776.78	USD	20,796.00	
18AUG17	S	3	PD17NOV17	6470.00	6776.78	USD	-23,008.50	
18AUG17	B	1	PD17NOV17	6470.00	6776.78	USD	7,669.50	
18AUG17	B	2	PD17NOV17	6470.50	6776.78	USD	15,314.00	

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23AUG17	B	10	PD17NOV17	6563.89	6776.78	USD	53,222.50	
	NET	0 AVG BGHT 6525.75	SOLD 6487.05				-19,347.50	0.00
21AUG17	S	1	PD21NOV17	6478.00	6778.92	USD	-7,523.00	
21AUG17	S	1	PD21NOV17	6478.50	6778.92	USD	-7,510.50	
21AUG17	B	1	PD21NOV17	6481.00	6778.92	USD	7,448.00	
21AUG17	S	4	PD21NOV17	6479.00	6778.92	USD	-29,992.00	
21AUG17	B	1	PD21NOV17	6557.50	6778.92	USD	5,535.50	
21AUG17	B	1	PD21NOV17	6559.00	6778.92	USD	5,498.00	
21AUG17	B	2	PD21NOV17	6552.00	6778.92	USD	11,346.00	
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	1	PD21NOV17	6571.00	6778.92	USD	5,198.00	
21AUG17	B	1	PD21NOV17	6571.50	6778.92	USD	5,185.50	
21AUG17	B	1	PD21NOV17	6572.50	6778.92	USD	5,160.50	
21AUG17	B	2	PD21NOV17	6576.00	6778.92	USD	10,146.00	
21AUG17	B	5	PD21NOV17	6591.50	6778.92	USD	23,427.50	
	NET	+10 AVG BGHT 6567.75	SOLD 6478.75				39,442.50	0.00
22AUG17	S	2	PD22NOV17	6589.00	6779.45	USD	-9,522.50	
22AUG17	B	2	PD22NOV17	6597.00	6779.45	USD	9,122.50	
22AUG17	B	2	PD22NOV17	6623.00	6779.45	USD	7,822.50	
22AUG17	B	1	PD22NOV17	6624.00	6779.45	USD	3,886.25	
22AUG17	B	1	PD22NOV17	6625.50	6779.45	USD	3,848.75	
22AUG17	B	1	PD22NOV17	6625.00	6779.45	USD	3,861.25	
22AUG17	B	3	PD22NOV17	6642.00	6779.45	USD	10,308.75	
22AUG17	B	2	PD22NOV17	6637.00	6779.45	USD	7,122.50	
22AUG17	B	5	PD22NOV17	6579.50	6779.45	USD	24,993.75	
22AUG17	B	1	PD22NOV17	6584.50	6779.45	USD	4,873.75	
22AUG17	B	4	PD22NOV17	6585.00	6779.45	USD	19,445.00	
22AUG17	B	5	PD22NOV17	6581.50	6779.45	USD	24,743.75	
	NET	+25 AVG BGHT 6601.63	SOLD 6589.00				110,506.25	0.00
23AUG17	S	2	PD24NOV17	6582.00	6780.52	USD	-9,926.00	
23AUG17	S	2	PD24NOV17	6583.00	6780.52	USD	-9,876.00	
23AUG17	S	1	PD24NOV17	6586.00	6780.52	USD	-4,863.00	
23AUG17	B	2	PD24NOV17	6551.00	6780.52	USD	11,476.00	
23AUG17	B	3	PD24NOV17	6569.50	6780.52	USD	15,826.50	
24AUG17	S	2	PD24NOV17	6711.50	6780.52	USD	-3,451.00	
24AUG17	S	3	PD24NOV17	6705.00	6780.52	USD	-5,664.00	
25AUG17	S	2	PD24NOV17	6714.00	6780.52	USD	-3,326.00	
25AUG17	S	2	PD24NOV17	6714.50	6780.52	USD	-3,301.00	
25AUG17	S	1	PD24NOV17	6702.50	6780.52	USD	-1,950.50	
	NET	-10 AVG BGHT 6562.10	SOLD 6667.57				-15,055.00	0.00
29AUG17	S	2	PD29NOV17	6782.00	6783.18	USD	-59.00	
29AUG17	S	1	PD29NOV17	6778.50	6783.18	USD	-117.00	
29AUG17	S	2	PD29NOV17	6765.50	6783.18	USD	-884.00	
29AUG17	S	2	PD29NOV17	6766.50	6783.18	USD	-834.00	
29AUG17	S	1	PD29NOV17	6771.00	6783.18	USD	-304.50	
29AUG17	S	1	PD29NOV17	6779.50	6783.18	USD	-92.00	
29AUG17	S	1	PD29NOV17	6780.50	6783.18	USD	-67.00	
29AUG17	S	3	PD29NOV17	6790.00	6783.18	USD	511.50	
29AUG17	S	2	PD29NOV17	6775.00	6783.18	USD	-409.00	
29AUG17	S	1	PD29NOV17	6778.00	6783.18	USD	-129.50	
29AUG17	S	3	PD29NOV17	6779.00	6783.18	USD	-313.50	
29AUG17	S	1	PD29NOV17	6782.50	6783.18	USD	-17.00	
29AUG17	S	1	PD29NOV17	6792.50	6783.18	USD	233.00	
29AUG17	B	3	PD29NOV17	6806.50	6783.18	USD	-1,749.00	
29AUG17	S	5	PD29NOV17	6806.00	6783.18	USD	2,852.50	
29AUG17	S	2	PD29NOV17	6808.00	6783.18	USD	1,241.00	

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29AUG17	B	4	PD29NOV17	6809.00	6783.18	USD	-2,582.00	
29AUG17	B	2	PD29NOV17	6805.00	6783.18	USD	-1,091.00	
29AUG17	S	10	PD29NOV17	6805.00	6783.18	USD	5,455.00	
29AUG17	S	2	PD29NOV17	6801.50	6783.18	USD	916.00	
29AUG17	B	2	PD29NOV17	6776.50	6783.18	USD	334.00	
29AUG17	B	1	PD29NOV17	6790.00	6783.18	USD	-170.50	
29AUG17	B	5	PD29NOV17	6815.00	6783.18	USD	-3,977.50	
29AUG17	S	7	PD29NOV17	6809.00	6783.18	USD	4,518.50	
29AUG17	B	1	PD29NOV17	6823.00	6783.18	USD	-995.50	
29AUG17	B	2	PD29NOV17	6810.50	6783.18	USD	-1,366.00	
29AUG17	B	2	PD29NOV17	6797.50	6783.18	USD	-716.00	
29AUG17	B	1	PD29NOV17	6800.50	6783.18	USD	-433.00	
29AUG17	B	9	PD29NOV17	6810.00	6783.18	USD	-6,034.50	
29AUG17	B	3	PD29NOV17	6785.50	6783.18	USD	-174.00	
29AUG17	B	2	PD29NOV17	6798.50	6783.18	USD	-766.00	
	NET	-10 AVG	BGHT 6804.50	SOLD 6793.82			-7,220.00	0.00
30AUG17	B	5	PD30NOV17	6815.00	6783.72	USD	-3,910.00	
30AUG17	B	2	PD30NOV17	6816.00	6783.72	USD	-1,614.00	
30AUG17	B	1	PD30NOV17	6819.50	6783.72	USD	-894.50	
30AUG17	B	2	PD30NOV17	6820.00	6783.72	USD	-1,814.00	
30AUG17	B	3	PD30NOV17	6822.00	6783.72	USD	-2,871.00	
30AUG17	B	2	PD30NOV17	6823.00	6783.72	USD	-1,964.00	
30AUG17	S	5	PD30NOV17	6786.00	6783.72	USD	285.00	
30AUG17	S	5	PD30NOV17	6780.50	6783.72	USD	-402.50	
30AUG17	S	5	PD30NOV17	6786.50	6783.72	USD	347.50	
31AUG17	B	2	PD30NOV17	6804.50	6783.72	USD	-1,039.00	
31AUG17	B	2	PD30NOV17	6806.50	6783.72	USD	-1,139.00	
31AUG17	S	2	PD30NOV17	6801.00	6783.72	USD	864.00	
31AUG17	S	2	PD30NOV17	6802.50	6783.72	USD	939.00	
31AUG17	B	1	PD30NOV17	6835.50	6783.72	USD	-1,294.50	
31AUG17	B	1	PD30NOV17	6837.50	6783.72	USD	-1,344.50	
31AUG17	B	1	PD30NOV17	6826.00	6783.72	USD	-1,057.00	
31AUG17	B	1	PD30NOV17	6832.00	6783.72	USD	-1,207.00	
31AUG17	B	1	PD30NOV17	6833.00	6783.72	USD	-1,232.00	
31AUG17	B	1	PD30NOV17	6833.50	6783.72	USD	-1,244.50	
31AUG17	B	2	PD30NOV17	6834.00	6783.72	USD	-2,514.00	
31AUG17	B	1	PD30NOV17	6835.00	6783.72	USD	-1,282.00	
31AUG17	B	1	PD30NOV17	6840.50	6783.72	USD	-1,419.50	
31AUG17	S	1	PD30NOV17	6787.50	6783.72	USD	94.50	
31AUG17	S	4	PD30NOV17	6795.50	6783.72	USD	1,178.00	
31AUG17	B	5	PD30NOV17	6788.00	6783.72	USD	-535.00	
31AUG17	B	4	PD30NOV17	6788.50	6783.72	USD	-478.00	
31AUG17	S	1	PD30NOV17	6788.00	6783.72	USD	107.00	
31AUG17	B	2	PD30NOV17	6795.00	6783.72	USD	-564.00	
	NET	+15 AVG	BGHT 6813.14	SOLD 6789.18			-26,005.00	0.00
04SEP17	S	1	PD04DEC17	6883.00	6785.75	USD	2,431.25	
04SEP17	B	1	PD04DEC17	6870.00	6785.75	USD	-2,106.25	
04SEP17	S	9	PD04DEC17	6882.00	6785.75	USD	21,656.25	
04SEP17	S	1	PD04DEC17	6882.50	6785.75	USD	2,418.75	
04SEP17	S	5	PD04DEC17	6886.00	6785.75	USD	12,531.25	
04SEP17	B	2	PD04DEC17	6888.00	6785.75	USD	-5,112.50	
04SEP17	S	2	PD04DEC17	6886.50	6785.75	USD	5,037.50	
04SEP17	S	3	PD04DEC17	6908.00	6785.75	USD	9,168.75	
04SEP17	S	2	PD04DEC17	6889.50	6785.75	USD	5,187.50	
04SEP17	B	2	PD04DEC17	6889.50	6785.75	USD	-5,187.50	
04SEP17	B	3	PD04DEC17	6890.00	6785.75	USD	-7,818.75	
04SEP17	B	2	PD04DEC17	6911.50	6785.75	USD	-6,287.50	

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04SEP17	B	3	PD04DEC17	6914.00	6785.75	USD	-9,618.75	
04SEP17	B	5	PD04DEC17	6915.00	6785.75	USD	-16,156.25	
04SEP17	B	5	PD04DEC17	6916.00	6785.75	USD	-16,281.25	
	NET	0 AVG BGHT 6905.00	SOLD 6887.37				-10,137.50	0.00
05SEP17	B	1	PD05DEC17	6938.50	6786.25	USD	-3,806.25	
05SEP17	S	1	PD05DEC17	6940.50	6786.25	USD	3,856.25	
05SEP17	B	1	PD05DEC17	6964.50	6786.25	USD	-4,456.25	
05SEP17	B	4	PD05DEC17	6965.50	6786.25	USD	-17,925.00	
05SEP17	B	1	PD05DEC17	6937.00	6786.25	USD	-3,768.75	
05SEP17	S	1	PD05DEC17	6938.50	6786.25	USD	3,806.25	
	NET	+5 AVG BGHT 6957.43	SOLD 6939.50				-22,293.75	0.00
06SEP17	S	6	PD06DEC17	6887.00	6786.75	USD	15,037.50	
06SEP17	S	4	PD06DEC17	6888.00	6786.75	USD	10,125.00	
06SEP17	S	2	PD06DEC17	6895.00	6786.75	USD	5,412.50	
06SEP17	B	1	PD06DEC17	6906.00	6786.75	USD	-2,981.25	
06SEP17	B	8	PD06DEC17	6893.00	6786.75	USD	-21,250.00	
06SEP17	B	4	PD06DEC17	6894.00	6786.75	USD	-10,725.00	
06SEP17	B	5	PD06DEC17	6892.00	6786.75	USD	-13,156.25	
06SEP17	B	4	PD06DEC17	6904.00	6786.75	USD	-11,725.00	
06SEP17	B	5	PD06DEC17	6886.50	6786.75	USD	-12,468.75	
06SEP17	S	10	PD06DEC17	6885.50	6786.75	USD	24,687.50	
06SEP17	S	10	PD06DEC17	6886.50	6786.75	USD	24,937.50	
06SEP17	S	2	PD06DEC17	6870.00	6786.75	USD	4,162.50	
06SEP17	S	3	PD06DEC17	6878.00	6786.75	USD	6,843.75	
06SEP17	S	7	PD06DEC17	6885.00	6786.75	USD	17,193.75	
06SEP17	S	2	PD06DEC17	6897.00	6786.75	USD	5,512.50	
06SEP17	S	1	PD06DEC17	6889.00	6786.75	USD	2,556.25	
06SEP17	S	1	PD06DEC17	6898.50	6786.75	USD	2,793.75	
06SEP17	S	4	PD06DEC17	6900.00	6786.75	USD	11,325.00	
06SEP17	B	2	PD06DEC17	6917.00	6786.75	USD	-6,512.50	
06SEP17	S	1	PD06DEC17	6916.00	6786.75	USD	3,231.25	
06SEP17	S	5	PD06DEC17	6923.00	6786.75	USD	17,031.25	
06SEP17	S	1	PD06DEC17	6914.00	6786.75	USD	3,181.25	
	NET	-30 AVG BGHT 6895.47	SOLD 6891.18				75,212.50	0.00
07SEP17	B	2	PD07DEC17	6912.00	6787.23	USD	-6,238.50	
07SEP17	B	2	PD07DEC17	6919.00	6787.23	USD	-6,588.50	
07SEP17	B	3	PD07DEC17	6920.00	6787.23	USD	-9,957.75	
07SEP17	B	3	PD07DEC17	6922.00	6787.23	USD	-10,107.75	
07SEP17	B	5	PD07DEC17	6923.00	6787.23	USD	-16,971.25	
07SEP17	S	1	PD07DEC17	6882.50	6787.23	USD	2,381.75	
07SEP17	S	2	PD07DEC17	6890.00	6787.23	USD	5,138.50	
07SEP17	S	7	PD07DEC17	6898.00	6787.23	USD	19,384.75	
07SEP17	S	2	PD07DEC17	6901.00	6787.23	USD	5,688.50	
07SEP17	S	1	PD07DEC17	6902.00	6787.23	USD	2,869.25	
07SEP17	S	2	PD07DEC17	6904.00	6787.23	USD	5,838.50	
07SEP17	S	5	PD07DEC17	6859.00	6787.23	USD	8,971.25	
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	S	5	PD07DEC17	6869.00	6787.23	USD	10,221.25	
07SEP17	S	2	PD07DEC17	6872.00	6787.23	USD	4,238.50	
07SEP17	S	1	PD07DEC17	6875.00	6787.23	USD	2,194.25	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	5	PD07DEC17	6860.00	6787.23	USD	9,096.25	
07SEP17	B	1	PD07DEC17	6864.00	6787.23	USD	-1,919.25	
07SEP17	B	2	PD07DEC17	6864.50	6787.23	USD	-3,863.50	
07SEP17	B	1	PD07DEC17	6865.00	6787.23	USD	-1,944.25	
07SEP17	B	1	PD07DEC17	6873.00	6787.23	USD	-2,144.25	

OPEN POSITION STATEMENT



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SAP0025V

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	1	PD07DEC17	6862.50	6787.23	USD	-1,881.75	
07SEP17	S	1	PD07DEC17	6873.00	6787.23	USD	2,144.25	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	
07SEP17	S	2	PD07DEC17	6878.50	6787.23	USD	4,563.50	
07SEP17	S	1	PD07DEC17	6884.00	6787.23	USD	2,419.25	
	NET	-20 AVG BGHT 6904.60	SOLD 6878.89				32,335.00	0.00
08SEP17	B	2	PD08DEC17	6928.00	6787.71	USD	-7,014.50	
08SEP17	B	3	PD08DEC17	6929.00	6787.71	USD	-10,596.75	
08SEP17	S	5	PD08DEC17	6843.00	6787.71	USD	6,911.25	
08SEP17	S	1	PD08DEC17	6828.00	6787.71	USD	1,007.25	
08SEP17	S	2	PD08DEC17	6832.00	6787.71	USD	2,214.50	
08SEP17	S	2	PD08DEC17	6837.50	6787.71	USD	2,489.50	
08SEP17	B	2	PD08DEC17	6794.00	6787.71	USD	-314.50	
08SEP17	B	1	PD08DEC17	6794.50	6787.71	USD	-169.75	
08SEP17	B	2	PD08DEC17	6795.50	6787.71	USD	-389.50	
08SEP17	B	5	PD08DEC17	6785.00	6787.71	USD	338.75	
08SEP17	S	5	PD08DEC17	6785.00	6787.71	USD	-338.75	
	NET	0 AVG BGHT 6836.10	SOLD 6820.47				-5,862.50	0.00
11SEP17	B	2	PD11DEC17	6722.00	6789.15	USD	3,357.50	
11SEP17	B	2	PD11DEC17	6724.00	6789.15	USD	3,257.50	
11SEP17	B	1	PD11DEC17	6724.50	6789.15	USD	1,616.25	
11SEP17	B	2	PD11DEC17	6729.00	6789.15	USD	3,007.50	
11SEP17	B	2	PD11DEC17	6733.50	6789.15	USD	2,782.50	
11SEP17	B	3	PD11DEC17	6734.00	6789.15	USD	4,136.25	
11SEP17	S	2	PD11DEC17	6724.50	6789.15	USD	-3,232.50	
11SEP17	B	2	PD11DEC17	6759.00	6789.15	USD	1,507.50	
11SEP17	S	2	PD11DEC17	6758.00	6789.15	USD	-1,557.50	
11SEP17	B	2	PD11DEC17	6703.00	6789.15	USD	4,307.50	
11SEP17	B	3	PD11DEC17	6703.50	6789.15	USD	6,423.75	
11SEP17	B	5	PD11DEC17	6750.00	6789.15	USD	4,893.75	
11SEP17	B	7	PD11DEC17	6747.00	6789.15	USD	7,376.25	
11SEP17	S	2	PD11DEC17	6737.50	6789.15	USD	-2,582.50	
11SEP17	S	2	PD11DEC17	6746.00	6789.15	USD	-2,157.50	
11SEP17	B	2	PD11DEC17	6744.00	6789.15	USD	2,257.50	
	NET	+25 AVG BGHT 6734.70	SOLD 6741.50				35,393.75	0.00
12SEP17	S	1	PD12DEC17	6708.00	6789.63	USD	-2,040.75	
12SEP17	S	2	PD12DEC17	6710.00	6789.63	USD	-3,981.50	
12SEP17	S	2	PD12DEC17	6712.00	6789.63	USD	-3,881.50	
12SEP17	S	3	PD12DEC17	6700.00	6789.63	USD	-6,722.25	
12SEP17	S	2	PD12DEC17	6701.00	6789.63	USD	-4,431.50	
12SEP17	B	5	PD12DEC17	6715.00	6789.63	USD	9,328.75	
12SEP17	B	1	PD12DEC17	6676.00	6789.63	USD	2,840.75	
12SEP17	B	1	PD12DEC17	6675.50	6789.63	USD	2,853.25	
12SEP17	B	3	PD12DEC17	6678.00	6789.63	USD	8,372.25	
12SEP17	S	1	PD12DEC17	6646.00	6789.63	USD	-3,590.75	
12SEP17	S	1	PD12DEC17	6647.00	6789.63	USD	-3,565.75	
12SEP17	S	1	PD12DEC17	6648.50	6789.63	USD	-3,528.25	
12SEP17	B	2	PD12DEC17	6651.00	6789.63	USD	6,931.50	
12SEP17	B	5	PD12DEC17	6655.00	6789.63	USD	16,828.75	
12SEP17	S	2	PD12DEC17	6642.00	6789.63	USD	-7,381.50	
12SEP17	S	1	PD12DEC17	6663.00	6789.63	USD	-3,165.75	
12SEP17	S	1	PD12DEC17	6659.00	6789.63	USD	-3,265.75	
12SEP17	S	6	PD12DEC17	6661.00	6789.63	USD	-19,294.50	
12SEP17	S	15	PD12DEC17	6650.00	6789.63	USD	-52,361.25	
12SEP17	S	4	PD12DEC17	6655.00	6789.63	USD	-13,463.00	
	NET	-25 AVG BGHT 6678.68	SOLD 6665.18				-83,518.75	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	4	PD13DEC17	6625.00	6790.10	USD	16,510.00	
13SEP17	B	1	PD13DEC17	6625.50	6790.10	USD	4,115.00	
13SEP17	B	2	PD13DEC17	6626.00	6790.10	USD	8,205.00	
13SEP17	B	2	PD13DEC17	6615.00	6790.10	USD	8,755.00	
13SEP17	B	2	PD13DEC17	6620.50	6790.10	USD	8,480.00	
13SEP17	B	3	PD13DEC17	6623.00	6790.10	USD	12,532.50	
13SEP17	B	3	PD13DEC17	6611.00	6790.10	USD	13,432.50	
13SEP17	B	3	PD13DEC17	6614.00	6790.10	USD	13,207.50	
13SEP17	B	2	PD13DEC17	6587.00	6790.10	USD	10,155.00	
13SEP17	B	2	PD13DEC17	6591.00	6790.10	USD	9,955.00	
13SEP17	B	1	PD13DEC17	6594.00	6790.10	USD	4,902.50	
13SEP17	B	5	PD13DEC17	6595.50	6790.10	USD	24,325.00	
13SEP17	B	1	PD13DEC17	6588.00	6790.10	USD	5,052.50	
13SEP17	B	2	PD13DEC17	6589.00	6790.10	USD	10,055.00	
13SEP17	B	7	PD13DEC17	6590.50	6790.10	USD	34,930.00	
13SEP17	B	5	PD13DEC17	6570.00	6790.10	USD	27,512.50	
	NET	+45 AVG BGHT 6601.54					212,125.00	0.00
14SEP17	B	3	PD14DEC17	6550.00	6790.58	USD	18,043.50	
14SEP17	S	3	PD14DEC17	6550.00	6790.58	USD	-18,043.50	
14SEP17	B	2	PD14DEC17	6507.50	6790.58	USD	14,154.00	
14SEP17	B	1	PD14DEC17	6483.50	6790.58	USD	7,677.00	
14SEP17	B	1	PD14DEC17	6488.00	6790.58	USD	7,564.50	
14SEP17	B	1	PD14DEC17	6490.50	6790.58	USD	7,502.00	
14SEP17	B	2	PD14DEC17	6491.00	6790.58	USD	14,979.00	
14SEP17	B	2	PD14DEC17	6492.00	6790.58	USD	14,929.00	
14SEP17	B	1	PD14DEC17	6492.50	6790.58	USD	7,452.00	
14SEP17	S	1	PD14DEC17	6500.50	6790.58	USD	-7,252.00	
14SEP17	S	2	PD14DEC17	6498.00	6790.58	USD	-14,629.00	
14SEP17	S	2	PD14DEC17	6506.50	6790.58	USD	-14,204.00	
14SEP17	S	8	PD14DEC17	6505.00	6790.58	USD	-57,116.00	
14SEP17	S	7	PD14DEC17	6500.00	6790.58	USD	-50,851.50	
	NET	-10 AVG BGHT 6506.58 SOLD 6508.67					-69,795.00	0.00
15SEP17	B	1	PD15DEC17	6508.50	6791.06	USD	7,064.00	
15SEP17	S	3	PD15DEC17	6500.50	6791.06	USD	-21,792.00	
15SEP17	S	1	PD15DEC17	6501.00	6791.06	USD	-7,251.50	
15SEP17	S	3	PD15DEC17	6502.50	6791.06	USD	-21,642.00	
15SEP17	S	1	PD15DEC17	6511.00	6791.06	USD	-7,001.50	
15SEP17	S	1	PD15DEC17	6515.00	6791.06	USD	-6,901.50	
15SEP17	S	1	PD15DEC17	6530.00	6791.06	USD	-6,526.50	
15SEP17	S	4	PD15DEC17	6532.00	6791.06	USD	-25,906.00	
15SEP17	S	4	PD15DEC17	6533.00	6791.06	USD	-25,806.00	
15SEP17	S	5	PD15DEC17	6534.00	6791.06	USD	-32,132.50	
15SEP17	S	5	PD15DEC17	6519.00	6791.06	USD	-34,007.50	
15SEP17	S	6	PD15DEC17	6507.50	6791.06	USD	-42,534.00	
15SEP17	S	1	PD15DEC17	6504.00	6791.06	USD	-7,176.50	
15SEP17	S	1	PD15DEC17	6505.00	6791.06	USD	-7,151.50	
	NET	-35 AVG BGHT 6508.50 SOLD 6517.92					-238,765.00	0.00
18SEP17	B	1	PD18DEC17	6536.00	6792.50	USD	6,412.50	
18SEP17	B	2	PD18DEC17	6537.00	6792.50	USD	12,775.00	
18SEP17	B	2	PD18DEC17	6538.00	6792.50	USD	12,725.00	
18SEP17	S	2	PD18DEC17	6539.00	6792.50	USD	-12,675.00	
18SEP17	S	3	PD18DEC17	6541.00	6792.50	USD	-18,862.50	
	NET	0 AVG BGHT 6537.20 SOLD 6540.20					375.00	0.00
19SEP17	B	1	PD19DEC17	6540.00	6792.50	USD	6,312.50	
19SEP17	B	2	PD19DEC17	6543.00	6792.50	USD	12,475.00	
19SEP17	B	2	PD19DEC17	6544.50	6792.50	USD	12,400.00	

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19SEP17	B	2	PD19DEC17	6540.50	6792.50	USD	12,600.00	
19SEP17	B	1	PD19DEC17	6547.50	6792.50	USD	6,125.00	
19SEP17	B	3	PD19DEC17	6545.00	6792.50	USD	18,562.50	
19SEP17	B	1	PD19DEC17	6550.00	6792.50	USD	6,062.50	
19SEP17	B	1	PD19DEC17	6539.50	6792.50	USD	6,325.00	
19SEP17	B	1	PD19DEC17	6541.00	6792.50	USD	6,287.50	
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
19SEP17	B	1	PD19DEC17	6532.00	6792.50	USD	6,512.50	
19SEP17	B	1	PD19DEC17	6531.00	6792.50	USD	6,537.50	
19SEP17	B	1	PD19DEC17	6534.00	6792.50	USD	6,462.50	
19SEP17	B	2	PD19DEC17	6535.00	6792.50	USD	12,875.00	
19SEP17	B	3	PD19DEC17	6525.50	6792.50	USD	20,025.00	
19SEP17	B	1	PD19DEC17	6526.50	6792.50	USD	6,650.00	
19SEP17	B	2	PD19DEC17	6519.00	6792.50	USD	13,675.00	
19SEP17	B	1	PD19DEC17	6515.50	6792.50	USD	6,925.00	
19SEP17	B	4	PD19DEC17	6515.00	6792.50	USD	27,750.00	
19SEP17	B	3	PD19DEC17	6516.00	6792.50	USD	20,737.50	
19SEP17	S	1	PD19DEC17	6507.50	6792.50	USD	-7,125.00	
19SEP17	B	2	PD19DEC17	6517.00	6792.50	USD	13,775.00	
19SEP17	B	5	PD19DEC17	6527.50	6792.50	USD	33,125.00	
	NET	+40 AVG BGHT 6530.59	SOLD 6507.50				261,337.50	0.00
20SEP17	S	2	PD20DEC17	6560.00	6792.50	USD	-11,625.00	
20SEP17	S	2	PD20DEC17	6561.00	6792.50	USD	-11,575.00	
20SEP17	S	3	PD20DEC17	6562.00	6792.50	USD	-17,287.50	
20SEP17	S	3	PD20DEC17	6562.50	6792.50	USD	-17,250.00	
20SEP17	S	5	PD20DEC17	6515.00	6792.50	USD	-34,687.50	
20SEP17	S	5	PD20DEC17	6516.00	6792.50	USD	-34,562.50	
20SEP17	S	5	PD20DEC17	6517.50	6792.50	USD	-34,375.00	
	NET	-25 AVG SOLD 6534.32					-161,362.50	0.00
21SEP17	S	5	PD21DEC17	6480.00	6791.50	USD	-38,937.50	
21SEP17	S	5	PD21DEC17	6455.00	6791.50	USD	-42,062.50	
21SEP17	S	5	PD21DEC17	6470.00	6791.50	USD	-40,187.50	
21SEP17	B	3	PD21DEC17	6445.50	6791.50	USD	25,950.00	
21SEP17	B	2	PD21DEC17	6442.50	6791.50	USD	17,450.00	
21SEP17	B	5	PD21DEC17	6480.50	6791.50	USD	38,875.00	
21SEP17	B	5	PD21DEC17	6467.00	6791.50	USD	40,562.50	
21SEP17	B	1	PD21DEC17	6479.50	6791.50	USD	7,800.00	
21SEP17	B	4	PD21DEC17	6480.00	6791.50	USD	31,150.00	
21SEP17	B	5	PD21DEC17	6470.00	6791.50	USD	40,187.50	
21SEP17	B	5	PD21DEC17	6430.00	6791.50	USD	45,187.50	
21SEP17	B	5	PD21DEC17	6451.00	6791.50	USD	42,562.50	
21SEP17	B	5	PD21DEC17	6459.00	6791.50	USD	41,562.50	
21SEP17	B	5	PD21DEC17	6454.00	6791.50	USD	42,187.50	
21SEP17	B	5	PD21DEC17	6450.00	6791.50	USD	42,687.50	
21SEP17	B	5	PD21DEC17	6435.00	6791.50	USD	44,562.50	
	NET	+40 AVG BGHT 6456.43	SOLD 6468.33				339,537.50	0.00
22SEP17	B	5	PD22DEC17	6422.50	6790.50	USD	46,000.00	
22SEP17	S	5	PD22DEC17	6400.50	6790.50	USD	-48,750.00	
	NET	0 AVG BGHT 6422.50	SOLD 6400.50				-2,750.00	0.00
25SEP17	S	2	PD27DEC17	6450.00	6793.00	USD	-17,150.00	
25SEP17	S	2	PD27DEC17	6452.00	6793.00	USD	-17,050.00	
25SEP17	S	1	PD27DEC17	6456.00	6793.00	USD	-8,425.00	
25SEP17	S	1	PD27DEC17	6455.50	6793.00	USD	-8,437.50	
25SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
25SEP17	S	1	PD27DEC17	6460.50	6793.00	USD	-8,312.50	
25SEP17	S	1	PD27DEC17	6467.00	6793.00	USD	-8,150.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	6462.50	6793.00	USD	-8,262.50	
26SEP17	B	1	PD27DEC17	6479.50	6793.00	USD	7,837.50	
26SEP17	B	1	PD27DEC17	6481.50	6793.00	USD	7,787.50	
26SEP17	B	2	PD27DEC17	6482.00	6793.00	USD	15,550.00	
26SEP17	S	1	PD27DEC17	6481.00	6793.00	USD	-7,800.00	
26SEP17	S	2	PD27DEC17	6502.50	6793.00	USD	-14,525.00	
26SEP17	S	2	PD27DEC17	6503.50	6793.00	USD	-14,475.00	
26SEP17	S	1	PD27DEC17	6504.50	6793.00	USD	-7,212.50	
26SEP17	S	5	PD27DEC17	6520.00	6793.00	USD	-34,125.00	
26SEP17	B	2	PD27DEC17	6480.00	6793.00	USD	15,650.00	
26SEP17	S	5	PD27DEC17	6410.00	6793.00	USD	-47,875.00	
26SEP17	S	5	PD27DEC17	6419.50	6793.00	USD	-46,687.50	
26SEP17	B	3	PD27DEC17	6416.00	6793.00	USD	28,275.00	
26SEP17	B	2	PD27DEC17	6417.00	6793.00	USD	18,800.00	
26SEP17	S	5	PD27DEC17	6433.00	6793.00	USD	-45,000.00	
27SEP17	S	5	PD27DEC17	6427.50	6793.00	USD	-45,687.50	
27SEP17	B	5	PD27DEC17	6472.00	6793.00	USD	40,125.00	
27SEP17	B	10	PD27DEC17	6440.00	6793.00	USD	88,250.00	
27SEP17	B	2	PD27DEC17	6444.00	6793.00	USD	17,450.00	
27SEP17	B	3	PD27DEC17	6445.00	6793.00	USD	26,100.00	
	NET	-10 AVG BGHT 6450.00	SOLD 6453.98				-81,675.00	0.00
28SEP17	S	5	PD28DEC17	6400.00	6793.47	USD	-49,183.75	
28SEP17	B	3	PD28DEC17	6453.00	6793.47	USD	25,535.25	
28SEP17	B	5	PD28DEC17	6467.00	6793.47	USD	40,808.75	
28SEP17	B	5	PD28DEC17	6470.00	6793.47	USD	40,433.75	
28SEP17	B	2	PD28DEC17	6454.00	6793.47	USD	16,973.50	
28SEP17	B	2	PD28DEC17	6523.00	6793.47	USD	13,523.50	
28SEP17	B	2	PD28DEC17	6528.00	6793.47	USD	13,273.50	
28SEP17	B	1	PD28DEC17	6561.00	6793.47	USD	5,811.75	
28SEP17	S	2	PD28DEC17	6564.50	6793.47	USD	-11,448.50	
28SEP17	S	3	PD28DEC17	6563.50	6793.47	USD	-17,247.75	
	NET	+10 AVG BGHT 6480.75	SOLD 6481.95				78,480.00	0.00
29SEP17	B	2	PD29DEC17	6537.50	6793.93	USD	12,821.50	
29SEP17	B	2	PD29DEC17	6540.50	6793.93	USD	12,671.50	
29SEP17	B	1	PD29DEC17	6551.50	6793.93	USD	6,060.75	
29SEP17	S	5	PD29DEC17	6534.00	6793.93	USD	-32,491.25	
29SEP17	S	5	PD29DEC17	6528.00	6793.93	USD	-33,241.25	
29SEP17	S	5	PD29DEC17	6534.50	6793.93	USD	-32,428.75	
29SEP17	B	5	PD29DEC17	6560.00	6793.93	USD	29,241.25	
29SEP17	S	1	PD29DEC17	6503.00	6793.93	USD	-7,273.25	
29SEP17	B	1	PD29DEC17	6502.50	6793.93	USD	7,285.75	
	NET	-5 AVG BGHT 6546.36	SOLD 6530.34				-37,353.75	0.00
03OCT17	B	3	PD03JAN18	6510.00	6796.27	USD	21,470.25	
03OCT17	B	2	PD03JAN18	6522.00	6796.27	USD	13,713.50	
03OCT17	S	2	PD03JAN18	6519.50	6796.27	USD	-13,838.50	
03OCT17	S	3	PD03JAN18	6506.50	6796.27	USD	-21,732.75	
	NET	0 AVG BGHT 6514.80	SOLD 6511.70				-387.50	0.00
04OCT17	S	5	PD04JAN18	6515.00	6796.73	USD	-35,216.25	
04OCT17	S	2	PD04JAN18	6508.50	6796.73	USD	-14,411.50	
04OCT17	S	3	PD04JAN18	6510.50	6796.73	USD	-21,467.25	
	NET	-10 AVG SOLD 6512.35					-71,095.00	0.00
05OCT17	B	3	PD05JAN18	6557.50	6797.20	USD	17,977.50	
05OCT17	B	2	PD05JAN18	6558.50	6797.20	USD	11,935.00	
05OCT17	B	3	PD05JAN18	6562.00	6797.20	USD	17,640.00	
05OCT17	B	2	PD05JAN18	6559.50	6797.20	USD	11,885.00	
05OCT17	B	5	PD05JAN18	6550.00	6797.20	USD	30,900.00	

OPEN POSITION STATEMENT



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SAP0025V

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Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	5	PD05JAN18	6646.00	6797.20	USD	18,900.00	
05OCT17	B	5	PD05JAN18	6674.50	6797.20	USD	15,337.50	
05OCT17	B	5	PD05JAN18	6680.00	6797.20	USD	14,650.00	
05OCT17	S	4	PD05JAN18	6677.00	6797.20	USD	-12,020.00	
05OCT17	S	1	PD05JAN18	6680.00	6797.20	USD	-2,930.00	
05OCT17	B	1	PD05JAN18	6696.00	6797.20	USD	2,530.00	
05OCT17	B	4	PD05JAN18	6701.00	6797.20	USD	9,620.00	
06OCT17	B	5	PD05JAN18	6684.00	6797.20	USD	14,150.00	
06OCT17	S	2	PD05JAN18	6655.50	6797.20	USD	-7,085.00	
06OCT17	S	3	PD05JAN18	6656.00	6797.20	USD	-10,590.00	
06OCT17	S	2	PD05JAN18	6663.50	6797.20	USD	-6,685.00	
06OCT17	S	1	PD05JAN18	6664.00	6797.20	USD	-3,330.00	
06OCT17	S	2	PD05JAN18	6665.00	6797.20	USD	-6,610.00	
	NET	+25 AVG BGHT 6631.68	SOLD 6665.87				116,275.00	0.00
09OCT17	S	2	PD09JAN18	6670.50	6799.07	USD	-6,428.50	
09OCT17	S	1	PD09JAN18	6677.50	6799.07	USD	-3,039.25	
09OCT17	S	2	PD09JAN18	6679.00	6799.07	USD	-6,003.50	
09OCT17	S	2	PD09JAN18	6665.50	6799.07	USD	-6,678.50	
09OCT17	S	2	PD09JAN18	6667.00	6799.07	USD	-6,603.50	
09OCT17	S	7	PD09JAN18	6680.00	6799.07	USD	-20,837.25	
09OCT17	S	3	PD09JAN18	6668.50	6799.07	USD	-9,792.75	
09OCT17	S	7	PD09JAN18	6677.00	6799.07	USD	-21,362.25	
09OCT17	S	4	PD09JAN18	6678.00	6799.07	USD	-12,107.00	
09OCT17	S	5	PD09JAN18	6673.00	6799.07	USD	-15,758.75	
09OCT17	S	2	PD09JAN18	6658.50	6799.07	USD	-7,028.50	
09OCT17	S	5	PD09JAN18	6655.00	6799.07	USD	-18,008.75	
09OCT17	S	1	PD09JAN18	6665.00	6799.07	USD	-3,351.75	
09OCT17	S	3	PD09JAN18	6658.00	6799.07	USD	-10,580.25	
09OCT17	S	5	PD09JAN18	6661.00	6799.07	USD	-17,258.75	
09OCT17	S	2	PD09JAN18	6667.50	6799.07	USD	-6,578.50	
09OCT17	S	10	PD09JAN18	6670.00	6799.07	USD	-32,267.50	
09OCT17	B	4	PD09JAN18	6661.50	6799.07	USD	13,757.00	
09OCT17	S	2	PD09JAN18	6662.00	6799.07	USD	-6,853.50	
09OCT17	B	1	PD09JAN18	6656.00	6799.07	USD	3,576.75	
09OCT17	B	2	PD09JAN18	6657.00	6799.07	USD	7,103.50	
09OCT17	S	4	PD09JAN18	6650.00	6799.07	USD	-14,907.00	
09OCT17	S	1	PD09JAN18	6650.50	6799.07	USD	-3,714.25	
09OCT17	B	2	PD09JAN18	6674.00	6799.07	USD	6,253.50	
09OCT17	S	4	PD09JAN18	6674.00	6799.07	USD	-12,507.00	
	NET	-65 AVG BGHT 6662.67	SOLD 6668.44				-210,976.25	0.00
10OCT17	B	2	PD10JAN18	6718.00	6799.53	USD	4,076.50	
10OCT17	B	2	PD10JAN18	6719.00	6799.53	USD	4,026.50	
10OCT17	B	1	PD10JAN18	6720.50	6799.53	USD	1,975.75	
10OCT17	B	5	PD10JAN18	6724.00	6799.53	USD	9,441.25	
10OCT17	S	2	PD10JAN18	6703.50	6799.53	USD	-4,801.50	
10OCT17	S	2	PD10JAN18	6707.50	6799.53	USD	-4,601.50	
10OCT17	S	1	PD10JAN18	6709.00	6799.53	USD	-2,263.25	
10OCT17	S	1	PD10JAN18	6728.50	6799.53	USD	-1,775.75	
10OCT17	S	2	PD10JAN18	6729.00	6799.53	USD	-3,526.50	
10OCT17	S	2	PD10JAN18	6732.00	6799.53	USD	-3,376.50	
	NET	0 AVG BGHT 6721.45	SOLD 6718.15				-825.00	0.00
11OCT17	B	1	PD11JAN18	6723.00	6800.00	USD	1,925.00	
11OCT17	B	4	PD11JAN18	6724.00	6800.00	USD	7,600.00	
11OCT17	B	2	PD11JAN18	6765.50	6800.00	USD	1,725.00	
11OCT17	B	2	PD11JAN18	6768.50	6800.00	USD	1,575.00	
11OCT17	B	1	PD11JAN18	6773.00	6800.00	USD	675.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6774.00	6800.00	USD	1,300.00	
11OCT17	B	1	PD11JAN18	6778.50	6800.00	USD	537.50	
11OCT17	B	3	PD11JAN18	6781.00	6800.00	USD	1,425.00	
11OCT17	B	2	PD11JAN18	6771.00	6800.00	USD	1,450.00	
11OCT17	S	6	PD11JAN18	6778.50	6800.00	USD	-3,225.00	
11OCT17	B	2	PD11JAN18	6777.00	6800.00	USD	1,150.00	
11OCT17	B	2	PD11JAN18	6777.50	6800.00	USD	1,125.00	
11OCT17	S	2	PD11JAN18	6783.50	6800.00	USD	-825.00	
11OCT17	B	1	PD11JAN18	6778.00	6800.00	USD	550.00	
11OCT17	B	1	PD11JAN18	6783.00	6800.00	USD	425.00	
11OCT17	B	1	PD11JAN18	6783.50	6800.00	USD	412.50	
11OCT17	B	3	PD11JAN18	6810.00	6800.00	USD	-750.00	
11OCT17	B	5	PD11JAN18	6809.50	6800.00	USD	-1,187.50	
11OCT17	B	2	PD11JAN18	6795.50	6800.00	USD	225.00	
11OCT17	B	2	PD11JAN18	6799.00	6800.00	USD	50.00	
11OCT17	B	1	PD11JAN18	6801.00	6800.00	USD	-25.00	
11OCT17	B	4	PD11JAN18	6800.00	6800.00	USD	0.00	
11OCT17	B	1	PD11JAN18	6802.00	6800.00	USD	-50.00	
	NET	+35 AVG BGHT 6781.27	SOLD 6779.75				16,087.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	1	DEC17	307.500	309.550	USD	-512.50	
11OCT17	S	3	DEC17	307.700	309.550	USD	-1,387.50	
11OCT17	S	4	DEC17	307.600	309.550	USD	-1,950.00	
11OCT17	S	4	DEC17	307.750	309.550	USD	-1,800.00	
11OCT17	S	7	DEC17	308.050	309.550	USD	-2,625.00	
11OCT17	S	7	DEC17	307.850	309.550	USD	-2,975.00	
11OCT17	S	4	DEC17	307.900	309.550	USD	-1,650.00	
11OCT17	S	3	DEC17	307.950	309.550	USD	-1,200.00	
11OCT17	S	4	DEC17	308.150	309.550	USD	-1,400.00	
11OCT17	S	1	DEC17	309.450	309.550	USD	-25.00	
11OCT17	S	17	DEC17	309.400	309.550	USD	-637.50	
11OCT17	S	7	DEC17	308.750	309.550	USD	-1,400.00	
11OCT17	S	2	DEC17	308.850	309.550	USD	-350.00	
11OCT17	S	6	DEC17	308.900	309.550	USD	-975.00	
11OCT17	S	4	DEC17	308.950	309.550	USD	-600.00	
11OCT17	S	3	DEC17	309.000	309.550	USD	-412.50	
	NET	-77 AVG SOLD 308.516					-19,900.00	0.00

OPEN POSITION VALUE

			<u>USD</u>
LME Copper Grade A 25	FUTURES	-5,552,690.00	
CMX Copper	FUTURES	5,938,937.50	

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-367,064.22	-367,064.22
Variation Margins	- Futures	-19,900.00	-19,900.00
	- Options	0.00	0.00
TOTAL EQUITY		-386,964.22	-386,964.22
Initial Margins		-349,874.25	-349,874.25
Excess/(Shortage)		-736,838.47	-736,838.47
FOR INFORMATION ONLY			
Forward Unrealised P&L		376,818.75	376,818.75
Net Liquidating Value		-10,145.47	-10,145.47

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	2	PD15DEC17	6499.00	6791.06	USD	14,603.00	
15SEP17	S	2	PD15DEC17	6494.00	6791.06	USD	-14,853.00	
	NET	0 AVG BGHT 6499.00	SOLD 6494.00				-250.00	0.00
18SEP17	B	1	PD18DEC17	6536.00	6792.50	USD	6,412.50	
18SEP17	B	1	PD18DEC17	6536.50	6792.50	USD	6,400.00	
18SEP17	B	1	PD18DEC17	6537.00	6792.50	USD	6,387.50	
18SEP17	B	2	PD18DEC17	6538.00	6792.50	USD	12,725.00	
18SEP17	S	1	PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S	1	PD18DEC17	6541.50	6792.50	USD	-6,275.00	
18SEP17	S	1	PD18DEC17	6536.50	6792.50	USD	-6,400.00	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
18SEP17	S	1	PD18DEC17	6526.00	6792.50	USD	-6,662.50	
	NET	0 AVG BGHT 6537.10	SOLD 6536.40				-87.50	0.00
19SEP17	B	1	PD19DEC17	6548.00	6792.50	USD	6,112.50	
19SEP17	B	1	PD19DEC17	6550.00	6792.50	USD	6,062.50	
19SEP17	B	1	PD19DEC17	6545.00	6792.50	USD	6,187.50	
19SEP17	B	1	PD19DEC17	6539.00	6792.50	USD	6,337.50	
19SEP17	B	1	PD19DEC17	6541.00	6792.50	USD	6,287.50	
19SEP17	B	1	PD19DEC17	6521.00	6792.50	USD	6,787.50	
19SEP17	B	1	PD19DEC17	6527.50	6792.50	USD	6,625.00	
19SEP17	B	1	PD19DEC17	6529.00	6792.50	USD	6,587.50	
19SEP17	B	1	PD19DEC17	6522.00	6792.50	USD	6,762.50	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	B	4	PD19DEC17	6517.50	6792.50	USD	27,500.00	
19SEP17	B	2	PD19DEC17	6518.00	6792.50	USD	13,725.00	
19SEP17	B	4	PD19DEC17	6526.50	6792.50	USD	26,600.00	
	NET	+20 AVG BGHT 6527.43					132,537.50	0.00
20SEP17	S	4	PD20DEC17	6562.50	6792.50	USD	-23,000.00	
20SEP17	S	1	PD20DEC17	6565.00	6792.50	USD	-5,687.50	
	NET	-5 AVG SOLD 6563.00					-28,687.50	0.00
21SEP17	S	1	PD21DEC17	6471.50	6791.50	USD	-8,000.00	
21SEP17	S	1	PD21DEC17	6473.50	6791.50	USD	-7,950.00	
21SEP17	S	1	PD21DEC17	6466.50	6791.50	USD	-8,125.00	
21SEP17	S	2	PD21DEC17	6467.50	6791.50	USD	-16,200.00	
21SEP17	S	1	PD21DEC17	6469.50	6791.50	USD	-8,050.00	
21SEP17	B	1	PD21DEC17	6457.00	6791.50	USD	8,362.50	
21SEP17	B	1	PD21DEC17	6445.00	6791.50	USD	8,662.50	
21SEP17	B	2	PD21DEC17	6447.00	6791.50	USD	17,225.00	
21SEP17	B	1	PD21DEC17	6448.00	6791.50	USD	8,587.50	
21SEP17	B	1	PD21DEC17	6448.50	6791.50	USD	8,575.00	
21SEP17	B	2	PD21DEC17	6442.00	6791.50	USD	17,475.00	
21SEP17	B	1	PD21DEC17	6468.50	6791.50	USD	8,075.00	
21SEP17	B	1	PD21DEC17	6467.00	6791.50	USD	8,112.50	
21SEP17	S	2	PD21DEC17	6465.50	6791.50	USD	-16,300.00	
21SEP17	B	1	PD21DEC17	6468.00	6791.50	USD	8,087.50	
21SEP17	B	2	PD21DEC17	6474.00	6791.50	USD	15,875.00	
21SEP17	B	2	PD21DEC17	6456.50	6791.50	USD	16,750.00	
21SEP17	B	3	PD21DEC17	6459.00	6791.50	USD	24,937.50	
21SEP17	B	5	PD21DEC17	6454.00	6791.50	USD	42,187.50	
	NET	+15 AVG BGHT 6456.00	SOLD 6468.38				128,287.50	0.00
22SEP17	S	1	PD22DEC17	6428.00	6790.50	USD	-9,062.50	
22SEP17	S	1	PD22DEC17	6433.50	6790.50	USD	-8,925.00	
22SEP17	S	1	PD22DEC17	6434.00	6790.50	USD	-8,912.50	
22SEP17	S	1	PD22DEC17	6438.00	6790.50	USD	-8,812.50	
22SEP17	S	1	PD22DEC17	6431.50	6790.50	USD	-8,975.00	
	NET	-5 AVG SOLD 6433.00					-44,687.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	6462.50	6793.00	USD	-8,262.50	
25SEP17	S	1	PD27DEC17	6461.50	6793.00	USD	-8,287.50	
25SEP17	S	1	PD27DEC17	6463.00	6793.00	USD	-8,250.00	
25SEP17	S	2	PD27DEC17	6451.50	6793.00	USD	-17,075.00	
25SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
25SEP17	S	3	PD27DEC17	6453.00	6793.00	USD	-25,500.00	
25SEP17	S	3	PD27DEC17	6455.00	6793.00	USD	-25,350.00	
25SEP17	S	1	PD27DEC17	6455.50	6793.00	USD	-8,437.50	
25SEP17	S	1	PD27DEC17	6456.50	6793.00	USD	-8,412.50	
25SEP17	S	1	PD27DEC17	6457.00	6793.00	USD	-8,400.00	
26SEP17	B	1	PD27DEC17	6478.00	6793.00	USD	7,875.00	
26SEP17	B	1	PD27DEC17	6479.50	6793.00	USD	7,837.50	
26SEP17	B	1	PD27DEC17	6480.00	6793.00	USD	7,825.00	
26SEP17	B	2	PD27DEC17	6483.00	6793.00	USD	15,500.00	
26SEP17	S	1	PD27DEC17	6502.00	6793.00	USD	-7,275.00	
26SEP17	S	1	PD27DEC17	6504.50	6793.00	USD	-7,212.50	
26SEP17	S	1	PD27DEC17	6516.50	6793.00	USD	-6,912.50	
26SEP17	S	1	PD27DEC17	6519.00	6793.00	USD	-6,850.00	
26SEP17	S	1	PD27DEC17	6521.00	6793.00	USD	-6,800.00	
26SEP17	B	2	PD27DEC17	6512.00	6793.00	USD	14,050.00	
26SEP17	B	2	PD27DEC17	6507.00	6793.00	USD	14,300.00	
26SEP17	B	1	PD27DEC17	6504.00	6793.00	USD	7,225.00	
26SEP17	B	2	PD27DEC17	6507.50	6793.00	USD	14,275.00	
26SEP17	B	2	PD27DEC17	6493.00	6793.00	USD	15,000.00	
26SEP17	B	1	PD27DEC17	6488.00	6793.00	USD	7,625.00	
26SEP17	B	2	PD27DEC17	6460.50	6793.00	USD	16,625.00	
26SEP17	B	1	PD27DEC17	6461.00	6793.00	USD	8,300.00	
26SEP17	B	1	PD27DEC17	6461.50	6793.00	USD	8,287.50	
26SEP17	B	1	PD27DEC17	6462.00	6793.00	USD	8,275.00	
26SEP17	S	1	PD27DEC17	6407.00	6793.00	USD	-9,650.00	
26SEP17	S	1	PD27DEC17	6409.00	6793.00	USD	-9,600.00	
26SEP17	S	1	PD27DEC17	6410.50	6793.00	USD	-9,562.50	
26SEP17	S	1	PD27DEC17	6413.00	6793.00	USD	-9,500.00	
26SEP17	S	3	PD27DEC17	6410.00	6793.00	USD	-28,725.00	
26SEP17	S	1	PD27DEC17	6408.50	6793.00	USD	-9,612.50	
26SEP17	S	2	PD27DEC17	6418.00	6793.00	USD	-18,750.00	
27SEP17	B	1	PD27DEC17	6453.50	6793.00	USD	8,487.50	
27SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
27SEP17	B	1	PD27DEC17	6468.50	6793.00	USD	8,112.50	
27SEP17	B	1	PD27DEC17	6462.00	6793.00	USD	8,275.00	
27SEP17	B	2	PD27DEC17	6463.00	6793.00	USD	16,500.00	
27SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
27SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
27SEP17	B	1	PD27DEC17	6444.50	6793.00	USD	8,712.50	
27SEP17	B	1	PD27DEC17	6433.00	6793.00	USD	9,000.00	
27SEP17	B	1	PD27DEC17	6434.00	6793.00	USD	8,975.00	
27SEP17	B	1	PD27DEC17	6436.00	6793.00	USD	8,925.00	
27SEP17	B	1	PD27DEC17	6438.50	6793.00	USD	8,862.50	
27SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
	NET	0 AVG BGHT 6472.94	SOLD 6450.84				-17,675.00	0.00
28SEP17	B	1	PD28DEC17	6454.00	6793.47	USD	8,486.75	
28SEP17	B	1	PD28DEC17	6452.00	6793.47	USD	8,536.75	
28SEP17	B	1	PD28DEC17	6467.50	6793.47	USD	8,149.25	
28SEP17	B	1	PD28DEC17	6468.50	6793.47	USD	8,124.25	
28SEP17	B	1	PD28DEC17	6469.50	6793.47	USD	8,099.25	
	NET	+5 AVG BGHT 6462.30					41,396.25	0.00
04OCT17	S	3	PD04JAN18	6515.00	6796.73	USD	-21,129.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

11th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	S 2 PD04JAN18	6516.00	6796.73	USD	-14,036.50	
	NET -5 AVG SOLD 6515.40				-35,166.25	0.00
05OCT17	B 5 PD05JAN18	6630.00	6797.20	USD	20,900.00	
05OCT17	B 1 PD05JAN18	6642.00	6797.20	USD	3,880.00	
05OCT17	B 10 PD05JAN18	6642.50	6797.20	USD	38,675.00	
05OCT17	B 5 PD05JAN18	6643.00	6797.20	USD	19,275.00	
05OCT17	B 10 PD05JAN18	6654.50	6797.20	USD	35,675.00	
05OCT17	B 1 PD05JAN18	6655.50	6797.20	USD	3,542.50	
05OCT17	B 5 PD05JAN18	6657.00	6797.20	USD	17,525.00	
05OCT17	B 4 PD05JAN18	6659.00	6797.20	USD	13,820.00	
05OCT17	S 3 PD05JAN18	6663.00	6797.20	USD	-10,065.00	
05OCT17	B 5 PD05JAN18	6650.00	6797.20	USD	18,400.00	
05OCT17	B 2 PD05JAN18	6659.50	6797.20	USD	6,885.00	
	NET +45 AVG BGHT 6648.39 SOLD 6663.00				168,512.50	0.00
09OCT17	S 1 PD09JAN18	6676.00	6799.07	USD	-3,076.75	
09OCT17	S 3 PD09JAN18	6680.50	6799.07	USD	-8,892.75	
09OCT17	S 1 PD09JAN18	6673.50	6799.07	USD	-3,139.25	
09OCT17	S 1 PD09JAN18	6678.50	6799.07	USD	-3,014.25	
09OCT17	S 1 PD09JAN18	6681.00	6799.07	USD	-2,951.75	
09OCT17	S 1 PD09JAN18	6662.00	6799.07	USD	-3,426.75	
09OCT17	S 3 PD09JAN18	6658.00	6799.07	USD	-10,580.25	
09OCT17	S 2 PD09JAN18	6677.50	6799.07	USD	-6,078.50	
09OCT17	S 3 PD09JAN18	6678.00	6799.07	USD	-9,080.25	
09OCT17	S 5 PD09JAN18	6676.50	6799.07	USD	-15,321.25	
09OCT17	S 1 PD09JAN18	6674.50	6799.07	USD	-3,114.25	
09OCT17	S 2 PD09JAN18	6657.50	6799.07	USD	-7,078.50	
09OCT17	S 1 PD09JAN18	6661.50	6799.07	USD	-3,439.25	
09OCT17	S 1 PD09JAN18	6679.00	6799.07	USD	-3,001.75	
09OCT17	S 1 PD09JAN18	6669.00	6799.07	USD	-3,251.75	
09OCT17	S 1 PD09JAN18	6680.00	6799.07	USD	-2,976.75	
09OCT17	S 9 PD09JAN18	6670.00	6799.07	USD	-29,040.75	
09OCT17	S 5 PD09JAN18	6657.00	6799.07	USD	-17,758.75	
09OCT17	S 1 PD09JAN18	6658.50	6799.07	USD	-3,514.25	
09OCT17	S 5 PD09JAN18	6660.50	6799.07	USD	-17,321.25	
09OCT17	S 1 PD09JAN18	6662.50	6799.07	USD	-3,414.25	
09OCT17	S 5 PD09JAN18	6670.50	6799.07	USD	-16,071.25	
09OCT17	S 5 PD09JAN18	6673.00	6799.07	USD	-15,758.75	
09OCT17	S 1 PD09JAN18	6661.00	6799.07	USD	-3,451.75	
	NET -60 AVG SOLD 6669.23				-194,755.00	0.00
10OCT17	S 5 PD10JAN18	6699.50	6799.53	USD	-12,503.75	
10OCT17	S 5 PD10JAN18	6703.00	6799.53	USD	-12,066.25	
	NET -10 AVG SOLD 6701.25				-24,570.00	0.00
11OCT17	B 5 PD11JAN18	6731.50	6800.00	USD	8,562.50	
11OCT17	B 5 PD11JAN18	6769.00	6800.00	USD	3,875.00	
11OCT17	B 1 PD11JAN18	6770.50	6800.00	USD	737.50	
11OCT17	B 4 PD11JAN18	6771.00	6800.00	USD	2,900.00	
11OCT17	B 1 PD11JAN18	6778.50	6800.00	USD	537.50	
11OCT17	B 4 PD11JAN18	6779.00	6800.00	USD	2,100.00	
11OCT17	B 3 PD11JAN18	6796.00	6800.00	USD	300.00	
11OCT17	B 2 PD11JAN18	6797.00	6800.00	USD	150.00	
11OCT17	B 5 PD11JAN18	6799.50	6800.00	USD	62.50	
11OCT17	B 5 PD11JAN18	6800.00	6800.00	USD	0.00	
	NET +35 AVG BGHT 6778.03				19,225.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 11 DEC17	305.750	309.550	USD	-10,450.00	
11OCT17	S 11 DEC17	307.500	309.550	USD	-5,637.50	

OPEN POSITION STATEMENT



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SAP0025W

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	CMX COPPER 25,000 CMX					
11OCT17	S 6 DEC17	307.650	309.550	USD	-2,850.00	
11OCT17	S 5 DEC17	307.700	309.550	USD	-2,312.50	
11OCT17	S 4 DEC17	307.950	309.550	USD	-1,600.00	
11OCT17	S 7 DEC17	307.900	309.550	USD	-2,887.50	
11OCT17	S 4 DEC17	308.800	309.550	USD	-750.00	
11OCT17	S 4 DEC17	308.750	309.550	USD	-800.00	
11OCT17	S 3 DEC17	308.700	309.550	USD	-637.50	
11OCT17	S 6 DEC17	308.900	309.550	USD	-975.00	
11OCT17	S 8 DEC17	308.850	309.550	USD	-1,400.00	
11OCT17	S 6 DEC17	308.950	309.550	USD	-900.00	
11OCT17	S 2 DEC17	309.000	309.550	USD	-275.00	
	NET -77 AVG SOLD 307.915				-31,475.00	0.00

OPEN POSITION VALUE

			<u>USD</u>
LME Copper Grade A 25	FUTURES	-5,799,062.50	
CMX Copper	FUTURES	5,927,362.50	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-31,475.00	-31,475.00	-31,475.00	-31,475.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	144,080.00	144,080.00	144,080.00	144,080.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-122,867.50	-122,867.50
Variation Margins	- Futures	-31,475.00	-31,475.00
	- Options	0.00	0.00
TOTAL EQUITY		-154,342.50	-154,342.50
Initial Margins		-550,666.00	-550,666.00
Excess/(Shortage)		-705,008.50	-705,008.50
FOR INFORMATION ONLY			
Forward Unrealised P&L		144,080.00	144,080.00
Net Liquidating Value		-10,262.50	-10,262.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB025**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		-3,269,846.92	-3,269,846.92
Variation Margins	- Futures	-927,425.00	-927,425.00
	- Options	0.00	0.00
TOTAL EQUITY		-4,197,271.92	-4,197,271.92
Initial Margins		-2,932,200.75	-2,932,200.75
Excess/(Shortage)		-7,129,472.67	-7,129,472.67
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		4,079,743.25	4,079,743.25
Net Liquidating Value		-117,528.67	-117,528.67

OPEN POSITION STATEMENT



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SAP0038A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	5918.00	6755.20	USD	20,930.00	
12JUL17	B	1	PD120CT17	5912.00	6755.20	USD	21,080.00	
12JUL17	B	1	PD120CT17	5908.00	6755.20	USD	21,180.00	
12JUL17	B	1	PD120CT17	5929.00	6755.20	USD	20,655.00	
12JUL17	B	1	PD120CT17	5925.00	6755.20	USD	20,755.00	
12JUL17	B	1	PD120CT17	5924.50	6755.20	USD	20,767.50	
12JUL17	B	1	PD120CT17	5924.00	6755.20	USD	20,780.00	
12JUL17	S	1	PD120CT17	5900.00	6755.20	USD	-21,380.00	
17JUL17	S	2	PD120CT17	5994.21	6755.20	USD	-38,049.50	
21JUL17	S	4	PD120CT17	6003.35	6755.20	USD	-75,185.00	
	NET	0 AVG BGHT 5920.07	SOLD 5985.97				11,533.00	0.00
13JUL17	S	1	PD130CT17	5926.00	6756.00	USD	-20,750.00	
13JUL17	S	1	PD130CT17	5886.00	6756.00	USD	-21,750.00	
13JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
13JUL17	S	2	PD130CT17	5881.50	6756.00	USD	-43,725.00	
13JUL17	S	1	PD130CT17	5879.00	6756.00	USD	-21,925.00	
13JUL17	S	1	PD130CT17	5875.00	6756.00	USD	-22,025.00	
13JUL17	B	1	PD130CT17	5890.50	6756.00	USD	21,637.50	
13JUL17	B	1	PD130CT17	5898.00	6756.00	USD	21,450.00	
13JUL17	B	1	PD130CT17	5884.00	6756.00	USD	21,800.00	
13JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
13JUL17	S	1	PD130CT17	5862.00	6756.00	USD	-22,350.00	
13JUL17	S	1	PD130CT17	5864.50	6756.00	USD	-22,287.50	
14JUL17	S	1	PD130CT17	5877.50	6756.00	USD	-21,962.50	
14JUL17	S	1	PD130CT17	5880.50	6756.00	USD	-21,887.50	
14JUL17	B	1	PD130CT17	5908.50	6756.00	USD	21,187.50	
14JUL17	B	1	PD130CT17	5899.00	6756.00	USD	21,425.00	
14JUL17	S	1	PD130CT17	5886.00	6756.00	USD	-21,750.00	
14JUL17	B	1	PD130CT17	5918.50	6756.00	USD	20,937.50	
14JUL17	B	1	PD130CT17	5917.00	6756.00	USD	20,975.00	
14JUL17	B	1	PD130CT17	5928.50	6756.00	USD	20,687.50	
17JUL17	B	3	PD130CT17	5994.77	6756.00	USD	57,092.25	
	NET	0 AVG BGHT 5925.69	SOLD 5881.71				-13,195.25	0.00
17JUL17	S	1	PD170CT17	5980.50	6759.20	USD	-19,467.50	
17JUL17	B	1	PD170CT17	5989.50	6759.20	USD	19,242.50	
17JUL17	S	1	PD170CT17	5984.50	6759.20	USD	-19,367.50	
17JUL17	S	1	PD170CT17	6008.00	6759.20	USD	-18,780.00	
17JUL17	B	1	PD170CT17	6009.00	6759.20	USD	18,755.00	
17JUL17	B	1	PD170CT17	6009.50	6759.20	USD	18,742.50	
17JUL17	B	1	PD170CT17	6012.50	6759.20	USD	18,667.50	
17JUL17	B	1	PD170CT17	5999.50	6759.20	USD	18,992.50	
17JUL17	B	1	PD170CT17	5992.50	6759.20	USD	19,167.50	
21JUL17	S	2	PD170CT17	6005.56	6759.20	USD	-37,682.00	
27JUL17	S	1	PD170CT17	6329.68	6759.20	USD	-10,738.00	
	NET	0 AVG BGHT 6002.08	SOLD 6052.30				7,532.50	0.00
18JUL17	S	1	PD180CT17	5997.50	6760.00	USD	-19,062.50	
18JUL17	S	1	PD180CT17	5988.00	6760.00	USD	-19,300.00	
18JUL17	B	1	PD180CT17	5994.00	6760.00	USD	19,150.00	
18JUL17	B	1	PD180CT17	5992.50	6760.00	USD	19,187.50	
	NET	0 AVG BGHT 5993.25	SOLD 5992.75				-25.00	0.00
19JUL17	S	1	PD190CT17	5999.50	6760.88	USD	-19,034.50	
19JUL17	S	1	PD190CT17	6002.50	6760.88	USD	-18,959.50	
19JUL17	B	1	PD190CT17	5985.50	6760.88	USD	19,384.50	
19JUL17	S	1	PD190CT17	5985.00	6760.88	USD	-19,397.00	
19JUL17	B	1	PD190CT17	5991.00	6760.88	USD	19,247.00	
19JUL17	S	1	PD190CT17	5973.00	6760.88	USD	-19,697.00	

OPEN POSITION STATEMENT



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SAP0038A

11th October 2017

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19JUL17	S	1	PD19OCT17	5973.50	6760.88	USD	-19,684.50	
19JUL17	S	1	PD19OCT17	5968.50	6760.88	USD	-19,809.50	
21JUL17	B	4	PD19OCT17	6005.20	6760.88	USD	75,568.00	
	NET	0AVG	BGHT 5999.55	SOLD 5983.67			-2,382.50	0.00
20JUL17	S	1	PD20OCT17	5954.00	6761.75	USD	-20,193.75	
20JUL17	S	1	PD20OCT17	5954.50	6761.75	USD	-20,181.25	
21JUL17	B	1	PD20OCT17	6009.00	6761.75	USD	18,818.75	
21JUL17	B	1	PD20OCT17	6005.00	6761.75	USD	18,918.75	
21JUL17	B	1	PD20OCT17	6024.50	6761.75	USD	18,431.25	
21JUL17	B	1	PD20OCT17	6025.00	6761.75	USD	18,418.75	
21JUL17	B	1	PD20OCT17	6026.00	6761.75	USD	18,393.75	
21JUL17	B	1	PD20OCT17	6037.50	6761.75	USD	18,106.25	
21JUL17	B	1	PD20OCT17	6037.00	6761.75	USD	18,118.75	
21JUL17	B	1	PD20OCT17	6037.00	6761.75	USD	18,118.75	
21JUL17	S	1	PD20OCT17	6045.50	6761.75	USD	-17,906.25	
21JUL17	S	1	PD20OCT17	6016.50	6761.75	USD	-18,631.25	
21JUL17	S	1	PD20OCT17	6014.00	6761.75	USD	-18,693.75	
21JUL17	S	1	PD20OCT17	6010.00	6761.75	USD	-18,793.75	
21JUL17	S	1	PD20OCT17	6000.50	6761.75	USD	-19,031.25	
21JUL17	S	2	PD20OCT17	6001.00	6761.75	USD	-38,037.50	
21JUL17	B	2	PD20OCT17	6004.20	6761.75	USD	37,877.50	
27JUL17	S	1	PD20OCT17	6328.00	6761.75	USD	-10,843.75	
	NET	0AVG	BGHT 6020.94	SOLD 6032.50			2,890.00	0.00
24JUL17	S	1	PD24OCT17	5998.50	6765.25	USD	-19,168.75	
24JUL17	S	1	PD24OCT17	5995.00	6765.25	USD	-19,256.25	
27JUL17	B	2	PD24OCT17	6329.34	6765.25	USD	21,795.50	
	NET	0AVG	BGHT 6329.34	SOLD 5996.75			-16,629.50	0.00
25JUL17	B	1	PD25OCT17	6118.50	6765.68	USD	16,179.50	
25JUL17	B	1	PD25OCT17	6142.00	6765.68	USD	15,592.00	
25JUL17	B	1	PD25OCT17	6141.50	6765.68	USD	15,604.50	
25JUL17	B	1	PD25OCT17	6182.50	6765.68	USD	14,579.50	
25JUL17	B	1	PD25OCT17	6210.50	6765.68	USD	13,879.50	
25JUL17	B	1	PD25OCT17	6198.00	6765.68	USD	14,192.00	
25JUL17	B	1	PD25OCT17	6197.50	6765.68	USD	14,204.50	
25JUL17	B	1	PD25OCT17	6197.00	6765.68	USD	14,217.00	
25JUL17	B	1	PD25OCT17	6196.50	6765.68	USD	14,229.50	
25JUL17	B	2	PD25OCT17	6195.00	6765.68	USD	28,534.00	
25JUL17	B	1	PD25OCT17	6194.50	6765.68	USD	14,279.50	
25JUL17	S	1	PD25OCT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S	1	PD25OCT17	6203.00	6765.68	USD	-14,067.00	
25JUL17	S	1	PD25OCT17	6279.50	6765.68	USD	-12,154.50	
25JUL17	S	1	PD25OCT17	6279.00	6765.68	USD	-12,167.00	
25JUL17	B	1	PD25OCT17	6260.50	6765.68	USD	12,629.50	
27JUL17	S	4	PD25OCT17	6329.43	6765.68	USD	-43,625.00	
31JUL17	S	2	PD25OCT17	6366.75	6765.68	USD	-19,946.50	
11AUG17	S	3	PD25OCT17	6404.90	6765.68	USD	-27,058.50	
	NET	0AVG	BGHT 6186.85	SOLD 6325.15			44,948.00	0.00
26JUL17	S	1	PD26OCT17	6347.00	6766.11	USD	-10,477.75	
26JUL17	S	1	PD26OCT17	6348.50	6766.11	USD	-10,440.25	
26JUL17	S	1	PD26OCT17	6350.00	6766.11	USD	-10,402.75	
26JUL17	S	1	PD26OCT17	6351.50	6766.11	USD	-10,365.25	
26JUL17	S	1	PD26OCT17	6351.00	6766.11	USD	-10,377.75	
26JUL17	S	1	PD26OCT17	6353.00	6766.11	USD	-10,327.75	
26JUL17	S	1	PD26OCT17	6357.00	6766.11	USD	-10,227.75	
26JUL17	S	1	PD26OCT17	6395.50	6766.11	USD	-9,265.25	
26JUL17	S	1	PD26OCT17	6396.00	6766.11	USD	-9,252.75	

OPEN POSITION STATEMENT



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SAP0038A

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
26JUL17	B	1	PD260CT17		6310.50	6766.11	USD	11,390.25	
26JUL17	B	1	PD260CT17		6311.50	6766.11	USD	11,365.25	
26JUL17	B	1	PD260CT17		6313.50	6766.11	USD	11,315.25	
26JUL17	B	1	PD260CT17		6301.00	6766.11	USD	11,627.75	
26JUL17	B	1	PD260CT17		6301.50	6766.11	USD	11,615.25	
26JUL17	B	1	PD260CT17		6298.00	6766.11	USD	11,702.75	
26JUL17	B	1	PD260CT17		6271.00	6766.11	USD	12,377.75	
26JUL17	B	1	PD260CT17		6272.50	6766.11	USD	12,340.25	
26JUL17	B	1	PD260CT17		6275.00	6766.11	USD	12,277.75	
26JUL17	S	1	PD260CT17		6302.50	6766.11	USD	-11,590.25	
26JUL17	S	1	PD260CT17		6315.00	6766.11	USD	-11,277.75	
26JUL17	S	1	PD260CT17		6316.00	6766.11	USD	-11,252.75	
26JUL17	S	1	PD260CT17		6316.50	6766.11	USD	-11,240.25	
27JUL17	B	4	PD260CT17		6329.91	6766.11	USD	43,620.00	
	NET	0AVG	BGHT 6305.70	SOLD 6346.12				13,134.00	0.00
27JUL17	S	1	PD270CT17		6369.50	6766.54	USD	-9,926.00	
27JUL17	S	1	PD270CT17		6372.00	6766.54	USD	-9,863.50	
27JUL17	B	1	PD270CT17		6353.50	6766.54	USD	10,326.00	
27JUL17	S	1	PD270CT17		6377.50	6766.54	USD	-9,726.00	
27JUL17	B	1	PD270CT17		6377.00	6766.54	USD	9,738.50	
27JUL17	B	1	PD270CT17		6350.50	6766.54	USD	10,401.00	
27JUL17	B	1	PD270CT17		6340.50	6766.54	USD	10,651.00	
27JUL17	S	1	PD270CT17		6332.00	6766.54	USD	-10,863.50	
27JUL17	S	1	PD270CT17		6342.50	6766.54	USD	-10,601.00	
28JUL17	S	1	PD270CT17		6329.00	6766.54	USD	-10,938.50	
31JUL17	B	2	PD270CT17		6367.95	6766.54	USD	19,929.50	
	NET	0AVG	BGHT 6359.57	SOLD 6353.75				-872.50	0.00
31JUL17	S	1	PD310CT17		6388.00	6768.25	USD	-9,506.25	
31JUL17	B	1	PD310CT17		6414.50	6768.25	USD	8,843.75	
31JUL17	S	1	PD310CT17		6412.50	6768.25	USD	-8,893.75	
31JUL17	S	1	PD310CT17		6397.50	6768.25	USD	-9,268.75	
31JUL17	S	1	PD310CT17		6398.50	6768.25	USD	-9,243.75	
11AUG17	B	3	PD310CT17		6407.39	6768.25	USD	27,064.50	
	NET	0AVG	BGHT 6409.17	SOLD 6399.13				-1,004.25	0.00
01AUG17	B	1	PD01NOV17		6340.50	6769.18	USD	10,717.00	
01AUG17	B	1	PD01NOV17		6337.50	6769.18	USD	10,792.00	
01AUG17	B	1	PD01NOV17		6337.00	6769.18	USD	10,804.50	
11AUG17	S	3	PD01NOV17		6407.57	6769.18	USD	-27,120.75	
	NET	0AVG	BGHT 6338.33	SOLD 6407.57				5,192.75	0.00
02AUG17	S	1	PD02NOV17		6331.00	6770.10	USD	-10,977.50	
02AUG17	S	1	PD02NOV17		6323.00	6770.10	USD	-11,177.50	
02AUG17	S	1	PD02NOV17		6330.50	6770.10	USD	-10,990.00	
02AUG17	B	1	PD02NOV17		6334.00	6770.10	USD	10,902.50	
02AUG17	B	1	PD02NOV17		6331.00	6770.10	USD	10,977.50	
02AUG17	B	1	PD02NOV17		6359.00	6770.10	USD	10,277.50	
	NET	0AVG	BGHT 6341.33	SOLD 6328.17				-987.50	0.00
03AUG17	S	1	PD03NOV17		6309.00	6771.03	USD	-11,550.75	
03AUG17	S	1	PD03NOV17		6313.00	6771.03	USD	-11,450.75	
03AUG17	S	1	PD03NOV17		6314.00	6771.03	USD	-11,425.75	
11AUG17	B	3	PD03NOV17		6408.53	6771.03	USD	27,187.50	
	NET	0AVG	BGHT 6408.53	SOLD 6312.00				-7,239.75	0.00
16AUG17	S	1	PD16NOV17		6412.50	6776.25	USD	-9,093.75	
16AUG17	B	1	PD16NOV17		6465.50	6776.25	USD	7,768.75	
16AUG17	B	1	PD16NOV17		6479.50	6776.25	USD	7,418.75	
16AUG17	B	1	PD16NOV17		6479.00	6776.25	USD	7,431.25	
16AUG17	B	1	PD16NOV17		6478.00	6776.25	USD	7,456.25	

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16AUG17	B	1	PD16NOV17	6482.00	6776.25	USD	7,356.25	
16AUG17	B	1	PD16NOV17	6482.50	6776.25	USD	7,343.75	
16AUG17	B	1	PD16NOV17	6484.00	6776.25	USD	7,306.25	
16AUG17	B	1	PD16NOV17	6487.50	6776.25	USD	7,218.75	
16AUG17	B	1	PD16NOV17	6489.00	6776.25	USD	7,181.25	
16AUG17	B	1	PD16NOV17	6529.00	6776.25	USD	6,181.25	
16AUG17	B	1	PD16NOV17	6536.00	6776.25	USD	6,006.25	
16AUG17	B	1	PD16NOV17	6537.00	6776.25	USD	5,981.25	
16AUG17	S	1	PD16NOV17	6574.50	6776.25	USD	-5,043.75	
30AUG17	S	10	PD16NOV17	6765.50	6776.25	USD	-2,687.50	
	NET	0	AVG BGHT 6494.08	SOLD 6720.17			67,825.00	0.00
17AUG17	B	1	PD17NOV17	6516.50	6776.78	USD	6,507.00	
17AUG17	B	1	PD17NOV17	6515.50	6776.78	USD	6,532.00	
17AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
17AUG17	S	1	PD17NOV17	6475.00	6776.78	USD	-7,544.50	
18AUG17	S	2	PD17NOV17	6466.00	6776.78	USD	-15,539.00	
18AUG17	S	1	PD17NOV17	6476.00	6776.78	USD	-7,519.50	
18AUG17	S	1	PD17NOV17	6487.00	6776.78	USD	-7,244.50	
18AUG17	S	2	PD17NOV17	6486.50	6776.78	USD	-14,514.00	
18AUG17	S	1	PD17NOV17	6490.00	6776.78	USD	-7,169.50	
18AUG17	S	2	PD17NOV17	6491.00	6776.78	USD	-14,289.00	
18AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
18AUG17	B	1	PD17NOV17	6490.50	6776.78	USD	7,157.00	
18AUG17	S	1	PD17NOV17	6493.50	6776.78	USD	-7,082.00	
18AUG17	S	2	PD17NOV17	6493.00	6776.78	USD	-14,189.00	
18AUG17	S	1	PD17NOV17	6505.50	6776.78	USD	-6,782.00	
18AUG17	B	2	PD17NOV17	6530.50	6776.78	USD	12,314.00	
18AUG17	B	2	PD17NOV17	6517.00	6776.78	USD	12,989.00	
18AUG17	B	1	PD17NOV17	6499.00	6776.78	USD	6,944.50	
18AUG17	B	1	PD17NOV17	6498.50	6776.78	USD	6,957.00	
18AUG17	B	3	PD17NOV17	6490.00	6776.78	USD	21,508.50	
18AUG17	B	2	PD17NOV17	6491.50	6776.78	USD	14,264.00	
18AUG17	B	1	PD17NOV17	6464.50	6776.78	USD	7,807.00	
18AUG17	S	1	PD17NOV17	6470.00	6776.78	USD	-7,669.50	
18AUG17	S	3	PD17NOV17	6470.50	6776.78	USD	-22,971.00	
18AUG17	B	1	PD17NOV17	6476.00	6776.78	USD	7,519.50	
18AUG17	B	2	PD17NOV17	6489.00	6776.78	USD	14,389.00	
18AUG17	B	1	PD17NOV17	6488.50	6776.78	USD	7,207.00	
30AUG17	B	1	PD17NOV17	6765.95	6776.78	USD	270.75	
	NET	0	AVG BGHT 6512.05	SOLD 6483.28			-14,386.25	0.00
21AUG17	S	1	PD21NOV17	6537.50	6778.92	USD	-6,035.50	
21AUG17	S	1	PD21NOV17	6537.00	6778.92	USD	-6,048.00	
21AUG17	B	1	PD21NOV17	6573.50	6778.92	USD	5,135.50	
21AUG17	B	1	PD21NOV17	6594.50	6778.92	USD	4,610.50	
21AUG17	B	2	PD21NOV17	6594.00	6778.92	USD	9,246.00	
21AUG17	B	1	PD21NOV17	6582.00	6778.92	USD	4,923.00	
21AUG17	B	2	PD21NOV17	6581.50	6778.92	USD	9,871.00	
21AUG17	S	1	PD21NOV17	6569.50	6778.92	USD	-5,235.50	
21AUG17	S	1	PD21NOV17	6573.50	6778.92	USD	-5,135.50	
21AUG17	S	2	PD21NOV17	6576.00	6778.92	USD	-10,146.00	
30AUG17	S	1	PD21NOV17	6766.75	6778.92	USD	-304.25	
	NET	0	AVG BGHT 6585.86	SOLD 6590.89			881.25	0.00
22AUG17	B	1	PD22NOV17	6639.50	6779.45	USD	3,498.75	
22AUG17	S	2	PD22NOV17	6628.00	6779.45	USD	-7,572.50	
22AUG17	B	1	PD22NOV17	6637.50	6779.45	USD	3,548.75	
22AUG17	B	1	PD22NOV17	6643.00	6779.45	USD	3,411.25	

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22AUG17	B	1	PD22NOV17	6645.50	6779.45	USD	3,348.75	
22AUG17	B	2	PD22NOV17	6615.50	6779.45	USD	8,197.50	
22AUG17	S	1	PD22NOV17	6613.50	6779.45	USD	-4,148.75	
22AUG17	B	2	PD22NOV17	6581.50	6779.45	USD	9,897.50	
22AUG17	S	1	PD22NOV17	6613.50	6779.45	USD	-4,148.75	
22AUG17	B	3	PD22NOV17	6585.00	6779.45	USD	14,583.75	
05SEP17	S	7	PD22NOV17	6894.75	6779.45	USD	20,177.50	
	NET	0AVG BGHT 6610.41	SOLD 6795.11				50,793.75	0.00
23AUG17	B	3	PD24NOV17	6542.00	6780.52	USD	17,889.00	
23AUG17	B	1	PD24NOV17	6542.50	6780.52	USD	5,950.50	
23AUG17	B	1	PD24NOV17	6543.50	6780.52	USD	5,925.50	
23AUG17	S	2	PD24NOV17	6578.50	6780.52	USD	-10,101.00	
23AUG17	S	1	PD24NOV17	6579.50	6780.52	USD	-5,025.50	
24AUG17	S	2	PD24NOV17	6614.00	6780.52	USD	-8,326.00	
24AUG17	S	1	PD24NOV17	6617.00	6780.52	USD	-4,088.00	
24AUG17	S	2	PD24NOV17	6645.50	6780.52	USD	-6,751.00	
24AUG17	S	1	PD24NOV17	6646.00	6780.52	USD	-3,363.00	
24AUG17	B	1	PD24NOV17	6673.00	6780.52	USD	2,688.00	
24AUG17	S	1	PD24NOV17	6695.50	6780.52	USD	-2,125.50	
24AUG17	S	2	PD24NOV17	6707.00	6780.52	USD	-3,676.00	
24AUG17	S	1	PD24NOV17	6716.50	6780.52	USD	-1,600.50	
25AUG17	B	1	PD24NOV17	6726.00	6780.52	USD	1,363.00	
25AUG17	S	1	PD24NOV17	6711.50	6780.52	USD	-1,725.50	
25AUG17	B	1	PD24NOV17	6671.50	6780.52	USD	2,725.50	
30AUG17	B	6	PD24NOV17	6767.70	6780.52	USD	1,923.00	
	NET	0AVG BGHT 6670.62	SOLD 6646.86				-8,317.50	0.00
29AUG17	S	1	PD29NOV17	6806.50	6783.18	USD	583.00	
29AUG17	S	2	PD29NOV17	6783.00	6783.18	USD	-9.00	
29AUG17	S	1	PD29NOV17	6786.00	6783.18	USD	70.50	
29AUG17	S	2	PD29NOV17	6788.00	6783.18	USD	241.00	
29AUG17	B	2	PD29NOV17	6792.00	6783.18	USD	-441.00	
30AUG17	B	4	PD29NOV17	6768.95	6783.18	USD	1,423.00	
	NET	0AVG BGHT 6776.63	SOLD 6789.08				1,867.50	0.00
30AUG17	S	2	PD30NOV17	6787.00	6783.72	USD	164.00	
31AUG17	S	1	PD30NOV17	6861.00	6783.72	USD	1,932.00	
31AUG17	S	2	PD30NOV17	6869.00	6783.72	USD	4,264.00	
31AUG17	B	3	PD30NOV17	6866.50	6783.72	USD	-6,208.50	
31AUG17	B	2	PD30NOV17	6826.00	6783.72	USD	-2,114.00	
31AUG17	S	2	PD30NOV17	6784.50	6783.72	USD	39.00	
31AUG17	S	1	PD30NOV17	6796.50	6783.72	USD	319.50	
31AUG17	S	2	PD30NOV17	6786.50	6783.72	USD	139.00	
31AUG17	B	1	PD30NOV17	6789.00	6783.72	USD	-132.00	
31AUG17	B	2	PD30NOV17	6787.50	6783.72	USD	-189.00	
31AUG17	B	2	PD30NOV17	6788.50	6783.72	USD	-239.00	
31AUG17	B	2	PD30NOV17	6776.00	6783.72	USD	386.00	
05SEP17	S	2	PD30NOV17	6897.98	6783.72	USD	5,713.00	
	NET	0AVG BGHT 6812.04	SOLD 6825.62				4,074.00	0.00
01SEP17	S	1	PD01DEC17	6807.00	6784.25	USD	568.75	
01SEP17	S	1	PD01DEC17	6807.50	6784.25	USD	581.25	
01SEP17	S	1	PD01DEC17	6818.00	6784.25	USD	843.75	
05SEP17	B	3	PD01DEC17	6898.58	6784.25	USD	-8,574.75	
	NET	0AVG BGHT 6898.58	SOLD 6810.83				-6,581.00	0.00
04SEP17	S	1	PD04DEC17	6898.50	6785.75	USD	2,818.75	
04SEP17	S	1	PD04DEC17	6903.00	6785.75	USD	2,931.25	
04SEP17	S	1	PD04DEC17	6905.00	6785.75	USD	2,981.25	
04SEP17	S	1	PD04DEC17	6907.50	6785.75	USD	3,043.75	

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04SEP17	S	1	PD04DEC17	6907.00	6785.75	USD	3,031.25	
04SEP17	S	1	PD04DEC17	6896.50	6785.75	USD	2,768.75	
04SEP17	B	1	PD04DEC17	6922.00	6785.75	USD	-3,406.25	
05SEP17	B	5	PD04DEC17	6899.80	6785.75	USD	-14,256.25	
	NET	0 AVG BGHT 6903.50	SOLD 6902.92				-87.50	0.00
05SEP17	S	1	PD05DEC17	6941.50	6786.25	USD	3,881.25	
05SEP17	B	1	PD05DEC17	6900.20	6786.25	USD	-2,848.75	
	NET	0 AVG BGHT 6900.20	SOLD 6941.50				1,032.50	0.00
07SEP17	B	1	PD07DEC17	6862.50	6787.23	USD	-1,881.75	
07SEP17	B	1	PD07DEC17	6864.00	6787.23	USD	-1,919.25	
07SEP17	B	1	PD07DEC17	6862.00	6787.23	USD	-1,869.25	
07SEP17	S	1	PD07DEC17	6886.00	6787.23	USD	2,469.25	
15SEP17	S	2	PD07DEC17	6503.69	6787.23	USD	-14,177.00	
	NET	0 AVG BGHT 6862.83	SOLD 6631.13				-17,378.00	0.00
08SEP17	S	1	PD08DEC17	6939.00	6787.71	USD	3,782.25	
08SEP17	S	1	PD08DEC17	6892.50	6787.71	USD	2,619.75	
15SEP17	B	2	PD08DEC17	6504.30	6787.71	USD	14,170.50	
	NET	0 AVG BGHT 6504.30	SOLD 6915.75				20,572.50	0.00
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	B	1	PD13DEC17	6571.50	6790.10	USD	5,465.00	
13SEP17	B	1	PD13DEC17	6559.00	6790.10	USD	5,777.50	
13SEP17	B	1	PD13DEC17	6548.50	6790.10	USD	6,040.00	
15SEP17	S	1	PD13DEC17	6506.17	6790.10	USD	-7,098.25	
06OCT17	S	3	PD13DEC17	6660.50	6790.10	USD	-9,720.00	
	NET	0 AVG BGHT 6561.50	SOLD 6621.92				6,041.75	0.00
14SEP17	B	1	PD14DEC17	6517.00	6790.58	USD	6,839.50	
14SEP17	B	1	PD14DEC17	6519.50	6790.58	USD	6,777.00	
14SEP17	B	1	PD14DEC17	6506.00	6790.58	USD	7,114.50	
14SEP17	B	1	PD14DEC17	6505.50	6790.58	USD	7,127.00	
14SEP17	B	1	PD14DEC17	6484.50	6790.58	USD	7,652.00	
14SEP17	B	1	PD14DEC17	6484.00	6790.58	USD	7,664.50	
14SEP17	B	2	PD14DEC17	6463.00	6790.58	USD	16,379.00	
14SEP17	S	1	PD14DEC17	6470.00	6790.58	USD	-8,014.50	
14SEP17	S	1	PD14DEC17	6456.50	6790.58	USD	-8,352.00	
14SEP17	S	1	PD14DEC17	6473.50	6790.58	USD	-7,927.00	
14SEP17	S	1	PD14DEC17	6495.50	6790.58	USD	-7,377.00	
14SEP17	S	1	PD14DEC17	6504.00	6790.58	USD	-7,164.50	
14SEP17	S	1	PD14DEC17	6504.50	6790.58	USD	-7,152.00	
14SEP17	S	2	PD14DEC17	6508.00	6790.58	USD	-14,129.00	
14SEP17	S	1	PD14DEC17	6524.00	6790.58	USD	-6,664.50	
14SEP17	S	1	PD14DEC17	6525.00	6790.58	USD	-6,639.50	
14SEP17	B	1	PD14DEC17	6522.50	6790.58	USD	6,702.00	
15SEP17	B	1	PD14DEC17	6506.79	6790.58	USD	7,094.75	
	NET	0 AVG BGHT 6497.18	SOLD 6496.90				-69.75	0.00
15SEP17	S	1	PD15DEC17	6454.50	6791.06	USD	-8,414.00	
15SEP17	S	1	PD15DEC17	6455.00	6791.06	USD	-8,401.50	
06OCT17	B	2	PD15DEC17	6661.10	6791.06	USD	6,498.00	
	NET	0 AVG BGHT 6661.10	SOLD 6454.75				-10,317.50	0.00
18SEP17	S	1	PD18DEC17	6554.00	6792.50	USD	-5,962.50	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6535.50	6792.50	USD	-6,425.00	
18SEP17	S	2	PD18DEC17	6549.50	6792.50	USD	-12,150.00	
18SEP17	S	1	PD18DEC17	6548.00	6792.50	USD	-6,112.50	
18SEP17	B	1	PD18DEC17	6530.50	6792.50	USD	6,550.00	
18SEP17	B	1	PD18DEC17	6532.00	6792.50	USD	6,512.50	

OPEN POSITION STATEMENT



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SAP0038A

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6529.00	6792.50	USD	6,587.50	
18SEP17	B	1	PD18DEC17	6528.00	6792.50	USD	6,612.50	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	1	PD18DEC17	6528.00	6792.50	USD	-6,612.50	
06OCT17	B	1	PD18DEC17	6661.70	6792.50	USD	3,270.00	
06OCT17	B	3	PD18DEC17	6661.50	6792.50	USD	9,825.00	
	NET	0 AVG	BGHT 6595.71	SOLD 6542.06			-10,730.00	0.00
19SEP17	S	1	PD19DEC17	6529.00	6792.50	USD	-6,587.50	
19SEP17	S	1	PD19DEC17	6526.00	6792.50	USD	-6,662.50	
19SEP17	S	1	PD19DEC17	6532.50	6792.50	USD	-6,500.00	
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
19SEP17	B	1	PD19DEC17	6519.50	6792.50	USD	6,825.00	
19SEP17	B	1	PD19DEC17	6509.00	6792.50	USD	7,087.50	
	NET	0 AVG	BGHT 6516.17	SOLD 6529.17			975.00	0.00
20SEP17	S	1	PD20DEC17	6529.00	6792.50	USD	-6,587.50	
20SEP17	S	1	PD20DEC17	6528.50	6792.50	USD	-6,600.00	
20SEP17	S	1	PD20DEC17	6526.50	6792.50	USD	-6,650.00	
20SEP17	S	1	PD20DEC17	6531.50	6792.50	USD	-6,525.00	
06OCT17	B	3	PD20DEC17	6662.50	6792.50	USD	9,750.00	
	NET	-1 AVG	BGHT 6662.50	SOLD 6528.88			-16,612.50	0.00
21SEP17	S	1	PD21DEC17	6469.00	6791.50	USD	-8,062.50	
21SEP17	S	2	PD21DEC17	6469.50	6791.50	USD	-16,100.00	
21SEP17	S	1	PD21DEC17	6443.00	6791.50	USD	-8,712.50	
	NET	-4 AVG	SOLD 6462.75				-32,875.00	0.00
22SEP17	S	1	PD22DEC17	6449.00	6790.50	USD	-8,537.50	
22SEP17	B	1	PD22DEC17	6478.00	6790.50	USD	7,812.50	
22SEP17	B	1	PD22DEC17	6477.50	6790.50	USD	7,825.00	
06OCT17	S	1	PD22DEC17	6660.30	6790.50	USD	-3,255.00	
	NET	0 AVG	BGHT 6477.75	SOLD 6554.65			3,845.00	0.00
25SEP17	S	1	PD27DEC17	6444.00	6793.00	USD	-8,725.00	
26SEP17	S	1	PD27DEC17	6513.00	6793.00	USD	-7,000.00	
26SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
26SEP17	S	1	PD27DEC17	6408.50	6793.00	USD	-9,612.50	
	NET	-4 AVG	SOLD 6457.38				-33,562.50	0.00
28SEP17	S	1	PD28DEC17	6473.00	6793.47	USD	-8,011.75	
28SEP17	B	1	PD28DEC17	6466.50	6793.47	USD	8,174.25	
28SEP17	B	1	PD28DEC17	6502.00	6793.47	USD	7,286.75	
28SEP17	B	1	PD28DEC17	6502.50	6793.47	USD	7,274.25	
28SEP17	B	1	PD28DEC17	6524.50	6793.47	USD	6,724.25	
28SEP17	B	1	PD28DEC17	6570.00	6793.47	USD	5,586.75	
06OCT17	S	4	PD28DEC17	6663.63	6793.47	USD	-12,984.00	
	NET	0 AVG	BGHT 6513.10	SOLD 6625.50			14,050.50	0.00
29SEP17	S	1	PD29DEC17	6519.50	6793.93	USD	-6,860.75	
	NET	-1 AVG	SOLD 6519.50				-6,860.75	0.00
02OCT17	B	1	PD02JAN18	6498.00	6795.80	USD	7,445.00	
02OCT17	S	1	PD02JAN18	6478.00	6795.80	USD	-7,945.00	
	NET	0 AVG	BGHT 6498.00	SOLD 6478.00			-500.00	0.00
03OCT17	S	1	PD03JAN18	6458.50	6796.27	USD	-8,444.25	
03OCT17	S	1	PD03JAN18	6480.00	6796.27	USD	-7,906.75	
03OCT17	S	1	PD03JAN18	6480.50	6796.27	USD	-7,894.25	
03OCT17	S	1	PD03JAN18	6481.00	6796.27	USD	-7,881.75	
03OCT17	B	1	PD03JAN18	6482.50	6796.27	USD	7,844.25	
03OCT17	B	1	PD03JAN18	6502.00	6796.27	USD	7,356.75	
	NET	-2 AVG	BGHT 6492.25	SOLD 6475.00			-16,926.00	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	S 1 PD04JAN18	6513.50	6796.73	USD	-7,080.75	
	NET -1AVG SOLD 6513.50				-7,080.75	0.00
05OCT17	B 1 PD05JAN18	6550.00	6797.20	USD	6,180.00	
05OCT17	B 1 PD05JAN18	6550.50	6797.20	USD	6,167.50	
05OCT17	S 1 PD05JAN18	6589.50	6797.20	USD	-5,192.50	
05OCT17	S 1 PD05JAN18	6590.50	6797.20	USD	-5,167.50	
05OCT17	B 2 PD05JAN18	6594.00	6797.20	USD	10,160.00	
05OCT17	B 1 PD05JAN18	6592.50	6797.20	USD	5,117.50	
05OCT17	S 1 PD05JAN18	6643.50	6797.20	USD	-3,842.50	
05OCT17	B 1 PD05JAN18	6668.50	6797.20	USD	3,217.50	
05OCT17	B 1 PD05JAN18	6670.00	6797.20	USD	3,180.00	
05OCT17	S 1 PD05JAN18	6687.00	6797.20	USD	-2,755.00	
05OCT17	S 1 PD05JAN18	6700.00	6797.20	USD	-2,430.00	
05OCT17	S 1 PD05JAN18	6700.50	6797.20	USD	-2,417.50	
06OCT17	B 1 PD05JAN18	6682.50	6797.20	USD	2,867.50	
06OCT17	B 1 PD05JAN18	6682.00	6797.20	USD	2,880.00	
06OCT17	S 1 PD05JAN18	6659.00	6797.20	USD	-3,455.00	
06OCT17	S 1 PD05JAN18	6666.30	6797.20	USD	-3,272.50	
	NET +1AVG BGHT 6620.44 SOLD 6654.54				11,237.50	0.00
09OCT17	S 1 PD09JAN18	6656.50	6799.07	USD	-3,564.25	
09OCT17	S 1 PD09JAN18	6657.00	6799.07	USD	-3,551.75	
09OCT17	S 1 PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S 1 PD09JAN18	6656.00	6799.07	USD	-3,576.75	
09OCT17	S 1 PD09JAN18	6671.50	6799.07	USD	-3,189.25	
09OCT17	S 1 PD09JAN18	6649.50	6799.07	USD	-3,739.25	
09OCT17	S 1 PD09JAN18	6650.00	6799.07	USD	-3,726.75	
	NET -7AVG SOLD 6657.07				-24,849.75	0.00
11OCT17	S 2 PD11JAN18	6765.00	6800.00	USD	-1,750.00	
11OCT17	B 1 PD11JAN18	6785.50	6800.00	USD	362.50	
11OCT17	B 1 PD11JAN18	6769.50	6800.00	USD	762.50	
11OCT17	B 1 PD11JAN18	6773.00	6800.00	USD	675.00	
	NET +1AVG BGHT 6776.00 SOLD 6765.00				50.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
21SEP17	B 4 DEC17	294.050	309.550	USD	15,500.00	
21SEP17	B 2 DEC17	292.800	309.550	USD	8,375.00	
25SEP17	B 2 DEC17	292.850	309.550	USD	8,350.00	
26SEP17	B 2 DEC17	295.950	309.550	USD	6,800.00	
26SEP17	B 2 DEC17	293.700	309.550	USD	7,925.00	
26SEP17	B 2 DEC17	291.150	309.550	USD	9,200.00	
29SEP17	B 2 DEC17	296.250	309.550	USD	6,650.00	
03OCT17	B 4 DEC17	294.750	309.550	USD	14,800.00	
04OCT17	B 2 DEC17	295.900	309.550	USD	6,825.00	
09OCT17	B 2 DEC17	302.400	309.550	USD	3,575.00	
09OCT17	B 2 DEC17	302.350	309.550	USD	3,600.00	
09OCT17	B 4 DEC17	302.300	309.550	USD	7,250.00	
09OCT17	B 2 DEC17	302.950	309.550	USD	3,300.00	
09OCT17	B 2 DEC17	301.900	309.550	USD	3,825.00	
09OCT17	B 2 DEC17	302.000	309.550	USD	3,775.00	
	NET +36AVG BGHT 297.356				109,750.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	3,076,755.25
CMX Copper	FUTURES	-2,676,200.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	109,750.00	109,750.00	109,750.00	109,750.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	19,005.50	19,005.50	19,005.50	19,005.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-98,648.11	-98,648.11
- Options	0.00	0.00
TOTAL EQUITY	11,101.89	11,101.89
Initial Margins	-319,885.50	-319,885.50
Excess/(Shortage)	-308,783.61	-308,783.61
FOR INFORMATION ONLY		
Forward Unrealised P&L	19,005.50	19,005.50
Net Liquidating Value	30,107.39	30,107.39

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12JUL17	B	1	PD120CT17	5918.00	6755.20	USD	20,930.00	
12JUL17	B	1	PD120CT17	5912.00	6755.20	USD	21,080.00	
12JUL17	B	1	PD120CT17	5908.00	6755.20	USD	21,180.00	
12JUL17	B	1	PD120CT17	5929.00	6755.20	USD	20,655.00	
12JUL17	B	1	PD120CT17	5925.00	6755.20	USD	20,755.00	
12JUL17	B	1	PD120CT17	5924.50	6755.20	USD	20,767.50	
12JUL17	B	1	PD120CT17	5924.00	6755.20	USD	20,780.00	
12JUL17	S	1	PD120CT17	5900.00	6755.20	USD	-21,380.00	
17JUL17	S	2	PD120CT17	5994.21	6755.20	USD	-38,049.50	
21JUL17	S	4	PD120CT17	6003.35	6755.20	USD	-75,185.00	
	NET	0AVG BGHT 5920.07	SOLD 5985.97				11,533.00	0.00
13JUL17	S	1	PD130CT17	5926.00	6756.00	USD	-20,750.00	
13JUL17	S	1	PD130CT17	5886.00	6756.00	USD	-21,750.00	
13JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
13JUL17	S	2	PD130CT17	5881.50	6756.00	USD	-43,725.00	
13JUL17	S	1	PD130CT17	5874.50	6756.00	USD	-22,037.50	
13JUL17	S	1	PD130CT17	5875.00	6756.00	USD	-22,025.00	
13JUL17	B	1	PD130CT17	5889.50	6756.00	USD	21,662.50	
13JUL17	B	1	PD130CT17	5898.00	6756.00	USD	21,450.00	
13JUL17	B	1	PD130CT17	5884.00	6756.00	USD	21,800.00	
13JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
13JUL17	S	1	PD130CT17	5862.00	6756.00	USD	-22,350.00	
13JUL17	S	1	PD130CT17	5862.50	6756.00	USD	-22,337.50	
14JUL17	S	1	PD130CT17	5877.50	6756.00	USD	-21,962.50	
14JUL17	S	1	PD130CT17	5880.50	6756.00	USD	-21,887.50	
14JUL17	B	1	PD130CT17	5908.50	6756.00	USD	21,187.50	
14JUL17	B	1	PD130CT17	5899.00	6756.00	USD	21,425.00	
14JUL17	S	1	PD130CT17	5886.00	6756.00	USD	-21,750.00	
14JUL17	B	1	PD130CT17	5918.50	6756.00	USD	20,937.50	
14JUL17	B	1	PD130CT17	5919.00	6756.00	USD	20,925.00	
14JUL17	B	1	PD130CT17	5928.50	6756.00	USD	20,687.50	
17JUL17	B	3	PD130CT17	5994.77	6756.00	USD	57,092.25	
	NET	0AVG BGHT 5925.78	SOLD 5881.17				-13,382.75	0.00
17JUL17	S	1	PD170CT17	5980.00	6759.20	USD	-19,480.00	
17JUL17	S	1	PD170CT17	5980.50	6759.20	USD	-19,467.50	
17JUL17	S	1	PD170CT17	5950.00	6759.20	USD	-20,230.00	
17JUL17	B	1	PD170CT17	5990.00	6759.20	USD	19,230.00	
17JUL17	B	1	PD170CT17	5989.50	6759.20	USD	19,242.50	
17JUL17	B	1	PD170CT17	5987.50	6759.20	USD	19,292.50	
17JUL17	S	1	PD170CT17	5984.00	6759.20	USD	-19,380.00	
17JUL17	S	1	PD170CT17	5984.50	6759.20	USD	-19,367.50	
17JUL17	S	1	PD170CT17	5988.50	6759.20	USD	-19,267.50	
17JUL17	B	1	PD170CT17	5997.00	6759.20	USD	19,055.00	
17JUL17	S	1	PD170CT17	6007.50	6759.20	USD	-18,792.50	
17JUL17	S	1	PD170CT17	6008.00	6759.20	USD	-18,780.00	
17JUL17	B	1	PD170CT17	6007.50	6759.20	USD	18,792.50	
17JUL17	B	1	PD170CT17	6009.00	6759.20	USD	18,755.00	
17JUL17	B	1	PD170CT17	6008.00	6759.20	USD	18,780.00	
17JUL17	B	1	PD170CT17	6017.50	6759.20	USD	18,542.50	
17JUL17	B	1	PD170CT17	6011.50	6759.20	USD	18,692.50	
17JUL17	B	1	PD170CT17	5999.50	6759.20	USD	18,992.50	
17JUL17	B	1	PD170CT17	5993.50	6759.20	USD	19,142.50	
21JUL17	S	2	PD170CT17	6005.56	6759.20	USD	-37,682.00	
27JUL17	S	1	PD170CT17	6329.68	6759.20	USD	-10,738.00	
	NET	0AVG BGHT 6000.95	SOLD 6020.35				5,332.50	0.00
18JUL17	S	1	PD180CT17	5997.50	6760.00	USD	-19,062.50	

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18JUL17	S	1	PD18OCT17	5998.00	6760.00	USD	-19,050.00	
18JUL17	B	1	PD18OCT17	5998.50	6760.00	USD	19,037.50	
18JUL17	B	1	PD18OCT17	5992.50	6760.00	USD	19,187.50	
	NET	0AVG BGHT 5995.50	SOLD 5997.75				112.50	0.00
19JUL17	S	1	PD19OCT17	6002.00	6760.88	USD	-18,972.00	
19JUL17	S	1	PD19OCT17	6002.50	6760.88	USD	-18,959.50	
19JUL17	B	1	PD19OCT17	5985.50	6760.88	USD	19,384.50	
19JUL17	S	1	PD19OCT17	5987.00	6760.88	USD	-19,347.00	
19JUL17	B	1	PD19OCT17	5991.00	6760.88	USD	19,247.00	
19JUL17	S	1	PD19OCT17	5978.50	6760.88	USD	-19,559.50	
19JUL17	S	1	PD19OCT17	5973.50	6760.88	USD	-19,684.50	
19JUL17	S	1	PD19OCT17	5968.50	6760.88	USD	-19,809.50	
19JUL17	S	1	PD19OCT17	5969.50	6760.88	USD	-19,784.50	
21JUL17	B	5	PD19OCT17	6005.20	6760.88	USD	94,460.00	
	NET	0AVG BGHT 6000.36	SOLD 5983.07				-3,025.00	0.00
20JUL17	S	1	PD20OCT17	5954.50	6761.75	USD	-20,181.25	
21JUL17	B	1	PD20OCT17	6009.00	6761.75	USD	18,818.75	
21JUL17	B	1	PD20OCT17	6000.50	6761.75	USD	19,031.25	
21JUL17	B	1	PD20OCT17	6025.00	6761.75	USD	18,418.75	
21JUL17	B	1	PD20OCT17	6024.00	6761.75	USD	18,443.75	
21JUL17	B	1	PD20OCT17	6039.00	6761.75	USD	18,068.75	
21JUL17	B	1	PD20OCT17	6038.00	6761.75	USD	18,093.75	
21JUL17	B	1	PD20OCT17	6037.00	6761.75	USD	18,118.75	
21JUL17	B	1	PD20OCT17	6038.50	6761.75	USD	18,081.25	
21JUL17	S	1	PD20OCT17	6045.50	6761.75	USD	-17,906.25	
21JUL17	S	1	PD20OCT17	6016.50	6761.75	USD	-18,631.25	
21JUL17	S	1	PD20OCT17	6014.00	6761.75	USD	-18,693.75	
21JUL17	S	1	PD20OCT17	6010.00	6761.75	USD	-18,793.75	
21JUL17	S	1	PD20OCT17	5987.50	6761.75	USD	-19,356.25	
21JUL17	S	1	PD20OCT17	6000.50	6761.75	USD	-19,031.25	
21JUL17	S	1	PD20OCT17	6001.00	6761.75	USD	-19,018.75	
21JUL17	B	1	PD20OCT17	6004.20	6761.75	USD	18,938.75	
27JUL17	S	1	PD20OCT17	6328.00	6761.75	USD	-10,843.75	
	NET	0AVG BGHT 6023.91	SOLD 6039.72				3,557.50	0.00
24JUL17	S	1	PD24OCT17	5998.50	6765.25	USD	-19,168.75	
24JUL17	S	1	PD24OCT17	5995.00	6765.25	USD	-19,256.25	
27JUL17	B	2	PD24OCT17	6329.34	6765.25	USD	21,795.50	
	NET	0AVG BGHT 6329.34	SOLD 5996.75				-16,629.50	0.00
25JUL17	B	1	PD25OCT17	6118.50	6765.68	USD	16,179.50	
25JUL17	B	1	PD25OCT17	6142.00	6765.68	USD	15,592.00	
25JUL17	B	1	PD25OCT17	6141.50	6765.68	USD	15,604.50	
25JUL17	S	1	PD25OCT17	6196.00	6765.68	USD	-14,242.00	
25JUL17	B	1	PD25OCT17	6179.00	6765.68	USD	14,667.00	
25JUL17	B	1	PD25OCT17	6182.50	6765.68	USD	14,579.50	
25JUL17	B	1	PD25OCT17	6210.50	6765.68	USD	13,879.50	
25JUL17	B	1	PD25OCT17	6226.00	6765.68	USD	13,492.00	
25JUL17	B	1	PD25OCT17	6198.00	6765.68	USD	14,192.00	
25JUL17	B	1	PD25OCT17	6197.00	6765.68	USD	14,217.00	
25JUL17	B	1	PD25OCT17	6196.50	6765.68	USD	14,229.50	
25JUL17	B	1	PD25OCT17	6195.00	6765.68	USD	14,267.00	
25JUL17	B	2	PD25OCT17	6194.50	6765.68	USD	28,559.00	
25JUL17	S	1	PD25OCT17	6203.00	6765.68	USD	-14,067.00	
25JUL17	S	2	PD25OCT17	6279.00	6765.68	USD	-24,334.00	
27JUL17	S	5	PD25OCT17	6329.43	6765.68	USD	-54,531.25	
31JUL17	S	1	PD25OCT17	6366.75	6765.68	USD	-9,973.25	
11AUG17	S	3	PD25OCT17	6404.90	6765.68	USD	-27,058.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AA

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET	0 AVG BGHT 6182.73	SOLD 6321.97		45,252.50	0.00
26JUL17	S 1 PD260CT17	6345.50	6766.11	USD	-10,515.25	
26JUL17	S 1 PD260CT17	6347.50	6766.11	USD	-10,465.25	
26JUL17	S 1 PD260CT17	6348.00	6766.11	USD	-10,452.75	
26JUL17	S 1 PD260CT17	6351.00	6766.11	USD	-10,377.75	
26JUL17	S 1 PD260CT17	6353.00	6766.11	USD	-10,327.75	
26JUL17	S 1 PD260CT17	6357.00	6766.11	USD	-10,227.75	
26JUL17	S 1 PD260CT17	6373.00	6766.11	USD	-9,827.75	
26JUL17	S 1 PD260CT17	6396.00	6766.11	USD	-9,252.75	
26JUL17	B 1 PD260CT17	6319.00	6766.11	USD	11,177.75	
26JUL17	B 1 PD260CT17	6317.50	6766.11	USD	11,215.25	
26JUL17	B 1 PD260CT17	6310.00	6766.11	USD	11,402.75	
26JUL17	B 1 PD260CT17	6309.50	6766.11	USD	11,415.25	
26JUL17	B 1 PD260CT17	6301.00	6766.11	USD	11,627.75	
26JUL17	B 1 PD260CT17	6298.00	6766.11	USD	11,702.75	
26JUL17	B 1 PD260CT17	6266.50	6766.11	USD	12,490.25	
26JUL17	B 1 PD260CT17	6265.50	6766.11	USD	12,515.25	
26JUL17	B 1 PD260CT17	6275.00	6766.11	USD	12,277.75	
26JUL17	S 1 PD260CT17	6346.00	6766.11	USD	-10,502.75	
26JUL17	S 1 PD260CT17	6302.50	6766.11	USD	-11,590.25	
26JUL17	S 1 PD260CT17	6315.00	6766.11	USD	-11,277.75	
26JUL17	S 1 PD260CT17	6316.00	6766.11	USD	-11,252.75	
26JUL17	S 1 PD260CT17	6327.50	6766.11	USD	-10,965.25	
26JUL17	S 1 PD260CT17	6331.50	6766.11	USD	-10,865.25	
27JUL17	B 5 PD260CT17	6329.91	6766.11	USD	54,525.00	
	NET	0 AVG BGHT 6307.97	SOLD 6343.54		12,448.75	0.00
27JUL17	S 1 PD270CT17	6367.50	6766.54	USD	-9,976.00	
27JUL17	S 1 PD270CT17	6369.50	6766.54	USD	-9,926.00	
27JUL17	B 1 PD270CT17	6375.00	6766.54	USD	9,788.50	
27JUL17	B 1 PD270CT17	6353.50	6766.54	USD	10,326.00	
27JUL17	B 1 PD270CT17	6350.50	6766.54	USD	10,401.00	
27JUL17	B 1 PD270CT17	6341.00	6766.54	USD	10,638.50	
27JUL17	B 1 PD270CT17	6340.00	6766.54	USD	10,663.50	
27JUL17	S 1 PD270CT17	6345.50	6766.54	USD	-10,526.00	
27JUL17	S 1 PD270CT17	6340.00	6766.54	USD	-10,663.50	
27JUL17	S 1 PD270CT17	6342.50	6766.54	USD	-10,601.00	
28JUL17	S 1 PD270CT17	6329.00	6766.54	USD	-10,938.50	
31JUL17	B 1 PD270CT17	6367.95	6766.54	USD	9,964.75	
	NET	0 AVG BGHT 6354.66	SOLD 6349.00		-848.75	0.00
31JUL17	S 1 PD310CT17	6388.00	6768.25	USD	-9,506.25	
31JUL17	B 1 PD310CT17	6414.50	6768.25	USD	8,843.75	
31JUL17	B 1 PD310CT17	6414.00	6768.25	USD	8,856.25	
31JUL17	S 1 PD310CT17	6404.00	6768.25	USD	-9,106.25	
31JUL17	S 1 PD310CT17	6412.50	6768.25	USD	-8,893.75	
31JUL17	S 1 PD310CT17	6397.50	6768.25	USD	-9,268.75	
31JUL17	S 1 PD310CT17	6398.50	6768.25	USD	-9,243.75	
11AUG17	B 3 PD310CT17	6407.39	6768.25	USD	27,064.50	
	NET	0 AVG BGHT 6410.13	SOLD 6400.10		-1,254.25	0.00
01AUG17	B 1 PD01NOV17	6340.50	6769.18	USD	10,717.00	
01AUG17	B 1 PD01NOV17	6337.50	6769.18	USD	10,792.00	
01AUG17	B 1 PD01NOV17	6337.00	6769.18	USD	10,804.50	
01AUG17	S 1 PD01NOV17	6345.50	6769.18	USD	-10,592.00	
01AUG17	B 1 PD01NOV17	6352.00	6769.18	USD	10,429.50	
11AUG17	S 3 PD01NOV17	6407.57	6769.18	USD	-27,120.75	
	NET	0 AVG BGHT 6341.75	SOLD 6392.05		5,030.25	0.00
02AUG17	S 1 PD02NOV17	6331.00	6770.10	USD	-10,977.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AA

11th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
02AUG17	S	1	PD02NOV17		6323.00	6770.10	USD	-11,177.50	
02AUG17	S	1	PD02NOV17		6330.50	6770.10	USD	-10,990.00	
02AUG17	B	1	PD02NOV17		6334.00	6770.10	USD	10,902.50	
02AUG17	B	1	PD02NOV17		6331.00	6770.10	USD	10,977.50	
02AUG17	B	1	PD02NOV17		6359.00	6770.10	USD	10,277.50	
	NET	0 AVG	BGHT 6341.33	SOLD 6328.17				-987.50	0.00
03AUG17	S	1	PD03NOV17		6309.00	6771.03	USD	-11,550.75	
03AUG17	S	1	PD03NOV17		6313.00	6771.03	USD	-11,450.75	
03AUG17	S	1	PD03NOV17		6314.00	6771.03	USD	-11,425.75	
11AUG17	B	3	PD03NOV17		6408.53	6771.03	USD	27,187.50	
	NET	0 AVG	BGHT 6408.53	SOLD 6312.00				-7,239.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 39,899.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	39,899.50	39,899.50	39,899.50	39,899.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-32,403.92	-32,403.92
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-32,403.92	-32,403.92
Initial Margins	0.00	0.00
Excess/(Shortage)	-32,403.92	-32,403.92
FOR INFORMATION ONLY		
Forward Unrealised P&L	39,899.50	39,899.50
Net Liquidating Value	7,495.58	7,495.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AB

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-85.00	-85.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-85.00	-85.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-85.00	-85.00
FOR INFORMATION ONLY		
Net Liquidating Value	-85.00	-85.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB038**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-131,137.03	-131,137.03
Variation Margins		
- Futures	109,750.00	109,750.00
- Options	0.00	0.00
TOTAL EQUITY	-21,387.03	-21,387.03
Initial Margins	-279,986.00	-279,986.00
Excess/(Shortage)	-301,373.03	-301,373.03
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	58,905.00	58,905.00
Net Liquidating Value	37,517.97	37,517.97

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

11th October 2017

Sapien Capital Limited
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD24OCT17	6007.00	6765.25	USD	-18,956.25	
24JUL17	S	2	PD24OCT17	6006.00	6765.25	USD	-37,962.50	
24JUL17	S	2	PD24OCT17	6010.00	6765.25	USD	-37,762.50	
15AUG17	B	5	PD24OCT17	6370.53	6765.25	USD	49,340.00	
	NET	0 AVG BGHT 6370.53	SOLD 6007.80				-45,341.25	0.00
15AUG17	B	20	PD01NOV17	6374.30	6769.18	USD	197,440.00	
16AUG17	S	20	PD01NOV17	6527.73	6769.18	USD	-120,725.00	
	NET	0 AVG BGHT 6374.30	SOLD 6527.73				76,715.00	0.00
10AUG17	S	1	PD10NOV17	6449.00	6777.50	USD	-8,212.50	
10AUG17	S	3	PD10NOV17	6447.50	6777.50	USD	-24,750.00	
10AUG17	S	1	PD10NOV17	6448.00	6777.50	USD	-8,237.50	
10AUG17	S	2	PD10NOV17	6452.50	6777.50	USD	-16,250.00	
10AUG17	S	1	PD10NOV17	6455.50	6777.50	USD	-8,050.00	
10AUG17	S	2	PD10NOV17	6456.00	6777.50	USD	-16,075.00	
10AUG17	S	1	PD10NOV17	6452.00	6777.50	USD	-8,137.50	
10AUG17	S	5	PD10NOV17	6450.50	6777.50	USD	-40,875.00	
10AUG17	S	2	PD10NOV17	6451.00	6777.50	USD	-16,325.00	
10AUG17	S	2	PD10NOV17	6450.00	6777.50	USD	-16,375.00	
10AUG17	S	1	PD10NOV17	6455.00	6777.50	USD	-8,062.50	
10AUG17	S	3	PD10NOV17	6453.00	6777.50	USD	-24,337.50	
10AUG17	S	3	PD10NOV17	6454.00	6777.50	USD	-24,262.50	
10AUG17	S	1	PD10NOV17	6451.00	6777.50	USD	-8,162.50	
10AUG17	S	1	PD10NOV17	6455.00	6777.50	USD	-8,062.50	
10AUG17	S	1	PD10NOV17	6452.00	6777.50	USD	-8,137.50	
11AUG17	B	4	PD10NOV17	6423.00	6777.50	USD	35,450.00	
11AUG17	B	2	PD10NOV17	6425.00	6777.50	USD	17,625.00	
11AUG17	B	1	PD10NOV17	6426.00	6777.50	USD	8,787.50	
11AUG17	B	2	PD10NOV17	6425.50	6777.50	USD	17,600.00	
11AUG17	B	1	PD10NOV17	6424.00	6777.50	USD	8,837.50	
16AUG17	B	20	PD10NOV17	6532.45	6777.50	USD	122,525.00	
	NET	0 AVG BGHT 6496.40	SOLD 6451.75				-33,487.50	0.00
14AUG17	B	1	PD14NOV17	6399.00	6776.83	USD	9,445.75	
14AUG17	B	3	PD14NOV17	6396.50	6776.83	USD	28,524.75	
14AUG17	B	3	PD14NOV17	6397.50	6776.83	USD	28,449.75	
14AUG17	B	1	PD14NOV17	6399.50	6776.83	USD	9,433.25	
14AUG17	B	4	PD14NOV17	6403.00	6776.83	USD	37,383.00	
14AUG17	B	1	PD14NOV17	6402.50	6776.83	USD	9,358.25	
14AUG17	B	1	PD14NOV17	6400.00	6776.83	USD	9,420.75	
14AUG17	B	1	PD14NOV17	6401.00	6776.83	USD	9,395.75	
15SEP17	S	15	PD14NOV17	6495.25	6776.83	USD	-105,592.50	
	NET	0 AVG BGHT 6399.73	SOLD 6495.25				35,818.75	0.00
15AUG17	S	1	PD15NOV17	6387.50	6776.50	USD	-9,725.00	
15AUG17	S	2	PD15NOV17	6389.00	6776.50	USD	-19,375.00	
15AUG17	S	1	PD15NOV17	6387.00	6776.50	USD	-9,737.50	
15AUG17	S	1	PD15NOV17	6390.50	6776.50	USD	-9,650.00	
15AUG17	S	1	PD15NOV17	6392.00	6776.50	USD	-9,612.50	
15AUG17	S	2	PD15NOV17	6391.00	6776.50	USD	-19,275.00	
15AUG17	S	1	PD15NOV17	6388.50	6776.50	USD	-9,700.00	
15AUG17	S	1	PD15NOV17	6386.50	6776.50	USD	-9,750.00	
16AUG17	B	10	PD15NOV17	6533.70	6776.50	USD	60,700.00	
	NET	0 AVG BGHT 6533.70	SOLD 6389.20				-36,125.00	0.00
16AUG17	S	2	PD16NOV17	6417.00	6776.25	USD	-17,962.50	
16AUG17	S	2	PD16NOV17	6418.50	6776.25	USD	-17,887.50	
16AUG17	S	1	PD16NOV17	6419.50	6776.25	USD	-8,918.75	
16AUG17	B	1	PD16NOV17	6469.50	6776.25	USD	7,668.75	
16AUG17	B	2	PD16NOV17	6469.00	6776.25	USD	15,362.50	

OPEN POSITION STATEMENT



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SAP0054M

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6468.50	6776.25	USD	7,693.75	
16AUG17	B	1	PD16NOV17	6468.00	6776.25	USD	7,706.25	
16AUG17	B	1	PD16NOV17	6487.50	6776.25	USD	7,218.75	
16AUG17	B	1	PD16NOV17	6487.00	6776.25	USD	7,231.25	
16AUG17	B	3	PD16NOV17	6488.00	6776.25	USD	21,618.75	
16AUG17	B	2	PD16NOV17	6489.50	6776.25	USD	14,337.50	
16AUG17	B	1	PD16NOV17	6488.50	6776.25	USD	7,193.75	
16AUG17	B	2	PD16NOV17	6490.00	6776.25	USD	14,312.50	
16AUG17	B	1	PD16NOV17	6491.50	6776.25	USD	7,118.75	
16AUG17	B	1	PD16NOV17	6490.50	6776.25	USD	7,143.75	
16AUG17	B	2	PD16NOV17	6492.00	6776.25	USD	14,212.50	
16AUG17	B	2	PD16NOV17	6495.00	6776.25	USD	14,062.50	
16AUG17	B	1	PD16NOV17	6495.50	6776.25	USD	7,018.75	
16AUG17	B	1	PD16NOV17	6493.50	6776.25	USD	7,068.75	
16AUG17	B	1	PD16NOV17	6493.00	6776.25	USD	7,081.25	
16AUG17	B	1	PD16NOV17	6492.00	6776.25	USD	7,106.25	
15SEP17	S	20	PD16NOV17	6495.00	6776.25	USD	-140,625.00	
	NET	0AVG BGHT 6486.40	SOLD 6479.62				-4,237.50	0.00
06SEP17	S	1	PD06DEC17	6887.50	6786.75	USD	2,518.75	
06SEP17	S	1	PD06DEC17	6888.50	6786.75	USD	2,543.75	
06SEP17	S	1	PD06DEC17	6889.00	6786.75	USD	2,556.25	
06SEP17	S	1	PD06DEC17	6887.00	6786.75	USD	2,506.25	
06SEP17	S	1	PD06DEC17	6886.50	6786.75	USD	2,493.75	
06SEP17	S	1	PD06DEC17	6881.50	6786.75	USD	2,368.75	
06SEP17	S	1	PD06DEC17	6880.50	6786.75	USD	2,343.75	
06SEP17	S	3	PD06DEC17	6882.00	6786.75	USD	7,143.75	
06SEP17	S	1	PD06DEC17	6894.50	6786.75	USD	2,693.75	
06SEP17	S	1	PD06DEC17	6895.50	6786.75	USD	2,718.75	
06SEP17	S	1	PD06DEC17	6897.50	6786.75	USD	2,768.75	
06SEP17	S	1	PD06DEC17	6898.00	6786.75	USD	2,781.25	
06SEP17	S	1	PD06DEC17	6895.50	6786.75	USD	2,718.75	
15SEP17	B	15	PD06DEC17	6503.48	6786.75	USD	106,226.25	
	NET	0AVG BGHT 6503.48	SOLD 6888.50				144,382.50	0.00
07SEP17	S	1	PD07DEC17	6862.00	6787.23	USD	1,869.25	
07SEP17	S	1	PD07DEC17	6862.50	6787.23	USD	1,881.75	
07SEP17	S	1	PD07DEC17	6861.50	6787.23	USD	1,856.75	
07SEP17	S	1	PD07DEC17	6859.50	6787.23	USD	1,806.75	
07SEP17	S	1	PD07DEC17	6861.00	6787.23	USD	1,844.25	
07SEP17	S	1	PD07DEC17	6892.00	6787.23	USD	2,619.25	
07SEP17	S	1	PD07DEC17	6890.00	6787.23	USD	2,569.25	
07SEP17	S	1	PD07DEC17	6892.50	6787.23	USD	2,631.75	
07SEP17	S	2	PD07DEC17	6891.00	6787.23	USD	5,188.50	
07SEP17	S	1	PD07DEC17	6886.50	6787.23	USD	2,481.75	
07SEP17	S	2	PD07DEC17	6887.00	6787.23	USD	4,988.50	
07SEP17	S	1	PD07DEC17	6882.00	6787.23	USD	2,369.25	
07SEP17	S	2	PD07DEC17	6902.00	6787.23	USD	5,738.50	
07SEP17	S	2	PD07DEC17	6900.50	6787.23	USD	5,663.50	
07SEP17	S	1	PD07DEC17	6901.00	6787.23	USD	2,844.25	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
15SEP17	B	20	PD07DEC17	6503.89	6787.23	USD	141,670.00	
	NET	0AVG BGHT 6503.89	SOLD 6884.73				190,417.50	0.00
08SEP17	S	1	PD08DEC17	6682.50	6787.71	USD	-2,630.25	
08SEP17	S	2	PD08DEC17	6683.00	6787.71	USD	-5,235.50	
08SEP17	S	1	PD08DEC17	6679.00	6787.71	USD	-2,717.75	
08SEP17	B	1	PD08DEC17	6675.00	6787.71	USD	2,817.75	
08SEP17	B	1	PD08DEC17	6679.50	6787.71	USD	2,705.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6679.50	6787.71	USD	-2,705.25	
08SEP17	S	1	PD08DEC17	6678.50	6787.71	USD	-2,730.25	
15SEP17	B	4	PD08DEC17	6504.10	6787.71	USD	28,361.00	
	NET	0AVG BGHT 6561.82	SOLD 6680.92				17,865.00	0.00
11SEP17	B	1	PD11DEC17	6736.00	6789.15	USD	1,328.75	
11SEP17	B	1	PD11DEC17	6735.00	6789.15	USD	1,353.75	
11SEP17	B	1	PD11DEC17	6735.50	6789.15	USD	1,341.25	
11SEP17	B	1	PD11DEC17	6734.50	6789.15	USD	1,366.25	
15SEP17	S	4	PD11DEC17	6505.14	6789.15	USD	-28,401.00	
	NET	0AVG BGHT 6735.25	SOLD 6505.14				-23,011.00	0.00
12SEP17	S	1	PD12DEC17	6708.00	6789.63	USD	-2,040.75	
12SEP17	S	1	PD12DEC17	6708.50	6789.63	USD	-2,028.25	
12SEP17	S	1	PD12DEC17	6709.00	6789.63	USD	-2,015.75	
12SEP17	S	1	PD12DEC17	6709.50	6789.63	USD	-2,003.25	
12SEP17	S	1	PD12DEC17	6711.00	6789.63	USD	-1,965.75	
12SEP17	S	1	PD12DEC17	6712.50	6789.63	USD	-1,928.25	
12SEP17	S	2	PD12DEC17	6713.50	6789.63	USD	-3,806.50	
12SEP17	S	1	PD12DEC17	6714.50	6789.63	USD	-1,878.25	
12SEP17	B	1	PD12DEC17	6736.50	6789.63	USD	1,328.25	
12SEP17	B	1	PD12DEC17	6735.00	6789.63	USD	1,365.75	
12SEP17	B	1	PD12DEC17	6738.00	6789.63	USD	1,290.75	
12SEP17	B	2	PD12DEC17	6737.00	6789.63	USD	2,631.50	
12SEP17	B	4	PD12DEC17	6735.50	6789.63	USD	5,413.00	
12SEP17	S	1	PD12DEC17	6708.00	6789.63	USD	-2,040.75	
12SEP17	B	1	PD12DEC17	6737.00	6789.63	USD	1,315.75	
12SEP17	S	1	PD12DEC17	6643.00	6789.63	USD	-3,665.75	
12SEP17	S	1	PD12DEC17	6645.00	6789.63	USD	-3,615.75	
12SEP17	S	1	PD12DEC17	6645.50	6789.63	USD	-3,603.25	
12SEP17	S	2	PD12DEC17	6647.00	6789.63	USD	-7,131.50	
12SEP17	S	1	PD12DEC17	6644.50	6789.63	USD	-3,628.25	
12SEP17	S	1	PD12DEC17	6639.00	6789.63	USD	-3,765.75	
12SEP17	S	2	PD12DEC17	6638.50	6789.63	USD	-7,556.50	
12SEP17	S	1	PD12DEC17	6637.50	6789.63	USD	-3,803.25	
12SEP17	B	1	PD12DEC17	6670.00	6789.63	USD	2,990.75	
12SEP17	B	3	PD12DEC17	6670.50	6789.63	USD	8,934.75	
12SEP17	B	1	PD12DEC17	6673.50	6789.63	USD	2,903.25	
12SEP17	B	3	PD12DEC17	6673.00	6789.63	USD	8,747.25	
12SEP17	B	1	PD12DEC17	6672.50	6789.63	USD	2,928.25	
12SEP17	S	1	PD12DEC17	6671.00	6789.63	USD	-2,965.75	
12SEP17	S	1	PD12DEC17	6674.00	6789.63	USD	-2,890.75	
12SEP17	S	2	PD12DEC17	6675.50	6789.63	USD	-5,706.50	
15SEP17	B	5	PD12DEC17	6505.76	6789.63	USD	35,483.75	
	NET	0AVG BGHT 6664.08	SOLD 6676.23				7,292.50	0.00
13SEP17	B	2	PD13DEC17	6595.50	6790.10	USD	9,730.00	
13SEP17	B	1	PD13DEC17	6595.00	6790.10	USD	4,877.50	
13SEP17	B	2	PD13DEC17	6594.00	6790.10	USD	9,805.00	
13SEP17	B	2	PD13DEC17	6586.00	6790.10	USD	10,205.00	
13SEP17	B	1	PD13DEC17	6583.50	6790.10	USD	5,165.00	
13SEP17	B	1	PD13DEC17	6582.00	6790.10	USD	5,202.50	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	1	PD13DEC17	6566.50	6790.10	USD	5,590.00	
13SEP17	B	3	PD13DEC17	6565.00	6790.10	USD	16,882.50	
13SEP17	B	1	PD13DEC17	6585.50	6790.10	USD	5,115.00	
13SEP17	S	2	PD13DEC17	6553.50	6790.10	USD	-11,830.00	
13SEP17	S	4	PD13DEC17	6556.00	6790.10	USD	-23,410.00	
13SEP17	S	2	PD13DEC17	6555.50	6790.10	USD	-11,730.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6554.00	6790.10	USD	-5,902.50	
13SEP17	S	1	PD13DEC17	6556.50	6790.10	USD	-5,840.00	
15SEP17	S	5	PD13DEC17	6505.97	6790.10	USD	-35,516.25	
	NET	0	AVG BGHT 6581.53				-16,016.25	0.00
14SEP17	B	1	PD14DEC17	6516.00	6790.58	USD	6,864.50	
14SEP17	B	2	PD14DEC17	6515.00	6790.58	USD	13,779.00	
14SEP17	B	2	PD14DEC17	6514.50	6790.58	USD	13,804.00	
14SEP17	B	1	PD14DEC17	6503.00	6790.58	USD	7,189.50	
14SEP17	B	1	PD14DEC17	6502.50	6790.58	USD	7,202.00	
14SEP17	B	1	PD14DEC17	6501.50	6790.58	USD	7,227.00	
14SEP17	B	3	PD14DEC17	6502.00	6790.58	USD	21,643.50	
14SEP17	S	1	PD14DEC17	6499.00	6790.58	USD	-7,289.50	
14SEP17	S	1	PD14DEC17	6496.50	6790.58	USD	-7,352.00	
14SEP17	S	5	PD14DEC17	6497.00	6790.58	USD	-36,697.50	
14SEP17	S	1	PD14DEC17	6497.50	6790.58	USD	-7,327.00	
14SEP17	S	1	PD14DEC17	6496.00	6790.58	USD	-7,364.50	
14SEP17	S	2	PD14DEC17	6493.50	6790.58	USD	-14,854.00	
14SEP17	S	1	PD14DEC17	6517.00	6790.58	USD	-6,839.50	
14SEP17	S	1	PD14DEC17	6515.00	6790.58	USD	-6,889.50	
14SEP17	S	1	PD14DEC17	6513.00	6790.58	USD	-6,939.50	
14SEP17	S	2	PD14DEC17	6513.50	6790.58	USD	-13,854.00	
14SEP17	S	1	PD14DEC17	6509.00	6790.58	USD	-7,039.50	
14SEP17	S	1	PD14DEC17	6508.50	6790.58	USD	-7,052.00	
14SEP17	S	1	PD14DEC17	6510.00	6790.58	USD	-7,014.50	
14SEP17	S	2	PD14DEC17	6509.50	6790.58	USD	-14,054.00	
03OCT17	B	10	PD14DEC17	6515.38	6790.58	USD	68,800.00	
	NET	0	AVG BGHT 6511.51				-4,057.50	0.00
18SEP17	S	1	PD18DEC17	6526.00	6792.50	USD	-6,662.50	
18SEP17	S	1	PD18DEC17	6527.00	6792.50	USD	-6,637.50	
18SEP17	S	1	PD18DEC17	6524.50	6792.50	USD	-6,700.00	
18SEP17	S	3	PD18DEC17	6525.00	6792.50	USD	-20,062.50	
18SEP17	S	1	PD18DEC17	6521.50	6792.50	USD	-6,775.00	
18SEP17	S	1	PD18DEC17	6523.00	6792.50	USD	-6,737.50	
18SEP17	S	2	PD18DEC17	6524.00	6792.50	USD	-13,425.00	
03OCT17	B	10	PD18DEC17	6516.96	6792.50	USD	68,885.00	
	NET	0	AVG BGHT 6516.96				1,885.00	0.00
28SEP17	B	1	PD28DEC17	6521.50	6793.47	USD	6,799.25	
28SEP17	B	2	PD28DEC17	6522.00	6793.47	USD	13,573.50	
28SEP17	B	1	PD28DEC17	6521.00	6793.47	USD	6,811.75	
28SEP17	B	1	PD28DEC17	6524.00	6793.47	USD	6,736.75	
28SEP17	B	2	PD28DEC17	6523.50	6793.47	USD	13,498.50	
28SEP17	B	3	PD28DEC17	6524.50	6793.47	USD	20,172.75	
28SEP17	B	4	PD28DEC17	6527.00	6793.47	USD	26,647.00	
28SEP17	B	2	PD28DEC17	6528.50	6793.47	USD	13,248.50	
28SEP17	B	2	PD28DEC17	6529.50	6793.47	USD	13,198.50	
28SEP17	B	2	PD28DEC17	6533.50	6793.47	USD	12,998.50	
03OCT17	S	20	PD28DEC17	6517.68	6793.47	USD	-137,895.00	
	NET	0	AVG BGHT 6526.10				-4,210.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 307,890.25
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	307,890.25	307,890.25	307,890.25	307,890.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-303,919.55	-303,919.55
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-303,919.55	-303,919.55
Initial Margins	0.00	0.00
Excess/(Shortage)	-303,919.55	-303,919.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	307,890.25	307,890.25
Net Liquidating Value	3,970.70	3,970.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB054**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		-303,919.55	-303,919.55
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-303,919.55	-303,919.55
Initial Margins		0.00	0.00
Excess/(Shortage)		-303,919.55	-303,919.55
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		307,890.25	307,890.25
Net Liquidating Value		3,970.70	3,970.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0066A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	91,487.60	91,487.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	91,487.60	91,487.60
Initial Margins	0.00	0.00
Excess/(Shortage)	91,487.60	91,487.60
FOR INFORMATION ONLY		
Net Liquidating Value	91,487.60	91,487.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0066 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB066**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	91,487.60	91,487.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	91,487.60	91,487.60
Initial Margins	0.00	0.00
Excess/(Shortage)	91,487.60	91,487.60
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	91,487.60	91,487.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	3	PD07NOV17	6416.00	6774.73	USD	26,904.75	
07AUG17	B	2	PD07NOV17	6415.50	6774.73	USD	17,961.50	
08SEP17	S	5	PD07NOV17	6792.13	6774.73	USD	2,175.00	
	NET	0 AVG	BGHT 6415.80		SOLD 6792.13		47,041.25	0.00
08SEP17	S	2	PD08DEC17	6806.00	6787.71	USD	914.50	
08SEP17	S	3	PD08DEC17	6805.00	6787.71	USD	1,296.75	
08SEP17	B	5	PD08DEC17	6804.66	6787.71	USD	-2,118.75	
	NET	0 AVG	BGHT 6804.66		SOLD 6805.40		92.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 47,133.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	47,133.75	47,133.75	47,133.75	47,133.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-46,424.75	-46,424.75
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46,424.75	-46,424.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,424.75	-46,424.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	47,133.75	47,133.75
Net Liquidating Value	709.00	709.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB072**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-46,424.75	-46,424.75
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46,424.75	-46,424.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,424.75	-46,424.75
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	47,133.75	47,133.75
Net Liquidating Value	709.00	709.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GB

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-425.00	-425.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-425.00	-425.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-425.00	-425.00
FOR INFORMATION ONLY			
Net Liquidating Value		-425.00	-425.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083LB

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	3	PD130CT17	5881.00	6756.00	USD	-65,625.00	
14JUL17	S	2	PD130CT17	5881.50	6756.00	USD	-43,725.00	
19SEP17	B	5	PD130CT17	6506.45	6756.00	USD	31,193.75	
	NET	0 AVG BGHT 6506.45	SOLD 5881.20				-78,156.25	0.00
17JUL17	S	1	PD170CT17	5982.00	6759.20	USD	-19,430.00	
17JUL17	S	1	PD170CT17	5988.50	6759.20	USD	-19,267.50	
17JUL17	S	2	PD170CT17	5989.00	6759.20	USD	-38,510.00	
17JUL17	S	1	PD170CT17	5986.50	6759.20	USD	-19,317.50	
19SEP17	B	5	PD170CT17	6508.45	6759.20	USD	31,343.75	
	NET	0 AVG BGHT 6508.45	SOLD 5987.00				-65,181.25	0.00
25JUL17	B	2	PD250CT17	6227.50	6765.68	USD	26,909.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	1	PD250CT17	6223.50	6765.68	USD	13,554.50	
25JUL17	B	1	PD250CT17	6224.00	6765.68	USD	13,542.00	
25JUL17	B	5	PD250CT17	6228.00	6765.68	USD	67,210.00	
19SEP17	S	10	PD250CT17	6513.11	6765.68	USD	-63,142.50	
	NET	0 AVG BGHT 6226.55	SOLD 6513.11				71,640.00	0.00
28JUL17	S	1	PD270CT17	6325.50	6766.54	USD	-11,026.00	
28JUL17	S	2	PD270CT17	6329.00	6766.54	USD	-21,877.00	
28JUL17	S	2	PD270CT17	6329.50	6766.54	USD	-21,852.00	
19SEP17	B	5	PD270CT17	6514.02	6766.54	USD	31,565.00	
	NET	0 AVG BGHT 6514.02	SOLD 6328.50				-23,190.00	0.00
31JUL17	S	1	PD310CT17	6385.00	6768.25	USD	-9,581.25	
31JUL17	S	1	PD310CT17	6383.50	6768.25	USD	-9,618.75	
31JUL17	S	1	PD310CT17	6384.00	6768.25	USD	-9,606.25	
31JUL17	S	2	PD310CT17	6386.00	6768.25	USD	-19,112.50	
31JUL17	S	1	PD310CT17	6387.00	6768.25	USD	-9,531.25	
31JUL17	S	1	PD310CT17	6387.50	6768.25	USD	-9,518.75	
31JUL17	S	1	PD310CT17	6388.00	6768.25	USD	-9,506.25	
31JUL17	S	1	PD310CT17	6389.00	6768.25	USD	-9,481.25	
31JUL17	S	1	PD310CT17	6390.00	6768.25	USD	-9,456.25	
19SEP17	B	10	PD310CT17	6515.45	6768.25	USD	63,200.00	
	NET	0 AVG BGHT 6515.45	SOLD 6386.60				-32,212.50	0.00
23AUG17	B	1	PD24NOV17	6582.00	6780.52	USD	4,963.00	
23AUG17	B	1	PD24NOV17	6572.00	6780.52	USD	5,213.00	
23AUG17	B	1	PD24NOV17	6575.00	6780.52	USD	5,138.00	
23AUG17	B	1	PD24NOV17	6575.50	6780.52	USD	5,125.50	
23AUG17	B	1	PD24NOV17	6567.00	6780.52	USD	5,338.00	
23AUG17	B	1	PD24NOV17	6583.00	6780.52	USD	4,938.00	
23AUG17	B	2	PD24NOV17	6583.50	6780.52	USD	9,851.00	
23AUG17	S	1	PD24NOV17	6582.00	6780.52	USD	-4,963.00	
19SEP17	S	7	PD24NOV17	6527.72	6780.52	USD	-44,240.00	
	NET	0 AVG BGHT 6577.69	SOLD 6534.51				-8,636.50	0.00
04SEP17	B	2	PD04DEC17	6917.50	6785.75	USD	-6,587.50	
04SEP17	B	1	PD04DEC17	6918.50	6785.75	USD	-3,318.75	
19SEP17	S	3	PD04DEC17	6532.50	6785.75	USD	-18,993.75	
	NET	0 AVG BGHT 6917.83	SOLD 6532.50				-28,900.00	0.00
08SEP17	S	1	PD08DEC17	6942.00	6787.71	USD	3,857.25	
08SEP17	S	3	PD08DEC17	6943.00	6787.71	USD	11,646.75	
08SEP17	S	1	PD08DEC17	6944.50	6787.71	USD	3,919.75	
08SEP17	S	1	PD08DEC17	6803.00	6787.71	USD	382.25	
08SEP17	S	4	PD08DEC17	6801.00	6787.71	USD	1,329.00	
19SEP17	B	10	PD08DEC17	6534.85	6787.71	USD	63,215.00	
	NET	0 AVG BGHT 6534.85	SOLD 6872.25				84,350.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083LB

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
-80,286.50

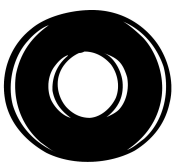
MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-80,286.50	-80,286.50	-80,286.50	-80,286.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	169,469.57	169,469.57
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	169,469.57	169,469.57
Initial Margins	-80,286.50	-80,286.50
Excess/(Shortage)	89,183.07	89,183.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-80,286.50	-80,286.50
Net Liquidating Value	89,183.07	89,183.07

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB083**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	169,044.57	169,044.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	169,044.57	169,044.57
Initial Margins	-80,286.50	-80,286.50
Excess/(Shortage)	88,758.07	88,758.07
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-80,286.50	-80,286.50
Net Liquidating Value	88,758.07	88,758.07

OPEN POSITION STATEMENT



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SAP0086A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	4	PD130CT17	5873.50	6756.00	USD	-88,250.00	
14JUL17	S	2	PD130CT17	5874.50	6756.00	USD	-44,075.00	
14JUL17	S	4	PD130CT17	5872.50	6756.00	USD	-88,350.00	
14JUL17	S	1	PD130CT17	5871.00	6756.00	USD	-22,125.00	
14JUL17	S	2	PD130CT17	5875.50	6756.00	USD	-44,025.00	
14JUL17	S	2	PD130CT17	5878.00	6756.00	USD	-43,900.00	
14JUL17	S	8	PD130CT17	5876.50	6756.00	USD	-175,900.00	
14JUL17	S	1	PD130CT17	5872.50	6756.00	USD	-22,087.50	
14JUL17	S	1	PD130CT17	5874.50	6756.00	USD	-22,037.50	
14JUL17	B	3	PD130CT17	5928.50	6756.00	USD	62,062.50	
14JUL17	B	16	PD130CT17	5927.50	6756.00	USD	331,400.00	
14JUL17	B	1	PD130CT17	5924.00	6756.00	USD	20,800.00	
14JUL17	B	1	PD130CT17	5919.50	6756.00	USD	20,912.50	
14JUL17	B	2	PD130CT17	5920.50	6756.00	USD	41,775.00	
14JUL17	B	3	PD130CT17	5928.00	6756.00	USD	62,100.00	
14JUL17	B	1	PD130CT17	5926.50	6756.00	USD	20,737.50	
14JUL17	B	1	PD130CT17	5931.00	6756.00	USD	20,625.00	
14JUL17	B	6	PD130CT17	5929.00	6756.00	USD	124,050.00	
14JUL17	B	6	PD130CT17	5929.50	6756.00	USD	123,975.00	
14JUL17	B	1	PD130CT17	5927.00	6756.00	USD	20,725.00	
14JUL17	B	5	PD130CT17	5925.00	6756.00	USD	103,875.00	
14JUL17	B	3	PD130CT17	5926.00	6756.00	USD	62,250.00	
14JUL17	S	1	PD130CT17	5923.00	6756.00	USD	-20,825.00	
14JUL17	S	7	PD130CT17	5924.00	6756.00	USD	-145,600.00	
14JUL17	S	15	PD130CT17	5925.00	6756.00	USD	-311,625.00	
14JUL17	B	1	PD130CT17	5927.50	6756.00	USD	20,712.50	
14JUL17	S	2	PD130CT17	5925.00	6756.00	USD	-41,550.00	
	NET	0 AVG BGHT 5927.20	SOLD 5899.72				-34,350.00	0.00
17JUL17	B	1	PD170CT17	6010.00	6759.20	USD	18,730.00	
17JUL17	B	1	PD170CT17	6013.50	6759.20	USD	18,642.50	
17JUL17	B	1	PD170CT17	6006.50	6759.20	USD	18,817.50	
17JUL17	B	7	PD170CT17	6008.00	6759.20	USD	131,460.00	
17JUL17	B	1	PD170CT17	6005.50	6759.20	USD	18,842.50	
17JUL17	B	3	PD170CT17	6003.50	6759.20	USD	56,677.50	
17JUL17	B	1	PD170CT17	5999.00	6759.20	USD	19,005.00	
17JUL17	B	1	PD170CT17	6001.00	6759.20	USD	18,955.00	
17JUL17	B	1	PD170CT17	6002.50	6759.20	USD	18,917.50	
17JUL17	B	2	PD170CT17	6000.00	6759.20	USD	37,960.00	
17JUL17	S	1	PD170CT17	6004.00	6759.20	USD	-18,880.00	
17JUL17	B	4	PD170CT17	6009.00	6759.20	USD	75,020.00	
17JUL17	B	1	PD170CT17	6002.50	6759.20	USD	18,917.50	
17JUL17	B	1	PD170CT17	6000.00	6759.20	USD	18,980.00	
17JUL17	B	1	PD170CT17	6008.00	6759.20	USD	18,780.00	
03AUG17	S	3	PD170CT17	6349.00	6759.20	USD	-30,765.00	
04AUG17	S	22	PD170CT17	6369.25	6759.20	USD	-214,472.50	
	NET	0 AVG BGHT 6005.81	SOLD 6352.87				225,587.50	0.00
19JUL17	S	2	PD190CT17	5968.50	6760.88	USD	-39,619.00	
19JUL17	S	1	PD190CT17	5969.50	6760.88	USD	-19,784.50	
24JUL17	B	3	PD190CT17	6026.70	6760.88	USD	55,063.50	
	NET	0 AVG BGHT 6026.70	SOLD 5968.83				-4,340.00	0.00
20JUL17	S	12	PD200CT17	5979.00	6761.75	USD	-234,825.00	
20JUL17	S	2	PD200CT17	5977.00	6761.75	USD	-39,237.50	
20JUL17	S	8	PD200CT17	5975.50	6761.75	USD	-157,250.00	
20JUL17	B	4	PD200CT17	5975.00	6761.75	USD	78,675.00	
20JUL17	B	1	PD200CT17	5980.00	6761.75	USD	19,543.75	
20JUL17	B	2	PD200CT17	5983.00	6761.75	USD	38,937.50	

OPEN POSITION STATEMENT



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SAP0086A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	5	PD200CT17	5987.50	6761.75	USD	96,781.25	
20JUL17	B	1	PD200CT17	5986.50	6761.75	USD	19,381.25	
20JUL17	B	3	PD200CT17	5979.00	6761.75	USD	58,706.25	
20JUL17	B	1	PD200CT17	5978.00	6761.75	USD	19,593.75	
20JUL17	B	1	PD200CT17	5975.50	6761.75	USD	19,656.25	
20JUL17	B	1	PD200CT17	5968.00	6761.75	USD	19,843.75	
20JUL17	S	1	PD200CT17	5974.50	6761.75	USD	-19,681.25	
20JUL17	S	2	PD200CT17	5971.00	6761.75	USD	-39,537.50	
20JUL17	S	3	PD200CT17	5970.00	6761.75	USD	-59,381.25	
20JUL17	S	2	PD200CT17	5969.00	6761.75	USD	-39,637.50	
20JUL17	S	1	PD200CT17	5972.00	6761.75	USD	-19,743.75	
20JUL17	S	1	PD200CT17	5977.50	6761.75	USD	-19,606.25	
21JUL17	B	2	PD200CT17	6000.00	6761.75	USD	38,087.50	
21JUL17	B	1	PD200CT17	6010.00	6761.75	USD	18,793.75	
21JUL17	B	1	PD200CT17	6015.50	6761.75	USD	18,656.25	
21JUL17	B	1	PD200CT17	6017.50	6761.75	USD	18,606.25	
21JUL17	B	1	PD200CT17	6016.50	6761.75	USD	18,631.25	
21JUL17	B	1	PD200CT17	6006.50	6761.75	USD	18,881.25	
21JUL17	B	1	PD200CT17	6001.00	6761.75	USD	19,018.75	
21JUL17	B	7	PD200CT17	6004.50	6761.75	USD	132,518.75	
21JUL17	B	1	PD200CT17	6003.00	6761.75	USD	18,968.75	
04AUG17	S	3	PD200CT17	6367.25	6761.75	USD	-29,587.50	
	NET	0AVG BGHT 5992.29	SOLD 6009.19				14,793.75	0.00
24JUL17	S	2	PD240CT17	6004.50	6765.25	USD	-38,037.50	
24JUL17	S	2	PD240CT17	6003.50	6765.25	USD	-38,087.50	
24JUL17	S	1	PD240CT17	6001.00	6765.25	USD	-19,106.25	
24JUL17	S	3	PD240CT17	5999.00	6765.25	USD	-57,468.75	
24JUL17	S	17	PD240CT17	6000.00	6765.25	USD	-325,231.25	
27JUL17	B	25	PD240CT17	6329.34	6765.25	USD	272,443.75	
	NET	0AVG BGHT 6329.34	SOLD 6000.56				-205,487.50	0.00
25JUL17	B	1	PD250CT17	6122.00	6765.68	USD	16,092.00	
25JUL17	B	1	PD250CT17	6116.50	6765.68	USD	16,229.50	
25JUL17	B	4	PD250CT17	6145.50	6765.68	USD	62,018.00	
25JUL17	B	1	PD250CT17	6147.50	6765.68	USD	15,454.50	
25JUL17	B	1	PD250CT17	6146.50	6765.68	USD	15,479.50	
25JUL17	B	1	PD250CT17	6148.50	6765.68	USD	15,429.50	
25JUL17	B	1	PD250CT17	6155.50	6765.68	USD	15,254.50	
25JUL17	B	1	PD250CT17	6197.00	6765.68	USD	14,217.00	
25JUL17	B	1	PD250CT17	6196.00	6765.68	USD	14,242.00	
25JUL17	B	3	PD250CT17	6195.00	6765.68	USD	42,801.00	
25JUL17	B	1	PD250CT17	6190.50	6765.68	USD	14,379.50	
25JUL17	B	1	PD250CT17	6189.50	6765.68	USD	14,404.50	
25JUL17	B	2	PD250CT17	6188.50	6765.68	USD	28,859.00	
25JUL17	B	2	PD250CT17	6178.50	6765.68	USD	29,359.00	
25JUL17	B	2	PD250CT17	6177.50	6765.68	USD	29,409.00	
25JUL17	B	1	PD250CT17	6175.00	6765.68	USD	14,767.00	
25JUL17	B	1	PD250CT17	6200.50	6765.68	USD	14,129.50	
25JUL17	B	1	PD250CT17	6196.50	6765.68	USD	14,229.50	
25JUL17	B	3	PD250CT17	6194.00	6765.68	USD	42,876.00	
25JUL17	B	1	PD250CT17	6202.00	6765.68	USD	14,092.00	
25JUL17	B	1	PD250CT17	6226.00	6765.68	USD	13,492.00	
25JUL17	B	2	PD250CT17	6227.00	6765.68	USD	26,934.00	
25JUL17	B	1	PD250CT17	6228.50	6765.68	USD	13,429.50	
25JUL17	B	1	PD250CT17	6231.50	6765.68	USD	13,354.50	
25JUL17	B	1	PD250CT17	6204.50	6765.68	USD	14,029.50	
25JUL17	B	3	PD250CT17	6206.00	6765.68	USD	41,976.00	
25JUL17	B	6	PD250CT17	6205.00	6765.68	USD	84,102.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	6204.00	6765.68	USD	14,042.00	
25JUL17	B	2	PD250CT17	6207.00	6765.68	USD	27,934.00	
25JUL17	B	4	PD250CT17	6208.00	6765.68	USD	55,768.00	
25JUL17	B	2	PD250CT17	6210.00	6765.68	USD	27,784.00	
25JUL17	B	16	PD250CT17	6209.50	6765.68	USD	222,472.00	
25JUL17	B	5	PD250CT17	6221.00	6765.68	USD	68,085.00	
25JUL17	B	1	PD250CT17	6275.50	6765.68	USD	12,254.50	
25JUL17	B	1	PD250CT17	6264.00	6765.68	USD	12,542.00	
25JUL17	B	3	PD250CT17	6256.50	6765.68	USD	38,188.50	
04AUG17	S	80	PD250CT17	6369.39	6765.68	USD	-792,580.00	
	NET	0 AVG BGHT 6200.63	SOLD 6369.39				337,530.00	0.00
26JUL17	S	3	PD260CT17	6333.50	6766.11	USD	-32,445.75	
26JUL17	S	4	PD260CT17	6335.50	6766.11	USD	-43,061.00	
26JUL17	S	6	PD260CT17	6338.00	6766.11	USD	-64,216.50	
26JUL17	S	3	PD260CT17	6339.00	6766.11	USD	-32,033.25	
26JUL17	B	9	PD260CT17	6305.00	6766.11	USD	103,749.75	
26JUL17	B	1	PD260CT17	6305.50	6766.11	USD	11,515.25	
26JUL17	B	7	PD260CT17	6306.50	6766.11	USD	80,431.75	
26JUL17	B	1	PD260CT17	6303.50	6766.11	USD	11,565.25	
26JUL17	B	7	PD260CT17	6304.50	6766.11	USD	80,781.75	
26JUL17	S	1	PD260CT17	6326.50	6766.11	USD	-10,990.25	
26JUL17	S	3	PD260CT17	6327.50	6766.11	USD	-32,895.75	
26JUL17	S	3	PD260CT17	6329.00	6766.11	USD	-32,783.25	
26JUL17	S	1	PD260CT17	6331.00	6766.11	USD	-10,877.75	
26JUL17	S	11	PD260CT17	6332.00	6766.11	USD	-119,380.25	
26JUL17	S	4	PD260CT17	6334.50	6766.11	USD	-43,161.00	
26JUL17	S	1	PD260CT17	6337.50	6766.11	USD	-10,715.25	
26JUL17	S	6	PD260CT17	6340.00	6766.11	USD	-63,916.50	
26JUL17	S	2	PD260CT17	6336.50	6766.11	USD	-21,480.50	
26JUL17	S	1	PD260CT17	6332.00	6766.11	USD	-10,852.75	
26JUL17	S	1	PD260CT17	6334.50	6766.11	USD	-10,790.25	
26JUL17	B	1	PD260CT17	6334.50	6766.11	USD	10,790.25	
26JUL17	S	1	PD260CT17	6324.00	6766.11	USD	-11,052.75	
27JUL17	B	25	PD260CT17	6329.91	6766.11	USD	272,625.00	
	NET	0 AVG BGHT 6317.91	SOLD 6334.23				20,806.25	0.00
27JUL17	B	1	PD270CT17	6341.00	6766.54	USD	10,638.50	
27JUL17	B	1	PD270CT17	6334.50	6766.54	USD	10,801.00	
27JUL17	B	1	PD270CT17	6333.50	6766.54	USD	10,826.00	
27JUL17	B	1	PD270CT17	6335.50	6766.54	USD	10,776.00	
27JUL17	S	1	PD270CT17	6356.00	6766.54	USD	-10,263.50	
27JUL17	B	1	PD270CT17	6376.50	6766.54	USD	9,751.00	
27JUL17	B	10	PD270CT17	6356.50	6766.54	USD	102,510.00	
27JUL17	B	3	PD270CT17	6355.50	6766.54	USD	30,828.00	
27JUL17	B	1	PD270CT17	6354.50	6766.54	USD	10,301.00	
27JUL17	B	1	PD270CT17	6352.00	6766.54	USD	10,363.50	
27JUL17	B	3	PD270CT17	6353.50	6766.54	USD	30,978.00	
27JUL17	B	1	PD270CT17	6353.50	6766.54	USD	10,326.00	
27JUL17	B	2	PD270CT17	6352.00	6766.54	USD	20,727.00	
27JUL17	S	1	PD270CT17	6344.00	6766.54	USD	-10,563.50	
27JUL17	S	1	PD270CT17	6352.50	6766.54	USD	-10,351.00	
27JUL17	B	1	PD270CT17	6340.00	6766.54	USD	10,663.50	
27JUL17	S	1	PD270CT17	6333.00	6766.54	USD	-10,838.50	
27JUL17	S	2	PD270CT17	6335.00	6766.54	USD	-21,577.00	
27JUL17	S	3	PD270CT17	6331.50	6766.54	USD	-32,628.00	
27JUL17	S	1	PD270CT17	6338.50	6766.54	USD	-10,701.00	
27JUL17	S	1	PD270CT17	6339.50	6766.54	USD	-10,676.00	
27JUL17	S	1	PD270CT17	6342.50	6766.54	USD	-10,601.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	5	PD27OCT17	6340.50	6766.54	USD	-53,255.00	
28JUL17	S	4	PD27OCT17	6328.50	6766.54	USD	-43,804.00	
28JUL17	S	4	PD27OCT17	6327.50	6766.54	USD	-43,904.00	
28JUL17	S	2	PD27OCT17	6326.00	6766.54	USD	-22,027.00	
28JUL17	S	1	PD27OCT17	6336.50	6766.54	USD	-10,751.00	
28JUL17	S	2	PD27OCT17	6338.50	6766.54	USD	-21,402.00	
28JUL17	S	1	PD27OCT17	6339.50	6766.54	USD	-10,676.00	
28JUL17	S	2	PD27OCT17	6333.00	6766.54	USD	-21,677.00	
28JUL17	S	8	PD27OCT17	6334.00	6766.54	USD	-86,508.00	
28JUL17	S	9	PD27OCT17	6335.00	6766.54	USD	-97,096.50	
28JUL17	S	1	PD27OCT17	6338.00	6766.54	USD	-10,713.50	
28JUL17	S	1	PD27OCT17	6340.50	6766.54	USD	-10,651.00	
03AUG17	B	25	PD27OCT17	6350.20	6766.54	USD	260,212.50	
	NET	0 AVG BGHT 6351.38	SOLD 6335.26				-20,962.50	0.00
31JUL17	S	1	PD31OCT17	6383.50	6768.25	USD	-9,618.75	
31JUL17	S	3	PD31OCT17	6382.50	6768.25	USD	-28,931.25	
31JUL17	S	14	PD31OCT17	6381.50	6768.25	USD	-135,362.50	
31JUL17	S	5	PD31OCT17	6380.50	6768.25	USD	-48,468.75	
31JUL17	S	2	PD31OCT17	6386.00	6768.25	USD	-19,112.50	
03AUG17	B	25	PD31OCT17	6351.34	6768.25	USD	260,568.75	
	NET	0 AVG BGHT 6351.34	SOLD 6381.86				19,075.00	0.00
01AUG17	B	1	PD01NOV17	6340.00	6769.18	USD	10,729.50	
01AUG17	B	4	PD01NOV17	6332.50	6769.18	USD	43,668.00	
01AUG17	B	2	PD01NOV17	6334.50	6769.18	USD	21,734.00	
01AUG17	B	12	PD01NOV17	6336.00	6769.18	USD	129,954.00	
01AUG17	B	2	PD01NOV17	6341.50	6769.18	USD	21,384.00	
01AUG17	B	1	PD01NOV17	6342.50	6769.18	USD	10,667.00	
01AUG17	B	3	PD01NOV17	6346.00	6769.18	USD	31,738.50	
04AUG17	S	25	PD01NOV17	6371.50	6769.18	USD	-248,550.00	
	NET	0 AVG BGHT 6337.38	SOLD 6371.50				21,325.00	0.00
02AUG17	S	1	PD02NOV17	6353.00	6770.10	USD	-10,427.50	
02AUG17	S	1	PD02NOV17	6354.00	6770.10	USD	-10,402.50	
02AUG17	S	4	PD02NOV17	6350.50	6770.10	USD	-41,960.00	
02AUG17	S	2	PD02NOV17	6349.50	6770.10	USD	-21,030.00	
02AUG17	S	2	PD02NOV17	6347.00	6770.10	USD	-21,155.00	
02AUG17	S	1	PD02NOV17	6348.00	6770.10	USD	-10,552.50	
02AUG17	S	1	PD02NOV17	6344.00	6770.10	USD	-10,652.50	
02AUG17	S	2	PD02NOV17	6339.50	6770.10	USD	-21,530.00	
02AUG17	S	2	PD02NOV17	6335.00	6770.10	USD	-21,755.00	
02AUG17	S	5	PD02NOV17	6336.00	6770.10	USD	-54,262.50	
02AUG17	S	3	PD02NOV17	6337.00	6770.10	USD	-32,482.50	
02AUG17	S	1	PD02NOV17	6339.00	6770.10	USD	-10,777.50	
02AUG17	B	1	PD02NOV17	6341.50	6770.10	USD	10,715.00	
02AUG17	B	7	PD02NOV17	6336.00	6770.10	USD	75,967.50	
02AUG17	B	4	PD02NOV17	6332.50	6770.10	USD	43,760.00	
02AUG17	B	10	PD02NOV17	6331.50	6770.10	USD	109,650.00	
02AUG17	B	3	PD02NOV17	6330.50	6770.10	USD	32,970.00	
02AUG17	B	1	PD02NOV17	6359.00	6770.10	USD	10,277.50	
02AUG17	S	1	PD02NOV17	6352.00	6770.10	USD	-10,452.50	
	NET	0 AVG BGHT 6334.19	SOLD 6343.27				5,900.00	0.00
03AUG17	S	2	PD03NOV17	6313.00	6771.03	USD	-22,901.50	
03AUG17	S	1	PD03NOV17	6319.00	6771.03	USD	-11,300.75	
03AUG17	S	6	PD03NOV17	6319.50	6771.03	USD	-67,729.50	
03AUG17	S	4	PD03NOV17	6317.50	6771.03	USD	-45,353.00	
03AUG17	S	11	PD03NOV17	6318.50	6771.03	USD	-124,445.75	
03AUG17	S	1	PD03NOV17	6316.50	6771.03	USD	-11,363.25	

OPEN POSITION STATEMENT



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SAP0086A

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
03AUG17	S 1 PD03NOV17	6352.00	6771.03	USD	-10,475.75	
03AUG17	S 3 PD03NOV17	6340.50	6771.03	USD	-32,289.75	
03AUG17	S 1 PD03NOV17	6339.00	6771.03	USD	-10,800.75	
03AUG17	S 3 PD03NOV17	6341.00	6771.03	USD	-32,252.25	
03AUG17	S 1 PD03NOV17	6334.00	6771.03	USD	-10,925.75	
03AUG17	S 2 PD03NOV17	6333.00	6771.03	USD	-21,901.50	
03AUG17	S 1 PD03NOV17	6346.00	6771.03	USD	-10,625.75	
03AUG17	S 4 PD03NOV17	6345.00	6771.03	USD	-42,603.00	
03AUG17	S 2 PD03NOV17	6344.00	6771.03	USD	-21,351.50	
03AUG17	S 9 PD03NOV17	6342.50	6771.03	USD	-96,419.25	
03AUG17	S 7 PD03NOV17	6341.50	6771.03	USD	-75,167.75	
03AUG17	S 3 PD03NOV17	6339.50	6771.03	USD	-32,364.75	
03AUG17	S 50 PD03NOV17	6337.00	6771.03	USD	-542,537.50	
03AUG17	S 7 PD03NOV17	6336.00	6771.03	USD	-76,130.25	
03AUG17	S 1 PD03NOV17	6335.50	6771.03	USD	-10,888.25	
03AUG17	S 4 PD03NOV17	6335.00	6771.03	USD	-43,603.00	
03AUG17	S 6 PD03NOV17	6338.50	6771.03	USD	-64,879.50	
04AUG17	B 130 PD03NOV17	6372.20	6771.03	USD	1,296,197.50	
	NET 0 AVG BGHT 6372.20 SOLD 6334.63				-122,112.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 257,765.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	257,765.00	257,765.00	257,765.00	257,765.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-11,789.70	-11,789.70
- Options	0.00	0.00
TOTAL EQUITY	-11,789.70	-11,789.70
Initial Margins	0.00	0.00
Excess/(Shortage)	-11,789.70	-11,789.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	257,765.00	257,765.00
Net Liquidating Value	245,975.30	245,975.30

OPEN POSITION STATEMENT



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11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	3	PD130CT17	5927.50	6756.00	USD	62,137.50	
14JUL17	B	3	PD130CT17	5920.00	6756.00	USD	62,700.00	
14JUL17	B	9	PD130CT17	5924.50	6756.00	USD	187,087.50	
30AUG17	S	5	PD130CT17	6753.60	6756.00	USD	-300.00	
31AUG17	S	10	PD130CT17	6772.00	6756.00	USD	4,000.00	
	NET	0AVG BGHT 5924.20	SOLD 6765.87				315,625.00	0.00
25JUL17	B	4	PD250CT17	6209.50	6765.68	USD	55,618.00	
25JUL17	B	4	PD250CT17	6220.50	6765.68	USD	54,518.00	
25JUL17	B	8	PD250CT17	6218.50	6765.68	USD	109,436.00	
25JUL17	B	4	PD250CT17	6228.50	6765.68	USD	53,718.00	
31AUG17	S	20	PD250CT17	6775.19	6765.68	USD	4,755.00	
	NET	0AVG BGHT 6219.10	SOLD 6775.19				278,045.00	0.00
28JUL17	S	6	PD270CT17	6327.50	6766.54	USD	-65,856.00	
28JUL17	S	8	PD270CT17	6335.50	6766.54	USD	-86,208.00	
28JUL17	S	3	PD270CT17	6337.50	6766.54	USD	-32,178.00	
28JUL17	S	3	PD270CT17	6336.50	6766.54	USD	-32,253.00	
03AUG17	B	20	PD270CT17	6350.20	6766.54	USD	208,170.00	
	NET	0AVG BGHT 6350.20	SOLD 6333.55				-8,325.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6775.65	USD	-9,191.25	
14AUG17	B	1	PD08NOV17	6395.07	6775.65	USD	9,514.50	
	NET	0AVG BGHT 6395.07	SOLD 6408.00				323.25	0.00
10AUG17	S	3	PD10NOV17	6410.00	6777.50	USD	-27,562.50	
10AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	1	PD10NOV17	6369.50	6777.50	USD	-10,200.00	
11AUG17	S	3	PD10NOV17	6368.00	6777.50	USD	-30,712.50	
14AUG17	B	9	PD10NOV17	6395.78	6777.50	USD	85,887.00	
	NET	0AVG BGHT 6395.78	SOLD 6387.11				-1,950.50	0.00
14AUG17	S	2	PD14NOV17	6392.50	6776.83	USD	-19,216.50	
14AUG17	S	8	PD14NOV17	6393.50	6776.83	USD	-76,666.00	
14AUG17	B	5	PD14NOV17	6408.00	6776.83	USD	46,103.75	
14AUG17	B	5	PD14NOV17	6407.00	6776.83	USD	46,228.75	
	NET	0AVG BGHT 6407.50	SOLD 6393.30				-3,550.00	0.00
16AUG17	S	1	PD16NOV17	6363.50	6776.25	USD	-10,318.75	
16AUG17	S	1	PD16NOV17	6364.50	6776.25	USD	-10,293.75	
16AUG17	S	4	PD16NOV17	6369.00	6776.25	USD	-40,725.00	
16AUG17	S	4	PD16NOV17	6367.00	6776.25	USD	-40,925.00	
16AUG17	B	4	PD16NOV17	6529.00	6776.25	USD	24,725.00	
16AUG17	B	2	PD16NOV17	6531.00	6776.25	USD	12,262.50	
16AUG17	B	1	PD16NOV17	6536.50	6776.25	USD	5,993.75	
16AUG17	B	2	PD16NOV17	6540.00	6776.25	USD	11,812.50	
16AUG17	B	1	PD16NOV17	6537.50	6776.25	USD	5,968.75	
	NET	0AVG BGHT 6533.20	SOLD 6367.20				-41,500.00	0.00
23AUG17	B	10	PD24NOV17	6541.00	6780.52	USD	59,880.00	
23AUG17	B	3	PD24NOV17	6542.50	6780.52	USD	17,851.50	
23AUG17	B	7	PD24NOV17	6542.00	6780.52	USD	41,741.00	
24AUG17	S	1	PD24NOV17	6617.00	6780.52	USD	-4,088.00	
24AUG17	S	3	PD24NOV17	6614.00	6780.52	USD	-12,489.00	
24AUG17	S	6	PD24NOV17	6609.50	6780.52	USD	-25,653.00	
24AUG17	S	2	PD24NOV17	6608.50	6780.52	USD	-8,601.00	
24AUG17	S	3	PD24NOV17	6610.50	6780.52	USD	-12,751.50	
25AUG17	S	1	PD24NOV17	6744.50	6780.52	USD	-900.50	
25AUG17	S	4	PD24NOV17	6742.50	6780.52	USD	-3,802.00	
25AUG17	S	1	PD24NOV17	6710.50	6780.52	USD	-1,750.50	
25AUG17	S	1	PD24NOV17	6712.00	6780.52	USD	-1,713.00	

OPEN POSITION STATEMENT



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11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	2	PD24NOV17	6711.50	6780.52	USD	-3,451.00	
25AUG17	B	3	PD24NOV17	6679.00	6780.52	USD	7,614.00	
25AUG17	B	3	PD24NOV17	6677.50	6780.52	USD	7,726.50	
25AUG17	B	1	PD24NOV17	6676.00	6780.52	USD	2,613.00	
25AUG17	B	2	PD24NOV17	6674.00	6780.52	USD	5,326.00	
31AUG17	S	5	PD24NOV17	6786.50	6780.52	USD	747.50	
	NET	0 AVG BGHT	6583.62	SOLD 6677.83			68,300.00	0.00
29AUG17	S	4	PD29NOV17	6790.00	6783.18	USD	682.00	
29AUG17	S	4	PD29NOV17	6793.00	6783.18	USD	982.00	
29AUG17	S	4	PD29NOV17	6795.00	6783.18	USD	1,182.00	
29AUG17	S	4	PD29NOV17	6781.00	6783.18	USD	-218.00	
29AUG17	S	4	PD29NOV17	6785.50	6783.18	USD	232.00	
30AUG17	B	20	PD29NOV17	6768.95	6783.18	USD	7,115.00	
	NET	0 AVG BGHT	6768.95	SOLD 6788.90			9,975.00	0.00
30AUG17	S	4	PD30NOV17	6777.00	6783.72	USD	-672.00	
30AUG17	S	7	PD30NOV17	6782.50	6783.72	USD	-213.50	
30AUG17	S	3	PD30NOV17	6764.00	6783.72	USD	-1,479.00	
30AUG17	S	1	PD30NOV17	6766.50	6783.72	USD	-430.50	
30AUG17	S	17	PD30NOV17	6770.50	6783.72	USD	-5,618.50	
30AUG17	S	2	PD30NOV17	6772.00	6783.72	USD	-586.00	
30AUG17	S	1	PD30NOV17	6769.50	6783.72	USD	-355.50	
31AUG17	B	35	PD30NOV17	6788.20	6783.72	USD	-3,920.00	
	NET	0 AVG BGHT	6788.20	SOLD 6773.03			-13,275.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	603,667.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD	603,667.75	603,667.75	603,667.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-297,589.94	-297,589.94
Initial Margins	0.00	0.00
Excess/(Shortage)	-297,589.94	-297,589.94
FOR INFORMATION ONLY		
Forward Unrealised P&L	603,667.75	603,667.75
Net Liquidating Value	306,077.81	306,077.81

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	2	PD130CT17	5927.50	6756.00	USD	41,425.00	
14JUL17	B	2	PD130CT17	5920.00	6756.00	USD	41,800.00	
14JUL17	B	6	PD130CT17	5924.50	6756.00	USD	124,725.00	
30AUG17	S	5	PD130CT17	6753.60	6756.00	USD	-300.00	
31AUG17	S	5	PD130CT17	6772.00	6756.00	USD	2,000.00	
	NET	0AVG BGHT	5924.20	SOLD 6762.80			209,650.00	0.00
25JUL17	B	1	PD250CT17	6209.50	6765.68	USD	13,904.50	
25JUL17	B	2	PD250CT17	6220.50	6765.68	USD	27,259.00	
25JUL17	B	4	PD250CT17	6218.50	6765.68	USD	54,718.00	
25JUL17	B	3	PD250CT17	6228.50	6765.68	USD	40,288.50	
31AUG17	S	10	PD250CT17	6775.19	6765.68	USD	2,377.50	
	NET	0AVG BGHT	6221.00	SOLD 6775.19			138,547.50	0.00
28JUL17	S	4	PD270CT17	6327.50	6766.54	USD	-43,904.00	
28JUL17	S	4	PD270CT17	6335.50	6766.54	USD	-43,104.00	
28JUL17	S	1	PD270CT17	6336.50	6766.54	USD	-10,751.00	
28JUL17	S	1	PD270CT17	6337.50	6766.54	USD	-10,726.00	
03AUG17	B	10	PD270CT17	6350.20	6766.54	USD	104,085.00	
	NET	0AVG BGHT	6350.20	SOLD 6332.60			-4,400.00	0.00
10AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	2	PD10NOV17	6368.00	6777.50	USD	-20,475.00	
11AUG17	S	2	PD10NOV17	6370.50	6777.50	USD	-20,350.00	
14AUG17	B	5	PD10NOV17	6395.78	6777.50	USD	47,715.00	
	NET	0AVG BGHT	6395.78	SOLD 6377.40			-2,297.50	0.00
14AUG17	S	1	PD14NOV17	6392.50	6776.83	USD	-9,608.25	
14AUG17	S	4	PD14NOV17	6393.50	6776.83	USD	-38,333.00	
14AUG17	B	4	PD14NOV17	6411.50	6776.83	USD	36,533.00	
14AUG17	B	1	PD14NOV17	6412.50	6776.83	USD	9,108.25	
	NET	0AVG BGHT	6411.70	SOLD 6393.30			-2,300.00	0.00
16AUG17	S	1	PD16NOV17	6369.00	6776.25	USD	-10,181.25	
16AUG17	S	2	PD16NOV17	6367.00	6776.25	USD	-20,462.50	
16AUG17	S	2	PD16NOV17	6364.50	6776.25	USD	-20,587.50	
16AUG17	B	2	PD16NOV17	6529.00	6776.25	USD	12,362.50	
16AUG17	B	1	PD16NOV17	6531.00	6776.25	USD	6,131.25	
16AUG17	B	1	PD16NOV17	6536.50	6776.25	USD	5,993.75	
16AUG17	B	1	PD16NOV17	6540.00	6776.25	USD	5,906.25	
	NET	0AVG BGHT	6533.10	SOLD 6366.40			-20,837.50	0.00
23AUG17	B	2	PD24NOV17	6542.50	6780.52	USD	11,901.00	
23AUG17	B	3	PD24NOV17	6541.00	6780.52	USD	17,964.00	
23AUG17	B	1	PD24NOV17	6540.50	6780.52	USD	6,000.50	
23AUG17	B	1	PD24NOV17	6543.00	6780.52	USD	5,938.00	
23AUG17	B	3	PD24NOV17	6542.00	6780.52	USD	17,889.00	
24AUG17	S	3	PD24NOV17	6614.00	6780.52	USD	-12,489.00	
24AUG17	S	3	PD24NOV17	6609.50	6780.52	USD	-12,826.50	
24AUG17	S	2	PD24NOV17	6610.50	6780.52	USD	-8,501.00	
24AUG17	S	2	PD24NOV17	6608.50	6780.52	USD	-8,601.00	
25AUG17	S	3	PD24NOV17	6741.50	6780.52	USD	-2,926.50	
25AUG17	S	3	PD24NOV17	6742.50	6780.52	USD	-2,851.50	
25AUG17	S	2	PD24NOV17	6710.50	6780.52	USD	-3,501.00	
25AUG17	S	1	PD24NOV17	6711.00	6780.52	USD	-1,738.00	
25AUG17	B	3	PD24NOV17	6691.50	6780.52	USD	6,676.50	
25AUG17	B	3	PD24NOV17	6691.00	6780.52	USD	6,714.00	
25AUG17	B	3	PD24NOV17	6690.50	6780.52	USD	6,751.50	
	NET	0AVG BGHT	6612.45	SOLD 6668.03			26,400.00	0.00
29AUG17	S	3	PD29NOV17	6790.00	6783.18	USD	511.50	
29AUG17	S	3	PD29NOV17	6793.00	6783.18	USD	736.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086N

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	3	PD29NOV17	6781.00	6783.18	USD	-163.50	
29AUG17	S	3	PD29NOV17	6795.00	6783.18	USD	886.50	
29AUG17	S	3	PD29NOV17	6785.50	6783.18	USD	174.00	
30AUG17	B	15	PD29NOV17	6768.95	6783.18	USD	5,336.25	
	NET	0 AVG BGHT	6768.95 SOLD 6788.90				7,481.25	0.00
30AUG17	S	1	PD30NOV17	6777.00	6783.72	USD	-168.00	
30AUG17	S	3	PD30NOV17	6782.50	6783.72	USD	-91.50	
30AUG17	S	1	PD30NOV17	6770.50	6783.72	USD	-330.50	
30AUG17	S	1	PD30NOV17	6773.00	6783.72	USD	-268.00	
30AUG17	S	2	PD30NOV17	6774.00	6783.72	USD	-486.00	
30AUG17	S	2	PD30NOV17	6777.50	6783.72	USD	-311.00	
30AUG17	S	1	PD30NOV17	6778.50	6783.72	USD	-130.50	
30AUG17	S	4	PD30NOV17	6776.00	6783.72	USD	-772.00	
31AUG17	B	15	PD30NOV17	6788.20	6783.72	USD	-1,680.00	
	NET	0 AVG BGHT	6788.20 SOLD 6776.90				-4,237.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	348,006.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	348,006.25	348,006.25	348,006.25	348,006.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-240,845.45	-240,845.45
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-240,845.45	-240,845.45
Initial Margins	0.00	0.00
Excess/(Shortage)	-240,845.45	-240,845.45
FOR INFORMATION ONLY		
Forward Unrealised P&L	348,006.25	348,006.25
Net Liquidating Value	107,160.80	107,160.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB086**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		-550,225.09	-550,225.09
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-550,225.09	-550,225.09
Initial Margins		0.00	0.00
Excess/(Shortage)		-550,225.09	-550,225.09
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		1,209,439.00	1,209,439.00
Net Liquidating Value		659,213.91	659,213.91

OPEN POSITION STATEMENT



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SAP0089

11th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	38,630.93	38,630.93
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	38,630.93	38,630.93
Initial Margins	0.00	0.00
Excess/(Shortage)	38,630.93	38,630.93
FOR INFORMATION ONLY		
Net Liquidating Value	38,630.93	38,630.93

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	3	PD120CT17	5922.00	6755.20	USD	62,490.00	
12JUL17	B	1	PD120CT17	5920.50	6755.20	USD	20,867.50	
12JUL17	B	3	PD120CT17	5921.50	6755.20	USD	62,527.50	
29SEP17	S	7	PD120CT17	6442.63	6755.20	USD	-54,699.75	
	NET	0 AVG BGHT	5921.57	SOLD 6442.63			91,185.25	0.00
13JUL17	S	1	PD130CT17	5869.00	6756.00	USD	-22,175.00	
13JUL17	S	4	PD130CT17	5868.00	6756.00	USD	-88,800.00	
14JUL17	S	7	PD130CT17	5881.50	6756.00	USD	-153,037.50	
14JUL17	S	4	PD130CT17	5884.00	6756.00	USD	-87,200.00	
14JUL17	S	2	PD130CT17	5886.00	6756.00	USD	-43,500.00	
14JUL17	S	3	PD130CT17	5883.00	6756.00	USD	-65,475.00	
14JUL17	S	4	PD130CT17	5880.50	6756.00	USD	-87,550.00	
14JUL17	S	3	PD130CT17	5876.50	6756.00	USD	-65,962.50	
14JUL17	S	12	PD130CT17	5876.00	6756.00	USD	-264,000.00	
14JUL17	B	10	PD130CT17	5906.00	6756.00	USD	212,500.00	
14JUL17	B	1	PD130CT17	5926.00	6756.00	USD	20,750.00	
14JUL17	B	4	PD130CT17	5927.00	6756.00	USD	82,900.00	
29AUG17	B	25	PD130CT17	6779.07	6756.00	USD	-14,418.75	
	NET	0 AVG BGHT	6454.27	SOLD 5878.30			-575,968.75	0.00
17JUL17	B	1	PD170CT17	6011.50	6759.20	USD	18,692.50	
17JUL17	B	8	PD170CT17	6010.50	6759.20	USD	149,740.00	
17JUL17	B	8	PD170CT17	6009.50	6759.20	USD	149,940.00	
17JUL17	B	3	PD170CT17	6008.50	6759.20	USD	56,302.50	
17JUL17	B	2	PD170CT17	6017.00	6759.20	USD	37,110.00	
17JUL17	B	3	PD170CT17	6018.50	6759.20	USD	55,552.50	
17JUL17	B	15	PD170CT17	6004.00	6759.20	USD	283,200.00	
17JUL17	B	2	PD170CT17	6003.00	6759.20	USD	37,810.00	
17JUL17	B	1	PD170CT17	6004.50	6759.20	USD	18,867.50	
17JUL17	B	5	PD170CT17	5996.50	6759.20	USD	95,337.50	
17JUL17	B	2	PD170CT17	5997.50	6759.20	USD	38,085.00	
29SEP17	S	50	PD170CT17	6447.25	6759.20	USD	-389,937.50	
	NET	0 AVG BGHT	6006.69	SOLD 6447.25			550,700.00	0.00
21JUL17	B	3	PD200CT17	6035.00	6761.75	USD	54,506.25	
21JUL17	B	7	PD200CT17	6034.00	6761.75	USD	127,356.25	
21JUL17	S	1	PD200CT17	6000.50	6761.75	USD	-19,031.25	
29SEP17	S	9	PD200CT17	6448.92	6761.75	USD	-70,386.75	
	NET	0 AVG BGHT	6034.30	SOLD 6404.08			92,444.50	0.00
24JUL17	S	2	PD240CT17	5993.50	6765.25	USD	-38,587.50	
24JUL17	S	8	PD240CT17	5993.00	6765.25	USD	-154,450.00	
24JUL17	S	1	PD240CT17	5998.50	6765.25	USD	-19,168.75	
24JUL17	S	1	PD240CT17	5999.00	6765.25	USD	-19,156.25	
24JUL17	S	1	PD240CT17	5999.50	6765.25	USD	-19,143.75	
24JUL17	S	1	PD240CT17	6000.00	6765.25	USD	-19,131.25	
29AUG17	B	14	PD240CT17	6781.12	6765.25	USD	-5,554.50	
	NET	0 AVG BGHT	6781.12	SOLD 5994.86			-275,192.00	0.00
25JUL17	B	3	PD250CT17	6146.50	6765.68	USD	46,438.50	
25JUL17	B	3	PD250CT17	6145.50	6765.68	USD	46,513.50	
25JUL17	B	1	PD250CT17	6147.00	6765.68	USD	15,467.00	
25JUL17	B	2	PD250CT17	6166.00	6765.68	USD	29,984.00	
25JUL17	B	1	PD250CT17	6169.00	6765.68	USD	14,917.00	
25JUL17	B	1	PD250CT17	6169.50	6765.68	USD	14,904.50	
25JUL17	B	4	PD250CT17	6170.00	6765.68	USD	59,568.00	
25JUL17	B	1	PD250CT17	6194.50	6765.68	USD	14,279.50	
25JUL17	B	4	PD250CT17	6182.00	6765.68	USD	58,368.00	
29SEP17	S	20	PD250CT17	6452.86	6765.68	USD	-156,410.00	
	NET	0 AVG BGHT	6164.80	SOLD 6452.86			144,030.00	0.00

OPEN POSITION STATEMENT



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SAP0089A

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	4	PD26OCT17	6351.00	6766.11	USD	-41,511.00	
26JUL17	S	1	PD26OCT17	6348.00	6766.11	USD	-10,452.75	
26JUL17	B	1	PD26OCT17	6273.50	6766.11	USD	12,315.25	
26JUL17	B	4	PD26OCT17	6274.50	6766.11	USD	49,161.00	
26JUL17	S	1	PD26OCT17	6327.00	6766.11	USD	-10,977.75	
29AUG17	B	1	PD26OCT17	6782.08	6766.11	USD	-399.25	
	NET	0AVG BGHT 6358.93	SOLD 6346.50				-1,864.50	0.00
28JUL17	S	1	PD27OCT17	6328.00	6766.54	USD	-10,963.50	
28JUL17	S	1	PD27OCT17	6337.00	6766.54	USD	-10,738.50	
28JUL17	S	1	PD27OCT17	6334.50	6766.54	USD	-10,801.00	
28JUL17	S	4	PD27OCT17	6333.50	6766.54	USD	-43,304.00	
28JUL17	S	3	PD27OCT17	6338.00	6766.54	USD	-32,140.50	
28JUL17	S	7	PD27OCT17	6336.00	6766.54	USD	-75,344.50	
28JUL17	S	2	PD27OCT17	6341.50	6766.54	USD	-21,252.00	
28JUL17	S	5	PD27OCT17	6342.50	6766.54	USD	-53,005.00	
28JUL17	S	5	PD27OCT17	6343.50	6766.54	USD	-52,880.00	
29AUG17	B	29	PD27OCT17	6782.56	6766.54	USD	-11,614.50	
	NET	0AVG BGHT 6782.56	SOLD 6338.36				-322,043.50	0.00
02AUG17	S	5	PD02NOV17	6331.00	6770.10	USD	-54,887.50	
02AUG17	S	1	PD02NOV17	6340.00	6770.10	USD	-10,752.50	
02AUG17	S	3	PD02NOV17	6339.50	6770.10	USD	-32,295.00	
02AUG17	S	1	PD02NOV17	6339.00	6770.10	USD	-10,777.50	
02AUG17	B	2	PD02NOV17	6334.00	6770.10	USD	21,805.00	
02AUG17	B	2	PD02NOV17	6332.00	6770.10	USD	21,905.00	
02AUG17	B	4	PD02NOV17	6333.00	6770.10	USD	43,710.00	
02AUG17	B	1	PD02NOV17	6338.50	6770.10	USD	10,790.00	
02AUG17	B	2	PD02NOV17	6337.50	6770.10	USD	21,630.00	
02AUG17	B	8	PD02NOV17	6336.50	6770.10	USD	86,720.00	
02AUG17	B	3	PD02NOV17	6336.00	6770.10	USD	32,557.50	
02AUG17	B	1	PD02NOV17	6335.00	6770.10	USD	10,877.50	
02AUG17	B	2	PD02NOV17	6335.50	6770.10	USD	21,730.00	
05OCT17	S	15	PD02NOV17	6677.38	6770.10	USD	-34,770.00	
	NET	0AVG BGHT 6335.34	SOLD 6540.53				128,242.50	0.00
04AUG17	S	2	PD03NOV17	6333.00	6771.03	USD	-21,901.50	
04AUG17	S	2	PD03NOV17	6339.50	6771.03	USD	-21,576.50	
04AUG17	S	4	PD03NOV17	6341.50	6771.03	USD	-42,953.00	
29AUG17	B	8	PD03NOV17	6785.92	6771.03	USD	-2,978.00	
	NET	0AVG BGHT 6785.92	SOLD 6338.88				-89,409.00	0.00
07AUG17	B	1	PD07NOV17	6368.00	6774.73	USD	10,168.25	
07AUG17	B	1	PD07NOV17	6364.50	6774.73	USD	10,255.75	
07AUG17	B	2	PD07NOV17	6367.50	6774.73	USD	20,361.50	
07AUG17	B	4	PD07NOV17	6366.50	6774.73	USD	40,823.00	
07AUG17	B	2	PD07NOV17	6363.00	6774.73	USD	20,586.50	
07AUG17	B	2	PD07NOV17	6365.00	6774.73	USD	20,486.50	
07AUG17	B	1	PD07NOV17	6364.00	6774.73	USD	10,268.25	
07AUG17	B	2	PD07NOV17	6381.50	6774.73	USD	19,661.50	
07AUG17	B	2	PD07NOV17	6379.00	6774.73	USD	19,786.50	
07AUG17	B	1	PD07NOV17	6380.00	6774.73	USD	9,868.25	
07AUG17	B	1	PD07NOV17	6418.50	6774.73	USD	8,905.75	
07AUG17	B	1	PD07NOV17	6417.50	6774.73	USD	8,930.75	
07AUG17	B	1	PD07NOV17	6416.50	6774.73	USD	8,955.75	
07AUG17	B	1	PD07NOV17	6415.50	6774.73	USD	8,980.75	
07AUG17	B	1	PD07NOV17	6413.00	6774.73	USD	9,043.25	
05OCT17	S	23	PD07NOV17	6681.44	6774.73	USD	-53,641.75	
	NET	0AVG BGHT 6379.80	SOLD 6681.44				173,440.50	0.00
24AUG17	S	1	PD24NOV17	6615.00	6780.52	USD	-4,138.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	3	PD24NOV17	6616.50	6780.52	USD	-12,301.50	
24AUG17	S	2	PD24NOV17	6615.50	6780.52	USD	-8,251.00	
24AUG17	S	4	PD24NOV17	6614.50	6780.52	USD	-16,602.00	
29AUG17	B	10	PD24NOV17	6790.74	6780.52	USD	-2,555.00	
	NET	0 AVG	BGHT 6790.74	SOLD 6615.35			-43,847.50	0.00
30AUG17	S	3	PD30NOV17	6772.50	6783.72	USD	-841.50	
30AUG17	S	4	PD30NOV17	6771.50	6783.72	USD	-1,222.00	
30AUG17	S	2	PD30NOV17	6770.50	6783.72	USD	-661.00	
30AUG17	S	7	PD30NOV17	6783.50	6783.72	USD	-38.50	
30AUG17	S	6	PD30NOV17	6785.50	6783.72	USD	267.00	
30AUG17	S	6	PD30NOV17	6780.50	6783.72	USD	-483.00	
30AUG17	S	2	PD30NOV17	6781.50	6783.72	USD	-111.00	
30AUG17	S	4	PD30NOV17	6781.00	6783.72	USD	-272.00	
30AUG17	S	5	PD30NOV17	6783.00	6783.72	USD	-90.00	
30AUG17	B	1	PD30NOV17	6787.50	6783.72	USD	-94.50	
30AUG17	B	22	PD30NOV17	6791.50	6783.72	USD	-4,279.00	
30AUG17	B	1	PD30NOV17	6790.00	6783.72	USD	-157.00	
30AUG17	B	8	PD30NOV17	6790.50	6783.72	USD	-1,356.00	
30AUG17	B	7	PD30NOV17	6788.50	6783.72	USD	-836.50	
30AUG17	B	2	PD30NOV17	6789.00	6783.72	USD	-264.00	
30AUG17	B	6	PD30NOV17	6789.50	6783.72	USD	-867.00	
30AUG17	S	1	PD30NOV17	6772.00	6783.72	USD	-293.00	
30AUG17	B	3	PD30NOV17	6790.50	6783.72	USD	-508.50	
	NET	+10 AVG	BGHT 6790.41	SOLD 6779.98			-12,107.50	0.00
07SEP17	S	5	PD07DEC17	6891.00	6787.23	USD	12,971.25	
07SEP17	S	2	PD07DEC17	6883.50	6787.23	USD	4,813.50	
07SEP17	S	1	PD07DEC17	6884.00	6787.23	USD	2,419.25	
07SEP17	S	1	PD07DEC17	6884.50	6787.23	USD	2,431.75	
07SEP17	S	1	PD07DEC17	6882.50	6787.23	USD	2,381.75	
07SEP17	S	5	PD07DEC17	6906.50	6787.23	USD	14,908.75	
07SEP17	S	1	PD07DEC17	6905.50	6787.23	USD	2,956.75	
07SEP17	S	2	PD07DEC17	6905.00	6787.23	USD	5,888.50	
07SEP17	S	1	PD07DEC17	6904.50	6787.23	USD	2,931.75	
07SEP17	S	1	PD07DEC17	6904.00	6787.23	USD	2,919.25	
25SEP17	B	20	PD07DEC17	6443.45	6787.23	USD	171,890.00	
	NET	0 AVG	BGHT 6443.45	SOLD 6896.48			226,512.50	0.00
08SEP17	S	2	PD08DEC17	6829.00	6787.71	USD	2,064.50	
08SEP17	S	3	PD08DEC17	6828.00	6787.71	USD	3,021.75	
08SEP17	S	5	PD08DEC17	6826.00	6787.71	USD	4,786.25	
08SEP17	S	2	PD08DEC17	6824.00	6787.71	USD	1,814.50	
08SEP17	S	8	PD08DEC17	6825.00	6787.71	USD	7,458.00	
08SEP17	S	4	PD08DEC17	6824.50	6787.71	USD	3,679.00	
08SEP17	S	5	PD08DEC17	6823.50	6787.71	USD	4,473.75	
08SEP17	S	3	PD08DEC17	6815.50	6787.71	USD	2,084.25	
08SEP17	S	3	PD08DEC17	6816.50	6787.71	USD	2,159.25	
08SEP17	S	1	PD08DEC17	6819.00	6787.71	USD	782.25	
08SEP17	S	1	PD08DEC17	6822.00	6787.71	USD	857.25	
08SEP17	S	1	PD08DEC17	6821.50	6787.71	USD	844.75	
08SEP17	S	2	PD08DEC17	6822.50	6787.71	USD	1,739.50	
25SEP17	B	40	PD08DEC17	6443.91	6787.71	USD	343,800.00	
	NET	0 AVG	BGHT 6443.91	SOLD 6823.48			379,565.00	0.00
18SEP17	S	1	PD18DEC17	6553.00	6792.50	USD	-5,987.50	
18SEP17	S	2	PD18DEC17	6547.00	6792.50	USD	-12,275.00	
18SEP17	S	1	PD18DEC17	6548.00	6792.50	USD	-6,112.50	
18SEP17	S	1	PD18DEC17	6559.50	6792.50	USD	-5,825.00	
18SEP17	S	1	PD18DEC17	6556.50	6792.50	USD	-5,900.00	

OPEN POSITION STATEMENT



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SAP0089A

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	6554.00	6792.50	USD	-5,962.50	
18SEP17	S	8	PD18DEC17	6558.50	6792.50	USD	-46,800.00	
18SEP17	S	1	PD18DEC17	6551.50	6792.50	USD	-6,025.00	
18SEP17	S	3	PD18DEC17	6552.00	6792.50	USD	-18,037.50	
18SEP17	S	2	PD18DEC17	6550.50	6792.50	USD	-12,100.00	
18SEP17	S	2	PD18DEC17	6550.00	6792.50	USD	-12,125.00	
18SEP17	S	12	PD18DEC17	6552.50	6792.50	USD	-72,000.00	
18SEP17	S	2	PD18DEC17	6553.50	6792.50	USD	-11,950.00	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	11	PD18DEC17	6554.50	6792.50	USD	-65,450.00	
18SEP17	S	2	PD18DEC17	6555.50	6792.50	USD	-11,850.00	
18SEP17	S	2	PD18DEC17	6551.00	6792.50	USD	-12,075.00	
18SEP17	S	2	PD18DEC17	6556.00	6792.50	USD	-11,825.00	
18SEP17	S	3	PD18DEC17	6555.00	6792.50	USD	-17,812.50	
18SEP17	S	3	PD18DEC17	6570.50	6792.50	USD	-16,650.00	
18SEP17	S	1	PD18DEC17	6569.00	6792.50	USD	-5,587.50	
18SEP17	S	3	PD18DEC17	6572.50	6792.50	USD	-16,500.00	
18SEP17	S	5	PD18DEC17	6573.50	6792.50	USD	-27,375.00	
18SEP17	S	2	PD18DEC17	6557.00	6792.50	USD	-11,775.00	
18SEP17	S	2	PD18DEC17	6546.00	6792.50	USD	-12,325.00	
18SEP17	S	1	PD18DEC17	6532.50	6792.50	USD	-6,500.00	
18SEP17	S	3	PD18DEC17	6523.50	6792.50	USD	-20,175.00	
18SEP17	S	1	PD18DEC17	6522.00	6792.50	USD	-6,762.50	
18SEP17	S	1	PD18DEC17	6533.50	6792.50	USD	-6,475.00	
18SEP17	S	1	PD18DEC17	6534.50	6792.50	USD	-6,450.00	
18SEP17	S	5	PD18DEC17	6535.50	6792.50	USD	-32,125.00	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	1	PD18DEC17	6540.00	6792.50	USD	-6,312.50	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6529.50	6792.50	USD	-6,575.00	
18SEP17	S	1	PD18DEC17	6530.50	6792.50	USD	-6,550.00	
18SEP17	S	1	PD18DEC17	6527.00	6792.50	USD	-6,637.50	
18SEP17	S	3	PD18DEC17	6524.50	6792.50	USD	-20,100.00	
25SEP17	B	6	PD18DEC17	6448.49	6792.50	USD	51,601.50	
29SEP17	B	86	PD18DEC17	6478.52	6792.50	USD	675,057.00	
05OCT17	B	3	PD18DEC17	6699.70	6792.50	USD	6,960.00	
	NET	0AVG BGHT 6483.61	SOLD 6550.82				159,618.50	0.00
19SEP17	S	3	PD19DEC17	6537.00	6792.50	USD	-19,162.50	
19SEP17	S	1	PD19DEC17	6537.50	6792.50	USD	-6,375.00	
19SEP17	S	1	PD19DEC17	6536.50	6792.50	USD	-6,400.00	
19SEP17	S	6	PD19DEC17	6532.00	6792.50	USD	-39,075.00	
19SEP17	S	4	PD19DEC17	6533.00	6792.50	USD	-25,950.00	
19SEP17	S	2	PD19DEC17	6542.50	6792.50	USD	-12,500.00	
19SEP17	S	2	PD19DEC17	6543.50	6792.50	USD	-12,450.00	
19SEP17	S	1	PD19DEC17	6546.50	6792.50	USD	-6,150.00	
19SEP17	S	1	PD19DEC17	6547.50	6792.50	USD	-6,125.00	
19SEP17	B	1	PD19DEC17	6514.50	6792.50	USD	6,950.00	
19SEP17	B	2	PD19DEC17	6513.50	6792.50	USD	13,950.00	
19SEP17	B	2	PD19DEC17	6525.00	6792.50	USD	13,375.00	
19SEP17	B	2	PD19DEC17	6521.50	6792.50	USD	13,550.00	
19SEP17	B	9	PD19DEC17	6524.00	6792.50	USD	60,412.50	
19SEP17	B	1	PD19DEC17	6523.00	6792.50	USD	6,737.50	
19SEP17	B	1	PD19DEC17	6528.50	6792.50	USD	6,600.00	
19SEP17	B	2	PD19DEC17	6520.50	6792.50	USD	13,600.00	
19SEP17	B	2	PD19DEC17	6513.00	6792.50	USD	13,975.00	
19SEP17	B	1	PD19DEC17	6510.50	6792.50	USD	7,050.00	
19SEP17	B	11	PD19DEC17	6509.50	6792.50	USD	77,825.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6508.50	6792.50	USD	14,200.00	
19SEP17	B	1	PD19DEC17	6507.50	6792.50	USD	7,125.00	
19SEP17	B	2	PD19DEC17	6511.50	6792.50	USD	14,050.00	
19SEP17	B	7	PD19DEC17	6515.00	6792.50	USD	48,562.50	
19SEP17	B	5	PD19DEC17	6514.00	6792.50	USD	34,812.50	
19SEP17	B	1	PD19DEC17	6519.50	6792.50	USD	6,825.00	
19SEP17	B	14	PD19DEC17	6518.50	6792.50	USD	95,900.00	
19SEP17	B	7	PD19DEC17	6517.00	6792.50	USD	48,212.50	
19SEP17	B	8	PD19DEC17	6516.00	6792.50	USD	55,300.00	
	NET	+60 AVG BGHT 6516.44	SOLD 6536.90				424,825.00	0.00
26SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
26SEP17	S	1	PD27DEC17	6463.00	6793.00	USD	-8,250.00	
26SEP17	S	4	PD27DEC17	6442.00	6793.00	USD	-35,100.00	
26SEP17	S	1	PD27DEC17	6441.00	6793.00	USD	-8,800.00	
26SEP17	S	2	PD27DEC17	6437.00	6793.00	USD	-17,800.00	
26SEP17	S	3	PD27DEC17	6436.50	6793.00	USD	-26,737.50	
26SEP17	S	1	PD27DEC17	6435.50	6793.00	USD	-8,937.50	
26SEP17	S	2	PD27DEC17	6431.50	6793.00	USD	-18,075.00	
26SEP17	S	17	PD27DEC17	6431.00	6793.00	USD	-153,850.00	
26SEP17	S	1	PD27DEC17	6432.50	6793.00	USD	-9,012.50	
26SEP17	S	1	PD27DEC17	6434.00	6793.00	USD	-8,975.00	
26SEP17	S	3	PD27DEC17	6435.00	6793.00	USD	-26,850.00	
26SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
26SEP17	S	7	PD27DEC17	6440.00	6793.00	USD	-61,775.00	
26SEP17	S	5	PD27DEC17	6441.50	6793.00	USD	-43,937.50	
26SEP17	S	4	PD27DEC17	6439.00	6793.00	USD	-35,400.00	
26SEP17	S	6	PD27DEC17	6410.00	6793.00	USD	-57,450.00	
26SEP17	S	2	PD27DEC17	6411.00	6793.00	USD	-19,100.00	
26SEP17	S	1	PD27DEC17	6412.50	6793.00	USD	-9,512.50	
26SEP17	S	1	PD27DEC17	6413.50	6793.00	USD	-9,487.50	
26SEP17	S	1	PD27DEC17	6441.50	6793.00	USD	-8,787.50	
27SEP17	B	2	PD27DEC17	6458.50	6793.00	USD	16,725.00	
27SEP17	B	1	PD27DEC17	6464.00	6793.00	USD	8,225.00	
27SEP17	B	4	PD27DEC17	6459.50	6793.00	USD	33,350.00	
27SEP17	B	3	PD27DEC17	6460.50	6793.00	USD	24,937.50	
27SEP17	B	3	PD27DEC17	6453.00	6793.00	USD	25,500.00	
27SEP17	B	1	PD27DEC17	6456.00	6793.00	USD	8,425.00	
27SEP17	B	1	PD27DEC17	6437.50	6793.00	USD	8,887.50	
27SEP17	B	1	PD27DEC17	6433.00	6793.00	USD	9,000.00	
27SEP17	B	2	PD27DEC17	6441.00	6793.00	USD	17,600.00	
27SEP17	B	1	PD27DEC17	6442.00	6793.00	USD	8,775.00	
27SEP17	B	1	PD27DEC17	6446.00	6793.00	USD	8,675.00	
27SEP17	B	3	PD27DEC17	6443.00	6793.00	USD	26,250.00	
27SEP17	B	7	PD27DEC17	6444.00	6793.00	USD	61,075.00	
05OCT17	B	35	PD27DEC17	6699.20	6793.00	USD	82,075.00	
	NET	0 AVG BGHT 6584.08	SOLD 6432.96				-245,562.50	0.00
28SEP17	B	7	PD28DEC17	6429.50	6793.47	USD	63,694.75	
28SEP17	B	1	PD28DEC17	6428.00	6793.47	USD	9,136.75	
28SEP17	B	1	PD28DEC17	6426.00	6793.47	USD	9,186.75	
28SEP17	B	1	PD28DEC17	6425.00	6793.47	USD	9,211.75	
28SEP17	S	4	PD28DEC17	6400.50	6793.47	USD	-39,297.00	
28SEP17	S	1	PD28DEC17	6414.00	6793.47	USD	-9,486.75	
28SEP17	S	1	PD28DEC17	6428.00	6793.47	USD	-9,136.75	
28SEP17	B	1	PD28DEC17	6467.50	6793.47	USD	8,149.25	
28SEP17	B	1	PD28DEC17	6470.50	6793.47	USD	8,074.25	
28SEP17	B	4	PD28DEC17	6468.50	6793.47	USD	32,497.00	
28SEP17	B	1	PD28DEC17	6472.50	6793.47	USD	8,024.25	

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28SEP17	B	1	PD28DEC17	6473.50	6793.47	USD	7,999.25	
28SEP17	B	3	PD28DEC17	6471.50	6793.47	USD	24,147.75	
28SEP17	B	5	PD28DEC17	6459.50	6793.47	USD	41,746.25	
28SEP17	B	1	PD28DEC17	6514.50	6793.47	USD	6,974.25	
28SEP17	B	1	PD28DEC17	6515.50	6793.47	USD	6,949.25	
28SEP17	B	6	PD28DEC17	6513.50	6793.47	USD	41,995.50	
28SEP17	B	5	PD28DEC17	6512.00	6793.47	USD	35,183.75	
28SEP17	B	1	PD28DEC17	6516.00	6793.47	USD	6,936.75	
28SEP17	B	4	PD28DEC17	6516.50	6793.47	USD	27,697.00	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,886.75	
28SEP17	B	3	PD28DEC17	6527.00	6793.47	USD	19,985.25	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
28SEP17	B	3	PD28DEC17	6524.00	6793.47	USD	20,210.25	
28SEP17	B	2	PD28DEC17	6522.50	6793.47	USD	13,548.50	
28SEP17	B	1	PD28DEC17	6521.50	6793.47	USD	6,799.25	
28SEP17	B	2	PD28DEC17	6522.00	6793.47	USD	13,573.50	
28SEP17	B	4	PD28DEC17	6521.00	6793.47	USD	27,247.00	
28SEP17	B	2	PD28DEC17	6517.50	6793.47	USD	13,798.50	
28SEP17	B	3	PD28DEC17	6519.00	6793.47	USD	20,585.25	
28SEP17	B	1	PD28DEC17	6527.50	6793.47	USD	6,649.25	
28SEP17	B	3	PD28DEC17	6528.00	6793.47	USD	19,910.25	
28SEP17	B	1	PD28DEC17	6529.00	6793.47	USD	6,611.75	
28SEP17	B	5	PD28DEC17	6532.50	6793.47	USD	32,621.25	
28SEP17	B	3	PD28DEC17	6534.00	6793.47	USD	19,460.25	
28SEP17	B	1	PD28DEC17	6533.00	6793.47	USD	6,511.75	
28SEP17	B	8	PD28DEC17	6524.50	6793.47	USD	53,794.00	
28SEP17	B	4	PD28DEC17	6523.50	6793.47	USD	26,997.00	
28SEP17	B	8	PD28DEC17	6531.50	6793.47	USD	52,394.00	
28SEP17	B	1	PD28DEC17	6542.00	6793.47	USD	6,286.75	
28SEP17	B	1	PD28DEC17	6541.00	6793.47	USD	6,311.75	
28SEP17	B	1	PD28DEC17	6553.50	6793.47	USD	5,999.25	
28SEP17	B	4	PD28DEC17	6553.00	6793.47	USD	24,047.00	
28SEP17	B	5	PD28DEC17	6560.00	6793.47	USD	29,183.75	
28SEP17	B	1	PD28DEC17	6522.50	6793.47	USD	6,774.25	
28SEP17	B	2	PD28DEC17	6512.00	6793.47	USD	14,073.50	
28SEP17	B	1	PD28DEC17	6533.00	6793.47	USD	6,511.75	
	NET	+110 AVG BGHT 6510.41	SOLD 6407.33				762,967.50	0.00
29SEP17	B	7	PD29DEC17	6549.00	6793.93	USD	42,862.75	
29SEP17	B	2	PD29DEC17	6547.00	6793.93	USD	12,346.50	
29SEP17	B	1	PD29DEC17	6546.00	6793.93	USD	6,198.25	
29SEP17	B	2	PD29DEC17	6543.50	6793.93	USD	12,521.50	
29SEP17	B	4	PD29DEC17	6542.50	6793.93	USD	25,143.00	
29SEP17	S	1	PD29DEC17	6530.50	6793.93	USD	-6,585.75	
29SEP17	S	2	PD29DEC17	6533.50	6793.93	USD	-13,021.50	
29SEP17	S	1	PD29DEC17	6534.50	6793.93	USD	-6,485.75	
29SEP17	S	2	PD29DEC17	6533.00	6793.93	USD	-13,046.50	
29SEP17	S	6	PD29DEC17	6534.00	6793.93	USD	-38,989.50	
29SEP17	S	1	PD29DEC17	6536.50	6793.93	USD	-6,435.75	
29SEP17	B	4	PD29DEC17	6545.00	6793.93	USD	24,893.00	
29SEP17	S	1	PD29DEC17	6525.00	6793.93	USD	-6,723.25	
29SEP17	S	3	PD29DEC17	6524.00	6793.93	USD	-20,244.75	
29SEP17	S	1	PD29DEC17	6528.50	6793.93	USD	-6,635.75	
29SEP17	S	3	PD29DEC17	6535.00	6793.93	USD	-19,419.75	
29SEP17	S	2	PD29DEC17	6537.00	6793.93	USD	-12,846.50	
29SEP17	S	2	PD29DEC17	6532.00	6793.93	USD	-13,096.50	
29SEP17	S	4	PD29DEC17	6500.50	6793.93	USD	-29,343.00	
	NET	-9 AVG BGHT 6546.00	SOLD 6527.90				-68,909.25	0.00

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020CT17	B	1	PD02JAN18	6534.50	6795.80	USD	6,532.50	
020CT17	B	2	PD02JAN18	6536.50	6795.80	USD	12,965.00	
020CT17	B	2	PD02JAN18	6535.50	6795.80	USD	13,015.00	
	NET	+5 AVG BGHT 6535.70					32,512.50	0.00
030CT17	S	6	PD03JAN18	6463.50	6796.27	USD	-49,915.50	
	NET	-6 AVG SOLD 6463.50					-49,915.50	0.00
050CT17	S	10	PD05JAN18	6541.50	6797.20	USD	-63,925.00	
050CT17	B	4	PD05JAN18	6657.00	6797.20	USD	14,020.00	
050CT17	B	6	PD05JAN18	6658.00	6797.20	USD	20,880.00	
050CT17	B	5	PD05JAN18	6675.50	6797.20	USD	15,212.50	
050CT17	B	5	PD05JAN18	6676.00	6797.20	USD	15,150.00	
050CT17	B	10	PD05JAN18	6672.00	6797.20	USD	31,300.00	
	NET	+20 AVG BGHT 6668.45 SOLD 6541.50					32,637.50	0.00
090CT17	S	2	PD09JAN18	6657.50	6799.07	USD	-7,078.50	
090CT17	S	1	PD09JAN18	6656.50	6799.07	USD	-3,564.25	
090CT17	S	1	PD09JAN18	6658.50	6799.07	USD	-3,514.25	
090CT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
090CT17	S	1	PD09JAN18	6661.00	6799.07	USD	-3,451.75	
090CT17	S	1	PD09JAN18	6662.50	6799.07	USD	-3,414.25	
090CT17	S	2	PD09JAN18	6663.50	6799.07	USD	-6,778.50	
090CT17	S	7	PD09JAN18	6660.00	6799.07	USD	-24,337.25	
090CT17	S	4	PD09JAN18	6659.00	6799.07	USD	-14,007.00	
	NET	-20 AVG SOLD 6659.60					-69,735.00	0.00
100CT17	B	5	PD10JAN18	6741.00	6799.53	USD	7,316.25	
100CT17	B	1	PD10JAN18	6739.50	6799.53	USD	1,500.75	
100CT17	B	3	PD10JAN18	6738.50	6799.53	USD	4,577.25	
100CT17	B	1	PD10JAN18	6737.50	6799.53	USD	1,550.75	
100CT17	B	1	PD10JAN18	6749.50	6799.53	USD	1,250.75	
100CT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
100CT17	B	1	PD10JAN18	6747.50	6799.53	USD	1,300.75	
100CT17	B	4	PD10JAN18	6752.00	6799.53	USD	4,753.00	
100CT17	B	2	PD10JAN18	6754.00	6799.53	USD	2,276.50	
100CT17	B	1	PD10JAN18	6754.00	6799.53	USD	1,138.25	
	NET	+20 AVG BGHT 6745.65					26,940.00	0.00
110CT17	B	1	PD11JAN18	6741.50	6800.00	USD	1,462.50	
110CT17	B	1	PD11JAN18	6742.50	6800.00	USD	1,437.50	
110CT17	B	1	PD11JAN18	6738.00	6800.00	USD	1,550.00	
110CT17	B	2	PD11JAN18	6737.00	6800.00	USD	3,150.00	
110CT17	B	4	PD11JAN18	6736.00	6800.00	USD	6,400.00	
110CT17	B	1	PD11JAN18	6736.00	6800.00	USD	1,600.00	
	NET	+10 AVG BGHT 6737.60					15,600.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
19SEP17	S	2	DEC17	296.400	309.550	USD	-6,575.00	
19SEP17	S	2	DEC17	296.450	309.550	USD	-6,550.00	
19SEP17	S	6	DEC17	296.350	309.550	USD	-19,800.00	
19SEP17	S	4	DEC17	296.300	309.550	USD	-13,250.00	
27SEP17	S	5	DEC17	293.550	309.550	USD	-20,000.00	
27SEP17	S	2	DEC17	293.750	309.550	USD	-7,900.00	
27SEP17	S	4	DEC17	293.650	309.550	USD	-15,900.00	
27SEP17	S	4	DEC17	293.300	309.550	USD	-16,250.00	
27SEP17	S	2	DEC17	293.250	309.550	USD	-8,150.00	
27SEP17	S	2	DEC17	293.400	309.550	USD	-8,075.00	
27SEP17	S	12	DEC17	293.600	309.550	USD	-47,850.00	
27SEP17	S	2	DEC17	292.550	309.550	USD	-8,500.00	
27SEP17	S	2	DEC17	292.300	309.550	USD	-8,625.00	

OPEN POSITION STATEMENT



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SAP0089A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
27SEP17	S	4	DEC17	292.750	309.550	USD	-16,800.00	
27SEP17	S	5	DEC17	292.800	309.550	USD	-20,937.50	
27SEP17	S	2	DEC17	292.950	309.550	USD	-8,300.00	
27SEP17	S	6	DEC17	292.850	309.550	USD	-25,050.00	
27SEP17	S	14	DEC17	292.900	309.550	USD	-58,275.00	
28SEP17	S	2	DEC17	292.200	309.550	USD	-8,675.00	
28SEP17	S	2	DEC17	292.100	309.550	USD	-8,725.00	
28SEP17	S	4	DEC17	292.050	309.550	USD	-17,500.00	
28SEP17	S	2	DEC17	292.000	309.550	USD	-8,775.00	
28SEP17	S	2	DEC17	293.900	309.550	USD	-7,825.00	
28SEP17	S	8	DEC17	294.000	309.550	USD	-31,100.00	
28SEP17	S	1	DEC17	293.950	309.550	USD	-3,900.00	
28SEP17	S	2	DEC17	294.050	309.550	USD	-7,750.00	
28SEP17	S	2	DEC17	294.200	309.550	USD	-7,675.00	
28SEP17	S	2	DEC17	294.150	309.550	USD	-7,700.00	
28SEP17	S	7	DEC17	294.100	309.550	USD	-27,037.50	
28SEP17	S	10	DEC17	293.600	309.550	USD	-39,875.00	
28SEP17	S	1	DEC17	293.650	309.550	USD	-3,975.00	
28SEP17	S	12	DEC17	296.050	309.550	USD	-40,500.00	
28SEP17	S	5	DEC17	296.100	309.550	USD	-16,812.50	
28SEP17	S	9	DEC17	296.000	309.550	USD	-30,487.50	
28SEP17	S	6	DEC17	295.950	309.550	USD	-20,400.00	
28SEP17	S	1	DEC17	295.900	309.550	USD	-3,412.50	
28SEP17	S	11	DEC17	296.200	309.550	USD	-36,712.50	
28SEP17	S	4	DEC17	296.700	309.550	USD	-12,850.00	
28SEP17	S	2	DEC17	296.650	309.550	USD	-6,450.00	
28SEP17	S	14	DEC17	296.450	309.550	USD	-45,850.00	
28SEP17	S	5	DEC17	296.400	309.550	USD	-16,437.50	
28SEP17	S	3	DEC17	296.250	309.550	USD	-9,975.00	
28SEP17	S	4	DEC17	296.350	309.550	USD	-13,200.00	
28SEP17	S	4	DEC17	296.300	309.550	USD	-13,250.00	
28SEP17	S	9	DEC17	296.750	309.550	USD	-28,800.00	
28SEP17	S	3	DEC17	296.800	309.550	USD	-9,562.50	
28SEP17	S	5	DEC17	297.050	309.550	USD	-15,625.00	
28SEP17	S	19	DEC17	296.600	309.550	USD	-61,512.50	
28SEP17	S	16	DEC17	296.550	309.550	USD	-52,000.00	
28SEP17	S	5	DEC17	296.500	309.550	USD	-16,312.50	
28SEP17	S	22	DEC17	296.950	309.550	USD	-69,300.00	
28SEP17	S	4	DEC17	296.900	309.550	USD	-12,650.00	
28SEP17	S	9	DEC17	297.000	309.550	USD	-28,237.50	
28SEP17	S	2	DEC17	297.450	309.550	USD	-6,050.00	
28SEP17	S	2	DEC17	297.400	309.550	USD	-6,075.00	
28SEP17	S	2	DEC17	298.000	309.550	USD	-5,775.00	
28SEP17	S	8	DEC17	297.950	309.550	USD	-23,200.00	
28SEP17	S	1	DEC17	297.850	309.550	USD	-2,925.00	
28SEP17	S	10	DEC17	298.300	309.550	USD	-28,125.00	
28SEP17	S	1	DEC17	298.350	309.550	USD	-2,800.00	
02OCT17	S	6	DEC17	297.200	309.550	USD	-18,525.00	
02OCT17	S	2	DEC17	297.150	309.550	USD	-6,200.00	
05OCT17	S	10	DEC17	303.500	309.550	USD	-15,125.00	
05OCT17	S	18	DEC17	303.350	309.550	USD	-27,900.00	
05OCT17	S	2	DEC17	303.300	309.550	USD	-3,125.00	
05OCT17	S	14	DEC17	303.450	309.550	USD	-21,350.00	
10OCT17	S	2	DEC17	306.200	309.550	USD	-1,675.00	
10OCT17	S	8	DEC17	306.150	309.550	USD	-6,800.00	
10OCT17	S	6	DEC17	306.100	309.550	USD	-5,175.00	
10OCT17	S	2	DEC17	306.050	309.550	USD	-1,750.00	
10OCT17	S	2	DEC17	305.850	309.550	USD	-1,850.00	

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11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
10OCT17	S 2 DEC17	306.600	309.550	USD	-1,475.00	
10OCT17	S 2 DEC17	306.450	309.550	USD	-1,550.00	
10OCT17	S 2 DEC17	306.400	309.550	USD	-1,575.00	
10OCT17	S 4 DEC17	306.650	309.550	USD	-2,900.00	
10OCT17	S 4 DEC17	306.700	309.550	USD	-2,850.00	
10OCT17	S 3 DEC17	306.300	309.550	USD	-2,437.50	
10OCT17	S 4 DEC17	306.800	309.550	USD	-2,750.00	
10OCT17	S 2 DEC17	306.750	309.550	USD	-1,400.00	
10OCT17	S 1 DEC17	306.500	309.550	USD	-762.50	
11OCT17	S 2 DEC17	306.150	309.550	USD	-1,700.00	
11OCT17	S 2 DEC17	306.200	309.550	USD	-1,675.00	
11OCT17	S 1 DEC17	306.000	309.550	USD	-887.50	
11OCT17	S 1 DEC17	306.050	309.550	USD	-875.00	
11OCT17	S 10 DEC17	305.950	309.550	USD	-9,000.00	
11OCT17	S 4 DEC17	305.900	309.550	USD	-3,650.00	
11OCT17	S 2 DEC17	305.650	309.550	USD	-1,950.00	
	NET -441 AVG SOLD 297.945				-1,279,500.00	0.00

OPEN POSITION VALUE

			USD
LME Copper Grade A 25	FUTURES	-32,480,286.50	
CMX Copper	FUTURES	32,848,387.50	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-1,279,500.00	-1,279,500.00	-1,279,500.00	-1,279,500.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	1,486,666.25	1,486,666.25	1,486,666.25	1,486,666.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	2,341,174.56	2,341,174.56
Variation Margins - Futures	-1,279,500.00	-1,279,500.00
- Options	0.00	0.00
TOTAL EQUITY	1,061,674.56	1,061,674.56
Initial Margins	-2,407,320.75	-2,407,320.75
Excess/(Shortage)	-1,345,646.19	-1,345,646.19
FOR INFORMATION ONLY		
Forward Unrealised P&L	1,486,666.25	1,486,666.25
Net Liquidating Value	2,548,340.81	2,548,340.81

OPEN POSITION STATEMENT



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SAP0089D

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	6397.50	6775.65	USD	9,453.75	
08AUG17	B	1	PD08NOV17	6403.00	6775.65	USD	9,316.25	
08AUG17	B	8	PD08NOV17	6404.00	6775.65	USD	74,330.00	
08AUG17	B	3	PD08NOV17	6399.50	6775.65	USD	28,211.25	
08AUG17	B	10	PD08NOV17	6398.50	6775.65	USD	94,287.50	
08AUG17	B	1	PD08NOV17	6402.00	6775.65	USD	9,341.25	
08AUG17	B	1	PD08NOV17	6408.00	6775.65	USD	9,191.25	
08AUG17	B	9	PD08NOV17	6409.00	6775.65	USD	82,496.25	
08AUG17	B	1	PD08NOV17	6407.00	6775.65	USD	9,216.25	
08AUG17	B	1	PD08NOV17	6410.50	6775.65	USD	9,128.75	
08AUG17	B	2	PD08NOV17	6416.00	6775.65	USD	17,982.50	
08AUG17	B	1	PD08NOV17	6420.00	6775.65	USD	8,891.25	
08AUG17	B	4	PD08NOV17	6419.00	6775.65	USD	35,665.00	
08AUG17	B	1	PD08NOV17	6419.50	6775.65	USD	8,903.75	
08AUG17	B	5	PD08NOV17	6429.00	6775.65	USD	43,331.25	
08AUG17	B	1	PD08NOV17	6404.00	6775.65	USD	9,291.25	
29AUG17	S	50	PD08NOV17	6788.12	6775.65	USD	15,587.50	
	NET	0AVG BGHT 6408.42	SOLD 6788.12				474,625.00	0.00
24AUG17	S	1	PD24NOV17	6703.50	6780.52	USD	-1,925.50	
24AUG17	S	1	PD24NOV17	6709.50	6780.52	USD	-1,775.50	
24AUG17	S	3	PD24NOV17	6712.00	6780.52	USD	-5,139.00	
24AUG17	S	1	PD24NOV17	6703.50	6780.52	USD	-1,925.50	
29AUG17	B	6	PD24NOV17	6790.74	6780.52	USD	-1,533.00	
	NET	0AVG BGHT 6790.74	SOLD 6708.75				-12,298.50	0.00
29AUG17	S	1	PD29NOV17	6762.50	6783.18	USD	-517.00	
29AUG17	S	1	PD29NOV17	6780.00	6783.18	USD	-79.50	
29AUG17	S	1	PD29NOV17	6785.50	6783.18	USD	58.00	
29AUG17	S	1	PD29NOV17	6781.50	6783.18	USD	-42.00	
29AUG17	S	2	PD29NOV17	6783.00	6783.18	USD	-9.00	
29AUG17	S	1	PD29NOV17	6776.00	6783.18	USD	-179.50	
29AUG17	S	1	PD29NOV17	6774.50	6783.18	USD	-217.00	
29AUG17	S	1	PD29NOV17	6780.50	6783.18	USD	-67.00	
29AUG17	S	3	PD29NOV17	6787.50	6783.18	USD	324.00	
29AUG17	S	6	PD29NOV17	6786.50	6783.18	USD	498.00	
29AUG17	S	1	PD29NOV17	6789.00	6783.18	USD	145.50	
29AUG17	S	2	PD29NOV17	6790.50	6783.18	USD	366.00	
29AUG17	S	4	PD29NOV17	6792.50	6783.18	USD	932.00	
29AUG17	S	4	PD29NOV17	6796.00	6783.18	USD	1,282.00	
29AUG17	S	1	PD29NOV17	6797.00	6783.18	USD	345.50	
29AUG17	S	3	PD29NOV17	6798.00	6783.18	USD	1,111.50	
29AUG17	S	2	PD29NOV17	6807.00	6783.18	USD	1,191.00	
29AUG17	S	1	PD29NOV17	6805.50	6783.18	USD	558.00	
29AUG17	S	1	PD29NOV17	6809.00	6783.18	USD	645.50	
29AUG17	S	1	PD29NOV17	6802.50	6783.18	USD	483.00	
29AUG17	S	1	PD29NOV17	6802.00	6783.18	USD	470.50	
29AUG17	S	1	PD29NOV17	6814.50	6783.18	USD	783.00	
29AUG17	S	1	PD29NOV17	6816.50	6783.18	USD	833.00	
29AUG17	S	1	PD29NOV17	6821.00	6783.18	USD	945.50	
29AUG17	S	1	PD29NOV17	6814.00	6783.18	USD	770.50	
29AUG17	S	1	PD29NOV17	6798.00	6783.18	USD	370.50	
29AUG17	B	44	PD29NOV17	6791.70	6783.18	USD	-9,372.00	
	NET	0AVG BGHT 6791.70	SOLD 6793.18				1,630.00	0.00
30AUG17	B	1	PD30NOV17	6828.50	6783.72	USD	-1,119.50	
30AUG17	B	2	PD30NOV17	6830.00	6783.72	USD	-2,314.00	
30AUG17	B	2	PD30NOV17	6829.50	6783.72	USD	-2,289.00	
30AUG17	B	1	PD30NOV17	6827.00	6783.72	USD	-1,082.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	4	PD30NOV17	6826.00	6783.72	USD	-4,228.00	
30AUG17	B	1	PD30NOV17	6832.50	6783.72	USD	-1,219.50	
30AUG17	B	1	PD30NOV17	6831.50	6783.72	USD	-1,194.50	
30AUG17	B	3	PD30NOV17	6830.50	6783.72	USD	-3,508.50	
30AUG17	B	1	PD30NOV17	6812.00	6783.72	USD	-707.00	
30AUG17	B	1	PD30NOV17	6814.50	6783.72	USD	-769.50	
30AUG17	B	4	PD30NOV17	6813.50	6783.72	USD	-2,978.00	
30AUG17	B	9	PD30NOV17	6811.00	6783.72	USD	-6,138.00	
30AUG17	B	3	PD30NOV17	6807.00	6783.72	USD	-1,746.00	
30AUG17	B	2	PD30NOV17	6808.00	6783.72	USD	-1,214.00	
30AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
30AUG17	B	2	PD30NOV17	6799.50	6783.72	USD	-789.00	
30AUG17	B	2	PD30NOV17	6802.00	6783.72	USD	-914.00	
31AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
31AUG17	B	3	PD30NOV17	6804.00	6783.72	USD	-1,521.00	
31AUG17	B	2	PD30NOV17	6806.00	6783.72	USD	-1,114.00	
31AUG17	B	1	PD30NOV17	6807.00	6783.72	USD	-582.00	
31AUG17	B	1	PD30NOV17	6820.00	6783.72	USD	-907.00	
31AUG17	B	2	PD30NOV17	6820.50	6783.72	USD	-1,839.00	
12SEP17	S	10	PD30NOV17	6668.30	6783.72	USD	-28,855.00	
15SEP17	S	15	PD30NOV17	6498.02	6783.72	USD	-107,137.50	
21SEP17	S	25	PD30NOV17	6474.30	6783.72	USD	-193,387.50	
	NET	0 AVG BGHT 6815.11	SOLD 6520.22				-368,617.50	0.00
11SEP17	S	10	PD01DEC17	6723.97	6784.25	USD	-15,070.00	
12SEP17	B	10	PD01DEC17	6669.16	6784.25	USD	28,772.50	
	NET	0 AVG BGHT 6669.16	SOLD 6723.97				13,702.50	0.00
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	1	PD07DEC17	6872.50	6787.23	USD	2,131.75	
07SEP17	S	2	PD07DEC17	6860.00	6787.23	USD	3,638.50	
07SEP17	S	1	PD07DEC17	6858.00	6787.23	USD	1,769.25	
07SEP17	S	1	PD07DEC17	6862.50	6787.23	USD	1,881.75	
07SEP17	S	1	PD07DEC17	6861.50	6787.23	USD	1,856.75	
07SEP17	S	1	PD07DEC17	6864.00	6787.23	USD	1,919.25	
07SEP17	S	2	PD07DEC17	6866.00	6787.23	USD	3,938.50	
07SEP17	S	5	PD07DEC17	6868.00	6787.23	USD	10,096.25	
15SEP17	B	15	PD07DEC17	6501.20	6787.23	USD	107,261.25	
	NET	0 AVG BGHT 6501.20	SOLD 6865.73				136,700.00	0.00
08SEP17	S	2	PD08DEC17	6826.50	6787.71	USD	1,939.50	
08SEP17	S	8	PD08DEC17	6825.00	6787.71	USD	7,458.00	
11SEP17	B	10	PD08DEC17	6726.79	6787.71	USD	15,230.00	
	NET	0 AVG BGHT 6726.79	SOLD 6825.30				24,627.50	0.00
11SEP17	B	2	PD11DEC17	6728.50	6789.15	USD	3,032.50	
11SEP17	B	1	PD11DEC17	6726.00	6789.15	USD	1,578.75	
11SEP17	B	2	PD11DEC17	6730.50	6789.15	USD	2,932.50	
11SEP17	B	3	PD11DEC17	6732.50	6789.15	USD	4,248.75	
11SEP17	B	2	PD11DEC17	6731.50	6789.15	USD	2,882.50	
18SEP17	S	1	PD11DEC17	6542.89	6789.15	USD	-6,156.50	
18SEP17	S	9	PD11DEC17	6541.64	6789.15	USD	-55,689.75	
	NET	0 AVG BGHT 6730.45	SOLD 6541.77				-47,171.25	0.00
13SEP17	B	1	PD13DEC17	6595.50	6790.10	USD	4,865.00	
13SEP17	B	1	PD13DEC17	6592.50	6790.10	USD	4,940.00	
13SEP17	B	3	PD13DEC17	6584.50	6790.10	USD	15,420.00	
15SEP17	S	5	PD13DEC17	6466.39	6790.10	USD	-40,463.75	
	NET	0 AVG BGHT 6588.30	SOLD 6466.39				-15,238.75	0.00
14SEP17	B	1	PD14DEC17	6502.00	6790.58	USD	7,214.50	
14SEP17	B	4	PD14DEC17	6503.00	6790.58	USD	28,758.00	

OPEN POSITION STATEMENT



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SAP0089D

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	5	PD14DEC17	6504.00	6790.58	USD	35,822.50	
14SEP17	S	10	PD14DEC17	6502.00	6790.58	USD	-72,145.00	
	NET	0AVG BGHT 6503.40	SOLD 6502.00				-350.00	0.00
15SEP17	S	1	PD15DEC17	6524.00	6791.06	USD	-6,676.50	
15SEP17	S	1	PD15DEC17	6523.00	6791.06	USD	-6,701.50	
15SEP17	S	3	PD15DEC17	6522.00	6791.06	USD	-20,179.50	
15SEP17	S	1	PD15DEC17	6459.00	6791.06	USD	-8,301.50	
15SEP17	B	5	PD15DEC17	6467.53	6791.06	USD	40,441.25	
18SEP17	B	1	PD15DEC17	6544.95	6791.06	USD	6,152.75	
	NET	0AVG BGHT 6480.43	SOLD 6512.00				4,735.00	0.00
18SEP17	S	3	PD18DEC17	6544.00	6792.50	USD	-18,637.50	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	1	PD18DEC17	6546.00	6792.50	USD	-6,162.50	
18SEP17	S	4	PD18DEC17	6547.00	6792.50	USD	-24,550.00	
18SEP17	B	2	PD18DEC17	6547.00	6792.50	USD	12,275.00	
18SEP17	B	4	PD18DEC17	6545.00	6792.50	USD	24,750.00	
18SEP17	B	2	PD18DEC17	6543.50	6792.50	USD	12,450.00	
18SEP17	B	2	PD18DEC17	6541.50	6792.50	USD	12,550.00	
18SEP17	B	2	PD18DEC17	6540.50	6792.50	USD	12,600.00	
18SEP17	B	1	PD18DEC17	6539.50	6792.50	USD	6,325.00	
18SEP17	B	1	PD18DEC17	6538.50	6792.50	USD	6,350.00	
18SEP17	B	1	PD18DEC17	6536.00	6792.50	USD	6,412.50	
18SEP17	B	2	PD18DEC17	6534.00	6792.50	USD	12,925.00	
18SEP17	B	2	PD18DEC17	6535.00	6792.50	USD	12,875.00	
18SEP17	B	1	PD18DEC17	6545.00	6792.50	USD	6,187.50	
18SEP17	B	9	PD18DEC17	6544.90	6792.50	USD	55,710.00	
19SEP17	S	17	PD18DEC17	6538.60	6792.50	USD	-107,907.50	
25SEP17	S	3	PD18DEC17	6448.29	6792.50	USD	-25,815.75	
	NET	0AVG BGHT 6542.28	SOLD 6531.45				-7,850.75	0.00
19SEP17	S	1	PD19DEC17	6535.50	6792.50	USD	-6,425.00	
19SEP17	S	1	PD19DEC17	6523.50	6792.50	USD	-6,725.00	
19SEP17	S	1	PD19DEC17	6524.50	6792.50	USD	-6,700.00	
19SEP17	S	2	PD19DEC17	6512.50	6792.50	USD	-14,000.00	
19SEP17	S	3	PD19DEC17	6520.00	6792.50	USD	-20,437.50	
19SEP17	S	1	PD19DEC17	6532.50	6792.50	USD	-6,500.00	
19SEP17	S	2	PD19DEC17	6528.00	6792.50	USD	-13,225.00	
19SEP17	S	1	PD19DEC17	6530.00	6792.50	USD	-6,562.50	
19SEP17	S	1	PD19DEC17	6533.50	6792.50	USD	-6,475.00	
19SEP17	S	3	PD19DEC17	6525.50	6792.50	USD	-20,025.00	
19SEP17	S	1	PD19DEC17	6527.00	6792.50	USD	-6,637.50	
19SEP17	B	1	PD19DEC17	6536.00	6792.50	USD	6,412.50	
19SEP17	B	1	PD19DEC17	6541.50	6792.50	USD	6,275.00	
19SEP17	B	3	PD19DEC17	6537.00	6792.50	USD	19,162.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	B	1	PD19DEC17	6533.50	6792.50	USD	6,475.00	
19SEP17	B	4	PD19DEC17	6531.50	6792.50	USD	26,100.00	
19SEP17	B	1	PD19DEC17	6529.50	6792.50	USD	6,575.00	
19SEP17	B	3	PD19DEC17	6530.50	6792.50	USD	19,650.00	
19SEP17	B	7	PD19DEC17	6535.00	6792.50	USD	45,062.50	
19SEP17	B	17	PD19DEC17	6539.20	6792.50	USD	107,652.50	
26SEP17	S	22	PD19DEC17	6409.93	6792.50	USD	-210,413.50	
	NET	0AVG BGHT 6536.23	SOLD 6460.06				-74,261.00	0.00
21SEP17	S	1	PD21DEC17	6455.50	6791.50	USD	-8,400.00	
21SEP17	S	7	PD21DEC17	6461.00	6791.50	USD	-57,837.50	
21SEP17	S	7	PD21DEC17	6460.00	6791.50	USD	-58,012.50	
21SEP17	S	1	PD21DEC17	6460.50	6791.50	USD	-8,275.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	5	PD21DEC17	6461.50	6791.50	USD	-41,250.00	
21SEP17	S	2	PD21DEC17	6462.50	6791.50	USD	-16,450.00	
21SEP17	S	2	PD21DEC17	6461.50	6791.50	USD	-16,500.00	
21SEP17	B	25	PD21DEC17	6480.20	6791.50	USD	194,562.50	
	NET	0AVG BGHT 6480.20	SOLD 6460.74				-12,162.50	0.00
22SEP17	S	1	PD22DEC17	6469.50	6790.50	USD	-8,025.00	
26SEP17	B	1	PD22DEC17	6409.87	6790.50	USD	9,515.75	
	NET	0AVG BGHT 6409.87	SOLD 6469.50				1,490.75	0.00
25SEP17	S	1	PD27DEC17	6443.50	6793.00	USD	-8,737.50	
25SEP17	S	1	PD27DEC17	6453.50	6793.00	USD	-8,487.50	
25SEP17	S	1	PD27DEC17	6453.00	6793.00	USD	-8,500.00	
25SEP17	B	3	PD27DEC17	6450.20	6793.00	USD	25,710.00	
26SEP17	B	1	PD27DEC17	6496.00	6793.00	USD	7,425.00	
26SEP17	B	1	PD27DEC17	6508.00	6793.00	USD	7,125.00	
26SEP17	B	1	PD27DEC17	6506.00	6793.00	USD	7,175.00	
26SEP17	B	1	PD27DEC17	6503.00	6793.00	USD	7,250.00	
26SEP17	B	5	PD27DEC17	6495.00	6793.00	USD	37,250.00	
26SEP17	B	1	PD27DEC17	6493.50	6793.00	USD	7,487.50	
26SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
26SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
26SEP17	S	1	PD27DEC17	6435.00	6793.00	USD	-8,950.00	
26SEP17	S	2	PD27DEC17	6432.00	6793.00	USD	-18,050.00	
26SEP17	S	1	PD27DEC17	6434.50	6793.00	USD	-8,962.50	
26SEP17	S	1	PD27DEC17	6435.50	6793.00	USD	-8,937.50	
26SEP17	S	1	PD27DEC17	6439.50	6793.00	USD	-8,837.50	
26SEP17	S	2	PD27DEC17	6438.50	6793.00	USD	-17,725.00	
26SEP17	S	2	PD27DEC17	6436.50	6793.00	USD	-17,825.00	
26SEP17	S	2	PD27DEC17	6440.50	6793.00	USD	-17,625.00	
26SEP17	S	2	PD27DEC17	6433.50	6793.00	USD	-17,975.00	
26SEP17	S	5	PD27DEC17	6432.50	6793.00	USD	-45,062.50	
26SEP17	S	1	PD27DEC17	6431.50	6793.00	USD	-9,037.50	
26SEP17	S	4	PD27DEC17	6430.50	6793.00	USD	-36,250.00	
26SEP17	S	2	PD27DEC17	6429.50	6793.00	USD	-18,175.00	
26SEP17	S	2	PD27DEC17	6428.50	6793.00	USD	-18,225.00	
26SEP17	S	1	PD27DEC17	6428.50	6793.00	USD	-9,112.50	
26SEP17	B	21	PD27DEC17	6413.20	6793.00	USD	199,395.00	
27SEP17	B	1	PD27DEC17	6450.50	6793.00	USD	8,562.50	
27SEP17	B	4	PD27DEC17	6451.50	6793.00	USD	34,150.00	
27SEP17	B	10	PD27DEC17	6454.00	6793.00	USD	84,750.00	
27SEP17	B	1	PD27DEC17	6456.00	6793.00	USD	8,425.00	
27SEP17	B	2	PD27DEC17	6448.50	6793.00	USD	17,225.00	
27SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
27SEP17	B	2	PD27DEC17	6458.00	6793.00	USD	16,750.00	
27SEP17	B	1	PD27DEC17	6449.50	6793.00	USD	8,587.50	
27SEP17	B	3	PD27DEC17	6455.00	6793.00	USD	25,350.00	
27SEP17	B	1	PD27DEC17	6483.00	6793.00	USD	7,750.00	
27SEP17	B	2	PD27DEC17	6474.00	6793.00	USD	15,950.00	
27SEP17	B	1	PD27DEC17	6472.50	6793.00	USD	8,012.50	
27SEP17	B	1	PD27DEC17	6475.00	6793.00	USD	7,950.00	
27SEP17	B	1	PD27DEC17	6471.50	6793.00	USD	8,037.50	
27SEP17	B	2	PD27DEC17	6471.00	6793.00	USD	16,100.00	
27SEP17	B	2	PD27DEC17	6470.00	6793.00	USD	16,150.00	
27SEP17	B	1	PD27DEC17	6469.50	6793.00	USD	8,087.50	
27SEP17	B	1	PD27DEC17	6463.00	6793.00	USD	8,250.00	
27SEP17	B	3	PD27DEC17	6458.50	6793.00	USD	25,087.50	
27SEP17	B	2	PD27DEC17	6431.00	6793.00	USD	18,100.00	
27SEP17	B	1	PD27DEC17	6444.00	6793.00	USD	8,725.00	

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27SEP17	B	2	PD27DEC17	6443.00	6793.00	USD	17,500.00	
27SEP17	B	1	PD27DEC17	6443.50	6793.00	USD	8,737.50	
27SEP17	B	4	PD27DEC17	6445.00	6793.00	USD	34,800.00	
28SEP17	S	10	PD27DEC17	6521.33	6793.00	USD	-67,917.50	
	NET	+40 AVG BGHT 6450.05	SOLD 6455.64				349,112.50	0.00
28SEP17	S	1	PD28DEC17	6425.00	6793.47	USD	-9,211.75	
28SEP17	S	1	PD28DEC17	6424.00	6793.47	USD	-9,236.75	
28SEP17	S	2	PD28DEC17	6426.50	6793.47	USD	-18,348.50	
28SEP17	S	1	PD28DEC17	6429.00	6793.47	USD	-9,111.75	
28SEP17	S	1	PD28DEC17	6439.00	6793.47	USD	-8,861.75	
28SEP17	S	1	PD28DEC17	6440.00	6793.47	USD	-8,836.75	
28SEP17	S	1	PD28DEC17	6436.50	6793.47	USD	-8,924.25	
28SEP17	B	1	PD28DEC17	6425.50	6793.47	USD	9,199.25	
28SEP17	S	1	PD28DEC17	6401.50	6793.47	USD	-9,799.25	
28SEP17	S	1	PD28DEC17	6400.00	6793.47	USD	-9,836.75	
28SEP17	S	1	PD28DEC17	6399.00	6793.47	USD	-9,861.75	
28SEP17	B	2	PD28DEC17	6467.50	6793.47	USD	16,298.50	
28SEP17	B	1	PD28DEC17	6461.50	6793.47	USD	8,299.25	
28SEP17	B	1	PD28DEC17	6460.50	6793.47	USD	8,324.25	
28SEP17	B	1	PD28DEC17	6559.50	6793.47	USD	5,849.25	
28SEP17	B	1	PD28DEC17	6554.50	6793.47	USD	5,974.25	
28SEP17	B	1	PD28DEC17	6553.50	6793.47	USD	5,999.25	
28SEP17	B	1	PD28DEC17	6553.00	6793.47	USD	6,011.75	
28SEP17	B	10	PD28DEC17	6522.20	6793.47	USD	67,817.50	
28SEP17	B	2	PD28DEC17	6522.00	6793.47	USD	13,573.50	
02OCT17	S	10	PD28DEC17	6490.84	6793.47	USD	-75,657.50	
	NET	0 AVG BGHT 6512.81	SOLD 6455.02				-30,340.00	0.00
29SEP17	S	1	PD29DEC17	6539.00	6793.93	USD	-6,373.25	
29SEP17	S	1	PD29DEC17	6502.00	6793.93	USD	-7,298.25	
29SEP17	S	1	PD29DEC17	6503.00	6793.93	USD	-7,273.25	
29SEP17	S	7	PD29DEC17	6503.50	6793.93	USD	-50,825.25	
02OCT17	B	10	PD29DEC17	6491.47	6793.93	USD	75,615.00	
	NET	0 AVG BGHT 6491.47	SOLD 6506.85				3,845.00	0.00
02OCT17	B	1	PD02JAN18	6525.00	6795.80	USD	6,770.00	
02OCT17	B	3	PD02JAN18	6525.50	6795.80	USD	20,272.50	
02OCT17	B	6	PD02JAN18	6526.00	6795.80	USD	40,470.00	
02OCT17	B	1	PD02JAN18	6531.00	6795.80	USD	6,620.00	
02OCT17	B	2	PD02JAN18	6528.00	6795.80	USD	13,390.00	
02OCT17	B	2	PD02JAN18	6527.50	6795.80	USD	13,415.00	
02OCT17	B	2	PD02JAN18	6529.00	6795.80	USD	13,340.00	
02OCT17	B	6	PD02JAN18	6529.50	6795.80	USD	39,945.00	
02OCT17	B	1	PD02JAN18	6531.50	6795.80	USD	6,607.50	
02OCT17	B	1	PD02JAN18	6533.50	6795.80	USD	6,557.50	
02OCT17	B	2	PD02JAN18	6534.50	6795.80	USD	13,065.00	
02OCT17	B	3	PD02JAN18	6537.00	6795.80	USD	19,410.00	
02OCT17	B	2	PD02JAN18	6536.00	6795.80	USD	12,990.00	
02OCT17	B	3	PD02JAN18	6535.00	6795.80	USD	19,560.00	
02OCT17	B	5	PD02JAN18	6530.00	6795.80	USD	33,225.00	
02OCT17	B	3	PD02JAN18	6527.00	6795.80	USD	20,160.00	
02OCT17	B	3	PD02JAN18	6532.50	6795.80	USD	19,747.50	
02OCT17	B	2	PD02JAN18	6532.00	6795.80	USD	13,190.00	
02OCT17	B	2	PD02JAN18	6530.50	6795.80	USD	13,265.00	
03OCT17	S	28	PD02JAN18	6519.65	6795.80	USD	-193,305.00	
04OCT17	S	7	PD02JAN18	6520.38	6795.80	USD	-48,198.50	
05OCT17	S	4	PD02JAN18	6683.58	6795.80	USD	-11,222.00	
	NET	+11 AVG BGHT 6530.20	SOLD 6536.59				79,274.50	0.00

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03OCT17	S	1	PD03JAN18	6463.50	6796.27	USD	-8,319.25	
03OCT17	S	1	PD03JAN18	6463.00	6796.27	USD	-8,331.75	
03OCT17	S	1	PD03JAN18	6462.00	6796.27	USD	-8,356.75	
03OCT17	S	4	PD03JAN18	6458.00	6796.27	USD	-33,827.00	
03OCT17	S	3	PD03JAN18	6462.50	6796.27	USD	-25,032.75	
03OCT17	S	5	PD03JAN18	6464.00	6796.27	USD	-41,533.75	
03OCT17	S	2	PD03JAN18	6468.00	6796.27	USD	-16,413.50	
03OCT17	S	1	PD03JAN18	6461.50	6796.27	USD	-8,369.25	
03OCT17	S	2	PD03JAN18	6464.50	6796.27	USD	-16,588.50	
03OCT17	S	1	PD03JAN18	6450.00	6796.27	USD	-8,656.75	
03OCT17	S	1	PD03JAN18	6447.50	6796.27	USD	-8,719.25	
03OCT17	S	3	PD03JAN18	6445.00	6796.27	USD	-26,345.25	
03OCT17	S	1	PD03JAN18	6452.00	6796.27	USD	-8,606.75	
03OCT17	S	1	PD03JAN18	6457.50	6796.27	USD	-8,469.25	
03OCT17	S	1	PD03JAN18	6468.50	6796.27	USD	-8,194.25	
03OCT17	B	28	PD03JAN18	6520.20	6796.27	USD	193,249.00	
	NET	0AVG BGHT 6520.20	SOLD 6459.46				-42,515.00	0.00
04OCT17	S	1	PD04JAN18	6524.00	6796.73	USD	-6,818.25	
04OCT17	B	1	PD04JAN18	6518.00	6796.73	USD	6,968.25	
04OCT17	S	1	PD04JAN18	6506.50	6796.73	USD	-7,255.75	
04OCT17	S	1	PD04JAN18	6513.00	6796.73	USD	-7,093.25	
04OCT17	S	2	PD04JAN18	6512.00	6796.73	USD	-14,236.50	
04OCT17	S	1	PD04JAN18	6522.00	6796.73	USD	-6,868.25	
04OCT17	S	1	PD04JAN18	6529.50	6796.73	USD	-6,680.75	
04OCT17	S	1	PD04JAN18	6532.50	6796.73	USD	-6,605.75	
04OCT17	S	1	PD04JAN18	6511.50	6796.73	USD	-7,130.75	
04OCT17	B	7	PD04JAN18	6521.20	6796.73	USD	48,217.75	
04OCT17	S	3	PD04JAN18	6510.50	6796.73	USD	-21,467.25	
05OCT17	B	4	PD04JAN18	6684.32	6796.73	USD	11,241.00	
	NET	0AVG BGHT 6575.31	SOLD 6516.21				-17,729.50	0.00
05OCT17	B	1	PD05JAN18	6565.50	6797.20	USD	5,792.50	
05OCT17	B	1	PD05JAN18	6563.50	6797.20	USD	5,842.50	
05OCT17	B	1	PD05JAN18	6562.00	6797.20	USD	5,880.00	
05OCT17	B	2	PD05JAN18	6559.00	6797.20	USD	11,910.00	
05OCT17	B	1	PD05JAN18	6557.50	6797.20	USD	5,992.50	
05OCT17	B	1	PD05JAN18	6555.50	6797.20	USD	6,042.50	
05OCT17	B	2	PD05JAN18	6554.50	6797.20	USD	12,135.00	
05OCT17	B	1	PD05JAN18	6558.50	6797.20	USD	5,967.50	
05OCT17	B	1	PD05JAN18	6560.00	6797.20	USD	5,930.00	
05OCT17	B	2	PD05JAN18	6630.50	6797.20	USD	8,335.00	
05OCT17	B	1	PD05JAN18	6631.50	6797.20	USD	4,142.50	
05OCT17	B	1	PD05JAN18	6638.50	6797.20	USD	3,967.50	
05OCT17	B	1	PD05JAN18	6645.00	6797.20	USD	3,805.00	
05OCT17	B	1	PD05JAN18	6659.00	6797.20	USD	3,455.00	
05OCT17	B	1	PD05JAN18	6660.50	6797.20	USD	3,417.50	
05OCT17	B	1	PD05JAN18	6667.00	6797.20	USD	3,255.00	
05OCT17	B	1	PD05JAN18	6661.50	6797.20	USD	3,392.50	
05OCT17	B	2	PD05JAN18	6666.00	6797.20	USD	6,560.00	
05OCT17	B	1	PD05JAN18	6673.50	6797.20	USD	3,092.50	
05OCT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	
05OCT17	B	1	PD05JAN18	6684.50	6797.20	USD	2,817.50	
05OCT17	B	3	PD05JAN18	6682.50	6797.20	USD	8,602.50	
05OCT17	B	3	PD05JAN18	6681.50	6797.20	USD	8,677.50	
05OCT17	B	1	PD05JAN18	6679.00	6797.20	USD	2,955.00	
05OCT17	B	2	PD05JAN18	6677.00	6797.20	USD	6,010.00	
05OCT17	B	1	PD05JAN18	6679.50	6797.20	USD	2,942.50	
05OCT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	

OPEN POSITION STATEMENT



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SAP0089D

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	6666.00	6797.20	USD	3,280.00	
05OCT17	B	1	PD05JAN18	6680.50	6797.20	USD	2,917.50	
05OCT17	B	3	PD05JAN18	6690.00	6797.20	USD	8,040.00	
05OCT17	B	1	PD05JAN18	6686.00	6797.20	USD	2,780.00	
05OCT17	B	2	PD05JAN18	6675.00	6797.20	USD	6,110.00	
06OCT17	S	2	PD05JAN18	6694.50	6797.20	USD	-5,135.00	
06OCT17	S	1	PD05JAN18	6699.50	6797.20	USD	-2,442.50	
06OCT17	S	1	PD05JAN18	6700.00	6797.20	USD	-2,430.00	
06OCT17	S	1	PD05JAN18	6711.00	6797.20	USD	-2,155.00	
06OCT17	S	1	PD05JAN18	6707.00	6797.20	USD	-2,255.00	
06OCT17	S	1	PD05JAN18	6706.50	6797.20	USD	-2,267.50	
06OCT17	B	1	PD05JAN18	6701.00	6797.20	USD	2,405.00	
06OCT17	B	1	PD05JAN18	6689.50	6797.20	USD	2,692.50	
06OCT17	S	1	PD05JAN18	6657.00	6797.20	USD	-3,505.00	
06OCT17	S	1	PD05JAN18	6661.00	6797.20	USD	-3,405.00	
06OCT17	S	1	PD05JAN18	6659.50	6797.20	USD	-3,442.50	
06OCT17	S	1	PD05JAN18	6657.50	6797.20	USD	-3,492.50	
06OCT17	S	2	PD05JAN18	6658.50	6797.20	USD	-6,935.00	
06OCT17	S	2	PD05JAN18	6658.00	6797.20	USD	-6,960.00	
06OCT17	S	3	PD05JAN18	6663.50	6797.20	USD	-10,027.50	
06OCT17	S	2	PD05JAN18	6664.50	6797.20	USD	-6,635.00	
06OCT17	S	6	PD05JAN18	6659.00	6797.20	USD	-20,730.00	
06OCT17	S	3	PD05JAN18	6660.00	6797.20	USD	-10,290.00	
06OCT17	S	3	PD05JAN18	6656.50	6797.20	USD	-10,552.50	
	NET	+14 AVG BGHT 6645.17	SOLD 6668.88				72,170.00	0.00
09OCT17	S	1	PD09JAN18	6672.00	6799.07	USD	-3,176.75	
09OCT17	S	1	PD09JAN18	6676.50	6799.07	USD	-3,064.25	
09OCT17	S	3	PD09JAN18	6681.00	6799.07	USD	-8,855.25	
09OCT17	S	1	PD09JAN18	6658.00	6799.07	USD	-3,526.75	
09OCT17	S	2	PD09JAN18	6679.00	6799.07	USD	-6,003.50	
09OCT17	S	2	PD09JAN18	6665.00	6799.07	USD	-6,703.50	
09OCT17	S	3	PD09JAN18	6674.50	6799.07	USD	-9,342.75	
09OCT17	S	1	PD09JAN18	6650.50	6799.07	USD	-3,714.25	
09OCT17	S	3	PD09JAN18	6664.00	6799.07	USD	-10,130.25	
09OCT17	S	1	PD09JAN18	6673.50	6799.07	USD	-3,139.25	
09OCT17	S	2	PD09JAN18	6681.50	6799.07	USD	-5,878.50	
09OCT17	S	3	PD09JAN18	6668.50	6799.07	USD	-9,792.75	
09OCT17	S	1	PD09JAN18	6674.00	6799.07	USD	-3,126.75	
09OCT17	S	1	PD09JAN18	6670.50	6799.07	USD	-3,214.25	
09OCT17	S	2	PD09JAN18	6682.50	6799.07	USD	-5,828.50	
09OCT17	S	1	PD09JAN18	6650.00	6799.07	USD	-3,726.75	
	NET	-28 AVG SOLD 6671.61					-89,224.00	0.00
10OCT17	B	1	PD10JAN18	6739.50	6799.53	USD	1,500.75	
10OCT17	B	3	PD10JAN18	6743.00	6799.53	USD	4,239.75	
10OCT17	B	7	PD10JAN18	6742.50	6799.53	USD	9,980.25	
10OCT17	B	2	PD10JAN18	6741.00	6799.53	USD	2,926.50	
10OCT17	B	6	PD10JAN18	6739.00	6799.53	USD	9,079.50	
10OCT17	B	4	PD10JAN18	6741.50	6799.53	USD	5,803.00	
10OCT17	B	5	PD10JAN18	6740.50	6799.53	USD	7,378.75	
10OCT17	B	2	PD10JAN18	6738.00	6799.53	USD	3,076.50	
10OCT17	B	7	PD10JAN18	6740.00	6799.53	USD	10,417.75	
10OCT17	B	1	PD10JAN18	6737.50	6799.53	USD	1,550.75	
10OCT17	B	3	PD10JAN18	6737.00	6799.53	USD	4,689.75	
10OCT17	B	2	PD10JAN18	6742.00	6799.53	USD	2,876.50	
10OCT17	B	2	PD10JAN18	6743.00	6799.53	USD	2,826.50	
10OCT17	B	1	PD10JAN18	6748.00	6799.53	USD	1,288.25	
10OCT17	B	1	PD10JAN18	6747.50	6799.53	USD	1,300.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
100CT17	B 1 PD10JAN18	6752.50	6799.53	USD	1,175.75	
100CT17	B 1 PD10JAN18	6753.50	6799.53	USD	1,150.75	
100CT17	B 3 PD10JAN18	6752.00	6799.53	USD	3,564.75	
100CT17	B 1 PD10JAN18	6754.00	6799.53	USD	1,138.25	
	NET +53 AVG BGHT 6742.20				75,964.75	0.00
110CT17	B 1 PD11JAN18	6809.50	6800.00	USD	-237.50	
110CT17	B 1 PD11JAN18	6793.00	6800.00	USD	175.00	
	NET +2 AVG BGHT 6801.25				-62.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
050CT17	S 2 DEC17	298.000	309.550	USD	-5,775.00	
050CT17	S 2 DEC17	297.950	309.550	USD	-5,800.00	
050CT17	S 2 DEC17	298.100	309.550	USD	-5,725.00	
050CT17	S 2 DEC17	298.150	309.550	USD	-5,700.00	
050CT17	S 2 DEC17	301.300	309.550	USD	-4,125.00	
050CT17	S 1 DEC17	301.250	309.550	USD	-2,075.00	
050CT17	S 4 DEC17	301.400	309.550	USD	-8,150.00	
050CT17	S 1 DEC17	301.750	309.550	USD	-1,950.00	
050CT17	S 1 DEC17	301.800	309.550	USD	-1,937.50	
050CT17	S 2 DEC17	302.050	309.550	USD	-3,750.00	
050CT17	S 2 DEC17	302.650	309.550	USD	-3,450.00	
050CT17	S 1 DEC17	302.700	309.550	USD	-1,712.50	
050CT17	S 2 DEC17	302.750	309.550	USD	-3,400.00	
050CT17	S 2 DEC17	302.800	309.550	USD	-3,375.00	
050CT17	S 4 DEC17	303.050	309.550	USD	-6,500.00	
050CT17	S 5 DEC17	303.000	309.550	USD	-8,187.50	
050CT17	S 2 DEC17	303.350	309.550	USD	-3,100.00	
050CT17	S 4 DEC17	303.900	309.550	USD	-5,650.00	
050CT17	S 5 DEC17	303.850	309.550	USD	-7,125.00	
050CT17	S 2 DEC17	303.950	309.550	USD	-2,800.00	
050CT17	S 6 DEC17	303.800	309.550	USD	-8,625.00	
050CT17	S 5 DEC17	303.750	309.550	USD	-7,250.00	
050CT17	S 2 DEC17	303.650	309.550	USD	-2,950.00	
050CT17	S 2 DEC17	303.600	309.550	USD	-2,975.00	
050CT17	S 4 DEC17	303.550	309.550	USD	-6,000.00	
050CT17	S 3 DEC17	303.500	309.550	USD	-4,537.50	
050CT17	S 4 DEC17	303.700	309.550	USD	-5,850.00	
050CT17	S 6 DEC17	304.150	309.550	USD	-8,100.00	
050CT17	S 1 DEC17	304.250	309.550	USD	-1,325.00	
100CT17	S 3 DEC17	306.000	309.550	USD	-2,662.50	
100CT17	S 19 DEC17	306.250	309.550	USD	-15,675.00	
100CT17	S 12 DEC17	306.200	309.550	USD	-10,050.00	
100CT17	S 12 DEC17	306.050	309.550	USD	-10,500.00	
100CT17	S 29 DEC17	306.150	309.550	USD	-24,650.00	
100CT17	S 16 DEC17	306.100	309.550	USD	-13,800.00	
100CT17	S 6 DEC17	305.950	309.550	USD	-5,400.00	
100CT17	S 2 DEC17	305.900	309.550	USD	-1,825.00	
100CT17	S 5 DEC17	306.450	309.550	USD	-3,875.00	
100CT17	S 4 DEC17	306.650	309.550	USD	-2,900.00	
100CT17	S 7 DEC17	306.700	309.550	USD	-4,987.50	
100CT17	S 2 DEC17	306.800	309.550	USD	-1,375.00	
110CT17	S 2 DEC17	309.350	309.550	USD	-100.00	
110CT17	S 2 DEC17	308.650	309.550	USD	-450.00	
	NET -202 AVG SOLD 304.874				-236,150.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-15,110,837.00
CMX Copper	FUTURES	15,396,125.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-236,150.00	-236,150.00	-236,150.00	-236,150.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	520,056.25	520,056.25	520,056.25	520,056.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-292,278.45	-292,278.45
- Options	0.00	0.00
TOTAL EQUITY	-528,428.45	-528,428.45
Initial Margins	-1,270,185.75	-1,270,185.75
Excess/(Shortage)	-1,798,614.20	-1,798,614.20
FOR INFORMATION ONLY		
Forward Unrealised P&L	520,056.25	520,056.25
Net Liquidating Value	-8,372.20	-8,372.20

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	5882.00	6756.00	USD	-21,850.00	
14JUL17	S	5	PD130CT17	5883.00	6756.00	USD	-109,125.00	
14JUL17	S	5	PD130CT17	5881.50	6756.00	USD	-109,312.50	
14JUL17	S	3	PD130CT17	5880.50	6756.00	USD	-65,662.50	
14JUL17	S	3	PD130CT17	5880.00	6756.00	USD	-65,700.00	
14JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
14JUL17	S	3	PD130CT17	5885.00	6756.00	USD	-65,325.00	
14JUL17	S	1	PD130CT17	5886.00	6756.00	USD	-21,750.00	
14JUL17	S	2	PD130CT17	5878.00	6756.00	USD	-43,900.00	
14JUL17	S	2	PD130CT17	5869.00	6756.00	USD	-44,350.00	
14JUL17	S	4	PD130CT17	5870.00	6756.00	USD	-88,600.00	
14JUL17	S	1	PD130CT17	5871.50	6756.00	USD	-22,112.50	
14JUL17	S	1	PD130CT17	5872.50	6756.00	USD	-22,087.50	
14JUL17	S	2	PD130CT17	5874.50	6756.00	USD	-44,075.00	
14JUL17	S	3	PD130CT17	5876.50	6756.00	USD	-65,962.50	
14JUL17	S	5	PD130CT17	5876.00	6756.00	USD	-110,000.00	
14JUL17	S	5	PD130CT17	5877.00	6756.00	USD	-109,875.00	
14JUL17	S	1	PD130CT17	5881.50	6756.00	USD	-21,862.50	
14JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
14JUL17	S	1	PD130CT17	5885.00	6756.00	USD	-21,775.00	
14JUL17	B	2	PD130CT17	5917.00	6756.00	USD	41,950.00	
14JUL17	B	1	PD130CT17	5917.50	6756.00	USD	20,962.50	
14JUL17	B	3	PD130CT17	5916.50	6756.00	USD	62,962.50	
14JUL17	B	9	PD130CT17	5916.00	6756.00	USD	189,000.00	
14JUL17	B	3	PD130CT17	5908.00	6756.00	USD	63,600.00	
14JUL17	B	2	PD130CT17	5927.50	6756.00	USD	41,425.00	
14JUL17	B	2	PD130CT17	5929.00	6756.00	USD	41,350.00	
14JUL17	B	1	PD130CT17	5926.00	6756.00	USD	20,750.00	
14JUL17	B	6	PD130CT17	5928.00	6756.00	USD	124,200.00	
14JUL17	B	5	PD130CT17	5931.50	6756.00	USD	103,062.50	
14JUL17	B	1	PD130CT17	5922.50	6756.00	USD	20,837.50	
14JUL17	B	1	PD130CT17	5929.00	6756.00	USD	20,675.00	
14AUG17	B	14	PD130CT17	6387.62	6756.00	USD	128,933.00	
	NET	0 AVG BGHT 6052.23	SOLD 5878.34				-217,367.00	0.00
17JUL17	B	3	PD170CT17	6007.50	6759.20	USD	56,377.50	
17JUL17	B	1	PD170CT17	6008.50	6759.20	USD	18,767.50	
29SEP17	S	4	PD170CT17	6447.25	6759.20	USD	-31,195.00	
	NET	0 AVG BGHT 6007.75	SOLD 6447.25				43,950.00	0.00
18JUL17	B	1	PD180CT17	6001.00	6760.00	USD	18,975.00	
18JUL17	B	2	PD180CT17	6004.00	6760.00	USD	37,800.00	
18JUL17	B	3	PD180CT17	6006.50	6760.00	USD	56,512.50	
18JUL17	B	4	PD180CT17	6007.00	6760.00	USD	75,300.00	
29SEP17	S	10	PD180CT17	6447.25	6760.00	USD	-78,187.50	
	NET	0 AVG BGHT 6005.65	SOLD 6447.25				110,400.00	0.00
24JUL17	S	5	PD240CT17	6001.50	6765.25	USD	-95,468.75	
24JUL17	S	2	PD240CT17	5999.50	6765.25	USD	-38,287.50	
24JUL17	S	3	PD240CT17	5998.50	6765.25	USD	-57,506.25	
14AUG17	B	10	PD240CT17	6389.62	6765.25	USD	93,907.50	
	NET	0 AVG BGHT 6389.62	SOLD 6000.20				-97,355.00	0.00
25JUL17	B	10	PD250CT17	6173.00	6765.68	USD	148,170.00	
29SEP17	S	10	PD250CT17	6452.86	6765.68	USD	-78,205.00	
	NET	0 AVG BGHT 6173.00	SOLD 6452.86				69,965.00	0.00
28JUL17	S	1	PD270CT17	6323.50	6766.54	USD	-11,076.00	
28JUL17	S	1	PD270CT17	6327.50	6766.54	USD	-10,976.00	
28JUL17	S	1	PD270CT17	6326.50	6766.54	USD	-11,001.00	
28JUL17	S	2	PD270CT17	6325.50	6766.54	USD	-22,052.00	

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28JUL17	S	4	PD27OCT17	6329.00	6766.54	USD	-43,754.00	
28JUL17	S	1	PD27OCT17	6328.00	6766.54	USD	-10,963.50	
28JUL17	S	1	PD27OCT17	6331.50	6766.54	USD	-10,876.00	
28JUL17	S	2	PD27OCT17	6332.50	6766.54	USD	-21,702.00	
28JUL17	S	1	PD27OCT17	6333.50	6766.54	USD	-10,826.00	
28JUL17	S	3	PD27OCT17	6336.00	6766.54	USD	-32,290.50	
28JUL17	S	2	PD27OCT17	6337.00	6766.54	USD	-21,477.00	
28JUL17	S	1	PD27OCT17	6329.00	6766.54	USD	-10,938.50	
14AUG17	B	20	PD27OCT17	6390.81	6766.54	USD	187,865.00	
	NET	0 AVG BGHT 6390.81	SOLD 6330.68				-30,067.50	0.00
01AUG17	B	4	PD01NOV17	6382.00	6769.18	USD	38,718.00	
01AUG17	B	1	PD01NOV17	6381.50	6769.18	USD	9,692.00	
05OCT17	S	5	PD01NOV17	6676.56	6769.18	USD	-11,577.50	
	NET	0 AVG BGHT 6381.90	SOLD 6676.56				36,832.50	0.00
02AUG17	S	1	PD02NOV17	6329.50	6770.10	USD	-11,015.00	
02AUG17	S	2	PD02NOV17	6330.50	6770.10	USD	-21,980.00	
02AUG17	S	2	PD02NOV17	6334.00	6770.10	USD	-21,805.00	
02AUG17	S	1	PD02NOV17	6332.50	6770.10	USD	-10,940.00	
02AUG17	S	4	PD02NOV17	6332.00	6770.10	USD	-43,810.00	
02AUG17	B	10	PD02NOV17	6333.50	6770.10	USD	109,150.00	
02AUG17	B	1	PD02NOV17	6334.00	6770.10	USD	10,902.50	
02AUG17	B	2	PD02NOV17	6335.00	6770.10	USD	21,755.00	
02AUG17	B	1	PD02NOV17	6334.50	6770.10	USD	10,890.00	
02AUG17	B	8	PD02NOV17	6335.50	6770.10	USD	86,920.00	
02AUG17	B	3	PD02NOV17	6336.50	6770.10	USD	32,520.00	
05OCT17	S	15	PD02NOV17	6677.38	6770.10	USD	-34,770.00	
	NET	0 AVG BGHT 6334.68	SOLD 6539.19				127,817.50	0.00
04AUG17	S	1	PD03NOV17	6365.00	6771.03	USD	-10,150.75	
04AUG17	S	1	PD03NOV17	6361.50	6771.03	USD	-10,238.25	
04AUG17	S	1	PD03NOV17	6360.00	6771.03	USD	-10,275.75	
04AUG17	S	1	PD03NOV17	6359.00	6771.03	USD	-10,300.75	
04AUG17	S	3	PD03NOV17	6362.00	6771.03	USD	-30,677.25	
04AUG17	S	4	PD03NOV17	6361.00	6771.03	USD	-41,003.00	
04AUG17	S	2	PD03NOV17	6362.50	6771.03	USD	-20,426.50	
04AUG17	S	2	PD03NOV17	6363.50	6771.03	USD	-20,376.50	
04AUG17	S	10	PD03NOV17	6364.50	6771.03	USD	-101,632.50	
14AUG17	B	25	PD03NOV17	6393.29	6771.03	USD	236,087.50	
	NET	0 AVG BGHT 6393.29	SOLD 6362.90				-18,993.75	0.00
07AUG17	B	1	PD07NOV17	6371.00	6774.73	USD	10,093.25	
07AUG17	B	2	PD07NOV17	6368.50	6774.73	USD	20,311.50	
07AUG17	B	3	PD07NOV17	6367.50	6774.73	USD	30,542.25	
07AUG17	B	4	PD07NOV17	6365.50	6774.73	USD	40,923.00	
07AUG17	B	2	PD07NOV17	6364.00	6774.73	USD	20,536.50	
07AUG17	B	3	PD07NOV17	6363.00	6774.73	USD	30,879.75	
07AUG17	B	2	PD07NOV17	6427.00	6774.73	USD	17,386.50	
07AUG17	B	2	PD07NOV17	6425.00	6774.73	USD	17,486.50	
07AUG17	B	6	PD07NOV17	6426.00	6774.73	USD	52,309.50	
05OCT17	S	25	PD07NOV17	6681.44	6774.73	USD	-58,306.25	
	NET	0 AVG BGHT 6389.98	SOLD 6681.44				182,162.50	0.00
14AUG17	B	37	PD14NOV17	6397.20	6776.83	USD	351,157.75	
05OCT17	S	37	PD14NOV17	6682.68	6776.83	USD	-87,088.75	
	NET	0 AVG BGHT 6397.20	SOLD 6682.68				264,069.00	0.00
25AUG17	B	4	PD24NOV17	6666.20	6780.52	USD	11,432.00	
05OCT17	S	4	PD24NOV17	6686.78	6780.52	USD	-9,374.00	
	NET	0 AVG BGHT 6666.20	SOLD 6686.78				2,058.00	0.00

OPEN POSITION STATEMENT



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SAP0089E

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	6821.50	6783.18	USD	958.00	
29AUG17	S	2	PD29NOV17	6802.50	6783.18	USD	966.00	
29AUG17	S	4	PD29NOV17	6800.00	6783.18	USD	1,682.00	
29AUG17	S	3	PD29NOV17	6803.50	6783.18	USD	1,524.00	
29AUG17	S	1	PD29NOV17	6804.50	6783.18	USD	533.00	
29AUG17	S	1	PD29NOV17	6797.00	6783.18	USD	345.50	
29AUG17	S	2	PD29NOV17	6798.00	6783.18	USD	741.00	
29AUG17	S	1	PD29NOV17	6794.00	6783.18	USD	270.50	
29AUG17	S	1	PD29NOV17	6792.50	6783.18	USD	233.00	
29AUG17	S	3	PD29NOV17	6793.50	6783.18	USD	774.00	
29AUG17	S	2	PD29NOV17	6799.50	6783.18	USD	816.00	
29AUG17	S	29	PD29NOV17	6799.00	6783.18	USD	11,469.50	
29AUG17	S	3	PD29NOV17	6800.50	6783.18	USD	1,299.00	
29AUG17	S	2	PD29NOV17	6801.50	6783.18	USD	916.00	
20SEP17	B	20	PD29NOV17	6518.83	6783.18	USD	132,175.00	
25SEP17	B	20	PD29NOV17	6439.02	6783.18	USD	172,080.00	
29SEP17	B	15	PD29NOV17	6470.53	6783.18	USD	117,243.75	
	NET	0 AVG BGHT 6476.64	SOLD 6799.56				444,026.25	0.00
30AUG17	S	2	PD30NOV17	6778.00	6783.72	USD	-286.00	
30AUG17	S	6	PD30NOV17	6777.00	6783.72	USD	-1,008.00	
30AUG17	S	3	PD30NOV17	6775.50	6783.72	USD	-616.50	
30AUG17	S	1	PD30NOV17	6775.00	6783.72	USD	-218.00	
30AUG17	S	2	PD30NOV17	6774.50	6783.72	USD	-461.00	
30AUG17	S	2	PD30NOV17	6779.00	6783.72	USD	-236.00	
30AUG17	S	4	PD30NOV17	6781.00	6783.72	USD	-272.00	
30AUG17	S	1	PD30NOV17	6782.50	6783.72	USD	-30.50	
30AUG17	S	1	PD30NOV17	6779.50	6783.72	USD	-105.50	
30AUG17	S	3	PD30NOV17	6777.50	6783.72	USD	-466.50	
30AUG17	B	7	PD30NOV17	6785.00	6783.72	USD	-224.00	
30AUG17	B	7	PD30NOV17	6786.00	6783.72	USD	-399.00	
30AUG17	B	6	PD30NOV17	6789.50	6783.72	USD	-867.00	
30AUG17	B	4	PD30NOV17	6787.00	6783.72	USD	-328.00	
30AUG17	B	4	PD30NOV17	6791.00	6783.72	USD	-728.00	
30AUG17	B	1	PD30NOV17	6790.00	6783.72	USD	-157.00	
30AUG17	B	2	PD30NOV17	6787.50	6783.72	USD	-189.00	
30AUG17	B	3	PD30NOV17	6786.50	6783.72	USD	-208.50	
30AUG17	B	5	PD30NOV17	6788.00	6783.72	USD	-535.00	
30AUG17	B	1	PD30NOV17	6789.00	6783.72	USD	-132.00	
30AUG17	B	2	PD30NOV17	6792.50	6783.72	USD	-439.00	
30AUG17	B	19	PD30NOV17	6791.50	6783.72	USD	-3,695.50	
30AUG17	B	14	PD30NOV17	6790.50	6783.72	USD	-2,373.00	
30AUG17	B	1	PD30NOV17	6788.00	6783.72	USD	-107.00	
30AUG17	B	1	PD30NOV17	6789.00	6783.72	USD	-132.00	
30AUG17	B	1	PD30NOV17	6790.50	6783.72	USD	-169.50	
30AUG17	B	2	PD30NOV17	6791.50	6783.72	USD	-389.00	
	NET	+55 AVG BGHT 6789.26	SOLD 6777.80				-14,772.50	0.00
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	S	4	PD07DEC17	6866.00	6787.23	USD	7,877.00	
07SEP17	S	2	PD07DEC17	6867.00	6787.23	USD	3,988.50	
07SEP17	S	7	PD07DEC17	6868.00	6787.23	USD	14,134.75	
07SEP17	S	1	PD07DEC17	6868.00	6787.23	USD	2,019.25	
07SEP17	S	3	PD07DEC17	6880.00	6787.23	USD	6,957.75	
07SEP17	S	7	PD07DEC17	6881.00	6787.23	USD	16,409.75	
29SEP17	B	9	PD07DEC17	6473.38	6787.23	USD	70,616.25	
05OCT17	B	16	PD07DEC17	6693.74	6787.23	USD	37,396.00	
	NET	0 AVG BGHT 6614.41	SOLD 6872.56				161,343.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6833.50	6787.71	USD	1,144.75	
08SEP17	S	1	PD08DEC17	6832.50	6787.71	USD	1,119.75	
08SEP17	S	4	PD08DEC17	6834.50	6787.71	USD	4,679.00	
08SEP17	S	7	PD08DEC17	6835.50	6787.71	USD	8,363.25	
08SEP17	S	1	PD08DEC17	6832.00	6787.71	USD	1,107.25	
08SEP17	S	3	PD08DEC17	6835.00	6787.71	USD	3,546.75	
08SEP17	S	2	PD08DEC17	6836.50	6787.71	USD	2,439.50	
08SEP17	S	1	PD08DEC17	6839.00	6787.71	USD	1,282.25	
05OCT17	B	20	PD08DEC17	6694.20	6787.71	USD	46,755.00	
	NET	0 AVG BGHT 6694.20	SOLD 6835.08				70,437.50	0.00
18SEP17	S	1	PD18DEC17	6553.00	6792.50	USD	-5,987.50	
18SEP17	S	1	PD18DEC17	6550.00	6792.50	USD	-6,062.50	
18SEP17	S	3	PD18DEC17	6554.00	6792.50	USD	-17,887.50	
18SEP17	S	3	PD18DEC17	6556.50	6792.50	USD	-17,700.00	
18SEP17	S	1	PD18DEC17	6553.50	6792.50	USD	-5,975.00	
18SEP17	S	3	PD18DEC17	6552.00	6792.50	USD	-18,037.50	
18SEP17	S	1	PD18DEC17	6551.50	6792.50	USD	-6,025.00	
18SEP17	S	2	PD18DEC17	6548.50	6792.50	USD	-12,200.00	
18SEP17	S	1	PD18DEC17	6548.00	6792.50	USD	-6,112.50	
18SEP17	S	7	PD18DEC17	6546.50	6792.50	USD	-43,050.00	
18SEP17	S	1	PD18DEC17	6546.00	6792.50	USD	-6,162.50	
18SEP17	S	1	PD18DEC17	6538.00	6792.50	USD	-6,362.50	
18SEP17	S	10	PD18DEC17	6539.00	6792.50	USD	-63,375.00	
18SEP17	S	4	PD18DEC17	6536.00	6792.50	USD	-25,650.00	
18SEP17	S	1	PD18DEC17	6537.50	6792.50	USD	-6,375.00	
18SEP17	S	1	PD18DEC17	6540.50	6792.50	USD	-6,300.00	
18SEP17	S	6	PD18DEC17	6541.50	6792.50	USD	-37,650.00	
18SEP17	S	1	PD18DEC17	6543.50	6792.50	USD	-6,225.00	
18SEP17	S	2	PD18DEC17	6545.50	6792.50	USD	-12,350.00	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	2	PD18DEC17	6538.50	6792.50	USD	-12,700.00	
18SEP17	S	2	PD18DEC17	6543.00	6792.50	USD	-12,475.00	
18SEP17	S	2	PD18DEC17	6539.50	6792.50	USD	-12,650.00	
18SEP17	S	2	PD18DEC17	6537.00	6792.50	USD	-12,775.00	
18SEP17	S	1	PD18DEC17	6541.00	6792.50	USD	-6,287.50	
18SEP17	S	4	PD18DEC17	6542.50	6792.50	USD	-25,000.00	
18SEP17	S	3	PD18DEC17	6544.50	6792.50	USD	-18,600.00	
18SEP17	S	2	PD18DEC17	6555.50	6792.50	USD	-11,850.00	
18SEP17	S	1	PD18DEC17	6557.50	6792.50	USD	-5,875.00	
18SEP17	S	4	PD18DEC17	6566.50	6792.50	USD	-22,600.00	
18SEP17	S	1	PD18DEC17	6562.00	6792.50	USD	-5,762.50	
18SEP17	S	2	PD18DEC17	6563.00	6792.50	USD	-11,475.00	
18SEP17	S	3	PD18DEC17	6564.50	6792.50	USD	-17,100.00	
18SEP17	S	4	PD18DEC17	6565.50	6792.50	USD	-22,700.00	
18SEP17	S	1	PD18DEC17	6565.00	6792.50	USD	-5,687.50	
18SEP17	S	1	PD18DEC17	6566.00	6792.50	USD	-5,662.50	
18SEP17	S	3	PD18DEC17	6563.50	6792.50	USD	-17,175.00	
18SEP17	S	2	PD18DEC17	6567.00	6792.50	USD	-11,275.00	
18SEP17	S	1	PD18DEC17	6569.50	6792.50	USD	-5,575.00	
18SEP17	S	1	PD18DEC17	6575.00	6792.50	USD	-5,437.50	
18SEP17	S	3	PD18DEC17	6579.00	6792.50	USD	-16,012.50	
18SEP17	S	1	PD18DEC17	6570.50	6792.50	USD	-5,550.00	
18SEP17	S	2	PD18DEC17	6572.50	6792.50	USD	-11,000.00	
18SEP17	S	1	PD18DEC17	6573.50	6792.50	USD	-5,475.00	
18SEP17	S	2	PD18DEC17	6571.50	6792.50	USD	-11,050.00	
18SEP17	S	2	PD18DEC17	6568.00	6792.50	USD	-11,225.00	
18SEP17	S	1	PD18DEC17	6538.00	6792.50	USD	-6,362.50	

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05OCT17	B 50 PD18DEC17	6699.70	6792.50	USD	116,000.00	
	NET -55 AVG BGHT 6699.70 SOLD 6552.11				-515,012.50	0.00
19SEP17	B 1 PD19DEC17	6518.50	6792.50	USD	6,850.00	
19SEP17	B 4 PD19DEC17	6519.50	6792.50	USD	27,300.00	
19SEP17	B 9 PD19DEC17	6520.50	6792.50	USD	61,200.00	
19SEP17	B 6 PD19DEC17	6521.50	6792.50	USD	40,650.00	
19SEP17	B 8 PD19DEC17	6523.00	6792.50	USD	53,900.00	
19SEP17	B 11 PD19DEC17	6524.00	6792.50	USD	73,837.50	
19SEP17	B 4 PD19DEC17	6525.00	6792.50	USD	26,750.00	
19SEP17	B 1 PD19DEC17	6526.00	6792.50	USD	6,662.50	
19SEP17	B 1 PD19DEC17	6527.00	6792.50	USD	6,637.50	
	NET +45 AVG BGHT 6522.47				303,787.50	0.00
21SEP17	S 2 PD21DEC17	6452.00	6791.50	USD	-16,975.00	
21SEP17	S 2 PD21DEC17	6454.50	6791.50	USD	-16,850.00	
21SEP17	S 4 PD21DEC17	6456.50	6791.50	USD	-33,500.00	
21SEP17	S 1 PD21DEC17	6457.50	6791.50	USD	-8,350.00	
21SEP17	S 1 PD21DEC17	6459.00	6791.50	USD	-8,312.50	
21SEP17	S 1 PD21DEC17	6462.00	6791.50	USD	-8,237.50	
21SEP17	S 1 PD21DEC17	6465.50	6791.50	USD	-8,150.00	
21SEP17	S 1 PD21DEC17	6466.50	6791.50	USD	-8,125.00	
21SEP17	S 4 PD21DEC17	6467.50	6791.50	USD	-32,400.00	
21SEP17	S 2 PD21DEC17	6463.00	6791.50	USD	-16,425.00	
21SEP17	S 1 PD21DEC17	6470.00	6791.50	USD	-8,037.50	
21SEP17	B 1 PD21DEC17	6487.50	6791.50	USD	7,600.00	
21SEP17	B 3 PD21DEC17	6482.00	6791.50	USD	23,212.50	
21SEP17	B 1 PD21DEC17	6483.00	6791.50	USD	7,712.50	
21SEP17	B 14 PD21DEC17	6481.00	6791.50	USD	108,675.00	
21SEP17	B 2 PD21DEC17	6454.50	6791.50	USD	16,850.00	
21SEP17	B 5 PD21DEC17	6453.50	6791.50	USD	42,250.00	
21SEP17	B 1 PD21DEC17	6451.00	6791.50	USD	8,512.50	
21SEP17	B 1 PD21DEC17	6449.00	6791.50	USD	8,562.50	
21SEP17	B 8 PD21DEC17	6450.00	6791.50	USD	68,300.00	
21SEP17	B 1 PD21DEC17	6486.50	6791.50	USD	7,625.00	
21SEP17	B 1 PD21DEC17	6451.00	6791.50	USD	8,512.50	
21SEP17	B 1 PD21DEC17	6450.00	6791.50	USD	8,537.50	
21SEP17	B 1 PD21DEC17	6449.00	6791.50	USD	8,562.50	
	NET +20 AVG BGHT 6466.59 SOLD 6460.78				159,550.00	0.00
25SEP17	S 1 PD27DEC17	6462.50	6793.00	USD	-8,262.50	
25SEP17	S 1 PD27DEC17	6461.50	6793.00	USD	-8,287.50	
25SEP17	S 2 PD27DEC17	6463.50	6793.00	USD	-16,475.00	
25SEP17	S 1 PD27DEC17	6459.00	6793.00	USD	-8,350.00	
25SEP17	S 1 PD27DEC17	6458.00	6793.00	USD	-8,375.00	
25SEP17	S 1 PD27DEC17	6457.00	6793.00	USD	-8,400.00	
25SEP17	S 3 PD27DEC17	6454.50	6793.00	USD	-25,387.50	
25SEP17	S 1 PD27DEC17	6447.50	6793.00	USD	-8,637.50	
25SEP17	S 1 PD27DEC17	6442.00	6793.00	USD	-8,775.00	
25SEP17	S 2 PD27DEC17	6444.50	6793.00	USD	-17,425.00	
25SEP17	S 1 PD27DEC17	6441.00	6793.00	USD	-8,800.00	
25SEP17	S 1 PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S 1 PD27DEC17	6452.00	6793.00	USD	-8,525.00	
26SEP17	S 1 PD27DEC17	6511.50	6793.00	USD	-7,037.50	
26SEP17	S 2 PD27DEC17	6512.50	6793.00	USD	-14,025.00	
26SEP17	S 3 PD27DEC17	6505.00	6793.00	USD	-21,600.00	
26SEP17	S 1 PD27DEC17	6491.50	6793.00	USD	-7,537.50	
26SEP17	S 1 PD27DEC17	6461.50	6793.00	USD	-8,287.50	
26SEP17	S 2 PD27DEC17	6462.50	6793.00	USD	-16,525.00	

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26SEP17	S	1	PD27DEC17	6465.50	6793.00	USD	-8,187.50	
26SEP17	S	2	PD27DEC17	6461.00	6793.00	USD	-16,600.00	
26SEP17	S	1	PD27DEC17	6463.00	6793.00	USD	-8,250.00	
26SEP17	S	1	PD27DEC17	6464.50	6793.00	USD	-8,212.50	
26SEP17	S	8	PD27DEC17	6464.00	6793.00	USD	-65,800.00	
26SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
26SEP17	S	2	PD27DEC17	6451.00	6793.00	USD	-17,100.00	
26SEP17	S	2	PD27DEC17	6447.50	6793.00	USD	-17,275.00	
26SEP17	S	3	PD27DEC17	6435.00	6793.00	USD	-26,850.00	
26SEP17	S	7	PD27DEC17	6436.00	6793.00	USD	-62,475.00	
26SEP17	S	1	PD27DEC17	6439.50	6793.00	USD	-8,837.50	
26SEP17	S	2	PD27DEC17	6438.50	6793.00	USD	-17,725.00	
26SEP17	S	1	PD27DEC17	6446.00	6793.00	USD	-8,675.00	
26SEP17	S	1	PD27DEC17	6442.50	6793.00	USD	-8,762.50	
26SEP17	S	2	PD27DEC17	6441.50	6793.00	USD	-17,575.00	
26SEP17	S	3	PD27DEC17	6440.50	6793.00	USD	-26,437.50	
27SEP17	B	1	PD27DEC17	6453.00	6793.00	USD	8,500.00	
27SEP17	B	1	PD27DEC17	6452.00	6793.00	USD	8,525.00	
27SEP17	B	1	PD27DEC17	6443.00	6793.00	USD	8,750.00	
27SEP17	B	1	PD27DEC17	6444.00	6793.00	USD	8,725.00	
27SEP17	B	1	PD27DEC17	6445.00	6793.00	USD	8,700.00	
27SEP17	B	1	PD27DEC17	6445.50	6793.00	USD	8,687.50	
	NET	-59 AVG BGHT 6447.08	SOLD 6456.66				-494,662.50	0.00
28SEP17	B	10	PD28DEC17	6425.50	6793.47	USD	91,992.50	
28SEP17	B	8	PD28DEC17	6424.50	6793.47	USD	73,794.00	
28SEP17	B	2	PD28DEC17	6427.50	6793.47	USD	18,298.50	
28SEP17	S	2	PD28DEC17	6407.50	6793.47	USD	-19,298.50	
28SEP17	S	3	PD28DEC17	6408.50	6793.47	USD	-28,872.75	
28SEP17	S	1	PD28DEC17	6410.00	6793.47	USD	-9,586.75	
28SEP17	B	1	PD28DEC17	6470.50	6793.47	USD	8,074.25	
28SEP17	B	2	PD28DEC17	6468.50	6793.47	USD	16,248.50	
28SEP17	B	1	PD28DEC17	6464.00	6793.47	USD	8,236.75	
28SEP17	B	7	PD28DEC17	6469.50	6793.47	USD	56,694.75	
28SEP17	B	3	PD28DEC17	6472.00	6793.47	USD	24,110.25	
28SEP17	B	5	PD28DEC17	6471.00	6793.47	USD	40,308.75	
28SEP17	B	1	PD28DEC17	6465.50	6793.47	USD	8,199.25	
28SEP17	B	1	PD28DEC17	6514.50	6793.47	USD	6,974.25	
28SEP17	B	1	PD28DEC17	6512.50	6793.47	USD	7,024.25	
28SEP17	B	4	PD28DEC17	6513.50	6793.47	USD	27,997.00	
28SEP17	B	1	PD28DEC17	6517.00	6793.47	USD	6,911.75	
28SEP17	B	4	PD28DEC17	6516.00	6793.47	USD	27,747.00	
28SEP17	B	8	PD28DEC17	6525.00	6793.47	USD	53,694.00	
28SEP17	B	1	PD28DEC17	6519.00	6793.47	USD	6,861.75	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,686.75	
28SEP17	B	2	PD28DEC17	6523.50	6793.47	USD	13,498.50	
28SEP17	B	2	PD28DEC17	6522.50	6793.47	USD	13,548.50	
28SEP17	B	2	PD28DEC17	6524.00	6793.47	USD	13,473.50	
28SEP17	B	1	PD28DEC17	6523.00	6793.47	USD	6,761.75	
28SEP17	B	3	PD28DEC17	6521.50	6793.47	USD	20,397.75	
28SEP17	B	6	PD28DEC17	6520.50	6793.47	USD	40,945.50	
28SEP17	B	3	PD28DEC17	6520.00	6793.47	USD	20,510.25	
28SEP17	B	1	PD28DEC17	6518.50	6793.47	USD	6,874.25	
28SEP17	B	6	PD28DEC17	6522.00	6793.47	USD	40,720.50	
28SEP17	B	3	PD28DEC17	6521.00	6793.47	USD	20,435.25	
28SEP17	B	2	PD28DEC17	6519.50	6793.47	USD	13,698.50	
28SEP17	B	5	PD28DEC17	6560.00	6793.47	USD	29,183.75	
28SEP17	B	1	PD28DEC17	6563.00	6793.47	USD	5,761.75	

OPEN POSITION STATEMENT



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SAP0089E

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6561.00	6793.47	USD	5,811.75	
28SEP17	B	7	PD28DEC17	6565.50	6793.47	USD	39,894.75	
28SEP17	B	3	PD28DEC17	6564.50	6793.47	USD	17,172.75	
28SEP17	B	6	PD28DEC17	6564.00	6793.47	USD	34,420.50	
28SEP17	B	1	PD28DEC17	6566.50	6793.47	USD	5,674.25	
28SEP17	B	1	PD28DEC17	6568.50	6793.47	USD	5,624.25	
28SEP17	B	1	PD28DEC17	6572.00	6793.47	USD	5,536.75	
28SEP17	B	2	PD28DEC17	6573.00	6793.47	USD	11,023.50	
28SEP17	B	1	PD28DEC17	6574.00	6793.47	USD	5,486.75	
28SEP17	B	5	PD28DEC17	6573.50	6793.47	USD	27,496.25	
28SEP17	B	1	PD28DEC17	6520.50	6793.47	USD	6,824.25	
28SEP17	B	1	PD28DEC17	6520.00	6793.47	USD	6,836.75	
28SEP17	B	1	PD28DEC17	6521.50	6793.47	USD	6,799.25	
28SEP17	B	1	PD28DEC17	6525.50	6793.47	USD	6,699.25	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
28SEP17	B	3	PD28DEC17	6522.00	6793.47	USD	20,360.25	
28SEP17	B	1	PD28DEC17	6512.50	6793.47	USD	7,024.25	
28SEP17	B	2	PD28DEC17	6521.00	6793.47	USD	13,623.50	
28SEP17	B	1	PD28DEC17	6565.00	6793.47	USD	5,711.75	
28SEP17	B	1	PD28DEC17	6573.00	6793.47	USD	5,511.75	
28SEP17	B	1	PD28DEC17	6574.00	6793.47	USD	5,486.75	
	NET	+134 AVG BGHT	6511.93	SOLD	6408.42		927,637.00	0.00
29SEP17	S	2	PD29DEC17	6535.50	6793.93	USD	-12,921.50	
29SEP17	S	2	PD29DEC17	6495.00	6793.93	USD	-14,946.50	
	NET	-4 AVG	SOLD 6515.25				-27,868.00	0.00
03OCT17	S	2	PD03JAN18	6468.50	6796.27	USD	-16,388.50	
03OCT17	S	1	PD03JAN18	6470.00	6796.27	USD	-8,156.75	
03OCT17	S	2	PD03JAN18	6464.50	6796.27	USD	-16,588.50	
03OCT17	S	1	PD03JAN18	6465.00	6796.27	USD	-8,281.75	
	NET	-6 AVG	SOLD 6466.83				-49,415.50	0.00
04OCT17	B	1	PD04JAN18	6525.50	6796.73	USD	6,780.75	
04OCT17	B	5	PD04JAN18	6527.00	6796.73	USD	33,716.25	
04OCT17	S	2	PD04JAN18	6508.00	6796.73	USD	-14,436.50	
04OCT17	S	3	PD04JAN18	6509.00	6796.73	USD	-21,579.75	
04OCT17	B	4	PD04JAN18	6526.00	6796.73	USD	27,073.00	
04OCT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
04OCT17	S	4	PD04JAN18	6513.50	6796.73	USD	-28,323.00	
	NET	0 AVG	BGHT 6526.45	SOLD	6510.95		-3,875.00	0.00
05OCT17	S	1	PD05JAN18	6530.00	6797.20	USD	-6,680.00	
05OCT17	S	4	PD05JAN18	6532.00	6797.20	USD	-26,520.00	
05OCT17	B	2	PD05JAN18	6557.00	6797.20	USD	12,010.00	
05OCT17	B	6	PD05JAN18	6556.00	6797.20	USD	36,180.00	
05OCT17	B	1	PD05JAN18	6554.50	6797.20	USD	6,067.50	
05OCT17	B	6	PD05JAN18	6555.00	6797.20	USD	36,330.00	
05OCT17	B	5	PD05JAN18	6642.50	6797.20	USD	19,337.50	
05OCT17	B	3	PD05JAN18	6641.50	6797.20	USD	11,677.50	
05OCT17	B	1	PD05JAN18	6642.50	6797.20	USD	3,867.50	
05OCT17	B	1	PD05JAN18	6641.50	6797.20	USD	3,892.50	
	NET	+20 AVG	BGHT 6590.22	SOLD	6531.60		96,162.50	0.00
09OCT17	S	1	PD09JAN18	6665.00	6799.07	USD	-3,351.75	
09OCT17	S	2	PD09JAN18	6660.50	6799.07	USD	-6,928.50	
09OCT17	S	2	PD09JAN18	6663.00	6799.07	USD	-6,803.50	
09OCT17	S	5	PD09JAN18	6664.00	6799.07	USD	-16,883.75	
09OCT17	S	5	PD09JAN18	6650.00	6799.07	USD	-18,633.75	
09OCT17	S	5	PD09JAN18	6649.00	6799.07	USD	-18,758.75	
09OCT17	S	1	PD09JAN18	6655.00	6799.07	USD	-3,601.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	7	PD09JAN18	6662.50	6799.07	USD	-23,899.75	
09OCT17	S	1	PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S	2	PD09JAN18	6660.00	6799.07	USD	-6,953.50	
	NET	-31 AVG SOLD 6658.02					-109,316.75	0.00
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	B	1	PD10JAN18	6691.50	6799.53	USD	2,700.75	
10OCT17	B	3	PD10JAN18	6694.00	6799.53	USD	7,914.75	
10OCT17	B	5	PD10JAN18	6695.00	6799.53	USD	13,066.25	
10OCT17	B	6	PD10JAN18	6696.00	6799.53	USD	15,529.50	
10OCT17	B	1	PD10JAN18	6740.50	6799.53	USD	1,475.75	
10OCT17	B	1	PD10JAN18	6739.50	6799.53	USD	1,500.75	
10OCT17	B	3	PD10JAN18	6743.00	6799.53	USD	4,239.75	
	NET	+21 AVG BGHT 6706.00					49,103.25	0.00
11OCT17	B	1	PD11JAN18	6732.00	6800.00	USD	1,700.00	
11OCT17	B	2	PD11JAN18	6731.00	6800.00	USD	3,450.00	
11OCT17	B	6	PD11JAN18	6730.00	6800.00	USD	10,500.00	
11OCT17	B	1	PD11JAN18	6737.50	6800.00	USD	1,562.50	
	NET	+10 AVG BGHT 6731.15					17,212.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
28SEP17	S	2	DEC17	296.000	309.550	USD	-6,775.00	
28SEP17	S	4	DEC17	296.100	309.550	USD	-13,450.00	
28SEP17	S	6	DEC17	296.050	309.550	USD	-20,250.00	
28SEP17	S	5	DEC17	296.150	309.550	USD	-16,750.00	
28SEP17	S	4	DEC17	296.700	309.550	USD	-12,850.00	
28SEP17	S	18	DEC17	296.650	309.550	USD	-58,050.00	
28SEP17	S	4	DEC17	296.550	309.550	USD	-13,000.00	
28SEP17	S	40	DEC17	296.450	309.550	USD	-131,000.00	
28SEP17	S	21	DEC17	296.400	309.550	USD	-69,037.50	
28SEP17	S	4	DEC17	296.600	309.550	USD	-12,950.00	
28SEP17	S	10	DEC17	296.500	309.550	USD	-32,625.00	
28SEP17	S	11	DEC17	296.350	309.550	USD	-36,300.00	
28SEP17	S	7	DEC17	296.200	309.550	USD	-23,362.50	
28SEP17	S	13	DEC17	298.300	309.550	USD	-36,562.50	
28SEP17	S	1	DEC17	298.350	309.550	USD	-2,800.00	
28SEP17	S	23	DEC17	298.500	309.550	USD	-63,537.50	
28SEP17	S	4	DEC17	298.450	309.550	USD	-11,100.00	
28SEP17	S	2	DEC17	298.600	309.550	USD	-5,475.00	
28SEP17	S	2	DEC17	298.650	309.550	USD	-5,450.00	
28SEP17	S	6	DEC17	298.950	309.550	USD	-15,900.00	
28SEP17	S	1	DEC17	298.850	309.550	USD	-2,675.00	
28SEP17	S	15	DEC17	298.900	309.550	USD	-39,937.50	
28SEP17	S	14	DEC17	298.550	309.550	USD	-38,500.00	
05OCT17	S	3	DEC17	298.050	309.550	USD	-8,625.00	
05OCT17	S	3	DEC17	298.000	309.550	USD	-8,662.50	
05OCT17	S	16	DEC17	297.950	309.550	USD	-46,400.00	
05OCT17	S	7	DEC17	301.950	309.550	USD	-13,300.00	
05OCT17	S	14	DEC17	301.900	309.550	USD	-26,775.00	
05OCT17	S	1	DEC17	302.450	309.550	USD	-1,775.00	
10OCT17	S	2	DEC17	303.900	309.550	USD	-2,825.00	
10OCT17	S	2	DEC17	303.850	309.550	USD	-2,850.00	
10OCT17	S	4	DEC17	303.950	309.550	USD	-5,600.00	
10OCT17	S	10	DEC17	304.000	309.550	USD	-13,875.00	
10OCT17	S	8	DEC17	304.100	309.550	USD	-10,900.00	
10OCT17	S	9	DEC17	304.050	309.550	USD	-12,375.00	
10OCT17	S	2	DEC17	306.100	309.550	USD	-1,725.00	
10OCT17	S	2	DEC17	306.050	309.550	USD	-1,750.00	

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	CMX COPPER 25,000 CMX					
10OCT17	S 7 DEC17	306.250	309.550	USD	-5,775.00	
11OCT17	S 2 DEC17	305.800	309.550	USD	-1,875.00	
11OCT17	S 11 DEC17	305.700	309.550	USD	-10,587.50	
11OCT17	S 6 DEC17	305.650	309.550	USD	-5,850.00	
11OCT17	S 3 DEC17	306.050	309.550	USD	-2,625.00	
	NET -329 AVG SOLD 299.185				-852,487.50	0.00

OPEN POSITION VALUE

			USD
LME Copper Grade A 25	FUTURES	-23,976,121.50	
CMX Copper	FUTURES	24,608,000.00	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-852,487.50	-852,487.50	-852,487.50	-852,487.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	1,487,808.50	1,487,808.50	1,487,808.50	1,487,808.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	121,884.40	121,884.40
Variation Margins - Futures	-852,487.50	-852,487.50
- Options	0.00	0.00
TOTAL EQUITY	-730,603.10	-730,603.10
Initial Margins	-1,450,033.50	-1,450,033.50
Excess/(Shortage)	-2,180,636.60	-2,180,636.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	1,487,808.50	1,487,808.50
Net Liquidating Value	757,205.40	757,205.40

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08AUG17	B	2	PD08NOV17	6394.00	6775.65	USD	19,082.50	
08AUG17	B	4	PD08NOV17	6400.50	6775.65	USD	37,515.00	
08AUG17	B	2	PD08NOV17	6402.50	6775.65	USD	18,657.50	
08AUG17	B	1	PD08NOV17	6399.50	6775.65	USD	9,403.75	
08AUG17	B	1	PD08NOV17	6396.50	6775.65	USD	9,478.75	
08AUG17	B	9	PD08NOV17	6397.00	6775.65	USD	85,196.25	
08AUG17	B	6	PD08NOV17	6398.00	6775.65	USD	56,647.50	
08AUG17	B	4	PD08NOV17	6406.50	6775.65	USD	36,915.00	
08AUG17	B	1	PD08NOV17	6413.50	6775.65	USD	9,053.75	
08AUG17	B	5	PD08NOV17	6416.00	6775.65	USD	44,956.25	
08AUG17	B	1	PD08NOV17	6420.00	6775.65	USD	8,891.25	
08AUG17	B	2	PD08NOV17	6418.00	6775.65	USD	17,882.50	
08AUG17	B	2	PD08NOV17	6419.00	6775.65	USD	17,832.50	
08AUG17	B	1	PD08NOV17	6419.50	6775.65	USD	8,903.75	
08AUG17	B	1	PD08NOV17	6425.00	6775.65	USD	8,766.25	
08AUG17	B	1	PD08NOV17	6427.50	6775.65	USD	8,703.75	
08AUG17	B	2	PD08NOV17	6429.50	6775.65	USD	17,307.50	
08AUG17	B	1	PD08NOV17	6434.00	6775.65	USD	8,541.25	
08AUG17	B	1	PD08NOV17	6433.00	6775.65	USD	8,566.25	
08AUG17	B	3	PD08NOV17	6436.50	6775.65	USD	25,436.25	
19SEP17	S	10	PD08NOV17	6520.32	6775.65	USD	-63,832.50	
20SEP17	S	1	PD08NOV17	6527.95	6775.65	USD	-6,192.50	
21SEP17	S	10	PD08NOV17	6441.02	6775.65	USD	-83,657.50	
21SEP17	S	29	PD08NOV17	6440.52	6775.65	USD	-242,969.25	
	NET	0 AVG BGHT 6409.46	SOLD 6458.33				61,085.75	0.00
24AUG17	S	1	PD24NOV17	6717.00	6780.52	USD	-1,588.00	
24AUG17	S	1	PD24NOV17	6717.50	6780.52	USD	-1,575.50	
24AUG17	S	1	PD24NOV17	6723.00	6780.52	USD	-1,438.00	
24AUG17	S	1	PD24NOV17	6725.00	6780.52	USD	-1,388.00	
24AUG17	S	1	PD24NOV17	6726.00	6780.52	USD	-1,363.00	
24AUG17	S	4	PD24NOV17	6716.00	6780.52	USD	-6,452.00	
24AUG17	S	1	PD24NOV17	6715.00	6780.52	USD	-1,638.00	
19SEP17	B	10	PD24NOV17	6527.92	6780.52	USD	63,150.00	
	NET	0 AVG BGHT 6527.92	SOLD 6718.75				47,707.50	0.00
29AUG17	S	1	PD29NOV17	6786.50	6783.18	USD	83.00	
29AUG17	S	1	PD29NOV17	6787.50	6783.18	USD	108.00	
29AUG17	S	1	PD29NOV17	6789.00	6783.18	USD	145.50	
29AUG17	S	1	PD29NOV17	6790.50	6783.18	USD	183.00	
29AUG17	S	1	PD29NOV17	6789.50	6783.18	USD	158.00	
29AUG17	S	1	PD29NOV17	6791.00	6783.18	USD	195.50	
29AUG17	S	1	PD29NOV17	6793.00	6783.18	USD	245.50	
29AUG17	S	1	PD29NOV17	6796.50	6783.18	USD	333.00	
29AUG17	S	1	PD29NOV17	6794.50	6783.18	USD	283.00	
29AUG17	S	2	PD29NOV17	6796.00	6783.18	USD	641.00	
29AUG17	S	1	PD29NOV17	6798.00	6783.18	USD	370.50	
29AUG17	S	1	PD29NOV17	6797.00	6783.18	USD	345.50	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
29AUG17	S	2	PD29NOV17	6807.00	6783.18	USD	1,191.00	
29AUG17	S	1	PD29NOV17	6792.50	6783.18	USD	233.00	
29AUG17	S	4	PD29NOV17	6805.00	6783.18	USD	2,182.00	
29AUG17	S	4	PD29NOV17	6804.00	6783.18	USD	2,082.00	
29AUG17	S	4	PD29NOV17	6804.50	6783.18	USD	2,132.00	
29AUG17	S	2	PD29NOV17	6803.00	6783.18	USD	991.00	
29AUG17	S	3	PD29NOV17	6800.50	6783.18	USD	1,299.00	
29AUG17	S	2	PD29NOV17	6802.50	6783.18	USD	966.00	
29AUG17	S	3	PD29NOV17	6805.50	6783.18	USD	1,674.00	
29AUG17	S	1	PD29NOV17	6808.00	6783.18	USD	620.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	3	PD29NOV17	6807.00	6783.18	USD	-1,786.50	
29AUG17	B	5	PD29NOV17	6808.00	6783.18	USD	-3,102.50	
29AUG17	B	13	PD29NOV17	6807.50	6783.18	USD	-7,904.00	
29AUG17	B	2	PD29NOV17	6806.00	6783.18	USD	-1,141.00	
29AUG17	B	1	PD29NOV17	6802.50	6783.18	USD	-483.00	
29AUG17	B	1	PD29NOV17	6800.00	6783.18	USD	-420.50	
29AUG17	B	3	PD29NOV17	6801.00	6783.18	USD	-1,336.50	
29AUG17	B	1	PD29NOV17	6801.50	6783.18	USD	-458.00	
29AUG17	B	4	PD29NOV17	6804.00	6783.18	USD	-2,082.00	
29AUG17	B	2	PD29NOV17	6807.00	6783.18	USD	-1,191.00	
29AUG17	B	1	PD29NOV17	6816.00	6783.18	USD	-820.50	
29AUG17	B	1	PD29NOV17	6815.00	6783.18	USD	-795.50	
29AUG17	B	1	PD29NOV17	6809.50	6783.18	USD	-658.00	
29AUG17	B	1	PD29NOV17	6808.50	6783.18	USD	-633.00	
29AUG17	B	2	PD29NOV17	6810.00	6783.18	USD	-1,341.00	
29AUG17	B	3	PD29NOV17	6811.00	6783.18	USD	-2,086.50	
29AUG17	B	1	PD29NOV17	6811.50	6783.18	USD	-708.00	
29AUG17	B	2	PD29NOV17	6812.00	6783.18	USD	-1,441.00	
29AUG17	B	3	PD29NOV17	6813.00	6783.18	USD	-2,236.50	
07SEP17	S	10	PD29NOV17	6895.45	6783.18	USD	28,067.50	
20SEP17	B	1	PD29NOV17	6537.84	6783.18	USD	6,133.50	
21SEP17	B	10	PD29NOV17	6451.03	6783.18	USD	83,037.50	
21SEP17	B	29	PD29NOV17	6450.53	6783.18	USD	241,171.25	
21SEP17	S	40	PD29NOV17	6473.85	6783.18	USD	-309,330.00	
	NET	0 AVG BGHT 6649.97	SOLD 6665.72				35,424.75	0.00
31AUG17	B	2	PD30NOV17	6819.00	6783.72	USD	-1,764.00	
31AUG17	B	4	PD30NOV17	6817.50	6783.72	USD	-3,378.00	
31AUG17	B	1	PD30NOV17	6806.00	6783.72	USD	-557.00	
31AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
31AUG17	B	1	PD30NOV17	6802.50	6783.72	USD	-469.50	
31AUG17	B	2	PD30NOV17	6804.00	6783.72	USD	-1,014.00	
31AUG17	B	10	PD30NOV17	6794.50	6783.72	USD	-2,695.00	
31AUG17	B	1	PD30NOV17	6796.50	6783.72	USD	-319.50	
31AUG17	B	1	PD30NOV17	6794.00	6783.72	USD	-257.00	
31AUG17	B	1	PD30NOV17	6793.00	6783.72	USD	-232.00	
31AUG17	B	1	PD30NOV17	6791.00	6783.72	USD	-182.00	
31AUG17	B	4	PD30NOV17	6790.00	6783.72	USD	-628.00	
31AUG17	B	1	PD30NOV17	6780.50	6783.72	USD	80.50	
31AUG17	B	1	PD30NOV17	6782.50	6783.72	USD	30.50	
31AUG17	B	1	PD30NOV17	6783.50	6783.72	USD	5.50	
31AUG17	B	2	PD30NOV17	6785.00	6783.72	USD	-64.00	
31AUG17	B	3	PD30NOV17	6795.50	6783.72	USD	-883.50	
31AUG17	B	5	PD30NOV17	6797.00	6783.72	USD	-1,660.00	
31AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	
31AUG17	B	3	PD30NOV17	6798.00	6783.72	USD	-1,071.00	
31AUG17	B	4	PD30NOV17	6820.00	6783.72	USD	-3,628.00	
15SEP17	B	1	PD30NOV17	6466.65	6783.72	USD	7,926.75	
26SEP17	S	40	PD30NOV17	6399.03	6783.72	USD	-384,690.00	
29SEP17	S	11	PD30NOV17	6470.92	6783.72	USD	-86,020.00	
	NET	0 AVG BGHT 6792.88	SOLD 6414.54				-482,383.25	0.00
07SEP17	S	1	PD07DEC17	6872.00	6787.23	USD	2,119.25	
07SEP17	S	2	PD07DEC17	6866.50	6787.23	USD	3,963.50	
07SEP17	S	2	PD07DEC17	6867.50	6787.23	USD	4,013.50	
07SEP17	S	1	PD07DEC17	6869.00	6787.23	USD	2,044.25	
07SEP17	S	1	PD07DEC17	6868.00	6787.23	USD	2,019.25	
07SEP17	S	1	PD07DEC17	6872.50	6787.23	USD	2,131.75	
07SEP17	S	1	PD07DEC17	6871.50	6787.23	USD	2,106.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	S	1	PD07DEC17		6878.00	6787.23	USD	2,269.25	
07SEP17	B	10	PD07DEC17		6898.70	6787.23	USD	-27,867.50	
	NET	0AVG BGHT 6898.70	SOLD 6869.90					-7,200.00	0.00
08SEP17	S	2	PD08DEC17		6832.00	6787.71	USD	2,214.50	
08SEP17	S	2	PD08DEC17		6831.00	6787.71	USD	2,164.50	
08SEP17	S	3	PD08DEC17		6827.50	6787.71	USD	2,984.25	
08SEP17	S	1	PD08DEC17		6829.00	6787.71	USD	1,032.25	
08SEP17	S	7	PD08DEC17		6825.50	6787.71	USD	6,613.25	
08SEP17	S	3	PD08DEC17		6826.50	6787.71	USD	2,909.25	
08SEP17	S	1	PD08DEC17		6820.50	6787.71	USD	819.75	
08SEP17	S	3	PD08DEC17		6821.50	6787.71	USD	2,534.25	
08SEP17	S	1	PD08DEC17		6823.50	6787.71	USD	894.75	
08SEP17	S	1	PD08DEC17		6829.00	6787.71	USD	1,032.25	
08SEP17	S	1	PD08DEC17		6827.50	6787.71	USD	994.75	
21SEP17	B	25	PD08DEC17		6478.53	6787.71	USD	193,237.50	
	NET	0AVG BGHT 6478.53	SOLD 6826.42					217,431.25	0.00
11SEP17	B	4	PD11DEC17		6753.50	6789.15	USD	3,565.00	
11SEP17	B	1	PD11DEC17		6758.00	6789.15	USD	778.75	
15SEP17	S	5	PD11DEC17		6478.59	6789.15	USD	-38,820.00	
	NET	0AVG BGHT 6754.40	SOLD 6478.59					-34,476.25	0.00
12SEP17	B	2	PD12DEC17		6673.00	6789.63	USD	5,831.50	
12SEP17	B	3	PD12DEC17		6675.50	6789.63	USD	8,559.75	
19SEP17	S	5	PD12DEC17		6536.23	6789.63	USD	-31,675.00	
	NET	0AVG BGHT 6674.50	SOLD 6536.23					-17,283.75	0.00
13SEP17	B	1	PD13DEC17		6564.50	6790.10	USD	5,640.00	
13SEP17	B	3	PD13DEC17		6568.00	6790.10	USD	16,657.50	
15SEP17	S	4	PD13DEC17		6502.66	6790.10	USD	-28,744.00	
	NET	0AVG BGHT 6567.13	SOLD 6502.66					-6,446.50	0.00
14SEP17	B	2	PD14DEC17		6482.00	6790.58	USD	15,429.00	
14SEP17	B	1	PD14DEC17		6481.00	6790.58	USD	7,739.50	
14SEP17	B	2	PD14DEC17		6473.00	6790.58	USD	15,879.00	
14SEP17	B	6	PD14DEC17		6474.50	6790.58	USD	47,412.00	
14SEP17	B	1	PD14DEC17		6475.50	6790.58	USD	7,877.00	
14SEP17	B	3	PD14DEC17		6476.00	6790.58	USD	23,593.50	
14SEP17	B	4	PD14DEC17		6476.50	6790.58	USD	31,408.00	
14SEP17	B	2	PD14DEC17		6477.00	6790.58	USD	15,679.00	
14SEP17	S	1	PD14DEC17		6498.00	6790.58	USD	-7,314.50	
14SEP17	S	8	PD14DEC17		6504.50	6790.58	USD	-57,216.00	
14SEP17	S	1	PD14DEC17		6503.50	6790.58	USD	-7,177.00	
14SEP17	S	3	PD14DEC17		6506.00	6790.58	USD	-21,343.50	
14SEP17	S	4	PD14DEC17		6505.50	6790.58	USD	-28,508.00	
14SEP17	S	2	PD14DEC17		6504.00	6790.58	USD	-14,329.00	
14SEP17	S	2	PD14DEC17		6504.50	6790.58	USD	-14,304.00	
	NET	0AVG BGHT 6476.26	SOLD 6504.50					14,825.00	0.00
15SEP17	B	1	PD15DEC17		6504.50	6791.06	USD	7,164.00	
15SEP17	B	1	PD15DEC17		6505.00	6791.06	USD	7,151.50	
15SEP17	S	3	PD15DEC17		6507.50	6791.06	USD	-21,267.00	
15SEP17	S	1	PD15DEC17		6504.00	6791.06	USD	-7,176.50	
15SEP17	S	2	PD15DEC17		6472.00	6791.06	USD	-15,953.00	
15SEP17	S	3	PD15DEC17		6471.50	6791.06	USD	-23,967.00	
15SEP17	B	5	PD15DEC17		6480.53	6791.06	USD	38,816.25	
15SEP17	S	1	PD15DEC17		6472.35	6791.06	USD	-7,967.75	
15SEP17	B	4	PD15DEC17		6503.78	6791.06	USD	28,728.00	
21SEP17	S	1	PD15DEC17		6480.67	6791.06	USD	-7,759.75	
	NET	0AVG BGHT 6493.39	SOLD 6485.27					-2,231.25	0.00

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18SEP17	B	4	PD18DEC17	6544.50	6792.50	USD	24,800.00	
18SEP17	B	2	PD18DEC17	6545.50	6792.50	USD	12,350.00	
18SEP17	B	4	PD18DEC17	6543.50	6792.50	USD	24,900.00	
18SEP17	B	2	PD18DEC17	6538.50	6792.50	USD	12,700.00	
18SEP17	B	13	PD18DEC17	6534.50	6792.50	USD	83,850.00	
18SEP17	B	2	PD18DEC17	6535.50	6792.50	USD	12,850.00	
18SEP17	B	1	PD18DEC17	6537.50	6792.50	USD	6,375.00	
05OCT17	S	24	PD18DEC17	6559.51	6792.50	USD	-139,794.00	
	NET	+4 AVG BGHT 6538.46	SOLD 6559.51				38,031.00	0.00
19SEP17	S	2	PD19DEC17	6539.00	6792.50	USD	-12,675.00	
19SEP17	S	1	PD19DEC17	6548.00	6792.50	USD	-6,112.50	
19SEP17	S	1	PD19DEC17	6546.50	6792.50	USD	-6,150.00	
19SEP17	S	1	PD19DEC17	6543.50	6792.50	USD	-6,225.00	
19SEP17	B	1	PD19DEC17	6535.00	6792.50	USD	6,437.50	
19SEP17	B	2	PD19DEC17	6533.00	6792.50	USD	12,975.00	
19SEP17	B	1	PD19DEC17	6531.50	6792.50	USD	6,525.00	
19SEP17	B	1	PD19DEC17	6530.50	6792.50	USD	6,550.00	
19SEP17	B	5	PD19DEC17	6539.20	6792.50	USD	31,662.50	
	NET	+5 AVG BGHT 6535.90	SOLD 6543.20				32,987.50	0.00
20SEP17	S	1	PD20DEC17	6531.00	6792.50	USD	-6,537.50	
20SEP17	S	1	PD20DEC17	6529.50	6792.50	USD	-6,575.00	
20SEP17	S	1	PD20DEC17	6526.50	6792.50	USD	-6,650.00	
20SEP17	S	7	PD20DEC17	6526.00	6792.50	USD	-46,637.50	
20SEP17	S	4	PD20DEC17	6525.00	6792.50	USD	-26,750.00	
20SEP17	S	1	PD20DEC17	6525.50	6792.50	USD	-6,675.00	
21SEP17	B	15	PD20DEC17	6482.20	6792.50	USD	116,362.50	
	NET	0 AVG BGHT 6482.20	SOLD 6526.30				16,537.50	0.00
21SEP17	B	1	PD21DEC17	6458.00	6791.50	USD	8,337.50	
21SEP17	B	7	PD21DEC17	6457.00	6791.50	USD	58,537.50	
21SEP17	B	1	PD21DEC17	6456.00	6791.50	USD	8,387.50	
21SEP17	B	1	PD21DEC17	6456.00	6791.50	USD	8,387.50	
21SEP17	S	1	PD21DEC17	6447.00	6791.50	USD	-8,612.50	
21SEP17	B	1	PD21DEC17	6480.20	6791.50	USD	7,782.50	
	NET	+10 AVG BGHT 6459.02	SOLD 6447.00				82,820.00	0.00
25SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
25SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S	1	PD27DEC17	6449.50	6793.00	USD	-8,587.50	
25SEP17	S	1	PD27DEC17	6440.50	6793.00	USD	-8,812.50	
25SEP17	S	2	PD27DEC17	6443.00	6793.00	USD	-17,500.00	
25SEP17	S	6	PD27DEC17	6442.50	6793.00	USD	-52,575.00	
25SEP17	S	6	PD27DEC17	6441.50	6793.00	USD	-52,725.00	
25SEP17	S	2	PD27DEC17	6454.00	6793.00	USD	-16,950.00	
26SEP17	S	1	PD27DEC17	6465.50	6793.00	USD	-8,187.50	
26SEP17	S	1	PD27DEC17	6460.50	6793.00	USD	-8,312.50	
26SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
26SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
26SEP17	S	1	PD27DEC17	6463.50	6793.00	USD	-8,237.50	
26SEP17	S	7	PD27DEC17	6430.50	6793.00	USD	-63,437.50	
26SEP17	S	3	PD27DEC17	6431.50	6793.00	USD	-27,112.50	
26SEP17	S	1	PD27DEC17	6417.50	6793.00	USD	-9,387.50	
26SEP17	S	1	PD27DEC17	6418.00	6793.00	USD	-9,375.00	
26SEP17	B	1	PD27DEC17	6424.00	6793.00	USD	9,225.00	
26SEP17	B	2	PD27DEC17	6423.00	6793.00	USD	18,500.00	
26SEP17	B	3	PD27DEC17	6419.00	6793.00	USD	28,050.00	
26SEP17	B	4	PD27DEC17	6421.50	6793.00	USD	37,150.00	
26SEP17	B	1	PD27DEC17	6418.50	6793.00	USD	9,362.50	

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26SEP17	B	3	PD27DEC17	6414.00	6793.00	USD	28,425.00	
26SEP17	B	1	PD27DEC17	6413.50	6793.00	USD	9,487.50	
26SEP17	B	2	PD27DEC17	6415.00	6793.00	USD	18,900.00	
26SEP17	B	3	PD27DEC17	6416.50	6793.00	USD	28,237.50	
26SEP17	B	40	PD27DEC17	6413.20	6793.00	USD	379,800.00	
26SEP17	S	3	PD27DEC17	6418.00	6793.00	USD	-28,125.00	
27SEP17	B	1	PD27DEC17	6477.50	6793.00	USD	7,887.50	
27SEP17	B	8	PD27DEC17	6478.00	6793.00	USD	63,000.00	
27SEP17	B	1	PD27DEC17	6473.50	6793.00	USD	7,987.50	
27SEP17	B	1	PD27DEC17	6474.50	6793.00	USD	7,962.50	
27SEP17	B	1	PD27DEC17	6472.50	6793.00	USD	8,012.50	
27SEP17	B	2	PD27DEC17	6464.00	6793.00	USD	16,450.00	
27SEP17	B	2	PD27DEC17	6474.50	6793.00	USD	15,925.00	
27SEP17	B	2	PD27DEC17	6445.50	6793.00	USD	17,375.00	
	NET	+38 AVG BGHT 6428.01	SOLD 6440.08				358,812.50	0.00
28SEP17	B	1	PD28DEC17	6472.00	6793.47	USD	8,036.75	
28SEP17	B	2	PD28DEC17	6470.50	6793.47	USD	16,148.50	
28SEP17	B	3	PD28DEC17	6464.00	6793.47	USD	24,710.25	
28SEP17	S	2	PD28DEC17	6474.00	6793.47	USD	-15,973.50	
28SEP17	S	2	PD28DEC17	6475.00	6793.47	USD	-15,923.50	
28SEP17	S	1	PD28DEC17	6478.50	6793.47	USD	-7,874.25	
28SEP17	S	1	PD28DEC17	6477.50	6793.47	USD	-7,899.25	
28SEP17	B	1	PD28DEC17	6518.50	6793.47	USD	6,874.25	
28SEP17	B	1	PD28DEC17	6520.00	6793.47	USD	6,836.75	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,686.75	
28SEP17	B	1	PD28DEC17	6523.50	6793.47	USD	6,749.25	
28SEP17	B	2	PD28DEC17	6521.00	6793.47	USD	13,623.50	
28SEP17	B	1	PD28DEC17	6520.00	6793.47	USD	6,836.75	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
	NET	+8 AVG BGHT 6498.57	SOLD 6475.67				55,544.00	0.00
29SEP17	S	1	PD29DEC17	6526.00	6793.93	USD	-6,698.25	
29SEP17	S	1	PD29DEC17	6528.00	6793.93	USD	-6,648.25	
29SEP17	S	1	PD29DEC17	6527.00	6793.93	USD	-6,673.25	
29SEP17	S	2	PD29DEC17	6530.50	6793.93	USD	-13,171.50	
29SEP17	S	1	PD29DEC17	6531.50	6793.93	USD	-6,560.75	
29SEP17	S	2	PD29DEC17	6534.00	6793.93	USD	-12,996.50	
29SEP17	S	1	PD29DEC17	6533.50	6793.93	USD	-6,510.75	
29SEP17	S	1	PD29DEC17	6535.00	6793.93	USD	-6,473.25	
29SEP17	S	1	PD29DEC17	6536.50	6793.93	USD	-6,435.75	
29SEP17	B	11	PD29DEC17	6481.20	6793.93	USD	86,000.75	
29SEP17	S	1	PD29DEC17	6503.50	6793.93	USD	-7,260.75	
02OCT17	B	1	PD29DEC17	6484.95	6793.93	USD	7,724.50	
	NET	0 AVG BGHT 6481.51	SOLD 6529.17				14,296.25	0.00
02OCT17	B	2	PD02JAN18	6531.50	6795.80	USD	13,215.00	
02OCT17	B	1	PD02JAN18	6531.00	6795.80	USD	6,620.00	
02OCT17	B	2	PD02JAN18	6532.50	6795.80	USD	13,165.00	
02OCT17	B	1	PD02JAN18	6529.00	6795.80	USD	6,670.00	
02OCT17	B	4	PD02JAN18	6530.50	6795.80	USD	26,530.00	
02OCT17	B	2	PD02JAN18	6532.00	6795.80	USD	13,190.00	
02OCT17	S	1	PD02JAN18	6497.50	6795.80	USD	-7,457.50	
02OCT17	S	1	PD02JAN18	6497.00	6795.80	USD	-7,470.00	
02OCT17	S	1	PD02JAN18	6499.00	6795.80	USD	-7,420.00	
02OCT17	S	1	PD02JAN18	6500.50	6795.80	USD	-7,382.50	
02OCT17	S	3	PD02JAN18	6504.00	6795.80	USD	-21,885.00	
02OCT17	S	2	PD02JAN18	6505.00	6795.80	USD	-14,540.00	
02OCT17	S	1	PD02JAN18	6503.00	6795.80	USD	-7,320.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
020CT17	S	1	PD02JAN18		6486.47	6795.80	USD	-7,733.25	
020CT17	S	1	PD02JAN18		6498.50	6795.80	USD	-7,432.50	
	NET	0AVG	BGHT 6531.17	SOLD 6500.33				-9,250.75	0.00
030CT17	S	1	PD03JAN18		6478.50	6796.27	USD	-7,944.25	
030CT17	S	1	PD03JAN18		6484.00	6796.27	USD	-7,806.75	
030CT17	S	1	PD03JAN18		6508.00	6796.27	USD	-7,206.75	
030CT17	B	1	PD03JAN18		6517.00	6796.27	USD	6,981.75	
030CT17	B	2	PD03JAN18		6517.50	6796.27	USD	13,938.50	
030CT17	B	3	PD03JAN18		6524.50	6796.27	USD	20,382.75	
030CT17	B	1	PD03JAN18		6521.00	6796.27	USD	6,881.75	
030CT17	B	1	PD03JAN18		6520.00	6796.27	USD	6,906.75	
030CT17	S	1	PD03JAN18		6525.50	6796.27	USD	-6,769.25	
030CT17	S	1	PD03JAN18		6527.50	6796.27	USD	-6,719.25	
040CT17	S	3	PD03JAN18		6520.69	6796.27	USD	-20,668.50	
	NET	0AVG	BGHT 6520.81	SOLD 6510.70				-2,023.25	0.00
040CT17	S	3	PD04JAN18		6501.00	6796.73	USD	-22,179.75	
040CT17	S	2	PD04JAN18		6525.50	6796.73	USD	-13,561.50	
040CT17	B	1	PD04JAN18		6520.50	6796.73	USD	6,905.75	
040CT17	S	1	PD04JAN18		6517.50	6796.73	USD	-6,980.75	
040CT17	S	3	PD04JAN18		6515.50	6796.73	USD	-21,092.25	
040CT17	S	1	PD04JAN18		6514.00	6796.73	USD	-7,068.25	
040CT17	S	2	PD04JAN18		6512.50	6796.73	USD	-14,211.50	
040CT17	S	2	PD04JAN18		6513.00	6796.73	USD	-14,186.50	
040CT17	S	4	PD04JAN18		6511.00	6796.73	USD	-28,573.00	
040CT17	S	5	PD04JAN18		6510.00	6796.73	USD	-35,841.25	
040CT17	S	1	PD04JAN18		6509.00	6796.73	USD	-7,193.25	
040CT17	B	3	PD04JAN18		6521.20	6796.73	USD	20,664.75	
040CT17	S	2	PD04JAN18		6508.00	6796.73	USD	-14,436.50	
040CT17	S	1	PD04JAN18		6508.50	6796.73	USD	-7,205.75	
050CT17	B	24	PD04JAN18		6560.39	6796.73	USD	141,804.00	
	NET	+1AVG	BGHT 6554.77	SOLD 6511.50				-23,155.75	0.00
050CT17	B	1	PD05JAN18		6555.50	6797.20	USD	6,042.50	
050CT17	B	1	PD05JAN18		6555.00	6797.20	USD	6,055.00	
050CT17	B	3	PD05JAN18		6554.00	6797.20	USD	18,240.00	
050CT17	B	6	PD05JAN18		6556.50	6797.20	USD	36,105.00	
050CT17	B	2	PD05JAN18		6557.50	6797.20	USD	11,985.00	
050CT17	B	1	PD05JAN18		6654.00	6797.20	USD	3,580.00	
050CT17	B	2	PD05JAN18		6659.50	6797.20	USD	6,885.00	
050CT17	B	1	PD05JAN18		6661.00	6797.20	USD	3,405.00	
050CT17	B	1	PD05JAN18		6662.00	6797.20	USD	3,380.00	
050CT17	B	1	PD05JAN18		6667.00	6797.20	USD	3,255.00	
050CT17	B	2	PD05JAN18		6668.50	6797.20	USD	6,435.00	
050CT17	B	1	PD05JAN18		6666.00	6797.20	USD	3,280.00	
050CT17	B	1	PD05JAN18		6670.00	6797.20	USD	3,180.00	
050CT17	B	1	PD05JAN18		6669.00	6797.20	USD	3,205.00	
050CT17	B	1	PD05JAN18		6680.00	6797.20	USD	2,930.00	
050CT17	B	3	PD05JAN18		6679.00	6797.20	USD	8,865.00	
050CT17	B	3	PD05JAN18		6676.00	6797.20	USD	9,090.00	
050CT17	B	2	PD05JAN18		6680.00	6797.20	USD	5,860.00	
050CT17	B	1	PD05JAN18		6679.00	6797.20	USD	2,955.00	
060CT17	S	1	PD05JAN18		6694.50	6797.20	USD	-2,567.50	
060CT17	S	2	PD05JAN18		6700.50	6797.20	USD	-4,835.00	
060CT17	S	2	PD05JAN18		6701.00	6797.20	USD	-4,810.00	
060CT17	B	1	PD05JAN18		6689.50	6797.20	USD	2,692.50	
060CT17	B	1	PD05JAN18		6690.50	6797.20	USD	2,667.50	
060CT17	B	1	PD05JAN18		6687.00	6797.20	USD	2,755.00	

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06OCT17	B	1	PD05JAN18	6686.00	6797.20	USD	2,780.00	
06OCT17	B	1	PD05JAN18	6684.00	6797.20	USD	2,830.00	
06OCT17	S	1	PD05JAN18	6676.00	6797.20	USD	-3,030.00	
06OCT17	S	1	PD05JAN18	6648.00	6797.20	USD	-3,730.00	
06OCT17	S	1	PD05JAN18	6658.00	6797.20	USD	-3,480.00	
06OCT17	S	4	PD05JAN18	6661.50	6797.20	USD	-13,570.00	
06OCT17	S	2	PD05JAN18	6665.00	6797.20	USD	-6,610.00	
06OCT17	S	3	PD05JAN18	6658.50	6797.20	USD	-10,402.50	
06OCT17	S	5	PD05JAN18	6659.50	6797.20	USD	-17,212.50	
06OCT17	S	3	PD05JAN18	6664.50	6797.20	USD	-9,952.50	
06OCT17	S	2	PD05JAN18	6665.50	6797.20	USD	-6,585.00	
06OCT17	S	5	PD05JAN18	6660.50	6797.20	USD	-17,087.50	
06OCT17	S	1	PD05JAN18	6657.00	6797.20	USD	-3,505.00	
06OCT17	S	1	PD05JAN18	6655.50	6797.20	USD	-3,542.50	
06OCT17	S	3	PD05JAN18	6655.00	6797.20	USD	-10,665.00	
	NET	+2 AVG BGHT 6634.68	SOLD 6665.76				36,872.50	0.00
09OCT17	S	1	PD09JAN18	6641.00	6799.07	USD	-3,951.75	
09OCT17	S	1	PD09JAN18	6653.00	6799.07	USD	-3,651.75	
09OCT17	S	1	PD09JAN18	6635.50	6799.07	USD	-4,089.25	
09OCT17	S	1	PD09JAN18	6650.00	6799.07	USD	-3,726.75	
09OCT17	S	1	PD09JAN18	6679.00	6799.07	USD	-3,001.75	
09OCT17	S	1	PD09JAN18	6658.00	6799.07	USD	-3,526.75	
09OCT17	S	1	PD09JAN18	6673.50	6799.07	USD	-3,139.25	
09OCT17	S	1	PD09JAN18	6671.50	6799.07	USD	-3,189.25	
09OCT17	B	1	PD09JAN18	6681.50	6799.07	USD	2,939.25	
09OCT17	S	1	PD09JAN18	6663.50	6799.07	USD	-3,389.25	
09OCT17	S	1	PD09JAN18	6674.50	6799.07	USD	-3,114.25	
09OCT17	S	1	PD09JAN18	6660.50	6799.07	USD	-3,464.25	
09OCT17	S	1	PD09JAN18	6662.50	6799.07	USD	-3,414.25	
09OCT17	S	1	PD09JAN18	6660.00	6799.07	USD	-3,476.75	
09OCT17	S	1	PD09JAN18	6654.00	6799.07	USD	-3,626.75	
09OCT17	B	2	PD09JAN18	6682.00	6799.07	USD	5,853.50	
09OCT17	S	1	PD09JAN18	6642.00	6799.07	USD	-3,926.75	
09OCT17	S	3	PD09JAN18	6661.50	6799.07	USD	-10,317.75	
09OCT17	S	1	PD09JAN18	6636.00	6799.07	USD	-4,076.75	
09OCT17	B	2	PD09JAN18	6682.50	6799.07	USD	5,828.50	
09OCT17	B	1	PD09JAN18	6675.00	6799.07	USD	3,101.75	
09OCT17	B	3	PD09JAN18	6674.00	6799.07	USD	9,380.25	
09OCT17	B	1	PD09JAN18	6673.00	6799.07	USD	3,151.75	
	NET	-9 AVG BGHT 6678.05	SOLD 6657.84				-36,828.25	0.00
10OCT17	S	1	PD10JAN18	6686.00	6799.53	USD	-2,838.25	
10OCT17	S	1	PD10JAN18	6687.00	6799.53	USD	-2,813.25	
10OCT17	S	2	PD10JAN18	6688.00	6799.53	USD	-5,576.50	
10OCT17	B	1	PD10JAN18	6691.00	6799.53	USD	2,713.25	
10OCT17	B	1	PD10JAN18	6690.00	6799.53	USD	2,738.25	
10OCT17	B	1	PD10JAN18	6693.00	6799.53	USD	2,663.25	
10OCT17	B	1	PD10JAN18	6692.00	6799.53	USD	2,688.25	
10OCT17	B	1	PD10JAN18	6696.00	6799.53	USD	2,588.25	
10OCT17	B	1	PD10JAN18	6698.50	6799.53	USD	2,525.75	
10OCT17	B	1	PD10JAN18	6697.00	6799.53	USD	2,563.25	
10OCT17	B	1	PD10JAN18	6691.50	6799.53	USD	2,700.75	
10OCT17	B	2	PD10JAN18	6690.50	6799.53	USD	5,451.50	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	S	1	PD10JAN18	6698.50	6799.53	USD	-2,525.75	
10OCT17	S	1	PD10JAN18	6697.50	6799.53	USD	-2,550.75	
10OCT17	S	1	PD10JAN18	6699.00	6799.53	USD	-2,513.25	
10OCT17	S	1	PD10JAN18	6690.50	6799.53	USD	-2,725.75	

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10OCT17	S	1	PD10JAN18	6684.00	6799.53	USD	-2,888.25	
10OCT17	S	1	PD10JAN18	6685.00	6799.53	USD	-2,863.25	
10OCT17	S	2	PD10JAN18	6692.50	6799.53	USD	-5,351.50	
10OCT17	S	1	PD10JAN18	6694.00	6799.53	USD	-2,638.25	
10OCT17	S	1	PD10JAN18	6701.00	6799.53	USD	-2,463.25	
10OCT17	S	1	PD10JAN18	6701.50	6799.53	USD	-2,450.75	
10OCT17	S	2	PD10JAN18	6700.00	6799.53	USD	-4,976.50	
10OCT17	S	1	PD10JAN18	6697.00	6799.53	USD	-2,563.25	
10OCT17	B	2	PD10JAN18	6740.50	6799.53	USD	2,951.50	
10OCT17	B	1	PD10JAN18	6738.00	6799.53	USD	1,538.25	
10OCT17	B	3	PD10JAN18	6739.00	6799.53	USD	4,539.75	
10OCT17	B	1	PD10JAN18	6737.00	6799.53	USD	1,563.25	
10OCT17	B	3	PD10JAN18	6752.00	6799.53	USD	3,564.75	
10OCT17	B	1	PD10JAN18	6750.50	6799.53	USD	1,225.75	
10OCT17	S	1	PD10JAN18	6743.00	6799.53	USD	-1,413.25	
10OCT17	S	1	PD10JAN18	6749.50	6799.53	USD	-1,250.75	
10OCT17	S	1	PD10JAN18	6747.50	6799.53	USD	-1,300.75	
10OCT17	S	1	PD10JAN18	6750.50	6799.53	USD	-1,225.75	
10OCT17	S	1	PD10JAN18	6752.00	6799.53	USD	-1,188.25	
10OCT17	B	1	PD10JAN18	6739.00	6799.53	USD	1,513.25	
	NET	0AVG BGHT 6719.17		SOLD 6705.41			-7,912.50	0.00
11OCT17	B	2	PD11JAN18	6731.50	6800.00	USD	3,425.00	
11OCT17	B	2	PD11JAN18	6777.00	6800.00	USD	1,150.00	
11OCT17	B	2	PD11JAN18	6771.50	6800.00	USD	1,425.00	
11OCT17	B	2	PD11JAN18	6765.00	6800.00	USD	1,750.00	
11OCT17	B	1	PD11JAN18	6767.00	6800.00	USD	825.00	
11OCT17	B	5	PD11JAN18	6767.50	6800.00	USD	4,062.50	
11OCT17	B	2	PD11JAN18	6766.00	6800.00	USD	1,700.00	
11OCT17	B	1	PD11JAN18	6768.00	6800.00	USD	800.00	
11OCT17	B	1	PD11JAN18	6773.50	6800.00	USD	662.50	
11OCT17	B	1	PD11JAN18	6778.00	6800.00	USD	550.00	
11OCT17	B	2	PD11JAN18	6781.00	6800.00	USD	950.00	
11OCT17	B	1	PD11JAN18	6767.00	6800.00	USD	825.00	
11OCT17	B	3	PD11JAN18	6773.00	6800.00	USD	2,025.00	
	NET	+25AVG BGHT 6767.76					20,150.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
27SEP17	S	8	DEC17	294.350	309.550	USD	-30,400.00	
27SEP17	S	2	DEC17	294.100	309.550	USD	-7,725.00	
27SEP17	S	2	DEC17	294.250	309.550	USD	-7,650.00	
27SEP17	S	4	DEC17	294.300	309.550	USD	-15,250.00	
27SEP17	S	2	DEC17	294.150	309.550	USD	-7,700.00	
27SEP17	S	3	DEC17	294.200	309.550	USD	-11,512.50	
27SEP17	S	4	DEC17	293.750	309.550	USD	-15,800.00	
27SEP17	S	4	DEC17	292.950	309.550	USD	-16,600.00	
28SEP17	S	2	DEC17	296.350	309.550	USD	-6,600.00	
28SEP17	S	1	DEC17	296.850	309.550	USD	-3,175.00	
28SEP17	S	2	DEC17	296.650	309.550	USD	-6,450.00	
28SEP17	S	2	DEC17	296.500	309.550	USD	-6,525.00	
28SEP17	S	8	DEC17	296.400	309.550	USD	-26,300.00	
28SEP17	S	1	DEC17	296.450	309.550	USD	-3,275.00	
28SEP17	S	2	DEC17	296.600	309.550	USD	-6,475.00	
02OCT17	S	2	DEC17	296.900	309.550	USD	-6,325.00	
03OCT17	S	1	DEC17	296.550	309.550	USD	-3,250.00	
03OCT17	S	2	DEC17	296.400	309.550	USD	-6,575.00	
03OCT17	S	3	DEC17	296.350	309.550	USD	-9,900.00	
05OCT17	S	5	DEC17	297.950	309.550	USD	-14,500.00	
05OCT17	S	7	DEC17	297.900	309.550	USD	-20,387.50	

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	CMX COPPER 25,000 CMX					
05OCT17	S 8 DEC17	298.000	309.550	USD	-23,100.00	
05OCT17	S 6 DEC17	298.050	309.550	USD	-17,250.00	
05OCT17	S 2 DEC17	298.100	309.550	USD	-5,725.00	
05OCT17	S 1 DEC17	298.150	309.550	USD	-2,850.00	
05OCT17	S 2 DEC17	302.450	309.550	USD	-3,550.00	
05OCT17	S 2 DEC17	302.750	309.550	USD	-3,400.00	
05OCT17	S 2 DEC17	302.700	309.550	USD	-3,425.00	
05OCT17	S 5 DEC17	302.800	309.550	USD	-8,437.50	
05OCT17	S 2 DEC17	303.100	309.550	USD	-3,225.00	
05OCT17	S 3 DEC17	303.000	309.550	USD	-4,912.50	
05OCT17	S 2 DEC17	303.200	309.550	USD	-3,175.00	
05OCT17	S 6 DEC17	303.150	309.550	USD	-9,600.00	
05OCT17	S 2 DEC17	303.750	309.550	USD	-2,900.00	
05OCT17	S 1 DEC17	303.800	309.550	USD	-1,437.50	
05OCT17	S 12 DEC17	303.700	309.550	USD	-17,550.00	
05OCT17	S 2 DEC17	303.500	309.550	USD	-3,025.00	
05OCT17	S 4 DEC17	303.550	309.550	USD	-6,000.00	
05OCT17	S 1 DEC17	303.600	309.550	USD	-1,487.50	
11OCT17	S 2 DEC17	305.850	309.550	USD	-1,850.00	
11OCT17	S 2 DEC17	305.800	309.550	USD	-1,875.00	
11OCT17	S 2 DEC17	307.650	309.550	USD	-950.00	
11OCT17	S 2 DEC17	307.600	309.550	USD	-975.00	
11OCT17	S 1 DEC17	307.500	309.550	USD	-512.50	
11OCT17	S 2 DEC17	307.300	309.550	USD	-1,125.00	
11OCT17	S 4 DEC17	307.350	309.550	USD	-2,200.00	
11OCT17	S 1 DEC17	307.250	309.550	USD	-575.00	
11OCT17	S 10 DEC17	307.400	309.550	USD	-5,375.00	
11OCT17	S 9 DEC17	307.450	309.550	USD	-4,725.00	
11OCT17	S 5 DEC17	307.700	309.550	USD	-2,312.50	
11OCT17	S 5 DEC17	307.750	309.550	USD	-2,250.00	
11OCT17	S 6 DEC17	307.850	309.550	USD	-2,550.00	
11OCT17	S 4 DEC17	308.050	309.550	USD	-1,500.00	
	NET -185 AVG SOLD 301.286				-382,200.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-13,864,885.00
CMX Copper	FUTURES	13,934,487.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-382,200.00	-382,200.00	-382,200.00	-382,200.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	403,334.00	403,334.00	403,334.00	403,334.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

11th October 2017

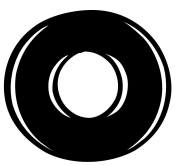
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-25,557.16	-25,557.16
Variation Margins	-382,200.00	-382,200.00
- Futures	0.00	0.00
- Options	-407,757.16	-407,757.16
TOTAL EQUITY		
Initial Margins	-1,230,322.00	-1,230,322.00
Excess/(Shortage)	-1,638,079.16	-1,638,079.16
FOR INFORMATION ONLY		
Forward Unrealised P&L	403,334.00	403,334.00
Net Liquidating Value	-4,423.16	-4,423.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB089**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	2,183,854.28	2,183,854.28
Variation Margins		
- Futures	-2,750,337.50	-2,750,337.50
- Options	0.00	0.00
TOTAL EQUITY	-566,483.22	-566,483.22
Initial Margins	-6,346,864.00	-6,346,864.00
Excess/(Shortage)	-6,913,347.22	-6,913,347.22
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	3,897,865.00	3,897,865.00
Net Liquidating Value	3,331,381.78	3,331,381.78

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	2	PD130CT17	5880.00	6756.00	USD	-43,800.00	
14JUL17	S	5	PD130CT17	5880.50	6756.00	USD	-109,437.50	
14JUL17	S	3	PD130CT17	5879.50	6756.00	USD	-65,737.50	
14JUL17	S	4	PD130CT17	5877.00	6756.00	USD	-87,900.00	
14JUL17	S	1	PD130CT17	5878.50	6756.00	USD	-21,937.50	
14JUL17	B	5	PD130CT17	5917.00	6756.00	USD	104,875.00	
14JUL17	B	4	PD130CT17	5916.00	6756.00	USD	84,000.00	
14JUL17	B	1	PD130CT17	5919.00	6756.00	USD	20,925.00	
30AUG17	B	5	PD130CT17	6753.60	6756.00	USD	300.00	
	NET	0 AVG BGHT 6195.73	SOLD 5879.17				-118,712.50	0.00
17JUL17	B	3	PD170CT17	6008.50	6759.20	USD	56,302.50	
17JUL17	B	2	PD170CT17	6009.50	6759.20	USD	37,485.00	
30AUG17	S	5	PD170CT17	6755.32	6759.20	USD	-485.00	
	NET	0 AVG BGHT 6008.90	SOLD 6755.32				93,302.50	0.00
02AUG17	S	1	PD02NOV17	6333.00	6770.10	USD	-10,927.50	
02AUG17	S	2	PD02NOV17	6335.00	6770.10	USD	-21,755.00	
02AUG17	S	1	PD02NOV17	6334.00	6770.10	USD	-10,902.50	
02AUG17	S	1	PD02NOV17	6332.00	6770.10	USD	-10,952.50	
02AUG17	B	4	PD02NOV17	6337.50	6770.10	USD	43,260.00	
02AUG17	B	1	PD02NOV17	6339.00	6770.10	USD	10,777.50	
	NET	0 AVG BGHT 6337.80	SOLD 6333.80				-500.00	0.00
29AUG17	S	3	PD29NOV17	6804.50	6783.18	USD	1,599.00	
29AUG17	S	1	PD29NOV17	6793.00	6783.18	USD	245.50	
29AUG17	S	1	PD29NOV17	6792.00	6783.18	USD	220.50	
29AUG17	S	1	PD29NOV17	6801.00	6783.18	USD	445.50	
29AUG17	S	5	PD29NOV17	6791.50	6783.18	USD	1,040.00	
29AUG17	S	1	PD29NOV17	6799.00	6783.18	USD	395.50	
29AUG17	S	8	PD29NOV17	6800.00	6783.18	USD	3,364.00	
29AUG17	S	4	PD29NOV17	6787.00	6783.18	USD	382.00	
29AUG17	S	2	PD29NOV17	6804.00	6783.18	USD	1,041.00	
29AUG17	S	3	PD29NOV17	6803.50	6783.18	USD	1,524.00	
29AUG17	S	2	PD29NOV17	6802.50	6783.18	USD	966.00	
29AUG17	S	3	PD29NOV17	6788.00	6783.18	USD	361.50	
29AUG17	S	2	PD29NOV17	6794.00	6783.18	USD	541.00	
29AUG17	S	4	PD29NOV17	6786.00	6783.18	USD	282.00	
29AUG17	S	10	PD29NOV17	6806.00	6783.18	USD	5,705.00	
30AUG17	B	50	PD29NOV17	6768.95	6783.18	USD	17,787.50	
	NET	0 AVG BGHT 6768.95	SOLD 6797.67				35,900.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
9,990.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD	9,990.00	9,990.00	9,990.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	130,734.88	130,734.88
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	130,734.88	130,734.88
Initial Margins	0.00	0.00
Excess/(Shortage)	130,734.88	130,734.88
FOR INFORMATION ONLY		
Forward Unrealised P&L	9,990.00	9,990.00
Net Liquidating Value	140,724.88	140,724.88

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB090**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	130,734.88	130,734.88
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	130,734.88	130,734.88
Initial Margins	0.00	0.00
Excess/(Shortage)	130,734.88	130,734.88
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	9,990.00	9,990.00
Net Liquidating Value	140,724.88	140,724.88

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5917.00	6755.20	USD	41,910.00	
12JUL17	B	2	PD120CT17	5918.50	6755.20	USD	41,835.00	
12JUL17	B	1	PD120CT17	5919.50	6755.20	USD	20,892.50	
18SEP17	S	5	PD120CT17	6492.92	6755.20	USD	-32,785.00	
	NET	0 AVG	BGHT 5918.10				71,852.50	0.00
			SOLD 6492.92					
13JUL17	S	3	PD130CT17	5877.00	6756.00	USD	-65,925.00	
13JUL17	S	2	PD130CT17	5877.50	6756.00	USD	-43,925.00	
18SEP17	B	5	PD130CT17	6493.95	6756.00	USD	32,756.25	
	NET	0 AVG	BGHT 6493.95				-77,093.75	0.00
			SOLD 5877.20					
17JUL17	B	1	PD170CT17	6012.50	6759.20	USD	18,667.50	
17JUL17	B	2	PD170CT17	6014.50	6759.20	USD	37,235.00	
17JUL17	B	2	PD170CT17	6015.00	6759.20	USD	37,210.00	
17JUL17	B	2	PD170CT17	6009.00	6759.20	USD	37,510.00	
17JUL17	B	3	PD170CT17	6011.00	6759.20	USD	56,115.00	
05OCT17	S	10	PD170CT17	6666.56	6759.20	USD	-23,160.00	
	NET	0 AVG	BGHT 6012.25				163,577.50	0.00
			SOLD 6666.56					
18JUL17	B	2	PD180CT17	5978.50	6760.00	USD	39,075.00	
18JUL17	B	1	PD180CT17	5981.00	6760.00	USD	19,475.00	
18JUL17	B	3	PD180CT17	5982.00	6760.00	USD	58,350.00	
18JUL17	B	1	PD180CT17	5983.00	6760.00	USD	19,425.00	
18JUL17	B	1	PD180CT17	5986.00	6760.00	USD	19,350.00	
18JUL17	B	1	PD180CT17	5988.00	6760.00	USD	19,300.00	
18JUL17	B	1	PD180CT17	5987.00	6760.00	USD	19,325.00	
05OCT17	S	10	PD180CT17	6667.75	6760.00	USD	-23,062.50	
	NET	0 AVG	BGHT 5982.80				171,237.50	0.00
			SOLD 6667.75					
25JUL17	B	3	PD250CT17	6210.00	6765.68	USD	41,676.00	
25JUL17	B	2	PD250CT17	6210.50	6765.68	USD	27,759.00	
05OCT17	S	5	PD250CT17	6673.18	6765.68	USD	-11,562.50	
	NET	0 AVG	BGHT 6210.20				57,872.50	0.00
			SOLD 6673.18					
26JUL17	S	1	PD260CT17	6330.50	6766.11	USD	-10,890.25	
26JUL17	S	2	PD260CT17	6331.50	6766.11	USD	-21,730.50	
26JUL17	S	1	PD260CT17	6332.00	6766.11	USD	-10,852.75	
26JUL17	B	2	PD260CT17	6303.50	6766.11	USD	23,130.50	
26JUL17	B	3	PD260CT17	6306.00	6766.11	USD	34,508.25	
26JUL17	S	1	PD260CT17	6333.00	6766.11	USD	-10,827.75	
	NET	0 AVG	BGHT 6305.00				3,337.50	0.00
			SOLD 6331.70					
31JUL17	S	2	PD310CT17	6385.50	6768.25	USD	-19,137.50	
31JUL17	S	2	PD310CT17	6386.50	6768.25	USD	-19,087.50	
31JUL17	S	1	PD310CT17	6385.00	6768.25	USD	-9,581.25	
05OCT17	B	5	PD310CT17	6675.95	6768.25	USD	11,537.50	
	NET	0 AVG	BGHT 6675.95				-36,268.75	0.00
			SOLD 6385.80					
01AUG17	B	2	PD01NOV17	6351.50	6769.18	USD	20,884.00	
01AUG17	B	1	PD01NOV17	6352.00	6769.18	USD	10,429.50	
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	B	1	PD01NOV17	6351.00	6769.18	USD	10,454.50	
05OCT17	S	5	PD01NOV17	6676.56	6769.18	USD	-11,577.50	
	NET	0 AVG	BGHT 6351.30				40,657.50	0.00
			SOLD 6676.56					
02AUG17	S	1	PD02NOV17	6338.00	6770.10	USD	-10,802.50	
02AUG17	S	3	PD02NOV17	6338.50	6770.10	USD	-32,370.00	
02AUG17	S	1	PD02NOV17	6340.50	6770.10	USD	-10,740.00	
05OCT17	B	5	PD02NOV17	6677.58	6770.10	USD	11,565.00	
	NET	0 AVG	BGHT 6677.58				-42,347.50	0.00
			SOLD 6338.80					
14AUG17	S	1	PD14NOV17	6401.00	6776.83	USD	-9,395.75	
14AUG17	S	2	PD14NOV17	6400.00	6776.83	USD	-18,841.50	
14AUG17	S	2	PD14NOV17	6402.00	6776.83	USD	-18,741.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
05OCT17	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	B	5	PD14NOV17	6682.88	6776.83	USD	11,743.75	
	NET	0 AVG	BGHT 6682.88	SOLD 6401.00			-35,235.00	0.00
15AUG17	S	2	PD15NOV17	6367.00	6776.50	USD	-20,475.00	
15AUG17	S	2	PD15NOV17	6367.50	6776.50	USD	-20,450.00	
15AUG17	S	1	PD15NOV17	6368.50	6776.50	USD	-10,200.00	
05OCT17	B	5	PD15NOV17	6682.95	6776.50	USD	11,693.75	
	NET	0 AVG	BGHT 6682.95	SOLD 6367.50			-39,431.25	0.00
18AUG17	S	2	PD17NOV17	6501.50	6776.78	USD	-13,764.00	
18AUG17	S	3	PD17NOV17	6503.00	6776.78	USD	-20,533.50	
18AUG17	S	1	PD17NOV17	6505.00	6776.78	USD	-6,794.50	
18AUG17	S	3	PD17NOV17	6506.00	6776.78	USD	-20,308.50	
18AUG17	S	1	PD17NOV17	6505.50	6776.78	USD	-6,782.00	
18AUG17	S	2	PD17NOV17	6467.50	6776.78	USD	-15,464.00	
18AUG17	S	1	PD17NOV17	6468.50	6776.78	USD	-7,707.00	
18AUG17	S	1	PD17NOV17	6469.00	6776.78	USD	-7,694.50	
18AUG17	S	1	PD17NOV17	6470.50	6776.78	USD	-7,657.00	
18AUG17	S	1	PD17NOV17	6468.00	6776.78	USD	-7,719.50	
18AUG17	S	4	PD17NOV17	6469.50	6776.78	USD	-30,728.00	
18SEP17	B	20	PD17NOV17	6512.43	6776.78	USD	132,175.00	
	NET	0 AVG	BGHT 6512.43	SOLD 6486.48			-12,977.50	0.00
08SEP17	S	1	PD08DEC17	6823.00	6787.71	USD	882.25	
08SEP17	S	2	PD08DEC17	6823.50	6787.71	USD	1,789.50	
08SEP17	S	1	PD08DEC17	6824.00	6787.71	USD	907.25	
08SEP17	S	1	PD08DEC17	6827.50	6787.71	USD	994.75	
05OCT17	B	5	PD08DEC17	6694.20	6787.71	USD	11,688.75	
	NET	0 AVG	BGHT 6694.20	SOLD 6824.30			16,262.50	0.00
12SEP17	S	3	PD12DEC17	6660.00	6789.63	USD	-9,722.25	
12SEP17	S	1	PD12DEC17	6660.50	6789.63	USD	-3,228.25	
12SEP17	S	1	PD12DEC17	6661.00	6789.63	USD	-3,215.75	
05OCT17	B	5	PD12DEC17	6696.40	6789.63	USD	11,653.75	
	NET	0 AVG	BGHT 6696.40	SOLD 6660.30			-4,512.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
276,931.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	276,931.25	276,931.25	276,931.25	276,931.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

11th October 2017

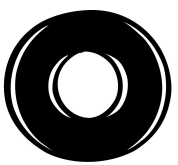
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-277,233.25	-277,233.25
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-277,233.25	-277,233.25
Initial Margins		0.00	0.00
Excess/(Shortage)		-277,233.25	-277,233.25
FOR INFORMATION ONLY			
Forward Unrealised P&L		276,931.25	276,931.25
Net Liquidating Value		-302.00	-302.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB096**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		-277,233.25	-277,233.25
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-277,233.25	-277,233.25
Initial Margins		0.00	0.00
Excess/(Shortage)		-277,233.25	-277,233.25
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		276,931.25	276,931.25
Net Liquidating Value		-302.00	-302.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	3,972,517.70	3,972,517.70
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	3,972,517.70	3,972,517.70
Initial Margins	0.00	0.00
Excess/(Shortage)	3,972,517.70	3,972,517.70
FOR INFORMATION ONLY		
Net Liquidating Value	3,972,517.70	3,972,517.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-170.00	-170.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-170.00	-170.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-170.00	-170.00
FOR INFORMATION ONLY		
Net Liquidating Value	-170.00	-170.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD130CT17	5867.50	6756.00	USD	-22,212.50	
13JUL17	S	4	PD130CT17	5868.00	6756.00	USD	-88,800.00	
14JUL17	S	2	PD130CT17	5878.00	6756.00	USD	-43,900.00	
14JUL17	S	1	PD130CT17	5879.00	6756.00	USD	-21,925.00	
14JUL17	S	1	PD130CT17	5879.50	6756.00	USD	-21,912.50	
14JUL17	S	2	PD130CT17	5880.00	6756.00	USD	-43,800.00	
14JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
14JUL17	S	4	PD130CT17	5881.50	6756.00	USD	-87,450.00	
14JUL17	S	4	PD130CT17	5882.00	6756.00	USD	-87,400.00	
14JUL17	S	2	PD130CT17	5882.50	6756.00	USD	-43,675.00	
14JUL17	S	2	PD130CT17	5883.00	6756.00	USD	-43,650.00	
14JUL17	S	1	PD130CT17	5883.50	6756.00	USD	-21,812.50	
26JUL17	B	25	PD130CT17	6328.39	6756.00	USD	267,256.25	
	NET	0AVG BGHT 6328.39	SOLD 5878.54				-281,156.25	0.00
17JUL17	B	1	PD170CT17	6003.50	6759.20	USD	18,892.50	
17JUL17	B	1	PD170CT17	6005.00	6759.20	USD	18,855.00	
17JUL17	B	1	PD170CT17	6006.00	6759.20	USD	18,830.00	
17JUL17	B	2	PD170CT17	6007.00	6759.20	USD	37,610.00	
17JUL17	B	1	PD170CT17	6007.50	6759.20	USD	18,792.50	
17JUL17	B	1	PD170CT17	6009.00	6759.20	USD	18,755.00	
17JUL17	B	1	PD170CT17	5999.50	6759.20	USD	18,992.50	
17JUL17	B	2	PD170CT17	6003.00	6759.20	USD	37,810.00	
17JUL17	B	5	PD170CT17	6000.00	6759.20	USD	94,900.00	
17JUL17	B	1	PD170CT17	6000.50	6759.20	USD	18,967.50	
17JUL17	B	2	PD170CT17	6001.50	6759.20	USD	37,885.00	
17JUL17	B	2	PD170CT17	6002.00	6759.20	USD	37,860.00	
17JUL17	B	4	PD170CT17	6001.00	6759.20	USD	75,820.00	
17JUL17	B	1	PD170CT17	6008.00	6759.20	USD	18,780.00	
26JUL17	S	25	PD170CT17	6329.48	6759.20	USD	-268,575.00	
	NET	0AVG BGHT 6002.80	SOLD 6329.48				204,175.00	0.00
18JUL17	B	1	PD180CT17	6002.50	6760.00	USD	18,937.50	
18JUL17	B	1	PD180CT17	6003.50	6760.00	USD	18,912.50	
18JUL17	B	2	PD180CT17	6004.00	6760.00	USD	37,800.00	
18JUL17	B	1	PD180CT17	6004.50	6760.00	USD	18,887.50	
18JUL17	B	1	PD180CT17	6005.00	6760.00	USD	18,875.00	
18JUL17	B	1	PD180CT17	6005.50	6760.00	USD	18,862.50	
18JUL17	B	1	PD180CT17	6009.00	6760.00	USD	18,775.00	
18JUL17	B	4	PD180CT17	6006.00	6760.00	USD	75,400.00	
18JUL17	B	1	PD180CT17	6006.50	6760.00	USD	18,837.50	
18JUL17	B	4	PD180CT17	6007.00	6760.00	USD	75,300.00	
18JUL17	B	3	PD180CT17	6008.00	6760.00	USD	56,400.00	
18JUL17	B	1	PD180CT17	6011.50	6760.00	USD	18,712.50	
18JUL17	B	1	PD180CT17	6012.00	6760.00	USD	18,700.00	
18JUL17	B	1	PD180CT17	6013.00	6760.00	USD	18,675.00	
18JUL17	B	1	PD180CT17	6013.50	6760.00	USD	18,662.50	
18JUL17	B	1	PD180CT17	6014.00	6760.00	USD	18,650.00	
26JUL17	S	25	PD180CT17	6329.80	6760.00	USD	-268,875.00	
	NET	0AVG BGHT 6007.38	SOLD 6329.80				201,512.50	0.00
20JUL17	B	4	PD200CT17	5973.00	6761.75	USD	78,875.00	
20JUL17	B	2	PD200CT17	5974.50	6761.75	USD	39,362.50	
20JUL17	B	1	PD200CT17	5966.00	6761.75	USD	19,893.75	
20JUL17	B	1	PD200CT17	5968.00	6761.75	USD	19,843.75	
20JUL17	B	1	PD200CT17	5969.00	6761.75	USD	19,818.75	
20JUL17	B	6	PD200CT17	5969.50	6761.75	USD	118,837.50	
20JUL17	B	2	PD200CT17	5970.00	6761.75	USD	39,587.50	
20JUL17	B	2	PD200CT17	5971.00	6761.75	USD	39,537.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5971.50	6761.75	USD	19,756.25	
20JUL17	B	5	PD200CT17	5972.00	6761.75	USD	98,718.75	
20JUL17	B	5	PD200CT17	5972.50	6761.75	USD	98,656.25	
26JUL17	S	30	PD200CT17	6327.80	6761.75	USD	-325,462.50	
	NET	0AVG BGHT 5971.23	SOLD 6327.80				267,425.00	0.00
24JUL17	S	4	PD240CT17	5991.00	6765.25	USD	-77,425.00	
24JUL17	S	1	PD240CT17	5992.00	6765.25	USD	-19,331.25	
24JUL17	S	1	PD240CT17	5993.00	6765.25	USD	-19,306.25	
24JUL17	S	1	PD240CT17	5996.00	6765.25	USD	-19,231.25	
24JUL17	S	1	PD240CT17	5998.50	6765.25	USD	-19,168.75	
24JUL17	S	1	PD240CT17	6000.50	6765.25	USD	-19,118.75	
24JUL17	S	1	PD240CT17	6002.00	6765.25	USD	-19,081.25	
24JUL17	S	1	PD240CT17	6011.50	6765.25	USD	-18,843.75	
24JUL17	S	1	PD240CT17	6012.50	6765.25	USD	-18,818.75	
24JUL17	S	1	PD240CT17	6014.00	6765.25	USD	-18,781.25	
24JUL17	S	3	PD240CT17	6011.00	6765.25	USD	-56,568.75	
24JUL17	S	2	PD240CT17	6012.00	6765.25	USD	-37,662.50	
24JUL17	S	1	PD240CT17	6013.00	6765.25	USD	-18,806.25	
24JUL17	S	1	PD240CT17	6014.50	6765.25	USD	-18,768.75	
24JUL17	S	1	PD240CT17	6020.50	6765.25	USD	-18,618.75	
24JUL17	S	4	PD240CT17	6021.50	6765.25	USD	-74,375.00	
24JUL17	S	3	PD240CT17	6022.00	6765.25	USD	-55,743.75	
24JUL17	S	1	PD240CT17	6026.00	6765.25	USD	-18,481.25	
24JUL17	S	1	PD240CT17	6023.00	6765.25	USD	-18,556.25	
27JUL17	B	30	PD240CT17	6329.14	6765.25	USD	327,082.50	
	NET	0AVG BGHT 6329.14	SOLD 6009.67				-239,605.00	0.00
25JUL17	B	1	PD250CT17	6225.50	6765.68	USD	13,504.50	
25JUL17	B	1	PD250CT17	6196.50	6765.68	USD	14,229.50	
25JUL17	B	1	PD250CT17	6197.00	6765.68	USD	14,217.00	
25JUL17	B	1	PD250CT17	6205.00	6765.68	USD	14,017.00	
25JUL17	B	2	PD250CT17	6206.00	6765.68	USD	27,984.00	
25JUL17	B	1	PD250CT17	6225.00	6765.68	USD	13,517.00	
25JUL17	B	1	PD250CT17	6209.00	6765.68	USD	13,917.00	
25JUL17	B	1	PD250CT17	6209.50	6765.68	USD	13,904.50	
25JUL17	B	3	PD250CT17	6213.00	6765.68	USD	41,451.00	
25JUL17	B	1	PD250CT17	6214.00	6765.68	USD	13,792.00	
25JUL17	B	2	PD250CT17	6222.50	6765.68	USD	27,159.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	1	PD250CT17	6223.50	6765.68	USD	13,554.50	
25JUL17	B	2	PD250CT17	6226.00	6765.68	USD	26,984.00	
25JUL17	B	1	PD250CT17	6226.50	6765.68	USD	13,479.50	
27JUL17	S	20	PD250CT17	6329.23	6765.68	USD	-218,225.00	
	NET	0AVG BGHT 6215.13	SOLD 6329.23				57,052.50	0.00
26JUL17	B	5	PD260CT17	6351.50	6766.11	USD	51,826.25	
26JUL17	B	1	PD260CT17	6355.50	6766.11	USD	10,265.25	
26JUL17	B	4	PD260CT17	6356.00	6766.11	USD	41,011.00	
26JUL17	S	2	PD260CT17	6349.00	6766.11	USD	-20,855.50	
26JUL17	S	2	PD260CT17	6352.50	6766.11	USD	-20,680.50	
26JUL17	B	2	PD260CT17	6305.50	6766.11	USD	23,030.50	
26JUL17	B	1	PD260CT17	6306.00	6766.11	USD	11,502.75	
26JUL17	B	1	PD260CT17	6307.50	6766.11	USD	11,465.25	
26JUL17	B	1	PD260CT17	6308.50	6766.11	USD	11,440.25	
26JUL17	S	1	PD260CT17	6352.00	6766.11	USD	-10,352.75	
26JUL17	B	5	PD260CT17	6354.00	6766.11	USD	51,513.75	
26JUL17	B	1	PD260CT17	6279.00	6766.11	USD	12,177.75	
26JUL17	B	1	PD260CT17	6280.00	6766.11	USD	12,152.75	

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26JUL17	B	1	PD26OCT17	6285.00	6766.11	USD	12,027.75	
26JUL17	B	1	PD26OCT17	6286.00	6766.11	USD	12,002.75	
27JUL17	S	11	PD26OCT17	6329.51	6766.11	USD	-120,065.00	
09AUG17	S	8	PD26OCT17	6449.57	6766.11	USD	-63,308.00	
	NET	0 AVG BGHT 6332.08	SOLD 6374.01				25,154.25	0.00
28JUL17	S	2	PD27OCT17	6319.00	6766.54	USD	-22,377.00	
28JUL17	S	1	PD27OCT17	6320.50	6766.54	USD	-11,151.00	
28JUL17	S	1	PD27OCT17	6321.00	6766.54	USD	-11,138.50	
28JUL17	S	3	PD27OCT17	6322.00	6766.54	USD	-33,340.50	
28JUL17	S	1	PD27OCT17	6323.50	6766.54	USD	-11,076.00	
28JUL17	S	1	PD27OCT17	6324.50	6766.54	USD	-11,051.00	
28JUL17	S	1	PD27OCT17	6328.00	6766.54	USD	-10,963.50	
28JUL17	S	1	PD27OCT17	6331.00	6766.54	USD	-10,888.50	
28JUL17	S	3	PD27OCT17	6326.50	6766.54	USD	-33,003.00	
28JUL17	S	3	PD27OCT17	6327.00	6766.54	USD	-32,965.50	
28JUL17	S	1	PD27OCT17	6329.00	6766.54	USD	-10,938.50	
28JUL17	S	2	PD27OCT17	6329.50	6766.54	USD	-21,852.00	
28JUL17	S	2	PD27OCT17	6330.00	6766.54	USD	-21,827.00	
28JUL17	S	2	PD27OCT17	6330.50	6766.54	USD	-21,802.00	
04AUG17	B	12	PD27OCT17	6370.25	6766.54	USD	118,887.00	
09AUG17	B	8	PD27OCT17	6450.20	6766.54	USD	63,268.00	
10AUG17	B	4	PD27OCT17	6417.45	6766.54	USD	34,909.00	
	NET	0 AVG BGHT 6404.77	SOLD 6325.92				-47,310.00	0.00
31JUL17	S	1	PD31OCT17	6363.00	6768.25	USD	-10,131.25	
31JUL17	S	1	PD31OCT17	6367.50	6768.25	USD	-10,018.75	
31JUL17	S	4	PD31OCT17	6368.50	6768.25	USD	-39,975.00	
31JUL17	S	2	PD31OCT17	6369.00	6768.25	USD	-19,962.50	
31JUL17	S	5	PD31OCT17	6369.50	6768.25	USD	-49,843.75	
31JUL17	S	1	PD31OCT17	6371.00	6768.25	USD	-9,931.25	
31JUL17	S	1	PD31OCT17	6372.00	6768.25	USD	-9,906.25	
31JUL17	S	1	PD31OCT17	6365.00	6768.25	USD	-10,081.25	
31JUL17	S	1	PD31OCT17	6366.00	6768.25	USD	-10,056.25	
31JUL17	S	1	PD31OCT17	6367.00	6768.25	USD	-10,031.25	
31JUL17	S	2	PD31OCT17	6366.50	6768.25	USD	-20,087.50	
10AUG17	B	20	PD31OCT17	6419.09	6768.25	USD	174,580.00	
	NET	0 AVG BGHT 6419.09	SOLD 6368.20				-25,445.00	0.00
01AUG17	B	1	PD01NOV17	6339.50	6769.18	USD	10,742.00	
01AUG17	B	1	PD01NOV17	6341.50	6769.18	USD	10,692.00	
01AUG17	B	3	PD01NOV17	6342.50	6769.18	USD	32,001.00	
04AUG17	S	5	PD01NOV17	6371.30	6769.18	USD	-49,735.00	
	NET	0 AVG BGHT 6341.70	SOLD 6371.30				3,700.00	0.00
02AUG17	B	1	PD02NOV17	6357.50	6770.10	USD	10,315.00	
02AUG17	B	1	PD02NOV17	6357.00	6770.10	USD	10,327.50	
02AUG17	B	5	PD02NOV17	6359.50	6770.10	USD	51,325.00	
04AUG17	S	7	PD02NOV17	6371.55	6770.10	USD	-69,746.25	
	NET	0 AVG BGHT 6358.86	SOLD 6371.55				2,221.25	0.00
07AUG17	S	1	PD07NOV17	6412.00	6774.73	USD	-9,068.25	
07AUG17	S	1	PD07NOV17	6413.00	6774.73	USD	-9,043.25	
14AUG17	B	2	PD07NOV17	6394.71	6774.73	USD	19,001.00	
	NET	0 AVG BGHT 6394.71	SOLD 6412.50				889.50	0.00
08AUG17	S	1	PD08NOV17	6424.50	6775.65	USD	-8,778.75	
08AUG17	S	1	PD08NOV17	6426.50	6775.65	USD	-8,728.75	
08AUG17	S	1	PD08NOV17	6427.00	6775.65	USD	-8,716.25	
08AUG17	S	2	PD08NOV17	6428.00	6775.65	USD	-17,382.50	
14AUG17	B	5	PD08NOV17	6395.07	6775.65	USD	47,572.50	
	NET	0 AVG BGHT 6395.07	SOLD 6426.80					0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>						3,966.25	
09AUG17	B	1	PD09NOV17	6484.00	6776.58	USD	7,314.50	
09AUG17	B	1	PD09NOV17	6484.50	6776.58	USD	7,302.00	
09AUG17	B	1	PD09NOV17	6485.50	6776.58	USD	7,277.00	
09AUG17	B	2	PD09NOV17	6486.00	6776.58	USD	14,529.00	
09AUG17	B	1	PD09NOV17	6487.50	6776.58	USD	7,227.00	
09AUG17	B	1	PD09NOV17	6488.00	6776.58	USD	7,214.50	
09AUG17	B	1	PD09NOV17	6488.50	6776.58	USD	7,202.00	
09AUG17	B	4	PD09NOV17	6489.50	6776.58	USD	28,708.00	
09AUG17	B	3	PD09NOV17	6490.00	6776.58	USD	21,493.50	
09AUG17	B	1	PD09NOV17	6490.50	6776.58	USD	7,152.00	
09AUG17	B	4	PD09NOV17	6491.00	6776.58	USD	28,558.00	
09AUG17	B	3	PD09NOV17	6491.50	6776.58	USD	21,381.00	
09AUG17	B	1	PD09NOV17	6492.00	6776.58	USD	7,114.50	
09AUG17	B	1	PD09NOV17	6492.50	6776.58	USD	7,102.00	
30AUG17	S	5	PD09NOV17	6764.80	6776.58	USD	-1,472.50	
07SEP17	S	20	PD09NOV17	6889.40	6776.58	USD	56,410.00	
	NET	0 AVG BGHT 6489.26	SOLD 6864.48				234,512.50	0.00
11AUG17	B	2	PD10NOV17	6426.50	6777.50	USD	17,550.00	
11AUG17	B	3	PD10NOV17	6420.00	6777.50	USD	26,812.50	
11AUG17	B	5	PD10NOV17	6421.00	6777.50	USD	44,562.50	
11AUG17	B	1	PD10NOV17	6422.00	6777.50	USD	8,887.50	
11AUG17	B	3	PD10NOV17	6423.00	6777.50	USD	26,587.50	
11AUG17	B	1	PD10NOV17	6423.50	6777.50	USD	8,850.00	
11AUG17	B	1	PD10NOV17	6424.50	6777.50	USD	8,825.00	
11AUG17	B	7	PD10NOV17	6425.00	6777.50	USD	61,687.50	
11AUG17	B	2	PD10NOV17	6421.50	6777.50	USD	17,800.00	
18SEP17	S	15	PD10NOV17	6508.92	6777.50	USD	-100,717.50	
26SEP17	S	5	PD10NOV17	6387.75	6777.50	USD	-48,718.75	
11OCT17	S	5	PD10NOV17	6777.50	6777.50	USD	0.00	
	NET	0 AVG BGHT 6423.00	SOLD 6538.40				72,126.25	0.00
14AUG17	S	2	PD14NOV17	6422.00	6776.83	USD	-17,741.50	
14AUG17	S	1	PD14NOV17	6425.00	6776.83	USD	-8,795.75	
14AUG17	S	2	PD14NOV17	6423.00	6776.83	USD	-17,691.50	
14AUG17	S	1	PD14NOV17	6420.50	6776.83	USD	-8,908.25	
14AUG17	S	2	PD14NOV17	6421.00	6776.83	USD	-17,791.50	
14AUG17	S	2	PD14NOV17	6425.50	6776.83	USD	-17,566.50	
14AUG17	S	1	PD14NOV17	6393.50	6776.83	USD	-9,583.25	
14AUG17	S	1	PD14NOV17	6391.00	6776.83	USD	-9,645.75	
14AUG17	S	2	PD14NOV17	6392.00	6776.83	USD	-19,241.50	
14AUG17	S	1	PD14NOV17	6381.00	6776.83	USD	-9,895.75	
17AUG17	B	10	PD14NOV17	6491.95	6776.83	USD	71,220.00	
21AUG17	B	5	PD14NOV17	6586.70	6776.83	USD	23,766.25	
	NET	0 AVG BGHT 6523.53	SOLD 6411.87				-41,875.00	0.00
16AUG17	S	1	PD16NOV17	6371.00	6776.25	USD	-10,131.25	
16AUG17	S	2	PD16NOV17	6382.50	6776.25	USD	-19,687.50	
16AUG17	S	2	PD16NOV17	6383.00	6776.25	USD	-19,662.50	
16AUG17	S	1	PD16NOV17	6384.00	6776.25	USD	-9,806.25	
16AUG17	S	2	PD16NOV17	6384.50	6776.25	USD	-19,587.50	
16AUG17	S	1	PD16NOV17	6385.50	6776.25	USD	-9,768.75	
16AUG17	S	1	PD16NOV17	6386.00	6776.25	USD	-9,756.25	
16AUG17	S	1	PD16NOV17	6386.50	6776.25	USD	-9,743.75	
16AUG17	S	2	PD16NOV17	6390.00	6776.25	USD	-19,312.50	
16AUG17	S	1	PD16NOV17	6410.00	6776.25	USD	-9,156.25	
16AUG17	S	1	PD16NOV17	6418.00	6776.25	USD	-8,956.25	
16AUG17	S	1	PD16NOV17	6420.50	6776.25	USD	-8,893.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
16AUG17	S	1	PD16NOV17		6420.00	6776.25	USD	-8,906.25	
16AUG17	B	1	PD16NOV17		6546.50	6776.25	USD	5,743.75	
16AUG17	B	2	PD16NOV17		6548.00	6776.25	USD	11,412.50	
16AUG17	B	1	PD16NOV17		6558.00	6776.25	USD	5,456.25	
16AUG17	B	1	PD16NOV17		6558.50	6776.25	USD	5,443.75	
21AUG17	B	12	PD16NOV17		6584.70	6776.25	USD	57,465.00	
	NET	0AVG	BGHT 6575.02	SOLD 6391.85				-77,847.50	0.00
17AUG17	B	1	PD17NOV17		6525.50	6776.78	USD	6,282.00	
17AUG17	B	1	PD17NOV17		6526.00	6776.78	USD	6,269.50	
17AUG17	B	2	PD17NOV17		6528.00	6776.78	USD	12,439.00	
17AUG17	B	1	PD17NOV17		6529.00	6776.78	USD	6,194.50	
17AUG17	B	1	PD17NOV17		6530.50	6776.78	USD	6,157.00	
17AUG17	B	3	PD17NOV17		6531.00	6776.78	USD	18,433.50	
17AUG17	B	1	PD17NOV17		6532.50	6776.78	USD	6,107.00	
17AUG17	B	1	PD17NOV17		6533.00	6776.78	USD	6,094.50	
17AUG17	B	1	PD17NOV17		6536.00	6776.78	USD	6,019.50	
18AUG17	S	1	PD17NOV17		6448.00	6776.78	USD	-8,219.50	
18AUG17	S	1	PD17NOV17		6449.00	6776.78	USD	-8,194.50	
18AUG17	S	1	PD17NOV17		6452.50	6776.78	USD	-8,107.00	
18AUG17	S	3	PD17NOV17		6453.00	6776.78	USD	-24,283.50	
18AUG17	S	1	PD17NOV17		6453.50	6776.78	USD	-8,082.00	
18AUG17	S	1	PD17NOV17		6454.50	6776.78	USD	-8,057.00	
18AUG17	S	3	PD17NOV17		6455.50	6776.78	USD	-24,096.00	
18AUG17	S	2	PD17NOV17		6454.00	6776.78	USD	-16,139.00	
18AUG17	S	1	PD17NOV17		6457.50	6776.78	USD	-7,982.00	
18AUG17	S	1	PD17NOV17		6487.50	6776.78	USD	-7,232.00	
18AUG17	S	4	PD17NOV17		6491.50	6776.78	USD	-28,528.00	
18AUG17	S	1	PD17NOV17		6492.50	6776.78	USD	-7,107.00	
18AUG17	S	2	PD17NOV17		6493.00	6776.78	USD	-14,189.00	
18AUG17	S	3	PD17NOV17		6489.50	6776.78	USD	-21,546.00	
18AUG17	S	1	PD17NOV17		6490.50	6776.78	USD	-7,157.00	
18AUG17	S	3	PD17NOV17		6491.00	6776.78	USD	-21,433.50	
18AUG17	S	3	PD17NOV17		6492.00	6776.78	USD	-21,358.50	
18AUG17	S	1	PD17NOV17		6494.00	6776.78	USD	-7,069.50	
18AUG17	S	1	PD17NOV17		6494.50	6776.78	USD	-7,057.00	
18AUG17	S	1	PD17NOV17		6496.00	6776.78	USD	-7,019.50	
18AUG17	S	1	PD17NOV17		6496.50	6776.78	USD	-7,007.00	
18AUG17	S	2	PD17NOV17		6497.50	6776.78	USD	-13,964.00	
18AUG17	S	1	PD17NOV17		6499.50	6776.78	USD	-6,932.00	
18AUG17	S	3	PD17NOV17		6451.00	6776.78	USD	-24,433.50	
18AUG17	S	3	PD17NOV17		6451.50	6776.78	USD	-24,396.00	
21AUG17	B	3	PD17NOV17		6585.00	6776.78	USD	14,383.50	
23AUG17	B	20	PD17NOV17		6563.89	6776.78	USD	106,445.00	
30AUG17	B	10	PD17NOV17		6765.75	6776.78	USD	2,757.50	
	NET	0AVG	BGHT 6601.15	SOLD 6474.92				-142,007.50	0.00
21AUG17	S	2	PD21NOV17		6507.00	6778.92	USD	-13,596.00	
21AUG17	S	2	PD21NOV17		6507.50	6778.92	USD	-13,571.00	
21AUG17	S	2	PD21NOV17		6508.00	6778.92	USD	-13,546.00	
21AUG17	S	1	PD21NOV17		6509.00	6778.92	USD	-6,748.00	
21AUG17	S	1	PD21NOV17		6506.50	6778.92	USD	-6,810.50	
21AUG17	S	2	PD21NOV17		6508.50	6778.92	USD	-13,521.00	
21AUG17	B	1	PD21NOV17		6593.50	6778.92	USD	4,635.50	
21AUG17	B	1	PD21NOV17		6598.00	6778.92	USD	4,523.00	
21AUG17	B	1	PD21NOV17		6598.50	6778.92	USD	4,510.50	
21AUG17	B	1	PD21NOV17		6595.50	6778.92	USD	4,585.50	
21AUG17	B	1	PD21NOV17		6596.50	6778.92	USD	4,560.50	
21AUG17	B	2	PD21NOV17		6597.00	6778.92	USD	9,096.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6600.00	6778.92	USD	8,946.00	
21AUG17	B	1	PD21NOV17	6617.00	6778.92	USD	4,048.00	
	NET	0AVG	BGHT 6599.30				-22,887.50	0.00
			SOLD 6507.75					
22AUG17	B	2	PD22NOV17	6588.50	6779.45	USD	9,547.50	
22AUG17	B	1	PD22NOV17	6589.50	6779.45	USD	4,748.75	
22AUG17	B	1	PD22NOV17	6590.50	6779.45	USD	4,723.75	
22AUG17	B	1	PD22NOV17	6605.00	6779.45	USD	4,361.25	
22AUG17	B	1	PD22NOV17	6606.00	6779.45	USD	4,336.25	
22AUG17	B	1	PD22NOV17	6607.00	6779.45	USD	4,311.25	
22AUG17	B	1	PD22NOV17	6607.50	6779.45	USD	4,298.75	
22AUG17	B	1	PD22NOV17	6621.50	6779.45	USD	3,948.75	
22AUG17	B	1	PD22NOV17	6622.00	6779.45	USD	3,936.25	
22AUG17	B	1	PD22NOV17	6624.00	6779.45	USD	3,886.25	
22AUG17	B	1	PD22NOV17	6626.00	6779.45	USD	3,836.25	
22AUG17	B	1	PD22NOV17	6626.50	6779.45	USD	3,823.75	
22AUG17	B	1	PD22NOV17	6628.00	6779.45	USD	3,786.25	
22AUG17	B	1	PD22NOV17	6628.50	6779.45	USD	3,773.75	
22AUG17	B	2	PD22NOV17	6629.00	6779.45	USD	7,522.50	
22AUG17	B	1	PD22NOV17	6629.50	6779.45	USD	3,748.75	
22AUG17	B	1	PD22NOV17	6581.00	6779.45	USD	4,961.25	
22AUG17	B	2	PD22NOV17	6585.50	6779.45	USD	9,697.50	
22AUG17	B	2	PD22NOV17	6586.00	6779.45	USD	9,672.50	
22AUG17	B	1	PD22NOV17	6586.50	6779.45	USD	4,823.75	
22AUG17	B	2	PD22NOV17	6585.00	6779.45	USD	9,722.50	
22AUG17	B	1	PD22NOV17	6587.00	6779.45	USD	4,811.25	
22AUG17	B	1	PD22NOV17	6584.00	6779.45	USD	4,886.25	
22AUG17	B	2	PD22NOV17	6584.50	6779.45	USD	9,747.50	
30AUG17	S	10	PD22NOV17	6766.80	6779.45	USD	-3,162.50	
26SEP17	S	9	PD22NOV17	6394.44	6779.45	USD	-86,627.25	
11OCT17	S	11	PD22NOV17	6779.45	6779.45	USD	0.00	
	NET	0AVG	BGHT 6602.23				43,122.75	0.00
			SOLD 6659.73					
25AUG17	S	1	PD24NOV17	6736.00	6780.52	USD	-1,113.00	
25AUG17	S	1	PD24NOV17	6736.50	6780.52	USD	-1,100.50	
25AUG17	S	1	PD24NOV17	6739.00	6780.52	USD	-1,038.00	
25AUG17	S	1	PD24NOV17	6739.50	6780.52	USD	-1,025.50	
25AUG17	S	1	PD24NOV17	6740.50	6780.52	USD	-1,000.50	
30AUG17	B	5	PD24NOV17	6767.70	6780.52	USD	1,602.50	
	NET	0AVG	BGHT 6767.70				-3,675.00	0.00
			SOLD 6738.30					
07SEP17	S	1	PD07DEC17	6905.00	6787.23	USD	2,944.25	
07SEP17	S	1	PD07DEC17	6906.00	6787.23	USD	2,969.25	
07SEP17	S	2	PD07DEC17	6906.50	6787.23	USD	5,963.50	
07SEP17	S	2	PD07DEC17	6908.00	6787.23	USD	6,038.50	
07SEP17	S	2	PD07DEC17	6908.50	6787.23	USD	6,063.50	
07SEP17	S	3	PD07DEC17	6909.00	6787.23	USD	9,132.75	
07SEP17	S	3	PD07DEC17	6910.00	6787.23	USD	9,207.75	
07SEP17	S	2	PD07DEC17	6910.50	6787.23	USD	6,163.50	
07SEP17	S	2	PD07DEC17	6911.50	6787.23	USD	6,213.50	
07SEP17	S	1	PD07DEC17	6912.00	6787.23	USD	3,119.25	
07SEP17	S	2	PD07DEC17	6913.00	6787.23	USD	6,288.50	
07SEP17	S	4	PD07DEC17	6912.50	6787.23	USD	12,527.00	
07SEP17	S	2	PD07DEC17	6859.50	6787.23	USD	3,613.50	
07SEP17	S	2	PD07DEC17	6862.00	6787.23	USD	3,738.50	
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	S	2	PD07DEC17	6865.50	6787.23	USD	3,913.50	
07SEP17	S	1	PD07DEC17	6862.50	6787.23	USD	1,881.75	
07SEP17	S	1	PD07DEC17	6866.00	6787.23	USD	1,969.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	2	PD07DEC17	6866.50	6787.23	USD	3,963.50	
07SEP17	S	3	PD07DEC17	6867.00	6787.23	USD	5,982.75	
07SEP17	S	2	PD07DEC17	6867.50	6787.23	USD	4,013.50	
07SEP17	S	4	PD07DEC17	6868.00	6787.23	USD	8,077.00	
07SEP17	S	1	PD07DEC17	6869.50	6787.23	USD	2,056.75	
07SEP17	S	2	PD07DEC17	6870.00	6787.23	USD	4,138.50	
07SEP17	S	3	PD07DEC17	6870.50	6787.23	USD	6,245.25	
07SEP17	S	2	PD07DEC17	6871.50	6787.23	USD	4,213.50	
07SEP17	S	1	PD07DEC17	6872.00	6787.23	USD	2,119.25	
07SEP17	S	1	PD07DEC17	6873.50	6787.23	USD	2,156.75	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	1	PD07DEC17	6877.00	6787.23	USD	2,244.25	
07SEP17	B	20	PD07DEC17	6898.70	6787.23	USD	-55,735.00	
18SEP17	B	15	PD07DEC17	6521.96	6787.23	USD	99,476.25	
26SEP17	B	11	PD07DEC17	6403.24	6787.23	USD	105,597.25	
11OCT17	B	11	PD07DEC17	6787.43	6787.23	USD	-55.00	
	NET	0 AVG BGHT 6682.47	SOLD 6886.25				290,393.25	0.00
08SEP17	S	1	PD08DEC17	6743.50	6787.71	USD	-1,105.25	
08SEP17	S	1	PD08DEC17	6744.00	6787.71	USD	-1,092.75	
08SEP17	S	1	PD08DEC17	6745.50	6787.71	USD	-1,055.25	
18SEP17	B	2	PD08DEC17	6522.23	6787.71	USD	13,274.00	
26SEP17	B	1	PD08DEC17	6403.82	6787.71	USD	9,597.25	
	NET	0 AVG BGHT 6482.76	SOLD 6744.33				19,618.00	0.00
15SEP17	B	2	PD15DEC17	6496.50	6791.06	USD	14,728.00	
18SEP17	S	2	PD15DEC17	6525.37	6791.06	USD	-13,284.50	
	NET	0 AVG BGHT 6496.50	SOLD 6525.37				1,443.50	0.00
18SEP17	S	1	PD18DEC17	6534.50	6792.50	USD	-6,450.00	
18SEP17	S	2	PD18DEC17	6536.50	6792.50	USD	-12,800.00	
18SEP17	S	2	PD18DEC17	6535.50	6792.50	USD	-12,850.00	
26SEP17	B	2	PD18DEC17	6409.55	6792.50	USD	19,147.50	
11OCT17	B	3	PD18DEC17	6792.70	6792.50	USD	-15.00	
	NET	0 AVG BGHT 6639.44	SOLD 6535.70				-12,967.50	0.00
19SEP17	B	1	PD19DEC17	6527.00	6792.50	USD	6,637.50	
19SEP17	B	2	PD19DEC17	6530.50	6792.50	USD	13,100.00	
19SEP17	B	3	PD19DEC17	6532.00	6792.50	USD	19,537.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	B	1	PD19DEC17	6534.00	6792.50	USD	6,462.50	
19SEP17	B	1	PD19DEC17	6536.50	6792.50	USD	6,400.00	
19SEP17	B	1	PD19DEC17	6537.50	6792.50	USD	6,375.00	
19SEP17	B	1	PD19DEC17	6539.00	6792.50	USD	6,337.50	
19SEP17	B	1	PD19DEC17	6540.00	6792.50	USD	6,312.50	
19SEP17	B	3	PD19DEC17	6538.50	6792.50	USD	19,050.00	
19SEP17	B	1	PD19DEC17	6533.50	6792.50	USD	6,475.00	
19SEP17	B	2	PD19DEC17	6525.50	6792.50	USD	13,350.00	
19SEP17	B	3	PD19DEC17	6526.00	6792.50	USD	19,987.50	
19SEP17	B	2	PD19DEC17	6526.50	6792.50	USD	13,300.00	
19SEP17	B	1	PD19DEC17	6524.50	6792.50	USD	6,700.00	
19SEP17	B	1	PD19DEC17	6525.00	6792.50	USD	6,687.50	
11OCT17	S	25	PD19DEC17	6792.50	6792.50	USD	0.00	
	NET	0 AVG BGHT 6531.36	SOLD 6792.50				163,212.50	0.00
27SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
27SEP17	S	2	PD27DEC17	6467.00	6793.00	USD	-16,300.00	
27SEP17	S	3	PD27DEC17	6467.50	6793.00	USD	-24,412.50	
27SEP17	S	4	PD27DEC17	6468.00	6793.00	USD	-32,500.00	
27SEP17	S	4	PD27DEC17	6468.50	6793.00	USD	-32,450.00	
27SEP17	S	4	PD27DEC17	6469.00	6793.00	USD	-32,400.00	

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27SEP17	S	2	PD27DEC17	6469.50	6793.00	USD	-16,175.00	
27SEP17	S	1	PD27DEC17	6470.50	6793.00	USD	-8,062.50	
27SEP17	S	2	PD27DEC17	6463.50	6793.00	USD	-16,475.00	
27SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
27SEP17	S	3	PD27DEC17	6470.00	6793.00	USD	-24,225.00	
11OCT17	B	27	PD27DEC17	6793.20	6793.00	USD	-135.00	
	NET	0 AVG BGHT	6793.20	SOLD 6467.96			-219,535.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
476,213.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	476,213.75	476,213.75	476,213.75	476,213.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-381,197.80	-381,197.80
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-381,197.80	-381,197.80
Initial Margins	0.00	0.00
Excess/(Shortage)	-381,197.80	-381,197.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	476,213.75	476,213.75
Net Liquidating Value	95,015.95	95,015.95

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13JUL17	S	1	PD13OCT17	5864.00	6756.00	USD	-22,300.00	
13JUL17	S	3	PD13OCT17	5864.50	6756.00	USD	-66,862.50	
13JUL17	S	1	PD13OCT17	5866.50	6756.00	USD	-22,237.50	
14JUL17	S	1	PD13OCT17	5871.00	6756.00	USD	-22,125.00	
14JUL17	S	2	PD13OCT17	5871.50	6756.00	USD	-44,225.00	
14JUL17	S	1	PD13OCT17	5872.50	6756.00	USD	-22,087.50	
14JUL17	S	1	PD13OCT17	5873.00	6756.00	USD	-22,075.00	
18SEP17	B	10	PD13OCT17	6493.95	6756.00	USD	65,512.50	
	NET	0 AVG	BGHT 6493.95	SOLD 5868.35			-156,400.00	0.00
24AUG17	S	4	PD24NOV17	6630.50	6780.52	USD	-15,002.00	
24AUG17	S	1	PD24NOV17	6631.00	6780.52	USD	-3,738.00	
24AUG17	S	2	PD24NOV17	6629.00	6780.52	USD	-7,576.00	
24AUG17	S	1	PD24NOV17	6629.50	6780.52	USD	-3,775.50	
24AUG17	S	2	PD24NOV17	6630.00	6780.52	USD	-7,526.00	
24AUG17	S	2	PD24NOV17	6704.50	6780.52	USD	-3,801.00	
24AUG17	S	1	PD24NOV17	6705.50	6780.52	USD	-1,875.50	
24AUG17	S	2	PD24NOV17	6706.50	6780.52	USD	-3,701.00	
18SEP17	B	5	PD24NOV17	6515.76	6780.52	USD	33,095.00	
26SEP17	B	10	PD24NOV17	6395.79	6780.52	USD	96,182.50	
	NET	0 AVG	BGHT 6435.78	SOLD 6655.20			82,282.50	0.00
29AUG17	S	1	PD29NOV17	6792.50	6783.18	USD	233.00	
29AUG17	S	1	PD29NOV17	6793.50	6783.18	USD	258.00	
29AUG17	S	1	PD29NOV17	6794.00	6783.18	USD	270.50	
29AUG17	S	1	PD29NOV17	6795.50	6783.18	USD	308.00	
29AUG17	S	1	PD29NOV17	6796.50	6783.18	USD	333.00	
26SEP17	B	5	PD29NOV17	6398.66	6783.18	USD	48,065.00	
	NET	0 AVG	BGHT 6398.66	SOLD 6794.40			49,467.50	0.00
31AUG17	B	1	PD30NOV17	6799.50	6783.72	USD	-394.50	
31AUG17	S	1	PD30NOV17	6797.50	6783.72	USD	344.50	
31AUG17	S	1	PD30NOV17	6798.50	6783.72	USD	369.50	
31AUG17	S	1	PD30NOV17	6799.00	6783.72	USD	382.00	
31AUG17	S	3	PD30NOV17	6799.50	6783.72	USD	1,183.50	
26SEP17	B	5	PD30NOV17	6399.23	6783.72	USD	48,061.25	
	NET	0 AVG	BGHT 6465.94	SOLD 6798.92			49,946.25	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
25,296.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	25,296.25	25,296.25	25,296.25	25,296.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-19,837.45	-19,837.45
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-19,837.45	-19,837.45
Initial Margins	0.00	0.00
Excess/(Shortage)	-19,837.45	-19,837.45
FOR INFORMATION ONLY		
Forward Unrealised P&L	25,296.25	25,296.25
Net Liquidating Value	5,458.80	5,458.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX							
27SEP17	B	2	DEC17	1297.2	1288.9	USD	-1,660.00	
27SEP17	B	3	DEC17	1297.1	1288.9	USD	-2,460.00	
27SEP17	B	5	DEC17	1297.6	1288.9	USD	-4,350.00	
27SEP17	B	5	DEC17	1296.2	1288.9	USD	-3,650.00	
29SEP17	B	3	DEC17	1288.5	1288.9	USD	120.00	
29SEP17	B	2	DEC17	1288.6	1288.9	USD	60.00	
29SEP17	B	2	DEC17	1288.4	1288.9	USD	100.00	
29SEP17	B	3	DEC17	1288.3	1288.9	USD	180.00	
29SEP17	B	10	DEC17	1288.2	1288.9	USD	700.00	
09OCT17	B	5	DEC17	1281.2	1288.9	USD	3,850.00	
09OCT17	B	5	DEC17	1281.7	1288.9	USD	3,600.00	
09OCT17	B	5	DEC17	1281.5	1288.9	USD	3,700.00	
09OCT17	B	5	DEC17	1282.2	1288.9	USD	3,350.00	
09OCT17	B	15	DEC17	1282.1	1288.9	USD	10,200.00	
09OCT17	B	1	DEC17	1281.9	1288.9	USD	700.00	
09OCT17	B	4	DEC17	1281.8	1288.9	USD	2,840.00	
	NET	+75 AVG BGHT 1286.6					17,280.00	0.00

OPEN POSITION VALUE

			USD
CMX Gold	FUTURES	-9,649,470.00	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	17,280.00	17,280.00	17,280.00	17,280.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-102,058.00	-102,058.00
Variation Margins - Futures	17,280.00	17,280.00
- Options	0.00	0.00
TOTAL EQUITY	-84,778.00	-84,778.00
Initial Margins	-367,500.00	-367,500.00
Excess/(Shortage)	-452,278.00	-452,278.00
FOR INFORMATION ONLY		
Net Liquidating Value	-84,778.00	-84,778.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	2	PD130CT17	5881.50	6756.00	USD	43,725.00	
14JUL17	S	2	PD130CT17	5881.50	6756.00	USD	-43,725.00	
14JUL17	S	4	PD130CT17	5882.00	6756.00	USD	-87,400.00	
14JUL17	S	1	PD130CT17	5882.50	6756.00	USD	-21,837.50	
30AUG17	B	5	PD130CT17	6753.80	6756.00	USD	275.00	
	NET	0 AVG BGHT 6504.57	SOLD 5881.93				-108,962.50	0.00
17JUL17	S	2	PD170CT17	5926.50	6759.20	USD	-41,635.00	
17JUL17	S	2	PD170CT17	5927.50	6759.20	USD	-41,585.00	
30AUG17	B	4	PD170CT17	6755.72	6759.20	USD	348.00	
	NET	0 AVG BGHT 6755.72	SOLD 5927.00				-82,872.00	0.00
19JUL17	B	1	PD190CT17	5980.50	6760.88	USD	19,509.50	
30AUG17	S	1	PD190CT17	6755.00	6760.88	USD	-147.00	
	NET	0 AVG BGHT 5980.50	SOLD 6755.00				19,362.50	0.00
20JUL17	B	1	PD200CT17	5960.50	6761.75	USD	20,031.25	
30AUG17	S	1	PD200CT17	6754.00	6761.75	USD	-193.75	
	NET	0 AVG BGHT 5960.50	SOLD 6754.00				19,837.50	0.00
25JUL17	S	1	PD250CT17	6090.50	6765.68	USD	-16,879.50	
25JUL17	S	1	PD250CT17	6181.00	6765.68	USD	-14,617.00	
25JUL17	B	5	PD250CT17	6200.00	6765.68	USD	70,710.00	
25JUL17	B	3	PD250CT17	6229.50	6765.68	USD	40,213.50	
25JUL17	B	2	PD250CT17	6230.00	6765.68	USD	26,784.00	
25JUL17	B	2	PD250CT17	6232.50	6765.68	USD	26,659.00	
25JUL17	S	2	PD250CT17	6228.00	6765.68	USD	-26,884.00	
30AUG17	S	8	PD250CT17	6756.70	6765.68	USD	-1,796.00	
	NET	0 AVG BGHT 6217.79	SOLD 6565.09				104,190.00	0.00
26JUL17	B	2	PD260CT17	6301.50	6766.11	USD	23,230.50	
26JUL17	B	2	PD260CT17	6317.00	6766.11	USD	22,455.50	
26JUL17	S	1	PD260CT17	6335.00	6766.11	USD	-10,777.75	
30AUG17	S	3	PD260CT17	6757.24	6766.11	USD	-665.25	
	NET	0 AVG BGHT 6309.25	SOLD 6651.68				34,243.00	0.00
28JUL17	S	3	PD270CT17	6326.50	6766.54	USD	-33,003.00	
28JUL17	S	5	PD270CT17	6323.00	6766.54	USD	-55,442.50	
28JUL17	S	2	PD270CT17	6329.00	6766.54	USD	-21,877.00	
28JUL17	S	5	PD270CT17	6330.00	6766.54	USD	-54,567.50	
30AUG17	B	15	PD270CT17	6757.98	6766.54	USD	3,210.00	
	NET	0 AVG BGHT 6757.98	SOLD 6326.83				-161,680.00	0.00
11AUG17	B	1	PD10NOV17	6347.00	6777.50	USD	10,762.50	
30AUG17	S	1	PD10NOV17	6765.34	6777.50	USD	-304.00	
	NET	0 AVG BGHT 6347.00	SOLD 6765.34				10,458.50	0.00
14AUG17	S	4	PD14NOV17	6416.00	6776.83	USD	-36,083.00	
14AUG17	S	3	PD14NOV17	6413.50	6776.83	USD	-27,249.75	
14AUG17	S	2	PD14NOV17	6414.00	6776.83	USD	-18,141.50	
14AUG17	S	1	PD14NOV17	6423.50	6776.83	USD	-8,833.25	
30AUG17	B	10	PD14NOV17	6767.70	6776.83	USD	2,282.50	
	NET	0 AVG BGHT 6767.70	SOLD 6415.60				-88,025.00	0.00
16AUG17	B	1	PD16NOV17	6543.50	6776.25	USD	5,818.75	
16AUG17	B	4	PD16NOV17	6544.00	6776.25	USD	23,225.00	
16AUG17	B	5	PD16NOV17	6547.00	6776.25	USD	28,656.25	
	NET	+10 AVG BGHT 6545.45					57,700.00	0.00
08SEP17	S	2	PD08DEC17	6910.50	6787.71	USD	6,139.50	
08SEP17	S	3	PD08DEC17	6911.50	6787.71	USD	9,284.25	
	NET	-5 AVG SOLD 6911.10					15,423.75	0.00
12SEP17	S	2	PD12DEC17	6651.00	6789.63	USD	-6,931.50	
12SEP17	S	2	PD12DEC17	6652.50	6789.63	USD	-6,856.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
12SEP17	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u> S 1 PD12DEC17 NET -5 AVG SOLD 6651.40	6650.00	6789.63	USD	-3,490.75 -17,278.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD -194,498.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-197,603.00	-197,603.00	-197,603.00	-197,603.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	176,820.40	176,820.40
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	176,820.40	176,820.40
Initial Margins	-200,069.00	-200,069.00
Excess/(Shortage)	-23,248.60	-23,248.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	-197,603.00	-197,603.00
Net Liquidating Value	-20,782.60	-20,782.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097F

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5969.00	6759.20	USD	-19,755.00	
17JUL17	S	1	PD17OCT17	5970.00	6759.20	USD	-19,730.00	
17JUL17	S	1	PD17OCT17	5974.00	6759.20	USD	-19,630.00	
17JUL17	S	2	PD17OCT17	5977.50	6759.20	USD	-39,085.00	
17JUL17	S	3	PD17OCT17	5979.50	6759.20	USD	-58,477.50	
17JUL17	S	2	PD17OCT17	5980.00	6759.20	USD	-38,960.00	
30AUG17	B	10	PD17OCT17	6755.72	6759.20	USD	870.00	
	NET	0 AVG	BGHT 6755.72	SOLD 5976.65			-194,767.50	0.00
25JUL17	B	1	PD25OCT17	6229.00	6765.68	USD	13,417.00	
25JUL17	B	1	PD25OCT17	6227.50	6765.68	USD	13,454.50	
25JUL17	B	1	PD25OCT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	1	PD25OCT17	6224.00	6765.68	USD	13,542.00	
25JUL17	B	3	PD25OCT17	6225.00	6765.68	USD	40,551.00	
25JUL17	B	3	PD25OCT17	6228.00	6765.68	USD	40,326.00	
30AUG17	S	10	PD25OCT17	6756.70	6765.68	USD	-2,245.00	
	NET	0 AVG	BGHT 6226.25	SOLD 6756.70			132,612.50	0.00
28JUL17	S	1	PD27OCT17	6323.00	6766.54	USD	-11,088.50	
28JUL17	S	2	PD27OCT17	6324.00	6766.54	USD	-22,127.00	
28JUL17	S	2	PD27OCT17	6325.00	6766.54	USD	-22,077.00	
28JUL17	S	1	PD27OCT17	6325.50	6766.54	USD	-11,026.00	
28JUL17	S	1	PD27OCT17	6326.00	6766.54	USD	-11,013.50	
28JUL17	S	3	PD27OCT17	6326.50	6766.54	USD	-33,003.00	
28JUL17	S	5	PD27OCT17	6327.00	6766.54	USD	-54,942.50	
28JUL17	S	3	PD27OCT17	6327.50	6766.54	USD	-32,928.00	
28JUL17	S	1	PD27OCT17	6329.50	6766.54	USD	-10,926.00	
28JUL17	S	1	PD27OCT17	6330.00	6766.54	USD	-10,913.50	
30AUG17	B	20	PD27OCT17	6757.98	6766.54	USD	4,280.00	
	NET	0 AVG	BGHT 6757.98	SOLD 6326.45			-215,765.00	0.00
04SEP17	B	2	PD04DEC17	6911.00	6785.75	USD	-6,262.50	
04SEP17	B	2	PD04DEC17	6911.50	6785.75	USD	-6,287.50	
04SEP17	B	5	PD04DEC17	6913.00	6785.75	USD	-15,906.25	
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
	NET	+10 AVG	BGHT 6912.70				-31,737.50	0.00
06SEP17	S	5	PD06DEC17	6893.00	6786.75	USD	13,281.25	
	NET	-5 AVG	SOLD 6893.00				13,281.25	0.00
08SEP17	S	1	PD08DEC17	6740.00	6787.71	USD	-1,192.75	
08SEP17	S	1	PD08DEC17	6744.50	6787.71	USD	-1,080.25	
08SEP17	S	1	PD08DEC17	6748.00	6787.71	USD	-992.75	
08SEP17	S	1	PD08DEC17	6737.00	6787.71	USD	-1,267.75	
08SEP17	S	1	PD08DEC17	6738.50	6787.71	USD	-1,230.25	
	NET	-5 AVG	SOLD 6741.60				-5,763.75	0.00

OPEN POSITION VALUE

USD

LME Copper Grade A 25 FUTURES

-301,770.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-302,140.00	-302,140.00	-302,140.00	-302,140.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	313,194.90	313,194.90
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	313,194.90	313,194.90
Initial Margins	-304,147.00	-304,147.00
Excess/(Shortage)	9,047.90	9,047.90
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-302,140.00	-302,140.00
Net Liquidating Value	11,054.90	11,054.90

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	2	PD27OCT17	6336.00	6766.54	USD	-21,527.00	
28JUL17	S	1	PD27OCT17	6337.00	6766.54	USD	-10,738.50	
28JUL17	S	1	PD27OCT17	6339.50	6766.54	USD	-10,676.00	
28JUL17	S	1	PD27OCT17	6342.00	6766.54	USD	-10,613.50	
29AUG17	B	5	PD27OCT17	6782.56	6766.54	USD	-2,002.50	
	NET	0AVG BGHT 6782.56	SOLD 6338.10				-55,557.50	0.00
31JUL17	S	1	PD31OCT17	6395.00	6768.25	USD	-9,331.25	
31JUL17	S	1	PD31OCT17	6395.50	6768.25	USD	-9,318.75	
31JUL17	S	1	PD31OCT17	6398.00	6768.25	USD	-9,256.25	
31JUL17	S	1	PD31OCT17	6399.00	6768.25	USD	-9,231.25	
31JUL17	S	2	PD31OCT17	6398.50	6768.25	USD	-18,487.50	
31JUL17	S	2	PD31OCT17	6399.50	6768.25	USD	-18,437.50	
31JUL17	S	3	PD31OCT17	6396.50	6768.25	USD	-27,881.25	
31JUL17	S	1	PD31OCT17	6397.50	6768.25	USD	-9,268.75	
31JUL17	S	1	PD31OCT17	6400.50	6768.25	USD	-9,193.75	
31JUL17	S	1	PD31OCT17	6401.00	6768.25	USD	-9,181.25	
31JUL17	S	1	PD31OCT17	6402.00	6768.25	USD	-9,156.25	
29AUG17	B	15	PD31OCT17	6784.48	6768.25	USD	-6,086.25	
	NET	0AVG BGHT 6784.48	SOLD 6398.27				-144,830.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
-200,387.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-200,387.50	-200,387.50	-200,387.50	-200,387.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	215,611.85	215,611.85
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	215,611.85	215,611.85
Initial Margins	-200,387.50	-200,387.50
Excess/(Shortage)	15,224.35	15,224.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	-200,387.50	-200,387.50
Net Liquidating Value	15,224.35	15,224.35

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	6347.50	6771.03	USD	-10,588.25	
03AUG17	S	1	PD03NOV17	6348.50	6771.03	USD	-10,563.25	
06OCT17	B	2	PD03NOV17	6637.28	6771.03	USD	6,687.50	
	NET	0 AVG BGHT 6637.28	SOLD 6348.00				-14,464.00	0.00
08AUG17	B	1	PD08NOV17	6482.00	6775.65	USD	7,341.25	
08AUG17	B	1	PD08NOV17	6484.00	6775.65	USD	7,291.25	
06OCT17	S	2	PD08NOV17	6641.30	6775.65	USD	-6,717.50	
	NET	0 AVG BGHT 6483.00	SOLD 6641.30				7,915.00	0.00
14AUG17	S	5	PD14NOV17	6390.50	6776.83	USD	-48,291.25	
24AUG17	B	5	PD14NOV17	6688.70	6776.83	USD	11,016.25	
	NET	0 AVG BGHT 6688.70	SOLD 6390.50				-37,275.00	0.00
15AUG17	S	1	PD15NOV17	6371.50	6776.50	USD	-10,125.00	
15AUG17	S	1	PD15NOV17	6372.50	6776.50	USD	-10,100.00	
15AUG17	S	1	PD15NOV17	6373.00	6776.50	USD	-10,087.50	
15AUG17	S	1	PD15NOV17	6360.50	6776.50	USD	-10,400.00	
15AUG17	S	1	PD15NOV17	6370.00	6776.50	USD	-10,162.50	
24AUG17	B	5	PD15NOV17	6688.70	6776.50	USD	10,975.00	
	NET	0 AVG BGHT 6688.70	SOLD 6369.50				-39,900.00	0.00

OPEN POSITION VALUE

			<u>USD</u>
LME Copper Grade A 25	FUTURES		-83,724.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	-83,724.00	-83,724.00	-83,724.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	89,962.05	89,962.05
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	89,962.05	89,962.05
Initial Margins	-83,724.00	-83,724.00
Excess/(Shortage)	6,238.05	6,238.05
FOR INFORMATION ONLY		
Forward Unrealised P&L	-83,724.00	-83,724.00
Net Liquidating Value	6,238.05	6,238.05

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00971

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	6482.50	6776.78	USD	-7,357.00	
17AUG17	S	1	PD17NOV17	6481.00	6776.78	USD	-7,394.50	
17AUG17	S	1	PD17NOV17	6482.00	6776.78	USD	-7,369.50	
17AUG17	S	2	PD17NOV17	6483.00	6776.78	USD	-14,689.00	
17AUG17	S	4	PD17NOV17	6484.00	6776.78	USD	-29,278.00	
17AUG17	S	1	PD17NOV17	6485.00	6776.78	USD	-7,294.50	
18AUG17	S	2	PD17NOV17	6493.00	6776.78	USD	-14,189.00	
18AUG17	S	2	PD17NOV17	6492.00	6776.78	USD	-14,239.00	
18AUG17	B	1	PD17NOV17	6506.00	6776.78	USD	6,769.50	
18AUG17	B	1	PD17NOV17	6503.00	6776.78	USD	6,844.50	
18AUG17	B	1	PD17NOV17	6501.00	6776.78	USD	6,894.50	
18AUG17	B	1	PD17NOV17	6498.50	6776.78	USD	6,957.00	
18AUG17	B	1	PD17NOV17	6496.50	6776.78	USD	7,007.00	
18AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
18AUG17	S	1	PD17NOV17	6478.50	6776.78	USD	-7,457.00	
18AUG17	S	1	PD17NOV17	6480.00	6776.78	USD	-7,419.50	
18AUG17	S	1	PD17NOV17	6462.00	6776.78	USD	-7,869.50	
18AUG17	S	1	PD17NOV17	6463.50	6776.78	USD	-7,832.00	
18AUG17	S	1	PD17NOV17	6465.50	6776.78	USD	-7,782.00	
18AUG17	S	2	PD17NOV17	6461.00	6776.78	USD	-15,789.00	
18AUG17	B	2	PD17NOV17	6481.50	6776.78	USD	14,764.00	
18AUG17	B	1	PD17NOV17	6480.00	6776.78	USD	7,419.50	
18AUG17	B	1	PD17NOV17	6480.50	6776.78	USD	7,407.00	
18AUG17	B	1	PD17NOV17	6483.50	6776.78	USD	7,332.00	
18AUG17	B	2	PD17NOV17	6467.50	6776.78	USD	15,464.00	
18AUG17	B	1	PD17NOV17	6468.50	6776.78	USD	7,707.00	
18AUG17	B	1	PD17NOV17	6462.00	6776.78	USD	7,869.50	
18AUG17	B	1	PD17NOV17	6461.00	6776.78	USD	7,894.50	
18AUG17	B	1	PD17NOV17	6463.50	6776.78	USD	7,832.00	
18AUG17	B	3	PD17NOV17	6469.50	6776.78	USD	23,046.00	
18AUG17	B	3	PD17NOV17	6474.50	6776.78	USD	22,671.00	
18AUG17	B	1	PD17NOV17	6475.50	6776.78	USD	7,532.00	
18AUG17	B	1	PD17NOV17	6477.50	6776.78	USD	7,482.00	
18AUG17	B	1	PD17NOV17	6476.50	6776.78	USD	7,507.00	
18AUG17	B	1	PD17NOV17	6486.50	6776.78	USD	7,257.00	
18AUG17	B	1	PD17NOV17	6487.50	6776.78	USD	7,232.00	
18AUG17	S	5	PD17NOV17	6481.00	6776.78	USD	-36,972.50	
	NET	0 AVG BGHT 6479.17	SOLD 6480.41				837.50	0.00
23AUG17	B	1	PD24NOV17	6568.50	6780.52	USD	5,300.50	
23AUG17	S	1	PD24NOV17	6566.00	6780.52	USD	-5,363.00	
23AUG17	S	1	PD24NOV17	6565.50	6780.52	USD	-5,375.50	
23AUG17	B	1	PD24NOV17	6575.00	6780.52	USD	5,138.00	
23AUG17	B	1	PD24NOV17	6555.00	6780.52	USD	5,638.00	
23AUG17	B	4	PD24NOV17	6553.00	6780.52	USD	22,752.00	
23AUG17	B	1	PD24NOV17	6588.00	6780.52	USD	4,813.00	
23AUG17	B	1	PD24NOV17	6585.50	6780.52	USD	4,875.50	
23AUG17	B	3	PD24NOV17	6586.00	6780.52	USD	14,589.00	
23AUG17	B	5	PD24NOV17	6587.00	6780.52	USD	24,190.00	
23AUG17	B	5	PD24NOV17	6586.50	6780.52	USD	24,252.50	
23AUG17	B	2	PD24NOV17	6585.00	6780.52	USD	9,776.00	
23AUG17	B	3	PD24NOV17	6584.00	6780.52	USD	14,739.00	
23AUG17	B	3	PD24NOV17	6583.00	6780.52	USD	14,814.00	
23AUG17	B	2	PD24NOV17	6582.00	6780.52	USD	9,926.00	
23AUG17	B	3	PD24NOV17	6581.00	6780.52	USD	14,964.00	
23AUG17	B	1	PD24NOV17	6580.50	6780.52	USD	5,000.50	
23AUG17	B	1	PD24NOV17	6579.50	6780.52	USD	5,025.50	
23AUG17	B	2	PD24NOV17	6578.50	6780.52	USD	10,101.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00971

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	2	PD24NOV17	6577.50	6780.52	USD	10,151.00	
23AUG17	B	1	PD24NOV17	6576.00	6780.52	USD	5,113.00	
23AUG17	B	2	PD24NOV17	6551.50	6780.52	USD	11,451.00	
23AUG17	S	1	PD24NOV17	6559.00	6780.52	USD	-5,538.00	
23AUG17	S	1	PD24NOV17	6551.00	6780.52	USD	-5,738.00	
24AUG17	S	1	PD24NOV17	6610.00	6780.52	USD	-4,263.00	
24AUG17	S	1	PD24NOV17	6608.50	6780.52	USD	-4,300.50	
24AUG17	S	1	PD24NOV17	6607.50	6780.52	USD	-4,325.50	
24AUG17	S	1	PD24NOV17	6616.50	6780.52	USD	-4,100.50	
24AUG17	B	1	PD24NOV17	6612.00	6780.52	USD	4,213.00	
24AUG17	S	1	PD24NOV17	6612.00	6780.52	USD	-4,213.00	
24AUG17	S	3	PD24NOV17	6610.50	6780.52	USD	-12,751.50	
24AUG17	S	5	PD24NOV17	6611.00	6780.52	USD	-21,190.00	
24AUG17	S	2	PD24NOV17	6611.50	6780.52	USD	-8,451.00	
24AUG17	S	2	PD24NOV17	6603.00	6780.52	USD	-8,876.00	
24AUG17	S	3	PD24NOV17	6602.00	6780.52	USD	-13,389.00	
24AUG17	S	1	PD24NOV17	6604.00	6780.52	USD	-4,413.00	
24AUG17	S	1	PD24NOV17	6601.50	6780.52	USD	-4,475.50	
24AUG17	S	1	PD24NOV17	6605.00	6780.52	USD	-4,388.00	
24AUG17	S	2	PD24NOV17	6606.00	6780.52	USD	-8,726.00	
24AUG17	S	2	PD24NOV17	6609.50	6780.52	USD	-8,551.00	
24AUG17	S	1	PD24NOV17	6617.50	6780.52	USD	-4,075.50	
24AUG17	S	3	PD24NOV17	6615.00	6780.52	USD	-12,414.00	
24AUG17	S	4	PD24NOV17	6614.00	6780.52	USD	-16,652.00	
24AUG17	S	2	PD24NOV17	6618.50	6780.52	USD	-8,101.00	
24AUG17	S	3	PD24NOV17	6619.50	6780.52	USD	-12,076.50	
24AUG17	S	1	PD24NOV17	6620.50	6780.52	USD	-4,000.50	
	NET	0 AVG BGHT 6578.90	SOLD 6606.52				31,075.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
31,912.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	31,912.50	31,912.50	31,912.50	31,912.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097I

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-27,464.10	-27,464.10
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-27,464.10	-27,464.10
Initial Margins	0.00	0.00
Excess/(Shortage)	-27,464.10	-27,464.10
FOR INFORMATION ONLY		
Forward Unrealised P&L	31,912.50	31,912.50
Net Liquidating Value	4,448.40	4,448.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6049.00	6761.75	USD	17,818.75	
21JUL17	B	2	PD200CT17	6051.00	6761.75	USD	35,537.50	
21JUL17	B	2	PD200CT17	6050.50	6761.75	USD	35,562.50	
21JUL17	B	7	PD200CT17	6050.00	6761.75	USD	124,556.25	
21JUL17	B	1	PD200CT17	6044.50	6761.75	USD	17,931.25	
21JUL17	B	1	PD200CT17	6045.00	6761.75	USD	17,918.75	
21JUL17	B	4	PD200CT17	6035.00	6761.75	USD	72,675.00	
21JUL17	B	13	PD200CT17	6036.00	6761.75	USD	235,868.75	
21JUL17	B	6	PD200CT17	6039.50	6761.75	USD	108,337.50	
21JUL17	B	5	PD200CT17	6038.00	6761.75	USD	90,468.75	
21JUL17	B	5	PD200CT17	6039.00	6761.75	USD	90,343.75	
21JUL17	B	2	PD200CT17	6038.50	6761.75	USD	36,162.50	
21JUL17	B	1	PD200CT17	6037.50	6761.75	USD	18,106.25	
21JUL17	B	2	PD200CT17	6036.50	6761.75	USD	36,262.50	
21JUL17	S	2	PD200CT17	6025.50	6761.75	USD	-36,812.50	
21JUL17	S	5	PD200CT17	6028.00	6761.75	USD	-91,718.75	
21JUL17	S	5	PD200CT17	6031.00	6761.75	USD	-91,343.75	
21JUL17	S	2	PD200CT17	6026.50	6761.75	USD	-36,762.50	
21JUL17	S	3	PD200CT17	6020.00	6761.75	USD	-55,631.25	
21JUL17	S	1	PD200CT17	6010.50	6761.75	USD	-18,781.25	
21JUL17	S	1	PD200CT17	6008.00	6761.75	USD	-18,843.75	
21JUL17	S	4	PD200CT17	6009.00	6761.75	USD	-75,275.00	
21JUL17	S	3	PD200CT17	6011.00	6761.75	USD	-56,306.25	
21JUL17	S	2	PD200CT17	6012.00	6761.75	USD	-37,487.50	
21JUL17	S	2	PD200CT17	6007.50	6761.75	USD	-37,712.50	
21JUL17	S	2	PD200CT17	6003.00	6761.75	USD	-37,937.50	
21JUL17	S	12	PD200CT17	5994.50	6761.75	USD	-230,175.00	
21JUL17	B	2	PD200CT17	6038.00	6761.75	USD	36,187.50	
21JUL17	B	1	PD200CT17	6039.50	6761.75	USD	18,056.25	
21JUL17	B	5	PD200CT17	6039.00	6761.75	USD	90,343.75	
21JUL17	S	1	PD200CT17	5997.50	6761.75	USD	-19,106.25	
21JUL17	S	4	PD200CT17	5999.00	6761.75	USD	-76,275.00	
21JUL17	S	1	PD200CT17	5999.50	6761.75	USD	-19,056.25	
21JUL17	S	4	PD200CT17	6000.00	6761.75	USD	-76,175.00	
21JUL17	S	2	PD200CT17	6001.00	6761.75	USD	-38,037.50	
21JUL17	S	3	PD200CT17	6002.50	6761.75	USD	-56,943.75	
21JUL17	S	1	PD200CT17	5999.00	6761.75	USD	-19,068.75	
	NET	0 AVG BGHT 6040.33	SOLD 6008.78				-47,312.50	0.00
24JUL17	S	1	PD240CT17	6000.50	6765.25	USD	-19,118.75	
24JUL17	S	1	PD240CT17	6008.00	6765.25	USD	-18,931.25	
24JUL17	B	4	PD240CT17	6000.00	6765.25	USD	76,525.00	
24JUL17	B	1	PD240CT17	6000.50	6765.25	USD	19,118.75	
24JUL17	S	8	PD240CT17	6028.00	6765.25	USD	-147,450.00	
24JUL17	S	1	PD240CT17	6029.00	6765.25	USD	-18,406.25	
24JUL17	S	1	PD240CT17	6022.50	6765.25	USD	-18,568.75	
24JUL17	B	1	PD240CT17	6027.50	6765.25	USD	18,443.75	
24JUL17	S	1	PD240CT17	6020.00	6765.25	USD	-18,631.25	
24JUL17	S	3	PD240CT17	6018.50	6765.25	USD	-56,006.25	
24JUL17	S	1	PD240CT17	6018.00	6765.25	USD	-18,681.25	
24JUL17	S	2	PD240CT17	6016.50	6765.25	USD	-37,437.50	
24JUL17	S	3	PD240CT17	6015.50	6765.25	USD	-56,231.25	
24JUL17	S	3	PD240CT17	6015.00	6765.25	USD	-56,268.75	
24JUL17	B	3	PD240CT17	6016.00	6765.25	USD	56,193.75	
24JUL17	B	1	PD240CT17	6018.50	6765.25	USD	18,668.75	
24JUL17	B	1	PD240CT17	6019.50	6765.25	USD	18,643.75	
24JUL17	B	6	PD240CT17	6020.50	6765.25	USD	111,712.50	
24JUL17	B	3	PD240CT17	6022.00	6765.25	USD	55,743.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	6	PD240CT17	6021.50	6765.25	USD	111,562.50	
24JUL17	B	9	PD240CT17	6022.50	6765.25	USD	167,118.75	
24JUL17	B	4	PD240CT17	6023.50	6765.25	USD	74,175.00	
24JUL17	S	1	PD240CT17	6018.50	6765.25	USD	-18,668.75	
24JUL17	B	7	PD240CT17	6021.50	6765.25	USD	130,156.25	
24JUL17	B	1	PD240CT17	6022.50	6765.25	USD	18,568.75	
24JUL17	S	6	PD240CT17	6025.50	6765.25	USD	-110,962.50	
24JUL17	S	1	PD240CT17	6023.50	6765.25	USD	-18,543.75	
24JUL17	S	6	PD240CT17	6021.00	6765.25	USD	-111,637.50	
24JUL17	S	3	PD240CT17	6017.50	6765.25	USD	-56,081.25	
24JUL17	S	1	PD240CT17	6019.00	6765.25	USD	-18,656.25	
24JUL17	B	1	PD240CT17	6025.50	6765.25	USD	18,493.75	
24JUL17	B	6	PD240CT17	6026.00	6765.25	USD	110,887.50	
24JUL17	S	1	PD240CT17	6029.50	6765.25	USD	-18,393.75	
24JUL17	S	1	PD240CT17	6026.00	6765.25	USD	-18,481.25	
24JUL17	S	8	PD240CT17	6026.50	6765.25	USD	-147,750.00	
24JUL17	S	4	PD240CT17	6027.00	6765.25	USD	-73,825.00	
24JUL17	S	1	PD240CT17	6022.00	6765.25	USD	-18,581.25	
24JUL17	S	1	PD240CT17	6017.50	6765.25	USD	-18,693.75	
27JUL17	B	5	PD240CT17	6329.14	6765.25	USD	54,513.75	
	NET	0AVG	BGHT 6046.25	SOLD 6022.19			-35,480.00	0.00
25JUL17	S	1	PD250CT17	6091.50	6765.68	USD	-16,854.50	
25JUL17	B	6	PD250CT17	6087.50	6765.68	USD	101,727.00	
25JUL17	B	1	PD250CT17	6085.00	6765.68	USD	17,017.00	
25JUL17	B	2	PD250CT17	6085.50	6765.68	USD	34,009.00	
25JUL17	B	8	PD250CT17	6086.50	6765.68	USD	135,836.00	
25JUL17	B	1	PD250CT17	6113.00	6765.68	USD	16,317.00	
25JUL17	B	2	PD250CT17	6114.00	6765.68	USD	32,584.00	
25JUL17	B	3	PD250CT17	6118.00	6765.68	USD	48,576.00	
25JUL17	B	2	PD250CT17	6121.50	6765.68	USD	32,209.00	
25JUL17	B	1	PD250CT17	6122.50	6765.68	USD	16,079.50	
25JUL17	B	2	PD250CT17	6114.50	6765.68	USD	32,559.00	
25JUL17	B	2	PD250CT17	6115.50	6765.68	USD	32,509.00	
25JUL17	B	2	PD250CT17	6119.50	6765.68	USD	32,309.00	
25JUL17	B	6	PD250CT17	6119.00	6765.68	USD	97,002.00	
25JUL17	B	3	PD250CT17	6121.00	6765.68	USD	48,351.00	
25JUL17	B	3	PD250CT17	6118.50	6765.68	USD	48,538.50	
25JUL17	B	2	PD250CT17	6116.50	6765.68	USD	32,459.00	
25JUL17	B	1	PD250CT17	6115.00	6765.68	USD	16,267.00	
25JUL17	B	2	PD250CT17	6117.50	6765.68	USD	32,409.00	
25JUL17	B	1	PD250CT17	6120.50	6765.68	USD	16,129.50	
25JUL17	B	4	PD250CT17	6120.00	6765.68	USD	64,568.00	
25JUL17	B	9	PD250CT17	6117.00	6765.68	USD	145,953.00	
25JUL17	B	7	PD250CT17	6116.00	6765.68	USD	113,694.00	
25JUL17	B	1	PD250CT17	6145.50	6765.68	USD	15,504.50	
25JUL17	B	1	PD250CT17	6146.00	6765.68	USD	15,492.00	
25JUL17	S	7	PD250CT17	6165.00	6765.68	USD	-105,119.00	
25JUL17	S	1	PD250CT17	6163.50	6765.68	USD	-15,054.50	
25JUL17	S	6	PD250CT17	6166.00	6765.68	USD	-89,952.00	
25JUL17	S	3	PD250CT17	6167.00	6765.68	USD	-44,901.00	
25JUL17	S	3	PD250CT17	6168.00	6765.68	USD	-44,826.00	
25JUL17	S	2	PD250CT17	6175.00	6765.68	USD	-29,534.00	
25JUL17	S	6	PD250CT17	6176.00	6765.68	USD	-88,452.00	
25JUL17	S	1	PD250CT17	6186.00	6765.68	USD	-14,492.00	
25JUL17	B	1	PD250CT17	6181.50	6765.68	USD	14,604.50	
25JUL17	B	1	PD250CT17	6182.50	6765.68	USD	14,579.50	
25JUL17	S	2	PD250CT17	6187.00	6765.68	USD	-28,934.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	6193.00	6765.68	USD	-14,317.00	
25JUL17	B	1	PD250CT17	6195.00	6765.68	USD	14,267.00	
25JUL17	B	1	PD250CT17	6196.00	6765.68	USD	14,242.00	
25JUL17	B	4	PD250CT17	6193.50	6765.68	USD	57,218.00	
25JUL17	B	2	PD250CT17	6193.00	6765.68	USD	28,634.00	
25JUL17	B	5	PD250CT17	6192.50	6765.68	USD	71,647.50	
25JUL17	S	5	PD250CT17	6093.00	6765.68	USD	-84,085.00	
25JUL17	S	1	PD250CT17	6091.50	6765.68	USD	-16,854.50	
25JUL17	B	1	PD250CT17	6174.50	6765.68	USD	14,779.50	
25JUL17	B	5	PD250CT17	6174.00	6765.68	USD	73,960.00	
25JUL17	B	2	PD250CT17	6175.00	6765.68	USD	29,534.00	
25JUL17	B	2	PD250CT17	6176.00	6765.68	USD	29,484.00	
25JUL17	B	3	PD250CT17	6177.00	6765.68	USD	44,151.00	
25JUL17	B	1	PD250CT17	6179.00	6765.68	USD	14,667.00	
25JUL17	B	1	PD250CT17	6179.50	6765.68	USD	14,654.50	
25JUL17	B	1	PD250CT17	6182.00	6765.68	USD	14,592.00	
25JUL17	B	8	PD250CT17	6196.50	6765.68	USD	113,836.00	
25JUL17	B	15	PD250CT17	6195.50	6765.68	USD	213,817.50	
25JUL17	B	1	PD250CT17	6199.50	6765.68	USD	14,154.50	
25JUL17	B	1	PD250CT17	6198.50	6765.68	USD	14,179.50	
25JUL17	B	1	PD250CT17	6201.00	6765.68	USD	14,117.00	
25JUL17	S	2	PD250CT17	6219.00	6765.68	USD	-27,334.00	
25JUL17	S	1	PD250CT17	6218.00	6765.68	USD	-13,692.00	
25JUL17	B	3	PD250CT17	6210.50	6765.68	USD	41,638.50	
25JUL17	B	3	PD250CT17	6194.50	6765.68	USD	42,838.50	
25JUL17	B	1	PD250CT17	6194.00	6765.68	USD	14,292.00	
25JUL17	S	1	PD250CT17	6207.00	6765.68	USD	-13,967.00	
25JUL17	S	1	PD250CT17	6202.50	6765.68	USD	-14,079.50	
25JUL17	B	2	PD250CT17	6182.00	6765.68	USD	29,184.00	
25JUL17	B	1	PD250CT17	6199.00	6765.68	USD	14,167.00	
25JUL17	B	2	PD250CT17	6221.00	6765.68	USD	27,234.00	
25JUL17	B	1	PD250CT17	6220.50	6765.68	USD	13,629.50	
25JUL17	B	3	PD250CT17	6218.50	6765.68	USD	41,038.50	
25JUL17	S	2	PD250CT17	6226.50	6765.68	USD	-26,959.00	
25JUL17	S	2	PD250CT17	6226.00	6765.68	USD	-26,984.00	
25JUL17	B	1	PD250CT17	6222.00	6765.68	USD	13,592.00	
25JUL17	B	2	PD250CT17	6227.00	6765.68	USD	26,934.00	
25JUL17	B	2	PD250CT17	6225.00	6765.68	USD	27,034.00	
25JUL17	B	1	PD250CT17	6226.00	6765.68	USD	13,492.00	
25JUL17	B	4	PD250CT17	6227.50	6765.68	USD	53,818.00	
25JUL17	B	4	PD250CT17	6228.50	6765.68	USD	53,718.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	2	PD250CT17	6219.50	6765.68	USD	27,309.00	
25JUL17	B	1	PD250CT17	6229.50	6765.68	USD	13,404.50	
25JUL17	B	1	PD250CT17	6264.50	6765.68	USD	12,529.50	
25JUL17	B	1	PD250CT17	6260.00	6765.68	USD	12,642.00	
25JUL17	B	1	PD250CT17	6258.00	6765.68	USD	12,692.00	
25JUL17	B	2	PD250CT17	6256.50	6765.68	USD	25,459.00	
25JUL17	B	1	PD250CT17	6263.50	6765.68	USD	12,554.50	
25JUL17	B	3	PD250CT17	6261.00	6765.68	USD	37,851.00	
25JUL17	S	1	PD250CT17	6249.00	6765.68	USD	-12,917.00	
25JUL17	S	1	PD250CT17	6250.00	6765.68	USD	-12,892.00	
25JUL17	S	2	PD250CT17	6249.50	6765.68	USD	-25,809.00	
25JUL17	S	4	PD250CT17	6251.00	6765.68	USD	-51,468.00	
25JUL17	S	1	PD250CT17	6251.50	6765.68	USD	-12,854.50	
25JUL17	S	3	PD250CT17	6250.50	6765.68	USD	-38,638.50	
25JUL17	S	3	PD250CT17	6252.50	6765.68	USD	-38,488.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	2	PD250CT17	6258.00	6765.68	USD	-25,384.00	
25JUL17	S	1	PD250CT17	6257.50	6765.68	USD	-12,704.50	
25JUL17	S	2	PD250CT17	6253.50	6765.68	USD	-25,609.00	
25JUL17	S	1	PD250CT17	6256.50	6765.68	USD	-12,729.50	
25JUL17	S	1	PD250CT17	6255.00	6765.68	USD	-12,767.00	
25JUL17	S	2	PD250CT17	6258.50	6765.68	USD	-25,359.00	
25JUL17	S	5	PD250CT17	6259.50	6765.68	USD	-63,272.50	
27JUL17	S	5	PD250CT17	6329.23	6765.68	USD	-54,556.25	
27JUL17	S	45	PD250CT17	6329.43	6765.68	USD	-490,781.25	
28JUL17	S	15	PD250CT17	6324.29	6765.68	USD	-165,521.25	
01AUG17	S	30	PD250CT17	6342.50	6765.68	USD	-317,385.00	
	NET	0AVG BGHT 6165.72	SOLD 6273.70				464,308.75	0.00
26JUL17	S	2	PD260CT17	6349.00	6766.11	USD	-20,855.50	
26JUL17	S	2	PD260CT17	6344.00	6766.11	USD	-21,105.50	
26JUL17	S	1	PD260CT17	6343.00	6766.11	USD	-10,577.75	
26JUL17	S	2	PD260CT17	6348.00	6766.11	USD	-20,905.50	
26JUL17	S	3	PD260CT17	6349.50	6766.11	USD	-31,245.75	
26JUL17	S	1	PD260CT17	6346.00	6766.11	USD	-10,502.75	
26JUL17	S	3	PD260CT17	6357.00	6766.11	USD	-30,683.25	
26JUL17	S	2	PD260CT17	6357.50	6766.11	USD	-20,430.50	
26JUL17	S	1	PD260CT17	6359.00	6766.11	USD	-10,177.75	
26JUL17	S	3	PD260CT17	6356.50	6766.11	USD	-30,720.75	
26JUL17	S	1	PD260CT17	6370.00	6766.11	USD	-9,902.75	
26JUL17	S	1	PD260CT17	6369.50	6766.11	USD	-9,915.25	
26JUL17	S	3	PD260CT17	6370.50	6766.11	USD	-29,670.75	
26JUL17	S	3	PD260CT17	6377.50	6766.11	USD	-29,145.75	
26JUL17	S	1	PD260CT17	6378.50	6766.11	USD	-9,690.25	
26JUL17	S	5	PD260CT17	6380.50	6766.11	USD	-48,201.25	
26JUL17	S	2	PD260CT17	6380.00	6766.11	USD	-19,305.50	
26JUL17	S	3	PD260CT17	6382.00	6766.11	USD	-28,808.25	
26JUL17	S	5	PD260CT17	6383.00	6766.11	USD	-47,888.75	
26JUL17	S	4	PD260CT17	6387.00	6766.11	USD	-37,911.00	
26JUL17	S	2	PD260CT17	6343.50	6766.11	USD	-21,130.50	
26JUL17	S	7	PD260CT17	6347.50	6766.11	USD	-73,256.75	
26JUL17	S	6	PD260CT17	6348.50	6766.11	USD	-62,641.50	
26JUL17	S	4	PD260CT17	6350.00	6766.11	USD	-41,611.00	
26JUL17	S	4	PD260CT17	6350.50	6766.11	USD	-41,561.00	
26JUL17	S	9	PD260CT17	6346.50	6766.11	USD	-94,412.25	
26JUL17	S	1	PD260CT17	6352.00	6766.11	USD	-10,352.75	
26JUL17	S	1	PD260CT17	6341.50	6766.11	USD	-10,615.25	
26JUL17	S	1	PD260CT17	6336.00	6766.11	USD	-10,752.75	
26JUL17	B	1	PD260CT17	6336.00	6766.11	USD	10,752.75	
26JUL17	B	1	PD260CT17	6335.00	6766.11	USD	10,777.75	
26JUL17	B	4	PD260CT17	6306.00	6766.11	USD	46,011.00	
26JUL17	B	1	PD260CT17	6314.50	6766.11	USD	11,290.25	
26JUL17	B	3	PD260CT17	6316.00	6766.11	USD	33,758.25	
26JUL17	B	2	PD260CT17	6316.50	6766.11	USD	22,480.50	
26JUL17	B	3	PD260CT17	6317.50	6766.11	USD	33,645.75	
26JUL17	B	1	PD260CT17	6309.50	6766.11	USD	11,415.25	
26JUL17	B	3	PD260CT17	6310.00	6766.11	USD	34,208.25	
26JUL17	B	6	PD260CT17	6309.00	6766.11	USD	68,566.50	
26JUL17	B	1	PD260CT17	6302.50	6766.11	USD	11,590.25	
26JUL17	B	2	PD260CT17	6302.00	6766.11	USD	23,205.50	
26JUL17	B	1	PD260CT17	6296.50	6766.11	USD	11,740.25	
26JUL17	B	2	PD260CT17	6301.00	6766.11	USD	23,255.50	
26JUL17	B	2	PD260CT17	6301.50	6766.11	USD	23,230.50	
26JUL17	B	1	PD260CT17	6300.00	6766.11	USD	11,652.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD260CT17	6291.00	6766.11	USD	11,877.75	
26JUL17	B	7	PD260CT17	6298.00	6766.11	USD	81,919.25	
26JUL17	B	9	PD260CT17	6297.00	6766.11	USD	105,549.75	
26JUL17	B	7	PD260CT17	6295.00	6766.11	USD	82,444.25	
26JUL17	B	2	PD260CT17	6298.50	6766.11	USD	23,380.50	
26JUL17	B	1	PD260CT17	6299.50	6766.11	USD	11,665.25	
26JUL17	B	1	PD260CT17	6299.00	6766.11	USD	11,677.75	
26JUL17	B	2	PD260CT17	6292.50	6766.11	USD	23,680.50	
26JUL17	B	5	PD260CT17	6296.00	6766.11	USD	58,763.75	
26JUL17	B	3	PD260CT17	6295.50	6766.11	USD	35,295.75	
26JUL17	B	1	PD260CT17	6294.00	6766.11	USD	11,802.75	
26JUL17	B	8	PD260CT17	6294.50	6766.11	USD	94,322.00	
26JUL17	B	1	PD260CT17	6291.50	6766.11	USD	11,865.25	
26JUL17	B	1	PD260CT17	6289.50	6766.11	USD	11,915.25	
26JUL17	B	5	PD260CT17	6293.50	6766.11	USD	59,076.25	
26JUL17	B	1	PD260CT17	6293.00	6766.11	USD	11,827.75	
26JUL17	B	1	PD260CT17	6292.00	6766.11	USD	11,852.75	
26JUL17	B	1	PD260CT17	6290.50	6766.11	USD	11,890.25	
26JUL17	B	2	PD260CT17	6283.50	6766.11	USD	24,130.50	
26JUL17	B	3	PD260CT17	6285.00	6766.11	USD	36,083.25	
26JUL17	B	4	PD260CT17	6287.00	6766.11	USD	47,911.00	
26JUL17	B	2	PD260CT17	6289.00	6766.11	USD	23,855.50	
26JUL17	B	3	PD260CT17	6288.00	6766.11	USD	35,858.25	
26JUL17	B	2	PD260CT17	6272.50	6766.11	USD	24,680.50	
26JUL17	B	1	PD260CT17	6271.50	6766.11	USD	12,365.25	
26JUL17	B	1	PD260CT17	6273.50	6766.11	USD	12,315.25	
26JUL17	B	1	PD260CT17	6276.00	6766.11	USD	12,252.75	
26JUL17	B	1	PD260CT17	6282.50	6766.11	USD	12,090.25	
26JUL17	B	2	PD260CT17	6283.00	6766.11	USD	24,155.50	
26JUL17	B	6	PD260CT17	6281.50	6766.11	USD	72,691.50	
26JUL17	B	8	PD260CT17	6280.50	6766.11	USD	97,122.00	
26JUL17	B	6	PD260CT17	6284.00	6766.11	USD	72,316.50	
26JUL17	S	1	PD260CT17	6358.00	6766.11	USD	-10,202.75	
26JUL17	S	1	PD260CT17	6383.00	6766.11	USD	-9,577.75	
26JUL17	S	1	PD260CT17	6388.00	6766.11	USD	-9,452.75	
26JUL17	B	1	PD260CT17	6316.50	6766.11	USD	11,240.25	
26JUL17	B	1	PD260CT17	6310.00	6766.11	USD	11,402.75	
26JUL17	B	1	PD260CT17	6282.00	6766.11	USD	12,102.75	
26JUL17	B	3	PD260CT17	6279.00	6766.11	USD	36,533.25	
26JUL17	B	1	PD260CT17	6296.00	6766.11	USD	11,752.75	
26JUL17	S	3	PD260CT17	6295.50	6766.11	USD	-35,295.75	
26JUL17	S	6	PD260CT17	6296.50	6766.11	USD	-70,441.50	
26JUL17	S	1	PD260CT17	6297.00	6766.11	USD	-11,727.75	
26JUL17	S	1	PD260CT17	6294.50	6766.11	USD	-11,790.25	
26JUL17	S	3	PD260CT17	6295.00	6766.11	USD	-35,333.25	
26JUL17	S	7	PD260CT17	6296.00	6766.11	USD	-82,269.25	
26JUL17	S	1	PD260CT17	6298.50	6766.11	USD	-11,690.25	
26JUL17	S	10	PD260CT17	6298.00	6766.11	USD	-117,027.50	
26JUL17	S	2	PD260CT17	6299.00	6766.11	USD	-23,355.50	
26JUL17	S	2	PD260CT17	6299.50	6766.11	USD	-23,330.50	
26JUL17	S	3	PD260CT17	6300.00	6766.11	USD	-34,958.25	
26JUL17	S	3	PD260CT17	6301.00	6766.11	USD	-34,883.25	
26JUL17	S	1	PD260CT17	6304.50	6766.11	USD	-11,540.25	
26JUL17	S	2	PD260CT17	6301.50	6766.11	USD	-23,230.50	
26JUL17	S	3	PD260CT17	6302.50	6766.11	USD	-34,770.75	
26JUL17	S	5	PD260CT17	6304.00	6766.11	USD	-57,763.75	
26JUL17	S	2	PD260CT17	6303.50	6766.11	USD	-23,130.50	

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26JUL17	S	4	PD260CT17	6315.00	6766.11	USD	-45,111.00	
26JUL17	S	4	PD260CT17	6316.50	6766.11	USD	-44,961.00	
26JUL17	S	1	PD260CT17	6317.00	6766.11	USD	-11,227.75	
26JUL17	S	1	PD260CT17	6319.00	6766.11	USD	-11,177.75	
26JUL17	S	2	PD260CT17	6318.00	6766.11	USD	-22,405.50	
26JUL17	S	1	PD260CT17	6318.50	6766.11	USD	-11,190.25	
26JUL17	S	2	PD260CT17	6317.50	6766.11	USD	-22,430.50	
26JUL17	S	2	PD260CT17	6315.50	6766.11	USD	-22,530.50	
26JUL17	S	2	PD260CT17	6313.00	6766.11	USD	-22,655.50	
26JUL17	S	2	PD260CT17	6327.50	6766.11	USD	-21,930.50	
26JUL17	S	6	PD260CT17	6329.00	6766.11	USD	-65,566.50	
26JUL17	S	1	PD260CT17	6330.50	6766.11	USD	-10,890.25	
26JUL17	S	10	PD260CT17	6330.00	6766.11	USD	-109,027.50	
26JUL17	S	1	PD260CT17	6331.00	6766.11	USD	-10,877.75	
26JUL17	S	2	PD260CT17	6333.50	6766.11	USD	-21,630.50	
26JUL17	S	1	PD260CT17	6332.00	6766.11	USD	-10,852.75	
26JUL17	S	1	PD260CT17	6333.00	6766.11	USD	-10,827.75	
26JUL17	S	1	PD260CT17	6335.50	6766.11	USD	-10,765.25	
26JUL17	S	1	PD260CT17	6334.50	6766.11	USD	-10,790.25	
26JUL17	S	1	PD260CT17	6339.00	6766.11	USD	-10,677.75	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	B	2	PD260CT17	6328.00	6766.11	USD	21,905.50	
26JUL17	B	2	PD260CT17	6326.50	6766.11	USD	21,980.50	
26JUL17	S	2	PD260CT17	6295.50	6766.11	USD	-23,530.50	
26JUL17	S	1	PD260CT17	6296.00	6766.11	USD	-11,752.75	
26JUL17	S	1	PD260CT17	6297.50	6766.11	USD	-11,715.25	
26JUL17	S	2	PD260CT17	6296.50	6766.11	USD	-23,480.50	
26JUL17	S	1	PD260CT17	6297.00	6766.11	USD	-11,727.75	
26JUL17	S	1	PD260CT17	6298.00	6766.11	USD	-11,702.75	
26JUL17	B	6	PD260CT17	6332.00	6766.11	USD	65,116.50	
26JUL17	B	1	PD260CT17	6331.00	6766.11	USD	10,877.75	
26JUL17	B	2	PD260CT17	6329.50	6766.11	USD	21,830.50	
26JUL17	B	1	PD260CT17	6330.50	6766.11	USD	10,890.25	
26JUL17	B	1	PD260CT17	6327.50	6766.11	USD	10,965.25	
26JUL17	B	1	PD260CT17	6342.50	6766.11	USD	10,590.25	
26JUL17	B	2	PD260CT17	6346.50	6766.11	USD	20,980.50	
26JUL17	B	1	PD260CT17	6345.50	6766.11	USD	10,515.25	
26JUL17	S	1	PD260CT17	6310.50	6766.11	USD	-11,390.25	
26JUL17	S	5	PD260CT17	6311.00	6766.11	USD	-56,888.75	
26JUL17	S	3	PD260CT17	6310.00	6766.11	USD	-34,208.25	
26JUL17	B	1	PD260CT17	6304.50	6766.11	USD	11,540.25	
27JUL17	B	45	PD260CT17	6329.91	6766.11	USD	490,725.00	
	NET	0AVG BGHT 6306.18	SOLD 6330.92				126,838.75	0.00
27JUL17	B	1	PD270CT17	6345.50	6766.54	USD	10,526.00	
27JUL17	B	2	PD270CT17	6344.00	6766.54	USD	21,127.00	
27JUL17	B	1	PD270CT17	6342.00	6766.54	USD	10,613.50	
27JUL17	B	4	PD270CT17	6339.50	6766.54	USD	42,704.00	
27JUL17	B	2	PD270CT17	6343.00	6766.54	USD	21,177.00	
27JUL17	B	5	PD270CT17	6341.50	6766.54	USD	53,130.00	
27JUL17	S	1	PD270CT17	6345.50	6766.54	USD	-10,526.00	
27JUL17	S	1	PD270CT17	6347.00	6766.54	USD	-10,488.50	
27JUL17	S	2	PD270CT17	6344.50	6766.54	USD	-21,102.00	
27JUL17	S	1	PD270CT17	6347.50	6766.54	USD	-10,476.00	
27JUL17	S	1	PD270CT17	6355.50	6766.54	USD	-10,276.00	
27JUL17	S	1	PD270CT17	6367.00	6766.54	USD	-9,988.50	
27JUL17	B	4	PD270CT17	6358.00	6766.54	USD	40,854.00	
27JUL17	B	1	PD270CT17	6359.00	6766.54	USD	10,188.50	

OPEN POSITION STATEMENT



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SAP0097K

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	2	PD27OCT17	6356.50	6766.54	USD	20,502.00	
27JUL17	B	2	PD27OCT17	6357.00	6766.54	USD	20,477.00	
27JUL17	S	3	PD27OCT17	6354.00	6766.54	USD	-30,940.50	
27JUL17	S	4	PD27OCT17	6353.00	6766.54	USD	-41,354.00	
27JUL17	S	5	PD27OCT17	6352.50	6766.54	USD	-51,755.00	
27JUL17	S	1	PD27OCT17	6341.50	6766.54	USD	-10,626.00	
27JUL17	S	1	PD27OCT17	6342.00	6766.54	USD	-10,613.50	
27JUL17	S	5	PD27OCT17	6353.50	6766.54	USD	-51,630.00	
27JUL17	S	3	PD27OCT17	6355.00	6766.54	USD	-30,865.50	
27JUL17	S	3	PD27OCT17	6351.50	6766.54	USD	-31,128.00	
27JUL17	S	2	PD27OCT17	6350.50	6766.54	USD	-20,802.00	
27JUL17	S	5	PD27OCT17	6348.50	6766.54	USD	-52,255.00	
28JUL17	B	1	PD27OCT17	6316.50	6766.54	USD	11,251.00	
28JUL17	B	2	PD27OCT17	6314.00	6766.54	USD	22,627.00	
28JUL17	B	1	PD27OCT17	6310.50	6766.54	USD	11,401.00	
28JUL17	B	3	PD27OCT17	6311.50	6766.54	USD	34,128.00	
28JUL17	B	1	PD27OCT17	6309.50	6766.54	USD	11,426.00	
28JUL17	S	1	PD27OCT17	6306.50	6766.54	USD	-11,501.00	
28JUL17	S	2	PD27OCT17	6308.50	6766.54	USD	-22,902.00	
28JUL17	S	3	PD27OCT17	6306.00	6766.54	USD	-34,540.50	
28JUL17	S	1	PD27OCT17	6309.50	6766.54	USD	-11,426.00	
28JUL17	S	1	PD27OCT17	6308.00	6766.54	USD	-11,463.50	
28JUL17	S	1	PD27OCT17	6315.50	6766.54	USD	-11,276.00	
28JUL17	S	9	PD27OCT17	6315.00	6766.54	USD	-101,596.50	
28JUL17	B	12	PD27OCT17	6312.50	6766.54	USD	136,212.00	
28JUL17	B	4	PD27OCT17	6312.00	6766.54	USD	45,454.00	
28JUL17	B	5	PD27OCT17	6310.00	6766.54	USD	57,067.50	
28JUL17	B	4	PD27OCT17	6311.00	6766.54	USD	45,554.00	
28JUL17	B	1	PD27OCT17	6319.00	6766.54	USD	11,188.50	
28JUL17	B	1	PD27OCT17	6318.00	6766.54	USD	11,213.50	
28JUL17	B	3	PD27OCT17	6322.00	6766.54	USD	33,340.50	
28JUL17	S	1	PD27OCT17	6338.00	6766.54	USD	-10,713.50	
28JUL17	S	2	PD27OCT17	6334.00	6766.54	USD	-21,627.00	
28JUL17	S	2	PD27OCT17	6327.50	6766.54	USD	-21,952.00	
28JUL17	S	5	PD27OCT17	6329.50	6766.54	USD	-54,630.00	
28JUL17	S	2	PD27OCT17	6326.00	6766.54	USD	-22,027.00	
28JUL17	S	4	PD27OCT17	6328.50	6766.54	USD	-43,804.00	
28JUL17	B	1	PD27OCT17	6329.00	6766.54	USD	10,938.50	
28JUL17	S	1	PD27OCT17	6330.00	6766.54	USD	-10,913.50	
28JUL17	S	2	PD27OCT17	6329.00	6766.54	USD	-21,877.00	
28JUL17	B	1	PD27OCT17	6333.00	6766.54	USD	10,838.50	
28JUL17	B	1	PD27OCT17	6335.50	6766.54	USD	10,776.00	
28JUL17	B	2	PD27OCT17	6336.00	6766.54	USD	21,527.00	
28JUL17	B	2	PD27OCT17	6335.00	6766.54	USD	21,577.00	
28JUL17	B	2	PD27OCT17	6334.50	6766.54	USD	21,602.00	
28JUL17	B	4	PD27OCT17	6337.00	6766.54	USD	42,954.00	
28JUL17	B	1	PD27OCT17	6337.50	6766.54	USD	10,726.00	
28JUL17	B	1	PD27OCT17	6338.50	6766.54	USD	10,701.00	
28JUL17	S	2	PD27OCT17	6339.50	6766.54	USD	-21,352.00	
28JUL17	S	2	PD27OCT17	6341.50	6766.54	USD	-21,252.00	
28JUL17	B	1	PD27OCT17	6346.50	6766.54	USD	10,501.00	
28JUL17	S	1	PD27OCT17	6337.00	6766.54	USD	-10,738.50	
28JUL17	S	1	PD27OCT17	6331.00	6766.54	USD	-10,888.50	
28JUL17	S	4	PD27OCT17	6332.00	6766.54	USD	-43,454.00	
28JUL17	S	5	PD27OCT17	6325.50	6766.54	USD	-55,130.00	
28JUL17	S	1	PD27OCT17	6336.50	6766.54	USD	-10,751.00	
28JUL17	S	2	PD27OCT17	6333.00	6766.54	USD	-21,677.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	2	PD27OCT17	6325.50	6766.54	USD	22,052.00	
28JUL17	B	1	PD27OCT17	6331.50	6766.54	USD	10,876.00	
28JUL17	B	1	PD27OCT17	6330.50	6766.54	USD	10,901.00	
28JUL17	B	1	PD27OCT17	6334.00	6766.54	USD	10,813.50	
28JUL17	B	4	PD27OCT17	6338.00	6766.54	USD	42,854.00	
28JUL17	B	7	PD27OCT17	6339.50	6766.54	USD	74,732.00	
28JUL17	B	2	PD27OCT17	6341.00	6766.54	USD	21,277.00	
28JUL17	B	2	PD27OCT17	6340.00	6766.54	USD	21,327.00	
28JUL17	B	6	PD27OCT17	6340.50	6766.54	USD	63,906.00	
28JUL17	B	5	PD27OCT17	6344.00	6766.54	USD	52,817.50	
28JUL17	B	2	PD27OCT17	6343.00	6766.54	USD	21,177.00	
28JUL17	S	3	PD27OCT17	6335.50	6766.54	USD	-32,328.00	
28JUL17	S	3	PD27OCT17	6334.50	6766.54	USD	-32,403.00	
28JUL17	S	5	PD27OCT17	6336.00	6766.54	USD	-53,817.50	
28JUL17	S	18	PD27OCT17	6335.00	6766.54	USD	-194,193.00	
28JUL17	S	4	PD27OCT17	6332.50	6766.54	USD	-43,404.00	
28JUL17	S	1	PD27OCT17	6331.50	6766.54	USD	-10,876.00	
28JUL17	S	7	PD27OCT17	6326.50	6766.54	USD	-77,007.00	
28JUL17	S	1	PD27OCT17	6330.50	6766.54	USD	-10,901.00	
28JUL17	S	15	PD27OCT17	6328.00	6766.54	USD	-164,452.50	
28JUL17	S	2	PD27OCT17	6344.50	6766.54	USD	-21,102.00	
28JUL17	B	1	PD27OCT17	6341.50	6766.54	USD	10,626.00	
28JUL17	B	1	PD27OCT17	6343.50	6766.54	USD	10,576.00	
28JUL17	B	15	PD27OCT17	6325.20	6766.54	USD	165,502.50	
28JUL17	B	2	PD27OCT17	6344.50	6766.54	USD	21,102.00	
28JUL17	S	2	PD27OCT17	6336.00	6766.54	USD	-21,527.00	
01AUG17	B	25	PD27OCT17	6343.70	6766.54	USD	264,275.00	
	NET	0AVG	BGHT 6333.22	SOLD 6334.45			4,787.50	0.00
31JUL17	S	2	PD31OCT17	6377.00	6768.25	USD	-19,562.50	
31JUL17	S	1	PD31OCT17	6378.00	6768.25	USD	-9,756.25	
31JUL17	S	2	PD31OCT17	6375.50	6768.25	USD	-19,637.50	
31JUL17	B	3	PD31OCT17	6418.50	6768.25	USD	26,231.25	
31JUL17	B	6	PD31OCT17	6419.00	6768.25	USD	52,387.50	
31JUL17	B	6	PD31OCT17	6420.00	6768.25	USD	52,237.50	
31JUL17	B	1	PD31OCT17	6416.50	6768.25	USD	8,793.75	
31JUL17	B	1	PD31OCT17	6426.50	6768.25	USD	8,543.75	
31JUL17	B	4	PD31OCT17	6427.50	6768.25	USD	34,075.00	
31JUL17	S	2	PD31OCT17	6413.00	6768.25	USD	-17,762.50	
31JUL17	S	2	PD31OCT17	6411.50	6768.25	USD	-17,837.50	
31JUL17	S	4	PD31OCT17	6414.00	6768.25	USD	-35,425.00	
31JUL17	S	2	PD31OCT17	6415.00	6768.25	USD	-17,662.50	
31JUL17	S	1	PD31OCT17	6406.50	6768.25	USD	-9,043.75	
31JUL17	S	2	PD31OCT17	6407.50	6768.25	USD	-18,037.50	
31JUL17	S	2	PD31OCT17	6409.00	6768.25	USD	-17,962.50	
31JUL17	S	1	PD31OCT17	6404.50	6768.25	USD	-9,093.75	
31JUL17	B	1	PD31OCT17	6410.50	6768.25	USD	8,943.75	
31JUL17	S	1	PD31OCT17	6392.50	6768.25	USD	-9,393.75	
31JUL17	S	1	PD31OCT17	6396.00	6768.25	USD	-9,306.25	
31JUL17	S	1	PD31OCT17	6397.50	6768.25	USD	-9,268.75	
31JUL17	S	4	PD31OCT17	6399.00	6768.25	USD	-36,925.00	
31JUL17	S	3	PD31OCT17	6400.00	6768.25	USD	-27,618.75	
31JUL17	S	6	PD31OCT17	6394.50	6768.25	USD	-56,062.50	
31JUL17	S	1	PD31OCT17	6385.50	6768.25	USD	-9,568.75	
31JUL17	S	2	PD31OCT17	6384.50	6768.25	USD	-19,187.50	
31JUL17	S	4	PD31OCT17	6385.00	6768.25	USD	-38,325.00	
31JUL17	S	1	PD31OCT17	6384.00	6768.25	USD	-9,606.25	
31JUL17	S	2	PD31OCT17	6382.00	6768.25	USD	-19,312.50	

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31JUL17	S	3	PD31OCT17	6381.00	6768.25	USD	-29,043.75	
31JUL17	S	2	PD31OCT17	6382.50	6768.25	USD	-19,287.50	
31JUL17	S	2	PD31OCT17	6383.50	6768.25	USD	-19,237.50	
31JUL17	S	1	PD31OCT17	6380.00	6768.25	USD	-9,706.25	
31JUL17	S	1	PD31OCT17	6410.00	6768.25	USD	-8,956.25	
31JUL17	S	1	PD31OCT17	6384.50	6768.25	USD	-9,593.75	
31JUL17	B	1	PD31OCT17	6387.50	6768.25	USD	9,518.75	
31JUL17	B	1	PD31OCT17	6386.50	6768.25	USD	9,543.75	
31JUL17	B	1	PD31OCT17	6385.50	6768.25	USD	9,568.75	
31JUL17	B	2	PD31OCT17	6384.50	6768.25	USD	19,187.50	
31JUL17	S	2	PD31OCT17	6370.00	6768.25	USD	-19,912.50	
31JUL17	S	1	PD31OCT17	6371.50	6768.25	USD	-9,918.75	
31JUL17	S	1	PD31OCT17	6372.50	6768.25	USD	-9,893.75	
31JUL17	B	2	PD31OCT17	6364.50	6768.25	USD	20,187.50	
31JUL17	B	1	PD31OCT17	6363.50	6768.25	USD	10,118.75	
31JUL17	B	1	PD31OCT17	6363.00	6768.25	USD	10,131.25	
31JUL17	S	1	PD31OCT17	6354.00	6768.25	USD	-10,356.25	
31JUL17	S	1	PD31OCT17	6356.50	6768.25	USD	-10,293.75	
31JUL17	S	2	PD31OCT17	6360.50	6768.25	USD	-20,387.50	
31JUL17	B	1	PD31OCT17	6370.00	6768.25	USD	9,956.25	
31JUL17	B	1	PD31OCT17	6376.50	6768.25	USD	9,793.75	
31JUL17	B	2	PD31OCT17	6378.00	6768.25	USD	19,512.50	
31JUL17	B	4	PD31OCT17	6377.00	6768.25	USD	39,125.00	
31JUL17	B	1	PD31OCT17	6376.00	6768.25	USD	9,806.25	
31JUL17	B	5	PD31OCT17	6369.00	6768.25	USD	49,906.25	
31JUL17	B	5	PD31OCT17	6365.50	6768.25	USD	50,343.75	
31JUL17	S	1	PD31OCT17	6368.00	6768.25	USD	-10,006.25	
31JUL17	S	6	PD31OCT17	6366.50	6768.25	USD	-60,262.50	
31JUL17	S	9	PD31OCT17	6367.50	6768.25	USD	-90,168.75	
31JUL17	S	2	PD31OCT17	6367.00	6768.25	USD	-20,062.50	
31JUL17	S	7	PD31OCT17	6365.50	6768.25	USD	-70,481.25	
31JUL17	S	4	PD31OCT17	6366.00	6768.25	USD	-40,225.00	
31JUL17	B	1	PD31OCT17	6372.00	6768.25	USD	9,906.25	
31JUL17	B	1	PD31OCT17	6371.50	6768.25	USD	9,918.75	
31JUL17	B	3	PD31OCT17	6370.50	6768.25	USD	29,831.25	
31JUL17	S	3	PD31OCT17	6374.50	6768.25	USD	-29,531.25	
31JUL17	B	4	PD31OCT17	6373.50	6768.25	USD	39,475.00	
31JUL17	B	1	PD31OCT17	6368.50	6768.25	USD	9,993.75	
31JUL17	B	2	PD31OCT17	6372.00	6768.25	USD	19,812.50	
01AUG17	B	5	PD31OCT17	6344.90	6768.25	USD	52,918.75	
07AUG17	B	30	PD31OCT17	6411.14	6768.25	USD	267,832.50	
	NET	0AVG BGHT 6393.98	SOLD 6383.23				-26,080.00	0.00
01AUG17	B	2	PD01NOV17	6379.50	6769.18	USD	19,484.00	
01AUG17	B	5	PD01NOV17	6380.50	6769.18	USD	48,585.00	
01AUG17	B	6	PD01NOV17	6380.00	6769.18	USD	58,377.00	
01AUG17	B	7	PD01NOV17	6381.00	6769.18	USD	67,931.50	
01AUG17	B	1	PD01NOV17	6379.00	6769.18	USD	9,754.50	
01AUG17	B	1	PD01NOV17	6367.50	6769.18	USD	10,042.00	
01AUG17	B	1	PD01NOV17	6368.50	6769.18	USD	10,017.00	
01AUG17	S	1	PD01NOV17	6361.00	6769.18	USD	-10,204.50	
01AUG17	S	1	PD01NOV17	6362.00	6769.18	USD	-10,179.50	
01AUG17	S	2	PD01NOV17	6353.50	6769.18	USD	-20,784.00	
01AUG17	S	3	PD01NOV17	6354.50	6769.18	USD	-31,101.00	
01AUG17	S	1	PD01NOV17	6352.00	6769.18	USD	-10,429.50	
01AUG17	S	1	PD01NOV17	6354.00	6769.18	USD	-10,379.50	
01AUG17	S	3	PD01NOV17	6353.00	6769.18	USD	-31,213.50	
01AUG17	S	5	PD01NOV17	6352.50	6769.18	USD	-52,085.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	3	PD01NOV17	6348.50	6769.18	USD	31,551.00	
01AUG17	B	1	PD01NOV17	6347.50	6769.18	USD	10,542.00	
01AUG17	B	1	PD01NOV17	6347.00	6769.18	USD	10,554.50	
01AUG17	B	1	PD01NOV17	6349.50	6769.18	USD	10,492.00	
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	B	1	PD01NOV17	6354.00	6769.18	USD	10,379.50	
01AUG17	B	2	PD01NOV17	6352.00	6769.18	USD	20,859.00	
01AUG17	B	2	PD01NOV17	6332.50	6769.18	USD	21,834.00	
01AUG17	B	1	PD01NOV17	6332.00	6769.18	USD	10,929.50	
01AUG17	B	1	PD01NOV17	6331.50	6769.18	USD	10,942.00	
01AUG17	B	1	PD01NOV17	6331.00	6769.18	USD	10,954.50	
01AUG17	B	2	PD01NOV17	6335.00	6769.18	USD	21,709.00	
01AUG17	B	2	PD01NOV17	6334.00	6769.18	USD	21,759.00	
01AUG17	B	2	PD01NOV17	6338.50	6769.18	USD	21,534.00	
01AUG17	B	5	PD01NOV17	6335.50	6769.18	USD	54,210.00	
01AUG17	B	4	PD01NOV17	6340.50	6769.18	USD	42,868.00	
01AUG17	B	3	PD01NOV17	6351.00	6769.18	USD	31,363.50	
01AUG17	B	1	PD01NOV17	6349.00	6769.18	USD	10,504.50	
01AUG17	B	2	PD01NOV17	6348.00	6769.18	USD	21,059.00	
01AUG17	B	1	PD01NOV17	6345.00	6769.18	USD	10,604.50	
01AUG17	B	1	PD01NOV17	6334.50	6769.18	USD	10,867.00	
01AUG17	B	2	PD01NOV17	6337.00	6769.18	USD	21,609.00	
01AUG17	B	2	PD01NOV17	6338.00	6769.18	USD	21,559.00	
01AUG17	B	1	PD01NOV17	6336.50	6769.18	USD	10,817.00	
01AUG17	B	20	PD01NOV17	6336.00	6769.18	USD	216,590.00	
01AUG17	B	1	PD01NOV17	6333.50	6769.18	USD	10,892.00	
01AUG17	B	1	PD01NOV17	6379.00	6769.18	USD	9,754.50	
01AUG17	S	2	PD01NOV17	6362.00	6769.18	USD	-20,359.00	
01AUG17	B	2	PD01NOV17	6340.50	6769.18	USD	21,434.00	
01AUG17	B	1	PD01NOV17	6333.50	6769.18	USD	10,892.00	
01AUG17	B	1	PD01NOV17	6336.00	6769.18	USD	10,829.50	
01AUG17	S	12	PD01NOV17	6356.50	6769.18	USD	-123,804.00	
01AUG17	S	2	PD01NOV17	6357.00	6769.18	USD	-20,609.00	
01AUG17	S	7	PD01NOV17	6355.50	6769.18	USD	-72,394.00	
01AUG17	S	1	PD01NOV17	6347.00	6769.18	USD	-10,554.50	
01AUG17	S	3	PD01NOV17	6343.00	6769.18	USD	-31,963.50	
01AUG17	S	1	PD01NOV17	6337.50	6769.18	USD	-10,792.00	
01AUG17	S	2	PD01NOV17	6336.50	6769.18	USD	-21,634.00	
01AUG17	S	1	PD01NOV17	6342.50	6769.18	USD	-10,667.00	
01AUG17	S	3	PD01NOV17	6335.50	6769.18	USD	-32,526.00	
01AUG17	S	1	PD01NOV17	6331.50	6769.18	USD	-10,942.00	
01AUG17	S	8	PD01NOV17	6330.00	6769.18	USD	-87,836.00	
01AUG17	S	7	PD01NOV17	6331.00	6769.18	USD	-76,681.50	
01AUG17	B	3	PD01NOV17	6325.00	6769.18	USD	33,313.50	
01AUG17	B	3	PD01NOV17	6330.50	6769.18	USD	32,901.00	
01AUG17	B	2	PD01NOV17	6324.50	6769.18	USD	22,234.00	
01AUG17	B	3	PD01NOV17	6326.50	6769.18	USD	33,201.00	
01AUG17	B	9	PD01NOV17	6325.50	6769.18	USD	99,828.00	
01AUG17	B	1	PD01NOV17	6327.50	6769.18	USD	11,042.00	
01AUG17	B	3	PD01NOV17	6328.00	6769.18	USD	33,088.50	
01AUG17	B	3	PD01NOV17	6328.50	6769.18	USD	33,051.00	
01AUG17	B	2	PD01NOV17	6329.00	6769.18	USD	22,009.00	
01AUG17	S	1	PD01NOV17	6338.50	6769.18	USD	-10,767.00	
01AUG17	S	5	PD01NOV17	6340.00	6769.18	USD	-53,647.50	
01AUG17	S	4	PD01NOV17	6337.00	6769.18	USD	-43,218.00	
01AUG17	S	2	PD01NOV17	6338.00	6769.18	USD	-21,559.00	
01AUG17	S	2	PD01NOV17	6339.00	6769.18	USD	-21,509.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	2	PD01NOV17	6340.50	6769.18	USD	-21,434.00	
01AUG17	S	3	PD01NOV17	6341.00	6769.18	USD	-32,113.50	
01AUG17	S	7	PD01NOV17	6339.50	6769.18	USD	-75,194.00	
01AUG17	S	9	PD01NOV17	6341.50	6769.18	USD	-96,228.00	
01AUG17	S	11	PD01NOV17	6345.00	6769.18	USD	-116,649.50	
01AUG17	B	2	PD01NOV17	6358.50	6769.18	USD	20,534.00	
01AUG17	B	3	PD01NOV17	6359.00	6769.18	USD	30,763.50	
01AUG17	B	3	PD01NOV17	6355.50	6769.18	USD	31,026.00	
01AUG17	B	2	PD01NOV17	6352.50	6769.18	USD	20,834.00	
01AUG17	B	3	PD01NOV17	6353.00	6769.18	USD	31,213.50	
01AUG17	B	22	PD01NOV17	6356.50	6769.18	USD	226,974.00	
01AUG17	B	12	PD01NOV17	6357.50	6769.18	USD	123,504.00	
01AUG17	B	9	PD01NOV17	6358.00	6769.18	USD	92,515.50	
01AUG17	B	11	PD01NOV17	6357.00	6769.18	USD	113,349.50	
01AUG17	B	3	PD01NOV17	6356.00	6769.18	USD	30,988.50	
01AUG17	B	2	PD01NOV17	6355.00	6769.18	USD	20,709.00	
01AUG17	S	6	PD01NOV17	6349.00	6769.18	USD	-63,027.00	
01AUG17	S	2	PD01NOV17	6350.00	6769.18	USD	-20,959.00	
01AUG17	S	2	PD01NOV17	6347.50	6769.18	USD	-21,084.00	
01AUG17	S	6	PD01NOV17	6348.00	6769.18	USD	-63,177.00	
01AUG17	S	3	PD01NOV17	6342.00	6769.18	USD	-32,038.50	
01AUG17	S	3	PD01NOV17	6345.50	6769.18	USD	-31,776.00	
01AUG17	S	2	PD01NOV17	6346.00	6769.18	USD	-21,159.00	
01AUG17	S	6	PD01NOV17	6346.50	6769.18	USD	-63,402.00	
01AUG17	B	2	PD01NOV17	6343.50	6769.18	USD	21,284.00	
01AUG17	B	5	PD01NOV17	6342.50	6769.18	USD	53,335.00	
01AUG17	B	5	PD01NOV17	6341.50	6769.18	USD	53,460.00	
01AUG17	B	3	PD01NOV17	6339.50	6769.18	USD	32,226.00	
01AUG17	B	6	PD01NOV17	6341.00	6769.18	USD	64,227.00	
01AUG17	B	11	PD01NOV17	6342.00	6769.18	USD	117,474.50	
01AUG17	B	1	PD01NOV17	6343.00	6769.18	USD	10,654.50	
01AUG17	B	2	PD01NOV17	6344.50	6769.18	USD	21,234.00	
01AUG17	S	1	PD01NOV17	6350.00	6769.18	USD	-10,479.50	
01AUG17	B	1	PD01NOV17	6356.50	6769.18	USD	10,317.00	
07AUG17	S	30	PD01NOV17	6411.35	6769.18	USD	-268,372.50	
07AUG17	S	55	PD01NOV17	6411.55	6769.18	USD	-491,741.25	
	NET	0 AVG BGHT	6347.90	SOLD 6369.76			125,168.75	0.00
02AUG17	S	2	PD02NOV17	6314.00	6770.10	USD	-22,805.00	
02AUG17	S	2	PD02NOV17	6313.50	6770.10	USD	-22,830.00	
02AUG17	S	5	PD02NOV17	6315.50	6770.10	USD	-56,825.00	
02AUG17	S	2	PD02NOV17	6315.00	6770.10	USD	-22,755.00	
02AUG17	S	5	PD02NOV17	6316.00	6770.10	USD	-56,762.50	
02AUG17	S	1	PD02NOV17	6319.50	6770.10	USD	-11,265.00	
02AUG17	S	1	PD02NOV17	6320.50	6770.10	USD	-11,240.00	
02AUG17	S	5	PD02NOV17	6325.00	6770.10	USD	-55,637.50	
02AUG17	S	1	PD02NOV17	6328.50	6770.10	USD	-11,040.00	
02AUG17	S	2	PD02NOV17	6323.00	6770.10	USD	-22,355.00	
02AUG17	S	2	PD02NOV17	6326.00	6770.10	USD	-22,205.00	
02AUG17	S	2	PD02NOV17	6325.50	6770.10	USD	-22,230.00	
02AUG17	S	2	PD02NOV17	6324.00	6770.10	USD	-22,305.00	
02AUG17	S	2	PD02NOV17	6324.50	6770.10	USD	-22,280.00	
02AUG17	S	6	PD02NOV17	6318.50	6770.10	USD	-67,740.00	
02AUG17	S	16	PD02NOV17	6319.00	6770.10	USD	-180,440.00	
02AUG17	S	10	PD02NOV17	6320.00	6770.10	USD	-112,525.00	
02AUG17	S	5	PD02NOV17	6318.00	6770.10	USD	-56,512.50	
02AUG17	S	8	PD02NOV17	6317.00	6770.10	USD	-90,620.00	
02AUG17	S	5	PD02NOV17	6316.50	6770.10	USD	-56,700.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6330.50	6770.10	USD	-10,990.00	
02AUG17	S	1	PD02NOV17	6329.00	6770.10	USD	-11,027.50	
02AUG17	S	1	PD02NOV17	6334.50	6770.10	USD	-10,890.00	
02AUG17	S	7	PD02NOV17	6333.50	6770.10	USD	-76,405.00	
02AUG17	S	5	PD02NOV17	6332.00	6770.10	USD	-54,762.50	
02AUG17	S	1	PD02NOV17	6334.00	6770.10	USD	-10,902.50	
02AUG17	S	1	PD02NOV17	6335.00	6770.10	USD	-10,877.50	
02AUG17	S	1	PD02NOV17	6335.50	6770.10	USD	-10,865.00	
02AUG17	S	7	PD02NOV17	6336.00	6770.10	USD	-75,967.50	
02AUG17	S	1	PD02NOV17	6337.00	6770.10	USD	-10,827.50	
02AUG17	S	1	PD02NOV17	6337.50	6770.10	USD	-10,815.00	
02AUG17	S	1	PD02NOV17	6341.00	6770.10	USD	-10,727.50	
02AUG17	S	1	PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	B	2	PD02NOV17	6331.00	6770.10	USD	21,955.00	
02AUG17	B	4	PD02NOV17	6329.50	6770.10	USD	44,060.00	
02AUG17	B	2	PD02NOV17	6324.50	6770.10	USD	22,280.00	
02AUG17	B	1	PD02NOV17	6327.00	6770.10	USD	11,077.50	
02AUG17	B	5	PD02NOV17	6327.50	6770.10	USD	55,325.00	
02AUG17	B	6	PD02NOV17	6328.00	6770.10	USD	66,315.00	
02AUG17	B	5	PD02NOV17	6330.00	6770.10	USD	55,012.50	
02AUG17	B	3	PD02NOV17	6328.50	6770.10	USD	33,120.00	
02AUG17	B	4	PD02NOV17	6331.50	6770.10	USD	43,860.00	
02AUG17	B	11	PD02NOV17	6330.50	6770.10	USD	120,890.00	
02AUG17	B	3	PD02NOV17	6334.00	6770.10	USD	32,707.50	
02AUG17	B	4	PD02NOV17	6332.00	6770.10	USD	43,810.00	
02AUG17	B	2	PD02NOV17	6333.00	6770.10	USD	21,855.00	
02AUG17	B	7	PD02NOV17	6332.50	6770.10	USD	76,580.00	
02AUG17	B	9	PD02NOV17	6333.50	6770.10	USD	98,235.00	
02AUG17	B	4	PD02NOV17	6334.50	6770.10	USD	43,560.00	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	S	1	PD02NOV17	6324.00	6770.10	USD	-11,152.50	
02AUG17	B	7	PD02NOV17	6336.50	6770.10	USD	75,880.00	
02AUG17	B	3	PD02NOV17	6341.00	6770.10	USD	32,182.50	
02AUG17	S	5	PD02NOV17	6339.00	6770.10	USD	-53,887.50	
02AUG17	S	1	PD02NOV17	6339.50	6770.10	USD	-10,765.00	
02AUG17	S	2	PD02NOV17	6343.00	6770.10	USD	-21,355.00	
02AUG17	S	1	PD02NOV17	6343.50	6770.10	USD	-10,665.00	
02AUG17	B	4	PD02NOV17	6339.00	6770.10	USD	43,110.00	
02AUG17	B	5	PD02NOV17	6338.00	6770.10	USD	54,012.50	
02AUG17	B	6	PD02NOV17	6340.50	6770.10	USD	64,440.00	
02AUG17	B	4	PD02NOV17	6339.50	6770.10	USD	43,060.00	
02AUG17	B	8	PD02NOV17	6338.50	6770.10	USD	86,320.00	
02AUG17	B	7	PD02NOV17	6337.50	6770.10	USD	75,705.00	
02AUG17	B	2	PD02NOV17	6340.00	6770.10	USD	21,505.00	
02AUG17	B	4	PD02NOV17	6342.00	6770.10	USD	42,810.00	
02AUG17	B	5	PD02NOV17	6343.00	6770.10	USD	53,387.50	
02AUG17	S	1	PD02NOV17	6354.50	6770.10	USD	-10,390.00	
02AUG17	B	1	PD02NOV17	6352.00	6770.10	USD	10,452.50	
02AUG17	B	1	PD02NOV17	6363.00	6770.10	USD	10,177.50	
02AUG17	B	1	PD02NOV17	6365.00	6770.10	USD	10,127.50	
02AUG17	B	1	PD02NOV17	6364.00	6770.10	USD	10,152.50	
02AUG17	S	2	PD02NOV17	6362.00	6770.10	USD	-20,405.00	
02AUG17	S	1	PD02NOV17	6362.50	6770.10	USD	-10,190.00	
02AUG17	B	1	PD02NOV17	6351.00	6770.10	USD	10,477.50	
02AUG17	S	5	PD02NOV17	6351.50	6770.10	USD	-52,325.00	
02AUG17	S	1	PD02NOV17	6350.50	6770.10	USD	-10,490.00	
	NET	0 AVG BGHT 6335.42	SOLD 6326.65				-29,162.50	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	2	PD03NOV17	6338.50	6771.03	USD	-21,626.50	
03AUG17	B	1	PD03NOV17	6336.50	6771.03	USD	10,863.25	
03AUG17	B	1	PD03NOV17	6338.50	6771.03	USD	10,813.25	
03AUG17	B	1	PD03NOV17	6340.50	6771.03	USD	10,763.25	
03AUG17	S	1	PD03NOV17	6327.50	6771.03	USD	-11,088.25	
03AUG17	S	2	PD03NOV17	6326.00	6771.03	USD	-22,251.50	
03AUG17	S	4	PD03NOV17	6325.00	6771.03	USD	-44,603.00	
03AUG17	S	3	PD03NOV17	6323.00	6771.03	USD	-33,602.25	
03AUG17	S	9	PD03NOV17	6320.50	6771.03	USD	-101,369.25	
03AUG17	S	1	PD03NOV17	6319.00	6771.03	USD	-11,300.75	
03AUG17	S	1	PD03NOV17	6318.50	6771.03	USD	-11,313.25	
03AUG17	S	3	PD03NOV17	6319.50	6771.03	USD	-33,864.75	
03AUG17	S	1	PD03NOV17	6317.50	6771.03	USD	-11,338.25	
03AUG17	S	2	PD03NOV17	6316.50	6771.03	USD	-22,726.50	
03AUG17	S	5	PD03NOV17	6311.00	6771.03	USD	-57,503.75	
03AUG17	S	1	PD03NOV17	6310.00	6771.03	USD	-11,525.75	
03AUG17	S	2	PD03NOV17	6309.00	6771.03	USD	-23,101.50	
03AUG17	S	2	PD03NOV17	6309.50	6771.03	USD	-23,076.50	
03AUG17	S	8	PD03NOV17	6308.00	6771.03	USD	-92,606.00	
03AUG17	S	4	PD03NOV17	6307.00	6771.03	USD	-46,403.00	
03AUG17	S	5	PD03NOV17	6308.50	6771.03	USD	-57,816.25	
03AUG17	S	1	PD03NOV17	6307.50	6771.03	USD	-11,588.25	
03AUG17	S	1	PD03NOV17	6304.00	6771.03	USD	-11,675.75	
03AUG17	S	1	PD03NOV17	6305.00	6771.03	USD	-11,650.75	
03AUG17	S	1	PD03NOV17	6306.50	6771.03	USD	-11,613.25	
03AUG17	S	5	PD03NOV17	6312.00	6771.03	USD	-57,378.75	
03AUG17	S	1	PD03NOV17	6312.50	6771.03	USD	-11,463.25	
03AUG17	S	3	PD03NOV17	6313.00	6771.03	USD	-34,352.25	
03AUG17	S	2	PD03NOV17	6320.00	6771.03	USD	-22,551.50	
03AUG17	S	4	PD03NOV17	6314.00	6771.03	USD	-45,703.00	
03AUG17	S	3	PD03NOV17	6315.00	6771.03	USD	-34,202.25	
03AUG17	S	2	PD03NOV17	6313.50	6771.03	USD	-22,876.50	
03AUG17	S	5	PD03NOV17	6314.50	6771.03	USD	-57,066.25	
03AUG17	B	2	PD03NOV17	6314.00	6771.03	USD	22,851.50	
03AUG17	B	2	PD03NOV17	6315.50	6771.03	USD	22,776.50	
03AUG17	B	1	PD03NOV17	6311.00	6771.03	USD	11,500.75	
03AUG17	B	1	PD03NOV17	6318.50	6771.03	USD	11,313.25	
03AUG17	S	1	PD03NOV17	6323.00	6771.03	USD	-11,200.75	
03AUG17	S	1	PD03NOV17	6315.00	6771.03	USD	-11,400.75	
03AUG17	B	3	PD03NOV17	6330.50	6771.03	USD	33,039.75	
03AUG17	B	4	PD03NOV17	6331.50	6771.03	USD	43,953.00	
03AUG17	B	5	PD03NOV17	6330.00	6771.03	USD	55,128.75	
03AUG17	B	4	PD03NOV17	6329.50	6771.03	USD	44,153.00	
03AUG17	B	6	PD03NOV17	6328.50	6771.03	USD	66,379.50	
03AUG17	B	2	PD03NOV17	6333.00	6771.03	USD	21,901.50	
03AUG17	B	2	PD03NOV17	6337.50	6771.03	USD	21,676.50	
03AUG17	B	1	PD03NOV17	6334.00	6771.03	USD	10,925.75	
03AUG17	B	2	PD03NOV17	6335.00	6771.03	USD	21,801.50	
03AUG17	B	6	PD03NOV17	6336.00	6771.03	USD	65,254.50	
03AUG17	B	1	PD03NOV17	6327.00	6771.03	USD	11,100.75	
03AUG17	S	1	PD03NOV17	6343.50	6771.03	USD	-10,688.25	
03AUG17	S	2	PD03NOV17	6343.00	6771.03	USD	-21,401.50	
03AUG17	S	2	PD03NOV17	6342.50	6771.03	USD	-21,426.50	
03AUG17	S	1	PD03NOV17	6351.00	6771.03	USD	-10,500.75	
03AUG17	S	1	PD03NOV17	6352.00	6771.03	USD	-10,475.75	
03AUG17	S	1	PD03NOV17	6353.00	6771.03	USD	-10,450.75	
03AUG17	S	2	PD03NOV17	6350.00	6771.03	USD	-21,051.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	4	PD03NOV17	6339.50	6771.03	USD	-43,153.00	
03AUG17	S	1	PD03NOV17	6338.00	6771.03	USD	-10,825.75	
03AUG17	S	2	PD03NOV17	6340.00	6771.03	USD	-21,551.50	
03AUG17	S	6	PD03NOV17	6341.00	6771.03	USD	-64,504.50	
03AUG17	S	2	PD03NOV17	6342.00	6771.03	USD	-21,451.50	
03AUG17	S	1	PD03NOV17	6334.50	6771.03	USD	-10,913.25	
03AUG17	S	2	PD03NOV17	6333.50	6771.03	USD	-21,876.50	
03AUG17	S	4	PD03NOV17	6335.50	6771.03	USD	-43,553.00	
03AUG17	S	4	PD03NOV17	6336.50	6771.03	USD	-43,453.00	
03AUG17	S	4	PD03NOV17	6337.50	6771.03	USD	-43,353.00	
03AUG17	S	7	PD03NOV17	6339.00	6771.03	USD	-75,605.25	
03AUG17	B	1	PD03NOV17	6334.00	6771.03	USD	10,925.75	
03AUG17	B	1	PD03NOV17	6338.00	6771.03	USD	10,825.75	
03AUG17	B	1	PD03NOV17	6342.00	6771.03	USD	10,725.75	
03AUG17	B	1	PD03NOV17	6342.00	6771.03	USD	10,725.75	
04AUG17	B	1	PD03NOV17	6357.00	6771.03	USD	10,350.75	
04AUG17	B	3	PD03NOV17	6356.00	6771.03	USD	31,127.25	
04AUG17	B	1	PD03NOV17	6358.50	6771.03	USD	10,313.25	
04AUG17	B	1	PD03NOV17	6360.00	6771.03	USD	10,275.75	
04AUG17	B	1	PD03NOV17	6373.50	6771.03	USD	9,938.25	
04AUG17	B	5	PD03NOV17	6380.00	6771.03	USD	48,878.75	
04AUG17	B	1	PD03NOV17	6377.00	6771.03	USD	9,850.75	
04AUG17	B	1	PD03NOV17	6378.00	6771.03	USD	9,825.75	
04AUG17	B	3	PD03NOV17	6381.50	6771.03	USD	29,214.75	
04AUG17	B	4	PD03NOV17	6380.50	6771.03	USD	39,053.00	
04AUG17	S	2	PD03NOV17	6367.50	6771.03	USD	-20,176.50	
04AUG17	B	3	PD03NOV17	6368.00	6771.03	USD	30,227.25	
04AUG17	S	3	PD03NOV17	6373.50	6771.03	USD	-29,814.75	
04AUG17	S	1	PD03NOV17	6372.50	6771.03	USD	-9,963.25	
04AUG17	S	1	PD03NOV17	6363.50	6771.03	USD	-10,188.25	
04AUG17	S	5	PD03NOV17	6365.50	6771.03	USD	-50,691.25	
04AUG17	S	1	PD03NOV17	6362.50	6771.03	USD	-10,213.25	
04AUG17	S	1	PD03NOV17	6359.00	6771.03	USD	-10,300.75	
04AUG17	S	3	PD03NOV17	6361.00	6771.03	USD	-30,752.25	
04AUG17	S	8	PD03NOV17	6364.00	6771.03	USD	-81,406.00	
04AUG17	S	2	PD03NOV17	6366.00	6771.03	USD	-20,251.50	
04AUG17	S	3	PD03NOV17	6365.00	6771.03	USD	-30,452.25	
04AUG17	B	5	PD03NOV17	6358.00	6771.03	USD	51,628.75	
04AUG17	S	1	PD03NOV17	6336.50	6771.03	USD	-10,863.25	
04AUG17	S	2	PD03NOV17	6339.50	6771.03	USD	-21,576.50	
04AUG17	S	2	PD03NOV17	6338.50	6771.03	USD	-21,626.50	
04AUG17	B	2	PD03NOV17	6339.50	6771.03	USD	21,576.50	
04AUG17	B	1	PD03NOV17	6347.00	6771.03	USD	10,600.75	
04AUG17	B	1	PD03NOV17	6346.00	6771.03	USD	10,625.75	
04AUG17	B	1	PD03NOV17	6348.00	6771.03	USD	10,575.75	
04AUG17	S	3	PD03NOV17	6320.50	6771.03	USD	-33,789.75	
04AUG17	S	2	PD03NOV17	6321.00	6771.03	USD	-22,501.50	
04AUG17	S	3	PD03NOV17	6321.50	6771.03	USD	-33,714.75	
04AUG17	S	2	PD03NOV17	6322.00	6771.03	USD	-22,451.50	
04AUG17	S	6	PD03NOV17	6323.50	6771.03	USD	-67,129.50	
04AUG17	S	5	PD03NOV17	6324.50	6771.03	USD	-55,816.25	
04AUG17	S	7	PD03NOV17	6323.00	6771.03	USD	-78,405.25	
04AUG17	S	1	PD03NOV17	6323.50	6771.03	USD	-11,188.25	
04AUG17	S	1	PD03NOV17	6321.00	6771.03	USD	-11,250.75	
04AUG17	B	2	PD03NOV17	6364.50	6771.03	USD	20,326.50	
04AUG17	B	1	PD03NOV17	6363.00	6771.03	USD	10,200.75	
04AUG17	B	3	PD03NOV17	6363.50	6771.03	USD	30,564.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6362.50	6771.03	USD	20,426.50	
04AUG17	S	1	PD03NOV17	6372.00	6771.03	USD	-9,975.75	
04AUG17	S	6	PD03NOV17	6375.00	6771.03	USD	-59,404.50	
04AUG17	B	12	PD03NOV17	6384.50	6771.03	USD	115,959.00	
04AUG17	B	11	PD03NOV17	6385.00	6771.03	USD	106,158.25	
04AUG17	B	4	PD03NOV17	6383.50	6771.03	USD	38,753.00	
04AUG17	B	2	PD03NOV17	6382.50	6771.03	USD	19,426.50	
04AUG17	B	2	PD03NOV17	6382.00	6771.03	USD	19,451.50	
04AUG17	S	1	PD03NOV17	6355.00	6771.03	USD	-10,400.75	
04AUG17	S	2	PD03NOV17	6354.00	6771.03	USD	-20,851.50	
04AUG17	S	2	PD03NOV17	6361.50	6771.03	USD	-20,476.50	
04AUG17	S	1	PD03NOV17	6359.50	6771.03	USD	-10,288.25	
04AUG17	S	5	PD03NOV17	6360.00	6771.03	USD	-51,378.75	
07AUG17	B	55	PD03NOV17	6412.56	6771.03	USD	492,896.25	
10AUG17	B	40	PD03NOV17	6420.13	6771.03	USD	350,900.00	
	NET	0AVG	BGHT 6382.36	SOLD 6333.17			-266,850.00	0.00
07AUG17	S	2	PD07NOV17	6344.50	6774.73	USD	-21,511.50	
07AUG17	S	10	PD07NOV17	6346.00	6774.73	USD	-107,182.50	
07AUG17	S	2	PD07NOV17	6347.00	6774.73	USD	-21,386.50	
07AUG17	S	5	PD07NOV17	6345.50	6774.73	USD	-53,653.75	
07AUG17	B	1	PD07NOV17	6358.50	6774.73	USD	10,405.75	
07AUG17	S	5	PD07NOV17	6372.00	6774.73	USD	-50,341.25	
07AUG17	S	5	PD07NOV17	6370.00	6774.73	USD	-50,591.25	
07AUG17	S	1	PD07NOV17	6370.50	6774.73	USD	-10,105.75	
07AUG17	S	1	PD07NOV17	6371.00	6774.73	USD	-10,093.25	
07AUG17	S	1	PD07NOV17	6371.50	6774.73	USD	-10,080.75	
07AUG17	S	4	PD07NOV17	6373.00	6774.73	USD	-40,173.00	
07AUG17	S	2	PD07NOV17	6368.00	6774.73	USD	-20,336.50	
07AUG17	S	3	PD07NOV17	6369.50	6774.73	USD	-30,392.25	
07AUG17	S	7	PD07NOV17	6369.00	6774.73	USD	-71,002.75	
07AUG17	S	2	PD07NOV17	6367.00	6774.73	USD	-20,386.50	
07AUG17	S	2	PD07NOV17	6366.50	6774.73	USD	-20,411.50	
07AUG17	B	1	PD07NOV17	6363.00	6774.73	USD	10,293.25	
07AUG17	B	2	PD07NOV17	6362.00	6774.73	USD	20,636.50	
07AUG17	B	4	PD07NOV17	6361.50	6774.73	USD	41,323.00	
07AUG17	B	5	PD07NOV17	6363.50	6774.73	USD	51,403.75	
07AUG17	B	15	PD07NOV17	6364.00	6774.73	USD	154,023.75	
07AUG17	B	3	PD07NOV17	6364.50	6774.73	USD	30,767.25	
07AUG17	S	1	PD07NOV17	6346.00	6774.73	USD	-10,718.25	
07AUG17	B	2	PD07NOV17	6358.50	6774.73	USD	20,811.50	
07AUG17	S	1	PD07NOV17	6398.00	6774.73	USD	-9,418.25	
07AUG17	B	1	PD07NOV17	6429.00	6774.73	USD	8,643.25	
07AUG17	B	3	PD07NOV17	6426.00	6774.73	USD	26,154.75	
07AUG17	B	6	PD07NOV17	6425.00	6774.73	USD	52,459.50	
07AUG17	B	1	PD07NOV17	6421.50	6774.73	USD	8,830.75	
07AUG17	S	1	PD07NOV17	6426.50	6774.73	USD	-8,705.75	
07AUG17	S	1	PD07NOV17	6427.50	6774.73	USD	-8,680.75	
07AUG17	S	1	PD07NOV17	6429.50	6774.73	USD	-8,630.75	
07AUG17	B	5	PD07NOV17	6420.50	6774.73	USD	44,278.75	
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	1	PD07NOV17	6427.00	6774.73	USD	8,693.25	
07AUG17	B	1	PD07NOV17	6418.00	6774.73	USD	8,918.25	
07AUG17	B	3	PD07NOV17	6419.00	6774.73	USD	26,679.75	
07AUG17	B	2	PD07NOV17	6420.00	6774.73	USD	17,736.50	
07AUG17	B	1	PD07NOV17	6423.50	6774.73	USD	8,780.75	
07AUG17	S	2	PD07NOV17	6428.50	6774.73	USD	-17,311.50	
07AUG17	S	1	PD07NOV17	6423.00	6774.73	USD	-8,793.25	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	6424.00	6774.73	USD	-8,768.25	
07AUG17	S	3	PD07NOV17	6425.00	6774.73	USD	-26,229.75	
07AUG17	S	2	PD07NOV17	6427.00	6774.73	USD	-17,386.50	
07AUG17	S	5	PD07NOV17	6426.00	6774.73	USD	-43,591.25	
07AUG17	S	4	PD07NOV17	6424.50	6774.73	USD	-35,023.00	
07AUG17	S	3	PD07NOV17	6425.50	6774.73	USD	-26,192.25	
07AUG17	S	2	PD07NOV17	6414.50	6774.73	USD	-18,011.50	
07AUG17	S	2	PD07NOV17	6415.50	6774.73	USD	-17,961.50	
07AUG17	S	1	PD07NOV17	6409.00	6774.73	USD	-9,143.25	
07AUG17	S	1	PD07NOV17	6405.00	6774.73	USD	-9,243.25	
07AUG17	S	2	PD07NOV17	6404.50	6774.73	USD	-18,511.50	
07AUG17	S	2	PD07NOV17	6403.50	6774.73	USD	-18,561.50	
07AUG17	S	3	PD07NOV17	6408.00	6774.73	USD	-27,504.75	
07AUG17	S	9	PD07NOV17	6405.50	6774.73	USD	-83,076.75	
07AUG17	S	2	PD07NOV17	6406.00	6774.73	USD	-18,436.50	
07AUG17	S	4	PD07NOV17	6407.00	6774.73	USD	-36,773.00	
07AUG17	S	2	PD07NOV17	6404.00	6774.73	USD	-18,536.50	
10AUG17	B	50	PD07NOV17	6421.77	6774.73	USD	441,200.00	
	NET	0	AVG BGHT 6404.10	SOLD 6388.49			-42,150.00	0.00
08AUG17	B	1	PD08NOV17	6401.00	6775.65	USD	9,366.25	
08AUG17	B	1	PD08NOV17	6400.50	6775.65	USD	9,378.75	
08AUG17	B	5	PD08NOV17	6400.00	6775.65	USD	46,956.25	
08AUG17	B	3	PD08NOV17	6399.50	6775.65	USD	28,211.25	
08AUG17	B	3	PD08NOV17	6396.00	6775.65	USD	28,473.75	
08AUG17	B	24	PD08NOV17	6397.00	6775.65	USD	227,190.00	
08AUG17	B	18	PD08NOV17	6396.50	6775.65	USD	170,617.50	
08AUG17	B	6	PD08NOV17	6398.50	6775.65	USD	56,572.50	
08AUG17	B	24	PD08NOV17	6397.50	6775.65	USD	226,890.00	
08AUG17	B	10	PD08NOV17	6395.50	6775.65	USD	95,037.50	
08AUG17	B	4	PD08NOV17	6399.00	6775.65	USD	37,665.00	
08AUG17	B	8	PD08NOV17	6398.00	6775.65	USD	75,530.00	
08AUG17	B	3	PD08NOV17	6402.00	6775.65	USD	28,023.75	
08AUG17	B	5	PD08NOV17	6401.50	6775.65	USD	46,768.75	
08AUG17	B	2	PD08NOV17	6404.00	6775.65	USD	18,582.50	
08AUG17	B	2	PD08NOV17	6408.50	6775.65	USD	18,357.50	
08AUG17	B	2	PD08NOV17	6407.00	6775.65	USD	18,432.50	
08AUG17	B	2	PD08NOV17	6402.50	6775.65	USD	18,657.50	
08AUG17	B	2	PD08NOV17	6410.00	6775.65	USD	18,282.50	
08AUG17	S	1	PD08NOV17	6407.00	6775.65	USD	-9,216.25	
08AUG17	S	1	PD08NOV17	6409.50	6775.65	USD	-9,153.75	
08AUG17	S	3	PD08NOV17	6413.00	6775.65	USD	-27,198.75	
08AUG17	S	2	PD08NOV17	6414.00	6775.65	USD	-18,082.50	
08AUG17	S	5	PD08NOV17	6407.50	6775.65	USD	-46,018.75	
08AUG17	S	2	PD08NOV17	6403.50	6775.65	USD	-18,607.50	
08AUG17	S	2	PD08NOV17	6404.50	6775.65	USD	-18,557.50	
08AUG17	S	1	PD08NOV17	6405.00	6775.65	USD	-9,266.25	
08AUG17	S	2	PD08NOV17	6406.00	6775.65	USD	-18,482.50	
08AUG17	B	3	PD08NOV17	6403.50	6775.65	USD	27,911.25	
08AUG17	B	8	PD08NOV17	6414.00	6775.65	USD	72,330.00	
08AUG17	B	1	PD08NOV17	6417.50	6775.65	USD	8,953.75	
08AUG17	B	8	PD08NOV17	6420.00	6775.65	USD	71,130.00	
08AUG17	B	1	PD08NOV17	6416.50	6775.65	USD	8,978.75	
08AUG17	B	2	PD08NOV17	6418.50	6775.65	USD	17,857.50	
08AUG17	B	6	PD08NOV17	6419.00	6775.65	USD	53,497.50	
08AUG17	B	9	PD08NOV17	6419.50	6775.65	USD	80,133.75	
08AUG17	B	1	PD08NOV17	6423.50	6775.65	USD	8,803.75	
08AUG17	B	6	PD08NOV17	6425.00	6775.65	USD	52,597.50	

OPEN POSITION STATEMENT



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SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	4	PD08NOV17	6426.00	6775.65	USD	34,965.00	
08AUG17	B	1	PD08NOV17	6427.00	6775.65	USD	8,716.25	
08AUG17	B	3	PD08NOV17	6432.00	6775.65	USD	25,773.75	
08AUG17	B	1	PD08NOV17	6431.00	6775.65	USD	8,616.25	
08AUG17	B	1	PD08NOV17	6433.00	6775.65	USD	8,566.25	
08AUG17	B	3	PD08NOV17	6434.00	6775.65	USD	25,623.75	
08AUG17	B	2	PD08NOV17	6434.50	6775.65	USD	17,057.50	
08AUG17	B	5	PD08NOV17	6450.00	6775.65	USD	40,706.25	
08AUG17	B	1	PD08NOV17	6449.50	6775.65	USD	8,153.75	
08AUG17	B	1	PD08NOV17	6449.00	6775.65	USD	8,166.25	
08AUG17	B	2	PD08NOV17	6448.00	6775.65	USD	16,382.50	
08AUG17	B	4	PD08NOV17	6450.50	6775.65	USD	32,515.00	
08AUG17	B	1	PD08NOV17	6453.50	6775.65	USD	8,053.75	
08AUG17	B	1	PD08NOV17	6459.50	6775.65	USD	7,903.75	
08AUG17	B	4	PD08NOV17	6460.00	6775.65	USD	31,565.00	
08AUG17	B	1	PD08NOV17	6464.50	6775.65	USD	7,778.75	
08AUG17	B	1	PD08NOV17	6462.50	6775.65	USD	7,828.75	
08AUG17	B	2	PD08NOV17	6462.00	6775.65	USD	15,682.50	
08AUG17	B	1	PD08NOV17	6461.00	6775.65	USD	7,866.25	
08AUG17	B	1	PD08NOV17	6467.00	6775.65	USD	7,716.25	
08AUG17	B	1	PD08NOV17	6468.00	6775.65	USD	7,691.25	
08AUG17	B	1	PD08NOV17	6473.50	6775.65	USD	7,553.75	
08AUG17	B	1	PD08NOV17	6474.50	6775.65	USD	7,528.75	
08AUG17	B	1	PD08NOV17	6479.50	6775.65	USD	7,403.75	
08AUG17	B	1	PD08NOV17	6481.00	6775.65	USD	7,366.25	
08AUG17	B	1	PD08NOV17	6483.00	6775.65	USD	7,316.25	
08AUG17	B	2	PD08NOV17	6479.00	6775.65	USD	14,832.50	
08AUG17	B	1	PD08NOV17	6482.50	6775.65	USD	7,328.75	
08AUG17	B	11	PD08NOV17	6483.50	6775.65	USD	80,341.25	
08AUG17	B	1	PD08NOV17	6482.00	6775.65	USD	7,341.25	
08AUG17	S	9	PD08NOV17	6479.00	6775.65	USD	-66,746.25	
08AUG17	S	6	PD08NOV17	6479.50	6775.65	USD	-44,422.50	
08AUG17	S	1	PD08NOV17	6482.00	6775.65	USD	-7,341.25	
08AUG17	S	1	PD08NOV17	6481.00	6775.65	USD	-7,366.25	
10AUG17	S	90	PD08NOV17	6421.98	6775.65	USD	-795,757.50	
10AUG17	S	40	PD08NOV17	6422.18	6775.65	USD	-353,470.00	
14AUG17	S	60	PD08NOV17	6394.87	6775.65	USD	-571,170.00	
21AUG17	S	5	PD08NOV17	6584.00	6775.65	USD	-23,956.25	
	NET	0AVG BGHT 6417.98	SOLD 6421.57				20,715.00	0.00
09AUG17	S	2	PD09NOV17	6498.00	6776.58	USD	-13,929.00	
09AUG17	S	1	PD09NOV17	6493.50	6776.58	USD	-7,077.00	
09AUG17	S	1	PD09NOV17	6490.50	6776.58	USD	-7,152.00	
09AUG17	B	1	PD09NOV17	6480.50	6776.58	USD	7,402.00	
09AUG17	B	3	PD09NOV17	6479.50	6776.58	USD	22,281.00	
09AUG17	B	2	PD09NOV17	6479.00	6776.58	USD	14,879.00	
09AUG17	B	3	PD09NOV17	6480.00	6776.58	USD	22,243.50	
09AUG17	B	3	PD09NOV17	6475.50	6776.58	USD	22,581.00	
09AUG17	B	1	PD09NOV17	6478.00	6776.58	USD	7,464.50	
09AUG17	B	2	PD09NOV17	6486.00	6776.58	USD	14,529.00	
09AUG17	S	1	PD09NOV17	6502.00	6776.58	USD	-6,864.50	
09AUG17	S	2	PD09NOV17	6500.50	6776.58	USD	-13,804.00	
09AUG17	S	1	PD09NOV17	6499.00	6776.58	USD	-6,939.50	
09AUG17	S	4	PD09NOV17	6500.00	6776.58	USD	-27,658.00	
09AUG17	S	2	PD09NOV17	6498.50	6776.58	USD	-13,904.00	
09AUG17	S	4	PD09NOV17	6497.50	6776.58	USD	-27,908.00	
09AUG17	B	1	PD09NOV17	6497.00	6776.58	USD	6,989.50	
09AUG17	B	2	PD09NOV17	6495.50	6776.58	USD	14,054.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	4	PD09NOV17	6497.50	6776.58	USD	27,908.00	
09AUG17	B	1	PD09NOV17	6495.00	6776.58	USD	7,039.50	
09AUG17	B	7	PD09NOV17	6496.50	6776.58	USD	49,014.00	
09AUG17	B	1	PD09NOV17	6498.50	6776.58	USD	6,952.00	
09AUG17	B	1	PD09NOV17	6498.00	6776.58	USD	6,964.50	
09AUG17	B	1	PD09NOV17	6494.50	6776.58	USD	7,052.00	
09AUG17	B	1	PD09NOV17	6496.00	6776.58	USD	7,014.50	
09AUG17	S	1	PD09NOV17	6493.50	6776.58	USD	-7,077.00	
09AUG17	S	1	PD09NOV17	6482.00	6776.58	USD	-7,364.50	
09AUG17	B	1	PD09NOV17	6491.50	6776.58	USD	7,127.00	
09AUG17	B	6	PD09NOV17	6494.00	6776.58	USD	42,387.00	
09AUG17	B	5	PD09NOV17	6492.50	6776.58	USD	35,510.00	
09AUG17	B	4	PD09NOV17	6489.50	6776.58	USD	28,708.00	
09AUG17	B	1	PD09NOV17	6493.00	6776.58	USD	7,089.50	
09AUG17	S	4	PD09NOV17	6488.00	6776.58	USD	-28,858.00	
09AUG17	S	3	PD09NOV17	6487.00	6776.58	USD	-21,718.50	
09AUG17	S	1	PD09NOV17	6491.00	6776.58	USD	-7,139.50	
09AUG17	S	6	PD09NOV17	6492.00	6776.58	USD	-42,687.00	
09AUG17	S	1	PD09NOV17	6497.00	6776.58	USD	-6,989.50	
09AUG17	S	2	PD09NOV17	6479.00	6776.58	USD	-14,879.00	
09AUG17	B	1	PD09NOV17	6477.50	6776.58	USD	7,477.00	
09AUG17	B	2	PD09NOV17	6476.50	6776.58	USD	15,004.00	
09AUG17	B	1	PD09NOV17	6481.50	6776.58	USD	7,377.00	
09AUG17	S	2	PD09NOV17	6486.50	6776.58	USD	-14,504.00	
09AUG17	S	1	PD09NOV17	6485.50	6776.58	USD	-7,277.00	
09AUG17	S	12	PD09NOV17	6484.00	6776.58	USD	-87,774.00	
09AUG17	S	5	PD09NOV17	6481.50	6776.58	USD	-36,885.00	
09AUG17	S	3	PD09NOV17	6486.00	6776.58	USD	-21,793.50	
09AUG17	S	6	PD09NOV17	6485.00	6776.58	USD	-43,737.00	
09AUG17	S	7	PD09NOV17	6484.50	6776.58	USD	-51,114.00	
09AUG17	S	7	PD09NOV17	6483.50	6776.58	USD	-51,289.00	
09AUG17	S	4	PD09NOV17	6480.50	6776.58	USD	-29,608.00	
09AUG17	S	5	PD09NOV17	6483.00	6776.58	USD	-36,697.50	
09AUG17	S	9	PD09NOV17	6482.50	6776.58	USD	-66,168.00	
09AUG17	S	5	PD09NOV17	6481.00	6776.58	USD	-36,947.50	
09AUG17	S	10	PD09NOV17	6482.00	6776.58	USD	-73,645.00	
09AUG17	S	1	PD09NOV17	6487.00	6776.58	USD	-7,239.50	
09AUG17	S	1	PD09NOV17	6481.00	6776.58	USD	-7,389.50	
09AUG17	B	2	PD09NOV17	6452.50	6776.58	USD	16,204.00	
09AUG17	B	2	PD09NOV17	6451.00	6776.58	USD	16,279.00	
09AUG17	B	6	PD09NOV17	6452.00	6776.58	USD	48,687.00	
09AUG17	B	3	PD09NOV17	6453.00	6776.58	USD	24,268.50	
09AUG17	B	1	PD09NOV17	6455.00	6776.58	USD	8,039.50	
09AUG17	B	7	PD09NOV17	6454.50	6776.58	USD	56,364.00	
09AUG17	S	1	PD09NOV17	6452.50	6776.58	USD	-8,102.00	
10AUG17	B	40	PD09NOV17	6422.79	6776.58	USD	353,790.00	
	NET	0 AVG BGHT 6459.79	SOLD 6486.19				76,560.00	0.00
10AUG17	S	1	PD10NOV17	6438.50	6777.50	USD	-8,475.00	
10AUG17	S	1	PD10NOV17	6439.50	6777.50	USD	-8,450.00	
10AUG17	S	1	PD10NOV17	6437.00	6777.50	USD	-8,512.50	
10AUG17	S	1	PD10NOV17	6440.50	6777.50	USD	-8,425.00	
10AUG17	S	1	PD10NOV17	6440.00	6777.50	USD	-8,437.50	
10AUG17	S	6	PD10NOV17	6451.00	6777.50	USD	-48,975.00	
10AUG17	S	4	PD10NOV17	6450.00	6777.50	USD	-32,750.00	
10AUG17	S	4	PD10NOV17	6455.50	6777.50	USD	-32,200.00	
10AUG17	S	1	PD10NOV17	6450.00	6777.50	USD	-8,187.50	
10AUG17	B	6	PD10NOV17	6423.00	6777.50	USD	53,175.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	2	PD10NOV17	6425.50	6777.50	USD	17,600.00	
10AUG17	B	2	PD10NOV17	6425.00	6777.50	USD	17,625.00	
10AUG17	B	2	PD10NOV17	6426.50	6777.50	USD	17,550.00	
10AUG17	B	2	PD10NOV17	6427.50	6777.50	USD	17,500.00	
10AUG17	S	2	PD10NOV17	6430.50	6777.50	USD	-17,350.00	
10AUG17	S	2	PD10NOV17	6432.00	6777.50	USD	-17,275.00	
10AUG17	S	1	PD10NOV17	6429.50	6777.50	USD	-8,700.00	
10AUG17	S	1	PD10NOV17	6422.00	6777.50	USD	-8,887.50	
10AUG17	S	1	PD10NOV17	6416.50	6777.50	USD	-9,025.00	
10AUG17	S	1	PD10NOV17	6417.50	6777.50	USD	-9,000.00	
10AUG17	S	1	PD10NOV17	6418.50	6777.50	USD	-8,975.00	
10AUG17	S	1	PD10NOV17	6419.50	6777.50	USD	-8,950.00	
10AUG17	S	1	PD10NOV17	6406.50	6777.50	USD	-9,275.00	
10AUG17	S	1	PD10NOV17	6399.00	6777.50	USD	-9,462.50	
10AUG17	S	1	PD10NOV17	6399.50	6777.50	USD	-9,450.00	
10AUG17	S	2	PD10NOV17	6400.00	6777.50	USD	-18,875.00	
10AUG17	S	2	PD10NOV17	6402.00	6777.50	USD	-18,775.00	
10AUG17	S	1	PD10NOV17	6401.50	6777.50	USD	-9,400.00	
10AUG17	S	4	PD10NOV17	6402.50	6777.50	USD	-37,500.00	
10AUG17	S	2	PD10NOV17	6396.50	6777.50	USD	-19,050.00	
10AUG17	S	3	PD10NOV17	6397.50	6777.50	USD	-28,500.00	
10AUG17	S	1	PD10NOV17	6395.00	6777.50	USD	-9,562.50	
10AUG17	S	2	PD10NOV17	6394.50	6777.50	USD	-19,150.00	
10AUG17	S	4	PD10NOV17	6393.50	6777.50	USD	-38,400.00	
10AUG17	S	2	PD10NOV17	6408.00	6777.50	USD	-18,475.00	
10AUG17	S	1	PD10NOV17	6412.50	6777.50	USD	-9,125.00	
10AUG17	S	5	PD10NOV17	6395.50	6777.50	USD	-47,750.00	
10AUG17	B	2	PD10NOV17	6404.50	6777.50	USD	18,650.00	
10AUG17	B	9	PD10NOV17	6409.00	6777.50	USD	82,912.50	
10AUG17	B	4	PD10NOV17	6408.00	6777.50	USD	36,950.00	
10AUG17	B	2	PD10NOV17	6406.00	6777.50	USD	18,575.00	
10AUG17	B	1	PD10NOV17	6401.00	6777.50	USD	9,412.50	
10AUG17	B	3	PD10NOV17	6400.00	6777.50	USD	28,312.50	
10AUG17	B	1	PD10NOV17	6402.50	6777.50	USD	9,375.00	
10AUG17	B	1	PD10NOV17	6405.50	6777.50	USD	9,300.00	
10AUG17	S	5	PD10NOV17	6404.00	6777.50	USD	-46,687.50	
10AUG17	B	6	PD10NOV17	6403.50	6777.50	USD	56,100.00	
10AUG17	S	8	PD10NOV17	6403.50	6777.50	USD	-74,800.00	
10AUG17	S	5	PD10NOV17	6403.00	6777.50	USD	-46,812.50	
10AUG17	B	5	PD10NOV17	6404.00	6777.50	USD	46,687.50	
10AUG17	B	9	PD10NOV17	6402.00	6777.50	USD	84,487.50	
10AUG17	B	1	PD10NOV17	6400.50	6777.50	USD	9,425.00	
10AUG17	S	3	PD10NOV17	6401.00	6777.50	USD	-28,237.50	
11AUG17	S	3	PD10NOV17	6354.00	6777.50	USD	-31,762.50	
11AUG17	S	2	PD10NOV17	6352.50	6777.50	USD	-21,250.00	
11AUG17	S	5	PD10NOV17	6350.50	6777.50	USD	-53,375.00	
11AUG17	S	3	PD10NOV17	6346.50	6777.50	USD	-32,325.00	
11AUG17	S	2	PD10NOV17	6345.50	6777.50	USD	-21,600.00	
11AUG17	S	3	PD10NOV17	6346.00	6777.50	USD	-32,362.50	
11AUG17	S	1	PD10NOV17	6345.00	6777.50	USD	-10,812.50	
11AUG17	S	1	PD10NOV17	6340.50	6777.50	USD	-10,925.00	
11AUG17	S	3	PD10NOV17	6341.00	6777.50	USD	-32,737.50	
11AUG17	S	1	PD10NOV17	6342.00	6777.50	USD	-10,887.50	
11AUG17	S	3	PD10NOV17	6364.00	6777.50	USD	-31,012.50	
11AUG17	B	1	PD10NOV17	6378.00	6777.50	USD	9,987.50	
11AUG17	B	2	PD10NOV17	6380.00	6777.50	USD	19,875.00	
11AUG17	B	3	PD10NOV17	6379.50	6777.50	USD	29,850.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6372.50	6777.50	USD	-10,125.00	
11AUG17	S	1	PD10NOV17	6371.00	6777.50	USD	-10,162.50	
11AUG17	S	4	PD10NOV17	6370.00	6777.50	USD	-40,750.00	
11AUG17	S	2	PD10NOV17	6366.50	6777.50	USD	-20,550.00	
11AUG17	S	2	PD10NOV17	6366.00	6777.50	USD	-20,575.00	
11AUG17	S	3	PD10NOV17	6365.00	6777.50	USD	-30,937.50	
11AUG17	S	2	PD10NOV17	6362.50	6777.50	USD	-20,750.00	
11AUG17	B	5	PD10NOV17	6362.50	6777.50	USD	51,875.00	
11AUG17	B	1	PD10NOV17	6358.00	6777.50	USD	10,487.50	
11AUG17	B	4	PD10NOV17	6354.00	6777.50	USD	42,350.00	
11AUG17	B	3	PD10NOV17	6352.00	6777.50	USD	31,912.50	
11AUG17	B	1	PD10NOV17	6350.50	6777.50	USD	10,675.00	
11AUG17	S	1	PD10NOV17	6345.00	6777.50	USD	-10,812.50	
11AUG17	S	2	PD10NOV17	6370.00	6777.50	USD	-20,375.00	
11AUG17	S	2	PD10NOV17	6384.50	6777.50	USD	-19,650.00	
11AUG17	S	5	PD10NOV17	6385.00	6777.50	USD	-49,062.50	
11AUG17	S	3	PD10NOV17	6387.00	6777.50	USD	-29,287.50	
11AUG17	S	1	PD10NOV17	6388.00	6777.50	USD	-9,737.50	
11AUG17	S	2	PD10NOV17	6389.00	6777.50	USD	-19,425.00	
11AUG17	S	2	PD10NOV17	6392.50	6777.50	USD	-19,250.00	
11AUG17	B	7	PD10NOV17	6397.50	6777.50	USD	66,500.00	
11AUG17	B	2	PD10NOV17	6395.00	6777.50	USD	19,125.00	
11AUG17	B	3	PD10NOV17	6393.50	6777.50	USD	28,800.00	
11AUG17	B	5	PD10NOV17	6397.00	6777.50	USD	47,562.50	
11AUG17	B	5	PD10NOV17	6396.50	6777.50	USD	47,625.00	
11AUG17	B	1	PD10NOV17	6413.50	6777.50	USD	9,100.00	
11AUG17	B	1	PD10NOV17	6410.00	6777.50	USD	9,187.50	
11AUG17	B	1	PD10NOV17	6406.50	6777.50	USD	9,275.00	
11AUG17	B	3	PD10NOV17	6404.00	6777.50	USD	28,012.50	
11AUG17	B	6	PD10NOV17	6403.50	6777.50	USD	56,100.00	
11AUG17	B	1	PD10NOV17	6404.50	6777.50	USD	9,325.00	
11AUG17	B	2	PD10NOV17	6399.50	6777.50	USD	18,900.00	
11AUG17	B	2	PD10NOV17	6398.50	6777.50	USD	18,950.00	
11AUG17	S	4	PD10NOV17	6406.50	6777.50	USD	-37,100.00	
11AUG17	S	2	PD10NOV17	6420.00	6777.50	USD	-17,875.00	
11AUG17	S	4	PD10NOV17	6412.00	6777.50	USD	-36,550.00	
11AUG17	S	1	PD10NOV17	6427.50	6777.50	USD	-8,750.00	
11AUG17	S	2	PD10NOV17	6430.00	6777.50	USD	-17,375.00	
11AUG17	S	2	PD10NOV17	6430.50	6777.50	USD	-17,350.00	
11AUG17	S	8	PD10NOV17	6419.00	6777.50	USD	-71,700.00	
11AUG17	S	5	PD10NOV17	6414.50	6777.50	USD	-45,375.00	
11AUG17	S	12	PD10NOV17	6415.50	6777.50	USD	-108,600.00	
11AUG17	S	8	PD10NOV17	6416.50	6777.50	USD	-72,200.00	
11AUG17	S	3	PD10NOV17	6416.00	6777.50	USD	-27,112.50	
11AUG17	S	1	PD10NOV17	6413.00	6777.50	USD	-9,112.50	
11AUG17	S	6	PD10NOV17	6414.00	6777.50	USD	-54,525.00	
11AUG17	B	2	PD10NOV17	6394.50	6777.50	USD	19,150.00	
11AUG17	B	1	PD10NOV17	6396.50	6777.50	USD	9,525.00	
11AUG17	B	1	PD10NOV17	6413.00	6777.50	USD	9,112.50	
11AUG17	B	1	PD10NOV17	6414.00	6777.50	USD	9,087.50	
11AUG17	S	1	PD10NOV17	6412.00	6777.50	USD	-9,137.50	
11AUG17	B	5	PD10NOV17	6414.00	6777.50	USD	45,437.50	
11AUG17	B	9	PD10NOV17	6415.00	6777.50	USD	81,562.50	
11AUG17	B	1	PD10NOV17	6421.00	6777.50	USD	8,912.50	
11AUG17	B	2	PD10NOV17	6423.00	6777.50	USD	17,725.00	
11AUG17	B	3	PD10NOV17	6422.00	6777.50	USD	26,662.50	
14AUG17	B	60	PD10NOV17	6395.78	6777.50	USD	572,580.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6399.51	SOLD	6400.25		3,742.50	0.00
14AUG17	S	1	PD14NOV17		6416.00	6776.83	USD	-9,020.75	
14AUG17	S	1	PD14NOV17		6415.00	6776.83	USD	-9,045.75	
14AUG17	S	1	PD14NOV17		6411.50	6776.83	USD	-9,133.25	
14AUG17	S	5	PD14NOV17		6414.50	6776.83	USD	-45,291.25	
14AUG17	S	6	PD14NOV17		6410.00	6776.83	USD	-55,024.50	
14AUG17	S	3	PD14NOV17		6415.50	6776.83	USD	-27,099.75	
14AUG17	S	2	PD14NOV17		6413.50	6776.83	USD	-18,166.50	
14AUG17	S	6	PD14NOV17		6412.50	6776.83	USD	-54,649.50	
14AUG17	S	5	PD14NOV17		6397.00	6776.83	USD	-47,478.75	
14AUG17	S	3	PD14NOV17		6405.00	6776.83	USD	-27,887.25	
14AUG17	S	3	PD14NOV17		6399.50	6776.83	USD	-28,299.75	
14AUG17	B	1	PD14NOV17		6383.50	6776.83	USD	9,833.25	
14AUG17	B	1	PD14NOV17		6409.50	6776.83	USD	9,183.25	
14AUG17	B	1	PD14NOV17		6415.00	6776.83	USD	9,045.75	
14AUG17	B	1	PD14NOV17		6416.50	6776.83	USD	9,008.25	
14AUG17	S	3	PD14NOV17		6400.00	6776.83	USD	-28,262.25	
14AUG17	S	11	PD14NOV17		6398.00	6776.83	USD	-104,178.25	
14AUG17	S	4	PD14NOV17		6399.00	6776.83	USD	-37,783.00	
14AUG17	S	2	PD14NOV17		6396.50	6776.83	USD	-19,016.50	
14AUG17	S	5	PD14NOV17		6395.00	6776.83	USD	-47,728.75	
14AUG17	B	3	PD14NOV17		6399.00	6776.83	USD	28,337.25	
14AUG17	B	4	PD14NOV17		6396.00	6776.83	USD	38,083.00	
14AUG17	B	5	PD14NOV17		6397.00	6776.83	USD	47,478.75	
14AUG17	B	2	PD14NOV17		6406.00	6776.83	USD	18,541.50	
14AUG17	B	8	PD14NOV17		6405.00	6776.83	USD	74,366.00	
14AUG17	B	7	PD14NOV17		6404.50	6776.83	USD	65,157.75	
14AUG17	B	1	PD14NOV17		6403.50	6776.83	USD	9,333.25	
14AUG17	B	4	PD14NOV17		6402.50	6776.83	USD	37,433.00	
14AUG17	B	2	PD14NOV17		6403.00	6776.83	USD	18,691.50	
14AUG17	B	1	PD14NOV17		6400.00	6776.83	USD	9,420.75	
14AUG17	B	5	PD14NOV17		6399.50	6776.83	USD	47,166.25	
14AUG17	B	3	PD14NOV17		6402.00	6776.83	USD	28,112.25	
14AUG17	B	6	PD14NOV17		6404.00	6776.83	USD	55,924.50	
14AUG17	B	1	PD14NOV17		6399.50	6776.83	USD	9,433.25	
21AUG17	B	5	PD14NOV17		6586.70	6776.83	USD	23,766.25	
	NET	0 AVG	BGHT	6417.28	SOLD	6404.33		-19,750.00	0.00
15AUG17	B	1	PD15NOV17		6419.50	6776.50	USD	8,925.00	
15AUG17	S	2	PD15NOV17		6419.00	6776.50	USD	-17,875.00	
15AUG17	S	3	PD15NOV17		6415.00	6776.50	USD	-27,112.50	
15AUG17	S	7	PD15NOV17		6416.00	6776.50	USD	-63,087.50	
15AUG17	S	2	PD15NOV17		6418.50	6776.50	USD	-17,900.00	
15AUG17	S	2	PD15NOV17		6419.50	6776.50	USD	-17,850.00	
15AUG17	S	2	PD15NOV17		6418.00	6776.50	USD	-17,925.00	
15AUG17	S	4	PD15NOV17		6416.50	6776.50	USD	-36,000.00	
15AUG17	S	9	PD15NOV17		6417.50	6776.50	USD	-80,775.00	
15AUG17	S	1	PD15NOV17		6420.50	6776.50	USD	-8,900.00	
15AUG17	B	1	PD15NOV17		6413.00	6776.50	USD	9,087.50	
15AUG17	S	1	PD15NOV17		6409.50	6776.50	USD	-9,175.00	
15AUG17	S	5	PD15NOV17		6422.00	6776.50	USD	-44,312.50	
15AUG17	S	6	PD15NOV17		6380.50	6776.50	USD	-59,400.00	
15AUG17	S	7	PD15NOV17		6379.00	6776.50	USD	-69,562.50	
15AUG17	S	1	PD15NOV17		6374.50	6776.50	USD	-10,050.00	
15AUG17	S	1	PD15NOV17		6377.50	6776.50	USD	-9,975.00	
15AUG17	S	1	PD15NOV17		6381.00	6776.50	USD	-9,887.50	
15AUG17	S	1	PD15NOV17		6380.00	6776.50	USD	-9,912.50	
15AUG17	S	1	PD15NOV17		6381.50	6776.50	USD	-9,875.00	

OPEN POSITION STATEMENT



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SAP0097K

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	2	PD15NOV17	6382.50	6776.50	USD	-19,700.00	
15AUG17	S	1	PD15NOV17	6378.50	6776.50	USD	-9,950.00	
15AUG17	S	1	PD15NOV17	6379.50	6776.50	USD	-9,925.00	
15AUG17	S	7	PD15NOV17	6378.00	6776.50	USD	-69,737.50	
15AUG17	B	1	PD15NOV17	6365.50	6776.50	USD	10,275.00	
15AUG17	B	7	PD15NOV17	6367.50	6776.50	USD	71,575.00	
15AUG17	B	2	PD15NOV17	6369.00	6776.50	USD	20,375.00	
15AUG17	B	1	PD15NOV17	6373.00	6776.50	USD	10,087.50	
15AUG17	B	2	PD15NOV17	6376.50	6776.50	USD	20,000.00	
15AUG17	B	2	PD15NOV17	6375.50	6776.50	USD	20,050.00	
15AUG17	S	1	PD15NOV17	6377.00	6776.50	USD	-9,987.50	
15AUG17	S	1	PD15NOV17	6376.00	6776.50	USD	-10,012.50	
15AUG17	S	5	PD15NOV17	6377.00	6776.50	USD	-49,937.50	
15AUG17	S	3	PD15NOV17	6376.50	6776.50	USD	-30,000.00	
15AUG17	S	4	PD15NOV17	6371.50	6776.50	USD	-40,500.00	
15AUG17	S	1	PD15NOV17	6370.50	6776.50	USD	-10,150.00	
15AUG17	S	1	PD15NOV17	6368.00	6776.50	USD	-10,212.50	
15AUG17	S	1	PD15NOV17	6365.00	6776.50	USD	-10,287.50	
15AUG17	S	1	PD15NOV17	6363.50	6776.50	USD	-10,325.00	
15AUG17	B	8	PD15NOV17	6366.50	6776.50	USD	82,000.00	
30AUG17	B	60	PD15NOV17	6767.50	6776.50	USD	13,500.00	
	NET	0AVG	BGHT 6651.38	SOLD 6395.18			-544,425.00	0.00
16AUG17	S	1	PD16NOV17	6390.00	6776.25	USD	-9,656.25	
16AUG17	S	1	PD16NOV17	6391.50	6776.25	USD	-9,618.75	
16AUG17	S	3	PD16NOV17	6389.00	6776.25	USD	-29,043.75	
16AUG17	S	1	PD16NOV17	6388.50	6776.25	USD	-9,693.75	
16AUG17	S	3	PD16NOV17	6386.00	6776.25	USD	-29,268.75	
16AUG17	S	1	PD16NOV17	6386.50	6776.25	USD	-9,743.75	
16AUG17	S	2	PD16NOV17	6387.50	6776.25	USD	-19,437.50	
16AUG17	S	1	PD16NOV17	6382.50	6776.25	USD	-9,843.75	
16AUG17	S	1	PD16NOV17	6382.00	6776.25	USD	-9,856.25	
16AUG17	S	1	PD16NOV17	6376.50	6776.25	USD	-9,993.75	
16AUG17	S	4	PD16NOV17	6379.50	6776.25	USD	-39,675.00	
16AUG17	S	1	PD16NOV17	6378.50	6776.25	USD	-9,943.75	
16AUG17	B	2	PD16NOV17	6434.00	6776.25	USD	17,112.50	
16AUG17	B	2	PD16NOV17	6438.00	6776.25	USD	16,912.50	
16AUG17	B	9	PD16NOV17	6438.50	6776.25	USD	75,993.75	
16AUG17	B	2	PD16NOV17	6440.00	6776.25	USD	16,812.50	
16AUG17	B	3	PD16NOV17	6437.00	6776.25	USD	25,443.75	
16AUG17	B	1	PD16NOV17	6466.50	6776.25	USD	7,743.75	
16AUG17	B	1	PD16NOV17	6467.00	6776.25	USD	7,731.25	
16AUG17	B	3	PD16NOV17	6472.50	6776.25	USD	22,781.25	
16AUG17	B	1	PD16NOV17	6438.50	6776.25	USD	8,443.75	
16AUG17	B	1	PD16NOV17	6438.00	6776.25	USD	8,456.25	
16AUG17	B	1	PD16NOV17	6444.50	6776.25	USD	8,293.75	
16AUG17	B	1	PD16NOV17	6441.50	6776.25	USD	8,368.75	
16AUG17	B	1	PD16NOV17	6477.50	6776.25	USD	7,468.75	
16AUG17	B	2	PD16NOV17	6479.00	6776.25	USD	14,862.50	
16AUG17	B	1	PD16NOV17	6481.50	6776.25	USD	7,368.75	
16AUG17	B	2	PD16NOV17	6485.00	6776.25	USD	14,562.50	
16AUG17	B	6	PD16NOV17	6484.50	6776.25	USD	43,762.50	
16AUG17	B	6	PD16NOV17	6483.50	6776.25	USD	43,912.50	
16AUG17	B	5	PD16NOV17	6490.00	6776.25	USD	35,781.25	
16AUG17	B	1	PD16NOV17	6488.50	6776.25	USD	7,193.75	
16AUG17	B	2	PD16NOV17	6497.50	6776.25	USD	13,937.50	
16AUG17	B	2	PD16NOV17	6497.00	6776.25	USD	13,962.50	
16AUG17	B	1	PD16NOV17	6493.00	6776.25	USD	7,081.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	2	PD16NOV17	6492.00	6776.25	USD	14,212.50	
16AUG17	B	2	PD16NOV17	6491.00	6776.25	USD	14,262.50	
16AUG17	B	7	PD16NOV17	6494.00	6776.25	USD	49,393.75	
16AUG17	B	3	PD16NOV17	6490.50	6776.25	USD	21,431.25	
16AUG17	B	2	PD16NOV17	6496.00	6776.25	USD	14,012.50	
16AUG17	B	2	PD16NOV17	6492.50	6776.25	USD	14,187.50	
16AUG17	B	1	PD16NOV17	6486.00	6776.25	USD	7,256.25	
16AUG17	B	2	PD16NOV17	6485.50	6776.25	USD	14,537.50	
16AUG17	B	2	PD16NOV17	6486.50	6776.25	USD	14,487.50	
16AUG17	B	4	PD16NOV17	6487.00	6776.25	USD	28,925.00	
16AUG17	B	2	PD16NOV17	6487.50	6776.25	USD	14,437.50	
16AUG17	B	6	PD16NOV17	6489.00	6776.25	USD	43,087.50	
16AUG17	B	1	PD16NOV17	6488.00	6776.25	USD	7,206.25	
16AUG17	B	3	PD16NOV17	6493.50	6776.25	USD	21,206.25	
16AUG17	S	1	PD16NOV17	6486.00	6776.25	USD	-7,256.25	
16AUG17	S	3	PD16NOV17	6484.50	6776.25	USD	-21,881.25	
16AUG17	S	1	PD16NOV17	6488.00	6776.25	USD	-7,206.25	
16AUG17	S	1	PD16NOV17	6490.00	6776.25	USD	-7,156.25	
16AUG17	S	5	PD16NOV17	6487.50	6776.25	USD	-36,093.75	
16AUG17	S	4	PD16NOV17	6489.00	6776.25	USD	-28,725.00	
16AUG17	B	4	PD16NOV17	6513.00	6776.25	USD	26,325.00	
16AUG17	B	2	PD16NOV17	6512.00	6776.25	USD	13,212.50	
16AUG17	B	3	PD16NOV17	6514.00	6776.25	USD	19,668.75	
16AUG17	B	1	PD16NOV17	6515.00	6776.25	USD	6,531.25	
16AUG17	B	1	PD16NOV17	6513.50	6776.25	USD	6,568.75	
16AUG17	B	2	PD16NOV17	6514.50	6776.25	USD	13,087.50	
16AUG17	B	2	PD16NOV17	6515.50	6776.25	USD	13,037.50	
16AUG17	B	6	PD16NOV17	6530.00	6776.25	USD	36,937.50	
16AUG17	B	1	PD16NOV17	6521.00	6776.25	USD	6,381.25	
16AUG17	B	5	PD16NOV17	6527.50	6776.25	USD	31,093.75	
16AUG17	B	4	PD16NOV17	6526.50	6776.25	USD	24,975.00	
16AUG17	B	2	PD16NOV17	6528.00	6776.25	USD	12,412.50	
16AUG17	B	1	PD16NOV17	6530.50	6776.25	USD	6,143.75	
16AUG17	B	1	PD16NOV17	6531.50	6776.25	USD	6,118.75	
16AUG17	S	5	PD16NOV17	6575.00	6776.25	USD	-25,156.25	
16AUG17	S	3	PD16NOV17	6574.00	6776.25	USD	-15,168.75	
16AUG17	S	12	PD16NOV17	6573.00	6776.25	USD	-60,975.00	
16AUG17	B	5	PD16NOV17	6556.00	6776.25	USD	27,531.25	
16AUG17	B	1	PD16NOV17	6553.00	6776.25	USD	5,581.25	
16AUG17	B	3	PD16NOV17	6551.00	6776.25	USD	16,893.75	
16AUG17	B	2	PD16NOV17	6542.00	6776.25	USD	11,712.50	
16AUG17	B	1	PD16NOV17	6537.00	6776.25	USD	5,981.25	
16AUG17	B	2	PD16NOV17	6535.00	6776.25	USD	12,062.50	
16AUG17	B	1	PD16NOV17	6536.50	6776.25	USD	5,993.75	
16AUG17	B	4	PD16NOV17	6537.50	6776.25	USD	23,875.00	
16AUG17	S	4	PD16NOV17	6535.50	6776.25	USD	-24,075.00	
16AUG17	S	6	PD16NOV17	6536.50	6776.25	USD	-35,962.50	
16AUG17	S	4	PD16NOV17	6530.00	6776.25	USD	-24,625.00	
16AUG17	S	5	PD16NOV17	6531.00	6776.25	USD	-30,656.25	
16AUG17	S	2	PD16NOV17	6534.00	6776.25	USD	-12,112.50	
16AUG17	S	2	PD16NOV17	6533.00	6776.25	USD	-12,162.50	
16AUG17	S	2	PD16NOV17	6527.50	6776.25	USD	-12,437.50	
16AUG17	B	6	PD16NOV17	6526.00	6776.25	USD	37,537.50	
16AUG17	B	2	PD16NOV17	6531.00	6776.25	USD	12,262.50	
16AUG17	B	1	PD16NOV17	6529.50	6776.25	USD	6,168.75	
16AUG17	B	3	PD16NOV17	6528.50	6776.25	USD	18,581.25	
16AUG17	B	9	PD16NOV17	6529.00	6776.25	USD	55,631.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6531.50	6776.25	USD	6,118.75	
16AUG17	S	1	PD16NOV17	6529.00	6776.25	USD	-6,181.25	
21AUG17	S	80	PD16NOV17	6584.50	6776.25	USD	-383,500.00	
31AUG17	S	10	PD16NOV17	6784.50	6776.25	USD	2,062.50	
	NET	0AVG	BGHT 6499.98	SOLD 6555.19			236,012.50	0.00
17AUG17	B	1	PD17NOV17	6558.50	6776.78	USD	5,457.00	
17AUG17	B	3	PD17NOV17	6559.00	6776.78	USD	16,333.50	
17AUG17	B	2	PD17NOV17	6562.00	6776.78	USD	10,739.00	
17AUG17	B	4	PD17NOV17	6559.50	6776.78	USD	21,728.00	
17AUG17	B	1	PD17NOV17	6532.50	6776.78	USD	6,107.00	
17AUG17	B	2	PD17NOV17	6531.50	6776.78	USD	12,264.00	
17AUG17	B	1	PD17NOV17	6529.50	6776.78	USD	6,182.00	
17AUG17	B	2	PD17NOV17	6538.50	6776.78	USD	11,914.00	
17AUG17	B	1	PD17NOV17	6535.50	6776.78	USD	6,032.00	
17AUG17	B	2	PD17NOV17	6534.00	6776.78	USD	12,139.00	
17AUG17	B	1	PD17NOV17	6533.00	6776.78	USD	6,094.50	
17AUG17	B	1	PD17NOV17	6542.00	6776.78	USD	5,869.50	
17AUG17	S	1	PD17NOV17	6544.00	6776.78	USD	-5,819.50	
17AUG17	S	1	PD17NOV17	6546.00	6776.78	USD	-5,769.50	
17AUG17	B	1	PD17NOV17	6523.00	6776.78	USD	6,344.50	
17AUG17	B	1	PD17NOV17	6527.00	6776.78	USD	6,244.50	
17AUG17	B	2	PD17NOV17	6526.50	6776.78	USD	12,514.00	
17AUG17	B	2	PD17NOV17	6527.50	6776.78	USD	12,464.00	
17AUG17	B	2	PD17NOV17	6507.50	6776.78	USD	13,464.00	
17AUG17	B	2	PD17NOV17	6496.50	6776.78	USD	14,014.00	
17AUG17	S	1	PD17NOV17	6500.00	6776.78	USD	-6,919.50	
17AUG17	S	2	PD17NOV17	6504.50	6776.78	USD	-13,614.00	
17AUG17	B	5	PD17NOV17	6494.00	6776.78	USD	35,347.50	
17AUG17	B	4	PD17NOV17	6494.50	6776.78	USD	28,228.00	
17AUG17	B	3	PD17NOV17	6493.50	6776.78	USD	21,246.00	
17AUG17	B	2	PD17NOV17	6496.00	6776.78	USD	14,039.00	
17AUG17	B	1	PD17NOV17	6492.50	6776.78	USD	7,107.00	
17AUG17	S	4	PD17NOV17	6500.00	6776.78	USD	-27,678.00	
17AUG17	S	1	PD17NOV17	6497.50	6776.78	USD	-6,982.00	
17AUG17	S	3	PD17NOV17	6504.00	6776.78	USD	-20,458.50	
17AUG17	S	1	PD17NOV17	6511.50	6776.78	USD	-6,632.00	
17AUG17	S	1	PD17NOV17	6514.00	6776.78	USD	-6,569.50	
17AUG17	S	1	PD17NOV17	6512.50	6776.78	USD	-6,607.00	
17AUG17	S	1	PD17NOV17	6507.00	6776.78	USD	-6,744.50	
17AUG17	S	2	PD17NOV17	6509.00	6776.78	USD	-13,389.00	
17AUG17	S	1	PD17NOV17	6506.00	6776.78	USD	-6,769.50	
17AUG17	S	5	PD17NOV17	6502.50	6776.78	USD	-34,285.00	
17AUG17	S	14	PD17NOV17	6503.00	6776.78	USD	-95,823.00	
17AUG17	S	11	PD17NOV17	6502.00	6776.78	USD	-75,564.50	
17AUG17	S	1	PD17NOV17	6498.50	6776.78	USD	-6,957.00	
17AUG17	S	3	PD17NOV17	6499.50	6776.78	USD	-20,796.00	
17AUG17	S	1	PD17NOV17	6500.50	6776.78	USD	-6,907.00	
17AUG17	S	15	PD17NOV17	6501.00	6776.78	USD	-103,417.50	
17AUG17	S	2	PD17NOV17	6515.00	6776.78	USD	-13,089.00	
17AUG17	S	2	PD17NOV17	6513.00	6776.78	USD	-13,189.00	
17AUG17	S	3	PD17NOV17	6516.00	6776.78	USD	-19,558.50	
17AUG17	S	2	PD17NOV17	6514.50	6776.78	USD	-13,114.00	
17AUG17	S	1	PD17NOV17	6510.50	6776.78	USD	-6,657.00	
17AUG17	S	1	PD17NOV17	6487.50	6776.78	USD	-7,232.00	
17AUG17	S	1	PD17NOV17	6488.50	6776.78	USD	-7,207.00	
17AUG17	S	2	PD17NOV17	6492.00	6776.78	USD	-14,239.00	
17AUG17	S	5	PD17NOV17	6489.50	6776.78	USD	-35,910.00	

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17AUG17	S	5	PD17NOV17	6495.50	6776.78	USD	-35,160.00	
17AUG17	S	5	PD17NOV17	6493.00	6776.78	USD	-35,472.50	
17AUG17	S	1	PD17NOV17	6495.00	6776.78	USD	-7,044.50	
17AUG17	S	3	PD17NOV17	6493.50	6776.78	USD	-21,246.00	
17AUG17	S	5	PD17NOV17	6492.50	6776.78	USD	-35,535.00	
17AUG17	S	2	PD17NOV17	6490.00	6776.78	USD	-14,339.00	
17AUG17	S	2	PD17NOV17	6491.00	6776.78	USD	-14,289.00	
17AUG17	S	2	PD17NOV17	6491.50	6776.78	USD	-14,264.00	
17AUG17	S	1	PD17NOV17	6484.00	6776.78	USD	-7,319.50	
17AUG17	S	1	PD17NOV17	6483.00	6776.78	USD	-7,344.50	
17AUG17	S	1	PD17NOV17	6477.50	6776.78	USD	-7,482.00	
17AUG17	S	2	PD17NOV17	6475.00	6776.78	USD	-15,089.00	
17AUG17	S	1	PD17NOV17	6504.50	6776.78	USD	-6,807.00	
17AUG17	S	1	PD17NOV17	6503.00	6776.78	USD	-6,844.50	
18AUG17	S	1	PD17NOV17	6465.50	6776.78	USD	-7,782.00	
18AUG17	S	1	PD17NOV17	6467.50	6776.78	USD	-7,732.00	
18AUG17	S	1	PD17NOV17	6468.50	6776.78	USD	-7,707.00	
18AUG17	S	1	PD17NOV17	6469.50	6776.78	USD	-7,682.00	
18AUG17	S	1	PD17NOV17	6472.00	6776.78	USD	-7,619.50	
18AUG17	S	2	PD17NOV17	6470.00	6776.78	USD	-15,339.00	
18AUG17	S	3	PD17NOV17	6471.00	6776.78	USD	-22,933.50	
18AUG17	B	1	PD17NOV17	6487.50	6776.78	USD	7,232.00	
18AUG17	B	2	PD17NOV17	6487.00	6776.78	USD	14,489.00	
18AUG17	S	1	PD17NOV17	6466.50	6776.78	USD	-7,757.00	
18AUG17	S	2	PD17NOV17	6468.00	6776.78	USD	-15,439.00	
18AUG17	B	2	PD17NOV17	6470.50	6776.78	USD	15,314.00	
18AUG17	B	1	PD17NOV17	6469.50	6776.78	USD	7,682.00	
18AUG17	B	1	PD17NOV17	6470.00	6776.78	USD	7,669.50	
18AUG17	B	2	PD17NOV17	6468.50	6776.78	USD	15,414.00	
18AUG17	B	1	PD17NOV17	6469.00	6776.78	USD	7,694.50	
18AUG17	B	1	PD17NOV17	6471.00	6776.78	USD	7,644.50	
18AUG17	B	2	PD17NOV17	6462.00	6776.78	USD	15,739.00	
18AUG17	B	1	PD17NOV17	6461.50	6776.78	USD	7,882.00	
18AUG17	B	1	PD17NOV17	6459.00	6776.78	USD	7,944.50	
18AUG17	B	7	PD17NOV17	6461.00	6776.78	USD	55,261.50	
18AUG17	B	3	PD17NOV17	6473.00	6776.78	USD	22,783.50	
18AUG17	B	5	PD17NOV17	6474.00	6776.78	USD	37,847.50	
18AUG17	B	3	PD17NOV17	6477.50	6776.78	USD	22,446.00	
18AUG17	B	2	PD17NOV17	6491.00	6776.78	USD	14,289.00	
18AUG17	B	3	PD17NOV17	6493.00	6776.78	USD	21,283.50	
18AUG17	B	4	PD17NOV17	6492.50	6776.78	USD	28,428.00	
18AUG17	B	1	PD17NOV17	6491.50	6776.78	USD	7,132.00	
18AUG17	B	2	PD17NOV17	6486.50	6776.78	USD	14,514.00	
18AUG17	B	2	PD17NOV17	6485.50	6776.78	USD	14,564.00	
18AUG17	S	1	PD17NOV17	6487.00	6776.78	USD	-7,244.50	
18AUG17	S	7	PD17NOV17	6487.50	6776.78	USD	-50,624.00	
18AUG17	S	2	PD17NOV17	6491.00	6776.78	USD	-14,289.00	
18AUG17	S	3	PD17NOV17	6488.00	6776.78	USD	-21,658.50	
18AUG17	S	6	PD17NOV17	6486.50	6776.78	USD	-43,542.00	
18AUG17	S	1	PD17NOV17	6485.50	6776.78	USD	-7,282.00	
18AUG17	S	4	PD17NOV17	6480.50	6776.78	USD	-29,628.00	
18AUG17	S	5	PD17NOV17	6481.00	6776.78	USD	-36,972.50	
18AUG17	S	2	PD17NOV17	6483.00	6776.78	USD	-14,689.00	
18AUG17	S	5	PD17NOV17	6485.00	6776.78	USD	-36,472.50	
18AUG17	S	3	PD17NOV17	6486.00	6776.78	USD	-21,808.50	
21AUG17	B	80	PD17NOV17	6585.00	6776.78	USD	383,560.00	
	NET	0AVG BGHT 6538.93		SOLD 6494.62			-191,650.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	2	PD21NOV17	6544.00	6778.92	USD	-11,746.00	
21AUG17	B	1	PD21NOV17	6537.50	6778.92	USD	6,035.50	
21AUG17	B	1	PD21NOV17	6554.00	6778.92	USD	5,623.00	
21AUG17	B	1	PD21NOV17	6545.50	6778.92	USD	5,835.50	
21AUG17	B	1	PD21NOV17	6543.00	6778.92	USD	5,898.00	
21AUG17	B	1	PD21NOV17	6557.50	6778.92	USD	5,535.50	
21AUG17	B	1	PD21NOV17	6554.50	6778.92	USD	5,610.50	
21AUG17	B	1	PD21NOV17	6546.50	6778.92	USD	5,810.50	
21AUG17	B	4	PD21NOV17	6557.00	6778.92	USD	22,192.00	
21AUG17	B	1	PD21NOV17	6551.50	6778.92	USD	5,685.50	
21AUG17	B	1	PD21NOV17	6550.00	6778.92	USD	5,723.00	
21AUG17	B	2	PD21NOV17	6559.00	6778.92	USD	10,996.00	
21AUG17	B	2	PD21NOV17	6552.50	6778.92	USD	11,321.00	
21AUG17	B	3	PD21NOV17	6551.50	6778.92	USD	17,056.50	
21AUG17	B	4	PD21NOV17	6555.50	6778.92	USD	22,342.00	
21AUG17	B	2	PD21NOV17	6558.00	6778.92	USD	11,046.00	
21AUG17	B	14	PD21NOV17	6550.00	6778.92	USD	80,122.00	
21AUG17	B	1	PD21NOV17	6553.00	6778.92	USD	5,648.00	
21AUG17	B	2	PD21NOV17	6552.00	6778.92	USD	11,346.00	
21AUG17	B	3	PD21NOV17	6555.00	6778.92	USD	16,794.00	
21AUG17	B	14	PD21NOV17	6551.00	6778.92	USD	79,772.00	
21AUG17	B	5	PD21NOV17	6548.00	6778.92	USD	28,865.00	
21AUG17	B	1	PD21NOV17	6548.50	6778.92	USD	5,760.50	
21AUG17	B	2	PD21NOV17	6553.50	6778.92	USD	11,271.00	
21AUG17	B	4	PD21NOV17	6550.50	6778.92	USD	22,842.00	
21AUG17	B	5	PD21NOV17	6547.00	6778.92	USD	28,990.00	
21AUG17	B	5	PD21NOV17	6549.00	6778.92	USD	28,740.00	
21AUG17	B	4	PD21NOV17	6556.00	6778.92	USD	22,292.00	
21AUG17	B	3	PD21NOV17	6560.00	6778.92	USD	16,419.00	
21AUG17	B	2	PD21NOV17	6561.00	6778.92	USD	10,896.00	
21AUG17	B	1	PD21NOV17	6568.00	6778.92	USD	5,273.00	
21AUG17	B	3	PD21NOV17	6567.00	6778.92	USD	15,894.00	
21AUG17	B	3	PD21NOV17	6573.00	6778.92	USD	15,444.00	
21AUG17	B	6	PD21NOV17	6589.50	6778.92	USD	28,413.00	
21AUG17	B	8	PD21NOV17	6588.50	6778.92	USD	38,084.00	
21AUG17	B	1	PD21NOV17	6586.00	6778.92	USD	4,823.00	
21AUG17	B	1	PD21NOV17	6585.50	6778.92	USD	4,835.50	
21AUG17	B	6	PD21NOV17	6583.00	6778.92	USD	29,388.00	
21AUG17	B	3	PD21NOV17	6582.00	6778.92	USD	14,769.00	
21AUG17	B	1	PD21NOV17	6577.00	6778.92	USD	5,048.00	
21AUG17	B	1	PD21NOV17	6575.00	6778.92	USD	5,098.00	
21AUG17	B	3	PD21NOV17	6573.50	6778.92	USD	15,406.50	
21AUG17	B	5	PD21NOV17	6571.00	6778.92	USD	25,990.00	
21AUG17	B	8	PD21NOV17	6570.00	6778.92	USD	41,784.00	
21AUG17	B	1	PD21NOV17	6562.00	6778.92	USD	5,423.00	
21AUG17	B	2	PD21NOV17	6564.50	6778.92	USD	10,721.00	
21AUG17	B	4	PD21NOV17	6565.50	6778.92	USD	21,342.00	
21AUG17	B	2	PD21NOV17	6565.00	6778.92	USD	10,696.00	
21AUG17	B	3	PD21NOV17	6566.50	6778.92	USD	15,931.50	
21AUG17	B	1	PD21NOV17	6589.00	6778.92	USD	4,748.00	
21AUG17	B	2	PD21NOV17	6593.50	6778.92	USD	9,271.00	
21AUG17	B	7	PD21NOV17	6595.50	6778.92	USD	32,098.50	
21AUG17	B	3	PD21NOV17	6596.00	6778.92	USD	13,719.00	
21AUG17	B	2	PD21NOV17	6599.00	6778.92	USD	8,996.00	
21AUG17	B	1	PD21NOV17	6598.00	6778.92	USD	4,523.00	
21AUG17	B	4	PD21NOV17	6597.00	6778.92	USD	18,192.00	
21AUG17	B	10	PD21NOV17	6594.50	6778.92	USD	46,105.00	

OPEN POSITION STATEMENT



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SAP0097K

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	6554.50	6778.92	USD	5,610.50	
21AUG17	B	2	PD21NOV17	6553.50	6778.92	USD	11,271.00	
21AUG17	B	1	PD21NOV17	6557.00	6778.92	USD	5,548.00	
30AUG17	S	60	PD21NOV17	6766.55	6778.92	USD	-18,555.00	
30AUG17	S	75	PD21NOV17	6766.75	6778.92	USD	-22,818.75	
31AUG17	S	50	PD21NOV17	6785.75	6778.92	USD	8,537.50	
	NET	0	AVG BGHT 6566.96	SOLD 6769.38			946,331.25	0.00
22AUG17	S	1	PD22NOV17	6589.00	6779.45	USD	-4,761.25	
22AUG17	S	4	PD22NOV17	6588.50	6779.45	USD	-19,095.00	
22AUG17	S	1	PD22NOV17	6592.50	6779.45	USD	-4,673.75	
22AUG17	S	1	PD22NOV17	6595.00	6779.45	USD	-4,611.25	
22AUG17	S	2	PD22NOV17	6596.00	6779.45	USD	-9,172.50	
22AUG17	S	1	PD22NOV17	6593.50	6779.45	USD	-4,648.75	
22AUG17	B	3	PD22NOV17	6606.00	6779.45	USD	13,008.75	
22AUG17	B	1	PD22NOV17	6641.00	6779.45	USD	3,461.25	
22AUG17	B	3	PD22NOV17	6640.50	6779.45	USD	10,421.25	
22AUG17	B	2	PD22NOV17	6641.50	6779.45	USD	6,897.50	
22AUG17	B	1	PD22NOV17	6636.50	6779.45	USD	3,573.75	
22AUG17	B	2	PD22NOV17	6635.00	6779.45	USD	7,222.50	
22AUG17	B	1	PD22NOV17	6634.00	6779.45	USD	3,636.25	
22AUG17	B	2	PD22NOV17	6632.50	6779.45	USD	7,347.50	
22AUG17	B	1	PD22NOV17	6629.00	6779.45	USD	3,761.25	
22AUG17	B	3	PD22NOV17	6627.00	6779.45	USD	11,433.75	
22AUG17	B	7	PD22NOV17	6607.00	6779.45	USD	30,178.75	
22AUG17	S	1	PD22NOV17	6612.00	6779.45	USD	-4,186.25	
22AUG17	S	1	PD22NOV17	6621.00	6779.45	USD	-3,961.25	
22AUG17	S	1	PD22NOV17	6626.50	6779.45	USD	-3,823.75	
22AUG17	B	1	PD22NOV17	6621.50	6779.45	USD	3,948.75	
22AUG17	S	1	PD22NOV17	6623.50	6779.45	USD	-3,898.75	
22AUG17	S	2	PD22NOV17	6624.50	6779.45	USD	-7,747.50	
22AUG17	S	1	PD22NOV17	6625.50	6779.45	USD	-3,848.75	
22AUG17	S	1	PD22NOV17	6627.50	6779.45	USD	-3,798.75	
22AUG17	S	1	PD22NOV17	6628.50	6779.45	USD	-3,773.75	
22AUG17	S	4	PD22NOV17	6627.00	6779.45	USD	-15,245.00	
22AUG17	B	2	PD22NOV17	6632.00	6779.45	USD	7,372.50	
22AUG17	S	1	PD22NOV17	6631.50	6779.45	USD	-3,698.75	
22AUG17	S	1	PD22NOV17	6632.00	6779.45	USD	-3,686.25	
22AUG17	B	4	PD22NOV17	6627.50	6779.45	USD	15,195.00	
22AUG17	B	1	PD22NOV17	6620.50	6779.45	USD	3,973.75	
22AUG17	B	1	PD22NOV17	6618.50	6779.45	USD	4,023.75	
22AUG17	B	1	PD22NOV17	6612.00	6779.45	USD	4,186.25	
22AUG17	B	3	PD22NOV17	6613.00	6779.45	USD	12,483.75	
22AUG17	B	1	PD22NOV17	6631.50	6779.45	USD	3,698.75	
30AUG17	S	15	PD22NOV17	6767.00	6779.45	USD	-4,668.75	
	NET	0	AVG BGHT 6623.63	SOLD 6670.15			46,525.00	0.00
23AUG17	B	1	PD24NOV17	6563.50	6780.52	USD	5,425.50	
23AUG17	B	1	PD24NOV17	6560.50	6780.52	USD	5,500.50	
23AUG17	B	2	PD24NOV17	6564.50	6780.52	USD	10,801.00	
23AUG17	B	6	PD24NOV17	6566.00	6780.52	USD	32,178.00	
23AUG17	B	1	PD24NOV17	6569.00	6780.52	USD	5,288.00	
23AUG17	B	1	PD24NOV17	6557.00	6780.52	USD	5,588.00	
23AUG17	B	1	PD24NOV17	6555.50	6780.52	USD	5,625.50	
23AUG17	B	2	PD24NOV17	6558.00	6780.52	USD	11,126.00	
23AUG17	S	1	PD24NOV17	6587.00	6780.52	USD	-4,838.00	
23AUG17	S	2	PD24NOV17	6586.50	6780.52	USD	-9,701.00	
23AUG17	S	1	PD24NOV17	6585.00	6780.52	USD	-4,888.00	
23AUG17	S	4	PD24NOV17	6585.50	6780.52	USD	-19,502.00	

OPEN POSITION STATEMENT



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SAP0097K

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23AUG17	S	1	PD24NOV17	6586.00	6780.52	USD	-4,863.00	
23AUG17	B	1	PD24NOV17	6587.50	6780.52	USD	4,825.50	
23AUG17	B	5	PD24NOV17	6588.00	6780.52	USD	24,065.00	
23AUG17	B	1	PD24NOV17	6586.50	6780.52	USD	4,850.50	
23AUG17	B	5	PD24NOV17	6586.00	6780.52	USD	24,315.00	
23AUG17	B	1	PD24NOV17	6585.50	6780.52	USD	4,875.50	
23AUG17	B	2	PD24NOV17	6587.00	6780.52	USD	9,676.00	
23AUG17	B	2	PD24NOV17	6574.00	6780.52	USD	10,326.00	
23AUG17	B	6	PD24NOV17	6573.00	6780.52	USD	31,128.00	
23AUG17	B	2	PD24NOV17	6571.50	6780.52	USD	10,451.00	
23AUG17	B	1	PD24NOV17	6551.00	6780.52	USD	5,738.00	
23AUG17	B	1	PD24NOV17	6550.50	6780.52	USD	5,750.50	
23AUG17	B	3	PD24NOV17	6550.00	6780.52	USD	17,289.00	
23AUG17	S	1	PD24NOV17	6559.50	6780.52	USD	-5,525.50	
23AUG17	S	4	PD24NOV17	6561.50	6780.52	USD	-21,902.00	
23AUG17	S	2	PD24NOV17	6566.00	6780.52	USD	-10,726.00	
23AUG17	S	1	PD24NOV17	6567.00	6780.52	USD	-5,338.00	
23AUG17	S	1	PD24NOV17	6567.50	6780.52	USD	-5,325.50	
23AUG17	S	1	PD24NOV17	6563.00	6780.52	USD	-5,438.00	
23AUG17	S	3	PD24NOV17	6564.00	6780.52	USD	-16,239.00	
23AUG17	S	1	PD24NOV17	6565.00	6780.52	USD	-5,388.00	
23AUG17	S	1	PD24NOV17	6566.50	6780.52	USD	-5,350.50	
23AUG17	S	1	PD24NOV17	6576.00	6780.52	USD	-5,113.00	
23AUG17	S	1	PD24NOV17	6569.50	6780.52	USD	-5,275.50	
23AUG17	S	4	PD24NOV17	6584.50	6780.52	USD	-19,602.00	
23AUG17	S	1	PD24NOV17	6582.50	6780.52	USD	-4,950.50	
23AUG17	S	1	PD24NOV17	6580.00	6780.52	USD	-5,013.00	
23AUG17	S	8	PD24NOV17	6583.50	6780.52	USD	-39,404.00	
23AUG17	S	3	PD24NOV17	6570.50	6780.52	USD	-15,751.50	
23AUG17	S	1	PD24NOV17	6573.50	6780.52	USD	-5,175.50	
23AUG17	S	4	PD24NOV17	6572.00	6780.52	USD	-20,852.00	
23AUG17	S	5	PD24NOV17	6570.00	6780.52	USD	-26,315.00	
23AUG17	S	3	PD24NOV17	6569.00	6780.52	USD	-15,864.00	
23AUG17	S	3	PD24NOV17	6571.00	6780.52	USD	-15,714.00	
23AUG17	S	1	PD24NOV17	6574.00	6780.52	USD	-5,163.00	
24AUG17	S	1	PD24NOV17	6608.50	6780.52	USD	-4,300.50	
24AUG17	S	9	PD24NOV17	6610.50	6780.52	USD	-38,254.50	
24AUG17	S	2	PD24NOV17	6610.00	6780.52	USD	-8,526.00	
24AUG17	S	1	PD24NOV17	6611.50	6780.52	USD	-4,225.50	
24AUG17	S	1	PD24NOV17	6604.50	6780.52	USD	-4,400.50	
24AUG17	S	1	PD24NOV17	6604.00	6780.52	USD	-4,413.00	
24AUG17	S	8	PD24NOV17	6603.00	6780.52	USD	-35,504.00	
24AUG17	S	5	PD24NOV17	6602.50	6780.52	USD	-22,252.50	
24AUG17	S	4	PD24NOV17	6617.50	6780.52	USD	-16,302.00	
24AUG17	S	3	PD24NOV17	6614.50	6780.52	USD	-12,451.50	
24AUG17	S	6	PD24NOV17	6617.00	6780.52	USD	-24,528.00	
24AUG17	S	1	PD24NOV17	6612.00	6780.52	USD	-4,213.00	
24AUG17	S	2	PD24NOV17	6611.00	6780.52	USD	-8,476.00	
24AUG17	S	4	PD24NOV17	6614.00	6780.52	USD	-16,652.00	
24AUG17	S	1	PD24NOV17	6618.50	6780.52	USD	-4,050.50	
24AUG17	S	2	PD24NOV17	6619.00	6780.52	USD	-8,076.00	
24AUG17	S	2	PD24NOV17	6620.00	6780.52	USD	-8,026.00	
24AUG17	S	2	PD24NOV17	6618.00	6780.52	USD	-8,126.00	
24AUG17	S	2	PD24NOV17	6622.00	6780.52	USD	-7,926.00	
24AUG17	S	1	PD24NOV17	6623.00	6780.52	USD	-3,938.00	
24AUG17	S	2	PD24NOV17	6627.50	6780.52	USD	-7,651.00	
30AUG17	B	75	PD24NOV17	6767.70	6780.52	USD	24,037.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6694.23	SOLD 6593.35				-302,650.00	0.00
29AUG17	S	5	PD29NOV17	6775.00	6783.18	USD	-1,022.50	
29AUG17	S	4	PD29NOV17	6771.00	6783.18	USD	-1,218.00	
29AUG17	S	1	PD29NOV17	6772.00	6783.18	USD	-279.50	
29AUG17	S	1	PD29NOV17	6773.00	6783.18	USD	-254.50	
29AUG17	S	2	PD29NOV17	6776.50	6783.18	USD	-334.00	
29AUG17	S	2	PD29NOV17	6777.50	6783.18	USD	-284.00	
30AUG17	B	15	PD29NOV17	6768.95	6783.18	USD	5,336.25	
	NET	0 AVG BGHT 6768.95	SOLD 6774.13				1,943.75	0.00
30AUG17	S	5	PD30NOV17	6792.50	6783.72	USD	1,097.50	
30AUG17	S	3	PD30NOV17	6791.50	6783.72	USD	583.50	
30AUG17	S	4	PD30NOV17	6793.00	6783.72	USD	928.00	
30AUG17	S	1	PD30NOV17	6794.00	6783.72	USD	257.00	
30AUG17	S	1	PD30NOV17	6795.50	6783.72	USD	294.50	
30AUG17	S	3	PD30NOV17	6796.00	6783.72	USD	921.00	
30AUG17	S	3	PD30NOV17	6797.00	6783.72	USD	996.00	
30AUG17	S	6	PD30NOV17	6798.00	6783.72	USD	2,142.00	
30AUG17	S	3	PD30NOV17	6800.50	6783.72	USD	1,258.50	
30AUG17	S	11	PD30NOV17	6797.50	6783.72	USD	3,789.50	
30AUG17	S	5	PD30NOV17	6792.00	6783.72	USD	1,035.00	
30AUG17	S	4	PD30NOV17	6771.50	6783.72	USD	-1,222.00	
30AUG17	S	1	PD30NOV17	6772.00	6783.72	USD	-293.00	
31AUG17	S	5	PD30NOV17	6809.00	6783.72	USD	3,160.00	
31AUG17	S	5	PD30NOV17	6808.00	6783.72	USD	3,035.00	
31AUG17	B	60	PD30NOV17	6788.20	6783.72	USD	-6,720.00	
	NET	0 AVG BGHT 6788.20	SOLD 6795.71				11,262.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	558,686.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	558,686.25	558,686.25	558,686.25

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-533,633.50	-533,633.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-533,633.50	-533,633.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-533,633.50	-533,633.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	558,686.25	558,686.25
Net Liquidating Value	25,052.75	25,052.75

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6047.00	6761.75	USD	17,868.75	
21JUL17	B	1	PD200CT17	6041.00	6761.75	USD	18,018.75	
21JUL17	B	4	PD200CT17	6045.00	6761.75	USD	71,675.00	
21JUL17	B	2	PD200CT17	6050.50	6761.75	USD	35,562.50	
21JUL17	B	5	PD200CT17	6039.50	6761.75	USD	90,281.25	
21JUL17	B	1	PD200CT17	6035.00	6761.75	USD	18,168.75	
21JUL17	B	4	PD200CT17	6036.00	6761.75	USD	72,575.00	
21JUL17	B	2	PD200CT17	6037.50	6761.75	USD	36,212.50	
21JUL17	B	2	PD200CT17	6035.50	6761.75	USD	36,312.50	
21JUL17	B	1	PD200CT17	6034.00	6761.75	USD	18,193.75	
21JUL17	B	1	PD200CT17	6029.50	6761.75	USD	18,306.25	
21JUL17	B	3	PD200CT17	6030.00	6761.75	USD	54,881.25	
21JUL17	B	3	PD200CT17	6031.00	6761.75	USD	54,806.25	
21JUL17	B	1	PD200CT17	6024.50	6761.75	USD	18,431.25	
21JUL17	S	4	PD200CT17	6014.00	6761.75	USD	-74,775.00	
21JUL17	S	1	PD200CT17	6009.00	6761.75	USD	-18,818.75	
21JUL17	S	2	PD200CT17	6011.00	6761.75	USD	-37,537.50	
21JUL17	S	1	PD200CT17	6009.50	6761.75	USD	-18,806.25	
21JUL17	S	2	PD200CT17	6007.50	6761.75	USD	-37,712.50	
21JUL17	S	6	PD200CT17	6008.50	6761.75	USD	-112,987.50	
21JUL17	S	2	PD200CT17	6010.00	6761.75	USD	-37,587.50	
21JUL17	S	1	PD200CT17	6012.00	6761.75	USD	-18,743.75	
21JUL17	S	1	PD200CT17	6013.00	6761.75	USD	-18,718.75	
21JUL17	S	1	PD200CT17	5988.50	6761.75	USD	-19,331.25	
21JUL17	S	2	PD200CT17	5987.50	6761.75	USD	-38,712.50	
21JUL17	S	3	PD200CT17	5990.00	6761.75	USD	-57,881.25	
21JUL17	S	4	PD200CT17	5991.00	6761.75	USD	-77,075.00	
21JUL17	S	1	PD200CT17	6010.00	6761.75	USD	-18,793.75	
	NET	0	AVG BGHT 6037.50	SOLD 6003.71			-26,187.50	0.00
24JUL17	S	2	PD240CT17	6007.50	6765.25	USD	-37,887.50	
24JUL17	S	1	PD240CT17	5990.50	6765.25	USD	-19,368.75	
24JUL17	S	1	PD240CT17	6002.50	6765.25	USD	-19,068.75	
24JUL17	S	2	PD240CT17	6009.00	6765.25	USD	-37,812.50	
24JUL17	S	1	PD240CT17	6015.00	6765.25	USD	-18,756.25	
24JUL17	S	1	PD240CT17	6024.00	6765.25	USD	-18,531.25	
24JUL17	S	1	PD240CT17	6024.50	6765.25	USD	-18,518.75	
24JUL17	S	2	PD240CT17	6028.00	6765.25	USD	-36,862.50	
24JUL17	S	2	PD240CT17	6028.50	6765.25	USD	-36,837.50	
24JUL17	S	4	PD240CT17	6029.00	6765.25	USD	-73,625.00	
24JUL17	S	1	PD240CT17	6016.00	6765.25	USD	-18,731.25	
24JUL17	S	2	PD240CT17	6014.50	6765.25	USD	-37,537.50	
24JUL17	S	4	PD240CT17	6015.50	6765.25	USD	-74,975.00	
24JUL17	S	1	PD240CT17	6016.50	6765.25	USD	-18,718.75	
24JUL17	B	2	PD240CT17	6021.50	6765.25	USD	37,187.50	
24JUL17	B	1	PD240CT17	6023.00	6765.25	USD	18,556.25	
24JUL17	B	2	PD240CT17	6021.00	6765.25	USD	37,212.50	
24JUL17	B	4	PD240CT17	6020.00	6765.25	USD	74,525.00	
24JUL17	B	1	PD240CT17	6015.50	6765.25	USD	18,743.75	
24JUL17	B	2	PD240CT17	6012.50	6765.25	USD	37,637.50	
24JUL17	B	2	PD240CT17	6016.00	6765.25	USD	37,462.50	
24JUL17	B	6	PD240CT17	6017.00	6765.25	USD	112,237.50	
24JUL17	B	2	PD240CT17	6022.00	6765.25	USD	37,162.50	
24JUL17	S	3	PD240CT17	6025.50	6765.25	USD	-55,481.25	
24JUL17	S	1	PD240CT17	6019.00	6765.25	USD	-18,656.25	
24JUL17	B	4	PD240CT17	6026.00	6765.25	USD	73,925.00	
24JUL17	B	2	PD240CT17	6027.00	6765.25	USD	36,912.50	
24JUL17	B	1	PD240CT17	6016.00	6765.25	USD	18,731.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	2	PD240CT17	6026.50	6765.25	USD	-36,937.50	
24JUL17	B	1	PD240CT17	6031.50	6765.25	USD	18,343.75	
24JUL17	B	1	PD240CT17	6032.50	6765.25	USD	18,318.75	
	NET	0AVG BGHT 6020.79	SOLD 6019.05				-1,350.00	0.00
25JUL17	S	1	PD250CT17	6089.50	6765.68	USD	-16,904.50	
25JUL17	B	1	PD250CT17	6086.00	6765.68	USD	16,992.00	
25JUL17	B	3	PD250CT17	6086.50	6765.68	USD	50,938.50	
25JUL17	B	2	PD250CT17	6087.50	6765.68	USD	33,909.00	
25JUL17	B	3	PD250CT17	6085.00	6765.68	USD	51,051.00	
25JUL17	B	3	PD250CT17	6083.50	6765.68	USD	51,163.50	
25JUL17	B	2	PD250CT17	6085.50	6765.68	USD	34,009.00	
25JUL17	B	4	PD250CT17	6107.50	6765.68	USD	65,818.00	
25JUL17	B	2	PD250CT17	6105.00	6765.68	USD	33,034.00	
25JUL17	B	1	PD250CT17	6104.00	6765.68	USD	16,542.00	
25JUL17	B	2	PD250CT17	6103.00	6765.68	USD	33,134.00	
25JUL17	B	1	PD250CT17	6102.00	6765.68	USD	16,592.00	
25JUL17	B	1	PD250CT17	6113.50	6765.68	USD	16,304.50	
25JUL17	B	1	PD250CT17	6118.00	6765.68	USD	16,192.00	
25JUL17	B	2	PD250CT17	6119.00	6765.68	USD	32,334.00	
25JUL17	B	6	PD250CT17	6125.00	6765.68	USD	96,102.00	
25JUL17	B	3	PD250CT17	6129.50	6765.68	USD	47,713.50	
25JUL17	B	2	PD250CT17	6128.50	6765.68	USD	31,859.00	
25JUL17	B	1	PD250CT17	6117.50	6765.68	USD	16,204.50	
25JUL17	B	1	PD250CT17	6115.50	6765.68	USD	16,254.50	
25JUL17	B	3	PD250CT17	6114.00	6765.68	USD	48,876.00	
25JUL17	B	2	PD250CT17	6114.50	6765.68	USD	32,559.00	
25JUL17	S	5	PD250CT17	6120.00	6765.68	USD	-80,710.00	
25JUL17	B	5	PD250CT17	6120.50	6765.68	USD	80,647.50	
25JUL17	B	1	PD250CT17	6119.50	6765.68	USD	16,154.50	
25JUL17	B	8	PD250CT17	6118.50	6765.68	USD	129,436.00	
25JUL17	B	4	PD250CT17	6115.00	6765.68	USD	65,068.00	
25JUL17	B	8	PD250CT17	6116.00	6765.68	USD	129,936.00	
25JUL17	S	1	PD250CT17	6116.50	6765.68	USD	-16,229.50	
25JUL17	B	1	PD250CT17	6144.50	6765.68	USD	15,529.50	
25JUL17	B	4	PD250CT17	6145.00	6765.68	USD	62,068.00	
25JUL17	B	1	PD250CT17	6143.50	6765.68	USD	15,554.50	
25JUL17	B	1	PD250CT17	6146.50	6765.68	USD	15,479.50	
25JUL17	S	1	PD250CT17	6155.50	6765.68	USD	-15,254.50	
25JUL17	S	3	PD250CT17	6186.00	6765.68	USD	-43,476.00	
25JUL17	S	1	PD250CT17	6185.00	6765.68	USD	-14,517.00	
25JUL17	S	1	PD250CT17	6182.50	6765.68	USD	-14,579.50	
25JUL17	S	3	PD250CT17	6183.00	6765.68	USD	-43,701.00	
25JUL17	S	1	PD250CT17	6184.50	6765.68	USD	-14,529.50	
25JUL17	B	2	PD250CT17	6182.50	6765.68	USD	29,159.00	
25JUL17	S	3	PD250CT17	6182.00	6765.68	USD	-43,776.00	
25JUL17	S	6	PD250CT17	6181.50	6765.68	USD	-87,627.00	
25JUL17	B	4	PD250CT17	6188.50	6765.68	USD	57,718.00	
25JUL17	B	1	PD250CT17	6188.00	6765.68	USD	14,442.00	
25JUL17	B	2	PD250CT17	6195.50	6765.68	USD	28,509.00	
25JUL17	B	3	PD250CT17	6194.50	6765.68	USD	42,838.50	
25JUL17	B	1	PD250CT17	6193.50	6765.68	USD	14,304.50	
25JUL17	B	1	PD250CT17	6193.00	6765.68	USD	14,317.00	
25JUL17	B	4	PD250CT17	6192.50	6765.68	USD	57,318.00	
25JUL17	B	1	PD250CT17	6191.50	6765.68	USD	14,354.50	
25JUL17	S	1	PD250CT17	6155.00	6765.68	USD	-15,267.00	
25JUL17	B	4	PD250CT17	6189.00	6765.68	USD	57,668.00	
25JUL17	B	1	PD250CT17	6187.50	6765.68	USD	14,454.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	6186.50	6765.68	USD	14,479.50	
25JUL17	S	1	PD250CT17	6185.50	6765.68	USD	-14,504.50	
25JUL17	B	1	PD250CT17	6178.50	6765.68	USD	14,679.50	
25JUL17	B	1	PD250CT17	6180.00	6765.68	USD	14,642.00	
25JUL17	B	3	PD250CT17	6179.00	6765.68	USD	44,001.00	
25JUL17	B	7	PD250CT17	6181.50	6765.68	USD	102,231.50	
25JUL17	B	1	PD250CT17	6201.00	6765.68	USD	14,117.00	
25JUL17	B	1	PD250CT17	6200.50	6765.68	USD	14,129.50	
25JUL17	B	2	PD250CT17	6189.50	6765.68	USD	28,809.00	
25JUL17	B	1	PD250CT17	6192.00	6765.68	USD	14,342.00	
25JUL17	B	2	PD250CT17	6194.00	6765.68	USD	28,584.00	
25JUL17	B	3	PD250CT17	6197.50	6765.68	USD	42,613.50	
25JUL17	B	1	PD250CT17	6198.00	6765.68	USD	14,192.00	
25JUL17	B	2	PD250CT17	6199.00	6765.68	USD	28,334.00	
25JUL17	B	4	PD250CT17	6198.50	6765.68	USD	56,718.00	
25JUL17	B	9	PD250CT17	6199.50	6765.68	USD	127,390.50	
25JUL17	B	3	PD250CT17	6201.50	6765.68	USD	42,313.50	
25JUL17	B	1	PD250CT17	6202.50	6765.68	USD	14,079.50	
25JUL17	B	1	PD250CT17	6189.00	6765.68	USD	14,417.00	
25JUL17	B	1	PD250CT17	6198.50	6765.68	USD	14,179.50	
25JUL17	B	1	PD250CT17	6205.50	6765.68	USD	14,004.50	
25JUL17	B	4	PD250CT17	6210.00	6765.68	USD	55,568.00	
25JUL17	B	4	PD250CT17	6226.50	6765.68	USD	53,918.00	
25JUL17	B	1	PD250CT17	6222.00	6765.68	USD	13,592.00	
25JUL17	B	1	PD250CT17	6223.00	6765.68	USD	13,567.00	
25JUL17	B	1	PD250CT17	6225.50	6765.68	USD	13,504.50	
25JUL17	B	3	PD250CT17	6228.50	6765.68	USD	40,288.50	
25JUL17	B	3	PD250CT17	6227.50	6765.68	USD	40,363.50	
25JUL17	B	1	PD250CT17	6235.00	6765.68	USD	13,267.00	
25JUL17	B	1	PD250CT17	6247.50	6765.68	USD	12,954.50	
25JUL17	B	1	PD250CT17	6269.00	6765.68	USD	12,417.00	
25JUL17	B	2	PD250CT17	6260.00	6765.68	USD	25,284.00	
25JUL17	B	2	PD250CT17	6258.00	6765.68	USD	25,384.00	
25JUL17	B	1	PD250CT17	6257.00	6765.68	USD	12,717.00	
25JUL17	B	1	PD250CT17	6256.50	6765.68	USD	12,729.50	
25JUL17	B	2	PD250CT17	6261.50	6765.68	USD	25,209.00	
25JUL17	B	1	PD250CT17	6261.00	6765.68	USD	12,617.00	
25JUL17	S	1	PD250CT17	6250.00	6765.68	USD	-12,892.00	
25JUL17	S	1	PD250CT17	6248.00	6765.68	USD	-12,942.00	
25JUL17	S	3	PD250CT17	6249.00	6765.68	USD	-38,751.00	
25JUL17	B	2	PD250CT17	6249.00	6765.68	USD	25,834.00	
25JUL17	S	3	PD250CT17	6250.50	6765.68	USD	-38,638.50	
25JUL17	S	2	PD250CT17	6251.00	6765.68	USD	-25,734.00	
25JUL17	S	1	PD250CT17	6251.50	6765.68	USD	-12,854.50	
25JUL17	S	1	PD250CT17	6248.50	6765.68	USD	-12,929.50	
25JUL17	S	6	PD250CT17	6249.50	6765.68	USD	-77,427.00	
25JUL17	S	2	PD250CT17	6253.00	6765.68	USD	-25,634.00	
25JUL17	S	1	PD250CT17	6255.00	6765.68	USD	-12,767.00	
25JUL17	S	2	PD250CT17	6254.50	6765.68	USD	-25,559.00	
25JUL17	S	1	PD250CT17	6255.50	6765.68	USD	-12,754.50	
25JUL17	S	1	PD250CT17	6257.00	6765.68	USD	-12,717.00	
25JUL17	S	2	PD250CT17	6256.50	6765.68	USD	-25,459.00	
25JUL17	S	2	PD250CT17	6259.50	6765.68	USD	-25,309.00	
25JUL17	S	3	PD250CT17	6258.50	6765.68	USD	-38,038.50	
27JUL17	S	80	PD250CT17	6329.43	6765.68	USD	-872,500.00	
28JUL17	S	5	PD250CT17	6324.29	6765.68	USD	-55,173.75	
01AUG17	S	35	PD250CT17	6342.50	6765.68	USD	-370,282.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0	AVG	BGHT 6165.68				570,571.25	0.00
				SOLD 6292.47					
26JUL17	S	2	PD260CT17		6344.00	6766.11	USD	-21,105.50	
26JUL17	S	2	PD260CT17		6342.50	6766.11	USD	-21,180.50	
26JUL17	S	3	PD260CT17		6343.00	6766.11	USD	-31,733.25	
26JUL17	S	12	PD260CT17		6343.50	6766.11	USD	-126,783.00	
26JUL17	S	1	PD260CT17		6354.00	6766.11	USD	-10,302.75	
26JUL17	S	1	PD260CT17		6359.00	6766.11	USD	-10,177.75	
26JUL17	S	2	PD260CT17		6357.00	6766.11	USD	-20,455.50	
26JUL17	S	1	PD260CT17		6373.00	6766.11	USD	-9,827.75	
26JUL17	S	1	PD260CT17		6372.00	6766.11	USD	-9,852.75	
26JUL17	S	1	PD260CT17		6364.50	6766.11	USD	-10,040.25	
26JUL17	S	1	PD260CT17		6365.00	6766.11	USD	-10,027.75	
26JUL17	S	1	PD260CT17		6365.50	6766.11	USD	-10,015.25	
26JUL17	S	1	PD260CT17		6366.50	6766.11	USD	-9,990.25	
26JUL17	S	3	PD260CT17		6370.00	6766.11	USD	-29,708.25	
26JUL17	S	1	PD260CT17		6376.50	6766.11	USD	-9,740.25	
26JUL17	S	5	PD260CT17		6378.00	6766.11	USD	-48,513.75	
26JUL17	S	1	PD260CT17		6381.00	6766.11	USD	-9,627.75	
26JUL17	S	5	PD260CT17		6380.50	6766.11	USD	-48,201.25	
26JUL17	S	1	PD260CT17		6396.00	6766.11	USD	-9,252.75	
26JUL17	S	2	PD260CT17		6390.50	6766.11	USD	-18,780.50	
26JUL17	S	1	PD260CT17		6387.50	6766.11	USD	-9,465.25	
26JUL17	S	2	PD260CT17		6390.00	6766.11	USD	-18,805.50	
26JUL17	S	1	PD260CT17		6391.00	6766.11	USD	-9,377.75	
26JUL17	S	1	PD260CT17		6383.00	6766.11	USD	-9,577.75	
26JUL17	S	1	PD260CT17		6382.00	6766.11	USD	-9,602.75	
26JUL17	S	1	PD260CT17		6384.00	6766.11	USD	-9,552.75	
26JUL17	S	1	PD260CT17		6371.50	6766.11	USD	-9,865.25	
26JUL17	S	1	PD260CT17		6373.50	6766.11	USD	-9,815.25	
26JUL17	S	1	PD260CT17		6358.00	6766.11	USD	-10,202.75	
26JUL17	S	1	PD260CT17		6355.50	6766.11	USD	-10,265.25	
26JUL17	S	2	PD260CT17		6354.50	6766.11	USD	-20,580.50	
26JUL17	S	4	PD260CT17		6355.00	6766.11	USD	-41,111.00	
26JUL17	S	3	PD260CT17		6356.00	6766.11	USD	-30,758.25	
26JUL17	S	1	PD260CT17		6349.50	6766.11	USD	-10,415.25	
26JUL17	S	5	PD260CT17		6344.50	6766.11	USD	-52,701.25	
26JUL17	S	3	PD260CT17		6352.00	6766.11	USD	-31,058.25	
26JUL17	S	9	PD260CT17		6350.50	6766.11	USD	-93,512.25	
26JUL17	S	1	PD260CT17		6351.50	6766.11	USD	-10,365.25	
26JUL17	S	3	PD260CT17		6353.00	6766.11	USD	-30,983.25	
26JUL17	S	1	PD260CT17		6352.50	6766.11	USD	-10,340.25	
26JUL17	S	13	PD260CT17		6350.00	6766.11	USD	-135,235.75	
26JUL17	S	1	PD260CT17		6346.50	6766.11	USD	-10,490.25	
26JUL17	S	1	PD260CT17		6333.00	6766.11	USD	-10,827.75	
26JUL17	B	1	PD260CT17		6310.00	6766.11	USD	11,402.75	
26JUL17	B	1	PD260CT17		6308.00	6766.11	USD	11,452.75	
26JUL17	B	3	PD260CT17		6309.00	6766.11	USD	34,283.25	
26JUL17	B	1	PD260CT17		6302.00	6766.11	USD	11,602.75	
26JUL17	B	3	PD260CT17		6296.50	6766.11	USD	35,220.75	
26JUL17	B	1	PD260CT17		6301.50	6766.11	USD	11,615.25	
26JUL17	B	2	PD260CT17		6301.00	6766.11	USD	23,255.50	
26JUL17	B	1	PD260CT17		6299.50	6766.11	USD	11,665.25	
26JUL17	B	1	PD260CT17		6291.00	6766.11	USD	11,877.75	
26JUL17	B	1	PD260CT17		6292.00	6766.11	USD	11,852.75	
26JUL17	B	1	PD260CT17		6276.50	6766.11	USD	12,240.25	
26JUL17	B	2	PD260CT17		6280.00	6766.11	USD	24,305.50	
26JUL17	B	1	PD260CT17		6300.00	6766.11	USD	11,652.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	3	PD260CT17	6297.00	6766.11	USD	35,183.25	
26JUL17	B	2	PD260CT17	6295.50	6766.11	USD	23,530.50	
26JUL17	B	3	PD260CT17	6294.50	6766.11	USD	35,370.75	
26JUL17	B	6	PD260CT17	6290.00	6766.11	USD	71,416.50	
26JUL17	B	4	PD260CT17	6293.50	6766.11	USD	47,261.00	
26JUL17	B	6	PD260CT17	6292.50	6766.11	USD	71,041.50	
26JUL17	B	1	PD260CT17	6287.00	6766.11	USD	11,977.75	
26JUL17	B	3	PD260CT17	6289.00	6766.11	USD	35,783.25	
26JUL17	B	1	PD260CT17	6288.50	6766.11	USD	11,940.25	
26JUL17	B	1	PD260CT17	6287.50	6766.11	USD	11,965.25	
26JUL17	B	1	PD260CT17	6286.00	6766.11	USD	12,002.75	
26JUL17	B	1	PD260CT17	6285.50	6766.11	USD	12,015.25	
26JUL17	B	3	PD260CT17	6284.50	6766.11	USD	36,120.75	
26JUL17	B	3	PD260CT17	6283.50	6766.11	USD	36,195.75	
26JUL17	B	1	PD260CT17	6280.50	6766.11	USD	12,140.25	
26JUL17	B	1	PD260CT17	6278.50	6766.11	USD	12,190.25	
26JUL17	B	5	PD260CT17	6279.00	6766.11	USD	60,888.75	
26JUL17	B	1	PD260CT17	6276.00	6766.11	USD	12,252.75	
26JUL17	B	3	PD260CT17	6275.00	6766.11	USD	36,833.25	
26JUL17	B	1	PD260CT17	6274.00	6766.11	USD	12,302.75	
26JUL17	S	1	PD260CT17	6343.50	6766.11	USD	-10,565.25	
26JUL17	S	1	PD260CT17	6343.00	6766.11	USD	-10,577.75	
26JUL17	B	2	PD260CT17	6302.00	6766.11	USD	23,205.50	
26JUL17	B	1	PD260CT17	6288.00	6766.11	USD	11,952.75	
26JUL17	S	1	PD260CT17	6299.50	6766.11	USD	-11,665.25	
26JUL17	S	1	PD260CT17	6305.00	6766.11	USD	-11,527.75	
26JUL17	S	1	PD260CT17	6300.00	6766.11	USD	-11,652.75	
26JUL17	S	2	PD260CT17	6294.50	6766.11	USD	-23,580.50	
26JUL17	S	1	PD260CT17	6299.00	6766.11	USD	-11,677.75	
26JUL17	B	4	PD260CT17	6298.00	6766.11	USD	46,811.00	
26JUL17	S	5	PD260CT17	6296.50	6766.11	USD	-58,701.25	
26JUL17	S	3	PD260CT17	6298.50	6766.11	USD	-35,070.75	
26JUL17	S	3	PD260CT17	6301.00	6766.11	USD	-34,883.25	
26JUL17	S	3	PD260CT17	6302.00	6766.11	USD	-34,808.25	
26JUL17	S	1	PD260CT17	6304.50	6766.11	USD	-11,540.25	
26JUL17	S	4	PD260CT17	6300.50	6766.11	USD	-46,561.00	
26JUL17	S	4	PD260CT17	6301.50	6766.11	USD	-46,461.00	
26JUL17	S	5	PD260CT17	6302.50	6766.11	USD	-57,951.25	
26JUL17	S	2	PD260CT17	6303.50	6766.11	USD	-23,130.50	
26JUL17	S	1	PD260CT17	6308.00	6766.11	USD	-11,452.75	
26JUL17	S	2	PD260CT17	6309.00	6766.11	USD	-22,855.50	
26JUL17	S	3	PD260CT17	6310.00	6766.11	USD	-34,208.25	
26JUL17	S	3	PD260CT17	6310.50	6766.11	USD	-34,170.75	
26JUL17	S	1	PD260CT17	6326.50	6766.11	USD	-10,990.25	
26JUL17	S	2	PD260CT17	6325.50	6766.11	USD	-22,030.50	
26JUL17	S	3	PD260CT17	6327.50	6766.11	USD	-32,895.75	
26JUL17	S	3	PD260CT17	6329.00	6766.11	USD	-32,783.25	
26JUL17	S	2	PD260CT17	6330.00	6766.11	USD	-21,805.50	
26JUL17	B	2	PD260CT17	6335.00	6766.11	USD	21,555.50	
26JUL17	B	1	PD260CT17	6328.00	6766.11	USD	10,952.75	
26JUL17	B	1	PD260CT17	6324.50	6766.11	USD	11,040.25	
26JUL17	B	1	PD260CT17	6323.50	6766.11	USD	11,065.25	
26JUL17	B	1	PD260CT17	6330.50	6766.11	USD	10,890.25	
26JUL17	B	1	PD260CT17	6328.50	6766.11	USD	10,940.25	
26JUL17	B	1	PD260CT17	6331.00	6766.11	USD	10,877.75	
26JUL17	B	1	PD260CT17	6333.00	6766.11	USD	10,827.75	
26JUL17	B	3	PD260CT17	6298.00	6766.11	USD	35,108.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	2	PD26OCT17	6298.00	6766.11	USD	-23,405.50	
26JUL17	S	1	PD26OCT17	6296.50	6766.11	USD	-11,740.25	
26JUL17	B	1	PD26OCT17	6337.50	6766.11	USD	10,715.25	
26JUL17	B	3	PD26OCT17	6331.50	6766.11	USD	32,595.75	
26JUL17	B	3	PD26OCT17	6330.00	6766.11	USD	32,708.25	
26JUL17	B	4	PD26OCT17	6329.00	6766.11	USD	43,711.00	
26JUL17	B	1	PD26OCT17	6333.50	6766.11	USD	10,815.25	
26JUL17	B	1	PD26OCT17	6335.50	6766.11	USD	10,765.25	
26JUL17	B	2	PD26OCT17	6329.50	6766.11	USD	21,830.50	
26JUL17	S	1	PD26OCT17	6334.00	6766.11	USD	-10,802.75	
26JUL17	S	4	PD26OCT17	6345.00	6766.11	USD	-42,111.00	
26JUL17	B	1	PD26OCT17	6339.00	6766.11	USD	10,677.75	
26JUL17	B	1	PD26OCT17	6338.00	6766.11	USD	10,702.75	
26JUL17	B	1	PD26OCT17	6337.00	6766.11	USD	10,727.75	
26JUL17	B	1	PD26OCT17	6327.00	6766.11	USD	10,977.75	
26JUL17	B	5	PD26OCT17	6326.50	6766.11	USD	54,951.25	
26JUL17	S	1	PD26OCT17	6324.00	6766.11	USD	-11,052.75	
26JUL17	B	2	PD26OCT17	6321.00	6766.11	USD	22,255.50	
26JUL17	B	1	PD26OCT17	6322.50	6766.11	USD	11,090.25	
26JUL17	S	4	PD26OCT17	6319.50	6766.11	USD	-44,661.00	
26JUL17	S	1	PD26OCT17	6319.00	6766.11	USD	-11,177.75	
26JUL17	S	3	PD26OCT17	6318.00	6766.11	USD	-33,608.25	
26JUL17	S	1	PD26OCT17	6318.50	6766.11	USD	-11,190.25	
26JUL17	S	4	PD26OCT17	6316.00	6766.11	USD	-45,011.00	
26JUL17	S	2	PD26OCT17	6317.00	6766.11	USD	-22,455.50	
26JUL17	S	1	PD26OCT17	6313.50	6766.11	USD	-11,315.25	
26JUL17	S	3	PD26OCT17	6315.00	6766.11	USD	-33,833.25	
26JUL17	S	4	PD26OCT17	6311.50	6766.11	USD	-45,461.00	
26JUL17	B	1	PD26OCT17	6320.00	6766.11	USD	11,152.75	
26JUL17	S	1	PD26OCT17	6314.00	6766.11	USD	-11,302.75	
27JUL17	B	80	PD26OCT17	6329.91	6766.11	USD	872,400.00	
	NET	0AVG	BGHT 6314.24	SOLD 6336.95			111,242.50	0.00
27JUL17	B	1	PD27OCT17	6331.00	6766.54	USD	10,888.50	
27JUL17	B	1	PD27OCT17	6336.50	6766.54	USD	10,751.00	
27JUL17	B	5	PD27OCT17	6334.50	6766.54	USD	54,005.00	
27JUL17	B	3	PD27OCT17	6344.00	6766.54	USD	31,690.50	
27JUL17	B	1	PD27OCT17	6342.50	6766.54	USD	10,601.00	
27JUL17	B	2	PD27OCT17	6343.00	6766.54	USD	21,177.00	
27JUL17	S	1	PD27OCT17	6328.50	6766.54	USD	-10,951.00	
27JUL17	S	3	PD27OCT17	6329.50	6766.54	USD	-32,778.00	
27JUL17	S	1	PD27OCT17	6330.00	6766.54	USD	-10,913.50	
27JUL17	B	2	PD27OCT17	6342.00	6766.54	USD	21,227.00	
27JUL17	B	1	PD27OCT17	6343.50	6766.54	USD	10,576.00	
27JUL17	B	1	PD27OCT17	6344.50	6766.54	USD	10,551.00	
27JUL17	S	1	PD27OCT17	6344.00	6766.54	USD	-10,563.50	
27JUL17	S	1	PD27OCT17	6347.50	6766.54	USD	-10,476.00	
27JUL17	S	1	PD27OCT17	6343.00	6766.54	USD	-10,588.50	
27JUL17	S	5	PD27OCT17	6342.50	6766.54	USD	-53,005.00	
27JUL17	S	1	PD27OCT17	6339.50	6766.54	USD	-10,676.00	
27JUL17	S	1	PD27OCT17	6342.00	6766.54	USD	-10,613.50	
27JUL17	S	1	PD27OCT17	6347.00	6766.54	USD	-10,488.50	
27JUL17	S	1	PD27OCT17	6343.50	6766.54	USD	-10,576.00	
27JUL17	S	1	PD27OCT17	6367.00	6766.54	USD	-9,988.50	
27JUL17	B	2	PD27OCT17	6370.50	6766.54	USD	19,802.00	
27JUL17	B	1	PD27OCT17	6370.00	6766.54	USD	9,913.50	
27JUL17	B	1	PD27OCT17	6364.50	6766.54	USD	10,051.00	
27JUL17	B	1	PD27OCT17	6355.00	6766.54	USD	10,288.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	6359.50	6766.54	USD	10,176.00	
27JUL17	B	4	PD27OCT17	6357.50	6766.54	USD	40,904.00	
27JUL17	B	5	PD27OCT17	6356.50	6766.54	USD	51,255.00	
27JUL17	B	4	PD27OCT17	6354.00	6766.54	USD	41,254.00	
27JUL17	B	1	PD27OCT17	6352.50	6766.54	USD	10,351.00	
27JUL17	S	1	PD27OCT17	6349.00	6766.54	USD	-10,438.50	
27JUL17	S	2	PD27OCT17	6350.00	6766.54	USD	-20,827.00	
27JUL17	B	2	PD27OCT17	6339.50	6766.54	USD	21,352.00	
27JUL17	B	1	PD27OCT17	6364.50	6766.54	USD	10,051.00	
27JUL17	B	1	PD27OCT17	6352.00	6766.54	USD	10,363.50	
27JUL17	B	7	PD27OCT17	6359.00	6766.54	USD	71,319.50	
27JUL17	B	1	PD27OCT17	6360.50	6766.54	USD	10,151.00	
27JUL17	B	1	PD27OCT17	6361.00	6766.54	USD	10,138.50	
27JUL17	S	1	PD27OCT17	6369.00	6766.54	USD	-9,938.50	
27JUL17	S	1	PD27OCT17	6372.50	6766.54	USD	-9,851.00	
27JUL17	S	1	PD27OCT17	6364.00	6766.54	USD	-10,063.50	
27JUL17	S	1	PD27OCT17	6360.50	6766.54	USD	-10,151.00	
27JUL17	S	3	PD27OCT17	6353.50	6766.54	USD	-30,978.00	
27JUL17	S	2	PD27OCT17	6352.50	6766.54	USD	-20,702.00	
27JUL17	S	5	PD27OCT17	6346.00	6766.54	USD	-52,567.50	
27JUL17	S	1	PD27OCT17	6350.50	6766.54	USD	-10,401.00	
27JUL17	S	1	PD27OCT17	6351.50	6766.54	USD	-10,376.00	
27JUL17	S	3	PD27OCT17	6349.50	6766.54	USD	-31,278.00	
27JUL17	S	6	PD27OCT17	6348.50	6766.54	USD	-62,706.00	
27JUL17	S	1	PD27OCT17	6355.50	6766.54	USD	-10,276.00	
27JUL17	B	1	PD27OCT17	6349.00	6766.54	USD	10,438.50	
27JUL17	B	2	PD27OCT17	6348.00	6766.54	USD	20,927.00	
27JUL17	B	1	PD27OCT17	6347.00	6766.54	USD	10,488.50	
27JUL17	B	1	PD27OCT17	6345.50	6766.54	USD	10,526.00	
27JUL17	B	2	PD27OCT17	6335.00	6766.54	USD	21,577.00	
27JUL17	B	1	PD27OCT17	6332.50	6766.54	USD	10,851.00	
27JUL17	B	3	PD27OCT17	6334.00	6766.54	USD	32,440.50	
27JUL17	S	1	PD27OCT17	6371.00	6766.54	USD	-9,888.50	
27JUL17	S	2	PD27OCT17	6363.00	6766.54	USD	-20,177.00	
27JUL17	B	2	PD27OCT17	6338.00	6766.54	USD	21,427.00	
27JUL17	B	2	PD27OCT17	6337.50	6766.54	USD	21,452.00	
27JUL17	S	1	PD27OCT17	6336.00	6766.54	USD	-10,763.50	
27JUL17	S	1	PD27OCT17	6334.00	6766.54	USD	-10,813.50	
27JUL17	S	5	PD27OCT17	6331.00	6766.54	USD	-54,442.50	
27JUL17	S	1	PD27OCT17	6332.50	6766.54	USD	-10,851.00	
27JUL17	S	6	PD27OCT17	6333.00	6766.54	USD	-65,031.00	
27JUL17	S	2	PD27OCT17	6328.00	6766.54	USD	-21,927.00	
27JUL17	S	5	PD27OCT17	6335.00	6766.54	USD	-53,942.50	
27JUL17	S	4	PD27OCT17	6341.50	6766.54	USD	-42,504.00	
27JUL17	S	4	PD27OCT17	6340.50	6766.54	USD	-42,604.00	
27JUL17	B	1	PD27OCT17	6335.50	6766.54	USD	10,776.00	
27JUL17	B	1	PD27OCT17	6338.50	6766.54	USD	10,701.00	
27JUL17	B	8	PD27OCT17	6339.50	6766.54	USD	85,408.00	
27JUL17	B	1	PD27OCT17	6337.00	6766.54	USD	10,738.50	
27JUL17	B	1	PD27OCT17	6336.00	6766.54	USD	10,763.50	
27JUL17	B	23	PD27OCT17	6339.00	6766.54	USD	245,835.50	
27JUL17	S	6	PD27OCT17	6330.50	6766.54	USD	-65,406.00	
27JUL17	S	4	PD27OCT17	6331.50	6766.54	USD	-43,504.00	
27JUL17	S	3	PD27OCT17	6332.00	6766.54	USD	-32,590.50	
27JUL17	S	4	PD27OCT17	6326.00	6766.54	USD	-44,054.00	
27JUL17	S	1	PD27OCT17	6327.50	6766.54	USD	-10,976.00	
27JUL17	S	5	PD27OCT17	6326.50	6766.54	USD	-55,005.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	2	PD27OCT17	6325.50	6766.54	USD	-22,052.00	
27JUL17	S	1	PD27OCT17	6316.50	6766.54	USD	-11,251.00	
27JUL17	S	1	PD27OCT17	6319.50	6766.54	USD	-11,176.00	
27JUL17	B	1	PD27OCT17	6317.50	6766.54	USD	11,226.00	
27JUL17	B	2	PD27OCT17	6318.50	6766.54	USD	22,402.00	
27JUL17	B	1	PD27OCT17	6318.00	6766.54	USD	11,213.50	
27JUL17	S	1	PD27OCT17	6320.50	6766.54	USD	-11,151.00	
27JUL17	S	1	PD27OCT17	6322.00	6766.54	USD	-11,113.50	
27JUL17	S	1	PD27OCT17	6331.00	6766.54	USD	-10,888.50	
27JUL17	B	1	PD27OCT17	6334.00	6766.54	USD	10,813.50	
27JUL17	S	1	PD27OCT17	6340.50	6766.54	USD	-10,651.00	
28JUL17	B	2	PD27OCT17	6320.00	6766.54	USD	22,327.00	
28JUL17	B	1	PD27OCT17	6316.50	6766.54	USD	11,251.00	
28JUL17	B	1	PD27OCT17	6294.00	6766.54	USD	11,813.50	
28JUL17	B	1	PD27OCT17	6300.50	6766.54	USD	11,651.00	
28JUL17	B	2	PD27OCT17	6299.50	6766.54	USD	23,352.00	
28JUL17	S	1	PD27OCT17	6314.50	6766.54	USD	-11,301.00	
28JUL17	B	4	PD27OCT17	6316.00	6766.54	USD	45,054.00	
28JUL17	B	1	PD27OCT17	6315.00	6766.54	USD	11,288.50	
28JUL17	B	2	PD27OCT17	6298.50	6766.54	USD	23,402.00	
28JUL17	S	1	PD27OCT17	6306.00	6766.54	USD	-11,513.50	
28JUL17	S	1	PD27OCT17	6312.50	6766.54	USD	-11,351.00	
28JUL17	S	1	PD27OCT17	6319.50	6766.54	USD	-11,176.00	
28JUL17	S	2	PD27OCT17	6314.00	6766.54	USD	-22,627.00	
28JUL17	S	1	PD27OCT17	6317.00	6766.54	USD	-11,238.50	
28JUL17	S	4	PD27OCT17	6313.50	6766.54	USD	-45,304.00	
28JUL17	S	2	PD27OCT17	6315.00	6766.54	USD	-22,577.00	
28JUL17	S	1	PD27OCT17	6308.50	6766.54	USD	-11,451.00	
28JUL17	S	1	PD27OCT17	6310.50	6766.54	USD	-11,401.00	
28JUL17	S	3	PD27OCT17	6309.50	6766.54	USD	-34,278.00	
28JUL17	S	2	PD27OCT17	6316.00	6766.54	USD	-22,527.00	
28JUL17	S	1	PD27OCT17	6316.50	6766.54	USD	-11,251.00	
28JUL17	S	1	PD27OCT17	6320.50	6766.54	USD	-11,151.00	
28JUL17	B	5	PD27OCT17	6318.00	6766.54	USD	56,067.50	
28JUL17	B	2	PD27OCT17	6311.50	6766.54	USD	22,752.00	
28JUL17	B	2	PD27OCT17	6311.00	6766.54	USD	22,777.00	
28JUL17	S	1	PD27OCT17	6319.50	6766.54	USD	-11,176.00	
28JUL17	B	1	PD27OCT17	6310.50	6766.54	USD	11,401.00	
28JUL17	B	6	PD27OCT17	6319.50	6766.54	USD	67,056.00	
28JUL17	B	4	PD27OCT17	6318.50	6766.54	USD	44,804.00	
28JUL17	B	2	PD27OCT17	6317.50	6766.54	USD	22,452.00	
28JUL17	B	3	PD27OCT17	6322.00	6766.54	USD	33,340.50	
28JUL17	B	1	PD27OCT17	6322.50	6766.54	USD	11,101.00	
28JUL17	B	3	PD27OCT17	6321.50	6766.54	USD	33,378.00	
28JUL17	B	3	PD27OCT17	6319.00	6766.54	USD	33,565.50	
28JUL17	B	2	PD27OCT17	6323.00	6766.54	USD	22,177.00	
28JUL17	B	3	PD27OCT17	6324.50	6766.54	USD	33,153.00	
28JUL17	B	2	PD27OCT17	6324.00	6766.54	USD	22,127.00	
28JUL17	B	2	PD27OCT17	6323.50	6766.54	USD	22,152.00	
28JUL17	S	1	PD27OCT17	6323.50	6766.54	USD	-11,076.00	
28JUL17	S	1	PD27OCT17	6324.50	6766.54	USD	-11,051.00	
28JUL17	S	3	PD27OCT17	6325.50	6766.54	USD	-33,078.00	
28JUL17	S	1	PD27OCT17	6329.00	6766.54	USD	-10,938.50	
28JUL17	S	5	PD27OCT17	6327.00	6766.54	USD	-54,942.50	
28JUL17	B	1	PD27OCT17	6335.00	6766.54	USD	10,788.50	
28JUL17	S	1	PD27OCT17	6342.00	6766.54	USD	-10,613.50	
28JUL17	B	1	PD27OCT17	6337.50	6766.54	USD	10,726.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	6333.00	6766.54	USD	-10,838.50	
28JUL17	S	3	PD27OCT17	6332.00	6766.54	USD	-32,590.50	
28JUL17	S	6	PD27OCT17	6325.00	6766.54	USD	-66,231.00	
28JUL17	S	7	PD27OCT17	6326.00	6766.54	USD	-77,094.50	
28JUL17	S	1	PD27OCT17	6331.00	6766.54	USD	-10,888.50	
28JUL17	S	1	PD27OCT17	6327.50	6766.54	USD	-10,976.00	
28JUL17	B	1	PD27OCT17	6328.50	6766.54	USD	10,951.00	
28JUL17	S	1	PD27OCT17	6336.50	6766.54	USD	-10,751.00	
28JUL17	B	1	PD27OCT17	6334.50	6766.54	USD	10,801.00	
28JUL17	B	1	PD27OCT17	6328.00	6766.54	USD	10,963.50	
28JUL17	B	3	PD27OCT17	6327.00	6766.54	USD	32,965.50	
28JUL17	B	1	PD27OCT17	6326.50	6766.54	USD	11,001.00	
28JUL17	B	2	PD27OCT17	6325.50	6766.54	USD	22,052.00	
28JUL17	B	1	PD27OCT17	6338.50	6766.54	USD	10,701.00	
28JUL17	B	1	PD27OCT17	6338.00	6766.54	USD	10,713.50	
28JUL17	B	2	PD27OCT17	6340.00	6766.54	USD	21,327.00	
28JUL17	B	4	PD27OCT17	6339.50	6766.54	USD	42,704.00	
28JUL17	B	1	PD27OCT17	6340.50	6766.54	USD	10,651.00	
28JUL17	B	2	PD27OCT17	6343.50	6766.54	USD	21,152.00	
28JUL17	S	1	PD27OCT17	6340.00	6766.54	USD	-10,663.50	
28JUL17	S	4	PD27OCT17	6337.00	6766.54	USD	-42,954.00	
28JUL17	S	1	PD27OCT17	6336.00	6766.54	USD	-10,763.50	
28JUL17	S	4	PD27OCT17	6335.50	6766.54	USD	-43,104.00	
28JUL17	S	13	PD27OCT17	6335.00	6766.54	USD	-140,250.50	
28JUL17	S	5	PD27OCT17	6326.50	6766.54	USD	-55,005.00	
28JUL17	S	8	PD27OCT17	6328.00	6766.54	USD	-87,708.00	
28JUL17	S	5	PD27OCT17	6328.50	6766.54	USD	-54,755.00	
28JUL17	S	6	PD27OCT17	6329.50	6766.54	USD	-65,556.00	
28JUL17	S	1	PD27OCT17	6330.50	6766.54	USD	-10,901.00	
28JUL17	B	1	PD27OCT17	6344.00	6766.54	USD	10,563.50	
28JUL17	B	5	PD27OCT17	6325.20	6766.54	USD	55,167.50	
28JUL17	B	4	PD27OCT17	6343.00	6766.54	USD	42,354.00	
28JUL17	B	2	PD27OCT17	6342.00	6766.54	USD	21,227.00	
28JUL17	B	1	PD27OCT17	6342.50	6766.54	USD	10,601.00	
28JUL17	B	1	PD27OCT17	6341.50	6766.54	USD	10,626.00	
28JUL17	B	1	PD27OCT17	6327.00	6766.54	USD	10,988.50	
28JUL17	S	3	PD27OCT17	6340.00	6766.54	USD	-31,990.50	
28JUL17	S	1	PD27OCT17	6335.00	6766.54	USD	-10,788.50	
01AUG17	B	20	PD27OCT17	6343.70	6766.54	USD	211,420.00	
	NET	0	AVG BGHT 6335.62	SOLD 6333.22			-13,037.50	0.00
31JUL17	S	2	PD31OCT17	6381.50	6768.25	USD	-19,337.50	
31JUL17	S	3	PD31OCT17	6377.00	6768.25	USD	-29,343.75	
31JUL17	B	2	PD31OCT17	6419.50	6768.25	USD	17,437.50	
31JUL17	B	6	PD31OCT17	6418.00	6768.25	USD	52,537.50	
31JUL17	B	1	PD31OCT17	6417.00	6768.25	USD	8,781.25	
31JUL17	B	3	PD31OCT17	6417.50	6768.25	USD	26,306.25	
31JUL17	B	1	PD31OCT17	6416.50	6768.25	USD	8,793.75	
31JUL17	B	2	PD31OCT17	6418.50	6768.25	USD	17,487.50	
31JUL17	B	3	PD31OCT17	6425.50	6768.25	USD	25,706.25	
31JUL17	B	1	PD31OCT17	6427.00	6768.25	USD	8,531.25	
31JUL17	B	1	PD31OCT17	6426.50	6768.25	USD	8,543.75	
31JUL17	B	3	PD31OCT17	6428.00	6768.25	USD	25,518.75	
31JUL17	B	4	PD31OCT17	6429.00	6768.25	USD	33,925.00	
31JUL17	S	1	PD31OCT17	6413.00	6768.25	USD	-8,881.25	
31JUL17	S	2	PD31OCT17	6411.50	6768.25	USD	-17,837.50	
31JUL17	S	2	PD31OCT17	6413.50	6768.25	USD	-17,737.50	
31JUL17	S	1	PD31OCT17	6412.00	6768.25	USD	-8,906.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	6409.00	6768.25	USD	-8,981.25	
31JUL17	B	1	PD31OCT17	6410.50	6768.25	USD	8,943.75	
31JUL17	B	1	PD31OCT17	6407.50	6768.25	USD	9,018.75	
31JUL17	B	1	PD31OCT17	6406.00	6768.25	USD	9,056.25	
31JUL17	S	1	PD31OCT17	6392.50	6768.25	USD	-9,393.75	
31JUL17	S	5	PD31OCT17	6394.50	6768.25	USD	-46,718.75	
31JUL17	S	10	PD31OCT17	6394.00	6768.25	USD	-93,562.50	
31JUL17	S	3	PD31OCT17	6393.50	6768.25	USD	-28,106.25	
31JUL17	S	2	PD31OCT17	6398.00	6768.25	USD	-18,512.50	
31JUL17	S	2	PD31OCT17	6396.50	6768.25	USD	-18,587.50	
31JUL17	S	1	PD31OCT17	6397.50	6768.25	USD	-9,268.75	
31JUL17	S	5	PD31OCT17	6399.50	6768.25	USD	-46,093.75	
31JUL17	S	1	PD31OCT17	6398.50	6768.25	USD	-9,243.75	
31JUL17	S	2	PD31OCT17	6400.00	6768.25	USD	-18,412.50	
31JUL17	S	1	PD31OCT17	6399.00	6768.25	USD	-9,231.25	
31JUL17	S	5	PD31OCT17	6384.50	6768.25	USD	-47,968.75	
31JUL17	S	5	PD31OCT17	6383.50	6768.25	USD	-48,093.75	
31JUL17	S	3	PD31OCT17	6384.00	6768.25	USD	-28,818.75	
31JUL17	S	1	PD31OCT17	6383.00	6768.25	USD	-9,631.25	
31JUL17	S	3	PD31OCT17	6382.00	6768.25	USD	-28,968.75	
31JUL17	B	2	PD31OCT17	6381.00	6768.25	USD	19,362.50	
31JUL17	B	2	PD31OCT17	6383.50	6768.25	USD	19,237.50	
31JUL17	B	2	PD31OCT17	6383.00	6768.25	USD	19,262.50	
31JUL17	B	3	PD31OCT17	6382.50	6768.25	USD	28,931.25	
31JUL17	B	10	PD31OCT17	6384.00	6768.25	USD	96,062.50	
31JUL17	S	1	PD31OCT17	6386.50	6768.25	USD	-9,543.75	
31JUL17	B	1	PD31OCT17	6389.00	6768.25	USD	9,481.25	
31JUL17	B	1	PD31OCT17	6388.50	6768.25	USD	9,493.75	
31JUL17	B	1	PD31OCT17	6386.00	6768.25	USD	9,556.25	
31JUL17	B	2	PD31OCT17	6385.00	6768.25	USD	19,162.50	
31JUL17	S	1	PD31OCT17	6391.00	6768.25	USD	-9,431.25	
31JUL17	S	1	PD31OCT17	6388.50	6768.25	USD	-9,493.75	
31JUL17	B	2	PD31OCT17	6386.50	6768.25	USD	19,087.50	
31JUL17	S	1	PD31OCT17	6379.00	6768.25	USD	-9,731.25	
31JUL17	S	2	PD31OCT17	6376.00	6768.25	USD	-19,612.50	
31JUL17	S	4	PD31OCT17	6377.50	6768.25	USD	-39,075.00	
31JUL17	S	6	PD31OCT17	6378.00	6768.25	USD	-58,537.50	
31JUL17	S	3	PD31OCT17	6371.00	6768.25	USD	-29,793.75	
31JUL17	S	1	PD31OCT17	6372.00	6768.25	USD	-9,906.25	
31JUL17	S	3	PD31OCT17	6371.50	6768.25	USD	-29,756.25	
31JUL17	S	9	PD31OCT17	6372.50	6768.25	USD	-89,043.75	
31JUL17	S	2	PD31OCT17	6373.50	6768.25	USD	-19,737.50	
31JUL17	B	3	PD31OCT17	6364.50	6768.25	USD	30,281.25	
31JUL17	B	1	PD31OCT17	6363.00	6768.25	USD	10,131.25	
31JUL17	S	1	PD31OCT17	6352.50	6768.25	USD	-10,393.75	
31JUL17	S	5	PD31OCT17	6355.00	6768.25	USD	-51,656.25	
31JUL17	S	2	PD31OCT17	6354.50	6768.25	USD	-20,687.50	
31JUL17	S	1	PD31OCT17	6358.00	6768.25	USD	-10,256.25	
31JUL17	S	1	PD31OCT17	6355.50	6768.25	USD	-10,318.75	
31JUL17	B	3	PD31OCT17	6372.50	6768.25	USD	29,681.25	
31JUL17	B	1	PD31OCT17	6369.50	6768.25	USD	9,968.75	
31JUL17	B	3	PD31OCT17	6370.50	6768.25	USD	29,831.25	
31JUL17	B	1	PD31OCT17	6370.00	6768.25	USD	9,956.25	
31JUL17	B	7	PD31OCT17	6373.50	6768.25	USD	69,081.25	
31JUL17	B	2	PD31OCT17	6378.50	6768.25	USD	19,487.50	
31JUL17	B	1	PD31OCT17	6377.50	6768.25	USD	9,768.75	
31JUL17	B	1	PD31OCT17	6377.00	6768.25	USD	9,781.25	

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31JUL17	B	1	PD31OCT17	6376.00	6768.25	USD	9,806.25	
31JUL17	B	1	PD31OCT17	6368.50	6768.25	USD	9,993.75	
31JUL17	B	6	PD31OCT17	6369.00	6768.25	USD	59,887.50	
31JUL17	B	2	PD31OCT17	6363.50	6768.25	USD	20,237.50	
31JUL17	S	2	PD31OCT17	6374.50	6768.25	USD	-19,687.50	
31JUL17	S	1	PD31OCT17	6368.00	6768.25	USD	-10,006.25	
31JUL17	S	7	PD31OCT17	6370.00	6768.25	USD	-69,693.75	
31JUL17	S	4	PD31OCT17	6367.50	6768.25	USD	-40,075.00	
31JUL17	S	9	PD31OCT17	6368.50	6768.25	USD	-89,943.75	
31JUL17	S	2	PD31OCT17	6369.50	6768.25	USD	-19,937.50	
31JUL17	S	1	PD31OCT17	6362.50	6768.25	USD	-10,143.75	
31JUL17	S	1	PD31OCT17	6364.50	6768.25	USD	-10,093.75	
31JUL17	S	1	PD31OCT17	6365.00	6768.25	USD	-10,081.25	
31JUL17	S	15	PD31OCT17	6367.00	6768.25	USD	-150,468.75	
31JUL17	S	4	PD31OCT17	6365.50	6768.25	USD	-40,275.00	
31JUL17	S	4	PD31OCT17	6366.00	6768.25	USD	-40,225.00	
31JUL17	S	1	PD31OCT17	6377.50	6768.25	USD	-9,768.75	
31JUL17	S	1	PD31OCT17	6375.50	6768.25	USD	-9,818.75	
31JUL17	B	2	PD31OCT17	6371.00	6768.25	USD	19,862.50	
31JUL17	B	2	PD31OCT17	6374.50	6768.25	USD	19,687.50	
31JUL17	B	3	PD31OCT17	6374.00	6768.25	USD	29,568.75	
31JUL17	S	3	PD31OCT17	6374.00	6768.25	USD	-29,568.75	
31JUL17	B	5	PD31OCT17	6375.00	6768.25	USD	49,156.25	
31JUL17	B	6	PD31OCT17	6376.50	6768.25	USD	58,762.50	
01AUG17	B	15	PD31OCT17	6344.90	6768.25	USD	158,756.25	
07AUG17	B	40	PD31OCT17	6411.14	6768.25	USD	357,110.00	
	NET	0AVG BGHT 6390.22	SOLD 6378.52				-47,377.50	0.00
01AUG17	B	5	PD01NOV17	6381.00	6769.18	USD	48,522.50	
01AUG17	B	3	PD01NOV17	6383.00	6769.18	USD	28,963.50	
01AUG17	B	1	PD01NOV17	6381.50	6769.18	USD	9,692.00	
01AUG17	B	2	PD01NOV17	6380.50	6769.18	USD	19,434.00	
01AUG17	B	1	PD01NOV17	6379.50	6769.18	USD	9,742.00	
01AUG17	B	1	PD01NOV17	6379.00	6769.18	USD	9,754.50	
01AUG17	B	2	PD01NOV17	6378.00	6769.18	USD	19,559.00	
01AUG17	B	1	PD01NOV17	6376.50	6769.18	USD	9,817.00	
01AUG17	B	1	PD01NOV17	6376.00	6769.18	USD	9,829.50	
01AUG17	B	4	PD01NOV17	6374.00	6769.18	USD	39,518.00	
01AUG17	B	2	PD01NOV17	6372.00	6769.18	USD	19,859.00	
01AUG17	B	5	PD01NOV17	6373.00	6769.18	USD	49,522.50	
01AUG17	B	2	PD01NOV17	6366.50	6769.18	USD	20,134.00	
01AUG17	B	1	PD01NOV17	6364.00	6769.18	USD	10,129.50	
01AUG17	B	1	PD01NOV17	6363.00	6769.18	USD	10,154.50	
01AUG17	B	1	PD01NOV17	6365.00	6769.18	USD	10,104.50	
01AUG17	B	1	PD01NOV17	6365.50	6769.18	USD	10,092.00	
01AUG17	B	1	PD01NOV17	6367.50	6769.18	USD	10,042.00	
01AUG17	B	2	PD01NOV17	6368.50	6769.18	USD	20,034.00	
01AUG17	S	1	PD01NOV17	6364.00	6769.18	USD	-10,129.50	
01AUG17	S	1	PD01NOV17	6363.00	6769.18	USD	-10,154.50	
01AUG17	S	1	PD01NOV17	6360.00	6769.18	USD	-10,229.50	
01AUG17	S	1	PD01NOV17	6352.00	6769.18	USD	-10,429.50	
01AUG17	S	2	PD01NOV17	6353.50	6769.18	USD	-20,784.00	
01AUG17	S	1	PD01NOV17	6354.50	6769.18	USD	-10,367.00	
01AUG17	S	7	PD01NOV17	6354.00	6769.18	USD	-72,656.50	
01AUG17	B	1	PD01NOV17	6351.50	6769.18	USD	10,442.00	
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	B	2	PD01NOV17	6356.00	6769.18	USD	20,659.00	
01AUG17	B	1	PD01NOV17	6354.50	6769.18	USD	10,367.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	3	PD01NOV17	6353.00	6769.18	USD	31,213.50	
01AUG17	B	3	PD01NOV17	6359.50	6769.18	USD	30,726.00	
01AUG17	B	1	PD01NOV17	6360.50	6769.18	USD	10,217.00	
01AUG17	B	2	PD01NOV17	6361.00	6769.18	USD	20,409.00	
01AUG17	B	3	PD01NOV17	6359.00	6769.18	USD	30,763.50	
01AUG17	B	1	PD01NOV17	6357.50	6769.18	USD	10,292.00	
01AUG17	B	3	PD01NOV17	6352.00	6769.18	USD	31,288.50	
01AUG17	B	1	PD01NOV17	6358.50	6769.18	USD	10,267.00	
01AUG17	B	5	PD01NOV17	6355.50	6769.18	USD	51,710.00	
01AUG17	B	5	PD01NOV17	6348.50	6769.18	USD	52,585.00	
01AUG17	B	3	PD01NOV17	6350.00	6769.18	USD	31,438.50	
01AUG17	B	2	PD01NOV17	6342.00	6769.18	USD	21,359.00	
01AUG17	B	4	PD01NOV17	6345.00	6769.18	USD	42,418.00	
01AUG17	B	1	PD01NOV17	6346.50	6769.18	USD	10,567.00	
01AUG17	B	4	PD01NOV17	6338.50	6769.18	USD	43,068.00	
01AUG17	B	1	PD01NOV17	6338.00	6769.18	USD	10,779.50	
01AUG17	B	4	PD01NOV17	6334.50	6769.18	USD	43,468.00	
01AUG17	B	3	PD01NOV17	6333.50	6769.18	USD	32,676.00	
01AUG17	B	2	PD01NOV17	6331.50	6769.18	USD	21,884.00	
01AUG17	B	1	PD01NOV17	6336.50	6769.18	USD	10,817.00	
01AUG17	B	13	PD01NOV17	6336.00	6769.18	USD	140,783.50	
01AUG17	B	1	PD01NOV17	6376.50	6769.18	USD	9,817.00	
01AUG17	B	1	PD01NOV17	6348.50	6769.18	USD	10,517.00	
01AUG17	B	1	PD01NOV17	6359.50	6769.18	USD	10,242.00	
01AUG17	B	9	PD01NOV17	6333.00	6769.18	USD	98,140.50	
01AUG17	B	1	PD01NOV17	6335.00	6769.18	USD	10,854.50	
01AUG17	B	2	PD01NOV17	6334.00	6769.18	USD	21,759.00	
01AUG17	B	1	PD01NOV17	6337.50	6769.18	USD	10,792.00	
01AUG17	B	4	PD01NOV17	6341.00	6769.18	USD	42,818.00	
01AUG17	B	4	PD01NOV17	6340.50	6769.18	USD	42,868.00	
01AUG17	B	13	PD01NOV17	6354.00	6769.18	USD	134,933.50	
01AUG17	S	6	PD01NOV17	6357.00	6769.18	USD	-61,827.00	
01AUG17	S	1	PD01NOV17	6358.00	6769.18	USD	-10,279.50	
01AUG17	S	3	PD01NOV17	6357.50	6769.18	USD	-30,876.00	
01AUG17	S	4	PD01NOV17	6356.50	6769.18	USD	-41,268.00	
01AUG17	S	2	PD01NOV17	6355.00	6769.18	USD	-20,709.00	
01AUG17	S	9	PD01NOV17	6356.00	6769.18	USD	-92,965.50	
01AUG17	S	4	PD01NOV17	6355.50	6769.18	USD	-41,368.00	
01AUG17	S	7	PD01NOV17	6353.00	6769.18	USD	-72,831.50	
01AUG17	S	2	PD01NOV17	6349.00	6769.18	USD	-21,009.00	
01AUG17	S	1	PD01NOV17	6347.00	6769.18	USD	-10,554.50	
01AUG17	S	1	PD01NOV17	6347.50	6769.18	USD	-10,542.00	
01AUG17	S	5	PD01NOV17	6343.50	6769.18	USD	-53,210.00	
01AUG17	S	1	PD01NOV17	6336.00	6769.18	USD	-10,829.50	
01AUG17	S	2	PD01NOV17	6336.50	6769.18	USD	-21,634.00	
01AUG17	S	1	PD01NOV17	6337.50	6769.18	USD	-10,792.00	
01AUG17	S	9	PD01NOV17	6337.00	6769.18	USD	-97,240.50	
01AUG17	S	5	PD01NOV17	6334.00	6769.18	USD	-54,397.50	
01AUG17	B	1	PD01NOV17	6328.00	6769.18	USD	11,029.50	
01AUG17	B	1	PD01NOV17	6327.50	6769.18	USD	11,042.00	
01AUG17	B	2	PD01NOV17	6326.50	6769.18	USD	22,134.00	
01AUG17	B	1	PD01NOV17	6325.50	6769.18	USD	11,092.00	
01AUG17	S	1	PD01NOV17	6338.50	6769.18	USD	-10,767.00	
01AUG17	S	1	PD01NOV17	6335.00	6769.18	USD	-10,854.50	
01AUG17	S	2	PD01NOV17	6339.50	6769.18	USD	-21,484.00	
01AUG17	S	1	PD01NOV17	6341.00	6769.18	USD	-10,704.50	
01AUG17	S	2	PD01NOV17	6340.50	6769.18	USD	-21,434.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	6341.50	6769.18	USD	-10,692.00	
01AUG17	B	4	PD01NOV17	6352.50	6769.18	USD	41,668.00	
01AUG17	B	5	PD01NOV17	6358.00	6769.18	USD	51,397.50	
01AUG17	B	6	PD01NOV17	6357.00	6769.18	USD	61,827.00	
01AUG17	B	5	PD01NOV17	6356.50	6769.18	USD	51,585.00	
01AUG17	B	10	PD01NOV17	6355.00	6769.18	USD	103,545.00	
01AUG17	B	3	PD01NOV17	6353.50	6769.18	USD	31,176.00	
01AUG17	S	6	PD01NOV17	6348.00	6769.18	USD	-63,177.00	
01AUG17	S	2	PD01NOV17	6346.00	6769.18	USD	-21,159.00	
01AUG17	S	4	PD01NOV17	6348.50	6769.18	USD	-42,068.00	
01AUG17	B	2	PD01NOV17	6343.00	6769.18	USD	21,309.00	
01AUG17	B	1	PD01NOV17	6344.00	6769.18	USD	10,629.50	
01AUG17	B	4	PD01NOV17	6341.50	6769.18	USD	42,768.00	
01AUG17	B	3	PD01NOV17	6339.50	6769.18	USD	32,226.00	
07AUG17	S	40	PD01NOV17	6411.35	6769.18	USD	-357,830.00	
07AUG17	S	55	PD01NOV17	6411.55	6769.18	USD	-491,741.25	
	NET	0 AVG BGHT 6352.15	SOLD 6379.81				132,743.75	0.00
02AUG17	S	1	PD02NOV17	6316.00	6770.10	USD	-11,352.50	
02AUG17	S	1	PD02NOV17	6317.00	6770.10	USD	-11,327.50	
02AUG17	S	1	PD02NOV17	6313.50	6770.10	USD	-11,415.00	
02AUG17	S	1	PD02NOV17	6314.00	6770.10	USD	-11,402.50	
02AUG17	S	1	PD02NOV17	6321.00	6770.10	USD	-11,227.50	
02AUG17	S	1	PD02NOV17	6315.50	6770.10	USD	-11,365.00	
02AUG17	S	1	PD02NOV17	6313.00	6770.10	USD	-11,427.50	
02AUG17	S	2	PD02NOV17	6312.50	6770.10	USD	-22,880.00	
02AUG17	S	1	PD02NOV17	6314.50	6770.10	USD	-11,390.00	
02AUG17	S	6	PD02NOV17	6315.00	6770.10	USD	-68,265.00	
02AUG17	S	1	PD02NOV17	6317.50	6770.10	USD	-11,315.00	
02AUG17	S	1	PD02NOV17	6316.50	6770.10	USD	-11,340.00	
02AUG17	S	2	PD02NOV17	6319.50	6770.10	USD	-22,530.00	
02AUG17	S	3	PD02NOV17	6319.00	6770.10	USD	-33,832.50	
02AUG17	S	2	PD02NOV17	6318.00	6770.10	USD	-22,605.00	
02AUG17	S	1	PD02NOV17	6324.50	6770.10	USD	-11,140.00	
02AUG17	S	1	PD02NOV17	6325.00	6770.10	USD	-11,127.50	
02AUG17	S	1	PD02NOV17	6323.00	6770.10	USD	-11,177.50	
02AUG17	S	5	PD02NOV17	6325.50	6770.10	USD	-55,575.00	
02AUG17	S	1	PD02NOV17	6326.00	6770.10	USD	-11,102.50	
02AUG17	S	2	PD02NOV17	6330.00	6770.10	USD	-22,005.00	
02AUG17	S	13	PD02NOV17	6326.50	6770.10	USD	-144,170.00	
02AUG17	S	1	PD02NOV17	6331.50	6770.10	USD	-10,965.00	
02AUG17	S	2	PD02NOV17	6329.00	6770.10	USD	-22,055.00	
02AUG17	S	8	PD02NOV17	6327.50	6770.10	USD	-88,520.00	
02AUG17	S	5	PD02NOV17	6328.00	6770.10	USD	-55,262.50	
02AUG17	S	5	PD02NOV17	6328.50	6770.10	USD	-55,200.00	
02AUG17	S	3	PD02NOV17	6331.00	6770.10	USD	-32,932.50	
02AUG17	S	2	PD02NOV17	6329.50	6770.10	USD	-22,030.00	
02AUG17	S	3	PD02NOV17	6330.50	6770.10	USD	-32,970.00	
02AUG17	B	1	PD02NOV17	6330.50	6770.10	USD	10,990.00	
02AUG17	S	2	PD02NOV17	6339.50	6770.10	USD	-21,530.00	
02AUG17	B	1	PD02NOV17	6336.50	6770.10	USD	10,840.00	
02AUG17	B	2	PD02NOV17	6338.00	6770.10	USD	21,605.00	
02AUG17	B	2	PD02NOV17	6335.00	6770.10	USD	21,755.00	
02AUG17	B	2	PD02NOV17	6333.00	6770.10	USD	21,855.00	
02AUG17	B	1	PD02NOV17	6332.00	6770.10	USD	10,952.50	
02AUG17	B	1	PD02NOV17	6330.00	6770.10	USD	11,002.50	
02AUG17	B	3	PD02NOV17	6329.00	6770.10	USD	33,082.50	
02AUG17	B	3	PD02NOV17	6327.00	6770.10	USD	33,232.50	

OPEN POSITION STATEMENT



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02AUG17	B	1	PD02NOV17	6326.00	6770.10	USD	11,102.50	
02AUG17	B	3	PD02NOV17	6325.50	6770.10	USD	33,345.00	
02AUG17	B	1	PD02NOV17	6324.50	6770.10	USD	11,140.00	
02AUG17	B	6	PD02NOV17	6325.00	6770.10	USD	66,765.00	
02AUG17	B	5	PD02NOV17	6327.50	6770.10	USD	55,325.00	
02AUG17	B	2	PD02NOV17	6326.50	6770.10	USD	22,180.00	
02AUG17	B	1	PD02NOV17	6329.50	6770.10	USD	11,015.00	
02AUG17	B	9	PD02NOV17	6328.50	6770.10	USD	99,360.00	
02AUG17	B	11	PD02NOV17	6328.00	6770.10	USD	121,577.50	
02AUG17	B	1	PD02NOV17	6331.50	6770.10	USD	10,965.00	
02AUG17	B	1	PD02NOV17	6330.00	6770.10	USD	11,002.50	
02AUG17	S	2	PD02NOV17	6317.00	6770.10	USD	-22,655.00	
02AUG17	S	2	PD02NOV17	6326.50	6770.10	USD	-22,180.00	
02AUG17	S	1	PD02NOV17	6326.00	6770.10	USD	-11,102.50	
02AUG17	S	2	PD02NOV17	6323.00	6770.10	USD	-22,355.00	
02AUG17	S	1	PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	B	6	PD02NOV17	6333.50	6770.10	USD	65,490.00	
02AUG17	B	2	PD02NOV17	6338.50	6770.10	USD	21,580.00	
02AUG17	B	1	PD02NOV17	6337.50	6770.10	USD	10,815.00	
02AUG17	B	1	PD02NOV17	6337.00	6770.10	USD	10,827.50	
02AUG17	B	1	PD02NOV17	6345.00	6770.10	USD	10,627.50	
02AUG17	B	1	PD02NOV17	6345.50	6770.10	USD	10,615.00	
02AUG17	B	5	PD02NOV17	6343.00	6770.10	USD	53,387.50	
02AUG17	B	4	PD02NOV17	6342.50	6770.10	USD	42,760.00	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	4	PD02NOV17	6334.00	6770.10	USD	43,610.00	
02AUG17	B	1	PD02NOV17	6339.50	6770.10	USD	10,765.00	
02AUG17	B	4	PD02NOV17	6340.00	6770.10	USD	43,010.00	
02AUG17	B	5	PD02NOV17	6339.00	6770.10	USD	53,887.50	
02AUG17	S	1	PD02NOV17	6354.00	6770.10	USD	-10,402.50	
02AUG17	B	1	PD02NOV17	6356.50	6770.10	USD	10,340.00	
02AUG17	B	4	PD02NOV17	6357.50	6770.10	USD	41,260.00	
02AUG17	B	1	PD02NOV17	6359.00	6770.10	USD	10,277.50	
02AUG17	B	2	PD02NOV17	6360.50	6770.10	USD	20,480.00	
02AUG17	B	12	PD02NOV17	6361.00	6770.10	USD	122,730.00	
02AUG17	B	1	PD02NOV17	6365.00	6770.10	USD	10,127.50	
02AUG17	B	1	PD02NOV17	6364.00	6770.10	USD	10,152.50	
02AUG17	S	1	PD02NOV17	6363.00	6770.10	USD	-10,177.50	
02AUG17	S	1	PD02NOV17	6364.50	6770.10	USD	-10,140.00	
02AUG17	S	3	PD02NOV17	6362.00	6770.10	USD	-30,607.50	
02AUG17	S	2	PD02NOV17	6359.00	6770.10	USD	-20,555.00	
02AUG17	B	1	PD02NOV17	6357.00	6770.10	USD	10,327.50	
02AUG17	B	1	PD02NOV17	6356.00	6770.10	USD	10,352.50	
02AUG17	B	3	PD02NOV17	6348.50	6770.10	USD	31,620.00	
02AUG17	S	1	PD02NOV17	6352.00	6770.10	USD	-10,452.50	
02AUG17	S	11	PD02NOV17	6353.00	6770.10	USD	-114,702.50	
02AUG17	S	2	PD02NOV17	6352.50	6770.10	USD	-20,880.00	
07AUG17	S	10	PD02NOV17	6411.95	6770.10	USD	-89,537.50	
	NET	0	AVG BGHT 6338.44	SOLD 6337.47			-2,900.00	0.00
03AUG17	S	3	PD03NOV17	6336.00	6771.03	USD	-32,627.25	
03AUG17	S	4	PD03NOV17	6346.00	6771.03	USD	-42,503.00	
03AUG17	S	1	PD03NOV17	6347.00	6771.03	USD	-10,600.75	
03AUG17	S	1	PD03NOV17	6345.50	6771.03	USD	-10,638.25	
03AUG17	S	4	PD03NOV17	6345.00	6771.03	USD	-42,603.00	
03AUG17	S	4	PD03NOV17	6344.50	6771.03	USD	-42,653.00	
03AUG17	S	2	PD03NOV17	6347.50	6771.03	USD	-21,176.50	
03AUG17	S	1	PD03NOV17	6349.50	6771.03	USD	-10,538.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	2	PD03NOV17	6338.50	6771.03	USD	-21,626.50	
03AUG17	B	1	PD03NOV17	6337.00	6771.03	USD	10,850.75	
03AUG17	S	2	PD03NOV17	6321.00	6771.03	USD	-22,501.50	
03AUG17	S	2	PD03NOV17	6323.00	6771.03	USD	-22,401.50	
03AUG17	S	1	PD03NOV17	6326.50	6771.03	USD	-11,113.25	
03AUG17	S	1	PD03NOV17	6327.50	6771.03	USD	-11,088.25	
03AUG17	S	2	PD03NOV17	6308.50	6771.03	USD	-23,126.50	
03AUG17	S	1	PD03NOV17	6307.50	6771.03	USD	-11,588.25	
03AUG17	S	3	PD03NOV17	6309.50	6771.03	USD	-34,614.75	
03AUG17	S	5	PD03NOV17	6308.00	6771.03	USD	-57,878.75	
03AUG17	S	3	PD03NOV17	6307.00	6771.03	USD	-34,802.25	
03AUG17	S	1	PD03NOV17	6312.50	6771.03	USD	-11,463.25	
03AUG17	S	1	PD03NOV17	6315.50	6771.03	USD	-11,388.25	
03AUG17	S	1	PD03NOV17	6318.00	6771.03	USD	-11,325.75	
03AUG17	S	4	PD03NOV17	6320.00	6771.03	USD	-45,103.00	
03AUG17	S	1	PD03NOV17	6314.00	6771.03	USD	-11,425.75	
03AUG17	S	4	PD03NOV17	6317.00	6771.03	USD	-45,403.00	
03AUG17	S	7	PD03NOV17	6319.00	6771.03	USD	-79,105.25	
03AUG17	S	2	PD03NOV17	6318.50	6771.03	USD	-22,626.50	
03AUG17	S	3	PD03NOV17	6319.50	6771.03	USD	-33,864.75	
03AUG17	S	2	PD03NOV17	6323.50	6771.03	USD	-22,376.50	
03AUG17	S	1	PD03NOV17	6322.50	6771.03	USD	-11,213.25	
03AUG17	S	1	PD03NOV17	6321.50	6771.03	USD	-11,238.25	
03AUG17	S	8	PD03NOV17	6320.50	6771.03	USD	-90,106.00	
03AUG17	S	1	PD03NOV17	6317.50	6771.03	USD	-11,338.25	
03AUG17	B	4	PD03NOV17	6319.50	6771.03	USD	45,153.00	
03AUG17	B	1	PD03NOV17	6314.50	6771.03	USD	11,413.25	
03AUG17	B	2	PD03NOV17	6318.50	6771.03	USD	22,626.50	
03AUG17	B	2	PD03NOV17	6314.00	6771.03	USD	22,851.50	
03AUG17	B	2	PD03NOV17	6317.50	6771.03	USD	22,676.50	
03AUG17	B	3	PD03NOV17	6315.50	6771.03	USD	34,164.75	
03AUG17	B	5	PD03NOV17	6318.00	6771.03	USD	56,628.75	
03AUG17	B	2	PD03NOV17	6316.50	6771.03	USD	22,726.50	
03AUG17	B	2	PD03NOV17	6313.50	6771.03	USD	22,876.50	
03AUG17	B	1	PD03NOV17	6311.00	6771.03	USD	11,500.75	
03AUG17	B	1	PD03NOV17	6310.00	6771.03	USD	11,525.75	
03AUG17	B	1	PD03NOV17	6311.50	6771.03	USD	11,488.25	
03AUG17	B	2	PD03NOV17	6312.50	6771.03	USD	22,926.50	
03AUG17	B	5	PD03NOV17	6312.00	6771.03	USD	57,378.75	
03AUG17	B	2	PD03NOV17	6313.00	6771.03	USD	22,901.50	
03AUG17	B	11	PD03NOV17	6319.00	6771.03	USD	124,308.25	
03AUG17	B	2	PD03NOV17	6322.00	6771.03	USD	22,451.50	
03AUG17	S	4	PD03NOV17	6322.00	6771.03	USD	-44,903.00	
03AUG17	S	1	PD03NOV17	6346.00	6771.03	USD	-10,625.75	
03AUG17	S	1	PD03NOV17	6351.50	6771.03	USD	-10,488.25	
03AUG17	S	1	PD03NOV17	6309.50	6771.03	USD	-11,538.25	
03AUG17	B	1	PD03NOV17	6330.00	6771.03	USD	11,025.75	
03AUG17	B	3	PD03NOV17	6329.00	6771.03	USD	33,152.25	
03AUG17	B	2	PD03NOV17	6331.50	6771.03	USD	21,976.50	
03AUG17	B	3	PD03NOV17	6330.50	6771.03	USD	33,039.75	
03AUG17	S	3	PD03NOV17	6341.00	6771.03	USD	-32,252.25	
03AUG17	S	2	PD03NOV17	6336.50	6771.03	USD	-21,726.50	
03AUG17	S	3	PD03NOV17	6342.00	6771.03	USD	-32,177.25	
03AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
03AUG17	S	1	PD03NOV17	6332.50	6771.03	USD	-10,963.25	
03AUG17	S	1	PD03NOV17	6333.50	6771.03	USD	-10,938.25	
03AUG17	S	2	PD03NOV17	6334.50	6771.03	USD	-21,826.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	3	PD03NOV17	6337.00	6771.03	USD	-32,552.25	
03AUG17	S	9	PD03NOV17	6338.00	6771.03	USD	-97,431.75	
03AUG17	S	7	PD03NOV17	6337.50	6771.03	USD	-75,867.75	
03AUG17	S	3	PD03NOV17	6346.50	6771.03	USD	-31,839.75	
03AUG17	S	3	PD03NOV17	6335.00	6771.03	USD	-32,702.25	
03AUG17	S	7	PD03NOV17	6335.50	6771.03	USD	-76,217.75	
03AUG17	S	5	PD03NOV17	6343.00	6771.03	USD	-53,503.75	
03AUG17	S	2	PD03NOV17	6348.50	6771.03	USD	-21,126.50	
03AUG17	S	1	PD03NOV17	6355.00	6771.03	USD	-10,400.75	
03AUG17	B	1	PD03NOV17	6350.00	6771.03	USD	10,525.75	
03AUG17	S	7	PD03NOV17	6344.00	6771.03	USD	-74,730.25	
03AUG17	S	2	PD03NOV17	6340.00	6771.03	USD	-21,551.50	
03AUG17	S	9	PD03NOV17	6339.50	6771.03	USD	-97,094.25	
03AUG17	S	7	PD03NOV17	6339.00	6771.03	USD	-75,605.25	
03AUG17	B	4	PD03NOV17	6344.00	6771.03	USD	42,703.00	
03AUG17	B	1	PD03NOV17	6345.00	6771.03	USD	10,650.75	
04AUG17	B	1	PD03NOV17	6352.50	6771.03	USD	10,463.25	
04AUG17	B	2	PD03NOV17	6353.50	6771.03	USD	20,876.50	
04AUG17	B	2	PD03NOV17	6354.50	6771.03	USD	20,826.50	
04AUG17	B	5	PD03NOV17	6357.00	6771.03	USD	51,753.75	
04AUG17	B	6	PD03NOV17	6357.50	6771.03	USD	62,029.50	
04AUG17	B	3	PD03NOV17	6356.00	6771.03	USD	31,127.25	
04AUG17	B	3	PD03NOV17	6355.00	6771.03	USD	31,202.25	
04AUG17	B	1	PD03NOV17	6361.00	6771.03	USD	10,250.75	
04AUG17	B	1	PD03NOV17	6366.50	6771.03	USD	10,113.25	
04AUG17	B	2	PD03NOV17	6363.00	6771.03	USD	20,401.50	
04AUG17	B	2	PD03NOV17	6374.00	6771.03	USD	19,851.50	
04AUG17	B	2	PD03NOV17	6370.50	6771.03	USD	20,026.50	
04AUG17	B	3	PD03NOV17	6373.50	6771.03	USD	29,814.75	
04AUG17	B	1	PD03NOV17	6375.00	6771.03	USD	9,900.75	
04AUG17	S	2	PD03NOV17	6365.00	6771.03	USD	-20,301.50	
04AUG17	S	1	PD03NOV17	6364.00	6771.03	USD	-10,175.75	
04AUG17	S	1	PD03NOV17	6367.00	6771.03	USD	-10,100.75	
04AUG17	S	1	PD03NOV17	6369.50	6771.03	USD	-10,038.25	
04AUG17	S	3	PD03NOV17	6368.00	6771.03	USD	-30,227.25	
04AUG17	S	3	PD03NOV17	6366.00	6771.03	USD	-30,377.25	
04AUG17	S	4	PD03NOV17	6362.50	6771.03	USD	-40,853.00	
04AUG17	S	3	PD03NOV17	6364.50	6771.03	USD	-30,489.75	
04AUG17	S	4	PD03NOV17	6363.50	6771.03	USD	-40,753.00	
04AUG17	S	6	PD03NOV17	6368.50	6771.03	USD	-60,379.50	
04AUG17	S	1	PD03NOV17	6369.00	6771.03	USD	-10,050.75	
04AUG17	S	4	PD03NOV17	6362.00	6771.03	USD	-40,903.00	
04AUG17	S	2	PD03NOV17	6366.50	6771.03	USD	-20,226.50	
04AUG17	S	15	PD03NOV17	6365.50	6771.03	USD	-152,073.75	
04AUG17	S	2	PD03NOV17	6358.50	6771.03	USD	-20,626.50	
04AUG17	S	3	PD03NOV17	6357.00	6771.03	USD	-31,052.25	
04AUG17	B	1	PD03NOV17	6362.00	6771.03	USD	10,225.75	
04AUG17	B	9	PD03NOV17	6358.00	6771.03	USD	92,931.75	
04AUG17	B	11	PD03NOV17	6358.50	6771.03	USD	113,445.75	
04AUG17	B	1	PD03NOV17	6356.00	6771.03	USD	10,375.75	
04AUG17	S	1	PD03NOV17	6362.00	6771.03	USD	-10,225.75	
04AUG17	S	1	PD03NOV17	6361.50	6771.03	USD	-10,238.25	
04AUG17	S	1	PD03NOV17	6357.00	6771.03	USD	-10,350.75	
04AUG17	B	7	PD03NOV17	6359.50	6771.03	USD	72,017.75	
04AUG17	S	7	PD03NOV17	6358.00	6771.03	USD	-72,280.25	
04AUG17	B	3	PD03NOV17	6360.50	6771.03	USD	30,789.75	
04AUG17	B	1	PD03NOV17	6361.50	6771.03	USD	10,238.25	

OPEN POSITION STATEMENT



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SAP0097L

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6362.50	6771.03	USD	20,426.50	
04AUG17	B	3	PD03NOV17	6365.00	6771.03	USD	30,452.25	
04AUG17	B	2	PD03NOV17	6366.00	6771.03	USD	20,251.50	
04AUG17	B	3	PD03NOV17	6364.00	6771.03	USD	30,527.25	
04AUG17	B	1	PD03NOV17	6363.50	6771.03	USD	10,188.25	
04AUG17	B	11	PD03NOV17	6360.00	6771.03	USD	113,033.25	
04AUG17	B	9	PD03NOV17	6359.00	6771.03	USD	92,706.75	
04AUG17	S	1	PD03NOV17	6344.00	6771.03	USD	-10,675.75	
04AUG17	S	2	PD03NOV17	6345.00	6771.03	USD	-21,301.50	
04AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
04AUG17	S	1	PD03NOV17	6333.50	6771.03	USD	-10,938.25	
04AUG17	S	1	PD03NOV17	6335.00	6771.03	USD	-10,900.75	
04AUG17	S	2	PD03NOV17	6334.50	6771.03	USD	-21,826.50	
04AUG17	S	6	PD03NOV17	6335.50	6771.03	USD	-65,329.50	
04AUG17	S	2	PD03NOV17	6339.50	6771.03	USD	-21,576.50	
04AUG17	S	4	PD03NOV17	6336.50	6771.03	USD	-43,453.00	
04AUG17	B	1	PD03NOV17	6341.50	6771.03	USD	10,738.25	
04AUG17	B	2	PD03NOV17	6348.50	6771.03	USD	21,126.50	
04AUG17	B	3	PD03NOV17	6349.00	6771.03	USD	31,652.25	
04AUG17	S	1	PD03NOV17	6319.50	6771.03	USD	-11,288.25	
04AUG17	S	1	PD03NOV17	6321.50	6771.03	USD	-11,238.25	
04AUG17	S	3	PD03NOV17	6320.50	6771.03	USD	-33,789.75	
04AUG17	S	1	PD03NOV17	6322.00	6771.03	USD	-11,225.75	
04AUG17	S	3	PD03NOV17	6323.00	6771.03	USD	-33,602.25	
04AUG17	S	6	PD03NOV17	6324.00	6771.03	USD	-67,054.50	
04AUG17	S	3	PD03NOV17	6323.50	6771.03	USD	-33,564.75	
04AUG17	S	2	PD03NOV17	6324.50	6771.03	USD	-22,326.50	
04AUG17	S	1	PD03NOV17	6325.50	6771.03	USD	-11,138.25	
04AUG17	S	3	PD03NOV17	6328.50	6771.03	USD	-33,189.75	
04AUG17	S	1	PD03NOV17	6332.00	6771.03	USD	-10,975.75	
04AUG17	B	1	PD03NOV17	6384.00	6771.03	USD	9,675.75	
04AUG17	B	7	PD03NOV17	6384.50	6771.03	USD	67,642.75	
04AUG17	B	1	PD03NOV17	6383.50	6771.03	USD	9,688.25	
04AUG17	B	3	PD03NOV17	6383.00	6771.03	USD	29,102.25	
04AUG17	B	8	PD03NOV17	6385.00	6771.03	USD	77,206.00	
04AUG17	B	6	PD03NOV17	6367.00	6771.03	USD	60,604.50	
04AUG17	B	5	PD03NOV17	6365.50	6771.03	USD	50,691.25	
04AUG17	S	11	PD03NOV17	6363.00	6771.03	USD	-112,208.25	
04AUG17	S	4	PD03NOV17	6357.50	6771.03	USD	-41,353.00	
04AUG17	S	1	PD03NOV17	6355.50	6771.03	USD	-10,388.25	
04AUG17	S	1	PD03NOV17	6355.00	6771.03	USD	-10,400.75	
04AUG17	S	1	PD03NOV17	6354.00	6771.03	USD	-10,425.75	
04AUG17	S	1	PD03NOV17	6360.50	6771.03	USD	-10,263.25	
04AUG17	S	8	PD03NOV17	6359.50	6771.03	USD	-82,306.00	
04AUG17	S	4	PD03NOV17	6361.00	6771.03	USD	-41,003.00	
04AUG17	S	4	PD03NOV17	6361.50	6771.03	USD	-40,953.00	
04AUG17	S	14	PD03NOV17	6360.00	6771.03	USD	-143,860.50	
04AUG17	S	6	PD03NOV17	6359.00	6771.03	USD	-61,804.50	
07AUG17	B	65	PD03NOV17	6412.56	6771.03	USD	582,513.75	
10AUG17	B	65	PD03NOV17	6420.13	6771.03	USD	570,212.50	
	NET	0 AVG BGHT 6376.30	SOLD 6342.61				-277,108.75	0.00
07AUG17	S	1	PD07NOV17	6360.50	6774.73	USD	-10,355.75	
07AUG17	S	1	PD07NOV17	6370.50	6774.73	USD	-10,105.75	
07AUG17	S	4	PD07NOV17	6371.50	6774.73	USD	-40,323.00	
07AUG17	S	8	PD07NOV17	6370.00	6774.73	USD	-80,946.00	
07AUG17	S	6	PD07NOV17	6366.50	6774.73	USD	-61,234.50	
07AUG17	S	5	PD07NOV17	6372.00	6774.73	USD	-50,341.25	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	4	PD07NOV17	6363.50	6774.73	USD	41,123.00	
07AUG17	B	10	PD07NOV17	6364.00	6774.73	USD	102,682.50	
07AUG17	B	6	PD07NOV17	6364.50	6774.73	USD	61,534.50	
07AUG17	B	2	PD07NOV17	6365.00	6774.73	USD	20,486.50	
07AUG17	B	3	PD07NOV17	6366.50	6774.73	USD	30,617.25	
07AUG17	B	5	PD07NOV17	6367.50	6774.73	USD	50,903.75	
07AUG17	S	1	PD07NOV17	6386.50	6774.73	USD	-9,705.75	
07AUG17	S	4	PD07NOV17	6385.50	6774.73	USD	-38,923.00	
07AUG17	S	1	PD07NOV17	6386.00	6774.73	USD	-9,718.25	
07AUG17	S	1	PD07NOV17	6397.50	6774.73	USD	-9,430.75	
07AUG17	B	1	PD07NOV17	6407.00	6774.73	USD	9,193.25	
07AUG17	B	3	PD07NOV17	6407.50	6774.73	USD	27,542.25	
07AUG17	B	2	PD07NOV17	6410.00	6774.73	USD	18,236.50	
07AUG17	B	1	PD07NOV17	6416.50	6774.73	USD	8,955.75	
07AUG17	B	2	PD07NOV17	6421.50	6774.73	USD	17,661.50	
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	1	PD07NOV17	6438.00	6774.73	USD	8,418.25	
07AUG17	B	1	PD07NOV17	6443.50	6774.73	USD	8,280.75	
07AUG17	B	1	PD07NOV17	6443.00	6774.73	USD	8,293.25	
07AUG17	B	1	PD07NOV17	6441.50	6774.73	USD	8,330.75	
07AUG17	B	4	PD07NOV17	6441.00	6774.73	USD	33,373.00	
07AUG17	B	1	PD07NOV17	6439.00	6774.73	USD	8,393.25	
07AUG17	B	2	PD07NOV17	6442.00	6774.73	USD	16,636.50	
07AUG17	B	3	PD07NOV17	6440.00	6774.73	USD	25,104.75	
07AUG17	B	3	PD07NOV17	6438.50	6774.73	USD	25,217.25	
07AUG17	B	2	PD07NOV17	6436.50	6774.73	USD	16,911.50	
07AUG17	B	2	PD07NOV17	6434.50	6774.73	USD	17,011.50	
07AUG17	B	2	PD07NOV17	6430.00	6774.73	USD	17,236.50	
07AUG17	B	3	PD07NOV17	6428.50	6774.73	USD	25,967.25	
07AUG17	B	2	PD07NOV17	6429.00	6774.73	USD	17,286.50	
07AUG17	B	1	PD07NOV17	6426.50	6774.73	USD	8,705.75	
07AUG17	B	3	PD07NOV17	6425.50	6774.73	USD	26,192.25	
07AUG17	B	6	PD07NOV17	6425.00	6774.73	USD	52,459.50	
07AUG17	B	1	PD07NOV17	6427.50	6774.73	USD	8,680.75	
07AUG17	S	2	PD07NOV17	6419.00	6774.73	USD	-17,786.50	
07AUG17	S	2	PD07NOV17	6418.50	6774.73	USD	-17,811.50	
07AUG17	S	2	PD07NOV17	6417.50	6774.73	USD	-17,861.50	
07AUG17	S	2	PD07NOV17	6416.50	6774.73	USD	-17,911.50	
07AUG17	S	3	PD07NOV17	6416.00	6774.73	USD	-26,904.75	
07AUG17	S	1	PD07NOV17	6415.50	6774.73	USD	-8,980.75	
07AUG17	S	4	PD07NOV17	6415.00	6774.73	USD	-35,973.00	
07AUG17	S	1	PD07NOV17	6414.50	6774.73	USD	-9,005.75	
07AUG17	S	1	PD07NOV17	6411.00	6774.73	USD	-9,093.25	
07AUG17	S	2	PD07NOV17	6409.00	6774.73	USD	-18,286.50	
07AUG17	S	1	PD07NOV17	6404.50	6774.73	USD	-9,255.75	
07AUG17	S	1	PD07NOV17	6403.50	6774.73	USD	-9,280.75	
07AUG17	S	1	PD07NOV17	6407.00	6774.73	USD	-9,193.25	
07AUG17	S	1	PD07NOV17	6406.50	6774.73	USD	-9,205.75	
07AUG17	S	5	PD07NOV17	6408.00	6774.73	USD	-45,841.25	
07AUG17	S	2	PD07NOV17	6404.00	6774.73	USD	-18,536.50	
07AUG17	S	1	PD07NOV17	6396.00	6774.73	USD	-9,468.25	
07AUG17	S	3	PD07NOV17	6403.00	6774.73	USD	-27,879.75	
07AUG17	S	2	PD07NOV17	6405.00	6774.73	USD	-18,486.50	
07AUG17	S	2	PD07NOV17	6421.00	6774.73	USD	-17,686.50	
07AUG17	S	1	PD07NOV17	6412.50	6774.73	USD	-9,055.75	
07AUG17	S	1	PD07NOV17	6410.00	6774.73	USD	-9,118.25	
07AUG17	S	1	PD07NOV17	6410.50	6774.73	USD	-9,105.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	S	2	PD07NOV17		6411.50	6774.73	USD	-18,161.50	
07AUG17	S	3	PD07NOV17		6406.00	6774.73	USD	-27,654.75	
	NET	0 AVG	BGHT 6405.06	SOLD 6395.68				-18,525.00	0.00
08AUG17	S	2	PD08NOV17		6393.50	6775.65	USD	-19,107.50	
08AUG17	S	1	PD08NOV17		6392.50	6775.65	USD	-9,578.75	
08AUG17	S	1	PD08NOV17		6394.50	6775.65	USD	-9,528.75	
08AUG17	S	2	PD08NOV17		6394.00	6775.65	USD	-19,082.50	
08AUG17	S	3	PD08NOV17		6393.00	6775.65	USD	-28,698.75	
08AUG17	S	1	PD08NOV17		6391.00	6775.65	USD	-9,616.25	
08AUG17	B	1	PD08NOV17		6396.00	6775.65	USD	9,491.25	
08AUG17	B	4	PD08NOV17		6400.00	6775.65	USD	37,565.00	
08AUG17	B	12	PD08NOV17		6399.50	6775.65	USD	112,845.00	
08AUG17	B	14	PD08NOV17		6398.50	6775.65	USD	132,002.50	
08AUG17	B	1	PD08NOV17		6392.50	6775.65	USD	9,578.75	
08AUG17	B	2	PD08NOV17		6394.00	6775.65	USD	19,082.50	
08AUG17	B	2	PD08NOV17		6394.50	6775.65	USD	19,057.50	
08AUG17	B	3	PD08NOV17		6395.50	6775.65	USD	28,511.25	
08AUG17	B	10	PD08NOV17		6397.50	6775.65	USD	94,537.50	
08AUG17	B	11	PD08NOV17		6396.50	6775.65	USD	104,266.25	
08AUG17	B	21	PD08NOV17		6399.00	6775.65	USD	197,741.25	
08AUG17	B	17	PD08NOV17		6398.00	6775.65	USD	160,501.25	
08AUG17	B	5	PD08NOV17		6397.00	6775.65	USD	47,331.25	
08AUG17	B	1	PD08NOV17		6401.50	6775.65	USD	9,353.75	
08AUG17	S	1	PD08NOV17		6408.50	6775.65	USD	-9,178.75	
08AUG17	S	2	PD08NOV17		6407.50	6775.65	USD	-18,407.50	
08AUG17	B	3	PD08NOV17		6402.00	6775.65	USD	28,023.75	
08AUG17	B	1	PD08NOV17		6402.50	6775.65	USD	9,328.75	
08AUG17	B	3	PD08NOV17		6401.00	6775.65	USD	28,098.75	
08AUG17	S	3	PD08NOV17		6406.50	6775.65	USD	-27,686.25	
08AUG17	S	1	PD08NOV17		6414.00	6775.65	USD	-9,041.25	
08AUG17	S	2	PD08NOV17		6417.50	6775.65	USD	-17,907.50	
08AUG17	S	3	PD08NOV17		6409.00	6775.65	USD	-27,498.75	
08AUG17	S	4	PD08NOV17		6410.00	6775.65	USD	-36,565.00	
08AUG17	S	1	PD08NOV17		6411.50	6775.65	USD	-9,103.75	
08AUG17	S	1	PD08NOV17		6415.00	6775.65	USD	-9,016.25	
08AUG17	S	1	PD08NOV17		6416.00	6775.65	USD	-8,991.25	
08AUG17	S	2	PD08NOV17		6404.50	6775.65	USD	-18,557.50	
08AUG17	S	1	PD08NOV17		6402.00	6775.65	USD	-9,341.25	
08AUG17	S	3	PD08NOV17		6403.00	6775.65	USD	-27,948.75	
08AUG17	S	3	PD08NOV17		6405.00	6775.65	USD	-27,798.75	
08AUG17	S	3	PD08NOV17		6404.00	6775.65	USD	-27,873.75	
08AUG17	S	1	PD08NOV17		6407.00	6775.65	USD	-9,216.25	
08AUG17	B	5	PD08NOV17		6404.00	6775.65	USD	46,456.25	
08AUG17	B	5	PD08NOV17		6418.50	6775.65	USD	44,643.75	
08AUG17	B	10	PD08NOV17		6419.00	6775.65	USD	89,162.50	
08AUG17	B	12	PD08NOV17		6419.50	6775.65	USD	106,845.00	
08AUG17	B	8	PD08NOV17		6420.00	6775.65	USD	71,130.00	
08AUG17	B	1	PD08NOV17		6423.50	6775.65	USD	8,803.75	
08AUG17	B	2	PD08NOV17		6425.50	6775.65	USD	17,507.50	
08AUG17	B	1	PD08NOV17		6425.00	6775.65	USD	8,766.25	
08AUG17	B	1	PD08NOV17		6426.00	6775.65	USD	8,741.25	
08AUG17	B	5	PD08NOV17		6434.50	6775.65	USD	42,643.75	
08AUG17	B	7	PD08NOV17		6437.50	6775.65	USD	59,176.25	
08AUG17	B	1	PD08NOV17		6436.00	6775.65	USD	8,491.25	
08AUG17	B	8	PD08NOV17		6434.00	6775.65	USD	68,330.00	
08AUG17	B	4	PD08NOV17		6433.00	6775.65	USD	34,265.00	
08AUG17	B	5	PD08NOV17		6437.00	6775.65	USD	42,331.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	6447.00	6775.65	USD	8,216.25	
08AUG17	B	1	PD08NOV17	6444.50	6775.65	USD	8,278.75	
08AUG17	B	1	PD08NOV17	6444.00	6775.65	USD	8,291.25	
08AUG17	B	1	PD08NOV17	6450.00	6775.65	USD	8,141.25	
08AUG17	B	1	PD08NOV17	6449.50	6775.65	USD	8,153.75	
08AUG17	B	2	PD08NOV17	6464.50	6775.65	USD	15,557.50	
08AUG17	B	2	PD08NOV17	6462.50	6775.65	USD	15,657.50	
08AUG17	B	2	PD08NOV17	6464.00	6775.65	USD	15,582.50	
08AUG17	B	1	PD08NOV17	6463.00	6775.65	USD	7,816.25	
08AUG17	B	1	PD08NOV17	6466.00	6775.65	USD	7,741.25	
08AUG17	B	2	PD08NOV17	6469.50	6775.65	USD	15,307.50	
08AUG17	B	4	PD08NOV17	6468.00	6775.65	USD	30,765.00	
08AUG17	B	5	PD08NOV17	6467.00	6775.65	USD	38,581.25	
08AUG17	B	2	PD08NOV17	6473.50	6775.65	USD	15,107.50	
08AUG17	B	1	PD08NOV17	6472.50	6775.65	USD	7,578.75	
08AUG17	B	1	PD08NOV17	6471.50	6775.65	USD	7,603.75	
08AUG17	B	1	PD08NOV17	6474.50	6775.65	USD	7,528.75	
08AUG17	B	1	PD08NOV17	6479.00	6775.65	USD	7,416.25	
08AUG17	S	1	PD08NOV17	6476.00	6775.65	USD	-7,491.25	
08AUG17	B	1	PD08NOV17	6399.00	6775.65	USD	9,416.25	
08AUG17	B	3	PD08NOV17	6483.00	6775.65	USD	21,948.75	
08AUG17	B	1	PD08NOV17	6487.50	6775.65	USD	7,203.75	
08AUG17	B	1	PD08NOV17	6486.50	6775.65	USD	7,228.75	
08AUG17	B	1	PD08NOV17	6489.50	6775.65	USD	7,153.75	
08AUG17	B	3	PD08NOV17	6488.50	6775.65	USD	21,536.25	
08AUG17	S	3	PD08NOV17	6488.50	6775.65	USD	-21,536.25	
08AUG17	B	1	PD08NOV17	6484.00	6775.65	USD	7,291.25	
08AUG17	B	2	PD08NOV17	6481.00	6775.65	USD	14,732.50	
08AUG17	B	5	PD08NOV17	6485.50	6775.65	USD	36,268.75	
08AUG17	B	5	PD08NOV17	6482.00	6775.65	USD	36,706.25	
08AUG17	B	3	PD08NOV17	6482.50	6775.65	USD	21,986.25	
08AUG17	S	1	PD08NOV17	6484.00	6775.65	USD	-7,291.25	
10AUG17	S	65	PD08NOV17	6421.98	6775.65	USD	-574,713.75	
10AUG17	S	75	PD08NOV17	6422.18	6775.65	USD	-662,756.25	
14AUG17	S	45	PD08NOV17	6394.87	6775.65	USD	-428,377.50	
21AUG17	S	10	PD08NOV17	6584.00	6775.65	USD	-47,912.50	
	NET	0AVG BGHT 6423.68	SOLD 6421.96				-10,416.25	0.00
09AUG17	S	1	PD09NOV17	6505.50	6776.58	USD	-6,777.00	
09AUG17	S	1	PD09NOV17	6506.50	6776.58	USD	-6,752.00	
09AUG17	B	2	PD09NOV17	6505.50	6776.58	USD	13,554.00	
09AUG17	S	1	PD09NOV17	6498.00	6776.58	USD	-6,964.50	
09AUG17	S	3	PD09NOV17	6500.00	6776.58	USD	-20,743.50	
09AUG17	S	1	PD09NOV17	6497.00	6776.58	USD	-6,989.50	
09AUG17	S	1	PD09NOV17	6494.00	6776.58	USD	-7,064.50	
09AUG17	S	1	PD09NOV17	6480.00	6776.58	USD	-7,414.50	
09AUG17	S	2	PD09NOV17	6479.50	6776.58	USD	-14,854.00	
09AUG17	S	2	PD09NOV17	6477.50	6776.58	USD	-14,954.00	
09AUG17	B	5	PD09NOV17	6499.50	6776.58	USD	34,635.00	
09AUG17	B	4	PD09NOV17	6494.00	6776.58	USD	28,258.00	
09AUG17	B	1	PD09NOV17	6492.50	6776.58	USD	7,102.00	
09AUG17	B	1	PD09NOV17	6491.50	6776.58	USD	7,127.00	
09AUG17	B	1	PD09NOV17	6493.50	6776.58	USD	7,077.00	
09AUG17	B	3	PD09NOV17	6492.50	6776.58	USD	21,306.00	
09AUG17	B	3	PD09NOV17	6489.50	6776.58	USD	21,531.00	
09AUG17	B	2	PD09NOV17	6493.00	6776.58	USD	14,179.00	
09AUG17	S	4	PD09NOV17	6481.00	6776.58	USD	-29,558.00	
09AUG17	S	3	PD09NOV17	6482.00	6776.58	USD	-22,093.50	

OPEN POSITION STATEMENT



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SAP0097L

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	6483.00	6776.58	USD	-7,339.50	
09AUG17	B	2	PD09NOV17	6472.50	6776.58	USD	15,204.00	
09AUG17	B	1	PD09NOV17	6476.00	6776.58	USD	7,514.50	
09AUG17	S	9	PD09NOV17	6484.00	6776.58	USD	-65,830.50	
09AUG17	S	4	PD09NOV17	6486.50	6776.58	USD	-29,008.00	
09AUG17	S	3	PD09NOV17	6487.00	6776.58	USD	-21,718.50	
09AUG17	S	11	PD09NOV17	6485.00	6776.58	USD	-80,184.50	
09AUG17	S	5	PD09NOV17	6486.00	6776.58	USD	-36,322.50	
09AUG17	S	2	PD09NOV17	6490.50	6776.58	USD	-14,304.00	
09AUG17	S	7	PD09NOV17	6492.00	6776.58	USD	-49,801.50	
09AUG17	S	3	PD09NOV17	6491.00	6776.58	USD	-21,418.50	
09AUG17	S	1	PD09NOV17	6490.00	6776.58	USD	-7,164.50	
09AUG17	S	3	PD09NOV17	6489.00	6776.58	USD	-21,568.50	
09AUG17	S	3	PD09NOV17	6489.50	6776.58	USD	-21,531.00	
09AUG17	S	7	PD09NOV17	6488.50	6776.58	USD	-50,414.00	
09AUG17	S	1	PD09NOV17	6487.50	6776.58	USD	-7,227.00	
09AUG17	S	1	PD09NOV17	6488.00	6776.58	USD	-7,214.50	
09AUG17	S	3	PD09NOV17	6484.50	6776.58	USD	-21,906.00	
09AUG17	S	1	PD09NOV17	6483.50	6776.58	USD	-7,327.00	
09AUG17	S	1	PD09NOV17	6485.50	6776.58	USD	-7,277.00	
09AUG17	S	3	PD09NOV17	6480.50	6776.58	USD	-22,206.00	
09AUG17	S	5	PD09NOV17	6482.50	6776.58	USD	-36,760.00	
09AUG17	S	1	PD09NOV17	6459.50	6776.58	USD	-7,927.00	
09AUG17	B	1	PD09NOV17	6463.00	6776.58	USD	7,839.50	
09AUG17	B	1	PD09NOV17	6467.50	6776.58	USD	7,727.00	
09AUG17	B	2	PD09NOV17	6468.50	6776.58	USD	15,404.00	
09AUG17	B	2	PD09NOV17	6468.00	6776.58	USD	15,429.00	
09AUG17	S	1	PD09NOV17	6485.00	6776.58	USD	-7,289.50	
09AUG17	S	4	PD09NOV17	6444.00	6776.58	USD	-33,258.00	
09AUG17	S	2	PD09NOV17	6437.00	6776.58	USD	-16,979.00	
09AUG17	S	1	PD09NOV17	6438.50	6776.58	USD	-8,452.00	
09AUG17	S	1	PD09NOV17	6440.50	6776.58	USD	-8,402.00	
09AUG17	S	5	PD09NOV17	6439.50	6776.58	USD	-42,135.00	
09AUG17	S	1	PD09NOV17	6440.00	6776.58	USD	-8,414.50	
09AUG17	S	1	PD09NOV17	6447.00	6776.58	USD	-8,239.50	
09AUG17	B	1	PD09NOV17	6437.50	6776.58	USD	8,477.00	
09AUG17	B	1	PD09NOV17	6438.00	6776.58	USD	8,464.50	
09AUG17	B	2	PD09NOV17	6440.50	6776.58	USD	16,804.00	
09AUG17	B	1	PD09NOV17	6435.00	6776.58	USD	8,539.50	
09AUG17	S	1	PD09NOV17	6448.00	6776.58	USD	-8,214.50	
09AUG17	S	3	PD09NOV17	6451.50	6776.58	USD	-24,381.00	
09AUG17	S	1	PD09NOV17	6455.50	6776.58	USD	-8,027.00	
09AUG17	S	1	PD09NOV17	6457.50	6776.58	USD	-7,977.00	
09AUG17	S	3	PD09NOV17	6457.00	6776.58	USD	-23,968.50	
09AUG17	S	1	PD09NOV17	6454.50	6776.58	USD	-8,052.00	
09AUG17	B	1	PD09NOV17	6447.00	6776.58	USD	8,239.50	
09AUG17	B	1	PD09NOV17	6452.00	6776.58	USD	8,114.50	
09AUG17	B	2	PD09NOV17	6451.00	6776.58	USD	16,279.00	
09AUG17	B	2	PD09NOV17	6454.00	6776.58	USD	16,129.00	
09AUG17	B	3	PD09NOV17	6453.00	6776.58	USD	24,268.50	
09AUG17	B	1	PD09NOV17	6451.50	6776.58	USD	8,127.00	
09AUG17	S	1	PD09NOV17	6452.50	6776.58	USD	-8,102.00	
09AUG17	B	1	PD09NOV17	6454.00	6776.58	USD	8,064.50	
10AUG17	B	75	PD09NOV17	6422.79	6776.58	USD	663,356.25	
	NET	0	AVG BGHT 6442.56	SOLD 6478.05			108,243.75	0.00
10AUG17	B	2	PD10NOV17	6459.50	6777.50	USD	15,900.00	
10AUG17	B	1	PD10NOV17	6458.50	6777.50	USD	7,975.00	

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10AUG17	S	3	PD10NOV17	6455.00	6777.50	USD	-24,187.50	
10AUG17	S	2	PD10NOV17	6442.50	6777.50	USD	-16,750.00	
10AUG17	S	1	PD10NOV17	6437.50	6777.50	USD	-8,500.00	
10AUG17	S	2	PD10NOV17	6438.50	6777.50	USD	-16,950.00	
10AUG17	S	2	PD10NOV17	6439.50	6777.50	USD	-16,900.00	
10AUG17	S	4	PD10NOV17	6440.50	6777.50	USD	-33,700.00	
10AUG17	S	2	PD10NOV17	6451.50	6777.50	USD	-16,300.00	
10AUG17	S	2	PD10NOV17	6452.50	6777.50	USD	-16,250.00	
10AUG17	S	1	PD10NOV17	6461.00	6777.50	USD	-7,912.50	
10AUG17	S	2	PD10NOV17	6458.00	6777.50	USD	-15,975.00	
10AUG17	S	5	PD10NOV17	6455.50	6777.50	USD	-40,250.00	
10AUG17	S	5	PD10NOV17	6457.00	6777.50	USD	-40,062.50	
10AUG17	S	2	PD10NOV17	6458.50	6777.50	USD	-15,950.00	
10AUG17	B	1	PD10NOV17	6446.50	6777.50	USD	8,275.00	
10AUG17	B	2	PD10NOV17	6449.00	6777.50	USD	16,425.00	
10AUG17	B	3	PD10NOV17	6448.00	6777.50	USD	24,712.50	
10AUG17	B	1	PD10NOV17	6447.50	6777.50	USD	8,250.00	
10AUG17	S	1	PD10NOV17	6452.00	6777.50	USD	-8,137.50	
10AUG17	S	1	PD10NOV17	6451.00	6777.50	USD	-8,162.50	
10AUG17	S	3	PD10NOV17	6428.50	6777.50	USD	-26,175.00	
10AUG17	S	1	PD10NOV17	6422.00	6777.50	USD	-8,887.50	
10AUG17	S	1	PD10NOV17	6416.50	6777.50	USD	-9,025.00	
10AUG17	S	1	PD10NOV17	6411.50	6777.50	USD	-9,150.00	
10AUG17	S	1	PD10NOV17	6414.50	6777.50	USD	-9,075.00	
10AUG17	S	1	PD10NOV17	6415.50	6777.50	USD	-9,050.00	
10AUG17	S	2	PD10NOV17	6405.50	6777.50	USD	-18,600.00	
10AUG17	S	2	PD10NOV17	6402.00	6777.50	USD	-18,775.00	
10AUG17	S	1	PD10NOV17	6401.50	6777.50	USD	-9,400.00	
10AUG17	S	1	PD10NOV17	6402.50	6777.50	USD	-9,375.00	
10AUG17	S	1	PD10NOV17	6393.00	6777.50	USD	-9,612.50	
10AUG17	S	1	PD10NOV17	6387.00	6777.50	USD	-9,762.50	
10AUG17	S	2	PD10NOV17	6407.00	6777.50	USD	-18,525.00	
10AUG17	S	1	PD10NOV17	6408.00	6777.50	USD	-9,237.50	
10AUG17	S	3	PD10NOV17	6406.00	6777.50	USD	-27,862.50	
10AUG17	S	2	PD10NOV17	6406.50	6777.50	USD	-18,550.00	
10AUG17	B	1	PD10NOV17	6414.00	6777.50	USD	9,087.50	
10AUG17	B	2	PD10NOV17	6413.00	6777.50	USD	18,225.00	
10AUG17	B	2	PD10NOV17	6416.00	6777.50	USD	18,075.00	
10AUG17	B	1	PD10NOV17	6409.50	6777.50	USD	9,200.00	
10AUG17	B	1	PD10NOV17	6404.00	6777.50	USD	9,337.50	
10AUG17	B	3	PD10NOV17	6404.50	6777.50	USD	27,975.00	
10AUG17	B	5	PD10NOV17	6403.50	6777.50	USD	46,750.00	
10AUG17	B	1	PD10NOV17	6402.50	6777.50	USD	9,375.00	
10AUG17	S	1	PD10NOV17	6405.00	6777.50	USD	-9,312.50	
10AUG17	S	6	PD10NOV17	6404.00	6777.50	USD	-56,025.00	
10AUG17	B	5	PD10NOV17	6402.00	6777.50	USD	46,937.50	
10AUG17	B	1	PD10NOV17	6401.00	6777.50	USD	9,412.50	
10AUG17	S	1	PD10NOV17	6401.00	6777.50	USD	-9,412.50	
11AUG17	S	1	PD10NOV17	6356.50	6777.50	USD	-10,525.00	
11AUG17	S	1	PD10NOV17	6359.00	6777.50	USD	-10,462.50	
11AUG17	S	1	PD10NOV17	6358.50	6777.50	USD	-10,475.00	
11AUG17	S	1	PD10NOV17	6343.50	6777.50	USD	-10,850.00	
11AUG17	S	1	PD10NOV17	6353.50	6777.50	USD	-10,600.00	
11AUG17	S	1	PD10NOV17	6352.00	6777.50	USD	-10,637.50	
11AUG17	S	1	PD10NOV17	6351.50	6777.50	USD	-10,650.00	
11AUG17	S	1	PD10NOV17	6352.50	6777.50	USD	-10,625.00	
11AUG17	S	4	PD10NOV17	6355.00	6777.50	USD	-42,250.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6357.50	6777.50	USD	-10,500.00	
11AUG17	S	1	PD10NOV17	6359.50	6777.50	USD	-10,450.00	
11AUG17	S	2	PD10NOV17	6361.00	6777.50	USD	-20,825.00	
11AUG17	B	1	PD10NOV17	6379.50	6777.50	USD	9,950.00	
11AUG17	B	1	PD10NOV17	6373.50	6777.50	USD	10,100.00	
11AUG17	B	1	PD10NOV17	6375.50	6777.50	USD	10,050.00	
11AUG17	B	2	PD10NOV17	6379.00	6777.50	USD	19,925.00	
11AUG17	B	1	PD10NOV17	6380.00	6777.50	USD	9,937.50	
11AUG17	B	1	PD10NOV17	6376.50	6777.50	USD	10,025.00	
11AUG17	S	2	PD10NOV17	6371.50	6777.50	USD	-20,300.00	
11AUG17	B	1	PD10NOV17	6360.00	6777.50	USD	10,437.50	
11AUG17	B	2	PD10NOV17	6357.00	6777.50	USD	21,025.00	
11AUG17	B	2	PD10NOV17	6352.50	6777.50	USD	21,250.00	
11AUG17	B	1	PD10NOV17	6354.00	6777.50	USD	10,587.50	
11AUG17	B	3	PD10NOV17	6382.00	6777.50	USD	29,662.50	
11AUG17	S	1	PD10NOV17	6384.50	6777.50	USD	-9,825.00	
11AUG17	S	2	PD10NOV17	6356.50	6777.50	USD	-21,050.00	
11AUG17	S	2	PD10NOV17	6360.00	6777.50	USD	-20,875.00	
11AUG17	S	1	PD10NOV17	6368.00	6777.50	USD	-10,237.50	
11AUG17	S	1	PD10NOV17	6382.50	6777.50	USD	-9,875.00	
11AUG17	S	1	PD10NOV17	6383.50	6777.50	USD	-9,850.00	
11AUG17	S	3	PD10NOV17	6386.50	6777.50	USD	-29,325.00	
11AUG17	S	1	PD10NOV17	6392.50	6777.50	USD	-9,625.00	
11AUG17	B	1	PD10NOV17	6393.50	6777.50	USD	9,600.00	
11AUG17	B	4	PD10NOV17	6397.00	6777.50	USD	38,050.00	
11AUG17	B	1	PD10NOV17	6396.50	6777.50	USD	9,525.00	
11AUG17	B	1	PD10NOV17	6394.50	6777.50	USD	9,575.00	
11AUG17	B	3	PD10NOV17	6403.50	6777.50	USD	28,050.00	
11AUG17	B	2	PD10NOV17	6402.00	6777.50	USD	18,775.00	
11AUG17	B	1	PD10NOV17	6406.50	6777.50	USD	9,275.00	
11AUG17	B	1	PD10NOV17	6407.50	6777.50	USD	9,250.00	
11AUG17	B	1	PD10NOV17	6405.50	6777.50	USD	9,300.00	
11AUG17	B	3	PD10NOV17	6404.00	6777.50	USD	28,012.50	
11AUG17	B	4	PD10NOV17	6403.00	6777.50	USD	37,450.00	
11AUG17	B	1	PD10NOV17	6399.50	6777.50	USD	9,450.00	
11AUG17	B	7	PD10NOV17	6398.50	6777.50	USD	66,325.00	
11AUG17	B	4	PD10NOV17	6398.00	6777.50	USD	37,950.00	
11AUG17	B	5	PD10NOV17	6399.00	6777.50	USD	47,312.50	
11AUG17	B	3	PD10NOV17	6401.00	6777.50	USD	28,237.50	
11AUG17	B	2	PD10NOV17	6402.50	6777.50	USD	18,750.00	
11AUG17	B	3	PD10NOV17	6401.50	6777.50	USD	28,200.00	
11AUG17	S	1	PD10NOV17	6410.50	6777.50	USD	-9,175.00	
11AUG17	S	3	PD10NOV17	6410.00	6777.50	USD	-27,562.50	
11AUG17	S	3	PD10NOV17	6411.00	6777.50	USD	-27,487.50	
11AUG17	S	1	PD10NOV17	6414.00	6777.50	USD	-9,087.50	
11AUG17	S	4	PD10NOV17	6413.50	6777.50	USD	-36,400.00	
11AUG17	S	3	PD10NOV17	6414.50	6777.50	USD	-27,225.00	
11AUG17	S	2	PD10NOV17	6415.00	6777.50	USD	-18,125.00	
11AUG17	S	2	PD10NOV17	6415.50	6777.50	USD	-18,100.00	
11AUG17	S	10	PD10NOV17	6416.00	6777.50	USD	-90,375.00	
11AUG17	S	2	PD10NOV17	6417.00	6777.50	USD	-18,025.00	
11AUG17	S	1	PD10NOV17	6423.00	6777.50	USD	-8,862.50	
11AUG17	S	1	PD10NOV17	6427.50	6777.50	USD	-8,750.00	
11AUG17	S	2	PD10NOV17	6429.50	6777.50	USD	-17,400.00	
11AUG17	S	2	PD10NOV17	6430.00	6777.50	USD	-17,375.00	
11AUG17	S	2	PD10NOV17	6431.00	6777.50	USD	-17,325.00	
11AUG17	S	3	PD10NOV17	6430.50	6777.50	USD	-26,025.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	3	PD10NOV17	6419.00	6777.50	USD	-26,887.50	
11AUG17	S	1	PD10NOV17	6420.50	6777.50	USD	-8,925.00	
11AUG17	S	7	PD10NOV17	6420.00	6777.50	USD	-62,562.50	
11AUG17	S	7	PD10NOV17	6419.50	6777.50	USD	-62,650.00	
11AUG17	S	3	PD10NOV17	6418.00	6777.50	USD	-26,962.50	
11AUG17	B	10	PD10NOV17	6398.00	6777.50	USD	94,875.00	
11AUG17	B	1	PD10NOV17	6394.50	6777.50	USD	9,575.00	
11AUG17	B	1	PD10NOV17	6401.00	6777.50	USD	9,412.50	
11AUG17	S	3	PD10NOV17	6421.50	6777.50	USD	-26,700.00	
11AUG17	S	4	PD10NOV17	6422.00	6777.50	USD	-35,550.00	
11AUG17	S	5	PD10NOV17	6421.00	6777.50	USD	-44,562.50	
11AUG17	B	4	PD10NOV17	6417.00	6777.50	USD	36,050.00	
11AUG17	B	1	PD10NOV17	6416.00	6777.50	USD	9,037.50	
11AUG17	B	1	PD10NOV17	6423.00	6777.50	USD	8,862.50	
11AUG17	B	1	PD10NOV17	6422.00	6777.50	USD	8,887.50	
11AUG17	B	1	PD10NOV17	6424.50	6777.50	USD	8,825.00	
11AUG17	S	2	PD10NOV17	6423.50	6777.50	USD	-17,700.00	
11AUG17	B	6	PD10NOV17	6423.50	6777.50	USD	53,100.00	
11AUG17	B	8	PD10NOV17	6425.00	6777.50	USD	70,500.00	
14AUG17	B	45	PD10NOV17	6395.78	6777.50	USD	429,435.00	
	NET	0 AVG BGHT 6402.67	SOLD 6413.96				49,097.50	0.00
14AUG17	S	1	PD14NOV17	6410.00	6776.83	USD	-9,170.75	
14AUG17	S	2	PD14NOV17	6415.50	6776.83	USD	-18,066.50	
14AUG17	S	2	PD14NOV17	6412.50	6776.83	USD	-18,216.50	
14AUG17	S	2	PD14NOV17	6410.50	6776.83	USD	-18,316.50	
14AUG17	S	2	PD14NOV17	6415.00	6776.83	USD	-18,091.50	
14AUG17	S	1	PD14NOV17	6416.50	6776.83	USD	-9,008.25	
14AUG17	S	1	PD14NOV17	6419.00	6776.83	USD	-8,945.75	
14AUG17	S	2	PD14NOV17	6413.50	6776.83	USD	-18,166.50	
14AUG17	S	1	PD14NOV17	6412.00	6776.83	USD	-9,120.75	
14AUG17	S	2	PD14NOV17	6411.50	6776.83	USD	-18,266.50	
14AUG17	S	2	PD14NOV17	6418.00	6776.83	USD	-17,941.50	
14AUG17	S	6	PD14NOV17	6413.00	6776.83	USD	-54,574.50	
14AUG17	S	1	PD14NOV17	6403.00	6776.83	USD	-9,345.75	
14AUG17	S	1	PD14NOV17	6404.00	6776.83	USD	-9,320.75	
14AUG17	S	3	PD14NOV17	6400.00	6776.83	USD	-28,262.25	
14AUG17	S	2	PD14NOV17	6392.00	6776.83	USD	-19,241.50	
14AUG17	S	1	PD14NOV17	6390.00	6776.83	USD	-9,670.75	
14AUG17	B	1	PD14NOV17	6392.00	6776.83	USD	9,620.75	
14AUG17	B	1	PD14NOV17	6388.50	6776.83	USD	9,708.25	
14AUG17	S	7	PD14NOV17	6389.50	6776.83	USD	-67,782.75	
14AUG17	S	3	PD14NOV17	6391.00	6776.83	USD	-28,937.25	
14AUG17	S	3	PD14NOV17	6390.50	6776.83	USD	-28,974.75	
14AUG17	S	1	PD14NOV17	6389.00	6776.83	USD	-9,695.75	
14AUG17	S	7	PD14NOV17	6388.00	6776.83	USD	-68,045.25	
14AUG17	B	5	PD14NOV17	6393.00	6776.83	USD	47,978.75	
14AUG17	B	2	PD14NOV17	6397.00	6776.83	USD	18,991.50	
14AUG17	B	1	PD14NOV17	6399.00	6776.83	USD	9,445.75	
14AUG17	B	1	PD14NOV17	6408.00	6776.83	USD	9,220.75	
14AUG17	B	1	PD14NOV17	6405.50	6776.83	USD	9,283.25	
14AUG17	B	4	PD14NOV17	6407.00	6776.83	USD	36,983.00	
14AUG17	S	2	PD14NOV17	6414.50	6776.83	USD	-18,116.50	
14AUG17	B	1	PD14NOV17	6407.50	6776.83	USD	9,233.25	
14AUG17	B	2	PD14NOV17	6409.50	6776.83	USD	18,366.50	
14AUG17	B	2	PD14NOV17	6410.00	6776.83	USD	18,341.50	
14AUG17	B	1	PD14NOV17	6415.00	6776.83	USD	9,045.75	
14AUG17	B	1	PD14NOV17	6417.50	6776.83	USD	8,983.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	B	5	PD14NOV17	6418.00	6776.83	USD	44,853.75	
14AUG17	B	1	PD14NOV17	6411.00	6776.83	USD	9,145.75	
14AUG17	S	1	PD14NOV17	6407.50	6776.83	USD	-9,233.25	
14AUG17	S	1	PD14NOV17	6398.50	6776.83	USD	-9,458.25	
14AUG17	S	2	PD14NOV17	6399.00	6776.83	USD	-18,891.50	
14AUG17	S	3	PD14NOV17	6399.50	6776.83	USD	-28,299.75	
14AUG17	S	1	PD14NOV17	6395.00	6776.83	USD	-9,545.75	
14AUG17	S	2	PD14NOV17	6396.00	6776.83	USD	-19,041.50	
14AUG17	S	3	PD14NOV17	6395.50	6776.83	USD	-28,599.75	
14AUG17	S	2	PD14NOV17	6396.50	6776.83	USD	-19,016.50	
14AUG17	B	1	PD14NOV17	6389.50	6776.83	USD	9,683.25	
14AUG17	B	5	PD14NOV17	6396.00	6776.83	USD	47,603.75	
14AUG17	B	1	PD14NOV17	6404.50	6776.83	USD	9,308.25	
14AUG17	B	5	PD14NOV17	6405.00	6776.83	USD	46,478.75	
14AUG17	B	1	PD14NOV17	6400.00	6776.83	USD	9,420.75	
14AUG17	B	3	PD14NOV17	6400.50	6776.83	USD	28,224.75	
14AUG17	B	12	PD14NOV17	6402.00	6776.83	USD	112,449.00	
14AUG17	S	2	PD14NOV17	6408.50	6776.83	USD	-18,416.50	
14AUG17	S	1	PD14NOV17	6408.00	6776.83	USD	-9,220.75	
14AUG17	B	2	PD14NOV17	6410.00	6776.83	USD	18,341.50	
14AUG17	S	1	PD14NOV17	6408.00	6776.83	USD	-9,220.75	
21AUG17	B	10	PD14NOV17	6586.70	6776.83	USD	47,532.50	
30AUG17	B	5	PD14NOV17	6767.50	6776.83	USD	1,166.25	
	NET	0AVG BGHT 6452.82	SOLD 6401.57				-94,812.50	0.00
15AUG17	S	1	PD15NOV17	6418.50	6776.50	USD	-8,950.00	
15AUG17	S	1	PD15NOV17	6416.50	6776.50	USD	-9,000.00	
15AUG17	S	1	PD15NOV17	6420.50	6776.50	USD	-8,900.00	
15AUG17	S	2	PD15NOV17	6409.50	6776.50	USD	-18,350.00	
15AUG17	S	15	PD15NOV17	6409.00	6776.50	USD	-137,812.50	
15AUG17	S	1	PD15NOV17	6411.50	6776.50	USD	-9,125.00	
15AUG17	S	1	PD15NOV17	6411.00	6776.50	USD	-9,137.50	
15AUG17	S	4	PD15NOV17	6412.00	6776.50	USD	-36,450.00	
15AUG17	S	2	PD15NOV17	6413.00	6776.50	USD	-18,175.00	
15AUG17	S	8	PD15NOV17	6410.50	6776.50	USD	-73,200.00	
15AUG17	S	4	PD15NOV17	6410.00	6776.50	USD	-36,650.00	
15AUG17	S	3	PD15NOV17	6379.00	6776.50	USD	-29,812.50	
15AUG17	S	2	PD15NOV17	6378.00	6776.50	USD	-19,925.00	
15AUG17	S	1	PD15NOV17	6361.00	6776.50	USD	-10,387.50	
15AUG17	S	5	PD15NOV17	6360.00	6776.50	USD	-52,062.50	
15AUG17	S	4	PD15NOV17	6359.50	6776.50	USD	-41,700.00	
15AUG17	S	1	PD15NOV17	6362.50	6776.50	USD	-10,350.00	
15AUG17	S	5	PD15NOV17	6361.50	6776.50	USD	-51,875.00	
15AUG17	S	6	PD15NOV17	6360.50	6776.50	USD	-62,400.00	
15AUG17	S	3	PD15NOV17	6357.00	6776.50	USD	-31,462.50	
15AUG17	B	1	PD15NOV17	6366.50	6776.50	USD	10,250.00	
15AUG17	B	5	PD15NOV17	6367.50	6776.50	USD	51,125.00	
15AUG17	B	1	PD15NOV17	6368.50	6776.50	USD	10,200.00	
15AUG17	B	4	PD15NOV17	6369.00	6776.50	USD	40,750.00	
15AUG17	B	5	PD15NOV17	6372.00	6776.50	USD	50,562.50	
15AUG17	B	2	PD15NOV17	6371.00	6776.50	USD	20,275.00	
15AUG17	B	1	PD15NOV17	6376.50	6776.50	USD	10,000.00	
15AUG17	B	1	PD15NOV17	6376.00	6776.50	USD	10,012.50	
15AUG17	B	3	PD15NOV17	6374.50	6776.50	USD	30,150.00	
15AUG17	B	2	PD15NOV17	6373.00	6776.50	USD	20,175.00	
15AUG17	B	1	PD15NOV17	6375.50	6776.50	USD	10,025.00	
15AUG17	B	1	PD15NOV17	6374.00	6776.50	USD	10,062.50	
15AUG17	B	3	PD15NOV17	6377.50	6776.50	USD	29,925.00	

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30AUG17	B	40	PD15NOV17	6767.50	6776.50	USD	9,000.00	
	NET	0 AVG	BGHT 6597.92				-363,212.50	0.00
16AUG17	S	1	PD16NOV17	6384.50	6776.25	USD	-9,793.75	
16AUG17	S	3	PD16NOV17	6382.50	6776.25	USD	-29,531.25	
16AUG17	S	6	PD16NOV17	6385.00	6776.25	USD	-58,687.50	
16AUG17	S	5	PD16NOV17	6385.50	6776.25	USD	-48,843.75	
16AUG17	S	1	PD16NOV17	6392.50	6776.25	USD	-9,593.75	
16AUG17	S	2	PD16NOV17	6392.00	6776.25	USD	-19,212.50	
16AUG17	S	2	PD16NOV17	6391.50	6776.25	USD	-19,237.50	
16AUG17	S	5	PD16NOV17	6389.50	6776.25	USD	-48,343.75	
16AUG17	S	5	PD16NOV17	6387.00	6776.25	USD	-48,656.25	
16AUG17	B	1	PD16NOV17	6438.00	6776.25	USD	8,456.25	
16AUG17	B	4	PD16NOV17	6439.50	6776.25	USD	33,675.00	
16AUG17	B	2	PD16NOV17	6440.50	6776.25	USD	16,787.50	
16AUG17	B	1	PD16NOV17	6439.00	6776.25	USD	8,431.25	
16AUG17	B	1	PD16NOV17	6437.00	6776.25	USD	8,481.25	
16AUG17	B	4	PD16NOV17	6435.50	6776.25	USD	34,075.00	
16AUG17	B	1	PD16NOV17	6434.50	6776.25	USD	8,543.75	
16AUG17	B	1	PD16NOV17	6436.00	6776.25	USD	8,506.25	
16AUG17	B	4	PD16NOV17	6437.50	6776.25	USD	33,875.00	
16AUG17	B	2	PD16NOV17	6450.00	6776.25	USD	16,312.50	
16AUG17	B	3	PD16NOV17	6454.00	6776.25	USD	24,168.75	
16AUG17	B	1	PD16NOV17	6453.00	6776.25	USD	8,081.25	
16AUG17	B	1	PD16NOV17	6456.00	6776.25	USD	8,006.25	
16AUG17	B	3	PD16NOV17	6456.50	6776.25	USD	23,981.25	
16AUG17	B	1	PD16NOV17	6438.00	6776.25	USD	8,456.25	
16AUG17	S	2	PD16NOV17	6465.50	6776.25	USD	-15,537.50	
16AUG17	S	2	PD16NOV17	6461.50	6776.25	USD	-15,737.50	
16AUG17	S	3	PD16NOV17	6464.50	6776.25	USD	-23,381.25	
16AUG17	S	1	PD16NOV17	6456.00	6776.25	USD	-8,006.25	
16AUG17	B	1	PD16NOV17	6451.00	6776.25	USD	8,131.25	
16AUG17	B	2	PD16NOV17	6451.50	6776.25	USD	16,237.50	
16AUG17	B	1	PD16NOV17	6444.50	6776.25	USD	8,293.75	
16AUG17	B	1	PD16NOV17	6442.00	6776.25	USD	8,356.25	
16AUG17	B	1	PD16NOV17	6481.00	6776.25	USD	7,381.25	
16AUG17	B	4	PD16NOV17	6482.00	6776.25	USD	29,425.00	
16AUG17	B	2	PD16NOV17	6483.00	6776.25	USD	14,662.50	
16AUG17	B	1	PD16NOV17	6481.50	6776.25	USD	7,368.75	
16AUG17	B	1	PD16NOV17	6480.50	6776.25	USD	7,393.75	
16AUG17	B	3	PD16NOV17	6478.50	6776.25	USD	22,331.25	
16AUG17	B	4	PD16NOV17	6485.00	6776.25	USD	29,125.00	
16AUG17	B	7	PD16NOV17	6484.00	6776.25	USD	51,143.75	
16AUG17	B	1	PD16NOV17	6490.50	6776.25	USD	7,143.75	
16AUG17	B	3	PD16NOV17	6490.00	6776.25	USD	21,468.75	
16AUG17	B	1	PD16NOV17	6486.50	6776.25	USD	7,243.75	
16AUG17	B	1	PD16NOV17	6496.50	6776.25	USD	6,993.75	
16AUG17	B	3	PD16NOV17	6499.00	6776.25	USD	20,793.75	
16AUG17	B	1	PD16NOV17	6493.00	6776.25	USD	7,081.25	
16AUG17	B	2	PD16NOV17	6491.50	6776.25	USD	14,237.50	
16AUG17	B	2	PD16NOV17	6493.50	6776.25	USD	14,137.50	
16AUG17	B	4	PD16NOV17	6492.50	6776.25	USD	28,375.00	
16AUG17	B	4	PD16NOV17	6487.00	6776.25	USD	28,925.00	
16AUG17	B	1	PD16NOV17	6486.00	6776.25	USD	7,256.25	
16AUG17	B	2	PD16NOV17	6485.50	6776.25	USD	14,537.50	
16AUG17	B	5	PD16NOV17	6489.00	6776.25	USD	35,906.25	
16AUG17	B	4	PD16NOV17	6488.00	6776.25	USD	28,825.00	
16AUG17	B	1	PD16NOV17	6495.00	6776.25	USD	7,031.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6498.50	6776.25	USD	6,943.75	
16AUG17	B	3	PD16NOV17	6494.00	6776.25	USD	21,168.75	
16AUG17	B	4	PD16NOV17	6491.00	6776.25	USD	28,525.00	
16AUG17	B	2	PD16NOV17	6492.00	6776.25	USD	14,212.50	
16AUG17	S	5	PD16NOV17	6487.50	6776.25	USD	-36,093.75	
16AUG17	S	1	PD16NOV17	6487.00	6776.25	USD	-7,231.25	
16AUG17	S	2	PD16NOV17	6488.00	6776.25	USD	-14,412.50	
16AUG17	S	1	PD16NOV17	6489.00	6776.25	USD	-7,181.25	
16AUG17	S	1	PD16NOV17	6490.00	6776.25	USD	-7,156.25	
16AUG17	S	1	PD16NOV17	6502.50	6776.25	USD	-6,843.75	
16AUG17	B	3	PD16NOV17	6513.00	6776.25	USD	19,743.75	
16AUG17	B	1	PD16NOV17	6516.00	6776.25	USD	6,506.25	
16AUG17	B	3	PD16NOV17	6514.00	6776.25	USD	19,668.75	
16AUG17	B	3	PD16NOV17	6513.50	6776.25	USD	19,706.25	
16AUG17	B	2	PD16NOV17	6512.50	6776.25	USD	13,187.50	
16AUG17	B	8	PD16NOV17	6515.00	6776.25	USD	52,250.00	
16AUG17	B	2	PD16NOV17	6521.00	6776.25	USD	12,762.50	
16AUG17	B	1	PD16NOV17	6520.00	6776.25	USD	6,406.25	
16AUG17	B	1	PD16NOV17	6519.00	6776.25	USD	6,431.25	
16AUG17	B	1	PD16NOV17	6526.50	6776.25	USD	6,243.75	
16AUG17	B	1	PD16NOV17	6518.00	6776.25	USD	6,456.25	
16AUG17	B	1	PD16NOV17	6520.50	6776.25	USD	6,393.75	
16AUG17	B	3	PD16NOV17	6518.50	6776.25	USD	19,331.25	
16AUG17	B	3	PD16NOV17	6523.00	6776.25	USD	18,993.75	
16AUG17	B	1	PD16NOV17	6523.50	6776.25	USD	6,318.75	
16AUG17	B	1	PD16NOV17	6522.50	6776.25	USD	6,343.75	
16AUG17	B	3	PD16NOV17	6521.50	6776.25	USD	19,106.25	
16AUG17	B	1	PD16NOV17	6528.50	6776.25	USD	6,193.75	
16AUG17	B	3	PD16NOV17	6528.00	6776.25	USD	18,618.75	
16AUG17	B	1	PD16NOV17	6531.00	6776.25	USD	6,131.25	
16AUG17	B	1	PD16NOV17	6538.00	6776.25	USD	5,956.25	
16AUG17	B	1	PD16NOV17	6537.50	6776.25	USD	5,968.75	
16AUG17	B	1	PD16NOV17	6549.50	6776.25	USD	5,668.75	
16AUG17	B	2	PD16NOV17	6548.50	6776.25	USD	11,387.50	
16AUG17	S	1	PD16NOV17	6576.50	6776.25	USD	-4,993.75	
16AUG17	S	3	PD16NOV17	6575.00	6776.25	USD	-15,093.75	
16AUG17	S	11	PD16NOV17	6573.00	6776.25	USD	-55,893.75	
16AUG17	B	2	PD16NOV17	6554.50	6776.25	USD	11,087.50	
16AUG17	B	3	PD16NOV17	6555.00	6776.25	USD	16,593.75	
16AUG17	B	1	PD16NOV17	6551.50	6776.25	USD	5,618.75	
16AUG17	B	1	PD16NOV17	6537.00	6776.25	USD	5,981.25	
16AUG17	B	1	PD16NOV17	6534.50	6776.25	USD	6,043.75	
16AUG17	B	1	PD16NOV17	6535.50	6776.25	USD	6,018.75	
16AUG17	S	2	PD16NOV17	6535.50	6776.25	USD	-12,037.50	
16AUG17	S	2	PD16NOV17	6536.50	6776.25	USD	-11,987.50	
16AUG17	S	3	PD16NOV17	6534.00	6776.25	USD	-18,168.75	
16AUG17	S	1	PD16NOV17	6533.00	6776.25	USD	-6,081.25	
16AUG17	S	1	PD16NOV17	6531.00	6776.25	USD	-6,131.25	
16AUG17	S	1	PD16NOV17	6530.00	6776.25	USD	-6,156.25	
16AUG17	S	2	PD16NOV17	6528.00	6776.25	USD	-12,412.50	
16AUG17	S	1	PD16NOV17	6522.00	6776.25	USD	-6,356.25	
16AUG17	S	3	PD16NOV17	6524.50	6776.25	USD	-18,881.25	
16AUG17	S	2	PD16NOV17	6523.00	6776.25	USD	-12,662.50	
16AUG17	S	1	PD16NOV17	6516.50	6776.25	USD	-6,493.75	
16AUG17	S	3	PD16NOV17	6531.50	6776.25	USD	-18,356.25	
16AUG17	B	2	PD16NOV17	6525.50	6776.25	USD	12,537.50	
16AUG17	B	4	PD16NOV17	6526.00	6776.25	USD	25,025.00	

OPEN POSITION STATEMENT



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SAP0097L

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	5	PD16NOV17	6529.00	6776.25	USD	30,906.25	
16AUG17	B	4	PD16NOV17	6530.00	6776.25	USD	24,625.00	
16AUG17	B	1	PD16NOV17	6530.50	6776.25	USD	6,143.75	
16AUG17	B	2	PD16NOV17	6532.00	6776.25	USD	12,212.50	
16AUG17	B	1	PD16NOV17	6533.00	6776.25	USD	6,081.25	
16AUG17	B	1	PD16NOV17	6531.50	6776.25	USD	6,118.75	
16AUG17	B	1	PD16NOV17	6529.00	6776.25	USD	6,181.25	
21AUG17	S	70	PD16NOV17	6584.50	6776.25	USD	-335,562.50	
30AUG17	S	25	PD16NOV17	6765.30	6776.25	USD	-6,843.75	
	NET	0 AVG BGHT 6496.15	SOLD 6558.00				279,850.00	0.00
17AUG17	B	1	PD17NOV17	6559.50	6776.78	USD	5,432.00	
17AUG17	B	2	PD17NOV17	6559.00	6776.78	USD	10,889.00	
17AUG17	B	1	PD17NOV17	6557.50	6776.78	USD	5,482.00	
17AUG17	B	1	PD17NOV17	6529.50	6776.78	USD	6,182.00	
17AUG17	B	1	PD17NOV17	6535.00	6776.78	USD	6,044.50	
17AUG17	B	1	PD17NOV17	6536.00	6776.78	USD	6,019.50	
17AUG17	B	2	PD17NOV17	6533.00	6776.78	USD	12,189.00	
17AUG17	B	5	PD17NOV17	6534.00	6776.78	USD	30,347.50	
17AUG17	B	2	PD17NOV17	6525.00	6776.78	USD	12,589.00	
17AUG17	B	2	PD17NOV17	6532.00	6776.78	USD	12,239.00	
17AUG17	B	1	PD17NOV17	6532.50	6776.78	USD	6,107.00	
17AUG17	B	3	PD17NOV17	6537.50	6776.78	USD	17,946.00	
17AUG17	B	2	PD17NOV17	6536.50	6776.78	USD	12,014.00	
17AUG17	S	2	PD17NOV17	6539.50	6776.78	USD	-11,864.00	
17AUG17	S	1	PD17NOV17	6540.50	6776.78	USD	-5,907.00	
17AUG17	S	1	PD17NOV17	6541.50	6776.78	USD	-5,882.00	
17AUG17	S	1	PD17NOV17	6546.00	6776.78	USD	-5,769.50	
17AUG17	S	1	PD17NOV17	6547.00	6776.78	USD	-5,744.50	
17AUG17	S	1	PD17NOV17	6551.00	6776.78	USD	-5,644.50	
17AUG17	S	4	PD17NOV17	6548.00	6776.78	USD	-22,878.00	
17AUG17	S	1	PD17NOV17	6548.50	6776.78	USD	-5,707.00	
17AUG17	S	1	PD17NOV17	6549.00	6776.78	USD	-5,694.50	
17AUG17	S	1	PD17NOV17	6550.00	6776.78	USD	-5,669.50	
17AUG17	B	1	PD17NOV17	6530.50	6776.78	USD	6,157.00	
17AUG17	B	2	PD17NOV17	6531.00	6776.78	USD	12,289.00	
17AUG17	B	2	PD17NOV17	6531.50	6776.78	USD	12,264.00	
17AUG17	B	1	PD17NOV17	6528.50	6776.78	USD	6,207.00	
17AUG17	B	9	PD17NOV17	6529.00	6776.78	USD	55,750.50	
17AUG17	B	2	PD17NOV17	6527.50	6776.78	USD	12,464.00	
17AUG17	B	3	PD17NOV17	6524.00	6776.78	USD	18,958.50	
17AUG17	B	4	PD17NOV17	6522.00	6776.78	USD	25,478.00	
17AUG17	B	2	PD17NOV17	6526.00	6776.78	USD	12,539.00	
17AUG17	B	2	PD17NOV17	6527.00	6776.78	USD	12,489.00	
17AUG17	B	1	PD17NOV17	6528.00	6776.78	USD	6,219.50	
17AUG17	B	2	PD17NOV17	6510.50	6776.78	USD	13,314.00	
17AUG17	B	1	PD17NOV17	6505.00	6776.78	USD	6,794.50	
17AUG17	B	4	PD17NOV17	6502.50	6776.78	USD	27,428.00	
17AUG17	B	4	PD17NOV17	6503.00	6776.78	USD	27,378.00	
17AUG17	B	2	PD17NOV17	6504.50	6776.78	USD	13,614.00	
17AUG17	B	4	PD17NOV17	6506.00	6776.78	USD	27,078.00	
17AUG17	B	2	PD17NOV17	6507.00	6776.78	USD	13,489.00	
17AUG17	B	1	PD17NOV17	6509.00	6776.78	USD	6,694.50	
17AUG17	B	3	PD17NOV17	6510.00	6776.78	USD	20,008.50	
17AUG17	S	1	PD17NOV17	6510.50	6776.78	USD	-6,657.00	
17AUG17	S	1	PD17NOV17	6499.50	6776.78	USD	-6,932.00	
17AUG17	S	2	PD17NOV17	6496.00	6776.78	USD	-14,039.00	
17AUG17	B	2	PD17NOV17	6498.00	6776.78	USD	13,939.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	6499.00	6776.78	USD	6,944.50	
17AUG17	S	1	PD17NOV17	6500.00	6776.78	USD	-6,919.50	
17AUG17	B	1	PD17NOV17	6556.50	6776.78	USD	5,507.00	
17AUG17	S	1	PD17NOV17	6547.00	6776.78	USD	-5,744.50	
17AUG17	B	1	PD17NOV17	6526.00	6776.78	USD	6,269.50	
17AUG17	S	10	PD17NOV17	6506.50	6776.78	USD	-67,570.00	
17AUG17	B	1	PD17NOV17	6492.00	6776.78	USD	7,119.50	
17AUG17	B	1	PD17NOV17	6495.00	6776.78	USD	7,044.50	
17AUG17	B	8	PD17NOV17	6494.00	6776.78	USD	56,556.00	
17AUG17	B	3	PD17NOV17	6497.00	6776.78	USD	20,983.50	
17AUG17	B	3	PD17NOV17	6496.50	6776.78	USD	21,021.00	
17AUG17	B	3	PD17NOV17	6496.00	6776.78	USD	21,058.50	
17AUG17	S	6	PD17NOV17	6501.00	6776.78	USD	-41,367.00	
17AUG17	S	16	PD17NOV17	6500.50	6776.78	USD	-110,512.00	
17AUG17	S	16	PD17NOV17	6500.00	6776.78	USD	-110,712.00	
17AUG17	S	2	PD17NOV17	6501.50	6776.78	USD	-13,764.00	
17AUG17	S	3	PD17NOV17	6504.50	6776.78	USD	-20,421.00	
17AUG17	S	4	PD17NOV17	6503.50	6776.78	USD	-27,328.00	
17AUG17	S	8	PD17NOV17	6502.50	6776.78	USD	-54,856.00	
17AUG17	S	2	PD17NOV17	6505.50	6776.78	USD	-13,564.00	
17AUG17	S	2	PD17NOV17	6507.00	6776.78	USD	-13,489.00	
17AUG17	S	2	PD17NOV17	6508.00	6776.78	USD	-13,439.00	
17AUG17	S	1	PD17NOV17	6511.50	6776.78	USD	-6,632.00	
17AUG17	S	1	PD17NOV17	6514.00	6776.78	USD	-6,569.50	
17AUG17	S	1	PD17NOV17	6515.00	6776.78	USD	-6,544.50	
17AUG17	S	2	PD17NOV17	6506.00	6776.78	USD	-13,539.00	
17AUG17	S	12	PD17NOV17	6502.00	6776.78	USD	-82,434.00	
17AUG17	S	13	PD17NOV17	6503.00	6776.78	USD	-88,978.50	
17AUG17	S	3	PD17NOV17	6504.00	6776.78	USD	-20,458.50	
17AUG17	S	1	PD17NOV17	6513.00	6776.78	USD	-6,594.50	
17AUG17	S	2	PD17NOV17	6511.00	6776.78	USD	-13,289.00	
17AUG17	S	11	PD17NOV17	6505.00	6776.78	USD	-74,739.50	
17AUG17	B	4	PD17NOV17	6486.50	6776.78	USD	29,028.00	
17AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
17AUG17	S	4	PD17NOV17	6495.50	6776.78	USD	-28,128.00	
17AUG17	S	1	PD17NOV17	6496.50	6776.78	USD	-7,007.00	
17AUG17	S	1	PD17NOV17	6490.50	6776.78	USD	-7,157.00	
17AUG17	S	3	PD17NOV17	6491.50	6776.78	USD	-21,396.00	
17AUG17	S	1	PD17NOV17	6492.50	6776.78	USD	-7,107.00	
17AUG17	S	1	PD17NOV17	6475.00	6776.78	USD	-7,544.50	
17AUG17	S	2	PD17NOV17	6475.50	6776.78	USD	-15,064.00	
17AUG17	S	2	PD17NOV17	6470.50	6776.78	USD	-15,314.00	
17AUG17	S	1	PD17NOV17	6470.00	6776.78	USD	-7,669.50	
17AUG17	S	3	PD17NOV17	6471.00	6776.78	USD	-22,933.50	
17AUG17	S	2	PD17NOV17	6503.00	6776.78	USD	-13,689.00	
17AUG17	S	3	PD17NOV17	6500.50	6776.78	USD	-20,721.00	
17AUG17	S	1	PD17NOV17	6504.00	6776.78	USD	-6,819.50	
17AUG17	S	1	PD17NOV17	6471.00	6776.78	USD	-7,644.50	
17AUG17	S	1	PD17NOV17	6486.50	6776.78	USD	-7,257.00	
17AUG17	S	1	PD17NOV17	6487.50	6776.78	USD	-7,232.00	
17AUG17	S	2	PD17NOV17	6485.50	6776.78	USD	-14,564.00	
17AUG17	S	2	PD17NOV17	6488.50	6776.78	USD	-14,414.00	
17AUG17	S	7	PD17NOV17	6487.00	6776.78	USD	-50,711.50	
17AUG17	S	3	PD17NOV17	6490.00	6776.78	USD	-21,508.50	
17AUG17	S	1	PD17NOV17	6489.00	6776.78	USD	-7,194.50	
17AUG17	S	1	PD17NOV17	6493.00	6776.78	USD	-7,094.50	
17AUG17	S	1	PD17NOV17	6486.00	6776.78	USD	-7,269.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	6484.50	6776.78	USD	-7,307.00	
17AUG17	S	4	PD17NOV17	6488.00	6776.78	USD	-28,878.00	
18AUG17	S	2	PD17NOV17	6491.00	6776.78	USD	-14,289.00	
18AUG17	S	1	PD17NOV17	6489.00	6776.78	USD	-7,194.50	
18AUG17	S	1	PD17NOV17	6488.00	6776.78	USD	-7,219.50	
18AUG17	S	1	PD17NOV17	6490.00	6776.78	USD	-7,169.50	
18AUG17	B	1	PD17NOV17	6499.50	6776.78	USD	6,932.00	
18AUG17	B	1	PD17NOV17	6496.00	6776.78	USD	7,019.50	
18AUG17	B	1	PD17NOV17	6495.00	6776.78	USD	7,044.50	
18AUG17	B	1	PD17NOV17	6494.00	6776.78	USD	7,069.50	
18AUG17	B	1	PD17NOV17	6492.00	6776.78	USD	7,119.50	
18AUG17	B	1	PD17NOV17	6490.00	6776.78	USD	7,169.50	
18AUG17	B	1	PD17NOV17	6491.50	6776.78	USD	7,132.00	
18AUG17	B	1	PD17NOV17	6519.00	6776.78	USD	6,444.50	
18AUG17	B	1	PD17NOV17	6526.50	6776.78	USD	6,257.00	
18AUG17	B	2	PD17NOV17	6529.00	6776.78	USD	12,389.00	
18AUG17	B	1	PD17NOV17	6529.50	6776.78	USD	6,182.00	
18AUG17	B	5	PD17NOV17	6530.00	6776.78	USD	30,847.50	
18AUG17	B	1	PD17NOV17	6531.50	6776.78	USD	6,132.00	
18AUG17	B	4	PD17NOV17	6533.00	6776.78	USD	24,378.00	
18AUG17	B	1	PD17NOV17	6528.50	6776.78	USD	6,207.00	
18AUG17	B	1	PD17NOV17	6528.00	6776.78	USD	6,219.50	
18AUG17	B	1	PD17NOV17	6527.00	6776.78	USD	6,244.50	
18AUG17	S	3	PD17NOV17	6472.50	6776.78	USD	-22,821.00	
18AUG17	B	1	PD17NOV17	6467.50	6776.78	USD	7,732.00	
18AUG17	B	2	PD17NOV17	6468.50	6776.78	USD	15,414.00	
18AUG17	S	2	PD17NOV17	6471.00	6776.78	USD	-15,289.00	
18AUG17	S	2	PD17NOV17	6478.50	6776.78	USD	-14,914.00	
18AUG17	S	1	PD17NOV17	6465.50	6776.78	USD	-7,782.00	
18AUG17	S	1	PD17NOV17	6460.50	6776.78	USD	-7,907.00	
18AUG17	S	3	PD17NOV17	6462.50	6776.78	USD	-23,571.00	
18AUG17	S	1	PD17NOV17	6472.00	6776.78	USD	-7,619.50	
18AUG17	S	2	PD17NOV17	6470.00	6776.78	USD	-15,339.00	
18AUG17	S	1	PD17NOV17	6467.50	6776.78	USD	-7,732.00	
18AUG17	S	1	PD17NOV17	6464.00	6776.78	USD	-7,819.50	
18AUG17	S	1	PD17NOV17	6465.00	6776.78	USD	-7,794.50	
18AUG17	S	3	PD17NOV17	6458.00	6776.78	USD	-23,908.50	
18AUG17	S	2	PD17NOV17	6457.00	6776.78	USD	-15,989.00	
18AUG17	B	1	PD17NOV17	6478.00	6776.78	USD	7,469.50	
18AUG17	B	1	PD17NOV17	6480.00	6776.78	USD	7,419.50	
18AUG17	B	1	PD17NOV17	6485.00	6776.78	USD	7,294.50	
18AUG17	B	4	PD17NOV17	6486.50	6776.78	USD	29,028.00	
18AUG17	B	1	PD17NOV17	6491.00	6776.78	USD	7,144.50	
18AUG17	B	3	PD17NOV17	6485.50	6776.78	USD	21,846.00	
18AUG17	B	4	PD17NOV17	6489.50	6776.78	USD	28,728.00	
18AUG17	B	1	PD17NOV17	6476.50	6776.78	USD	7,507.00	
18AUG17	B	4	PD17NOV17	6470.50	6776.78	USD	30,628.00	
18AUG17	B	4	PD17NOV17	6469.50	6776.78	USD	30,728.00	
18AUG17	B	5	PD17NOV17	6468.00	6776.78	USD	38,597.50	
18AUG17	B	1	PD17NOV17	6462.00	6776.78	USD	7,869.50	
18AUG17	B	2	PD17NOV17	6461.00	6776.78	USD	15,789.00	
18AUG17	B	1	PD17NOV17	6472.50	6776.78	USD	7,607.00	
18AUG17	B	2	PD17NOV17	6470.00	6776.78	USD	15,339.00	
18AUG17	B	3	PD17NOV17	6469.00	6776.78	USD	23,083.50	
18AUG17	B	1	PD17NOV17	6474.50	6776.78	USD	7,557.00	
18AUG17	B	1	PD17NOV17	6473.50	6776.78	USD	7,582.00	
18AUG17	B	3	PD17NOV17	6473.00	6776.78	USD	22,783.50	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	6477.00	6776.78	USD	14,989.00	
18AUG17	B	1	PD17NOV17	6476.00	6776.78	USD	7,519.50	
18AUG17	B	4	PD17NOV17	6474.00	6776.78	USD	30,278.00	
18AUG17	B	3	PD17NOV17	6477.50	6776.78	USD	22,446.00	
18AUG17	S	2	PD17NOV17	6481.50	6776.78	USD	-14,764.00	
18AUG17	S	1	PD17NOV17	6494.50	6776.78	USD	-7,057.00	
18AUG17	S	1	PD17NOV17	6495.50	6776.78	USD	-7,032.00	
18AUG17	S	1	PD17NOV17	6497.00	6776.78	USD	-6,994.50	
18AUG17	S	1	PD17NOV17	6496.50	6776.78	USD	-7,007.00	
18AUG17	B	3	PD17NOV17	6488.50	6776.78	USD	21,621.00	
18AUG17	B	4	PD17NOV17	6487.50	6776.78	USD	28,928.00	
18AUG17	S	2	PD17NOV17	6483.50	6776.78	USD	-14,664.00	
18AUG17	S	9	PD17NOV17	6480.50	6776.78	USD	-66,663.00	
18AUG17	S	1	PD17NOV17	6481.00	6776.78	USD	-7,394.50	
18AUG17	S	2	PD17NOV17	6482.00	6776.78	USD	-14,739.00	
18AUG17	S	1	PD17NOV17	6485.00	6776.78	USD	-7,294.50	
18AUG17	S	2	PD17NOV17	6485.50	6776.78	USD	-14,564.00	
18AUG17	S	10	PD17NOV17	6486.00	6776.78	USD	-72,695.00	
18AUG17	S	1	PD17NOV17	6486.50	6776.78	USD	-7,257.00	
18AUG17	S	5	PD17NOV17	6484.00	6776.78	USD	-36,597.50	
18AUG17	S	5	PD17NOV17	6483.00	6776.78	USD	-36,722.50	
18AUG17	S	1	PD17NOV17	6481.50	6776.78	USD	-7,382.00	
21AUG17	B	70	PD17NOV17	6585.00	6776.78	USD	335,615.00	
	NET	0	AVG BGHT 6525.65	SOLD 6495.90			-194,887.50	0.00
21AUG17	S	1	PD21NOV17	6526.00	6778.92	USD	-6,323.00	
21AUG17	S	1	PD21NOV17	6523.00	6778.92	USD	-6,398.00	
21AUG17	S	1	PD21NOV17	6525.00	6778.92	USD	-6,348.00	
21AUG17	S	2	PD21NOV17	6512.00	6778.92	USD	-13,346.00	
21AUG17	S	1	PD21NOV17	6526.50	6778.92	USD	-6,310.50	
21AUG17	S	1	PD21NOV17	6531.00	6778.92	USD	-6,198.00	
21AUG17	S	1	PD21NOV17	6527.00	6778.92	USD	-6,298.00	
21AUG17	S	3	PD21NOV17	6511.50	6778.92	USD	-20,056.50	
21AUG17	S	1	PD21NOV17	6533.50	6778.92	USD	-6,135.50	
21AUG17	S	5	PD21NOV17	6524.50	6778.92	USD	-31,802.50	
21AUG17	S	3	PD21NOV17	6525.50	6778.92	USD	-19,006.50	
21AUG17	S	4	PD21NOV17	6510.50	6778.92	USD	-26,842.00	
21AUG17	S	1	PD21NOV17	6511.00	6778.92	USD	-6,698.00	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	B	1	PD21NOV17	6584.00	6778.92	USD	4,873.00	
21AUG17	B	1	PD21NOV17	6565.00	6778.92	USD	5,348.00	
21AUG17	S	1	PD21NOV17	6551.00	6778.92	USD	-5,698.00	
21AUG17	S	1	PD21NOV17	6540.00	6778.92	USD	-5,973.00	
21AUG17	B	1	PD21NOV17	6555.00	6778.92	USD	5,598.00	
21AUG17	S	3	PD21NOV17	6548.00	6778.92	USD	-17,319.00	
21AUG17	B	1	PD21NOV17	6564.00	6778.92	USD	5,373.00	
21AUG17	B	1	PD21NOV17	6544.50	6778.92	USD	5,860.50	
21AUG17	B	1	PD21NOV17	6575.00	6778.92	USD	5,098.00	
21AUG17	B	1	PD21NOV17	6540.00	6778.92	USD	5,973.00	
21AUG17	S	1	PD21NOV17	6547.50	6778.92	USD	-5,785.50	
21AUG17	S	1	PD21NOV17	6542.50	6778.92	USD	-5,910.50	
21AUG17	S	5	PD21NOV17	6542.00	6778.92	USD	-29,615.00	
21AUG17	B	4	PD21NOV17	6542.50	6778.92	USD	23,642.00	
21AUG17	B	3	PD21NOV17	6545.00	6778.92	USD	17,544.00	
21AUG17	B	2	PD21NOV17	6577.00	6778.92	USD	10,096.00	
21AUG17	B	1	PD21NOV17	6560.50	6778.92	USD	5,460.50	
21AUG17	B	2	PD21NOV17	6546.00	6778.92	USD	11,646.00	
21AUG17	S	1	PD21NOV17	6552.00	6778.92	USD	-5,673.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6543.50	6778.92	USD	11,771.00	
21AUG17	S	2	PD21NOV17	6550.50	6778.92	USD	-11,421.00	
21AUG17	B	1	PD21NOV17	6552.50	6778.92	USD	5,660.50	
21AUG17	B	1	PD21NOV17	6551.50	6778.92	USD	5,685.50	
21AUG17	B	1	PD21NOV17	6551.50	6778.92	USD	5,685.50	
21AUG17	B	1	PD21NOV17	6551.00	6778.92	USD	5,698.00	
21AUG17	B	1	PD21NOV17	6565.00	6778.92	USD	5,348.00	
21AUG17	B	2	PD21NOV17	6544.00	6778.92	USD	11,746.00	
21AUG17	B	1	PD21NOV17	6559.00	6778.92	USD	5,498.00	
21AUG17	B	2	PD21NOV17	6557.50	6778.92	USD	11,071.00	
21AUG17	B	4	PD21NOV17	6556.00	6778.92	USD	22,292.00	
21AUG17	B	2	PD21NOV17	6560.00	6778.92	USD	10,946.00	
21AUG17	B	4	PD21NOV17	6557.00	6778.92	USD	22,192.00	
21AUG17	B	1	PD21NOV17	6556.50	6778.92	USD	5,560.50	
21AUG17	B	8	PD21NOV17	6558.50	6778.92	USD	44,084.00	
21AUG17	B	7	PD21NOV17	6554.50	6778.92	USD	39,273.50	
21AUG17	B	3	PD21NOV17	6549.50	6778.92	USD	17,206.50	
21AUG17	B	4	PD21NOV17	6552.00	6778.92	USD	22,692.00	
21AUG17	B	1	PD21NOV17	6566.00	6778.92	USD	5,323.00	
21AUG17	B	2	PD21NOV17	6553.50	6778.92	USD	11,271.00	
21AUG17	S	2	PD21NOV17	6561.00	6778.92	USD	-10,896.00	
21AUG17	B	5	PD21NOV17	6555.50	6778.92	USD	27,927.50	
21AUG17	B	15	PD21NOV17	6551.00	6778.92	USD	85,470.00	
21AUG17	B	8	PD21NOV17	6550.00	6778.92	USD	45,784.00	
21AUG17	B	4	PD21NOV17	6549.00	6778.92	USD	22,992.00	
21AUG17	B	2	PD21NOV17	6561.00	6778.92	USD	10,896.00	
21AUG17	B	2	PD21NOV17	6561.50	6778.92	USD	10,871.00	
21AUG17	B	1	PD21NOV17	6563.50	6778.92	USD	5,385.50	
21AUG17	B	2	PD21NOV17	6569.00	6778.92	USD	10,496.00	
21AUG17	B	1	PD21NOV17	6569.50	6778.92	USD	5,235.50	
21AUG17	B	2	PD21NOV17	6574.00	6778.92	USD	10,246.00	
21AUG17	B	2	PD21NOV17	6573.00	6778.92	USD	10,296.00	
21AUG17	B	2	PD21NOV17	6589.50	6778.92	USD	9,471.00	
21AUG17	B	3	PD21NOV17	6588.00	6778.92	USD	14,319.00	
21AUG17	B	2	PD21NOV17	6581.50	6778.92	USD	9,871.00	
21AUG17	B	3	PD21NOV17	6577.50	6778.92	USD	15,106.50	
21AUG17	B	6	PD21NOV17	6578.00	6778.92	USD	30,138.00	
21AUG17	B	2	PD21NOV17	6578.50	6778.92	USD	10,021.00	
21AUG17	B	3	PD21NOV17	6567.00	6778.92	USD	15,894.00	
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	1	PD21NOV17	6562.50	6778.92	USD	5,410.50	
21AUG17	B	5	PD21NOV17	6564.50	6778.92	USD	26,802.50	
21AUG17	B	4	PD21NOV17	6566.50	6778.92	USD	21,242.00	
21AUG17	B	2	PD21NOV17	6567.50	6778.92	USD	10,571.00	
21AUG17	B	7	PD21NOV17	6565.50	6778.92	USD	37,348.50	
21AUG17	B	3	PD21NOV17	6570.00	6778.92	USD	15,669.00	
21AUG17	B	2	PD21NOV17	6568.50	6778.92	USD	10,521.00	
21AUG17	B	2	PD21NOV17	6572.00	6778.92	USD	10,346.00	
21AUG17	B	4	PD21NOV17	6571.00	6778.92	USD	20,792.00	
21AUG17	B	2	PD21NOV17	6580.50	6778.92	USD	9,921.00	
21AUG17	B	1	PD21NOV17	6582.00	6778.92	USD	4,923.00	
21AUG17	B	1	PD21NOV17	6584.50	6778.92	USD	4,860.50	
21AUG17	B	3	PD21NOV17	6583.50	6778.92	USD	14,656.50	
21AUG17	B	1	PD21NOV17	6589.00	6778.92	USD	4,748.00	
21AUG17	B	4	PD21NOV17	6588.50	6778.92	USD	19,042.00	
21AUG17	B	1	PD21NOV17	6591.00	6778.92	USD	4,698.00	
21AUG17	B	1	PD21NOV17	6593.50	6778.92	USD	4,635.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6593.00	6778.92	USD	9,296.00	
21AUG17	B	2	PD21NOV17	6594.00	6778.92	USD	9,246.00	
21AUG17	B	1	PD21NOV17	6594.50	6778.92	USD	4,610.50	
21AUG17	B	3	PD21NOV17	6595.50	6778.92	USD	13,756.50	
21AUG17	B	1	PD21NOV17	6599.00	6778.92	USD	4,498.00	
21AUG17	B	1	PD21NOV17	6598.50	6778.92	USD	4,510.50	
21AUG17	B	1	PD21NOV17	6598.00	6778.92	USD	4,523.00	
21AUG17	B	1	PD21NOV17	6597.50	6778.92	USD	4,535.50	
21AUG17	B	1	PD21NOV17	6597.00	6778.92	USD	4,548.00	
21AUG17	B	2	PD21NOV17	6596.00	6778.92	USD	9,146.00	
21AUG17	B	4	PD21NOV17	6595.00	6778.92	USD	18,392.00	
21AUG17	S	5	PD21NOV17	6616.00	6778.92	USD	-20,365.00	
21AUG17	S	1	PD21NOV17	6617.50	6778.92	USD	-4,035.50	
21AUG17	B	1	PD21NOV17	6621.00	6778.92	USD	3,948.00	
21AUG17	B	4	PD21NOV17	6622.00	6778.92	USD	15,692.00	
21AUG17	B	1	PD21NOV17	6619.00	6778.92	USD	3,998.00	
21AUG17	S	1	PD21NOV17	6583.50	6778.92	USD	-4,885.50	
21AUG17	S	2	PD21NOV17	6587.50	6778.92	USD	-9,571.00	
21AUG17	B	1	PD21NOV17	6586.00	6778.92	USD	4,823.00	
21AUG17	S	1	PD21NOV17	6578.50	6778.92	USD	-5,010.50	
21AUG17	S	1	PD21NOV17	6573.50	6778.92	USD	-5,135.50	
21AUG17	S	1	PD21NOV17	6570.50	6778.92	USD	-5,210.50	
21AUG17	S	1	PD21NOV17	6569.00	6778.92	USD	-5,248.00	
21AUG17	S	1	PD21NOV17	6568.00	6778.92	USD	-5,273.00	
21AUG17	B	3	PD21NOV17	6576.00	6778.92	USD	15,219.00	
21AUG17	B	1	PD21NOV17	6575.50	6778.92	USD	5,085.50	
21AUG17	B	1	PD21NOV17	6576.50	6778.92	USD	5,060.50	
21AUG17	S	1	PD21NOV17	6577.00	6778.92	USD	-5,048.00	
21AUG17	S	3	PD21NOV17	6575.00	6778.92	USD	-15,294.00	
21AUG17	S	2	PD21NOV17	6575.50	6778.92	USD	-10,171.00	
21AUG17	S	2	PD21NOV17	6576.00	6778.92	USD	-10,146.00	
30AUG17	S	20	PD21NOV17	6766.55	6778.92	USD	-6,185.00	
30AUG17	S	85	PD21NOV17	6766.75	6778.92	USD	-25,861.25	
31AUG17	S	40	PD21NOV17	6785.75	6778.92	USD	6,830.00	
	NET	0	AVG BGHT 6567.75	SOLD 6704.15			712,718.75	0.00
22AUG17	B	3	PD22NOV17	6640.00	6779.45	USD	10,458.75	
22AUG17	B	3	PD22NOV17	6639.00	6779.45	USD	10,533.75	
22AUG17	B	2	PD22NOV17	6641.00	6779.45	USD	6,922.50	
22AUG17	B	2	PD22NOV17	6642.50	6779.45	USD	6,847.50	
22AUG17	B	1	PD22NOV17	6642.00	6779.45	USD	3,436.25	
22AUG17	B	5	PD22NOV17	6640.50	6779.45	USD	17,368.75	
22AUG17	B	2	PD22NOV17	6641.50	6779.45	USD	6,897.50	
22AUG17	B	1	PD22NOV17	6603.50	6779.45	USD	4,398.75	
22AUG17	B	2	PD22NOV17	6602.50	6779.45	USD	8,847.50	
22AUG17	S	1	PD22NOV17	6610.50	6779.45	USD	-4,223.75	
22AUG17	S	1	PD22NOV17	6608.50	6779.45	USD	-4,273.75	
22AUG17	S	1	PD22NOV17	6607.00	6779.45	USD	-4,311.25	
22AUG17	B	1	PD22NOV17	6619.00	6779.45	USD	4,011.25	
22AUG17	B	1	PD22NOV17	6619.50	6779.45	USD	3,998.75	
22AUG17	S	3	PD22NOV17	6626.50	6779.45	USD	-11,471.25	
22AUG17	S	6	PD22NOV17	6626.00	6779.45	USD	-23,017.50	
22AUG17	S	3	PD22NOV17	6627.50	6779.45	USD	-11,396.25	
22AUG17	B	2	PD22NOV17	6622.50	6779.45	USD	7,847.50	
22AUG17	B	1	PD22NOV17	6620.50	6779.45	USD	3,973.75	
22AUG17	B	3	PD22NOV17	6628.50	6779.45	USD	11,321.25	
22AUG17	B	1	PD22NOV17	6631.00	6779.45	USD	3,711.25	
22AUG17	B	1	PD22NOV17	6632.00	6779.45	USD	3,686.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	6631.50	6779.45	USD	3,698.75	
22AUG17	B	1	PD22NOV17	6630.50	6779.45	USD	3,723.75	
22AUG17	B	1	PD22NOV17	6632.50	6779.45	USD	3,673.75	
22AUG17	B	1	PD22NOV17	6628.00	6779.45	USD	3,786.25	
31AUG17	S	20	PD22NOV17	6786.00	6779.45	USD	3,275.00	
	NET	0AVG	BGHT 6631.86	SOLD 6716.11			73,725.00	0.00
23AUG17	S	1	PD24NOV17	6582.50	6780.52	USD	-4,950.50	
23AUG17	S	2	PD24NOV17	6580.00	6780.52	USD	-10,026.00	
23AUG17	S	1	PD24NOV17	6579.50	6780.52	USD	-5,025.50	
23AUG17	S	1	PD24NOV17	6566.00	6780.52	USD	-5,363.00	
23AUG17	S	6	PD24NOV17	6564.00	6780.52	USD	-32,478.00	
23AUG17	S	1	PD24NOV17	6564.50	6780.52	USD	-5,400.50	
23AUG17	S	3	PD24NOV17	6565.00	6780.52	USD	-16,164.00	
23AUG17	S	1	PD24NOV17	6548.50	6780.52	USD	-5,800.50	
23AUG17	S	3	PD24NOV17	6570.50	6780.52	USD	-15,751.50	
23AUG17	S	1	PD24NOV17	6581.50	6780.52	USD	-4,975.50	
23AUG17	S	3	PD24NOV17	6579.00	6780.52	USD	-15,114.00	
23AUG17	S	1	PD24NOV17	6584.00	6780.52	USD	-4,913.00	
23AUG17	S	2	PD24NOV17	6583.00	6780.52	USD	-9,876.00	
23AUG17	S	1	PD24NOV17	6582.00	6780.52	USD	-4,963.00	
23AUG17	S	1	PD24NOV17	6581.00	6780.52	USD	-4,988.00	
23AUG17	S	4	PD24NOV17	6574.00	6780.52	USD	-20,652.00	
23AUG17	S	1	PD24NOV17	6569.50	6780.52	USD	-5,275.50	
23AUG17	S	1	PD24NOV17	6567.50	6780.52	USD	-5,325.50	
23AUG17	S	2	PD24NOV17	6568.50	6780.52	USD	-10,601.00	
23AUG17	S	1	PD24NOV17	6571.00	6780.52	USD	-5,238.00	
23AUG17	S	1	PD24NOV17	6570.00	6780.52	USD	-5,263.00	
23AUG17	S	6	PD24NOV17	6562.50	6780.52	USD	-32,703.00	
23AUG17	S	1	PD24NOV17	6563.50	6780.52	USD	-5,425.50	
23AUG17	S	8	PD24NOV17	6563.00	6780.52	USD	-43,504.00	
23AUG17	S	7	PD24NOV17	6562.00	6780.52	USD	-38,241.00	
24AUG17	S	3	PD24NOV17	6611.50	6780.52	USD	-12,676.50	
24AUG17	S	1	PD24NOV17	6612.50	6780.52	USD	-4,200.50	
24AUG17	S	1	PD24NOV17	6613.50	6780.52	USD	-4,175.50	
24AUG17	S	1	PD24NOV17	6615.00	6780.52	USD	-4,138.00	
24AUG17	S	1	PD24NOV17	6616.00	6780.52	USD	-4,113.00	
24AUG17	S	1	PD24NOV17	6618.00	6780.52	USD	-4,063.00	
24AUG17	S	1	PD24NOV17	6615.50	6780.52	USD	-4,125.50	
24AUG17	S	1	PD24NOV17	6616.50	6780.52	USD	-4,100.50	
30AUG17	B	70	PD24NOV17	6767.70	6780.52	USD	22,435.00	
	NET	0AVG	BGHT 6767.70	SOLD 6575.03			-337,175.00	0.00
29AUG17	S	2	PD29NOV17	6785.50	6783.18	USD	116.00	
29AUG17	S	2	PD29NOV17	6788.00	6783.18	USD	241.00	
29AUG17	S	2	PD29NOV17	6787.00	6783.18	USD	191.00	
29AUG17	S	2	PD29NOV17	6790.00	6783.18	USD	341.00	
29AUG17	S	2	PD29NOV17	6803.50	6783.18	USD	1,016.00	
29AUG17	S	2	PD29NOV17	6804.50	6783.18	USD	1,066.00	
29AUG17	S	3	PD29NOV17	6805.50	6783.18	USD	1,674.00	
30AUG17	B	15	PD29NOV17	6768.95	6783.18	USD	5,336.25	
	NET	0AVG	BGHT 6768.95	SOLD 6795.57			9,981.25	0.00
30AUG17	S	3	PD30NOV17	6782.00	6783.72	USD	-129.00	
30AUG17	S	1	PD30NOV17	6781.00	6783.72	USD	-68.00	
30AUG17	S	1	PD30NOV17	6782.50	6783.72	USD	-30.50	
30AUG17	S	1	PD30NOV17	6784.50	6783.72	USD	19.50	
30AUG17	S	1	PD30NOV17	6782.00	6783.72	USD	-43.00	
30AUG17	S	1	PD30NOV17	6785.00	6783.72	USD	32.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

11th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	2	PD30NOV17	6786.00	6783.72	USD	114.00	
30AUG17	S	1	PD30NOV17	6787.00	6783.72	USD	82.00	
30AUG17	S	4	PD30NOV17	6783.50	6783.72	USD	-22.00	
31AUG17	S	4	PD30NOV17	6814.50	6783.72	USD	3,078.00	
31AUG17	S	3	PD30NOV17	6816.50	6783.72	USD	2,458.50	
31AUG17	S	1	PD30NOV17	6813.50	6783.72	USD	744.50	
31AUG17	S	1	PD30NOV17	6820.00	6783.72	USD	907.00	
31AUG17	S	1	PD30NOV17	6822.00	6783.72	USD	957.00	
31AUG17	S	1	PD30NOV17	6786.00	6783.72	USD	57.00	
31AUG17	S	3	PD30NOV17	6783.50	6783.72	USD	-16.50	
31AUG17	S	2	PD30NOV17	6782.50	6783.72	USD	-61.00	
31AUG17	S	1	PD30NOV17	6787.00	6783.72	USD	82.00	
31AUG17	S	1	PD30NOV17	6788.50	6783.72	USD	119.50	
31AUG17	S	1	PD30NOV17	6789.00	6783.72	USD	132.00	
31AUG17	S	1	PD30NOV17	6790.00	6783.72	USD	157.00	
31AUG17	S	1	PD30NOV17	6793.00	6783.72	USD	232.00	
31AUG17	S	3	PD30NOV17	6793.50	6783.72	USD	733.50	
31AUG17	S	1	PD30NOV17	6791.50	6783.72	USD	194.50	
31AUG17	S	3	PD30NOV17	6792.50	6783.72	USD	658.50	
31AUG17	S	5	PD30NOV17	6794.00	6783.72	USD	1,285.00	
31AUG17	S	5	PD30NOV17	6792.00	6783.72	USD	1,035.00	
31AUG17	S	2	PD30NOV17	6790.50	6783.72	USD	339.00	
31AUG17	S	5	PD30NOV17	6796.50	6783.72	USD	1,597.50	
31AUG17	B	60	PD30NOV17	6788.20	6783.72	USD	-6,720.00	
	NET	0 AVG BGHT 6788.20	SOLD 6793.48				7,925.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	669,108.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	669,108.75	669,108.75	669,108.75	669,108.75

OPEN POSITION STATEMENT



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SAP0097L

11th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-647,095.40	-647,095.40
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-647,095.40	-647,095.40
Initial Margins	0.00	0.00
Excess/(Shortage)	-647,095.40	-647,095.40
FOR INFORMATION ONLY		
Forward Unrealised P&L	669,108.75	669,108.75
Net Liquidating Value	22,013.35	22,013.35

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097M

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	4	PD27OCT17	6322.00	6766.54	USD	-44,454.00	
28JUL17	S	1	PD27OCT17	6322.50	6766.54	USD	-11,101.00	
28JUL17	S	2	PD27OCT17	6329.00	6766.54	USD	-21,877.00	
28JUL17	S	1	PD27OCT17	6328.00	6766.54	USD	-10,963.50	
28JUL17	S	1	PD27OCT17	6328.50	6766.54	USD	-10,951.00	
28JUL17	S	1	PD27OCT17	6329.50	6766.54	USD	-10,926.00	
18SEP17	B	10	PD27OCT17	6500.02	6766.54	USD	66,630.00	
	NET	0 AVG	BGHT 6500.02	SOLD 6325.45			-43,642.50	0.00
04AUG17	S	2	PD03NOV17	6340.00	6771.03	USD	-21,551.50	
04AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
04AUG17	S	2	PD03NOV17	6341.00	6771.03	USD	-21,501.50	
18SEP17	B	5	PD03NOV17	6503.75	6771.03	USD	33,410.00	
	NET	0 AVG	BGHT 6503.75	SOLD 6340.50			-20,406.25	0.00
22AUG17	B	4	PD22NOV17	6585.50	6779.45	USD	19,395.00	
22AUG17	B	1	PD22NOV17	6585.00	6779.45	USD	4,861.25	
18SEP17	S	5	PD22NOV17	6514.61	6779.45	USD	-33,105.00	
	NET	0 AVG	BGHT 6585.40	SOLD 6514.61			-8,848.75	0.00
23AUG17	B	1	PD24NOV17	6563.00	6780.52	USD	5,438.00	
23AUG17	B	1	PD24NOV17	6563.50	6780.52	USD	5,425.50	
23AUG17	B	2	PD24NOV17	6564.00	6780.52	USD	10,826.00	
23AUG17	B	1	PD24NOV17	6564.50	6780.52	USD	5,400.50	
23AUG17	B	1	PD24NOV17	6556.50	6780.52	USD	5,600.50	
23AUG17	B	2	PD24NOV17	6557.00	6780.52	USD	11,176.00	
23AUG17	B	1	PD24NOV17	6557.50	6780.52	USD	5,575.50	
23AUG17	B	1	PD24NOV17	6558.00	6780.52	USD	5,563.00	
23AUG17	S	2	PD24NOV17	6577.00	6780.52	USD	-10,176.00	
23AUG17	S	1	PD24NOV17	6572.00	6780.52	USD	-5,213.00	
23AUG17	S	1	PD24NOV17	6573.50	6780.52	USD	-5,175.50	
23AUG17	S	1	PD24NOV17	6576.00	6780.52	USD	-5,113.00	
23AUG17	S	3	PD24NOV17	6568.50	6780.52	USD	-15,901.50	
23AUG17	S	2	PD24NOV17	6569.00	6780.52	USD	-10,576.00	
24AUG17	S	1	PD24NOV17	6618.00	6780.52	USD	-4,063.00	
24AUG17	S	1	PD24NOV17	6618.50	6780.52	USD	-4,050.50	
24AUG17	S	1	PD24NOV17	6619.00	6780.52	USD	-4,038.00	
24AUG17	S	2	PD24NOV17	6619.50	6780.52	USD	-8,051.00	
18SEP17	B	5	PD24NOV17	6515.76	6780.52	USD	33,095.00	
	NET	0 AVG	BGHT 6545.59	SOLD 6587.57			15,742.50	0.00
29AUG17	S	1	PD29NOV17	6790.00	6783.18	USD	170.50	
29AUG17	S	1	PD29NOV17	6790.50	6783.18	USD	183.00	
29AUG17	S	1	PD29NOV17	6792.00	6783.18	USD	220.50	
29AUG17	S	1	PD29NOV17	6793.00	6783.18	USD	245.50	
29AUG17	S	1	PD29NOV17	6796.00	6783.18	USD	320.50	
03OCT17	B	5	PD29NOV17	6509.00	6783.18	USD	34,272.50	
	NET	0 AVG	BGHT 6509.00	SOLD 6792.30			35,412.50	0.00
30AUG17	S	2	PD30NOV17	6784.00	6783.72	USD	14.00	
30AUG17	S	1	PD30NOV17	6784.50	6783.72	USD	19.50	
30AUG17	S	1	PD30NOV17	6786.00	6783.72	USD	57.00	
30AUG17	S	1	PD30NOV17	6786.50	6783.72	USD	69.50	
03OCT17	B	5	PD30NOV17	6509.60	6783.72	USD	34,265.00	
	NET	0 AVG	BGHT 6509.60	SOLD 6785.00			34,425.00	0.00

OPEN POSITION STATEMENT



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SAP0097M

11th October 2017

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London W1J 7NJ UK
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OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD 12,682.50
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	12,682.50	12,682.50	12,682.50	12,682.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-20,218.80	-20,218.80
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-20,218.80	-20,218.80
Initial Margins	0.00	0.00
Excess/(Shortage)	-20,218.80	-20,218.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	12,682.50	12,682.50
Net Liquidating Value	-7,536.30	-7,536.30

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097N

11th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-85.00	-85.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-85.00	-85.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-85.00	-85.00
FOR INFORMATION ONLY		
Net Liquidating Value	-85.00	-85.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	6610.00	6780.52	USD	4,263.00	
24AUG17	B	3	PD24NOV17	6610.50	6780.52	USD	12,751.50	
24AUG17	B	1	PD24NOV17	6605.00	6780.52	USD	4,388.00	
24AUG17	B	3	PD24NOV17	6609.50	6780.52	USD	12,826.50	
24AUG17	B	1	PD24NOV17	6609.00	6780.52	USD	4,288.00	
24AUG17	B	5	PD24NOV17	6611.50	6780.52	USD	21,127.50	
24AUG17	B	1	PD24NOV17	6606.00	6780.52	USD	4,363.00	
24AUG17	S	1	PD24NOV17	6627.00	6780.52	USD	-3,838.00	
24AUG17	B	1	PD24NOV17	6627.50	6780.52	USD	3,825.50	
24AUG17	S	1	PD24NOV17	6626.50	6780.52	USD	-3,850.50	
24AUG17	S	12	PD24NOV17	6628.00	6780.52	USD	-45,756.00	
24AUG17	S	1	PD24NOV17	6631.00	6780.52	USD	-3,738.00	
24AUG17	S	1	PD24NOV17	6634.50	6780.52	USD	-3,650.50	
24AUG17	B	1	PD24NOV17	6670.00	6780.52	USD	2,763.00	
24AUG17	B	1	PD24NOV17	6672.50	6780.52	USD	2,700.50	
24AUG17	B	1	PD24NOV17	6675.00	6780.52	USD	2,638.00	
24AUG17	B	5	PD24NOV17	6673.50	6780.52	USD	13,377.50	
24AUG17	B	2	PD24NOV17	6673.00	6780.52	USD	5,376.00	
24AUG17	B	1	PD24NOV17	6674.00	6780.52	USD	2,663.00	
24AUG17	B	8	PD24NOV17	6674.50	6780.52	USD	21,204.00	
24AUG17	B	1	PD24NOV17	6679.00	6780.52	USD	2,538.00	
24AUG17	B	2	PD24NOV17	6679.50	6780.52	USD	5,051.00	
24AUG17	B	1	PD24NOV17	6683.00	6780.52	USD	2,438.00	
24AUG17	S	1	PD24NOV17	6679.50	6780.52	USD	-2,525.50	
24AUG17	S	3	PD24NOV17	6678.50	6780.52	USD	-7,651.50	
24AUG17	S	1	PD24NOV17	6693.00	6780.52	USD	-2,188.00	
24AUG17	S	1	PD24NOV17	6690.00	6780.52	USD	-2,263.00	
24AUG17	S	3	PD24NOV17	6700.50	6780.52	USD	-6,001.50	
24AUG17	S	1	PD24NOV17	6695.00	6780.52	USD	-2,138.00	
24AUG17	S	1	PD24NOV17	6696.00	6780.52	USD	-2,113.00	
24AUG17	S	1	PD24NOV17	6699.50	6780.52	USD	-2,025.50	
24AUG17	S	1	PD24NOV17	6698.00	6780.52	USD	-2,063.00	
24AUG17	S	7	PD24NOV17	6698.50	6780.52	USD	-14,353.50	
24AUG17	S	1	PD24NOV17	6701.00	6780.52	USD	-1,988.00	
24AUG17	S	8	PD24NOV17	6697.50	6780.52	USD	-16,604.00	
24AUG17	S	1	PD24NOV17	6709.00	6780.52	USD	-1,788.00	
24AUG17	S	1	PD24NOV17	6708.50	6780.52	USD	-1,800.50	
24AUG17	S	6	PD24NOV17	6707.00	6780.52	USD	-11,028.00	
24AUG17	S	2	PD24NOV17	6707.50	6780.52	USD	-3,651.00	
24AUG17	S	1	PD24NOV17	6710.00	6780.52	USD	-1,763.00	
24AUG17	S	2	PD24NOV17	6704.50	6780.52	USD	-3,801.00	
24AUG17	S	1	PD24NOV17	6705.50	6780.52	USD	-1,875.50	
24AUG17	S	3	PD24NOV17	6708.00	6780.52	USD	-5,439.00	
24AUG17	S	3	PD24NOV17	6711.00	6780.52	USD	-5,214.00	
24AUG17	S	2	PD24NOV17	6716.50	6780.52	USD	-3,201.00	
24AUG17	S	2	PD24NOV17	6712.00	6780.52	USD	-3,426.00	
24AUG17	S	1	PD24NOV17	6713.00	6780.52	USD	-1,688.00	
24AUG17	S	1	PD24NOV17	6713.50	6780.52	USD	-1,675.50	
24AUG17	B	1	PD24NOV17	6699.50	6780.52	USD	2,025.50	
24AUG17	B	1	PD24NOV17	6704.00	6780.52	USD	1,913.00	
24AUG17	B	1	PD24NOV17	6704.50	6780.52	USD	1,900.50	
24AUG17	S	1	PD24NOV17	6704.00	6780.52	USD	-1,913.00	
24AUG17	B	5	PD24NOV17	6698.50	6780.52	USD	10,252.50	
24AUG17	B	1	PD24NOV17	6699.00	6780.52	USD	2,038.00	
24AUG17	B	2	PD24NOV17	6697.50	6780.52	USD	4,151.00	
24AUG17	B	2	PD24NOV17	6696.50	6780.52	USD	4,201.00	
24AUG17	B	1	PD24NOV17	6696.00	6780.52	USD	2,113.00	

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SAP0097Q

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	1	PD24NOV17	6697.00	6780.52	USD	-2,088.00	
24AUG17	B	2	PD24NOV17	6674.00	6780.52	USD	5,326.00	
24AUG17	B	1	PD24NOV17	6675.50	6780.52	USD	2,625.50	
24AUG17	S	3	PD24NOV17	6702.50	6780.52	USD	-5,851.50	
24AUG17	B	4	PD24NOV17	6701.00	6780.52	USD	7,952.00	
24AUG17	B	1	PD24NOV17	6698.00	6780.52	USD	2,063.00	
24AUG17	B	1	PD24NOV17	6704.00	6780.52	USD	1,913.00	
24AUG17	B	2	PD24NOV17	6704.50	6780.52	USD	3,801.00	
24AUG17	B	1	PD24NOV17	6706.00	6780.52	USD	1,863.00	
24AUG17	B	1	PD24NOV17	6699.50	6780.52	USD	2,025.50	
25AUG17	B	4	PD24NOV17	6714.50	6780.52	USD	6,602.00	
25AUG17	B	2	PD24NOV17	6714.00	6780.52	USD	3,326.00	
25AUG17	B	1	PD24NOV17	6716.00	6780.52	USD	1,613.00	
25AUG17	B	2	PD24NOV17	6715.00	6780.52	USD	3,276.00	
25AUG17	S	2	PD24NOV17	6720.50	6780.52	USD	-3,001.00	
25AUG17	B	1	PD24NOV17	6738.50	6780.52	USD	1,050.50	
25AUG17	B	1	PD24NOV17	6739.50	6780.52	USD	1,025.50	
25AUG17	B	2	PD24NOV17	6740.00	6780.52	USD	2,026.00	
25AUG17	B	1	PD24NOV17	6733.00	6780.52	USD	1,188.00	
25AUG17	B	2	PD24NOV17	6735.00	6780.52	USD	2,276.00	
25AUG17	B	1	PD24NOV17	6736.00	6780.52	USD	1,113.00	
25AUG17	B	5	PD24NOV17	6735.50	6780.52	USD	5,627.50	
25AUG17	B	2	PD24NOV17	6729.50	6780.52	USD	2,551.00	
25AUG17	S	3	PD24NOV17	6736.50	6780.52	USD	-3,301.50	
25AUG17	S	2	PD24NOV17	6745.00	6780.52	USD	-1,776.00	
25AUG17	S	1	PD24NOV17	6746.50	6780.52	USD	-850.50	
25AUG17	S	1	PD24NOV17	6747.00	6780.52	USD	-838.00	
25AUG17	S	4	PD24NOV17	6742.00	6780.52	USD	-3,852.00	
25AUG17	S	4	PD24NOV17	6740.50	6780.52	USD	-4,002.00	
25AUG17	B	7	PD24NOV17	6722.00	6780.52	USD	10,241.00	
25AUG17	B	1	PD24NOV17	6721.00	6780.52	USD	1,488.00	
25AUG17	B	2	PD24NOV17	6718.00	6780.52	USD	3,126.00	
25AUG17	B	8	PD24NOV17	6720.00	6780.52	USD	12,104.00	
25AUG17	S	1	PD24NOV17	6724.00	6780.52	USD	-1,413.00	
25AUG17	S	1	PD24NOV17	6723.50	6780.52	USD	-1,425.50	
25AUG17	B	1	PD24NOV17	6732.50	6780.52	USD	1,200.50	
25AUG17	B	1	PD24NOV17	6728.00	6780.52	USD	1,313.00	
25AUG17	B	1	PD24NOV17	6715.50	6780.52	USD	1,625.50	
25AUG17	S	1	PD24NOV17	6728.50	6780.52	USD	-1,300.50	
25AUG17	S	4	PD24NOV17	6729.50	6780.52	USD	-5,102.00	
25AUG17	S	5	PD24NOV17	6730.00	6780.52	USD	-6,315.00	
25AUG17	S	1	PD24NOV17	6726.50	6780.52	USD	-1,350.50	
25AUG17	S	3	PD24NOV17	6735.50	6780.52	USD	-3,376.50	
25AUG17	S	11	PD24NOV17	6741.50	6780.52	USD	-10,730.50	
25AUG17	S	4	PD24NOV17	6742.50	6780.52	USD	-3,802.00	
25AUG17	S	2	PD24NOV17	6728.00	6780.52	USD	-2,626.00	
25AUG17	S	4	PD24NOV17	6729.00	6780.52	USD	-5,152.00	
25AUG17	S	1	PD24NOV17	6734.00	6780.52	USD	-1,163.00	
25AUG17	B	4	PD24NOV17	6725.00	6780.52	USD	5,552.00	
25AUG17	B	1	PD24NOV17	6727.50	6780.52	USD	1,325.50	
25AUG17	B	6	PD24NOV17	6727.00	6780.52	USD	8,028.00	
25AUG17	B	2	PD24NOV17	6726.00	6780.52	USD	2,726.00	
25AUG17	B	6	PD24NOV17	6723.50	6780.52	USD	8,553.00	
25AUG17	B	5	PD24NOV17	6722.50	6780.52	USD	7,252.50	
25AUG17	B	1	PD24NOV17	6717.50	6780.52	USD	1,575.50	
25AUG17	B	5	PD24NOV17	6719.00	6780.52	USD	7,690.00	
25AUG17	B	8	PD24NOV17	6719.50	6780.52	USD	12,204.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	8	PD24NOV17	6718.50	6780.52	USD	12,404.00	
25AUG17	B	1	PD24NOV17	6720.50	6780.52	USD	1,500.50	
25AUG17	B	10	PD24NOV17	6721.50	6780.52	USD	14,755.00	
25AUG17	B	3	PD24NOV17	6712.50	6780.52	USD	5,101.50	
25AUG17	S	1	PD24NOV17	6688.00	6780.52	USD	-2,313.00	
25AUG17	S	1	PD24NOV17	6691.50	6780.52	USD	-2,225.50	
25AUG17	S	1	PD24NOV17	6693.50	6780.52	USD	-2,175.50	
25AUG17	S	4	PD24NOV17	6688.50	6780.52	USD	-9,202.00	
25AUG17	S	5	PD24NOV17	6690.00	6780.52	USD	-11,315.00	
25AUG17	S	6	PD24NOV17	6689.50	6780.52	USD	-13,653.00	
25AUG17	S	2	PD24NOV17	6691.00	6780.52	USD	-4,476.00	
25AUG17	S	2	PD24NOV17	6682.00	6780.52	USD	-4,926.00	
25AUG17	S	2	PD24NOV17	6684.50	6780.52	USD	-4,801.00	
25AUG17	S	2	PD24NOV17	6685.50	6780.52	USD	-4,751.00	
25AUG17	S	2	PD24NOV17	6686.50	6780.52	USD	-4,701.00	
25AUG17	S	4	PD24NOV17	6687.50	6780.52	USD	-9,302.00	
25AUG17	S	1	PD24NOV17	6701.50	6780.52	USD	-1,975.50	
25AUG17	S	1	PD24NOV17	6704.50	6780.52	USD	-1,900.50	
25AUG17	S	3	PD24NOV17	6705.50	6780.52	USD	-5,626.50	
25AUG17	S	2	PD24NOV17	6713.00	6780.52	USD	-3,376.00	
25AUG17	S	3	PD24NOV17	6714.00	6780.52	USD	-4,989.00	
25AUG17	S	1	PD24NOV17	6707.50	6780.52	USD	-1,825.50	
25AUG17	S	7	PD24NOV17	6706.50	6780.52	USD	-12,953.50	
25AUG17	S	6	PD24NOV17	6714.50	6780.52	USD	-9,903.00	
25AUG17	S	4	PD24NOV17	6715.00	6780.52	USD	-6,552.00	
25AUG17	S	4	PD24NOV17	6709.50	6780.52	USD	-7,102.00	
25AUG17	S	2	PD24NOV17	6707.00	6780.52	USD	-3,676.00	
25AUG17	S	1	PD24NOV17	6695.50	6780.52	USD	-2,125.50	
25AUG17	S	2	PD24NOV17	6700.50	6780.52	USD	-4,001.00	
25AUG17	S	2	PD24NOV17	6699.00	6780.52	USD	-4,076.00	
25AUG17	S	2	PD24NOV17	6698.00	6780.52	USD	-4,126.00	
25AUG17	S	2	PD24NOV17	6697.00	6780.52	USD	-4,176.00	
25AUG17	S	1	PD24NOV17	6694.50	6780.52	USD	-2,150.50	
25AUG17	S	4	PD24NOV17	6706.50	6780.52	USD	-7,402.00	
25AUG17	S	1	PD24NOV17	6673.00	6780.52	USD	-2,688.00	
25AUG17	S	1	PD24NOV17	6675.50	6780.52	USD	-2,625.50	
25AUG17	S	1	PD24NOV17	6669.00	6780.52	USD	-2,788.00	
25AUG17	S	2	PD24NOV17	6692.00	6780.52	USD	-4,426.00	
30AUG17	B	45	PD24NOV17	6767.50	6780.52	USD	14,647.50	
	NET	0AVG BGHT 6715.55	SOLD 6703.74				-63,800.00	0.00
29AUG17	S	1	PD29NOV17	6762.50	6783.18	USD	-517.00	
29AUG17	S	1	PD29NOV17	6764.00	6783.18	USD	-479.50	
29AUG17	S	1	PD29NOV17	6777.00	6783.18	USD	-154.50	
29AUG17	S	1	PD29NOV17	6773.50	6783.18	USD	-242.00	
29AUG17	S	2	PD29NOV17	6776.00	6783.18	USD	-359.00	
29AUG17	S	1	PD29NOV17	6761.50	6783.18	USD	-542.00	
29AUG17	S	2	PD29NOV17	6780.50	6783.18	USD	-134.00	
29AUG17	S	1	PD29NOV17	6759.00	6783.18	USD	-604.50	
29AUG17	S	1	PD29NOV17	6757.00	6783.18	USD	-654.50	
29AUG17	S	2	PD29NOV17	6767.00	6783.18	USD	-809.00	
29AUG17	S	3	PD29NOV17	6768.00	6783.18	USD	-1,138.50	
29AUG17	S	2	PD29NOV17	6769.50	6783.18	USD	-684.00	
29AUG17	S	8	PD29NOV17	6770.50	6783.18	USD	-2,536.00	
29AUG17	S	5	PD29NOV17	6786.50	6783.18	USD	415.00	
29AUG17	S	4	PD29NOV17	6779.00	6783.18	USD	-418.00	
29AUG17	S	5	PD29NOV17	6780.00	6783.18	USD	-397.50	
29AUG17	S	1	PD29NOV17	6781.00	6783.18	USD	-54.50	

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29AUG17	S	1	PD29NOV17	6777.50	6783.18	USD	-142.00	
29AUG17	S	3	PD29NOV17	6778.00	6783.18	USD	-388.50	
29AUG17	B	1	PD29NOV17	6802.50	6783.18	USD	-483.00	
29AUG17	B	1	PD29NOV17	6803.50	6783.18	USD	-508.00	
29AUG17	B	2	PD29NOV17	6814.50	6783.18	USD	-1,566.00	
29AUG17	B	1	PD29NOV17	6813.50	6783.18	USD	-758.00	
29AUG17	B	2	PD29NOV17	6812.50	6783.18	USD	-1,466.00	
29AUG17	B	5	PD29NOV17	6816.00	6783.18	USD	-4,102.50	
29AUG17	B	4	PD29NOV17	6817.00	6783.18	USD	-3,382.00	
29AUG17	B	3	PD29NOV17	6815.00	6783.18	USD	-2,386.50	
29AUG17	B	7	PD29NOV17	6818.50	6783.18	USD	-6,181.00	
29AUG17	S	4	PD29NOV17	6819.50	6783.18	USD	3,632.00	
29AUG17	S	1	PD29NOV17	6820.50	6783.18	USD	933.00	
29AUG17	B	5	PD29NOV17	6819.00	6783.18	USD	-4,477.50	
29AUG17	B	4	PD29NOV17	6818.00	6783.18	USD	-3,482.00	
29AUG17	B	4	PD29NOV17	6817.50	6783.18	USD	-3,432.00	
29AUG17	B	5	PD29NOV17	6820.00	6783.18	USD	-4,602.50	
29AUG17	B	1	PD29NOV17	6821.50	6783.18	USD	-958.00	
29AUG17	B	1	PD29NOV17	6822.00	6783.18	USD	-970.50	
29AUG17	B	4	PD29NOV17	6821.00	6783.18	USD	-3,782.00	
29AUG17	B	1	PD29NOV17	6824.50	6783.18	USD	-1,033.00	
29AUG17	B	2	PD29NOV17	6824.00	6783.18	USD	-2,041.00	
29AUG17	B	7	PD29NOV17	6823.50	6783.18	USD	-7,056.00	
29AUG17	B	6	PD29NOV17	6822.50	6783.18	USD	-5,898.00	
29AUG17	B	1	PD29NOV17	6801.00	6783.18	USD	-445.50	
29AUG17	B	1	PD29NOV17	6802.00	6783.18	USD	-470.50	
29AUG17	B	1	PD29NOV17	6806.50	6783.18	USD	-583.00	
29AUG17	B	2	PD29NOV17	6803.00	6783.18	USD	-991.00	
29AUG17	B	2	PD29NOV17	6812.00	6783.18	USD	-1,441.00	
29AUG17	B	2	PD29NOV17	6813.00	6783.18	USD	-1,491.00	
29AUG17	B	1	PD29NOV17	6811.50	6783.18	USD	-708.00	
29AUG17	B	2	PD29NOV17	6809.50	6783.18	USD	-1,316.00	
29AUG17	B	1	PD29NOV17	6806.00	6783.18	USD	-570.50	
29AUG17	B	1	PD29NOV17	6805.00	6783.18	USD	-545.50	
29AUG17	B	1	PD29NOV17	6804.00	6783.18	USD	-520.50	
29AUG17	B	3	PD29NOV17	6825.00	6783.18	USD	-3,136.50	
29AUG17	B	1	PD29NOV17	6828.00	6783.18	USD	-1,120.50	
29AUG17	B	1	PD29NOV17	6827.00	6783.18	USD	-1,095.50	
29AUG17	B	1	PD29NOV17	6826.00	6783.18	USD	-1,070.50	
29AUG17	S	1	PD29NOV17	6821.50	6783.18	USD	958.00	
29AUG17	S	2	PD29NOV17	6820.00	6783.18	USD	1,841.00	
29AUG17	S	2	PD29NOV17	6821.00	6783.18	USD	1,891.00	
29AUG17	B	1	PD29NOV17	6818.50	6783.18	USD	-883.00	
29AUG17	B	1	PD29NOV17	6821.50	6783.18	USD	-958.00	
29AUG17	B	1	PD29NOV17	6821.00	6783.18	USD	-945.50	
29AUG17	B	5	PD29NOV17	6820.00	6783.18	USD	-4,602.50	
29AUG17	S	1	PD29NOV17	6800.00	6783.18	USD	420.50	
29AUG17	B	1	PD29NOV17	6789.50	6783.18	USD	-158.00	
29AUG17	B	5	PD29NOV17	6790.00	6783.18	USD	-852.50	
29AUG17	S	1	PD29NOV17	6799.50	6783.18	USD	408.00	
29AUG17	B	1	PD29NOV17	6799.50	6783.18	USD	-408.00	
30AUG17	S	45	PD29NOV17	6768.55	6783.18	USD	-16,458.75	
	NET	0 AVG BGHT 6815.68	SOLD 6776.82				-99,093.75	0.00
30AUG17	B	1	PD30NOV17	6785.50	6783.72	USD	-44.50	
30AUG17	B	1	PD30NOV17	6791.50	6783.72	USD	-194.50	
30AUG17	B	1	PD30NOV17	6798.50	6783.72	USD	-369.50	
30AUG17	B	2	PD30NOV17	6799.00	6783.72	USD	-764.00	

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30AUG17	B	2	PD30NOV17	6782.50	6783.72	USD	61.00	
30AUG17	B	1	PD30NOV17	6782.00	6783.72	USD	43.00	
30AUG17	B	2	PD30NOV17	6784.50	6783.72	USD	-39.00	
30AUG17	B	3	PD30NOV17	6783.50	6783.72	USD	16.50	
30AUG17	B	1	PD30NOV17	6784.00	6783.72	USD	-7.00	
30AUG17	B	8	PD30NOV17	6787.00	6783.72	USD	-656.00	
30AUG17	B	2	PD30NOV17	6786.00	6783.72	USD	-114.00	
30AUG17	B	2	PD30NOV17	6786.50	6783.72	USD	-139.00	
30AUG17	S	1	PD30NOV17	6787.00	6783.72	USD	82.00	
30AUG17	B	3	PD30NOV17	6788.00	6783.72	USD	-321.00	
30AUG17	B	1	PD30NOV17	6790.00	6783.72	USD	-157.00	
30AUG17	B	1	PD30NOV17	6798.50	6783.72	USD	-369.50	
30AUG17	B	2	PD30NOV17	6779.00	6783.72	USD	236.00	
30AUG17	B	2	PD30NOV17	6778.50	6783.72	USD	261.00	
30AUG17	B	1	PD30NOV17	6777.50	6783.72	USD	155.50	
30AUG17	B	1	PD30NOV17	6773.00	6783.72	USD	268.00	
30AUG17	B	2	PD30NOV17	6771.50	6783.72	USD	611.00	
30AUG17	B	1	PD30NOV17	6770.50	6783.72	USD	330.50	
30AUG17	B	3	PD30NOV17	6767.00	6783.72	USD	1,254.00	
30AUG17	B	4	PD30NOV17	6768.00	6783.72	USD	1,572.00	
30AUG17	B	4	PD30NOV17	6769.50	6783.72	USD	1,422.00	
30AUG17	B	16	PD30NOV17	6769.00	6783.72	USD	5,888.00	
30AUG17	B	2	PD30NOV17	6768.50	6783.72	USD	761.00	
30AUG17	B	1	PD30NOV17	6767.50	6783.72	USD	405.50	
30AUG17	B	7	PD30NOV17	6766.50	6783.72	USD	3,013.50	
30AUG17	B	3	PD30NOV17	6765.50	6783.72	USD	1,366.50	
30AUG17	B	3	PD30NOV17	6770.00	6783.72	USD	1,029.00	
30AUG17	B	3	PD30NOV17	6775.50	6783.72	USD	616.50	
30AUG17	B	1	PD30NOV17	6774.00	6783.72	USD	243.00	
30AUG17	B	4	PD30NOV17	6774.50	6783.72	USD	922.00	
31AUG17	B	1	PD30NOV17	6803.00	6783.72	USD	-482.00	
31AUG17	B	1	PD30NOV17	6810.50	6783.72	USD	-669.50	
31AUG17	B	1	PD30NOV17	6810.00	6783.72	USD	-657.00	
31AUG17	B	4	PD30NOV17	6809.50	6783.72	USD	-2,578.00	
31AUG17	B	1	PD30NOV17	6809.00	6783.72	USD	-632.00	
31AUG17	B	1	PD30NOV17	6808.00	6783.72	USD	-607.00	
31AUG17	B	1	PD30NOV17	6807.00	6783.72	USD	-582.00	
31AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
31AUG17	B	4	PD30NOV17	6804.50	6783.72	USD	-2,078.00	
31AUG17	B	1	PD30NOV17	6869.50	6783.72	USD	-2,144.50	
31AUG17	B	1	PD30NOV17	6858.50	6783.72	USD	-1,869.50	
31AUG17	B	1	PD30NOV17	6857.50	6783.72	USD	-1,844.50	
31AUG17	B	5	PD30NOV17	6858.00	6783.72	USD	-9,285.00	
31AUG17	B	1	PD30NOV17	6862.00	6783.72	USD	-1,957.00	
31AUG17	B	1	PD30NOV17	6861.00	6783.72	USD	-1,932.00	
31AUG17	B	1	PD30NOV17	6860.00	6783.72	USD	-1,907.00	
31AUG17	B	2	PD30NOV17	6859.00	6783.72	USD	-3,764.00	
31AUG17	B	3	PD30NOV17	6853.50	6783.72	USD	-5,233.50	
31AUG17	B	1	PD30NOV17	6852.50	6783.72	USD	-1,719.50	
31AUG17	B	2	PD30NOV17	6853.00	6783.72	USD	-3,464.00	
31AUG17	B	1	PD30NOV17	6851.50	6783.72	USD	-1,694.50	
31AUG17	B	1	PD30NOV17	6849.00	6783.72	USD	-1,632.00	
31AUG17	B	1	PD30NOV17	6846.50	6783.72	USD	-1,569.50	
31AUG17	B	3	PD30NOV17	6845.50	6783.72	USD	-4,633.50	
31AUG17	B	1	PD30NOV17	6844.00	6783.72	USD	-1,507.00	
31AUG17	B	1	PD30NOV17	6840.50	6783.72	USD	-1,419.50	
31AUG17	B	2	PD30NOV17	6839.50	6783.72	USD	-2,789.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6830.50	6783.72	USD	-1,169.50	
31AUG17	S	4	PD30NOV17	6789.50	6783.72	USD	578.00	
31AUG17	S	1	PD30NOV17	6795.00	6783.72	USD	282.00	
31AUG17	S	1	PD30NOV17	6786.00	6783.72	USD	57.00	
31AUG17	S	2	PD30NOV17	6788.50	6783.72	USD	239.00	
31AUG17	S	4	PD30NOV17	6787.00	6783.72	USD	328.00	
31AUG17	S	6	PD30NOV17	6789.00	6783.72	USD	792.00	
31AUG17	S	1	PD30NOV17	6784.00	6783.72	USD	7.00	
31AUG17	S	2	PD30NOV17	6791.00	6783.72	USD	364.00	
31AUG17	S	4	PD30NOV17	6788.00	6783.72	USD	428.00	
31AUG17	S	7	PD30NOV17	6798.00	6783.72	USD	2,499.00	
31AUG17	S	1	PD30NOV17	6797.00	6783.72	USD	332.00	
31AUG17	S	1	PD30NOV17	6799.00	6783.72	USD	382.00	
31AUG17	S	1	PD30NOV17	6801.50	6783.72	USD	444.50	
31AUG17	S	3	PD30NOV17	6787.50	6783.72	USD	283.50	
31AUG17	S	6	PD30NOV17	6786.50	6783.72	USD	417.00	
31AUG17	S	3	PD30NOV17	6783.00	6783.72	USD	-54.00	
31AUG17	S	1	PD30NOV17	6787.00	6783.72	USD	82.00	
31AUG17	B	2	PD30NOV17	6799.50	6783.72	USD	-789.00	
31AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	
31AUG17	B	3	PD30NOV17	6797.50	6783.72	USD	-1,033.50	
31AUG17	B	1	PD30NOV17	6800.00	6783.72	USD	-407.00	
31AUG17	B	3	PD30NOV17	6801.00	6783.72	USD	-1,296.00	
31AUG17	B	5	PD30NOV17	6798.50	6783.72	USD	-1,847.50	
31AUG17	B	1	PD30NOV17	6798.00	6783.72	USD	-357.00	
31AUG17	B	1	PD30NOV17	6797.00	6783.72	USD	-332.00	
31AUG17	B	1	PD30NOV17	6794.50	6783.72	USD	-269.50	
31AUG17	B	1	PD30NOV17	6792.00	6783.72	USD	-207.00	
31AUG17	B	1	PD30NOV17	6790.50	6783.72	USD	-169.50	
31AUG17	B	1	PD30NOV17	6789.50	6783.72	USD	-144.50	
31AUG17	B	2	PD30NOV17	6791.00	6783.72	USD	-364.00	
31AUG17	B	4	PD30NOV17	6790.00	6783.72	USD	-628.00	
31AUG17	B	3	PD30NOV17	6789.00	6783.72	USD	-396.00	
31AUG17	B	1	PD30NOV17	6787.50	6783.72	USD	-94.50	
31AUG17	B	2	PD30NOV17	6791.00	6783.72	USD	-364.00	
04SEP17	S	45	PD30NOV17	6915.42	6783.72	USD	148,162.50	
05SEP17	S	20	PD30NOV17	6897.98	6783.72	USD	57,130.00	
06SEP17	S	5	PD30NOV17	6898.75	6783.72	USD	14,378.75	
20SEP17	S	30	PD30NOV17	6519.07	6783.72	USD	-198,487.50	
28SEP17	S	20	PD30NOV17	6512.17	6783.72	USD	-135,775.00	
	NET	0	AVG BGHT 6796.06	SOLD 6758.38			-159,181.25	0.00
01SEP17	S	2	PD01DEC17	6807.50	6784.25	USD	1,162.50	
01SEP17	S	1	PD01DEC17	6804.00	6784.25	USD	493.75	
01SEP17	B	1	PD01DEC17	6805.00	6784.25	USD	-518.75	
01SEP17	B	1	PD01DEC17	6809.50	6784.25	USD	-631.25	
01SEP17	B	2	PD01DEC17	6808.50	6784.25	USD	-1,212.50	
01SEP17	B	1	PD01DEC17	6808.00	6784.25	USD	-593.75	
01SEP17	S	1	PD01DEC17	6808.00	6784.25	USD	593.75	
01SEP17	B	1	PD01DEC17	6808.00	6784.25	USD	-593.75	
01SEP17	S	1	PD01DEC17	6798.00	6784.25	USD	343.75	
01SEP17	S	1	PD01DEC17	6784.50	6784.25	USD	6.25	
01SEP17	S	2	PD01DEC17	6787.00	6784.25	USD	137.50	
01SEP17	S	3	PD01DEC17	6780.50	6784.25	USD	-281.25	
01SEP17	S	2	PD01DEC17	6788.00	6784.25	USD	187.50	
01SEP17	S	1	PD01DEC17	6816.00	6784.25	USD	793.75	
01SEP17	S	2	PD01DEC17	6818.00	6784.25	USD	1,687.50	
01SEP17	S	2	PD01DEC17	6817.50	6784.25	USD	1,662.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	6814.00	6784.25	USD	743.75	
01SEP17	S	1	PD01DEC17	6812.50	6784.25	USD	706.25	
01SEP17	S	7	PD01DEC17	6808.00	6784.25	USD	4,156.25	
01SEP17	S	1	PD01DEC17	6808.50	6784.25	USD	606.25	
01SEP17	S	1	PD01DEC17	6809.00	6784.25	USD	618.75	
01SEP17	S	1	PD01DEC17	6819.00	6784.25	USD	868.75	
01SEP17	S	1	PD01DEC17	6823.50	6784.25	USD	981.25	
01SEP17	S	1	PD01DEC17	6822.50	6784.25	USD	956.25	
01SEP17	S	3	PD01DEC17	6820.00	6784.25	USD	2,681.25	
01SEP17	S	3	PD01DEC17	6822.00	6784.25	USD	2,831.25	
01SEP17	S	3	PD01DEC17	6821.00	6784.25	USD	2,756.25	
01SEP17	S	4	PD01DEC17	6835.50	6784.25	USD	5,125.00	
01SEP17	S	2	PD01DEC17	6835.00	6784.25	USD	2,537.50	
01SEP17	S	2	PD01DEC17	6836.50	6784.25	USD	2,612.50	
01SEP17	S	2	PD01DEC17	6837.50	6784.25	USD	2,662.50	
04SEP17	B	45	PD01DEC17	6916.01	6784.25	USD	-148,230.00	
	NET	0 AVG BGHT 6903.29	SOLD 6813.76				-114,148.75	0.00
04SEP17	B	1	PD04DEC17	6890.00	6785.75	USD	-2,606.25	
04SEP17	B	2	PD04DEC17	6889.00	6785.75	USD	-5,162.50	
04SEP17	B	1	PD04DEC17	6888.00	6785.75	USD	-2,556.25	
04SEP17	S	1	PD04DEC17	6899.00	6785.75	USD	2,831.25	
04SEP17	S	1	PD04DEC17	6900.00	6785.75	USD	2,856.25	
04SEP17	S	2	PD04DEC17	6902.00	6785.75	USD	5,812.50	
04SEP17	S	18	PD04DEC17	6917.00	6785.75	USD	59,062.50	
04SEP17	S	1	PD04DEC17	6919.50	6785.75	USD	3,343.75	
04SEP17	S	1	PD04DEC17	6917.00	6785.75	USD	3,281.25	
05SEP17	B	20	PD04DEC17	6899.80	6785.75	USD	-57,025.00	
	NET	0 AVG BGHT 6898.00	SOLD 6914.40				9,837.50	0.00
05SEP17	B	1	PD05DEC17	6958.00	6786.25	USD	-4,293.75	
05SEP17	B	10	PD05DEC17	6959.00	6786.25	USD	-43,187.50	
05SEP17	B	2	PD05DEC17	6967.50	6786.25	USD	-9,062.50	
05SEP17	B	1	PD05DEC17	6966.50	6786.25	USD	-4,506.25	
05SEP17	B	1	PD05DEC17	6964.00	6786.25	USD	-4,443.75	
05SEP17	B	4	PD05DEC17	6954.50	6786.25	USD	-16,825.00	
05SEP17	B	1	PD05DEC17	6953.50	6786.25	USD	-4,181.25	
05SEP17	S	1	PD05DEC17	6918.00	6786.25	USD	3,293.75	
05SEP17	S	1	PD05DEC17	6919.50	6786.25	USD	3,331.25	
05SEP17	S	1	PD05DEC17	6931.50	6786.25	USD	3,631.25	
05SEP17	S	1	PD05DEC17	6928.50	6786.25	USD	3,556.25	
05SEP17	S	4	PD05DEC17	6927.00	6786.25	USD	14,075.00	
05SEP17	B	1	PD05DEC17	6927.50	6786.25	USD	-3,531.25	
05SEP17	S	3	PD05DEC17	6926.00	6786.25	USD	10,481.25	
05SEP17	S	5	PD05DEC17	6928.00	6786.25	USD	17,718.75	
05SEP17	B	1	PD05DEC17	6927.00	6786.25	USD	-3,518.75	
05SEP17	S	4	PD05DEC17	6927.50	6786.25	USD	14,125.00	
05SEP17	B	2	PD05DEC17	6926.50	6786.25	USD	-7,012.50	
05SEP17	B	1	PD05DEC17	6925.50	6786.25	USD	-3,481.25	
05SEP17	S	2	PD05DEC17	6895.00	6786.25	USD	5,437.50	
05SEP17	S	1	PD05DEC17	6899.50	6786.25	USD	2,831.25	
05SEP17	S	1	PD05DEC17	6894.00	6786.25	USD	2,693.75	
05SEP17	B	1	PD05DEC17	6885.00	6786.25	USD	-2,468.75	
05SEP17	B	1	PD05DEC17	6886.00	6786.25	USD	-2,493.75	
05SEP17	B	2	PD05DEC17	6888.50	6786.25	USD	-5,112.50	
05SEP17	B	2	PD05DEC17	6888.00	6786.25	USD	-5,087.50	
05SEP17	S	1	PD05DEC17	6871.50	6786.25	USD	2,131.25	
05SEP17	S	2	PD05DEC17	6864.00	6786.25	USD	3,887.50	
05SEP17	S	1	PD05DEC17	6867.00	6786.25	USD	2,018.75	

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05SEP17	B	1	PD05DEC17		6856.00	6786.25	USD	-1,743.75	
05SEP17	B	2	PD05DEC17		6855.50	6786.25	USD	-3,462.50	
05SEP17	S	1	PD05DEC17		6835.00	6786.25	USD	1,218.75	
05SEP17	S	1	PD05DEC17		6841.50	6786.25	USD	1,381.25	
05SEP17	S	1	PD05DEC17		6842.50	6786.25	USD	1,406.25	
05SEP17	S	1	PD05DEC17		6848.00	6786.25	USD	1,543.75	
05SEP17	S	1	PD05DEC17		6850.50	6786.25	USD	1,606.25	
05SEP17	S	1	PD05DEC17		6851.00	6786.25	USD	1,618.75	
05SEP17	S	2	PD05DEC17		6847.50	6786.25	USD	3,062.50	
05SEP17	S	1	PD05DEC17		6899.50	6786.25	USD	2,831.25	
05SEP17	S	1	PD05DEC17		6848.00	6786.25	USD	1,543.75	
05SEP17	S	1	PD05DEC17		6847.50	6786.25	USD	1,531.25	
06SEP17	B	5	PD05DEC17		6900.83	6786.25	USD	-14,322.50	
	NET	0 AVG	BGHT 6928.54	SOLD 6895.95				-31,778.75	0.00
06SEP17	S	3	PD06DEC17		6901.50	6786.75	USD	8,606.25	
06SEP17	S	1	PD06DEC17		6896.00	6786.75	USD	2,731.25	
06SEP17	S	4	PD06DEC17		6898.00	6786.75	USD	11,125.00	
06SEP17	S	5	PD06DEC17		6898.50	6786.75	USD	13,968.75	
06SEP17	S	1	PD06DEC17		6899.50	6786.75	USD	2,818.75	
06SEP17	S	1	PD06DEC17		6900.00	6786.75	USD	2,831.25	
06SEP17	S	2	PD06DEC17		6908.00	6786.75	USD	6,062.50	
06SEP17	S	1	PD06DEC17		6907.00	6786.75	USD	3,006.25	
06SEP17	S	2	PD06DEC17		6910.50	6786.75	USD	6,187.50	
06SEP17	S	4	PD06DEC17		6911.00	6786.75	USD	12,425.00	
06SEP17	S	2	PD06DEC17		6910.00	6786.75	USD	6,162.50	
06SEP17	S	1	PD06DEC17		6908.50	6786.75	USD	3,043.75	
06SEP17	S	1	PD06DEC17		6906.50	6786.75	USD	2,993.75	
06SEP17	S	3	PD06DEC17		6909.50	6786.75	USD	9,206.25	
06SEP17	B	1	PD06DEC17		6913.00	6786.75	USD	-3,156.25	
06SEP17	B	2	PD06DEC17		6911.50	6786.75	USD	-6,237.50	
06SEP17	B	1	PD06DEC17		6912.50	6786.75	USD	-3,143.75	
06SEP17	B	7	PD06DEC17		6897.50	6786.75	USD	-19,381.25	
06SEP17	B	1	PD06DEC17		6898.50	6786.75	USD	-2,793.75	
06SEP17	B	4	PD06DEC17		6897.00	6786.75	USD	-11,025.00	
06SEP17	B	1	PD06DEC17		6891.50	6786.75	USD	-2,618.75	
06SEP17	B	1	PD06DEC17		6887.50	6786.75	USD	-2,518.75	
06SEP17	B	4	PD06DEC17		6888.50	6786.75	USD	-10,175.00	
06SEP17	B	1	PD06DEC17		6885.50	6786.75	USD	-2,468.75	
06SEP17	B	1	PD06DEC17		6886.50	6786.75	USD	-2,493.75	
06SEP17	B	5	PD06DEC17		6887.00	6786.75	USD	-12,531.25	
06SEP17	B	2	PD06DEC17		6896.00	6786.75	USD	-5,462.50	
06SEP17	B	1	PD06DEC17		6893.00	6786.75	USD	-2,656.25	
06SEP17	B	2	PD06DEC17		6895.00	6786.75	USD	-5,412.50	
06SEP17	B	1	PD06DEC17		6894.00	6786.75	USD	-2,681.25	
06SEP17	B	4	PD06DEC17		6896.50	6786.75	USD	-10,975.00	
06SEP17	B	3	PD06DEC17		6884.00	6786.75	USD	-7,293.75	
06SEP17	B	2	PD06DEC17		6885.00	6786.75	USD	-4,912.50	
06SEP17	B	1	PD06DEC17		6913.50	6786.75	USD	-3,168.75	
06SEP17	B	2	PD06DEC17		6880.50	6786.75	USD	-4,687.50	
06SEP17	S	2	PD06DEC17		6886.00	6786.75	USD	4,962.50	
06SEP17	S	1	PD06DEC17		6887.00	6786.75	USD	2,506.25	
06SEP17	S	1	PD06DEC17		6888.00	6786.75	USD	2,531.25	
06SEP17	S	1	PD06DEC17		6889.00	6786.75	USD	2,556.25	
06SEP17	S	2	PD06DEC17		6894.50	6786.75	USD	5,387.50	
06SEP17	S	1	PD06DEC17		6895.50	6786.75	USD	2,718.75	
06SEP17	S	1	PD06DEC17		6893.00	6786.75	USD	2,656.25	
06SEP17	S	1	PD06DEC17		6892.00	6786.75	USD	2,631.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6888.50	6786.75	USD	2,543.75	
06SEP17	S	3	PD06DEC17	6885.00	6786.75	USD	7,368.75	
06SEP17	S	2	PD06DEC17	6890.50	6786.75	USD	5,187.50	
06SEP17	S	3	PD06DEC17	6892.50	6786.75	USD	7,931.25	
06SEP17	S	1	PD06DEC17	6883.00	6786.75	USD	2,406.25	
06SEP17	S	1	PD06DEC17	6879.00	6786.75	USD	2,306.25	
06SEP17	B	3	PD06DEC17	6885.00	6786.75	USD	-7,368.75	
06SEP17	S	1	PD06DEC17	6887.00	6786.75	USD	2,506.25	
06SEP17	S	2	PD06DEC17	6885.00	6786.75	USD	4,912.50	
06SEP17	B	3	PD06DEC17	6917.00	6786.75	USD	-9,768.75	
06SEP17	B	2	PD06DEC17	6917.00	6786.75	USD	-6,512.50	
	NET	0AVG BGHT 6895.44	SOLD 6897.50				2,837.50	0.00
07SEP17	S	5	PD07DEC17	6875.00	6787.23	USD	10,971.25	
07SEP17	B	1	PD07DEC17	6873.00	6787.23	USD	-2,144.25	
07SEP17	B	1	PD07DEC17	6866.50	6787.23	USD	-1,981.75	
07SEP17	S	1	PD07DEC17	6879.50	6787.23	USD	2,306.75	
07SEP17	S	1	PD07DEC17	6881.00	6787.23	USD	2,344.25	
07SEP17	B	1	PD07DEC17	6879.00	6787.23	USD	-2,294.25	
07SEP17	B	6	PD07DEC17	6878.50	6787.23	USD	-13,690.50	
07SEP17	B	1	PD07DEC17	6878.00	6787.23	USD	-2,269.25	
07SEP17	B	4	PD07DEC17	6877.50	6787.23	USD	-9,027.00	
07SEP17	S	1	PD07DEC17	6877.50	6787.23	USD	2,256.75	
07SEP17	B	1	PD07DEC17	6879.50	6787.23	USD	-2,306.75	
07SEP17	B	1	PD07DEC17	6881.00	6787.23	USD	-2,344.25	
07SEP17	B	2	PD07DEC17	6869.50	6787.23	USD	-4,113.50	
07SEP17	B	1	PD07DEC17	6861.00	6787.23	USD	-1,844.25	
07SEP17	B	1	PD07DEC17	6857.00	6787.23	USD	-1,744.25	
07SEP17	B	1	PD07DEC17	6862.50	6787.23	USD	-1,881.75	
07SEP17	B	1	PD07DEC17	6862.00	6787.23	USD	-1,869.25	
07SEP17	B	3	PD07DEC17	6863.00	6787.23	USD	-5,682.75	
07SEP17	B	3	PD07DEC17	6864.00	6787.23	USD	-5,757.75	
07SEP17	B	1	PD07DEC17	6865.50	6787.23	USD	-1,956.75	
07SEP17	B	1	PD07DEC17	6867.50	6787.23	USD	-2,006.75	
07SEP17	B	2	PD07DEC17	6868.00	6787.23	USD	-4,038.50	
07SEP17	B	1	PD07DEC17	6870.00	6787.23	USD	-2,069.25	
07SEP17	S	1	PD07DEC17	6877.00	6787.23	USD	2,244.25	
07SEP17	S	1	PD07DEC17	6878.50	6787.23	USD	2,281.75	
07SEP17	S	4	PD07DEC17	6900.00	6787.23	USD	11,277.00	
07SEP17	S	3	PD07DEC17	6901.00	6787.23	USD	8,532.75	
07SEP17	S	6	PD07DEC17	6900.50	6787.23	USD	16,990.50	
07SEP17	S	1	PD07DEC17	6898.00	6787.23	USD	2,769.25	
07SEP17	S	1	PD07DEC17	6897.00	6787.23	USD	2,744.25	
07SEP17	S	1	PD07DEC17	6896.50	6787.23	USD	2,731.75	
07SEP17	S	1	PD07DEC17	6895.50	6787.23	USD	2,706.75	
07SEP17	S	1	PD07DEC17	6896.00	6787.23	USD	2,719.25	
07SEP17	S	1	PD07DEC17	6902.00	6787.23	USD	2,869.25	
07SEP17	S	1	PD07DEC17	6893.50	6787.23	USD	2,656.75	
07SEP17	S	1	PD07DEC17	6881.50	6787.23	USD	2,356.75	
07SEP17	S	1	PD07DEC17	6880.00	6787.23	USD	2,319.25	
07SEP17	S	1	PD07DEC17	6882.50	6787.23	USD	2,381.75	
07SEP17	S	1	PD07DEC17	6898.00	6787.23	USD	2,769.25	
07SEP17	S	1	PD07DEC17	6896.50	6787.23	USD	2,731.75	
07SEP17	S	2	PD07DEC17	6897.00	6787.23	USD	5,488.50	
07SEP17	S	1	PD07DEC17	6886.50	6787.23	USD	2,481.75	
07SEP17	B	1	PD07DEC17	6893.00	6787.23	USD	-2,644.25	
07SEP17	B	1	PD07DEC17	6896.00	6787.23	USD	-2,719.25	
07SEP17	B	1	PD07DEC17	6897.00	6787.23	USD	-2,744.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	1	PD07DEC17	6902.00	6787.23	USD	-2,869.25	
07SEP17	B	1	PD07DEC17	6901.00	6787.23	USD	-2,844.25	
07SEP17	S	1	PD07DEC17	6903.50	6787.23	USD	2,906.75	
07SEP17	S	1	PD07DEC17	6906.00	6787.23	USD	2,969.25	
07SEP17	B	1	PD07DEC17	6899.00	6787.23	USD	-2,794.25	
07SEP17	B	1	PD07DEC17	6898.50	6787.23	USD	-2,781.75	
	NET	0 AVG BGHT 6875.65	SOLD 6892.04				16,387.50	0.00
08SEP17	S	1	PD08DEC17	6911.50	6787.71	USD	3,094.75	
08SEP17	S	1	PD08DEC17	6900.00	6787.71	USD	2,807.25	
08SEP17	S	2	PD08DEC17	6899.00	6787.71	USD	5,564.50	
08SEP17	B	1	PD08DEC17	6850.50	6787.71	USD	-1,569.75	
08SEP17	B	2	PD08DEC17	6850.00	6787.71	USD	-3,114.50	
08SEP17	B	1	PD08DEC17	6849.50	6787.71	USD	-1,544.75	
08SEP17	B	1	PD08DEC17	6848.50	6787.71	USD	-1,519.75	
08SEP17	B	1	PD08DEC17	6846.00	6787.71	USD	-1,457.25	
08SEP17	B	1	PD08DEC17	6844.50	6787.71	USD	-1,419.75	
08SEP17	B	4	PD08DEC17	6845.50	6787.71	USD	-5,779.00	
08SEP17	B	1	PD08DEC17	6853.00	6787.71	USD	-1,632.25	
08SEP17	B	3	PD08DEC17	6851.00	6787.71	USD	-4,746.75	
08SEP17	S	2	PD08DEC17	6847.00	6787.71	USD	2,964.50	
08SEP17	S	2	PD08DEC17	6840.50	6787.71	USD	2,639.50	
08SEP17	S	1	PD08DEC17	6840.00	6787.71	USD	1,307.25	
08SEP17	S	1	PD08DEC17	6838.50	6787.71	USD	1,269.75	
08SEP17	S	5	PD08DEC17	6835.00	6787.71	USD	5,911.25	
08SEP17	S	1	PD08DEC17	6834.50	6787.71	USD	1,169.75	
08SEP17	S	3	PD08DEC17	6833.00	6787.71	USD	3,396.75	
08SEP17	S	2	PD08DEC17	6824.00	6787.71	USD	1,814.50	
08SEP17	B	1	PD08DEC17	6829.00	6787.71	USD	-1,032.25	
08SEP17	B	1	PD08DEC17	6822.00	6787.71	USD	-857.25	
08SEP17	B	1	PD08DEC17	6819.00	6787.71	USD	-782.25	
08SEP17	B	1	PD08DEC17	6825.50	6787.71	USD	-944.75	
08SEP17	B	1	PD08DEC17	6818.00	6787.71	USD	-757.25	
08SEP17	B	1	PD08DEC17	6815.50	6787.71	USD	-694.75	
08SEP17	B	1	PD08DEC17	6814.50	6787.71	USD	-669.75	
08SEP17	B	1	PD08DEC17	6821.50	6787.71	USD	-844.75	
08SEP17	B	3	PD08DEC17	6824.50	6787.71	USD	-2,759.25	
08SEP17	S	1	PD08DEC17	6824.50	6787.71	USD	919.75	
08SEP17	B	1	PD08DEC17	6825.00	6787.71	USD	-932.25	
08SEP17	B	1	PD08DEC17	6812.50	6787.71	USD	-619.75	
08SEP17	B	1	PD08DEC17	6807.00	6787.71	USD	-482.25	
08SEP17	B	1	PD08DEC17	6803.50	6787.71	USD	-394.75	
08SEP17	B	1	PD08DEC17	6801.50	6787.71	USD	-344.75	
08SEP17	S	3	PD08DEC17	6801.00	6787.71	USD	996.75	
08SEP17	B	1	PD08DEC17	6808.50	6787.71	USD	-519.75	
08SEP17	B	1	PD08DEC17	6811.00	6787.71	USD	-582.25	
08SEP17	B	1	PD08DEC17	6799.00	6787.71	USD	-282.25	
08SEP17	B	1	PD08DEC17	6796.50	6787.71	USD	-219.75	
08SEP17	B	1	PD08DEC17	6794.50	6787.71	USD	-169.75	
08SEP17	B	3	PD08DEC17	6794.00	6787.71	USD	-471.75	
08SEP17	B	1	PD08DEC17	6805.50	6787.71	USD	-444.75	
08SEP17	B	1	PD08DEC17	6805.00	6787.71	USD	-432.25	
08SEP17	B	1	PD08DEC17	6797.50	6787.71	USD	-244.75	
08SEP17	B	2	PD08DEC17	6800.00	6787.71	USD	-614.50	
08SEP17	B	1	PD08DEC17	6798.00	6787.71	USD	-257.25	
08SEP17	B	1	PD08DEC17	6797.00	6787.71	USD	-232.25	
08SEP17	B	4	PD08DEC17	6796.00	6787.71	USD	-829.00	
08SEP17	B	2	PD08DEC17	6799.50	6787.71	USD	-589.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6900.00	6787.71	USD	2,807.25	
08SEP17	S	1	PD08DEC17	6839.00	6787.71	USD	1,282.25	
08SEP17	S	1	PD08DEC17	6736.00	6787.71	USD	-1,292.75	
08SEP17	S	2	PD08DEC17	6738.00	6787.71	USD	-2,485.50	
08SEP17	S	1	PD08DEC17	6743.50	6787.71	USD	-1,105.25	
08SEP17	S	4	PD08DEC17	6745.50	6787.71	USD	-4,221.00	
08SEP17	S	1	PD08DEC17	6747.00	6787.71	USD	-1,017.75	
08SEP17	S	1	PD08DEC17	6749.50	6787.71	USD	-955.25	
08SEP17	S	1	PD08DEC17	6753.50	6787.71	USD	-855.25	
08SEP17	S	1	PD08DEC17	6749.00	6787.71	USD	-967.75	
08SEP17	S	3	PD08DEC17	6746.00	6787.71	USD	-3,128.25	
08SEP17	B	1	PD08DEC17	6713.00	6787.71	USD	1,867.75	
08SEP17	S	1	PD08DEC17	6719.50	6787.71	USD	-1,705.25	
08SEP17	S	1	PD08DEC17	6706.00	6787.71	USD	-2,042.75	
08SEP17	B	1	PD08DEC17	6703.50	6787.71	USD	2,105.25	
08SEP17	B	2	PD08DEC17	6697.50	6787.71	USD	4,510.50	
08SEP17	B	3	PD08DEC17	6698.00	6787.71	USD	6,728.25	
08SEP17	B	2	PD08DEC17	6695.50	6787.71	USD	4,610.50	
08SEP17	B	7	PD08DEC17	6695.00	6787.71	USD	16,224.25	
08SEP17	S	4	PD08DEC17	6683.00	6787.71	USD	-10,471.00	
08SEP17	S	1	PD08DEC17	6680.50	6787.71	USD	-2,680.25	
08SEP17	S	1	PD08DEC17	6673.00	6787.71	USD	-2,867.75	
08SEP17	S	1	PD08DEC17	6673.50	6787.71	USD	-2,855.25	
08SEP17	S	3	PD08DEC17	6675.00	6787.71	USD	-8,453.25	
08SEP17	S	1	PD08DEC17	6670.50	6787.71	USD	-2,930.25	
08SEP17	S	2	PD08DEC17	6672.00	6787.71	USD	-5,785.50	
08SEP17	S	2	PD08DEC17	6672.50	6787.71	USD	-5,760.50	
08SEP17	S	1	PD08DEC17	6677.00	6787.71	USD	-2,767.75	
08SEP17	S	2	PD08DEC17	6678.50	6787.71	USD	-5,460.50	
08SEP17	S	3	PD08DEC17	6677.50	6787.71	USD	-8,265.75	
08SEP17	S	1	PD08DEC17	6680.00	6787.71	USD	-2,692.75	
08SEP17	S	1	PD08DEC17	6681.50	6787.71	USD	-2,655.25	
08SEP17	S	1	PD08DEC17	6682.00	6787.71	USD	-2,642.75	
08SEP17	S	2	PD08DEC17	6684.00	6787.71	USD	-5,185.50	
08SEP17	S	1	PD08DEC17	6686.50	6787.71	USD	-2,530.25	
08SEP17	S	4	PD08DEC17	6675.50	6787.71	USD	-11,221.00	
08SEP17	B	1	PD08DEC17	6697.00	6787.71	USD	2,267.75	
08SEP17	B	1	PD08DEC17	6673.00	6787.71	USD	2,867.75	
13SEP17	B	5	PD08DEC17	6551.46	6787.71	USD	29,531.25	
	NET	0AVG BGHT 6771.75	SOLD 6751.95				-37,132.50	0.00
11SEP17	B	4	PD11DEC17	6708.50	6789.15	USD	8,065.00	
11SEP17	B	1	PD11DEC17	6705.00	6789.15	USD	2,103.75	
11SEP17	B	1	PD11DEC17	6731.50	6789.15	USD	1,441.25	
11SEP17	B	2	PD11DEC17	6732.50	6789.15	USD	2,832.50	
11SEP17	B	2	PD11DEC17	6733.50	6789.15	USD	2,782.50	
11SEP17	B	5	PD11DEC17	6735.00	6789.15	USD	6,768.75	
11SEP17	S	1	PD11DEC17	6758.00	6789.15	USD	-778.75	
11SEP17	S	2	PD11DEC17	6769.00	6789.15	USD	-1,007.50	
11SEP17	S	1	PD11DEC17	6766.50	6789.15	USD	-566.25	
11SEP17	S	1	PD11DEC17	6765.50	6789.15	USD	-591.25	
11SEP17	S	1	PD11DEC17	6766.00	6789.15	USD	-578.75	
11SEP17	S	1	PD11DEC17	6761.00	6789.15	USD	-703.75	
11SEP17	B	3	PD11DEC17	6766.00	6789.15	USD	1,736.25	
11SEP17	B	2	PD11DEC17	6771.00	6789.15	USD	907.50	
11SEP17	B	1	PD11DEC17	6771.50	6789.15	USD	441.25	
11SEP17	B	3	PD11DEC17	6765.00	6789.15	USD	1,811.25	
11SEP17	B	1	PD11DEC17	6764.50	6789.15	USD	616.25	

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11SEP17	B	2	PD11DEC17	6763.50	6789.15	USD	1,282.50	
11SEP17	B	4	PD11DEC17	6761.50	6789.15	USD	2,765.00	
11SEP17	B	1	PD11DEC17	6760.50	6789.15	USD	716.25	
11SEP17	B	1	PD11DEC17	6760.00	6789.15	USD	728.75	
11SEP17	B	3	PD11DEC17	6763.00	6789.15	USD	1,961.25	
11SEP17	B	3	PD11DEC17	6762.50	6789.15	USD	1,998.75	
11SEP17	B	2	PD11DEC17	6761.00	6789.15	USD	1,407.50	
11SEP17	S	1	PD11DEC17	6770.00	6789.15	USD	-478.75	
11SEP17	S	2	PD11DEC17	6769.00	6789.15	USD	-1,007.50	
11SEP17	S	1	PD11DEC17	6766.50	6789.15	USD	-566.25	
11SEP17	B	1	PD11DEC17	6747.00	6789.15	USD	1,053.75	
11SEP17	B	1	PD11DEC17	6745.50	6789.15	USD	1,091.25	
11SEP17	B	5	PD11DEC17	6749.50	6789.15	USD	4,956.25	
11SEP17	B	2	PD11DEC17	6748.50	6789.15	USD	2,032.50	
11SEP17	B	1	PD11DEC17	6751.00	6789.15	USD	953.75	
11SEP17	S	3	PD11DEC17	6747.00	6789.15	USD	-3,161.25	
11SEP17	S	1	PD11DEC17	6749.00	6789.15	USD	-1,003.75	
11SEP17	S	1	PD11DEC17	6748.00	6789.15	USD	-1,028.75	
11SEP17	S	1	PD11DEC17	6746.00	6789.15	USD	-1,078.75	
11SEP17	S	2	PD11DEC17	6746.50	6789.15	USD	-2,132.50	
11SEP17	S	2	PD11DEC17	6744.50	6789.15	USD	-2,232.50	
13SEP17	S	5	PD11DEC17	6552.55	6789.15	USD	-29,575.00	
13SEP17	S	10	PD11DEC17	6552.75	6789.15	USD	-59,100.00	
18SEP17	S	15	PD11DEC17	6523.66	6789.15	USD	-99,558.75	
	NET	0	AVG BGHT 6749.58	SOLD 6628.25			-154,696.25	0.00
12SEP17	S	3	PD12DEC17	6709.50	6789.63	USD	-6,009.75	
12SEP17	S	2	PD12DEC17	6707.50	6789.63	USD	-4,106.50	
12SEP17	S	2	PD12DEC17	6708.00	6789.63	USD	-4,081.50	
12SEP17	S	3	PD12DEC17	6708.50	6789.63	USD	-6,084.75	
12SEP17	B	1	PD12DEC17	6730.00	6789.63	USD	1,490.75	
12SEP17	B	1	PD12DEC17	6729.50	6789.63	USD	1,503.25	
12SEP17	B	2	PD12DEC17	6729.00	6789.63	USD	3,031.50	
12SEP17	B	3	PD12DEC17	6728.50	6789.63	USD	4,584.75	
12SEP17	B	3	PD12DEC17	6728.00	6789.63	USD	4,622.25	
12SEP17	B	1	PD12DEC17	6698.00	6789.63	USD	2,290.75	
12SEP17	B	1	PD12DEC17	6699.50	6789.63	USD	2,253.25	
12SEP17	B	1	PD12DEC17	6697.00	6789.63	USD	2,315.75	
12SEP17	B	1	PD12DEC17	6695.50	6789.63	USD	2,353.25	
12SEP17	B	2	PD12DEC17	6689.50	6789.63	USD	5,006.50	
12SEP17	B	2	PD12DEC17	6692.00	6789.63	USD	4,881.50	
12SEP17	B	1	PD12DEC17	6688.00	6789.63	USD	2,540.75	
12SEP17	B	4	PD12DEC17	6691.00	6789.63	USD	9,863.00	
12SEP17	B	2	PD12DEC17	6691.00	6789.63	USD	4,931.50	
12SEP17	S	1	PD12DEC17	6641.00	6789.63	USD	-3,715.75	
12SEP17	S	1	PD12DEC17	6647.50	6789.63	USD	-3,553.25	
12SEP17	S	1	PD12DEC17	6648.50	6789.63	USD	-3,528.25	
12SEP17	S	1	PD12DEC17	6647.00	6789.63	USD	-3,565.75	
12SEP17	S	2	PD12DEC17	6648.00	6789.63	USD	-7,081.50	
12SEP17	S	1	PD12DEC17	6646.00	6789.63	USD	-3,590.75	
12SEP17	S	4	PD12DEC17	6645.00	6789.63	USD	-14,463.00	
12SEP17	S	2	PD12DEC17	6642.50	6789.63	USD	-7,356.50	
12SEP17	S	1	PD12DEC17	6640.50	6789.63	USD	-3,728.25	
12SEP17	S	2	PD12DEC17	6644.50	6789.63	USD	-7,256.50	
12SEP17	S	7	PD12DEC17	6645.50	6789.63	USD	-25,222.75	
12SEP17	B	1	PD12DEC17	6646.50	6789.63	USD	3,578.25	
12SEP17	S	13	PD12DEC17	6646.50	6789.63	USD	-46,517.25	
12SEP17	S	3	PD12DEC17	6656.00	6789.63	USD	-10,022.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	6654.00	6789.63	USD	-3,390.75	
12SEP17	S	2	PD12DEC17	6652.50	6789.63	USD	-6,856.50	
12SEP17	S	1	PD12DEC17	6665.50	6789.63	USD	-3,103.25	
12SEP17	S	1	PD12DEC17	6665.00	6789.63	USD	-3,115.75	
12SEP17	S	1	PD12DEC17	6666.50	6789.63	USD	-3,078.25	
12SEP17	S	1	PD12DEC17	6676.50	6789.63	USD	-2,828.25	
12SEP17	S	1	PD12DEC17	6675.50	6789.63	USD	-2,853.25	
12SEP17	S	2	PD12DEC17	6672.50	6789.63	USD	-5,856.50	
12SEP17	S	3	PD12DEC17	6673.00	6789.63	USD	-8,747.25	
12SEP17	S	1	PD12DEC17	6675.00	6789.63	USD	-2,865.75	
12SEP17	S	2	PD12DEC17	6673.50	6789.63	USD	-5,806.50	
12SEP17	S	3	PD12DEC17	6655.50	6789.63	USD	-10,059.75	
12SEP17	B	1	PD12DEC17	6657.50	6789.63	USD	3,303.25	
12SEP17	S	3	PD12DEC17	6660.00	6789.63	USD	-9,722.25	
12SEP17	S	1	PD12DEC17	6654.00	6789.63	USD	-3,390.75	
12SEP17	B	7	PD12DEC17	6673.50	6789.63	USD	20,322.75	
12SEP17	B	5	PD12DEC17	6669.00	6789.63	USD	15,078.75	
12SEP17	B	5	PD12DEC17	6668.50	6789.63	USD	15,141.25	
12SEP17	B	4	PD12DEC17	6674.00	6789.63	USD	11,563.00	
12SEP17	B	3	PD12DEC17	6672.50	6789.63	USD	8,784.75	
12SEP17	B	8	PD12DEC17	6670.00	6789.63	USD	23,926.00	
12SEP17	S	1	PD12DEC17	6669.50	6789.63	USD	-3,003.25	
12SEP17	B	3	PD12DEC17	6670.50	6789.63	USD	8,934.75	
12SEP17	B	2	PD12DEC17	6671.00	6789.63	USD	5,931.50	
12SEP17	S	3	PD12DEC17	6670.50	6789.63	USD	-8,934.75	
12SEP17	B	2	PD12DEC17	6674.00	6789.63	USD	5,781.50	
13SEP17	B	10	PD12DEC17	6553.08	6789.63	USD	59,137.50	
	NET	0AVG BGHT 6666.92	SOLD 6661.47				-10,345.00	0.00
13SEP17	S	1	PD13DEC17	6657.00	6790.10	USD	-3,327.50	
13SEP17	S	2	PD13DEC17	6652.00	6790.10	USD	-6,905.00	
13SEP17	S	1	PD13DEC17	6646.00	6790.10	USD	-3,602.50	
13SEP17	S	1	PD13DEC17	6643.50	6790.10	USD	-3,665.00	
13SEP17	B	1	PD13DEC17	6624.00	6790.10	USD	4,152.50	
13SEP17	B	1	PD13DEC17	6620.50	6790.10	USD	4,240.00	
13SEP17	B	1	PD13DEC17	6616.50	6790.10	USD	4,340.00	
13SEP17	B	2	PD13DEC17	6623.00	6790.10	USD	8,355.00	
13SEP17	B	1	PD13DEC17	6629.00	6790.10	USD	4,027.50	
13SEP17	B	2	PD13DEC17	6619.00	6790.10	USD	8,555.00	
13SEP17	B	1	PD13DEC17	6620.00	6790.10	USD	4,252.50	
13SEP17	B	1	PD13DEC17	6621.00	6790.10	USD	4,227.50	
13SEP17	B	2	PD13DEC17	6619.50	6790.10	USD	8,530.00	
13SEP17	B	1	PD13DEC17	6618.50	6790.10	USD	4,290.00	
13SEP17	B	1	PD13DEC17	6622.00	6790.10	USD	4,202.50	
13SEP17	B	1	PD13DEC17	6580.00	6790.10	USD	5,252.50	
13SEP17	B	1	PD13DEC17	6578.50	6790.10	USD	5,290.00	
13SEP17	B	2	PD13DEC17	6577.50	6790.10	USD	10,630.00	
13SEP17	B	1	PD13DEC17	6579.00	6790.10	USD	5,277.50	
13SEP17	B	3	PD13DEC17	6569.00	6790.10	USD	16,582.50	
13SEP17	B	2	PD13DEC17	6572.50	6790.10	USD	10,880.00	
13SEP17	B	2	PD13DEC17	6572.00	6790.10	USD	10,905.00	
13SEP17	B	7	PD13DEC17	6570.00	6790.10	USD	38,517.50	
13SEP17	B	1	PD13DEC17	6569.50	6790.10	USD	5,515.00	
13SEP17	B	2	PD13DEC17	6566.00	6790.10	USD	11,205.00	
13SEP17	B	1	PD13DEC17	6565.00	6790.10	USD	5,627.50	
13SEP17	B	3	PD13DEC17	6564.50	6790.10	USD	16,920.00	
13SEP17	B	3	PD13DEC17	6565.50	6790.10	USD	16,845.00	
13SEP17	B	1	PD13DEC17	6566.50	6790.10	USD	5,590.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6570.50	6790.10	USD	-5,490.00	
13SEP17	S	1	PD13DEC17	6572.00	6790.10	USD	-5,452.50	
13SEP17	S	5	PD13DEC17	6571.00	6790.10	USD	-27,387.50	
13SEP17	B	1	PD13DEC17	6629.00	6790.10	USD	4,027.50	
13SEP17	S	2	PD13DEC17	6571.00	6790.10	USD	-10,955.00	
13SEP17	S	1	PD13DEC17	6570.50	6790.10	USD	-5,490.00	
13SEP17	S	1	PD13DEC17	6558.00	6790.10	USD	-5,802.50	
13SEP17	S	1	PD13DEC17	6558.50	6790.10	USD	-5,790.00	
13SEP17	S	1	PD13DEC17	6560.50	6790.10	USD	-5,740.00	
13SEP17	S	2	PD13DEC17	6559.00	6790.10	USD	-11,555.00	
13SEP17	S	2	PD13DEC17	6555.50	6790.10	USD	-11,730.00	
13SEP17	S	1	PD13DEC17	6554.50	6790.10	USD	-5,890.00	
13SEP17	S	2	PD13DEC17	6553.50	6790.10	USD	-11,830.00	
13SEP17	S	2	PD13DEC17	6555.00	6790.10	USD	-11,755.00	
13SEP17	S	3	PD13DEC17	6550.50	6790.10	USD	-17,970.00	
13SEP17	S	1	PD13DEC17	6546.50	6790.10	USD	-6,090.00	
13SEP17	S	2	PD13DEC17	6547.50	6790.10	USD	-12,130.00	
13SEP17	B	1	PD13DEC17	6553.50	6790.10	USD	5,915.00	
13SEP17	B	1	PD13DEC17	6552.50	6790.10	USD	5,940.00	
13SEP17	B	1	PD13DEC17	6554.00	6790.10	USD	5,902.50	
18SEP17	S	15	PD13DEC17	6524.62	6790.10	USD	-99,555.00	
	NET	0AVG BGHT 6585.10	SOLD 6558.34				-32,117.50	0.00
14SEP17	B	1	PD14DEC17	6523.00	6790.58	USD	6,689.50	
14SEP17	B	1	PD14DEC17	6522.00	6790.58	USD	6,714.50	
14SEP17	B	1	PD14DEC17	6524.00	6790.58	USD	6,664.50	
14SEP17	B	1	PD14DEC17	6522.50	6790.58	USD	6,702.00	
14SEP17	B	1	PD14DEC17	6516.00	6790.58	USD	6,864.50	
14SEP17	B	1	PD14DEC17	6513.00	6790.58	USD	6,939.50	
14SEP17	B	1	PD14DEC17	6512.00	6790.58	USD	6,964.50	
14SEP17	B	1	PD14DEC17	6515.00	6790.58	USD	6,889.50	
14SEP17	B	1	PD14DEC17	6512.50	6790.58	USD	6,952.00	
14SEP17	B	5	PD14DEC17	6514.50	6790.58	USD	34,510.00	
14SEP17	B	1	PD14DEC17	6498.00	6790.58	USD	7,314.50	
14SEP17	B	1	PD14DEC17	6497.50	6790.58	USD	7,327.00	
14SEP17	B	1	PD14DEC17	6489.50	6790.58	USD	7,527.00	
14SEP17	B	1	PD14DEC17	6488.00	6790.58	USD	7,564.50	
14SEP17	S	2	PD14DEC17	6467.50	6790.58	USD	-16,154.00	
14SEP17	S	1	PD14DEC17	6470.00	6790.58	USD	-8,014.50	
14SEP17	S	1	PD14DEC17	6469.50	6790.58	USD	-8,027.00	
14SEP17	S	1	PD14DEC17	6466.50	6790.58	USD	-8,102.00	
14SEP17	S	1	PD14DEC17	6457.50	6790.58	USD	-8,327.00	
14SEP17	S	1	PD14DEC17	6459.00	6790.58	USD	-8,289.50	
14SEP17	S	1	PD14DEC17	6458.00	6790.58	USD	-8,314.50	
14SEP17	S	1	PD14DEC17	6460.00	6790.58	USD	-8,264.50	
14SEP17	S	3	PD14DEC17	6459.50	6790.58	USD	-24,831.00	
14SEP17	B	1	PD14DEC17	6462.50	6790.58	USD	8,202.00	
14SEP17	S	3	PD14DEC17	6460.50	6790.58	USD	-24,756.00	
14SEP17	S	1	PD14DEC17	6454.00	6790.58	USD	-8,414.50	
14SEP17	S	1	PD14DEC17	6455.00	6790.58	USD	-8,389.50	
14SEP17	S	1	PD14DEC17	6455.50	6790.58	USD	-8,377.00	
14SEP17	B	1	PD14DEC17	6456.50	6790.58	USD	8,352.00	
14SEP17	S	1	PD14DEC17	6458.50	6790.58	USD	-8,302.00	
14SEP17	S	1	PD14DEC17	6456.00	6790.58	USD	-8,364.50	
14SEP17	B	1	PD14DEC17	6458.00	6790.58	USD	8,314.50	
14SEP17	B	3	PD14DEC17	6465.00	6790.58	USD	24,418.50	
14SEP17	B	1	PD14DEC17	6466.00	6790.58	USD	8,114.50	
14SEP17	S	1	PD14DEC17	6471.00	6790.58	USD	-7,989.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	6472.50	6790.58	USD	-7,952.00	
14SEP17	S	1	PD14DEC17	6472.00	6790.58	USD	-7,964.50	
14SEP17	S	1	PD14DEC17	6471.50	6790.58	USD	-7,977.00	
14SEP17	S	2	PD14DEC17	6473.00	6790.58	USD	-15,879.00	
14SEP17	S	1	PD14DEC17	6474.00	6790.58	USD	-7,914.50	
14SEP17	B	1	PD14DEC17	6479.00	6790.58	USD	7,789.50	
14SEP17	S	1	PD14DEC17	6501.00	6790.58	USD	-7,239.50	
14SEP17	S	3	PD14DEC17	6500.00	6790.58	USD	-21,793.50	
14SEP17	S	1	PD14DEC17	6489.00	6790.58	USD	-7,539.50	
14SEP17	S	1	PD14DEC17	6488.00	6790.58	USD	-7,564.50	
14SEP17	S	1	PD14DEC17	6487.50	6790.58	USD	-7,577.00	
14SEP17	S	1	PD14DEC17	6488.50	6790.58	USD	-7,552.00	
14SEP17	S	1	PD14DEC17	6490.00	6790.58	USD	-7,514.50	
14SEP17	S	1	PD14DEC17	6490.50	6790.58	USD	-7,502.00	
14SEP17	S	1	PD14DEC17	6505.50	6790.58	USD	-7,127.00	
14SEP17	S	1	PD14DEC17	6507.50	6790.58	USD	-7,077.00	
14SEP17	S	1	PD14DEC17	6501.50	6790.58	USD	-7,227.00	
14SEP17	S	2	PD14DEC17	6517.50	6790.58	USD	-13,654.00	
14SEP17	S	1	PD14DEC17	6519.00	6790.58	USD	-6,789.50	
14SEP17	B	1	PD14DEC17	6517.00	6790.58	USD	6,839.50	
14SEP17	B	2	PD14DEC17	6518.00	6790.58	USD	13,629.00	
14SEP17	B	1	PD14DEC17	6520.00	6790.58	USD	6,764.50	
14SEP17	B	7	PD14DEC17	6518.50	6790.58	USD	47,614.00	
14SEP17	B	1	PD14DEC17	6520.50	6790.58	USD	6,752.00	
14SEP17	S	1	PD14DEC17	6525.00	6790.58	USD	-6,639.50	
14SEP17	S	4	PD14DEC17	6523.00	6790.58	USD	-26,758.00	
14SEP17	S	2	PD14DEC17	6525.50	6790.58	USD	-13,254.00	
14SEP17	S	2	PD14DEC17	6524.50	6790.58	USD	-13,304.00	
14SEP17	S	1	PD14DEC17	6523.50	6790.58	USD	-6,677.00	
14SEP17	S	2	PD14DEC17	6512.50	6790.58	USD	-13,904.00	
14SEP17	S	2	PD14DEC17	6515.00	6790.58	USD	-13,779.00	
14SEP17	S	2	PD14DEC17	6514.00	6790.58	USD	-13,829.00	
14SEP17	S	1	PD14DEC17	6510.50	6790.58	USD	-7,002.00	
14SEP17	S	1	PD14DEC17	6509.50	6790.58	USD	-7,027.00	
14SEP17	S	1	PD14DEC17	6518.00	6790.58	USD	-6,814.50	
14SEP17	S	2	PD14DEC17	6517.00	6790.58	USD	-13,679.00	
14SEP17	S	4	PD14DEC17	6516.00	6790.58	USD	-27,458.00	
14SEP17	S	1	PD14DEC17	6509.00	6790.58	USD	-7,039.50	
14SEP17	S	2	PD14DEC17	6506.50	6790.58	USD	-14,204.00	
14SEP17	S	1	PD14DEC17	6508.00	6790.58	USD	-7,064.50	
14SEP17	S	1	PD14DEC17	6506.00	6790.58	USD	-7,114.50	
14SEP17	S	1	PD14DEC17	6505.00	6790.58	USD	-7,139.50	
14SEP17	S	1	PD14DEC17	6473.00	6790.58	USD	-7,939.50	
14SEP17	S	3	PD14DEC17	6500.50	6790.58	USD	-21,756.00	
14SEP17	S	3	PD14DEC17	6504.50	6790.58	USD	-21,456.00	
14SEP17	S	2	PD14DEC17	6502.50	6790.58	USD	-14,404.00	
14SEP17	S	5	PD14DEC17	6503.50	6790.58	USD	-35,885.00	
18SEP17	B	30	PD14DEC17	6525.29	6790.58	USD	198,967.50	
19SEP17	B	15	PD14DEC17	6537.02	6790.58	USD	95,085.00	
20SEP17	B	5	PD14DEC17	6524.89	6790.58	USD	33,211.25	
	NET	0AVG BGHT 6518.00	SOLD 6494.72				-51,211.25	0.00
15SEP17	S	1	PD15DEC17	6488.50	6791.06	USD	-7,564.00	
15SEP17	S	3	PD15DEC17	6488.00	6791.06	USD	-22,729.50	
15SEP17	S	5	PD15DEC17	6487.00	6791.06	USD	-38,007.50	
15SEP17	B	1	PD15DEC17	6493.50	6791.06	USD	7,439.00	
15SEP17	B	2	PD15DEC17	6495.50	6791.06	USD	14,778.00	
15SEP17	B	3	PD15DEC17	6494.50	6791.06	USD	22,242.00	

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15SEP17	B	1	PD15DEC17	6489.50	6791.06	USD	7,539.00	
15SEP17	B	1	PD15DEC17	6494.00	6791.06	USD	7,426.50	
15SEP17	B	1	PD15DEC17	6496.50	6791.06	USD	7,364.00	
15SEP17	B	2	PD15DEC17	6502.50	6791.06	USD	14,428.00	
15SEP17	S	1	PD15DEC17	6509.00	6791.06	USD	-7,051.50	
15SEP17	S	1	PD15DEC17	6511.00	6791.06	USD	-7,001.50	
15SEP17	S	1	PD15DEC17	6511.50	6791.06	USD	-6,989.00	
15SEP17	B	1	PD15DEC17	6511.50	6791.06	USD	6,989.00	
15SEP17	S	1	PD15DEC17	6515.00	6791.06	USD	-6,901.50	
15SEP17	S	2	PD15DEC17	6516.00	6791.06	USD	-13,753.00	
15SEP17	S	1	PD15DEC17	6516.50	6791.06	USD	-6,864.00	
15SEP17	S	3	PD15DEC17	6512.50	6791.06	USD	-20,892.00	
15SEP17	S	3	PD15DEC17	6510.50	6791.06	USD	-21,042.00	
15SEP17	S	1	PD15DEC17	6507.00	6791.06	USD	-7,101.50	
15SEP17	S	2	PD15DEC17	6513.50	6791.06	USD	-13,878.00	
15SEP17	S	3	PD15DEC17	6514.50	6791.06	USD	-20,742.00	
15SEP17	S	1	PD15DEC17	6523.50	6791.06	USD	-6,689.00	
15SEP17	S	1	PD15DEC17	6524.50	6791.06	USD	-6,664.00	
15SEP17	S	1	PD15DEC17	6519.00	6791.06	USD	-6,801.50	
15SEP17	B	2	PD15DEC17	6499.50	6791.06	USD	14,578.00	
15SEP17	B	1	PD15DEC17	6505.50	6791.06	USD	7,139.00	
15SEP17	B	2	PD15DEC17	6505.00	6791.06	USD	14,303.00	
15SEP17	B	2	PD15DEC17	6504.50	6791.06	USD	14,328.00	
15SEP17	B	4	PD15DEC17	6506.00	6791.06	USD	28,506.00	
15SEP17	B	1	PD15DEC17	6509.00	6791.06	USD	7,051.50	
15SEP17	B	2	PD15DEC17	6508.00	6791.06	USD	14,153.00	
15SEP17	B	1	PD15DEC17	6494.50	6791.06	USD	7,414.00	
15SEP17	B	2	PD15DEC17	6495.00	6791.06	USD	14,803.00	
15SEP17	S	1	PD15DEC17	6512.00	6791.06	USD	-6,976.50	
15SEP17	S	2	PD15DEC17	6523.50	6791.06	USD	-13,378.00	
15SEP17	B	2	PD15DEC17	6507.00	6791.06	USD	14,203.00	
15SEP17	S	1	PD15DEC17	6507.50	6791.06	USD	-7,089.00	
15SEP17	B	1	PD15DEC17	6508.50	6791.06	USD	7,064.00	
15SEP17	B	2	PD15DEC17	6502.00	6791.06	USD	14,453.00	
15SEP17	B	2	PD15DEC17	6501.50	6791.06	USD	14,478.00	
15SEP17	B	10	PD15DEC17	6495.00	6791.06	USD	74,015.00	
15SEP17	S	1	PD15DEC17	6497.00	6791.06	USD	-7,351.50	
15SEP17	S	2	PD15DEC17	6499.00	6791.06	USD	-14,603.00	
15SEP17	S	3	PD15DEC17	6498.00	6791.06	USD	-21,979.50	
15SEP17	S	3	PD15DEC17	6493.50	6791.06	USD	-22,317.00	
15SEP17	B	1	PD15DEC17	6498.50	6791.06	USD	7,314.00	
15SEP17	S	3	PD15DEC17	6494.00	6791.06	USD	-22,279.50	
15SEP17	S	1	PD15DEC17	6492.50	6791.06	USD	-7,464.00	
15SEP17	S	2	PD15DEC17	6489.50	6791.06	USD	-15,078.00	
15SEP17	S	3	PD15DEC17	6495.00	6791.06	USD	-22,204.50	
15SEP17	S	1	PD15DEC17	6490.50	6791.06	USD	-7,514.00	
15SEP17	S	1	PD15DEC17	6488.00	6791.06	USD	-7,576.50	
15SEP17	S	5	PD15DEC17	6491.50	6791.06	USD	-37,445.00	
15SEP17	B	1	PD15DEC17	6498.00	6791.06	USD	7,326.50	
15SEP17	S	3	PD15DEC17	6496.00	6791.06	USD	-22,129.50	
15SEP17	S	1	PD15DEC17	6508.50	6791.06	USD	-7,064.00	
15SEP17	S	5	PD15DEC17	6504.00	6791.06	USD	-35,882.50	
15SEP17	S	1	PD15DEC17	6500.50	6791.06	USD	-7,264.00	
15SEP17	S	2	PD15DEC17	6501.50	6791.06	USD	-14,478.00	
15SEP17	B	1	PD15DEC17	6488.00	6791.06	USD	7,576.50	
15SEP17	S	2	PD15DEC17	6490.50	6791.06	USD	-15,028.00	
20SEP17	B	25	PD15DEC17	6525.20	6791.06	USD	166,162.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6508.32	SOLD 6501.45				-12,700.00	0.00
18SEP17	S	2	PD18DEC17	6547.50	6792.50	USD	-12,250.00	
18SEP17	S	3	PD18DEC17	6546.50	6792.50	USD	-18,450.00	
18SEP17	B	1	PD18DEC17	6533.00	6792.50	USD	6,487.50	
18SEP17	B	1	PD18DEC17	6537.00	6792.50	USD	6,387.50	
18SEP17	B	1	PD18DEC17	6534.00	6792.50	USD	6,462.50	
18SEP17	B	1	PD18DEC17	6533.50	6792.50	USD	6,475.00	
18SEP17	B	1	PD18DEC17	6531.00	6792.50	USD	6,537.50	
18SEP17	B	2	PD18DEC17	6538.50	6792.50	USD	12,700.00	
18SEP17	B	1	PD18DEC17	6541.00	6792.50	USD	6,287.50	
18SEP17	B	3	PD18DEC17	6535.50	6792.50	USD	19,275.00	
18SEP17	B	1	PD18DEC17	6535.00	6792.50	USD	6,437.50	
18SEP17	B	2	PD18DEC17	6536.50	6792.50	USD	12,800.00	
18SEP17	S	1	PD18DEC17	6535.50	6792.50	USD	-6,425.00	
18SEP17	B	1	PD18DEC17	6535.50	6792.50	USD	6,425.00	
18SEP17	S	1	PD18DEC17	6539.50	6792.50	USD	-6,325.00	
18SEP17	S	2	PD18DEC17	6538.50	6792.50	USD	-12,700.00	
18SEP17	S	1	PD18DEC17	6540.50	6792.50	USD	-6,300.00	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6541.50	6792.50	USD	-6,275.00	
18SEP17	B	5	PD18DEC17	6540.50	6792.50	USD	31,500.00	
18SEP17	S	3	PD18DEC17	6541.00	6792.50	USD	-18,862.50	
18SEP17	S	1	PD18DEC17	6535.50	6792.50	USD	-6,425.00	
18SEP17	S	1	PD18DEC17	6528.00	6792.50	USD	-6,612.50	
18SEP17	S	4	PD18DEC17	6536.50	6792.50	USD	-25,600.00	
18SEP17	S	2	PD18DEC17	6538.00	6792.50	USD	-12,725.00	
18SEP17	S	3	PD18DEC17	6539.00	6792.50	USD	-19,012.50	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
18SEP17	B	1	PD18DEC17	6527.00	6792.50	USD	6,637.50	
18SEP17	B	1	PD18DEC17	6525.00	6792.50	USD	6,687.50	
18SEP17	B	1	PD18DEC17	6524.50	6792.50	USD	6,700.00	
18SEP17	B	2	PD18DEC17	6525.50	6792.50	USD	13,350.00	
18SEP17	B	2	PD18DEC17	6529.00	6792.50	USD	13,175.00	
18SEP17	B	8	PD18DEC17	6528.00	6792.50	USD	52,900.00	
18SEP17	B	2	PD18DEC17	6532.50	6792.50	USD	13,000.00	
18SEP17	B	1	PD18DEC17	6534.50	6792.50	USD	6,450.00	
18SEP17	S	1	PD18DEC17	6532.50	6792.50	USD	-6,500.00	
18SEP17	B	3	PD18DEC17	6532.00	6792.50	USD	19,537.50	
18SEP17	B	1	PD18DEC17	6531.50	6792.50	USD	6,525.00	
18SEP17	B	1	PD18DEC17	6525.50	6792.50	USD	6,675.00	
19SEP17	S	15	PD18DEC17	6538.40	6792.50	USD	-95,287.50	
	NET	0 AVG BGHT 6532.58	SOLD 6539.06				6,962.50	0.00
19SEP17	S	1	PD19DEC17	6535.00	6792.50	USD	-6,437.50	
19SEP17	S	7	PD19DEC17	6531.50	6792.50	USD	-45,675.00	
19SEP17	S	5	PD19DEC17	6532.00	6792.50	USD	-32,562.50	
19SEP17	S	1	PD19DEC17	6531.00	6792.50	USD	-6,537.50	
19SEP17	S	1	PD19DEC17	6534.00	6792.50	USD	-6,462.50	
19SEP17	S	5	PD19DEC17	6532.50	6792.50	USD	-32,500.00	
19SEP17	S	1	PD19DEC17	6538.00	6792.50	USD	-6,362.50	
19SEP17	S	3	PD19DEC17	6539.00	6792.50	USD	-19,012.50	
19SEP17	S	1	PD19DEC17	6540.00	6792.50	USD	-6,312.50	
19SEP17	S	1	PD19DEC17	6546.00	6792.50	USD	-6,162.50	
19SEP17	S	1	PD19DEC17	6545.50	6792.50	USD	-6,175.00	
19SEP17	S	3	PD19DEC17	6546.50	6792.50	USD	-18,450.00	
19SEP17	S	5	PD19DEC17	6519.00	6792.50	USD	-34,187.50	
19SEP17	B	1	PD19DEC17	6543.50	6792.50	USD	6,225.00	
19SEP17	B	1	PD19DEC17	6542.50	6792.50	USD	6,250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	6541.00	6792.50	USD	6,287.50	
19SEP17	B	2	PD19DEC17	6538.50	6792.50	USD	12,700.00	
19SEP17	B	7	PD19DEC17	6540.00	6792.50	USD	44,187.50	
19SEP17	B	1	PD19DEC17	6549.50	6792.50	USD	6,075.00	
19SEP17	B	2	PD19DEC17	6550.50	6792.50	USD	12,100.00	
19SEP17	S	1	PD19DEC17	6544.00	6792.50	USD	-6,212.50	
19SEP17	B	3	PD19DEC17	6540.50	6792.50	USD	18,900.00	
19SEP17	B	8	PD19DEC17	6542.00	6792.50	USD	50,100.00	
19SEP17	B	4	PD19DEC17	6539.50	6792.50	USD	25,300.00	
19SEP17	B	1	PD19DEC17	6537.50	6792.50	USD	6,375.00	
19SEP17	B	3	PD19DEC17	6545.00	6792.50	USD	18,562.50	
19SEP17	B	3	PD19DEC17	6544.00	6792.50	USD	18,637.50	
19SEP17	B	2	PD19DEC17	6536.50	6792.50	USD	12,800.00	
19SEP17	B	1	PD19DEC17	6518.50	6792.50	USD	6,850.00	
19SEP17	B	1	PD19DEC17	6521.00	6792.50	USD	6,787.50	
19SEP17	B	1	PD19DEC17	6522.00	6792.50	USD	6,762.50	
19SEP17	B	1	PD19DEC17	6523.00	6792.50	USD	6,737.50	
19SEP17	B	4	PD19DEC17	6534.50	6792.50	USD	25,800.00	
19SEP17	B	1	PD19DEC17	6533.50	6792.50	USD	6,475.00	
19SEP17	B	2	PD19DEC17	6529.00	6792.50	USD	13,175.00	
19SEP17	B	2	PD19DEC17	6527.00	6792.50	USD	13,275.00	
19SEP17	B	2	PD19DEC17	6520.00	6792.50	USD	13,625.00	
19SEP17	B	1	PD19DEC17	6529.50	6792.50	USD	6,575.00	
19SEP17	B	1	PD19DEC17	6520.50	6792.50	USD	6,800.00	
19SEP17	B	3	PD19DEC17	6524.50	6792.50	USD	20,100.00	
19SEP17	B	1	PD19DEC17	6524.00	6792.50	USD	6,712.50	
19SEP17	B	18	PD19DEC17	6525.00	6792.50	USD	120,375.00	
19SEP17	B	1	PD19DEC17	6522.50	6792.50	USD	6,750.00	
19SEP17	S	1	PD19DEC17	6521.00	6792.50	USD	-6,787.50	
19SEP17	B	5	PD19DEC17	6517.50	6792.50	USD	34,375.00	
19SEP17	B	9	PD19DEC17	6518.00	6792.50	USD	61,762.50	
19SEP17	B	1	PD19DEC17	6516.00	6792.50	USD	6,912.50	
19SEP17	B	4	PD19DEC17	6515.00	6792.50	USD	27,750.00	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	B	1	PD19DEC17	6515.50	6792.50	USD	6,925.00	
19SEP17	B	2	PD19DEC17	6516.50	6792.50	USD	13,800.00	
19SEP17	B	4	PD19DEC17	6514.50	6792.50	USD	27,800.00	
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
28SEP17	S	5	PD19DEC17	6519.53	6792.50	USD	-34,121.25	
03OCT17	S	50	PD19DEC17	6517.35	6792.50	USD	-343,937.50	
06OCT17	S	15	PD19DEC17	6662.00	6792.50	USD	-48,937.50	
	NET	0AVG BGHT 6529.38	SOLD 6543.22				37,016.25	0.00
20SEP17	S	1	PD20DEC17	6555.00	6792.50	USD	-5,937.50	
20SEP17	S	1	PD20DEC17	6556.50	6792.50	USD	-5,900.00	
20SEP17	S	1	PD20DEC17	6558.00	6792.50	USD	-5,862.50	
20SEP17	S	1	PD20DEC17	6559.50	6792.50	USD	-5,825.00	
20SEP17	S	1	PD20DEC17	6560.00	6792.50	USD	-5,812.50	
20SEP17	S	2	PD20DEC17	6558.50	6792.50	USD	-11,700.00	
20SEP17	S	3	PD20DEC17	6557.50	6792.50	USD	-17,625.00	
20SEP17	S	1	PD20DEC17	6561.00	6792.50	USD	-5,787.50	
20SEP17	S	4	PD20DEC17	6560.50	6792.50	USD	-23,200.00	
20SEP17	S	5	PD20DEC17	6562.00	6792.50	USD	-28,812.50	
20SEP17	S	3	PD20DEC17	6508.00	6792.50	USD	-21,337.50	
20SEP17	S	1	PD20DEC17	6522.00	6792.50	USD	-6,762.50	
20SEP17	B	2	PD20DEC17	6523.50	6792.50	USD	13,450.00	
20SEP17	S	1	PD20DEC17	6524.00	6792.50	USD	-6,712.50	
20SEP17	S	1	PD20DEC17	6519.50	6792.50	USD	-6,825.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	2	PD20DEC17	6520.00	6792.50	USD	13,625.00	
20SEP17	B	1	PD20DEC17	6522.50	6792.50	USD	6,750.00	
20SEP17	B	1	PD20DEC17	6523.00	6792.50	USD	6,737.50	
20SEP17	B	1	PD20DEC17	6525.00	6792.50	USD	6,687.50	
20SEP17	B	1	PD20DEC17	6529.00	6792.50	USD	6,587.50	
20SEP17	B	1	PD20DEC17	6528.50	6792.50	USD	6,600.00	
20SEP17	B	2	PD20DEC17	6529.50	6792.50	USD	13,150.00	
20SEP17	B	4	PD20DEC17	6526.50	6792.50	USD	26,600.00	
20SEP17	B	6	PD20DEC17	6524.50	6792.50	USD	40,200.00	
20SEP17	B	2	PD20DEC17	6525.50	6792.50	USD	13,350.00	
20SEP17	S	1	PD20DEC17	6528.00	6792.50	USD	-6,612.50	
20SEP17	S	1	PD20DEC17	6527.50	6792.50	USD	-6,625.00	
20SEP17	B	1	PD20DEC17	6531.00	6792.50	USD	6,537.50	
20SEP17	B	2	PD20DEC17	6527.50	6792.50	USD	13,250.00	
20SEP17	B	1	PD20DEC17	6527.00	6792.50	USD	6,637.50	
20SEP17	B	1	PD20DEC17	6530.50	6792.50	USD	6,550.00	
20SEP17	B	2	PD20DEC17	6535.50	6792.50	USD	12,850.00	
20SEP17	S	2	PD20DEC17	6530.00	6792.50	USD	-13,125.00	
	NET	0	AVG BGHT 6526.42	SOLD 6546.55			15,100.00	0.00
21SEP17	S	2	PD21DEC17	6455.50	6791.50	USD	-16,800.00	
21SEP17	S	1	PD21DEC17	6452.50	6791.50	USD	-8,475.00	
21SEP17	S	1	PD21DEC17	6451.00	6791.50	USD	-8,512.50	
21SEP17	S	1	PD21DEC17	6454.00	6791.50	USD	-8,437.50	
21SEP17	S	1	PD21DEC17	6458.00	6791.50	USD	-8,337.50	
21SEP17	S	2	PD21DEC17	6459.00	6791.50	USD	-16,625.00	
21SEP17	S	4	PD21DEC17	6460.00	6791.50	USD	-33,150.00	
21SEP17	S	1	PD21DEC17	6459.50	6791.50	USD	-8,300.00	
21SEP17	S	6	PD21DEC17	6454.50	6791.50	USD	-50,550.00	
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	
21SEP17	S	4	PD21DEC17	6456.50	6791.50	USD	-33,500.00	
21SEP17	S	5	PD21DEC17	6450.50	6791.50	USD	-42,625.00	
21SEP17	S	1	PD21DEC17	6437.00	6791.50	USD	-8,862.50	
21SEP17	S	1	PD21DEC17	6440.50	6791.50	USD	-8,775.00	
21SEP17	S	1	PD21DEC17	6443.50	6791.50	USD	-8,700.00	
21SEP17	S	2	PD21DEC17	6442.50	6791.50	USD	-17,450.00	
21SEP17	S	3	PD21DEC17	6442.00	6791.50	USD	-26,212.50	
21SEP17	S	1	PD21DEC17	6438.50	6791.50	USD	-8,825.00	
21SEP17	S	1	PD21DEC17	6434.50	6791.50	USD	-8,925.00	
21SEP17	S	1	PD21DEC17	6435.00	6791.50	USD	-8,912.50	
21SEP17	S	1	PD21DEC17	6435.50	6791.50	USD	-8,900.00	
21SEP17	S	2	PD21DEC17	6436.50	6791.50	USD	-17,750.00	
21SEP17	S	1	PD21DEC17	6453.00	6791.50	USD	-8,462.50	
21SEP17	B	1	PD21DEC17	6445.50	6791.50	USD	8,650.00	
21SEP17	B	1	PD21DEC17	6469.50	6791.50	USD	8,050.00	
21SEP17	S	1	PD21DEC17	6456.50	6791.50	USD	-8,375.00	
21SEP17	S	2	PD21DEC17	6442.00	6791.50	USD	-17,475.00	
21SEP17	B	1	PD21DEC17	6441.00	6791.50	USD	8,762.50	
21SEP17	B	1	PD21DEC17	6446.50	6791.50	USD	8,625.00	
21SEP17	S	1	PD21DEC17	6445.00	6791.50	USD	-8,662.50	
21SEP17	B	1	PD21DEC17	6453.00	6791.50	USD	8,462.50	
21SEP17	B	1	PD21DEC17	6473.00	6791.50	USD	7,962.50	
21SEP17	B	1	PD21DEC17	6455.50	6791.50	USD	8,400.00	
21SEP17	B	1	PD21DEC17	6458.00	6791.50	USD	8,337.50	
21SEP17	S	1	PD21DEC17	6463.50	6791.50	USD	-8,200.00	
21SEP17	S	1	PD21DEC17	6467.50	6791.50	USD	-8,100.00	
21SEP17	B	1	PD21DEC17	6482.50	6791.50	USD	7,725.00	
21SEP17	B	1	PD21DEC17	6486.00	6791.50	USD	7,637.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	2	PD21DEC17	6483.50	6791.50	USD	15,400.00	
21SEP17	B	1	PD21DEC17	6477.00	6791.50	USD	7,862.50	
21SEP17	B	2	PD21DEC17	6478.00	6791.50	USD	15,675.00	
21SEP17	B	1	PD21DEC17	6474.00	6791.50	USD	7,937.50	
21SEP17	B	2	PD21DEC17	6472.00	6791.50	USD	15,975.00	
21SEP17	S	1	PD21DEC17	6477.50	6791.50	USD	-7,850.00	
21SEP17	B	1	PD21DEC17	6477.50	6791.50	USD	7,850.00	
21SEP17	B	4	PD21DEC17	6478.50	6791.50	USD	31,300.00	
21SEP17	B	2	PD21DEC17	6481.50	6791.50	USD	15,500.00	
21SEP17	B	2	PD21DEC17	6480.00	6791.50	USD	15,575.00	
21SEP17	B	9	PD21DEC17	6479.00	6791.50	USD	70,312.50	
21SEP17	B	1	PD21DEC17	6484.00	6791.50	USD	7,687.50	
21SEP17	B	7	PD21DEC17	6480.50	6791.50	USD	54,425.00	
21SEP17	B	3	PD21DEC17	6483.00	6791.50	USD	23,137.50	
21SEP17	B	6	PD21DEC17	6484.50	6791.50	USD	46,050.00	
21SEP17	B	1	PD21DEC17	6487.50	6791.50	USD	7,600.00	
21SEP17	B	1	PD21DEC17	6459.00	6791.50	USD	8,312.50	
21SEP17	B	1	PD21DEC17	6456.00	6791.50	USD	8,387.50	
21SEP17	B	2	PD21DEC17	6450.00	6791.50	USD	17,075.00	
21SEP17	B	2	PD21DEC17	6449.50	6791.50	USD	17,100.00	
21SEP17	B	1	PD21DEC17	6482.50	6791.50	USD	7,725.00	
06OCT17	S	10	PD21DEC17	6661.50	6791.50	USD	-32,500.00	
	NET	0 AVG BGHT 6474.45	SOLD 6485.49				16,837.50	0.00
22SEP17	S	1	PD22DEC17	6397.50	6790.50	USD	-9,825.00	
22SEP17	S	4	PD22DEC17	6397.00	6790.50	USD	-39,350.00	
22SEP17	S	1	PD22DEC17	6391.50	6790.50	USD	-9,975.00	
22SEP17	B	2	PD22DEC17	6390.50	6790.50	USD	20,000.00	
22SEP17	B	4	PD22DEC17	6391.50	6790.50	USD	39,900.00	
22SEP17	B	3	PD22DEC17	6392.00	6790.50	USD	29,887.50	
22SEP17	B	1	PD22DEC17	6374.50	6790.50	USD	10,400.00	
22SEP17	B	1	PD22DEC17	6374.00	6790.50	USD	10,412.50	
22SEP17	B	3	PD22DEC17	6373.50	6790.50	USD	31,275.00	
22SEP17	S	1	PD22DEC17	6387.00	6790.50	USD	-10,087.50	
22SEP17	S	1	PD22DEC17	6385.00	6790.50	USD	-10,137.50	
22SEP17	S	2	PD22DEC17	6383.50	6790.50	USD	-20,350.00	
22SEP17	S	1	PD22DEC17	6386.00	6790.50	USD	-10,112.50	
22SEP17	S	1	PD22DEC17	6387.50	6790.50	USD	-10,075.00	
22SEP17	S	2	PD22DEC17	6385.50	6790.50	USD	-20,250.00	
22SEP17	S	1	PD22DEC17	6396.50	6790.50	USD	-9,850.00	
22SEP17	S	1	PD22DEC17	6392.50	6790.50	USD	-9,950.00	
22SEP17	S	3	PD22DEC17	6394.50	6790.50	USD	-29,700.00	
22SEP17	S	4	PD22DEC17	6393.50	6790.50	USD	-39,700.00	
22SEP17	S	1	PD22DEC17	6395.50	6790.50	USD	-9,875.00	
22SEP17	B	1	PD22DEC17	6396.50	6790.50	USD	9,850.00	
22SEP17	S	1	PD22DEC17	6392.00	6790.50	USD	-9,962.50	
22SEP17	S	2	PD22DEC17	6394.00	6790.50	USD	-19,825.00	
22SEP17	B	1	PD22DEC17	6396.00	6790.50	USD	9,862.50	
22SEP17	S	1	PD22DEC17	6403.00	6790.50	USD	-9,687.50	
22SEP17	B	1	PD22DEC17	6409.50	6790.50	USD	9,525.00	
22SEP17	B	1	PD22DEC17	6407.50	6790.50	USD	9,575.00	
22SEP17	B	1	PD22DEC17	6417.00	6790.50	USD	9,337.50	
22SEP17	B	1	PD22DEC17	6416.50	6790.50	USD	9,350.00	
22SEP17	B	2	PD22DEC17	6408.50	6790.50	USD	19,100.00	
22SEP17	S	1	PD22DEC17	6416.50	6790.50	USD	-9,350.00	
22SEP17	B	1	PD22DEC17	6415.50	6790.50	USD	9,375.00	
22SEP17	S	1	PD22DEC17	6423.50	6790.50	USD	-9,175.00	
22SEP17	S	1	PD22DEC17	6421.00	6790.50	USD	-9,237.50	

OPEN POSITION STATEMENT



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SAP0097Q

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6420.00	6790.50	USD	-18,525.00	
22SEP17	S	4	PD22DEC17	6429.50	6790.50	USD	-36,100.00	
22SEP17	S	2	PD22DEC17	6430.50	6790.50	USD	-18,000.00	
22SEP17	S	2	PD22DEC17	6437.00	6790.50	USD	-17,675.00	
22SEP17	S	1	PD22DEC17	6438.00	6790.50	USD	-8,812.50	
22SEP17	S	1	PD22DEC17	6441.00	6790.50	USD	-8,737.50	
22SEP17	S	1	PD22DEC17	6442.00	6790.50	USD	-8,712.50	
22SEP17	S	6	PD22DEC17	6439.00	6790.50	USD	-52,725.00	
22SEP17	S	5	PD22DEC17	6436.00	6790.50	USD	-44,312.50	
22SEP17	S	2	PD22DEC17	6436.50	6790.50	USD	-17,700.00	
22SEP17	S	1	PD22DEC17	6433.50	6790.50	USD	-8,925.00	
22SEP17	S	1	PD22DEC17	6431.00	6790.50	USD	-8,987.50	
22SEP17	S	3	PD22DEC17	6433.00	6790.50	USD	-26,812.50	
22SEP17	S	3	PD22DEC17	6431.50	6790.50	USD	-26,925.00	
22SEP17	S	3	PD22DEC17	6437.50	6790.50	USD	-26,475.00	
22SEP17	S	1	PD22DEC17	6439.50	6790.50	USD	-8,775.00	
22SEP17	S	1	PD22DEC17	6435.00	6790.50	USD	-8,887.50	
22SEP17	S	1	PD22DEC17	6434.50	6790.50	USD	-8,900.00	
22SEP17	S	9	PD22DEC17	6432.00	6790.50	USD	-80,662.50	
22SEP17	S	1	PD22DEC17	6428.50	6790.50	USD	-9,050.00	
22SEP17	S	2	PD22DEC17	6427.00	6790.50	USD	-18,175.00	
22SEP17	S	7	PD22DEC17	6426.50	6790.50	USD	-63,700.00	
22SEP17	S	6	PD22DEC17	6428.00	6790.50	USD	-54,375.00	
22SEP17	B	1	PD22DEC17	6465.00	6790.50	USD	8,137.50	
22SEP17	B	1	PD22DEC17	6460.50	6790.50	USD	8,250.00	
22SEP17	B	1	PD22DEC17	6457.50	6790.50	USD	8,325.00	
22SEP17	B	2	PD22DEC17	6452.50	6790.50	USD	16,900.00	
22SEP17	B	6	PD22DEC17	6448.00	6790.50	USD	51,375.00	
22SEP17	B	3	PD22DEC17	6452.00	6790.50	USD	25,387.50	
22SEP17	B	1	PD22DEC17	6455.00	6790.50	USD	8,387.50	
22SEP17	B	11	PD22DEC17	6451.50	6790.50	USD	93,225.00	
22SEP17	S	1	PD22DEC17	6431.50	6790.50	USD	-8,975.00	
22SEP17	S	1	PD22DEC17	6432.00	6790.50	USD	-8,962.50	
22SEP17	B	3	PD22DEC17	6450.00	6790.50	USD	25,537.50	
22SEP17	B	8	PD22DEC17	6444.00	6790.50	USD	69,300.00	
22SEP17	S	2	PD22DEC17	6444.50	6790.50	USD	-17,300.00	
22SEP17	B	5	PD22DEC17	6443.50	6790.50	USD	43,375.00	
22SEP17	B	1	PD22DEC17	6439.00	6790.50	USD	8,787.50	
22SEP17	B	1	PD22DEC17	6442.50	6790.50	USD	8,700.00	
22SEP17	B	4	PD22DEC17	6440.00	6790.50	USD	35,050.00	
22SEP17	S	1	PD22DEC17	6450.00	6790.50	USD	-8,512.50	
22SEP17	S	1	PD22DEC17	6448.50	6790.50	USD	-8,550.00	
22SEP17	S	3	PD22DEC17	6445.00	6790.50	USD	-25,912.50	
22SEP17	S	1	PD22DEC17	6445.50	6790.50	USD	-8,625.00	
22SEP17	S	1	PD22DEC17	6447.50	6790.50	USD	-8,575.00	
22SEP17	S	1	PD22DEC17	6446.50	6790.50	USD	-8,600.00	
22SEP17	S	4	PD22DEC17	6447.00	6790.50	USD	-34,350.00	
22SEP17	S	6	PD22DEC17	6452.50	6790.50	USD	-50,700.00	
22SEP17	S	5	PD22DEC17	6468.00	6790.50	USD	-40,312.50	
22SEP17	S	5	PD22DEC17	6471.00	6790.50	USD	-39,937.50	
22SEP17	B	1	PD22DEC17	6472.00	6790.50	USD	7,962.50	
22SEP17	B	1	PD22DEC17	6460.00	6790.50	USD	8,262.50	
22SEP17	B	5	PD22DEC17	6461.50	6790.50	USD	41,125.00	
22SEP17	B	6	PD22DEC17	6453.00	6790.50	USD	50,625.00	
22SEP17	B	11	PD22DEC17	6453.50	6790.50	USD	92,675.00	
22SEP17	B	5	PD22DEC17	6449.00	6790.50	USD	42,687.50	
22SEP17	B	4	PD22DEC17	6448.50	6790.50	USD	34,200.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	6447.50	6790.50	USD	17,150.00	
22SEP17	B	1	PD22DEC17	6442.00	6790.50	USD	8,712.50	
22SEP17	B	1	PD22DEC17	6441.50	6790.50	USD	8,725.00	
22SEP17	B	9	PD22DEC17	6441.00	6790.50	USD	78,637.50	
22SEP17	B	2	PD22DEC17	6443.00	6790.50	USD	17,375.00	
22SEP17	B	5	PD22DEC17	6447.00	6790.50	USD	42,937.50	
22SEP17	S	2	PD22DEC17	6454.50	6790.50	USD	-16,800.00	
22SEP17	S	6	PD22DEC17	6457.00	6790.50	USD	-50,025.00	
22SEP17	S	1	PD22DEC17	6451.50	6790.50	USD	-8,475.00	
22SEP17	S	3	PD22DEC17	6448.00	6790.50	USD	-25,687.50	
22SEP17	S	1	PD22DEC17	6449.00	6790.50	USD	-8,537.50	
22SEP17	S	2	PD22DEC17	6446.00	6790.50	USD	-17,225.00	
22SEP17	S	5	PD22DEC17	6463.00	6790.50	USD	-40,937.50	
22SEP17	S	6	PD22DEC17	6460.50	6790.50	USD	-49,500.00	
22SEP17	S	6	PD22DEC17	6462.00	6790.50	USD	-49,275.00	
22SEP17	B	2	PD22DEC17	6454.00	6790.50	USD	16,825.00	
22SEP17	B	3	PD22DEC17	6455.50	6790.50	USD	25,125.00	
22SEP17	B	11	PD22DEC17	6458.00	6790.50	USD	91,437.50	
22SEP17	B	5	PD22DEC17	6454.50	6790.50	USD	42,000.00	
22SEP17	S	12	PD22DEC17	6454.00	6790.50	USD	-100,950.00	
22SEP17	S	6	PD22DEC17	6455.00	6790.50	USD	-50,325.00	
22SEP17	S	1	PD22DEC17	6457.50	6790.50	USD	-8,325.00	
22SEP17	S	6	PD22DEC17	6456.00	6790.50	USD	-50,175.00	
22SEP17	S	3	PD22DEC17	6462.50	6790.50	USD	-24,600.00	
22SEP17	S	4	PD22DEC17	6470.50	6790.50	USD	-32,000.00	
22SEP17	S	1	PD22DEC17	6469.00	6790.50	USD	-8,037.50	
22SEP17	S	5	PD22DEC17	6470.00	6790.50	USD	-40,062.50	
22SEP17	S	1	PD22DEC17	6467.00	6790.50	USD	-8,087.50	
22SEP17	S	10	PD22DEC17	6466.00	6790.50	USD	-81,125.00	
22SEP17	S	2	PD22DEC17	6465.00	6790.50	USD	-16,275.00	
22SEP17	B	1	PD22DEC17	6459.00	6790.50	USD	8,287.50	
22SEP17	B	1	PD22DEC17	6457.00	6790.50	USD	8,337.50	
22SEP17	B	2	PD22DEC17	6458.50	6790.50	USD	16,600.00	
22SEP17	B	1	PD22DEC17	6461.00	6790.50	USD	8,237.50	
22SEP17	B	6	PD22DEC17	6466.00	6790.50	USD	48,675.00	
22SEP17	B	5	PD22DEC17	6465.50	6790.50	USD	40,625.00	
22SEP17	B	1	PD22DEC17	6464.50	6790.50	USD	8,150.00	
22SEP17	B	1	PD22DEC17	6468.50	6790.50	USD	8,050.00	
22SEP17	B	4	PD22DEC17	6468.00	6790.50	USD	32,250.00	
22SEP17	B	4	PD22DEC17	6463.50	6790.50	USD	32,700.00	
22SEP17	B	3	PD22DEC17	6463.00	6790.50	USD	24,562.50	
22SEP17	B	1	PD22DEC17	6462.50	6790.50	USD	8,200.00	
22SEP17	B	3	PD22DEC17	6462.00	6790.50	USD	24,637.50	
22SEP17	B	13	PD22DEC17	6464.00	6790.50	USD	106,112.50	
22SEP17	B	1	PD22DEC17	6479.50	6790.50	USD	7,775.00	
22SEP17	B	6	PD22DEC17	6478.50	6790.50	USD	46,800.00	
22SEP17	B	1	PD22DEC17	6476.50	6790.50	USD	7,850.00	
22SEP17	B	2	PD22DEC17	6475.50	6790.50	USD	15,750.00	
22SEP17	B	2	PD22DEC17	6470.00	6790.50	USD	16,025.00	
22SEP17	B	3	PD22DEC17	6474.50	6790.50	USD	23,700.00	
22SEP17	B	5	PD22DEC17	6475.00	6790.50	USD	39,437.50	
	NET	0AVG BGHT 6449.68	SOLD 6440.90				-46,350.00	0.00
25SEP17	S	2	PD27DEC17	6461.50	6793.00	USD	-16,575.00	
25SEP17	S	2	PD27DEC17	6458.00	6793.00	USD	-16,750.00	
25SEP17	S	5	PD27DEC17	6456.50	6793.00	USD	-42,062.50	
25SEP17	S	2	PD27DEC17	6469.50	6793.00	USD	-16,175.00	
25SEP17	S	2	PD27DEC17	6470.00	6793.00	USD	-16,150.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	4	PD27DEC17	6469.00	6793.00	USD	-32,400.00	
25SEP17	S	1	PD27DEC17	6462.00	6793.00	USD	-8,275.00	
25SEP17	S	7	PD27DEC17	6465.00	6793.00	USD	-57,400.00	
25SEP17	S	11	PD27DEC17	6464.00	6793.00	USD	-90,475.00	
25SEP17	S	3	PD27DEC17	6464.50	6793.00	USD	-24,637.50	
25SEP17	S	5	PD27DEC17	6465.50	6793.00	USD	-40,937.50	
25SEP17	S	12	PD27DEC17	6463.50	6793.00	USD	-98,850.00	
25SEP17	S	6	PD27DEC17	6462.50	6793.00	USD	-49,575.00	
25SEP17	S	1	PD27DEC17	6460.50	6793.00	USD	-8,312.50	
25SEP17	S	3	PD27DEC17	6457.50	6793.00	USD	-25,162.50	
25SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
25SEP17	S	1	PD27DEC17	6442.00	6793.00	USD	-8,775.00	
25SEP17	S	1	PD27DEC17	6445.50	6793.00	USD	-8,687.50	
25SEP17	B	1	PD27DEC17	6446.50	6793.00	USD	8,662.50	
25SEP17	S	1	PD27DEC17	6452.50	6793.00	USD	-8,512.50	
25SEP17	S	1	PD27DEC17	6451.00	6793.00	USD	-8,550.00	
25SEP17	S	3	PD27DEC17	6452.00	6793.00	USD	-25,575.00	
25SEP17	S	5	PD27DEC17	6449.50	6793.00	USD	-42,937.50	
25SEP17	S	2	PD27DEC17	6450.50	6793.00	USD	-17,125.00	
25SEP17	S	10	PD27DEC17	6453.00	6793.00	USD	-85,000.00	
25SEP17	S	1	PD27DEC17	6453.50	6793.00	USD	-8,487.50	
25SEP17	S	7	PD27DEC17	6454.00	6793.00	USD	-59,325.00	
25SEP17	S	3	PD27DEC17	6457.00	6793.00	USD	-25,200.00	
25SEP17	S	3	PD27DEC17	6459.00	6793.00	USD	-25,050.00	
25SEP17	S	14	PD27DEC17	6451.50	6793.00	USD	-119,525.00	
25SEP17	S	3	PD27DEC17	6446.00	6793.00	USD	-26,025.00	
25SEP17	S	1	PD27DEC17	6441.50	6793.00	USD	-8,787.50	
25SEP17	S	1	PD27DEC17	6442.50	6793.00	USD	-8,762.50	
25SEP17	S	5	PD27DEC17	6443.00	6793.00	USD	-43,750.00	
25SEP17	S	1	PD27DEC17	6443.50	6793.00	USD	-8,737.50	
25SEP17	S	1	PD27DEC17	6444.00	6793.00	USD	-8,725.00	
25SEP17	B	1	PD27DEC17	6461.00	6793.00	USD	8,300.00	
25SEP17	B	4	PD27DEC17	6460.00	6793.00	USD	33,300.00	
26SEP17	B	1	PD27DEC17	6509.50	6793.00	USD	7,087.50	
26SEP17	B	1	PD27DEC17	6511.00	6793.00	USD	7,050.00	
26SEP17	B	2	PD27DEC17	6513.00	6793.00	USD	14,000.00	
26SEP17	B	3	PD27DEC17	6512.00	6793.00	USD	21,075.00	
26SEP17	B	2	PD27DEC17	6512.50	6793.00	USD	14,025.00	
26SEP17	S	2	PD27DEC17	6514.00	6793.00	USD	-13,950.00	
26SEP17	B	1	PD27DEC17	6516.50	6793.00	USD	6,912.50	
26SEP17	S	2	PD27DEC17	6514.50	6793.00	USD	-13,925.00	
26SEP17	S	1	PD27DEC17	6513.00	6793.00	USD	-7,000.00	
26SEP17	S	1	PD27DEC17	6511.00	6793.00	USD	-7,050.00	
26SEP17	B	1	PD27DEC17	6497.50	6793.00	USD	7,387.50	
26SEP17	B	3	PD27DEC17	6500.00	6793.00	USD	21,975.00	
26SEP17	B	1	PD27DEC17	6499.50	6793.00	USD	7,337.50	
26SEP17	B	2	PD27DEC17	6499.00	6793.00	USD	14,700.00	
26SEP17	B	2	PD27DEC17	6496.50	6793.00	USD	14,825.00	
26SEP17	B	4	PD27DEC17	6500.50	6793.00	USD	29,250.00	
26SEP17	B	2	PD27DEC17	6501.50	6793.00	USD	14,575.00	
26SEP17	B	5	PD27DEC17	6501.00	6793.00	USD	36,500.00	
26SEP17	B	5	PD27DEC17	6498.00	6793.00	USD	36,875.00	
26SEP17	B	5	PD27DEC17	6502.50	6793.00	USD	36,312.50	
26SEP17	B	6	PD27DEC17	6506.50	6793.00	USD	42,975.00	
26SEP17	B	5	PD27DEC17	6507.00	6793.00	USD	35,750.00	
26SEP17	S	1	PD27DEC17	6505.00	6793.00	USD	-7,200.00	
26SEP17	B	1	PD27DEC17	6490.50	6793.00	USD	7,562.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6482.00	6793.00	USD	-7,775.00	
26SEP17	S	1	PD27DEC17	6483.00	6793.00	USD	-7,750.00	
26SEP17	B	1	PD27DEC17	6483.50	6793.00	USD	7,737.50	
26SEP17	B	1	PD27DEC17	6481.50	6793.00	USD	7,787.50	
26SEP17	S	5	PD27DEC17	6465.50	6793.00	USD	-40,937.50	
26SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
26SEP17	S	2	PD27DEC17	6459.00	6793.00	USD	-16,700.00	
26SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
26SEP17	S	1	PD27DEC17	6456.00	6793.00	USD	-8,425.00	
26SEP17	S	5	PD27DEC17	6456.50	6793.00	USD	-42,062.50	
26SEP17	S	1	PD27DEC17	6460.50	6793.00	USD	-8,312.50	
26SEP17	S	1	PD27DEC17	6463.00	6793.00	USD	-8,250.00	
26SEP17	S	2	PD27DEC17	6464.00	6793.00	USD	-16,450.00	
26SEP17	S	17	PD27DEC17	6466.00	6793.00	USD	-138,975.00	
26SEP17	S	6	PD27DEC17	6461.00	6793.00	USD	-49,800.00	
26SEP17	S	1	PD27DEC17	6462.00	6793.00	USD	-8,275.00	
26SEP17	S	7	PD27DEC17	6465.00	6793.00	USD	-57,400.00	
26SEP17	S	1	PD27DEC17	6407.50	6793.00	USD	-9,637.50	
26SEP17	S	1	PD27DEC17	6411.00	6793.00	USD	-9,550.00	
26SEP17	S	1	PD27DEC17	6413.00	6793.00	USD	-9,500.00	
26SEP17	S	5	PD27DEC17	6417.50	6793.00	USD	-46,937.50	
26SEP17	S	1	PD27DEC17	6420.00	6793.00	USD	-9,325.00	
26SEP17	S	1	PD27DEC17	6423.00	6793.00	USD	-9,250.00	
26SEP17	S	1	PD27DEC17	6420.50	6793.00	USD	-9,312.50	
26SEP17	S	3	PD27DEC17	6422.00	6793.00	USD	-27,825.00	
26SEP17	S	2	PD27DEC17	6421.00	6793.00	USD	-18,600.00	
26SEP17	B	1	PD27DEC17	6424.50	6793.00	USD	9,212.50	
26SEP17	B	5	PD27DEC17	6422.50	6793.00	USD	46,312.50	
26SEP17	B	5	PD27DEC17	6419.00	6793.00	USD	46,750.00	
26SEP17	B	2	PD27DEC17	6420.50	6793.00	USD	18,625.00	
26SEP17	B	3	PD27DEC17	6419.50	6793.00	USD	28,012.50	
26SEP17	B	1	PD27DEC17	6412.50	6793.00	USD	9,512.50	
26SEP17	S	1	PD27DEC17	6415.00	6793.00	USD	-9,450.00	
26SEP17	B	1	PD27DEC17	6418.00	6793.00	USD	9,375.00	
26SEP17	B	1	PD27DEC17	6417.00	6793.00	USD	9,400.00	
26SEP17	B	1	PD27DEC17	6415.50	6793.00	USD	9,437.50	
26SEP17	B	5	PD27DEC17	6413.00	6793.00	USD	47,500.00	
26SEP17	B	12	PD27DEC17	6416.00	6793.00	USD	113,100.00	
27SEP17	B	1	PD27DEC17	6472.50	6793.00	USD	8,012.50	
27SEP17	B	2	PD27DEC17	6474.00	6793.00	USD	15,950.00	
27SEP17	B	2	PD27DEC17	6463.00	6793.00	USD	16,500.00	
27SEP17	B	1	PD27DEC17	6466.50	6793.00	USD	8,162.50	
27SEP17	B	1	PD27DEC17	6455.50	6793.00	USD	8,437.50	
27SEP17	B	7	PD27DEC17	6450.00	6793.00	USD	60,025.00	
27SEP17	B	1	PD27DEC17	6449.00	6793.00	USD	8,600.00	
27SEP17	B	1	PD27DEC17	6453.50	6793.00	USD	8,487.50	
27SEP17	B	2	PD27DEC17	6453.00	6793.00	USD	17,000.00	
27SEP17	B	6	PD27DEC17	6458.00	6793.00	USD	50,250.00	
27SEP17	B	1	PD27DEC17	6459.50	6793.00	USD	8,337.50	
27SEP17	B	3	PD27DEC17	6458.50	6793.00	USD	25,087.50	
27SEP17	B	1	PD27DEC17	6461.50	6793.00	USD	8,287.50	
27SEP17	B	10	PD27DEC17	6459.00	6793.00	USD	83,500.00	
27SEP17	S	6	PD27DEC17	6455.00	6793.00	USD	-50,700.00	
27SEP17	B	2	PD27DEC17	6460.50	6793.00	USD	16,625.00	
27SEP17	B	1	PD27DEC17	6467.50	6793.00	USD	8,137.50	
27SEP17	B	4	PD27DEC17	6471.00	6793.00	USD	32,200.00	
27SEP17	B	2	PD27DEC17	6469.50	6793.00	USD	16,175.00	

OPEN POSITION STATEMENT



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SAP0097Q

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	2	PD27DEC17	6470.00	6793.00	USD	16,150.00	
27SEP17	B	2	PD27DEC17	6468.50	6793.00	USD	16,225.00	
27SEP17	B	3	PD27DEC17	6468.00	6793.00	USD	24,375.00	
27SEP17	B	5	PD27DEC17	6470.50	6793.00	USD	40,312.50	
27SEP17	B	1	PD27DEC17	6458.00	6793.00	USD	8,375.00	
27SEP17	B	1	PD27DEC17	6469.00	6793.00	USD	8,100.00	
27SEP17	B	5	PD27DEC17	6461.00	6793.00	USD	41,500.00	
27SEP17	B	5	PD27DEC17	6463.50	6793.00	USD	41,187.50	
27SEP17	B	1	PD27DEC17	6457.00	6793.00	USD	8,400.00	
27SEP17	B	3	PD27DEC17	6454.00	6793.00	USD	25,425.00	
27SEP17	B	2	PD27DEC17	6460.00	6793.00	USD	16,650.00	
27SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
27SEP17	S	1	PD27DEC17	6465.00	6793.00	USD	-8,200.00	
27SEP17	S	1	PD27DEC17	6450.50	6793.00	USD	-8,562.50	
27SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	
27SEP17	B	2	PD27DEC17	6430.00	6793.00	USD	18,150.00	
27SEP17	B	1	PD27DEC17	6427.00	6793.00	USD	9,150.00	
27SEP17	B	1	PD27DEC17	6429.00	6793.00	USD	9,100.00	
27SEP17	B	1	PD27DEC17	6431.50	6793.00	USD	9,037.50	
27SEP17	B	1	PD27DEC17	6437.00	6793.00	USD	8,900.00	
27SEP17	S	3	PD27DEC17	6442.00	6793.00	USD	-26,325.00	
27SEP17	S	1	PD27DEC17	6437.50	6793.00	USD	-8,887.50	
27SEP17	S	2	PD27DEC17	6422.50	6793.00	USD	-18,525.00	
27SEP17	S	1	PD27DEC17	6415.00	6793.00	USD	-9,450.00	
27SEP17	S	1	PD27DEC17	6416.50	6793.00	USD	-9,412.50	
27SEP17	S	1	PD27DEC17	6417.00	6793.00	USD	-9,400.00	
27SEP17	B	1	PD27DEC17	6439.50	6793.00	USD	8,837.50	
27SEP17	B	3	PD27DEC17	6437.50	6793.00	USD	26,662.50	
27SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
27SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
27SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
27SEP17	B	2	PD27DEC17	6440.50	6793.00	USD	17,625.00	
27SEP17	B	1	PD27DEC17	6442.00	6793.00	USD	8,775.00	
27SEP17	B	3	PD27DEC17	6436.00	6793.00	USD	26,775.00	
27SEP17	B	2	PD27DEC17	6435.00	6793.00	USD	17,900.00	
27SEP17	B	1	PD27DEC17	6435.50	6793.00	USD	8,937.50	
27SEP17	B	1	PD27DEC17	6432.50	6793.00	USD	9,012.50	
27SEP17	B	2	PD27DEC17	6434.00	6793.00	USD	17,950.00	
27SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
28SEP17	B	25	PD27DEC17	6521.53	6793.00	USD	169,668.75	
	NET	0AVG BGHT 6467.80	SOLD 6456.33				-64,806.25	0.00
28SEP17	S	1	PD28DEC17	6416.00	6793.47	USD	-9,436.75	
28SEP17	S	1	PD28DEC17	6415.50	6793.47	USD	-9,449.25	
28SEP17	S	1	PD28DEC17	6415.00	6793.47	USD	-9,461.75	
28SEP17	S	1	PD28DEC17	6414.00	6793.47	USD	-9,486.75	
28SEP17	S	2	PD28DEC17	6412.00	6793.47	USD	-19,073.50	
28SEP17	B	1	PD28DEC17	6407.00	6793.47	USD	9,661.75	
28SEP17	B	1	PD28DEC17	6406.00	6793.47	USD	9,686.75	
28SEP17	S	1	PD28DEC17	6407.50	6793.47	USD	-9,649.25	
28SEP17	S	5	PD28DEC17	6409.00	6793.47	USD	-48,058.75	
28SEP17	B	1	PD28DEC17	6409.00	6793.47	USD	9,611.75	
28SEP17	S	2	PD28DEC17	6409.50	6793.47	USD	-19,198.50	
28SEP17	S	4	PD28DEC17	6408.00	6793.47	USD	-38,547.00	
28SEP17	S	2	PD28DEC17	6407.00	6793.47	USD	-19,323.50	
28SEP17	S	3	PD28DEC17	6406.50	6793.47	USD	-29,022.75	
28SEP17	S	5	PD28DEC17	6406.00	6793.47	USD	-48,433.75	
28SEP17	S	1	PD28DEC17	6430.50	6793.47	USD	-9,074.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6432.50	6793.47	USD	9,024.25	
28SEP17	S	3	PD28DEC17	6426.50	6793.47	USD	-27,522.75	
28SEP17	S	2	PD28DEC17	6425.50	6793.47	USD	-18,398.50	
28SEP17	B	1	PD28DEC17	6437.00	6793.47	USD	8,911.75	
28SEP17	B	1	PD28DEC17	6434.00	6793.47	USD	8,986.75	
28SEP17	B	1	PD28DEC17	6436.50	6793.47	USD	8,924.25	
28SEP17	B	1	PD28DEC17	6435.00	6793.47	USD	8,961.75	
28SEP17	B	2	PD28DEC17	6438.00	6793.47	USD	17,773.50	
28SEP17	B	1	PD28DEC17	6438.50	6793.47	USD	8,874.25	
28SEP17	B	3	PD28DEC17	6437.50	6793.47	USD	26,697.75	
28SEP17	S	1	PD28DEC17	6436.50	6793.47	USD	-8,924.25	
28SEP17	B	1	PD28DEC17	6436.00	6793.47	USD	8,936.75	
28SEP17	B	1	PD28DEC17	6441.50	6793.47	USD	8,799.25	
28SEP17	B	1	PD28DEC17	6446.50	6793.47	USD	8,674.25	
28SEP17	S	1	PD28DEC17	6446.50	6793.47	USD	-8,674.25	
28SEP17	S	1	PD28DEC17	6452.50	6793.47	USD	-8,524.25	
28SEP17	B	1	PD28DEC17	6446.00	6793.47	USD	8,686.75	
28SEP17	S	1	PD28DEC17	6446.00	6793.47	USD	-8,686.75	
28SEP17	S	1	PD28DEC17	6467.50	6793.47	USD	-8,149.25	
28SEP17	B	2	PD28DEC17	6467.00	6793.47	USD	16,323.50	
28SEP17	B	10	PD28DEC17	6469.00	6793.47	USD	81,117.50	
28SEP17	B	6	PD28DEC17	6468.50	6793.47	USD	48,745.50	
28SEP17	B	1	PD28DEC17	6468.00	6793.47	USD	8,136.75	
28SEP17	B	2	PD28DEC17	6467.50	6793.47	USD	16,298.50	
28SEP17	B	1	PD28DEC17	6463.50	6793.47	USD	8,249.25	
28SEP17	B	1	PD28DEC17	6460.00	6793.47	USD	8,336.75	
28SEP17	B	1	PD28DEC17	6459.50	6793.47	USD	8,349.25	
28SEP17	B	1	PD28DEC17	6451.50	6793.47	USD	8,549.25	
28SEP17	B	3	PD28DEC17	6452.50	6793.47	USD	25,572.75	
28SEP17	B	5	PD28DEC17	6454.00	6793.47	USD	42,433.75	
28SEP17	B	2	PD28DEC17	6453.50	6793.47	USD	16,998.50	
28SEP17	B	1	PD28DEC17	6453.00	6793.47	USD	8,511.75	
28SEP17	B	1	PD28DEC17	6518.00	6793.47	USD	6,886.75	
28SEP17	B	3	PD28DEC17	6516.00	6793.47	USD	20,810.25	
28SEP17	B	1	PD28DEC17	6514.50	6793.47	USD	6,974.25	
28SEP17	B	1	PD28DEC17	6525.50	6793.47	USD	6,699.25	
28SEP17	B	2	PD28DEC17	6519.00	6793.47	USD	13,723.50	
28SEP17	B	2	PD28DEC17	6521.00	6793.47	USD	13,623.50	
28SEP17	B	1	PD28DEC17	6520.50	6793.47	USD	6,824.25	
28SEP17	B	3	PD28DEC17	6524.50	6793.47	USD	20,172.75	
28SEP17	B	10	PD28DEC17	6523.50	6793.47	USD	67,492.50	
28SEP17	B	1	PD28DEC17	6534.50	6793.47	USD	6,474.25	
28SEP17	B	2	PD28DEC17	6533.50	6793.47	USD	12,998.50	
28SEP17	B	1	PD28DEC17	6534.00	6793.47	USD	6,486.75	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,686.75	
28SEP17	B	1	PD28DEC17	6569.50	6793.47	USD	5,599.25	
28SEP17	B	1	PD28DEC17	6572.00	6793.47	USD	5,536.75	
28SEP17	B	1	PD28DEC17	6571.00	6793.47	USD	5,561.75	
28SEP17	S	3	PD28DEC17	6565.00	6793.47	USD	-17,135.25	
28SEP17	B	1	PD28DEC17	6533.50	6793.47	USD	6,499.25	
06OCT17	S	35	PD28DEC17	6663.83	6793.47	USD	-113,435.00	
	NET	+10 AVG BGHT 6481.34	SOLD 6534.94				181,218.75	0.00
29SEP17	B	1	PD29DEC17	6561.50	6793.93	USD	5,810.75	
29SEP17	B	1	PD29DEC17	6558.50	6793.93	USD	5,885.75	
29SEP17	B	1	PD29DEC17	6557.00	6793.93	USD	5,923.25	
29SEP17	S	1	PD29DEC17	6557.50	6793.93	USD	-5,910.75	
29SEP17	B	2	PD29DEC17	6547.50	6793.93	USD	12,321.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD29DEC17	6549.50	6793.93	USD	12,221.50	
29SEP17	B	4	PD29DEC17	6549.00	6793.93	USD	24,493.00	
29SEP17	B	2	PD29DEC17	6543.00	6793.93	USD	12,546.50	
29SEP17	B	1	PD29DEC17	6548.50	6793.93	USD	6,135.75	
29SEP17	B	1	PD29DEC17	6546.50	6793.93	USD	6,185.75	
29SEP17	S	1	PD29DEC17	6534.00	6793.93	USD	-6,498.25	
29SEP17	S	2	PD29DEC17	6531.00	6793.93	USD	-13,146.50	
29SEP17	S	1	PD29DEC17	6528.50	6793.93	USD	-6,635.75	
29SEP17	S	3	PD29DEC17	6530.50	6793.93	USD	-19,757.25	
29SEP17	S	1	PD29DEC17	6536.50	6793.93	USD	-6,435.75	
29SEP17	S	3	PD29DEC17	6537.00	6793.93	USD	-19,269.75	
29SEP17	S	1	PD29DEC17	6539.50	6793.93	USD	-6,360.75	
29SEP17	S	2	PD29DEC17	6533.00	6793.93	USD	-13,046.50	
29SEP17	S	4	PD29DEC17	6533.50	6793.93	USD	-26,043.00	
29SEP17	S	2	PD29DEC17	6531.50	6793.93	USD	-13,121.50	
29SEP17	S	6	PD29DEC17	6532.50	6793.93	USD	-39,214.50	
29SEP17	B	2	PD29DEC17	6532.00	6793.93	USD	13,096.50	
29SEP17	B	1	PD29DEC17	6533.00	6793.93	USD	6,523.25	
29SEP17	B	3	PD29DEC17	6533.50	6793.93	USD	19,532.25	
29SEP17	B	6	PD29DEC17	6537.00	6793.93	USD	38,539.50	
29SEP17	S	1	PD29DEC17	6527.00	6793.93	USD	-6,673.25	
29SEP17	S	1	PD29DEC17	6518.50	6793.93	USD	-6,885.75	
29SEP17	S	4	PD29DEC17	6523.50	6793.93	USD	-27,043.00	
29SEP17	S	1	PD29DEC17	6525.00	6793.93	USD	-6,723.25	
29SEP17	B	2	PD29DEC17	6529.00	6793.93	USD	13,246.50	
29SEP17	S	1	PD29DEC17	6522.00	6793.93	USD	-6,798.25	
29SEP17	S	1	PD29DEC17	6524.50	6793.93	USD	-6,735.75	
29SEP17	S	1	PD29DEC17	6524.00	6793.93	USD	-6,748.25	
29SEP17	S	1	PD29DEC17	6525.50	6793.93	USD	-6,710.75	
29SEP17	B	1	PD29DEC17	6526.00	6793.93	USD	6,698.25	
29SEP17	B	1	PD29DEC17	6529.50	6793.93	USD	6,610.75	
29SEP17	B	1	PD29DEC17	6528.50	6793.93	USD	6,635.75	
29SEP17	S	2	PD29DEC17	6529.00	6793.93	USD	-13,246.50	
29SEP17	B	2	PD29DEC17	6523.50	6793.93	USD	13,521.50	
29SEP17	B	1	PD29DEC17	6527.00	6793.93	USD	6,673.25	
29SEP17	S	5	PD29DEC17	6532.00	6793.93	USD	-32,741.25	
29SEP17	B	2	PD29DEC17	6522.50	6793.93	USD	13,571.50	
29SEP17	B	1	PD29DEC17	6520.50	6793.93	USD	6,835.75	
29SEP17	S	3	PD29DEC17	6521.50	6793.93	USD	-20,432.25	
29SEP17	B	3	PD29DEC17	6521.00	6793.93	USD	20,469.75	
29SEP17	B	5	PD29DEC17	6521.50	6793.93	USD	34,053.75	
29SEP17	B	2	PD29DEC17	6520.00	6793.93	USD	13,696.50	
29SEP17	B	2	PD29DEC17	6516.00	6793.93	USD	13,896.50	
29SEP17	B	2	PD29DEC17	6517.00	6793.93	USD	13,846.50	
29SEP17	S	1	PD29DEC17	6503.00	6793.93	USD	-7,273.25	
29SEP17	S	5	PD29DEC17	6501.00	6793.93	USD	-36,616.25	
29SEP17	S	2	PD29DEC17	6500.50	6793.93	USD	-14,671.50	
29SEP17	S	1	PD29DEC17	6499.00	6793.93	USD	-7,373.25	
29SEP17	S	1	PD29DEC17	6496.00	6793.93	USD	-7,448.25	
29SEP17	S	1	PD29DEC17	6497.00	6793.93	USD	-7,423.25	
29SEP17	S	1	PD29DEC17	6490.50	6793.93	USD	-7,585.75	
29SEP17	S	1	PD29DEC17	6487.00	6793.93	USD	-7,673.25	
29SEP17	S	1	PD29DEC17	6489.50	6793.93	USD	-7,610.75	
29SEP17	S	1	PD29DEC17	6487.50	6793.93	USD	-7,660.75	
29SEP17	S	2	PD29DEC17	6486.00	6793.93	USD	-15,396.50	
29SEP17	B	1	PD29DEC17	6485.50	6793.93	USD	7,710.75	
29SEP17	B	2	PD29DEC17	6486.00	6793.93	USD	15,396.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	1	PD29DEC17	6486.50	6793.93	USD	7,685.75	
29SEP17	B	5	PD29DEC17	6488.00	6793.93	USD	38,241.25	
29SEP17	S	2	PD29DEC17	6491.50	6793.93	USD	-15,121.50	
29SEP17	S	1	PD29DEC17	6492.50	6793.93	USD	-7,535.75	
29SEP17	S	1	PD29DEC17	6493.00	6793.93	USD	-7,523.25	
29SEP17	S	1	PD29DEC17	6492.00	6793.93	USD	-7,548.25	
29SEP17	S	2	PD29DEC17	6489.00	6793.93	USD	-15,246.50	
29SEP17	S	6	PD29DEC17	6490.00	6793.93	USD	-45,589.50	
29SEP17	S	3	PD29DEC17	6488.00	6793.93	USD	-22,944.75	
29SEP17	S	1	PD29DEC17	6484.00	6793.93	USD	-7,748.25	
29SEP17	B	2	PD29DEC17	6489.00	6793.93	USD	15,246.50	
29SEP17	B	1	PD29DEC17	6491.50	6793.93	USD	7,560.75	
29SEP17	S	4	PD29DEC17	6501.50	6793.93	USD	-29,243.00	
29SEP17	S	1	PD29DEC17	6502.50	6793.93	USD	-7,285.75	
29SEP17	S	1	PD29DEC17	6499.50	6793.93	USD	-7,360.75	
29SEP17	S	1	PD29DEC17	6496.50	6793.93	USD	-7,435.75	
29SEP17	S	4	PD29DEC17	6497.50	6793.93	USD	-29,643.00	
29SEP17	B	1	PD29DEC17	6498.50	6793.93	USD	7,385.75	
29SEP17	S	9	PD29DEC17	6500.00	6793.93	USD	-66,134.25	
29SEP17	S	8	PD29DEC17	6502.00	6793.93	USD	-58,386.00	
29SEP17	S	6	PD29DEC17	6503.50	6793.93	USD	-43,564.50	
29SEP17	B	1	PD29DEC17	6521.50	6793.93	USD	6,810.75	
29SEP17	S	4	PD29DEC17	6500.00	6793.93	USD	-29,393.00	
29SEP17	B	2	PD29DEC17	6486.00	6793.93	USD	15,396.50	
29SEP17	B	1	PD29DEC17	6485.50	6793.93	USD	7,710.75	
29SEP17	B	1	PD29DEC17	6503.00	6793.93	USD	7,273.25	
03OCT17	B	50	PD29DEC17	6518.43	6793.93	USD	344,375.00	
	NET	0AVG BGHT 6520.68	SOLD 6510.39				-30,850.00	0.00
02OCT17	B	2	PD02JAN18	6536.50	6795.80	USD	12,965.00	
02OCT17	B	1	PD02JAN18	6532.00	6795.80	USD	6,595.00	
02OCT17	B	1	PD02JAN18	6533.50	6795.80	USD	6,557.50	
02OCT17	B	2	PD02JAN18	6533.00	6795.80	USD	13,140.00	
02OCT17	B	2	PD02JAN18	6534.50	6795.80	USD	13,065.00	
02OCT17	B	2	PD02JAN18	6535.00	6795.80	USD	13,040.00	
02OCT17	B	1	PD02JAN18	6526.00	6795.80	USD	6,745.00	
02OCT17	B	1	PD02JAN18	6523.00	6795.80	USD	6,820.00	
02OCT17	B	3	PD02JAN18	6525.00	6795.80	USD	20,310.00	
02OCT17	B	1	PD02JAN18	6524.50	6795.80	USD	6,782.50	
02OCT17	B	1	PD02JAN18	6531.50	6795.80	USD	6,607.50	
02OCT17	B	2	PD02JAN18	6532.50	6795.80	USD	13,165.00	
02OCT17	B	4	PD02JAN18	6526.50	6795.80	USD	26,930.00	
02OCT17	B	1	PD02JAN18	6513.50	6795.80	USD	7,057.50	
02OCT17	B	1	PD02JAN18	6513.00	6795.80	USD	7,070.00	
02OCT17	B	1	PD02JAN18	6511.50	6795.80	USD	7,107.50	
02OCT17	B	2	PD02JAN18	6534.00	6795.80	USD	13,090.00	
02OCT17	B	1	PD02JAN18	6525.00	6795.80	USD	6,770.00	
02OCT17	B	1	PD02JAN18	6512.00	6795.80	USD	7,095.00	
02OCT17	S	2	PD02JAN18	6466.00	6795.80	USD	-16,490.00	
02OCT17	B	1	PD02JAN18	6466.00	6795.80	USD	8,245.00	
02OCT17	B	1	PD02JAN18	6467.00	6795.80	USD	8,220.00	
02OCT17	S	2	PD02JAN18	6488.50	6795.80	USD	-15,365.00	
02OCT17	S	1	PD02JAN18	6492.50	6795.80	USD	-7,582.50	
02OCT17	S	1	PD02JAN18	6491.50	6795.80	USD	-7,607.50	
02OCT17	S	2	PD02JAN18	6493.00	6795.80	USD	-15,140.00	
02OCT17	S	3	PD02JAN18	6495.50	6795.80	USD	-22,522.50	
02OCT17	S	2	PD02JAN18	6499.00	6795.80	USD	-14,840.00	
02OCT17	S	1	PD02JAN18	6502.50	6795.80	USD	-7,332.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	B	1	PD02JAN18	6502.00	6795.80	USD	7,345.00	
02OCT17	B	1	PD02JAN18	6501.00	6795.80	USD	7,370.00	
02OCT17	S	2	PD02JAN18	6496.00	6795.80	USD	-14,990.00	
02OCT17	S	2	PD02JAN18	6498.00	6795.80	USD	-14,890.00	
02OCT17	B	1	PD02JAN18	6499.50	6795.80	USD	7,407.50	
02OCT17	S	6	PD02JAN18	6497.00	6795.80	USD	-44,820.00	
02OCT17	S	4	PD02JAN18	6500.00	6795.80	USD	-29,580.00	
02OCT17	S	1	PD02JAN18	6494.00	6795.80	USD	-7,545.00	
02OCT17	S	1	PD02JAN18	6501.50	6795.80	USD	-7,357.50	
	NET	+5 AVG BGHT 6522.09	SOLD 6494.38				13,437.50	0.00
03OCT17	S	1	PD03JAN18	6450.00	6796.27	USD	-8,656.75	
03OCT17	S	1	PD03JAN18	6447.00	6796.27	USD	-8,731.75	
03OCT17	S	1	PD03JAN18	6447.50	6796.27	USD	-8,719.25	
03OCT17	S	1	PD03JAN18	6445.50	6796.27	USD	-8,769.25	
03OCT17	S	1	PD03JAN18	6442.50	6796.27	USD	-8,844.25	
03OCT17	S	3	PD03JAN18	6467.00	6796.27	USD	-24,695.25	
03OCT17	S	1	PD03JAN18	6467.50	6796.27	USD	-8,219.25	
03OCT17	S	1	PD03JAN18	6468.00	6796.27	USD	-8,206.75	
03OCT17	B	1	PD03JAN18	6482.50	6796.27	USD	7,844.25	
03OCT17	B	1	PD03JAN18	6485.00	6796.27	USD	7,781.75	
03OCT17	B	1	PD03JAN18	6481.00	6796.27	USD	7,881.75	
03OCT17	B	1	PD03JAN18	6480.00	6796.27	USD	7,906.75	
03OCT17	B	3	PD03JAN18	6483.00	6796.27	USD	23,495.25	
03OCT17	B	2	PD03JAN18	6482.00	6796.27	USD	15,713.50	
03OCT17	B	1	PD03JAN18	6488.50	6796.27	USD	7,694.25	
03OCT17	B	1	PD03JAN18	6487.50	6796.27	USD	7,719.25	
03OCT17	B	1	PD03JAN18	6485.50	6796.27	USD	7,769.25	
03OCT17	S	1	PD03JAN18	6488.00	6796.27	USD	-7,706.75	
03OCT17	B	1	PD03JAN18	6489.00	6796.27	USD	7,681.75	
03OCT17	S	1	PD03JAN18	6491.50	6796.27	USD	-7,619.25	
03OCT17	S	1	PD03JAN18	6492.50	6796.27	USD	-7,594.25	
03OCT17	B	1	PD03JAN18	6491.50	6796.27	USD	7,619.25	
03OCT17	B	2	PD03JAN18	6490.00	6796.27	USD	15,313.50	
03OCT17	S	2	PD03JAN18	6519.00	6796.27	USD	-13,863.50	
03OCT17	S	1	PD03JAN18	6513.00	6796.27	USD	-7,081.75	
03OCT17	B	1	PD03JAN18	6507.00	6796.27	USD	7,231.75	
03OCT17	B	1	PD03JAN18	6506.50	6796.27	USD	7,244.25	
03OCT17	S	1	PD03JAN18	6505.00	6796.27	USD	-7,281.75	
03OCT17	B	1	PD03JAN18	6505.00	6796.27	USD	7,281.75	
03OCT17	B	2	PD03JAN18	6508.00	6796.27	USD	14,413.50	
03OCT17	B	1	PD03JAN18	6502.50	6796.27	USD	7,344.25	
03OCT17	B	8	PD03JAN18	6502.00	6796.27	USD	58,854.00	
03OCT17	B	3	PD03JAN18	6501.00	6796.27	USD	22,145.25	
03OCT17	B	7	PD03JAN18	6496.00	6796.27	USD	52,547.25	
03OCT17	B	1	PD03JAN18	6496.50	6796.27	USD	7,494.25	
03OCT17	B	1	PD03JAN18	6497.50	6796.27	USD	7,469.25	
03OCT17	B	5	PD03JAN18	6495.00	6796.27	USD	37,658.75	
03OCT17	B	2	PD03JAN18	6494.50	6796.27	USD	15,088.50	
03OCT17	B	1	PD03JAN18	6483.00	6796.27	USD	7,831.75	
03OCT17	S	1	PD03JAN18	6483.00	6796.27	USD	-7,831.75	
03OCT17	B	1	PD03JAN18	6499.00	6796.27	USD	7,431.75	
03OCT17	B	2	PD03JAN18	6511.50	6796.27	USD	14,238.50	
03OCT17	B	1	PD03JAN18	6514.50	6796.27	USD	7,044.25	
03OCT17	B	1	PD03JAN18	6512.50	6796.27	USD	7,094.25	
03OCT17	S	1	PD03JAN18	6527.00	6796.27	USD	-6,731.75	
03OCT17	B	1	PD03JAN18	6518.50	6796.27	USD	6,944.25	
03OCT17	S	1	PD03JAN18	6519.50	6796.27	USD	-6,919.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
030CT17	S	3	PD03JAN18	6515.50	6796.27	USD	-21,057.75	
030CT17	S	1	PD03JAN18	6512.50	6796.27	USD	-7,094.25	
030CT17	S	1	PD03JAN18	6517.00	6796.27	USD	-6,981.75	
030CT17	S	2	PD03JAN18	6514.50	6796.27	USD	-14,088.50	
030CT17	S	2	PD03JAN18	6514.00	6796.27	USD	-14,113.50	
030CT17	S	2	PD03JAN18	6514.50	6796.27	USD	-14,088.50	
	NET	+25 AVG BGHT 6496.43	SOLD 6493.18				184,881.25	0.00
040CT17	B	3	PD04JAN18	6521.50	6796.73	USD	20,642.25	
040CT17	B	2	PD04JAN18	6522.00	6796.73	USD	13,736.50	
040CT17	B	1	PD04JAN18	6512.00	6796.73	USD	7,118.25	
040CT17	S	1	PD04JAN18	6511.50	6796.73	USD	-7,130.75	
040CT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
040CT17	S	14	PD04JAN18	6510.50	6796.73	USD	-100,180.50	
040CT17	S	1	PD04JAN18	6508.50	6796.73	USD	-7,205.75	
040CT17	S	1	PD04JAN18	6503.00	6796.73	USD	-7,343.25	
040CT17	S	3	PD04JAN18	6507.00	6796.73	USD	-21,729.75	
040CT17	S	2	PD04JAN18	6508.00	6796.73	USD	-14,436.50	
040CT17	S	1	PD04JAN18	6506.00	6796.73	USD	-7,268.25	
040CT17	S	3	PD04JAN18	6505.00	6796.73	USD	-21,879.75	
040CT17	S	1	PD04JAN18	6504.00	6796.73	USD	-7,318.25	
040CT17	S	3	PD04JAN18	6503.50	6796.73	USD	-21,992.25	
040CT17	S	8	PD04JAN18	6501.50	6796.73	USD	-59,046.00	
040CT17	S	2	PD04JAN18	6501.00	6796.73	USD	-14,786.50	
040CT17	S	1	PD04JAN18	6500.50	6796.73	USD	-7,405.75	
040CT17	S	2	PD04JAN18	6490.50	6796.73	USD	-15,311.50	
040CT17	S	9	PD04JAN18	6495.00	6796.73	USD	-67,889.25	
040CT17	S	1	PD04JAN18	6517.50	6796.73	USD	-6,980.75	
040CT17	S	3	PD04JAN18	6526.50	6796.73	USD	-20,267.25	
040CT17	S	1	PD04JAN18	6527.50	6796.73	USD	-6,730.75	
040CT17	S	1	PD04JAN18	6489.50	6796.73	USD	-7,680.75	
040CT17	S	2	PD04JAN18	6504.00	6796.73	USD	-14,636.50	
040CT17	S	1	PD04JAN18	6521.00	6796.73	USD	-6,893.25	
040CT17	S	3	PD04JAN18	6520.00	6796.73	USD	-20,754.75	
040CT17	S	1	PD04JAN18	6513.00	6796.73	USD	-7,093.25	
060CT17	B	60	PD04JAN18	6666.37	6796.73	USD	195,540.00	
	NET	0 AVG BGHT 6653.07	SOLD 6506.39				-242,030.00	0.00
050CT17	B	9	PD05JAN18	6523.00	6797.20	USD	61,695.00	
050CT17	B	1	PD05JAN18	6525.00	6797.20	USD	6,805.00	
050CT17	S	1	PD05JAN18	6554.50	6797.20	USD	-6,067.50	
050CT17	B	1	PD05JAN18	6555.50	6797.20	USD	6,042.50	
050CT17	B	3	PD05JAN18	6554.50	6797.20	USD	18,202.50	
050CT17	B	2	PD05JAN18	6553.00	6797.20	USD	12,210.00	
050CT17	B	1	PD05JAN18	6560.50	6797.20	USD	5,917.50	
050CT17	B	2	PD05JAN18	6561.00	6797.20	USD	11,810.00	
050CT17	B	3	PD05JAN18	6560.00	6797.20	USD	17,790.00	
050CT17	B	1	PD05JAN18	6563.50	6797.20	USD	5,842.50	
050CT17	B	1	PD05JAN18	6564.50	6797.20	USD	5,817.50	
050CT17	B	1	PD05JAN18	6565.00	6797.20	USD	5,805.00	
050CT17	B	1	PD05JAN18	6566.50	6797.20	USD	5,767.50	
050CT17	B	2	PD05JAN18	6565.50	6797.20	USD	11,585.00	
050CT17	B	1	PD05JAN18	6557.00	6797.20	USD	6,005.00	
050CT17	S	1	PD05JAN18	6557.00	6797.20	USD	-6,005.00	
050CT17	B	1	PD05JAN18	6558.00	6797.20	USD	5,980.00	
050CT17	B	1	PD05JAN18	6556.50	6797.20	USD	6,017.50	
050CT17	B	3	PD05JAN18	6553.50	6797.20	USD	18,277.50	
050CT17	B	2	PD05JAN18	6556.00	6797.20	USD	12,060.00	
050CT17	B	1	PD05JAN18	6562.00	6797.20	USD	5,880.00	

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05OCT17	B	1	PD05JAN18	6554.00	6797.20	USD	6,080.00	
05OCT17	S	1	PD05JAN18	6563.00	6797.20	USD	-5,855.00	
05OCT17	S	1	PD05JAN18	6562.00	6797.20	USD	-5,880.00	
05OCT17	B	2	PD05JAN18	6558.50	6797.20	USD	11,935.00	
05OCT17	B	1	PD05JAN18	6578.50	6797.20	USD	5,467.50	
05OCT17	B	1	PD05JAN18	6580.00	6797.20	USD	5,430.00	
05OCT17	B	1	PD05JAN18	6581.50	6797.20	USD	5,392.50	
05OCT17	S	1	PD05JAN18	6588.50	6797.20	USD	-5,217.50	
05OCT17	B	1	PD05JAN18	6590.00	6797.20	USD	5,180.00	
05OCT17	B	1	PD05JAN18	6612.00	6797.20	USD	4,630.00	
05OCT17	B	2	PD05JAN18	6620.00	6797.20	USD	8,860.00	
05OCT17	B	1	PD05JAN18	6629.00	6797.20	USD	4,205.00	
05OCT17	B	1	PD05JAN18	6627.00	6797.20	USD	4,255.00	
05OCT17	B	1	PD05JAN18	6624.00	6797.20	USD	4,330.00	
05OCT17	B	1	PD05JAN18	6632.00	6797.20	USD	4,130.00	
05OCT17	B	4	PD05JAN18	6631.50	6797.20	USD	16,570.00	
05OCT17	B	1	PD05JAN18	6670.00	6797.20	USD	3,180.00	
05OCT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
05OCT17	B	1	PD05JAN18	6682.50	6797.20	USD	2,867.50	
05OCT17	B	1	PD05JAN18	6679.50	6797.20	USD	2,942.50	
05OCT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	
05OCT17	B	1	PD05JAN18	6684.00	6797.20	USD	2,830.00	
05OCT17	B	1	PD05JAN18	6680.50	6797.20	USD	2,917.50	
05OCT17	S	1	PD05JAN18	6681.00	6797.20	USD	-2,905.00	
05OCT17	B	5	PD05JAN18	6683.00	6797.20	USD	14,275.00	
05OCT17	B	1	PD05JAN18	6684.50	6797.20	USD	2,817.50	
05OCT17	B	2	PD05JAN18	6681.00	6797.20	USD	5,810.00	
05OCT17	S	1	PD05JAN18	6675.00	6797.20	USD	-3,055.00	
05OCT17	B	2	PD05JAN18	6680.00	6797.20	USD	5,860.00	
05OCT17	B	2	PD05JAN18	6679.00	6797.20	USD	5,910.00	
05OCT17	B	2	PD05JAN18	6683.50	6797.20	USD	5,685.00	
05OCT17	B	7	PD05JAN18	6682.00	6797.20	USD	20,160.00	
05OCT17	B	1	PD05JAN18	6686.50	6797.20	USD	2,767.50	
05OCT17	B	1	PD05JAN18	6683.00	6797.20	USD	2,855.00	
05OCT17	S	1	PD05JAN18	6683.00	6797.20	USD	-2,855.00	
05OCT17	S	2	PD05JAN18	6705.00	6797.20	USD	-4,610.00	
05OCT17	B	2	PD05JAN18	6700.00	6797.20	USD	4,860.00	
05OCT17	S	1	PD05JAN18	6695.00	6797.20	USD	-2,555.00	
05OCT17	S	1	PD05JAN18	6695.50	6797.20	USD	-2,542.50	
05OCT17	S	3	PD05JAN18	6693.50	6797.20	USD	-7,777.50	
05OCT17	B	2	PD05JAN18	6679.00	6797.20	USD	5,910.00	
05OCT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	
06OCT17	S	1	PD05JAN18	6691.00	6797.20	USD	-2,655.00	
06OCT17	S	2	PD05JAN18	6690.50	6797.20	USD	-5,335.00	
06OCT17	S	1	PD05JAN18	6692.00	6797.20	USD	-2,630.00	
06OCT17	S	1	PD05JAN18	6695.00	6797.20	USD	-2,555.00	
06OCT17	S	1	PD05JAN18	6693.50	6797.20	USD	-2,592.50	
06OCT17	S	1	PD05JAN18	6693.00	6797.20	USD	-2,605.00	
06OCT17	S	3	PD05JAN18	6692.50	6797.20	USD	-7,852.50	
06OCT17	S	1	PD05JAN18	6701.50	6797.20	USD	-2,392.50	
06OCT17	S	2	PD05JAN18	6703.50	6797.20	USD	-4,685.00	
06OCT17	S	1	PD05JAN18	6705.50	6797.20	USD	-2,292.50	
06OCT17	S	1	PD05JAN18	6708.00	6797.20	USD	-2,230.00	
06OCT17	B	1	PD05JAN18	6699.00	6797.20	USD	2,455.00	
06OCT17	B	2	PD05JAN18	6697.00	6797.20	USD	5,010.00	
06OCT17	S	3	PD05JAN18	6707.00	6797.20	USD	-6,765.00	
06OCT17	S	3	PD05JAN18	6706.00	6797.20	USD	-6,840.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	2	PD05JAN18	6705.00	6797.20	USD	-4,610.00	
06OCT17	B	1	PD05JAN18	6699.50	6797.20	USD	2,442.50	
06OCT17	B	3	PD05JAN18	6697.50	6797.20	USD	7,477.50	
06OCT17	B	1	PD05JAN18	6695.50	6797.20	USD	2,542.50	
06OCT17	B	1	PD05JAN18	6695.00	6797.20	USD	2,555.00	
06OCT17	B	1	PD05JAN18	6694.00	6797.20	USD	2,580.00	
06OCT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	
06OCT17	B	1	PD05JAN18	6694.50	6797.20	USD	2,567.50	
06OCT17	B	2	PD05JAN18	6698.00	6797.20	USD	4,960.00	
06OCT17	B	1	PD05JAN18	6692.00	6797.20	USD	2,630.00	
06OCT17	B	1	PD05JAN18	6690.00	6797.20	USD	2,680.00	
06OCT17	B	1	PD05JAN18	6689.50	6797.20	USD	2,692.50	
06OCT17	B	2	PD05JAN18	6688.00	6797.20	USD	5,460.00	
06OCT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
06OCT17	B	1	PD05JAN18	6684.00	6797.20	USD	2,830.00	
06OCT17	B	1	PD05JAN18	6685.00	6797.20	USD	2,805.00	
06OCT17	S	1	PD05JAN18	6699.00	6797.20	USD	-2,455.00	
06OCT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	
06OCT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
06OCT17	B	1	PD05JAN18	6685.50	6797.20	USD	2,792.50	
06OCT17	S	1	PD05JAN18	6684.50	6797.20	USD	-2,817.50	
06OCT17	S	1	PD05JAN18	6663.00	6797.20	USD	-3,355.00	
06OCT17	S	2	PD05JAN18	6659.00	6797.20	USD	-6,910.00	
06OCT17	S	4	PD05JAN18	6661.50	6797.20	USD	-13,570.00	
06OCT17	S	1	PD05JAN18	6662.00	6797.20	USD	-3,380.00	
06OCT17	B	1	PD05JAN18	6663.50	6797.20	USD	3,342.50	
06OCT17	S	1	PD05JAN18	6658.50	6797.20	USD	-3,467.50	
06OCT17	S	7	PD05JAN18	6660.00	6797.20	USD	-24,010.00	
06OCT17	S	6	PD05JAN18	6660.50	6797.20	USD	-20,505.00	
06OCT17	S	4	PD05JAN18	6655.50	6797.20	USD	-14,170.00	
	NET	+50 AVG BGHT 6630.19	SOLD 6672.35				278,325.00	0.00
09OCT17	S	1	PD09JAN18	6673.00	6799.07	USD	-3,151.75	
09OCT17	S	3	PD09JAN18	6660.50	6799.07	USD	-10,392.75	
09OCT17	S	2	PD09JAN18	6677.50	6799.07	USD	-6,078.50	
09OCT17	S	6	PD09JAN18	6678.50	6799.07	USD	-18,085.50	
09OCT17	S	6	PD09JAN18	6679.00	6799.07	USD	-18,010.50	
09OCT17	S	5	PD09JAN18	6655.00	6799.07	USD	-18,008.75	
09OCT17	S	10	PD09JAN18	6656.00	6799.07	USD	-35,767.50	
09OCT17	S	5	PD09JAN18	6676.50	6799.07	USD	-15,321.25	
09OCT17	S	2	PD09JAN18	6671.00	6799.07	USD	-6,403.50	
09OCT17	S	2	PD09JAN18	6669.00	6799.07	USD	-6,503.50	
09OCT17	S	7	PD09JAN18	6675.50	6799.07	USD	-21,624.75	
09OCT17	S	2	PD09JAN18	6674.50	6799.07	USD	-6,228.50	
09OCT17	S	1	PD09JAN18	6670.00	6799.07	USD	-3,226.75	
09OCT17	S	1	PD09JAN18	6672.50	6799.07	USD	-3,164.25	
09OCT17	B	1	PD09JAN18	6660.50	6799.07	USD	3,464.25	
09OCT17	B	5	PD09JAN18	6660.00	6799.07	USD	17,383.75	
09OCT17	B	1	PD09JAN18	6658.50	6799.07	USD	3,514.25	
09OCT17	B	3	PD09JAN18	6659.00	6799.07	USD	10,505.25	
09OCT17	S	1	PD09JAN18	6656.50	6799.07	USD	-3,564.25	
09OCT17	S	1	PD09JAN18	6656.00	6799.07	USD	-3,576.75	
09OCT17	S	3	PD09JAN18	6657.00	6799.07	USD	-10,655.25	
09OCT17	S	1	PD09JAN18	6661.50	6799.07	USD	-3,439.25	
09OCT17	B	1	PD09JAN18	6664.00	6799.07	USD	3,376.75	
09OCT17	S	3	PD09JAN18	6663.50	6799.07	USD	-10,167.75	
09OCT17	S	1	PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S	1	PD09JAN18	6660.00	6799.07	USD	-3,476.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	6662.50	6799.07	USD	-3,414.25	
09OCT17	S	3	PD09JAN18	6658.50	6799.07	USD	-10,542.75	
09OCT17	S	2	PD09JAN18	6659.50	6799.07	USD	-6,978.50	
09OCT17	S	1	PD09JAN18	6656.50	6799.07	USD	-3,564.25	
09OCT17	S	10	PD09JAN18	6657.00	6799.07	USD	-35,517.50	
09OCT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
09OCT17	S	2	PD09JAN18	6654.50	6799.07	USD	-7,228.50	
09OCT17	S	1	PD09JAN18	6653.50	6799.07	USD	-3,639.25	
09OCT17	S	1	PD09JAN18	6651.50	6799.07	USD	-3,689.25	
09OCT17	S	1	PD09JAN18	6642.50	6799.07	USD	-3,914.25	
09OCT17	S	1	PD09JAN18	6648.00	6799.07	USD	-3,776.75	
09OCT17	S	1	PD09JAN18	6647.50	6799.07	USD	-3,789.25	
09OCT17	S	1	PD09JAN18	6647.00	6799.07	USD	-3,801.75	
09OCT17	S	2	PD09JAN18	6649.50	6799.07	USD	-7,478.50	
09OCT17	B	2	PD09JAN18	6674.50	6799.07	USD	6,228.50	
09OCT17	B	2	PD09JAN18	6676.00	6799.07	USD	6,153.50	
09OCT17	B	2	PD09JAN18	6672.50	6799.07	USD	6,328.50	
	NET	-75 AVG BGHT 6665.06	SOLD 6663.73				-254,318.75	0.00
10OCT17	S	1	PD10JAN18	6706.00	6799.53	USD	-2,338.25	
10OCT17	S	4	PD10JAN18	6707.00	6799.53	USD	-9,253.00	
10OCT17	S	1	PD10JAN18	6687.00	6799.53	USD	-2,813.25	
10OCT17	S	1	PD10JAN18	6691.00	6799.53	USD	-2,713.25	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	B	1	PD10JAN18	6697.00	6799.53	USD	2,563.25	
10OCT17	B	2	PD10JAN18	6701.50	6799.53	USD	4,901.50	
10OCT17	B	1	PD10JAN18	6703.50	6799.53	USD	2,400.75	
10OCT17	B	1	PD10JAN18	6704.50	6799.53	USD	2,375.75	
10OCT17	S	1	PD10JAN18	6695.00	6799.53	USD	-2,613.25	
10OCT17	S	2	PD10JAN18	6696.00	6799.53	USD	-5,176.50	
10OCT17	S	7	PD10JAN18	6697.00	6799.53	USD	-17,942.75	
10OCT17	S	1	PD10JAN18	6698.50	6799.53	USD	-2,525.75	
10OCT17	S	1	PD10JAN18	6696.50	6799.53	USD	-2,575.75	
10OCT17	S	1	PD10JAN18	6699.00	6799.53	USD	-2,513.25	
10OCT17	S	3	PD10JAN18	6697.50	6799.53	USD	-7,652.25	
10OCT17	S	1	PD10JAN18	6683.50	6799.53	USD	-2,900.75	
10OCT17	S	1	PD10JAN18	6684.50	6799.53	USD	-2,875.75	
10OCT17	S	1	PD10JAN18	6685.50	6799.53	USD	-2,850.75	
10OCT17	S	2	PD10JAN18	6686.50	6799.53	USD	-5,651.50	
10OCT17	S	6	PD10JAN18	6688.00	6799.53	USD	-16,729.50	
10OCT17	S	2	PD10JAN18	6689.00	6799.53	USD	-5,526.50	
10OCT17	S	2	PD10JAN18	6690.00	6799.53	USD	-5,476.50	
10OCT17	S	5	PD10JAN18	6692.00	6799.53	USD	-13,441.25	
10OCT17	S	1	PD10JAN18	6694.50	6799.53	USD	-2,625.75	
10OCT17	S	7	PD10JAN18	6693.50	6799.53	USD	-18,555.25	
10OCT17	B	2	PD10JAN18	6728.00	6799.53	USD	3,576.50	
10OCT17	B	1	PD10JAN18	6743.00	6799.53	USD	1,413.25	
10OCT17	B	1	PD10JAN18	6742.00	6799.53	USD	1,438.25	
10OCT17	B	1	PD10JAN18	6741.00	6799.53	USD	1,463.25	
10OCT17	B	1	PD10JAN18	6738.50	6799.53	USD	1,525.75	
10OCT17	B	2	PD10JAN18	6740.00	6799.53	USD	2,976.50	
10OCT17	B	3	PD10JAN18	6739.50	6799.53	USD	4,502.25	
10OCT17	B	2	PD10JAN18	6738.00	6799.53	USD	3,076.50	
10OCT17	B	5	PD10JAN18	6737.00	6799.53	USD	7,816.25	
10OCT17	B	2	PD10JAN18	6739.00	6799.53	USD	3,026.50	
10OCT17	B	2	PD10JAN18	6737.50	6799.53	USD	3,101.50	
10OCT17	B	3	PD10JAN18	6754.00	6799.53	USD	3,414.75	
10OCT17	B	1	PD10JAN18	6750.50	6799.53	USD	1,225.75	

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10OCT17	B	1	PD10JAN18	6749.00	6799.53	USD	1,263.25	
10OCT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
10OCT17	B	1	PD10JAN18	6748.00	6799.53	USD	1,288.25	
10OCT17	B	5	PD10JAN18	6749.50	6799.53	USD	6,253.75	
10OCT17	S	1	PD10JAN18	6749.50	6799.53	USD	-1,250.75	
10OCT17	S	1	PD10JAN18	6740.00	6799.53	USD	-1,488.25	
10OCT17	S	1	PD10JAN18	6741.00	6799.53	USD	-1,463.25	
10OCT17	S	2	PD10JAN18	6742.00	6799.53	USD	-2,876.50	
10OCT17	S	1	PD10JAN18	6744.50	6799.53	USD	-1,375.75	
10OCT17	S	1	PD10JAN18	6747.00	6799.53	USD	-1,313.25	
10OCT17	S	4	PD10JAN18	6745.50	6799.53	USD	-5,403.00	
10OCT17	S	1	PD10JAN18	6752.00	6799.53	USD	-1,188.25	
10OCT17	S	2	PD10JAN18	6751.50	6799.53	USD	-2,401.50	
10OCT17	S	4	PD10JAN18	6753.00	6799.53	USD	-4,653.00	
10OCT17	S	11	PD10JAN18	6752.50	6799.53	USD	-12,933.25	
	NET	-40 AVG	BGHT 6735.98				-107,542.50	0.00
			SOLD 6713.98					
11OCT17	B	5	PD11JAN18	6729.00	6800.00	USD	8,875.00	
11OCT17	B	1	PD11JAN18	6728.00	6800.00	USD	1,800.00	
11OCT17	B	11	PD11JAN18	6730.00	6800.00	USD	19,250.00	
11OCT17	B	1	PD11JAN18	6726.50	6800.00	USD	1,837.50	
11OCT17	B	1	PD11JAN18	6730.00	6800.00	USD	1,750.00	
11OCT17	B	2	PD11JAN18	6728.50	6800.00	USD	3,575.00	
11OCT17	B	6	PD11JAN18	6729.50	6800.00	USD	10,575.00	
11OCT17	S	1	PD11JAN18	6818.50	6800.00	USD	462.50	
11OCT17	B	3	PD11JAN18	6738.00	6800.00	USD	4,650.00	
11OCT17	B	3	PD11JAN18	6739.00	6800.00	USD	4,575.00	
11OCT17	S	1	PD11JAN18	6732.00	6800.00	USD	-1,700.00	
11OCT17	S	3	PD11JAN18	6750.00	6800.00	USD	-3,750.00	
11OCT17	S	1	PD11JAN18	6748.00	6800.00	USD	-1,300.00	
11OCT17	S	1	PD11JAN18	6751.50	6800.00	USD	-1,212.50	
11OCT17	S	2	PD11JAN18	6757.00	6800.00	USD	-2,150.00	
11OCT17	S	2	PD11JAN18	6759.00	6800.00	USD	-2,050.00	
11OCT17	S	1	PD11JAN18	6759.50	6800.00	USD	-1,012.50	
11OCT17	S	3	PD11JAN18	6760.50	6800.00	USD	-2,962.50	
11OCT17	S	1	PD11JAN18	6756.00	6800.00	USD	-1,100.00	
11OCT17	S	3	PD11JAN18	6755.00	6800.00	USD	-3,375.00	
11OCT17	S	1	PD11JAN18	6754.00	6800.00	USD	-1,150.00	
11OCT17	S	4	PD11JAN18	6752.50	6800.00	USD	-4,750.00	
11OCT17	S	3	PD11JAN18	6750.50	6800.00	USD	-3,712.50	
11OCT17	S	1	PD11JAN18	6740.50	6800.00	USD	-1,487.50	
11OCT17	B	1	PD11JAN18	6735.00	6800.00	USD	1,625.00	
11OCT17	B	2	PD11JAN18	6734.50	6800.00	USD	3,275.00	
11OCT17	B	1	PD11JAN18	6742.50	6800.00	USD	1,437.50	
11OCT17	S	1	PD11JAN18	6747.50	6800.00	USD	-1,312.50	
11OCT17	S	1	PD11JAN18	6743.00	6800.00	USD	-1,425.00	
11OCT17	S	1	PD11JAN18	6744.50	6800.00	USD	-1,387.50	
11OCT17	S	2	PD11JAN18	6739.50	6800.00	USD	-3,025.00	
11OCT17	S	2	PD11JAN18	6738.50	6800.00	USD	-3,075.00	
11OCT17	S	1	PD11JAN18	6738.00	6800.00	USD	-1,550.00	
11OCT17	B	1	PD11JAN18	6733.50	6800.00	USD	1,662.50	
11OCT17	B	5	PD11JAN18	6730.50	6800.00	USD	8,687.50	
11OCT17	B	6	PD11JAN18	6732.50	6800.00	USD	10,125.00	
11OCT17	B	1	PD11JAN18	6737.00	6800.00	USD	1,575.00	
11OCT17	B	1	PD11JAN18	6790.50	6800.00	USD	237.50	
11OCT17	B	2	PD11JAN18	6793.00	6800.00	USD	350.00	
11OCT17	B	1	PD11JAN18	6793.50	6800.00	USD	162.50	
11OCT17	B	3	PD11JAN18	6792.50	6800.00	USD	562.50	

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11OCT17	B	8	PD11JAN18	6792.00	6800.00	USD	1,600.00	
11OCT17	B	2	PD11JAN18	6791.00	6800.00	USD	450.00	
11OCT17	B	7	PD11JAN18	6790.00	6800.00	USD	1,750.00	
11OCT17	B	1	PD11JAN18	6782.50	6800.00	USD	437.50	
11OCT17	B	1	PD11JAN18	6781.00	6800.00	USD	475.00	
11OCT17	B	1	PD11JAN18	6780.00	6800.00	USD	500.00	
11OCT17	B	1	PD11JAN18	6775.00	6800.00	USD	625.00	
11OCT17	S	1	PD11JAN18	6782.50	6800.00	USD	-437.50	
11OCT17	B	1	PD11JAN18	6778.00	6800.00	USD	550.00	
11OCT17	B	1	PD11JAN18	6777.50	6800.00	USD	562.50	
11OCT17	B	2	PD11JAN18	6777.00	6800.00	USD	1,150.00	
11OCT17	B	3	PD11JAN18	6779.00	6800.00	USD	1,575.00	
11OCT17	B	1	PD11JAN18	6772.50	6800.00	USD	687.50	
11OCT17	B	2	PD11JAN18	6770.50	6800.00	USD	1,475.00	
11OCT17	B	5	PD11JAN18	6765.00	6800.00	USD	4,375.00	
11OCT17	B	1	PD11JAN18	6768.00	6800.00	USD	800.00	
11OCT17	B	1	PD11JAN18	6769.50	6800.00	USD	762.50	
11OCT17	B	1	PD11JAN18	6774.00	6800.00	USD	650.00	
11OCT17	B	4	PD11JAN18	6771.50	6800.00	USD	2,850.00	
11OCT17	B	1	PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	B	1	PD11JAN18	6774.00	6800.00	USD	650.00	
11OCT17	B	5	PD11JAN18	6773.00	6800.00	USD	3,375.00	
11OCT17	S	1	PD11JAN18	6775.50	6800.00	USD	-612.50	
11OCT17	B	1	PD11JAN18	6781.50	6800.00	USD	462.50	
11OCT17	B	4	PD11JAN18	6807.00	6800.00	USD	-700.00	
11OCT17	B	2	PD11JAN18	6806.50	6800.00	USD	-325.00	
11OCT17	B	1	PD11JAN18	6809.50	6800.00	USD	-237.50	
11OCT17	B	2	PD11JAN18	6808.50	6800.00	USD	-425.00	
11OCT17	B	17	PD11JAN18	6810.00	6800.00	USD	-4,250.00	
11OCT17	B	3	PD11JAN18	6803.00	6800.00	USD	-225.00	
11OCT17	B	2	PD11JAN18	6809.00	6800.00	USD	-450.00	
11OCT17	B	2	PD11JAN18	6808.00	6800.00	USD	-400.00	
11OCT17	B	3	PD11JAN18	6794.00	6800.00	USD	450.00	
11OCT17	B	7	PD11JAN18	6797.00	6800.00	USD	525.00	
11OCT17	B	5	PD11JAN18	6795.50	6800.00	USD	562.50	
11OCT17	S	2	PD11JAN18	6811.00	6800.00	USD	550.00	
11OCT17	S	1	PD11JAN18	6813.00	6800.00	USD	325.00	
11OCT17	S	1	PD11JAN18	6814.50	6800.00	USD	362.50	
11OCT17	S	6	PD11JAN18	6816.00	6800.00	USD	2,400.00	
11OCT17	S	1	PD11JAN18	6814.00	6800.00	USD	350.00	
11OCT17	S	6	PD11JAN18	6815.00	6800.00	USD	2,250.00	
11OCT17	S	6	PD11JAN18	6816.50	6800.00	USD	2,475.00	
11OCT17	S	2	PD11JAN18	6817.50	6800.00	USD	875.00	
11OCT17	S	1	PD11JAN18	6819.00	6800.00	USD	475.00	
11OCT17	S	8	PD11JAN18	6817.00	6800.00	USD	3,400.00	
11OCT17	B	1	PD11JAN18	6809.00	6800.00	USD	-225.00	
	NET	+85 AVG BGHT 6772.78 SOLD 6782.99					76,225.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	2	DEC17	307.450	309.550	USD	-1,050.00	
11OCT17	S	4	DEC17	307.550	309.550	USD	-2,000.00	
11OCT17	S	2	DEC17	307.750	309.550	USD	-900.00	
11OCT17	S	14	DEC17	307.700	309.550	USD	-6,475.00	
11OCT17	S	2	DEC17	308.050	309.550	USD	-750.00	
11OCT17	S	5	DEC17	309.250	309.550	USD	-375.00	
11OCT17	S	8	DEC17	309.200	309.550	USD	-700.00	
11OCT17	S	33	DEC17	309.400	309.550	USD	-1,237.50	
11OCT17	S	7	DEC17	309.050	309.550	USD	-875.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
11OCT17	S 11 DEC17	309.350	309.550	USD	-550.00	
11OCT17	S 11 DEC17	309.300	309.550	USD	-687.50	
11OCT17	S 4 DEC17	308.650	309.550	USD	-900.00	
11OCT17	S 6 DEC17	308.800	309.550	USD	-1,125.00	
11OCT17	S 4 DEC17	308.700	309.550	USD	-850.00	
11OCT17	S 19 DEC17	308.750	309.550	USD	-3,800.00	
	NET -132 AVG SOLD 308.875				-22,275.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-10,867,261.25
CMX Copper	FUTURES	10,192,875.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-22,275.00	-22,275.00	-22,275.00	-22,275.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-673,036.25	-673,036.25	-673,036.25	-673,036.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	709,845.18	709,845.18
Variation Margins - Futures	-22,275.00	-22,275.00
- Options	0.00	0.00
TOTAL EQUITY	687,570.18	687,570.18
Initial Margins	-1,865,768.25	-1,865,768.25
Excess/(Shortage)	-1,178,198.07	-1,178,198.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-673,036.25	-673,036.25
Net Liquidating Value	14,533.93	14,533.93

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	4	PD24NOV17	6608.50	6780.52	USD	17,202.00	
24AUG17	B	2	PD24NOV17	6609.50	6780.52	USD	8,551.00	
24AUG17	B	4	PD24NOV17	6607.50	6780.52	USD	17,302.00	
24AUG17	B	1	PD24NOV17	6603.50	6780.52	USD	4,425.50	
24AUG17	B	1	PD24NOV17	6602.00	6780.52	USD	4,463.00	
24AUG17	B	2	PD24NOV17	6601.00	6780.52	USD	8,976.00	
24AUG17	B	1	PD24NOV17	6600.00	6780.52	USD	4,513.00	
24AUG17	S	1	PD24NOV17	6616.00	6780.52	USD	-4,113.00	
24AUG17	S	1	PD24NOV17	6617.00	6780.52	USD	-4,088.00	
24AUG17	S	3	PD24NOV17	6614.50	6780.52	USD	-12,451.50	
24AUG17	S	2	PD24NOV17	6615.50	6780.52	USD	-8,251.00	
24AUG17	S	3	PD24NOV17	6616.50	6780.52	USD	-12,301.50	
24AUG17	S	6	PD24NOV17	6617.50	6780.52	USD	-24,453.00	
24AUG17	S	1	PD24NOV17	6619.50	6780.52	USD	-4,025.50	
24AUG17	S	3	PD24NOV17	6620.50	6780.52	USD	-12,001.50	
24AUG17	S	2	PD24NOV17	6631.00	6780.52	USD	-7,476.00	
24AUG17	S	3	PD24NOV17	6632.00	6780.52	USD	-11,139.00	
24AUG17	S	1	PD24NOV17	6626.50	6780.52	USD	-3,850.50	
24AUG17	S	1	PD24NOV17	6627.50	6780.52	USD	-3,825.50	
24AUG17	S	2	PD24NOV17	6628.50	6780.52	USD	-7,601.00	
24AUG17	S	1	PD24NOV17	6629.50	6780.52	USD	-3,775.50	
24AUG17	B	2	PD24NOV17	6683.50	6780.52	USD	4,851.00	
24AUG17	B	1	PD24NOV17	6681.00	6780.52	USD	2,488.00	
24AUG17	S	2	PD24NOV17	6680.50	6780.52	USD	-5,001.00	
24AUG17	S	1	PD24NOV17	6681.50	6780.52	USD	-2,475.50	
24AUG17	S	4	PD24NOV17	6702.50	6780.52	USD	-7,802.00	
24AUG17	S	1	PD24NOV17	6704.50	6780.52	USD	-1,900.50	
24AUG17	S	2	PD24NOV17	6704.00	6780.52	USD	-3,826.00	
24AUG17	S	4	PD24NOV17	6705.00	6780.52	USD	-7,552.00	
24AUG17	S	4	PD24NOV17	6703.50	6780.52	USD	-7,702.00	
24AUG17	S	4	PD24NOV17	6706.00	6780.52	USD	-7,452.00	
24AUG17	S	3	PD24NOV17	6707.00	6780.52	USD	-5,514.00	
24AUG17	S	3	PD24NOV17	6708.00	6780.52	USD	-5,439.00	
24AUG17	S	1	PD24NOV17	6709.00	6780.52	USD	-1,788.00	
24AUG17	S	4	PD24NOV17	6711.50	6780.52	USD	-6,902.00	
25AUG17	B	1	PD24NOV17	6714.00	6780.52	USD	1,663.00	
25AUG17	B	1	PD24NOV17	6713.50	6780.52	USD	1,675.50	
25AUG17	B	1	PD24NOV17	6713.00	6780.52	USD	1,688.00	
25AUG17	B	1	PD24NOV17	6700.00	6780.52	USD	2,013.00	
25AUG17	B	1	PD24NOV17	6702.50	6780.52	USD	1,950.50	
25AUG17	B	1	PD24NOV17	6703.50	6780.52	USD	1,925.50	
25AUG17	S	1	PD24NOV17	6724.50	6780.52	USD	-1,400.50	
25AUG17	S	1	PD24NOV17	6723.50	6780.52	USD	-1,425.50	
25AUG17	S	2	PD24NOV17	6723.00	6780.52	USD	-2,876.00	
25AUG17	B	2	PD24NOV17	6729.50	6780.52	USD	2,551.00	
25AUG17	B	4	PD24NOV17	6728.50	6780.52	USD	5,202.00	
25AUG17	B	8	PD24NOV17	6727.50	6780.52	USD	10,604.00	
25AUG17	S	1	PD24NOV17	6734.50	6780.52	USD	-1,150.50	
25AUG17	S	1	PD24NOV17	6732.50	6780.52	USD	-1,200.50	
25AUG17	S	1	PD24NOV17	6734.00	6780.52	USD	-1,163.00	
25AUG17	S	4	PD24NOV17	6739.00	6780.52	USD	-4,152.00	
25AUG17	S	1	PD24NOV17	6737.50	6780.52	USD	-1,075.50	
25AUG17	S	6	PD24NOV17	6738.50	6780.52	USD	-6,303.00	
25AUG17	S	4	PD24NOV17	6739.50	6780.52	USD	-4,102.00	
25AUG17	S	4	PD24NOV17	6740.50	6780.52	USD	-4,002.00	
25AUG17	B	6	PD24NOV17	6730.00	6780.52	USD	7,578.00	
25AUG17	B	1	PD24NOV17	6724.50	6780.52	USD	1,400.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	6721.00	6780.52	USD	1,488.00	
25AUG17	B	2	PD24NOV17	6722.50	6780.52	USD	2,901.00	
25AUG17	B	7	PD24NOV17	6722.00	6780.52	USD	10,241.00	
25AUG17	B	5	PD24NOV17	6720.00	6780.52	USD	7,565.00	
25AUG17	B	1	PD24NOV17	6718.00	6780.52	USD	1,563.00	
25AUG17	B	5	PD24NOV17	6719.00	6780.52	USD	7,690.00	
25AUG17	S	2	PD24NOV17	6719.50	6780.52	USD	-3,051.00	
25AUG17	S	1	PD24NOV17	6736.00	6780.52	USD	-1,113.00	
25AUG17	S	4	PD24NOV17	6737.00	6780.52	USD	-4,352.00	
25AUG17	S	3	PD24NOV17	6738.00	6780.52	USD	-3,189.00	
25AUG17	B	1	PD24NOV17	6714.00	6780.52	USD	1,663.00	
25AUG17	B	1	PD24NOV17	6712.00	6780.52	USD	1,713.00	
25AUG17	B	1	PD24NOV17	6700.00	6780.52	USD	2,013.00	
25AUG17	B	1	PD24NOV17	6728.50	6780.52	USD	1,300.50	
25AUG17	B	1	PD24NOV17	6718.00	6780.52	USD	1,563.00	
25AUG17	B	2	PD24NOV17	6735.50	6780.52	USD	2,251.00	
25AUG17	B	1	PD24NOV17	6723.50	6780.52	USD	1,425.50	
25AUG17	B	1	PD24NOV17	6724.00	6780.52	USD	1,413.00	
25AUG17	B	1	PD24NOV17	6725.00	6780.52	USD	1,388.00	
25AUG17	B	1	PD24NOV17	6715.00	6780.52	USD	1,638.00	
25AUG17	B	5	PD24NOV17	6719.50	6780.52	USD	7,627.50	
25AUG17	B	6	PD24NOV17	6718.50	6780.52	USD	9,303.00	
25AUG17	B	1	PD24NOV17	6717.50	6780.52	USD	1,575.50	
25AUG17	S	5	PD24NOV17	6690.00	6780.52	USD	-11,315.00	
25AUG17	S	2	PD24NOV17	6693.00	6780.52	USD	-4,376.00	
25AUG17	S	1	PD24NOV17	6695.00	6780.52	USD	-2,138.00	
25AUG17	S	1	PD24NOV17	6686.50	6780.52	USD	-2,350.50	
25AUG17	S	3	PD24NOV17	6684.50	6780.52	USD	-7,201.50	
25AUG17	S	2	PD24NOV17	6685.50	6780.52	USD	-4,751.00	
25AUG17	S	2	PD24NOV17	6692.00	6780.52	USD	-4,426.00	
25AUG17	S	3	PD24NOV17	6687.50	6780.52	USD	-6,976.50	
25AUG17	S	2	PD24NOV17	6680.00	6780.52	USD	-5,026.00	
25AUG17	S	1	PD24NOV17	6678.00	6780.52	USD	-2,563.00	
25AUG17	S	1	PD24NOV17	6679.00	6780.52	USD	-2,538.00	
25AUG17	S	1	PD24NOV17	6688.00	6780.52	USD	-2,313.00	
25AUG17	S	1	PD24NOV17	6702.00	6780.52	USD	-1,963.00	
25AUG17	S	2	PD24NOV17	6700.50	6780.52	USD	-4,001.00	
25AUG17	S	3	PD24NOV17	6701.00	6780.52	USD	-5,964.00	
25AUG17	S	2	PD24NOV17	6706.00	6780.52	USD	-3,726.00	
25AUG17	S	1	PD24NOV17	6706.50	6780.52	USD	-1,850.50	
25AUG17	S	1	PD24NOV17	6707.00	6780.52	USD	-1,838.00	
25AUG17	S	1	PD24NOV17	6706.00	6780.52	USD	-1,863.00	
25AUG17	S	2	PD24NOV17	6674.50	6780.52	USD	-5,301.00	
25AUG17	S	3	PD24NOV17	6675.50	6780.52	USD	-7,876.50	
25AUG17	S	2	PD24NOV17	6676.50	6780.52	USD	-5,201.00	
25AUG17	S	3	PD24NOV17	6672.00	6780.52	USD	-8,139.00	
25AUG17	S	2	PD24NOV17	6673.00	6780.52	USD	-5,376.00	
25AUG17	S	5	PD24NOV17	6671.00	6780.52	USD	-13,690.00	
25AUG17	S	1	PD24NOV17	6700.00	6780.52	USD	-2,013.00	
25AUG17	S	2	PD24NOV17	6699.00	6780.52	USD	-4,076.00	
25AUG17	S	1	PD24NOV17	6704.00	6780.52	USD	-1,913.00	
25AUG17	S	4	PD24NOV17	6701.50	6780.52	USD	-7,902.00	
30AUG17	B	30	PD24NOV17	6767.50	6780.52	USD	9,765.00	
04SEP17	B	40	PD24NOV17	6913.04	6780.52	USD	-132,520.00	
	NET	0	AVG BGHT 6766.79	SOLD 6689.47			-307,340.00	0.00
29AUG17	S	2	PD29NOV17	6760.50	6783.18	USD	-1,134.00	
29AUG17	S	3	PD29NOV17	6759.50	6783.18	USD	-1,776.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	6780.50	6783.18	USD	-67.00	
29AUG17	S	4	PD29NOV17	6781.50	6783.18	USD	-168.00	
29AUG17	S	3	PD29NOV17	6765.00	6783.18	USD	-1,363.50	
29AUG17	S	2	PD29NOV17	6764.00	6783.18	USD	-959.00	
29AUG17	B	2	PD29NOV17	6815.00	6783.18	USD	-1,591.00	
29AUG17	B	3	PD29NOV17	6816.50	6783.18	USD	-2,499.00	
29AUG17	S	1	PD29NOV17	6816.50	6783.18	USD	833.00	
29AUG17	S	1	PD29NOV17	6818.50	6783.18	USD	883.00	
29AUG17	S	2	PD29NOV17	6817.50	6783.18	USD	1,716.00	
29AUG17	S	5	PD29NOV17	6817.00	6783.18	USD	4,227.50	
29AUG17	B	1	PD29NOV17	6820.00	6783.18	USD	-920.50	
29AUG17	B	1	PD29NOV17	6819.50	6783.18	USD	-908.00	
29AUG17	B	1	PD29NOV17	6816.00	6783.18	USD	-820.50	
29AUG17	B	7	PD29NOV17	6818.00	6783.18	USD	-6,093.50	
29AUG17	B	4	PD29NOV17	6822.50	6783.18	USD	-3,932.00	
29AUG17	B	2	PD29NOV17	6823.00	6783.18	USD	-1,991.00	
29AUG17	B	1	PD29NOV17	6823.50	6783.18	USD	-1,008.00	
29AUG17	B	2	PD29NOV17	6824.00	6783.18	USD	-2,041.00	
29AUG17	B	1	PD29NOV17	6806.50	6783.18	USD	-583.00	
29AUG17	B	1	PD29NOV17	6807.50	6783.18	USD	-608.00	
29AUG17	B	2	PD29NOV17	6808.50	6783.18	USD	-1,266.00	
29AUG17	B	1	PD29NOV17	6806.00	6783.18	USD	-570.50	
29AUG17	B	1	PD29NOV17	6799.50	6783.18	USD	-408.00	
29AUG17	B	4	PD29NOV17	6799.00	6783.18	USD	-1,582.00	
29AUG17	B	1	PD29NOV17	6801.00	6783.18	USD	-445.50	
29AUG17	B	1	PD29NOV17	6802.50	6783.18	USD	-483.00	
29AUG17	B	3	PD29NOV17	6807.00	6783.18	USD	-1,786.50	
29AUG17	B	2	PD29NOV17	6803.00	6783.18	USD	-991.00	
29AUG17	B	3	PD29NOV17	6802.00	6783.18	USD	-1,411.50	
29AUG17	B	2	PD29NOV17	6813.00	6783.18	USD	-1,491.00	
29AUG17	B	3	PD29NOV17	6811.50	6783.18	USD	-2,124.00	
29AUG17	B	3	PD29NOV17	6812.50	6783.18	USD	-2,199.00	
29AUG17	B	11	PD29NOV17	6812.00	6783.18	USD	-7,925.50	
29AUG17	B	1	PD29NOV17	6810.50	6783.18	USD	-683.00	
29AUG17	S	2	PD29NOV17	6824.00	6783.18	USD	2,041.00	
29AUG17	S	2	PD29NOV17	6825.50	6783.18	USD	2,116.00	
29AUG17	S	1	PD29NOV17	6828.00	6783.18	USD	1,120.50	
29AUG17	S	1	PD29NOV17	6817.50	6783.18	USD	858.00	
29AUG17	B	1	PD29NOV17	6822.50	6783.18	USD	-983.00	
29AUG17	S	1	PD29NOV17	6791.00	6783.18	USD	195.50	
29AUG17	S	1	PD29NOV17	6792.00	6783.18	USD	220.50	
29AUG17	S	1	PD29NOV17	6793.00	6783.18	USD	245.50	
29AUG17	S	2	PD29NOV17	6794.00	6783.18	USD	541.00	
29AUG17	B	1	PD29NOV17	6791.50	6783.18	USD	-208.00	
29AUG17	B	3	PD29NOV17	6792.50	6783.18	USD	-699.00	
29AUG17	B	1	PD29NOV17	6793.50	6783.18	USD	-258.00	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
29AUG17	S	4	PD29NOV17	6804.50	6783.18	USD	2,132.00	
30AUG17	S	30	PD29NOV17	6768.55	6783.18	USD	-10,972.50	
	NET	0 AVG BGHT 6810.90	SOLD 6783.86				-47,312.50	0.00
30AUG17	B	2	PD30NOV17	6820.50	6783.72	USD	-1,839.00	
30AUG17	B	2	PD30NOV17	6818.50	6783.72	USD	-1,739.00	
30AUG17	B	1	PD30NOV17	6819.00	6783.72	USD	-882.00	
30AUG17	B	1	PD30NOV17	6821.00	6783.72	USD	-932.00	
30AUG17	B	2	PD30NOV17	6820.00	6783.72	USD	-1,814.00	
30AUG17	B	1	PD30NOV17	6815.00	6783.72	USD	-782.00	
30AUG17	B	1	PD30NOV17	6816.00	6783.72	USD	-807.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	6815.50	6783.72	USD	-794.50	
30AUG17	B	5	PD30NOV17	6817.50	6783.72	USD	-4,222.50	
30AUG17	B	2	PD30NOV17	6817.00	6783.72	USD	-1,664.00	
30AUG17	B	1	PD30NOV17	6814.50	6783.72	USD	-769.50	
30AUG17	B	1	PD30NOV17	6803.50	6783.72	USD	-494.50	
30AUG17	B	1	PD30NOV17	6785.00	6783.72	USD	-32.00	
30AUG17	B	3	PD30NOV17	6790.00	6783.72	USD	-471.00	
30AUG17	B	1	PD30NOV17	6789.50	6783.72	USD	-144.50	
30AUG17	B	1	PD30NOV17	6788.50	6783.72	USD	-119.50	
30AUG17	B	1	PD30NOV17	6791.00	6783.72	USD	-182.00	
30AUG17	B	3	PD30NOV17	6788.00	6783.72	USD	-321.00	
30AUG17	B	1	PD30NOV17	6787.50	6783.72	USD	-94.50	
30AUG17	B	1	PD30NOV17	6789.00	6783.72	USD	-132.00	
30AUG17	B	1	PD30NOV17	6784.50	6783.72	USD	-19.50	
30AUG17	B	1	PD30NOV17	6783.00	6783.72	USD	18.00	
30AUG17	B	1	PD30NOV17	6782.00	6783.72	USD	43.00	
30AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	
30AUG17	B	1	PD30NOV17	6797.00	6783.72	USD	-332.00	
30AUG17	B	1	PD30NOV17	6794.00	6783.72	USD	-257.00	
30AUG17	B	6	PD30NOV17	6785.50	6783.72	USD	-267.00	
30AUG17	B	1	PD30NOV17	6783.50	6783.72	USD	5.50	
30AUG17	B	2	PD30NOV17	6784.00	6783.72	USD	-14.00	
30AUG17	B	5	PD30NOV17	6782.50	6783.72	USD	152.50	
30AUG17	B	1	PD30NOV17	6778.50	6783.72	USD	130.50	
30AUG17	B	1	PD30NOV17	6778.00	6783.72	USD	143.00	
30AUG17	B	1	PD30NOV17	6775.00	6783.72	USD	218.00	
30AUG17	B	1	PD30NOV17	6787.00	6783.72	USD	-82.00	
30AUG17	B	1	PD30NOV17	6786.50	6783.72	USD	-69.50	
30AUG17	B	1	PD30NOV17	6777.50	6783.72	USD	155.50	
30AUG17	B	1	PD30NOV17	6770.00	6783.72	USD	343.00	
30AUG17	B	2	PD30NOV17	6772.50	6783.72	USD	561.00	
30AUG17	B	1	PD30NOV17	6769.00	6783.72	USD	368.00	
30AUG17	B	1	PD30NOV17	6775.50	6783.72	USD	205.50	
30AUG17	B	1	PD30NOV17	6776.00	6783.72	USD	193.00	
30AUG17	B	4	PD30NOV17	6772.00	6783.72	USD	1,172.00	
30AUG17	B	4	PD30NOV17	6768.00	6783.72	USD	1,572.00	
30AUG17	B	3	PD30NOV17	6768.50	6783.72	USD	1,141.50	
31AUG17	B	3	PD30NOV17	6806.50	6783.72	USD	-1,708.50	
31AUG17	B	1	PD30NOV17	6807.50	6783.72	USD	-594.50	
31AUG17	B	1	PD30NOV17	6808.00	6783.72	USD	-607.00	
31AUG17	B	1	PD30NOV17	6807.00	6783.72	USD	-582.00	
31AUG17	B	2	PD30NOV17	6804.50	6783.72	USD	-1,039.00	
31AUG17	B	3	PD30NOV17	6805.50	6783.72	USD	-1,633.50	
31AUG17	B	2	PD30NOV17	6803.50	6783.72	USD	-989.00	
31AUG17	B	1	PD30NOV17	6802.50	6783.72	USD	-469.50	
31AUG17	B	1	PD30NOV17	6803.00	6783.72	USD	-482.00	
31AUG17	B	2	PD30NOV17	6822.50	6783.72	USD	-1,939.00	
31AUG17	B	1	PD30NOV17	6821.50	6783.72	USD	-944.50	
31AUG17	B	2	PD30NOV17	6856.50	6783.72	USD	-3,639.00	
31AUG17	B	2	PD30NOV17	6859.00	6783.72	USD	-3,764.00	
31AUG17	B	3	PD30NOV17	6860.00	6783.72	USD	-5,721.00	
31AUG17	B	4	PD30NOV17	6858.00	6783.72	USD	-7,428.00	
31AUG17	B	1	PD30NOV17	6861.50	6783.72	USD	-1,944.50	
31AUG17	B	1	PD30NOV17	6860.50	6783.72	USD	-1,919.50	
31AUG17	B	7	PD30NOV17	6859.50	6783.72	USD	-13,261.50	
31AUG17	B	1	PD30NOV17	6857.50	6783.72	USD	-1,844.50	
31AUG17	B	1	PD30NOV17	6853.00	6783.72	USD	-1,732.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	2	PD30NOV17	6854.00	6783.72	USD	-3,514.00	
31AUG17	B	1	PD30NOV17	6851.50	6783.72	USD	-1,694.50	
31AUG17	B	1	PD30NOV17	6849.50	6783.72	USD	-1,644.50	
31AUG17	B	1	PD30NOV17	6849.00	6783.72	USD	-1,632.00	
31AUG17	B	1	PD30NOV17	6848.00	6783.72	USD	-1,607.00	
31AUG17	B	1	PD30NOV17	6847.00	6783.72	USD	-1,582.00	
31AUG17	B	1	PD30NOV17	6832.50	6783.72	USD	-1,219.50	
31AUG17	S	1	PD30NOV17	6835.50	6783.72	USD	1,294.50	
31AUG17	B	3	PD30NOV17	6833.50	6783.72	USD	-3,733.50	
31AUG17	B	1	PD30NOV17	6829.00	6783.72	USD	-1,132.00	
31AUG17	B	1	PD30NOV17	6824.00	6783.72	USD	-1,007.00	
31AUG17	S	2	PD30NOV17	6828.00	6783.72	USD	2,214.00	
31AUG17	B	2	PD30NOV17	6820.00	6783.72	USD	-1,814.00	
31AUG17	B	2	PD30NOV17	6822.50	6783.72	USD	-1,939.00	
31AUG17	B	1	PD30NOV17	6855.50	6783.72	USD	-1,794.50	
31AUG17	S	10	PD30NOV17	6790.50	6783.72	USD	1,695.00	
31AUG17	S	2	PD30NOV17	6802.50	6783.72	USD	939.00	
31AUG17	S	3	PD30NOV17	6800.00	6783.72	USD	1,221.00	
31AUG17	B	2	PD30NOV17	6796.00	6783.72	USD	-614.00	
31AUG17	B	1	PD30NOV17	6795.00	6783.72	USD	-282.00	
31AUG17	B	2	PD30NOV17	6793.00	6783.72	USD	-464.00	
31AUG17	B	2	PD30NOV17	6794.00	6783.72	USD	-514.00	
31AUG17	B	2	PD30NOV17	6796.50	6783.72	USD	-639.00	
31AUG17	B	8	PD30NOV17	6795.50	6783.72	USD	-2,356.00	
31AUG17	B	1	PD30NOV17	6788.50	6783.72	USD	-119.50	
31AUG17	B	9	PD30NOV17	6788.00	6783.72	USD	-963.00	
31AUG17	B	3	PD30NOV17	6797.50	6783.72	USD	-1,033.50	
31AUG17	B	5	PD30NOV17	6798.50	6783.72	USD	-1,847.50	
31AUG17	B	5	PD30NOV17	6798.00	6783.72	USD	-1,785.00	
31AUG17	B	7	PD30NOV17	6805.00	6783.72	USD	-3,724.00	
31AUG17	B	3	PD30NOV17	6798.50	6783.72	USD	-1,108.50	
04SEP17	S	40	PD30NOV17	6915.22	6783.72	USD	131,500.00	
04SEP17	S	10	PD30NOV17	6915.42	6783.72	USD	32,925.00	
05SEP17	S	25	PD30NOV17	6897.98	6783.72	USD	71,412.50	
06SEP17	S	10	PD30NOV17	6898.75	6783.72	USD	28,757.50	
07SEP17	S	25	PD30NOV17	6895.83	6783.72	USD	70,068.75	
20SEP17	S	38	PD30NOV17	6519.07	6783.72	USD	-251,417.50	
21SEP17	S	17	PD30NOV17	6474.30	6783.72	USD	-131,503.50	
	NET	0 AVG BGHT 6806.72	SOLD 6774.78				-146,137.25	0.00
01SEP17	B	2	PD01DEC17	6825.50	6784.25	USD	-2,062.50	
01SEP17	B	1	PD01DEC17	6822.50	6784.25	USD	-956.25	
01SEP17	B	1	PD01DEC17	6821.50	6784.25	USD	-931.25	
01SEP17	B	1	PD01DEC17	6818.50	6784.25	USD	-856.25	
01SEP17	B	1	PD01DEC17	6816.50	6784.25	USD	-806.25	
01SEP17	B	1	PD01DEC17	6813.00	6784.25	USD	-718.75	
01SEP17	B	5	PD01DEC17	6812.00	6784.25	USD	-3,468.75	
01SEP17	B	1	PD01DEC17	6811.00	6784.25	USD	-668.75	
01SEP17	B	2	PD01DEC17	6809.50	6784.25	USD	-1,262.50	
01SEP17	B	6	PD01DEC17	6811.50	6784.25	USD	-4,087.50	
01SEP17	B	1	PD01DEC17	6812.50	6784.25	USD	-706.25	
01SEP17	B	5	PD01DEC17	6808.50	6784.25	USD	-3,031.25	
01SEP17	B	1	PD01DEC17	6808.00	6784.25	USD	-593.75	
01SEP17	B	4	PD01DEC17	6807.00	6784.25	USD	-2,275.00	
01SEP17	S	1	PD01DEC17	6823.00	6784.25	USD	968.75	
01SEP17	S	1	PD01DEC17	6822.00	6784.25	USD	943.75	
01SEP17	S	1	PD01DEC17	6819.50	6784.25	USD	881.25	
01SEP17	S	5	PD01DEC17	6820.50	6784.25	USD	4,531.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	2	PD01DEC17	6819.00	6784.25	USD	-1,737.50	
01SEP17	S	2	PD01DEC17	6818.00	6784.25	USD	1,687.50	
01SEP17	S	1	PD01DEC17	6817.00	6784.25	USD	818.75	
01SEP17	S	2	PD01DEC17	6811.00	6784.25	USD	1,337.50	
01SEP17	S	1	PD01DEC17	6816.50	6784.25	USD	806.25	
01SEP17	S	2	PD01DEC17	6815.50	6784.25	USD	1,562.50	
01SEP17	S	1	PD01DEC17	6805.00	6784.25	USD	518.75	
01SEP17	S	1	PD01DEC17	6805.50	6784.25	USD	531.25	
01SEP17	S	2	PD01DEC17	6808.00	6784.25	USD	1,187.50	
01SEP17	S	4	PD01DEC17	6803.00	6784.25	USD	1,875.00	
01SEP17	S	3	PD01DEC17	6809.00	6784.25	USD	1,856.25	
01SEP17	B	5	PD01DEC17	6828.00	6784.25	USD	-5,468.75	
01SEP17	B	5	PD01DEC17	6827.50	6784.25	USD	-5,406.25	
01SEP17	B	1	PD01DEC17	6826.00	6784.25	USD	-1,043.75	
01SEP17	B	5	PD01DEC17	6827.00	6784.25	USD	-5,343.75	
01SEP17	B	2	PD01DEC17	6829.50	6784.25	USD	-2,262.50	
01SEP17	B	5	PD01DEC17	6831.50	6784.25	USD	-5,906.25	
01SEP17	S	5	PD01DEC17	6840.00	6784.25	USD	6,968.75	
01SEP17	S	5	PD01DEC17	6840.50	6784.25	USD	7,031.25	
01SEP17	S	1	PD01DEC17	6837.50	6784.25	USD	1,331.25	
01SEP17	S	1	PD01DEC17	6834.50	6784.25	USD	1,256.25	
01SEP17	S	6	PD01DEC17	6835.00	6784.25	USD	7,612.50	
01SEP17	S	1	PD01DEC17	6826.00	6784.25	USD	1,043.75	
01SEP17	S	1	PD01DEC17	6828.00	6784.25	USD	1,093.75	
01SEP17	S	1	PD01DEC17	6830.50	6784.25	USD	1,156.25	
01SEP17	S	6	PD01DEC17	6835.50	6784.25	USD	7,687.50	
01SEP17	S	3	PD01DEC17	6836.50	6784.25	USD	3,918.75	
01SEP17	S	2	PD01DEC17	6833.50	6784.25	USD	2,462.50	
01SEP17	S	1	PD01DEC17	6834.00	6784.25	USD	1,243.75	
01SEP17	S	2	PD01DEC17	6832.50	6784.25	USD	2,412.50	
01SEP17	S	1	PD01DEC17	6836.00	6784.25	USD	1,293.75	
01SEP17	S	3	PD01DEC17	6837.00	6784.25	USD	3,956.25	
01SEP17	S	1	PD01DEC17	6838.00	6784.25	USD	1,343.75	
04SEP17	B	10	PD01DEC17	6916.01	6784.25	USD	-32,940.00	
	NET	0 AVG BGHT 6833.52	SOLD 6826.83				-11,215.00	0.00
04SEP17	S	1	PD04DEC17	6887.50	6785.75	USD	2,543.75	
04SEP17	S	1	PD04DEC17	6882.50	6785.75	USD	2,418.75	
04SEP17	S	2	PD04DEC17	6889.00	6785.75	USD	5,162.50	
04SEP17	S	1	PD04DEC17	6893.00	6785.75	USD	2,681.25	
04SEP17	S	1	PD04DEC17	6896.50	6785.75	USD	2,768.75	
04SEP17	S	2	PD04DEC17	6894.50	6785.75	USD	5,437.50	
04SEP17	S	1	PD04DEC17	6895.00	6785.75	USD	2,731.25	
04SEP17	S	1	PD04DEC17	6898.00	6785.75	USD	2,806.25	
04SEP17	S	1	PD04DEC17	6904.00	6785.75	USD	2,956.25	
04SEP17	S	1	PD04DEC17	6902.50	6785.75	USD	2,918.75	
04SEP17	S	3	PD04DEC17	6903.50	6785.75	USD	8,831.25	
04SEP17	S	5	PD04DEC17	6903.00	6785.75	USD	14,656.25	
04SEP17	S	2	PD04DEC17	6906.50	6785.75	USD	6,037.50	
04SEP17	B	1	PD04DEC17	6893.50	6785.75	USD	-2,693.75	
04SEP17	B	1	PD04DEC17	6892.50	6785.75	USD	-2,668.75	
04SEP17	S	2	PD04DEC17	6907.50	6785.75	USD	6,087.50	
04SEP17	S	6	PD04DEC17	6907.00	6785.75	USD	18,187.50	
04SEP17	B	1	PD04DEC17	6901.50	6785.75	USD	-2,893.75	
04SEP17	B	3	PD04DEC17	6902.50	6785.75	USD	-8,756.25	
04SEP17	B	1	PD04DEC17	6898.50	6785.75	USD	-2,818.75	
04SEP17	B	1	PD04DEC17	6897.50	6785.75	USD	-2,793.75	
04SEP17	B	2	PD04DEC17	6897.00	6785.75	USD	-5,562.50	

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04SEP17	B	2	PD04DEC17	6895.50	6785.75	USD	-5,487.50	
04SEP17	B	1	PD04DEC17	6894.50	6785.75	USD	-2,718.75	
04SEP17	B	1	PD04DEC17	6891.00	6785.75	USD	-2,631.25	
04SEP17	B	3	PD04DEC17	6891.50	6785.75	USD	-7,931.25	
04SEP17	B	3	PD04DEC17	6888.50	6785.75	USD	-7,706.25	
04SEP17	B	4	PD04DEC17	6889.50	6785.75	USD	-10,375.00	
04SEP17	B	1	PD04DEC17	6890.00	6785.75	USD	-2,606.25	
04SEP17	B	4	PD04DEC17	6889.00	6785.75	USD	-10,325.00	
04SEP17	S	1	PD04DEC17	6908.00	6785.75	USD	3,056.25	
04SEP17	S	1	PD04DEC17	6913.50	6785.75	USD	3,193.75	
04SEP17	S	1	PD04DEC17	6916.50	6785.75	USD	3,268.75	
04SEP17	S	1	PD04DEC17	6911.50	6785.75	USD	3,143.75	
04SEP17	S	1	PD04DEC17	6912.50	6785.75	USD	3,168.75	
04SEP17	S	1	PD04DEC17	6913.00	6785.75	USD	3,181.25	
04SEP17	S	1	PD04DEC17	6914.00	6785.75	USD	3,206.25	
04SEP17	S	3	PD04DEC17	6915.00	6785.75	USD	9,693.75	
04SEP17	S	1	PD04DEC17	6918.00	6785.75	USD	3,306.25	
04SEP17	S	1	PD04DEC17	6921.00	6785.75	USD	3,381.25	
04SEP17	S	1	PD04DEC17	6921.50	6785.75	USD	3,393.75	
04SEP17	S	1	PD04DEC17	6918.50	6785.75	USD	3,318.75	
04SEP17	S	2	PD04DEC17	6919.00	6785.75	USD	6,662.50	
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
04SEP17	S	2	PD04DEC17	6911.50	6785.75	USD	6,287.50	
04SEP17	S	1	PD04DEC17	6917.00	6785.75	USD	3,281.25	
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
04SEP17	S	2	PD04DEC17	6915.50	6785.75	USD	6,487.50	
04SEP17	B	1	PD04DEC17	6895.50	6785.75	USD	-2,743.75	
04SEP17	S	3	PD04DEC17	6917.00	6785.75	USD	9,843.75	
04SEP17	S	3	PD04DEC17	6917.50	6785.75	USD	9,881.25	
05SEP17	B	25	PD04DEC17	6899.80	6785.75	USD	-71,281.25	
	NET	0	AVG BGHT 6897.02				15,425.00	0.00
05SEP17	B	2	PD05DEC17	6938.00	6786.25	USD	-7,587.50	
05SEP17	B	1	PD05DEC17	6940.00	6786.25	USD	-3,843.75	
05SEP17	B	4	PD05DEC17	6939.00	6786.25	USD	-15,275.00	
05SEP17	B	1	PD05DEC17	6938.50	6786.25	USD	-3,806.25	
05SEP17	B	1	PD05DEC17	6934.50	6786.25	USD	-3,706.25	
05SEP17	B	1	PD05DEC17	6933.50	6786.25	USD	-3,681.25	
05SEP17	B	2	PD05DEC17	6932.00	6786.25	USD	-7,287.50	
05SEP17	B	1	PD05DEC17	6932.50	6786.25	USD	-3,656.25	
05SEP17	B	1	PD05DEC17	6951.00	6786.25	USD	-4,118.75	
05SEP17	B	1	PD05DEC17	6950.00	6786.25	USD	-4,093.75	
05SEP17	B	1	PD05DEC17	6959.00	6786.25	USD	-4,318.75	
05SEP17	B	1	PD05DEC17	6967.00	6786.25	USD	-4,518.75	
05SEP17	B	1	PD05DEC17	6966.00	6786.25	USD	-4,493.75	
05SEP17	B	1	PD05DEC17	6963.50	6786.25	USD	-4,431.25	
05SEP17	B	1	PD05DEC17	6964.00	6786.25	USD	-4,443.75	
05SEP17	B	3	PD05DEC17	6957.00	6786.25	USD	-12,806.25	
05SEP17	B	3	PD05DEC17	6955.00	6786.25	USD	-12,656.25	
05SEP17	B	1	PD05DEC17	6954.00	6786.25	USD	-4,193.75	
05SEP17	B	1	PD05DEC17	6953.00	6786.25	USD	-4,168.75	
05SEP17	B	1	PD05DEC17	6950.50	6786.25	USD	-4,106.25	
05SEP17	B	1	PD05DEC17	6952.00	6786.25	USD	-4,143.75	
05SEP17	S	1	PD05DEC17	6942.50	6786.25	USD	3,906.25	
05SEP17	S	1	PD05DEC17	6944.50	6786.25	USD	3,956.25	
05SEP17	B	4	PD05DEC17	6935.00	6786.25	USD	-14,875.00	
05SEP17	B	1	PD05DEC17	6938.00	6786.25	USD	-3,793.75	
05SEP17	B	1	PD05DEC17	6936.50	6786.25	USD	-3,756.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	6932.00	6786.25	USD	-3,643.75	
05SEP17	S	1	PD05DEC17	6940.00	6786.25	USD	3,843.75	
05SEP17	S	3	PD05DEC17	6939.50	6786.25	USD	11,493.75	
05SEP17	S	2	PD05DEC17	6939.00	6786.25	USD	7,637.50	
05SEP17	S	7	PD05DEC17	6938.50	6786.25	USD	26,643.75	
05SEP17	S	1	PD05DEC17	6937.00	6786.25	USD	3,768.75	
05SEP17	S	1	PD05DEC17	6921.50	6786.25	USD	3,381.25	
05SEP17	S	1	PD05DEC17	6920.00	6786.25	USD	3,343.75	
05SEP17	S	1	PD05DEC17	6925.50	6786.25	USD	3,481.25	
05SEP17	S	1	PD05DEC17	6927.00	6786.25	USD	3,518.75	
05SEP17	S	1	PD05DEC17	6930.00	6786.25	USD	3,593.75	
05SEP17	S	1	PD05DEC17	6931.50	6786.25	USD	3,631.25	
05SEP17	S	2	PD05DEC17	6928.00	6786.25	USD	7,087.50	
05SEP17	S	6	PD05DEC17	6926.50	6786.25	USD	21,037.50	
05SEP17	S	2	PD05DEC17	6927.50	6786.25	USD	7,062.50	
05SEP17	S	3	PD05DEC17	6921.00	6786.25	USD	10,106.25	
05SEP17	S	1	PD05DEC17	6939.00	6786.25	USD	3,818.75	
05SEP17	S	1	PD05DEC17	6921.50	6786.25	USD	3,381.25	
05SEP17	S	2	PD05DEC17	6901.50	6786.25	USD	5,762.50	
05SEP17	S	1	PD05DEC17	6900.00	6786.25	USD	2,843.75	
05SEP17	S	1	PD05DEC17	6899.00	6786.25	USD	2,818.75	
05SEP17	S	1	PD05DEC17	6896.00	6786.25	USD	2,743.75	
05SEP17	S	1	PD05DEC17	6895.50	6786.25	USD	2,731.25	
05SEP17	S	1	PD05DEC17	6896.50	6786.25	USD	2,756.25	
05SEP17	S	1	PD05DEC17	6894.50	6786.25	USD	2,706.25	
05SEP17	S	1	PD05DEC17	6897.00	6786.25	USD	2,768.75	
05SEP17	S	1	PD05DEC17	6894.00	6786.25	USD	2,693.75	
05SEP17	B	1	PD05DEC17	6888.00	6786.25	USD	-2,543.75	
05SEP17	B	2	PD05DEC17	6890.50	6786.25	USD	-5,212.50	
05SEP17	B	1	PD05DEC17	6889.00	6786.25	USD	-2,568.75	
05SEP17	B	1	PD05DEC17	6886.00	6786.25	USD	-2,493.75	
05SEP17	B	2	PD05DEC17	6888.50	6786.25	USD	-5,112.50	
05SEP17	B	1	PD05DEC17	6885.50	6786.25	USD	-2,481.25	
05SEP17	S	1	PD05DEC17	6881.50	6786.25	USD	2,381.25	
05SEP17	S	1	PD05DEC17	6871.50	6786.25	USD	2,131.25	
05SEP17	S	2	PD05DEC17	6872.00	6786.25	USD	4,287.50	
05SEP17	S	1	PD05DEC17	6874.00	6786.25	USD	2,193.75	
05SEP17	S	1	PD05DEC17	6875.00	6786.25	USD	2,218.75	
05SEP17	B	1	PD05DEC17	6876.00	6786.25	USD	-2,243.75	
05SEP17	S	1	PD05DEC17	6867.50	6786.25	USD	2,031.25	
05SEP17	S	2	PD05DEC17	6866.50	6786.25	USD	4,012.50	
05SEP17	S	1	PD05DEC17	6865.00	6786.25	USD	1,968.75	
05SEP17	B	1	PD05DEC17	6863.00	6786.25	USD	-1,918.75	
05SEP17	S	1	PD05DEC17	6867.50	6786.25	USD	2,031.25	
05SEP17	B	1	PD05DEC17	6863.00	6786.25	USD	-1,918.75	
06SEP17	B	10	PD05DEC17	6900.83	6786.25	USD	-28,645.00	
	NET	0 AVG BGHT 6925.94	SOLD 6914.37				-16,770.00	0.00
06SEP17	B	2	PD06DEC17	6901.00	6786.75	USD	-5,712.50	
06SEP17	B	1	PD06DEC17	6902.00	6786.75	USD	-2,881.25	
06SEP17	S	1	PD06DEC17	6902.50	6786.75	USD	2,893.75	
06SEP17	S	4	PD06DEC17	6902.00	6786.75	USD	11,525.00	
06SEP17	S	2	PD06DEC17	6900.00	6786.75	USD	5,662.50	
06SEP17	S	3	PD06DEC17	6899.00	6786.75	USD	8,418.75	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	S	4	PD06DEC17	6907.50	6786.75	USD	12,075.00	
06SEP17	S	1	PD06DEC17	6912.00	6786.75	USD	3,131.25	
06SEP17	S	1	PD06DEC17	6913.50	6786.75	USD	3,168.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6912.50	6786.75	USD	3,143.75	
06SEP17	S	5	PD06DEC17	6910.00	6786.75	USD	15,406.25	
06SEP17	S	3	PD06DEC17	6910.50	6786.75	USD	9,281.25	
06SEP17	S	1	PD06DEC17	6911.50	6786.75	USD	3,118.75	
06SEP17	S	1	PD06DEC17	6906.50	6786.75	USD	2,993.75	
06SEP17	S	1	PD06DEC17	6908.50	6786.75	USD	3,043.75	
06SEP17	S	3	PD06DEC17	6907.00	6786.75	USD	9,018.75	
06SEP17	S	2	PD06DEC17	6906.00	6786.75	USD	5,962.50	
06SEP17	S	2	PD06DEC17	6909.50	6786.75	USD	6,137.50	
06SEP17	B	2	PD06DEC17	6906.50	6786.75	USD	-5,987.50	
06SEP17	B	1	PD06DEC17	6908.00	6786.75	USD	-3,031.25	
06SEP17	B	1	PD06DEC17	6907.00	6786.75	USD	-3,006.25	
06SEP17	B	2	PD06DEC17	6899.00	6786.75	USD	-5,612.50	
06SEP17	B	2	PD06DEC17	6899.50	6786.75	USD	-5,637.50	
06SEP17	B	3	PD06DEC17	6900.00	6786.75	USD	-8,493.75	
06SEP17	B	1	PD06DEC17	6900.50	6786.75	USD	-2,843.75	
06SEP17	B	3	PD06DEC17	6898.00	6786.75	USD	-8,343.75	
06SEP17	B	4	PD06DEC17	6898.50	6786.75	USD	-11,175.00	
06SEP17	B	1	PD06DEC17	6894.50	6786.75	USD	-2,693.75	
06SEP17	B	5	PD06DEC17	6892.00	6786.75	USD	-13,156.25	
06SEP17	B	1	PD06DEC17	6887.00	6786.75	USD	-2,506.25	
06SEP17	B	2	PD06DEC17	6889.50	6786.75	USD	-5,137.50	
06SEP17	B	5	PD06DEC17	6888.00	6786.75	USD	-12,656.25	
06SEP17	B	2	PD06DEC17	6888.50	6786.75	USD	-5,087.50	
06SEP17	B	2	PD06DEC17	6893.50	6786.75	USD	-5,337.50	
06SEP17	B	2	PD06DEC17	6894.00	6786.75	USD	-5,362.50	
06SEP17	B	4	PD06DEC17	6893.00	6786.75	USD	-10,625.00	
06SEP17	S	1	PD06DEC17	6910.00	6786.75	USD	3,081.25	
06SEP17	S	1	PD06DEC17	6898.00	6786.75	USD	2,781.25	
06SEP17	B	2	PD06DEC17	6896.50	6786.75	USD	-5,487.50	
06SEP17	S	2	PD06DEC17	6896.50	6786.75	USD	5,487.50	
06SEP17	S	2	PD06DEC17	6887.50	6786.75	USD	5,037.50	
06SEP17	S	3	PD06DEC17	6886.00	6786.75	USD	7,443.75	
06SEP17	S	2	PD06DEC17	6886.50	6786.75	USD	4,987.50	
06SEP17	S	1	PD06DEC17	6896.50	6786.75	USD	2,743.75	
06SEP17	S	1	PD06DEC17	6898.00	6786.75	USD	2,781.25	
06SEP17	S	4	PD06DEC17	6898.50	6786.75	USD	11,175.00	
06SEP17	S	3	PD06DEC17	6899.50	6786.75	USD	8,456.25	
06SEP17	S	6	PD06DEC17	6897.50	6786.75	USD	16,612.50	
06SEP17	S	1	PD06DEC17	6896.00	6786.75	USD	2,731.25	
06SEP17	S	1	PD06DEC17	6890.00	6786.75	USD	2,581.25	
06SEP17	S	3	PD06DEC17	6892.50	6786.75	USD	7,931.25	
06SEP17	S	4	PD06DEC17	6891.00	6786.75	USD	10,425.00	
06SEP17	S	5	PD06DEC17	6892.00	6786.75	USD	13,156.25	
06SEP17	S	4	PD06DEC17	6893.50	6786.75	USD	10,675.00	
06SEP17	S	1	PD06DEC17	6878.00	6786.75	USD	2,281.25	
06SEP17	S	1	PD06DEC17	6877.50	6786.75	USD	2,268.75	
06SEP17	S	1	PD06DEC17	6876.50	6786.75	USD	2,243.75	
06SEP17	S	1	PD06DEC17	6875.00	6786.75	USD	2,206.25	
06SEP17	B	4	PD06DEC17	6873.50	6786.75	USD	-8,675.00	
06SEP17	B	1	PD06DEC17	6872.50	6786.75	USD	-2,143.75	
06SEP17	B	2	PD06DEC17	6884.00	6786.75	USD	-4,862.50	
06SEP17	S	1	PD06DEC17	6890.50	6786.75	USD	2,593.75	
06SEP17	B	1	PD06DEC17	6896.00	6786.75	USD	-2,731.25	
06SEP17	S	1	PD06DEC17	6891.50	6786.75	USD	2,618.75	
06SEP17	S	1	PD06DEC17	6876.00	6786.75	USD	2,231.25	
06SEP17	B	1	PD06DEC17	6897.50	6786.75	USD	-2,768.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6901.50	6786.75	USD	2,868.75	
06SEP17	B	3	PD06DEC17	6907.50	6786.75	USD	-9,056.25	
06SEP17	B	2	PD06DEC17	6905.50	6786.75	USD	-5,937.50	
06SEP17	S	4	PD06DEC17	6905.00	6786.75	USD	11,825.00	
06SEP17	B	1	PD06DEC17	6906.00	6786.75	USD	-2,981.25	
06SEP17	S	1	PD06DEC17	6908.00	6786.75	USD	3,031.25	
06SEP17	B	3	PD06DEC17	6916.00	6786.75	USD	-9,693.75	
06SEP17	B	5	PD06DEC17	6917.00	6786.75	USD	-16,281.25	
06SEP17	S	1	PD06DEC17	6918.50	6786.75	USD	3,293.75	
06SEP17	S	1	PD06DEC17	6917.50	6786.75	USD	3,268.75	
06SEP17	S	2	PD06DEC17	6919.50	6786.75	USD	6,637.50	
06SEP17	B	1	PD06DEC17	6914.00	6786.75	USD	-3,181.25	
07SEP17	B	25	PD06DEC17	6898.32	6786.75	USD	-69,731.25	
	NET	0 AVG BGHT 6897.60	SOLD 6899.55				4,725.00	0.00
07SEP17	B	1	PD07DEC17	6862.50	6787.23	USD	-1,881.75	
07SEP17	S	1	PD07DEC17	6858.50	6787.23	USD	1,781.75	
07SEP17	S	1	PD07DEC17	6860.00	6787.23	USD	1,819.25	
07SEP17	S	1	PD07DEC17	6859.00	6787.23	USD	1,794.25	
07SEP17	S	1	PD07DEC17	6859.50	6787.23	USD	1,806.75	
07SEP17	S	1	PD07DEC17	6857.50	6787.23	USD	1,756.75	
07SEP17	S	1	PD07DEC17	6856.50	6787.23	USD	1,731.75	
07SEP17	S	3	PD07DEC17	6858.00	6787.23	USD	5,307.75	
07SEP17	S	3	PD07DEC17	6863.50	6787.23	USD	5,720.25	
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	B	1	PD07DEC17	6872.50	6787.23	USD	-2,131.75	
07SEP17	B	1	PD07DEC17	6871.50	6787.23	USD	-2,106.75	
07SEP17	B	2	PD07DEC17	6872.00	6787.23	USD	-4,238.50	
07SEP17	S	1	PD07DEC17	6869.50	6787.23	USD	2,056.75	
07SEP17	S	2	PD07DEC17	6870.00	6787.23	USD	4,138.50	
07SEP17	S	1	PD07DEC17	6871.00	6787.23	USD	2,094.25	
07SEP17	S	1	PD07DEC17	6877.00	6787.23	USD	2,244.25	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	B	2	PD07DEC17	6874.00	6787.23	USD	-4,338.50	
07SEP17	B	1	PD07DEC17	6875.00	6787.23	USD	-2,194.25	
07SEP17	B	1	PD07DEC17	6876.00	6787.23	USD	-2,219.25	
07SEP17	B	1	PD07DEC17	6877.50	6787.23	USD	-2,256.75	
07SEP17	B	4	PD07DEC17	6879.00	6787.23	USD	-9,177.00	
07SEP17	B	1	PD07DEC17	6878.00	6787.23	USD	-2,269.25	
07SEP17	B	6	PD07DEC17	6878.50	6787.23	USD	-13,690.50	
07SEP17	S	1	PD07DEC17	6880.50	6787.23	USD	2,331.75	
07SEP17	B	5	PD07DEC17	6869.00	6787.23	USD	-10,221.25	
07SEP17	B	5	PD07DEC17	6868.00	6787.23	USD	-10,096.25	
07SEP17	B	8	PD07DEC17	6864.50	6787.23	USD	-15,454.00	
07SEP17	B	2	PD07DEC17	6863.00	6787.23	USD	-3,788.50	
07SEP17	B	5	PD07DEC17	6864.00	6787.23	USD	-9,596.25	
07SEP17	B	3	PD07DEC17	6861.00	6787.23	USD	-5,532.75	
07SEP17	B	3	PD07DEC17	6862.00	6787.23	USD	-5,607.75	
07SEP17	S	1	PD07DEC17	6836.50	6787.23	USD	1,231.75	
07SEP17	S	2	PD07DEC17	6839.50	6787.23	USD	2,613.50	
07SEP17	S	2	PD07DEC17	6841.50	6787.23	USD	2,713.50	
07SEP17	S	1	PD07DEC17	6845.50	6787.23	USD	1,456.75	
07SEP17	S	1	PD07DEC17	6854.00	6787.23	USD	1,669.25	
07SEP17	B	1	PD07DEC17	6857.50	6787.23	USD	-1,756.75	
07SEP17	B	1	PD07DEC17	6860.00	6787.23	USD	-1,819.25	
07SEP17	B	1	PD07DEC17	6859.00	6787.23	USD	-1,794.25	
07SEP17	B	1	PD07DEC17	6858.50	6787.23	USD	-1,781.75	
07SEP17	B	1	PD07DEC17	6861.50	6787.23	USD	-1,856.75	

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07SEP17	B	5	PD07DEC17	6865.50	6787.23	USD	-9,783.75	
07SEP17	B	2	PD07DEC17	6866.50	6787.23	USD	-3,963.50	
07SEP17	B	1	PD07DEC17	6867.00	6787.23	USD	-1,994.25	
07SEP17	S	2	PD07DEC17	6877.50	6787.23	USD	4,513.50	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	1	PD07DEC17	6867.50	6787.23	USD	2,006.75	
07SEP17	S	1	PD07DEC17	6874.50	6787.23	USD	2,181.75	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
07SEP17	S	2	PD07DEC17	6882.50	6787.23	USD	4,763.50	
07SEP17	S	1	PD07DEC17	6884.00	6787.23	USD	2,419.25	
07SEP17	S	1	PD07DEC17	6886.00	6787.23	USD	2,469.25	
07SEP17	S	1	PD07DEC17	6878.50	6787.23	USD	2,281.75	
07SEP17	S	2	PD07DEC17	6903.50	6787.23	USD	5,813.50	
07SEP17	S	2	PD07DEC17	6901.50	6787.23	USD	5,713.50	
07SEP17	S	10	PD07DEC17	6900.50	6787.23	USD	28,317.50	
07SEP17	S	2	PD07DEC17	6900.00	6787.23	USD	5,638.50	
07SEP17	S	1	PD07DEC17	6896.50	6787.23	USD	2,731.75	
07SEP17	S	1	PD07DEC17	6905.00	6787.23	USD	2,944.25	
07SEP17	S	1	PD07DEC17	6907.00	6787.23	USD	2,994.25	
07SEP17	S	1	PD07DEC17	6889.50	6787.23	USD	2,556.75	
07SEP17	S	1	PD07DEC17	6887.50	6787.23	USD	2,506.75	
07SEP17	S	1	PD07DEC17	6888.50	6787.23	USD	2,531.75	
07SEP17	B	1	PD07DEC17	6883.00	6787.23	USD	-2,394.25	
07SEP17	S	3	PD07DEC17	6878.00	6787.23	USD	6,807.75	
07SEP17	B	1	PD07DEC17	6880.00	6787.23	USD	-2,319.25	
07SEP17	S	2	PD07DEC17	6883.50	6787.23	USD	4,813.50	
07SEP17	S	1	PD07DEC17	6885.00	6787.23	USD	2,444.25	
07SEP17	S	5	PD07DEC17	6890.00	6787.23	USD	12,846.25	
07SEP17	S	3	PD07DEC17	6881.50	6787.23	USD	7,070.25	
07SEP17	S	2	PD07DEC17	6879.50	6787.23	USD	4,613.50	
07SEP17	S	1	PD07DEC17	6899.00	6787.23	USD	2,794.25	
07SEP17	S	1	PD07DEC17	6869.50	6787.23	USD	2,056.75	
07SEP17	S	1	PD07DEC17	6893.00	6787.23	USD	2,644.25	
07SEP17	S	1	PD07DEC17	6885.50	6787.23	USD	2,456.75	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	B	1	PD07DEC17	6888.00	6787.23	USD	-2,519.25	
07SEP17	B	1	PD07DEC17	6883.50	6787.23	USD	-2,406.75	
07SEP17	B	2	PD07DEC17	6882.50	6787.23	USD	-4,763.50	
07SEP17	B	1	PD07DEC17	6893.00	6787.23	USD	-2,644.25	
07SEP17	B	2	PD07DEC17	6893.50	6787.23	USD	-5,313.50	
07SEP17	B	2	PD07DEC17	6895.00	6787.23	USD	-5,388.50	
07SEP17	B	2	PD07DEC17	6894.00	6787.23	USD	-5,338.50	
07SEP17	B	4	PD07DEC17	6891.50	6787.23	USD	-10,427.00	
07SEP17	B	1	PD07DEC17	6892.00	6787.23	USD	-2,619.25	
	NET	0AVG BGHT 6872.86	SOLD 6879.24				13,237.50	0.00
08SEP17	S	1	PD08DEC17	6891.00	6787.71	USD	2,582.25	
08SEP17	S	1	PD08DEC17	6887.50	6787.71	USD	2,494.75	
08SEP17	S	1	PD08DEC17	6883.50	6787.71	USD	2,394.75	
08SEP17	S	1	PD08DEC17	6884.00	6787.71	USD	2,407.25	
08SEP17	S	1	PD08DEC17	6886.00	6787.71	USD	2,457.25	
08SEP17	B	1	PD08DEC17	6854.50	6787.71	USD	-1,669.75	
08SEP17	B	2	PD08DEC17	6850.50	6787.71	USD	-3,139.50	
08SEP17	B	2	PD08DEC17	6847.00	6787.71	USD	-2,964.50	
08SEP17	B	1	PD08DEC17	6845.00	6787.71	USD	-1,432.25	
08SEP17	B	2	PD08DEC17	6849.50	6787.71	USD	-3,089.50	
08SEP17	B	1	PD08DEC17	6848.50	6787.71	USD	-1,519.75	
08SEP17	B	1	PD08DEC17	6842.00	6787.71	USD	-1,357.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	6841.50	6787.71	USD	-2,689.50	
08SEP17	B	1	PD08DEC17	6839.50	6787.71	USD	-1,294.75	
08SEP17	B	1	PD08DEC17	6848.00	6787.71	USD	-1,507.25	
08SEP17	B	5	PD08DEC17	6844.00	6787.71	USD	-7,036.25	
08SEP17	B	1	PD08DEC17	6850.00	6787.71	USD	-1,557.25	
08SEP17	B	2	PD08DEC17	6846.50	6787.71	USD	-2,939.50	
08SEP17	B	2	PD08DEC17	6844.50	6787.71	USD	-2,839.50	
08SEP17	S	1	PD08DEC17	6840.50	6787.71	USD	1,319.75	
08SEP17	S	1	PD08DEC17	6842.00	6787.71	USD	1,357.25	
08SEP17	S	1	PD08DEC17	6839.50	6787.71	USD	1,294.75	
08SEP17	S	3	PD08DEC17	6840.00	6787.71	USD	3,921.75	
08SEP17	S	2	PD08DEC17	6841.50	6787.71	USD	2,689.50	
08SEP17	S	4	PD08DEC17	6841.00	6787.71	USD	5,329.00	
08SEP17	S	3	PD08DEC17	6839.00	6787.71	USD	3,846.75	
08SEP17	S	3	PD08DEC17	6837.50	6787.71	USD	3,734.25	
08SEP17	S	2	PD08DEC17	6838.50	6787.71	USD	2,539.50	
08SEP17	S	1	PD08DEC17	6836.00	6787.71	USD	1,207.25	
08SEP17	S	5	PD08DEC17	6835.00	6787.71	USD	5,911.25	
08SEP17	S	1	PD08DEC17	6832.00	6787.71	USD	1,107.25	
08SEP17	S	3	PD08DEC17	6833.00	6787.71	USD	3,396.75	
08SEP17	S	1	PD08DEC17	6812.00	6787.71	USD	607.25	
08SEP17	S	3	PD08DEC17	6813.00	6787.71	USD	1,896.75	
08SEP17	S	1	PD08DEC17	6810.00	6787.71	USD	557.25	
08SEP17	S	2	PD08DEC17	6811.00	6787.71	USD	1,164.50	
08SEP17	S	2	PD08DEC17	6812.50	6787.71	USD	1,239.50	
08SEP17	S	2	PD08DEC17	6815.50	6787.71	USD	1,389.50	
08SEP17	S	3	PD08DEC17	6828.00	6787.71	USD	3,021.75	
08SEP17	S	1	PD08DEC17	6826.00	6787.71	USD	957.25	
08SEP17	B	2	PD08DEC17	6823.50	6787.71	USD	-1,789.50	
08SEP17	B	3	PD08DEC17	6824.00	6787.71	USD	-2,721.75	
08SEP17	B	1	PD08DEC17	6826.00	6787.71	USD	-957.25	
08SEP17	B	4	PD08DEC17	6825.00	6787.71	USD	-3,729.00	
08SEP17	B	4	PD08DEC17	6822.50	6787.71	USD	-3,479.00	
08SEP17	B	1	PD08DEC17	6819.00	6787.71	USD	-782.25	
08SEP17	B	3	PD08DEC17	6817.00	6787.71	USD	-2,196.75	
08SEP17	B	2	PD08DEC17	6821.50	6787.71	USD	-1,689.50	
08SEP17	B	1	PD08DEC17	6821.00	6787.71	USD	-832.25	
08SEP17	B	1	PD08DEC17	6820.00	6787.71	USD	-807.25	
08SEP17	B	2	PD08DEC17	6818.50	6787.71	USD	-1,539.50	
08SEP17	B	2	PD08DEC17	6818.00	6787.71	USD	-1,514.50	
08SEP17	B	2	PD08DEC17	6814.50	6787.71	USD	-1,339.50	
08SEP17	B	2	PD08DEC17	6813.50	6787.71	USD	-1,289.50	
08SEP17	B	1	PD08DEC17	6813.00	6787.71	USD	-632.25	
08SEP17	B	2	PD08DEC17	6812.50	6787.71	USD	-1,239.50	
08SEP17	B	1	PD08DEC17	6803.50	6787.71	USD	-394.75	
08SEP17	B	3	PD08DEC17	6802.00	6787.71	USD	-1,071.75	
08SEP17	B	1	PD08DEC17	6801.50	6787.71	USD	-344.75	
08SEP17	B	1	PD08DEC17	6803.00	6787.71	USD	-382.25	
08SEP17	B	1	PD08DEC17	6795.00	6787.71	USD	-182.25	
08SEP17	B	1	PD08DEC17	6796.00	6787.71	USD	-207.25	
08SEP17	B	1	PD08DEC17	6796.50	6787.71	USD	-219.75	
08SEP17	B	1	PD08DEC17	6797.50	6787.71	USD	-244.75	
08SEP17	B	2	PD08DEC17	6795.50	6787.71	USD	-389.50	
08SEP17	B	3	PD08DEC17	6794.50	6787.71	USD	-509.25	
08SEP17	B	1	PD08DEC17	6844.00	6787.71	USD	-1,407.25	
08SEP17	B	2	PD08DEC17	6826.00	6787.71	USD	-1,914.50	
08SEP17	B	1	PD08DEC17	6786.50	6787.71	USD	30.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
08SEP17	B	1	PD08DEC17		6787.50	6787.71	USD	5.25	
08SEP17	B	1	PD08DEC17		6789.00	6787.71	USD	-32.25	
08SEP17	B	2	PD08DEC17		6805.50	6787.71	USD	-889.50	
08SEP17	S	1	PD08DEC17		6708.00	6787.71	USD	-1,992.75	
08SEP17	S	1	PD08DEC17		6705.50	6787.71	USD	-2,055.25	
08SEP17	S	1	PD08DEC17		6702.00	6787.71	USD	-2,142.75	
08SEP17	S	2	PD08DEC17		6704.50	6787.71	USD	-4,160.50	
08SEP17	S	2	PD08DEC17		6705.00	6787.71	USD	-4,135.50	
08SEP17	B	3	PD08DEC17		6697.50	6787.71	USD	6,765.75	
08SEP17	B	5	PD08DEC17		6700.00	6787.71	USD	10,963.75	
08SEP17	B	1	PD08DEC17		6701.00	6787.71	USD	2,167.75	
08SEP17	B	1	PD08DEC17		6698.50	6787.71	USD	2,230.25	
08SEP17	B	1	PD08DEC17		6696.50	6787.71	USD	2,280.25	
08SEP17	S	1	PD08DEC17		6683.50	6787.71	USD	-2,605.25	
08SEP17	S	3	PD08DEC17		6683.00	6787.71	USD	-7,853.25	
08SEP17	S	1	PD08DEC17		6684.00	6787.71	USD	-2,592.75	
08SEP17	S	1	PD08DEC17		6682.00	6787.71	USD	-2,642.75	
08SEP17	S	1	PD08DEC17		6670.50	6787.71	USD	-2,930.25	
08SEP17	S	1	PD08DEC17		6675.50	6787.71	USD	-2,805.25	
08SEP17	S	2	PD08DEC17		6680.00	6787.71	USD	-5,385.50	
08SEP17	S	3	PD08DEC17		6682.50	6787.71	USD	-7,890.75	
08SEP17	S	2	PD08DEC17		6684.50	6787.71	USD	-5,160.50	
08SEP17	S	1	PD08DEC17		6685.50	6787.71	USD	-2,555.25	
08SEP17	S	1	PD08DEC17		6686.50	6787.71	USD	-2,530.25	
08SEP17	S	4	PD08DEC17		6685.00	6787.71	USD	-10,271.00	
08SEP17	S	3	PD08DEC17		6686.00	6787.71	USD	-7,628.25	
08SEP17	B	1	PD08DEC17		6670.00	6787.71	USD	2,942.75	
08SEP17	B	1	PD08DEC17		6669.50	6787.71	USD	2,955.25	
08SEP17	S	3	PD08DEC17		6675.00	6787.71	USD	-8,453.25	
08SEP17	B	1	PD08DEC17		6701.50	6787.71	USD	2,155.25	
08SEP17	S	1	PD08DEC17		6685.00	6787.71	USD	-2,567.75	
08SEP17	S	1	PD08DEC17		6673.00	6787.71	USD	-2,867.75	
21SEP17	S	8	PD08DEC17		6478.33	6787.71	USD	-61,876.00	
	NET	0AVG	BGHT 6803.57	SOLD 6748.44				-129,546.50	0.00
11SEP17	S	3	PD11DEC17		6705.00	6789.15	USD	-6,311.25	
11SEP17	B	3	PD11DEC17		6714.00	6789.15	USD	5,636.25	
11SEP17	B	1	PD11DEC17		6721.50	6789.15	USD	1,691.25	
11SEP17	B	1	PD11DEC17		6729.00	6789.15	USD	1,503.75	
11SEP17	B	1	PD11DEC17		6728.00	6789.15	USD	1,528.75	
11SEP17	S	1	PD11DEC17		6732.00	6789.15	USD	-1,428.75	
11SEP17	B	3	PD11DEC17		6734.50	6789.15	USD	4,098.75	
11SEP17	B	6	PD11DEC17		6734.00	6789.15	USD	8,272.50	
11SEP17	B	1	PD11DEC17		6736.00	6789.15	USD	1,328.75	
11SEP17	B	2	PD11DEC17		6728.50	6789.15	USD	3,032.50	
11SEP17	B	6	PD11DEC17		6723.00	6789.15	USD	9,922.50	
11SEP17	B	2	PD11DEC17		6722.00	6789.15	USD	3,357.50	
11SEP17	B	1	PD11DEC17		6724.50	6789.15	USD	1,616.25	
11SEP17	B	1	PD11DEC17		6727.50	6789.15	USD	1,541.25	
11SEP17	S	1	PD11DEC17		6752.00	6789.15	USD	-928.75	
11SEP17	S	2	PD11DEC17		6756.50	6789.15	USD	-1,632.50	
11SEP17	B	1	PD11DEC17		6758.00	6789.15	USD	778.75	
11SEP17	S	2	PD11DEC17		6751.50	6789.15	USD	-1,882.50	
11SEP17	S	1	PD11DEC17		6754.00	6789.15	USD	-878.75	
11SEP17	S	2	PD11DEC17		6753.00	6789.15	USD	-1,807.50	
11SEP17	S	2	PD11DEC17		6755.00	6789.15	USD	-1,707.50	
11SEP17	S	1	PD11DEC17		6756.00	6789.15	USD	-828.75	
11SEP17	S	1	PD11DEC17		6758.50	6789.15	USD	-766.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	6762.00	6789.15	USD	-678.75	
11SEP17	S	2	PD11DEC17	6767.50	6789.15	USD	-1,082.50	
11SEP17	S	1	PD11DEC17	6768.50	6789.15	USD	-516.25	
11SEP17	S	2	PD11DEC17	6770.00	6789.15	USD	-957.50	
11SEP17	S	3	PD11DEC17	6769.50	6789.15	USD	-1,473.75	
11SEP17	S	1	PD11DEC17	6766.50	6789.15	USD	-566.25	
11SEP17	S	1	PD11DEC17	6761.00	6789.15	USD	-703.75	
11SEP17	B	2	PD11DEC17	6765.00	6789.15	USD	1,207.50	
11SEP17	B	1	PD11DEC17	6765.50	6789.15	USD	591.25	
11SEP17	B	1	PD11DEC17	6768.50	6789.15	USD	516.25	
11SEP17	B	2	PD11DEC17	6773.50	6789.15	USD	782.50	
11SEP17	S	1	PD11DEC17	6772.00	6789.15	USD	-428.75	
11SEP17	B	1	PD11DEC17	6767.50	6789.15	USD	541.25	
11SEP17	B	2	PD11DEC17	6768.00	6789.15	USD	1,057.50	
11SEP17	B	1	PD11DEC17	6764.50	6789.15	USD	616.25	
11SEP17	B	2	PD11DEC17	6764.00	6789.15	USD	1,257.50	
11SEP17	B	1	PD11DEC17	6763.50	6789.15	USD	641.25	
11SEP17	B	1	PD11DEC17	6760.50	6789.15	USD	716.25	
11SEP17	B	2	PD11DEC17	6763.00	6789.15	USD	1,307.50	
11SEP17	B	1	PD11DEC17	6762.00	6789.15	USD	678.75	
11SEP17	B	1	PD11DEC17	6759.50	6789.15	USD	741.25	
11SEP17	B	5	PD11DEC17	6760.00	6789.15	USD	3,643.75	
11SEP17	S	1	PD11DEC17	6760.50	6789.15	USD	-716.25	
11SEP17	B	6	PD11DEC17	6754.50	6789.15	USD	5,197.50	
11SEP17	S	1	PD11DEC17	6767.50	6789.15	USD	-541.25	
11SEP17	S	2	PD11DEC17	6768.00	6789.15	USD	-1,057.50	
11SEP17	S	3	PD11DEC17	6770.00	6789.15	USD	-1,436.25	
11SEP17	S	2	PD11DEC17	6769.00	6789.15	USD	-1,007.50	
11SEP17	S	1	PD11DEC17	6766.00	6789.15	USD	-578.75	
11SEP17	S	1	PD11DEC17	6767.00	6789.15	USD	-553.75	
11SEP17	B	2	PD11DEC17	6714.00	6789.15	USD	3,757.50	
11SEP17	B	1	PD11DEC17	6734.50	6789.15	USD	1,366.25	
11SEP17	B	1	PD11DEC17	6773.00	6789.15	USD	403.75	
11SEP17	B	1	PD11DEC17	6731.50	6789.15	USD	1,441.25	
11SEP17	B	1	PD11DEC17	6732.50	6789.15	USD	1,416.25	
11SEP17	B	3	PD11DEC17	6733.00	6789.15	USD	4,211.25	
11SEP17	S	1	PD11DEC17	6723.00	6789.15	USD	-1,653.75	
11SEP17	B	2	PD11DEC17	6730.00	6789.15	USD	2,957.50	
11SEP17	B	2	PD11DEC17	6731.00	6789.15	USD	2,907.50	
11SEP17	B	6	PD11DEC17	6730.50	6789.15	USD	8,797.50	
11SEP17	B	3	PD11DEC17	6729.50	6789.15	USD	4,473.75	
11SEP17	B	4	PD11DEC17	6732.00	6789.15	USD	5,715.00	
11SEP17	B	8	PD11DEC17	6735.00	6789.15	USD	10,830.00	
11SEP17	B	1	PD11DEC17	6728.50	6789.15	USD	1,516.25	
11SEP17	B	1	PD11DEC17	6748.00	6789.15	USD	1,028.75	
11SEP17	B	1	PD11DEC17	6747.00	6789.15	USD	1,053.75	
11SEP17	S	1	PD11DEC17	6748.00	6789.15	USD	-1,028.75	
11SEP17	S	1	PD11DEC17	6745.00	6789.15	USD	-1,103.75	
11SEP17	S	5	PD11DEC17	6744.00	6789.15	USD	-5,643.75	
11SEP17	S	3	PD11DEC17	6744.50	6789.15	USD	-3,348.75	
11SEP17	S	1	PD11DEC17	6746.50	6789.15	USD	-1,066.25	
11SEP17	S	6	PD11DEC17	6747.50	6789.15	USD	-6,247.50	
11SEP17	S	2	PD11DEC17	6748.50	6789.15	USD	-2,032.50	
11SEP17	S	2	PD11DEC17	6749.50	6789.15	USD	-1,982.50	
11SEP17	S	1	PD11DEC17	6736.00	6789.15	USD	-1,328.75	
11SEP17	S	1	PD11DEC17	6737.50	6789.15	USD	-1,291.25	
11SEP17	S	1	PD11DEC17	6738.50	6789.15	USD	-1,266.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	6741.50	6789.15	USD	-1,191.25	
11SEP17	S	2	PD11DEC17	6746.00	6789.15	USD	-2,157.50	
11SEP17	S	1	PD11DEC17	6747.00	6789.15	USD	-1,053.75	
13SEP17	S	15	PD11DEC17	6552.75	6789.15	USD	-88,650.00	
18SEP17	S	12	PD11DEC17	6523.66	6789.15	USD	-79,647.00	
	NET	0AVG BGHT 6740.44	SOLD 6691.82				-115,483.25	0.00
12SEP17	B	4	PD12DEC17	6724.00	6789.63	USD	6,563.00	
12SEP17	B	1	PD12DEC17	6722.50	6789.63	USD	1,678.25	
12SEP17	B	1	PD12DEC17	6720.50	6789.63	USD	1,728.25	
12SEP17	B	1	PD12DEC17	6717.00	6789.63	USD	1,815.75	
12SEP17	B	1	PD12DEC17	6715.50	6789.63	USD	1,853.25	
12SEP17	S	1	PD12DEC17	6716.00	6789.63	USD	-1,840.75	
12SEP17	B	2	PD12DEC17	6716.50	6789.63	USD	3,656.50	
12SEP17	B	2	PD12DEC17	6719.00	6789.63	USD	3,531.50	
12SEP17	S	1	PD12DEC17	6718.00	6789.63	USD	-1,790.75	
12SEP17	S	1	PD12DEC17	6717.00	6789.63	USD	-1,815.75	
12SEP17	B	1	PD12DEC17	6718.00	6789.63	USD	1,790.75	
12SEP17	B	5	PD12DEC17	6717.50	6789.63	USD	9,016.25	
12SEP17	B	1	PD12DEC17	6714.50	6789.63	USD	1,878.25	
12SEP17	B	4	PD12DEC17	6713.50	6789.63	USD	7,613.00	
12SEP17	B	1	PD12DEC17	6691.00	6789.63	USD	2,465.75	
12SEP17	B	1	PD12DEC17	6690.50	6789.63	USD	2,478.25	
12SEP17	B	2	PD12DEC17	6696.00	6789.63	USD	4,681.50	
12SEP17	B	2	PD12DEC17	6698.50	6789.63	USD	4,556.50	
12SEP17	B	1	PD12DEC17	6699.50	6789.63	USD	2,253.25	
12SEP17	B	1	PD12DEC17	6649.00	6789.63	USD	3,515.75	
12SEP17	B	1	PD12DEC17	6650.00	6789.63	USD	3,490.75	
12SEP17	S	1	PD12DEC17	6717.00	6789.63	USD	-1,815.75	
12SEP17	S	1	PD12DEC17	6718.00	6789.63	USD	-1,790.75	
12SEP17	B	5	PD12DEC17	6648.00	6789.63	USD	17,703.75	
12SEP17	B	2	PD12DEC17	6651.00	6789.63	USD	6,931.50	
12SEP17	B	1	PD12DEC17	6652.00	6789.63	USD	3,440.75	
12SEP17	B	1	PD12DEC17	6656.50	6789.63	USD	3,328.25	
12SEP17	B	2	PD12DEC17	6653.00	6789.63	USD	6,831.50	
12SEP17	B	2	PD12DEC17	6656.00	6789.63	USD	6,681.50	
12SEP17	S	1	PD12DEC17	6648.00	6789.63	USD	-3,540.75	
12SEP17	S	1	PD12DEC17	6647.00	6789.63	USD	-3,565.75	
12SEP17	S	2	PD12DEC17	6647.50	6789.63	USD	-7,106.50	
12SEP17	S	1	PD12DEC17	6642.00	6789.63	USD	-3,690.75	
12SEP17	S	3	PD12DEC17	6641.50	6789.63	USD	-11,109.75	
12SEP17	S	1	PD12DEC17	6640.00	6789.63	USD	-3,740.75	
12SEP17	S	2	PD12DEC17	6636.00	6789.63	USD	-7,681.50	
12SEP17	S	1	PD12DEC17	6636.50	6789.63	USD	-3,828.25	
12SEP17	S	1	PD12DEC17	6637.50	6789.63	USD	-3,803.25	
12SEP17	S	4	PD12DEC17	6639.50	6789.63	USD	-15,013.00	
12SEP17	S	1	PD12DEC17	6643.50	6789.63	USD	-3,653.25	
12SEP17	S	2	PD12DEC17	6646.50	6789.63	USD	-7,156.50	
12SEP17	S	2	PD12DEC17	6643.00	6789.63	USD	-7,331.50	
12SEP17	S	2	PD12DEC17	6642.50	6789.63	USD	-7,356.50	
12SEP17	S	3	PD12DEC17	6645.50	6789.63	USD	-10,809.75	
12SEP17	S	3	PD12DEC17	6644.50	6789.63	USD	-10,884.75	
12SEP17	S	2	PD12DEC17	6637.00	6789.63	USD	-7,631.50	
12SEP17	S	1	PD12DEC17	6635.50	6789.63	USD	-3,853.25	
12SEP17	S	1	PD12DEC17	6654.50	6789.63	USD	-3,378.25	
12SEP17	S	1	PD12DEC17	6656.00	6789.63	USD	-3,340.75	
12SEP17	S	1	PD12DEC17	6657.50	6789.63	USD	-3,303.25	
12SEP17	S	2	PD12DEC17	6653.00	6789.63	USD	-6,831.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	6	PD12DEC17	6652.00	6789.63	USD	-20,644.50	
12SEP17	S	2	PD12DEC17	6653.50	6789.63	USD	-6,806.50	
12SEP17	S	1	PD12DEC17	6661.50	6789.63	USD	-3,203.25	
12SEP17	S	4	PD12DEC17	6663.00	6789.63	USD	-12,663.00	
12SEP17	S	1	PD12DEC17	6665.50	6789.63	USD	-3,103.25	
12SEP17	S	1	PD12DEC17	6675.00	6789.63	USD	-2,865.75	
12SEP17	S	1	PD12DEC17	6676.00	6789.63	USD	-2,840.75	
12SEP17	S	1	PD12DEC17	6672.50	6789.63	USD	-2,928.25	
12SEP17	S	3	PD12DEC17	6675.50	6789.63	USD	-8,559.75	
12SEP17	S	6	PD12DEC17	6673.00	6789.63	USD	-17,494.50	
12SEP17	S	2	PD12DEC17	6672.00	6789.63	USD	-5,881.50	
12SEP17	S	1	PD12DEC17	6669.00	6789.63	USD	-3,015.75	
12SEP17	S	2	PD12DEC17	6671.00	6789.63	USD	-5,931.50	
12SEP17	S	3	PD12DEC17	6670.00	6789.63	USD	-8,972.25	
12SEP17	S	2	PD12DEC17	6667.50	6789.63	USD	-6,106.50	
12SEP17	S	1	PD12DEC17	6662.00	6789.63	USD	-3,190.75	
12SEP17	S	1	PD12DEC17	6660.00	6789.63	USD	-3,240.75	
12SEP17	S	2	PD12DEC17	6661.00	6789.63	USD	-6,431.50	
12SEP17	S	3	PD12DEC17	6658.00	6789.63	USD	-9,872.25	
12SEP17	S	2	PD12DEC17	6655.50	6789.63	USD	-6,706.50	
12SEP17	S	3	PD12DEC17	6655.00	6789.63	USD	-10,097.25	
12SEP17	S	1	PD12DEC17	6653.00	6789.63	USD	-3,415.75	
12SEP17	S	1	PD12DEC17	6667.50	6789.63	USD	-3,053.25	
12SEP17	S	1	PD12DEC17	6658.00	6789.63	USD	-3,290.75	
12SEP17	S	1	PD12DEC17	6657.50	6789.63	USD	-3,303.25	
12SEP17	B	1	PD12DEC17	6673.00	6789.63	USD	2,915.75	
12SEP17	B	6	PD12DEC17	6672.00	6789.63	USD	17,644.50	
12SEP17	B	1	PD12DEC17	6671.50	6789.63	USD	2,953.25	
12SEP17	B	3	PD12DEC17	6670.50	6789.63	USD	8,934.75	
12SEP17	B	1	PD12DEC17	6668.50	6789.63	USD	3,028.25	
12SEP17	B	1	PD12DEC17	6667.00	6789.63	USD	3,065.75	
12SEP17	B	1	PD12DEC17	6666.50	6789.63	USD	3,078.25	
12SEP17	B	1	PD12DEC17	6668.00	6789.63	USD	3,040.75	
12SEP17	B	3	PD12DEC17	6669.00	6789.63	USD	9,047.25	
12SEP17	B	4	PD12DEC17	6671.00	6789.63	USD	11,863.00	
12SEP17	B	1	PD12DEC17	6674.00	6789.63	USD	2,890.75	
12SEP17	B	3	PD12DEC17	6673.50	6789.63	USD	8,709.75	
12SEP17	B	6	PD12DEC17	6670.00	6789.63	USD	17,944.50	
12SEP17	B	3	PD12DEC17	6671.50	6789.63	USD	8,859.75	
13SEP17	B	15	PD12DEC17	6553.08	6789.63	USD	88,706.25	
	NET	0AVG BGHT 6662.40	SOLD 6658.56				-9,117.50	0.00
13SEP17	S	1	PD13DEC17	6656.50	6790.10	USD	-3,340.00	
13SEP17	S	1	PD13DEC17	6655.50	6790.10	USD	-3,365.00	
13SEP17	B	2	PD13DEC17	6645.00	6790.10	USD	7,255.00	
13SEP17	B	1	PD13DEC17	6620.00	6790.10	USD	4,252.50	
13SEP17	B	1	PD13DEC17	6619.50	6790.10	USD	4,265.00	
13SEP17	B	1	PD13DEC17	6619.00	6790.10	USD	4,277.50	
13SEP17	B	1	PD13DEC17	6622.50	6790.10	USD	4,190.00	
13SEP17	B	1	PD13DEC17	6622.00	6790.10	USD	4,202.50	
13SEP17	B	1	PD13DEC17	6614.50	6790.10	USD	4,390.00	
13SEP17	B	5	PD13DEC17	6607.00	6790.10	USD	22,887.50	
13SEP17	B	3	PD13DEC17	6606.50	6790.10	USD	13,770.00	
13SEP17	B	2	PD13DEC17	6606.00	6790.10	USD	9,205.00	
13SEP17	B	2	PD13DEC17	6605.00	6790.10	USD	9,255.00	
13SEP17	S	1	PD13DEC17	6608.00	6790.10	USD	-4,552.50	
13SEP17	B	4	PD13DEC17	6609.00	6790.10	USD	18,110.00	
13SEP17	B	2	PD13DEC17	6611.00	6790.10	USD	8,955.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6611.50	6790.10	USD	4,465.00	
13SEP17	B	1	PD13DEC17	6612.50	6790.10	USD	4,440.00	
13SEP17	B	1	PD13DEC17	6604.50	6790.10	USD	4,640.00	
13SEP17	B	1	PD13DEC17	6597.50	6790.10	USD	4,815.00	
13SEP17	B	1	PD13DEC17	6596.00	6790.10	USD	4,852.50	
13SEP17	B	1	PD13DEC17	6593.00	6790.10	USD	4,927.50	
13SEP17	B	2	PD13DEC17	6592.00	6790.10	USD	9,905.00	
13SEP17	S	1	PD13DEC17	6595.00	6790.10	USD	-4,877.50	
13SEP17	B	3	PD13DEC17	6589.50	6790.10	USD	15,045.00	
13SEP17	B	2	PD13DEC17	6590.50	6790.10	USD	9,980.00	
13SEP17	B	1	PD13DEC17	6562.50	6790.10	USD	5,690.00	
13SEP17	B	2	PD13DEC17	6561.50	6790.10	USD	11,430.00	
13SEP17	B	1	PD13DEC17	6559.00	6790.10	USD	5,777.50	
13SEP17	B	5	PD13DEC17	6559.50	6790.10	USD	28,825.00	
13SEP17	B	1	PD13DEC17	6576.50	6790.10	USD	5,340.00	
13SEP17	B	1	PD13DEC17	6575.50	6790.10	USD	5,365.00	
13SEP17	B	2	PD13DEC17	6573.50	6790.10	USD	10,830.00	
13SEP17	B	1	PD13DEC17	6571.00	6790.10	USD	5,477.50	
13SEP17	B	6	PD13DEC17	6570.00	6790.10	USD	33,015.00	
13SEP17	B	3	PD13DEC17	6569.00	6790.10	USD	16,582.50	
13SEP17	B	2	PD13DEC17	6567.50	6790.10	USD	11,130.00	
13SEP17	B	2	PD13DEC17	6568.50	6790.10	USD	11,080.00	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	B	2	PD13DEC17	6564.00	6790.10	USD	11,305.00	
13SEP17	B	2	PD13DEC17	6563.00	6790.10	USD	11,355.00	
13SEP17	B	3	PD13DEC17	6565.00	6790.10	USD	16,882.50	
13SEP17	B	4	PD13DEC17	6565.50	6790.10	USD	22,460.00	
13SEP17	B	1	PD13DEC17	6558.50	6790.10	USD	5,790.00	
13SEP17	S	1	PD13DEC17	6546.50	6790.10	USD	-6,090.00	
13SEP17	S	4	PD13DEC17	6547.50	6790.10	USD	-24,260.00	
13SEP17	S	8	PD13DEC17	6547.00	6790.10	USD	-48,620.00	
13SEP17	S	2	PD13DEC17	6548.00	6790.10	USD	-12,105.00	
13SEP17	S	7	PD13DEC17	6546.00	6790.10	USD	-42,717.50	
13SEP17	S	2	PD13DEC17	6552.00	6790.10	USD	-11,905.00	
13SEP17	S	1	PD13DEC17	6552.50	6790.10	USD	-5,940.00	
13SEP17	S	2	PD13DEC17	6557.00	6790.10	USD	-11,655.00	
13SEP17	B	1	PD13DEC17	6558.00	6790.10	USD	5,802.50	
13SEP17	B	1	PD13DEC17	6560.00	6790.10	USD	5,752.50	
13SEP17	S	2	PD13DEC17	6560.00	6790.10	USD	-11,505.00	
13SEP17	S	1	PD13DEC17	6560.50	6790.10	USD	-5,740.00	
13SEP17	S	1	PD13DEC17	6564.00	6790.10	USD	-5,652.50	
13SEP17	S	1	PD13DEC17	6565.00	6790.10	USD	-5,627.50	
13SEP17	B	1	PD13DEC17	6554.50	6790.10	USD	5,890.00	
13SEP17	B	2	PD13DEC17	6554.00	6790.10	USD	11,805.00	
13SEP17	S	1	PD13DEC17	6555.00	6790.10	USD	-5,877.50	
13SEP17	S	4	PD13DEC17	6554.00	6790.10	USD	-23,610.00	
13SEP17	S	2	PD13DEC17	6554.50	6790.10	USD	-11,780.00	
13SEP17	S	1	PD13DEC17	6555.50	6790.10	USD	-5,865.00	
13SEP17	B	2	PD13DEC17	6553.50	6790.10	USD	11,830.00	
13SEP17	B	1	PD13DEC17	6555.50	6790.10	USD	5,865.00	
13SEP17	B	2	PD13DEC17	6557.00	6790.10	USD	11,655.00	
18SEP17	S	45	PD13DEC17	6524.62	6790.10	USD	-298,665.00	
	NET	0 AVG BGHT 6583.09	SOLD 6541.22				-93,152.50	0.00
14SEP17	S	1	PD14DEC17	6536.50	6790.58	USD	-6,352.00	
14SEP17	S	1	PD14DEC17	6535.00	6790.58	USD	-6,389.50	
14SEP17	S	1	PD14DEC17	6539.50	6790.58	USD	-6,277.00	
14SEP17	S	1	PD14DEC17	6539.00	6790.58	USD	-6,289.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	5	PD14DEC17	6535.50	6790.58	USD	-31,885.00	
14SEP17	S	1	PD14DEC17	6533.50	6790.58	USD	-6,427.00	
14SEP17	B	1	PD14DEC17	6522.00	6790.58	USD	6,714.50	
14SEP17	B	1	PD14DEC17	6526.50	6790.58	USD	6,602.00	
14SEP17	S	1	PD14DEC17	6529.00	6790.58	USD	-6,539.50	
14SEP17	B	4	PD14DEC17	6523.00	6790.58	USD	26,758.00	
14SEP17	B	1	PD14DEC17	6525.00	6790.58	USD	6,639.50	
14SEP17	B	2	PD14DEC17	6524.50	6790.58	USD	13,304.00	
14SEP17	B	3	PD14DEC17	6525.50	6790.58	USD	19,881.00	
14SEP17	B	1	PD14DEC17	6510.50	6790.58	USD	7,002.00	
14SEP17	B	1	PD14DEC17	6511.50	6790.58	USD	6,977.00	
14SEP17	B	2	PD14DEC17	6512.50	6790.58	USD	13,904.00	
14SEP17	B	1	PD14DEC17	6513.00	6790.58	USD	6,939.50	
14SEP17	B	1	PD14DEC17	6513.50	6790.58	USD	6,927.00	
14SEP17	B	1	PD14DEC17	6511.00	6790.58	USD	6,989.50	
14SEP17	B	1	PD14DEC17	6510.00	6790.58	USD	7,014.50	
14SEP17	B	2	PD14DEC17	6514.50	6790.58	USD	13,804.00	
14SEP17	B	1	PD14DEC17	6497.00	6790.58	USD	7,339.50	
14SEP17	B	1	PD14DEC17	6489.50	6790.58	USD	7,527.00	
14SEP17	B	4	PD14DEC17	6488.50	6790.58	USD	30,208.00	
14SEP17	B	1	PD14DEC17	6494.00	6790.58	USD	7,414.50	
14SEP17	B	1	PD14DEC17	6491.00	6790.58	USD	7,489.50	
14SEP17	B	2	PD14DEC17	6487.50	6790.58	USD	15,154.00	
14SEP17	B	1	PD14DEC17	6482.50	6790.58	USD	7,702.00	
14SEP17	B	2	PD14DEC17	6481.50	6790.58	USD	15,454.00	
14SEP17	B	4	PD14DEC17	6476.50	6790.58	USD	31,408.00	
14SEP17	B	1	PD14DEC17	6476.00	6790.58	USD	7,864.50	
14SEP17	S	2	PD14DEC17	6474.50	6790.58	USD	-15,804.00	
14SEP17	B	1	PD14DEC17	6477.50	6790.58	USD	7,827.00	
14SEP17	B	1	PD14DEC17	6478.00	6790.58	USD	7,814.50	
14SEP17	S	1	PD14DEC17	6501.50	6790.58	USD	-7,227.00	
14SEP17	S	14	PD14DEC17	6500.50	6790.58	USD	-101,528.00	
14SEP17	S	2	PD14DEC17	6499.50	6790.58	USD	-14,554.00	
14SEP17	B	2	PD14DEC17	6495.50	6790.58	USD	14,754.00	
14SEP17	S	1	PD14DEC17	6496.50	6790.58	USD	-7,352.00	
14SEP17	B	2	PD14DEC17	6492.00	6790.58	USD	14,929.00	
14SEP17	S	1	PD14DEC17	6491.50	6790.58	USD	-7,477.00	
14SEP17	S	3	PD14DEC17	6494.50	6790.58	USD	-22,206.00	
14SEP17	S	1	PD14DEC17	6485.00	6790.58	USD	-7,639.50	
14SEP17	S	1	PD14DEC17	6486.50	6790.58	USD	-7,602.00	
14SEP17	S	3	PD14DEC17	6490.00	6790.58	USD	-22,543.50	
14SEP17	S	4	PD14DEC17	6489.50	6790.58	USD	-30,108.00	
14SEP17	S	3	PD14DEC17	6492.50	6790.58	USD	-22,356.00	
14SEP17	S	4	PD14DEC17	6493.50	6790.58	USD	-29,708.00	
14SEP17	S	2	PD14DEC17	6496.00	6790.58	USD	-14,729.00	
14SEP17	S	1	PD14DEC17	6497.00	6790.58	USD	-7,339.50	
14SEP17	S	1	PD14DEC17	6498.00	6790.58	USD	-7,314.50	
14SEP17	B	5	PD14DEC17	6496.50	6790.58	USD	36,760.00	
14SEP17	S	2	PD14DEC17	6498.50	6790.58	USD	-14,604.00	
14SEP17	S	1	PD14DEC17	6506.00	6790.58	USD	-7,114.50	
14SEP17	S	1	PD14DEC17	6507.00	6790.58	USD	-7,089.50	
14SEP17	S	1	PD14DEC17	6515.00	6790.58	USD	-6,889.50	
14SEP17	S	1	PD14DEC17	6515.50	6790.58	USD	-6,877.00	
14SEP17	B	1	PD14DEC17	6520.00	6790.58	USD	6,764.50	
14SEP17	S	1	PD14DEC17	6518.00	6790.58	USD	-6,814.50	
14SEP17	S	6	PD14DEC17	6517.50	6790.58	USD	-40,962.00	
14SEP17	S	1	PD14DEC17	6513.50	6790.58	USD	-6,927.00	

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14SEP17	S	1	PD14DEC17	6524.00	6790.58	USD	-6,664.50	
14SEP17	S	1	PD14DEC17	6525.00	6790.58	USD	-6,639.50	
14SEP17	S	1	PD14DEC17	6523.00	6790.58	USD	-6,689.50	
14SEP17	S	2	PD14DEC17	6525.50	6790.58	USD	-13,254.00	
14SEP17	S	1	PD14DEC17	6524.50	6790.58	USD	-6,652.00	
14SEP17	S	4	PD14DEC17	6523.50	6790.58	USD	-26,708.00	
14SEP17	S	1	PD14DEC17	6522.00	6790.58	USD	-6,714.50	
14SEP17	S	1	PD14DEC17	6513.00	6790.58	USD	-6,939.50	
14SEP17	S	2	PD14DEC17	6514.00	6790.58	USD	-13,829.00	
14SEP17	S	2	PD14DEC17	6516.00	6790.58	USD	-13,729.00	
14SEP17	S	1	PD14DEC17	6514.50	6790.58	USD	-6,902.00	
14SEP17	S	2	PD14DEC17	6510.50	6790.58	USD	-14,004.00	
14SEP17	S	4	PD14DEC17	6508.50	6790.58	USD	-28,208.00	
14SEP17	S	2	PD14DEC17	6508.00	6790.58	USD	-14,129.00	
14SEP17	S	4	PD14DEC17	6505.50	6790.58	USD	-28,508.00	
14SEP17	S	1	PD14DEC17	6504.50	6790.58	USD	-7,152.00	
14SEP17	S	1	PD14DEC17	6509.00	6790.58	USD	-7,039.50	
14SEP17	S	2	PD14DEC17	6509.50	6790.58	USD	-14,054.00	
14SEP17	B	1	PD14DEC17	6496.50	6790.58	USD	7,352.00	
14SEP17	S	1	PD14DEC17	6486.00	6790.58	USD	-7,614.50	
14SEP17	S	1	PD14DEC17	6509.50	6790.58	USD	-7,027.00	
14SEP17	S	5	PD14DEC17	6499.00	6790.58	USD	-36,447.50	
14SEP17	S	8	PD14DEC17	6501.00	6790.58	USD	-57,916.00	
14SEP17	S	4	PD14DEC17	6505.00	6790.58	USD	-28,558.00	
14SEP17	S	3	PD14DEC17	6504.00	6790.58	USD	-21,493.50	
14SEP17	S	3	PD14DEC17	6503.50	6790.58	USD	-21,531.00	
14SEP17	S	3	PD14DEC17	6502.50	6790.58	USD	-21,606.00	
14SEP17	S	3	PD14DEC17	6503.00	6790.58	USD	-21,568.50	
14SEP17	B	1	PD14DEC17	6499.50	6790.58	USD	7,277.00	
14SEP17	B	5	PD14DEC17	6498.00	6790.58	USD	36,572.50	
14SEP17	B	1	PD14DEC17	6502.50	6790.58	USD	7,202.00	
14SEP17	B	1	PD14DEC17	6501.50	6790.58	USD	7,227.00	
14SEP17	S	11	PD14DEC17	6500.00	6790.58	USD	-79,909.50	
14SEP17	B	4	PD14DEC17	6502.00	6790.58	USD	28,858.00	
14SEP17	S	1	PD14DEC17	6499.00	6790.58	USD	-7,289.50	
14SEP17	S	1	PD14DEC17	6503.00	6790.58	USD	-7,189.50	
14SEP17	B	1	PD14DEC17	6501.50	6790.58	USD	7,227.00	
18SEP17	B	57	PD14DEC17	6525.29	6790.58	USD	378,038.25	
19SEP17	B	5	PD14DEC17	6537.02	6790.58	USD	31,695.00	
20SEP17	B	18	PD14DEC17	6524.89	6790.58	USD	119,560.50	
	NET	0	AVG BGHT 6514.72	SOLD 6505.95			-32,016.25	0.00
15SEP17	S	1	PD15DEC17	6489.50	6791.06	USD	-7,539.00	
15SEP17	S	2	PD15DEC17	6494.50	6791.06	USD	-14,828.00	
15SEP17	S	1	PD15DEC17	6499.50	6791.06	USD	-7,289.00	
15SEP17	S	1	PD15DEC17	6498.00	6791.06	USD	-7,326.50	
15SEP17	S	1	PD15DEC17	6500.00	6791.06	USD	-7,276.50	
15SEP17	B	1	PD15DEC17	6517.50	6791.06	USD	6,839.00	
15SEP17	B	2	PD15DEC17	6516.50	6791.06	USD	13,728.00	
15SEP17	S	1	PD15DEC17	6513.50	6791.06	USD	-6,939.00	
15SEP17	S	2	PD15DEC17	6512.50	6791.06	USD	-13,928.00	
15SEP17	S	1	PD15DEC17	6511.00	6791.06	USD	-7,001.50	
15SEP17	S	2	PD15DEC17	6510.50	6791.06	USD	-14,028.00	
15SEP17	S	1	PD15DEC17	6503.00	6791.06	USD	-7,201.50	
15SEP17	S	1	PD15DEC17	6502.50	6791.06	USD	-7,214.00	
15SEP17	S	1	PD15DEC17	6504.00	6791.06	USD	-7,176.50	
15SEP17	S	1	PD15DEC17	6504.50	6791.06	USD	-7,164.00	
15SEP17	S	1	PD15DEC17	6505.00	6791.06	USD	-7,151.50	

OPEN POSITION STATEMENT



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SAP0097R

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Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	2	PD15DEC17	6497.00	6791.06	USD	14,703.00	
15SEP17	B	3	PD15DEC17	6496.00	6791.06	USD	22,129.50	
15SEP17	B	4	PD15DEC17	6495.00	6791.06	USD	29,606.00	
15SEP17	S	5	PD15DEC17	6512.00	6791.06	USD	-34,882.50	
15SEP17	B	1	PD15DEC17	6495.00	6791.06	USD	7,401.50	
15SEP17	B	1	PD15DEC17	6497.00	6791.06	USD	7,351.50	
15SEP17	S	1	PD15DEC17	6490.50	6791.06	USD	-7,514.00	
15SEP17	S	3	PD15DEC17	6492.00	6791.06	USD	-22,429.50	
15SEP17	S	1	PD15DEC17	6494.00	6791.06	USD	-7,426.50	
15SEP17	S	2	PD15DEC17	6493.00	6791.06	USD	-14,903.00	
15SEP17	S	5	PD15DEC17	6495.50	6791.06	USD	-36,945.00	
20SEP17	B	20	PD15DEC17	6525.20	6791.06	USD	132,930.00	
	NET	0	AVG BGHT 6514.96	SOLD 6501.46			-11,475.00	0.00
18SEP17	S	1	PD18DEC17	6548.50	6792.50	USD	-6,100.00	
18SEP17	S	1	PD18DEC17	6546.50	6792.50	USD	-6,150.00	
18SEP17	S	1	PD18DEC17	6559.50	6792.50	USD	-5,825.00	
18SEP17	S	1	PD18DEC17	6552.00	6792.50	USD	-6,012.50	
18SEP17	S	2	PD18DEC17	6552.50	6792.50	USD	-12,000.00	
18SEP17	S	1	PD18DEC17	6550.00	6792.50	USD	-6,062.50	
18SEP17	S	5	PD18DEC17	6555.00	6792.50	USD	-29,687.50	
18SEP17	S	1	PD18DEC17	6553.00	6792.50	USD	-5,987.50	
18SEP17	B	1	PD18DEC17	6537.50	6792.50	USD	6,375.00	
18SEP17	B	2	PD18DEC17	6536.50	6792.50	USD	12,800.00	
18SEP17	B	1	PD18DEC17	6527.50	6792.50	USD	6,625.00	
18SEP17	B	1	PD18DEC17	6526.50	6792.50	USD	6,650.00	
18SEP17	B	6	PD18DEC17	6530.00	6792.50	USD	39,375.00	
18SEP17	B	3	PD18DEC17	6529.00	6792.50	USD	19,762.50	
18SEP17	B	4	PD18DEC17	6536.00	6792.50	USD	25,650.00	
18SEP17	B	1	PD18DEC17	6535.00	6792.50	USD	6,437.50	
18SEP17	B	1	PD18DEC17	6538.50	6792.50	USD	6,350.00	
18SEP17	B	5	PD18DEC17	6535.50	6792.50	USD	32,125.00	
18SEP17	B	1	PD18DEC17	6541.00	6792.50	USD	6,287.50	
18SEP17	B	4	PD18DEC17	6538.00	6792.50	USD	25,450.00	
18SEP17	B	1	PD18DEC17	6537.00	6792.50	USD	6,387.50	
18SEP17	B	1	PD18DEC17	6540.00	6792.50	USD	6,312.50	
18SEP17	S	1	PD18DEC17	6547.00	6792.50	USD	-6,137.50	
18SEP17	S	3	PD18DEC17	6548.00	6792.50	USD	-18,337.50	
18SEP17	S	1	PD18DEC17	6549.50	6792.50	USD	-6,075.00	
18SEP17	S	4	PD18DEC17	6551.00	6792.50	USD	-24,150.00	
18SEP17	S	6	PD18DEC17	6554.00	6792.50	USD	-35,775.00	
18SEP17	S	1	PD18DEC17	6550.50	6792.50	USD	-6,050.00	
18SEP17	S	1	PD18DEC17	6547.50	6792.50	USD	-6,125.00	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	1	PD18DEC17	6537.50	6792.50	USD	-6,375.00	
18SEP17	S	3	PD18DEC17	6538.00	6792.50	USD	-19,087.50	
18SEP17	S	3	PD18DEC17	6540.00	6792.50	USD	-18,937.50	
18SEP17	S	3	PD18DEC17	6541.00	6792.50	USD	-18,862.50	
18SEP17	S	2	PD18DEC17	6538.50	6792.50	USD	-12,700.00	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6539.00	6792.50	USD	-6,337.50	
18SEP17	S	2	PD18DEC17	6531.00	6792.50	USD	-13,075.00	
18SEP17	B	2	PD18DEC17	6533.50	6792.50	USD	12,950.00	
18SEP17	S	6	PD18DEC17	6530.50	6792.50	USD	-39,300.00	
18SEP17	S	2	PD18DEC17	6526.50	6792.50	USD	-13,300.00	
18SEP17	S	1	PD18DEC17	6527.50	6792.50	USD	-6,625.00	
18SEP17	S	10	PD18DEC17	6525.50	6792.50	USD	-66,750.00	
18SEP17	B	1	PD18DEC17	6519.00	6792.50	USD	6,837.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	2	PD18DEC17	6517.50	6792.50	USD	13,750.00	
18SEP17	B	2	PD18DEC17	6516.50	6792.50	USD	13,800.00	
18SEP17	B	1	PD18DEC17	6529.50	6792.50	USD	6,575.00	
18SEP17	B	7	PD18DEC17	6528.50	6792.50	USD	46,200.00	
18SEP17	B	5	PD18DEC17	6528.00	6792.50	USD	33,062.50	
18SEP17	S	1	PD18DEC17	6528.00	6792.50	USD	-6,612.50	
18SEP17	B	2	PD18DEC17	6527.00	6792.50	USD	13,275.00	
18SEP17	B	3	PD18DEC17	6531.50	6792.50	USD	19,575.00	
18SEP17	B	5	PD18DEC17	6532.50	6792.50	USD	32,500.00	
18SEP17	B	2	PD18DEC17	6534.00	6792.50	USD	12,925.00	
18SEP17	B	4	PD18DEC17	6534.50	6792.50	USD	25,800.00	
18SEP17	B	4	PD18DEC17	6532.00	6792.50	USD	26,050.00	
19SEP17	S	5	PD18DEC17	6538.40	6792.50	USD	-31,762.50	
	NET	0 AVG	BGHT 6531.45				16,862.50	0.00
			SOLD 6540.82					
19SEP17	S	2	PD19DEC17	6530.00	6792.50	USD	-13,125.00	
19SEP17	S	3	PD19DEC17	6530.50	6792.50	USD	-19,650.00	
19SEP17	S	3	PD19DEC17	6531.00	6792.50	USD	-19,612.50	
19SEP17	S	3	PD19DEC17	6532.50	6792.50	USD	-19,500.00	
19SEP17	S	2	PD19DEC17	6535.50	6792.50	USD	-12,850.00	
19SEP17	S	5	PD19DEC17	6533.00	6792.50	USD	-32,437.50	
19SEP17	S	2	PD19DEC17	6534.50	6792.50	USD	-12,900.00	
19SEP17	S	3	PD19DEC17	6536.50	6792.50	USD	-19,200.00	
19SEP17	S	2	PD19DEC17	6538.50	6792.50	USD	-12,700.00	
19SEP17	S	5	PD19DEC17	6538.00	6792.50	USD	-31,812.50	
19SEP17	S	3	PD19DEC17	6539.50	6792.50	USD	-18,975.00	
19SEP17	S	6	PD19DEC17	6539.00	6792.50	USD	-38,025.00	
19SEP17	S	1	PD19DEC17	6542.50	6792.50	USD	-6,250.00	
19SEP17	S	1	PD19DEC17	6547.00	6792.50	USD	-6,137.50	
19SEP17	S	1	PD19DEC17	6548.00	6792.50	USD	-6,112.50	
19SEP17	B	1	PD19DEC17	6536.00	6792.50	USD	6,412.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	S	3	PD19DEC17	6519.50	6792.50	USD	-20,475.00	
19SEP17	S	2	PD19DEC17	6519.00	6792.50	USD	-13,675.00	
19SEP17	B	4	PD19DEC17	6545.00	6792.50	USD	24,750.00	
19SEP17	B	6	PD19DEC17	6539.00	6792.50	USD	38,025.00	
19SEP17	B	4	PD19DEC17	6538.00	6792.50	USD	25,450.00	
19SEP17	B	1	PD19DEC17	6541.50	6792.50	USD	6,275.00	
19SEP17	B	2	PD19DEC17	6537.00	6792.50	USD	12,775.00	
19SEP17	B	1	PD19DEC17	6535.50	6792.50	USD	6,425.00	
19SEP17	B	3	PD19DEC17	6540.00	6792.50	USD	18,937.50	
19SEP17	B	2	PD19DEC17	6542.50	6792.50	USD	12,500.00	
19SEP17	B	2	PD19DEC17	6544.50	6792.50	USD	12,400.00	
19SEP17	B	1	PD19DEC17	6546.50	6792.50	USD	6,150.00	
19SEP17	B	1	PD19DEC17	6547.50	6792.50	USD	6,125.00	
19SEP17	B	3	PD19DEC17	6550.00	6792.50	USD	18,187.50	
19SEP17	B	3	PD19DEC17	6551.00	6792.50	USD	18,112.50	
19SEP17	B	5	PD19DEC17	6542.00	6792.50	USD	31,312.50	
19SEP17	B	5	PD19DEC17	6545.50	6792.50	USD	30,875.00	
19SEP17	B	5	PD19DEC17	6544.00	6792.50	USD	31,062.50	
19SEP17	B	1	PD19DEC17	6540.50	6792.50	USD	6,300.00	
19SEP17	S	1	PD19DEC17	6531.00	6792.50	USD	-6,537.50	
19SEP17	S	1	PD19DEC17	6533.50	6792.50	USD	-6,475.00	
19SEP17	B	1	PD19DEC17	6540.00	6792.50	USD	6,312.50	
19SEP17	B	2	PD19DEC17	6549.50	6792.50	USD	12,150.00	
19SEP17	B	2	PD19DEC17	6539.50	6792.50	USD	12,650.00	
19SEP17	B	2	PD19DEC17	6543.50	6792.50	USD	12,450.00	
19SEP17	B	3	PD19DEC17	6543.00	6792.50	USD	18,712.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6541.00	6792.50	USD	12,575.00	
19SEP17	B	1	PD19DEC17	6527.00	6792.50	USD	6,637.50	
19SEP17	B	1	PD19DEC17	6516.00	6792.50	USD	6,912.50	
19SEP17	B	5	PD19DEC17	6515.00	6792.50	USD	34,687.50	
19SEP17	B	3	PD19DEC17	6522.00	6792.50	USD	20,287.50	
19SEP17	B	2	PD19DEC17	6523.00	6792.50	USD	13,475.00	
19SEP17	B	1	PD19DEC17	6528.00	6792.50	USD	6,612.50	
19SEP17	B	2	PD19DEC17	6526.50	6792.50	USD	13,300.00	
19SEP17	B	1	PD19DEC17	6533.50	6792.50	USD	6,475.00	
19SEP17	B	1	PD19DEC17	6529.00	6792.50	USD	6,587.50	
19SEP17	B	7	PD19DEC17	6525.00	6792.50	USD	46,812.50	
19SEP17	B	2	PD19DEC17	6529.50	6792.50	USD	13,150.00	
19SEP17	B	1	PD19DEC17	6527.50	6792.50	USD	6,625.00	
19SEP17	B	6	PD19DEC17	6524.50	6792.50	USD	40,200.00	
19SEP17	B	1	PD19DEC17	6524.00	6792.50	USD	6,712.50	
19SEP17	B	1	PD19DEC17	6522.50	6792.50	USD	6,750.00	
19SEP17	B	3	PD19DEC17	6526.00	6792.50	USD	19,987.50	
19SEP17	B	5	PD19DEC17	6523.50	6792.50	USD	33,625.00	
19SEP17	B	1	PD19DEC17	6520.50	6792.50	USD	6,800.00	
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
19SEP17	B	2	PD19DEC17	6521.00	6792.50	USD	13,575.00	
19SEP17	B	4	PD19DEC17	6521.50	6792.50	USD	27,100.00	
19SEP17	B	1	PD19DEC17	6519.50	6792.50	USD	6,825.00	
19SEP17	B	3	PD19DEC17	6519.00	6792.50	USD	20,512.50	
19SEP17	B	1	PD19DEC17	6518.50	6792.50	USD	6,850.00	
19SEP17	B	1	PD19DEC17	6516.50	6792.50	USD	6,900.00	
19SEP17	B	1	PD19DEC17	6514.50	6792.50	USD	6,950.00	
19SEP17	B	11	PD19DEC17	6518.00	6792.50	USD	75,487.50	
19SEP17	B	1	PD19DEC17	6517.00	6792.50	USD	6,887.50	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	B	1	PD19DEC17	6513.00	6792.50	USD	6,987.50	
19SEP17	B	6	PD19DEC17	6515.50	6792.50	USD	41,550.00	
19SEP17	B	1	PD19DEC17	6513.50	6792.50	USD	6,975.00	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	B	1	PD19DEC17	6538.00	6792.50	USD	6,362.50	
21SEP17	S	5	PD19DEC17	6482.00	6792.50	USD	-38,812.50	
25SEP17	S	35	PD19DEC17	6448.75	6792.50	USD	-300,781.25	
03OCT17	S	35	PD19DEC17	6517.35	6792.50	USD	-240,756.25	
06OCT17	S	20	PD19DEC17	6662.00	6792.50	USD	-65,250.00	
	NET	0AVG BGHT 6530.62	SOLD 6525.26				-19,287.50	0.00
20SEP17	S	1	PD20DEC17	6561.50	6792.50	USD	-5,775.00	
20SEP17	S	2	PD20DEC17	6563.00	6792.50	USD	-11,475.00	
20SEP17	S	1	PD20DEC17	6557.00	6792.50	USD	-5,887.50	
20SEP17	S	2	PD20DEC17	6555.50	6792.50	USD	-11,850.00	
20SEP17	S	1	PD20DEC17	6554.50	6792.50	USD	-5,950.00	
20SEP17	S	1	PD20DEC17	6556.00	6792.50	USD	-5,912.50	
20SEP17	S	1	PD20DEC17	6560.50	6792.50	USD	-5,800.00	
20SEP17	S	2	PD20DEC17	6559.50	6792.50	USD	-11,650.00	
20SEP17	S	15	PD20DEC17	6561.00	6792.50	USD	-86,812.50	
20SEP17	S	2	PD20DEC17	6562.50	6792.50	USD	-11,500.00	
20SEP17	S	6	PD20DEC17	6562.00	6792.50	USD	-34,575.00	
20SEP17	S	4	PD20DEC17	6563.50	6792.50	USD	-22,900.00	
20SEP17	S	1	PD20DEC17	6561.00	6792.50	USD	-5,787.50	
20SEP17	S	1	PD20DEC17	6562.50	6792.50	USD	-5,750.00	
20SEP17	B	1	PD20DEC17	6549.00	6792.50	USD	6,087.50	
20SEP17	B	2	PD20DEC17	6552.00	6792.50	USD	12,025.00	
20SEP17	B	1	PD20DEC17	6551.00	6792.50	USD	6,037.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	4	PD20DEC17	6547.00	6792.50	USD	-24,550.00	
20SEP17	S	2	PD20DEC17	6548.00	6792.50	USD	-12,225.00	
20SEP17	S	1	PD20DEC17	6546.00	6792.50	USD	-6,162.50	
20SEP17	S	1	PD20DEC17	6550.50	6792.50	USD	-6,050.00	
20SEP17	B	1	PD20DEC17	6545.00	6792.50	USD	6,187.50	
20SEP17	B	1	PD20DEC17	6543.50	6792.50	USD	6,225.00	
20SEP17	B	1	PD20DEC17	6542.00	6792.50	USD	6,262.50	
20SEP17	B	1	PD20DEC17	6544.00	6792.50	USD	6,212.50	
20SEP17	S	2	PD20DEC17	6527.50	6792.50	USD	-13,250.00	
20SEP17	S	1	PD20DEC17	6528.50	6792.50	USD	-6,600.00	
20SEP17	S	2	PD20DEC17	6527.00	6792.50	USD	-13,275.00	
20SEP17	B	1	PD20DEC17	6520.50	6792.50	USD	6,800.00	
20SEP17	B	1	PD20DEC17	6517.50	6792.50	USD	6,875.00	
20SEP17	B	1	PD20DEC17	6519.50	6792.50	USD	6,825.00	
20SEP17	B	1	PD20DEC17	6518.50	6792.50	USD	6,850.00	
20SEP17	B	1	PD20DEC17	6523.50	6792.50	USD	6,725.00	
20SEP17	B	1	PD20DEC17	6532.50	6792.50	USD	6,500.00	
20SEP17	B	1	PD20DEC17	6532.00	6792.50	USD	6,512.50	
20SEP17	B	1	PD20DEC17	6527.00	6792.50	USD	6,637.50	
20SEP17	B	1	PD20DEC17	6524.50	6792.50	USD	6,700.00	
20SEP17	B	1	PD20DEC17	6520.00	6792.50	USD	6,812.50	
20SEP17	B	2	PD20DEC17	6529.00	6792.50	USD	13,175.00	
20SEP17	B	2	PD20DEC17	6534.00	6792.50	USD	12,925.00	
20SEP17	B	1	PD20DEC17	6538.00	6792.50	USD	6,362.50	
21SEP17	B	30	PD20DEC17	6482.20	6792.50	USD	232,725.00	
	NET	0AVG BGHT 6504.60	SOLD 6555.72				67,725.00	0.00
21SEP17	S	7	PD21DEC17	6455.50	6791.50	USD	-58,800.00	
21SEP17	S	3	PD21DEC17	6454.50	6791.50	USD	-25,275.00	
21SEP17	S	3	PD21DEC17	6456.50	6791.50	USD	-25,125.00	
21SEP17	S	2	PD21DEC17	6457.00	6791.50	USD	-16,725.00	
21SEP17	S	1	PD21DEC17	6459.00	6791.50	USD	-8,312.50	
21SEP17	S	3	PD21DEC17	6458.00	6791.50	USD	-25,012.50	
21SEP17	S	1	PD21DEC17	6459.50	6791.50	USD	-8,300.00	
21SEP17	S	3	PD21DEC17	6460.00	6791.50	USD	-24,862.50	
21SEP17	S	1	PD21DEC17	6445.00	6791.50	USD	-8,662.50	
21SEP17	S	1	PD21DEC17	6439.50	6791.50	USD	-8,800.00	
21SEP17	S	1	PD21DEC17	6440.00	6791.50	USD	-8,787.50	
21SEP17	S	3	PD21DEC17	6441.50	6791.50	USD	-26,250.00	
21SEP17	S	1	PD21DEC17	6437.50	6791.50	USD	-8,850.00	
21SEP17	S	1	PD21DEC17	6442.00	6791.50	USD	-8,737.50	
21SEP17	S	2	PD21DEC17	6450.00	6791.50	USD	-17,075.00	
21SEP17	S	3	PD21DEC17	6448.50	6791.50	USD	-25,725.00	
21SEP17	S	3	PD21DEC17	6445.50	6791.50	USD	-25,950.00	
21SEP17	S	2	PD21DEC17	6440.50	6791.50	USD	-17,550.00	
21SEP17	S	1	PD21DEC17	6439.00	6791.50	USD	-8,812.50	
21SEP17	S	1	PD21DEC17	6443.50	6791.50	USD	-8,700.00	
21SEP17	S	2	PD21DEC17	6443.00	6791.50	USD	-17,425.00	
21SEP17	S	3	PD21DEC17	6456.00	6791.50	USD	-25,162.50	
21SEP17	S	1	PD21DEC17	6462.50	6791.50	USD	-8,225.00	
21SEP17	B	1	PD21DEC17	6457.50	6791.50	USD	8,350.00	
21SEP17	B	3	PD21DEC17	6461.50	6791.50	USD	24,750.00	
21SEP17	B	3	PD21DEC17	6446.00	6791.50	USD	25,912.50	
21SEP17	B	1	PD21DEC17	6445.50	6791.50	USD	8,650.00	
21SEP17	B	1	PD21DEC17	6461.50	6791.50	USD	8,250.00	
21SEP17	B	1	PD21DEC17	6446.50	6791.50	USD	8,625.00	
21SEP17	B	1	PD21DEC17	6443.00	6791.50	USD	8,712.50	
21SEP17	B	1	PD21DEC17	6440.00	6791.50	USD	8,787.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	3	PD21DEC17	6441.00	6791.50	USD	26,287.50	
21SEP17	B	4	PD21DEC17	6449.50	6791.50	USD	34,200.00	
21SEP17	B	1	PD21DEC17	6448.50	6791.50	USD	8,575.00	
21SEP17	B	1	PD21DEC17	6472.50	6791.50	USD	7,975.00	
21SEP17	B	1	PD21DEC17	6468.00	6791.50	USD	8,087.50	
21SEP17	B	1	PD21DEC17	6479.50	6791.50	USD	7,800.00	
21SEP17	B	1	PD21DEC17	6480.00	6791.50	USD	7,787.50	
21SEP17	B	1	PD21DEC17	6481.50	6791.50	USD	7,750.00	
21SEP17	B	1	PD21DEC17	6484.00	6791.50	USD	7,687.50	
21SEP17	B	2	PD21DEC17	6485.50	6791.50	USD	15,300.00	
21SEP17	B	1	PD21DEC17	6484.50	6791.50	USD	7,675.00	
21SEP17	B	4	PD21DEC17	6481.00	6791.50	USD	31,050.00	
21SEP17	B	3	PD21DEC17	6482.00	6791.50	USD	23,212.50	
21SEP17	B	1	PD21DEC17	6478.50	6791.50	USD	7,825.00	
21SEP17	B	2	PD21DEC17	6477.50	6791.50	USD	15,700.00	
21SEP17	B	2	PD21DEC17	6476.50	6791.50	USD	15,750.00	
21SEP17	B	1	PD21DEC17	6478.00	6791.50	USD	7,837.50	
21SEP17	B	3	PD21DEC17	6476.00	6791.50	USD	23,662.50	
21SEP17	B	1	PD21DEC17	6473.00	6791.50	USD	7,962.50	
21SEP17	B	2	PD21DEC17	6475.00	6791.50	USD	15,825.00	
21SEP17	B	6	PD21DEC17	6474.00	6791.50	USD	47,625.00	
21SEP17	B	2	PD21DEC17	6471.00	6791.50	USD	16,025.00	
21SEP17	B	1	PD21DEC17	6471.50	6791.50	USD	8,000.00	
21SEP17	B	4	PD21DEC17	6469.00	6791.50	USD	32,250.00	
21SEP17	B	4	PD21DEC17	6469.50	6791.50	USD	32,200.00	
21SEP17	B	7	PD21DEC17	6470.00	6791.50	USD	56,262.50	
21SEP17	S	2	PD21DEC17	6438.00	6791.50	USD	-17,675.00	
21SEP17	B	1	PD21DEC17	6447.00	6791.50	USD	8,612.50	
21SEP17	B	2	PD21DEC17	6482.50	6791.50	USD	15,450.00	
21SEP17	B	1	PD21DEC17	6468.50	6791.50	USD	8,075.00	
06OCT17	S	25	PD21DEC17	6661.50	6791.50	USD	-81,250.00	
	NET	0	AVG BGHT 6468.09	SOLD 6519.89			98,437.50	0.00
22SEP17	B	1	PD22DEC17	6392.50	6790.50	USD	9,950.00	
22SEP17	S	2	PD22DEC17	6383.50	6790.50	USD	-20,350.00	
22SEP17	S	2	PD22DEC17	6385.50	6790.50	USD	-20,250.00	
22SEP17	S	2	PD22DEC17	6385.00	6790.50	USD	-20,275.00	
22SEP17	S	4	PD22DEC17	6386.00	6790.50	USD	-40,450.00	
22SEP17	S	1	PD22DEC17	6396.00	6790.50	USD	-9,862.50	
22SEP17	S	3	PD22DEC17	6393.00	6790.50	USD	-29,812.50	
22SEP17	S	1	PD22DEC17	6394.00	6790.50	USD	-9,912.50	
22SEP17	S	1	PD22DEC17	6394.50	6790.50	USD	-9,900.00	
22SEP17	S	1	PD22DEC17	6392.00	6790.50	USD	-9,962.50	
22SEP17	S	2	PD22DEC17	6391.00	6790.50	USD	-19,975.00	
22SEP17	S	3	PD22DEC17	6395.00	6790.50	USD	-29,662.50	
22SEP17	S	3	PD22DEC17	6393.50	6790.50	USD	-29,775.00	
22SEP17	S	1	PD22DEC17	6400.00	6790.50	USD	-9,762.50	
22SEP17	S	2	PD22DEC17	6401.50	6790.50	USD	-19,450.00	
22SEP17	B	1	PD22DEC17	6406.00	6790.50	USD	9,612.50	
22SEP17	S	1	PD22DEC17	6408.50	6790.50	USD	-9,550.00	
22SEP17	B	1	PD22DEC17	6409.00	6790.50	USD	9,537.50	
22SEP17	B	1	PD22DEC17	6408.00	6790.50	USD	9,562.50	
22SEP17	S	1	PD22DEC17	6421.00	6790.50	USD	-9,237.50	
22SEP17	S	1	PD22DEC17	6421.50	6790.50	USD	-9,225.00	
22SEP17	S	2	PD22DEC17	6427.00	6790.50	USD	-18,175.00	
22SEP17	S	4	PD22DEC17	6429.00	6790.50	USD	-36,150.00	
22SEP17	S	2	PD22DEC17	6430.00	6790.50	USD	-18,025.00	
22SEP17	S	3	PD22DEC17	6434.50	6790.50	USD	-26,700.00	

OPEN POSITION STATEMENT



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SAP0097R

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	6435.00	6790.50	USD	-8,887.50	
22SEP17	S	1	PD22DEC17	6435.50	6790.50	USD	-8,875.00	
22SEP17	S	1	PD22DEC17	6433.50	6790.50	USD	-8,925.00	
22SEP17	S	2	PD22DEC17	6429.50	6790.50	USD	-18,050.00	
22SEP17	S	3	PD22DEC17	6430.50	6790.50	USD	-27,000.00	
22SEP17	S	3	PD22DEC17	6432.00	6790.50	USD	-26,887.50	
22SEP17	S	3	PD22DEC17	6428.50	6790.50	USD	-27,150.00	
22SEP17	S	3	PD22DEC17	6431.50	6790.50	USD	-26,925.00	
22SEP17	S	1	PD22DEC17	6426.00	6790.50	USD	-9,112.50	
22SEP17	S	1	PD22DEC17	6426.50	6790.50	USD	-9,100.00	
22SEP17	S	1	PD22DEC17	6439.50	6790.50	USD	-8,775.00	
22SEP17	S	1	PD22DEC17	6449.50	6790.50	USD	-8,525.00	
22SEP17	S	1	PD22DEC17	6455.00	6790.50	USD	-8,387.50	
22SEP17	B	1	PD22DEC17	6459.50	6790.50	USD	8,275.00	
22SEP17	B	1	PD22DEC17	6447.00	6790.50	USD	8,587.50	
22SEP17	S	1	PD22DEC17	6391.00	6790.50	USD	-9,987.50	
22SEP17	S	1	PD22DEC17	6402.50	6790.50	USD	-9,700.00	
22SEP17	S	1	PD22DEC17	6421.00	6790.50	USD	-9,237.50	
22SEP17	B	3	PD22DEC17	6443.00	6790.50	USD	26,062.50	
22SEP17	B	2	PD22DEC17	6441.00	6790.50	USD	17,475.00	
22SEP17	B	1	PD22DEC17	6443.50	6790.50	USD	8,675.00	
22SEP17	B	1	PD22DEC17	6442.50	6790.50	USD	8,700.00	
22SEP17	B	5	PD22DEC17	6441.50	6790.50	USD	43,625.00	
22SEP17	B	1	PD22DEC17	6442.00	6790.50	USD	8,712.50	
22SEP17	B	2	PD22DEC17	6447.50	6790.50	USD	17,150.00	
22SEP17	B	1	PD22DEC17	6451.50	6790.50	USD	8,475.00	
22SEP17	B	1	PD22DEC17	6449.50	6790.50	USD	8,525.00	
22SEP17	S	1	PD22DEC17	6458.00	6790.50	USD	-8,312.50	
22SEP17	S	1	PD22DEC17	6464.50	6790.50	USD	-8,150.00	
22SEP17	S	1	PD22DEC17	6463.50	6790.50	USD	-8,175.00	
22SEP17	S	9	PD22DEC17	6463.00	6790.50	USD	-73,687.50	
22SEP17	S	2	PD22DEC17	6462.00	6790.50	USD	-16,425.00	
22SEP17	S	4	PD22DEC17	6462.50	6790.50	USD	-32,800.00	
22SEP17	B	3	PD22DEC17	6456.00	6790.50	USD	25,087.50	
22SEP17	B	1	PD22DEC17	6454.50	6790.50	USD	8,400.00	
22SEP17	B	6	PD22DEC17	6454.00	6790.50	USD	50,475.00	
22SEP17	B	1	PD22DEC17	6453.50	6790.50	USD	8,425.00	
22SEP17	B	1	PD22DEC17	6457.50	6790.50	USD	8,325.00	
22SEP17	B	1	PD22DEC17	6456.50	6790.50	USD	8,350.00	
22SEP17	B	2	PD22DEC17	6458.00	6790.50	USD	16,625.00	
22SEP17	B	3	PD22DEC17	6455.00	6790.50	USD	25,162.50	
22SEP17	S	1	PD22DEC17	6457.00	6790.50	USD	-8,337.50	
22SEP17	S	7	PD22DEC17	6454.00	6790.50	USD	-58,887.50	
22SEP17	S	5	PD22DEC17	6454.50	6790.50	USD	-42,000.00	
22SEP17	S	2	PD22DEC17	6455.50	6790.50	USD	-16,750.00	
22SEP17	S	2	PD22DEC17	6456.00	6790.50	USD	-16,725.00	
22SEP17	S	1	PD22DEC17	6471.50	6790.50	USD	-7,975.00	
22SEP17	S	4	PD22DEC17	6470.00	6790.50	USD	-32,050.00	
22SEP17	S	1	PD22DEC17	6467.50	6790.50	USD	-8,075.00	
22SEP17	S	1	PD22DEC17	6469.00	6790.50	USD	-8,037.50	
22SEP17	S	2	PD22DEC17	6465.00	6790.50	USD	-16,275.00	
22SEP17	S	1	PD22DEC17	6461.00	6790.50	USD	-8,237.50	
22SEP17	S	4	PD22DEC17	6460.50	6790.50	USD	-33,000.00	
22SEP17	B	1	PD22DEC17	6462.00	6790.50	USD	8,212.50	
22SEP17	B	1	PD22DEC17	6470.00	6790.50	USD	8,012.50	
22SEP17	B	1	PD22DEC17	6462.50	6790.50	USD	8,200.00	
22SEP17	B	6	PD22DEC17	6464.50	6790.50	USD	48,900.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	6463.00	6790.50	USD	8,187.50	
22SEP17	B	2	PD22DEC17	6461.00	6790.50	USD	16,475.00	
22SEP17	B	1	PD22DEC17	6461.50	6790.50	USD	8,225.00	
22SEP17	B	2	PD22DEC17	6468.50	6790.50	USD	16,100.00	
22SEP17	B	2	PD22DEC17	6467.00	6790.50	USD	16,175.00	
22SEP17	B	1	PD22DEC17	6466.50	6790.50	USD	8,100.00	
22SEP17	B	4	PD22DEC17	6466.00	6790.50	USD	32,450.00	
22SEP17	B	3	PD22DEC17	6465.00	6790.50	USD	24,412.50	
22SEP17	B	12	PD22DEC17	6464.00	6790.50	USD	97,950.00	
22SEP17	B	1	PD22DEC17	6463.50	6790.50	USD	8,175.00	
22SEP17	B	3	PD22DEC17	6468.00	6790.50	USD	24,187.50	
22SEP17	S	1	PD22DEC17	6464.00	6790.50	USD	-8,162.50	
25SEP17	B	35	PD22DEC17	6447.49	6790.50	USD	300,133.75	
	NET	0 AVG	BGHT 6452.83				-56,378.75	0.00
			SOLD 6433.56					
25SEP17	B	1	PD27DEC17	6476.00	6793.00	USD	7,925.00	
25SEP17	B	3	PD27DEC17	6472.00	6793.00	USD	24,075.00	
25SEP17	B	1	PD27DEC17	6471.00	6793.00	USD	8,050.00	
25SEP17	S	6	PD27DEC17	6463.50	6793.00	USD	-49,425.00	
25SEP17	S	4	PD27DEC17	6465.50	6793.00	USD	-32,750.00	
25SEP17	B	1	PD27DEC17	6466.50	6793.00	USD	8,162.50	
25SEP17	S	3	PD27DEC17	6463.00	6793.00	USD	-24,750.00	
25SEP17	S	7	PD27DEC17	6465.00	6793.00	USD	-57,400.00	
25SEP17	S	2	PD27DEC17	6466.50	6793.00	USD	-16,325.00	
25SEP17	S	4	PD27DEC17	6466.00	6793.00	USD	-32,700.00	
25SEP17	S	8	PD27DEC17	6464.00	6793.00	USD	-65,800.00	
25SEP17	S	5	PD27DEC17	6464.50	6793.00	USD	-41,062.50	
25SEP17	S	2	PD27DEC17	6439.50	6793.00	USD	-17,675.00	
25SEP17	S	1	PD27DEC17	6438.50	6793.00	USD	-8,862.50	
25SEP17	S	1	PD27DEC17	6436.50	6793.00	USD	-8,912.50	
25SEP17	S	1	PD27DEC17	6446.00	6793.00	USD	-8,675.00	
25SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
25SEP17	S	2	PD27DEC17	6455.00	6793.00	USD	-16,900.00	
25SEP17	S	3	PD27DEC17	6454.00	6793.00	USD	-25,425.00	
25SEP17	S	4	PD27DEC17	6462.00	6793.00	USD	-33,100.00	
25SEP17	S	2	PD27DEC17	6461.50	6793.00	USD	-16,575.00	
25SEP17	S	4	PD27DEC17	6460.50	6793.00	USD	-33,250.00	
25SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
25SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
25SEP17	S	2	PD27DEC17	6462.50	6793.00	USD	-16,525.00	
25SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
25SEP17	S	2	PD27DEC17	6456.50	6793.00	USD	-16,825.00	
25SEP17	S	2	PD27DEC17	6457.50	6793.00	USD	-16,775.00	
25SEP17	S	3	PD27DEC17	6455.50	6793.00	USD	-25,312.50	
25SEP17	S	6	PD27DEC17	6453.50	6793.00	USD	-50,925.00	
25SEP17	S	4	PD27DEC17	6454.50	6793.00	USD	-33,850.00	
25SEP17	S	4	PD27DEC17	6453.00	6793.00	USD	-34,000.00	
25SEP17	S	6	PD27DEC17	6452.00	6793.00	USD	-51,150.00	
25SEP17	S	8	PD27DEC17	6451.00	6793.00	USD	-68,400.00	
25SEP17	S	3	PD27DEC17	6452.50	6793.00	USD	-25,537.50	
25SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	
25SEP17	S	1	PD27DEC17	6453.00	6793.00	USD	-8,500.00	
25SEP17	S	1	PD27DEC17	6446.50	6793.00	USD	-8,662.50	
26SEP17	B	2	PD27DEC17	6509.50	6793.00	USD	14,175.00	
26SEP17	B	1	PD27DEC17	6511.50	6793.00	USD	7,037.50	
26SEP17	B	2	PD27DEC17	6513.00	6793.00	USD	14,000.00	
26SEP17	B	1	PD27DEC17	6511.00	6793.00	USD	7,050.00	
26SEP17	B	1	PD27DEC17	6509.00	6793.00	USD	7,100.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6505.50	6793.00	USD	7,187.50	
26SEP17	B	1	PD27DEC17	6504.50	6793.00	USD	7,212.50	
26SEP17	B	2	PD27DEC17	6502.50	6793.00	USD	14,525.00	
26SEP17	S	1	PD27DEC17	6515.00	6793.00	USD	-6,950.00	
26SEP17	B	1	PD27DEC17	6517.00	6793.00	USD	6,900.00	
26SEP17	B	1	PD27DEC17	6517.50	6793.00	USD	6,887.50	
26SEP17	B	1	PD27DEC17	6510.50	6793.00	USD	7,062.50	
26SEP17	B	4	PD27DEC17	6508.00	6793.00	USD	28,500.00	
26SEP17	B	1	PD27DEC17	6500.50	6793.00	USD	7,312.50	
26SEP17	B	1	PD27DEC17	6498.00	6793.00	USD	7,375.00	
26SEP17	B	1	PD27DEC17	6497.00	6793.00	USD	7,400.00	
26SEP17	B	1	PD27DEC17	6496.50	6793.00	USD	7,412.50	
26SEP17	B	2	PD27DEC17	6499.50	6793.00	USD	14,675.00	
26SEP17	B	2	PD27DEC17	6498.50	6793.00	USD	14,725.00	
26SEP17	B	2	PD27DEC17	6503.50	6793.00	USD	14,475.00	
26SEP17	B	3	PD27DEC17	6501.50	6793.00	USD	21,862.50	
26SEP17	B	3	PD27DEC17	6503.00	6793.00	USD	21,750.00	
26SEP17	B	3	PD27DEC17	6506.50	6793.00	USD	21,487.50	
26SEP17	B	1	PD27DEC17	6504.00	6793.00	USD	7,225.00	
26SEP17	B	2	PD27DEC17	6505.00	6793.00	USD	14,400.00	
26SEP17	B	7	PD27DEC17	6506.00	6793.00	USD	50,225.00	
26SEP17	B	3	PD27DEC17	6507.00	6793.00	USD	21,450.00	
26SEP17	B	3	PD27DEC17	6507.50	6793.00	USD	21,412.50	
26SEP17	S	1	PD27DEC17	6508.50	6793.00	USD	-7,112.50	
26SEP17	S	1	PD27DEC17	6507.50	6793.00	USD	-7,137.50	
26SEP17	S	2	PD27DEC17	6510.50	6793.00	USD	-14,125.00	
26SEP17	S	1	PD27DEC17	6514.50	6793.00	USD	-6,962.50	
26SEP17	B	6	PD27DEC17	6512.00	6793.00	USD	42,150.00	
26SEP17	S	1	PD27DEC17	6504.50	6793.00	USD	-7,212.50	
26SEP17	S	3	PD27DEC17	6505.00	6793.00	USD	-21,600.00	
26SEP17	S	4	PD27DEC17	6505.50	6793.00	USD	-28,750.00	
26SEP17	S	1	PD27DEC17	6496.00	6793.00	USD	-7,425.00	
26SEP17	B	1	PD27DEC17	6492.50	6793.00	USD	7,512.50	
26SEP17	B	1	PD27DEC17	6495.00	6793.00	USD	7,450.00	
26SEP17	B	1	PD27DEC17	6494.00	6793.00	USD	7,475.00	
26SEP17	B	4	PD27DEC17	6491.50	6793.00	USD	30,150.00	
26SEP17	B	2	PD27DEC17	6491.00	6793.00	USD	15,100.00	
26SEP17	B	3	PD27DEC17	6490.50	6793.00	USD	22,687.50	
26SEP17	B	4	PD27DEC17	6489.00	6793.00	USD	30,400.00	
26SEP17	B	2	PD27DEC17	6487.00	6793.00	USD	15,300.00	
26SEP17	B	2	PD27DEC17	6497.50	6793.00	USD	14,775.00	
26SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
26SEP17	S	2	PD27DEC17	6464.50	6793.00	USD	-16,425.00	
26SEP17	B	1	PD27DEC17	6512.50	6793.00	USD	7,012.50	
26SEP17	S	3	PD27DEC17	6457.00	6793.00	USD	-25,200.00	
26SEP17	S	1	PD27DEC17	6457.50	6793.00	USD	-8,387.50	
26SEP17	S	2	PD27DEC17	6458.00	6793.00	USD	-16,750.00	
26SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
26SEP17	S	4	PD27DEC17	6463.50	6793.00	USD	-32,950.00	
26SEP17	S	1	PD27DEC17	6465.50	6793.00	USD	-8,187.50	
26SEP17	S	3	PD27DEC17	6461.50	6793.00	USD	-24,862.50	
26SEP17	S	3	PD27DEC17	6462.00	6793.00	USD	-24,825.00	
26SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
26SEP17	B	2	PD27DEC17	6457.00	6793.00	USD	16,800.00	
26SEP17	B	1	PD27DEC17	6456.00	6793.00	USD	8,425.00	
26SEP17	B	2	PD27DEC17	6454.50	6793.00	USD	16,925.00	
26SEP17	B	1	PD27DEC17	6455.00	6793.00	USD	8,450.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	9	PD27DEC17	6455.50	6793.00	USD	75,937.50	
26SEP17	B	1	PD27DEC17	6456.50	6793.00	USD	8,412.50	
26SEP17	B	3	PD27DEC17	6452.50	6793.00	USD	25,537.50	
26SEP17	S	1	PD27DEC17	6440.00	6793.00	USD	-8,825.00	
26SEP17	S	1	PD27DEC17	6436.50	6793.00	USD	-8,912.50	
26SEP17	S	1	PD27DEC17	6438.50	6793.00	USD	-8,862.50	
26SEP17	S	3	PD27DEC17	6439.00	6793.00	USD	-26,550.00	
26SEP17	S	3	PD27DEC17	6437.50	6793.00	USD	-26,662.50	
26SEP17	S	1	PD27DEC17	6442.00	6793.00	USD	-8,775.00	
26SEP17	S	3	PD27DEC17	6442.50	6793.00	USD	-26,287.50	
26SEP17	S	1	PD27DEC17	6443.00	6793.00	USD	-8,750.00	
26SEP17	S	2	PD27DEC17	6441.00	6793.00	USD	-17,600.00	
26SEP17	S	1	PD27DEC17	6430.00	6793.00	USD	-9,075.00	
26SEP17	S	1	PD27DEC17	6433.50	6793.00	USD	-8,987.50	
26SEP17	S	1	PD27DEC17	6432.50	6793.00	USD	-9,012.50	
26SEP17	S	3	PD27DEC17	6433.00	6793.00	USD	-27,000.00	
26SEP17	S	2	PD27DEC17	6438.00	6793.00	USD	-17,750.00	
26SEP17	S	2	PD27DEC17	6434.00	6793.00	USD	-17,950.00	
26SEP17	S	3	PD27DEC17	6439.50	6793.00	USD	-26,512.50	
26SEP17	S	2	PD27DEC17	6437.00	6793.00	USD	-17,800.00	
26SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
26SEP17	B	1	PD27DEC17	6444.50	6793.00	USD	8,712.50	
26SEP17	S	1	PD27DEC17	6428.50	6793.00	USD	-9,112.50	
26SEP17	S	1	PD27DEC17	6429.00	6793.00	USD	-9,100.00	
26SEP17	S	1	PD27DEC17	6416.00	6793.00	USD	-9,425.00	
26SEP17	S	3	PD27DEC17	6410.00	6793.00	USD	-28,725.00	
26SEP17	S	1	PD27DEC17	6407.00	6793.00	USD	-9,650.00	
26SEP17	S	1	PD27DEC17	6409.00	6793.00	USD	-9,600.00	
26SEP17	S	1	PD27DEC17	6412.00	6793.00	USD	-9,525.00	
26SEP17	S	2	PD27DEC17	6411.50	6793.00	USD	-19,075.00	
26SEP17	S	1	PD27DEC17	6410.50	6793.00	USD	-9,562.50	
26SEP17	S	2	PD27DEC17	6416.50	6793.00	USD	-18,825.00	
26SEP17	S	5	PD27DEC17	6414.50	6793.00	USD	-47,312.50	
26SEP17	S	1	PD27DEC17	6416.00	6793.00	USD	-9,425.00	
26SEP17	S	4	PD27DEC17	6409.50	6793.00	USD	-38,350.00	
26SEP17	S	2	PD27DEC17	6407.50	6793.00	USD	-19,275.00	
26SEP17	S	2	PD27DEC17	6408.50	6793.00	USD	-19,225.00	
26SEP17	B	2	PD27DEC17	6414.50	6793.00	USD	18,925.00	
26SEP17	B	1	PD27DEC17	6413.50	6793.00	USD	9,487.50	
26SEP17	B	2	PD27DEC17	6418.00	6793.00	USD	18,750.00	
26SEP17	B	3	PD27DEC17	6416.00	6793.00	USD	28,275.00	
26SEP17	B	4	PD27DEC17	6417.50	6793.00	USD	37,550.00	
26SEP17	S	5	PD27DEC17	6415.50	6793.00	USD	-47,187.50	
26SEP17	B	5	PD27DEC17	6415.00	6793.00	USD	47,250.00	
26SEP17	B	4	PD27DEC17	6415.50	6793.00	USD	37,750.00	
26SEP17	B	3	PD27DEC17	6416.50	6793.00	USD	28,237.50	
26SEP17	B	6	PD27DEC17	6417.00	6793.00	USD	56,400.00	
26SEP17	B	10	PD27DEC17	6418.50	6793.00	USD	93,625.00	
26SEP17	S	3	PD27DEC17	6411.00	6793.00	USD	-28,650.00	
26SEP17	B	1	PD27DEC17	6411.50	6793.00	USD	9,537.50	
26SEP17	B	3	PD27DEC17	6419.00	6793.00	USD	28,050.00	
26SEP17	B	1	PD27DEC17	6424.50	6793.00	USD	9,212.50	
26SEP17	B	4	PD27DEC17	6424.00	6793.00	USD	36,900.00	
26SEP17	B	2	PD27DEC17	6423.50	6793.00	USD	18,475.00	
26SEP17	B	1	PD27DEC17	6425.50	6793.00	USD	9,187.50	
26SEP17	B	2	PD27DEC17	6426.50	6793.00	USD	18,325.00	
26SEP17	B	4	PD27DEC17	6426.00	6793.00	USD	36,700.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	2	PD27DEC17	6428.00	6793.00	USD	18,250.00	
26SEP17	B	3	PD27DEC17	6427.00	6793.00	USD	27,450.00	
26SEP17	B	1	PD27DEC17	6427.50	6793.00	USD	9,137.50	
26SEP17	B	1	PD27DEC17	6414.50	6793.00	USD	9,462.50	
26SEP17	B	1	PD27DEC17	6413.50	6793.00	USD	9,487.50	
26SEP17	S	2	PD27DEC17	6415.50	6793.00	USD	-18,875.00	
26SEP17	B	1	PD27DEC17	6415.50	6793.00	USD	9,437.50	
26SEP17	B	1	PD27DEC17	6429.50	6793.00	USD	9,087.50	
27SEP17	B	1	PD27DEC17	6469.50	6793.00	USD	8,087.50	
27SEP17	B	1	PD27DEC17	6470.00	6793.00	USD	8,075.00	
27SEP17	B	1	PD27DEC17	6475.00	6793.00	USD	7,950.00	
27SEP17	S	1	PD27DEC17	6462.00	6793.00	USD	-8,275.00	
27SEP17	S	1	PD27DEC17	6467.00	6793.00	USD	-8,150.00	
27SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
27SEP17	S	4	PD27DEC17	6463.50	6793.00	USD	-32,950.00	
27SEP17	S	2	PD27DEC17	6462.50	6793.00	USD	-16,525.00	
27SEP17	S	2	PD27DEC17	6461.50	6793.00	USD	-16,575.00	
27SEP17	S	4	PD27DEC17	6463.00	6793.00	USD	-33,000.00	
27SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
27SEP17	B	4	PD27DEC17	6453.00	6793.00	USD	34,000.00	
27SEP17	B	1	PD27DEC17	6455.00	6793.00	USD	8,450.00	
27SEP17	B	1	PD27DEC17	6459.50	6793.00	USD	8,337.50	
27SEP17	B	1	PD27DEC17	6456.00	6793.00	USD	8,425.00	
27SEP17	B	1	PD27DEC17	6457.00	6793.00	USD	8,400.00	
27SEP17	B	1	PD27DEC17	6461.50	6793.00	USD	8,287.50	
27SEP17	B	1	PD27DEC17	6463.50	6793.00	USD	8,237.50	
27SEP17	B	1	PD27DEC17	6468.50	6793.00	USD	8,112.50	
27SEP17	B	2	PD27DEC17	6482.50	6793.00	USD	15,525.00	
27SEP17	B	2	PD27DEC17	6484.50	6793.00	USD	15,425.00	
27SEP17	B	1	PD27DEC17	6483.50	6793.00	USD	7,737.50	
27SEP17	B	3	PD27DEC17	6484.00	6793.00	USD	23,175.00	
27SEP17	B	1	PD27DEC17	6480.50	6793.00	USD	7,812.50	
27SEP17	B	1	PD27DEC17	6478.00	6793.00	USD	7,875.00	
27SEP17	B	1	PD27DEC17	6476.00	6793.00	USD	7,925.00	
27SEP17	B	1	PD27DEC17	6476.50	6793.00	USD	7,912.50	
27SEP17	B	8	PD27DEC17	6473.50	6793.00	USD	63,900.00	
27SEP17	B	5	PD27DEC17	6473.00	6793.00	USD	40,000.00	
27SEP17	B	6	PD27DEC17	6474.00	6793.00	USD	47,850.00	
27SEP17	B	2	PD27DEC17	6478.50	6793.00	USD	15,725.00	
27SEP17	B	3	PD27DEC17	6472.50	6793.00	USD	24,037.50	
27SEP17	B	3	PD27DEC17	6468.00	6793.00	USD	24,375.00	
27SEP17	B	1	PD27DEC17	6465.50	6793.00	USD	8,187.50	
27SEP17	B	2	PD27DEC17	6465.00	6793.00	USD	16,400.00	
27SEP17	B	5	PD27DEC17	6464.00	6793.00	USD	41,125.00	
27SEP17	B	3	PD27DEC17	6463.00	6793.00	USD	24,750.00	
27SEP17	B	1	PD27DEC17	6462.00	6793.00	USD	8,275.00	
27SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
27SEP17	S	2	PD27DEC17	6441.50	6793.00	USD	-17,575.00	
27SEP17	B	1	PD27DEC17	6437.00	6793.00	USD	8,900.00	
27SEP17	B	5	PD27DEC17	6439.50	6793.00	USD	44,187.50	
27SEP17	B	2	PD27DEC17	6437.50	6793.00	USD	17,775.00	
27SEP17	B	1	PD27DEC17	6434.50	6793.00	USD	8,962.50	
27SEP17	B	1	PD27DEC17	6443.00	6793.00	USD	8,750.00	
27SEP17	B	1	PD27DEC17	6442.00	6793.00	USD	8,775.00	
27SEP17	B	2	PD27DEC17	6439.00	6793.00	USD	17,700.00	
27SEP17	B	3	PD27DEC17	6438.50	6793.00	USD	26,587.50	
27SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	S	1	PD27DEC17		6438.00	6793.00	USD	-8,875.00	
27SEP17	B	5	PD27DEC17		6438.00	6793.00	USD	44,375.00	
27SEP17	B	1	PD27DEC17		6437.00	6793.00	USD	8,900.00	
06OCT17	S	35	PD27DEC17		6663.50	6793.00	USD	-113,312.50	
	NET	0AVG	BGHT 6462.91	SOLD 6479.44				109,512.50	0.00
28SEP17	B	1	PD28DEC17		6429.50	6793.47	USD	9,099.25	
28SEP17	S	1	PD28DEC17		6421.00	6793.47	USD	-9,311.75	
28SEP17	S	2	PD28DEC17		6420.00	6793.47	USD	-18,673.50	
28SEP17	S	2	PD28DEC17		6416.50	6793.47	USD	-18,848.50	
28SEP17	S	1	PD28DEC17		6417.00	6793.47	USD	-9,411.75	
28SEP17	S	1	PD28DEC17		6417.50	6793.47	USD	-9,399.25	
28SEP17	S	1	PD28DEC17		6415.00	6793.47	USD	-9,461.75	
28SEP17	S	1	PD28DEC17		6414.00	6793.47	USD	-9,486.75	
28SEP17	S	1	PD28DEC17		6412.50	6793.47	USD	-9,524.25	
28SEP17	S	1	PD28DEC17		6408.50	6793.47	USD	-9,624.25	
28SEP17	S	1	PD28DEC17		6410.00	6793.47	USD	-9,586.75	
28SEP17	S	6	PD28DEC17		6408.00	6793.47	USD	-57,820.50	
28SEP17	S	1	PD28DEC17		6407.50	6793.47	USD	-9,649.25	
28SEP17	S	3	PD28DEC17		6400.00	6793.47	USD	-29,510.25	
28SEP17	S	1	PD28DEC17		6399.00	6793.47	USD	-9,861.75	
28SEP17	S	7	PD28DEC17		6398.50	6793.47	USD	-69,119.75	
28SEP17	S	1	PD28DEC17		6400.50	6793.47	USD	-9,824.25	
28SEP17	S	2	PD28DEC17		6405.50	6793.47	USD	-19,398.50	
28SEP17	S	2	PD28DEC17		6415.50	6793.47	USD	-18,898.50	
28SEP17	S	1	PD28DEC17		6427.50	6793.47	USD	-9,149.25	
28SEP17	S	1	PD28DEC17		6431.50	6793.47	USD	-9,049.25	
28SEP17	S	1	PD28DEC17		6426.50	6793.47	USD	-9,174.25	
28SEP17	B	1	PD28DEC17		6433.00	6793.47	USD	9,011.75	
28SEP17	B	2	PD28DEC17		6438.50	6793.47	USD	17,748.50	
28SEP17	B	1	PD28DEC17		6437.00	6793.47	USD	8,911.75	
28SEP17	B	3	PD28DEC17		6436.50	6793.47	USD	26,772.75	
28SEP17	B	1	PD28DEC17		6435.50	6793.47	USD	8,949.25	
28SEP17	B	2	PD28DEC17		6438.00	6793.47	USD	17,773.50	
28SEP17	B	2	PD28DEC17		6437.50	6793.47	USD	17,798.50	
28SEP17	B	1	PD28DEC17		6434.00	6793.47	USD	8,986.75	
28SEP17	B	8	PD28DEC17		6435.00	6793.47	USD	71,694.00	
28SEP17	B	1	PD28DEC17		6444.50	6793.47	USD	8,724.25	
28SEP17	B	1	PD28DEC17		6445.50	6793.47	USD	8,699.25	
28SEP17	S	5	PD28DEC17		6446.50	6793.47	USD	-43,371.25	
28SEP17	B	1	PD28DEC17		6444.00	6793.47	USD	8,736.75	
28SEP17	B	2	PD28DEC17		6451.50	6793.47	USD	17,098.50	
28SEP17	B	1	PD28DEC17		6458.50	6793.47	USD	8,374.25	
28SEP17	B	2	PD28DEC17		6458.00	6793.47	USD	16,773.50	
28SEP17	B	2	PD28DEC17		6463.00	6793.47	USD	16,523.50	
28SEP17	S	1	PD28DEC17		6473.00	6793.47	USD	-8,011.75	
28SEP17	S	2	PD28DEC17		6469.00	6793.47	USD	-16,223.50	
28SEP17	S	1	PD28DEC17		6482.50	6793.47	USD	-7,774.25	
28SEP17	S	1	PD28DEC17		6479.00	6793.47	USD	-7,861.75	
28SEP17	S	1	PD28DEC17		6477.00	6793.47	USD	-7,911.75	
28SEP17	S	3	PD28DEC17		6476.50	6793.47	USD	-23,772.75	
28SEP17	S	1	PD28DEC17		6478.50	6793.47	USD	-7,874.25	
28SEP17	B	1	PD28DEC17		6474.00	6793.47	USD	7,986.75	
28SEP17	B	1	PD28DEC17		6473.50	6793.47	USD	7,999.25	
28SEP17	B	2	PD28DEC17		6469.50	6793.47	USD	16,198.50	
28SEP17	B	2	PD28DEC17		6455.00	6793.47	USD	16,923.50	
28SEP17	B	4	PD28DEC17		6455.50	6793.47	USD	33,797.00	
28SEP17	B	2	PD28DEC17		6452.00	6793.47	USD	17,073.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	3	PD28DEC17	6452.50	6793.47	USD	25,572.75	
28SEP17	B	1	PD28DEC17	6453.50	6793.47	USD	8,499.25	
28SEP17	B	1	PD28DEC17	6453.00	6793.47	USD	8,511.75	
28SEP17	B	2	PD28DEC17	6454.00	6793.47	USD	16,973.50	
28SEP17	S	1	PD28DEC17	6407.50	6793.47	USD	-9,649.25	
28SEP17	B	1	PD28DEC17	6437.50	6793.47	USD	8,899.25	
28SEP17	B	1	PD28DEC17	6436.00	6793.47	USD	8,936.75	
28SEP17	B	2	PD28DEC17	6467.50	6793.47	USD	16,298.50	
28SEP17	B	6	PD28DEC17	6470.00	6793.47	USD	48,520.50	
28SEP17	B	4	PD28DEC17	6468.00	6793.47	USD	32,547.00	
28SEP17	B	2	PD28DEC17	6468.50	6793.47	USD	16,248.50	
28SEP17	B	3	PD28DEC17	6469.00	6793.47	USD	24,335.25	
28SEP17	B	5	PD28DEC17	6472.00	6793.47	USD	40,183.75	
28SEP17	S	1	PD28DEC17	6474.50	6793.47	USD	-7,974.25	
28SEP17	S	2	PD28DEC17	6475.50	6793.47	USD	-15,898.50	
28SEP17	S	5	PD28DEC17	6475.00	6793.47	USD	-39,808.75	
28SEP17	B	1	PD28DEC17	6501.00	6793.47	USD	7,311.75	
28SEP17	B	1	PD28DEC17	6504.50	6793.47	USD	7,224.25	
28SEP17	B	2	PD28DEC17	6517.00	6793.47	USD	13,823.50	
28SEP17	B	1	PD28DEC17	6513.50	6793.47	USD	6,999.25	
28SEP17	B	1	PD28DEC17	6512.50	6793.47	USD	7,024.25	
28SEP17	S	1	PD28DEC17	6511.50	6793.47	USD	-7,049.25	
28SEP17	S	1	PD28DEC17	6513.50	6793.47	USD	-6,999.25	
28SEP17	B	2	PD28DEC17	6508.50	6793.47	USD	14,248.50	
28SEP17	B	1	PD28DEC17	6515.50	6793.47	USD	6,949.25	
28SEP17	B	2	PD28DEC17	6515.00	6793.47	USD	13,923.50	
28SEP17	B	2	PD28DEC17	6514.50	6793.47	USD	13,948.50	
28SEP17	B	2	PD28DEC17	6513.00	6793.47	USD	14,023.50	
28SEP17	B	1	PD28DEC17	6522.50	6793.47	USD	6,774.25	
28SEP17	B	1	PD28DEC17	6527.00	6793.47	USD	6,661.75	
28SEP17	B	3	PD28DEC17	6525.00	6793.47	USD	20,135.25	
28SEP17	B	2	PD28DEC17	6521.00	6793.47	USD	13,623.50	
28SEP17	B	2	PD28DEC17	6519.00	6793.47	USD	13,723.50	
28SEP17	B	1	PD28DEC17	6523.00	6793.47	USD	6,761.75	
28SEP17	B	1	PD28DEC17	6520.00	6793.47	USD	6,836.75	
28SEP17	B	2	PD28DEC17	6518.50	6793.47	USD	13,748.50	
28SEP17	S	1	PD28DEC17	6517.50	6793.47	USD	-6,899.25	
28SEP17	B	2	PD28DEC17	6524.50	6793.47	USD	13,448.50	
28SEP17	B	2	PD28DEC17	6572.00	6793.47	USD	11,073.50	
28SEP17	B	1	PD28DEC17	6568.50	6793.47	USD	5,624.25	
28SEP17	B	1	PD28DEC17	6568.00	6793.47	USD	5,636.75	
28SEP17	B	1	PD28DEC17	6569.50	6793.47	USD	5,599.25	
28SEP17	B	2	PD28DEC17	6571.00	6793.47	USD	11,123.50	
28SEP17	S	2	PD28DEC17	6565.50	6793.47	USD	-11,398.50	
28SEP17	S	1	PD28DEC17	6562.50	6793.47	USD	-5,774.25	
28SEP17	S	4	PD28DEC17	6562.00	6793.47	USD	-23,147.00	
28SEP17	B	1	PD28DEC17	6554.50	6793.47	USD	5,974.25	
28SEP17	B	1	PD28DEC17	6554.00	6793.47	USD	5,986.75	
28SEP17	B	1	PD28DEC17	6559.00	6793.47	USD	5,861.75	
28SEP17	B	1	PD28DEC17	6558.00	6793.47	USD	5,886.75	
28SEP17	S	1	PD28DEC17	6559.00	6793.47	USD	-5,861.75	
28SEP17	S	1	PD28DEC17	6556.50	6793.47	USD	-5,924.25	
28SEP17	S	1	PD28DEC17	6557.50	6793.47	USD	-5,899.25	
28SEP17	S	1	PD28DEC17	6558.00	6793.47	USD	-5,886.75	
28SEP17	B	1	PD28DEC17	6521.50	6793.47	USD	6,799.25	
28SEP17	S	2	PD28DEC17	6512.00	6793.47	USD	-14,073.50	
28SEP17	B	1	PD28DEC17	6519.50	6793.47	USD	6,849.25	

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06OCT17	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
	S	35	PD28DEC17	6663.83	6793.47	USD	-113,435.00	
	NET	+5 AVG BGHT 6481.34	SOLD 6519.75				147,522.50	0.00
29SEP17	B	1	PD29DEC17	6572.50	6793.93	USD	5,535.75	
29SEP17	B	2	PD29DEC17	6575.00	6793.93	USD	10,946.50	
29SEP17	B	4	PD29DEC17	6573.00	6793.93	USD	22,093.00	
29SEP17	B	1	PD29DEC17	6574.50	6793.93	USD	5,485.75	
29SEP17	B	1	PD29DEC17	6573.50	6793.93	USD	5,510.75	
29SEP17	B	3	PD29DEC17	6568.00	6793.93	USD	16,944.75	
29SEP17	B	2	PD29DEC17	6568.50	6793.93	USD	11,271.50	
29SEP17	B	1	PD29DEC17	6565.00	6793.93	USD	5,723.25	
29SEP17	B	2	PD29DEC17	6564.50	6793.93	USD	11,471.50	
29SEP17	S	1	PD29DEC17	6561.00	6793.93	USD	-5,823.25	
29SEP17	B	1	PD29DEC17	6561.50	6793.93	USD	5,810.75	
29SEP17	B	5	PD29DEC17	6555.00	6793.93	USD	29,866.25	
29SEP17	B	1	PD29DEC17	6557.50	6793.93	USD	5,910.75	
29SEP17	S	2	PD29DEC17	6556.50	6793.93	USD	-11,871.50	
29SEP17	B	1	PD29DEC17	6551.00	6793.93	USD	6,073.25	
29SEP17	B	3	PD29DEC17	6545.00	6793.93	USD	18,669.75	
29SEP17	B	5	PD29DEC17	6546.00	6793.93	USD	30,991.25	
29SEP17	B	7	PD29DEC17	6547.00	6793.93	USD	43,212.75	
29SEP17	S	1	PD29DEC17	6531.50	6793.93	USD	-6,560.75	
29SEP17	S	1	PD29DEC17	6528.00	6793.93	USD	-6,648.25	
29SEP17	S	1	PD29DEC17	6537.00	6793.93	USD	-6,423.25	
29SEP17	S	3	PD29DEC17	6541.50	6793.93	USD	-18,932.25	
29SEP17	S	1	PD29DEC17	6541.00	6793.93	USD	-6,323.25	
29SEP17	S	1	PD29DEC17	6540.50	6793.93	USD	-6,335.75	
29SEP17	B	1	PD29DEC17	6560.50	6793.93	USD	5,835.75	
29SEP17	B	1	PD29DEC17	6573.00	6793.93	USD	5,523.25	
29SEP17	B	1	PD29DEC17	6563.50	6793.93	USD	5,760.75	
29SEP17	S	7	PD29DEC17	6530.50	6793.93	USD	-46,100.25	
29SEP17	B	1	PD29DEC17	6533.00	6793.93	USD	6,523.25	
29SEP17	S	2	PD29DEC17	6531.00	6793.93	USD	-13,146.50	
29SEP17	S	6	PD29DEC17	6530.00	6793.93	USD	-39,589.50	
29SEP17	S	3	PD29DEC17	6532.50	6793.93	USD	-19,607.25	
29SEP17	S	1	PD29DEC17	6534.50	6793.93	USD	-6,485.75	
29SEP17	S	1	PD29DEC17	6536.50	6793.93	USD	-6,435.75	
29SEP17	S	7	PD29DEC17	6540.00	6793.93	USD	-44,437.75	
29SEP17	S	3	PD29DEC17	6539.50	6793.93	USD	-19,082.25	
29SEP17	S	2	PD29DEC17	6539.00	6793.93	USD	-12,746.50	
29SEP17	S	4	PD29DEC17	6538.00	6793.93	USD	-25,593.00	
29SEP17	S	5	PD29DEC17	6536.00	6793.93	USD	-32,241.25	
29SEP17	B	2	PD29DEC17	6533.50	6793.93	USD	13,021.50	
29SEP17	B	1	PD29DEC17	6536.00	6793.93	USD	6,448.25	
29SEP17	B	2	PD29DEC17	6537.00	6793.93	USD	12,846.50	
29SEP17	S	4	PD29DEC17	6528.50	6793.93	USD	-26,543.00	
29SEP17	S	5	PD29DEC17	6529.50	6793.93	USD	-33,053.75	
29SEP17	B	2	PD29DEC17	6525.00	6793.93	USD	13,446.50	
29SEP17	B	2	PD29DEC17	6521.00	6793.93	USD	13,646.50	
29SEP17	B	2	PD29DEC17	6520.50	6793.93	USD	13,671.50	
29SEP17	B	1	PD29DEC17	6522.00	6793.93	USD	6,798.25	
29SEP17	S	1	PD29DEC17	6497.00	6793.93	USD	-7,423.25	
29SEP17	S	2	PD29DEC17	6490.50	6793.93	USD	-15,171.50	
29SEP17	S	1	PD29DEC17	6489.50	6793.93	USD	-7,610.75	
29SEP17	S	1	PD29DEC17	6489.00	6793.93	USD	-7,623.25	
29SEP17	S	1	PD29DEC17	6486.50	6793.93	USD	-7,685.75	
29SEP17	S	1	PD29DEC17	6492.50	6793.93	USD	-7,535.75	
29SEP17	S	1	PD29DEC17	6487.50	6793.93	USD	-7,660.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	6487.00	6793.93	USD	-7,673.25	
29SEP17	B	1	PD29DEC17	6485.50	6793.93	USD	7,710.75	
29SEP17	S	2	PD29DEC17	6496.00	6793.93	USD	-14,896.50	
29SEP17	S	1	PD29DEC17	6498.00	6793.93	USD	-7,398.25	
29SEP17	S	2	PD29DEC17	6499.00	6793.93	USD	-14,746.50	
29SEP17	S	1	PD29DEC17	6494.50	6793.93	USD	-7,485.75	
29SEP17	S	3	PD29DEC17	6493.50	6793.93	USD	-22,532.25	
29SEP17	S	3	PD29DEC17	6497.50	6793.93	USD	-22,232.25	
03OCT17	B	25	PD29DEC17	6518.43	6793.93	USD	172,187.50	
	NET	0 AVG BGHT 6540.79	SOLD 6524.83				-32,718.75	0.00
02OCT17	B	1	PD02JAN18	6523.00	6795.80	USD	6,820.00	
02OCT17	B	1	PD02JAN18	6526.00	6795.80	USD	6,745.00	
02OCT17	B	1	PD02JAN18	6530.50	6795.80	USD	6,632.50	
02OCT17	B	1	PD02JAN18	6525.00	6795.80	USD	6,770.00	
02OCT17	B	3	PD02JAN18	6513.00	6795.80	USD	21,210.00	
02OCT17	B	2	PD02JAN18	6516.00	6795.80	USD	13,990.00	
02OCT17	B	1	PD02JAN18	6514.50	6795.80	USD	7,032.50	
02OCT17	B	1	PD02JAN18	6497.50	6795.80	USD	7,457.50	
02OCT17	B	2	PD02JAN18	6498.00	6795.80	USD	14,890.00	
02OCT17	B	1	PD02JAN18	6495.50	6795.80	USD	7,507.50	
02OCT17	B	1	PD02JAN18	6499.50	6795.80	USD	7,407.50	
02OCT17	B	3	PD02JAN18	6500.50	6795.80	USD	22,147.50	
02OCT17	S	1	PD02JAN18	6502.00	6795.80	USD	-7,345.00	
02OCT17	S	1	PD02JAN18	6503.50	6795.80	USD	-7,307.50	
02OCT17	S	2	PD02JAN18	6501.50	6795.80	USD	-14,715.00	
02OCT17	S	2	PD02JAN18	6505.50	6795.80	USD	-14,515.00	
02OCT17	S	1	PD02JAN18	6506.50	6795.80	USD	-7,232.50	
02OCT17	S	1	PD02JAN18	6506.00	6795.80	USD	-7,245.00	
02OCT17	B	1	PD02JAN18	6505.50	6795.80	USD	7,257.50	
02OCT17	B	1	PD02JAN18	6504.50	6795.80	USD	7,282.50	
02OCT17	B	4	PD02JAN18	6501.50	6795.80	USD	29,430.00	
02OCT17	B	3	PD02JAN18	6503.50	6795.80	USD	21,922.50	
02OCT17	B	2	PD02JAN18	6501.00	6795.80	USD	14,740.00	
02OCT17	B	1	PD02JAN18	6502.50	6795.80	USD	7,332.50	
02OCT17	B	2	PD02JAN18	6502.00	6795.80	USD	14,690.00	
02OCT17	S	1	PD02JAN18	6479.00	6795.80	USD	-7,920.00	
02OCT17	S	3	PD02JAN18	6483.50	6795.80	USD	-23,422.50	
02OCT17	S	3	PD02JAN18	6483.00	6795.80	USD	-23,460.00	
02OCT17	S	3	PD02JAN18	6482.00	6795.80	USD	-23,535.00	
02OCT17	S	4	PD02JAN18	6481.00	6795.80	USD	-31,480.00	
02OCT17	S	1	PD02JAN18	6482.50	6795.80	USD	-7,832.50	
02OCT17	S	1	PD02JAN18	6484.00	6795.80	USD	-7,795.00	
02OCT17	S	1	PD02JAN18	6484.50	6795.80	USD	-7,782.50	
02OCT17	S	1	PD02JAN18	6486.50	6795.80	USD	-7,732.50	
02OCT17	S	2	PD02JAN18	6488.00	6795.80	USD	-15,390.00	
02OCT17	S	4	PD02JAN18	6486.00	6795.80	USD	-30,980.00	
02OCT17	S	1	PD02JAN18	6492.50	6795.80	USD	-7,582.50	
02OCT17	B	4	PD02JAN18	6492.00	6795.80	USD	30,380.00	
02OCT17	S	1	PD02JAN18	6491.00	6795.80	USD	-7,620.00	
02OCT17	B	2	PD02JAN18	6490.50	6795.80	USD	15,265.00	
02OCT17	B	2	PD02JAN18	6486.50	6795.80	USD	15,465.00	
02OCT17	B	6	PD02JAN18	6487.00	6795.80	USD	46,320.00	
02OCT17	B	1	PD02JAN18	6488.50	6795.80	USD	7,682.50	
02OCT17	B	5	PD02JAN18	6485.00	6795.80	USD	38,850.00	
02OCT17	B	2	PD02JAN18	6483.00	6795.80	USD	15,640.00	
02OCT17	B	4	PD02JAN18	6486.00	6795.80	USD	30,980.00	
02OCT17	B	8	PD02JAN18	6485.50	6795.80	USD	62,060.00	

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02OCT17	B	1	PD02JAN18	6481.50	6795.80	USD	7,857.50	
02OCT17	B	2	PD02JAN18	6481.00	6795.80	USD	15,740.00	
02OCT17	S	5	PD02JAN18	6465.00	6795.80	USD	-41,350.00	
02OCT17	S	1	PD02JAN18	6464.00	6795.80	USD	-8,295.00	
02OCT17	S	2	PD02JAN18	6468.50	6795.80	USD	-16,365.00	
02OCT17	S	2	PD02JAN18	6471.50	6795.80	USD	-16,215.00	
02OCT17	S	1	PD02JAN18	6473.50	6795.80	USD	-8,057.50	
02OCT17	S	8	PD02JAN18	6474.00	6795.80	USD	-64,360.00	
02OCT17	S	1	PD02JAN18	6476.50	6795.80	USD	-7,982.50	
02OCT17	S	6	PD02JAN18	6474.50	6795.80	USD	-48,195.00	
02OCT17	S	2	PD02JAN18	6476.00	6795.80	USD	-15,990.00	
02OCT17	B	2	PD02JAN18	6477.00	6795.80	USD	15,940.00	
02OCT17	B	5	PD02JAN18	6478.50	6795.80	USD	39,662.50	
02OCT17	B	2	PD02JAN18	6478.00	6795.80	USD	15,890.00	
02OCT17	B	2	PD02JAN18	6482.00	6795.80	USD	15,690.00	
02OCT17	B	5	PD02JAN18	6483.50	6795.80	USD	39,037.50	
02OCT17	B	8	PD02JAN18	6484.50	6795.80	USD	62,260.00	
02OCT17	B	2	PD02JAN18	6480.00	6795.80	USD	15,790.00	
02OCT17	B	2	PD02JAN18	6480.50	6795.80	USD	15,765.00	
02OCT17	B	1	PD02JAN18	6473.00	6795.80	USD	8,070.00	
02OCT17	B	3	PD02JAN18	6475.00	6795.80	USD	24,060.00	
02OCT17	B	3	PD02JAN18	6476.00	6795.80	USD	23,985.00	
02OCT17	B	2	PD02JAN18	6473.50	6795.80	USD	16,115.00	
02OCT17	B	1	PD02JAN18	6469.50	6795.80	USD	8,157.50	
02OCT17	B	2	PD02JAN18	6472.00	6795.80	USD	16,190.00	
02OCT17	S	1	PD02JAN18	6489.00	6795.80	USD	-7,670.00	
02OCT17	S	6	PD02JAN18	6490.00	6795.80	USD	-45,870.00	
02OCT17	S	1	PD02JAN18	6491.50	6795.80	USD	-7,607.50	
02OCT17	S	2	PD02JAN18	6493.50	6795.80	USD	-15,115.00	
02OCT17	S	3	PD02JAN18	6500.50	6795.80	USD	-22,147.50	
02OCT17	S	3	PD02JAN18	6496.00	6795.80	USD	-22,485.00	
02OCT17	S	3	PD02JAN18	6498.50	6795.80	USD	-22,297.50	
02OCT17	S	7	PD02JAN18	6499.00	6795.80	USD	-51,940.00	
02OCT17	S	1	PD02JAN18	6499.50	6795.80	USD	-7,407.50	
02OCT17	S	1	PD02JAN18	6501.00	6795.80	USD	-7,370.00	
02OCT17	S	5	PD02JAN18	6500.00	6795.80	USD	-36,975.00	
02OCT17	S	15	PD02JAN18	6498.00	6795.80	USD	-111,675.00	
02OCT17	S	1	PD02JAN18	6495.00	6795.80	USD	-7,520.00	
02OCT17	S	1	PD02JAN18	6494.00	6795.80	USD	-7,545.00	
02OCT17	S	2	PD02JAN18	6496.50	6795.80	USD	-14,965.00	
02OCT17	S	1	PD02JAN18	6495.50	6795.80	USD	-7,507.50	
02OCT17	B	7	PD02JAN18	6500.00	6795.80	USD	51,765.00	
02OCT17	B	1	PD02JAN18	6499.00	6795.80	USD	7,420.00	
02OCT17	S	4	PD02JAN18	6504.50	6795.80	USD	-29,130.00	
02OCT17	S	3	PD02JAN18	6504.00	6795.80	USD	-21,885.00	
02OCT17	S	3	PD02JAN18	6489.00	6795.80	USD	-23,010.00	
02OCT17	S	1	PD02JAN18	6500.50	6795.80	USD	-7,382.50	
02OCT17	S	1	PD02JAN18	6474.50	6795.80	USD	-8,032.50	
03OCT17	B	10	PD02JAN18	6519.85	6795.80	USD	68,987.50	
	NET	0AVG BGHT 6492.72	SOLD 6489.27				-10,950.00	0.00
03OCT17	S	2	PD03JAN18	6468.00	6796.27	USD	-16,413.50	
03OCT17	S	1	PD03JAN18	6481.50	6796.27	USD	-7,869.25	
03OCT17	S	1	PD03JAN18	6482.00	6796.27	USD	-7,856.75	
03OCT17	S	1	PD03JAN18	6482.50	6796.27	USD	-7,844.25	
03OCT17	B	1	PD03JAN18	6489.50	6796.27	USD	7,669.25	
03OCT17	B	1	PD03JAN18	6491.50	6796.27	USD	7,619.25	
03OCT17	B	1	PD03JAN18	6497.00	6796.27	USD	7,481.75	

OPEN POSITION STATEMENT



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SAP0097R

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
030CT17	S	1	PD03JAN18	6505.50	6796.27	USD	-7,269.25	
030CT17	S	1	PD03JAN18	6502.50	6796.27	USD	-7,344.25	
030CT17	S	1	PD03JAN18	6508.50	6796.27	USD	-7,194.25	
030CT17	B	1	PD03JAN18	6507.50	6796.27	USD	7,219.25	
030CT17	B	4	PD03JAN18	6509.00	6796.27	USD	28,727.00	
030CT17	B	4	PD03JAN18	6508.50	6796.27	USD	28,777.00	
030CT17	B	1	PD03JAN18	6511.00	6796.27	USD	7,131.75	
030CT17	B	1	PD03JAN18	6512.50	6796.27	USD	7,094.25	
030CT17	B	2	PD03JAN18	6511.50	6796.27	USD	14,238.50	
030CT17	B	3	PD03JAN18	6503.50	6796.27	USD	21,957.75	
030CT17	B	2	PD03JAN18	6501.00	6796.27	USD	14,763.50	
030CT17	B	5	PD03JAN18	6502.50	6796.27	USD	36,721.25	
030CT17	B	1	PD03JAN18	6495.00	6796.27	USD	7,531.75	
030CT17	B	4	PD03JAN18	6496.00	6796.27	USD	30,027.00	
030CT17	B	1	PD03JAN18	6496.50	6796.27	USD	7,494.25	
030CT17	B	1	PD03JAN18	6491.00	6796.27	USD	7,631.75	
030CT17	B	4	PD03JAN18	6492.50	6796.27	USD	30,377.00	
030CT17	B	1	PD03JAN18	6499.00	6796.27	USD	7,431.75	
030CT17	B	2	PD03JAN18	6497.50	6796.27	USD	14,938.50	
030CT17	B	3	PD03JAN18	6512.00	6796.27	USD	21,320.25	
030CT17	B	3	PD03JAN18	6508.00	6796.27	USD	21,620.25	
030CT17	B	2	PD03JAN18	6531.50	6796.27	USD	13,238.50	
030CT17	B	3	PD03JAN18	6528.50	6796.27	USD	20,082.75	
030CT17	B	3	PD03JAN18	6529.00	6796.27	USD	20,045.25	
030CT17	B	1	PD03JAN18	6530.00	6796.27	USD	6,656.75	
030CT17	B	1	PD03JAN18	6529.50	6796.27	USD	6,669.25	
030CT17	S	1	PD03JAN18	6531.00	6796.27	USD	-6,631.75	
030CT17	S	1	PD03JAN18	6532.00	6796.27	USD	-6,606.75	
030CT17	S	1	PD03JAN18	6531.50	6796.27	USD	-6,619.25	
030CT17	S	3	PD03JAN18	6526.00	6796.27	USD	-20,270.25	
030CT17	S	2	PD03JAN18	6524.50	6796.27	USD	-13,588.50	
030CT17	S	1	PD03JAN18	6516.00	6796.27	USD	-7,006.75	
030CT17	S	1	PD03JAN18	6513.50	6796.27	USD	-7,069.25	
030CT17	B	1	PD03JAN18	6524.50	6796.27	USD	6,794.25	
030CT17	B	2	PD03JAN18	6521.50	6796.27	USD	13,738.50	
030CT17	B	5	PD03JAN18	6520.50	6796.27	USD	34,471.25	
030CT17	B	3	PD03JAN18	6520.00	6796.27	USD	20,720.25	
030CT17	B	3	PD03JAN18	6516.50	6796.27	USD	20,982.75	
030CT17	B	3	PD03JAN18	6517.50	6796.27	USD	20,907.75	
030CT17	B	1	PD03JAN18	6514.00	6796.27	USD	7,056.75	
030CT17	B	2	PD03JAN18	6522.00	6796.27	USD	13,713.50	
030CT17	B	7	PD03JAN18	6518.00	6796.27	USD	48,697.25	
030CT17	S	6	PD03JAN18	6525.00	6796.27	USD	-40,690.50	
030CT17	B	1	PD03JAN18	6490.00	6796.27	USD	7,656.75	
030CT17	B	1	PD03JAN18	6499.00	6796.27	USD	7,431.75	
030CT17	B	2	PD03JAN18	6515.50	6796.27	USD	14,038.50	
030CT17	S	2	PD03JAN18	6522.50	6796.27	USD	-13,688.50	
030CT17	S	1	PD03JAN18	6520.50	6796.27	USD	-6,894.25	
030CT17	S	3	PD03JAN18	6519.50	6796.27	USD	-20,757.75	
030CT17	S	7	PD03JAN18	6520.00	6796.27	USD	-48,347.25	
030CT17	S	1	PD03JAN18	6521.00	6796.27	USD	-6,881.75	
030CT17	S	7	PD03JAN18	6525.50	6796.27	USD	-47,384.75	
030CT17	S	1	PD03JAN18	6527.50	6796.27	USD	-6,719.25	
030CT17	S	4	PD03JAN18	6526.50	6796.27	USD	-26,977.00	
030CT17	S	4	PD03JAN18	6527.00	6796.27	USD	-26,927.00	
030CT17	S	1	PD03JAN18	6524.00	6796.27	USD	-6,806.75	
030CT17	B	1	PD03JAN18	6525.00	6796.27	USD	6,781.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	3	PD03JAN18	6518.50	6796.27	USD	20,832.75	
030CT17	B	2	PD03JAN18	6526.00	6796.27	USD	13,513.50	
030CT17	B	2	PD03JAN18	6524.00	6796.27	USD	13,613.50	
030CT17	B	2	PD03JAN18	6523.50	6796.27	USD	13,638.50	
030CT17	S	2	PD03JAN18	6516.50	6796.27	USD	-13,988.50	
030CT17	S	5	PD03JAN18	6515.00	6796.27	USD	-35,158.75	
030CT17	S	1	PD03JAN18	6513.00	6796.27	USD	-7,081.75	
030CT17	S	2	PD03JAN18	6514.00	6796.27	USD	-14,113.50	
030CT17	S	2	PD03JAN18	6520.00	6796.27	USD	-13,813.50	
	NET	+30 AVG BGHT 6512.12	SOLD 6518.17				223,240.00	0.00
040CT17	S	1	PD04JAN18	6504.00	6796.73	USD	-7,318.25	
040CT17	S	2	PD04JAN18	6504.50	6796.73	USD	-14,611.50	
040CT17	S	2	PD04JAN18	6507.50	6796.73	USD	-14,461.50	
040CT17	S	1	PD04JAN18	6487.00	6796.73	USD	-7,743.25	
040CT17	S	1	PD04JAN18	6486.50	6796.73	USD	-7,755.75	
040CT17	B	1	PD04JAN18	6487.00	6796.73	USD	7,743.25	
040CT17	S	7	PD04JAN18	6495.00	6796.73	USD	-52,802.75	
040CT17	S	1	PD04JAN18	6502.50	6796.73	USD	-7,355.75	
040CT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
040CT17	S	2	PD04JAN18	6511.50	6796.73	USD	-14,261.50	
040CT17	B	1	PD04JAN18	6503.50	6796.73	USD	7,330.75	
040CT17	S	2	PD04JAN18	6509.50	6796.73	USD	-14,361.50	
040CT17	S	1	PD04JAN18	6508.00	6796.73	USD	-7,218.25	
040CT17	S	1	PD04JAN18	6508.50	6796.73	USD	-7,205.75	
040CT17	S	4	PD04JAN18	6507.00	6796.73	USD	-28,973.00	
040CT17	S	1	PD04JAN18	6505.50	6796.73	USD	-7,280.75	
040CT17	S	5	PD04JAN18	6506.00	6796.73	USD	-36,341.25	
040CT17	S	2	PD04JAN18	6506.50	6796.73	USD	-14,511.50	
040CT17	B	3	PD04JAN18	6503.00	6796.73	USD	22,029.75	
040CT17	S	1	PD04JAN18	6497.00	6796.73	USD	-7,493.25	
040CT17	B	1	PD04JAN18	6504.00	6796.73	USD	7,318.25	
040CT17	B	1	PD04JAN18	6518.50	6796.73	USD	6,955.75	
040CT17	S	3	PD04JAN18	6526.50	6796.73	USD	-20,267.25	
040CT17	S	1	PD04JAN18	6527.50	6796.73	USD	-6,730.75	
040CT17	S	1	PD04JAN18	6529.50	6796.73	USD	-6,680.75	
040CT17	S	1	PD04JAN18	6534.50	6796.73	USD	-6,555.75	
040CT17	S	2	PD04JAN18	6528.50	6796.73	USD	-13,411.50	
040CT17	B	1	PD04JAN18	6503.50	6796.73	USD	7,330.75	
040CT17	S	4	PD04JAN18	6504.00	6796.73	USD	-29,273.00	
040CT17	S	1	PD04JAN18	6526.00	6796.73	USD	-6,768.25	
040CT17	B	1	PD04JAN18	6516.00	6796.73	USD	7,018.25	
040CT17	B	3	PD04JAN18	6515.50	6796.73	USD	21,092.25	
040CT17	B	2	PD04JAN18	6514.00	6796.73	USD	14,136.50	
040CT17	B	2	PD04JAN18	6514.50	6796.73	USD	14,111.50	
040CT17	B	1	PD04JAN18	6515.00	6796.73	USD	7,043.25	
040CT17	S	2	PD04JAN18	6513.50	6796.73	USD	-14,161.50	
040CT17	B	2	PD04JAN18	6524.50	6796.73	USD	13,611.50	
040CT17	B	6	PD04JAN18	6526.50	6796.73	USD	40,534.50	
040CT17	B	1	PD04JAN18	6524.00	6796.73	USD	6,818.25	
040CT17	B	2	PD04JAN18	6523.00	6796.73	USD	13,686.50	
040CT17	B	1	PD04JAN18	6528.50	6796.73	USD	6,705.75	
040CT17	B	2	PD04JAN18	6527.00	6796.73	USD	13,486.50	
040CT17	B	1	PD04JAN18	6515.50	6796.73	USD	7,030.75	
040CT17	S	1	PD04JAN18	6526.00	6796.73	USD	-6,768.25	
040CT17	S	4	PD04JAN18	6521.50	6796.73	USD	-27,523.00	
040CT17	S	2	PD04JAN18	6518.50	6796.73	USD	-13,911.50	
040CT17	S	10	PD04JAN18	6522.00	6796.73	USD	-68,682.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	S	3	PD04JAN18	6522.50	6796.73	USD	-20,567.25	
04OCT17	S	10	PD04JAN18	6519.50	6796.73	USD	-69,307.50	
04OCT17	S	4	PD04JAN18	6520.00	6796.73	USD	-27,673.00	
04OCT17	S	2	PD04JAN18	6515.50	6796.73	USD	-14,061.50	
04OCT17	S	6	PD04JAN18	6516.00	6796.73	USD	-42,109.50	
04OCT17	S	2	PD04JAN18	6517.50	6796.73	USD	-13,961.50	
04OCT17	S	4	PD04JAN18	6517.00	6796.73	USD	-27,973.00	
04OCT17	S	5	PD04JAN18	6516.50	6796.73	USD	-35,028.75	
04OCT17	S	10	PD04JAN18	6515.00	6796.73	USD	-70,432.50	
04OCT17	S	4	PD04JAN18	6513.00	6796.73	USD	-28,373.00	
04OCT17	S	7	PD04JAN18	6514.50	6796.73	USD	-49,390.25	
04OCT17	S	4	PD04JAN18	6511.00	6796.73	USD	-28,573.00	
04OCT17	S	6	PD04JAN18	6510.50	6796.73	USD	-42,934.50	
04OCT17	S	13	PD04JAN18	6510.00	6796.73	USD	-93,187.25	
06OCT17	B	115	PD04JAN18	6666.37	6796.73	USD	374,785.00	
	NET	0AVG BGHT 6633.80	SOLD 6513.44				-442,338.75	0.00
05OCT17	B	5	PD05JAN18	6527.50	6797.20	USD	33,712.50	
05OCT17	B	2	PD05JAN18	6523.50	6797.20	USD	13,685.00	
05OCT17	B	2	PD05JAN18	6523.00	6797.20	USD	13,710.00	
05OCT17	B	1	PD05JAN18	6531.00	6797.20	USD	6,655.00	
05OCT17	S	1	PD05JAN18	6531.50	6797.20	USD	-6,642.50	
05OCT17	S	2	PD05JAN18	6530.00	6797.20	USD	-13,360.00	
05OCT17	B	1	PD05JAN18	6533.00	6797.20	USD	6,605.00	
05OCT17	B	1	PD05JAN18	6532.50	6797.20	USD	6,617.50	
05OCT17	S	1	PD05JAN18	6532.50	6797.20	USD	-6,617.50	
05OCT17	S	1	PD05JAN18	6532.00	6797.20	USD	-6,630.00	
05OCT17	S	3	PD05JAN18	6533.00	6797.20	USD	-19,815.00	
05OCT17	B	1	PD05JAN18	6561.50	6797.20	USD	5,892.50	
05OCT17	B	1	PD05JAN18	6563.00	6797.20	USD	5,855.00	
05OCT17	B	3	PD05JAN18	6555.50	6797.20	USD	18,127.50	
05OCT17	B	3	PD05JAN18	6553.00	6797.20	USD	18,315.00	
05OCT17	B	7	PD05JAN18	6556.00	6797.20	USD	42,210.00	
05OCT17	B	1	PD05JAN18	6554.50	6797.20	USD	6,067.50	
05OCT17	B	14	PD05JAN18	6554.00	6797.20	USD	85,120.00	
05OCT17	B	4	PD05JAN18	6555.00	6797.20	USD	24,220.00	
05OCT17	B	1	PD05JAN18	6552.00	6797.20	USD	6,130.00	
05OCT17	B	1	PD05JAN18	6562.00	6797.20	USD	5,880.00	
05OCT17	B	5	PD05JAN18	6561.00	6797.20	USD	29,525.00	
05OCT17	B	4	PD05JAN18	6558.50	6797.20	USD	23,870.00	
05OCT17	B	3	PD05JAN18	6558.00	6797.20	USD	17,940.00	
05OCT17	B	10	PD05JAN18	6557.00	6797.20	USD	60,050.00	
05OCT17	B	8	PD05JAN18	6559.00	6797.20	USD	47,640.00	
05OCT17	B	12	PD05JAN18	6560.00	6797.20	USD	71,160.00	
05OCT17	S	1	PD05JAN18	6561.50	6797.20	USD	-5,892.50	
05OCT17	S	1	PD05JAN18	6562.50	6797.20	USD	-5,867.50	
05OCT17	B	3	PD05JAN18	6556.50	6797.20	USD	18,052.50	
05OCT17	B	1	PD05JAN18	6557.50	6797.20	USD	5,992.50	
05OCT17	B	1	PD05JAN18	6522.00	6797.20	USD	6,880.00	
05OCT17	B	1	PD05JAN18	6556.50	6797.20	USD	6,017.50	
05OCT17	B	2	PD05JAN18	6556.00	6797.20	USD	12,060.00	
05OCT17	B	2	PD05JAN18	6562.00	6797.20	USD	11,760.00	
05OCT17	S	2	PD05JAN18	6550.00	6797.20	USD	-12,360.00	
05OCT17	S	2	PD05JAN18	6551.00	6797.20	USD	-12,310.00	
05OCT17	S	1	PD05JAN18	6552.00	6797.20	USD	-6,130.00	
05OCT17	B	3	PD05JAN18	6605.00	6797.20	USD	14,415.00	
05OCT17	B	1	PD05JAN18	6604.00	6797.20	USD	4,830.00	
05OCT17	B	1	PD05JAN18	6613.00	6797.20	USD	4,605.00	

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05OCT17	B	1	PD05JAN18	6617.50	6797.20	USD	4,492.50	
05OCT17	B	1	PD05JAN18	6618.50	6797.20	USD	4,467.50	
05OCT17	B	1	PD05JAN18	6615.00	6797.20	USD	4,555.00	
05OCT17	B	2	PD05JAN18	6616.50	6797.20	USD	9,035.00	
05OCT17	B	1	PD05JAN18	6623.00	6797.20	USD	4,355.00	
05OCT17	B	1	PD05JAN18	6622.00	6797.20	USD	4,380.00	
05OCT17	B	1	PD05JAN18	6620.00	6797.20	USD	4,430.00	
05OCT17	B	1	PD05JAN18	6628.50	6797.20	USD	4,217.50	
05OCT17	B	1	PD05JAN18	6632.00	6797.20	USD	4,130.00	
05OCT17	B	1	PD05JAN18	6630.50	6797.20	USD	4,167.50	
05OCT17	S	1	PD05JAN18	6628.50	6797.20	USD	-4,217.50	
05OCT17	B	1	PD05JAN18	6631.00	6797.20	USD	4,155.00	
05OCT17	B	1	PD05JAN18	6629.50	6797.20	USD	4,192.50	
05OCT17	B	1	PD05JAN18	6631.50	6797.20	USD	4,142.50	
05OCT17	B	5	PD05JAN18	6630.00	6797.20	USD	20,900.00	
05OCT17	B	1	PD05JAN18	6632.50	6797.20	USD	4,117.50	
05OCT17	B	4	PD05JAN18	6638.50	6797.20	USD	15,870.00	
05OCT17	B	2	PD05JAN18	6635.00	6797.20	USD	8,110.00	
05OCT17	B	2	PD05JAN18	6643.50	6797.20	USD	7,685.00	
05OCT17	S	1	PD05JAN18	6642.50	6797.20	USD	-3,867.50	
05OCT17	B	1	PD05JAN18	6643.00	6797.20	USD	3,855.00	
05OCT17	B	1	PD05JAN18	6645.00	6797.20	USD	3,805.00	
05OCT17	B	2	PD05JAN18	6668.00	6797.20	USD	6,460.00	
05OCT17	B	3	PD05JAN18	6669.50	6797.20	USD	9,577.50	
05OCT17	B	1	PD05JAN18	6684.50	6797.20	USD	2,817.50	
05OCT17	B	1	PD05JAN18	6688.00	6797.20	USD	2,730.00	
05OCT17	S	1	PD05JAN18	6686.00	6797.20	USD	-2,780.00	
05OCT17	S	1	PD05JAN18	6684.00	6797.20	USD	-2,830.00	
05OCT17	B	1	PD05JAN18	6681.50	6797.20	USD	2,892.50	
05OCT17	S	1	PD05JAN18	6676.00	6797.20	USD	-3,030.00	
05OCT17	B	2	PD05JAN18	6690.00	6797.20	USD	5,360.00	
05OCT17	B	2	PD05JAN18	6690.50	6797.20	USD	5,335.00	
05OCT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	
05OCT17	B	3	PD05JAN18	6693.50	6797.20	USD	7,777.50	
05OCT17	B	1	PD05JAN18	6694.00	6797.20	USD	2,580.00	
05OCT17	B	3	PD05JAN18	6692.00	6797.20	USD	7,890.00	
05OCT17	B	2	PD05JAN18	6689.00	6797.20	USD	5,410.00	
05OCT17	B	2	PD05JAN18	6683.50	6797.20	USD	5,685.00	
05OCT17	B	1	PD05JAN18	6682.50	6797.20	USD	2,867.50	
05OCT17	B	2	PD05JAN18	6684.00	6797.20	USD	5,660.00	
05OCT17	B	4	PD05JAN18	6682.00	6797.20	USD	11,520.00	
05OCT17	B	2	PD05JAN18	6680.00	6797.20	USD	5,860.00	
05OCT17	B	1	PD05JAN18	6675.00	6797.20	USD	3,055.00	
05OCT17	B	1	PD05JAN18	6676.00	6797.20	USD	3,030.00	
05OCT17	B	1	PD05JAN18	6676.50	6797.20	USD	3,017.50	
05OCT17	B	1	PD05JAN18	6620.50	6797.20	USD	4,417.50	
05OCT17	B	1	PD05JAN18	6620.00	6797.20	USD	4,430.00	
05OCT17	B	4	PD05JAN18	6686.00	6797.20	USD	11,120.00	
05OCT17	B	3	PD05JAN18	6680.00	6797.20	USD	8,790.00	
06OCT17	S	1	PD05JAN18	6691.00	6797.20	USD	-2,655.00	
06OCT17	S	4	PD05JAN18	6692.50	6797.20	USD	-10,470.00	
06OCT17	S	1	PD05JAN18	6711.50	6797.20	USD	-2,142.50	
06OCT17	S	2	PD05JAN18	6712.50	6797.20	USD	-4,235.00	
06OCT17	S	2	PD05JAN18	6712.00	6797.20	USD	-4,260.00	
06OCT17	S	4	PD05JAN18	6710.50	6797.20	USD	-8,670.00	
06OCT17	S	1	PD05JAN18	6709.50	6797.20	USD	-2,192.50	
06OCT17	S	2	PD05JAN18	6701.00	6797.20	USD	-4,810.00	

OPEN POSITION STATEMENT



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SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	7	PD05JAN18	6706.50	6797.20	USD	-15,872.50	
06OCT17	S	2	PD05JAN18	6705.50	6797.20	USD	-4,585.00	
06OCT17	S	2	PD05JAN18	6714.00	6797.20	USD	-4,160.00	
06OCT17	S	1	PD05JAN18	6713.50	6797.20	USD	-2,092.50	
06OCT17	S	1	PD05JAN18	6713.00	6797.20	USD	-2,105.00	
06OCT17	S	1	PD05JAN18	6724.00	6797.20	USD	-1,830.00	
06OCT17	S	1	PD05JAN18	6723.50	6797.20	USD	-1,842.50	
06OCT17	S	4	PD05JAN18	6723.00	6797.20	USD	-7,420.00	
06OCT17	S	1	PD05JAN18	6721.00	6797.20	USD	-1,905.00	
06OCT17	S	1	PD05JAN18	6722.00	6797.20	USD	-1,880.00	
06OCT17	S	1	PD05JAN18	6719.50	6797.20	USD	-1,942.50	
06OCT17	S	2	PD05JAN18	6714.50	6797.20	USD	-4,135.00	
06OCT17	S	2	PD05JAN18	6708.00	6797.20	USD	-4,460.00	
06OCT17	S	3	PD05JAN18	6708.50	6797.20	USD	-6,652.50	
06OCT17	S	3	PD05JAN18	6706.00	6797.20	USD	-6,840.00	
06OCT17	S	2	PD05JAN18	6707.00	6797.20	USD	-4,510.00	
06OCT17	S	3	PD05JAN18	6702.50	6797.20	USD	-7,102.50	
06OCT17	B	1	PD05JAN18	6701.00	6797.20	USD	2,405.00	
06OCT17	B	1	PD05JAN18	6708.50	6797.20	USD	2,217.50	
06OCT17	B	2	PD05JAN18	6710.00	6797.20	USD	4,360.00	
06OCT17	B	1	PD05JAN18	6714.50	6797.20	USD	2,067.50	
06OCT17	B	1	PD05JAN18	6713.50	6797.20	USD	2,092.50	
06OCT17	B	2	PD05JAN18	6711.00	6797.20	USD	4,310.00	
06OCT17	B	2	PD05JAN18	6708.00	6797.20	USD	4,460.00	
06OCT17	B	2	PD05JAN18	6707.00	6797.20	USD	4,510.00	
06OCT17	B	1	PD05JAN18	6709.00	6797.20	USD	2,205.00	
06OCT17	B	5	PD05JAN18	6710.50	6797.20	USD	10,837.50	
06OCT17	B	4	PD05JAN18	6707.50	6797.20	USD	8,970.00	
06OCT17	B	1	PD05JAN18	6706.50	6797.20	USD	2,267.50	
06OCT17	B	1	PD05JAN18	6700.00	6797.20	USD	2,430.00	
06OCT17	B	2	PD05JAN18	6700.50	6797.20	USD	4,835.00	
06OCT17	B	4	PD05JAN18	6699.50	6797.20	USD	9,770.00	
06OCT17	B	1	PD05JAN18	6699.00	6797.20	USD	2,455.00	
06OCT17	B	1	PD05JAN18	6693.50	6797.20	USD	2,592.50	
06OCT17	B	2	PD05JAN18	6698.00	6797.20	USD	4,960.00	
06OCT17	B	3	PD05JAN18	6690.00	6797.20	USD	8,040.00	
06OCT17	B	1	PD05JAN18	6688.00	6797.20	USD	2,730.00	
06OCT17	B	1	PD05JAN18	6686.50	6797.20	USD	2,767.50	
06OCT17	B	2	PD05JAN18	6685.00	6797.20	USD	5,610.00	
06OCT17	S	1	PD05JAN18	6712.50	6797.20	USD	-2,117.50	
06OCT17	B	1	PD05JAN18	6699.00	6797.20	USD	2,455.00	
06OCT17	B	2	PD05JAN18	6685.50	6797.20	USD	5,585.00	
06OCT17	B	1	PD05JAN18	6678.50	6797.20	USD	2,967.50	
06OCT17	S	2	PD05JAN18	6675.00	6797.20	USD	-6,110.00	
06OCT17	S	1	PD05JAN18	6676.00	6797.20	USD	-3,030.00	
06OCT17	S	1	PD05JAN18	6670.00	6797.20	USD	-3,180.00	
06OCT17	S	1	PD05JAN18	6667.00	6797.20	USD	-3,255.00	
06OCT17	S	3	PD05JAN18	6660.50	6797.20	USD	-10,252.50	
06OCT17	S	1	PD05JAN18	6662.00	6797.20	USD	-3,380.00	
06OCT17	S	6	PD05JAN18	6661.00	6797.20	USD	-20,430.00	
06OCT17	S	3	PD05JAN18	6661.50	6797.20	USD	-10,177.50	
06OCT17	S	4	PD05JAN18	6674.50	6797.20	USD	-12,270.00	
06OCT17	B	1	PD05JAN18	6659.00	6797.20	USD	3,455.00	
06OCT17	B	1	PD05JAN18	6658.50	6797.20	USD	3,467.50	
06OCT17	S	1	PD05JAN18	6658.50	6797.20	USD	-3,467.50	
06OCT17	S	1	PD05JAN18	6659.00	6797.20	USD	-3,455.00	
06OCT17	S	1	PD05JAN18	6666.50	6797.20	USD	-3,267.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	1	PD05JAN18	6660.50	6797.20	USD	-3,417.50	
06OCT17	S	1	PD05JAN18	6663.00	6797.20	USD	-3,355.00	
06OCT17	B	1	PD05JAN18	6662.50	6797.20	USD	3,367.50	
06OCT17	S	3	PD05JAN18	6665.50	6797.20	USD	-9,877.50	
06OCT17	S	4	PD05JAN18	6655.00	6797.20	USD	-14,220.00	
06OCT17	S	2	PD05JAN18	6655.50	6797.20	USD	-7,085.00	
06OCT17	S	1	PD05JAN18	6667.50	6797.20	USD	-3,242.50	
06OCT17	S	1	PD05JAN18	6668.00	6797.20	USD	-3,230.00	
06OCT17	B	1	PD05JAN18	6663.00	6797.20	USD	3,355.00	
06OCT17	B	2	PD05JAN18	6662.00	6797.20	USD	6,760.00	
06OCT17	B	2	PD05JAN18	6660.00	6797.20	USD	6,860.00	
06OCT17	B	1	PD05JAN18	6659.50	6797.20	USD	3,442.50	
06OCT17	B	1	PD05JAN18	6657.50	6797.20	USD	3,492.50	
06OCT17	B	2	PD05JAN18	6656.50	6797.20	USD	7,035.00	
06OCT17	B	1	PD05JAN18	6653.50	6797.20	USD	3,592.50	
06OCT17	B	2	PD05JAN18	6654.00	6797.20	USD	7,160.00	
06OCT17	B	2	PD05JAN18	6652.00	6797.20	USD	7,260.00	
06OCT17	S	5	PD05JAN18	6652.00	6797.20	USD	-18,150.00	
06OCT17	S	5	PD05JAN18	6658.00	6797.20	USD	-17,400.00	
06OCT17	S	6	PD05JAN18	6660.00	6797.20	USD	-20,580.00	
06OCT17	S	5	PD05JAN18	6659.50	6797.20	USD	-17,212.50	
06OCT17	B	2	PD05JAN18	6667.00	6797.20	USD	6,510.00	
06OCT17	B	1	PD05JAN18	6666.50	6797.20	USD	3,267.50	
06OCT17	S	3	PD05JAN18	6665.00	6797.20	USD	-9,915.00	
06OCT17	S	8	PD05JAN18	6664.00	6797.20	USD	-26,640.00	
06OCT17	S	8	PD05JAN18	6663.00	6797.20	USD	-26,840.00	
06OCT17	S	3	PD05JAN18	6663.50	6797.20	USD	-10,027.50	
06OCT17	S	1	PD05JAN18	6662.50	6797.20	USD	-3,367.50	
06OCT17	S	1	PD05JAN18	6654.50	6797.20	USD	-3,567.50	
06OCT17	S	1	PD05JAN18	6667.00	6797.20	USD	-3,255.00	
06OCT17	S	1	PD05JAN18	6655.50	6797.20	USD	-3,542.50	
	NET	+85 AVG BGHT 6623.08	SOLD 6667.09				546,037.50	0.00
09OCT17	S	5	PD09JAN18	6679.50	6799.07	USD	-14,946.25	
09OCT17	S	5	PD09JAN18	6678.00	6799.07	USD	-15,133.75	
09OCT17	S	3	PD09JAN18	6676.00	6799.07	USD	-9,230.25	
09OCT17	S	2	PD09JAN18	6660.00	6799.07	USD	-6,953.50	
09OCT17	S	1	PD09JAN18	6658.00	6799.07	USD	-3,526.75	
09OCT17	S	1	PD09JAN18	6672.00	6799.07	USD	-3,176.75	
09OCT17	S	10	PD09JAN18	6678.50	6799.07	USD	-30,142.50	
09OCT17	S	6	PD09JAN18	6675.00	6799.07	USD	-18,610.50	
09OCT17	S	5	PD09JAN18	6677.50	6799.07	USD	-15,196.25	
09OCT17	S	2	PD09JAN18	6655.00	6799.07	USD	-7,203.50	
09OCT17	S	3	PD09JAN18	6656.00	6799.07	USD	-10,730.25	
09OCT17	S	6	PD09JAN18	6675.50	6799.07	USD	-18,535.50	
09OCT17	S	5	PD09JAN18	6677.00	6799.07	USD	-15,258.75	
09OCT17	S	1	PD09JAN18	6658.50	6799.07	USD	-3,514.25	
09OCT17	S	7	PD09JAN18	6657.50	6799.07	USD	-24,774.75	
09OCT17	S	3	PD09JAN18	6656.50	6799.07	USD	-10,692.75	
09OCT17	S	3	PD09JAN18	6655.50	6799.07	USD	-10,767.75	
09OCT17	S	1	PD09JAN18	6669.50	6799.07	USD	-3,239.25	
09OCT17	S	1	PD09JAN18	6670.50	6799.07	USD	-3,214.25	
09OCT17	S	5	PD09JAN18	6671.00	6799.07	USD	-16,008.75	
09OCT17	S	5	PD09JAN18	6670.00	6799.07	USD	-16,133.75	
09OCT17	S	7	PD09JAN18	6669.00	6799.07	USD	-22,762.25	
09OCT17	B	5	PD09JAN18	6658.00	6799.07	USD	17,633.75	
09OCT17	B	1	PD09JAN18	6659.50	6799.07	USD	3,489.25	
09OCT17	B	1	PD09JAN18	6661.50	6799.07	USD	3,439.25	

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09OCT17	B	3	PD09JAN18	6660.50	6799.07	USD	10,392.75	
09OCT17	S	6	PD09JAN18	6657.00	6799.07	USD	-21,310.50	
09OCT17	S	2	PD09JAN18	6660.50	6799.07	USD	-6,928.50	
09OCT17	S	1	PD09JAN18	6666.50	6799.07	USD	-3,314.25	
09OCT17	S	9	PD09JAN18	6661.00	6799.07	USD	-31,065.75	
09OCT17	S	7	PD09JAN18	6661.50	6799.07	USD	-24,074.75	
09OCT17	S	1	PD09JAN18	6665.00	6799.07	USD	-3,351.75	
09OCT17	S	1	PD09JAN18	6654.00	6799.07	USD	-3,626.75	
09OCT17	S	1	PD09JAN18	6653.50	6799.07	USD	-3,639.25	
09OCT17	S	2	PD09JAN18	6654.50	6799.07	USD	-7,228.50	
09OCT17	S	1	PD09JAN18	6644.50	6799.07	USD	-3,864.25	
09OCT17	S	1	PD09JAN18	6642.50	6799.07	USD	-3,914.25	
09OCT17	S	2	PD09JAN18	6643.50	6799.07	USD	-7,778.50	
09OCT17	S	1	PD09JAN18	6652.50	6799.07	USD	-3,664.25	
09OCT17	S	2	PD09JAN18	6644.00	6799.07	USD	-7,753.50	
09OCT17	S	1	PD09JAN18	6646.00	6799.07	USD	-3,826.75	
09OCT17	S	2	PD09JAN18	6647.00	6799.07	USD	-7,603.50	
09OCT17	S	1	PD09JAN18	6649.00	6799.07	USD	-3,751.75	
09OCT17	B	1	PD09JAN18	6652.50	6799.07	USD	3,664.25	
09OCT17	B	2	PD09JAN18	6657.50	6799.07	USD	7,078.50	
09OCT17	B	2	PD09JAN18	6665.50	6799.07	USD	6,678.50	
09OCT17	S	2	PD09JAN18	6663.50	6799.07	USD	-6,778.50	
09OCT17	S	1	PD09JAN18	6659.50	6799.07	USD	-3,489.25	
09OCT17	S	2	PD09JAN18	6657.00	6799.07	USD	-7,103.50	
09OCT17	S	4	PD09JAN18	6662.50	6799.07	USD	-13,657.00	
09OCT17	S	3	PD09JAN18	6662.00	6799.07	USD	-10,280.25	
09OCT17	B	4	PD09JAN18	6668.50	6799.07	USD	13,057.00	
09OCT17	B	8	PD09JAN18	6667.50	6799.07	USD	26,314.00	
09OCT17	B	1	PD09JAN18	6667.00	6799.07	USD	3,301.75	
09OCT17	B	8	PD09JAN18	6669.00	6799.07	USD	26,014.00	
09OCT17	B	4	PD09JAN18	6668.00	6799.07	USD	13,107.00	
09OCT17	B	3	PD09JAN18	6675.00	6799.07	USD	9,305.25	
09OCT17	B	1	PD09JAN18	6673.50	6799.07	USD	3,139.25	
09OCT17	B	6	PD09JAN18	6674.50	6799.07	USD	18,685.50	
09OCT17	B	5	PD09JAN18	6674.00	6799.07	USD	15,633.75	
	NET	-85 AVG BGHT 6667.48	SOLD 6665.43				-286,823.75	0.00
10OCT17	S	1	PD10JAN18	6685.50	6799.53	USD	-2,850.75	
10OCT17	B	1	PD10JAN18	6681.50	6799.53	USD	2,950.75	
10OCT17	B	1	PD10JAN18	6682.00	6799.53	USD	2,938.25	
10OCT17	B	2	PD10JAN18	6693.50	6799.53	USD	5,301.50	
10OCT17	B	1	PD10JAN18	6694.00	6799.53	USD	2,638.25	
10OCT17	B	1	PD10JAN18	6692.00	6799.53	USD	2,688.25	
10OCT17	B	3	PD10JAN18	6691.00	6799.53	USD	8,139.75	
10OCT17	B	1	PD10JAN18	6693.00	6799.53	USD	2,663.25	
10OCT17	S	5	PD10JAN18	6697.00	6799.53	USD	-12,816.25	
10OCT17	S	2	PD10JAN18	6700.50	6799.53	USD	-4,951.50	
10OCT17	S	1	PD10JAN18	6698.00	6799.53	USD	-2,538.25	
10OCT17	S	1	PD10JAN18	6696.50	6799.53	USD	-2,575.75	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	S	2	PD10JAN18	6689.50	6799.53	USD	-5,501.50	
10OCT17	S	2	PD10JAN18	6688.50	6799.53	USD	-5,551.50	
10OCT17	S	3	PD10JAN18	6686.50	6799.53	USD	-8,477.25	
10OCT17	S	2	PD10JAN18	6682.50	6799.53	USD	-5,851.50	
10OCT17	S	3	PD10JAN18	6683.50	6799.53	USD	-8,702.25	
10OCT17	S	4	PD10JAN18	6684.50	6799.53	USD	-11,503.00	
10OCT17	S	1	PD10JAN18	6688.00	6799.53	USD	-2,788.25	
10OCT17	S	1	PD10JAN18	6689.00	6799.53	USD	-2,763.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6690.00	6799.53	USD	-5,476.50	
10OCT17	S	1	PD10JAN18	6690.50	6799.53	USD	-2,725.75	
10OCT17	S	7	PD10JAN18	6691.50	6799.53	USD	-18,905.25	
10OCT17	S	4	PD10JAN18	6694.50	6799.53	USD	-10,503.00	
10OCT17	S	5	PD10JAN18	6695.50	6799.53	USD	-13,003.75	
10OCT17	S	4	PD10JAN18	6692.50	6799.53	USD	-10,703.00	
10OCT17	S	1	PD10JAN18	6696.00	6799.53	USD	-2,588.25	
10OCT17	S	10	PD10JAN18	6693.50	6799.53	USD	-26,507.50	
10OCT17	S	3	PD10JAN18	6694.00	6799.53	USD	-7,914.75	
10OCT17	S	4	PD10JAN18	6693.00	6799.53	USD	-10,653.00	
10OCT17	S	5	PD10JAN18	6698.50	6799.53	USD	-12,628.75	
10OCT17	B	1	PD10JAN18	6721.50	6799.53	USD	1,950.75	
10OCT17	B	4	PD10JAN18	6724.00	6799.53	USD	7,553.00	
10OCT17	B	2	PD10JAN18	6722.50	6799.53	USD	3,851.50	
10OCT17	B	5	PD10JAN18	6723.00	6799.53	USD	9,566.25	
10OCT17	B	2	PD10JAN18	6723.50	6799.53	USD	3,801.50	
10OCT17	B	6	PD10JAN18	6728.00	6799.53	USD	10,729.50	
10OCT17	B	1	PD10JAN18	6726.50	6799.53	USD	1,825.75	
10OCT17	B	2	PD10JAN18	6725.00	6799.53	USD	3,726.50	
10OCT17	B	2	PD10JAN18	6726.00	6799.53	USD	3,676.50	
10OCT17	B	2	PD10JAN18	6727.00	6799.53	USD	3,626.50	
10OCT17	B	3	PD10JAN18	6728.50	6799.53	USD	5,327.25	
10OCT17	B	1	PD10JAN18	6738.00	6799.53	USD	1,538.25	
10OCT17	B	2	PD10JAN18	6739.50	6799.53	USD	3,001.50	
10OCT17	B	1	PD10JAN18	6738.50	6799.53	USD	1,525.75	
10OCT17	B	2	PD10JAN18	6737.50	6799.53	USD	3,101.50	
10OCT17	B	2	PD10JAN18	6736.50	6799.53	USD	3,151.50	
10OCT17	B	1	PD10JAN18	6735.50	6799.53	USD	1,600.75	
10OCT17	B	1	PD10JAN18	6741.50	6799.53	USD	1,450.75	
10OCT17	B	4	PD10JAN18	6742.50	6799.53	USD	5,703.00	
10OCT17	B	1	PD10JAN18	6741.00	6799.53	USD	1,463.25	
10OCT17	B	6	PD10JAN18	6739.00	6799.53	USD	9,079.50	
10OCT17	B	9	PD10JAN18	6740.00	6799.53	USD	13,394.25	
10OCT17	B	1	PD10JAN18	6737.00	6799.53	USD	1,563.25	
10OCT17	S	1	PD10JAN18	6696.00	6799.53	USD	-2,588.25	
10OCT17	S	1	PD10JAN18	6697.00	6799.53	USD	-2,563.25	
10OCT17	B	1	PD10JAN18	6748.00	6799.53	USD	1,288.25	
10OCT17	B	2	PD10JAN18	6749.50	6799.53	USD	2,501.50	
10OCT17	B	4	PD10JAN18	6750.00	6799.53	USD	4,953.00	
10OCT17	B	2	PD10JAN18	6749.00	6799.53	USD	2,526.50	
10OCT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
10OCT17	B	2	PD10JAN18	6747.50	6799.53	USD	2,601.50	
10OCT17	B	1	PD10JAN18	6753.50	6799.53	USD	1,150.75	
10OCT17	B	1	PD10JAN18	6756.00	6799.53	USD	1,088.25	
10OCT17	B	1	PD10JAN18	6744.50	6799.53	USD	1,375.75	
10OCT17	B	2	PD10JAN18	6743.50	6799.53	USD	2,801.50	
10OCT17	B	2	PD10JAN18	6745.00	6799.53	USD	2,726.50	
10OCT17	S	1	PD10JAN18	6748.50	6799.53	USD	-1,275.75	
10OCT17	S	1	PD10JAN18	6749.00	6799.53	USD	-1,263.25	
10OCT17	B	1	PD10JAN18	6751.50	6799.53	USD	1,200.75	
10OCT17	B	1	PD10JAN18	6752.00	6799.53	USD	1,188.25	
10OCT17	S	1	PD10JAN18	6755.00	6799.53	USD	-1,113.25	
10OCT17	S	5	PD10JAN18	6747.00	6799.53	USD	-6,566.25	
10OCT17	S	5	PD10JAN18	6746.00	6799.53	USD	-6,691.25	
10OCT17	S	2	PD10JAN18	6746.50	6799.53	USD	-2,651.50	
10OCT17	S	1	PD10JAN18	6739.50	6799.53	USD	-1,500.75	
10OCT17	S	2	PD10JAN18	6742.00	6799.53	USD	-2,876.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6743.00	6799.53	USD	-2,826.50	
10OCT17	S	4	PD10JAN18	6740.50	6799.53	USD	-5,903.00	
10OCT17	S	4	PD10JAN18	6741.50	6799.53	USD	-5,803.00	
10OCT17	S	2	PD10JAN18	6740.00	6799.53	USD	-2,976.50	
10OCT17	S	1	PD10JAN18	6741.00	6799.53	USD	-1,463.25	
10OCT17	S	4	PD10JAN18	6742.50	6799.53	USD	-5,703.00	
10OCT17	S	1	PD10JAN18	6743.50	6799.53	USD	-1,400.75	
10OCT17	S	2	PD10JAN18	6744.00	6799.53	USD	-2,776.50	
10OCT17	S	4	PD10JAN18	6744.50	6799.53	USD	-5,503.00	
10OCT17	S	6	PD10JAN18	6748.00	6799.53	USD	-7,729.50	
10OCT17	S	3	PD10JAN18	6747.50	6799.53	USD	-3,902.25	
10OCT17	S	4	PD10JAN18	6747.00	6799.53	USD	-5,253.00	
10OCT17	S	1	PD10JAN18	6740.00	6799.53	USD	-1,488.25	
10OCT17	S	1	PD10JAN18	6741.50	6799.53	USD	-1,450.75	
	NET	-40 AVG BGHT 6731.19	SOLD 6714.79				-122,867.50	0.00
11OCT17	B	2	PD11JAN18	6729.00	6800.00	USD	3,550.00	
11OCT17	B	2	PD11JAN18	6731.50	6800.00	USD	3,425.00	
11OCT17	B	4	PD11JAN18	6732.00	6800.00	USD	6,800.00	
11OCT17	B	1	PD11JAN18	6735.50	6800.00	USD	1,612.50	
11OCT17	B	1	PD11JAN18	6725.50	6800.00	USD	1,862.50	
11OCT17	B	1	PD11JAN18	6726.50	6800.00	USD	1,837.50	
11OCT17	S	1	PD11JAN18	6733.50	6800.00	USD	-1,662.50	
11OCT17	S	1	PD11JAN18	6734.00	6800.00	USD	-1,650.00	
11OCT17	S	1	PD11JAN18	6735.50	6800.00	USD	-1,612.50	
11OCT17	B	2	PD11JAN18	6760.00	6800.00	USD	2,000.00	
11OCT17	B	2	PD11JAN18	6759.00	6800.00	USD	2,050.00	
11OCT17	B	1	PD11JAN18	6743.50	6800.00	USD	1,412.50	
11OCT17	B	9	PD11JAN18	6739.00	6800.00	USD	13,725.00	
11OCT17	B	1	PD11JAN18	6741.00	6800.00	USD	1,475.00	
11OCT17	B	13	PD11JAN18	6730.00	6800.00	USD	22,750.00	
11OCT17	S	3	PD11JAN18	6732.50	6800.00	USD	-5,062.50	
11OCT17	B	5	PD11JAN18	6737.50	6800.00	USD	7,812.50	
11OCT17	B	7	PD11JAN18	6737.00	6800.00	USD	11,025.00	
11OCT17	B	5	PD11JAN18	6738.50	6800.00	USD	7,687.50	
11OCT17	B	3	PD11JAN18	6739.50	6800.00	USD	4,537.50	
11OCT17	B	5	PD11JAN18	6734.00	6800.00	USD	8,250.00	
11OCT17	B	1	PD11JAN18	6729.00	6800.00	USD	1,775.00	
11OCT17	B	1	PD11JAN18	6732.00	6800.00	USD	1,700.00	
11OCT17	B	1	PD11JAN18	6730.00	6800.00	USD	1,750.00	
11OCT17	S	2	PD11JAN18	6732.50	6800.00	USD	-3,375.00	
11OCT17	B	2	PD11JAN18	6733.00	6800.00	USD	3,350.00	
11OCT17	B	4	PD11JAN18	6745.50	6800.00	USD	5,450.00	
11OCT17	B	2	PD11JAN18	6747.50	6800.00	USD	2,625.00	
11OCT17	B	3	PD11JAN18	6748.50	6800.00	USD	3,862.50	
11OCT17	B	4	PD11JAN18	6728.50	6800.00	USD	7,150.00	
11OCT17	B	10	PD11JAN18	6729.50	6800.00	USD	17,625.00	
11OCT17	B	5	PD11JAN18	6731.00	6800.00	USD	8,625.00	
11OCT17	B	8	PD11JAN18	6730.50	6800.00	USD	13,900.00	
11OCT17	B	7	PD11JAN18	6732.50	6800.00	USD	11,812.50	
11OCT17	B	1	PD11JAN18	6733.50	6800.00	USD	1,662.50	
11OCT17	B	3	PD11JAN18	6738.00	6800.00	USD	4,650.00	
11OCT17	B	1	PD11JAN18	6768.00	6800.00	USD	800.00	
11OCT17	B	2	PD11JAN18	6767.00	6800.00	USD	1,650.00	
11OCT17	B	1	PD11JAN18	6767.50	6800.00	USD	812.50	
11OCT17	B	3	PD11JAN18	6787.00	6800.00	USD	975.00	
11OCT17	B	1	PD11JAN18	6791.00	6800.00	USD	225.00	
11OCT17	B	2	PD11JAN18	6790.00	6800.00	USD	500.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	6788.50	6800.00	USD	287.50	
11OCT17	B	2	PD11JAN18	6786.50	6800.00	USD	675.00	
11OCT17	B	1	PD11JAN18	6789.00	6800.00	USD	275.00	
11OCT17	B	1	PD11JAN18	6785.50	6800.00	USD	362.50	
11OCT17	B	5	PD11JAN18	6788.00	6800.00	USD	1,500.00	
11OCT17	B	1	PD11JAN18	6770.50	6800.00	USD	737.50	
11OCT17	B	2	PD11JAN18	6769.50	6800.00	USD	1,525.00	
11OCT17	B	1	PD11JAN18	6773.00	6800.00	USD	675.00	
11OCT17	B	1	PD11JAN18	6733.00	6800.00	USD	1,675.00	
11OCT17	B	1	PD11JAN18	6772.50	6800.00	USD	687.50	
11OCT17	B	1	PD11JAN18	6770.50	6800.00	USD	737.50	
11OCT17	B	2	PD11JAN18	6778.00	6800.00	USD	1,100.00	
11OCT17	B	9	PD11JAN18	6777.00	6800.00	USD	5,175.00	
11OCT17	B	1	PD11JAN18	6777.50	6800.00	USD	562.50	
11OCT17	B	3	PD11JAN18	6778.50	6800.00	USD	1,612.50	
11OCT17	B	6	PD11JAN18	6779.00	6800.00	USD	3,150.00	
11OCT17	B	2	PD11JAN18	6780.50	6800.00	USD	975.00	
11OCT17	B	1	PD11JAN18	6781.50	6800.00	USD	462.50	
11OCT17	B	4	PD11JAN18	6782.00	6800.00	USD	1,800.00	
11OCT17	B	2	PD11JAN18	6782.50	6800.00	USD	875.00	
11OCT17	B	6	PD11JAN18	6783.00	6800.00	USD	2,550.00	
11OCT17	B	1	PD11JAN18	6794.50	6800.00	USD	137.50	
11OCT17	B	4	PD11JAN18	6796.00	6800.00	USD	400.00	
11OCT17	B	1	PD11JAN18	6798.00	6800.00	USD	50.00	
11OCT17	B	1	PD11JAN18	6798.50	6800.00	USD	37.50	
11OCT17	B	1	PD11JAN18	6797.50	6800.00	USD	62.50	
11OCT17	S	2	PD11JAN18	6736.50	6800.00	USD	-3,175.00	
11OCT17	S	3	PD11JAN18	6739.50	6800.00	USD	-4,537.50	
11OCT17	S	1	PD11JAN18	6741.50	6800.00	USD	-1,462.50	
11OCT17	S	1	PD11JAN18	6744.00	6800.00	USD	-1,400.00	
11OCT17	S	1	PD11JAN18	6745.00	6800.00	USD	-1,375.00	
11OCT17	S	1	PD11JAN18	6748.00	6800.00	USD	-1,300.00	
11OCT17	S	1	PD11JAN18	6749.00	6800.00	USD	-1,275.00	
11OCT17	S	1	PD11JAN18	6749.50	6800.00	USD	-1,262.50	
11OCT17	S	1	PD11JAN18	6751.50	6800.00	USD	-1,212.50	
11OCT17	S	1	PD11JAN18	6752.50	6800.00	USD	-1,187.50	
11OCT17	S	1	PD11JAN18	6752.00	6800.00	USD	-1,200.00	
11OCT17	S	1	PD11JAN18	6753.50	6800.00	USD	-1,162.50	
11OCT17	S	1	PD11JAN18	6756.00	6800.00	USD	-1,100.00	
11OCT17	S	1	PD11JAN18	6759.00	6800.00	USD	-1,025.00	
11OCT17	S	1	PD11JAN18	6761.50	6800.00	USD	-962.50	
11OCT17	S	5	PD11JAN18	6758.00	6800.00	USD	-5,250.00	
11OCT17	S	3	PD11JAN18	6754.00	6800.00	USD	-3,450.00	
11OCT17	S	3	PD11JAN18	6753.00	6800.00	USD	-3,525.00	
11OCT17	S	1	PD11JAN18	6750.00	6800.00	USD	-1,250.00	
11OCT17	S	2	PD11JAN18	6751.00	6800.00	USD	-2,450.00	
11OCT17	S	2	PD11JAN18	6739.00	6800.00	USD	-3,050.00	
11OCT17	S	3	PD11JAN18	6738.00	6800.00	USD	-4,650.00	
11OCT17	S	2	PD11JAN18	6740.00	6800.00	USD	-3,000.00	
11OCT17	S	3	PD11JAN18	6742.00	6800.00	USD	-4,350.00	
11OCT17	S	2	PD11JAN18	6741.00	6800.00	USD	-2,950.00	
11OCT17	S	2	PD11JAN18	6803.00	6800.00	USD	150.00	
11OCT17	S	1	PD11JAN18	6813.50	6800.00	USD	337.50	
11OCT17	S	1	PD11JAN18	6811.50	6800.00	USD	287.50	
11OCT17	S	1	PD11JAN18	6810.50	6800.00	USD	262.50	
11OCT17	S	6	PD11JAN18	6813.00	6800.00	USD	1,950.00	
11OCT17	S	1	PD11JAN18	6817.50	6800.00	USD	437.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	S 1 PD11JAN18	6816.00	6800.00	USD	400.00	
11OCT17	S 6 PD11JAN18	6814.00	6800.00	USD	2,100.00	
11OCT17	S 2 PD11JAN18	6815.50	6800.00	USD	775.00	
11OCT17	S 10 PD11JAN18	6816.50	6800.00	USD	4,125.00	
11OCT17	B 1 PD11JAN18	6814.00	6800.00	USD	-350.00	
11OCT17	S 3 PD11JAN18	6815.00	6800.00	USD	1,125.00	
11OCT17	B 1 PD11JAN18	6815.50	6800.00	USD	-387.50	
11OCT17	S 3 PD11JAN18	6809.50	6800.00	USD	712.50	
	NET +100 AVG BGHT 6753.43 SOLD 6773.81				161,800.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 2 DEC17	305.600	309.550	USD	-1,975.00	
11OCT17	S 4 DEC17	305.550	309.550	USD	-4,000.00	
11OCT17	S 10 DEC17	305.650	309.550	USD	-9,750.00	
11OCT17	S 10 DEC17	305.750	309.550	USD	-9,500.00	
11OCT17	S 3 DEC17	305.800	309.550	USD	-2,812.50	
11OCT17	S 5 DEC17	305.700	309.550	USD	-4,812.50	
11OCT17	S 3 DEC17	305.850	309.550	USD	-2,775.00	
11OCT17	S 7 DEC17	306.000	309.550	USD	-6,212.50	
11OCT17	S 2 DEC17	307.400	309.550	USD	-1,075.00	
11OCT17	S 2 DEC17	307.450	309.550	USD	-1,050.00	
11OCT17	S 2 DEC17	307.350	309.550	USD	-1,100.00	
11OCT17	S 5 DEC17	307.300	309.550	USD	-2,812.50	
11OCT17	S 1 DEC17	307.800	309.550	USD	-437.50	
11OCT17	S 8 DEC17	307.550	309.550	USD	-4,000.00	
11OCT17	S 3 DEC17	307.700	309.550	USD	-1,387.50	
11OCT17	S 19 DEC17	307.850	309.550	USD	-8,075.00	
11OCT17	S 2 DEC17	308.400	309.550	USD	-575.00	
11OCT17	S 4 DEC17	308.450	309.550	USD	-1,100.00	
11OCT17	S 11 DEC17	308.350	309.550	USD	-3,300.00	
11OCT17	S 6 DEC17	308.250	309.550	USD	-1,950.00	
11OCT17	S 12 DEC17	308.300	309.550	USD	-3,750.00	
11OCT17	S 7 DEC17	308.650	309.550	USD	-1,575.00	
11OCT17	S 7 DEC17	307.950	309.550	USD	-2,800.00	
11OCT17	S 19 DEC17	307.900	309.550	USD	-7,837.50	
11OCT17	S 12 DEC17	308.050	309.550	USD	-4,500.00	
11OCT17	S 7 DEC17	308.100	309.550	USD	-2,537.50	
11OCT17	S 14 DEC17	308.150	309.550	USD	-4,900.00	
11OCT17	S 2 DEC17	308.700	309.550	USD	-425.00	
11OCT17	S 2 DEC17	308.750	309.550	USD	-400.00	
11OCT17	S 2 DEC17	308.900	309.550	USD	-325.00	
11OCT17	S 2 DEC17	308.850	309.550	USD	-350.00	
11OCT17	S 3 DEC17	308.800	309.550	USD	-562.50	
11OCT17	S 11 DEC17	309.550	309.550	USD	0.00	
	NET -209 AVG SOLD 307.662				-98,662.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-16,629,288.25
CMX Copper	FUTURES	16,075,325.00

OPEN POSITION STATEMENT



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11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-98,662.50	-98,662.50	-98,662.50	-98,662.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-486,405.75	-486,405.75	-486,405.75	-486,405.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	589,696.98	589,696.98
Variation Margins - Futures	-98,662.50	-98,662.50
- Options	0.00	0.00
TOTAL EQUITY	491,034.48	491,034.48
Initial Margins	-2,354,504.75	-2,354,504.75
Excess/(Shortage)	-1,863,470.27	-1,863,470.27
FOR INFORMATION ONLY		
Forward Unrealised P&L	-486,405.75	-486,405.75
Net Liquidating Value	4,628.73	4,628.73

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB097**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		4,335,889.01	4,335,889.01
Variation Margins	- Futures	-103,657.50	-103,657.50
	- Options	0.00	0.00
TOTAL EQUITY		4,232,231.51	4,232,231.51
Initial Margins		-3,602,199.50	-3,602,199.50
Excess/(Shortage)		630,032.01	630,032.01
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-169,396.50	-169,396.50
Net Liquidating Value		4,062,835.01	4,062,835.01

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	5	PD130CT17	5864.00	6756.00	USD	-111,500.00	
13JUL17	S	10	PD130CT17	5866.00	6756.00	USD	-222,500.00	
13JUL17	S	5	PD130CT17	5863.00	6756.00	USD	-111,625.00	
13JUL17	S	15	PD130CT17	5865.00	6756.00	USD	-334,125.00	
14JUL17	S	3	PD130CT17	5877.00	6756.00	USD	-65,925.00	
14JUL17	S	3	PD130CT17	5880.00	6756.00	USD	-65,700.00	
14JUL17	S	4	PD130CT17	5882.00	6756.00	USD	-87,400.00	
14JUL17	S	3	PD130CT17	5883.00	6756.00	USD	-65,475.00	
14JUL17	S	3	PD130CT17	5885.00	6756.00	USD	-65,325.00	
14JUL17	S	2	PD130CT17	5887.00	6756.00	USD	-43,450.00	
14JUL17	S	3	PD130CT17	5879.00	6756.00	USD	-65,775.00	
14JUL17	S	2	PD130CT17	5881.00	6756.00	USD	-43,750.00	
14JUL17	S	4	PD130CT17	5874.00	6756.00	USD	-88,200.00	
14JUL17	S	3	PD130CT17	5875.00	6756.00	USD	-66,075.00	
14JUL17	S	5	PD130CT17	5907.00	6756.00	USD	-106,125.00	
14JUL17	S	5	PD130CT17	5888.50	6756.00	USD	-108,437.50	
14JUL17	S	5	PD130CT17	5892.00	6756.00	USD	-108,000.00	
14JUL17	S	10	PD130CT17	5888.00	6756.00	USD	-217,000.00	
14JUL17	S	5	PD130CT17	5906.50	6756.00	USD	-106,187.50	
14JUL17	S	5	PD130CT17	5884.50	6756.00	USD	-108,937.50	
14JUL17	S	5	PD130CT17	5928.00	6756.00	USD	-103,500.00	
07AUG17	B	50	PD130CT17	6407.70	6756.00	USD	435,375.00	
08AUG17	B	7	PD130CT17	6473.45	6756.00	USD	49,446.25	
09AUG17	B	48	PD130CT17	6447.70	6756.00	USD	369,960.00	
	NET	0 AVG BGHT 6430.37	SOLD 5881.71				-1,440,231.25	0.00
17JUL17	S	5	PD170CT17	5988.00	6759.20	USD	-96,400.00	
17JUL17	S	5	PD170CT17	5985.00	6759.20	USD	-96,775.00	
17JUL17	S	5	PD170CT17	5989.00	6759.20	USD	-96,275.00	
17JUL17	S	5	PD170CT17	5987.00	6759.20	USD	-96,525.00	
07AUG17	B	20	PD170CT17	6408.70	6759.20	USD	175,250.00	
	NET	0 AVG BGHT 6408.70	SOLD 5987.25				-210,725.00	0.00
25JUL17	S	5	PD250CT17	6153.00	6765.68	USD	-76,585.00	
07AUG17	B	5	PD250CT17	6408.84	6765.68	USD	44,605.00	
	NET	0 AVG BGHT 6408.84	SOLD 6153.00				-31,980.00	0.00
26SEP17	B	20	PD300CT17	6382.06	6767.82	USD	192,880.00	
06OCT17	S	5	PD300CT17	6684.82	6767.82	USD	-10,375.00	
	NET	+15 AVG BGHT 6382.06	SOLD 6684.82				182,505.00	0.00
04AUG17	B	5	PD03NOV17	6323.00	6771.03	USD	56,003.75	
04AUG17	S	3	PD03NOV17	6356.00	6771.03	USD	-31,127.25	
04AUG17	S	2	PD03NOV17	6356.50	6771.03	USD	-20,726.50	
	NET	0 AVG BGHT 6323.00	SOLD 6356.20				4,150.00	0.00
15AUG17	B	3	PD15NOV17	6361.50	6776.50	USD	31,125.00	
15AUG17	B	5	PD15NOV17	6366.00	6776.50	USD	51,312.50	
15AUG17	B	2	PD15NOV17	6360.50	6776.50	USD	20,800.00	
	NET	+10 AVG BGHT 6363.55					103,237.50	0.00
16AUG17	S	5	PD16NOV17	6444.00	6776.25	USD	-41,531.25	
16AUG17	S	5	PD16NOV17	6444.50	6776.25	USD	-41,468.75	
23AUG17	B	10	PD16NOV17	6563.70	6776.25	USD	53,137.50	
	NET	0 AVG BGHT 6563.70	SOLD 6444.25				-29,862.50	0.00
17AUG17	B	50	PD17NOV17	6490.20	6776.78	USD	358,225.00	
18AUG17	B	50	PD17NOV17	6486.20	6776.78	USD	363,225.00	
	NET	+100 AVG BGHT 6488.20					721,450.00	0.00
22AUG17	B	100	PD22NOV17	6580.20	6779.45	USD	498,125.00	
	NET	+100 AVG BGHT 6580.20					498,125.00	0.00
23AUG17	B	90	PD24NOV17	6565.20	6780.52	USD	484,470.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	5	PD24NOV17	6701.00	6780.52	USD	-9,940.00	
24AUG17	B	5	PD24NOV17	6690.00	6780.52	USD	11,315.00	
24AUG17	B	11	PD24NOV17	6608.15	6780.52	USD	47,401.75	
24AUG17	B	20	PD24NOV17	6615.65	6780.52	USD	82,435.00	
25AUG17	S	5	PD24NOV17	6727.00	6780.52	USD	-6,690.00	
25AUG17	B	5	PD24NOV17	6717.00	6780.52	USD	7,940.00	
25AUG17	B	5	PD24NOV17	6684.00	6780.52	USD	12,065.00	
25AUG17	S	5	PD24NOV17	6716.00	6780.52	USD	-8,065.00	
25AUG17	B	5	PD24NOV17	6675.00	6780.52	USD	13,190.00	
25AUG17	B	2	PD24NOV17	6664.50	6780.52	USD	5,801.00	
25AUG17	B	5	PD24NOV17	6667.50	6780.52	USD	14,127.50	
25AUG17	B	5	PD24NOV17	6670.50	6780.52	USD	13,752.50	
25AUG17	B	5	PD24NOV17	6673.00	6780.52	USD	13,440.00	
	NET	+143 AVG	BGHT 6601.80	SOLD 6714.67			681,242.75	0.00
29AUG17	S	5	PD29NOV17	6798.50	6783.18	USD	1,915.00	
29AUG17	B	5	PD29NOV17	6786.00	6783.18	USD	-352.50	
	NET	0 AVG	BGHT 6786.00	SOLD 6798.50			1,562.50	0.00
30AUG17	B	5	PD30NOV17	6775.00	6783.72	USD	1,090.00	
30AUG17	B	10	PD30NOV17	6780.00	6783.72	USD	930.00	
30AUG17	B	5	PD30NOV17	6783.00	6783.72	USD	90.00	
30AUG17	B	3	PD30NOV17	6784.00	6783.72	USD	-21.00	
30AUG17	B	3	PD30NOV17	6784.50	6783.72	USD	-58.50	
30AUG17	B	5	PD30NOV17	6786.00	6783.72	USD	-285.00	
30AUG17	B	5	PD30NOV17	6782.00	6783.72	USD	215.00	
31AUG17	S	5	PD30NOV17	6827.00	6783.72	USD	5,410.00	
31AUG17	S	5	PD30NOV17	6841.50	6783.72	USD	7,222.50	
31AUG17	B	5	PD30NOV17	6825.00	6783.72	USD	-5,160.00	
31AUG17	B	5	PD30NOV17	6808.00	6783.72	USD	-3,035.00	
31AUG17	B	5	PD30NOV17	6785.50	6783.72	USD	-222.50	
31AUG17	S	5	PD30NOV17	6795.00	6783.72	USD	1,410.00	
	NET	+36 AVG	BGHT 6788.78	SOLD 6821.17			7,585.50	0.00
01SEP17	S	5	PD01DEC17	6820.00	6784.25	USD	4,468.75	
01SEP17	B	5	PD01DEC17	6803.00	6784.25	USD	-2,343.75	
01SEP17	S	5	PD01DEC17	6827.00	6784.25	USD	5,343.75	
15SEP17	B	5	PD01DEC17	6501.41	6784.25	USD	35,355.00	
	NET	0 AVG	BGHT 6652.21	SOLD 6823.50			42,823.75	0.00
05SEP17	B	5	PD05DEC17	6922.50	6786.25	USD	-17,031.25	
	NET	+5 AVG	BGHT 6922.50				-17,031.25	0.00
08SEP17	B	5	PD08DEC17	6810.00	6787.71	USD	-2,786.25	
08SEP17	B	5	PD08DEC17	6746.00	6787.71	USD	5,213.75	
	NET	+10 AVG	BGHT 6778.00				2,427.50	0.00
11SEP17	S	5	PD11DEC17	6750.50	6789.15	USD	-4,831.25	
15SEP17	B	5	PD11DEC17	6505.54	6789.15	USD	35,451.25	
	NET	0 AVG	BGHT 6505.54	SOLD 6750.50			30,620.00	0.00
13SEP17	B	1	PD13DEC17	6597.50	6790.10	USD	4,815.00	
13SEP17	B	4	PD13DEC17	6598.00	6790.10	USD	19,210.00	
	NET	+5 AVG	BGHT 6597.90				24,025.00	0.00
14SEP17	S	5	PD14DEC17	6486.50	6790.58	USD	-38,010.00	
14SEP17	S	5	PD14DEC17	6494.00	6790.58	USD	-37,072.50	
14SEP17	B	25	PD14DEC17	6498.20	6790.58	USD	182,737.50	
	NET	+15 AVG	BGHT 6498.20	SOLD 6490.25			107,655.00	0.00
15SEP17	B	25	PD15DEC17	6507.20	6791.06	USD	177,412.50	
	NET	+25 AVG	BGHT 6507.20				177,412.50	0.00
19SEP17	B	18	PD19DEC17	6539.20	6792.50	USD	113,985.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET +18 AVG BGHT 6539.20				113,985.00	0.00
20SEP17	B 5 PD20DEC17	6520.25	6792.50	USD	34,031.25	
20SEP17	B 9 PD20DEC17	6562.65	6792.50	USD	51,716.25	
20SEP17	B 14 PD20DEC17	6526.20	6792.50	USD	93,205.00	
	NET +28 AVG BGHT 6536.85				178,952.50	0.00
21SEP17	B 54 PD21DEC17	6480.20	6791.50	USD	420,255.00	
	NET +54 AVG BGHT 6480.20				420,255.00	0.00
22SEP17	B 55 PD22DEC17	6457.20	6790.50	USD	458,287.50	
	NET +55 AVG BGHT 6457.20				458,287.50	0.00
25SEP17	B 55 PD27DEC17	6450.20	6793.00	USD	471,350.00	
26SEP17	B 15 PD27DEC17	6486.70	6793.00	USD	114,862.50	
26SEP17	B 20 PD27DEC17	6413.20	6793.00	USD	189,900.00	
27SEP17	B 15 PD27DEC17	6449.15	6793.00	USD	128,943.75	
27SEP17	B 15 PD27DEC17	6447.15	6793.00	USD	129,693.75	
27SEP17	B 15 PD27DEC17	6448.15	6793.00	USD	129,318.75	
27SEP17	B 15 PD27DEC17	6449.90	6793.00	USD	128,662.50	
27SEP17	B 20 PD27DEC17	6437.20	6793.00	USD	177,900.00	
	NET +170 AVG BGHT 6446.97				1,470,631.25	0.00
05OCT17	S 5 PD05JAN18	6682.00	6797.20	USD	-14,400.00	
05OCT17	S 5 PD05JAN18	6642.00	6797.20	USD	-19,400.00	
05OCT17	B 6 PD05JAN18	6677.40	6797.20	USD	17,970.00	
05OCT17	B 6 PD05JAN18	6684.15	6797.20	USD	16,957.50	
06OCT17	B 5 PD05JAN18	6648.50	6797.20	USD	18,587.50	
06OCT17	B 5 PD05JAN18	6651.00	6797.20	USD	18,275.00	
06OCT17	B 5 PD05JAN18	6711.40	6797.20	USD	10,725.00	
	NET +17 AVG BGHT 6674.96 SOLD 6662.00				48,715.00	0.00
10OCT17	S 5 PD10JAN18	6755.00	6799.53	USD	-5,566.25	
10OCT17	B 5 PD10JAN18	6746.50	6799.53	USD	6,628.75	
	NET 0 AVG BGHT 6746.50 SOLD 6755.00				1,062.50	0.00
11OCT17	B 5 PD11JAN18	6800.50	6800.00	USD	-62.50	
11OCT17	S 1 PD11JAN18	6767.50	6800.00	USD	-812.50	
11OCT17	S 4 PD11JAN18	6768.00	6800.00	USD	-3,200.00	
11OCT17	S 5 PD11JAN18	6789.00	6800.00	USD	-1,375.00	
11OCT17	S 5 PD11JAN18	6810.50	6800.00	USD	1,312.50	
	NET -10 AVG BGHT 6800.50 SOLD 6789.13				-4,137.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
27JUL17	S 60 DEC17	291.000	309.550	USD	-278,250.00	
27JUL17	S 99 DEC17	289.950	309.550	USD	-485,100.00	
27JUL17	S 33 DEC17	289.800	309.550	USD	-162,937.50	
28JUL17	S 66 DEC17	289.900	309.550	USD	-324,225.00	
28JUL17	S 99 DEC17	289.600	309.550	USD	-493,762.50	
31JUL17	S 66 DEC17	292.400	309.550	USD	-282,975.00	
31JUL17	S 17 DEC17	290.950	309.550	USD	-79,050.00	
31JUL17	S 6 DEC17	290.900	309.550	USD	-27,975.00	
31JUL17	S 2 DEC17	291.200	309.550	USD	-9,175.00	
31JUL17	S 1 DEC17	291.450	309.550	USD	-4,525.00	
31JUL17	S 1 DEC17	291.650	309.550	USD	-4,475.00	
31JUL17	S 6 DEC17	291.500	309.550	USD	-27,075.00	
31JUL17	S 66 DEC17	291.000	309.550	USD	-306,075.00	
31JUL17	S 154 DEC17	291.400	309.550	USD	-698,775.00	
03AUG17	S 99 DEC17	290.000	309.550	USD	-483,862.50	
03AUG17	S 94 DEC17	289.850	309.550	USD	-462,950.00	
03AUG17	S 5 DEC17	289.800	309.550	USD	-24,687.50	
04AUG17	S 99 DEC17	289.850	309.550	USD	-487,575.00	
07AUG17	S 99 DEC17	291.150	309.550	USD	-455,400.00	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
07AUG17	S 99 DEC17	291.450	309.550	USD	-447,975.00	
09AUG17	S 42 DEC17	294.800	309.550	USD	-154,875.00	
09AUG17	S 57 DEC17	294.850	309.550	USD	-209,475.00	
09AUG17	S 99 DEC17	294.900	309.550	USD	-362,587.50	
10AUG17	S 33 DEC17	294.700	309.550	USD	-122,512.50	
10AUG17	S 66 DEC17	294.750	309.550	USD	-244,200.00	
10AUG17	S 22 DEC17	294.850	309.550	USD	-80,850.00	
10AUG17	S 20 DEC17	294.600	309.550	USD	-74,750.00	
10AUG17	S 20 DEC17	292.600	309.550	USD	-84,750.00	
15AUG17	S 20 DEC17	293.000	309.550	USD	-82,750.00	
15AUG17	S 20 DEC17	292.700	309.550	USD	-84,250.00	
16AUG17	S 4 DEC17	294.050	309.550	USD	-15,500.00	
16AUG17	S 5 DEC17	297.800	309.550	USD	-14,687.50	
25AUG17	S 3 DEC17	304.800	309.550	USD	-3,562.50	
29AUG17	S 5 DEC17	310.000	309.550	USD	562.50	
	NET -1587AVG SOLD 291.702				-7,081,012.50	0.00
15SEP17	S 10 MAR18	298.050	311.550	USD	-33,750.00	
21SEP17	S 10 MAR18	294.550	311.550	USD	-42,500.00	
22SEP17	S 10 MAR18	294.150	311.550	USD	-43,500.00	
25SEP17	S 10 MAR18	295.550	311.550	USD	-40,000.00	
27SEP17	S 10 MAR18	294.700	311.550	USD	-42,125.00	
28SEP17	S 10 MAR18	295.650	311.550	USD	-39,750.00	
28SEP17	S 20 MAR18	295.600	311.550	USD	-79,750.00	
29SEP17	S 9 MAR18	298.250	311.550	USD	-29,925.00	
29SEP17	S 1 MAR18	298.000	311.550	USD	-3,387.50	
05OCT17	S 10 MAR18	306.600	311.550	USD	-12,375.00	
05OCT17	S 10 MAR18	306.550	311.550	USD	-12,500.00	
06OCT17	S 20 MAR18	304.550	311.550	USD	-35,000.00	
09OCT17	S 20 MAR18	304.050	311.550	USD	-37,500.00	
09OCT17	S 20 MAR18	304.850	311.550	USD	-33,500.00	
10OCT17	S 20 MAR18	308.750	311.550	USD	-14,000.00	
	NET -190AVG SOLD 301.033				-499,562.50	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 11 PD27DEC17	3084.85	3224.00	USD	-38,266.25	
25SEP17	S 10 PD27DEC17	3079.85	3224.00	USD	-36,037.50	
25SEP17	S 9 PD27DEC17	3081.85	3224.00	USD	-31,983.75	
25SEP17	S 10 PD27DEC17	3087.85	3224.00	USD	-34,037.50	
25SEP17	B 9 PD27DEC17	3088.39	3224.00	USD	30,512.25	
25SEP17	B 11 PD27DEC17	3090.89	3224.00	USD	36,605.25	
25SEP17	B 10 PD27DEC17	3086.89	3224.00	USD	34,277.50	
25SEP17	B 10 PD27DEC17	3091.39	3224.00	USD	33,152.50	
	NET 0AVG BGHT 3089.45 SOLD 3083.68				-5,777.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-131,486,345.00
CMX Copper	FUTURES	130,032,012.50
LME Zinc 25 tonnes. This is	FUTURES	-5,777.50

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-7,580,575.00	-7,580,575.00	-7,580,575.00	-7,580,575.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	3,542,743.25	3,542,743.25		
ZINC - Futures USD	-5,777.50	-5,777.50	3,536,965.75	3,536,965.75

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
CASH BALANCE	(1.31890)	(1.00000)	(1.00000)
Variation Margins - Futures	2,478,738.79	5,898,182.90	9,167,391.49
- Options	0.00	-7,580,575.00	-7,580,575.00
TOTAL EQUITY	2,478,738.79	-1,682,392.10	1,586,816.49
Initial Margins	0.00	-12,016,775.25	-12,016,775.25
Excess/(Shortage)	2,478,738.79	-13,699,167.35	-10,429,958.76
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	3,536,965.75	3,536,965.75
Net Liquidating Value	2,478,738.79	1,854,573.65	5,123,782.24

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB101**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	2,478,738.79	5,898,182.90	9,167,391.49
Variation Margins	- Futures 0.00	-7,580,575.00	-7,580,575.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	2,478,738.79	-1,682,392.10	1,586,816.49
Initial Margins	0.00	-12,016,775.25	-12,016,775.25
Excess/(Shortage)	2,478,738.79	-13,699,167.35	-10,429,958.76
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	3,536,965.75	3,536,965.75
Net Liquidating Value	2,478,738.79	1,854,573.65	5,123,782.24

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5921.50	6755.20	USD	41,685.00	
12JUL17	B	1	PD120CT17	5921.00	6755.20	USD	20,855.00	
12JUL17	B	1	PD120CT17	5920.00	6755.20	USD	20,880.00	
12JUL17	B	1	PD120CT17	5919.00	6755.20	USD	20,905.00	
15SEP17	S	5	PD120CT17	6476.61	6755.20	USD	-34,823.75	
	NET	0AVG BGHT 5920.60	SOLD 6476.61				69,501.25	0.00
13JUL17	S	1	PD130CT17	5871.50	6756.00	USD	-22,112.50	
13JUL17	S	1	PD130CT17	5868.00	6756.00	USD	-22,200.00	
13JUL17	S	3	PD130CT17	5864.50	6756.00	USD	-66,862.50	
15SEP17	B	5	PD130CT17	6477.46	6756.00	USD	34,817.50	
	NET	0AVG BGHT 6477.46	SOLD 5866.60				-76,357.50	0.00
17JUL17	B	1	PD170CT17	6015.00	6759.20	USD	18,605.00	
17JUL17	B	1	PD170CT17	6012.00	6759.20	USD	18,680.00	
17JUL17	B	1	PD170CT17	6013.00	6759.20	USD	18,655.00	
17JUL17	B	2	PD170CT17	6009.00	6759.20	USD	37,510.00	
15SEP17	S	10	PD170CT17	6479.85	6759.20	USD	-69,837.50	
19SEP17	B	5	PD170CT17	6508.25	6759.20	USD	31,368.75	
	NET	0AVG BGHT 6259.93	SOLD 6479.85				54,981.25	0.00
20JUL17	S	2	PD200CT17	5974.50	6761.75	USD	-39,362.50	
20JUL17	S	1	PD200CT17	5974.00	6761.75	USD	-19,693.75	
20JUL17	S	1	PD200CT17	5970.00	6761.75	USD	-19,793.75	
20JUL17	S	1	PD200CT17	5972.00	6761.75	USD	-19,743.75	
21JUL17	B	1	PD200CT17	6006.50	6761.75	USD	18,881.25	
21JUL17	B	2	PD200CT17	6009.00	6761.75	USD	37,637.50	
21JUL17	B	2	PD200CT17	6030.00	6761.75	USD	36,587.50	
21JUL17	B	1	PD200CT17	6034.00	6761.75	USD	18,193.75	
21JUL17	B	2	PD200CT17	6035.00	6761.75	USD	36,337.50	
21JUL17	B	1	PD200CT17	6035.00	6761.75	USD	18,168.75	
21JUL17	B	1	PD200CT17	6034.00	6761.75	USD	18,193.75	
21JUL17	S	4	PD200CT17	6000.00	6761.75	USD	-76,175.00	
21JUL17	S	1	PD200CT17	6001.00	6761.75	USD	-19,018.75	
21JUL17	S	1	PD200CT17	5997.00	6761.75	USD	-19,118.75	
21JUL17	S	1	PD200CT17	5998.50	6761.75	USD	-19,081.25	
15SEP17	B	2	PD200CT17	6480.20	6761.75	USD	14,077.50	
	NET	0AVG BGHT 6101.49	SOLD 5988.46				-33,910.00	0.00
24JUL17	S	1	PD240CT17	5989.00	6765.25	USD	-19,406.25	
24JUL17	B	1	PD240CT17	5994.00	6765.25	USD	19,281.25	
24JUL17	S	1	PD240CT17	5987.00	6765.25	USD	-19,456.25	
24JUL17	S	1	PD240CT17	5990.50	6765.25	USD	-19,368.75	
24JUL17	S	1	PD240CT17	5989.00	6765.25	USD	-19,406.25	
15SEP17	B	3	PD240CT17	6482.95	6765.25	USD	21,172.50	
	NET	0AVG BGHT 6360.71	SOLD 5988.88				-37,183.75	0.00
25JUL17	B	5	PD250CT17	6120.00	6765.68	USD	80,710.00	
25JUL17	B	1	PD250CT17	6200.00	6765.68	USD	14,142.00	
25JUL17	B	2	PD250CT17	6205.00	6765.68	USD	28,034.00	
25JUL17	B	1	PD250CT17	6199.50	6765.68	USD	14,154.50	
25JUL17	B	1	PD250CT17	6202.50	6765.68	USD	14,079.50	
15SEP17	S	10	PD250CT17	6483.07	6765.68	USD	-70,652.50	
	NET	0AVG BGHT 6161.20	SOLD 6483.07				80,467.50	0.00
31JUL17	S	1	PD310CT17	6399.00	6768.25	USD	-9,231.25	
31JUL17	S	2	PD310CT17	6403.00	6768.25	USD	-18,262.50	
31JUL17	S	1	PD310CT17	6405.00	6768.25	USD	-9,081.25	
31JUL17	S	1	PD310CT17	6404.00	6768.25	USD	-9,106.25	
31JUL17	S	4	PD310CT17	6402.00	6768.25	USD	-36,625.00	
31JUL17	S	4	PD310CT17	6400.00	6768.25	USD	-36,825.00	
31JUL17	S	3	PD310CT17	6401.00	6768.25	USD	-27,543.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	2	PD31OCT17	6398.00	6768.25	USD	-18,512.50	
31JUL17	S	1	PD31OCT17	6397.50	6768.25	USD	-9,268.75	
31JUL17	S	1	PD31OCT17	6397.00	6768.25	USD	-9,281.25	
15SEP17	B	25	PD31OCT17	6485.20	6768.25	USD	176,906.25	
19SEP17	S	5	PD31OCT17	6515.05	6768.25	USD	-31,650.00	
	NET	0 AVG BGHT 6485.20	SOLD 6423.63				-38,481.25	0.00
02AUG17	B	1	PD02NOV17	6359.50	6770.10	USD	10,265.00	
02AUG17	B	1	PD02NOV17	6357.50	6770.10	USD	10,315.00	
02AUG17	B	2	PD02NOV17	6353.00	6770.10	USD	20,855.00	
02AUG17	B	1	PD02NOV17	6356.00	6770.10	USD	10,352.50	
15SEP17	S	5	PD02NOV17	6486.33	6770.10	USD	-35,471.25	
	NET	0 AVG BGHT 6355.80	SOLD 6486.33				16,316.25	0.00
03AUG17	S	1	PD03NOV17	6339.50	6771.03	USD	-10,788.25	
03AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
03AUG17	S	1	PD03NOV17	6338.00	6771.03	USD	-10,825.75	
03AUG17	S	2	PD03NOV17	6341.50	6771.03	USD	-21,476.50	
15SEP17	B	5	PD03NOV17	6487.20	6771.03	USD	35,478.75	
	NET	0 AVG BGHT 6487.20	SOLD 6340.20				-18,375.00	0.00
08AUG17	B	2	PD08NOV17	6398.00	6775.65	USD	18,882.50	
08AUG17	B	1	PD08NOV17	6401.00	6775.65	USD	9,366.25	
08AUG17	B	1	PD08NOV17	6400.00	6775.65	USD	9,391.25	
08AUG17	B	1	PD08NOV17	6399.00	6775.65	USD	9,416.25	
15SEP17	S	5	PD08NOV17	6490.75	6775.65	USD	-35,612.50	
	NET	0 AVG BGHT 6399.20	SOLD 6490.75				11,443.75	0.00
31AUG17	S	1	PD30NOV17	6795.00	6783.72	USD	282.00	
31AUG17	S	3	PD30NOV17	6800.00	6783.72	USD	1,221.00	
31AUG17	S	1	PD30NOV17	6800.00	6783.72	USD	407.00	
15SEP17	B	5	PD30NOV17	6500.99	6783.72	USD	35,341.25	
	NET	0 AVG BGHT 6500.99	SOLD 6799.00				37,251.25	0.00
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
04SEP17	B	1	PD04DEC17	6919.00	6785.75	USD	-3,331.25	
04SEP17	B	1	PD04DEC17	6918.00	6785.75	USD	-3,306.25	
04SEP17	B	2	PD04DEC17	6918.00	6785.75	USD	-6,612.50	
15SEP17	S	5	PD04DEC17	6502.45	6785.75	USD	-35,412.50	
	NET	0 AVG BGHT 6918.00	SOLD 6502.45				-51,943.75	0.00
08SEP17	S	1	PD08DEC17	6831.00	6787.71	USD	1,082.25	
08SEP17	S	1	PD08DEC17	6824.00	6787.71	USD	907.25	
08SEP17	S	1	PD08DEC17	6830.00	6787.71	USD	1,057.25	
08SEP17	S	1	PD08DEC17	6817.00	6787.71	USD	732.25	
08SEP17	S	1	PD08DEC17	6823.00	6787.71	USD	882.25	
15SEP17	B	5	PD08DEC17	6504.30	6787.71	USD	35,426.25	
	NET	0 AVG BGHT 6504.30	SOLD 6825.00				40,087.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
53,797.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	53,797.50	53,797.50	53,797.50	53,797.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-53,927.30	-53,927.30
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-53,927.30	-53,927.30
Initial Margins	0.00	0.00
Excess/(Shortage)	-53,927.30	-53,927.30
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	53,797.50	53,797.50
Net Liquidating Value	-129.80	-129.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB103**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-53,927.30	-53,927.30
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-53,927.30	-53,927.30
Initial Margins	0.00	0.00
Excess/(Shortage)	-53,927.30	-53,927.30
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	53,797.50	53,797.50
Net Liquidating Value	-129.80	-129.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5928.00	6755.20	USD	41,360.00	
12JUL17	B	3	PD120CT17	5927.00	6755.20	USD	62,115.00	
11AUG17	S	5	PD120CT17	6401.87	6755.20	USD	-44,166.25	
	NET	0 AVG	BGHT 5927.40	SOLD 6401.87			59,308.75	0.00
13JUL17	S	5	PD130CT17	5878.00	6756.00	USD	-109,750.00	
11AUG17	B	5	PD130CT17	6402.64	6756.00	USD	44,170.00	
	NET	0 AVG	BGHT 6402.64	SOLD 5878.00			-65,580.00	0.00
17JUL17	B	1	PD170CT17	6002.50	6759.20	USD	18,917.50	
17JUL17	B	2	PD170CT17	6007.00	6759.20	USD	37,610.00	
17JUL17	B	2	PD170CT17	6008.00	6759.20	USD	37,560.00	
17JUL17	B	3	PD170CT17	6018.50	6759.20	USD	55,552.50	
17JUL17	B	1	PD170CT17	6014.50	6759.20	USD	18,617.50	
17JUL17	B	1	PD170CT17	6017.00	6759.20	USD	18,555.00	
11AUG17	S	10	PD170CT17	6404.75	6759.20	USD	-88,612.50	
	NET	0 AVG	BGHT 6011.95	SOLD 6404.75			98,200.00	0.00
21JUL17	B	2	PD200CT17	6027.50	6761.75	USD	36,712.50	
21JUL17	B	1	PD200CT17	6028.00	6761.75	USD	18,343.75	
21JUL17	B	2	PD200CT17	6028.50	6761.75	USD	36,662.50	
11AUG17	S	5	PD200CT17	6403.00	6761.75	USD	-44,843.75	
	NET	0 AVG	BGHT 6028.00	SOLD 6403.00			46,875.00	0.00
24JUL17	S	5	PD240CT17	6002.00	6765.25	USD	-95,406.25	
24JUL17	B	1	PD240CT17	6005.50	6765.25	USD	18,993.75	
24JUL17	S	4	PD240CT17	6005.50	6765.25	USD	-75,975.00	
24JUL17	S	2	PD240CT17	6006.00	6765.25	USD	-37,962.50	
11AUG17	B	10	PD240CT17	6404.72	6765.25	USD	90,132.50	
	NET	0 AVG	BGHT 6368.43	SOLD 6004.00			-100,217.50	0.00
25JUL17	B	3	PD250CT17	6168.00	6765.68	USD	44,826.00	
25JUL17	B	2	PD250CT17	6169.00	6765.68	USD	29,834.00	
25JUL17	B	1	PD250CT17	6225.00	6765.68	USD	13,517.00	
25JUL17	B	2	PD250CT17	6225.50	6765.68	USD	27,009.00	
25JUL17	B	2	PD250CT17	6228.50	6765.68	USD	26,859.00	
25JUL17	B	2	PD250CT17	6220.50	6765.68	USD	27,259.00	
25JUL17	B	1	PD250CT17	6224.50	6765.68	USD	13,529.50	
25JUL17	B	1	PD250CT17	6222.50	6765.68	USD	13,579.50	
25JUL17	B	1	PD250CT17	6224.00	6765.68	USD	13,542.00	
11AUG17	S	5	PD250CT17	6404.90	6765.68	USD	-45,097.50	
	NET	+10 AVG	BGHT 6205.80	SOLD 6404.90			164,857.50	0.00
26JUL17	S	3	PD260CT17	6355.00	6766.11	USD	-30,833.25	
26JUL17	S	2	PD260CT17	6377.00	6766.11	USD	-19,455.50	
26JUL17	B	1	PD260CT17	6303.00	6766.11	USD	11,577.75	
26JUL17	B	1	PD260CT17	6304.00	6766.11	USD	11,552.75	
26JUL17	B	1	PD260CT17	6305.00	6766.11	USD	11,527.75	
26JUL17	B	1	PD260CT17	6306.00	6766.11	USD	11,502.75	
26JUL17	B	1	PD260CT17	6301.50	6766.11	USD	11,615.25	
	NET	0 AVG	BGHT 6303.90	SOLD 6363.80			7,487.50	0.00
28JUL17	S	1	PD270CT17	6328.50	6766.54	USD	-10,951.00	
28JUL17	S	4	PD270CT17	6330.50	6766.54	USD	-43,604.00	
11AUG17	B	5	PD270CT17	6405.87	6766.54	USD	45,083.75	
	NET	0 AVG	BGHT 6405.87	SOLD 6330.10			-9,471.25	0.00
01AUG17	B	2	PD01NOV17	6334.50	6769.18	USD	21,734.00	
01AUG17	B	2	PD01NOV17	6338.50	6769.18	USD	21,534.00	
01AUG17	B	1	PD01NOV17	6335.00	6769.18	USD	10,854.50	
	NET	+5 AVG	BGHT 6336.20				54,122.50	0.00
07AUG17	S	2	PD07NOV17	6407.50	6774.73	USD	-18,361.50	
07AUG17	S	1	PD07NOV17	6409.00	6774.73	USD	-9,143.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	6410.50	6774.73	USD	-9,105.75	
07AUG17	S	1	PD07NOV17	6412.00	6774.73	USD	-9,068.25	
11AUG17	B	5	PD07NOV17	6410.06	6774.73	USD	45,583.75	
	NET	0 AVG BGHT	6410.06				-95.00	0.00
08AUG17	B	1	PD08NOV17	6436.00	6775.65	USD	8,491.25	
08AUG17	B	1	PD08NOV17	6438.00	6775.65	USD	8,441.25	
08AUG17	B	1	PD08NOV17	6452.50	6775.65	USD	8,078.75	
08AUG17	B	2	PD08NOV17	6453.00	6775.65	USD	16,132.50	
08AUG17	B	4	PD08NOV17	6454.00	6775.65	USD	32,165.00	
08AUG17	B	1	PD08NOV17	6460.00	6775.65	USD	7,891.25	
	NET	+10 AVG BGHT	6450.85				81,200.00	0.00
14AUG17	S	2	PD14NOV17	6400.50	6776.83	USD	-18,816.50	
14AUG17	S	1	PD14NOV17	6402.50	6776.83	USD	-9,358.25	
14AUG17	S	1	PD14NOV17	6403.00	6776.83	USD	-9,345.75	
14AUG17	S	1	PD14NOV17	6405.00	6776.83	USD	-9,295.75	
	NET	-5 AVG SOLD	6402.30				-46,816.25	0.00
15AUG17	S	2	PD15NOV17	6378.00	6776.50	USD	-19,925.00	
15AUG17	S	3	PD15NOV17	6379.50	6776.50	USD	-29,775.00	
	NET	-5 AVG SOLD	6378.90				-49,700.00	0.00
17AUG17	B	2	PD17NOV17	6537.50	6776.78	USD	11,964.00	
17AUG17	B	2	PD17NOV17	6539.00	6776.78	USD	11,889.00	
17AUG17	B	1	PD17NOV17	6536.00	6776.78	USD	6,019.50	
18AUG17	S	2	PD17NOV17	6450.00	6776.78	USD	-16,339.00	
18AUG17	S	2	PD17NOV17	6450.50	6776.78	USD	-16,314.00	
18AUG17	S	1	PD17NOV17	6453.00	6776.78	USD	-8,094.50	
	NET	0 AVG BGHT	6537.80				-10,875.00	0.00
21AUG17	B	1	PD21NOV17	6597.00	6778.92	USD	4,548.00	
21AUG17	B	2	PD21NOV17	6613.00	6778.92	USD	8,296.00	
21AUG17	B	2	PD21NOV17	6619.00	6778.92	USD	7,996.00	
21AUG17	B	5	PD21NOV17	6619.50	6778.92	USD	19,927.50	
21AUG17	B	2	PD21NOV17	6583.00	6778.92	USD	9,796.00	
21AUG17	B	1	PD21NOV17	6584.00	6778.92	USD	4,873.00	
21AUG17	B	2	PD21NOV17	6586.50	6778.92	USD	9,621.00	
	NET	+15 AVG BGHT	6605.43				65,057.50	0.00
22AUG17	B	5	PD22NOV17	6584.50	6779.45	USD	24,368.75	
	NET	+5 AVG BGHT	6584.50				24,368.75	0.00
29AUG17	S	2	PD29NOV17	6778.50	6783.18	USD	-234.00	
29AUG17	S	1	PD29NOV17	6779.50	6783.18	USD	-92.00	
29AUG17	S	2	PD29NOV17	6780.00	6783.18	USD	-159.00	
	NET	-5 AVG SOLD	6779.30				-485.00	0.00
30AUG17	B	1	PD30NOV17	6769.50	6783.72	USD	355.50	
30AUG17	B	2	PD30NOV17	6770.00	6783.72	USD	686.00	
30AUG17	B	1	PD30NOV17	6767.50	6783.72	USD	405.50	
30AUG17	B	1	PD30NOV17	6768.00	6783.72	USD	393.00	
30AUG17	B	5	PD30NOV17	6768.50	6783.72	USD	1,902.50	
	NET	+10 AVG BGHT	6768.75				3,742.50	0.00
07SEP17	S	5	PD07DEC17	6860.00	6787.23	USD	9,096.25	
	NET	-5 AVG SOLD	6860.00				9,096.25	0.00
08SEP17	S	3	PD08DEC17	6820.00	6787.71	USD	2,421.75	
08SEP17	S	2	PD08DEC17	6826.00	6787.71	USD	1,914.50	
08SEP17	S	5	PD08DEC17	6678.00	6787.71	USD	-13,713.75	
	NET	-10 AVG SOLD	6750.20				-9,377.50	0.00
12SEP17	S	4	PD12DEC17	6676.50	6789.63	USD	-11,313.00	
12SEP17	S	1	PD12DEC17	6677.50	6789.63	USD	-2,803.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	-5 AVG SOLD 6676.70					-14,116.25	0.00
13SEP17	S	1	PD13DEC17	6653.50	6790.10	USD	-3,415.00	
13SEP17	S	2	PD13DEC17	6654.00	6790.10	USD	-6,805.00	
13SEP17	S	1	PD13DEC17	6655.00	6790.10	USD	-3,377.50	
13SEP17	S	1	PD13DEC17	6655.50	6790.10	USD	-3,365.00	
13SEP17	S	1	PD13DEC17	6656.00	6790.10	USD	-3,352.50	
13SEP17	S	2	PD13DEC17	6657.00	6790.10	USD	-6,655.00	
13SEP17	S	2	PD13DEC17	6662.00	6790.10	USD	-6,405.00	
	NET	-10 AVG SOLD 6656.60					-33,375.00	0.00
15SEP17	S	1	PD15DEC17	6494.50	6791.06	USD	-7,414.00	
15SEP17	S	2	PD15DEC17	6495.50	6791.06	USD	-14,778.00	
15SEP17	S	3	PD15DEC17	6496.00	6791.06	USD	-22,129.50	
15SEP17	S	2	PD15DEC17	6496.50	6791.06	USD	-14,728.00	
15SEP17	S	2	PD15DEC17	6497.50	6791.06	USD	-14,678.00	
	NET	-10 AVG SOLD 6496.15					-73,727.50	0.00
27SEP17	B	1	PD27DEC17	6475.50	6793.00	USD	7,937.50	
27SEP17	B	2	PD27DEC17	6477.00	6793.00	USD	15,800.00	
27SEP17	B	2	PD27DEC17	6476.50	6793.00	USD	15,825.00	
	NET	+5 AVG BGHT 6476.50					39,562.50	0.00
05OCT17	B	2	PD05JAN18	6550.00	6797.20	USD	12,360.00	
05OCT17	B	3	PD05JAN18	6552.00	6797.20	USD	18,390.00	
05OCT17	B	1	PD05JAN18	6556.00	6797.20	USD	6,030.00	
05OCT17	B	1	PD05JAN18	6557.00	6797.20	USD	6,005.00	
05OCT17	B	2	PD05JAN18	6557.50	6797.20	USD	11,985.00	
05OCT17	B	1	PD05JAN18	6559.00	6797.20	USD	5,955.00	
05OCT17	B	2	PD05JAN18	6606.00	6797.20	USD	9,560.00	
05OCT17	B	2	PD05JAN18	6607.00	6797.20	USD	9,510.00	
05OCT17	B	1	PD05JAN18	6628.00	6797.20	USD	4,230.00	
05OCT17	B	2	PD05JAN18	6629.50	6797.20	USD	8,385.00	
05OCT17	B	1	PD05JAN18	6627.00	6797.20	USD	4,255.00	
05OCT17	B	2	PD05JAN18	6630.50	6797.20	USD	8,335.00	
	NET	+20 AVG BGHT 6587.20					105,000.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
27SEP17	S	5	DEC17	294.350	309.550	USD	-19,000.00	
27SEP17	S	6	DEC17	294.300	309.550	USD	-22,875.00	
05OCT17	S	3	DEC17	297.750	309.550	USD	-8,850.00	
05OCT17	S	7	DEC17	297.900	309.550	USD	-20,387.50	
05OCT17	S	2	DEC17	298.000	309.550	USD	-5,775.00	
05OCT17	S	7	DEC17	298.050	309.550	USD	-20,125.00	
05OCT17	S	2	DEC17	298.150	309.550	USD	-5,700.00	
05OCT17	S	5	DEC17	300.200	309.550	USD	-11,687.50	
05OCT17	S	5	DEC17	300.500	309.550	USD	-11,312.50	
05OCT17	S	1	DEC17	300.450	309.550	USD	-2,275.00	
05OCT17	S	5	DEC17	301.300	309.550	USD	-10,312.50	
05OCT17	S	2	DEC17	301.200	309.550	USD	-4,175.00	
05OCT17	S	5	DEC17	301.500	309.550	USD	-10,062.50	
	NET	-55 AVG SOLD 298.456					-152,537.50	0.00

OPEN POSITION STATEMENT



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11th October 2017

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,888,230.00
CMX Copper	FUTURES	4,103,775.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-152,537.50	-152,537.50	-152,537.50	-152,537.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	345,042.50	345,042.50	345,042.50	345,042.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-173,313.85	-173,313.85
Variation Margins - Futures	-152,537.50	-152,537.50
- Options	0.00	0.00
TOTAL EQUITY	-325,851.35	-325,851.35
Initial Margins	-173,250.00	-173,250.00
Excess/(Shortage)	-499,101.35	-499,101.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	345,042.50	345,042.50
Net Liquidating Value	19,191.15	19,191.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB107**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-173,313.85	-173,313.85
Variation Margins	-152,537.50	-152,537.50
- Futures	0.00	0.00
- Options		
TOTAL EQUITY	-325,851.35	-325,851.35
Initial Margins	-173,250.00	-173,250.00
Excess/(Shortage)	-499,101.35	-499,101.35
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	345,042.50	345,042.50
Net Liquidating Value	19,191.15	19,191.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	329,140.26	329,140.26
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	329,140.26	329,140.26
Initial Margins	0.00	0.00
Excess/(Shortage)	329,140.26	329,140.26
FOR INFORMATION ONLY		
Net Liquidating Value	329,140.26	329,140.26

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118B

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	5878.00	6756.00	USD	-21,950.00	
14JUL17	B	2	PD130CT17	5883.00	6756.00	USD	43,650.00	
14JUL17	B	1	PD130CT17	5883.50	6756.00	USD	21,812.50	
14JUL17	B	2	PD130CT17	5882.00	6756.00	USD	43,700.00	
14JUL17	B	1	PD130CT17	5912.00	6756.00	USD	21,100.00	
14JUL17	B	1	PD130CT17	5909.00	6756.00	USD	21,175.00	
14JUL17	B	1	PD130CT17	5908.50	6756.00	USD	21,187.50	
14JUL17	B	1	PD130CT17	5906.00	6756.00	USD	21,250.00	
14JUL17	B	1	PD130CT17	5901.50	6756.00	USD	21,362.50	
11OCT17	S	9	PD130CT17	6756.00	6756.00	USD	0.00	
	NET	0 AVG BGHT 5895.05	SOLD 6668.20				193,287.50	0.00
25JUL17	B	1	PD250CT17	6267.50	6765.68	USD	12,454.50	
25JUL17	B	1	PD250CT17	6266.50	6765.68	USD	12,479.50	
25JUL17	B	2	PD250CT17	6265.50	6765.68	USD	25,009.00	
25JUL17	B	1	PD250CT17	6266.50	6765.68	USD	12,479.50	
	NET	+5 AVG BGHT 6266.30					62,422.50	0.00
26JUL17	S	1	PD260CT17	6326.00	6766.11	USD	-11,002.75	
26JUL17	S	1	PD260CT17	6340.50	6766.11	USD	-10,640.25	
26JUL17	S	1	PD260CT17	6344.00	6766.11	USD	-10,552.75	
26JUL17	S	1	PD260CT17	6378.00	6766.11	USD	-9,702.75	
26JUL17	S	1	PD260CT17	6381.00	6766.11	USD	-9,627.75	
26JUL17	B	2	PD260CT17	6275.00	6766.11	USD	24,555.50	
26JUL17	B	1	PD260CT17	6274.00	6766.11	USD	12,302.75	
26JUL17	B	1	PD260CT17	6270.00	6766.11	USD	12,402.75	
26JUL17	B	1	PD260CT17	6266.50	6766.11	USD	12,490.25	
26JUL17	S	1	PD260CT17	6328.50	6766.11	USD	-10,940.25	
26JUL17	S	1	PD260CT17	6329.50	6766.11	USD	-10,915.25	
26JUL17	S	1	PD260CT17	6331.50	6766.11	USD	-10,865.25	
26JUL17	S	1	PD260CT17	6335.00	6766.11	USD	-10,777.75	
26JUL17	S	1	PD260CT17	6337.00	6766.11	USD	-10,727.75	
20SEP17	B	5	PD260CT17	6504.27	6766.11	USD	32,730.00	
	NET	0 AVG BGHT 6388.19	SOLD 6343.10				-11,271.25	0.00
27JUL17	S	1	PD270CT17	6367.00	6766.54	USD	-9,988.50	
27JUL17	S	1	PD270CT17	6341.00	6766.54	USD	-10,638.50	
27JUL17	S	1	PD270CT17	6342.00	6766.54	USD	-10,613.50	
28JUL17	S	1	PD270CT17	6319.50	6766.54	USD	-11,176.00	
28JUL17	S	1	PD270CT17	6320.50	6766.54	USD	-11,151.00	
20SEP17	B	2	PD270CT17	6504.56	6766.54	USD	13,099.00	
26SEP17	B	3	PD270CT17	6377.45	6766.54	USD	29,181.75	
	NET	0 AVG BGHT 6428.29	SOLD 6338.00				-11,286.75	0.00
31JUL17	S	1	PD310CT17	6344.00	6768.25	USD	-10,606.25	
31JUL17	S	1	PD310CT17	6343.00	6768.25	USD	-10,631.25	
31JUL17	S	3	PD310CT17	6344.00	6768.25	USD	-31,818.75	
26SEP17	B	5	PD310CT17	6380.45	6768.25	USD	48,475.00	
	NET	0 AVG BGHT 6380.45	SOLD 6343.80				-4,581.25	0.00
02AUG17	S	1	PD02NOV17	6331.00	6770.10	USD	-10,977.50	
02AUG17	S	1	PD02NOV17	6314.50	6770.10	USD	-11,390.00	
02AUG17	S	1	PD02NOV17	6311.50	6770.10	USD	-11,465.00	
02AUG17	S	2	PD02NOV17	6313.50	6770.10	USD	-22,830.00	
02AUG17	S	1	PD02NOV17	6340.50	6770.10	USD	-10,740.00	
02AUG17	S	1	PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	B	2	PD02NOV17	6336.00	6770.10	USD	21,705.00	
02AUG17	B	5	PD02NOV17	6331.50	6770.10	USD	54,825.00	
02AUG17	B	1	PD02NOV17	6364.50	6770.10	USD	10,140.00	
	NET	+1 AVG BGHT 6336.75	SOLD 6323.79				8,565.00	0.00
03AUG17	S	1	PD03NOV17	6317.50	6771.03	USD	-11,338.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	3	PD03NOV17	6318.50	6771.03	USD	-33,939.75	
03AUG17	S	1	PD03NOV17	6323.00	6771.03	USD	-11,200.75	
26SEP17	B	5	PD03NOV17	6382.70	6771.03	USD	48,541.25	
	NET	0 AVG BGHT 6382.70	SOLD 6319.20				-7,937.50	0.00
07AUG17	S	1	PD07NOV17	6351.50	6774.73	USD	-10,580.75	
07AUG17	S	3	PD07NOV17	6348.50	6774.73	USD	-31,967.25	
07AUG17	S	1	PD07NOV17	6346.50	6774.73	USD	-10,705.75	
07AUG17	B	1	PD07NOV17	6364.50	6774.73	USD	10,255.75	
07AUG17	B	1	PD07NOV17	6362.00	6774.73	USD	10,318.25	
07AUG17	B	3	PD07NOV17	6367.50	6774.73	USD	30,542.25	
07AUG17	B	1	PD07NOV17	6374.00	6774.73	USD	10,018.25	
07AUG17	B	3	PD07NOV17	6375.00	6774.73	USD	29,979.75	
07AUG17	B	4	PD07NOV17	6371.00	6774.73	USD	40,373.00	
07AUG17	B	2	PD07NOV17	6378.50	6774.73	USD	19,811.50	
07AUG17	B	1	PD07NOV17	6387.00	6774.73	USD	9,693.25	
07AUG17	B	1	PD07NOV17	6397.50	6774.73	USD	9,430.75	
07AUG17	B	1	PD07NOV17	6398.50	6774.73	USD	9,405.75	
07AUG17	B	1	PD07NOV17	6405.50	6774.73	USD	9,230.75	
07AUG17	B	1	PD07NOV17	6410.00	6774.73	USD	9,118.25	
07AUG17	B	1	PD07NOV17	6419.50	6774.73	USD	8,880.75	
07AUG17	B	1	PD07NOV17	6440.00	6774.73	USD	8,368.25	
07AUG17	B	1	PD07NOV17	6434.50	6774.73	USD	8,505.75	
07AUG17	B	2	PD07NOV17	6425.00	6774.73	USD	17,486.50	
	NET	+20 AVG BGHT 6388.46	SOLD 6348.70				188,165.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6775.65	USD	-9,191.25	
	NET	-1 AVG SOLD 6408.00					-9,191.25	0.00
10AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	2	PD10NOV17	6368.00	6777.50	USD	-20,475.00	
11AUG17	S	1	PD10NOV17	6379.00	6777.50	USD	-9,962.50	
11OCT17	B	5	PD10NOV17	6777.70	6777.50	USD	-25.00	
	NET	0 AVG BGHT 6777.70	SOLD 6379.10				-49,825.00	0.00
14AUG17	B	2	PD14NOV17	6398.00	6776.83	USD	18,941.50	
14AUG17	B	1	PD14NOV17	6400.00	6776.83	USD	9,420.75	
14AUG17	B	2	PD14NOV17	6399.00	6776.83	USD	18,891.50	
	NET	+5 AVG BGHT 6398.80					47,253.75	0.00
15AUG17	S	1	PD15NOV17	6360.50	6776.50	USD	-10,400.00	
15AUG17	S	2	PD15NOV17	6360.00	6776.50	USD	-20,825.00	
15AUG17	S	2	PD15NOV17	6359.50	6776.50	USD	-20,850.00	
11OCT17	B	4	PD15NOV17	6776.70	6776.50	USD	-20.00	
	NET	-1 AVG BGHT 6776.70	SOLD 6359.90				-52,095.00	0.00
23AUG17	B	1	PD24NOV17	6561.50	6780.52	USD	5,475.50	
23AUG17	B	4	PD24NOV17	6559.50	6780.52	USD	22,102.00	
	NET	+5 AVG BGHT 6559.90					27,577.50	0.00
29AUG17	S	1	PD29NOV17	6806.50	6783.18	USD	583.00	
29AUG17	S	1	PD29NOV17	6802.00	6783.18	USD	470.50	
29AUG17	S	3	PD29NOV17	6812.00	6783.18	USD	2,161.50	
	NET	-5 AVG SOLD 6808.90					3,215.00	0.00
31AUG17	S	1	PD30NOV17	6808.00	6783.72	USD	607.00	
31AUG17	S	1	PD30NOV17	6801.00	6783.72	USD	432.00	
31AUG17	S	1	PD30NOV17	6800.00	6783.72	USD	407.00	
31AUG17	B	2	PD30NOV17	6841.50	6783.72	USD	-2,889.00	
31AUG17	S	1	PD30NOV17	6794.50	6783.72	USD	269.50	
31AUG17	S	1	PD30NOV17	6793.50	6783.72	USD	244.50	
31AUG17	S	1	PD30NOV17	6786.00	6783.72	USD	57.00	

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
31AUG17	S 1 PD30NOV17	6783.50	6783.72	USD	-5.50	
	NET -5 AVG BGHT 6841.50 SOLD 6795.21				-877.50	0.00
01SEP17	S 1 PD01DEC17	6806.00	6784.25	USD	543.75	
01SEP17	S 1 PD01DEC17	6816.50	6784.25	USD	806.25	
01SEP17	S 1 PD01DEC17	6811.00	6784.25	USD	668.75	
	NET -3 AVG SOLD 6811.17				2,018.75	0.00
06SEP17	S 5 PD06DEC17	6910.50	6786.75	USD	15,468.75	
06SEP17	S 2 PD06DEC17	6914.50	6786.75	USD	6,387.50	
06SEP17	S 3 PD06DEC17	6911.00	6786.75	USD	9,318.75	
	NET -10 AVG SOLD 6911.45				31,175.00	0.00
08SEP17	S 5 PD08DEC17	6807.00	6787.71	USD	2,411.25	
08SEP17	S 1 PD08DEC17	6782.50	6787.71	USD	-130.25	
08SEP17	S 4 PD08DEC17	6744.00	6787.71	USD	-4,371.00	
08SEP17	S 2 PD08DEC17	6720.50	6787.71	USD	-3,360.50	
08SEP17	S 3 PD08DEC17	6720.00	6787.71	USD	-5,078.25	
02OCT17	B 6 PD08DEC17	6485.45	6787.71	USD	45,339.00	
	NET -9 AVG BGHT 6485.45 SOLD 6759.63				34,810.25	0.00
11SEP17	S 2 PD11DEC17	6696.50	6789.15	USD	-4,632.50	
	NET -2 AVG SOLD 6696.50				-4,632.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD 456,660.75
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	446,792.25	446,792.25	446,792.25	446,792.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-445,903.80	-445,903.80
- Options	0.00	0.00
TOTAL EQUITY	-445,903.80	-445,903.80
Initial Margins	0.00	0.00
Excess/(Shortage)	-445,903.80	-445,903.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	446,792.25	446,792.25
Net Liquidating Value	888.45	888.45

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD130CT17	5882.50	6756.00	USD	-21,837.50	
14JUL17	S 4 PD130CT17	5881.50	6756.00	USD	-87,450.00	
19SEP17	B 5 PD130CT17	6506.45	6756.00	USD	31,193.75	
	NET 0 AVG BGHT 6506.45 SOLD 5881.70				-78,093.75	0.00
17JUL17	S 1 PD170CT17	5984.00	6759.20	USD	-19,380.00	
	NET -1 AVG SOLD 5984.00				-19,380.00	0.00
25JUL17	B 1 PD250CT17	6224.00	6765.68	USD	13,542.00	
25JUL17	B 3 PD250CT17	6246.50	6765.68	USD	38,938.50	
25JUL17	B 2 PD250CT17	6259.50	6765.68	USD	25,309.00	
25JUL17	B 1 PD250CT17	6249.00	6765.68	USD	12,917.00	
25JUL17	B 2 PD250CT17	6248.00	6765.68	USD	25,884.00	
25JUL17	B 2 PD250CT17	6265.00	6765.68	USD	25,034.00	
	NET +11 AVG BGHT 6250.68				141,624.50	0.00
27JUL17	S 2 PD270CT17	6331.00	6766.54	USD	-21,777.00	
27JUL17	S 1 PD270CT17	6332.00	6766.54	USD	-10,863.50	
27JUL17	S 2 PD270CT17	6333.00	6766.54	USD	-21,677.00	
28JUL17	S 2 PD270CT17	6325.00	6766.54	USD	-22,077.00	
28JUL17	S 4 PD270CT17	6326.00	6766.54	USD	-44,054.00	
28JUL17	S 1 PD270CT17	6327.00	6766.54	USD	-10,988.50	
28JUL17	S 5 PD270CT17	6329.00	6766.54	USD	-54,692.50	
19SEP17	B 15 PD270CT17	6514.02	6766.54	USD	94,695.00	
	NET -2 AVG BGHT 6514.02 SOLD 6328.59				-91,434.50	0.00
31JUL17	S 1 PD310CT17	6382.50	6768.25	USD	-9,643.75	
31JUL17	S 1 PD310CT17	6383.00	6768.25	USD	-9,631.25	
31JUL17	S 1 PD310CT17	6385.50	6768.25	USD	-9,568.75	
31JUL17	S 1 PD310CT17	6406.00	6768.25	USD	-9,056.25	
31JUL17	S 1 PD310CT17	6406.50	6768.25	USD	-9,043.75	
31JUL17	S 1 PD310CT17	6408.50	6768.25	USD	-8,993.75	
31JUL17	S 1 PD310CT17	6409.50	6768.25	USD	-8,968.75	
31JUL17	S 1 PD310CT17	6411.00	6768.25	USD	-8,931.25	
	NET -8 AVG SOLD 6399.06				-73,837.50	0.00
24AUG17	B 2 PD24NOV17	6607.00	6780.52	USD	8,676.00	
24AUG17	B 1 PD24NOV17	6608.50	6780.52	USD	4,300.50	
24AUG17	B 1 PD24NOV17	6609.50	6780.52	USD	4,275.50	
24AUG17	B 1 PD24NOV17	6611.00	6780.52	USD	4,238.00	
	NET +5 AVG BGHT 6608.60				21,490.00	0.00
05SEP17	B 1 PD05DEC17	6935.00	6786.25	USD	-3,718.75	
05SEP17	B 1 PD05DEC17	6936.50	6786.25	USD	-3,756.25	
05SEP17	B 1 PD05DEC17	6937.50	6786.25	USD	-3,781.25	
05SEP17	B 1 PD05DEC17	6939.50	6786.25	USD	-3,831.25	
05SEP17	B 1 PD05DEC17	6940.50	6786.25	USD	-3,856.25	
	NET +5 AVG BGHT 6937.80				-18,943.75	0.00
08SEP17	S 1 PD08DEC17	6940.50	6787.71	USD	3,819.75	
08SEP17	S 1 PD08DEC17	6941.00	6787.71	USD	3,832.25	
08SEP17	S 3 PD08DEC17	6942.00	6787.71	USD	11,571.75	
08SEP17	S 4 PD08DEC17	6801.00	6787.71	USD	1,329.00	
08SEP17	S 1 PD08DEC17	6800.00	6787.71	USD	307.25	
	NET -10 AVG SOLD 6871.15				20,860.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118D

11th October 2017

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OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> =96,238.75
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-97,715.00	-97,715.00	-97,715.00	-97,715.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	97,783.87	97,783.87
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	97,783.87	97,783.87
Initial Margins	-103,758.00	-103,758.00
Excess/(Shortage)	-5,974.13	-5,974.13
FOR INFORMATION ONLY		
Forward Unrealised P&L	-97,715.00	-97,715.00
Net Liquidating Value	68.87	68.87

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	5920.50	6755.20	USD	20,867.50	
12JUL17	B	2	PD120CT17	5917.00	6755.20	USD	41,910.00	
12JUL17	B	2	PD120CT17	5918.00	6755.20	USD	41,860.00	
10OCT17	S	5	PD120CT17	6706.25	6755.20	USD	-6,118.75	
	NET	0 AVG BGHT 5918.10	SOLD 6706.25				98,518.75	0.00
13JUL17	S	3	PD130CT17	5875.00	6756.00	USD	-66,075.00	
13JUL17	S	1	PD130CT17	5872.00	6756.00	USD	-22,100.00	
13JUL17	S	1	PD130CT17	5873.00	6756.00	USD	-22,075.00	
26SEP17	B	5	PD130CT17	6366.62	6756.00	USD	48,672.50	
	NET	0 AVG BGHT 6366.62	SOLD 5874.00				-61,577.50	0.00
17JUL17	B	1	PD170CT17	6001.00	6759.20	USD	18,955.00	
17JUL17	B	1	PD170CT17	6016.00	6759.20	USD	18,580.00	
17JUL17	B	1	PD170CT17	6018.00	6759.20	USD	18,530.00	
17JUL17	B	1	PD170CT17	6018.50	6759.20	USD	18,517.50	
17JUL17	B	1	PD170CT17	6019.50	6759.20	USD	18,492.50	
	NET	+5 AVG BGHT 6014.60					93,075.00	0.00
18JUL17	B	1	PD180CT17	6001.00	6760.00	USD	18,975.00	
18JUL17	B	1	PD180CT17	6003.50	6760.00	USD	18,912.50	
18JUL17	B	1	PD180CT17	6011.00	6760.00	USD	18,725.00	
18JUL17	S	1	PD180CT17	5985.50	6760.00	USD	-19,362.50	
18JUL17	S	2	PD180CT17	5986.00	6760.00	USD	-38,700.00	
	NET	0 AVG BGHT 6005.17	SOLD 5985.83				-1,450.00	0.00
19JUL17	S	1	PD190CT17	5974.00	6760.88	USD	-19,672.00	
19JUL17	S	1	PD190CT17	5966.50	6760.88	USD	-19,859.50	
	NET	-2 AVG SOLD 5970.25					-39,531.50	0.00
20JUL17	S	1	PD200CT17	5970.00	6761.75	USD	-19,793.75	
20JUL17	S	2	PD200CT17	5973.50	6761.75	USD	-39,412.50	
20JUL17	B	1	PD200CT17	5975.50	6761.75	USD	19,656.25	
20JUL17	B	1	PD200CT17	5976.00	6761.75	USD	19,643.75	
20JUL17	S	1	PD200CT17	5960.00	6761.75	USD	-20,043.75	
20JUL17	S	1	PD200CT17	5962.00	6761.75	USD	-19,993.75	
21JUL17	B	1	PD200CT17	6002.00	6761.75	USD	18,993.75	
21JUL17	B	1	PD200CT17	6003.00	6761.75	USD	18,968.75	
21JUL17	B	1	PD200CT17	6003.50	6761.75	USD	18,956.25	
21JUL17	B	1	PD200CT17	6005.00	6761.75	USD	18,918.75	
21JUL17	B	1	PD200CT17	6008.50	6761.75	USD	18,831.25	
21JUL17	B	5	PD200CT17	6035.00	6761.75	USD	90,843.75	
21JUL17	S	1	PD200CT17	6042.50	6761.75	USD	-17,981.25	
21JUL17	B	1	PD200CT17	6032.50	6761.75	USD	18,231.25	
21JUL17	S	1	PD200CT17	5990.00	6761.75	USD	-19,293.75	
21JUL17	B	1	PD200CT17	5995.00	6761.75	USD	19,168.75	
21JUL17	S	5	PD200CT17	6000.00	6761.75	USD	-95,218.75	
21JUL17	S	1	PD200CT17	5995.00	6761.75	USD	-19,168.75	
21JUL17	S	1	PD200CT17	5994.50	6761.75	USD	-19,181.25	
21JUL17	S	1	PD200CT17	5999.50	6761.75	USD	-19,056.25	
21JUL17	S	2	PD200CT17	5997.50	6761.75	USD	-38,212.50	
	NET	-3 AVG BGHT 6012.57	SOLD 5991.50				-65,143.75	0.00
24JUL17	S	1	PD240CT17	6019.00	6765.25	USD	-18,656.25	
24JUL17	B	1	PD240CT17	6025.00	6765.25	USD	18,506.25	
24JUL17	S	1	PD240CT17	6016.00	6765.25	USD	-18,731.25	
24JUL17	B	1	PD240CT17	6017.00	6765.25	USD	18,706.25	
	NET	0 AVG BGHT 6021.00	SOLD 6017.50				-175.00	0.00
25JUL17	B	2	PD250CT17	6125.50	6765.68	USD	32,009.00	
25JUL17	B	3	PD250CT17	6148.00	6765.68	USD	46,326.00	
25JUL17	B	1	PD250CT17	6160.50	6765.68	USD	15,129.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118E

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD25OCT17	6172.50	6765.68	USD	-14,829.50	
25JUL17	S	1	PD25OCT17	6184.50	6765.68	USD	-14,529.50	
25JUL17	S	1	PD25OCT17	6173.50	6765.68	USD	-14,804.50	
25JUL17	S	1	PD25OCT17	6194.00	6765.68	USD	-14,292.00	
25JUL17	B	1	PD25OCT17	6215.50	6765.68	USD	13,754.50	
25JUL17	B	1	PD25OCT17	6212.00	6765.68	USD	13,842.00	
25JUL17	B	2	PD25OCT17	6213.00	6765.68	USD	27,634.00	
25JUL17	B	3	PD25OCT17	6215.00	6765.68	USD	41,301.00	
25JUL17	B	1	PD25OCT17	6224.00	6765.68	USD	13,542.00	
	NET	+10 AVG BGHT 6184.14	SOLD 6181.13				145,082.50	0.00
26JUL17	B	1	PD26OCT17	6300.00	6766.11	USD	11,652.75	
26JUL17	B	1	PD26OCT17	6350.50	6766.11	USD	10,390.25	
26JUL17	S	2	PD26OCT17	6300.00	6766.11	USD	-23,305.50	
26JUL17	S	1	PD26OCT17	6300.50	6766.11	USD	-11,640.25	
26JUL17	S	1	PD26OCT17	6348.50	6766.11	USD	-10,440.25	
26JUL17	B	1	PD26OCT17	6359.50	6766.11	USD	10,165.25	
26JUL17	S	1	PD26OCT17	6333.50	6766.11	USD	-10,815.25	
26JUL17	S	1	PD26OCT17	6338.00	6766.11	USD	-10,702.75	
26JUL17	S	1	PD26OCT17	6349.00	6766.11	USD	-10,427.75	
26JUL17	S	1	PD26OCT17	6350.00	6766.11	USD	-10,402.75	
26JUL17	B	1	PD26OCT17	6306.00	6766.11	USD	11,502.75	
26JUL17	B	4	PD26OCT17	6303.00	6766.11	USD	46,311.00	
	NET	0 AVG BGHT 6316.00	SOLD 6327.44				2,287.50	0.00
27JUL17	S	1	PD27OCT17	6331.50	6766.54	USD	-10,876.00	
27JUL17	S	2	PD27OCT17	6332.50	6766.54	USD	-21,702.00	
27JUL17	S	1	PD27OCT17	6338.50	6766.54	USD	-10,701.00	
27JUL17	S	1	PD27OCT17	6339.00	6766.54	USD	-10,688.50	
26SEP17	B	5	PD27OCT17	6377.45	6766.54	USD	48,636.25	
	NET	0 AVG BGHT 6377.45	SOLD 6334.80				-5,331.25	0.00
31JUL17	S	2	PD31OCT17	6397.00	6768.25	USD	-18,562.50	
31JUL17	S	6	PD31OCT17	6399.00	6768.25	USD	-55,387.50	
31JUL17	S	3	PD31OCT17	6400.00	6768.25	USD	-27,618.75	
31JUL17	S	3	PD31OCT17	6400.50	6768.25	USD	-27,581.25	
31JUL17	S	1	PD31OCT17	6401.00	6768.25	USD	-9,181.25	
10OCT17	B	5	PD31OCT17	6721.95	6768.25	USD	5,787.50	
	NET	-10 AVG BGHT 6721.95	SOLD 6399.37				-132,543.75	0.00
01AUG17	B	1	PD01NOV17	6378.50	6769.18	USD	9,767.00	
01AUG17	S	1	PD01NOV17	6352.50	6769.18	USD	-10,417.00	
01AUG17	B	1	PD01NOV17	6354.00	6769.18	USD	10,379.50	
01AUG17	B	1	PD01NOV17	6353.50	6769.18	USD	10,392.00	
01AUG17	S	1	PD01NOV17	6342.00	6769.18	USD	-10,679.50	
01AUG17	S	1	PD01NOV17	6337.00	6769.18	USD	-10,804.50	
	NET	0 AVG BGHT 6362.00	SOLD 6343.83				-1,362.50	0.00
02AUG17	B	5	PD02NOV17	6357.00	6770.10	USD	51,637.50	
	NET	+5 AVG BGHT 6357.00					51,637.50	0.00
03AUG17	S	5	PD03NOV17	6330.00	6771.03	USD	-55,128.75	
	NET	-5 AVG SOLD 6330.00					-55,128.75	0.00
08AUG17	B	1	PD08NOV17	6424.00	6775.65	USD	8,791.25	
08AUG17	B	4	PD08NOV17	6426.00	6775.65	USD	34,965.00	
	NET	+5 AVG BGHT 6425.60					43,756.25	0.00
31AUG17	S	2	PD30NOV17	6788.00	6783.72	USD	214.00	
31AUG17	S	1	PD30NOV17	6789.00	6783.72	USD	132.00	
31AUG17	S	1	PD30NOV17	6791.00	6783.72	USD	182.00	
31AUG17	S	1	PD30NOV17	6785.00	6783.72	USD	32.00	
	NET	-5 AVG SOLD 6788.20					560.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 1 PD04DEC17	6920.50	6785.75	USD	-3,368.75	
04SEP17	B 4 PD04DEC17	6921.00	6785.75	USD	-13,525.00	
	NET +5 AVG BGHT 6920.90				-16,893.75	0.00
08SEP17	S 1 PD08DEC17	6825.00	6787.71	USD	932.25	
08SEP17	S 1 PD08DEC17	6830.00	6787.71	USD	1,057.25	
08SEP17	S 1 PD08DEC17	6832.00	6787.71	USD	1,107.25	
08SEP17	S 2 PD08DEC17	6823.00	6787.71	USD	1,764.50	
	NET -5 AVG SOLD 6826.60				4,861.25	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
62,928.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	60,641.00	60,641.00	60,641.00	60,641.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-66,022.99	-66,022.99
- Options	0.00	0.00
TOTAL EQUITY	-66,022.99	-66,022.99
Initial Margins	0.00	0.00
Excess/(Shortage)	-66,022.99	-66,022.99
FOR INFORMATION ONLY		
Forward Unrealised P&L	60,641.00	60,641.00
Net Liquidating Value	-5,381.99	-5,381.99

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-995.77	-995.77
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-995.77	-995.77
Initial Margins	0.00	0.00
Excess/(Shortage)	-995.77	-995.77
FOR INFORMATION ONLY		
Net Liquidating Value	-995.77	-995.77

OPEN POSITION STATEMENT



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SAP0118G

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Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	5879.50	6756.00	USD	-21,912.50	
14JUL17	S	1	PD130CT17	5880.00	6756.00	USD	-21,900.00	
14JUL17	S	2	PD130CT17	5880.50	6756.00	USD	-43,775.50	
14JUL17	S	1	PD130CT17	5883.50	6756.00	USD	-21,812.50	
18SEP17	B	5	PD130CT17	6493.95	6756.00	USD	32,756.25	
	NET	0 AVG	BGHT 6493.95	SOLD 5880.80			-76,643.75	0.00
17JUL17	S	5	PD170CT17	5980.00	6759.20	USD	-97,400.00	
20SEP17	B	5	PD170CT17	6499.75	6759.20	USD	32,431.25	
	NET	0 AVG	BGHT 6499.75	SOLD 5980.00			-64,968.75	0.00
25JUL17	B	4	PD250CT17	6223.00	6765.68	USD	54,268.00	
25JUL17	B	3	PD250CT17	6224.50	6765.68	USD	40,588.50	
25JUL17	B	3	PD250CT17	6224.00	6765.68	USD	40,626.00	
20SEP17	S	10	PD250CT17	6503.79	6765.68	USD	-65,472.50	
	NET	0 AVG	BGHT 6223.75	SOLD 6503.79			70,010.00	0.00
28JUL17	S	1	PD270CT17	6319.00	6766.54	USD	-11,188.50	
28JUL17	S	1	PD270CT17	6320.00	6766.54	USD	-11,163.50	
28JUL17	S	3	PD270CT17	6324.00	6766.54	USD	-33,190.50	
28JUL17	S	1	PD270CT17	6329.00	6766.54	USD	-10,938.50	
28JUL17	S	3	PD270CT17	6330.00	6766.54	USD	-32,740.50	
28JUL17	S	1	PD270CT17	6330.50	6766.54	USD	-10,901.00	
20SEP17	B	10	PD270CT17	6504.56	6766.54	USD	65,495.00	
	NET	0 AVG	BGHT 6504.56	SOLD 6326.05			-44,627.50	0.00
31JUL17	S	3	PD310CT17	6394.00	6768.25	USD	-28,068.75	
31JUL17	S	2	PD310CT17	6394.50	6768.25	USD	-18,687.50	
20SEP17	B	5	PD310CT17	6505.70	6768.25	USD	32,818.75	
	NET	0 AVG	BGHT 6505.70	SOLD 6394.20			-13,937.50	0.00
04SEP17	B	4	PD04DEC17	6911.50	6785.75	USD	-12,575.00	
04SEP17	B	1	PD04DEC17	6916.50	6785.75	USD	-3,268.75	
	NET	+5 AVG	BGHT 6912.50				-15,843.75	0.00
05SEP17	B	2	PD05DEC17	6938.00	6786.25	USD	-7,587.50	
05SEP17	B	1	PD05DEC17	6937.00	6786.25	USD	-3,768.75	
05SEP17	B	1	PD05DEC17	6938.50	6786.25	USD	-3,806.25	
05SEP17	B	1	PD05DEC17	6940.00	6786.25	USD	-3,843.75	
	NET	+5 AVG	BGHT 6938.30				-19,006.25	0.00
08SEP17	S	1	PD08DEC17	6928.50	6787.71	USD	3,519.75	
08SEP17	S	1	PD08DEC17	6929.50	6787.71	USD	3,544.75	
08SEP17	S	1	PD08DEC17	6936.00	6787.71	USD	3,707.25	
08SEP17	S	2	PD08DEC17	6936.50	6787.71	USD	7,439.50	
08SEP17	S	1	PD08DEC17	6797.00	6787.71	USD	232.25	
08SEP17	S	1	PD08DEC17	6805.00	6787.71	USD	432.25	
08SEP17	S	1	PD08DEC17	6803.50	6787.71	USD	394.75	
08SEP17	S	1	PD08DEC17	6804.00	6787.71	USD	407.25	
08SEP17	S	2	PD08DEC17	6801.50	6787.71	USD	689.50	
08SEP17	S	2	PD08DEC17	6801.00	6787.71	USD	664.50	
08SEP17	S	1	PD08DEC17	6802.00	6787.71	USD	357.25	
08SEP17	S	1	PD08DEC17	6788.00	6787.71	USD	7.25	
	NET	-15 AVG	SOLD 6844.77				21,396.25	0.00
12SEP17	B	2	PD12DEC17	6667.00	6789.63	USD	6,131.50	
12SEP17	B	1	PD12DEC17	6668.00	6789.63	USD	3,040.75	
12SEP17	B	2	PD12DEC17	6667.50	6789.63	USD	6,106.50	
	NET	+5 AVG	BGHT 6667.40				15,278.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> -128,155.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-128,342.50	-128,342.50	-128,342.50	-128,342.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	124,544.82	124,544.82
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	124,544.82	124,544.82
Initial Margins	-131,715.50	-131,715.50
Excess/(Shortage)	-7,170.68	-7,170.68
FOR INFORMATION ONLY		
Forward Unrealised P&L	-128,342.50	-128,342.50
Net Liquidating Value	-3,797.68	-3,797.68

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	5878.00	6756.00	USD	-21,950.00	
14JUL17	B	2	PD130CT17	5883.00	6756.00	USD	43,650.00	
14JUL17	B	1	PD130CT17	5883.50	6756.00	USD	21,812.50	
14JUL17	B	2	PD130CT17	5882.00	6756.00	USD	43,700.00	
14JUL17	B	1	PD130CT17	5909.00	6756.00	USD	21,175.00	
14JUL17	B	1	PD130CT17	5908.50	6756.00	USD	21,187.50	
14JUL17	B	1	PD130CT17	5906.50	6756.00	USD	21,237.50	
14JUL17	B	1	PD130CT17	5906.00	6756.00	USD	21,250.00	
14JUL17	B	1	PD130CT17	5901.50	6756.00	USD	21,362.50	
11OCT17	S	9	PD130CT17	6756.00	6756.00	USD	0.00	
	NET	0AVG BGHT 5894.50	SOLD 6668.20				193,425.00	0.00
25JUL17	B	1	PD250CT17	6267.50	6765.68	USD	12,454.50	
25JUL17	B	3	PD250CT17	6266.50	6765.68	USD	37,438.50	
25JUL17	B	1	PD250CT17	6266.50	6765.68	USD	12,479.50	
	NET	+5AVG BGHT 6266.70					62,372.50	0.00
26JUL17	S	1	PD260CT17	6326.00	6766.11	USD	-11,002.75	
26JUL17	S	1	PD260CT17	6340.50	6766.11	USD	-10,640.25	
26JUL17	S	1	PD260CT17	6344.00	6766.11	USD	-10,552.75	
26JUL17	S	1	PD260CT17	6378.00	6766.11	USD	-9,702.75	
26JUL17	S	1	PD260CT17	6381.00	6766.11	USD	-9,627.75	
26JUL17	B	2	PD260CT17	6275.00	6766.11	USD	24,555.50	
26JUL17	B	1	PD260CT17	6274.00	6766.11	USD	12,302.75	
26JUL17	B	2	PD260CT17	6269.50	6766.11	USD	24,830.50	
26JUL17	B	3	PD260CT17	6312.00	6766.11	USD	34,058.25	
26JUL17	B	2	PD260CT17	6312.50	6766.11	USD	22,680.50	
26JUL17	S	1	PD260CT17	6310.00	6766.11	USD	-11,402.75	
26JUL17	S	1	PD260CT17	6311.00	6766.11	USD	-11,377.75	
26JUL17	S	1	PD260CT17	6320.50	6766.11	USD	-11,140.25	
26JUL17	S	1	PD260CT17	6312.00	6766.11	USD	-11,352.75	
26JUL17	S	1	PD260CT17	6313.00	6766.11	USD	-11,327.75	
26JUL17	S	1	PD260CT17	6331.00	6766.11	USD	-10,877.75	
26JUL17	S	1	PD260CT17	6341.00	6766.11	USD	-10,627.75	
26JUL17	S	1	PD260CT17	6342.00	6766.11	USD	-10,602.75	
26JUL17	S	2	PD260CT17	6323.50	6766.11	USD	-22,130.50	
20SEP17	B	5	PD260CT17	6504.27	6766.11	USD	32,730.00	
	NET	0AVG BGHT 6363.02	SOLD 6333.13				-11,208.75	0.00
27JUL17	S	1	PD270CT17	6355.00	6766.54	USD	-10,288.50	
27JUL17	B	1	PD270CT17	6322.00	6766.54	USD	11,113.50	
	NET	0AVG BGHT 6322.00	SOLD 6355.00				825.00	0.00
31JUL17	S	1	PD310CT17	6344.50	6768.25	USD	-10,593.75	
31JUL17	S	3	PD310CT17	6345.50	6768.25	USD	-31,706.25	
31JUL17	S	1	PD310CT17	6346.50	6768.25	USD	-10,543.75	
20SEP17	B	4	PD310CT17	6505.70	6768.25	USD	26,255.00	
26SEP17	B	1	PD310CT17	6380.45	6768.25	USD	9,695.00	
	NET	0AVG BGHT 6480.65	SOLD 6345.50				-16,893.75	0.00
02AUG17	B	3	PD02NOV17	6338.00	6770.10	USD	32,407.50	
02AUG17	B	2	PD02NOV17	6338.50	6770.10	USD	21,580.00	
02AUG17	S	1	PD02NOV17	6341.00	6770.10	USD	-10,727.50	
02AUG17	S	1	PD02NOV17	6335.50	6770.10	USD	-10,865.00	
02AUG17	S	1	PD02NOV17	6339.00	6770.10	USD	-10,777.50	
02AUG17	S	1	PD02NOV17	6331.00	6770.10	USD	-10,977.50	
02AUG17	S	1	PD02NOV17	6330.00	6770.10	USD	-11,002.50	
02AUG17	S	1	PD02NOV17	6330.50	6770.10	USD	-10,990.00	
02AUG17	S	2	PD02NOV17	6327.00	6770.10	USD	-22,155.00	
02AUG17	S	1	PD02NOV17	6319.50	6770.10	USD	-11,265.00	
02AUG17	S	1	PD02NOV17	6313.00	6770.10	USD	-11,427.50	

OPEN POSITION STATEMENT



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SAP01181

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6340.50	6770.10	USD	-10,740.00	
02AUG17	S	1	PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	B	2	PD02NOV17	6335.00	6770.10	USD	21,755.00	
02AUG17	B	3	PD02NOV17	6331.00	6770.10	USD	32,932.50	
02AUG17	B	1	PD02NOV17	6333.50	6770.10	USD	10,915.00	
02AUG17	B	1	PD02NOV17	6334.50	6770.10	USD	10,890.00	
	NET	0 AVG	BGHT 6335.17	SOLD 6331.33			-1,150.00	0.00
03AUG17	S	1	PD03NOV17	6314.00	6771.03	USD	-11,425.75	
03AUG17	S	2	PD03NOV17	6318.50	6771.03	USD	-22,626.50	
03AUG17	S	2	PD03NOV17	6319.50	6771.03	USD	-22,576.50	
04AUG17	S	1	PD03NOV17	6326.50	6771.03	USD	-11,113.25	
04AUG17	S	4	PD03NOV17	6321.00	6771.03	USD	-45,003.00	
26SEP17	B	10	PD03NOV17	6382.70	6771.03	USD	97,082.50	
	NET	0 AVG	BGHT 6382.70	SOLD 6320.05			-15,662.50	0.00
07AUG17	S	1	PD07NOV17	6349.00	6774.73	USD	-10,643.25	
07AUG17	S	1	PD07NOV17	6352.50	6774.73	USD	-10,555.75	
07AUG17	B	1	PD07NOV17	6387.50	6774.73	USD	9,680.75	
07AUG17	B	1	PD07NOV17	6386.50	6774.73	USD	9,705.75	
07AUG17	B	3	PD07NOV17	6385.50	6774.73	USD	29,192.25	
07AUG17	B	1	PD07NOV17	6397.50	6774.73	USD	9,430.75	
07AUG17	B	1	PD07NOV17	6439.50	6774.73	USD	8,380.75	
07AUG17	B	1	PD07NOV17	6434.50	6774.73	USD	8,505.75	
07AUG17	B	2	PD07NOV17	6425.00	6774.73	USD	17,486.50	
	NET	+8 AVG	BGHT 6405.20	SOLD 6350.75			71,183.50	0.00
11AUG17	S	2	PD10NOV17	6365.50	6777.50	USD	-20,600.00	
11AUG17	S	1	PD10NOV17	6366.50	6777.50	USD	-10,275.00	
11AUG17	S	3	PD10NOV17	6363.50	6777.50	USD	-31,050.00	
11AUG17	S	2	PD10NOV17	6364.50	6777.50	USD	-20,650.00	
11AUG17	S	1	PD10NOV17	6361.50	6777.50	USD	-10,400.00	
11AUG17	S	1	PD10NOV17	6362.50	6777.50	USD	-10,375.00	
11AUG17	S	1	PD10NOV17	6378.00	6777.50	USD	-9,987.50	
11AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	1	PD10NOV17	6431.00	6777.50	USD	-8,662.50	
02OCT17	B	6	PD10NOV17	6473.91	6777.50	USD	45,538.50	
11OCT17	B	7	PD10NOV17	6777.70	6777.50	USD	-35.00	
	NET	0 AVG	BGHT 6637.49	SOLD 6373.85			-85,684.00	0.00
14AUG17	B	3	PD14NOV17	6398.00	6776.83	USD	28,412.25	
14AUG17	B	1	PD14NOV17	6400.00	6776.83	USD	9,420.75	
14AUG17	B	1	PD14NOV17	6399.00	6776.83	USD	9,445.75	
14AUG17	B	1	PD14NOV17	6401.50	6776.83	USD	9,383.25	
14AUG17	B	1	PD14NOV17	6406.00	6776.83	USD	9,270.75	
14AUG17	B	3	PD14NOV17	6405.00	6776.83	USD	27,887.25	
	NET	+10 AVG	BGHT 6401.55				93,820.00	0.00
23AUG17	B	5	PD24NOV17	6558.50	6780.52	USD	27,752.50	
	NET	+5 AVG	BGHT 6558.50				27,752.50	0.00
29AUG17	S	1	PD29NOV17	6806.50	6783.18	USD	583.00	
29AUG17	S	3	PD29NOV17	6812.00	6783.18	USD	2,161.50	
29AUG17	S	1	PD29NOV17	6802.00	6783.18	USD	470.50	
11OCT17	B	2	PD29NOV17	6783.38	6783.18	USD	-10.00	
	NET	-3 AVG	BGHT 6783.38	SOLD 6808.90			3,205.00	0.00
31AUG17	S	1	PD30NOV17	6808.00	6783.72	USD	607.00	
31AUG17	S	1	PD30NOV17	6801.00	6783.72	USD	432.00	
31AUG17	S	1	PD30NOV17	6800.00	6783.72	USD	407.00	
31AUG17	B	2	PD30NOV17	6841.50	6783.72	USD	-2,889.00	
31AUG17	S	1	PD30NOV17	6810.00	6783.72	USD	657.00	

OPEN POSITION STATEMENT



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SAP0118I

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
31AUG17	S 1 PD30NOV17	6790.00	6783.72	USD	157.00	
31AUG17	S 1 PD30NOV17	6786.00	6783.72	USD	57.00	
31AUG17	S 1 PD30NOV17	6784.50	6783.72	USD	19.50	
31AUG17	S 1 PD30NOV17	6783.50	6783.72	USD	-5.50	
	NET -6 AVG BGHT 6841.50 SOLD 6795.38				-558.00	0.00
01SEP17	S 1 PD01DEC17	6806.50	6784.25	USD	556.25	
01SEP17	S 1 PD01DEC17	6816.50	6784.25	USD	806.25	
	NET -2 AVG SOLD 6811.50				1,362.50	0.00
04SEP17	B 3 PD04DEC17	6906.00	6785.75	USD	-9,018.75	
	NET +3 AVG BGHT 6906.00				-9,018.75	0.00
06SEP17	S 5 PD06DEC17	6911.00	6786.75	USD	15,531.25	
	NET -5 AVG SOLD 6911.00				15,531.25	0.00
08SEP17	S 1 PD08DEC17	6802.50	6787.71	USD	369.75	
08SEP17	S 1 PD08DEC17	6792.00	6787.71	USD	107.25	
08SEP17	S 1 PD08DEC17	6790.50	6787.71	USD	69.75	
08SEP17	S 1 PD08DEC17	6782.00	6787.71	USD	-142.75	
08SEP17	S 1 PD08DEC17	6783.00	6787.71	USD	-117.75	
08SEP17	S 1 PD08DEC17	6720.00	6787.71	USD	-1,692.75	
08SEP17	S 2 PD08DEC17	6720.50	6787.71	USD	-3,360.50	
	NET -8 AVG SOLD 6763.88				-4,767.00	0.00
11SEP17	S 1 PD11DEC17	6698.00	6789.15	USD	-2,278.75	
11SEP17	S 3 PD11DEC17	6697.00	6789.15	USD	-6,911.25	
	NET -4 AVG SOLD 6697.25				-9,190.00	0.00
12SEP17	S 1 PD12DEC17	6702.50	6789.63	USD	-2,178.25	
12SEP17	S 2 PD12DEC17	6701.50	6789.63	USD	-4,406.50	
	NET -3 AVG SOLD 6701.83				-6,584.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 316,932.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	308,759.75	308,759.75	308,759.75	308,759.75

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-309,278.06	-309,278.06
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-309,278.06	-309,278.06
Initial Margins	0.00	0.00
Excess/(Shortage)	-309,278.06	-309,278.06
FOR INFORMATION ONLY		
Forward Unrealised P&L	308,759.75	308,759.75
Net Liquidating Value	-518.31	-518.31

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	5918.50	6755.20	USD	20,917.50	
12JUL17	B	1	PD12OCT17	5919.50	6755.20	USD	20,892.50	
12JUL17	B	1	PD12OCT17	5921.00	6755.20	USD	20,855.00	
12JUL17	B	2	PD12OCT17	5921.50	6755.20	USD	41,685.00	
10OCT17	S	5	PD12OCT17	6706.25	6755.20	USD	-6,118.75	
	NET	0 AVG	BGHT 5920.40	SOLD 6706.25			98,231.25	0.00
13JUL17	S	2	PD13OCT17	5862.00	6756.00	USD	-44,700.00	
13JUL17	S	2	PD13OCT17	5864.00	6756.00	USD	-44,600.00	
13JUL17	S	1	PD13OCT17	5865.50	6756.00	USD	-22,262.50	
20SEP17	B	5	PD13OCT17	6497.97	6756.00	USD	32,253.75	
	NET	0 AVG	BGHT 6497.97	SOLD 5863.50			-79,308.75	0.00
17JUL17	B	2	PD17OCT17	6014.50	6759.20	USD	37,235.00	
17JUL17	B	2	PD17OCT17	6015.50	6759.20	USD	37,185.00	
17JUL17	B	3	PD17OCT17	6016.00	6759.20	USD	55,740.00	
17JUL17	B	1	PD17OCT17	6016.50	6759.20	USD	18,567.50	
17JUL17	B	2	PD17OCT17	6017.00	6759.20	USD	37,110.00	
	NET	+10 AVG	BGHT 6015.85				185,837.50	0.00
25JUL17	B	1	PD25OCT17	6209.50	6765.68	USD	13,904.50	
25JUL17	B	1	PD25OCT17	6210.50	6765.68	USD	13,879.50	
25JUL17	B	1	PD25OCT17	6212.00	6765.68	USD	13,842.50	
25JUL17	B	2	PD25OCT17	6211.00	6765.68	USD	27,734.00	
	NET	+5 AVG	BGHT 6210.80				69,360.00	0.00
26JUL17	B	2	PD26OCT17	6277.50	6766.11	USD	24,430.50	
26JUL17	B	3	PD26OCT17	6279.50	6766.11	USD	36,495.75	
	NET	+5 AVG	BGHT 6278.70				60,926.25	0.00
28JUL17	S	2	PD27OCT17	6322.50	6766.54	USD	-22,202.00	
28JUL17	S	1	PD27OCT17	6324.00	6766.54	USD	-11,063.50	
28JUL17	S	1	PD27OCT17	6326.50	6766.54	USD	-11,001.00	
28JUL17	S	1	PD27OCT17	6327.00	6766.54	USD	-10,988.50	
20SEP17	B	5	PD27OCT17	6504.56	6766.54	USD	32,747.50	
	NET	0 AVG	BGHT 6504.56	SOLD 6324.50			-22,507.50	0.00
31JUL17	S	1	PD31OCT17	6362.50	6768.25	USD	-10,143.75	
31JUL17	S	2	PD31OCT17	6363.00	6768.25	USD	-20,262.50	
31JUL17	S	1	PD31OCT17	6363.50	6768.25	USD	-10,118.75	
31JUL17	S	1	PD31OCT17	6365.00	6768.25	USD	-10,081.25	
20SEP17	B	5	PD31OCT17	6505.70	6768.25	USD	32,818.75	
	NET	0 AVG	BGHT 6505.70	SOLD 6363.40			-17,787.50	0.00
01AUG17	B	1	PD01NOV17	6339.50	6769.18	USD	10,742.00	
01AUG17	B	1	PD01NOV17	6341.50	6769.18	USD	10,692.00	
01AUG17	B	1	PD01NOV17	6344.00	6769.18	USD	10,629.50	
01AUG17	B	2	PD01NOV17	6340.00	6769.18	USD	21,459.00	
	NET	+5 AVG	BGHT 6341.00				53,522.50	0.00
02AUG17	S	2	PD02NOV17	6344.50	6770.10	USD	-21,280.00	
02AUG17	S	1	PD02NOV17	6345.50	6770.10	USD	-10,615.00	
02AUG17	S	1	PD02NOV17	6346.00	6770.10	USD	-10,602.50	
02AUG17	S	1	PD02NOV17	6348.00	6770.10	USD	-10,552.50	
20SEP17	B	5	PD02NOV17	6506.77	6770.10	USD	32,916.25	
	NET	0 AVG	BGHT 6506.77	SOLD 6345.70			-20,133.75	0.00
09AUG17	S	3	PD09NOV17	6481.50	6776.58	USD	-22,131.00	
09AUG17	S	1	PD09NOV17	6482.00	6776.58	USD	-7,364.50	
09AUG17	S	2	PD09NOV17	6483.00	6776.58	USD	-14,679.00	
09AUG17	S	1	PD09NOV17	6483.50	6776.58	USD	-7,327.50	
09AUG17	S	3	PD09NOV17	6484.00	6776.58	USD	-21,943.50	
09AUG17	S	1	PD09NOV17	6484.50	6776.58	USD	-7,302.00	
09AUG17	S	3	PD09NOV17	6486.00	6776.58	USD	-21,793.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118J

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	S 1 PD09NOV17	6486.50	6776.58	USD	-7,252.00	
10OCT17	B 5 PD09NOV17	6730.95	6776.58	USD	5,703.75	
	NET -10 AVG BGHT 6730.95 SOLD 6483.80				-104,088.75	0.00
11AUG17	S 1 PD10NOV17	6353.00	6777.50	USD	-10,612.50	
11AUG17	S 3 PD10NOV17	6353.50	6777.50	USD	-31,800.00	
11AUG17	S 1 PD10NOV17	6354.00	6777.50	USD	-10,587.50	
11AUG17	S 1 PD10NOV17	6355.00	6777.50	USD	-10,562.50	
11AUG17	S 1 PD10NOV17	6356.00	6777.50	USD	-10,537.50	
11AUG17	S 1 PD10NOV17	6357.50	6777.50	USD	-10,500.00	
11AUG17	S 2 PD10NOV17	6358.50	6777.50	USD	-20,950.00	
	NET -10 AVG SOLD 6355.30				-105,550.00	0.00
20SEP17	S 2 PD20DEC17	6523.50	6792.50	USD	-13,450.00	
20SEP17	S 1 PD20DEC17	6525.00	6792.50	USD	-6,687.50	
20SEP17	S 1 PD20DEC17	6526.00	6792.50	USD	-6,662.50	
20SEP17	S 1 PD20DEC17	6527.00	6792.50	USD	-6,637.50	
	NET -5 AVG SOLD 6525.00				-33,437.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD 95,225.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	85,063.75	85,063.75	85,063.75	85,063.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-75,323.24	-75,323.24
- Options	0.00	0.00
TOTAL EQUITY	-75,323.24	-75,323.24
Initial Margins	0.00	0.00
Excess/(Shortage)	-75,323.24	-75,323.24
FOR INFORMATION ONLY		
Forward Unrealised P&L	85,063.75	85,063.75
Net Liquidating Value	9,740.51	9,740.51

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB118**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-346,054.91	-346,054.91
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-346,054.91	-346,054.91
Initial Margins	0.00	0.00
Excess/(Shortage)	-346,054.91	-346,054.91
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	675,199.25	675,199.25
Net Liquidating Value	329,144.34	329,144.34

OPEN POSITION STATEMENT



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SAP0119A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6350.00	6771.03	USD	21,051.50	
04AUG17	B	1	PD03NOV17	6372.50	6771.03	USD	9,963.25	
04AUG17	B	1	PD03NOV17	6352.00	6771.03	USD	10,475.75	
04AUG17	B	1	PD03NOV17	6356.00	6771.03	USD	10,375.75	
04AUG17	B	2	PD03NOV17	6357.00	6771.03	USD	20,701.50	
04AUG17	B	1	PD03NOV17	6374.50	6771.03	USD	9,913.25	
04AUG17	B	9	PD03NOV17	6349.00	6771.03	USD	94,956.75	
04AUG17	B	2	PD03NOV17	6366.00	6771.03	USD	20,251.50	
04AUG17	B	3	PD03NOV17	6351.00	6771.03	USD	31,502.25	
04AUG17	B	9	PD03NOV17	6353.50	6771.03	USD	93,944.25	
04AUG17	B	1	PD03NOV17	6355.00	6771.03	USD	10,400.75	
04AUG17	B	5	PD03NOV17	6352.50	6771.03	USD	52,316.25	
04AUG17	B	6	PD03NOV17	6347.50	6771.03	USD	63,529.50	
04AUG17	B	3	PD03NOV17	6358.00	6771.03	USD	30,977.25	
04AUG17	B	15	PD03NOV17	6351.50	6771.03	USD	157,323.75	
04AUG17	B	3	PD03NOV17	6373.50	6771.03	USD	29,814.75	
04AUG17	B	1	PD03NOV17	6356.00	6771.03	USD	10,375.75	
04AUG17	B	4	PD03NOV17	6348.00	6771.03	USD	42,303.00	
04AUG17	B	1	PD03NOV17	6346.00	6771.03	USD	10,625.75	
04AUG17	S	8	PD03NOV17	6329.50	6771.03	USD	-88,306.00	
04AUG17	S	1	PD03NOV17	6330.50	6771.03	USD	-11,013.25	
04AUG17	S	2	PD03NOV17	6321.50	6771.03	USD	-22,476.50	
04AUG17	S	2	PD03NOV17	6322.50	6771.03	USD	-22,426.50	
04AUG17	S	1	PD03NOV17	6324.00	6771.03	USD	-11,175.75	
04AUG17	S	1	PD03NOV17	6325.00	6771.03	USD	-11,150.75	
04AUG17	S	2	PD03NOV17	6326.00	6771.03	USD	-22,251.50	
04AUG17	S	2	PD03NOV17	6327.00	6771.03	USD	-22,201.50	
04AUG17	S	4	PD03NOV17	6332.50	6771.03	USD	-43,853.00	
04AUG17	S	2	PD03NOV17	6331.50	6771.03	USD	-21,976.50	
04AUG17	B	1	PD03NOV17	6384.50	6771.03	USD	9,663.25	
04AUG17	B	2	PD03NOV17	6363.50	6771.03	USD	20,376.50	
04AUG17	S	1	PD03NOV17	6356.00	6771.03	USD	-10,375.75	
04AUG17	S	1	PD03NOV17	6357.00	6771.03	USD	-10,350.75	
04AUG17	S	1	PD03NOV17	6355.00	6771.03	USD	-10,400.75	
14AUG17	S	25	PD03NOV17	6393.09	6771.03	USD	-236,212.50	
25AUG17	S	20	PD03NOV17	6661.92	6771.03	USD	-54,555.00	
	NET	0AVG BGHT 6354.13	SOLD 6442.96				162,116.25	0.00
07AUG17	B	1	PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	B	1	PD07NOV17	6430.50	6774.73	USD	8,605.75	
07AUG17	B	1	PD07NOV17	6424.00	6774.73	USD	8,768.25	
07AUG17	B	7	PD07NOV17	6425.00	6774.73	USD	61,202.75	
07AUG17	B	5	PD07NOV17	6426.00	6774.73	USD	43,591.25	
04SEP17	S	15	PD07NOV17	6908.79	6774.73	USD	50,272.50	
	NET	0AVG BGHT 6425.83	SOLD 6908.79				181,108.75	0.00
08AUG17	S	7	PD08NOV17	6394.50	6775.65	USD	-66,701.25	
08AUG17	S	1	PD08NOV17	6392.50	6775.65	USD	-9,578.75	
08AUG17	S	1	PD08NOV17	6396.50	6775.65	USD	-9,478.75	
08AUG17	S	1	PD08NOV17	6400.00	6775.65	USD	-9,391.25	
08AUG17	S	4	PD08NOV17	6395.50	6775.65	USD	-38,015.00	
08AUG17	S	1	PD08NOV17	6394.50	6775.65	USD	-9,528.75	
08AUG17	B	3	PD08NOV17	6395.50	6775.65	USD	28,511.25	
08AUG17	B	10	PD08NOV17	6396.50	6775.65	USD	94,787.50	
08AUG17	B	2	PD08NOV17	6397.50	6775.65	USD	18,907.50	
08AUG17	B	1	PD08NOV17	6413.00	6775.65	USD	9,066.25	
08AUG17	B	2	PD08NOV17	6411.00	6775.65	USD	18,232.50	
08AUG17	B	2	PD08NOV17	6412.00	6775.65	USD	18,182.50	
08AUG17	B	10	PD08NOV17	6415.00	6775.65	USD	90,162.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	10	PD08NOV17	6414.00	6775.65	USD	90,412.50	
08AUG17	S	1	PD08NOV17	6407.00	6775.65	USD	-9,216.25	
08AUG17	B	3	PD08NOV17	6420.00	6775.65	USD	26,673.75	
08AUG17	B	2	PD08NOV17	6425.50	6775.65	USD	17,507.50	
08AUG17	B	1	PD08NOV17	6427.50	6775.65	USD	8,703.75	
08AUG17	B	1	PD08NOV17	6428.50	6775.65	USD	8,678.75	
08AUG17	B	5	PD08NOV17	6430.00	6775.65	USD	43,206.25	
08AUG17	B	5	PD08NOV17	6432.00	6775.65	USD	42,956.25	
08AUG17	B	3	PD08NOV17	6431.00	6775.65	USD	25,848.75	
08AUG17	B	2	PD08NOV17	6433.00	6775.65	USD	17,132.50	
08AUG17	B	9	PD08NOV17	6434.00	6775.65	USD	76,871.25	
08AUG17	B	2	PD08NOV17	6455.00	6775.65	USD	16,032.50	
08AUG17	B	2	PD08NOV17	6457.00	6775.65	USD	15,932.50	
08AUG17	B	1	PD08NOV17	6456.00	6775.65	USD	7,991.25	
04SEP17	S	10	PD08NOV17	6909.35	6775.65	USD	33,425.00	
11SEP17	S	25	PD08NOV17	6734.33	6775.65	USD	-25,825.00	
	NET	+25 AVG BGHT 6419.97	SOLD 6662.47				531,487.50	0.00
10AUG17	S	1	PD10NOV17	6452.00	6777.50	USD	-8,137.50	
10AUG17	S	3	PD10NOV17	6455.00	6777.50	USD	-24,187.50	
10AUG17	S	1	PD10NOV17	6453.00	6777.50	USD	-8,112.50	
10AUG17	S	2	PD10NOV17	6447.50	6777.50	USD	-16,500.00	
10AUG17	S	2	PD10NOV17	6445.00	6777.50	USD	-16,625.00	
10AUG17	S	1	PD10NOV17	6444.00	6777.50	USD	-8,337.50	
10AUG17	S	1	PD10NOV17	6446.50	6777.50	USD	-8,275.00	
10AUG17	S	1	PD10NOV17	6451.00	6777.50	USD	-8,162.50	
10AUG17	S	4	PD10NOV17	6449.50	6777.50	USD	-32,800.00	
10AUG17	S	3	PD10NOV17	6448.50	6777.50	USD	-24,675.00	
10AUG17	S	1	PD10NOV17	6453.50	6777.50	USD	-8,100.00	
10AUG17	S	2	PD10NOV17	6454.50	6777.50	USD	-16,150.00	
10AUG17	S	2	PD10NOV17	6452.50	6777.50	USD	-16,250.00	
10AUG17	S	1	PD10NOV17	6458.00	6777.50	USD	-7,987.50	
14AUG17	B	25	PD10NOV17	6395.78	6777.50	USD	238,575.00	
	NET	0 AVG BGHT 6395.78	SOLD 6450.62				34,275.00	0.00
14AUG17	B	1	PD14NOV17	6401.50	6776.83	USD	9,383.25	
14AUG17	B	1	PD14NOV17	6398.50	6776.83	USD	9,458.25	
14AUG17	B	2	PD14NOV17	6397.50	6776.83	USD	18,966.50	
14AUG17	B	1	PD14NOV17	6402.00	6776.83	USD	9,370.75	
14AUG17	B	1	PD14NOV17	6405.50	6776.83	USD	9,283.25	
14AUG17	B	1	PD14NOV17	6411.00	6776.83	USD	9,145.75	
14AUG17	B	2	PD14NOV17	6415.00	6776.83	USD	18,091.50	
14AUG17	B	1	PD14NOV17	6416.50	6776.83	USD	9,008.25	
14AUG17	B	3	PD14NOV17	6418.50	6776.83	USD	26,874.75	
14AUG17	B	2	PD14NOV17	6417.50	6776.83	USD	17,966.50	
14AUG17	B	1	PD14NOV17	6404.00	6776.83	USD	9,320.75	
14AUG17	B	2	PD14NOV17	6403.00	6776.83	USD	18,691.50	
14AUG17	B	3	PD14NOV17	6400.00	6776.83	USD	28,262.25	
14AUG17	B	4	PD14NOV17	6400.00	6776.83	USD	37,683.00	
	NET	+25 AVG BGHT 6406.42					231,506.25	0.00
18AUG17	S	2	PD17NOV17	6508.50	6776.78	USD	-13,414.00	
18AUG17	S	2	PD17NOV17	6506.00	6776.78	USD	-13,539.00	
18AUG17	S	1	PD17NOV17	6501.50	6776.78	USD	-6,882.00	
18AUG17	B	2	PD17NOV17	6487.00	6776.78	USD	14,489.00	
18AUG17	B	3	PD17NOV17	6486.00	6776.78	USD	21,808.50	
	NET	0 AVG BGHT 6486.40	SOLD 6506.10				2,462.50	0.00
24AUG17	S	1	PD24NOV17	6614.50	6780.52	USD	-4,150.50	
24AUG17	S	1	PD24NOV17	6623.00	6780.52	USD	-3,938.00	

OPEN POSITION STATEMENT



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SAP0119A

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	3	PD24NOV17	6622.00	6780.52	USD	-11,889.00	
24AUG17	S	1	PD24NOV17	6625.50	6780.52	USD	-3,875.50	
24AUG17	S	3	PD24NOV17	6618.50	6780.52	USD	-12,151.50	
24AUG17	S	3	PD24NOV17	6617.00	6780.52	USD	-12,264.00	
24AUG17	S	3	PD24NOV17	6616.00	6780.52	USD	-12,339.00	
24AUG17	S	2	PD24NOV17	6614.00	6780.52	USD	-8,326.00	
24AUG17	S	3	PD24NOV17	6611.50	6780.52	USD	-12,676.50	
25AUG17	B	20	PD24NOV17	6666.20	6780.52	USD	57,160.00	
	NET	0 AVG	BGHT 6666.20	SOLD 6617.30			-24,450.00	0.00
01SEP17	S	1	PD01DEC17	6818.00	6784.25	USD	843.75	
01SEP17	S	3	PD01DEC17	6819.00	6784.25	USD	2,606.25	
01SEP17	S	3	PD01DEC17	6814.50	6784.25	USD	2,268.75	
01SEP17	S	1	PD01DEC17	6815.50	6784.25	USD	781.25	
01SEP17	S	4	PD01DEC17	6820.00	6784.25	USD	3,575.00	
01SEP17	S	4	PD01DEC17	6824.50	6784.25	USD	4,025.00	
01SEP17	S	1	PD01DEC17	6823.50	6784.25	USD	981.25	
01SEP17	S	8	PD01DEC17	6822.00	6784.25	USD	7,550.00	
04SEP17	B	25	PD01DEC17	6916.01	6784.25	USD	-82,350.00	
	NET	0 AVG	BGHT 6916.01	SOLD 6820.46			-59,718.75	0.00
08SEP17	S	2	PD08DEC17	6820.00	6787.71	USD	1,614.50	
08SEP17	S	2	PD08DEC17	6821.00	6787.71	USD	1,664.50	
08SEP17	S	1	PD08DEC17	6823.50	6787.71	USD	894.75	
08SEP17	S	2	PD08DEC17	6824.50	6787.71	USD	1,839.50	
08SEP17	S	1	PD08DEC17	6827.50	6787.71	USD	994.75	
08SEP17	S	1	PD08DEC17	6830.50	6787.71	USD	1,069.75	
08SEP17	S	1	PD08DEC17	6825.00	6787.71	USD	932.25	
08SEP17	S	1	PD08DEC17	6826.00	6787.71	USD	957.25	
08SEP17	S	2	PD08DEC17	6828.50	6787.71	USD	2,039.50	
08SEP17	S	1	PD08DEC17	6829.50	6787.71	USD	1,044.75	
08SEP17	S	1	PD08DEC17	6817.50	6787.71	USD	744.75	
08SEP17	S	2	PD08DEC17	6818.50	6787.71	USD	1,539.50	
08SEP17	S	1	PD08DEC17	6816.50	6787.71	USD	719.75	
08SEP17	S	5	PD08DEC17	6815.00	6787.71	USD	3,411.25	
08SEP17	S	1	PD08DEC17	6805.50	6787.71	USD	444.75	
08SEP17	S	1	PD08DEC17	6829.50	6787.71	USD	1,044.75	
11SEP17	B	25	PD08DEC17	6746.70	6787.71	USD	25,631.25	
	NET	0 AVG	BGHT 6746.70	SOLD 6821.24			46,587.50	0.00
13SEP17	B	1	PD13DEC17	6595.00	6790.10	USD	4,877.50	
29SEP17	S	1	PD13DEC17	6475.98	6790.10	USD	-7,853.00	
	NET	0 AVG	BGHT 6595.00	SOLD 6475.98			-2,975.50	0.00
27SEP17	S	1	PD27DEC17	6454.00	6793.00	USD	-8,475.00	
29SEP17	B	1	PD27DEC17	6480.13	6793.00	USD	7,821.75	
	NET	0 AVG	BGHT 6480.13	SOLD 6454.00			-653.25	0.00
05OCT17	S	1	PD05JAN18	6691.00	6797.20	USD	-2,655.00	
05OCT17	S	1	PD05JAN18	6686.00	6797.20	USD	-2,780.00	
05OCT17	S	2	PD05JAN18	6704.50	6797.20	USD	-4,635.00	
	NET	-4 AVG	SOLD 6696.50				-10,070.00	0.00
10OCT17	B	1	PD10JAN18	6704.00	6799.53	USD	2,388.25	
10OCT17	B	2	PD10JAN18	6706.00	6799.53	USD	4,676.50	
10OCT17	B	1	PD10JAN18	6705.00	6799.53	USD	2,363.25	
	NET	+4 AVG	BGHT 6705.25				9,428.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
08AUG17	S	1	DEC17	293.500	309.550	USD	-4,012.50	
08AUG17	S	2	DEC17	293.600	309.550	USD	-7,975.00	
08AUG17	S	2	DEC17	293.650	309.550	USD	-7,950.00	

OPEN POSITION STATEMENT



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SAP0119A

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
08AUG17	S 11 DEC17	293.700	309.550	USD	-43,587.50	
08AUG17	S 7 DEC17	293.750	309.550	USD	-27,650.00	
08AUG17	S 6 DEC17	293.900	309.550	USD	-23,475.00	
08AUG17	S 2 DEC17	293.850	309.550	USD	-7,850.00	
08AUG17	S 14 DEC17	293.800	309.550	USD	-55,125.00	
14AUG17	S 2 DEC17	292.150	309.550	USD	-8,700.00	
14AUG17	S 3 DEC17	292.100	309.550	USD	-13,087.50	
14AUG17	S 1 DEC17	292.050	309.550	USD	-4,375.00	
14AUG17	S 2 DEC17	292.400	309.550	USD	-8,575.00	
14AUG17	S 4 DEC17	292.900	309.550	USD	-16,650.00	
14AUG17	S 3 DEC17	292.950	309.550	USD	-12,450.00	
14AUG17	S 3 DEC17	293.050	309.550	USD	-12,375.00	
14AUG17	S 6 DEC17	293.000	309.550	USD	-24,825.00	
14AUG17	S 3 DEC17	292.700	309.550	USD	-12,637.50	
14AUG17	S 2 DEC17	292.500	309.550	USD	-8,525.00	
14AUG17	S 15 DEC17	292.200	309.550	USD	-65,062.50	
14AUG17	S 3 DEC17	292.350	309.550	USD	-12,900.00	
14AUG17	S 8 DEC17	292.300	309.550	USD	-34,500.00	
13SEP17	S 2 DEC17	299.850	309.550	USD	-4,850.00	
10OCT17	S 2 DEC17	304.500	309.550	USD	-2,525.00	
10OCT17	S 2 DEC17	304.600	309.550	USD	-2,475.00	
10OCT17	S 4 DEC17	304.550	309.550	USD	-5,000.00	
	NET -110 AVG SOLD 294.018				-427,137.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,369,428.75
CMX Copper	FUTURES	8,085,487.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-427,137.50	-427,137.50	-427,137.50	-427,137.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	1,101,104.25	1,101,104.25	1,101,104.25	1,101,104.25

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-166,063.88	-166,063.88
Variation Margins	- Futures	-427,137.50	-427,137.50
	- Options	0.00	0.00
TOTAL EQUITY		-593,201.38	-593,201.38
Initial Margins		-346,500.00	-346,500.00
Excess/(Shortage)		-939,701.38	-939,701.38
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,101,104.25	1,101,104.25
Net Liquidating Value		507,902.87	507,902.87

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB119**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-166,063.88	-166,063.88
Variation Margins	-427,137.50	-427,137.50
- Futures	0.00	0.00
- Options		
TOTAL EQUITY	-593,201.38	-593,201.38
Initial Margins	-346,500.00	-346,500.00
Excess/(Shortage)	-939,701.38	-939,701.38
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	1,101,104.25	1,101,104.25
Net Liquidating Value	507,902.87	507,902.87

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD120CT17	5885.50	6755.20	USD	43,485.00	
12JUL17	B	1	PD120CT17	5894.00	6755.20	USD	21,530.00	
12JUL17	B	1	PD120CT17	5893.50	6755.20	USD	21,542.00	
12JUL17	B	1	PD120CT17	5893.00	6755.20	USD	21,555.00	
12JUL17	B	1	PD120CT17	5896.00	6755.20	USD	21,480.00	
12JUL17	B	1	PD120CT17	5897.00	6755.20	USD	21,455.00	
12JUL17	B	2	PD120CT17	5898.00	6755.20	USD	42,860.00	
12JUL17	S	1	PD120CT17	5907.50	6755.20	USD	-21,192.50	
12JUL17	S	4	PD120CT17	5902.00	6755.20	USD	-85,320.00	
12JUL17	S	1	PD120CT17	5894.50	6755.20	USD	-21,517.50	
12JUL17	B	1	PD120CT17	5885.50	6755.20	USD	21,742.50	
12JUL17	B	1	PD120CT17	5917.50	6755.20	USD	20,942.50	
12JUL17	B	1	PD120CT17	5919.00	6755.20	USD	20,905.00	
12JUL17	B	1	PD120CT17	5921.50	6755.20	USD	20,842.50	
12JUL17	B	3	PD120CT17	5920.50	6755.20	USD	62,602.50	
12JUL17	B	5	PD120CT17	5921.00	6755.20	USD	104,275.00	
04AUG17	S	5	PD120CT17	6367.62	6755.20	USD	-48,447.50	
09AUG17	S	5	PD120CT17	6446.87	6755.20	USD	-38,541.25	
30AUG17	S	5	PD120CT17	6753.12	6755.20	USD	-260.00	
	NET	0 AVG BGHT 5907.17	SOLD 6345.15				229,938.75	0.00
14JUL17	S	1	PD130CT17	5879.50	6756.00	USD	-21,912.50	
14JUL17	S	4	PD130CT17	5882.50	6756.00	USD	-87,350.00	
14JUL17	B	1	PD130CT17	5916.00	6756.00	USD	21,000.00	
14JUL17	B	1	PD130CT17	5919.00	6756.00	USD	20,925.00	
14JUL17	B	2	PD130CT17	5906.00	6756.00	USD	42,500.00	
14JUL17	B	1	PD130CT17	5929.00	6756.00	USD	20,675.00	
	NET	0 AVG BGHT 5915.20	SOLD 5881.90				-4,162.50	0.00
18JUL17	S	1	PD180CT17	5991.00	6760.00	USD	-19,225.00	
18JUL17	S	2	PD180CT17	5989.00	6760.00	USD	-38,550.00	
18JUL17	S	1	PD180CT17	5988.00	6760.00	USD	-19,300.00	
18JUL17	S	1	PD180CT17	5988.50	6760.00	USD	-19,287.50	
18JUL17	B	1	PD180CT17	5994.50	6760.00	USD	19,137.50	
18JUL17	B	2	PD180CT17	5997.00	6760.00	USD	38,150.00	
18JUL17	B	1	PD180CT17	5999.00	6760.00	USD	19,025.00	
18JUL17	B	1	PD180CT17	5998.00	6760.00	USD	19,050.00	
	NET	0 AVG BGHT 5997.10	SOLD 5989.10				-1,000.00	0.00
20JUL17	S	4	PD200CT17	5976.50	6761.75	USD	-78,525.00	
20JUL17	B	1	PD200CT17	5979.50	6761.75	USD	19,556.25	
20JUL17	B	1	PD200CT17	5981.50	6761.75	USD	19,506.25	
20JUL17	B	2	PD200CT17	5967.00	6761.75	USD	39,737.50	
	NET	0 AVG BGHT 5973.75	SOLD 5976.50				275.00	0.00
25JUL17	S	1	PD250CT17	6044.00	6765.68	USD	-18,042.00	
25JUL17	S	1	PD250CT17	6048.50	6765.68	USD	-17,929.50	
25JUL17	S	1	PD250CT17	6046.50	6765.68	USD	-17,979.50	
25JUL17	S	2	PD250CT17	6047.50	6765.68	USD	-35,909.00	
25JUL17	B	2	PD250CT17	6179.00	6765.68	USD	29,334.00	
25JUL17	B	3	PD250CT17	6181.50	6765.68	USD	43,813.50	
	NET	0 AVG BGHT 6180.50	SOLD 6046.80				-16,712.50	0.00
26JUL17	S	1	PD260CT17	6349.00	6766.11	USD	-10,427.75	
26JUL17	S	1	PD260CT17	6356.00	6766.11	USD	-10,252.75	
26JUL17	S	2	PD260CT17	6356.50	6766.11	USD	-20,480.50	
26JUL17	S	1	PD260CT17	6353.50	6766.11	USD	-10,315.25	
26JUL17	B	1	PD260CT17	6280.00	6766.11	USD	12,152.75	
26JUL17	B	2	PD260CT17	6271.50	6766.11	USD	24,730.50	
26JUL17	B	1	PD260CT17	6273.50	6766.11	USD	12,315.25	
26JUL17	B	2	PD260CT17	6274.50	6766.11	USD	24,580.50	

OPEN POSITION STATEMENT



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Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>						
26JUL17	S	1 PD26OCT17	6358.00	6766.11	USD	-10,202.75	
	NET	0 AVG BGHT 6274.25 SOLD 6354.92				12,100.00	0.00
28JUL17	S	1 PD27OCT17	6284.00	6766.54	USD	-12,063.50	
28JUL17	S	1 PD27OCT17	6284.50	6766.54	USD	-12,051.00	
28JUL17	S	1 PD27OCT17	6281.00	6766.54	USD	-12,138.50	
28JUL17	S	1 PD27OCT17	6280.50	6766.54	USD	-12,151.00	
28JUL17	S	1 PD27OCT17	6276.00	6766.54	USD	-12,263.50	
31JUL17	B	5 PD27OCT17	6367.95	6766.54	USD	49,823.75	
	NET	0 AVG BGHT 6367.95 SOLD 6281.20				-10,843.75	0.00
01AUG17	S	1 PD01NOV17	6355.50	6769.18	USD	-10,342.00	
01AUG17	S	1 PD01NOV17	6352.00	6769.18	USD	-10,429.50	
01AUG17	S	1 PD01NOV17	6354.00	6769.18	USD	-10,379.50	
01AUG17	S	1 PD01NOV17	6353.00	6769.18	USD	-10,404.50	
01AUG17	B	1 PD01NOV17	6346.50	6769.18	USD	10,567.00	
01AUG17	S	1 PD01NOV17	6353.00	6769.18	USD	-10,404.50	
01AUG17	S	1 PD01NOV17	6355.00	6769.18	USD	-10,354.50	
02AUG17	B	5 PD01NOV17	6351.87	6769.18	USD	52,163.75	
	NET	0 AVG BGHT 6350.98 SOLD 6353.75				416.25	0.00
02AUG17	S	1 PD02NOV17	6335.50	6770.10	USD	-10,865.00	
02AUG17	S	2 PD02NOV17	6335.00	6770.10	USD	-21,755.00	
02AUG17	S	2 PD02NOV17	6334.00	6770.10	USD	-21,805.00	
02AUG17	B	2 PD02NOV17	6337.00	6770.10	USD	21,655.00	
02AUG17	S	1 PD02NOV17	6339.00	6770.10	USD	-10,777.50	
02AUG17	S	1 PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	B	5 PD02NOV17	6352.00	6770.10	USD	52,262.50	
	NET	0 AVG BGHT 6347.71 SOLD 6336.36				-1,987.50	0.00
03AUG17	S	1 PD03NOV17	6314.50	6771.03	USD	-11,413.25	
03AUG17	S	3 PD03NOV17	6314.00	6771.03	USD	-34,277.25	
03AUG17	S	1 PD03NOV17	6316.50	6771.03	USD	-11,363.25	
04AUG17	B	5 PD03NOV17	6372.20	6771.03	USD	49,853.75	
	NET	0 AVG BGHT 6372.20 SOLD 6314.60				-7,200.00	0.00
07AUG17	S	1 PD07NOV17	6359.50	6774.73	USD	-10,380.75	
07AUG17	S	1 PD07NOV17	6365.00	6774.73	USD	-10,243.25	
07AUG17	S	1 PD07NOV17	6346.50	6774.73	USD	-10,705.75	
07AUG17	S	1 PD07NOV17	6353.50	6774.73	USD	-10,530.75	
07AUG17	S	1 PD07NOV17	6362.50	6774.73	USD	-10,305.75	
07AUG17	B	1 PD07NOV17	6440.00	6774.73	USD	8,368.25	
07AUG17	B	1 PD07NOV17	6442.50	6774.73	USD	8,305.75	
07AUG17	B	2 PD07NOV17	6429.50	6774.73	USD	17,261.50	
07AUG17	B	1 PD07NOV17	6423.50	6774.73	USD	8,780.75	
	NET	0 AVG BGHT 6433.00 SOLD 6357.40				-9,450.00	0.00
08AUG17	S	4 PD08NOV17	6393.00	6775.65	USD	-38,265.00	
08AUG17	S	1 PD08NOV17	6394.00	6775.65	USD	-9,541.25	
09AUG17	B	5 PD08NOV17	6454.82	6775.65	USD	40,103.75	
	NET	0 AVG BGHT 6454.82 SOLD 6393.20				-7,702.50	0.00
14AUG17	S	1 PD14NOV17	6407.50	6776.83	USD	-9,233.25	
14AUG17	S	1 PD14NOV17	6408.00	6776.83	USD	-9,220.75	
14AUG17	S	1 PD14NOV17	6410.50	6776.83	USD	-9,158.25	
14AUG17	S	1 PD14NOV17	6413.00	6776.83	USD	-9,095.75	
14AUG17	S	1 PD14NOV17	6415.00	6776.83	USD	-9,045.75	
14AUG17	B	2 PD14NOV17	6407.50	6776.83	USD	18,466.50	
14AUG17	B	3 PD14NOV17	6406.50	6776.83	USD	27,774.75	
	NET	0 AVG BGHT 6406.90 SOLD 6410.80				487.50	0.00
16AUG17	S	1 PD16NOV17	6367.50	6776.25	USD	-10,218.75	
16AUG17	S	1 PD16NOV17	6368.50	6776.25	USD	-10,193.75	

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16AUG17	S	1	PD16NOV17	6363.00	6776.25	USD	-10,331.25	
16AUG17	S	1	PD16NOV17	6366.50	6776.25	USD	-10,243.75	
16AUG17	S	1	PD16NOV17	6363.00	6776.25	USD	-10,331.25	
16AUG17	B	1	PD16NOV17	6490.00	6776.25	USD	7,156.25	
16AUG17	B	1	PD16NOV17	6489.00	6776.25	USD	7,181.25	
16AUG17	B	1	PD16NOV17	6487.00	6776.25	USD	7,231.25	
16AUG17	B	2	PD16NOV17	6486.00	6776.25	USD	14,512.50	
	NET	0 AVG	BGHT 6487.60	SOLD 6365.70			-15,237.50	0.00
17AUG17	B	1	PD17NOV17	6544.00	6776.78	USD	5,819.50	
17AUG17	B	1	PD17NOV17	6541.00	6776.78	USD	5,894.50	
17AUG17	B	2	PD17NOV17	6539.50	6776.78	USD	11,864.00	
17AUG17	B	1	PD17NOV17	6536.50	6776.78	USD	6,007.00	
17AUG17	B	2	PD17NOV17	6540.50	6776.78	USD	11,814.00	
17AUG17	B	3	PD17NOV17	6543.00	6776.78	USD	17,533.50	
18AUG17	S	6	PD17NOV17	6500.00	6776.78	USD	-41,517.00	
18AUG17	S	2	PD17NOV17	6501.00	6776.78	USD	-13,789.00	
18AUG17	S	2	PD17NOV17	6502.00	6776.78	USD	-13,739.00	
	NET	0 AVG	BGHT 6541.05	SOLD 6500.60			-10,112.50	0.00
21AUG17	S	2	PD21NOV17	6506.50	6778.92	USD	-13,621.00	
21AUG17	S	3	PD21NOV17	6507.50	6778.92	USD	-20,356.50	
21AUG17	B	1	PD21NOV17	6601.00	6778.92	USD	4,448.00	
21AUG17	B	1	PD21NOV17	6598.50	6778.92	USD	4,510.50	
21AUG17	B	1	PD21NOV17	6623.00	6778.92	USD	3,898.00	
21AUG17	B	1	PD21NOV17	6599.00	6778.92	USD	4,498.00	
21AUG17	B	1	PD21NOV17	6593.00	6778.92	USD	4,648.00	
	NET	0 AVG	BGHT 6602.90	SOLD 6507.10			-11,975.00	0.00
23AUG17	B	1	PD24NOV17	6568.00	6780.52	USD	5,313.00	
23AUG17	B	1	PD24NOV17	6564.50	6780.52	USD	5,400.50	
23AUG17	B	2	PD24NOV17	6562.50	6780.52	USD	10,901.00	
23AUG17	B	1	PD24NOV17	6561.50	6780.52	USD	5,475.50	
23AUG17	B	5	PD24NOV17	6560.00	6780.52	USD	27,565.00	
24AUG17	S	1	PD24NOV17	6617.00	6780.52	USD	-4,088.00	
24AUG17	S	3	PD24NOV17	6620.50	6780.52	USD	-12,001.50	
24AUG17	S	1	PD24NOV17	6619.00	6780.52	USD	-4,038.00	
24AUG17	S	1	PD24NOV17	6619.50	6780.52	USD	-4,025.50	
24AUG17	S	1	PD24NOV17	6624.50	6780.52	USD	-3,900.50	
24AUG17	S	2	PD24NOV17	6623.00	6780.52	USD	-7,876.00	
24AUG17	S	1	PD24NOV17	6616.50	6780.52	USD	-4,100.50	
	NET	0 AVG	BGHT 6561.90	SOLD 6620.40			14,625.00	0.00
29AUG17	S	1	PD29NOV17	6764.00	6783.18	USD	-479.50	
29AUG17	S	1	PD29NOV17	6765.50	6783.18	USD	-442.00	
29AUG17	S	1	PD29NOV17	6767.00	6783.18	USD	-404.50	
29AUG17	S	1	PD29NOV17	6763.50	6783.18	USD	-492.00	
29AUG17	S	1	PD29NOV17	6761.50	6783.18	USD	-542.00	
30AUG17	B	5	PD29NOV17	6768.95	6783.18	USD	1,778.75	
	NET	0 AVG	BGHT 6768.95	SOLD 6764.30			-581.25	0.00
26SEP17	B	2	PD27DEC17	6479.50	6793.00	USD	15,675.00	
26SEP17	B	2	PD27DEC17	6484.00	6793.00	USD	15,450.00	
26SEP17	B	1	PD27DEC17	6485.00	6793.00	USD	7,700.00	
26SEP17	B	1	PD27DEC17	6490.00	6793.00	USD	7,575.00	
26SEP17	B	1	PD27DEC17	6492.00	6793.00	USD	7,525.00	
26SEP17	B	3	PD27DEC17	6493.00	6793.00	USD	22,500.00	
	NET	+10 AVG	BGHT 6487.30				76,425.00	0.00
28SEP17	B	1	PD28DEC17	6559.50	6793.47	USD	5,849.25	
28SEP17	B	3	PD28DEC17	6557.00	6793.47	USD	17,735.25	
28SEP17	B	1	PD28DEC17	6552.00	6793.47	USD	6,036.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 1 PD28DEC17	6553.50	6793.47	USD	5,999.25	
28SEP17	B 2 PD28DEC17	6554.50	6793.47	USD	11,948.50	
28SEP17	B 2 PD28DEC17	6553.00	6793.47	USD	12,023.50	
	NET +10 AVG BGHT 6555.10				59,592.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
26SEP17	S 4 MAR18	296.350	311.550	USD	-15,200.00	
26SEP17	S 2 MAR18	296.600	311.550	USD	-7,475.00	
26SEP17	S 2 MAR18	296.550	311.550	USD	-7,500.00	
26SEP17	S 3 MAR18	296.650	311.550	USD	-11,175.00	
26SEP17	S 2 MAR18	296.900	311.550	USD	-7,325.00	
26SEP17	S 2 MAR18	296.950	311.550	USD	-7,300.00	
26SEP17	S 7 MAR18	297.050	311.550	USD	-25,375.00	
28SEP17	S 2 MAR18	300.050	311.550	USD	-5,750.00	
28SEP17	S 4 MAR18	299.950	311.550	USD	-11,600.00	
28SEP17	S 3 MAR18	300.000	311.550	USD	-8,662.50	
28SEP17	S 2 MAR18	299.800	311.550	USD	-5,875.00	
28SEP17	S 8 MAR18	299.850	311.550	USD	-23,400.00	
28SEP17	S 3 MAR18	299.900	311.550	USD	-8,737.50	
	NET -44 AVG SOLD 298.334				-145,375.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,099,722.50
CMX Copper	FUTURES	3,281,675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-145,375.00	-145,375.00	-145,375.00	-145,375.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	296,895.00	296,895.00	296,895.00	296,895.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	155,627.00	155,627.00
- Options	-145,375.00	-145,375.00
TOTAL EQUITY	10,252.00	10,252.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-138,600.00	-138,600.00
	-128,348.00	-128,348.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	296,895.00	296,895.00
Net Liquidating Value	307,147.00	307,147.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB122**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	155,627.00	155,627.00
Variation Margins	-145,375.00	-145,375.00
- Futures		
- Options	0.00	0.00
TOTAL EQUITY	10,252.00	10,252.00
Initial Margins	-138,600.00	-138,600.00
Excess/(Shortage)	-128,348.00	-128,348.00
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	296,895.00	296,895.00
Net Liquidating Value	307,147.00	307,147.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0124B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	5	PD08NOV17		6482.50	6775.65	USD	36,643.75	
08AUG17	B	5	PD08NOV17		6475.00	6775.65	USD	37,581.25	
08AUG17	B	5	PD08NOV17		6480.00	6775.65	USD	36,956.25	
08AUG17	B	5	PD08NOV17		6474.50	6775.65	USD	37,643.75	
12SEP17	S	15	PD08NOV17		6675.58	6775.65	USD	-37,526.25	
15SEP17	S	5	PD08NOV17		6490.75	6775.65	USD	-35,612.50	
	NET	0 AVG	BGHT 6478.00	SOLD 6629.37				75,686.25	0.00
16AUG17	B	3	PD16NOV17		6544.00	6776.25	USD	17,418.75	
16AUG17	B	2	PD16NOV17		6544.00	6776.25	USD	11,612.50	
15SEP17	S	5	PD16NOV17		6495.00	6776.25	USD	-35,156.25	
	NET	0 AVG	BGHT 6544.00	SOLD 6495.00				-6,125.00	0.00
08SEP17	S	5	PD08DEC17		6692.50	6787.71	USD	-11,901.25	
08SEP17	S	5	PD08DEC17		6685.00	6787.71	USD	-12,838.75	
08SEP17	S	5	PD08DEC17		6683.00	6787.71	USD	-13,088.75	
12SEP17	B	15	PD08DEC17		6688.32	6787.71	USD	37,271.25	
	NET	0 AVG	BGHT 6688.32	SOLD 6686.83				-557.50	0.00
11SEP17	S	3	PD11DEC17		6744.50	6789.15	USD	-3,348.75	
11SEP17	S	1	PD11DEC17		6744.00	6789.15	USD	-1,128.75	
11SEP17	S	6	PD11DEC17		6745.50	6789.15	USD	-6,547.50	
15SEP17	B	10	PD11DEC17		6505.54	6789.15	USD	70,902.50	
	NET	0 AVG	BGHT 6505.54	SOLD 6745.05				59,877.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	128,881.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD 128,881.25	128,881.25	128,881.25	128,881.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-122,441.50	-122,441.50
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-122,441.50	-122,441.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-122,441.50	-122,441.50

FOR INFORMATION ONLY

Forward Unrealised P&L	128,881.25	128,881.25
Net Liquidating Value	6,439.75	6,439.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0124 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB124**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-122,441.50	-122,441.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-122,441.50	-122,441.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-122,441.50	-122,441.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	128,881.25	128,881.25
Net Liquidating Value	6,439.75	6,439.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	
31AUG17	B	1	PD30NOV17	6798.50	6783.72	USD	-369.50	
31AUG17	B	3	PD30NOV17	6805.00	6783.72	USD	-1,596.00	
05SEP17	S	5	PD30NOV17	6897.98	6783.72	USD	14,282.50	
	NET	0 AVG BGHT 6802.50	SOLD 6897.98				11,935.00	0.00
01SEP17	B	1	PD01DEC17	6825.00	6784.25	USD	-1,018.75	
01SEP17	B	3	PD01DEC17	6824.50	6784.25	USD	-3,018.75	
01SEP17	B	1	PD01DEC17	6825.50	6784.25	USD	-1,031.25	
01SEP17	B	2	PD01DEC17	6820.00	6784.25	USD	-1,787.50	
01SEP17	B	3	PD01DEC17	6818.00	6784.25	USD	-2,531.25	
01SEP17	B	1	PD01DEC17	6815.00	6784.25	USD	-768.75	
01SEP17	B	3	PD01DEC17	6822.00	6784.25	USD	-2,831.25	
01SEP17	B	4	PD01DEC17	6817.00	6784.25	USD	-3,275.00	
01SEP17	B	3	PD01DEC17	6816.50	6784.25	USD	-2,418.75	
01SEP17	B	6	PD01DEC17	6816.00	6784.25	USD	-4,762.50	
01SEP17	B	6	PD01DEC17	6819.00	6784.25	USD	-5,212.50	
01SEP17	B	1	PD01DEC17	6817.50	6784.25	USD	-831.25	
01SEP17	B	4	PD01DEC17	6812.50	6784.25	USD	-2,825.00	
01SEP17	B	3	PD01DEC17	6815.50	6784.25	USD	-2,343.75	
01SEP17	B	4	PD01DEC17	6814.50	6784.25	USD	-3,025.00	
01SEP17	B	5	PD01DEC17	6813.50	6784.25	USD	-3,656.25	
01SEP17	B	3	PD01DEC17	6810.50	6784.25	USD	-1,968.75	
01SEP17	B	1	PD01DEC17	6809.50	6784.25	USD	-631.25	
01SEP17	B	4	PD01DEC17	6807.50	6784.25	USD	-2,325.00	
01SEP17	B	3	PD01DEC17	6808.50	6784.25	USD	-1,818.75	
01SEP17	B	1	PD01DEC17	6811.50	6784.25	USD	-681.25	
01SEP17	B	2	PD01DEC17	6809.00	6784.25	USD	-1,237.50	
01SEP17	B	2	PD01DEC17	6810.00	6784.25	USD	-1,287.50	
01SEP17	B	6	PD01DEC17	6808.00	6784.25	USD	-3,562.50	
01SEP17	B	12	PD01DEC17	6807.00	6784.25	USD	-6,825.00	
01SEP17	B	1	PD01DEC17	6801.50	6784.25	USD	-431.25	
01SEP17	B	3	PD01DEC17	6806.50	6784.25	USD	-1,668.75	
01SEP17	B	4	PD01DEC17	6804.00	6784.25	USD	-1,975.00	
01SEP17	B	11	PD01DEC17	6806.00	6784.25	USD	-5,981.25	
01SEP17	B	8	PD01DEC17	6805.50	6784.25	USD	-4,250.00	
01SEP17	B	14	PD01DEC17	6805.00	6784.25	USD	-7,262.50	
01SEP17	B	6	PD01DEC17	6803.00	6784.25	USD	-2,812.50	
01SEP17	B	5	PD01DEC17	6802.50	6784.25	USD	-2,281.25	
01SEP17	B	7	PD01DEC17	6803.50	6784.25	USD	-3,368.75	
01SEP17	B	1	PD01DEC17	6820.00	6784.25	USD	-893.75	
01SEP17	S	3	PD01DEC17	6801.50	6784.25	USD	1,293.75	
01SEP17	B	2	PD01DEC17	6814.00	6784.25	USD	-1,487.50	
01SEP17	S	1	PD01DEC17	6798.00	6784.25	USD	343.75	
01SEP17	S	1	PD01DEC17	6797.00	6784.25	USD	318.75	
01SEP17	S	1	PD01DEC17	6785.50	6784.25	USD	31.25	
01SEP17	S	1	PD01DEC17	6780.00	6784.25	USD	-106.25	
01SEP17	S	1	PD01DEC17	6781.00	6784.25	USD	-81.25	
01SEP17	S	2	PD01DEC17	6780.50	6784.25	USD	-187.50	
01SEP17	S	1	PD01DEC17	6781.50	6784.25	USD	-68.75	
01SEP17	S	2	PD01DEC17	6782.50	6784.25	USD	-87.50	
01SEP17	S	3	PD01DEC17	6787.00	6784.25	USD	206.25	
01SEP17	S	2	PD01DEC17	6788.50	6784.25	USD	212.50	
01SEP17	S	1	PD01DEC17	6791.00	6784.25	USD	168.75	
01SEP17	S	1	PD01DEC17	6786.50	6784.25	USD	56.25	
01SEP17	S	5	PD01DEC17	6798.50	6784.25	USD	1,781.25	
01SEP17	S	4	PD01DEC17	6801.00	6784.25	USD	1,675.00	
01SEP17	S	2	PD01DEC17	6800.50	6784.25	USD	812.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	2	PD01DEC17	6799.50	6784.25	USD	762.50	
01SEP17	S	1	PD01DEC17	6816.00	6784.25	USD	793.75	
01SEP17	S	2	PD01DEC17	6811.50	6784.25	USD	1,362.50	
01SEP17	S	2	PD01DEC17	6812.50	6784.25	USD	1,412.50	
01SEP17	S	1	PD01DEC17	6813.50	6784.25	USD	731.25	
01SEP17	S	5	PD01DEC17	6808.00	6784.25	USD	2,968.75	
01SEP17	S	2	PD01DEC17	6809.00	6784.25	USD	1,237.50	
01SEP17	S	2	PD01DEC17	6810.00	6784.25	USD	1,287.50	
01SEP17	S	1	PD01DEC17	6811.00	6784.25	USD	668.75	
01SEP17	S	1	PD01DEC17	6822.50	6784.25	USD	956.25	
01SEP17	S	4	PD01DEC17	6822.00	6784.25	USD	3,775.00	
01SEP17	B	1	PD01DEC17	6829.50	6784.25	USD	-1,131.25	
01SEP17	B	4	PD01DEC17	6828.50	6784.25	USD	-4,425.00	
01SEP17	S	2	PD01DEC17	6798.50	6784.25	USD	712.50	
01SEP17	S	1	PD01DEC17	6828.50	6784.25	USD	1,106.25	
01SEP17	S	1	PD01DEC17	6829.50	6784.25	USD	1,131.25	
01SEP17	S	1	PD01DEC17	6833.00	6784.25	USD	1,218.75	
01SEP17	S	2	PD01DEC17	6838.50	6784.25	USD	2,712.50	
01SEP17	S	5	PD01DEC17	6835.00	6784.25	USD	6,343.75	
01SEP17	S	1	PD01DEC17	6835.50	6784.25	USD	1,281.25	
01SEP17	S	3	PD01DEC17	6832.50	6784.25	USD	3,618.75	
01SEP17	S	2	PD01DEC17	6831.50	6784.25	USD	2,362.50	
01SEP17	S	3	PD01DEC17	6830.50	6784.25	USD	3,468.75	
01SEP17	S	5	PD01DEC17	6830.00	6784.25	USD	5,718.75	
01SEP17	S	1	PD01DEC17	6831.00	6784.25	USD	1,168.75	
01SEP17	S	3	PD01DEC17	6832.00	6784.25	USD	3,581.25	
01SEP17	S	2	PD01DEC17	6834.50	6784.25	USD	2,512.50	
05SEP17	S	20	PD01DEC17	6898.38	6784.25	USD	57,065.00	
06SEP17	S	5	PD01DEC17	6899.13	6784.25	USD	14,360.00	
07SEP17	S	40	PD01DEC17	6896.21	6784.25	USD	111,960.00	
	NET	0AVG BGHT 6810.65	SOLD 6848.53				143,003.75	0.00
04SEP17	S	5	PD04DEC17	6901.50	6785.75	USD	14,468.75	
04SEP17	S	2	PD04DEC17	6903.00	6785.75	USD	5,862.50	
04SEP17	S	1	PD04DEC17	6901.00	6785.75	USD	2,881.25	
04SEP17	S	1	PD04DEC17	6899.50	6785.75	USD	2,843.75	
04SEP17	S	1	PD04DEC17	6896.00	6785.75	USD	2,756.25	
04SEP17	S	1	PD04DEC17	6897.50	6785.75	USD	2,793.75	
04SEP17	S	4	PD04DEC17	6897.00	6785.75	USD	11,125.00	
04SEP17	S	3	PD04DEC17	6902.50	6785.75	USD	8,756.25	
04SEP17	S	2	PD04DEC17	6904.00	6785.75	USD	5,912.50	
04SEP17	S	2	PD04DEC17	6905.00	6785.75	USD	5,962.50	
04SEP17	S	2	PD04DEC17	6906.50	6785.75	USD	6,037.50	
04SEP17	S	1	PD04DEC17	6908.50	6785.75	USD	3,068.75	
04SEP17	S	4	PD04DEC17	6909.50	6785.75	USD	12,375.00	
04SEP17	S	1	PD04DEC17	6911.00	6785.75	USD	3,131.25	
04SEP17	S	1	PD04DEC17	6910.50	6785.75	USD	3,118.75	
04SEP17	S	4	PD04DEC17	6907.50	6785.75	USD	12,175.00	
04SEP17	S	3	PD04DEC17	6906.00	6785.75	USD	9,018.75	
04SEP17	S	2	PD04DEC17	6911.50	6785.75	USD	6,287.50	
04SEP17	S	1	PD04DEC17	6913.50	6785.75	USD	3,193.75	
04SEP17	S	1	PD04DEC17	6912.50	6785.75	USD	3,168.75	
04SEP17	S	3	PD04DEC17	6909.00	6785.75	USD	9,243.75	
04SEP17	S	2	PD04DEC17	6910.00	6785.75	USD	6,212.50	
04SEP17	S	5	PD04DEC17	6908.00	6785.75	USD	15,281.25	
04SEP17	B	1	PD04DEC17	6899.50	6785.75	USD	-2,843.75	
04SEP17	B	1	PD04DEC17	6903.00	6785.75	USD	-2,931.25	
04SEP17	S	10	PD04DEC17	6907.00	6785.75	USD	30,312.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	6891.50	6785.75	USD	-2,643.75	
04SEP17	B	1	PD04DEC17	6889.00	6785.75	USD	-2,581.25	
04SEP17	B	6	PD04DEC17	6889.50	6785.75	USD	-15,562.50	
04SEP17	B	1	PD04DEC17	6888.50	6785.75	USD	-2,568.75	
04SEP17	B	6	PD04DEC17	6890.00	6785.75	USD	-15,637.50	
04SEP17	B	2	PD04DEC17	6913.00	6785.75	USD	-6,362.50	
04SEP17	B	2	PD04DEC17	6912.50	6785.75	USD	-6,337.50	
04SEP17	B	1	PD04DEC17	6911.50	6785.75	USD	-3,143.75	
04SEP17	B	1	PD04DEC17	6910.50	6785.75	USD	-3,118.75	
04SEP17	B	1	PD04DEC17	6909.50	6785.75	USD	-3,093.75	
04SEP17	B	2	PD04DEC17	6911.00	6785.75	USD	-6,262.50	
04SEP17	B	2	PD04DEC17	6912.00	6785.75	USD	-6,312.50	
04SEP17	B	1	PD04DEC17	6915.00	6785.75	USD	-3,231.25	
04SEP17	B	3	PD04DEC17	6913.50	6785.75	USD	-9,581.25	
04SEP17	S	6	PD04DEC17	6917.00	6785.75	USD	19,687.50	
04SEP17	S	1	PD04DEC17	6919.00	6785.75	USD	3,331.25	
04SEP17	S	1	PD04DEC17	6920.50	6785.75	USD	3,368.75	
04SEP17	B	1	PD04DEC17	6920.50	6785.75	USD	-3,368.75	
04SEP17	B	4	PD04DEC17	6921.50	6785.75	USD	-13,575.00	
04SEP17	B	4	PD04DEC17	6921.00	6785.75	USD	-13,525.00	
04SEP17	B	4	PD04DEC17	6922.00	6785.75	USD	-13,625.00	
05SEP17	B	25	PD04DEC17	6899.80	6785.75	USD	-71,281.25	
	NET	0AVG BGHT 6904.37	SOLD 6907.11				4,787.50	0.00
05SEP17	B	1	PD05DEC17	6943.50	6786.25	USD	-3,931.25	
05SEP17	B	1	PD05DEC17	6943.00	6786.25	USD	-3,918.75	
05SEP17	B	4	PD05DEC17	6942.50	6786.25	USD	-15,625.00	
05SEP17	B	7	PD05DEC17	6941.00	6786.25	USD	-27,081.25	
05SEP17	B	2	PD05DEC17	6940.00	6786.25	USD	-7,687.50	
05SEP17	B	2	PD05DEC17	6939.00	6786.25	USD	-7,637.50	
05SEP17	B	1	PD05DEC17	6938.00	6786.25	USD	-3,793.75	
05SEP17	B	6	PD05DEC17	6937.00	6786.25	USD	-22,612.50	
05SEP17	B	6	PD05DEC17	6937.50	6786.25	USD	-22,687.50	
05SEP17	B	1	PD05DEC17	6936.00	6786.25	USD	-3,743.75	
05SEP17	B	4	PD05DEC17	6935.00	6786.25	USD	-14,875.00	
05SEP17	S	1	PD05DEC17	6929.50	6786.25	USD	3,581.25	
05SEP17	S	1	PD05DEC17	6926.50	6786.25	USD	3,506.25	
05SEP17	S	1	PD05DEC17	6927.50	6786.25	USD	3,531.25	
05SEP17	S	2	PD05DEC17	6925.00	6786.25	USD	6,937.50	
05SEP17	S	1	PD05DEC17	6923.00	6786.25	USD	3,418.75	
05SEP17	S	3	PD05DEC17	6922.50	6786.25	USD	10,218.75	
05SEP17	S	1	PD05DEC17	6920.50	6786.25	USD	3,356.25	
05SEP17	S	1	PD05DEC17	6921.50	6786.25	USD	3,381.25	
05SEP17	S	9	PD05DEC17	6921.00	6786.25	USD	30,318.75	
05SEP17	S	3	PD05DEC17	6923.50	6786.25	USD	10,293.75	
05SEP17	S	2	PD05DEC17	6922.00	6786.25	USD	6,787.50	
05SEP17	S	1	PD05DEC17	6906.00	6786.25	USD	2,993.75	
05SEP17	S	2	PD05DEC17	6904.50	6786.25	USD	5,912.50	
05SEP17	S	2	PD05DEC17	6903.50	6786.25	USD	5,862.50	
05SEP17	S	1	PD05DEC17	6892.50	6786.25	USD	2,656.25	
05SEP17	S	1	PD05DEC17	6893.50	6786.25	USD	2,681.25	
05SEP17	S	3	PD05DEC17	6894.50	6786.25	USD	8,118.75	
05SEP17	S	1	PD05DEC17	6863.00	6786.25	USD	1,918.75	
05SEP17	S	2	PD05DEC17	6849.00	6786.25	USD	3,137.50	
05SEP17	S	2	PD05DEC17	6839.00	6786.25	USD	2,637.50	
05SEP17	S	1	PD05DEC17	6851.00	6786.25	USD	1,618.75	
05SEP17	S	1	PD05DEC17	6854.00	6786.25	USD	1,693.75	
05SEP17	S	1	PD05DEC17	6856.00	6786.25	USD	1,743.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
05SEP17	S	1	PD05DEC17		6857.50	6786.25	USD	1,781.25	
05SEP17	S	1	PD05DEC17		6857.00	6786.25	USD	1,768.75	
05SEP17	S	1	PD05DEC17		6854.50	6786.25	USD	1,706.25	
05SEP17	S	1	PD05DEC17		6853.50	6786.25	USD	1,681.25	
05SEP17	B	1	PD05DEC17		6858.00	6786.25	USD	-1,793.75	
05SEP17	B	1	PD05DEC17		6855.50	6786.25	USD	-1,731.25	
05SEP17	B	5	PD05DEC17		6856.00	6786.25	USD	-8,718.75	
06SEP17	B	5	PD05DEC17		6900.83	6786.25	USD	-14,322.50	
	NET	0AVG	BGHT 6922.56	SOLD 6899.65				-26,916.25	0.00
06SEP17	S	13	PD06DEC17		6898.00	6786.75	USD	36,156.25	
06SEP17	S	3	PD06DEC17		6898.50	6786.75	USD	8,381.25	
06SEP17	S	3	PD06DEC17		6897.00	6786.75	USD	8,268.75	
06SEP17	S	1	PD06DEC17		6899.00	6786.75	USD	2,806.25	
06SEP17	S	1	PD06DEC17		6910.50	6786.75	USD	3,093.75	
06SEP17	S	1	PD06DEC17		6908.50	6786.75	USD	3,043.75	
06SEP17	S	1	PD06DEC17		6906.00	6786.75	USD	2,981.25	
06SEP17	S	6	PD06DEC17		6911.00	6786.75	USD	18,637.50	
06SEP17	S	2	PD06DEC17		6911.50	6786.75	USD	6,237.50	
06SEP17	S	1	PD06DEC17		6910.00	6786.75	USD	3,081.25	
06SEP17	S	2	PD06DEC17		6909.00	6786.75	USD	6,112.50	
06SEP17	S	2	PD06DEC17		6909.50	6786.75	USD	6,137.50	
06SEP17	S	4	PD06DEC17		6912.00	6786.75	USD	12,525.00	
06SEP17	B	1	PD06DEC17		6881.00	6786.75	USD	-2,356.25	
06SEP17	B	1	PD06DEC17		6879.00	6786.75	USD	-2,306.25	
06SEP17	B	1	PD06DEC17		6883.00	6786.75	USD	-2,406.25	
06SEP17	B	1	PD06DEC17		6895.00	6786.75	USD	-2,706.25	
06SEP17	B	1	PD06DEC17		6894.50	6786.75	USD	-2,693.75	
06SEP17	S	1	PD06DEC17		6924.50	6786.75	USD	3,443.75	
06SEP17	S	2	PD06DEC17		6921.50	6786.75	USD	6,737.50	
06SEP17	S	1	PD06DEC17		6923.00	6786.75	USD	3,406.25	
06SEP17	B	3	PD06DEC17		6900.00	6786.75	USD	-8,493.75	
06SEP17	B	1	PD06DEC17		6899.00	6786.75	USD	-2,806.25	
06SEP17	B	11	PD06DEC17		6897.50	6786.75	USD	-30,456.25	
06SEP17	B	1	PD06DEC17		6898.50	6786.75	USD	-2,793.75	
06SEP17	B	6	PD06DEC17		6898.00	6786.75	USD	-16,687.50	
06SEP17	B	1	PD06DEC17		6891.00	6786.75	USD	-2,606.25	
06SEP17	B	1	PD06DEC17		6892.50	6786.75	USD	-2,643.75	
06SEP17	B	2	PD06DEC17		6884.50	6786.75	USD	-4,887.50	
06SEP17	B	1	PD06DEC17		6885.00	6786.75	USD	-2,456.25	
06SEP17	B	1	PD06DEC17		6885.50	6786.75	USD	-2,468.75	
06SEP17	B	1	PD06DEC17		6886.00	6786.75	USD	-2,481.25	
06SEP17	B	1	PD06DEC17		6891.50	6786.75	USD	-2,618.75	
06SEP17	B	1	PD06DEC17		6893.50	6786.75	USD	-2,668.75	
06SEP17	B	7	PD06DEC17		6893.00	6786.75	USD	-18,593.75	
06SEP17	B	1	PD06DEC17		6894.00	6786.75	USD	-2,681.25	
06SEP17	S	1	PD06DEC17		6922.00	6786.75	USD	3,381.25	
06SEP17	B	1	PD06DEC17		6898.00	6786.75	USD	-2,781.25	
06SEP17	S	1	PD06DEC17		6881.00	6786.75	USD	2,356.25	
06SEP17	S	3	PD06DEC17		6881.50	6786.75	USD	7,106.25	
06SEP17	S	1	PD06DEC17		6894.50	6786.75	USD	2,693.75	
06SEP17	S	1	PD06DEC17		6891.00	6786.75	USD	2,606.25	
06SEP17	S	1	PD06DEC17		6886.00	6786.75	USD	2,481.25	
06SEP17	S	1	PD06DEC17		6884.50	6786.75	USD	2,443.75	
06SEP17	S	1	PD06DEC17		6892.00	6786.75	USD	2,631.25	
06SEP17	S	1	PD06DEC17		6883.00	6786.75	USD	2,406.25	
06SEP17	S	1	PD06DEC17		6884.00	6786.75	USD	2,431.25	
06SEP17	S	1	PD06DEC17		6882.00	6786.75	USD	2,381.25	

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06SEP17	S	5	PD06DEC17	6882.50	6786.75	USD	11,968.75	
06SEP17	S	6	PD06DEC17	6880.50	6786.75	USD	14,062.50	
06SEP17	S	2	PD06DEC17	6877.50	6786.75	USD	4,537.50	
06SEP17	S	2	PD06DEC17	6878.50	6786.75	USD	4,587.50	
06SEP17	S	1	PD06DEC17	6879.50	6786.75	USD	2,318.75	
06SEP17	S	5	PD06DEC17	6880.00	6786.75	USD	11,656.25	
06SEP17	S	1	PD06DEC17	6877.00	6786.75	USD	2,256.25	
06SEP17	S	1	PD06DEC17	6874.50	6786.75	USD	2,193.75	
06SEP17	S	3	PD06DEC17	6876.00	6786.75	USD	6,693.75	
06SEP17	S	1	PD06DEC17	6887.50	6786.75	USD	2,518.75	
06SEP17	B	1	PD06DEC17	6870.50	6786.75	USD	-2,093.75	
06SEP17	B	7	PD06DEC17	6869.50	6786.75	USD	-14,481.25	
06SEP17	S	1	PD06DEC17	6879.00	6786.75	USD	2,306.25	
06SEP17	S	1	PD06DEC17	6890.50	6786.75	USD	2,593.75	
06SEP17	S	2	PD06DEC17	6890.00	6786.75	USD	5,162.50	
06SEP17	S	3	PD06DEC17	6888.50	6786.75	USD	7,631.25	
06SEP17	S	4	PD06DEC17	6889.50	6786.75	USD	10,275.00	
06SEP17	B	2	PD06DEC17	6870.50	6786.75	USD	-4,187.50	
07SEP17	B	40	PD06DEC17	6898.32	6786.75	USD	-111,570.00	
	NET	0AVG BGHT 6893.25	SOLD 6894.01				1,805.00	0.00
07SEP17	B	4	PD07DEC17	6878.00	6787.23	USD	-9,077.00	
07SEP17	B	5	PD07DEC17	6880.00	6787.23	USD	-11,596.25	
07SEP17	B	4	PD07DEC17	6879.00	6787.23	USD	-9,177.00	
07SEP17	B	2	PD07DEC17	6880.50	6787.23	USD	-4,663.50	
07SEP17	B	1	PD07DEC17	6875.00	6787.23	USD	-2,194.25	
07SEP17	B	2	PD07DEC17	6874.50	6787.23	USD	-4,363.50	
07SEP17	B	1	PD07DEC17	6872.00	6787.23	USD	-2,119.25	
07SEP17	B	1	PD07DEC17	6869.50	6787.23	USD	-2,056.75	
07SEP17	B	1	PD07DEC17	6871.00	6787.23	USD	-2,094.25	
07SEP17	B	5	PD07DEC17	6863.50	6787.23	USD	-9,533.75	
07SEP17	B	1	PD07DEC17	6866.50	6787.23	USD	-1,981.75	
07SEP17	B	2	PD07DEC17	6864.50	6787.23	USD	-3,863.50	
07SEP17	B	1	PD07DEC17	6869.00	6787.23	USD	-2,044.25	
07SEP17	B	1	PD07DEC17	6868.50	6787.23	USD	-2,031.75	
07SEP17	B	1	PD07DEC17	6867.50	6787.23	USD	-2,006.75	
07SEP17	B	2	PD07DEC17	6868.00	6787.23	USD	-4,038.50	
07SEP17	B	1	PD07DEC17	6878.00	6787.23	USD	-2,269.25	
07SEP17	B	2	PD07DEC17	6870.00	6787.23	USD	-4,138.50	
07SEP17	B	1	PD07DEC17	6864.00	6787.23	USD	-1,919.25	
07SEP17	B	4	PD07DEC17	6867.00	6787.23	USD	-7,977.00	
07SEP17	B	3	PD07DEC17	6872.50	6787.23	USD	-6,395.25	
07SEP17	B	2	PD07DEC17	6871.50	6787.23	USD	-4,213.50	
07SEP17	B	2	PD07DEC17	6873.00	6787.23	USD	-4,288.50	
07SEP17	B	7	PD07DEC17	6865.50	6787.23	USD	-13,697.25	
07SEP17	B	3	PD07DEC17	6865.00	6787.23	USD	-5,832.75	
07SEP17	B	1	PD07DEC17	6866.00	6787.23	USD	-1,969.25	
07SEP17	S	1	PD07DEC17	6845.00	6787.23	USD	1,444.25	
07SEP17	S	2	PD07DEC17	6903.50	6787.23	USD	5,813.50	
07SEP17	S	1	PD07DEC17	6902.50	6787.23	USD	2,881.75	
07SEP17	S	2	PD07DEC17	6900.50	6787.23	USD	5,663.50	
07SEP17	S	2	PD07DEC17	6898.50	6787.23	USD	5,563.50	
07SEP17	S	1	PD07DEC17	6896.00	6787.23	USD	2,719.25	
07SEP17	S	1	PD07DEC17	6899.50	6787.23	USD	2,806.75	
07SEP17	S	9	PD07DEC17	6900.00	6787.23	USD	25,373.25	
07SEP17	S	1	PD07DEC17	6903.00	6787.23	USD	2,894.25	
07SEP17	S	1	PD07DEC17	6904.00	6787.23	USD	2,919.25	
07SEP17	S	1	PD07DEC17	6904.50	6787.23	USD	2,931.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6908.00	6787.23	USD	3,019.25	
07SEP17	S	1	PD07DEC17	6910.00	6787.23	USD	3,069.25	
07SEP17	S	3	PD07DEC17	6905.00	6787.23	USD	8,832.75	
07SEP17	S	2	PD07DEC17	6902.00	6787.23	USD	5,738.50	
07SEP17	S	1	PD07DEC17	6880.00	6787.23	USD	2,319.25	
07SEP17	S	3	PD07DEC17	6883.50	6787.23	USD	7,220.25	
07SEP17	S	2	PD07DEC17	6889.00	6787.23	USD	5,088.50	
07SEP17	S	6	PD07DEC17	6890.00	6787.23	USD	15,415.50	
07SEP17	S	1	PD07DEC17	6889.50	6787.23	USD	2,556.75	
07SEP17	S	2	PD07DEC17	6887.50	6787.23	USD	5,013.50	
07SEP17	S	2	PD07DEC17	6884.50	6787.23	USD	4,863.50	
07SEP17	S	1	PD07DEC17	6898.00	6787.23	USD	2,769.25	
07SEP17	S	1	PD07DEC17	6899.00	6787.23	USD	2,794.25	
07SEP17	S	1	PD07DEC17	6897.00	6787.23	USD	2,744.25	
07SEP17	S	1	PD07DEC17	6898.50	6787.23	USD	2,781.75	
07SEP17	S	1	PD07DEC17	6895.00	6787.23	USD	2,694.25	
07SEP17	S	1	PD07DEC17	6888.50	6787.23	USD	2,531.75	
07SEP17	S	1	PD07DEC17	6890.00	6787.23	USD	2,569.25	
07SEP17	S	1	PD07DEC17	6887.50	6787.23	USD	2,506.75	
07SEP17	B	1	PD07DEC17	6894.50	6787.23	USD	-2,681.75	
07SEP17	B	1	PD07DEC17	6898.50	6787.23	USD	-2,781.75	
07SEP17	B	1	PD07DEC17	6897.00	6787.23	USD	-2,744.25	
07SEP17	S	8	PD07DEC17	6901.50	6787.23	USD	22,854.00	
07SEP17	S	1	PD07DEC17	6899.00	6787.23	USD	2,794.25	
	NET	0	AVG BGHT 6872.15		SOLD 6895.92		37,437.50	0.00
08SEP17	B	2	PD08DEC17	6853.00	6787.71	USD	-3,264.50	
08SEP17	B	1	PD08DEC17	6847.50	6787.71	USD	-1,494.75	
08SEP17	B	1	PD08DEC17	6842.00	6787.71	USD	-1,357.25	
08SEP17	B	2	PD08DEC17	6846.50	6787.71	USD	-2,939.50	
08SEP17	B	1	PD08DEC17	6845.00	6787.71	USD	-1,432.25	
08SEP17	B	5	PD08DEC17	6845.50	6787.71	USD	-7,223.75	
08SEP17	B	1	PD08DEC17	6853.50	6787.71	USD	-1,644.75	
08SEP17	B	3	PD08DEC17	6848.50	6787.71	USD	-4,559.25	
08SEP17	B	2	PD08DEC17	6851.50	6787.71	USD	-3,189.50	
08SEP17	B	4	PD08DEC17	6851.00	6787.71	USD	-6,329.00	
08SEP17	B	1	PD08DEC17	6856.00	6787.71	USD	-1,707.25	
08SEP17	B	1	PD08DEC17	6855.00	6787.71	USD	-1,682.25	
08SEP17	B	1	PD08DEC17	6858.00	6787.71	USD	-1,757.25	
08SEP17	B	3	PD08DEC17	6850.50	6787.71	USD	-4,709.25	
08SEP17	B	2	PD08DEC17	6854.00	6787.71	USD	-3,314.50	
08SEP17	B	4	PD08DEC17	6855.50	6787.71	USD	-6,779.00	
08SEP17	S	1	PD08DEC17	6839.00	6787.71	USD	1,282.25	
08SEP17	S	1	PD08DEC17	6838.50	6787.71	USD	1,269.75	
08SEP17	S	2	PD08DEC17	6839.50	6787.71	USD	2,589.50	
08SEP17	S	2	PD08DEC17	6841.50	6787.71	USD	2,689.50	
08SEP17	S	1	PD08DEC17	6837.00	6787.71	USD	1,232.25	
08SEP17	S	1	PD08DEC17	6837.50	6787.71	USD	1,244.75	
08SEP17	S	4	PD08DEC17	6838.00	6787.71	USD	5,029.00	
08SEP17	S	2	PD08DEC17	6836.00	6787.71	USD	2,414.50	
08SEP17	S	8	PD08DEC17	6835.00	6787.71	USD	9,458.00	
08SEP17	S	3	PD08DEC17	6833.50	6787.71	USD	3,434.25	
08SEP17	S	3	PD08DEC17	6832.50	6787.71	USD	3,359.25	
08SEP17	S	2	PD08DEC17	6832.00	6787.71	USD	2,214.50	
08SEP17	S	4	PD08DEC17	6833.00	6787.71	USD	4,529.00	
08SEP17	B	2	PD08DEC17	6824.00	6787.71	USD	-1,814.50	
08SEP17	B	4	PD08DEC17	6827.50	6787.71	USD	-3,979.00	
08SEP17	B	1	PD08DEC17	6825.50	6787.71	USD	-944.75	

OPEN POSITION STATEMENT



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SAP0127A

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6826.00	6787.71	USD	-957.25	
08SEP17	B	2	PD08DEC17	6824.50	6787.71	USD	-1,839.50	
08SEP17	B	3	PD08DEC17	6822.50	6787.71	USD	-2,609.25	
08SEP17	B	2	PD08DEC17	6821.50	6787.71	USD	-1,689.50	
08SEP17	B	1	PD08DEC17	6817.50	6787.71	USD	-744.75	
08SEP17	B	1	PD08DEC17	6815.00	6787.71	USD	-682.25	
08SEP17	B	1	PD08DEC17	6813.00	6787.71	USD	-632.25	
08SEP17	B	1	PD08DEC17	6813.50	6787.71	USD	-644.75	
08SEP17	B	1	PD08DEC17	6810.00	6787.71	USD	-557.25	
08SEP17	S	1	PD08DEC17	6790.00	6787.71	USD	57.25	
08SEP17	S	1	PD08DEC17	6790.50	6787.71	USD	69.75	
08SEP17	S	1	PD08DEC17	6789.00	6787.71	USD	32.25	
08SEP17	S	1	PD08DEC17	6788.00	6787.71	USD	7.25	
08SEP17	S	1	PD08DEC17	6786.50	6787.71	USD	-30.25	
08SEP17	S	1	PD08DEC17	6781.50	6787.71	USD	-155.25	
08SEP17	S	2	PD08DEC17	6782.50	6787.71	USD	-260.50	
08SEP17	B	2	PD08DEC17	6787.00	6787.71	USD	35.50	
08SEP17	B	1	PD08DEC17	6790.00	6787.71	USD	-57.25	
08SEP17	B	1	PD08DEC17	6798.00	6787.71	USD	-257.25	
08SEP17	B	1	PD08DEC17	6805.00	6787.71	USD	-432.25	
08SEP17	B	6	PD08DEC17	6795.00	6787.71	USD	-1,093.50	
08SEP17	B	1	PD08DEC17	6796.00	6787.71	USD	-207.25	
08SEP17	B	1	PD08DEC17	6797.00	6787.71	USD	-232.25	
08SEP17	B	7	PD08DEC17	6794.00	6787.71	USD	-1,100.75	
08SEP17	B	1	PD08DEC17	6804.50	6787.71	USD	-419.75	
08SEP17	B	1	PD08DEC17	6803.50	6787.71	USD	-394.75	
08SEP17	B	1	PD08DEC17	6802.00	6787.71	USD	-357.25	
08SEP17	B	3	PD08DEC17	6800.00	6787.71	USD	-921.75	
08SEP17	B	5	PD08DEC17	6801.00	6787.71	USD	-1,661.25	
08SEP17	B	2	PD08DEC17	6802.50	6787.71	USD	-739.50	
08SEP17	B	4	PD08DEC17	6803.00	6787.71	USD	-1,529.00	
08SEP17	B	5	PD08DEC17	6801.50	6787.71	USD	-1,723.75	
08SEP17	B	5	PD08DEC17	6800.50	6787.71	USD	-1,598.75	
08SEP17	B	1	PD08DEC17	6855.00	6787.71	USD	-1,682.25	
08SEP17	S	1	PD08DEC17	6835.00	6787.71	USD	1,182.25	
08SEP17	B	1	PD08DEC17	6785.50	6787.71	USD	55.25	
08SEP17	B	1	PD08DEC17	6784.00	6787.71	USD	92.75	
08SEP17	S	1	PD08DEC17	6766.00	6787.71	USD	-542.75	
08SEP17	S	1	PD08DEC17	6736.00	6787.71	USD	-1,292.75	
08SEP17	S	4	PD08DEC17	6735.50	6787.71	USD	-5,221.00	
08SEP17	S	2	PD08DEC17	6736.50	6787.71	USD	-2,560.50	
08SEP17	S	1	PD08DEC17	6737.50	6787.71	USD	-1,255.25	
08SEP17	S	2	PD08DEC17	6738.50	6787.71	USD	-2,460.50	
08SEP17	S	2	PD08DEC17	6740.00	6787.71	USD	-2,385.50	
08SEP17	S	4	PD08DEC17	6738.00	6787.71	USD	-4,971.00	
08SEP17	B	1	PD08DEC17	6731.50	6787.71	USD	1,405.25	
08SEP17	S	1	PD08DEC17	6704.50	6787.71	USD	-2,080.25	
08SEP17	S	1	PD08DEC17	6702.00	6787.71	USD	-2,142.75	
08SEP17	S	1	PD08DEC17	6706.00	6787.71	USD	-2,042.75	
08SEP17	S	1	PD08DEC17	6704.00	6787.71	USD	-2,092.75	
08SEP17	S	1	PD08DEC17	6703.50	6787.71	USD	-2,105.25	
08SEP17	S	1	PD08DEC17	6707.00	6787.71	USD	-2,017.75	
08SEP17	S	5	PD08DEC17	6705.00	6787.71	USD	-10,338.75	
08SEP17	B	1	PD08DEC17	6699.00	6787.71	USD	2,217.75	
08SEP17	B	1	PD08DEC17	6702.00	6787.71	USD	2,142.75	
08SEP17	B	1	PD08DEC17	6698.50	6787.71	USD	2,230.25	
08SEP17	B	1	PD08DEC17	6697.00	6787.71	USD	2,267.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	6698.00	6787.71	USD	4,485.50	
08SEP17	B	1	PD08DEC17	6699.50	6787.71	USD	2,205.25	
08SEP17	B	5	PD08DEC17	6696.00	6787.71	USD	11,463.75	
08SEP17	B	4	PD08DEC17	6695.50	6787.71	USD	9,221.00	
08SEP17	B	5	PD08DEC17	6695.00	6787.71	USD	11,588.75	
08SEP17	B	2	PD08DEC17	6694.50	6787.71	USD	4,660.50	
08SEP17	S	4	PD08DEC17	6683.00	6787.71	USD	-10,471.00	
08SEP17	S	1	PD08DEC17	6676.00	6787.71	USD	-2,792.75	
08SEP17	S	3	PD08DEC17	6675.00	6787.71	USD	-8,453.25	
08SEP17	S	1	PD08DEC17	6672.50	6787.71	USD	-2,880.25	
08SEP17	S	1	PD08DEC17	6672.00	6787.71	USD	-2,892.75	
08SEP17	S	1	PD08DEC17	6677.50	6787.71	USD	-2,755.25	
08SEP17	S	2	PD08DEC17	6678.50	6787.71	USD	-5,460.50	
08SEP17	S	6	PD08DEC17	6680.00	6787.71	USD	-16,156.50	
08SEP17	S	2	PD08DEC17	6682.50	6787.71	USD	-5,260.50	
08SEP17	S	1	PD08DEC17	6681.50	6787.71	USD	-2,655.25	
08SEP17	S	4	PD08DEC17	6684.00	6787.71	USD	-10,371.00	
08SEP17	S	6	PD08DEC17	6685.00	6787.71	USD	-15,406.50	
08SEP17	S	2	PD08DEC17	6685.50	6787.71	USD	-5,110.50	
08SEP17	S	1	PD08DEC17	6686.00	6787.71	USD	-2,542.75	
08SEP17	S	1	PD08DEC17	6679.50	6787.71	USD	-2,705.25	
08SEP17	S	3	PD08DEC17	6675.50	6787.71	USD	-8,415.75	
08SEP17	B	1	PD08DEC17	6677.00	6787.71	USD	2,767.75	
08SEP17	B	2	PD08DEC17	6681.50	6787.71	USD	5,310.50	
08SEP17	B	1	PD08DEC17	6679.50	6787.71	USD	2,705.25	
08SEP17	B	1	PD08DEC17	6672.50	6787.71	USD	2,880.25	
08SEP17	S	1	PD08DEC17	6687.50	6787.71	USD	-2,505.25	
08SEP17	S	1	PD08DEC17	6687.00	6787.71	USD	-2,517.75	
08SEP17	S	1	PD08DEC17	6688.50	6787.71	USD	-2,480.25	
08SEP17	B	1	PD08DEC17	6684.00	6787.71	USD	2,592.75	
08SEP17	B	2	PD08DEC17	6687.00	6787.71	USD	5,035.50	
08SEP17	B	1	PD08DEC17	6687.50	6787.71	USD	2,505.25	
08SEP17	B	1	PD08DEC17	6688.50	6787.71	USD	2,480.25	
08SEP17	B	1	PD08DEC17	6686.50	6787.71	USD	2,530.25	
08SEP17	B	8	PD08DEC17	6685.50	6787.71	USD	20,442.00	
08SEP17	B	2	PD08DEC17	6684.50	6787.71	USD	5,160.50	
08SEP17	B	2	PD08DEC17	6685.00	6787.71	USD	5,135.50	
08SEP17	B	8	PD08DEC17	6683.50	6787.71	USD	20,842.00	
08SEP17	B	4	PD08DEC17	6682.50	6787.71	USD	10,521.00	
08SEP17	B	2	PD08DEC17	6682.00	6787.71	USD	5,285.50	
08SEP17	B	5	PD08DEC17	6680.00	6787.71	USD	13,463.75	
08SEP17	B	2	PD08DEC17	6679.00	6787.71	USD	5,435.50	
08SEP17	B	1	PD08DEC17	6698.50	6787.71	USD	2,230.25	
08SEP17	B	1	PD08DEC17	6698.00	6787.71	USD	2,242.75	
08SEP17	B	1	PD08DEC17	6699.00	6787.71	USD	2,217.75	
08SEP17	S	1	PD08DEC17	6684.00	6787.71	USD	-2,592.75	
08SEP17	B	4	PD08DEC17	6683.00	6787.71	USD	10,471.00	
08SEP17	B	2	PD08DEC17	6680.50	6787.71	USD	5,360.50	
08SEP17	B	1	PD08DEC17	6679.00	6787.71	USD	2,717.75	
08SEP17	B	3	PD08DEC17	6682.50	6787.71	USD	7,890.75	
08SEP17	B	1	PD08DEC17	6681.00	6787.71	USD	2,667.75	
08SEP17	B	8	PD08DEC17	6678.00	6787.71	USD	21,942.00	
20SEP17	S	40	PD08DEC17	6522.81	6787.71	USD	-264,900.00	
22SEP17	S	20	PD08DEC17	6454.17	6787.71	USD	-166,770.00	
03OCT17	S	20	PD08DEC17	6513.00	6787.71	USD	-137,355.00	
	NET	0	AVG BGHT 6758.43	SOLD 6646.41			-543,292.50	0.00
11SEP17	B	2	PD11DEC17	6718.00	6789.15	USD	3,557.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	3	PD11DEC17	6720.00	6789.15	USD	5,186.25	
11SEP17	S	1	PD11DEC17	6765.50	6789.15	USD	-591.25	
11SEP17	S	2	PD11DEC17	6766.50	6789.15	USD	-1,132.50	
11SEP17	S	2	PD11DEC17	6770.00	6789.15	USD	-957.50	
11SEP17	S	2	PD11DEC17	6769.00	6789.15	USD	-1,007.50	
11SEP17	B	1	PD11DEC17	6765.50	6789.15	USD	591.25	
11SEP17	B	1	PD11DEC17	6769.00	6789.15	USD	503.75	
11SEP17	B	4	PD11DEC17	6768.00	6789.15	USD	2,115.00	
11SEP17	B	4	PD11DEC17	6763.50	6789.15	USD	2,565.00	
11SEP17	B	1	PD11DEC17	6761.50	6789.15	USD	691.25	
11SEP17	S	1	PD11DEC17	6766.50	6789.15	USD	-566.25	
11SEP17	S	1	PD11DEC17	6768.00	6789.15	USD	-528.75	
11SEP17	S	1	PD11DEC17	6770.00	6789.15	USD	-478.75	
11SEP17	S	1	PD11DEC17	6769.00	6789.15	USD	-503.75	
11SEP17	B	1	PD11DEC17	6726.50	6789.15	USD	1,566.25	
11SEP17	B	3	PD11DEC17	6729.50	6789.15	USD	4,473.75	
11SEP17	B	2	PD11DEC17	6730.00	6789.15	USD	2,957.50	
11SEP17	B	1	PD11DEC17	6729.00	6789.15	USD	1,503.75	
11SEP17	B	1	PD11DEC17	6728.50	6789.15	USD	1,516.25	
11SEP17	B	13	PD11DEC17	6728.00	6789.15	USD	19,873.75	
11SEP17	B	4	PD11DEC17	6727.50	6789.15	USD	6,165.00	
11SEP17	B	4	PD11DEC17	6730.50	6789.15	USD	5,865.00	
11SEP17	S	1	PD11DEC17	6724.50	6789.15	USD	-1,616.25	
11SEP17	S	1	PD11DEC17	6719.50	6789.15	USD	-1,741.25	
11SEP17	S	7	PD11DEC17	6720.00	6789.15	USD	-12,101.25	
11SEP17	S	3	PD11DEC17	6723.00	6789.15	USD	-4,961.25	
11SEP17	S	6	PD11DEC17	6723.50	6789.15	USD	-9,847.50	
11SEP17	B	2	PD11DEC17	6732.50	6789.15	USD	2,832.50	
11SEP17	B	3	PD11DEC17	6735.00	6789.15	USD	4,061.25	
11SEP17	B	2	PD11DEC17	6733.00	6789.15	USD	2,807.50	
11SEP17	B	2	PD11DEC17	6734.00	6789.15	USD	2,757.50	
11SEP17	B	4	PD11DEC17	6734.50	6789.15	USD	5,465.00	
11SEP17	B	2	PD11DEC17	6736.50	6789.15	USD	2,632.50	
11SEP17	B	1	PD11DEC17	6742.00	6789.15	USD	1,178.75	
11SEP17	B	1	PD11DEC17	6745.00	6789.15	USD	1,103.75	
11SEP17	B	1	PD11DEC17	6748.50	6789.15	USD	1,016.25	
11SEP17	B	2	PD11DEC17	6745.50	6789.15	USD	2,182.50	
11SEP17	B	3	PD11DEC17	6750.00	6789.15	USD	2,936.25	
11SEP17	B	3	PD11DEC17	6749.50	6789.15	USD	2,973.75	
11SEP17	B	1	PD11DEC17	6731.50	6789.15	USD	1,441.25	
11SEP17	B	4	PD11DEC17	6731.00	6789.15	USD	5,815.00	
11SEP17	B	4	PD11DEC17	6737.50	6789.15	USD	5,165.00	
11SEP17	S	1	PD11DEC17	6747.50	6789.15	USD	-1,041.25	
11SEP17	S	13	PD11DEC17	6747.00	6789.15	USD	-13,698.75	
11SEP17	S	3	PD11DEC17	6745.50	6789.15	USD	-3,273.75	
11SEP17	S	6	PD11DEC17	6748.00	6789.15	USD	-6,172.50	
11SEP17	S	7	PD11DEC17	6748.50	6789.15	USD	-7,113.75	
11SEP17	S	6	PD11DEC17	6749.50	6789.15	USD	-5,947.50	
11SEP17	S	1	PD11DEC17	6750.50	6789.15	USD	-966.25	
11SEP17	S	1	PD11DEC17	6738.50	6789.15	USD	-1,266.25	
11SEP17	S	2	PD11DEC17	6743.50	6789.15	USD	-2,282.50	
11SEP17	S	2	PD11DEC17	6744.00	6789.15	USD	-2,257.50	
11SEP17	S	6	PD11DEC17	6746.00	6789.15	USD	-6,472.50	
11SEP17	S	1	PD11DEC17	6743.00	6789.15	USD	-1,153.75	
11SEP17	S	1	PD11DEC17	6741.50	6789.15	USD	-1,191.25	
11SEP17	S	1	PD11DEC17	6742.00	6789.15	USD	-1,178.75	
11SEP17	B	1	PD11DEC17	6730.00	6789.15	USD	1,478.75	

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11SEP17	S	1	PD11DEC17	6747.00	6789.15	USD	-1,053.75	
	NET	0	AVG BGHT 6737.31				13,875.00	0.00
12SEP17	S	7	PD12DEC17	6706.00	6789.63	USD	-14,635.25	
12SEP17	S	3	PD12DEC17	6705.00	6789.63	USD	-6,347.25	
12SEP17	B	5	PD12DEC17	6728.00	6789.63	USD	7,703.75	
12SEP17	B	3	PD12DEC17	6727.00	6789.63	USD	4,697.25	
12SEP17	B	2	PD12DEC17	6729.50	6789.63	USD	3,006.50	
12SEP17	B	1	PD12DEC17	6719.00	6789.63	USD	1,765.75	
12SEP17	B	2	PD12DEC17	6718.50	6789.63	USD	3,556.50	
12SEP17	B	5	PD12DEC17	6697.50	6789.63	USD	11,516.25	
12SEP17	B	11	PD12DEC17	6699.50	6789.63	USD	24,785.75	
12SEP17	B	6	PD12DEC17	6699.00	6789.63	USD	13,594.50	
12SEP17	B	7	PD12DEC17	6698.00	6789.63	USD	16,035.25	
12SEP17	B	1	PD12DEC17	6698.50	6789.63	USD	2,278.25	
12SEP17	B	1	PD12DEC17	6696.00	6789.63	USD	2,340.75	
12SEP17	B	1	PD12DEC17	6695.50	6789.63	USD	2,353.25	
12SEP17	B	1	PD12DEC17	6694.50	6789.63	USD	2,378.25	
12SEP17	B	1	PD12DEC17	6693.50	6789.63	USD	2,403.25	
12SEP17	B	6	PD12DEC17	6689.50	6789.63	USD	15,019.50	
12SEP17	B	1	PD12DEC17	6688.50	6789.63	USD	2,528.25	
12SEP17	B	2	PD12DEC17	6692.00	6789.63	USD	4,881.50	
12SEP17	B	1	PD12DEC17	6691.00	6789.63	USD	2,465.75	
12SEP17	B	10	PD12DEC17	6689.00	6789.63	USD	25,157.50	
12SEP17	B	8	PD12DEC17	6690.00	6789.63	USD	19,926.00	
12SEP17	B	1	PD12DEC17	6675.50	6789.63	USD	2,853.25	
12SEP17	B	1	PD12DEC17	6678.50	6789.63	USD	2,778.25	
12SEP17	B	3	PD12DEC17	6678.00	6789.63	USD	8,372.25	
12SEP17	B	4	PD12DEC17	6681.50	6789.63	USD	10,813.00	
12SEP17	B	1	PD12DEC17	6680.50	6789.63	USD	2,728.25	
12SEP17	B	2	PD12DEC17	6679.50	6789.63	USD	5,506.50	
12SEP17	B	1	PD12DEC17	6677.00	6789.63	USD	2,815.75	
12SEP17	B	2	PD12DEC17	6651.50	6789.63	USD	6,906.50	
12SEP17	B	2	PD12DEC17	6650.00	6789.63	USD	6,981.50	
12SEP17	B	4	PD12DEC17	6690.00	6789.63	USD	9,963.00	
12SEP17	B	1	PD12DEC17	6717.00	6789.63	USD	1,815.75	
12SEP17	B	1	PD12DEC17	6718.00	6789.63	USD	1,790.75	
12SEP17	B	2	PD12DEC17	6697.00	6789.63	USD	4,631.50	
12SEP17	B	1	PD12DEC17	6689.00	6789.63	USD	2,515.75	
12SEP17	B	2	PD12DEC17	6679.50	6789.63	USD	5,506.50	
12SEP17	B	1	PD12DEC17	6648.00	6789.63	USD	3,540.75	
12SEP17	S	1	PD12DEC17	6644.50	6789.63	USD	-3,628.25	
12SEP17	S	3	PD12DEC17	6645.00	6789.63	USD	-10,847.25	
12SEP17	S	1	PD12DEC17	6644.00	6789.63	USD	-3,640.75	
12SEP17	S	2	PD12DEC17	6643.00	6789.63	USD	-7,331.50	
12SEP17	S	1	PD12DEC17	6642.50	6789.63	USD	-3,678.25	
12SEP17	S	3	PD12DEC17	6642.00	6789.63	USD	-11,072.25	
12SEP17	S	1	PD12DEC17	6639.00	6789.63	USD	-3,765.75	
12SEP17	B	2	PD12DEC17	6656.50	6789.63	USD	6,656.50	
12SEP17	S	1	PD12DEC17	6654.00	6789.63	USD	-3,390.75	
12SEP17	S	7	PD12DEC17	6650.00	6789.63	USD	-24,435.25	
12SEP17	S	1	PD12DEC17	6651.50	6789.63	USD	-3,453.25	
12SEP17	S	2	PD12DEC17	6651.00	6789.63	USD	-6,931.50	
12SEP17	S	1	PD12DEC17	6650.50	6789.63	USD	-3,478.25	
12SEP17	S	1	PD12DEC17	6652.50	6789.63	USD	-3,428.25	
12SEP17	S	17	PD12DEC17	6652.00	6789.63	USD	-58,492.75	
12SEP17	S	3	PD12DEC17	6654.50	6789.63	USD	-10,134.75	
12SEP17	S	4	PD12DEC17	6653.00	6789.63	USD	-13,663.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	3	PD12DEC17	6653.50	6789.63	USD	-10,209.75	
12SEP17	S	2	PD12DEC17	6663.50	6789.63	USD	-6,306.50	
12SEP17	S	6	PD12DEC17	6663.00	6789.63	USD	-18,994.50	
12SEP17	S	1	PD12DEC17	6665.50	6789.63	USD	-3,103.25	
12SEP17	S	1	PD12DEC17	6667.50	6789.63	USD	-3,053.25	
12SEP17	S	1	PD12DEC17	6666.50	6789.63	USD	-3,078.25	
12SEP17	S	1	PD12DEC17	6675.00	6789.63	USD	-2,865.75	
12SEP17	S	1	PD12DEC17	6676.50	6789.63	USD	-2,828.25	
12SEP17	S	1	PD12DEC17	6676.00	6789.63	USD	-2,840.75	
12SEP17	S	1	PD12DEC17	6681.50	6789.63	USD	-2,703.25	
12SEP17	S	1	PD12DEC17	6682.50	6789.63	USD	-2,678.25	
12SEP17	S	1	PD12DEC17	6680.50	6789.63	USD	-2,728.25	
12SEP17	S	1	PD12DEC17	6679.50	6789.63	USD	-2,753.25	
12SEP17	S	1	PD12DEC17	6678.00	6789.63	USD	-2,790.75	
12SEP17	S	1	PD12DEC17	6671.50	6789.63	USD	-2,953.25	
12SEP17	S	4	PD12DEC17	6669.50	6789.63	USD	-12,013.00	
12SEP17	S	1	PD12DEC17	6661.50	6789.63	USD	-3,203.25	
12SEP17	S	1	PD12DEC17	6660.00	6789.63	USD	-3,240.75	
12SEP17	S	1	PD12DEC17	6661.00	6789.63	USD	-3,215.75	
12SEP17	S	3	PD12DEC17	6662.00	6789.63	USD	-9,572.25	
12SEP17	S	3	PD12DEC17	6664.00	6789.63	USD	-9,422.25	
12SEP17	S	1	PD12DEC17	6655.50	6789.63	USD	-3,353.25	
12SEP17	S	1	PD12DEC17	6657.50	6789.63	USD	-3,303.25	
12SEP17	S	7	PD12DEC17	6656.50	6789.63	USD	-23,297.75	
12SEP17	S	12	PD12DEC17	6655.00	6789.63	USD	-40,389.00	
12SEP17	S	3	PD12DEC17	6656.00	6789.63	USD	-10,022.25	
12SEP17	S	4	PD12DEC17	6659.50	6789.63	USD	-13,013.00	
12SEP17	S	1	PD12DEC17	6649.50	6789.63	USD	-3,503.25	
12SEP17	S	1	PD12DEC17	6652.50	6789.63	USD	-3,428.25	
12SEP17	S	1	PD12DEC17	6656.00	6789.63	USD	-3,340.75	
12SEP17	S	1	PD12DEC17	6655.00	6789.63	USD	-3,365.75	
12SEP17	S	2	PD12DEC17	6653.00	6789.63	USD	-6,831.50	
12SEP17	S	2	PD12DEC17	6653.50	6789.63	USD	-6,806.50	
12SEP17	S	1	PD12DEC17	6660.50	6789.63	USD	-3,228.25	
12SEP17	B	1	PD12DEC17	6668.00	6789.63	USD	3,040.75	
12SEP17	B	5	PD12DEC17	6669.00	6789.63	USD	15,078.75	
12SEP17	B	2	PD12DEC17	6673.50	6789.63	USD	5,806.50	
12SEP17	B	7	PD12DEC17	6670.00	6789.63	USD	20,935.25	
12SEP17	B	10	PD12DEC17	6669.50	6789.63	USD	30,032.50	
12SEP17	B	1	PD12DEC17	6667.50	6789.63	USD	3,053.25	
12SEP17	B	3	PD12DEC17	6671.50	6789.63	USD	8,859.75	
12SEP17	B	6	PD12DEC17	6673.00	6789.63	USD	17,494.50	
12SEP17	B	6	PD12DEC17	6674.00	6789.63	USD	17,344.50	
12SEP17	B	5	PD12DEC17	6672.50	6789.63	USD	14,641.25	
12SEP17	B	3	PD12DEC17	6668.50	6789.63	USD	9,084.75	
12SEP17	B	2	PD12DEC17	6672.00	6789.63	USD	5,881.50	
12SEP17	B	4	PD12DEC17	6670.50	6789.63	USD	11,913.00	
12SEP17	S	2	PD12DEC17	6671.00	6789.63	USD	-5,931.50	
12SEP17	B	1	PD12DEC17	6671.50	6789.63	USD	2,953.25	
12SEP17	B	1	PD12DEC17	6670.00	6789.63	USD	2,990.75	
12SEP17	B	1	PD12DEC17	6674.00	6789.63	USD	2,890.75	
15SEP17	S	30	PD12DEC17	6505.76	6789.63	USD	-212,902.50	
	NET	0 AVG BGHT 6685.59	SOLD 6632.16				-219,055.00	0.00
13SEP17	S	1	PD13DEC17	6657.50	6790.10	USD	-3,315.00	
13SEP17	S	12	PD13DEC17	6657.00	6790.10	USD	-39,930.00	
13SEP17	S	3	PD13DEC17	6653.50	6790.10	USD	-10,245.00	
13SEP17	S	2	PD13DEC17	6652.00	6790.10	USD	-6,905.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6651.50	6790.10	USD	-3,465.00	
13SEP17	S	1	PD13DEC17	6650.50	6790.10	USD	-3,490.00	
13SEP17	B	1	PD13DEC17	6632.00	6790.10	USD	3,952.50	
13SEP17	B	2	PD13DEC17	6631.00	6790.10	USD	7,955.00	
13SEP17	B	3	PD13DEC17	6629.00	6790.10	USD	12,082.50	
13SEP17	B	2	PD13DEC17	6628.50	6790.10	USD	8,080.00	
13SEP17	B	1	PD13DEC17	6630.00	6790.10	USD	4,002.50	
13SEP17	B	1	PD13DEC17	6618.50	6790.10	USD	4,290.00	
13SEP17	B	6	PD13DEC17	6621.00	6790.10	USD	25,365.00	
13SEP17	B	1	PD13DEC17	6620.50	6790.10	USD	4,240.00	
13SEP17	B	5	PD13DEC17	6620.00	6790.10	USD	21,262.50	
13SEP17	B	3	PD13DEC17	6619.50	6790.10	USD	12,795.00	
13SEP17	B	2	PD13DEC17	6619.00	6790.10	USD	8,555.00	
13SEP17	B	1	PD13DEC17	6622.00	6790.10	USD	4,202.50	
13SEP17	B	1	PD13DEC17	6624.00	6790.10	USD	4,152.50	
13SEP17	B	5	PD13DEC17	6623.50	6790.10	USD	20,825.00	
13SEP17	B	1	PD13DEC17	6623.00	6790.10	USD	4,177.50	
13SEP17	B	9	PD13DEC17	6624.50	6790.10	USD	37,260.00	
13SEP17	B	2	PD13DEC17	6625.00	6790.10	USD	8,255.00	
13SEP17	B	2	PD13DEC17	6625.50	6790.10	USD	8,230.00	
13SEP17	B	1	PD13DEC17	6626.00	6790.10	USD	4,102.50	
13SEP17	B	2	PD13DEC17	6612.00	6790.10	USD	8,905.00	
13SEP17	B	2	PD13DEC17	6614.00	6790.10	USD	8,805.00	
13SEP17	B	1	PD13DEC17	6612.50	6790.10	USD	4,440.00	
13SEP17	B	1	PD13DEC17	6607.50	6790.10	USD	4,565.00	
13SEP17	B	3	PD13DEC17	6608.00	6790.10	USD	13,657.50	
13SEP17	B	1	PD13DEC17	6605.50	6790.10	USD	4,615.00	
13SEP17	B	2	PD13DEC17	6607.00	6790.10	USD	9,155.00	
13SEP17	B	2	PD13DEC17	6609.00	6790.10	USD	9,055.00	
13SEP17	B	1	PD13DEC17	6602.50	6790.10	USD	4,690.00	
13SEP17	B	3	PD13DEC17	6598.50	6790.10	USD	14,370.00	
13SEP17	B	1	PD13DEC17	6597.00	6790.10	USD	4,827.50	
13SEP17	B	3	PD13DEC17	6590.50	6790.10	USD	14,970.00	
13SEP17	B	1	PD13DEC17	6589.50	6790.10	USD	5,015.00	
13SEP17	B	4	PD13DEC17	6590.00	6790.10	USD	20,010.00	
13SEP17	B	2	PD13DEC17	6592.50	6790.10	USD	9,880.00	
13SEP17	B	1	PD13DEC17	6592.00	6790.10	USD	4,952.50	
13SEP17	B	5	PD13DEC17	6593.00	6790.10	USD	24,637.50	
13SEP17	B	1	PD13DEC17	6559.00	6790.10	USD	5,777.50	
13SEP17	B	2	PD13DEC17	6560.00	6790.10	USD	11,505.00	
13SEP17	B	2	PD13DEC17	6564.50	6790.10	USD	11,280.00	
13SEP17	B	2	PD13DEC17	6575.50	6790.10	USD	10,730.00	
13SEP17	B	1	PD13DEC17	6574.00	6790.10	USD	5,402.50	
13SEP17	B	1	PD13DEC17	6573.50	6790.10	USD	5,415.00	
13SEP17	B	1	PD13DEC17	6572.00	6790.10	USD	5,452.50	
13SEP17	B	1	PD13DEC17	6571.00	6790.10	USD	5,477.50	
13SEP17	B	5	PD13DEC17	6570.00	6790.10	USD	27,512.50	
13SEP17	B	1	PD13DEC17	6570.50	6790.10	USD	5,490.00	
13SEP17	B	2	PD13DEC17	6569.50	6790.10	USD	11,030.00	
13SEP17	B	1	PD13DEC17	6565.50	6790.10	USD	5,615.00	
13SEP17	B	3	PD13DEC17	6565.00	6790.10	USD	16,882.50	
13SEP17	B	1	PD13DEC17	6566.00	6790.10	USD	5,602.50	
13SEP17	B	1	PD13DEC17	6563.50	6790.10	USD	5,665.00	
13SEP17	B	2	PD13DEC17	6568.50	6790.10	USD	11,080.00	
13SEP17	B	3	PD13DEC17	6568.00	6790.10	USD	16,657.50	
13SEP17	B	2	PD13DEC17	6567.50	6790.10	USD	11,130.00	
13SEP17	S	1	PD13DEC17	6571.50	6790.10	USD	-5,465.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6571.00	6790.10	USD	-5,477.50	
13SEP17	B	4	PD13DEC17	6569.00	6790.10	USD	22,110.00	
13SEP17	S	1	PD13DEC17	6572.50	6790.10	USD	-5,440.00	
13SEP17	B	1	PD13DEC17	6629.00	6790.10	USD	4,027.50	
13SEP17	S	4	PD13DEC17	6561.00	6790.10	USD	-22,910.00	
13SEP17	S	1	PD13DEC17	6559.00	6790.10	USD	-5,777.50	
13SEP17	S	3	PD13DEC17	6559.50	6790.10	USD	-17,295.00	
13SEP17	S	4	PD13DEC17	6560.50	6790.10	USD	-22,960.00	
13SEP17	S	1	PD13DEC17	6555.50	6790.10	USD	-5,865.00	
13SEP17	S	4	PD13DEC17	6555.00	6790.10	USD	-23,510.00	
13SEP17	S	6	PD13DEC17	6554.50	6790.10	USD	-35,340.00	
13SEP17	S	1	PD13DEC17	6551.00	6790.10	USD	-5,977.50	
13SEP17	S	1	PD13DEC17	6550.00	6790.10	USD	-6,002.50	
13SEP17	S	5	PD13DEC17	6546.00	6790.10	USD	-30,512.50	
13SEP17	S	6	PD13DEC17	6547.00	6790.10	USD	-36,465.00	
13SEP17	S	2	PD13DEC17	6548.00	6790.10	USD	-12,105.00	
13SEP17	S	1	PD13DEC17	6547.50	6790.10	USD	-6,065.00	
13SEP17	S	10	PD13DEC17	6546.50	6790.10	USD	-60,900.00	
13SEP17	B	1	PD13DEC17	6547.00	6790.10	USD	6,077.50	
13SEP17	B	1	PD13DEC17	6552.50	6790.10	USD	5,940.00	
13SEP17	B	1	PD13DEC17	6550.00	6790.10	USD	6,002.50	
13SEP17	B	2	PD13DEC17	6550.50	6790.10	USD	11,980.00	
13SEP17	S	4	PD13DEC17	6554.00	6790.10	USD	-23,610.00	
13SEP17	B	1	PD13DEC17	6555.50	6790.10	USD	5,865.00	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	S	1	PD13DEC17	6555.00	6790.10	USD	-5,877.50	
13SEP17	S	1	PD13DEC17	6554.50	6790.10	USD	-5,890.00	
13SEP17	B	2	PD13DEC17	6551.50	6790.10	USD	11,930.00	
13SEP17	B	3	PD13DEC17	6552.00	6790.10	USD	17,857.50	
13SEP17	B	1	PD13DEC17	6557.00	6790.10	USD	5,827.50	
13SEP17	B	2	PD13DEC17	6555.00	6790.10	USD	11,755.00	
13SEP17	B	4	PD13DEC17	6554.00	6790.10	USD	23,610.00	
13SEP17	B	3	PD13DEC17	6553.00	6790.10	USD	17,782.50	
13SEP17	B	9	PD13DEC17	6553.50	6790.10	USD	53,235.00	
13SEP17	B	1	PD13DEC17	6554.50	6790.10	USD	5,890.00	
15SEP17	S	75	PD13DEC17	6506.17	6790.10	USD	-532,368.75	
	NET	0	AVG BGHT 6590.49	SOLD 6543.52			-179,656.25	0.00
14SEP17	S	9	PD14DEC17	6535.00	6790.58	USD	-57,505.50	
14SEP17	S	2	PD14DEC17	6536.50	6790.58	USD	-12,704.00	
14SEP17	S	1	PD14DEC17	6539.00	6790.58	USD	-6,289.50	
14SEP17	S	8	PD14DEC17	6535.50	6790.58	USD	-51,016.00	
14SEP17	B	1	PD14DEC17	6522.50	6790.58	USD	6,702.00	
14SEP17	B	1	PD14DEC17	6521.50	6790.58	USD	6,727.00	
14SEP17	B	1	PD14DEC17	6520.50	6790.58	USD	6,752.00	
14SEP17	S	1	PD14DEC17	6530.50	6790.58	USD	-6,502.00	
14SEP17	S	1	PD14DEC17	6531.00	6790.58	USD	-6,489.50	
14SEP17	S	2	PD14DEC17	6529.00	6790.58	USD	-13,079.00	
14SEP17	S	1	PD14DEC17	6528.00	6790.58	USD	-6,564.50	
14SEP17	S	1	PD14DEC17	6522.00	6790.58	USD	-6,714.50	
14SEP17	B	1	PD14DEC17	6519.00	6790.58	USD	6,789.50	
14SEP17	B	2	PD14DEC17	6523.00	6790.58	USD	13,379.00	
14SEP17	B	2	PD14DEC17	6523.50	6790.58	USD	13,354.00	
14SEP17	B	8	PD14DEC17	6524.00	6790.58	USD	53,316.00	
14SEP17	B	3	PD14DEC17	6526.50	6790.58	USD	19,806.00	
14SEP17	B	5	PD14DEC17	6525.00	6790.58	USD	33,197.50	
14SEP17	B	2	PD14DEC17	6525.50	6790.58	USD	13,254.00	
14SEP17	B	2	PD14DEC17	6518.50	6790.58	USD	13,604.00	

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14SEP17	B	4	PD14DEC17	6513.00	6790.58	USD	27,758.00	
14SEP17	B	1	PD14DEC17	6510.50	6790.58	USD	7,002.00	
14SEP17	B	3	PD14DEC17	6512.00	6790.58	USD	20,893.50	
14SEP17	B	2	PD14DEC17	6496.50	6790.58	USD	14,704.00	
14SEP17	B	1	PD14DEC17	6489.00	6790.58	USD	7,539.50	
14SEP17	B	1	PD14DEC17	6487.50	6790.58	USD	7,577.00	
14SEP17	B	1	PD14DEC17	6488.00	6790.58	USD	7,564.50	
14SEP17	B	1	PD14DEC17	6503.50	6790.58	USD	7,177.00	
14SEP17	B	2	PD14DEC17	6504.00	6790.58	USD	14,329.00	
14SEP17	B	1	PD14DEC17	6507.00	6790.58	USD	7,089.50	
14SEP17	B	4	PD14DEC17	6509.50	6790.58	USD	28,108.00	
14SEP17	S	1	PD14DEC17	6464.50	6790.58	USD	-8,152.00	
14SEP17	S	1	PD14DEC17	6469.50	6790.58	USD	-8,027.00	
14SEP17	S	1	PD14DEC17	6469.00	6790.58	USD	-8,039.50	
14SEP17	S	1	PD14DEC17	6473.00	6790.58	USD	-7,939.50	
14SEP17	S	1	PD14DEC17	6459.50	6790.58	USD	-8,277.00	
14SEP17	S	1	PD14DEC17	6460.00	6790.58	USD	-8,264.50	
14SEP17	S	4	PD14DEC17	6458.50	6790.58	USD	-33,208.00	
14SEP17	S	1	PD14DEC17	6453.00	6790.58	USD	-8,439.50	
14SEP17	B	1	PD14DEC17	6459.50	6790.58	USD	8,277.00	
14SEP17	B	1	PD14DEC17	6458.00	6790.58	USD	8,314.50	
14SEP17	B	1	PD14DEC17	6466.00	6790.58	USD	8,114.50	
14SEP17	B	1	PD14DEC17	6465.00	6790.58	USD	8,139.50	
14SEP17	B	1	PD14DEC17	6467.00	6790.58	USD	8,089.50	
14SEP17	S	1	PD14DEC17	6472.50	6790.58	USD	-7,952.00	
14SEP17	S	1	PD14DEC17	6471.00	6790.58	USD	-7,989.50	
14SEP17	S	1	PD14DEC17	6540.00	6790.58	USD	-6,264.50	
14SEP17	S	2	PD14DEC17	6470.50	6790.58	USD	-16,004.00	
14SEP17	S	2	PD14DEC17	6471.50	6790.58	USD	-15,954.00	
14SEP17	S	2	PD14DEC17	6472.00	6790.58	USD	-15,929.00	
14SEP17	S	1	PD14DEC17	6474.00	6790.58	USD	-7,914.50	
14SEP17	S	5	PD14DEC17	6473.50	6790.58	USD	-39,635.00	
14SEP17	S	1	PD14DEC17	6474.50	6790.58	USD	-7,902.00	
14SEP17	S	1	PD14DEC17	6475.00	6790.58	USD	-7,889.50	
14SEP17	S	1	PD14DEC17	6476.00	6790.58	USD	-7,864.50	
14SEP17	S	1	PD14DEC17	6477.00	6790.58	USD	-7,839.50	
14SEP17	S	1	PD14DEC17	6479.50	6790.58	USD	-7,777.00	
14SEP17	B	1	PD14DEC17	6479.00	6790.58	USD	7,789.50	
14SEP17	B	1	PD14DEC17	6479.50	6790.58	USD	7,777.00	
14SEP17	S	1	PD14DEC17	6494.00	6790.58	USD	-7,414.50	
14SEP17	S	1	PD14DEC17	6490.50	6790.58	USD	-7,502.00	
14SEP17	S	1	PD14DEC17	6488.50	6790.58	USD	-7,552.00	
14SEP17	S	2	PD14DEC17	6489.50	6790.58	USD	-15,054.00	
14SEP17	S	1	PD14DEC17	6493.00	6790.58	USD	-7,439.50	
14SEP17	S	2	PD14DEC17	6492.50	6790.58	USD	-14,904.00	
14SEP17	S	3	PD14DEC17	6493.50	6790.58	USD	-22,281.00	
14SEP17	S	1	PD14DEC17	6495.50	6790.58	USD	-7,377.00	
14SEP17	S	4	PD14DEC17	6486.50	6790.58	USD	-30,408.00	
14SEP17	S	2	PD14DEC17	6489.00	6790.58	USD	-15,079.00	
14SEP17	S	5	PD14DEC17	6496.50	6790.58	USD	-36,760.00	
14SEP17	S	6	PD14DEC17	6500.00	6790.58	USD	-43,587.00	
14SEP17	S	1	PD14DEC17	6505.00	6790.58	USD	-7,139.50	
14SEP17	S	1	PD14DEC17	6508.50	6790.58	USD	-7,052.00	
14SEP17	S	1	PD14DEC17	6514.00	6790.58	USD	-6,914.50	
14SEP17	S	1	PD14DEC17	6516.00	6790.58	USD	-6,864.50	
14SEP17	S	1	PD14DEC17	6516.50	6790.58	USD	-6,852.00	
14SEP17	S	1	PD14DEC17	6519.50	6790.58	USD	-6,777.00	

OPEN POSITION STATEMENT



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SAP0127A

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6529.50	6790.58	USD	6,527.00	
14SEP17	S	2	PD14DEC17	6529.50	6790.58	USD	-13,054.00	
14SEP17	B	6	PD14DEC17	6517.50	6790.58	USD	40,962.00	
14SEP17	S	3	PD14DEC17	6525.50	6790.58	USD	-19,881.00	
14SEP17	S	2	PD14DEC17	6526.50	6790.58	USD	-13,204.00	
14SEP17	S	1	PD14DEC17	6523.50	6790.58	USD	-6,677.00	
14SEP17	S	2	PD14DEC17	6524.00	6790.58	USD	-13,329.00	
14SEP17	S	3	PD14DEC17	6523.00	6790.58	USD	-20,068.50	
14SEP17	S	3	PD14DEC17	6521.50	6790.58	USD	-20,181.00	
14SEP17	S	1	PD14DEC17	6513.00	6790.58	USD	-6,939.50	
14SEP17	S	1	PD14DEC17	6515.00	6790.58	USD	-6,889.50	
14SEP17	S	4	PD14DEC17	6510.50	6790.58	USD	-28,008.00	
14SEP17	S	3	PD14DEC17	6510.00	6790.58	USD	-21,043.50	
14SEP17	S	4	PD14DEC17	6508.00	6790.58	USD	-28,258.00	
14SEP17	S	2	PD14DEC17	6506.00	6790.58	USD	-14,229.00	
14SEP17	S	5	PD14DEC17	6509.00	6790.58	USD	-35,197.50	
14SEP17	S	3	PD14DEC17	6509.50	6790.58	USD	-21,081.00	
14SEP17	S	2	PD14DEC17	6502.00	6790.58	USD	-14,429.00	
14SEP17	S	1	PD14DEC17	6486.00	6790.58	USD	-7,614.50	
14SEP17	B	1	PD14DEC17	6526.00	6790.58	USD	6,614.50	
14SEP17	S	1	PD14DEC17	6510.00	6790.58	USD	-7,014.50	
14SEP17	S	1	PD14DEC17	6499.50	6790.58	USD	-7,277.00	
14SEP17	S	1	PD14DEC17	6501.50	6790.58	USD	-7,227.00	
14SEP17	S	7	PD14DEC17	6504.50	6790.58	USD	-50,064.00	
14SEP17	S	9	PD14DEC17	6505.50	6790.58	USD	-64,143.00	
14SEP17	S	10	PD14DEC17	6504.00	6790.58	USD	-71,645.00	
14SEP17	S	5	PD14DEC17	6503.00	6790.58	USD	-35,947.50	
14SEP17	S	3	PD14DEC17	6501.00	6790.58	USD	-21,718.50	
14SEP17	S	3	PD14DEC17	6497.50	6790.58	USD	-21,981.00	
14SEP17	S	3	PD14DEC17	6502.50	6790.58	USD	-21,606.00	
14SEP17	S	9	PD14DEC17	6503.50	6790.58	USD	-64,593.00	
14SEP17	S	5	PD14DEC17	6498.00	6790.58	USD	-36,572.50	
14SEP17	S	2	PD14DEC17	6494.50	6790.58	USD	-14,804.00	
14SEP17	S	1	PD14DEC17	6495.50	6790.58	USD	-7,377.00	
15SEP17	B	105	PD14DEC17	6506.79	6790.58	USD	744,948.75	
19SEP17	B	15	PD14DEC17	6537.02	6790.58	USD	95,085.00	
20SEP17	B	10	PD14DEC17	6524.89	6790.58	USD	66,422.50	
	NET	0	AVG BGHT 6511.47	SOLD 6503.34			-39,453.75	0.00
15SEP17	S	2	PD15DEC17	6486.50	6791.06	USD	-15,228.00	
15SEP17	S	1	PD15DEC17	6488.50	6791.06	USD	-7,564.00	
15SEP17	S	1	PD15DEC17	6489.50	6791.06	USD	-7,539.00	
15SEP17	S	3	PD15DEC17	6488.00	6791.06	USD	-22,729.50	
15SEP17	S	1	PD15DEC17	6487.50	6791.06	USD	-7,589.00	
15SEP17	S	1	PD15DEC17	6487.00	6791.06	USD	-7,601.50	
15SEP17	S	4	PD15DEC17	6501.50	6791.06	USD	-28,956.00	
15SEP17	S	1	PD15DEC17	6501.00	6791.06	USD	-7,251.50	
15SEP17	B	1	PD15DEC17	6493.00	6791.06	USD	7,451.50	
15SEP17	B	2	PD15DEC17	6494.50	6791.06	USD	14,828.00	
15SEP17	B	4	PD15DEC17	6495.00	6791.06	USD	29,606.00	
15SEP17	B	2	PD15DEC17	6495.50	6791.06	USD	14,778.00	
15SEP17	B	1	PD15DEC17	6496.00	6791.06	USD	7,376.50	
15SEP17	B	1	PD15DEC17	6493.50	6791.06	USD	7,439.00	
15SEP17	B	1	PD15DEC17	6492.50	6791.06	USD	7,464.00	
15SEP17	B	1	PD15DEC17	6491.00	6791.06	USD	7,501.50	
15SEP17	B	2	PD15DEC17	6489.00	6791.06	USD	15,103.00	
15SEP17	B	1	PD15DEC17	6501.50	6791.06	USD	7,239.00	
15SEP17	B	1	PD15DEC17	6510.50	6791.06	USD	7,014.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	6524.00	6791.06	USD	-13,353.00	
15SEP17	S	3	PD15DEC17	6525.00	6791.06	USD	-19,954.50	
15SEP17	S	2	PD15DEC17	6516.50	6791.06	USD	-13,728.00	
15SEP17	S	2	PD15DEC17	6514.00	6791.06	USD	-13,853.00	
15SEP17	S	1	PD15DEC17	6515.50	6791.06	USD	-6,889.00	
15SEP17	S	3	PD15DEC17	6510.00	6791.06	USD	-21,079.50	
15SEP17	S	1	PD15DEC17	6509.00	6791.06	USD	-7,051.50	
15SEP17	S	2	PD15DEC17	6507.50	6791.06	USD	-14,178.00	
15SEP17	B	2	PD15DEC17	6504.50	6791.06	USD	14,328.00	
15SEP17	S	1	PD15DEC17	6509.50	6791.06	USD	-7,039.00	
15SEP17	B	1	PD15DEC17	6499.00	6791.06	USD	7,301.50	
15SEP17	B	2	PD15DEC17	6499.50	6791.06	USD	14,578.00	
15SEP17	B	1	PD15DEC17	6498.00	6791.06	USD	7,326.50	
15SEP17	B	3	PD15DEC17	6506.00	6791.06	USD	21,379.50	
15SEP17	B	1	PD15DEC17	6495.50	6791.06	USD	7,389.00	
15SEP17	B	1	PD15DEC17	6495.00	6791.06	USD	7,401.50	
15SEP17	B	1	PD15DEC17	6507.00	6791.06	USD	7,101.50	
15SEP17	S	1	PD15DEC17	6493.50	6791.06	USD	-7,439.00	
15SEP17	S	4	PD15DEC17	6497.00	6791.06	USD	-29,406.00	
15SEP17	S	1	PD15DEC17	6499.00	6791.06	USD	-7,301.50	
15SEP17	S	4	PD15DEC17	6497.50	6791.06	USD	-29,356.00	
15SEP17	S	2	PD15DEC17	6494.50	6791.06	USD	-14,828.00	
15SEP17	S	3	PD15DEC17	6494.00	6791.06	USD	-22,279.50	
15SEP17	S	5	PD15DEC17	6492.50	6791.06	USD	-37,320.00	
15SEP17	S	8	PD15DEC17	6493.00	6791.06	USD	-59,612.00	
15SEP17	S	3	PD15DEC17	6492.00	6791.06	USD	-22,429.50	
15SEP17	S	6	PD15DEC17	6491.50	6791.06	USD	-44,934.00	
15SEP17	S	2	PD15DEC17	6484.00	6791.06	USD	-15,353.00	
15SEP17	S	2	PD15DEC17	6475.50	6791.06	USD	-15,778.00	
15SEP17	S	4	PD15DEC17	6475.00	6791.06	USD	-31,606.00	
15SEP17	S	4	PD15DEC17	6476.50	6791.06	USD	-31,456.00	
15SEP17	S	1	PD15DEC17	6493.00	6791.06	USD	-7,451.50	
15SEP17	S	2	PD15DEC17	6466.00	6791.06	USD	-16,253.00	
15SEP17	S	1	PD15DEC17	6467.00	6791.06	USD	-8,101.50	
15SEP17	S	2	PD15DEC17	6467.50	6791.06	USD	-16,178.00	
15SEP17	S	1	PD15DEC17	6462.00	6791.06	USD	-8,226.50	
15SEP17	S	1	PD15DEC17	6490.00	6791.06	USD	-7,526.50	
15SEP17	S	2	PD15DEC17	6490.50	6791.06	USD	-15,028.00	
15SEP17	B	3	PD15DEC17	6500.00	6791.06	USD	21,829.50	
15SEP17	B	1	PD15DEC17	6500.50	6791.06	USD	7,264.00	
15SEP17	B	3	PD15DEC17	6502.00	6791.06	USD	21,679.50	
15SEP17	B	1	PD15DEC17	6497.50	6791.06	USD	7,339.00	
15SEP17	B	2	PD15DEC17	6501.00	6791.06	USD	14,503.00	
15SEP17	S	1	PD15DEC17	6503.50	6791.06	USD	-7,189.00	
15SEP17	S	2	PD15DEC17	6510.50	6791.06	USD	-14,028.00	
15SEP17	S	1	PD15DEC17	6512.50	6791.06	USD	-6,964.00	
15SEP17	S	5	PD15DEC17	6508.00	6791.06	USD	-35,382.50	
15SEP17	S	3	PD15DEC17	6508.50	6791.06	USD	-21,192.00	
15SEP17	B	1	PD15DEC17	6509.00	6791.06	USD	7,051.50	
15SEP17	B	5	PD15DEC17	6508.50	6791.06	USD	35,320.00	
15SEP17	B	4	PD15DEC17	6508.00	6791.06	USD	28,306.00	
15SEP17	B	1	PD15DEC17	6503.00	6791.06	USD	7,201.50	
15SEP17	B	3	PD15DEC17	6504.00	6791.06	USD	21,529.50	
15SEP17	B	5	PD15DEC17	6505.50	6791.06	USD	35,695.00	
15SEP17	B	9	PD15DEC17	6505.00	6791.06	USD	64,363.50	
15SEP17	B	6	PD15DEC17	6506.50	6791.06	USD	42,684.00	
15SEP17	S	1	PD15DEC17	6512.00	6791.06	USD	-6,976.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	30	PD15DEC17	6525.20	6791.06	USD	199,395.00	
	NET	0 AVG BGHT 6508.82	SOLD 6495.46				-34,412.50	0.00
18SEP17	S	2	PD18DEC17	6559.50	6792.50	USD	-11,650.00	
18SEP17	S	3	PD18DEC17	6551.50	6792.50	USD	-18,075.00	
18SEP17	S	1	PD18DEC17	6544.00	6792.50	USD	-6,212.50	
18SEP17	S	3	PD18DEC17	6554.50	6792.50	USD	-17,850.00	
18SEP17	S	3	PD18DEC17	6551.00	6792.50	USD	-18,112.50	
18SEP17	S	1	PD18DEC17	6552.50	6792.50	USD	-6,000.00	
18SEP17	S	2	PD18DEC17	6555.00	6792.50	USD	-11,875.00	
18SEP17	S	2	PD18DEC17	6545.00	6792.50	USD	-12,375.00	
18SEP17	B	1	PD18DEC17	6543.50	6792.50	USD	6,225.00	
18SEP17	B	1	PD18DEC17	6545.00	6792.50	USD	6,187.50	
18SEP17	B	1	PD18DEC17	6543.00	6792.50	USD	6,237.50	
18SEP17	B	3	PD18DEC17	6535.50	6792.50	USD	19,275.00	
18SEP17	B	1	PD18DEC17	6537.50	6792.50	USD	6,375.00	
18SEP17	B	1	PD18DEC17	6539.50	6792.50	USD	6,325.00	
18SEP17	B	2	PD18DEC17	6541.00	6792.50	USD	12,575.00	
18SEP17	B	1	PD18DEC17	6540.00	6792.50	USD	6,312.50	
18SEP17	B	3	PD18DEC17	6539.00	6792.50	USD	19,012.50	
18SEP17	B	2	PD18DEC17	6540.50	6792.50	USD	12,600.00	
18SEP17	B	1	PD18DEC17	6542.50	6792.50	USD	6,250.00	
18SEP17	B	1	PD18DEC17	6541.50	6792.50	USD	6,275.00	
18SEP17	B	5	PD18DEC17	6536.00	6792.50	USD	32,062.50	
18SEP17	B	3	PD18DEC17	6537.00	6792.50	USD	19,162.50	
18SEP17	B	2	PD18DEC17	6538.00	6792.50	USD	12,725.00	
18SEP17	B	1	PD18DEC17	6536.50	6792.50	USD	6,400.00	
18SEP17	B	5	PD18DEC17	6530.00	6792.50	USD	32,812.50	
18SEP17	B	4	PD18DEC17	6538.50	6792.50	USD	25,400.00	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	2	PD18DEC17	6547.00	6792.50	USD	-12,275.00	
18SEP17	S	5	PD18DEC17	6548.00	6792.50	USD	-30,562.50	
18SEP17	S	3	PD18DEC17	6548.50	6792.50	USD	-18,300.00	
18SEP17	S	3	PD18DEC17	6554.00	6792.50	USD	-17,887.50	
18SEP17	S	3	PD18DEC17	6550.50	6792.50	USD	-18,150.00	
18SEP17	S	4	PD18DEC17	6547.50	6792.50	USD	-24,500.00	
18SEP17	B	1	PD18DEC17	6555.00	6792.50	USD	5,937.50	
18SEP17	S	3	PD18DEC17	6544.50	6792.50	USD	-18,600.00	
18SEP17	S	5	PD18DEC17	6541.50	6792.50	USD	-31,375.00	
18SEP17	S	2	PD18DEC17	6540.50	6792.50	USD	-12,600.00	
18SEP17	S	1	PD18DEC17	6539.00	6792.50	USD	-6,337.50	
18SEP17	S	2	PD18DEC17	6543.50	6792.50	USD	-12,450.00	
18SEP17	S	3	PD18DEC17	6538.00	6792.50	USD	-19,087.50	
18SEP17	S	3	PD18DEC17	6538.50	6792.50	USD	-19,050.00	
18SEP17	S	3	PD18DEC17	6540.00	6792.50	USD	-18,937.50	
18SEP17	S	2	PD18DEC17	6541.00	6792.50	USD	-12,575.00	
18SEP17	S	1	PD18DEC17	6530.50	6792.50	USD	-6,550.00	
18SEP17	S	2	PD18DEC17	6531.50	6792.50	USD	-13,050.00	
18SEP17	S	1	PD18DEC17	6530.00	6792.50	USD	-6,562.50	
18SEP17	S	1	PD18DEC17	6528.00	6792.50	USD	-6,612.50	
18SEP17	S	1	PD18DEC17	6526.50	6792.50	USD	-6,650.00	
18SEP17	S	1	PD18DEC17	6525.50	6792.50	USD	-6,675.00	
18SEP17	S	2	PD18DEC17	6522.00	6792.50	USD	-13,525.00	
18SEP17	S	2	PD18DEC17	6524.50	6792.50	USD	-13,400.00	
18SEP17	B	3	PD18DEC17	6525.00	6792.50	USD	20,062.50	
18SEP17	B	1	PD18DEC17	6526.00	6792.50	USD	6,662.50	
18SEP17	B	1	PD18DEC17	6528.50	6792.50	USD	6,600.00	
18SEP17	B	4	PD18DEC17	6529.50	6792.50	USD	26,300.00	

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18SEP17	B	5	PD18DEC17	6528.00	6792.50	USD	33,062.50	
18SEP17	B	1	PD18DEC17	6527.50	6792.50	USD	6,625.00	
18SEP17	B	3	PD18DEC17	6527.00	6792.50	USD	19,912.50	
18SEP17	B	1	PD18DEC17	6531.50	6792.50	USD	6,525.00	
18SEP17	B	2	PD18DEC17	6535.00	6792.50	USD	12,875.00	
18SEP17	B	2	PD18DEC17	6532.50	6792.50	USD	13,000.00	
18SEP17	B	3	PD18DEC17	6534.50	6792.50	USD	19,350.00	
18SEP17	B	2	PD18DEC17	6534.00	6792.50	USD	12,925.00	
18SEP17	B	4	PD18DEC17	6533.50	6792.50	USD	25,900.00	
18SEP17	B	2	PD18DEC17	6533.00	6792.50	USD	12,975.00	
18SEP17	B	6	PD18DEC17	6529.00	6792.50	USD	39,525.00	
18SEP17	B	3	PD18DEC17	6530.50	6792.50	USD	19,650.00	
18SEP17	B	3	PD18DEC17	6531.00	6792.50	USD	19,612.50	
18SEP17	B	2	PD18DEC17	6532.00	6792.50	USD	13,025.00	
18SEP17	B	1	PD18DEC17	6524.00	6792.50	USD	6,712.50	
19SEP17	S	15	PD18DEC17	6538.40	6792.50	USD	-95,287.50	
	NET	0 AVG BGHT 6533.66	SOLD 6542.80				20,112.50	0.00
19SEP17	S	5	PD19DEC17	6536.00	6792.50	USD	-32,062.50	
19SEP17	S	6	PD19DEC17	6537.00	6792.50	USD	-38,325.00	
19SEP17	S	2	PD19DEC17	6532.00	6792.50	USD	-13,025.00	
19SEP17	S	2	PD19DEC17	6532.50	6792.50	USD	-13,000.00	
19SEP17	S	1	PD19DEC17	6530.00	6792.50	USD	-6,562.50	
19SEP17	S	3	PD19DEC17	6530.50	6792.50	USD	-19,650.00	
19SEP17	S	3	PD19DEC17	6531.50	6792.50	USD	-19,575.00	
19SEP17	S	3	PD19DEC17	6535.00	6792.50	USD	-19,312.50	
19SEP17	S	4	PD19DEC17	6533.00	6792.50	USD	-25,950.00	
19SEP17	S	9	PD19DEC17	6536.50	6792.50	USD	-57,600.00	
19SEP17	S	4	PD19DEC17	6537.50	6792.50	USD	-25,500.00	
19SEP17	S	2	PD19DEC17	6538.00	6792.50	USD	-12,725.00	
19SEP17	S	6	PD19DEC17	6538.50	6792.50	USD	-38,100.00	
19SEP17	S	4	PD19DEC17	6539.00	6792.50	USD	-25,350.00	
19SEP17	S	2	PD19DEC17	6547.50	6792.50	USD	-12,250.00	
19SEP17	S	1	PD19DEC17	6547.00	6792.50	USD	-6,137.50	
19SEP17	S	2	PD19DEC17	6546.00	6792.50	USD	-12,325.00	
19SEP17	S	1	PD19DEC17	6544.50	6792.50	USD	-6,200.00	
19SEP17	S	1	PD19DEC17	6545.00	6792.50	USD	-6,187.50	
19SEP17	S	1	PD19DEC17	6542.50	6792.50	USD	-6,250.00	
19SEP17	S	2	PD19DEC17	6544.00	6792.50	USD	-12,425.00	
19SEP17	S	1	PD19DEC17	6549.00	6792.50	USD	-6,087.50	
19SEP17	S	1	PD19DEC17	6545.50	6792.50	USD	-6,175.00	
19SEP17	S	2	PD19DEC17	6543.50	6792.50	USD	-12,450.00	
19SEP17	S	2	PD19DEC17	6546.50	6792.50	USD	-12,300.00	
19SEP17	S	1	PD19DEC17	6541.00	6792.50	USD	-6,287.50	
19SEP17	B	1	PD19DEC17	6544.50	6792.50	USD	6,200.00	
19SEP17	B	3	PD19DEC17	6544.00	6792.50	USD	18,637.50	
19SEP17	B	4	PD19DEC17	6543.50	6792.50	USD	24,900.00	
19SEP17	B	2	PD19DEC17	6539.50	6792.50	USD	12,650.00	
19SEP17	B	2	PD19DEC17	6537.50	6792.50	USD	12,750.00	
19SEP17	B	1	PD19DEC17	6536.50	6792.50	USD	6,400.00	
19SEP17	B	2	PD19DEC17	6542.50	6792.50	USD	12,500.00	
19SEP17	B	2	PD19DEC17	6547.00	6792.50	USD	12,275.00	
19SEP17	B	2	PD19DEC17	6549.50	6792.50	USD	12,150.00	
19SEP17	B	1	PD19DEC17	6549.00	6792.50	USD	6,087.50	
19SEP17	B	1	PD19DEC17	6551.00	6792.50	USD	6,037.50	
19SEP17	B	2	PD19DEC17	6553.00	6792.50	USD	11,975.00	
19SEP17	B	3	PD19DEC17	6552.50	6792.50	USD	18,000.00	
19SEP17	S	1	PD19DEC17	6561.50	6792.50	USD	-5,775.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	5	PD19DEC17	6550.00	6792.50	USD	30,312.50	
19SEP17	B	1	PD19DEC17	6550.50	6792.50	USD	6,050.00	
19SEP17	B	2	PD19DEC17	6547.50	6792.50	USD	12,250.00	
19SEP17	S	1	PD19DEC17	6542.00	6792.50	USD	-6,262.50	
19SEP17	B	4	PD19DEC17	6545.00	6792.50	USD	24,750.00	
19SEP17	B	4	PD19DEC17	6540.50	6792.50	USD	25,200.00	
19SEP17	B	3	PD19DEC17	6541.50	6792.50	USD	18,825.00	
19SEP17	B	7	PD19DEC17	6542.00	6792.50	USD	43,837.50	
19SEP17	B	8	PD19DEC17	6541.00	6792.50	USD	50,300.00	
19SEP17	B	5	PD19DEC17	6538.50	6792.50	USD	31,750.00	
19SEP17	S	1	PD19DEC17	6537.50	6792.50	USD	-6,375.00	
19SEP17	B	1	PD19DEC17	6543.00	6792.50	USD	6,237.50	
19SEP17	S	1	PD19DEC17	6540.00	6792.50	USD	-6,312.50	
19SEP17	B	1	PD19DEC17	6548.00	6792.50	USD	6,112.50	
19SEP17	B	1	PD19DEC17	6549.50	6792.50	USD	6,075.00	
19SEP17	B	1	PD19DEC17	6545.50	6792.50	USD	6,175.00	
19SEP17	B	4	PD19DEC17	6543.00	6792.50	USD	24,950.00	
19SEP17	B	4	PD19DEC17	6539.00	6792.50	USD	25,350.00	
19SEP17	B	12	PD19DEC17	6540.00	6792.50	USD	75,750.00	
19SEP17	B	2	PD19DEC17	6538.00	6792.50	USD	12,725.00	
19SEP17	B	1	PD19DEC17	6533.00	6792.50	USD	6,487.50	
19SEP17	S	1	PD19DEC17	6534.00	6792.50	USD	-6,462.50	
19SEP17	B	1	PD19DEC17	6516.50	6792.50	USD	6,900.00	
19SEP17	B	1	PD19DEC17	6537.00	6792.50	USD	6,387.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	B	1	PD19DEC17	6535.50	6792.50	USD	6,425.00	
19SEP17	B	4	PD19DEC17	6534.50	6792.50	USD	25,800.00	
19SEP17	B	2	PD19DEC17	6533.50	6792.50	USD	12,950.00	
19SEP17	B	7	PD19DEC17	6535.00	6792.50	USD	45,062.50	
19SEP17	B	9	PD19DEC17	6534.00	6792.50	USD	58,162.50	
19SEP17	B	2	PD19DEC17	6528.50	6792.50	USD	13,200.00	
19SEP17	B	2	PD19DEC17	6528.00	6792.50	USD	13,225.00	
19SEP17	B	6	PD19DEC17	6526.00	6792.50	USD	39,975.00	
19SEP17	S	1	PD19DEC17	6521.50	6792.50	USD	-6,775.00	
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
19SEP17	B	2	PD19DEC17	6521.00	6792.50	USD	13,575.00	
19SEP17	B	6	PD19DEC17	6526.50	6792.50	USD	39,900.00	
19SEP17	S	1	PD19DEC17	6527.00	6792.50	USD	-6,637.50	
19SEP17	S	1	PD19DEC17	6528.50	6792.50	USD	-6,600.00	
19SEP17	S	1	PD19DEC17	6524.00	6792.50	USD	-6,712.50	
19SEP17	B	3	PD19DEC17	6522.00	6792.50	USD	20,287.50	
19SEP17	S	1	PD19DEC17	6523.00	6792.50	USD	-6,737.50	
19SEP17	B	1	PD19DEC17	6522.50	6792.50	USD	6,750.00	
19SEP17	B	2	PD19DEC17	6524.50	6792.50	USD	13,400.00	
19SEP17	B	4	PD19DEC17	6523.00	6792.50	USD	26,950.00	
19SEP17	B	1	PD19DEC17	6530.00	6792.50	USD	6,562.50	
19SEP17	B	3	PD19DEC17	6529.00	6792.50	USD	19,762.50	
19SEP17	B	3	PD19DEC17	6527.00	6792.50	USD	19,912.50	
19SEP17	B	10	PD19DEC17	6525.00	6792.50	USD	66,875.00	
19SEP17	B	6	PD19DEC17	6524.00	6792.50	USD	40,275.00	
19SEP17	B	7	PD19DEC17	6523.50	6792.50	USD	47,075.00	
19SEP17	B	1	PD19DEC17	6519.50	6792.50	USD	6,825.00	
19SEP17	B	3	PD19DEC17	6521.50	6792.50	USD	20,325.00	
19SEP17	B	2	PD19DEC17	6520.50	6792.50	USD	13,600.00	
19SEP17	B	4	PD19DEC17	6517.00	6792.50	USD	27,550.00	
19SEP17	B	6	PD19DEC17	6517.50	6792.50	USD	41,250.00	
19SEP17	B	4	PD19DEC17	6514.50	6792.50	USD	27,800.00	

OPEN POSITION STATEMENT



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SAP0127A

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6518.00	6792.50	USD	13,725.00	
19SEP17	B	7	PD19DEC17	6515.00	6792.50	USD	48,562.50	
19SEP17	B	5	PD19DEC17	6516.00	6792.50	USD	34,562.50	
19SEP17	B	3	PD19DEC17	6515.50	6792.50	USD	20,775.00	
19SEP17	B	3	PD19DEC17	6510.00	6792.50	USD	21,187.50	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	S	1	PD19DEC17	6543.50	6792.50	USD	-6,225.00	
19SEP17	B	1	PD19DEC17	6510.00	6792.50	USD	7,062.50	
19SEP17	B	4	PD19DEC17	6529.50	6792.50	USD	26,300.00	
19SEP17	S	1	PD19DEC17	6529.00	6792.50	USD	-6,587.50	
25SEP17	S	60	PD19DEC17	6448.75	6792.50	USD	-515,625.00	
03OCT17	S	10	PD19DEC17	6517.35	6792.50	USD	-68,787.50	
06OCT17	S	70	PD19DEC17	6662.00	6792.50	USD	-228,375.00	
	NET	0	AVG BGHT 6531.89	SOLD 6551.77			110,850.00	0.00
20SEP17	B	1	PD20DEC17	6561.50	6792.50	USD	5,775.00	
20SEP17	S	1	PD20DEC17	6565.00	6792.50	USD	-5,687.50	
20SEP17	S	1	PD20DEC17	6567.00	6792.50	USD	-5,637.50	
20SEP17	S	1	PD20DEC17	6566.50	6792.50	USD	-5,650.00	
20SEP17	S	1	PD20DEC17	6567.50	6792.50	USD	-5,625.00	
20SEP17	B	1	PD20DEC17	6543.00	6792.50	USD	6,237.50	
20SEP17	B	4	PD20DEC17	6536.00	6792.50	USD	25,650.00	
20SEP17	S	1	PD20DEC17	6553.50	6792.50	USD	-5,975.00	
20SEP17	S	1	PD20DEC17	6556.00	6792.50	USD	-5,912.50	
20SEP17	S	2	PD20DEC17	6559.50	6792.50	USD	-11,650.00	
20SEP17	S	2	PD20DEC17	6559.00	6792.50	USD	-11,675.00	
20SEP17	S	8	PD20DEC17	6557.00	6792.50	USD	-47,100.00	
20SEP17	S	1	PD20DEC17	6560.50	6792.50	USD	-5,800.00	
20SEP17	S	2	PD20DEC17	6564.50	6792.50	USD	-11,400.00	
20SEP17	S	2	PD20DEC17	6560.00	6792.50	USD	-11,625.00	
20SEP17	S	3	PD20DEC17	6561.00	6792.50	USD	-17,362.50	
20SEP17	S	1	PD20DEC17	6563.50	6792.50	USD	-5,725.00	
20SEP17	S	5	PD20DEC17	6561.50	6792.50	USD	-28,875.00	
20SEP17	S	3	PD20DEC17	6558.50	6792.50	USD	-17,550.00	
20SEP17	S	5	PD20DEC17	6557.50	6792.50	USD	-29,375.00	
20SEP17	S	7	PD20DEC17	6558.00	6792.50	USD	-41,037.50	
20SEP17	S	1	PD20DEC17	6564.00	6792.50	USD	-5,712.50	
20SEP17	S	4	PD20DEC17	6562.50	6792.50	USD	-23,000.00	
20SEP17	S	10	PD20DEC17	6562.00	6792.50	USD	-57,625.00	
20SEP17	S	7	PD20DEC17	6563.00	6792.50	USD	-40,162.50	
20SEP17	B	1	PD20DEC17	6555.00	6792.50	USD	5,937.50	
20SEP17	B	1	PD20DEC17	6557.50	6792.50	USD	5,875.00	
20SEP17	B	1	PD20DEC17	6556.50	6792.50	USD	5,900.00	
20SEP17	B	3	PD20DEC17	6557.00	6792.50	USD	17,662.50	
20SEP17	B	1	PD20DEC17	6558.00	6792.50	USD	5,862.50	
20SEP17	B	1	PD20DEC17	6553.50	6792.50	USD	5,975.00	
20SEP17	B	2	PD20DEC17	6549.50	6792.50	USD	12,150.00	
20SEP17	B	2	PD20DEC17	6551.50	6792.50	USD	12,050.00	
20SEP17	B	1	PD20DEC17	6553.00	6792.50	USD	5,987.50	
20SEP17	B	1	PD20DEC17	6552.00	6792.50	USD	6,012.50	
20SEP17	B	1	PD20DEC17	6551.00	6792.50	USD	6,037.50	
20SEP17	B	3	PD20DEC17	6552.50	6792.50	USD	18,000.00	
20SEP17	B	1	PD20DEC17	6548.50	6792.50	USD	6,100.00	
20SEP17	S	2	PD20DEC17	6547.00	6792.50	USD	-12,275.00	
20SEP17	S	3	PD20DEC17	6546.00	6792.50	USD	-18,487.50	
20SEP17	S	1	PD20DEC17	6542.50	6792.50	USD	-6,250.00	
20SEP17	S	1	PD20DEC17	6544.50	6792.50	USD	-6,200.00	
20SEP17	S	3	PD20DEC17	6549.00	6792.50	USD	-18,262.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD20DEC17	6546.50	6792.50	USD	-6,150.00	
20SEP17	S	1	PD20DEC17	6544.00	6792.50	USD	-6,212.50	
20SEP17	B	1	PD20DEC17	6543.50	6792.50	USD	6,225.00	
20SEP17	B	2	PD20DEC17	6544.00	6792.50	USD	12,425.00	
20SEP17	B	2	PD20DEC17	6544.50	6792.50	USD	12,400.00	
20SEP17	B	1	PD20DEC17	6542.00	6792.50	USD	6,262.50	
20SEP17	S	1	PD20DEC17	6533.50	6792.50	USD	-6,475.00	
20SEP17	S	1	PD20DEC17	6528.00	6792.50	USD	-6,612.50	
20SEP17	S	1	PD20DEC17	6526.00	6792.50	USD	-6,662.50	
20SEP17	S	1	PD20DEC17	6525.00	6792.50	USD	-6,687.50	
20SEP17	S	5	PD20DEC17	6523.50	6792.50	USD	-33,625.00	
20SEP17	S	1	PD20DEC17	6519.50	6792.50	USD	-6,825.00	
20SEP17	S	1	PD20DEC17	6522.50	6792.50	USD	-6,750.00	
20SEP17	S	1	PD20DEC17	6516.00	6792.50	USD	-6,912.50	
20SEP17	S	1	PD20DEC17	6514.00	6792.50	USD	-6,962.50	
20SEP17	S	1	PD20DEC17	6516.50	6792.50	USD	-6,900.00	
20SEP17	S	2	PD20DEC17	6518.50	6792.50	USD	-13,700.00	
20SEP17	S	1	PD20DEC17	6513.00	6792.50	USD	-6,987.50	
20SEP17	B	3	PD20DEC17	6522.00	6792.50	USD	20,287.50	
20SEP17	B	1	PD20DEC17	6524.00	6792.50	USD	6,712.50	
20SEP17	B	2	PD20DEC17	6524.50	6792.50	USD	13,400.00	
20SEP17	B	1	PD20DEC17	6524.50	6792.50	USD	6,700.00	
20SEP17	B	1	PD20DEC17	6528.00	6792.50	USD	6,612.50	
20SEP17	B	2	PD20DEC17	6530.50	6792.50	USD	13,100.00	
20SEP17	B	1	PD20DEC17	6532.50	6792.50	USD	6,500.00	
20SEP17	B	1	PD20DEC17	6532.00	6792.50	USD	6,512.50	
20SEP17	S	1	PD20DEC17	6529.50	6792.50	USD	-6,575.00	
20SEP17	S	4	PD20DEC17	6527.00	6792.50	USD	-26,550.00	
20SEP17	B	3	PD20DEC17	6521.50	6792.50	USD	20,325.00	
20SEP17	B	3	PD20DEC17	6522.50	6792.50	USD	20,250.00	
20SEP17	B	1	PD20DEC17	6527.00	6792.50	USD	6,637.50	
20SEP17	B	3	PD20DEC17	6529.50	6792.50	USD	19,725.00	
20SEP17	S	1	PD20DEC17	6525.50	6792.50	USD	-6,675.00	
20SEP17	B	5	PD20DEC17	6523.50	6792.50	USD	33,625.00	
20SEP17	B	4	PD20DEC17	6526.50	6792.50	USD	26,600.00	
20SEP17	B	9	PD20DEC17	6526.00	6792.50	USD	59,962.50	
20SEP17	B	1	PD20DEC17	6525.50	6792.50	USD	6,675.00	
20SEP17	B	7	PD20DEC17	6525.00	6792.50	USD	46,812.50	
20SEP17	B	3	PD20DEC17	6531.50	6792.50	USD	19,575.00	
20SEP17	B	2	PD20DEC17	6529.00	6792.50	USD	13,175.00	
22SEP17	B	20	PD20DEC17	6458.95	6792.50	USD	166,775.00	
	NET	0	AVG BGHT 6520.00	SOLD 6550.62			79,587.50	0.00
21SEP17	S	1	PD21DEC17	6464.00	6791.50	USD	-8,187.50	
21SEP17	S	1	PD21DEC17	6453.50	6791.50	USD	-8,450.00	
21SEP17	S	2	PD21DEC17	6454.00	6791.50	USD	-16,875.00	
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	
21SEP17	S	3	PD21DEC17	6455.50	6791.50	USD	-25,200.00	
21SEP17	S	7	PD21DEC17	6456.00	6791.50	USD	-58,712.50	
21SEP17	S	1	PD21DEC17	6454.50	6791.50	USD	-8,425.00	
21SEP17	S	3	PD21DEC17	6453.00	6791.50	USD	-25,387.50	
21SEP17	S	6	PD21DEC17	6457.50	6791.50	USD	-50,100.00	
21SEP17	S	1	PD21DEC17	6459.00	6791.50	USD	-8,312.50	
21SEP17	S	3	PD21DEC17	6452.00	6791.50	USD	-25,462.50	
21SEP17	S	2	PD21DEC17	6452.50	6791.50	USD	-16,950.00	
21SEP17	S	1	PD21DEC17	6448.00	6791.50	USD	-8,587.50	
21SEP17	S	4	PD21DEC17	6448.50	6791.50	USD	-34,300.00	
21SEP17	S	1	PD21DEC17	6443.50	6791.50	USD	-8,700.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6436.50	6791.50	USD	-8,875.00	
21SEP17	S	4	PD21DEC17	6438.00	6791.50	USD	-35,350.00	
21SEP17	S	3	PD21DEC17	6442.50	6791.50	USD	-26,175.00	
21SEP17	S	1	PD21DEC17	6445.00	6791.50	USD	-8,662.50	
21SEP17	S	1	PD21DEC17	6444.50	6791.50	USD	-8,675.00	
21SEP17	S	1	PD21DEC17	6444.00	6791.50	USD	-8,687.50	
21SEP17	B	1	PD21DEC17	6443.50	6791.50	USD	8,700.00	
21SEP17	S	2	PD21DEC17	6445.50	6791.50	USD	-17,300.00	
21SEP17	S	2	PD21DEC17	6441.00	6791.50	USD	-17,525.00	
21SEP17	B	1	PD21DEC17	6446.00	6791.50	USD	8,637.50	
21SEP17	B	1	PD21DEC17	6445.50	6791.50	USD	8,650.00	
21SEP17	S	3	PD21DEC17	6441.50	6791.50	USD	-26,250.00	
21SEP17	S	1	PD21DEC17	6439.50	6791.50	USD	-8,800.00	
21SEP17	S	4	PD21DEC17	6442.00	6791.50	USD	-34,950.00	
21SEP17	B	1	PD21DEC17	6439.00	6791.50	USD	8,812.50	
21SEP17	B	2	PD21DEC17	6445.00	6791.50	USD	17,325.00	
21SEP17	S	1	PD21DEC17	6459.50	6791.50	USD	-8,300.00	
21SEP17	S	1	PD21DEC17	6461.50	6791.50	USD	-8,250.00	
21SEP17	S	1	PD21DEC17	6463.00	6791.50	USD	-8,212.50	
21SEP17	S	1	PD21DEC17	6462.00	6791.50	USD	-8,237.50	
21SEP17	S	1	PD21DEC17	6465.00	6791.50	USD	-8,162.50	
21SEP17	S	1	PD21DEC17	6458.50	6791.50	USD	-8,325.00	
21SEP17	S	5	PD21DEC17	6460.00	6791.50	USD	-41,437.50	
21SEP17	S	11	PD21DEC17	6456.50	6791.50	USD	-92,125.00	
21SEP17	S	4	PD21DEC17	6458.00	6791.50	USD	-33,350.00	
21SEP17	B	1	PD21DEC17	6459.50	6791.50	USD	8,300.00	
21SEP17	B	1	PD21DEC17	6461.00	6791.50	USD	8,262.50	
21SEP17	S	2	PD21DEC17	6460.50	6791.50	USD	-16,550.00	
21SEP17	S	1	PD21DEC17	6457.00	6791.50	USD	-8,362.50	
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	
21SEP17	S	1	PD21DEC17	6460.50	6791.50	USD	-8,275.00	
21SEP17	B	1	PD21DEC17	6462.50	6791.50	USD	8,225.00	
21SEP17	B	1	PD21DEC17	6433.00	6791.50	USD	8,962.50	
21SEP17	B	4	PD21DEC17	6435.00	6791.50	USD	35,650.00	
21SEP17	B	1	PD21DEC17	6436.50	6791.50	USD	8,875.00	
21SEP17	B	2	PD21DEC17	6437.00	6791.50	USD	17,725.00	
21SEP17	B	2	PD21DEC17	6438.00	6791.50	USD	17,675.00	
21SEP17	B	2	PD21DEC17	6442.50	6791.50	USD	17,450.00	
21SEP17	B	2	PD21DEC17	6442.00	6791.50	USD	17,475.00	
21SEP17	B	1	PD21DEC17	6443.00	6791.50	USD	8,712.50	
21SEP17	B	1	PD21DEC17	6448.50	6791.50	USD	8,575.00	
21SEP17	B	1	PD21DEC17	6447.00	6791.50	USD	8,612.50	
21SEP17	B	2	PD21DEC17	6446.50	6791.50	USD	17,250.00	
21SEP17	B	2	PD21DEC17	6444.00	6791.50	USD	17,375.00	
21SEP17	B	1	PD21DEC17	6473.00	6791.50	USD	7,962.50	
21SEP17	B	1	PD21DEC17	6470.50	6791.50	USD	8,025.00	
21SEP17	B	1	PD21DEC17	6467.50	6791.50	USD	8,100.00	
21SEP17	B	1	PD21DEC17	6456.50	6791.50	USD	8,375.00	
21SEP17	B	1	PD21DEC17	6454.50	6791.50	USD	8,425.00	
21SEP17	S	1	PD21DEC17	6468.50	6791.50	USD	-8,075.00	
21SEP17	S	3	PD21DEC17	6468.00	6791.50	USD	-24,262.50	
21SEP17	S	1	PD21DEC17	6469.00	6791.50	USD	-8,062.50	
21SEP17	S	6	PD21DEC17	6469.50	6791.50	USD	-48,300.00	
21SEP17	B	1	PD21DEC17	6483.50	6791.50	USD	7,700.00	
21SEP17	B	1	PD21DEC17	6489.00	6791.50	USD	7,562.50	
21SEP17	B	1	PD21DEC17	6486.50	6791.50	USD	7,625.00	
21SEP17	B	2	PD21DEC17	6486.00	6791.50	USD	15,275.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6484.50	6791.50	USD	-7,675.00	
21SEP17	B	1	PD21DEC17	6487.50	6791.50	USD	7,600.00	
21SEP17	S	1	PD21DEC17	6481.00	6791.50	USD	-7,762.50	
21SEP17	B	1	PD21DEC17	6478.50	6791.50	USD	7,825.00	
21SEP17	S	1	PD21DEC17	6484.00	6791.50	USD	-7,687.50	
21SEP17	S	2	PD21DEC17	6483.50	6791.50	USD	-15,400.00	
21SEP17	S	1	PD21DEC17	6486.50	6791.50	USD	-7,625.00	
21SEP17	B	1	PD21DEC17	6483.00	6791.50	USD	7,712.50	
21SEP17	B	2	PD21DEC17	6482.00	6791.50	USD	15,475.00	
21SEP17	S	1	PD21DEC17	6480.00	6791.50	USD	-7,787.50	
21SEP17	S	2	PD21DEC17	6477.00	6791.50	USD	-15,725.00	
21SEP17	B	2	PD21DEC17	6464.00	6791.50	USD	16,375.00	
21SEP17	B	2	PD21DEC17	6465.00	6791.50	USD	16,325.00	
21SEP17	B	1	PD21DEC17	6463.00	6791.50	USD	8,212.50	
21SEP17	B	1	PD21DEC17	6471.00	6791.50	USD	8,012.50	
21SEP17	B	3	PD21DEC17	6472.50	6791.50	USD	23,925.00	
21SEP17	B	1	PD21DEC17	6465.50	6791.50	USD	8,150.00	
21SEP17	B	3	PD21DEC17	6473.50	6791.50	USD	23,850.00	
21SEP17	B	2	PD21DEC17	6478.00	6791.50	USD	15,675.00	
21SEP17	B	1	PD21DEC17	6474.00	6791.50	USD	7,937.50	
21SEP17	B	1	PD21DEC17	6479.50	6791.50	USD	7,800.00	
21SEP17	B	3	PD21DEC17	6477.00	6791.50	USD	23,587.50	
21SEP17	B	2	PD21DEC17	6480.50	6791.50	USD	15,550.00	
21SEP17	B	4	PD21DEC17	6481.00	6791.50	USD	31,050.00	
21SEP17	B	3	PD21DEC17	6482.50	6791.50	USD	23,175.00	
21SEP17	B	5	PD21DEC17	6481.50	6791.50	USD	38,750.00	
21SEP17	B	4	PD21DEC17	6475.00	6791.50	USD	31,650.00	
21SEP17	B	4	PD21DEC17	6480.00	6791.50	USD	31,150.00	
21SEP17	B	2	PD21DEC17	6475.50	6791.50	USD	15,800.00	
21SEP17	B	2	PD21DEC17	6476.50	6791.50	USD	15,750.00	
21SEP17	B	1	PD21DEC17	6474.50	6791.50	USD	7,925.00	
21SEP17	B	3	PD21DEC17	6469.50	6791.50	USD	24,150.00	
21SEP17	B	10	PD21DEC17	6470.00	6791.50	USD	80,375.00	
21SEP17	B	11	PD21DEC17	6469.00	6791.50	USD	88,687.50	
21SEP17	B	2	PD21DEC17	6464.50	6791.50	USD	16,350.00	
21SEP17	S	4	PD21DEC17	6446.00	6791.50	USD	-34,550.00	
21SEP17	S	3	PD21DEC17	6440.50	6791.50	USD	-26,325.00	
21SEP17	S	5	PD21DEC17	6440.00	6791.50	USD	-43,937.50	
21SEP17	S	4	PD21DEC17	6437.50	6791.50	USD	-35,400.00	
21SEP17	S	1	PD21DEC17	6429.50	6791.50	USD	-9,050.00	
21SEP17	B	4	PD21DEC17	6441.00	6791.50	USD	35,050.00	
21SEP17	B	1	PD21DEC17	6440.00	6791.50	USD	8,787.50	
21SEP17	S	1	PD21DEC17	6430.50	6791.50	USD	-9,025.00	
21SEP17	B	1	PD21DEC17	6431.50	6791.50	USD	9,000.00	
21SEP17	B	4	PD21DEC17	6434.50	6791.50	USD	35,700.00	
21SEP17	B	2	PD21DEC17	6435.50	6791.50	USD	17,800.00	
21SEP17	B	2	PD21DEC17	6434.00	6791.50	USD	17,875.00	
21SEP17	B	2	PD21DEC17	6430.00	6791.50	USD	18,075.00	
21SEP17	B	1	PD21DEC17	6429.00	6791.50	USD	9,062.50	
21SEP17	B	2	PD21DEC17	6431.00	6791.50	USD	18,025.00	
21SEP17	B	3	PD21DEC17	6432.00	6791.50	USD	26,962.50	
21SEP17	S	1	PD21DEC17	6439.00	6791.50	USD	-8,812.50	
21SEP17	S	5	PD21DEC17	6443.00	6791.50	USD	-43,562.50	
21SEP17	S	2	PD21DEC17	6447.00	6791.50	USD	-17,225.00	
21SEP17	S	1	PD21DEC17	6451.50	6791.50	USD	-8,500.00	
21SEP17	B	1	PD21DEC17	6459.00	6791.50	USD	8,312.50	
21SEP17	B	2	PD21DEC17	6458.50	6791.50	USD	16,650.00	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	S	6	PD21DEC17		6457.00	6791.50	USD	-50,175.00	
21SEP17	B	1	PD21DEC17		6458.00	6791.50	USD	8,337.50	
21SEP17	B	1	PD21DEC17		6457.50	6791.50	USD	8,350.00	
21SEP17	B	2	PD21DEC17		6455.00	6791.50	USD	16,825.00	
21SEP17	B	3	PD21DEC17		6455.50	6791.50	USD	25,200.00	
21SEP17	B	2	PD21DEC17		6450.50	6791.50	USD	17,050.00	
21SEP17	B	2	PD21DEC17		6450.00	6791.50	USD	17,075.00	
21SEP17	B	2	PD21DEC17		6449.50	6791.50	USD	17,100.00	
21SEP17	B	5	PD21DEC17		6480.50	6791.50	USD	38,875.00	
21SEP17	B	1	PD21DEC17		6443.50	6791.50	USD	8,700.00	
21SEP17	B	1	PD21DEC17		6431.00	6791.50	USD	9,012.50	
21SEP17	B	1	PD21DEC17		6430.00	6791.50	USD	9,037.50	
21SEP17	B	1	PD21DEC17		6428.00	6791.50	USD	9,087.50	
21SEP17	B	1	PD21DEC17		6442.50	6791.50	USD	8,725.00	
21SEP17	B	1	PD21DEC17		6442.00	6791.50	USD	8,737.50	
21SEP17	B	1	PD21DEC17		6457.50	6791.50	USD	8,350.00	
21SEP17	B	1	PD21DEC17		6450.50	6791.50	USD	8,525.00	
06OCT17	S	25	PD21DEC17		6661.50	6791.50	USD	-81,250.00	
	NET	0	AVG BGHT 6460.28	SOLD 6484.40				101,925.00	0.00
22SEP17	S	1	PD22DEC17		6398.00	6790.50	USD	-9,812.50	
22SEP17	S	1	PD22DEC17		6396.50	6790.50	USD	-9,850.00	
22SEP17	S	5	PD22DEC17		6393.00	6790.50	USD	-49,687.50	
22SEP17	S	1	PD22DEC17		6390.50	6790.50	USD	-10,000.00	
22SEP17	S	2	PD22DEC17		6392.50	6790.50	USD	-19,900.00	
22SEP17	S	5	PD22DEC17		6397.00	6790.50	USD	-49,187.50	
22SEP17	S	1	PD22DEC17		6397.50	6790.50	USD	-9,825.00	
22SEP17	S	1	PD22DEC17		6400.00	6790.50	USD	-9,762.50	
22SEP17	S	1	PD22DEC17		6401.00	6790.50	USD	-9,737.50	
22SEP17	S	1	PD22DEC17		6390.00	6790.50	USD	-10,012.50	
22SEP17	B	1	PD22DEC17		6381.50	6790.50	USD	10,225.00	
22SEP17	B	1	PD22DEC17		6381.00	6790.50	USD	10,237.50	
22SEP17	B	2	PD22DEC17		6379.50	6790.50	USD	20,550.00	
22SEP17	B	2	PD22DEC17		6378.50	6790.50	USD	20,600.00	
22SEP17	B	1	PD22DEC17		6373.50	6790.50	USD	10,425.00	
22SEP17	B	3	PD22DEC17		6373.00	6790.50	USD	31,312.50	
22SEP17	B	2	PD22DEC17		6374.50	6790.50	USD	20,800.00	
22SEP17	B	3	PD22DEC17		6375.00	6790.50	USD	31,162.50	
22SEP17	B	2	PD22DEC17		6378.00	6790.50	USD	20,625.00	
22SEP17	B	1	PD22DEC17		6375.50	6790.50	USD	10,375.00	
22SEP17	S	1	PD22DEC17		6370.50	6790.50	USD	-10,500.00	
22SEP17	B	5	PD22DEC17		6377.50	6790.50	USD	51,625.00	
22SEP17	S	1	PD22DEC17		6378.50	6790.50	USD	-10,300.00	
22SEP17	S	1	PD22DEC17		6375.50	6790.50	USD	-10,375.00	
22SEP17	B	3	PD22DEC17		6374.00	6790.50	USD	31,237.50	
22SEP17	S	1	PD22DEC17		6373.00	6790.50	USD	-10,437.50	
22SEP17	S	1	PD22DEC17		6380.50	6790.50	USD	-10,250.00	
22SEP17	S	1	PD22DEC17		6380.00	6790.50	USD	-10,262.50	
22SEP17	S	8	PD22DEC17		6381.00	6790.50	USD	-81,900.00	
22SEP17	S	1	PD22DEC17		6382.50	6790.50	USD	-10,200.00	
22SEP17	S	5	PD22DEC17		6387.00	6790.50	USD	-50,437.50	
22SEP17	S	3	PD22DEC17		6383.50	6790.50	USD	-30,525.00	
22SEP17	S	2	PD22DEC17		6384.50	6790.50	USD	-20,300.00	
22SEP17	S	1	PD22DEC17		6386.00	6790.50	USD	-10,112.50	
22SEP17	S	6	PD22DEC17		6385.50	6790.50	USD	-60,750.00	
22SEP17	S	1	PD22DEC17		6388.00	6790.50	USD	-10,062.50	
22SEP17	S	1	PD22DEC17		6389.00	6790.50	USD	-10,037.50	
22SEP17	S	2	PD22DEC17		6387.50	6790.50	USD	-20,150.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6395.00	6790.50	USD	-19,775.00	
22SEP17	S	2	PD22DEC17	6394.50	6790.50	USD	-19,800.00	
22SEP17	S	2	PD22DEC17	6393.50	6790.50	USD	-19,850.00	
22SEP17	S	8	PD22DEC17	6395.50	6790.50	USD	-79,000.00	
22SEP17	S	3	PD22DEC17	6392.00	6790.50	USD	-29,887.50	
22SEP17	S	1	PD22DEC17	6400.50	6790.50	USD	-9,750.00	
22SEP17	S	1	PD22DEC17	6402.50	6790.50	USD	-9,700.00	
22SEP17	B	3	PD22DEC17	6410.00	6790.50	USD	28,537.50	
22SEP17	B	4	PD22DEC17	6418.00	6790.50	USD	37,250.00	
22SEP17	B	1	PD22DEC17	6417.00	6790.50	USD	9,337.50	
22SEP17	S	1	PD22DEC17	6419.50	6790.50	USD	-9,275.00	
22SEP17	S	2	PD22DEC17	6419.00	6790.50	USD	-18,575.00	
22SEP17	S	1	PD22DEC17	6420.50	6790.50	USD	-9,250.00	
22SEP17	S	1	PD22DEC17	6421.50	6790.50	USD	-9,225.00	
22SEP17	S	4	PD22DEC17	6420.00	6790.50	USD	-37,050.00	
22SEP17	S	1	PD22DEC17	6422.50	6790.50	USD	-9,200.00	
22SEP17	B	1	PD22DEC17	6424.00	6790.50	USD	9,162.50	
22SEP17	B	1	PD22DEC17	6424.50	6790.50	USD	9,150.00	
22SEP17	S	2	PD22DEC17	6427.50	6790.50	USD	-18,150.00	
22SEP17	S	1	PD22DEC17	6428.00	6790.50	USD	-9,062.50	
22SEP17	S	3	PD22DEC17	6428.50	6790.50	USD	-27,150.00	
22SEP17	S	1	PD22DEC17	6431.00	6790.50	USD	-8,987.50	
22SEP17	S	1	PD22DEC17	6432.50	6790.50	USD	-8,950.00	
22SEP17	S	2	PD22DEC17	6435.00	6790.50	USD	-17,775.00	
22SEP17	S	1	PD22DEC17	6437.50	6790.50	USD	-8,825.00	
22SEP17	S	2	PD22DEC17	6437.00	6790.50	USD	-17,675.00	
22SEP17	S	3	PD22DEC17	6438.00	6790.50	USD	-26,437.50	
22SEP17	S	1	PD22DEC17	6432.00	6790.50	USD	-8,962.50	
22SEP17	S	2	PD22DEC17	6434.50	6790.50	USD	-17,800.00	
22SEP17	S	4	PD22DEC17	6436.00	6790.50	USD	-35,450.00	
22SEP17	S	4	PD22DEC17	6434.00	6790.50	USD	-35,650.00	
22SEP17	S	5	PD22DEC17	6433.00	6790.50	USD	-44,687.50	
22SEP17	S	4	PD22DEC17	6430.50	6790.50	USD	-36,000.00	
22SEP17	S	1	PD22DEC17	6430.00	6790.50	USD	-9,012.50	
22SEP17	S	2	PD22DEC17	6429.50	6790.50	USD	-18,050.00	
22SEP17	S	3	PD22DEC17	6431.50	6790.50	USD	-26,925.00	
22SEP17	S	3	PD22DEC17	6426.50	6790.50	USD	-27,300.00	
22SEP17	S	1	PD22DEC17	6442.50	6790.50	USD	-8,700.00	
22SEP17	S	1	PD22DEC17	6440.00	6790.50	USD	-8,762.50	
22SEP17	S	1	PD22DEC17	6453.50	6790.50	USD	-8,425.00	
22SEP17	S	1	PD22DEC17	6459.00	6790.50	USD	-8,287.50	
22SEP17	S	1	PD22DEC17	6462.00	6790.50	USD	-8,212.50	
22SEP17	B	2	PD22DEC17	6447.00	6790.50	USD	17,175.00	
22SEP17	B	2	PD22DEC17	6449.00	6790.50	USD	17,075.00	
22SEP17	B	1	PD22DEC17	6454.50	6790.50	USD	8,400.00	
22SEP17	B	1	PD22DEC17	6456.00	6790.50	USD	8,362.50	
22SEP17	B	2	PD22DEC17	6453.00	6790.50	USD	16,875.00	
22SEP17	B	3	PD22DEC17	6452.50	6790.50	USD	25,350.00	
22SEP17	B	1	PD22DEC17	6452.00	6790.50	USD	8,462.50	
22SEP17	B	2	PD22DEC17	6451.50	6790.50	USD	16,950.00	
22SEP17	B	1	PD22DEC17	6449.50	6790.50	USD	8,525.00	
22SEP17	S	1	PD22DEC17	6393.00	6790.50	USD	-9,937.50	
22SEP17	S	2	PD22DEC17	6435.00	6790.50	USD	-17,775.00	
22SEP17	S	2	PD22DEC17	6434.00	6790.50	USD	-17,825.00	
22SEP17	B	1	PD22DEC17	6450.00	6790.50	USD	8,512.50	
22SEP17	S	1	PD22DEC17	6451.00	6790.50	USD	-8,487.50	
22SEP17	B	1	PD22DEC17	6437.50	6790.50	USD	8,825.00	

OPEN POSITION STATEMENT



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SAP0127A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	3	PD22DEC17	6443.50	6790.50	USD	26,025.00	
22SEP17	B	2	PD22DEC17	6446.00	6790.50	USD	17,225.00	
22SEP17	S	1	PD22DEC17	6443.00	6790.50	USD	-8,687.50	
22SEP17	B	1	PD22DEC17	6440.50	6790.50	USD	8,750.00	
22SEP17	S	1	PD22DEC17	6443.50	6790.50	USD	-8,675.00	
22SEP17	S	3	PD22DEC17	6441.00	6790.50	USD	-26,212.50	
22SEP17	S	2	PD22DEC17	6439.00	6790.50	USD	-17,575.00	
22SEP17	S	1	PD22DEC17	6441.50	6790.50	USD	-8,725.00	
22SEP17	S	1	PD22DEC17	6448.50	6790.50	USD	-8,550.00	
22SEP17	S	2	PD22DEC17	6445.00	6790.50	USD	-17,275.00	
22SEP17	S	2	PD22DEC17	6447.50	6790.50	USD	-17,150.00	
22SEP17	S	2	PD22DEC17	6446.50	6790.50	USD	-17,200.00	
22SEP17	S	4	PD22DEC17	6446.00	6790.50	USD	-34,450.00	
22SEP17	S	1	PD22DEC17	6449.00	6790.50	USD	-8,537.50	
22SEP17	S	3	PD22DEC17	6447.00	6790.50	USD	-25,762.50	
22SEP17	S	1	PD22DEC17	6448.00	6790.50	USD	-8,562.50	
22SEP17	B	1	PD22DEC17	6471.50	6790.50	USD	7,975.00	
22SEP17	B	1	PD22DEC17	6466.00	6790.50	USD	8,112.50	
22SEP17	S	1	PD22DEC17	6469.00	6790.50	USD	-8,037.50	
22SEP17	S	1	PD22DEC17	6474.50	6790.50	USD	-7,900.00	
22SEP17	B	3	PD22DEC17	6453.50	6790.50	USD	25,275.00	
22SEP17	B	3	PD22DEC17	6448.00	6790.50	USD	25,687.50	
22SEP17	B	13	PD22DEC17	6441.50	6790.50	USD	113,425.00	
22SEP17	B	3	PD22DEC17	6441.00	6790.50	USD	26,212.50	
22SEP17	B	2	PD22DEC17	6440.00	6790.50	USD	17,525.00	
22SEP17	B	7	PD22DEC17	6442.50	6790.50	USD	60,900.00	
22SEP17	S	1	PD22DEC17	6451.00	6790.50	USD	-8,487.50	
22SEP17	S	1	PD22DEC17	6448.00	6790.50	USD	-8,562.50	
22SEP17	B	1	PD22DEC17	6445.00	6790.50	USD	8,637.50	
22SEP17	B	1	PD22DEC17	6444.50	6790.50	USD	8,650.00	
22SEP17	B	1	PD22DEC17	6441.50	6790.50	USD	8,725.00	
22SEP17	S	7	PD22DEC17	6463.00	6790.50	USD	-57,312.50	
22SEP17	S	1	PD22DEC17	6464.00	6790.50	USD	-8,162.50	
22SEP17	B	1	PD22DEC17	6455.00	6790.50	USD	8,387.50	
22SEP17	B	4	PD22DEC17	6454.00	6790.50	USD	33,650.00	
22SEP17	S	2	PD22DEC17	6454.50	6790.50	USD	-16,800.00	
22SEP17	S	10	PD22DEC17	6454.00	6790.50	USD	-84,125.00	
22SEP17	S	3	PD22DEC17	6456.00	6790.50	USD	-25,087.50	
22SEP17	S	1	PD22DEC17	6455.00	6790.50	USD	-8,387.50	
22SEP17	S	1	PD22DEC17	6462.50	6790.50	USD	-8,200.00	
22SEP17	S	2	PD22DEC17	6470.50	6790.50	USD	-16,000.00	
22SEP17	S	3	PD22DEC17	6471.50	6790.50	USD	-23,925.00	
22SEP17	S	1	PD22DEC17	6470.00	6790.50	USD	-8,012.50	
22SEP17	S	5	PD22DEC17	6467.00	6790.50	USD	-40,437.50	
22SEP17	S	1	PD22DEC17	6468.50	6790.50	USD	-8,050.00	
22SEP17	S	1	PD22DEC17	6464.50	6790.50	USD	-8,150.00	
22SEP17	S	8	PD22DEC17	6463.50	6790.50	USD	-65,400.00	
22SEP17	S	1	PD22DEC17	6456.50	6790.50	USD	-8,350.00	
22SEP17	S	1	PD22DEC17	6457.00	6790.50	USD	-8,337.50	
22SEP17	B	1	PD22DEC17	6468.50	6790.50	USD	8,050.00	
22SEP17	B	1	PD22DEC17	6463.50	6790.50	USD	8,175.00	
22SEP17	B	18	PD22DEC17	6464.00	6790.50	USD	146,925.00	
22SEP17	B	2	PD22DEC17	6465.00	6790.50	USD	16,275.00	
22SEP17	B	5	PD22DEC17	6470.50	6790.50	USD	40,000.00	
22SEP17	B	2	PD22DEC17	6470.00	6790.50	USD	16,025.00	
22SEP17	B	3	PD22DEC17	6471.00	6790.50	USD	23,962.50	
22SEP17	B	3	PD22DEC17	6472.50	6790.50	USD	23,850.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	6472.00	6790.50	USD	15,925.00	
22SEP17	B	15	PD22DEC17	6473.00	6790.50	USD	119,062.50	
22SEP17	B	2	PD22DEC17	6473.50	6790.50	USD	15,850.00	
22SEP17	B	2	PD22DEC17	6474.00	6790.50	USD	15,825.00	
25SEP17	B	60	PD22DEC17	6447.49	6790.50	USD	514,515.00	
	NET	0	AVG BGHT 6442.96				-92,335.00	0.00
			SOLD 6425.86					
25SEP17	B	1	PD27DEC17	6467.00	6793.00	USD	8,150.00	
25SEP17	B	1	PD27DEC17	6482.50	6793.00	USD	7,762.50	
25SEP17	S	1	PD27DEC17	6473.00	6793.00	USD	-8,000.00	
25SEP17	B	1	PD27DEC17	6483.50	6793.00	USD	7,737.50	
25SEP17	B	1	PD27DEC17	6481.00	6793.00	USD	7,800.00	
25SEP17	B	1	PD27DEC17	6472.00	6793.00	USD	8,025.00	
25SEP17	B	2	PD27DEC17	6472.50	6793.00	USD	16,025.00	
25SEP17	B	10	PD27DEC17	6473.00	6793.00	USD	80,000.00	
25SEP17	B	1	PD27DEC17	6467.50	6793.00	USD	8,137.50	
25SEP17	B	1	PD27DEC17	6461.00	6793.00	USD	8,300.00	
25SEP17	B	1	PD27DEC17	6461.50	6793.00	USD	8,287.50	
25SEP17	B	3	PD27DEC17	6471.50	6793.00	USD	24,112.50	
25SEP17	B	3	PD27DEC17	6471.00	6793.00	USD	24,150.00	
25SEP17	B	1	PD27DEC17	6479.00	6793.00	USD	7,850.00	
25SEP17	B	2	PD27DEC17	6470.50	6793.00	USD	16,125.00	
25SEP17	S	1	PD27DEC17	6470.00	6793.00	USD	-8,075.00	
25SEP17	B	2	PD27DEC17	6460.50	6793.00	USD	16,625.00	
25SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
25SEP17	B	1	PD27DEC17	6457.50	6793.00	USD	8,387.50	
25SEP17	B	2	PD27DEC17	6468.50	6793.00	USD	16,225.00	
25SEP17	B	1	PD27DEC17	6464.50	6793.00	USD	8,212.50	
25SEP17	S	1	PD27DEC17	6461.50	6793.00	USD	-8,287.50	
25SEP17	S	9	PD27DEC17	6461.00	6793.00	USD	-74,700.00	
25SEP17	S	2	PD27DEC17	6456.50	6793.00	USD	-16,825.00	
25SEP17	S	5	PD27DEC17	6457.50	6793.00	USD	-41,937.50	
25SEP17	S	2	PD27DEC17	6467.00	6793.00	USD	-16,300.00	
25SEP17	S	2	PD27DEC17	6468.50	6793.00	USD	-16,225.00	
25SEP17	S	5	PD27DEC17	6469.00	6793.00	USD	-40,500.00	
25SEP17	S	2	PD27DEC17	6469.50	6793.00	USD	-16,175.00	
25SEP17	S	5	PD27DEC17	6468.00	6793.00	USD	-40,625.00	
25SEP17	S	2	PD27DEC17	6462.50	6793.00	USD	-16,525.00	
25SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
25SEP17	S	2	PD27DEC17	6467.50	6793.00	USD	-16,275.00	
25SEP17	S	1	PD27DEC17	6463.50	6793.00	USD	-8,237.50	
25SEP17	S	1	PD27DEC17	6464.50	6793.00	USD	-8,212.50	
25SEP17	S	9	PD27DEC17	6462.00	6793.00	USD	-74,475.00	
25SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
25SEP17	S	11	PD27DEC17	6457.00	6793.00	USD	-92,400.00	
25SEP17	S	8	PD27DEC17	6458.00	6793.00	USD	-67,000.00	
25SEP17	B	1	PD27DEC17	6464.00	6793.00	USD	8,225.00	
25SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
25SEP17	S	4	PD27DEC17	6458.50	6793.00	USD	-33,450.00	
25SEP17	S	6	PD27DEC17	6459.50	6793.00	USD	-50,025.00	
25SEP17	S	2	PD27DEC17	6443.50	6793.00	USD	-17,475.00	
25SEP17	S	2	PD27DEC17	6445.00	6793.00	USD	-17,400.00	
25SEP17	S	1	PD27DEC17	6443.00	6793.00	USD	-8,750.00	
25SEP17	S	2	PD27DEC17	6446.00	6793.00	USD	-17,350.00	
25SEP17	B	1	PD27DEC17	6445.00	6793.00	USD	8,700.00	
25SEP17	S	1	PD27DEC17	6448.00	6793.00	USD	-8,625.00	
25SEP17	S	1	PD27DEC17	6444.00	6793.00	USD	-8,725.00	
25SEP17	S	1	PD27DEC17	6438.50	6793.00	USD	-8,862.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	3	PD27DEC17	6436.50	6793.00	USD	-26,737.50	
25SEP17	S	2	PD27DEC17	6445.50	6793.00	USD	-17,375.00	
25SEP17	S	1	PD27DEC17	6446.50	6793.00	USD	-8,662.50	
25SEP17	S	3	PD27DEC17	6447.50	6793.00	USD	-25,912.50	
25SEP17	S	2	PD27DEC17	6455.00	6793.00	USD	-16,900.00	
25SEP17	S	1	PD27DEC17	6448.50	6793.00	USD	-8,612.50	
25SEP17	S	4	PD27DEC17	6450.50	6793.00	USD	-34,250.00	
25SEP17	S	2	PD27DEC17	6453.00	6793.00	USD	-17,000.00	
25SEP17	S	6	PD27DEC17	6452.00	6793.00	USD	-51,150.00	
25SEP17	S	5	PD27DEC17	6451.50	6793.00	USD	-42,687.50	
25SEP17	S	11	PD27DEC17	6451.00	6793.00	USD	-94,050.00	
25SEP17	S	4	PD27DEC17	6450.00	6793.00	USD	-34,300.00	
25SEP17	S	5	PD27DEC17	6449.00	6793.00	USD	-43,000.00	
25SEP17	S	4	PD27DEC17	6454.50	6793.00	USD	-33,850.00	
25SEP17	S	5	PD27DEC17	6454.00	6793.00	USD	-42,375.00	
25SEP17	S	3	PD27DEC17	6452.50	6793.00	USD	-25,537.50	
25SEP17	S	6	PD27DEC17	6466.50	6793.00	USD	-48,975.00	
25SEP17	S	12	PD27DEC17	6464.00	6793.00	USD	-98,700.00	
25SEP17	S	7	PD27DEC17	6463.00	6793.00	USD	-57,750.00	
25SEP17	S	4	PD27DEC17	6460.50	6793.00	USD	-33,250.00	
25SEP17	S	3	PD27DEC17	6460.00	6793.00	USD	-24,975.00	
25SEP17	B	2	PD27DEC17	6447.50	6793.00	USD	17,275.00	
25SEP17	B	3	PD27DEC17	6448.50	6793.00	USD	25,837.50	
25SEP17	B	1	PD27DEC17	6446.50	6793.00	USD	8,662.50	
25SEP17	S	5	PD27DEC17	6453.50	6793.00	USD	-42,437.50	
25SEP17	B	2	PD27DEC17	6459.50	6793.00	USD	16,675.00	
25SEP17	B	5	PD27DEC17	6460.00	6793.00	USD	41,625.00	
25SEP17	B	2	PD27DEC17	6463.50	6793.00	USD	16,475.00	
25SEP17	B	2	PD27DEC17	6464.00	6793.00	USD	16,450.00	
25SEP17	B	5	PD27DEC17	6463.00	6793.00	USD	41,250.00	
26SEP17	B	1	PD27DEC17	6511.50	6793.00	USD	7,037.50	
26SEP17	B	1	PD27DEC17	6511.00	6793.00	USD	7,050.00	
26SEP17	B	1	PD27DEC17	6510.50	6793.00	USD	7,062.50	
26SEP17	B	1	PD27DEC17	6509.50	6793.00	USD	7,087.50	
26SEP17	B	1	PD27DEC17	6508.50	6793.00	USD	7,112.50	
26SEP17	B	1	PD27DEC17	6507.50	6793.00	USD	7,137.50	
26SEP17	B	2	PD27DEC17	6504.50	6793.00	USD	14,425.00	
26SEP17	B	1	PD27DEC17	6498.00	6793.00	USD	7,375.00	
26SEP17	B	3	PD27DEC17	6502.50	6793.00	USD	21,787.50	
26SEP17	B	2	PD27DEC17	6503.00	6793.00	USD	14,500.00	
26SEP17	B	4	PD27DEC17	6504.00	6793.00	USD	28,900.00	
26SEP17	B	3	PD27DEC17	6505.50	6793.00	USD	21,562.50	
26SEP17	B	1	PD27DEC17	6499.50	6793.00	USD	7,337.50	
26SEP17	B	5	PD27DEC17	6500.00	6793.00	USD	36,625.00	
26SEP17	B	1	PD27DEC17	6501.50	6793.00	USD	7,287.50	
26SEP17	B	5	PD27DEC17	6500.50	6793.00	USD	36,562.50	
26SEP17	B	4	PD27DEC17	6502.00	6793.00	USD	29,100.00	
26SEP17	B	2	PD27DEC17	6501.00	6793.00	USD	14,600.00	
26SEP17	S	1	PD27DEC17	6505.00	6793.00	USD	-7,200.00	
26SEP17	S	1	PD27DEC17	6507.00	6793.00	USD	-7,150.00	
26SEP17	S	2	PD27DEC17	6491.00	6793.00	USD	-15,100.00	
26SEP17	S	2	PD27DEC17	6491.50	6793.00	USD	-15,075.00	
26SEP17	S	1	PD27DEC17	6493.00	6793.00	USD	-7,500.00	
26SEP17	S	1	PD27DEC17	6493.50	6793.00	USD	-7,487.50	
26SEP17	S	1	PD27DEC17	6492.00	6793.00	USD	-7,525.00	
26SEP17	S	1	PD27DEC17	6488.00	6793.00	USD	-7,625.00	
26SEP17	S	3	PD27DEC17	6489.00	6793.00	USD	-22,800.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6477.00	6793.00	USD	-7,900.00	
26SEP17	B	1	PD27DEC17	6477.50	6793.00	USD	7,887.50	
26SEP17	S	1	PD27DEC17	6468.00	6793.00	USD	-8,125.00	
26SEP17	B	1	PD27DEC17	6513.00	6793.00	USD	7,000.00	
26SEP17	S	1	PD27DEC17	6493.00	6793.00	USD	-7,500.00	
26SEP17	S	1	PD27DEC17	6489.00	6793.00	USD	-7,600.00	
26SEP17	S	4	PD27DEC17	6463.50	6793.00	USD	-32,950.00	
26SEP17	S	1	PD27DEC17	6467.00	6793.00	USD	-8,150.00	
26SEP17	S	3	PD27DEC17	6459.50	6793.00	USD	-25,012.50	
26SEP17	S	4	PD27DEC17	6458.50	6793.00	USD	-33,450.00	
26SEP17	S	2	PD27DEC17	6457.00	6793.00	USD	-16,800.00	
26SEP17	S	1	PD27DEC17	6462.00	6793.00	USD	-8,275.00	
26SEP17	S	3	PD27DEC17	6464.00	6793.00	USD	-24,675.00	
26SEP17	S	4	PD27DEC17	6464.50	6793.00	USD	-32,850.00	
26SEP17	S	3	PD27DEC17	6465.00	6793.00	USD	-24,600.00	
26SEP17	S	2	PD27DEC17	6465.50	6793.00	USD	-16,375.00	
26SEP17	S	13	PD27DEC17	6462.50	6793.00	USD	-107,412.50	
26SEP17	S	9	PD27DEC17	6466.00	6793.00	USD	-73,575.00	
26SEP17	S	9	PD27DEC17	6461.50	6793.00	USD	-74,587.50	
26SEP17	B	1	PD27DEC17	6454.00	6793.00	USD	8,475.00	
26SEP17	B	1	PD27DEC17	6445.00	6793.00	USD	8,700.00	
26SEP17	S	1	PD27DEC17	6460.00	6793.00	USD	-8,325.00	
26SEP17	S	1	PD27DEC17	6455.50	6793.00	USD	-8,437.50	
26SEP17	S	1	PD27DEC17	6409.00	6793.00	USD	-9,600.00	
26SEP17	S	1	PD27DEC17	6466.50	6793.00	USD	-8,162.50	
26SEP17	S	3	PD27DEC17	6408.00	6793.00	USD	-28,875.00	
26SEP17	S	3	PD27DEC17	6411.00	6793.00	USD	-28,650.00	
26SEP17	S	2	PD27DEC17	6410.00	6793.00	USD	-19,150.00	
26SEP17	S	1	PD27DEC17	6410.50	6793.00	USD	-9,562.50	
26SEP17	S	1	PD27DEC17	6413.00	6793.00	USD	-9,500.00	
26SEP17	S	1	PD27DEC17	6414.00	6793.00	USD	-9,475.00	
26SEP17	S	1	PD27DEC17	6417.00	6793.00	USD	-9,400.00	
26SEP17	S	9	PD27DEC17	6417.50	6793.00	USD	-84,487.50	
26SEP17	S	3	PD27DEC17	6418.50	6793.00	USD	-28,087.50	
26SEP17	S	3	PD27DEC17	6418.00	6793.00	USD	-28,125.00	
26SEP17	S	2	PD27DEC17	6419.00	6793.00	USD	-18,700.00	
26SEP17	S	4	PD27DEC17	6421.00	6793.00	USD	-37,200.00	
26SEP17	S	1	PD27DEC17	6422.50	6793.00	USD	-9,262.50	
26SEP17	B	1	PD27DEC17	6421.50	6793.00	USD	9,287.50	
26SEP17	B	1	PD27DEC17	6422.50	6793.00	USD	9,262.50	
26SEP17	S	1	PD27DEC17	6424.00	6793.00	USD	-9,225.00	
26SEP17	B	1	PD27DEC17	6419.50	6793.00	USD	9,337.50	
26SEP17	B	1	PD27DEC17	6420.50	6793.00	USD	9,312.50	
26SEP17	B	1	PD27DEC17	6419.00	6793.00	USD	9,350.00	
26SEP17	B	4	PD27DEC17	6418.50	6793.00	USD	37,450.00	
26SEP17	B	1	PD27DEC17	6418.00	6793.00	USD	9,375.00	
26SEP17	B	3	PD27DEC17	6417.00	6793.00	USD	28,200.00	
26SEP17	B	3	PD27DEC17	6416.00	6793.00	USD	28,275.00	
26SEP17	B	2	PD27DEC17	6414.50	6793.00	USD	18,925.00	
26SEP17	B	4	PD27DEC17	6415.00	6793.00	USD	37,800.00	
26SEP17	B	3	PD27DEC17	6416.50	6793.00	USD	28,237.50	
26SEP17	B	1	PD27DEC17	6424.50	6793.00	USD	9,212.50	
26SEP17	S	2	PD27DEC17	6423.00	6793.00	USD	-18,500.00	
26SEP17	B	1	PD27DEC17	6426.00	6793.00	USD	9,175.00	
26SEP17	B	1	PD27DEC17	6429.50	6793.00	USD	9,087.50	
26SEP17	B	1	PD27DEC17	6428.00	6793.00	USD	9,125.00	
26SEP17	B	3	PD27DEC17	6427.00	6793.00	USD	27,450.00	

OPEN POSITION STATEMENT



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SAP0127A

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	4	PD27DEC17	6427.50	6793.00	USD	36,550.00	
26SEP17	B	1	PD27DEC17	6417.00	6793.00	USD	9,400.00	
26SEP17	B	1	PD27DEC17	6416.00	6793.00	USD	9,425.00	
26SEP17	B	1	PD27DEC17	6415.50	6793.00	USD	9,437.50	
26SEP17	S	1	PD27DEC17	6415.50	6793.00	USD	-9,437.50	
27SEP17	B	1	PD27DEC17	6447.50	6793.00	USD	8,637.50	
27SEP17	B	3	PD27DEC17	6448.00	6793.00	USD	25,875.00	
27SEP17	B	1	PD27DEC17	6473.00	6793.00	USD	8,000.00	
27SEP17	B	6	PD27DEC17	6474.00	6793.00	USD	47,850.00	
27SEP17	B	2	PD27DEC17	6472.00	6793.00	USD	16,050.00	
27SEP17	B	1	PD27DEC17	6470.50	6793.00	USD	8,062.50	
27SEP17	B	1	PD27DEC17	6471.00	6793.00	USD	8,050.00	
27SEP17	S	1	PD27DEC17	6464.50	6793.00	USD	-8,212.50	
27SEP17	B	1	PD27DEC17	6464.00	6793.00	USD	8,225.00	
27SEP17	S	1	PD27DEC17	6461.00	6793.00	USD	-8,300.00	
27SEP17	B	1	PD27DEC17	6457.50	6793.00	USD	8,387.50	
27SEP17	B	1	PD27DEC17	6456.50	6793.00	USD	8,412.50	
27SEP17	B	1	PD27DEC17	6457.00	6793.00	USD	8,400.00	
27SEP17	B	5	PD27DEC17	6452.50	6793.00	USD	42,562.50	
27SEP17	B	1	PD27DEC17	6451.50	6793.00	USD	8,537.50	
27SEP17	B	3	PD27DEC17	6450.50	6793.00	USD	25,687.50	
27SEP17	B	5	PD27DEC17	6455.00	6793.00	USD	42,250.00	
27SEP17	B	14	PD27DEC17	6454.00	6793.00	USD	118,650.00	
27SEP17	B	6	PD27DEC17	6453.50	6793.00	USD	50,925.00	
27SEP17	B	5	PD27DEC17	6454.50	6793.00	USD	42,312.50	
27SEP17	B	2	PD27DEC17	6455.50	6793.00	USD	16,875.00	
27SEP17	B	10	PD27DEC17	6458.00	6793.00	USD	83,750.00	
27SEP17	B	5	PD27DEC17	6458.50	6793.00	USD	41,812.50	
27SEP17	B	2	PD27DEC17	6459.00	6793.00	USD	16,700.00	
27SEP17	B	2	PD27DEC17	6464.50	6793.00	USD	16,425.00	
27SEP17	B	1	PD27DEC17	6465.50	6793.00	USD	8,187.50	
27SEP17	B	6	PD27DEC17	6460.00	6793.00	USD	49,950.00	
27SEP17	B	3	PD27DEC17	6463.50	6793.00	USD	24,712.50	
27SEP17	B	1	PD27DEC17	6470.00	6793.00	USD	8,075.00	
27SEP17	B	1	PD27DEC17	6467.50	6793.00	USD	8,137.50	
27SEP17	B	2	PD27DEC17	6462.50	6793.00	USD	16,525.00	
27SEP17	B	1	PD27DEC17	6462.00	6793.00	USD	8,275.00	
27SEP17	B	4	PD27DEC17	6463.00	6793.00	USD	33,000.00	
27SEP17	B	7	PD27DEC17	6461.00	6793.00	USD	58,100.00	
27SEP17	B	4	PD27DEC17	6460.50	6793.00	USD	33,250.00	
27SEP17	B	6	PD27DEC17	6459.50	6793.00	USD	50,025.00	
27SEP17	B	3	PD27DEC17	6456.00	6793.00	USD	25,275.00	
27SEP17	B	1	PD27DEC17	6479.00	6793.00	USD	7,850.00	
27SEP17	B	1	PD27DEC17	6482.50	6793.00	USD	7,762.50	
27SEP17	B	3	PD27DEC17	6483.50	6793.00	USD	23,212.50	
27SEP17	B	1	PD27DEC17	6486.00	6793.00	USD	7,675.00	
27SEP17	B	2	PD27DEC17	6485.50	6793.00	USD	15,375.00	
27SEP17	B	1	PD27DEC17	6482.00	6793.00	USD	7,775.00	
27SEP17	B	1	PD27DEC17	6480.00	6793.00	USD	7,825.00	
27SEP17	S	1	PD27DEC17	6487.50	6793.00	USD	-7,637.50	
27SEP17	B	1	PD27DEC17	6486.50	6793.00	USD	7,662.50	
27SEP17	B	1	PD27DEC17	6488.50	6793.00	USD	7,612.50	
27SEP17	B	2	PD27DEC17	6487.50	6793.00	USD	15,275.00	
27SEP17	B	1	PD27DEC17	6447.50	6793.00	USD	8,637.50	
27SEP17	B	1	PD27DEC17	6455.00	6793.00	USD	8,450.00	
27SEP17	B	1	PD27DEC17	6458.00	6793.00	USD	8,375.00	
27SEP17	B	1	PD27DEC17	6470.00	6793.00	USD	8,075.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	1	PD27DEC17	6457.00	6793.00	USD	8,400.00	
27SEP17	B	2	PD27DEC17	6489.00	6793.00	USD	15,200.00	
27SEP17	B	9	PD27DEC17	6461.50	6793.00	USD	74,587.50	
27SEP17	B	1	PD27DEC17	6430.50	6793.00	USD	9,062.50	
27SEP17	B	2	PD27DEC17	6429.50	6793.00	USD	18,175.00	
27SEP17	B	1	PD27DEC17	6429.00	6793.00	USD	9,100.00	
27SEP17	B	1	PD27DEC17	6434.50	6793.00	USD	8,962.50	
27SEP17	B	1	PD27DEC17	6438.00	6793.00	USD	8,875.00	
27SEP17	S	1	PD27DEC17	6421.50	6793.00	USD	-9,287.50	
27SEP17	S	1	PD27DEC17	6418.00	6793.00	USD	-9,375.00	
27SEP17	S	1	PD27DEC17	6423.00	6793.00	USD	-9,250.00	
27SEP17	B	1	PD27DEC17	6427.50	6793.00	USD	9,137.50	
27SEP17	B	1	PD27DEC17	6436.50	6793.00	USD	8,912.50	
27SEP17	B	4	PD27DEC17	6437.50	6793.00	USD	35,550.00	
27SEP17	B	2	PD27DEC17	6443.00	6793.00	USD	17,500.00	
27SEP17	B	3	PD27DEC17	6437.00	6793.00	USD	26,700.00	
27SEP17	B	5	PD27DEC17	6434.00	6793.00	USD	44,875.00	
27SEP17	B	5	PD27DEC17	6435.00	6793.00	USD	44,750.00	
27SEP17	B	4	PD27DEC17	6439.50	6793.00	USD	35,350.00	
27SEP17	B	2	PD27DEC17	6441.00	6793.00	USD	17,600.00	
27SEP17	B	2	PD27DEC17	6436.00	6793.00	USD	17,850.00	
27SEP17	B	10	PD27DEC17	6438.50	6793.00	USD	88,625.00	
27SEP17	B	2	PD27DEC17	6439.00	6793.00	USD	17,700.00	
27SEP17	B	1	PD27DEC17	6442.50	6793.00	USD	8,762.50	
27SEP17	B	3	PD27DEC17	6441.50	6793.00	USD	26,362.50	
27SEP17	B	6	PD27DEC17	6440.50	6793.00	USD	52,875.00	
27SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
06OCT17	S	35	PD27DEC17	6663.50	6793.00	USD	-113,312.50	
	NET	0	AVG BGHT 6458.41	SOLD 6475.81			151,375.00	0.00
28SEP17	S	1	PD28DEC17	6410.50	6793.47	USD	-9,574.25	
28SEP17	S	10	PD28DEC17	6408.50	6793.47	USD	-96,242.50	
28SEP17	S	10	PD28DEC17	6409.50	6793.47	USD	-95,992.50	
28SEP17	S	2	PD28DEC17	6407.00	6793.47	USD	-19,323.50	
28SEP17	S	7	PD28DEC17	6406.00	6793.47	USD	-67,807.25	
28SEP17	S	2	PD28DEC17	6403.50	6793.47	USD	-19,498.50	
28SEP17	S	3	PD28DEC17	6401.50	6793.47	USD	-29,397.75	
28SEP17	S	2	PD28DEC17	6400.50	6793.47	USD	-19,648.50	
28SEP17	S	5	PD28DEC17	6400.00	6793.47	USD	-49,183.75	
28SEP17	S	3	PD28DEC17	6398.50	6793.47	USD	-29,622.75	
28SEP17	S	2	PD28DEC17	6428.50	6793.47	USD	-18,248.50	
28SEP17	S	1	PD28DEC17	6425.50	6793.47	USD	-9,199.25	
28SEP17	S	4	PD28DEC17	6426.50	6793.47	USD	-36,697.00	
28SEP17	B	1	PD28DEC17	6437.50	6793.47	USD	8,899.25	
28SEP17	B	1	PD28DEC17	6436.50	6793.47	USD	8,924.25	
28SEP17	B	1	PD28DEC17	6435.50	6793.47	USD	8,949.25	
28SEP17	B	1	PD28DEC17	6435.00	6793.47	USD	8,961.75	
28SEP17	B	1	PD28DEC17	6434.50	6793.47	USD	8,974.25	
28SEP17	B	1	PD28DEC17	6433.00	6793.47	USD	9,011.75	
28SEP17	B	1	PD28DEC17	6438.50	6793.47	USD	8,874.25	
28SEP17	B	4	PD28DEC17	6438.00	6793.47	USD	35,547.00	
28SEP17	B	1	PD28DEC17	6440.00	6793.47	USD	8,836.75	
28SEP17	B	1	PD28DEC17	6442.50	6793.47	USD	8,774.25	
28SEP17	B	1	PD28DEC17	6443.50	6793.47	USD	8,749.25	
28SEP17	B	1	PD28DEC17	6446.00	6793.47	USD	8,686.75	
28SEP17	B	2	PD28DEC17	6452.50	6793.47	USD	17,048.50	
28SEP17	B	1	PD28DEC17	6449.50	6793.47	USD	8,599.25	
28SEP17	S	1	PD28DEC17	6452.00	6793.47	USD	-8,536.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6444.50	6793.47	USD	8,724.25	
28SEP17	B	1	PD28DEC17	6445.50	6793.47	USD	8,699.25	
28SEP17	B	2	PD28DEC17	6446.50	6793.47	USD	17,348.50	
28SEP17	B	1	PD28DEC17	6457.50	6793.47	USD	8,399.25	
28SEP17	B	4	PD28DEC17	6463.50	6793.47	USD	32,997.00	
28SEP17	B	1	PD28DEC17	6461.00	6793.47	USD	8,311.75	
28SEP17	B	1	PD28DEC17	6468.00	6793.47	USD	8,136.75	
28SEP17	B	5	PD28DEC17	6467.50	6793.47	USD	40,746.25	
28SEP17	B	1	PD28DEC17	6465.00	6793.47	USD	8,211.75	
28SEP17	S	1	PD28DEC17	6467.50	6793.47	USD	-8,149.25	
28SEP17	B	1	PD28DEC17	6455.50	6793.47	USD	8,449.25	
28SEP17	B	6	PD28DEC17	6453.50	6793.47	USD	50,995.50	
28SEP17	B	4	PD28DEC17	6451.00	6793.47	USD	34,247.00	
28SEP17	B	9	PD28DEC17	6452.00	6793.47	USD	76,830.75	
28SEP17	B	4	PD28DEC17	6453.00	6793.47	USD	34,047.00	
28SEP17	B	1	PD28DEC17	6454.50	6793.47	USD	8,474.25	
28SEP17	B	8	PD28DEC17	6454.00	6793.47	USD	67,894.00	
28SEP17	B	1	PD28DEC17	6433.00	6793.47	USD	9,011.75	
28SEP17	B	3	PD28DEC17	6459.50	6793.47	USD	25,047.75	
28SEP17	B	2	PD28DEC17	6463.00	6793.47	USD	16,523.50	
28SEP17	B	4	PD28DEC17	6467.00	6793.47	USD	32,647.00	
28SEP17	B	5	PD28DEC17	6469.50	6793.47	USD	40,496.25	
28SEP17	B	1	PD28DEC17	6471.50	6793.47	USD	8,049.25	
28SEP17	B	1	PD28DEC17	6470.00	6793.47	USD	8,086.75	
28SEP17	B	9	PD28DEC17	6469.00	6793.47	USD	73,005.75	
28SEP17	S	1	PD28DEC17	6480.50	6793.47	USD	-7,824.25	
28SEP17	S	2	PD28DEC17	6480.00	6793.47	USD	-15,673.50	
28SEP17	S	1	PD28DEC17	6478.50	6793.47	USD	-7,874.25	
28SEP17	S	1	PD28DEC17	6475.00	6793.47	USD	-7,961.75	
28SEP17	S	1	PD28DEC17	6481.00	6793.47	USD	-7,811.75	
28SEP17	S	1	PD28DEC17	6482.00	6793.47	USD	-7,786.75	
28SEP17	B	1	PD28DEC17	6503.00	6793.47	USD	7,261.75	
28SEP17	B	2	PD28DEC17	6504.00	6793.47	USD	14,473.50	
28SEP17	B	1	PD28DEC17	6506.50	6793.47	USD	7,174.25	
28SEP17	B	1	PD28DEC17	6512.00	6793.47	USD	7,036.75	
28SEP17	S	1	PD28DEC17	6512.50	6793.47	USD	-7,024.25	
28SEP17	B	1	PD28DEC17	6516.50	6793.47	USD	6,924.25	
28SEP17	B	1	PD28DEC17	6512.50	6793.47	USD	7,024.25	
28SEP17	B	1	PD28DEC17	6513.50	6793.47	USD	6,999.25	
28SEP17	B	2	PD28DEC17	6515.50	6793.47	USD	13,898.50	
28SEP17	B	2	PD28DEC17	6517.00	6793.47	USD	13,823.50	
28SEP17	B	2	PD28DEC17	6521.50	6793.47	USD	13,598.50	
28SEP17	B	1	PD28DEC17	6522.50	6793.47	USD	6,774.25	
28SEP17	B	2	PD28DEC17	6519.50	6793.47	USD	13,698.50	
28SEP17	B	1	PD28DEC17	6525.00	6793.47	USD	6,711.75	
28SEP17	B	4	PD28DEC17	6524.00	6793.47	USD	26,947.00	
28SEP17	B	5	PD28DEC17	6528.00	6793.47	USD	33,183.75	
28SEP17	B	5	PD28DEC17	6529.00	6793.47	USD	33,058.75	
28SEP17	B	2	PD28DEC17	6534.50	6793.47	USD	12,948.50	
28SEP17	B	3	PD28DEC17	6535.00	6793.47	USD	19,385.25	
28SEP17	B	2	PD28DEC17	6532.50	6793.47	USD	13,048.50	
28SEP17	B	2	PD28DEC17	6531.50	6793.47	USD	13,098.50	
28SEP17	B	3	PD28DEC17	6534.00	6793.47	USD	19,460.25	
28SEP17	B	1	PD28DEC17	6548.00	6793.47	USD	6,136.75	
28SEP17	B	1	PD28DEC17	6549.00	6793.47	USD	6,111.75	
28SEP17	B	3	PD28DEC17	6555.00	6793.47	USD	17,885.25	
28SEP17	B	1	PD28DEC17	6571.50	6793.47	USD	5,549.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	6565.50	6793.47	USD	-5,699.25	
28SEP17	B	1	PD28DEC17	6504.00	6793.47	USD	7,236.75	
28SEP17	B	3	PD28DEC17	6534.00	6793.47	USD	19,460.25	
06OCT17	S	5	PD28DEC17	6663.83	6793.47	USD	-16,205.00	
	NET	+80 AVG BGHT 6481.81	SOLD 6439.95				552,143.75	0.00
29SEP17	B	3	PD29DEC17	6565.00	6793.93	USD	17,169.75	
29SEP17	B	2	PD29DEC17	6564.00	6793.93	USD	11,496.50	
29SEP17	B	2	PD29DEC17	6562.00	6793.93	USD	11,596.50	
29SEP17	B	1	PD29DEC17	6563.00	6793.93	USD	5,773.25	
29SEP17	B	1	PD29DEC17	6558.50	6793.93	USD	5,885.75	
29SEP17	B	1	PD29DEC17	6556.00	6793.93	USD	5,948.25	
29SEP17	B	1	PD29DEC17	6556.50	6793.93	USD	5,935.75	
29SEP17	B	1	PD29DEC17	6554.50	6793.93	USD	5,985.75	
29SEP17	B	2	PD29DEC17	6553.00	6793.93	USD	12,046.50	
29SEP17	B	1	PD29DEC17	6551.00	6793.93	USD	6,073.25	
29SEP17	B	1	PD29DEC17	6552.00	6793.93	USD	6,048.25	
29SEP17	B	3	PD29DEC17	6545.50	6793.93	USD	18,632.25	
29SEP17	B	1	PD29DEC17	6548.00	6793.93	USD	6,148.25	
29SEP17	B	5	PD29DEC17	6547.00	6793.93	USD	30,866.25	
29SEP17	B	2	PD29DEC17	6547.50	6793.93	USD	12,321.50	
29SEP17	B	7	PD29DEC17	6549.00	6793.93	USD	42,862.75	
29SEP17	B	3	PD29DEC17	6548.50	6793.93	USD	18,407.25	
29SEP17	B	2	PD29DEC17	6546.50	6793.93	USD	12,371.50	
29SEP17	B	2	PD29DEC17	6544.00	6793.93	USD	12,496.50	
29SEP17	B	1	PD29DEC17	6543.00	6793.93	USD	6,273.25	
29SEP17	B	1	PD29DEC17	6543.50	6793.93	USD	6,260.75	
29SEP17	B	2	PD29DEC17	6544.50	6793.93	USD	12,471.50	
29SEP17	S	1	PD29DEC17	6542.00	6793.93	USD	-6,298.25	
29SEP17	S	5	PD29DEC17	6530.00	6793.93	USD	-32,991.25	
29SEP17	S	9	PD29DEC17	6529.00	6793.93	USD	-59,609.25	
29SEP17	S	1	PD29DEC17	6532.00	6793.93	USD	-6,548.25	
29SEP17	S	1	PD29DEC17	6533.00	6793.93	USD	-6,523.25	
29SEP17	S	2	PD29DEC17	6540.50	6793.93	USD	-12,671.50	
29SEP17	S	2	PD29DEC17	6540.00	6793.93	USD	-12,696.50	
29SEP17	S	1	PD29DEC17	6541.50	6793.93	USD	-6,310.75	
29SEP17	B	1	PD29DEC17	6560.50	6793.93	USD	5,835.75	
29SEP17	B	3	PD29DEC17	6560.00	6793.93	USD	17,544.75	
29SEP17	B	2	PD29DEC17	6555.00	6793.93	USD	11,946.50	
29SEP17	B	1	PD29DEC17	6545.00	6793.93	USD	6,223.25	
29SEP17	S	1	PD29DEC17	6529.00	6793.93	USD	-6,623.25	
29SEP17	S	1	PD29DEC17	6537.00	6793.93	USD	-6,423.25	
29SEP17	S	2	PD29DEC17	6539.00	6793.93	USD	-12,746.50	
29SEP17	S	1	PD29DEC17	6536.00	6793.93	USD	-6,448.25	
29SEP17	S	8	PD29DEC17	6538.00	6793.93	USD	-51,186.00	
29SEP17	S	4	PD29DEC17	6535.50	6793.93	USD	-25,843.00	
29SEP17	S	4	PD29DEC17	6532.50	6793.93	USD	-26,143.00	
29SEP17	S	3	PD29DEC17	6533.50	6793.93	USD	-19,532.25	
29SEP17	S	8	PD29DEC17	6531.00	6793.93	USD	-52,586.00	
29SEP17	S	4	PD29DEC17	6530.50	6793.93	USD	-26,343.00	
29SEP17	S	8	PD29DEC17	6531.50	6793.93	USD	-52,486.00	
29SEP17	B	1	PD29DEC17	6533.00	6793.93	USD	6,523.25	
29SEP17	B	1	PD29DEC17	6532.00	6793.93	USD	6,548.25	
29SEP17	B	1	PD29DEC17	6535.50	6793.93	USD	6,460.75	
29SEP17	B	2	PD29DEC17	6536.50	6793.93	USD	12,871.50	
29SEP17	B	3	PD29DEC17	6537.50	6793.93	USD	19,232.25	
29SEP17	B	1	PD29DEC17	6536.00	6793.93	USD	6,448.25	
29SEP17	B	1	PD29DEC17	6532.50	6793.93	USD	6,535.75	

OPEN POSITION STATEMENT



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SAP0127A

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	6527.00	6793.93	USD	-6,673.25	
29SEP17	S	4	PD29DEC17	6528.00	6793.93	USD	-26,593.00	
29SEP17	S	3	PD29DEC17	6529.50	6793.93	USD	-19,832.25	
29SEP17	B	1	PD29DEC17	6531.50	6793.93	USD	6,560.75	
29SEP17	B	1	PD29DEC17	6530.50	6793.93	USD	6,585.75	
29SEP17	S	2	PD29DEC17	6525.00	6793.93	USD	-13,446.50	
29SEP17	B	2	PD29DEC17	6529.00	6793.93	USD	13,246.50	
29SEP17	B	1	PD29DEC17	6527.00	6793.93	USD	6,673.25	
29SEP17	S	1	PD29DEC17	6522.50	6793.93	USD	-6,785.75	
29SEP17	B	1	PD29DEC17	6521.50	6793.93	USD	6,810.75	
29SEP17	B	4	PD29DEC17	6521.00	6793.93	USD	27,293.00	
29SEP17	B	1	PD29DEC17	6522.00	6793.93	USD	6,798.25	
29SEP17	B	1	PD29DEC17	6522.50	6793.93	USD	6,785.75	
29SEP17	B	2	PD29DEC17	6525.00	6793.93	USD	13,446.50	
29SEP17	B	1	PD29DEC17	6525.50	6793.93	USD	6,710.75	
29SEP17	B	2	PD29DEC17	6516.00	6793.93	USD	13,896.50	
29SEP17	B	5	PD29DEC17	6516.50	6793.93	USD	34,678.75	
29SEP17	B	3	PD29DEC17	6517.50	6793.93	USD	20,732.25	
29SEP17	B	9	PD29DEC17	6518.00	6793.93	USD	62,084.25	
29SEP17	B	4	PD29DEC17	6517.00	6793.93	USD	27,693.00	
29SEP17	B	1	PD29DEC17	6514.00	6793.93	USD	6,998.25	
29SEP17	S	3	PD29DEC17	6501.50	6793.93	USD	-21,932.25	
29SEP17	S	3	PD29DEC17	6496.00	6793.93	USD	-22,344.75	
29SEP17	S	2	PD29DEC17	6487.00	6793.93	USD	-15,346.50	
29SEP17	S	2	PD29DEC17	6489.50	6793.93	USD	-15,221.50	
29SEP17	S	2	PD29DEC17	6490.00	6793.93	USD	-15,196.50	
29SEP17	S	2	PD29DEC17	6490.50	6793.93	USD	-15,171.50	
29SEP17	B	2	PD29DEC17	6485.00	6793.93	USD	15,446.50	
29SEP17	B	1	PD29DEC17	6486.50	6793.93	USD	7,685.75	
29SEP17	B	1	PD29DEC17	6487.00	6793.93	USD	7,673.25	
29SEP17	B	1	PD29DEC17	6488.00	6793.93	USD	7,648.25	
29SEP17	S	1	PD29DEC17	6492.50	6793.93	USD	-7,535.75	
29SEP17	S	1	PD29DEC17	6493.50	6793.93	USD	-7,510.75	
29SEP17	S	3	PD29DEC17	6488.00	6793.93	USD	-22,944.75	
29SEP17	S	2	PD29DEC17	6489.00	6793.93	USD	-15,246.50	
29SEP17	S	2	PD29DEC17	6499.00	6793.93	USD	-14,746.50	
29SEP17	S	6	PD29DEC17	6500.00	6793.93	USD	-44,089.50	
29SEP17	S	2	PD29DEC17	6500.50	6793.93	USD	-14,671.50	
29SEP17	S	1	PD29DEC17	6501.00	6793.93	USD	-7,323.25	
29SEP17	S	2	PD29DEC17	6503.50	6793.93	USD	-14,521.50	
29SEP17	S	3	PD29DEC17	6503.00	6793.93	USD	-21,819.75	
29SEP17	S	7	PD29DEC17	6502.50	6793.93	USD	-51,000.25	
29SEP17	S	15	PD29DEC17	6502.00	6793.93	USD	-109,473.75	
29SEP17	B	1	PD29DEC17	6521.00	6793.93	USD	6,823.25	
29SEP17	B	1	PD29DEC17	6520.00	6793.93	USD	6,848.25	
29SEP17	S	1	PD29DEC17	6501.00	6793.93	USD	-7,323.25	
29SEP17	S	1	PD29DEC17	6502.50	6793.93	USD	-7,285.75	
03OCT17	B	30	PD29DEC17	6518.43	6793.93	USD	206,625.00	
	NET	0AVG BGHT 6531.62	SOLD 6517.39				-49,060.00	0.00
02OCT17	B	1	PD02JAN18	6532.00	6795.80	USD	6,595.00	
02OCT17	B	1	PD02JAN18	6517.50	6795.80	USD	6,957.50	
02OCT17	B	2	PD02JAN18	6525.00	6795.80	USD	13,540.00	
02OCT17	B	2	PD02JAN18	6518.50	6795.80	USD	13,865.00	
02OCT17	B	2	PD02JAN18	6516.50	6795.80	USD	13,965.00	
02OCT17	B	1	PD02JAN18	6520.50	6795.80	USD	6,882.50	
02OCT17	B	12	PD02JAN18	6526.50	6795.80	USD	80,790.00	
02OCT17	B	2	PD02JAN18	6523.50	6795.80	USD	13,615.00	

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02OCT17	B	5	PD02JAN18	6524.50	6795.80	USD	33,912.50	
02OCT17	B	5	PD02JAN18	6526.00	6795.80	USD	33,725.00	
02OCT17	B	5	PD02JAN18	6525.50	6795.80	USD	33,787.50	
02OCT17	B	3	PD02JAN18	6495.00	6795.80	USD	22,560.00	
02OCT17	B	3	PD02JAN18	6493.50	6795.80	USD	22,672.50	
02OCT17	B	3	PD02JAN18	6492.50	6795.80	USD	22,747.50	
02OCT17	B	1	PD02JAN18	6493.00	6795.80	USD	7,570.00	
02OCT17	B	1	PD02JAN18	6496.00	6795.80	USD	7,495.00	
02OCT17	B	1	PD02JAN18	6496.50	6795.80	USD	7,482.50	
02OCT17	B	1	PD02JAN18	6497.00	6795.80	USD	7,470.00	
02OCT17	B	2	PD02JAN18	6498.00	6795.80	USD	14,890.00	
02OCT17	B	2	PD02JAN18	6501.50	6795.80	USD	14,715.00	
02OCT17	B	1	PD02JAN18	6500.00	6795.80	USD	7,395.00	
02OCT17	S	8	PD02JAN18	6499.00	6795.80	USD	-59,360.00	
02OCT17	B	1	PD02JAN18	6525.50	6795.80	USD	6,757.50	
02OCT17	B	1	PD02JAN18	6525.00	6795.80	USD	6,770.00	
02OCT17	S	1	PD02JAN18	6484.50	6795.80	USD	-7,782.50	
02OCT17	S	1	PD02JAN18	6487.00	6795.80	USD	-7,720.00	
02OCT17	S	2	PD02JAN18	6486.00	6795.80	USD	-15,490.00	
02OCT17	S	4	PD02JAN18	6490.00	6795.80	USD	-30,580.00	
02OCT17	S	2	PD02JAN18	6489.00	6795.80	USD	-15,340.00	
02OCT17	B	1	PD02JAN18	6490.50	6795.80	USD	7,632.50	
02OCT17	B	1	PD02JAN18	6486.00	6795.80	USD	7,745.00	
02OCT17	B	9	PD02JAN18	6485.50	6795.80	USD	69,817.50	
02OCT17	B	1	PD02JAN18	6484.50	6795.80	USD	7,782.50	
02OCT17	S	1	PD02JAN18	6465.50	6795.80	USD	-8,257.50	
02OCT17	B	1	PD02JAN18	6470.00	6795.80	USD	8,145.00	
02OCT17	B	1	PD02JAN18	6471.00	6795.80	USD	8,120.00	
02OCT17	S	2	PD02JAN18	6488.00	6795.80	USD	-15,390.00	
02OCT17	S	1	PD02JAN18	6490.50	6795.80	USD	-7,632.50	
02OCT17	S	2	PD02JAN18	6491.50	6795.80	USD	-15,215.00	
02OCT17	S	1	PD02JAN18	6492.50	6795.80	USD	-7,582.50	
02OCT17	S	4	PD02JAN18	6492.00	6795.80	USD	-30,380.00	
02OCT17	S	2	PD02JAN18	6493.00	6795.80	USD	-15,140.00	
02OCT17	S	1	PD02JAN18	6496.00	6795.80	USD	-7,495.00	
02OCT17	B	2	PD02JAN18	6503.00	6795.80	USD	14,640.00	
02OCT17	B	1	PD02JAN18	6500.50	6795.80	USD	7,382.50	
02OCT17	S	1	PD02JAN18	6500.00	6795.80	USD	-7,395.00	
02OCT17	S	1	PD02JAN18	6498.00	6795.80	USD	-7,445.00	
02OCT17	S	1	PD02JAN18	6500.50	6795.80	USD	-7,382.50	
02OCT17	S	8	PD02JAN18	6495.50	6795.80	USD	-60,060.00	
02OCT17	S	2	PD02JAN18	6496.50	6795.80	USD	-14,965.00	
02OCT17	S	1	PD02JAN18	6488.00	6795.80	USD	-7,695.00	
02OCT17	B	1	PD02JAN18	6500.50	6795.80	USD	7,382.50	
	NET	+30 AVG BGHT 6509.06	SOLD 6492.92				196,500.00	0.00
03OCT17	S	1	PD03JAN18	6451.00	6796.27	USD	-8,631.75	
03OCT17	S	2	PD03JAN18	6450.00	6796.27	USD	-17,313.50	
03OCT17	S	2	PD03JAN18	6448.50	6796.27	USD	-17,388.50	
03OCT17	S	1	PD03JAN18	6448.00	6796.27	USD	-8,706.75	
03OCT17	S	4	PD03JAN18	6443.50	6796.27	USD	-35,277.00	
03OCT17	S	1	PD03JAN18	6442.50	6796.27	USD	-8,844.25	
03OCT17	S	1	PD03JAN18	6443.00	6796.27	USD	-8,831.75	
03OCT17	S	1	PD03JAN18	6444.00	6796.27	USD	-8,806.75	
03OCT17	S	1	PD03JAN18	6444.50	6796.27	USD	-8,794.25	
03OCT17	S	1	PD03JAN18	6445.00	6796.27	USD	-8,781.75	
03OCT17	S	1	PD03JAN18	6452.50	6796.27	USD	-8,594.25	
03OCT17	S	4	PD03JAN18	6451.50	6796.27	USD	-34,477.00	

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03OCT17	S	5	PD03JAN18	6453.50	6796.27	USD	-42,846.25	
03OCT17	S	5	PD03JAN18	6454.50	6796.27	USD	-42,721.25	
03OCT17	S	2	PD03JAN18	6455.00	6796.27	USD	-17,063.50	
03OCT17	S	2	PD03JAN18	6455.50	6796.27	USD	-17,038.50	
03OCT17	S	2	PD03JAN18	6457.00	6796.27	USD	-16,963.50	
03OCT17	S	1	PD03JAN18	6458.00	6796.27	USD	-8,456.75	
03OCT17	S	1	PD03JAN18	6459.00	6796.27	USD	-8,431.75	
03OCT17	S	1	PD03JAN18	6468.50	6796.27	USD	-8,194.25	
03OCT17	S	2	PD03JAN18	6467.50	6796.27	USD	-16,438.50	
03OCT17	S	1	PD03JAN18	6467.00	6796.27	USD	-8,231.75	
03OCT17	S	2	PD03JAN18	6463.50	6796.27	USD	-16,638.50	
03OCT17	S	1	PD03JAN18	6462.50	6796.27	USD	-8,344.25	
03OCT17	S	3	PD03JAN18	6464.50	6796.27	USD	-24,882.75	
03OCT17	S	1	PD03JAN18	6464.00	6796.27	USD	-8,306.75	
03OCT17	S	2	PD03JAN18	6457.50	6796.27	USD	-16,938.50	
03OCT17	S	1	PD03JAN18	6460.00	6796.27	USD	-8,406.75	
03OCT17	S	3	PD03JAN18	6469.50	6796.27	USD	-24,507.75	
03OCT17	S	1	PD03JAN18	6463.00	6796.27	USD	-8,331.75	
03OCT17	S	1	PD03JAN18	6465.00	6796.27	USD	-8,281.75	
03OCT17	S	3	PD03JAN18	6468.00	6796.27	USD	-24,620.25	
03OCT17	B	3	PD03JAN18	6485.00	6796.27	USD	23,345.25	
03OCT17	B	1	PD03JAN18	6484.00	6796.27	USD	7,806.75	
03OCT17	B	1	PD03JAN18	6480.50	6796.27	USD	7,894.25	
03OCT17	B	1	PD03JAN18	6481.50	6796.27	USD	7,869.25	
03OCT17	S	1	PD03JAN18	6507.00	6796.27	USD	-7,231.75	
03OCT17	B	2	PD03JAN18	6504.50	6796.27	USD	14,588.50	
03OCT17	B	1	PD03JAN18	6510.00	6796.27	USD	7,156.75	
03OCT17	B	1	PD03JAN18	6508.50	6796.27	USD	7,194.25	
03OCT17	B	1	PD03JAN18	6511.00	6796.27	USD	7,131.75	
03OCT17	B	5	PD03JAN18	6496.50	6796.27	USD	37,471.25	
03OCT17	B	4	PD03JAN18	6496.00	6796.27	USD	30,027.00	
03OCT17	B	11	PD03JAN18	6495.00	6796.27	USD	82,849.25	
03OCT17	B	2	PD03JAN18	6494.00	6796.27	USD	15,113.50	
03OCT17	B	2	PD03JAN18	6490.50	6796.27	USD	15,288.50	
03OCT17	S	1	PD03JAN18	6518.50	6796.27	USD	-6,944.25	
03OCT17	B	2	PD03JAN18	6489.00	6796.27	USD	15,363.50	
03OCT17	B	2	PD03JAN18	6490.00	6796.27	USD	15,313.50	
03OCT17	B	1	PD03JAN18	6495.50	6796.27	USD	7,519.25	
03OCT17	B	1	PD03JAN18	6493.00	6796.27	USD	7,581.75	
03OCT17	B	5	PD03JAN18	6499.50	6796.27	USD	37,096.25	
03OCT17	B	5	PD03JAN18	6511.50	6796.27	USD	35,596.25	
03OCT17	B	2	PD03JAN18	6508.00	6796.27	USD	14,413.50	
03OCT17	B	4	PD03JAN18	6507.00	6796.27	USD	28,927.00	
03OCT17	B	4	PD03JAN18	6529.00	6796.27	USD	26,727.00	
03OCT17	B	2	PD03JAN18	6528.00	6796.27	USD	13,413.50	
03OCT17	B	1	PD03JAN18	6527.00	6796.27	USD	6,731.75	
03OCT17	B	3	PD03JAN18	6526.00	6796.27	USD	20,270.25	
03OCT17	S	1	PD03JAN18	6521.50	6796.27	USD	-6,869.25	
03OCT17	S	1	PD03JAN18	6519.00	6796.27	USD	-6,931.75	
03OCT17	S	1	PD03JAN18	6516.50	6796.27	USD	-6,994.25	
03OCT17	B	1	PD03JAN18	6514.00	6796.27	USD	7,056.75	
03OCT17	B	1	PD03JAN18	6512.00	6796.27	USD	7,106.75	
03OCT17	B	2	PD03JAN18	6513.00	6796.27	USD	14,163.50	
03OCT17	B	1	PD03JAN18	6515.00	6796.27	USD	7,031.75	
03OCT17	S	11	PD03JAN18	6527.00	6796.27	USD	-74,049.25	
03OCT17	S	3	PD03JAN18	6524.50	6796.27	USD	-20,382.75	
03OCT17	S	1	PD03JAN18	6525.50	6796.27	USD	-6,769.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	1	PD03JAN18	6521.00	6796.27	USD	-6,881.75	
03OCT17	S	1	PD03JAN18	6522.00	6796.27	USD	-6,856.75	
03OCT17	B	1	PD03JAN18	6522.50	6796.27	USD	6,844.25	
03OCT17	S	2	PD03JAN18	6514.00	6796.27	USD	-14,113.50	
03OCT17	S	3	PD03JAN18	6516.00	6796.27	USD	-21,020.25	
03OCT17	S	1	PD03JAN18	6514.50	6796.27	USD	-7,044.25	
06OCT17	B	15	PD03JAN18	6666.03	6796.27	USD	48,840.00	
	NET	0	AVG BGHT 6530.94				-118,448.75	0.00
			SOLD 6477.10					
04OCT17	B	5	PD04JAN18	6522.50	6796.73	USD	34,278.75	
04OCT17	B	1	PD04JAN18	6523.00	6796.73	USD	6,843.25	
04OCT17	B	2	PD04JAN18	6527.50	6796.73	USD	13,461.50	
04OCT17	B	1	PD04JAN18	6528.00	6796.73	USD	6,718.25	
04OCT17	S	1	PD04JAN18	6511.00	6796.73	USD	-7,143.25	
04OCT17	S	3	PD04JAN18	6504.00	6796.73	USD	-21,954.75	
04OCT17	S	1	PD04JAN18	6503.50	6796.73	USD	-7,330.75	
04OCT17	S	3	PD04JAN18	6496.00	6796.73	USD	-22,554.75	
04OCT17	S	2	PD04JAN18	6497.50	6796.73	USD	-14,961.50	
04OCT17	S	6	PD04JAN18	6501.00	6796.73	USD	-44,359.50	
04OCT17	S	7	PD04JAN18	6495.00	6796.73	USD	-52,802.75	
04OCT17	S	1	PD04JAN18	6499.50	6796.73	USD	-7,430.75	
04OCT17	S	3	PD04JAN18	6508.50	6796.73	USD	-21,617.25	
04OCT17	S	5	PD04JAN18	6506.50	6796.73	USD	-36,278.75	
04OCT17	S	2	PD04JAN18	6512.00	6796.73	USD	-14,236.50	
04OCT17	S	3	PD04JAN18	6511.50	6796.73	USD	-21,392.25	
04OCT17	S	3	PD04JAN18	6513.00	6796.73	USD	-21,279.75	
04OCT17	S	7	PD04JAN18	6510.50	6796.73	USD	-50,090.25	
04OCT17	S	1	PD04JAN18	6509.50	6796.73	USD	-7,180.75	
04OCT17	S	2	PD04JAN18	6507.50	6796.73	USD	-14,461.50	
04OCT17	S	3	PD04JAN18	6496.50	6796.73	USD	-22,517.25	
04OCT17	S	11	PD04JAN18	6502.00	6796.73	USD	-81,050.75	
04OCT17	S	8	PD04JAN18	6503.00	6796.73	USD	-58,746.00	
04OCT17	S	4	PD04JAN18	6505.00	6796.73	USD	-29,173.00	
04OCT17	S	1	PD04JAN18	6506.00	6796.73	USD	-7,268.25	
04OCT17	S	1	PD04JAN18	6528.50	6796.73	USD	-6,705.75	
04OCT17	S	5	PD04JAN18	6527.50	6796.73	USD	-33,653.75	
04OCT17	S	3	PD04JAN18	6529.00	6796.73	USD	-20,079.75	
04OCT17	S	1	PD04JAN18	6532.00	6796.73	USD	-6,618.25	
04OCT17	S	1	PD04JAN18	6505.00	6796.73	USD	-7,293.25	
04OCT17	S	7	PD04JAN18	6504.00	6796.73	USD	-51,227.75	
04OCT17	S	1	PD04JAN18	6506.00	6796.73	USD	-7,268.25	
04OCT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
04OCT17	B	1	PD04JAN18	6523.50	6796.73	USD	6,830.75	
04OCT17	B	4	PD04JAN18	6526.00	6796.73	USD	27,073.00	
04OCT17	B	1	PD04JAN18	6525.00	6796.73	USD	6,793.25	
04OCT17	B	3	PD04JAN18	6519.50	6796.73	USD	20,792.25	
04OCT17	B	1	PD04JAN18	6521.00	6796.73	USD	6,893.25	
04OCT17	B	1	PD04JAN18	6516.00	6796.73	USD	7,018.25	
04OCT17	B	1	PD04JAN18	6515.50	6796.73	USD	7,030.75	
04OCT17	B	1	PD04JAN18	6514.50	6796.73	USD	7,055.75	
04OCT17	B	1	PD04JAN18	6525.00	6796.73	USD	6,793.25	
04OCT17	S	1	PD04JAN18	6521.50	6796.73	USD	-6,880.75	
04OCT17	S	1	PD04JAN18	6520.50	6796.73	USD	-6,905.75	
04OCT17	S	2	PD04JAN18	6520.00	6796.73	USD	-13,836.50	
04OCT17	S	2	PD04JAN18	6522.50	6796.73	USD	-13,711.50	
04OCT17	S	5	PD04JAN18	6522.00	6796.73	USD	-34,341.25	
04OCT17	S	2	PD04JAN18	6514.50	6796.73	USD	-14,111.50	
04OCT17	S	1	PD04JAN18	6514.00	6796.73	USD	-7,068.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
040CT17	S	3	PD04JAN18	6513.50	6796.73	USD	-21,242.25	
040CT17	S	3	PD04JAN18	6515.50	6796.73	USD	-21,092.25	
040CT17	S	1	PD04JAN18	6516.00	6796.73	USD	-7,018.25	
040CT17	S	1	PD04JAN18	6518.00	6796.73	USD	-6,968.25	
040CT17	S	7	PD04JAN18	6517.00	6796.73	USD	-48,952.75	
040CT17	S	7	PD04JAN18	6515.00	6796.73	USD	-49,302.75	
040CT17	S	7	PD04JAN18	6510.00	6796.73	USD	-50,177.75	
040CT17	S	1	PD04JAN18	6520.00	6796.73	USD	-6,918.25	
040CT17	S	2	PD04JAN18	6513.00	6796.73	USD	-14,186.50	
060CT17	B	120	PD04JAN18	6666.37	6796.73	USD	391,080.00	
	NET	0	AVG BGHT 6643.26				-477,835.00	0.00
			SOLD 6509.60					
050CT17	S	1	PD05JAN18	6535.00	6797.20	USD	-6,555.00	
050CT17	S	1	PD05JAN18	6537.00	6797.20	USD	-6,505.00	
050CT17	S	1	PD05JAN18	6541.50	6797.20	USD	-6,392.50	
050CT17	B	3	PD05JAN18	6561.50	6797.20	USD	17,677.50	
050CT17	B	1	PD05JAN18	6559.50	6797.20	USD	5,942.50	
050CT17	B	1	PD05JAN18	6558.00	6797.20	USD	5,980.00	
050CT17	B	1	PD05JAN18	6557.00	6797.20	USD	6,005.00	
050CT17	B	1	PD05JAN18	6550.50	6797.20	USD	6,167.50	
050CT17	B	1	PD05JAN18	6551.00	6797.20	USD	6,155.00	
050CT17	B	1	PD05JAN18	6552.00	6797.20	USD	6,130.00	
050CT17	B	3	PD05JAN18	6552.50	6797.20	USD	18,352.50	
050CT17	B	1	PD05JAN18	6553.00	6797.20	USD	6,105.00	
050CT17	B	4	PD05JAN18	6551.50	6797.20	USD	24,570.00	
050CT17	B	1	PD05JAN18	6554.50	6797.20	USD	6,067.50	
050CT17	B	4	PD05JAN18	6554.00	6797.20	USD	24,320.00	
050CT17	B	1	PD05JAN18	6555.50	6797.20	USD	6,042.50	
050CT17	B	2	PD05JAN18	6559.00	6797.20	USD	11,910.00	
050CT17	B	4	PD05JAN18	6560.00	6797.20	USD	23,720.00	
050CT17	B	1	PD05JAN18	6560.50	6797.20	USD	5,917.50	
050CT17	B	2	PD05JAN18	6556.00	6797.20	USD	12,060.00	
050CT17	B	11	PD05JAN18	6555.00	6797.20	USD	66,605.00	
050CT17	B	5	PD05JAN18	6591.00	6797.20	USD	25,775.00	
050CT17	B	2	PD05JAN18	6590.50	6797.20	USD	10,335.00	
050CT17	B	2	PD05JAN18	6588.50	6797.20	USD	10,435.00	
050CT17	B	1	PD05JAN18	6593.00	6797.20	USD	5,105.00	
050CT17	B	1	PD05JAN18	6606.50	6797.20	USD	4,767.50	
050CT17	B	1	PD05JAN18	6603.00	6797.20	USD	4,855.00	
050CT17	B	1	PD05JAN18	6603.50	6797.20	USD	4,842.50	
050CT17	B	1	PD05JAN18	6607.00	6797.20	USD	4,755.00	
050CT17	B	3	PD05JAN18	6613.50	6797.20	USD	13,777.50	
050CT17	B	2	PD05JAN18	6618.00	6797.20	USD	8,960.00	
050CT17	B	2	PD05JAN18	6619.00	6797.20	USD	8,910.00	
050CT17	B	2	PD05JAN18	6616.00	6797.20	USD	9,060.00	
050CT17	B	6	PD05JAN18	6617.00	6797.20	USD	27,030.00	
050CT17	B	6	PD05JAN18	6620.00	6797.20	USD	26,580.00	
050CT17	B	1	PD05JAN18	6621.00	6797.20	USD	4,405.00	
050CT17	B	1	PD05JAN18	6626.50	6797.20	USD	4,267.50	
050CT17	B	1	PD05JAN18	6632.50	6797.20	USD	4,117.50	
050CT17	B	3	PD05JAN18	6632.00	6797.20	USD	12,390.00	
050CT17	B	2	PD05JAN18	6631.50	6797.20	USD	8,285.00	
050CT17	B	3	PD05JAN18	6633.50	6797.20	USD	12,277.50	
050CT17	B	1	PD05JAN18	6638.00	6797.20	USD	3,980.00	
050CT17	B	1	PD05JAN18	6637.00	6797.20	USD	4,005.00	
050CT17	B	3	PD05JAN18	6640.00	6797.20	USD	11,790.00	
050CT17	B	3	PD05JAN18	6641.00	6797.20	USD	11,715.00	
050CT17	B	1	PD05JAN18	6669.50	6797.20	USD	3,192.50	

OPEN POSITION STATEMENT



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SAP0127A

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	3	PD05JAN18	6670.00	6797.20	USD	9,540.00	
05OCT17	B	1	PD05JAN18	6670.50	6797.20	USD	3,167.50	
05OCT17	B	2	PD05JAN18	6671.00	6797.20	USD	6,310.00	
05OCT17	B	2	PD05JAN18	6678.50	6797.20	USD	5,935.00	
05OCT17	S	4	PD05JAN18	6680.00	6797.20	USD	-11,720.00	
05OCT17	S	1	PD05JAN18	6678.00	6797.20	USD	-2,980.00	
05OCT17	B	1	PD05JAN18	6690.50	6797.20	USD	2,667.50	
05OCT17	B	1	PD05JAN18	6689.50	6797.20	USD	2,692.50	
05OCT17	B	2	PD05JAN18	6688.50	6797.20	USD	5,435.00	
05OCT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
05OCT17	B	2	PD05JAN18	6681.50	6797.20	USD	5,785.00	
05OCT17	B	4	PD05JAN18	6680.50	6797.20	USD	11,670.00	
05OCT17	B	3	PD05JAN18	6682.00	6797.20	USD	8,640.00	
05OCT17	B	2	PD05JAN18	6684.50	6797.20	USD	5,635.00	
05OCT17	B	4	PD05JAN18	6683.50	6797.20	USD	11,370.00	
05OCT17	B	3	PD05JAN18	6684.00	6797.20	USD	8,490.00	
05OCT17	B	5	PD05JAN18	6683.00	6797.20	USD	14,275.00	
05OCT17	B	4	PD05JAN18	6685.00	6797.20	USD	11,220.00	
05OCT17	B	11	PD05JAN18	6679.50	6797.20	USD	32,367.50	
05OCT17	B	1	PD05JAN18	6621.00	6797.20	USD	4,405.00	
05OCT17	B	2	PD05JAN18	6640.00	6797.20	USD	7,860.00	
05OCT17	B	2	PD05JAN18	6642.50	6797.20	USD	7,735.00	
05OCT17	B	1	PD05JAN18	6629.00	6797.20	USD	4,205.00	
05OCT17	S	1	PD05JAN18	6684.50	6797.20	USD	-2,817.50	
05OCT17	S	1	PD05JAN18	6695.50	6797.20	USD	-2,542.50	
05OCT17	S	1	PD05JAN18	6696.00	6797.20	USD	-2,530.00	
05OCT17	S	1	PD05JAN18	6698.00	6797.20	USD	-2,480.00	
05OCT17	S	1	PD05JAN18	6706.00	6797.20	USD	-2,280.00	
05OCT17	B	1	PD05JAN18	6697.50	6797.20	USD	2,492.50	
05OCT17	B	3	PD05JAN18	6698.50	6797.20	USD	7,402.50	
05OCT17	B	1	PD05JAN18	6701.00	6797.20	USD	2,405.00	
05OCT17	B	3	PD05JAN18	6686.00	6797.20	USD	8,340.00	
05OCT17	B	1	PD05JAN18	6674.50	6797.20	USD	3,067.50	
05OCT17	S	2	PD05JAN18	6695.50	6797.20	USD	-5,085.00	
05OCT17	B	1	PD05JAN18	6696.00	6797.20	USD	2,530.00	
06OCT17	S	1	PD05JAN18	6693.00	6797.20	USD	-2,605.00	
06OCT17	S	5	PD05JAN18	6692.50	6797.20	USD	-13,087.50	
06OCT17	S	1	PD05JAN18	6693.50	6797.20	USD	-2,592.50	
06OCT17	S	1	PD05JAN18	6695.50	6797.20	USD	-2,542.50	
06OCT17	S	1	PD05JAN18	6698.50	6797.20	USD	-2,467.50	
06OCT17	S	1	PD05JAN18	6699.50	6797.20	USD	-2,442.50	
06OCT17	S	1	PD05JAN18	6694.00	6797.20	USD	-2,580.00	
06OCT17	S	6	PD05JAN18	6692.00	6797.20	USD	-15,780.00	
06OCT17	S	3	PD05JAN18	6691.00	6797.20	USD	-7,965.00	
06OCT17	B	1	PD05JAN18	6693.50	6797.20	USD	2,592.50	
06OCT17	S	2	PD05JAN18	6701.50	6797.20	USD	-4,785.00	
06OCT17	S	1	PD05JAN18	6701.00	6797.20	USD	-2,405.00	
06OCT17	S	2	PD05JAN18	6710.50	6797.20	USD	-4,335.00	
06OCT17	S	3	PD05JAN18	6713.00	6797.20	USD	-6,315.00	
06OCT17	S	1	PD05JAN18	6715.00	6797.20	USD	-2,055.00	
06OCT17	S	4	PD05JAN18	6712.00	6797.20	USD	-8,520.00	
06OCT17	S	1	PD05JAN18	6707.50	6797.20	USD	-2,242.50	
06OCT17	S	1	PD05JAN18	6705.00	6797.20	USD	-2,305.00	
06OCT17	S	1	PD05JAN18	6700.50	6797.20	USD	-2,417.50	
06OCT17	S	1	PD05JAN18	6719.00	6797.20	USD	-1,955.00	
06OCT17	S	1	PD05JAN18	6716.50	6797.20	USD	-2,017.50	
06OCT17	S	1	PD05JAN18	6708.00	6797.20	USD	-2,230.00	

OPEN POSITION STATEMENT



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SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	1	PD05JAN18	6707.00	6797.20	USD	-2,255.00	
06OCT17	S	3	PD05JAN18	6706.50	6797.20	USD	-6,802.50	
06OCT17	S	1	PD05JAN18	6704.50	6797.20	USD	-2,317.50	
06OCT17	S	2	PD05JAN18	6704.00	6797.20	USD	-4,660.00	
06OCT17	S	4	PD05JAN18	6702.50	6797.20	USD	-9,470.00	
06OCT17	S	1	PD05JAN18	6703.00	6797.20	USD	-2,355.00	
06OCT17	B	1	PD05JAN18	6715.50	6797.20	USD	2,042.50	
06OCT17	B	1	PD05JAN18	6711.00	6797.20	USD	2,155.00	
06OCT17	B	3	PD05JAN18	6707.00	6797.20	USD	6,765.00	
06OCT17	B	4	PD05JAN18	6701.00	6797.20	USD	9,620.00	
06OCT17	B	1	PD05JAN18	6700.00	6797.20	USD	2,430.00	
06OCT17	B	1	PD05JAN18	6699.00	6797.20	USD	2,455.00	
06OCT17	B	4	PD05JAN18	6696.50	6797.20	USD	10,070.00	
06OCT17	B	1	PD05JAN18	6696.00	6797.20	USD	2,530.00	
06OCT17	B	2	PD05JAN18	6698.00	6797.20	USD	4,960.00	
06OCT17	B	2	PD05JAN18	6697.00	6797.20	USD	5,010.00	
06OCT17	B	3	PD05JAN18	6698.50	6797.20	USD	7,402.50	
06OCT17	B	2	PD05JAN18	6689.50	6797.20	USD	5,385.00	
06OCT17	B	1	PD05JAN18	6690.50	6797.20	USD	2,667.50	
06OCT17	B	1	PD05JAN18	6689.00	6797.20	USD	2,705.00	
06OCT17	B	2	PD05JAN18	6688.50	6797.20	USD	5,435.00	
06OCT17	B	2	PD05JAN18	6688.00	6797.20	USD	5,460.00	
06OCT17	B	3	PD05JAN18	6687.00	6797.20	USD	8,265.00	
06OCT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	
06OCT17	B	2	PD05JAN18	6684.50	6797.20	USD	5,635.00	
06OCT17	S	1	PD05JAN18	6694.00	6797.20	USD	-2,580.00	
06OCT17	S	1	PD05JAN18	6713.50	6797.20	USD	-2,092.50	
06OCT17	S	1	PD05JAN18	6714.50	6797.20	USD	-2,067.50	
06OCT17	B	1	PD05JAN18	6688.50	6797.20	USD	2,717.50	
06OCT17	S	1	PD05JAN18	6670.50	6797.20	USD	-3,167.50	
06OCT17	S	1	PD05JAN18	6667.50	6797.20	USD	-3,242.50	
06OCT17	S	4	PD05JAN18	6668.50	6797.20	USD	-12,870.00	
06OCT17	S	2	PD05JAN18	6661.00	6797.20	USD	-6,810.00	
06OCT17	S	2	PD05JAN18	6662.50	6797.20	USD	-6,735.00	
06OCT17	S	1	PD05JAN18	6663.50	6797.20	USD	-3,342.50	
06OCT17	S	2	PD05JAN18	6659.50	6797.20	USD	-6,885.00	
06OCT17	S	4	PD05JAN18	6661.50	6797.20	USD	-13,570.00	
06OCT17	S	11	PD05JAN18	6660.50	6797.20	USD	-37,592.50	
06OCT17	S	3	PD05JAN18	6664.00	6797.20	USD	-9,990.00	
06OCT17	S	2	PD05JAN18	6658.50	6797.20	USD	-6,935.00	
06OCT17	S	3	PD05JAN18	6660.00	6797.20	USD	-10,290.00	
06OCT17	S	1	PD05JAN18	6667.00	6797.20	USD	-3,255.00	
06OCT17	S	4	PD05JAN18	6665.00	6797.20	USD	-13,220.00	
06OCT17	S	2	PD05JAN18	6666.00	6797.20	USD	-6,560.00	
06OCT17	S	16	PD05JAN18	6664.50	6797.20	USD	-53,080.00	
06OCT17	S	5	PD05JAN18	6658.00	6797.20	USD	-17,400.00	
06OCT17	S	5	PD05JAN18	6657.00	6797.20	USD	-17,525.00	
06OCT17	S	1	PD05JAN18	6653.50	6797.20	USD	-3,592.50	
06OCT17	B	1	PD05JAN18	6654.50	6797.20	USD	3,567.50	
06OCT17	B	1	PD05JAN18	6654.00	6797.20	USD	3,580.00	
06OCT17	S	1	PD05JAN18	6654.50	6797.20	USD	-3,567.50	
06OCT17	S	7	PD05JAN18	6653.00	6797.20	USD	-25,235.00	
06OCT17	S	9	PD05JAN18	6654.00	6797.20	USD	-32,220.00	
06OCT17	S	2	PD05JAN18	6656.00	6797.20	USD	-7,060.00	
06OCT17	S	4	PD05JAN18	6655.00	6797.20	USD	-14,220.00	
06OCT17	S	1	PD05JAN18	6657.50	6797.20	USD	-3,492.50	
06OCT17	S	3	PD05JAN18	6659.00	6797.20	USD	-10,365.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	7	PD05JAN18	6655.00	6797.20	USD	-24,885.00	
06OCT17	S	1	PD05JAN18	6660.00	6797.20	USD	-3,430.00	
06OCT17	S	1	PD05JAN18	6654.00	6797.20	USD	-3,580.00	
06OCT17	S	1	PD05JAN18	6657.50	6797.20	USD	-3,492.50	
	NET	+30 AVG BGHT 6639.92	SOLD 6672.71				262,275.00	0.00
09OCT17	S	1	PD09JAN18	6674.50	6799.07	USD	-3,114.25	
09OCT17	S	1	PD09JAN18	6673.00	6799.07	USD	-3,151.75	
09OCT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
09OCT17	S	2	PD09JAN18	6660.00	6799.07	USD	-6,953.50	
09OCT17	S	5	PD09JAN18	6658.00	6799.07	USD	-17,633.75	
09OCT17	S	1	PD09JAN18	6663.50	6799.07	USD	-3,389.25	
09OCT17	S	2	PD09JAN18	6678.00	6799.07	USD	-6,053.50	
09OCT17	S	12	PD09JAN18	6656.50	6799.07	USD	-42,771.00	
09OCT17	S	3	PD09JAN18	6675.00	6799.07	USD	-9,305.25	
09OCT17	S	2	PD09JAN18	6662.00	6799.07	USD	-6,853.50	
09OCT17	S	4	PD09JAN18	6679.00	6799.07	USD	-12,007.00	
09OCT17	S	1	PD09JAN18	6680.00	6799.07	USD	-2,976.75	
09OCT17	S	5	PD09JAN18	6678.50	6799.07	USD	-15,071.25	
09OCT17	S	6	PD09JAN18	6677.50	6799.07	USD	-18,235.50	
09OCT17	S	1	PD09JAN18	6679.50	6799.07	USD	-2,989.25	
09OCT17	S	2	PD09JAN18	6658.50	6799.07	USD	-7,028.50	
09OCT17	S	5	PD09JAN18	6677.00	6799.07	USD	-15,258.75	
09OCT17	S	10	PD09JAN18	6676.50	6799.07	USD	-30,642.50	
09OCT17	S	1	PD09JAN18	6669.50	6799.07	USD	-3,239.25	
09OCT17	S	3	PD09JAN18	6669.00	6799.07	USD	-9,755.25	
09OCT17	S	1	PD09JAN18	6668.50	6799.07	USD	-3,264.25	
09OCT17	S	1	PD09JAN18	6670.50	6799.07	USD	-3,214.25	
09OCT17	S	4	PD09JAN18	6671.50	6799.07	USD	-12,757.00	
09OCT17	S	8	PD09JAN18	6675.50	6799.07	USD	-24,714.00	
09OCT17	S	1	PD09JAN18	6671.00	6799.07	USD	-3,201.75	
09OCT17	S	2	PD09JAN18	6670.00	6799.07	USD	-6,453.50	
09OCT17	S	5	PD09JAN18	6668.00	6799.07	USD	-16,383.75	
09OCT17	S	1	PD09JAN18	6665.00	6799.07	USD	-3,351.75	
09OCT17	S	4	PD09JAN18	6673.50	6799.07	USD	-12,557.00	
09OCT17	S	1	PD09JAN18	6672.50	6799.07	USD	-3,164.25	
09OCT17	S	1	PD09JAN18	6672.00	6799.07	USD	-3,176.75	
09OCT17	S	1	PD09JAN18	6654.50	6799.07	USD	-3,614.25	
09OCT17	B	1	PD09JAN18	6656.00	6799.07	USD	3,576.75	
09OCT17	B	2	PD09JAN18	6659.00	6799.07	USD	7,003.50	
09OCT17	B	2	PD09JAN18	6660.00	6799.07	USD	6,953.50	
09OCT17	B	1	PD09JAN18	6658.50	6799.07	USD	3,514.25	
09OCT17	B	1	PD09JAN18	6667.50	6799.07	USD	3,289.25	
09OCT17	S	7	PD09JAN18	6657.00	6799.07	USD	-24,862.25	
09OCT17	S	3	PD09JAN18	6657.50	6799.07	USD	-10,617.75	
09OCT17	S	7	PD09JAN18	6656.00	6799.07	USD	-25,037.25	
09OCT17	S	1	PD09JAN18	6653.00	6799.07	USD	-3,651.75	
09OCT17	S	2	PD09JAN18	6654.00	6799.07	USD	-7,253.50	
09OCT17	S	1	PD09JAN18	6644.50	6799.07	USD	-3,864.25	
09OCT17	S	2	PD09JAN18	6643.00	6799.07	USD	-7,803.50	
09OCT17	S	2	PD09JAN18	6648.00	6799.07	USD	-7,553.50	
09OCT17	S	3	PD09JAN18	6648.50	6799.07	USD	-11,292.75	
09OCT17	S	2	PD09JAN18	6645.50	6799.07	USD	-7,678.50	
09OCT17	S	6	PD09JAN18	6647.50	6799.07	USD	-22,735.50	
09OCT17	S	4	PD09JAN18	6646.50	6799.07	USD	-15,257.00	
09OCT17	B	1	PD09JAN18	6650.50	6799.07	USD	3,714.25	
09OCT17	B	1	PD09JAN18	6651.50	6799.07	USD	3,689.25	
09OCT17	B	3	PD09JAN18	6652.50	6799.07	USD	10,992.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	6660.50	6799.07	USD	-3,464.25	
09OCT17	B	1	PD09JAN18	6669.00	6799.07	USD	3,251.75	
09OCT17	B	7	PD09JAN18	6668.00	6799.07	USD	22,937.25	
09OCT17	B	2	PD09JAN18	6675.50	6799.07	USD	6,178.50	
09OCT17	B	1	PD09JAN18	6675.00	6799.07	USD	3,101.75	
09OCT17	B	6	PD09JAN18	6674.50	6799.07	USD	18,685.50	
09OCT17	B	5	PD09JAN18	6673.50	6799.07	USD	15,696.25	
09OCT17	B	2	PD09JAN18	6674.00	6799.07	USD	6,253.50	
09OCT17	S	9	PD09JAN18	6676.00	6799.07	USD	-27,690.75	
09OCT17	B	2	PD09JAN18	6673.50	6799.07	USD	6,278.50	
	NET	-110 AVG BGHT 6667.37	SOLD 6665.39				-369,517.50	0.00
10OCT17	S	1	PD10JAN18	6704.50	6799.53	USD	-2,375.75	
10OCT17	S	1	PD10JAN18	6710.00	6799.53	USD	-2,238.25	
10OCT17	S	4	PD10JAN18	6705.50	6799.53	USD	-9,403.00	
10OCT17	S	2	PD10JAN18	6710.50	6799.53	USD	-4,451.50	
10OCT17	S	3	PD10JAN18	6709.50	6799.53	USD	-6,752.25	
10OCT17	S	2	PD10JAN18	6704.00	6799.53	USD	-4,776.50	
10OCT17	S	3	PD10JAN18	6705.00	6799.53	USD	-7,089.75	
10OCT17	S	5	PD10JAN18	6706.00	6799.53	USD	-11,691.25	
10OCT17	S	2	PD10JAN18	6707.00	6799.53	USD	-4,626.50	
10OCT17	S	2	PD10JAN18	6687.00	6799.53	USD	-5,626.50	
10OCT17	S	2	PD10JAN18	6692.50	6799.53	USD	-5,351.50	
10OCT17	B	1	PD10JAN18	6684.50	6799.53	USD	2,875.75	
10OCT17	B	1	PD10JAN18	6679.00	6799.53	USD	3,013.25	
10OCT17	B	1	PD10JAN18	6682.00	6799.53	USD	2,938.25	
10OCT17	B	1	PD10JAN18	6684.00	6799.53	USD	2,888.25	
10OCT17	B	1	PD10JAN18	6683.00	6799.53	USD	2,913.25	
10OCT17	B	1	PD10JAN18	6687.50	6799.53	USD	2,800.75	
10OCT17	B	2	PD10JAN18	6688.50	6799.53	USD	5,551.50	
10OCT17	B	6	PD10JAN18	6689.00	6799.53	USD	16,579.50	
10OCT17	B	1	PD10JAN18	6690.50	6799.53	USD	2,725.75	
10OCT17	B	1	PD10JAN18	6690.00	6799.53	USD	2,738.25	
10OCT17	B	1	PD10JAN18	6688.00	6799.53	USD	2,788.25	
10OCT17	B	5	PD10JAN18	6696.00	6799.53	USD	12,941.25	
10OCT17	B	2	PD10JAN18	6694.00	6799.53	USD	5,276.50	
10OCT17	B	2	PD10JAN18	6692.00	6799.53	USD	5,376.50	
10OCT17	B	2	PD10JAN18	6693.00	6799.53	USD	5,326.50	
10OCT17	B	2	PD10JAN18	6697.50	6799.53	USD	5,101.50	
10OCT17	B	5	PD10JAN18	6697.00	6799.53	USD	12,816.25	
10OCT17	B	2	PD10JAN18	6696.50	6799.53	USD	5,151.50	
10OCT17	S	1	PD10JAN18	6707.50	6799.53	USD	-2,300.75	
10OCT17	B	4	PD10JAN18	6705.00	6799.53	USD	9,453.00	
10OCT17	B	2	PD10JAN18	6704.50	6799.53	USD	4,751.50	
10OCT17	B	10	PD10JAN18	6704.00	6799.53	USD	23,882.50	
10OCT17	B	1	PD10JAN18	6702.50	6799.53	USD	2,425.75	
10OCT17	S	1	PD10JAN18	6696.00	6799.53	USD	-2,588.25	
10OCT17	S	1	PD10JAN18	6698.00	6799.53	USD	-2,538.25	
10OCT17	S	1	PD10JAN18	6686.00	6799.53	USD	-2,838.25	
10OCT17	S	11	PD10JAN18	6687.50	6799.53	USD	-30,808.25	
10OCT17	S	2	PD10JAN18	6688.50	6799.53	USD	-5,551.50	
10OCT17	S	13	PD10JAN18	6686.50	6799.53	USD	-36,734.75	
10OCT17	S	3	PD10JAN18	6685.50	6799.53	USD	-8,552.25	
10OCT17	S	1	PD10JAN18	6682.50	6799.53	USD	-2,925.75	
10OCT17	S	2	PD10JAN18	6682.00	6799.53	USD	-5,876.50	
10OCT17	S	4	PD10JAN18	6683.50	6799.53	USD	-11,603.00	
10OCT17	S	2	PD10JAN18	6684.50	6799.53	USD	-5,751.50	
10OCT17	S	4	PD10JAN18	6688.00	6799.53	USD	-11,153.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6689.00	6799.53	USD	-5,526.50	
10OCT17	S	1	PD10JAN18	6690.00	6799.53	USD	-2,738.25	
10OCT17	S	4	PD10JAN18	6695.00	6799.53	USD	-10,453.00	
10OCT17	S	3	PD10JAN18	6692.00	6799.53	USD	-8,064.75	
10OCT17	S	5	PD10JAN18	6691.00	6799.53	USD	-13,566.25	
10OCT17	S	13	PD10JAN18	6697.00	6799.53	USD	-33,322.25	
10OCT17	S	1	PD10JAN18	6695.50	6799.53	USD	-2,600.75	
10OCT17	S	3	PD10JAN18	6691.50	6799.53	USD	-8,102.25	
10OCT17	S	6	PD10JAN18	6693.00	6799.53	USD	-15,979.50	
10OCT17	S	3	PD10JAN18	6694.00	6799.53	USD	-7,914.75	
10OCT17	S	8	PD10JAN18	6693.50	6799.53	USD	-21,206.00	
10OCT17	S	6	PD10JAN18	6694.50	6799.53	USD	-15,754.50	
10OCT17	S	1	PD10JAN18	6701.50	6799.53	USD	-2,450.75	
10OCT17	S	4	PD10JAN18	6700.50	6799.53	USD	-9,903.00	
10OCT17	S	7	PD10JAN18	6703.00	6799.53	USD	-16,892.75	
10OCT17	S	2	PD10JAN18	6702.50	6799.53	USD	-4,851.50	
10OCT17	B	1	PD10JAN18	6700.00	6799.53	USD	2,488.25	
10OCT17	B	1	PD10JAN18	6700.50	6799.53	USD	2,475.75	
10OCT17	B	1	PD10JAN18	6699.00	6799.53	USD	2,513.25	
10OCT17	S	4	PD10JAN18	6696.50	6799.53	USD	-10,303.00	
10OCT17	S	5	PD10JAN18	6697.50	6799.53	USD	-12,753.75	
10OCT17	B	1	PD10JAN18	6728.00	6799.53	USD	1,788.25	
10OCT17	B	5	PD10JAN18	6727.50	6799.53	USD	9,003.75	
10OCT17	B	1	PD10JAN18	6726.50	6799.53	USD	1,825.75	
10OCT17	B	4	PD10JAN18	6725.00	6799.53	USD	7,453.00	
10OCT17	B	1	PD10JAN18	6727.00	6799.53	USD	1,813.25	
10OCT17	B	9	PD10JAN18	6728.50	6799.53	USD	15,981.75	
10OCT17	B	2	PD10JAN18	6740.00	6799.53	USD	2,976.50	
10OCT17	B	1	PD10JAN18	6736.50	6799.53	USD	1,575.75	
10OCT17	B	2	PD10JAN18	6739.00	6799.53	USD	3,026.50	
10OCT17	B	1	PD10JAN18	6738.00	6799.53	USD	1,538.25	
10OCT17	B	3	PD10JAN18	6737.00	6799.53	USD	4,689.75	
10OCT17	B	3	PD10JAN18	6738.50	6799.53	USD	4,577.25	
10OCT17	B	6	PD10JAN18	6741.50	6799.53	USD	8,704.50	
10OCT17	B	5	PD10JAN18	6740.50	6799.53	USD	7,378.75	
10OCT17	B	3	PD10JAN18	6741.00	6799.53	USD	4,389.75	
10OCT17	B	11	PD10JAN18	6739.50	6799.53	USD	16,508.25	
10OCT17	B	3	PD10JAN18	6737.50	6799.53	USD	4,652.25	
10OCT17	B	2	PD10JAN18	6693.50	6799.53	USD	5,301.50	
10OCT17	B	2	PD10JAN18	6704.00	6799.53	USD	4,776.50	
10OCT17	B	1	PD10JAN18	6747.50	6799.53	USD	1,300.75	
10OCT17	B	1	PD10JAN18	6754.50	6799.53	USD	1,125.75	
10OCT17	B	1	PD10JAN18	6751.00	6799.53	USD	1,213.25	
10OCT17	B	2	PD10JAN18	6752.00	6799.53	USD	2,376.50	
10OCT17	B	1	PD10JAN18	6758.50	6799.53	USD	1,025.75	
10OCT17	B	2	PD10JAN18	6754.00	6799.53	USD	2,276.50	
10OCT17	B	5	PD10JAN18	6751.50	6799.53	USD	6,003.75	
10OCT17	B	1	PD10JAN18	6750.50	6799.53	USD	1,225.75	
10OCT17	B	2	PD10JAN18	6749.50	6799.53	USD	2,501.50	
10OCT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
10OCT17	B	1	PD10JAN18	6749.00	6799.53	USD	1,263.25	
10OCT17	B	1	PD10JAN18	6747.00	6799.53	USD	1,313.25	
10OCT17	S	2	PD10JAN18	6746.50	6799.53	USD	-2,651.50	
10OCT17	S	6	PD10JAN18	6746.00	6799.53	USD	-8,029.50	
10OCT17	S	1	PD10JAN18	6743.50	6799.53	USD	-1,400.75	
10OCT17	S	1	PD10JAN18	6739.50	6799.53	USD	-1,500.75	
10OCT17	S	1	PD10JAN18	6742.00	6799.53	USD	-1,438.25	

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10OCT17	S	6	PD10JAN18	6741.50	6799.53	USD	-8,704.50	
10OCT17	S	3	PD10JAN18	6740.50	6799.53	USD	-4,427.25	
10OCT17	S	1	PD10JAN18	6741.00	6799.53	USD	-1,463.25	
10OCT17	S	1	PD10JAN18	6743.00	6799.53	USD	-1,413.25	
10OCT17	S	1	PD10JAN18	6744.00	6799.53	USD	-1,388.25	
10OCT17	S	2	PD10JAN18	6748.00	6799.53	USD	-2,576.50	
10OCT17	S	1	PD10JAN18	6749.50	6799.53	USD	-1,250.75	
10OCT17	S	1	PD10JAN18	6747.50	6799.53	USD	-1,300.75	
10OCT17	S	12	PD10JAN18	6747.00	6799.53	USD	-15,759.00	
10OCT17	S	2	PD10JAN18	6750.50	6799.53	USD	-2,451.50	
10OCT17	S	1	PD10JAN18	6753.50	6799.53	USD	-1,150.75	
10OCT17	S	1	PD10JAN18	6751.00	6799.53	USD	-1,213.25	
10OCT17	S	7	PD10JAN18	6752.00	6799.53	USD	-8,317.75	
10OCT17	S	4	PD10JAN18	6751.50	6799.53	USD	-4,803.00	
10OCT17	S	1	PD10JAN18	6753.00	6799.53	USD	-1,163.25	
	NET	-65 AVG BGHT 6720.48	SOLD 6708.58				-189,736.25	0.00
11OCT17	S	1	PD11JAN18	6729.00	6800.00	USD	-1,775.00	
11OCT17	B	1	PD11JAN18	6735.50	6800.00	USD	1,612.50	
11OCT17	B	2	PD11JAN18	6741.00	6800.00	USD	2,950.00	
11OCT17	B	2	PD11JAN18	6740.00	6800.00	USD	3,000.00	
11OCT17	B	5	PD11JAN18	6739.50	6800.00	USD	7,562.50	
11OCT17	B	5	PD11JAN18	6732.50	6800.00	USD	8,437.50	
11OCT17	B	2	PD11JAN18	6734.50	6800.00	USD	3,275.00	
11OCT17	B	3	PD11JAN18	6730.50	6800.00	USD	5,212.50	
11OCT17	B	5	PD11JAN18	6731.50	6800.00	USD	8,562.50	
11OCT17	B	2	PD11JAN18	6731.00	6800.00	USD	3,450.00	
11OCT17	B	1	PD11JAN18	6729.50	6800.00	USD	1,762.50	
11OCT17	B	1	PD11JAN18	6729.00	6800.00	USD	1,775.00	
11OCT17	B	1	PD11JAN18	6730.00	6800.00	USD	1,750.00	
11OCT17	B	4	PD11JAN18	6725.50	6800.00	USD	7,450.00	
11OCT17	B	6	PD11JAN18	6726.00	6800.00	USD	11,100.00	
11OCT17	S	3	PD11JAN18	6732.00	6800.00	USD	-5,100.00	
11OCT17	S	1	PD11JAN18	6739.00	6800.00	USD	-1,525.00	
11OCT17	S	1	PD11JAN18	6751.00	6800.00	USD	-1,225.00	
11OCT17	S	1	PD11JAN18	6752.50	6800.00	USD	-1,187.50	
11OCT17	S	16	PD11JAN18	6750.00	6800.00	USD	-20,000.00	
11OCT17	S	3	PD11JAN18	6749.00	6800.00	USD	-3,825.00	
11OCT17	S	2	PD11JAN18	6749.50	6800.00	USD	-2,525.00	
11OCT17	S	6	PD11JAN18	6750.50	6800.00	USD	-7,425.00	
11OCT17	S	3	PD11JAN18	6751.50	6800.00	USD	-3,637.50	
11OCT17	S	3	PD11JAN18	6752.00	6800.00	USD	-3,600.00	
11OCT17	S	2	PD11JAN18	6753.00	6800.00	USD	-2,350.00	
11OCT17	S	4	PD11JAN18	6754.00	6800.00	USD	-4,600.00	
11OCT17	S	1	PD11JAN18	6755.00	6800.00	USD	-1,125.00	
11OCT17	B	2	PD11JAN18	6755.00	6800.00	USD	2,250.00	
11OCT17	S	1	PD11JAN18	6757.00	6800.00	USD	-1,075.00	
11OCT17	S	2	PD11JAN18	6759.50	6800.00	USD	-2,025.00	
11OCT17	S	2	PD11JAN18	6760.50	6800.00	USD	-1,975.00	
11OCT17	S	2	PD11JAN18	6732.50	6800.00	USD	-3,375.00	
11OCT17	S	5	PD11JAN18	6734.50	6800.00	USD	-8,187.50	
11OCT17	B	4	PD11JAN18	6733.50	6800.00	USD	6,650.00	
11OCT17	B	6	PD11JAN18	6732.00	6800.00	USD	10,200.00	
11OCT17	B	2	PD11JAN18	6734.00	6800.00	USD	3,300.00	
11OCT17	B	13	PD11JAN18	6735.00	6800.00	USD	21,125.00	
11OCT17	B	1	PD11JAN18	6738.50	6800.00	USD	1,537.50	
11OCT17	B	1	PD11JAN18	6737.00	6800.00	USD	1,575.00	
11OCT17	S	1	PD11JAN18	6729.00	6800.00	USD	-1,775.00	

OPEN POSITION STATEMENT



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SAP0127A

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	6737.00	6800.00	USD	-1,575.00	
11OCT17	S	1	PD11JAN18	6734.50	6800.00	USD	-1,637.50	
11OCT17	B	2	PD11JAN18	6745.00	6800.00	USD	2,750.00	
11OCT17	S	3	PD11JAN18	6748.00	6800.00	USD	-3,900.00	
11OCT17	S	4	PD11JAN18	6745.50	6800.00	USD	-5,450.00	
11OCT17	S	3	PD11JAN18	6742.50	6800.00	USD	-4,312.50	
11OCT17	S	2	PD11JAN18	6741.50	6800.00	USD	-2,925.00	
11OCT17	S	1	PD11JAN18	6743.00	6800.00	USD	-1,425.00	
11OCT17	S	7	PD11JAN18	6738.00	6800.00	USD	-10,850.00	
11OCT17	S	1	PD11JAN18	6737.50	6800.00	USD	-1,562.50	
11OCT17	S	4	PD11JAN18	6737.00	6800.00	USD	-6,300.00	
11OCT17	S	3	PD11JAN18	6738.50	6800.00	USD	-4,612.50	
11OCT17	S	3	PD11JAN18	6739.50	6800.00	USD	-4,537.50	
11OCT17	S	5	PD11JAN18	6740.50	6800.00	USD	-7,437.50	
11OCT17	B	5	PD11JAN18	6733.00	6800.00	USD	8,375.00	
11OCT17	B	8	PD11JAN18	6737.50	6800.00	USD	12,500.00	
11OCT17	B	2	PD11JAN18	6738.00	6800.00	USD	3,100.00	
11OCT17	B	9	PD11JAN18	6739.00	6800.00	USD	13,725.00	
11OCT17	B	1	PD11JAN18	6744.00	6800.00	USD	1,400.00	
11OCT17	B	1	PD11JAN18	6764.00	6800.00	USD	900.00	
11OCT17	B	2	PD11JAN18	6769.00	6800.00	USD	1,550.00	
11OCT17	B	2	PD11JAN18	6769.50	6800.00	USD	1,525.00	
11OCT17	B	1	PD11JAN18	6767.00	6800.00	USD	825.00	
11OCT17	B	2	PD11JAN18	6791.00	6800.00	USD	450.00	
11OCT17	B	3	PD11JAN18	6789.50	6800.00	USD	787.50	
11OCT17	B	6	PD11JAN18	6792.50	6800.00	USD	1,125.00	
11OCT17	B	13	PD11JAN18	6791.50	6800.00	USD	2,762.50	
11OCT17	B	8	PD11JAN18	6790.50	6800.00	USD	1,900.00	
11OCT17	B	7	PD11JAN18	6792.00	6800.00	USD	1,400.00	
11OCT17	B	2	PD11JAN18	6793.00	6800.00	USD	350.00	
11OCT17	B	3	PD11JAN18	6793.50	6800.00	USD	487.50	
11OCT17	B	3	PD11JAN18	6795.00	6800.00	USD	375.00	
11OCT17	B	1	PD11JAN18	6786.50	6800.00	USD	337.50	
11OCT17	B	1	PD11JAN18	6788.00	6800.00	USD	300.00	
11OCT17	B	2	PD11JAN18	6789.00	6800.00	USD	550.00	
11OCT17	B	6	PD11JAN18	6788.50	6800.00	USD	1,725.00	
11OCT17	B	5	PD11JAN18	6785.50	6800.00	USD	1,812.50	
11OCT17	B	2	PD11JAN18	6784.50	6800.00	USD	775.00	
11OCT17	B	4	PD11JAN18	6783.50	6800.00	USD	1,650.00	
11OCT17	B	1	PD11JAN18	6775.50	6800.00	USD	612.50	
11OCT17	B	1	PD11JAN18	6776.50	6800.00	USD	587.50	
11OCT17	B	1	PD11JAN18	6772.00	6800.00	USD	700.00	
11OCT17	B	4	PD11JAN18	6766.50	6800.00	USD	3,350.00	
11OCT17	B	5	PD11JAN18	6766.00	6800.00	USD	4,250.00	
11OCT17	B	1	PD11JAN18	6764.50	6800.00	USD	887.50	
11OCT17	B	2	PD11JAN18	6768.50	6800.00	USD	1,575.00	
11OCT17	B	6	PD11JAN18	6767.50	6800.00	USD	4,875.00	
11OCT17	B	2	PD11JAN18	6765.50	6800.00	USD	1,725.00	
11OCT17	B	7	PD11JAN18	6768.00	6800.00	USD	5,600.00	
11OCT17	B	3	PD11JAN18	6770.50	6800.00	USD	2,212.50	
11OCT17	B	1	PD11JAN18	6771.00	6800.00	USD	725.00	
11OCT17	B	2	PD11JAN18	6773.00	6800.00	USD	1,350.00	
11OCT17	B	4	PD11JAN18	6774.00	6800.00	USD	2,600.00	
11OCT17	B	1	PD11JAN18	6776.00	6800.00	USD	600.00	
11OCT17	B	3	PD11JAN18	6777.00	6800.00	USD	1,725.00	
11OCT17	B	1	PD11JAN18	6777.50	6800.00	USD	562.50	
11OCT17	S	1	PD11JAN18	6769.00	6800.00	USD	-775.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	6791.50	6800.00	USD	212.50	
11OCT17	B	1	PD11JAN18	6766.50	6800.00	USD	837.50	
11OCT17	B	1	PD11JAN18	6732.50	6800.00	USD	1,687.50	
11OCT17	B	1	PD11JAN18	6732.00	6800.00	USD	1,700.00	
11OCT17	B	1	PD11JAN18	6786.50	6800.00	USD	337.50	
11OCT17	B	1	PD11JAN18	6773.00	6800.00	USD	675.00	
11OCT17	B	1	PD11JAN18	6781.00	6800.00	USD	475.00	
11OCT17	B	3	PD11JAN18	6782.00	6800.00	USD	1,350.00	
11OCT17	B	1	PD11JAN18	6782.50	6800.00	USD	437.50	
11OCT17	B	2	PD11JAN18	6783.00	6800.00	USD	850.00	
11OCT17	B	5	PD11JAN18	6784.00	6800.00	USD	2,000.00	
11OCT17	B	2	PD11JAN18	6787.50	6800.00	USD	625.00	
11OCT17	B	2	PD11JAN18	6787.00	6800.00	USD	650.00	
11OCT17	B	1	PD11JAN18	6797.50	6800.00	USD	62.50	
11OCT17	S	1	PD11JAN18	6798.50	6800.00	USD	-37.50	
11OCT17	S	1	PD11JAN18	6802.00	6800.00	USD	50.00	
11OCT17	B	11	PD11JAN18	6809.50	6800.00	USD	-2,612.50	
11OCT17	B	3	PD11JAN18	6809.00	6800.00	USD	-675.00	
11OCT17	B	14	PD11JAN18	6807.00	6800.00	USD	-2,450.00	
11OCT17	B	15	PD11JAN18	6810.00	6800.00	USD	-3,750.00	
11OCT17	B	2	PD11JAN18	6810.50	6800.00	USD	-525.00	
11OCT17	B	2	PD11JAN18	6813.50	6800.00	USD	-675.00	
11OCT17	S	1	PD11JAN18	6811.00	6800.00	USD	275.00	
11OCT17	B	1	PD11JAN18	6801.00	6800.00	USD	-25.00	
11OCT17	B	2	PD11JAN18	6803.50	6800.00	USD	-175.00	
11OCT17	S	1	PD11JAN18	6812.50	6800.00	USD	312.50	
11OCT17	S	1	PD11JAN18	6812.00	6800.00	USD	300.00	
11OCT17	B	2	PD11JAN18	6805.00	6800.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	6811.50	6800.00	USD	-287.50	
11OCT17	B	4	PD11JAN18	6808.00	6800.00	USD	-800.00	
11OCT17	B	1	PD11JAN18	6807.50	6800.00	USD	-187.50	
11OCT17	B	3	PD11JAN18	6806.50	6800.00	USD	-487.50	
11OCT17	B	1	PD11JAN18	6806.00	6800.00	USD	-150.00	
11OCT17	B	3	PD11JAN18	6805.50	6800.00	USD	-412.50	
11OCT17	B	2	PD11JAN18	6804.50	6800.00	USD	-225.00	
11OCT17	B	4	PD11JAN18	6804.00	6800.00	USD	-400.00	
11OCT17	B	2	PD11JAN18	6803.00	6800.00	USD	-150.00	
11OCT17	B	1	PD11JAN18	6799.50	6800.00	USD	12.50	
11OCT17	B	5	PD11JAN18	6799.00	6800.00	USD	125.00	
11OCT17	B	1	PD11JAN18	6800.00	6800.00	USD	0.00	
11OCT17	B	7	PD11JAN18	6794.00	6800.00	USD	1,050.00	
11OCT17	B	1	PD11JAN18	6794.50	6800.00	USD	137.50	
11OCT17	B	1	PD11JAN18	6798.00	6800.00	USD	50.00	
11OCT17	B	2	PD11JAN18	6797.00	6800.00	USD	150.00	
11OCT17	B	1	PD11JAN18	6796.00	6800.00	USD	100.00	
11OCT17	S	5	PD11JAN18	6803.50	6800.00	USD	437.50	
11OCT17	S	2	PD11JAN18	6810.50	6800.00	USD	525.00	
11OCT17	S	6	PD11JAN18	6811.50	6800.00	USD	1,725.00	
11OCT17	S	6	PD11JAN18	6813.50	6800.00	USD	2,025.00	
11OCT17	S	1	PD11JAN18	6814.50	6800.00	USD	362.50	
11OCT17	S	5	PD11JAN18	6814.00	6800.00	USD	1,750.00	
11OCT17	S	1	PD11JAN18	6815.50	6800.00	USD	387.50	
11OCT17	S	13	PD11JAN18	6816.00	6800.00	USD	5,200.00	
11OCT17	S	6	PD11JAN18	6816.50	6800.00	USD	2,475.00	
11OCT17	B	1	PD11JAN18	6818.50	6800.00	USD	-462.50	
11OCT17	S	13	PD11JAN18	6817.00	6800.00	USD	5,525.00	
11OCT17	S	1	PD11JAN18	6818.00	6800.00	USD	450.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	6822.00	6800.00	USD	550.00	
11OCT17	B	5	PD11JAN18	6820.00	6800.00	USD	-2,500.00	
11OCT17	S	1	PD11JAN18	6819.50	6800.00	USD	487.50	
11OCT17	B	2	PD11JAN18	6814.00	6800.00	USD	-700.00	
11OCT17	S	16	PD11JAN18	6815.00	6800.00	USD	6,000.00	
11OCT17	B	3	PD11JAN18	6811.00	6800.00	USD	-825.00	
11OCT17	B	1	PD11JAN18	6813.00	6800.00	USD	-325.00	
11OCT17	S	1	PD11JAN18	6801.00	6800.00	USD	25.00	
11OCT17	S	2	PD11JAN18	6802.00	6800.00	USD	100.00	
11OCT17	S	1	PD11JAN18	6810.00	6800.00	USD	250.00	
11OCT17	B	2	PD11JAN18	6804.00	6800.00	USD	-200.00	
11OCT17	B	1	PD11JAN18	6818.50	6800.00	USD	-462.50	
	NET	+160 AVG BGHT 6776.15	SOLD 6776.99				99,287.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	4	DEC17	307.700	309.550	USD	-1,850.00	
11OCT17	S	5	DEC17	307.750	309.550	USD	-2,250.00	
11OCT17	S	2	DEC17	307.850	309.550	USD	-850.00	
11OCT17	S	7	DEC17	307.800	309.550	USD	-3,062.50	
11OCT17	S	2	DEC17	308.050	309.550	USD	-750.00	
11OCT17	S	2	DEC17	308.000	309.550	USD	-775.00	
11OCT17	S	6	DEC17	308.100	309.550	USD	-2,175.00	
11OCT17	S	6	DEC17	308.150	309.550	USD	-2,100.00	
11OCT17	S	9	DEC17	308.200	309.550	USD	-3,037.50	
11OCT17	S	5	DEC17	308.300	309.550	USD	-1,562.50	
11OCT17	S	1	DEC17	308.350	309.550	USD	-300.00	
11OCT17	S	30	DEC17	309.350	309.550	USD	-1,500.00	
11OCT17	S	6	DEC17	309.500	309.550	USD	-75.00	
11OCT17	S	13	DEC17	309.300	309.550	USD	-812.50	
11OCT17	S	30	DEC17	309.250	309.550	USD	-2,250.00	
11OCT17	S	17	DEC17	309.200	309.550	USD	-1,487.50	
11OCT17	S	13	DEC17	309.100	309.550	USD	-1,462.50	
11OCT17	S	11	DEC17	309.150	309.550	USD	-1,100.00	
11OCT17	S	12	DEC17	309.050	309.550	USD	-1,500.00	
11OCT17	S	12	DEC17	308.900	309.550	USD	-1,950.00	
11OCT17	S	5	DEC17	308.700	309.550	USD	-1,062.50	
11OCT17	S	6	DEC17	308.850	309.550	USD	-1,050.00	
11OCT17	S	2	DEC17	308.800	309.550	USD	-375.00	
11OCT17	S	7	DEC17	308.750	309.550	USD	-1,400.00	
11OCT17	S	8	DEC17	309.750	309.550	USD	400.00	
11OCT17	S	7	DEC17	309.800	309.550	USD	437.50	
11OCT17	S	4	DEC17	309.550	309.550	USD	0.00	
11OCT17	S	38	DEC17	309.400	309.550	USD	-1,425.00	
11OCT17	S	5	DEC17	309.450	309.550	USD	-125.00	
	NET	-275 AVG SOLD 309.034					-35,450.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-21,787,830.00
CMX Copper	FUTURES	21,246,112.50

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-35,450.00	-35,450.00	-35,450.00	-35,450.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-552,818.75	-552,818.75	-552,818.75	-552,818.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	553,806.90	553,806.90
Variation Margins - Futures	-35,450.00	-35,450.00
- Options	0.00	0.00
TOTAL EQUITY	518,356.90	518,356.90
Initial Margins	-3,021,421.75	-3,021,421.75
Excess/(Shortage)	-2,503,064.85	-2,503,064.85
FOR INFORMATION ONLY		
Forward Unrealised P&L	-552,818.75	-552,818.75
Net Liquidating Value	-34,461.85	-34,461.85

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	6388.00	6790.50	USD	10,062.50	
22SEP17	S	1	PD22DEC17	6386.50	6790.50	USD	-10,100.00	
22SEP17	S	1	PD22DEC17	6387.50	6790.50	USD	-10,075.00	
22SEP17	B	1	PD22DEC17	6388.50	6790.50	USD	10,050.00	
22SEP17	S	1	PD22DEC17	6427.50	6790.50	USD	-9,075.00	
22SEP17	S	1	PD22DEC17	6431.00	6790.50	USD	-8,987.50	
22SEP17	S	1	PD22DEC17	6429.50	6790.50	USD	-9,025.00	
22SEP17	S	3	PD22DEC17	6428.50	6790.50	USD	-27,150.00	
22SEP17	S	2	PD22DEC17	6432.00	6790.50	USD	-17,925.00	
22SEP17	S	2	PD22DEC17	6435.50	6790.50	USD	-17,750.00	
22SEP17	S	3	PD22DEC17	6434.50	6790.50	USD	-26,700.00	
22SEP17	S	2	PD22DEC17	6436.00	6790.50	USD	-17,725.00	
22SEP17	B	2	PD22DEC17	6451.50	6790.50	USD	16,950.00	
22SEP17	B	1	PD22DEC17	6447.00	6790.50	USD	8,587.50	
22SEP17	B	1	PD22DEC17	6446.00	6790.50	USD	8,612.50	
22SEP17	B	4	PD22DEC17	6454.00	6790.50	USD	33,650.00	
22SEP17	B	2	PD22DEC17	6444.50	6790.50	USD	17,300.00	
22SEP17	S	3	PD22DEC17	6451.50	6790.50	USD	-25,425.00	
22SEP17	B	1	PD22DEC17	6450.00	6790.50	USD	8,512.50	
22SEP17	B	3	PD22DEC17	6450.50	6790.50	USD	25,500.00	
22SEP17	B	3	PD22DEC17	6449.50	6790.50	USD	25,575.00	
22SEP17	B	6	PD22DEC17	6443.00	6790.50	USD	52,125.00	
22SEP17	B	10	PD22DEC17	6441.00	6790.50	USD	87,375.00	
22SEP17	S	1	PD22DEC17	6466.00	6790.50	USD	-8,112.50	
22SEP17	S	4	PD22DEC17	6463.00	6790.50	USD	-32,750.00	
22SEP17	S	5	PD22DEC17	6458.50	6790.50	USD	-41,500.00	
22SEP17	S	1	PD22DEC17	6454.50	6790.50	USD	-8,400.00	
22SEP17	S	4	PD22DEC17	6455.50	6790.50	USD	-33,500.00	
22SEP17	S	5	PD22DEC17	6456.50	6790.50	USD	-41,750.00	
22SEP17	B	1	PD22DEC17	6468.50	6790.50	USD	8,050.00	
22SEP17	B	1	PD22DEC17	6466.00	6790.50	USD	8,112.50	
22SEP17	B	1	PD22DEC17	6465.00	6790.50	USD	8,137.50	
22SEP17	B	2	PD22DEC17	6463.00	6790.50	USD	16,375.00	
22SEP17	B	2	PD22DEC17	6464.00	6790.50	USD	16,325.00	
22SEP17	B	2	PD22DEC17	6474.50	6790.50	USD	15,800.00	
22SEP17	B	2	PD22DEC17	6478.00	6790.50	USD	15,625.00	
22SEP17	B	1	PD22DEC17	6472.00	6790.50	USD	7,962.50	
22SEP17	B	7	PD22DEC17	6471.00	6790.50	USD	55,912.50	
22SEP17	B	1	PD22DEC17	6473.00	6790.50	USD	7,937.50	
28SEP17	S	15	PD22DEC17	6518.00	6790.50	USD	-102,187.50	
	NET	0	AVG BGHT 6452.65	SOLD 6464.58			16,400.00	0.00
25SEP17	S	1	PD27DEC17	6459.50	6793.00	USD	-8,337.50	
25SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
25SEP17	S	1	PD27DEC17	6459.00	6793.00	USD	-8,350.00	
25SEP17	S	2	PD27DEC17	6460.50	6793.00	USD	-16,625.00	
25SEP17	S	2	PD27DEC17	6465.50	6793.00	USD	-16,375.00	
25SEP17	S	5	PD27DEC17	6464.50	6793.00	USD	-41,062.50	
25SEP17	S	2	PD27DEC17	6465.00	6793.00	USD	-16,400.00	
25SEP17	S	7	PD27DEC17	6464.00	6793.00	USD	-57,575.00	
25SEP17	S	4	PD27DEC17	6463.00	6793.00	USD	-33,000.00	
25SEP17	S	8	PD27DEC17	6457.00	6793.00	USD	-67,200.00	
25SEP17	B	1	PD27DEC17	6451.50	6793.00	USD	8,537.50	
25SEP17	B	1	PD27DEC17	6443.50	6793.00	USD	8,737.50	
25SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
25SEP17	S	5	PD27DEC17	6452.50	6793.00	USD	-42,562.50	
25SEP17	S	5	PD27DEC17	6441.00	6793.00	USD	-44,000.00	
25SEP17	S	6	PD27DEC17	6453.50	6793.00	USD	-50,925.00	

OPEN POSITION STATEMENT



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SAP0127B

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	6453.00	6793.00	USD	8,500.00	
26SEP17	B	1	PD27DEC17	6513.50	6793.00	USD	6,987.50	
26SEP17	B	1	PD27DEC17	6517.00	6793.00	USD	6,900.00	
26SEP17	S	1	PD27DEC17	6511.50	6793.00	USD	-7,037.50	
26SEP17	B	1	PD27DEC17	6498.50	6793.00	USD	7,362.50	
26SEP17	B	4	PD27DEC17	6498.00	6793.00	USD	29,500.00	
26SEP17	B	1	PD27DEC17	6502.00	6793.00	USD	7,275.00	
26SEP17	B	1	PD27DEC17	6503.50	6793.00	USD	7,237.50	
26SEP17	B	1	PD27DEC17	6508.00	6793.00	USD	7,125.00	
26SEP17	B	1	PD27DEC17	6506.00	6793.00	USD	7,175.00	
26SEP17	B	1	PD27DEC17	6500.00	6793.00	USD	7,325.00	
26SEP17	B	4	PD27DEC17	6499.50	6793.00	USD	29,350.00	
27SEP17	B	2	PD27DEC17	6483.50	6793.00	USD	15,475.00	
27SEP17	B	2	PD27DEC17	6485.50	6793.00	USD	15,375.00	
27SEP17	B	1	PD27DEC17	6486.50	6793.00	USD	7,662.50	
27SEP17	B	1	PD27DEC17	6487.50	6793.00	USD	7,637.50	
27SEP17	B	1	PD27DEC17	6490.50	6793.00	USD	7,562.50	
27SEP17	B	1	PD27DEC17	6489.50	6793.00	USD	7,587.50	
27SEP17	B	7	PD27DEC17	6488.50	6793.00	USD	53,287.50	
28SEP17	B	15	PD27DEC17	6521.53	6793.00	USD	101,801.25	
	NET	0AVG BGHT 6499.25	SOLD 6458.75				-50,623.75	0.00
29SEP17	S	3	PD29DEC17	6536.50	6793.93	USD	-19,307.25	
29SEP17	S	1	PD29DEC17	6538.00	6793.93	USD	-6,398.25	
29SEP17	S	1	PD29DEC17	6533.50	6793.93	USD	-6,510.75	
29SEP17	B	3	PD29DEC17	6536.50	6793.93	USD	19,307.25	
29SEP17	B	1	PD29DEC17	6536.00	6793.93	USD	6,448.25	
29SEP17	B	1	PD29DEC17	6535.50	6793.93	USD	6,460.75	
29SEP17	S	1	PD29DEC17	6523.50	6793.93	USD	-6,760.75	
29SEP17	B	1	PD29DEC17	6521.00	6793.93	USD	6,823.25	
29SEP17	S	2	PD29DEC17	6501.50	6793.93	USD	-14,621.50	
29SEP17	S	2	PD29DEC17	6496.00	6793.93	USD	-14,896.50	
29SEP17	S	1	PD29DEC17	6495.00	6793.93	USD	-7,473.25	
03OCT17	B	5	PD29DEC17	6518.23	6793.93	USD	34,462.50	
	NET	0AVG BGHT 6526.65	SOLD 6517.68				-2,466.25	0.00
02OCT17	B	1	PD02JAN18	6525.00	6795.80	USD	6,770.00	
02OCT17	B	4	PD02JAN18	6520.00	6795.80	USD	27,580.00	
02OCT17	B	2	PD02JAN18	6475.50	6795.80	USD	16,015.00	
02OCT17	B	3	PD02JAN18	6474.50	6795.80	USD	24,097.50	
02OCT17	S	1	PD02JAN18	6500.00	6795.80	USD	-7,395.00	
02OCT17	S	1	PD02JAN18	6494.00	6795.80	USD	-7,545.00	
02OCT17	S	2	PD02JAN18	6495.00	6795.80	USD	-15,040.00	
02OCT17	S	1	PD02JAN18	6496.50	6795.80	USD	-7,482.50	
03OCT17	S	5	PD02JAN18	6519.45	6795.80	USD	-34,543.75	
	NET	0AVG BGHT 6497.95	SOLD 6507.78				2,456.25	0.00
03OCT17	S	1	PD03JAN18	6443.50	6796.27	USD	-8,819.25	
03OCT17	S	2	PD03JAN18	6452.50	6796.27	USD	-17,188.50	
03OCT17	S	2	PD03JAN18	6451.50	6796.27	USD	-17,238.50	
03OCT17	S	2	PD03JAN18	6469.00	6796.27	USD	-16,363.50	
03OCT17	S	3	PD03JAN18	6470.50	6796.27	USD	-24,432.75	
03OCT17	B	1	PD03JAN18	6494.50	6796.27	USD	7,544.25	
03OCT17	B	1	PD03JAN18	6498.00	6796.27	USD	7,456.75	
03OCT17	B	1	PD03JAN18	6499.00	6796.27	USD	7,431.75	
03OCT17	B	2	PD03JAN18	6505.00	6796.27	USD	14,563.50	
03OCT17	B	1	PD03JAN18	6509.00	6796.27	USD	7,181.75	
03OCT17	B	2	PD03JAN18	6508.00	6796.27	USD	14,413.50	
03OCT17	B	1	PD03JAN18	6511.00	6796.27	USD	7,131.75	

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030CT17	S	1	PD03JAN18	6525.00	6796.27	USD	-6,781.75	
030CT17	S	2	PD03JAN18	6524.50	6796.27	USD	-13,588.50	
030CT17	S	2	PD03JAN18	6523.50	6796.27	USD	-13,638.50	
030CT17	B	1	PD03JAN18	6520.00	6796.27	USD	6,906.75	
030CT17	B	2	PD03JAN18	6521.00	6796.27	USD	13,763.50	
030CT17	B	1	PD03JAN18	6517.50	6796.27	USD	6,969.25	
030CT17	B	1	PD03JAN18	6519.00	6796.27	USD	6,931.75	
030CT17	B	1	PD03JAN18	6511.00	6796.27	USD	7,131.75	
030CT17	S	2	PD03JAN18	6519.00	6796.27	USD	-13,863.50	
030CT17	S	1	PD03JAN18	6516.00	6796.27	USD	-7,006.75	
030CT17	S	2	PD03JAN18	6513.00	6796.27	USD	-14,163.50	
090CT17	B	5	PD03JAN18	6663.23	6796.27	USD	16,630.00	
	NET	0 AVG	BGHT 6548.16	SOLD 6490.10			-29,028.75	0.00
040CT17	S	2	PD04JAN18	6511.50	6796.73	USD	-14,261.50	
040CT17	S	5	PD04JAN18	6510.50	6796.73	USD	-35,778.75	
040CT17	S	1	PD04JAN18	6508.50	6796.73	USD	-7,205.75	
040CT17	S	2	PD04JAN18	6506.00	6796.73	USD	-14,536.50	
040CT17	S	1	PD04JAN18	6507.50	6796.73	USD	-7,230.75	
040CT17	S	2	PD04JAN18	6519.50	6796.73	USD	-13,861.50	
040CT17	S	5	PD04JAN18	6504.00	6796.73	USD	-36,591.25	
040CT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
040CT17	B	2	PD04JAN18	6522.50	6796.73	USD	13,711.50	
040CT17	S	3	PD04JAN18	6521.00	6796.73	USD	-20,679.75	
040CT17	S	1	PD04JAN18	6522.50	6796.73	USD	-6,855.75	
040CT17	S	3	PD04JAN18	6522.00	6796.73	USD	-20,604.75	
040CT17	S	2	PD04JAN18	6513.50	6796.73	USD	-14,161.50	
040CT17	S	3	PD04JAN18	6515.50	6796.73	USD	-21,092.25	
040CT17	S	1	PD04JAN18	6514.50	6796.73	USD	-7,055.75	
040CT17	S	5	PD04JAN18	6510.00	6796.73	USD	-35,841.25	
060CT17	B	35	PD04JAN18	6666.17	6796.73	USD	114,240.00	
	NET	0 AVG	BGHT 6658.40	SOLD 6512.55			-134,911.25	0.00
050CT17	B	1	PD05JAN18	6558.50	6797.20	USD	5,967.50	
050CT17	B	3	PD05JAN18	6559.50	6797.20	USD	17,827.50	
050CT17	B	4	PD05JAN18	6560.50	6797.20	USD	23,670.00	
050CT17	B	1	PD05JAN18	6559.50	6797.20	USD	5,942.50	
050CT17	B	1	PD05JAN18	6560.50	6797.20	USD	5,917.50	
050CT17	B	2	PD05JAN18	6590.50	6797.20	USD	10,335.00	
050CT17	B	2	PD05JAN18	6588.50	6797.20	USD	10,435.00	
050CT17	B	1	PD05JAN18	6593.00	6797.20	USD	5,105.00	
050CT17	B	1	PD05JAN18	6618.00	6797.20	USD	4,480.00	
050CT17	B	3	PD05JAN18	6617.00	6797.20	USD	13,515.00	
050CT17	B	1	PD05JAN18	6615.00	6797.20	USD	4,555.00	
050CT17	B	1	PD05JAN18	6681.00	6797.20	USD	2,905.00	
050CT17	B	1	PD05JAN18	6683.00	6797.20	USD	2,855.00	
050CT17	B	1	PD05JAN18	6688.50	6797.20	USD	2,717.50	
050CT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
050CT17	B	1	PD05JAN18	6677.50	6797.20	USD	2,992.50	
050CT17	B	1	PD05JAN18	6680.50	6797.20	USD	2,917.50	
050CT17	B	2	PD05JAN18	6681.50	6797.20	USD	5,785.00	
050CT17	B	1	PD05JAN18	6682.50	6797.20	USD	2,867.50	
050CT17	B	1	PD05JAN18	6683.50	6797.20	USD	2,842.50	
050CT17	B	2	PD05JAN18	6679.50	6797.20	USD	5,885.00	
050CT17	B	3	PD05JAN18	6678.50	6797.20	USD	8,902.50	
060CT17	B	2	PD05JAN18	6692.00	6797.20	USD	5,260.00	
060CT17	B	1	PD05JAN18	6691.00	6797.20	USD	2,655.00	
060CT17	B	1	PD05JAN18	6694.00	6797.20	USD	2,580.00	
060CT17	B	1	PD05JAN18	6693.00	6797.20	USD	2,605.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
06OCT17	S 35 PD05JAN18	6666.30	6797.20	USD	-114,537.50	
09OCT17	S 5 PD05JAN18	6663.95	6797.20	USD	-16,656.25	
	NET 0AVG BGHT 6632.94 SOLD 6666.01				33,068.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD -165,105.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-165,105.00	-165,105.00	-165,105.00	-165,105.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	165,169.60	165,169.60
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	165,169.60	165,169.60
Initial Margins	-165,105.00	-165,105.00
Excess/(Shortage)	64.60	64.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	-165,105.00	-165,105.00
Net Liquidating Value	64.60	64.60

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01SEP17	B	1	PD01DEC17	6809.00	6784.25	USD	-618.75	
01SEP17	B	1	PD01DEC17	6805.50	6784.25	USD	-531.25	
01SEP17	B	1	PD01DEC17	6801.00	6784.25	USD	-418.75	
01SEP17	B	2	PD01DEC17	6803.50	6784.25	USD	-962.50	
01SEP17	B	1	PD01DEC17	6787.00	6784.25	USD	-68.75	
01SEP17	B	1	PD01DEC17	6793.00	6784.25	USD	-218.75	
01SEP17	B	3	PD01DEC17	6802.00	6784.25	USD	-1,331.25	
01SEP17	B	1	PD01DEC17	6811.00	6784.25	USD	-668.75	
01SEP17	B	2	PD01DEC17	6805.00	6784.25	USD	-1,037.50	
01SEP17	B	1	PD01DEC17	6804.00	6784.25	USD	-493.75	
01SEP17	B	1	PD01DEC17	6803.00	6784.25	USD	-468.75	
01SEP17	B	1	PD01DEC17	6835.50	6784.25	USD	-1,281.25	
01SEP17	B	4	PD01DEC17	6836.50	6784.25	USD	-5,225.00	
01SEP17	B	1	PD01DEC17	6839.00	6784.25	USD	-1,368.75	
01SEP17	B	2	PD01DEC17	6836.00	6784.25	USD	-2,587.50	
01SEP17	B	2	PD01DEC17	6837.00	6784.25	USD	-2,637.50	
01SEP17	B	1	PD01DEC17	6839.50	6784.25	USD	-1,381.25	
06OCT17	S	26	PD01DEC17	6652.50	6784.25	USD	-85,637.50	
	NET	0 AVG BGHT 6817.02	SOLD 6652.50				-106,937.50	0.00
04SEP17	B	1	PD04DEC17	6898.00	6785.75	USD	-2,806.25	
04SEP17	B	2	PD04DEC17	6915.00	6785.75	USD	-6,462.50	
04SEP17	B	2	PD04DEC17	6911.50	6785.75	USD	-6,287.50	
04SEP17	B	1	PD04DEC17	6922.00	6785.75	USD	-3,406.25	
04SEP17	B	1	PD04DEC17	6913.00	6785.75	USD	-3,181.25	
04SEP17	B	1	PD04DEC17	6908.50	6785.75	USD	-3,068.75	
04SEP17	B	1	PD04DEC17	6909.50	6785.75	USD	-3,093.75	
06OCT17	S	9	PD04DEC17	6654.50	6785.75	USD	-29,531.25	
	NET	0 AVG BGHT 6911.56	SOLD 6654.50				-57,837.50	0.00
08SEP17	S	1	PD08DEC17	6804.50	6787.71	USD	419.75	
08SEP17	S	1	PD08DEC17	6797.00	6787.71	USD	232.25	
08SEP17	S	3	PD08DEC17	6811.00	6787.71	USD	1,746.75	
08SEP17	S	1	PD08DEC17	6743.50	6787.71	USD	-1,105.25	
08SEP17	S	4	PD08DEC17	6745.50	6787.71	USD	-4,221.00	
06OCT17	B	10	PD08DEC17	6657.37	6787.71	USD	32,585.00	
	NET	0 AVG BGHT 6657.37	SOLD 6776.00				29,657.50	0.00
11SEP17	B	2	PD11DEC17	6749.50	6789.15	USD	1,982.50	
11SEP17	B	2	PD11DEC17	6746.00	6789.15	USD	2,157.50	
11SEP17	B	1	PD11DEC17	6750.00	6789.15	USD	978.75	
11SEP17	B	1	PD11DEC17	6748.00	6789.15	USD	1,028.75	
06OCT17	S	6	PD11DEC17	6659.17	6789.15	USD	-19,497.00	
	NET	0 AVG BGHT 6748.17	SOLD 6659.17				-13,349.50	0.00
12SEP17	B	1	PD12DEC17	6700.00	6789.63	USD	2,240.75	
12SEP17	B	1	PD12DEC17	6697.00	6789.63	USD	2,315.75	
12SEP17	B	1	PD12DEC17	6699.00	6789.63	USD	2,265.75	
12SEP17	B	1	PD12DEC17	6688.00	6789.63	USD	2,540.75	
06OCT17	S	4	PD12DEC17	6659.83	6789.63	USD	-12,980.00	
	NET	0 AVG BGHT 6696.00	SOLD 6659.83				-3,617.00	0.00
13SEP17	S	1	PD13DEC17	6672.00	6790.10	USD	-2,952.50	
13SEP17	S	2	PD13DEC17	6663.00	6790.10	USD	-6,355.00	
13SEP17	S	1	PD13DEC17	6657.50	6790.10	USD	-3,315.00	
13SEP17	S	1	PD13DEC17	6655.50	6790.10	USD	-3,365.00	
13SEP17	B	1	PD13DEC17	6628.50	6790.10	USD	4,040.00	
13SEP17	B	1	PD13DEC17	6607.00	6790.10	USD	4,577.50	
13SEP17	B	2	PD13DEC17	6606.00	6790.10	USD	9,205.00	
13SEP17	B	1	PD13DEC17	6610.50	6790.10	USD	4,490.00	
	NET	0 AVG BGHT 6611.60	SOLD 6662.20				6,325.00	0.00

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15SEP17	S	4	PD15DEC17	6490.50	6791.06	USD	-30,056.00	
15SEP17	S	1	PD15DEC17	6491.50	6791.06	USD	-7,489.00	
15SEP17	S	1	PD15DEC17	6455.00	6791.06	USD	-8,401.50	
06OCT17	B	6	PD15DEC17	6661.10	6791.06	USD	19,494.00	
	NET	0 AVG	BGHT 6661.10				-26,452.50	0.00
19SEP17	S	3	PD19DEC17	6537.50	6792.50	USD	-19,125.00	
19SEP17	S	1	PD19DEC17	6537.50	6792.50	USD	-6,375.00	
06OCT17	B	4	PD19DEC17	6662.20	6792.50	USD	13,030.00	
	NET	0 AVG	BGHT 6662.20				-12,470.00	0.00
20SEP17	S	2	PD20DEC17	6574.00	6792.50	USD	-10,925.00	
20SEP17	S	1	PD20DEC17	6560.50	6792.50	USD	-5,800.00	
20SEP17	S	2	PD20DEC17	6561.50	6792.50	USD	-11,550.00	
06OCT17	B	5	PD20DEC17	6662.70	6792.50	USD	16,225.00	
	NET	0 AVG	BGHT 6662.70				-12,050.00	0.00
26SEP17	S	2	PD27DEC17	6482.00	6793.00	USD	-15,550.00	
26SEP17	S	1	PD27DEC17	6476.50	6793.00	USD	-7,912.50	
26SEP17	S	1	PD27DEC17	6463.50	6793.00	USD	-8,237.50	
26SEP17	S	1	PD27DEC17	6464.50	6793.00	USD	-8,212.50	
06OCT17	B	5	PD27DEC17	6663.70	6793.00	USD	16,162.50	
	NET	0 AVG	BGHT 6663.70				-23,750.00	0.00
28SEP17	B	5	PD28DEC17	6459.00	6793.47	USD	41,808.75	
28SEP17	B	1	PD28DEC17	6559.50	6793.47	USD	5,849.25	
28SEP17	B	3	PD28DEC17	6563.00	6793.47	USD	17,285.25	
28SEP17	B	1	PD28DEC17	6564.50	6793.47	USD	5,724.25	
	NET	+10 AVG	BGHT 6510.80				70,667.50	0.00
29SEP17	B	1	PD29DEC17	6547.50	6793.93	USD	6,160.75	
29SEP17	B	1	PD29DEC17	6546.50	6793.93	USD	6,185.75	
29SEP17	B	1	PD29DEC17	6545.50	6793.93	USD	6,210.75	
29SEP17	B	2	PD29DEC17	6544.50	6793.93	USD	12,471.50	
	NET	+5 AVG	BGHT 6545.70				31,028.75	0.00
02OCT17	S	1	PD02JAN18	6504.50	6795.80	USD	-7,282.50	
02OCT17	S	4	PD02JAN18	6504.00	6795.80	USD	-29,180.00	
02OCT17	S	1	PD02JAN18	6501.00	6795.80	USD	-7,370.00	
02OCT17	S	3	PD02JAN18	6500.50	6795.80	USD	-22,147.50	
02OCT17	S	1	PD02JAN18	6500.00	6795.80	USD	-7,395.00	
06OCT17	B	10	PD02JAN18	6665.70	6795.80	USD	32,525.00	
	NET	0 AVG	BGHT 6665.70				-40,850.00	0.00
04OCT17	S	1	PD04JAN18	6518.00	6796.73	USD	-6,968.25	
04OCT17	S	3	PD04JAN18	6513.50	6796.73	USD	-21,242.25	
04OCT17	S	1	PD04JAN18	6512.50	6796.73	USD	-7,105.75	
06OCT17	B	5	PD04JAN18	6666.37	6796.73	USD	16,295.00	
	NET	0 AVG	BGHT 6666.37				-19,021.25	0.00
05OCT17	B	1	PD05JAN18	6550.00	6797.20	USD	6,180.00	
05OCT17	B	1	PD05JAN18	6555.50	6797.20	USD	6,042.50	
05OCT17	B	1	PD05JAN18	6587.50	6797.20	USD	5,242.50	
05OCT17	B	1	PD05JAN18	6594.00	6797.20	USD	5,080.00	
05OCT17	B	1	PD05JAN18	6593.50	6797.20	USD	5,092.50	
06OCT17	B	1	PD05JAN18	6698.50	6797.20	USD	2,467.50	
06OCT17	B	1	PD05JAN18	6697.00	6797.20	USD	2,505.00	
06OCT17	B	1	PD05JAN18	6696.00	6797.20	USD	2,530.00	
06OCT17	B	1	PD05JAN18	6694.00	6797.20	USD	2,580.00	
06OCT17	B	1	PD05JAN18	6689.50	6797.20	USD	2,692.50	
06OCT17	B	2	PD05JAN18	6651.00	6797.20	USD	7,310.00	
06OCT17	S	1	PD05JAN18	6663.50	6797.20	USD	-3,342.50	
06OCT17	S	1	PD05JAN18	6651.50	6797.20	USD	-3,642.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127F

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET +10 AVG BGHT 6638.13 SOLD 6657.50				40,737.50	0.00
09OCT17	S 1 PD09JAN18	6665.50	6799.07	USD	-3,339.25	
09OCT17	S 1 PD09JAN18	6662.00	6799.07	USD	-3,426.75	
09OCT17	S 1 PD09JAN18	6657.50	6799.07	USD	-3,539.25	
09OCT17	S 1 PD09JAN18	6653.00	6799.07	USD	-3,651.75	
09OCT17	S 1 PD09JAN18	6654.50	6799.07	USD	-3,614.25	
	NET -5 AVG SOLD 6658.50				-17,571.25	0.00
10OCT17	B 1 PD10JAN18	6725.50	6799.53	USD	1,850.75	
10OCT17	S 1 PD10JAN18	6698.50	6799.53	USD	-2,525.75	
10OCT17	S 3 PD10JAN18	6700.50	6799.53	USD	-7,427.25	
10OCT17	S 1 PD10JAN18	6701.50	6799.53	USD	-2,450.75	
10OCT17	S 1 PD10JAN18	6751.50	6799.53	USD	-1,200.75	
	NET -5 AVG BGHT 6725.50 SOLD 6708.83				-11,753.75	0.00
11OCT17	B 2 PD11JAN18	6729.50	6800.00	USD	3,525.00	
11OCT17	B 2 PD11JAN18	6730.50	6800.00	USD	3,475.00	
11OCT17	B 1 PD11JAN18	6731.50	6800.00	USD	1,712.50	
11OCT17	S 1 PD11JAN18	6740.00	6800.00	USD	-1,500.00	
11OCT17	S 1 PD11JAN18	6741.00	6800.00	USD	-1,475.00	
11OCT17	S 1 PD11JAN18	6750.50	6800.00	USD	-1,237.50	
11OCT17	S 2 PD11JAN18	6751.00	6800.00	USD	-2,450.00	
11OCT17	B 1 PD11JAN18	6795.00	6800.00	USD	125.00	
11OCT17	B 3 PD11JAN18	6773.00	6800.00	USD	2,025.00	
	NET +4 AVG BGHT 6751.72 SOLD 6746.70				4,200.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 2 DEC17	297.600	309.550	USD	-5,975.00	
05OCT17	S 2 DEC17	298.000	309.550	USD	-5,775.00	
05OCT17	S 2 DEC17	299.650	309.550	USD	-4,950.00	
05OCT17	S 1 DEC17	299.750	309.550	USD	-2,450.00	
05OCT17	S 4 DEC17	299.800	309.550	USD	-9,750.00	
06OCT17	S 2 DEC17	304.450	309.550	USD	-2,550.00	
06OCT17	S 2 DEC17	304.300	309.550	USD	-2,625.00	
06OCT17	S 3 DEC17	304.100	309.550	USD	-4,087.50	
06OCT17	S 4 DEC17	302.400	309.550	USD	-7,150.00	
11OCT17	S 2 DEC17	308.700	309.550	USD	-425.00	
11OCT17	S 2 DEC17	307.800	309.550	USD	-875.00	
11OCT17	S 4 DEC17	307.750	309.550	USD	-1,800.00	
	NET -30 AVG SOLD 303.095				-48,412.50	0.00
28SEP17	S 11 MAR18	295.450	311.550	USD	-44,275.00	
	NET -11 AVG SOLD 295.450				-44,275.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,390,127.75
CMX Copper	FUTURES	3,085,700.00

OPEN POSITION STATEMENT



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11th October 2017

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-92,687.50	-92,687.50	-92,687.50	-92,687.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-163,044.00	-163,044.00	-163,044.00	-163,044.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	258,325.34	258,325.34
Variation Margins - Futures	-92,687.50	-92,687.50
- Options	0.00	0.00
TOTAL EQUITY	165,637.84	165,637.84
Initial Margins	-531,290.00	-531,290.00
Excess/(Shortage)	-365,652.16	-365,652.16
FOR INFORMATION ONLY		
Forward Unrealised P&L	-163,044.00	-163,044.00
Net Liquidating Value	2,593.84	2,593.84

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB127**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	977,301.84	977,301.84
Variation Margins		
- Futures	-128,137.50	-128,137.50
- Options	0.00	0.00
TOTAL EQUITY	849,164.34	849,164.34
Initial Margins	-3,713,327.75	-3,713,327.75
Excess/(Shortage)	-2,864,163.41	-2,864,163.41
FOR INFORMATION ONLY		
Forward Unrealised P&L	-880,967.75	-880,967.75
Net Liquidating Value	-31,803.41	-31,803.41

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	6551.00	6797.20	USD	6,155.00	
05OCT17	B	2	PD05JAN18	6565.50	6797.20	USD	11,585.00	
05OCT17	B	5	PD05JAN18	6563.50	6797.20	USD	29,212.50	
05OCT17	B	2	PD05JAN18	6567.00	6797.20	USD	11,510.00	
05OCT17	B	10	PD05JAN18	6564.50	6797.20	USD	58,175.00	
05OCT17	B	1	PD05JAN18	6559.00	6797.20	USD	5,955.00	
05OCT17	B	1	PD05JAN18	6554.00	6797.20	USD	6,080.00	
05OCT17	B	2	PD05JAN18	6555.00	6797.20	USD	12,110.00	
05OCT17	B	2	PD05JAN18	6552.50	6797.20	USD	12,235.00	
05OCT17	B	4	PD05JAN18	6559.50	6797.20	USD	23,770.00	
05OCT17	B	1	PD05JAN18	6609.50	6797.20	USD	4,692.50	
05OCT17	B	1	PD05JAN18	6608.50	6797.20	USD	4,717.50	
05OCT17	B	2	PD05JAN18	6607.50	6797.20	USD	9,485.00	
05OCT17	B	1	PD05JAN18	6614.00	6797.20	USD	4,580.00	
05OCT17	B	2	PD05JAN18	6615.00	6797.20	USD	9,110.00	
05OCT17	B	1	PD05JAN18	6616.00	6797.20	USD	4,530.00	
05OCT17	B	2	PD05JAN18	6618.50	6797.20	USD	8,935.00	
05OCT17	B	1	PD05JAN18	6620.50	6797.20	USD	4,417.50	
05OCT17	B	1	PD05JAN18	6621.50	6797.20	USD	4,392.50	
05OCT17	B	1	PD05JAN18	6630.50	6797.20	USD	4,167.50	
05OCT17	B	4	PD05JAN18	6629.50	6797.20	USD	16,770.00	
05OCT17	B	3	PD05JAN18	6628.50	6797.20	USD	12,652.50	
05OCT17	B	1	PD05JAN18	6650.00	6797.20	USD	3,680.00	
05OCT17	B	2	PD05JAN18	6651.00	6797.20	USD	7,310.00	
05OCT17	B	4	PD05JAN18	6652.00	6797.20	USD	14,520.00	
05OCT17	B	3	PD05JAN18	6654.00	6797.20	USD	10,740.00	
05OCT17	B	2	PD05JAN18	6660.50	6797.20	USD	6,835.00	
05OCT17	B	3	PD05JAN18	6659.50	6797.20	USD	10,327.50	
05OCT17	B	1	PD05JAN18	6667.00	6797.20	USD	3,255.00	
05OCT17	B	2	PD05JAN18	6670.00	6797.20	USD	6,360.00	
05OCT17	B	8	PD05JAN18	6669.00	6797.20	USD	25,640.00	
05OCT17	B	6	PD05JAN18	6668.00	6797.20	USD	19,380.00	
05OCT17	B	7	PD05JAN18	6666.00	6797.20	USD	22,960.00	
05OCT17	B	1	PD05JAN18	6671.50	6797.20	USD	3,142.50	
05OCT17	B	3	PD05JAN18	6672.50	6797.20	USD	9,352.50	
05OCT17	B	1	PD05JAN18	6673.50	6797.20	USD	3,092.50	
05OCT17	B	1	PD05JAN18	6680.00	6797.20	USD	2,930.00	
05OCT17	B	2	PD05JAN18	6682.50	6797.20	USD	5,735.00	
05OCT17	B	2	PD05JAN18	6683.50	6797.20	USD	5,685.00	
05OCT17	B	2	PD05JAN18	6688.50	6797.20	USD	5,435.00	
05OCT17	B	1	PD05JAN18	6687.50	6797.20	USD	2,742.50	
05OCT17	B	9	PD05JAN18	6686.50	6797.20	USD	24,907.50	
05OCT17	B	1	PD05JAN18	6677.50	6797.20	USD	2,992.50	
05OCT17	B	2	PD05JAN18	6678.50	6797.20	USD	5,935.00	
05OCT17	B	7	PD05JAN18	6683.00	6797.20	USD	19,985.00	
05OCT17	B	8	PD05JAN18	6682.00	6797.20	USD	23,040.00	
05OCT17	B	17	PD05JAN18	6681.00	6797.20	USD	49,385.00	
05OCT17	B	5	PD05JAN18	6679.50	6797.20	USD	14,712.50	
05OCT17	B	2	PD05JAN18	6675.50	6797.20	USD	6,085.00	
05OCT17	B	4	PD05JAN18	6677.00	6797.20	USD	12,020.00	
05OCT17	B	1	PD05JAN18	6668.00	6797.20	USD	3,230.00	
05OCT17	B	2	PD05JAN18	6683.00	6797.20	USD	5,710.00	
05OCT17	B	3	PD05JAN18	6676.00	6797.20	USD	9,090.00	
05OCT17	B	5	PD05JAN18	6678.00	6797.20	USD	14,900.00	
05OCT17	B	17	PD05JAN18	6679.00	6797.20	USD	50,235.00	
05OCT17	B	6	PD05JAN18	6680.50	6797.20	USD	17,505.00	
05OCT17	B	6	PD05JAN18	6681.50	6797.20	USD	17,355.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	2	PD05JAN18	6698.00	6797.20	USD	4,960.00	
05OCT17	B	2	PD05JAN18	6695.50	6797.20	USD	5,085.00	
05OCT17	B	1	PD05JAN18	6679.00	6797.20	USD	2,955.00	
05OCT17	B	1	PD05JAN18	6676.00	6797.20	USD	3,030.00	
05OCT17	B	1	PD05JAN18	6681.00	6797.20	USD	2,905.00	
05OCT17	B	1	PD05JAN18	6680.50	6797.20	USD	2,917.50	
05OCT17	B	8	PD05JAN18	6675.50	6797.20	USD	24,340.00	
05OCT17	B	1	PD05JAN18	6680.00	6797.20	USD	2,930.00	
06OCT17	S	1	PD05JAN18	6650.50	6797.20	USD	-3,667.50	
06OCT17	S	1	PD05JAN18	6651.50	6797.20	USD	-3,642.50	
06OCT17	S	5	PD05JAN18	6652.50	6797.20	USD	-18,087.50	
06OCT17	S	3	PD05JAN18	6655.00	6797.20	USD	-10,665.00	
06OCT17	S	3	PD05JAN18	6654.50	6797.20	USD	-10,702.50	
06OCT17	S	2	PD05JAN18	6653.50	6797.20	USD	-7,185.00	
06OCT17	S	1	PD05JAN18	6653.50	6797.20	USD	-3,592.50	
06OCT17	S	5	PD05JAN18	6655.00	6797.20	USD	-17,775.00	
	NET	+193 AVG	BGHT 6655.04				SOLD 6653.74	685,252.50
09OCT17	S	8	PD09JAN18	6677.00	6799.07	USD	-24,414.00	
09OCT17	S	3	PD09JAN18	6670.00	6799.07	USD	-9,680.25	
09OCT17	S	3	PD09JAN18	6662.50	6799.07	USD	-10,242.75	
09OCT17	S	3	PD09JAN18	6680.00	6799.07	USD	-8,930.25	
09OCT17	S	2	PD09JAN18	6679.00	6799.07	USD	-6,003.50	
09OCT17	S	1	PD09JAN18	6664.50	6799.07	USD	-3,364.25	
09OCT17	S	3	PD09JAN18	6663.50	6799.07	USD	-10,167.75	
09OCT17	S	11	PD09JAN18	6676.00	6799.07	USD	-33,844.25	
09OCT17	S	2	PD09JAN18	6657.50	6799.07	USD	-7,078.50	
09OCT17	S	1	PD09JAN18	6658.50	6799.07	USD	-3,514.25	
09OCT17	S	1	PD09JAN18	6656.00	6799.07	USD	-3,576.75	
09OCT17	S	1	PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S	7	PD09JAN18	6658.00	6799.07	USD	-24,687.25	
09OCT17	S	1	PD09JAN18	6656.50	6799.07	USD	-3,564.25	
09OCT17	S	2	PD09JAN18	6669.50	6799.07	USD	-6,478.50	
09OCT17	S	1	PD09JAN18	6671.50	6799.07	USD	-3,189.25	
09OCT17	S	1	PD09JAN18	6671.00	6799.07	USD	-3,201.75	
09OCT17	S	1	PD09JAN18	6667.00	6799.07	USD	-3,301.75	
09OCT17	S	2	PD09JAN18	6674.50	6799.07	USD	-6,228.50	
09OCT17	S	9	PD09JAN18	6674.00	6799.07	USD	-28,140.75	
	NET	-63 AVG	SOLD 6670.11					-203,110.25
10OCT17	B	1	PD10JAN18	6740.00	6799.53	USD	1,488.25	
10OCT17	B	1	PD10JAN18	6750.00	6799.53	USD	1,238.25	
10OCT17	B	1	PD10JAN18	6754.50	6799.53	USD	1,125.75	
10OCT17	B	1	PD10JAN18	6750.50	6799.53	USD	1,225.75	
10OCT17	B	1	PD10JAN18	6749.50	6799.53	USD	1,250.75	
10OCT17	B	3	PD10JAN18	6747.50	6799.53	USD	3,902.25	
10OCT17	B	1	PD10JAN18	6746.00	6799.53	USD	1,338.25	
10OCT17	B	1	PD10JAN18	6745.00	6799.53	USD	1,363.25	
10OCT17	B	1	PD10JAN18	6744.00	6799.53	USD	1,388.25	
10OCT17	B	6	PD10JAN18	6752.50	6799.53	USD	7,054.50	
10OCT17	B	2	PD10JAN18	6751.50	6799.53	USD	2,401.50	
10OCT17	B	2	PD10JAN18	6760.50	6799.53	USD	1,951.50	
10OCT17	B	1	PD10JAN18	6759.00	6799.53	USD	1,013.25	
10OCT17	B	2	PD10JAN18	6758.00	6799.53	USD	2,076.50	
10OCT17	B	5	PD10JAN18	6756.00	6799.53	USD	5,441.25	
10OCT17	B	2	PD10JAN18	6753.50	6799.53	USD	2,301.50	
10OCT17	B	7	PD10JAN18	6755.00	6799.53	USD	7,792.75	
10OCT17	B	6	PD10JAN18	6748.00	6799.53	USD	7,729.50	
10OCT17	B	1	PD10JAN18	6745.00	6799.53	USD	1,363.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0128A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
10OCT17	B 1 PD10JAN18 NET +46 AVG BGHT 6752.17	6759.00	6799.53	USD	1,013.25 54,459.50	0.00
11OCT17	B 6 PD11JAN18	6725.00	6800.00	USD	11,250.00	
11OCT17	B 1 PD11JAN18	6723.50	6800.00	USD	1,912.50	
11OCT17	B 1 PD11JAN18	6726.00	6800.00	USD	1,850.00	
11OCT17	B 8 PD11JAN18	6728.00	6800.00	USD	14,400.00	
11OCT17	B 12 PD11JAN18	6727.00	6800.00	USD	21,900.00	
11OCT17	B 5 PD11JAN18	6729.00	6800.00	USD	8,875.00	
11OCT17	B 1 PD11JAN18	6730.00	6800.00	USD	1,750.00	
11OCT17	B 4 PD11JAN18	6731.00	6800.00	USD	6,900.00	
11OCT17	B 2 PD11JAN18	6732.50	6800.00	USD	3,375.00	
11OCT17	B 5 PD11JAN18	6730.50	6800.00	USD	8,687.50	
11OCT17	B 4 PD11JAN18	6731.50	6800.00	USD	6,850.00	
11OCT17	B 1 PD11JAN18	6787.50	6800.00	USD	312.50	
11OCT17	B 4 PD11JAN18	6786.50	6800.00	USD	1,350.00	
11OCT17	B 4 PD11JAN18	6789.00	6800.00	USD	1,100.00	
11OCT17	B 1 PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	B 4 PD11JAN18	6795.00	6800.00	USD	500.00	
11OCT17	B 7 PD11JAN18	6794.00	6800.00	USD	1,050.00	
11OCT17	B 10 PD11JAN18	6790.00	6800.00	USD	2,500.00	
11OCT17	B 11 PD11JAN18	6785.50	6800.00	USD	3,987.50	
11OCT17	B 5 PD11JAN18	6784.50	6800.00	USD	1,937.50	
11OCT17	B 2 PD11JAN18	6783.50	6800.00	USD	825.00	
11OCT17	B 1 PD11JAN18	6782.00	6800.00	USD	450.00	
11OCT17	B 1 PD11JAN18	6779.00	6800.00	USD	525.00	
11OCT17	B 2 PD11JAN18	6778.00	6800.00	USD	1,100.00	
11OCT17	B 1 PD11JAN18	6781.00	6800.00	USD	475.00	
11OCT17	B 2 PD11JAN18	6766.00	6800.00	USD	1,700.00	
11OCT17	B 4 PD11JAN18	6766.50	6800.00	USD	3,350.00	
11OCT17	B 2 PD11JAN18	6767.50	6800.00	USD	1,625.00	
11OCT17	B 2 PD11JAN18	6766.50	6800.00	USD	1,675.00	
11OCT17	B 1 PD11JAN18	6786.50	6800.00	USD	337.50	
	NET +114 AVG BGHT 6760.44				112,737.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 1 DEC17	302.750	309.550	USD	-1,700.00	
05OCT17	S 2 DEC17	302.350	309.550	USD	-3,600.00	
05OCT17	S 3 DEC17	298.400	309.550	USD	-8,362.50	
05OCT17	S 2 DEC17	303.000	309.550	USD	-3,275.00	
05OCT17	S 2 DEC17	303.100	309.550	USD	-3,225.00	
05OCT17	S 2 DEC17	303.150	309.550	USD	-3,200.00	
05OCT17	S 4 DEC17	303.300	309.550	USD	-6,250.00	
05OCT17	S 2 DEC17	303.250	309.550	USD	-3,150.00	
05OCT17	S 4 DEC17	303.350	309.550	USD	-6,200.00	
05OCT17	S 2 DEC17	304.100	309.550	USD	-2,725.00	
05OCT17	S 2 DEC17	304.050	309.550	USD	-2,750.00	
05OCT17	S 18 DEC17	304.000	309.550	USD	-24,975.00	
05OCT17	S 4 DEC17	303.950	309.550	USD	-5,600.00	
05OCT17	S 7 DEC17	303.450	309.550	USD	-10,675.00	
05OCT17	S 22 DEC17	303.850	309.550	USD	-31,350.00	
05OCT17	S 17 DEC17	303.800	309.550	USD	-24,437.50	
05OCT17	S 8 DEC17	303.550	309.550	USD	-12,000.00	
05OCT17	S 24 DEC17	303.500	309.550	USD	-36,300.00	
05OCT17	S 1 DEC17	303.400	309.550	USD	-1,537.50	
05OCT17	S 21 DEC17	303.600	309.550	USD	-31,237.50	
05OCT17	S 16 DEC17	303.650	309.550	USD	-23,600.00	
05OCT17	S 58 DEC17	303.700	309.550	USD	-84,825.00	
05OCT17	S 54 DEC17	303.750	309.550	USD	-78,300.00	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 4 DEC17	304.550	309.550	USD	-5,000.00	
05OCT17	S 4 DEC17	304.450	309.550	USD	-5,100.00	
05OCT17	S 2 DEC17	304.500	309.550	USD	-2,525.00	
10OCT17	S 2 DEC17	306.050	309.550	USD	-1,750.00	
10OCT17	S 4 DEC17	306.500	309.550	USD	-3,050.00	
10OCT17	S 10 DEC17	306.450	309.550	USD	-7,750.00	
10OCT17	S 2 DEC17	306.350	309.550	USD	-1,600.00	
10OCT17	S 2 DEC17	306.250	309.550	USD	-1,650.00	
10OCT17	S 8 DEC17	306.300	309.550	USD	-6,500.00	
10OCT17	S 12 DEC17	306.650	309.550	USD	-8,700.00	
10OCT17	S 6 DEC17	307.000	309.550	USD	-3,825.00	
10OCT17	S 4 DEC17	306.950	309.550	USD	-2,600.00	
10OCT17	S 2 DEC17	306.900	309.550	USD	-1,325.00	
10OCT17	S 6 DEC17	306.850	309.550	USD	-4,050.00	
10OCT17	S 11 DEC17	306.750	309.550	USD	-7,700.00	
10OCT17	S 7 DEC17	306.700	309.550	USD	-4,987.50	
10OCT17	S 10 DEC17	306.800	309.550	USD	-6,875.00	
10OCT17	S 7 DEC17	306.550	309.550	USD	-5,250.00	
10OCT17	S 8 DEC17	306.400	309.550	USD	-6,300.00	
11OCT17	S 10 DEC17	305.450	309.550	USD	-10,250.00	
11OCT17	S 2 DEC17	305.400	309.550	USD	-2,075.00	
11OCT17	S 2 DEC17	305.350	309.550	USD	-2,100.00	
11OCT17	S 2 DEC17	305.300	309.550	USD	-2,125.00	
11OCT17	S 7 DEC17	305.500	309.550	USD	-7,087.50	
11OCT17	S 18 DEC17	305.600	309.550	USD	-17,775.00	
11OCT17	S 25 DEC17	305.550	309.550	USD	-25,000.00	
11OCT17	S 18 DEC17	305.700	309.550	USD	-17,325.00	
11OCT17	S 13 DEC17	305.650	309.550	USD	-12,675.00	
11OCT17	S 10 DEC17	305.750	309.550	USD	-9,500.00	
11OCT17	S 1 DEC17	307.250	309.550	USD	-575.00	
11OCT17	S 8 DEC17	308.350	309.550	USD	-2,400.00	
11OCT17	S 10 DEC17	308.200	309.550	USD	-3,375.00	
11OCT17	S 10 DEC17	308.450	309.550	USD	-2,750.00	
11OCT17	S 2 DEC17	308.750	309.550	USD	-400.00	
11OCT17	S 2 DEC17	308.700	309.550	USD	-425.00	
11OCT17	S 14 DEC17	308.650	309.550	USD	-3,150.00	
11OCT17	S 6 DEC17	308.600	309.550	USD	-1,425.00	
11OCT17	S 12 DEC17	308.400	309.550	USD	-3,450.00	
11OCT17	S 11 DEC17	308.300	309.550	USD	-3,437.50	
11OCT17	S 19 DEC17	308.250	309.550	USD	-6,175.00	
11OCT17	S 8 DEC17	308.150	309.550	USD	-2,800.00	
11OCT17	S 1 DEC17	307.850	309.550	USD	-425.00	
11OCT17	S 5 DEC17	307.900	309.550	USD	-2,062.50	
11OCT17	S 2 DEC17	308.000	309.550	USD	-775.00	
11OCT17	S 11 DEC17	308.100	309.550	USD	-3,987.50	
11OCT17	S 5 DEC17	307.350	309.550	USD	-2,750.00	
11OCT17	S 12 DEC17	307.400	309.550	USD	-6,450.00	
11OCT17	S 5 DEC17	307.450	309.550	USD	-2,625.00	
	NET -638 AVG SOLD 305.467				-651,162.50	0.00

OPEN POSITION STATEMENT



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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-48,638,075.00
CMX Copper	FUTURES	48,722,062.50

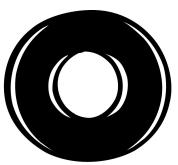
MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-651,162.50	-651,162.50	-651,162.50	-651,162.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	649,339.25	649,339.25	649,339.25	649,339.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,405,828.70	1,405,828.70
Variation Margins - Futures	-651,162.50	-651,162.50
- Options	0.00	0.00
TOTAL EQUITY	754,666.20	754,666.20
Initial Margins	-5,193,684.75	-5,193,684.75
Excess/(Shortage)	-4,439,018.55	-4,439,018.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	649,339.25	649,339.25
Net Liquidating Value	1,404,005.45	1,404,005.45

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0128 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB128**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,405,828.70	1,405,828.70
Variation Margins		
- Futures	-651,162.50	-651,162.50
- Options	0.00	0.00
TOTAL EQUITY	754,666.20	754,666.20
Initial Margins	-5,193,684.75	-5,193,684.75
Excess/(Shortage)	-4,439,018.55	-4,439,018.55
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	649,339.25	649,339.25
Net Liquidating Value	1,404,005.45	1,404,005.45

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001

11th October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	JPY
		(1.18440)	(1.31890)	(112.27000)
CASH BALANCE		85,342.20	524,005.77	9,951
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		85,342.20	524,005.77	9,951
Initial Margins		0.00	0.00	0
Excess/(Shortage)		85,342.20	524,005.77	9,951
FOR INFORMATION ONLY				
Net Liquidating Value		85,342.20	524,005.77	9,951
		USD	NETT USD	
		(1.00000)	(1.00000)	
CASH BALANCE		4,014,482.91	4,806,762.05	
Variation Margins	- Futures	0.00	0.00	
	- Options	0.00	0.00	
TOTAL EQUITY		4,014,482.91	4,806,762.05	
Initial Margins		0.00	0.00	
Excess/(Shortage)		4,014,482.91	4,806,762.05	
FOR INFORMATION ONLY				
Net Liquidating Value		4,014,482.91	4,806,762.05	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
London
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UK
HEMANT PARIKH

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11th October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	GBP/USD WEEKLY EUROPEAN STYLE PREMIUM OPTIONS - WK4					
25SEP17	S 15 OCT17 1.31500 Put NET -15 AVG SOLD 0.00260	0.00260	0.00540	USD	-5,062.50 -5,062.50	-2,625.00 -2,625.00
	CME EURO FX 125,000 CME					
11OCT17	S 2 DEC17 NET -2 AVG SOLD 1.19065	1.19065	1.18970	USD	237.50 237.50	0.00 0.00
	CME STERLING 62,500 CME					
13SEP17	B 5 DEC17	1.32670	1.32440	USD	-718.75	
25SEP17	B 5 DEC17	1.35100	1.32440	USD	-8,312.50	
25SEP17	B 1 DEC17	1.34800	1.32440	USD	-1,475.00	
26SEP17	B 5 DEC17	1.34490	1.32440	USD	-6,406.25	
27SEP17	B 5 DEC17	1.34270	1.32440	USD	-5,718.75	
02OCT17	B 5 DEC17	1.33440	1.32440	USD	-3,125.00	
03OCT17	B 5 DEC17	1.32680	1.32440	USD	-750.00	
05OCT17	B 5 DEC17	1.32210	1.32440	USD	718.75	
06OCT17	B 5 DEC17	1.30910	1.32440	USD	4,781.25	
	NET +41 AVG BGHT 1.33260				-21,006.25	0.00

OPEN POSITION VALUE

		USD
GBP/USD Weekly European Style	OPTIONS	2,437.50
CME Euro FX	FUTURES	297,662.50
CME Sterling	FUTURES	-3,414,781.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures	USD 237.50	237.50		
GBP - Futures	USD -21,006.25	-21,006.25	-20,768.75	-20,768.75
Net Premium Options Market Value				
4BP - Options	USD -5,062.50	-2,625.00	-5,062.50	-2,625.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	99,718.83	99,718.83
- Options	-20,768.75	-20,768.75
	0.00	0.00
TOTAL EQUITY	78,950.08	78,950.08
Initial Margins	-98,854.50	-98,854.50
Excess/(Shortage)	-19,904.42	-19,904.42
FOR INFORMATION ONLY		
Net Premium Option Market Value	-5,062.50	-5,062.50
Net Liquidating Value	73,887.58	73,887.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001B

11th October 2017

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22AUG17	S	10	PD22NOV17	2080.85	2118.41	USD	-9,390.00	
22AUG17	S	10	PD22NOV17	2082.35	2118.41	USD	-9,015.00	
22AUG17	B	10	PD22NOV17	2084.30	2118.41	USD	8,527.50	
22AUG17	B	10	PD22NOV17	2085.30	2118.41	USD	8,277.50	
	NET	0 AVG BGHT 2084.80	SOLD 2081.60				-1,600.00	0.00

OPEN POSITION VALUE

LME Aluminium 25 Tonnes. FUTURES USD
-1,600.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-1,600.00	-1,600.00	-1,600.00	-1,600.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	1,983.81	1,983.81
- Options	0.00	0.00
TOTAL EQUITY	1,983.81	1,983.81
Initial Margins	-1,600.00	-1,600.00
Excess/(Shortage)	383.81	383.81
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,600.00	-1,600.00
Net Liquidating Value	383.81	383.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH

11th October 2017

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ROPEMAKER PLACE 28 ROPEMAKER STREET
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	4,936,923.45	4,936,923.45
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	4,936,923.45	4,936,923.45
Initial Margins	0.00	0.00
Excess/(Shortage)	4,936,923.45	4,936,923.45
FOR INFORMATION ONLY		
Net Liquidating Value	4,936,923.45	4,936,923.45

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ
UK

GROUP NO: **SAPG0001**

11th Oct 2017

COB Global

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GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	85,342.20	524,005.77	9,951
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	85,342.20	524,005.77	9,951
Initial Margins	0.00	0.00	0
Excess/(Shortage)	85,342.20	524,005.77	9,951
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	85,342.20	524,005.77	9,951

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	9,053,109.00	9,845,388.14
Variation Margins	- Futures -20,768.75	-20,768.75
	- Options 0.00	0.00
TOTAL EQUITY	9,032,340.25	9,824,619.39
Initial Margins	-100,454.50	-100,454.50
Excess/(Shortage)	8,931,885.75	9,724,164.89
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,600.00	-1,600.00
Net Premium Option Market Value	-5,062.50	-5,062.50
Net Liquidating Value	9,025,677.75	9,817,956.89

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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	480.23	349.95
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-110.00	480.23	349.95
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	480.23	349.95
FOR INFORMATION ONLY			
Net Liquidating Value	-110.00	480.23	349.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0009
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0009**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	480.23	349.95
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-110.00	480.23	349.95
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	480.23	349.95
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-110.00	480.23	349.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-2,600.09	1,254,913.11	1,251,833.56
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-2,600.09	1,254,913.11	1,251,833.56
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-2,600.09	1,254,913.11	1,251,833.56
FOR INFORMATION ONLY			
Net Liquidating Value	-2,600.09	1,254,913.11	1,251,833.56

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011A

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
25SEP17	S 10 NOV17 62.50 Call	0.01	0.01	USD	-100.00	0.00
25SEP17	S 10 NOV17 62.50 Call	0.02	0.01	USD	-100.00	100.00
	NET -20 AVG SOLD 0.02				-200.00	100.00
25SEP17	S 20 NOV17 63.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
25SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00

OPEN POSITION VALUE

NYM Light Sweet Crude Oil OPTIONS USD 700.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-600.00	100.00	-600.00	100.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-1,066.00	-1,066.00
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,066.00	-1,066.00
Initial Margins	-2,400.00	-2,400.00
Excess/(Shortage)	-3,466.00	-3,466.00
FOR INFORMATION ONLY		
Net Premium Option Market Value	-600.00	-600.00
Net Liquidating Value	-1,666.00	-1,666.00

OPEN POSITION STATEMENT



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SAP0011B

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
25SEP17	S 25 NOV17 63.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
20SEP17	S 25 NOV17 63.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
21SEP17	S 19 NOV17 64.50 Call	0.01	0.01	USD	-190.00	0.00
25SEP17	S 25 NOV17 64.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -44 AVG SOLD 0.01				-440.00	0.00
25SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
26SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
08SEP17	S 50 NOV17 35.00 Put	0.01	0.01	USD	-500.00	0.00
11SEP17	S 29 NOV17 35.00 Put	0.01	0.01	USD	-290.00	0.00
	NET -79 AVG SOLD 0.01				-790.00	0.00
	<u>CMX GOLD 100 CMX</u>					
06OCT17	S 10 NOV17 1180.0 Put	0.2	0.1	USD	-100.00	100.00
	NET -10 AVG SOLD 0.2				-100.00	100.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	2,730.00
CMX Gold	OPTIONS	200.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options	USD -2,730.00	0.00		
GOLD - Options	USD -100.00	100.00	-2,830.00	100.00

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-64.89	12,477.00	12,400.14
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-64.89	12,477.00	12,400.14
Initial Margins	0.00	-23,850.00	-23,850.00
Excess/(Shortage)	-64.89	-11,373.00	-11,449.86
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-2,830.00	-2,830.00
Net Liquidating Value	-64.89	9,647.00	9,570.14

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011C

11th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,640.00	-1,640.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-1,640.00	-1,640.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-1,640.00	-1,640.00
FOR INFORMATION ONLY			
Net Liquidating Value		-1,640.00	-1,640.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00111

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	3 MONTH EURO (EURIBOR) 2,500 IFL					
05OCT17	B 50 MAR18	100.3100	100.3100	EUR	0.00	
06OCT17	B 50 MAR18	100.3100	100.3100	EUR	0.00	
	NET +100 AVG BGHT 100.3100				0.00	0.00
05OCT17	S 50 JUN18	100.2900	100.2900	EUR	0.00	
06OCT17	S 50 JUN18	100.2900	100.2900	EUR	0.00	
	NET -100 AVG SOLD 100.2900				0.00	0.00
10OCT17	B 50 SEP18	100.2650	100.2600	EUR	-625.00	
	NET +50 AVG BGHT 100.2650				-625.00	0.00
10OCT17	S 50 DEC18	100.2200	100.2150	EUR	625.00	
	NET -50 AVG SOLD 100.2200				625.00	0.00
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	5926.00	6755.20	USD	20,730.00	
12JUL17	B 1 PD12OCT17	5918.50	6755.20	USD	20,917.50	
12JUL17	B 1 PD12OCT17	5914.00	6755.20	USD	21,030.00	
12JUL17	B 2 PD12OCT17	5919.50	6755.20	USD	41,785.00	
02OCT17	S 5 PD12OCT17	6455.92	6755.20	USD	-37,410.00	
	NET 0AVG BGHT 5919.50 SOLD 6455.92				67,052.50	0.00
17JUL17	B 1 PD17OCT17	6017.50	6759.20	USD	18,542.50	
17JUL17	B 2 PD17OCT17	6018.50	6759.20	USD	37,035.00	
17JUL17	B 2 PD17OCT17	6019.50	6759.20	USD	36,985.00	
02OCT17	S 5 PD17OCT17	6460.75	6759.20	USD	-37,306.25	
	NET 0AVG BGHT 6018.70 SOLD 6460.75				55,256.25	0.00
21JUL17	B 1 PD20OCT17	6036.50	6761.75	USD	18,131.25	
21JUL17	B 1 PD20OCT17	6034.50	6761.75	USD	18,181.25	
21JUL17	B 2 PD20OCT17	6035.00	6761.75	USD	36,337.50	
21JUL17	B 1 PD20OCT17	6037.00	6761.75	USD	18,118.75	
02OCT17	S 5 PD20OCT17	6462.25	6761.75	USD	-37,437.50	
	NET 0AVG BGHT 6035.60 SOLD 6462.25				53,331.25	0.00
25JUL17	B 1 PD25OCT17	6170.50	6765.68	USD	14,879.50	
25JUL17	B 1 PD25OCT17	6188.00	6765.68	USD	14,442.00	
25JUL17	B 1 PD25OCT17	6192.00	6765.68	USD	14,342.00	
25JUL17	B 1 PD25OCT17	6192.50	6765.68	USD	14,329.50	
25JUL17	B 1 PD25OCT17	6193.00	6765.68	USD	14,317.00	
02OCT17	S 5 PD25OCT17	6465.79	6765.68	USD	-37,486.25	
	NET 0AVG BGHT 6187.20 SOLD 6465.79				34,823.75	0.00
26JUL17	S 4 PD26OCT17	6355.00	6766.11	USD	-41,111.00	
26JUL17	S 1 PD26OCT17	6378.00	6766.11	USD	-9,702.75	
26JUL17	B 1 PD26OCT17	6278.00	6766.11	USD	12,202.75	
26JUL17	B 1 PD26OCT17	6280.50	6766.11	USD	12,140.25	
26JUL17	B 2 PD26OCT17	6282.50	6766.11	USD	24,180.50	
26JUL17	B 1 PD26OCT17	6283.00	6766.11	USD	12,077.75	
	NET 0AVG BGHT 6281.30 SOLD 6359.60				9,787.50	0.00
31JUL17	S 1 PD31OCT17	6390.00	6768.25	USD	-9,456.25	
31JUL17	B 1 PD31OCT17	6375.00	6768.25	USD	9,831.25	
	NET 0AVG BGHT 6375.00 SOLD 6390.00				375.00	0.00
02AUG17	S 1 PD02NOV17	6329.00	6770.10	USD	-11,027.50	
02AUG17	S 2 PD02NOV17	6331.00	6770.10	USD	-21,955.00	
02AUG17	S 1 PD02NOV17	6329.50	6770.10	USD	-11,015.00	
02AUG17	S 1 PD02NOV17	6332.00	6770.10	USD	-10,952.50	
10AUG17	B 5 PD02NOV17	6419.91	6770.10	USD	43,773.75	
	NET 0AVG BGHT 6419.91 SOLD 6330.50				-11,176.25	0.00
08AUG17	B 1 PD08NOV17	6456.00	6775.65	USD	7,991.25	
08AUG17	B 1 PD08NOV17	6466.00	6775.65	USD	7,741.25	
08AUG17	B 1 PD08NOV17	6474.00	6775.65	USD	7,541.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00111

11th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	6482.50	6775.65	USD	7,328.75	
08AUG17	B	1	PD08NOV17	6484.00	6775.65	USD	7,291.25	
02OCT17	S	5	PD08NOV17	6473.00	6775.65	USD	-37,831.25	
	NET	0 AVG BGHT 6472.50	SOLD 6473.00				62.50	0.00
14AUG17	S	2	PD14NOV17	6413.50	6776.83	USD	-18,166.50	
14AUG17	S	2	PD14NOV17	6415.00	6776.83	USD	-18,091.50	
14AUG17	S	1	PD14NOV17	6417.00	6776.83	USD	-8,995.75	
02OCT17	B	5	PD14NOV17	6475.34	6776.83	USD	37,686.25	
	NET	0 AVG BGHT 6475.34	SOLD 6414.80				-7,567.50	0.00
16AUG17	S	1	PD16NOV17	6419.00	6776.25	USD	-8,931.25	
16AUG17	B	1	PD16NOV17	6523.00	6776.25	USD	6,331.25	
16AUG17	B	1	PD16NOV17	6525.00	6776.25	USD	6,281.25	
16AUG17	B	1	PD16NOV17	6521.00	6776.25	USD	6,381.25	
16AUG17	B	1	PD16NOV17	6524.00	6776.25	USD	6,306.25	
16AUG17	B	1	PD16NOV17	6536.00	6776.25	USD	6,006.25	
16AUG17	B	1	PD16NOV17	6522.00	6776.25	USD	6,356.25	
02OCT17	S	5	PD16NOV17	6475.00	6776.25	USD	-37,656.25	
	NET	0 AVG BGHT 6525.17	SOLD 6465.67				-8,925.00	0.00
18AUG17	S	2	PD17NOV17	6504.00	6776.78	USD	-13,639.00	
18AUG17	S	1	PD17NOV17	6509.00	6776.78	USD	-6,694.50	
02OCT17	B	3	PD17NOV17	6475.75	6776.78	USD	22,577.25	
	NET	0 AVG BGHT 6475.75	SOLD 6505.67				2,243.75	0.00
29AUG17	S	2	PD29NOV17	6766.00	6783.18	USD	-859.00	
29AUG17	S	1	PD29NOV17	6766.50	6783.18	USD	-417.00	
29AUG17	S	2	PD29NOV17	6769.50	6783.18	USD	-684.00	
02OCT17	B	5	PD29NOV17	6482.35	6783.18	USD	37,603.75	
	NET	0 AVG BGHT 6482.35	SOLD 6767.50				35,643.75	0.00
30AUG17	S	1	PD30NOV17	6776.00	6783.72	USD	-193.00	
30AUG17	S	1	PD30NOV17	6782.00	6783.72	USD	-43.00	
02OCT17	B	2	PD30NOV17	6482.90	6783.72	USD	15,041.00	
	NET	0 AVG BGHT 6482.90	SOLD 6779.00				14,805.00	0.00
08SEP17	S	1	PD08DEC17	6835.00	6787.71	USD	1,182.25	
08SEP17	S	1	PD08DEC17	6836.00	6787.71	USD	1,207.25	
08SEP17	S	1	PD08DEC17	6837.00	6787.71	USD	1,232.25	
08SEP17	S	1	PD08DEC17	6838.50	6787.71	USD	1,269.75	
08SEP17	S	1	PD08DEC17	6839.00	6787.71	USD	1,282.25	
02OCT17	B	5	PD08DEC17	6485.45	6787.71	USD	37,782.50	
	NET	0 AVG BGHT 6485.45	SOLD 6837.10				43,956.25	0.00
15SEP17	S	1	PD15DEC17	6496.00	6791.06	USD	-7,376.50	
15SEP17	S	1	PD15DEC17	6500.50	6791.06	USD	-7,264.00	
15SEP17	S	1	PD15DEC17	6492.00	6791.06	USD	-7,476.50	
15SEP17	S	1	PD15DEC17	6502.00	6791.06	USD	-7,226.50	
15SEP17	S	1	PD15DEC17	6519.00	6791.06	USD	-6,801.50	
15SEP17	S	1	PD15DEC17	6508.00	6791.06	USD	-7,076.50	
15SEP17	S	1	PD15DEC17	6522.00	6791.06	USD	-6,726.50	
15SEP17	S	1	PD15DEC17	6515.00	6791.06	USD	-6,901.50	
15SEP17	S	1	PD15DEC17	6516.00	6791.06	USD	-6,876.50	
15SEP17	S	1	PD15DEC17	6516.50	6791.06	USD	-6,864.00	
02OCT17	B	10	PD15DEC17	6488.37	6791.06	USD	75,672.50	
	NET	0 AVG BGHT 6488.37	SOLD 6508.70				5,082.50	0.00

OPEN POSITION STATEMENT



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SAP00111

11th October 2017

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OPEN POSITION VALUE

		EUR	USD
3 Month Euro (Euribor)	FUTURES	-10,625.00	
LME Copper Grade A 25	FUTURES		294,751.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURL - Futures EUR	0.00	0.00	0.00	0.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	294,751.25	294,751.25	294,751.25	294,751.25

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
CASH BALANCE	(1.18440)	(1.00000)	(1.00000)
Variation Margins - Futures	625.00	-286,286.70	-285,546.45
- Options	0.00	0.00	0.00
TOTAL EQUITY	625.00	-286,286.70	-285,546.45
Initial Margins	-10,352.00	0.00	-12,260.91
Excess/(Shortage)	-9,727.00	-286,286.70	-297,807.36
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	294,751.25	294,751.25
Net Liquidating Value	625.00	8,464.55	9,204.80

OPEN POSITION STATEMENT



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SAP0011J

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>EURO-BUND 1,000 ERX</u>					
27SEP17	S 1 DEC17	160.880	161.260	EUR	-380.00	
27SEP17	S 1 DEC17	160.830	161.260	EUR	-430.00	
28SEP17	S 2 DEC17	160.440	161.260	EUR	-1,640.00	
29SEP17	S 1 DEC17	161.280	161.260	EUR	20.00	
29SEP17	S 2 DEC17	161.220	161.260	EUR	-80.00	
03OCT17	S 2 DEC17	161.080	161.260	EUR	-360.00	
05OCT17	S 2 DEC17	161.460	161.260	EUR	400.00	
	NET -11 AVG SOLD 161.035				-2,470.00	0.00
	<u>CBT 30 YEAR US TREASURY BONDS 1,000 CBT</u>					
27SEP17	B 1 DEC17	153.0400	152.1800	USD	-562.50	
27SEP17	B 1 DEC17	153.0300	152.1800	USD	-531.25	
27SEP17	B 1 DEC17	152.3000	152.1800	USD	-375.00	
28SEP17	B 2 DEC17	152.0600	152.1800	USD	750.00	
28SEP17	B 3 DEC17	152.1100	152.1800	USD	656.25	
03OCT17	B 2 DEC17	152.1500	152.1800	USD	187.50	
04OCT17	B 1 DEC17	152.1100	152.1800	USD	218.75	
	NET +11 AVG BGHT 152.1700				343.75	0.00
	<u>CBT 10 YEAR TREASURY NOTES 1,000 CBT</u>					
29SEP17	S 1 DEC17	125.1550	125.0750	USD	250.00	
	NET -1 AVG SOLD 125.1550				250.00	0.00

OPEN POSITION VALUE

		EUR	USD
Euro-Bund	FUTURES	1,771,390.00	
CBT 30 Year Us Treasury Bonds	FUTURES		-1,677,843.75
CBT 10 Year Treasury Notes	FUTURES		125,484.38

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GBNE - Futures	EUR -2,470.00	-2,470.00	-2,470.00	-2,470.00
TBON - Futures	USD 343.75	343.75		
TNOT - Futures	USD 250.00	250.00	593.75	593.75

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	15,483.86	132,999.47	151,338.55
Variation Margins - Futures	-2,470.00	593.75	-2,331.72
- Options	0.00	0.00	0.00
TOTAL EQUITY	13,013.86	133,593.22	149,006.83
Initial Margins	-26,561.61	-28,838.00	-60,297.57
Excess/(Shortage)	-13,547.75	104,755.22	88,709.26
FOR INFORMATION ONLY			
Net Liquidating Value	13,013.86	133,593.22	149,006.84

OPEN POSITION STATEMENT



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11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>					
	<u>LME REGISTERED CLIENT CONTRACT.</u>					
10OCT17	B 1 PD10JAN18	2162.00	2129.81	USD	-804.75	
	NET +1AVG BGHT 2162.00				-804.75	0.00
11OCT17	S 1 PD11JAN18	2158.00	2130.00	USD	700.00	
11OCT17	S 1 PD11JAN18	2155.00	2130.00	USD	625.00	
11OCT17	B 1 PD11JAN18	2158.50	2130.00	USD	-712.50	
11OCT17	S 1 PD11JAN18	2153.50	2130.00	USD	587.50	
11OCT17	B 1 PD11JAN18	2152.00	2130.00	USD	-550.00	
11OCT17	B 1 PD11JAN18	2151.00	2130.00	USD	-525.00	
11OCT17	B 1 PD11JAN18	2147.50	2130.00	USD	-437.50	
11OCT17	S 1 PD11JAN18	2142.50	2130.00	USD	312.50	
11OCT17	B 1 PD11JAN18	2141.00	2130.00	USD	-275.00	
11OCT17	S 1 PD11JAN18	2143.00	2130.00	USD	325.00	
11OCT17	B 1 PD11JAN18	2145.50	2130.00	USD	-387.50	
11OCT17	B 1 PD11JAN18	2144.00	2130.00	USD	-350.00	
11OCT17	B 1 PD11JAN18	2157.00	2130.00	USD	-675.00	
11OCT17	S 2 PD11JAN18	2155.00	2130.00	USD	1,250.00	
11OCT17	S 1 PD11JAN18	2148.00	2130.00	USD	450.00	
11OCT17	S 1 PD11JAN18	2147.50	2130.00	USD	437.50	
11OCT17	S 1 PD11JAN18	2146.50	2130.00	USD	412.50	
11OCT17	S 1 PD11JAN18	2142.00	2130.00	USD	300.00	
11OCT17	B 1 PD11JAN18	2150.00	2130.00	USD	-500.00	
11OCT17	B 1 PD11JAN18	2149.00	2130.00	USD	-475.00	
11OCT17	S 1 PD11JAN18	2153.00	2130.00	USD	575.00	
11OCT17	S 1 PD11JAN18	2148.50	2130.00	USD	462.50	
11OCT17	B 2 PD11JAN18	2149.50	2130.00	USD	-975.00	
11OCT17	B 1 PD11JAN18	2145.00	2130.00	USD	-375.00	
11OCT17	S 1 PD11JAN18	2144.50	2130.00	USD	362.50	
11OCT17	S 1 PD11JAN18	2132.00	2130.00	USD	50.00	
11OCT17	B 1 PD11JAN18	2133.50	2130.00	USD	-87.50	
	NET -1AVG BGHT 2148.07 SOLD 2148.27				525.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>					
	<u>LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	2540.00	2565.00	USD	625.00	
11OCT17	S 1 PD11JAN18	2540.00	2565.00	USD	-625.00	
11OCT17	S 1 PD11JAN18	2542.00	2565.00	USD	-575.00	
11OCT17	B 1 PD11JAN18	2534.50	2565.00	USD	762.50	
11OCT17	B 1 PD11JAN18	2532.50	2565.00	USD	812.50	
11OCT17	S 1 PD11JAN18	2534.00	2565.00	USD	-775.00	
11OCT17	B 2 PD11JAN18	2532.00	2565.00	USD	1,650.00	
11OCT17	S 1 PD11JAN18	2512.00	2565.00	USD	-1,325.00	
11OCT17	S 1 PD11JAN18	2513.50	2565.00	USD	-1,287.50	
11OCT17	S 1 PD11JAN18	2515.00	2565.00	USD	-1,250.00	
11OCT17	B 1 PD11JAN18	2513.00	2565.00	USD	1,300.00	
11OCT17	S 1 PD11JAN18	2520.00	2565.00	USD	-1,125.00	
11OCT17	S 1 PD11JAN18	2522.50	2565.00	USD	-1,062.50	
11OCT17	S 1 PD11JAN18	2543.00	2565.00	USD	-550.00	
11OCT17	B 1 PD11JAN18	2526.50	2565.00	USD	962.50	
11OCT17	B 1 PD11JAN18	2517.50	2565.00	USD	1,187.50	
11OCT17	S 1 PD11JAN18	2541.00	2565.00	USD	-600.00	
11OCT17	S 1 PD11JAN18	2542.50	2565.00	USD	-562.50	
11OCT17	B 1 PD11JAN18	2537.00	2565.00	USD	700.00	
11OCT17	B 1 PD11JAN18	2535.00	2565.00	USD	750.00	
11OCT17	S 2 PD11JAN18	2523.50	2565.00	USD	-2,075.00	
11OCT17	B 3 PD11JAN18	2525.50	2565.00	USD	2,962.50	
11OCT17	S 1 PD11JAN18	2519.50	2565.00	USD	-1,137.50	
11OCT17	B 1 PD11JAN18	2522.00	2565.00	USD	1,075.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011P

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
110CT17	S 1 PD11JAN18	2520.50	2565.00	USD	-1,112.50	
110CT17	S 1 PD11JAN18	2519.50	2565.00	USD	-1,137.50	
110CT17	S 1 PD11JAN18	2517.50	2565.00	USD	-1,187.50	
110CT17	B 2 PD11JAN18	2519.00	2565.00	USD	2,300.00	
110CT17	B 1 PD11JAN18	2532.50	2565.00	USD	812.50	
	NET 0AVG BGHT 2527.59 SOLD 2526.44				-487.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
100CT17	S 1 PD10JAN18	3290.00	3219.50	USD	1,762.50	
	NET -1AVG SOLD 3290.00				1,762.50	0.00
110CT17	B 1 PD11JAN18	3273.50	3219.00	USD	-1,362.50	
110CT17	B 1 PD11JAN18	3267.00	3219.00	USD	-1,200.00	
110CT17	S 1 PD11JAN18	3248.50	3219.00	USD	737.50	
110CT17	B 1 PD11JAN18	3241.00	3219.00	USD	-550.00	
110CT17	S 1 PD11JAN18	3244.00	3219.00	USD	625.00	
110CT17	B 1 PD11JAN18	3238.00	3219.00	USD	-475.00	
110CT17	B 1 PD11JAN18	3234.50	3219.00	USD	-387.50	
110CT17	B 1 PD11JAN18	3232.00	3219.00	USD	-325.00	
110CT17	B 1 PD11JAN18	3225.50	3219.00	USD	-162.50	
110CT17	S 1 PD11JAN18	3226.00	3219.00	USD	175.00	
110CT17	S 1 PD11JAN18	3232.00	3219.00	USD	325.00	
110CT17	S 1 PD11JAN18	3246.00	3219.00	USD	675.00	
110CT17	S 1 PD11JAN18	3273.00	3219.00	USD	1,350.00	
110CT17	S 1 PD11JAN18	3237.00	3219.00	USD	450.00	
110CT17	B 1 PD11JAN18	3229.50	3219.00	USD	-262.50	
110CT17	S 1 PD11JAN18	3224.50	3219.00	USD	137.50	
110CT17	S 1 PD11JAN18	3220.50	3219.00	USD	37.50	
110CT17	B 2 PD11JAN18	3220.50	3219.00	USD	-75.00	
110CT17	S 1 PD11JAN18	3220.00	3219.00	USD	25.00	
110CT17	B 1 PD11JAN18	3221.50	3219.00	USD	-62.50	
110CT17	B 1 PD11JAN18	3225.00	3219.00	USD	-150.00	
110CT17	S 1 PD11JAN18	3227.00	3219.00	USD	200.00	
	NET +1AVG BGHT 3235.71 SOLD 3236.23				-275.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-275.00
LME Lead 25 tonnes. This is an	FUTURES	-487.50
LME Zinc 25 tonnes. This is	FUTURES	1,500.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD	-279.75	-279.75	
LEAD - Futures	USD	-487.50	-487.50	
ZINC - Futures	USD	1,487.50	1,487.50	
			720.25	720.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011P

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-440.00	-440.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-440.00	-440.00
Initial Margins	-301.75	-301.75
Excess/(Shortage)	-741.75	-741.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	720.25	720.25
Net Liquidating Value	280.25	280.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0011**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	13,443.88	1,110,956.88	1,126,879.81
Variation Margins	-2,470.00	593.75	-2,331.72
- Futures	0.00	0.00	0.00
- Options			
TOTAL EQUITY	10,973.88	1,111,550.63	1,124,548.09
Initial Margins	-36,913.61	-55,088.00	-98,808.48
Excess/(Shortage)	-25,939.73	1,056,462.63	1,025,739.61
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	295,471.50	295,471.50
Net Premium Option Market Value	0.00	-3,430.00	-3,430.00
Net Liquidating Value	10,973.88	1,403,592.13	1,416,589.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0015

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	145.57	145.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	145.57	145.57
Initial Margins	0.00	0.00
Excess/(Shortage)	145.57	145.57
FOR INFORMATION ONLY		
Net Liquidating Value	145.57	145.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0015
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0015**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	145.57	145.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	145.57	145.57
Initial Margins	0.00	0.00
Excess/(Shortage)	145.57	145.57
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	145.57	145.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-73.57	-73.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-73.57	-73.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-73.57	-73.57
FOR INFORMATION ONLY		
Net Liquidating Value	-73.57	-73.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
15SEP17	ODAX OPTION 5 ERX S 25 OCT17 10000.00 Put NET -25 AVG SOLD 3.10	3.10	0.10	EUR	-12.50 -12.50	375.00 375.00
15SEP17	DOW JONES EURO STOXX 50 10 ERX S 50 OCT17 2850.00 Put NET -50 AVG SOLD 1.20	1.20	0.10	EUR	-50.00 -50.00	550.00 550.00
09OCT17	CME E-MINI S&P 500 EOM OPTIONS 50 CME S 50 OCT17 1940.00 Put NET -50 AVG SOLD 0.20	0.20	0.10	USD	-250.00 -250.00	250.00 250.00
09OCT17	S 50 OCT17 1960.00 Put NET -50 AVG SOLD 0.20	0.20	0.15	USD	-375.00 -375.00	125.00 125.00
19SEP17	B 1 OCT17 2350.00 Put NET +1AVG BGHT 5.50	5.50	1.10	USD	55.00 55.00	-220.00 -220.00
06OCT17	S 50 NOV17 1800.00 Put NET -50 AVG SOLD 0.50	0.50	0.35	USD	-875.00 -875.00	375.00 375.00
04AUG17	VOLATILITY INDEX (VIX) FUTURES 1,000 CBO B 1 DEC17 NET +1AVG BGHT 14.1500	14.1500	12.8750	USD	-1,275.00 -1,275.00	 0.00

OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	387.50	
Dow Jones EURO Stoxx 50	OPTIONS	600.00	
CME E-mini S&P 500 EOM Options	OPTIONS		1,975.00
Volatility Index (VIX) Futures	FUTURES		-14,150.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
VIX - Futures USD	-1,275.00	-1,275.00	-1,275.00	-1,275.00
Net Premium Options Market Value				
DAXO - Options EUR	-12.50	375.00		
EDOX - Options EUR	-50.00	550.00	-62.50	925.00
MSPE - Options USD	-1,445.00	530.00	-1,445.00	530.00

OPEN POSITION STATEMENT



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SAP0023A

11th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	7,361.57	51,286.08	60,005.12
Variation Margins - Futures	0.00	-1,275.00	-1,275.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	7,361.57	50,011.08	58,730.12
Initial Margins	-236.59	-10,983.00	-11,263.22
Excess/(Shortage)	7,124.98	39,028.08	47,466.90
FOR INFORMATION ONLY			
Net Premium Option Market Value	-62.50	-1,445.00	-1,519.02
Net Liquidating Value	7,299.07	48,566.08	57,211.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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Date	Open Position					Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>ODAX OPTION 5 ERX</u>									
04SEP17	B	2	DEC17	13000.00	Call	46.10	228.50	EUR	2,285.00	1,824.00
05SEP17	B	2	DEC17	13000.00	Call	58.50	228.50	EUR	2,285.00	1,700.00
	NET	+4 AVG BGHT 52.30							4,570.00	3,524.00
12SEP17	B	2	DEC17	13200.00	Call	67.00	130.50	EUR	1,305.00	635.00
	NET	+2 AVG BGHT 67.00							1,305.00	635.00
04SEP17	S	2	MAR18	13000.00	Call	149.10	431.00	EUR	-4,310.00	-2,819.00
05SEP17	S	2	MAR18	13000.00	Call	175.50	431.00	EUR	-4,310.00	-2,555.00
	NET	-4 AVG SOLD 162.30							-8,620.00	-5,374.00
12SEP17	S	2	MAR18	13200.00	Call	209.50	323.70	EUR	-3,237.00	-1,142.00
28SEP17	S	2	MAR18	13200.00	Call	224.50	323.70	EUR	-3,237.00	-992.00
	NET	-4 AVG SOLD 217.00							-6,474.00	-2,134.00
07SEP17	S	2	MAR18	13400.00	Call	100.80	233.30	EUR	-2,333.00	-1,325.00
11SEP17	S	2	MAR18	13400.00	Call	124.10	233.30	EUR	-2,333.00	-1,092.00
21SEP17	S	1	MAR18	13400.00	Call	137.70	233.30	EUR	-1,166.50	-478.00
	NET	-5 AVG SOLD 117.50							-5,832.50	-2,895.00
07SEP17	S	2	MAR18	13600.00	Call	75.50	161.00	EUR	-1,610.00	-855.00
11SEP17	S	2	MAR18	13600.00	Call	86.50	161.00	EUR	-1,610.00	-745.00
21SEP17	S	1	MAR18	13600.00	Call	90.10	161.00	EUR	-805.00	-354.50
	NET	-5 AVG SOLD 82.82							-4,025.00	-1,954.50
28SEP17	S	1	MAR18	13800.00	Call	65.50	105.30	EUR	-526.50	-199.00
29SEP17	S	2	MAR18	13800.00	Call	76.50	105.30	EUR	-1,053.00	-288.00
06OCT17	S	1	MAR18	13800.00	Call	109.70	105.30	EUR	-526.50	22.00
	NET	-4 AVG SOLD 82.05							-2,106.00	-465.00
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>									
08SEP17	B	1	DEC17			50.00	51.60	USD	1,600.00	
21SEP17	B	1	DEC17			50.87	51.60	USD	730.00	
25SEP17	B	1	DEC17			51.04	51.60	USD	560.00	
06OCT17	B	1	DEC17			50.93	51.60	USD	670.00	
	NET	+4 AVG BGHT 50.71							3,560.00	0.00
25SEP17	B	1	JUN18			51.49	52.06	USD	570.00	
25SEP17	B	1	JUN18			52.50	52.06	USD	-440.00	
09OCT17	B	1	JUN18			50.58	52.06	USD	1,480.00	
	NET	+3 AVG BGHT 51.52							1,610.00	0.00
15SEP17	S	1	DEC18			51.10	51.65	USD	-550.00	
19SEP17	S	1	DEC18			51.09	51.65	USD	-560.00	
21SEP17	S	1	DEC18			51.19	51.65	USD	-460.00	
25SEP17	S	1	DEC18			51.33	51.65	USD	-320.00	
29SEP17	S	1	DEC18			51.45	51.65	USD	-200.00	
04OCT17	S	2	DEC18			50.44	51.65	USD	-2,420.00	
06OCT17	S	1	DEC18			51.21	51.65	USD	-440.00	
09OCT17	S	1	DEC18			50.38	51.65	USD	-1,270.00	
	NET	-9 AVG SOLD 50.96							-6,220.00	0.00
04OCT17	B	2	DEC19			49.75	50.76	USD	2,020.00	
	NET	+2 AVG BGHT 49.75							2,020.00	0.00
02JUN17	B	1	DEC20			49.35	50.41	USD	1,060.00	
31JUL17	B	1	DEC20			51.62	50.41	USD	-1,210.00	
	NET	+2 AVG BGHT 50.49							-150.00	0.00
	<u>CMX CME GLOBEX COMEX MINY GOLD 50 CMX</u>									
22SEP17	S	4	DEC17			1297.50	1288.90	USD	1,720.00	
	NET	-4 AVG SOLD 1297.50							1,720.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>									
26SEP17	S	2	DEC17			52.41	51.60	USD	1,620.00	
26SEP17	S	1	DEC17			52.14	51.60	USD	540.00	
28SEP17	S	1	DEC17			51.91	51.60	USD	310.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
29SEP17	S 1 DEC17	51.77	51.60	USD	170.00	
10OCT17	S 1 DEC17	51.25	51.60	USD	-350.00	
	NET -6 AVG SOLD 51.98				2,290.00	0.00
01SEP17	S 1 JAN18	48.83	51.80	USD	-2,970.00	
04OCT17	S 1 JAN18	50.71	51.80	USD	-1,090.00	
04OCT17	S 1 JAN18	50.50	51.80	USD	-1,300.00	
04OCT17	S 1 JAN18	50.48	51.80	USD	-1,320.00	
10OCT17	S 1 JAN18	51.46	51.80	USD	-340.00	
	NET -5 AVG SOLD 50.40				-7,020.00	0.00
18SEP17	S 1 APR18	50.91	52.09	USD	-1,180.00	
18SEP17	S 1 APR18	51.00	52.09	USD	-1,090.00	
18SEP17	S 1 APR18	51.16	52.09	USD	-930.00	
19SEP17	S 1 APR18	51.46	52.09	USD	-630.00	
20SEP17	S 1 APR18	51.57	52.09	USD	-520.00	
25SEP17	S 1 APR18	51.91	52.09	USD	-180.00	
25SEP17	S 1 APR18	52.47	52.09	USD	380.00	
26SEP17	S 1 APR18	52.71	52.09	USD	620.00	
	NET -8 AVG SOLD 51.65				-3,530.00	0.00
22SEP17	S 1 JUN18	51.56	52.06	USD	-500.00	
26SEP17	S 1 JUN18	52.42	52.06	USD	360.00	
28SEP17	S 1 JUN18	52.46	52.06	USD	400.00	
04OCT17	S 1 JUN18	50.89	52.06	USD	-1,170.00	
	NET -4 AVG SOLD 51.83				-910.00	0.00
18SEP17	B 1 JUL18	51.45	52.01	USD	560.00	
	NET +1 AVG BGHT 51.45				560.00	0.00
18SEP17	B 1 SEP18	50.94	51.87	USD	930.00	
18SEP17	B 1 SEP18	51.08	51.87	USD	790.00	
19SEP17	B 1 SEP18	51.35	51.87	USD	520.00	
20SEP17	B 1 SEP18	51.37	51.87	USD	500.00	
21SEP17	B 4 SEP18	51.30	51.87	USD	2,280.00	
25SEP17	B 1 SEP18	51.66	51.87	USD	210.00	
25SEP17	B 1 SEP18	52.12	51.87	USD	-250.00	
26SEP17	B 1 SEP18	52.11	51.87	USD	-240.00	
	NET +11 AVG BGHT 51.44				4,740.00	0.00
09OCT17	S 1 DEC18	50.32	51.65	USD	-1,330.00	
09OCT17	S 3 DEC18	50.31	51.65	USD	-4,020.00	
	NET -4 AVG SOLD 50.31				-5,350.00	0.00
09OCT17	B 1 JUN19	50.02	51.13	USD	1,110.00	
	NET +1 AVG BGHT 50.02				1,110.00	0.00
21SEP17	B 1 DEC19	50.74	50.76	USD	20.00	
22SEP17	B 1 DEC19	50.87	50.76	USD	-110.00	
22SEP17	B 1 DEC19	50.90	50.76	USD	-140.00	
27SEP17	B 1 DEC19	50.90	50.76	USD	-140.00	
03OCT17	B 1 DEC19	49.86	50.76	USD	900.00	
04OCT17	B 1 DEC19	50.00	50.76	USD	760.00	
06OCT17	B 1 DEC19	50.50	50.76	USD	260.00	
	NET +7 AVG BGHT 50.54				1,550.00	0.00
07SEP17	B 1 DEC20	51.53	50.41	USD	-1,120.00	
12SEP17	B 1 DEC20	50.91	50.41	USD	-500.00	
13SEP17	B 1 DEC20	50.79	50.41	USD	-380.00	
13SEP17	B 1 DEC20	50.77	50.41	USD	-360.00	
18SEP17	B 1 DEC20	50.99	50.41	USD	-580.00	
09OCT17	B 1 DEC20	49.79	50.41	USD	620.00	
	NET +6 AVG BGHT 50.80				-2,320.00	0.00
10OCT17	B 1 DEC21	50.18	50.37	USD	190.00	
	NET +1 AVG BGHT 50.18					0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>				190.00	
	<u>CMX GOLD 100 CMX</u>					
22SEP17	S 2 DEC17	1297.5	1288.9	USD	1,720.00	
22SEP17	S 1 DEC17	1299.4	1288.9	USD	1,050.00	
22SEP17	S 1 DEC17	1299.7	1288.9	USD	1,080.00	
22SEP17	S 1 DEC17	1300.0	1288.9	USD	1,110.00	
	NET -5 AVG SOLD 1298.8				4,960.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
08SEP17	S 1 DEC17	54.52	56.94	USD	-2,420.00	
21SEP17	S 1 DEC17	55.68	56.94	USD	-1,260.00	
25SEP17	S 1 DEC17	56.88	56.94	USD	-60.00	
06OCT17	S 1 DEC17	56.85	56.94	USD	-90.00	
	NET -4 AVG SOLD 55.98				-3,830.00	0.00
25SEP17	S 1 JUN18	55.71	56.04	USD	-330.00	
25SEP17	S 1 JUN18	56.96	56.04	USD	920.00	
09OCT17	S 1 JUN18	54.83	56.04	USD	-1,210.00	
	NET -3 AVG SOLD 55.83				-620.00	0.00
15SEP17	B 1 DEC18	54.96	55.34	USD	380.00	
19SEP17	B 1 DEC18	54.99	55.34	USD	350.00	
21SEP17	B 1 DEC18	54.86	55.34	USD	480.00	
25SEP17	B 1 DEC18	55.35	55.34	USD	-10.00	
29SEP17	B 1 DEC18	55.43	55.34	USD	-90.00	
04OCT17	B 2 DEC18	54.44	55.34	USD	1,800.00	
06OCT17	B 1 DEC18	55.22	55.34	USD	120.00	
09OCT17	B 1 DEC18	54.34	55.34	USD	1,000.00	
	NET +9 AVG BGHT 54.89				4,030.00	0.00
04OCT17	S 2 DEC19	54.02	54.65	USD	-1,260.00	
	NET -2 AVG SOLD 54.02				-1,260.00	0.00
02JUN17	S 1 DEC20	52.32	54.70	USD	-2,380.00	
31JUL17	S 1 DEC20	54.98	54.70	USD	280.00	
	NET -2 AVG SOLD 53.65				-2,100.00	0.00
	<u>CBT MINI SOYBEAN 1,000 CBT</u>					
03OCT17	S 1 NOV17	9.54500	9.65250	USD	-107.50	
09OCT17	S 1 NOV17	9.70500	9.65250	USD	52.50	
	NET -2 AVG SOLD 9.62500				-55.00	0.00
	<u>NYM PLATINUM 50 NYM</u>					
22SEP17	B 3 JAN18	937.00	933.20	USD	-570.00	
22SEP17	B 3 JAN18	936.10	933.20	USD	-435.00	
	NET +6 AVG BGHT 936.55				-1,005.00	0.00

OPEN POSITION STATEMENT



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SAP0023C

11th October 2017

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London W1J 7NJ UK
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OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	12,519.00	
IEU WTI Crude Oil Futures	FUTURES		-99,250.00
CMX CME Globex COMEX miNY Gold	FUTURES		259,500.00
NYM Light Sweet Crude Oil	FUTURES		9,610.00
CMX Gold	FUTURES		649,410.00
IEU Brent Crude	FUTURES		112,740.00
CBT Mini Soybean	FUTURES		19,250.00
NYM Platinum	FUTURES		-280,965.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CLF - Futures	USD 820.00	820.00		
CMQO - Futures	USD 1,720.00	1,720.00		
COIL - Futures	USD -8,690.00	-8,690.00		
GOLD - Futures	USD 4,960.00	4,960.00		
LCO - Futures	USD -3,780.00	-3,780.00		
MBEN - Futures	USD -55.00	-55.00		
PLAT - Futures	USD -1,005.00	-1,005.00	-6,030.00	-6,030.00
Net Premium Options Market Value				
DAXO - Options	EUR -21,182.50	-8,663.50	-21,182.50	-8,663.50

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	24,813.68	87,059.31	116,448.63
Variation Margins - Futures	0.00	-6,030.00	-6,030.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	24,813.68	81,029.31	110,418.63
Initial Margins	-51,986.53	-64,161.00	-125,733.85
Excess/(Shortage)	-27,172.85	16,868.31	-15,315.22
FOR INFORMATION ONLY			
Net Premium Option Market Value	-21,182.50	0.00	-25,088.55
Net Liquidating Value	3,631.18	81,029.31	85,330.08

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0023**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	32,175.25	138,271.82	176,380.19
Variation Margins	- Futures 0.00	-7,305.00	-7,305.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	32,175.25	130,966.82	169,075.19
Initial Margins	-52,067.68	-75,144.00	-136,812.96
Excess/(Shortage)	-19,892.43	55,822.82	32,262.23
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-21,245.00	-1,445.00	-26,607.58
Net Liquidating Value	10,930.25	129,521.82	142,467.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0024A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	DOW JONES EURO STOXX 50 10 ERX					
	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				-100.00	700.00
11AUG17	S 100 DEC17 1850.00 Put	1.20	0.10	EUR	-100.00	1,100.00
	NET -100 AVG SOLD 1.20				-100.00	1,100.00
	FTSE 100 (EUROPEAN) OPTION 10 LTO					
04OCT17	S 50 OCT17 6500.0 Put	1.0	0.5	GBP	-250.00	250.00
	NET -50 AVG SOLD 1.0				-250.00	250.00

OPEN POSITION VALUE

		EUR	GBP
Dow Jones EURO Stoxx 50	OPTIONS	2,000.00	
FTSE 100 (European) Option	OPTIONS		500.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-200.00	1,800.00	-200.00	1,800.00
FTSO - Options GBP	-250.00	250.00	-250.00	250.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
CASH BALANCE	(1.18440)	(1.31890)	(1.00000)
Variation Margins - Futures	54,346.71	10,482.80	1,889.47
- Options	0.00	0.00	0.00
TOTAL EQUITY	54,346.71	10,482.80	1,889.47
Initial Margins	-564.86	-10,750.00	0.00
Excess/(Shortage)	53,781.85	-267.20	1,889.47
FOR INFORMATION ONLY			
Net Premium Option Market Value	-200.00	-250.00	0.00
Net Liquidating Value	54,146.71	10,232.80	1,889.47
	NETT USD		
CASH BALANCE	(1.00000)		
Variation Margins - Futures	80,083.47		
- Options	0.00		
TOTAL EQUITY	80,083.47		
Initial Margins	-14,847.19		
Excess/(Shortage)	65,236.28		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-566.60		
Net Liquidating Value	79,516.87		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0024B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u> S 100 OCT17 2450.00 Put NET -100 AVG SOLD 0.80	0.80	0.10	EUR	-100.00 -100.00	700.00 700.00
22SEP17	<u>FTSE 100 (EUROPEAN) OPTION 10 LTO</u> S 100 OCT17 6000.0 Put NET -100 AVG SOLD 1.0	1.0	0.0	GBP	0.00 0.00	1,000.00 1,000.00
04OCT17	S 50 OCT17 6500.0 Put NET -50 AVG SOLD 1.0	1.0	0.5	GBP	-250.00 -250.00	250.00 250.00
13SEP17	S 50 DEC17 5200.0 Put NET -50 AVG SOLD 2.5	2.5	1.0	GBP	-500.00 -500.00	750.00 750.00
13SEP17	S 50 DEC17 5300.0 Put	2.5	1.0	GBP	-500.00	750.00
13SEP17	S 50 DEC17 5300.0 Put NET -100 AVG SOLD 2.8	3.0	1.0	GBP	-500.00 -1,000.00	1,000.00 1,750.00
	<u>CME EURO STYLE OPTIONS ON BRITISH POUND/US DOLLAR FUTURE WK2</u>					
15SEP17	S 16 OCT17 1.41500 Call NET -16 AVG SOLD 0.00130	0.00130	0.00010	USD	-100.00 -100.00	1,200.00 1,200.00

OPEN POSITION VALUE

		<u>EUR</u>	<u>GBP</u>	<u>USD</u>
Dow Jones EURO Stoxx 50	OPTIONS	800.00		
FTSE 100 (European) Option	OPTIONS		5,500.00	
CME Euro Style Options on	OPTIONS			1,300.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options	EUR	-100.00	700.00	-100.00	700.00
FTSO - Options	GBP	-1,750.00	3,750.00	-1,750.00	3,750.00
2BP - Options	USD	-100.00	1,200.00	-100.00	1,200.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0024B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	3,650.00	122,997.00	1,276.00
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	3,650.00	122,997.00	1,276.00
Initial Margins	-448.24	-66,500.00	-468.00
Excess/(Shortage)	3,201.76	56,497.00	808.00
FOR INFORMATION ONLY			
Net Premium Option Market Value	-100.00	-1,750.00	-100.00
Net Liquidating Value	3,550.00	121,247.00	1,176.00
	NETT USD		
	(1.00000)		
CASH BALANCE	167,819.80		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	167,819.80		
Initial Margins	-88,705.75		
Excess/(Shortage)	79,114.05		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-2,526.51		
Net Liquidating Value	165,293.29		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0024
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0024**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	57,996.71	133,479.80	3,165.47
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	57,996.71	133,479.80	3,165.47
Initial Margins	-1,004.93	-77,250.00	-468.00
Excess/(Shortage)	56,991.78	56,229.80	2,697.47

FOR INFORMATION ONLY

Net Premium Option Market Value	-300.00	-2,000.00	-100.00
Net Liquidating Value	57,696.71	131,479.80	3,065.47

	NET USD
	(1.00000)
CASH BALANCE	247,903.28
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	247,903.28
Initial Margins	-103,543.26
Excess/(Shortage)	144,360.02

FOR INFORMATION ONLY

Net Premium Option Market Value	-3,093.12
Net Liquidating Value	244,810.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	-4,960.71	-649.17	1,421,195.15
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-4,960.71	-649.17	1,421,195.15
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-4,960.71	-649.17	1,421,195.15
FOR INFORMATION ONLY			
Net Liquidating Value	-4,960.71	-649.17	1,421,195.15
	NETT USD		
	(1.00000)		
CASH BALANCE	1,414,463.50		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	1,414,463.50		
Initial Margins	0.00		
Excess/(Shortage)	1,414,463.50		
FOR INFORMATION ONLY			
Net Liquidating Value	1,414,463.50		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
20SEP17	S 1 NOV17	50.38	51.30	USD	-920.00	
20SEP17	S 1 NOV17	50.47	51.30	USD	-830.00	
20SEP17	S 2 NOV17	50.48	51.30	USD	-1,640.00	
20SEP17	S 1 NOV17	50.45	51.30	USD	-850.00	
20SEP17	S 1 NOV17	50.46	51.30	USD	-840.00	
	NET -6 AVG SOLD 50.45				-5,080.00	0.00
10OCT17	S 5 NOV17 56.50 Call	0.01	0.01	USD	-50.00	0.00
	NET -5 AVG SOLD 0.01				-50.00	0.00
20SEP17	S 10 NOV17 61.00 Call	0.02	0.01	USD	-100.00	100.00
27SEP17	S 5 NOV17 61.00 Call	0.02	0.01	USD	-50.00	50.00
	NET -15 AVG SOLD 0.02				-150.00	150.00
20SEP17	S 20 NOV17 61.50 Call	0.02	0.01	USD	-200.00	200.00
29SEP17	S 1 NOV17 61.50 Call	0.01	0.01	USD	-10.00	0.00
	NET -21 AVG SOLD 0.02				-210.00	200.00
22SEP17	S 10 NOV17 63.00 Call	0.01	0.01	USD	-100.00	0.00
	NET -10 AVG SOLD 0.01				-100.00	0.00
22SEP17	S 1 NOV17 63.50 Call	0.01	0.01	USD	-10.00	0.00
	NET -1 AVG SOLD 0.01				-10.00	0.00
20SEP17	S 20 NOV17 64.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	S 1 PD13OCT17	5863.00	6756.00	USD	-22,325.00	
13JUL17	S 1 PD13OCT17	5865.50	6756.00	USD	-22,262.50	
14JUL17	B 1 PD13OCT17	5915.50	6756.00	USD	21,012.50	
14JUL17	B 1 PD13OCT17	5917.00	6756.00	USD	20,975.00	
14JUL17	S 1 PD13OCT17	5923.00	6756.00	USD	-20,825.00	
14JUL17	S 1 PD13OCT17	5925.50	6756.00	USD	-20,762.50	
19JUL17	B 2 PD13OCT17	5965.87	6756.00	USD	39,506.50	
	NET 0 AVG BGHT 5941.06 SOLD 5894.25				-4,681.00	0.00
17JUL17	S 1 PD17OCT17	5956.50	6759.20	USD	-20,067.50	
17JUL17	B 1 PD17OCT17	6000.00	6759.20	USD	18,980.00	
17JUL17	B 1 PD17OCT17	6004.50	6759.20	USD	18,867.50	
17JUL17	B 1 PD17OCT17	5997.00	6759.20	USD	19,055.00	
10AUG17	S 2 PD17OCT17	6416.00	6759.20	USD	-17,160.00	
	NET 0 AVG BGHT 6000.50 SOLD 6262.83				19,675.00	0.00
19JUL17	S 1 PD19OCT17	5984.50	6760.88	USD	-19,409.50	
10AUG17	B 1 PD19OCT17	6415.45	6760.88	USD	8,635.75	
	NET 0 AVG BGHT 6415.45 SOLD 5984.50				-10,773.75	0.00
20JUL17	S 1 PD20OCT17	5987.00	6761.75	USD	-19,368.75	
20JUL17	B 1 PD20OCT17	5980.50	6761.75	USD	19,531.25	
21JUL17	B 1 PD20OCT17	6033.50	6761.75	USD	18,206.25	
21JUL17	B 1 PD20OCT17	6036.00	6761.75	USD	18,143.75	
21JUL17	B 1 PD20OCT17	6036.50	6761.75	USD	18,131.25	
21JUL17	S 2 PD20OCT17	5994.00	6761.75	USD	-38,387.50	
	NET +1AVG BGHT 6021.63 SOLD 5991.67				16,256.25	0.00
24JUL17	S 1 PD24OCT17	6002.00	6765.25	USD	-19,081.25	
10AUG17	B 1 PD24OCT17	6416.16	6765.25	USD	8,727.25	
	NET 0 AVG BGHT 6416.16 SOLD 6002.00				-10,354.00	0.00
25JUL17	B 1 PD25OCT17	6149.00	6765.68	USD	15,417.00	
25JUL17	B 1 PD25OCT17	6178.50	6765.68	USD	14,679.50	
25JUL17	B 1 PD25OCT17	6180.00	6765.68	USD	14,642.00	
25JUL17	B 1 PD25OCT17	6205.00	6765.68	USD	14,017.00	
25JUL17	B 1 PD25OCT17	6239.00	6765.68	USD	13,167.00	
25JUL17	B 1 PD25OCT17	6257.00	6765.68	USD	12,717.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025A

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET +6 AVG BGHT 6201.42				84,639.50	0.00
26JUL17	B 1 PD26OCT17	6276.00	6766.11	USD	12,252.75	
	NET +1 AVG BGHT 6276.00				12,252.75	0.00
27JUL17	S 1 PD27OCT17	6332.00	6766.54	USD	-10,863.50	
10AUG17	B 1 PD27OCT17	6417.45	6766.54	USD	8,727.25	
	NET 0 AVG BGHT 6417.45 SOLD 6332.00				-2,136.25	0.00
02AUG17	B 1 PD02NOV17	6327.50	6770.10	USD	11,065.00	
	NET +1 AVG BGHT 6327.50				11,065.00	0.00
03AUG17	S 1 PD03NOV17	6345.00	6771.03	USD	-10,650.75	
10AUG17	B 1 PD03NOV17	6420.33	6771.03	USD	8,767.50	
	NET 0 AVG BGHT 6420.33 SOLD 6345.00				-1,883.25	0.00
08AUG17	B 1 PD08NOV17	6476.50	6775.65	USD	7,478.75	
	NET +1 AVG BGHT 6476.50				7,478.75	0.00
18AUG17	S 1 PD17NOV17	6482.50	6776.78	USD	-7,357.00	
18AUG17	S 1 PD17NOV17	6492.50	6776.78	USD	-7,107.00	
18AUG17	B 2 PD17NOV17	6487.50	6776.78	USD	14,464.00	
	NET 0 AVG BGHT 6487.50 SOLD 6487.50				0.00	0.00
22AUG17	B 1 PD22NOV17	6612.00	6779.45	USD	4,186.25	
22AUG17	B 1 PD22NOV17	6611.00	6779.45	USD	4,211.25	
22AUG17	B 1 PD22NOV17	6613.50	6779.45	USD	4,148.75	
22AUG17	B 1 PD22NOV17	6585.50	6779.45	USD	4,848.75	
22AUG17	B 1 PD22NOV17	6590.50	6779.45	USD	4,723.75	
	NET +5 AVG BGHT 6602.50				22,118.75	0.00
24AUG17	S 1 PD24NOV17	6705.00	6780.52	USD	-1,888.00	
25AUG17	S 1 PD24NOV17	6704.00	6780.52	USD	-1,913.00	
	NET -2 AVG SOLD 6704.50				-3,801.00	0.00
29AUG17	S 2 PD29NOV17	6763.00	6783.18	USD	-1,009.00	
29AUG17	B 1 PD29NOV17	6778.00	6783.18	USD	129.50	
29AUG17	S 1 PD29NOV17	6765.50	6783.18	USD	-442.00	
29AUG17	S 1 PD29NOV17	6780.50	6783.18	USD	-67.00	
29AUG17	S 1 PD29NOV17	6796.50	6783.18	USD	333.00	
29AUG17	B 1 PD29NOV17	6802.50	6783.18	USD	-483.00	
	NET -3 AVG BGHT 6790.25 SOLD 6773.70				-1,538.50	0.00
18SEP17	S 1 PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S 1 PD18DEC17	6536.50	6792.50	USD	-6,400.00	
18SEP17	S 1 PD18DEC17	6538.00	6792.50	USD	-6,362.50	
	NET -3 AVG SOLD 6536.83				-19,175.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28AUG17	S 2 DEC17	309.150	309.550	USD	-200.00	
29AUG17	S 2 DEC17	309.200	309.550	USD	-175.00	
29AUG17	S 20 DEC17	310.800	309.550	USD	6,250.00	
05OCT17	S 8 DEC17	304.500	309.550	USD	-10,100.00	
	NET -32 AVG SOLD 309.022				-4,225.00	0.00
	<u>CMX GOLD 100 CMX</u>					
21AUG17	S 1 DEC17	1296.4	1288.9	USD	750.00	
	NET -1 AVG SOLD 1296.4				750.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	9215.00	11060.20	USD	11,071.20	
12JUL17	B 2 PD12OCT17	9205.00	11060.20	USD	22,262.40	
23AUG17	S 1 PD12OCT17	11633.06	11060.20	USD	3,437.16	
09OCT17	S 2 PD12OCT17	10930.64	11060.20	USD	-1,554.72	
	NET 0 AVG BGHT 9208.33 SOLD 11164.78				35,216.04	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD130CT17	9185.00	11061.00	USD	11,256.00	
14JUL17	B	2	PD130CT17	9535.00	11061.00	USD	18,312.00	
09OCT17	S	3	PD130CT17	10931.79	11061.00	USD	-2,325.78	
	NET	0 AVG	BGHT 9418.33				27,242.22	0.00
17JUL17	S	1	PD170CT17	9610.00	11064.20	USD	-8,725.20	
17JUL17	B	1	PD170CT17	9615.00	11064.20	USD	8,695.20	
	NET	0 AVG	BGHT 9615.00				-30.00	0.00
21JUL17	B	1	PD200CT17	9535.00	11066.93	USD	9,191.58	
09OCT17	S	1	PD200CT17	10939.61	11066.93	USD	-763.92	
	NET	0 AVG	BGHT 9535.00				8,427.66	0.00
25JUL17	S	1	PD250CT17	9995.00	11071.75	USD	-6,460.50	
10AUG17	B	1	PD250CT17	10976.61	11071.75	USD	570.84	
	NET	0 AVG	BGHT 10976.61				-5,889.66	0.00
04AUG17	S	1	PD03NOV17	10315.00	11080.43	USD	-4,592.58	
10AUG17	B	1	PD03NOV17	10981.89	11080.43	USD	591.24	
	NET	0 AVG	BGHT 10981.89				-4,001.34	0.00
08AUG17	B	1	PD08NOV17	10590.00	11085.25	USD	2,971.50	
09OCT17	S	1	PD08NOV17	10959.63	11085.25	USD	-753.72	
	NET	0 AVG	BGHT 10590.00				2,217.78	0.00
18AUG17	S	1	PD17NOV17	10990.00	11093.54	USD	-621.24	
23AUG17	B	1	PD17NOV17	11656.63	11093.54	USD	-3,378.54	
	NET	0 AVG	BGHT 11656.63				-3,999.78	0.00
22AUG17	S	1	PD22NOV17	11350.00	11097.40	USD	1,515.60	
22AUG17	S	1	PD22NOV17	11470.00	11097.40	USD	2,235.60	
22AUG17	S	1	PD22NOV17	11390.00	11097.40	USD	1,755.60	
22AUG17	S	1	PD22NOV17	11395.00	11097.40	USD	1,785.60	
23AUG17	B	4	PD22NOV17	11659.75	11097.40	USD	-13,496.40	
	NET	0 AVG	BGHT 11659.75				-6,204.00	0.00
23AUG17	S	1	PD24NOV17	11390.00	11098.94	USD	1,746.36	
23AUG17	S	1	PD24NOV17	11395.00	11098.94	USD	1,776.36	
23AUG17	S	1	PD24NOV17	11440.00	11098.94	USD	2,046.36	
23AUG17	S	1	PD24NOV17	11475.00	11098.94	USD	2,256.36	
23AUG17	S	1	PD24NOV17	11545.00	11098.94	USD	2,676.36	
23AUG17	S	1	PD24NOV17	11580.00	11098.94	USD	2,886.36	
23AUG17	S	1	PD24NOV17	11645.00	11098.94	USD	3,276.36	
23AUG17	B	1	PD24NOV17	11720.00	11098.94	USD	-3,726.36	
09OCT17	B	6	PD24NOV17	10975.84	11098.94	USD	4,431.60	
	NET	0 AVG	BGHT 11082.15				17,369.76	0.00
30AUG17	S	1	PD30NOV17	11595.00	11103.57	USD	2,948.58	
09OCT17	B	1	PD30NOV17	10981.07	11103.57	USD	735.00	
	NET	0 AVG	BGHT 10981.07				3,683.58	0.00
	<u>CMX SILVER 5,000 CMX</u>							
07SEP17	B	1	DEC17	1811.0	1713.3	USD	-4,885.00	
	NET	+1 AVG	BGHT 1811.0				-4,885.00	0.00

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OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	302,720.00
NYM Light Sweet Crude Oil	OPTIONS	1,070.00
LME Copper Grade A 25	FUTURES	-1,062,778.25
CMX Copper	FUTURES	2,472,175.00
CMX Gold	FUTURES	129,640.00
LME Nickel 6 tonnes. This is	FUTURES	74,032.26
CMX Silver	FUTURES	-90,550.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-5,080.00	-5,080.00		
COPR - Futures USD	-4,225.00	-4,225.00		
GOLD - Futures USD	750.00	750.00		
SILV - Futures USD	-4,885.00	-4,885.00	-13,440.00	-13,440.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	119,143.25	119,143.25		
NKL - Futures USD	74,032.26	74,032.26	193,175.51	193,175.51
Net Premium Options Market Value				
COIL - Options USD	-720.00	350.00	-720.00	350.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-207,372.70	-207,372.70
Variation Margins - Futures	-13,440.00	-13,440.00
- Options	0.00	0.00
TOTAL EQUITY	-220,812.70	-220,812.70
Initial Margins	-121,070.00	-121,070.00
Excess/(Shortage)	-341,882.70	-341,882.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	193,175.51	193,175.51
Net Premium Option Market Value	-720.00	-720.00
Net Liquidating Value	-28,357.19	-28,357.19

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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
09OCT17	B 4 NOV17	49.72	51.30	USD	6,320.00	
	NET +4 AVG BGHT 49.72				6,320.00	0.00
20SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
20SEP17	S 40 NOV17 64.00 Call	0.01	0.01	USD	-400.00	0.00
	NET -40 AVG SOLD 0.01				-400.00	0.00
20SEP17	S 20 NOV17 64.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	5900.50	6755.20	USD	21,367.50	
10AUG17	S 1 PD12OCT17	6413.02	6755.20	USD	-8,554.50	
	NET 0 AVG BGHT 5900.50 SOLD 6413.02				12,813.00	0.00
13JUL17	S 1 PD13OCT17	5863.00	6756.00	USD	-22,325.00	
14JUL17	S 1 PD13OCT17	5880.00	6756.00	USD	-21,900.00	
19JUL17	B 2 PD13OCT17	5965.87	6756.00	USD	39,506.50	
	NET 0 AVG BGHT 5965.87 SOLD 5871.50				-4,718.50	0.00
17JUL17	S 1 PD17OCT17	5970.00	6759.20	USD	-19,730.00	
17JUL17	S 1 PD17OCT17	5976.00	6759.20	USD	-19,580.00	
17JUL17	B 1 PD17OCT17	5998.00	6759.20	USD	19,030.00	
19JUL17	B 1 PD17OCT17	5967.53	6759.20	USD	19,791.75	
	NET 0 AVG BGHT 5982.77 SOLD 5973.00				-488.25	0.00
18JUL17	B 1 PD18OCT17	6004.00	6760.00	USD	18,900.00	
10AUG17	S 1 PD18OCT17	6416.25	6760.00	USD	-8,593.75	
	NET 0 AVG BGHT 6004.00 SOLD 6416.25				10,306.25	0.00
21JUL17	B 2 PD20OCT17	6036.00	6761.75	USD	36,287.50	
10AUG17	S 2 PD20OCT17	6414.25	6761.75	USD	-17,375.00	
	NET 0 AVG BGHT 6036.00 SOLD 6414.25				18,912.50	0.00
24JUL17	S 2 PD24OCT17	5995.00	6765.25	USD	-38,512.50	
24JUL17	S 1 PD24OCT17	5998.50	6765.25	USD	-19,168.75	
10AUG17	B 2 PD24OCT17	6416.16	6765.25	USD	17,454.50	
10AUG17	B 1 PD24OCT17	6415.96	6765.25	USD	8,732.25	
	NET 0 AVG BGHT 6416.09 SOLD 5996.17				-31,494.50	0.00
25JUL17	B 2 PD25OCT17	6190.00	6765.68	USD	28,784.00	
25JUL17	B 2 PD25OCT17	6192.00	6765.68	USD	28,684.00	
10AUG17	S 1 PD25OCT17	6416.39	6765.68	USD	-8,732.25	
23AUG17	S 3 PD25OCT17	6555.92	6765.68	USD	-15,732.00	
	NET 0 AVG BGHT 6191.00 SOLD 6521.04				33,003.75	0.00
26JUL17	B 1 PD26OCT17	6271.50	6766.11	USD	12,365.25	
26JUL17	B 2 PD26OCT17	6273.50	6766.11	USD	24,630.50	
26JUL17	S 1 PD26OCT17	6347.00	6766.11	USD	-10,477.75	
26JUL17	S 1 PD26OCT17	6339.00	6766.11	USD	-10,677.75	
10AUG17	S 1 PD26OCT17	6416.62	6766.11	USD	-8,737.25	
	NET 0 AVG BGHT 6272.83 SOLD 6367.54				7,103.00	0.00
28JUL17	S 2 PD27OCT17	6343.00	6766.54	USD	-21,177.00	
10AUG17	B 2 PD27OCT17	6417.45	6766.54	USD	17,454.50	
	NET 0 AVG BGHT 6417.45 SOLD 6343.00				-3,722.50	0.00
02AUG17	B 1 PD02NOV17	6336.00	6770.10	USD	10,852.50	
23AUG17	S 1 PD02NOV17	6560.00	6770.10	USD	-5,252.50	
	NET 0 AVG BGHT 6336.00 SOLD 6560.00				5,600.00	0.00
03AUG17	S 1 PD03NOV17	6345.00	6771.03	USD	-10,650.75	
10AUG17	B 1 PD03NOV17	6420.33	6771.03	USD	8,767.50	
	NET 0 AVG BGHT 6420.33 SOLD 6345.00				-1,883.25	0.00
15AUG17	S 2 PD15NOV17	6371.00	6776.50	USD	-20,275.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	B 2 PD15NOV17	6565.70	6776.50	USD	10,540.00	
	NET 0 AVG BGHT 6565.70 SOLD 6371.00				-9,735.00	0.00
18AUG17	S 2 PD17NOV17	6496.00	6776.78	USD	-14,039.00	
23AUG17	B 2 PD17NOV17	6563.89	6776.78	USD	10,644.50	
	NET 0 AVG BGHT 6563.89 SOLD 6496.00				-3,394.50	0.00
21AUG17	B 2 PD21NOV17	6596.50	6778.92	USD	9,121.00	
21AUG17	B 2 PD21NOV17	6597.00	6778.92	USD	9,096.00	
21AUG17	B 2 PD21NOV17	6588.00	6778.92	USD	9,546.00	
	NET +6 AVG BGHT 6593.83				27,763.00	0.00
22AUG17	B 5 PD22NOV17	6589.00	6779.45	USD	23,806.25	
	NET +5 AVG BGHT 6589.00				23,806.25	0.00
24AUG17	S 2 PD24NOV17	6623.00	6780.52	USD	-7,876.00	
24AUG17	S 2 PD24NOV17	6713.00	6780.52	USD	-3,376.00	
25AUG17	S 4 PD24NOV17	6704.00	6780.52	USD	-7,652.00	
25AUG17	S 2 PD24NOV17	6702.50	6780.52	USD	-3,901.00	
25AUG17	S 2 PD24NOV17	6703.50	6780.52	USD	-3,851.00	
	NET -12 AVG SOLD 6691.67				-26,656.00	0.00
29AUG17	B 1 PD29NOV17	6811.00	6783.18	USD	-695.50	
29AUG17	B 1 PD29NOV17	6792.50	6783.18	USD	-233.00	
	NET +2 AVG BGHT 6801.75				-928.50	0.00
05OCT17	B 2 PD05JAN18	6698.50	6797.20	USD	4,935.00	
06OCT17	B 2 PD05JAN18	6714.00	6797.20	USD	4,160.00	
	NET +4 AVG BGHT 6706.25				9,095.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
21AUG17	S 2 DEC17	301.050	309.550	USD	-4,250.00	
21AUG17	S 4 DEC17	300.750	309.550	USD	-8,800.00	
29AUG17	S 15 DEC17	309.300	309.550	USD	-937.50	
29AUG17	S 2 DEC17	310.750	309.550	USD	600.00	
29AUG17	S 2 DEC17	309.950	309.550	USD	200.00	
05SEP17	S 1 DEC17	317.050	309.550	USD	1,875.00	
05SEP17	S 2 DEC17	317.100	309.550	USD	3,775.00	
05SEP17	S 2 DEC17	317.000	309.550	USD	3,725.00	
05OCT17	S 4 DEC17	301.000	309.550	USD	-8,550.00	
05OCT17	S 4 DEC17	301.050	309.550	USD	-8,500.00	
05OCT17	S 4 DEC17	301.500	309.550	USD	-8,050.00	
05OCT17	S 4 DEC17	303.750	309.550	USD	-5,800.00	
05OCT17	S 4 DEC17	304.550	309.550	USD	-5,000.00	
06OCT17	S 4 DEC17	305.100	309.550	USD	-4,450.00	
11OCT17	S 4 DEC17	309.750	309.550	USD	200.00	
11OCT17	S 4 DEC17	309.800	309.550	USD	250.00	
	NET -62 AVG SOLD 306.730				-43,712.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	9235.00	11060.20	USD	10,951.20	
12JUL17	B 5 PD12OCT17	9220.00	11060.20	USD	55,206.00	
12JUL17	B 1 PD12OCT17	9205.00	11060.20	USD	11,131.20	
10AUG17	S 4 PD12OCT17	10966.72	11060.20	USD	-2,243.52	
23AUG17	S 3 PD12OCT17	11633.06	11060.20	USD	10,311.48	
	NET 0 AVG BGHT 9220.00 SOLD 11252.29				85,356.36	0.00
13JUL17	B 1 PD13OCT17	9230.00	11061.00	USD	10,986.00	
23AUG17	S 1 PD13OCT17	11633.80	11061.00	USD	3,436.80	
	NET 0 AVG BGHT 9230.00 SOLD 11633.80				14,422.80	0.00
17JUL17	B 1 PD17OCT17	9615.00	11064.20	USD	8,695.20	
23AUG17	S 1 PD17OCT17	11636.76	11064.20	USD	3,435.36	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 9615.00	SOLD 11636.76				12,130.56	0.00
20JUL17	B	1 PD20OCT17		9560.00	11066.93	USD	9,041.58	
20JUL17	B	1 PD20OCT17		9600.00	11066.93	USD	8,801.58	
23AUG17	S	2 PD20OCT17		11638.50	11066.93	USD	6,858.84	
	NET	0 AVG BGHT 9580.00	SOLD 11638.50				24,702.00	0.00
08AUG17	S	1 PD08NOV17		10610.00	11085.25	USD	-2,851.50	
08AUG17	S	2 PD08NOV17		10615.00	11085.25	USD	-5,643.00	
08AUG17	S	1 PD08NOV17		10640.00	11085.25	USD	-2,671.50	
10AUG17	B	4 PD08NOV17		10984.83	11085.25	USD	2,410.08	
	NET	0 AVG BGHT 10984.83	SOLD 10620.00				-8,755.92	0.00
10AUG17	S	3 PD10NOV17		10815.00	11087.18	USD	-4,899.24	
11AUG17	S	2 PD10NOV17		10640.00	11087.18	USD	-5,366.16	
23AUG17	B	5 PD10NOV17		11654.44	11087.18	USD	-17,017.80	
	NET	0 AVG BGHT 11654.44	SOLD 10745.00				-27,283.20	0.00
21AUG17	S	1 PD21NOV17		11225.00	11096.63	USD	770.22	
21AUG17	S	1 PD21NOV17		11230.00	11096.63	USD	800.22	
23AUG17	B	2 PD21NOV17		11659.13	11096.63	USD	-6,750.00	
	NET	0 AVG BGHT 11659.13	SOLD 11227.50				-5,179.56	0.00
14SEP17	B	1 PD14DEC17		11380.00	11114.37	USD	-1,593.78	
14SEP17	S	1 PD14DEC17		11385.00	11114.37	USD	1,623.78	
	NET	0 AVG BGHT 11380.00	SOLD 11385.00				30.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
05SEP17	B	2 DEC17		1796.5	1713.3	USD	-8,320.00	
08SEP17	B	1 DEC17		1821.0	1713.3	USD	-5,385.00	
08SEP17	B	2 DEC17		1820.5	1713.3	USD	-10,720.00	
15SEP17	B	1 DEC17		1775.5	1713.3	USD	-3,110.00	
29SEP17	B	2 DEC17		1674.5	1713.3	USD	3,880.00	
	NET	+8 AVG BGHT 1772.4					-23,655.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1 PD20OCT17		2728.00	3273.00	USD	13,625.00	
21JUL17	B	1 PD20OCT17		2753.00	3273.00	USD	13,000.00	
10AUG17	S	2 PD20OCT17		2934.25	3273.00	USD	-16,937.50	
	NET	0 AVG BGHT 2740.50	SOLD 2934.25				9,687.50	0.00
25JUL17	B	1 PD25OCT17		2824.00	3264.00	USD	11,000.00	
10AUG17	S	1 PD25OCT17		2935.14	3264.00	USD	-8,221.50	
	NET	0 AVG BGHT 2824.00	SOLD 2935.14				2,778.50	0.00
28JUL17	S	1 PD27OCT17		2772.00	3260.67	USD	-12,216.75	
28JUL17	S	1 PD27OCT17		2784.00	3260.67	USD	-11,916.75	
10AUG17	B	2 PD27OCT17		2935.70	3260.67	USD	16,248.50	
	NET	0 AVG BGHT 2935.70	SOLD 2778.00				-7,885.00	0.00
31JUL17	S	1 PD31OCT17		2807.00	3257.33	USD	-11,258.25	
10AUG17	B	1 PD31OCT17		2936.41	3257.33	USD	8,023.00	
	NET	0 AVG BGHT 2936.41	SOLD 2807.00				-3,235.25	0.00
03OCT17	B	2 PD03JAN18		3281.00	3222.00	USD	-2,950.00	
	NET	+2 AVG BGHT 3281.00					-2,950.00	0.00
04OCT17	B	2 PD04JAN18		3281.00	3221.50	USD	-2,975.00	
	NET	+2 AVG BGHT 3281.00					-2,975.00	0.00
11OCT17	B	2 PD11JAN18		3249.00	3219.00	USD	-1,500.00	
11OCT17	B	2 PD11JAN18		3250.00	3219.00	USD	-1,550.00	
	NET	+4 AVG BGHT 3249.50					-3,050.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-198,880.00
NYM Light Sweet Crude Oil	OPTIONS	800.00
LME Copper Grade A 25	FUTURES	-783,610.50
CMX Copper	FUTURES	4,754,312.50
LME Nickel 6 tonnes. This is	FUTURES	95,423.04
CMX Silver	FUTURES	-708,975.00
LME Zinc 25 tonnes. This is	FUTURES	-651,704.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	6,320.00	6,320.00		
COPR - Futures USD	-43,712.50	-43,712.50		
SILV - Futures USD	-23,655.00	-23,655.00	-61,047.50	-61,047.50
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	65,381.75	65,381.75		
NKL - Futures USD	95,423.04	95,423.04		
ZINC - Futures USD	-7,629.25	-7,629.25	153,175.54	153,175.54
Net Premium Options Market Value				
COIL - Options USD	-800.00	0.00	-800.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-204,179.56	-204,179.56
Variation Margins - Futures	-61,047.50	-61,047.50
- Options	0.00	0.00
TOTAL EQUITY	-265,227.06	-265,227.06
Initial Margins	-244,740.00	-244,740.00
Excess/(Shortage)	-509,967.06	-509,967.06
FOR INFORMATION ONLY		
Forward Unrealised P&L	153,175.54	153,175.54
Net Premium Option Market Value	-800.00	-800.00
Net Liquidating Value	-112,851.52	-112,851.52

OPEN POSITION STATEMENT



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SAP0025C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6000.50	6759.20	USD	18,967.50	
17JUL17	B	1	PD17OCT17	6001.00	6759.20	USD	18,955.00	
23AUG17	S	2	PD17OCT17	6554.75	6759.20	USD	-10,222.50	
	NET	0 AVG	BGHT 6000.75				27,700.00	0.00
20JUL17	S	2	PD20OCT17	5952.00	6761.75	USD	-40,487.50	
10AUG17	B	2	PD20OCT17	6414.45	6761.75	USD	17,365.00	
	NET	0 AVG	BGHT 6414.45				-23,122.50	0.00
25JUL17	B	2	PD25OCT17	6181.50	6765.68	USD	29,209.00	
25JUL17	B	2	PD25OCT17	6182.50	6765.68	USD	29,159.00	
25JUL17	B	2	PD25OCT17	6253.00	6765.68	USD	25,634.00	
23AUG17	S	2	PD25OCT17	6555.92	6765.68	USD	-10,488.00	
	NET	+4 AVG	BGHT 6205.67				73,514.00	0.00
26JUL17	S	2	PD26OCT17	6387.00	6766.11	USD	-18,955.50	
26JUL17	S	2	PD26OCT17	6396.00	6766.11	USD	-18,505.50	
26JUL17	B	4	PD26OCT17	6272.00	6766.11	USD	49,411.00	
	NET	0 AVG	BGHT 6272.00				11,950.00	0.00
07AUG17	S	2	PD07NOV17	6376.50	6774.73	USD	-19,911.50	
10AUG17	B	2	PD07NOV17	6421.97	6774.73	USD	17,638.00	
	NET	0 AVG	BGHT 6421.97				-2,273.50	0.00
18AUG17	S	2	PD17NOV17	6497.50	6776.78	USD	-13,964.00	
18AUG17	S	2	PD17NOV17	6499.00	6776.78	USD	-13,889.00	
23AUG17	B	4	PD17NOV17	6563.89	6776.78	USD	21,289.00	
	NET	0 AVG	BGHT 6563.89				-6,564.00	0.00
22AUG17	B	1	PD22NOV17	6585.50	6779.45	USD	4,848.75	
22AUG17	B	2	PD22NOV17	6589.00	6779.45	USD	9,522.50	
22AUG17	B	2	PD22NOV17	6591.00	6779.45	USD	9,422.50	
	NET	+5 AVG	BGHT 6589.10				23,793.75	0.00
24AUG17	S	5	PD24NOV17	6615.00	6780.52	USD	-20,690.00	
25AUG17	S	2	PD24NOV17	6704.00	6780.52	USD	-3,826.00	
	NET	-7 AVG	SOLD 6640.43				-24,516.00	0.00
29AUG17	S	1	PD29NOV17	6790.00	6783.18	USD	170.50	
29AUG17	S	1	PD29NOV17	6791.00	6783.18	USD	195.50	
	NET	-2 AVG	SOLD 6790.50				366.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
28AUG17	S	17	DEC17	308.750	309.550	USD	-3,400.00	
28AUG17	S	5	DEC17	308.800	309.550	USD	-937.50	
05OCT17	S	5	DEC17	303.200	309.550	USD	-7,937.50	
05OCT17	S	1	DEC17	302.950	309.550	USD	-1,650.00	
05OCT17	S	4	DEC17	302.800	309.550	USD	-6,750.00	
05OCT17	S	4	DEC17	302.900	309.550	USD	-6,650.00	
05OCT17	S	4	DEC17	303.350	309.550	USD	-6,200.00	
05OCT17	S	4	DEC17	303.800	309.550	USD	-5,750.00	
	NET	-44 AVG	SOLD 305.980				-39,275.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
15SEP17	B	1	DEC17	1783.0	1713.3	USD	-3,485.00	
15SEP17	B	3	DEC17	1778.0	1713.3	USD	-9,705.00	
	NET	+4 AVG	BGHT 1779.3				-13,190.00	0.00

OPEN POSITION STATEMENT



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SAP0025C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	82,598.50
CMX Copper	FUTURES	3,365,775.00
CMX Silver	FUTURES	-355,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-39,275.00	-39,275.00		
SILV - Futures USD	-13,190.00	-13,190.00	-52,465.00	-52,465.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	80,847.75	80,847.75	80,847.75	80,847.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-131,508.19	-131,508.19
- Options	0.00	0.00
TOTAL EQUITY	-183,973.19	-183,973.19
Initial Margins	-162,200.00	-162,200.00
Excess/(Shortage)	-346,173.19	-346,173.19
FOR INFORMATION ONLY		
Forward Unrealised P&L	80,847.75	80,847.75
Net Liquidating Value	-103,125.44	-103,125.44

OPEN POSITION STATEMENT



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11th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
25SEP17	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u> S 15 NOV17 64.00 Call NET -15 AVG SOLD 0.01	0.01	0.01	USD	-150.00 -150.00	0.00 0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 2 PD120CT17	5920.00	6755.20	USD	41,760.00	
12JUL17	B 1 PD120CT17	5923.50	6755.20	USD	20,792.50	
12JUL17	B 1 PD120CT17	5924.00	6755.20	USD	20,780.00	
12JUL17	S 1 PD120CT17	5922.00	6755.20	USD	-20,830.00	
19JUL17	S 2 PD120CT17	5965.25	6755.20	USD	-39,497.50	
10AUG17	S 1 PD120CT17	6413.02	6755.20	USD	-8,554.50	
	NET 0 AVG BGHT 5921.88 SOLD 6066.38				14,450.50	0.00
13JUL17	S 1 PD130CT17	5881.50	6756.00	USD	-21,862.50	
13JUL17	S 1 PD130CT17	5890.00	6756.00	USD	-21,650.00	
13JUL17	S 1 PD130CT17	5865.00	6756.00	USD	-22,275.00	
19JUL17	B 3 PD130CT17	5965.87	6756.00	USD	59,259.75	
	NET 0 AVG BGHT 5965.87 SOLD 5878.83				-6,527.75	0.00
17JUL17	B 1 PD170CT17	6008.00	6759.20	USD	18,780.00	
17JUL17	B 1 PD170CT17	6001.00	6759.20	USD	18,955.00	
10AUG17	S 2 PD170CT17	6416.00	6759.20	USD	-17,160.00	
	NET 0 AVG BGHT 6004.50 SOLD 6416.00				20,575.00	0.00
18JUL17	B 1 PD180CT17	5975.00	6760.00	USD	19,625.00	
10AUG17	S 1 PD180CT17	6416.25	6760.00	USD	-8,593.75	
	NET 0 AVG BGHT 5975.00 SOLD 6416.25				11,031.25	0.00
20JUL17	S 1 PD200CT17	5953.50	6761.75	USD	-20,206.25	
21JUL17	S 1 PD200CT17	5995.00	6761.75	USD	-19,168.75	
10AUG17	B 2 PD200CT17	6414.45	6761.75	USD	17,365.00	
	NET 0 AVG BGHT 6414.45 SOLD 5974.25				-22,010.00	0.00
24JUL17	S 1 PD240CT17	5999.50	6765.25	USD	-19,143.75	
24JUL17	S 1 PD240CT17	6029.00	6765.25	USD	-18,406.25	
10AUG17	B 1 PD240CT17	6416.16	6765.25	USD	8,727.25	
10AUG17	B 1 PD240CT17	6415.96	6765.25	USD	8,732.25	
	NET 0 AVG BGHT 6416.06 SOLD 6014.25				-20,090.50	0.00
25JUL17	B 2 PD250CT17	6192.00	6765.68	USD	28,684.00	
25JUL17	B 1 PD250CT17	6218.00	6765.68	USD	13,692.00	
25JUL17	B 2 PD250CT17	6262.50	6765.68	USD	25,159.00	
10AUG17	S 1 PD250CT17	6416.19	6765.68	USD	-8,737.25	
10AUG17	S 2 PD250CT17	6416.39	6765.68	USD	-17,464.50	
23AUG17	S 2 PD250CT17	6555.92	6765.68	USD	-10,488.00	
	NET 0 AVG BGHT 6225.40 SOLD 6472.16				30,845.25	0.00
26JUL17	S 1 PD260CT17	6390.50	6766.11	USD	-9,390.25	
26JUL17	B 1 PD260CT17	6302.00	6766.11	USD	11,602.75	
26JUL17	B 1 PD260CT17	6295.00	6766.11	USD	11,777.75	
26JUL17	B 1 PD260CT17	6277.00	6766.11	USD	12,227.75	
23AUG17	S 2 PD260CT17	6556.50	6766.11	USD	-10,480.50	
	NET 0 AVG BGHT 6291.33 SOLD 6501.17				15,737.50	0.00
31JUL17	S 1 PD310CT17	6374.00	6768.25	USD	-9,856.25	
10AUG17	B 1 PD310CT17	6419.09	6768.25	USD	8,729.00	
	NET 0 AVG BGHT 6419.09 SOLD 6374.00				-1,127.25	0.00
02AUG17	B 1 PD02NOV17	6330.00	6770.10	USD	11,002.50	
02AUG17	B 1 PD02NOV17	6350.00	6770.10	USD	10,502.50	
23AUG17	S 2 PD02NOV17	6560.00	6770.10	USD	-10,505.00	
	NET 0 AVG BGHT 6340.00 SOLD 6560.00				11,000.00	0.00
03AUG17	S 1 PD03NOV17	6344.00	6771.03	USD	-10,675.75	
03AUG17	S 1 PD03NOV17	6354.00	6771.03	USD	-10,425.75	
10AUG17	B 2 PD03NOV17	6420.33	6771.03	USD	17,535.00	

OPEN POSITION STATEMENT



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SAP0025D

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6420.33		SOLD		-3,566.50	0.00
08AUG17	B	4	PD08NOV17		6484.00	6775.65	USD	29,165.00	
23AUG17	S	1	PD08NOV17		6563.00	6775.65	USD	-5,316.25	
	NET	+3 AVG	BGHT	6484.00		SOLD		23,848.75	0.00
10AUG17	S	1	PD10NOV17		6410.50	6777.50	USD	-9,175.00	
10AUG17	S	1	PD10NOV17		6414.00	6777.50	USD	-9,087.50	
11AUG17	S	1	PD10NOV17		6423.00	6777.50	USD	-8,862.50	
23AUG17	B	3	PD10NOV17		6564.20	6777.50	USD	15,997.50	
	NET	0 AVG	BGHT	6564.20		SOLD		-11,127.50	0.00
14AUG17	S	1	PD14NOV17		6398.50	6776.83	USD	-9,458.25	
14AUG17	B	1	PD14NOV17		6391.50	6776.83	USD	9,633.25	
	NET	0 AVG	BGHT	6391.50		SOLD		175.00	0.00
16AUG17	S	1	PD16NOV17		6384.00	6776.25	USD	-9,806.25	
16AUG17	B	1	PD16NOV17		6490.50	6776.25	USD	7,143.75	
16AUG17	B	1	PD16NOV17		6528.00	6776.25	USD	6,206.25	
16AUG17	B	1	PD16NOV17		6552.50	6776.25	USD	5,593.75	
16AUG17	B	1	PD16NOV17		6538.50	6776.25	USD	5,943.75	
16AUG17	B	1	PD16NOV17		6538.00	6776.25	USD	5,956.25	
16AUG17	B	1	PD16NOV17		6539.00	6776.25	USD	5,931.25	
	NET	+5 AVG	BGHT	6531.08		SOLD		26,968.75	0.00
18AUG17	S	1	PD17NOV17		6482.00	6776.78	USD	-7,369.50	
18AUG17	S	1	PD17NOV17		6492.00	6776.78	USD	-7,119.50	
18AUG17	S	1	PD17NOV17		6496.00	6776.78	USD	-7,019.50	
18AUG17	S	1	PD17NOV17		6485.00	6776.78	USD	-7,294.50	
18AUG17	B	1	PD17NOV17		6485.00	6776.78	USD	7,294.50	
18AUG17	B	1	PD17NOV17		6487.00	6776.78	USD	7,244.50	
18AUG17	S	2	PD17NOV17		6481.00	6776.78	USD	-14,789.00	
23AUG17	B	4	PD17NOV17		6563.89	6776.78	USD	21,289.00	
	NET	0 AVG	BGHT	6537.93		SOLD		-7,764.00	0.00
21AUG17	B	1	PD21NOV17		6583.50	6778.92	USD	4,885.50	
21AUG17	B	1	PD21NOV17		6595.00	6778.92	USD	4,598.00	
21AUG17	B	1	PD21NOV17		6572.50	6778.92	USD	5,160.50	
21AUG17	B	2	PD21NOV17		6576.00	6778.92	USD	10,146.00	
21AUG17	B	1	PD21NOV17		6576.50	6778.92	USD	5,060.50	
	NET	+6 AVG	BGHT	6579.92				29,850.50	0.00
22AUG17	B	1	PD22NOV17		6586.00	6779.45	USD	4,836.25	
22AUG17	B	1	PD22NOV17		6590.00	6779.45	USD	4,736.25	
	NET	+2 AVG	BGHT	6588.00				9,572.50	0.00
24AUG17	S	2	PD24NOV17		6618.50	6780.52	USD	-8,101.00	
24AUG17	S	1	PD24NOV17		6630.00	6780.52	USD	-3,763.00	
24AUG17	S	2	PD24NOV17		6634.00	6780.52	USD	-7,326.00	
24AUG17	S	1	PD24NOV17		6636.50	6780.52	USD	-3,600.50	
24AUG17	S	1	PD24NOV17		6716.50	6780.52	USD	-1,600.50	
24AUG17	S	2	PD24NOV17		6697.00	6780.52	USD	-4,176.00	
25AUG17	S	2	PD24NOV17		6699.00	6780.52	USD	-4,076.00	
25AUG17	S	1	PD24NOV17		6701.00	6780.52	USD	-1,988.00	
25AUG17	S	1	PD24NOV17		6704.50	6780.52	USD	-1,900.50	
	NET	-13 AVG	SOLD	6668.12				-36,531.50	0.00
29AUG17	S	1	PD29NOV17		6778.50	6783.18	USD	-117.00	
	NET	-1 AVG	SOLD	6778.50				-117.00	0.00
07SEP17	S	1	PD07DEC17		6905.00	6787.23	USD	2,944.25	
	NET	-1 AVG	SOLD	6905.00				2,944.25	0.00
11SEP17	S	1	PD11DEC17		6747.50	6789.15	USD	-1,041.25	
	NET	-1 AVG	SOLD	6747.50				-1,041.25	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	2	PD05JAN18	6696.00	6797.20	USD	5,060.00	
05OCT17	B	1	PD05JAN18	6694.50	6797.20	USD	2,567.50	
05OCT17	B	2	PD05JAN18	6695.00	6797.20	USD	5,110.00	
	NET	+5 AVG BGHT	6695.30				12,737.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
08AUG17	S	4	DEC17	296.200	309.550	USD	-13,350.00	
18AUG17	S	2	DEC17	296.000	309.550	USD	-6,775.00	
18AUG17	S	1	DEC17	296.100	309.550	USD	-3,362.50	
18AUG17	S	2	DEC17	296.350	309.550	USD	-6,600.00	
18AUG17	S	2	DEC17	296.050	309.550	USD	-6,750.00	
24AUG17	S	1	DEC17	305.750	309.550	USD	-950.00	
25AUG17	S	3	DEC17	307.000	309.550	USD	-1,912.50	
25AUG17	S	1	DEC17	307.400	309.550	USD	-537.50	
25AUG17	S	2	DEC17	307.350	309.550	USD	-1,100.00	
28AUG17	S	1	DEC17	308.550	309.550	USD	-250.00	
28AUG17	S	1	DEC17	309.650	309.550	USD	25.00	
28AUG17	S	1	DEC17	308.750	309.550	USD	-200.00	
28AUG17	S	1	DEC17	308.700	309.550	USD	-212.50	
29AUG17	S	1	DEC17	309.200	309.550	USD	-87.50	
29AUG17	S	1	DEC17	310.750	309.550	USD	300.00	
29AUG17	S	1	DEC17	311.050	309.550	USD	375.00	
29AUG17	S	1	DEC17	311.150	309.550	USD	400.00	
29AUG17	S	1	DEC17	310.100	309.550	USD	137.50	
29AUG17	S	2	DEC17	310.150	309.550	USD	300.00	
29AUG17	S	1	DEC17	310.350	309.550	USD	200.00	
31AUG17	S	1	DEC17	311.850	309.550	USD	575.00	
31AUG17	S	1	DEC17	311.900	309.550	USD	587.50	
05OCT17	S	1	DEC17	300.750	309.550	USD	-2,200.00	
05OCT17	S	1	DEC17	300.950	309.550	USD	-2,150.00	
05OCT17	S	2	DEC17	301.050	309.550	USD	-4,250.00	
05OCT17	S	2	DEC17	301.300	309.550	USD	-4,125.00	
05OCT17	S	4	DEC17	301.250	309.550	USD	-8,300.00	
05OCT17	S	2	DEC17	301.800	309.550	USD	-3,875.00	
05OCT17	S	1	DEC17	302.000	309.550	USD	-1,887.50	
05OCT17	S	2	DEC17	303.050	309.550	USD	-3,250.00	
05OCT17	S	2	DEC17	303.250	309.550	USD	-3,150.00	
05OCT17	S	1	DEC17	303.700	309.550	USD	-1,462.50	
05OCT17	S	4	DEC17	304.400	309.550	USD	-5,150.00	
05OCT17	S	6	DEC17	304.350	309.550	USD	-7,800.00	
	NET	-60 AVG SOLD	303.764				-86,787.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD12OCT17	9220.00	11060.20	USD	22,082.40	
10AUG17	S	2	PD12OCT17	10966.72	11060.20	USD	-1,121.76	
	NET	0 AVG BGHT	9220.00	SOLD 10966.72			20,960.64	0.00
14JUL17	B	1	PD13OCT17	9550.00	11061.00	USD	9,066.00	
14JUL17	B	1	PD13OCT17	9540.00	11061.00	USD	9,126.00	
10AUG17	S	2	PD13OCT17	10967.52	11061.00	USD	-1,121.76	
	NET	0 AVG BGHT	9545.00	SOLD 10967.52			17,070.24	0.00
17JUL17	B	1	PD17OCT17	9620.00	11064.20	USD	8,665.20	
10AUG17	S	1	PD17OCT17	10970.70	11064.20	USD	-561.00	
	NET	0 AVG BGHT	9620.00	SOLD 10970.70			8,104.20	0.00
02AUG17	S	1	PD02NOV17	10345.00	11079.46	USD	-4,406.76	
10AUG17	B	1	PD02NOV17	10981.30	11079.46	USD	588.96	
	NET	0 AVG BGHT	10981.30	SOLD 10345.00			-3,817.80	0.00

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03AUG17	S 1 PD03NOV17	10320.00	11080.43	USD	-4,562.58	
04AUG17	S 2 PD03NOV17	10335.00	11080.43	USD	-8,945.16	
10AUG17	B 3 PD03NOV17	10981.89	11080.43	USD	1,773.72	
	NET 0 AVG BGHT 10981.89 SOLD 10330.00				-11,734.02	0.00
07AUG17	S 1 PD07NOV17	10360.00	11084.29	USD	-4,345.74	
07AUG17	S 1 PD07NOV17	10380.00	11084.29	USD	-4,225.74	
10AUG17	B 2 PD07NOV17	10984.24	11084.29	USD	1,200.60	
	NET 0 AVG BGHT 10984.24 SOLD 10370.00				-7,370.88	0.00
08AUG17	S 1 PD08NOV17	10330.00	11085.25	USD	-4,531.50	
08AUG17	S 1 PD08NOV17	10335.00	11085.25	USD	-4,501.50	
10AUG17	B 2 PD08NOV17	10984.83	11085.25	USD	1,205.04	
	NET 0 AVG BGHT 10984.83 SOLD 10332.50				-7,827.96	0.00
	<u>CMX SILVER 5,000 CMX</u>					
08SEP17	B 1 DEC17	1813.5	1713.3	USD	-5,010.00	
06OCT17	B 1 DEC17	1660.5	1713.3	USD	2,640.00	
06OCT17	B 1 DEC17	1659.0	1713.3	USD	2,715.00	
06OCT17	B 1 DEC17	1656.5	1713.3	USD	2,840.00	
	NET +4 AVG BGHT 1697.4				3,185.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20JUL17	B 1 PD20OCT17	2728.00	3273.00	USD	13,625.00	
10AUG17	S 1 PD20OCT17	2934.25	3273.00	USD	-8,468.75	
	NET 0 AVG BGHT 2728.00 SOLD 2934.25				5,156.25	0.00
28JUL17	S 1 PD27OCT17	2784.00	3260.67	USD	-11,916.75	
10AUG17	B 1 PD27OCT17	2935.70	3260.67	USD	8,124.25	
	NET 0 AVG BGHT 2935.70 SOLD 2784.00				-3,792.50	0.00
03OCT17	B 3 PD03JAN18	3281.00	3222.00	USD	-4,425.00	
03OCT17	B 1 PD03JAN18	3282.00	3222.00	USD	-1,500.00	
	NET +4 AVG BGHT 3281.25				-5,925.00	0.00
11OCT17	B 2 PD11JAN18	3241.00	3219.00	USD	-1,100.00	
11OCT17	B 1 PD11JAN18	3246.50	3219.00	USD	-687.50	
11OCT17	B 1 PD11JAN18	3247.00	3219.00	USD	-700.00	
	NET +4 AVG BGHT 3243.88				-2,487.50	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	150.00
LME Copper Grade A 25	FUTURES	-748,174.00
CMX Copper	FUTURES	4,556,462.50
LME Nickel 6 tonnes. This is	FUTURES	15,384.42
CMX Silver	FUTURES	-339,475.00
LME Zinc 25 tonnes. This is	FUTURES	-651,148.75

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-86,787.50	-86,787.50		
SILV - Futures USD	3,185.00	3,185.00	-83,602.50	-83,602.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	99,833.50	99,833.50		
NKL - Futures USD	15,384.42	15,384.42		
ZINC - Futures USD	-7,048.75	-7,048.75	108,169.17	108,169.17
Net Premium Options Market Value				
COIL - Options USD	-150.00	0.00	-150.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-169,572.22	-169,572.22
Variation Margins - Futures	-83,602.50	-83,602.50
- Options	0.00	0.00
TOTAL EQUITY	-253,174.72	-253,174.72
Initial Margins	-214,123.83	-214,123.83
Excess/(Shortage)	-467,298.55	-467,298.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	108,169.17	108,169.17
Net Premium Option Market Value	-150.00	-150.00
Net Liquidating Value	-145,155.55	-145,155.55

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	130.04	-0.24
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-110.00	130.04	-0.24
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	130.04	-0.24
FOR INFORMATION ONLY			
Net Liquidating Value	-110.00	130.04	-0.24

OPEN POSITION STATEMENT



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SAP0025F

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-55.00	65.02	-0.12
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-55.00	65.02	-0.12
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-55.00	65.02	-0.12
FOR INFORMATION ONLY			
Net Liquidating Value	-55.00	65.02	-0.12

OPEN POSITION STATEMENT



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SAP0025I

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	3 MONTH EURO (EURIBOR) 2,500 IFL					
09OCT17	B 300 OCT17	100.3300	100.3300	EUR	0.00	
	NET +300 AVG BGHT 100.3300				0.00	0.00
09OCT17	S 300 DEC17	100.3250	100.3250	EUR	0.00	
	NET -300 AVG SOLD 100.3250				0.00	0.00
06OCT17	B 15 SEP18	100.2600	100.2600	EUR	0.00	
06OCT17	B 285 SEP18	100.2550	100.2600	EUR	3,562.50	
09OCT17	B 200 SEP18	100.2600	100.2600	EUR	0.00	
	NET +500 AVG BGHT 100.2572				3,562.50	0.00
06OCT17	S 132 DEC18	100.2100	100.2150	EUR	-1,650.00	
06OCT17	S 468 DEC18	100.2050	100.2150	EUR	-11,700.00	
09OCT17	S 400 DEC18	100.2100	100.2150	EUR	-5,000.00	
	NET -1000 AVG SOLD 100.2077				-18,350.00	0.00
06OCT17	B 51 MAR19	100.1500	100.1550	EUR	637.50	
06OCT17	B 15 MAR19	100.1450	100.1550	EUR	375.00	
06OCT17	B 234 MAR19	100.1400	100.1550	EUR	8,775.00	
09OCT17	B 200 MAR19	100.1450	100.1550	EUR	5,000.00	
	NET +500 AVG BGHT 100.1432				14,787.50	0.00
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 50 NOV17 63.50 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
25SEP17	S 25 NOV17 64.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -75 AVG SOLD 0.01				-750.00	0.00
08SEP17	S 25 NOV17 35.00 Put	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00

OPEN POSITION VALUE

		EUR	USD
3 Month Euro (Euribor)	FUTURES	15,000.00	
NYM Light Sweet Crude Oil	OPTIONS		1,500.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURL - Futures EUR	0.00	0.00	0.00	0.00
Net Premium Options Market Value				
COIL - Options USD	-1,500.00	0.00	-1,500.00	0.00

OPEN POSITION STATEMENT



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Sapien Capital Limited
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-2,760.00	1,860.00	-1,408.94
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-2,760.00	1,860.00	-1,408.94
Initial Margins	-46,996.00	-6,000.00	-61,662.06
Excess/(Shortage)	-49,756.00	-4,140.00	-63,071.00
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-1,500.00	-1,500.00
Net Liquidating Value	-2,760.00	360.00	-2,908.94

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
10OCT17	B 1 NOV17 53.00 Call	0.10	0.13	USD	130.00	30.00
	NET +1AVG BGHT 0.10				130.00	30.00
10OCT17	B 1 NOV17 53.50 Call	0.08	0.08	USD	80.00	0.00
	NET +1AVG BGHT 0.08				80.00	0.00
04OCT17	S 4 NOV17 55.00 Call	0.03	0.03	USD	-120.00	0.00
10OCT17	S 2 NOV17 55.00 Call	0.02	0.03	USD	-60.00	-20.00
	NET -6AVG SOLD 0.03				-180.00	-20.00
27SEP17	S 8 NOV17 56.50 Call	0.13	0.01	USD	-80.00	960.00
	NET -8AVG SOLD 0.13				-80.00	960.00
25SEP17	S 6 NOV17 59.00 Call	0.06	0.01	USD	-60.00	300.00
25SEP17	S 8 NOV17 59.00 Call	0.07	0.01	USD	-80.00	480.00
	NET -14AVG SOLD 0.07				-140.00	780.00
20SEP17	S 51 NOV17 43.00 Put	0.04	0.01	USD	-510.00	1,530.00
	NET -51AVG SOLD 0.04				-510.00	1,530.00
20SEP17	S 4 NOV17 46.00 Put	0.13	0.01	USD	-40.00	480.00
20SEP17	S 2 NOV17 46.00 Put	0.14	0.01	USD	-20.00	260.00
25SEP17	S 4 NOV17 46.00 Put	0.08	0.01	USD	-40.00	280.00
27SEP17	S 2 NOV17 46.00 Put	0.06	0.01	USD	-20.00	100.00
05OCT17	S 1 NOV17 46.00 Put	0.06	0.01	USD	-10.00	50.00
06OCT17	S 1 NOV17 46.00 Put	0.07	0.01	USD	-10.00	60.00
	NET -14AVG SOLD 0.10				-140.00	1,230.00
09OCT17	S 7 NOV17 47.00 Put	0.07	0.01	USD	-70.00	420.00
	NET -7AVG SOLD 0.07				-70.00	420.00
09OCT17	B 1 NOV17 48.00 Put	0.18	0.03	USD	30.00	-150.00
	NET +1AVG BGHT 0.18				30.00	-150.00
10OCT17	B 2 NOV17 49.00 Put	0.13	0.06	USD	120.00	-140.00
	NET +2AVG BGHT 0.13				120.00	-140.00

OPEN POSITION VALUE

		<u>USD</u>
NYM Light Sweet Crude Oil	OPTIONS	5,400.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-760.00	4,640.00	-760.00	4,640.00

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,346.38	1,346.38
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,346.38	1,346.38
Initial Margins	-11,698.00	-11,698.00
Excess/(Shortage)	-10,351.62	-10,351.62
FOR INFORMATION ONLY		
Net Premium Option Market Value	-760.00	-760.00
Net Liquidating Value	586.38	586.38

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
26SEP17	B 2 PD27DEC17	2127.00	2127.29	USD	14.50	
26SEP17	S 2 PD27DEC17	2132.50	2127.29	USD	260.50	
26SEP17	S 2 PD27DEC17	2130.50	2127.29	USD	160.50	
26SEP17	B 2 PD27DEC17	2123.00	2127.29	USD	214.50	
26SEP17	B 2 PD27DEC17	2126.00	2127.29	USD	64.50	
27SEP17	S 2 PD27DEC17	2141.00	2127.29	USD	685.50	
	NET 0 AVG BGHT 2125.33 SOLD 2134.67				1,400.00	0.00
09OCT17	B 2 PD09JAN18	2166.00	2129.62	USD	-1,819.00	
	NET +2 AVG BGHT 2166.00				-1,819.00	0.00
10OCT17	S 2 PD10JAN18	2158.50	2129.81	USD	1,434.50	
10OCT17	B 2 PD10JAN18	2156.50	2129.81	USD	-1,334.50	
10OCT17	B 2 PD10JAN18	2154.00	2129.81	USD	-1,209.50	
	NET +2 AVG BGHT 2155.25 SOLD 2158.50				-1,109.50	0.00
11OCT17	S 4 PD11JAN18	2155.00	2130.00	USD	2,500.00	
11OCT17	B 2 PD11JAN18	2147.50	2130.00	USD	-875.00	
	NET -2 AVG BGHT 2147.50 SOLD 2155.00				1,625.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	B 4 DEC17	307.750	309.550	USD	1,800.00	
	NET +4 AVG BGHT 307.750				1,800.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 2 PD22DEC17	2397.50	2563.50	USD	8,300.00	
22SEP17	B 1 PD22DEC17	2483.00	2563.50	USD	2,012.50	
22SEP17	B 1 PD22DEC17	2483.50	2563.50	USD	2,000.00	
22SEP17	S 2 PD22DEC17	2472.00	2563.50	USD	-4,575.00	
22SEP17	S 2 PD22DEC17	2479.00	2563.50	USD	-4,225.00	
	NET 0 AVG BGHT 2440.38 SOLD 2475.50				3,512.50	0.00
25SEP17	B 2 PD27DEC17	2487.50	2563.50	USD	3,800.00	
25SEP17	B 2 PD27DEC17	2501.00	2563.50	USD	3,125.00	
25SEP17	S 2 PD27DEC17	2494.50	2563.50	USD	-3,450.00	
27SEP17	B 1 PD27DEC17	2460.50	2563.50	USD	2,575.00	
27SEP17	B 1 PD27DEC17	2460.50	2563.50	USD	2,575.00	
	NET +4 AVG BGHT 2483.00 SOLD 2494.50				8,625.00	0.00
10OCT17	S 2 PD10JAN18	2512.00	2564.90	USD	-2,645.00	
	NET -2 AVG SOLD 2512.00				-2,645.00	0.00
11OCT17	S 2 PD11JAN18	2526.50	2565.00	USD	-1,925.00	
	NET -2 AVG SOLD 2526.50				-1,925.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 2 PD09JAN18	3241.00	3220.00	USD	1,050.00	
	NET -2 AVG SOLD 3241.00				1,050.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025P

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-106,375.00
CMX Copper	FUTURES	-307,750.00
LME Lead 25 tonnes. This is an	FUTURES	7,712.50
LME Zinc 25 tonnes. This is	FUTURES	162,050.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	1,800.00	1,800.00	1,800.00	1,800.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	96.50	96.50		
LEAD - Futures USD	7,567.50	7,567.50		
ZINC - Futures USD	1,050.00	1,050.00	8,714.00	8,714.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-5,989.90	-5,989.90
- Options	1,800.00	1,800.00
	0.00	0.00
TOTAL EQUITY	-4,189.90	-4,189.90
Initial Margins	-23,438.00	-23,438.00
Excess/(Shortage)	-27,627.90	-27,627.90
FOR INFORMATION ONLY		
Forward Unrealised P&L	8,714.00	8,714.00
Net Liquidating Value	4,524.10	4,524.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
10OCT17	B 6 NOV17 54.50 Call	0.03	0.04	USD	240.00	60.00
	NET +6 AVG BGHT 0.03				240.00	60.00
20SEP17	S 3 NOV17 56.00 Call	0.15	0.01	USD	-30.00	420.00
21SEP17	S 3 NOV17 56.00 Call	0.11	0.01	USD	-30.00	300.00
27SEP17	S 1 NOV17 56.00 Call	0.16	0.01	USD	-10.00	150.00
02OCT17	S 3 NOV17 56.00 Call	0.05	0.01	USD	-30.00	120.00
02OCT17	S 7 NOV17 56.00 Call	0.04	0.01	USD	-70.00	210.00
04OCT17	S 10 NOV17 56.00 Call	0.03	0.01	USD	-100.00	200.00
	NET -27 AVG SOLD 0.06				-270.00	1,400.00
27SEP17	S 2 NOV17 58.00 Call	0.07	0.01	USD	-20.00	120.00
27SEP17	S 3 NOV17 58.00 Call	0.06	0.01	USD	-30.00	150.00
28SEP17	S 4 NOV17 58.00 Call	0.08	0.01	USD	-40.00	280.00
28SEP17	S 1 NOV17 58.00 Call	0.07	0.01	USD	-10.00	60.00
	NET -10 AVG SOLD 0.07				-100.00	610.00
20SEP17	S 6 NOV17 43.50 Put	0.05	0.01	USD	-60.00	240.00
21SEP17	S 1 NOV17 43.50 Put	0.07	0.01	USD	-10.00	60.00
25SEP17	S 3 NOV17 43.50 Put	0.03	0.01	USD	-30.00	60.00
	NET -10 AVG SOLD 0.05				-100.00	360.00
26SEP17	S 10 NOV17 45.00 Put	0.04	0.01	USD	-100.00	300.00
02OCT17	S 4 NOV17 45.00 Put	0.05	0.01	USD	-40.00	160.00
	NET -14 AVG SOLD 0.04				-140.00	460.00
26SEP17	S 5 NOV17 46.50 Put	0.08	0.01	USD	-50.00	350.00
26SEP17	S 1 NOV17 46.50 Put	0.07	0.01	USD	-10.00	60.00
27SEP17	S 2 NOV17 46.50 Put	0.07	0.01	USD	-20.00	120.00
03OCT17	S 1 NOV17 46.50 Put	0.09	0.01	USD	-10.00	80.00
	NET -9 AVG SOLD 0.08				-90.00	610.00
03OCT17	S 1 NOV17 47.00 Put	0.13	0.01	USD	-10.00	120.00
09OCT17	S 6 NOV17 47.00 Put	0.07	0.01	USD	-60.00	360.00
	NET -7 AVG SOLD 0.08				-70.00	480.00
06OCT17	B 1 NOV17 48.00 Put	0.31	0.03	USD	30.00	-280.00
	NET +1 AVG BGHT 0.31				30.00	-280.00
10OCT17	B 2 NOV17 49.00 Put	0.13	0.06	USD	120.00	-140.00
	NET +2 AVG BGHT 0.13				120.00	-140.00

OPEN POSITION VALUE

NYM Light Sweet Crude Oil OPTIONS USD
3,940.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-380.00	3,560.00	-380.00	3,560.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,536.01	1,536.01
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,536.01	1,536.01
Initial Margins	-15,469.00	-15,469.00
Excess/(Shortage)	-13,932.99	-13,932.99
FOR INFORMATION ONLY		
Net Premium Option Market Value	-380.00	-380.00
Net Liquidating Value	1,156.01	1,156.01

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0025**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	-7,885.71	-649.17	707,510.03
Variation Margins	- Futures 0.00	0.00	-208,755.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-7,885.71	-649.17	498,755.03
Initial Margins	-46,996.00	0.00	-745,112.00
Excess/(Shortage)	-54,881.71	-649.17	-246,356.97

FOR INFORMATION ONLY

Forward Unrealised P&L	0.00	0.00	544,081.97
Net Premium Option Market Value	0.00	0.00	-4,310.00
Net Liquidating Value	-7,885.71	-649.17	1,038,527.00

	NET USD
	(1.00000)
CASH BALANCE	697,314.01
Variation Margins	- Futures -208,755.00
	- Options 0.00
TOTAL EQUITY	488,559.01
Initial Margins	-800,774.06
Excess/(Shortage)	-312,215.05

FOR INFORMATION ONLY

Forward Unrealised P&L	544,081.97
Net Premium Option Market Value	-4,310.00
Net Liquidating Value	1,028,330.98

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0030A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u> S 100 OCT17 2450.00 Put NET -100 AVG SOLD 0.80	0.80	0.10	EUR	-100.00	700.00
11AUG17	S 100 DEC17 1850.00 Put NET -100 AVG SOLD 1.20	1.20	0.10	EUR	-100.00	1,100.00
					-100.00	1,100.00

OPEN POSITION VALUE

Dow Jones EURO Stoxx 50 OPTIONS **EUR** 2,000.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-200.00	1,800.00	-200.00	1,800.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
CASH BALANCE	(1.18440)	(1.31890)	(1.00000)
Variation Margins - Futures	106,960.53	-16,998.30	57,976.10
- Options	0.00	0.00	0.00
TOTAL EQUITY	106,960.53	-16,998.30	57,976.10
Initial Margins	-564.86	0.00	0.00
Excess/(Shortage)	106,395.67	-16,998.30	57,976.10
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-200.00	0.00	0.00
Net Liquidating Value	106,760.53	-16,998.30	57,976.10
	NETT USD		
CASH BALANCE	(1.00000)		
Variation Margins - Futures	162,241.09		
- Options	0.00		
TOTAL EQUITY	162,241.09		
Initial Margins	-669.02		
Excess/(Shortage)	161,572.07		
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-236.88		
Net Liquidating Value	162,004.21		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0030
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0030**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	106,960.53	-16,998.30	57,976.10
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	106,960.53	-16,998.30	57,976.10
Initial Margins	-564.86	0.00	0.00
Excess/(Shortage)	106,395.67	-16,998.30	57,976.10

FOR INFORMATION ONLY

Net Premium Option Market Value	-200.00	0.00	0.00
Net Liquidating Value	106,760.53	-16,998.30	57,976.10

	NET USD
	(1.00000)
CASH BALANCE	162,241.09
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	162,241.09
Initial Margins	-669.02
Excess/(Shortage)	161,572.07

FOR INFORMATION ONLY

Net Premium Option Market Value	-236.88
Net Liquidating Value	162,004.21

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	15,838.21	-12,605.90	-397,318
Variation Margins - Futures	0.00	0.00	0
- Options	0.00	0.00	0
TOTAL EQUITY	15,838.21	-12,605.90	-397,318
Initial Margins	0.00	0.00	0
Excess/(Shortage)	15,838.21	-12,605.90	-397,318
FOR INFORMATION ONLY			
Net Liquidating Value	15,838.21	-12,605.90	-397,318
	USD	NETT USD	
	(1.00000)	(1.00000)	
CASH BALANCE	703,017.35	701,611.26	
Variation Margins - Futures	0.00	0.00	
- Options	0.00	0.00	
TOTAL EQUITY	703,017.35	701,611.26	
Initial Margins	0.00	0.00	
Excess/(Shortage)	703,017.35	701,611.26	
FOR INFORMATION ONLY			
Net Liquidating Value	703,017.35	701,611.26	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-21,510.16	-21,510.16
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-21,510.16	-21,510.16
Initial Margins	0.00	0.00
Excess/(Shortage)	-21,510.16	-21,510.16
FOR INFORMATION ONLY		
Net Liquidating Value	-21,510.16	-21,510.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CNX NIFTY (INDIA) INDEX 2 SIM</u>					
06OCT17	S 9 OCT17	9989.00	9988.50	USD	9.00	
06OCT17	S 5 OCT17	9985.50	9988.50	USD	-30.00	
06OCT17	S 6 OCT17	9985.00	9988.50	USD	-42.00	
06OCT17	S 10 OCT17	9998.00	9988.50	USD	190.00	
06OCT17	S 2 OCT17	9993.00	9988.50	USD	18.00	
06OCT17	S 6 OCT17	9993.50	9988.50	USD	60.00	
06OCT17	S 3 OCT17	9992.00	9988.50	USD	21.00	
10OCT17	S 1 OCT17	10048.00	9988.50	USD	119.00	
10OCT17	S 1 OCT17	10040.00	9988.50	USD	103.00	
	NET -43 AVG SOLD 9993.71				448.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
10OCT17	S 2 NOV17	50.14	51.30	USD	-2,320.00	
10OCT17	S 8 NOV17	50.54	51.30	USD	-6,080.00	
10OCT17	S 3 NOV17	50.53	51.30	USD	-2,310.00	
10OCT17	S 2 NOV17	50.51	51.30	USD	-1,580.00	
10OCT17	S 1 NOV17	50.60	51.30	USD	-700.00	
10OCT17	S 1 NOV17	50.63	51.30	USD	-670.00	
10OCT17	S 1 NOV17	51.04	51.30	USD	-260.00	
10OCT17	S 1 NOV17	50.94	51.30	USD	-360.00	
10OCT17	S 1 NOV17	50.91	51.30	USD	-390.00	
	NET -20 AVG SOLD 50.57				-14,670.00	0.00
	<u>CMX GOLD 100 CMX</u>					
04OCT17	B 1 DEC17	1275.2	1288.9	USD	1,370.00	
10OCT17	B 3 DEC17	1293.0	1288.9	USD	-1,230.00	
11OCT17	B 4 DEC17	1291.0	1288.9	USD	-840.00	
11OCT17	B 3 DEC17	1291.3	1288.9	USD	-720.00	
11OCT17	B 1 DEC17	1291.4	1288.9	USD	-250.00	
11OCT17	B 1 DEC17	1289.9	1288.9	USD	-100.00	
11OCT17	B 4 DEC17	1291.6	1288.9	USD	-1,080.00	
11OCT17	B 2 DEC17	1293.0	1288.9	USD	-820.00	
11OCT17	B 1 DEC17	1292.0	1288.9	USD	-310.00	
11OCT17	B 4 DEC17	1290.9	1288.9	USD	-800.00	
11OCT17	B 1 DEC17	1289.3	1288.9	USD	-40.00	
11OCT17	B 1 DEC17	1288.6	1288.9	USD	30.00	
11OCT17	B 1 DEC17	1288.5	1288.9	USD	40.00	
11OCT17	B 1 DEC17	1288.4	1288.9	USD	50.00	
11OCT17	B 3 DEC17	1289.1	1288.9	USD	-60.00	
	NET +31 AVG BGHT 1290.4				-4,760.00	0.00
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>					
06OCT17	B 2 NOV17	2.90400	2.88900	USD	-300.00	
06OCT17	B 2 NOV17	2.90300	2.88900	USD	-280.00	
06OCT17	B 2 NOV17	2.90100	2.88900	USD	-240.00	
06OCT17	B 1 NOV17	2.90600	2.88900	USD	-170.00	
09OCT17	B 1 NOV17	2.87200	2.88900	USD	170.00	
09OCT17	B 1 NOV17	2.86700	2.88900	USD	220.00	
09OCT17	B 1 NOV17	2.86600	2.88900	USD	230.00	
09OCT17	B 1 NOV17	2.87000	2.88900	USD	190.00	
	NET +11 AVG BGHT 2.89064				-180.00	0.00
	<u>NYM HEATING OIL 42,000 NYM</u>					
11OCT17	S 1 NOV17	178.14	178.61	USD	-197.40	
11OCT17	S 1 NOV17	178.05	178.61	USD	-235.20	
	NET -2 AVG SOLD 178.10				-432.60	0.00
	<u>CMX SILVER 5,000 CMX</u>					
04OCT17	B 1 DEC17	1673.5	1713.3	USD	1,990.00	
04OCT17	B 1 DEC17	1674.0	1713.3	USD	1,965.00	
04OCT17	B 3 DEC17	1670.5	1713.3	USD	6,420.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
11OCT17	B 1 DEC17	1715.5	1713.3	USD	-110.00	
11OCT17	B 2 DEC17	1711.5	1713.3	USD	180.00	
11OCT17	B 1 DEC17	1710.0	1713.3	USD	165.00	
11OCT17	B 2 DEC17	1711.0	1713.3	USD	230.00	
	NET +11 AVG BGHT 1693.6				10,840.00	0.00

OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	859,459.00
NYM Light Sweet Crude Oil	FUTURES	1,011,330.00
CMX Gold	FUTURES	-4,000,350.00
NYM Henry Hub Natural Gas	FUTURES	-317,970.00
NYM Heating Oil	FUTURES	149,599.80
CMX Silver	FUTURES	-931,475.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CNX - Futures USD	448.00	448.00		
COIL - Futures USD	-14,670.00	-14,670.00		
GOLD - Futures USD	-4,760.00	-4,760.00		
HGAS - Futures USD	-180.00	-180.00		
HOIL - Futures USD	-432.60	-432.60		
SILV - Futures USD	10,840.00	10,840.00	-8,754.60	-8,754.60

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-47,248.41	-47,248.41
Variation Margins - Futures	-8,754.60	-8,754.60
- Options	0.00	0.00
TOTAL EQUITY	-56,003.01	-56,003.01
Initial Margins	-325,940.00	-325,940.00
Excess/(Shortage)	-381,943.01	-381,943.01
FOR INFORMATION ONLY		
Net Liquidating Value	-56,003.01	-56,003.01

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
24JUL17	B	2	PD24OCT17	1913.00	2110.25	USD	9,862.50	
26JUL17	S	2	PD24OCT17	1947.00	2110.25	USD	-8,162.50	
	NET	0 AVG	BGHT 1913.00				1,700.00	0.00
25JUL17	S	2	PD25OCT17	1923.50	2110.75	USD	-9,362.50	
25JUL17	B	2	PD25OCT17	1924.00	2110.75	USD	9,337.50	
25JUL17	S	2	PD25OCT17	1921.00	2110.75	USD	-9,487.50	
26JUL17	B	2	PD25OCT17	1947.46	2110.75	USD	8,164.50	
	NET	0 AVG	BGHT 1935.73				-1,348.00	0.00
28JUL17	B	2	PD27OCT17	1914.00	2111.00	USD	9,850.00	
01AUG17	S	2	PD27OCT17	1905.56	2111.00	USD	-10,272.00	
	NET	0 AVG	BGHT 1914.00				-422.00	0.00
31JUL17	B	1	PD31OCT17	1921.50	2110.50	USD	4,725.00	
31JUL17	S	3	PD31OCT17	1924.50	2110.50	USD	-13,950.00	
01AUG17	B	2	PD31OCT17	1906.91	2110.50	USD	10,179.50	
	NET	0 AVG	BGHT 1911.77				954.50	0.00
01AUG17	B	3	PD01NOV17	1910.00	2110.81	USD	15,060.75	
07AUG17	S	3	PD01NOV17	1962.58	2110.81	USD	-11,117.25	
	NET	0 AVG	BGHT 1910.00				3,943.50	0.00
02AUG17	S	3	PD02NOV17	1915.50	2111.13	USD	-14,672.25	
02AUG17	B	2	PD02NOV17	1921.00	2111.13	USD	9,506.50	
02AUG17	S	2	PD02NOV17	1928.00	2111.13	USD	-9,156.50	
07AUG17	B	3	PD02NOV17	1963.02	2111.13	USD	11,108.25	
	NET	0 AVG	BGHT 1946.21				-3,214.00	0.00
03AUG17	B	2	PD03NOV17	1915.00	2111.44	USD	9,822.00	
03AUG17	B	1	PD03NOV17	1912.00	2111.44	USD	4,986.00	
03AUG17	S	3	PD03NOV17	1919.00	2111.44	USD	-14,433.00	
03AUG17	B	2	PD03NOV17	1914.00	2111.44	USD	9,872.00	
03AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
10AUG17	S	3	PD03NOV17	2035.81	2111.44	USD	-5,672.25	
	NET	0 AVG	BGHT 1913.83				9,535.75	0.00
07AUG17	S	3	PD07NOV17	1924.00	2112.69	USD	-14,151.75	
07AUG17	B	2	PD07NOV17	1948.50	2112.69	USD	8,209.50	
07AUG17	B	2	PD07NOV17	1950.00	2112.69	USD	8,134.50	
07AUG17	B	1	PD07NOV17	1964.50	2112.69	USD	3,704.75	
07AUG17	S	5	PD07NOV17	1968.00	2112.69	USD	-18,086.25	
10AUG17	B	3	PD07NOV17	2036.69	2112.69	USD	5,700.00	
	NET	0 AVG	BGHT 1983.95				-6,489.25	0.00
08AUG17	B	1	PD08NOV17	1982.50	2113.00	USD	3,262.50	
08AUG17	B	1	PD08NOV17	1983.00	2113.00	USD	3,250.00	
08AUG17	S	2	PD08NOV17	1988.50	2113.00	USD	-6,225.00	
08AUG17	B	2	PD08NOV17	1991.50	2113.00	USD	6,075.00	
08AUG17	S	2	PD08NOV17	1997.00	2113.00	USD	-5,800.00	
08AUG17	B	2	PD08NOV17	2001.00	2113.00	USD	5,600.00	
08AUG17	S	2	PD08NOV17	2000.50	2113.00	USD	-5,625.00	
08AUG17	B	2	PD08NOV17	2025.00	2113.00	USD	4,400.00	
08AUG17	B	2	PD08NOV17	2028.00	2113.00	USD	4,250.00	
08AUG17	B	1	PD08NOV17	2028.50	2113.00	USD	2,112.50	
08AUG17	S	2	PD08NOV17	2031.50	2113.00	USD	-4,075.00	
08AUG17	S	3	PD08NOV17	2030.00	2113.00	USD	-6,225.00	
	NET	0 AVG	BGHT 2007.73				1,000.00	0.00
09AUG17	B	3	PD09NOV17	2036.00	2113.46	USD	5,809.50	
09AUG17	B	2	PD09NOV17	2036.50	2113.46	USD	3,848.00	
09AUG17	S	3	PD09NOV17	2040.00	2113.46	USD	-5,509.50	
09AUG17	S	2	PD09NOV17	2040.50	2113.46	USD	-3,648.00	
	NET	0 AVG	BGHT 2036.20				500.00	0.00

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SAP0034G

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
24AUG17	S	1	PD24NOV17	2100.00	2119.03	USD	-475.75	
24AUG17	S	1	PD24NOV17	2100.50	2119.03	USD	-463.25	
24AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
25AUG17	B	2	PD24NOV17	2092.00	2119.03	USD	1,351.50	
25AUG17	S	3	PD24NOV17	2085.00	2119.03	USD	-2,552.25	
25AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
25AUG17	B	3	PD24NOV17	2072.00	2119.03	USD	3,527.25	
	NET	0 AVG BGHT	2082.50	SOLD	2091.08		1,287.50	0.00
29AUG17	S	1	PD29NOV17	2093.50	2120.57	USD	-676.75	
29AUG17	S	1	PD29NOV17	2094.00	2120.57	USD	-664.25	
29AUG17	B	2	PD29NOV17	2096.00	2120.57	USD	1,228.50	
	NET	0 AVG BGHT	2096.00	SOLD	2093.75		-112.50	0.00
30AUG17	S	2	PD30NOV17	2096.50	2120.88	USD	-1,219.00	
30AUG17	B	2	PD30NOV17	2086.50	2120.88	USD	1,719.00	
30AUG17	S	3	PD30NOV17	2093.00	2120.88	USD	-2,091.00	
30AUG17	B	3	PD30NOV17	2090.00	2120.88	USD	2,316.00	
30AUG17	S	1	PD30NOV17	2090.00	2120.88	USD	-772.00	
30AUG17	S	2	PD30NOV17	2090.50	2120.88	USD	-1,519.00	
31AUG17	B	1	PD30NOV17	2135.50	2120.88	USD	-365.50	
31AUG17	B	2	PD30NOV17	2130.50	2120.88	USD	-481.00	
	NET	0 AVG BGHT	2104.94	SOLD	2092.88		-2,412.50	0.00
01SEP17	B	1	PD01DEC17	2131.50	2121.19	USD	-257.75	
01SEP17	B	1	PD01DEC17	2139.00	2121.19	USD	-445.25	
01SEP17	B	1	PD01DEC17	2144.50	2121.19	USD	-582.75	
13SEP17	S	1	PD01DEC17	2106.59	2121.19	USD	-365.00	
21SEP17	S	2	PD01DEC17	2166.21	2121.19	USD	2,251.00	
	NET	0 AVG BGHT	2138.33	SOLD	2146.34		600.25	0.00
04SEP17	S	1	PD04DEC17	2120.00	2122.12	USD	-53.00	
08SEP17	B	1	PD04DEC17	2098.20	2122.12	USD	598.00	
	NET	0 AVG BGHT	2098.20	SOLD	2120.00		545.00	0.00
11SEP17	S	2	PD11DEC17	2116.50	2124.28	USD	-389.00	
11SEP17	B	2	PD11DEC17	2114.50	2124.28	USD	489.00	
	NET	0 AVG BGHT	2114.50	SOLD	2116.50		100.00	0.00
12SEP17	S	2	PD12DEC17	2130.50	2124.59	USD	295.50	
12SEP17	B	2	PD12DEC17	2132.00	2124.59	USD	-370.50	
	NET	0 AVG BGHT	2132.00	SOLD	2130.50		-75.00	0.00
19SEP17	S	2	PD19DEC17	2125.50	2126.75	USD	-62.50	
21SEP17	B	2	PD19DEC17	2171.42	2126.75	USD	-2,233.50	
	NET	0 AVG BGHT	2171.42	SOLD	2125.50		-2,296.00	0.00
20SEP17	S	1	PD20DEC17	2169.50	2126.75	USD	1,068.75	
20SEP17	B	1	PD20DEC17	2170.50	2126.75	USD	-1,093.75	
20SEP17	B	1	PD20DEC17	2179.00	2126.75	USD	-1,306.25	
20SEP17	S	1	PD20DEC17	2176.50	2126.75	USD	1,243.75	
20SEP17	B	1	PD20DEC17	2191.00	2126.75	USD	-1,606.25	
20SEP17	B	1	PD20DEC17	2172.50	2126.75	USD	-1,143.75	
	NET	+2 AVG BGHT	2178.25	SOLD	2173.00		-2,837.50	0.00
10OCT17	B	2	PD10JAN18	2154.00	2129.81	USD	-1,209.50	
10OCT17	S	2	PD10JAN18	2159.00	2129.81	USD	1,459.50	
	NET	0 AVG BGHT	2154.00	SOLD	2159.00		250.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
10OCT17	S	1	NOV17	50.18	51.30	USD	-1,120.00	
	NET	-1 AVG SOLD	50.18				-1,120.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	S 1 PD08NOV17	6401.50	6775.65	USD	-9,353.75	
14AUG17	B 1 PD08NOV17	6394.87	6775.65	USD	9,519.50	
	NET 0 AVG BGHT 6394.87 SOLD 6401.50				165.75	0.00
09AUG17	B 1 PD09NOV17	6497.50	6776.58	USD	6,977.00	
09AUG17	S 1 PD09NOV17	6490.50	6776.58	USD	-7,152.00	
	NET 0 AVG BGHT 6497.50 SOLD 6490.50				-175.00	0.00
10AUG17	B 1 PD10NOV17	6457.00	6777.50	USD	8,012.50	
14AUG17	S 1 PD10NOV17	6395.38	6777.50	USD	-9,553.00	
	NET 0 AVG BGHT 6457.00 SOLD 6395.38				-1,540.50	0.00
29AUG17	S 1 PD29NOV17	6806.00	6783.18	USD	570.50	
08SEP17	B 1 PD29NOV17	6689.58	6783.18	USD	2,340.00	
	NET 0 AVG BGHT 6689.58 SOLD 6806.00				2,910.50	0.00
07SEP17	B 1 PD07DEC17	6865.00	6787.23	USD	-1,944.25	
08SEP17	S 1 PD07DEC17	6692.63	6787.23	USD	-2,365.00	
	NET 0 AVG BGHT 6865.00 SOLD 6692.63				-4,309.25	0.00
21SEP17	B 1 PD21DEC17	6462.50	6791.50	USD	8,225.00	
21SEP17	S 1 PD21DEC17	6438.50	6791.50	USD	-8,825.00	
	NET 0 AVG BGHT 6462.50 SOLD 6438.50				-600.00	0.00
22SEP17	B 1 PD22DEC17	6398.00	6790.50	USD	9,812.50	
22SEP17	S 1 PD22DEC17	6470.00	6790.50	USD	-8,012.50	
	NET 0 AVG BGHT 6398.00 SOLD 6470.00				1,800.00	0.00
	<u>CME EURO FX 125,000 CME</u>					
11OCT17	S 1 DEC17	1.18960	1.18970	USD	-12.50	
11OCT17	S 1 DEC17	1.18845	1.18970	USD	-156.25	
	NET -2 AVG SOLD 1.18903				-168.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
01SEP17	B 1 PD01DEC17	2401.50	2560.39	USD	3,972.25	
06SEP17	S 1 PD01DEC17	2338.63	2560.39	USD	-5,544.00	
	NET 0 AVG BGHT 2401.50 SOLD 2338.63				-1,571.75	0.00
04SEP17	S 1 PD04DEC17	2397.50	2560.66	USD	-4,079.00	
04SEP17	B 1 PD04DEC17	2392.00	2560.66	USD	4,216.50	
	NET 0 AVG BGHT 2392.00 SOLD 2397.50				137.50	0.00
05SEP17	S 1 PD05DEC17	2331.00	2560.75	USD	-5,743.75	
06SEP17	B 1 PD05DEC17	2339.93	2560.75	USD	5,520.50	
	NET 0 AVG BGHT 2339.93 SOLD 2331.00				-223.25	0.00
12SEP17	S 1 PD12DEC17	2310.00	2562.50	USD	-6,312.50	
14SEP17	B 1 PD12DEC17	2306.43	2562.50	USD	6,401.75	
	NET 0 AVG BGHT 2306.43 SOLD 2310.00				89.25	0.00
13SEP17	B 1 PD13DEC17	2294.00	2562.75	USD	6,718.75	
14SEP17	S 1 PD13DEC17	2306.52	2562.75	USD	-6,405.75	
	NET 0 AVG BGHT 2294.00 SOLD 2306.52				313.00	0.00
14SEP17	S 1 PD14DEC17	2280.50	2563.00	USD	-7,062.50	
21SEP17	B 1 PD14DEC17	2517.91	2563.00	USD	1,127.25	
	NET 0 AVG BGHT 2517.91 SOLD 2280.50				-5,935.25	0.00
20SEP17	B 1 PD20DEC17	2454.50	2564.50	USD	2,750.00	
21SEP17	S 1 PD20DEC17	2517.80	2564.50	USD	-1,167.50	
	NET 0 AVG BGHT 2454.50 SOLD 2517.80				1,582.50	0.00
	<u>CBT MINI-SIZED DOW 5 CBT</u>					
15SEP17	S 1 DEC17	22162.00	22816.00	USD	-3,270.00	
21SEP17	S 1 DEC17	22365.00	22816.00	USD	-2,255.00	
21SEP17	S 1 DEC17	22340.00	22816.00	USD	-2,380.00	

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	<u>CBT MINI-SIZED DOW 5 CBT</u>					
02OCT17	S 1 DEC17	22421.00	22816.00	USD	-1,975.00	
02OCT17	S 1 DEC17	22425.00	22816.00	USD	-1,955.00	
04OCT17	S 1 DEC17	22610.00	22816.00	USD	-1,030.00	
05OCT17	S 1 DEC17	22643.00	22816.00	USD	-865.00	
06OCT17	S 1 DEC17	22706.00	22816.00	USD	-550.00	
10OCT17	S 1 DEC17	22756.00	22816.00	USD	-300.00	
	NET -9 AVG SOLD 22492.00				-14,580.00	0.00
	<u>CME E-MINI S&P 500 50 CME</u>					
12SEP17	S 4 DEC17	2489.50	2553.00	USD	-12,700.00	
15SEP17	S 10 DEC17	2491.00	2553.00	USD	-31,000.00	
15SEP17	S 10 DEC17	2491.75	2553.00	USD	-30,625.00	
15SEP17	S 5 DEC17	2492.00	2553.00	USD	-15,250.00	
15SEP17	S 25 DEC17	2492.25	2553.00	USD	-75,937.50	
15SEP17	S 5 DEC17	2492.50	2553.00	USD	-15,125.00	
18SEP17	S 4 DEC17	2505.00	2553.00	USD	-9,600.00	
19SEP17	S 1 DEC17	2502.50	2553.00	USD	-2,525.00	
	NET -64 AVG SOLD 2492.76				-192,762.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	9845.00	11071.75	USD	7,360.50	
25JUL17	B 1 PD25OCT17	9895.00	11071.75	USD	7,060.50	
25JUL17	S 1 PD25OCT17	9945.00	11071.75	USD	-6,760.50	
25JUL17	S 1 PD25OCT17	9940.00	11071.75	USD	-6,790.50	
25JUL17	B 1 PD25OCT17	9935.00	11071.75	USD	6,820.50	
25JUL17	B 1 PD25OCT17	10010.00	11071.75	USD	6,370.50	
25JUL17	S 2 PD25OCT17	10000.00	11071.75	USD	-12,861.00	
	NET 0 AVG BGHT 9921.25 SOLD 9971.25				1,200.00	0.00
26JUL17	B 1 PD26OCT17	10035.00	11072.71	USD	6,226.26	
26JUL17	B 1 PD26OCT17	10080.00	11072.71	USD	5,956.26	
01AUG17	S 2 PD26OCT17	10287.64	11072.71	USD	-9,420.84	
	NET 0 AVG BGHT 10057.50 SOLD 10287.64				2,761.68	0.00
27JUL17	S 2 PD27OCT17	10120.00	11073.68	USD	-11,444.16	
27JUL17	B 1 PD27OCT17	10200.00	11073.68	USD	5,242.08	
27JUL17	S 1 PD27OCT17	10200.00	11073.68	USD	-5,242.08	
01AUG17	B 2 PD27OCT17	10289.04	11073.68	USD	9,415.68	
	NET 0 AVG BGHT 10259.36 SOLD 10146.67				-2,028.48	0.00
03AUG17	S 3 PD01NOV17	10379.57	11078.50	USD	-12,580.74	
07AUG17	B 3 PD01NOV17	10397.30	11078.50	USD	12,261.60	
	NET 0 AVG BGHT 10397.30 SOLD 10379.57				-319.14	0.00
03AUG17	B 3 PD02NOV17	10380.79	11079.46	USD	12,576.06	
07AUG17	S 3 PD02NOV17	10396.75	11079.46	USD	-12,288.78	
	NET 0 AVG BGHT 10380.79 SOLD 10396.75				287.28	0.00
08AUG17	S 1 PD08NOV17	10745.00	11085.25	USD	-2,041.50	
10AUG17	B 1 PD08NOV17	10983.83	11085.25	USD	608.52	
	NET 0 AVG BGHT 10983.83 SOLD 10745.00				-1,432.98	0.00
09AUG17	B 1 PD09NOV17	10790.00	11086.21	USD	1,777.26	
10AUG17	S 1 PD09NOV17	10983.41	11086.21	USD	-616.80	
	NET 0 AVG BGHT 10790.00 SOLD 10983.41				1,160.46	0.00
14AUG17	B 1 PD14NOV17	10570.00	11091.04	USD	3,126.24	
22AUG17	S 1 PD14NOV17	11413.81	11091.04	USD	1,936.62	
	NET 0 AVG BGHT 10570.00 SOLD 11413.81				5,062.86	0.00
18AUG17	S 1 PD17NOV17	10730.00	11093.54	USD	-2,181.24	
18AUG17	S 1 PD17NOV17	10830.00	11093.54	USD	-1,581.24	
18AUG17	S 1 PD17NOV17	10990.00	11093.54	USD	-621.24	
22AUG17	B 3 PD17NOV17	11413.92	11093.54	USD	-5,766.84	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	11413.92	SOLD	10850.00		-10,150.56	0.00
22AUG17	S	1	PD22NOV17		11415.00	11097.40	USD	1,905.60	
06SEP17	B	1	PD22NOV17		12156.60	11097.40	USD	-6,355.20	
	NET	0 AVG	BGHT	12156.60	SOLD	11415.00		-4,449.60	0.00
30AUG17	B	1	PD30NOV17		11745.00	11103.57	USD	-3,848.58	
31AUG17	S	1	PD30NOV17		11730.00	11103.57	USD	3,758.58	
31AUG17	B	1	PD30NOV17		11700.00	11103.57	USD	-3,578.58	
06SEP17	S	1	PD30NOV17		12160.40	11103.57	USD	6,340.98	
	NET	0 AVG	BGHT	11722.50	SOLD	11945.20		2,672.40	0.00
08SEP17	B	1	PD08DEC17		11575.00	11109.74	USD	-2,791.56	
13SEP17	S	1	PD08DEC17		11355.46	11109.74	USD	1,474.32	
	NET	0 AVG	BGHT	11575.00	SOLD	11355.46		-1,317.24	0.00
11SEP17	B	1	PD11DEC17		11620.00	11112.06	USD	-3,047.64	
11SEP17	S	1	PD11DEC17		11705.00	11112.06	USD	3,557.64	
	NET	0 AVG	BGHT	11620.00	SOLD	11705.00		510.00	0.00
13SEP17	B	1	PD13DEC17		11455.00	11113.60	USD	-2,048.40	
13SEP17	B	1	PD13DEC17		11410.00	11113.60	USD	-1,778.40	
13SEP17	B	1	PD13DEC17		11400.00	11113.60	USD	-1,718.40	
13SEP17	S	1	PD13DEC17		11570.00	11113.60	USD	2,738.40	
13SEP17	S	1	PD13DEC17		11440.00	11113.60	USD	1,958.40	
04OCT17	S	1	PD13DEC17		10594.40	11113.60	USD	-3,115.20	
	NET	0 AVG	BGHT	11421.67	SOLD	11201.47		-3,963.60	0.00
14SEP17	B	1	PD14DEC17		11315.00	11114.37	USD	-1,203.78	
14SEP17	S	1	PD14DEC17		11165.00	11114.37	USD	303.78	
14SEP17	S	1	PD14DEC17		11155.00	11114.37	USD	243.78	
14SEP17	S	1	PD14DEC17		11340.00	11114.37	USD	1,353.78	
14SEP17	B	1	PD14DEC17		11300.00	11114.37	USD	-1,113.78	
14SEP17	S	1	PD14DEC17		11260.00	11114.37	USD	873.78	
14SEP17	B	1	PD14DEC17		11255.00	11114.37	USD	-843.78	
15SEP17	B	1	PD14DEC17		11090.20	11114.37	USD	145.02	
	NET	0 AVG	BGHT	11240.05	SOLD	11230.00		-241.20	0.00
18SEP17	B	1	PD18DEC17		11165.00	11117.46	USD	-285.24	
	NET	+1 AVG	BGHT	11165.00				-285.24	0.00
22SEP17	B	1	PD22DEC17		10510.00	11120.45	USD	3,662.70	
22SEP17	S	1	PD22DEC17		10550.00	11120.45	USD	-3,422.70	
	NET	0 AVG	BGHT	10510.00	SOLD	10550.00		240.00	0.00
28SEP17	B	1	PD28DEC17		10455.00	11124.82	USD	4,018.92	
	NET	+1 AVG	BGHT	10455.00				4,018.92	0.00
29SEP17	S	1	PD29DEC17		10670.00	11125.55	USD	-2,733.30	
04OCT17	B	1	PD29DEC17		10610.40	11125.55	USD	3,090.90	
	NET	0 AVG	BGHT	10610.40	SOLD	10670.00		357.60	0.00
03OCT17	B	1	PD03JAN18		10730.00	11129.18	USD	2,395.08	
05OCT17	S	1	PD03JAN18		10533.13	11129.18	USD	-3,576.30	
	NET	0 AVG	BGHT	10730.00	SOLD	10533.13		-1,181.22	0.00
04OCT17	S	1	PD04JAN18		10800.00	11129.91	USD	-1,979.46	
05OCT17	B	1	PD04JAN18		10535.06	11129.91	USD	3,569.10	
	NET	0 AVG	BGHT	10535.06	SOLD	10800.00		1,589.64	0.00
05OCT17	B	1	PD05JAN18		10700.00	11130.64	USD	2,583.84	
06OCT17	S	1	PD05JAN18		10650.00	11130.64	USD	-2,883.84	
	NET	0 AVG	BGHT	10700.00	SOLD	10650.00		-300.00	0.00
10OCT17	B	1	PD10JAN18		11015.00	11134.27	USD	715.62	
10OCT17	S	1	PD10JAN18		11035.00	11134.27	USD	-595.62	
	NET	0 AVG	BGHT	11015.00	SOLD	11035.00		120.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)	
	CBT 30 YEAR US TREASURY BONDS 1,000 CBT									
02OCT17	S	2	NOV17	154.0000	Call	0.4700	0.2500	USD	-781.26	687.50
02OCT17	S	1	NOV17	154.0000	Call	0.4600	0.2500	USD	-390.63	328.12
	NET	-3 AVG SOLD 0.4667							-1,171.89	1,015.62
18SEP17	S	2	NOV17	153.0000	Put	0.5400	1.1100	USD	-2,343.76	-656.26
	NET	-2 AVG SOLD 0.5400							-2,343.76	-656.26
22SEP17	S	2	NOV17	154.0000	Put	1.0800	1.5300	USD	-3,656.26	-1,406.26
	NET	-2 AVG SOLD 1.0800							-3,656.26	-1,406.26
02OCT17	S	1	DEC17			152.1800	152.1800	USD	0.00	
03OCT17	S	1	DEC17			152.1800	152.1800	USD	0.00	
04OCT17	S	1	DEC17			152.2800	152.1800	USD	312.50	
	NET	-3 AVG SOLD 152.2133							312.50	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT									
12JUL17	S	1	PD12OCT17			2858.00	3299.33	USD	-11,033.25	
12JUL17	B	1	PD12OCT17			2852.00	3299.33	USD	11,183.25	
	NET	0 AVG BGHT 2852.00		SOLD 2858.00					150.00	0.00
13JUL17	B	1	PD13OCT17			2800.00	3295.00	USD	12,375.00	
14JUL17	S	1	PD13OCT17			2788.00	3295.00	USD	-12,675.00	
	NET	0 AVG BGHT 2800.00		SOLD 2788.00					-300.00	0.00
24JUL17	S	1	PD24OCT17			2795.50	3267.00	USD	-11,787.50	
24JUL17	B	1	PD24OCT17			2779.00	3267.00	USD	12,200.00	
	NET	0 AVG BGHT 2779.00		SOLD 2795.50					412.50	0.00
25JUL17	S	1	PD25OCT17			2841.50	3264.00	USD	-10,562.50	
01AUG17	B	1	PD25OCT17			2778.03	3264.00	USD	12,149.25	
	NET	0 AVG BGHT 2778.03		SOLD 2841.50					1,586.75	0.00
26JUL17	B	1	PD26OCT17			2846.50	3262.33	USD	10,395.75	
01AUG17	S	1	PD26OCT17			2778.11	3262.33	USD	-12,105.50	
	NET	0 AVG BGHT 2846.50		SOLD 2778.11					-1,709.75	0.00
02AUG17	S	1	PD02NOV17			2804.00	3254.00	USD	-11,250.00	
07AUG17	B	1	PD02NOV17			2856.93	3254.00	USD	9,926.75	
	NET	0 AVG BGHT 2856.93		SOLD 2804.00					-1,323.25	0.00
03AUG17	B	1	PD03NOV17			2796.00	3252.89	USD	11,422.25	
07AUG17	S	1	PD03NOV17			2856.94	3252.89	USD	-9,898.75	
	NET	0 AVG BGHT 2796.00		SOLD 2856.94					1,523.50	0.00
07AUG17	S	1	PD07NOV17			2871.00	3250.67	USD	-9,491.75	
07AUG17	S	1	PD07NOV17			2871.50	3250.67	USD	-9,479.25	
07AUG17	B	2	PD07NOV17			2862.50	3250.67	USD	19,408.50	
	NET	0 AVG BGHT 2862.50		SOLD 2871.25					437.50	0.00
08AUG17	S	2	PD08NOV17			2884.00	3249.56	USD	-18,278.00	
08AUG17	B	1	PD08NOV17			2926.50	3249.56	USD	8,076.50	
08AUG17	B	1	PD08NOV17			2928.50	3249.56	USD	8,026.50	
	NET	0 AVG BGHT 2927.50		SOLD 2884.00					-2,175.00	0.00
09AUG17	S	1	PD09NOV17			2976.50	3248.44	USD	-6,798.50	
09AUG17	S	1	PD09NOV17			2977.00	3248.44	USD	-6,786.00	
09AUG17	B	2	PD09NOV17			2964.00	3248.44	USD	14,222.00	
	NET	0 AVG BGHT 2964.00		SOLD 2976.75					637.50	0.00
15AUG17	B	1	PD15NOV17			2953.00	3244.00	USD	7,275.00	
15AUG17	S	1	PD15NOV17			2950.00	3244.00	USD	-7,350.00	
	NET	0 AVG BGHT 2953.00		SOLD 2950.00					-75.00	0.00
21AUG17	S	1	PD21NOV17			3128.00	3237.00	USD	-2,725.00	
21AUG17	B	1	PD21NOV17			3121.50	3237.00	USD	2,887.50	
	NET	0 AVG BGHT 3121.50		SOLD 3128.00					162.50	0.00
22AUG17	S	1	PD22NOV17			3151.50	3236.00	USD	-2,112.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	B	1	PD22NOV17		3141.50	3236.00	USD	2,362.50	
	NET	0 AVG	BGHT 3141.50	SOLD 3151.50				250.00	0.00
23AUG17	S	1	PD24NOV17		3128.00	3235.00	USD	-2,675.00	
23AUG17	S	1	PD24NOV17		3141.00	3235.00	USD	-2,350.00	
23AUG17	B	1	PD24NOV17		3124.00	3235.00	USD	2,775.00	
23AUG17	S	2	PD24NOV17		3099.00	3235.00	USD	-6,800.00	
23AUG17	S	1	PD24NOV17		3101.00	3235.00	USD	-3,350.00	
23AUG17	B	1	PD24NOV17		3112.00	3235.00	USD	3,075.00	
23AUG17	S	1	PD24NOV17		3098.00	3235.00	USD	-3,425.00	
23AUG17	S	1	PD24NOV17		3098.50	3235.00	USD	-3,412.50	
23AUG17	B	2	PD24NOV17		3107.00	3235.00	USD	6,400.00	
24AUG17	B	1	PD24NOV17		3113.00	3235.00	USD	3,050.00	
25AUG17	B	2	PD24NOV17		3126.00	3235.00	USD	5,450.00	
	NET	0 AVG	BGHT 3116.43	SOLD 3109.21				-1,262.50	0.00
29AUG17	S	1	PD29NOV17		3110.00	3234.00	USD	-3,100.00	
29AUG17	S	1	PD29NOV17		3096.00	3234.00	USD	-3,450.00	
29AUG17	S	1	PD29NOV17		3086.50	3234.00	USD	-3,687.50	
06SEP17	B	3	PD29NOV17		3093.25	3234.00	USD	10,556.25	
	NET	0 AVG	BGHT 3093.25	SOLD 3097.50				318.75	0.00
30AUG17	B	3	PD30NOV17		3143.00	3233.67	USD	6,800.25	
30AUG17	S	1	PD30NOV17		3142.00	3233.67	USD	-2,291.75	
30AUG17	B	2	PD30NOV17		3145.50	3233.67	USD	4,408.50	
30AUG17	B	1	PD30NOV17		3147.50	3233.67	USD	2,154.25	
30AUG17	B	1	PD30NOV17		3118.00	3233.67	USD	2,891.75	
30AUG17	S	3	PD30NOV17		3090.00	3233.67	USD	-10,775.25	
30AUG17	B	2	PD30NOV17		3095.00	3233.67	USD	6,933.50	
31AUG17	S	1	PD30NOV17		3112.00	3233.67	USD	-3,041.75	
31AUG17	S	1	PD30NOV17		3113.00	3233.67	USD	-3,016.75	
31AUG17	B	1	PD30NOV17		3096.50	3233.67	USD	3,429.25	
31AUG17	S	1	PD30NOV17		3104.50	3233.67	USD	-3,229.25	
31AUG17	S	1	PD30NOV17		3108.50	3233.67	USD	-3,129.25	
31AUG17	B	1	PD30NOV17		3143.00	3233.67	USD	2,266.75	
06SEP17	S	3	PD30NOV17		3093.30	3233.67	USD	-10,527.75	
	NET	0 AVG	BGHT 3128.64	SOLD 3102.72				-7,127.50	0.00
01SEP17	S	1	PD01DEC17		3201.00	3233.33	USD	-808.25	
06SEP17	B	1	PD01DEC17		3093.75	3233.33	USD	3,489.50	
	NET	0 AVG	BGHT 3093.75	SOLD 3201.00				2,681.25	0.00
04SEP17	B	1	PD04DEC17		3183.00	3233.00	USD	1,250.00	
04SEP17	S	1	PD04DEC17		3205.00	3233.00	USD	-700.00	
	NET	0 AVG	BGHT 3183.00	SOLD 3205.00				550.00	0.00
05SEP17	S	1	PD05DEC17		3193.00	3232.67	USD	-991.75	
05SEP17	B	1	PD05DEC17		3138.00	3232.67	USD	2,366.75	
05SEP17	B	1	PD05DEC17		3102.00	3232.67	USD	3,266.75	
06SEP17	S	1	PD05DEC17		3094.55	3232.67	USD	-3,453.00	
	NET	0 AVG	BGHT 3120.00	SOLD 3143.78				1,188.75	0.00
06SEP17	B	1	PD06DEC17		3105.50	3232.33	USD	3,170.75	
08SEP17	S	1	PD06DEC17		3030.61	3232.33	USD	-5,043.00	
	NET	0 AVG	BGHT 3105.50	SOLD 3030.61				-1,872.25	0.00
07SEP17	S	1	PD07DEC17		3118.00	3232.00	USD	-2,850.00	
08SEP17	B	1	PD07DEC17		3031.01	3232.00	USD	5,024.75	
	NET	0 AVG	BGHT 3031.01	SOLD 3118.00				2,174.75	0.00
08SEP17	B	1	PD08DEC17		3091.50	3231.67	USD	3,504.25	
13SEP17	S	1	PD08DEC17		3023.75	3231.67	USD	-5,198.00	
	NET	0 AVG	BGHT 3091.50	SOLD 3023.75				-1,693.75	0.00

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	3091.00	3231.33	USD	-3,508.25	
11SEP17	B	1	PD11DEC17	3056.50	3231.33	USD	4,370.75	
11SEP17	S	1	PD11DEC17	3064.00	3231.33	USD	-4,183.25	
13SEP17	B	1	PD11DEC17	3023.87	3231.33	USD	5,186.50	
	NET	0 AVG BGHT 3040.19	SOLD 3077.50				1,865.75	0.00
12SEP17	B	1	PD12DEC17	3072.50	3231.00	USD	3,962.50	
19SEP17	S	1	PD12DEC17	3105.21	3231.00	USD	-3,144.75	
	NET	0 AVG BGHT 3072.50	SOLD 3105.21				817.75	0.00
13SEP17	B	1	PD13DEC17	3045.00	3230.67	USD	4,641.75	
19SEP17	S	1	PD13DEC17	3105.43	3230.67	USD	-3,131.00	
	NET	0 AVG BGHT 3045.00	SOLD 3105.43				1,510.75	0.00
15SEP17	S	1	PD15DEC17	3019.00	3230.00	USD	-5,275.00	
19SEP17	B	1	PD15DEC17	3106.06	3230.00	USD	3,098.50	
	NET	0 AVG BGHT 3106.06	SOLD 3019.00				-2,176.50	0.00
18SEP17	S	1	PD18DEC17	3105.00	3229.67	USD	-3,116.75	
19SEP17	B	1	PD18DEC17	3106.70	3229.67	USD	3,074.25	
	NET	0 AVG BGHT 3106.70	SOLD 3105.00				-42.50	0.00
22SEP17	B	1	PD22DEC17	3031.50	3224.50	USD	4,825.00	
22SEP17	S	1	PD22DEC17	3018.00	3224.50	USD	-5,162.50	
	NET	0 AVG BGHT 3031.50	SOLD 3018.00				-337.50	0.00
26SEP17	S	1	PD27DEC17	3132.50	3224.00	USD	-2,287.50	
26SEP17	S	1	PD27DEC17	3133.00	3224.00	USD	-2,275.00	
27SEP17	B	2	PD27DEC17	3112.00	3224.00	USD	5,600.00	
27SEP17	S	2	PD27DEC17	3118.50	3224.00	USD	-5,275.00	
27SEP17	B	2	PD27DEC17	3104.00	3224.00	USD	6,000.00	
27SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	
27SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
05OCT17	B	1	PD27DEC17	3289.88	3224.00	USD	-1,647.00	
10OCT17	B	1	PD27DEC17	3283.91	3224.00	USD	-1,497.75	
	NET	0 AVG BGHT 3167.63	SOLD 3122.00				-6,844.75	0.00
28SEP17	B	1	PD28DEC17	3103.00	3223.50	USD	3,012.50	
28SEP17	S	1	PD28DEC17	3123.50	3223.50	USD	-2,500.00	
	NET	0 AVG BGHT 3103.00	SOLD 3123.50				512.50	0.00
03OCT17	B	1	PD03JAN18	3270.00	3222.00	USD	-1,200.00	
05OCT17	S	1	PD03JAN18	3288.43	3222.00	USD	1,660.75	
	NET	0 AVG BGHT 3270.00	SOLD 3288.43				460.75	0.00
04OCT17	S	1	PD04JAN18	3290.50	3221.50	USD	1,725.00	
04OCT17	B	1	PD04JAN18	3292.50	3221.50	USD	-1,775.00	
	NET	0 AVG BGHT 3292.50	SOLD 3290.50				-50.00	0.00
05OCT17	B	1	PD05JAN18	3304.00	3221.00	USD	-2,075.00	
10OCT17	S	1	PD05JAN18	3280.44	3221.00	USD	1,486.00	
	NET	0 AVG BGHT 3304.00	SOLD 3280.44				-589.00	0.00
10OCT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	
	NET	-1 AVG SOLD 3282.50					1,575.00	0.00
11OCT17	B	1	PD11JAN18	3253.50	3219.00	USD	-862.50	
11OCT17	B	1	PD11JAN18	3228.50	3219.00	USD	-237.50	
11OCT17	S	1	PD11JAN18	3225.00	3219.00	USD	150.00	
	NET	+1 AVG BGHT 3241.00	SOLD 3225.00				-950.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-105,127.75
NYM Light Sweet Crude Oil	FUTURES	50,180.00
LME Copper Grade A 25	FUTURES	-1,748.50
CME Euro FX	FUTURES	297,256.25
LME Lead 25 tonnes. This is an	FUTURES	-5,608.00
CBT Mini-Sized Dow	FUTURES	1,012,140.00
CME E-Mini S&P 500	FUTURES	7,976,837.50
LME Nickel 6 tonnes. This is	FUTURES	-139,142.10
CBT 30 Year Us Treasury Bonds	FUTURES	458,000.00
CBT 30 Year Us Treasury Bonds	OPTIONS	6,125.01
LME Zinc 25 tonnes. This is	FUTURES	-9,700.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-1,120.00	-1,120.00		
EUSD - Futures USD	-168.75	-168.75		
MDOW - Futures USD	-14,580.00	-14,580.00		
MSNP - Futures USD	-192,762.50	-192,762.50		
TBON - Futures USD	312.50	312.50	-208,318.75	-208,318.75
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,209.75	1,209.75		
COPL - Futures USD	-1,748.50	-1,748.50		
LEAD - Futures USD	-5,608.00	-5,608.00		
NKL - Futures USD	-5,688.42	-5,688.42		
ZINC - Futures USD	-9,713.00	-9,713.00	-21,548.17	-21,548.17
Net Premium Options Market Value				
TBON - Options USD	-7,171.91	-1,046.90	-7,171.91	-1,046.90

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	-2,344.95	1,273,337.65	1,270,244.90
Variation Margins - Futures	0.00	-208,318.75	-208,318.75
- Options	0.00	0.00	0.00
TOTAL EQUITY	-2,344.95	1,065,018.90	1,061,926.15
Initial Margins	0.00	-383,218.08	-383,218.08
Excess/(Shortage)	-2,344.95	681,800.82	678,708.07
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	-21,548.17	-21,548.17
Net Premium Option Market Value	0.00	-7,171.91	-7,171.91
Net Liquidating Value	-2,344.95	1,036,298.82	1,033,206.07

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
31AUG17	S	1	PD30NOV17	2106.00	2120.88	USD	-372.00	
31AUG17	S	1	PD30NOV17	2107.50	2120.88	USD	-334.50	
31AUG17	S	1	PD30NOV17	2129.00	2120.88	USD	203.00	
31AUG17	S	2	PD30NOV17	2129.50	2120.88	USD	431.00	
31AUG17	B	1	PD30NOV17	2124.00	2120.88	USD	-78.00	
31AUG17	B	1	PD30NOV17	2125.00	2120.88	USD	-103.00	
31AUG17	B	1	PD30NOV17	2119.50	2120.88	USD	34.50	
31AUG17	B	1	PD30NOV17	2117.50	2120.88	USD	84.50	
31AUG17	B	1	PD30NOV17	2118.50	2120.88	USD	59.50	
	NET	0AVG BGHT	2120.90		SOLD 2120.30		-75.00	0.00
20SEP17	B	1	PD20DEC17	2167.50	2126.75	USD	-1,018.75	
20SEP17	S	1	PD20DEC17	2167.50	2126.75	USD	1,018.75	
20SEP17	S	1	PD20DEC17	2171.00	2126.75	USD	1,106.25	
20SEP17	S	1	PD20DEC17	2177.50	2126.75	USD	1,268.75	
20SEP17	S	1	PD20DEC17	2179.00	2126.75	USD	1,306.25	
20SEP17	B	2	PD20DEC17	2177.00	2126.75	USD	-2,512.50	
20SEP17	B	1	PD20DEC17	2178.50	2126.75	USD	-1,293.75	
	NET	0AVG BGHT	2175.00		SOLD 2173.75		-125.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2326.00	2554.35	USD	-5,708.75	
17JUL17	S	1	PD17OCT17	2296.00	2554.35	USD	-6,458.75	
17JUL17	S	1	PD17OCT17	2295.00	2554.35	USD	-6,483.75	
19JUL17	B	3	PD17OCT17	2217.30	2554.35	USD	25,278.75	
	NET	0AVG BGHT	2217.30		SOLD 2305.67		6,627.50	0.00
18JUL17	B	1	PD18OCT17	2285.50	2554.75	USD	6,731.25	
18JUL17	B	1	PD18OCT17	2289.50	2554.75	USD	6,631.25	
18JUL17	B	2	PD18OCT17	2290.00	2554.75	USD	13,237.50	
18JUL17	B	2	PD18OCT17	2292.00	2554.75	USD	13,137.50	
18JUL17	S	1	PD18OCT17	2285.50	2554.75	USD	-6,731.25	
18JUL17	S	1	PD18OCT17	2297.50	2554.75	USD	-6,431.25	
18JUL17	S	1	PD18OCT17	2272.00	2554.75	USD	-7,068.75	
19JUL17	S	3	PD18OCT17	2217.30	2554.75	USD	-25,308.75	
	NET	0AVG BGHT	2289.83		SOLD 2251.15		-5,802.50	0.00
19JUL17	S	1	PD19OCT17	2269.50	2554.89	USD	-7,134.75	
19JUL17	B	1	PD19OCT17	2247.00	2554.89	USD	7,697.25	
19JUL17	B	1	PD19OCT17	2248.00	2554.89	USD	7,672.25	
19JUL17	S	1	PD19OCT17	2217.50	2554.89	USD	-8,434.75	
	NET	0AVG BGHT	2247.50		SOLD 2243.50		-200.00	0.00
20JUL17	S	1	PD20OCT17	2207.50	2555.04	USD	-8,688.50	
20JUL17	S	1	PD20OCT17	2208.00	2555.04	USD	-8,676.00	
20JUL17	S	1	PD20OCT17	2212.00	2555.04	USD	-8,576.00	
20JUL17	S	1	PD20OCT17	2212.50	2555.04	USD	-8,563.50	
20JUL17	S	1	PD20OCT17	2213.00	2555.04	USD	-8,551.00	
20JUL17	S	3	PD20OCT17	2214.00	2555.04	USD	-25,578.00	
21JUL17	B	2	PD20OCT17	2226.00	2555.04	USD	16,452.00	
21JUL17	B	1	PD20OCT17	2227.00	2555.04	USD	8,201.00	
21JUL17	S	1	PD20OCT17	2229.00	2555.04	USD	-8,151.00	
21JUL17	S	1	PD20OCT17	2238.50	2555.04	USD	-7,913.50	
21JUL17	S	1	PD20OCT17	2245.00	2555.04	USD	-7,751.00	
21JUL17	B	2	PD20OCT17	2235.00	2555.04	USD	16,002.00	
21JUL17	B	2	PD20OCT17	2236.00	2555.04	USD	15,952.00	
21JUL17	S	1	PD20OCT17	2233.50	2555.04	USD	-8,038.50	
21JUL17	B	2	PD20OCT17	2241.50	2555.04	USD	15,677.00	
21JUL17	B	3	PD20OCT17	2228.00	2555.04	USD	24,528.00	

OPEN POSITION STATEMENT



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SAP0034H

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2232.33	SOLD	2220.08		-3,675.00	0.00
25JUL17	S	2	PD25OCT17		2285.50	2555.75	USD	-13,512.50	
25JUL17	S	1	PD25OCT17		2286.50	2555.75	USD	-6,731.25	
25JUL17	S	1	PD25OCT17		2288.50	2555.75	USD	-6,681.25	
25JUL17	S	1	PD25OCT17		2292.50	2555.75	USD	-6,581.25	
25JUL17	S	2	PD25OCT17		2294.50	2555.75	USD	-13,062.50	
25JUL17	S	1	PD25OCT17		2294.00	2555.75	USD	-6,543.75	
25JUL17	B	1	PD25OCT17		2291.50	2555.75	USD	6,606.25	
25JUL17	B	1	PD25OCT17		2297.50	2555.75	USD	6,456.25	
25JUL17	B	1	PD25OCT17		2299.50	2555.75	USD	6,406.25	
25JUL17	B	2	PD25OCT17		2301.00	2555.75	USD	12,737.50	
25JUL17	B	1	PD25OCT17		2302.00	2555.75	USD	6,343.75	
25JUL17	B	2	PD25OCT17		2307.00	2555.75	USD	12,437.50	
	NET	0 AVG	BGHT	2300.81	SOLD	2290.19		-2,125.00	0.00
26JUL17	B	2	PD26OCT17		2330.00	2555.89	USD	11,294.50	
26JUL17	B	1	PD26OCT17		2332.50	2555.89	USD	5,584.75	
26JUL17	B	1	PD26OCT17		2333.50	2555.89	USD	5,559.75	
26JUL17	S	1	PD26OCT17		2316.50	2555.89	USD	-5,984.75	
26JUL17	S	1	PD26OCT17		2318.50	2555.89	USD	-5,934.75	
26JUL17	S	1	PD26OCT17		2321.00	2555.89	USD	-5,872.25	
26JUL17	S	1	PD26OCT17		2322.00	2555.89	USD	-5,847.25	
	NET	0 AVG	BGHT	2331.50	SOLD	2319.50		-1,200.00	0.00
01AUG17	B	1	PD01NOV17		2316.00	2556.75	USD	6,018.75	
01AUG17	S	1	PD01NOV17		2313.00	2556.75	USD	-6,093.75	
01AUG17	S	1	PD01NOV17		2321.50	2556.75	USD	-5,881.25	
01AUG17	B	1	PD01NOV17		2319.00	2556.75	USD	5,943.75	
	NET	0 AVG	BGHT	2317.50	SOLD	2317.25		-12.50	0.00
02AUG17	S	1	PD02NOV17		2349.00	2556.89	USD	-5,197.25	
02AUG17	B	1	PD02NOV17		2354.00	2556.89	USD	5,072.25	
	NET	0 AVG	BGHT	2354.00	SOLD	2349.00		-125.00	0.00
03AUG17	S	2	PD03NOV17		2368.00	2557.04	USD	-9,452.00	
03AUG17	S	1	PD03NOV17		2369.50	2557.04	USD	-4,688.50	
03AUG17	B	3	PD03NOV17		2364.50	2557.04	USD	14,440.50	
04AUG17	S	1	PD03NOV17		2371.50	2557.04	USD	-4,638.50	
04AUG17	B	1	PD03NOV17		2366.00	2557.04	USD	4,776.00	
04AUG17	B	1	PD03NOV17		2368.00	2557.04	USD	4,726.00	
04AUG17	B	1	PD03NOV17		2365.00	2557.04	USD	4,801.00	
04AUG17	S	1	PD03NOV17		2365.50	2557.04	USD	-4,788.50	
04AUG17	S	1	PD03NOV17		2368.50	2557.04	USD	-4,713.50	
	NET	0 AVG	BGHT	2365.42	SOLD	2368.50		462.50	0.00
07AUG17	S	1	PD07NOV17		2358.00	2557.61	USD	-4,990.25	
07AUG17	S	1	PD07NOV17		2369.50	2557.61	USD	-4,702.75	
07AUG17	S	1	PD07NOV17		2370.00	2557.61	USD	-4,690.25	
07AUG17	B	1	PD07NOV17		2377.50	2557.61	USD	4,502.75	
07AUG17	S	1	PD07NOV17		2377.50	2557.61	USD	-4,502.75	
07AUG17	B	2	PD07NOV17		2385.50	2557.61	USD	8,605.50	
07AUG17	B	1	PD07NOV17		2381.00	2557.61	USD	4,415.25	
	NET	0 AVG	BGHT	2382.38	SOLD	2368.75		-1,362.50	0.00
08AUG17	S	1	PD08NOV17		2374.50	2557.75	USD	-4,581.25	
08AUG17	S	1	PD08NOV17		2375.50	2557.75	USD	-4,556.25	
08AUG17	B	1	PD08NOV17		2362.50	2557.75	USD	4,881.25	
08AUG17	B	2	PD08NOV17		2366.00	2557.75	USD	9,587.50	
08AUG17	B	1	PD08NOV17		2368.00	2557.75	USD	4,743.75	
08AUG17	S	1	PD08NOV17		2368.00	2557.75	USD	-4,743.75	
08AUG17	S	1	PD08NOV17		2370.00	2557.75	USD	-4,693.75	

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08AUG17	S	1	PD08NOV17	2370.50	2557.75	USD	-4,681.25	
08AUG17	B	1	PD08NOV17	2367.50	2557.75	USD	4,756.25	
08AUG17	B	1	PD08NOV17	2367.00	2557.75	USD	4,768.75	
08AUG17	S	2	PD08NOV17	2374.00	2557.75	USD	-9,187.50	
08AUG17	S	1	PD08NOV17	2388.00	2557.75	USD	-4,243.75	
08AUG17	S	2	PD08NOV17	2390.00	2557.75	USD	-8,387.50	
08AUG17	B	1	PD08NOV17	2384.00	2557.75	USD	4,343.75	
08AUG17	S	1	PD08NOV17	2389.00	2557.75	USD	-4,218.75	
08AUG17	B	1	PD08NOV17	2391.00	2557.75	USD	4,168.75	
08AUG17	B	1	PD08NOV17	2392.50	2557.75	USD	4,131.25	
08AUG17	B	2	PD08NOV17	2393.00	2557.75	USD	8,237.50	
	NET	0 AVG BGHT 2377.32	SOLD 2378.50				325.00	0.00
09AUG17	S	1	PD09NOV17	2420.50	2557.89	USD	-3,434.75	
09AUG17	S	1	PD09NOV17	2421.50	2557.89	USD	-3,409.75	
09AUG17	B	2	PD09NOV17	2400.00	2557.89	USD	7,894.50	
09AUG17	B	1	PD09NOV17	2393.50	2557.89	USD	4,109.75	
09AUG17	S	1	PD09NOV17	2414.50	2557.89	USD	-3,584.75	
	NET	0 AVG BGHT 2397.83	SOLD 2418.83				1,575.00	0.00
10AUG17	S	1	PD10NOV17	2380.00	2558.04	USD	-4,451.00	
10AUG17	B	2	PD10NOV17	2380.50	2558.04	USD	8,877.00	
10AUG17	S	5	PD10NOV17	2379.00	2558.04	USD	-22,380.00	
10AUG17	S	1	PD10NOV17	2375.50	2558.04	USD	-4,563.50	
11AUG17	B	5	PD10NOV17	2339.00	2558.04	USD	27,380.00	
11AUG17	S	1	PD10NOV17	2338.50	2558.04	USD	-5,488.50	
11AUG17	S	1	PD10NOV17	2340.50	2558.04	USD	-5,438.50	
11AUG17	S	1	PD10NOV17	2342.50	2558.04	USD	-5,388.50	
11AUG17	S	2	PD10NOV17	2350.00	2558.04	USD	-10,402.00	
11AUG17	B	1	PD10NOV17	2349.00	2558.04	USD	5,226.00	
11AUG17	S	1	PD10NOV17	2347.00	2558.04	USD	-5,276.00	
11AUG17	B	1	PD10NOV17	2345.50	2558.04	USD	5,313.50	
11AUG17	B	1	PD10NOV17	2341.50	2558.04	USD	5,413.50	
11AUG17	B	1	PD10NOV17	2342.00	2558.04	USD	5,401.00	
11AUG17	B	1	PD10NOV17	2342.50	2558.04	USD	5,388.50	
11AUG17	B	1	PD10NOV17	2345.00	2558.04	USD	5,326.00	
	NET	0 AVG BGHT 2347.81	SOLD 2363.00				4,937.50	0.00
14AUG17	S	1	PD14NOV17	2345.00	2558.61	USD	-5,340.25	
14AUG17	S	4	PD14NOV17	2346.00	2558.61	USD	-21,261.00	
14AUG17	B	1	PD14NOV17	2338.50	2558.61	USD	5,502.75	
14AUG17	S	1	PD14NOV17	2342.00	2558.61	USD	-5,415.25	
14AUG17	S	1	PD14NOV17	2340.50	2558.61	USD	-5,452.75	
14AUG17	S	2	PD14NOV17	2343.00	2558.61	USD	-10,780.50	
14AUG17	B	3	PD14NOV17	2336.00	2558.61	USD	16,695.75	
14AUG17	B	2	PD14NOV17	2337.00	2558.61	USD	11,080.50	
14AUG17	S	1	PD14NOV17	2347.50	2558.61	USD	-5,277.75	
14AUG17	S	1	PD14NOV17	2348.00	2558.61	USD	-5,265.25	
14AUG17	B	1	PD14NOV17	2343.00	2558.61	USD	5,390.25	
14AUG17	B	4	PD14NOV17	2338.00	2558.61	USD	22,061.00	
	NET	0 AVG BGHT 2337.77	SOLD 2344.82				1,937.50	0.00
21AUG17	B	1	PD21NOV17	2364.50	2559.32	USD	4,870.50	
21AUG17	S	1	PD21NOV17	2368.50	2559.32	USD	-4,770.50	
21AUG17	S	1	PD21NOV17	2378.00	2559.32	USD	-4,533.00	
21AUG17	B	1	PD21NOV17	2376.00	2559.32	USD	4,583.00	
21AUG17	S	1	PD21NOV17	2389.00	2559.32	USD	-4,258.00	
21AUG17	S	2	PD21NOV17	2390.00	2559.32	USD	-8,466.00	
21AUG17	B	1	PD21NOV17	2352.00	2559.32	USD	5,183.00	
21AUG17	B	1	PD21NOV17	2355.00	2559.32	USD	5,108.00	

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21AUG17	B 1 PD21NOV17	2345.50	2559.32	USD	5,345.50	
	NET 0 AVG BGHT 2358.60 SOLD 2383.10				3,062.50	0.00
22AUG17	B 1 PD22NOV17	2357.00	2559.46	USD	5,061.50	
22AUG17	S 1 PD22NOV17	2340.00	2559.46	USD	-5,486.50	
22AUG17	S 3 PD22NOV17	2370.00	2559.46	USD	-14,209.50	
22AUG17	S 1 PD22NOV17	2374.00	2559.46	USD	-4,636.50	
22AUG17	S 1 PD22NOV17	2376.00	2559.46	USD	-4,586.50	
22AUG17	S 1 PD22NOV17	2403.00	2559.46	USD	-3,911.50	
22AUG17	B 1 PD22NOV17	2397.00	2559.46	USD	4,061.50	
22AUG17	B 1 PD22NOV17	2401.00	2559.46	USD	3,961.50	
22AUG17	S 1 PD22NOV17	2422.50	2559.46	USD	-3,424.00	
22AUG17	B 1 PD22NOV17	2407.50	2559.46	USD	3,799.00	
22AUG17	B 1 PD22NOV17	2408.50	2559.46	USD	3,774.00	
22AUG17	B 1 PD22NOV17	2409.00	2559.46	USD	3,761.50	
22AUG17	B 2 PD22NOV17	2411.50	2559.46	USD	7,398.00	
	NET 0 AVG BGHT 2400.38 SOLD 2378.19				-4,437.50	0.00
23AUG17	B 1 PD24NOV17	2408.00	2559.75	USD	3,793.75	
23AUG17	B 1 PD24NOV17	2409.00	2559.75	USD	3,768.75	
23AUG17	S 1 PD24NOV17	2402.50	2559.75	USD	-3,931.25	
23AUG17	S 1 PD24NOV17	2406.00	2559.75	USD	-3,843.75	
23AUG17	S 1 PD24NOV17	2407.00	2559.75	USD	-3,818.75	
23AUG17	S 1 PD24NOV17	2414.50	2559.75	USD	-3,631.25	
23AUG17	S 1 PD24NOV17	2417.00	2559.75	USD	-3,568.75	
23AUG17	S 2 PD24NOV17	2412.00	2559.75	USD	-7,387.50	
23AUG17	S 1 PD24NOV17	2413.00	2559.75	USD	-3,668.75	
23AUG17	S 1 PD24NOV17	2415.00	2559.75	USD	-3,618.75	
23AUG17	B 1 PD24NOV17	2400.50	2559.75	USD	3,981.25	
23AUG17	B 1 PD24NOV17	2401.00	2559.75	USD	3,968.75	
23AUG17	B 1 PD24NOV17	2402.00	2559.75	USD	3,943.75	
23AUG17	B 1 PD24NOV17	2397.50	2559.75	USD	4,056.25	
23AUG17	B 1 PD24NOV17	2399.00	2559.75	USD	4,018.75	
23AUG17	B 1 PD24NOV17	2397.00	2559.75	USD	4,068.75	
23AUG17	B 1 PD24NOV17	2395.00	2559.75	USD	4,118.75	
24AUG17	S 2 PD24NOV17	2367.00	2559.75	USD	-9,637.50	
24AUG17	B 2 PD24NOV17	2364.00	2559.75	USD	9,787.50	
24AUG17	S 1 PD24NOV17	2354.50	2559.75	USD	-5,131.25	
24AUG17	S 1 PD24NOV17	2357.50	2559.75	USD	-5,056.25	
24AUG17	S 1 PD24NOV17	2360.00	2559.75	USD	-4,993.75	
24AUG17	S 2 PD24NOV17	2356.00	2559.75	USD	-10,187.50	
24AUG17	S 2 PD24NOV17	2358.00	2559.75	USD	-10,087.50	
24AUG17	B 1 PD24NOV17	2359.00	2559.75	USD	5,018.75	
24AUG17	B 1 PD24NOV17	2364.50	2559.75	USD	4,881.25	
24AUG17	S 1 PD24NOV17	2372.00	2559.75	USD	-4,693.75	
24AUG17	S 1 PD24NOV17	2374.00	2559.75	USD	-4,643.75	
24AUG17	S 1 PD24NOV17	2375.50	2559.75	USD	-4,606.25	
25AUG17	B 1 PD24NOV17	2338.50	2559.75	USD	5,531.25	
25AUG17	B 1 PD24NOV17	2341.00	2559.75	USD	5,468.75	
25AUG17	B 2 PD24NOV17	2330.50	2559.75	USD	11,462.50	
25AUG17	B 2 PD24NOV17	2321.00	2559.75	USD	11,937.50	
25AUG17	B 2 PD24NOV17	2326.00	2559.75	USD	11,687.50	
	NET 0 AVG BGHT 2366.43 SOLD 2383.55				8,987.50	0.00
31AUG17	B 1 PD30NOV17	2389.00	2560.30	USD	4,282.50	
31AUG17	B 1 PD30NOV17	2393.00	2560.30	USD	4,182.50	
31AUG17	B 2 PD30NOV17	2388.00	2560.30	USD	8,615.00	
31AUG17	B 1 PD30NOV17	2388.50	2560.30	USD	4,295.00	
31AUG17	B 1 PD30NOV17	2379.00	2560.30	USD	4,532.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	2386.00	2560.30	USD	-4,357.50	
31AUG17	S	1	PD30NOV17	2388.00	2560.30	USD	-4,307.50	
31AUG17	S	1	PD30NOV17	2390.00	2560.30	USD	-4,257.50	
31AUG17	S	1	PD30NOV17	2391.00	2560.30	USD	-4,232.50	
06SEP17	S	2	PD30NOV17	2338.35	2560.30	USD	-11,097.50	
	NET	0 AVG BGHT 2387.58	SOLD 2371.95				-2,345.00	0.00
01SEP17	S	1	PD01DEC17	2405.50	2560.39	USD	-3,872.25	
01SEP17	S	1	PD01DEC17	2406.00	2560.39	USD	-3,859.75	
01SEP17	S	2	PD01DEC17	2391.00	2560.39	USD	-8,469.50	
01SEP17	S	1	PD01DEC17	2401.50	2560.39	USD	-3,972.25	
01SEP17	S	1	PD01DEC17	2408.00	2560.39	USD	-3,809.75	
01SEP17	S	2	PD01DEC17	2407.00	2560.39	USD	-7,669.50	
01SEP17	B	1	PD01DEC17	2407.00	2560.39	USD	3,834.75	
01SEP17	B	3	PD01DEC17	2408.50	2560.39	USD	11,391.75	
01SEP17	B	1	PD01DEC17	2404.00	2560.39	USD	3,909.75	
01SEP17	B	1	PD01DEC17	2403.00	2560.39	USD	3,934.75	
06SEP17	B	2	PD01DEC17	2338.83	2560.39	USD	11,078.00	
	NET	0 AVG BGHT 2389.65	SOLD 2402.13				2,496.00	0.00
04SEP17	B	1	PD04DEC17	2386.50	2560.66	USD	4,354.00	
04SEP17	B	1	PD04DEC17	2393.50	2560.66	USD	4,179.00	
04SEP17	S	2	PD04DEC17	2390.00	2560.66	USD	-8,533.00	
	NET	0 AVG BGHT 2390.00	SOLD 2390.00				0.00	0.00
05SEP17	S	2	PD05DEC17	2403.00	2560.75	USD	-7,887.50	
05SEP17	S	3	PD05DEC17	2403.50	2560.75	USD	-11,793.75	
05SEP17	B	2	PD05DEC17	2388.00	2560.75	USD	8,637.50	
05SEP17	B	2	PD05DEC17	2389.50	2560.75	USD	8,562.50	
05SEP17	B	3	PD05DEC17	2389.00	2560.75	USD	12,881.25	
05SEP17	S	1	PD05DEC17	2389.00	2560.75	USD	-4,293.75	
05SEP17	S	1	PD05DEC17	2369.00	2560.75	USD	-4,793.75	
05SEP17	S	3	PD05DEC17	2370.00	2560.75	USD	-14,306.25	
05SEP17	S	1	PD05DEC17	2370.50	2560.75	USD	-4,756.25	
05SEP17	B	1	PD05DEC17	2371.50	2560.75	USD	4,731.25	
05SEP17	B	1	PD05DEC17	2368.50	2560.75	USD	4,806.25	
05SEP17	S	1	PD05DEC17	2365.00	2560.75	USD	-4,893.75	
05SEP17	S	1	PD05DEC17	2361.50	2560.75	USD	-4,981.25	
05SEP17	S	1	PD05DEC17	2341.50	2560.75	USD	-5,481.25	
05SEP17	B	2	PD05DEC17	2338.00	2560.75	USD	11,137.50	
05SEP17	S	1	PD05DEC17	2338.00	2560.75	USD	-5,568.75	
05SEP17	S	1	PD05DEC17	2329.00	2560.75	USD	-5,793.75	
05SEP17	S	1	PD05DEC17	2340.50	2560.75	USD	-5,506.25	
08SEP17	B	6	PD05DEC17	2264.58	2560.75	USD	44,425.50	
	NET	0 AVG BGHT 2336.79	SOLD 2372.38				15,125.50	0.00
06SEP17	B	1	PD06DEC17	2338.00	2561.00	USD	5,575.00	
06SEP17	B	2	PD06DEC17	2338.50	2561.00	USD	11,125.00	
06SEP17	S	1	PD06DEC17	2335.00	2561.00	USD	-5,650.00	
06SEP17	S	1	PD06DEC17	2335.50	2561.00	USD	-5,637.50	
06SEP17	B	1	PD06DEC17	2322.00	2561.00	USD	5,975.00	
06SEP17	B	1	PD06DEC17	2354.00	2561.00	USD	5,175.00	
06SEP17	S	1	PD06DEC17	2356.50	2561.00	USD	-5,112.50	
06SEP17	S	2	PD06DEC17	2357.00	2561.00	USD	-10,200.00	
06SEP17	B	1	PD06DEC17	2346.50	2561.00	USD	5,362.50	
06SEP17	S	1	PD06DEC17	2336.00	2561.00	USD	-5,625.00	
06SEP17	B	1	PD06DEC17	2339.50	2561.00	USD	5,537.50	
06SEP17	B	3	PD06DEC17	2341.00	2561.00	USD	16,500.00	
06SEP17	B	1	PD06DEC17	2344.00	2561.00	USD	5,425.00	
06SEP17	S	1	PD06DEC17	2348.00	2561.00	USD	-5,325.00	

OPEN POSITION STATEMENT



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SAP0034H

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	3	PD06DEC17	2345.00	2561.00	USD	16,200.00	
06SEP17	S	1	PD06DEC17	2361.00	2561.00	USD	-5,000.00	
06SEP17	S	1	PD06DEC17	2361.50	2561.00	USD	-4,987.50	
06SEP17	S	1	PD06DEC17	2341.00	2561.00	USD	-5,500.00	
06SEP17	B	2	PD06DEC17	2349.00	2561.00	USD	10,600.00	
08SEP17	S	6	PD06DEC17	2264.80	2561.00	USD	-44,430.00	
	NET	0AVG BGHT 2342.31	SOLD 2317.33				-9,992.50	0.00
07SEP17	S	5	PD07DEC17	2344.00	2561.25	USD	-27,156.25	
07SEP17	B	1	PD07DEC17	2334.50	2561.25	USD	5,668.75	
07SEP17	B	1	PD07DEC17	2335.50	2561.25	USD	5,643.75	
07SEP17	S	1	PD07DEC17	2336.00	2561.25	USD	-5,631.25	
07SEP17	S	1	PD07DEC17	2341.00	2561.25	USD	-5,506.25	
07SEP17	B	1	PD07DEC17	2334.00	2561.25	USD	5,681.25	
07SEP17	B	1	PD07DEC17	2336.00	2561.25	USD	5,631.25	
07SEP17	B	1	PD07DEC17	2330.50	2561.25	USD	5,768.75	
07SEP17	B	5	PD07DEC17	2332.50	2561.25	USD	28,593.75	
07SEP17	S	3	PD07DEC17	2331.00	2561.25	USD	-17,268.75	
07SEP17	S	1	PD07DEC17	2331.50	2561.25	USD	-5,743.75	
07SEP17	S	1	PD07DEC17	2333.50	2561.25	USD	-5,693.75	
07SEP17	S	1	PD07DEC17	2339.50	2561.25	USD	-5,543.75	
07SEP17	S	2	PD07DEC17	2342.00	2561.25	USD	-10,962.50	
07SEP17	B	3	PD07DEC17	2350.00	2561.25	USD	15,843.75	
07SEP17	B	1	PD07DEC17	2351.00	2561.25	USD	5,256.25	
07SEP17	B	1	PD07DEC17	2342.00	2561.25	USD	5,481.25	
	NET	0AVG BGHT 2338.40	SOLD 2338.57				62.50	0.00
08SEP17	S	1	PD08DEC17	2307.00	2561.50	USD	-6,362.50	
08SEP17	B	1	PD08DEC17	2304.00	2561.50	USD	6,437.50	
08SEP17	S	2	PD08DEC17	2308.50	2561.50	USD	-12,650.00	
08SEP17	B	1	PD08DEC17	2302.00	2561.50	USD	6,487.50	
08SEP17	B	3	PD08DEC17	2303.00	2561.50	USD	19,387.50	
08SEP17	S	1	PD08DEC17	2295.50	2561.50	USD	-6,650.00	
08SEP17	S	2	PD08DEC17	2298.00	2561.50	USD	-13,175.00	
08SEP17	S	1	PD08DEC17	2298.50	2561.50	USD	-6,575.00	
08SEP17	B	1	PD08DEC17	2301.00	2561.50	USD	6,512.50	
08SEP17	S	1	PD08DEC17	2295.00	2561.50	USD	-6,662.50	
08SEP17	S	1	PD08DEC17	2300.00	2561.50	USD	-6,537.50	
08SEP17	S	1	PD08DEC17	2301.50	2561.50	USD	-6,500.00	
08SEP17	S	1	PD08DEC17	2306.50	2561.50	USD	-6,375.00	
08SEP17	B	1	PD08DEC17	2291.00	2561.50	USD	6,762.50	
08SEP17	B	2	PD08DEC17	2284.00	2561.50	USD	13,875.00	
08SEP17	B	2	PD08DEC17	2289.00	2561.50	USD	13,625.00	
08SEP17	S	1	PD08DEC17	2283.50	2561.50	USD	-6,950.00	
08SEP17	B	1	PD08DEC17	2279.00	2561.50	USD	7,062.50	
	NET	0AVG BGHT 2294.33	SOLD 2300.04				1,712.50	0.00
11SEP17	B	2	PD11DEC17	2269.50	2562.25	USD	14,637.50	
11SEP17	B	1	PD11DEC17	2277.50	2562.25	USD	7,118.75	
11SEP17	B	2	PD11DEC17	2280.00	2562.25	USD	14,112.50	
11SEP17	S	1	PD11DEC17	2273.00	2562.25	USD	-7,231.25	
11SEP17	S	1	PD11DEC17	2276.00	2562.25	USD	-7,156.25	
11SEP17	S	1	PD11DEC17	2286.50	2562.25	USD	-6,893.75	
11SEP17	B	1	PD11DEC17	2294.50	2562.25	USD	6,693.75	
11SEP17	S	2	PD11DEC17	2295.00	2562.25	USD	-13,362.50	
11SEP17	S	1	PD11DEC17	2296.00	2562.25	USD	-6,656.25	
11SEP17	S	1	PD11DEC17	2295.50	2562.25	USD	-6,668.75	
11SEP17	S	2	PD11DEC17	2294.00	2562.25	USD	-13,412.50	
11SEP17	S	2	PD11DEC17	2292.50	2562.25	USD	-13,487.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11SEP17	S	1	PD11DEC17	2287.50	2562.25	USD	-6,868.75	
11SEP17	S	2	PD11DEC17	2293.00	2562.25	USD	-13,462.50	
11SEP17	B	1	PD11DEC17	2291.00	2562.25	USD	6,781.25	
11SEP17	S	1	PD11DEC17	2283.00	2562.25	USD	-6,981.25	
11SEP17	B	1	PD11DEC17	2289.00	2562.25	USD	6,831.25	
11SEP17	S	1	PD11DEC17	2287.00	2562.25	USD	-6,881.25	
11SEP17	B	1	PD11DEC17	2281.50	2562.25	USD	7,018.75	
11SEP17	B	2	PD11DEC17	2283.00	2562.25	USD	13,962.50	
11SEP17	B	1	PD11DEC17	2277.00	2562.25	USD	7,131.25	
11SEP17	S	1	PD11DEC17	2279.50	2562.25	USD	-7,068.75	
11SEP17	B	1	PD11DEC17	2267.00	2562.25	USD	7,381.25	
11SEP17	B	4	PD11DEC17	2260.00	2562.25	USD	30,225.00	
	NET	0 AVG BGHT 2275.44	SOLD 2289.00				5,762.50	0.00
12SEP17	S	1	PD12DEC17	2272.50	2562.50	USD	-7,250.00	
12SEP17	S	1	PD12DEC17	2273.00	2562.50	USD	-7,237.50	
12SEP17	S	2	PD12DEC17	2274.00	2562.50	USD	-14,425.00	
12SEP17	S	1	PD12DEC17	2275.00	2562.50	USD	-7,187.50	
12SEP17	B	1	PD12DEC17	2278.50	2562.50	USD	7,100.00	
12SEP17	B	1	PD12DEC17	2279.00	2562.50	USD	7,087.50	
12SEP17	B	2	PD12DEC17	2272.00	2562.50	USD	14,525.00	
12SEP17	B	1	PD12DEC17	2273.50	2562.50	USD	7,225.00	
12SEP17	S	1	PD12DEC17	2270.00	2562.50	USD	-7,312.50	
12SEP17	B	1	PD12DEC17	2264.50	2562.50	USD	7,450.00	
12SEP17	S	1	PD12DEC17	2271.00	2562.50	USD	-7,287.50	
12SEP17	S	1	PD12DEC17	2271.50	2562.50	USD	-7,275.00	
12SEP17	S	1	PD12DEC17	2298.50	2562.50	USD	-6,600.00	
12SEP17	S	1	PD12DEC17	2299.00	2562.50	USD	-6,587.50	
12SEP17	S	1	PD12DEC17	2305.50	2562.50	USD	-6,425.00	
12SEP17	B	1	PD12DEC17	2305.50	2562.50	USD	6,425.00	
12SEP17	B	1	PD12DEC17	2308.00	2562.50	USD	6,362.50	
14SEP17	B	3	PD12DEC17	2306.43	2562.50	USD	19,205.25	
	NET	0 AVG BGHT 2288.39	SOLD 2280.36				-2,207.25	0.00
13SEP17	B	1	PD13DEC17	2288.00	2562.75	USD	6,868.75	
13SEP17	B	2	PD13DEC17	2289.00	2562.75	USD	13,687.50	
13SEP17	B	1	PD13DEC17	2291.00	2562.75	USD	6,793.75	
13SEP17	S	1	PD13DEC17	2297.50	2562.75	USD	-6,631.25	
14SEP17	S	3	PD13DEC17	2306.52	2562.75	USD	-19,217.25	
	NET	0 AVG BGHT 2289.25	SOLD 2304.27				1,501.50	0.00
14SEP17	B	5	PD14DEC17	2300.00	2563.00	USD	32,875.00	
14SEP17	S	3	PD14DEC17	2288.00	2563.00	USD	-20,625.00	
14SEP17	S	1	PD14DEC17	2288.50	2563.00	USD	-6,862.50	
14SEP17	S	1	PD14DEC17	2291.50	2563.00	USD	-6,787.50	
14SEP17	B	3	PD14DEC17	2286.50	2563.00	USD	20,737.50	
14SEP17	B	1	PD14DEC17	2287.00	2563.00	USD	6,900.00	
14SEP17	S	2	PD14DEC17	2295.00	2563.00	USD	-13,400.00	
14SEP17	S	1	PD14DEC17	2296.50	2563.00	USD	-6,662.50	
14SEP17	B	1	PD14DEC17	2274.50	2563.00	USD	7,212.50	
14SEP17	B	1	PD14DEC17	2272.00	2563.00	USD	7,275.00	
14SEP17	B	1	PD14DEC17	2274.00	2563.00	USD	7,225.00	
14SEP17	S	1	PD14DEC17	2284.00	2563.00	USD	-6,975.00	
14SEP17	S	1	PD14DEC17	2287.00	2563.00	USD	-6,900.00	
14SEP17	S	2	PD14DEC17	2293.00	2563.00	USD	-13,500.00	
14SEP17	S	1	PD14DEC17	2302.50	2563.00	USD	-6,512.50	
14SEP17	S	2	PD14DEC17	2304.00	2563.00	USD	-12,950.00	
14SEP17	B	1	PD14DEC17	2309.50	2563.00	USD	6,337.50	
14SEP17	B	1	PD14DEC17	2310.50	2563.00	USD	6,312.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	B	1	PD14DEC17	2308.50	2563.00	USD	6,362.50	
14SEP17	B	1	PD14DEC17	2305.00	2563.00	USD	6,450.00	
14SEP17	B	1	PD14DEC17	2306.50	2563.00	USD	6,412.50	
14SEP17	S	2	PD14DEC17	2290.00	2563.00	USD	-13,650.00	
	NET	0 AVG	BGHT 2294.53				-725.00	0.00
			SOLD 2292.82					
15SEP17	S	2	PD15DEC17	2336.00	2563.25	USD	-11,362.50	
15SEP17	S	1	PD15DEC17	2338.00	2563.25	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	2338.50	2563.25	USD	-5,618.75	
15SEP17	S	2	PD15DEC17	2340.00	2563.25	USD	-11,162.50	
15SEP17	S	1	PD15DEC17	2342.50	2563.25	USD	-5,518.75	
15SEP17	B	1	PD15DEC17	2345.00	2563.25	USD	5,456.25	
15SEP17	S	2	PD15DEC17	2341.50	2563.25	USD	-11,087.50	
15SEP17	B	1	PD15DEC17	2348.50	2563.25	USD	5,368.75	
15SEP17	B	2	PD15DEC17	2356.00	2563.25	USD	10,362.50	
15SEP17	B	1	PD15DEC17	2347.00	2563.25	USD	5,406.25	
15SEP17	B	1	PD15DEC17	2348.00	2563.25	USD	5,381.25	
15SEP17	B	1	PD15DEC17	2350.50	2563.25	USD	5,318.75	
15SEP17	B	1	PD15DEC17	2347.50	2563.25	USD	5,393.75	
15SEP17	B	2	PD15DEC17	2341.00	2563.25	USD	11,112.50	
15SEP17	B	3	PD15DEC17	2342.50	2563.25	USD	16,556.25	
15SEP17	B	1	PD15DEC17	2346.00	2563.25	USD	5,431.25	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
15SEP17	S	1	PD15DEC17	2349.50	2563.25	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	2351.50	2563.25	USD	-5,293.75	
15SEP17	S	2	PD15DEC17	2361.00	2563.25	USD	-10,112.50	
	NET	0 AVG	BGHT 2346.71				-712.50	0.00
			SOLD 2344.68					
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	1	PD18DEC17	2392.00	2564.00	USD	-4,300.00	
18SEP17	B	1	PD18DEC17	2386.00	2564.00	USD	4,450.00	
18SEP17	S	2	PD18DEC17	2402.50	2564.00	USD	-8,075.00	
18SEP17	B	1	PD18DEC17	2386.50	2564.00	USD	4,437.50	
18SEP17	B	1	PD18DEC17	2387.00	2564.00	USD	4,425.00	
18SEP17	B	1	PD18DEC17	2388.50	2564.00	USD	4,387.50	
	NET	0 AVG	BGHT 2387.00				1,050.00	0.00
			SOLD 2397.50					
19SEP17	S	1	PD19DEC17	2385.00	2564.25	USD	-4,481.25	
19SEP17	B	1	PD19DEC17	2384.00	2564.25	USD	4,506.25	
19SEP17	S	1	PD19DEC17	2382.00	2564.25	USD	-4,556.25	
19SEP17	S	1	PD19DEC17	2385.50	2564.25	USD	-4,468.75	
19SEP17	S	1	PD19DEC17	2386.00	2564.25	USD	-4,456.25	
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	S	1	PD19DEC17	2394.50	2564.25	USD	-4,243.75	
19SEP17	S	1	PD19DEC17	2397.50	2564.25	USD	-4,168.75	
19SEP17	S	2	PD19DEC17	2405.50	2564.25	USD	-7,937.50	
19SEP17	B	1	PD19DEC17	2403.00	2564.25	USD	4,031.25	
19SEP17	B	1	PD19DEC17	2396.00	2564.25	USD	4,206.25	
19SEP17	B	1	PD19DEC17	2412.50	2564.25	USD	3,793.75	
19SEP17	B	1	PD19DEC17	2414.00	2564.25	USD	3,756.25	
19SEP17	B	1	PD19DEC17	2410.00	2564.25	USD	3,856.25	
19SEP17	B	1	PD19DEC17	2399.00	2564.25	USD	4,131.25	
19SEP17	B	1	PD19DEC17	2405.50	2564.25	USD	3,968.75	
19SEP17	S	1	PD19DEC17	2418.50	2564.25	USD	-3,643.75	
19SEP17	B	1	PD19DEC17	2438.00	2564.25	USD	3,156.25	
19SEP17	S	1	PD19DEC17	2438.00	2564.25	USD	-3,156.25	
19SEP17	B	1	PD19DEC17	2446.00	2564.25	USD	2,956.25	
19SEP17	B	1	PD19DEC17	2412.00	2564.25	USD	3,806.25	
	NET	0 AVG	BGHT 2410.91				-3,212.50	0.00
			SOLD 2399.23					

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	3	PD20DEC17	2454.50	2564.50	USD	8,250.00	
20SEP17	S	1	PD20DEC17	2452.00	2564.50	USD	-2,812.50	
20SEP17	S	1	PD20DEC17	2454.50	2564.50	USD	-2,750.00	
20SEP17	B	3	PD20DEC17	2452.50	2564.50	USD	8,400.00	
20SEP17	S	2	PD20DEC17	2463.00	2564.50	USD	-5,075.00	
20SEP17	S	3	PD20DEC17	2452.50	2564.50	USD	-8,400.00	
20SEP17	S	1	PD20DEC17	2467.00	2564.50	USD	-2,437.50	
20SEP17	S	3	PD20DEC17	2466.00	2564.50	USD	-7,387.50	
20SEP17	B	1	PD20DEC17	2454.00	2564.50	USD	2,762.50	
20SEP17	B	1	PD20DEC17	2455.00	2564.50	USD	2,737.50	
20SEP17	B	2	PD20DEC17	2456.00	2564.50	USD	5,425.00	
20SEP17	B	1	PD20DEC17	2452.00	2564.50	USD	2,812.50	
	NET	0 AVG	BGHT 2454.00				1,525.00	0.00
21SEP17	B	1	PD21DEC17	2464.50	2563.50	USD	2,475.00	
21SEP17	B	1	PD21DEC17	2461.00	2563.50	USD	2,562.50	
21SEP17	S	2	PD21DEC17	2463.00	2563.50	USD	-5,025.00	
	NET	0 AVG	BGHT 2462.75				12.50	0.00
22SEP17	B	2	PD22DEC17	2413.00	2563.50	USD	7,525.00	
22SEP17	B	1	PD22DEC17	2417.50	2563.50	USD	3,650.00	
22SEP17	B	1	PD22DEC17	2381.00	2563.50	USD	4,562.50	
22SEP17	B	2	PD22DEC17	2389.00	2563.50	USD	8,725.00	
22SEP17	S	1	PD22DEC17	2379.00	2563.50	USD	-4,612.50	
22SEP17	S	1	PD22DEC17	2402.00	2563.50	USD	-4,037.50	
22SEP17	S	1	PD22DEC17	2404.50	2563.50	USD	-3,975.00	
22SEP17	S	1	PD22DEC17	2405.50	2563.50	USD	-3,950.00	
22SEP17	S	1	PD22DEC17	2407.50	2563.50	USD	-3,900.00	
22SEP17	S	1	PD22DEC17	2410.00	2563.50	USD	-3,837.50	
22SEP17	S	1	PD22DEC17	2459.00	2563.50	USD	-2,612.50	
22SEP17	B	1	PD22DEC17	2463.00	2563.50	USD	2,512.50	
	NET	0 AVG	BGHT 2409.36				50.00	0.00
03OCT17	S	1	PD03JAN18	2520.50	2564.20	USD	-1,092.50	
03OCT17	S	2	PD03JAN18	2520.00	2564.20	USD	-2,210.00	
03OCT17	B	1	PD03JAN18	2527.00	2564.20	USD	930.00	
03OCT17	B	1	PD03JAN18	2528.00	2564.20	USD	905.00	
03OCT17	B	1	PD03JAN18	2528.50	2564.20	USD	892.50	
	NET	0 AVG	BGHT 2527.83				-575.00	0.00
04OCT17	S	1	PD04JAN18	2605.00	2564.30	USD	1,017.50	
04OCT17	S	1	PD04JAN18	2607.00	2564.30	USD	1,067.50	
04OCT17	S	1	PD04JAN18	2608.00	2564.30	USD	1,092.50	
04OCT17	B	1	PD04JAN18	2591.00	2564.30	USD	-667.50	
04OCT17	B	1	PD04JAN18	2600.50	2564.30	USD	-905.00	
04OCT17	B	1	PD04JAN18	2576.50	2564.30	USD	-305.00	
04OCT17	B	2	PD04JAN18	2577.50	2564.30	USD	-660.00	
04OCT17	S	2	PD04JAN18	2606.50	2564.30	USD	2,110.00	
04OCT17	S	1	PD04JAN18	2591.00	2564.30	USD	667.50	
04OCT17	B	1	PD04JAN18	2574.50	2564.30	USD	-255.00	
	NET	0 AVG	BGHT 2582.92				3,162.50	0.00
05OCT17	S	1	PD05JAN18	2555.50	2564.40	USD	-222.50	
05OCT17	B	1	PD05JAN18	2567.00	2564.40	USD	-65.00	
	NET	0 AVG	BGHT 2567.00				-287.50	0.00
09OCT17	B	1	PD09JAN18	2528.50	2564.80	USD	907.50	
09OCT17	S	1	PD09JAN18	2516.50	2564.80	USD	-1,207.50	
	NET	0 AVG	BGHT 2528.50				-300.00	0.00
11OCT17	B	2	PD11JAN18	2517.00	2565.00	USD	2,400.00	
11OCT17	S	1	PD11JAN18	2514.00	2565.00	USD	-1,275.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	2514.50	2565.00	USD	-1,262.50	
11OCT17	B	1	PD11JAN18	2518.00	2565.00	USD	1,175.00	
11OCT17	B	1	PD11JAN18	2518.50	2565.00	USD	1,162.50	
11OCT17	S	1	PD11JAN18	2523.50	2565.00	USD	-1,037.50	
11OCT17	S	1	PD11JAN18	2524.50	2565.00	USD	-1,012.50	
11OCT17	B	1	PD11JAN18	2525.00	2565.00	USD	1,000.00	
11OCT17	B	1	PD11JAN18	2522.00	2565.00	USD	1,075.00	
11OCT17	B	1	PD11JAN18	2522.50	2565.00	USD	1,062.50	
11OCT17	S	3	PD11JAN18	2528.50	2565.00	USD	-2,737.50	
	NET	0AVG BGHT 2520.00 SOLD 2523.14					550.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	9200.00	11060.20	USD	-11,161.20	
12JUL17	S	1	PD12OCT17	9205.00	11060.20	USD	-11,131.20	
13JUL17	B	2	PD12OCT17	9210.43	11060.20	USD	22,197.24	
	NET	0AVG BGHT 9210.43 SOLD 9202.50					-95.16	0.00
13JUL17	S	1	PD13OCT17	9260.00	11061.00	USD	-10,806.00	
13JUL17	S	1	PD13OCT17	9265.00	11061.00	USD	-10,776.00	
14JUL17	B	2	PD13OCT17	9550.00	11061.00	USD	18,132.00	
	NET	0AVG BGHT 9550.00 SOLD 9262.50					-3,450.00	0.00
17JUL17	S	1	PD17OCT17	9645.00	11064.20	USD	-8,515.20	
17JUL17	B	2	PD17OCT17	9710.00	11064.20	USD	16,250.40	
17JUL17	S	1	PD17OCT17	9705.00	11064.20	USD	-8,155.20	
17JUL17	S	1	PD17OCT17	9710.00	11064.20	USD	-8,125.20	
17JUL17	S	1	PD17OCT17	9715.00	11064.20	USD	-8,095.20	
17JUL17	B	2	PD17OCT17	9620.00	11064.20	USD	17,330.40	
	NET	0AVG BGHT 9665.00 SOLD 9693.75					690.00	0.00
24OCT16	B	3	PD18OCT17	10223.50	11065.00	USD	15,147.00	
25OCT16	S	3	PD18OCT17	10343.00	11065.00	USD	-12,996.00	
18JUL17	B	2	PD18OCT17	9575.00	11065.00	USD	17,880.00	
18JUL17	B	1	PD18OCT17	9585.00	11065.00	USD	8,880.00	
18JUL17	S	1	PD18OCT17	9610.00	11065.00	USD	-8,730.00	
18JUL17	S	2	PD18OCT17	9635.00	11065.00	USD	-17,160.00	
18JUL17	S	2	PD18OCT17	9640.00	11065.00	USD	-17,100.00	
18JUL17	B	2	PD18OCT17	9650.00	11065.00	USD	16,980.00	
	NET	0AVG BGHT 9838.19 SOLD 9898.63					2,901.00	0.00
19JUL17	B	1	PD19OCT17	9685.00	11065.96	USD	8,285.76	
19JUL17	B	1	PD19OCT17	9690.00	11065.96	USD	8,255.76	
19JUL17	S	1	PD19OCT17	9735.00	11065.96	USD	-7,985.76	
19JUL17	S	1	PD19OCT17	9760.00	11065.96	USD	-7,835.76	
	NET	0AVG BGHT 9687.50 SOLD 9747.50					720.00	0.00
20JUL17	B	1	PD20OCT17	9620.00	11066.93	USD	8,681.58	
20JUL17	B	1	PD20OCT17	9610.00	11066.93	USD	8,741.58	
20JUL17	B	2	PD20OCT17	9495.00	11066.93	USD	18,863.16	
20JUL17	S	1	PD20OCT17	9505.00	11066.93	USD	-9,371.58	
20JUL17	S	2	PD20OCT17	9510.00	11066.93	USD	-18,683.16	
20JUL17	S	1	PD20OCT17	9515.00	11066.93	USD	-9,311.58	
	NET	0AVG BGHT 9555.00 SOLD 9510.00					-1,080.00	0.00
24JUL17	S	2	PD24OCT17	9775.00	11070.79	USD	-15,549.48	
24JUL17	S	1	PD24OCT17	9760.00	11070.79	USD	-7,864.74	
26JUL17	B	3	PD24OCT17	9995.00	11070.79	USD	19,364.22	
	NET	0AVG BGHT 9995.00 SOLD 9770.00					-4,050.00	0.00
25JUL17	B	1	PD25OCT17	9910.00	11071.75	USD	6,970.50	
25JUL17	B	1	PD25OCT17	9905.00	11071.75	USD	7,000.50	

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25JUL17	B	1	PD250CT17	10005.00	11071.75	USD	6,400.50	
26JUL17	S	3	PD250CT17	9993.67	11071.75	USD	-19,405.44	
	NET	0AVG	BGHT 9940.00				966.06	0.00
26JUL17	S	1	PD260CT17	9945.00	11072.71	USD	-6,766.26	
26JUL17	B	1	PD260CT17	10015.00	11072.71	USD	6,346.26	
	NET	0AVG	BGHT 10015.00				-420.00	0.00
28JUL17	B	1	PD270CT17	10035.00	11073.68	USD	6,232.08	
28JUL17	S	1	PD270CT17	10035.00	11073.68	USD	-6,232.08	
	NET	0AVG	BGHT 10035.00				0.00	0.00
31JUL17	B	1	PD310CT17	10255.00	11077.54	USD	4,935.24	
31JUL17	B	1	PD310CT17	10310.00	11077.54	USD	4,605.24	
31JUL17	B	2	PD310CT17	10230.00	11077.54	USD	10,170.48	
31JUL17	B	1	PD310CT17	10245.00	11077.54	USD	4,995.24	
31JUL17	B	1	PD310CT17	10260.00	11077.54	USD	4,905.24	
31JUL17	B	1	PD310CT17	10275.00	11077.54	USD	4,815.24	
31JUL17	B	1	PD310CT17	10320.00	11077.54	USD	4,545.24	
31JUL17	S	2	PD310CT17	10285.00	11077.54	USD	-9,510.48	
31JUL17	S	1	PD310CT17	10295.00	11077.54	USD	-4,695.24	
31JUL17	S	1	PD310CT17	10300.00	11077.54	USD	-4,665.24	
31JUL17	S	1	PD310CT17	10310.00	11077.54	USD	-4,605.24	
31JUL17	B	2	PD310CT17	10265.00	11077.54	USD	9,750.48	
31JUL17	S	1	PD310CT17	10230.00	11077.54	USD	-5,085.24	
31JUL17	S	1	PD310CT17	10275.00	11077.54	USD	-4,815.24	
31JUL17	S	2	PD310CT17	10280.00	11077.54	USD	-9,570.48	
31JUL17	B	5	PD310CT17	10280.00	11077.54	USD	23,926.20	
31JUL17	B	1	PD310CT17	10285.00	11077.54	USD	4,755.24	
03AUG17	S	7	PD310CT17	10379.36	11077.54	USD	-29,323.56	
	NET	0AVG	BGHT 10271.25				5,133.12	0.00
01AUG17	S	1	PD01NOV17	10130.00	11078.50	USD	-5,691.00	
01AUG17	S	1	PD01NOV17	10125.00	11078.50	USD	-5,721.00	
01AUG17	S	1	PD01NOV17	10175.00	11078.50	USD	-5,421.00	
01AUG17	B	1	PD01NOV17	10130.00	11078.50	USD	5,691.00	
01AUG17	S	1	PD01NOV17	10135.00	11078.50	USD	-5,661.00	
01AUG17	S	1	PD01NOV17	10165.00	11078.50	USD	-5,481.00	
01AUG17	S	1	PD01NOV17	10180.00	11078.50	USD	-5,391.00	
01AUG17	S	1	PD01NOV17	10190.00	11078.50	USD	-5,331.00	
01AUG17	S	1	PD01NOV17	10200.00	11078.50	USD	-5,271.00	
03AUG17	B	7	PD01NOV17	10380.57	11078.50	USD	29,313.06	
	NET	0AVG	BGHT 10349.25				-8,963.94	0.00
02AUG17	B	1	PD02NOV17	10240.00	11079.46	USD	5,036.76	
02AUG17	S	1	PD02NOV17	10240.00	11079.46	USD	-5,036.76	
02AUG17	B	1	PD02NOV17	10325.00	11079.46	USD	4,526.76	
02AUG17	S	1	PD02NOV17	10345.00	11079.46	USD	-4,406.76	
	NET	0AVG	BGHT 10282.50				120.00	0.00
03AUG17	S	2	PD03NOV17	10365.00	11080.43	USD	-8,585.16	
03AUG17	S	1	PD03NOV17	10375.00	11080.43	USD	-4,232.58	
04AUG17	S	1	PD03NOV17	10385.00	11080.43	USD	-4,172.58	
04AUG17	B	2	PD03NOV17	10385.00	11080.43	USD	8,345.16	
04AUG17	B	2	PD03NOV17	10380.00	11080.43	USD	8,405.16	
	NET	0AVG	BGHT 10382.50				-240.00	0.00
07AUG17	B	2	PD07NOV17	10335.00	11084.29	USD	8,991.48	
07AUG17	B	1	PD07NOV17	10350.00	11084.29	USD	4,405.74	
07AUG17	S	1	PD07NOV17	10365.00	11084.29	USD	-4,315.74	
07AUG17	S	1	PD07NOV17	10385.00	11084.29	USD	-4,195.74	
07AUG17	S	2	PD07NOV17	10395.00	11084.29	USD	-8,271.48	

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07AUG17	S	1	PD07NOV17	10360.00	11084.29	USD	-4,345.74	
07AUG17	B	1	PD07NOV17	10370.00	11084.29	USD	4,285.74	
07AUG17	B	4	PD07NOV17	10365.00	11084.29	USD	17,262.96	
07AUG17	S	1	PD07NOV17	10380.00	11084.29	USD	-4,225.74	
10AUG17	S	2	PD07NOV17	10983.24	11084.29	USD	-1,212.60	
	NET	0 AVG BGHT 10356.25	SOLD 10530.81				8,378.88	0.00
08AUG17	B	1	PD08NOV17	10300.00	11085.25	USD	4,711.50	
08AUG17	B	1	PD08NOV17	10305.00	11085.25	USD	4,681.50	
08AUG17	B	1	PD08NOV17	10270.00	11085.25	USD	4,891.50	
08AUG17	S	2	PD08NOV17	10330.00	11085.25	USD	-9,063.00	
08AUG17	S	1	PD08NOV17	10340.00	11085.25	USD	-4,471.50	
08AUG17	S	1	PD08NOV17	10365.00	11085.25	USD	-4,321.50	
08AUG17	S	1	PD08NOV17	10370.00	11085.25	USD	-4,291.50	
08AUG17	S	1	PD08NOV17	10615.00	11085.25	USD	-2,821.50	
08AUG17	B	1	PD08NOV17	10775.00	11085.25	USD	1,861.50	
10AUG17	B	2	PD08NOV17	10984.83	11085.25	USD	1,205.04	
	NET	0 AVG BGHT 10603.28	SOLD 10391.67				-7,617.96	0.00
09AUG17	S	1	PD09NOV17	10735.00	11086.21	USD	-2,107.26	
09AUG17	S	1	PD09NOV17	10810.00	11086.21	USD	-1,657.26	
09AUG17	S	1	PD09NOV17	10765.00	11086.21	USD	-1,927.26	
09AUG17	B	2	PD09NOV17	10800.00	11086.21	USD	3,434.52	
09AUG17	B	1	PD09NOV17	10795.00	11086.21	USD	1,747.26	
	NET	0 AVG BGHT 10798.33	SOLD 10770.00				-510.00	0.00
11AUG17	B	2	PD10NOV17	10740.00	11087.18	USD	4,166.16	
11AUG17	S	1	PD10NOV17	10670.00	11087.18	USD	-2,503.08	
11AUG17	B	2	PD10NOV17	10645.00	11087.18	USD	5,306.16	
11AUG17	B	1	PD10NOV17	10630.00	11087.18	USD	2,743.08	
11AUG17	S	4	PD10NOV17	10665.00	11087.18	USD	-10,132.32	
	NET	0 AVG BGHT 10680.00	SOLD 10666.00				-420.00	0.00
14AUG17	B	1	PD14NOV17	10630.00	11091.04	USD	2,766.24	
14AUG17	B	1	PD14NOV17	10640.00	11091.04	USD	2,706.24	
14AUG17	B	1	PD14NOV17	10660.00	11091.04	USD	2,586.24	
14AUG17	B	2	PD14NOV17	10635.00	11091.04	USD	5,472.48	
14AUG17	B	1	PD14NOV17	10575.00	11091.04	USD	3,096.24	
14AUG17	B	1	PD14NOV17	10595.00	11091.04	USD	2,976.24	
14AUG17	B	1	PD14NOV17	10605.00	11091.04	USD	2,916.24	
14AUG17	S	1	PD14NOV17	10600.00	11091.04	USD	-2,946.24	
14AUG17	S	1	PD14NOV17	10610.00	11091.04	USD	-2,886.24	
14AUG17	B	1	PD14NOV17	10540.00	11091.04	USD	3,306.24	
14AUG17	B	1	PD14NOV17	10510.00	11091.04	USD	3,486.24	
14AUG17	S	1	PD14NOV17	10395.00	11091.04	USD	-4,176.24	
14AUG17	S	1	PD14NOV17	10445.00	11091.04	USD	-3,876.24	
14AUG17	S	6	PD14NOV17	10435.00	11091.04	USD	-23,617.44	
	NET	0 AVG BGHT 10602.50	SOLD 10466.00				-8,190.00	0.00
15AUG17	S	1	PD15NOV17	10430.00	11092.00	USD	-3,972.00	
22AUG17	B	1	PD15NOV17	11414.50	11092.00	USD	-1,935.00	
	NET	0 AVG BGHT 11414.50	SOLD 10430.00				-5,907.00	0.00
18AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	
22AUG17	S	1	PD17NOV17	11411.92	11093.54	USD	1,910.28	
	NET	0 AVG BGHT 10800.00	SOLD 11411.92				3,671.52	0.00
22AUG17	S	2	PD22NOV17	11335.00	11097.40	USD	2,851.20	
22AUG17	S	1	PD22NOV17	11470.00	11097.40	USD	2,235.60	
22AUG17	S	1	PD22NOV17	11500.00	11097.40	USD	2,415.60	
22AUG17	B	1	PD22NOV17	11460.00	11097.40	USD	-2,175.60	
22AUG17	B	1	PD22NOV17	11470.00	11097.40	USD	-2,235.60	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	B	1	PD22NOV17		11420.00	11097.40	USD	-1,935.60	
22AUG17	B	1	PD22NOV17		11345.00	11097.40	USD	-1,485.60	
	NET	0AVG	BGHT 11423.75	SOLD 11410.00				-330.00	0.00
23AUG17	B	2	PD24NOV17		11335.00	11098.94	USD	-2,832.72	
23AUG17	S	1	PD24NOV17		11400.00	11098.94	USD	1,806.36	
23AUG17	S	3	PD24NOV17		11535.00	11098.94	USD	7,849.08	
23AUG17	S	3	PD24NOV17		11540.00	11098.94	USD	7,939.08	
23AUG17	S	1	PD24NOV17		11545.00	11098.94	USD	2,676.36	
23AUG17	S	1	PD24NOV17		11415.00	11098.94	USD	1,896.36	
23AUG17	B	1	PD24NOV17		11405.00	11098.94	USD	-1,836.36	
23AUG17	B	1	PD24NOV17		11410.00	11098.94	USD	-1,866.36	
23AUG17	B	1	PD24NOV17		11415.00	11098.94	USD	-1,896.36	
23AUG17	B	2	PD24NOV17		11445.00	11098.94	USD	-4,152.72	
23AUG17	B	2	PD24NOV17		11485.00	11098.94	USD	-4,632.72	
24AUG17	S	2	PD24NOV17		11710.00	11098.94	USD	7,332.72	
24AUG17	S	1	PD24NOV17		11715.00	11098.94	USD	3,696.36	
24AUG17	S	1	PD24NOV17		11720.00	11098.94	USD	3,726.36	
24AUG17	B	2	PD24NOV17		11710.00	11098.94	USD	-7,332.72	
24AUG17	B	1	PD24NOV17		11670.00	11098.94	USD	-3,426.36	
24AUG17	B	1	PD24NOV17		11675.00	11098.94	USD	-3,456.36	
24AUG17	B	1	PD24NOV17		11650.00	11098.94	USD	-3,306.36	
24AUG17	S	1	PD24NOV17		11650.00	11098.94	USD	3,306.36	
24AUG17	B	1	PD24NOV17		11725.00	11098.94	USD	-3,756.36	
24AUG17	B	2	PD24NOV17		11770.00	11098.94	USD	-8,052.72	
24AUG17	S	1	PD24NOV17		11770.00	11098.94	USD	4,026.36	
24AUG17	B	1	PD24NOV17		11775.00	11098.94	USD	-4,056.36	
24AUG17	S	3	PD24NOV17		11740.00	11098.94	USD	11,539.08	
24AUG17	S	1	PD24NOV17		11760.00	11098.94	USD	3,966.36	
24AUG17	B	1	PD24NOV17		11730.00	11098.94	USD	-3,786.36	
24AUG17	B	1	PD24NOV17		11735.00	11098.94	USD	-3,816.36	
24AUG17	S	5	PD24NOV17		11745.00	11098.94	USD	19,381.80	
25AUG17	B	1	PD24NOV17		11680.00	11098.94	USD	-3,486.36	
25AUG17	B	1	PD24NOV17		11685.00	11098.94	USD	-3,516.36	
25AUG17	B	1	PD24NOV17		11695.00	11098.94	USD	-3,576.36	
25AUG17	B	1	PD24NOV17		11705.00	11098.94	USD	-3,636.36	
	NET	0AVG	BGHT 11601.88	SOLD 11648.54				6,720.00	0.00
29AUG17	S	1	PD29NOV17		11645.00	11102.80	USD	3,253.20	
29AUG17	S	3	PD29NOV17		11700.00	11102.80	USD	10,749.60	
29AUG17	S	1	PD29NOV17		11720.00	11102.80	USD	3,703.20	
29AUG17	S	1	PD29NOV17		11725.00	11102.80	USD	3,733.20	
29AUG17	S	1	PD29NOV17		11635.00	11102.80	USD	3,193.20	
29AUG17	S	1	PD29NOV17		11690.00	11102.80	USD	3,523.20	
29AUG17	B	1	PD29NOV17		11770.00	11102.80	USD	-4,003.20	
29AUG17	B	1	PD29NOV17		11805.00	11102.80	USD	-4,213.20	
29AUG17	B	1	PD29NOV17		11825.00	11102.80	USD	-4,333.20	
29AUG17	B	1	PD29NOV17		11845.00	11102.80	USD	-4,453.20	
29AUG17	B	3	PD29NOV17		11860.00	11102.80	USD	-13,629.60	
29AUG17	B	1	PD29NOV17		11875.00	11102.80	USD	-4,633.20	
	NET	0AVG	BGHT 11837.50	SOLD 11689.38				-7,110.00	0.00
30AUG17	B	1	PD30NOV17		11715.00	11103.57	USD	-3,668.58	
30AUG17	S	3	PD30NOV17		11710.00	11103.57	USD	10,915.74	
30AUG17	B	1	PD30NOV17		11615.00	11103.57	USD	-3,068.58	
30AUG17	S	1	PD30NOV17		11615.00	11103.57	USD	3,068.58	
31AUG17	B	1	PD30NOV17		11670.00	11103.57	USD	-3,398.58	
31AUG17	B	3	PD30NOV17		11675.00	11103.57	USD	-10,285.74	
31AUG17	B	4	PD30NOV17		11680.00	11103.57	USD	-13,834.32	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	3	PD30NOV17	11670.00	11103.57	USD	10,195.74	
31AUG17	S	1	PD30NOV17	11635.00	11103.57	USD	3,188.58	
31AUG17	S	1	PD30NOV17	11645.00	11103.57	USD	3,248.58	
31AUG17	S	1	PD30NOV17	11685.00	11103.57	USD	3,488.58	
	NET	0 AVG	BGHT 11674.50				-150.00	0.00
01SEP17	S	1	PD01DEC17	11950.00	11104.34	USD	5,073.96	
01SEP17	B	1	PD01DEC17	11965.00	11104.34	USD	-5,163.96	
01SEP17	S	3	PD01DEC17	11965.00	11104.34	USD	15,491.88	
01SEP17	S	1	PD01DEC17	12020.00	11104.34	USD	5,493.96	
01SEP17	B	1	PD01DEC17	12045.00	11104.34	USD	-5,643.96	
01SEP17	B	1	PD01DEC17	12055.00	11104.34	USD	-5,703.96	
01SEP17	B	1	PD01DEC17	12060.00	11104.34	USD	-5,733.96	
01SEP17	S	3	PD01DEC17	12050.00	11104.34	USD	17,021.88	
01SEP17	B	1	PD01DEC17	11995.00	11104.34	USD	-5,343.96	
01SEP17	B	1	PD01DEC17	12000.00	11104.34	USD	-5,373.96	
01SEP17	B	2	PD01DEC17	12050.00	11104.34	USD	-11,347.92	
	NET	0 AVG	BGHT 12027.50				-1,230.00	0.00
04SEP17	B	1	PD04DEC17	12220.00	11106.66	USD	-6,680.04	
04SEP17	B	1	PD04DEC17	12230.00	11106.66	USD	-6,740.04	
04SEP17	B	1	PD04DEC17	12255.00	11106.66	USD	-6,890.04	
04SEP17	B	1	PD04DEC17	12265.00	11106.66	USD	-6,950.04	
04SEP17	B	1	PD04DEC17	12270.00	11106.66	USD	-6,980.04	
04SEP17	B	2	PD04DEC17	12275.00	11106.66	USD	-14,020.08	
04SEP17	B	1	PD04DEC17	12165.00	11106.66	USD	-6,350.04	
04SEP17	B	1	PD04DEC17	12235.00	11106.66	USD	-6,770.04	
04SEP17	B	1	PD04DEC17	12250.00	11106.66	USD	-6,860.04	
04SEP17	S	1	PD04DEC17	12130.00	11106.66	USD	6,140.04	
04SEP17	S	1	PD04DEC17	12250.00	11106.66	USD	6,860.04	
04SEP17	B	1	PD04DEC17	12130.00	11106.66	USD	-6,140.04	
04SEP17	B	1	PD04DEC17	12190.00	11106.66	USD	-6,500.04	
04SEP17	B	1	PD04DEC17	12195.00	11106.66	USD	-6,530.04	
04SEP17	S	1	PD04DEC17	12205.00	11106.66	USD	6,590.04	
04SEP17	S	2	PD04DEC17	12210.00	11106.66	USD	13,240.08	
04SEP17	S	2	PD04DEC17	12190.00	11106.66	USD	13,000.08	
04SEP17	S	1	PD04DEC17	12260.00	11106.66	USD	6,920.04	
08SEP17	S	5	PD04DEC17	11587.65	11106.66	USD	14,429.70	
	NET	0 AVG	BGHT 12227.31				-20,230.50	0.00
05SEP17	S	1	PD05DEC17	12220.00	11107.43	USD	6,675.42	
05SEP17	S	1	PD05DEC17	12225.00	11107.43	USD	6,705.42	
05SEP17	S	1	PD05DEC17	12200.00	11107.43	USD	6,555.42	
05SEP17	S	1	PD05DEC17	12185.00	11107.43	USD	6,465.42	
05SEP17	S	1	PD05DEC17	12235.00	11107.43	USD	6,765.42	
08SEP17	B	5	PD05DEC17	11589.24	11107.43	USD	-14,454.30	
	NET	0 AVG	BGHT 11589.24				18,712.80	0.00
07SEP17	B	1	PD07DEC17	12150.00	11108.97	USD	-6,246.18	
07SEP17	B	1	PD07DEC17	12160.00	11108.97	USD	-6,306.18	
07SEP17	B	2	PD07DEC17	12170.00	11108.97	USD	-12,732.36	
07SEP17	B	3	PD07DEC17	12180.00	11108.97	USD	-19,278.54	
07SEP17	S	1	PD07DEC17	12230.00	11108.97	USD	6,726.18	
07SEP17	B	1	PD07DEC17	12125.00	11108.97	USD	-6,096.18	
07SEP17	B	1	PD07DEC17	12095.00	11108.97	USD	-5,916.18	
07SEP17	S	2	PD07DEC17	12195.00	11108.97	USD	13,032.36	
07SEP17	B	1	PD07DEC17	12120.00	11108.97	USD	-6,066.18	
07SEP17	S	1	PD07DEC17	12110.00	11108.97	USD	6,006.18	
07SEP17	S	1	PD07DEC17	12160.00	11108.97	USD	6,306.18	
07SEP17	S	1	PD07DEC17	12170.00	11108.97	USD	6,366.18	

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07SEP17	B	2	PD07DEC17	12030.00	11108.97	USD	-11,052.36	
07SEP17	B	1	PD07DEC17	12040.00	11108.97	USD	-5,586.18	
07SEP17	S	3	PD07DEC17	12115.00	11108.97	USD	18,108.54	
07SEP17	S	2	PD07DEC17	12120.00	11108.97	USD	12,132.36	
07SEP17	S	3	PD07DEC17	12135.00	11108.97	USD	18,468.54	
07SEP17	B	1	PD07DEC17	12140.00	11108.97	USD	-6,186.18	
	NET	0 AVG BGHT 12126.43	SOLD 12146.43				1,680.00	0.00
08SEP17	B	1	PD08DEC17	12130.00	11109.74	USD	-6,121.56	
08SEP17	B	1	PD08DEC17	12170.00	11109.74	USD	-6,361.56	
08SEP17	B	2	PD08DEC17	11990.00	11109.74	USD	-10,563.12	
08SEP17	B	1	PD08DEC17	12030.00	11109.74	USD	-5,521.56	
08SEP17	B	1	PD08DEC17	11950.00	11109.74	USD	-5,041.56	
08SEP17	S	1	PD08DEC17	11945.00	11109.74	USD	5,011.56	
08SEP17	B	1	PD08DEC17	11920.00	11109.74	USD	-4,861.56	
08SEP17	B	1	PD08DEC17	11890.00	11109.74	USD	-4,681.56	
08SEP17	B	1	PD08DEC17	11905.00	11109.74	USD	-4,771.56	
08SEP17	S	1	PD08DEC17	11885.00	11109.74	USD	4,651.56	
08SEP17	B	1	PD08DEC17	11885.00	11109.74	USD	-4,651.56	
08SEP17	B	4	PD08DEC17	12150.00	11109.74	USD	-24,966.24	
08SEP17	S	2	PD08DEC17	11910.00	11109.74	USD	9,603.12	
08SEP17	B	1	PD08DEC17	12020.00	11109.74	USD	-5,461.56	
08SEP17	S	2	PD08DEC17	11900.00	11109.74	USD	9,483.12	
08SEP17	S	2	PD08DEC17	11920.00	11109.74	USD	9,723.12	
08SEP17	S	1	PD08DEC17	11925.00	11109.74	USD	4,891.56	
08SEP17	S	2	PD08DEC17	11905.00	11109.74	USD	9,543.12	
08SEP17	B	1	PD08DEC17	11765.00	11109.74	USD	-3,931.56	
08SEP17	B	1	PD08DEC17	11770.00	11109.74	USD	-3,961.56	
08SEP17	B	1	PD08DEC17	11740.00	11109.74	USD	-3,781.56	
08SEP17	S	1	PD08DEC17	11595.00	11109.74	USD	2,911.56	
08SEP17	S	1	PD08DEC17	11570.00	11109.74	USD	2,761.56	
08SEP17	S	1	PD08DEC17	11590.00	11109.74	USD	2,881.56	
08SEP17	S	4	PD08DEC17	11575.00	11109.74	USD	11,166.24	
	NET	0 AVG BGHT 11986.39	SOLD 11782.22				-22,050.00	0.00
11SEP17	S	1	PD11DEC17	11615.00	11112.06	USD	3,017.64	
11SEP17	S	1	PD11DEC17	11625.00	11112.06	USD	3,077.64	
11SEP17	S	1	PD11DEC17	11575.00	11112.06	USD	2,777.64	
11SEP17	B	1	PD11DEC17	11580.00	11112.06	USD	-2,807.64	
11SEP17	B	1	PD11DEC17	11570.00	11112.06	USD	-2,747.64	
11SEP17	B	1	PD11DEC17	11605.00	11112.06	USD	-2,957.64	
11SEP17	S	3	PD11DEC17	11595.00	11112.06	USD	8,692.92	
11SEP17	B	2	PD11DEC17	11585.00	11112.06	USD	-5,675.28	
11SEP17	B	1	PD11DEC17	11705.00	11112.06	USD	-3,557.64	
11SEP17	S	1	PD11DEC17	11710.00	11112.06	USD	3,587.64	
11SEP17	S	1	PD11DEC17	11640.00	11112.06	USD	3,167.64	
11SEP17	B	1	PD11DEC17	11630.00	11112.06	USD	-3,107.64	
11SEP17	B	1	PD11DEC17	11660.00	11112.06	USD	-3,287.64	
11SEP17	B	1	PD11DEC17	11665.00	11112.06	USD	-3,317.64	
11SEP17	B	1	PD11DEC17	11715.00	11112.06	USD	-3,617.64	
11SEP17	S	1	PD11DEC17	11735.00	11112.06	USD	3,737.64	
11SEP17	S	1	PD11DEC17	11720.00	11112.06	USD	3,647.64	
	NET	0 AVG BGHT 11630.00	SOLD 11640.50				630.00	0.00
12SEP17	B	1	PD12DEC17	11655.00	11112.83	USD	-3,253.02	
12SEP17	S	1	PD12DEC17	11705.00	11112.83	USD	3,553.02	
12SEP17	B	3	PD12DEC17	11640.00	11112.83	USD	-9,489.06	
12SEP17	B	2	PD12DEC17	11645.00	11112.83	USD	-6,386.04	
12SEP17	B	1	PD12DEC17	11650.00	11112.83	USD	-3,223.02	

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12SEP17	S	3	PD12DEC17	11720.00	11112.83	USD	10,929.06	
12SEP17	S	1	PD12DEC17	11685.00	11112.83	USD	3,433.02	
12SEP17	S	1	PD12DEC17	11695.00	11112.83	USD	3,493.02	
12SEP17	S	2	PD12DEC17	11735.00	11112.83	USD	7,466.04	
12SEP17	S	1	PD12DEC17	11765.00	11112.83	USD	3,913.02	
12SEP17	B	1	PD12DEC17	11835.00	11112.83	USD	-4,333.02	
12SEP17	B	1	PD12DEC17	11870.00	11112.83	USD	-4,543.02	
12SEP17	S	1	PD12DEC17	11870.00	11112.83	USD	4,543.02	
12SEP17	S	1	PD12DEC17	11875.00	11112.83	USD	4,573.02	
12SEP17	B	1	PD12DEC17	11890.00	11112.83	USD	-4,663.02	
12SEP17	B	1	PD12DEC17	11905.00	11112.83	USD	-4,753.02	
12SEP17	S	1	PD12DEC17	11920.00	11112.83	USD	4,843.02	
15SEP17	B	1	PD12DEC17	11087.60	11112.83	USD	151.38	
	NET	0 AVG BGHT 11675.22	SOLD 11762.08				6,254.40	0.00
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
13SEP17	B	2	PD13DEC17	11740.00	11113.60	USD	-7,516.80	
13SEP17	B	1	PD13DEC17	11745.00	11113.60	USD	-3,788.40	
13SEP17	S	2	PD13DEC17	11765.00	11113.60	USD	7,816.80	
13SEP17	S	1	PD13DEC17	11780.00	11113.60	USD	3,998.40	
13SEP17	S	2	PD13DEC17	11785.00	11113.60	USD	8,056.80	
13SEP17	B	2	PD13DEC17	11730.00	11113.60	USD	-7,396.80	
13SEP17	B	1	PD13DEC17	11695.00	11113.60	USD	-3,488.40	
13SEP17	B	1	PD13DEC17	11625.00	11113.60	USD	-3,068.40	
13SEP17	B	1	PD13DEC17	11630.00	11113.60	USD	-3,098.40	
13SEP17	B	1	PD13DEC17	11635.00	11113.60	USD	-3,128.40	
13SEP17	B	1	PD13DEC17	11610.00	11113.60	USD	-2,978.40	
13SEP17	S	1	PD13DEC17	11615.00	11113.60	USD	3,008.40	
13SEP17	S	2	PD13DEC17	11595.00	11113.60	USD	5,776.80	
13SEP17	B	1	PD13DEC17	11350.00	11113.60	USD	-1,418.40	
13SEP17	B	1	PD13DEC17	11360.00	11113.60	USD	-1,478.40	
13SEP17	B	1	PD13DEC17	11365.00	11113.60	USD	-1,508.40	
13SEP17	S	2	PD13DEC17	11340.00	11113.60	USD	2,716.80	
13SEP17	S	1	PD13DEC17	11410.00	11113.60	USD	1,778.40	
13SEP17	B	1	PD13DEC17	11370.00	11113.60	USD	-1,538.40	
13SEP17	B	2	PD13DEC17	11385.00	11113.60	USD	-3,256.80	
13SEP17	S	2	PD13DEC17	11400.00	11113.60	USD	3,436.80	
13SEP17	S	1	PD13DEC17	11450.00	11113.60	USD	2,018.40	
13SEP17	S	1	PD13DEC17	11430.00	11113.60	USD	1,898.40	
13SEP17	S	1	PD13DEC17	11440.00	11113.60	USD	1,958.40	
13SEP17	S	1	PD13DEC17	11470.00	11113.60	USD	2,138.40	
13SEP17	S	2	PD13DEC17	11475.00	11113.60	USD	4,336.80	
13SEP17	S	1	PD13DEC17	11485.00	11113.60	USD	2,228.40	
13SEP17	S	1	PD13DEC17	11490.00	11113.60	USD	2,258.40	
13SEP17	S	1	PD13DEC17	11435.00	11113.60	USD	1,928.40	
13SEP17	B	1	PD13DEC17	11430.00	11113.60	USD	-1,898.40	
13SEP17	B	2	PD13DEC17	11435.00	11113.60	USD	-3,856.80	
15SEP17	B	2	PD13DEC17	11088.40	11113.60	USD	302.40	
	NET	0 AVG BGHT 11513.26	SOLD 11532.95				2,599.20	0.00
14SEP17	B	1	PD14DEC17	11155.00	11114.37	USD	-243.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	S	1	PD14DEC17	11230.00	11114.37	USD	693.78	
14SEP17	B	2	PD14DEC17	11205.00	11114.37	USD	-1,087.56	
14SEP17	B	1	PD14DEC17	11200.00	11114.37	USD	-513.78	
14SEP17	S	1	PD14DEC17	11340.00	11114.37	USD	1,353.78	
14SEP17	B	1	PD14DEC17	11300.00	11114.37	USD	-1,113.78	
14SEP17	B	1	PD14DEC17	11265.00	11114.37	USD	-903.78	
14SEP17	B	1	PD14DEC17	11275.00	11114.37	USD	-963.78	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	2	PD14DEC17	11280.00	11114.37	USD	-1,987.56	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	S	1	PD14DEC17	11250.00	11114.37	USD	813.78	
14SEP17	B	1	PD14DEC17	11255.00	11114.37	USD	-843.78	
14SEP17	S	1	PD14DEC17	11260.00	11114.37	USD	873.78	
14SEP17	B	2	PD14DEC17	11250.00	11114.37	USD	-1,627.56	
14SEP17	B	2	PD14DEC17	11180.00	11114.37	USD	-787.56	
14SEP17	S	1	PD14DEC17	11220.00	11114.37	USD	633.78	
14SEP17	S	2	PD14DEC17	11280.00	11114.37	USD	1,987.56	
14SEP17	S	4	PD14DEC17	11255.00	11114.37	USD	3,375.12	
15SEP17	S	3	PD14DEC17	11088.20	11114.37	USD	-471.06	
	NET	0AVG BGHT 11229.67	SOLD 11225.97				-332.40	0.00
15SEP17	B	1	PD15DEC17	11135.00	11115.14	USD	-119.16	
15SEP17	B	6	PD15DEC17	11140.00	11115.14	USD	-894.96	
15SEP17	B	1	PD15DEC17	11165.00	11115.14	USD	-299.16	
15SEP17	B	1	PD15DEC17	11170.00	11115.14	USD	-329.16	
15SEP17	S	2	PD15DEC17	11085.00	11115.14	USD	-361.68	
15SEP17	S	2	PD15DEC17	11135.00	11115.14	USD	238.32	
15SEP17	S	2	PD15DEC17	11100.00	11115.14	USD	-181.68	
15SEP17	B	1	PD15DEC17	11065.00	11115.14	USD	300.84	
15SEP17	S	1	PD15DEC17	11115.00	11115.14	USD	-0.84	
15SEP17	S	2	PD15DEC17	11145.00	11115.14	USD	358.32	
15SEP17	S	2	PD15DEC17	11160.00	11115.14	USD	538.32	
15SEP17	S	1	PD15DEC17	11150.00	11115.14	USD	209.16	
15SEP17	S	2	PD15DEC17	11110.00	11115.14	USD	-61.68	
15SEP17	B	1	PD15DEC17	10990.00	11115.14	USD	750.84	
15SEP17	B	1	PD15DEC17	11015.00	11115.14	USD	600.84	
15SEP17	B	2	PD15DEC17	11070.00	11115.14	USD	541.68	
	NET	0AVG BGHT 11108.57	SOLD 11123.93				1,290.00	0.00
18SEP17	S	1	PD18DEC17	11230.00	11117.46	USD	675.24	
18SEP17	B	1	PD18DEC17	11230.00	11117.46	USD	-675.24	
18SEP17	S	1	PD18DEC17	11220.00	11117.46	USD	615.24	
18SEP17	B	1	PD18DEC17	11180.00	11117.46	USD	-375.24	
18SEP17	B	1	PD18DEC17	11160.00	11117.46	USD	-255.24	
18SEP17	B	2	PD18DEC17	11090.00	11117.46	USD	329.52	
18SEP17	B	1	PD18DEC17	11095.00	11117.46	USD	134.76	
18SEP17	S	1	PD18DEC17	11110.00	11117.46	USD	-44.76	
18SEP17	S	1	PD18DEC17	11160.00	11117.46	USD	255.24	
18SEP17	S	1	PD18DEC17	11200.00	11117.46	USD	495.24	
18SEP17	S	1	PD18DEC17	11185.00	11117.46	USD	405.24	
18SEP17	S	1	PD18DEC17	11190.00	11117.46	USD	435.24	
18SEP17	S	1	PD18DEC17	11195.00	11117.46	USD	465.24	
18SEP17	S	1	PD18DEC17	11240.00	11117.46	USD	735.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	S	1	PD18DEC17	11175.00	11117.46	USD	345.24	
21SEP17	B	3	PD18DEC17	11002.84	11117.46	USD	2,063.16	
	NET	0AVG BGHT 11102.35	SOLD 11190.50				5,288.88	0.00
19SEP17	B	1	PD19DEC17	11045.00	11118.23	USD	439.38	
19SEP17	B	1	PD19DEC17	10950.00	11118.23	USD	1,009.38	
19SEP17	B	1	PD19DEC17	10965.00	11118.23	USD	919.38	
19SEP17	B	1	PD19DEC17	10970.00	11118.23	USD	889.38	
19SEP17	B	1	PD19DEC17	10975.00	11118.23	USD	859.38	
19SEP17	B	2	PD19DEC17	10980.00	11118.23	USD	1,658.76	
19SEP17	B	3	PD19DEC17	10990.00	11118.23	USD	2,308.14	
19SEP17	B	2	PD19DEC17	10985.00	11118.23	USD	1,598.76	
19SEP17	S	2	PD19DEC17	10985.00	11118.23	USD	-1,598.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	10905.00	11118.23	USD	1,279.38	
19SEP17	S	2	PD19DEC17	11015.00	11118.23	USD	-1,238.76	
19SEP17	S	2	PD19DEC17	11035.00	11118.23	USD	-998.76	
19SEP17	S	1	PD19DEC17	11030.00	11118.23	USD	-529.38	
19SEP17	B	2	PD19DEC17	11010.00	11118.23	USD	1,298.76	
19SEP17	S	4	PD19DEC17	11010.00	11118.23	USD	-2,597.52	
19SEP17	S	1	PD19DEC17	11040.00	11118.23	USD	-469.38	
19SEP17	S	2	PD19DEC17	11055.00	11118.23	USD	-758.76	
19SEP17	S	1	PD19DEC17	11095.00	11118.23	USD	-139.38	
19SEP17	S	2	PD19DEC17	11115.00	11118.23	USD	-38.76	
19SEP17	B	2	PD19DEC17	11145.00	11118.23	USD	-321.24	
	NET	0 AVG BGHT 11001.18	SOLD 11036.18				3,570.00	0.00
20SEP17	B	1	PD20DEC17	11220.00	11119.00	USD	-606.00	
20SEP17	B	1	PD20DEC17	11225.00	11119.00	USD	-636.00	
20SEP17	B	1	PD20DEC17	11325.00	11119.00	USD	-1,236.00	
20SEP17	S	1	PD20DEC17	11410.00	11119.00	USD	1,746.00	
20SEP17	S	1	PD20DEC17	11450.00	11119.00	USD	1,986.00	
20SEP17	S	2	PD20DEC17	11445.00	11119.00	USD	3,912.00	
20SEP17	B	1	PD20DEC17	11395.00	11119.00	USD	-1,656.00	
20SEP17	B	1	PD20DEC17	11350.00	11119.00	USD	-1,386.00	
20SEP17	B	1	PD20DEC17	11360.00	11119.00	USD	-1,446.00	
20SEP17	B	1	PD20DEC17	11375.00	11119.00	USD	-1,536.00	
20SEP17	B	3	PD20DEC17	11355.00	11119.00	USD	-4,248.00	
20SEP17	B	1	PD20DEC17	11300.00	11119.00	USD	-1,086.00	
20SEP17	S	1	PD20DEC17	11385.00	11119.00	USD	1,596.00	
20SEP17	S	3	PD20DEC17	11370.00	11119.00	USD	4,518.00	
21SEP17	S	3	PD20DEC17	11003.50	11119.00	USD	-2,079.00	
	NET	0 AVG BGHT 11328.64	SOLD 11295.95				-2,157.00	0.00
21SEP17	B	1	PD21DEC17	11035.00	11119.73	USD	508.38	
21SEP17	B	1	PD21DEC17	11060.00	11119.73	USD	358.38	
21SEP17	B	2	PD21DEC17	11045.00	11119.73	USD	896.76	
21SEP17	S	2	PD21DEC17	11075.00	11119.73	USD	-536.76	
21SEP17	B	2	PD21DEC17	11020.00	11119.73	USD	1,196.76	
21SEP17	B	1	PD21DEC17	11040.00	11119.73	USD	478.38	
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	B	3	PD21DEC17	11005.00	11119.73	USD	2,065.14	
21SEP17	B	1	PD21DEC17	10925.00	11119.73	USD	1,168.38	
21SEP17	S	3	PD21DEC17	10990.00	11119.73	USD	-2,335.14	
21SEP17	S	2	PD21DEC17	11005.00	11119.73	USD	-1,376.76	
21SEP17	S	1	PD21DEC17	11085.00	11119.73	USD	-208.38	
21SEP17	B	1	PD21DEC17	11100.00	11119.73	USD	118.38	
21SEP17	S	2	PD21DEC17	10945.00	11119.73	USD	-2,096.76	
21SEP17	S	3	PD21DEC17	11000.00	11119.73	USD	-2,155.14	
	NET	0 AVG BGHT 11022.31	SOLD 11008.08				-1,110.00	0.00
22SEP17	B	1	PD22DEC17	10630.00	11120.45	USD	2,942.70	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	B	3	PD22DEC17	10620.00	11120.45	USD	9,008.10	
22SEP17	S	1	PD22DEC17	10585.00	11120.45	USD	-3,212.70	
22SEP17	B	1	PD22DEC17	10545.00	11120.45	USD	3,452.70	
22SEP17	B	1	PD22DEC17	10550.00	11120.45	USD	3,422.70	
22SEP17	B	1	PD22DEC17	10520.00	11120.45	USD	3,602.70	
22SEP17	B	2	PD22DEC17	10615.00	11120.45	USD	6,065.40	
22SEP17	B	1	PD22DEC17	10655.00	11120.45	USD	2,792.70	
22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	S	2	PD22DEC17	10555.00	11120.45	USD	-6,785.40	
22SEP17	S	1	PD22DEC17	10485.00	11120.45	USD	-3,812.70	

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22SEP17	S	1	PD22DEC17	10495.00	11120.45	USD	-3,752.70	
22SEP17	S	1	PD22DEC17	10515.00	11120.45	USD	-3,632.70	
22SEP17	S	2	PD22DEC17	10535.00	11120.45	USD	-7,025.40	
22SEP17	S	1	PD22DEC17	10540.00	11120.45	USD	-3,482.70	
22SEP17	S	1	PD22DEC17	10480.00	11120.45	USD	-3,842.70	
	NET	0AVG	BGHT 10599.55	SOLD 10530.00			-4,590.00	0.00
25SEP17	B	1	PD27DEC17	10575.00	11124.09	USD	3,294.54	
25SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
25SEP17	B	1	PD27DEC17	10585.00	11124.09	USD	3,234.54	
25SEP17	B	2	PD27DEC17	10545.00	11124.09	USD	6,949.08	
25SEP17	B	1	PD27DEC17	10540.00	11124.09	USD	3,504.54	
25SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
25SEP17	B	1	PD27DEC17	10530.00	11124.09	USD	3,564.54	
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
25SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
25SEP17	B	1	PD27DEC17	10470.00	11124.09	USD	3,924.54	
25SEP17	B	1	PD27DEC17	10450.00	11124.09	USD	4,044.54	
25SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
25SEP17	S	1	PD27DEC17	10525.00	11124.09	USD	-3,594.54	
25SEP17	S	3	PD27DEC17	10515.00	11124.09	USD	-10,963.62	
25SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	
25SEP17	B	2	PD27DEC17	10550.00	11124.09	USD	6,889.08	
25SEP17	S	2	PD27DEC17	10540.00	11124.09	USD	-7,009.08	
25SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
25SEP17	S	1	PD27DEC17	10585.00	11124.09	USD	-3,234.54	
26SEP17	S	1	PD27DEC17	10640.00	11124.09	USD	-2,904.54	
26SEP17	S	1	PD27DEC17	10630.00	11124.09	USD	-2,964.54	
26SEP17	S	4	PD27DEC17	10580.00	11124.09	USD	-13,058.16	
26SEP17	B	2	PD27DEC17	10590.00	11124.09	USD	6,409.08	
26SEP17	S	1	PD27DEC17	10615.00	11124.09	USD	-3,054.54	
26SEP17	S	1	PD27DEC17	10605.00	11124.09	USD	-3,114.54	
26SEP17	B	1	PD27DEC17	10595.00	11124.09	USD	3,174.54	
26SEP17	B	1	PD27DEC17	10600.00	11124.09	USD	3,144.54	
26SEP17	S	2	PD27DEC17	10515.00	11124.09	USD	-7,309.08	
26SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
26SEP17	B	2	PD27DEC17	10500.00	11124.09	USD	7,489.08	
27SEP17	B	1	PD27DEC17	10535.00	11124.09	USD	3,534.54	
27SEP17	B	2	PD27DEC17	10540.00	11124.09	USD	7,009.08	
27SEP17	B	1	PD27DEC17	10335.00	11124.09	USD	4,734.54	
27SEP17	B	3	PD27DEC17	10290.00	11124.09	USD	15,013.62	
27SEP17	S	1	PD27DEC17	10295.00	11124.09	USD	-4,974.54	
27SEP17	S	1	PD27DEC17	10355.00	11124.09	USD	-4,614.54	
27SEP17	S	1	PD27DEC17	10360.00	11124.09	USD	-4,584.54	
27SEP17	S	1	PD27DEC17	10385.00	11124.09	USD	-4,434.54	
27SEP17	S	1	PD27DEC17	10280.00	11124.09	USD	-5,064.54	
04OCT17	S	2	PD27DEC17	10607.53	11124.09	USD	-6,198.72	
	NET	0AVG	BGHT 10503.28	SOLD 10522.76			3,390.36	0.00
28SEP17	S	1	PD28DEC17	10250.00	11124.82	USD	-5,248.92	
28SEP17	B	1	PD28DEC17	10275.00	11124.82	USD	5,098.92	
28SEP17	S	1	PD28DEC17	10335.00	11124.82	USD	-4,738.92	
28SEP17	B	2	PD28DEC17	10250.00	11124.82	USD	10,497.84	
28SEP17	B	1	PD28DEC17	10265.00	11124.82	USD	5,158.92	
28SEP17	B	1	PD28DEC17	10260.00	11124.82	USD	5,188.92	
28SEP17	B	1	PD28DEC17	10335.00	11124.82	USD	4,738.92	

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28SEP17	S	1	PD28DEC17	10395.00	11124.82	USD	-4,378.92	
28SEP17	S	1	PD28DEC17	10355.00	11124.82	USD	-4,618.92	
28SEP17	S	3	PD28DEC17	10360.00	11124.82	USD	-13,766.76	
28SEP17	S	1	PD28DEC17	10340.00	11124.82	USD	-4,708.92	
04OCT17	B	2	PD28DEC17	10609.47	11124.82	USD	6,184.20	
	NET	0	AVG BGHT 10356.74	SOLD 10344.38			-593.64	0.00
29SEP17	S	1	PD29DEC17	10590.00	11125.55	USD	-3,213.30	
29SEP17	S	1	PD29DEC17	10635.00	11125.55	USD	-2,943.30	
29SEP17	S	1	PD29DEC17	10645.00	11125.55	USD	-2,883.30	
29SEP17	S	1	PD29DEC17	10660.00	11125.55	USD	-2,793.30	
29SEP17	S	1	PD29DEC17	10665.00	11125.55	USD	-2,763.30	
29SEP17	S	1	PD29DEC17	10715.00	11125.55	USD	-2,463.30	
29SEP17	B	3	PD29DEC17	10640.00	11125.55	USD	8,739.90	
29SEP17	B	1	PD29DEC17	10670.00	11125.55	USD	2,733.30	
29SEP17	B	2	PD29DEC17	10625.00	11125.55	USD	6,006.60	
29SEP17	S	1	PD29DEC17	10695.00	11125.55	USD	-2,583.30	
29SEP17	S	2	PD29DEC17	10700.00	11125.55	USD	-5,106.60	
29SEP17	B	1	PD29DEC17	10655.00	11125.55	USD	2,823.30	
29SEP17	B	1	PD29DEC17	10710.00	11125.55	USD	2,493.30	
29SEP17	B	1	PD29DEC17	10730.00	11125.55	USD	2,373.30	
29SEP17	B	1	PD29DEC17	10700.00	11125.55	USD	2,553.30	
29SEP17	B	1	PD29DEC17	10480.00	11125.55	USD	3,873.30	
29SEP17	B	1	PD29DEC17	10490.00	11125.55	USD	3,813.30	
29SEP17	B	1	PD29DEC17	10530.00	11125.55	USD	3,573.30	
29SEP17	B	1	PD29DEC17	10620.00	11125.55	USD	3,033.30	
29SEP17	B	1	PD29DEC17	10495.00	11125.55	USD	3,783.30	
29SEP17	S	2	PD29DEC17	10510.00	11125.55	USD	-7,386.60	
29SEP17	S	1	PD29DEC17	10495.00	11125.55	USD	-3,783.30	
29SEP17	S	2	PD29DEC17	10515.00	11125.55	USD	-7,326.60	
29SEP17	S	1	PD29DEC17	10530.00	11125.55	USD	-3,573.30	
29SEP17	B	1	PD29DEC17	10510.00	11125.55	USD	3,693.30	
29SEP17	S	1	PD29DEC17	10500.00	11125.55	USD	-3,753.30	
	NET	0	AVG BGHT 10610.00	SOLD 10598.75			-1,080.00	0.00
03OCT17	B	1	PD03JAN18	10495.00	11129.18	USD	3,805.08	
03OCT17	B	1	PD03JAN18	10530.00	11129.18	USD	3,595.08	
03OCT17	S	1	PD03JAN18	10495.00	11129.18	USD	-3,805.08	
03OCT17	S	1	PD03JAN18	10530.00	11129.18	USD	-3,595.08	
03OCT17	S	1	PD03JAN18	10555.00	11129.18	USD	-3,445.08	
03OCT17	S	1	PD03JAN18	10585.00	11129.18	USD	-3,265.08	
03OCT17	B	1	PD03JAN18	10680.00	11129.18	USD	2,695.08	
03OCT17	S	1	PD03JAN18	10660.00	11129.18	USD	-2,815.08	
03OCT17	S	1	PD03JAN18	10695.00	11129.18	USD	-2,605.08	
03OCT17	S	2	PD03JAN18	10740.00	11129.18	USD	-4,670.16	
03OCT17	S	1	PD03JAN18	10745.00	11129.18	USD	-2,305.08	
03OCT17	S	1	PD03JAN18	10730.00	11129.18	USD	-2,395.08	
03OCT17	S	1	PD03JAN18	10750.00	11129.18	USD	-2,275.08	
03OCT17	S	2	PD03JAN18	10760.00	11129.18	USD	-4,430.16	
03OCT17	S	1	PD03JAN18	10765.00	11129.18	USD	-2,185.08	
03OCT17	B	2	PD03JAN18	10675.00	11129.18	USD	5,450.16	
03OCT17	B	3	PD03JAN18	10670.00	11129.18	USD	8,265.24	
03OCT17	S	1	PD03JAN18	10755.00	11129.18	USD	-2,245.08	
03OCT17	B	2	PD03JAN18	10580.00	11129.18	USD	6,590.16	
03OCT17	B	2	PD03JAN18	10585.00	11129.18	USD	6,530.16	
03OCT17	B	1	PD03JAN18	10590.00	11129.18	USD	3,235.08	
03OCT17	B	1	PD03JAN18	10595.00	11129.18	USD	3,205.08	
03OCT17	B	1	PD03JAN18	10620.00	11129.18	USD	3,055.08	
03OCT17	B	1	PD03JAN18	10625.00	11129.18	USD	3,025.08	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	1	PD03JAN18	10635.00	11129.18	USD	2,965.08	
030CT17	B	1	PD03JAN18	10645.00	11129.18	USD	2,905.08	
030CT17	B	1	PD03JAN18	10565.00	11129.18	USD	3,385.08	
030CT17	B	1	PD03JAN18	10575.00	11129.18	USD	3,325.08	
030CT17	S	3	PD03JAN18	10655.00	11129.18	USD	-8,535.24	
030CT17	B	3	PD03JAN18	10650.00	11129.18	USD	8,625.24	
030CT17	B	3	PD03JAN18	10660.00	11129.18	USD	8,445.24	
030CT17	S	1	PD03JAN18	10665.00	11129.18	USD	-2,785.08	
030CT17	S	2	PD03JAN18	10670.00	11129.18	USD	-5,510.16	
030CT17	B	5	PD03JAN18	10665.00	11129.18	USD	13,925.40	
030CT17	S	2	PD03JAN18	10550.00	11129.18	USD	-6,950.16	
030CT17	S	1	PD03JAN18	10565.00	11129.18	USD	-3,385.08	
030CT17	S	1	PD03JAN18	10575.00	11129.18	USD	-3,325.08	
030CT17	S	1	PD03JAN18	10600.00	11129.18	USD	-3,175.08	
030CT17	S	5	PD03JAN18	10700.00	11129.18	USD	-12,875.40	
	NET	0 AVG BGHT 10629.03	SOLD 10663.71				6,450.00	0.00
040CT17	B	1	PD04JAN18	10765.00	11129.91	USD	2,189.46	
040CT17	S	1	PD04JAN18	10820.00	11129.91	USD	-1,859.46	
040CT17	B	1	PD04JAN18	10665.00	11129.91	USD	2,789.46	
040CT17	S	1	PD04JAN18	10665.00	11129.91	USD	-2,789.46	
040CT17	B	1	PD04JAN18	10650.00	11129.91	USD	2,879.46	
040CT17	S	1	PD04JAN18	10725.00	11129.91	USD	-2,429.46	
040CT17	S	1	PD04JAN18	10805.00	11129.91	USD	-1,949.46	
040CT17	S	4	PD04JAN18	10795.00	11129.91	USD	-8,037.84	
040CT17	S	3	PD04JAN18	10810.00	11129.91	USD	-5,758.38	
040CT17	S	1	PD04JAN18	10770.00	11129.91	USD	-2,159.46	
040CT17	S	1	PD04JAN18	10735.00	11129.91	USD	-2,369.46	
040CT17	B	2	PD04JAN18	10735.00	11129.91	USD	4,738.92	
040CT17	B	1	PD04JAN18	10730.00	11129.91	USD	2,399.46	
040CT17	B	1	PD04JAN18	10725.00	11129.91	USD	2,429.46	
040CT17	B	1	PD04JAN18	10670.00	11129.91	USD	2,759.46	
040CT17	B	1	PD04JAN18	10685.00	11129.91	USD	2,669.46	
040CT17	B	1	PD04JAN18	10690.00	11129.91	USD	2,639.46	
040CT17	B	1	PD04JAN18	10695.00	11129.91	USD	2,609.46	
040CT17	B	2	PD04JAN18	10700.00	11129.91	USD	5,158.92	
040CT17	B	1	PD04JAN18	10705.00	11129.91	USD	2,549.46	
040CT17	B	1	PD04JAN18	10660.00	11129.91	USD	2,819.46	
040CT17	B	2	PD04JAN18	10675.00	11129.91	USD	5,458.92	
040CT17	B	2	PD04JAN18	10680.00	11129.91	USD	5,398.92	
040CT17	B	4	PD04JAN18	10655.00	11129.91	USD	11,397.84	
040CT17	B	2	PD04JAN18	10620.00	11129.91	USD	6,118.92	
040CT17	S	4	PD04JAN18	10600.00	11129.91	USD	-12,717.84	
040CT17	S	4	PD04JAN18	10605.00	11129.91	USD	-12,597.84	
040CT17	S	4	PD04JAN18	10590.00	11129.91	USD	-12,957.84	
	NET	0 AVG BGHT 10683.20	SOLD 10692.40				1,380.00	0.00
050CT17	B	1	PD05JAN18	10610.00	11130.64	USD	3,123.84	
050CT17	B	1	PD05JAN18	10615.00	11130.64	USD	3,093.84	
050CT17	B	1	PD05JAN18	10635.00	11130.64	USD	2,973.84	
050CT17	B	2	PD05JAN18	10630.00	11130.64	USD	6,007.68	
050CT17	B	1	PD05JAN18	10565.00	11130.64	USD	3,393.84	
050CT17	B	1	PD05JAN18	10570.00	11130.64	USD	3,363.84	
050CT17	S	1	PD05JAN18	10605.00	11130.64	USD	-3,153.84	
050CT17	B	2	PD05JAN18	10540.00	11130.64	USD	7,087.68	
050CT17	B	2	PD05JAN18	10545.00	11130.64	USD	7,027.68	
050CT17	S	1	PD05JAN18	10610.00	11130.64	USD	-3,123.84	
050CT17	S	1	PD05JAN18	10620.00	11130.64	USD	-3,063.84	
050CT17	S	1	PD05JAN18	10655.00	11130.64	USD	-2,853.84	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	S	1	PD05JAN18	10665.00	11130.64	USD	-2,793.84	
05OCT17	S	2	PD05JAN18	10675.00	11130.64	USD	-5,467.68	
05OCT17	S	1	PD05JAN18	10680.00	11130.64	USD	-2,703.84	
05OCT17	S	1	PD05JAN18	10685.00	11130.64	USD	-2,673.84	
05OCT17	S	1	PD05JAN18	10690.00	11130.64	USD	-2,643.84	
05OCT17	B	1	PD05JAN18	10625.00	11130.64	USD	3,033.84	
05OCT17	B	2	PD05JAN18	10640.00	11130.64	USD	5,887.68	
05OCT17	B	2	PD05JAN18	10600.00	11130.64	USD	6,367.68	
05OCT17	S	2	PD05JAN18	10600.00	11130.64	USD	-6,367.68	
05OCT17	B	2	PD05JAN18	10585.00	11130.64	USD	6,547.68	
05OCT17	B	2	PD05JAN18	10550.00	11130.64	USD	6,967.68	
05OCT17	B	3	PD05JAN18	10555.00	11130.64	USD	10,361.52	
05OCT17	B	2	PD05JAN18	10560.00	11130.64	USD	6,847.68	
05OCT17	B	2	PD05JAN18	10575.00	11130.64	USD	6,667.68	
05OCT17	B	2	PD05JAN18	10590.00	11130.64	USD	6,487.68	
05OCT17	S	3	PD05JAN18	10640.00	11130.64	USD	-8,831.52	
05OCT17	S	1	PD05JAN18	10645.00	11130.64	USD	-2,913.84	
05OCT17	S	1	PD05JAN18	10570.00	11130.64	USD	-3,363.84	
05OCT17	S	3	PD05JAN18	10575.00	11130.64	USD	-10,001.52	
05OCT17	S	3	PD05JAN18	10580.00	11130.64	USD	-9,911.52	
05OCT17	S	2	PD05JAN18	10585.00	11130.64	USD	-6,547.68	
05OCT17	S	3	PD05JAN18	10615.00	11130.64	USD	-9,281.52	
06OCT17	S	2	PD05JAN18	10650.00	11130.64	USD	-5,767.68	
06OCT17	S	2	PD05JAN18	10655.00	11130.64	USD	-5,707.68	
06OCT17	S	1	PD05JAN18	10645.00	11130.64	USD	-2,913.84	
06OCT17	B	2	PD05JAN18	10615.00	11130.64	USD	6,187.68	
06OCT17	B	1	PD05JAN18	10565.00	11130.64	USD	3,393.84	
06OCT17	B	1	PD05JAN18	10570.00	11130.64	USD	3,363.84	
06OCT17	S	1	PD05JAN18	10570.00	11130.64	USD	-3,363.84	
06OCT17	B	1	PD05JAN18	10540.00	11130.64	USD	3,543.84	
	NET	0 AVG BGHT 10582.94	SOLD 10623.53				8,280.00	0.00
09OCT17	S	1	PD09JAN18	10805.00	11133.55	USD	-1,971.30	
09OCT17	S	1	PD09JAN18	10705.00	11133.55	USD	-2,571.30	
09OCT17	B	1	PD09JAN18	10765.00	11133.55	USD	2,211.30	
09OCT17	S	1	PD09JAN18	10785.00	11133.55	USD	-2,091.30	
09OCT17	S	1	PD09JAN18	10790.00	11133.55	USD	-2,061.30	
09OCT17	S	1	PD09JAN18	10800.00	11133.55	USD	-2,001.30	
09OCT17	B	1	PD09JAN18	10855.00	11133.55	USD	1,671.30	
09OCT17	S	3	PD09JAN18	10795.00	11133.55	USD	-6,093.90	
09OCT17	B	1	PD09JAN18	10845.00	11133.55	USD	1,731.30	
09OCT17	B	1	PD09JAN18	10835.00	11133.55	USD	1,791.30	
09OCT17	B	1	PD09JAN18	10820.00	11133.55	USD	1,881.30	
09OCT17	B	1	PD09JAN18	10790.00	11133.55	USD	2,061.30	
09OCT17	B	1	PD09JAN18	10760.00	11133.55	USD	2,241.30	
09OCT17	B	2	PD09JAN18	10785.00	11133.55	USD	4,182.60	
09OCT17	B	2	PD09JAN18	10795.00	11133.55	USD	4,062.60	
09OCT17	S	1	PD09JAN18	10905.00	11133.55	USD	-1,371.30	
09OCT17	S	1	PD09JAN18	10930.00	11133.55	USD	-1,221.30	
09OCT17	S	1	PD09JAN18	10945.00	11133.55	USD	-1,131.30	
09OCT17	S	1	PD09JAN18	10980.00	11133.55	USD	-921.30	
09OCT17	B	1	PD09JAN18	10975.00	11133.55	USD	951.30	
	NET	0 AVG BGHT 10817.08	SOLD 10835.83				1,350.00	0.00
10OCT17	B	1	PD10JAN18	10845.00	11134.27	USD	1,735.62	
10OCT17	B	1	PD10JAN18	10870.00	11134.27	USD	1,585.62	
10OCT17	B	2	PD10JAN18	10875.00	11134.27	USD	3,111.24	
10OCT17	B	2	PD10JAN18	10880.00	11134.27	USD	3,051.24	
10OCT17	B	1	PD10JAN18	10885.00	11134.27	USD	1,495.62	

OPEN POSITION STATEMENT



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10OCT17	B	1	PD10JAN18	10890.00	11134.27	USD	1,465.62	
10OCT17	B	2	PD10JAN18	10895.00	11134.27	USD	2,871.24	
10OCT17	B	2	PD10JAN18	10915.00	11134.27	USD	2,631.24	
10OCT17	B	1	PD10JAN18	10945.00	11134.27	USD	1,135.62	
10OCT17	B	2	PD10JAN18	10950.00	11134.27	USD	2,211.24	
10OCT17	B	1	PD10JAN18	10955.00	11134.27	USD	1,075.62	
10OCT17	B	1	PD10JAN18	10960.00	11134.27	USD	1,045.62	
10OCT17	B	2	PD10JAN18	10965.00	11134.27	USD	2,031.24	
10OCT17	B	1	PD10JAN18	10975.00	11134.27	USD	955.62	
10OCT17	S	1	PD10JAN18	10900.00	11134.27	USD	-1,405.62	
10OCT17	S	1	PD10JAN18	10905.00	11134.27	USD	-1,375.62	
10OCT17	S	1	PD10JAN18	10920.00	11134.27	USD	-1,285.62	
10OCT17	S	1	PD10JAN18	10925.00	11134.27	USD	-1,255.62	
10OCT17	S	1	PD10JAN18	10930.00	11134.27	USD	-1,225.62	
10OCT17	S	1	PD10JAN18	10940.00	11134.27	USD	-1,165.62	
10OCT17	S	1	PD10JAN18	10955.00	11134.27	USD	-1,075.62	
10OCT17	S	1	PD10JAN18	10975.00	11134.27	USD	-955.62	
10OCT17	S	1	PD10JAN18	11000.00	11134.27	USD	-805.62	
10OCT17	S	1	PD10JAN18	11015.00	11134.27	USD	-715.62	
10OCT17	S	1	PD10JAN18	11020.00	11134.27	USD	-685.62	
10OCT17	S	1	PD10JAN18	11025.00	11134.27	USD	-655.62	
10OCT17	S	3	PD10JAN18	11045.00	11134.27	USD	-1,606.86	
10OCT17	S	2	PD10JAN18	11030.00	11134.27	USD	-1,251.24	
10OCT17	S	2	PD10JAN18	11035.00	11134.27	USD	-1,191.24	
10OCT17	S	1	PD10JAN18	11040.00	11134.27	USD	-565.62	
	NET	0AVG BGHT 10914.25	SOLD 10990.75				9,180.00	0.00
11OCT17	B	1	PD11JAN18	10960.00	11135.00	USD	1,050.00	
11OCT17	B	1	PD11JAN18	10965.00	11135.00	USD	1,020.00	
11OCT17	B	4	PD11JAN18	10970.00	11135.00	USD	3,960.00	
11OCT17	B	1	PD11JAN18	10990.00	11135.00	USD	870.00	
11OCT17	S	2	PD11JAN18	10960.00	11135.00	USD	-2,100.00	
11OCT17	B	2	PD11JAN18	10950.00	11135.00	USD	2,220.00	
11OCT17	B	3	PD11JAN18	10945.00	11135.00	USD	3,420.00	
11OCT17	S	1	PD11JAN18	11015.00	11135.00	USD	-720.00	
11OCT17	S	1	PD11JAN18	11025.00	11135.00	USD	-660.00	
11OCT17	S	1	PD11JAN18	11030.00	11135.00	USD	-630.00	
11OCT17	B	1	PD11JAN18	10935.00	11135.00	USD	1,200.00	
11OCT17	B	2	PD11JAN18	10975.00	11135.00	USD	1,920.00	
11OCT17	S	2	PD11JAN18	11140.00	11135.00	USD	60.00	
11OCT17	S	1	PD11JAN18	11160.00	11135.00	USD	150.00	
11OCT17	S	2	PD11JAN18	11165.00	11135.00	USD	360.00	
11OCT17	S	1	PD11JAN18	11170.00	11135.00	USD	210.00	
11OCT17	S	4	PD11JAN18	11020.00	11135.00	USD	-2,760.00	
11OCT17	S	1	PD11JAN18	11150.00	11135.00	USD	90.00	
11OCT17	B	1	PD11JAN18	11165.00	11135.00	USD	-180.00	
11OCT17	B	2	PD11JAN18	11180.00	11135.00	USD	-540.00	
11OCT17	S	2	PD11JAN18	11185.00	11135.00	USD	600.00	
	NET	0AVG BGHT 10996.67	SOLD 11085.00				9,540.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD13OCT17	2834.50	3295.00	USD	11,512.50	
13JUL17	B	1	PD13OCT17	2836.00	3295.00	USD	11,475.00	
13JUL17	S	1	PD13OCT17	2836.00	3295.00	USD	-11,475.00	
13JUL17	B	1	PD13OCT17	2837.00	3295.00	USD	11,450.00	
13JUL17	S	2	PD13OCT17	2837.00	3295.00	USD	-22,900.00	
13JUL17	S	2	PD13OCT17	2838.00	3295.00	USD	-22,850.00	

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13JUL17	S	1	PD130CT17		2839.50	3295.00	USD	-11,387.50	0.00
13JUL17	S	1	PD130CT17		2841.00	3295.00	USD	-11,350.00	
13JUL17	S	5	PD130CT17		2839.00	3295.00	USD	-57,000.00	
13JUL17	B	5	PD130CT17		2835.00	3295.00	USD	57,500.00	
13JUL17	B	1	PD130CT17		2832.00	3295.00	USD	11,575.00	
13JUL17	B	3	PD130CT17		2834.00	3295.00	USD	34,575.00	
	NET	0	AVG BGHT 2834.71	SOLD 2838.46				1,125.00	
17JUL17	B	2	PD170CT17		2828.00	3286.33	USD	22,916.50	
17JUL17	S	1	PD170CT17		2824.50	3286.33	USD	-11,545.75	
17JUL17	S	1	PD170CT17		2831.00	3286.33	USD	-11,383.25	
17JUL17	S	2	PD170CT17		2832.00	3286.33	USD	-22,716.50	0.00
17JUL17	S	1	PD170CT17		2832.50	3286.33	USD	-11,345.75	
17JUL17	B	1	PD170CT17		2820.00	3286.33	USD	11,658.25	
17JUL17	B	1	PD170CT17		2813.50	3286.33	USD	11,820.75	
17JUL17	S	1	PD170CT17		2828.00	3286.33	USD	-11,458.25	
17JUL17	S	2	PD170CT17		2828.50	3286.33	USD	-22,891.50	
17JUL17	S	1	PD170CT17		2829.00	3286.33	USD	-11,433.25	
17JUL17	S	1	PD170CT17		2838.00	3286.33	USD	-11,208.25	
17JUL17	S	1	PD170CT17		2842.00	3286.33	USD	-11,108.25	
17JUL17	S	1	PD170CT17		2843.50	3286.33	USD	-11,070.75	
17JUL17	S	1	PD170CT17		2844.00	3286.33	USD	-11,058.25	
17JUL17	B	1	PD170CT17		2822.50	3286.33	USD	11,595.75	
17JUL17	B	3	PD170CT17		2824.50	3286.33	USD	34,637.25	
17JUL17	B	1	PD170CT17		2828.50	3286.33	USD	11,445.75	
17JUL17	B	1	PD170CT17		2829.00	3286.33	USD	11,433.25	
17JUL17	B	1	PD170CT17		2833.50	3286.33	USD	11,320.75	
17JUL17	S	1	PD170CT17		2834.50	3286.33	USD	-11,295.75	
17JUL17	S	2	PD170CT17		2835.00	3286.33	USD	-22,566.50	
17JUL17	S	3	PD170CT17		2835.50	3286.33	USD	-33,812.25	
17JUL17	S	1	PD170CT17		2833.50	3286.33	USD	-11,320.75	
17JUL17	B	3	PD170CT17		2818.00	3286.33	USD	35,124.75	
17JUL17	B	5	PD170CT17		2819.50	3286.33	USD	58,353.75	
24JUL17	B	1	PD170CT17		2788.86	3286.33	USD	12,436.75	
	NET	0	AVG BGHT 2820.84	SOLD 2833.90				6,528.50	
18JUL17	B	1	PD180CT17		2822.50	3282.00	USD	11,487.50	0.00
18JUL17	B	3	PD180CT17		2823.00	3282.00	USD	34,425.00	
18JUL17	S	1	PD180CT17		2823.00	3282.00	USD	-11,475.00	
18JUL17	S	1	PD180CT17		2824.00	3282.00	USD	-11,450.00	
18JUL17	B	1	PD180CT17		2791.50	3282.00	USD	12,262.50	
18JUL17	B	1	PD180CT17		2795.50	3282.00	USD	12,162.50	
18JUL17	B	1	PD180CT17		2796.50	3282.00	USD	12,137.50	
18JUL17	S	1	PD180CT17		2798.00	3282.00	USD	-12,100.00	
18JUL17	S	1	PD180CT17		2799.00	3282.00	USD	-12,075.00	
18JUL17	S	2	PD180CT17		2802.50	3282.00	USD	-23,975.00	
18JUL17	S	1	PD180CT17		2804.50	3282.00	USD	-11,937.50	
18JUL17	S	1	PD180CT17		2803.50	3282.00	USD	-11,962.50	
18JUL17	S	1	PD180CT17		2804.00	3282.00	USD	-11,950.00	
18JUL17	B	2	PD180CT17		2799.50	3282.00	USD	24,125.00	
18JUL17	B	2	PD180CT17		2801.00	3282.00	USD	24,050.00	
18JUL17	B	1	PD180CT17		2797.00	3282.00	USD	12,125.00	
18JUL17	B	2	PD180CT17		2785.00	3282.00	USD	24,850.00	
18JUL17	B	1	PD180CT17		2778.00	3282.00	USD	12,600.00	
18JUL17	B	1	PD180CT17		2783.00	3282.00	USD	12,475.00	
18JUL17	B	3	PD180CT17		2800.00	3282.00	USD	36,150.00	
18JUL17	B	1	PD180CT17		2793.00	3282.00	USD	12,225.00	
18JUL17	B	1	PD180CT17		2796.00	3282.00	USD	12,150.00	
18JUL17	S	1	PD180CT17		2801.50	3282.00	USD	-12,012.50	

OPEN POSITION STATEMENT



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SAP0034H

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Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	4	PD18OCT17	2784.00	3282.00	USD	-49,800.00	
18JUL17	S	1	PD18OCT17	2791.00	3282.00	USD	-12,275.00	
18JUL17	B	1	PD18OCT17	2784.50	3282.00	USD	12,437.50	
18JUL17	S	1	PD18OCT17	2792.00	3282.00	USD	-12,250.00	
18JUL17	S	2	PD18OCT17	2786.50	3282.00	USD	-24,775.00	
18JUL17	S	1	PD18OCT17	2785.00	3282.00	USD	-12,425.00	
18JUL17	S	1	PD18OCT17	2791.50	3282.00	USD	-12,262.50	
18JUL17	S	2	PD18OCT17	2787.50	3282.00	USD	-24,725.00	
18JUL17	S	1	PD18OCT17	2789.50	3282.00	USD	-12,312.50	
24JUL17	B	1	PD18OCT17	2789.00	3282.00	USD	12,325.00	
	NET	0 AVG BGHT 2798.54	SOLD 2795.46				-1,775.00	0.00
19JUL17	B	2	PD19OCT17	2782.00	3277.50	USD	24,775.00	
19JUL17	S	1	PD19OCT17	2778.00	3277.50	USD	-12,487.50	
19JUL17	S	1	PD19OCT17	2778.50	3277.50	USD	-12,475.00	
19JUL17	S	1	PD19OCT17	2779.50	3277.50	USD	-12,450.00	
19JUL17	S	1	PD19OCT17	2783.50	3277.50	USD	-12,350.00	
19JUL17	S	1	PD19OCT17	2784.00	3277.50	USD	-12,337.50	
19JUL17	S	1	PD19OCT17	2785.50	3277.50	USD	-12,300.00	
19JUL17	B	1	PD19OCT17	2781.00	3277.50	USD	12,412.50	
19JUL17	B	2	PD19OCT17	2779.50	3277.50	USD	24,900.00	
19JUL17	B	1	PD19OCT17	2775.00	3277.50	USD	12,562.50	
19JUL17	B	1	PD19OCT17	2773.50	3277.50	USD	12,600.00	
19JUL17	B	1	PD19OCT17	2772.00	3277.50	USD	12,637.50	
19JUL17	B	1	PD19OCT17	2772.50	3277.50	USD	12,625.00	
19JUL17	B	1	PD19OCT17	2773.00	3277.50	USD	12,612.50	
19JUL17	S	2	PD19OCT17	2773.50	3277.50	USD	-25,200.00	
24JUL17	S	2	PD19OCT17	2787.30	3277.50	USD	-24,510.00	
	NET	0 AVG BGHT 2777.00	SOLD 2781.06				1,015.00	0.00
20JUL17	B	2	PD20OCT17	2731.50	3273.00	USD	27,075.00	
20JUL17	S	1	PD20OCT17	2740.00	3273.00	USD	-13,325.00	
20JUL17	S	1	PD20OCT17	2741.50	3273.00	USD	-13,287.50	
20JUL17	S	1	PD20OCT17	2743.50	3273.00	USD	-13,237.50	
20JUL17	S	1	PD20OCT17	2746.00	3273.00	USD	-13,175.00	
20JUL17	S	1	PD20OCT17	2758.50	3273.00	USD	-12,862.50	
20JUL17	B	2	PD20OCT17	2741.00	3273.00	USD	26,600.00	
20JUL17	S	2	PD20OCT17	2744.00	3273.00	USD	-26,450.00	
20JUL17	S	1	PD20OCT17	2745.00	3273.00	USD	-13,200.00	
20JUL17	S	1	PD20OCT17	2745.50	3273.00	USD	-13,187.50	
20JUL17	S	1	PD20OCT17	2749.00	3273.00	USD	-13,100.00	
20JUL17	B	2	PD20OCT17	2737.00	3273.00	USD	26,800.00	
20JUL17	B	2	PD20OCT17	2739.50	3273.00	USD	26,675.00	
20JUL17	B	2	PD20OCT17	2739.00	3273.00	USD	26,700.00	
20JUL17	B	2	PD20OCT17	2741.50	3273.00	USD	26,575.00	
20JUL17	S	2	PD20OCT17	2739.50	3273.00	USD	-26,675.00	
20JUL17	B	1	PD20OCT17	2725.50	3273.00	USD	13,687.50	
20JUL17	B	1	PD20OCT17	2731.00	3273.00	USD	13,550.00	
20JUL17	B	1	PD20OCT17	2729.00	3273.00	USD	13,600.00	
20JUL17	B	1	PD20OCT17	2729.50	3273.00	USD	13,587.50	
20JUL17	B	2	PD20OCT17	2726.50	3273.00	USD	27,325.00	
21JUL17	S	1	PD20OCT17	2755.50	3273.00	USD	-12,937.50	
21JUL17	B	1	PD20OCT17	2761.00	3273.00	USD	12,800.00	
21JUL17	B	1	PD20OCT17	2761.50	3273.00	USD	12,787.50	
21JUL17	B	1	PD20OCT17	2762.00	3273.00	USD	12,775.00	
21JUL17	S	2	PD20OCT17	2759.00	3273.00	USD	-25,700.00	
21JUL17	B	1	PD20OCT17	2767.00	3273.00	USD	12,650.00	
21JUL17	S	1	PD20OCT17	2767.00	3273.00	USD	-12,650.00	
21JUL17	B	1	PD20OCT17	2768.00	3273.00	USD	12,625.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	2767.50	3273.00	USD	-12,637.50	
21JUL17	S	1	PD200CT17	2764.50	3273.00	USD	-12,712.50	
21JUL17	S	1	PD200CT17	2753.00	3273.00	USD	-13,000.00	
21JUL17	S	4	PD200CT17	2752.50	3273.00	USD	-52,050.00	
	NET	0 AVG BGHT 2741.15	SOLD 2750.93				5,625.00	0.00
24JUL17	B	1	PD240CT17	2775.50	3267.00	USD	12,287.50	
24JUL17	S	1	PD240CT17	2788.50	3267.00	USD	-11,962.50	
	NET	0 AVG BGHT 2775.50	SOLD 2788.50				325.00	0.00
25JUL17	B	1	PD250CT17	2814.00	3264.00	USD	11,250.00	
25JUL17	S	4	PD250CT17	2821.50	3264.00	USD	-44,250.00	
25JUL17	S	1	PD250CT17	2823.00	3264.00	USD	-11,025.00	
25JUL17	B	4	PD250CT17	2826.50	3264.00	USD	43,750.00	
	NET	0 AVG BGHT 2824.00	SOLD 2821.80				-275.00	0.00
26JUL17	B	1	PD260CT17	2843.50	3262.33	USD	10,470.75	
26JUL17	B	1	PD260CT17	2846.00	3262.33	USD	10,408.25	
26JUL17	B	1	PD260CT17	2847.00	3262.33	USD	10,383.25	
26JUL17	B	1	PD260CT17	2848.00	3262.33	USD	10,358.25	
26JUL17	B	1	PD260CT17	2849.00	3262.33	USD	10,333.25	
26JUL17	B	1	PD260CT17	2851.00	3262.33	USD	10,283.25	
26JUL17	S	1	PD260CT17	2831.00	3262.33	USD	-10,783.25	
26JUL17	S	1	PD260CT17	2833.00	3262.33	USD	-10,733.25	
26JUL17	S	1	PD260CT17	2838.00	3262.33	USD	-10,608.25	
26JUL17	B	1	PD260CT17	2819.00	3262.33	USD	11,083.25	
26JUL17	B	1	PD260CT17	2820.00	3262.33	USD	11,058.25	
26JUL17	S	3	PD260CT17	2822.00	3262.33	USD	-33,024.75	
26JUL17	S	1	PD260CT17	2825.00	3262.33	USD	-10,933.25	
26JUL17	S	1	PD260CT17	2817.50	3262.33	USD	-11,120.75	
26JUL17	B	1	PD260CT17	2825.00	3262.33	USD	10,933.25	
26JUL17	S	1	PD260CT17	2820.00	3262.33	USD	-11,058.25	
26JUL17	B	1	PD260CT17	2811.00	3262.33	USD	11,283.25	
26JUL17	S	3	PD260CT17	2826.00	3262.33	USD	-32,724.75	
26JUL17	B	1	PD260CT17	2844.50	3262.33	USD	10,445.75	
26JUL17	B	1	PD260CT17	2806.00	3262.33	USD	11,408.25	
26JUL17	B	1	PD260CT17	2809.00	3262.33	USD	11,333.25	
26JUL17	S	1	PD260CT17	2810.00	3262.33	USD	-11,308.25	
	NET	0 AVG BGHT 2832.23	SOLD 2824.50				-2,512.50	0.00
27JUL17	S	1	PD270CT17	2804.50	3260.67	USD	-11,404.25	
27JUL17	S	1	PD270CT17	2805.00	3260.67	USD	-11,391.75	
27JUL17	S	1	PD270CT17	2806.00	3260.67	USD	-11,366.75	
27JUL17	S	3	PD270CT17	2808.00	3260.67	USD	-33,950.25	
27JUL17	S	1	PD270CT17	2810.00	3260.67	USD	-11,266.75	
27JUL17	B	1	PD270CT17	2821.00	3260.67	USD	10,991.75	
27JUL17	B	1	PD270CT17	2822.00	3260.67	USD	10,966.75	
27JUL17	B	5	PD270CT17	2823.00	3260.67	USD	54,708.75	
28JUL17	S	2	PD270CT17	2786.00	3260.67	USD	-23,733.50	
28JUL17	S	1	PD270CT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	S	1	PD270CT17	2789.00	3260.67	USD	-11,791.75	
28JUL17	B	3	PD270CT17	2779.00	3260.67	USD	36,125.25	
28JUL17	B	1	PD270CT17	2774.50	3260.67	USD	12,154.25	
	NET	0 AVG BGHT 2806.32	SOLD 2799.73				-1,812.50	0.00
31JUL17	B	1	PD310CT17	2819.00	3257.33	USD	10,958.25	
31JUL17	S	1	PD310CT17	2810.00	3257.33	USD	-11,183.25	
	NET	0 AVG BGHT 2819.00	SOLD 2810.00				-225.00	0.00
01AUG17	B	1	PD01NOV17	2772.00	3255.67	USD	12,091.75	
01AUG17	S	1	PD01NOV17	2771.00	3255.67	USD	-12,116.75	
	NET	0 AVG BGHT 2772.00	SOLD 2771.00					0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						-25.00	
02AUG17	B	1	PD02NOV17	2769.50	3254.00	USD	12,112.50	
02AUG17	B	1	PD02NOV17	2774.00	3254.00	USD	12,000.00	
02AUG17	B	3	PD02NOV17	2764.50	3254.00	USD	36,712.50	
02AUG17	B	1	PD02NOV17	2762.50	3254.00	USD	12,287.50	
02AUG17	S	1	PD02NOV17	2765.50	3254.00	USD	-12,212.50	
02AUG17	S	3	PD02NOV17	2765.00	3254.00	USD	-36,675.00	
02AUG17	S	1	PD02NOV17	2766.50	3254.00	USD	-12,187.50	
02AUG17	S	1	PD02NOV17	2767.00	3254.00	USD	-12,175.00	
02AUG17	S	1	PD02NOV17	2767.50	3254.00	USD	-12,162.50	
02AUG17	S	2	PD02NOV17	2774.50	3254.00	USD	-23,975.00	
02AUG17	S	1	PD02NOV17	2776.50	3254.00	USD	-11,937.50	
02AUG17	S	2	PD02NOV17	2783.00	3254.00	USD	-23,550.00	
02AUG17	S	2	PD02NOV17	2783.50	3254.00	USD	-23,525.00	
02AUG17	S	1	PD02NOV17	2786.00	3254.00	USD	-11,700.00	
02AUG17	S	1	PD02NOV17	2792.50	3254.00	USD	-11,537.50	
02AUG17	B	1	PD02NOV17	2805.00	3254.00	USD	11,225.00	
02AUG17	B	1	PD02NOV17	2806.00	3254.00	USD	11,200.00	
07AUG17	B	8	PD02NOV17	2856.93	3254.00	USD	79,414.00	
	NET	0 AVG BGHT 2816.62	SOLD 2774.91				-16,686.00	0.00
03AUG17	B	1	PD03NOV17	2821.00	3252.89	USD	10,797.25	
03AUG17	B	1	PD03NOV17	2822.50	3252.89	USD	10,759.75	
03AUG17	B	1	PD03NOV17	2808.00	3252.89	USD	11,122.25	
03AUG17	B	1	PD03NOV17	2811.00	3252.89	USD	11,047.25	
03AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
03AUG17	S	1	PD03NOV17	2815.00	3252.89	USD	-10,947.25	
03AUG17	S	1	PD03NOV17	2816.50	3252.89	USD	-10,909.75	
03AUG17	S	1	PD03NOV17	2818.00	3252.89	USD	-10,872.25	
03AUG17	S	1	PD03NOV17	2817.00	3252.89	USD	-10,897.25	
03AUG17	B	3	PD03NOV17	2817.00	3252.89	USD	32,691.75	
03AUG17	B	1	PD03NOV17	2819.50	3252.89	USD	10,834.75	
03AUG17	B	1	PD03NOV17	2818.00	3252.89	USD	10,872.25	
03AUG17	B	4	PD03NOV17	2814.00	3252.89	USD	43,889.00	
04AUG17	S	2	PD03NOV17	2819.00	3252.89	USD	-21,694.50	
04AUG17	S	2	PD03NOV17	2833.00	3252.89	USD	-20,994.50	
04AUG17	S	1	PD03NOV17	2834.50	3252.89	USD	-10,459.75	
04AUG17	B	2	PD03NOV17	2822.00	3252.89	USD	21,544.50	
04AUG17	S	5	PD03NOV17	2828.00	3252.89	USD	-53,111.25	
04AUG17	S	1	PD03NOV17	2831.00	3252.89	USD	-10,547.25	
04AUG17	S	1	PD03NOV17	2831.50	3252.89	USD	-10,534.75	
04AUG17	B	1	PD03NOV17	2818.00	3252.89	USD	10,872.25	
04AUG17	B	3	PD03NOV17	2819.00	3252.89	USD	32,541.75	
04AUG17	B	2	PD03NOV17	2820.00	3252.89	USD	21,644.50	
04AUG17	B	2	PD03NOV17	2820.50	3252.89	USD	21,619.50	
04AUG17	B	4	PD03NOV17	2824.00	3252.89	USD	42,889.00	
04AUG17	B	2	PD03NOV17	2821.50	3252.89	USD	21,569.50	
04AUG17	B	1	PD03NOV17	2808.50	3252.89	USD	11,109.75	
04AUG17	S	1	PD03NOV17	2808.50	3252.89	USD	-11,109.75	
04AUG17	S	2	PD03NOV17	2813.00	3252.89	USD	-21,994.50	
04AUG17	S	2	PD03NOV17	2822.00	3252.89	USD	-21,544.50	
07AUG17	S	8	PD03NOV17	2856.94	3252.89	USD	-79,190.00	
	NET	0 AVG BGHT 2818.48	SOLD 2831.85				10,025.50	0.00
07AUG17	B	2	PD07NOV17	2815.50	3250.67	USD	21,758.50	
07AUG17	S	2	PD07NOV17	2817.00	3250.67	USD	-21,683.50	
07AUG17	S	1	PD07NOV17	2820.50	3250.67	USD	-10,754.25	
07AUG17	S	2	PD07NOV17	2821.50	3250.67	USD	-21,458.50	
07AUG17	S	1	PD07NOV17	2822.50	3250.67	USD	-10,704.25	

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07AUG17	S	1	PD07NOV17	2823.50	3250.67	USD	-10,679.25	
07AUG17	S	1	PD07NOV17	2824.00	3250.67	USD	-10,666.75	
07AUG17	S	1	PD07NOV17	2827.00	3250.67	USD	-10,591.75	
07AUG17	S	1	PD07NOV17	2828.00	3250.67	USD	-10,566.75	
07AUG17	B	1	PD07NOV17	2817.00	3250.67	USD	10,841.75	
07AUG17	S	3	PD07NOV17	2825.00	3250.67	USD	-31,925.25	
07AUG17	B	1	PD07NOV17	2826.00	3250.67	USD	10,616.75	
07AUG17	B	2	PD07NOV17	2838.00	3250.67	USD	20,633.50	
07AUG17	S	1	PD07NOV17	2874.50	3250.67	USD	-9,404.25	
07AUG17	B	1	PD07NOV17	2878.00	3250.67	USD	9,316.75	
07AUG17	B	1	PD07NOV17	2879.00	3250.67	USD	9,291.75	
07AUG17	S	1	PD07NOV17	2886.50	3250.67	USD	-9,104.25	
07AUG17	B	3	PD07NOV17	2872.00	3250.67	USD	28,400.25	
07AUG17	B	1	PD07NOV17	2873.00	3250.67	USD	9,441.75	
07AUG17	B	1	PD07NOV17	2875.00	3250.67	USD	9,391.75	
07AUG17	B	2	PD07NOV17	2876.00	3250.67	USD	18,733.50	
07AUG17	B	1	PD07NOV17	2881.00	3250.67	USD	9,241.75	
07AUG17	B	1	PD07NOV17	2865.00	3250.67	USD	9,641.75	
07AUG17	B	1	PD07NOV17	2870.00	3250.67	USD	9,516.75	
10AUG17	S	3	PD07NOV17	2937.46	3250.67	USD	-23,490.75	
	NET	0AVG	BGHT 2857.72	SOLD 2848.38			-4,203.00	0.00
08AUG17	S	2	PD08NOV17	2877.00	3249.56	USD	-18,628.00	
08AUG17	S	2	PD08NOV17	2880.50	3249.56	USD	-18,453.00	
08AUG17	S	1	PD08NOV17	2874.00	3249.56	USD	-9,389.00	
08AUG17	S	1	PD08NOV17	2875.00	3249.56	USD	-9,364.00	
08AUG17	S	2	PD08NOV17	2876.50	3249.56	USD	-18,653.00	
08AUG17	S	1	PD08NOV17	2879.00	3249.56	USD	-9,264.00	
08AUG17	S	2	PD08NOV17	2887.50	3249.56	USD	-18,103.00	
08AUG17	B	1	PD08NOV17	2870.50	3249.56	USD	9,476.50	
08AUG17	B	2	PD08NOV17	2899.00	3249.56	USD	17,528.00	
08AUG17	B	2	PD08NOV17	2928.00	3249.56	USD	16,078.00	
08AUG17	B	2	PD08NOV17	2931.00	3249.56	USD	15,928.00	
08AUG17	S	1	PD08NOV17	2878.00	3249.56	USD	-9,289.00	
08AUG17	S	1	PD08NOV17	2900.00	3249.56	USD	-8,739.00	
08AUG17	B	1	PD08NOV17	2925.00	3249.56	USD	8,114.00	
08AUG17	S	1	PD08NOV17	2933.00	3249.56	USD	-7,914.00	
08AUG17	B	3	PD08NOV17	2929.00	3249.56	USD	24,042.00	
10AUG17	B	3	PD08NOV17	2937.84	3249.56	USD	23,379.00	
	NET	0AVG	BGHT 2922.29	SOLD 2884.43			-13,250.50	0.00
09AUG17	B	1	PD09NOV17	2968.50	3248.44	USD	6,998.50	
09AUG17	B	1	PD09NOV17	2977.50	3248.44	USD	6,773.50	
09AUG17	S	1	PD09NOV17	2953.00	3248.44	USD	-7,386.00	
09AUG17	S	1	PD09NOV17	2967.00	3248.44	USD	-7,036.00	
09AUG17	S	1	PD09NOV17	2968.50	3248.44	USD	-6,998.50	
09AUG17	S	2	PD09NOV17	2976.00	3248.44	USD	-13,622.00	
09AUG17	S	1	PD09NOV17	2978.50	3248.44	USD	-6,748.50	
09AUG17	S	4	PD09NOV17	2979.50	3248.44	USD	-26,894.00	
09AUG17	B	1	PD09NOV17	2962.00	3248.44	USD	7,161.00	
09AUG17	B	1	PD09NOV17	2946.00	3248.44	USD	7,561.00	
09AUG17	B	2	PD09NOV17	2947.00	3248.44	USD	15,072.00	
09AUG17	B	4	PD09NOV17	2950.00	3248.44	USD	29,844.00	
09AUG17	S	1	PD09NOV17	2958.00	3248.44	USD	-7,261.00	
09AUG17	S	1	PD09NOV17	2962.00	3248.44	USD	-7,161.00	
09AUG17	S	1	PD09NOV17	2953.50	3248.44	USD	-7,373.50	
09AUG17	S	3	PD09NOV17	2955.00	3248.44	USD	-22,008.00	
09AUG17	S	2	PD09NOV17	2954.00	3248.44	USD	-14,722.00	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	2	PD09NOV17	2943.00	3248.44	USD	15,272.00	
09AUG17	B	1	PD09NOV17	2949.00	3248.44	USD	7,486.00	
09AUG17	S	1	PD09NOV17	2948.00	3248.44	USD	-7,511.00	
09AUG17	S	1	PD09NOV17	2944.50	3248.44	USD	-7,598.50	
09AUG17	S	1	PD09NOV17	2943.50	3248.44	USD	-7,623.50	
09AUG17	S	1	PD09NOV17	2941.50	3248.44	USD	-7,673.50	
09AUG17	B	1	PD09NOV17	2934.00	3248.44	USD	7,861.00	
09AUG17	B	1	PD09NOV17	2935.50	3248.44	USD	7,823.50	
09AUG17	B	1	PD09NOV17	2933.00	3248.44	USD	7,886.00	
09AUG17	B	1	PD09NOV17	2935.00	3248.44	USD	7,836.00	
09AUG17	B	2	PD09NOV17	2936.00	3248.44	USD	15,622.00	
09AUG17	B	2	PD09NOV17	2937.00	3248.44	USD	15,572.00	
14AUG17	B	2	PD09NOV17	2916.20	3248.44	USD	16,612.00	
	NET	0 AVG	BGHT 2943.43	SOLD 2962.11			10,740.00	0.00
10AUG17	B	1	PD10NOV17	2939.00	3247.33	USD	7,708.25	
10AUG17	S	2	PD10NOV17	2940.00	3247.33	USD	-15,366.50	
10AUG17	S	2	PD10NOV17	2940.50	3247.33	USD	-15,341.50	
10AUG17	B	3	PD10NOV17	2935.00	3247.33	USD	23,424.75	
10AUG17	S	2	PD10NOV17	2935.00	3247.33	USD	-15,616.50	
10AUG17	S	1	PD10NOV17	2938.50	3247.33	USD	-7,720.75	
10AUG17	S	3	PD10NOV17	2941.50	3247.33	USD	-22,937.25	
10AUG17	B	2	PD10NOV17	2938.00	3247.33	USD	15,466.50	
10AUG17	B	1	PD10NOV17	2928.00	3247.33	USD	7,983.25	
11AUG17	B	1	PD10NOV17	2895.00	3247.33	USD	8,808.25	
11AUG17	B	3	PD10NOV17	2897.00	3247.33	USD	26,274.75	
11AUG17	B	2	PD10NOV17	2898.00	3247.33	USD	17,466.50	
11AUG17	S	1	PD10NOV17	2908.50	3247.33	USD	-8,470.75	
11AUG17	B	1	PD10NOV17	2898.50	3247.33	USD	8,720.75	
11AUG17	S	1	PD10NOV17	2897.50	3247.33	USD	-8,745.75	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
11AUG17	S	2	PD10NOV17	2907.50	3247.33	USD	-16,991.50	
11AUG17	S	1	PD10NOV17	2914.00	3247.33	USD	-8,333.25	
11AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
11AUG17	S	1	PD10NOV17	2918.00	3247.33	USD	-8,233.25	
11AUG17	S	2	PD10NOV17	2921.50	3247.33	USD	-16,291.50	
11AUG17	S	2	PD10NOV17	2908.00	3247.33	USD	-16,966.50	
11AUG17	B	1	PD10NOV17	2903.50	3247.33	USD	8,595.75	
11AUG17	B	1	PD10NOV17	2904.00	3247.33	USD	8,583.25	
11AUG17	B	3	PD10NOV17	2905.00	3247.33	USD	25,674.75	
11AUG17	B	1	PD10NOV17	2906.00	3247.33	USD	8,533.25	
11AUG17	B	2	PD10NOV17	2906.50	3247.33	USD	17,041.50	
11AUG17	B	2	PD10NOV17	2908.50	3247.33	USD	16,941.50	
14AUG17	S	2	PD10NOV17	2916.16	3247.33	USD	-16,558.50	
	NET	0 AVG	BGHT 2911.96	SOLD 2923.33			6,820.50	0.00
14AUG17	S	2	PD14NOV17	2914.50	3245.11	USD	-16,530.50	
14AUG17	B	1	PD14NOV17	2902.00	3245.11	USD	8,577.75	
14AUG17	B	1	PD14NOV17	2904.00	3245.11	USD	8,527.75	
	NET	0 AVG	BGHT 2903.00	SOLD 2914.50			575.00	0.00
21AUG17	S	1	PD21NOV17	3169.00	3237.00	USD	-1,700.00	
21AUG17	B	1	PD21NOV17	3147.00	3237.00	USD	2,250.00	
21AUG17	B	1	PD21NOV17	3160.50	3237.00	USD	1,912.50	
21AUG17	B	1	PD21NOV17	3145.00	3237.00	USD	2,300.00	
21AUG17	S	4	PD21NOV17	3140.00	3237.00	USD	-9,700.00	
21AUG17	S	1	PD21NOV17	3141.50	3237.00	USD	-2,387.50	
21AUG17	S	1	PD21NOV17	3142.00	3237.00	USD	-2,375.00	
21AUG17	S	1	PD21NOV17	3158.00	3237.00	USD	-1,975.00	

OPEN POSITION STATEMENT



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SAP0034H

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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21AUG17	B	1	PD21NOV17		3144.00	3237.00	USD	2,325.00	
21AUG17	B	1	PD21NOV17		3132.50	3237.00	USD	2,612.50	
21AUG17	B	1	PD21NOV17		3138.00	3237.00	USD	2,475.00	
21AUG17	B	2	PD21NOV17		3140.00	3237.00	USD	4,850.00	
21AUG17	B	1	PD21NOV17		3133.50	3237.00	USD	2,587.50	
21AUG17	B	1	PD21NOV17		3135.50	3237.00	USD	2,537.50	
21AUG17	S	1	PD21NOV17		3137.50	3237.00	USD	-2,487.50	
21AUG17	S	1	PD21NOV17		3138.50	3237.00	USD	-2,462.50	
	NET	0AVG	BGHT 3141.60	SOLD 3144.65				762.50	0.00
22AUG17	B	1	PD22NOV17		3118.00	3236.00	USD	2,950.00	
22AUG17	B	4	PD22NOV17		3140.00	3236.00	USD	9,600.00	
22AUG17	S	1	PD22NOV17		3118.00	3236.00	USD	-2,950.00	
22AUG17	S	2	PD22NOV17		3118.50	3236.00	USD	-5,875.00	
22AUG17	S	1	PD22NOV17		3149.00	3236.00	USD	-2,175.00	
22AUG17	S	1	PD22NOV17		3147.50	3236.00	USD	-2,212.50	
22AUG17	S	1	PD22NOV17		3146.00	3236.00	USD	-2,250.00	
22AUG17	S	1	PD22NOV17		3159.50	3236.00	USD	-1,912.50	
22AUG17	B	1	PD22NOV17		3148.50	3236.00	USD	2,187.50	
22AUG17	S	2	PD22NOV17		3152.00	3236.00	USD	-4,200.00	
22AUG17	B	1	PD22NOV17		3144.00	3236.00	USD	2,300.00	
22AUG17	B	1	PD22NOV17		3146.00	3236.00	USD	2,250.00	
22AUG17	B	1	PD22NOV17		3142.50	3236.00	USD	2,337.50	
22AUG17	B	1	PD22NOV17		3145.50	3236.00	USD	2,262.50	
22AUG17	S	2	PD22NOV17		3148.00	3236.00	USD	-4,400.00	
22AUG17	S	7	PD22NOV17		3162.50	3236.00	USD	-12,862.50	
22AUG17	B	4	PD22NOV17		3130.00	3236.00	USD	10,600.00	
22AUG17	B	1	PD22NOV17		3131.00	3236.00	USD	2,625.00	
22AUG17	B	1	PD22NOV17		3143.00	3236.00	USD	2,325.00	
22AUG17	B	1	PD22NOV17		3147.50	3236.00	USD	2,212.50	
22AUG17	B	1	PD22NOV17		3121.00	3236.00	USD	2,875.00	
22AUG17	B	1	PD22NOV17		3119.00	3236.00	USD	2,925.00	
22AUG17	B	2	PD22NOV17		3122.00	3236.00	USD	5,700.00	
22AUG17	S	2	PD22NOV17		3113.50	3236.00	USD	-6,125.00	
22AUG17	S	2	PD22NOV17		3114.00	3236.00	USD	-6,100.00	
22AUG17	B	1	PD22NOV17		3102.00	3236.00	USD	3,350.00	
	NET	0AVG	BGHT 3133.27	SOLD 3143.16				5,437.50	0.00
23AUG17	S	3	PD24NOV17		3118.00	3235.00	USD	-8,775.00	
23AUG17	S	3	PD24NOV17		3119.00	3235.00	USD	-8,700.00	
23AUG17	S	1	PD24NOV17		3119.50	3235.00	USD	-2,887.50	
23AUG17	S	1	PD24NOV17		3120.50	3235.00	USD	-2,862.50	
23AUG17	B	1	PD24NOV17		3123.50	3235.00	USD	2,787.50	
23AUG17	S	1	PD24NOV17		3124.00	3235.00	USD	-2,775.00	
23AUG17	B	1	PD24NOV17		3119.50	3235.00	USD	2,887.50	
23AUG17	B	2	PD24NOV17		3120.50	3235.00	USD	5,725.00	
23AUG17	B	2	PD24NOV17		3118.50	3235.00	USD	5,825.00	
23AUG17	B	1	PD24NOV17		3122.00	3235.00	USD	2,825.00	
23AUG17	B	2	PD24NOV17		3130.00	3235.00	USD	5,250.00	
23AUG17	S	1	PD24NOV17		3127.50	3235.00	USD	-2,687.50	
23AUG17	S	1	PD24NOV17		3129.50	3235.00	USD	-2,637.50	
23AUG17	S	3	PD24NOV17		3129.00	3235.00	USD	-7,950.00	
23AUG17	B	1	PD24NOV17		3141.50	3235.00	USD	2,337.50	
23AUG17	S	1	PD24NOV17		3133.00	3235.00	USD	-2,550.00	
23AUG17	S	1	PD24NOV17		3133.50	3235.00	USD	-2,537.50	
23AUG17	S	1	PD24NOV17		3141.00	3235.00	USD	-2,350.00	
23AUG17	S	1	PD24NOV17		3141.50	3235.00	USD	-2,337.50	
23AUG17	B	1	PD24NOV17		3135.00	3235.00	USD	2,500.00	
23AUG17	B	1	PD24NOV17		3136.00	3235.00	USD	2,475.00	

OPEN POSITION STATEMENT



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SAP0034H

11th October 2017

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23AUG17	S	1	PD24NOV17		3135.50	3235.00	USD	-2,487.50	
23AUG17	S	2	PD24NOV17		3118.50	3235.00	USD	-5,825.00	
23AUG17	B	1	PD24NOV17		3121.00	3235.00	USD	2,850.00	
23AUG17	B	3	PD24NOV17		3123.00	3235.00	USD	8,400.00	
23AUG17	B	2	PD24NOV17		3118.00	3235.00	USD	5,850.00	
23AUG17	B	5	PD24NOV17		3120.00	3235.00	USD	14,375.00	
23AUG17	B	4	PD24NOV17		3115.00	3235.00	USD	12,000.00	
23AUG17	B	1	PD24NOV17		3090.00	3235.00	USD	3,625.00	
23AUG17	B	1	PD24NOV17		3096.00	3235.00	USD	3,475.00	
23AUG17	B	1	PD24NOV17		3109.00	3235.00	USD	3,150.00	
23AUG17	S	1	PD24NOV17		3103.50	3235.00	USD	-3,287.50	
23AUG17	S	1	PD24NOV17		3109.00	3235.00	USD	-3,150.00	
23AUG17	S	2	PD24NOV17		3112.00	3235.00	USD	-6,150.00	
23AUG17	B	1	PD24NOV17		3099.00	3235.00	USD	3,400.00	
23AUG17	B	1	PD24NOV17		3100.00	3235.00	USD	3,375.00	
23AUG17	B	1	PD24NOV17		3103.00	3235.00	USD	3,300.00	
23AUG17	B	1	PD24NOV17		3098.50	3235.00	USD	3,412.50	
23AUG17	S	2	PD24NOV17		3105.00	3235.00	USD	-6,500.00	
23AUG17	S	1	PD24NOV17		3106.00	3235.00	USD	-3,225.00	
23AUG17	S	1	PD24NOV17		3107.50	3235.00	USD	-3,187.50	
23AUG17	S	1	PD24NOV17		3108.00	3235.00	USD	-3,175.00	
23AUG17	S	4	PD24NOV17		3109.50	3235.00	USD	-12,550.00	
	NET	0 AVG	BGHT 3117.56	SOLD 3119.01				1,237.50	0.00
30AUG17	S	1	PD30NOV17		3143.50	3233.67	USD	-2,254.25	
30AUG17	S	1	PD30NOV17		3147.00	3233.67	USD	-2,166.75	
30AUG17	S	2	PD30NOV17		3149.50	3233.67	USD	-4,208.50	
30AUG17	S	1	PD30NOV17		3150.50	3233.67	USD	-2,079.25	
30AUG17	B	2	PD30NOV17		3116.00	3233.67	USD	5,883.50	
30AUG17	B	1	PD30NOV17		3109.50	3233.67	USD	3,104.25	
30AUG17	S	1	PD30NOV17		3116.00	3233.67	USD	-2,941.75	
30AUG17	B	1	PD30NOV17		3097.00	3233.67	USD	3,416.75	
30AUG17	B	1	PD30NOV17		3106.50	3233.67	USD	3,179.25	
30AUG17	S	2	PD30NOV17		3104.00	3233.67	USD	-6,483.50	
30AUG17	B	1	PD30NOV17		3101.00	3233.67	USD	3,316.75	
30AUG17	S	1	PD30NOV17		3106.50	3233.67	USD	-3,179.25	
30AUG17	S	1	PD30NOV17		3107.00	3233.67	USD	-3,166.75	
30AUG17	S	1	PD30NOV17		3108.50	3233.67	USD	-3,129.25	
31AUG17	S	2	PD30NOV17		3110.00	3233.67	USD	-6,183.50	
31AUG17	S	1	PD30NOV17		3105.50	3233.67	USD	-3,204.25	
31AUG17	S	1	PD30NOV17		3106.50	3233.67	USD	-3,179.25	
31AUG17	S	1	PD30NOV17		3125.00	3233.67	USD	-2,716.75	
31AUG17	B	1	PD30NOV17		3124.00	3233.67	USD	2,741.75	
31AUG17	B	2	PD30NOV17		3127.00	3233.67	USD	5,333.50	
31AUG17	S	2	PD30NOV17		3157.00	3233.67	USD	-3,833.50	
31AUG17	B	1	PD30NOV17		3154.00	3233.67	USD	1,991.75	
31AUG17	B	1	PD30NOV17		3132.00	3233.67	USD	2,541.75	
31AUG17	B	2	PD30NOV17		3144.00	3233.67	USD	4,483.50	
31AUG17	B	1	PD30NOV17		3144.50	3233.67	USD	2,229.25	
31AUG17	B	1	PD30NOV17		3145.00	3233.67	USD	2,216.75	
31AUG17	B	1	PD30NOV17		3146.00	3233.67	USD	2,191.75	
31AUG17	B	1	PD30NOV17		3148.00	3233.67	USD	2,141.75	
06SEP17	B	1	PD30NOV17		3093.50	3233.67	USD	3,504.25	
	NET	0 AVG	BGHT 3126.39	SOLD 3125.39				-450.00	0.00
01SEP17	B	2	PD01DEC17		3145.00	3233.33	USD	4,416.50	
01SEP17	B	1	PD01DEC17		3163.50	3233.33	USD	1,745.75	
01SEP17	S	1	PD01DEC17		3163.00	3233.33	USD	-1,758.25	
01SEP17	S	1	PD01DEC17		3163.50	3233.33	USD	-1,745.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	3163.00	3233.33	USD	1,758.25	
01SEP17	S	2	PD01DEC17	3160.00	3233.33	USD	-3,666.50	
01SEP17	B	1	PD01DEC17	3160.50	3233.33	USD	1,820.75	
01SEP17	B	2	PD01DEC17	3155.00	3233.33	USD	3,916.50	
01SEP17	B	3	PD01DEC17	3155.50	3233.33	USD	5,837.25	
01SEP17	B	1	PD01DEC17	3154.50	3233.33	USD	1,970.75	
01SEP17	B	1	PD01DEC17	3157.00	3233.33	USD	1,908.25	
01SEP17	S	6	PD01DEC17	3153.00	3233.33	USD	-12,049.50	
01SEP17	B	2	PD01DEC17	3151.00	3233.33	USD	4,116.50	
01SEP17	B	2	PD01DEC17	3150.00	3233.33	USD	4,166.50	
01SEP17	B	1	PD01DEC17	3137.50	3233.33	USD	2,395.75	
01SEP17	S	1	PD01DEC17	3155.50	3233.33	USD	-1,945.75	
01SEP17	S	3	PD01DEC17	3156.50	3233.33	USD	-5,762.25	
01SEP17	S	2	PD01DEC17	3162.00	3233.33	USD	-3,566.50	
01SEP17	B	4	PD01DEC17	3174.00	3233.33	USD	5,933.00	
01SEP17	S	1	PD01DEC17	3167.00	3233.33	USD	-1,658.25	
01SEP17	S	1	PD01DEC17	3172.00	3233.33	USD	-1,533.25	
01SEP17	S	1	PD01DEC17	3175.50	3233.33	USD	-1,445.75	
01SEP17	S	1	PD01DEC17	3179.50	3233.33	USD	-1,345.75	
06SEP17	S	1	PD01DEC17	3093.55	3233.33	USD	-3,494.50	
	NET	0AVG BGHT 3157.17	SOLD 3157.19				13.75	0.00
04SEP17	S	2	PD04DEC17	3203.00	3233.00	USD	-1,500.00	
04SEP17	B	2	PD04DEC17	3194.50	3233.00	USD	1,925.00	
	NET	0AVG BGHT 3194.50	SOLD 3203.00				425.00	0.00
05SEP17	B	1	PD05DEC17	3196.00	3232.67	USD	916.75	
05SEP17	B	1	PD05DEC17	3192.00	3232.67	USD	1,016.75	
05SEP17	S	2	PD05DEC17	3196.50	3232.67	USD	-1,808.50	
05SEP17	S	1	PD05DEC17	3198.50	3232.67	USD	-854.25	
05SEP17	B	1	PD05DEC17	3191.00	3232.67	USD	1,041.75	
05SEP17	S	1	PD05DEC17	3192.50	3232.67	USD	-1,004.25	
05SEP17	B	1	PD05DEC17	3182.00	3232.67	USD	1,266.75	
05SEP17	S	1	PD05DEC17	3144.50	3232.67	USD	-2,204.25	
05SEP17	B	1	PD05DEC17	3143.50	3232.67	USD	2,229.25	
	NET	0AVG BGHT 3180.90	SOLD 3185.70				600.00	0.00
06SEP17	B	1	PD06DEC17	3068.00	3232.33	USD	4,108.25	
06SEP17	B	1	PD06DEC17	3089.00	3232.33	USD	3,583.25	
06SEP17	B	1	PD06DEC17	3105.50	3232.33	USD	3,170.75	
06SEP17	S	1	PD06DEC17	3079.50	3232.33	USD	-3,820.75	
06SEP17	S	1	PD06DEC17	3090.00	3232.33	USD	-3,558.25	
06SEP17	B	1	PD06DEC17	3087.50	3232.33	USD	3,620.75	
06SEP17	B	1	PD06DEC17	3106.50	3232.33	USD	3,145.75	
06SEP17	B	1	PD06DEC17	3108.00	3232.33	USD	3,108.25	
06SEP17	B	1	PD06DEC17	3080.00	3232.33	USD	3,808.25	
06SEP17	B	2	PD06DEC17	3081.00	3232.33	USD	7,566.50	
06SEP17	B	1	PD06DEC17	3078.50	3232.33	USD	3,845.75	
06SEP17	B	1	PD06DEC17	3076.50	3232.33	USD	3,895.75	
06SEP17	S	1	PD06DEC17	3085.50	3232.33	USD	-3,670.75	
06SEP17	S	4	PD06DEC17	3087.00	3232.33	USD	-14,533.00	
06SEP17	S	2	PD06DEC17	3088.50	3232.33	USD	-7,191.50	
06SEP17	S	1	PD06DEC17	3089.00	3232.33	USD	-3,583.25	
06SEP17	S	2	PD06DEC17	3080.00	3232.33	USD	-7,616.50	
06SEP17	B	1	PD06DEC17	3087.00	3232.33	USD	3,633.25	
06SEP17	B	1	PD06DEC17	3085.00	3232.33	USD	3,683.25	
06SEP17	S	1	PD06DEC17	3096.50	3232.33	USD	-3,395.75	
	NET	0AVG BGHT 3087.19	SOLD 3086.58				-200.00	0.00
07SEP17	B	1	PD07DEC17	3079.50	3232.00	USD	3,812.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	3083.00	3232.00	USD	7,450.00	
07SEP17	S	3	PD07DEC17	3092.50	3232.00	USD	-10,462.50	
07SEP17	S	2	PD07DEC17	3094.00	3232.00	USD	-6,900.00	
07SEP17	S	1	PD07DEC17	3096.00	3232.00	USD	-3,400.00	
07SEP17	S	1	PD07DEC17	3097.50	3232.00	USD	-3,362.50	
07SEP17	S	1	PD07DEC17	3119.50	3232.00	USD	-2,812.50	
07SEP17	S	1	PD07DEC17	3118.00	3232.00	USD	-2,850.00	
07SEP17	S	1	PD07DEC17	3119.00	3232.00	USD	-2,825.00	
07SEP17	B	1	PD07DEC17	3115.00	3232.00	USD	2,925.00	
07SEP17	B	1	PD07DEC17	3117.00	3232.00	USD	2,875.00	
07SEP17	B	2	PD07DEC17	3119.00	3232.00	USD	5,650.00	
07SEP17	B	3	PD07DEC17	3123.00	3232.00	USD	8,175.00	
	NET	0AVG BGHT 3108.45	SOLD 3101.55				-1,725.00	0.00
08SEP17	B	3	PD08DEC17	3141.00	3231.67	USD	6,800.25	
08SEP17	S	1	PD08DEC17	3135.50	3231.67	USD	-2,404.25	
08SEP17	B	1	PD08DEC17	3120.00	3231.67	USD	2,791.75	
08SEP17	B	1	PD08DEC17	3121.50	3231.67	USD	2,754.25	
08SEP17	B	1	PD08DEC17	3123.00	3231.67	USD	2,716.75	
08SEP17	S	1	PD08DEC17	3122.50	3231.67	USD	-2,729.25	
08SEP17	B	1	PD08DEC17	3103.50	3231.67	USD	3,204.25	
08SEP17	B	1	PD08DEC17	3104.00	3231.67	USD	3,191.75	
08SEP17	B	1	PD08DEC17	3088.50	3231.67	USD	3,579.25	
08SEP17	B	1	PD08DEC17	3090.00	3231.67	USD	3,541.75	
08SEP17	S	2	PD08DEC17	3093.50	3231.67	USD	-6,908.50	
08SEP17	B	1	PD08DEC17	3084.50	3231.67	USD	3,679.25	
08SEP17	S	1	PD08DEC17	3091.50	3231.67	USD	-3,504.25	
08SEP17	B	1	PD08DEC17	3070.50	3231.67	USD	4,029.25	
08SEP17	B	1	PD08DEC17	3074.00	3231.67	USD	3,941.75	
08SEP17	S	1	PD08DEC17	3085.50	3231.67	USD	-3,654.25	
08SEP17	S	3	PD08DEC17	3092.00	3231.67	USD	-10,475.25	
08SEP17	S	2	PD08DEC17	3094.00	3231.67	USD	-6,883.50	
08SEP17	B	1	PD08DEC17	3069.50	3231.67	USD	4,054.25	
08SEP17	B	2	PD08DEC17	3072.00	3231.67	USD	7,983.50	
08SEP17	S	1	PD08DEC17	3069.50	3231.67	USD	-4,054.25	
08SEP17	S	1	PD08DEC17	3070.00	3231.67	USD	-4,041.75	
08SEP17	S	1	PD08DEC17	3065.00	3231.67	USD	-4,166.75	
08SEP17	S	1	PD08DEC17	3062.00	3231.67	USD	-4,241.75	
08SEP17	S	1	PD08DEC17	3064.00	3231.67	USD	-4,191.75	
	NET	0AVG BGHT 3101.00	SOLD 3088.53				-4,987.50	0.00
11SEP17	S	1	PD11DEC17	3072.50	3231.33	USD	-3,970.75	
11SEP17	S	1	PD11DEC17	3094.50	3231.33	USD	-3,420.75	
11SEP17	B	1	PD11DEC17	3098.00	3231.33	USD	3,333.25	
11SEP17	B	1	PD11DEC17	3100.00	3231.33	USD	3,283.25	
	NET	0AVG BGHT 3099.00	SOLD 3083.50				-775.00	0.00
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	B	1	PD12DEC17	3088.50	3231.00	USD	3,562.50	
12SEP17	B	1	PD12DEC17	3089.50	3231.00	USD	3,537.50	
12SEP17	B	1	PD12DEC17	3091.00	3231.00	USD	3,500.00	
12SEP17	B	1	PD12DEC17	3091.50	3231.00	USD	3,487.50	
12SEP17	B	1	PD12DEC17	3092.00	3231.00	USD	3,475.00	
12SEP17	B	1	PD12DEC17	3093.50	3231.00	USD	3,437.50	
12SEP17	B	1	PD12DEC17	3082.00	3231.00	USD	3,725.00	
12SEP17	B	4	PD12DEC17	3090.00	3231.00	USD	14,100.00	
12SEP17	S	2	PD12DEC17	3089.00	3231.00	USD	-7,100.00	
12SEP17	S	1	PD12DEC17	3090.00	3231.00	USD	-3,525.00	
12SEP17	S	2	PD12DEC17	3091.50	3231.00	USD	-6,975.00	

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12SEP17	S	1	PD12DEC17	3090.50	3231.00	USD	-3,512.50	
12SEP17	S	1	PD12DEC17	3079.50	3231.00	USD	-3,787.50	
12SEP17	S	4	PD12DEC17	3081.00	3231.00	USD	-15,000.00	
12SEP17	B	1	PD12DEC17	3068.00	3231.00	USD	4,075.00	
12SEP17	B	3	PD12DEC17	3069.50	3231.00	USD	12,112.50	
12SEP17	B	2	PD12DEC17	3070.00	3231.00	USD	8,050.00	
12SEP17	B	1	PD12DEC17	3067.50	3231.00	USD	4,087.50	
12SEP17	B	1	PD12DEC17	3070.50	3231.00	USD	4,012.50	
12SEP17	B	1	PD12DEC17	3063.00	3231.00	USD	4,200.00	
12SEP17	S	1	PD12DEC17	3061.00	3231.00	USD	-4,250.00	
12SEP17	S	1	PD12DEC17	3061.50	3231.00	USD	-4,237.50	
12SEP17	S	1	PD12DEC17	3065.00	3231.00	USD	-4,150.00	
12SEP17	S	3	PD12DEC17	3080.00	3231.00	USD	-11,325.00	
12SEP17	S	1	PD12DEC17	3064.00	3231.00	USD	-4,175.00	
12SEP17	S	2	PD12DEC17	3057.00	3231.00	USD	-8,700.00	
12SEP17	B	1	PD12DEC17	3071.00	3231.00	USD	4,000.00	
	NET	0 AVG BGHT 3079.83	SOLD 3077.74				-1,100.00	0.00
13SEP17	S	1	PD13DEC17	3060.00	3230.67	USD	-4,266.75	
13SEP17	B	2	PD13DEC17	3052.00	3230.67	USD	8,933.50	
13SEP17	B	1	PD13DEC17	3045.00	3230.67	USD	4,641.75	
13SEP17	S	1	PD13DEC17	3044.50	3230.67	USD	-4,654.25	
13SEP17	B	1	PD13DEC17	3050.00	3230.67	USD	4,516.75	
13SEP17	B	1	PD13DEC17	3031.00	3230.67	USD	4,991.75	
13SEP17	S	2	PD13DEC17	3033.00	3230.67	USD	-9,883.50	
13SEP17	S	1	PD13DEC17	3036.00	3230.67	USD	-4,866.75	
13SEP17	B	1	PD13DEC17	3026.00	3230.67	USD	5,116.75	
13SEP17	S	1	PD13DEC17	3029.50	3230.67	USD	-5,029.25	
	NET	0 AVG BGHT 3042.67	SOLD 3039.33				-500.00	0.00
14SEP17	B	2	PD14DEC17	3038.00	3230.33	USD	9,616.50	
14SEP17	B	1	PD14DEC17	3002.50	3230.33	USD	5,695.75	
14SEP17	B	1	PD14DEC17	3000.00	3230.33	USD	5,758.25	
14SEP17	B	1	PD14DEC17	3002.00	3230.33	USD	5,708.25	
14SEP17	S	4	PD14DEC17	3003.00	3230.33	USD	-22,733.00	
14SEP17	S	1	PD14DEC17	3008.00	3230.33	USD	-5,558.25	
14SEP17	B	2	PD14DEC17	2998.50	3230.33	USD	11,591.50	
14SEP17	S	1	PD14DEC17	2987.50	3230.33	USD	-6,070.75	
14SEP17	S	1	PD14DEC17	2991.50	3230.33	USD	-5,970.75	
	NET	0 AVG BGHT 3011.07	SOLD 2999.86				-1,962.50	0.00
15SEP17	S	1	PD15DEC17	3017.00	3230.00	USD	-5,325.00	
15SEP17	B	1	PD15DEC17	3012.50	3230.00	USD	5,437.50	
15SEP17	B	1	PD15DEC17	2999.50	3230.00	USD	5,762.50	
15SEP17	S	1	PD15DEC17	3006.00	3230.00	USD	-5,600.00	
	NET	0 AVG BGHT 3006.00	SOLD 3011.50				275.00	0.00
18SEP17	B	2	PD18DEC17	3067.50	3229.67	USD	8,108.50	
18SEP17	B	1	PD18DEC17	3067.00	3229.67	USD	4,066.75	
18SEP17	B	2	PD18DEC17	3068.50	3229.67	USD	8,058.50	
18SEP17	S	1	PD18DEC17	3071.00	3229.67	USD	-3,966.75	
18SEP17	S	1	PD18DEC17	3073.00	3229.67	USD	-3,916.75	
18SEP17	S	1	PD18DEC17	3076.00	3229.67	USD	-3,841.75	
18SEP17	S	1	PD18DEC17	3084.00	3229.67	USD	-3,641.75	
18SEP17	S	1	PD18DEC17	3080.50	3229.67	USD	-3,729.25	
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	1	PD18DEC17	3085.50	3229.67	USD	-3,604.25	
18SEP17	S	2	PD18DEC17	3093.00	3229.67	USD	-6,833.50	
18SEP17	B	1	PD18DEC17	3085.50	3229.67	USD	3,604.25	
18SEP17	B	2	PD18DEC17	3064.00	3229.67	USD	8,283.50	

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18SEP17	B	1	PD18DEC17	3068.00	3229.67	USD	4,041.75	
	NET	0 AVG BGHT 3068.94	SOLD 3081.94				2,925.00	0.00
20SEP17	B	1	PD20DEC17	3118.50	3229.00	USD	2,762.50	
20SEP17	B	1	PD20DEC17	3123.50	3229.00	USD	2,637.50	
20SEP17	S	2	PD20DEC17	3131.00	3229.00	USD	-4,900.00	
20SEP17	S	1	PD20DEC17	3134.00	3229.00	USD	-2,375.00	
20SEP17	B	1	PD20DEC17	3121.00	3229.00	USD	2,700.00	
20SEP17	B	2	PD20DEC17	3140.50	3229.00	USD	4,425.00	
20SEP17	S	1	PD20DEC17	3137.00	3229.00	USD	-2,300.00	
20SEP17	S	1	PD20DEC17	3139.50	3229.00	USD	-2,237.50	
20SEP17	S	1	PD20DEC17	3145.00	3229.00	USD	-2,100.00	
20SEP17	S	1	PD20DEC17	3146.00	3229.00	USD	-2,075.00	
20SEP17	B	2	PD20DEC17	3150.00	3229.00	USD	3,950.00	
20SEP17	S	3	PD20DEC17	3151.00	3229.00	USD	-5,850.00	
20SEP17	S	1	PD20DEC17	3150.50	3229.00	USD	-1,962.50	
20SEP17	S	1	PD20DEC17	3152.00	3229.00	USD	-1,925.00	
20SEP17	B	1	PD20DEC17	3136.00	3229.00	USD	2,325.00	
20SEP17	B	2	PD20DEC17	3138.00	3229.00	USD	4,550.00	
20SEP17	B	1	PD20DEC17	3139.00	3229.00	USD	2,250.00	
20SEP17	B	1	PD20DEC17	3141.00	3229.00	USD	2,200.00	
	NET	0 AVG BGHT 3136.33	SOLD 3143.25				2,075.00	0.00
21SEP17	B	1	PD21DEC17	3066.00	3225.00	USD	3,975.00	
21SEP17	B	1	PD21DEC17	3073.00	3225.00	USD	3,800.00	
21SEP17	S	1	PD21DEC17	3072.50	3225.00	USD	-3,812.50	
21SEP17	S	1	PD21DEC17	3074.50	3225.00	USD	-3,762.50	
	NET	0 AVG BGHT 3069.50	SOLD 3073.50				200.00	0.00
22SEP17	B	1	PD22DEC17	3031.00	3224.50	USD	4,837.50	
22SEP17	B	1	PD22DEC17	3010.00	3224.50	USD	5,362.50	
22SEP17	B	1	PD22DEC17	3013.00	3224.50	USD	5,287.50	
22SEP17	S	2	PD22DEC17	3022.50	3224.50	USD	-10,100.00	
22SEP17	B	1	PD22DEC17	3012.00	3224.50	USD	5,312.50	
22SEP17	S	1	PD22DEC17	3023.50	3224.50	USD	-5,025.00	
22SEP17	S	1	PD22DEC17	3023.00	3224.50	USD	-5,037.50	
	NET	0 AVG BGHT 3016.50	SOLD 3022.88				637.50	0.00
27SEP17	S	2	PD27DEC17	3112.50	3224.00	USD	-5,575.00	
27SEP17	S	3	PD27DEC17	3115.00	3224.00	USD	-8,175.00	
27SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
27SEP17	B	1	PD27DEC17	3138.00	3224.00	USD	2,150.00	
27SEP17	B	1	PD27DEC17	3141.00	3224.00	USD	2,075.00	
27SEP17	B	1	PD27DEC17	3134.50	3224.00	USD	2,237.50	
27SEP17	B	1	PD27DEC17	3125.50	3224.00	USD	2,462.50	
27SEP17	B	1	PD27DEC17	3126.50	3224.00	USD	2,437.50	
27SEP17	B	1	PD27DEC17	3126.00	3224.00	USD	2,450.00	
	NET	0 AVG BGHT 3131.92	SOLD 3118.67				-1,987.50	0.00
29SEP17	S	1	PD29DEC17	3147.00	3223.00	USD	-1,900.00	
29SEP17	B	1	PD29DEC17	3140.00	3223.00	USD	2,075.00	
29SEP17	B	1	PD29DEC17	3143.00	3223.00	USD	2,000.00	
29SEP17	B	1	PD29DEC17	3145.00	3223.00	USD	1,950.00	
29SEP17	S	1	PD29DEC17	3152.00	3223.00	USD	-1,775.00	
29SEP17	S	4	PD29DEC17	3153.50	3223.00	USD	-6,950.00	
29SEP17	S	1	PD29DEC17	3159.50	3223.00	USD	-1,587.50	
29SEP17	S	1	PD29DEC17	3160.00	3223.00	USD	-1,575.00	
29SEP17	S	1	PD29DEC17	3167.00	3223.00	USD	-1,400.00	
29SEP17	B	3	PD29DEC17	3152.00	3223.00	USD	5,325.00	
29SEP17	S	1	PD29DEC17	3150.00	3223.00	USD	-1,825.00	
29SEP17	B	1	PD29DEC17	3146.50	3223.00	USD	1,912.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29SEP17	S	1	PD29DEC17		3149.50	3223.00	USD	-1,837.50	
29SEP17	S	2	PD29DEC17		3148.00	3223.00	USD	-3,750.00	
29SEP17	S	2	PD29DEC17		3162.50	3223.00	USD	-3,025.00	
29SEP17	S	2	PD29DEC17		3150.50	3223.00	USD	-3,625.00	
29SEP17	S	2	PD29DEC17		3151.00	3223.00	USD	-3,600.00	
29SEP17	S	1	PD29DEC17		3151.50	3223.00	USD	-1,787.50	
29SEP17	B	1	PD29DEC17		3159.50	3223.00	USD	1,587.50	
29SEP17	B	1	PD29DEC17		3160.00	3223.00	USD	1,575.00	
29SEP17	B	2	PD29DEC17		3158.50	3223.00	USD	3,225.00	
29SEP17	B	2	PD29DEC17		3150.00	3223.00	USD	3,650.00	
29SEP17	B	4	PD29DEC17		3147.50	3223.00	USD	7,550.00	
29SEP17	B	3	PD29DEC17		3148.50	3223.00	USD	5,587.50	
	NET	0 AVG	BGHT 3150.13	SOLD 3153.73				1,800.00	0.00
03OCT17	S	1	PD03JAN18		3223.00	3222.00	USD	25.00	
03OCT17	S	1	PD03JAN18		3225.00	3222.00	USD	75.00	
03OCT17	S	1	PD03JAN18		3229.00	3222.00	USD	175.00	
03OCT17	S	1	PD03JAN18		3235.50	3222.00	USD	337.50	
03OCT17	S	1	PD03JAN18		3237.00	3222.00	USD	375.00	
03OCT17	B	1	PD03JAN18		3255.00	3222.00	USD	-825.00	
03OCT17	B	1	PD03JAN18		3256.00	3222.00	USD	-850.00	
03OCT17	S	1	PD03JAN18		3240.50	3222.00	USD	462.50	
03OCT17	S	1	PD03JAN18		3253.50	3222.00	USD	787.50	
03OCT17	B	1	PD03JAN18		3260.00	3222.00	USD	-950.00	
03OCT17	B	1	PD03JAN18		3258.50	3222.00	USD	-912.50	
03OCT17	B	1	PD03JAN18		3257.00	3222.00	USD	-875.00	
03OCT17	B	1	PD03JAN18		3257.50	3222.00	USD	-887.50	
03OCT17	B	1	PD03JAN18		3275.00	3222.00	USD	-1,325.00	
03OCT17	B	1	PD03JAN18		3275.50	3222.00	USD	-1,337.50	
03OCT17	B	1	PD03JAN18		3276.00	3222.00	USD	-1,350.00	
03OCT17	S	1	PD03JAN18		3272.50	3222.00	USD	1,262.50	
03OCT17	S	1	PD03JAN18		3275.00	3222.00	USD	1,325.00	
	NET	0 AVG	BGHT 3263.39	SOLD 3243.44				-4,487.50	0.00
09OCT17	B	2	PD09JAN18		3244.00	3220.00	USD	-1,200.00	
09OCT17	S	1	PD09JAN18		3255.00	3220.00	USD	875.00	
09OCT17	B	1	PD09JAN18		3249.00	3220.00	USD	-725.00	
09OCT17	S	1	PD09JAN18		3250.50	3220.00	USD	762.50	
09OCT17	S	1	PD09JAN18		3234.00	3220.00	USD	350.00	
	NET	0 AVG	BGHT 3245.67	SOLD 3246.50				62.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-200.00
LME Lead 25 tonnes. This is an	FUTURES	21,628.25
LME Nickel 6 tonnes. This is	FUTURES	6,988.62
LME Zinc 25 tonnes. This is	FUTURES	291.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-200.00	-200.00		
LEAD	- Futures	USD	21,628.25	21,628.25		
NKL	- Futures	USD	6,988.62	6,988.62		
ZINC	- Futures	USD	291.25	291.25	28,708.12	28,708.12

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-19,136.94	-19,136.94
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-19,136.94	-19,136.94
Initial Margins	0.00	0.00
Excess/(Shortage)	-19,136.94	-19,136.94
FOR INFORMATION ONLY		
Forward Unrealised P&L	28,708.12	28,708.12
Net Liquidating Value	9,571.18	9,571.18

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME EURODOLLAR 2,500 CME</u>					
03OCT17	S 25 OCT17 98.5000 Call	0.0150	0.0025	USD	-156.25	781.25
05OCT17	S 50 OCT17 98.5000 Call	0.0100	0.0025	USD	-312.50	937.50
	NET -75 AVG SOLD 0.0117				-468.75	1,718.75
18SEP17	S 2 OCT17 98.5000 Put	0.0125	0.0150	USD	-75.00	-12.50
19SEP17	S 1 OCT17 98.5000 Put	0.0125	0.0150	USD	-37.50	-6.25
21SEP17	S 50 OCT17 98.5000 Put	0.0150	0.0150	USD	-1,875.00	0.00
02OCT17	S 25 OCT17 98.5000 Put	0.0100	0.0150	USD	-937.50	-312.50
	NET -78 AVG SOLD 0.0133				-2,925.00	-331.25
10OCT17	S 75 DEC17	98.4850	98.4850	USD	0.00	
	NET -75 AVG SOLD 98.4850				0.00	0.00
27SEP17	S 20 DEC19	97.9700	97.9100	USD	3,000.00	
	NET -20 AVG SOLD 97.9700				3,000.00	0.00
27SEP17	B 20 DEC20	97.8300	97.7800	USD	-2,500.00	
	NET +20 AVG BGHT 97.8300				-2,500.00	0.00
	<u>CME EURO FX 125,000 CME</u>					
11OCT17	S 2 DEC17	1.18970	1.18970	USD	0.00	
	NET -2 AVG SOLD 1.18970				0.00	0.00
	<u>CME E-MINI EURO FX 62,500 CME</u>					
10OCT17	S 1 DEC17	1.18530	1.18970	USD	-275.00	
	NET -1 AVG SOLD 1.18530				-275.00	0.00
	<u>CME E-MINI S&P 500 50 CME</u>					
12SEP17	S 2 DEC17	2492.50	2553.00	USD	-6,050.00	
12SEP17	S 2 DEC17	2492.00	2553.00	USD	-6,100.00	
15SEP17	S 1 DEC17	2491.50	2553.00	USD	-3,075.00	
20SEP17	S 1 DEC17	2503.75	2553.00	USD	-2,462.50	
22SEP17	S 1 DEC17	2496.75	2553.00	USD	-2,812.50	
25SEP17	S 2 DEC17	2488.25	2553.00	USD	-6,475.00	
27SEP17	S 2 DEC17	2497.75	2553.00	USD	-5,525.00	
29SEP17	S 2 DEC17	2510.00	2553.00	USD	-4,300.00	
29SEP17	S 6 DEC17	2512.00	2553.00	USD	-12,300.00	
10OCT17	S 1 DEC17	2546.50	2553.00	USD	-325.00	
10OCT17	S 1 DEC17	2543.25	2553.00	USD	-487.50	
11OCT17	S 2 DEC17	2546.50	2553.00	USD	-650.00	
	NET -23 AVG SOLD 2509.03				-50,562.50	0.00

OPEN POSITION VALUE

		USD
CME Eurodollar	FUTURES	18,472,937.50
CME Eurodollar	OPTIONS	4,781.25
CME Euro FX	FUTURES	297,425.00
CME E-Mini Euro FX	FUTURES	74,081.25
CME E-Mini S&P 500	FUTURES	2,885,387.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURO - Futures USD	500.00	500.00		
EUSD - Futures USD	0.00	0.00		
MEUR - Futures USD	-275.00	-275.00		
MSNP - Futures USD	-50,562.50	-50,562.50	-50,337.50	-50,337.50
Net Premium Options Market Value				
EURO - Options USD	-3,393.75	1,387.50	-3,393.75	1,387.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-93,939.30	-93,939.30
Variation Margins - Futures	-50,337.50	-50,337.50
- Options	0.00	0.00
TOTAL EQUITY	-144,276.80	-144,276.80
Initial Margins	-133,930.75	-133,930.75
Excess/(Shortage)	-278,207.55	-278,207.55
FOR INFORMATION ONLY		
Net Premium Option Market Value	-3,393.75	-3,393.75
Net Liquidating Value	-147,670.55	-147,670.55

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CNX NIFTY (INDIA) INDEX 2 SIM</u>					
02OCT17	B 8 OCT17	9831.00	9988.50	USD	2,520.00	
02OCT17	B 10 OCT17	9831.50	9988.50	USD	3,140.00	
04OCT17	B 10 OCT17	9881.00	9988.50	USD	2,150.00	
04OCT17	B 10 OCT17	9884.00	9988.50	USD	2,090.00	
04OCT17	B 2 OCT17	9881.50	9988.50	USD	428.00	
04OCT17	B 5 OCT17	9883.00	9988.50	USD	1,055.00	
04OCT17	B 13 OCT17	9885.00	9988.50	USD	2,691.00	
	NET +58 AVG BGHT 9867.17				14,074.00	0.00
	<u>CME EURODOLLAR 2,500 CME</u>					
28FEB17	B 20 DEC19	97.7350	97.9100	USD	8,750.00	
28FEB17	B 20 DEC19	97.7500	97.9100	USD	8,000.00	
	NET +40 AVG BGHT 97.7425				16,750.00	0.00
28FEB17	S 20 DEC20	97.5400	97.7800	USD	-12,000.00	
28FEB17	S 20 DEC20	97.5600	97.7800	USD	-11,000.00	
	NET -40 AVG SOLD 97.5500				-23,000.00	0.00
	<u>IEU GAS OIL 100 IPE</u>					
11OCT17	S 1 NOV17	529.500	524.000	USD	550.00	
11OCT17	S 1 NOV17	529.250	524.000	USD	525.00	
	NET -2 AVG SOLD 529.375				1,075.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
11OCT17	B 2 DEC17	56.83	56.94	USD	220.00	
11OCT17	B 2 DEC17	56.80	56.94	USD	280.00	
	NET +4 AVG BGHT 56.82				500.00	0.00
	<u>CBT 30 YEAR US TREASURY BONDS 1,000 CBT</u>					
11SEP17	B 1 DEC17	156.0800	152.1800	USD	-3,687.50	
	NET +1 AVG BGHT 156.0800				-3,687.50	0.00
	<u>ICE US DOLLAR INDEX 1,000 FIN</u>					
05OCT17	B 2 DEC17	93.790	92.825	USD	-1,930.00	
	NET +2 AVG BGHT 93.790				-1,930.00	0.00

OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	-1,144,592.00
CME Eurodollar	FUTURES	-19,250.00
IEU Gas Oil	FUTURES	105,875.00
IEU Brent Crude	FUTURES	-227,260.00
CBT 30 Year Us Treasury Bonds	FUTURES	-156,250.00
ICE US Dollar Index	FUTURES	-187,580.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,189,372.60	-1,189,372.60
Variation Margins	- Futures	3,781.50	3,781.50
	- Options	0.00	0.00
TOTAL EQUITY		-1,185,591.10	-1,185,591.10
Initial Margins		-73,714.00	-73,714.00
Excess/(Shortage)		-1,259,305.10	-1,259,305.10
FOR INFORMATION ONLY			
Net Liquidating Value		-1,185,591.10	-1,185,591.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-510.00	-510.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-510.00	-510.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-510.00	-510.00
FOR INFORMATION ONLY			
Net Liquidating Value		-510.00	-510.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0034**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	15,838.21	-14,950.85	-397,318
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	15,838.21	-14,950.85	-397,318
Initial Margins	0.00	0.00	0
Excess/(Shortage)	15,838.21	-14,950.85	-397,318
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	15,838.21	-14,950.85	-397,318
	USD	NET USD	
	(1.00000)	(1.00000)	
CASH BALANCE	604,637.59	600,138.74	
Variation Margins	- Futures -263,629.35	-263,629.35	
	- Options 0.00	0.00	
TOTAL EQUITY	341,008.24	336,509.39	
Initial Margins	-781,942.71	-781,942.71	
Excess/(Shortage)	-440,934.47	-445,433.32	
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	7,159.95	7,159.95	
Net Premium Option Market Value	-10,565.66	-10,565.66	
Net Liquidating Value	337,602.53	333,103.68	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	239,759.87	239,759.87
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	239,759.87	239,759.87
Initial Margins	0.00	0.00
Excess/(Shortage)	239,759.87	239,759.87
FOR INFORMATION ONLY		
Net Liquidating Value	239,759.87	239,759.87

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(112.27000)	(1.00000)	(1.00000)
CASH BALANCE	11,462,835	-96,212.60	5,888.01
Variation Margins			
- Futures	0	0.00	0.00
- Options	0	0.00	0.00
TOTAL EQUITY	11,462,835	-96,212.60	5,888.01
Initial Margins	0	0.00	0.00
Excess/(Shortage)	11,462,835	-96,212.60	5,888.01
FOR INFORMATION ONLY			
Net Liquidating Value	11,462,835	-96,212.60	5,888.01

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038K

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,044.12	-1,044.12
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,044.12	-1,044.12
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,044.12	-1,044.12
FOR INFORMATION ONLY		
Net Liquidating Value	-1,044.12	-1,044.12

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>							
25SEP17	B	2	DEC18	51.19	51.65	USD	920.00	
25SEP17	B	1	DEC18	51.26	51.65	USD	390.00	
25SEP17	B	1	DEC18	51.27	51.65	USD	380.00	
25SEP17	B	2	DEC18	51.29	51.65	USD	720.00	
06OCT17	B	1	DEC18	50.31	51.65	USD	1,340.00	
06OCT17	B	3	DEC18	50.29	51.65	USD	4,080.00	
06OCT17	B	2	DEC18	50.21	51.65	USD	2,880.00	
06OCT17	B	2	DEC18	50.18	51.65	USD	2,940.00	
	NET	+14 AVG BGHT 50.68					13,650.00	0.00
28SEP17	B	1	JUN19	51.62	51.13	USD	-490.00	
28SEP17	B	1	JUN19	51.18	51.13	USD	-50.00	
28SEP17	B	2	JUN19	51.15	51.13	USD	-40.00	
28SEP17	B	1	JUN19	51.12	51.13	USD	10.00	
28SEP17	B	2	JUN19	51.05	51.13	USD	160.00	
28SEP17	B	2	JUN19	50.97	51.13	USD	320.00	
28SEP17	B	2	JUN19	51.00	51.13	USD	260.00	
02OCT17	B	2	JUN19	50.23	51.13	USD	1,800.00	
02OCT17	B	2	JUN19	50.24	51.13	USD	1,780.00	
02OCT17	B	1	JUN19	50.20	51.13	USD	930.00	
	NET	+16 AVG BGHT 50.84					4,680.00	0.00
22SEP17	S	1	DEC19	50.83	50.76	USD	70.00	
22SEP17	S	2	DEC19	51.06	50.76	USD	600.00	
22SEP17	S	1	DEC19	51.01	50.76	USD	250.00	
25SEP17	S	1	DEC19	50.75	50.76	USD	-10.00	
25SEP17	S	1	DEC19	50.74	50.76	USD	-20.00	
25SEP17	S	2	DEC19	50.85	50.76	USD	180.00	
25SEP17	S	2	DEC19	50.88	50.76	USD	240.00	
27SEP17	S	2	DEC19	51.04	50.76	USD	560.00	
27SEP17	S	1	DEC19	50.97	50.76	USD	210.00	
27SEP17	S	1	DEC19	51.00	50.76	USD	240.00	
28SEP17	S	1	DEC19	50.76	50.76	USD	0.00	
28SEP17	S	1	DEC19	50.74	50.76	USD	-20.00	
28SEP17	S	2	DEC19	50.67	50.76	USD	-180.00	
02OCT17	S	2	DEC19	50.04	50.76	USD	-1,440.00	
02OCT17	S	2	DEC19	50.08	50.76	USD	-1,360.00	
02OCT17	S	1	DEC19	50.06	50.76	USD	-700.00	
04OCT17	S	1	DEC19	50.01	50.76	USD	-750.00	
04OCT17	S	2	DEC19	50.02	50.76	USD	-1,480.00	
06OCT17	S	1	DEC19	49.72	50.76	USD	-1,040.00	
06OCT17	S	1	DEC19	49.68	50.76	USD	-1,080.00	
06OCT17	S	2	DEC19	49.73	50.76	USD	-2,060.00	
09OCT17	S	1	DEC19	49.97	50.76	USD	-790.00	
	NET	-31 AVG SOLD 50.48					-8,580.00	0.00
04OCT17	B	1	DEC20	49.94	50.41	USD	470.00	
09OCT17	B	1	DEC20	49.81	50.41	USD	600.00	
	NET	+2 AVG BGHT 49.88					1,070.00	0.00
06SEP17	B	6	DEC21	52.36	50.37	USD	-11,940.00	
06SEP17	B	5	DEC21	52.35	50.37	USD	-9,900.00	
06SEP17	B	2	DEC21	52.52	50.37	USD	-4,300.00	
08SEP17	B	1	DEC21	51.72	50.37	USD	-1,350.00	
08SEP17	B	2	DEC21	51.70	50.37	USD	-2,660.00	
08SEP17	B	2	DEC21	51.67	50.37	USD	-2,600.00	
08SEP17	B	3	DEC21	51.74	50.37	USD	-4,110.00	
14SEP17	B	2	DEC21	51.89	50.37	USD	-3,040.00	
14SEP17	B	1	DEC21	51.88	50.37	USD	-1,510.00	
14SEP17	B	1	DEC21	51.81	50.37	USD	-1,440.00	
14SEP17	B	1	DEC21	51.80	50.37	USD	-1,430.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>							
14SEP17	B	3	DEC21	51.79	50.37	USD	-4,260.00	
14SEP17	B	2	DEC21	51.82	50.37	USD	-2,900.00	
	NET	+31 AVG BGHT 52.03					-51,440.00	0.00
01SEP17	S	1	DEC22	53.62	50.66	USD	2,960.00	
06SEP17	S	2	DEC22	53.69	50.66	USD	6,060.00	
06SEP17	S	5	DEC22	53.65	50.66	USD	14,950.00	
06SEP17	S	1	DEC22	53.47	50.66	USD	2,810.00	
06SEP17	S	5	DEC22	53.49	50.66	USD	14,150.00	
06SEP17	S	2	DEC22	53.50	50.66	USD	5,680.00	
06SEP17	S	2	DEC22	53.51	50.66	USD	5,700.00	
06SEP17	S	2	DEC22	53.46	50.66	USD	5,600.00	
06SEP17	S	2	DEC22	53.62	50.66	USD	5,920.00	
14SEP17	S	2	DEC22	52.62	50.66	USD	3,920.00	
14SEP17	S	1	DEC22	52.61	50.66	USD	1,950.00	
14SEP17	S	1	DEC22	52.54	50.66	USD	1,880.00	
14SEP17	S	2	DEC22	52.52	50.66	USD	3,720.00	
14SEP17	S	2	DEC22	52.53	50.66	USD	3,740.00	
14SEP17	S	2	DEC22	52.55	50.66	USD	3,780.00	
	NET	-32 AVG SOLD 53.25					82,820.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>							
25SEP17	S	2	DEC18	55.17	55.34	USD	-340.00	
25SEP17	S	1	DEC18	55.28	55.34	USD	-60.00	
25SEP17	S	1	DEC18	55.29	55.34	USD	-50.00	
25SEP17	S	2	DEC18	55.27	55.34	USD	-140.00	
06OCT17	S	4	DEC18	54.24	55.34	USD	-4,400.00	
06OCT17	S	2	DEC18	54.16	55.34	USD	-2,360.00	
06OCT17	S	2	DEC18	54.15	55.34	USD	-2,380.00	
	NET	-14 AVG SOLD 54.65					-9,730.00	0.00
28SEP17	S	1	JUN19	55.83	54.90	USD	930.00	
28SEP17	S	1	JUN19	55.37	54.90	USD	470.00	
28SEP17	S	1	JUN19	55.36	54.90	USD	460.00	
28SEP17	S	1	JUN19	55.38	54.90	USD	480.00	
28SEP17	S	1	JUN19	55.35	54.90	USD	450.00	
28SEP17	S	2	JUN19	55.25	54.90	USD	700.00	
28SEP17	S	2	JUN19	55.15	54.90	USD	500.00	
28SEP17	S	2	JUN19	55.22	54.90	USD	640.00	
02OCT17	S	2	JUN19	54.40	54.90	USD	-1,000.00	
02OCT17	S	3	JUN19	54.37	54.90	USD	-1,590.00	
	NET	-16 AVG SOLD 55.03					2,040.00	0.00
22SEP17	B	1	DEC19	54.84	54.65	USD	-190.00	
22SEP17	B	2	DEC19	54.96	54.65	USD	-620.00	
22SEP17	B	1	DEC19	54.93	54.65	USD	-280.00	
25SEP17	B	1	DEC19	54.69	54.65	USD	-40.00	
25SEP17	B	1	DEC19	54.68	54.65	USD	-30.00	
25SEP17	B	2	DEC19	54.89	54.65	USD	-480.00	
25SEP17	B	2	DEC19	54.87	54.65	USD	-440.00	
27SEP17	B	2	DEC19	55.17	54.65	USD	-1,040.00	
27SEP17	B	1	DEC19	55.11	54.65	USD	-460.00	
27SEP17	B	1	DEC19	55.14	54.65	USD	-490.00	
28SEP17	B	1	DEC19	55.01	54.65	USD	-360.00	
28SEP17	B	1	DEC19	54.99	54.65	USD	-340.00	
28SEP17	B	2	DEC19	54.89	54.65	USD	-480.00	
02OCT17	B	2	DEC19	54.19	54.65	USD	920.00	
02OCT17	B	2	DEC19	54.23	54.65	USD	840.00	
02OCT17	B	1	DEC19	54.22	54.65	USD	430.00	
04OCT17	B	1	DEC19	54.20	54.65	USD	450.00	
04OCT17	B	2	DEC19	54.21	54.65	USD	880.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
06OCT17	B 1 DEC19	53.82	54.65	USD	830.00	
06OCT17	B 1 DEC19	53.80	54.65	USD	850.00	
06OCT17	B 1 DEC19	53.85	54.65	USD	800.00	
06OCT17	B 1 DEC19	53.83	54.65	USD	820.00	
09OCT17	B 1 DEC19	53.99	54.65	USD	660.00	
	NET +31 AVG BGHT 54.58				2,230.00	0.00
04OCT17	S 1 DEC20	54.58	54.70	USD	-120.00	
09OCT17	S 1 DEC20	54.27	54.70	USD	-430.00	
	NET -2 AVG SOLD 54.43				-550.00	0.00
06SEP17	S 6 DEC21	56.35	55.07	USD	7,680.00	
06SEP17	S 5 DEC21	56.34	55.07	USD	6,350.00	
06SEP17	S 2 DEC21	56.51	55.07	USD	2,880.00	
08SEP17	S 1 DEC21	55.69	55.07	USD	620.00	
08SEP17	S 1 DEC21	55.67	55.07	USD	600.00	
08SEP17	S 2 DEC21	55.65	55.07	USD	1,160.00	
08SEP17	S 2 DEC21	55.62	55.07	USD	1,100.00	
08SEP17	S 2 DEC21	55.64	55.07	USD	1,140.00	
14SEP17	S 2 DEC21	56.13	55.07	USD	2,120.00	
14SEP17	S 1 DEC21	56.12	55.07	USD	1,050.00	
14SEP17	S 1 DEC21	56.05	55.07	USD	980.00	
14SEP17	S 2 DEC21	56.03	55.07	USD	1,920.00	
14SEP17	S 2 DEC21	56.04	55.07	USD	1,940.00	
14SEP17	S 2 DEC21	56.06	55.07	USD	1,980.00	
	NET -31 AVG SOLD 56.09				31,520.00	0.00
01SEP17	B 1 DEC22	57.62	55.63	USD	-1,990.00	
06SEP17	B 2 DEC22	57.69	55.63	USD	-4,120.00	
06SEP17	B 5 DEC22	57.65	55.63	USD	-10,100.00	
06SEP17	B 1 DEC22	57.47	55.63	USD	-1,840.00	
06SEP17	B 5 DEC22	57.49	55.63	USD	-9,300.00	
06SEP17	B 2 DEC22	57.50	55.63	USD	-3,740.00	
06SEP17	B 2 DEC22	57.51	55.63	USD	-3,760.00	
06SEP17	B 2 DEC22	57.46	55.63	USD	-3,660.00	
06SEP17	B 2 DEC22	57.62	55.63	USD	-3,980.00	
14SEP17	B 2 DEC22	56.87	55.63	USD	-2,480.00	
14SEP17	B 1 DEC22	56.86	55.63	USD	-1,230.00	
14SEP17	B 1 DEC22	56.79	55.63	USD	-1,160.00	
14SEP17	B 2 DEC22	56.77	55.63	USD	-2,280.00	
14SEP17	B 2 DEC22	56.78	55.63	USD	-2,300.00	
14SEP17	B 2 DEC22	56.80	55.63	USD	-2,340.00	
	NET +32 AVG BGHT 57.33				-54,280.00	0.00

OPEN POSITION VALUE

		USD
IEU WTI Crude Oil Futures	FUTURES	33,410.00
IEU Brent Crude	FUTURES	-33,350.00

OPEN POSITION STATEMENT



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SAP00380

11th October 2017

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CLF - Futures USD	42,200.00	42,200.00		
LCO - Futures USD	-28,770.00	-28,770.00	13,430.00	13,430.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	68,098.93	68,098.93
Variation Margins - Futures	13,430.00	13,430.00
- Options	0.00	0.00
TOTAL EQUITY	81,528.93	81,528.93
Initial Margins	-29,612.00	-29,612.00
Excess/(Shortage)	51,916.93	51,916.93
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	81,528.93	81,528.93

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0038**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	JPY	USD	NET USD
	(112.27000)	(1.000000)	(1.000000)
CASH BALANCE	11,462,835	210,602.08	312,702.69
Variation Margins			
- Futures	0	13,430.00	13,430.00
- Options	0	0.00	0.00
TOTAL EQUITY	11,462,835	224,032.08	326,132.69
Initial Margins	0	-29,612.00	-29,612.00
Excess/(Shortage)	11,462,835	194,420.08	296,520.69
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	11,462,835	224,032.08	326,132.69

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	496,500.86	496,500.86
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	496,500.86	496,500.86
Initial Margins	0.00	0.00
Excess/(Shortage)	496,500.86	496,500.86
FOR INFORMATION ONLY		
Net Liquidating Value	496,500.86	496,500.86

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
09AUG17	B	1	PD09NOV17	2036.50	2113.46	USD	1,924.00	
11SEP17	S	1	PD09NOV17	2114.79	2113.46	USD	33.25	
	NET	0 AVG	BGHT 2036.50				1,957.25	0.00
11AUG17	S	1	PD10NOV17	2024.00	2113.93	USD	-2,248.25	
11SEP17	B	1	PD10NOV17	2115.27	2113.93	USD	-33.50	
	NET	0 AVG	BGHT 2115.27				-2,281.75	0.00
15AUG17	B	1	PD15NOV17	2040.50	2116.25	USD	1,893.75	
15AUG17	S	1	PD15NOV17	2053.50	2116.25	USD	-1,568.75	
15AUG17	B	1	PD15NOV17	2042.50	2116.25	USD	1,843.75	
15AUG17	S	1	PD15NOV17	2047.50	2116.25	USD	-1,718.75	
	NET	0 AVG	BGHT 2041.50				450.00	0.00
16AUG17	B	1	PD16NOV17	2062.50	2116.56	USD	1,351.50	
16AUG17	B	2	PD16NOV17	2059.50	2116.56	USD	2,853.00	
16AUG17	B	1	PD16NOV17	2064.00	2116.56	USD	1,314.00	
16AUG17	B	1	PD16NOV17	2074.50	2116.56	USD	1,051.50	
16AUG17	S	1	PD16NOV17	2069.00	2116.56	USD	-1,189.00	
16AUG17	B	1	PD16NOV17	2066.00	2116.56	USD	1,264.00	
16AUG17	B	1	PD16NOV17	2067.50	2116.56	USD	1,226.50	
16AUG17	B	1	PD16NOV17	2088.50	2116.56	USD	701.50	
16AUG17	B	1	PD16NOV17	2089.00	2116.56	USD	689.00	
11SEP17	S	8	PD16NOV17	2115.88	2116.56	USD	-136.00	
	NET	0 AVG	BGHT 2070.11				9,126.00	0.00
17AUG17	S	1	PD17NOV17	2073.50	2116.87	USD	-1,084.25	
17AUG17	B	1	PD17NOV17	2072.50	2116.87	USD	1,109.25	
17AUG17	S	1	PD17NOV17	2078.50	2116.87	USD	-959.25	
17AUG17	B	1	PD17NOV17	2075.50	2116.87	USD	1,034.25	
17AUG17	S	1	PD17NOV17	2074.00	2116.87	USD	-1,071.75	
11SEP17	B	1	PD17NOV17	2115.45	2116.87	USD	35.50	
	NET	0 AVG	BGHT 2087.82				-936.25	0.00
05SEP17	S	4	PD05DEC17	2108.00	2122.43	USD	-1,443.00	
05SEP17	S	2	PD05DEC17	2108.50	2122.43	USD	-696.50	
05SEP17	S	1	PD05DEC17	2109.00	2122.43	USD	-335.75	
11SEP17	B	7	PD05DEC17	2120.51	2122.43	USD	336.00	
	NET	0 AVG	BGHT 2120.51				-2,139.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	4	PD12OCT17	2331.50	2552.35	USD	-22,085.00	
11SEP17	B	4	PD12OCT17	2255.69	2552.35	USD	29,666.00	
	NET	0 AVG	BGHT 2255.69				7,581.00	0.00
13JUL17	S	1	PD13OCT17	2290.00	2552.75	USD	-6,568.75	
11SEP17	B	1	PD13OCT17	2256.08	2552.75	USD	7,416.75	
	NET	0 AVG	BGHT 2256.08				848.00	0.00
17JUL17	S	3	PD17OCT17	2314.00	2554.35	USD	-18,026.25	
17JUL17	S	2	PD17OCT17	2324.00	2554.35	USD	-11,517.50	
11SEP17	B	3	PD17OCT17	2257.62	2554.35	USD	22,254.75	
12SEP17	B	3	PD17OCT17	2291.59	2554.35	USD	19,707.00	
27SEP17	S	1	PD17OCT17	2458.27	2554.35	USD	-2,402.00	
	NET	0 AVG	BGHT 2274.61				10,016.00	0.00
18JUL17	B	2	PD18OCT17	2265.00	2554.75	USD	14,487.50	
11SEP17	S	2	PD18OCT17	2257.80	2554.75	USD	-14,847.50	
	NET	0 AVG	BGHT 2265.00				-360.00	0.00
19JUL17	B	4	PD19OCT17	2245.00	2554.89	USD	30,989.00	
19JUL17	B	4	PD19OCT17	2216.00	2554.89	USD	33,889.00	
11SEP17	S	8	PD19OCT17	2257.68	2554.89	USD	-59,442.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2230.50	SOLD 2257.68				5,436.00	0.00
20JUL17	S	2 PD20OCT17		2228.00	2555.04	USD	-16,352.00	
20JUL17	S	2 PD20OCT17		2230.00	2555.04	USD	-16,252.00	
12SEP17	B	4 PD20OCT17		2291.75	2555.04	USD	26,329.00	
	NET	0 AVG BGHT 2291.75	SOLD 2229.00				-6,275.00	0.00
25JUL17	B	1 PD25OCT17		2290.00	2555.75	USD	6,643.75	
25JUL17	S	2 PD25OCT17		2275.00	2555.75	USD	-14,037.50	
25JUL17	B	1 PD25OCT17		2291.00	2555.75	USD	6,618.75	
	NET	0 AVG BGHT 2290.50	SOLD 2275.00				-775.00	0.00
31JUL17	S	4 PD31OCT17		2338.00	2556.61	USD	-21,861.00	
31JUL17	S	2 PD31OCT17		2327.00	2556.61	USD	-11,480.50	
12SEP17	B	6 PD31OCT17		2296.72	2556.61	USD	38,983.50	
	NET	0 AVG BGHT 2296.72	SOLD 2334.33				5,642.00	0.00
02AUG17	S	2 PD02NOV17		2339.00	2556.89	USD	-10,894.50	
02AUG17	S	2 PD02NOV17		2336.00	2556.89	USD	-11,044.50	
12SEP17	B	2 PD02NOV17		2297.63	2556.89	USD	12,963.00	
27SEP17	B	1 PD02NOV17		2460.04	2556.89	USD	2,421.25	
	NET	-1 AVG BGHT 2351.77	SOLD 2337.50				-6,554.75	0.00
08AUG17	B	1 PD08NOV17		2391.00	2557.75	USD	4,168.75	
11SEP17	S	1 PD08NOV17		2265.77	2557.75	USD	-7,299.50	
	NET	0 AVG BGHT 2391.00	SOLD 2265.77				-3,130.75	0.00
09AUG17	B	1 PD09NOV17		2413.00	2557.89	USD	3,622.25	
09AUG17	B	1 PD09NOV17		2353.00	2557.89	USD	5,122.25	
09AUG17	S	1 PD09NOV17		2352.50	2557.89	USD	-5,134.75	
11SEP17	S	1 PD09NOV17		2266.20	2557.89	USD	-7,292.25	
	NET	0 AVG BGHT 2383.00	SOLD 2309.35				-3,682.50	0.00
14AUG17	B	1 PD14NOV17		2341.00	2558.61	USD	5,440.25	
11SEP17	S	1 PD14NOV17		2268.37	2558.61	USD	-7,256.00	
	NET	0 AVG BGHT 2341.00	SOLD 2268.37				-1,815.75	0.00
15AUG17	S	2 PD15NOV17		2386.00	2558.75	USD	-8,637.50	
15AUG17	B	1 PD15NOV17		2375.00	2558.75	USD	4,593.75	
15AUG17	S	1 PD15NOV17		2366.00	2558.75	USD	-4,818.75	
15AUG17	B	1 PD15NOV17		2362.00	2558.75	USD	4,918.75	
15AUG17	B	1 PD15NOV17		2363.50	2558.75	USD	4,881.25	
15AUG17	S	1 PD15NOV17		2373.00	2558.75	USD	-4,643.75	
15AUG17	B	1 PD15NOV17		2370.00	2558.75	USD	4,718.75	
15AUG17	B	1 PD15NOV17		2371.50	2558.75	USD	4,681.25	
15AUG17	S	1 PD15NOV17		2375.50	2558.75	USD	-4,581.25	
15AUG17	S	1 PD15NOV17		2376.00	2558.75	USD	-4,568.75	
15AUG17	S	1 PD15NOV17		2378.00	2558.75	USD	-4,518.75	
15AUG17	B	1 PD15NOV17		2378.50	2558.75	USD	4,506.25	
	NET	-1 AVG BGHT 2370.08	SOLD 2377.21				-3,468.75	0.00
16AUG17	B	1 PD16NOV17		2393.00	2558.75	USD	4,143.75	
16AUG17	B	1 PD16NOV17		2394.50	2558.75	USD	4,106.25	
16AUG17	S	1 PD16NOV17		2393.50	2558.75	USD	-4,131.25	
16AUG17	S	1 PD16NOV17		2394.00	2558.75	USD	-4,118.75	
	NET	0 AVG BGHT 2393.75	SOLD 2393.75				0.00	0.00
17AUG17	B	1 PD17NOV17		2414.00	2558.75	USD	3,618.75	
11SEP17	S	1 PD17NOV17		2269.12	2558.75	USD	-7,240.75	
	NET	0 AVG BGHT 2414.00	SOLD 2269.12				-3,622.00	0.00
29AUG17	S	2 PD29NOV17		2346.00	2560.20	USD	-10,710.00	
29AUG17	S	2 PD29NOV17		2353.00	2560.20	USD	-10,360.00	
29AUG17	S	1 PD29NOV17		2403.50	2560.20	USD	-3,917.50	
29AUG17	B	1 PD29NOV17		2397.00	2560.20	USD	4,080.00	

OPEN POSITION STATEMENT



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SAP0045B

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -4 AVG BGHT 2397.00 SOLD 2360.30				-20,907.50	0.00
30AUG17	S 2 PD30NOV17	2378.00	2560.30	USD	-9,115.00	
30AUG17	S 1 PD30NOV17	2395.00	2560.30	USD	-4,132.50	
	NET -3 AVG SOLD 2383.67				-13,247.50	0.00
05SEP17	S 2 PD05DEC17	2343.50	2560.75	USD	-10,862.50	
05SEP17	S 1 PD05DEC17	2345.00	2560.75	USD	-5,393.75	
	NET -3 AVG SOLD 2344.00				-16,256.25	0.00
06SEP17	B 2 PD06DEC17	2359.00	2561.00	USD	10,100.00	
12SEP17	S 3 PD06DEC17	2309.45	2561.00	USD	-18,866.25	
	NET -1 AVG BGHT 2359.00 SOLD 2309.45				-8,766.25	0.00
07SEP17	B 2 PD07DEC17	2319.50	2561.25	USD	12,087.50	
07SEP17	B 2 PD07DEC17	2320.50	2561.25	USD	12,037.50	
12SEP17	S 4 PD07DEC17	2309.76	2561.25	USD	-25,149.00	
	NET 0 AVG BGHT 2320.00 SOLD 2309.76				-1,024.00	0.00
08SEP17	B 1 PD08DEC17	2315.00	2561.50	USD	6,162.50	
08SEP17	B 1 PD08DEC17	2305.50	2561.50	USD	6,400.00	
08SEP17	B 2 PD08DEC17	2261.50	2561.50	USD	15,000.00	
08SEP17	B 2 PD08DEC17	2266.50	2561.50	USD	14,750.00	
12SEP17	S 6 PD08DEC17	2310.07	2561.50	USD	-37,714.50	
	NET 0 AVG BGHT 2279.42 SOLD 2310.07				4,598.00	0.00
11SEP17	B 2 PD11DEC17	2284.00	2562.25	USD	13,912.50	
12SEP17	S 2 PD11DEC17	2310.99	2562.25	USD	-12,563.00	
	NET 0 AVG BGHT 2284.00 SOLD 2310.99				1,349.50	0.00
15SEP17	S 1 PD15DEC17	2359.50	2563.25	USD	-5,093.75	
15SEP17	S 1 PD15DEC17	2365.00	2563.25	USD	-4,956.25	
	NET -2 AVG SOLD 2362.25				-10,050.00	0.00
18SEP17	S 2 PD18DEC17	2392.50	2564.00	USD	-8,575.00	
18SEP17	S 2 PD18DEC17	2392.00	2564.00	USD	-8,600.00	
18SEP17	S 2 PD18DEC17	2393.00	2564.00	USD	-8,550.00	
	NET -6 AVG SOLD 2392.50				-25,725.00	0.00
21SEP17	S 2 PD21DEC17	2474.00	2563.50	USD	-4,475.00	
21SEP17	S 2 PD21DEC17	2466.00	2563.50	USD	-4,875.00	
21SEP17	S 2 PD21DEC17	2469.50	2563.50	USD	-4,700.00	
21SEP17	S 2 PD21DEC17	2471.00	2563.50	USD	-4,625.00	
21SEP17	S 2 PD21DEC17	2475.00	2563.50	USD	-4,425.00	
21SEP17	S 2 PD21DEC17	2470.50	2563.50	USD	-4,650.00	
	NET -12 AVG SOLD 2471.00				-27,750.00	0.00
27SEP17	S 2 PD27DEC17	2461.00	2563.50	USD	-5,125.00	
27SEP17	S 2 PD27DEC17	2459.50	2563.50	USD	-5,200.00	
	NET -4 AVG SOLD 2460.25				-10,325.00	0.00
06OCT17	B 2 PD05JAN18	2543.50	2564.40	USD	1,045.00	
06OCT17	B 3 PD05JAN18	2549.00	2564.40	USD	1,155.00	
06OCT17	B 1 PD05JAN18	2549.50	2564.40	USD	372.50	
06OCT17	B 4 PD05JAN18	2547.00	2564.40	USD	1,740.00	
	NET +10 AVG BGHT 2547.15				4,312.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	2830.50	3264.00	USD	10,837.50	
25JUL17	B 1 PD25OCT17	2831.50	3264.00	USD	10,812.50	
11SEP17	S 2 PD25OCT17	3078.95	3264.00	USD	-9,252.50	
	NET 0 AVG BGHT 2831.00 SOLD 3078.95				12,397.50	0.00
26JUL17	B 2 PD26OCT17	2829.00	3262.33	USD	21,666.50	
26JUL17	B 1 PD26OCT17	2823.00	3262.33	USD	10,983.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	2810.00	3262.33	USD	11,308.25	
26JUL17	B	1	PD26OCT17	2802.00	3262.33	USD	11,508.25	
26JUL17	B	1	PD26OCT17	2825.00	3262.33	USD	10,933.25	
26JUL17	B	1	PD26OCT17	2805.00	3262.33	USD	11,433.25	
11SEP17	S	7	PD26OCT17	3079.03	3262.33	USD	-32,077.50	
	NET	0 AVG	BGHT 2817.57				45,755.25	0.00
28JUL17	B	1	PD27OCT17	2786.50	3260.67	USD	11,854.25	
11SEP17	S	1	PD27OCT17	3079.11	3260.67	USD	-4,539.00	
	NET	0 AVG	BGHT 2786.50				7,315.25	0.00
08AUG17	S	1	PD08NOV17	2934.50	3249.56	USD	-7,876.50	
11SEP17	B	1	PD08NOV17	3080.27	3249.56	USD	4,232.25	
	NET	0 AVG	BGHT 3080.27				-3,644.25	0.00
09AUG17	S	1	PD09NOV17	2977.50	3248.44	USD	-6,773.50	
09AUG17	S	1	PD09NOV17	2973.00	3248.44	USD	-6,886.00	
09AUG17	S	1	PD09NOV17	2935.50	3248.44	USD	-7,823.50	
09AUG17	B	1	PD09NOV17	2932.00	3248.44	USD	7,911.00	
11SEP17	B	1	PD09NOV17	3080.35	3248.44	USD	4,202.25	
12SEP17	B	1	PD09NOV17	3057.05	3248.44	USD	4,784.75	
	NET	0 AVG	BGHT 3023.13				-4,585.00	0.00
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11SEP17	S	1	PD10NOV17	3080.23	3247.33	USD	-4,177.50	
	NET	0 AVG	BGHT 2909.50				4,268.25	0.00
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
11SEP17	B	1	PD14NOV17	3080.75	3245.11	USD	4,109.00	
	NET	0 AVG	BGHT 3080.75				-4,143.75	0.00
15AUG17	B	1	PD15NOV17	2956.00	3244.00	USD	7,200.00	
15AUG17	B	1	PD15NOV17	2957.00	3244.00	USD	7,175.00	
15AUG17	B	1	PD15NOV17	2960.50	3244.00	USD	7,087.50	
15AUG17	S	1	PD15NOV17	2953.50	3244.00	USD	-7,262.50	
15AUG17	S	1	PD15NOV17	2955.00	3244.00	USD	-7,225.00	
15AUG17	B	1	PD15NOV17	2937.00	3244.00	USD	7,675.00	
15AUG17	S	1	PD15NOV17	2940.00	3244.00	USD	-7,600.00	
15AUG17	S	1	PD15NOV17	2944.50	3244.00	USD	-7,487.50	
15AUG17	B	1	PD15NOV17	2949.00	3244.00	USD	7,375.00	
15AUG17	S	1	PD15NOV17	2951.00	3244.00	USD	-7,325.00	
15AUG17	S	1	PD15NOV17	2951.50	3244.00	USD	-7,312.50	
15AUG17	S	1	PD15NOV17	2956.50	3244.00	USD	-7,187.50	
15AUG17	B	2	PD15NOV17	2955.00	3244.00	USD	14,450.00	
15AUG17	B	2	PD15NOV17	2954.50	3244.00	USD	14,475.00	
15AUG17	S	1	PD15NOV17	2960.00	3244.00	USD	-7,100.00	
11SEP17	S	1	PD15NOV17	3080.30	3244.00	USD	-4,092.50	
	NET	0 AVG	BGHT 2953.17				2,845.00	0.00
16AUG17	B	1	PD16NOV17	2982.00	3241.50	USD	6,487.50	
16AUG17	B	1	PD16NOV17	2984.00	3241.50	USD	6,437.50	
16AUG17	S	1	PD16NOV17	2982.00	3241.50	USD	-6,487.50	
16AUG17	S	1	PD16NOV17	2986.00	3241.50	USD	-6,387.50	
16AUG17	S	1	PD16NOV17	2986.50	3241.50	USD	-6,375.00	
16AUG17	S	1	PD16NOV17	2988.50	3241.50	USD	-6,325.00	
16AUG17	S	1	PD16NOV17	3005.00	3241.50	USD	-5,912.50	
16AUG17	B	1	PD16NOV17	3011.50	3241.50	USD	5,750.00	
16AUG17	S	1	PD16NOV17	3015.50	3241.50	USD	-5,650.00	
16AUG17	S	1	PD16NOV17	3022.00	3241.50	USD	-5,487.50	
16AUG17	S	1	PD16NOV17	2982.50	3241.50	USD	-6,475.00	
16AUG17	S	1	PD16NOV17	3023.00	3241.50	USD	-5,462.50	
16AUG17	S	1	PD16NOV17	3095.50	3241.50	USD	-3,650.00	

OPEN POSITION STATEMENT



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SAP0045B

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	3096.00	3241.50	USD	-3,637.50	
11SEP17	B	8	PD16NOV17	3078.50	3241.50	USD	32,600.00	
	NET	0 AVG	BGHT 3055.05				-10,575.00	0.00
17AUG17	B	1	PD17NOV17	3072.50	3239.00	USD	4,162.50	
17AUG17	S	1	PD17NOV17	3058.00	3239.00	USD	-4,525.00	
	NET	0 AVG	BGHT 3072.50				-362.50	0.00
04SEP17	B	5	PD04DEC17	3203.00	3233.00	USD	3,750.00	
04SEP17	B	5	PD04DEC17	3202.00	3233.00	USD	3,875.00	
11SEP17	S	9	PD04DEC17	3081.54	3233.00	USD	-34,078.50	
12SEP17	S	1	PD04DEC17	3059.11	3233.00	USD	-4,347.25	
	NET	0 AVG	BGHT 3202.50				-30,800.75	0.00
14SEP17	B	15	PD14DEC17	3009.00	3230.33	USD	82,998.75	
14SEP17	B	5	PD14DEC17	3008.50	3230.33	USD	27,728.75	
27SEP17	S	20	PD14DEC17	3108.64	3230.33	USD	-60,845.00	
	NET	0 AVG	BGHT 3008.88				49,882.50	0.00
18SEP17	S	1	PD18DEC17	3095.00	3229.67	USD	-3,366.75	
18SEP17	S	1	PD18DEC17	3100.00	3229.67	USD	-3,241.75	
18SEP17	S	1	PD18DEC17	3105.00	3229.67	USD	-3,116.75	
18SEP17	S	1	PD18DEC17	3110.00	3229.67	USD	-2,991.75	
27SEP17	B	4	PD18DEC17	3109.08	3229.67	USD	12,059.00	
	NET	0 AVG	BGHT 3109.08				-658.00	0.00
19SEP17	S	2	PD19DEC17	3106.00	3229.33	USD	-6,166.50	
19SEP17	S	4	PD19DEC17	3107.00	3229.33	USD	-12,233.00	
27SEP17	B	6	PD19DEC17	3109.14	3229.33	USD	18,028.50	
	NET	0 AVG	BGHT 3109.14				-371.00	0.00
20SEP17	S	1	PD20DEC17	3122.00	3229.00	USD	-2,675.00	
20SEP17	S	1	PD20DEC17	3130.00	3229.00	USD	-2,475.00	
20SEP17	S	1	PD20DEC17	3140.00	3229.00	USD	-2,225.00	
20SEP17	S	1	PD20DEC17	3150.00	3229.00	USD	-1,975.00	
27SEP17	B	4	PD20DEC17	3109.20	3229.00	USD	11,980.00	
	NET	0 AVG	BGHT 3109.20				2,630.00	0.00
26SEP17	S	1	PD27DEC17	3120.00	3224.00	USD	-2,600.00	
26SEP17	S	5	PD27DEC17	3120.50	3224.00	USD	-12,937.50	
27SEP17	B	6	PD27DEC17	3105.20	3224.00	USD	17,820.00	
	NET	0 AVG	BGHT 3105.20				2,282.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	6,176.00
LME Lead 25 tonnes. This is an	FUTURES	1,605,124.25
LME Zinc 25 tonnes. This is	FUTURES	72,236.00

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	6,176.00	6,176.00		
LEAD	- Futures	USD	-123,953.00	-123,953.00		
ZINC	- Futures	USD	72,236.00	72,236.00	-45,541.00	-45,541.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-17,479.95	-17,479.95
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-17,479.95	-17,479.95
Initial Margins	-182,052.00	-182,052.00
Excess/(Shortage)	-199,531.95	-199,531.95
FOR INFORMATION ONLY		
Forward Unrealised P&L	-45,541.00	-45,541.00
Net Liquidating Value	-63,020.95	-63,020.95

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	1	PD120CT17	1895.50	2104.25	USD	5,218.75	
12JUL17	S	1	PD120CT17	1895.00	2104.25	USD	-5,231.25	
12JUL17	S	2	PD120CT17	1896.00	2104.25	USD	-10,412.50	
12JUL17	S	1	PD120CT17	1898.00	2104.25	USD	-5,156.25	
12JUL17	B	1	PD120CT17	1884.50	2104.25	USD	5,493.75	
12JUL17	S	1	PD120CT17	1887.00	2104.25	USD	-5,431.25	
12JUL17	B	1	PD120CT17	1888.00	2104.25	USD	5,406.25	
12JUL17	S	1	PD120CT17	1887.50	2104.25	USD	-5,418.75	
31JUL17	B	3	PD120CT17	1913.61	2104.25	USD	14,298.00	
	NET	0 AVG BGHT 1901.47	SOLD 1893.25				-1,233.25	0.00
13JUL17	S	1	PD130CT17	1932.50	2104.75	USD	-4,306.25	
13JUL17	S	2	PD130CT17	1933.50	2104.75	USD	-8,562.50	
13JUL17	S	2	PD130CT17	1932.00	2104.75	USD	-8,637.50	
13JUL17	S	2	PD130CT17	1931.00	2104.75	USD	-8,687.50	
13JUL17	S	1	PD130CT17	1933.00	2104.75	USD	-4,293.75	
13JUL17	B	2	PD130CT17	1923.50	2104.75	USD	9,062.50	
13JUL17	B	2	PD130CT17	1920.00	2104.75	USD	9,237.50	
14JUL17	B	3	PD130CT17	1929.00	2104.75	USD	13,181.25	
14JUL17	B	1	PD130CT17	1927.50	2104.75	USD	4,431.25	
	NET	0 AVG BGHT 1925.19	SOLD 1932.31				1,425.00	0.00
19JUL17	S	2	PD190CT17	1934.00	2107.75	USD	-8,687.50	
19JUL17	S	14	PD190CT17	1934.50	2107.75	USD	-60,637.50	
19JUL17	S	2	PD190CT17	1936.00	2107.75	USD	-8,587.50	
31JUL17	B	18	PD190CT17	1914.25	2107.75	USD	87,075.00	
	NET	0 AVG BGHT 1914.25	SOLD 1934.61				9,162.50	0.00
24JUL17	B	1	PD240CT17	1911.50	2110.25	USD	4,968.75	
24JUL17	B	1	PD240CT17	1912.50	2110.25	USD	4,943.75	
31JUL17	S	2	PD240CT17	1915.61	2110.25	USD	-9,732.00	
	NET	0 AVG BGHT 1912.00	SOLD 1915.61				180.50	0.00
25JUL17	B	2	PD250CT17	1917.00	2110.75	USD	9,687.50	
25JUL17	S	1	PD250CT17	1945.00	2110.75	USD	-4,143.75	
25JUL17	S	1	PD250CT17	1945.50	2110.75	USD	-4,131.25	
25JUL17	S	1	PD250CT17	1948.00	2110.75	USD	-4,068.75	
31JUL17	B	1	PD250CT17	1916.13	2110.75	USD	4,865.50	
	NET	0 AVG BGHT 1916.71	SOLD 1946.17				2,209.25	0.00
26JUL17	S	1	PD260CT17	1959.00	2111.25	USD	-3,806.25	
26JUL17	S	2	PD260CT17	1960.00	2111.25	USD	-7,562.50	
26JUL17	B	1	PD260CT17	1943.00	2111.25	USD	4,206.25	
26JUL17	B	1	PD260CT17	1944.00	2111.25	USD	4,181.25	
26JUL17	B	1	PD260CT17	1945.00	2111.25	USD	4,156.25	
26JUL17	B	1	PD260CT17	1939.00	2111.25	USD	4,306.25	
26JUL17	B	1	PD260CT17	1941.00	2111.25	USD	4,256.25	
26JUL17	B	1	PD260CT17	1941.50	2111.25	USD	4,243.75	
26JUL17	B	3	PD260CT17	1939.50	2111.25	USD	12,881.25	
26JUL17	B	3	PD260CT17	1938.50	2111.25	USD	12,956.25	
26JUL17	B	2	PD260CT17	1935.50	2111.25	USD	8,787.50	
26JUL17	B	1	PD260CT17	1936.00	2111.25	USD	4,381.25	
26JUL17	B	5	PD260CT17	1936.50	2111.25	USD	21,843.75	
26JUL17	S	2	PD260CT17	1959.50	2111.25	USD	-7,587.50	
26JUL17	S	1	PD260CT17	1961.00	2111.25	USD	-3,756.25	
26JUL17	S	1	PD260CT17	1961.50	2111.25	USD	-3,743.75	
26JUL17	B	1	PD260CT17	1944.50	2111.25	USD	4,168.75	
26JUL17	B	1	PD260CT17	1945.50	2111.25	USD	4,143.75	
26JUL17	B	2	PD260CT17	1943.50	2111.25	USD	8,387.50	
31JUL17	S	17	PD260CT17	1916.24	2111.25	USD	-82,879.25	
	NET	0 AVG BGHT 1939.75	SOLD 1929.02				-6,435.50	0.00

Group No: SAPG0045

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ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
27JUL17	S	3	PD27OCT17	1943.50	2111.00	USD	-12,562.50	
27JUL17	S	2	PD27OCT17	1944.00	2111.00	USD	-8,350.00	
28JUL17	B	1	PD27OCT17	1925.50	2111.00	USD	4,637.50	
28JUL17	B	1	PD27OCT17	1927.00	2111.00	USD	4,600.00	
28JUL17	B	2	PD27OCT17	1919.00	2111.00	USD	9,600.00	
28JUL17	B	2	PD27OCT17	1917.00	2111.00	USD	9,700.00	
28JUL17	B	2	PD27OCT17	1917.50	2111.00	USD	9,675.00	
28JUL17	B	1	PD27OCT17	1918.00	2111.00	USD	4,825.00	
28JUL17	B	2	PD27OCT17	1915.00	2111.00	USD	9,800.00	
28JUL17	B	2	PD27OCT17	1915.50	2111.00	USD	9,775.00	
08AUG17	S	8	PD27OCT17	2027.60	2111.00	USD	-16,680.00	
	NET	0 AVG	BGHT 1918.35	SOLD 1995.33			25,020.00	0.00
31JUL17	B	4	PD31OCT17	1917.00	2110.50	USD	19,350.00	
08AUG17	S	4	PD31OCT17	2028.40	2110.50	USD	-8,210.00	
	NET	0 AVG	BGHT 1917.00	SOLD 2028.40			11,140.00	0.00
01AUG17	B	2	PD01NOV17	1908.00	2110.81	USD	10,140.50	
01AUG17	B	1	PD01NOV17	1906.50	2110.81	USD	5,107.75	
01AUG17	B	2	PD01NOV17	1907.00	2110.81	USD	10,190.50	
08AUG17	S	5	PD01NOV17	2028.60	2110.81	USD	-10,276.25	
	NET	0 AVG	BGHT 1907.30	SOLD 2028.60			15,162.50	0.00
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	S	1	PD02NOV17	1925.50	2111.13	USD	-4,640.75	
08AUG17	B	2	PD02NOV17	2029.00	2111.13	USD	4,106.50	
	NET	0 AVG	BGHT 2029.00	SOLD 1924.25			-5,237.50	0.00
03AUG17	B	1	PD03NOV17	1916.00	2111.44	USD	4,886.00	
03AUG17	B	2	PD03NOV17	1916.50	2111.44	USD	9,747.00	
03AUG17	B	2	PD03NOV17	1917.00	2111.44	USD	9,722.00	
04AUG17	B	4	PD03NOV17	1906.00	2111.44	USD	20,544.00	
04AUG17	B	2	PD03NOV17	1906.50	2111.44	USD	10,247.00	
04AUG17	B	1	PD03NOV17	1907.00	2111.44	USD	5,111.00	
04AUG17	B	1	PD03NOV17	1908.00	2111.44	USD	5,086.00	
04AUG17	B	2	PD03NOV17	1909.00	2111.44	USD	10,122.00	
08AUG17	S	15	PD03NOV17	2029.00	2111.44	USD	-30,915.00	
	NET	0 AVG	BGHT 1910.20	SOLD 2029.00			44,550.00	0.00
07AUG17	S	1	PD07NOV17	1926.00	2112.69	USD	-4,667.25	
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	S	2	PD07NOV17	1929.00	2112.69	USD	-9,184.50	
07AUG17	S	2	PD07NOV17	1927.00	2112.69	USD	-9,284.50	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	S	1	PD07NOV17	1935.50	2112.69	USD	-4,429.75	
07AUG17	S	1	PD07NOV17	1936.50	2112.69	USD	-4,404.75	
07AUG17	S	1	PD07NOV17	1944.00	2112.69	USD	-4,217.25	
07AUG17	S	1	PD07NOV17	1944.50	2112.69	USD	-4,204.75	
07AUG17	S	2	PD07NOV17	1949.00	2112.69	USD	-8,184.50	
07AUG17	S	3	PD07NOV17	1946.00	2112.69	USD	-12,501.75	
07AUG17	S	1	PD07NOV17	1951.00	2112.69	USD	-4,042.25	
07AUG17	S	5	PD07NOV17	1951.50	2112.69	USD	-20,148.75	
07AUG17	S	7	PD07NOV17	1952.00	2112.69	USD	-28,120.75	
07AUG17	S	2	PD07NOV17	1958.00	2112.69	USD	-7,734.50	
07AUG17	S	1	PD07NOV17	1959.00	2112.69	USD	-3,842.25	
07AUG17	S	1	PD07NOV17	1978.00	2112.69	USD	-3,367.25	
07AUG17	S	5	PD07NOV17	1977.50	2112.69	USD	-16,898.75	
07AUG17	S	1	PD07NOV17	1976.00	2112.69	USD	-3,417.25	
07AUG17	S	2	PD07NOV17	1977.00	2112.69	USD	-6,784.50	
08AUG17	B	42	PD07NOV17	2030.00	2112.69	USD	86,824.50	
	NET	0 AVG	BGHT 2030.00	SOLD 1951.55			-82,375.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	2	PD08NOV17	1988.00	2113.00	USD	-6,250.00	
08AUG17	S	2	PD08NOV17	1989.00	2113.00	USD	-6,200.00	
08AUG17	S	3	PD08NOV17	1989.50	2113.00	USD	-9,262.50	
08AUG17	S	2	PD08NOV17	1992.50	2113.00	USD	-6,025.00	
08AUG17	S	2	PD08NOV17	1991.00	2113.00	USD	-6,100.00	
08AUG17	B	1	PD08NOV17	1997.00	2113.00	USD	2,900.00	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	S	1	PD08NOV17	2002.50	2113.00	USD	-2,762.50	
08AUG17	S	2	PD08NOV17	2003.00	2113.00	USD	-5,500.00	
08AUG17	S	3	PD08NOV17	1992.00	2113.00	USD	-9,075.00	
09AUG17	B	17	PD08NOV17	2027.52	2113.00	USD	36,329.00	
	NET	0 AVG BGHT 2025.82	SOLD 1992.83				-14,846.00	0.00
09AUG17	S	1	PD09NOV17	2032.00	2113.46	USD	-2,036.50	
09AUG17	S	1	PD09NOV17	2032.50	2113.46	USD	-2,024.00	
09AUG17	B	2	PD09NOV17	2027.70	2113.46	USD	4,288.00	
	NET	0 AVG BGHT 2027.70	SOLD 2032.25				227.50	0.00
16AUG17	S	1	PD16NOV17	2062.50	2116.56	USD	-1,351.50	
18AUG17	B	1	PD16NOV17	2061.75	2116.56	USD	1,370.25	
	NET	0 AVG BGHT 2061.75	SOLD 2062.50				18.75	0.00
17AUG17	B	1	PD17NOV17	2102.00	2116.87	USD	371.75	
17AUG17	B	5	PD17NOV17	2108.00	2116.87	USD	1,108.75	
17AUG17	B	1	PD17NOV17	2108.50	2116.87	USD	209.25	
17AUG17	B	2	PD17NOV17	2110.00	2116.87	USD	343.50	
17AUG17	B	1	PD17NOV17	2095.50	2116.87	USD	534.25	
17AUG17	B	1	PD17NOV17	2099.00	2116.87	USD	446.75	
17AUG17	B	1	PD17NOV17	2101.50	2116.87	USD	384.25	
17AUG17	B	4	PD17NOV17	2094.50	2116.87	USD	2,237.00	
17AUG17	B	1	PD17NOV17	2097.00	2116.87	USD	496.75	
17AUG17	B	1	PD17NOV17	2081.50	2116.87	USD	884.25	
17AUG17	B	1	PD17NOV17	2080.50	2116.87	USD	909.25	
17AUG17	B	3	PD17NOV17	2109.50	2116.87	USD	552.75	
18AUG17	B	1	PD17NOV17	2089.50	2116.87	USD	684.25	
18AUG17	S	23	PD17NOV17	2061.80	2116.87	USD	-31,665.25	
	NET	0 AVG BGHT 2100.93	SOLD 2061.80				-22,502.50	0.00
21AUG17	B	1	PD21NOV17	2073.50	2118.10	USD	1,115.00	
21AUG17	B	1	PD21NOV17	2074.00	2118.10	USD	1,102.50	
24AUG17	S	2	PD21NOV17	2105.52	2118.10	USD	-629.00	
	NET	0 AVG BGHT 2073.75	SOLD 2105.52				1,588.50	0.00
22AUG17	S	2	PD22NOV17	2081.00	2118.41	USD	-1,870.50	
22AUG17	B	2	PD22NOV17	2080.50	2118.41	USD	1,895.50	
22AUG17	S	2	PD22NOV17	2080.50	2118.41	USD	-1,895.50	
22AUG17	S	5	PD22NOV17	2072.35	2118.41	USD	-5,757.50	
24AUG17	B	7	PD22NOV17	2105.81	2118.41	USD	2,205.00	
	NET	0 AVG BGHT 2100.19	SOLD 2076.08				-5,423.00	0.00
23AUG17	B	2	PD24NOV17	2060.00	2119.03	USD	2,951.50	
23AUG17	B	2	PD24NOV17	2069.00	2119.03	USD	2,501.50	
23AUG17	B	2	PD24NOV17	2062.00	2119.03	USD	2,851.50	
23AUG17	B	2	PD24NOV17	2063.50	2119.03	USD	2,776.50	
23AUG17	B	1	PD24NOV17	2083.50	2119.03	USD	888.25	
23AUG17	S	1	PD24NOV17	2083.00	2119.03	USD	-900.75	
24AUG17	B	1	PD24NOV17	2091.50	2119.03	USD	688.25	
24AUG17	S	9	PD24NOV17	2105.80	2119.03	USD	-2,976.75	
	NET	0 AVG BGHT 2068.40	SOLD 2103.52				8,780.00	0.00
29AUG17	S	1	PD29NOV17	2099.00	2120.57	USD	-539.25	
29AUG17	S	2	PD29NOV17	2098.50	2120.57	USD	-1,103.50	

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29AUG17	S	2	PD29NOV17	2098.00	2120.57	USD	-1,128.50	
29AUG17	S	2	PD29NOV17	2101.00	2120.57	USD	-978.50	
29AUG17	S	2	PD29NOV17	2099.50	2120.57	USD	-1,053.50	
29AUG17	S	3	PD29NOV17	2100.50	2120.57	USD	-1,505.25	
29AUG17	S	3	PD29NOV17	2100.00	2120.57	USD	-1,542.75	
31AUG17	B	15	PD29NOV17	2116.99	2120.57	USD	1,342.50	
	NET	0 AVG	BGHT 2116.99	SOLD 2099.63			-6,508.75	0.00
31AUG17	S	2	PD30NOV17	2100.50	2120.88	USD	-1,019.00	
31AUG17	S	1	PD30NOV17	2098.00	2120.88	USD	-572.00	
31AUG17	S	3	PD30NOV17	2100.00	2120.88	USD	-1,566.00	
31AUG17	S	1	PD30NOV17	2106.00	2120.88	USD	-372.00	
31AUG17	S	1	PD30NOV17	2105.00	2120.88	USD	-397.00	
31AUG17	S	2	PD30NOV17	2112.50	2120.88	USD	-419.00	
31AUG17	S	2	PD30NOV17	2116.00	2120.88	USD	-244.00	
31AUG17	S	1	PD30NOV17	2115.00	2120.88	USD	-147.00	
31AUG17	S	2	PD30NOV17	2114.00	2120.88	USD	-344.00	
31AUG17	S	2	PD30NOV17	2134.50	2120.88	USD	681.00	
31AUG17	S	1	PD30NOV17	2099.50	2120.88	USD	-534.50	
31AUG17	S	1	PD30NOV17	2115.50	2120.88	USD	-134.50	
12SEP17	B	19	PD30NOV17	2133.28	2120.88	USD	-5,890.00	
	NET	0 AVG	BGHT 2133.28	SOLD 2110.21			-10,958.00	0.00
01SEP17	B	2	PD01DEC17	2126.50	2121.19	USD	-265.50	
01SEP17	B	1	PD01DEC17	2127.50	2121.19	USD	-157.75	
01SEP17	B	1	PD01DEC17	2128.00	2121.19	USD	-170.25	
01SEP17	B	4	PD01DEC17	2128.50	2121.19	USD	-731.00	
01SEP17	B	5	PD01DEC17	2129.00	2121.19	USD	-976.25	
01SEP17	B	2	PD01DEC17	2127.00	2121.19	USD	-290.50	
12SEP17	S	15	PD01DEC17	2133.39	2121.19	USD	4,575.00	
	NET	0 AVG	BGHT 2128.10	SOLD 2133.39			1,983.75	0.00
04SEP17	B	2	PD04DEC17	2124.00	2122.12	USD	-94.00	
04SEP17	B	1	PD04DEC17	2125.00	2122.12	USD	-72.00	
04SEP17	B	1	PD04DEC17	2126.00	2122.12	USD	-97.00	
04SEP17	S	1	PD04DEC17	2126.50	2122.12	USD	109.50	
04SEP17	S	1	PD04DEC17	2128.00	2122.12	USD	147.00	
04SEP17	B	2	PD04DEC17	2114.50	2122.12	USD	381.00	
04SEP17	S	1	PD04DEC17	2113.00	2122.12	USD	-228.00	
04SEP17	S	1	PD04DEC17	2114.50	2122.12	USD	-190.50	
12SEP17	S	2	PD04DEC17	2134.32	2122.12	USD	610.00	
	NET	0 AVG	BGHT 2121.33	SOLD 2125.11			566.00	0.00
05SEP17	B	2	PD05DEC17	2105.00	2122.43	USD	871.50	
05SEP17	B	2	PD05DEC17	2107.50	2122.43	USD	746.50	
12SEP17	S	2	PD05DEC17	2134.63	2122.43	USD	610.00	
12SEP17	S	2	PD05DEC17	2134.83	2122.43	USD	620.00	
	NET	0 AVG	BGHT 2106.25	SOLD 2134.73			2,848.00	0.00
06SEP17	B	2	PD06DEC17	2091.50	2122.74	USD	1,562.00	
06SEP17	B	3	PD06DEC17	2092.00	2122.74	USD	2,305.50	
06SEP17	B	1	PD06DEC17	2075.50	2122.74	USD	1,181.00	
06SEP17	B	1	PD06DEC17	2076.00	2122.74	USD	1,168.50	
06SEP17	S	1	PD06DEC17	2101.50	2122.74	USD	-531.00	
06SEP17	S	1	PD06DEC17	2109.00	2122.74	USD	-343.50	
12SEP17	S	5	PD06DEC17	2135.14	2122.74	USD	1,550.00	
	NET	0 AVG	BGHT 2087.21	SOLD 2126.60			6,892.50	0.00
07SEP17	B	1	PD07DEC17	2091.50	2123.04	USD	788.50	
07SEP17	B	1	PD07DEC17	2092.50	2123.04	USD	763.50	
07SEP17	B	3	PD07DEC17	2093.50	2123.04	USD	2,215.50	

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07SEP17	B	1	PD07DEC17	2094.00	2123.04	USD	726.00	
07SEP17	B	1	PD07DEC17	2094.50	2123.04	USD	713.50	
07SEP17	B	1	PD07DEC17	2100.00	2123.04	USD	576.00	
07SEP17	S	1	PD07DEC17	2109.00	2123.04	USD	-351.00	
07SEP17	S	1	PD07DEC17	2110.00	2123.04	USD	-326.00	
07SEP17	S	2	PD07DEC17	2111.50	2123.04	USD	-577.00	
07SEP17	S	7	PD07DEC17	2110.50	2123.04	USD	-2,194.50	
07SEP17	S	1	PD07DEC17	2109.50	2123.04	USD	-338.50	
07SEP17	S	1	PD07DEC17	2116.50	2123.04	USD	-163.50	
07SEP17	S	1	PD07DEC17	2114.00	2123.04	USD	-226.00	
12SEP17	B	6	PD07DEC17	2135.65	2123.04	USD	-1,891.50	
	NET	0 AVG BGHT 2111.92	SOLD 2111.11				-285.00	0.00
08SEP17	B	1	PD08DEC17	2086.00	2123.35	USD	933.75	
08SEP17	S	1	PD08DEC17	2097.50	2123.35	USD	-646.25	
08SEP17	S	1	PD08DEC17	2097.00	2123.35	USD	-658.75	
12SEP17	B	1	PD08DEC17	2135.96	2123.35	USD	-315.25	
	NET	0 AVG BGHT 2110.98	SOLD 2097.25				-686.50	0.00
11SEP17	S	1	PD11DEC17	2122.50	2124.28	USD	-44.50	
11SEP17	S	1	PD11DEC17	2122.00	2124.28	USD	-57.00	
14SEP17	B	2	PD11DEC17	2097.20	2124.28	USD	1,354.00	
	NET	0 AVG BGHT 2097.20	SOLD 2122.25				1,252.50	0.00
12SEP17	B	1	PD12DEC17	2109.50	2124.59	USD	377.25	
12SEP17	S	1	PD12DEC17	2109.50	2124.59	USD	-377.25	
	NET	0 AVG BGHT 2109.50	SOLD 2109.50				0.00	0.00
13SEP17	B	2	PD13DEC17	2119.50	2124.90	USD	270.00	
13SEP17	B	2	PD13DEC17	2117.00	2124.90	USD	395.00	
13SEP17	B	1	PD13DEC17	2117.50	2124.90	USD	185.00	
13SEP17	B	1	PD13DEC17	2118.00	2124.90	USD	172.50	
13SEP17	B	1	PD13DEC17	2118.50	2124.90	USD	160.00	
13SEP17	B	1	PD13DEC17	2119.00	2124.90	USD	147.50	
14SEP17	S	8	PD13DEC17	2097.53	2124.90	USD	-5,474.00	
	NET	0 AVG BGHT 2118.25	SOLD 2097.53				-4,144.00	0.00
14SEP17	S	2	PD14DEC17	2115.50	2125.21	USD	-485.50	
14SEP17	S	1	PD14DEC17	2116.00	2125.21	USD	-230.25	
14SEP17	S	1	PD14DEC17	2116.50	2125.21	USD	-217.75	
14SEP17	B	1	PD14DEC17	2086.00	2125.21	USD	980.25	
14SEP17	B	1	PD14DEC17	2086.50	2125.21	USD	967.75	
14SEP17	S	1	PD14DEC17	2086.00	2125.21	USD	-980.25	
14SEP17	B	3	PD14DEC17	2087.00	2125.21	USD	2,865.75	
14SEP17	B	4	PD14DEC17	2087.50	2125.21	USD	3,771.00	
14SEP17	B	1	PD14DEC17	2088.00	2125.21	USD	930.25	
14SEP17	B	4	PD14DEC17	2088.50	2125.21	USD	3,671.00	
14SEP17	S	3	PD14DEC17	2097.80	2125.21	USD	-2,055.75	
15SEP17	S	6	PD14DEC17	2085.55	2125.21	USD	-5,949.00	
	NET	0 AVG BGHT 2087.54	SOLD 2096.87				3,267.50	0.00
15SEP17	S	1	PD15DEC17	2101.00	2125.51	USD	-612.75	
15SEP17	S	3	PD15DEC17	2102.50	2125.51	USD	-1,725.75	
15SEP17	S	1	PD15DEC17	2103.00	2125.51	USD	-562.75	
15SEP17	S	1	PD15DEC17	2103.50	2125.51	USD	-550.25	
15SEP17	B	1	PD15DEC17	2074.00	2125.51	USD	1,287.75	
15SEP17	B	1	PD15DEC17	2075.00	2125.51	USD	1,262.75	
15SEP17	B	1	PD15DEC17	2075.50	2125.51	USD	1,250.25	
15SEP17	B	3	PD15DEC17	2073.50	2125.51	USD	3,900.75	
	NET	0 AVG BGHT 2074.17	SOLD 2102.50				4,250.00	0.00
20SEP17	S	1	PD20DEC17	2149.50	2126.75	USD	568.75	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
20SEP17	S	1	PD20DEC17	2153.00	2126.75	USD	656.25	
20SEP17	S	2	PD20DEC17	2155.50	2126.75	USD	1,437.50	
20SEP17	S	3	PD20DEC17	2157.00	2126.75	USD	2,268.75	
20SEP17	S	1	PD20DEC17	2157.50	2126.75	USD	768.75	
20SEP17	S	1	PD20DEC17	2159.00	2126.75	USD	806.25	
20SEP17	S	1	PD20DEC17	2189.50	2126.75	USD	1,568.75	
20SEP17	S	2	PD20DEC17	2190.50	2126.75	USD	3,187.50	
20SEP17	S	1	PD20DEC17	2186.00	2126.75	USD	1,481.25	
20SEP17	S	1	PD20DEC17	2187.50	2126.75	USD	1,518.75	
20SEP17	S	1	PD20DEC17	2156.00	2126.75	USD	731.25	
27SEP17	B	15	PD20DEC17	2129.50	2126.75	USD	-1,031.25	
	NET	0 AVG BGHT 2129.50	SOLD 2166.73				13,962.50	0.00
21SEP17	B	1	PD21DEC17	2164.00	2126.75	USD	-931.25	
21SEP17	B	1	PD21DEC17	2168.00	2126.75	USD	-1,031.25	
21SEP17	S	1	PD21DEC17	2168.00	2126.75	USD	1,031.25	
21SEP17	B	1	PD21DEC17	2171.50	2126.75	USD	-1,118.75	
21SEP17	B	2	PD21DEC17	2172.00	2126.75	USD	-2,262.50	
21SEP17	B	1	PD21DEC17	2173.00	2126.75	USD	-1,156.25	
21SEP17	B	1	PD21DEC17	2179.00	2126.75	USD	-1,306.25	
21SEP17	B	1	PD21DEC17	2180.50	2126.75	USD	-1,343.75	
21SEP17	B	2	PD21DEC17	2194.00	2126.75	USD	-3,362.50	
21SEP17	B	3	PD21DEC17	2194.50	2126.75	USD	-5,081.25	
21SEP17	B	1	PD21DEC17	2197.50	2126.75	USD	-1,768.75	
21SEP17	B	1	PD21DEC17	2196.00	2126.75	USD	-1,731.25	
21SEP17	B	1	PD21DEC17	2196.50	2126.75	USD	-1,743.75	
27SEP17	S	15	PD21DEC17	2129.59	2126.75	USD	1,065.00	
	NET	0 AVG BGHT 2183.84	SOLD 2131.99				-20,741.25	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	5891.50	6755.20	USD	21,592.50	
12JUL17	S	1	PD12OCT17	5896.00	6755.20	USD	-21,480.00	
	NET	0 AVG BGHT 5891.50	SOLD 5896.00				112.50	0.00
13JUL17	B	1	PD13OCT17	5920.50	6756.00	USD	20,887.50	
13JUL17	S	1	PD13OCT17	5921.00	6756.00	USD	-20,875.00	
	NET	0 AVG BGHT 5920.50	SOLD 5921.00				12.50	0.00
18JUL17	B	1	PD18OCT17	5985.50	6760.00	USD	19,362.50	
18JUL17	S	1	PD18OCT17	5986.00	6760.00	USD	-19,350.00	
	NET	0 AVG BGHT 5985.50	SOLD 5986.00				12.50	0.00
19JUL17	B	1	PD19OCT17	6014.00	6760.88	USD	18,672.00	
19JUL17	S	1	PD19OCT17	6013.00	6760.88	USD	-18,697.00	
	NET	0 AVG BGHT 6014.00	SOLD 6013.00				-25.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	5	PD13OCT17	2305.00	2552.75	USD	-30,968.75	
31JUL17	B	5	PD13OCT17	2330.31	2552.75	USD	27,805.00	
	NET	0 AVG BGHT 2330.31	SOLD 2305.00				-3,163.75	0.00
17JUL17	B	5	PD17OCT17	2319.50	2554.35	USD	29,356.25	
31JUL17	S	5	PD17OCT17	2331.06	2554.35	USD	-27,911.25	
	NET	0 AVG BGHT 2319.50	SOLD 2331.06				1,445.00	0.00
19JUL17	B	2	PD19OCT17	2239.50	2554.89	USD	15,769.50	
19JUL17	B	4	PD19OCT17	2240.00	2554.89	USD	31,489.00	
19JUL17	B	1	PD19OCT17	2242.50	2554.89	USD	7,809.75	
19JUL17	B	3	PD19OCT17	2243.00	2554.89	USD	23,391.75	
19JUL17	B	2	PD19OCT17	2243.50	2554.89	USD	15,569.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	2228.50	2554.89	USD	8,159.75	
19JUL17	B	1	PD19OCT17	2232.50	2554.89	USD	8,059.75	
19JUL17	S	1	PD19OCT17	2216.50	2554.89	USD	-8,459.75	
19JUL17	S	1	PD19OCT17	2217.00	2554.89	USD	-8,447.25	
19JUL17	S	1	PD19OCT17	2212.50	2554.89	USD	-8,559.75	
19JUL17	S	4	PD19OCT17	2210.00	2554.89	USD	-34,489.00	
19JUL17	S	1	PD19OCT17	2217.50	2554.89	USD	-8,434.75	
19JUL17	S	1	PD19OCT17	2211.50	2554.89	USD	-8,584.75	
19JUL17	S	1	PD19OCT17	2213.00	2554.89	USD	-8,547.25	
31JUL17	S	4	PD19OCT17	2330.75	2554.89	USD	-22,414.00	
	NET	0 AVG BGHT 2239.89	SOLD 2246.50				2,312.50	0.00
20JUL17	S	1	PD20OCT17	2222.50	2555.04	USD	-8,313.50	
20JUL17	S	2	PD20OCT17	2228.00	2555.04	USD	-16,352.00	
20JUL17	S	1	PD20OCT17	2225.50	2555.04	USD	-8,238.50	
31JUL17	B	4	PD20OCT17	2331.22	2555.04	USD	22,382.00	
	NET	0 AVG BGHT 2331.22	SOLD 2226.00				-10,522.00	0.00
29SEP17	S	31	PD02NOV17	2492.15	2556.89	USD	-50,173.50	
29SEP17	S	14	PD02NOV17	2492.35	2556.89	USD	-22,589.00	
	NET	-45 AVG SOLD 2492.21					-72,762.50	0.00
03AUG17	S	1	PD03NOV17	2369.00	2557.04	USD	-4,701.00	
03AUG17	S	1	PD03NOV17	2369.50	2557.04	USD	-4,688.50	
03AUG17	S	3	PD03NOV17	2370.00	2557.04	USD	-14,028.00	
03AUG17	S	1	PD03NOV17	2370.50	2557.04	USD	-4,663.50	
04AUG17	S	1	PD03NOV17	2362.50	2557.04	USD	-4,863.50	
04AUG17	S	1	PD03NOV17	2363.00	2557.04	USD	-4,851.00	
04AUG17	B	1	PD03NOV17	2358.00	2557.04	USD	4,976.00	
04AUG17	B	1	PD03NOV17	2357.00	2557.04	USD	5,001.00	
04AUG17	B	2	PD03NOV17	2354.00	2557.04	USD	10,152.00	
08AUG17	B	4	PD03NOV17	2382.31	2557.04	USD	17,473.00	
	NET	0 AVG BGHT 2369.03	SOLD 2368.06				-193.50	0.00
07AUG17	B	2	PD07NOV17	2374.00	2557.61	USD	9,180.50	
07AUG17	B	1	PD07NOV17	2374.50	2557.61	USD	4,577.75	
07AUG17	B	1	PD07NOV17	2375.00	2557.61	USD	4,565.25	
07AUG17	B	2	PD07NOV17	2364.00	2557.61	USD	9,680.50	
08AUG17	S	6	PD07NOV17	2382.80	2557.61	USD	-26,221.50	
	NET	0 AVG BGHT 2370.92	SOLD 2382.80				1,782.50	0.00
16AUG17	S	1	PD16NOV17	2387.50	2558.75	USD	-4,281.25	
16AUG17	S	2	PD16NOV17	2388.50	2558.75	USD	-8,512.50	
16AUG17	S	2	PD16NOV17	2389.00	2558.75	USD	-8,487.50	
16AUG17	S	2	PD16NOV17	2388.00	2558.75	USD	-8,537.50	
16AUG17	B	1	PD16NOV17	2388.50	2558.75	USD	4,256.25	
16AUG17	B	1	PD16NOV17	2389.00	2558.75	USD	4,243.75	
16AUG17	B	1	PD16NOV17	2489.00	2558.75	USD	1,743.75	
16AUG17	B	1	PD16NOV17	2493.50	2558.75	USD	1,631.25	
16AUG17	B	1	PD16NOV17	2499.00	2558.75	USD	1,493.75	
16AUG17	B	1	PD16NOV17	2503.50	2558.75	USD	1,381.25	
16AUG17	S	1	PD16NOV17	2498.50	2558.75	USD	-1,506.25	
24AUG17	B	2	PD16NOV17	2353.75	2558.75	USD	10,250.00	
	NET	0 AVG BGHT 2433.75	SOLD 2402.13				-6,325.00	0.00
18AUG17	B	2	PD17NOV17	2406.00	2558.75	USD	7,637.50	
24AUG17	S	2	PD17NOV17	2353.80	2558.75	USD	-10,247.50	
	NET	0 AVG BGHT 2406.00	SOLD 2353.80				-2,610.00	0.00
24AUG17	B	2	PD24NOV17	2353.50	2559.75	USD	10,312.50	
24AUG17	B	2	PD24NOV17	2354.00	2559.75	USD	10,287.50	
24AUG17	S	4	PD24NOV17	2356.80	2559.75	USD	-20,295.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2353.75	SOLD 2356.80				305.00	0.00
29AUG17	S	2 PD29NOV17		2346.00	2560.20	USD	-10,710.00	
29AUG17	S	2 PD29NOV17		2342.00	2560.20	USD	-10,910.00	
29AUG17	B	3 PD29NOV17		2345.00	2560.20	USD	16,140.00	
29AUG17	B	3 PD29NOV17		2346.50	2560.20	USD	16,027.50	
29AUG17	B	2 PD29NOV17		2347.00	2560.20	USD	10,660.00	
29AUG17	B	4 PD29NOV17		2347.50	2560.20	USD	21,270.00	
29AUG17	S	4 PD29NOV17		2341.00	2560.20	USD	-21,920.00	
29AUG17	S	2 PD29NOV17		2343.50	2560.20	USD	-10,835.00	
29AUG17	S	2 PD29NOV17		2343.00	2560.20	USD	-10,860.00	
29AUG17	S	2 PD29NOV17		2344.50	2560.20	USD	-10,785.00	
29AUG17	S	2 PD29NOV17		2345.00	2560.20	USD	-10,760.00	
29AUG17	S	1 PD29NOV17		2345.50	2560.20	USD	-5,367.50	
29AUG17	S	1 PD29NOV17		2350.00	2560.20	USD	-5,255.00	
29AUG17	S	2 PD29NOV17		2352.00	2560.20	USD	-10,410.00	
29AUG17	S	1 PD29NOV17		2353.00	2560.20	USD	-5,180.00	
29AUG17	B	5 PD29NOV17		2349.00	2560.20	USD	26,400.00	
31AUG17	B	4 PD29NOV17		2393.91	2560.20	USD	16,629.00	
	NET	0 AVG BGHT 2356.15	SOLD 2344.98				-5,866.00	0.00
30AUG17	B	2 PD30NOV17		2391.00	2560.30	USD	8,465.00	
30AUG17	S	2 PD30NOV17		2390.00	2560.30	USD	-8,515.00	
31AUG17	B	2 PD30NOV17		2386.00	2560.30	USD	8,715.00	
31AUG17	B	2 PD30NOV17		2386.50	2560.30	USD	8,690.00	
31AUG17	S	2 PD30NOV17		2394.50	2560.30	USD	-8,290.00	
31AUG17	S	2 PD30NOV17		2396.50	2560.30	USD	-8,190.00	
31AUG17	S	2 PD30NOV17		2389.50	2560.30	USD	-8,540.00	
31AUG17	B	2 PD30NOV17		2394.20	2560.30	USD	8,305.00	
	NET	0 AVG BGHT 2389.43	SOLD 2392.63				640.00	0.00
05SEP17	B	4 PD05DEC17		2375.00	2560.75	USD	18,575.00	
05SEP17	B	1 PD05DEC17		2369.50	2560.75	USD	4,781.25	
05SEP17	B	2 PD05DEC17		2372.00	2560.75	USD	9,437.50	
05SEP17	B	1 PD05DEC17		2370.50	2560.75	USD	4,756.25	
05SEP17	B	1 PD05DEC17		2370.00	2560.75	USD	4,768.75	
05SEP17	B	1 PD05DEC17		2350.50	2560.75	USD	5,256.25	
12SEP17	S	10 PD05DEC17		2309.35	2560.75	USD	-62,850.00	
	NET	0 AVG BGHT 2370.45	SOLD 2309.35				-15,275.00	0.00
06SEP17	S	2 PD06DEC17		2356.50	2561.00	USD	-10,225.00	
06SEP17	S	1 PD06DEC17		2363.50	2561.00	USD	-4,937.50	
06SEP17	S	1 PD06DEC17		2364.50	2561.00	USD	-4,912.50	
06SEP17	S	4 PD06DEC17		2357.00	2561.00	USD	-20,400.00	
06SEP17	S	2 PD06DEC17		2359.50	2561.00	USD	-10,075.00	
12SEP17	B	10 PD06DEC17		2309.85	2561.00	USD	62,787.50	
	NET	0 AVG BGHT 2309.85	SOLD 2358.80				12,237.50	0.00
07SEP17	B	2 PD07DEC17		2342.50	2561.25	USD	10,937.50	
07SEP17	B	1 PD07DEC17		2343.00	2561.25	USD	5,456.25	
12SEP17	S	3 PD07DEC17		2309.96	2561.25	USD	-18,846.75	
	NET	0 AVG BGHT 2342.67	SOLD 2309.96				-2,453.00	0.00
08SEP17	B	1 PD08DEC17		2351.00	2561.50	USD	5,262.50	
08SEP17	B	1 PD08DEC17		2353.00	2561.50	USD	5,212.50	
08SEP17	B	1 PD08DEC17		2356.50	2561.50	USD	5,125.00	
08SEP17	B	1 PD08DEC17		2297.00	2561.50	USD	6,612.50	
08SEP17	S	1 PD08DEC17		2301.50	2561.50	USD	-6,500.00	
08SEP17	S	1 PD08DEC17		2302.50	2561.50	USD	-6,475.00	
08SEP17	S	1 PD08DEC17		2303.50	2561.50	USD	-6,450.00	
08SEP17	S	1 PD08DEC17		2304.50	2561.50	USD	-6,425.00	

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08SEP17	S	2	PD08DEC17	2305.00	2561.50	USD	-12,825.00	
08SEP17	S	1	PD08DEC17	2306.50	2561.50	USD	-6,375.00	
12SEP17	B	3	PD08DEC17	2310.47	2561.50	USD	18,827.25	
	NET	0 AVG BGHT 2326.99	SOLD 2304.07				-4,010.25	0.00
15SEP17	B	2	PD15DEC17	2314.50	2563.25	USD	12,437.50	
15SEP17	S	1	PD15DEC17	2346.00	2563.25	USD	-5,431.25	
15SEP17	S	1	PD15DEC17	2344.50	2563.25	USD	-5,468.75	
	NET	0 AVG BGHT 2314.50	SOLD 2345.25				1,537.50	0.00
20SEP17	S	2	PD20DEC17	2461.50	2564.50	USD	-5,150.00	
20SEP17	S	9	PD20DEC17	2462.00	2564.50	USD	-23,062.50	
20SEP17	S	1	PD20DEC17	2462.50	2564.50	USD	-2,550.00	
20SEP17	B	1	PD20DEC17	2463.00	2564.50	USD	2,537.50	
21SEP17	B	11	PD20DEC17	2518.20	2564.50	USD	12,732.50	
	NET	0 AVG BGHT 2513.60	SOLD 2461.96				-15,492.50	0.00
21SEP17	S	1	PD21DEC17	2445.00	2563.50	USD	-2,962.50	
21SEP17	S	1	PD21DEC17	2446.50	2563.50	USD	-2,925.00	
21SEP17	S	2	PD21DEC17	2448.00	2563.50	USD	-5,775.00	
21SEP17	S	1	PD21DEC17	2452.00	2563.50	USD	-2,787.50	
21SEP17	S	1	PD21DEC17	2465.00	2563.50	USD	-2,462.50	
21SEP17	S	1	PD21DEC17	2462.50	2563.50	USD	-2,525.00	
21SEP17	S	1	PD21DEC17	2472.00	2563.50	USD	-2,287.50	
21SEP17	B	1	PD21DEC17	2442.00	2563.50	USD	3,037.50	
21SEP17	S	1	PD21DEC17	2441.00	2563.50	USD	-3,062.50	
21SEP17	B	1	PD21DEC17	2446.50	2563.50	USD	2,925.00	
21SEP17	S	1	PD21DEC17	2466.00	2563.50	USD	-2,437.50	
21SEP17	S	1	PD21DEC17	2467.00	2563.50	USD	-2,412.50	
21SEP17	S	1	PD21DEC17	2466.50	2563.50	USD	-2,425.00	
21SEP17	S	1	PD21DEC17	2468.50	2563.50	USD	-2,375.00	
21SEP17	S	2	PD21DEC17	2469.00	2563.50	USD	-4,725.00	
21SEP17	S	2	PD21DEC17	2469.50	2563.50	USD	-4,700.00	
21SEP17	S	3	PD21DEC17	2471.50	2563.50	USD	-6,900.00	
21SEP17	S	1	PD21DEC17	2470.00	2563.50	USD	-2,337.50	
21SEP17	S	2	PD21DEC17	2470.50	2563.50	USD	-4,650.00	
21SEP17	S	1	PD21DEC17	2471.00	2563.50	USD	-2,312.50	
21SEP17	S	1	PD21DEC17	2476.00	2563.50	USD	-2,187.50	
21SEP17	S	2	PD21DEC17	2477.00	2563.50	USD	-4,325.00	
21SEP17	S	1	PD21DEC17	2479.50	2563.50	USD	-2,100.00	
21SEP17	S	2	PD21DEC17	2480.00	2563.50	USD	-4,175.00	
21SEP17	S	1	PD21DEC17	2480.50	2563.50	USD	-2,075.00	
21SEP17	S	2	PD21DEC17	2472.50	2563.50	USD	-4,550.00	
21SEP17	S	2	PD21DEC17	2474.00	2563.50	USD	-4,475.00	
21SEP17	S	2	PD21DEC17	2474.50	2563.50	USD	-4,450.00	
21SEP17	S	2	PD21DEC17	2484.00	2563.50	USD	-3,975.00	
21SEP17	S	1	PD21DEC17	2486.00	2563.50	USD	-1,937.50	
21SEP17	B	1	PD21DEC17	2490.50	2563.50	USD	1,825.00	
21SEP17	S	1	PD21DEC17	2490.00	2563.50	USD	-1,837.50	
21SEP17	S	3	PD21DEC17	2484.50	2563.50	USD	-5,925.00	
21SEP17	B	1	PD21DEC17	2479.50	2563.50	USD	2,100.00	
21SEP17	B	10	PD21DEC17	2488.08	2563.50	USD	18,855.00	
21SEP17	B	30	PD21DEC17	2513.20	2563.50	USD	37,725.00	
	NET	0 AVG BGHT 2503.08	SOLD 2470.70				-35,607.50	0.00
22SEP17	B	1	PD22DEC17	2414.00	2563.50	USD	3,737.50	
22SEP17	S	1	PD22DEC17	2447.50	2563.50	USD	-2,900.00	
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	B	1	PD22DEC17	2461.50	2563.50	USD	2,550.00	
22SEP17	S	1	PD22DEC17	2480.00	2563.50	USD	-2,087.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	2482.00	2563.50	USD	-2,037.50	
22SEP17	S	1	PD22DEC17	2472.50	2563.50	USD	-2,275.00	
22SEP17	S	1	PD22DEC17	2476.00	2563.50	USD	-2,187.50	
22SEP17	S	1	PD22DEC17	2480.50	2563.50	USD	-2,075.00	
22SEP17	B	1	PD22DEC17	2488.00	2563.50	USD	1,887.50	
22SEP17	B	1	PD22DEC17	2490.00	2563.50	USD	1,837.50	
22SEP17	B	2	PD22DEC17	2489.50	2563.50	USD	3,700.00	
22SEP17	B	1	PD22DEC17	2483.00	2563.50	USD	2,012.50	
	NET	0 AVG BGHT 2473.64	SOLD 2474.43				137.50	0.00
26SEP17	S	1	PD27DEC17	2486.50	2563.50	USD	-1,925.00	
26SEP17	B	2	PD27DEC17	2490.50	2563.50	USD	3,650.00	
26SEP17	B	1	PD27DEC17	2487.00	2563.50	USD	1,912.50	
26SEP17	B	2	PD27DEC17	2488.50	2563.50	USD	3,750.00	
26SEP17	B	1	PD27DEC17	2491.00	2563.50	USD	1,812.50	
26SEP17	B	1	PD27DEC17	2493.00	2563.50	USD	1,762.50	
26SEP17	B	2	PD27DEC17	2467.00	2563.50	USD	4,825.00	
26SEP17	B	2	PD27DEC17	2468.00	2563.50	USD	4,775.00	
26SEP17	B	2	PD27DEC17	2484.00	2563.50	USD	3,975.00	
26SEP17	B	1	PD27DEC17	2481.50	2563.50	USD	2,050.00	
26SEP17	B	2	PD27DEC17	2483.00	2563.50	USD	4,025.00	
27SEP17	B	1	PD27DEC17	2478.50	2563.50	USD	2,125.00	
27SEP17	B	1	PD27DEC17	2480.00	2563.50	USD	2,087.50	
27SEP17	B	3	PD27DEC17	2480.50	2563.50	USD	6,225.00	
27SEP17	S	20	PD27DEC17	2461.80	2563.50	USD	-50,850.00	
	NET	0 AVG BGHT 2481.64	SOLD 2462.98				-9,800.00	0.00
28SEP17	B	2	PD28DEC17	2459.00	2563.60	USD	5,230.00	
28SEP17	S	1	PD28DEC17	2451.00	2563.60	USD	-2,815.00	
28SEP17	S	1	PD28DEC17	2452.00	2563.60	USD	-2,790.00	
28SEP17	S	2	PD28DEC17	2468.00	2563.60	USD	-4,780.00	
28SEP17	S	3	PD28DEC17	2467.00	2563.60	USD	-7,245.00	
28SEP17	S	2	PD28DEC17	2467.50	2563.60	USD	-4,805.00	
28SEP17	B	1	PD28DEC17	2470.00	2563.60	USD	2,340.00	
28SEP17	S	1	PD28DEC17	2469.00	2563.60	USD	-2,365.00	
29SEP17	B	7	PD28DEC17	2486.20	2563.60	USD	13,545.00	
	NET	0 AVG BGHT 2479.14	SOLD 2464.40				-3,685.00	0.00
29SEP17	S	2	PD29DEC17	2484.00	2563.70	USD	-3,985.00	
29SEP17	S	1	PD29DEC17	2485.50	2563.70	USD	-1,955.00	
29SEP17	S	1	PD29DEC17	2486.00	2563.70	USD	-1,942.50	
29SEP17	S	3	PD29DEC17	2485.00	2563.70	USD	-5,902.50	
29SEP17	B	7	PD29DEC17	2485.20	2563.70	USD	13,737.50	
	NET	0 AVG BGHT 2485.20	SOLD 2484.93				-47.50	0.00
09OCT17	B	1	PD09JAN18	2489.50	2564.80	USD	1,882.50	
09OCT17	B	2	PD09JAN18	2490.50	2564.80	USD	3,715.00	
09OCT17	B	1	PD09JAN18	2492.50	2564.80	USD	1,807.50	
09OCT17	B	1	PD09JAN18	2493.50	2564.80	USD	1,782.50	
09OCT17	S	1	PD09JAN18	2516.00	2564.80	USD	-1,220.00	
09OCT17	S	1	PD09JAN18	2516.50	2564.80	USD	-1,207.50	
09OCT17	S	1	PD09JAN18	2517.50	2564.80	USD	-1,182.50	
09OCT17	B	1	PD09JAN18	2518.50	2564.80	USD	1,157.50	
	NET	+3 AVG BGHT 2495.83	SOLD 2516.67				6,735.00	0.00
10OCT17	S	1	PD10JAN18	2501.50	2564.90	USD	-1,585.00	
10OCT17	S	1	PD10JAN18	2503.00	2564.90	USD	-1,547.50	
10OCT17	S	1	PD10JAN18	2515.50	2564.90	USD	-1,235.00	
	NET	-3 AVG SOLD 2506.67					-4,367.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>							

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12JUL17	B	1	PD12OCT17	9215.00	11060.20	USD	11,071.20	
12JUL17	S	1	PD12OCT17	9215.00	11060.20	USD	-11,071.20	
	NET	0 AVG	BGHT 9215.00				0.00	0.00
18JUL17	S	2	PD18OCT17	9650.00	11065.00	USD	-16,980.00	
18JUL17	S	3	PD18OCT17	9670.00	11065.00	USD	-25,110.00	
31JUL17	B	5	PD18OCT17	10212.50	11065.00	USD	25,575.00	
	NET	0 AVG	BGHT 10212.50				-16,515.00	0.00
19JUL17	S	2	PD19OCT17	9735.00	11065.96	USD	-15,971.52	
19JUL17	S	1	PD19OCT17	9740.00	11065.96	USD	-7,955.76	
19JUL17	S	1	PD19OCT17	9760.00	11065.96	USD	-7,835.76	
19JUL17	S	1	PD19OCT17	9750.00	11065.96	USD	-7,895.76	
19JUL17	S	9	PD19OCT17	9755.00	11065.96	USD	-70,791.84	
19JUL17	S	1	PD19OCT17	9770.00	11065.96	USD	-7,775.76	
19JUL17	S	1	PD19OCT17	9765.00	11065.96	USD	-7,805.76	
19JUL17	B	2	PD19OCT17	9710.00	11065.96	USD	16,271.52	
19JUL17	B	1	PD19OCT17	9725.00	11065.96	USD	8,045.76	
19JUL17	B	1	PD19OCT17	9700.00	11065.96	USD	8,195.76	
19JUL17	B	5	PD19OCT17	9675.00	11065.96	USD	41,728.80	
19JUL17	B	2	PD19OCT17	9640.00	11065.96	USD	17,111.52	
19JUL17	B	3	PD19OCT17	9645.00	11065.96	USD	25,577.28	
19JUL17	B	5	PD19OCT17	9650.00	11065.96	USD	42,478.80	
19JUL17	B	2	PD19OCT17	9635.00	11065.96	USD	17,171.52	
31JUL17	S	5	PD19OCT17	10211.77	11065.96	USD	-25,625.70	
	NET	0 AVG	BGHT 9664.52				24,923.10	0.00
20JUL17	B	1	PD20OCT17	9600.00	11066.93	USD	8,801.58	
31JUL17	S	1	PD20OCT17	10212.04	11066.93	USD	-5,129.34	
	NET	0 AVG	BGHT 9600.00				3,672.24	0.00
24JUL17	S	2	PD24OCT17	9730.00	11070.79	USD	-16,089.48	
24JUL17	S	1	PD24OCT17	9735.00	11070.79	USD	-8,014.74	
24JUL17	S	1	PD24OCT17	9720.00	11070.79	USD	-8,104.74	
24JUL17	B	1	PD24OCT17	9755.00	11070.79	USD	7,894.74	
24JUL17	S	2	PD24OCT17	9755.00	11070.79	USD	-15,789.48	
24JUL17	B	1	PD24OCT17	9765.00	11070.79	USD	7,834.74	
24JUL17	S	1	PD24OCT17	9750.00	11070.79	USD	-7,924.74	
24JUL17	S	2	PD24OCT17	9760.00	11070.79	USD	-15,729.48	
24JUL17	S	4	PD24OCT17	9765.00	11070.79	USD	-31,338.96	
24JUL17	S	2	PD24OCT17	9770.00	11070.79	USD	-15,609.48	
31JUL17	B	11	PD24OCT17	10214.12	11070.79	USD	56,540.22	
08AUG17	B	2	PD24OCT17	10624.93	11070.79	USD	5,350.32	
	NET	0 AVG	BGHT 10208.35				-40,981.08	0.00
25JUL17	S	4	PD25OCT17	9840.00	11071.75	USD	-29,562.00	
25JUL17	S	2	PD25OCT17	9845.00	11071.75	USD	-14,721.00	
25JUL17	S	2	PD25OCT17	9850.00	11071.75	USD	-14,661.00	
25JUL17	S	3	PD25OCT17	9905.00	11071.75	USD	-21,001.50	
25JUL17	S	2	PD25OCT17	9910.00	11071.75	USD	-13,941.00	
25JUL17	S	4	PD25OCT17	9895.00	11071.75	USD	-28,242.00	
25JUL17	S	7	PD25OCT17	9900.00	11071.75	USD	-49,213.50	
31JUL17	B	24	PD25OCT17	10214.38	11071.75	USD	123,461.28	
	NET	0 AVG	BGHT 10214.38				-47,880.72	0.00
26JUL17	S	2	PD26OCT17	9935.00	11072.71	USD	-13,652.52	
26JUL17	S	1	PD26OCT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	S	1	PD26OCT17	9965.00	11072.71	USD	-6,646.26	
26JUL17	S	1	PD26OCT17	9985.00	11072.71	USD	-6,526.26	
26JUL17	S	1	PD26OCT17	10040.00	11072.71	USD	-6,196.26	
26JUL17	S	1	PD26OCT17	10000.00	11072.71	USD	-6,436.26	
26JUL17	S	2	PD26OCT17	10005.00	11072.71	USD	-12,812.52	

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26JUL17	S	1	PD26OCT17	10015.00	11072.71	USD	-6,346.26	
26JUL17	S	2	PD26OCT17	10030.00	11072.71	USD	-12,512.52	
26JUL17	S	1	PD26OCT17	10020.00	11072.71	USD	-6,316.26	
26JUL17	S	3	PD26OCT17	10025.00	11072.71	USD	-18,858.78	
26JUL17	S	4	PD26OCT17	10035.00	11072.71	USD	-24,905.04	
31JUL17	B	20	PD26OCT17	10214.65	11072.71	USD	102,967.20	
	NET	0 AVG	BGHT 10214.65	SOLD 10006.00			-25,038.00	0.00
27JUL17	S	1	PD27OCT17	10100.00	11073.68	USD	-5,842.08	
27JUL17	S	1	PD27OCT17	10105.00	11073.68	USD	-5,812.08	
27JUL17	S	1	PD27OCT17	10110.00	11073.68	USD	-5,782.08	
27JUL17	S	4	PD27OCT17	10120.00	11073.68	USD	-22,888.32	
27JUL17	S	6	PD27OCT17	10125.00	11073.68	USD	-34,152.48	
27JUL17	S	2	PD27OCT17	10130.00	11073.68	USD	-11,324.16	
27JUL17	S	8	PD27OCT17	10115.00	11073.68	USD	-46,016.64	
27JUL17	S	1	PD27OCT17	10140.00	11073.68	USD	-5,602.08	
27JUL17	S	1	PD27OCT17	10175.00	11073.68	USD	-5,392.08	
27JUL17	S	1	PD27OCT17	10160.00	11073.68	USD	-5,482.08	
27JUL17	S	1	PD27OCT17	10155.00	11073.68	USD	-5,512.08	
27JUL17	S	2	PD27OCT17	10170.00	11073.68	USD	-10,844.16	
27JUL17	S	1	PD27OCT17	10150.00	11073.68	USD	-5,542.08	
28JUL17	S	1	PD27OCT17	10130.00	11073.68	USD	-5,662.08	
31JUL17	B	31	PD27OCT17	10214.92	11073.68	USD	159,729.36	
	NET	0 AVG	BGHT 10214.92	SOLD 10128.23			-16,125.12	0.00
31JUL17	S	1	PD31OCT17	10270.00	11077.54	USD	-4,845.24	
31JUL17	S	1	PD31OCT17	10275.00	11077.54	USD	-4,815.24	
08AUG17	B	2	PD31OCT17	10627.76	11077.54	USD	5,397.36	
	NET	0 AVG	BGHT 10627.76	SOLD 10272.50			-4,263.12	0.00
08AUG17	S	1	PD08NOV17	10695.00	11085.25	USD	-2,341.50	
08AUG17	S	3	PD08NOV17	10740.00	11085.25	USD	-6,214.50	
08AUG17	S	3	PD08NOV17	10735.00	11085.25	USD	-6,304.50	
09AUG17	B	7	PD08NOV17	10760.50	11085.25	USD	13,639.50	
	NET	0 AVG	BGHT 10760.50	SOLD 10731.43			-1,221.00	0.00
09AUG17	S	1	PD09NOV17	10770.00	11086.21	USD	-1,897.26	
09AUG17	S	1	PD09NOV17	10775.00	11086.21	USD	-1,867.26	
09AUG17	S	1	PD09NOV17	10780.00	11086.21	USD	-1,837.26	
09AUG17	S	2	PD09NOV17	10785.00	11086.21	USD	-3,614.52	
09AUG17	S	1	PD09NOV17	10740.00	11086.21	USD	-2,077.26	
09AUG17	B	7	PD09NOV17	10761.00	11086.21	USD	13,658.82	
09AUG17	S	8	PD09NOV17	10730.00	11086.21	USD	-17,098.50	
18AUG17	B	7	PD09NOV17	10978.96	11086.21	USD	4,504.50	
	NET	0 AVG	BGHT 10869.98	SOLD 10748.21			-10,228.32	0.00
10AUG17	S	1	PD10NOV17	10800.00	11087.18	USD	-1,723.08	
18AUG17	B	1	PD10NOV17	10979.63	11087.18	USD	645.30	
	NET	0 AVG	BGHT 10979.63	SOLD 10800.00			-1,077.78	0.00
14AUG17	B	1	PD14NOV17	10420.00	11091.04	USD	4,026.24	
14AUG17	B	1	PD14NOV17	10430.00	11091.04	USD	3,966.24	
14AUG17	B	6	PD14NOV17	10435.00	11091.04	USD	23,617.44	
14AUG17	B	2	PD14NOV17	10440.00	11091.04	USD	7,812.48	
14AUG17	B	2	PD14NOV17	10425.00	11091.04	USD	7,992.48	
14AUG17	B	1	PD14NOV17	10410.00	11091.04	USD	4,086.24	
14AUG17	B	2	PD14NOV17	10415.00	11091.04	USD	8,112.48	
24AUG17	S	8	PD14NOV17	11740.27	11091.04	USD	31,163.04	
12SEP17	S	7	PD14NOV17	11967.00	11091.04	USD	36,790.32	
	NET	0 AVG	BGHT 10428.67	SOLD 11846.08			127,566.96	0.00
23AUG17	S	2	PD24NOV17	11650.00	11098.94	USD	6,612.72	

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23AUG17	S	1	PD24NOV17	11655.00	11098.94	USD	3,336.36	
23AUG17	S	1	PD24NOV17	11665.00	11098.94	USD	3,396.36	
23AUG17	S	1	PD24NOV17	11670.00	11098.94	USD	3,426.36	
23AUG17	S	1	PD24NOV17	11680.00	11098.94	USD	3,486.36	
24AUG17	S	1	PD24NOV17	11725.00	11098.94	USD	3,756.36	
24AUG17	S	1	PD24NOV17	11730.00	11098.94	USD	3,786.36	
24AUG17	B	8	PD24NOV17	11746.00	11098.94	USD	-31,058.88	
	NET	0 AVG	BGHT 11746.00				-3,258.00	0.00
31AUG17	B	2	PD30NOV17	11670.00	11103.57	USD	-6,797.16	
12SEP17	S	2	PD30NOV17	11980.22	11103.57	USD	10,519.80	
	NET	0 AVG	BGHT 11670.00				3,722.64	0.00
01SEP17	S	1	PD01DEC17	11925.00	11104.34	USD	4,923.96	
01SEP17	S	1	PD01DEC17	11935.00	11104.34	USD	4,983.96	
01SEP17	S	1	PD01DEC17	11945.00	11104.34	USD	5,043.96	
01SEP17	S	5	PD01DEC17	11930.00	11104.34	USD	24,769.80	
01SEP17	S	1	PD01DEC17	11920.00	11104.34	USD	4,893.96	
01SEP17	B	1	PD01DEC17	12130.00	11104.34	USD	-6,153.96	
12SEP17	B	8	PD01DEC17	11982.04	11104.34	USD	-42,129.60	
	NET	0 AVG	BGHT 11998.48				-3,667.92	0.00
05SEP17	S	1	PD05DEC17	12185.00	11107.43	USD	6,465.42	
12SEP17	B	1	PD05DEC17	11985.30	11107.43	USD	-5,267.22	
	NET	0 AVG	BGHT 11985.30				1,198.20	0.00
08SEP17	B	1	PD08DEC17	11775.00	11109.74	USD	-3,991.56	
08SEP17	B	1	PD08DEC17	11745.00	11109.74	USD	-3,811.56	
08SEP17	B	1	PD08DEC17	11735.00	11109.74	USD	-3,751.56	
27SEP17	S	3	PD08DEC17	10220.94	11109.74	USD	-15,998.40	
	NET	0 AVG	BGHT 11751.67				-27,553.08	0.00
13SEP17	B	1	PD13DEC17	11350.00	11113.60	USD	-1,418.40	
13SEP17	B	1	PD13DEC17	11365.00	11113.60	USD	-1,508.40	
13SEP17	B	1	PD13DEC17	11375.00	11113.60	USD	-1,568.40	
27SEP17	S	3	PD13DEC17	10225.25	11113.60	USD	-15,990.30	
	NET	0 AVG	BGHT 11363.33				-20,485.50	0.00
15SEP17	B	1	PD15DEC17	11085.00	11115.14	USD	180.84	
15SEP17	B	4	PD15DEC17	10900.00	11115.14	USD	5,163.36	
15SEP17	B	5	PD15DEC17	10895.00	11115.14	USD	6,604.20	
27SEP17	S	10	PD15DEC17	10227.04	11115.14	USD	-53,286.00	
	NET	0 AVG	BGHT 10916.00				-41,337.60	0.00
20SEP17	S	1	PD20DEC17	11310.00	11119.00	USD	1,146.00	
20SEP17	S	2	PD20DEC17	11335.00	11119.00	USD	2,592.00	
20SEP17	S	1	PD20DEC17	11340.00	11119.00	USD	1,326.00	
20SEP17	S	1	PD20DEC17	11355.00	11119.00	USD	1,416.00	
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
20SEP17	S	1	PD20DEC17	11475.00	11119.00	USD	2,136.00	
20SEP17	S	3	PD20DEC17	11365.00	11119.00	USD	4,428.00	
20SEP17	S	2	PD20DEC17	11385.00	11119.00	USD	3,192.00	
27SEP17	B	12	PD20DEC17	10232.50	11119.00	USD	63,828.00	
	NET	0 AVG	BGHT 10232.50				81,630.00	0.00
21SEP17	S	1	PD21DEC17	11135.00	11119.73	USD	91.62	
21SEP17	S	1	PD21DEC17	11065.00	11119.73	USD	-328.38	
21SEP17	S	1	PD21DEC17	11075.00	11119.73	USD	-268.38	
21SEP17	S	1	PD21DEC17	11085.00	11119.73	USD	-208.38	
27SEP17	B	4	PD21DEC17	10233.50	11119.73	USD	21,269.52	
	NET	0 AVG	BGHT 10233.50				20,556.00	0.00
28SEP17	B	1	PD28DEC17	10285.00	11124.82	USD	5,038.92	
	NET	+1 AVG	BGHT 10285.00					0.00

OPEN POSITION STATEMENT



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SAP0045G

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
							5,038.92	
29SEP17	B	2	PD29DEC17	10425.00	11125.55	USD	8,406.60	
29SEP17	B	2	PD29DEC17	10430.00	11125.55	USD	8,346.60	
29SEP17	B	1	PD29DEC17	10420.00	11125.55	USD	4,233.30	
	NET	+5 AVG BGHT 10426.00					20,986.50	0.00
05OCT17	B	1	PD05JAN18	10645.00	11130.64	USD	2,913.84	
05OCT17	B	2	PD05JAN18	10655.00	11130.64	USD	5,707.68	
05OCT17	B	2	PD05JAN18	10620.00	11130.64	USD	6,127.68	
05OCT17	B	2	PD05JAN18	10640.00	11130.64	USD	5,887.68	
05OCT17	B	1	PD05JAN18	10650.00	11130.64	USD	2,883.84	
05OCT17	B	1	PD05JAN18	10660.00	11130.64	USD	2,823.84	
05OCT17	B	1	PD05JAN18	10525.00	11130.64	USD	3,633.84	
05OCT17	B	3	PD05JAN18	10535.00	11130.64	USD	10,721.52	
	NET	+13 AVG BGHT 10608.85					40,699.92	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD12OCT17	2835.00	3299.33	USD	23,216.50	
12JUL17	B	3	PD12OCT17	2817.50	3299.33	USD	36,137.25	
12JUL17	B	1	PD12OCT17	2818.00	3299.33	USD	12,033.25	
12JUL17	B	4	PD12OCT17	2819.00	3299.33	USD	48,033.00	
12JUL17	B	1	PD12OCT17	2819.50	3299.33	USD	11,995.75	
12JUL17	B	2	PD12OCT17	2820.50	3299.33	USD	23,941.50	
12JUL17	B	1	PD12OCT17	2821.00	3299.33	USD	11,958.25	
12JUL17	S	1	PD12OCT17	2831.00	3299.33	USD	-11,708.25	
12JUL17	S	4	PD12OCT17	2831.50	3299.33	USD	-46,783.00	
12JUL17	S	2	PD12OCT17	2836.50	3299.33	USD	-23,141.50	
12JUL17	S	2	PD12OCT17	2837.50	3299.33	USD	-23,091.50	
12JUL17	S	1	PD12OCT17	2839.50	3299.33	USD	-11,495.75	
12JUL17	B	1	PD12OCT17	2833.50	3299.33	USD	11,645.75	
12JUL17	S	3	PD12OCT17	2838.00	3299.33	USD	-34,599.75	
12JUL17	B	2	PD12OCT17	2835.50	3299.33	USD	23,191.50	
12JUL17	B	4	PD12OCT17	2836.00	3299.33	USD	46,333.00	
12JUL17	B	1	PD12OCT17	2838.00	3299.33	USD	11,533.25	
12JUL17	B	1	PD12OCT17	2839.00	3299.33	USD	11,508.25	
12JUL17	S	2	PD12OCT17	2835.00	3299.33	USD	-23,216.50	
12JUL17	S	3	PD12OCT17	2834.50	3299.33	USD	-34,862.25	
21JUL17	S	5	PD12OCT17	2754.66	3299.33	USD	-68,083.75	
	NET	0 AVG BGHT 2827.11 SOLD 2817.62					-5,455.00	0.00
13JUL17	B	1	PD13OCT17	2837.00	3295.00	USD	11,450.00	
13JUL17	B	1	PD13OCT17	2845.00	3295.00	USD	11,250.00	
13JUL17	S	1	PD13OCT17	2838.50	3295.00	USD	-11,412.50	
13JUL17	S	1	PD13OCT17	2844.00	3295.00	USD	-11,275.00	
14JUL17	B	1	PD13OCT17	2789.50	3295.00	USD	12,637.50	
14JUL17	B	1	PD13OCT17	2790.00	3295.00	USD	12,625.00	
14JUL17	B	1	PD13OCT17	2792.00	3295.00	USD	12,575.00	
14JUL17	B	1	PD13OCT17	2792.50	3295.00	USD	12,562.50	
14JUL17	B	1	PD13OCT17	2785.00	3295.00	USD	12,750.00	
14JUL17	B	1	PD13OCT17	2786.00	3295.00	USD	12,725.00	
14JUL17	B	2	PD13OCT17	2786.50	3295.00	USD	25,425.00	
14JUL17	B	1	PD13OCT17	2789.00	3295.00	USD	12,650.00	
14JUL17	B	9	PD13OCT17	2769.50	3295.00	USD	118,237.50	
14JUL17	B	5	PD13OCT17	2770.00	3295.00	USD	65,625.00	
14JUL17	B	1	PD13OCT17	2787.50	3295.00	USD	12,687.50	
14JUL17	B	2	PD13OCT17	2767.00	3295.00	USD	26,400.00	
14JUL17	B	1	PD13OCT17	2767.50	3295.00	USD	13,187.50	
14JUL17	B	1	PD13OCT17	2771.00	3295.00	USD	13,100.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	6	PD130CT17	2768.00	3295.00	USD	79,050.00	
14JUL17	B	1	PD130CT17	2754.00	3295.00	USD	13,525.00	
14JUL17	B	3	PD130CT17	2755.50	3295.00	USD	40,462.50	
14JUL17	B	4	PD130CT17	2754.50	3295.00	USD	54,050.00	
14JUL17	B	6	PD130CT17	2755.00	3295.00	USD	81,000.00	
14JUL17	B	3	PD130CT17	2756.00	3295.00	USD	40,425.00	
14JUL17	B	3	PD130CT17	2757.50	3295.00	USD	40,312.50	
14JUL17	B	1	PD130CT17	2760.50	3295.00	USD	13,362.50	
14JUL17	B	9	PD130CT17	2790.50	3295.00	USD	113,512.50	
14JUL17	S	1	PD130CT17	2791.00	3295.00	USD	-12,600.00	
14JUL17	B	4	PD130CT17	2785.50	3295.00	USD	50,950.00	
14JUL17	S	2	PD130CT17	2788.00	3295.00	USD	-25,350.00	
14JUL17	S	1	PD130CT17	2789.50	3295.00	USD	-12,637.50	
14JUL17	S	2	PD130CT17	2792.50	3295.00	USD	-25,125.00	
14JUL17	S	1	PD130CT17	2792.00	3295.00	USD	-12,575.00	
14JUL17	S	1	PD130CT17	2794.00	3295.00	USD	-12,525.00	
14JUL17	S	2	PD130CT17	2794.50	3295.00	USD	-25,025.00	
14JUL17	S	6	PD130CT17	2795.00	3295.00	USD	-75,000.00	
14JUL17	S	1	PD130CT17	2795.50	3295.00	USD	-12,487.50	
14JUL17	S	1	PD130CT17	2796.00	3295.00	USD	-12,475.00	
14JUL17	S	1	PD130CT17	2796.50	3295.00	USD	-12,462.50	
14JUL17	S	1	PD130CT17	2797.50	3295.00	USD	-12,437.50	
14JUL17	S	2	PD130CT17	2798.00	3295.00	USD	-24,850.00	
21JUL17	S	32	PD130CT17	2754.76	3295.00	USD	-432,192.00	
21JUL17	S	11	PD130CT17	2754.96	3295.00	USD	-148,511.00	
27JUL17	S	3	PD130CT17	2799.76	3295.00	USD	-37,143.00	
	NET	0AVG BGHT 2773.55	SOLD 2771.52				-3,546.00	0.00
17JUL17	B	4	PD170CT17	2820.00	3286.33	USD	46,633.00	
17JUL17	B	1	PD170CT17	2827.00	3286.33	USD	11,483.25	
17JUL17	B	1	PD170CT17	2827.50	3286.33	USD	11,470.75	
17JUL17	B	2	PD170CT17	2829.00	3286.33	USD	22,866.50	
17JUL17	B	2	PD170CT17	2825.00	3286.33	USD	23,066.50	
17JUL17	B	2	PD170CT17	2826.00	3286.33	USD	23,016.50	
17JUL17	S	1	PD170CT17	2825.00	3286.33	USD	-11,533.25	
17JUL17	S	1	PD170CT17	2816.50	3286.33	USD	-11,745.75	
17JUL17	B	3	PD170CT17	2819.00	3286.33	USD	35,049.75	
27JUL17	S	13	PD170CT17	2800.95	3286.33	USD	-157,748.50	
	NET	0AVG BGHT 2823.43	SOLD 2803.59				-7,441.25	0.00
18JUL17	B	1	PD180CT17	2790.00	3282.00	USD	12,300.00	
18JUL17	B	1	PD180CT17	2790.50	3282.00	USD	12,287.50	
18JUL17	B	1	PD180CT17	2791.00	3282.00	USD	12,275.00	
18JUL17	B	4	PD180CT17	2791.50	3282.00	USD	49,050.00	
18JUL17	B	2	PD180CT17	2792.00	3282.00	USD	24,500.00	
18JUL17	B	3	PD180CT17	2792.50	3282.00	USD	36,712.50	
18JUL17	B	1	PD180CT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	4	PD180CT17	2795.50	3282.00	USD	48,650.00	
18JUL17	B	1	PD180CT17	2796.00	3282.00	USD	12,150.00	
18JUL17	B	1	PD180CT17	2798.00	3282.00	USD	12,100.00	
18JUL17	B	1	PD180CT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B	2	PD180CT17	2778.50	3282.00	USD	25,175.00	
18JUL17	B	3	PD180CT17	2779.00	3282.00	USD	37,725.00	
18JUL17	B	1	PD180CT17	2780.00	3282.00	USD	12,550.00	
18JUL17	B	2	PD180CT17	2781.00	3282.00	USD	25,050.00	
18JUL17	B	2	PD180CT17	2793.50	3282.00	USD	24,425.00	
18JUL17	B	1	PD180CT17	2784.50	3282.00	USD	12,437.50	
18JUL17	S	1	PD180CT17	2784.50	3282.00	USD	-12,437.50	
18JUL17	S	1	PD180CT17	2788.50	3282.00	USD	-12,337.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD180CT17	2791.50	3282.00	USD	-24,525.00	
18JUL17	S	4	PD180CT17	2792.00	3282.00	USD	-49,000.00	
18JUL17	S	3	PD180CT17	2792.50	3282.00	USD	-36,712.50	
18JUL17	S	1	PD180CT17	2793.00	3282.00	USD	-12,225.00	
18JUL17	S	2	PD180CT17	2796.00	3282.00	USD	-24,300.00	
18JUL17	S	4	PD180CT17	2796.50	3282.00	USD	-48,550.00	
18JUL17	S	2	PD180CT17	2789.00	3282.00	USD	-24,650.00	
18JUL17	S	4	PD180CT17	2790.50	3282.00	USD	-49,150.00	
18JUL17	S	6	PD180CT17	2794.00	3282.00	USD	-73,200.00	
18JUL17	S	6	PD180CT17	2789.50	3282.00	USD	-73,875.00	
18JUL17	S	4	PD180CT17	2790.00	3282.00	USD	-49,200.00	
21JUL17	B	9	PD180CT17	2755.70	3282.00	USD	118,417.50	
	NET	0AVG BGHT 2781.36	SOLD 2791.84				10,480.00	0.00
19JUL17	S	4	PD190CT17	2779.50	3277.50	USD	-49,800.00	
19JUL17	S	1	PD190CT17	2788.50	3277.50	USD	-12,225.00	
19JUL17	S	1	PD190CT17	2789.00	3277.50	USD	-12,212.50	
19JUL17	S	4	PD190CT17	2789.50	3277.50	USD	-48,800.00	
19JUL17	S	3	PD190CT17	2790.50	3277.50	USD	-36,525.00	
19JUL17	S	3	PD190CT17	2796.00	3277.50	USD	-36,112.50	
19JUL17	S	1	PD190CT17	2796.50	3277.50	USD	-12,025.00	
19JUL17	B	1	PD190CT17	2794.00	3277.50	USD	12,087.50	
19JUL17	B	1	PD190CT17	2784.50	3277.50	USD	12,325.00	
19JUL17	S	1	PD190CT17	2781.50	3277.50	USD	-12,400.00	
19JUL17	B	1	PD190CT17	2774.50	3277.50	USD	12,575.00	
19JUL17	S	1	PD190CT17	2779.00	3277.50	USD	-12,462.50	
19JUL17	B	2	PD190CT17	2774.00	3277.50	USD	25,175.00	
19JUL17	B	2	PD190CT17	2773.00	3277.50	USD	25,225.00	
19JUL17	B	11	PD190CT17	2773.50	3277.50	USD	138,600.00	
19JUL17	B	6	PD190CT17	2767.00	3277.50	USD	76,575.00	
19JUL17	B	6	PD190CT17	2766.00	3277.50	USD	76,725.00	
19JUL17	B	2	PD190CT17	2738.00	3277.50	USD	26,975.00	
19JUL17	B	3	PD190CT17	2737.50	3277.50	USD	40,500.00	
27JUL17	S	16	PD190CT17	2800.13	3277.50	USD	-190,948.00	
	NET	0AVG BGHT 2766.91	SOLD 2793.49				23,252.00	0.00
20JUL17	S	1	PD200CT17	2755.00	3273.00	USD	-12,950.00	
20JUL17	S	1	PD200CT17	2757.50	3273.00	USD	-12,887.50	
20JUL17	S	2	PD200CT17	2758.00	3273.00	USD	-25,750.00	
20JUL17	B	1	PD200CT17	2740.50	3273.00	USD	13,312.50	
20JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
20JUL17	B	1	PD200CT17	2722.50	3273.00	USD	13,762.50	
20JUL17	S	1	PD200CT17	2722.50	3273.00	USD	-13,762.50	
20JUL17	B	1	PD200CT17	2725.50	3273.00	USD	13,687.50	
20JUL17	B	1	PD200CT17	2726.00	3273.00	USD	13,675.00	
21JUL17	S	3	PD200CT17	2740.50	3273.00	USD	-39,937.50	
21JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	3	PD200CT17	2749.00	3273.00	USD	-39,300.00	
21JUL17	S	1	PD200CT17	2747.50	3273.00	USD	-13,137.50	
21JUL17	S	1	PD200CT17	2752.50	3273.00	USD	-13,012.50	
21JUL17	S	2	PD200CT17	2754.00	3273.00	USD	-25,950.00	
21JUL17	S	1	PD200CT17	2758.50	3273.00	USD	-12,862.50	
21JUL17	S	2	PD200CT17	2759.50	3273.00	USD	-25,675.00	
21JUL17	B	1	PD200CT17	2765.50	3273.00	USD	12,687.50	
21JUL17	S	6	PD200CT17	2762.50	3273.00	USD	-76,575.00	
21JUL17	S	4	PD200CT17	2763.00	3273.00	USD	-51,000.00	
21JUL17	S	1	PD200CT17	2763.50	3273.00	USD	-12,737.50	
21JUL17	S	1	PD200CT17	2764.00	3273.00	USD	-12,725.00	
21JUL17	S	1	PD200CT17	2765.00	3273.00	USD	-12,700.00	

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21JUL17	S	3	PD200CT17	2740.00	3273.00	USD	-39,975.00	
21JUL17	S	3	PD200CT17	2753.00	3273.00	USD	-39,000.00	
21JUL17	B	2	PD200CT17	2753.70	3273.00	USD	25,965.00	
27JUL17	B	32	PD200CT17	2799.20	3273.00	USD	379,040.00	
	NET	0 AVG BGHT 2788.76	SOLD 2753.83				-34,057.50	0.00
24JUL17	B	1	PD240CT17	2779.00	3267.00	USD	12,200.00	
27JUL17	S	1	PD240CT17	2800.30	3267.00	USD	-11,667.50	
	NET	0 AVG BGHT 2779.00	SOLD 2800.30				532.50	0.00
25JUL17	S	1	PD250CT17	2814.00	3264.00	USD	-11,250.00	
25JUL17	S	1	PD250CT17	2816.50	3264.00	USD	-11,187.50	
25JUL17	S	2	PD250CT17	2817.00	3264.00	USD	-22,350.00	
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2819.00	3264.00	USD	-11,125.00	
25JUL17	S	6	PD250CT17	2823.00	3264.00	USD	-66,150.00	
25JUL17	S	1	PD250CT17	2825.00	3264.00	USD	-10,975.00	
25JUL17	S	2	PD250CT17	2826.50	3264.00	USD	-21,875.00	
25JUL17	S	1	PD250CT17	2827.50	3264.00	USD	-10,912.50	
25JUL17	S	4	PD250CT17	2828.00	3264.00	USD	-43,600.00	
25JUL17	B	1	PD250CT17	2815.00	3264.00	USD	11,225.00	
25JUL17	B	1	PD250CT17	2823.00	3264.00	USD	11,025.00	
25JUL17	B	1	PD250CT17	2824.00	3264.00	USD	11,000.00	
25JUL17	B	2	PD250CT17	2824.50	3264.00	USD	21,975.00	
31JUL17	B	11	PD250CT17	2793.50	3264.00	USD	129,387.50	
08AUG17	B	4	PD250CT17	2924.00	3264.00	USD	34,000.00	
	NET	0 AVG BGHT 2826.78	SOLD 2822.83				-1,975.00	0.00
26JUL17	B	1	PD260CT17	2838.50	3262.33	USD	10,595.75	
26JUL17	B	1	PD260CT17	2839.00	3262.33	USD	10,583.25	
26JUL17	B	1	PD260CT17	2845.00	3262.33	USD	10,433.25	
26JUL17	B	1	PD260CT17	2845.50	3262.33	USD	10,420.75	
26JUL17	B	1	PD260CT17	2849.00	3262.33	USD	10,333.25	
26JUL17	B	1	PD260CT17	2850.50	3262.33	USD	10,295.75	
26JUL17	B	1	PD260CT17	2851.00	3262.33	USD	10,283.25	
26JUL17	B	1	PD260CT17	2853.00	3262.33	USD	10,233.25	
26JUL17	S	1	PD260CT17	2821.00	3262.33	USD	-11,033.25	
26JUL17	B	1	PD260CT17	2803.00	3262.33	USD	11,483.25	
27JUL17	S	8	PD260CT17	2800.55	3262.33	USD	-92,356.00	
	NET	0 AVG BGHT 2841.61	SOLD 2802.82				-8,727.50	0.00
27JUL17	S	1	PD270CT17	2812.00	3260.67	USD	-11,216.75	
27JUL17	S	1	PD270CT17	2813.00	3260.67	USD	-11,191.75	
27JUL17	B	1	PD270CT17	2824.00	3260.67	USD	10,916.75	
27JUL17	S	1	PD270CT17	2818.00	3260.67	USD	-11,066.75	
27JUL17	S	1	PD270CT17	2821.50	3260.67	USD	-10,979.25	
27JUL17	S	2	PD270CT17	2822.00	3260.67	USD	-21,933.50	
27JUL17	S	1	PD270CT17	2824.00	3260.67	USD	-10,916.75	
27JUL17	S	2	PD270CT17	2832.00	3260.67	USD	-21,433.50	
27JUL17	B	1	PD270CT17	2821.50	3260.67	USD	10,979.25	
27JUL17	B	5	PD270CT17	2819.50	3260.67	USD	55,146.25	
27JUL17	B	1	PD270CT17	2800.50	3260.67	USD	11,504.25	
27JUL17	B	1	PD270CT17	2819.00	3260.67	USD	11,041.75	
27JUL17	B	1	PD270CT17	2789.00	3260.67	USD	11,791.75	
27JUL17	B	1	PD270CT17	2790.00	3260.67	USD	11,766.75	
27JUL17	B	2	PD270CT17	2792.00	3260.67	USD	23,433.50	
27JUL17	B	1	PD270CT17	2797.50	3260.67	USD	11,579.25	
27JUL17	S	1	PD270CT17	2797.50	3260.67	USD	-11,579.25	
27JUL17	B	2	PD270CT17	2795.00	3260.67	USD	23,283.50	
28JUL17	S	1	PD270CT17	2786.00	3260.67	USD	-11,866.75	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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28JUL17	S	1	PD27OCT17	2787.00	3260.67	USD	-11,841.75	
28JUL17	B	1	PD27OCT17	2776.50	3260.67	USD	12,104.25	
28JUL17	B	1	PD27OCT17	2782.00	3260.67	USD	11,966.75	
28JUL17	B	1	PD27OCT17	2784.00	3260.67	USD	11,916.75	
28JUL17	S	1	PD27OCT17	2781.50	3260.67	USD	-11,979.25	
28JUL17	B	1	PD27OCT17	2779.00	3260.67	USD	12,041.75	
28JUL17	B	1	PD27OCT17	2772.50	3260.67	USD	12,204.25	
28JUL17	B	1	PD27OCT17	2774.00	3260.67	USD	12,166.75	
28JUL17	B	5	PD27OCT17	2769.00	3260.67	USD	61,458.75	
28JUL17	B	2	PD27OCT17	2770.00	3260.67	USD	24,533.50	
28JUL17	B	6	PD27OCT17	2770.50	3260.67	USD	73,525.50	
28JUL17	S	1	PD27OCT17	2789.50	3260.67	USD	-11,779.25	
28JUL17	S	1	PD27OCT17	2791.00	3260.67	USD	-11,741.75	
28JUL17	S	2	PD27OCT17	2791.50	3260.67	USD	-23,458.50	
28JUL17	S	1	PD27OCT17	2799.00	3260.67	USD	-11,541.75	
28JUL17	S	1	PD27OCT17	2789.00	3260.67	USD	-11,791.75	
28JUL17	S	3	PD27OCT17	2792.00	3260.67	USD	-35,150.25	
28JUL17	S	1	PD27OCT17	2793.50	3260.67	USD	-11,679.25	
28JUL17	S	1	PD27OCT17	2794.00	3260.67	USD	-11,666.75	
28JUL17	S	5	PD27OCT17	2775.80	3260.67	USD	-60,608.75	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	B	1	PD27OCT17	2781.50	3260.67	USD	11,979.25	
28JUL17	B	1	PD27OCT17	2783.00	3260.67	USD	11,941.75	
28JUL17	B	2	PD27OCT17	2784.50	3260.67	USD	23,808.50	
31JUL17	S	11	PD27OCT17	2793.80	3260.67	USD	-128,389.25	
	NET	0AVG BGHT 2787.56	SOLD 2796.86				9,295.00	0.00
31JUL17	B	1	PD31OCT17	2804.00	3257.33	USD	11,333.25	
31JUL17	B	1	PD31OCT17	2815.50	3257.33	USD	11,045.75	
31JUL17	S	1	PD31OCT17	2815.00	3257.33	USD	-11,058.25	
31JUL17	S	1	PD31OCT17	2816.50	3257.33	USD	-11,020.75	
31JUL17	S	1	PD31OCT17	2817.00	3257.33	USD	-11,008.25	
31JUL17	S	1	PD31OCT17	2818.50	3257.33	USD	-10,970.75	
31JUL17	S	1	PD31OCT17	2820.00	3257.33	USD	-10,933.25	
31JUL17	S	1	PD31OCT17	2821.00	3257.33	USD	-10,908.25	
31JUL17	B	1	PD31OCT17	2806.00	3257.33	USD	11,283.25	
31JUL17	B	2	PD31OCT17	2809.00	3257.33	USD	22,416.50	
31JUL17	B	1	PD31OCT17	2800.00	3257.33	USD	11,433.25	
31JUL17	B	1	PD31OCT17	2802.00	3257.33	USD	11,383.25	
31JUL17	B	1	PD31OCT17	2798.00	3257.33	USD	11,483.25	
31JUL17	B	1	PD31OCT17	2803.00	3257.33	USD	11,358.25	
31JUL17	S	1	PD31OCT17	2805.00	3257.33	USD	-11,308.25	
31JUL17	S	1	PD31OCT17	2810.50	3257.33	USD	-11,170.75	
31JUL17	B	1	PD31OCT17	2807.50	3257.33	USD	11,245.75	
31JUL17	S	1	PD31OCT17	2804.00	3257.33	USD	-11,333.25	
31JUL17	S	1	PD31OCT17	2806.50	3257.33	USD	-11,270.75	
31JUL17	S	2	PD31OCT17	2807.50	3257.33	USD	-22,491.50	
08AUG17	B	2	PD31OCT17	2924.00	3257.33	USD	16,666.50	
	NET	0AVG BGHT 2825.17	SOLD 2812.42				-3,825.00	0.00
01AUG17	B	1	PD01NOV17	2771.50	3255.67	USD	12,104.25	
01AUG17	B	2	PD01NOV17	2772.00	3255.67	USD	24,183.50	
01AUG17	B	1	PD01NOV17	2769.50	3255.67	USD	12,154.25	
08AUG17	S	4	PD01NOV17	2923.97	3255.67	USD	-33,170.00	
	NET	0AVG BGHT 2771.25	SOLD 2923.97				15,272.00	0.00
02AUG17	B	1	PD02NOV17	2764.00	3254.00	USD	12,250.00	
02AUG17	B	1	PD02NOV17	2768.50	3254.00	USD	12,137.50	
02AUG17	B	1	PD02NOV17	2769.00	3254.00	USD	12,125.00	

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08AUG17	S	1	PD02NOV17	2924.33	3254.00	USD	-8,241.75	
08AUG17	S	2	PD02NOV17	2924.13	3254.00	USD	-16,493.50	
29SEP17	S	12	PD02NOV17	3170.05	3254.00	USD	-25,185.00	
29SEP17	S	1	PD02NOV17	3170.25	3254.00	USD	-2,093.75	
03OCT17	S	19	PD02NOV17	3273.50	3254.00	USD	9,262.50	
	NET	-32 AVG BGHT 2767.17	SOLD 3205.14				-6,239.00	0.00
03AUG17	S	2	PD03NOV17	2829.50	3252.89	USD	-21,169.50	
03AUG17	S	2	PD03NOV17	2820.00	3252.89	USD	-21,644.50	
03AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
03AUG17	S	1	PD03NOV17	2823.00	3252.89	USD	-10,747.25	
03AUG17	S	1	PD03NOV17	2823.50	3252.89	USD	-10,734.75	
03AUG17	S	1	PD03NOV17	2805.00	3252.89	USD	-11,197.25	
03AUG17	B	1	PD03NOV17	2792.00	3252.89	USD	11,522.25	
03AUG17	B	1	PD03NOV17	2792.50	3252.89	USD	11,509.75	
03AUG17	B	1	PD03NOV17	2793.50	3252.89	USD	11,484.75	
03AUG17	S	1	PD03NOV17	2792.50	3252.89	USD	-11,509.75	
03AUG17	S	1	PD03NOV17	2793.00	3252.89	USD	-11,497.25	
03AUG17	S	2	PD03NOV17	2793.50	3252.89	USD	-22,969.50	
04AUG17	S	1	PD03NOV17	2806.00	3252.89	USD	-11,172.25	
04AUG17	S	1	PD03NOV17	2816.00	3252.89	USD	-10,922.25	
04AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
04AUG17	S	1	PD03NOV17	2832.50	3252.89	USD	-10,509.75	
04AUG17	S	2	PD03NOV17	2833.50	3252.89	USD	-20,969.50	
04AUG17	S	2	PD03NOV17	2827.00	3252.89	USD	-21,294.50	
04AUG17	S	1	PD03NOV17	2828.00	3252.89	USD	-10,622.25	
08AUG17	B	18	PD03NOV17	2924.70	3252.89	USD	147,685.50	
	NET	0 AVG BGHT 2905.84	SOLD 2817.98				-46,127.50	0.00
07AUG17	S	1	PD07NOV17	2833.00	3250.67	USD	-10,441.75	
07AUG17	S	4	PD07NOV17	2832.00	3250.67	USD	-41,867.00	
07AUG17	S	1	PD07NOV17	2833.50	3250.67	USD	-10,429.25	
07AUG17	S	1	PD07NOV17	2871.50	3250.67	USD	-9,479.25	
07AUG17	S	1	PD07NOV17	2873.50	3250.67	USD	-9,429.25	
07AUG17	S	4	PD07NOV17	2873.00	3250.67	USD	-37,767.00	
07AUG17	S	3	PD07NOV17	2874.00	3250.67	USD	-28,250.25	
07AUG17	S	2	PD07NOV17	2874.50	3250.67	USD	-18,808.50	
07AUG17	S	4	PD07NOV17	2875.00	3250.67	USD	-37,567.00	
07AUG17	S	2	PD07NOV17	2882.00	3250.67	USD	-18,433.50	
07AUG17	S	1	PD07NOV17	2883.50	3250.67	USD	-9,179.25	
07AUG17	S	3	PD07NOV17	2831.00	3250.67	USD	-31,475.25	
07AUG17	S	4	PD07NOV17	2877.50	3250.67	USD	-37,317.00	
07AUG17	S	3	PD07NOV17	2884.50	3250.67	USD	-27,462.75	
07AUG17	S	4	PD07NOV17	2885.50	3250.67	USD	-36,517.00	
07AUG17	S	2	PD07NOV17	2887.50	3250.67	USD	-18,158.50	
07AUG17	S	2	PD07NOV17	2888.00	3250.67	USD	-18,133.50	
08AUG17	B	23	PD07NOV17	2925.10	3250.67	USD	187,202.75	
09AUG17	B	19	PD07NOV17	2931.31	3250.67	USD	151,696.00	
	NET	0 AVG BGHT 2927.91	SOLD 2869.04				-61,817.25	0.00
08AUG17	S	1	PD08NOV17	2871.50	3249.56	USD	-9,451.50	
08AUG17	S	1	PD08NOV17	2873.00	3249.56	USD	-9,414.00	
08AUG17	S	1	PD08NOV17	2875.50	3249.56	USD	-9,351.50	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	S	1	PD08NOV17	2887.00	3249.56	USD	-9,064.00	
08AUG17	S	1	PD08NOV17	2887.50	3249.56	USD	-9,051.50	
08AUG17	B	1	PD08NOV17	2882.00	3249.56	USD	9,189.00	
08AUG17	S	2	PD08NOV17	2880.00	3249.56	USD	-18,478.00	
08AUG17	S	1	PD08NOV17	2881.00	3249.56	USD	-9,214.00	

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09AUG17	B	8	PD08NOV17	2931.51	3249.56	USD	63,610.00	
	NET	0 AVG	BGHT 2926.01	SOLD 2879.72			-10,414.50	0.00
09AUG17	S	1	PD09NOV17	2965.00	3248.44	USD	-7,086.00	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	S	1	PD09NOV17	2969.00	3248.44	USD	-6,986.00	
09AUG17	S	3	PD09NOV17	2978.50	3248.44	USD	-20,245.50	
09AUG17	S	2	PD09NOV17	2979.00	3248.44	USD	-13,472.00	
09AUG17	S	5	PD09NOV17	2979.50	3248.44	USD	-33,617.50	
09AUG17	S	1	PD09NOV17	2980.50	3248.44	USD	-6,698.50	
09AUG17	S	1	PD09NOV17	2983.00	3248.44	USD	-6,636.00	
09AUG17	B	15	PD09NOV17	2931.70	3248.44	USD	118,777.50	
	NET	0 AVG	BGHT 2931.70	SOLD 2977.07			17,012.50	0.00
10AUG17	B	1	PD10NOV17	2914.50	3247.33	USD	8,320.75	
10AUG17	B	2	PD10NOV17	2917.50	3247.33	USD	16,491.50	
10AUG17	B	2	PD10NOV17	2939.50	3247.33	USD	15,391.50	
10AUG17	S	2	PD10NOV17	2939.00	3247.33	USD	-15,416.50	
11AUG17	B	2	PD10NOV17	2889.00	3247.33	USD	17,916.50	
11AUG17	B	2	PD10NOV17	2891.50	3247.33	USD	17,791.50	
11AUG17	B	2	PD10NOV17	2893.00	3247.33	USD	17,716.50	
11AUG17	B	3	PD10NOV17	2898.50	3247.33	USD	26,162.25	
11AUG17	B	3	PD10NOV17	2896.00	3247.33	USD	26,349.75	
11AUG17	B	5	PD10NOV17	2896.50	3247.33	USD	43,853.75	
11AUG17	S	2	PD10NOV17	2920.00	3247.33	USD	-16,366.50	
11AUG17	S	1	PD10NOV17	2920.50	3247.33	USD	-8,170.75	
11AUG17	S	2	PD10NOV17	2922.50	3247.33	USD	-16,241.50	
11AUG17	S	1	PD10NOV17	2925.50	3247.33	USD	-8,045.75	
11AUG17	S	1	PD10NOV17	2924.50	3247.33	USD	-8,070.75	
11AUG17	B	2	PD10NOV17	2908.00	3247.33	USD	16,966.50	
11AUG17	B	2	PD10NOV17	2904.50	3247.33	USD	17,141.50	
11AUG17	B	1	PD10NOV17	2894.00	3247.33	USD	8,833.25	
11AUG17	B	1	PD10NOV17	2895.00	3247.33	USD	8,808.25	
11AUG17	S	2	PD10NOV17	2906.00	3247.33	USD	-17,066.50	
18AUG17	S	17	PD10NOV17	3125.83	3247.33	USD	-51,637.50	
	NET	0 AVG	BGHT 2901.98	SOLD 3045.88			100,727.75	0.00
14AUG17	S	1	PD14NOV17	2911.00	3245.11	USD	-8,352.75	
14AUG17	S	2	PD14NOV17	2910.50	3245.11	USD	-16,730.50	
14AUG17	S	2	PD14NOV17	2914.00	3245.11	USD	-16,555.50	
14AUG17	B	2	PD14NOV17	2900.50	3245.11	USD	17,230.50	
14AUG17	S	1	PD14NOV17	2900.00	3245.11	USD	-8,627.75	
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
18AUG17	B	5	PD14NOV17	3126.57	3245.11	USD	14,817.50	
	NET	0 AVG	BGHT 3061.98	SOLD 2910.71			-26,471.25	0.00
16AUG17	S	4	PD16NOV17	2982.00	3241.50	USD	-25,950.00	
16AUG17	S	2	PD16NOV17	2984.00	3241.50	USD	-12,875.00	
16AUG17	S	2	PD16NOV17	3005.00	3241.50	USD	-11,825.00	
16AUG17	S	1	PD16NOV17	3007.00	3241.50	USD	-5,862.50	
16AUG17	S	1	PD16NOV17	3007.50	3241.50	USD	-5,850.00	
16AUG17	S	1	PD16NOV17	3013.00	3241.50	USD	-5,712.50	
16AUG17	S	1	PD16NOV17	3013.50	3241.50	USD	-5,700.00	
16AUG17	B	1	PD16NOV17	3016.00	3241.50	USD	5,637.50	
16AUG17	S	1	PD16NOV17	3015.00	3241.50	USD	-5,662.50	
16AUG17	B	1	PD16NOV17	3113.50	3241.50	USD	3,200.00	
16AUG17	S	1	PD16NOV17	3113.00	3241.50	USD	-3,212.50	
18AUG17	B	12	PD16NOV17	3124.70	3241.50	USD	35,040.00	
	NET	0 AVG	BGHT 3116.14	SOLD 3005.36			-38,772.50	0.00
17AUG17	B	1	PD17NOV17	3093.50	3239.00	USD	3,637.50	

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17AUG17	S	1	PD17NOV17	3083.00	3239.00	USD	-3,900.00	
	NET	0 AVG BGHT 3093.50	SOLD 3083.00				-262.50	0.00
23AUG17	B	1	PD24NOV17	3092.00	3235.00	USD	3,575.00	
24AUG17	S	1	PD24NOV17	3105.00	3235.00	USD	-3,250.00	
24AUG17	B	4	PD24NOV17	3108.00	3235.00	USD	12,700.00	
24AUG17	B	2	PD24NOV17	3108.50	3235.00	USD	6,325.00	
24AUG17	B	2	PD24NOV17	3110.00	3235.00	USD	6,250.00	
24AUG17	B	2	PD24NOV17	3111.50	3235.00	USD	6,175.00	
24AUG17	B	3	PD24NOV17	3112.00	3235.00	USD	9,225.00	
24AUG17	B	1	PD24NOV17	3111.00	3235.00	USD	3,100.00	
25AUG17	S	1	PD24NOV17	3129.00	3235.00	USD	-2,650.00	
25AUG17	S	3	PD24NOV17	3125.50	3235.00	USD	-8,212.50	
25AUG17	S	1	PD24NOV17	3126.00	3235.00	USD	-2,725.00	
25AUG17	S	1	PD24NOV17	3127.00	3235.00	USD	-2,700.00	
25AUG17	S	3	PD24NOV17	3129.50	3235.00	USD	-7,912.50	
25AUG17	S	4	PD24NOV17	3130.00	3235.00	USD	-10,500.00	
25AUG17	S	1	PD24NOV17	3130.50	3235.00	USD	-2,612.50	
25AUG17	B	1	PD24NOV17	3087.00	3235.00	USD	3,700.00	
25AUG17	B	2	PD24NOV17	3091.50	3235.00	USD	7,175.00	
25AUG17	S	1	PD24NOV17	3087.00	3235.00	USD	-3,700.00	
25AUG17	S	2	PD24NOV17	3087.50	3235.00	USD	-7,375.00	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
25AUG17	B	1	PD24NOV17	3069.50	3235.00	USD	4,137.50	
25AUG17	B	1	PD24NOV17	3075.50	3235.00	USD	3,987.50	
25AUG17	B	1	PD24NOV17	3076.00	3235.00	USD	3,975.00	
25AUG17	B	1	PD24NOV17	3076.50	3235.00	USD	3,962.50	
25AUG17	B	1	PD24NOV17	3077.00	3235.00	USD	3,950.00	
25AUG17	B	1	PD24NOV17	3054.00	3235.00	USD	4,525.00	
25AUG17	B	1	PD24NOV17	3055.50	3235.00	USD	4,487.50	
25AUG17	B	1	PD24NOV17	3063.50	3235.00	USD	4,287.50	
25AUG17	B	1	PD24NOV17	3065.50	3235.00	USD	4,237.50	
04SEP17	S	10	PD24NOV17	3203.75	3235.00	USD	-7,812.50	
	NET	0 AVG BGHT 3092.25	SOLD 3150.07				40,475.00	0.00
29AUG17	B	1	PD29NOV17	3111.00	3234.00	USD	3,075.00	
29AUG17	B	1	PD29NOV17	3106.50	3234.00	USD	3,187.50	
29AUG17	B	1	PD29NOV17	3112.00	3234.00	USD	3,050.00	
29AUG17	B	4	PD29NOV17	3109.00	3234.00	USD	12,500.00	
29AUG17	B	1	PD29NOV17	3114.50	3234.00	USD	2,987.50	
29AUG17	B	5	PD29NOV17	3120.00	3234.00	USD	14,250.00	
29AUG17	B	3	PD29NOV17	3085.00	3234.00	USD	11,175.00	
29AUG17	B	10	PD29NOV17	3089.00	3234.00	USD	36,250.00	
29AUG17	B	15	PD29NOV17	3090.00	3234.00	USD	54,000.00	
29AUG17	B	5	PD29NOV17	3092.50	3234.00	USD	17,687.50	
29AUG17	B	3	PD29NOV17	3108.50	3234.00	USD	9,412.50	
29AUG17	B	3	PD29NOV17	3109.50	3234.00	USD	9,337.50	
29AUG17	B	1	PD29NOV17	3113.50	3234.00	USD	3,012.50	
29AUG17	S	2	PD29NOV17	3111.50	3234.00	USD	-6,125.00	
29AUG17	B	5	PD29NOV17	3124.00	3234.00	USD	13,750.00	
29AUG17	S	30	PD29NOV17	3109.80	3234.00	USD	-93,150.00	
29AUG17	B	4	PD29NOV17	3110.50	3234.00	USD	12,350.00	
29AUG17	B	2	PD29NOV17	3113.00	3234.00	USD	6,050.00	
04SEP17	S	32	PD29NOV17	3204.38	3234.00	USD	-23,696.00	
	NET	0 AVG BGHT 3101.45	SOLD 3157.14				89,104.00	0.00
30AUG17	S	3	PD30NOV17	3126.00	3233.67	USD	-8,075.25	
30AUG17	S	2	PD30NOV17	3126.50	3233.67	USD	-5,358.50	
30AUG17	S	1	PD30NOV17	3127.00	3233.67	USD	-2,666.75	

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30AUG17	B	1	PD30NOV17	3150.00	3233.67	USD	2,091.75	
30AUG17	B	1	PD30NOV17	3151.00	3233.67	USD	2,066.75	
30AUG17	B	1	PD30NOV17	3151.50	3233.67	USD	2,054.25	
30AUG17	S	1	PD30NOV17	3137.00	3233.67	USD	-2,416.75	
30AUG17	S	2	PD30NOV17	3137.50	3233.67	USD	-4,808.50	
30AUG17	S	1	PD30NOV17	3143.50	3233.67	USD	-2,254.25	
30AUG17	S	2	PD30NOV17	3144.50	3233.67	USD	-4,458.50	
30AUG17	S	5	PD30NOV17	3145.00	3233.67	USD	-11,083.75	
30AUG17	S	1	PD30NOV17	3146.00	3233.67	USD	-2,191.75	
30AUG17	S	6	PD30NOV17	3146.50	3233.67	USD	-13,075.50	
30AUG17	S	1	PD30NOV17	3147.50	3233.67	USD	-2,154.25	
30AUG17	S	5	PD30NOV17	3148.00	3233.67	USD	-10,708.75	
30AUG17	S	3	PD30NOV17	3148.50	3233.67	USD	-6,387.75	
30AUG17	S	2	PD30NOV17	3149.00	3233.67	USD	-4,233.50	
30AUG17	S	2	PD30NOV17	3149.50	3233.67	USD	-4,208.50	
30AUG17	S	3	PD30NOV17	3150.00	3233.67	USD	-6,275.25	
30AUG17	S	3	PD30NOV17	3151.00	3233.67	USD	-6,200.25	
30AUG17	S	3	PD30NOV17	3151.50	3233.67	USD	-6,162.75	
30AUG17	B	1	PD30NOV17	3138.50	3233.67	USD	2,379.25	
30AUG17	B	1	PD30NOV17	3139.00	3233.67	USD	2,366.75	
30AUG17	B	1	PD30NOV17	3131.00	3233.67	USD	2,566.75	
30AUG17	B	2	PD30NOV17	3128.50	3233.67	USD	5,258.50	
30AUG17	B	1	PD30NOV17	3129.00	3233.67	USD	2,616.75	
30AUG17	B	2	PD30NOV17	3124.00	3233.67	USD	5,483.50	
30AUG17	B	1	PD30NOV17	3124.50	3233.67	USD	2,729.25	
30AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
30AUG17	B	2	PD30NOV17	3127.50	3233.67	USD	5,308.50	
30AUG17	B	1	PD30NOV17	3118.00	3233.67	USD	2,891.75	
30AUG17	B	1	PD30NOV17	3119.50	3233.67	USD	2,854.25	
30AUG17	B	2	PD30NOV17	3123.00	3233.67	USD	5,533.50	
30AUG17	S	5	PD30NOV17	3147.00	3233.67	USD	-10,833.75	
30AUG17	B	1	PD30NOV17	3114.50	3233.67	USD	2,979.25	
30AUG17	B	1	PD30NOV17	3115.00	3233.67	USD	2,966.75	
30AUG17	B	2	PD30NOV17	3119.00	3233.67	USD	5,733.50	
30AUG17	B	1	PD30NOV17	3103.50	3233.67	USD	3,254.25	
30AUG17	B	2	PD30NOV17	3106.00	3233.67	USD	6,383.50	
30AUG17	B	2	PD30NOV17	3106.50	3233.67	USD	6,358.50	
30AUG17	B	2	PD30NOV17	3101.00	3233.67	USD	6,633.50	
30AUG17	S	4	PD30NOV17	3112.50	3233.67	USD	-12,117.00	
30AUG17	S	2	PD30NOV17	3114.00	3233.67	USD	-5,983.50	
30AUG17	S	3	PD30NOV17	3110.50	3233.67	USD	-9,237.75	
30AUG17	S	2	PD30NOV17	3110.00	3233.67	USD	-6,183.50	
30AUG17	S	2	PD30NOV17	3111.50	3233.67	USD	-6,108.50	
30AUG17	S	1	PD30NOV17	3116.00	3233.67	USD	-2,941.75	
30AUG17	S	1	PD30NOV17	3117.00	3233.67	USD	-2,916.75	
30AUG17	S	1	PD30NOV17	3121.00	3233.67	USD	-2,816.75	
30AUG17	S	3	PD30NOV17	3108.00	3233.67	USD	-9,425.25	
30AUG17	B	2	PD30NOV17	3097.00	3233.67	USD	6,833.50	
30AUG17	B	2	PD30NOV17	3098.00	3233.67	USD	6,783.50	
30AUG17	B	2	PD30NOV17	3098.50	3233.67	USD	6,758.50	
30AUG17	B	2	PD30NOV17	3099.50	3233.67	USD	6,708.50	
30AUG17	B	2	PD30NOV17	3096.00	3233.67	USD	6,883.50	
30AUG17	B	2	PD30NOV17	3096.50	3233.67	USD	6,858.50	
30AUG17	S	1	PD30NOV17	3097.00	3233.67	USD	-3,416.75	
30AUG17	S	1	PD30NOV17	3090.00	3233.67	USD	-3,591.75	
30AUG17	S	1	PD30NOV17	3092.00	3233.67	USD	-3,541.75	
30AUG17	S	1	PD30NOV17	3092.50	3233.67	USD	-3,529.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3095.00	3233.67	USD	-3,466.75	
30AUG17	S	3	PD30NOV17	3094.00	3233.67	USD	-10,475.25	
31AUG17	S	4	PD30NOV17	3109.00	3233.67	USD	-12,467.00	
31AUG17	S	1	PD30NOV17	3105.50	3233.67	USD	-3,204.25	
31AUG17	S	1	PD30NOV17	3118.00	3233.67	USD	-2,891.75	
31AUG17	S	2	PD30NOV17	3133.50	3233.67	USD	-5,008.50	
31AUG17	S	4	PD30NOV17	3135.50	3233.67	USD	-9,817.00	
31AUG17	S	2	PD30NOV17	3136.00	3233.67	USD	-4,883.50	
31AUG17	B	1	PD30NOV17	3133.50	3233.67	USD	2,504.25	
31AUG17	S	2	PD30NOV17	3124.00	3233.67	USD	-5,483.50	
31AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
31AUG17	S	3	PD30NOV17	3125.50	3233.67	USD	-8,112.75	
31AUG17	S	2	PD30NOV17	3125.00	3233.67	USD	-5,433.50	
31AUG17	S	5	PD30NOV17	3126.00	3233.67	USD	-13,458.75	
31AUG17	S	2	PD30NOV17	3124.50	3233.67	USD	-5,458.50	
31AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
31AUG17	B	1	PD30NOV17	3134.00	3233.67	USD	2,491.75	
31AUG17	S	3	PD30NOV17	3126.50	3233.67	USD	-8,037.75	
01SEP17	B	21	PD30NOV17	3185.06	3233.67	USD	25,520.25	
04SEP17	B	44	PD30NOV17	3204.70	3233.67	USD	31,867.00	
	NET	0 AVG BGHT 3164.93	SOLD 3129.59				-97,176.50	0.00
01SEP17	S	1	PD01DEC17	3152.00	3233.33	USD	-2,033.25	
01SEP17	S	1	PD01DEC17	3155.00	3233.33	USD	-1,958.25	
01SEP17	S	3	PD01DEC17	3156.00	3233.33	USD	-5,799.75	
01SEP17	S	6	PD01DEC17	3157.50	3233.33	USD	-11,374.50	
01SEP17	S	6	PD01DEC17	3170.00	3233.33	USD	-9,499.50	
01SEP17	S	4	PD01DEC17	3156.50	3233.33	USD	-7,683.00	
01SEP17	S	2	PD01DEC17	3172.50	3233.33	USD	-3,041.50	
01SEP17	S	2	PD01DEC17	3181.00	3233.33	USD	-2,616.50	
01SEP17	S	2	PD01DEC17	3183.00	3233.33	USD	-2,516.50	
01SEP17	S	1	PD01DEC17	3182.00	3233.33	USD	-1,283.25	
01SEP17	S	1	PD01DEC17	3183.50	3233.33	USD	-1,245.75	
04SEP17	B	29	PD01DEC17	3204.83	3233.33	USD	20,662.50	
	NET	0 AVG BGHT 3204.83	SOLD 3165.67				-28,389.25	0.00
04SEP17	S	1	PD04DEC17	3199.00	3233.00	USD	-850.00	
04SEP17	S	1	PD04DEC17	3195.50	3233.00	USD	-937.50	
04SEP17	S	2	PD04DEC17	3196.00	3233.00	USD	-1,850.00	
04SEP17	S	2	PD04DEC17	3194.00	3233.00	USD	-1,950.00	
04SEP17	S	2	PD04DEC17	3195.00	3233.00	USD	-1,900.00	
12SEP17	B	8	PD04DEC17	3059.31	3233.00	USD	34,738.00	
	NET	0 AVG BGHT 3059.31	SOLD 3195.56				27,250.50	0.00
05SEP17	B	3	PD05DEC17	3189.00	3232.67	USD	3,275.25	
05SEP17	B	2	PD05DEC17	3189.50	3232.67	USD	2,158.50	
05SEP17	B	1	PD05DEC17	3151.50	3232.67	USD	2,029.25	
05SEP17	B	1	PD05DEC17	3158.00	3232.67	USD	1,866.75	
05SEP17	B	1	PD05DEC17	3150.50	3232.67	USD	2,054.25	
12SEP17	S	8	PD05DEC17	3059.32	3232.67	USD	-34,670.00	
	NET	0 AVG BGHT 3175.75	SOLD 3059.32				-23,286.00	0.00
06SEP17	B	1	PD06DEC17	3069.50	3232.33	USD	4,070.75	
06SEP17	B	1	PD06DEC17	3102.50	3232.33	USD	3,245.75	
06SEP17	B	3	PD06DEC17	3106.00	3232.33	USD	9,474.75	
06SEP17	B	1	PD06DEC17	3106.50	3232.33	USD	3,145.75	
06SEP17	B	2	PD06DEC17	3103.50	3232.33	USD	6,441.50	
06SEP17	B	1	PD06DEC17	3109.00	3232.33	USD	3,083.25	
06SEP17	B	1	PD06DEC17	3107.00	3232.33	USD	3,133.25	
12SEP17	S	10	PD06DEC17	3059.73	3232.33	USD	-43,150.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3101.95	SOLD 3059.73				-10,555.00	0.00
07SEP17	B	1 PD07DEC17		3104.50	3232.00	USD	3,187.50	
07SEP17	S	1 PD07DEC17		3101.50	3232.00	USD	-3,262.50	
07SEP17	S	3 PD07DEC17		3102.50	3232.00	USD	-9,712.50	
07SEP17	S	3 PD07DEC17		3103.00	3232.00	USD	-9,675.00	
07SEP17	S	2 PD07DEC17		3103.50	3232.00	USD	-6,425.00	
07SEP17	S	2 PD07DEC17		3104.00	3232.00	USD	-6,400.00	
07SEP17	B	1 PD07DEC17		3077.50	3232.00	USD	3,862.50	
07SEP17	B	2 PD07DEC17		3082.50	3232.00	USD	7,475.00	
07SEP17	B	1 PD07DEC17		3083.00	3232.00	USD	3,725.00	
07SEP17	S	2 PD07DEC17		3089.50	3232.00	USD	-7,125.00	
07SEP17	S	1 PD07DEC17		3089.00	3232.00	USD	-3,575.00	
07SEP17	S	1 PD07DEC17		3090.00	3232.00	USD	-3,550.00	
12SEP17	B	10 PD07DEC17		3060.14	3232.00	USD	42,965.00	
	NET	0 AVG BGHT 3068.76	SOLD 3099.40				11,490.00	0.00
08SEP17	B	1 PD08DEC17		3095.50	3231.67	USD	3,404.25	
08SEP17	B	1 PD08DEC17		3097.00	3231.67	USD	3,366.75	
08SEP17	B	1 PD08DEC17		3093.50	3231.67	USD	3,454.25	
08SEP17	B	2 PD08DEC17		3094.00	3231.67	USD	6,883.50	
08SEP17	B	1 PD08DEC17		3094.50	3231.67	USD	3,429.25	
08SEP17	B	1 PD08DEC17		3085.50	3231.67	USD	3,654.25	
08SEP17	B	1 PD08DEC17		3086.50	3231.67	USD	3,629.25	
08SEP17	B	1 PD08DEC17		3087.00	3231.67	USD	3,616.75	
08SEP17	B	1 PD08DEC17		3091.50	3231.67	USD	3,504.25	
08SEP17	B	1 PD08DEC17		3084.00	3231.67	USD	3,691.75	
08SEP17	B	1 PD08DEC17		3084.50	3231.67	USD	3,679.25	
08SEP17	B	2 PD08DEC17		3077.50	3231.67	USD	7,708.50	
08SEP17	B	1 PD08DEC17		3092.00	3231.67	USD	3,491.75	
12SEP17	S	5 PD08DEC17		3060.15	3231.67	USD	-21,440.00	
27SEP17	S	10 PD08DEC17		3108.27	3231.67	USD	-30,850.00	
	NET	0 AVG BGHT 3088.97	SOLD 3092.23				1,223.75	0.00
11SEP17	S	1 PD11DEC17		3054.50	3231.33	USD	-4,420.75	
11SEP17	S	1 PD11DEC17		3055.00	3231.33	USD	-4,408.25	
11SEP17	B	1 PD11DEC17		3058.00	3231.33	USD	4,333.25	
11SEP17	S	1 PD11DEC17		3054.00	3231.33	USD	-4,433.25	
11SEP17	S	1 PD11DEC17		3055.50	3231.33	USD	-4,395.75	
11SEP17	S	2 PD11DEC17		3056.50	3231.33	USD	-8,741.50	
12SEP17	B	5 PD11DEC17		3060.99	3231.33	USD	21,292.50	
	NET	0 AVG BGHT 3060.49	SOLD 3055.33				-773.75	0.00
12SEP17	B	1 PD12DEC17		3060.50	3231.00	USD	4,262.50	
12SEP17	B	1 PD12DEC17		3061.00	3231.00	USD	4,250.00	
27SEP17	S	2 PD12DEC17		3108.52	3231.00	USD	-6,124.00	
	NET	0 AVG BGHT 3060.75	SOLD 3108.52				2,388.50	0.00
13SEP17	S	1 PD13DEC17		3055.00	3230.67	USD	-4,391.75	
13SEP17	S	1 PD13DEC17		3059.00	3230.67	USD	-4,291.75	
13SEP17	S	2 PD13DEC17		3057.50	3230.67	USD	-8,658.50	
13SEP17	B	1 PD13DEC17		3031.50	3230.67	USD	4,979.25	
13SEP17	B	3 PD13DEC17		3032.50	3230.67	USD	14,862.75	
13SEP17	B	1 PD13DEC17		3033.50	3230.67	USD	4,929.25	
13SEP17	B	1 PD13DEC17		3035.00	3230.67	USD	4,891.75	
13SEP17	B	1 PD13DEC17		3035.50	3230.67	USD	4,879.25	
13SEP17	B	1 PD13DEC17		3037.00	3230.67	USD	4,841.75	
13SEP17	B	2 PD13DEC17		3032.00	3230.67	USD	9,933.50	
13SEP17	B	1 PD13DEC17		3025.00	3230.67	USD	5,141.75	
13SEP17	S	2 PD13DEC17		3042.00	3230.67	USD	-9,433.50	
13SEP17	S	2 PD13DEC17		3042.50	3230.67	USD	-9,408.50	

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27SEP17	S	3	PD13DEC17	3108.58	3230.67	USD	-9,156.75	
	NET	0 AVG BGHT 3032.64	SOLD 3065.79				9,118.50	0.00
14SEP17	S	1	PD14DEC17	3030.00	3230.33	USD	-5,008.25	
14SEP17	B	1	PD14DEC17	2990.00	3230.33	USD	6,008.25	
14SEP17	B	1	PD14DEC17	2979.50	3230.33	USD	6,270.75	
14SEP17	B	1	PD14DEC17	2980.00	3230.33	USD	6,258.25	
14SEP17	B	1	PD14DEC17	2983.50	3230.33	USD	6,170.75	
14SEP17	B	1	PD14DEC17	2985.00	3230.33	USD	6,133.25	
14SEP17	B	2	PD14DEC17	2986.00	3230.33	USD	12,216.50	
14SEP17	B	1	PD14DEC17	2986.50	3230.33	USD	6,095.75	
14SEP17	B	1	PD14DEC17	2987.50	3230.33	USD	6,070.75	
14SEP17	B	1	PD14DEC17	2988.00	3230.33	USD	6,058.25	
14SEP17	B	2	PD14DEC17	2990.50	3230.33	USD	11,991.50	
14SEP17	B	1	PD14DEC17	2989.00	3230.33	USD	6,033.25	
14SEP17	B	1	PD14DEC17	2989.50	3230.33	USD	6,020.75	
14SEP17	B	1	PD14DEC17	2992.00	3230.33	USD	5,958.25	
14SEP17	B	2	PD14DEC17	2998.00	3230.33	USD	11,616.50	
14SEP17	B	1	PD14DEC17	2998.50	3230.33	USD	5,795.75	
14SEP17	B	1	PD14DEC17	2999.50	3230.33	USD	5,770.75	
14SEP17	B	1	PD14DEC17	2991.00	3230.33	USD	5,983.25	
14SEP17	B	1	PD14DEC17	2991.50	3230.33	USD	5,970.75	
14SEP17	S	1	PD14DEC17	3014.00	3230.33	USD	-5,408.25	
14SEP17	S	1	PD14DEC17	3015.00	3230.33	USD	-5,383.25	
14SEP17	S	1	PD14DEC17	3017.00	3230.33	USD	-5,333.25	
27SEP17	S	17	PD14DEC17	3108.64	3230.33	USD	-51,718.25	
	NET	0 AVG BGHT 2989.52	SOLD 3091.57				53,572.00	0.00
15SEP17	S	1	PD15DEC17	3016.50	3230.00	USD	-5,337.50	
15SEP17	S	1	PD15DEC17	3014.00	3230.00	USD	-5,400.00	
15SEP17	S	1	PD15DEC17	3017.00	3230.00	USD	-5,325.00	
15SEP17	S	1	PD15DEC17	3018.50	3230.00	USD	-5,287.50	
15SEP17	S	1	PD15DEC17	3028.50	3230.00	USD	-5,037.50	
15SEP17	S	1	PD15DEC17	3029.50	3230.00	USD	-5,012.50	
15SEP17	S	1	PD15DEC17	3034.50	3230.00	USD	-4,887.50	
15SEP17	S	1	PD15DEC17	3045.00	3230.00	USD	-4,625.00	
15SEP17	S	1	PD15DEC17	3039.00	3230.00	USD	-4,775.00	
15SEP17	S	1	PD15DEC17	3035.50	3230.00	USD	-4,862.50	
15SEP17	S	1	PD15DEC17	3012.00	3230.00	USD	-5,450.00	
15SEP17	B	1	PD15DEC17	3026.50	3230.00	USD	5,087.50	
15SEP17	S	1	PD15DEC17	3024.00	3230.00	USD	-5,150.00	
15SEP17	S	2	PD15DEC17	3024.50	3230.00	USD	-10,275.00	
15SEP17	S	1	PD15DEC17	3025.00	3230.00	USD	-5,125.00	
15SEP17	S	1	PD15DEC17	3025.50	3230.00	USD	-5,112.50	
15SEP17	S	1	PD15DEC17	3026.00	3230.00	USD	-5,100.00	
15SEP17	S	1	PD15DEC17	3026.50	3230.00	USD	-5,087.50	
15SEP17	S	1	PD15DEC17	3027.00	3230.00	USD	-5,075.00	
15SEP17	S	3	PD15DEC17	3030.50	3230.00	USD	-14,962.50	
27SEP17	B	21	PD15DEC17	3108.90	3230.00	USD	63,577.50	
	NET	0 AVG BGHT 3105.15	SOLD 3026.57				-43,222.50	0.00
18SEP17	S	1	PD18DEC17	3064.00	3229.67	USD	-4,141.75	
18SEP17	S	2	PD18DEC17	3065.00	3229.67	USD	-8,233.50	
18SEP17	S	1	PD18DEC17	3067.00	3229.67	USD	-4,066.75	
18SEP17	S	1	PD18DEC17	3070.00	3229.67	USD	-3,991.75	
18SEP17	S	1	PD18DEC17	3072.50	3229.67	USD	-3,929.25	
18SEP17	S	1	PD18DEC17	3075.50	3229.67	USD	-3,854.25	
18SEP17	S	1	PD18DEC17	3076.50	3229.67	USD	-3,829.25	
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	2	PD18DEC17	3066.50	3229.67	USD	-8,158.50	
18SEP17	S	1	PD18DEC17	3088.50	3229.67	USD	-3,529.25	
18SEP17	S	1	PD18DEC17	3089.00	3229.67	USD	-3,516.75	
18SEP17	B	1	PD18DEC17	3070.00	3229.67	USD	3,991.75	
18SEP17	B	1	PD18DEC17	3072.00	3229.67	USD	3,941.75	
18SEP17	S	1	PD18DEC17	3075.00	3229.67	USD	-3,866.75	
18SEP17	S	1	PD18DEC17	3077.50	3229.67	USD	-3,804.25	
18SEP17	S	2	PD18DEC17	3078.50	3229.67	USD	-7,558.50	
18SEP17	B	4	PD18DEC17	3096.50	3229.67	USD	13,317.00	
27SEP17	B	11	PD18DEC17	3109.08	3229.67	USD	33,162.25	
	NET	0 AVG BGHT 3101.64	SOLD 3073.03				-12,159.50	0.00
20SEP17	S	1	PD20DEC17	3146.50	3229.00	USD	-2,062.50	
20SEP17	S	1	PD20DEC17	3147.00	3229.00	USD	-2,050.00	
20SEP17	S	1	PD20DEC17	3148.50	3229.00	USD	-2,012.50	
20SEP17	S	1	PD20DEC17	3149.50	3229.00	USD	-1,987.50	
20SEP17	B	1	PD20DEC17	3136.00	3229.00	USD	2,325.00	
20SEP17	B	2	PD20DEC17	3136.50	3229.00	USD	4,625.00	
20SEP17	B	1	PD20DEC17	3138.00	3229.00	USD	2,275.00	
	NET	0 AVG BGHT 3136.75	SOLD 3147.88				1,112.50	0.00
21SEP17	B	1	PD21DEC17	3044.50	3225.00	USD	4,512.50	
21SEP17	B	1	PD21DEC17	3028.00	3225.00	USD	4,925.00	
21SEP17	B	2	PD21DEC17	3028.50	3225.00	USD	9,825.00	
27SEP17	S	4	PD21DEC17	3106.50	3225.00	USD	-11,850.00	
	NET	0 AVG BGHT 3032.38	SOLD 3106.50				7,412.50	0.00
22SEP17	S	1	PD22DEC17	3036.50	3224.50	USD	-4,700.00	
22SEP17	S	1	PD22DEC17	3036.00	3224.50	USD	-4,712.50	
22SEP17	S	2	PD22DEC17	3035.00	3224.50	USD	-9,475.00	
22SEP17	S	2	PD22DEC17	3026.50	3224.50	USD	-9,900.00	
22SEP17	S	1	PD22DEC17	3027.00	3224.50	USD	-4,937.50	
22SEP17	S	1	PD22DEC17	3024.50	3224.50	USD	-5,000.00	
22SEP17	B	2	PD22DEC17	3065.00	3224.50	USD	7,975.00	
22SEP17	B	2	PD22DEC17	3066.00	3224.50	USD	7,925.00	
27SEP17	B	4	PD22DEC17	3105.95	3224.50	USD	11,855.00	
	NET	0 AVG BGHT 3085.73	SOLD 3030.88				-10,970.00	0.00
25SEP17	S	1	PD27DEC17	3087.50	3224.00	USD	-3,412.50	
25SEP17	S	2	PD27DEC17	3089.50	3224.00	USD	-6,725.00	
25SEP17	S	1	PD27DEC17	3093.00	3224.00	USD	-3,275.00	
25SEP17	S	1	PD27DEC17	3094.00	3224.00	USD	-3,250.00	
25SEP17	S	2	PD27DEC17	3084.00	3224.00	USD	-7,000.00	
25SEP17	S	3	PD27DEC17	3085.00	3224.00	USD	-10,425.00	
25SEP17	S	1	PD27DEC17	3085.50	3224.00	USD	-3,462.50	
25SEP17	S	1	PD27DEC17	3086.00	3224.00	USD	-3,450.00	
25SEP17	S	2	PD27DEC17	3087.00	3224.00	USD	-6,850.00	
25SEP17	S	1	PD27DEC17	3082.50	3224.00	USD	-3,537.50	
25SEP17	S	1	PD27DEC17	3095.00	3224.00	USD	-3,225.00	
25SEP17	S	3	PD27DEC17	3105.00	3224.00	USD	-8,925.00	
25SEP17	S	1	PD27DEC17	3106.00	3224.00	USD	-2,950.00	
25SEP17	B	6	PD27DEC17	3111.39	3224.00	USD	16,891.50	
25SEP17	B	14	PD27DEC17	3096.70	3224.00	USD	44,555.00	
26SEP17	S	1	PD27DEC17	3126.50	3224.00	USD	-2,437.50	
26SEP17	S	1	PD27DEC17	3129.00	3224.00	USD	-2,375.00	
26SEP17	S	1	PD27DEC17	3132.00	3224.00	USD	-2,300.00	
26SEP17	S	1	PD27DEC17	3132.50	3224.00	USD	-2,287.50	
26SEP17	B	1	PD27DEC17	3129.00	3224.00	USD	2,375.00	
26SEP17	B	1	PD27DEC17	3129.50	3224.00	USD	2,362.50	
26SEP17	B	1	PD27DEC17	3130.00	3224.00	USD	2,350.00	

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26SEP17	S	1	PD27DEC17	3128.00	3224.00	USD	-2,400.00	
26SEP17	S	2	PD27DEC17	3143.00	3224.00	USD	-4,050.00	
26SEP17	S	1	PD27DEC17	3144.50	3224.00	USD	-1,987.50	
26SEP17	S	1	PD27DEC17	3146.50	3224.00	USD	-1,937.50	
26SEP17	B	2	PD27DEC17	3138.00	3224.00	USD	4,300.00	
26SEP17	B	1	PD27DEC17	3138.50	3224.00	USD	2,137.50	
26SEP17	B	1	PD27DEC17	3142.00	3224.00	USD	2,050.00	
26SEP17	B	1	PD27DEC17	3144.50	3224.00	USD	1,987.50	
26SEP17	B	1	PD27DEC17	3140.00	3224.00	USD	2,100.00	
26SEP17	B	1	PD27DEC17	3117.00	3224.00	USD	2,675.00	
26SEP17	S	1	PD27DEC17	3109.50	3224.00	USD	-2,862.50	
26SEP17	B	1	PD27DEC17	3107.00	3224.00	USD	2,925.00	
26SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
26SEP17	S	1	PD27DEC17	3130.50	3224.00	USD	-2,337.50	
26SEP17	S	1	PD27DEC17	3131.50	3224.00	USD	-2,312.50	
27SEP17	B	1	PD27DEC17	3137.00	3224.00	USD	2,175.00	
27SEP17	S	2	PD27DEC17	3143.50	3224.00	USD	-4,025.00	
27SEP17	S	1	PD27DEC17	3145.00	3224.00	USD	-1,975.00	
27SEP17	B	1	PD27DEC17	3135.50	3224.00	USD	2,212.50	
27SEP17	B	1	PD27DEC17	3136.00	3224.00	USD	2,200.00	
27SEP17	B	1	PD27DEC17	3137.50	3224.00	USD	2,162.50	
27SEP17	S	1	PD27DEC17	3144.50	3224.00	USD	-1,987.50	
27SEP17	B	2	PD27DEC17	3095.00	3224.00	USD	6,450.00	
27SEP17	B	1	PD27DEC17	3096.00	3224.00	USD	3,200.00	
27SEP17	B	1	PD27DEC17	3097.00	3224.00	USD	3,175.00	
27SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
27SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
27SEP17	B	1	PD27DEC17	3103.00	3224.00	USD	3,025.00	
27SEP17	S	1	PD27DEC17	3116.50	3224.00	USD	-2,687.50	
27SEP17	S	1	PD27DEC17	3117.00	3224.00	USD	-2,675.00	
27SEP17	S	1	PD27DEC17	3118.00	3224.00	USD	-2,650.00	
27SEP17	S	1	PD27DEC17	3118.50	3224.00	USD	-2,637.50	
27SEP17	S	1	PD27DEC17	3120.50	3224.00	USD	-2,587.50	
27SEP17	S	1	PD27DEC17	3121.50	3224.00	USD	-2,562.50	
27SEP17	S	1	PD27DEC17	3122.00	3224.00	USD	-2,550.00	
27SEP17	S	1	PD27DEC17	3123.00	3224.00	USD	-2,525.00	
27SEP17	B	1	PD27DEC17	3115.50	3224.00	USD	2,712.50	
	NET	0 AVG BGHT 3111.91	SOLD 3112.51				659.00	0.00
28SEP17	S	1	PD28DEC17	3132.00	3223.50	USD	-2,287.50	
29SEP17	B	1	PD28DEC17	3162.39	3223.50	USD	1,527.75	
	NET	0 AVG BGHT 3162.39	SOLD 3132.00				-759.75	0.00
29SEP17	S	1	PD29DEC17	3148.50	3223.00	USD	-1,862.50	
29SEP17	B	2	PD29DEC17	3146.50	3223.00	USD	3,825.00	
29SEP17	B	3	PD29DEC17	3147.00	3223.00	USD	5,700.00	
29SEP17	B	1	PD29DEC17	3149.00	3223.00	USD	1,850.00	
29SEP17	B	1	PD29DEC17	3148.00	3223.00	USD	1,875.00	
29SEP17	B	1	PD29DEC17	3149.50	3223.00	USD	1,837.50	
29SEP17	S	1	PD29DEC17	3148.00	3223.00	USD	-1,875.00	
29SEP17	B	4	PD29DEC17	3146.00	3223.00	USD	7,700.00	
29SEP17	S	3	PD29DEC17	3149.00	3223.00	USD	-5,550.00	
29SEP17	S	1	PD29DEC17	3159.50	3223.00	USD	-1,587.50	
29SEP17	S	2	PD29DEC17	3161.00	3223.00	USD	-3,100.00	
29SEP17	S	1	PD29DEC17	3161.50	3223.00	USD	-1,537.50	
29SEP17	S	1	PD29DEC17	3162.00	3223.00	USD	-1,525.00	
29SEP17	S	1	PD29DEC17	3162.50	3223.00	USD	-1,512.50	
29SEP17	S	1	PD29DEC17	3163.50	3223.00	USD	-1,487.50	
	NET	0 AVG BGHT 3147.04	SOLD 3156.21					0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						2,750.00	
030CT17	S	2	PD03JAN18	3277.00	3222.00	USD	2,750.00	
030CT17	S	6	PD03JAN18	3278.00	3222.00	USD	8,400.00	
030CT17	S	1	PD03JAN18	3279.00	3222.00	USD	1,425.00	
030CT17	S	1	PD03JAN18	3280.00	3222.00	USD	1,450.00	
030CT17	S	1	PD03JAN18	3280.50	3222.00	USD	1,462.50	
030CT17	S	1	PD03JAN18	3282.50	3222.00	USD	1,512.50	
030CT17	S	2	PD03JAN18	3281.00	3222.00	USD	2,950.00	
030CT17	S	2	PD03JAN18	3276.50	3222.00	USD	2,725.00	
030CT17	S	3	PD03JAN18	3282.00	3222.00	USD	4,500.00	
030CT17	B	19	PD03JAN18	3264.20	3222.00	USD	-20,045.00	
	NET	0 AVG BGHT 3264.20	SOLD 3279.21				7,130.00	0.00
090CT17	S	2	PD09JAN18	3269.50	3220.00	USD	2,475.00	
090CT17	S	1	PD09JAN18	3270.00	3220.00	USD	1,250.00	
090CT17	S	1	PD09JAN18	3268.50	3220.00	USD	1,212.50	
090CT17	S	1	PD09JAN18	3267.50	3220.00	USD	1,187.50	
090CT17	S	2	PD09JAN18	3269.00	3220.00	USD	2,450.00	
090CT17	S	1	PD09JAN18	3263.00	3220.00	USD	1,075.00	
090CT17	S	2	PD09JAN18	3263.50	3220.00	USD	2,175.00	
090CT17	S	1	PD09JAN18	3264.50	3220.00	USD	1,112.50	
090CT17	S	1	PD09JAN18	3267.00	3220.00	USD	1,175.00	
090CT17	B	2	PD09JAN18	3233.50	3220.00	USD	-675.00	
	NET	-10 AVG BGHT 3233.50	SOLD 3267.04				13,437.50	0.00
100CT17	S	1	PD10JAN18	3254.00	3219.50	USD	862.50	
100CT17	S	1	PD10JAN18	3277.00	3219.50	USD	1,437.50	
100CT17	S	1	PD10JAN18	3280.50	3219.50	USD	1,525.00	
100CT17	S	1	PD10JAN18	3283.00	3219.50	USD	1,587.50	
100CT17	B	1	PD10JAN18	3278.50	3219.50	USD	-1,475.00	
100CT17	B	1	PD10JAN18	3279.00	3219.50	USD	-1,487.50	
100CT17	B	1	PD10JAN18	3280.50	3219.50	USD	-1,525.00	
100CT17	B	2	PD10JAN18	3289.00	3219.50	USD	-3,475.00	
100CT17	B	2	PD10JAN18	3290.50	3219.50	USD	-3,550.00	
100CT17	B	5	PD10JAN18	3290.00	3219.50	USD	-8,812.50	
	NET	+8 AVG BGHT 3287.25	SOLD 3273.63				-14,912.50	0.00
110CT17	B	1	PD11JAN18	3253.00	3219.00	USD	-850.00	
110CT17	S	1	PD11JAN18	3233.00	3219.00	USD	350.00	
110CT17	S	1	PD11JAN18	3231.50	3219.00	USD	312.50	
110CT17	B	1	PD11JAN18	3201.50	3219.00	USD	437.50	
110CT17	B	2	PD11JAN18	3214.50	3219.00	USD	225.00	
	NET	+2 AVG BGHT 3220.88	SOLD 3232.25				475.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-26,889.00
LME Copper Grade A 25	FUTURES	112.50
LME Lead 25 tonnes. This is an	FUTURES	2,711,460.25
LME Nickel 6 tonnes. This is	FUTURES	-1,198,343.10
LME Zinc 25 tonnes. This is	FUTURES	2,550,184.00

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-26,889.00	-26,889.00		
COPL	- Futures	USD	112.50	112.50		
LEAD	- Futures	USD	-165,048.50	-165,048.50		
NKL	- Futures	USD	70,362.24	70,362.24		
ZINC	- Futures	USD	-53,166.00	-53,166.00	-174,628.76	-174,628.76

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		174,074.91	174,074.91
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		174,074.91	174,074.91
Initial Margins		-700,521.76	-700,521.76
Excess/(Shortage)		-526,446.85	-526,446.85
FOR INFORMATION ONLY			
Forward Unrealised P&L		-174,628.76	-174,628.76
Net Liquidating Value		-553.85	-553.85

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	2	PD19OCT17	6007.50	6760.88	USD	37,669.00	
19JUL17	B	1	PD19OCT17	6006.50	6760.88	USD	18,859.50	
19JUL17	B	1	PD19OCT17	6007.00	6760.88	USD	18,847.00	
19JUL17	B	1	PD19OCT17	6008.00	6760.88	USD	18,822.00	
19JUL17	S	2	PD19OCT17	5967.00	6760.88	USD	-39,694.00	
19JUL17	S	3	PD19OCT17	5967.50	6760.88	USD	-59,503.50	
	NET	0 AVG	BGHT 6007.30	SOLD 5967.30			-5,000.00	0.00
21JUL17	B	2	PD20OCT17	6027.00	6761.75	USD	36,737.50	
21JUL17	B	1	PD20OCT17	6028.50	6761.75	USD	18,331.25	
21JUL17	B	2	PD20OCT17	6030.00	6761.75	USD	36,587.50	
21JUL17	B	1	PD20OCT17	6034.00	6761.75	USD	18,193.75	
21JUL17	B	2	PD20OCT17	6034.50	6761.75	USD	36,362.50	
21JUL17	B	1	PD20OCT17	6036.00	6761.75	USD	18,143.75	
21JUL17	B	1	PD20OCT17	6037.50	6761.75	USD	18,106.25	
21JUL17	B	1	PD20OCT17	6004.00	6761.75	USD	18,943.75	
21JUL17	S	2	PD20OCT17	5999.00	6761.75	USD	-38,137.50	
21JUL17	S	2	PD20OCT17	5999.50	6761.75	USD	-38,112.50	
21JUL17	S	1	PD20OCT17	6000.00	6761.75	USD	-19,043.75	
21JUL17	S	2	PD20OCT17	6001.50	6761.75	USD	-38,012.50	
21JUL17	S	1	PD20OCT17	6002.00	6761.75	USD	-18,993.75	
21JUL17	S	2	PD20OCT17	6003.00	6761.75	USD	-37,937.50	
21JUL17	S	1	PD20OCT17	6003.50	6761.75	USD	-18,956.25	
	NET	0 AVG	BGHT 6029.36	SOLD 6001.05			-7,787.50	0.00
25JUL17	B	5	PD25OCT17	6182.00	6765.68	USD	72,960.00	
25JUL17	B	1	PD25OCT17	6194.50	6765.68	USD	14,279.50	
25JUL17	B	2	PD25OCT17	6199.00	6765.68	USD	28,334.00	
25JUL17	B	2	PD25OCT17	6200.00	6765.68	USD	28,284.00	
31JUL17	S	10	PD25OCT17	6366.75	6765.68	USD	-99,732.50	
	NET	0 AVG	BGHT 6190.25	SOLD 6366.75			44,125.00	0.00
26JUL17	B	1	PD26OCT17	6305.50	6766.11	USD	11,515.25	
26JUL17	B	2	PD26OCT17	6306.50	6766.11	USD	22,980.50	
26JUL17	B	2	PD26OCT17	6308.50	6766.11	USD	22,880.50	
26JUL17	S	1	PD26OCT17	6329.50	6766.11	USD	-10,915.25	
26JUL17	S	1	PD26OCT17	6331.50	6766.11	USD	-10,865.25	
26JUL17	S	1	PD26OCT17	6332.00	6766.11	USD	-10,852.75	
26JUL17	S	1	PD26OCT17	6332.50	6766.11	USD	-10,840.25	
26JUL17	S	1	PD26OCT17	6333.00	6766.11	USD	-10,827.75	
26JUL17	S	2	PD26OCT17	6340.50	6766.11	USD	-21,280.50	
26JUL17	S	1	PD26OCT17	6334.50	6766.11	USD	-10,790.25	
26JUL17	S	2	PD26OCT17	6331.00	6766.11	USD	-21,755.50	
31JUL17	B	5	PD26OCT17	6367.45	6766.11	USD	49,832.50	
	NET	0 AVG	BGHT 6337.28	SOLD 6333.60			-918.75	0.00
28JUL17	S	1	PD27OCT17	6325.00	6766.54	USD	-11,038.50	
28JUL17	S	2	PD27OCT17	6326.50	6766.54	USD	-22,002.00	
28JUL17	S	2	PD27OCT17	6328.00	6766.54	USD	-21,927.00	
31JUL17	B	5	PD27OCT17	6367.95	6766.54	USD	49,823.75	
	NET	0 AVG	BGHT 6367.95	SOLD 6326.80			-5,143.75	0.00
01AUG17	B	1	PD01NOV17	6334.50	6769.18	USD	10,867.00	
01AUG17	B	2	PD01NOV17	6335.00	6769.18	USD	21,709.00	
01AUG17	B	1	PD01NOV17	6336.00	6769.18	USD	10,829.50	
01AUG17	B	1	PD01NOV17	6336.50	6769.18	USD	10,817.00	
29AUG17	S	5	PD01NOV17	6784.76	6769.18	USD	1,947.50	
	NET	0 AVG	BGHT 6335.40	SOLD 6784.76			56,170.00	0.00
02AUG17	S	1	PD02NOV17	6331.50	6770.10	USD	-10,965.00	
02AUG17	S	1	PD02NOV17	6337.50	6770.10	USD	-10,815.00	
02AUG17	S	1	PD02NOV17	6340.00	6770.10	USD	-10,752.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6340.50	6770.10	USD	-10,740.00	
02AUG17	S	1	PD02NOV17	6342.50	6770.10	USD	-10,690.00	
02AUG17	B	1	PD02NOV17	6337.00	6770.10	USD	10,827.50	
02AUG17	B	4	PD02NOV17	6337.50	6770.10	USD	43,260.00	
	NET	0 AVG	BGHT 6337.40	SOLD 6338.40			125.00	0.00
04AUG17	S	1	PD03NOV17	6357.50	6771.03	USD	-10,338.25	
04AUG17	S	3	PD03NOV17	6359.00	6771.03	USD	-30,902.25	
04AUG17	S	1	PD03NOV17	6358.50	6771.03	USD	-10,313.25	
29AUG17	B	5	PD03NOV17	6785.92	6771.03	USD	-1,861.25	
	NET	0 AVG	BGHT 6785.92	SOLD 6358.60			-53,415.00	0.00
07AUG17	S	1	PD07NOV17	6415.00	6774.73	USD	-8,993.25	
07AUG17	S	1	PD07NOV17	6418.00	6774.73	USD	-8,918.25	
07AUG17	S	4	PD07NOV17	6415.50	6774.73	USD	-35,923.00	
29AUG17	B	6	PD07NOV17	6787.64	6774.73	USD	-1,936.50	
	NET	0 AVG	BGHT 6787.64	SOLD 6415.83			-55,771.00	0.00
08AUG17	S	1	PD08NOV17	6391.50	6775.65	USD	-9,603.75	
08AUG17	B	1	PD08NOV17	6384.50	6775.65	USD	9,778.75	
08AUG17	B	1	PD08NOV17	6394.00	6775.65	USD	9,541.25	
08AUG17	B	1	PD08NOV17	6396.00	6775.65	USD	9,491.25	
08AUG17	B	1	PD08NOV17	6397.00	6775.65	USD	9,466.25	
08AUG17	B	1	PD08NOV17	6397.50	6775.65	USD	9,453.75	
08AUG17	B	1	PD08NOV17	6398.50	6775.65	USD	9,428.75	
08AUG17	B	1	PD08NOV17	6400.00	6775.65	USD	9,391.25	
08AUG17	B	2	PD08NOV17	6483.50	6775.65	USD	14,607.50	
08AUG17	B	1	PD08NOV17	6484.50	6775.65	USD	7,278.75	
08AUG17	B	2	PD08NOV17	6480.00	6775.65	USD	14,782.50	
29AUG17	S	6	PD08NOV17	6787.92	6775.65	USD	1,840.50	
29AUG17	S	5	PD08NOV17	6788.12	6775.65	USD	1,558.75	
	NET	0 AVG	BGHT 6431.58	SOLD 6754.97			97,015.50	0.00
09AUG17	B	1	PD09NOV17	6490.00	6776.58	USD	7,164.50	
09AUG17	B	1	PD09NOV17	6490.50	6776.58	USD	7,152.00	
09AUG17	B	2	PD09NOV17	6493.00	6776.58	USD	14,179.00	
09AUG17	B	1	PD09NOV17	6494.50	6776.58	USD	7,052.00	
09AUG17	S	1	PD09NOV17	6482.00	6776.58	USD	-7,364.50	
09AUG17	S	2	PD09NOV17	6482.50	6776.58	USD	-14,704.00	
09AUG17	S	1	PD09NOV17	6483.00	6776.58	USD	-7,339.50	
09AUG17	S	1	PD09NOV17	6484.00	6776.58	USD	-7,314.50	
	NET	0 AVG	BGHT 6492.20	SOLD 6482.80			-1,175.00	0.00
11AUG17	S	2	PD10NOV17	6351.00	6777.50	USD	-21,325.00	
11AUG17	S	2	PD10NOV17	6353.50	6777.50	USD	-21,200.00	
11AUG17	S	1	PD10NOV17	6362.00	6777.50	USD	-10,387.50	
29AUG17	B	5	PD10NOV17	6789.28	6777.50	USD	-1,472.50	
	NET	0 AVG	BGHT 6789.28	SOLD 6354.20			-54,385.00	0.00
21AUG17	B	4	PD21NOV17	6570.50	6778.92	USD	20,842.00	
21AUG17	B	1	PD21NOV17	6577.00	6778.92	USD	5,048.00	
29AUG17	S	5	PD21NOV17	6789.96	6778.92	USD	1,380.00	
	NET	0 AVG	BGHT 6571.80	SOLD 6789.96			27,270.00	0.00
22AUG17	B	3	PD22NOV17	6620.00	6779.45	USD	11,958.75	
22AUG17	B	2	PD22NOV17	6620.50	6779.45	USD	7,947.50	
29AUG17	S	5	PD22NOV17	6790.15	6779.45	USD	1,337.50	
	NET	0 AVG	BGHT 6620.20	SOLD 6790.15			21,243.75	0.00
23AUG17	S	1	PD24NOV17	6566.00	6780.52	USD	-5,363.00	
23AUG17	S	1	PD24NOV17	6565.50	6780.52	USD	-5,375.50	
23AUG17	S	2	PD24NOV17	6566.50	6780.52	USD	-10,701.00	
23AUG17	S	1	PD24NOV17	6571.00	6780.52	USD	-5,238.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	6580.50	6780.52	USD	-5,000.50	
23AUG17	S	1	PD24NOV17	6582.00	6780.52	USD	-4,963.00	
23AUG17	S	1	PD24NOV17	6582.50	6780.52	USD	-4,950.50	
23AUG17	S	1	PD24NOV17	6584.50	6780.52	USD	-4,900.50	
23AUG17	S	1	PD24NOV17	6585.50	6780.52	USD	-4,875.50	
29AUG17	B	10	PD24NOV17	6790.74	6780.52	USD	-2,555.00	
	NET	0 AVG BGHT	6790.74	SOLD	6575.05		-53,922.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
8,430.75

MARKET REVALUATION BREAKDOWN

	Amount	Profit / Loss	Total Amount	Total P&L
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	8,430.75	8,430.75	8,430.75	8,430.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-8,430.75	-8,430.75
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-8,430.75	-8,430.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-8,430.75	-8,430.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	8,430.75	8,430.75
Net Liquidating Value	0.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	B	1	PD02NOV17	1920.50	2111.13	USD	4,765.75	
02AUG17	B	3	PD02NOV17	1921.50	2111.13	USD	14,222.25	
02AUG17	S	1	PD02NOV17	1922.00	2111.13	USD	-4,728.25	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	B	4	PD02NOV17	1925.00	2111.13	USD	18,613.00	
13SEP17	S	2	PD02NOV17	2097.04	2111.13	USD	-704.50	
18SEP17	S	4	PD02NOV17	2074.41	2111.13	USD	-3,672.00	
	NET	0 AVG	BGHT 1923.13	SOLD 2042.09			23,793.00	0.00
07AUG17	B	4	PD07NOV17	1917.00	2112.69	USD	19,569.00	
07AUG17	S	1	PD07NOV17	1924.50	2112.69	USD	-4,704.75	
07AUG17	S	3	PD07NOV17	1925.00	2112.69	USD	-14,076.75	
	NET	0 AVG	BGHT 1917.00	SOLD 1924.88			787.50	0.00
11SEP17	S	2	PD11DEC17	2101.00	2124.28	USD	-1,164.00	
11SEP17	B	1	PD11DEC17	2117.50	2124.28	USD	169.50	
11SEP17	S	1	PD11DEC17	2117.50	2124.28	USD	-169.50	
13SEP17	B	2	PD11DEC17	2109.66	2124.28	USD	731.00	
	NET	0 AVG	BGHT 2112.27	SOLD 2106.50			-433.00	0.00
13SEP17	S	1	PD13DEC17	2131.50	2124.90	USD	165.00	
18SEP17	B	1	PD13DEC17	2088.22	2124.90	USD	917.00	
	NET	0 AVG	BGHT 2088.22	SOLD 2131.50			1,082.00	0.00
14SEP17	B	1	PD14DEC17	2109.50	2125.21	USD	392.75	
18SEP17	S	1	PD14DEC17	2088.32	2125.21	USD	-922.25	
	NET	0 AVG	BGHT 2109.50	SOLD 2088.32			-529.50	0.00
15SEP17	S	1	PD15DEC17	2088.00	2125.51	USD	-937.75	
15SEP17	S	1	PD15DEC17	2091.00	2125.51	USD	-862.75	
15SEP17	S	1	PD15DEC17	2096.50	2125.51	USD	-725.25	
15SEP17	S	1	PD15DEC17	2079.50	2125.51	USD	-1,150.25	
18SEP17	B	4	PD15DEC17	2088.81	2125.51	USD	3,670.00	
	NET	0 AVG	BGHT 2088.81	SOLD 2088.75			-6.00	0.00
18SEP17	B	1	PD18DEC17	2099.50	2126.44	USD	673.50	
18SEP17	B	1	PD18DEC17	2092.50	2126.44	USD	848.50	
22SEP17	S	2	PD18DEC17	2158.71	2126.44	USD	1,613.50	
	NET	0 AVG	BGHT 2096.00	SOLD 2158.71			3,135.50	0.00
19SEP17	S	1	PD19DEC17	2124.50	2126.75	USD	-56.25	
19SEP17	S	1	PD19DEC17	2124.50	2126.75	USD	-56.25	
22SEP17	B	2	PD19DEC17	2159.20	2126.75	USD	-1,622.50	
	NET	0 AVG	BGHT 2159.20	SOLD 2124.50			-1,735.00	0.00
20SEP17	B	1	PD20DEC17	2126.00	2126.75	USD	18.75	
20SEP17	B	1	PD20DEC17	2127.50	2126.75	USD	-18.75	
20SEP17	B	1	PD20DEC17	2158.00	2126.75	USD	-781.25	
20SEP17	B	1	PD20DEC17	2156.00	2126.75	USD	-731.25	
20SEP17	B	1	PD20DEC17	2160.00	2126.75	USD	-831.25	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	S	1	PD20DEC17	2168.50	2126.75	USD	1,043.75	
20SEP17	S	1	PD20DEC17	2165.00	2126.75	USD	956.25	
20SEP17	S	1	PD20DEC17	2181.50	2126.75	USD	1,368.75	
22SEP17	S	3	PD20DEC17	2158.75	2126.75	USD	2,400.00	
	NET	0 AVG	BGHT 2148.42	SOLD 2165.21			2,518.75	0.00
21SEP17	S	1	PD21DEC17	2177.00	2126.75	USD	1,256.25	
21SEP17	S	1	PD21DEC17	2161.50	2126.75	USD	868.75	
21SEP17	S	1	PD21DEC17	2179.00	2126.75	USD	1,306.25	
21SEP17	B	1	PD21DEC17	2192.50	2126.75	USD	-1,643.75	
21SEP17	S	1	PD21DEC17	2166.00	2126.75	USD	981.25	
21SEP17	S	1	PD21DEC17	2156.50	2126.75	USD	743.75	
21SEP17	S	1	PD21DEC17	2146.00	2126.75	USD	481.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22SEP17	B	3	PD21DEC17	2157.95	2126.75	USD	-2,340.00	
28SEP17	B	1	PD21DEC17	2129.03	2126.75	USD	-57.00	
	NET	-1 AVG	BGHT 2159.08				1,596.75	0.00
22SEP17	B	1	PD22DEC17	2140.00	2126.75	USD	-331.25	
22SEP17	S	1	PD22DEC17	2122.50	2126.75	USD	-106.25	
22SEP17	B	1	PD22DEC17	2122.00	2126.75	USD	118.75	
22SEP17	S	1	PD22DEC17	2152.00	2126.75	USD	631.25	
	NET	0 AVG	BGHT 2131.00				312.50	0.00
25SEP17	B	1	PD27DEC17	2141.00	2127.29	USD	-342.75	
26SEP17	B	1	PD27DEC17	2127.00	2127.29	USD	7.25	
27SEP17	S	1	PD27DEC17	2138.00	2127.29	USD	267.75	
27SEP17	B	1	PD27DEC17	2128.00	2127.29	USD	-17.75	
27SEP17	S	1	PD27DEC17	2126.00	2127.29	USD	-32.25	
28SEP17	S	1	PD27DEC17	2130.52	2127.29	USD	80.75	
	NET	0 AVG	BGHT 2132.00				-37.00	0.00
09OCT17	B	1	PD09JAN18	2154.50	2129.62	USD	-622.00	
	NET	+1 AVG	BGHT 2154.50				-622.00	0.00
11OCT17	S	1	PD11JAN18	2154.00	2130.00	USD	600.00	
11OCT17	B	1	PD11JAN18	2133.50	2130.00	USD	-87.50	
	NET	0 AVG	BGHT 2133.50				512.50	0.00
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20JUL17	B	1	PD20OCT17	5985.00	6761.75	USD	19,418.75	
21JUL17	S	1	PD20OCT17	6015.00	6761.75	USD	-18,668.75	
	NET	0 AVG	BGHT 5985.00				750.00	0.00
24JUL17	S	1	PD24OCT17	6025.50	6765.25	USD	-18,493.75	
18AUG17	B	1	PD24OCT17	6477.58	6765.25	USD	7,191.75	
	NET	0 AVG	BGHT 6477.58				-11,302.00	0.00
25JUL17	S	1	PD25OCT17	6212.50	6765.68	USD	-13,829.50	
18AUG17	B	1	PD25OCT17	6478.17	6765.68	USD	7,187.75	
	NET	0 AVG	BGHT 6478.17				-6,641.75	0.00
27JUL17	B	1	PD27OCT17	6354.50	6766.54	USD	10,301.00	
27JUL17	S	1	PD27OCT17	6342.00	6766.54	USD	-10,613.50	
	NET	0 AVG	BGHT 6354.50				-312.50	0.00
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	S	1	PD01NOV17	6350.50	6769.18	USD	-10,467.00	
	NET	0 AVG	BGHT 6350.50				0.00	0.00
08AUG17	B	1	PD08NOV17	6442.00	6775.65	USD	8,341.25	
08AUG17	B	1	PD08NOV17	6449.00	6775.65	USD	8,166.25	
18AUG17	S	2	PD08NOV17	6485.55	6775.65	USD	-14,505.00	
	NET	0 AVG	BGHT 6445.50				2,002.50	0.00
21AUG17	B	1	PD21NOV17	6599.00	6778.92	USD	4,498.00	
21AUG17	B	1	PD21NOV17	6609.00	6778.92	USD	4,248.00	
08SEP17	S	2	PD21NOV17	6685.68	6778.92	USD	-4,662.00	
	NET	0 AVG	BGHT 6604.00				4,084.00	0.00
24AUG17	S	2	PD24NOV17	6628.00	6780.52	USD	-7,626.00	
08SEP17	B	2	PD24NOV17	6687.34	6780.52	USD	4,659.00	
	NET	0 AVG	BGHT 6687.34				-2,967.00	0.00
06SEP17	B	1	PD06DEC17	6877.00	6786.75	USD	-2,256.25	
06SEP17	B	1	PD06DEC17	6879.00	6786.75	USD	-2,306.25	
06SEP17	B	1	PD06DEC17	6878.50	6786.75	USD	-2,293.75	
06SEP17	B	1	PD06DEC17	6881.00	6786.75	USD	-2,356.25	
06SEP17	S	1	PD06DEC17	6912.00	6786.75	USD	3,131.25	

OPEN POSITION STATEMENT



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SAP0045I

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6914.00	6786.75	USD	3,181.25	
06SEP17	S	1	PD06DEC17	6911.50	6786.75	USD	3,118.75	
06SEP17	S	1	PD06DEC17	6909.00	6786.75	USD	3,056.25	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	B	1	PD06DEC17	6873.50	6786.75	USD	-2,168.75	
06SEP17	B	1	PD06DEC17	6890.00	6786.75	USD	-2,581.25	
06SEP17	B	1	PD06DEC17	6891.00	6786.75	USD	-2,606.25	
06SEP17	B	1	PD06DEC17	6894.00	6786.75	USD	-2,681.25	
06SEP17	B	1	PD06DEC17	6897.00	6786.75	USD	-2,756.25	
06SEP17	B	1	PD06DEC17	6896.00	6786.75	USD	-2,731.25	
06SEP17	B	1	PD06DEC17	6888.50	6786.75	USD	-2,543.75	
06SEP17	B	1	PD06DEC17	6889.50	6786.75	USD	-2,568.75	
06SEP17	B	1	PD06DEC17	6876.00	6786.75	USD	-2,231.25	
08SEP17	S	8	PD06DEC17	6692.67	6786.75	USD	-18,816.00	
	NET	0AVG BGHT 6885.46	SOLD 6776.84				-35,303.50	0.00
07SEP17	S	1	PD07DEC17	6877.50	6787.23	USD	2,256.75	
07SEP17	S	1	PD07DEC17	6875.00	6787.23	USD	2,194.25	
07SEP17	S	3	PD07DEC17	6875.50	6787.23	USD	6,620.25	
07SEP17	S	1	PD07DEC17	6879.00	6787.23	USD	2,294.25	
07SEP17	S	1	PD07DEC17	6859.50	6787.23	USD	1,806.75	
07SEP17	B	1	PD07DEC17	6898.00	6787.23	USD	-2,769.25	
07SEP17	B	2	PD07DEC17	6896.00	6787.23	USD	-5,438.50	
07SEP17	B	2	PD07DEC17	6889.50	6787.23	USD	-5,113.50	
07SEP17	B	1	PD07DEC17	6886.50	6787.23	USD	-2,481.75	
07SEP17	S	1	PD07DEC17	6878.50	6787.23	USD	2,281.75	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	
07SEP17	S	1	PD07DEC17	6872.50	6787.23	USD	2,131.75	
07SEP17	S	1	PD07DEC17	6870.00	6787.23	USD	2,069.25	
07SEP17	S	1	PD07DEC17	6871.50	6787.23	USD	2,106.75	
07SEP17	S	2	PD07DEC17	6876.00	6787.23	USD	4,438.50	
07SEP17	S	1	PD07DEC17	6881.00	6787.23	USD	2,344.25	
07SEP17	S	1	PD07DEC17	6885.00	6787.23	USD	2,444.25	
07SEP17	B	1	PD07DEC17	6898.00	6787.23	USD	-2,769.25	
07SEP17	B	1	PD07DEC17	6895.00	6787.23	USD	-2,694.25	
08SEP17	B	8	PD07DEC17	6693.03	6787.23	USD	18,840.00	
	NET	0AVG BGHT 6793.30	SOLD 6875.38				32,831.50	0.00
08SEP17	B	2	PD08DEC17	6929.00	6787.71	USD	-7,064.50	
08SEP17	B	1	PD08DEC17	6947.50	6787.71	USD	-3,994.75	
08SEP17	S	1	PD08DEC17	6933.00	6787.71	USD	3,632.25	
08SEP17	S	1	PD08DEC17	6928.50	6787.71	USD	3,519.75	
08SEP17	S	1	PD08DEC17	6927.00	6787.71	USD	3,482.25	
	NET	0AVG BGHT 6935.17	SOLD 6929.50				-425.00	0.00
13SEP17	B	1	PD13DEC17	6682.50	6790.10	USD	2,690.00	
13SEP17	B	1	PD13DEC17	6630.00	6790.10	USD	4,002.50	
13SEP17	B	1	PD13DEC17	6562.50	6790.10	USD	5,690.00	
13SEP17	B	1	PD13DEC17	6562.00	6790.10	USD	5,702.50	
13SEP17	B	1	PD13DEC17	6569.00	6790.10	USD	5,527.50	
13SEP17	B	1	PD13DEC17	6570.50	6790.10	USD	5,490.00	
13SEP17	B	1	PD13DEC17	6571.00	6790.10	USD	5,477.50	
13SEP17	B	2	PD13DEC17	6571.50	6790.10	USD	10,930.00	
22SEP17	S	4	PD13DEC17	6456.25	6790.10	USD	-33,385.00	
28SEP17	S	4	PD13DEC17	6516.73	6790.10	USD	-27,337.00	
	NET	+1AVG BGHT 6587.83	SOLD 6486.49				-15,212.00	0.00
15SEP17	S	1	PD15DEC17	6478.50	6791.06	USD	-7,814.00	
15SEP17	S	1	PD15DEC17	6467.50	6791.06	USD	-8,089.00	
15SEP17	B	1	PD15DEC17	6512.00	6791.06	USD	6,976.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
15SEP17	B 1 PD15DEC17	6511.50	6791.06	USD	6,989.00	
	NET 0AVG BGHT 6511.75 SOLD 6473.00				-1,937.50	0.00
18SEP17	S 1 PD18DEC17	6540.00	6792.50	USD	-6,312.50	
18SEP17	S 1 PD18DEC17	6563.00	6792.50	USD	-5,737.50	
18SEP17	S 1 PD18DEC17	6550.00	6792.50	USD	-6,062.50	
22SEP17	B 3 PD18DEC17	6458.53	6792.50	USD	25,047.75	
	NET 0AVG BGHT 6458.53 SOLD 6551.00				6,935.25	0.00
20SEP17	S 1 PD20DEC17	6548.00	6792.50	USD	-6,112.50	
22SEP17	B 1 PD20DEC17	6458.95	6792.50	USD	8,338.75	
	NET 0AVG BGHT 6458.95 SOLD 6548.00				2,226.25	0.00
21SEP17	S 1 PD21DEC17	6464.00	6791.50	USD	-8,187.50	
21SEP17	B 1 PD21DEC17	6435.00	6791.50	USD	8,912.50	
21SEP17	B 1 PD21DEC17	6473.50	6791.50	USD	7,950.00	
21SEP17	S 1 PD21DEC17	6426.50	6791.50	USD	-9,125.00	
	NET 0AVG BGHT 6454.25 SOLD 6445.25				-450.00	0.00
22SEP17	B 1 PD22DEC17	6439.50	6790.50	USD	8,775.00	
22SEP17	S 1 PD22DEC17	6400.50	6790.50	USD	-9,750.00	
22SEP17	S 2 PD22DEC17	6372.50	6790.50	USD	-20,900.00	
22SEP17	B 1 PD22DEC17	6390.00	6790.50	USD	10,012.50	
22SEP17	S 1 PD22DEC17	6390.50	6790.50	USD	-10,000.00	
22SEP17	B 1 PD22DEC17	6465.50	6790.50	USD	8,125.00	
28SEP17	B 1 PD22DEC17	6518.20	6790.50	USD	6,807.50	
	NET 0AVG BGHT 6453.30 SOLD 6384.00				-6,930.00	0.00
25SEP17	S 1 PD27DEC17	6478.00	6793.00	USD	-7,875.00	
26SEP17	S 1 PD27DEC17	6473.00	6793.00	USD	-8,000.00	
26SEP17	B 1 PD27DEC17	6408.50	6793.00	USD	9,612.50	
26SEP17	B 1 PD27DEC17	6410.00	6793.00	USD	9,575.00	
26SEP17	B 1 PD27DEC17	6410.50	6793.00	USD	9,562.50	
26SEP17	B 1 PD27DEC17	6418.50	6793.00	USD	9,362.50	
26SEP17	B 1 PD27DEC17	6417.50	6793.00	USD	9,387.50	
27SEP17	S 1 PD27DEC17	6436.50	6793.00	USD	-8,912.50	
27SEP17	S 1 PD27DEC17	6428.00	6793.00	USD	-9,125.00	
27SEP17	S 1 PD27DEC17	6439.50	6793.00	USD	-8,837.50	
27SEP17	S 1 PD27DEC17	6441.00	6793.00	USD	-8,800.00	
27SEP17	S 1 PD27DEC17	6444.50	6793.00	USD	-8,712.50	
27SEP17	S 1 PD27DEC17	6442.00	6793.00	USD	-8,775.00	
28SEP17	B 3 PD27DEC17	6521.53	6793.00	USD	20,360.25	
	NET 0AVG BGHT 6453.70 SOLD 6447.81				-1,177.25	0.00
28SEP17	S 1 PD28DEC17	6408.50	6793.47	USD	-9,624.25	
28SEP17	B 1 PD28DEC17	6479.00	6793.47	USD	7,861.75	
	NET 0AVG BGHT 6479.00 SOLD 6408.50				-1,762.50	0.00
29SEP17	S 1 PD29DEC17	6535.00	6793.93	USD	-6,473.25	
	NET -1AVG SOLD 6535.00				-6,473.25	0.00
10OCT17	B 1 PD10JAN18	6748.00	6799.53	USD	1,288.25	
10OCT17	B 1 PD10JAN18	6759.50	6799.53	USD	1,000.75	
10OCT17	B 1 PD10JAN18	6744.00	6799.53	USD	1,388.25	
10OCT17	B 1 PD10JAN18	6740.00	6799.53	USD	1,488.25	
	NET +4AVG BGHT 6747.88				5,165.50	0.00
11OCT17	B 1 PD11JAN18	6742.00	6800.00	USD	1,450.00	
11OCT17	S 1 PD11JAN18	6742.00	6800.00	USD	-1,450.00	
11OCT17	B 1 PD11JAN18	6726.50	6800.00	USD	1,837.50	
11OCT17	B 1 PD11JAN18	6726.00	6800.00	USD	1,850.00	
11OCT17	B 2 PD11JAN18	6731.00	6800.00	USD	3,450.00	
11OCT17	B 1 PD11JAN18	6737.50	6800.00	USD	1,562.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	6760.00	6800.00	USD	-1,000.00	
11OCT17	S	1	PD11JAN18	6756.50	6800.00	USD	-1,087.50	
11OCT17	B	1	PD11JAN18	6752.00	6800.00	USD	1,200.00	
11OCT17	B	1	PD11JAN18	6770.50	6800.00	USD	737.50	
11OCT17	B	1	PD11JAN18	6764.50	6800.00	USD	887.50	
11OCT17	B	1	PD11JAN18	6766.00	6800.00	USD	850.00	
11OCT17	B	1	PD11JAN18	6771.50	6800.00	USD	712.50	
11OCT17	S	1	PD11JAN18	6786.00	6800.00	USD	-350.00	
11OCT17	S	1	PD11JAN18	6771.50	6800.00	USD	-712.50	
11OCT17	B	1	PD11JAN18	6779.50	6800.00	USD	512.50	
11OCT17	B	1	PD11JAN18	6797.00	6800.00	USD	75.00	
11OCT17	S	1	PD11JAN18	6796.00	6800.00	USD	-100.00	
	NET	+7 AVG BGHT	6753.46	SOLD	6768.67		10,425.00	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	2	DEC17	1282.4	1288.9	USD	1,300.00	
09OCT17	B	2	DEC17	1283.8	1288.9	USD	1,020.00	
09OCT17	B	1	DEC17	1283.2	1288.9	USD	570.00	
10OCT17	B	2	DEC17	1293.3	1288.9	USD	-880.00	
	NET	+7 AVG BGHT	1286.0				2,010.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	2297.00	2563.00	USD	6,650.00	
14SEP17	B	1	PD14DEC17	2308.00	2563.00	USD	6,375.00	
14SEP17	S	1	PD14DEC17	2304.50	2563.00	USD	-6,462.50	
18SEP17	S	1	PD14DEC17	2388.94	2563.00	USD	-4,351.50	
	NET	0 AVG BGHT	2302.50	SOLD	2346.72		2,211.00	0.00
15SEP17	S	1	PD15DEC17	2323.50	2563.25	USD	-5,993.75	
15SEP17	S	1	PD15DEC17	2347.50	2563.25	USD	-5,393.75	
15SEP17	B	1	PD15DEC17	2341.50	2563.25	USD	5,543.75	
15SEP17	S	1	PD15DEC17	2359.00	2563.25	USD	-5,106.25	
18SEP17	B	1	PD15DEC17	2389.40	2563.25	USD	4,346.25	
22SEP17	B	1	PD15DEC17	2488.50	2563.25	USD	1,868.75	
	NET	0 AVG BGHT	2406.47	SOLD	2343.33		-4,735.00	0.00
18SEP17	B	1	PD18DEC17	2397.50	2564.00	USD	4,162.50	
22SEP17	S	1	PD18DEC17	2488.30	2564.00	USD	-1,892.50	
	NET	0 AVG BGHT	2397.50	SOLD	2488.30		2,270.00	0.00
19SEP17	B	1	PD19DEC17	2385.00	2564.25	USD	4,481.25	
19SEP17	S	1	PD19DEC17	2415.00	2564.25	USD	-3,731.25	
19SEP17	B	1	PD19DEC17	2429.50	2564.25	USD	3,368.75	
22SEP17	S	1	PD19DEC17	2488.50	2564.25	USD	-1,893.75	
	NET	0 AVG BGHT	2407.25	SOLD	2451.75		2,225.00	0.00
20SEP17	B	1	PD20DEC17	2456.50	2564.50	USD	2,700.00	
20SEP17	B	1	PD20DEC17	2460.00	2564.50	USD	2,612.50	
22SEP17	S	2	PD20DEC17	2488.50	2564.50	USD	-3,800.00	
	NET	0 AVG BGHT	2458.25	SOLD	2488.50		1,512.50	0.00
21SEP17	S	1	PD21DEC17	2471.50	2563.50	USD	-2,300.00	
21SEP17	B	1	PD21DEC17	2484.00	2563.50	USD	1,987.50	
21SEP17	S	1	PD21DEC17	2483.50	2563.50	USD	-2,000.00	
21SEP17	S	1	PD21DEC17	2507.00	2563.50	USD	-1,412.50	
21SEP17	S	1	PD21DEC17	2478.00	2563.50	USD	-2,137.50	
21SEP17	B	1	PD21DEC17	2475.00	2563.50	USD	2,212.50	
21SEP17	S	1	PD21DEC17	2474.50	2563.50	USD	-2,225.00	
21SEP17	S	1	PD21DEC17	2451.00	2563.50	USD	-2,812.50	
21SEP17	B	1	PD21DEC17	2442.00	2563.50	USD	3,037.50	
21SEP17	B	1	PD21DEC17	2474.00	2563.50	USD	2,237.50	

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21SEP17	S	1	PD21DEC17	2471.00	2563.50	USD	-2,312.50	
22SEP17	B	3	PD21DEC17	2485.95	2563.50	USD	5,816.25	
	NET	0AVG	BGHT 2476.12				91.25	0.00
22SEP17	B	1	PD22DEC17	2436.00	2563.50	USD	3,187.50	
22SEP17	S	1	PD22DEC17	2475.00	2563.50	USD	-2,212.50	
	NET	0AVG	BGHT 2436.00				975.00	0.00
25SEP17	S	1	PD27DEC17	2473.50	2563.50	USD	-2,250.00	
25SEP17	B	1	PD27DEC17	2497.00	2563.50	USD	1,662.50	
	NET	0AVG	BGHT 2497.00				-587.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	10230.00	11073.68	USD	5,062.08	
28JUL17	B	1	PD27OCT17	10220.00	11073.68	USD	5,122.08	
28JUL17	S	1	PD27OCT17	10260.00	11073.68	USD	-4,882.08	
18AUG17	S	1	PD27OCT17	10969.21	11073.68	USD	-626.82	
	NET	0AVG	BGHT 10225.00				4,675.26	0.00
31JUL17	S	1	PD31OCT17	10315.00	11077.54	USD	-4,575.24	
31JUL17	B	1	PD31OCT17	10250.00	11077.54	USD	4,965.24	
31JUL17	B	1	PD31OCT17	10235.00	11077.54	USD	5,055.24	
18AUG17	S	1	PD31OCT17	10971.90	11077.54	USD	-633.84	
	NET	0AVG	BGHT 10242.50				4,811.40	0.00
01AUG17	B	1	PD01NOV17	10205.00	11078.50	USD	5,241.00	
01AUG17	B	1	PD01NOV17	10170.00	11078.50	USD	5,451.00	
01AUG17	S	1	PD01NOV17	10145.00	11078.50	USD	-5,601.00	
01AUG17	S	1	PD01NOV17	10100.00	11078.50	USD	-5,871.00	
01AUG17	S	1	PD01NOV17	10115.00	11078.50	USD	-5,781.00	
01AUG17	B	2	PD01NOV17	10105.00	11078.50	USD	11,682.00	
01AUG17	S	3	PD01NOV17	10110.00	11078.50	USD	-17,433.00	
18AUG17	B	2	PD01NOV17	10973.58	11078.50	USD	1,259.04	
	NET	0AVG	BGHT 10422.03				-11,052.96	0.00
02AUG17	B	2	PD02NOV17	10205.00	11079.46	USD	10,493.52	
02AUG17	B	2	PD02NOV17	10255.00	11079.46	USD	9,893.52	
02AUG17	S	2	PD02NOV17	10220.00	11079.46	USD	-10,313.52	
18AUG17	S	2	PD02NOV17	10973.25	11079.46	USD	-1,274.52	
	NET	0AVG	BGHT 10230.00				8,799.00	0.00
03AUG17	S	2	PD03NOV17	10345.00	11080.43	USD	-8,825.16	
18AUG17	B	2	PD03NOV17	10974.92	11080.43	USD	1,266.12	
	NET	0AVG	BGHT 10974.92				-7,559.04	0.00
10AUG17	B	2	PD10NOV17	10780.00	11087.18	USD	3,686.16	
10AUG17	B	2	PD10NOV17	10850.00	11087.18	USD	2,846.16	
10AUG17	S	2	PD10NOV17	10930.00	11087.18	USD	-1,886.16	
10AUG17	S	2	PD10NOV17	10890.00	11087.18	USD	-2,366.16	
11AUG17	S	2	PD10NOV17	10620.00	11087.18	USD	-5,606.16	
11AUG17	B	2	PD10NOV17	10625.00	11087.18	USD	5,546.16	
11AUG17	B	4	PD10NOV17	10665.00	11087.18	USD	10,132.32	
11AUG17	B	2	PD10NOV17	10660.00	11087.18	USD	5,126.16	
18AUG17	S	4	PD10NOV17	10978.63	11087.18	USD	-2,605.20	
23AUG17	S	2	PD10NOV17	11653.44	11087.18	USD	6,795.12	
	NET	0AVG	BGHT 10707.50				21,668.40	0.00
14AUG17	S	2	PD14NOV17	10505.00	11091.04	USD	-7,032.48	
14AUG17	S	2	PD14NOV17	10490.00	11091.04	USD	-7,212.48	
14AUG17	B	2	PD14NOV17	10510.00	11091.04	USD	6,972.48	
18AUG17	B	2	PD14NOV17	10982.33	11091.04	USD	1,304.52	
	NET	0AVG	BGHT 10746.17				-5,967.96	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	B	2	PD15NOV17	10400.00	11092.00	USD	8,304.00	
23AUG17	S	2	PD15NOV17	11657.00	11092.00	USD	6,780.00	
	NET	0AVG	BGHT 10400.00	SOLD 11657.00			15,084.00	0.00
16AUG17	S	4	PD16NOV17	10560.00	11092.77	USD	-12,786.48	
16AUG17	S	2	PD16NOV17	10600.00	11092.77	USD	-5,913.24	
16AUG17	B	1	PD16NOV17	10680.00	11092.77	USD	2,476.62	
16AUG17	B	1	PD16NOV17	10690.00	11092.77	USD	2,416.62	
16AUG17	S	2	PD16NOV17	10745.00	11092.77	USD	-4,173.24	
16AUG17	B	2	PD16NOV17	10750.00	11092.77	USD	4,113.24	
16AUG17	B	2	PD16NOV17	10715.00	11092.77	USD	4,533.24	
16AUG17	S	2	PD16NOV17	10820.00	11092.77	USD	-3,273.24	
16AUG17	B	2	PD16NOV17	10755.00	11092.77	USD	4,053.24	
16AUG17	S	2	PD16NOV17	10765.00	11092.77	USD	-3,933.24	
16AUG17	B	2	PD16NOV17	10785.00	11092.77	USD	3,693.24	
18AUG17	B	2	PD16NOV17	10981.00	11092.77	USD	1,341.24	
	NET	0AVG	BGHT 10778.50	SOLD 10675.00			-7,452.00	0.00
17AUG17	B	2	PD17NOV17	10750.00	11093.54	USD	4,122.48	
17AUG17	S	2	PD17NOV17	10745.00	11093.54	USD	-4,182.48	
17AUG17	S	2	PD17NOV17	10775.00	11093.54	USD	-3,822.48	
17AUG17	S	2	PD17NOV17	10830.00	11093.54	USD	-3,162.48	
17AUG17	S	2	PD17NOV17	10790.00	11093.54	USD	-3,642.48	
17AUG17	B	2	PD17NOV17	10780.00	11093.54	USD	3,762.48	
17AUG17	B	2	PD17NOV17	10800.00	11093.54	USD	3,522.48	
17AUG17	B	2	PD17NOV17	10740.00	11093.54	USD	4,242.48	
17AUG17	B	2	PD17NOV17	10755.00	11093.54	USD	4,062.48	
17AUG17	B	4	PD17NOV17	10765.00	11093.54	USD	7,884.96	
17AUG17	B	2	PD17NOV17	10690.00	11093.54	USD	4,842.48	
18AUG17	S	2	PD17NOV17	10820.00	11093.54	USD	-3,282.48	
18AUG17	B	2	PD17NOV17	10880.00	11093.54	USD	2,562.48	
18AUG17	B	2	PD17NOV17	10950.00	11093.54	USD	1,722.48	
18AUG17	S	2	PD17NOV17	11010.00	11093.54	USD	-1,002.48	
18AUG17	B	2	PD17NOV17	10850.00	11093.54	USD	2,922.48	
18AUG17	S	4	PD17NOV17	10905.00	11093.54	USD	-4,524.96	
23AUG17	S	6	PD17NOV17	11655.63	11093.54	USD	20,235.24	
	NET	0AVG	BGHT 10793.18	SOLD 11067.90			36,262.68	0.00
21AUG17	S	1	PD21NOV17	11130.00	11096.63	USD	200.22	
21AUG17	B	1	PD21NOV17	11170.00	11096.63	USD	-440.22	
21AUG17	S	2	PD21NOV17	11195.00	11096.63	USD	1,180.44	
21AUG17	B	2	PD21NOV17	11165.00	11096.63	USD	-820.44	
21AUG17	S	2	PD21NOV17	11025.00	11096.63	USD	-859.56	
21AUG17	S	2	PD21NOV17	11150.00	11096.63	USD	640.44	
21AUG17	B	4	PD21NOV17	11290.00	11096.63	USD	-4,640.88	
21AUG17	S	2	PD21NOV17	11275.00	11096.63	USD	2,140.44	
21AUG17	S	1	PD21NOV17	11300.00	11096.63	USD	1,220.22	
23AUG17	B	3	PD21NOV17	11659.13	11096.63	USD	-10,125.00	
	NET	0AVG	BGHT 11363.74	SOLD 11172.00			-11,504.34	0.00
22AUG17	S	1	PD22NOV17	11265.00	11097.40	USD	1,005.60	
22AUG17	B	2	PD22NOV17	11320.00	11097.40	USD	-2,671.20	
22AUG17	S	2	PD22NOV17	11305.00	11097.40	USD	2,491.20	
22AUG17	S	2	PD22NOV17	11490.00	11097.40	USD	4,711.20	
22AUG17	S	1	PD22NOV17	11415.00	11097.40	USD	1,905.60	
22AUG17	S	2	PD22NOV17	11455.00	11097.40	USD	4,291.20	
22AUG17	B	2	PD22NOV17	11475.00	11097.40	USD	-4,531.20	
22AUG17	B	2	PD22NOV17	11440.00	11097.40	USD	-4,111.20	
22AUG17	S	2	PD22NOV17	11435.00	11097.40	USD	4,051.20	
22AUG17	S	3	PD22NOV17	11425.00	11097.40	USD	5,896.80	

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22AUG17	B	4	PD22NOV17	11405.00	11097.40	USD	-7,382.40	
22AUG17	S	2	PD22NOV17	11410.00	11097.40	USD	3,751.20	
22AUG17	S	2	PD22NOV17	11400.00	11097.40	USD	3,631.20	
23AUG17	B	7	PD22NOV17	11659.75	11097.40	USD	-23,618.70	
	NET	0 AVG	BGHT 11512.25				-10,579.50	0.00
23AUG17	S	2	PD24NOV17	11350.00	11098.94	USD	3,012.72	
23AUG17	B	2	PD24NOV17	11345.00	11098.94	USD	-2,952.72	
23AUG17	S	1	PD24NOV17	11585.00	11098.94	USD	2,916.36	
23AUG17	S	1	PD24NOV17	11580.00	11098.94	USD	2,886.36	
23AUG17	B	2	PD24NOV17	11555.00	11098.94	USD	-5,472.72	
23AUG17	B	2	PD24NOV17	11690.00	11098.94	USD	-7,092.72	
23AUG17	S	2	PD24NOV17	11685.00	11098.94	USD	7,032.72	
24AUG17	S	2	PD24NOV17	11655.00	11098.94	USD	6,672.72	
24AUG17	B	2	PD24NOV17	11665.00	11098.94	USD	-6,792.72	
	NET	0 AVG	BGHT 11563.75				210.00	0.00
29AUG17	B	9	PD29NOV17	11750.00	11102.80	USD	-34,948.80	
29AUG17	B	3	PD29NOV17	11750.00	11102.80	USD	-11,649.60	
29AUG17	S	2	PD29NOV17	11720.00	11102.80	USD	7,406.40	
29AUG17	S	6	PD29NOV17	11710.00	11102.80	USD	21,859.20	
29AUG17	S	2	PD29NOV17	11715.00	11102.80	USD	7,346.40	
29AUG17	S	2	PD29NOV17	11685.00	11102.80	USD	6,986.40	
	NET	0 AVG	BGHT 11750.00				-3,000.00	0.00
04SEP17	S	2	PD04DEC17	12285.00	11106.66	USD	14,140.08	
04SEP17	B	2	PD04DEC17	12300.00	11106.66	USD	-14,320.08	
04SEP17	S	2	PD04DEC17	12300.00	11106.66	USD	14,320.08	
04SEP17	B	2	PD04DEC17	12305.00	11106.66	USD	-14,380.08	
04SEP17	B	2	PD04DEC17	12240.00	11106.66	USD	-13,600.08	
04SEP17	B	4	PD04DEC17	12205.00	11106.66	USD	-26,360.16	
04SEP17	S	2	PD04DEC17	12210.00	11106.66	USD	13,240.08	
04SEP17	S	2	PD04DEC17	12260.00	11106.66	USD	13,840.08	
08SEP17	S	2	PD04DEC17	11587.65	11106.66	USD	5,771.88	
	NET	0 AVG	BGHT 12251.00				-7,348.20	0.00
05SEP17	S	2	PD05DEC17	12290.00	11107.43	USD	14,190.84	
05SEP17	B	2	PD05DEC17	12210.00	11107.43	USD	-13,230.84	
05SEP17	S	2	PD05DEC17	12225.00	11107.43	USD	13,410.84	
08SEP17	B	2	PD05DEC17	11589.24	11107.43	USD	-5,781.72	
	NET	0 AVG	BGHT 11899.62				8,589.12	0.00
06SEP17	B	2	PD06DEC17	11990.00	11108.20	USD	-10,581.60	
06SEP17	B	3	PD06DEC17	12005.00	11108.20	USD	-16,142.40	
06SEP17	B	3	PD06DEC17	12010.00	11108.20	USD	-16,232.40	
06SEP17	S	2	PD06DEC17	12010.00	11108.20	USD	10,821.60	
06SEP17	S	2	PD06DEC17	12070.00	11108.20	USD	11,541.60	
06SEP17	S	2	PD06DEC17	12175.00	11108.20	USD	12,801.60	
13SEP17	S	2	PD06DEC17	11354.77	11108.20	USD	2,958.84	
	NET	0 AVG	BGHT 12003.13				-4,832.76	0.00
07SEP17	B	2	PD07DEC17	12235.00	11108.97	USD	-13,512.36	
07SEP17	B	4	PD07DEC17	12230.00	11108.97	USD	-26,904.72	
07SEP17	B	1	PD07DEC17	12290.00	11108.97	USD	-7,086.18	
07SEP17	B	1	PD07DEC17	12295.00	11108.97	USD	-7,116.18	
07SEP17	S	1	PD07DEC17	12235.00	11108.97	USD	6,756.18	
07SEP17	S	1	PD07DEC17	12225.00	11108.97	USD	6,696.18	
07SEP17	S	2	PD07DEC17	12245.00	11108.97	USD	13,632.36	
07SEP17	S	1	PD07DEC17	12200.00	11108.97	USD	6,546.18	
07SEP17	B	1	PD07DEC17	12185.00	11108.97	USD	-6,456.18	
07SEP17	B	4	PD07DEC17	12175.00	11108.97	USD	-25,584.72	

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07SEP17	B	2	PD07DEC17		12170.00	11108.97	USD	-12,732.36	
13SEP17	S	10	PD07DEC17		11355.62	11108.97	USD	14,799.00	
	NET	0AVG	BGHT 12213.33	SOLD 11647.08				-50,962.80	0.00
08SEP17	S	1	PD08DEC17		12285.00	11109.74	USD	7,051.56	
08SEP17	S	1	PD08DEC17		12295.00	11109.74	USD	7,111.56	
08SEP17	S	1	PD08DEC17		12255.00	11109.74	USD	6,871.56	
08SEP17	S	1	PD08DEC17		12250.00	11109.74	USD	6,841.56	
08SEP17	S	1	PD08DEC17		12260.00	11109.74	USD	6,901.56	
08SEP17	S	1	PD08DEC17		12265.00	11109.74	USD	6,931.56	
08SEP17	B	1	PD08DEC17		12295.00	11109.74	USD	-7,111.56	
08SEP17	B	1	PD08DEC17		12350.00	11109.74	USD	-7,441.56	
08SEP17	B	2	PD08DEC17		12345.00	11109.74	USD	-14,823.12	
08SEP17	B	3	PD08DEC17		12340.00	11109.74	USD	-22,144.68	
08SEP17	B	3	PD08DEC17		12335.00	11109.74	USD	-22,054.68	
08SEP17	S	1	PD08DEC17		12170.00	11109.74	USD	6,361.56	
08SEP17	S	2	PD08DEC17		12165.00	11109.74	USD	12,663.12	
08SEP17	S	1	PD08DEC17		12150.00	11109.74	USD	6,241.56	
08SEP17	S	1	PD08DEC17		12180.00	11109.74	USD	6,421.56	
08SEP17	S	1	PD08DEC17		12175.00	11109.74	USD	6,391.56	
08SEP17	S	2	PD08DEC17		12155.00	11109.74	USD	12,543.12	
08SEP17	S	1	PD08DEC17		12115.00	11109.74	USD	6,031.56	
08SEP17	S	1	PD08DEC17		12135.00	11109.74	USD	6,151.56	
08SEP17	S	2	PD08DEC17		12080.00	11109.74	USD	11,643.12	
08SEP17	S	1	PD08DEC17		12070.00	11109.74	USD	5,761.56	
08SEP17	S	4	PD08DEC17		12045.00	11109.74	USD	22,446.24	
08SEP17	S	2	PD08DEC17		12050.00	11109.74	USD	11,283.12	
08SEP17	S	1	PD08DEC17		12060.00	11109.74	USD	5,701.56	
08SEP17	S	2	PD08DEC17		11940.00	11109.74	USD	9,963.12	
08SEP17	S	1	PD08DEC17		11965.00	11109.74	USD	5,131.56	
08SEP17	S	1	PD08DEC17		11960.00	11109.74	USD	5,101.56	
08SEP17	B	1	PD08DEC17		11975.00	11109.74	USD	-5,191.56	
08SEP17	B	1	PD08DEC17		11940.00	11109.74	USD	-4,981.56	
08SEP17	B	2	PD08DEC17		11935.00	11109.74	USD	-9,903.12	
08SEP17	S	1	PD08DEC17		11850.00	11109.74	USD	4,441.56	
08SEP17	S	1	PD08DEC17		11840.00	11109.74	USD	4,381.56	
08SEP17	S	1	PD08DEC17		11845.00	11109.74	USD	4,411.56	
08SEP17	S	3	PD08DEC17		11835.00	11109.74	USD	13,054.68	
08SEP17	S	3	PD08DEC17		11825.00	11109.74	USD	12,874.68	
08SEP17	B	1	PD08DEC17		11760.00	11109.74	USD	-3,901.56	
08SEP17	B	2	PD08DEC17		11755.00	11109.74	USD	-7,743.12	
08SEP17	B	1	PD08DEC17		11750.00	11109.74	USD	-3,841.56	
08SEP17	B	1	PD08DEC17		11745.00	11109.74	USD	-3,811.56	
08SEP17	B	1	PD08DEC17		11740.00	11109.74	USD	-3,781.56	
08SEP17	B	1	PD08DEC17		11735.00	11109.74	USD	-3,751.56	
08SEP17	B	2	PD08DEC17		11730.00	11109.74	USD	-7,443.12	
08SEP17	S	1	PD08DEC17		11590.00	11109.74	USD	2,881.56	
08SEP17	S	1	PD08DEC17		11510.00	11109.74	USD	2,401.56	
13SEP17	B	12	PD08DEC17		11357.46	11109.74	USD	-17,835.84	
13SEP17	B	6	PD08DEC17		11356.46	11109.74	USD	-8,881.92	
	NET	0AVG	BGHT 11738.37	SOLD 12028.41				71,350.32	0.00
11SEP17	B	2	PD11DEC17		11530.00	11112.06	USD	-5,015.28	
11SEP17	B	2	PD11DEC17		11535.00	11112.06	USD	-5,075.28	
11SEP17	B	2	PD11DEC17		11505.00	11112.06	USD	-4,715.28	
11SEP17	B	1	PD11DEC17		11750.00	11112.06	USD	-3,827.64	
11SEP17	S	1	PD11DEC17		11800.00	11112.06	USD	4,127.64	
11SEP17	S	1	PD11DEC17		11805.00	11112.06	USD	4,157.64	
11SEP17	S	7	PD11DEC17		11820.00	11112.06	USD	29,733.48	

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11SEP17	S	1	PD11DEC17		11830.00	11112.06	USD	4,307.64	
13SEP17	B	3	PD11DEC17		11359.00	11112.06	USD	-4,444.92	
	NET	0AVG	BGHT 11496.70	SOLD 11817.50				19,248.00	0.00
12SEP17	B	1	PD12DEC17		11680.00	11112.83	USD	-3,403.02	
12SEP17	B	2	PD12DEC17		11675.00	11112.83	USD	-6,746.04	
12SEP17	B	1	PD12DEC17		11635.00	11112.83	USD	-3,133.02	
12SEP17	B	4	PD12DEC17		11670.00	11112.83	USD	-13,372.08	
12SEP17	B	1	PD12DEC17		11640.00	11112.83	USD	-3,163.02	
12SEP17	B	1	PD12DEC17		11650.00	11112.83	USD	-3,223.02	
12SEP17	B	1	PD12DEC17		11725.00	11112.83	USD	-3,673.02	
12SEP17	B	3	PD12DEC17		11700.00	11112.83	USD	-10,569.06	
12SEP17	B	1	PD12DEC17		11670.00	11112.83	USD	-3,343.02	
12SEP17	B	1	PD12DEC17		11765.00	11112.83	USD	-3,913.02	
12SEP17	S	2	PD12DEC17		11885.00	11112.83	USD	9,266.04	
12SEP17	S	1	PD12DEC17		11925.00	11112.83	USD	4,873.02	
12SEP17	S	1	PD12DEC17		11950.00	11112.83	USD	5,023.02	
12SEP17	S	2	PD12DEC17		11960.00	11112.83	USD	10,166.04	
12SEP17	S	2	PD12DEC17		11965.00	11112.83	USD	10,226.04	
12SEP17	S	2	PD12DEC17		11970.00	11112.83	USD	10,286.04	
12SEP17	B	4	PD12DEC17		11925.00	11112.83	USD	-19,492.08	
13SEP17	S	9	PD12DEC17		11358.50	11112.83	USD	13,266.18	
18SEP17	S	1	PD12DEC17		11230.45	11112.83	USD	705.72	
	NET	0AVG	BGHT 11729.75	SOLD 11644.60				-10,218.30	0.00
13SEP17	B	1	PD13DEC17		11890.00	11113.60	USD	-4,658.40	
13SEP17	B	1	PD13DEC17		11930.00	11113.60	USD	-4,898.40	
13SEP17	B	1	PD13DEC17		11825.00	11113.60	USD	-4,268.40	
13SEP17	B	1	PD13DEC17		11560.00	11113.60	USD	-2,678.40	
13SEP17	B	1	PD13DEC17		11580.00	11113.60	USD	-2,798.40	
13SEP17	B	1	PD13DEC17		11600.00	11113.60	USD	-2,918.40	
13SEP17	B	2	PD13DEC17		11585.00	11113.60	USD	-5,656.80	
13SEP17	S	1	PD13DEC17		11590.00	11113.60	USD	2,858.40	
13SEP17	B	1	PD13DEC17		11580.00	11113.60	USD	-2,798.40	
18SEP17	S	7	PD13DEC17		11231.21	11113.60	USD	4,939.62	
22SEP17	S	1	PD13DEC17		10411.80	11113.60	USD	-4,210.80	
	NET	0AVG	BGHT 11681.67	SOLD 11180.03				-27,088.38	0.00
14SEP17	S	1	PD14DEC17		11495.00	11114.37	USD	2,283.78	
14SEP17	S	2	PD14DEC17		11470.00	11114.37	USD	4,267.56	
14SEP17	S	1	PD14DEC17		11335.00	11114.37	USD	1,323.78	
14SEP17	B	1	PD14DEC17		11270.00	11114.37	USD	-933.78	
14SEP17	B	1	PD14DEC17		11265.00	11114.37	USD	-903.78	
14SEP17	B	1	PD14DEC17		11185.00	11114.37	USD	-423.78	
14SEP17	B	1	PD14DEC17		11200.00	11114.37	USD	-513.78	
14SEP17	S	1	PD14DEC17		11490.00	11114.37	USD	2,253.78	
14SEP17	S	1	PD14DEC17		11260.00	11114.37	USD	873.78	
14SEP17	S	1	PD14DEC17		11265.00	11114.37	USD	903.78	
14SEP17	S	2	PD14DEC17		11270.00	11114.37	USD	1,867.56	
14SEP17	S	2	PD14DEC17		11280.00	11114.37	USD	1,987.56	
14SEP17	S	1	PD14DEC17		11300.00	11114.37	USD	1,113.78	
14SEP17	S	3	PD14DEC17		11275.00	11114.37	USD	2,891.34	
14SEP17	B	1	PD14DEC17		11210.00	11114.37	USD	-573.78	
14SEP17	B	1	PD14DEC17		11225.00	11114.37	USD	-663.78	
14SEP17	B	1	PD14DEC17		11220.00	11114.37	USD	-633.78	
18SEP17	B	8	PD14DEC17		11232.97	11114.37	USD	-5,692.80	
	NET	0AVG	BGHT 11229.25	SOLD 11334.00				9,427.44	0.00
15SEP17	B	1	PD15DEC17		11125.00	11115.14	USD	-59.16	
15SEP17	B	1	PD15DEC17		11160.00	11115.14	USD	-269.16	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	10920.00	11115.14	USD	-2,341.68	
15SEP17	S	1	PD15DEC17	10885.00	11115.14	USD	-1,380.84	
15SEP17	S	1	PD15DEC17	11040.00	11115.14	USD	-450.84	
15SEP17	S	2	PD15DEC17	11050.00	11115.14	USD	-781.68	
15SEP17	B	1	PD15DEC17	11095.00	11115.14	USD	120.84	
15SEP17	B	2	PD15DEC17	11090.00	11115.14	USD	301.68	
15SEP17	S	1	PD15DEC17	11090.00	11115.14	USD	-150.84	
15SEP17	S	1	PD15DEC17	11095.00	11115.14	USD	-120.84	
15SEP17	B	1	PD15DEC17	11120.00	11115.14	USD	-29.16	
15SEP17	B	1	PD15DEC17	11105.00	11115.14	USD	60.84	
15SEP17	B	1	PD15DEC17	11100.00	11115.14	USD	90.84	
	NET	0 AVG BGHT 11110.63	SOLD 11006.25				-5,010.00	0.00
18SEP17	S	1	PD18DEC17	11215.00	11117.46	USD	585.24	
22SEP17	B	1	PD18DEC17	10417.23	11117.46	USD	4,201.38	
	NET	0 AVG BGHT 10417.23	SOLD 11215.00				4,786.62	0.00
19SEP17	B	1	PD19DEC17	11100.00	11118.23	USD	109.38	
19SEP17	B	1	PD19DEC17	11095.00	11118.23	USD	139.38	
19SEP17	B	1	PD19DEC17	11035.00	11118.23	USD	499.38	
19SEP17	S	1	PD19DEC17	11005.00	11118.23	USD	-679.38	
19SEP17	B	1	PD19DEC17	10995.00	11118.23	USD	739.38	
22SEP17	S	3	PD19DEC17	10417.11	11118.23	USD	-12,620.16	
	NET	0 AVG BGHT 11056.25	SOLD 10564.08				-11,812.02	0.00
20SEP17	B	1	PD20DEC17	11355.00	11119.00	USD	-1,416.00	
20SEP17	B	1	PD20DEC17	11385.00	11119.00	USD	-1,596.00	
20SEP17	S	1	PD20DEC17	11355.00	11119.00	USD	1,416.00	
20SEP17	S	1	PD20DEC17	11370.00	11119.00	USD	1,506.00	
20SEP17	S	1	PD20DEC17	11320.00	11119.00	USD	1,206.00	
20SEP17	S	2	PD20DEC17	11345.00	11119.00	USD	2,712.00	
20SEP17	S	2	PD20DEC17	11350.00	11119.00	USD	2,772.00	
22SEP17	B	3	PD20DEC17	10419.00	11119.00	USD	12,600.00	
22SEP17	B	2	PD20DEC17	10418.00	11119.00	USD	8,412.00	
	NET	0 AVG BGHT 10690.43	SOLD 11347.86				27,612.00	0.00
21SEP17	B	1	PD21DEC17	11210.00	11119.73	USD	-541.62	
21SEP17	B	1	PD21DEC17	11080.00	11119.73	USD	238.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	2	PD21DEC17	11005.00	11119.73	USD	1,376.76	
21SEP17	B	1	PD21DEC17	11000.00	11119.73	USD	718.38	
21SEP17	B	1	PD21DEC17	11115.00	11119.73	USD	28.38	
21SEP17	B	1	PD21DEC17	11105.00	11119.73	USD	88.38	
21SEP17	B	1	PD21DEC17	11090.00	11119.73	USD	178.38	
21SEP17	B	2	PD21DEC17	11010.00	11119.73	USD	1,316.76	
21SEP17	B	1	PD21DEC17	11000.00	11119.73	USD	718.38	
21SEP17	S	1	PD21DEC17	10715.00	11119.73	USD	-2,428.38	
21SEP17	S	1	PD21DEC17	10750.00	11119.73	USD	-2,218.38	
22SEP17	S	2	PD21DEC17	10418.00	11119.73	USD	-8,420.76	
28SEP17	S	4	PD21DEC17	10431.00	11119.73	USD	-16,529.52	
	NET	+4 AVG BGHT 11053.33	SOLD 10503.13				-24,816.48	0.00
22SEP17	B	1	PD22DEC17	10580.00	11120.45	USD	3,242.70	
22SEP17	S	2	PD22DEC17	10585.00	11120.45	USD	-6,425.40	
22SEP17	S	1	PD22DEC17	10590.00	11120.45	USD	-3,182.70	
22SEP17	S	1	PD22DEC17	10535.00	11120.45	USD	-3,512.70	
22SEP17	B	3	PD22DEC17	10530.00	11120.45	USD	10,628.10	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	B	1	PD22DEC17	10540.00	11120.45	USD	3,482.70	
22SEP17	B	2	PD22DEC17	10545.00	11120.45	USD	6,905.40	
22SEP17	B	1	PD22DEC17	10465.00	11120.45	USD	3,932.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	+5 AVG BGHT	10537.22	SOLD	10573.75			18,373.50	0.00
25SEP17	S	1	PD27DEC17		10560.00	11124.09	USD	-3,384.54	
25SEP17	S	2	PD27DEC17		10570.00	11124.09	USD	-6,649.08	
25SEP17	B	1	PD27DEC17		10500.00	11124.09	USD	3,744.54	
25SEP17	B	1	PD27DEC17		10535.00	11124.09	USD	3,534.54	
25SEP17	B	1	PD27DEC17		10525.00	11124.09	USD	3,594.54	
25SEP17	S	3	PD27DEC17		10565.00	11124.09	USD	-10,063.62	
25SEP17	S	3	PD27DEC17		10580.00	11124.09	USD	-9,793.62	
25SEP17	S	2	PD27DEC17		10595.00	11124.09	USD	-6,349.08	
25SEP17	B	1	PD27DEC17		10585.00	11124.09	USD	3,234.54	
26SEP17	B	1	PD27DEC17		10420.00	11124.09	USD	4,224.54	
26SEP17	B	1	PD27DEC17		10430.00	11124.09	USD	4,164.54	
26SEP17	B	1	PD27DEC17		10440.00	11124.09	USD	4,104.54	
26SEP17	B	4	PD27DEC17		10445.00	11124.09	USD	16,298.16	
26SEP17	B	1	PD27DEC17		10435.00	11124.09	USD	4,134.54	
26SEP17	B	1	PD27DEC17		10480.00	11124.09	USD	3,864.54	
26SEP17	B	1	PD27DEC17		10475.00	11124.09	USD	3,894.54	
26SEP17	B	1	PD27DEC17		10490.00	11124.09	USD	3,804.54	
26SEP17	B	1	PD27DEC17		10495.00	11124.09	USD	3,774.54	
26SEP17	B	2	PD27DEC17		10510.00	11124.09	USD	7,369.08	
26SEP17	B	2	PD27DEC17		10500.00	11124.09	USD	7,489.08	
26SEP17	B	1	PD27DEC17		10500.00	11124.09	USD	3,744.54	
27SEP17	S	3	PD27DEC17		10490.00	11124.09	USD	-11,413.62	
27SEP17	S	1	PD27DEC17		10510.00	11124.09	USD	-3,684.54	
27SEP17	S	2	PD27DEC17		10505.00	11124.09	USD	-7,429.08	
27SEP17	S	2	PD27DEC17		10520.00	11124.09	USD	-7,249.08	
27SEP17	S	1	PD27DEC17		10555.00	11124.09	USD	-3,414.54	
27SEP17	S	1	PD27DEC17		10560.00	11124.09	USD	-3,384.54	
27SEP17	S	1	PD27DEC17		10590.00	11124.09	USD	-3,204.54	
27SEP17	S	1	PD27DEC17		10600.00	11124.09	USD	-3,144.54	
27SEP17	S	1	PD27DEC17		10480.00	11124.09	USD	-3,864.54	
27SEP17	S	1	PD27DEC17		10495.00	11124.09	USD	-3,774.54	
27SEP17	S	2	PD27DEC17		10525.00	11124.09	USD	-7,189.08	
27SEP17	S	1	PD27DEC17		10530.00	11124.09	USD	-3,564.54	
27SEP17	S	1	PD27DEC17		10535.00	11124.09	USD	-3,534.54	
27SEP17	S	1	PD27DEC17		10560.00	11124.09	USD	-3,384.54	
27SEP17	B	1	PD27DEC17		10460.00	11124.09	USD	3,984.54	
27SEP17	B	1	PD27DEC17		10360.00	11124.09	USD	4,584.54	
27SEP17	B	1	PD27DEC17		10380.00	11124.09	USD	4,464.54	
27SEP17	B	1	PD27DEC17		10375.00	11124.09	USD	4,494.54	
27SEP17	S	2	PD27DEC17		10265.00	11124.09	USD	-10,309.08	
27SEP17	B	1	PD27DEC17		10225.00	11124.09	USD	5,394.54	
27SEP17	B	2	PD27DEC17		10230.00	11124.09	USD	10,729.08	
27SEP17	S	2	PD27DEC17		10275.00	11124.09	USD	-10,189.08	
27SEP17	B	1	PD27DEC17		10365.00	11124.09	USD	4,554.54	
27SEP17	B	1	PD27DEC17		10320.00	11124.09	USD	4,824.54	
28SEP17	B	4	PD27DEC17		10439.67	11124.09	USD	16,426.08	
	NET	0 AVG BGHT	10435.70	SOLD	10511.47			15,457.92	0.00
28SEP17	B	1	PD28DEC17		10330.00	11124.82	USD	4,768.92	
28SEP17	S	1	PD28DEC17		10250.00	11124.82	USD	-5,248.92	
28SEP17	S	1	PD28DEC17		10290.00	11124.82	USD	-5,008.92	
28SEP17	B	1	PD28DEC17		10285.00	11124.82	USD	5,038.92	
28SEP17	S	1	PD28DEC17		10265.00	11124.82	USD	-5,158.92	
28SEP17	B	1	PD28DEC17		10315.00	11124.82	USD	4,858.92	
28SEP17	B	1	PD28DEC17		10325.00	11124.82	USD	4,798.92	
28SEP17	S	1	PD28DEC17		10340.00	11124.82	USD	-4,708.92	
28SEP17	S	1	PD28DEC17		10345.00	11124.82	USD	-4,678.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 1 PD28DEC17	10360.00	11124.82	USD	4,588.92	
28SEP17	S 1 PD28DEC17	10355.00	11124.82	USD	-4,618.92	
	NET -1AVG BGHT 10323.00 SOLD 10307.50				-5,368.92	0.00
29SEP17	S 2 PD29DEC17	10475.00	11125.55	USD	-7,806.60	
29SEP17	S 1 PD29DEC17	10485.00	11125.55	USD	-3,843.30	
	NET -3AVG SOLD 10478.33				-11,649.90	0.00
10OCT17	B 5 PD10JAN18	10960.00	11134.27	USD	5,228.10	
10OCT17	B 1 PD10JAN18	10965.00	11134.27	USD	1,015.62	
10OCT17	B 1 PD10JAN18	10925.00	11134.27	USD	1,255.62	
10OCT17	B 2 PD10JAN18	11005.00	11134.27	USD	1,551.24	
10OCT17	S 1 PD10JAN18	10905.00	11134.27	USD	-1,375.62	
10OCT17	S 1 PD10JAN18	10880.00	11134.27	USD	-1,525.62	
10OCT17	S 1 PD10JAN18	10885.00	11134.27	USD	-1,495.62	
10OCT17	S 1 PD10JAN18	10850.00	11134.27	USD	-1,705.62	
10OCT17	B 1 PD10JAN18	11000.00	11134.27	USD	805.62	
10OCT17	B 2 PD10JAN18	11010.00	11134.27	USD	1,491.24	
10OCT17	S 1 PD10JAN18	11050.00	11134.27	USD	-505.62	
10OCT17	B 1 PD10JAN18	11020.00	11134.27	USD	685.62	
10OCT17	B 3 PD10JAN18	11015.00	11134.27	USD	2,146.86	
	NET +11AVG BGHT 10986.56 SOLD 10914.00				7,571.82	0.00
11OCT17	S 3 PD11JAN18	10950.00	11135.00	USD	-3,330.00	
11OCT17	S 4 PD11JAN18	10940.00	11135.00	USD	-4,680.00	
11OCT17	S 1 PD11JAN18	10935.00	11135.00	USD	-1,200.00	
11OCT17	S 2 PD11JAN18	10930.00	11135.00	USD	-2,460.00	
	NET -10AVG SOLD 10940.50				-11,670.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 1 PD08NOV17	20235.00	20960.00	USD	3,625.00	
18AUG17	S 1 PD08NOV17	20266.25	20960.00	USD	-3,468.75	
	NET 0AVG BGHT 20235.00 SOLD 20266.25				156.25	0.00
09AUG17	S 1 PD09NOV17	20275.00	20958.00	USD	-3,415.00	
18AUG17	B 1 PD09NOV17	20266.00	20958.00	USD	3,460.00	
	NET 0AVG BGHT 20266.00 SOLD 20275.00				45.00	0.00
15AUG17	B 1 PD15NOV17	19310.00	20950.00	USD	8,200.00	
15AUG17	B 1 PD15NOV17	19225.00	20950.00	USD	8,625.00	
15AUG17	S 1 PD15NOV17	20010.00	20950.00	USD	-4,700.00	
15AUG17	S 1 PD15NOV17	19990.00	20950.00	USD	-4,800.00	
	NET 0AVG BGHT 19267.50 SOLD 20000.00				7,325.00	0.00
13SEP17	S 1 PD13DEC17	20650.00	20910.42	USD	-1,302.10	
18SEP17	B 1 PD13DEC17	20705.45	20910.42	USD	1,024.85	
	NET 0AVG BGHT 20705.45 SOLD 20650.00				-277.25	0.00
14SEP17	B 1 PD14DEC17	20685.00	20908.33	USD	1,116.65	
14SEP17	B 1 PD14DEC17	20575.00	20908.33	USD	1,666.65	
14SEP17	S 1 PD14DEC17	20665.00	20908.33	USD	-1,216.65	
18SEP17	S 1 PD14DEC17	20702.64	20908.33	USD	-1,028.45	
	NET 0AVG BGHT 20630.00 SOLD 20683.82				538.20	0.00
15SEP17	S 1 PD15DEC17	20510.00	20906.25	USD	-1,981.25	
22SEP17	B 1 PD15DEC17	20553.75	20906.25	USD	1,762.50	
	NET 0AVG BGHT 20553.75 SOLD 20510.00				-218.75	0.00
18SEP17	S 1 PD18DEC17	20630.00	20904.17	USD	-1,370.85	
22SEP17	B 1 PD18DEC17	20550.83	20904.17	USD	1,766.70	
	NET 0AVG BGHT 20550.83 SOLD 20630.00				395.85	0.00
19SEP17	B 1 PD19DEC17	20700.00	20902.08	USD	1,010.40	
22SEP17	S 1 PD19DEC17	20546.92	20902.08	USD	-1,775.80	

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	NET 0 AVG BGHT 20700.00 SOLD 20546.92				-765.40	0.00
20SEP17	B 1 PD20DEC17	20740.00	20900.00	USD	800.00	
22SEP17	S 1 PD20DEC17	20544.00	20900.00	USD	-1,780.00	
	NET 0 AVG BGHT 20740.00 SOLD 20544.00				-980.00	0.00
21SEP17	S 1 PD21DEC17	20575.00	20897.69	USD	-1,613.45	
21SEP17	B 1 PD21DEC17	20575.00	20897.69	USD	1,613.45	
	NET 0 AVG BGHT 20575.00 SOLD 20575.00				0.00	0.00
22SEP17	S 1 PD22DEC17	20470.00	20895.38	USD	-2,126.90	
	NET -1 AVG SOLD 20470.00				-2,126.90	0.00
28SEP17	S 1 PD28DEC17	20620.00	20890.77	USD	-1,353.85	
28SEP17	S 2 PD28DEC17	20625.00	20890.77	USD	-2,657.70	
	NET -3 AVG SOLD 20623.33				-4,011.55	0.00
09OCT17	B 1 PD09JAN18	20690.00	20874.62	USD	923.10	
09OCT17	B 1 PD09JAN18	20680.00	20874.62	USD	973.10	
09OCT17	B 1 PD09JAN18	20730.00	20874.62	USD	723.10	
09OCT17	B 1 PD09JAN18	20835.00	20874.62	USD	198.10	
	NET +4 AVG BGHT 20733.75				2,817.40	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	B 1 PD16NOV17	3091.50	3241.50	USD	3,750.00	
23AUG17	S 1 PD16NOV17	3095.00	3241.50	USD	-3,662.50	
	NET 0 AVG BGHT 3091.50 SOLD 3095.00				87.50	0.00
18AUG17	S 1 PD17NOV17	3117.00	3239.00	USD	-3,050.00	
23AUG17	B 1 PD17NOV17	3094.70	3239.00	USD	3,607.50	
	NET 0 AVG BGHT 3094.70 SOLD 3117.00				557.50	0.00
13SEP17	B 1 PD13DEC17	3067.00	3230.67	USD	4,091.75	
13SEP17	S 1 PD13DEC17	3057.50	3230.67	USD	-4,329.25	
	NET 0 AVG BGHT 3067.00 SOLD 3057.50				-237.50	0.00
14SEP17	B 1 PD14DEC17	3008.00	3230.33	USD	5,558.25	
14SEP17	B 1 PD14DEC17	3008.50	3230.33	USD	5,545.75	
18SEP17	S 1 PD14DEC17	3097.54	3230.33	USD	-3,319.75	
22SEP17	S 1 PD14DEC17	3033.07	3230.33	USD	-4,931.50	
	NET 0 AVG BGHT 3008.25 SOLD 3065.31				2,852.75	0.00
15SEP17	S 1 PD15DEC17	3013.00	3230.00	USD	-5,425.00	
15SEP17	S 1 PD15DEC17	3006.00	3230.00	USD	-5,600.00	
15SEP17	B 1 PD15DEC17	3033.00	3230.00	USD	4,925.00	
18SEP17	B 1 PD15DEC17	3097.85	3230.00	USD	3,303.75	
	NET 0 AVG BGHT 3065.43 SOLD 3009.50				-2,796.25	0.00
18SEP17	B 1 PD18DEC17	3073.00	3229.67	USD	3,916.75	
18SEP17	S 1 PD18DEC17	3073.00	3229.67	USD	-3,916.75	
18SEP17	B 1 PD18DEC17	3095.50	3229.67	USD	3,354.25	
18SEP17	B 1 PD18DEC17	3088.50	3229.67	USD	3,529.25	
22SEP17	S 2 PD18DEC17	3033.69	3229.67	USD	-9,799.00	
	NET 0 AVG BGHT 3085.67 SOLD 3046.79				-2,915.50	0.00
19SEP17	S 1 PD19DEC17	3107.50	3229.33	USD	-3,045.75	
19SEP17	S 1 PD19DEC17	3115.00	3229.33	USD	-2,858.25	
22SEP17	B 2 PD19DEC17	3034.05	3229.33	USD	9,764.00	
	NET 0 AVG BGHT 3034.05 SOLD 3111.25				3,860.00	0.00
20SEP17	B 1 PD20DEC17	3123.50	3229.00	USD	2,637.50	
20SEP17	S 1 PD20DEC17	3133.50	3229.00	USD	-2,387.50	
	NET 0 AVG BGHT 3123.50 SOLD 3133.50				250.00	0.00
21SEP17	S 1 PD21DEC17	3081.50	3225.00	USD	-3,587.50	
21SEP17	B 1 PD21DEC17	3099.00	3225.00	USD	3,150.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	3071.50	3225.00	USD	-3,837.50	
22SEP17	B	1	PD21DEC17	3032.70	3225.00	USD	4,807.50	
	NET	0AVG BGHT	3065.85				532.50	0.00
25SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
26SEP17	S	1	PD27DEC17	3117.00	3224.00	USD	-2,675.00	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
27SEP17	B	1	PD27DEC17	3145.00	3224.00	USD	1,975.00	
27SEP17	S	1	PD27DEC17	3120.00	3224.00	USD	-2,600.00	
27SEP17	S	1	PD27DEC17	3112.00	3224.00	USD	-2,800.00	
27SEP17	B	1	PD27DEC17	3118.00	3224.00	USD	2,650.00	
	NET	+1AVG BGHT	3119.00				2,425.00	0.00
28SEP17	S	1	PD28DEC17	3114.50	3223.50	USD	-2,725.00	
28SEP17	B	1	PD28DEC17	3115.50	3223.50	USD	2,700.00	
28SEP17	B	1	PD28DEC17	3138.50	3223.50	USD	2,125.00	
28SEP17	S	1	PD28DEC17	3135.00	3223.50	USD	-2,212.50	
28SEP17	S	1	PD28DEC17	3126.00	3223.50	USD	-2,437.50	
	NET	-1AVG BGHT	3127.00				-2,550.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	30,304.25
LME Copper Grade A 25	FUTURES	-1,896,331.50
CMX Gold	FUTURES	-900,220.00
LME Lead 25 tonnes. This is an	FUTURES	3,962.25
LME Nickel 6 tonnes. This is	FUTURES	-354,206.10
LME Tin 5 tonnes. This is an	FUTURES	3,243.90
LME Zinc 25 tonnes. This is	FUTURES	2,053.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	2,010.00	2,010.00	2,010.00	2,010.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	30,376.00	30,376.00		
COPL - Futures USD	-26,474.25	-26,474.25		
LEAD - Futures USD	3,962.25	3,962.25		
NKL - Futures USD	46,033.92	46,033.92		
TIN - Futures USD	2,897.85	2,897.85		
ZINC - Futures USD	2,066.00	2,066.00	58,861.77	58,861.77

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-23,123.25	-23,123.25
Variation Margins	- Futures	2,010.00	2,010.00
	- Options	0.00	0.00
TOTAL EQUITY		-21,113.25	-21,113.25
Initial Margins		-157,216.23	-157,216.23
Excess/(Shortage)		-178,329.48	-178,329.48
FOR INFORMATION ONLY			
Forward Unrealised P&L		58,861.77	58,861.77
Net Liquidating Value		37,748.52	37,748.52

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0045
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0045**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		621,541.82	621,541.82
Variation Margins	- Futures	2,010.00	2,010.00
	- Options	0.00	0.00
TOTAL EQUITY		623,551.82	623,551.82
Initial Margins		-1,031,142.24	-1,031,142.24
Excess/(Shortage)		-407,590.42	-407,590.42
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-152,877.24	-152,877.24
Net Liquidating Value		470,674.58	470,674.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0046A

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-50.00	-50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-50.00	-50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-50.00	-50.00
FOR INFORMATION ONLY		
Net Liquidating Value	-50.00	-50.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0046
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0046**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-50.00	-50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-50.00	-50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-50.00	-50.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-50.00	-50.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0052

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	9,864.59	9,864.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,864.59	9,864.59
Initial Margins	0.00	0.00
Excess/(Shortage)	9,864.59	9,864.59
FOR INFORMATION ONLY		
Net Liquidating Value	9,864.59	9,864.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0052
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0052**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	9,864.59	9,864.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,864.59	9,864.59
Initial Margins	0.00	0.00
Excess/(Shortage)	9,864.59	9,864.59
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	9,864.59	9,864.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-66,294.00	-66,294.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-66,294.00	-66,294.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-66,294.00	-66,294.00
FOR INFORMATION ONLY		
Net Liquidating Value	-66,294.00	-66,294.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054A

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	6,265.00	179,449.90	187,712.81
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	6,265.00	179,449.90	187,712.81
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	6,265.00	179,449.90	187,712.81
FOR INFORMATION ONLY			
Net Liquidating Value	6,265.00	179,449.90	187,712.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054B

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	50.00	50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	50.00	50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	50.00	50.00
FOR INFORMATION ONLY		
Net Liquidating Value	50.00	50.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054C

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	17,630.90	17,630.90
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	17,630.90	17,630.90
Initial Margins	0.00	0.00
Excess/(Shortage)	17,630.90	17,630.90
FOR INFORMATION ONLY		
Net Liquidating Value	17,630.90	17,630.90

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054D

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME EURO FX 125,000 CME</u>					
08AUG17	S 1 DEC17	1.18430	1.18970	USD	-675.00	
10OCT17	S 1 DEC17	1.18505	1.18970	USD	-581.25	
10OCT17	S 2 DEC17	1.18490	1.18970	USD	-1,200.00	
10OCT17	S 1 DEC17	1.18460	1.18970	USD	-637.50	
	NET -5 AVG SOLD 1.18475				-3,093.75	0.00
	<u>CMX GOLD 100 CMX</u>					
17AUG17	S 1 DEC17	1292.5	1288.9	USD	360.00	
17AUG17	S 1 DEC17	1292.8	1288.9	USD	390.00	
18AUG17	S 1 DEC17	1298.2	1288.9	USD	930.00	
21AUG17	S 1 DEC17	1293.0	1288.9	USD	410.00	
06SEP17	S 1 DEC17	1343.5	1288.9	USD	5,460.00	
06SEP17	S 2 DEC17	1345.1	1288.9	USD	11,240.00	
25SEP17	S 1 DEC17	1311.6	1288.9	USD	2,270.00	
	NET -8 AVG SOLD 1315.2				21,060.00	0.00
11OCT17	S 2 FEB18	1296.0	1293.1	USD	580.00	
	NET -2 AVG SOLD 1296.0				580.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
CME Euro FX	FUTURES	740,468.75
CMX Gold	FUTURES	1,311,380.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures	USD -3,093.75	-3,093.75		
GOLD - Futures	USD 21,640.00	21,640.00	18,546.25	18,546.25

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
	(	1.00000)	(1.00000)
CASH BALANCE		124,012.86	124,012.86
Variation Margins	- Futures	18,546.25	18,546.25
	- Options	0.00	0.00
TOTAL EQUITY		142,559.11	142,559.11
Initial Margins		-59,500.00	-59,500.00
Excess/(Shortage)		83,059.11	83,059.11
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value		142,559.11	142,559.11

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054E

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	185,711.50	185,711.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	185,711.50	185,711.50
Initial Margins	0.00	0.00
Excess/(Shortage)	185,711.50	185,711.50
FOR INFORMATION ONLY		
Net Liquidating Value	185,711.50	185,711.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054F

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
16AUG17	B 1 PD16NOV17	2059.50	2116.56	USD	1,426.50	
15SEP17	S 1 PD16NOV17	2078.03	2116.56	USD	-963.25	
	NET 0AVG BGHT 2059.50 SOLD 2078.03				463.25	0.00
24AUG17	S 1 PD24NOV17	2116.00	2119.03	USD	-75.75	
15SEP17	B 1 PD24NOV17	2080.49	2119.03	USD	963.50	
	NET 0AVG BGHT 2080.49 SOLD 2116.00				887.75	0.00
	<u>CMX GOLD 100 CMX</u>					
11OCT17	B 1 DEC17	1291.5	1288.9	USD	-260.00	
	NET +1AVG BGHT 1291.5				-260.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	B 1 PD09NOV17	2416.50	2557.89	USD	3,534.75	
09AUG17	B 1 PD09NOV17	2412.00	2557.89	USD	3,647.25	
15SEP17	S 2 PD09NOV17	2348.82	2557.89	USD	-10,453.50	
	NET 0AVG BGHT 2414.25 SOLD 2348.82				-3,271.50	0.00
16AUG17	B 1 PD16NOV17	2393.50	2558.75	USD	4,131.25	
15SEP17	S 1 PD16NOV17	2351.03	2558.75	USD	-5,193.00	
	NET 0AVG BGHT 2393.50 SOLD 2351.03				-1,061.75	0.00
18AUG17	S 1 PD17NOV17	2426.00	2558.75	USD	-3,318.75	
18AUG17	S 1 PD17NOV17	2424.50	2558.75	USD	-3,356.25	
18AUG17	S 1 PD17NOV17	2400.00	2558.75	USD	-3,968.75	
15SEP17	B 3 PD17NOV17	2351.50	2558.75	USD	15,543.75	
	NET 0AVG BGHT 2351.50 SOLD 2416.83				4,900.00	0.00
31AUG17	B 1 PD30NOV17	2398.00	2560.30	USD	4,057.50	
15SEP17	S 1 PD30NOV17	2354.88	2560.30	USD	-5,135.50	
	NET 0AVG BGHT 2398.00 SOLD 2354.88				-1,078.00	0.00
05SEP17	B 1 PD05DEC17	2342.50	2560.75	USD	5,456.25	
05SEP17	S 2 PD05DEC17	2325.00	2560.75	USD	-11,787.50	
15SEP17	B 1 PD05DEC17	2356.45	2560.75	USD	5,107.50	
	NET 0AVG BGHT 2349.48 SOLD 2325.00				-1,223.75	0.00
	<u>CMX SILVER 5,000 CMX</u>					
10OCT17	B 1 DEC17	1718.5	1713.3	USD	-260.00	
	NET +1AVG BGHT 1718.5				-260.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	S 1 PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	S 1 PD09NOV17	2972.50	3248.44	USD	-6,898.50	
15SEP17	B 2 PD09NOV17	3028.50	3248.44	USD	10,997.00	
	NET 0AVG BGHT 3028.50 SOLD 2973.75				-2,737.50	0.00
16AUG17	S 1 PD16NOV17	2982.00	3241.50	USD	-6,487.50	
16AUG17	S 1 PD16NOV17	2987.50	3241.50	USD	-6,350.00	
16AUG17	S 1 PD16NOV17	3020.00	3241.50	USD	-5,537.50	
16AUG17	S 1 PD16NOV17	3020.50	3241.50	USD	-5,525.00	
16AUG17	S 1 PD16NOV17	3021.00	3241.50	USD	-5,512.50	
16AUG17	B 3 PD16NOV17	3121.00	3241.50	USD	9,037.50	
15SEP17	B 2 PD16NOV17	3026.50	3241.50	USD	10,750.00	
	NET 0AVG BGHT 3083.20 SOLD 3006.20				-9,625.00	0.00
18AUG17	B 1 PD17NOV17	3136.00	3239.00	USD	2,575.00	
18AUG17	B 1 PD17NOV17	3137.50	3239.00	USD	2,537.50	
18AUG17	B 1 PD17NOV17	3114.50	3239.00	USD	3,112.50	
15SEP17	S 3 PD17NOV17	3026.46	3239.00	USD	-15,940.50	
	NET 0AVG BGHT 3129.33 SOLD 3026.46				-7,715.50	0.00
24AUG17	B 1 PD24NOV17	3127.50	3235.00	USD	2,687.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054F

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
15SEP17	S 1 PD24NOV17	3027.54	3235.00	USD	-5,186.50	
	NET 0 AVG BGHT 3127.50 SOLD 3027.54				-2,499.00	0.00
31AUG17	S 1 PD30NOV17	3136.00	3233.67	USD	-2,441.75	
15SEP17	B 1 PD30NOV17	3028.67	3233.67	USD	5,125.00	
	NET 0 AVG BGHT 3028.67 SOLD 3136.00				2,683.25	0.00
05SEP17	S 1 PD05DEC17	3186.50	3232.67	USD	-1,154.25	
05SEP17	B 2 PD05DEC17	3095.50	3232.67	USD	6,858.50	
15SEP17	S 1 PD05DEC17	3029.25	3232.67	USD	-5,085.50	
	NET 0 AVG BGHT 3095.50 SOLD 3107.88				618.75	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,351.00
CMX Gold	FUTURES	-129,150.00
LME Lead 25 tonnes. This is an	FUTURES	-1,735.00
CMX Silver	FUTURES	-85,925.00
LME Zinc 25 tonnes. This is	FUTURES	-19,275.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-260.00	-260.00		
SILV - Futures USD	-260.00	-260.00	-520.00	-520.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,351.00	1,351.00		
LEAD - Futures USD	-1,735.00	-1,735.00		
ZINC - Futures USD	-19,275.00	-19,275.00	-19,659.00	-19,659.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	137,953.60	137,953.60
Variation Margins - Futures	-520.00	-520.00
- Options	0.00	0.00
TOTAL EQUITY	137,433.60	137,433.60
Initial Margins	-30,459.00	-30,459.00
Excess/(Shortage)	106,974.60	106,974.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	-19,659.00	-19,659.00
Net Liquidating Value	117,774.60	117,774.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054G

11th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
09AUG17	B 1 PD09NOV17	2414.00	2557.89	USD	3,597.25	
27SEP17	S 1 PD09NOV17	2460.46	2557.89	USD	-2,435.75	
	NET 0AVG BGHT 2414.00 SOLD 2460.46				1,161.50	0.00
10AUG17	B 1 PD10NOV17	2370.00	2558.04	USD	4,701.00	
27SEP17	S 1 PD10NOV17	2460.55	2558.04	USD	-2,437.25	
	NET 0AVG BGHT 2370.00 SOLD 2460.55				2,263.75	0.00
16AUG17	B 1 PD16NOV17	2396.00	2558.75	USD	4,068.75	
27SEP17	S 1 PD16NOV17	2461.10	2558.75	USD	-2,441.25	
	NET 0AVG BGHT 2396.00 SOLD 2461.10				1,627.50	0.00
18AUG17	S 1 PD17NOV17	2394.00	2558.75	USD	-4,118.75	
18AUG17	S 1 PD17NOV17	2415.50	2558.75	USD	-3,581.25	
27SEP17	B 2 PD17NOV17	2461.40	2558.75	USD	4,867.50	
	NET 0AVG BGHT 2461.40 SOLD 2404.75				-2,832.50	0.00
22SEP17	S 1 PD22DEC17	2484.00	2563.50	USD	-1,987.50	
27SEP17	B 1 PD22DEC17	2463.03	2563.50	USD	2,511.75	
	NET 0AVG BGHT 2463.03 SOLD 2484.00				524.25	0.00
<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
09AUG17	S 1 PD09NOV17	2971.00	3248.44	USD	-6,936.00	
27SEP17	B 1 PD09NOV17	3111.25	3248.44	USD	3,429.75	
	NET 0AVG BGHT 3111.25 SOLD 2971.00				-3,506.25	0.00
10AUG17	S 1 PD10NOV17	2940.00	3247.33	USD	-7,683.25	
27SEP17	B 1 PD10NOV17	3110.94	3247.33	USD	3,409.75	
	NET 0AVG BGHT 3110.94 SOLD 2940.00				-4,273.50	0.00
16AUG17	S 1 PD16NOV17	3021.50	3241.50	USD	-5,500.00	
16AUG17	S 3 PD16NOV17	3022.00	3241.50	USD	-16,462.50	
16AUG17	S 3 PD16NOV17	3021.00	3241.50	USD	-16,537.50	
16AUG17	S 1 PD16NOV17	2989.00	3241.50	USD	-6,312.50	
16AUG17	B 1 PD16NOV17	3121.00	3241.50	USD	3,012.50	
16AUG17	B 2 PD16NOV17	3120.00	3241.50	USD	6,075.00	
16AUG17	B 2 PD16NOV17	3120.50	3241.50	USD	6,050.00	
16AUG17	B 2 PD16NOV17	3121.50	3241.50	USD	6,000.00	
27SEP17	B 1 PD16NOV17	3108.50	3241.50	USD	3,325.00	
	NET 0AVG BGHT 3119.19 SOLD 3017.44				-20,350.00	0.00
18AUG17	B 1 PD17NOV17	3107.00	3239.00	USD	3,300.00	
18AUG17	B 1 PD17NOV17	3142.00	3239.00	USD	2,425.00	
27SEP17	S 2 PD17NOV17	3106.80	3239.00	USD	-6,610.00	
	NET 0AVG BGHT 3124.50 SOLD 3106.80				-885.00	0.00
22SEP17	B 1 PD22DEC17	3054.50	3224.50	USD	4,250.00	
27SEP17	S 1 PD22DEC17	3105.55	3224.50	USD	-2,973.75	
	NET 0AVG BGHT 3054.50 SOLD 3105.55				1,276.25	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	2,744.50
LME Zinc 25 tonnes. This is FUTURES	-27,738.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
LEAD	- Futures	USD	2,744.50	2,744.50		
ZINC	- Futures	USD	-27,738.50	-27,738.50	-24,994.00	-24,994.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		124,985.90	124,985.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		124,985.90	124,985.90
Initial Margins		-24,994.00	-24,994.00
Excess/(Shortage)		99,991.90	99,991.90
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-24,994.00	-24,994.00
Net Liquidating Value		99,991.90	99,991.90

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
11OCT17	S	1	DEC17	308.500	309.550	USD	-262.50	
11OCT17	S	2	DEC17	307.800	309.550	USD	-875.00	
11OCT17	S	2	DEC17	308.300	309.550	USD	-625.00	
11OCT17	S	1	DEC17	308.600	309.550	USD	-237.50	
11OCT17	S	1	DEC17	309.050	309.550	USD	-125.00	
11OCT17	S	2	DEC17	309.100	309.550	USD	-225.00	
11OCT17	S	1	DEC17	309.150	309.550	USD	-100.00	
11OCT17	S	1	DEC17	309.400	309.550	USD	-37.50	
11OCT17	S	1	DEC17	309.350	309.550	USD	-50.00	
11OCT17	S	2	DEC17	309.000	309.550	USD	-275.00	
	NET -14 AVG SOLD 308.746						-2,812.50	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM							
06OCT17	B	3	NOV17	2.90500	2.88900	USD	-480.00	
10OCT17	B	1	NOV17	2.84100	2.88900	USD	480.00	
10OCT17	B	1	NOV17	2.84000	2.88900	USD	490.00	
	NET +5 AVG BGHT 2.87920						490.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	1	PD02NOV17	3013.04	3254.00	USD	-6,024.00	
14SEP17	S	1	PD02NOV17	3013.54	3254.00	USD	-6,011.50	
14SEP17	S	1	PD02NOV17	3013.18	3254.00	USD	-6,020.50	
14SEP17	S	1	PD02NOV17	3014.68	3254.00	USD	-5,983.00	
15SEP17	S	1	PD02NOV17	3022.40	3254.00	USD	-5,790.00	
15SEP17	S	1	PD02NOV17	3024.15	3254.00	USD	-5,746.25	
15SEP17	S	1	PD02NOV17	3020.40	3254.00	USD	-5,840.00	
15SEP17	S	1	PD02NOV17	3005.40	3254.00	USD	-6,215.00	
15SEP17	S	1	PD02NOV17	3005.65	3254.00	USD	-6,208.75	
15SEP17	S	1	PD02NOV17	3005.90	3254.00	USD	-6,202.50	
15SEP17	S	1	PD02NOV17	3023.90	3254.00	USD	-5,752.50	
15SEP17	S	2	PD02NOV17	3032.90	3254.00	USD	-11,055.00	
15SEP17	S	1	PD02NOV17	3024.28	3254.00	USD	-5,743.00	
18SEP17	S	1	PD02NOV17	3087.82	3254.00	USD	-4,154.50	
18SEP17	S	3	PD02NOV17	3088.32	3254.00	USD	-12,426.00	
18SEP17	S	2	PD02NOV17	3113.00	3254.00	USD	-7,050.00	
20SEP17	S	1	PD02NOV17	3150.27	3254.00	USD	-2,593.25	
20SEP17	S	1	PD02NOV17	3150.02	3254.00	USD	-2,599.50	
20SEP17	S	1	PD02NOV17	3151.02	3254.00	USD	-2,574.50	
25SEP17	S	1	PD02NOV17	3110.94	3254.00	USD	-3,576.50	
25SEP17	S	1	PD02NOV17	3104.23	3254.00	USD	-3,744.25	
26SEP17	S	2	PD02NOV17	3146.50	3254.00	USD	-5,375.00	
04OCT17	B	1	PD02NOV17	3286.25	3254.00	USD	-806.25	
09OCT17	B	1	PD02NOV17	3252.00	3254.00	USD	50.00	
10OCT17	B	9	PD02NOV17	3243.65	3254.00	USD	2,328.75	
	NET -16 AVG BGHT 3248.28 SOLD 3066.32						-125,113.00	0.00
14SEP17	S	1	PD14DEC17	3018.50	3230.33	USD	-5,295.75	
14SEP17	S	1	PD14DEC17	3016.50	3230.33	USD	-5,345.75	
14SEP17	S	2	PD14DEC17	3018.00	3230.33	USD	-10,616.50	
14SEP17	B	1	PD14DEC17	3017.90	3230.33	USD	5,310.75	
14SEP17	B	1	PD14DEC17	3018.40	3230.33	USD	5,298.25	
14SEP17	B	1	PD14DEC17	3018.16	3230.33	USD	5,304.25	
14SEP17	B	1	PD14DEC17	3019.66	3230.33	USD	5,266.75	
	NET 0 AVG BGHT 3018.53 SOLD 3017.75						-78.00	0.00
15SEP17	S	1	PD15DEC17	3026.00	3230.00	USD	-5,100.00	
15SEP17	S	1	PD15DEC17	3033.00	3230.00	USD	-4,925.00	
15SEP17	S	1	PD15DEC17	3032.00	3230.00	USD	-4,950.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	S	1	PD15DEC17	3020.50	3230.00	USD	-5,237.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3019.50	3230.00	USD	-5,262.50	
15SEP17	S	1	PD15DEC17	3018.00	3230.00	USD	-5,300.00	
15SEP17	S	1	PD15DEC17	3025.00	3230.00	USD	-5,125.00	
15SEP17	S	2	PD15DEC17	3024.50	3230.00	USD	-10,275.00	
15SEP17	B	1	PD15DEC17	3025.91	3230.00	USD	5,102.25	
15SEP17	B	1	PD15DEC17	3027.91	3230.00	USD	5,052.25	
15SEP17	B	1	PD15DEC17	3024.16	3230.00	USD	5,146.00	
15SEP17	B	1	PD15DEC17	3009.16	3230.00	USD	5,521.00	
15SEP17	B	1	PD15DEC17	3009.41	3230.00	USD	5,514.75	
15SEP17	B	1	PD15DEC17	3009.66	3230.00	USD	5,508.50	
15SEP17	B	1	PD15DEC17	3027.66	3230.00	USD	5,058.50	
15SEP17	B	2	PD15DEC17	3036.66	3230.00	USD	9,667.00	
15SEP17	B	1	PD15DEC17	3028.15	3230.00	USD	5,046.25	
	NET	0 AVG BGHT 3023.53	SOLD 3025.30				441.50	0.00
18SEP17	S	1	PD18DEC17	3090.50	3229.67	USD	-3,479.25	
18SEP17	S	1	PD18DEC17	3094.00	3229.67	USD	-3,391.75	
18SEP17	S	1	PD18DEC17	3092.00	3229.67	USD	-3,441.75	
18SEP17	S	1	PD18DEC17	3092.50	3229.67	USD	-3,429.25	
18SEP17	B	1	PD18DEC17	3091.15	3229.67	USD	3,463.00	
18SEP17	B	3	PD18DEC17	3091.65	3229.67	USD	10,351.50	
18SEP17	S	1	PD18DEC17	3110.50	3229.67	USD	-2,979.25	
18SEP17	S	1	PD18DEC17	3111.00	3229.67	USD	-2,966.75	
18SEP17	B	2	PD18DEC17	3113.31	3229.67	USD	5,818.00	
	NET	0 AVG BGHT 3098.79	SOLD 3098.42				-55.50	0.00
20SEP17	S	1	PD20DEC17	3151.00	3229.00	USD	-1,950.00	
20SEP17	S	2	PD20DEC17	3149.50	3229.00	USD	-3,975.00	
20SEP17	B	1	PD20DEC17	3150.66	3229.00	USD	1,958.50	
20SEP17	B	1	PD20DEC17	3151.41	3229.00	USD	1,939.75	
20SEP17	B	1	PD20DEC17	3150.41	3229.00	USD	1,964.75	
	NET	0 AVG BGHT 3150.83	SOLD 3150.00				-62.00	0.00
25SEP17	S	1	PD27DEC17	3094.50	3224.00	USD	-3,237.50	
25SEP17	S	1	PD27DEC17	3104.00	3224.00	USD	-3,000.00	
25SEP17	B	1	PD27DEC17	3103.66	3224.00	USD	3,008.50	
25SEP17	B	1	PD27DEC17	3096.91	3224.00	USD	3,177.25	
26SEP17	S	2	PD27DEC17	3119.50	3224.00	USD	-5,225.00	
26SEP17	B	2	PD27DEC17	3137.15	3224.00	USD	4,342.50	
	NET	0 AVG BGHT 3118.72	SOLD 3109.38				-934.25	0.00
03OCT17	B	1	PD03JAN18	3262.50	3222.00	USD	-1,012.50	
03OCT17	B	1	PD03JAN18	3262.00	3222.00	USD	-1,000.00	
	NET	+2 AVG BGHT 3262.25					-2,012.50	0.00
04OCT17	B	1	PD04JAN18	3276.00	3221.50	USD	-1,362.50	
04OCT17	S	1	PD04JAN18	3273.60	3221.50	USD	1,302.50	
	NET	0 AVG BGHT 3276.00	SOLD 3273.60				-60.00	0.00
09OCT17	B	1	PD09JAN18	3245.50	3220.00	USD	-637.50	
09OCT17	S	1	PD09JAN18	3232.85	3220.00	USD	321.25	
	NET	0 AVG BGHT 3245.50	SOLD 3232.85				-316.25	0.00
10OCT17	B	2	PD10JAN18	3247.50	3219.50	USD	-1,400.00	
10OCT17	B	1	PD10JAN18	3245.00	3219.50	USD	-637.50	
10OCT17	B	2	PD10JAN18	3243.50	3219.50	USD	-1,200.00	
10OCT17	B	1	PD10JAN18	3231.50	3219.50	USD	-300.00	
10OCT17	B	1	PD10JAN18	3230.50	3219.50	USD	-275.00	
10OCT17	B	1	PD10JAN18	3227.50	3219.50	USD	-200.00	
10OCT17	B	1	PD10JAN18	3226.50	3219.50	USD	-175.00	
10OCT17	S	9	PD10JAN18	3219.85	3219.50	USD	78.75	
	NET	0 AVG BGHT 3238.11	SOLD 3219.85				-4,108.75	0.00

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	1,080,612.50
NYM Henry Hub Natural Gas	FUTURES	-143,960.00
LME Zinc 25 tonnes. This is	FUTURES	1,008,201.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-2,812.50	-2,812.50		
HGAS - Futures USD	490.00	490.00	-2,322.50	-2,322.50
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-132,298.75	-132,298.75	-132,298.75	-132,298.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-63,555.70	-63,555.70
- Options	-2,322.50	-2,322.50
	0.00	0.00
TOTAL EQUITY	-65,878.20	-65,878.20
Initial Margins	-262,366.75	-262,366.75
Excess/(Shortage)	-328,244.95	-328,244.95
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-132,298.75	-132,298.75
Net Liquidating Value	-198,176.95	-198,176.95

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
15SEP17	S 1 PD15DEC17	2088.00	2125.51	USD	-937.75	
03OCT17	B 1 PD15DEC17	2126.39	2125.51	USD	-22.00	
	NET 0AVG BGHT 2126.39 SOLD 2088.00				-959.75	0.00
19SEP17	S 1 PD19DEC17	2133.50	2126.75	USD	168.75	
28SEP17	B 1 PD19DEC17	2128.45	2126.75	USD	-42.50	
	NET 0AVG BGHT 2128.45 SOLD 2133.50				126.25	0.00
20SEP17	S 1 PD20DEC17	2144.50	2126.75	USD	443.75	
03OCT17	B 1 PD20DEC17	2127.75	2126.75	USD	-25.00	
	NET 0AVG BGHT 2127.75 SOLD 2144.50				418.75	0.00
21SEP17	S 1 PD21DEC17	2183.00	2126.75	USD	1,406.25	
28SEP17	B 1 PD21DEC17	2129.03	2126.75	USD	-57.00	
	NET 0AVG BGHT 2129.03 SOLD 2183.00				1,349.25	0.00
27SEP17	B 1 PD27DEC17	2126.50	2127.29	USD	19.75	
27SEP17	B 1 PD27DEC17	2129.00	2127.29	USD	-42.75	
28SEP17	S 2 PD27DEC17	2130.52	2127.29	USD	161.50	
	NET 0AVG BGHT 2127.75 SOLD 2130.52				138.50	0.00
28SEP17	B 1 PD28DEC17	2127.00	2127.39	USD	9.75	
03OCT17	S 1 PD28DEC17	2129.41	2127.39	USD	50.50	
	NET 0AVG BGHT 2127.00 SOLD 2129.41				60.25	0.00
29SEP17	B 1 PD29DEC17	2106.50	2127.50	USD	525.00	
03OCT17	S 1 PD29DEC17	2129.64	2127.50	USD	53.50	
	NET 0AVG BGHT 2106.50 SOLD 2129.64				578.50	0.00
	<u>CME EURO FX 125,000 CME</u>					
01SEP17	S 2 DEC17	1.19320	1.18970	USD	875.00	
01SEP17	S 1 DEC17	1.19350	1.18970	USD	475.00	
01SEP17	S 1 DEC17	1.19330	1.18970	USD	450.00	
01SEP17	S 1 DEC17	1.19315	1.18970	USD	431.25	
01SEP17	S 2 DEC17	1.19295	1.18970	USD	812.50	
12SEP17	S 1 DEC17	1.20220	1.18970	USD	1,562.50	
12SEP17	S 9 DEC17	1.20230	1.18970	USD	14,175.00	
	NET -17AVG SOLD 1.19854				18,781.25	0.00
	<u>CMX GOLD 100 CMX</u>					
18AUG17	S 1 DEC17	1299.9	1288.9	USD	1,100.00	
18AUG17	S 2 DEC17	1305.8	1288.9	USD	3,380.00	
18AUG17	S 1 DEC17	1305.9	1288.9	USD	1,700.00	
21AUG17	S 2 DEC17	1293.6	1288.9	USD	940.00	
21AUG17	S 1 DEC17	1293.3	1288.9	USD	440.00	
28AUG17	S 1 DEC17	1310.8	1288.9	USD	2,190.00	
28AUG17	S 1 DEC17	1311.5	1288.9	USD	2,260.00	
30AUG17	S 2 DEC17	1317.5	1288.9	USD	5,720.00	
30AUG17	S 2 DEC17	1315.4	1288.9	USD	5,300.00	
31AUG17	S 1 DEC17	1322.3	1288.9	USD	3,340.00	
31AUG17	S 1 DEC17	1322.8	1288.9	USD	3,390.00	
31AUG17	S 1 DEC17	1323.0	1288.9	USD	3,410.00	
05SEP17	S 1 DEC17	1343.4	1288.9	USD	5,450.00	
05SEP17	S 2 DEC17	1343.7	1288.9	USD	10,960.00	
06SEP17	S 1 DEC17	1342.7	1288.9	USD	5,380.00	
10OCT17	S 1 DEC17	1289.4	1288.9	USD	50.00	
10OCT17	S 1 DEC17	1291.0	1288.9	USD	210.00	
11OCT17	S 1 DEC17	1292.9	1288.9	USD	400.00	
	NET -23AVG SOLD 1313.1				55,620.00	0.00
11OCT17	S 1 FEB18	1294.4	1293.1	USD	130.00	
	NET -1AVG SOLD 1294.4				130.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>					

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	2403.00	2558.75	USD	-3,893.75	
16AUG17	S	1	PD16NOV17	2407.00	2558.75	USD	-3,793.75	
16AUG17	B	1	PD16NOV17	2492.00	2558.75	USD	1,668.75	
21AUG17	B	1	PD16NOV17	2346.00	2558.75	USD	5,318.75	
	NET	0 AVG BGHT 2419.00	SOLD 2405.00				-700.00	0.00
17AUG17	B	1	PD17NOV17	2530.00	2558.75	USD	718.75	
21AUG17	S	1	PD17NOV17	2346.00	2558.75	USD	-5,318.75	
	NET	0 AVG BGHT 2530.00	SOLD 2346.00				-4,600.00	0.00
31AUG17	S	1	PD30NOV17	2389.50	2560.30	USD	-4,270.00	
31AUG17	B	1	PD30NOV17	2389.00	2560.30	USD	4,282.50	
	NET	0 AVG BGHT 2389.00	SOLD 2389.50				12.50	0.00
06SEP17	S	1	PD06DEC17	2359.00	2561.00	USD	-5,050.00	
21SEP17	B	1	PD06DEC17	2517.80	2561.00	USD	1,080.00	
	NET	0 AVG BGHT 2517.80	SOLD 2359.00				-3,970.00	0.00
13SEP17	S	1	PD13DEC17	2296.00	2562.75	USD	-6,668.75	
13SEP17	S	1	PD13DEC17	2299.00	2562.75	USD	-6,593.75	
13SEP17	S	1	PD13DEC17	2308.00	2562.75	USD	-6,368.75	
21SEP17	B	3	PD13DEC17	2517.90	2562.75	USD	3,363.75	
	NET	0 AVG BGHT 2517.90	SOLD 2301.00				-16,267.50	0.00
14SEP17	B	1	PD14DEC17	2322.00	2563.00	USD	6,025.00	
21SEP17	S	1	PD14DEC17	2517.71	2563.00	USD	-1,132.25	
	NET	0 AVG BGHT 2322.00	SOLD 2517.71				4,892.75	0.00
19SEP17	B	1	PD19DEC17	2418.00	2564.25	USD	3,656.25	
19SEP17	S	1	PD19DEC17	2420.50	2564.25	USD	-3,593.75	
	NET	0 AVG BGHT 2418.00	SOLD 2420.50				62.50	0.00
20SEP17	B	1	PD20DEC17	2442.00	2564.50	USD	3,062.50	
20SEP17	B	1	PD20DEC17	2459.50	2564.50	USD	2,625.00	
20SEP17	B	1	PD20DEC17	2460.00	2564.50	USD	2,612.50	
21SEP17	S	3	PD20DEC17	2517.80	2564.50	USD	-3,502.50	
	NET	0 AVG BGHT 2453.83	SOLD 2517.80				4,797.50	0.00
22SEP17	B	1	PD22DEC17	2383.50	2563.50	USD	4,500.00	
22SEP17	S	1	PD22DEC17	2405.00	2563.50	USD	-3,962.50	
22SEP17	S	1	PD22DEC17	2480.00	2563.50	USD	-2,087.50	
28SEP17	B	1	PD22DEC17	2471.75	2563.50	USD	2,293.75	
	NET	0 AVG BGHT 2427.63	SOLD 2442.50				743.75	0.00
25SEP17	B	1	PD27DEC17	2481.50	2563.50	USD	2,050.00	
28SEP17	S	1	PD27DEC17	2470.68	2563.50	USD	-2,320.50	
	NET	0 AVG BGHT 2481.50	SOLD 2470.68				-270.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2824.50	3286.33	USD	-11,545.75	
17JUL17	S	1	PD17OCT17	2843.50	3286.33	USD	-11,070.75	
18JUL17	B	1	PD17OCT17	2793.81	3286.33	USD	12,313.00	
25JUL17	B	1	PD17OCT17	2836.88	3286.33	USD	11,236.25	
	NET	0 AVG BGHT 2815.35	SOLD 2834.00				932.75	0.00
18JUL17	B	1	PD18OCT17	2791.50	3282.00	USD	12,262.50	
18JUL17	S	1	PD18OCT17	2793.80	3282.00	USD	-12,205.00	
	NET	0 AVG BGHT 2791.50	SOLD 2793.80				57.50	0.00
21JUL17	B	1	PD20OCT17	2753.00	3273.00	USD	13,000.00	
25JUL17	S	1	PD20OCT17	2834.97	3273.00	USD	-10,950.75	
	NET	0 AVG BGHT 2753.00	SOLD 2834.97				2,049.25	0.00
24AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
24AUG17	B	1	PD24NOV17	3107.00	3235.00	USD	3,200.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	3106.50	3235.00	USD	3,212.50	
24AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
24AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
25AUG17	S	1	PD24NOV17	3066.50	3235.00	USD	-4,212.50	
25AUG17	S	1	PD24NOV17	3065.50	3235.00	USD	-4,237.50	
25AUG17	S	1	PD24NOV17	3063.00	3235.00	USD	-4,300.00	
25AUG17	S	1	PD24NOV17	3062.50	3235.00	USD	-4,312.50	
25AUG17	S	1	PD24NOV17	3068.50	3235.00	USD	-4,162.50	
	NET	0 AVG	BGHT 3107.60	SOLD 3065.20			-5,300.00	0.00
30AUG17	S	1	PD30NOV17	3109.50	3233.67	USD	-3,104.25	
30AUG17	S	1	PD30NOV17	3096.50	3233.67	USD	-3,429.25	
31AUG17	S	1	PD30NOV17	3121.00	3233.67	USD	-2,816.75	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
04SEP17	B	4	PD30NOV17	3204.50	3233.67	USD	2,917.00	
	NET	0 AVG	BGHT 3204.50	SOLD 3112.88			-9,162.50	0.00
01SEP17	B	1	PD01DEC17	3205.00	3233.33	USD	708.25	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	B	1	PD01DEC17	3209.00	3233.33	USD	608.25	
01SEP17	B	1	PD01DEC17	3208.50	3233.33	USD	620.75	
04SEP17	S	4	PD01DEC17	3204.43	3233.33	USD	-2,890.00	
	NET	0 AVG	BGHT 3207.63	SOLD 3204.43			-319.50	0.00
28SEP17	B	2	PD28DEC17	3155.00	3223.50	USD	3,425.00	
28SEP17	B	1	PD28DEC17	3158.00	3223.50	USD	1,637.50	
28SEP17	B	1	PD28DEC17	3157.50	3223.50	USD	1,650.00	
03OCT17	S	4	PD28DEC17	3264.00	3223.50	USD	4,050.00	
	NET	0 AVG	BGHT 3156.38	SOLD 3264.00			10,762.50	0.00
29SEP17	S	1	PD29DEC17	3152.00	3223.00	USD	-1,775.00	
29SEP17	S	1	PD29DEC17	3153.00	3223.00	USD	-1,750.00	
29SEP17	S	1	PD29DEC17	3157.00	3223.00	USD	-1,650.00	
29SEP17	S	1	PD29DEC17	3156.50	3223.00	USD	-1,662.50	
03OCT17	B	4	PD29DEC17	3264.20	3223.00	USD	-4,120.00	
	NET	0 AVG	BGHT 3264.20	SOLD 3154.63			-10,957.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,711.75
CME Euro FX	FUTURES	2,546,893.75
CMX Gold	FUTURES	3,149,530.00
LME Lead 25 tonnes. This is an	FUTURES	-15,299.00
LME Zinc 25 tonnes. This is	FUTURES	-11,937.50

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	18,781.25	18,781.25		
GOLD - Futures USD	55,750.00	55,750.00	74,531.25	74,531.25
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,711.75	1,711.75		
LEAD - Futures USD	-15,299.00	-15,299.00		
ZINC - Futures USD	-11,937.50	-11,937.50	-25,524.75	-25,524.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	154,368.85	154,368.85
Variation Margins - Futures	74,531.25	74,531.25
- Options	0.00	0.00
TOTAL EQUITY	228,900.10	228,900.10
Initial Margins	-178,824.75	-178,824.75
Excess/(Shortage)	50,075.35	50,075.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	-25,524.75	-25,524.75
Net Liquidating Value	203,375.35	203,375.35

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,400.00	-1,400.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,400.00	-1,400.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,400.00	-1,400.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,400.00	-1,400.00

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
20JUL17	S	1	PD20OCT17	1928.50	2108.25	USD	-4,493.75	
20JUL17	B	1	PD20OCT17	1923.50	2108.25	USD	4,618.75	
	NET	0 AVG	BGHT 1923.50				125.00	0.00
26JUL17	S	1	PD26OCT17	1960.50	2111.25	USD	-3,768.75	
26JUL17	B	1	PD26OCT17	1943.50	2111.25	USD	4,193.75	
26JUL17	B	1	PD26OCT17	1936.00	2111.25	USD	4,381.25	
26JUL17	S	1	PD26OCT17	1957.50	2111.25	USD	-3,843.75	
	NET	0 AVG	BGHT 1939.75				962.50	0.00
27JUL17	S	1	PD27OCT17	1950.00	2111.00	USD	-4,025.00	
27JUL17	B	2	PD27OCT17	1938.00	2111.00	USD	8,650.00	
27JUL17	B	1	PD27OCT17	1937.50	2111.00	USD	4,337.50	
28JUL17	S	1	PD27OCT17	1921.50	2111.00	USD	-4,737.50	
16AUG17	S	1	PD27OCT17	2090.51	2111.00	USD	-512.25	
	NET	0 AVG	BGHT 1937.83				3,712.75	0.00
31JUL17	S	1	PD31OCT17	1919.50	2110.50	USD	-4,775.00	
16AUG17	B	1	PD31OCT17	2091.34	2110.50	USD	479.00	
	NET	0 AVG	BGHT 2091.34				-4,296.00	0.00
04AUG17	B	1	PD03NOV17	1906.00	2111.44	USD	5,136.00	
04AUG17	B	1	PD03NOV17	1904.50	2111.44	USD	5,173.50	
16AUG17	S	2	PD03NOV17	2091.62	2111.44	USD	-991.00	
	NET	0 AVG	BGHT 1905.25				9,318.50	0.00
07AUG17	S	1	PD07NOV17	1928.50	2112.69	USD	-4,604.75	
07AUG17	S	1	PD07NOV17	1936.00	2112.69	USD	-4,417.25	
07AUG17	S	1	PD07NOV17	1946.00	2112.69	USD	-4,167.25	
16AUG17	B	1	PD07NOV17	2092.25	2112.69	USD	511.00	
16AUG17	B	2	PD07NOV17	2092.45	2112.69	USD	1,012.00	
	NET	0 AVG	BGHT 2092.38				-11,666.25	0.00
08AUG17	S	1	PD08NOV17	1996.50	2113.00	USD	-2,912.50	
08AUG17	B	1	PD08NOV17	1990.00	2113.00	USD	3,075.00	
08AUG17	B	1	PD08NOV17	1999.50	2113.00	USD	2,837.50	
16AUG17	S	1	PD08NOV17	2092.30	2113.00	USD	-517.50	
	NET	0 AVG	BGHT 1994.75				2,482.50	0.00
11AUG17	S	1	PD10NOV17	2029.50	2113.93	USD	-2,110.75	
11AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
11AUG17	S	2	PD10NOV17	2041.50	2113.93	USD	-3,621.50	
16AUG17	B	4	PD10NOV17	2093.00	2113.93	USD	2,093.00	
	NET	0 AVG	BGHT 2093.00				-5,437.50	0.00
14AUG17	B	1	PD14NOV17	2034.50	2115.79	USD	2,032.25	
14AUG17	B	2	PD14NOV17	2035.50	2115.79	USD	4,014.50	
14AUG17	B	1	PD14NOV17	2036.50	2115.79	USD	1,982.25	
16AUG17	S	4	PD14NOV17	2093.80	2115.79	USD	-2,199.00	
	NET	0 AVG	BGHT 2035.50				5,830.00	0.00
16AUG17	S	1	PD16NOV17	2069.00	2116.56	USD	-1,189.00	
16AUG17	B	1	PD16NOV17	2059.00	2116.56	USD	1,439.00	
	NET	0 AVG	BGHT 2059.00				250.00	0.00
18AUG17	S	1	PD17NOV17	2091.50	2116.87	USD	-634.25	
18AUG17	S	1	PD17NOV17	2062.50	2116.87	USD	-1,359.25	
18AUG17	S	2	PD17NOV17	2062.00	2116.87	USD	-2,743.50	
06SEP17	B	4	PD17NOV17	2100.00	2116.87	USD	1,687.00	
	NET	0 AVG	BGHT 2100.00				-3,050.00	0.00
21AUG17	S	1	PD21NOV17	2087.50	2118.10	USD	-765.00	
21AUG17	S	1	PD21NOV17	2087.00	2118.10	USD	-777.50	
21AUG17	B	1	PD21NOV17	2091.50	2118.10	USD	665.00	
21AUG17	B	1	PD21NOV17	2089.50	2118.10	USD	715.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21AUG17	B	1	PD21NOV17	2090.00	2118.10	USD	702.50	
21AUG17	B	1	PD21NOV17	2089.00	2118.10	USD	727.50	
21AUG17	B	1	PD21NOV17	2085.50	2118.10	USD	815.00	
21AUG17	S	1	PD21NOV17	2081.50	2118.10	USD	-915.00	
21AUG17	S	1	PD21NOV17	2082.00	2118.10	USD	-902.50	
06SEP17	S	1	PD21NOV17	2100.75	2118.10	USD	-433.75	
	NET	0 AVG	BGHT 2089.10	SOLD 2087.75			-168.75	0.00
22AUG17	B	1	PD22NOV17	2073.00	2118.41	USD	1,135.25	
22AUG17	B	2	PD22NOV17	2074.00	2118.41	USD	2,220.50	
06SEP17	S	3	PD22NOV17	2100.98	2118.41	USD	-1,307.25	
	NET	0 AVG	BGHT 2073.67	SOLD 2100.98			2,048.50	0.00
23AUG17	S	1	PD24NOV17	2081.00	2119.03	USD	-950.75	
23AUG17	B	1	PD24NOV17	2109.00	2119.03	USD	250.75	
24AUG17	S	1	PD24NOV17	2099.00	2119.03	USD	-500.75	
24AUG17	B	1	PD24NOV17	2093.50	2119.03	USD	638.25	
	NET	0 AVG	BGHT 2101.25	SOLD 2090.00			-562.50	0.00
31AUG17	S	1	PD30NOV17	2106.00	2120.88	USD	-372.00	
06SEP17	B	1	PD30NOV17	2103.08	2120.88	USD	445.00	
	NET	0 AVG	BGHT 2103.08	SOLD 2106.00			73.00	0.00
01SEP17	B	1	PD01DEC17	2129.50	2121.19	USD	-207.75	
06SEP17	S	1	PD01DEC17	2103.12	2121.19	USD	-451.75	
	NET	0 AVG	BGHT 2129.50	SOLD 2103.12			-659.50	0.00
04SEP17	S	1	PD04DEC17	2131.50	2122.12	USD	234.50	
04SEP17	B	1	PD04DEC17	2119.00	2122.12	USD	78.00	
	NET	0 AVG	BGHT 2119.00	SOLD 2131.50			312.50	0.00
06SEP17	S	1	PD06DEC17	2088.50	2122.74	USD	-856.00	
02OCT17	B	1	PD06DEC17	2093.75	2122.74	USD	724.75	
	NET	0 AVG	BGHT 2093.75	SOLD 2088.50			-131.25	0.00
07SEP17	B	1	PD07DEC17	2098.00	2123.04	USD	626.00	
02OCT17	S	1	PD07DEC17	2093.84	2123.04	USD	-730.00	
	NET	0 AVG	BGHT 2098.00	SOLD 2093.84			-104.00	0.00
11SEP17	S	1	PD11DEC17	2106.50	2124.28	USD	-444.50	
11SEP17	S	1	PD11DEC17	2124.00	2124.28	USD	-7.00	
11SEP17	B	1	PD11DEC17	2118.50	2124.28	USD	144.50	
11SEP17	B	1	PD11DEC17	2119.00	2124.28	USD	132.00	
	NET	0 AVG	BGHT 2118.75	SOLD 2115.25			-175.00	0.00
12SEP17	S	2	PD12DEC17	2117.50	2124.59	USD	-354.50	
12SEP17	S	1	PD12DEC17	2123.00	2124.59	USD	-39.75	
12SEP17	S	1	PD12DEC17	2122.00	2124.59	USD	-64.75	
12SEP17	S	1	PD12DEC17	2123.50	2124.59	USD	-27.25	
12SEP17	S	1	PD12DEC17	2139.00	2124.59	USD	360.25	
12SEP17	S	1	PD12DEC17	2141.50	2124.59	USD	422.75	
12SEP17	B	1	PD12DEC17	2139.00	2124.59	USD	-360.25	
12SEP17	S	1	PD12DEC17	2140.50	2124.59	USD	397.75	
02OCT17	B	7	PD12DEC17	2095.46	2124.59	USD	5,097.75	
	NET	0 AVG	BGHT 2100.90	SOLD 2128.06			5,432.00	0.00
13SEP17	B	2	PD13DEC17	2134.50	2124.90	USD	-480.00	
13SEP17	S	1	PD13DEC17	2134.00	2124.90	USD	227.50	
13SEP17	B	2	PD13DEC17	2131.50	2124.90	USD	-330.00	
13SEP17	B	1	PD13DEC17	2130.00	2124.90	USD	-127.50	
13SEP17	B	1	PD13DEC17	2126.00	2124.90	USD	-27.50	
13SEP17	B	1	PD13DEC17	2123.00	2124.90	USD	47.50	
13SEP17	S	1	PD13DEC17	2118.00	2124.90	USD	-172.50	
13SEP17	S	1	PD13DEC17	2111.50	2124.90	USD	-335.00	

OPEN POSITION STATEMENT



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SAP0054K

11th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
13SEP17	S	1	PD13DEC17		2116.50	2124.90	USD	-210.00	
02OCT17	S	3	PD13DEC17		2095.75	2124.90	USD	-2,186.25	
	NET	0 AVG	BGHT 2130.14	SOLD 2109.61				-3,593.75	0.00
14SEP17	S	1	PD14DEC17		2124.50	2125.21	USD	-17.75	
14SEP17	B	1	PD14DEC17		2091.50	2125.21	USD	842.75	
14SEP17	B	1	PD14DEC17		2081.50	2125.21	USD	1,092.75	
14SEP17	B	1	PD14DEC17		2088.50	2125.21	USD	917.75	
14SEP17	S	1	PD14DEC17		2099.50	2125.21	USD	-642.75	
14SEP17	S	1	PD14DEC17		2102.50	2125.21	USD	-567.75	
14SEP17	S	1	PD14DEC17		2097.50	2125.21	USD	-692.75	
14SEP17	S	1	PD14DEC17		2100.00	2125.21	USD	-630.25	
14SEP17	S	1	PD14DEC17		2104.00	2125.21	USD	-530.25	
02OCT17	B	3	PD14DEC17		2096.24	2125.21	USD	2,172.75	
	NET	0 AVG	BGHT 2091.70	SOLD 2104.67				1,944.50	0.00
15SEP17	B	1	PD15DEC17		2096.50	2125.51	USD	725.25	
15SEP17	B	1	PD15DEC17		2098.00	2125.51	USD	687.75	
15SEP17	B	1	PD15DEC17		2102.50	2125.51	USD	575.25	
15SEP17	B	1	PD15DEC17		2097.00	2125.51	USD	712.75	
15SEP17	B	1	PD15DEC17		2098.50	2125.51	USD	675.25	
15SEP17	B	1	PD15DEC17		2094.50	2125.51	USD	775.25	
15SEP17	B	1	PD15DEC17		2089.00	2125.51	USD	912.75	
15SEP17	S	4	PD15DEC17		2087.00	2125.51	USD	-3,851.00	
15SEP17	S	1	PD15DEC17		2087.50	2125.51	USD	-950.25	
15SEP17	S	2	PD15DEC17		2088.00	2125.51	USD	-1,875.50	
	NET	0 AVG	BGHT 2096.57	SOLD 2087.36				-1,612.50	0.00
18SEP17	B	1	PD18DEC17		2090.50	2126.44	USD	898.50	
18SEP17	B	1	PD18DEC17		2093.00	2126.44	USD	836.00	
02OCT17	S	2	PD18DEC17		2096.98	2126.44	USD	-1,473.00	
	NET	0 AVG	BGHT 2091.75	SOLD 2096.98				261.50	0.00
19SEP17	S	1	PD19DEC17		2109.50	2126.75	USD	-431.25	
19SEP17	S	1	PD19DEC17		2114.00	2126.75	USD	-318.75	
19SEP17	B	1	PD19DEC17		2123.00	2126.75	USD	93.75	
19SEP17	B	1	PD19DEC17		2126.00	2126.75	USD	18.75	
19SEP17	B	1	PD19DEC17		2116.00	2126.75	USD	268.75	
19SEP17	B	1	PD19DEC17		2117.00	2126.75	USD	243.75	
19SEP17	S	1	PD19DEC17		2127.50	2126.75	USD	18.75	
19SEP17	S	1	PD19DEC17		2127.00	2126.75	USD	6.25	
	NET	0 AVG	BGHT 2120.50	SOLD 2119.50				-100.00	0.00
20SEP17	B	1	PD20DEC17		2162.00	2126.75	USD	-881.25	
20SEP17	B	2	PD20DEC17		2159.00	2126.75	USD	-1,612.50	
20SEP17	B	1	PD20DEC17		2155.50	2126.75	USD	-718.75	
20SEP17	B	1	PD20DEC17		2157.50	2126.75	USD	-768.75	
20SEP17	B	1	PD20DEC17		2178.00	2126.75	USD	-1,281.25	
20SEP17	B	1	PD20DEC17		2167.50	2126.75	USD	-1,018.75	
20SEP17	S	1	PD20DEC17		2173.50	2126.75	USD	1,168.75	
20SEP17	S	1	PD20DEC17		2176.00	2126.75	USD	1,231.25	
20SEP17	S	2	PD20DEC17		2181.50	2126.75	USD	2,737.50	
20SEP17	S	3	PD20DEC17		2183.00	2126.75	USD	4,218.75	
	NET	0 AVG	BGHT 2162.64	SOLD 2180.21				3,075.00	0.00
22SEP17	S	1	PD22DEC17		2152.00	2126.75	USD	631.25	
02OCT17	B	1	PD22DEC17		2098.25	2126.75	USD	712.50	
	NET	0 AVG	BGHT 2098.25	SOLD 2152.00				1,343.75	0.00
25SEP17	B	2	PD27DEC17		2152.50	2127.29	USD	-1,260.50	
25SEP17	B	1	PD27DEC17		2147.00	2127.29	USD	-492.75	
25SEP17	B	1	PD27DEC17		2133.50	2127.29	USD	-155.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
25SEP17	B	1	PD27DEC17	2135.00	2127.29	USD	-192.75	
25SEP17	B	1	PD27DEC17	2135.50	2127.29	USD	-205.25	
25SEP17	S	1	PD27DEC17	2152.50	2127.29	USD	630.25	
25SEP17	S	1	PD27DEC17	2154.50	2127.29	USD	680.25	
26SEP17	B	1	PD27DEC17	2156.00	2127.29	USD	-717.75	
26SEP17	B	1	PD27DEC17	2153.50	2127.29	USD	-655.25	
27SEP17	S	1	PD27DEC17	2137.50	2127.29	USD	255.25	
27SEP17	B	1	PD27DEC17	2133.00	2127.29	USD	-142.75	
02OCT17	S	6	PD27DEC17	2099.30	2127.29	USD	-4,198.50	
	NET	0AVG	BGHT 2144.28				-6,455.00	0.00
03OCT17	B	2	PD03JAN18	2120.00	2128.46	USD	423.00	
03OCT17	S	1	PD03JAN18	2132.00	2128.46	USD	88.50	
03OCT17	S	1	PD03JAN18	2133.00	2128.46	USD	113.50	
	NET	0AVG	BGHT 2120.00				625.00	0.00
04OCT17	B	2	PD04JAN18	2148.00	2128.65	USD	-967.50	
04OCT17	S	1	PD04JAN18	2156.00	2128.65	USD	683.75	
04OCT17	S	1	PD04JAN18	2160.00	2128.65	USD	783.75	
	NET	0AVG	BGHT 2148.00				500.00	0.00
05OCT17	S	1	PD05JAN18	2158.50	2128.85	USD	741.25	
05OCT17	B	1	PD05JAN18	2159.50	2128.85	USD	-766.25	
	NET	0AVG	BGHT 2159.50				-25.00	0.00
10OCT17	B	1	PD10JAN18	2161.50	2129.81	USD	-792.25	
10OCT17	B	1	PD10JAN18	2159.00	2129.81	USD	-729.75	
10OCT17	S	1	PD10JAN18	2158.50	2129.81	USD	717.25	
10OCT17	B	1	PD10JAN18	2152.50	2129.81	USD	-567.25	
10OCT17	S	1	PD10JAN18	2156.00	2129.81	USD	654.75	
10OCT17	B	1	PD10JAN18	2154.00	2129.81	USD	-604.75	
10OCT17	S	1	PD10JAN18	2158.00	2129.81	USD	704.75	
10OCT17	S	1	PD10JAN18	2157.00	2129.81	USD	679.75	
	NET	0AVG	BGHT 2156.75				62.50	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
04OCT17	S	1	NOV17	50.39	51.30	USD	-910.00	
	NET	-1AVG	SOLD 50.39				-910.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	6336.00	6766.54	USD	-10,763.50	
16AUG17	B	1	PD27OCT17	6525.04	6766.54	USD	6,037.50	
	NET	0AVG	BGHT 6525.04				-4,726.00	0.00
31JUL17	B	1	PD31OCT17	6378.00	6768.25	USD	9,756.25	
16AUG17	S	1	PD31OCT17	6526.99	6768.25	USD	-6,031.50	
	NET	0AVG	BGHT 6378.00				3,724.75	0.00
	<u>CMX GOLD 100 CMX</u>							
06SEP17	S	2	DEC17	1344.8	1288.9	USD	11,180.00	
06SEP17	S	4	DEC17	1344.7	1288.9	USD	22,320.00	
06SEP17	S	5	DEC17	1345.3	1288.9	USD	28,200.00	
06SEP17	S	4	DEC17	1345.4	1288.9	USD	22,600.00	
06SEP17	S	3	DEC17	1345.5	1288.9	USD	16,980.00	
06SEP17	S	2	DEC17	1345.6	1288.9	USD	11,340.00	
07SEP17	S	1	DEC17	1350.9	1288.9	USD	6,200.00	
07SEP17	S	2	DEC17	1352.8	1288.9	USD	12,780.00	
19SEP17	S	1	DEC17	1311.7	1288.9	USD	2,280.00	
20SEP17	S	1	DEC17	1316.1	1288.9	USD	2,720.00	
27SEP17	S	4	DEC17	1287.0	1288.9	USD	-760.00	
	NET	-29AVG	SOLD 1335.7				135,840.00	0.00

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28SEP17	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u> S 3 NOV17 NET -3AVG SOLD 3.06600 <u>LME LEAD 25 TONNES. THIS IS AN</u> <u>LME REGISTERED CLIENT CONTRACT</u>	3.06600	2.88900	USD	5,310.00 5,310.00	0.00
13JUL17	S 1 PD130CT17	2322.50	2552.75	USD	-5,756.25	
13JUL17	B 1 PD130CT17	2313.00	2552.75	USD	5,993.75	
14JUL17	S 1 PD130CT17	2275.00	2552.75	USD	-6,943.75	
14JUL17	S 1 PD130CT17	2313.00	2552.75	USD	-5,993.75	
14JUL17	S 1 PD130CT17	2314.00	2552.75	USD	-5,968.75	
14JUL17	S 1 PD130CT17	2316.50	2552.75	USD	-5,906.25	
27JUL17	S 12 PD130CT17	2307.61	2552.75	USD	-73,542.00	
28JUL17	B 16 PD130CT17	2318.77	2552.75	USD	93,592.00	
	NET 0AVG BGHT 2318.43 SOLD 2307.78				-4,525.00	0.00
17JUL17	B 1 PD170CT17	2321.00	2554.35	USD	5,833.75	
17JUL17	S 1 PD170CT17	2317.00	2554.35	USD	-5,933.75	
17JUL17	S 1 PD170CT17	2321.00	2554.35	USD	-5,833.75	
17JUL17	B 1 PD170CT17	2314.50	2554.35	USD	5,996.25	
17JUL17	S 1 PD170CT17	2299.00	2554.35	USD	-6,383.75	
17JUL17	B 1 PD170CT17	2297.50	2554.35	USD	6,421.25	
17JUL17	B 1 PD170CT17	2293.50	2554.35	USD	6,521.25	
17JUL17	S 1 PD170CT17	2297.00	2554.35	USD	-6,433.75	
	NET 0AVG BGHT 2306.63 SOLD 2308.50				187.50	0.00
18JUL17	B 2 PD180CT17	2285.50	2554.75	USD	13,462.50	
18JUL17	S 1 PD180CT17	2266.50	2554.75	USD	-7,206.25	
18JUL17	B 1 PD180CT17	2274.50	2554.75	USD	7,006.25	
18JUL17	B 2 PD180CT17	2275.50	2554.75	USD	13,962.50	
27JUL17	B 12 PD180CT17	2308.70	2554.75	USD	73,815.00	
28JUL17	S 16 PD180CT17	2319.55	2554.75	USD	-94,080.00	
	NET 0AVG BGHT 2300.05 SOLD 2316.43				6,960.00	0.00
19JUL17	S 1 PD190CT17	2245.00	2554.89	USD	-7,747.25	
19JUL17	B 1 PD190CT17	2237.00	2554.89	USD	7,947.25	
	NET 0AVG BGHT 2237.00 SOLD 2245.00				200.00	0.00
20JUL17	S 1 PD200CT17	2225.00	2555.04	USD	-8,251.00	
20JUL17	S 1 PD200CT17	2224.50	2555.04	USD	-8,263.50	
20JUL17	S 1 PD200CT17	2206.00	2555.04	USD	-8,726.00	
20JUL17	S 1 PD200CT17	2218.00	2555.04	USD	-8,426.00	
20JUL17	S 1 PD200CT17	2219.50	2555.04	USD	-8,388.50	
20JUL17	B 1 PD200CT17	2217.00	2555.04	USD	8,451.00	
20JUL17	S 1 PD200CT17	2217.00	2555.04	USD	-8,451.00	
21JUL17	B 1 PD200CT17	2244.50	2555.04	USD	7,763.50	
21JUL17	S 1 PD200CT17	2244.50	2555.04	USD	-7,763.50	
21JUL17	B 1 PD200CT17	2248.50	2555.04	USD	7,663.50	
21JUL17	B 1 PD200CT17	2246.50	2555.04	USD	7,713.50	
21JUL17	B 1 PD200CT17	2249.00	2555.04	USD	7,651.00	
21JUL17	B 1 PD200CT17	2247.00	2555.04	USD	7,701.00	
21JUL17	B 1 PD200CT17	2246.00	2555.04	USD	7,726.00	
	NET 0AVG BGHT 2242.64 SOLD 2222.07				-3,600.00	0.00
24JUL17	S 1 PD240CT17	2254.00	2555.61	USD	-7,540.25	
24JUL17	B 1 PD240CT17	2256.00	2555.61	USD	7,490.25	
24JUL17	S 1 PD240CT17	2256.00	2555.61	USD	-7,490.25	
24JUL17	B 1 PD240CT17	2258.00	2555.61	USD	7,440.25	
	NET 0AVG BGHT 2257.00 SOLD 2255.00				-100.00	0.00
26JUL17	S 1 PD260CT17	2345.50	2555.89	USD	-5,259.75	
26JUL17	B 1 PD260CT17	2305.50	2555.89	USD	6,259.75	
26JUL17	S 1 PD260CT17	2305.00	2555.89	USD	-6,272.25	
26JUL17	B 1 PD260CT17	2330.50	2555.89	USD	5,634.75	

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26JUL17	B	1	PD26OCT17		2308.50	2555.89	USD	6,184.75	
26JUL17	S	1	PD26OCT17		2311.50	2555.89	USD	-6,109.75	
	NET	0 AVG	BGHT 2314.83	SOLD 2320.67				437.50	0.00
31JUL17	B	1	PD31OCT17		2336.50	2556.61	USD	5,502.75	
31JUL17	S	1	PD31OCT17		2344.50	2556.61	USD	-5,302.75	
31JUL17	B	1	PD31OCT17		2325.50	2556.61	USD	5,777.75	
31JUL17	S	1	PD31OCT17		2328.50	2556.61	USD	-5,702.75	
31JUL17	S	1	PD31OCT17		2329.50	2556.61	USD	-5,677.75	
31JUL17	B	1	PD31OCT17		2323.00	2556.61	USD	5,840.25	
31JUL17	S	1	PD31OCT17		2330.50	2556.61	USD	-5,652.75	
31JUL17	S	2	PD31OCT17		2331.00	2556.61	USD	-11,280.50	
16AUG17	B	3	PD31OCT17		2515.51	2556.61	USD	3,082.50	
	NET	0 AVG	BGHT 2421.92	SOLD 2332.50				-13,413.25	0.00
01AUG17	B	1	PD01NOV17		2315.00	2556.75	USD	6,043.75	
01AUG17	B	2	PD01NOV17		2316.00	2556.75	USD	12,037.50	
01AUG17	S	1	PD01NOV17		2334.50	2556.75	USD	-5,556.25	
16AUG17	S	2	PD01NOV17		2515.49	2556.75	USD	-2,063.00	
	NET	0 AVG	BGHT 2315.67	SOLD 2455.16				10,462.00	0.00
04AUG17	S	1	PD03NOV17		2373.00	2557.04	USD	-4,601.00	
04AUG17	S	1	PD03NOV17		2372.50	2557.04	USD	-4,613.50	
04AUG17	B	1	PD03NOV17		2367.00	2557.04	USD	4,751.00	
04AUG17	B	1	PD03NOV17		2365.00	2557.04	USD	4,801.00	
04AUG17	B	1	PD03NOV17		2353.50	2557.04	USD	5,088.50	
04AUG17	S	1	PD03NOV17		2355.50	2557.04	USD	-5,038.50	
	NET	0 AVG	BGHT 2361.83	SOLD 2367.00				387.50	0.00
07AUG17	B	1	PD07NOV17		2365.00	2557.61	USD	4,815.25	
07AUG17	S	1	PD07NOV17		2380.00	2557.61	USD	-4,440.25	
07AUG17	B	1	PD07NOV17		2384.50	2557.61	USD	4,327.75	
16AUG17	S	1	PD07NOV17		2516.59	2557.61	USD	-1,025.50	
	NET	0 AVG	BGHT 2374.75	SOLD 2448.30				3,677.25	0.00
08AUG17	B	1	PD08NOV17		2366.50	2557.75	USD	4,781.25	
08AUG17	B	1	PD08NOV17		2370.00	2557.75	USD	4,693.75	
08AUG17	S	1	PD08NOV17		2370.00	2557.75	USD	-4,693.75	
08AUG17	B	1	PD08NOV17		2365.00	2557.75	USD	4,818.75	
08AUG17	S	1	PD08NOV17		2357.00	2557.75	USD	-5,018.75	
08AUG17	S	1	PD08NOV17		2348.00	2557.75	USD	-5,243.75	
08AUG17	S	1	PD08NOV17		2388.00	2557.75	USD	-4,243.75	
08AUG17	B	1	PD08NOV17		2394.00	2557.75	USD	4,093.75	
	NET	0 AVG	BGHT 2373.88	SOLD 2365.75				-812.50	0.00
09AUG17	B	1	PD09NOV17		2380.50	2557.89	USD	4,434.75	
09AUG17	S	1	PD09NOV17		2403.50	2557.89	USD	-3,859.75	
09AUG17	B	1	PD09NOV17		2383.50	2557.89	USD	4,359.75	
09AUG17	B	1	PD09NOV17		2354.00	2557.89	USD	5,097.25	
16AUG17	S	2	PD09NOV17		2517.15	2557.89	USD	-2,037.00	
	NET	0 AVG	BGHT 2372.67	SOLD 2479.27				7,995.00	0.00
10AUG17	S	1	PD10NOV17		2378.50	2558.04	USD	-4,488.50	
10AUG17	S	1	PD10NOV17		2377.00	2558.04	USD	-4,526.00	
10AUG17	B	1	PD10NOV17		2384.50	2558.04	USD	4,338.50	
11AUG17	S	1	PD10NOV17		2339.00	2558.04	USD	-5,476.00	
11AUG17	B	1	PD10NOV17		2331.00	2558.04	USD	5,676.00	
16AUG17	B	1	PD10NOV17		2517.54	2558.04	USD	1,012.50	
	NET	0 AVG	BGHT 2411.01	SOLD 2364.83				-3,463.50	0.00
14AUG17	S	1	PD14NOV17		2346.50	2558.61	USD	-5,302.75	
16AUG17	B	1	PD14NOV17		2518.27	2558.61	USD	1,008.50	
	NET	0 AVG	BGHT 2518.27	SOLD 2346.50				-4,294.25	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

11th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	2389.50	2558.75	USD	-4,231.25	
16AUG17	B	1	PD16NOV17	2422.50	2558.75	USD	3,406.25	
16AUG17	S	1	PD16NOV17	2507.00	2558.75	USD	-1,293.75	
16AUG17	B	1	PD16NOV17	2510.00	2558.75	USD	1,218.75	
	NET	0 AVG BGHT 2466.25	SOLD 2448.25				-900.00	0.00
17AUG17	B	1	PD17NOV17	2497.50	2558.75	USD	1,531.25	
17AUG17	B	1	PD17NOV17	2465.00	2558.75	USD	2,343.75	
17AUG17	S	1	PD17NOV17	2421.50	2558.75	USD	-3,431.25	
17AUG17	B	1	PD17NOV17	2424.50	2558.75	USD	3,356.25	
18AUG17	S	1	PD17NOV17	2429.50	2558.75	USD	-3,231.25	
18AUG17	B	1	PD17NOV17	2437.00	2558.75	USD	3,043.75	
18AUG17	S	1	PD17NOV17	2417.50	2558.75	USD	-3,531.25	
18AUG17	B	1	PD17NOV17	2422.00	2558.75	USD	3,418.75	
18AUG17	B	1	PD17NOV17	2420.50	2558.75	USD	3,456.25	
18AUG17	B	1	PD17NOV17	2359.00	2558.75	USD	4,993.75	
06SEP17	S	4	PD17NOV17	2334.78	2558.75	USD	-22,397.00	
	NET	0 AVG BGHT 2432.21	SOLD 2372.52				-10,447.00	0.00
21AUG17	S	1	PD21NOV17	2387.50	2559.32	USD	-4,295.50	
21AUG17	S	1	PD21NOV17	2389.50	2559.32	USD	-4,245.50	
21AUG17	B	1	PD21NOV17	2357.00	2559.32	USD	5,058.00	
21AUG17	B	1	PD21NOV17	2369.50	2559.32	USD	4,745.50	
21AUG17	S	1	PD21NOV17	2359.00	2559.32	USD	-5,008.00	
06SEP17	B	1	PD21NOV17	2336.08	2559.32	USD	5,581.00	
	NET	0 AVG BGHT 2354.19	SOLD 2378.67				1,835.50	0.00
22AUG17	S	1	PD22NOV17	2349.50	2559.46	USD	-5,249.00	
22AUG17	S	1	PD22NOV17	2360.00	2559.46	USD	-4,986.50	
22AUG17	S	1	PD22NOV17	2362.00	2559.46	USD	-4,936.50	
22AUG17	S	1	PD22NOV17	2373.50	2559.46	USD	-4,649.00	
22AUG17	B	1	PD22NOV17	2395.00	2559.46	USD	4,111.50	
06SEP17	B	3	PD22NOV17	2336.35	2559.46	USD	16,733.25	
	NET	0 AVG BGHT 2351.01	SOLD 2361.25				1,023.75	0.00
25AUG17	S	1	PD24NOV17	2366.50	2559.75	USD	-4,831.25	
06SEP17	B	1	PD24NOV17	2336.70	2559.75	USD	5,576.25	
	NET	0 AVG BGHT 2336.70	SOLD 2366.50				745.00	0.00
29AUG17	B	1	PD29NOV17	2344.50	2560.20	USD	5,392.50	
06SEP17	S	1	PD29NOV17	2337.88	2560.20	USD	-5,558.00	
	NET	0 AVG BGHT 2344.50	SOLD 2337.88				-165.50	0.00
30AUG17	B	1	PD30NOV17	2376.50	2560.30	USD	4,595.00	
31AUG17	B	1	PD30NOV17	2378.00	2560.30	USD	4,557.50	
06SEP17	S	2	PD30NOV17	2338.35	2560.30	USD	-11,097.50	
	NET	0 AVG BGHT 2377.25	SOLD 2338.35				-1,945.00	0.00
01SEP17	S	1	PD01DEC17	2389.00	2560.39	USD	-4,284.75	
01SEP17	S	1	PD01DEC17	2399.00	2560.39	USD	-4,034.75	
01SEP17	S	1	PD01DEC17	2405.50	2560.39	USD	-3,872.25	
01SEP17	B	1	PD01DEC17	2391.50	2560.39	USD	4,222.25	
01SEP17	B	1	PD01DEC17	2389.00	2560.39	USD	4,284.75	
01SEP17	B	2	PD01DEC17	2389.50	2560.39	USD	8,544.50	
01SEP17	B	1	PD01DEC17	2399.00	2560.39	USD	4,034.75	
06SEP17	S	2	PD01DEC17	2338.63	2560.39	USD	-11,088.00	
	NET	0 AVG BGHT 2391.70	SOLD 2374.15				-2,193.50	0.00
04SEP17	S	1	PD04DEC17	2393.50	2560.66	USD	-4,179.00	
06SEP17	B	1	PD04DEC17	2339.65	2560.66	USD	5,525.25	
	NET	0 AVG BGHT 2339.65	SOLD 2393.50				1,346.25	0.00
05SEP17	S	1	PD05DEC17	2402.50	2560.75	USD	-3,956.25	
05SEP17	S	1	PD05DEC17	2401.50	2560.75	USD	-3,981.25	

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05SEP17	S	1	PD05DEC17	2403.50	2560.75	USD	-3,931.25	
05SEP17	S	1	PD05DEC17	2337.50	2560.75	USD	-5,581.25	
05SEP17	S	1	PD05DEC17	2340.50	2560.75	USD	-5,506.25	
05SEP17	B	1	PD05DEC17	2338.00	2560.75	USD	5,568.75	
05SEP17	B	1	PD05DEC17	2339.50	2560.75	USD	5,531.25	
05SEP17	B	1	PD05DEC17	2334.00	2560.75	USD	5,668.75	
05SEP17	S	1	PD05DEC17	2341.00	2560.75	USD	-5,493.75	
06SEP17	B	3	PD05DEC17	2339.93	2560.75	USD	16,561.50	
	NET	0 AVG BGHT 2338.55	SOLD 2371.08				4,880.25	0.00
06SEP17	S	2	PD06DEC17	2335.00	2561.00	USD	-11,300.00	
06SEP17	S	1	PD06DEC17	2320.00	2561.00	USD	-6,025.00	
06SEP17	S	1	PD06DEC17	2327.00	2561.00	USD	-5,850.00	
06SEP17	B	1	PD06DEC17	2352.50	2561.00	USD	5,212.50	
06SEP17	B	1	PD06DEC17	2354.00	2561.00	USD	5,175.00	
02OCT17	B	2	PD06DEC17	2519.70	2561.00	USD	2,065.00	
	NET	0 AVG BGHT 2436.48	SOLD 2329.25				-10,722.50	0.00
07SEP17	B	1	PD07DEC17	2333.50	2561.25	USD	5,693.75	
07SEP17	B	1	PD07DEC17	2330.00	2561.25	USD	5,781.25	
07SEP17	S	1	PD07DEC17	2356.00	2561.25	USD	-5,131.25	
07SEP17	B	1	PD07DEC17	2346.00	2561.25	USD	5,381.25	
02OCT17	S	2	PD07DEC17	2519.56	2561.25	USD	-2,084.50	
	NET	0 AVG BGHT 2336.50	SOLD 2465.04				9,640.50	0.00
08SEP17	S	1	PD08DEC17	2348.50	2561.50	USD	-5,325.00	
08SEP17	S	1	PD08DEC17	2317.50	2561.50	USD	-6,100.00	
08SEP17	B	1	PD08DEC17	2305.00	2561.50	USD	6,412.50	
08SEP17	B	1	PD08DEC17	2295.50	2561.50	USD	6,650.00	
	NET	0 AVG BGHT 2300.25	SOLD 2333.00				1,637.50	0.00
11SEP17	S	1	PD11DEC17	2292.50	2562.25	USD	-6,743.75	
11SEP17	B	1	PD11DEC17	2280.50	2562.25	USD	7,043.75	
11SEP17	S	1	PD11DEC17	2264.50	2562.25	USD	-7,443.75	
11SEP17	B	1	PD11DEC17	2277.00	2562.25	USD	7,131.25	
	NET	0 AVG BGHT 2278.75	SOLD 2278.50				-12.50	0.00
12SEP17	S	1	PD12DEC17	2274.00	2562.50	USD	-7,212.50	
12SEP17	B	1	PD12DEC17	2277.50	2562.50	USD	7,125.00	
	NET	0 AVG BGHT 2277.50	SOLD 2274.00				-87.50	0.00
13SEP17	S	1	PD13DEC17	2290.50	2562.75	USD	-6,806.25	
13SEP17	S	1	PD13DEC17	2296.50	2562.75	USD	-6,656.25	
13SEP17	S	1	PD13DEC17	2304.00	2562.75	USD	-6,468.75	
13SEP17	B	1	PD13DEC17	2299.50	2562.75	USD	6,581.25	
13SEP17	S	1	PD13DEC17	2298.00	2562.75	USD	-6,618.75	
02OCT17	B	3	PD13DEC17	2520.10	2562.75	USD	3,198.75	
	NET	0 AVG BGHT 2464.95	SOLD 2297.25				-16,770.00	0.00
14SEP17	S	1	PD14DEC17	2305.00	2563.00	USD	-6,450.00	
14SEP17	B	1	PD14DEC17	2305.00	2563.00	USD	6,450.00	
14SEP17	B	1	PD14DEC17	2304.50	2563.00	USD	6,462.50	
14SEP17	B	1	PD14DEC17	2323.00	2563.00	USD	6,000.00	
02OCT17	S	2	PD14DEC17	2520.16	2563.00	USD	-2,142.00	
	NET	0 AVG BGHT 2310.83	SOLD 2448.44				10,320.50	0.00
15SEP17	S	1	PD15DEC17	2320.50	2563.25	USD	-6,068.75	
15SEP17	B	1	PD15DEC17	2312.00	2563.25	USD	6,281.25	
15SEP17	B	1	PD15DEC17	2312.50	2563.25	USD	6,268.75	
15SEP17	S	1	PD15DEC17	2336.50	2563.25	USD	-5,668.75	
15SEP17	B	1	PD15DEC17	2339.00	2563.25	USD	5,606.25	
15SEP17	S	1	PD15DEC17	2354.00	2563.25	USD	-5,231.25	
15SEP17	S	1	PD15DEC17	2362.00	2563.25	USD	-5,031.25	

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15SEP17	S	1	PD15DEC17	2358.50	2563.25	USD	-5,118.75	
15SEP17	S	1	PD15DEC17	2364.50	2563.25	USD	-4,968.75	
15SEP17	S	1	PD15DEC17	2354.00	2563.25	USD	-5,231.25	
02OCT17	B	2	PD15DEC17	2520.41	2563.25	USD	2,142.00	
02OCT17	B	2	PD15DEC17	2520.21	2563.25	USD	2,152.00	
	NET	0 AVG BGHT 2434.96	SOLD 2350.00				-14,868.50	0.00
18SEP17	B	1	PD18DEC17	2386.50	2564.00	USD	4,437.50	
18SEP17	B	1	PD18DEC17	2385.50	2564.00	USD	4,462.50	
18SEP17	B	1	PD18DEC17	2387.50	2564.00	USD	4,412.50	
18SEP17	B	1	PD18DEC17	2387.00	2564.00	USD	4,425.00	
18SEP17	B	1	PD18DEC17	2389.00	2564.00	USD	4,375.00	
18SEP17	S	1	PD18DEC17	2389.00	2564.00	USD	-4,375.00	
18SEP17	S	1	PD18DEC17	2392.50	2564.00	USD	-4,287.50	
02OCT17	S	3	PD18DEC17	2520.19	2564.00	USD	-3,285.75	
	NET	0 AVG BGHT 2387.10	SOLD 2468.41				10,164.25	0.00
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	B	1	PD19DEC17	2384.00	2564.25	USD	4,506.25	
19SEP17	S	1	PD19DEC17	2383.00	2564.25	USD	-4,531.25	
19SEP17	B	1	PD19DEC17	2432.00	2564.25	USD	3,306.25	
	NET	0 AVG BGHT 2408.00	SOLD 2388.25				-987.50	0.00
20SEP17	B	1	PD20DEC17	2443.00	2564.50	USD	3,037.50	
20SEP17	B	1	PD20DEC17	2449.00	2564.50	USD	2,887.50	
02OCT17	S	2	PD20DEC17	2520.30	2564.50	USD	-2,210.00	
	NET	0 AVG BGHT 2446.00	SOLD 2520.30				3,715.00	0.00
22SEP17	B	1	PD22DEC17	2414.50	2563.50	USD	3,725.00	
22SEP17	B	1	PD22DEC17	2380.50	2563.50	USD	4,575.00	
22SEP17	S	1	PD22DEC17	2418.50	2563.50	USD	-3,625.00	
22SEP17	S	1	PD22DEC17	2449.50	2563.50	USD	-2,850.00	
22SEP17	S	1	PD22DEC17	2483.00	2563.50	USD	-2,012.50	
02OCT17	B	1	PD22DEC17	2519.67	2563.50	USD	1,095.75	
	NET	0 AVG BGHT 2438.22	SOLD 2450.33				908.25	0.00
25SEP17	B	1	PD27DEC17	2470.50	2563.50	USD	2,325.00	
25SEP17	S	1	PD27DEC17	2473.50	2563.50	USD	-2,250.00	
25SEP17	B	1	PD27DEC17	2470.00	2563.50	USD	2,337.50	
02OCT17	S	1	PD27DEC17	2519.05	2563.50	USD	-1,111.25	
	NET	0 AVG BGHT 2470.25	SOLD 2496.28				1,301.25	0.00
05OCT17	S	1	PD05JAN18	2552.50	2564.40	USD	-297.50	
05OCT17	B	1	PD05JAN18	2557.00	2564.40	USD	185.00	
05OCT17	S	1	PD05JAN18	2583.50	2564.40	USD	477.50	
05OCT17	B	1	PD05JAN18	2580.00	2564.40	USD	-390.00	
	NET	0 AVG BGHT 2568.50	SOLD 2568.00				-25.00	0.00
09OCT17	B	1	PD09JAN18	2535.00	2564.80	USD	745.00	
09OCT17	S	1	PD09JAN18	2529.00	2564.80	USD	-895.00	
09OCT17	S	1	PD09JAN18	2517.00	2564.80	USD	-1,195.00	
09OCT17	B	1	PD09JAN18	2511.00	2564.80	USD	1,345.00	
09OCT17	B	1	PD09JAN18	2496.00	2564.80	USD	1,720.00	
09OCT17	S	1	PD09JAN18	2518.00	2564.80	USD	-1,170.00	
09OCT17	S	1	PD09JAN18	2518.00	2564.80	USD	-1,170.00	
09OCT17	B	1	PD09JAN18	2514.00	2564.80	USD	1,270.00	
	NET	0 AVG BGHT 2514.00	SOLD 2520.50				650.00	0.00
10OCT17	S	1	PD10JAN18	2494.00	2564.90	USD	-1,772.50	
10OCT17	S	1	PD10JAN18	2497.00	2564.90	USD	-1,697.50	
10OCT17	S	1	PD10JAN18	2517.00	2564.90	USD	-1,197.50	
10OCT17	B	1	PD10JAN18	2511.50	2564.90	USD	1,335.00	
10OCT17	S	1	PD10JAN18	2515.50	2564.90	USD	-1,235.00	

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10OCT17	B	1	PD10JAN18	2514.50	2564.90	USD	1,260.00	
10OCT17	B	2	PD10JAN18	2508.50	2564.90	USD	2,820.00	
10OCT17	S	1	PD10JAN18	2523.50	2564.90	USD	-1,035.00	
10OCT17	S	1	PD10JAN18	2532.00	2564.90	USD	-822.50	
10OCT17	S	1	PD10JAN18	2552.00	2564.90	USD	-322.50	
10OCT17	B	1	PD10JAN18	2559.00	2564.90	USD	147.50	
	NET	-2 AVG BGHT 2520.40	SOLD 2518.71				-2,520.00	0.00
11OCT17	B	1	PD11JAN18	2533.50	2565.00	USD	787.50	
11OCT17	B	1	PD11JAN18	2526.00	2565.00	USD	975.00	
11OCT17	S	1	PD11JAN18	2534.00	2565.00	USD	-775.00	
11OCT17	S	2	PD11JAN18	2535.50	2565.00	USD	-1,475.00	
11OCT17	B	1	PD11JAN18	2518.50	2565.00	USD	1,162.50	
11OCT17	B	1	PD11JAN18	2560.50	2565.00	USD	112.50	
	NET	+1 AVG BGHT 2534.63	SOLD 2535.00				787.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	2	PD31OCT17	10255.00	11077.54	USD	-9,870.48	
31JUL17	S	1	PD31OCT17	10250.00	11077.54	USD	-4,965.24	
31JUL17	S	1	PD31OCT17	10245.00	11077.54	USD	-4,995.24	
31JUL17	B	1	PD31OCT17	10280.00	11077.54	USD	4,785.24	
16AUG17	B	3	PD31OCT17	10751.62	11077.54	USD	5,866.56	
	NET	0 AVG BGHT 10633.72	SOLD 10251.25				-9,179.16	0.00
09AUG17	S	1	PD09NOV17	10775.00	11086.21	USD	-1,867.26	
09AUG17	B	1	PD09NOV17	10775.00	11086.21	USD	1,867.26	
	NET	0 AVG BGHT 10775.00	SOLD 10775.00				0.00	0.00
10AUG17	S	1	PD10NOV17	10820.00	11087.18	USD	-1,603.08	
16AUG17	B	1	PD10NOV17	10758.54	11087.18	USD	1,971.84	
	NET	0 AVG BGHT 10758.54	SOLD 10820.00				368.76	0.00
01SEP17	S	1	PD01DEC17	11990.00	11104.34	USD	5,313.96	
06SEP17	B	1	PD01DEC17	12162.00	11104.34	USD	-6,345.96	
	NET	0 AVG BGHT 12162.00	SOLD 11990.00				-1,032.00	0.00
05SEP17	B	1	PD05DEC17	12095.00	11107.43	USD	-5,925.42	
06SEP17	S	1	PD05DEC17	12163.40	11107.43	USD	6,335.82	
	NET	0 AVG BGHT 12095.00	SOLD 12163.40				410.40	0.00
14SEP17	S	1	PD14DEC17	11425.00	11114.37	USD	1,863.78	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	B	1	PD14DEC17	11230.00	11114.37	USD	-693.78	
14SEP17	B	1	PD14DEC17	11220.00	11114.37	USD	-633.78	
	NET	0 AVG BGHT 11225.00	SOLD 11335.00				1,320.00	0.00
22SEP17	B	1	PD22DEC17	10565.00	11120.45	USD	3,332.70	
22SEP17	B	1	PD22DEC17	10550.00	11120.45	USD	3,422.70	
02OCT17	S	2	PD22DEC17	10379.50	11120.45	USD	-8,891.40	
	NET	0 AVG BGHT 10557.50	SOLD 10379.50				-2,136.00	0.00
29SEP17	S	1	PD29DEC17	10635.00	11125.55	USD	-2,943.30	
29SEP17	S	1	PD29DEC17	10670.00	11125.55	USD	-2,733.30	
02OCT17	B	2	PD29DEC17	10387.18	11125.55	USD	8,860.44	
	NET	0 AVG BGHT 10387.18	SOLD 10652.50				3,183.84	0.00
03OCT17	B	1	PD03JAN18	10490.00	11129.18	USD	3,835.08	
03OCT17	B	1	PD03JAN18	10475.00	11129.18	USD	3,925.08	
03OCT17	S	1	PD03JAN18	10720.00	11129.18	USD	-2,455.08	
03OCT17	S	1	PD03JAN18	10755.00	11129.18	USD	-2,245.08	
03OCT17	B	1	PD03JAN18	10675.00	11129.18	USD	2,725.08	
03OCT17	B	1	PD03JAN18	10565.00	11129.18	USD	3,385.08	
03OCT17	S	1	PD03JAN18	10630.00	11129.18	USD	-2,995.08	

OPEN POSITION STATEMENT



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SAP0054K

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	S 1 PD03JAN18	10665.00	11129.18	USD	-2,785.08	
	NET 0AVG BGHT 10551.25 SOLD 10692.50				3,390.00	0.00
04OCT17	B 1 PD04JAN18	10770.00	11129.91	USD	2,159.46	
04OCT17	S 1 PD04JAN18	10705.00	11129.91	USD	-2,549.46	
	NET 0AVG BGHT 10770.00 SOLD 10705.00				-390.00	0.00
05OCT17	B 1 PD05JAN18	10640.00	11130.64	USD	2,943.84	
05OCT17	S 1 PD05JAN18	10650.00	11130.64	USD	-2,883.84	
	NET 0AVG BGHT 10640.00 SOLD 10650.00				60.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
26SEP17	B 1 DEC17	1701.5	1713.3	USD	590.00	
26SEP17	B 2 DEC17	1700.5	1713.3	USD	1,280.00	
26SEP17	B 2 DEC17	1702.0	1713.3	USD	1,130.00	
26SEP17	B 1 DEC17	1692.5	1713.3	USD	1,040.00	
26SEP17	B 20 DEC17	1690.0	1713.3	USD	23,300.00	
27SEP17	B 1 DEC17	1682.5	1713.3	USD	1,540.00	
28SEP17	B 2 DEC17	1676.5	1713.3	USD	3,680.00	
28SEP17	B 1 DEC17	1674.0	1713.3	USD	1,965.00	
28SEP17	B 1 DEC17	1672.5	1713.3	USD	2,040.00	
28SEP17	B 1 DEC17	1674.5	1713.3	USD	1,940.00	
28SEP17	B 1 DEC17	1684.5	1713.3	USD	1,440.00	
29SEP17	B 1 DEC17	1684.5	1713.3	USD	1,440.00	
29SEP17	B 1 DEC17	1679.5	1713.3	USD	1,690.00	
	NET +35AVG BGHT 1688.7				43,075.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	S 1 PD13OCT17	2836.50	3295.00	USD	-11,462.50	
13JUL17	B 1 PD13OCT17	2800.50	3295.00	USD	12,362.50	
	NET 0AVG BGHT 2800.50 SOLD 2836.50				900.00	0.00
17JUL17	S 1 PD17OCT17	2844.50	3286.33	USD	-11,045.75	
17JUL17	B 1 PD17OCT17	2831.50	3286.33	USD	11,370.75	
	NET 0AVG BGHT 2831.50 SOLD 2844.50				325.00	0.00
18JUL17	B 1 PD18OCT17	2791.50	3282.00	USD	12,262.50	
18JUL17	S 1 PD18OCT17	2802.50	3282.00	USD	-11,987.50	
18JUL17	B 1 PD18OCT17	2780.50	3282.00	USD	12,537.50	
18JUL17	S 1 PD18OCT17	2784.50	3282.00	USD	-12,437.50	
18JUL17	S 1 PD18OCT17	2794.50	3282.00	USD	-12,187.50	
18JUL17	S 1 PD18OCT17	2794.00	3282.00	USD	-12,200.00	
18JUL17	S 1 PD18OCT17	2793.50	3282.00	USD	-12,212.50	
18JUL17	S 1 PD18OCT17	2792.50	3282.00	USD	-12,237.50	
27JUL17	S 12 PD18OCT17	2801.25	3282.00	USD	-144,225.00	
28JUL17	B 16 PD18OCT17	2775.75	3282.00	USD	202,500.00	
	NET 0AVG BGHT 2776.89 SOLD 2798.69				9,812.50	0.00
19JUL17	B 1 PD19OCT17	2788.00	3277.50	USD	12,237.50	
19JUL17	B 1 PD19OCT17	2786.50	3277.50	USD	12,275.00	
19JUL17	B 1 PD19OCT17	2780.50	3277.50	USD	12,425.00	
19JUL17	B 1 PD19OCT17	2773.50	3277.50	USD	12,600.00	
27JUL17	B 12 PD19OCT17	2800.33	3277.50	USD	143,151.00	
28JUL17	S 16 PD19OCT17	2774.55	3277.50	USD	-201,180.00	
	NET 0AVG BGHT 2795.78 SOLD 2774.55				-8,491.50	0.00
20JUL17	S 1 PD20OCT17	2748.50	3273.00	USD	-13,112.50	
20JUL17	B 1 PD20OCT17	2729.00	3273.00	USD	13,600.00	
21JUL17	B 1 PD20OCT17	2758.50	3273.00	USD	12,862.50	
21JUL17	S 1 PD20OCT17	2758.00	3273.00	USD	-12,875.00	
21JUL17	B 1 PD20OCT17	2756.50	3273.00	USD	12,912.50	

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21JUL17	B	1	PD200CT17		2750.50	3273.00	USD	13,062.50	
21JUL17	S	1	PD200CT17		2754.00	3273.00	USD	-12,975.00	
21JUL17	S	1	PD200CT17		2752.50	3273.00	USD	-13,012.50	
	NET	0 AVG	BGHT 2748.63	SOLD 2753.25				462.50	0.00
26JUL17	B	1	PD260CT17		2845.50	3262.33	USD	10,420.75	
26JUL17	S	1	PD260CT17		2815.50	3262.33	USD	-11,170.75	
26JUL17	S	1	PD260CT17		2820.00	3262.33	USD	-11,058.25	
26JUL17	B	1	PD260CT17		2815.50	3262.33	USD	11,170.75	
	NET	0 AVG	BGHT 2830.50	SOLD 2817.75				-637.50	0.00
27JUL17	S	1	PD270CT17		2807.50	3260.67	USD	-11,329.25	
27JUL17	S	1	PD270CT17		2809.50	3260.67	USD	-11,279.25	
27JUL17	B	1	PD270CT17		2817.50	3260.67	USD	11,079.25	
27JUL17	B	1	PD270CT17		2814.00	3260.67	USD	11,166.75	
27JUL17	S	1	PD270CT17		2814.50	3260.67	USD	-11,154.25	
27JUL17	B	1	PD270CT17		2795.50	3260.67	USD	11,629.25	
28JUL17	S	1	PD270CT17		2773.50	3260.67	USD	-12,179.25	
16AUG17	B	1	PD270CT17		3118.31	3260.67	USD	3,559.00	
	NET	0 AVG	BGHT 2886.33	SOLD 2801.25				-8,507.75	0.00
31JUL17	S	1	PD310CT17		2811.50	3257.33	USD	-11,145.75	
31JUL17	S	1	PD310CT17		2810.50	3257.33	USD	-11,170.75	
31JUL17	B	1	PD310CT17		2800.50	3257.33	USD	11,420.75	
31JUL17	B	1	PD310CT17		2806.00	3257.33	USD	11,283.25	
31JUL17	B	1	PD310CT17		2793.50	3257.33	USD	11,595.75	
16AUG17	S	1	PD310CT17		3118.57	3257.33	USD	-3,469.00	
	NET	0 AVG	BGHT 2800.00	SOLD 2913.52				8,514.25	0.00
01AUG17	S	1	PD01NOV17		2783.50	3255.67	USD	-11,804.25	
01AUG17	B	1	PD01NOV17		2772.50	3255.67	USD	12,079.25	
01AUG17	S	1	PD01NOV17		2779.00	3255.67	USD	-11,916.75	
01AUG17	B	1	PD01NOV17		2779.00	3255.67	USD	11,916.75	
	NET	0 AVG	BGHT 2775.75	SOLD 2781.25				275.00	0.00
04AUG17	S	1	PD03NOV17		2813.00	3252.89	USD	-10,997.25	
16AUG17	B	1	PD03NOV17		3119.12	3252.89	USD	3,344.25	
	NET	0 AVG	BGHT 3119.12	SOLD 2813.00				-7,653.00	0.00
07AUG17	B	1	PD07NOV17		2806.00	3250.67	USD	11,116.75	
07AUG17	S	1	PD07NOV17		2817.00	3250.67	USD	-10,841.75	
07AUG17	S	1	PD07NOV17		2820.00	3250.67	USD	-10,766.75	
07AUG17	B	1	PD07NOV17		2815.00	3250.67	USD	10,891.75	
07AUG17	B	1	PD07NOV17		2881.50	3250.67	USD	9,229.25	
16AUG17	S	1	PD07NOV17		3119.38	3250.67	USD	-3,282.25	
	NET	0 AVG	BGHT 2834.17	SOLD 2918.79				6,347.00	0.00
08AUG17	B	1	PD08NOV17		2872.50	3249.56	USD	9,426.50	
08AUG17	S	2	PD08NOV17		2873.00	3249.56	USD	-18,828.00	
08AUG17	S	1	PD08NOV17		2872.00	3249.56	USD	-9,439.00	
08AUG17	B	1	PD08NOV17		2870.50	3249.56	USD	9,476.50	
08AUG17	S	1	PD08NOV17		2897.00	3249.56	USD	-8,814.00	
08AUG17	S	1	PD08NOV17		2898.00	3249.56	USD	-8,789.00	
08AUG17	S	1	PD08NOV17		2899.00	3249.56	USD	-8,764.00	
08AUG17	B	1	PD08NOV17		2921.50	3249.56	USD	8,201.50	
08AUG17	B	1	PD08NOV17		2924.00	3249.56	USD	8,139.00	
16AUG17	B	2	PD08NOV17		3119.69	3249.56	USD	6,493.50	
	NET	0 AVG	BGHT 2971.31	SOLD 2885.33				-12,897.00	0.00
09AUG17	B	1	PD09NOV17		2942.00	3248.44	USD	7,661.00	
09AUG17	B	2	PD09NOV17		2962.00	3248.44	USD	14,322.00	
09AUG17	B	1	PD09NOV17		2937.00	3248.44	USD	7,786.00	
09AUG17	S	1	PD09NOV17		2959.00	3248.44	USD	-7,236.00	

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09AUG17	S	1	PD09NOV17		2965.50	3248.44	USD	-7,073.50	
16AUG17	S	2	PD09NOV17		3119.61	3248.44	USD	-6,441.50	
	NET	0AVG	BGHT 2950.75	SOLD 3040.93				9,018.00	0.00
10AUG17	S	1	PD10NOV17		2940.00	3247.33	USD	-7,683.25	
10AUG17	S	1	PD10NOV17		2939.50	3247.33	USD	-7,695.75	
10AUG17	B	1	PD10NOV17		2931.00	3247.33	USD	7,908.25	
10AUG17	B	1	PD10NOV17		2931.50	3247.33	USD	7,895.75	
11AUG17	S	1	PD10NOV17		2908.00	3247.33	USD	-8,483.25	
11AUG17	S	1	PD10NOV17		2907.00	3247.33	USD	-8,508.25	
11AUG17	S	1	PD10NOV17		2924.50	3247.33	USD	-8,070.75	
11AUG17	B	1	PD10NOV17		2924.50	3247.33	USD	8,070.75	
11AUG17	S	1	PD10NOV17		2925.00	3247.33	USD	-8,058.25	
11AUG17	B	1	PD10NOV17		2908.50	3247.33	USD	8,470.75	
11AUG17	B	1	PD10NOV17		2904.50	3247.33	USD	8,570.75	
11AUG17	B	1	PD10NOV17		2898.00	3247.33	USD	8,733.25	
	NET	0AVG	BGHT 2916.33	SOLD 2924.00				1,150.00	0.00
14AUG17	S	1	PD14NOV17		2918.00	3245.11	USD	-8,177.75	
14AUG17	B	1	PD14NOV17		2910.00	3245.11	USD	8,377.75	
14AUG17	S	1	PD14NOV17		2914.50	3245.11	USD	-8,265.25	
14AUG17	S	1	PD14NOV17		2914.00	3245.11	USD	-8,277.75	
14AUG17	B	2	PD14NOV17		2920.00	3245.11	USD	16,255.50	
	NET	0AVG	BGHT 2916.67	SOLD 2915.50				-87.50	0.00
16AUG17	S	1	PD16NOV17		2983.00	3241.50	USD	-6,462.50	
16AUG17	S	1	PD16NOV17		2984.50	3241.50	USD	-6,425.00	
16AUG17	S	1	PD16NOV17		2986.00	3241.50	USD	-6,387.50	
16AUG17	S	1	PD16NOV17		2995.50	3241.50	USD	-6,150.00	
16AUG17	S	1	PD16NOV17		3009.50	3241.50	USD	-5,800.00	
16AUG17	B	1	PD16NOV17		3008.00	3241.50	USD	5,837.50	
16AUG17	S	1	PD16NOV17		2980.50	3241.50	USD	-6,525.00	
16AUG17	S	1	PD16NOV17		2981.50	3241.50	USD	-6,500.00	
16AUG17	B	1	PD16NOV17		3075.50	3241.50	USD	4,150.00	
16AUG17	B	1	PD16NOV17		3083.00	3241.50	USD	3,962.50	
16AUG17	B	1	PD16NOV17		3088.50	3241.50	USD	3,825.00	
16AUG17	B	1	PD16NOV17		3095.00	3241.50	USD	3,662.50	
16AUG17	B	1	PD16NOV17		3121.00	3241.50	USD	3,012.50	
16AUG17	B	1	PD16NOV17		3114.50	3241.50	USD	3,175.00	
	NET	0AVG	BGHT 3083.64	SOLD 2988.64				-16,625.00	0.00
17AUG17	B	1	PD17NOV17		3099.50	3239.00	USD	3,487.50	
17AUG17	S	1	PD17NOV17		3134.50	3239.00	USD	-2,612.50	
17AUG17	S	1	PD17NOV17		3084.00	3239.00	USD	-3,875.00	
17AUG17	B	1	PD17NOV17		3076.00	3239.00	USD	4,075.00	
17AUG17	S	1	PD17NOV17		3062.50	3239.00	USD	-4,412.50	
17AUG17	B	1	PD17NOV17		3048.50	3239.00	USD	4,762.50	
18AUG17	S	1	PD17NOV17		3094.50	3239.00	USD	-3,612.50	
18AUG17	B	1	PD17NOV17		3127.00	3239.00	USD	2,800.00	
18AUG17	S	1	PD17NOV17		3135.50	3239.00	USD	-2,587.50	
18AUG17	S	1	PD17NOV17		3136.50	3239.00	USD	-2,562.50	
06SEP17	B	2	PD17NOV17		3091.00	3239.00	USD	7,400.00	
	NET	0AVG	BGHT 3088.83	SOLD 3107.92				2,862.50	0.00
21AUG17	B	1	PD21NOV17		3163.00	3237.00	USD	1,850.00	
21AUG17	B	1	PD21NOV17		3133.00	3237.00	USD	2,600.00	
06SEP17	S	2	PD21NOV17		3092.09	3237.00	USD	-7,245.50	
	NET	0AVG	BGHT 3148.00	SOLD 3092.09				-2,795.50	0.00
23AUG17	B	1	PD24NOV17		3098.00	3235.00	USD	3,425.00	
23AUG17	B	1	PD24NOV17		3099.00	3235.00	USD	3,400.00	
24AUG17	S	1	PD24NOV17		3119.00	3235.00	USD	-2,900.00	

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24AUG17	S	1	PD24NOV17	3136.00	3235.00	USD	-2,475.00	
24AUG17	B	2	PD24NOV17	3112.50	3235.00	USD	6,125.00	
24AUG17	B	1	PD24NOV17	3106.50	3235.00	USD	3,212.50	
24AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
24AUG17	B	1	PD24NOV17	3104.50	3235.00	USD	3,262.50	
24AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
25AUG17	S	1	PD24NOV17	3127.50	3235.00	USD	-2,687.50	
25AUG17	S	1	PD24NOV17	3129.50	3235.00	USD	-2,637.50	
25AUG17	S	1	PD24NOV17	3066.00	3235.00	USD	-4,225.00	
25AUG17	S	1	PD24NOV17	3067.00	3235.00	USD	-4,200.00	
06SEP17	S	2	PD24NOV17	3093.25	3235.00	USD	-7,087.50	
	NET	0 AVG	BGHT 3106.31	SOLD 3103.94			-475.00	0.00
29AUG17	S	2	PD29NOV17	3107.00	3234.00	USD	-6,350.00	
29AUG17	S	1	PD29NOV17	3099.50	3234.00	USD	-3,362.50	
29AUG17	B	1	PD29NOV17	3114.50	3234.00	USD	2,987.50	
29AUG17	S	1	PD29NOV17	3120.00	3234.00	USD	-2,850.00	
29AUG17	B	1	PD29NOV17	3100.50	3234.00	USD	3,337.50	
06SEP17	B	2	PD29NOV17	3093.45	3234.00	USD	7,027.50	
	NET	0 AVG	BGHT 3100.48	SOLD 3108.38			790.00	0.00
30AUG17	S	1	PD30NOV17	3139.00	3233.67	USD	-2,366.75	
30AUG17	S	1	PD30NOV17	3147.00	3233.67	USD	-2,166.75	
31AUG17	S	1	PD30NOV17	3093.00	3233.67	USD	-3,516.75	
31AUG17	S	1	PD30NOV17	3114.00	3233.67	USD	-2,991.75	
31AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
31AUG17	S	1	PD30NOV17	3127.00	3233.67	USD	-2,666.75	
06SEP17	B	6	PD30NOV17	3093.50	3233.67	USD	21,025.50	
	NET	0 AVG	BGHT 3093.50	SOLD 3124.67			4,675.00	0.00
04SEP17	B	1	PD04DEC17	3189.50	3233.00	USD	1,087.50	
04SEP17	B	1	PD04DEC17	3185.00	3233.00	USD	1,200.00	
04SEP17	B	1	PD04DEC17	3180.00	3233.00	USD	1,325.00	
04SEP17	B	1	PD04DEC17	3175.50	3233.00	USD	1,437.50	
04SEP17	B	1	PD04DEC17	3184.50	3233.00	USD	1,212.50	
04SEP17	B	1	PD04DEC17	3205.00	3233.00	USD	700.00	
06SEP17	S	6	PD04DEC17	3094.30	3233.00	USD	-20,805.00	
	NET	0 AVG	BGHT 3186.58	SOLD 3094.30			-13,842.50	0.00
05SEP17	B	1	PD05DEC17	3109.00	3232.67	USD	3,091.75	
05SEP17	S	1	PD05DEC17	3107.50	3232.67	USD	-3,129.25	
	NET	0 AVG	BGHT 3109.00	SOLD 3107.50			-37.50	0.00
06SEP17	B	1	PD06DEC17	3122.00	3232.33	USD	2,758.25	
06SEP17	B	1	PD06DEC17	3126.50	3232.33	USD	2,645.75	
06SEP17	S	1	PD06DEC17	3098.00	3232.33	USD	-3,358.25	
02OCT17	S	1	PD06DEC17	3237.48	3232.33	USD	128.75	
	NET	0 AVG	BGHT 3124.25	SOLD 3167.74			2,174.50	0.00
07SEP17	S	1	PD07DEC17	3083.00	3232.00	USD	-3,725.00	
07SEP17	S	1	PD07DEC17	3116.00	3232.00	USD	-2,900.00	
07SEP17	B	1	PD07DEC17	3126.50	3232.00	USD	2,637.50	
02OCT17	B	1	PD07DEC17	3237.75	3232.00	USD	-143.75	
	NET	0 AVG	BGHT 3182.13	SOLD 3099.50			-4,131.25	0.00
08SEP17	B	1	PD08DEC17	3141.00	3231.67	USD	2,266.75	
08SEP17	B	1	PD08DEC17	3120.50	3231.67	USD	2,779.25	
08SEP17	B	1	PD08DEC17	3116.50	3231.67	USD	2,879.25	
08SEP17	B	1	PD08DEC17	3095.00	3231.67	USD	3,416.75	
08SEP17	B	1	PD08DEC17	3086.50	3231.67	USD	3,629.25	
08SEP17	S	1	PD08DEC17	3084.00	3231.67	USD	-3,691.75	
08SEP17	S	1	PD08DEC17	3086.00	3231.67	USD	-3,641.75	

OPEN POSITION STATEMENT



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SAP0054K

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	3092.00	3231.67	USD	-3,491.75	
08SEP17	S	1	PD08DEC17	3091.00	3231.67	USD	-3,516.75	
08SEP17	S	1	PD08DEC17	3089.50	3231.67	USD	-3,554.25	
	NET	0AVG BGHT 3111.90	SOLD 3088.50				-2,925.00	0.00
11SEP17	S	1	PD11DEC17	3078.00	3231.33	USD	-3,833.25	
11SEP17	S	1	PD11DEC17	3097.50	3231.33	USD	-3,345.75	
11SEP17	B	1	PD11DEC17	3086.50	3231.33	USD	3,620.75	
11SEP17	B	1	PD11DEC17	3085.50	3231.33	USD	3,645.75	
11SEP17	B	1	PD11DEC17	3060.50	3231.33	USD	4,270.75	
11SEP17	S	1	PD11DEC17	3062.00	3231.33	USD	-4,233.25	
	NET	0AVG BGHT 3077.50	SOLD 3079.17				125.00	0.00
12SEP17	S	1	PD12DEC17	3084.50	3231.00	USD	-3,662.50	
12SEP17	B	1	PD12DEC17	3091.00	3231.00	USD	3,500.00	
12SEP17	B	1	PD12DEC17	3064.00	3231.00	USD	4,175.00	
12SEP17	B	1	PD12DEC17	3061.50	3231.00	USD	4,237.50	
02OCT17	S	2	PD12DEC17	3237.87	3231.00	USD	343.50	
	NET	0AVG BGHT 3072.17	SOLD 3186.75				8,593.50	0.00
13SEP17	S	1	PD13DEC17	3051.50	3230.67	USD	-4,479.25	
13SEP17	S	1	PD13DEC17	3052.00	3230.67	USD	-4,466.75	
13SEP17	B	1	PD13DEC17	3024.00	3230.67	USD	5,166.75	
13SEP17	B	1	PD13DEC17	3023.50	3230.67	USD	5,179.25	
13SEP17	B	1	PD13DEC17	3026.50	3230.67	USD	5,104.25	
13SEP17	S	1	PD13DEC17	3027.50	3230.67	USD	-5,079.25	
	NET	0AVG BGHT 3024.67	SOLD 3043.67				1,425.00	0.00
14SEP17	S	1	PD14DEC17	3030.00	3230.33	USD	-5,008.25	
14SEP17	B	1	PD14DEC17	3016.00	3230.33	USD	5,358.25	
14SEP17	S	1	PD14DEC17	3018.00	3230.33	USD	-5,308.25	
14SEP17	B	1	PD14DEC17	3009.00	3230.33	USD	5,533.25	
14SEP17	S	1	PD14DEC17	2977.00	3230.33	USD	-6,333.25	
14SEP17	S	1	PD14DEC17	2980.00	3230.33	USD	-6,258.25	
14SEP17	S	1	PD14DEC17	3017.00	3230.33	USD	-5,333.25	
14SEP17	B	1	PD14DEC17	3007.00	3230.33	USD	5,583.25	
02OCT17	B	2	PD14DEC17	3238.20	3230.33	USD	-393.50	
	NET	0AVG BGHT 3101.68	SOLD 3004.40				-12,160.00	0.00
15SEP17	B	1	PD15DEC17	2995.50	3230.00	USD	5,862.50	
15SEP17	S	1	PD15DEC17	2990.00	3230.00	USD	-6,000.00	
15SEP17	B	1	PD15DEC17	3000.50	3230.00	USD	5,737.50	
15SEP17	B	1	PD15DEC17	2999.50	3230.00	USD	5,762.50	
15SEP17	B	1	PD15DEC17	2990.50	3230.00	USD	5,987.50	
15SEP17	S	1	PD15DEC17	3031.00	3230.00	USD	-4,975.00	
15SEP17	B	1	PD15DEC17	3031.00	3230.00	USD	4,975.00	
15SEP17	B	1	PD15DEC17	3028.00	3230.00	USD	5,050.00	
15SEP17	B	1	PD15DEC17	3027.00	3230.00	USD	5,075.00	
15SEP17	B	1	PD15DEC17	3030.50	3230.00	USD	4,987.50	
02OCT17	S	6	PD15DEC17	3238.17	3230.00	USD	1,225.50	
	NET	0AVG BGHT 3012.81	SOLD 3181.25				33,688.00	0.00
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	1	PD18DEC17	3090.00	3229.67	USD	-3,491.75	
18SEP17	S	1	PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	S	2	PD18DEC17	3083.50	3229.67	USD	-7,308.50	
18SEP17	S	1	PD18DEC17	3079.00	3229.67	USD	-3,766.75	
18SEP17	S	1	PD18DEC17	3088.00	3229.67	USD	-3,541.75	
18SEP17	S	1	PD18DEC17	3084.50	3229.67	USD	-3,629.25	
02OCT17	B	6	PD18DEC17	3238.87	3229.67	USD	-1,380.00	
02OCT17	B	2	PD18DEC17	3238.67	3229.67	USD	-450.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	3238.82	SOLD	3084.63		-30,839.00	0.00
19SEP17	B	1	PD19DEC17		3078.00	3229.33	USD	3,783.25	
19SEP17	S	1	PD19DEC17		3079.00	3229.33	USD	-3,758.25	
19SEP17	B	1	PD19DEC17		3078.50	3229.33	USD	3,770.75	
19SEP17	S	1	PD19DEC17		3101.00	3229.33	USD	-3,208.25	
	NET	0 AVG	BGHT	3078.25	SOLD	3090.00		587.50	0.00
20SEP17	B	1	PD20DEC17		3100.50	3229.00	USD	3,212.50	
20SEP17	S	1	PD20DEC17		3130.00	3229.00	USD	-2,475.00	
20SEP17	B	1	PD20DEC17		3123.00	3229.00	USD	2,650.00	
20SEP17	S	1	PD20DEC17		3128.00	3229.00	USD	-2,525.00	
20SEP17	B	1	PD20DEC17		3139.00	3229.00	USD	2,250.00	
20SEP17	S	1	PD20DEC17		3138.00	3229.00	USD	-2,275.00	
	NET	0 AVG	BGHT	3120.83	SOLD	3132.00		837.50	0.00
22SEP17	B	1	PD22DEC17		3036.50	3224.50	USD	4,700.00	
22SEP17	B	1	PD22DEC17		3044.50	3224.50	USD	4,500.00	
22SEP17	S	2	PD22DEC17		3024.00	3224.50	USD	-10,025.00	
22SEP17	S	1	PD22DEC17		3032.50	3224.50	USD	-4,800.00	
22SEP17	B	1	PD22DEC17		3034.00	3224.50	USD	4,762.50	
22SEP17	B	1	PD22DEC17		3056.50	3224.50	USD	4,200.00	
22SEP17	B	1	PD22DEC17		3030.00	3224.50	USD	4,862.50	
22SEP17	B	1	PD22DEC17		3065.00	3224.50	USD	3,987.50	
22SEP17	B	1	PD22DEC17		3064.00	3224.50	USD	4,012.50	
22SEP17	B	1	PD22DEC17		3061.00	3224.50	USD	4,087.50	
22SEP17	B	1	PD22DEC17		3066.00	3224.50	USD	3,962.50	
02OCT17	S	4	PD22DEC17		3236.00	3224.50	USD	1,150.00	
02OCT17	S	2	PD22DEC17		3235.80	3224.50	USD	565.00	
	NET	0 AVG	BGHT	3050.83	SOLD	3166.23		25,965.00	0.00
25SEP17	S	1	PD27DEC17		3084.00	3224.00	USD	-3,500.00	
25SEP17	S	1	PD27DEC17		3089.00	3224.00	USD	-3,375.00	
25SEP17	S	1	PD27DEC17		3086.00	3224.00	USD	-3,450.00	
25SEP17	S	1	PD27DEC17		3089.50	3224.00	USD	-3,362.50	
25SEP17	S	1	PD27DEC17		3091.00	3224.00	USD	-3,325.00	
25SEP17	S	1	PD27DEC17		3094.00	3224.00	USD	-3,250.00	
25SEP17	S	1	PD27DEC17		3093.50	3224.00	USD	-3,262.50	
25SEP17	B	1	PD27DEC17		3096.50	3224.00	USD	3,187.50	
25SEP17	B	1	PD27DEC17		3107.50	3224.00	USD	2,912.50	
25SEP17	B	1	PD27DEC17		3110.00	3224.00	USD	2,850.00	
25SEP17	B	1	PD27DEC17		3111.50	3224.00	USD	2,812.50	
26SEP17	S	1	PD27DEC17		3121.00	3224.00	USD	-2,575.00	
26SEP17	S	1	PD27DEC17		3123.00	3224.00	USD	-2,525.00	
26SEP17	B	1	PD27DEC17		3144.00	3224.00	USD	2,000.00	
26SEP17	B	1	PD27DEC17		3144.50	3224.00	USD	1,987.50	
26SEP17	S	1	PD27DEC17		3121.00	3224.00	USD	-2,575.00	
27SEP17	B	1	PD27DEC17		3115.50	3224.00	USD	2,712.50	
27SEP17	S	1	PD27DEC17		3144.50	3224.00	USD	-1,987.50	
27SEP17	B	1	PD27DEC17		3116.50	3224.00	USD	2,687.50	
27SEP17	B	1	PD27DEC17		3114.50	3224.00	USD	2,737.50	
02OCT17	B	2	PD27DEC17		3236.20	3224.00	USD	-610.00	
	NET	0 AVG	BGHT	3139.35	SOLD	3103.32		-9,910.00	0.00
28SEP17	B	1	PD28DEC17		3113.50	3223.50	USD	2,750.00	
28SEP17	S	1	PD28DEC17		3113.50	3223.50	USD	-2,750.00	
28SEP17	S	1	PD28DEC17		3103.50	3223.50	USD	-3,000.00	
28SEP17	B	1	PD28DEC17		3159.50	3223.50	USD	1,600.00	
	NET	0 AVG	BGHT	3136.50	SOLD	3108.50		-1,400.00	0.00
29SEP17	S	1	PD29DEC17		3144.50	3223.00	USD	-1,962.50	
29SEP17	S	1	PD29DEC17		3144.50	3223.00	USD	-1,962.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
020CT17	B	2 PD29DEC17	3236.20	3223.00	USD	-660.00	
	NET	0 AVG BGHT 3236.20 SOLD 3144.50				-4,585.00	0.00
030CT17	S	1 PD03JAN18	3223.00	3222.00	USD	25.00	
030CT17	S	1 PD03JAN18	3238.50	3222.00	USD	412.50	
030CT17	S	1 PD03JAN18	3255.50	3222.00	USD	837.50	
030CT17	B	1 PD03JAN18	3260.50	3222.00	USD	-962.50	
030CT17	B	1 PD03JAN18	3261.00	3222.00	USD	-975.00	
030CT17	B	1 PD03JAN18	3263.00	3222.00	USD	-1,025.00	
030CT17	S	1 PD03JAN18	3265.00	3222.00	USD	1,075.00	
030CT17	S	1 PD03JAN18	3259.00	3222.00	USD	925.00	
030CT17	S	1 PD03JAN18	3222.00	3222.00	USD	0.00	
030CT17	B	2 PD03JAN18	3275.50	3222.00	USD	-2,675.00	
030CT17	B	1 PD03JAN18	3290.00	3222.00	USD	-1,700.00	
	NET	0 AVG BGHT 3270.92 SOLD 3243.83				-4,062.50	0.00
060CT17	S	1 PD05JAN18	3288.50	3221.00	USD	1,687.50	
060CT17	B	1 PD05JAN18	3277.50	3221.00	USD	-1,412.50	
060CT17	S	1 PD05JAN18	3285.00	3221.00	USD	1,600.00	
060CT17	S	1 PD05JAN18	3287.00	3221.00	USD	1,650.00	
060CT17	B	1 PD05JAN18	3281.50	3221.00	USD	-1,512.50	
060CT17	B	1 PD05JAN18	3278.50	3221.00	USD	-1,437.50	
060CT17	S	1 PD05JAN18	3270.50	3221.00	USD	1,237.50	
060CT17	B	1 PD05JAN18	3266.00	3221.00	USD	-1,125.00	
060CT17	B	1 PD05JAN18	3256.00	3221.00	USD	-875.00	
060CT17	B	1 PD05JAN18	3251.50	3221.00	USD	-762.50	
060CT17	S	1 PD05JAN18	3240.00	3221.00	USD	475.00	
060CT17	B	1 PD05JAN18	3237.00	3221.00	USD	-400.00	
060CT17	S	1 PD05JAN18	3244.50	3221.00	USD	587.50	
060CT17	S	1 PD05JAN18	3238.50	3221.00	USD	437.50	
	NET	0 AVG BGHT 3264.00 SOLD 3264.86				150.00	0.00
090CT17	S	1 PD09JAN18	3273.00	3220.00	USD	1,325.00	
090CT17	B	1 PD09JAN18	3269.50	3220.00	USD	-1,237.50	
090CT17	S	1 PD09JAN18	3275.00	3220.00	USD	1,375.00	
090CT17	B	1 PD09JAN18	3268.00	3220.00	USD	-1,200.00	
090CT17	S	1 PD09JAN18	3266.50	3220.00	USD	1,162.50	
090CT17	B	1 PD09JAN18	3262.50	3220.00	USD	-1,062.50	
	NET	0 AVG BGHT 3266.67 SOLD 3271.50				362.50	0.00
110CT17	B	1 PD11JAN18	3234.50	3219.00	USD	-387.50	
110CT17	B	1 PD11JAN18	3235.50	3219.00	USD	-412.50	
110CT17	B	1 PD11JAN18	3224.50	3219.00	USD	-137.50	
110CT17	B	1 PD11JAN18	3210.50	3219.00	USD	212.50	
110CT17	S	1 PD11JAN18	3215.50	3219.00	USD	-87.50	
110CT17	S	1 PD11JAN18	3223.00	3219.00	USD	100.00	
110CT17	S	1 PD11JAN18	3222.50	3219.00	USD	87.50	
110CT17	S	1 PD11JAN18	3214.00	3219.00	USD	-125.00	
	NET	0 AVG BGHT 3226.25 SOLD 3218.75				-750.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	322.50
NYM Light Sweet Crude Oil	FUTURES	50,390.00
LME Copper Grade A 25	FUTURES	-1,001.25
CMX Gold	FUTURES	3,873,650.00
NYM Henry Hub Natural Gas	FUTURES	91,980.00
LME Lead 25 tonnes. This is an	FUTURES	51,529.25
LME Nickel 6 tonnes. This is	FUTURES	-4,004.16
CMX Silver	FUTURES	-2,955,200.00
LME Zinc 25 tonnes. This is	FUTURES	-23,772.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-910.00	-910.00		
GOLD - Futures USD	135,840.00	135,840.00		
HGAS - Futures USD	5,310.00	5,310.00		
SILV - Futures USD	43,075.00	43,075.00	183,315.00	183,315.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	322.50	322.50		
COPL - Futures USD	-1,001.25	-1,001.25		
LEAD - Futures USD	-12,590.75	-12,590.75		
NKL - Futures USD	-4,004.16	-4,004.16		
ZINC - Futures USD	-23,772.25	-23,772.25	-41,045.91	-41,045.91

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	175,051.06	175,051.06
Variation Margins - Futures	183,315.00	183,315.00
- Options	0.00	0.00
TOTAL EQUITY	358,366.06	358,366.06
Initial Margins	-167,779.91	-167,779.91
Excess/(Shortage)	190,586.15	190,586.15
FOR INFORMATION ONLY		
Forward Unrealised P&L	-41,045.91	-41,045.91
Net Liquidating Value	317,320.15	317,320.15

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME EURO FX 125,000 CME							
13SEP17	S	3	DEC17	1.19625	1.18970	USD	2,456.25	
13SEP17	S	3	DEC17	1.19520	1.18970	USD	2,062.50	
13SEP17	S	3	DEC17	1.19540	1.18970	USD	2,137.50	
13SEP17	S	3	DEC17	1.19510	1.18970	USD	2,025.00	
13SEP17	S	2	DEC17	1.19525	1.18970	USD	1,387.50	
13SEP17	S	2	DEC17	1.19475	1.18970	USD	1,262.50	
13SEP17	S	2	DEC17	1.19480	1.18970	USD	1,275.00	
13SEP17	S	4	DEC17	1.19495	1.18970	USD	2,625.00	
15SEP17	S	2	DEC17	1.20250	1.18970	USD	3,200.00	
15SEP17	S	2	DEC17	1.20230	1.18970	USD	3,150.00	
15SEP17	S	2	DEC17	1.20220	1.18970	USD	3,125.00	
15SEP17	S	6	DEC17	1.20210	1.18970	USD	9,300.00	
10OCT17	S	1	DEC17	1.18360	1.18970	USD	-762.50	
10OCT17	S	1	DEC17	1.18370	1.18970	USD	-750.00	
10OCT17	S	1	DEC17	1.18375	1.18970	USD	-743.75	
10OCT17	S	1	DEC17	1.18365	1.18970	USD	-756.25	
	NET	-38 AVG SOLD 1.19623					30,993.75	0.00

OPEN POSITION VALUE

CME Euro FX	FUTURES	USD	5,682,068.75
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	30,993.75	30,993.75	30,993.75	30,993.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-201,165.65	-201,165.65
Variation Margins - Futures	30,993.75	30,993.75
- Options	0.00	0.00
TOTAL EQUITY	-170,171.90	-170,171.90
Initial Margins	-79,800.00	-79,800.00
Excess/(Shortage)	-249,971.90	-249,971.90
FOR INFORMATION ONLY		
Net Liquidating Value	-170,171.90	-170,171.90

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054N

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	50,000.00	50,000.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	50,000.00	50,000.00
Initial Margins	0.00	0.00
Excess/(Shortage)	50,000.00	50,000.00
FOR INFORMATION ONLY		
Net Liquidating Value	50,000.00	50,000.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0054**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	6,265.00	816,799.22	825,062.13
Variation Margins	- Futures 0.00	304,543.75	304,543.75
	- Options 0.00	0.00	0.00
TOTAL EQUITY	6,265.00	1,121,342.97	1,129,605.88
Initial Margins	0.00	-726,424.41	-726,424.41
Excess/(Shortage)	6,265.00	394,918.56	403,181.47
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	-243,522.41	-243,522.41
Net Liquidating Value	6,265.00	877,820.56	886,083.47

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-157.26	-157.26
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-157.26	-157.26
Initial Margins	0.00	0.00
Excess/(Shortage)	-157.26	-157.26
FOR INFORMATION ONLY		
Net Liquidating Value	-157.26	-157.26

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				-100.00	700.00
22SEP17	<u>FTSE 100 (EUROPEAN) OPTION 10 LTO</u>					
	S 100 OCT17 6000.0 Put	1.0	0.0	GBP	0.00	1,000.00
	NET -100 AVG SOLD 1.0				0.00	1,000.00
04OCT17	S 50 OCT17 6500.0 Put	1.0	0.5	GBP	-250.00	250.00
	NET -50 AVG SOLD 1.0				-250.00	250.00

OPEN POSITION VALUE

		EUR	GBP
Dow Jones EURO Stoxx 50	OPTIONS	800.00	
FTSE 100 (European) Option	OPTIONS		1,500.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-100.00	700.00	-100.00	700.00
FTSO - Options GBP	-250.00	1,250.00	-250.00	1,250.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
CASH BALANCE	(1.18440)	(1.31890)	(1.00000)
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	112,335.98	64,360.40	-167,670.01
Initial Margins	-448.24	-18,750.00	0.00
Excess/(Shortage)	111,887.74	45,610.40	-167,670.01
FOR INFORMATION ONLY			
Net Premium Option Market Value	-100.00	-250.00	0.00
Net Liquidating Value	112,235.98	64,110.40	-167,670.01
	NETT USD		
CASH BALANCE	(1.00000)		
Variation Margins - Futures	50,265.65		
- Options	0.00		
TOTAL EQUITY	50,265.65		
Initial Margins	-25,260.27		
Excess/(Shortage)	25,005.38		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-448.16		
Net Liquidating Value	49,817.49		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0072**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Conduct Authority and limited regulation by the
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	112,335.98	64,360.40	-167,827.27
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	112,335.98	64,360.40	-167,827.27
Initial Margins	-448.24	-18,750.00	0.00
Excess/(Shortage)	111,887.74	45,610.40	-167,827.27

FOR INFORMATION ONLY

Net Premium Option Market Value	-100.00	-250.00	0.00
Net Liquidating Value	112,235.98	64,110.40	-167,827.27

	NET USD
	(1.00000)
CASH BALANCE	50,108.39
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	50,108.39
Initial Margins	-25,260.27
Excess/(Shortage)	24,848.12

FOR INFORMATION ONLY

Net Premium Option Market Value	-448.16
Net Liquidating Value	49,660.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0077

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	128,169.56	45,993.12	197,797.15
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	128,169.56	45,993.12	197,797.15
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	128,169.56	45,993.12	197,797.15
FOR INFORMATION ONLY			
Net Liquidating Value	128,169.56	45,993.12	197,797.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0077
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0077**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	128,169.56	45,993.12	197,797.15
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	128,169.56	45,993.12	197,797.15
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	128,169.56	45,993.12	197,797.15
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	128,169.56	45,993.12	197,797.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0083

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-99,213.13	-99,213.13
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-99,213.13	-99,213.13
Initial Margins	0.00	0.00
Excess/(Shortage)	-99,213.13	-99,213.13
FOR INFORMATION ONLY		
Net Liquidating Value	-99,213.13	-99,213.13

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
26JUL17	S	1	PD26OCT17	1943.50	2111.25	USD	-4,193.75	
26JUL17	S	1	PD26OCT17	1957.00	2111.25	USD	-3,856.25	
26JUL17	B	1	PD26OCT17	1943.50	2111.25	USD	4,193.75	
08AUG17	B	1	PD26OCT17	2027.40	2111.25	USD	2,096.25	
	NET	0 AVG	BGHT 1985.45				-1,760.00	0.00
27JUL17	S	1	PD27OCT17	1950.00	2111.00	USD	-4,025.00	
27JUL17	B	1	PD27OCT17	1938.00	2111.00	USD	4,325.00	
28JUL17	S	1	PD27OCT17	1929.50	2111.00	USD	-4,537.50	
28JUL17	B	2	PD27OCT17	1916.50	2111.00	USD	9,725.00	
08AUG17	S	1	PD27OCT17	2027.40	2111.00	USD	-2,090.00	
	NET	0 AVG	BGHT 1923.67				3,397.50	0.00
02AUG17	B	1	PD02NOV17	1923.00	2111.13	USD	4,703.25	
02AUG17	B	1	PD02NOV17	1924.00	2111.13	USD	4,678.25	
02AUG17	B	1	PD02NOV17	1925.50	2111.13	USD	4,640.75	
02AUG17	B	2	PD02NOV17	1926.50	2111.13	USD	9,231.50	
02AUG17	B	2	PD02NOV17	1926.00	2111.13	USD	9,256.50	
08AUG17	S	6	PD02NOV17	2028.80	2111.13	USD	-12,349.50	
06SEP17	S	1	PD02NOV17	2097.88	2111.13	USD	-331.25	
	NET	0 AVG	BGHT 1925.36				19,829.50	0.00
04AUG17	B	3	PD03NOV17	1918.00	2111.44	USD	14,508.00	
06SEP17	S	3	PD03NOV17	2098.14	2111.44	USD	-997.50	
	NET	0 AVG	BGHT 1918.00				13,510.50	0.00
07AUG17	B	2	PD07NOV17	1919.50	2112.69	USD	9,659.50	
07AUG17	B	1	PD07NOV17	1931.50	2112.69	USD	4,529.75	
07AUG17	S	3	PD07NOV17	1926.00	2112.69	USD	-14,001.75	
07AUG17	S	3	PD07NOV17	1925.50	2112.69	USD	-14,039.25	
07AUG17	S	2	PD07NOV17	1927.00	2112.69	USD	-9,284.50	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.25	
07AUG17	S	1	PD07NOV17	1928.50	2112.69	USD	-4,604.75	
07AUG17	B	2	PD07NOV17	1929.50	2112.69	USD	9,159.50	
07AUG17	B	3	PD07NOV17	1926.00	2112.69	USD	14,001.75	
07AUG17	S	1	PD07NOV17	1924.50	2112.69	USD	-4,704.75	
07AUG17	S	3	PD07NOV17	1969.00	2112.69	USD	-10,776.75	
08AUG17	B	6	PD07NOV17	2030.00	2112.69	USD	12,403.50	
	NET	0 AVG	BGHT 1970.54				-12,250.00	0.00
08AUG17	B	1	PD08NOV17	1980.00	2113.00	USD	3,325.00	
08AUG17	S	2	PD08NOV17	1994.00	2113.00	USD	-5,950.00	
08AUG17	B	1	PD08NOV17	1997.50	2113.00	USD	2,887.50	
08AUG17	B	1	PD08NOV17	1998.50	2113.00	USD	2,862.50	
08AUG17	B	3	PD08NOV17	2000.00	2113.00	USD	8,475.00	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	1	PD08NOV17	2010.50	2113.00	USD	2,562.50	
08AUG17	B	2	PD08NOV17	2020.00	2113.00	USD	4,650.00	
06SEP17	S	8	PD08NOV17	2099.44	2113.00	USD	-2,712.00	
	NET	0 AVG	BGHT 2003.00				18,838.00	0.00
11AUG17	S	1	PD10NOV17	2025.50	2113.93	USD	-2,210.75	
11AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
06SEP17	B	2	PD10NOV17	2100.15	2113.93	USD	689.00	
	NET	0 AVG	BGHT 2100.15				-3,407.50	0.00
14AUG17	S	1	PD14NOV17	2028.50	2115.79	USD	-2,182.25	
14AUG17	S	1	PD14NOV17	2031.00	2115.79	USD	-2,119.75	
14AUG17	S	1	PD14NOV17	2033.50	2115.79	USD	-2,057.25	
14AUG17	B	1	PD14NOV17	2041.50	2115.79	USD	1,857.25	
06SEP17	B	2	PD14NOV17	2101.19	2115.79	USD	730.00	
	NET	0 AVG	BGHT 2081.29				-3,772.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

11th October 2017

Sapien Capital Limited
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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
15AUG17	S	1	PD15NOV17	2023.00	2116.25	USD	-2,331.25	
15AUG17	S	1	PD15NOV17	2033.00	2116.25	USD	-2,081.25	
06SEP17	B	2	PD15NOV17	2101.45	2116.25	USD	740.00	
	NET	0 AVG	BGHT 2101.45				-3,672.50	0.00
16AUG17	B	1	PD16NOV17	2053.00	2116.56	USD	1,589.00	
16AUG17	B	1	PD16NOV17	2054.50	2116.56	USD	1,551.50	
16AUG17	B	1	PD16NOV17	2099.00	2116.56	USD	439.00	
06SEP17	S	3	PD16NOV17	2100.63	2116.56	USD	-1,194.75	
	NET	0 AVG	BGHT 2068.83				2,384.75	0.00
17AUG17	B	1	PD17NOV17	2096.00	2116.87	USD	521.75	
17AUG17	S	1	PD17NOV17	2100.00	2116.87	USD	-421.75	
	NET	0 AVG	BGHT 2096.00				100.00	0.00
21AUG17	B	1	PD21NOV17	2084.00	2118.10	USD	852.50	
06SEP17	S	1	PD21NOV17	2100.95	2118.10	USD	-428.75	
	NET	0 AVG	BGHT 2084.00				423.75	0.00
22AUG17	S	1	PD22NOV17	2079.50	2118.41	USD	-972.75	
22AUG17	S	1	PD22NOV17	2079.00	2118.41	USD	-985.25	
22AUG17	S	1	PD22NOV17	2068.00	2118.41	USD	-1,260.25	
22AUG17	S	1	PD22NOV17	2071.00	2118.41	USD	-1,185.25	
22AUG17	S	1	PD22NOV17	2072.00	2118.41	USD	-1,160.25	
06SEP17	B	5	PD22NOV17	2101.38	2118.41	USD	2,128.75	
	NET	0 AVG	BGHT 2101.38				-3,435.00	0.00
23AUG17	B	1	PD24NOV17	2085.00	2119.03	USD	850.75	
23AUG17	B	1	PD24NOV17	2084.00	2119.03	USD	875.75	
23AUG17	B	1	PD24NOV17	2090.00	2119.03	USD	725.75	
23AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
24AUG17	S	1	PD24NOV17	2103.00	2119.03	USD	-400.75	
24AUG17	S	2	PD24NOV17	2103.50	2119.03	USD	-776.50	
24AUG17	B	1	PD24NOV17	2105.00	2119.03	USD	350.75	
24AUG17	S	1	PD24NOV17	2099.00	2119.03	USD	-500.75	
24AUG17	S	1	PD24NOV17	2095.50	2119.03	USD	-588.25	
24AUG17	S	1	PD24NOV17	2092.50	2119.03	USD	-663.25	
24AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
24AUG17	S	1	PD24NOV17	2091.50	2119.03	USD	-688.25	
06SEP17	B	3	PD24NOV17	2101.86	2119.03	USD	1,287.75	
	NET	0 AVG	BGHT 2095.45				398.00	0.00
29AUG17	B	1	PD29NOV17	2077.00	2120.57	USD	1,089.25	
29AUG17	S	1	PD29NOV17	2095.00	2120.57	USD	-639.25	
29AUG17	S	1	PD29NOV17	2098.50	2120.57	USD	-551.75	
29AUG17	S	1	PD29NOV17	2092.50	2120.57	USD	-701.75	
06SEP17	B	2	PD29NOV17	2103.04	2120.57	USD	876.50	
	NET	0 AVG	BGHT 2094.36				73.00	0.00
30AUG17	B	1	PD30NOV17	2088.50	2120.88	USD	809.50	
30AUG17	S	1	PD30NOV17	2093.50	2120.88	USD	-684.50	
31AUG17	B	1	PD30NOV17	2089.00	2120.88	USD	797.00	
31AUG17	B	1	PD30NOV17	2095.00	2120.88	USD	647.00	
31AUG17	S	1	PD30NOV17	2129.50	2120.88	USD	215.50	
31AUG17	S	1	PD30NOV17	2132.00	2120.88	USD	278.00	
31AUG17	B	1	PD30NOV17	2124.00	2120.88	USD	-78.00	
13SEP17	S	1	PD30NOV17	2106.52	2120.88	USD	-359.00	
	NET	0 AVG	BGHT 2099.13				1,625.50	0.00
01SEP17	B	1	PD01DEC17	2129.00	2121.19	USD	-195.25	
13SEP17	S	1	PD01DEC17	2106.79	2121.19	USD	-360.00	
	NET	0 AVG	BGHT 2129.00				-555.25	0.00
05SEP17	S	1	PD05DEC17	2106.00	2122.43	USD	-410.75	

OPEN POSITION STATEMENT



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SAP083GA

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13SEP17	B	1	PD05DEC17	2108.06	2122.43	USD	359.25	
	NET	0 AVG BGHT 2108.06		SOLD 2106.00			-51.50	0.00
06SEP17	S	1	PD06DEC17	2086.00	2122.74	USD	-918.50	
13SEP17	B	1	PD06DEC17	2108.33	2122.74	USD	360.25	
	NET	0 AVG BGHT 2108.33		SOLD 2086.00			-558.25	0.00
08SEP17	S	1	PD08DEC17	2097.50	2123.35	USD	-646.25	
13SEP17	B	1	PD08DEC17	2108.66	2123.35	USD	367.25	
	NET	0 AVG BGHT 2108.66		SOLD 2097.50			-279.00	0.00
11SEP17	B	1	PD11DEC17	2103.00	2124.28	USD	532.00	
11SEP17	B	1	PD11DEC17	2105.00	2124.28	USD	482.00	
11SEP17	B	1	PD11DEC17	2126.00	2124.28	USD	-43.00	
13SEP17	S	1	PD11DEC17	2109.26	2124.28	USD	-375.50	
20SEP17	S	2	PD11DEC17	2174.49	2124.28	USD	2,510.50	
	NET	0 AVG BGHT 2111.33		SOLD 2152.75			3,106.00	0.00
13SEP17	S	1	PD13DEC17	2131.00	2124.90	USD	152.50	
20SEP17	B	1	PD13DEC17	2175.25	2124.90	USD	-1,258.75	
	NET	0 AVG BGHT 2175.25		SOLD 2131.00			-1,106.25	0.00
14SEP17	B	1	PD14DEC17	2098.50	2125.21	USD	667.75	
14SEP17	B	1	PD14DEC17	2092.50	2125.21	USD	817.75	
14SEP17	S	1	PD14DEC17	2105.00	2125.21	USD	-505.25	
14SEP17	B	1	PD14DEC17	2105.50	2125.21	USD	492.75	
20SEP17	S	2	PD14DEC17	2175.33	2125.21	USD	2,506.00	
	NET	0 AVG BGHT 2098.83		SOLD 2151.89			3,979.00	0.00
15SEP17	S	1	PD15DEC17	2089.00	2125.51	USD	-912.75	
15SEP17	S	2	PD15DEC17	2090.00	2125.51	USD	-1,775.50	
20SEP17	B	3	PD15DEC17	2175.81	2125.51	USD	-3,772.50	
	NET	0 AVG BGHT 2175.81		SOLD 2089.67			-6,460.75	0.00
18SEP17	B	1	PD18DEC17	2090.50	2126.44	USD	898.50	
18SEP17	S	1	PD18DEC17	2090.00	2126.44	USD	-911.00	
18SEP17	B	1	PD18DEC17	2090.00	2126.44	USD	911.00	
18SEP17	B	1	PD18DEC17	2093.00	2126.44	USD	836.00	
20SEP17	S	1	PD18DEC17	2176.44	2126.44	USD	1,250.00	
29SEP17	S	1	PD18DEC17	2098.90	2126.44	USD	-688.50	
	NET	0 AVG BGHT 2091.17		SOLD 2121.78			2,296.00	0.00
19SEP17	B	1	PD19DEC17	2105.00	2126.75	USD	543.75	
19SEP17	S	1	PD19DEC17	2106.00	2126.75	USD	-518.75	
19SEP17	S	1	PD19DEC17	2124.00	2126.75	USD	-68.75	
19SEP17	S	1	PD19DEC17	2123.50	2126.75	USD	-81.25	
19SEP17	B	1	PD19DEC17	2130.00	2126.75	USD	-81.25	
20SEP17	B	1	PD19DEC17	2176.92	2126.75	USD	-1,254.25	
	NET	0 AVG BGHT 2137.31		SOLD 2117.83			-1,460.50	0.00
20SEP17	B	1	PD20DEC17	2130.00	2126.75	USD	-81.25	
20SEP17	B	1	PD20DEC17	2146.50	2126.75	USD	-493.75	
29SEP17	S	2	PD20DEC17	2099.50	2126.75	USD	-1,362.50	
	NET	0 AVG BGHT 2138.25		SOLD 2099.50			-1,937.50	0.00
21SEP17	S	2	PD21DEC17	2169.00	2126.75	USD	2,112.50	
21SEP17	B	1	PD21DEC17	2170.00	2126.75	USD	-1,081.25	
21SEP17	S	1	PD21DEC17	2161.50	2126.75	USD	868.75	
21SEP17	S	1	PD21DEC17	2184.00	2126.75	USD	1,431.25	
21SEP17	B	1	PD21DEC17	2179.00	2126.75	USD	-1,306.25	
21SEP17	S	1	PD21DEC17	2164.00	2126.75	USD	931.25	
21SEP17	S	1	PD21DEC17	2145.00	2126.75	USD	456.25	
21SEP17	B	1	PD21DEC17	2145.50	2126.75	USD	-468.75	
29SEP17	B	3	PD21DEC17	2099.98	2126.75	USD	2,007.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
22SEP17	NET 0 AVG BGHT 2132.41 SOLD 2165.42				4,951.50	0.00
	S 1 PD22DEC17	2165.00	2126.75	USD	956.25	
	NET -1 AVG SOLD 2165.00				956.25	0.00
25SEP17	S 1 PD27DEC17	2144.00	2127.29	USD	417.75	
25SEP17	B 1 PD27DEC17	2170.00	2127.29	USD	-1,067.75	
25SEP17	B 1 PD27DEC17	2143.00	2127.29	USD	-392.75	
27SEP17	S 1 PD27DEC17	2138.00	2127.29	USD	267.75	
	NET 0 AVG BGHT 2156.50 SOLD 2141.00				-775.00	0.00
28SEP17	S 1 PD28DEC17	2128.00	2127.39	USD	15.25	
	NET -1 AVG SOLD 2128.00				15.25	0.00
09OCT17	B 1 PD09JAN18	2156.00	2129.62	USD	-659.50	
	NET +1 AVG BGHT 2156.00				-659.50	0.00
10OCT17	B 1 PD10JAN18	2168.50	2129.81	USD	-967.25	
	NET +1 AVG BGHT 2168.50				-967.25	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	5925.50	6755.20	USD	-20,742.50	
12JUL17	S 1 PD12OCT17	5929.00	6755.20	USD	-20,655.00	
12JUL17	S 1 PD12OCT17	5927.00	6755.20	USD	-20,705.00	
18JUL17	B 3 PD12OCT17	6004.72	6755.20	USD	56,286.00	
	NET 0 AVG BGHT 6004.72 SOLD 5927.17				-5,816.50	0.00
13JUL17	B 1 PD13OCT17	5881.50	6756.00	USD	21,862.50	
13JUL17	B 1 PD13OCT17	5876.00	6756.00	USD	22,000.00	
13JUL17	B 1 PD13OCT17	5876.50	6756.00	USD	21,987.50	
18JUL17	S 3 PD13OCT17	6004.93	6756.00	USD	-56,330.25	
	NET 0 AVG BGHT 5878.00 SOLD 6004.93				9,519.75	0.00
17JUL17	S 1 PD17OCT17	6018.00	6759.20	USD	-18,530.00	
17JUL17	S 1 PD17OCT17	6017.50	6759.20	USD	-18,542.50	
17JUL17	S 1 PD17OCT17	6016.50	6759.20	USD	-18,567.50	
18JUL17	B 3 PD17OCT17	6006.79	6759.20	USD	56,430.75	
	NET 0 AVG BGHT 6006.79 SOLD 6017.33				790.75	0.00
19JUL17	B 1 PD19OCT17	5996.50	6760.88	USD	19,109.50	
19JUL17	B 2 PD19OCT17	5996.00	6760.88	USD	38,244.00	
08AUG17	S 3 PD19OCT17	6473.50	6760.88	USD	-21,553.50	
	NET 0 AVG BGHT 5996.17 SOLD 6473.50				35,800.00	0.00
21JUL17	S 1 PD20OCT17	6013.50	6761.75	USD	-18,706.25	
21JUL17	B 1 PD20OCT17	6011.50	6761.75	USD	18,756.25	
21JUL17	S 1 PD20OCT17	6029.50	6761.75	USD	-18,306.25	
21JUL17	S 1 PD20OCT17	6031.50	6761.75	USD	-18,256.25	
21JUL17	S 1 PD20OCT17	6032.00	6761.75	USD	-18,243.75	
08AUG17	B 3 PD20OCT17	6472.70	6761.75	USD	21,678.75	
	NET 0 AVG BGHT 6357.40 SOLD 6026.63				-33,077.50	0.00
24JUL17	S 2 PD24OCT17	6015.00	6765.25	USD	-37,512.50	
24JUL17	S 1 PD24OCT17	6017.00	6765.25	USD	-18,706.25	
24JUL17	S 1 PD24OCT17	6027.00	6765.25	USD	-18,456.25	
24JUL17	S 1 PD24OCT17	6026.00	6765.25	USD	-18,481.25	
08AUG17	B 5 PD24OCT17	6474.27	6765.25	USD	36,372.50	
	NET 0 AVG BGHT 6474.27 SOLD 6020.00				-56,783.75	0.00
25JUL17	S 1 PD25OCT17	6044.50	6765.68	USD	-18,029.50	
25JUL17	B 1 PD25OCT17	6095.00	6765.68	USD	16,767.00	
25JUL17	S 1 PD25OCT17	6225.50	6765.68	USD	-13,504.50	
25JUL17	S 1 PD25OCT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S 1 PD25OCT17	6202.00	6765.68	USD	-14,092.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD25OCT17	6201.00	6765.68	USD	-14,117.00	
25JUL17	S	1	PD25OCT17	6203.50	6765.68	USD	-14,054.50	
25JUL17	B	1	PD25OCT17	6200.00	6765.68	USD	14,142.00	
25JUL17	B	1	PD25OCT17	6199.50	6765.68	USD	14,154.50	
25JUL17	B	1	PD25OCT17	6198.50	6765.68	USD	14,179.50	
25JUL17	B	1	PD25OCT17	6202.00	6765.68	USD	14,092.00	
25JUL17	S	1	PD25OCT17	6198.50	6765.68	USD	-14,179.50	
08AUG17	B	1	PD25OCT17	6474.46	6765.68	USD	7,280.50	
08AUG17	B	1	PD25OCT17	6474.66	6765.68	USD	7,275.50	
	NET	0 AVG	BGHT 6263.45				SOLD 6182.07	0.00
26JUL17	B	1	PD26OCT17	6313.00	6766.11	USD	11,327.75	
08AUG17	S	1	PD26OCT17	6474.66	6766.11	USD	-7,286.25	
	NET	0 AVG	BGHT 6313.00				SOLD 6474.66	0.00
27JUL17	S	1	PD27OCT17	6349.50	6766.54	USD	-10,426.00	
27JUL17	B	1	PD27OCT17	6366.00	6766.54	USD	10,013.50	
	NET	0 AVG	BGHT 6366.00				SOLD 6349.50	0.00
08AUG17	B	1	PD08NOV17	6481.00	6775.65	USD	7,366.25	
08AUG17	S	1	PD08NOV17	6481.00	6775.65	USD	-7,366.25	
	NET	0 AVG	BGHT 6481.00				SOLD 6481.00	0.00
11AUG17	B	1	PD10NOV17	6362.00	6777.50	USD	10,387.50	
11AUG17	S	1	PD10NOV17	6365.00	6777.50	USD	-10,312.50	
11AUG17	S	1	PD10NOV17	6366.00	6777.50	USD	-10,287.50	
11AUG17	S	1	PD10NOV17	6362.00	6777.50	USD	-10,387.50	
11AUG17	S	1	PD10NOV17	6361.50	6777.50	USD	-10,400.00	
11AUG17	S	1	PD10NOV17	6363.50	6777.50	USD	-10,350.00	
06SEP17	B	4	PD10NOV17	6893.67	6777.50	USD	-11,617.00	
	NET	0 AVG	BGHT 6787.34				SOLD 6363.60	0.00
14AUG17	S	1	PD14NOV17	6412.00	6776.83	USD	-9,120.75	
14AUG17	S	1	PD14NOV17	6406.00	6776.83	USD	-9,270.75	
14AUG17	S	1	PD14NOV17	6404.50	6776.83	USD	-9,308.25	
06SEP17	B	3	PD14NOV17	6895.93	6776.83	USD	-8,932.50	
	NET	0 AVG	BGHT 6895.93				SOLD 6407.50	0.00
16AUG17	B	1	PD16NOV17	6389.50	6776.25	USD	9,668.75	
16AUG17	S	1	PD16NOV17	6382.50	6776.25	USD	-9,843.75	
	NET	0 AVG	BGHT 6389.50				SOLD 6382.50	0.00
17AUG17	B	1	PD17NOV17	6497.50	6776.78	USD	6,982.00	
18AUG17	B	1	PD17NOV17	6526.00	6776.78	USD	6,269.50	
18AUG17	B	1	PD17NOV17	6528.50	6776.78	USD	6,207.00	
18AUG17	B	1	PD17NOV17	6530.00	6776.78	USD	6,169.50	
06SEP17	S	4	PD17NOV17	6893.68	6776.78	USD	11,690.00	
	NET	0 AVG	BGHT 6520.50				SOLD 6893.68	0.00
21AUG17	B	1	PD21NOV17	6564.50	6778.92	USD	5,360.50	
21AUG17	B	1	PD21NOV17	6580.50	6778.92	USD	4,960.50	
21AUG17	B	1	PD21NOV17	6589.00	6778.92	USD	4,748.00	
21AUG17	B	1	PD21NOV17	6582.50	6778.92	USD	4,910.50	
21AUG17	S	1	PD21NOV17	6536.50	6778.92	USD	-6,060.50	
21AUG17	S	1	PD21NOV17	6542.50	6778.92	USD	-5,910.50	
21AUG17	B	1	PD21NOV17	6575.00	6778.92	USD	5,098.00	
21AUG17	B	2	PD21NOV17	6585.50	6778.92	USD	9,671.00	
21AUG17	B	2	PD21NOV17	6567.50	6778.92	USD	10,571.00	
21AUG17	B	1	PD21NOV17	6622.50	6778.92	USD	3,910.50	
21AUG17	S	1	PD21NOV17	6601.00	6778.92	USD	-4,448.00	
21AUG17	B	1	PD21NOV17	6566.50	6778.92	USD	5,310.50	
06SEP17	S	3	PD21NOV17	6895.18	6778.92	USD	8,719.50	
06SEP17	S	5	PD21NOV17	6895.38	6778.92	USD	14,557.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6580.59	SOLD	6803.86		61,398.50	0.00
22AUG17	S	1	PD22NOV17		6577.00	6779.45	USD	-5,061.25	
22AUG17	B	1	PD22NOV17		6588.50	6779.45	USD	4,773.75	
22AUG17	B	1	PD22NOV17		6639.00	6779.45	USD	3,511.25	
22AUG17	B	1	PD22NOV17		6620.50	6779.45	USD	3,973.75	
22AUG17	S	1	PD22NOV17		6607.50	6779.45	USD	-4,298.75	
22AUG17	S	1	PD22NOV17		6609.00	6779.45	USD	-4,261.25	
	NET	0 AVG	BGHT	6616.00	SOLD	6597.83		-1,362.50	0.00
23AUG17	B	1	PD24NOV17		6566.00	6780.52	USD	5,363.00	
24AUG17	S	1	PD24NOV17		6600.50	6780.52	USD	-4,500.50	
24AUG17	B	1	PD24NOV17		6621.50	6780.52	USD	3,975.50	
24AUG17	S	1	PD24NOV17		6631.00	6780.52	USD	-3,738.00	
24AUG17	S	1	PD24NOV17		6633.50	6780.52	USD	-3,675.50	
24AUG17	S	1	PD24NOV17		6667.50	6780.52	USD	-2,825.50	
25AUG17	S	1	PD24NOV17		6701.00	6780.52	USD	-1,988.00	
25AUG17	S	1	PD24NOV17		6727.00	6780.52	USD	-1,338.00	
25AUG17	B	1	PD24NOV17		6706.50	6780.52	USD	1,850.50	
06SEP17	B	3	PD24NOV17		6896.70	6780.52	USD	-8,713.50	
	NET	0 AVG	BGHT	6764.02	SOLD	6660.08		-15,590.00	0.00
29AUG17	S	1	PD29NOV17		6773.50	6783.18	USD	-242.00	
29AUG17	S	1	PD29NOV17		6804.50	6783.18	USD	533.00	
06SEP17	B	2	PD29NOV17		6898.58	6783.18	USD	-5,770.00	
	NET	0 AVG	BGHT	6898.58	SOLD	6789.00		-5,479.00	0.00
31AUG17	B	1	PD30NOV17		6779.00	6783.72	USD	118.00	
13SEP17	S	1	PD30NOV17		6548.02	6783.72	USD	-5,892.50	
	NET	0 AVG	BGHT	6779.00	SOLD	6548.02		-5,774.50	0.00
01SEP17	B	1	PD01DEC17		6812.00	6784.25	USD	-693.75	
01SEP17	B	1	PD01DEC17		6813.00	6784.25	USD	-718.75	
13SEP17	S	2	PD01DEC17		6548.45	6784.25	USD	-11,790.00	
	NET	0 AVG	BGHT	6812.50	SOLD	6548.45		-13,202.50	0.00
04SEP17	S	1	PD04DEC17		6910.50	6785.75	USD	3,118.75	
04SEP17	B	1	PD04DEC17		6910.50	6785.75	USD	-3,118.75	
04SEP17	B	1	PD04DEC17		6921.00	6785.75	USD	-3,381.25	
13SEP17	S	1	PD04DEC17		6549.74	6785.75	USD	-5,900.25	
	NET	0 AVG	BGHT	6915.75	SOLD	6730.12		-9,281.50	0.00
05SEP17	S	1	PD05DEC17		6930.50	6786.25	USD	3,606.25	
05SEP17	S	1	PD05DEC17		6924.00	6786.25	USD	3,443.75	
05SEP17	B	1	PD05DEC17		6943.50	6786.25	USD	-3,931.25	
05SEP17	B	1	PD05DEC17		6939.00	6786.25	USD	-3,818.75	
05SEP17	S	1	PD05DEC17		6941.50	6786.25	USD	3,881.25	
05SEP17	S	1	PD05DEC17		6932.50	6786.25	USD	3,656.25	
05SEP17	B	1	PD05DEC17		6964.00	6786.25	USD	-4,443.75	
05SEP17	B	1	PD05DEC17		6916.50	6786.25	USD	-3,256.25	
05SEP17	B	1	PD05DEC17		6919.50	6786.25	USD	-3,331.25	
05SEP17	B	2	PD05DEC17		6905.50	6786.25	USD	-5,962.50	
05SEP17	B	2	PD05DEC17		6900.50	6786.25	USD	-5,712.50	
13SEP17	S	5	PD05DEC17		6550.17	6786.25	USD	-29,510.00	
	NET	0 AVG	BGHT	6921.61	SOLD	6719.93		-45,378.75	0.00
06SEP17	B	1	PD06DEC17		6875.00	6786.75	USD	-2,206.25	
06SEP17	B	1	PD06DEC17		6879.00	6786.75	USD	-2,306.25	
06SEP17	B	1	PD06DEC17		6880.00	6786.75	USD	-2,331.25	
06SEP17	S	1	PD06DEC17		6911.50	6786.75	USD	3,118.75	
06SEP17	S	1	PD06DEC17		6910.50	6786.75	USD	3,093.75	
06SEP17	S	1	PD06DEC17		6909.00	6786.75	USD	3,056.25	
06SEP17	S	2	PD06DEC17		6908.50	6786.75	USD	6,087.50	

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06SEP17	S	1	PD06DEC17	6908.00	6786.75	USD	3,031.25	
06SEP17	S	2	PD06DEC17	6909.50	6786.75	USD	6,137.50	
06SEP17	B	1	PD06DEC17	6898.00	6786.75	USD	-2,781.25	
06SEP17	B	1	PD06DEC17	6880.50	6786.75	USD	-2,343.75	
06SEP17	B	1	PD06DEC17	6877.00	6786.75	USD	-2,256.25	
06SEP17	B	2	PD06DEC17	6875.50	6786.75	USD	-4,437.50	
06SEP17	B	1	PD06DEC17	6886.00	6786.75	USD	-2,481.25	
06SEP17	B	1	PD06DEC17	6892.00	6786.75	USD	-2,631.25	
13SEP17	S	2	PD06DEC17	6550.60	6786.75	USD	-11,807.50	
	NET	0 AVG BGHT 6881.85	SOLD 6837.62				-11,057.50	0.00
07SEP17	B	2	PD07DEC17	6924.50	6787.23	USD	-6,863.50	
07SEP17	B	1	PD07DEC17	6920.00	6787.23	USD	-3,319.25	
07SEP17	S	1	PD07DEC17	6876.00	6787.23	USD	2,219.25	
07SEP17	S	3	PD07DEC17	6875.00	6787.23	USD	6,582.75	
07SEP17	S	1	PD07DEC17	6873.00	6787.23	USD	2,144.25	
07SEP17	S	1	PD07DEC17	6872.50	6787.23	USD	2,131.75	
07SEP17	S	1	PD07DEC17	6868.00	6787.23	USD	2,019.25	
07SEP17	S	1	PD07DEC17	6869.00	6787.23	USD	2,044.25	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	1	PD07DEC17	6870.00	6787.23	USD	2,069.25	
07SEP17	S	1	PD07DEC17	6867.00	6787.23	USD	1,994.25	
07SEP17	B	1	PD07DEC17	6896.00	6787.23	USD	-2,719.25	
07SEP17	B	1	PD07DEC17	6901.00	6787.23	USD	-2,844.25	
07SEP17	B	1	PD07DEC17	6900.00	6787.23	USD	-2,819.25	
07SEP17	B	1	PD07DEC17	6899.50	6787.23	USD	-2,806.75	
07SEP17	B	1	PD07DEC17	6902.50	6787.23	USD	-2,881.75	
07SEP17	B	1	PD07DEC17	6909.00	6787.23	USD	-3,044.25	
07SEP17	B	1	PD07DEC17	6905.00	6787.23	USD	-2,944.25	
07SEP17	S	1	PD07DEC17	6876.50	6787.23	USD	2,231.75	
07SEP17	S	1	PD07DEC17	6880.50	6787.23	USD	2,331.75	
07SEP17	S	1	PD07DEC17	6886.00	6787.23	USD	2,469.25	
07SEP17	S	1	PD07DEC17	6877.00	6787.23	USD	2,244.25	
07SEP17	S	1	PD07DEC17	6878.50	6787.23	USD	2,281.75	
07SEP17	S	1	PD07DEC17	6903.50	6787.23	USD	2,906.75	
07SEP17	S	1	PD07DEC17	6905.00	6787.23	USD	2,944.25	
07SEP17	S	1	PD07DEC17	6907.50	6787.23	USD	3,006.75	
07SEP17	B	1	PD07DEC17	6897.00	6787.23	USD	-2,744.25	
13SEP17	B	8	PD07DEC17	6551.23	6787.23	USD	47,200.00	
	NET	0 AVG BGHT 6757.31	SOLD 6879.13				57,866.50	0.00
08SEP17	B	1	PD08DEC17	6930.50	6787.71	USD	-3,569.75	
08SEP17	S	1	PD08DEC17	6926.50	6787.71	USD	3,469.75	
08SEP17	S	2	PD08DEC17	6926.00	6787.71	USD	6,914.50	
08SEP17	S	1	PD08DEC17	6925.00	6787.71	USD	3,432.25	
08SEP17	S	1	PD08DEC17	6901.00	6787.71	USD	2,832.25	
08SEP17	S	1	PD08DEC17	6846.50	6787.71	USD	1,469.75	
08SEP17	S	1	PD08DEC17	6845.00	6787.71	USD	1,432.25	
08SEP17	S	1	PD08DEC17	6849.00	6787.71	USD	1,532.25	
08SEP17	B	1	PD08DEC17	6832.50	6787.71	USD	-1,119.75	
08SEP17	B	1	PD08DEC17	6834.50	6787.71	USD	-1,169.75	
08SEP17	B	1	PD08DEC17	6838.50	6787.71	USD	-1,269.75	
08SEP17	B	1	PD08DEC17	6948.00	6787.71	USD	-4,007.25	
08SEP17	S	1	PD08DEC17	6764.50	6787.71	USD	-580.25	
08SEP17	B	1	PD08DEC17	6751.00	6787.71	USD	917.75	
08SEP17	B	2	PD08DEC17	6739.50	6787.71	USD	2,410.50	
08SEP17	S	1	PD08DEC17	6727.00	6787.71	USD	-1,517.75	
08SEP17	S	1	PD08DEC17	6728.00	6787.71	USD	-1,492.75	
13SEP17	B	3	PD08DEC17	6551.66	6787.71	USD	17,703.75	

OPEN POSITION STATEMENT



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SAP083GA

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6751.73	SOLD 6851.32				27,388.00	0.00
12SEP17	B	1 PD12DEC17		6669.50	6789.63	USD	3,003.25	
29SEP17	S	1 PD12DEC17		6475.52	6789.63	USD	-7,852.75	
	NET	0 AVG BGHT 6669.50	SOLD 6475.52				-4,849.50	0.00
13SEP17	B	1 PD13DEC17		6685.00	6790.10	USD	2,627.50	
13SEP17	B	1 PD13DEC17		6630.50	6790.10	USD	3,990.00	
13SEP17	B	1 PD13DEC17		6567.00	6790.10	USD	5,577.50	
13SEP17	B	1 PD13DEC17		6566.50	6790.10	USD	5,590.00	
13SEP17	B	1 PD13DEC17		6570.50	6790.10	USD	5,490.00	
13SEP17	B	3 PD13DEC17		6560.50	6790.10	USD	17,220.00	
13SEP17	B	1 PD13DEC17		6560.00	6790.10	USD	5,752.50	
29SEP17	S	7 PD13DEC17		6475.98	6790.10	USD	-54,971.00	
	NET	+2 AVG BGHT 6584.56	SOLD 6475.98				-8,723.50	0.00
14SEP17	B	1 PD14DEC17		6561.50	6790.58	USD	5,727.00	
14SEP17	B	1 PD14DEC17		6526.00	6790.58	USD	6,614.50	
14SEP17	B	1 PD14DEC17		6505.00	6790.58	USD	7,139.50	
14SEP17	B	1 PD14DEC17		6516.00	6790.58	USD	6,864.50	
14SEP17	S	1 PD14DEC17		6517.00	6790.58	USD	-6,839.50	
14SEP17	B	1 PD14DEC17		6498.50	6790.58	USD	7,302.00	
20SEP17	S	4 PD14DEC17		6524.69	6790.58	USD	-26,589.00	
	NET	0 AVG BGHT 6521.40	SOLD 6523.15				219.00	0.00
15SEP17	B	1 PD15DEC17		6473.00	6791.06	USD	7,951.50	
15SEP17	S	1 PD15DEC17		6464.50	6791.06	USD	-8,164.00	
15SEP17	S	2 PD15DEC17		6457.00	6791.06	USD	-16,703.00	
15SEP17	B	1 PD15DEC17		6511.00	6791.06	USD	7,001.50	
15SEP17	B	1 PD15DEC17		6507.50	6791.06	USD	7,089.00	
15SEP17	B	1 PD15DEC17		6500.00	6791.06	USD	7,276.50	
	NET	+1 AVG BGHT 6497.88	SOLD 6459.50				4,451.50	0.00
18SEP17	S	4 PD18DEC17		6541.00	6792.50	USD	-25,150.00	
20SEP17	B	4 PD18DEC17		6526.14	6792.50	USD	26,636.00	
	NET	0 AVG BGHT 6526.14	SOLD 6541.00				1,486.00	0.00
19SEP17	S	1 PD19DEC17		6528.50	6792.50	USD	-6,600.00	
19SEP17	S	1 PD19DEC17		6531.00	6792.50	USD	-6,537.50	
19SEP17	B	1 PD19DEC17		6518.00	6792.50	USD	6,862.50	
19SEP17	B	1 PD19DEC17		6514.00	6792.50	USD	6,962.50	
19SEP17	B	1 PD19DEC17		6515.00	6792.50	USD	6,937.50	
	NET	+1 AVG BGHT 6515.67	SOLD 6529.75				7,625.00	0.00
20SEP17	S	1 PD20DEC17		6574.00	6792.50	USD	-5,462.50	
20SEP17	S	1 PD20DEC17		6548.50	6792.50	USD	-6,100.00	
20SEP17	S	1 PD20DEC17		6546.00	6792.50	USD	-6,162.50	
29SEP17	B	3 PD20DEC17		6479.45	6792.50	USD	23,478.75	
	NET	0 AVG BGHT 6479.45	SOLD 6556.17				5,753.75	0.00
21SEP17	B	1 PD21DEC17		6468.00	6791.50	USD	8,087.50	
21SEP17	S	1 PD21DEC17		6432.50	6791.50	USD	-8,975.00	
21SEP17	S	1 PD21DEC17		6430.00	6791.50	USD	-9,037.50	
21SEP17	S	1 PD21DEC17		6429.50	6791.50	USD	-9,050.00	
21SEP17	S	1 PD21DEC17		6428.00	6791.50	USD	-9,087.50	
21SEP17	B	1 PD21DEC17		6444.50	6791.50	USD	8,675.00	
21SEP17	B	1 PD21DEC17		6453.50	6791.50	USD	8,450.00	
29SEP17	B	1 PD21DEC17		6478.45	6791.50	USD	7,826.25	
	NET	0 AVG BGHT 6461.11	SOLD 6430.00				-3,111.25	0.00
22SEP17	S	1 PD22DEC17		6428.00	6790.50	USD	-9,062.50	
22SEP17	B	1 PD22DEC17		6434.50	6790.50	USD	8,900.00	
22SEP17	B	2 PD22DEC17		6416.00	6790.50	USD	18,725.00	
22SEP17	S	1 PD22DEC17		6400.50	6790.50	USD	-9,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	6412.50	6790.50	USD	-9,450.00	
22SEP17	S	1	PD22DEC17	6368.50	6790.50	USD	-10,550.00	
22SEP17	B	1	PD22DEC17	6471.50	6790.50	USD	7,975.00	
22SEP17	B	1	PD22DEC17	6473.00	6790.50	USD	7,937.50	
22SEP17	B	1	PD22DEC17	6456.00	6790.50	USD	8,362.50	
22SEP17	B	1	PD22DEC17	6455.50	6790.50	USD	8,375.00	
	NET	+3 AVG BGHT 6446.07	SOLD 6402.38				21,462.50	0.00
25SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
25SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
26SEP17	B	1	PD27DEC17	6499.00	6793.00	USD	7,350.00	
26SEP17	B	1	PD27DEC17	6438.50	6793.00	USD	8,862.50	
26SEP17	B	1	PD27DEC17	6422.50	6793.00	USD	9,262.50	
27SEP17	S	1	PD27DEC17	6423.50	6793.00	USD	-9,237.50	
27SEP17	S	1	PD27DEC17	6418.00	6793.00	USD	-9,375.00	
27SEP17	S	1	PD27DEC17	6425.50	6793.00	USD	-9,187.50	
27SEP17	S	1	PD27DEC17	6428.00	6793.00	USD	-9,125.00	
27SEP17	S	1	PD27DEC17	6447.00	6793.00	USD	-8,650.00	
29SEP17	B	4	PD27DEC17	6480.13	6793.00	USD	31,287.00	
	NET	0 AVG BGHT 6468.65	SOLD 6438.07				-5,350.50	0.00
28SEP17	S	1	PD28DEC17	6400.00	6793.47	USD	-9,836.75	
28SEP17	S	1	PD28DEC17	6403.50	6793.47	USD	-9,749.25	
28SEP17	S	1	PD28DEC17	6411.00	6793.47	USD	-9,561.75	
28SEP17	S	1	PD28DEC17	6409.00	6793.47	USD	-9,611.75	
28SEP17	S	1	PD28DEC17	6408.50	6793.47	USD	-9,624.25	
28SEP17	B	1	PD28DEC17	6438.00	6793.47	USD	8,886.75	
28SEP17	B	1	PD28DEC17	6441.50	6793.47	USD	8,799.25	
28SEP17	B	1	PD28DEC17	6459.00	6793.47	USD	8,361.75	
28SEP17	B	1	PD28DEC17	6462.50	6793.47	USD	8,274.25	
28SEP17	B	1	PD28DEC17	6485.50	6793.47	USD	7,699.25	
28SEP17	B	1	PD28DEC17	6518.00	6793.47	USD	6,886.75	
	NET	+1 AVG BGHT 6467.42	SOLD 6406.40				524.25	0.00
29SEP17	S	1	PD29DEC17	6535.00	6793.93	USD	-6,473.25	
29SEP17	S	1	PD29DEC17	6536.00	6793.93	USD	-6,448.25	
29SEP17	S	2	PD29DEC17	6525.50	6793.93	USD	-13,421.50	
29SEP17	S	3	PD29DEC17	6525.00	6793.93	USD	-20,169.75	
29SEP17	S	1	PD29DEC17	6524.00	6793.93	USD	-6,748.25	
29SEP17	B	1	PD29DEC17	6568.00	6793.93	USD	5,648.25	
29SEP17	B	1	PD29DEC17	6571.00	6793.93	USD	5,573.25	
29SEP17	B	2	PD29DEC17	6570.00	6793.93	USD	11,196.50	
29SEP17	B	1	PD29DEC17	6563.50	6793.93	USD	5,760.75	
29SEP17	B	1	PD29DEC17	6570.50	6793.93	USD	5,585.75	
29SEP17	B	1	PD29DEC17	6571.50	6793.93	USD	5,560.75	
29SEP17	B	1	PD29DEC17	6574.00	6793.93	USD	5,498.25	
29SEP17	B	1	PD29DEC17	6573.00	6793.93	USD	5,523.25	
29SEP17	S	1	PD29DEC17	6566.00	6793.93	USD	-5,698.25	
	NET	0 AVG BGHT 6570.17	SOLD 6531.89				-8,612.50	0.00
09OCT17	B	1	PD09JAN18	6677.50	6799.07	USD	3,039.25	
09OCT17	S	1	PD09JAN18	6631.50	6799.07	USD	-4,189.25	
09OCT17	S	2	PD09JAN18	6631.00	6799.07	USD	-8,403.50	
09OCT17	S	1	PD09JAN18	6630.50	6799.07	USD	-4,214.25	
09OCT17	B	1	PD09JAN18	6678.50	6799.07	USD	3,014.25	
09OCT17	S	1	PD09JAN18	6658.00	6799.07	USD	-3,526.75	
09OCT17	S	1	PD09JAN18	6664.50	6799.07	USD	-3,364.25	
09OCT17	S	1	PD09JAN18	6660.50	6799.07	USD	-3,464.25	
09OCT17	S	1	PD09JAN18	6657.00	6799.07	USD	-3,551.75	
09OCT17	S	2	PD09JAN18	6657.50	6799.07	USD	-7,078.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET -8 AVG BGHT 6678.00 SOLD 6647.90				-31,739.00	0.00
10OCT17	B 1 PD10JAN18	6722.00	6799.53	USD	1,938.25	
10OCT17	B 1 PD10JAN18	6723.00	6799.53	USD	1,913.25	
10OCT17	B 1 PD10JAN18	6727.00	6799.53	USD	1,813.25	
10OCT17	S 1 PD10JAN18	6700.50	6799.53	USD	-2,475.75	
10OCT17	S 2 PD10JAN18	6699.00	6799.53	USD	-5,026.50	
10OCT17	B 1 PD10JAN18	6695.50	6799.53	USD	2,600.75	
10OCT17	B 1 PD10JAN18	6749.00	6799.53	USD	1,263.25	
10OCT17	S 1 PD10JAN18	6751.50	6799.53	USD	-1,200.75	
	NET +1 AVG BGHT 6723.30 SOLD 6712.50				825.75	0.00
11OCT17	B 1 PD11JAN18	6742.00	6800.00	USD	1,450.00	
11OCT17	B 1 PD11JAN18	6742.50	6800.00	USD	1,437.50	
	NET +2 AVG BGHT 6742.25				2,887.50	0.00
	<u>CMX GOLD 100 CMX</u>					
26SEP17	B 1 DEC17	1304.0	1288.9	USD	-1,510.00	
27SEP17	B 1 DEC17	1288.7	1288.9	USD	20.00	
29SEP17	B 1 DEC17	1287.0	1288.9	USD	190.00	
09OCT17	B 1 DEC17	1286.6	1288.9	USD	230.00	
10OCT17	B 1 DEC17	1290.1	1288.9	USD	-120.00	
10OCT17	B 2 DEC17	1291.3	1288.9	USD	-480.00	
10OCT17	B 1 DEC17	1291.4	1288.9	USD	-250.00	
	NET +8 AVG BGHT 1291.3				-1,920.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	2328.50	2556.61	USD	5,702.75	
06SEP17	S 1 PD31OCT17	2328.69	2556.61	USD	-5,698.00	
	NET 0 AVG BGHT 2328.50 SOLD 2328.69				4.75	0.00
03AUG17	S 1 PD03NOV17	2380.50	2557.04	USD	-4,413.50	
03AUG17	B 1 PD03NOV17	2359.50	2557.04	USD	4,938.50	
	NET 0 AVG BGHT 2359.50 SOLD 2380.50				525.00	0.00
07AUG17	B 1 PD07NOV17	2352.00	2557.61	USD	5,140.25	
07AUG17	S 1 PD07NOV17	2353.00	2557.61	USD	-5,115.25	
	NET 0 AVG BGHT 2352.00 SOLD 2353.00				25.00	0.00
11AUG17	B 1 PD10NOV17	2356.00	2558.04	USD	5,051.00	
06SEP17	S 1 PD10NOV17	2332.73	2558.04	USD	-5,632.75	
	NET 0 AVG BGHT 2356.00 SOLD 2332.73				-581.75	0.00
16AUG17	S 1 PD16NOV17	2389.00	2558.75	USD	-4,243.75	
16AUG17	S 1 PD16NOV17	2438.00	2558.75	USD	-3,018.75	
16AUG17	B 1 PD16NOV17	2448.00	2558.75	USD	2,768.75	
06SEP17	B 1 PD16NOV17	2334.70	2558.75	USD	5,601.25	
	NET 0 AVG BGHT 2391.35 SOLD 2413.50				1,107.50	0.00
17AUG17	B 1 PD17NOV17	2414.50	2558.75	USD	3,606.25	
18AUG17	S 1 PD17NOV17	2415.50	2558.75	USD	-3,581.25	
	NET 0 AVG BGHT 2414.50 SOLD 2415.50				25.00	0.00
21AUG17	B 1 PD21NOV17	2359.50	2559.32	USD	4,995.50	
06SEP17	S 1 PD21NOV17	2335.88	2559.32	USD	-5,586.00	
	NET 0 AVG BGHT 2359.50 SOLD 2335.88				-590.50	0.00
22AUG17	S 1 PD22NOV17	2346.50	2559.46	USD	-5,324.00	
06SEP17	B 1 PD22NOV17	2336.35	2559.46	USD	5,577.75	
	NET 0 AVG BGHT 2336.35 SOLD 2346.50				253.75	0.00
24AUG17	S 1 PD24NOV17	2388.50	2559.75	USD	-4,281.25	
24AUG17	B 1 PD24NOV17	2355.50	2559.75	USD	5,106.25	
25AUG17	B 1 PD24NOV17	2374.00	2559.75	USD	4,643.75	

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25AUG17	S	1	PD24NOV17	2345.00	2559.75	USD	-5,368.75	
25AUG17	S	1	PD24NOV17	2343.00	2559.75	USD	-5,418.75	
06SEP17	B	1	PD24NOV17	2336.90	2559.75	USD	5,571.25	
	NET	0 AVG BGHT	2355.47				252.50	0.00
29AUG17	B	1	PD29NOV17	2351.50	2560.20	USD	5,217.50	
29AUG17	S	1	PD29NOV17	2408.00	2560.20	USD	-3,805.00	
	NET	0 AVG BGHT	2351.50				1,412.50	0.00
30AUG17	B	1	PD30NOV17	2393.00	2560.30	USD	4,182.50	
13SEP17	S	1	PD30NOV17	2285.91	2560.30	USD	-6,859.75	
	NET	0 AVG BGHT	2393.00				-2,677.25	0.00
01SEP17	B	1	PD01DEC17	2399.50	2560.39	USD	4,022.25	
13SEP17	S	1	PD01DEC17	2286.22	2560.39	USD	-6,854.25	
	NET	0 AVG BGHT	2399.50				-2,832.00	0.00
05SEP17	S	1	PD05DEC17	2327.50	2560.75	USD	-5,831.25	
13SEP17	B	1	PD05DEC17	2287.68	2560.75	USD	6,826.75	
	NET	0 AVG BGHT	2287.68				995.50	0.00
06SEP17	B	1	PD06DEC17	2334.50	2561.00	USD	5,662.50	
06SEP17	S	1	PD06DEC17	2347.00	2561.00	USD	-5,350.00	
06SEP17	B	1	PD06DEC17	2344.50	2561.00	USD	5,412.50	
20SEP17	S	1	PD06DEC17	2457.80	2561.00	USD	-2,580.00	
	NET	0 AVG BGHT	2339.50				3,145.00	0.00
07SEP17	S	1	PD07DEC17	2345.50	2561.25	USD	-5,393.75	
13SEP17	B	1	PD07DEC17	2288.31	2561.25	USD	6,823.50	
	NET	0 AVG BGHT	2288.31				1,429.75	0.00
08SEP17	B	1	PD08DEC17	2350.50	2561.50	USD	5,275.00	
08SEP17	S	1	PD08DEC17	2330.00	2561.50	USD	-5,787.50	
	NET	0 AVG BGHT	2350.50				-512.50	0.00
11SEP17	B	1	PD11DEC17	2272.00	2562.25	USD	7,256.25	
11SEP17	S	1	PD11DEC17	2265.50	2562.25	USD	-7,418.75	
11SEP17	B	1	PD11DEC17	2274.50	2562.25	USD	7,193.75	
11SEP17	B	1	PD11DEC17	2275.00	2562.25	USD	7,181.25	
20SEP17	S	2	PD11DEC17	2458.59	2562.25	USD	-5,183.00	
	NET	0 AVG BGHT	2273.83				9,029.50	0.00
12SEP17	S	1	PD12DEC17	2273.00	2562.50	USD	-7,237.50	
12SEP17	B	1	PD12DEC17	2282.50	2562.50	USD	7,000.00	
	NET	0 AVG BGHT	2282.50				-237.50	0.00
13SEP17	S	1	PD13DEC17	2290.00	2562.75	USD	-6,818.75	
13SEP17	B	1	PD13DEC17	2300.50	2562.75	USD	6,556.25	
	NET	0 AVG BGHT	2300.50				-262.50	0.00
15SEP17	S	1	PD15DEC17	2323.00	2563.25	USD	-6,006.25	
15SEP17	S	1	PD15DEC17	2342.50	2563.25	USD	-5,518.75	
15SEP17	S	2	PD15DEC17	2355.50	2563.25	USD	-10,387.50	
15SEP17	B	2	PD15DEC17	2349.00	2563.25	USD	10,712.50	
15SEP17	S	1	PD15DEC17	2335.00	2563.25	USD	-5,706.25	
20SEP17	B	3	PD15DEC17	2459.41	2563.25	USD	7,788.00	
	NET	0 AVG BGHT	2415.25				-9,118.25	0.00
18SEP17	B	1	PD18DEC17	2395.50	2564.00	USD	4,212.50	
29SEP17	S	1	PD18DEC17	2490.08	2564.00	USD	-1,848.00	
	NET	0 AVG BGHT	2395.50				2,364.50	0.00
19SEP17	B	1	PD19DEC17	2384.00	2564.25	USD	4,506.25	
19SEP17	S	3	PD19DEC17	2408.50	2564.25	USD	-11,681.25	
19SEP17	B	1	PD19DEC17	2411.00	2564.25	USD	3,831.25	
19SEP17	B	1	PD19DEC17	2411.50	2564.25	USD	3,818.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	2421.50	2564.25	USD	3,568.75	
19SEP17	B	1	PD19DEC17	2420.50	2564.25	USD	3,593.75	
29SEP17	S	2	PD19DEC17	2490.04	2564.25	USD	-3,710.50	
	NET	0 AVG BGHT 2409.70	SOLD 2441.12				3,927.00	0.00
20SEP17	B	1	PD20DEC17	2443.00	2564.50	USD	3,037.50	
29SEP17	S	1	PD20DEC17	2490.00	2564.50	USD	-1,862.50	
	NET	0 AVG BGHT 2443.00	SOLD 2490.00				1,175.00	0.00
21SEP17	S	1	PD21DEC17	2491.50	2563.50	USD	-1,800.00	
21SEP17	S	1	PD21DEC17	2487.00	2563.50	USD	-1,912.50	
21SEP17	S	1	PD21DEC17	2473.50	2563.50	USD	-2,250.00	
21SEP17	S	1	PD21DEC17	2465.50	2563.50	USD	-2,450.00	
29SEP17	B	4	PD21DEC17	2489.20	2563.50	USD	7,430.00	
	NET	0 AVG BGHT 2489.20	SOLD 2479.38				-982.50	0.00
22SEP17	B	1	PD22DEC17	2434.00	2563.50	USD	3,237.50	
22SEP17	B	1	PD22DEC17	2391.00	2563.50	USD	4,312.50	
22SEP17	S	1	PD22DEC17	2463.00	2563.50	USD	-2,512.50	
22SEP17	B	1	PD22DEC17	2475.00	2563.50	USD	2,212.50	
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	S	1	PD22DEC17	2475.50	2563.50	USD	-2,200.00	
	NET	0 AVG BGHT 2433.33	SOLD 2473.67				3,025.00	0.00
25SEP17	S	1	PD27DEC17	2489.50	2563.50	USD	-1,850.00	
25SEP17	B	1	PD27DEC17	2507.00	2563.50	USD	1,412.50	
25SEP17	B	1	PD27DEC17	2501.00	2563.50	USD	1,562.50	
25SEP17	B	1	PD27DEC17	2501.50	2563.50	USD	1,550.00	
25SEP17	S	1	PD27DEC17	2476.00	2563.50	USD	-2,187.50	
25SEP17	S	1	PD27DEC17	2503.00	2563.50	USD	-1,512.50	
	NET	0 AVG BGHT 2503.17	SOLD 2489.50				-1,025.00	0.00
10OCT17	S	1	PD10JAN18	2517.50	2564.90	USD	-1,185.00	
	NET	-1 AVG SOLD 2517.50					-1,185.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD20OCT17	9575.00	11066.93	USD	8,951.58	
20JUL17	B	1	PD20OCT17	9570.00	11066.93	USD	8,981.58	
20JUL17	B	1	PD20OCT17	9505.00	11066.93	USD	9,371.58	
21JUL17	S	1	PD20OCT17	9650.00	11066.93	USD	-8,501.58	
21JUL17	S	1	PD20OCT17	9635.00	11066.93	USD	-8,591.58	
21JUL17	S	1	PD20OCT17	9595.00	11066.93	USD	-8,831.58	
	NET	0 AVG BGHT 9550.00	SOLD 9626.67				1,380.00	0.00
24JUL17	B	1	PD24OCT17	9630.00	11070.79	USD	8,644.74	
24JUL17	B	1	PD24OCT17	9640.00	11070.79	USD	8,584.74	
24JUL17	B	1	PD24OCT17	9655.00	11070.79	USD	8,494.74	
24JUL17	B	1	PD24OCT17	9675.00	11070.79	USD	8,374.74	
24JUL17	B	1	PD24OCT17	9680.00	11070.79	USD	8,344.74	
08AUG17	S	5	PD24OCT17	10623.93	11070.79	USD	-13,405.80	
	NET	0 AVG BGHT 9656.00	SOLD 10623.93				29,037.90	0.00
25JUL17	B	1	PD25OCT17	9860.00	11071.75	USD	7,270.50	
25JUL17	S	1	PD25OCT17	9875.00	11071.75	USD	-7,180.50	
25JUL17	S	1	PD25OCT17	9945.00	11071.75	USD	-6,760.50	
25JUL17	S	1	PD25OCT17	9950.00	11071.75	USD	-6,730.50	
25JUL17	S	1	PD25OCT17	9965.00	11071.75	USD	-6,640.50	
25JUL17	S	1	PD25OCT17	9990.00	11071.75	USD	-6,490.50	
25JUL17	B	1	PD25OCT17	9990.00	11071.75	USD	6,490.50	
25JUL17	S	1	PD25OCT17	10000.00	11071.75	USD	-6,430.50	
25JUL17	S	1	PD25OCT17	10005.00	11071.75	USD	-6,400.50	
08AUG17	B	5	PD25OCT17	10625.33	11071.75	USD	13,392.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	10425.24	SOLD	9961.43		-19,479.90	0.00
26JUL17	B	1	PD26OCT17		10080.00	11072.71	USD	5,956.26	
26JUL17	S	1	PD26OCT17		10010.00	11072.71	USD	-6,376.26	
26JUL17	B	1	PD26OCT17		9930.00	11072.71	USD	6,856.26	
26JUL17	S	1	PD26OCT17		9955.00	11072.71	USD	-6,706.26	
	NET	0 AVG	BGHT	10005.00	SOLD	9982.50		-270.00	0.00
27JUL17	B	1	PD27OCT17		9990.00	11073.68	USD	6,502.08	
27JUL17	B	1	PD27OCT17		9980.00	11073.68	USD	6,562.08	
27JUL17	B	2	PD27OCT17		10010.00	11073.68	USD	12,764.16	
27JUL17	B	3	PD27OCT17		10025.00	11073.68	USD	18,876.24	
27JUL17	S	1	PD27OCT17		10050.00	11073.68	USD	-6,142.08	
27JUL17	S	1	PD27OCT17		10060.00	11073.68	USD	-6,082.08	
27JUL17	S	1	PD27OCT17		10090.00	11073.68	USD	-5,902.08	
27JUL17	S	1	PD27OCT17		10130.00	11073.68	USD	-5,662.08	
27JUL17	S	3	PD27OCT17		10125.00	11073.68	USD	-17,076.24	
28JUL17	B	1	PD27OCT17		10055.00	11073.68	USD	6,112.08	
28JUL17	B	1	PD27OCT17		10060.00	11073.68	USD	6,082.08	
28JUL17	S	1	PD27OCT17		10025.00	11073.68	USD	-6,292.08	
28JUL17	B	1	PD27OCT17		10105.00	11073.68	USD	5,812.08	
28JUL17	B	1	PD27OCT17		10130.00	11073.68	USD	5,662.08	
28JUL17	S	1	PD27OCT17		10090.00	11073.68	USD	-5,902.08	
28JUL17	S	1	PD27OCT17		10240.00	11073.68	USD	-5,002.08	
28JUL17	S	1	PD27OCT17		10245.00	11073.68	USD	-4,972.08	
28JUL17	S	1	PD27OCT17		10280.00	11073.68	USD	-4,762.08	
28JUL17	S	2	PD27OCT17		10275.00	11073.68	USD	-9,584.16	
28JUL17	B	1	PD27OCT17		10210.00	11073.68	USD	5,182.08	
28JUL17	B	1	PD27OCT17		10200.00	11073.68	USD	5,242.08	
28JUL17	B	1	PD27OCT17		10245.00	11073.68	USD	4,972.08	
28JUL17	S	1	PD27OCT17		10250.00	11073.68	USD	-4,942.08	
08AUG17	B	1	PD27OCT17		10625.14	11073.68	USD	2,691.24	
	NET	0 AVG	BGHT	10113.01	SOLD	10159.00		4,139.16	0.00
31JUL17	B	1	PD31OCT17		10250.00	11077.54	USD	4,965.24	
31JUL17	B	1	PD31OCT17		10235.00	11077.54	USD	5,055.24	
31JUL17	B	1	PD31OCT17		10240.00	11077.54	USD	5,025.24	
31JUL17	B	1	PD31OCT17		10230.00	11077.54	USD	5,085.24	
08AUG17	S	1	PD31OCT17		10625.76	11077.54	USD	-2,710.68	
08AUG17	S	3	PD31OCT17		10626.76	11077.54	USD	-8,114.04	
	NET	0 AVG	BGHT	10238.75	SOLD	10626.51		9,306.24	0.00
01AUG17	B	1	PD01NOV17		10170.00	11078.50	USD	5,451.00	
01AUG17	S	1	PD01NOV17		10145.00	11078.50	USD	-5,601.00	
01AUG17	S	1	PD01NOV17		10110.00	11078.50	USD	-5,811.00	
01AUG17	B	1	PD01NOV17		10105.00	11078.50	USD	5,841.00	
01AUG17	S	1	PD01NOV17		10100.00	11078.50	USD	-5,871.00	
01AUG17	S	1	PD01NOV17		10090.00	11078.50	USD	-5,931.00	
01AUG17	S	2	PD01NOV17		10200.00	11078.50	USD	-10,542.00	
01AUG17	S	1	PD01NOV17		10195.00	11078.50	USD	-5,301.00	
01AUG17	S	1	PD01NOV17		10170.00	11078.50	USD	-5,451.00	
08AUG17	B	3	PD01NOV17		10628.17	11078.50	USD	8,105.94	
08AUG17	B	3	PD01NOV17		10627.17	11078.50	USD	8,123.94	
	NET	0 AVG	BGHT	10505.13	SOLD	10151.25		-16,986.12	0.00
02AUG17	B	1	PD02NOV17		10205.00	11079.46	USD	5,246.76	
02AUG17	S	1	PD02NOV17		10210.00	11079.46	USD	-5,216.76	
02AUG17	B	1	PD02NOV17		10330.00	11079.46	USD	4,496.76	
08AUG17	S	1	PD02NOV17		10626.57	11079.46	USD	-2,717.34	
	NET	0 AVG	BGHT	10267.50	SOLD	10418.29		1,809.42	0.00
07AUG17	S	1	PD07NOV17		10335.00	11084.29	USD	-4,495.74	

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07AUG17	S	1	PD07NOV17	10295.00	11084.29	USD	-4,735.74	
07AUG17	B	1	PD07NOV17	10345.00	11084.29	USD	4,435.74	
07AUG17	B	3	PD07NOV17	10350.00	11084.29	USD	13,217.22	
07AUG17	B	2	PD07NOV17	10360.00	11084.29	USD	8,691.48	
07AUG17	B	2	PD07NOV17	10385.00	11084.29	USD	8,391.48	
08AUG17	S	2	PD07NOV17	10628.60	11084.29	USD	-5,468.28	
06SEP17	S	4	PD07NOV17	12147.38	11084.29	USD	25,514.16	
	NET	0 AVG	BGHT 10360.63				45,550.32	0.00
08AUG17	S	1	PD08NOV17	10330.00	11085.25	USD	-4,531.50	
08AUG17	B	1	PD08NOV17	10530.00	11085.25	USD	3,331.50	
08AUG17	B	1	PD08NOV17	10585.00	11085.25	USD	3,001.50	
08AUG17	S	1	PD08NOV17	10635.00	11085.25	USD	-2,701.50	
08AUG17	B	1	PD08NOV17	10640.00	11085.25	USD	2,671.50	
08AUG17	B	1	PD08NOV17	10680.00	11085.25	USD	2,431.50	
08AUG17	S	1	PD08NOV17	10770.00	11085.25	USD	-1,891.50	
06SEP17	S	1	PD08NOV17	12148.21	11085.25	USD	6,377.76	
	NET	0 AVG	BGHT 10608.75				8,689.26	0.00
09AUG17	S	1	PD09NOV17	10790.00	11086.21	USD	-1,777.26	
09AUG17	S	1	PD09NOV17	10740.00	11086.21	USD	-2,077.26	
09AUG17	B	1	PD09NOV17	10745.00	11086.21	USD	2,047.26	
09AUG17	B	1	PD09NOV17	10730.00	11086.21	USD	2,137.26	
	NET	0 AVG	BGHT 10737.50				330.00	0.00
10AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
10AUG17	S	1	PD10NOV17	10800.00	11087.18	USD	-1,723.08	
10AUG17	B	1	PD10NOV17	10780.00	11087.18	USD	1,843.08	
10AUG17	B	1	PD10NOV17	10795.00	11087.18	USD	1,753.08	
10AUG17	B	1	PD10NOV17	10775.00	11087.18	USD	1,873.08	
10AUG17	S	1	PD10NOV17	10905.00	11087.18	USD	-1,093.08	
10AUG17	S	1	PD10NOV17	10930.00	11087.18	USD	-943.08	
10AUG17	S	1	PD10NOV17	10940.00	11087.18	USD	-883.08	
10AUG17	B	1	PD10NOV17	10930.00	11087.18	USD	943.08	
10AUG17	B	1	PD10NOV17	10925.00	11087.18	USD	973.08	
11AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
11AUG17	S	2	PD10NOV17	10835.00	11087.18	USD	-3,026.16	
11AUG17	S	1	PD10NOV17	10735.00	11087.18	USD	-2,113.08	
11AUG17	S	1	PD10NOV17	10630.00	11087.18	USD	-2,743.08	
11AUG17	S	1	PD10NOV17	10635.00	11087.18	USD	-2,713.08	
11AUG17	S	1	PD10NOV17	10625.00	11087.18	USD	-2,773.08	
11AUG17	S	1	PD10NOV17	10660.00	11087.18	USD	-2,563.08	
11AUG17	B	3	PD10NOV17	10655.00	11087.18	USD	7,779.24	
11AUG17	B	2	PD10NOV17	10680.00	11087.18	USD	4,886.16	
11AUG17	B	1	PD10NOV17	10675.00	11087.18	USD	2,473.08	
11AUG17	B	1	PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	B	1	PD10NOV17	10665.00	11087.18	USD	2,533.08	
	NET	0 AVG	BGHT 10733.08				3,660.00	0.00
14AUG17	S	1	PD14NOV17	10510.00	11091.04	USD	-3,486.24	
14AUG17	S	1	PD14NOV17	10505.00	11091.04	USD	-3,516.24	
14AUG17	S	1	PD14NOV17	10475.00	11091.04	USD	-3,696.24	
14AUG17	B	1	PD14NOV17	10520.00	11091.04	USD	3,426.24	
14AUG17	B	1	PD14NOV17	10525.00	11091.04	USD	3,396.24	
14AUG17	B	1	PD14NOV17	10500.00	11091.04	USD	3,546.24	
14AUG17	B	1	PD14NOV17	10485.00	11091.04	USD	3,636.24	
14AUG17	B	1	PD14NOV17	10435.00	11091.04	USD	3,936.24	
14AUG17	S	1	PD14NOV17	10440.00	11091.04	USD	-3,906.24	
14AUG17	S	1	PD14NOV17	10415.00	11091.04	USD	-4,056.24	
14AUG17	S	1	PD14NOV17	10420.00	11091.04	USD	-4,026.24	

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14AUG17	S	1	PD14NOV17	10410.00	11091.04	USD	-4,086.24	
14AUG17	S	1	PD14NOV17	10430.00	11091.04	USD	-3,966.24	
06SEP17	B	3	PD14NOV17	12153.17	11091.04	USD	-19,118.34	
	NET	0 AVG	BGHT 11115.56				SOLD 10450.63	0.00
15AUG17	S	1	PD15NOV17	10365.00	11092.00	USD	-4,362.00	
15AUG17	B	1	PD15NOV17	10370.00	11092.00	USD	4,332.00	
15AUG17	B	1	PD15NOV17	10430.00	11092.00	USD	3,972.00	
15AUG17	B	1	PD15NOV17	10460.00	11092.00	USD	3,792.00	
15AUG17	S	1	PD15NOV17	10485.00	11092.00	USD	-3,642.00	
15AUG17	B	2	PD15NOV17	10380.00	11092.00	USD	8,544.00	
15AUG17	B	1	PD15NOV17	10390.00	11092.00	USD	4,212.00	
06SEP17	S	4	PD15NOV17	12154.00	11092.00	USD	25,488.00	
	NET	0 AVG	BGHT 10401.67				SOLD 11577.67	0.00
16AUG17	S	1	PD16NOV17	10555.00	11092.77	USD	-3,226.62	
16AUG17	S	2	PD16NOV17	10560.00	11092.77	USD	-6,393.24	
16AUG17	S	2	PD16NOV17	10575.00	11092.77	USD	-6,213.24	
16AUG17	S	1	PD16NOV17	10640.00	11092.77	USD	-2,716.62	
16AUG17	S	1	PD16NOV17	10655.00	11092.77	USD	-2,626.62	
16AUG17	S	1	PD16NOV17	10680.00	11092.77	USD	-2,476.62	
16AUG17	B	1	PD16NOV17	10685.00	11092.77	USD	2,446.62	
16AUG17	B	1	PD16NOV17	10680.00	11092.77	USD	2,476.62	
16AUG17	S	1	PD16NOV17	10745.00	11092.77	USD	-2,086.62	
16AUG17	B	1	PD16NOV17	10745.00	11092.77	USD	2,086.62	
16AUG17	B	1	PD16NOV17	10730.00	11092.77	USD	2,176.62	
16AUG17	B	1	PD16NOV17	10725.00	11092.77	USD	2,206.62	
06SEP17	B	4	PD16NOV17	12154.00	11092.77	USD	-25,469.52	
	NET	0 AVG	BGHT 11353.44				SOLD 10616.11	0.00
17AUG17	B	1	PD17NOV17	10750.00	11093.54	USD	2,061.24	
17AUG17	S	1	PD17NOV17	10780.00	11093.54	USD	-1,881.24	
17AUG17	S	1	PD17NOV17	10785.00	11093.54	USD	-1,851.24	
17AUG17	S	1	PD17NOV17	10800.00	11093.54	USD	-1,761.24	
17AUG17	S	1	PD17NOV17	10870.00	11093.54	USD	-1,341.24	
17AUG17	S	1	PD17NOV17	10830.00	11093.54	USD	-1,581.24	
17AUG17	S	2	PD17NOV17	10790.00	11093.54	USD	-3,642.48	
17AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	
17AUG17	B	1	PD17NOV17	10740.00	11093.54	USD	2,121.24	
17AUG17	B	1	PD17NOV17	10735.00	11093.54	USD	2,151.24	
17AUG17	B	2	PD17NOV17	10760.00	11093.54	USD	4,002.48	
17AUG17	B	2	PD17NOV17	10775.00	11093.54	USD	3,822.48	
17AUG17	B	2	PD17NOV17	10745.00	11093.54	USD	4,182.48	
18AUG17	B	1	PD17NOV17	10910.00	11093.54	USD	1,101.24	
18AUG17	B	1	PD17NOV17	10915.00	11093.54	USD	1,071.24	
18AUG17	B	1	PD17NOV17	10955.00	11093.54	USD	831.24	
18AUG17	B	1	PD17NOV17	10970.00	11093.54	USD	741.24	
18AUG17	B	1	PD17NOV17	11005.00	11093.54	USD	531.24	
18AUG17	B	1	PD17NOV17	10870.00	11093.54	USD	1,341.24	
18AUG17	S	1	PD17NOV17	10870.00	11093.54	USD	-1,341.24	
18AUG17	B	5	PD17NOV17	10885.00	11093.54	USD	6,256.20	
18AUG17	S	5	PD17NOV17	10885.00	11093.54	USD	-6,256.20	
18AUG17	B	1	PD17NOV17	10975.00	11093.54	USD	711.24	
18AUG17	B	1	PD17NOV17	10995.00	11093.54	USD	591.24	
06SEP17	S	1	PD17NOV17	12152.60	11093.54	USD	6,354.36	
06SEP17	S	9	PD17NOV17	12153.60	11093.54	USD	57,243.24	
	NET	0 AVG	BGHT 10852.39				SOLD 11411.96	0.00
21AUG17	S	1	PD21NOV17	11115.00	11096.63	USD	110.22	
21AUG17	S	1	PD21NOV17	11040.00	11096.63	USD	-339.78	

OPEN POSITION STATEMENT



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SAP083GA

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	11160.00	11096.63	USD	-380.22	
21AUG17	S	1	PD21NOV17	11175.00	11096.63	USD	470.22	
21AUG17	B	1	PD21NOV17	11170.00	11096.63	USD	-440.22	
21AUG17	S	1	PD21NOV17	11165.00	11096.63	USD	410.22	
21AUG17	S	2	PD21NOV17	11035.00	11096.63	USD	-739.56	
21AUG17	S	2	PD21NOV17	11200.00	11096.63	USD	1,240.44	
21AUG17	S	1	PD21NOV17	11160.00	11096.63	USD	380.22	
21AUG17	S	1	PD21NOV17	11015.00	11096.63	USD	-489.78	
21AUG17	S	1	PD21NOV17	11195.00	11096.63	USD	590.22	
21AUG17	B	1	PD21NOV17	11295.00	11096.63	USD	-1,190.22	
21AUG17	S	1	PD21NOV17	11280.00	11096.63	USD	1,100.22	
06SEP17	B	9	PD21NOV17	12157.00	11096.63	USD	-57,259.98	
	NET	0 AVG	BGHT 11919.83	SOLD 11134.58			-56,538.00	0.00
22AUG17	B	1	PD22NOV17	11385.00	11097.40	USD	-1,725.60	
22AUG17	S	1	PD22NOV17	11495.00	11097.40	USD	2,385.60	
22AUG17	S	1	PD22NOV17	11485.00	11097.40	USD	2,325.60	
22AUG17	S	1	PD22NOV17	11465.00	11097.40	USD	2,205.60	
22AUG17	B	2	PD22NOV17	11445.00	11097.40	USD	-4,171.20	
22AUG17	B	1	PD22NOV17	11420.00	11097.40	USD	-1,935.60	
22AUG17	S	1	PD22NOV17	11415.00	11097.40	USD	1,905.60	
22AUG17	S	1	PD22NOV17	11420.00	11097.40	USD	1,935.60	
22AUG17	B	2	PD22NOV17	11405.00	11097.40	USD	-3,691.20	
22AUG17	S	1	PD22NOV17	11330.00	11097.40	USD	1,395.60	
22AUG17	S	1	PD22NOV17	11340.00	11097.40	USD	1,455.60	
22AUG17	S	2	PD22NOV17	11390.00	11097.40	USD	3,511.20	
22AUG17	S	2	PD22NOV17	11395.00	11097.40	USD	3,571.20	
06SEP17	B	5	PD22NOV17	12157.60	11097.40	USD	-31,806.00	
	NET	0 AVG	BGHT 11753.91	SOLD 11410.91			-22,638.00	0.00
23AUG17	S	1	PD24NOV17	11340.00	11098.94	USD	1,446.36	
23AUG17	B	1	PD24NOV17	11345.00	11098.94	USD	-1,476.36	
23AUG17	B	1	PD24NOV17	11360.00	11098.94	USD	-1,566.36	
23AUG17	B	1	PD24NOV17	11370.00	11098.94	USD	-1,626.36	
23AUG17	B	1	PD24NOV17	11415.00	11098.94	USD	-1,896.36	
23AUG17	S	1	PD24NOV17	11455.00	11098.94	USD	2,136.36	
23AUG17	S	1	PD24NOV17	11515.00	11098.94	USD	2,496.36	
23AUG17	S	1	PD24NOV17	11585.00	11098.94	USD	2,916.36	
23AUG17	S	1	PD24NOV17	11565.00	11098.94	USD	2,796.36	
23AUG17	B	1	PD24NOV17	11545.00	11098.94	USD	-2,676.36	
23AUG17	B	2	PD24NOV17	11555.00	11098.94	USD	-5,472.72	
23AUG17	S	1	PD24NOV17	11455.00	11098.94	USD	2,136.36	
23AUG17	S	1	PD24NOV17	11680.00	11098.94	USD	3,486.36	
23AUG17	B	1	PD24NOV17	11690.00	11098.94	USD	-3,546.36	
24AUG17	S	1	PD24NOV17	11750.00	11098.94	USD	3,906.36	
24AUG17	S	1	PD24NOV17	11660.00	11098.94	USD	3,366.36	
25AUG17	B	1	PD24NOV17	11680.00	11098.94	USD	-3,486.36	
25AUG17	B	2	PD24NOV17	11690.00	11098.94	USD	-7,092.72	
25AUG17	B	1	PD24NOV17	11560.00	11098.94	USD	-2,766.36	
25AUG17	S	1	PD24NOV17	11530.00	11098.94	USD	2,586.36	
25AUG17	S	1	PD24NOV17	11400.00	11098.94	USD	1,806.36	
25AUG17	S	1	PD24NOV17	11395.00	11098.94	USD	1,776.36	
	NET	0 AVG	BGHT 11537.92	SOLD 11527.50			-750.00	0.00
29AUG17	S	1	PD29NOV17	11615.00	11102.80	USD	3,073.20	
29AUG17	B	1	PD29NOV17	11795.00	11102.80	USD	-4,153.20	
29AUG17	B	1	PD29NOV17	11825.00	11102.80	USD	-4,333.20	
29AUG17	S	1	PD29NOV17	11625.00	11102.80	USD	3,133.20	
29AUG17	B	1	PD29NOV17	11830.00	11102.80	USD	-4,363.20	

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29AUG17	S	1	PD29NOV17	11600.00	11102.80	USD	2,983.20	
29AUG17	B	2	PD29NOV17	11835.00	11102.80	USD	-8,786.40	
29AUG17	B	1	PD29NOV17	11810.00	11102.80	USD	-4,243.20	
29AUG17	B	1	PD29NOV17	11625.00	11102.80	USD	-3,133.20	
29AUG17	S	1	PD29NOV17	11640.00	11102.80	USD	3,223.20	
29AUG17	B	1	PD29NOV17	11715.00	11102.80	USD	-3,673.20	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	S	1	PD29NOV17	11860.00	11102.80	USD	4,543.20	
29AUG17	S	1	PD29NOV17	11805.00	11102.80	USD	4,213.20	
29AUG17	S	1	PD29NOV17	11695.00	11102.80	USD	3,553.20	
06SEP17	S	2	PD29NOV17	12159.80	11102.80	USD	12,684.00	
	NET	0 AVG BGHT 11777.78	SOLD 11795.51				957.60	0.00
30AUG17	B	1	PD30NOV17	11760.00	11103.57	USD	-3,938.58	
30AUG17	S	1	PD30NOV17	11715.00	11103.57	USD	3,668.58	
30AUG17	B	1	PD30NOV17	11580.00	11103.57	USD	-2,858.58	
30AUG17	B	1	PD30NOV17	11620.00	11103.57	USD	-3,098.58	
30AUG17	B	3	PD30NOV17	11645.00	11103.57	USD	-9,745.74	
31AUG17	B	1	PD30NOV17	11665.00	11103.57	USD	-3,368.58	
31AUG17	B	2	PD30NOV17	11655.00	11103.57	USD	-6,617.16	
31AUG17	B	1	PD30NOV17	11715.00	11103.57	USD	-3,668.58	
31AUG17	S	1	PD30NOV17	11735.00	11103.57	USD	3,788.58	
31AUG17	S	1	PD30NOV17	11715.00	11103.57	USD	3,668.58	
31AUG17	B	1	PD30NOV17	11690.00	11103.57	USD	-3,518.58	
31AUG17	S	1	PD30NOV17	11725.00	11103.57	USD	3,728.58	
31AUG17	S	1	PD30NOV17	11780.00	11103.57	USD	4,058.58	
31AUG17	S	1	PD30NOV17	11800.00	11103.57	USD	4,178.58	
31AUG17	S	3	PD30NOV17	11785.00	11103.57	USD	12,265.74	
31AUG17	S	1	PD30NOV17	11800.00	11103.57	USD	4,178.58	
31AUG17	S	1	PD30NOV17	11760.00	11103.57	USD	3,938.58	
	NET	0 AVG BGHT 11661.36	SOLD 11762.27				6,660.00	0.00
01SEP17	B	1	PD01DEC17	11810.00	11104.34	USD	-4,233.96	
01SEP17	B	1	PD01DEC17	11815.00	11104.34	USD	-4,263.96	
01SEP17	B	1	PD01DEC17	11805.00	11104.34	USD	-4,203.96	
01SEP17	B	1	PD01DEC17	11765.00	11104.34	USD	-3,963.96	
01SEP17	S	1	PD01DEC17	11795.00	11104.34	USD	4,143.96	
01SEP17	S	1	PD01DEC17	11985.00	11104.34	USD	5,283.96	
01SEP17	S	2	PD01DEC17	12000.00	11104.34	USD	10,747.92	
01SEP17	S	1	PD01DEC17	12005.00	11104.34	USD	5,403.96	
01SEP17	B	1	PD01DEC17	12050.00	11104.34	USD	-5,673.96	
01SEP17	B	1	PD01DEC17	12040.00	11104.34	USD	-5,613.96	
01SEP17	B	1	PD01DEC17	11980.00	11104.34	USD	-5,253.96	
01SEP17	S	1	PD01DEC17	11995.00	11104.34	USD	5,343.96	
01SEP17	S	1	PD01DEC17	12005.00	11104.34	USD	5,403.96	
	NET	0 AVG BGHT 11895.00	SOLD 11969.29				3,120.00	0.00
04SEP17	B	1	PD04DEC17	12215.00	11106.66	USD	-6,650.04	
04SEP17	B	1	PD04DEC17	12210.00	11106.66	USD	-6,620.04	
04SEP17	B	1	PD04DEC17	12205.00	11106.66	USD	-6,590.04	
04SEP17	S	1	PD04DEC17	12255.00	11106.66	USD	6,890.04	
04SEP17	B	1	PD04DEC17	12245.00	11106.66	USD	-6,830.04	
04SEP17	S	1	PD04DEC17	12245.00	11106.66	USD	6,830.04	
04SEP17	S	1	PD04DEC17	12260.00	11106.66	USD	6,920.04	
13SEP17	S	1	PD04DEC17	11353.08	11106.66	USD	1,478.52	
	NET	0 AVG BGHT 12218.75	SOLD 12028.27				-4,571.52	0.00
05SEP17	S	1	PD05DEC17	12280.00	11107.43	USD	7,035.42	
05SEP17	S	1	PD05DEC17	12235.00	11107.43	USD	6,765.42	
05SEP17	S	1	PD05DEC17	12225.00	11107.43	USD	6,705.42	

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05SEP17	B	1	PD05DEC17	12220.00	11107.43	USD	-6,675.42	
05SEP17	B	1	PD05DEC17	12215.00	11107.43	USD	-6,645.42	
05SEP17	B	2	PD05DEC17	12210.00	11107.43	USD	-13,230.84	
05SEP17	S	1	PD05DEC17	12240.00	11107.43	USD	6,795.42	
05SEP17	S	1	PD05DEC17	12080.00	11107.43	USD	5,835.42	
05SEP17	S	2	PD05DEC17	12075.00	11107.43	USD	11,610.84	
05SEP17	B	1	PD05DEC17	12040.00	11107.43	USD	-5,595.42	
13SEP17	B	1	PD05DEC17	11354.92	11107.43	USD	-1,484.94	
13SEP17	B	1	PD05DEC17	11353.92	11107.43	USD	-1,478.94	
	NET	0AVG	BGHT 11943.41	SOLD 12172.86			9,636.96	0.00
06SEP17	B	1	PD06DEC17	11995.00	11108.20	USD	-5,320.80	
06SEP17	B	3	PD06DEC17	12000.00	11108.20	USD	-16,052.40	
06SEP17	B	1	PD06DEC17	12030.00	11108.20	USD	-5,530.80	
06SEP17	B	1	PD06DEC17	12025.00	11108.20	USD	-5,500.80	
06SEP17	S	1	PD06DEC17	12055.00	11108.20	USD	5,680.80	
06SEP17	S	1	PD06DEC17	12075.00	11108.20	USD	5,800.80	
06SEP17	S	1	PD06DEC17	12105.00	11108.20	USD	5,980.80	
06SEP17	S	1	PD06DEC17	12115.00	11108.20	USD	6,040.80	
06SEP17	S	1	PD06DEC17	12130.00	11108.20	USD	6,130.80	
13SEP17	S	1	PD06DEC17	11353.77	11108.20	USD	1,473.42	
	NET	0AVG	BGHT 12008.33	SOLD 11972.30			-1,297.38	0.00
07SEP17	B	1	PD07DEC17	12225.00	11108.97	USD	-6,696.18	
07SEP17	S	2	PD07DEC17	12280.00	11108.97	USD	14,052.36	
07SEP17	B	1	PD07DEC17	12115.00	11108.97	USD	-6,036.18	
07SEP17	B	1	PD07DEC17	12110.00	11108.97	USD	-6,006.18	
07SEP17	B	2	PD07DEC17	12175.00	11108.97	USD	-12,792.36	
07SEP17	B	3	PD07DEC17	12170.00	11108.97	USD	-19,098.54	
07SEP17	B	3	PD07DEC17	12160.00	11108.97	USD	-18,918.54	
07SEP17	S	1	PD07DEC17	12155.00	11108.97	USD	6,276.18	
07SEP17	S	2	PD07DEC17	12165.00	11108.97	USD	12,672.36	
07SEP17	B	2	PD07DEC17	12180.00	11108.97	USD	-12,852.36	
13SEP17	S	8	PD07DEC17	11355.62	11108.97	USD	11,839.20	
	NET	0AVG	BGHT 12165.38	SOLD 11683.84			-37,560.24	0.00
08SEP17	S	1	PD08DEC17	12250.00	11109.74	USD	6,841.56	
08SEP17	S	1	PD08DEC17	12255.00	11109.74	USD	6,871.56	
08SEP17	S	2	PD08DEC17	12265.00	11109.74	USD	13,863.12	
08SEP17	B	1	PD08DEC17	12310.00	11109.74	USD	-7,201.56	
08SEP17	B	1	PD08DEC17	12340.00	11109.74	USD	-7,381.56	
08SEP17	B	3	PD08DEC17	12335.00	11109.74	USD	-22,054.68	
08SEP17	B	1	PD08DEC17	12330.00	11109.74	USD	-7,321.56	
08SEP17	B	1	PD08DEC17	12320.00	11109.74	USD	-7,261.56	
08SEP17	S	1	PD08DEC17	12180.00	11109.74	USD	6,421.56	
08SEP17	S	1	PD08DEC17	12150.00	11109.74	USD	6,241.56	
08SEP17	S	2	PD08DEC17	12155.00	11109.74	USD	12,543.12	
08SEP17	S	2	PD08DEC17	12130.00	11109.74	USD	12,243.12	
08SEP17	S	2	PD08DEC17	12025.00	11109.74	USD	10,983.12	
08SEP17	S	2	PD08DEC17	12020.00	11109.74	USD	10,923.12	
08SEP17	S	4	PD08DEC17	11990.00	11109.74	USD	21,126.24	
08SEP17	S	2	PD08DEC17	11995.00	11109.74	USD	10,623.12	
08SEP17	B	3	PD08DEC17	11945.00	11109.74	USD	-15,034.68	
08SEP17	B	3	PD08DEC17	11925.00	11109.74	USD	-14,674.68	
08SEP17	S	1	PD08DEC17	12020.00	11109.74	USD	5,461.56	
08SEP17	S	1	PD08DEC17	11820.00	11109.74	USD	4,261.56	
08SEP17	S	1	PD08DEC17	11815.00	11109.74	USD	4,231.56	
08SEP17	S	3	PD08DEC17	11805.00	11109.74	USD	12,514.68	
08SEP17	S	2	PD08DEC17	11765.00	11109.74	USD	7,863.12	

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08SEP17	S	3	PD08DEC17	11760.00	11109.74	USD	11,704.68	
08SEP17	B	2	PD08DEC17	11760.00	11109.74	USD	-7,803.12	
08SEP17	B	1	PD08DEC17	11750.00	11109.74	USD	-3,841.56	
08SEP17	B	2	PD08DEC17	11745.00	11109.74	USD	-7,623.12	
08SEP17	B	1	PD08DEC17	11725.00	11109.74	USD	-3,691.56	
08SEP17	B	1	PD08DEC17	11730.00	11109.74	USD	-3,721.56	
08SEP17	B	1	PD08DEC17	11755.00	11109.74	USD	-3,871.56	
08SEP17	S	1	PD08DEC17	11745.00	11109.74	USD	3,811.56	
08SEP17	S	1	PD08DEC17	11730.00	11109.74	USD	3,721.56	
08SEP17	B	1	PD08DEC17	11690.00	11109.74	USD	-3,481.56	
08SEP17	B	1	PD08DEC17	11545.00	11109.74	USD	-2,611.56	
08SEP17	S	1	PD08DEC17	11570.00	11109.74	USD	2,761.56	
08SEP17	S	1	PD08DEC17	11565.00	11109.74	USD	2,731.56	
08SEP17	B	1	PD08DEC17	11560.00	11109.74	USD	-2,701.56	
08SEP17	B	1	PD08DEC17	11520.00	11109.74	USD	-2,461.56	
08SEP17	B	1	PD08DEC17	11510.00	11109.74	USD	-2,401.56	
08SEP17	S	1	PD08DEC17	11575.00	11109.74	USD	2,791.56	
08SEP17	S	6	PD08DEC17	11590.00	11109.74	USD	17,289.36	
13SEP17	B	8	PD08DEC17	11357.46	11109.74	USD	-11,890.56	
13SEP17	B	8	PD08DEC17	11356.46	11109.74	USD	-11,842.56	
	NET	0 AVG BGHT 11700.51	SOLD 11894.76				48,951.84	0.00
11SEP17	B	1	PD11DEC17	11480.00	11112.06	USD	-2,207.64	
11SEP17	B	5	PD11DEC17	11485.00	11112.06	USD	-11,188.20	
11SEP17	B	1	PD11DEC17	11500.00	11112.06	USD	-2,327.64	
11SEP17	B	1	PD11DEC17	11615.00	11112.06	USD	-3,017.64	
11SEP17	B	1	PD11DEC17	11665.00	11112.06	USD	-3,317.64	
11SEP17	B	1	PD11DEC17	11725.00	11112.06	USD	-3,677.64	
11SEP17	S	1	PD11DEC17	11815.00	11112.06	USD	4,217.64	
11SEP17	S	1	PD11DEC17	11820.00	11112.06	USD	4,247.64	
13SEP17	S	8	PD11DEC17	11358.00	11112.06	USD	11,805.12	
	NET	0 AVG BGHT 11541.00	SOLD 11449.90				-5,466.00	0.00
12SEP17	B	1	PD12DEC17	11660.00	11112.83	USD	-3,283.02	
12SEP17	B	1	PD12DEC17	11670.00	11112.83	USD	-3,343.02	
12SEP17	B	1	PD12DEC17	11680.00	11112.83	USD	-3,403.02	
12SEP17	B	1	PD12DEC17	11700.00	11112.83	USD	-3,523.02	
12SEP17	B	1	PD12DEC17	11780.00	11112.83	USD	-4,003.02	
12SEP17	S	1	PD12DEC17	11760.00	11112.83	USD	3,883.02	
12SEP17	S	3	PD12DEC17	11885.00	11112.83	USD	13,899.06	
12SEP17	B	1	PD12DEC17	11885.00	11112.83	USD	-4,633.02	
12SEP17	S	1	PD12DEC17	11925.00	11112.83	USD	4,873.02	
12SEP17	S	1	PD12DEC17	11950.00	11112.83	USD	5,023.02	
	NET	0 AVG BGHT 11729.17	SOLD 11881.67				5,490.00	0.00
13SEP17	B	2	PD13DEC17	11890.00	11113.60	USD	-9,316.80	
13SEP17	B	1	PD13DEC17	11815.00	11113.60	USD	-4,208.40	
13SEP17	B	1	PD13DEC17	11830.00	11113.60	USD	-4,298.40	
13SEP17	B	1	PD13DEC17	11575.00	11113.60	USD	-2,768.40	
13SEP17	B	2	PD13DEC17	11590.00	11113.60	USD	-5,716.80	
13SEP17	B	2	PD13DEC17	11585.00	11113.60	USD	-5,656.80	
13SEP17	S	1	PD13DEC17	11465.00	11113.60	USD	2,108.40	
13SEP17	B	2	PD13DEC17	11390.00	11113.60	USD	-3,316.80	
13SEP17	B	1	PD13DEC17	11585.00	11113.60	USD	-2,828.40	
13SEP17	B	3	PD13DEC17	11380.00	11113.60	USD	-4,795.20	
13SEP17	B	1	PD13DEC17	11410.00	11113.60	USD	-1,778.40	
20SEP17	S	15	PD13DEC17	11374.60	11113.60	USD	23,490.00	
	NET	0 AVG BGHT 11579.06	SOLD 11380.25				-19,086.00	0.00
14SEP17	S	2	PD14DEC17	11465.00	11114.37	USD	4,207.56	

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	2	PD14DEC17	11490.00	11114.37	USD	4,507.56	
14SEP17	S	2	PD14DEC17	11455.00	11114.37	USD	4,087.56	
14SEP17	B	1	PD14DEC17	11195.00	11114.37	USD	-483.78	
14SEP17	B	4	PD14DEC17	11170.00	11114.37	USD	-1,335.12	
14SEP17	B	3	PD14DEC17	11165.00	11114.37	USD	-911.34	
14SEP17	B	1	PD14DEC17	11160.00	11114.37	USD	-273.78	
14SEP17	S	3	PD14DEC17	11490.00	11114.37	USD	6,761.34	
14SEP17	S	1	PD14DEC17	11285.00	11114.37	USD	1,023.78	
14SEP17	S	4	PD14DEC17	11270.00	11114.37	USD	3,735.12	
14SEP17	S	1	PD14DEC17	11265.00	11114.37	USD	903.78	
14SEP17	S	2	PD14DEC17	11290.00	11114.37	USD	2,107.56	
14SEP17	S	2	PD14DEC17	11280.00	11114.37	USD	1,987.56	
14SEP17	S	5	PD14DEC17	11275.00	11114.37	USD	4,818.90	
20SEP17	B	15	PD14DEC17	11376.37	11114.37	USD	-23,580.00	
	NET	0AVG BGHT 11298.98	SOLD 11351.46				7,556.70	0.00
15SEP17	B	1	PD15DEC17	11270.00	11115.14	USD	-929.16	
15SEP17	S	1	PD15DEC17	11150.00	11115.14	USD	209.16	
15SEP17	S	1	PD15DEC17	11130.00	11115.14	USD	89.16	
15SEP17	B	2	PD15DEC17	11125.00	11115.14	USD	-118.32	
15SEP17	B	1	PD15DEC17	11135.00	11115.14	USD	-119.16	
15SEP17	S	2	PD15DEC17	10900.00	11115.14	USD	-2,581.68	
15SEP17	S	1	PD15DEC17	11035.00	11115.14	USD	-480.84	
15SEP17	S	2	PD15DEC17	11050.00	11115.14	USD	-781.68	
15SEP17	B	1	PD15DEC17	11130.00	11115.14	USD	-89.16	
20SEP17	B	2	PD15DEC17	11376.14	11115.14	USD	-3,132.00	
	NET	0AVG BGHT 11219.61	SOLD 11030.71				-7,933.68	0.00
18SEP17	B	1	PD18DEC17	11155.00	11117.46	USD	-225.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	S	1	PD18DEC17	11175.00	11117.46	USD	345.24	
29SEP17	S	1	PD18DEC17	10487.73	11117.46	USD	-3,778.38	
	NET	0AVG BGHT 11162.50	SOLD 10831.37				-3,973.62	0.00
19SEP17	B	1	PD19DEC17	11140.00	11118.23	USD	-130.62	
19SEP17	B	1	PD19DEC17	11095.00	11118.23	USD	139.38	
19SEP17	B	1	PD19DEC17	11100.00	11118.23	USD	109.38	
19SEP17	B	1	PD19DEC17	11055.00	11118.23	USD	379.38	
19SEP17	B	1	PD19DEC17	11030.00	11118.23	USD	529.38	
19SEP17	S	1	PD19DEC17	11000.00	11118.23	USD	-709.38	
19SEP17	B	2	PD19DEC17	10935.00	11118.23	USD	2,198.76	
19SEP17	B	1	PD19DEC17	10985.00	11118.23	USD	799.38	
19SEP17	S	1	PD19DEC17	11060.00	11118.23	USD	-349.38	
19SEP17	B	1	PD19DEC17	11145.00	11118.23	USD	-160.62	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
19SEP17	S	1	PD19DEC17	11205.00	11118.23	USD	520.62	
19SEP17	S	1	PD19DEC17	11195.00	11118.23	USD	460.62	
19SEP17	B	1	PD19DEC17	11165.00	11118.23	USD	-280.62	
19SEP17	B	2	PD19DEC17	11170.00	11118.23	USD	-621.24	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
19SEP17	B	1	PD19DEC17	11170.00	11118.23	USD	-310.62	
20SEP17	S	2	PD19DEC17	11378.23	11118.23	USD	3,120.00	
29SEP17	S	5	PD19DEC17	10488.61	11118.23	USD	-18,888.60	
	NET	0AVG BGHT 11084.23	SOLD 10929.19				-12,092.94	0.00
20SEP17	B	1	PD20DEC17	11395.00	11119.00	USD	-1,656.00	
20SEP17	S	2	PD20DEC17	11370.00	11119.00	USD	3,012.00	
20SEP17	S	2	PD20DEC17	11360.00	11119.00	USD	2,892.00	
20SEP17	S	1	PD20DEC17	11375.00	11119.00	USD	1,536.00	
20SEP17	S	3	PD20DEC17	11365.00	11119.00	USD	4,428.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
29SEP17	B	6	PD20DEC17	10490.50	11119.00	USD	22,626.00	
29SEP17	B	2	PD20DEC17	10489.50	11119.00	USD	7,554.00	
	NET	0AVG	BGHT 10590.78				41,958.00	0.00
21SEP17	S	1	PD21DEC17	11265.00	11119.73	USD	871.62	
21SEP17	S	1	PD21DEC17	11255.00	11119.73	USD	811.62	
21SEP17	B	1	PD21DEC17	11210.00	11119.73	USD	-541.62	
21SEP17	B	1	PD21DEC17	11200.00	11119.73	USD	-481.62	
21SEP17	B	1	PD21DEC17	11165.00	11119.73	USD	-271.62	
21SEP17	B	1	PD21DEC17	11160.00	11119.73	USD	-241.62	
21SEP17	B	3	PD21DEC17	11000.00	11119.73	USD	2,155.14	
21SEP17	B	2	PD21DEC17	11005.00	11119.73	USD	1,376.76	
21SEP17	B	4	PD21DEC17	10995.00	11119.73	USD	2,993.52	
21SEP17	B	6	PD21DEC17	11085.00	11119.73	USD	1,250.28	
21SEP17	S	2	PD21DEC17	10805.00	11119.73	USD	-3,776.76	
21SEP17	S	1	PD21DEC17	10720.00	11119.73	USD	-2,398.38	
21SEP17	S	2	PD21DEC17	10715.00	11119.73	USD	-4,856.76	
21SEP17	S	1	PD21DEC17	10650.00	11119.73	USD	-2,818.38	
21SEP17	S	1	PD21DEC17	10640.00	11119.73	USD	-2,878.38	
21SEP17	S	1	PD21DEC17	10830.00	11119.73	USD	-1,738.38	
21SEP17	S	1	PD21DEC17	10750.00	11119.73	USD	-2,218.38	
21SEP17	S	1	PD21DEC17	10625.00	11119.73	USD	-2,968.38	
29SEP17	S	2	PD21DEC17	10489.50	11119.73	USD	-7,562.76	
29SEP17	S	1	PD21DEC17	10490.50	11119.73	USD	-3,775.38	
	NET	+4AVG	BGHT 11065.00				-27,069.48	0.00
22SEP17	B	1	PD22DEC17	10790.00	11120.45	USD	1,982.70	
22SEP17	B	1	PD22DEC17	10785.00	11120.45	USD	2,012.70	
22SEP17	B	1	PD22DEC17	10800.00	11120.45	USD	1,922.70	
22SEP17	B	1	PD22DEC17	10770.00	11120.45	USD	2,102.70	
22SEP17	S	1	PD22DEC17	10715.00	11120.45	USD	-2,432.70	
22SEP17	S	2	PD22DEC17	10690.00	11120.45	USD	-5,165.40	
22SEP17	S	4	PD22DEC17	10695.00	11120.45	USD	-10,210.80	
22SEP17	S	1	PD22DEC17	10670.00	11120.45	USD	-2,702.70	
22SEP17	S	2	PD22DEC17	10675.00	11120.45	USD	-5,345.40	
22SEP17	B	1	PD22DEC17	10725.00	11120.45	USD	2,372.70	
22SEP17	B	1	PD22DEC17	10675.00	11120.45	USD	2,672.70	
22SEP17	B	1	PD22DEC17	10665.00	11120.45	USD	2,732.70	
22SEP17	B	1	PD22DEC17	10660.00	11120.45	USD	2,762.70	
22SEP17	B	1	PD22DEC17	10635.00	11120.45	USD	2,912.70	
22SEP17	S	3	PD22DEC17	10560.00	11120.45	USD	-10,088.10	
22SEP17	S	1	PD22DEC17	10555.00	11120.45	USD	-3,392.70	
22SEP17	S	1	PD22DEC17	10565.00	11120.45	USD	-3,332.70	
22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	B	2	PD22DEC17	10575.00	11120.45	USD	6,545.40	
22SEP17	B	5	PD22DEC17	10585.00	11120.45	USD	16,063.50	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	B	3	PD22DEC17	10595.00	11120.45	USD	9,458.10	
22SEP17	B	5	PD22DEC17	10580.00	11120.45	USD	16,213.50	
22SEP17	B	3	PD22DEC17	10455.00	11120.45	USD	11,978.10	
22SEP17	B	1	PD22DEC17	10460.00	11120.45	USD	3,962.70	
29SEP17	S	14	PD22DEC17	10491.50	11120.45	USD	-52,831.80	
	NET	0AVG	BGHT 10608.83				-6,834.00	0.00
25SEP17	S	1	PD27DEC17	10640.00	11124.09	USD	-2,904.54	
25SEP17	S	1	PD27DEC17	10505.00	11124.09	USD	-3,714.54	
25SEP17	S	1	PD27DEC17	10595.00	11124.09	USD	-3,174.54	

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25SEP17	S	1	PD27DEC17	10495.00	11124.09	USD	-3,774.54	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
25SEP17	S	2	PD27DEC17	10630.00	11124.09	USD	-5,929.08	
25SEP17	S	2	PD27DEC17	10635.00	11124.09	USD	-5,869.08	
25SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
25SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
25SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
25SEP17	S	1	PD27DEC17	10500.00	11124.09	USD	-3,744.54	
25SEP17	S	2	PD27DEC17	10525.00	11124.09	USD	-7,189.08	
25SEP17	B	1	PD27DEC17	10525.00	11124.09	USD	3,594.54	
25SEP17	B	3	PD27DEC17	10520.00	11124.09	USD	10,873.62	
25SEP17	B	1	PD27DEC17	10515.00	11124.09	USD	3,654.54	
25SEP17	B	1	PD27DEC17	10535.00	11124.09	USD	3,534.54	
25SEP17	B	1	PD27DEC17	10530.00	11124.09	USD	3,564.54	
25SEP17	S	2	PD27DEC17	10550.00	11124.09	USD	-6,889.08	
25SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
25SEP17	S	2	PD27DEC17	10590.00	11124.09	USD	-6,409.08	
25SEP17	S	5	PD27DEC17	10570.00	11124.09	USD	-16,622.70	
25SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
26SEP17	B	1	PD27DEC17	10640.00	11124.09	USD	2,904.54	
26SEP17	B	3	PD27DEC17	10475.00	11124.09	USD	11,683.62	
26SEP17	B	5	PD27DEC17	10480.00	11124.09	USD	19,322.70	
26SEP17	S	1	PD27DEC17	10440.00	11124.09	USD	-4,104.54	
26SEP17	B	2	PD27DEC17	10445.00	11124.09	USD	8,149.08	
26SEP17	B	1	PD27DEC17	10525.00	11124.09	USD	3,594.54	
26SEP17	B	2	PD27DEC17	10520.00	11124.09	USD	7,249.08	
26SEP17	B	5	PD27DEC17	10515.00	11124.09	USD	18,272.70	
26SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
26SEP17	B	2	PD27DEC17	10485.00	11124.09	USD	7,669.08	
27SEP17	S	1	PD27DEC17	10450.00	11124.09	USD	-4,044.54	
27SEP17	S	1	PD27DEC17	10465.00	11124.09	USD	-3,954.54	
27SEP17	S	2	PD27DEC17	10470.00	11124.09	USD	-7,849.08	
27SEP17	S	1	PD27DEC17	10475.00	11124.09	USD	-3,894.54	
27SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
27SEP17	S	2	PD27DEC17	10490.00	11124.09	USD	-7,609.08	
27SEP17	S	2	PD27DEC17	10510.00	11124.09	USD	-7,369.08	
27SEP17	S	2	PD27DEC17	10525.00	11124.09	USD	-7,189.08	
27SEP17	S	2	PD27DEC17	10490.00	11124.09	USD	-7,609.08	
27SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
27SEP17	S	1	PD27DEC17	10540.00	11124.09	USD	-3,504.54	
27SEP17	S	2	PD27DEC17	10545.00	11124.09	USD	-6,949.08	
27SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
27SEP17	S	1	PD27DEC17	10355.00	11124.09	USD	-4,614.54	
27SEP17	S	1	PD27DEC17	10350.00	11124.09	USD	-4,644.54	
27SEP17	B	1	PD27DEC17	10385.00	11124.09	USD	4,434.54	
27SEP17	B	1	PD27DEC17	10375.00	11124.09	USD	4,494.54	
27SEP17	S	1	PD27DEC17	10285.00	11124.09	USD	-5,034.54	
27SEP17	S	1	PD27DEC17	10280.00	11124.09	USD	-5,064.54	
27SEP17	B	1	PD27DEC17	10325.00	11124.09	USD	4,794.54	
27SEP17	B	1	PD27DEC17	10350.00	11124.09	USD	4,644.54	
27SEP17	B	2	PD27DEC17	10365.00	11124.09	USD	9,109.08	
27SEP17	B	1	PD27DEC17	10360.00	11124.09	USD	4,584.54	
27SEP17	B	1	PD27DEC17	10320.00	11124.09	USD	4,824.54	
27SEP17	S	2	PD27DEC17	10290.00	11124.09	USD	-10,009.08	
27SEP17	S	1	PD27DEC17	10295.00	11124.09	USD	-4,974.54	
27SEP17	S	1	PD27DEC17	10340.00	11124.09	USD	-4,704.54	
29SEP17	B	15	PD27DEC17	10498.57	11124.09	USD	56,296.80	

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	NET	0 AVG	BGHT 10479.78	SOLD 10507.64				8,858.70	0.00
28SEP17	B	1	PD28DEC17		10365.00	11124.82	USD	4,558.92	
28SEP17	B	2	PD28DEC17		10355.00	11124.82	USD	9,237.84	
28SEP17	B	1	PD28DEC17		10285.00	11124.82	USD	5,038.92	
28SEP17	B	1	PD28DEC17		10280.00	11124.82	USD	5,068.92	
28SEP17	S	2	PD28DEC17		10250.00	11124.82	USD	-10,497.84	
28SEP17	S	1	PD28DEC17		10255.00	11124.82	USD	-5,218.92	
28SEP17	S	1	PD28DEC17		10235.00	11124.82	USD	-5,338.92	
28SEP17	S	3	PD28DEC17		10260.00	11124.82	USD	-15,566.76	
28SEP17	B	1	PD28DEC17		10290.00	11124.82	USD	5,008.92	
28SEP17	B	1	PD28DEC17		10325.00	11124.82	USD	4,798.92	
28SEP17	B	2	PD28DEC17		10340.00	11124.82	USD	9,417.84	
28SEP17	B	1	PD28DEC17		10335.00	11124.82	USD	4,738.92	
28SEP17	B	1	PD28DEC17		10320.00	11124.82	USD	4,828.92	
28SEP17	B	2	PD28DEC17		10360.00	11124.82	USD	9,177.84	
28SEP17	B	1	PD28DEC17		10350.00	11124.82	USD	4,648.92	
28SEP17	S	1	PD28DEC17		10485.00	11124.82	USD	-3,838.92	
	NET	+6 AVG	BGHT 10332.86	SOLD 10281.88				26,063.52	0.00
29SEP17	S	1	PD29DEC17		10515.00	11125.55	USD	-3,663.30	
29SEP17	S	1	PD29DEC17		10465.00	11125.55	USD	-3,963.30	
29SEP17	S	5	PD29DEC17		10470.00	11125.55	USD	-19,666.50	
29SEP17	S	4	PD29DEC17		10475.00	11125.55	USD	-15,613.20	
29SEP17	B	3	PD29DEC17		10430.00	11125.55	USD	12,519.90	
29SEP17	B	1	PD29DEC17		10450.00	11125.55	USD	4,053.30	
29SEP17	B	1	PD29DEC17		10460.00	11125.55	USD	3,993.30	
29SEP17	B	1	PD29DEC17		10470.00	11125.55	USD	3,933.30	
29SEP17	B	2	PD29DEC17		10475.00	11125.55	USD	7,806.60	
29SEP17	B	1	PD29DEC17		10490.00	11125.55	USD	3,813.30	
29SEP17	S	1	PD29DEC17		10470.00	11125.55	USD	-3,933.30	
29SEP17	B	1	PD29DEC17		10475.00	11125.55	USD	3,903.30	
	NET	-2 AVG	BGHT 10458.50	SOLD 10475.00				-6,816.60	0.00
09OCT17	S	1	PD09JAN18		10610.00	11133.55	USD	-3,141.30	
09OCT17	B	2	PD09JAN18		10750.00	11133.55	USD	4,602.60	
09OCT17	S	4	PD09JAN18		10980.00	11133.55	USD	-3,685.20	
09OCT17	S	2	PD09JAN18		10960.00	11133.55	USD	-2,082.60	
09OCT17	S	2	PD09JAN18		10965.00	11133.55	USD	-2,022.60	
09OCT17	S	2	PD09JAN18		10970.00	11133.55	USD	-1,962.60	
09OCT17	S	5	PD09JAN18		10975.00	11133.55	USD	-4,756.50	
09OCT17	B	3	PD09JAN18		10980.00	11133.55	USD	2,763.90	
09OCT17	B	5	PD09JAN18		10990.00	11133.55	USD	4,306.50	
	NET	-6 AVG	BGHT 10939.00	SOLD 10949.69				-5,977.80	0.00
10OCT17	B	2	PD10JAN18		10955.00	11134.27	USD	2,151.24	
10OCT17	B	2	PD10JAN18		10950.00	11134.27	USD	2,211.24	
10OCT17	B	1	PD10JAN18		11005.00	11134.27	USD	775.62	
10OCT17	B	1	PD10JAN18		11000.00	11134.27	USD	805.62	
10OCT17	B	2	PD10JAN18		10980.00	11134.27	USD	1,851.24	
10OCT17	B	1	PD10JAN18		10995.00	11134.27	USD	835.62	
10OCT17	B	2	PD10JAN18		10990.00	11134.27	USD	1,731.24	
10OCT17	S	2	PD10JAN18		11035.00	11134.27	USD	-1,191.24	
10OCT17	S	1	PD10JAN18		11040.00	11134.27	USD	-565.62	
10OCT17	S	1	PD10JAN18		11025.00	11134.27	USD	-655.62	
	NET	+7 AVG	BGHT 10977.27	SOLD 11033.75				7,949.34	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21JUL17	S	1	PD20OCT17		20150.00	20986.00	USD	-4,180.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	1	PD200CT17		20225.60	20986.00	USD	3,802.00	
	NET	0 AVG	BGHT 20225.60	SOLD 20150.00				-378.00	0.00
24JUL17	B	1	PD240CT17		20250.00	20982.00	USD	3,660.00	
24JUL17	B	1	PD240CT17		20200.00	20982.00	USD	3,910.00	
08AUG17	S	1	PD240CT17		20222.20	20982.00	USD	-3,799.00	
08AUG17	S	1	PD240CT17		20223.20	20982.00	USD	-3,794.00	
	NET	0 AVG	BGHT 20225.00	SOLD 20222.70				-23.00	0.00
26JUL17	S	1	PD260CT17		20310.00	20978.00	USD	-3,340.00	
26JUL17	S	1	PD260CT17		20335.00	20978.00	USD	-3,215.00	
26JUL17	B	2	PD260CT17		20375.00	20978.00	USD	6,030.00	
	NET	0 AVG	BGHT 20375.00	SOLD 20322.50				-525.00	0.00
27JUL17	B	1	PD270CT17		20425.00	20976.00	USD	2,755.00	
27JUL17	S	1	PD270CT17		20750.00	20976.00	USD	-1,130.00	
28JUL17	S	1	PD270CT17		20700.00	20976.00	USD	-1,380.00	
28JUL17	S	1	PD270CT17		20835.00	20976.00	USD	-705.00	
28JUL17	B	1	PD270CT17		20700.00	20976.00	USD	1,380.00	
08AUG17	B	1	PD270CT17		20220.60	20976.00	USD	3,777.00	
	NET	0 AVG	BGHT 20448.53	SOLD 20761.67				4,697.00	0.00
31JUL17	S	1	PD310CT17		20820.00	20972.00	USD	-760.00	
31JUL17	B	1	PD310CT17		20635.00	20972.00	USD	1,685.00	
	NET	0 AVG	BGHT 20635.00	SOLD 20820.00				925.00	0.00
01AUG17	S	1	PD01NOV17		20650.00	20970.00	USD	-1,600.00	
01AUG17	B	1	PD01NOV17		20500.00	20970.00	USD	2,350.00	
01AUG17	S	1	PD01NOV17		20525.00	20970.00	USD	-2,225.00	
08AUG17	B	1	PD01NOV17		20216.00	20970.00	USD	3,770.00	
	NET	0 AVG	BGHT 20358.00	SOLD 20587.50				2,295.00	0.00
02AUG17	B	1	PD02NOV17		20475.00	20968.00	USD	2,465.00	
08AUG17	S	1	PD02NOV17		20213.80	20968.00	USD	-3,771.00	
	NET	0 AVG	BGHT 20475.00	SOLD 20213.80				-1,306.00	0.00
07AUG17	B	1	PD07NOV17		20665.00	20962.00	USD	1,485.00	
06SEP17	S	1	PD07NOV17		20827.50	20962.00	USD	-672.50	
	NET	0 AVG	BGHT 20665.00	SOLD 20827.50				812.50	0.00
08AUG17	B	1	PD08NOV17		20335.00	20960.00	USD	3,125.00	
06SEP17	S	1	PD08NOV17		20826.25	20960.00	USD	-668.75	
	NET	0 AVG	BGHT 20335.00	SOLD 20826.25				2,456.25	0.00
10AUG17	B	1	PD10NOV17		20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17		20315.00	20956.00	USD	-3,205.00	
11AUG17	S	1	PD10NOV17		20200.00	20956.00	USD	-3,780.00	
06SEP17	B	1	PD10NOV17		20824.75	20956.00	USD	656.25	
	NET	0 AVG	BGHT 20562.38	SOLD 20257.50				-3,048.75	0.00
15AUG17	S	1	PD15NOV17		20250.00	20950.00	USD	-3,500.00	
15AUG17	B	1	PD15NOV17		19830.00	20950.00	USD	5,600.00	
	NET	0 AVG	BGHT 19830.00	SOLD 20250.00				2,100.00	0.00
18AUG17	S	1	PD17NOV17		20350.00	20945.83	USD	-2,979.15	
18AUG17	S	1	PD17NOV17		20115.00	20945.83	USD	-4,154.15	
18AUG17	B	1	PD17NOV17		20350.00	20945.83	USD	2,979.15	
18AUG17	B	1	PD17NOV17		20160.00	20945.83	USD	3,929.15	
	NET	0 AVG	BGHT 20255.00	SOLD 20232.50				-225.00	0.00
21AUG17	S	1	PD21NOV17		20335.00	20941.67	USD	-3,033.35	
06SEP17	B	1	PD21NOV17		20812.43	20941.67	USD	646.20	
	NET	0 AVG	BGHT 20812.43	SOLD 20335.00				-2,387.15	0.00
22AUG17	S	1	PD22NOV17		20450.00	20939.58	USD	-2,447.90	
06SEP17	B	1	PD22NOV17		20809.29	20939.58	USD	651.45	

OPEN POSITION STATEMENT



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SAP083GA

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Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20809.29	SOLD	20450.00		-1,796.45	0.00
29AUG17	B	1	PD29NOV17		20550.00	20931.25	USD	1,906.25	
29AUG17	B	1	PD29NOV17		20470.00	20931.25	USD	2,306.25	
06SEP17	S	1	PD29NOV17		20799.71	20931.25	USD	-657.70	
06SEP17	S	1	PD29NOV17		20800.71	20931.25	USD	-652.70	
	NET	0 AVG	BGHT	20510.00	SOLD	20800.21		2,902.10	0.00
30AUG17	S	1	PD30NOV17		20360.00	20929.17	USD	-2,845.85	
06SEP17	B	1	PD30NOV17		20799.57	20929.17	USD	648.00	
	NET	0 AVG	BGHT	20799.57	SOLD	20360.00		-2,197.85	0.00
04SEP17	B	1	PD04DEC17		20735.00	20925.00	USD	950.00	
13SEP17	S	1	PD04DEC17		20526.05	20925.00	USD	-1,994.75	
	NET	0 AVG	BGHT	20735.00	SOLD	20526.05		-1,044.75	0.00
05SEP17	S	1	PD05DEC17		20755.00	20922.92	USD	-839.60	
13SEP17	B	1	PD05DEC17		20525.47	20922.92	USD	1,987.25	
	NET	0 AVG	BGHT	20525.47	SOLD	20755.00		1,147.65	0.00
06SEP17	S	1	PD06DEC17		20610.00	20920.83	USD	-1,554.15	
20SEP17	B	1	PD06DEC17		20641.67	20920.83	USD	1,395.80	
	NET	0 AVG	BGHT	20641.67	SOLD	20610.00		-158.35	0.00
07SEP17	S	1	PD07DEC17		20755.00	20918.75	USD	-818.75	
07SEP17	B	1	PD07DEC17		20730.00	20918.75	USD	943.75	
	NET	0 AVG	BGHT	20730.00	SOLD	20755.00		125.00	0.00
08SEP17	B	1	PD08DEC17		20785.00	20916.67	USD	658.35	
08SEP17	S	1	PD08DEC17		20700.00	20916.67	USD	-1,083.35	
	NET	0 AVG	BGHT	20785.00	SOLD	20700.00		-425.00	0.00
11SEP17	S	1	PD11DEC17		20700.00	20914.58	USD	-1,072.90	
20SEP17	B	1	PD11DEC17		20636.67	20914.58	USD	1,389.55	
	NET	0 AVG	BGHT	20636.67	SOLD	20700.00		316.65	0.00
13SEP17	B	1	PD13DEC17		20665.00	20910.42	USD	1,227.10	
20SEP17	S	1	PD13DEC17		20632.33	20910.42	USD	-1,390.45	
	NET	0 AVG	BGHT	20665.00	SOLD	20632.33		-163.35	0.00
14SEP17	B	1	PD14DEC17		20570.00	20908.33	USD	1,691.65	
20SEP17	S	1	PD14DEC17		20630.67	20908.33	USD	-1,388.30	
	NET	0 AVG	BGHT	20570.00	SOLD	20630.67		303.35	0.00
15SEP17	S	1	PD15DEC17		20555.00	20906.25	USD	-1,756.25	
15SEP17	S	1	PD15DEC17		20505.00	20906.25	USD	-2,006.25	
20SEP17	B	1	PD15DEC17		20630.00	20906.25	USD	1,381.25	
29SEP17	B	1	PD15DEC17		20726.25	20906.25	USD	900.00	
	NET	0 AVG	BGHT	20678.13	SOLD	20530.00		-1,481.25	0.00
19SEP17	B	1	PD19DEC17		20695.00	20902.08	USD	1,035.40	
20SEP17	S	1	PD19DEC17		20625.67	20902.08	USD	-1,382.05	
	NET	0 AVG	BGHT	20695.00	SOLD	20625.67		-346.65	0.00
20SEP17	B	1	PD20DEC17		20655.00	20900.00	USD	1,225.00	
20SEP17	S	1	PD20DEC17		20660.00	20900.00	USD	-1,200.00	
20SEP17	B	1	PD20DEC17		20685.00	20900.00	USD	1,075.00	
29SEP17	S	1	PD20DEC17		20719.00	20900.00	USD	-905.00	
	NET	0 AVG	BGHT	20670.00	SOLD	20689.50		195.00	0.00
21SEP17	S	1	PD21DEC17		20600.00	20897.69	USD	-1,488.45	
	NET	-1 AVG	SOLD	20600.00				-1,488.45	0.00
28SEP17	S	1	PD28DEC17		20625.00	20890.77	USD	-1,328.85	
28SEP17	B	1	PD28DEC17		20660.00	20890.77	USD	1,153.85	
	NET	0 AVG	BGHT	20660.00	SOLD	20625.00		-175.00	0.00
29SEP17	S	1	PD29DEC17		20695.00	20888.46	USD	-967.30	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -1 AVG SOLD 20695.00				-967.30	0.00
09OCT17	B 1 PD09JAN18	20700.00	20874.62	USD	873.10	
09OCT17	B 1 PD09JAN18	20625.00	20874.62	USD	1,248.10	
	NET +2 AVG BGHT 20662.50				2,121.20	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	2834.00	3264.00	USD	10,750.00	
25JUL17	S 1 PD25OCT17	2822.00	3264.00	USD	-11,050.00	
	NET 0 AVG BGHT 2834.00 SOLD 2822.00				-300.00	0.00
26JUL17	B 1 PD26OCT17	2811.50	3262.33	USD	11,270.75	
08AUG17	S 1 PD26OCT17	2924.00	3262.33	USD	-8,458.25	
	NET 0 AVG BGHT 2811.50 SOLD 2924.00				2,812.50	0.00
28JUL17	S 1 PD27OCT17	2805.50	3260.67	USD	-11,379.25	
28JUL17	B 1 PD27OCT17	2780.00	3260.67	USD	12,016.75	
	NET 0 AVG BGHT 2780.00 SOLD 2805.50				637.50	0.00
01AUG17	S 1 PD01NOV17	2778.50	3255.67	USD	-11,929.25	
08AUG17	B 1 PD01NOV17	2924.37	3255.67	USD	8,282.50	
	NET 0 AVG BGHT 2924.37 SOLD 2778.50				-3,646.75	0.00
03AUG17	B 1 PD03NOV17	2794.00	3252.89	USD	11,472.25	
13SEP17	S 1 PD03NOV17	3019.43	3252.89	USD	-5,836.50	
	NET 0 AVG BGHT 2794.00 SOLD 3019.43				5,635.75	0.00
07AUG17	B 1 PD07NOV17	2866.00	3250.67	USD	9,616.75	
06SEP17	S 1 PD07NOV17	3092.61	3250.67	USD	-3,951.50	
	NET 0 AVG BGHT 2866.00 SOLD 3092.61				5,665.25	0.00
08AUG17	S 1 PD08NOV17	2883.00	3249.56	USD	-9,164.00	
08AUG17	B 1 PD08NOV17	2914.00	3249.56	USD	8,389.00	
	NET 0 AVG BGHT 2914.00 SOLD 2883.00				-775.00	0.00
09AUG17	S 1 PD09NOV17	2974.50	3248.44	USD	-6,848.50	
06SEP17	B 1 PD09NOV17	3093.03	3248.44	USD	3,885.25	
	NET 0 AVG BGHT 3093.03 SOLD 2974.50				-2,963.25	0.00
10AUG17	B 1 PD10NOV17	2942.50	3247.33	USD	7,620.75	
10AUG17	S 1 PD10NOV17	2938.50	3247.33	USD	-7,720.75	
10AUG17	B 1 PD10NOV17	2912.00	3247.33	USD	8,383.25	
11AUG17	S 1 PD10NOV17	2913.00	3247.33	USD	-8,358.25	
	NET 0 AVG BGHT 2927.25 SOLD 2925.75				-75.00	0.00
14AUG17	S 1 PD14NOV17	2915.00	3245.11	USD	-8,252.75	
06SEP17	B 1 PD14NOV17	3093.25	3245.11	USD	3,796.50	
	NET 0 AVG BGHT 3093.25 SOLD 2915.00				-4,456.25	0.00
16AUG17	B 1 PD16NOV17	3051.00	3241.50	USD	4,762.50	
16AUG17	B 1 PD16NOV17	3108.50	3241.50	USD	3,325.00	
06SEP17	S 1 PD16NOV17	3090.80	3241.50	USD	-3,767.50	
06SEP17	S 1 PD16NOV17	3091.00	3241.50	USD	-3,762.50	
	NET 0 AVG BGHT 3079.75 SOLD 3090.90				557.50	0.00
17AUG17	B 1 PD17NOV17	3120.50	3239.00	USD	2,962.50	
17AUG17	B 1 PD17NOV17	3105.50	3239.00	USD	3,337.50	
18AUG17	B 1 PD17NOV17	3109.50	3239.00	USD	3,237.50	
18AUG17	S 1 PD17NOV17	3112.50	3239.00	USD	-3,162.50	
18AUG17	S 1 PD17NOV17	3114.50	3239.00	USD	-3,112.50	
06SEP17	S 1 PD17NOV17	3091.00	3239.00	USD	-3,700.00	
	NET 0 AVG BGHT 3111.83 SOLD 3106.00				-437.50	0.00
21AUG17	S 1 PD21NOV17	3133.50	3237.00	USD	-2,587.50	
21AUG17	B 1 PD21NOV17	3132.50	3237.00	USD	2,612.50	
	NET 0 AVG BGHT 3132.50 SOLD 3133.50				25.00	0.00

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22AUG17	S	1	PD22NOV17		3149.50	3236.00	USD	-2,162.50	
22AUG17	S	1	PD22NOV17		3132.00	3236.00	USD	-2,600.00	
22AUG17	S	1	PD22NOV17		3099.50	3236.00	USD	-3,412.50	
06SEP17	B	2	PD22NOV17		3092.81	3236.00	USD	7,159.50	
06SEP17	B	1	PD22NOV17		3092.61	3236.00	USD	3,584.75	
	NET	0 AVG	BGHT 3092.74	SOLD 3127.00				2,569.25	0.00
23AUG17	B	1	PD24NOV17		3106.50	3235.00	USD	3,212.50	
25AUG17	S	1	PD24NOV17		3065.50	3235.00	USD	-4,237.50	
	NET	0 AVG	BGHT 3106.50	SOLD 3065.50				-1,025.00	0.00
31AUG17	B	1	PD30NOV17		3103.50	3233.67	USD	3,254.25	
31AUG17	S	1	PD30NOV17		3135.50	3233.67	USD	-2,454.25	
31AUG17	B	1	PD30NOV17		3155.00	3233.67	USD	1,966.75	
31AUG17	S	1	PD30NOV17		3154.00	3233.67	USD	-1,991.75	
	NET	0 AVG	BGHT 3129.25	SOLD 3144.75				775.00	0.00
01SEP17	B	1	PD01DEC17		3142.00	3233.33	USD	2,283.25	
01SEP17	B	1	PD01DEC17		3180.00	3233.33	USD	1,333.25	
06SEP17	S	1	PD01DEC17		3093.55	3233.33	USD	-3,494.50	
06SEP17	S	1	PD01DEC17		3093.75	3233.33	USD	-3,489.50	
	NET	0 AVG	BGHT 3161.00	SOLD 3093.65				-3,367.50	0.00
04SEP17	S	1	PD04DEC17		3194.50	3233.00	USD	-962.50	
06SEP17	B	1	PD04DEC17		3094.70	3233.00	USD	3,457.50	
	NET	0 AVG	BGHT 3094.70	SOLD 3194.50				2,495.00	0.00
05SEP17	S	1	PD05DEC17		3182.00	3232.67	USD	-1,266.75	
05SEP17	S	1	PD05DEC17		3149.50	3232.67	USD	-2,079.25	
13SEP17	B	1	PD05DEC17		3023.76	3232.67	USD	5,222.75	
13SEP17	B	1	PD05DEC17		3023.56	3232.67	USD	5,227.75	
	NET	0 AVG	BGHT 3023.66	SOLD 3165.75				7,104.50	0.00
06SEP17	B	1	PD06DEC17		3092.00	3232.33	USD	3,508.25	
13SEP17	S	1	PD06DEC17		3023.43	3232.33	USD	-5,222.50	
	NET	0 AVG	BGHT 3092.00	SOLD 3023.43				-1,714.25	0.00
07SEP17	S	1	PD07DEC17		3079.00	3232.00	USD	-3,825.00	
13SEP17	B	1	PD07DEC17		3023.69	3232.00	USD	5,207.75	
	NET	0 AVG	BGHT 3023.69	SOLD 3079.00				1,382.75	0.00
08SEP17	S	1	PD08DEC17		3135.00	3231.67	USD	-2,416.75	
08SEP17	B	1	PD08DEC17		3143.00	3231.67	USD	2,216.75	
08SEP17	S	1	PD08DEC17		3090.50	3231.67	USD	-3,529.25	
08SEP17	B	1	PD08DEC17		3094.00	3231.67	USD	3,441.75	
	NET	0 AVG	BGHT 3118.50	SOLD 3112.75				-287.50	0.00
11SEP17	B	1	PD11DEC17		3056.00	3231.33	USD	4,383.25	
11SEP17	B	1	PD11DEC17		3055.00	3231.33	USD	4,408.25	
13SEP17	S	1	PD11DEC17		3023.47	3231.33	USD	-5,196.50	
20SEP17	S	1	PD11DEC17		3129.61	3231.33	USD	-2,543.00	
	NET	0 AVG	BGHT 3055.50	SOLD 3076.54				1,052.00	0.00
12SEP17	B	1	PD12DEC17		3093.00	3231.00	USD	3,450.00	
12SEP17	S	1	PD12DEC17		3092.50	3231.00	USD	-3,462.50	
	NET	0 AVG	BGHT 3093.00	SOLD 3092.50				-12.50	0.00
13SEP17	B	1	PD13DEC17		3068.50	3230.67	USD	4,054.25	
13SEP17	S	1	PD13DEC17		3058.00	3230.67	USD	-4,316.75	
	NET	0 AVG	BGHT 3068.50	SOLD 3058.00				-262.50	0.00
14SEP17	B	1	PD14DEC17		3005.50	3230.33	USD	5,620.75	
29SEP17	S	1	PD14DEC17		3165.63	3230.33	USD	-1,617.50	
	NET	0 AVG	BGHT 3005.50	SOLD 3165.63				4,003.25	0.00
15SEP17	S	1	PD15DEC17		3001.50	3230.00	USD	-5,712.50	

OPEN POSITION STATEMENT



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SAP083GA

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
20SEP17	B	1	PD15DEC17		3130.87	3230.00	USD	2,478.25	
	NET	0 AVG	BGHT 3130.87	SOLD 3001.50				-3,234.25	0.00
18SEP17	B	1	PD18DEC17		3074.00	3229.67	USD	3,891.75	
18SEP17	S	1	PD18DEC17		3075.50	3229.67	USD	-3,854.25	
18SEP17	B	1	PD18DEC17		3089.00	3229.67	USD	3,516.75	
18SEP17	B	1	PD18DEC17		3102.00	3229.67	USD	3,191.75	
29SEP17	S	2	PD18DEC17		3165.88	3229.67	USD	-3,189.50	
	NET	0 AVG	BGHT 3088.33	SOLD 3135.75				3,556.50	0.00
19SEP17	S	1	PD19DEC17		3107.50	3229.33	USD	-3,045.75	
19SEP17	S	1	PD19DEC17		3104.50	3229.33	USD	-3,120.75	
19SEP17	B	2	PD19DEC17		3112.50	3229.33	USD	5,841.50	
19SEP17	B	1	PD19DEC17		3109.00	3229.33	USD	3,008.25	
29SEP17	S	1	PD19DEC17		3165.94	3229.33	USD	-1,584.75	
	NET	0 AVG	BGHT 3111.33	SOLD 3125.98				1,098.50	0.00
20SEP17	S	1	PD20DEC17		3135.00	3229.00	USD	-2,350.00	
20SEP17	S	1	PD20DEC17		3137.00	3229.00	USD	-2,300.00	
29SEP17	B	2	PD20DEC17		3166.20	3229.00	USD	3,140.00	
	NET	0 AVG	BGHT 3166.20	SOLD 3136.00				-1,510.00	0.00
21SEP17	S	1	PD21DEC17		3078.00	3225.00	USD	-3,675.00	
21SEP17	S	1	PD21DEC17		3027.50	3225.00	USD	-4,937.50	
29SEP17	B	2	PD21DEC17		3162.95	3225.00	USD	3,102.50	
	NET	0 AVG	BGHT 3162.95	SOLD 3052.75				-5,510.00	0.00
26SEP17	B	1	PD27DEC17		3127.00	3224.00	USD	2,425.00	
26SEP17	S	1	PD27DEC17		3112.00	3224.00	USD	-2,800.00	
27SEP17	B	1	PD27DEC17		3138.50	3224.00	USD	2,137.50	
27SEP17	S	1	PD27DEC17		3111.50	3224.00	USD	-2,812.50	
	NET	0 AVG	BGHT 3132.75	SOLD 3111.75				-1,050.00	0.00
28SEP17	B	1	PD28DEC17		3141.00	3223.50	USD	2,062.50	
28SEP17	S	1	PD28DEC17		3156.50	3223.50	USD	-1,675.00	
	NET	0 AVG	BGHT 3141.00	SOLD 3156.50				387.50	0.00
29SEP17	B	1	PD29DEC17		3159.00	3223.00	USD	1,600.00	
	NET	+1 AVG	BGHT 3159.00					1,600.00	0.00
09OCT17	S	1	PD09JAN18		3262.00	3220.00	USD	1,050.00	
	NET	-1 AVG	SOLD 3262.00					1,050.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	32,644.50
LME Copper Grade A 25	FUTURES	-598,651.75
CMX Gold	FUTURES	-1,033,040.00
LME Lead 25 tonnes. This is an	FUTURES	72,815.00
LME Nickel 6 tonnes. This is	FUTURES	-537,105.36
LME Tin 5 tonnes. This is an	FUTURES	2,443.95
LME Zinc 25 tonnes. This is	FUTURES	11,705.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-1,920.00	-1,920.00	-1,920.00	-1,920.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	32,776.75	32,776.75		
COPL - Futures USD	-90,259.25	-90,259.25		
LEAD - Futures USD	8,692.50	8,692.50		
NKL - Futures USD	63,586.62	63,586.62		
TIN - Futures USD	2,259.40	2,259.40		
ZINC - Futures USD	11,780.50	11,780.50	28,836.52	28,836.52

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	72,397.67	72,397.67
Variation Margins - Futures	-1,920.00	-1,920.00
- Options	0.00	0.00
TOTAL EQUITY	70,477.67	70,477.67
Initial Margins	-115,292.48	-115,292.48
Excess/(Shortage)	-44,814.81	-44,814.81
FOR INFORMATION ONLY		
Forward Unrealised P&L	28,836.52	28,836.52
Net Liquidating Value	99,314.19	99,314.19

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12JUL17	B	3	PD120CT17	1898.00	2104.25	USD	15,468.75	
12JUL17	B	1	PD120CT17	1897.00	2104.25	USD	5,181.25	
12JUL17	B	2	PD120CT17	1896.50	2104.25	USD	10,387.50	
12JUL17	S	1	PD120CT17	1896.00	2104.25	USD	-5,206.25	
12JUL17	B	1	PD120CT17	1893.50	2104.25	USD	5,268.75	
12JUL17	S	3	PD120CT17	1896.50	2104.25	USD	-15,581.25	
12JUL17	S	1	PD120CT17	1897.50	2104.25	USD	-5,168.75	
12JUL17	B	1	PD120CT17	1888.50	2104.25	USD	5,393.75	
12JUL17	B	1	PD120CT17	1888.00	2104.25	USD	5,406.25	
12JUL17	B	2	PD120CT17	1886.50	2104.25	USD	10,887.50	
12JUL17	B	1	PD120CT17	1886.00	2104.25	USD	5,456.25	
12JUL17	B	1	PD120CT17	1884.00	2104.25	USD	5,506.25	
12JUL17	B	1	PD120CT17	1883.50	2104.25	USD	5,518.75	
12JUL17	S	2	PD120CT17	1886.50	2104.25	USD	-10,887.50	
12JUL17	S	1	PD120CT17	1887.50	2104.25	USD	-5,418.75	
12JUL17	S	2	PD120CT17	1889.00	2104.25	USD	-10,762.50	
19JUL17	S	4	PD120CT17	1918.91	2104.25	USD	-18,534.00	
	NET	0	AVG BGHT 1891.46	SOLD 1899.80			2,916.00	0.00
13JUL17	S	1	PD130CT17	1898.00	2104.75	USD	-5,168.75	
13JUL17	S	6	PD130CT17	1898.50	2104.75	USD	-30,937.50	
13JUL17	S	1	PD130CT17	1897.00	2104.75	USD	-5,193.75	
13JUL17	S	4	PD130CT17	1899.00	2104.75	USD	-20,575.00	
13JUL17	S	1	PD130CT17	1902.50	2104.75	USD	-5,056.25	
13JUL17	S	4	PD130CT17	1909.00	2104.75	USD	-19,575.00	
13JUL17	S	1	PD130CT17	1912.50	2104.75	USD	-4,806.25	
13JUL17	S	1	PD130CT17	1917.00	2104.75	USD	-4,693.75	
13JUL17	S	1	PD130CT17	1914.00	2104.75	USD	-4,768.75	
13JUL17	S	1	PD130CT17	1914.50	2104.75	USD	-4,756.25	
13JUL17	S	2	PD130CT17	1916.50	2104.75	USD	-9,412.50	
13JUL17	S	3	PD130CT17	1921.00	2104.75	USD	-13,781.25	
13JUL17	S	1	PD130CT17	1919.00	2104.75	USD	-4,643.75	
13JUL17	S	1	PD130CT17	1921.50	2104.75	USD	-4,581.25	
13JUL17	S	1	PD130CT17	1922.50	2104.75	USD	-4,556.25	
13JUL17	S	1	PD130CT17	1935.50	2104.75	USD	-4,231.25	
13JUL17	B	1	PD130CT17	1930.50	2104.75	USD	4,356.25	
13JUL17	B	1	PD130CT17	1930.00	2104.75	USD	4,368.75	
13JUL17	B	2	PD130CT17	1928.50	2104.75	USD	8,812.50	
13JUL17	S	1	PD130CT17	1931.00	2104.75	USD	-4,343.75	
13JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
13JUL17	B	3	PD130CT17	1925.00	2104.75	USD	13,481.25	
13JUL17	S	1	PD130CT17	1926.00	2104.75	USD	-4,468.75	
13JUL17	S	1	PD130CT17	1930.00	2104.75	USD	-4,368.75	
13JUL17	S	1	PD130CT17	1932.50	2104.75	USD	-4,306.25	
13JUL17	S	2	PD130CT17	1933.00	2104.75	USD	-8,587.50	
13JUL17	S	2	PD130CT17	1932.00	2104.75	USD	-8,637.50	
13JUL17	B	1	PD130CT17	1924.50	2104.75	USD	4,506.25	
13JUL17	S	1	PD130CT17	1934.50	2104.75	USD	-4,256.25	
13JUL17	B	1	PD130CT17	1927.00	2104.75	USD	4,443.75	
13JUL17	B	5	PD130CT17	1922.50	2104.75	USD	22,781.25	
13JUL17	B	1	PD130CT17	1922.00	2104.75	USD	4,568.75	
13JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
13JUL17	B	1	PD130CT17	1917.50	2104.75	USD	4,681.25	
13JUL17	B	1	PD130CT17	1920.50	2104.75	USD	4,606.25	
13JUL17	B	4	PD130CT17	1923.50	2104.75	USD	18,125.00	
14JUL17	S	1	PD130CT17	1924.50	2104.75	USD	-4,506.25	
14JUL17	B	1	PD130CT17	1928.00	2104.75	USD	4,418.75	
14JUL17	S	1	PD130CT17	1931.00	2104.75	USD	-4,343.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14JUL17	B	1	PD130CT17	1923.50	2104.75	USD	4,531.25	
14JUL17	S	1	PD130CT17	1926.00	2104.75	USD	-4,468.75	
14JUL17	S	2	PD130CT17	1925.00	2104.75	USD	-8,987.50	
14JUL17	S	1	PD130CT17	1928.50	2104.75	USD	-4,406.25	
14JUL17	B	2	PD130CT17	1921.50	2104.75	USD	9,162.50	
14JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
14JUL17	B	5	PD130CT17	1920.50	2104.75	USD	23,031.25	
14JUL17	S	2	PD130CT17	1929.00	2104.75	USD	-8,787.50	
14JUL17	B	4	PD130CT17	1922.50	2104.75	USD	18,225.00	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
19JUL17	B	9	PD130CT17	1919.33	2104.75	USD	41,719.50	
	NET	0AVG BGHT 1922.54	SOLD 1916.49				-7,111.75	0.00
17JUL17	S	1	PD170CT17	1933.50	2106.75	USD	-4,331.25	
17JUL17	B	1	PD170CT17	1936.00	2106.75	USD	4,268.75	
17JUL17	B	1	PD170CT17	1933.50	2106.75	USD	4,331.25	
17JUL17	S	1	PD170CT17	1935.50	2106.75	USD	-4,281.25	
17JUL17	S	5	PD170CT17	1934.00	2106.75	USD	-21,593.75	
17JUL17	S	1	PD170CT17	1937.50	2106.75	USD	-4,231.25	
17JUL17	S	2	PD170CT17	1939.50	2106.75	USD	-8,362.50	
17JUL17	B	1	PD170CT17	1933.00	2106.75	USD	4,343.75	
17JUL17	B	1	PD170CT17	1926.00	2106.75	USD	4,518.75	
17JUL17	S	1	PD170CT17	1939.50	2106.75	USD	-4,181.25	
17JUL17	B	1	PD170CT17	1924.00	2106.75	USD	4,568.75	
17JUL17	B	1	PD170CT17	1923.00	2106.75	USD	4,593.75	
17JUL17	B	7	PD170CT17	1925.00	2106.75	USD	31,806.25	
17JUL17	B	3	PD170CT17	1924.50	2106.75	USD	13,668.75	
17JUL17	S	1	PD170CT17	1926.00	2106.75	USD	-4,518.75	
17JUL17	S	1	PD170CT17	1927.00	2106.75	USD	-4,493.75	
17JUL17	B	2	PD170CT17	1927.00	2106.75	USD	8,987.50	
17JUL17	B	5	PD170CT17	1923.50	2106.75	USD	22,906.25	
17JUL17	B	1	PD170CT17	1912.00	2106.75	USD	4,868.75	
17JUL17	B	1	PD170CT17	1911.00	2106.75	USD	4,893.75	
17JUL17	S	1	PD170CT17	1904.00	2106.75	USD	-5,068.75	
17JUL17	S	1	PD170CT17	1906.50	2106.75	USD	-5,006.25	
17JUL17	S	2	PD170CT17	1915.00	2106.75	USD	-9,587.50	
17JUL17	S	1	PD170CT17	1913.00	2106.75	USD	-4,843.75	
17JUL17	S	2	PD170CT17	1914.00	2106.75	USD	-9,637.50	
17JUL17	S	1	PD170CT17	1913.50	2106.75	USD	-4,831.25	
17JUL17	S	1	PD170CT17	1912.50	2106.75	USD	-4,856.25	
19JUL17	S	3	PD170CT17	1919.83	2106.75	USD	-14,019.00	
	NET	0AVG BGHT 1924.74	SOLD 1924.60				-87.75	0.00
18JUL17	B	1	PD180CT17	1907.00	2107.25	USD	5,006.25	
18JUL17	S	1	PD180CT17	1905.50	2107.25	USD	-5,043.75	
18JUL17	S	1	PD180CT17	1908.50	2107.25	USD	-4,968.75	
18JUL17	B	1	PD180CT17	1903.00	2107.25	USD	5,106.25	
18JUL17	S	2	PD180CT17	1914.00	2107.25	USD	-9,662.50	
18JUL17	S	1	PD180CT17	1918.00	2107.25	USD	-4,731.25	
18JUL17	S	1	PD180CT17	1920.50	2107.25	USD	-4,668.75	
18JUL17	S	2	PD180CT17	1923.00	2107.25	USD	-9,212.50	
18JUL17	S	2	PD180CT17	1924.00	2107.25	USD	-9,162.50	
18JUL17	S	1	PD180CT17	1915.50	2107.25	USD	-4,793.75	
18JUL17	S	1	PD180CT17	1924.50	2107.25	USD	-4,568.75	
18JUL17	S	1	PD180CT17	1931.50	2107.25	USD	-4,393.75	
18JUL17	S	2	PD180CT17	1930.00	2107.25	USD	-8,862.50	
18JUL17	S	3	PD180CT17	1931.00	2107.25	USD	-13,218.75	
05SEP17	B	16	PD180CT17	2086.25	2107.25	USD	8,400.00	
	NET	0AVG BGHT 2066.11	SOLD 1922.17				-64,775.00	0.00

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19JUL17	B	3	PD19OCT17	1926.00	2107.75	USD	13,631.25	
19JUL17	S	1	PD19OCT17	1927.50	2107.75	USD	-4,506.25	
19JUL17	S	1	PD19OCT17	1931.50	2107.75	USD	-4,406.25	
19JUL17	S	2	PD19OCT17	1931.00	2107.75	USD	-8,837.50	
19JUL17	S	1	PD19OCT17	1935.00	2107.75	USD	-4,318.75	
19JUL17	S	3	PD19OCT17	1934.50	2107.75	USD	-12,993.75	
19JUL17	S	1	PD19OCT17	1936.00	2107.75	USD	-4,293.75	
19JUL17	S	1	PD19OCT17	1935.50	2107.75	USD	-4,306.25	
19JUL17	S	1	PD19OCT17	1937.50	2107.75	USD	-4,256.25	
19JUL17	B	1	PD19OCT17	1931.00	2107.75	USD	4,418.75	
19JUL17	B	1	PD19OCT17	1931.50	2107.75	USD	4,406.25	
19JUL17	B	1	PD19OCT17	1930.00	2107.75	USD	4,443.75	
19JUL17	B	2	PD19OCT17	1936.50	2107.75	USD	8,562.50	
19JUL17	B	1	PD19OCT17	1937.00	2107.75	USD	4,268.75	
19JUL17	B	4	PD19OCT17	1936.00	2107.75	USD	17,175.00	
19JUL17	B	1	PD19OCT17	1932.00	2107.75	USD	4,393.75	
19JUL17	S	1	PD19OCT17	1932.00	2107.75	USD	-4,393.75	
19JUL17	S	1	PD19OCT17	1934.00	2107.75	USD	-4,343.75	
19JUL17	S	1	PD19OCT17	1937.00	2107.75	USD	-4,268.75	
19JUL17	B	1	PD19OCT17	1937.50	2107.75	USD	4,256.25	
19JUL17	B	2	PD19OCT17	1935.50	2107.75	USD	8,612.50	
19JUL17	B	3	PD19OCT17	1934.00	2107.75	USD	13,031.25	
19JUL17	B	3	PD19OCT17	1932.50	2107.75	USD	13,143.75	
19JUL17	B	3	PD19OCT17	1933.50	2107.75	USD	13,068.75	
19JUL17	B	2	PD19OCT17	1929.00	2107.75	USD	8,937.50	
19JUL17	B	1	PD19OCT17	1928.00	2107.75	USD	4,493.75	
19JUL17	S	3	PD19OCT17	1928.50	2107.75	USD	-13,443.75	
19JUL17	B	1	PD19OCT17	1924.50	2107.75	USD	4,581.25	
19JUL17	B	1	PD19OCT17	1922.50	2107.75	USD	4,631.25	
19JUL17	B	1	PD19OCT17	1922.00	2107.75	USD	4,643.75	
19JUL17	S	1	PD19OCT17	1924.00	2107.75	USD	-4,593.75	
05SEP17	S	14	PD19OCT17	2085.80	2107.75	USD	-7,682.50	
	NET	0AVG BGHT 1931.88	SOLD 1999.44				54,055.00	0.00
20JUL17	S	3	PD20OCT17	1927.00	2108.25	USD	-13,593.75	
20JUL17	B	1	PD20OCT17	1928.00	2108.25	USD	4,506.25	
20JUL17	B	1	PD20OCT17	1925.00	2108.25	USD	4,581.25	
20JUL17	B	2	PD20OCT17	1924.50	2108.25	USD	9,187.50	
20JUL17	S	2	PD20OCT17	1927.50	2108.25	USD	-9,037.50	
20JUL17	S	1	PD20OCT17	1928.00	2108.25	USD	-4,506.25	
20JUL17	S	1	PD20OCT17	1928.50	2108.25	USD	-4,493.75	
20JUL17	B	1	PD20OCT17	1924.00	2108.25	USD	4,606.25	
20JUL17	B	1	PD20OCT17	1923.00	2108.25	USD	4,631.25	
20JUL17	B	2	PD20OCT17	1921.00	2108.25	USD	9,362.50	
20JUL17	B	1	PD20OCT17	1918.50	2108.25	USD	4,743.75	
21JUL17	S	1	PD20OCT17	1931.00	2108.25	USD	-4,431.25	
21JUL17	S	1	PD20OCT17	1932.50	2108.25	USD	-4,393.75	
21JUL17	B	1	PD20OCT17	1926.00	2108.25	USD	4,556.25	
21JUL17	B	1	PD20OCT17	1925.50	2108.25	USD	4,568.75	
05SEP17	S	2	PD20OCT17	2086.06	2108.25	USD	-1,109.50	
	NET	0AVG BGHT 1923.73	SOLD 1957.10				9,178.00	0.00
24JUL17	B	1	PD24OCT17	1921.50	2110.25	USD	4,718.75	
24JUL17	B	1	PD24OCT17	1921.00	2110.25	USD	4,731.25	
24JUL17	S	1	PD24OCT17	1923.00	2110.25	USD	-4,681.25	
24JUL17	S	1	PD24OCT17	1923.50	2110.25	USD	-4,668.75	
24JUL17	S	1	PD24OCT17	1922.00	2110.25	USD	-4,706.25	
24JUL17	B	1	PD24OCT17	1914.50	2110.25	USD	4,893.75	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 1919.00	SOLD 1922.83				287.50	0.00
25JUL17	S	1 PD250CT17		1924.00	2110.75	USD	-4,668.75	
25JUL17	B	1 PD250CT17		1919.50	2110.75	USD	4,781.25	
25JUL17	S	1 PD250CT17		1943.50	2110.75	USD	-4,181.25	
25JUL17	S	1 PD250CT17		1948.00	2110.75	USD	-4,068.75	
25JUL17	B	1 PD250CT17		1940.00	2110.75	USD	4,268.75	
25JUL17	S	4 PD250CT17		1939.50	2110.75	USD	-17,125.00	
25JUL17	B	1 PD250CT17		1939.50	2110.75	USD	4,281.25	
05SEP17	B	4 PD250CT17		2087.56	2110.75	USD	2,319.00	
	NET	0 AVG BGHT 2021.32	SOLD 1939.07				-14,393.50	0.00
26JUL17	S	2 PD260CT17		1957.50	2111.25	USD	-7,687.50	
26JUL17	S	2 PD260CT17		1958.00	2111.25	USD	-7,662.50	
26JUL17	B	3 PD260CT17		1945.00	2111.25	USD	12,468.75	
26JUL17	B	3 PD260CT17		1940.50	2111.25	USD	12,806.25	
26JUL17	S	1 PD260CT17		1956.00	2111.25	USD	-3,881.25	
26JUL17	B	1 PD260CT17		1956.50	2111.25	USD	3,868.75	
26JUL17	B	1 PD260CT17		1939.50	2111.25	USD	4,293.75	
26JUL17	B	1 PD260CT17		1939.00	2111.25	USD	4,306.25	
05SEP17	S	4 PD260CT17		2087.61	2111.25	USD	-2,364.00	
	NET	0 AVG BGHT 1943.50	SOLD 2015.27				16,148.50	0.00
27JUL17	S	1 PD270CT17		1944.50	2111.00	USD	-4,162.50	
27JUL17	S	1 PD270CT17		1949.00	2111.00	USD	-4,050.00	
27JUL17	S	1 PD270CT17		1951.00	2111.00	USD	-4,000.00	
27JUL17	B	1 PD270CT17		1946.50	2111.00	USD	4,112.50	
27JUL17	B	2 PD270CT17		1943.00	2111.00	USD	8,400.00	
28JUL17	B	1 PD270CT17		1926.00	2111.00	USD	4,625.00	
28JUL17	S	1 PD270CT17		1932.50	2111.00	USD	-4,462.50	
28JUL17	B	1 PD270CT17		1927.00	2111.00	USD	4,600.00	
28JUL17	S	2 PD270CT17		1931.00	2111.00	USD	-9,000.00	
28JUL17	S	1 PD270CT17		1931.50	2111.00	USD	-4,487.50	
28JUL17	B	1 PD270CT17		1925.00	2111.00	USD	4,650.00	
28JUL17	B	2 PD270CT17		1922.00	2111.00	USD	9,450.00	
28JUL17	B	1 PD270CT17		1923.00	2111.00	USD	4,700.00	
28JUL17	B	1 PD270CT17		1917.00	2111.00	USD	4,850.00	
28JUL17	B	1 PD270CT17		1914.50	2111.00	USD	4,912.50	
28JUL17	B	1 PD270CT17		1910.50	2111.00	USD	5,012.50	
28JUL17	S	1 PD270CT17		1918.50	2111.00	USD	-4,812.50	
28JUL17	S	1 PD270CT17		1920.00	2111.00	USD	-4,775.00	
28JUL17	S	1 PD270CT17		1921.50	2111.00	USD	-4,737.50	
28JUL17	S	1 PD270CT17		1921.00	2111.00	USD	-4,750.00	
28JUL17	S	1 PD270CT17		1922.00	2111.00	USD	-4,725.00	
28JUL17	B	1 PD270CT17		1911.00	2111.00	USD	5,000.00	
28JUL17	S	1 PD270CT17		1909.00	2111.00	USD	-5,050.00	
	NET	0 AVG BGHT 1925.42	SOLD 1929.42				1,300.00	0.00
31JUL17	B	2 PD310CT17		1921.50	2110.50	USD	9,450.00	
05SEP17	S	2 PD310CT17		2089.11	2110.50	USD	-1,069.50	
	NET	0 AVG BGHT 1921.50	SOLD 2089.11				8,380.50	0.00
01AUG17	B	2 PD01NOV17		1915.00	2110.81	USD	9,790.50	
01AUG17	B	1 PD01NOV17		1916.00	2110.81	USD	4,870.25	
01AUG17	B	2 PD01NOV17		1916.50	2110.81	USD	9,715.50	
01AUG17	B	1 PD01NOV17		1908.50	2110.81	USD	5,057.75	
01AUG17	B	1 PD01NOV17		1910.00	2110.81	USD	5,020.25	
01AUG17	S	1 PD01NOV17		1914.50	2110.81	USD	-4,907.75	
	NET	+6 AVG BGHT 1913.93	SOLD 1914.50				29,546.50	0.00
02AUG17	S	1 PD02NOV17		1915.00	2111.13	USD	-4,903.25	

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02AUG17	S	1	PD02NOV17	1916.50	2111.13	USD	-4,865.75	
02AUG17	S	1	PD02NOV17	1917.00	2111.13	USD	-4,853.25	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
02AUG17	S	4	PD02NOV17	1931.00	2111.13	USD	-18,013.00	
02AUG17	S	1	PD02NOV17	1932.00	2111.13	USD	-4,478.25	
02AUG17	S	2	PD02NOV17	1931.50	2111.13	USD	-8,981.50	
02AUG17	S	3	PD02NOV17	1933.50	2111.13	USD	-13,322.25	
02AUG17	S	2	PD02NOV17	1934.50	2111.13	USD	-8,831.50	
02AUG17	S	4	PD02NOV17	1934.00	2111.13	USD	-17,713.00	
02AUG17	S	1	PD02NOV17	1933.00	2111.13	USD	-4,453.25	
02AUG17	B	2	PD02NOV17	1923.50	2111.13	USD	9,381.50	
02AUG17	B	5	PD02NOV17	1923.00	2111.13	USD	23,516.25	
02AUG17	B	2	PD02NOV17	1920.00	2111.13	USD	9,556.50	
02AUG17	B	1	PD02NOV17	1928.00	2111.13	USD	4,578.25	
02AUG17	B	1	PD02NOV17	1932.00	2111.13	USD	4,478.25	
02AUG17	B	1	PD02NOV17	1930.00	2111.13	USD	4,528.25	
02AUG17	B	1	PD02NOV17	1927.50	2111.13	USD	4,590.75	
02AUG17	B	2	PD02NOV17	1924.50	2111.13	USD	9,331.50	
02AUG17	B	3	PD02NOV17	1922.00	2111.13	USD	14,184.75	
02AUG17	B	3	PD02NOV17	1921.00	2111.13	USD	14,259.75	
02AUG17	B	2	PD02NOV17	1925.00	2111.13	USD	9,306.50	
02AUG17	S	1	PD02NOV17	1918.50	2111.13	USD	-4,815.75	
02AUG17	S	1	PD02NOV17	1930.50	2111.13	USD	-4,515.75	
02AUG17	B	1	PD02NOV17	1925.50	2111.13	USD	4,640.75	
02AUG17	S	2	PD02NOV17	1929.00	2111.13	USD	-9,106.50	
02AUG17	S	1	PD02NOV17	1929.50	2111.13	USD	-4,540.75	
02AUG17	S	1	PD02NOV17	1929.00	2111.13	USD	-4,553.25	
02AUG17	B	3	PD02NOV17	1920.50	2111.13	USD	14,297.25	
02AUG17	B	2	PD02NOV17	1921.50	2111.13	USD	9,481.50	
02AUG17	S	2	PD02NOV17	1926.50	2111.13	USD	-9,231.50	
02AUG17	S	3	PD02NOV17	1926.00	2111.13	USD	-13,884.75	
05SEP17	B	1	PD02NOV17	2089.63	2111.13	USD	537.50	
05SEP17	B	2	PD02NOV17	2089.83	2111.13	USD	1,065.00	
	NET	0 AVG BGHT 1938.96	SOLD 1929.08				-7,907.25	0.00
03AUG17	B	5	PD03NOV17	1925.50	2111.44	USD	23,242.50	
03AUG17	S	2	PD03NOV17	1923.00	2111.44	USD	-9,422.00	
03AUG17	S	1	PD03NOV17	1922.50	2111.44	USD	-4,723.50	
03AUG17	B	1	PD03NOV17	1916.00	2111.44	USD	4,886.00	
03AUG17	B	1	PD03NOV17	1915.50	2111.44	USD	4,898.50	
03AUG17	B	2	PD03NOV17	1915.00	2111.44	USD	9,822.00	
03AUG17	S	1	PD03NOV17	1918.50	2111.44	USD	-4,823.50	
03AUG17	S	2	PD03NOV17	1917.50	2111.44	USD	-9,697.00	
03AUG17	S	3	PD03NOV17	1920.00	2111.44	USD	-14,358.00	
03AUG17	S	1	PD03NOV17	1920.50	2111.44	USD	-4,773.50	
03AUG17	S	2	PD03NOV17	1919.00	2111.44	USD	-9,622.00	
04AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
04AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
04AUG17	B	1	PD03NOV17	1915.00	2111.44	USD	4,911.00	
04AUG17	B	1	PD03NOV17	1914.00	2111.44	USD	4,936.00	
04AUG17	B	2	PD03NOV17	1913.00	2111.44	USD	9,922.00	
04AUG17	B	1	PD03NOV17	1906.50	2111.44	USD	5,123.50	
04AUG17	B	4	PD03NOV17	1906.00	2111.44	USD	20,544.00	
04AUG17	B	1	PD03NOV17	1905.00	2111.44	USD	5,161.00	
04AUG17	S	2	PD03NOV17	1911.50	2111.44	USD	-9,997.00	
04AUG17	B	1	PD03NOV17	1911.50	2111.44	USD	4,998.50	
04AUG17	B	4	PD03NOV17	1911.00	2111.44	USD	20,044.00	
04AUG17	B	3	PD03NOV17	1907.50	2111.44	USD	15,295.50	

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04AUG17	B	2	PD03NOV17	1907.00	2111.44	USD	10,222.00	
04AUG17	S	1	PD03NOV17	1911.00	2111.44	USD	-5,011.00	
04AUG17	S	2	PD03NOV17	1912.00	2111.44	USD	-9,972.00	
04AUG17	S	1	PD03NOV17	1912.50	2111.44	USD	-4,973.50	
04AUG17	S	1	PD03NOV17	1908.00	2111.44	USD	-5,086.00	
04AUG17	S	1	PD03NOV17	1909.50	2111.44	USD	-5,048.50	
04AUG17	S	4	PD03NOV17	1910.50	2111.44	USD	-20,094.00	
	NET	+7 AVG BGHT 1912.89	SOLD 1915.44				36,277.00	0.00
07AUG17	S	3	PD07NOV17	1923.00	2112.69	USD	-14,226.75	
07AUG17	S	5	PD07NOV17	1922.00	2112.69	USD	-23,836.25	
07AUG17	S	2	PD07NOV17	1931.00	2112.69	USD	-9,084.50	
07AUG17	S	3	PD07NOV17	1929.50	2112.69	USD	-13,739.25	
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	B	1	PD07NOV17	1923.50	2112.69	USD	4,729.75	
07AUG17	B	4	PD07NOV17	1924.50	2112.69	USD	18,819.00	
07AUG17	S	2	PD07NOV17	1928.00	2112.69	USD	-9,234.50	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.50	
07AUG17	S	2	PD07NOV17	1927.00	2112.69	USD	-9,284.50	
07AUG17	S	1	PD07NOV17	1933.00	2112.69	USD	-4,492.25	
07AUG17	S	2	PD07NOV17	1934.50	2112.69	USD	-8,909.50	
07AUG17	S	1	PD07NOV17	1935.50	2112.69	USD	-4,429.75	
07AUG17	S	2	PD07NOV17	1937.00	2112.69	USD	-8,784.50	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.50	
07AUG17	B	1	PD07NOV17	1922.50	2112.69	USD	4,754.75	
07AUG17	S	1	PD07NOV17	1937.50	2112.69	USD	-4,379.75	
07AUG17	S	3	PD07NOV17	1942.00	2112.69	USD	-12,801.75	
07AUG17	S	1	PD07NOV17	1942.50	2112.69	USD	-4,254.75	
07AUG17	S	1	PD07NOV17	1944.50	2112.69	USD	-4,204.75	
07AUG17	S	2	PD07NOV17	1945.50	2112.69	USD	-8,359.50	
07AUG17	S	1	PD07NOV17	1951.50	2112.69	USD	-4,029.75	
07AUG17	B	1	PD07NOV17	1953.50	2112.69	USD	3,979.75	
07AUG17	B	1	PD07NOV17	1951.00	2112.69	USD	4,042.25	
07AUG17	S	1	PD07NOV17	1954.00	2112.69	USD	-3,967.25	
07AUG17	S	1	PD07NOV17	1956.00	2112.69	USD	-3,917.25	
07AUG17	S	1	PD07NOV17	1961.00	2112.69	USD	-3,792.25	
07AUG17	S	1	PD07NOV17	1966.00	2112.69	USD	-3,667.25	
07AUG17	S	1	PD07NOV17	1967.00	2112.69	USD	-3,642.25	
07AUG17	S	1	PD07NOV17	1970.50	2112.69	USD	-3,554.75	
07AUG17	B	2	PD07NOV17	1975.00	2112.69	USD	6,884.50	
05SEP17	B	32	PD07NOV17	2090.93	2112.69	USD	17,408.00	
	NET	0 AVG BGHT 2054.96	SOLD 1936.51				-124,369.00	0.00
08AUG17	S	1	PD08NOV17	1976.00	2113.00	USD	-3,425.00	
08AUG17	S	1	PD08NOV17	1974.50	2113.00	USD	-3,462.50	
08AUG17	B	1	PD08NOV17	1976.00	2113.00	USD	3,425.00	
08AUG17	B	1	PD08NOV17	1978.00	2113.00	USD	3,375.00	
08AUG17	B	1	PD08NOV17	1980.50	2113.00	USD	3,312.50	
08AUG17	B	1	PD08NOV17	1977.00	2113.00	USD	3,400.00	
08AUG17	S	1	PD08NOV17	1983.00	2113.00	USD	-3,250.00	
08AUG17	S	1	PD08NOV17	1983.50	2113.00	USD	-3,237.50	
08AUG17	B	1	PD08NOV17	1975.50	2113.00	USD	3,437.50	
08AUG17	B	1	PD08NOV17	1972.50	2113.00	USD	3,512.50	
08AUG17	B	5	PD08NOV17	1973.00	2113.00	USD	17,500.00	
08AUG17	B	1	PD08NOV17	1974.00	2113.00	USD	3,475.00	
08AUG17	S	1	PD08NOV17	1984.50	2113.00	USD	-3,212.50	
08AUG17	S	1	PD08NOV17	1985.00	2113.00	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	1985.50	2113.00	USD	-3,187.50	
08AUG17	S	1	PD08NOV17	1988.50	2113.00	USD	-3,112.50	

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
08AUG17	S	2	PD08NOV17	1987.00	2113.00	USD	-6,300.00	
08AUG17	S	2	PD08NOV17	1992.00	2113.00	USD	-6,050.00	
08AUG17	S	1	PD08NOV17	1993.00	2113.00	USD	-3,000.00	
08AUG17	B	1	PD08NOV17	1992.00	2113.00	USD	3,025.00	
08AUG17	B	1	PD08NOV17	1999.00	2113.00	USD	2,850.00	
08AUG17	B	1	PD08NOV17	1990.00	2113.00	USD	3,075.00	
08AUG17	S	1	PD08NOV17	1993.50	2113.00	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	1994.50	2113.00	USD	-2,962.50	
08AUG17	S	2	PD08NOV17	1996.50	2113.00	USD	-5,825.00	
08AUG17	B	2	PD08NOV17	1991.50	2113.00	USD	6,075.00	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	B	3	PD08NOV17	1999.00	2113.00	USD	8,550.00	
08AUG17	B	1	PD08NOV17	2001.50	2113.00	USD	2,787.50	
08AUG17	B	1	PD08NOV17	2009.00	2113.00	USD	2,600.00	
08AUG17	S	1	PD08NOV17	1993.50	2113.00	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	2029.50	2113.00	USD	-2,087.50	
08AUG17	B	1	PD08NOV17	2027.00	2113.00	USD	2,150.00	
08AUG17	B	1	PD08NOV17	2026.50	2113.00	USD	2,162.50	
08AUG17	B	1	PD08NOV17	2029.00	2113.00	USD	2,100.00	
08AUG17	B	1	PD08NOV17	2028.00	2113.00	USD	2,125.00	
08AUG17	B	2	PD08NOV17	2028.50	2113.00	USD	4,225.00	
08AUG17	B	2	PD08NOV17	2027.50	2113.00	USD	4,275.00	
05SEP17	S	10	PD08NOV17	2090.99	2113.00	USD	-5,502.50	
	NET	0AVG BGHT 1996.42	SOLD 2024.08				20,747.50	0.00
09AUG17	S	1	PD09NOV17	2032.50	2113.46	USD	-2,024.00	
09AUG17	B	1	PD09NOV17	2030.50	2113.46	USD	2,074.00	
09AUG17	S	1	PD09NOV17	2031.50	2113.46	USD	-2,049.00	
09AUG17	B	1	PD09NOV17	2040.00	2113.46	USD	1,836.50	
09AUG17	B	1	PD09NOV17	2039.00	2113.46	USD	1,861.50	
09AUG17	B	1	PD09NOV17	2039.50	2113.46	USD	1,849.00	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50	
09AUG17	B	2	PD09NOV17	2033.50	2113.46	USD	3,998.00	
09AUG17	B	1	PD09NOV17	2033.00	2113.46	USD	2,011.50	
09AUG17	S	2	PD09NOV17	2040.00	2113.46	USD	-3,673.00	
09AUG17	B	1	PD09NOV17	2034.00	2113.46	USD	1,986.50	
09AUG17	B	1	PD09NOV17	2029.00	2113.46	USD	2,111.50	
09AUG17	B	1	PD09NOV17	2021.50	2113.46	USD	2,299.00	
09AUG17	B	2	PD09NOV17	2020.50	2113.46	USD	4,648.00	
09AUG17	B	1	PD09NOV17	2015.00	2113.46	USD	2,461.50	
09AUG17	B	1	PD09NOV17	2016.00	2113.46	USD	2,436.50	
09AUG17	B	1	PD09NOV17	2021.00	2113.46	USD	2,311.50	
05SEP17	S	10	PD09NOV17	2091.24	2113.46	USD	-5,555.00	
	NET	0AVG BGHT 2028.43	SOLD 2072.89				16,672.50	0.00
10AUG17	S	3	PD10NOV17	2030.00	2113.93	USD	-6,294.75	
10AUG17	S	2	PD10NOV17	2029.50	2113.93	USD	-4,221.50	
10AUG17	B	1	PD10NOV17	2025.00	2113.93	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2025.50	2113.93	USD	2,210.75	
10AUG17	B	1	PD10NOV17	2021.50	2113.93	USD	2,310.75	
10AUG17	S	1	PD10NOV17	2026.50	2113.93	USD	-2,185.75	
10AUG17	S	1	PD10NOV17	2028.50	2113.93	USD	-2,135.75	
10AUG17	S	1	PD10NOV17	2028.00	2113.93	USD	-2,148.25	
10AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
10AUG17	S	1	PD10NOV17	2034.50	2113.93	USD	-1,985.75	
10AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
10AUG17	S	3	PD10NOV17	2036.50	2113.93	USD	-5,807.25	
10AUG17	S	3	PD10NOV17	2037.00	2113.93	USD	-5,769.75	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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10AUG17	S	1	PD10NOV17	2039.00	2113.93	USD	-1,873.25	
10AUG17	S	1	PD10NOV17	2043.00	2113.93	USD	-1,773.25	
10AUG17	B	1	PD10NOV17	2029.50	2113.93	USD	2,110.75	
10AUG17	S	2	PD10NOV17	2034.00	2113.93	USD	-3,996.50	
10AUG17	B	2	PD10NOV17	2022.00	2113.93	USD	4,596.50	
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	2	PD10NOV17	2024.00	2113.93	USD	4,496.50	
10AUG17	B	1	PD10NOV17	2023.50	2113.93	USD	2,260.75	
10AUG17	B	4	PD10NOV17	2026.00	2113.93	USD	8,793.00	
10AUG17	B	1	PD10NOV17	2028.00	2113.93	USD	2,148.25	
10AUG17	B	1	PD10NOV17	2028.50	2113.93	USD	2,135.75	
10AUG17	B	1	PD10NOV17	2030.50	2113.93	USD	2,085.75	
10AUG17	B	1	PD10NOV17	2032.50	2113.93	USD	2,035.75	
10AUG17	B	1	PD10NOV17	2032.00	2113.93	USD	2,048.25	
10AUG17	S	2	PD10NOV17	2033.50	2113.93	USD	-4,021.50	
10AUG17	S	1	PD10NOV17	2030.50	2113.93	USD	-2,085.75	
10AUG17	S	2	PD10NOV17	2035.00	2113.93	USD	-3,946.50	
10AUG17	S	5	PD10NOV17	2039.50	2113.93	USD	-9,303.75	
10AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
10AUG17	B	1	PD10NOV17	2038.00	2113.93	USD	1,898.25	
10AUG17	B	2	PD10NOV17	2039.50	2113.93	USD	3,721.50	
10AUG17	B	2	PD10NOV17	2040.50	2113.93	USD	3,671.50	
10AUG17	B	1	PD10NOV17	2040.00	2113.93	USD	1,848.25	
10AUG17	B	1	PD10NOV17	2036.50	2113.93	USD	1,935.75	
10AUG17	B	2	PD10NOV17	2033.50	2113.93	USD	4,021.50	
11AUG17	S	1	PD10NOV17	2024.00	2113.93	USD	-2,248.25	
11AUG17	S	1	PD10NOV17	2025.50	2113.93	USD	-2,210.75	
11AUG17	S	1	PD10NOV17	2028.50	2113.93	USD	-2,135.75	
11AUG17	S	1	PD10NOV17	2030.50	2113.93	USD	-2,085.75	
11AUG17	S	1	PD10NOV17	2032.00	2113.93	USD	-2,048.25	
11AUG17	S	1	PD10NOV17	2036.50	2113.93	USD	-1,935.75	
11AUG17	S	1	PD10NOV17	2041.00	2113.93	USD	-1,823.25	
11AUG17	S	1	PD10NOV17	2039.50	2113.93	USD	-1,860.75	
11AUG17	S	1	PD10NOV17	2042.50	2113.93	USD	-1,785.75	
11AUG17	S	1	PD10NOV17	2045.00	2113.93	USD	-1,723.25	
11AUG17	S	1	PD10NOV17	2045.50	2113.93	USD	-1,710.75	
11AUG17	S	4	PD10NOV17	2042.00	2113.93	USD	-7,193.00	
11AUG17	S	1	PD10NOV17	2043.00	2113.93	USD	-1,773.25	
11AUG17	S	1	PD10NOV17	2044.50	2113.93	USD	-1,735.75	
11AUG17	S	1	PD10NOV17	2046.00	2113.93	USD	-1,698.25	
11AUG17	S	4	PD10NOV17	2041.50	2113.93	USD	-7,243.00	
11AUG17	S	3	PD10NOV17	2040.50	2113.93	USD	-5,507.25	
11AUG17	B	1	PD10NOV17	2042.50	2113.93	USD	1,785.75	
11AUG17	S	2	PD10NOV17	2043.50	2113.93	USD	-3,521.50	
11AUG17	B	3	PD10NOV17	2048.00	2113.93	USD	4,944.75	
11AUG17	B	2	PD10NOV17	2047.00	2113.93	USD	3,346.50	
11AUG17	B	1	PD10NOV17	2046.50	2113.93	USD	1,685.75	
11AUG17	B	2	PD10NOV17	2045.50	2113.93	USD	3,421.50	
11AUG17	B	1	PD10NOV17	2045.00	2113.93	USD	1,723.25	
11AUG17	B	1	PD10NOV17	2044.00	2113.93	USD	1,748.25	
11AUG17	B	1	PD10NOV17	2043.50	2113.93	USD	1,760.75	
11AUG17	B	3	PD10NOV17	2043.50	2113.93	USD	5,282.25	
05SEP17	B	15	PD10NOV17	2091.70	2113.93	USD	8,336.25	
	NET	0AVG BGHT 2049.75	SOLD 2036.87				-18,987.50	0.00
14AUG17	B	1	PD14NOV17	2035.00	2115.79	USD	2,019.75	
14AUG17	B	1	PD14NOV17	2033.50	2115.79	USD	2,057.25	
14AUG17	B	1	PD14NOV17	2035.50	2115.79	USD	2,007.25	

OPEN POSITION STATEMENT



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SAP083XA

11th October 2017

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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14AUG17	B	2	PD14NOV17	2032.50	2115.79	USD	4,164.50	
14AUG17	B	1	PD14NOV17	2027.50	2115.79	USD	2,207.25	
14AUG17	B	1	PD14NOV17	2030.00	2115.79	USD	2,144.75	
14AUG17	S	1	PD14NOV17	2034.50	2115.79	USD	-2,032.25	
14AUG17	B	1	PD14NOV17	2034.50	2115.79	USD	2,032.25	
14AUG17	B	1	PD14NOV17	2029.50	2115.79	USD	2,157.25	
14AUG17	B	1	PD14NOV17	2033.50	2115.79	USD	2,057.25	
14AUG17	B	2	PD14NOV17	2031.50	2115.79	USD	4,214.50	
14AUG17	B	1	PD14NOV17	2024.50	2115.79	USD	2,282.25	
14AUG17	B	1	PD14NOV17	2025.00	2115.79	USD	2,269.75	
14AUG17	B	1	PD14NOV17	2025.50	2115.79	USD	2,257.25	
14AUG17	B	1	PD14NOV17	2025.50	2115.79	USD	2,257.25	
05SEP17	S	15	PD14NOV17	2092.54	2115.79	USD	-8,718.75	
	NET	0 AVG BGHT 2030.47	SOLD 2088.91				23,377.50	0.00
16AUG17	S	1	PD16NOV17	2062.00	2116.56	USD	-1,364.00	
16AUG17	S	3	PD16NOV17	2070.50	2116.56	USD	-3,454.50	
16AUG17	B	1	PD16NOV17	2063.00	2116.56	USD	1,339.00	
16AUG17	B	1	PD16NOV17	2062.50	2116.56	USD	1,351.50	
16AUG17	B	1	PD16NOV17	2058.50	2116.56	USD	1,451.50	
16AUG17	B	2	PD16NOV17	2067.50	2116.56	USD	2,453.00	
16AUG17	S	1	PD16NOV17	2061.00	2116.56	USD	-1,389.00	
	NET	0 AVG BGHT 2063.80	SOLD 2066.90				387.50	0.00
17AUG17	S	1	PD17NOV17	2073.00	2116.87	USD	-1,096.75	
17AUG17	B	1	PD17NOV17	2068.00	2116.87	USD	1,221.75	
18AUG17	S	2	PD17NOV17	2083.50	2116.87	USD	-1,668.50	
18AUG17	S	2	PD17NOV17	2090.00	2116.87	USD	-1,343.50	
18AUG17	S	2	PD17NOV17	2093.50	2116.87	USD	-1,168.50	
18AUG17	B	1	PD17NOV17	2097.50	2116.87	USD	484.25	
18AUG17	S	2	PD17NOV17	2097.00	2116.87	USD	-993.50	
18AUG17	S	4	PD17NOV17	2095.00	2116.87	USD	-2,187.00	
18AUG17	S	1	PD17NOV17	2083.00	2116.87	USD	-846.75	
18AUG17	B	1	PD17NOV17	2081.00	2116.87	USD	896.75	
18AUG17	B	2	PD17NOV17	2084.00	2116.87	USD	1,643.50	
18AUG17	S	3	PD17NOV17	2095.00	2116.87	USD	-1,640.25	
18AUG17	B	1	PD17NOV17	2089.00	2116.87	USD	696.75	
18AUG17	B	1	PD17NOV17	2090.00	2116.87	USD	671.75	
18AUG17	S	1	PD17NOV17	2061.50	2116.87	USD	-1,384.25	
18AUG17	B	1	PD17NOV17	2062.00	2116.87	USD	1,371.75	
18AUG17	S	2	PD17NOV17	2062.50	2116.87	USD	-2,718.50	
18AUG17	B	2	PD17NOV17	2063.00	2116.87	USD	2,693.50	
05SEP17	B	10	PD17NOV17	2091.75	2116.87	USD	6,280.00	
	NET	0 AVG BGHT 2084.95	SOLD 2086.78				912.50	0.00
21AUG17	S	1	PD21NOV17	2091.00	2118.10	USD	-677.50	
21AUG17	S	1	PD21NOV17	2094.50	2118.10	USD	-590.00	
21AUG17	S	1	PD21NOV17	2093.00	2118.10	USD	-627.50	
21AUG17	S	2	PD21NOV17	2092.50	2118.10	USD	-1,280.00	
21AUG17	B	1	PD21NOV17	2090.00	2118.10	USD	702.50	
21AUG17	B	1	PD21NOV17	2084.50	2118.10	USD	840.00	
21AUG17	B	1	PD21NOV17	2085.00	2118.10	USD	827.50	
21AUG17	B	2	PD21NOV17	2079.00	2118.10	USD	1,955.00	
21AUG17	B	3	PD21NOV17	2080.00	2118.10	USD	2,857.50	
21AUG17	B	1	PD21NOV17	2082.50	2118.10	USD	890.00	
21AUG17	S	2	PD21NOV17	2094.00	2118.10	USD	-1,205.00	
21AUG17	S	1	PD21NOV17	2078.50	2118.10	USD	-990.00	
21AUG17	B	1	PD21NOV17	2068.50	2118.10	USD	1,240.00	
05SEP17	S	2	PD21NOV17	2092.49	2118.10	USD	-1,280.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
	NET	0 AVG	BGHT	2080.85				2,662.00	0.00
				SOLD 2091.50					
22AUG17	B	1	PD22NOV17		2073.00	2118.41	USD	1,135.25	
22AUG17	B	1	PD22NOV17		2074.00	2118.41	USD	1,110.25	
22AUG17	S	1	PD22NOV17		2074.00	2118.41	USD	-1,110.25	
22AUG17	B	1	PD22NOV17		2077.00	2118.41	USD	1,035.25	
22AUG17	S	1	PD22NOV17		2082.00	2118.41	USD	-910.25	
22AUG17	S	1	PD22NOV17		2079.50	2118.41	USD	-972.75	
22AUG17	S	1	PD22NOV17		2082.50	2118.41	USD	-897.75	
22AUG17	S	2	PD22NOV17		2083.00	2118.41	USD	-1,770.50	
22AUG17	B	1	PD22NOV17		2078.50	2118.41	USD	997.75	
22AUG17	B	1	PD22NOV17		2079.00	2118.41	USD	985.25	
22AUG17	B	1	PD22NOV17		2080.00	2118.41	USD	960.25	
22AUG17	B	1	PD22NOV17		2080.50	2118.41	USD	947.75	
22AUG17	B	1	PD22NOV17		2075.50	2118.41	USD	1,072.75	
05SEP17	S	2	PD22NOV17		2092.73	2118.41	USD	-1,284.00	
	NET	0 AVG	BGHT	2077.19				1,299.00	0.00
				SOLD 2083.68					
23AUG17	B	2	PD24NOV17		2072.00	2119.03	USD	2,351.50	
23AUG17	S	1	PD24NOV17		2064.50	2119.03	USD	-1,363.25	
23AUG17	S	1	PD24NOV17		2062.00	2119.03	USD	-1,425.75	
23AUG17	B	1	PD24NOV17		2063.00	2119.03	USD	1,400.75	
23AUG17	S	1	PD24NOV17		2069.00	2119.03	USD	-1,250.75	
23AUG17	S	1	PD24NOV17		2069.50	2119.03	USD	-1,238.25	
23AUG17	S	3	PD24NOV17		2070.50	2119.03	USD	-3,639.75	
23AUG17	S	1	PD24NOV17		2072.50	2119.03	USD	-1,163.25	
23AUG17	S	1	PD24NOV17		2073.00	2119.03	USD	-1,150.75	
23AUG17	S	1	PD24NOV17		2080.00	2119.03	USD	-975.75	
23AUG17	S	2	PD24NOV17		2082.00	2119.03	USD	-1,851.50	
23AUG17	S	1	PD24NOV17		2083.00	2119.03	USD	-900.75	
23AUG17	B	1	PD24NOV17		2072.50	2119.03	USD	1,163.25	
23AUG17	S	1	PD24NOV17		2091.00	2119.03	USD	-700.75	
23AUG17	B	1	PD24NOV17		2106.00	2119.03	USD	325.75	
23AUG17	B	2	PD24NOV17		2120.00	2119.03	USD	-48.50	
23AUG17	B	1	PD24NOV17		2117.50	2119.03	USD	38.25	
23AUG17	B	1	PD24NOV17		2112.50	2119.03	USD	163.25	
23AUG17	B	2	PD24NOV17		2108.00	2119.03	USD	551.50	
23AUG17	B	1	PD24NOV17		2109.00	2119.03	USD	250.75	
23AUG17	B	1	PD24NOV17		2105.00	2119.03	USD	350.75	
24AUG17	B	1	PD24NOV17		2101.00	2119.03	USD	450.75	
24AUG17	B	2	PD24NOV17		2103.00	2119.03	USD	801.50	
24AUG17	B	4	PD24NOV17		2101.50	2119.03	USD	1,753.00	
05SEP17	S	6	PD24NOV17		2093.20	2119.03	USD	-3,874.50	
	NET	0 AVG	BGHT	2099.93				-9,982.50	0.00
				SOLD 2079.96					
29AUG17	S	1	PD29NOV17		2085.00	2120.57	USD	-889.25	
29AUG17	S	2	PD29NOV17		2098.50	2120.57	USD	-1,103.50	
29AUG17	S	2	PD29NOV17		2101.00	2120.57	USD	-978.50	
29AUG17	B	1	PD29NOV17		2093.50	2120.57	USD	676.75	
29AUG17	B	1	PD29NOV17		2094.50	2120.57	USD	651.75	
29AUG17	B	3	PD29NOV17		2095.00	2120.57	USD	1,917.75	
	NET	0 AVG	BGHT	2094.60				275.00	0.00
				SOLD 2096.80					
30AUG17	S	3	PD30NOV17		2091.50	2120.88	USD	-2,203.50	
30AUG17	S	2	PD30NOV17		2096.50	2120.88	USD	-1,219.00	
30AUG17	S	2	PD30NOV17		2099.50	2120.88	USD	-1,069.00	
30AUG17	B	3	PD30NOV17		2089.00	2120.88	USD	2,391.00	
31AUG17	S	1	PD30NOV17		2101.00	2120.88	USD	-497.00	
31AUG17	S	2	PD30NOV17		2116.50	2120.88	USD	-219.00	
31AUG17	S	1	PD30NOV17		2116.00	2120.88	USD	-122.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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31AUG17	S	1	PD30NOV17	2115.50	2120.88	USD	-134.50	
31AUG17	S	2	PD30NOV17	2125.50	2120.88	USD	231.00	
31AUG17	S	1	PD30NOV17	2136.50	2120.88	USD	390.50	
31AUG17	S	3	PD30NOV17	2136.00	2120.88	USD	1,134.00	
31AUG17	S	1	PD30NOV17	2137.50	2120.88	USD	415.50	
31AUG17	S	2	PD30NOV17	2138.00	2120.88	USD	856.00	
31AUG17	S	1	PD30NOV17	2100.00	2120.88	USD	-522.00	
31AUG17	S	1	PD30NOV17	2137.50	2120.88	USD	415.50	
31AUG17	B	1	PD30NOV17	2124.00	2120.88	USD	-78.00	
31AUG17	B	1	PD30NOV17	2128.50	2120.88	USD	-190.50	
31AUG17	B	1	PD30NOV17	2128.00	2120.88	USD	-178.00	
31AUG17	B	1	PD30NOV17	2125.50	2120.88	USD	-115.50	
31AUG17	B	1	PD30NOV17	2124.50	2120.88	USD	-90.50	
31AUG17	B	1	PD30NOV17	2121.00	2120.88	USD	-3.00	
31AUG17	B	1	PD30NOV17	2118.50	2120.88	USD	59.50	
	NET	-13 AVG BGHT 2113.70	SOLD 2116.46				-748.50	0.00
01SEP17	B	1	PD01DEC17	2133.00	2121.19	USD	-295.25	
01SEP17	B	1	PD01DEC17	2135.50	2121.19	USD	-357.75	
01SEP17	B	1	PD01DEC17	2123.50	2121.19	USD	-57.75	
01SEP17	B	1	PD01DEC17	2124.00	2121.19	USD	-70.25	
01SEP17	B	1	PD01DEC17	2126.50	2121.19	USD	-132.75	
01SEP17	B	2	PD01DEC17	2129.00	2121.19	USD	-390.50	
05SEP17	S	7	PD01DEC17	2094.86	2121.19	USD	-4,607.75	
	NET	0 AVG BGHT 2128.64	SOLD 2094.86				-5,912.00	0.00
04SEP17	B	2	PD04DEC17	2128.00	2122.12	USD	-294.00	
04SEP17	B	1	PD04DEC17	2129.50	2122.12	USD	-184.50	
04SEP17	B	2	PD04DEC17	2130.00	2122.12	USD	-394.00	
04SEP17	B	1	PD04DEC17	2128.50	2122.12	USD	-159.50	
04SEP17	S	2	PD04DEC17	2121.00	2122.12	USD	-56.00	
04SEP17	S	1	PD04DEC17	2128.00	2122.12	USD	147.00	
04SEP17	S	1	PD04DEC17	2126.50	2122.12	USD	109.50	
04SEP17	B	3	PD04DEC17	2120.00	2122.12	USD	159.00	
04SEP17	B	1	PD04DEC17	2119.00	2122.12	USD	78.00	
05SEP17	S	6	PD04DEC17	2095.56	2122.12	USD	-3,984.00	
	NET	0 AVG BGHT 2125.30	SOLD 2106.99				-4,578.50	0.00
05SEP17	S	1	PD05DEC17	2107.00	2122.43	USD	-385.75	
05SEP17	S	1	PD05DEC17	2111.00	2122.43	USD	-285.75	
05SEP17	S	1	PD05DEC17	2112.00	2122.43	USD	-260.75	
05SEP17	S	1	PD05DEC17	2113.50	2122.43	USD	-223.25	
05SEP17	S	3	PD05DEC17	2115.00	2122.43	USD	-557.25	
05SEP17	S	1	PD05DEC17	2116.00	2122.43	USD	-160.75	
05SEP17	S	2	PD05DEC17	2115.50	2122.43	USD	-346.50	
05SEP17	B	3	PD05DEC17	2109.50	2122.43	USD	969.75	
05SEP17	B	2	PD05DEC17	2109.00	2122.43	USD	671.50	
05SEP17	B	1	PD05DEC17	2108.00	2122.43	USD	360.75	
05SEP17	B	1	PD05DEC17	2106.00	2122.43	USD	410.75	
05SEP17	B	1	PD05DEC17	2103.00	2122.43	USD	485.75	
05SEP17	B	1	PD05DEC17	2107.00	2122.43	USD	385.75	
05SEP17	B	2	PD05DEC17	2105.00	2122.43	USD	871.50	
05SEP17	B	1	PD05DEC17	2104.00	2122.43	USD	460.75	
05SEP17	S	3	PD05DEC17	2116.50	2122.43	USD	-444.75	
05SEP17	S	2	PD05DEC17	2117.50	2122.43	USD	-246.50	
05SEP17	B	2	PD05DEC17	2095.50	2122.43	USD	1,346.50	
05SEP17	B	1	PD05DEC17	2096.50	2122.43	USD	648.25	
	NET	0 AVG BGHT 2104.80	SOLD 2114.67				3,700.00	0.00
06SEP17	B	2	PD06DEC17	2076.00	2122.74	USD	2,337.00	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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06SEP17	S	1	PD06DEC17	2083.00	2122.74	USD	-993.50	
06SEP17	S	2	PD06DEC17	2081.00	2122.74	USD	-2,087.00	
06SEP17	S	1	PD06DEC17	2083.50	2122.74	USD	-981.00	
06SEP17	B	1	PD06DEC17	2084.50	2122.74	USD	956.00	
06SEP17	B	1	PD06DEC17	2082.50	2122.74	USD	1,006.00	
06SEP17	S	2	PD06DEC17	2102.50	2122.74	USD	-1,012.00	
06SEP17	B	2	PD06DEC17	2113.50	2122.74	USD	462.00	
	NET	0 AVG BGHT 2091.00	SOLD 2088.92				-312.50	0.00
07SEP17	B	1	PD07DEC17	2105.00	2123.04	USD	451.00	
07SEP17	B	3	PD07DEC17	2098.50	2123.04	USD	1,840.50	
07SEP17	B	1	PD07DEC17	2098.00	2123.04	USD	626.00	
07SEP17	B	5	PD07DEC17	2093.00	2123.04	USD	3,755.00	
07SEP17	B	1	PD07DEC17	2092.00	2123.04	USD	776.00	
07SEP17	S	1	PD07DEC17	2102.00	2123.04	USD	-526.00	
07SEP17	S	2	PD07DEC17	2109.00	2123.04	USD	-702.00	
07SEP17	S	1	PD07DEC17	2107.50	2123.04	USD	-388.50	
07SEP17	S	1	PD07DEC17	2106.00	2123.04	USD	-426.00	
07SEP17	S	2	PD07DEC17	2108.00	2123.04	USD	-752.00	
07SEP17	S	3	PD07DEC17	2110.50	2123.04	USD	-940.50	
07SEP17	S	1	PD07DEC17	2109.50	2123.04	USD	-338.50	
	NET	0 AVG BGHT 2095.95	SOLD 2108.23				3,375.00	0.00
08SEP17	B	2	PD08DEC17	2086.50	2123.35	USD	1,842.50	
08SEP17	B	1	PD08DEC17	2086.00	2123.35	USD	933.75	
08SEP17	S	1	PD08DEC17	2094.00	2123.35	USD	-733.75	
08SEP17	S	1	PD08DEC17	2095.00	2123.35	USD	-708.75	
08SEP17	S	2	PD08DEC17	2101.00	2123.35	USD	-1,117.50	
08SEP17	S	2	PD08DEC17	2101.50	2123.35	USD	-1,092.50	
08SEP17	S	1	PD08DEC17	2104.00	2123.35	USD	-483.75	
08SEP17	S	2	PD08DEC17	2096.50	2123.35	USD	-1,342.50	
08SEP17	S	1	PD08DEC17	2089.50	2123.35	USD	-846.25	
08SEP17	S	1	PD08DEC17	2094.50	2123.35	USD	-721.25	
08SEP17	B	1	PD08DEC17	2093.50	2123.35	USD	746.25	
08SEP17	S	1	PD08DEC17	2095.50	2123.35	USD	-696.25	
08SEP17	B	2	PD08DEC17	2093.00	2123.35	USD	1,517.50	
08SEP17	B	2	PD08DEC17	2092.00	2123.35	USD	1,567.50	
14SEP17	B	4	PD08DEC17	2096.40	2123.35	USD	2,695.00	
	NET	0 AVG BGHT 2092.34	SOLD 2097.54				1,560.00	0.00
11SEP17	B	3	PD11DEC17	2106.00	2124.28	USD	1,371.00	
11SEP17	S	1	PD11DEC17	2126.50	2124.28	USD	55.50	
11SEP17	S	1	PD11DEC17	2127.00	2124.28	USD	68.00	
11SEP17	S	1	PD11DEC17	2129.00	2124.28	USD	118.00	
11SEP17	S	1	PD11DEC17	2135.50	2124.28	USD	280.50	
11SEP17	S	1	PD11DEC17	2135.00	2124.28	USD	268.00	
11SEP17	B	1	PD11DEC17	2126.00	2124.28	USD	-43.00	
11SEP17	B	1	PD11DEC17	2124.00	2124.28	USD	7.00	
11SEP17	B	1	PD11DEC17	2121.00	2124.28	USD	82.00	
11SEP17	B	1	PD11DEC17	2118.50	2124.28	USD	144.50	
11SEP17	B	1	PD11DEC17	2114.00	2124.28	USD	257.00	
11SEP17	B	1	PD11DEC17	2126.50	2124.28	USD	-55.50	
11SEP17	S	1	PD11DEC17	2125.50	2124.28	USD	30.50	
11SEP17	B	1	PD11DEC17	2123.00	2124.28	USD	32.00	
14SEP17	S	4	PD11DEC17	2097.00	2124.28	USD	-2,728.00	
	NET	0 AVG BGHT 2117.10	SOLD 2116.65				-112.50	0.00
12SEP17	S	1	PD12DEC17	2126.00	2124.59	USD	35.25	
12SEP17	S	1	PD12DEC17	2125.00	2124.59	USD	10.25	
12SEP17	S	1	PD12DEC17	2122.50	2124.59	USD	-52.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12SEP17	S	1	PD12DEC17	2120.50	2124.59	USD	-102.25	
12SEP17	S	1	PD12DEC17	2121.00	2124.59	USD	-89.75	
12SEP17	S	1	PD12DEC17	2120.00	2124.59	USD	-114.75	
12SEP17	S	2	PD12DEC17	2119.50	2124.59	USD	-254.50	
12SEP17	B	1	PD12DEC17	2117.00	2124.59	USD	189.75	
12SEP17	B	1	PD12DEC17	2117.00	2124.59	USD	189.75	
12SEP17	S	1	PD12DEC17	2126.50	2124.59	USD	47.75	
12SEP17	S	2	PD12DEC17	2136.50	2124.59	USD	595.50	
12SEP17	S	2	PD12DEC17	2133.50	2124.59	USD	445.50	
12SEP17	S	1	PD12DEC17	2135.00	2124.59	USD	260.25	
12SEP17	B	1	PD12DEC17	2129.00	2124.59	USD	-110.25	
12SEP17	B	1	PD12DEC17	2126.00	2124.59	USD	-35.25	
12SEP17	B	4	PD12DEC17	2130.00	2124.59	USD	-541.00	
12SEP17	S	2	PD12DEC17	2138.00	2124.59	USD	670.50	
12SEP17	S	2	PD12DEC17	2137.00	2124.59	USD	620.50	
12SEP17	S	2	PD12DEC17	2138.50	2124.59	USD	695.50	
14SEP17	B	12	PD12DEC17	2097.47	2124.59	USD	8,136.00	
	NET	0AVG BGHT 2108.93	SOLD 2130.13				10,596.50	0.00
13SEP17	B	1	PD13DEC17	2132.50	2124.90	USD	-190.00	
13SEP17	B	1	PD13DEC17	2132.00	2124.90	USD	-177.50	
13SEP17	B	1	PD13DEC17	2129.50	2124.90	USD	-115.00	
13SEP17	B	2	PD13DEC17	2135.00	2124.90	USD	-505.00	
13SEP17	B	1	PD13DEC17	2134.00	2124.90	USD	-227.50	
13SEP17	B	2	PD13DEC17	2131.50	2124.90	USD	-330.00	
13SEP17	B	2	PD13DEC17	2126.00	2124.90	USD	-55.00	
13SEP17	B	1	PD13DEC17	2128.00	2124.90	USD	-77.50	
13SEP17	B	1	PD13DEC17	2128.50	2124.90	USD	-90.00	
13SEP17	B	1	PD13DEC17	2114.50	2124.90	USD	260.00	
13SEP17	S	1	PD13DEC17	2119.50	2124.90	USD	-135.00	
14SEP17	S	12	PD13DEC17	2097.53	2124.90	USD	-8,211.00	
	NET	0AVG BGHT 2129.54	SOLD 2099.22				-9,853.50	0.00
14SEP17	S	1	PD14DEC17	2123.00	2125.21	USD	-55.25	
14SEP17	B	1	PD14DEC17	2114.50	2125.21	USD	267.75	
14SEP17	B	1	PD14DEC17	2105.50	2125.21	USD	492.75	
14SEP17	B	2	PD14DEC17	2091.50	2125.21	USD	1,685.50	
14SEP17	B	2	PD14DEC17	2089.50	2125.21	USD	1,785.50	
14SEP17	B	2	PD14DEC17	2081.50	2125.21	USD	2,185.50	
14SEP17	S	2	PD14DEC17	2081.00	2125.21	USD	-2,210.50	
14SEP17	S	1	PD14DEC17	2090.50	2125.21	USD	-867.75	
14SEP17	S	1	PD14DEC17	2092.00	2125.21	USD	-830.25	
14SEP17	S	2	PD14DEC17	2095.00	2125.21	USD	-1,510.50	
14SEP17	S	1	PD14DEC17	2091.50	2125.21	USD	-842.75	
	NET	0AVG BGHT 2093.13	SOLD 2093.63				100.00	0.00
15SEP17	B	1	PD15DEC17	2094.00	2125.51	USD	787.75	
15SEP17	S	3	PD15DEC17	2091.50	2125.51	USD	-2,550.75	
15SEP17	B	2	PD15DEC17	2087.00	2125.51	USD	1,925.50	
15SEP17	B	1	PD15DEC17	2082.50	2125.51	USD	1,075.25	
15SEP17	B	1	PD15DEC17	2078.00	2125.51	USD	1,187.75	
15SEP17	B	2	PD15DEC17	2076.00	2125.51	USD	2,475.50	
15SEP17	S	2	PD15DEC17	2075.00	2125.51	USD	-2,525.50	
15SEP17	B	1	PD15DEC17	2072.00	2125.51	USD	1,337.75	
15SEP17	S	1	PD15DEC17	2078.00	2125.51	USD	-1,187.75	
15SEP17	S	1	PD15DEC17	2079.00	2125.51	USD	-1,162.75	
15SEP17	S	1	PD15DEC17	2092.00	2125.51	USD	-837.75	
15SEP17	S	3	PD15DEC17	2088.50	2125.51	USD	-2,775.75	
15SEP17	S	2	PD15DEC17	2088.00	2125.51	USD	-1,875.50	

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15SEP17	S	2	PD15DEC17	2089.00	2125.51	USD	-1,825.50	
	NET	-7 AVG BGHT 2081.56	SOLD 2086.20				-5,951.75	0.00
18SEP17	B	1	PD18DEC17	2093.00	2126.44	USD	836.00	
18SEP17	B	1	PD18DEC17	2094.00	2126.44	USD	811.00	
18SEP17	B	1	PD18DEC17	2091.50	2126.44	USD	873.50	
18SEP17	B	4	PD18DEC17	2092.50	2126.44	USD	3,394.00	
18SEP17	S	1	PD18DEC17	2105.50	2126.44	USD	-523.50	
18SEP17	B	4	PD18DEC17	2093.50	2126.44	USD	3,294.00	
18SEP17	B	1	PD18DEC17	2090.50	2126.44	USD	898.50	
18SEP17	S	2	PD18DEC17	2094.50	2126.44	USD	-1,597.00	
18SEP17	S	1	PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	B	1	PD18DEC17	2090.00	2126.44	USD	911.00	
18SEP17	S	4	PD18DEC17	2093.00	2126.44	USD	-3,344.00	
18SEP17	S	4	PD18DEC17	2094.00	2126.44	USD	-3,244.00	
18SEP17	S	1	PD18DEC17	2092.00	2126.44	USD	-861.00	
	NET	0 AVG BGHT 2092.54	SOLD 2094.27				562.50	0.00
19SEP17	S	3	PD19DEC17	2105.50	2126.75	USD	-1,593.75	
19SEP17	B	1	PD19DEC17	2102.50	2126.75	USD	606.25	
19SEP17	B	2	PD19DEC17	2104.00	2126.75	USD	1,137.50	
19SEP17	B	1	PD19DEC17	2100.50	2126.75	USD	656.25	
19SEP17	B	1	PD19DEC17	2101.00	2126.75	USD	643.75	
19SEP17	S	1	PD19DEC17	2103.50	2126.75	USD	-581.25	
19SEP17	S	1	PD19DEC17	2109.00	2126.75	USD	-443.75	
19SEP17	S	2	PD19DEC17	2113.50	2126.75	USD	-662.50	
19SEP17	S	1	PD19DEC17	2135.00	2126.75	USD	206.25	
19SEP17	B	1	PD19DEC17	2127.00	2126.75	USD	-6.25	
19SEP17	B	1	PD19DEC17	2123.00	2126.75	USD	93.75	
19SEP17	B	2	PD19DEC17	2118.50	2126.75	USD	412.50	
19SEP17	B	1	PD19DEC17	2114.50	2126.75	USD	306.25	
19SEP17	B	2	PD19DEC17	2114.00	2126.75	USD	637.50	
19SEP17	B	1	PD19DEC17	2113.00	2126.75	USD	343.75	
19SEP17	B	1	PD19DEC17	2112.50	2126.75	USD	356.25	
19SEP17	B	1	PD19DEC17	2111.50	2126.75	USD	381.25	
	NET	+7 AVG BGHT 2111.90	SOLD 2111.38				2,493.75	0.00
20SEP17	S	2	PD20DEC17	2132.50	2126.75	USD	287.50	
20SEP17	S	1	PD20DEC17	2143.50	2126.75	USD	418.75	
20SEP17	S	1	PD20DEC17	2143.00	2126.75	USD	406.25	
20SEP17	S	1	PD20DEC17	2156.50	2126.75	USD	743.75	
20SEP17	S	1	PD20DEC17	2162.00	2126.75	USD	881.25	
20SEP17	B	2	PD20DEC17	2162.00	2126.75	USD	-1,762.50	
20SEP17	B	1	PD20DEC17	2160.00	2126.75	USD	-831.25	
20SEP17	B	1	PD20DEC17	2156.00	2126.75	USD	-731.25	
20SEP17	S	2	PD20DEC17	2161.50	2126.75	USD	1,737.50	
20SEP17	B	1	PD20DEC17	2155.50	2126.75	USD	-718.75	
20SEP17	S	1	PD20DEC17	2165.50	2126.75	USD	968.75	
20SEP17	S	1	PD20DEC17	2166.00	2126.75	USD	981.25	
20SEP17	S	1	PD20DEC17	2168.50	2126.75	USD	1,043.75	
20SEP17	S	1	PD20DEC17	2167.50	2126.75	USD	1,018.75	
20SEP17	S	1	PD20DEC17	2169.50	2126.75	USD	1,068.75	
20SEP17	S	2	PD20DEC17	2171.50	2126.75	USD	2,237.50	
20SEP17	S	1	PD20DEC17	2174.00	2126.75	USD	1,181.25	
20SEP17	S	1	PD20DEC17	2174.50	2126.75	USD	1,193.75	
20SEP17	S	1	PD20DEC17	2179.00	2126.75	USD	1,306.25	
20SEP17	S	1	PD20DEC17	2180.00	2126.75	USD	1,331.25	
20SEP17	S	1	PD20DEC17	2172.50	2126.75	USD	1,143.75	
20SEP17	S	1	PD20DEC17	2173.50	2126.75	USD	1,168.75	

OPEN POSITION STATEMENT



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SAP083XA

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	S	1	PD20DEC17	2143.00	2126.75	USD	406.25	
20SEP17	S	1	PD20DEC17	2165.50	2126.75	USD	968.75	
20SEP17	S	2	PD20DEC17	2191.00	2126.75	USD	3,212.50	
20SEP17	B	1	PD20DEC17	2187.50	2126.75	USD	-1,518.75	
20SEP17	B	1	PD20DEC17	2185.00	2126.75	USD	-1,456.25	
20SEP17	B	1	PD20DEC17	2182.50	2126.75	USD	-1,393.75	
20SEP17	B	1	PD20DEC17	2182.00	2126.75	USD	-1,381.25	
20SEP17	B	1	PD20DEC17	2176.00	2126.75	USD	-1,231.25	
20SEP17	B	2	PD20DEC17	2175.50	2126.75	USD	-2,437.50	
20SEP17	B	3	PD20DEC17	2166.50	2126.75	USD	-2,981.25	
20SEP17	S	1	PD20DEC17	2178.50	2126.75	USD	1,293.75	
20SEP17	B	6	PD20DEC17	2186.00	2126.75	USD	-8,887.50	
	NET	-5 AVG BGHT 2175.00	SOLD 2165.21				-331.25	0.00
21SEP17	S	1	PD21DEC17	2170.00	2126.75	USD	1,081.25	
21SEP17	B	1	PD21DEC17	2157.00	2126.75	USD	-756.25	
21SEP17	S	2	PD21DEC17	2174.00	2126.75	USD	2,362.50	
21SEP17	S	1	PD21DEC17	2176.00	2126.75	USD	1,231.25	
21SEP17	S	1	PD21DEC17	2172.50	2126.75	USD	1,143.75	
21SEP17	S	1	PD21DEC17	2173.50	2126.75	USD	1,168.75	
21SEP17	S	2	PD21DEC17	2183.50	2126.75	USD	2,837.50	
21SEP17	S	1	PD21DEC17	2182.00	2126.75	USD	1,381.25	
21SEP17	S	1	PD21DEC17	2185.50	2126.75	USD	1,468.75	
21SEP17	B	1	PD21DEC17	2191.00	2126.75	USD	-1,606.25	
21SEP17	B	1	PD21DEC17	2187.50	2126.75	USD	-1,518.75	
21SEP17	B	1	PD21DEC17	2185.00	2126.75	USD	-1,456.25	
21SEP17	B	1	PD21DEC17	2185.50	2126.75	USD	-1,468.75	
21SEP17	B	1	PD21DEC17	2179.00	2126.75	USD	-1,306.25	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	B	1	PD21DEC17	2172.00	2126.75	USD	-1,131.25	
21SEP17	S	2	PD21DEC17	2156.00	2126.75	USD	1,462.50	
21SEP17	B	1	PD21DEC17	2145.00	2126.75	USD	-456.25	
21SEP17	B	1	PD21DEC17	2141.50	2126.75	USD	-368.75	
21SEP17	B	2	PD21DEC17	2142.00	2126.75	USD	-762.50	
21SEP17	B	1	PD21DEC17	2150.00	2126.75	USD	-581.25	
21SEP17	B	1	PD21DEC17	2148.50	2126.75	USD	-543.75	
21SEP17	B	1	PD21DEC17	2151.50	2126.75	USD	-618.75	
21SEP17	B	1	PD21DEC17	2152.00	2126.75	USD	-631.25	
21SEP17	B	1	PD21DEC17	2154.50	2126.75	USD	-693.75	
	NET	+5 AVG BGHT 2162.71	SOLD 2173.88				-1,143.75	0.00
22SEP17	S	2	PD22DEC17	2148.50	2126.75	USD	1,087.50	
22SEP17	S	1	PD22DEC17	2151.00	2126.75	USD	606.25	
22SEP17	S	2	PD22DEC17	2165.50	2126.75	USD	1,937.50	
22SEP17	B	1	PD22DEC17	2148.00	2126.75	USD	-531.25	
22SEP17	B	1	PD22DEC17	2147.00	2126.75	USD	-506.25	
22SEP17	B	1	PD22DEC17	2146.50	2126.75	USD	-493.75	
22SEP17	B	1	PD22DEC17	2139.50	2126.75	USD	-318.75	
22SEP17	S	1	PD22DEC17	2169.50	2126.75	USD	1,068.75	
22SEP17	S	1	PD22DEC17	2157.50	2126.75	USD	768.75	
22SEP17	S	1	PD22DEC17	2161.50	2126.75	USD	868.75	
22SEP17	S	1	PD22DEC17	2163.50	2126.75	USD	918.75	
	NET	-5 AVG BGHT 2145.25	SOLD 2159.00				5,406.25	0.00
25SEP17	B	4	PD27DEC17	2156.00	2127.29	USD	-2,871.00	
25SEP17	B	1	PD27DEC17	2156.00	2127.29	USD	-717.75	
25SEP17	S	1	PD27DEC17	2152.50	2127.29	USD	630.25	
25SEP17	S	2	PD27DEC17	2154.50	2127.29	USD	1,360.50	
25SEP17	B	2	PD27DEC17	2151.50	2127.29	USD	-1,210.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	B	1	PD27DEC17	2150.50	2127.29	USD	-580.25	
26SEP17	S	1	PD27DEC17	2157.50	2127.29	USD	755.25	
26SEP17	S	1	PD27DEC17	2157.00	2127.29	USD	742.75	
26SEP17	S	1	PD27DEC17	2155.00	2127.29	USD	692.75	
26SEP17	B	3	PD27DEC17	2148.00	2127.29	USD	-1,553.25	
26SEP17	B	2	PD27DEC17	2147.50	2127.29	USD	-1,010.50	
26SEP17	B	2	PD27DEC17	2146.00	2127.29	USD	-935.50	
26SEP17	S	1	PD27DEC17	2134.00	2127.29	USD	167.75	
26SEP17	S	3	PD27DEC17	2133.00	2127.29	USD	428.25	
27SEP17	S	1	PD27DEC17	2140.50	2127.29	USD	330.25	
27SEP17	S	4	PD27DEC17	2140.00	2127.29	USD	1,271.00	
27SEP17	S	3	PD27DEC17	2142.50	2127.29	USD	1,140.75	
27SEP17	B	4	PD27DEC17	2127.00	2127.29	USD	29.00	
27SEP17	B	4	PD27DEC17	2129.00	2127.29	USD	-171.00	
	NET	+5 AVG BGHT 2142.98	SOLD 2144.00				-1,501.25	0.00
29SEP17	B	1	PD29DEC17	2137.00	2127.50	USD	-237.50	
29SEP17	S	3	PD29DEC17	2135.00	2127.50	USD	562.50	
29SEP17	B	1	PD29DEC17	2131.00	2127.50	USD	-87.50	
29SEP17	B	1	PD29DEC17	2133.00	2127.50	USD	-137.50	
	NET	0 AVG BGHT 2133.67	SOLD 2135.00				100.00	0.00
03OCT17	S	1	PD03JAN18	2111.00	2128.46	USD	-436.50	
03OCT17	B	1	PD03JAN18	2104.50	2128.46	USD	599.00	
03OCT17	S	2	PD03JAN18	2117.50	2128.46	USD	-548.00	
03OCT17	B	2	PD03JAN18	2118.00	2128.46	USD	523.00	
03OCT17	S	2	PD03JAN18	2123.00	2128.46	USD	-273.00	
03OCT17	B	1	PD03JAN18	2117.00	2128.46	USD	286.50	
03OCT17	B	1	PD03JAN18	2118.00	2128.46	USD	261.50	
	NET	0 AVG BGHT 2115.10	SOLD 2118.40				412.50	0.00
04OCT17	S	1	PD04JAN18	2152.00	2128.65	USD	583.75	
04OCT17	S	1	PD04JAN18	2151.00	2128.65	USD	558.75	
04OCT17	B	1	PD04JAN18	2139.00	2128.65	USD	-258.75	
04OCT17	S	1	PD04JAN18	2164.50	2128.65	USD	896.25	
04OCT17	B	1	PD04JAN18	2158.50	2128.65	USD	-746.25	
04OCT17	B	1	PD04JAN18	2151.50	2128.65	USD	-571.25	
04OCT17	S	2	PD04JAN18	2160.00	2128.65	USD	1,567.50	
04OCT17	S	1	PD04JAN18	2164.00	2128.65	USD	883.75	
04OCT17	S	2	PD04JAN18	2163.50	2128.65	USD	1,742.50	
04OCT17	B	1	PD04JAN18	2158.00	2128.65	USD	-733.75	
04OCT17	B	2	PD04JAN18	2160.00	2128.65	USD	-1,567.50	
04OCT17	B	2	PD04JAN18	2161.50	2128.65	USD	-1,642.50	
	NET	0 AVG BGHT 2156.25	SOLD 2159.81				712.50	0.00
05OCT17	B	2	PD05JAN18	2162.00	2128.85	USD	-1,657.50	
05OCT17	B	1	PD05JAN18	2159.50	2128.85	USD	-766.25	
05OCT17	B	1	PD05JAN18	2149.50	2128.85	USD	-516.25	
05OCT17	B	2	PD05JAN18	2148.00	2128.85	USD	-957.50	
05OCT17	B	1	PD05JAN18	2149.00	2128.85	USD	-503.75	
05OCT17	B	1	PD05JAN18	2148.50	2128.85	USD	-491.25	
05OCT17	B	1	PD05JAN18	2147.50	2128.85	USD	-466.25	
05OCT17	B	3	PD05JAN18	2146.50	2128.85	USD	-1,323.75	
05OCT17	B	4	PD05JAN18	2147.00	2128.85	USD	-1,815.00	
05OCT17	S	1	PD05JAN18	2156.00	2128.85	USD	678.75	
05OCT17	S	1	PD05JAN18	2163.00	2128.85	USD	853.75	
05OCT17	S	1	PD05JAN18	2165.00	2128.85	USD	903.75	
05OCT17	S	1	PD05JAN18	2170.00	2128.85	USD	1,028.75	
05OCT17	S	1	PD05JAN18	2170.50	2128.85	USD	1,041.25	
05OCT17	S	4	PD05JAN18	2169.00	2128.85	USD	4,015.00	

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05OCT17	S	2	PD05JAN18	2168.00	2128.85	USD	1,957.50	
05OCT17	S	4	PD05JAN18	2168.50	2128.85	USD	3,965.00	
05OCT17	S	1	PD05JAN18	2171.00	2128.85	USD	1,053.75	
06OCT17	B	1	PD05JAN18	2158.00	2128.85	USD	-728.75	
06OCT17	B	1	PD05JAN18	2149.00	2128.85	USD	-503.75	
06OCT17	B	2	PD05JAN18	2148.50	2128.85	USD	-982.50	
06OCT17	B	2	PD05JAN18	2147.00	2128.85	USD	-907.50	
06OCT17	B	2	PD05JAN18	2148.00	2128.85	USD	-957.50	
06OCT17	S	1	PD05JAN18	2144.00	2128.85	USD	378.75	
	NET	+7 AVG BGHT 2149.81	SOLD 2166.21				3,298.75	0.00
09OCT17	S	1	PD09JAN18	2150.50	2129.62	USD	522.00	
09OCT17	S	4	PD09JAN18	2151.00	2129.62	USD	2,138.00	
09OCT17	S	1	PD09JAN18	2158.50	2129.62	USD	722.00	
09OCT17	S	1	PD09JAN18	2151.00	2129.62	USD	534.50	
09OCT17	S	1	PD09JAN18	2155.50	2129.62	USD	647.00	
09OCT17	S	2	PD09JAN18	2167.00	2129.62	USD	1,869.00	
09OCT17	B	1	PD09JAN18	2165.00	2129.62	USD	-884.50	
09OCT17	B	2	PD09JAN18	2170.50	2129.62	USD	-2,044.00	
	NET	-7 AVG BGHT 2168.67	SOLD 2155.35				3,504.00	0.00
10OCT17	B	4	PD10JAN18	2165.00	2129.81	USD	-3,519.00	
10OCT17	B	2	PD10JAN18	2166.50	2129.81	USD	-1,834.50	
10OCT17	B	1	PD10JAN18	2159.50	2129.81	USD	-742.25	
10OCT17	B	1	PD10JAN18	2164.00	2129.81	USD	-854.75	
10OCT17	B	1	PD10JAN18	2155.50	2129.81	USD	-642.25	
10OCT17	S	1	PD10JAN18	2159.50	2129.81	USD	742.25	
10OCT17	S	1	PD10JAN18	2165.00	2129.81	USD	879.75	
10OCT17	S	1	PD10JAN18	2162.00	2129.81	USD	804.75	
10OCT17	S	1	PD10JAN18	2163.00	2129.81	USD	829.75	
10OCT17	S	1	PD10JAN18	2164.00	2129.81	USD	854.75	
10OCT17	S	2	PD10JAN18	2164.50	2129.81	USD	1,734.50	
10OCT17	S	2	PD10JAN18	2165.50	2129.81	USD	1,784.50	
	NET	0 AVG BGHT 2163.56	SOLD 2163.72				37.50	0.00
11OCT17	B	2	PD11JAN18	2154.00	2130.00	USD	-1,200.00	
11OCT17	B	3	PD11JAN18	2153.00	2130.00	USD	-1,725.00	
11OCT17	B	1	PD11JAN18	2152.00	2130.00	USD	-550.00	
11OCT17	B	2	PD11JAN18	2152.50	2130.00	USD	-1,125.00	
11OCT17	B	1	PD11JAN18	2148.50	2130.00	USD	-462.50	
11OCT17	S	1	PD11JAN18	2142.50	2130.00	USD	312.50	
11OCT17	S	1	PD11JAN18	2147.00	2130.00	USD	425.00	
11OCT17	B	1	PD11JAN18	2144.00	2130.00	USD	-350.00	
11OCT17	S	1	PD11JAN18	2148.00	2130.00	USD	450.00	
11OCT17	S	1	PD11JAN18	2149.50	2130.00	USD	487.50	
11OCT17	B	1	PD11JAN18	2131.50	2130.00	USD	-37.50	
11OCT17	B	3	PD11JAN18	2132.50	2130.00	USD	-187.50	
11OCT17	B	3	PD11JAN18	2133.00	2130.00	USD	-225.00	
11OCT17	S	1	PD11JAN18	2136.00	2130.00	USD	150.00	
11OCT17	S	2	PD11JAN18	2135.50	2130.00	USD	275.00	
11OCT17	S	1	PD11JAN18	2137.00	2130.00	USD	175.00	
11OCT17	S	6	PD11JAN18	2138.00	2130.00	USD	1,200.00	
	NET	+3 AVG BGHT 2143.79	SOLD 2139.93				-2,387.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	5907.50	6755.20	USD	-21,192.50	
12JUL17	B	1	PD12OCT17	5889.50	6755.20	USD	21,642.50	
	NET	0 AVG BGHT 5889.50	SOLD 5907.50				450.00	0.00

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14JUL17	S	1	PD130CT17	5880.50	6756.00	USD	-21,887.50	
14JUL17	B	1	PD130CT17	5879.50	6756.00	USD	21,912.50	
14JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
14JUL17	S	1	PD130CT17	5881.00	6756.00	USD	-21,875.00	
	NET	0 AVG	BGHT 5879.75	SOLD 5880.75			50.00	0.00
17JUL17	S	1	PD170CT17	5982.00	6759.20	USD	-19,430.00	
17JUL17	B	1	PD170CT17	5970.00	6759.20	USD	19,730.00	
17JUL17	S	1	PD170CT17	5990.00	6759.20	USD	-19,230.00	
17JUL17	B	1	PD170CT17	5974.00	6759.20	USD	19,630.00	
	NET	0 AVG	BGHT 5972.00	SOLD 5986.00			700.00	0.00
20JUL17	S	1	PD200CT17	5988.00	6761.75	USD	-19,343.75	
20JUL17	B	1	PD200CT17	5968.00	6761.75	USD	19,843.75	
	NET	0 AVG	BGHT 5968.00	SOLD 5988.00			500.00	0.00
24JUL17	S	1	PD240CT17	5999.00	6765.25	USD	-19,156.25	
24JUL17	S	1	PD240CT17	5995.50	6765.25	USD	-19,243.75	
24JUL17	S	1	PD240CT17	6028.00	6765.25	USD	-18,431.25	
24JUL17	B	1	PD240CT17	6025.00	6765.25	USD	18,506.25	
24JUL17	B	1	PD240CT17	6020.00	6765.25	USD	18,631.25	
24JUL17	B	1	PD240CT17	6020.50	6765.25	USD	18,618.75	
	NET	0 AVG	BGHT 6021.83	SOLD 6007.50			-1,075.00	0.00
25JUL17	S	1	PD250CT17	6170.00	6765.68	USD	-14,892.00	
25JUL17	B	1	PD250CT17	6182.00	6765.68	USD	14,592.00	
	NET	0 AVG	BGHT 6182.00	SOLD 6170.00			-300.00	0.00
26JUL17	S	1	PD260CT17	6348.00	6766.11	USD	-10,452.75	
26JUL17	S	1	PD260CT17	6372.00	6766.11	USD	-9,852.75	
26JUL17	B	1	PD260CT17	6329.50	6766.11	USD	10,915.25	
26JUL17	B	1	PD260CT17	6332.00	6766.11	USD	10,852.75	
	NET	0 AVG	BGHT 6330.75	SOLD 6360.00			1,462.50	0.00
03AUG17	B	1	PD03NOV17	6318.50	6771.03	USD	11,313.25	
03AUG17	S	1	PD03NOV17	6319.00	6771.03	USD	-11,300.75	
	NET	0 AVG	BGHT 6318.50	SOLD 6319.00			12.50	0.00
07AUG17	B	1	PD07NOV17	6368.50	6774.73	USD	10,155.75	
07AUG17	S	1	PD07NOV17	6372.50	6774.73	USD	-10,055.75	
	NET	0 AVG	BGHT 6368.50	SOLD 6372.50			100.00	0.00
11AUG17	B	2	PD10NOV17	6370.00	6777.50	USD	20,375.00	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	1	PD10NOV17	6375.00	6777.50	USD	-10,062.50	
	NET	0 AVG	BGHT 6370.00	SOLD 6372.75			137.50	0.00
18AUG17	B	1	PD17NOV17	6515.00	6776.78	USD	6,544.50	
18AUG17	S	1	PD17NOV17	6515.00	6776.78	USD	-6,544.50	
	NET	0 AVG	BGHT 6515.00	SOLD 6515.00			0.00	0.00
31AUG17	S	1	PD30NOV17	6829.00	6783.72	USD	1,132.00	
31AUG17	B	1	PD30NOV17	6825.00	6783.72	USD	-1,032.00	
	NET	0 AVG	BGHT 6825.00	SOLD 6829.00			100.00	0.00
01SEP17	B	2	PD01DEC17	6824.00	6784.25	USD	-1,987.50	
01SEP17	S	1	PD01DEC17	6823.50	6784.25	USD	981.25	
01SEP17	S	1	PD01DEC17	6816.00	6784.25	USD	793.75	
	NET	0 AVG	BGHT 6824.00	SOLD 6819.75			-212.50	0.00
04SEP17	S	1	PD04DEC17	6896.50	6785.75	USD	2,768.75	
04SEP17	B	1	PD04DEC17	6896.50	6785.75	USD	-2,768.75	
	NET	0 AVG	BGHT 6896.50	SOLD 6896.50			0.00	0.00
08SEP17	B	1	PD08DEC17	6696.00	6787.71	USD	2,292.75	
08SEP17	S	1	PD08DEC17	6698.50	6787.71	USD	-2,230.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6696.00	SOLD	6698.50		62.50	0.00
12SEP17	S	1	PD12DEC17		6707.50	6789.63	USD	-2,053.25	
12SEP17	S	1	PD12DEC17		6707.00	6789.63	USD	-2,065.75	
12SEP17	S	1	PD12DEC17		6706.50	6789.63	USD	-2,078.25	
12SEP17	B	1	PD12DEC17		6698.50	6789.63	USD	2,278.25	
12SEP17	B	1	PD12DEC17		6697.50	6789.63	USD	2,303.25	
12SEP17	B	1	PD12DEC17		6696.00	6789.63	USD	2,340.75	
	NET	0 AVG	BGHT	6697.33	SOLD	6707.00		725.00	0.00
13SEP17	S	1	PD13DEC17		6599.50	6790.10	USD	-4,765.00	
13SEP17	B	1	PD13DEC17		6600.00	6790.10	USD	4,752.50	
13SEP17	B	1	PD13DEC17		6591.50	6790.10	USD	4,965.00	
13SEP17	B	1	PD13DEC17		6593.00	6790.10	USD	4,927.50	
13SEP17	B	1	PD13DEC17		6590.50	6790.10	USD	4,990.00	
13SEP17	S	1	PD13DEC17		6592.00	6790.10	USD	-4,952.50	
13SEP17	S	1	PD13DEC17		6597.50	6790.10	USD	-4,815.00	
13SEP17	S	1	PD13DEC17		6591.50	6790.10	USD	-4,965.00	
	NET	0 AVG	BGHT	6593.75	SOLD	6595.13		137.50	0.00
14SEP17	S	3	PD14DEC17		6492.50	6790.58	USD	-22,356.00	
14SEP17	S	1	PD14DEC17		6498.00	6790.58	USD	-7,314.50	
14SEP17	B	1	PD14DEC17		6500.00	6790.58	USD	7,264.50	
14SEP17	B	1	PD14DEC17		6495.50	6790.58	USD	7,377.00	
14SEP17	B	1	PD14DEC17		6494.00	6790.58	USD	7,414.50	
14SEP17	B	2	PD14DEC17		6496.00	6790.58	USD	14,729.00	
14SEP17	S	1	PD14DEC17		6496.00	6790.58	USD	-7,364.50	
	NET	0 AVG	BGHT	6496.30	SOLD	6494.30		-250.00	0.00
15SEP17	B	2	PD15DEC17		6503.00	6791.06	USD	14,403.00	
15SEP17	S	1	PD15DEC17		6499.50	6791.06	USD	-7,289.00	
15SEP17	S	2	PD15DEC17		6495.00	6791.06	USD	-14,803.00	
15SEP17	B	1	PD15DEC17		6488.00	6791.06	USD	7,576.50	
	NET	0 AVG	BGHT	6498.00	SOLD	6496.50		-112.50	0.00
18SEP17	B	1	PD18DEC17		6555.00	6792.50	USD	5,937.50	
18SEP17	S	1	PD18DEC17		6556.00	6792.50	USD	-5,912.50	
	NET	0 AVG	BGHT	6555.00	SOLD	6556.00		25.00	0.00
19SEP17	B	1	PD19DEC17		6529.50	6792.50	USD	6,575.00	
19SEP17	S	1	PD19DEC17		6531.00	6792.50	USD	-6,537.50	
	NET	0 AVG	BGHT	6529.50	SOLD	6531.00		37.50	0.00
20SEP17	S	1	PD20DEC17		6560.50	6792.50	USD	-5,800.00	
20SEP17	B	1	PD20DEC17		6554.00	6792.50	USD	5,962.50	
	NET	0 AVG	BGHT	6554.00	SOLD	6560.50		162.50	0.00
21SEP17	B	1	PD21DEC17		6447.00	6791.50	USD	8,612.50	
21SEP17	S	1	PD21DEC17		6450.50	6791.50	USD	-8,525.00	
	NET	0 AVG	BGHT	6447.00	SOLD	6450.50		87.50	0.00
22SEP17	B	1	PD22DEC17		6411.50	6790.50	USD	9,475.00	
22SEP17	S	1	PD22DEC17		6412.50	6790.50	USD	-9,450.00	
	NET	0 AVG	BGHT	6411.50	SOLD	6412.50		25.00	0.00
25SEP17	B	1	PD27DEC17		6460.00	6793.00	USD	8,325.00	
25SEP17	S	1	PD27DEC17		6460.50	6793.00	USD	-8,312.50	
25SEP17	S	1	PD27DEC17		6448.00	6793.00	USD	-8,625.00	
25SEP17	B	1	PD27DEC17		6449.00	6793.00	USD	8,600.00	
27SEP17	B	1	PD27DEC17		6465.50	6793.00	USD	8,187.50	
27SEP17	B	1	PD27DEC17		6466.50	6793.00	USD	8,162.50	
27SEP17	S	1	PD27DEC17		6465.50	6793.00	USD	-8,187.50	
27SEP17	S	1	PD27DEC17		6466.00	6793.00	USD	-8,175.00	
	NET	0 AVG	BGHT	6460.25	SOLD	6460.00		-25.00	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6449.00	6793.47	USD	8,611.75	
28SEP17	S	1	PD28DEC17	6450.00	6793.47	USD	-8,586.75	
	NET	0AVG BGHT 6449.00	SOLD 6450.00				25.00	0.00
04OCT17	B	2	PD04JAN18	6498.00	6796.73	USD	14,936.50	
04OCT17	S	1	PD04JAN18	6498.00	6796.73	USD	-7,468.25	
04OCT17	S	1	PD04JAN18	6499.50	6796.73	USD	-7,430.75	
	NET	0AVG BGHT 6498.00	SOLD 6498.75				37.50	0.00
05OCT17	B	1	PD05JAN18	6560.00	6797.20	USD	5,930.00	
05OCT17	S	1	PD05JAN18	6560.50	6797.20	USD	-5,917.50	
05OCT17	S	1	PD05JAN18	6701.00	6797.20	USD	-2,405.00	
05OCT17	B	1	PD05JAN18	6701.00	6797.20	USD	2,405.00	
06OCT17	B	1	PD05JAN18	6707.00	6797.20	USD	2,255.00	
06OCT17	S	1	PD05JAN18	6707.50	6797.20	USD	-2,242.50	
	NET	0AVG BGHT 6656.00	SOLD 6656.33				25.00	0.00
11OCT17	B	1	PD11JAN18	6744.00	6800.00	USD	1,400.00	
11OCT17	S	1	PD11JAN18	6743.50	6800.00	USD	-1,412.50	
11OCT17	S	1	PD11JAN18	6808.00	6800.00	USD	200.00	
11OCT17	B	1	PD11JAN18	6802.00	6800.00	USD	-50.00	
	NET	0AVG BGHT 6773.00	SOLD 6775.75				137.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	2315.00	2552.35	USD	-5,933.75	
12JUL17	S	1	PD12OCT17	2315.50	2552.35	USD	-5,921.25	
12JUL17	B	1	PD12OCT17	2322.00	2552.35	USD	5,758.75	
12JUL17	S	2	PD12OCT17	2324.00	2552.35	USD	-11,417.50	
12JUL17	S	1	PD12OCT17	2312.50	2552.35	USD	-5,996.25	
12JUL17	B	1	PD12OCT17	2312.50	2552.35	USD	5,996.25	
12JUL17	S	1	PD12OCT17	2317.50	2552.35	USD	-5,871.25	
12JUL17	S	1	PD12OCT17	2319.00	2552.35	USD	-5,833.75	
12JUL17	B	1	PD12OCT17	2319.50	2552.35	USD	5,821.25	
12JUL17	S	1	PD12OCT17	2335.50	2552.35	USD	-5,421.25	
12JUL17	S	2	PD12OCT17	2335.00	2552.35	USD	-10,867.50	
12JUL17	S	1	PD12OCT17	2336.00	2552.35	USD	-5,408.75	
12JUL17	S	1	PD12OCT17	2337.00	2552.35	USD	-5,383.75	
12JUL17	B	1	PD12OCT17	2338.50	2552.35	USD	5,346.25	
12JUL17	S	1	PD12OCT17	2338.00	2552.35	USD	-5,358.75	
12JUL17	B	1	PD12OCT17	2336.00	2552.35	USD	5,408.75	
12JUL17	B	4	PD12OCT17	2333.00	2552.35	USD	21,935.00	
12JUL17	B	1	PD12OCT17	2332.50	2552.35	USD	5,496.25	
12JUL17	B	1	PD12OCT17	2329.00	2552.35	USD	5,583.75	
12JUL17	B	1	PD12OCT17	2325.50	2552.35	USD	5,671.25	
12JUL17	S	1	PD12OCT17	2315.50	2552.35	USD	-5,921.25	
12JUL17	S	1	PD12OCT17	2320.50	2552.35	USD	-5,796.25	
12JUL17	B	2	PD12OCT17	2331.50	2552.35	USD	11,042.50	
12JUL17	B	3	PD12OCT17	2331.00	2552.35	USD	16,601.25	
12JUL17	B	3	PD12OCT17	2330.50	2552.35	USD	16,638.75	
12JUL17	S	2	PD12OCT17	2330.00	2552.35	USD	-11,117.50	
12JUL17	B	2	PD12OCT17	2330.00	2552.35	USD	11,117.50	
12JUL17	B	1	PD12OCT17	2329.50	2552.35	USD	5,571.25	
12JUL17	B	1	PD12OCT17	2328.50	2552.35	USD	5,596.25	
12JUL17	B	1	PD12OCT17	2323.50	2552.35	USD	5,721.25	
12JUL17	B	1	PD12OCT17	2320.00	2552.35	USD	5,808.75	
12JUL17	S	4	PD12OCT17	2323.00	2552.35	USD	-22,935.00	
12JUL17	S	5	PD12OCT17	2324.50	2552.35	USD	-28,481.25	
12JUL17	S	3	PD12OCT17	2325.50	2552.35	USD	-17,013.75	
12JUL17	S	1	PD12OCT17	2326.50	2552.35	USD	-5,646.25	

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12JUL17	S	1	PD120CT17	2329.50	2552.35	USD	-5,571.25	
12JUL17	S	3	PD120CT17	2322.50	2552.35	USD	-17,238.75	
12JUL17	B	1	PD120CT17	2322.50	2552.35	USD	5,746.25	
19JUL17	B	7	PD120CT17	2216.52	2552.35	USD	58,770.25	
	NET	0AVG BGHT 2305.72	SOLD 2325.13				16,496.50	0.00
13JUL17	B	1	PD130CT17	2320.50	2552.75	USD	5,806.25	
13JUL17	B	1	PD130CT17	2323.00	2552.75	USD	5,743.75	
13JUL17	B	1	PD130CT17	2321.50	2552.75	USD	5,781.25	
13JUL17	B	1	PD130CT17	2316.50	2552.75	USD	5,906.25	
13JUL17	B	1	PD130CT17	2315.50	2552.75	USD	5,931.25	
13JUL17	B	2	PD130CT17	2313.00	2552.75	USD	11,987.50	
13JUL17	B	1	PD130CT17	2314.00	2552.75	USD	5,968.75	
13JUL17	S	3	PD130CT17	2312.00	2552.75	USD	-18,056.25	
13JUL17	S	3	PD130CT17	2327.00	2552.75	USD	-16,931.25	
13JUL17	S	1	PD130CT17	2326.00	2552.75	USD	-5,668.75	
13JUL17	S	1	PD130CT17	2325.00	2552.75	USD	-5,693.75	
13JUL17	B	1	PD130CT17	2317.00	2552.75	USD	5,893.75	
13JUL17	S	1	PD130CT17	2318.50	2552.75	USD	-5,856.25	
14JUL17	S	1	PD130CT17	2280.50	2552.75	USD	-6,806.25	
14JUL17	B	2	PD130CT17	2284.00	2552.75	USD	13,437.50	
14JUL17	B	1	PD130CT17	2286.50	2552.75	USD	6,656.25	
14JUL17	S	1	PD130CT17	2287.50	2552.75	USD	-6,631.25	
14JUL17	S	1	PD130CT17	2288.50	2552.75	USD	-6,606.25	
14JUL17	S	2	PD130CT17	2289.00	2552.75	USD	-13,187.50	
14JUL17	S	4	PD130CT17	2286.50	2552.75	USD	-26,625.00	
14JUL17	B	1	PD130CT17	2286.00	2552.75	USD	6,668.75	
14JUL17	B	1	PD130CT17	2285.50	2552.75	USD	6,681.25	
14JUL17	B	1	PD130CT17	2287.50	2552.75	USD	6,631.25	
14JUL17	B	1	PD130CT17	2288.00	2552.75	USD	6,618.75	
14JUL17	B	2	PD130CT17	2285.00	2552.75	USD	13,387.50	
14JUL17	B	1	PD130CT17	2278.00	2552.75	USD	6,868.75	
14JUL17	S	1	PD130CT17	2277.00	2552.75	USD	-6,893.75	
14JUL17	B	2	PD130CT17	2276.00	2552.75	USD	13,837.50	
14JUL17	B	2	PD130CT17	2277.00	2552.75	USD	13,787.50	
14JUL17	B	3	PD130CT17	2277.50	2552.75	USD	20,643.75	
14JUL17	B	1	PD130CT17	2276.50	2552.75	USD	6,906.25	
14JUL17	S	1	PD130CT17	2285.00	2552.75	USD	-6,693.75	
14JUL17	B	1	PD130CT17	2280.00	2552.75	USD	6,818.75	
14JUL17	B	1	PD130CT17	2279.00	2552.75	USD	6,843.75	
14JUL17	S	1	PD130CT17	2274.00	2552.75	USD	-6,968.75	
14JUL17	S	1	PD130CT17	2275.00	2552.75	USD	-6,943.75	
14JUL17	S	2	PD130CT17	2274.50	2552.75	USD	-13,912.50	
14JUL17	B	1	PD130CT17	2270.50	2552.75	USD	7,056.25	
14JUL17	B	1	PD130CT17	2269.50	2552.75	USD	7,081.25	
14JUL17	B	1	PD130CT17	2269.00	2552.75	USD	7,093.75	
14JUL17	B	2	PD130CT17	2273.00	2552.75	USD	13,987.50	
14JUL17	S	1	PD130CT17	2282.50	2552.75	USD	-6,756.25	
14JUL17	S	2	PD130CT17	2284.00	2552.75	USD	-13,437.50	
14JUL17	S	2	PD130CT17	2287.00	2552.75	USD	-13,287.50	
14JUL17	S	1	PD130CT17	2281.50	2552.75	USD	-6,781.25	
14JUL17	S	2	PD130CT17	2281.50	2552.75	USD	-13,562.50	
14JUL17	S	1	PD130CT17	2281.00	2552.75	USD	-6,793.75	
14JUL17	S	1	PD130CT17	2292.00	2552.75	USD	-6,518.75	
14JUL17	S	1	PD130CT17	2297.50	2552.75	USD	-6,381.25	
14JUL17	S	1	PD130CT17	2304.50	2552.75	USD	-6,206.25	
14JUL17	S	1	PD130CT17	2306.00	2552.75	USD	-6,168.75	
14JUL17	B	1	PD130CT17	2313.00	2552.75	USD	5,993.75	

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14JUL17	S	1	PD130CT17	2317.50	2552.75	USD	-5,881.25	
14JUL17	S	1	PD130CT17	2315.50	2552.75	USD	-5,931.25	
14JUL17	B	2	PD130CT17	2316.00	2552.75	USD	11,837.50	
14JUL17	B	1	PD130CT17	2317.50	2552.75	USD	5,881.25	
19JUL17	B	1	PD130CT17	2216.52	2552.75	USD	8,405.75	
	NET	0AVG BGHT 2290.04	SOLD 2295.13				4,962.00	0.00
17JUL17	S	1	PD170CT17	2324.50	2554.35	USD	-5,746.25	
17JUL17	S	2	PD170CT17	2326.00	2554.35	USD	-11,417.50	
17JUL17	S	4	PD170CT17	2325.50	2554.35	USD	-22,885.00	
17JUL17	S	1	PD170CT17	2327.00	2554.35	USD	-5,683.75	
17JUL17	S	1	PD170CT17	2328.50	2554.35	USD	-5,646.25	
17JUL17	S	1	PD170CT17	2329.50	2554.35	USD	-5,621.25	
17JUL17	B	1	PD170CT17	2322.50	2554.35	USD	5,796.25	
17JUL17	B	1	PD170CT17	2321.50	2554.35	USD	5,821.25	
17JUL17	S	2	PD170CT17	2330.00	2554.35	USD	-11,217.50	
17JUL17	B	1	PD170CT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B	2	PD170CT17	2325.00	2554.35	USD	11,467.50	
17JUL17	B	2	PD170CT17	2320.00	2554.35	USD	11,717.50	
17JUL17	B	3	PD170CT17	2322.00	2554.35	USD	17,426.25	
17JUL17	B	1	PD170CT17	2321.00	2554.35	USD	5,833.75	
17JUL17	B	1	PD170CT17	2319.50	2554.35	USD	5,871.25	
17JUL17	B	1	PD170CT17	2319.00	2554.35	USD	5,883.75	
17JUL17	B	1	PD170CT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B	1	PD170CT17	2315.50	2554.35	USD	5,971.25	
17JUL17	S	1	PD170CT17	2317.50	2554.35	USD	-5,921.25	
17JUL17	S	1	PD170CT17	2316.00	2554.35	USD	-5,958.75	
17JUL17	B	1	PD170CT17	2314.00	2554.35	USD	6,008.75	
17JUL17	S	1	PD170CT17	2306.00	2554.35	USD	-6,208.75	
17JUL17	S	1	PD170CT17	2307.00	2554.35	USD	-6,183.75	
17JUL17	B	1	PD170CT17	2295.00	2554.35	USD	6,483.75	
17JUL17	B	1	PD170CT17	2294.00	2554.35	USD	6,508.75	
17JUL17	S	2	PD170CT17	2299.00	2554.35	USD	-12,767.50	
17JUL17	S	1	PD170CT17	2297.00	2554.35	USD	-6,433.75	
17JUL17	B	1	PD170CT17	2297.50	2554.35	USD	6,421.25	
17JUL17	B	1	PD170CT17	2297.00	2554.35	USD	6,433.75	
19JUL17	S	1	PD170CT17	2217.10	2554.35	USD	-8,431.25	
	NET	0AVG BGHT 2316.08	SOLD 2314.11				-985.00	0.00
18JUL17	B	2	PD180CT17	2300.50	2554.75	USD	12,712.50	
18JUL17	B	1	PD180CT17	2301.50	2554.75	USD	6,331.25	
18JUL17	B	1	PD180CT17	2302.50	2554.75	USD	6,306.25	
18JUL17	B	1	PD180CT17	2302.00	2554.75	USD	6,318.75	
18JUL17	S	1	PD180CT17	2304.00	2554.75	USD	-6,268.75	
18JUL17	S	1	PD180CT17	2285.50	2554.75	USD	-6,731.25	
18JUL17	B	1	PD180CT17	2287.00	2554.75	USD	6,693.75	
18JUL17	B	1	PD180CT17	2289.50	2554.75	USD	6,631.25	
18JUL17	S	1	PD180CT17	2268.50	2554.75	USD	-7,156.25	
18JUL17	S	5	PD180CT17	2274.50	2554.75	USD	-35,031.25	
18JUL17	S	1	PD180CT17	2272.50	2554.75	USD	-7,056.25	
18JUL17	S	1	PD180CT17	2274.50	2554.75	USD	-7,006.25	
05SEP17	B	3	PD180CT17	2318.75	2554.75	USD	17,700.00	
	NET	0AVG BGHT 2303.98	SOLD 2277.75				-6,556.25	0.00
19JUL17	B	2	PD190CT17	2263.00	2554.89	USD	14,594.50	
19JUL17	B	1	PD190CT17	2264.50	2554.89	USD	7,259.75	
19JUL17	S	1	PD190CT17	2260.50	2554.89	USD	-7,359.75	
19JUL17	B	1	PD190CT17	2265.50	2554.89	USD	7,234.75	
19JUL17	S	1	PD190CT17	2273.00	2554.89	USD	-7,047.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19JUL17	S	1	PD19OCT17	2275.00	2554.89	USD	-6,997.25	
19JUL17	S	1	PD19OCT17	2274.50	2554.89	USD	-7,009.75	
19JUL17	S	1	PD19OCT17	2268.50	2554.89	USD	-7,159.75	
19JUL17	B	1	PD19OCT17	2259.00	2554.89	USD	7,397.25	
19JUL17	B	1	PD19OCT17	2258.50	2554.89	USD	7,409.75	
19JUL17	B	2	PD19OCT17	2258.00	2554.89	USD	14,844.50	
19JUL17	B	1	PD19OCT17	2256.50	2554.89	USD	7,459.75	
19JUL17	B	1	PD19OCT17	2248.00	2554.89	USD	7,672.25	
19JUL17	B	1	PD19OCT17	2241.00	2554.89	USD	7,847.25	
19JUL17	B	1	PD19OCT17	2242.00	2554.89	USD	7,822.25	
19JUL17	S	1	PD19OCT17	2240.50	2554.89	USD	-7,859.75	
19JUL17	S	1	PD19OCT17	2222.00	2554.89	USD	-8,322.25	
19JUL17	S	2	PD19OCT17	2217.50	2554.89	USD	-16,869.50	
05SEP17	S	3	PD19OCT17	2318.30	2554.89	USD	-17,744.25	
	NET	0AVG BGHT 2256.42	SOLD 2266.99				3,172.50	0.00
20JUL17	S	1	PD20OCT17	2226.50	2555.04	USD	-8,213.50	
20JUL17	S	1	PD20OCT17	2224.00	2555.04	USD	-8,276.00	
20JUL17	S	2	PD20OCT17	2225.00	2555.04	USD	-16,502.00	
20JUL17	S	2	PD20OCT17	2225.50	2555.04	USD	-16,477.00	
20JUL17	B	1	PD20OCT17	2226.00	2555.04	USD	8,226.00	
20JUL17	B	1	PD20OCT17	2227.00	2555.04	USD	8,201.00	
20JUL17	B	1	PD20OCT17	2225.00	2555.04	USD	8,251.00	
20JUL17	B	1	PD20OCT17	2223.50	2555.04	USD	8,288.50	
20JUL17	B	3	PD20OCT17	2223.00	2555.04	USD	24,903.00	
20JUL17	B	1	PD20OCT17	2221.00	2555.04	USD	8,351.00	
20JUL17	B	1	PD20OCT17	2220.50	2555.04	USD	8,363.50	
20JUL17	B	1	PD20OCT17	2219.00	2555.04	USD	8,401.00	
20JUL17	S	1	PD20OCT17	2221.50	2555.04	USD	-8,338.50	
20JUL17	S	3	PD20OCT17	2226.00	2555.04	USD	-24,678.00	
20JUL17	S	2	PD20OCT17	2223.50	2555.04	USD	-16,577.00	
20JUL17	S	1	PD20OCT17	2222.50	2555.04	USD	-8,313.50	
20JUL17	S	2	PD20OCT17	2224.50	2555.04	USD	-16,527.00	
20JUL17	S	1	PD20OCT17	2228.00	2555.04	USD	-8,176.00	
20JUL17	S	1	PD20OCT17	2227.50	2555.04	USD	-8,188.50	
20JUL17	B	2	PD20OCT17	2224.00	2555.04	USD	16,552.00	
20JUL17	B	1	PD20OCT17	2233.00	2555.04	USD	8,051.00	
20JUL17	B	1	PD20OCT17	2232.50	2555.04	USD	8,063.50	
20JUL17	B	1	PD20OCT17	2230.00	2555.04	USD	8,126.00	
20JUL17	B	1	PD20OCT17	2228.00	2555.04	USD	8,176.00	
20JUL17	B	1	PD20OCT17	2226.50	2555.04	USD	8,213.50	
20JUL17	S	1	PD20OCT17	2212.50	2555.04	USD	-8,563.50	
20JUL17	S	1	PD20OCT17	2214.00	2555.04	USD	-8,526.00	
20JUL17	B	1	PD20OCT17	2217.00	2555.04	USD	8,451.00	
21JUL17	S	1	PD20OCT17	2228.50	2555.04	USD	-8,163.50	
21JUL17	S	1	PD20OCT17	2233.00	2555.04	USD	-8,051.00	
21JUL17	S	1	PD20OCT17	2238.50	2555.04	USD	-7,913.50	
21JUL17	S	1	PD20OCT17	2237.00	2555.04	USD	-7,951.00	
21JUL17	S	1	PD20OCT17	2235.50	2555.04	USD	-7,988.50	
21JUL17	S	1	PD20OCT17	2238.00	2555.04	USD	-7,926.00	
21JUL17	S	2	PD20OCT17	2240.00	2555.04	USD	-15,752.00	
21JUL17	S	1	PD20OCT17	2241.50	2555.04	USD	-7,838.50	
21JUL17	S	1	PD20OCT17	2244.00	2555.04	USD	-7,776.00	
21JUL17	S	1	PD20OCT17	2245.00	2555.04	USD	-7,751.00	
21JUL17	S	1	PD20OCT17	2243.50	2555.04	USD	-7,788.50	
21JUL17	S	2	PD20OCT17	2245.50	2555.04	USD	-15,477.00	
21JUL17	B	1	PD20OCT17	2249.00	2555.04	USD	7,651.00	
21JUL17	B	1	PD20OCT17	2248.00	2555.04	USD	7,676.00	

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21JUL17	S	1	PD200CT17	2247.50	2555.04	USD	-7,688.50	
21JUL17	S	1	PD200CT17	2246.50	2555.04	USD	-7,713.50	
21JUL17	S	3	PD200CT17	2246.00	2555.04	USD	-23,178.00	
21JUL17	B	1	PD200CT17	2241.00	2555.04	USD	7,851.00	
21JUL17	B	1	PD200CT17	2240.50	2555.04	USD	7,863.50	
21JUL17	B	1	PD200CT17	2250.00	2555.04	USD	7,626.00	
21JUL17	B	2	PD200CT17	2248.50	2555.04	USD	15,327.00	
21JUL17	S	1	PD200CT17	2247.00	2555.04	USD	-7,701.00	
21JUL17	S	1	PD200CT17	2249.50	2555.04	USD	-7,638.50	
21JUL17	S	1	PD200CT17	2249.00	2555.04	USD	-7,651.00	
21JUL17	S	1	PD200CT17	2251.00	2555.04	USD	-7,601.00	
21JUL17	B	3	PD200CT17	2247.00	2555.04	USD	23,103.00	
21JUL17	B	1	PD200CT17	2244.50	2555.04	USD	7,763.50	
21JUL17	B	1	PD200CT17	2243.50	2555.04	USD	7,788.50	
21JUL17	B	3	PD200CT17	2244.00	2555.04	USD	23,328.00	
21JUL17	B	1	PD200CT17	2243.00	2555.04	USD	7,801.00	
21JUL17	B	1	PD200CT17	2242.00	2555.04	USD	7,826.00	
21JUL17	S	2	PD200CT17	2239.00	2555.04	USD	-15,802.00	
21JUL17	B	4	PD200CT17	2242.50	2555.04	USD	31,254.00	
05SEP17	B	5	PD200CT17	2318.25	2555.04	USD	29,598.75	
	NET	0AVG BGHT 2244.97	SOLD 2234.40				-11,631.25	0.00
24JUL17	B	1	PD240CT17	2258.00	2555.61	USD	7,440.25	
24JUL17	B	2	PD240CT17	2259.00	2555.61	USD	14,830.50	
24JUL17	B	1	PD240CT17	2259.50	2555.61	USD	7,402.75	
24JUL17	B	1	PD240CT17	2257.50	2555.61	USD	7,452.75	
24JUL17	B	1	PD240CT17	2260.50	2555.61	USD	7,377.75	
24JUL17	B	1	PD240CT17	2262.00	2555.61	USD	7,340.25	
24JUL17	B	1	PD240CT17	2261.00	2555.61	USD	7,365.25	
24JUL17	B	2	PD240CT17	2258.50	2555.61	USD	14,855.50	
24JUL17	S	1	PD240CT17	2255.50	2555.61	USD	-7,502.75	
24JUL17	S	3	PD240CT17	2255.00	2555.61	USD	-22,545.75	
24JUL17	S	1	PD240CT17	2253.50	2555.61	USD	-7,552.75	
24JUL17	S	1	PD240CT17	2256.00	2555.61	USD	-7,490.25	
24JUL17	S	1	PD240CT17	2257.00	2555.61	USD	-7,465.25	
24JUL17	S	1	PD240CT17	2257.50	2555.61	USD	-7,452.75	
24JUL17	B	1	PD240CT17	2257.00	2555.61	USD	7,465.25	
05SEP17	S	3	PD240CT17	2319.63	2555.61	USD	-17,698.50	
	NET	0AVG BGHT 2259.14	SOLD 2273.04				3,822.25	0.00
25JUL17	S	1	PD250CT17	2273.50	2555.75	USD	-7,056.25	
25JUL17	S	1	PD250CT17	2272.00	2555.75	USD	-7,093.75	
25JUL17	B	1	PD250CT17	2272.50	2555.75	USD	7,081.25	
25JUL17	S	1	PD250CT17	2280.50	2555.75	USD	-6,881.25	
25JUL17	S	1	PD250CT17	2282.00	2555.75	USD	-6,843.75	
25JUL17	S	1	PD250CT17	2282.50	2555.75	USD	-6,831.25	
25JUL17	S	2	PD250CT17	2284.00	2555.75	USD	-13,587.50	
25JUL17	S	1	PD250CT17	2284.50	2555.75	USD	-6,781.25	
25JUL17	S	1	PD250CT17	2286.50	2555.75	USD	-6,731.25	
25JUL17	S	1	PD250CT17	2287.00	2555.75	USD	-6,718.75	
25JUL17	S	1	PD250CT17	2289.00	2555.75	USD	-6,668.75	
25JUL17	S	5	PD250CT17	2290.00	2555.75	USD	-33,218.75	
25JUL17	S	1	PD250CT17	2289.50	2555.75	USD	-6,656.25	
25JUL17	S	2	PD250CT17	2291.00	2555.75	USD	-13,237.50	
25JUL17	S	1	PD250CT17	2293.00	2555.75	USD	-6,568.75	
25JUL17	S	1	PD250CT17	2293.50	2555.75	USD	-6,556.25	
25JUL17	B	1	PD250CT17	2285.50	2555.75	USD	6,756.25	
25JUL17	S	1	PD250CT17	2294.00	2555.75	USD	-6,543.75	
25JUL17	S	1	PD250CT17	2296.50	2555.75	USD	-6,481.25	

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25JUL17	S	1	PD250CT17	2295.50	2555.75	USD	-6,506.25	
25JUL17	S	3	PD250CT17	2296.00	2555.75	USD	-19,481.25	
25JUL17	S	1	PD250CT17	2297.00	2555.75	USD	-6,468.75	
25JUL17	B	3	PD250CT17	2293.50	2555.75	USD	19,668.75	
25JUL17	B	1	PD250CT17	2297.00	2555.75	USD	6,468.75	
25JUL17	B	1	PD250CT17	2296.50	2555.75	USD	6,481.25	
25JUL17	S	1	PD250CT17	2294.50	2555.75	USD	-6,531.25	
25JUL17	S	1	PD250CT17	2280.00	2555.75	USD	-6,893.75	
25JUL17	B	2	PD250CT17	2292.50	2555.75	USD	13,162.50	
25JUL17	B	1	PD250CT17	2294.00	2555.75	USD	6,543.75	
25JUL17	B	1	PD250CT17	2292.00	2555.75	USD	6,593.75	
25JUL17	B	1	PD250CT17	2290.00	2555.75	USD	6,643.75	
25JUL17	B	1	PD250CT17	2307.00	2555.75	USD	6,218.75	
25JUL17	B	1	PD250CT17	2305.00	2555.75	USD	6,268.75	
25JUL17	B	2	PD250CT17	2295.50	2555.75	USD	13,012.50	
25JUL17	B	2	PD250CT17	2291.00	2555.75	USD	13,237.50	
25JUL17	B	1	PD250CT17	2298.50	2555.75	USD	6,431.25	
25JUL17	B	7	PD250CT17	2298.00	2555.75	USD	45,106.25	
25JUL17	S	1	PD250CT17	2311.50	2555.75	USD	-6,106.25	
25JUL17	S	1	PD250CT17	2316.00	2555.75	USD	-5,993.75	
25JUL17	B	1	PD250CT17	2311.50	2555.75	USD	6,106.25	
25JUL17	B	1	PD250CT17	2319.50	2555.75	USD	5,906.25	
25JUL17	B	1	PD250CT17	2316.00	2555.75	USD	5,993.75	
25JUL17	B	1	PD250CT17	2320.00	2555.75	USD	5,893.75	
25JUL17	B	2	PD250CT17	2321.50	2555.75	USD	11,712.50	
	NET	0 AVG BGHT 2299.14	SOLD 2290.20				-7,150.00	0.00
26JUL17	B	1	PD260CT17	2332.00	2555.89	USD	5,597.25	
26JUL17	B	1	PD260CT17	2333.00	2555.89	USD	5,572.25	
26JUL17	B	1	PD260CT17	2333.50	2555.89	USD	5,559.75	
26JUL17	S	1	PD260CT17	2344.00	2555.89	USD	-5,297.25	
26JUL17	S	1	PD260CT17	2344.50	2555.89	USD	-5,284.75	
26JUL17	S	1	PD260CT17	2345.00	2555.89	USD	-5,272.25	
26JUL17	S	1	PD260CT17	2347.00	2555.89	USD	-5,222.25	
26JUL17	B	1	PD260CT17	2339.00	2555.89	USD	5,422.25	
26JUL17	B	1	PD260CT17	2334.50	2555.89	USD	5,534.75	
26JUL17	S	1	PD260CT17	2328.50	2555.89	USD	-5,684.75	
26JUL17	B	1	PD260CT17	2323.00	2555.89	USD	5,822.25	
26JUL17	B	1	PD260CT17	2313.50	2555.89	USD	6,059.75	
26JUL17	S	1	PD260CT17	2312.50	2555.89	USD	-6,084.75	
26JUL17	S	1	PD260CT17	2313.00	2555.89	USD	-6,072.25	
26JUL17	S	1	PD260CT17	2320.00	2555.89	USD	-5,897.25	
26JUL17	S	1	PD260CT17	2322.00	2555.89	USD	-5,847.25	
26JUL17	S	1	PD260CT17	2321.50	2555.89	USD	-5,859.75	
26JUL17	S	1	PD260CT17	2319.00	2555.89	USD	-5,922.25	
26JUL17	B	1	PD260CT17	2317.00	2555.89	USD	5,972.25	
26JUL17	B	1	PD260CT17	2315.00	2555.89	USD	6,022.25	
26JUL17	B	1	PD260CT17	2313.00	2555.89	USD	6,072.25	
26JUL17	S	1	PD260CT17	2315.00	2555.89	USD	-6,022.25	
26JUL17	B	6	PD260CT17	2312.00	2555.89	USD	36,583.50	
26JUL17	S	1	PD260CT17	2317.00	2555.89	USD	-5,972.25	
26JUL17	S	1	PD260CT17	2316.50	2555.89	USD	-5,984.75	
26JUL17	S	1	PD260CT17	2317.50	2555.89	USD	-5,959.75	
26JUL17	B	1	PD260CT17	2333.00	2555.89	USD	5,572.25	
26JUL17	S	1	PD260CT17	2346.00	2555.89	USD	-5,247.25	
26JUL17	B	1	PD260CT17	2310.50	2555.89	USD	6,134.75	
26JUL17	S	1	PD260CT17	2329.50	2555.89	USD	-5,659.75	
26JUL17	S	1	PD260CT17	2335.00	2555.89	USD	-5,522.25	

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26JUL17	S	1	PD260CT17		2332.50	2555.89	USD	-5,584.75	
26JUL17	B	1	PD260CT17		2325.00	2555.89	USD	5,772.25	
26JUL17	B	1	PD260CT17		2325.50	2555.89	USD	5,759.75	
26JUL17	B	1	PD260CT17		2324.00	2555.89	USD	5,797.25	
05SEP17	S	2	PD260CT17		2320.42	2555.89	USD	-11,773.50	
	NET	0AVG	BGHT 2321.12	SOLD 2326.99				3,083.50	0.00
27JUL17	S	1	PD270CT17		2326.50	2556.04	USD	-5,738.50	
27JUL17	B	1	PD270CT17		2331.00	2556.04	USD	5,626.00	
27JUL17	B	1	PD270CT17		2329.00	2556.04	USD	5,676.00	
27JUL17	S	1	PD270CT17		2331.00	2556.04	USD	-5,626.00	
27JUL17	S	2	PD270CT17		2331.50	2556.04	USD	-11,227.00	
27JUL17	B	3	PD270CT17		2332.00	2556.04	USD	16,803.00	
27JUL17	B	2	PD270CT17		2330.00	2556.04	USD	11,302.00	
27JUL17	S	1	PD270CT17		2324.00	2556.04	USD	-5,801.00	
27JUL17	S	2	PD270CT17		2327.50	2556.04	USD	-11,427.00	
28JUL17	B	1	PD270CT17		2297.50	2556.04	USD	6,463.50	
28JUL17	S	1	PD270CT17		2296.50	2556.04	USD	-6,488.50	
28JUL17	S	1	PD270CT17		2297.50	2556.04	USD	-6,463.50	
28JUL17	S	1	PD270CT17		2299.50	2556.04	USD	-6,413.50	
28JUL17	S	1	PD270CT17		2298.50	2556.04	USD	-6,438.50	
28JUL17	S	1	PD270CT17		2303.00	2556.04	USD	-6,326.00	
28JUL17	S	2	PD270CT17		2302.00	2556.04	USD	-12,702.00	
28JUL17	B	3	PD270CT17		2298.00	2556.04	USD	19,353.00	
28JUL17	B	1	PD270CT17		2297.00	2556.04	USD	6,476.00	
28JUL17	B	1	PD270CT17		2296.00	2556.04	USD	6,501.00	
28JUL17	B	3	PD270CT17		2295.00	2556.04	USD	19,578.00	
28JUL17	B	1	PD270CT17		2291.00	2556.04	USD	6,626.00	
28JUL17	B	1	PD270CT17		2291.50	2556.04	USD	6,613.50	
28JUL17	B	1	PD270CT17		2292.00	2556.04	USD	6,601.00	
28JUL17	B	3	PD270CT17		2292.50	2556.04	USD	19,765.50	
28JUL17	S	1	PD270CT17		2302.50	2556.04	USD	-6,338.50	
28JUL17	S	3	PD270CT17		2303.50	2556.04	USD	-18,940.50	
28JUL17	B	1	PD270CT17		2306.50	2556.04	USD	6,238.50	
28JUL17	S	3	PD270CT17		2305.00	2556.04	USD	-18,828.00	
28JUL17	S	6	PD270CT17		2304.50	2556.04	USD	-37,731.00	
28JUL17	B	1	PD270CT17		2292.50	2556.04	USD	6,588.50	
28JUL17	S	1	PD270CT17		2292.50	2556.04	USD	-6,588.50	
28JUL17	B	1	PD270CT17		2292.00	2556.04	USD	6,601.00	
28JUL17	S	1	PD270CT17		2301.00	2556.04	USD	-6,376.00	
28JUL17	S	3	PD270CT17		2307.50	2556.04	USD	-18,640.50	
28JUL17	S	2	PD270CT17		2307.00	2556.04	USD	-12,452.00	
28JUL17	S	1	PD270CT17		2312.50	2556.04	USD	-6,088.50	
28JUL17	S	1	PD270CT17		2311.50	2556.04	USD	-6,113.50	
28JUL17	S	1	PD270CT17		2312.00	2556.04	USD	-6,101.00	
28JUL17	B	1	PD270CT17		2317.00	2556.04	USD	5,976.00	
28JUL17	B	1	PD270CT17		2316.00	2556.04	USD	6,001.00	
28JUL17	B	2	PD270CT17		2315.50	2556.04	USD	12,027.00	
28JUL17	B	1	PD270CT17		2314.50	2556.04	USD	6,038.50	
28JUL17	B	1	PD270CT17		2315.00	2556.04	USD	6,026.00	
28JUL17	B	1	PD270CT17		2323.50	2556.04	USD	5,813.50	
28JUL17	B	1	PD270CT17		2319.50	2556.04	USD	5,913.50	
05SEP17	B	4	PD270CT17		2321.01	2556.04	USD	23,503.00	
	NET	0AVG	BGHT 2309.43	SOLD 2308.64				-738.50	0.00
31JUL17	B	1	PD310CT17		2339.50	2556.61	USD	5,427.75	
31JUL17	B	1	PD310CT17		2334.00	2556.61	USD	5,565.25	
31JUL17	S	1	PD310CT17		2331.00	2556.61	USD	-5,640.25	
31JUL17	S	1	PD310CT17		2332.00	2556.61	USD	-5,615.25	

OPEN POSITION STATEMENT



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SAP083XA

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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31JUL17	S	1	PD31OCT17	2332.50	2556.61	USD	-5,602.75	
31JUL17	S	1	PD31OCT17	2333.50	2556.61	USD	-5,577.75	
31JUL17	S	1	PD31OCT17	2330.00	2556.61	USD	-5,665.25	
31JUL17	B	5	PD31OCT17	2335.50	2556.61	USD	27,638.75	
31JUL17	S	1	PD31OCT17	2336.00	2556.61	USD	-5,515.25	
31JUL17	S	1	PD31OCT17	2339.50	2556.61	USD	-5,427.75	
31JUL17	S	1	PD31OCT17	2337.50	2556.61	USD	-5,477.75	
31JUL17	B	2	PD31OCT17	2337.50	2556.61	USD	10,955.50	
31JUL17	S	1	PD31OCT17	2345.00	2556.61	USD	-5,290.25	
31JUL17	S	1	PD31OCT17	2341.50	2556.61	USD	-5,377.75	
31JUL17	S	1	PD31OCT17	2344.00	2556.61	USD	-5,315.25	
31JUL17	S	1	PD31OCT17	2337.00	2556.61	USD	-5,490.25	
31JUL17	B	1	PD31OCT17	2330.00	2556.61	USD	5,665.25	
31JUL17	S	1	PD31OCT17	2330.00	2556.61	USD	-5,665.25	
31JUL17	S	1	PD31OCT17	2337.00	2556.61	USD	-5,490.25	
31JUL17	B	1	PD31OCT17	2331.00	2556.61	USD	5,640.25	
31JUL17	B	1	PD31OCT17	2333.50	2556.61	USD	5,577.75	
31JUL17	B	2	PD31OCT17	2332.50	2556.61	USD	11,205.50	
31JUL17	B	3	PD31OCT17	2331.50	2556.61	USD	16,883.25	
31JUL17	B	1	PD31OCT17	2327.00	2556.61	USD	5,740.25	
05SEP17	S	4	PD31OCT17	2322.39	2556.61	USD	-23,422.00	
	NET	0AVG BGHT 2333.72	SOLD 2333.11				-273.50	0.00
01AUG17	S	4	PD01NOV17	2315.00	2556.75	USD	-24,175.00	
01AUG17	B	2	PD01NOV17	2311.50	2556.75	USD	12,262.50	
01AUG17	B	1	PD01NOV17	2311.00	2556.75	USD	6,143.75	
01AUG17	B	1	PD01NOV17	2309.50	2556.75	USD	6,181.25	
01AUG17	B	1	PD01NOV17	2309.00	2556.75	USD	6,193.75	
01AUG17	B	1	PD01NOV17	2308.50	2556.75	USD	6,206.25	
01AUG17	B	1	PD01NOV17	2305.00	2556.75	USD	6,293.75	
01AUG17	B	1	PD01NOV17	2304.50	2556.75	USD	6,306.25	
01AUG17	B	1	PD01NOV17	2301.50	2556.75	USD	6,381.25	
01AUG17	B	1	PD01NOV17	2302.50	2556.75	USD	6,356.25	
01AUG17	B	1	PD01NOV17	2302.00	2556.75	USD	6,368.75	
01AUG17	S	4	PD01NOV17	2308.00	2556.75	USD	-24,875.00	
01AUG17	S	2	PD01NOV17	2308.50	2556.75	USD	-12,412.50	
01AUG17	S	2	PD01NOV17	2310.50	2556.75	USD	-12,312.50	
01AUG17	S	3	PD01NOV17	2310.00	2556.75	USD	-18,506.25	
01AUG17	S	1	PD01NOV17	2307.00	2556.75	USD	-6,243.75	
01AUG17	S	1	PD01NOV17	2311.00	2556.75	USD	-6,143.75	
01AUG17	S	1	PD01NOV17	2314.00	2556.75	USD	-6,068.75	
01AUG17	S	2	PD01NOV17	2315.50	2556.75	USD	-12,062.50	
01AUG17	S	2	PD01NOV17	2316.00	2556.75	USD	-12,037.50	
01AUG17	S	3	PD01NOV17	2314.50	2556.75	USD	-18,168.75	
01AUG17	S	3	PD01NOV17	2316.50	2556.75	USD	-18,018.75	
01AUG17	S	1	PD01NOV17	2317.00	2556.75	USD	-5,993.75	
01AUG17	S	1	PD01NOV17	2321.00	2556.75	USD	-5,893.75	
01AUG17	S	1	PD01NOV17	2320.00	2556.75	USD	-5,918.75	
01AUG17	S	1	PD01NOV17	2322.00	2556.75	USD	-5,868.75	
01AUG17	S	1	PD01NOV17	2321.50	2556.75	USD	-5,881.25	
01AUG17	B	1	PD01NOV17	2315.50	2556.75	USD	6,031.25	
01AUG17	B	1	PD01NOV17	2316.00	2556.75	USD	6,018.75	
01AUG17	B	1	PD01NOV17	2317.50	2556.75	USD	5,981.25	
01AUG17	S	1	PD01NOV17	2320.50	2556.75	USD	-5,906.25	
01AUG17	S	1	PD01NOV17	2322.50	2556.75	USD	-5,856.25	
01AUG17	S	2	PD01NOV17	2324.50	2556.75	USD	-11,612.50	
01AUG17	B	1	PD01NOV17	2327.00	2556.75	USD	5,743.75	
01AUG17	B	1	PD01NOV17	2329.00	2556.75	USD	5,693.75	

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01AUG17	B	1	PD01NOV17	2318.00	2556.75	USD	5,968.75	
01AUG17	B	1	PD01NOV17	2327.50	2556.75	USD	5,731.25	
01AUG17	S	1	PD01NOV17	2330.00	2556.75	USD	-5,668.75	
01AUG17	S	2	PD01NOV17	2330.50	2556.75	USD	-11,312.50	
01AUG17	S	1	PD01NOV17	2333.00	2556.75	USD	-5,593.75	
01AUG17	S	1	PD01NOV17	2333.50	2556.75	USD	-5,581.25	
01AUG17	S	1	PD01NOV17	2329.50	2556.75	USD	-5,681.25	
01AUG17	S	1	PD01NOV17	2335.50	2556.75	USD	-5,531.25	
01AUG17	B	1	PD01NOV17	2338.50	2556.75	USD	5,456.25	
01AUG17	B	1	PD01NOV17	2337.00	2556.75	USD	5,493.75	
01AUG17	B	1	PD01NOV17	2333.50	2556.75	USD	5,581.25	
01AUG17	B	1	PD01NOV17	2334.50	2556.75	USD	5,556.25	
01AUG17	B	2	PD01NOV17	2335.00	2556.75	USD	11,087.50	
01AUG17	B	2	PD01NOV17	2335.50	2556.75	USD	11,062.50	
01AUG17	B	5	PD01NOV17	2336.50	2556.75	USD	27,531.25	
01AUG17	B	7	PD01NOV17	2336.00	2556.75	USD	38,631.25	
01AUG17	S	1	PD01NOV17	2335.50	2556.75	USD	-5,531.25	
01AUG17	B	1	PD01NOV17	2337.50	2556.75	USD	5,481.25	
05SEP17	B	6	PD01NOV17	2322.98	2556.75	USD	35,065.50	
	NET	0 AVG	BGHT 2324.92	SOLD 2317.77			-8,047.00	0.00
02AUG17	S	1	PD02NOV17	2328.50	2556.89	USD	-5,709.75	
02AUG17	S	2	PD02NOV17	2327.50	2556.89	USD	-11,469.50	
02AUG17	S	1	PD02NOV17	2332.00	2556.89	USD	-5,622.25	
02AUG17	S	1	PD02NOV17	2333.00	2556.89	USD	-5,597.25	
02AUG17	S	2	PD02NOV17	2332.50	2556.89	USD	-11,219.50	
02AUG17	S	1	PD02NOV17	2337.50	2556.89	USD	-5,484.75	
02AUG17	S	1	PD02NOV17	2339.00	2556.89	USD	-5,447.25	
02AUG17	B	3	PD02NOV17	2340.00	2556.89	USD	16,266.75	
02AUG17	B	3	PD02NOV17	2339.50	2556.89	USD	16,304.25	
02AUG17	B	1	PD02NOV17	2343.00	2556.89	USD	5,347.25	
02AUG17	B	1	PD02NOV17	2342.50	2556.89	USD	5,359.75	
02AUG17	B	1	PD02NOV17	2342.00	2556.89	USD	5,372.25	
02AUG17	S	2	PD02NOV17	2335.00	2556.89	USD	-11,094.50	
02AUG17	S	1	PD02NOV17	2335.50	2556.89	USD	-5,534.75	
02AUG17	B	2	PD02NOV17	2338.00	2556.89	USD	10,944.50	
02AUG17	B	1	PD02NOV17	2337.00	2556.89	USD	5,497.25	
02AUG17	B	2	PD02NOV17	2343.50	2556.89	USD	10,669.50	
02AUG17	B	1	PD02NOV17	2340.50	2556.89	USD	5,409.75	
02AUG17	B	1	PD02NOV17	2341.00	2556.89	USD	5,397.25	
02AUG17	B	1	PD02NOV17	2339.00	2556.89	USD	5,447.25	
05SEP17	S	5	PD02NOV17	2323.18	2556.89	USD	-29,213.75	
29SEP17	S	10	PD02NOV17	2492.15	2556.89	USD	-16,185.00	
29SEP17	S	5	PD02NOV17	2492.35	2556.89	USD	-8,067.50	
03OCT17	B	13	PD02NOV17	2588.00	2556.89	USD	-10,110.75	
04OCT17	B	1	PD02NOV17	2555.57	2556.89	USD	33.00	
11OCT17	B	1	PD02NOV17	2556.89	2556.89	USD	0.00	
	NET	0 AVG	BGHT 2454.47	SOLD 2406.08			-38,707.75	0.00
03AUG17	B	1	PD03NOV17	2373.50	2557.04	USD	4,588.50	
03AUG17	S	1	PD03NOV17	2368.50	2557.04	USD	-4,713.50	
04AUG17	S	2	PD03NOV17	2365.50	2557.04	USD	-9,577.00	
04AUG17	B	1	PD03NOV17	2372.00	2557.04	USD	4,626.00	
04AUG17	S	2	PD03NOV17	2372.50	2557.04	USD	-9,227.00	
04AUG17	S	1	PD03NOV17	2370.50	2557.04	USD	-4,663.50	
04AUG17	S	3	PD03NOV17	2369.00	2557.04	USD	-14,103.00	
04AUG17	B	2	PD03NOV17	2367.50	2557.04	USD	9,477.00	
04AUG17	B	2	PD03NOV17	2366.00	2557.04	USD	9,552.00	
04AUG17	B	1	PD03NOV17	2367.00	2557.04	USD	4,751.00	

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04AUG17	B	1	PD03NOV17	2366.50	2557.04	USD	4,763.50	
04AUG17	B	1	PD03NOV17	2371.50	2557.04	USD	4,638.50	
04AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
05SEP17	S	1	PD03NOV17	2323.57	2557.04	USD	-5,836.75	
	NET	0 AVG BGHT 2367.35	SOLD 2364.56				-698.25	0.00
07AUG17	S	1	PD07NOV17	2359.50	2557.61	USD	-4,952.75	
07AUG17	S	2	PD07NOV17	2359.00	2557.61	USD	-9,930.50	
07AUG17	S	1	PD07NOV17	2354.50	2557.61	USD	-5,077.75	
07AUG17	S	2	PD07NOV17	2357.50	2557.61	USD	-10,005.50	
07AUG17	S	1	PD07NOV17	2368.50	2557.61	USD	-4,727.75	
07AUG17	S	1	PD07NOV17	2370.00	2557.61	USD	-4,690.25	
07AUG17	S	1	PD07NOV17	2369.50	2557.61	USD	-4,702.75	
07AUG17	B	1	PD07NOV17	2376.00	2557.61	USD	4,540.25	
07AUG17	B	1	PD07NOV17	2377.00	2557.61	USD	4,515.25	
07AUG17	B	2	PD07NOV17	2374.00	2557.61	USD	9,180.50	
07AUG17	B	1	PD07NOV17	2382.00	2557.61	USD	4,390.25	
07AUG17	B	1	PD07NOV17	2390.00	2557.61	USD	4,190.25	
07AUG17	B	2	PD07NOV17	2375.50	2557.61	USD	9,105.50	
07AUG17	B	4	PD07NOV17	2375.00	2557.61	USD	18,261.00	
07AUG17	S	1	PD07NOV17	2383.50	2557.61	USD	-4,352.75	
07AUG17	S	1	PD07NOV17	2386.00	2557.61	USD	-4,290.25	
07AUG17	B	1	PD07NOV17	2375.00	2557.61	USD	4,565.25	
07AUG17	B	1	PD07NOV17	2363.00	2557.61	USD	4,865.25	
07AUG17	S	1	PD07NOV17	2366.00	2557.61	USD	-4,790.25	
05SEP17	S	2	PD07NOV17	2325.35	2557.61	USD	-11,613.00	
	NET	0 AVG BGHT 2375.86	SOLD 2360.09				-5,520.00	0.00
08AUG17	S	1	PD08NOV17	2365.00	2557.75	USD	-4,818.75	
08AUG17	B	1	PD08NOV17	2365.00	2557.75	USD	4,818.75	
08AUG17	B	1	PD08NOV17	2366.50	2557.75	USD	4,781.25	
08AUG17	S	1	PD08NOV17	2348.00	2557.75	USD	-5,243.75	
08AUG17	B	1	PD08NOV17	2349.50	2557.75	USD	5,206.25	
08AUG17	S	1	PD08NOV17	2365.50	2557.75	USD	-4,806.25	
08AUG17	S	1	PD08NOV17	2388.00	2557.75	USD	-4,243.75	
08AUG17	S	1	PD08NOV17	2389.00	2557.75	USD	-4,218.75	
08AUG17	B	1	PD08NOV17	2384.50	2557.75	USD	4,331.25	
08AUG17	B	1	PD08NOV17	2385.00	2557.75	USD	4,318.75	
08AUG17	B	1	PD08NOV17	2393.00	2557.75	USD	4,118.75	
08AUG17	S	1	PD08NOV17	2392.50	2557.75	USD	-4,131.25	
08AUG17	S	1	PD08NOV17	2391.00	2557.75	USD	-4,168.75	
05SEP17	B	1	PD08NOV17	2325.94	2557.75	USD	5,795.25	
	NET	0 AVG BGHT 2367.06	SOLD 2377.00				1,739.00	0.00
09AUG17	S	1	PD09NOV17	2398.00	2557.89	USD	-3,997.25	
09AUG17	S	1	PD09NOV17	2397.50	2557.89	USD	-4,009.75	
09AUG17	S	1	PD09NOV17	2403.50	2557.89	USD	-3,859.75	
09AUG17	B	1	PD09NOV17	2400.00	2557.89	USD	3,947.25	
09AUG17	B	1	PD09NOV17	2400.50	2557.89	USD	3,934.75	
09AUG17	S	1	PD09NOV17	2419.50	2557.89	USD	-3,459.75	
09AUG17	S	1	PD09NOV17	2419.00	2557.89	USD	-3,472.25	
09AUG17	S	2	PD09NOV17	2420.50	2557.89	USD	-6,869.50	
09AUG17	S	1	PD09NOV17	2413.50	2557.89	USD	-3,609.75	
09AUG17	B	2	PD09NOV17	2401.00	2557.89	USD	7,844.50	
09AUG17	B	1	PD09NOV17	2398.00	2557.89	USD	3,997.25	
09AUG17	B	1	PD09NOV17	2392.00	2557.89	USD	4,147.25	
09AUG17	B	3	PD09NOV17	2389.50	2557.89	USD	12,629.25	
09AUG17	B	1	PD09NOV17	2379.00	2557.89	USD	4,472.25	
09AUG17	S	2	PD09NOV17	2395.00	2557.89	USD	-8,144.50	

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09AUG17	S	1	PD09NOV17	2394.50	2557.89	USD	-4,084.75	
09AUG17	S	1	PD09NOV17	2407.00	2557.89	USD	-3,772.25	
09AUG17	S	1	PD09NOV17	2411.50	2557.89	USD	-3,659.75	
09AUG17	S	2	PD09NOV17	2412.50	2557.89	USD	-7,269.50	
09AUG17	B	1	PD09NOV17	2394.00	2557.89	USD	4,097.25	
09AUG17	B	1	PD09NOV17	2384.50	2557.89	USD	4,334.75	
09AUG17	B	1	PD09NOV17	2388.50	2557.89	USD	4,234.75	
09AUG17	B	1	PD09NOV17	2389.00	2557.89	USD	4,222.25	
09AUG17	B	1	PD09NOV17	2354.00	2557.89	USD	5,097.25	
09AUG17	B	1	PD09NOV17	2354.50	2557.89	USD	5,084.75	
09AUG17	B	1	PD09NOV17	2351.50	2557.89	USD	5,159.75	
09AUG17	B	1	PD09NOV17	2358.00	2557.89	USD	4,997.25	
09AUG17	S	1	PD09NOV17	2358.00	2557.89	USD	-4,997.25	
09AUG17	S	1	PD09NOV17	2361.00	2557.89	USD	-4,922.25	
09AUG17	S	2	PD09NOV17	2362.00	2557.89	USD	-9,794.50	
05SEP17	B	1	PD09NOV17	2326.33	2557.89	USD	5,789.00	
	NET	0 AVG BGHT 2381.07	SOLD 2398.05				8,066.75	0.00
10AUG17	S	1	PD10NOV17	2380.00	2558.04	USD	-4,451.00	
10AUG17	B	1	PD10NOV17	2367.00	2558.04	USD	4,776.00	
10AUG17	S	3	PD10NOV17	2381.50	2558.04	USD	-13,240.50	
10AUG17	B	1	PD10NOV17	2370.50	2558.04	USD	4,688.50	
10AUG17	B	1	PD10NOV17	2368.50	2558.04	USD	4,738.50	
10AUG17	B	1	PD10NOV17	2369.50	2558.04	USD	4,713.50	
11AUG17	S	2	PD10NOV17	2344.00	2558.04	USD	-10,702.00	
11AUG17	B	1	PD10NOV17	2346.00	2558.04	USD	5,301.00	
11AUG17	B	1	PD10NOV17	2345.50	2558.04	USD	5,313.50	
11AUG17	S	3	PD10NOV17	2337.50	2558.04	USD	-16,540.50	
11AUG17	S	1	PD10NOV17	2342.00	2558.04	USD	-5,401.00	
11AUG17	S	1	PD10NOV17	2340.00	2558.04	USD	-5,451.00	
11AUG17	B	1	PD10NOV17	2335.50	2558.04	USD	5,563.50	
11AUG17	B	1	PD10NOV17	2336.00	2558.04	USD	5,551.00	
11AUG17	B	2	PD10NOV17	2335.00	2558.04	USD	11,152.00	
11AUG17	B	4	PD10NOV17	2339.00	2558.04	USD	21,904.00	
11AUG17	S	1	PD10NOV17	2341.50	2558.04	USD	-5,413.50	
11AUG17	S	2	PD10NOV17	2343.00	2558.04	USD	-10,752.00	
11AUG17	S	1	PD10NOV17	2343.50	2558.04	USD	-5,363.50	
11AUG17	S	1	PD10NOV17	2339.00	2558.04	USD	-5,476.00	
11AUG17	S	1	PD10NOV17	2342.50	2558.04	USD	-5,388.50	
11AUG17	B	2	PD10NOV17	2341.50	2558.04	USD	10,827.00	
11AUG17	S	1	PD10NOV17	2344.00	2558.04	USD	-5,351.00	
11AUG17	B	1	PD10NOV17	2342.00	2558.04	USD	5,401.00	
11AUG17	S	2	PD10NOV17	2352.00	2558.04	USD	-10,302.00	
11AUG17	S	1	PD10NOV17	2350.50	2558.04	USD	-5,188.50	
11AUG17	S	1	PD10NOV17	2356.00	2558.04	USD	-5,051.00	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	S	1	PD10NOV17	2352.50	2558.04	USD	-5,138.50	
11AUG17	B	1	PD10NOV17	2341.00	2558.04	USD	5,426.00	
11AUG17	B	3	PD10NOV17	2338.00	2558.04	USD	16,503.00	
11AUG17	B	1	PD10NOV17	2337.00	2558.04	USD	5,526.00	
11AUG17	B	2	PD10NOV17	2334.00	2558.04	USD	11,202.00	
11AUG17	B	1	PD10NOV17	2330.00	2558.04	USD	5,701.00	
11AUG17	B	1	PD10NOV17	2329.00	2558.04	USD	5,726.00	
11AUG17	S	1	PD10NOV17	2333.50	2558.04	USD	-5,613.50	
14SEP17	S	1	PD10NOV17	2296.92	2558.04	USD	-6,528.00	
	NET	0 AVG BGHT 2342.63	SOLD 2348.15				3,585.50	0.00
14AUG17	S	1	PD14NOV17	2343.50	2558.61	USD	-5,377.75	
14AUG17	S	1	PD14NOV17	2345.00	2558.61	USD	-5,340.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	2	PD14NOV17	2343.00	2558.61	USD	-10,780.50	
14AUG17	S	4	PD14NOV17	2346.00	2558.61	USD	-21,261.00	
14AUG17	B	1	PD14NOV17	2338.50	2558.61	USD	5,502.75	
14AUG17	B	3	PD14NOV17	2338.00	2558.61	USD	16,545.75	
14AUG17	B	1	PD14NOV17	2337.00	2558.61	USD	5,540.25	
14AUG17	B	1	PD14NOV17	2333.00	2558.61	USD	5,640.25	
14AUG17	B	1	PD14NOV17	2335.00	2558.61	USD	5,590.25	
14AUG17	B	1	PD14NOV17	2334.00	2558.61	USD	5,615.25	
14AUG17	S	1	PD14NOV17	2334.50	2558.61	USD	-5,602.75	
14SEP17	B	1	PD14NOV17	2298.58	2558.61	USD	6,500.75	
	NET	0 AVG BGHT 2332.23	SOLD 2343.67				2,573.00	0.00
16AUG17	S	1	PD16NOV17	2388.50	2558.75	USD	-4,256.25	
16AUG17	S	1	PD16NOV17	2387.50	2558.75	USD	-4,281.25	
16AUG17	S	3	PD16NOV17	2388.00	2558.75	USD	-12,806.25	
16AUG17	S	1	PD16NOV17	2393.50	2558.75	USD	-4,131.25	
16AUG17	S	1	PD16NOV17	2398.50	2558.75	USD	-4,006.25	
16AUG17	S	1	PD16NOV17	2399.00	2558.75	USD	-3,993.75	
16AUG17	S	1	PD16NOV17	2399.50	2558.75	USD	-3,981.25	
16AUG17	S	1	PD16NOV17	2402.50	2558.75	USD	-3,906.25	
16AUG17	S	1	PD16NOV17	2403.50	2558.75	USD	-3,881.25	
16AUG17	B	2	PD16NOV17	2414.50	2558.75	USD	7,212.50	
16AUG17	B	1	PD16NOV17	2413.50	2558.75	USD	3,631.25	
16AUG17	B	2	PD16NOV17	2417.50	2558.75	USD	7,062.50	
16AUG17	B	1	PD16NOV17	2411.50	2558.75	USD	3,681.25	
16AUG17	S	1	PD16NOV17	2420.50	2558.75	USD	-3,456.25	
16AUG17	S	3	PD16NOV17	2420.00	2558.75	USD	-10,406.25	
16AUG17	S	1	PD16NOV17	2423.50	2558.75	USD	-3,381.25	
16AUG17	S	1	PD16NOV17	2422.00	2558.75	USD	-3,418.75	
16AUG17	B	1	PD16NOV17	2431.00	2558.75	USD	3,193.75	
16AUG17	S	1	PD16NOV17	2387.50	2558.75	USD	-4,281.25	
16AUG17	B	1	PD16NOV17	2420.50	2558.75	USD	3,456.25	
16AUG17	S	2	PD16NOV17	2427.50	2558.75	USD	-6,562.50	
16AUG17	S	1	PD16NOV17	2428.50	2558.75	USD	-3,256.25	
16AUG17	B	1	PD16NOV17	2450.50	2558.75	USD	2,706.25	
16AUG17	B	1	PD16NOV17	2451.00	2558.75	USD	2,693.75	
16AUG17	B	1	PD16NOV17	2452.50	2558.75	USD	2,656.25	
16AUG17	B	1	PD16NOV17	2479.50	2558.75	USD	1,981.25	
16AUG17	B	1	PD16NOV17	2479.00	2558.75	USD	1,993.75	
16AUG17	B	1	PD16NOV17	2481.00	2558.75	USD	1,943.75	
16AUG17	B	1	PD16NOV17	2480.00	2558.75	USD	1,968.75	
16AUG17	B	1	PD16NOV17	2471.00	2558.75	USD	2,193.75	
16AUG17	B	1	PD16NOV17	2472.50	2558.75	USD	2,156.25	
16AUG17	B	1	PD16NOV17	2490.00	2558.75	USD	1,718.75	
16AUG17	B	1	PD16NOV17	2479.50	2558.75	USD	1,981.25	
16AUG17	B	1	PD16NOV17	2480.00	2558.75	USD	1,968.75	
16AUG17	S	1	PD16NOV17	2495.50	2558.75	USD	-1,581.25	
16AUG17	S	1	PD16NOV17	2501.00	2558.75	USD	-1,443.75	
16AUG17	B	2	PD16NOV17	2512.00	2558.75	USD	2,337.50	
16AUG17	B	2	PD16NOV17	2513.00	2558.75	USD	2,287.50	
10OCT17	S	1	PD16NOV17	2549.25	2558.75	USD	-237.50	
	NET	0 AVG BGHT 2460.71	SOLD 2419.97				-24,443.75	0.00
17AUG17	S	1	PD17NOV17	2528.50	2558.75	USD	-756.25	
17AUG17	B	1	PD17NOV17	2531.00	2558.75	USD	693.75	
17AUG17	B	4	PD17NOV17	2532.00	2558.75	USD	2,675.00	
17AUG17	B	2	PD17NOV17	2534.50	2558.75	USD	1,212.50	
17AUG17	S	1	PD17NOV17	2521.50	2558.75	USD	-931.25	
17AUG17	S	1	PD17NOV17	2520.50	2558.75	USD	-956.25	

OPEN POSITION STATEMENT



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SAP083XA

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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17AUG17	S	2	PD17NOV17	2521.00	2558.75	USD	-1,887.50	
17AUG17	S	1	PD17NOV17	2517.00	2558.75	USD	-1,043.75	
17AUG17	S	1	PD17NOV17	2508.00	2558.75	USD	-1,268.75	
17AUG17	S	1	PD17NOV17	2509.00	2558.75	USD	-1,243.75	
17AUG17	S	1	PD17NOV17	2510.50	2558.75	USD	-1,206.25	
17AUG17	S	1	PD17NOV17	2513.00	2558.75	USD	-1,143.75	
17AUG17	B	1	PD17NOV17	2488.50	2558.75	USD	1,756.25	
17AUG17	B	1	PD17NOV17	2492.00	2558.75	USD	1,668.75	
17AUG17	B	1	PD17NOV17	2491.50	2558.75	USD	1,681.25	
17AUG17	B	1	PD17NOV17	2493.00	2558.75	USD	1,643.75	
17AUG17	B	3	PD17NOV17	2484.00	2558.75	USD	5,606.25	
17AUG17	S	1	PD17NOV17	2529.00	2558.75	USD	-743.75	
17AUG17	S	1	PD17NOV17	2520.00	2558.75	USD	-968.75	
17AUG17	B	2	PD17NOV17	2465.00	2558.75	USD	4,687.50	
17AUG17	S	2	PD17NOV17	2465.50	2558.75	USD	-4,662.50	
17AUG17	B	1	PD17NOV17	2439.50	2558.75	USD	2,981.25	
17AUG17	B	1	PD17NOV17	2444.00	2558.75	USD	2,868.75	
17AUG17	B	1	PD17NOV17	2447.00	2558.75	USD	2,793.75	
17AUG17	B	1	PD17NOV17	2437.50	2558.75	USD	3,031.25	
17AUG17	B	1	PD17NOV17	2435.00	2558.75	USD	3,093.75	
17AUG17	S	1	PD17NOV17	2417.50	2558.75	USD	-3,531.25	
17AUG17	S	1	PD17NOV17	2420.50	2558.75	USD	-3,456.25	
17AUG17	B	1	PD17NOV17	2420.50	2558.75	USD	3,456.25	
17AUG17	S	2	PD17NOV17	2414.50	2558.75	USD	-7,212.50	
17AUG17	B	1	PD17NOV17	2409.50	2558.75	USD	3,731.25	
17AUG17	S	2	PD17NOV17	2405.00	2558.75	USD	-7,687.50	
17AUG17	S	2	PD17NOV17	2401.00	2558.75	USD	-7,887.50	
17AUG17	S	1	PD17NOV17	2407.00	2558.75	USD	-3,793.75	
18AUG17	B	1	PD17NOV17	2412.00	2558.75	USD	3,668.75	
18AUG17	B	1	PD17NOV17	2410.00	2558.75	USD	3,718.75	
18AUG17	B	2	PD17NOV17	2410.50	2558.75	USD	7,412.50	
18AUG17	S	1	PD17NOV17	2420.50	2558.75	USD	-3,456.25	
18AUG17	B	1	PD17NOV17	2420.50	2558.75	USD	3,456.25	
18AUG17	B	1	PD17NOV17	2427.00	2558.75	USD	3,293.75	
18AUG17	B	1	PD17NOV17	2425.00	2558.75	USD	3,343.75	
18AUG17	B	1	PD17NOV17	2434.00	2558.75	USD	3,118.75	
18AUG17	S	1	PD17NOV17	2443.50	2558.75	USD	-2,881.25	
18AUG17	S	1	PD17NOV17	2444.50	2558.75	USD	-2,856.25	
18AUG17	S	1	PD17NOV17	2447.00	2558.75	USD	-2,793.75	
18AUG17	S	1	PD17NOV17	2452.00	2558.75	USD	-2,668.75	
18AUG17	S	1	PD17NOV17	2448.00	2558.75	USD	-2,768.75	
18AUG17	S	2	PD17NOV17	2449.00	2558.75	USD	-5,487.50	
18AUG17	S	1	PD17NOV17	2447.50	2558.75	USD	-2,781.25	
18AUG17	S	1	PD17NOV17	2448.50	2558.75	USD	-2,756.25	
18AUG17	S	1	PD17NOV17	2450.00	2558.75	USD	-2,718.75	
18AUG17	S	1	PD17NOV17	2444.00	2558.75	USD	-2,868.75	
18AUG17	B	1	PD17NOV17	2437.50	2558.75	USD	3,031.25	
18AUG17	B	2	PD17NOV17	2438.00	2558.75	USD	6,037.50	
18AUG17	B	1	PD17NOV17	2436.50	2558.75	USD	3,056.25	
18AUG17	B	2	PD17NOV17	2437.00	2558.75	USD	6,087.50	
18AUG17	B	2	PD17NOV17	2436.00	2558.75	USD	6,137.50	
18AUG17	B	2	PD17NOV17	2434.50	2558.75	USD	6,212.50	
18AUG17	B	3	PD17NOV17	2426.50	2558.75	USD	9,918.75	
18AUG17	B	1	PD17NOV17	2418.50	2558.75	USD	3,506.25	
18AUG17	B	3	PD17NOV17	2419.50	2558.75	USD	10,443.75	
18AUG17	B	3	PD17NOV17	2419.00	2558.75	USD	10,481.25	
18AUG17	B	1	PD17NOV17	2412.50	2558.75	USD	3,656.25	

OPEN POSITION STATEMENT



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SAP083XA

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18AUG17	S	1	PD17NOV17	2406.50	2558.75	USD	-3,806.25	
18AUG17	S	1	PD17NOV17	2407.00	2558.75	USD	-3,793.75	
18AUG17	S	1	PD17NOV17	2408.00	2558.75	USD	-3,768.75	
18AUG17	B	2	PD17NOV17	2404.50	2558.75	USD	7,712.50	
18AUG17	B	1	PD17NOV17	2406.00	2558.75	USD	3,818.75	
18AUG17	B	1	PD17NOV17	2402.00	2558.75	USD	3,918.75	
18AUG17	B	1	PD17NOV17	2415.00	2558.75	USD	3,593.75	
18AUG17	B	2	PD17NOV17	2409.50	2558.75	USD	7,462.50	
18AUG17	S	1	PD17NOV17	2384.00	2558.75	USD	-4,368.75	
18AUG17	S	1	PD17NOV17	2359.00	2558.75	USD	-4,993.75	
18AUG17	B	1	PD17NOV17	2365.00	2558.75	USD	4,843.75	
18AUG17	B	1	PD17NOV17	2362.00	2558.75	USD	4,918.75	
18AUG17	S	1	PD17NOV17	2359.50	2558.75	USD	-4,981.25	
18AUG17	S	3	PD17NOV17	2358.50	2558.75	USD	-15,018.75	
18AUG17	S	1	PD17NOV17	2363.00	2558.75	USD	-4,893.75	
18AUG17	S	1	PD17NOV17	2360.50	2558.75	USD	-4,956.25	
14SEP17	S	15	PD17NOV17	2299.32	2558.75	USD	-97,286.25	
	NET	0 AVG BGHT 2443.06	SOLD 2406.43				-55,855.00	0.00
21AUG17	S	1	PD21NOV17	2386.00	2559.32	USD	-4,333.00	
21AUG17	S	2	PD21NOV17	2393.00	2559.32	USD	-8,316.00	
21AUG17	S	1	PD21NOV17	2389.00	2559.32	USD	-4,258.00	
21AUG17	S	1	PD21NOV17	2388.00	2559.32	USD	-4,283.00	
21AUG17	S	1	PD21NOV17	2391.50	2559.32	USD	-4,195.50	
21AUG17	S	1	PD21NOV17	2391.00	2559.32	USD	-4,208.00	
21AUG17	S	1	PD21NOV17	2368.00	2559.32	USD	-4,783.00	
21AUG17	B	2	PD21NOV17	2360.00	2559.32	USD	9,966.00	
21AUG17	S	1	PD21NOV17	2368.50	2559.32	USD	-4,770.50	
21AUG17	B	1	PD21NOV17	2350.00	2559.32	USD	5,233.00	
21AUG17	S	1	PD21NOV17	2349.00	2559.32	USD	-5,258.00	
21AUG17	S	1	PD21NOV17	2347.50	2559.32	USD	-5,295.50	
21AUG17	S	1	PD21NOV17	2345.50	2559.32	USD	-5,345.50	
21AUG17	S	1	PD21NOV17	2344.50	2559.32	USD	-5,370.50	
14SEP17	B	10	PD21NOV17	2300.66	2559.32	USD	64,665.00	
	NET	0 AVG BGHT 2313.58	SOLD 2373.42				19,447.50	0.00
22AUG17	S	1	PD22NOV17	2387.50	2559.46	USD	-4,299.00	
22AUG17	S	1	PD22NOV17	2389.00	2559.46	USD	-4,261.50	
22AUG17	S	1	PD22NOV17	2391.00	2559.46	USD	-4,211.50	
22AUG17	S	1	PD22NOV17	2390.00	2559.46	USD	-4,236.50	
22AUG17	S	1	PD22NOV17	2400.50	2559.46	USD	-3,974.00	
22AUG17	S	1	PD22NOV17	2393.00	2559.46	USD	-4,161.50	
22AUG17	S	1	PD22NOV17	2382.50	2559.46	USD	-4,424.00	
22AUG17	B	1	PD22NOV17	2413.50	2559.46	USD	3,649.00	
05SEP17	B	1	PD22NOV17	2330.07	2559.46	USD	5,734.75	
14SEP17	B	5	PD22NOV17	2300.94	2559.46	USD	32,315.00	
	NET	0 AVG BGHT 2321.18	SOLD 2390.50				12,130.75	0.00
24AUG17	S	1	PD24NOV17	2399.50	2559.75	USD	-4,006.25	
24AUG17	B	1	PD24NOV17	2384.00	2559.75	USD	4,393.75	
24AUG17	B	1	PD24NOV17	2354.00	2559.75	USD	5,143.75	
24AUG17	B	1	PD24NOV17	2355.00	2559.75	USD	5,118.75	
24AUG17	S	1	PD24NOV17	2360.50	2559.75	USD	-4,981.25	
24AUG17	S	2	PD24NOV17	2360.00	2559.75	USD	-9,987.50	
24AUG17	B	1	PD24NOV17	2360.50	2559.75	USD	4,981.25	
24AUG17	S	1	PD24NOV17	2359.00	2559.75	USD	-5,018.75	
25AUG17	B	1	PD24NOV17	2369.00	2559.75	USD	4,768.75	
25AUG17	B	1	PD24NOV17	2343.00	2559.75	USD	5,418.75	
25AUG17	B	1	PD24NOV17	2340.00	2559.75	USD	5,493.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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25AUG17	S	1	PD24NOV17	2341.00	2559.75	USD	-5,468.75	
25AUG17	S	1	PD24NOV17	2333.00	2559.75	USD	-5,668.75	
25AUG17	B	1	PD24NOV17	2322.00	2559.75	USD	5,943.75	
25AUG17	S	2	PD24NOV17	2324.00	2559.75	USD	-11,787.50	
10OCT17	B	1	PD24NOV17	2550.45	2559.75	USD	232.50	
	NET	0 AVG BGHT 2375.33	SOLD 2351.22				-5,423.75	0.00
29AUG17	B	1	PD29NOV17	2346.50	2560.20	USD	5,342.50	
29AUG17	S	1	PD29NOV17	2355.00	2560.20	USD	-5,130.00	
29AUG17	S	1	PD29NOV17	2354.50	2560.20	USD	-5,142.50	
29AUG17	S	3	PD29NOV17	2360.00	2560.20	USD	-15,015.00	
29AUG17	S	2	PD29NOV17	2361.00	2560.20	USD	-9,960.00	
29AUG17	B	2	PD29NOV17	2365.00	2560.20	USD	9,760.00	
29AUG17	S	3	PD29NOV17	2372.00	2560.20	USD	-14,115.00	
29AUG17	S	1	PD29NOV17	2376.00	2560.20	USD	-4,605.00	
29AUG17	S	1	PD29NOV17	2376.50	2560.20	USD	-4,592.50	
29AUG17	S	1	PD29NOV17	2379.50	2560.20	USD	-4,517.50	
29AUG17	S	1	PD29NOV17	2384.00	2560.20	USD	-4,405.00	
29AUG17	S	1	PD29NOV17	2387.00	2560.20	USD	-4,330.00	
29AUG17	S	2	PD29NOV17	2388.00	2560.20	USD	-8,610.00	
29AUG17	S	3	PD29NOV17	2386.00	2560.20	USD	-13,065.00	
29AUG17	S	1	PD29NOV17	2388.50	2560.20	USD	-4,292.50	
29AUG17	S	2	PD29NOV17	2389.00	2560.20	USD	-8,560.00	
29AUG17	B	1	PD29NOV17	2398.00	2560.20	USD	4,055.00	
29AUG17	B	1	PD29NOV17	2404.00	2560.20	USD	3,905.00	
29AUG17	B	1	PD29NOV17	2402.50	2560.20	USD	3,942.50	
29AUG17	B	3	PD29NOV17	2401.50	2560.20	USD	11,902.50	
29AUG17	B	1	PD29NOV17	2396.00	2560.20	USD	4,105.00	
29AUG17	B	3	PD29NOV17	2395.00	2560.20	USD	12,390.00	
29AUG17	B	1	PD29NOV17	2394.00	2560.20	USD	4,155.00	
29AUG17	B	1	PD29NOV17	2395.50	2560.20	USD	4,117.50	
29AUG17	B	2	PD29NOV17	2383.00	2560.20	USD	8,860.00	
29AUG17	B	1	PD29NOV17	2385.00	2560.20	USD	4,380.00	
29AUG17	B	1	PD29NOV17	2384.50	2560.20	USD	4,392.50	
29AUG17	B	5	PD29NOV17	2384.00	2560.20	USD	22,025.00	
05SEP17	S	1	PD29NOV17	2331.98	2560.20	USD	-5,705.50	
	NET	0 AVG BGHT 2387.98	SOLD 2373.46				-8,713.00	0.00
30AUG17	S	1	PD30NOV17	2397.00	2560.30	USD	-4,082.50	
30AUG17	S	2	PD30NOV17	2380.00	2560.30	USD	-9,015.00	
30AUG17	S	2	PD30NOV17	2383.00	2560.30	USD	-8,865.00	
30AUG17	B	1	PD30NOV17	2376.50	2560.30	USD	4,595.00	
30AUG17	B	1	PD30NOV17	2378.00	2560.30	USD	4,557.50	
30AUG17	S	1	PD30NOV17	2381.00	2560.30	USD	-4,482.50	
30AUG17	B	1	PD30NOV17	2371.50	2560.30	USD	4,720.00	
30AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
30AUG17	S	1	PD30NOV17	2382.50	2560.30	USD	-4,445.00	
30AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	
30AUG17	S	1	PD30NOV17	2389.50	2560.30	USD	-4,270.00	
30AUG17	S	1	PD30NOV17	2391.50	2560.30	USD	-4,220.00	
30AUG17	B	1	PD30NOV17	2402.00	2560.30	USD	3,957.50	
30AUG17	B	1	PD30NOV17	2400.50	2560.30	USD	3,995.00	
30AUG17	B	1	PD30NOV17	2395.50	2560.30	USD	4,120.00	
30AUG17	B	2	PD30NOV17	2394.00	2560.30	USD	8,315.00	
30AUG17	B	1	PD30NOV17	2392.00	2560.30	USD	4,207.50	
30AUG17	B	1	PD30NOV17	2388.00	2560.30	USD	4,307.50	
30AUG17	B	1	PD30NOV17	2394.00	2560.30	USD	4,157.50	
31AUG17	B	1	PD30NOV17	2378.00	2560.30	USD	4,557.50	
31AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2388.67	SOLD	2384.38		-1,287.50	0.00
01SEP17	S	1	PD01DEC17		2405.00	2560.39	USD	-3,884.75	
01SEP17	S	1	PD01DEC17		2401.00	2560.39	USD	-3,984.75	
01SEP17	S	1	PD01DEC17		2406.00	2560.39	USD	-3,859.75	
01SEP17	B	2	PD01DEC17		2402.00	2560.39	USD	7,919.50	
01SEP17	B	1	PD01DEC17		2399.00	2560.39	USD	4,034.75	
	NET	0 AVG	BGHT	2401.00	SOLD	2404.00		225.00	0.00
04SEP17	B	2	PD04DEC17		2394.50	2560.66	USD	8,308.00	
04SEP17	B	2	PD04DEC17		2394.00	2560.66	USD	8,333.00	
04SEP17	B	1	PD04DEC17		2387.50	2560.66	USD	4,329.00	
04SEP17	B	1	PD04DEC17		2390.00	2560.66	USD	4,266.50	
04SEP17	S	1	PD04DEC17		2394.00	2560.66	USD	-4,166.50	
04SEP17	S	1	PD04DEC17		2396.50	2560.66	USD	-4,104.00	
04SEP17	S	1	PD04DEC17		2397.50	2560.66	USD	-4,079.00	
04SEP17	S	1	PD04DEC17		2398.50	2560.66	USD	-4,054.00	
14SEP17	S	2	PD04DEC17		2304.16	2560.66	USD	-12,825.00	
	NET	0 AVG	BGHT	2392.42	SOLD	2365.80		-3,992.00	0.00
05SEP17	B	1	PD05DEC17		2393.50	2560.75	USD	4,181.25	
05SEP17	B	1	PD05DEC17		2396.50	2560.75	USD	4,106.25	
05SEP17	S	2	PD05DEC17		2394.00	2560.75	USD	-8,337.50	
05SEP17	S	1	PD05DEC17		2395.00	2560.75	USD	-4,143.75	
05SEP17	S	1	PD05DEC17		2398.50	2560.75	USD	-4,056.25	
05SEP17	B	1	PD05DEC17		2400.50	2560.75	USD	4,006.25	
05SEP17	S	1	PD05DEC17		2402.00	2560.75	USD	-3,968.75	
05SEP17	S	1	PD05DEC17		2403.00	2560.75	USD	-3,943.75	
05SEP17	S	1	PD05DEC17		2404.00	2560.75	USD	-3,918.75	
05SEP17	S	1	PD05DEC17		2399.00	2560.75	USD	-4,043.75	
05SEP17	B	2	PD05DEC17		2392.00	2560.75	USD	8,437.50	
05SEP17	B	1	PD05DEC17		2391.00	2560.75	USD	4,243.75	
05SEP17	B	2	PD05DEC17		2386.00	2560.75	USD	8,737.50	
05SEP17	B	1	PD05DEC17		2382.50	2560.75	USD	4,456.25	
05SEP17	B	3	PD05DEC17		2383.00	2560.75	USD	13,331.25	
05SEP17	B	1	PD05DEC17		2381.50	2560.75	USD	4,481.25	
05SEP17	B	1	PD05DEC17		2381.00	2560.75	USD	4,493.75	
05SEP17	B	1	PD05DEC17		2379.50	2560.75	USD	4,531.25	
05SEP17	B	1	PD05DEC17		2378.50	2560.75	USD	4,556.25	
05SEP17	B	1	PD05DEC17		2377.50	2560.75	USD	4,581.25	
05SEP17	B	2	PD05DEC17		2377.00	2560.75	USD	9,187.50	
05SEP17	B	1	PD05DEC17		2376.00	2560.75	USD	4,618.75	
05SEP17	S	1	PD05DEC17		2373.00	2560.75	USD	-4,693.75	
05SEP17	B	2	PD05DEC17		2375.00	2560.75	USD	9,287.50	
05SEP17	S	1	PD05DEC17		2359.50	2560.75	USD	-5,031.25	
05SEP17	S	1	PD05DEC17		2354.50	2560.75	USD	-5,156.25	
05SEP17	S	1	PD05DEC17		2345.50	2560.75	USD	-5,381.25	
05SEP17	S	1	PD05DEC17		2344.00	2560.75	USD	-5,418.75	
05SEP17	S	1	PD05DEC17		2341.00	2560.75	USD	-5,493.75	
05SEP17	S	1	PD05DEC17		2341.50	2560.75	USD	-5,481.25	
05SEP17	S	2	PD05DEC17		2345.00	2560.75	USD	-10,787.50	
05SEP17	S	1	PD05DEC17		2344.50	2560.75	USD	-5,406.25	
05SEP17	S	1	PD05DEC17		2347.00	2560.75	USD	-5,343.75	
05SEP17	S	1	PD05DEC17		2330.00	2560.75	USD	-5,768.75	
05SEP17	S	2	PD05DEC17		2333.00	2560.75	USD	-11,387.50	
05SEP17	B	1	PD05DEC17		2327.00	2560.75	USD	5,843.75	
05SEP17	S	2	PD05DEC17		2340.50	2560.75	USD	-11,012.50	
05SEP17	S	4	PD05DEC17		2325.00	2560.75	USD	-23,575.00	
05SEP17	B	1	PD05DEC17		2333.50	2560.75	USD	5,681.25	

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05SEP17	B	2	PD05DEC17	2332.50	2560.75	USD	11,412.50	
05SEP17	B	2	PD05DEC17	2332.00	2560.75	USD	11,437.50	
05SEP17	S	1	PD05DEC17	2331.00	2560.75	USD	-5,743.75	
05SEP17	S	1	PD05DEC17	2333.50	2560.75	USD	-5,681.25	
05SEP17	B	1	PD05DEC17	2332.00	2560.75	USD	5,718.75	
05SEP17	S	1	PD05DEC17	2331.00	2560.75	USD	-5,743.75	
14SEP17	B	2	PD05DEC17	2304.64	2560.75	USD	12,805.50	
	NET	0 AVG BGHT 2367.03	SOLD 2354.92				-9,382.00	0.00
06SEP17	S	1	PD06DEC17	2341.50	2561.00	USD	-5,487.50	
06SEP17	B	1	PD06DEC17	2336.50	2561.00	USD	5,612.50	
06SEP17	B	1	PD06DEC17	2336.00	2561.00	USD	5,625.00	
06SEP17	S	1	PD06DEC17	2335.50	2561.00	USD	-5,637.50	
06SEP17	S	2	PD06DEC17	2324.00	2561.00	USD	-11,850.00	
06SEP17	S	1	PD06DEC17	2326.00	2561.00	USD	-5,875.00	
06SEP17	S	1	PD06DEC17	2320.00	2561.00	USD	-6,025.00	
06SEP17	S	1	PD06DEC17	2320.50	2561.00	USD	-6,012.50	
06SEP17	S	2	PD06DEC17	2325.00	2561.00	USD	-11,800.00	
06SEP17	S	1	PD06DEC17	2327.00	2561.00	USD	-5,850.00	
06SEP17	S	1	PD06DEC17	2328.50	2561.00	USD	-5,812.50	
06SEP17	S	1	PD06DEC17	2325.50	2561.00	USD	-5,887.50	
06SEP17	S	1	PD06DEC17	2356.50	2561.00	USD	-5,112.50	
06SEP17	S	1	PD06DEC17	2362.50	2561.00	USD	-4,962.50	
06SEP17	B	1	PD06DEC17	2348.50	2561.00	USD	5,312.50	
06SEP17	B	1	PD06DEC17	2347.50	2561.00	USD	5,337.50	
06SEP17	B	1	PD06DEC17	2343.50	2561.00	USD	5,437.50	
06SEP17	S	1	PD06DEC17	2347.00	2561.00	USD	-5,350.00	
06SEP17	B	1	PD06DEC17	2332.50	2561.00	USD	5,712.50	
06SEP17	B	1	PD06DEC17	2341.00	2561.00	USD	5,500.00	
06SEP17	B	2	PD06DEC17	2342.00	2561.00	USD	10,950.00	
06SEP17	S	1	PD06DEC17	2345.50	2561.00	USD	-5,387.50	
06SEP17	B	2	PD06DEC17	2345.50	2561.00	USD	10,775.00	
06SEP17	S	1	PD06DEC17	2346.00	2561.00	USD	-5,375.00	
06SEP17	B	1	PD06DEC17	2347.00	2561.00	USD	5,350.00	
06SEP17	B	1	PD06DEC17	2345.00	2561.00	USD	5,400.00	
06SEP17	S	1	PD06DEC17	2349.00	2561.00	USD	-5,300.00	
06SEP17	B	1	PD06DEC17	2352.50	2561.00	USD	5,212.50	
06SEP17	B	3	PD06DEC17	2346.00	2561.00	USD	16,125.00	
06SEP17	B	1	PD06DEC17	2355.50	2561.00	USD	5,137.50	
	NET	0 AVG BGHT 2344.36	SOLD 2334.94				-4,237.50	0.00
07SEP17	S	1	PD07DEC17	2339.50	2561.25	USD	-5,543.75	
07SEP17	B	1	PD07DEC17	2339.50	2561.25	USD	5,543.75	
07SEP17	B	1	PD07DEC17	2332.00	2561.25	USD	5,731.25	
07SEP17	B	1	PD07DEC17	2330.50	2561.25	USD	5,768.75	
07SEP17	B	1	PD07DEC17	2329.00	2561.25	USD	5,806.25	
07SEP17	S	1	PD07DEC17	2326.00	2561.25	USD	-5,881.25	
07SEP17	S	1	PD07DEC17	2322.00	2561.25	USD	-5,981.25	
07SEP17	S	1	PD07DEC17	2330.00	2561.25	USD	-5,781.25	
	NET	0 AVG BGHT 2332.75	SOLD 2329.38				-337.50	0.00
08SEP17	S	1	PD08DEC17	2342.00	2561.50	USD	-5,487.50	
08SEP17	S	1	PD08DEC17	2342.50	2561.50	USD	-5,475.00	
08SEP17	S	1	PD08DEC17	2338.50	2561.50	USD	-5,575.00	
08SEP17	B	1	PD08DEC17	2314.00	2561.50	USD	6,187.50	
08SEP17	B	1	PD08DEC17	2318.50	2561.50	USD	6,075.00	
08SEP17	B	1	PD08DEC17	2315.00	2561.50	USD	6,162.50	
08SEP17	S	1	PD08DEC17	2307.00	2561.50	USD	-6,362.50	
08SEP17	B	1	PD08DEC17	2305.50	2561.50	USD	6,400.00	

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08SEP17	S	1	PD08DEC17	2308.00	2561.50	USD	-6,337.50	
08SEP17	S	1	PD08DEC17	2303.00	2561.50	USD	-6,462.50	
08SEP17	S	1	PD08DEC17	2304.00	2561.50	USD	-6,437.50	
08SEP17	S	1	PD08DEC17	2290.50	2561.50	USD	-6,775.00	
08SEP17	B	1	PD08DEC17	2272.00	2561.50	USD	7,237.50	
08SEP17	B	1	PD08DEC17	2270.00	2561.50	USD	7,287.50	
08SEP17	B	2	PD08DEC17	2260.00	2561.50	USD	15,075.00	
	NET	0 AVG BGHT 2289.38	SOLD 2316.94				5,512.50	0.00
11SEP17	B	1	PD11DEC17	2267.00	2562.25	USD	7,381.25	
11SEP17	B	4	PD11DEC17	2272.50	2562.25	USD	28,975.00	
11SEP17	S	1	PD11DEC17	2276.00	2562.25	USD	-7,156.25	
11SEP17	B	1	PD11DEC17	2278.00	2562.25	USD	7,106.25	
11SEP17	B	1	PD11DEC17	2282.50	2562.25	USD	6,993.75	
11SEP17	B	1	PD11DEC17	2276.00	2562.25	USD	7,156.25	
11SEP17	B	1	PD11DEC17	2275.00	2562.25	USD	7,181.25	
11SEP17	S	1	PD11DEC17	2287.00	2562.25	USD	-6,881.25	
11SEP17	S	4	PD11DEC17	2295.50	2562.25	USD	-26,675.00	
11SEP17	S	1	PD11DEC17	2296.00	2562.25	USD	-6,656.25	
11SEP17	S	2	PD11DEC17	2293.00	2562.25	USD	-13,462.50	
11SEP17	B	1	PD11DEC17	2289.50	2562.25	USD	6,818.75	
11SEP17	S	1	PD11DEC17	2290.50	2562.25	USD	-6,793.75	
11SEP17	S	1	PD11DEC17	2283.00	2562.25	USD	-6,981.25	
11SEP17	S	1	PD11DEC17	2289.00	2562.25	USD	-6,831.25	
11SEP17	B	1	PD11DEC17	2273.50	2562.25	USD	7,218.75	
11SEP17	S	1	PD11DEC17	2288.50	2562.25	USD	-6,843.75	
11SEP17	B	1	PD11DEC17	2294.00	2562.25	USD	6,706.25	
11SEP17	B	1	PD11DEC17	2293.00	2562.25	USD	6,731.25	
11SEP17	S	1	PD11DEC17	2273.00	2562.25	USD	-7,231.25	
11SEP17	B	1	PD11DEC17	2268.50	2562.25	USD	7,343.75	
11SEP17	B	1	PD11DEC17	2277.50	2562.25	USD	7,118.75	
11SEP17	S	1	PD11DEC17	2278.00	2562.25	USD	-7,106.25	
	NET	0 AVG BGHT 2277.63	SOLD 2288.60				4,112.50	0.00
12SEP17	S	1	PD12DEC17	2289.00	2562.50	USD	-6,837.50	
12SEP17	B	1	PD12DEC17	2280.00	2562.50	USD	7,062.50	
12SEP17	B	1	PD12DEC17	2279.50	2562.50	USD	7,075.00	
12SEP17	S	1	PD12DEC17	2269.50	2562.50	USD	-7,325.00	
12SEP17	S	1	PD12DEC17	2271.50	2562.50	USD	-7,275.00	
12SEP17	B	1	PD12DEC17	2265.00	2562.50	USD	7,437.50	
12SEP17	S	1	PD12DEC17	2261.00	2562.50	USD	-7,537.50	
12SEP17	S	1	PD12DEC17	2260.00	2562.50	USD	-7,562.50	
12SEP17	B	2	PD12DEC17	2263.00	2562.50	USD	14,975.00	
12SEP17	S	1	PD12DEC17	2288.00	2562.50	USD	-6,862.50	
12SEP17	S	1	PD12DEC17	2298.00	2562.50	USD	-6,612.50	
12SEP17	S	1	PD12DEC17	2301.50	2562.50	USD	-6,525.00	
12SEP17	S	1	PD12DEC17	2305.00	2562.50	USD	-6,437.50	
12SEP17	S	1	PD12DEC17	2311.00	2562.50	USD	-6,287.50	
12SEP17	S	1	PD12DEC17	2307.50	2562.50	USD	-6,375.00	
12SEP17	B	1	PD12DEC17	2310.50	2562.50	USD	6,300.00	
12SEP17	B	1	PD12DEC17	2311.00	2562.50	USD	6,287.50	
12SEP17	S	1	PD12DEC17	2310.00	2562.50	USD	-6,312.50	
12SEP17	B	1	PD12DEC17	2308.00	2562.50	USD	6,362.50	
12SEP17	S	1	PD12DEC17	2313.50	2562.50	USD	-6,225.00	
12SEP17	S	1	PD12DEC17	2314.50	2562.50	USD	-6,200.00	
12SEP17	B	2	PD12DEC17	2305.50	2562.50	USD	12,850.00	
12SEP17	B	2	PD12DEC17	2306.00	2562.50	USD	12,825.00	
12SEP17	B	2	PD12DEC17	2305.00	2562.50	USD	12,875.00	
	NET	0 AVG BGHT 2293.79	SOLD 2292.86				-325.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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13SEP17	B	1	PD13DEC17		2288.00	2562.75	USD	6,868.75	
13SEP17	S	1	PD13DEC17		2285.00	2562.75	USD	-6,943.75	
13SEP17	S	1	PD13DEC17		2285.50	2562.75	USD	-6,931.25	
13SEP17	S	1	PD13DEC17		2290.00	2562.75	USD	-6,818.75	
13SEP17	S	1	PD13DEC17		2294.00	2562.75	USD	-6,718.75	
13SEP17	S	1	PD13DEC17		2295.50	2562.75	USD	-6,681.25	
13SEP17	S	1	PD13DEC17		2296.50	2562.75	USD	-6,656.25	
13SEP17	S	3	PD13DEC17		2295.00	2562.75	USD	-20,081.25	
13SEP17	S	1	PD13DEC17		2303.50	2562.75	USD	-6,481.25	
13SEP17	S	4	PD13DEC17		2296.00	2562.75	USD	-26,675.00	
13SEP17	S	1	PD13DEC17		2302.00	2562.75	USD	-6,518.75	
13SEP17	S	1	PD13DEC17		2297.00	2562.75	USD	-6,643.75	
13SEP17	B	1	PD13DEC17		2293.50	2562.75	USD	6,731.25	
13SEP17	S	1	PD13DEC17		2303.00	2562.75	USD	-6,493.75	
13SEP17	S	1	PD13DEC17		2308.00	2562.75	USD	-6,368.75	
13SEP17	S	1	PD13DEC17		2309.50	2562.75	USD	-6,331.25	
13SEP17	B	2	PD13DEC17		2312.00	2562.75	USD	12,537.50	
13SEP17	B	1	PD13DEC17		2302.00	2562.75	USD	6,518.75	
13SEP17	S	1	PD13DEC17		2307.00	2562.75	USD	-6,393.75	
13SEP17	B	1	PD13DEC17		2293.00	2562.75	USD	6,743.75	
13SEP17	B	2	PD13DEC17		2290.00	2562.75	USD	13,637.50	
13SEP17	B	1	PD13DEC17		2294.50	2562.75	USD	6,706.25	
13SEP17	S	2	PD13DEC17		2297.00	2562.75	USD	-13,287.50	
13SEP17	B	1	PD13DEC17		2294.00	2562.75	USD	6,718.75	
13SEP17	B	3	PD13DEC17		2295.00	2562.75	USD	20,081.25	
13SEP17	B	1	PD13DEC17		2294.00	2562.75	USD	6,718.75	
13SEP17	B	1	PD13DEC17		2299.00	2562.75	USD	6,593.75	
13SEP17	B	1	PD13DEC17		2298.00	2562.75	USD	6,618.75	
13SEP17	B	1	PD13DEC17		2297.00	2562.75	USD	6,643.75	
13SEP17	B	2	PD13DEC17		2291.00	2562.75	USD	13,587.50	
13SEP17	B	1	PD13DEC17		2292.00	2562.75	USD	6,768.75	
13SEP17	B	2	PD13DEC17		2290.00	2562.75	USD	13,637.50	
	NET	0	AVG BGHT 2295.27	SOLD 2297.25				1,087.50	0.00
14SEP17	B	1	PD14DEC17		2289.00	2563.00	USD	6,850.00	
14SEP17	S	1	PD14DEC17		2291.50	2563.00	USD	-6,787.50	
14SEP17	S	3	PD14DEC17		2296.00	2563.00	USD	-20,025.00	
14SEP17	S	1	PD14DEC17		2296.50	2563.00	USD	-6,662.50	
14SEP17	B	1	PD14DEC17		2287.00	2563.00	USD	6,900.00	
14SEP17	B	1	PD14DEC17		2282.50	2563.00	USD	7,012.50	
14SEP17	B	1	PD14DEC17		2282.00	2563.00	USD	7,025.00	
14SEP17	B	1	PD14DEC17		2281.50	2563.00	USD	7,037.50	
14SEP17	B	1	PD14DEC17		2279.00	2563.00	USD	7,100.00	
14SEP17	B	1	PD14DEC17		2274.00	2563.00	USD	7,225.00	
14SEP17	B	1	PD14DEC17		2272.00	2563.00	USD	7,275.00	
14SEP17	B	1	PD14DEC17		2272.50	2563.00	USD	7,262.50	
14SEP17	B	1	PD14DEC17		2275.50	2563.00	USD	7,187.50	
14SEP17	S	1	PD14DEC17		2281.50	2563.00	USD	-7,037.50	
14SEP17	S	1	PD14DEC17		2289.50	2563.00	USD	-6,837.50	
14SEP17	S	2	PD14DEC17		2292.00	2563.00	USD	-13,550.00	
14SEP17	B	1	PD14DEC17		2280.50	2563.00	USD	7,062.50	
14SEP17	B	1	PD14DEC17		2276.00	2563.00	USD	7,175.00	
14SEP17	S	2	PD14DEC17		2292.50	2563.00	USD	-13,525.00	
14SEP17	S	2	PD14DEC17		2295.00	2563.00	USD	-13,400.00	
14SEP17	S	1	PD14DEC17		2304.00	2563.00	USD	-6,475.00	
14SEP17	S	1	PD14DEC17		2304.50	2563.00	USD	-6,462.50	
14SEP17	S	1	PD14DEC17		2306.50	2563.00	USD	-6,412.50	
14SEP17	S	1	PD14DEC17		2319.50	2563.00	USD	-6,087.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	B	1	PD14DEC17	2304.00	2563.00	USD	6,475.00	
14SEP17	B	1	PD14DEC17	2303.00	2563.00	USD	6,500.00	
14SEP17	B	1	PD14DEC17	2293.50	2563.00	USD	6,737.50	
14SEP17	B	1	PD14DEC17	2293.00	2563.00	USD	6,750.00	
14SEP17	B	1	PD14DEC17	2307.00	2563.00	USD	6,400.00	
14SEP17	S	2	PD14DEC17	2307.00	2563.00	USD	-12,800.00	
14SEP17	S	2	PD14DEC17	2307.50	2563.00	USD	-12,775.00	
14SEP17	B	1	PD14DEC17	2321.50	2563.00	USD	6,037.50	
14SEP17	B	1	PD14DEC17	2323.50	2563.00	USD	5,987.50	
14SEP17	B	1	PD14DEC17	2324.50	2563.00	USD	5,962.50	
14SEP17	B	1	PD14DEC17	2325.50	2563.00	USD	5,937.50	
	NET	0AVG BGHT 2292.71	SOLD 2298.55				3,062.50	0.00
15SEP17	S	1	PD15DEC17	2324.00	2563.25	USD	-5,981.25	
15SEP17	S	2	PD15DEC17	2321.00	2563.25	USD	-12,112.50	
15SEP17	B	1	PD15DEC17	2324.00	2563.25	USD	5,981.25	
15SEP17	B	1	PD15DEC17	2327.00	2563.25	USD	5,906.25	
15SEP17	B	1	PD15DEC17	2326.50	2563.25	USD	5,918.75	
15SEP17	B	2	PD15DEC17	2323.50	2563.25	USD	11,987.50	
15SEP17	B	1	PD15DEC17	2320.50	2563.25	USD	6,068.75	
15SEP17	S	1	PD15DEC17	2325.00	2563.25	USD	-5,956.25	
15SEP17	B	1	PD15DEC17	2322.50	2563.25	USD	6,018.75	
15SEP17	B	1	PD15DEC17	2313.50	2563.25	USD	6,243.75	
15SEP17	B	1	PD15DEC17	2314.00	2563.25	USD	6,231.25	
15SEP17	B	2	PD15DEC17	2315.00	2563.25	USD	12,412.50	
15SEP17	B	1	PD15DEC17	2312.00	2563.25	USD	6,281.25	
15SEP17	S	2	PD15DEC17	2327.50	2563.25	USD	-11,787.50	
15SEP17	S	1	PD15DEC17	2328.00	2563.25	USD	-5,881.25	
15SEP17	S	3	PD15DEC17	2342.50	2563.25	USD	-16,556.25	
15SEP17	S	1	PD15DEC17	2350.50	2563.25	USD	-5,318.75	
15SEP17	S	1	PD15DEC17	2352.00	2563.25	USD	-5,281.25	
15SEP17	S	2	PD15DEC17	2347.50	2563.25	USD	-10,787.50	
15SEP17	S	1	PD15DEC17	2346.50	2563.25	USD	-5,418.75	
15SEP17	S	2	PD15DEC17	2349.00	2563.25	USD	-10,712.50	
15SEP17	B	1	PD15DEC17	2343.50	2563.25	USD	5,493.75	
15SEP17	B	1	PD15DEC17	2339.50	2563.25	USD	5,593.75	
15SEP17	B	3	PD15DEC17	2358.00	2563.25	USD	15,393.75	
15SEP17	B	1	PD15DEC17	2360.00	2563.25	USD	5,081.25	
15SEP17	S	1	PD15DEC17	2350.00	2563.25	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	2349.50	2563.25	USD	-5,343.75	
15SEP17	S	2	PD15DEC17	2348.00	2563.25	USD	-10,762.50	
15SEP17	S	1	PD15DEC17	2351.50	2563.25	USD	-5,293.75	
15SEP17	S	1	PD15DEC17	2350.50	2563.25	USD	-5,318.75	
15SEP17	S	1	PD15DEC17	2359.50	2563.25	USD	-5,093.75	
15SEP17	S	4	PD15DEC17	2360.50	2563.25	USD	-20,275.00	
15SEP17	S	1	PD15DEC17	2358.00	2563.25	USD	-5,131.25	
15SEP17	B	2	PD15DEC17	2366.00	2563.25	USD	9,862.50	
15SEP17	B	3	PD15DEC17	2366.50	2563.25	USD	14,756.25	
10OCT17	B	6	PD15DEC17	2554.69	2563.25	USD	1,284.00	
	NET	0AVG BGHT 2383.23	SOLD 2344.84				-27,828.50	0.00
18SEP17	B	3	PD18DEC17	2395.00	2564.00	USD	12,675.00	
18SEP17	S	1	PD18DEC17	2392.50	2564.00	USD	-4,287.50	
18SEP17	S	1	PD18DEC17	2402.50	2564.00	USD	-4,037.50	
18SEP17	S	1	PD18DEC17	2402.00	2564.00	USD	-4,050.00	
18SEP17	S	4	PD18DEC17	2401.50	2564.00	USD	-16,250.00	
18SEP17	S	1	PD18DEC17	2405.00	2564.00	USD	-3,975.00	
18SEP17	B	1	PD18DEC17	2391.50	2564.00	USD	4,312.50	
18SEP17	B	1	PD18DEC17	2389.00	2564.00	USD	4,375.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18SEP17	B	2	PD18DEC17	2390.50	2564.00	USD	8,675.00	
18SEP17	B	1	PD18DEC17	2387.00	2564.00	USD	4,425.00	
18SEP17	S	1	PD18DEC17	2392.50	2564.00	USD	-4,287.50	
18SEP17	B	2	PD18DEC17	2391.00	2564.00	USD	8,650.00	
18SEP17	S	1	PD18DEC17	2388.50	2564.00	USD	-4,387.50	
18SEP17	B	1	PD18DEC17	2384.50	2564.00	USD	4,487.50	
18SEP17	B	1	PD18DEC17	2395.50	2564.00	USD	4,212.50	
19OCT17	S	2	PD18DEC17	2555.13	2564.00	USD	-443.50	
	NET	0AVG	BGHT 2391.29	SOLD 2424.94			10,094.00	0.00
19SEP17	S	1	PD19DEC17	2387.00	2564.25	USD	-4,431.25	
19SEP17	B	1	PD19DEC17	2387.50	2564.25	USD	4,418.75	
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	S	1	PD19DEC17	2394.50	2564.25	USD	-4,243.75	
19SEP17	S	2	PD19DEC17	2392.00	2564.25	USD	-8,612.50	
19SEP17	S	1	PD19DEC17	2403.50	2564.25	USD	-4,018.75	
19SEP17	S	2	PD19DEC17	2404.00	2564.25	USD	-8,012.50	
19SEP17	B	1	PD19DEC17	2406.00	2564.25	USD	3,956.25	
19SEP17	S	1	PD19DEC17	2406.50	2564.25	USD	-3,943.75	
19SEP17	B	2	PD19DEC17	2416.00	2564.25	USD	7,412.50	
19SEP17	S	1	PD19DEC17	2414.00	2564.25	USD	-3,756.25	
19SEP17	S	1	PD19DEC17	2420.00	2564.25	USD	-3,606.25	
19SEP17	S	1	PD19DEC17	2419.50	2564.25	USD	-3,618.75	
19SEP17	S	1	PD19DEC17	2421.50	2564.25	USD	-3,568.75	
19SEP17	S	1	PD19DEC17	2417.00	2564.25	USD	-3,681.25	
19SEP17	S	2	PD19DEC17	2418.00	2564.25	USD	-7,312.50	
19SEP17	B	1	PD19DEC17	2417.00	2564.25	USD	3,681.25	
19SEP17	S	1	PD19DEC17	2423.50	2564.25	USD	-3,518.75	
19SEP17	S	1	PD19DEC17	2424.00	2564.25	USD	-3,506.25	
19SEP17	S	1	PD19DEC17	2437.50	2564.25	USD	-3,168.75	
19SEP17	S	1	PD19DEC17	2446.50	2564.25	USD	-2,943.75	
19SEP17	S	1	PD19DEC17	2424.50	2564.25	USD	-3,493.75	
19SEP17	S	1	PD19DEC17	2450.00	2564.25	USD	-2,856.25	
19SEP17	B	2	PD19DEC17	2437.00	2564.25	USD	6,362.50	
19SEP17	B	1	PD19DEC17	2427.00	2564.25	USD	3,431.25	
19SEP17	B	2	PD19DEC17	2420.00	2564.25	USD	7,212.50	
19SEP17	B	1	PD19DEC17	2422.50	2564.25	USD	3,543.75	
19SEP17	B	1	PD19DEC17	2421.00	2564.25	USD	3,581.25	
19SEP17	B	1	PD19DEC17	2420.50	2564.25	USD	3,593.75	
19SEP17	B	3	PD19DEC17	2423.50	2564.25	USD	10,556.25	
19SEP17	B	3	PD19DEC17	2422.00	2564.25	USD	10,668.75	
19SEP17	B	1	PD19DEC17	2440.00	2564.25	USD	3,106.25	
19OCT17	B	2	PD19DEC17	2555.54	2564.25	USD	435.50	
	NET	0AVG	BGHT 2433.41	SOLD 2414.14			-10,602.00	0.00
20SEP17	S	1	PD20DEC17	2447.50	2564.50	USD	-2,925.00	
20SEP17	B	1	PD20DEC17	2449.50	2564.50	USD	2,875.00	
20SEP17	S	1	PD20DEC17	2455.00	2564.50	USD	-2,737.50	
20SEP17	S	1	PD20DEC17	2453.50	2564.50	USD	-2,775.00	
20SEP17	B	3	PD20DEC17	2458.50	2564.50	USD	7,950.00	
20SEP17	S	1	PD20DEC17	2462.00	2564.50	USD	-2,562.50	
20SEP17	S	1	PD20DEC17	2442.50	2564.50	USD	-3,050.00	
20SEP17	S	1	PD20DEC17	2440.50	2564.50	USD	-3,100.00	
20SEP17	B	1	PD20DEC17	2449.00	2564.50	USD	2,887.50	
20SEP17	S	1	PD20DEC17	2466.00	2564.50	USD	-2,462.50	
20SEP17	B	1	PD20DEC17	2465.50	2564.50	USD	2,475.00	
20SEP17	S	1	PD20DEC17	2463.00	2564.50	USD	-2,537.50	
20SEP17	S	2	PD20DEC17	2469.00	2564.50	USD	-4,775.00	
20SEP17	S	2	PD20DEC17	2465.50	2564.50	USD	-4,950.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20SEP17	S	1	PD20DEC17	2465.00	2564.50	USD	-2,487.50	
20SEP17	S	2	PD20DEC17	2468.00	2564.50	USD	-4,825.00	
20SEP17	S	1	PD20DEC17	2471.00	2564.50	USD	-2,337.50	
20SEP17	S	2	PD20DEC17	2464.50	2564.50	USD	-5,000.00	
20SEP17	B	1	PD20DEC17	2453.50	2564.50	USD	2,775.00	
20SEP17	B	1	PD20DEC17	2459.00	2564.50	USD	2,637.50	
20SEP17	B	2	PD20DEC17	2456.00	2564.50	USD	5,425.00	
20SEP17	B	2	PD20DEC17	2465.00	2564.50	USD	4,975.00	
10OCT17	B	6	PD20DEC17	2555.75	2564.50	USD	1,312.50	
	NET	0 AVG BGHT 2490.47	SOLD 2461.11				-13,212.50	0.00
21SEP17	S	1	PD21DEC17	2436.00	2563.50	USD	-3,187.50	
21SEP17	S	1	PD21DEC17	2437.50	2563.50	USD	-3,150.00	
21SEP17	S	1	PD21DEC17	2438.00	2563.50	USD	-3,137.50	
21SEP17	B	1	PD21DEC17	2466.00	2563.50	USD	2,437.50	
21SEP17	S	1	PD21DEC17	2462.00	2563.50	USD	-2,537.50	
21SEP17	S	2	PD21DEC17	2461.50	2563.50	USD	-5,100.00	
21SEP17	S	1	PD21DEC17	2461.00	2563.50	USD	-2,562.50	
21SEP17	B	1	PD21DEC17	2465.00	2563.50	USD	2,462.50	
21SEP17	B	1	PD21DEC17	2467.00	2563.50	USD	2,412.50	
21SEP17	S	1	PD21DEC17	2471.00	2563.50	USD	-2,312.50	
21SEP17	S	3	PD21DEC17	2473.00	2563.50	USD	-6,787.50	
21SEP17	B	1	PD21DEC17	2474.50	2563.50	USD	2,225.00	
21SEP17	B	1	PD21DEC17	2475.00	2563.50	USD	2,212.50	
21SEP17	S	1	PD21DEC17	2470.50	2563.50	USD	-2,325.00	
21SEP17	S	2	PD21DEC17	2472.50	2563.50	USD	-4,550.00	
21SEP17	S	2	PD21DEC17	2473.50	2563.50	USD	-4,500.00	
21SEP17	S	1	PD21DEC17	2474.00	2563.50	USD	-2,237.50	
21SEP17	S	2	PD21DEC17	2467.00	2563.50	USD	-4,825.00	
21SEP17	B	1	PD21DEC17	2448.00	2563.50	USD	2,887.50	
21SEP17	B	1	PD21DEC17	2447.00	2563.50	USD	2,912.50	
21SEP17	S	1	PD21DEC17	2466.50	2563.50	USD	-2,425.00	
21SEP17	S	1	PD21DEC17	2464.50	2563.50	USD	-2,475.00	
21SEP17	S	2	PD21DEC17	2466.00	2563.50	USD	-4,875.00	
21SEP17	S	2	PD21DEC17	2477.50	2563.50	USD	-4,300.00	
21SEP17	S	1	PD21DEC17	2476.00	2563.50	USD	-2,187.50	
21SEP17	B	2	PD21DEC17	2480.50	2563.50	USD	4,150.00	
21SEP17	B	1	PD21DEC17	2477.00	2563.50	USD	2,162.50	
21SEP17	B	1	PD21DEC17	2478.50	2563.50	USD	2,125.00	
21SEP17	B	1	PD21DEC17	2510.00	2563.50	USD	1,337.50	
21SEP17	S	1	PD21DEC17	2509.50	2563.50	USD	-1,350.00	
21SEP17	S	1	PD21DEC17	2507.00	2563.50	USD	-1,412.50	
21SEP17	B	1	PD21DEC17	2482.50	2563.50	USD	2,025.00	
21SEP17	B	1	PD21DEC17	2475.50	2563.50	USD	2,200.00	
21SEP17	B	1	PD21DEC17	2471.00	2563.50	USD	2,312.50	
21SEP17	B	1	PD21DEC17	2458.50	2563.50	USD	2,625.00	
21SEP17	B	1	PD21DEC17	2442.50	2563.50	USD	3,025.00	
21SEP17	B	1	PD21DEC17	2442.00	2563.50	USD	3,037.50	
21SEP17	S	1	PD21DEC17	2451.00	2563.50	USD	-2,812.50	
21SEP17	S	1	PD21DEC17	2452.00	2563.50	USD	-2,787.50	
21SEP17	B	1	PD21DEC17	2459.00	2563.50	USD	2,612.50	
21SEP17	B	1	PD21DEC17	2455.00	2563.50	USD	2,712.50	
21SEP17	B	1	PD21DEC17	2454.50	2563.50	USD	2,725.00	
21SEP17	B	2	PD21DEC17	2453.00	2563.50	USD	5,525.00	
21SEP17	B	2	PD21DEC17	2452.50	2563.50	USD	5,550.00	
21SEP17	B	2	PD21DEC17	2475.50	2563.50	USD	4,400.00	
21SEP17	B	4	PD21DEC17	2474.50	2563.50	USD	8,900.00	
21SEP17	B	2	PD21DEC17	2477.50	2563.50	USD	4,300.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	B	8	PD21DEC17	2513.20	2563.50	USD	10,060.00	
21SEP17	B	1	PD21DEC17	2462.50	2563.50	USD	2,525.00	
10OCT17	S	12	PD21DEC17	2554.55	2563.50	USD	-2,685.00	
	NET	0AVG	BGHT 2476.01	SOLD 2492.53			17,337.50	0.00
22SEP17	B	1	PD22DEC17	2419.50	2563.50	USD	3,600.00	
22SEP17	B	2	PD22DEC17	2414.00	2563.50	USD	7,475.00	
22SEP17	B	1	PD22DEC17	2409.00	2563.50	USD	3,862.50	
22SEP17	B	1	PD22DEC17	2400.50	2563.50	USD	4,075.00	
22SEP17	B	1	PD22DEC17	2400.00	2563.50	USD	4,087.50	
22SEP17	B	1	PD22DEC17	2382.50	2563.50	USD	4,525.00	
22SEP17	S	1	PD22DEC17	2388.00	2563.50	USD	-4,387.50	
22SEP17	S	1	PD22DEC17	2390.00	2563.50	USD	-4,337.50	
22SEP17	S	1	PD22DEC17	2399.00	2563.50	USD	-4,112.50	
22SEP17	S	1	PD22DEC17	2404.50	2563.50	USD	-3,975.00	
22SEP17	S	2	PD22DEC17	2406.00	2563.50	USD	-7,875.00	
22SEP17	S	1	PD22DEC17	2437.50	2563.50	USD	-3,150.00	
22SEP17	S	1	PD22DEC17	2448.50	2563.50	USD	-2,875.00	
22SEP17	S	1	PD22DEC17	2406.00	2563.50	USD	-3,937.50	
22SEP17	S	1	PD22DEC17	2473.00	2563.50	USD	-2,262.50	
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	B	1	PD22DEC17	2457.00	2563.50	USD	2,662.50	
22SEP17	S	1	PD22DEC17	2478.00	2563.50	USD	-2,137.50	
22SEP17	S	1	PD22DEC17	2478.50	2563.50	USD	-2,125.00	
22SEP17	B	1	PD22DEC17	2475.50	2563.50	USD	2,200.00	
22SEP17	S	1	PD22DEC17	2476.00	2563.50	USD	-2,187.50	
22SEP17	S	1	PD22DEC17	2482.00	2563.50	USD	-2,037.50	
22SEP17	S	7	PD22DEC17	2480.00	2563.50	USD	-14,612.50	
22SEP17	B	1	PD22DEC17	2486.00	2563.50	USD	1,937.50	
22SEP17	S	1	PD22DEC17	2483.00	2563.50	USD	-2,012.50	
22SEP17	B	1	PD22DEC17	2487.50	2563.50	USD	1,900.00	
22SEP17	B	1	PD22DEC17	2488.50	2563.50	USD	1,875.00	
22SEP17	B	6	PD22DEC17	2490.00	2563.50	USD	11,025.00	
22SEP17	B	2	PD22DEC17	2489.50	2563.50	USD	3,700.00	
22SEP17	S	1	PD22DEC17	2475.50	2563.50	USD	-2,200.00	
22SEP17	S	1	PD22DEC17	2476.00	2563.50	USD	-2,187.50	
22SEP17	B	1	PD22DEC17	2489.50	2563.50	USD	1,850.00	
10OCT17	B	4	PD22DEC17	2554.38	2563.50	USD	912.00	
	NET	0AVG	BGHT 2474.40	SOLD 2454.00			-12,750.50	0.00
25SEP17	B	1	PD27DEC17	2492.00	2563.50	USD	1,787.50	
25SEP17	B	1	PD27DEC17	2485.00	2563.50	USD	1,962.50	
25SEP17	B	1	PD27DEC17	2487.00	2563.50	USD	1,912.50	
25SEP17	S	2	PD27DEC17	2508.50	2563.50	USD	-2,750.00	
25SEP17	S	1	PD27DEC17	2507.00	2563.50	USD	-1,412.50	
25SEP17	B	1	PD27DEC17	2490.00	2563.50	USD	1,837.50	
25SEP17	S	1	PD27DEC17	2503.00	2563.50	USD	-1,512.50	
25SEP17	B	1	PD27DEC17	2483.50	2563.50	USD	2,000.00	
25SEP17	B	3	PD27DEC17	2486.00	2563.50	USD	5,812.50	
25SEP17	B	1	PD27DEC17	2484.00	2563.50	USD	1,987.50	
25SEP17	S	1	PD27DEC17	2474.00	2563.50	USD	-2,237.50	
25SEP17	B	2	PD27DEC17	2473.00	2563.50	USD	4,525.00	
25SEP17	B	2	PD27DEC17	2476.00	2563.50	USD	4,375.00	
25SEP17	B	2	PD27DEC17	2480.00	2563.50	USD	4,175.00	
25SEP17	B	1	PD27DEC17	2473.50	2563.50	USD	2,250.00	
26SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
26SEP17	S	1	PD27DEC17	2508.00	2563.50	USD	-1,387.50	
26SEP17	B	2	PD27DEC17	2507.00	2563.50	USD	2,825.00	
26SEP17	B	1	PD27DEC17	2506.00	2563.50	USD	1,437.50	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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26SEP17	B	1	PD27DEC17	2498.50	2563.50	USD	1,625.00	
26SEP17	B	1	PD27DEC17	2495.50	2563.50	USD	1,700.00	
26SEP17	B	1	PD27DEC17	2494.50	2563.50	USD	1,725.00	
26SEP17	S	1	PD27DEC17	2505.50	2563.50	USD	-1,450.00	
26SEP17	S	2	PD27DEC17	2498.00	2563.50	USD	-3,275.00	
26SEP17	S	1	PD27DEC17	2497.00	2563.50	USD	-1,662.50	
26SEP17	S	2	PD27DEC17	2499.00	2563.50	USD	-3,225.00	
26SEP17	S	1	PD27DEC17	2500.00	2563.50	USD	-1,587.50	
26SEP17	B	1	PD27DEC17	2497.00	2563.50	USD	1,662.50	
26SEP17	S	2	PD27DEC17	2501.00	2563.50	USD	-3,125.00	
26SEP17	S	2	PD27DEC17	2495.00	2563.50	USD	-3,425.00	
26SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
26SEP17	B	1	PD27DEC17	2486.00	2563.50	USD	1,937.50	
26SEP17	B	2	PD27DEC17	2488.50	2563.50	USD	3,750.00	
26SEP17	S	1	PD27DEC17	2477.50	2563.50	USD	-2,150.00	
26SEP17	S	1	PD27DEC17	2477.00	2563.50	USD	-2,162.50	
26SEP17	B	1	PD27DEC17	2469.00	2563.50	USD	2,362.50	
26SEP17	B	1	PD27DEC17	2468.00	2563.50	USD	2,387.50	
26SEP17	S	1	PD27DEC17	2483.00	2563.50	USD	-2,012.50	
26SEP17	S	2	PD27DEC17	2484.50	2563.50	USD	-3,950.00	
26SEP17	S	1	PD27DEC17	2482.00	2563.50	USD	-2,037.50	
26SEP17	S	2	PD27DEC17	2481.00	2563.50	USD	-4,125.00	
26SEP17	S	2	PD27DEC17	2480.00	2563.50	USD	-4,175.00	
26SEP17	S	2	PD27DEC17	2478.00	2563.50	USD	-4,275.00	
26SEP17	B	2	PD27DEC17	2482.00	2563.50	USD	4,075.00	
27SEP17	B	2	PD27DEC17	2478.00	2563.50	USD	4,275.00	
27SEP17	B	3	PD27DEC17	2480.00	2563.50	USD	6,262.50	
27SEP17	S	1	PD27DEC17	2482.00	2563.50	USD	-2,037.50	
27SEP17	S	1	PD27DEC17	2479.00	2563.50	USD	-2,112.50	
27SEP17	B	1	PD27DEC17	2479.50	2563.50	USD	2,100.00	
27SEP17	B	1	PD27DEC17	2477.50	2563.50	USD	2,150.00	
27SEP17	S	1	PD27DEC17	2467.00	2563.50	USD	-2,412.50	
27SEP17	S	1	PD27DEC17	2465.50	2563.50	USD	-2,450.00	
27SEP17	S	1	PD27DEC17	2465.00	2563.50	USD	-2,462.50	
27SEP17	B	1	PD27DEC17	2460.50	2563.50	USD	2,575.00	
27SEP17	B	1	PD27DEC17	2466.00	2563.50	USD	2,437.50	
27SEP17	B	1	PD27DEC17	2461.00	2563.50	USD	2,562.50	
10OCT17	S	4	PD27DEC17	2553.80	2563.50	USD	-970.00	
	NET	0AVG	BGHT 2483.03	SOLD 2496.07			13,042.50	0.00
28SEP17	B	1	PD28DEC17	2458.00	2563.60	USD	2,640.00	
28SEP17	B	2	PD28DEC17	2457.00	2563.60	USD	5,330.00	
28SEP17	S	1	PD28DEC17	2454.00	2563.60	USD	-2,740.00	
28SEP17	S	6	PD28DEC17	2451.00	2563.60	USD	-16,890.00	
28SEP17	S	2	PD28DEC17	2452.00	2563.60	USD	-5,580.00	
28SEP17	S	3	PD28DEC17	2451.50	2563.60	USD	-8,407.50	
28SEP17	B	2	PD28DEC17	2448.00	2563.60	USD	5,780.00	
28SEP17	S	1	PD28DEC17	2460.50	2563.60	USD	-2,577.50	
28SEP17	B	1	PD28DEC17	2464.00	2563.60	USD	2,490.00	
28SEP17	S	2	PD28DEC17	2471.00	2563.60	USD	-4,630.00	
28SEP17	S	1	PD28DEC17	2474.00	2563.60	USD	-2,240.00	
28SEP17	S	1	PD28DEC17	2472.00	2563.60	USD	-2,290.00	
28SEP17	B	1	PD28DEC17	2459.00	2563.60	USD	2,615.00	
28SEP17	B	1	PD28DEC17	2461.50	2563.60	USD	2,552.50	
28SEP17	B	1	PD28DEC17	2471.50	2563.60	USD	2,302.50	
28SEP17	S	1	PD28DEC17	2478.00	2563.60	USD	-2,140.00	
28SEP17	B	1	PD28DEC17	2485.00	2563.60	USD	1,965.00	
28SEP17	B	1	PD28DEC17	2479.00	2563.60	USD	2,115.00	

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28SEP17	B	1	PD28DEC17	2473.50	2563.60	USD	2,252.50	
28SEP17	B	1	PD28DEC17	2473.00	2563.60	USD	2,265.00	
28SEP17	B	4	PD28DEC17	2461.50	2563.60	USD	10,210.00	
28SEP17	B	4	PD28DEC17	2461.00	2563.60	USD	10,260.00	
28SEP17	B	1	PD28DEC17	2460.50	2563.60	USD	2,577.50	
28SEP17	B	1	PD28DEC17	2456.00	2563.60	USD	2,690.00	
28SEP17	B	1	PD28DEC17	2455.00	2563.60	USD	2,715.00	
28SEP17	B	1	PD28DEC17	2462.00	2563.60	USD	2,540.00	
28SEP17	B	2	PD28DEC17	2460.00	2563.60	USD	5,180.00	
28SEP17	S	1	PD28DEC17	2470.50	2563.60	USD	-2,327.50	
28SEP17	S	3	PD28DEC17	2470.00	2563.60	USD	-7,020.00	
28SEP17	S	2	PD28DEC17	2469.50	2563.60	USD	-4,705.00	
28SEP17	B	1	PD28DEC17	2483.00	2563.60	USD	2,015.00	
28SEP17	B	1	PD28DEC17	2483.50	2563.60	USD	2,002.50	
28SEP17	S	2	PD28DEC17	2485.00	2563.60	USD	-3,930.00	
28SEP17	B	2	PD28DEC17	2480.50	2563.60	USD	4,155.00	
28SEP17	B	1	PD28DEC17	2481.00	2563.60	USD	2,065.00	
28SEP17	B	2	PD28DEC17	2482.00	2563.60	USD	4,080.00	
28SEP17	B	2	PD28DEC17	2484.50	2563.60	USD	3,955.00	
28SEP17	B	3	PD28DEC17	2485.50	2563.60	USD	5,857.50	
28SEP17	B	1	PD28DEC17	2486.50	2563.60	USD	1,927.50	
28SEP17	S	1	PD28DEC17	2485.50	2563.60	USD	-1,952.50	
28SEP17	S	1	PD28DEC17	2484.00	2563.60	USD	-1,990.00	
10OCT17	S	12	PD28DEC17	2554.21	2563.60	USD	-2,817.00	
	NET	0AVG BGHT 2469.06	SOLD 2491.36				22,300.50	0.00
29SEP17	S	1	PD29DEC17	2486.50	2563.70	USD	-1,930.00	
29SEP17	S	1	PD29DEC17	2488.00	2563.70	USD	-1,892.50	
29SEP17	B	1	PD29DEC17	2492.50	2563.70	USD	1,780.00	
29SEP17	S	1	PD29DEC17	2503.50	2563.70	USD	-1,505.00	
29SEP17	B	1	PD29DEC17	2496.00	2563.70	USD	1,692.50	
29SEP17	B	1	PD29DEC17	2495.00	2563.70	USD	1,717.50	
29SEP17	B	1	PD29DEC17	2493.00	2563.70	USD	1,767.50	
29SEP17	B	2	PD29DEC17	2493.50	2563.70	USD	3,510.00	
29SEP17	B	2	PD29DEC17	2487.50	2563.70	USD	3,810.00	
29SEP17	B	1	PD29DEC17	2487.00	2563.70	USD	1,917.50	
29SEP17	B	1	PD29DEC17	2485.00	2563.70	USD	1,967.50	
29SEP17	S	2	PD29DEC17	2485.00	2563.70	USD	-3,935.00	
29SEP17	S	1	PD29DEC17	2497.50	2563.70	USD	-1,655.00	
29SEP17	S	1	PD29DEC17	2494.00	2563.70	USD	-1,742.50	
29SEP17	S	1	PD29DEC17	2507.00	2563.70	USD	-1,417.50	
29SEP17	S	1	PD29DEC17	2491.00	2563.70	USD	-1,817.50	
29SEP17	S	1	PD29DEC17	2497.50	2563.70	USD	-1,655.00	
29SEP17	S	1	PD29DEC17	2504.50	2563.70	USD	-1,480.00	
29SEP17	S	1	PD29DEC17	2506.00	2563.70	USD	-1,442.50	
29SEP17	S	1	PD29DEC17	2508.50	2563.70	USD	-1,380.00	
29SEP17	S	3	PD29DEC17	2508.00	2563.70	USD	-4,177.50	
29SEP17	S	3	PD29DEC17	2512.00	2563.70	USD	-3,877.50	
29SEP17	B	1	PD29DEC17	2501.00	2563.70	USD	1,567.50	
29SEP17	B	1	PD29DEC17	2500.50	2563.70	USD	1,580.00	
29SEP17	B	2	PD29DEC17	2491.00	2563.70	USD	3,635.00	
29SEP17	S	1	PD29DEC17	2508.50	2563.70	USD	-1,380.00	
29SEP17	S	1	PD29DEC17	2512.50	2563.70	USD	-1,280.00	
29SEP17	B	1	PD29DEC17	2489.50	2563.70	USD	1,855.00	
29SEP17	B	2	PD29DEC17	2490.00	2563.70	USD	3,685.00	
29SEP17	B	1	PD29DEC17	2490.50	2563.70	USD	1,830.00	
29SEP17	B	3	PD29DEC17	2486.50	2563.70	USD	5,790.00	
29SEP17	S	2	PD29DEC17	2493.85	2563.70	USD	-3,492.50	

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29SEP17	S	2	PD29DEC17	2494.85	2563.70	USD	-3,442.50	
29SEP17	S	2	PD29DEC17	2488.80	2563.70	USD	-3,745.00	
29SEP17	S	2	PD29DEC17	2489.00	2563.70	USD	-3,735.00	
29SEP17	B	1	PD29DEC17	2488.50	2563.70	USD	1,880.00	
29SEP17	S	4	PD29DEC17	2487.00	2563.70	USD	-7,670.00	
29SEP17	B	5	PD29DEC17	2485.20	2563.70	USD	9,812.50	
10OCT17	B	6	PD29DEC17	2554.63	2563.70	USD	1,360.50	
	NET	0 AVG BGHT 2501.69	SOLD 2497.45				-3,494.50	0.00
03OCT17	S	1	PD03JAN18	2521.00	2564.20	USD	-1,080.00	
03OCT17	S	3	PD03JAN18	2520.00	2564.20	USD	-3,315.00	
03OCT17	B	1	PD03JAN18	2506.50	2564.20	USD	1,442.50	
03OCT17	B	1	PD03JAN18	2506.00	2564.20	USD	1,455.00	
03OCT17	B	1	PD03JAN18	2503.50	2564.20	USD	1,517.50	
03OCT17	B	1	PD03JAN18	2504.50	2564.20	USD	1,492.50	
03OCT17	B	1	PD03JAN18	2503.00	2564.20	USD	1,530.00	
03OCT17	B	1	PD03JAN18	2502.50	2564.20	USD	1,542.50	
03OCT17	S	1	PD03JAN18	2518.00	2564.20	USD	-1,155.00	
03OCT17	B	1	PD03JAN18	2492.00	2564.20	USD	1,805.00	
03OCT17	B	1	PD03JAN18	2490.50	2564.20	USD	1,842.50	
03OCT17	B	2	PD03JAN18	2491.00	2564.20	USD	3,660.00	
03OCT17	B	1	PD03JAN18	2489.00	2564.20	USD	1,880.00	
03OCT17	B	1	PD03JAN18	2493.50	2564.20	USD	1,767.50	
03OCT17	B	2	PD03JAN18	2494.00	2564.20	USD	3,510.00	
03OCT17	B	1	PD03JAN18	2500.00	2564.20	USD	1,605.00	
03OCT17	B	3	PD03JAN18	2499.00	2564.20	USD	4,890.00	
03OCT17	B	1	PD03JAN18	2496.00	2564.20	USD	1,705.00	
03OCT17	S	1	PD03JAN18	2513.00	2564.20	USD	-1,280.00	
03OCT17	S	2	PD03JAN18	2518.00	2564.20	USD	-2,310.00	
03OCT17	S	1	PD03JAN18	2519.00	2564.20	USD	-1,130.00	
03OCT17	S	1	PD03JAN18	2519.50	2564.20	USD	-1,117.50	
03OCT17	S	2	PD03JAN18	2515.00	2564.20	USD	-2,460.00	
03OCT17	S	1	PD03JAN18	2534.00	2564.20	USD	-755.00	
03OCT17	S	1	PD03JAN18	2531.00	2564.20	USD	-830.00	
03OCT17	S	1	PD03JAN18	2534.50	2564.20	USD	-742.50	
03OCT17	S	2	PD03JAN18	2541.50	2564.20	USD	-1,135.00	
03OCT17	B	1	PD03JAN18	2565.00	2564.20	USD	-20.00	
03OCT17	B	1	PD03JAN18	2547.00	2564.20	USD	430.00	
03OCT17	S	1	PD03JAN18	2569.50	2564.20	USD	132.50	
03OCT17	S	2	PD03JAN18	2585.00	2564.20	USD	1,040.00	
03OCT17	S	3	PD03JAN18	2590.00	2564.20	USD	1,935.00	
03OCT17	B	1	PD03JAN18	2588.50	2564.20	USD	-607.50	
03OCT17	S	1	PD03JAN18	2591.50	2564.20	USD	682.50	
03OCT17	S	1	PD03JAN18	2592.00	2564.20	USD	695.00	
03OCT17	B	4	PD03JAN18	2594.00	2564.20	USD	-2,980.00	
03OCT17	B	4	PD03JAN18	2594.50	2564.20	USD	-3,030.00	
03OCT17	S	13	PD03JAN18	2590.80	2564.20	USD	8,645.00	
03OCT17	B	2	PD03JAN18	2596.00	2564.20	USD	-1,590.00	
03OCT17	B	1	PD03JAN18	2566.50	2564.20	USD	-57.50	
03OCT17	S	1	PD03JAN18	2564.00	2564.20	USD	-5.00	
10OCT17	B	6	PD03JAN18	2555.70	2564.20	USD	1,275.00	
	NET	0 AVG BGHT 2538.49	SOLD 2559.91				20,880.00	0.00
04OCT17	S	1	PD04JAN18	2606.00	2564.30	USD	1,042.50	
04OCT17	S	1	PD04JAN18	2608.00	2564.30	USD	1,092.50	
04OCT17	B	1	PD04JAN18	2612.00	2564.30	USD	-1,192.50	
04OCT17	S	1	PD04JAN18	2605.00	2564.30	USD	1,017.50	
04OCT17	B	2	PD04JAN18	2602.00	2564.30	USD	-1,885.00	
04OCT17	B	1	PD04JAN18	2596.00	2564.30	USD	-792.50	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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04OCT17	B	1	PD04JAN18	2590.00	2564.30	USD	-642.50	
04OCT17	B	1	PD04JAN18	2590.50	2564.30	USD	-655.00	
04OCT17	B	1	PD04JAN18	2585.00	2564.30	USD	-517.50	
04OCT17	B	2	PD04JAN18	2576.50	2564.30	USD	-610.00	
04OCT17	B	1	PD04JAN18	2570.50	2564.30	USD	-155.00	
04OCT17	B	2	PD04JAN18	2576.00	2564.30	USD	-585.00	
04OCT17	S	2	PD04JAN18	2606.50	2564.30	USD	2,110.00	
04OCT17	B	1	PD04JAN18	2562.00	2564.30	USD	57.50	
04OCT17	S	1	PD04JAN18	2585.00	2564.30	USD	517.50	
04OCT17	S	1	PD04JAN18	2587.00	2564.30	USD	567.50	
04OCT17	S	2	PD04JAN18	2590.00	2564.30	USD	1,285.00	
04OCT17	S	1	PD04JAN18	2592.00	2564.30	USD	692.50	
04OCT17	S	1	PD04JAN18	2593.50	2564.30	USD	730.00	
04OCT17	S	1	PD04JAN18	2584.50	2564.30	USD	505.00	
04OCT17	B	1	PD04JAN18	2567.00	2564.30	USD	-67.50	
04OCT17	B	1	PD04JAN18	2556.50	2564.30	USD	195.00	
04OCT17	B	1	PD04JAN18	2556.00	2564.30	USD	207.50	
04OCT17	B	1	PD04JAN18	2557.00	2564.30	USD	182.50	
04OCT17	B	3	PD04JAN18	2548.00	2564.30	USD	1,222.50	
04OCT17	S	1	PD04JAN18	2549.00	2564.30	USD	-382.50	
04OCT17	S	3	PD04JAN18	2550.50	2564.30	USD	-1,035.00	
04OCT17	S	1	PD04JAN18	2549.50	2564.30	USD	-370.00	
04OCT17	S	1	PD04JAN18	2559.80	2564.30	USD	-112.50	
04OCT17	S	1	PD04JAN18	2548.50	2564.30	USD	-395.00	
04OCT17	S	1	PD04JAN18	2547.50	2564.30	USD	-420.00	
	NET	0 AVG BGHT 2574.78	SOLD 2577.99				1,607.50	0.00
05OCT17	S	1	PD05JAN18	2552.00	2564.40	USD	-310.00	
05OCT17	S	1	PD05JAN18	2555.50	2564.40	USD	-222.50	
05OCT17	S	1	PD05JAN18	2559.50	2564.40	USD	-122.50	
05OCT17	S	1	PD05JAN18	2556.00	2564.40	USD	-210.00	
05OCT17	B	3	PD05JAN18	2559.50	2564.40	USD	367.50	
05OCT17	S	1	PD05JAN18	2572.00	2564.40	USD	190.00	
05OCT17	S	1	PD05JAN18	2580.00	2564.40	USD	390.00	
05OCT17	S	1	PD05JAN18	2581.00	2564.40	USD	415.00	
05OCT17	S	1	PD05JAN18	2585.50	2564.40	USD	527.50	
05OCT17	B	1	PD05JAN18	2592.50	2564.40	USD	-702.50	
05OCT17	B	1	PD05JAN18	2585.50	2564.40	USD	-527.50	
05OCT17	B	2	PD05JAN18	2583.00	2564.40	USD	-930.00	
05OCT17	B	1	PD05JAN18	2584.00	2564.40	USD	-490.00	
06OCT17	S	1	PD05JAN18	2580.00	2564.40	USD	390.00	
06OCT17	B	1	PD05JAN18	2579.00	2564.40	USD	-365.00	
06OCT17	S	2	PD05JAN18	2573.50	2564.40	USD	455.00	
06OCT17	S	1	PD05JAN18	2574.00	2564.40	USD	240.00	
06OCT17	B	1	PD05JAN18	2573.00	2564.40	USD	-215.00	
06OCT17	B	1	PD05JAN18	2563.50	2564.40	USD	22.50	
06OCT17	B	1	PD05JAN18	2564.00	2564.40	USD	10.00	
06OCT17	B	2	PD05JAN18	2559.00	2564.40	USD	270.00	
06OCT17	S	2	PD05JAN18	2550.00	2564.40	USD	-720.00	
06OCT17	S	1	PD05JAN18	2540.00	2564.40	USD	-610.00	
06OCT17	B	1	PD05JAN18	2529.50	2564.40	USD	872.50	
06OCT17	B	1	PD05JAN18	2525.50	2564.40	USD	972.50	
06OCT17	S	1	PD05JAN18	2519.00	2564.40	USD	-1,135.00	
	NET	0 AVG BGHT 2566.19	SOLD 2562.59				-1,437.50	0.00
09OCT17	S	1	PD09JAN18	2532.00	2564.80	USD	-820.00	
09OCT17	S	2	PD09JAN18	2530.50	2564.80	USD	-1,715.00	
09OCT17	S	2	PD09JAN18	2530.00	2564.80	USD	-1,740.00	
09OCT17	B	1	PD09JAN18	2529.00	2564.80	USD	895.00	

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09OCT17	B	1	PD09JAN18	2526.50	2564.80	USD	957.50	
09OCT17	B	1	PD09JAN18	2520.00	2564.80	USD	1,120.00	
09OCT17	B	1	PD09JAN18	2517.00	2564.80	USD	1,195.00	
09OCT17	B	1	PD09JAN18	2516.00	2564.80	USD	1,220.00	
09OCT17	B	1	PD09JAN18	2505.50	2564.80	USD	1,482.50	
09OCT17	S	2	PD09JAN18	2510.00	2564.80	USD	-2,740.00	
09OCT17	S	2	PD09JAN18	2513.00	2564.80	USD	-2,590.00	
09OCT17	S	1	PD09JAN18	2527.00	2564.80	USD	-945.00	
09OCT17	S	2	PD09JAN18	2523.50	2564.80	USD	-2,065.00	
09OCT17	S	1	PD09JAN18	2507.00	2564.80	USD	-1,445.00	
09OCT17	B	1	PD09JAN18	2508.00	2564.80	USD	1,420.00	
09OCT17	B	1	PD09JAN18	2504.50	2564.80	USD	1,507.50	
09OCT17	B	1	PD09JAN18	2503.00	2564.80	USD	1,545.00	
09OCT17	B	1	PD09JAN18	2496.00	2564.80	USD	1,720.00	
09OCT17	S	1	PD09JAN18	2509.50	2564.80	USD	-1,382.50	
09OCT17	S	1	PD09JAN18	2509.00	2564.80	USD	-1,395.00	
09OCT17	B	1	PD09JAN18	2510.00	2564.80	USD	1,370.00	
09OCT17	S	2	PD09JAN18	2512.00	2564.80	USD	-2,640.00	
09OCT17	S	1	PD09JAN18	2519.00	2564.80	USD	-1,145.00	
09OCT17	S	1	PD09JAN18	2517.00	2564.80	USD	-1,195.00	
09OCT17	S	1	PD09JAN18	2518.00	2564.80	USD	-1,170.00	
09OCT17	S	1	PD09JAN18	2515.00	2564.80	USD	-1,245.00	
	NET	-10 AVG BGHT 2512.32	SOLD 2518.64				-9,800.00	0.00
10OCT17	B	1	PD10JAN18	2500.50	2564.90	USD	1,610.00	
10OCT17	B	1	PD10JAN18	2499.00	2564.90	USD	1,647.50	
10OCT17	B	1	PD10JAN18	2498.50	2564.90	USD	1,660.00	
10OCT17	B	2	PD10JAN18	2501.00	2564.90	USD	3,195.00	
10OCT17	B	1	PD10JAN18	2504.00	2564.90	USD	1,522.50	
10OCT17	B	1	PD10JAN18	2503.00	2564.90	USD	1,547.50	
10OCT17	B	1	PD10JAN18	2502.50	2564.90	USD	1,560.00	
10OCT17	S	1	PD10JAN18	2500.00	2564.90	USD	-1,622.50	
10OCT17	S	2	PD10JAN18	2501.50	2564.90	USD	-3,170.00	
10OCT17	B	1	PD10JAN18	2495.50	2564.90	USD	1,735.00	
10OCT17	B	1	PD10JAN18	2493.00	2564.90	USD	1,797.50	
10OCT17	B	1	PD10JAN18	2490.00	2564.90	USD	1,872.50	
10OCT17	B	1	PD10JAN18	2487.00	2564.90	USD	1,947.50	
10OCT17	S	1	PD10JAN18	2502.00	2564.90	USD	-1,572.50	
10OCT17	B	1	PD10JAN18	2498.00	2564.90	USD	1,672.50	
10OCT17	B	1	PD10JAN18	2497.00	2564.90	USD	1,697.50	
10OCT17	B	1	PD10JAN18	2489.50	2564.90	USD	1,885.00	
10OCT17	S	2	PD10JAN18	2515.50	2564.90	USD	-2,470.00	
10OCT17	S	2	PD10JAN18	2520.00	2564.90	USD	-2,245.00	
10OCT17	S	2	PD10JAN18	2518.00	2564.90	USD	-2,345.00	
10OCT17	S	2	PD10JAN18	2519.50	2564.90	USD	-2,270.00	
10OCT17	S	2	PD10JAN18	2516.00	2564.90	USD	-2,445.00	
10OCT17	S	1	PD10JAN18	2515.00	2564.90	USD	-1,247.50	
10OCT17	S	1	PD10JAN18	2514.00	2564.90	USD	-1,272.50	
10OCT17	S	1	PD10JAN18	2506.00	2564.90	USD	-1,472.50	
10OCT17	S	1	PD10JAN18	2512.00	2564.90	USD	-1,322.50	
10OCT17	S	1	PD10JAN18	2518.50	2564.90	USD	-1,160.00	
10OCT17	B	1	PD10JAN18	2526.00	2564.90	USD	972.50	
10OCT17	S	1	PD10JAN18	2524.00	2564.90	USD	-1,022.50	
10OCT17	B	2	PD10JAN18	2508.00	2564.90	USD	2,845.00	
10OCT17	B	1	PD10JAN18	2507.50	2564.90	USD	1,435.00	
10OCT17	S	1	PD10JAN18	2536.50	2564.90	USD	-710.00	
10OCT17	S	1	PD10JAN18	2533.00	2564.90	USD	-797.50	
10OCT17	S	1	PD10JAN18	2532.00	2564.90	USD	-822.50	

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10OCT17	S	1	PD10JAN18	2534.00	2564.90	USD	-772.50	
10OCT17	B	1	PD10JAN18	2538.00	2564.90	USD	672.50	
10OCT17	S	1	PD10JAN18	2539.50	2564.90	USD	-635.00	
10OCT17	B	2	PD10JAN18	2545.00	2564.90	USD	995.00	
10OCT17	B	1	PD10JAN18	2548.00	2564.90	USD	422.50	
	NET	-2 AVG	BGHT 2508.04				3,317.50	0.00
			SOLD 2517.90					
11OCT17	B	1	PD11JAN18	2531.50	2565.00	USD	837.50	
11OCT17	S	1	PD11JAN18	2532.50	2565.00	USD	-812.50	
11OCT17	S	1	PD11JAN18	2533.50	2565.00	USD	-787.50	
11OCT17	B	1	PD11JAN18	2533.00	2565.00	USD	800.00	
11OCT17	B	1	PD11JAN18	2531.00	2565.00	USD	850.00	
11OCT17	B	1	PD11JAN18	2528.00	2565.00	USD	925.00	
11OCT17	B	1	PD11JAN18	2535.00	2565.00	USD	750.00	
11OCT17	B	1	PD11JAN18	2526.50	2565.00	USD	962.50	
11OCT17	B	2	PD11JAN18	2525.00	2565.00	USD	2,000.00	
11OCT17	B	4	PD11JAN18	2524.50	2565.00	USD	4,050.00	
11OCT17	B	1	PD11JAN18	2521.00	2565.00	USD	1,100.00	
11OCT17	B	1	PD11JAN18	2521.50	2565.00	USD	1,087.50	
11OCT17	B	1	PD11JAN18	2518.50	2565.00	USD	1,162.50	
11OCT17	S	1	PD11JAN18	2509.00	2565.00	USD	-1,400.00	
11OCT17	S	1	PD11JAN18	2516.00	2565.00	USD	-1,225.00	
11OCT17	B	1	PD11JAN18	2531.00	2565.00	USD	850.00	
11OCT17	B	1	PD11JAN18	2523.00	2565.00	USD	1,050.00	
11OCT17	B	2	PD11JAN18	2522.00	2565.00	USD	2,150.00	
11OCT17	S	2	PD11JAN18	2521.50	2565.00	USD	-2,175.00	
11OCT17	S	1	PD11JAN18	2561.00	2565.00	USD	-100.00	
11OCT17	S	1	PD11JAN18	2563.00	2565.00	USD	-50.00	
11OCT17	S	6	PD11JAN18	2562.00	2565.00	USD	-450.00	
11OCT17	S	1	PD11JAN18	2559.50	2565.00	USD	-137.50	
11OCT17	S	1	PD11JAN18	2560.00	2565.00	USD	-125.00	
11OCT17	S	1	PD11JAN18	2554.50	2565.00	USD	-262.50	
11OCT17	S	1	PD11JAN18	2555.00	2565.00	USD	-250.00	
11OCT17	S	1	PD11JAN18	2557.50	2565.00	USD	-187.50	
11OCT17	S	1	PD11JAN18	2564.80	2565.00	USD	-5.00	
	NET	-1 AVG	BGHT 2525.89				10,607.50	0.00
			SOLD 2549.07					
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	9250.00	11060.20	USD	-10,861.20	
19JUL17	B	1	PD12OCT17	9648.93	11060.20	USD	8,467.62	
	NET	0 AVG	BGHT 9648.93				-2,393.58	0.00
			SOLD 9250.00					
14JUL17	S	2	PD13OCT17	9280.00	11061.00	USD	-21,372.00	
14JUL17	S	1	PD13OCT17	9285.00	11061.00	USD	-10,656.00	
14JUL17	B	1	PD13OCT17	9285.00	11061.00	USD	10,656.00	
14JUL17	B	1	PD13OCT17	9295.00	11061.00	USD	10,596.00	
14JUL17	B	1	PD13OCT17	9350.00	11061.00	USD	10,266.00	
	NET	0 AVG	BGHT 9310.00				-510.00	0.00
			SOLD 9281.67					
17JUL17	B	1	PD17OCT17	9595.00	11064.20	USD	8,815.20	
17JUL17	B	2	PD17OCT17	9620.00	11064.20	USD	17,330.40	
17JUL17	S	1	PD17OCT17	9640.00	11064.20	USD	-8,545.20	
17JUL17	S	1	PD17OCT17	9625.00	11064.20	USD	-8,635.20	
17JUL17	S	1	PD17OCT17	9615.00	11064.20	USD	-8,695.20	
17JUL17	S	1	PD17OCT17	9700.00	11064.20	USD	-8,185.20	
17JUL17	B	1	PD17OCT17	9600.00	11064.20	USD	8,785.20	
	NET	0 AVG	BGHT 9608.75				870.00	0.00
			SOLD 9645.00					
18JUL17	S	1	PD18OCT17	9635.00	11065.00	USD	-8,580.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD180CT17	9645.00	11065.00	USD	-17,040.00	
18JUL17	B	1	PD180CT17	9660.00	11065.00	USD	8,430.00	
18JUL17	B	1	PD180CT17	9650.00	11065.00	USD	8,490.00	
18JUL17	B	1	PD180CT17	9655.00	11065.00	USD	8,460.00	
	NET	0 AVG BGHT 9655.00	SOLD 9641.67				-240.00	0.00
19JUL17	S	1	PD190CT17	9755.00	11065.96	USD	-7,865.76	
19JUL17	B	1	PD190CT17	9695.00	11065.96	USD	8,225.76	
	NET	0 AVG BGHT 9695.00	SOLD 9755.00				360.00	0.00
20JUL17	S	1	PD200CT17	9635.00	11066.93	USD	-8,591.58	
20JUL17	B	1	PD200CT17	9630.00	11066.93	USD	8,621.58	
	NET	0 AVG BGHT 9630.00	SOLD 9635.00				30.00	0.00
24JUL17	S	1	PD240CT17	9690.00	11070.79	USD	-8,284.74	
24JUL17	S	1	PD240CT17	9735.00	11070.79	USD	-8,014.74	
24JUL17	S	1	PD240CT17	9750.00	11070.79	USD	-7,924.74	
24JUL17	B	1	PD240CT17	9755.00	11070.79	USD	7,894.74	
24JUL17	B	1	PD240CT17	9745.00	11070.79	USD	7,954.74	
24JUL17	B	1	PD240CT17	9760.00	11070.79	USD	7,864.74	
	NET	0 AVG BGHT 9753.33	SOLD 9725.00				-510.00	0.00
25JUL17	S	2	PD250CT17	9850.00	11071.75	USD	-14,661.00	
25JUL17	S	3	PD250CT17	9900.00	11071.75	USD	-21,091.50	
25JUL17	S	1	PD250CT17	9895.00	11071.75	USD	-7,060.50	
25JUL17	S	1	PD250CT17	9920.00	11071.75	USD	-6,910.50	
25JUL17	B	1	PD250CT17	9925.00	11071.75	USD	6,880.50	
25JUL17	B	4	PD250CT17	9950.00	11071.75	USD	26,922.00	
25JUL17	B	2	PD250CT17	9920.00	11071.75	USD	13,821.00	
	NET	0 AVG BGHT 9937.86	SOLD 9887.86				-2,100.00	0.00
26JUL17	B	2	PD260CT17	10020.00	11072.71	USD	12,632.52	
26JUL17	B	2	PD260CT17	10030.00	11072.71	USD	12,512.52	
26JUL17	S	1	PD260CT17	9915.00	11072.71	USD	-6,946.26	
26JUL17	S	3	PD260CT17	9910.00	11072.71	USD	-20,928.78	
	NET	0 AVG BGHT 10025.00	SOLD 9911.25				-2,730.00	0.00
27JUL17	B	1	PD270CT17	10050.00	11073.68	USD	6,142.08	
27JUL17	S	1	PD270CT17	10085.00	11073.68	USD	-5,932.08	
28JUL17	B	1	PD270CT17	10045.00	11073.68	USD	6,172.08	
28JUL17	B	1	PD270CT17	10025.00	11073.68	USD	6,292.08	
28JUL17	B	1	PD270CT17	10030.00	11073.68	USD	6,262.08	
28JUL17	B	1	PD270CT17	10035.00	11073.68	USD	6,232.08	
28JUL17	S	2	PD270CT17	10095.00	11073.68	USD	-11,744.16	
28JUL17	S	1	PD270CT17	10100.00	11073.68	USD	-5,842.08	
28JUL17	S	1	PD270CT17	10135.00	11073.68	USD	-5,632.08	
28JUL17	S	2	PD270CT17	10130.00	11073.68	USD	-11,324.16	
28JUL17	S	1	PD270CT17	10210.00	11073.68	USD	-5,182.08	
28JUL17	S	1	PD270CT17	10035.00	11073.68	USD	-6,232.08	
28JUL17	B	1	PD270CT17	10255.00	11073.68	USD	4,912.08	
28JUL17	B	1	PD270CT17	10250.00	11073.68	USD	4,942.08	
28JUL17	B	3	PD270CT17	10230.00	11073.68	USD	15,186.24	
28JUL17	B	1	PD270CT17	10240.00	11073.68	USD	5,002.08	
28JUL17	B	2	PD270CT17	10225.00	11073.68	USD	10,184.16	
28JUL17	S	1	PD270CT17	10235.00	11073.68	USD	-5,032.08	
28JUL17	S	3	PD270CT17	10205.00	11073.68	USD	-15,636.24	
28JUL17	B	1	PD270CT17	10195.00	11073.68	USD	5,272.08	
28JUL17	S	1	PD270CT17	10215.00	11073.68	USD	-5,152.08	
28JUL17	B	4	PD270CT17	10280.00	11073.68	USD	19,048.32	
14SEP17	S	4	PD270CT17	11158.68	11073.68	USD	2,040.00	
	NET	0 AVG BGHT 10188.06	SOLD 10373.04				19,978.32	0.00

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	10325.00	11077.54	USD	4,515.24	
31JUL17	S	1	PD31OCT17	10320.00	11077.54	USD	-4,545.24	
31JUL17	S	1	PD31OCT17	10315.00	11077.54	USD	-4,575.24	
31JUL17	S	1	PD31OCT17	10280.00	11077.54	USD	-4,785.24	
31JUL17	S	1	PD31OCT17	10290.00	11077.54	USD	-4,725.24	
31JUL17	S	1	PD31OCT17	10300.00	11077.54	USD	-4,665.24	
14SEP17	B	4	PD31OCT17	11163.54	11077.54	USD	-2,064.00	
	NET	0 AVG	BGHT 10995.83				-20,844.96	0.00
01AUG17	B	2	PD01NOV17	10150.00	11078.50	USD	11,142.00	
01AUG17	S	1	PD01NOV17	10195.00	11078.50	USD	-5,301.00	
01AUG17	S	1	PD01NOV17	10205.00	11078.50	USD	-5,241.00	
	NET	0 AVG	BGHT 10150.00				600.00	0.00
03AUG17	B	1	PD03NOV17	10335.00	11080.43	USD	4,472.58	
03AUG17	S	1	PD03NOV17	10300.00	11080.43	USD	-4,682.58	
03AUG17	S	1	PD03NOV17	10290.00	11080.43	USD	-4,742.58	
03AUG17	B	1	PD03NOV17	10310.00	11080.43	USD	4,622.58	
04AUG17	S	1	PD03NOV17	10390.00	11080.43	USD	-4,142.58	
04AUG17	S	1	PD03NOV17	10405.00	11080.43	USD	-4,052.58	
04AUG17	S	1	PD03NOV17	10410.00	11080.43	USD	-4,022.58	
04AUG17	B	1	PD03NOV17	10380.00	11080.43	USD	4,202.58	
04AUG17	B	2	PD03NOV17	10335.00	11080.43	USD	8,945.16	
	NET	0 AVG	BGHT 10339.00				600.00	0.00
08AUG17	B	3	PD08NOV17	10300.00	11085.25	USD	14,134.50	
08AUG17	B	2	PD08NOV17	10295.00	11085.25	USD	9,483.00	
08AUG17	S	1	PD08NOV17	10340.00	11085.25	USD	-4,471.50	
08AUG17	S	2	PD08NOV17	10345.00	11085.25	USD	-8,883.00	
08AUG17	S	1	PD08NOV17	10310.00	11085.25	USD	-4,651.50	
08AUG17	B	1	PD08NOV17	10310.00	11085.25	USD	4,651.50	
08AUG17	S	1	PD08NOV17	10295.00	11085.25	USD	-4,741.50	
08AUG17	S	1	PD08NOV17	10320.00	11085.25	USD	-4,591.50	
	NET	0 AVG	BGHT 10300.00				930.00	0.00
09AUG17	S	2	PD09NOV17	10730.00	11086.21	USD	-4,274.52	
09AUG17	S	4	PD09NOV17	10735.00	11086.21	USD	-8,429.04	
09AUG17	B	1	PD09NOV17	10775.00	11086.21	USD	1,867.26	
09AUG17	B	1	PD09NOV17	10780.00	11086.21	USD	1,837.26	
09AUG17	B	1	PD09NOV17	10770.00	11086.21	USD	1,897.26	
09AUG17	B	1	PD09NOV17	10800.00	11086.21	USD	1,717.26	
09AUG17	S	1	PD09NOV17	10750.00	11086.21	USD	-2,017.26	
09AUG17	B	3	PD09NOV17	10750.00	11086.21	USD	6,051.78	
	NET	0 AVG	BGHT 10767.86				-1,350.00	0.00
10AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
10AUG17	B	1	PD10NOV17	10835.00	11087.18	USD	1,513.08	
11AUG17	S	2	PD10NOV17	10670.00	11087.18	USD	-5,006.16	
11AUG17	S	3	PD10NOV17	10730.00	11087.18	USD	-6,429.24	
11AUG17	B	1	PD10NOV17	10690.00	11087.18	USD	2,383.08	
11AUG17	B	1	PD10NOV17	10640.00	11087.18	USD	2,683.08	
11AUG17	B	1	PD10NOV17	10645.00	11087.18	USD	2,653.08	
11AUG17	B	2	PD10NOV17	10665.00	11087.18	USD	5,066.16	
11AUG17	B	1	PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	S	1	PD10NOV17	10650.00	11087.18	USD	-2,623.08	
	NET	0 AVG	BGHT 10685.71				1,110.00	0.00
14AUG17	B	1	PD14NOV17	10640.00	11091.04	USD	2,706.24	
14AUG17	B	1	PD14NOV17	10605.00	11091.04	USD	2,916.24	
14AUG17	S	1	PD14NOV17	10575.00	11091.04	USD	-3,096.24	
14AUG17	S	1	PD14NOV17	10600.00	11091.04	USD	-2,946.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	10622.50		SOLD		-420.00	0.00
16AUG17	B	1	PD16NOV17		10410.00	11092.77	USD	4,096.62	
16AUG17	B	1	PD16NOV17		10460.00	11092.77	USD	3,796.62	
16AUG17	S	1	PD16NOV17		10415.00	11092.77	USD	-4,066.62	
16AUG17	S	1	PD16NOV17		10515.00	11092.77	USD	-3,466.62	
	NET	0 AVG	BGHT	10435.00		SOLD		360.00	0.00
22AUG17	S	1	PD22NOV17		11335.00	11097.40	USD	1,425.60	
22AUG17	S	1	PD22NOV17		11300.00	11097.40	USD	1,215.60	
22AUG17	S	2	PD22NOV17		11355.00	11097.40	USD	3,091.20	
22AUG17	B	1	PD22NOV17		11510.00	11097.40	USD	-2,475.60	
22AUG17	S	1	PD22NOV17		11525.00	11097.40	USD	2,565.60	
22AUG17	S	1	PD22NOV17		11535.00	11097.40	USD	2,625.60	
22AUG17	B	1	PD22NOV17		11475.00	11097.40	USD	-2,265.60	
22AUG17	S	1	PD22NOV17		11465.00	11097.40	USD	2,205.60	
22AUG17	B	1	PD22NOV17		11445.00	11097.40	USD	-2,085.60	
22AUG17	B	1	PD22NOV17		11400.00	11097.40	USD	-1,815.60	
22AUG17	B	1	PD22NOV17		11380.00	11097.40	USD	-1,695.60	
22AUG17	B	1	PD22NOV17		11360.00	11097.40	USD	-1,575.60	
22AUG17	B	1	PD22NOV17		11325.00	11097.40	USD	-1,365.60	
	NET	0 AVG	BGHT	11413.57		SOLD		-150.00	0.00
23AUG17	S	1	PD24NOV17		11370.00	11098.94	USD	1,626.36	
23AUG17	S	1	PD24NOV17		11395.00	11098.94	USD	1,776.36	
23AUG17	S	1	PD24NOV17		11405.00	11098.94	USD	1,836.36	
23AUG17	B	1	PD24NOV17		11440.00	11098.94	USD	-2,046.36	
23AUG17	S	2	PD24NOV17		11520.00	11098.94	USD	5,052.72	
23AUG17	S	1	PD24NOV17		11530.00	11098.94	USD	2,586.36	
23AUG17	B	1	PD24NOV17		11480.00	11098.94	USD	-2,286.36	
23AUG17	B	1	PD24NOV17		11730.00	11098.94	USD	-3,786.36	
23AUG17	B	1	PD24NOV17		11780.00	11098.94	USD	-4,086.36	
24AUG17	B	2	PD24NOV17		11720.00	11098.94	USD	-7,452.72	
24AUG17	S	1	PD24NOV17		11690.00	11098.94	USD	3,546.36	
24AUG17	B	1	PD24NOV17		11705.00	11098.94	USD	-3,636.36	
25AUG17	B	1	PD24NOV17		11445.00	11098.94	USD	-2,076.36	
25AUG17	S	1	PD24NOV17		11450.00	11098.94	USD	2,106.36	
	NET	0 AVG	BGHT	11627.50		SOLD		-6,840.00	0.00
31AUG17	B	1	PD30NOV17		11780.00	11103.57	USD	-4,058.58	
31AUG17	S	1	PD30NOV17		11790.00	11103.57	USD	4,118.58	
	NET	0 AVG	BGHT	11780.00		SOLD		60.00	0.00
04SEP17	S	1	PD04DEC17		12220.00	11106.66	USD	6,680.04	
04SEP17	S	1	PD04DEC17		12215.00	11106.66	USD	6,650.04	
04SEP17	B	1	PD04DEC17		12220.00	11106.66	USD	-6,680.04	
04SEP17	S	2	PD04DEC17		12125.00	11106.66	USD	12,220.08	
04SEP17	B	1	PD04DEC17		12145.00	11106.66	USD	-6,230.04	
04SEP17	B	1	PD04DEC17		12140.00	11106.66	USD	-6,200.04	
04SEP17	B	1	PD04DEC17		12170.00	11106.66	USD	-6,380.04	
04SEP17	B	1	PD04DEC17		12255.00	11106.66	USD	-6,890.04	
04SEP17	S	1	PD04DEC17		12250.00	11106.66	USD	6,860.04	
	NET	0 AVG	BGHT	12186.00		SOLD		30.00	0.00
05SEP17	S	1	PD05DEC17		12250.00	11107.43	USD	6,855.42	
05SEP17	B	1	PD05DEC17		12195.00	11107.43	USD	-6,525.42	
05SEP17	S	1	PD05DEC17		12185.00	11107.43	USD	6,465.42	
05SEP17	B	1	PD05DEC17		12185.00	11107.43	USD	-6,465.42	
05SEP17	S	1	PD05DEC17		12230.00	11107.43	USD	6,735.42	
05SEP17	B	1	PD05DEC17		12155.00	11107.43	USD	-6,285.42	
	NET	0 AVG	BGHT	12178.33		SOLD		780.00	0.00

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06SEP17	S	1	PD06DEC17	12015.00	11108.20	USD	5,440.80	
06SEP17	B	1	PD06DEC17	11970.00	11108.20	USD	-5,170.80	
06SEP17	S	1	PD06DEC17	12120.00	11108.20	USD	6,070.80	
06SEP17	B	1	PD06DEC17	12200.00	11108.20	USD	-6,550.80	
	NET	0 AVG BGHT 12085.00	SOLD 12067.50				-210.00	0.00
07SEP17	S	1	PD07DEC17	12250.00	11108.97	USD	6,846.18	
07SEP17	S	1	PD07DEC17	12230.00	11108.97	USD	6,726.18	
07SEP17	B	1	PD07DEC17	12145.00	11108.97	USD	-6,216.18	
07SEP17	S	1	PD07DEC17	12135.00	11108.97	USD	6,156.18	
07SEP17	B	1	PD07DEC17	12085.00	11108.97	USD	-5,856.18	
07SEP17	B	1	PD07DEC17	12095.00	11108.97	USD	-5,916.18	
07SEP17	S	1	PD07DEC17	12180.00	11108.97	USD	6,426.18	
07SEP17	S	1	PD07DEC17	12195.00	11108.97	USD	6,516.18	
07SEP17	B	1	PD07DEC17	12135.00	11108.97	USD	-6,156.18	
07SEP17	B	1	PD07DEC17	12090.00	11108.97	USD	-5,886.18	
	NET	0 AVG BGHT 12110.00	SOLD 12198.00				2,640.00	0.00
08SEP17	B	1	PD08DEC17	12125.00	11109.74	USD	-6,091.56	
08SEP17	B	1	PD08DEC17	12030.00	11109.74	USD	-5,521.56	
08SEP17	B	1	PD08DEC17	11955.00	11109.74	USD	-5,071.56	
08SEP17	S	1	PD08DEC17	11970.00	11109.74	USD	5,161.56	
08SEP17	S	1	PD08DEC17	11945.00	11109.74	USD	5,011.56	
08SEP17	B	1	PD08DEC17	11880.00	11109.74	USD	-4,621.56	
08SEP17	S	1	PD08DEC17	11920.00	11109.74	USD	4,861.56	
08SEP17	S	1	PD08DEC17	11915.00	11109.74	USD	4,831.56	
08SEP17	B	1	PD08DEC17	11550.00	11109.74	USD	-2,641.56	
08SEP17	S	1	PD08DEC17	11565.00	11109.74	USD	2,731.56	
	NET	0 AVG BGHT 11908.00	SOLD 11863.00				-1,350.00	0.00
11SEP17	S	2	PD11DEC17	11600.00	11112.06	USD	5,855.28	
11SEP17	B	1	PD11DEC17	11605.00	11112.06	USD	-2,957.64	
11SEP17	B	1	PD11DEC17	11610.00	11112.06	USD	-2,987.64	
11SEP17	B	1	PD11DEC17	11750.00	11112.06	USD	-3,827.64	
11SEP17	S	1	PD11DEC17	11755.00	11112.06	USD	3,857.64	
	NET	0 AVG BGHT 11655.00	SOLD 11651.67				-60.00	0.00
12SEP17	B	1	PD12DEC17	11665.00	11112.83	USD	-3,313.02	
12SEP17	S	1	PD12DEC17	11715.00	11112.83	USD	3,613.02	
	NET	0 AVG BGHT 11665.00	SOLD 11715.00				300.00	0.00
13SEP17	B	1	PD13DEC17	11725.00	11113.60	USD	-3,668.40	
13SEP17	S	1	PD13DEC17	11775.00	11113.60	USD	3,968.40	
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
13SEP17	B	1	PD13DEC17	11590.00	11113.60	USD	-2,858.40	
13SEP17	B	2	PD13DEC17	11570.00	11113.60	USD	-5,476.80	
13SEP17	B	1	PD13DEC17	11520.00	11113.60	USD	-2,438.40	
13SEP17	B	1	PD13DEC17	11525.00	11113.60	USD	-2,468.40	
	NET	+6 AVG BGHT 11602.86	SOLD 11775.00				-16,580.40	0.00
14SEP17	B	1	PD14DEC17	11280.00	11114.37	USD	-993.78	
14SEP17	B	1	PD14DEC17	11155.00	11114.37	USD	-243.78	
14SEP17	S	1	PD14DEC17	11215.00	11114.37	USD	603.78	
14SEP17	S	1	PD14DEC17	11230.00	11114.37	USD	693.78	
14SEP17	S	1	PD14DEC17	11415.00	11114.37	USD	1,803.78	
14SEP17	S	1	PD14DEC17	11340.00	11114.37	USD	1,353.78	
14SEP17	S	1	PD14DEC17	11220.00	11114.37	USD	633.78	
	NET	-3 AVG BGHT 11217.50	SOLD 11284.00				3,851.34	0.00
15SEP17	B	1	PD15DEC17	11175.00	11115.14	USD	-359.16	
15SEP17	S	1	PD15DEC17	11135.00	11115.14	USD	119.16	
15SEP17	B	1	PD15DEC17	11080.00	11115.14	USD	210.84	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	11065.00	11115.14	USD	-300.84	
15SEP17	B	1	PD15DEC17	11075.00	11115.14	USD	240.84	
15SEP17	B	1	PD15DEC17	11070.00	11115.14	USD	270.84	
15SEP17	B	1	PD15DEC17	11040.00	11115.14	USD	450.84	
15SEP17	S	2	PD15DEC17	11050.00	11115.14	USD	-781.68	
15SEP17	B	1	PD15DEC17	11050.00	11115.14	USD	390.84	
	NET	+2 AVG	BGHT 11081.67	SOLD 11075.00			241.68	0.00
18SEP17	B	1	PD18DEC17	11165.00	11117.46	USD	-285.24	
18SEP17	S	1	PD18DEC17	11110.00	11117.46	USD	-44.76	
18SEP17	B	1	PD18DEC17	11130.00	11117.46	USD	-75.24	
18SEP17	S	1	PD18DEC17	11115.00	11117.46	USD	-14.76	
18SEP17	S	1	PD18DEC17	11205.00	11117.46	USD	525.24	
18SEP17	B	1	PD18DEC17	11175.00	11117.46	USD	-345.24	
18SEP17	S	2	PD18DEC17	11170.00	11117.46	USD	630.48	
	NET	-2 AVG	BGHT 11156.67	SOLD 11154.00			390.48	0.00
19SEP17	B	1	PD19DEC17	10970.00	11118.23	USD	889.38	
19SEP17	S	1	PD19DEC17	11000.00	11118.23	USD	-709.38	
19SEP17	S	1	PD19DEC17	11160.00	11118.23	USD	250.62	
19SEP17	B	1	PD19DEC17	11155.00	11118.23	USD	-220.62	
19SEP17	S	1	PD19DEC17	11170.00	11118.23	USD	310.62	
19SEP17	S	1	PD19DEC17	11150.00	11118.23	USD	190.62	
	NET	-2 AVG	BGHT 11062.50	SOLD 11120.00			711.24	0.00
20SEP17	S	2	PD20DEC17	11210.00	11119.00	USD	1,092.00	
20SEP17	S	1	PD20DEC17	11315.00	11119.00	USD	1,176.00	
20SEP17	S	1	PD20DEC17	11340.00	11119.00	USD	1,326.00	
20SEP17	S	1	PD20DEC17	11345.00	11119.00	USD	1,356.00	
20SEP17	B	1	PD20DEC17	11335.00	11119.00	USD	-1,296.00	
20SEP17	B	2	PD20DEC17	11415.00	11119.00	USD	-3,552.00	
20SEP17	B	1	PD20DEC17	11365.00	11119.00	USD	-1,476.00	
	NET	-1 AVG	BGHT 11382.50	SOLD 11284.00			-1,374.00	0.00
21SEP17	B	1	PD21DEC17	11020.00	11119.73	USD	598.38	
21SEP17	S	1	PD21DEC17	11060.00	11119.73	USD	-358.38	
21SEP17	S	1	PD21DEC17	11070.00	11119.73	USD	-298.38	
21SEP17	B	1	PD21DEC17	11030.00	11119.73	USD	538.38	
21SEP17	B	1	PD21DEC17	10975.00	11119.73	USD	868.38	
21SEP17	B	1	PD21DEC17	10935.00	11119.73	USD	1,108.38	
21SEP17	S	1	PD21DEC17	11010.00	11119.73	USD	-658.38	
21SEP17	S	1	PD21DEC17	11065.00	11119.73	USD	-328.38	
21SEP17	B	2	PD21DEC17	10840.00	11119.73	USD	3,356.76	
21SEP17	B	1	PD21DEC17	10835.00	11119.73	USD	1,708.38	
21SEP17	S	1	PD21DEC17	10755.00	11119.73	USD	-2,188.38	
21SEP17	S	1	PD21DEC17	10740.00	11119.73	USD	-2,278.38	
21SEP17	B	1	PD21DEC17	10725.00	11119.73	USD	2,368.38	
	NET	+2 AVG	BGHT 10900.00	SOLD 10950.00			4,436.76	0.00
22SEP17	B	1	PD22DEC17	10620.00	11120.45	USD	3,002.70	
22SEP17	B	1	PD22DEC17	10525.00	11120.45	USD	3,572.70	
22SEP17	S	1	PD22DEC17	10590.00	11120.45	USD	-3,182.70	
22SEP17	S	2	PD22DEC17	10615.00	11120.45	USD	-6,065.40	
22SEP17	B	1	PD22DEC17	10540.00	11120.45	USD	3,482.70	
22SEP17	S	1	PD22DEC17	10535.00	11120.45	USD	-3,512.70	
22SEP17	B	1	PD22DEC17	10530.00	11120.45	USD	3,542.70	
22SEP17	B	1	PD22DEC17	10545.00	11120.45	USD	3,452.70	
22SEP17	S	1	PD22DEC17	10470.00	11120.45	USD	-3,902.70	
22SEP17	B	1	PD22DEC17	10460.00	11120.45	USD	3,962.70	
	NET	+1 AVG	BGHT 10536.67	SOLD 10565.00			4,352.70	0.00

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	2	PD27DEC17	10545.00	11124.09	USD	6,949.08	
25SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
25SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
25SEP17	S	1	PD27DEC17	10540.00	11124.09	USD	-3,504.54	
25SEP17	S	1	PD27DEC17	10500.00	11124.09	USD	-3,744.54	
25SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
25SEP17	S	1	PD27DEC17	10630.00	11124.09	USD	-2,964.54	
25SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
26SEP17	B	1	PD27DEC17	10655.00	11124.09	USD	2,814.54	
26SEP17	B	1	PD27DEC17	10615.00	11124.09	USD	3,054.54	
26SEP17	S	1	PD27DEC17	10590.00	11124.09	USD	-3,204.54	
26SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
26SEP17	B	1	PD27DEC17	10565.00	11124.09	USD	3,354.54	
26SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
26SEP17	S	1	PD27DEC17	10480.00	11124.09	USD	-3,864.54	
26SEP17	S	1	PD27DEC17	10490.00	11124.09	USD	-3,804.54	
26SEP17	B	1	PD27DEC17	10435.00	11124.09	USD	4,134.54	
26SEP17	B	1	PD27DEC17	10430.00	11124.09	USD	4,164.54	
26SEP17	S	1	PD27DEC17	10495.00	11124.09	USD	-3,774.54	
26SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
26SEP17	S	1	PD27DEC17	10455.00	11124.09	USD	-4,014.54	
26SEP17	B	1	PD27DEC17	10455.00	11124.09	USD	4,014.54	
	NET	+1AVG BGHT 10525.00	SOLD 10535.91				4,314.54	0.00
28SEP17	S	1	PD28DEC17	10255.00	11124.82	USD	-5,218.92	
28SEP17	S	1	PD28DEC17	10265.00	11124.82	USD	-5,158.92	
28SEP17	S	1	PD28DEC17	10495.00	11124.82	USD	-3,778.92	
28SEP17	S	1	PD28DEC17	10475.00	11124.82	USD	-3,898.92	
	NET	-4AVG SOLD 10372.50					-18,055.68	0.00
29SEP17	B	1	PD29DEC17	10445.00	11125.55	USD	4,083.30	
29SEP17	B	1	PD29DEC17	10420.00	11125.55	USD	4,233.30	
29SEP17	S	1	PD29DEC17	10625.00	11125.55	USD	-3,003.30	
29SEP17	S	1	PD29DEC17	10670.00	11125.55	USD	-2,733.30	
	NET	0AVG BGHT 10432.50	SOLD 10647.50				2,580.00	0.00
05OCT17	B	1	PD05JAN18	10615.00	11130.64	USD	3,093.84	
06OCT17	B	1	PD05JAN18	10565.00	11130.64	USD	3,393.84	
	NET	+2AVG BGHT 10590.00					6,487.68	0.00
10OCT17	S	1	PD10JAN18	10935.00	11134.27	USD	-1,195.62	
10OCT17	B	1	PD10JAN18	10965.00	11134.27	USD	1,015.62	
10OCT17	S	1	PD10JAN18	11040.00	11134.27	USD	-565.62	
10OCT17	B	1	PD10JAN18	11035.00	11134.27	USD	595.62	
	NET	0AVG BGHT 11000.00	SOLD 10987.50				-150.00	0.00
11OCT17	S	1	PD11JAN18	11155.00	11135.00	USD	120.00	
11OCT17	S	1	PD11JAN18	11225.00	11135.00	USD	540.00	
11OCT17	S	1	PD11JAN18	11200.00	11135.00	USD	390.00	
11OCT17	B	1	PD11JAN18	11190.00	11135.00	USD	-330.00	
11OCT17	B	1	PD11JAN18	11185.00	11135.00	USD	-300.00	
	NET	-1AVG BGHT 11187.50	SOLD 11193.33				420.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	4	PD12OCT17	2835.00	3299.33	USD	46,433.00	
12JUL17	B	4	PD12OCT17	2834.00	3299.33	USD	46,533.00	
12JUL17	B	5	PD12OCT17	2834.50	3299.33	USD	58,103.75	
12JUL17	B	2	PD12OCT17	2836.50	3299.33	USD	23,141.50	
12JUL17	B	1	PD12OCT17	2826.50	3299.33	USD	11,820.75	
12JUL17	B	1	PD12OCT17	2820.50	3299.33	USD	11,970.75	

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12JUL17	B	1	PD12OCT17	2821.00	3299.33	USD	11,958.25	
12JUL17	B	2	PD12OCT17	2819.50	3299.33	USD	23,991.50	
12JUL17	B	4	PD12OCT17	2818.00	3299.33	USD	48,133.00	
12JUL17	S	1	PD12OCT17	2828.50	3299.33	USD	-11,770.75	
12JUL17	S	1	PD12OCT17	2829.00	3299.33	USD	-11,758.25	
12JUL17	S	3	PD12OCT17	2828.00	3299.33	USD	-35,349.75	
12JUL17	B	1	PD12OCT17	2828.00	3299.33	USD	11,783.25	
12JUL17	S	1	PD12OCT17	2830.00	3299.33	USD	-11,733.25	
12JUL17	S	1	PD12OCT17	2829.50	3299.33	USD	-11,745.75	
12JUL17	S	1	PD12OCT17	2830.50	3299.33	USD	-11,720.75	
12JUL17	S	2	PD12OCT17	2831.00	3299.33	USD	-23,416.50	
12JUL17	S	2	PD12OCT17	2832.00	3299.33	USD	-23,366.50	
12JUL17	S	1	PD12OCT17	2835.00	3299.33	USD	-11,608.25	
12JUL17	S	1	PD12OCT17	2838.50	3299.33	USD	-11,520.75	
12JUL17	S	1	PD12OCT17	2838.00	3299.33	USD	-11,533.25	
12JUL17	S	2	PD12OCT17	2839.00	3299.33	USD	-23,016.50	
12JUL17	S	1	PD12OCT17	2840.50	3299.33	USD	-11,470.75	
12JUL17	S	1	PD12OCT17	2841.00	3299.33	USD	-11,458.25	
12JUL17	S	1	PD12OCT17	2842.00	3299.33	USD	-11,433.25	
12JUL17	S	1	PD12OCT17	2843.00	3299.33	USD	-11,408.25	
12JUL17	S	1	PD12OCT17	2844.00	3299.33	USD	-11,383.25	
12JUL17	S	1	PD12OCT17	2847.50	3299.33	USD	-11,295.75	
12JUL17	S	2	PD12OCT17	2847.00	3299.33	USD	-22,616.50	
12JUL17	S	1	PD12OCT17	2848.00	3299.33	USD	-11,283.25	
12JUL17	S	5	PD12OCT17	2859.00	3299.33	USD	-55,041.25	
12JUL17	S	1	PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	S	1	PD12OCT17	2855.50	3299.33	USD	-11,095.75	
12JUL17	S	4	PD12OCT17	2857.00	3299.33	USD	-44,233.00	
12JUL17	S	3	PD12OCT17	2870.50	3299.33	USD	-32,162.25	
12JUL17	S	7	PD12OCT17	2870.00	3299.33	USD	-75,132.75	
12JUL17	S	1	PD12OCT17	2866.50	3299.33	USD	-10,820.75	
12JUL17	B	1	PD12OCT17	2862.00	3299.33	USD	10,933.25	
12JUL17	B	1	PD12OCT17	2860.50	3299.33	USD	10,970.75	
12JUL17	B	1	PD12OCT17	2858.50	3299.33	USD	11,020.75	
12JUL17	B	1	PD12OCT17	2858.00	3299.33	USD	11,033.25	
12JUL17	B	1	PD12OCT17	2856.50	3299.33	USD	11,070.75	
12JUL17	B	2	PD12OCT17	2855.50	3299.33	USD	22,191.50	
12JUL17	B	2	PD12OCT17	2852.00	3299.33	USD	22,366.50	
12JUL17	B	2	PD12OCT17	2850.00	3299.33	USD	22,466.50	
12JUL17	B	3	PD12OCT17	2851.50	3299.33	USD	33,587.25	
12JUL17	S	1	PD12OCT17	2861.50	3299.33	USD	-10,945.75	
12JUL17	B	1	PD12OCT17	2846.50	3299.33	USD	11,320.75	
12JUL17	B	1	PD12OCT17	2845.50	3299.33	USD	11,345.75	
12JUL17	B	4	PD12OCT17	2851.00	3299.33	USD	44,833.00	
12JUL17	B	2	PD12OCT17	2850.50	3299.33	USD	22,441.50	
12JUL17	B	2	PD12OCT17	2844.50	3299.33	USD	22,741.50	
12JUL17	B	1	PD12OCT17	2818.50	3299.33	USD	12,020.75	
12JUL17	S	1	PD12OCT17	2870.50	3299.33	USD	-10,720.75	
12JUL17	S	1	PD12OCT17	2856.00	3299.33	USD	-11,083.25	
12JUL17	B	1	PD12OCT17	2848.50	3299.33	USD	11,270.75	
12JUL17	B	1	PD12OCT17	2845.00	3299.33	USD	11,358.25	
12JUL17	B	1	PD12OCT17	2846.00	3299.33	USD	11,333.25	
12JUL17	B	4	PD12OCT17	2853.00	3299.33	USD	44,633.00	
12JUL17	B	3	PD12OCT17	2848.00	3299.33	USD	33,849.75	
12JUL17	B	2	PD12OCT17	2849.00	3299.33	USD	22,516.50	
12JUL17	B	1	PD12OCT17	2843.50	3299.33	USD	11,395.75	
12JUL17	B	2	PD12OCT17	2847.50	3299.33	USD	22,591.50	

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12JUL17	B	1	PD120CT17	2847.00	3299.33	USD	11,308.25	
12JUL17	B	1	PD120CT17	2843.00	3299.33	USD	11,408.25	
12JUL17	B	2	PD120CT17	2844.00	3299.33	USD	22,766.50	
12JUL17	B	4	PD120CT17	2836.00	3299.33	USD	46,333.00	
12JUL17	B	2	PD120CT17	2835.50	3299.33	USD	23,191.50	
12JUL17	B	3	PD120CT17	2837.00	3299.33	USD	34,674.75	
12JUL17	S	3	PD120CT17	2839.50	3299.33	USD	-34,487.25	
12JUL17	S	5	PD120CT17	2831.50	3299.33	USD	-58,478.75	
12JUL17	S	1	PD120CT17	2834.00	3299.33	USD	-11,633.25	
12JUL17	B	1	PD120CT17	2851.00	3299.33	USD	11,208.25	
12JUL17	S	1	PD120CT17	2837.00	3299.33	USD	-11,558.25	
12JUL17	B	1	PD120CT17	2833.00	3299.33	USD	11,658.25	
12JUL17	B	1	PD120CT17	2831.50	3299.33	USD	11,695.75	
12JUL17	S	1	PD120CT17	2821.00	3299.33	USD	-11,958.25	
12JUL17	B	1	PD120CT17	2823.00	3299.33	USD	11,908.25	
19JUL17	S	20	PD120CT17	2746.26	3299.33	USD	-276,535.00	
	NET	0AVG BGHT 2841.13	SOLD 2822.35				-38,495.00	0.00
13JUL17	S	1	PD130CT17	2830.00	3295.00	USD	-11,625.00	
13JUL17	S	2	PD130CT17	2827.00	3295.00	USD	-23,400.00	
13JUL17	S	1	PD130CT17	2827.50	3295.00	USD	-11,687.50	
13JUL17	S	3	PD130CT17	2825.50	3295.00	USD	-35,212.50	
13JUL17	S	3	PD130CT17	2825.00	3295.00	USD	-35,250.00	
13JUL17	S	1	PD130CT17	2824.00	3295.00	USD	-11,775.00	
13JUL17	S	1	PD130CT17	2826.50	3295.00	USD	-11,712.50	
13JUL17	S	3	PD130CT17	2828.00	3295.00	USD	-35,025.00	
13JUL17	B	1	PD130CT17	2838.50	3295.00	USD	11,412.50	
13JUL17	S	2	PD130CT17	2837.00	3295.00	USD	-22,900.00	
13JUL17	S	1	PD130CT17	2839.00	3295.00	USD	-11,400.00	
13JUL17	S	1	PD130CT17	2839.50	3295.00	USD	-11,387.50	
13JUL17	S	1	PD130CT17	2840.00	3295.00	USD	-11,375.00	
13JUL17	B	2	PD130CT17	2829.00	3295.00	USD	23,300.00	
13JUL17	B	2	PD130CT17	2829.50	3295.00	USD	23,275.00	
13JUL17	B	2	PD130CT17	2830.00	3295.00	USD	23,250.00	
13JUL17	B	3	PD130CT17	2827.50	3295.00	USD	35,062.50	
13JUL17	S	1	PD130CT17	2846.50	3295.00	USD	-11,212.50	
13JUL17	B	2	PD130CT17	2833.50	3295.00	USD	23,075.00	
13JUL17	B	2	PD130CT17	2837.00	3295.00	USD	22,900.00	
13JUL17	B	2	PD130CT17	2837.50	3295.00	USD	22,875.00	
13JUL17	B	1	PD130CT17	2833.00	3295.00	USD	11,550.00	
13JUL17	B	1	PD130CT17	2832.50	3295.00	USD	11,562.50	
13JUL17	B	3	PD130CT17	2835.00	3295.00	USD	34,500.00	
13JUL17	B	1	PD130CT17	2832.00	3295.00	USD	11,575.00	
13JUL17	B	1	PD130CT17	2831.50	3295.00	USD	11,587.50	
13JUL17	S	2	PD130CT17	2827.50	3295.00	USD	-23,375.00	
13JUL17	S	1	PD130CT17	2835.50	3295.00	USD	-11,487.50	
13JUL17	B	1	PD130CT17	2837.00	3295.00	USD	11,450.00	
13JUL17	B	1	PD130CT17	2828.00	3295.00	USD	11,675.00	
13JUL17	S	1	PD130CT17	2828.00	3295.00	USD	-11,675.00	
13JUL17	S	1	PD130CT17	2828.50	3295.00	USD	-11,662.50	
13JUL17	S	1	PD130CT17	2829.00	3295.00	USD	-11,650.00	
13JUL17	S	2	PD130CT17	2833.00	3295.00	USD	-23,100.00	
13JUL17	S	1	PD130CT17	2834.00	3295.00	USD	-11,525.00	
13JUL17	S	1	PD130CT17	2833.50	3295.00	USD	-11,537.50	
13JUL17	S	2	PD130CT17	2834.50	3295.00	USD	-23,025.00	
13JUL17	S	3	PD130CT17	2835.00	3295.00	USD	-34,500.00	
13JUL17	S	5	PD130CT17	2835.50	3295.00	USD	-57,437.50	
13JUL17	S	2	PD130CT17	2823.50	3295.00	USD	-23,575.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD130CT17	2812.00	3295.00	USD	12,075.00	
13JUL17	B	1	PD130CT17	2804.00	3295.00	USD	12,275.00	
13JUL17	B	1	PD130CT17	2807.00	3295.00	USD	12,200.00	
13JUL17	B	2	PD130CT17	2805.00	3295.00	USD	24,500.00	
13JUL17	B	2	PD130CT17	2804.50	3295.00	USD	24,525.00	
13JUL17	B	2	PD130CT17	2806.00	3295.00	USD	24,450.00	
13JUL17	S	1	PD130CT17	2802.50	3295.00	USD	-12,312.50	
13JUL17	B	2	PD130CT17	2802.00	3295.00	USD	24,650.00	
13JUL17	S	1	PD130CT17	2828.50	3295.00	USD	-11,662.50	
13JUL17	B	3	PD130CT17	2802.50	3295.00	USD	36,937.50	
13JUL17	B	3	PD130CT17	2801.50	3295.00	USD	37,012.50	
13JUL17	B	2	PD130CT17	2801.00	3295.00	USD	24,700.00	
13JUL17	B	1	PD130CT17	2800.50	3295.00	USD	12,362.50	
14JUL17	B	2	PD130CT17	2788.00	3295.00	USD	25,350.00	
14JUL17	B	2	PD130CT17	2790.50	3295.00	USD	25,225.00	
14JUL17	B	1	PD130CT17	2792.00	3295.00	USD	12,575.00	
14JUL17	S	1	PD130CT17	2793.00	3295.00	USD	-12,550.00	
14JUL17	B	1	PD130CT17	2785.00	3295.00	USD	12,750.00	
14JUL17	S	1	PD130CT17	2792.50	3295.00	USD	-12,562.50	
14JUL17	S	1	PD130CT17	2800.50	3295.00	USD	-12,362.50	
14JUL17	B	1	PD130CT17	2793.00	3295.00	USD	12,550.00	
14JUL17	B	3	PD130CT17	2787.50	3295.00	USD	38,062.50	
14JUL17	B	1	PD130CT17	2786.50	3295.00	USD	12,712.50	
14JUL17	B	1	PD130CT17	2787.00	3295.00	USD	12,700.00	
14JUL17	B	4	PD130CT17	2786.00	3295.00	USD	50,900.00	
14JUL17	B	4	PD130CT17	2773.50	3295.00	USD	52,150.00	
14JUL17	B	3	PD130CT17	2773.00	3295.00	USD	39,150.00	
14JUL17	B	6	PD130CT17	2770.00	3295.00	USD	78,750.00	
14JUL17	B	1	PD130CT17	2769.50	3295.00	USD	13,137.50	
14JUL17	B	1	PD130CT17	2767.00	3295.00	USD	13,200.00	
14JUL17	B	1	PD130CT17	2766.50	3295.00	USD	13,212.50	
14JUL17	B	3	PD130CT17	2768.00	3295.00	USD	39,525.00	
14JUL17	B	1	PD130CT17	2765.50	3295.00	USD	13,237.50	
14JUL17	B	1	PD130CT17	2766.00	3295.00	USD	13,225.00	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	S	1	PD130CT17	2775.00	3295.00	USD	-13,000.00	
14JUL17	B	2	PD130CT17	2761.50	3295.00	USD	26,675.00	
14JUL17	S	1	PD130CT17	2765.00	3295.00	USD	-13,250.00	
14JUL17	S	1	PD130CT17	2766.00	3295.00	USD	-13,225.00	
14JUL17	S	1	PD130CT17	2765.50	3295.00	USD	-13,237.50	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	S	2	PD130CT17	2769.00	3295.00	USD	-26,300.00	
14JUL17	S	1	PD130CT17	2772.50	3295.00	USD	-13,062.50	
14JUL17	S	1	PD130CT17	2776.00	3295.00	USD	-12,975.00	
14JUL17	S	1	PD130CT17	2777.00	3295.00	USD	-12,950.00	
14JUL17	S	1	PD130CT17	2778.00	3295.00	USD	-12,925.00	
14JUL17	S	3	PD130CT17	2773.00	3295.00	USD	-39,150.00	
14JUL17	S	1	PD130CT17	2771.00	3295.00	USD	-13,100.00	
14JUL17	S	1	PD130CT17	2772.00	3295.00	USD	-13,075.00	
14JUL17	S	2	PD130CT17	2773.50	3295.00	USD	-26,075.00	
14JUL17	S	1	PD130CT17	2780.50	3295.00	USD	-12,862.50	
14JUL17	S	1	PD130CT17	2780.00	3295.00	USD	-12,875.00	
14JUL17	S	1	PD130CT17	2782.00	3295.00	USD	-12,825.00	
14JUL17	S	1	PD130CT17	2782.50	3295.00	USD	-12,812.50	
14JUL17	S	1	PD130CT17	2783.50	3295.00	USD	-12,787.50	
14JUL17	S	1	PD130CT17	2779.50	3295.00	USD	-12,887.50	
14JUL17	S	4	PD130CT17	2781.50	3295.00	USD	-51,350.00	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
14JUL17	S	1	PD130CT17		2795.00	3295.00	USD	-12,500.00	
14JUL17	B	2	PD130CT17		2790.00	3295.00	USD	25,250.00	
14JUL17	S	2	PD130CT17		2791.50	3295.00	USD	-25,175.00	
14JUL17	S	3	PD130CT17		2790.50	3295.00	USD	-37,837.50	
14JUL17	S	1	PD130CT17		2789.00	3295.00	USD	-12,650.00	
14JUL17	S	3	PD130CT17		2790.00	3295.00	USD	-37,875.00	
14JUL17	S	1	PD130CT17		2790.00	3295.00	USD	-12,625.00	
19JUL17	S	2	PD130CT17		2746.48	3295.00	USD	-27,426.00	
	NET	0	AVG BGHT 2798.83	SOLD 2805.56				14,799.00	0.00
17JUL17	S	1	PD170CT17		2817.50	3286.33	USD	-11,720.75	
17JUL17	S	4	PD170CT17		2814.50	3286.33	USD	-47,183.00	
17JUL17	S	1	PD170CT17		2814.00	3286.33	USD	-11,808.25	
17JUL17	B	2	PD170CT17		2815.00	3286.33	USD	23,566.50	
17JUL17	B	1	PD170CT17		2816.00	3286.33	USD	11,758.25	
17JUL17	S	1	PD170CT17		2825.00	3286.33	USD	-11,533.25	
17JUL17	S	5	PD170CT17		2835.50	3286.33	USD	-56,353.75	
17JUL17	S	1	PD170CT17		2836.00	3286.33	USD	-11,258.25	
17JUL17	S	1	PD170CT17		2837.50	3286.33	USD	-11,220.75	
17JUL17	S	1	PD170CT17		2834.50	3286.33	USD	-11,295.75	
17JUL17	S	1	PD170CT17		2834.00	3286.33	USD	-11,308.25	
17JUL17	S	2	PD170CT17		2835.00	3286.33	USD	-22,566.50	
17JUL17	B	1	PD170CT17		2829.50	3286.33	USD	11,420.75	
17JUL17	B	4	PD170CT17		2829.00	3286.33	USD	45,733.00	
17JUL17	B	2	PD170CT17		2828.00	3286.33	USD	22,916.50	
17JUL17	B	3	PD170CT17		2818.50	3286.33	USD	35,087.25	
17JUL17	B	1	PD170CT17		2817.50	3286.33	USD	11,720.75	
17JUL17	S	1	PD170CT17		2812.00	3286.33	USD	-11,858.25	
17JUL17	S	2	PD170CT17		2810.00	3286.33	USD	-23,816.50	
17JUL17	B	1	PD170CT17		2812.00	3286.33	USD	11,858.25	
17JUL17	B	1	PD170CT17		2811.00	3286.33	USD	11,883.25	
17JUL17	B	6	PD170CT17		2814.00	3286.33	USD	70,849.50	
17JUL17	S	1	PD170CT17		2829.00	3286.33	USD	-11,433.25	
17JUL17	S	1	PD170CT17		2830.00	3286.33	USD	-11,408.25	
17JUL17	S	4	PD170CT17		2827.50	3286.33	USD	-45,883.00	
17JUL17	S	2	PD170CT17		2838.00	3286.33	USD	-22,416.50	
17JUL17	S	1	PD170CT17		2838.50	3286.33	USD	-11,195.75	
17JUL17	S	2	PD170CT17		2841.00	3286.33	USD	-22,266.50	
17JUL17	S	3	PD170CT17		2842.00	3286.33	USD	-33,324.75	
17JUL17	S	4	PD170CT17		2840.00	3286.33	USD	-44,633.00	
17JUL17	S	4	PD170CT17		2840.50	3286.33	USD	-44,583.00	
17JUL17	S	1	PD170CT17		2846.50	3286.33	USD	-10,995.75	
17JUL17	S	2	PD170CT17		2845.00	3286.33	USD	-22,066.50	
17JUL17	S	1	PD170CT17		2845.50	3286.33	USD	-11,020.75	
17JUL17	S	3	PD170CT17		2844.50	3286.33	USD	-33,137.25	
17JUL17	S	7	PD170CT17		2844.00	3286.33	USD	-77,407.75	
17JUL17	S	6	PD170CT17		2843.50	3286.33	USD	-66,424.50	
17JUL17	S	2	PD170CT17		2843.00	3286.33	USD	-22,166.50	
17JUL17	S	2	PD170CT17		2842.50	3286.33	USD	-22,191.50	
17JUL17	B	1	PD170CT17		2834.00	3286.33	USD	11,308.25	
17JUL17	B	2	PD170CT17		2834.50	3286.33	USD	22,591.50	
17JUL17	B	2	PD170CT17		2832.50	3286.33	USD	22,691.50	
17JUL17	B	1	PD170CT17		2830.00	3286.33	USD	11,408.25	
17JUL17	B	5	PD170CT17		2831.50	3286.33	USD	56,853.75	
17JUL17	B	5	PD170CT17		2832.00	3286.33	USD	56,791.25	
17JUL17	B	3	PD170CT17		2833.00	3286.33	USD	33,999.75	
17JUL17	B	4	PD170CT17		2831.00	3286.33	USD	45,533.00	
17JUL17	B	1	PD170CT17		2830.50	3286.33	USD	11,395.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	2827.50	3286.33	USD	22,941.50	
17JUL17	B	3	PD17OCT17	2827.00	3286.33	USD	34,449.75	
17JUL17	B	2	PD17OCT17	2825.50	3286.33	USD	23,041.50	
17JUL17	B	3	PD17OCT17	2823.50	3286.33	USD	34,712.25	
17JUL17	B	4	PD17OCT17	2824.50	3286.33	USD	46,183.00	
17JUL17	B	1	PD17OCT17	2826.00	3286.33	USD	11,508.25	
17JUL17	B	1	PD17OCT17	2814.50	3286.33	USD	11,795.75	
17JUL17	S	1	PD17OCT17	2829.00	3286.33	USD	-11,433.25	
17JUL17	S	1	PD17OCT17	2846.00	3286.33	USD	-11,008.25	
17JUL17	B	1	PD17OCT17	2832.00	3286.33	USD	11,358.25	
17JUL17	B	2	PD17OCT17	2825.00	3286.33	USD	23,066.50	
17JUL17	S	5	PD17OCT17	2831.00	3286.33	USD	-56,916.25	
17JUL17	S	3	PD17OCT17	2828.00	3286.33	USD	-34,374.75	
17JUL17	S	2	PD17OCT17	2830.50	3286.33	USD	-22,791.50	
17JUL17	S	3	PD17OCT17	2832.00	3286.33	USD	-34,074.75	
17JUL17	S	2	PD17OCT17	2833.00	3286.33	USD	-22,666.50	
17JUL17	S	1	PD17OCT17	2834.00	3286.33	USD	-11,308.25	
17JUL17	B	3	PD17OCT17	2828.50	3286.33	USD	34,337.25	
17JUL17	B	2	PD17OCT17	2821.00	3286.33	USD	23,266.50	
17JUL17	B	2	PD17OCT17	2815.50	3286.33	USD	23,541.50	
17JUL17	B	6	PD17OCT17	2819.00	3286.33	USD	70,099.50	
17JUL17	B	5	PD17OCT17	2819.50	3286.33	USD	58,353.75	
17JUL17	B	5	PD17OCT17	2820.00	3286.33	USD	58,291.25	
17JUL17	B	1	PD17OCT17	2821.50	3286.33	USD	11,620.75	
19JUL17	S	3	PD17OCT17	2747.34	3286.33	USD	-40,424.25	
05SEP17	S	1	PD17OCT17	3137.80	3286.33	USD	-3,713.25	
	NET	0AVG	BGHT 2824.34	SOLD 2835.46			24,745.50	0.00
18JUL17	S	1	PD18OCT17	2818.00	3282.00	USD	-11,600.00	
18JUL17	S	1	PD18OCT17	2818.50	3282.00	USD	-11,587.50	
18JUL17	S	2	PD18OCT17	2819.00	3282.00	USD	-23,150.00	
18JUL17	B	2	PD18OCT17	2823.00	3282.00	USD	22,950.00	
18JUL17	B	1	PD18OCT17	2821.50	3282.00	USD	11,512.50	
18JUL17	B	2	PD18OCT17	2822.00	3282.00	USD	23,000.00	
18JUL17	B	1	PD18OCT17	2821.00	3282.00	USD	11,525.00	
18JUL17	B	1	PD18OCT17	2822.50	3282.00	USD	11,487.50	
18JUL17	S	1	PD18OCT17	2823.00	3282.00	USD	-11,475.00	
18JUL17	S	1	PD18OCT17	2815.50	3282.00	USD	-11,662.50	
18JUL17	S	4	PD18OCT17	2815.00	3282.00	USD	-46,700.00	
18JUL17	B	1	PD18OCT17	2806.50	3282.00	USD	11,887.50	
18JUL17	B	1	PD18OCT17	2803.00	3282.00	USD	11,975.00	
18JUL17	B	1	PD18OCT17	2798.50	3282.00	USD	12,087.50	
18JUL17	B	1	PD18OCT17	2797.50	3282.00	USD	12,112.50	
18JUL17	B	2	PD18OCT17	2800.50	3282.00	USD	24,075.00	
18JUL17	B	4	PD18OCT17	2801.50	3282.00	USD	48,050.00	
18JUL17	S	1	PD18OCT17	2799.50	3282.00	USD	-12,062.50	
18JUL17	S	1	PD18OCT17	2800.00	3282.00	USD	-12,050.00	
18JUL17	S	1	PD18OCT17	2801.50	3282.00	USD	-12,012.50	
18JUL17	S	1	PD18OCT17	2802.00	3282.00	USD	-12,000.00	
18JUL17	S	3	PD18OCT17	2804.00	3282.00	USD	-35,850.00	
18JUL17	B	1	PD18OCT17	2794.50	3282.00	USD	12,187.50	
18JUL17	B	3	PD18OCT17	2796.50	3282.00	USD	36,412.50	
18JUL17	S	1	PD18OCT17	2803.50	3282.00	USD	-11,962.50	
18JUL17	S	1	PD18OCT17	2804.50	3282.00	USD	-11,937.50	
18JUL17	S	2	PD18OCT17	2801.00	3282.00	USD	-24,050.00	
18JUL17	B	1	PD18OCT17	2799.00	3282.00	USD	12,075.00	
18JUL17	B	6	PD18OCT17	2801.00	3282.00	USD	72,150.00	
18JUL17	B	2	PD18OCT17	2800.00	3282.00	USD	24,100.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	2	PD18OCT17	2796.00	3282.00	USD	24,300.00	
18JUL17	B	3	PD18OCT17	2795.50	3282.00	USD	36,487.50	
18JUL17	B	4	PD18OCT17	2792.00	3282.00	USD	49,000.00	
18JUL17	B	1	PD18OCT17	2791.50	3282.00	USD	12,262.50	
18JUL17	B	1	PD18OCT17	2785.50	3282.00	USD	12,412.50	
18JUL17	B	1	PD18OCT17	2782.50	3282.00	USD	12,487.50	
18JUL17	B	1	PD18OCT17	2783.50	3282.00	USD	12,462.50	
18JUL17	B	1	PD18OCT17	2783.00	3282.00	USD	12,475.00	
18JUL17	B	2	PD18OCT17	2782.00	3282.00	USD	25,000.00	
18JUL17	B	2	PD18OCT17	2780.50	3282.00	USD	25,075.00	
18JUL17	B	3	PD18OCT17	2779.50	3282.00	USD	37,687.50	
18JUL17	B	3	PD18OCT17	2779.00	3282.00	USD	37,725.00	
18JUL17	B	1	PD18OCT17	2778.00	3282.00	USD	12,600.00	
18JUL17	B	5	PD18OCT17	2777.50	3282.00	USD	63,062.50	
18JUL17	B	1	PD18OCT17	2773.50	3282.00	USD	12,712.50	
18JUL17	B	1	PD18OCT17	2772.00	3282.00	USD	12,750.00	
18JUL17	S	1	PD18OCT17	2771.00	3282.00	USD	-12,775.00	
18JUL17	B	1	PD18OCT17	2770.50	3282.00	USD	12,787.50	
18JUL17	B	2	PD18OCT17	2771.50	3282.00	USD	25,525.00	
18JUL17	B	1	PD18OCT17	2821.00	3282.00	USD	11,525.00	
18JUL17	B	1	PD18OCT17	2810.50	3282.00	USD	11,787.50	
18JUL17	B	1	PD18OCT17	2800.50	3282.00	USD	12,037.50	
18JUL17	B	1	PD18OCT17	2801.50	3282.00	USD	12,012.50	
18JUL17	B	1	PD18OCT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	1	PD18OCT17	2772.50	3282.00	USD	12,737.50	
18JUL17	S	3	PD18OCT17	2783.00	3282.00	USD	-37,425.00	
18JUL17	S	2	PD18OCT17	2783.50	3282.00	USD	-24,925.00	
18JUL17	S	1	PD18OCT17	2786.00	3282.00	USD	-12,400.00	
18JUL17	S	1	PD18OCT17	2785.50	3282.00	USD	-12,412.50	
18JUL17	S	1	PD18OCT17	2782.50	3282.00	USD	-12,487.50	
18JUL17	S	2	PD18OCT17	2781.00	3282.00	USD	-25,050.00	
18JUL17	S	1	PD18OCT17	2782.00	3282.00	USD	-12,500.00	
18JUL17	S	7	PD18OCT17	2784.00	3282.00	USD	-87,150.00	
18JUL17	S	1	PD18OCT17	2784.50	3282.00	USD	-12,437.50	
18JUL17	B	1	PD18OCT17	2780.00	3282.00	USD	12,550.00	
18JUL17	S	1	PD18OCT17	2792.00	3282.00	USD	-12,250.00	
18JUL17	S	1	PD18OCT17	2791.00	3282.00	USD	-12,275.00	
18JUL17	S	1	PD18OCT17	2790.50	3282.00	USD	-12,287.50	
18JUL17	S	1	PD18OCT17	2790.00	3282.00	USD	-12,300.00	
18JUL17	S	2	PD18OCT17	2791.50	3282.00	USD	-24,525.00	
18JUL17	S	5	PD18OCT17	2792.50	3282.00	USD	-61,187.50	
18JUL17	B	1	PD18OCT17	2777.00	3282.00	USD	12,625.00	
18JUL17	S	1	PD18OCT17	2783.00	3282.00	USD	-12,475.00	
18JUL17	S	2	PD18OCT17	2781.00	3282.00	USD	-25,050.00	
18JUL17	S	1	PD18OCT17	2790.00	3282.00	USD	-12,300.00	
18JUL17	S	2	PD18OCT17	2787.00	3282.00	USD	-24,750.00	
18JUL17	S	1	PD18OCT17	2787.50	3282.00	USD	-12,362.50	
18JUL17	S	2	PD18OCT17	2788.00	3282.00	USD	-24,700.00	
18JUL17	S	1	PD18OCT17	2789.00	3282.00	USD	-12,325.00	
18JUL17	S	2	PD18OCT17	2795.00	3282.00	USD	-24,350.00	
18JUL17	S	1	PD18OCT17	2793.00	3282.00	USD	-12,225.00	
18JUL17	S	1	PD18OCT17	2796.00	3282.00	USD	-12,150.00	
18JUL17	S	2	PD18OCT17	2794.00	3282.00	USD	-24,400.00	
18JUL17	S	1	PD18OCT17	2794.00	3282.00	USD	-12,200.00	
18JUL17	S	1	PD18OCT17	2795.50	3282.00	USD	-12,162.50	
05SEP17	S	3	PD18OCT17	3137.80	3282.00	USD	-10,815.00	
	NET	0AVG BGHT 2793.29	SOLD 2808.16				27,147.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	2778.50	3277.50	USD	-12,475.00	
19JUL17	S	3	PD19OCT17	2778.00	3277.50	USD	-37,462.50	
19JUL17	S	1	PD19OCT17	2789.50	3277.50	USD	-12,200.00	
19JUL17	S	2	PD19OCT17	2782.50	3277.50	USD	-24,750.00	
19JUL17	S	1	PD19OCT17	2784.00	3277.50	USD	-12,337.50	
19JUL17	S	5	PD19OCT17	2783.50	3277.50	USD	-61,750.00	
19JUL17	S	1	PD19OCT17	2785.50	3277.50	USD	-12,300.00	
19JUL17	S	1	PD19OCT17	2785.00	3277.50	USD	-12,312.50	
19JUL17	S	1	PD19OCT17	2786.00	3277.50	USD	-12,287.50	
19JUL17	B	1	PD19OCT17	2789.00	3277.50	USD	12,212.50	
19JUL17	S	1	PD19OCT17	2791.50	3277.50	USD	-12,150.00	
19JUL17	B	1	PD19OCT17	2791.00	3277.50	USD	12,162.50	
19JUL17	S	2	PD19OCT17	2795.00	3277.50	USD	-24,125.00	
19JUL17	S	6	PD19OCT17	2798.00	3277.50	USD	-71,925.00	
19JUL17	S	1	PD19OCT17	2796.50	3277.50	USD	-12,025.00	
19JUL17	B	1	PD19OCT17	2784.00	3277.50	USD	12,337.50	
19JUL17	B	1	PD19OCT17	2783.00	3277.50	USD	12,362.50	
19JUL17	B	1	PD19OCT17	2780.50	3277.50	USD	12,425.00	
19JUL17	B	1	PD19OCT17	2779.00	3277.50	USD	12,462.50	
19JUL17	B	1	PD19OCT17	2778.50	3277.50	USD	12,475.00	
19JUL17	B	1	PD19OCT17	2778.00	3277.50	USD	12,487.50	
19JUL17	B	2	PD19OCT17	2777.50	3277.50	USD	25,000.00	
19JUL17	B	1	PD19OCT17	2781.50	3277.50	USD	12,400.00	
19JUL17	B	1	PD19OCT17	2782.00	3277.50	USD	12,387.50	
19JUL17	B	3	PD19OCT17	2782.50	3277.50	USD	37,125.00	
19JUL17	B	3	PD19OCT17	2781.00	3277.50	USD	37,237.50	
19JUL17	B	1	PD19OCT17	2779.50	3277.50	USD	12,450.00	
19JUL17	B	1	PD19OCT17	2780.00	3277.50	USD	12,437.50	
19JUL17	B	2	PD19OCT17	2773.00	3277.50	USD	25,225.00	
19JUL17	B	3	PD19OCT17	2773.50	3277.50	USD	37,800.00	
19JUL17	B	3	PD19OCT17	2772.50	3277.50	USD	37,875.00	
19JUL17	B	2	PD19OCT17	2772.00	3277.50	USD	25,275.00	
19JUL17	S	1	PD19OCT17	2783.00	3277.50	USD	-12,362.50	
19JUL17	B	1	PD19OCT17	2779.00	3277.50	USD	12,462.50	
19JUL17	S	1	PD19OCT17	2773.50	3277.50	USD	-12,600.00	
19JUL17	B	2	PD19OCT17	2774.00	3277.50	USD	25,175.00	
19JUL17	B	1	PD19OCT17	2766.50	3277.50	USD	12,775.00	
19JUL17	S	1	PD19OCT17	2768.00	3277.50	USD	-12,737.50	
19JUL17	S	1	PD19OCT17	2769.00	3277.50	USD	-12,712.50	
19JUL17	S	1	PD19OCT17	2771.00	3277.50	USD	-12,662.50	
19JUL17	S	2	PD19OCT17	2770.50	3277.50	USD	-25,350.00	
19JUL17	S	1	PD19OCT17	2772.50	3277.50	USD	-12,625.00	
19JUL17	B	2	PD19OCT17	2757.00	3277.50	USD	26,025.00	
19JUL17	B	1	PD19OCT17	2754.00	3277.50	USD	13,087.50	
19JUL17	B	1	PD19OCT17	2754.50	3277.50	USD	13,075.00	
19JUL17	B	1	PD19OCT17	2747.00	3277.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17	2746.00	3277.50	USD	13,287.50	
19JUL17	S	1	PD19OCT17	2771.00	3277.50	USD	-12,662.50	
19JUL17	B	1	PD19OCT17	2745.50	3277.50	USD	13,300.00	
19JUL17	S	1	PD19OCT17	2744.50	3277.50	USD	-13,325.00	
19JUL17	B	1	PD19OCT17	2737.50	3277.50	USD	13,500.00	
19JUL17	B	1	PD19OCT17	2739.00	3277.50	USD	13,462.50	
19JUL17	S	1	PD19OCT17	2735.00	3277.50	USD	-13,562.50	
19JUL17	B	1	PD19OCT17	2737.00	3277.50	USD	13,512.50	
05SEP17	S	7	PD19OCT17	3137.00	3277.50	USD	-24,587.50	
	NET	0 AVG BGHT 2771.08	SOLD 2838.15				73,775.00	0.00
20JUL17	B	3	PD20OCT17	2744.50	3273.00	USD	39,637.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	4	PD200CT17	2745.00	3273.00	USD	52,800.00	
20JUL17	S	3	PD200CT17	2743.50	3273.00	USD	-39,712.50	
20JUL17	S	1	PD200CT17	2736.50	3273.00	USD	-13,412.50	
20JUL17	S	1	PD200CT17	2738.50	3273.00	USD	-13,362.50	
20JUL17	B	1	PD200CT17	2737.00	3273.00	USD	13,400.00	
20JUL17	B	3	PD200CT17	2737.50	3273.00	USD	40,162.50	
20JUL17	B	1	PD200CT17	2736.50	3273.00	USD	13,412.50	
20JUL17	B	1	PD200CT17	2734.00	3273.00	USD	13,475.00	
20JUL17	B	1	PD200CT17	2732.00	3273.00	USD	13,525.00	
20JUL17	S	2	PD200CT17	2735.00	3273.00	USD	-26,900.00	
20JUL17	S	1	PD200CT17	2735.50	3273.00	USD	-13,437.50	
20JUL17	S	2	PD200CT17	2741.50	3273.00	USD	-26,575.00	
20JUL17	S	2	PD200CT17	2740.00	3273.00	USD	-26,650.00	
20JUL17	S	1	PD200CT17	2740.50	3273.00	USD	-13,312.50	
20JUL17	S	1	PD200CT17	2742.50	3273.00	USD	-13,262.50	
20JUL17	S	1	PD200CT17	2751.00	3273.00	USD	-13,050.00	
20JUL17	S	1	PD200CT17	2752.00	3273.00	USD	-13,025.00	
20JUL17	S	2	PD200CT17	2752.50	3273.00	USD	-26,025.00	
20JUL17	S	1	PD200CT17	2754.00	3273.00	USD	-12,975.00	
20JUL17	S	1	PD200CT17	2755.00	3273.00	USD	-12,950.00	
20JUL17	S	2	PD200CT17	2757.00	3273.00	USD	-25,800.00	
20JUL17	S	1	PD200CT17	2758.50	3273.00	USD	-12,862.50	
20JUL17	B	2	PD200CT17	2745.50	3273.00	USD	26,375.00	
20JUL17	B	1	PD200CT17	2747.00	3273.00	USD	13,150.00	
20JUL17	B	1	PD200CT17	2746.50	3273.00	USD	13,162.50	
20JUL17	B	2	PD200CT17	2743.50	3273.00	USD	26,475.00	
20JUL17	S	2	PD200CT17	2743.00	3273.00	USD	-26,500.00	
20JUL17	S	1	PD200CT17	2749.50	3273.00	USD	-13,087.50	
20JUL17	B	1	PD200CT17	2746.00	3273.00	USD	13,175.00	
20JUL17	B	1	PD200CT17	2739.00	3273.00	USD	13,350.00	
20JUL17	S	3	PD200CT17	2742.50	3273.00	USD	-39,787.50	
20JUL17	B	1	PD200CT17	2739.50	3273.00	USD	13,337.50	
20JUL17	B	1	PD200CT17	2740.00	3273.00	USD	13,325.00	
20JUL17	B	2	PD200CT17	2744.00	3273.00	USD	26,450.00	
20JUL17	S	1	PD200CT17	2747.00	3273.00	USD	-13,150.00	
20JUL17	B	1	PD200CT17	2742.00	3273.00	USD	13,275.00	
20JUL17	B	1	PD200CT17	2742.50	3273.00	USD	13,262.50	
20JUL17	S	1	PD200CT17	2739.00	3273.00	USD	-13,350.00	
20JUL17	B	5	PD200CT17	2738.00	3273.00	USD	66,875.00	
20JUL17	S	4	PD200CT17	2738.00	3273.00	USD	-53,500.00	
20JUL17	S	3	PD200CT17	2741.00	3273.00	USD	-39,900.00	
20JUL17	S	1	PD200CT17	2744.50	3273.00	USD	-13,212.50	
20JUL17	S	6	PD200CT17	2748.00	3273.00	USD	-78,750.00	
20JUL17	B	1	PD200CT17	2731.50	3273.00	USD	13,537.50	
20JUL17	S	1	PD200CT17	2725.00	3273.00	USD	-13,700.00	
20JUL17	B	1	PD200CT17	2720.00	3273.00	USD	13,825.00	
20JUL17	B	1	PD200CT17	2719.00	3273.00	USD	13,850.00	
20JUL17	B	1	PD200CT17	2726.50	3273.00	USD	13,662.50	
20JUL17	S	1	PD200CT17	2726.50	3273.00	USD	-13,662.50	
21JUL17	S	1	PD200CT17	2739.00	3273.00	USD	-13,350.00	
21JUL17	S	1	PD200CT17	2749.50	3273.00	USD	-13,087.50	
21JUL17	S	1	PD200CT17	2752.00	3273.00	USD	-13,025.00	
21JUL17	S	2	PD200CT17	2752.50	3273.00	USD	-26,025.00	
21JUL17	S	1	PD200CT17	2755.00	3273.00	USD	-12,950.00	
21JUL17	S	2	PD200CT17	2754.00	3273.00	USD	-25,950.00	
21JUL17	S	1	PD200CT17	2758.50	3273.00	USD	-12,862.50	
21JUL17	S	1	PD200CT17	2758.00	3273.00	USD	-12,875.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	2759.50	3273.00	USD	-12,837.50	
21JUL17	S	1	PD200CT17	2762.50	3273.00	USD	-12,762.50	
21JUL17	S	1	PD200CT17	2762.00	3273.00	USD	-12,775.00	
21JUL17	S	5	PD200CT17	2763.00	3273.00	USD	-63,750.00	
21JUL17	S	2	PD200CT17	2765.00	3273.00	USD	-25,400.00	
21JUL17	S	3	PD200CT17	2766.00	3273.00	USD	-38,025.00	
21JUL17	S	1	PD200CT17	2764.00	3273.00	USD	-12,725.00	
21JUL17	B	2	PD200CT17	2764.50	3273.00	USD	25,425.00	
21JUL17	B	4	PD200CT17	2765.00	3273.00	USD	50,800.00	
21JUL17	B	1	PD200CT17	2761.00	3273.00	USD	12,800.00	
21JUL17	B	2	PD200CT17	2760.50	3273.00	USD	25,625.00	
21JUL17	B	1	PD200CT17	2756.50	3273.00	USD	12,912.50	
21JUL17	B	2	PD200CT17	2757.00	3273.00	USD	25,800.00	
21JUL17	B	3	PD200CT17	2757.50	3273.00	USD	38,662.50	
21JUL17	B	1	PD200CT17	2755.50	3273.00	USD	12,937.50	
21JUL17	B	1	PD200CT17	2755.00	3273.00	USD	12,950.00	
21JUL17	S	1	PD200CT17	2765.00	3273.00	USD	-12,700.00	
21JUL17	B	2	PD200CT17	2767.00	3273.00	USD	25,300.00	
21JUL17	S	2	PD200CT17	2771.50	3273.00	USD	-25,075.00	
21JUL17	B	1	PD200CT17	2744.00	3273.00	USD	13,225.00	
21JUL17	B	2	PD200CT17	2744.50	3273.00	USD	26,425.00	
21JUL17	B	1	PD200CT17	2741.50	3273.00	USD	13,287.50	
24JUL17	B	3	PD200CT17	2787.80	3273.00	USD	36,390.00	
05SEP17	B	4	PD200CT17	3136.50	3273.00	USD	13,650.00	
05SEP17	B	7	PD200CT17	3136.70	3273.00	USD	23,852.50	
	NET	0	AVG BGHT 2806.22	SOLD 2749.71			-104,545.00	0.00
24JUL17	B	1	PD240CT17	2778.50	3267.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2782.50	3267.00	USD	12,112.50	
24JUL17	B	2	PD240CT17	2779.50	3267.00	USD	24,375.00	
24JUL17	B	1	PD240CT17	2778.00	3267.00	USD	12,225.00	
24JUL17	B	1	PD240CT17	2785.00	3267.00	USD	12,050.00	
24JUL17	B	1	PD240CT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	1	PD240CT17	2779.00	3267.00	USD	12,200.00	
24JUL17	B	1	PD240CT17	2782.00	3267.00	USD	12,125.00	
24JUL17	B	1	PD240CT17	2775.00	3267.00	USD	12,300.00	
24JUL17	B	2	PD240CT17	2773.50	3267.00	USD	24,675.00	
24JUL17	B	1	PD240CT17	2774.50	3267.00	USD	12,312.50	
24JUL17	B	2	PD240CT17	2775.50	3267.00	USD	24,575.00	
24JUL17	B	2	PD240CT17	2787.50	3267.00	USD	23,975.00	
24JUL17	S	1	PD240CT17	2797.50	3267.00	USD	-11,737.50	
24JUL17	S	1	PD240CT17	2795.00	3267.00	USD	-11,800.00	
24JUL17	S	1	PD240CT17	2795.50	3267.00	USD	-11,787.50	
24JUL17	B	1	PD240CT17	2786.50	3267.00	USD	12,012.50	
24JUL17	B	1	PD240CT17	2787.00	3267.00	USD	12,000.00	
24JUL17	S	1	PD240CT17	2789.00	3267.00	USD	-11,950.00	
24JUL17	S	1	PD240CT17	2788.00	3267.00	USD	-11,975.00	
24JUL17	B	2	PD240CT17	2784.50	3267.00	USD	24,125.00	
24JUL17	S	1	PD240CT17	2783.00	3267.00	USD	-12,100.00	
24JUL17	B	1	PD240CT17	2790.00	3267.00	USD	11,925.00	
24JUL17	B	2	PD240CT17	2780.00	3267.00	USD	24,350.00	
24JUL17	S	1	PD240CT17	2781.00	3267.00	USD	-12,150.00	
24JUL17	S	1	PD240CT17	2779.00	3267.00	USD	-12,200.00	
24JUL17	S	1	PD240CT17	2777.50	3267.00	USD	-12,237.50	
24JUL17	S	2	PD240CT17	2773.50	3267.00	USD	-24,675.00	
24JUL17	S	1	PD240CT17	2774.00	3267.00	USD	-12,325.00	
24JUL17	S	2	PD240CT17	2775.00	3267.00	USD	-24,600.00	
24JUL17	S	1	PD240CT17	2776.50	3267.00	USD	-12,262.50	

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24JUL17	S	3	PD240CT17	2777.00	3267.00	USD	-36,750.00	
24JUL17	S	2	PD240CT17	2778.00	3267.00	USD	-24,450.00	
24JUL17	S	3	PD240CT17	2778.50	3267.00	USD	-36,637.50	
24JUL17	S	1	PD240CT17	2780.50	3267.00	USD	-12,162.50	
24JUL17	S	2	PD240CT17	2781.00	3267.00	USD	-24,300.00	
24JUL17	S	3	PD240CT17	2787.50	3267.00	USD	-35,962.50	
05SEP17	B	5	PD240CT17	3136.86	3267.00	USD	16,267.50	
	NET	0 AVG BGHT 2842.10	SOLD 2781.40				-44,007.50	0.00
25JUL17	B	1	PD250CT17	2802.50	3264.00	USD	11,537.50	
25JUL17	B	1	PD250CT17	2804.00	3264.00	USD	11,500.00	
25JUL17	B	2	PD250CT17	2803.50	3264.00	USD	23,025.00	
25JUL17	B	1	PD250CT17	2800.50	3264.00	USD	11,587.50	
25JUL17	B	1	PD250CT17	2807.50	3264.00	USD	11,412.50	
25JUL17	S	2	PD250CT17	2812.50	3264.00	USD	-22,575.00	
25JUL17	S	2	PD250CT17	2812.00	3264.00	USD	-22,600.00	
25JUL17	S	1	PD250CT17	2813.00	3264.00	USD	-11,275.00	
25JUL17	S	1	PD250CT17	2820.00	3264.00	USD	-11,100.00	
25JUL17	S	2	PD250CT17	2821.50	3264.00	USD	-22,125.00	
25JUL17	B	2	PD250CT17	2816.00	3264.00	USD	22,400.00	
25JUL17	B	2	PD250CT17	2817.00	3264.00	USD	22,350.00	
25JUL17	S	1	PD250CT17	2824.50	3264.00	USD	-10,987.50	
25JUL17	S	3	PD250CT17	2822.50	3264.00	USD	-33,112.50	
25JUL17	B	2	PD250CT17	2815.50	3264.00	USD	22,425.00	
25JUL17	S	3	PD250CT17	2821.00	3264.00	USD	-33,225.00	
25JUL17	S	1	PD250CT17	2822.00	3264.00	USD	-11,050.00	
25JUL17	S	5	PD250CT17	2823.00	3264.00	USD	-55,125.00	
25JUL17	S	2	PD250CT17	2828.00	3264.00	USD	-21,800.00	
25JUL17	S	1	PD250CT17	2828.50	3264.00	USD	-10,887.50	
25JUL17	S	1	PD250CT17	2827.50	3264.00	USD	-10,912.50	
25JUL17	S	3	PD250CT17	2826.50	3264.00	USD	-32,812.50	
25JUL17	B	1	PD250CT17	2826.50	3264.00	USD	10,937.50	
25JUL17	B	2	PD250CT17	2828.00	3264.00	USD	21,800.00	
25JUL17	B	1	PD250CT17	2829.50	3264.00	USD	10,862.50	
25JUL17	S	1	PD250CT17	2806.50	3264.00	USD	-11,437.50	
25JUL17	B	3	PD250CT17	2828.50	3264.00	USD	32,662.50	
25JUL17	B	1	PD250CT17	2827.00	3264.00	USD	10,925.00	
25JUL17	B	3	PD250CT17	2826.00	3264.00	USD	32,850.00	
25JUL17	B	1	PD250CT17	2824.50	3264.00	USD	10,987.50	
25JUL17	S	1	PD250CT17	2843.50	3264.00	USD	-10,512.50	
25JUL17	S	1	PD250CT17	2846.00	3264.00	USD	-10,450.00	
25JUL17	B	1	PD250CT17	2855.50	3264.00	USD	10,212.50	
25JUL17	B	1	PD250CT17	2844.00	3264.00	USD	10,500.00	
25JUL17	B	1	PD250CT17	2843.00	3264.00	USD	10,525.00	
25JUL17	S	1	PD250CT17	2840.50	3264.00	USD	-10,587.50	
25JUL17	B	1	PD250CT17	2839.00	3264.00	USD	10,625.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	1	PD250CT17	2838.50	3264.00	USD	10,637.50	
25JUL17	B	2	PD250CT17	2837.00	3264.00	USD	21,350.00	
25JUL17	B	1	PD250CT17	2835.50	3264.00	USD	10,712.50	
25JUL17	B	1	PD250CT17	2841.00	3264.00	USD	10,575.00	
25JUL17	S	1	PD250CT17	2842.00	3264.00	USD	-10,550.00	
25JUL17	B	1	PD250CT17	2843.50	3264.00	USD	10,512.50	
25JUL17	B	1	PD250CT17	2841.50	3264.00	USD	10,562.50	
25JUL17	S	1	PD250CT17	2845.00	3264.00	USD	-10,475.00	
05SEP17	S	3	PD250CT17	3136.75	3264.00	USD	-9,543.75	
	NET	0 AVG BGHT 2826.41	SOLD 2849.79				21,631.25	0.00
26JUL17	B	2	PD260CT17	2847.50	3262.33	USD	20,741.50	

OPEN POSITION STATEMENT



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SAP083XA

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	2	PD260CT17	2846.00	3262.33	USD	20,816.50	
26JUL17	B	1	PD260CT17	2849.50	3262.33	USD	10,320.75	
26JUL17	B	3	PD260CT17	2849.00	3262.33	USD	30,999.75	
26JUL17	B	1	PD260CT17	2847.00	3262.33	USD	10,383.25	
26JUL17	B	3	PD260CT17	2845.50	3262.33	USD	31,262.25	
26JUL17	B	1	PD260CT17	2852.50	3262.33	USD	10,245.75	
26JUL17	B	1	PD260CT17	2850.50	3262.33	USD	10,295.75	
26JUL17	B	2	PD260CT17	2854.00	3262.33	USD	20,416.50	
26JUL17	S	1	PD260CT17	2838.00	3262.33	USD	-10,608.25	
26JUL17	S	2	PD260CT17	2837.50	3262.33	USD	-21,241.50	
26JUL17	S	1	PD260CT17	2836.50	3262.33	USD	-10,645.75	
26JUL17	S	1	PD260CT17	2836.00	3262.33	USD	-10,658.25	
26JUL17	S	1	PD260CT17	2828.00	3262.33	USD	-10,858.25	
26JUL17	S	1	PD260CT17	2830.00	3262.33	USD	-10,808.25	
26JUL17	S	1	PD260CT17	2820.00	3262.33	USD	-11,058.25	
26JUL17	S	1	PD260CT17	2821.50	3262.33	USD	-11,020.75	
26JUL17	S	1	PD260CT17	2824.50	3262.33	USD	-10,945.75	
26JUL17	S	2	PD260CT17	2826.00	3262.33	USD	-21,816.50	
26JUL17	B	1	PD260CT17	2820.50	3262.33	USD	11,045.75	
26JUL17	S	1	PD260CT17	2821.00	3262.33	USD	-11,033.25	
26JUL17	B	1	PD260CT17	2807.00	3262.33	USD	11,383.25	
26JUL17	B	1	PD260CT17	2846.50	3262.33	USD	10,395.75	
26JUL17	S	2	PD260CT17	2817.50	3262.33	USD	-22,241.50	
26JUL17	B	1	PD260CT17	2808.50	3262.33	USD	11,345.75	
26JUL17	S	2	PD260CT17	2807.50	3262.33	USD	-22,741.50	
26JUL17	S	1	PD260CT17	2801.00	3262.33	USD	-11,533.25	
05SEP17	S	2	PD260CT17	3136.84	3262.33	USD	-6,274.50	
	NET	0AVG BGHT 2843.03	SOLD 2855.36				6,167.00	0.00
27JUL17	B	1	PD270CT17	2811.50	3260.67	USD	11,229.25	
27JUL17	S	2	PD270CT17	2809.00	3260.67	USD	-22,583.50	
27JUL17	S	2	PD270CT17	2808.00	3260.67	USD	-22,633.50	
27JUL17	S	2	PD270CT17	2805.00	3260.67	USD	-22,783.50	
27JUL17	S	1	PD270CT17	2805.50	3260.67	USD	-11,379.25	
27JUL17	S	2	PD270CT17	2806.00	3260.67	USD	-22,733.50	
27JUL17	S	2	PD270CT17	2807.00	3260.67	USD	-22,683.50	
27JUL17	S	9	PD270CT17	2807.50	3260.67	USD	-101,963.25	
27JUL17	S	3	PD270CT17	2809.50	3260.67	USD	-33,837.75	
27JUL17	S	2	PD270CT17	2810.00	3260.67	USD	-22,533.50	
27JUL17	B	2	PD270CT17	2812.50	3260.67	USD	22,408.50	
27JUL17	B	1	PD270CT17	2813.50	3260.67	USD	11,179.25	
27JUL17	B	1	PD270CT17	2814.50	3260.67	USD	11,154.25	
27JUL17	S	2	PD270CT17	2817.50	3260.67	USD	-22,158.50	
27JUL17	S	1	PD270CT17	2818.50	3260.67	USD	-11,054.25	
27JUL17	S	2	PD270CT17	2819.50	3260.67	USD	-22,058.50	
27JUL17	S	1	PD270CT17	2821.50	3260.67	USD	-10,979.25	
27JUL17	B	2	PD270CT17	2811.00	3260.67	USD	22,483.50	
27JUL17	B	1	PD270CT17	2817.50	3260.67	USD	11,079.25	
27JUL17	B	1	PD270CT17	2817.00	3260.67	USD	11,091.75	
27JUL17	B	1	PD270CT17	2826.00	3260.67	USD	10,866.75	
27JUL17	B	1	PD270CT17	2829.50	3260.67	USD	10,779.25	
27JUL17	B	1	PD270CT17	2833.00	3260.67	USD	10,691.75	
27JUL17	S	1	PD270CT17	2832.50	3260.67	USD	-10,704.25	
27JUL17	B	1	PD270CT17	2822.00	3260.67	USD	10,966.75	
27JUL17	B	1	PD270CT17	2823.00	3260.67	USD	10,941.75	
27JUL17	B	1	PD270CT17	2820.50	3260.67	USD	11,004.25	
27JUL17	B	3	PD270CT17	2821.50	3260.67	USD	32,937.75	
27JUL17	B	3	PD270CT17	2821.00	3260.67	USD	32,975.25	

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27JUL17	B	2	PD27OCT17	2820.00	3260.67	USD	22,033.50	
27JUL17	B	4	PD27OCT17	2822.50	3260.67	USD	43,817.00	
27JUL17	B	2	PD27OCT17	2823.50	3260.67	USD	21,858.50	
27JUL17	S	1	PD27OCT17	2817.50	3260.67	USD	-11,079.25	
27JUL17	B	1	PD27OCT17	2822.50	3260.67	USD	10,954.25	
27JUL17	B	1	PD27OCT17	2830.00	3260.67	USD	10,766.75	
27JUL17	B	1	PD27OCT17	2821.00	3260.67	USD	10,991.75	
27JUL17	B	1	PD27OCT17	2813.00	3260.67	USD	11,191.75	
27JUL17	S	1	PD27OCT17	2822.50	3260.67	USD	-10,954.25	
27JUL17	S	1	PD27OCT17	2823.00	3260.67	USD	-10,941.75	
27JUL17	B	1	PD27OCT17	2812.00	3260.67	USD	11,216.75	
27JUL17	B	1	PD27OCT17	2802.50	3260.67	USD	11,454.25	
28JUL17	B	1	PD27OCT17	2783.50	3260.67	USD	11,929.25	
28JUL17	B	1	PD27OCT17	2783.00	3260.67	USD	11,941.75	
28JUL17	S	2	PD27OCT17	2787.00	3260.67	USD	-23,683.50	
28JUL17	S	1	PD27OCT17	2790.50	3260.67	USD	-11,754.25	
28JUL17	S	1	PD27OCT17	2791.50	3260.67	USD	-11,729.25	
28JUL17	S	2	PD27OCT17	2794.00	3260.67	USD	-23,333.50	
28JUL17	S	1	PD27OCT17	2791.00	3260.67	USD	-11,741.75	
28JUL17	S	1	PD27OCT17	2792.00	3260.67	USD	-11,716.75	
28JUL17	B	1	PD27OCT17	2777.50	3260.67	USD	12,079.25	
28JUL17	S	1	PD27OCT17	2782.50	3260.67	USD	-11,954.25	
28JUL17	B	1	PD27OCT17	2780.50	3260.67	USD	12,004.25	
28JUL17	B	2	PD27OCT17	2779.00	3260.67	USD	24,083.50	
28JUL17	B	1	PD27OCT17	2782.00	3260.67	USD	11,966.75	
28JUL17	B	2	PD27OCT17	2777.00	3260.67	USD	24,183.50	
28JUL17	B	2	PD27OCT17	2774.50	3260.67	USD	24,308.50	
28JUL17	B	1	PD27OCT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD27OCT17	2772.50	3260.67	USD	12,204.25	
28JUL17	B	1	PD27OCT17	2773.00	3260.67	USD	12,191.75	
28JUL17	B	1	PD27OCT17	2771.00	3260.67	USD	12,241.75	
28JUL17	B	2	PD27OCT17	2768.50	3260.67	USD	24,608.50	
28JUL17	B	1	PD27OCT17	2770.00	3260.67	USD	12,266.75	
28JUL17	B	1	PD27OCT17	2762.00	3260.67	USD	12,466.75	
28JUL17	B	1	PD27OCT17	2763.00	3260.67	USD	12,441.75	
28JUL17	B	1	PD27OCT17	2760.00	3260.67	USD	12,516.75	
28JUL17	B	2	PD27OCT17	2759.50	3260.67	USD	25,058.50	
28JUL17	S	1	PD27OCT17	2768.50	3260.67	USD	-12,304.25	
28JUL17	S	1	PD27OCT17	2769.00	3260.67	USD	-12,291.75	
28JUL17	S	1	PD27OCT17	2770.50	3260.67	USD	-12,254.25	
28JUL17	B	1	PD27OCT17	2767.50	3260.67	USD	12,329.25	
28JUL17	S	2	PD27OCT17	2769.50	3260.67	USD	-24,558.50	
28JUL17	S	1	PD27OCT17	2770.00	3260.67	USD	-12,266.75	
28JUL17	S	1	PD27OCT17	2787.50	3260.67	USD	-11,829.25	
28JUL17	S	1	PD27OCT17	2785.50	3260.67	USD	-11,879.25	
28JUL17	B	1	PD27OCT17	2769.00	3260.67	USD	12,291.75	
28JUL17	B	1	PD27OCT17	2760.50	3260.67	USD	12,504.25	
28JUL17	B	1	PD27OCT17	2768.50	3260.67	USD	12,304.25	
28JUL17	S	3	PD27OCT17	2771.50	3260.67	USD	-36,687.75	
28JUL17	S	1	PD27OCT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	S	2	PD27OCT17	2785.00	3260.67	USD	-23,783.50	
28JUL17	S	5	PD27OCT17	2786.00	3260.67	USD	-59,333.75	
28JUL17	S	1	PD27OCT17	2783.50	3260.67	USD	-11,929.25	
28JUL17	B	2	PD27OCT17	2779.50	3260.67	USD	24,058.50	
28JUL17	B	1	PD27OCT17	2778.50	3260.67	USD	12,054.25	
28JUL17	B	2	PD27OCT17	2774.00	3260.67	USD	24,333.50	
28JUL17	B	1	PD27OCT17	2775.00	3260.67	USD	12,141.75	

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28JUL17	S	1	PD27OCT17		2784.00	3260.67	USD	-11,916.75	
28JUL17	S	6	PD27OCT17		2787.50	3260.67	USD	-70,975.50	
28JUL17	S	3	PD27OCT17		2788.00	3260.67	USD	-35,450.25	
28JUL17	B	3	PD27OCT17		2780.00	3260.67	USD	36,050.25	
28JUL17	B	1	PD27OCT17		2782.50	3260.67	USD	11,954.25	
28JUL17	B	2	PD27OCT17		2784.00	3260.67	USD	23,833.50	
	NET	0	AVG BGHT 2795.48	SOLD 2796.73				2,312.50	0.00
31JUL17	B	1	PD31OCT17		2812.00	3257.33	USD	11,133.25	
31JUL17	S	1	PD31OCT17		2806.00	3257.33	USD	-11,283.25	
31JUL17	S	1	PD31OCT17		2809.50	3257.33	USD	-11,195.75	
31JUL17	S	2	PD31OCT17		2813.00	3257.33	USD	-22,216.50	
31JUL17	S	1	PD31OCT17		2808.50	3257.33	USD	-11,220.75	
31JUL17	S	1	PD31OCT17		2803.50	3257.33	USD	-11,345.75	
31JUL17	S	1	PD31OCT17		2811.00	3257.33	USD	-11,158.25	
31JUL17	B	1	PD31OCT17		2809.50	3257.33	USD	11,195.75	
31JUL17	S	1	PD31OCT17		2810.50	3257.33	USD	-11,170.75	
31JUL17	S	1	PD31OCT17		2804.50	3257.33	USD	-11,320.75	
31JUL17	S	1	PD31OCT17		2814.00	3257.33	USD	-11,083.25	
31JUL17	S	2	PD31OCT17		2814.50	3257.33	USD	-22,141.50	
31JUL17	S	1	PD31OCT17		2816.00	3257.33	USD	-11,033.25	
31JUL17	S	1	PD31OCT17		2818.00	3257.33	USD	-10,983.25	
31JUL17	S	1	PD31OCT17		2819.50	3257.33	USD	-10,945.75	
31JUL17	S	1	PD31OCT17		2823.50	3257.33	USD	-10,845.75	
31JUL17	S	1	PD31OCT17		2824.00	3257.33	USD	-10,833.25	
31JUL17	B	1	PD31OCT17		2825.50	3257.33	USD	10,795.75	
31JUL17	S	2	PD31OCT17		2826.00	3257.33	USD	-21,566.50	
31JUL17	S	1	PD31OCT17		2827.00	3257.33	USD	-10,758.25	
31JUL17	S	3	PD31OCT17		2827.50	3257.33	USD	-32,237.25	
31JUL17	S	3	PD31OCT17		2826.50	3257.33	USD	-32,312.25	
31JUL17	B	3	PD31OCT17		2806.50	3257.33	USD	33,812.25	
31JUL17	S	1	PD31OCT17		2797.50	3257.33	USD	-11,495.75	
31JUL17	S	1	PD31OCT17		2792.50	3257.33	USD	-11,620.75	
31JUL17	S	1	PD31OCT17		2797.00	3257.33	USD	-11,508.25	
31JUL17	S	1	PD31OCT17		2802.50	3257.33	USD	-11,370.75	
31JUL17	S	1	PD31OCT17		2810.00	3257.33	USD	-11,183.25	
31JUL17	S	1	PD31OCT17		2805.00	3257.33	USD	-11,308.25	
31JUL17	S	5	PD31OCT17		2803.50	3257.33	USD	-56,728.75	
31JUL17	S	1	PD31OCT17		2799.00	3257.33	USD	-11,458.25	
31JUL17	B	1	PD31OCT17		2804.50	3257.33	USD	11,320.75	
31JUL17	B	1	PD31OCT17		2802.00	3257.33	USD	11,383.25	
31JUL17	B	1	PD31OCT17		2803.00	3257.33	USD	11,358.25	
31JUL17	B	3	PD31OCT17		2804.00	3257.33	USD	33,999.75	
31JUL17	B	1	PD31OCT17		2807.50	3257.33	USD	11,245.75	
31JUL17	B	3	PD31OCT17		2808.50	3257.33	USD	33,662.25	
31JUL17	B	2	PD31OCT17		2806.00	3257.33	USD	22,566.50	
31JUL17	B	1	PD31OCT17		2805.50	3257.33	USD	11,295.75	
31JUL17	B	2	PD31OCT17		2805.00	3257.33	USD	22,616.50	
31JUL17	B	1	PD31OCT17		2796.50	3257.33	USD	11,520.75	
31JUL17	B	3	PD31OCT17		2798.50	3257.33	USD	34,412.25	
31JUL17	B	2	PD31OCT17		2795.20	3257.33	USD	23,106.50	
05SEP17	B	11	PD31OCT17		3137.49	3257.33	USD	32,956.00	
	NET	0	AVG BGHT 2901.14	SOLD 2812.78				-83,944.75	0.00
01AUG17	B	1	PD01NOV17		2786.00	3255.67	USD	11,741.75	
01AUG17	S	1	PD01NOV17		2786.00	3255.67	USD	-11,741.75	
01AUG17	S	1	PD01NOV17		2786.50	3255.67	USD	-11,729.25	
01AUG17	B	1	PD01NOV17		2774.00	3255.67	USD	12,041.75	
01AUG17	B	2	PD01NOV17		2774.50	3255.67	USD	24,058.50	

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01AUG17	B	1	PD01NOV17	2770.00	3255.67	USD	12,141.75	
01AUG17	B	1	PD01NOV17	2766.00	3255.67	USD	12,241.75	
01AUG17	B	1	PD01NOV17	2765.50	3255.67	USD	12,254.25	
01AUG17	B	2	PD01NOV17	2772.00	3255.67	USD	24,183.50	
01AUG17	S	1	PD01NOV17	2777.00	3255.67	USD	-11,966.75	
01AUG17	S	1	PD01NOV17	2779.50	3255.67	USD	-11,904.25	
01AUG17	S	2	PD01NOV17	2780.50	3255.67	USD	-23,758.50	
01AUG17	S	1	PD01NOV17	2777.50	3255.67	USD	-11,954.25	
01AUG17	S	3	PD01NOV17	2781.00	3255.67	USD	-35,600.25	
01AUG17	S	1	PD01NOV17	2783.00	3255.67	USD	-11,816.75	
01AUG17	S	3	PD01NOV17	2784.00	3255.67	USD	-35,375.25	
01AUG17	S	3	PD01NOV17	2781.50	3255.67	USD	-35,562.75	
01AUG17	B	2	PD01NOV17	2773.00	3255.67	USD	24,133.50	
01AUG17	S	2	PD01NOV17	2778.00	3255.67	USD	-23,883.50	
01AUG17	S	1	PD01NOV17	2779.00	3255.67	USD	-11,916.75	
01AUG17	S	2	PD01NOV17	2778.50	3255.67	USD	-23,858.50	
01AUG17	S	4	PD01NOV17	2783.50	3255.67	USD	-47,217.00	
01AUG17	S	1	PD01NOV17	2782.00	3255.67	USD	-11,841.75	
01AUG17	S	3	PD01NOV17	2784.50	3255.67	USD	-35,337.75	
01AUG17	B	1	PD01NOV17	2784.00	3255.67	USD	11,791.75	
01AUG17	B	1	PD01NOV17	2789.00	3255.67	USD	11,666.75	
01AUG17	B	1	PD01NOV17	2775.00	3255.67	USD	12,016.75	
01AUG17	B	2	PD01NOV17	2773.50	3255.67	USD	24,108.50	
01AUG17	B	2	PD01NOV17	2772.50	3255.67	USD	24,158.50	
01AUG17	B	3	PD01NOV17	2770.50	3255.67	USD	36,387.75	
01AUG17	S	1	PD01NOV17	2772.00	3255.67	USD	-12,091.75	
01AUG17	B	1	PD01NOV17	2779.00	3255.67	USD	11,916.75	
01AUG17	B	2	PD01NOV17	2778.00	3255.67	USD	23,883.50	
01AUG17	S	1	PD01NOV17	2787.00	3255.67	USD	-11,716.75	
01AUG17	S	1	PD01NOV17	2785.00	3255.67	USD	-11,766.75	
01AUG17	B	5	PD01NOV17	2781.50	3255.67	USD	59,271.25	
01AUG17	B	5	PD01NOV17	2782.00	3255.67	USD	59,208.75	
01AUG17	B	1	PD01NOV17	2781.50	3255.67	USD	11,854.25	
05SEP17	S	2	PD01NOV17	3137.38	3255.67	USD	-5,914.50	
	NET	0 AVG BGHT 2776.74	SOLD 2802.01				22,106.50	0.00
02AUG17	S	1	PD02NOV17	2764.00	3254.00	USD	-12,250.00	
02AUG17	S	1	PD02NOV17	2763.00	3254.00	USD	-12,275.00	
02AUG17	S	5	PD02NOV17	2763.50	3254.00	USD	-61,312.50	
02AUG17	S	1	PD02NOV17	2775.50	3254.00	USD	-11,962.50	
02AUG17	B	2	PD02NOV17	2772.50	3254.00	USD	24,075.00	
02AUG17	B	1	PD02NOV17	2770.00	3254.00	USD	12,100.00	
02AUG17	S	1	PD02NOV17	2769.00	3254.00	USD	-12,125.00	
02AUG17	B	2	PD02NOV17	2770.50	3254.00	USD	24,175.00	
02AUG17	B	3	PD02NOV17	2771.50	3254.00	USD	36,187.50	
02AUG17	B	3	PD02NOV17	2774.00	3254.00	USD	36,000.00	
02AUG17	B	1	PD02NOV17	2775.00	3254.00	USD	11,975.00	
02AUG17	B	2	PD02NOV17	2773.00	3254.00	USD	24,050.00	
02AUG17	B	1	PD02NOV17	2772.00	3254.00	USD	12,050.00	
02AUG17	B	1	PD02NOV17	2769.00	3254.00	USD	12,125.00	
02AUG17	B	1	PD02NOV17	2769.50	3254.00	USD	12,112.50	
02AUG17	B	2	PD02NOV17	2768.00	3254.00	USD	24,300.00	
02AUG17	B	1	PD02NOV17	2766.50	3254.00	USD	12,187.50	
02AUG17	B	2	PD02NOV17	2766.00	3254.00	USD	24,400.00	
02AUG17	B	2	PD02NOV17	2762.50	3254.00	USD	24,575.00	
02AUG17	B	3	PD02NOV17	2763.00	3254.00	USD	36,825.00	
02AUG17	B	5	PD02NOV17	2762.00	3254.00	USD	61,500.00	
02AUG17	B	2	PD02NOV17	2765.00	3254.00	USD	24,450.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	1	PD02NOV17	2764.50	3254.00	USD	12,237.50	
02AUG17	B	1	PD02NOV17	2768.50	3254.00	USD	12,137.50	
02AUG17	B	5	PD02NOV17	2767.00	3254.00	USD	60,875.00	
02AUG17	S	1	PD02NOV17	2765.50	3254.00	USD	-12,212.50	
02AUG17	S	2	PD02NOV17	2767.50	3254.00	USD	-24,325.00	
02AUG17	S	1	PD02NOV17	2768.00	3254.00	USD	-12,150.00	
02AUG17	S	1	PD02NOV17	2767.00	3254.00	USD	-12,175.00	
02AUG17	S	2	PD02NOV17	2765.00	3254.00	USD	-24,450.00	
02AUG17	S	1	PD02NOV17	2768.50	3254.00	USD	-12,137.50	
02AUG17	S	1	PD02NOV17	2770.00	3254.00	USD	-12,100.00	
02AUG17	S	1	PD02NOV17	2773.50	3254.00	USD	-12,012.50	
02AUG17	S	1	PD02NOV17	2774.50	3254.00	USD	-11,987.50	
02AUG17	S	1	PD02NOV17	2775.00	3254.00	USD	-11,975.00	
02AUG17	S	2	PD02NOV17	2779.50	3254.00	USD	-23,725.00	
02AUG17	S	1	PD02NOV17	2780.50	3254.00	USD	-11,837.50	
02AUG17	S	1	PD02NOV17	2782.00	3254.00	USD	-11,800.00	
02AUG17	S	1	PD02NOV17	2783.00	3254.00	USD	-11,775.00	
02AUG17	S	4	PD02NOV17	2783.50	3254.00	USD	-47,050.00	
02AUG17	S	1	PD02NOV17	2781.50	3254.00	USD	-11,812.50	
02AUG17	S	1	PD02NOV17	2781.00	3254.00	USD	-11,825.00	
02AUG17	S	1	PD02NOV17	2790.00	3254.00	USD	-11,600.00	
02AUG17	S	1	PD02NOV17	2792.00	3254.00	USD	-11,550.00	
02AUG17	S	1	PD02NOV17	2784.50	3254.00	USD	-11,737.50	
02AUG17	B	1	PD02NOV17	2784.00	3254.00	USD	11,750.00	
02AUG17	S	1	PD02NOV17	2780.00	3254.00	USD	-11,850.00	
02AUG17	B	1	PD02NOV17	2781.00	3254.00	USD	11,825.00	
02AUG17	B	1	PD02NOV17	2799.50	3254.00	USD	11,362.50	
02AUG17	S	1	PD02NOV17	2800.50	3254.00	USD	-11,337.50	
02AUG17	B	2	PD02NOV17	2802.50	3254.00	USD	22,575.00	
05SEP17	S	9	PD02NOV17	3137.67	3254.00	USD	-26,174.25	
03OCT17	S	10	PD02NOV17	3273.50	3254.00	USD	4,875.00	
06OCT17	B	2	PD02NOV17	3249.50	3254.00	USD	225.00	
09OCT17	B	1	PD02NOV17	3261.50	3254.00	USD	-187.50	
09OCT17	B	7	PD02NOV17	3256.00	3254.00	USD	-350.00	
	NET	0 AVG BGHT 2857.19	SOLD 2922.11				90,888.25	0.00
03AUG17	S	1	PD03NOV17	2830.00	3252.89	USD	-10,572.25	
03AUG17	S	1	PD03NOV17	2828.50	3252.89	USD	-10,609.75	
03AUG17	B	1	PD03NOV17	2816.50	3252.89	USD	10,909.75	
03AUG17	S	1	PD03NOV17	2822.00	3252.89	USD	-10,772.25	
03AUG17	S	2	PD03NOV17	2824.50	3252.89	USD	-21,419.50	
03AUG17	S	1	PD03NOV17	2825.50	3252.89	USD	-10,684.75	
03AUG17	B	1	PD03NOV17	2821.50	3252.89	USD	10,784.75	
03AUG17	B	2	PD03NOV17	2820.50	3252.89	USD	21,619.50	
03AUG17	B	1	PD03NOV17	2812.50	3252.89	USD	11,009.75	
03AUG17	B	1	PD03NOV17	2810.00	3252.89	USD	11,072.25	
03AUG17	B	1	PD03NOV17	2809.50	3252.89	USD	11,084.75	
03AUG17	S	2	PD03NOV17	2814.00	3252.89	USD	-21,944.50	
03AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
03AUG17	S	1	PD03NOV17	2818.50	3252.89	USD	-10,859.75	
03AUG17	S	2	PD03NOV17	2819.00	3252.89	USD	-21,694.50	
03AUG17	S	1	PD03NOV17	2820.00	3252.89	USD	-10,822.25	
03AUG17	S	2	PD03NOV17	2821.00	3252.89	USD	-21,594.50	
03AUG17	S	1	PD03NOV17	2821.50	3252.89	USD	-10,784.75	
03AUG17	B	2	PD03NOV17	2813.50	3252.89	USD	21,969.50	
03AUG17	B	2	PD03NOV17	2815.00	3252.89	USD	21,894.50	
03AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
03AUG17	B	1	PD03NOV17	2817.00	3252.89	USD	10,897.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	2	PD03NOV17	2816.00	3252.89	USD	21,844.50	
03AUG17	B	5	PD03NOV17	2814.00	3252.89	USD	54,861.25	
03AUG17	B	1	PD03NOV17	2797.00	3252.89	USD	11,397.25	
03AUG17	S	2	PD03NOV17	2806.00	3252.89	USD	-22,344.50	
03AUG17	B	1	PD03NOV17	2797.50	3252.89	USD	11,384.75	
03AUG17	S	1	PD03NOV17	2797.00	3252.89	USD	-11,397.25	
03AUG17	B	1	PD03NOV17	2793.00	3252.89	USD	11,497.25	
03AUG17	S	1	PD03NOV17	2794.50	3252.89	USD	-11,459.75	
03AUG17	S	1	PD03NOV17	2795.50	3252.89	USD	-11,434.75	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
03AUG17	B	2	PD03NOV17	2793.50	3252.89	USD	22,969.50	
03AUG17	S	1	PD03NOV17	2793.50	3252.89	USD	-11,484.75	
03AUG17	S	1	PD03NOV17	2793.00	3252.89	USD	-11,497.25	
03AUG17	S	1	PD03NOV17	2792.00	3252.89	USD	-11,522.25	
04AUG17	S	1	PD03NOV17	2806.00	3252.89	USD	-11,172.25	
04AUG17	S	1	PD03NOV17	2819.00	3252.89	USD	-10,847.25	
04AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
04AUG17	S	2	PD03NOV17	2822.00	3252.89	USD	-21,544.50	
04AUG17	S	1	PD03NOV17	2828.50	3252.89	USD	-10,609.75	
04AUG17	S	1	PD03NOV17	2829.00	3252.89	USD	-10,597.25	
04AUG17	S	1	PD03NOV17	2832.50	3252.89	USD	-10,509.75	
04AUG17	S	1	PD03NOV17	2833.50	3252.89	USD	-10,484.75	
04AUG17	S	1	PD03NOV17	2833.00	3252.89	USD	-10,497.25	
04AUG17	S	1	PD03NOV17	2834.50	3252.89	USD	-10,459.75	
04AUG17	S	1	PD03NOV17	2831.50	3252.89	USD	-10,534.75	
04AUG17	S	1	PD03NOV17	2834.00	3252.89	USD	-10,472.25	
04AUG17	B	1	PD03NOV17	2827.00	3252.89	USD	10,647.25	
04AUG17	B	1	PD03NOV17	2825.00	3252.89	USD	10,697.25	
04AUG17	B	1	PD03NOV17	2824.00	3252.89	USD	10,722.25	
04AUG17	B	1	PD03NOV17	2823.00	3252.89	USD	10,747.25	
04AUG17	B	1	PD03NOV17	2822.50	3252.89	USD	10,759.75	
04AUG17	B	3	PD03NOV17	2822.00	3252.89	USD	32,316.75	
04AUG17	S	3	PD03NOV17	2827.50	3252.89	USD	-31,904.25	
04AUG17	S	1	PD03NOV17	2827.00	3252.89	USD	-10,647.25	
04AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
04AUG17	S	1	PD03NOV17	2830.00	3252.89	USD	-10,572.25	
04AUG17	S	1	PD03NOV17	2826.50	3252.89	USD	-10,659.75	
04AUG17	B	4	PD03NOV17	2821.50	3252.89	USD	43,139.00	
04AUG17	B	1	PD03NOV17	2819.00	3252.89	USD	10,847.25	
04AUG17	B	2	PD03NOV17	2817.50	3252.89	USD	21,769.50	
04AUG17	B	2	PD03NOV17	2818.50	3252.89	USD	21,719.50	
04AUG17	B	1	PD03NOV17	2820.00	3252.89	USD	10,822.25	
04AUG17	S	1	PD03NOV17	2820.00	3252.89	USD	-10,822.25	
04AUG17	B	1	PD03NOV17	2824.00	3252.89	USD	10,722.25	
04AUG17	B	1	PD03NOV17	2813.00	3252.89	USD	10,997.25	
04AUG17	B	1	PD03NOV17	2811.50	3252.89	USD	11,034.75	
04AUG17	B	1	PD03NOV17	2812.00	3252.89	USD	11,022.25	
04AUG17	B	3	PD03NOV17	2812.50	3252.89	USD	33,029.25	
04AUG17	B	2	PD03NOV17	2818.00	3252.89	USD	21,744.50	
04AUG17	S	1	PD03NOV17	2811.50	3252.89	USD	-11,034.75	
04AUG17	B	1	PD03NOV17	2804.00	3252.89	USD	11,222.25	
04AUG17	S	1	PD03NOV17	2812.00	3252.89	USD	-11,022.25	
04AUG17	S	1	PD03NOV17	2813.50	3252.89	USD	-10,984.75	
04AUG17	S	2	PD03NOV17	2814.50	3252.89	USD	-21,919.50	
04AUG17	S	2	PD03NOV17	2814.00	3252.89	USD	-21,944.50	
04AUG17	S	1	PD03NOV17	2813.00	3252.89	USD	-10,997.25	
04AUG17	B	1	PD03NOV17	2810.00	3252.89	USD	11,072.25	

OPEN POSITION STATEMENT



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SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04AUG17	S	1	PD03NOV17		2810.00	3252.89	USD	-11,072.25	
04AUG17	B	1	PD03NOV17		2813.50	3252.89	USD	10,984.75	
	NET	0AVG	BGHT 2814.57	SOLD 2818.71				5,687.50	0.00
07AUG17	B	1	PD07NOV17		2806.50	3250.67	USD	11,104.25	
07AUG17	B	1	PD07NOV17		2805.50	3250.67	USD	11,129.25	
07AUG17	S	1	PD07NOV17		2816.00	3250.67	USD	-10,866.75	
07AUG17	S	1	PD07NOV17		2822.00	3250.67	USD	-10,716.75	
07AUG17	S	1	PD07NOV17		2819.50	3250.67	USD	-10,779.25	
07AUG17	S	1	PD07NOV17		2821.50	3250.67	USD	-10,729.25	
07AUG17	S	1	PD07NOV17		2820.00	3250.67	USD	-10,766.75	
07AUG17	S	1	PD07NOV17		2824.50	3250.67	USD	-10,654.25	
07AUG17	S	1	PD07NOV17		2827.50	3250.67	USD	-10,579.25	
07AUG17	S	1	PD07NOV17		2825.50	3250.67	USD	-10,629.25	
07AUG17	S	1	PD07NOV17		2827.00	3250.67	USD	-10,591.75	
07AUG17	B	1	PD07NOV17		2819.00	3250.67	USD	10,791.75	
07AUG17	S	1	PD07NOV17		2823.50	3250.67	USD	-10,679.25	
07AUG17	S	2	PD07NOV17		2826.00	3250.67	USD	-21,233.50	
07AUG17	S	2	PD07NOV17		2830.50	3250.67	USD	-21,008.50	
07AUG17	S	1	PD07NOV17		2822.00	3250.67	USD	-10,716.75	
07AUG17	S	1	PD07NOV17		2834.50	3250.67	USD	-10,404.25	
07AUG17	B	1	PD07NOV17		2829.50	3250.67	USD	10,529.25	
07AUG17	B	1	PD07NOV17		2835.00	3250.67	USD	10,391.75	
07AUG17	B	1	PD07NOV17		2837.00	3250.67	USD	10,341.75	
07AUG17	B	1	PD07NOV17		2833.50	3250.67	USD	10,429.25	
07AUG17	B	1	PD07NOV17		2836.00	3250.67	USD	10,366.75	
07AUG17	S	1	PD07NOV17		2839.50	3250.67	USD	-10,279.25	
07AUG17	S	1	PD07NOV17		2864.00	3250.67	USD	-9,666.75	
07AUG17	S	1	PD07NOV17		2865.00	3250.67	USD	-9,641.75	
07AUG17	S	1	PD07NOV17		2872.50	3250.67	USD	-9,454.25	
07AUG17	S	1	PD07NOV17		2874.50	3250.67	USD	-9,404.25	
07AUG17	S	1	PD07NOV17		2875.00	3250.67	USD	-9,391.75	
07AUG17	S	1	PD07NOV17		2876.00	3250.67	USD	-9,366.75	
07AUG17	S	1	PD07NOV17		2873.00	3250.67	USD	-9,441.75	
07AUG17	S	1	PD07NOV17		2876.50	3250.67	USD	-9,354.25	
07AUG17	B	2	PD07NOV17		2878.50	3250.67	USD	18,608.50	
07AUG17	S	1	PD07NOV17		2880.50	3250.67	USD	-9,254.25	
07AUG17	S	1	PD07NOV17		2878.50	3250.67	USD	-9,304.25	
07AUG17	S	1	PD07NOV17		2884.00	3250.67	USD	-9,166.75	
07AUG17	S	1	PD07NOV17		2885.00	3250.67	USD	-9,141.75	
07AUG17	S	1	PD07NOV17		2887.50	3250.67	USD	-9,079.25	
07AUG17	S	1	PD07NOV17		2886.50	3250.67	USD	-9,104.25	
07AUG17	B	2	PD07NOV17		2887.50	3250.67	USD	18,158.50	
07AUG17	B	1	PD07NOV17		2887.00	3250.67	USD	9,091.75	
07AUG17	B	1	PD07NOV17		2886.50	3250.67	USD	9,104.25	
07AUG17	B	1	PD07NOV17		2881.50	3250.67	USD	9,229.25	
07AUG17	B	1	PD07NOV17		2879.00	3250.67	USD	9,291.75	
07AUG17	B	1	PD07NOV17		2880.00	3250.67	USD	9,266.75	
07AUG17	B	1	PD07NOV17		2867.50	3250.67	USD	9,579.25	
07AUG17	B	1	PD07NOV17		2871.50	3250.67	USD	9,479.25	
07AUG17	B	1	PD07NOV17		2869.00	3250.67	USD	9,541.75	
07AUG17	B	1	PD07NOV17		2873.50	3250.67	USD	9,429.25	
05SEP17	B	1	PD07NOV17		3138.12	3250.67	USD	2,813.75	
05SEP17	B	9	PD07NOV17		3138.32	3250.67	USD	25,278.75	
	NET	0AVG	BGHT 2948.79	SOLD 2848.85				-77,450.00	0.00
08AUG17	S	1	PD08NOV17		2866.00	3249.56	USD	-9,589.00	
08AUG17	B	1	PD08NOV17		2872.00	3249.56	USD	9,439.00	
08AUG17	B	1	PD08NOV17		2873.50	3249.56	USD	9,401.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	2874.00	3249.56	USD	9,389.00	
08AUG17	B	2	PD08NOV17	2874.50	3249.56	USD	18,753.00	
08AUG17	B	2	PD08NOV17	2873.00	3249.56	USD	18,828.00	
08AUG17	S	1	PD08NOV17	2879.50	3249.56	USD	-9,251.50	
08AUG17	S	2	PD08NOV17	2878.00	3249.56	USD	-18,578.00	
08AUG17	S	1	PD08NOV17	2877.50	3249.56	USD	-9,301.50	
08AUG17	S	1	PD08NOV17	2874.00	3249.56	USD	-9,389.00	
08AUG17	S	1	PD08NOV17	2874.50	3249.56	USD	-9,376.50	
08AUG17	S	1	PD08NOV17	2871.00	3249.56	USD	-9,464.00	
08AUG17	S	1	PD08NOV17	2876.00	3249.56	USD	-9,339.00	
08AUG17	S	1	PD08NOV17	2876.50	3249.56	USD	-9,326.50	
08AUG17	S	2	PD08NOV17	2881.00	3249.56	USD	-18,428.00	
08AUG17	S	1	PD08NOV17	2881.50	3249.56	USD	-9,201.50	
08AUG17	S	1	PD08NOV17	2887.50	3249.56	USD	-9,051.50	
08AUG17	S	3	PD08NOV17	2886.50	3249.56	USD	-27,229.50	
08AUG17	B	2	PD08NOV17	2879.00	3249.56	USD	18,528.00	
08AUG17	B	1	PD08NOV17	2877.50	3249.56	USD	9,301.50	
08AUG17	S	1	PD08NOV17	2883.00	3249.56	USD	-9,164.00	
08AUG17	S	2	PD08NOV17	2882.50	3249.56	USD	-18,353.00	
08AUG17	S	1	PD08NOV17	2884.00	3249.56	USD	-9,139.00	
08AUG17	S	3	PD08NOV17	2884.50	3249.56	USD	-27,379.50	
08AUG17	B	3	PD08NOV17	2867.50	3249.56	USD	28,654.50	
08AUG17	S	1	PD08NOV17	2870.50	3249.56	USD	-9,476.50	
08AUG17	S	1	PD08NOV17	2870.00	3249.56	USD	-9,489.00	
08AUG17	B	1	PD08NOV17	2869.00	3249.56	USD	9,514.00	
08AUG17	S	2	PD08NOV17	2877.00	3249.56	USD	-18,628.00	
08AUG17	S	1	PD08NOV17	2879.00	3249.56	USD	-9,264.00	
08AUG17	S	2	PD08NOV17	2880.00	3249.56	USD	-18,478.00	
08AUG17	B	3	PD08NOV17	2878.50	3249.56	USD	27,829.50	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	S	1	PD08NOV17	2883.50	3249.56	USD	-9,151.50	
08AUG17	B	1	PD08NOV17	2882.00	3249.56	USD	9,189.00	
08AUG17	S	2	PD08NOV17	2896.00	3249.56	USD	-17,678.00	
08AUG17	S	1	PD08NOV17	2904.00	3249.56	USD	-8,639.00	
08AUG17	S	1	PD08NOV17	2903.00	3249.56	USD	-8,664.00	
08AUG17	B	1	PD08NOV17	2898.00	3249.56	USD	8,789.00	
08AUG17	S	1	PD08NOV17	2908.00	3249.56	USD	-8,539.00	
08AUG17	S	1	PD08NOV17	2914.50	3249.56	USD	-8,376.50	
08AUG17	S	1	PD08NOV17	2911.00	3249.56	USD	-8,464.00	
08AUG17	S	1	PD08NOV17	2914.00	3249.56	USD	-8,389.00	
08AUG17	S	2	PD08NOV17	2913.50	3249.56	USD	-16,803.00	
08AUG17	S	1	PD08NOV17	2911.50	3249.56	USD	-8,451.50	
08AUG17	S	1	PD08NOV17	2913.00	3249.56	USD	-8,414.00	
08AUG17	S	1	PD08NOV17	2915.50	3249.56	USD	-8,351.50	
08AUG17	S	1	PD08NOV17	2918.00	3249.56	USD	-8,289.00	
08AUG17	B	1	PD08NOV17	2919.50	3249.56	USD	8,251.50	
08AUG17	S	1	PD08NOV17	2925.50	3249.56	USD	-8,101.50	
08AUG17	S	1	PD08NOV17	2926.00	3249.56	USD	-8,089.00	
08AUG17	B	1	PD08NOV17	2931.00	3249.56	USD	7,964.00	
08AUG17	B	1	PD08NOV17	2929.50	3249.56	USD	8,001.50	
08AUG17	S	1	PD08NOV17	2879.00	3249.56	USD	-9,264.00	
08AUG17	B	1	PD08NOV17	2928.00	3249.56	USD	8,039.00	
08AUG17	B	1	PD08NOV17	2929.00	3249.56	USD	8,014.00	
08AUG17	B	1	PD08NOV17	2932.00	3249.56	USD	7,939.00	
08AUG17	B	2	PD08NOV17	2939.00	3249.56	USD	15,528.00	
08AUG17	B	1	PD08NOV17	2935.50	3249.56	USD	7,851.50	
08AUG17	B	3	PD08NOV17	2935.00	3249.56	USD	23,592.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	3	PD08NOV17	2937.00	3249.56	USD	23,442.00	
08AUG17	B	1	PD08NOV17	2936.00	3249.56	USD	7,839.00	
08AUG17	B	1	PD08NOV17	2927.50	3249.56	USD	8,051.50	
08AUG17	B	2	PD08NOV17	2937.00	3249.56	USD	15,628.00	
05SEP17	B	12	PD08NOV17	3138.21	3249.56	USD	33,405.00	
	NET	0 AVG BGHT 2960.63	SOLD 2889.76				-88,588.00	0.00
09AUG17	S	1	PD09NOV17	2934.50	3248.44	USD	-7,848.50	
09AUG17	B	2	PD09NOV17	2943.00	3248.44	USD	15,272.00	
09AUG17	B	2	PD09NOV17	2942.00	3248.44	USD	15,322.00	
09AUG17	S	1	PD09NOV17	2945.50	3248.44	USD	-7,573.50	
09AUG17	S	1	PD09NOV17	2951.50	3248.44	USD	-7,423.50	
09AUG17	S	2	PD09NOV17	2946.00	3248.44	USD	-15,122.00	
09AUG17	S	1	PD09NOV17	2966.50	3248.44	USD	-7,048.50	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	B	1	PD09NOV17	2980.00	3248.44	USD	6,711.00	
09AUG17	B	1	PD09NOV17	2979.00	3248.44	USD	6,736.00	
09AUG17	S	3	PD09NOV17	2979.00	3248.44	USD	-20,208.00	
09AUG17	B	2	PD09NOV17	2966.00	3248.44	USD	14,122.00	
09AUG17	B	1	PD09NOV17	2965.00	3248.44	USD	7,086.00	
09AUG17	B	2	PD09NOV17	2964.00	3248.44	USD	14,222.00	
09AUG17	B	4	PD09NOV17	2962.00	3248.44	USD	28,644.00	
09AUG17	S	1	PD09NOV17	2958.50	3248.44	USD	-7,248.50	
09AUG17	S	1	PD09NOV17	2959.50	3248.44	USD	-7,223.50	
09AUG17	B	1	PD09NOV17	2952.50	3248.44	USD	7,398.50	
09AUG17	S	1	PD09NOV17	2964.00	3248.44	USD	-7,111.00	
09AUG17	B	1	PD09NOV17	2975.00	3248.44	USD	6,836.00	
09AUG17	S	2	PD09NOV17	2972.50	3248.44	USD	-13,797.00	
09AUG17	S	1	PD09NOV17	2973.50	3248.44	USD	-6,873.50	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	1	PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	S	1	PD09NOV17	2976.00	3248.44	USD	-6,811.00	
09AUG17	B	1	PD09NOV17	2956.00	3248.44	USD	7,311.00	
09AUG17	B	2	PD09NOV17	2958.50	3248.44	USD	14,497.00	
09AUG17	S	1	PD09NOV17	2945.00	3248.44	USD	-7,586.00	
09AUG17	B	1	PD09NOV17	2938.00	3248.44	USD	7,761.00	
09AUG17	B	1	PD09NOV17	2950.50	3248.44	USD	7,448.50	
09AUG17	B	2	PD09NOV17	2941.50	3248.44	USD	15,347.00	
09AUG17	B	2	PD09NOV17	2941.00	3248.44	USD	15,372.00	
09AUG17	B	2	PD09NOV17	2932.00	3248.44	USD	15,822.00	
09AUG17	B	2	PD09NOV17	2935.00	3248.44	USD	15,672.00	
09AUG17	B	3	PD09NOV17	2935.50	3248.44	USD	23,470.50	
09AUG17	B	1	PD09NOV17	2933.50	3248.44	USD	7,873.50	
09AUG17	B	4	PD09NOV17	2934.50	3248.44	USD	31,394.00	
09AUG17	B	3	PD09NOV17	2936.00	3248.44	USD	23,433.00	
09AUG17	B	1	PD09NOV17	2956.50	3248.44	USD	7,298.50	
09AUG17	B	1	PD09NOV17	2938.00	3248.44	USD	7,761.00	
05SEP17	S	23	PD09NOV17	3138.10	3248.44	USD	-63,445.50	
	NET	0 AVG BGHT 2948.15	SOLD 3056.77				116,770.00	0.00
10AUG17	B	1	PD10NOV17	2947.50	3247.33	USD	7,495.75	
10AUG17	S	1	PD10NOV17	2935.00	3247.33	USD	-7,808.25	
10AUG17	S	1	PD10NOV17	2937.00	3247.33	USD	-7,758.25	
10AUG17	S	1	PD10NOV17	2939.50	3247.33	USD	-7,695.75	
10AUG17	B	1	PD10NOV17	2935.00	3247.33	USD	7,808.25	
10AUG17	S	2	PD10NOV17	2941.50	3247.33	USD	-15,291.50	
10AUG17	S	1	PD10NOV17	2943.00	3247.33	USD	-7,608.25	
10AUG17	S	1	PD10NOV17	2946.00	3247.33	USD	-7,533.25	
10AUG17	S	2	PD10NOV17	2946.50	3247.33	USD	-15,041.50	

OPEN POSITION STATEMENT



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10AUG17	S	2	PD10NOV17	2945.00	3247.33	USD	-15,116.50	
10AUG17	S	1	PD10NOV17	2944.00	3247.33	USD	-7,583.25	
10AUG17	S	3	PD10NOV17	2945.50	3247.33	USD	-22,637.25	
10AUG17	S	1	PD10NOV17	2944.50	3247.33	USD	-7,570.75	
10AUG17	B	1	PD10NOV17	2938.00	3247.33	USD	7,733.25	
10AUG17	B	2	PD10NOV17	2937.00	3247.33	USD	15,516.50	
10AUG17	B	1	PD10NOV17	2939.00	3247.33	USD	7,708.25	
10AUG17	S	2	PD10NOV17	2943.50	3247.33	USD	-15,191.50	
10AUG17	B	1	PD10NOV17	2949.00	3247.33	USD	7,458.25	
10AUG17	B	1	PD10NOV17	2948.50	3247.33	USD	7,470.75	
10AUG17	S	1	PD10NOV17	2949.50	3247.33	USD	-7,445.75	
10AUG17	S	1	PD10NOV17	2948.00	3247.33	USD	-7,483.25	
10AUG17	S	1	PD10NOV17	2936.00	3247.33	USD	-7,783.25	
10AUG17	B	1	PD10NOV17	2924.00	3247.33	USD	8,083.25	
10AUG17	B	1	PD10NOV17	2924.50	3247.33	USD	8,070.75	
10AUG17	B	1	PD10NOV17	2920.00	3247.33	USD	8,183.25	
10AUG17	B	1	PD10NOV17	2915.00	3247.33	USD	8,308.25	
10AUG17	B	1	PD10NOV17	2911.00	3247.33	USD	8,408.25	
10AUG17	S	1	PD10NOV17	2923.50	3247.33	USD	-8,095.75	
10AUG17	B	1	PD10NOV17	2934.50	3247.33	USD	7,820.75	
10AUG17	S	3	PD10NOV17	2938.50	3247.33	USD	-23,162.25	
10AUG17	S	1	PD10NOV17	2937.50	3247.33	USD	-7,745.75	
10AUG17	B	1	PD10NOV17	2930.00	3247.33	USD	7,933.25	
10AUG17	B	2	PD10NOV17	2931.00	3247.33	USD	15,816.50	
10AUG17	B	1	PD10NOV17	2929.50	3247.33	USD	7,945.75	
10AUG17	B	1	PD10NOV17	2928.50	3247.33	USD	7,970.75	
10AUG17	B	2	PD10NOV17	2929.00	3247.33	USD	15,916.50	
10AUG17	B	3	PD10NOV17	2928.00	3247.33	USD	23,949.75	
10AUG17	S	1	PD10NOV17	2938.00	3247.33	USD	-7,733.25	
11AUG17	S	1	PD10NOV17	2909.00	3247.33	USD	-8,458.25	
11AUG17	S	1	PD10NOV17	2902.50	3247.33	USD	-8,620.75	
11AUG17	S	1	PD10NOV17	2907.00	3247.33	USD	-8,508.25	
11AUG17	S	2	PD10NOV17	2908.00	3247.33	USD	-16,966.50	
11AUG17	S	2	PD10NOV17	2907.50	3247.33	USD	-16,991.50	
11AUG17	S	1	PD10NOV17	2910.00	3247.33	USD	-8,433.25	
11AUG17	B	1	PD10NOV17	2896.00	3247.33	USD	8,783.25	
11AUG17	B	1	PD10NOV17	2891.50	3247.33	USD	8,895.75	
11AUG17	B	2	PD10NOV17	2890.00	3247.33	USD	17,866.50	
11AUG17	S	1	PD10NOV17	2912.00	3247.33	USD	-8,383.25	
11AUG17	S	1	PD10NOV17	2913.00	3247.33	USD	-8,358.25	
11AUG17	S	1	PD10NOV17	2911.00	3247.33	USD	-8,408.25	
11AUG17	S	1	PD10NOV17	2914.50	3247.33	USD	-8,320.75	
11AUG17	S	1	PD10NOV17	2911.50	3247.33	USD	-8,395.75	
11AUG17	S	1	PD10NOV17	2915.00	3247.33	USD	-8,308.25	
11AUG17	S	1	PD10NOV17	2919.00	3247.33	USD	-8,208.25	
11AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
11AUG17	S	1	PD10NOV17	2918.00	3247.33	USD	-8,233.25	
11AUG17	S	1	PD10NOV17	2913.50	3247.33	USD	-8,345.75	
11AUG17	S	1	PD10NOV17	2915.50	3247.33	USD	-8,295.75	
11AUG17	S	1	PD10NOV17	2916.00	3247.33	USD	-8,283.25	
11AUG17	S	1	PD10NOV17	2917.00	3247.33	USD	-8,258.25	
11AUG17	S	1	PD10NOV17	2920.00	3247.33	USD	-8,183.25	
11AUG17	S	3	PD10NOV17	2925.00	3247.33	USD	-24,174.75	
11AUG17	S	1	PD10NOV17	2926.50	3247.33	USD	-8,020.75	
11AUG17	S	1	PD10NOV17	2925.50	3247.33	USD	-8,045.75	
11AUG17	S	2	PD10NOV17	2923.00	3247.33	USD	-16,216.50	
11AUG17	B	1	PD10NOV17	2904.50	3247.33	USD	8,570.75	

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11AUG17	B	2	PD10NOV17	2904.00	3247.33	USD	17,166.50	
11AUG17	B	2	PD10NOV17	2903.00	3247.33	USD	17,216.50	
11AUG17	B	1	PD10NOV17	2905.00	3247.33	USD	8,558.25	
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11AUG17	B	2	PD10NOV17	2906.00	3247.33	USD	17,066.50	
11AUG17	B	1	PD10NOV17	2912.50	3247.33	USD	8,370.75	
11AUG17	B	1	PD10NOV17	2914.50	3247.33	USD	8,320.75	
11AUG17	B	1	PD10NOV17	2911.00	3247.33	USD	8,408.25	
11AUG17	B	2	PD10NOV17	2910.50	3247.33	USD	16,841.50	
11AUG17	B	2	PD10NOV17	2905.50	3247.33	USD	17,091.50	
11AUG17	B	1	PD10NOV17	2896.50	3247.33	USD	8,770.75	
11AUG17	B	2	PD10NOV17	2893.50	3247.33	USD	17,691.50	
11AUG17	B	1	PD10NOV17	2892.50	3247.33	USD	8,870.75	
11AUG17	B	1	PD10NOV17	2912.00	3247.33	USD	8,383.25	
11AUG17	B	1	PD10NOV17	2909.00	3247.33	USD	8,458.25	
11AUG17	S	2	PD10NOV17	2908.50	3247.33	USD	-16,941.50	
11AUG17	S	1	PD10NOV17	2906.00	3247.33	USD	-8,533.25	
11AUG17	B	2	PD10NOV17	2898.50	3247.33	USD	17,441.50	
11AUG17	S	2	PD10NOV17	2902.00	3247.33	USD	-17,266.50	
05SEP17	B	2	PD10NOV17	3138.39	3247.33	USD	5,447.00	
14SEP17	B	7	PD10NOV17	3003.85	3247.33	USD	42,609.00	
	NET	0 AVG	BGHT 2933.32	SOLD 2926.20			-10,843.25	0.00
14AUG17	B	1	PD14NOV17	2912.50	3245.11	USD	8,315.25	
14AUG17	B	1	PD14NOV17	2918.00	3245.11	USD	8,177.75	
14AUG17	B	1	PD14NOV17	2913.50	3245.11	USD	8,290.25	
14AUG17	S	1	PD14NOV17	2918.00	3245.11	USD	-8,177.75	
14AUG17	S	1	PD14NOV17	2918.50	3245.11	USD	-8,165.25	
14AUG17	B	2	PD14NOV17	2915.50	3245.11	USD	16,480.50	
14AUG17	B	1	PD14NOV17	2906.00	3245.11	USD	8,477.75	
14AUG17	S	1	PD14NOV17	2912.00	3245.11	USD	-8,327.75	
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
14AUG17	S	1	PD14NOV17	2909.50	3245.11	USD	-8,390.25	
14AUG17	B	1	PD14NOV17	2914.00	3245.11	USD	8,277.75	
14AUG17	S	3	PD14NOV17	2915.50	3245.11	USD	-24,720.75	
14AUG17	S	2	PD14NOV17	2915.00	3245.11	USD	-16,505.50	
14AUG17	B	1	PD14NOV17	2919.50	3245.11	USD	8,140.25	
14AUG17	B	2	PD14NOV17	2923.50	3245.11	USD	16,080.50	
14AUG17	B	2	PD14NOV17	2920.00	3245.11	USD	16,255.50	
05SEP17	S	2	PD14NOV17	3138.55	3245.11	USD	-5,328.00	
	NET	0 AVG	BGHT 2916.79	SOLD 2952.09			10,590.00	0.00
16AUG17	S	4	PD16NOV17	2979.50	3241.50	USD	-26,200.00	
16AUG17	S	5	PD16NOV17	2980.00	3241.50	USD	-32,687.50	
16AUG17	S	1	PD16NOV17	2981.50	3241.50	USD	-6,500.00	
16AUG17	S	6	PD16NOV17	2980.50	3241.50	USD	-39,150.00	
16AUG17	S	7	PD16NOV17	2981.00	3241.50	USD	-45,587.50	
16AUG17	S	2	PD16NOV17	2983.00	3241.50	USD	-12,925.00	
16AUG17	S	1	PD16NOV17	2988.50	3241.50	USD	-6,325.00	
16AUG17	S	1	PD16NOV17	2988.00	3241.50	USD	-6,337.50	
16AUG17	S	1	PD16NOV17	2986.50	3241.50	USD	-6,375.00	
16AUG17	S	2	PD16NOV17	2986.00	3241.50	USD	-12,775.00	
16AUG17	S	1	PD16NOV17	2987.00	3241.50	USD	-6,362.50	
16AUG17	S	2	PD16NOV17	2985.50	3241.50	USD	-12,800.00	
16AUG17	S	3	PD16NOV17	3020.50	3241.50	USD	-16,575.00	
16AUG17	S	1	PD16NOV17	3023.50	3241.50	USD	-5,450.00	
16AUG17	S	3	PD16NOV17	2980.50	3241.50	USD	-19,575.00	
16AUG17	S	2	PD16NOV17	2981.00	3241.50	USD	-13,025.00	
16AUG17	S	1	PD16NOV17	2987.50	3241.50	USD	-6,350.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	3022.00	3241.50	USD	-5,487.50	
16AUG17	B	1	PD16NOV17	3041.50	3241.50	USD	5,000.00	
16AUG17	B	2	PD16NOV17	3050.50	3241.50	USD	9,550.00	
16AUG17	B	1	PD16NOV17	3050.00	3241.50	USD	4,787.50	
16AUG17	B	1	PD16NOV17	3056.00	3241.50	USD	4,637.50	
16AUG17	B	1	PD16NOV17	3059.00	3241.50	USD	4,562.50	
16AUG17	B	1	PD16NOV17	3068.00	3241.50	USD	4,337.50	
16AUG17	S	1	PD16NOV17	3072.50	3241.50	USD	-4,225.00	
16AUG17	B	1	PD16NOV17	3077.50	3241.50	USD	4,100.00	
16AUG17	B	1	PD16NOV17	3078.00	3241.50	USD	4,087.50	
16AUG17	B	1	PD16NOV17	3076.50	3241.50	USD	4,125.00	
16AUG17	B	1	PD16NOV17	3085.50	3241.50	USD	3,900.00	
16AUG17	B	1	PD16NOV17	3083.00	3241.50	USD	3,962.50	
16AUG17	B	1	PD16NOV17	3095.50	3241.50	USD	3,650.00	
16AUG17	B	1	PD16NOV17	3094.00	3241.50	USD	3,687.50	
16AUG17	B	1	PD16NOV17	3094.50	3241.50	USD	3,675.00	
16AUG17	S	1	PD16NOV17	3103.00	3241.50	USD	-3,462.50	
16AUG17	B	1	PD16NOV17	3121.00	3241.50	USD	3,012.50	
16AUG17	B	1	PD16NOV17	3118.00	3241.50	USD	3,087.50	
16AUG17	B	1	PD16NOV17	3113.00	3241.50	USD	3,212.50	
16AUG17	S	1	PD16NOV17	3110.50	3241.50	USD	-3,275.00	
16AUG17	B	1	PD16NOV17	3117.00	3241.50	USD	3,112.50	
16AUG17	B	2	PD16NOV17	3115.00	3241.50	USD	6,325.00	
16AUG17	B	2	PD16NOV17	3116.00	3241.50	USD	6,275.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	B	1	PD16NOV17	3114.00	3241.50	USD	3,187.50	
16AUG17	S	1	PD16NOV17	3115.50	3241.50	USD	-3,150.00	
16AUG17	S	2	PD16NOV17	3114.50	3241.50	USD	-6,350.00	
16AUG17	S	1	PD16NOV17	3121.00	3241.50	USD	-3,012.50	
16AUG17	S	1	PD16NOV17	3120.50	3241.50	USD	-3,025.00	
16AUG17	B	3	PD16NOV17	3120.00	3241.50	USD	9,112.50	
16AUG17	B	2	PD16NOV17	3121.50	3241.50	USD	6,000.00	
17AUG17	B	2	PD16NOV17	3089.12	3241.50	USD	7,619.00	
17AUG17	B	18	PD16NOV17	3062.45	3241.50	USD	80,572.50	
14SEP17	B	2	PD16NOV17	3002.00	3241.50	USD	11,975.00	
	NET	0AVG BGHT 3079.28	SOLD 3005.36				-96,096.00	0.00
17AUG17	B	2	PD17NOV17	3142.00	3239.00	USD	4,850.00	
17AUG17	S	1	PD17NOV17	3136.50	3239.00	USD	-2,562.50	
17AUG17	S	1	PD17NOV17	3134.00	3239.00	USD	-2,625.00	
17AUG17	S	1	PD17NOV17	3135.50	3239.00	USD	-2,587.50	
17AUG17	S	1	PD17NOV17	3136.00	3239.00	USD	-2,575.00	
17AUG17	S	1	PD17NOV17	3134.50	3239.00	USD	-2,612.50	
17AUG17	B	3	PD17NOV17	3145.00	3239.00	USD	7,050.00	
17AUG17	B	3	PD17NOV17	3144.50	3239.00	USD	7,087.50	
17AUG17	B	1	PD17NOV17	3139.00	3239.00	USD	2,500.00	
17AUG17	B	1	PD17NOV17	3143.00	3239.00	USD	2,400.00	
17AUG17	S	1	PD17NOV17	3127.50	3239.00	USD	-2,787.50	
17AUG17	S	1	PD17NOV17	3119.00	3239.00	USD	-3,000.00	
17AUG17	S	1	PD17NOV17	3112.00	3239.00	USD	-3,175.00	
17AUG17	B	1	PD17NOV17	3108.50	3239.00	USD	3,262.50	
17AUG17	B	1	PD17NOV17	3101.00	3239.00	USD	3,450.00	
17AUG17	B	2	PD17NOV17	3099.00	3239.00	USD	7,000.00	
17AUG17	S	2	PD17NOV17	3101.00	3239.00	USD	-6,900.00	
17AUG17	S	1	PD17NOV17	3094.00	3239.00	USD	-3,625.00	
17AUG17	S	1	PD17NOV17	3096.00	3239.00	USD	-3,575.00	
17AUG17	S	1	PD17NOV17	3091.00	3239.00	USD	-3,700.00	
17AUG17	S	1	PD17NOV17	3092.00	3239.00	USD	-3,675.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	3082.50	3239.00	USD	-3,912.50	
17AUG17	S	1	PD17NOV17	3088.50	3239.00	USD	-3,762.50	
17AUG17	S	2	PD17NOV17	3090.50	3239.00	USD	-7,425.00	
17AUG17	S	1	PD17NOV17	3094.50	3239.00	USD	-3,612.50	
17AUG17	B	1	PD17NOV17	3071.50	3239.00	USD	4,187.50	
17AUG17	B	1	PD17NOV17	3064.00	3239.00	USD	4,375.00	
17AUG17	S	1	PD17NOV17	3062.00	3239.00	USD	-4,425.00	
17AUG17	S	1	PD17NOV17	3066.50	3239.00	USD	-4,312.50	
17AUG17	S	1	PD17NOV17	3067.00	3239.00	USD	-4,300.00	
17AUG17	S	1	PD17NOV17	3064.00	3239.00	USD	-4,375.00	
17AUG17	B	2	PD17NOV17	3054.50	3239.00	USD	9,225.00	
17AUG17	B	1	PD17NOV17	3050.50	3239.00	USD	4,712.50	
17AUG17	S	1	PD17NOV17	3055.00	3239.00	USD	-4,600.00	
17AUG17	B	1	PD17NOV17	3050.50	3239.00	USD	4,712.50	
17AUG17	B	2	PD17NOV17	3056.50	3239.00	USD	9,125.00	
17AUG17	B	1	PD17NOV17	3058.00	3239.00	USD	4,525.00	
18AUG17	S	1	PD17NOV17	3095.00	3239.00	USD	-3,600.00	
18AUG17	S	3	PD17NOV17	3094.50	3239.00	USD	-10,837.50	
18AUG17	S	1	PD17NOV17	3094.00	3239.00	USD	-3,625.00	
18AUG17	S	1	PD17NOV17	3091.50	3239.00	USD	-3,687.50	
18AUG17	S	2	PD17NOV17	3092.50	3239.00	USD	-7,325.00	
18AUG17	B	2	PD17NOV17	3113.50	3239.00	USD	6,275.00	
18AUG17	B	1	PD17NOV17	3113.00	3239.00	USD	3,150.00	
18AUG17	B	1	PD17NOV17	3119.50	3239.00	USD	2,987.50	
18AUG17	B	1	PD17NOV17	3117.50	3239.00	USD	3,037.50	
18AUG17	B	2	PD17NOV17	3118.00	3239.00	USD	6,050.00	
18AUG17	B	1	PD17NOV17	3107.00	3239.00	USD	3,300.00	
18AUG17	S	1	PD17NOV17	3138.00	3239.00	USD	-2,525.00	
18AUG17	S	1	PD17NOV17	3135.00	3239.00	USD	-2,600.00	
18AUG17	S	1	PD17NOV17	3134.50	3239.00	USD	-2,612.50	
18AUG17	B	1	PD17NOV17	3127.50	3239.00	USD	2,787.50	
18AUG17	B	3	PD17NOV17	3119.00	3239.00	USD	9,000.00	
18AUG17	S	1	PD17NOV17	3131.00	3239.00	USD	-2,700.00	
18AUG17	B	1	PD17NOV17	3110.50	3239.00	USD	3,212.50	
18AUG17	S	1	PD17NOV17	3095.50	3239.00	USD	-3,587.50	
18AUG17	B	1	PD17NOV17	3117.50	3239.00	USD	3,037.50	
18AUG17	B	1	PD17NOV17	3126.50	3239.00	USD	2,812.50	
18AUG17	S	1	PD17NOV17	3136.50	3239.00	USD	-2,562.50	
18AUG17	B	1	PD17NOV17	3150.00	3239.00	USD	2,225.00	
18AUG17	S	1	PD17NOV17	3148.00	3239.00	USD	-2,275.00	
18AUG17	B	1	PD17NOV17	3092.00	3239.00	USD	3,675.00	
18AUG17	S	1	PD17NOV17	3123.50	3239.00	USD	-2,887.50	
18AUG17	S	1	PD17NOV17	3098.00	3239.00	USD	-3,525.00	
18AUG17	S	1	PD17NOV17	3134.00	3239.00	USD	-2,625.00	
18AUG17	B	2	PD17NOV17	3136.50	3239.00	USD	5,125.00	
18AUG17	B	1	PD17NOV17	3140.00	3239.00	USD	2,475.00	
18AUG17	B	5	PD17NOV17	3135.00	3239.00	USD	13,000.00	
14SEP17	S	6	PD17NOV17	3002.14	3239.00	USD	-35,529.00	
	NET	0	AVG BGHT 3113.49	SOLD 3091.81			-26,016.50	0.00
22AUG17	S	1	PD22NOV17	3121.50	3236.00	USD	-2,862.50	
22AUG17	S	1	PD22NOV17	3118.50	3236.00	USD	-2,937.50	
22AUG17	S	1	PD22NOV17	3160.00	3236.00	USD	-1,900.00	
22AUG17	S	1	PD22NOV17	3163.50	3236.00	USD	-1,812.50	
22AUG17	S	1	PD22NOV17	3164.00	3236.00	USD	-1,800.00	
22AUG17	B	3	PD22NOV17	3148.50	3236.00	USD	6,562.50	
22AUG17	B	1	PD22NOV17	3148.00	3236.00	USD	2,200.00	
22AUG17	B	1	PD22NOV17	3145.00	3236.00	USD	2,275.00	

OPEN POSITION STATEMENT



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22AUG17	B	1	PD22NOV17		3125.00	3236.00	USD	2,775.00	
22AUG17	B	1	PD22NOV17		3122.00	3236.00	USD	2,850.00	
05SEP17	S	2	PD22NOV17		3137.91	3236.00	USD	-4,904.50	
	NET	0AVG	BGHT 3140.79	SOLD 3143.33				445.50	0.00
23AUG17	S	1	PD24NOV17		3127.50	3235.00	USD	-2,687.50	
23AUG17	S	1	PD24NOV17		3126.00	3235.00	USD	-2,725.00	
23AUG17	S	2	PD24NOV17		3120.50	3235.00	USD	-5,725.00	
23AUG17	B	1	PD24NOV17		3119.00	3235.00	USD	2,900.00	
23AUG17	S	2	PD24NOV17		3133.50	3235.00	USD	-5,075.00	
23AUG17	S	1	PD24NOV17		3141.50	3235.00	USD	-2,337.50	
23AUG17	B	1	PD24NOV17		3136.00	3235.00	USD	2,475.00	
23AUG17	B	1	PD24NOV17		3135.00	3235.00	USD	2,500.00	
23AUG17	B	1	PD24NOV17		3135.50	3235.00	USD	2,487.50	
23AUG17	S	1	PD24NOV17		3120.50	3235.00	USD	-2,862.50	
23AUG17	B	2	PD24NOV17		3123.00	3235.00	USD	5,600.00	
23AUG17	B	1	PD24NOV17		3094.50	3235.00	USD	3,512.50	
23AUG17	B	1	PD24NOV17		3103.00	3235.00	USD	3,300.00	
23AUG17	B	2	PD24NOV17		3102.00	3235.00	USD	6,650.00	
23AUG17	S	1	PD24NOV17		3109.00	3235.00	USD	-3,150.00	
23AUG17	B	2	PD24NOV17		3109.00	3235.00	USD	6,300.00	
23AUG17	S	1	PD24NOV17		3106.50	3235.00	USD	-3,212.50	
24AUG17	B	1	PD24NOV17		3117.00	3235.00	USD	2,950.00	
24AUG17	B	2	PD24NOV17		3114.00	3235.00	USD	6,050.00	
24AUG17	B	1	PD24NOV17		3113.50	3235.00	USD	3,037.50	
24AUG17	B	1	PD24NOV17		3100.50	3235.00	USD	3,362.50	
24AUG17	B	1	PD24NOV17		3103.00	3235.00	USD	3,300.00	
24AUG17	S	1	PD24NOV17		3118.50	3235.00	USD	-2,912.50	
24AUG17	S	1	PD24NOV17		3113.00	3235.00	USD	-3,050.00	
24AUG17	S	1	PD24NOV17		3114.50	3235.00	USD	-3,012.50	
24AUG17	B	1	PD24NOV17		3107.50	3235.00	USD	3,187.50	
24AUG17	B	1	PD24NOV17		3110.00	3235.00	USD	3,125.00	
24AUG17	S	1	PD24NOV17		3106.00	3235.00	USD	-3,225.00	
24AUG17	S	1	PD24NOV17		3107.50	3235.00	USD	-3,187.50	
24AUG17	S	1	PD24NOV17		3110.00	3235.00	USD	-3,125.00	
24AUG17	S	1	PD24NOV17		3109.50	3235.00	USD	-3,137.50	
24AUG17	S	1	PD24NOV17		3105.00	3235.00	USD	-3,250.00	
24AUG17	S	2	PD24NOV17		3104.00	3235.00	USD	-6,550.00	
24AUG17	S	1	PD24NOV17		3115.50	3235.00	USD	-2,987.50	
24AUG17	S	1	PD24NOV17		3116.50	3235.00	USD	-2,962.50	
24AUG17	S	1	PD24NOV17		3117.50	3235.00	USD	-2,937.50	
24AUG17	S	1	PD24NOV17		3123.50	3235.00	USD	-2,787.50	
24AUG17	S	1	PD24NOV17		3125.00	3235.00	USD	-2,750.00	
24AUG17	B	1	PD24NOV17		3129.00	3235.00	USD	2,650.00	
24AUG17	S	1	PD24NOV17		3134.50	3235.00	USD	-2,512.50	
24AUG17	B	1	PD24NOV17		3119.00	3235.00	USD	2,900.00	
24AUG17	B	2	PD24NOV17		3119.50	3235.00	USD	5,775.00	
24AUG17	B	1	PD24NOV17		3110.50	3235.00	USD	3,112.50	
24AUG17	B	1	PD24NOV17		3109.50	3235.00	USD	3,137.50	
24AUG17	B	4	PD24NOV17		3107.00	3235.00	USD	12,800.00	
24AUG17	S	2	PD24NOV17		3111.50	3235.00	USD	-6,175.00	
24AUG17	B	2	PD24NOV17		3113.00	3235.00	USD	6,100.00	
25AUG17	S	2	PD24NOV17		3127.50	3235.00	USD	-5,375.00	
25AUG17	S	1	PD24NOV17		3124.50	3235.00	USD	-2,762.50	
25AUG17	S	1	PD24NOV17		3128.00	3235.00	USD	-2,675.00	
25AUG17	S	1	PD24NOV17		3091.50	3235.00	USD	-3,587.50	
25AUG17	B	1	PD24NOV17		3068.50	3235.00	USD	4,162.50	
25AUG17	S	1	PD24NOV17		3062.50	3235.00	USD	-4,312.50	

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25AUG17	B	1	PD24NOV17	3061.50	3235.00	USD	4,337.50	
25AUG17	B	1	PD24NOV17	3059.50	3235.00	USD	4,387.50	
25AUG17	B	1	PD24NOV17	3059.00	3235.00	USD	4,400.00	
25AUG17	S	1	PD24NOV17	3064.00	3235.00	USD	-4,275.00	
25AUG17	B	1	PD24NOV17	3069.50	3235.00	USD	4,137.50	
25AUG17	S	2	PD24NOV17	3067.00	3235.00	USD	-8,400.00	
	NET	0AVG BGHT 3106.74	SOLD 3112.05				4,912.50	0.00
29AUG17	B	1	PD29NOV17	3107.00	3234.00	USD	3,175.00	
29AUG17	S	1	PD29NOV17	3113.00	3234.00	USD	-3,025.00	
29AUG17	S	1	PD29NOV17	3090.00	3234.00	USD	-3,600.00	
29AUG17	S	1	PD29NOV17	3097.50	3234.00	USD	-3,412.50	
29AUG17	S	1	PD29NOV17	3105.00	3234.00	USD	-3,225.00	
29AUG17	S	1	PD29NOV17	3104.00	3234.00	USD	-3,250.00	
29AUG17	S	1	PD29NOV17	3100.00	3234.00	USD	-3,350.00	
29AUG17	S	1	PD29NOV17	3112.50	3234.00	USD	-3,037.50	
29AUG17	B	1	PD29NOV17	3097.00	3234.00	USD	3,425.00	
29AUG17	B	1	PD29NOV17	3092.00	3234.00	USD	3,550.00	
29AUG17	B	1	PD29NOV17	3091.00	3234.00	USD	3,575.00	
29AUG17	B	1	PD29NOV17	3091.50	3234.00	USD	3,562.50	
29AUG17	B	1	PD29NOV17	3093.50	3234.00	USD	3,512.50	
29AUG17	B	1	PD29NOV17	3094.50	3234.00	USD	3,487.50	
29AUG17	B	1	PD29NOV17	3099.00	3234.00	USD	3,375.00	
29AUG17	S	2	PD29NOV17	3108.50	3234.00	USD	-6,275.00	
29AUG17	B	1	PD29NOV17	3118.00	3234.00	USD	2,900.00	
29AUG17	B	1	PD29NOV17	3111.00	3234.00	USD	3,075.00	
29AUG17	B	1	PD29NOV17	3120.50	3234.00	USD	2,837.50	
29AUG17	B	1	PD29NOV17	3113.50	3234.00	USD	3,012.50	
29AUG17	S	2	PD29NOV17	3121.85	3234.00	USD	-5,607.50	
29AUG17	S	1	PD29NOV17	3109.80	3234.00	USD	-3,105.00	
	NET	0AVG BGHT 3102.38	SOLD 3107.71				1,600.00	0.00
30AUG17	S	1	PD30NOV17	3128.50	3233.67	USD	-2,629.25	
30AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
30AUG17	S	1	PD30NOV17	3139.50	3233.67	USD	-2,354.25	
30AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
30AUG17	S	1	PD30NOV17	3136.50	3233.67	USD	-2,429.25	
30AUG17	B	1	PD30NOV17	3138.50	3233.67	USD	2,379.25	
30AUG17	B	1	PD30NOV17	3136.50	3233.67	USD	2,429.25	
30AUG17	B	1	PD30NOV17	3128.50	3233.67	USD	2,629.25	
30AUG17	B	1	PD30NOV17	3130.00	3233.67	USD	2,591.75	
30AUG17	B	1	PD30NOV17	3125.50	3233.67	USD	2,704.25	
30AUG17	B	1	PD30NOV17	3127.00	3233.67	USD	2,666.75	
30AUG17	B	1	PD30NOV17	3122.50	3233.67	USD	2,779.25	
30AUG17	B	1	PD30NOV17	3124.00	3233.67	USD	2,741.75	
30AUG17	B	2	PD30NOV17	3123.50	3233.67	USD	5,508.50	
30AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
30AUG17	B	2	PD30NOV17	3124.50	3233.67	USD	5,458.50	
30AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
30AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
30AUG17	S	1	PD30NOV17	3121.00	3233.67	USD	-2,816.75	
30AUG17	S	1	PD30NOV17	3123.50	3233.67	USD	-2,754.25	
30AUG17	S	1	PD30NOV17	3103.00	3233.67	USD	-3,266.75	
30AUG17	S	1	PD30NOV17	3104.00	3233.67	USD	-3,241.75	
30AUG17	S	1	PD30NOV17	3108.00	3233.67	USD	-3,141.75	
30AUG17	S	1	PD30NOV17	3111.00	3233.67	USD	-3,066.75	
30AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
30AUG17	B	2	PD30NOV17	3117.00	3233.67	USD	5,833.50	
30AUG17	B	1	PD30NOV17	3101.00	3233.67	USD	3,316.75	

OPEN POSITION STATEMENT



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SAP083XA

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	3099.00	3233.67	USD	3,366.75	
30AUG17	S	1	PD30NOV17	3095.50	3233.67	USD	-3,454.25	
30AUG17	B	1	PD30NOV17	3093.50	3233.67	USD	3,504.25	
30AUG17	B	1	PD30NOV17	3094.50	3233.67	USD	3,479.25	
30AUG17	B	1	PD30NOV17	3094.00	3233.67	USD	3,491.75	
30AUG17	S	4	PD30NOV17	3125.35	3233.67	USD	-10,832.00	
30AUG17	S	2	PD30NOV17	3132.35	3233.67	USD	-5,066.00	
30AUG17	S	6	PD30NOV17	3123.10	3233.67	USD	-16,585.50	
30AUG17	B	1	PD30NOV17	3092.50	3233.67	USD	3,529.25	
30AUG17	S	2	PD30NOV17	3094.80	3233.67	USD	-6,943.50	
30AUG17	B	1	PD30NOV17	3083.50	3233.67	USD	3,754.25	
30AUG17	B	1	PD30NOV17	3083.50	3233.67	USD	3,754.25	
31AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
31AUG17	B	2	PD30NOV17	3100.00	3233.67	USD	6,683.50	
31AUG17	B	3	PD30NOV17	3100.50	3233.67	USD	9,987.75	
31AUG17	B	1	PD30NOV17	3097.50	3233.67	USD	3,404.25	
31AUG17	S	1	PD30NOV17	3121.50	3233.67	USD	-2,804.25	
31AUG17	S	1	PD30NOV17	3116.50	3233.67	USD	-2,929.25	
31AUG17	S	1	PD30NOV17	3117.50	3233.67	USD	-2,904.25	
31AUG17	S	1	PD30NOV17	3119.00	3233.67	USD	-2,866.75	
31AUG17	S	1	PD30NOV17	3120.50	3233.67	USD	-2,829.25	
31AUG17	S	2	PD30NOV17	3124.50	3233.67	USD	-5,458.50	
31AUG17	S	2	PD30NOV17	3129.50	3233.67	USD	-5,208.50	
31AUG17	S	2	PD30NOV17	3125.00	3233.67	USD	-5,433.50	
31AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
31AUG17	S	2	PD30NOV17	3127.00	3233.67	USD	-5,333.50	
31AUG17	S	2	PD30NOV17	3128.00	3233.67	USD	-5,283.50	
31AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
31AUG17	S	3	PD30NOV17	3126.00	3233.67	USD	-8,075.25	
31AUG17	S	2	PD30NOV17	3128.50	3233.67	USD	-5,258.50	
31AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
31AUG17	S	1	PD30NOV17	3135.00	3233.67	USD	-2,466.75	
31AUG17	S	3	PD30NOV17	3142.00	3233.67	USD	-6,875.25	
31AUG17	S	2	PD30NOV17	3136.00	3233.67	USD	-4,883.50	
31AUG17	S	1	PD30NOV17	3136.50	3233.67	USD	-2,429.25	
31AUG17	S	1	PD30NOV17	3141.50	3233.67	USD	-2,304.25	
31AUG17	B	1	PD30NOV17	3123.50	3233.67	USD	2,754.25	
31AUG17	B	1	PD30NOV17	3137.50	3233.67	USD	2,404.25	
31AUG17	B	1	PD30NOV17	3142.50	3233.67	USD	2,279.25	
31AUG17	B	1	PD30NOV17	3136.50	3233.67	USD	2,429.25	
31AUG17	B	2	PD30NOV17	3138.00	3233.67	USD	4,783.50	
31AUG17	B	3	PD30NOV17	3140.00	3233.67	USD	7,025.25	
31AUG17	B	1	PD30NOV17	3155.00	3233.67	USD	1,966.75	
31AUG17	B	1	PD30NOV17	3154.00	3233.67	USD	1,991.75	
31AUG17	S	1	PD30NOV17	3128.85	3233.67	USD	-2,620.50	
31AUG17	S	2	PD30NOV17	3115.35	3233.67	USD	-5,916.00	
31AUG17	B	2	PD30NOV17	3159.50	3233.67	USD	3,708.50	
31AUG17	B	4	PD30NOV17	3160.00	3233.67	USD	7,367.00	
31AUG17	B	2	PD30NOV17	3159.00	3233.67	USD	3,733.50	
31AUG17	B	1	PD30NOV17	3161.50	3233.67	USD	1,804.25	
31AUG17	B	1	PD30NOV17	3159.00	3233.67	USD	1,866.75	
14SEP17	B	16	PD30NOV17	3004.00	3233.67	USD	91,868.00	
	NET	0 AVG BGHT 3097.07	SOLD 3124.25				44,171.25	0.00
01SEP17	B	1	PD01DEC17	3144.00	3233.33	USD	2,233.25	
01SEP17	S	1	PD01DEC17	3143.00	3233.33	USD	-2,258.25	
01SEP17	S	1	PD01DEC17	3163.00	3233.33	USD	-1,758.25	
01SEP17	S	1	PD01DEC17	3168.00	3233.33	USD	-1,633.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	3153.50	3233.33	USD	1,995.75	
01SEP17	B	1	PD01DEC17	3157.00	3233.33	USD	1,908.25	
01SEP17	S	1	PD01DEC17	3167.50	3233.33	USD	-1,645.75	
01SEP17	S	1	PD01DEC17	3174.00	3233.33	USD	-1,483.25	
01SEP17	S	1	PD01DEC17	3178.50	3233.33	USD	-1,370.75	
01SEP17	B	1	PD01DEC17	3180.00	3233.33	USD	1,333.25	
01SEP17	S	1	PD01DEC17	3180.00	3233.33	USD	-1,333.25	
01SEP17	S	1	PD01DEC17	3182.50	3233.33	USD	-1,270.75	
01SEP17	S	1	PD01DEC17	3185.00	3233.33	USD	-1,208.25	
01SEP17	S	1	PD01DEC17	3184.50	3233.33	USD	-1,220.75	
01SEP17	S	1	PD01DEC17	3186.00	3233.33	USD	-1,183.25	
01SEP17	S	1	PD01DEC17	3187.50	3233.33	USD	-1,145.75	
01SEP17	B	2	PD01DEC17	3190.00	3233.33	USD	2,166.50	
01SEP17	B	4	PD01DEC17	3185.20	3233.33	USD	4,813.00	
01SEP17	B	1	PD01DEC17	3211.00	3233.33	USD	558.25	
14SEP17	B	1	PD01DEC17	3004.14	3233.33	USD	5,729.75	
	NET	0 AVG BGHT 3164.20	SOLD 3174.96				3,226.50	0.00
04SEP17	B	1	PD04DEC17	3199.50	3233.00	USD	837.50	
04SEP17	B	1	PD04DEC17	3198.50	3233.00	USD	862.50	
04SEP17	S	1	PD04DEC17	3196.50	3233.00	USD	-912.50	
04SEP17	B	1	PD04DEC17	3187.50	3233.00	USD	1,137.50	
04SEP17	B	1	PD04DEC17	3187.00	3233.00	USD	1,150.00	
04SEP17	B	2	PD04DEC17	3185.00	3233.00	USD	2,400.00	
04SEP17	B	1	PD04DEC17	3179.50	3233.00	USD	1,337.50	
04SEP17	B	1	PD04DEC17	3176.50	3233.00	USD	1,412.50	
04SEP17	S	1	PD04DEC17	3182.50	3233.00	USD	-1,262.50	
04SEP17	S	1	PD04DEC17	3184.50	3233.00	USD	-1,212.50	
04SEP17	S	1	PD04DEC17	3192.50	3233.00	USD	-1,012.50	
04SEP17	S	1	PD04DEC17	3203.00	3233.00	USD	-750.00	
04SEP17	S	3	PD04DEC17	3194.00	3233.00	USD	-2,925.00	
04SEP17	S	1	PD04DEC17	3185.00	3233.00	USD	-1,200.00	
04SEP17	S	2	PD04DEC17	3191.50	3233.00	USD	-2,075.00	
04SEP17	B	1	PD04DEC17	3194.00	3233.00	USD	975.00	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	S	1	PD04DEC17	3200.00	3233.00	USD	-825.00	
04SEP17	S	1	PD04DEC17	3212.00	3233.00	USD	-525.00	
04SEP17	S	1	PD04DEC17	3207.50	3233.00	USD	-637.50	
04SEP17	B	1	PD04DEC17	3205.50	3233.00	USD	687.50	
04SEP17	B	1	PD04DEC17	3202.50	3233.00	USD	762.50	
04SEP17	B	1	PD04DEC17	3201.50	3233.00	USD	787.50	
04SEP17	B	1	PD04DEC17	3201.00	3233.00	USD	800.00	
04SEP17	B	1	PD04DEC17	3204.00	3233.00	USD	725.00	
04SEP17	B	2	PD04DEC17	3209.00	3233.00	USD	1,200.00	
05SEP17	S	1	PD04DEC17	3139.69	3233.00	USD	-2,332.75	
	NET	0 AVG BGHT 3195.31	SOLD 3191.64				-1,470.25	0.00
05SEP17	B	1	PD05DEC17	3193.00	3232.67	USD	991.75	
05SEP17	B	1	PD05DEC17	3194.50	3232.67	USD	954.25	
05SEP17	B	2	PD05DEC17	3194.00	3232.67	USD	1,933.50	
05SEP17	B	1	PD05DEC17	3192.00	3232.67	USD	1,016.75	
05SEP17	B	2	PD05DEC17	3196.50	3232.67	USD	1,808.50	
05SEP17	B	1	PD05DEC17	3186.00	3232.67	USD	1,166.75	
05SEP17	B	2	PD05DEC17	3186.50	3232.67	USD	2,308.50	
05SEP17	B	1	PD05DEC17	3189.50	3232.67	USD	1,079.25	
05SEP17	S	1	PD05DEC17	3197.00	3232.67	USD	-891.75	
05SEP17	S	1	PD05DEC17	3196.50	3232.67	USD	-904.25	
05SEP17	S	1	PD05DEC17	3201.50	3232.67	USD	-779.25	
05SEP17	S	1	PD05DEC17	3202.00	3232.67	USD	-766.75	

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05SEP17	B	1	PD05DEC17	3189.00	3232.67	USD	1,091.75	
05SEP17	B	1	PD05DEC17	3177.50	3232.67	USD	1,379.25	
05SEP17	B	1	PD05DEC17	3174.00	3232.67	USD	1,466.75	
05SEP17	B	2	PD05DEC17	3166.50	3232.67	USD	3,308.50	
05SEP17	B	1	PD05DEC17	3151.00	3232.67	USD	2,041.75	
05SEP17	S	1	PD05DEC17	3145.00	3232.67	USD	-2,191.75	
05SEP17	S	1	PD05DEC17	3142.00	3232.67	USD	-2,266.75	
05SEP17	S	1	PD05DEC17	3144.50	3232.67	USD	-2,204.25	
05SEP17	B	1	PD05DEC17	3143.50	3232.67	USD	2,229.25	
05SEP17	B	1	PD05DEC17	3142.00	3232.67	USD	2,266.75	
05SEP17	B	2	PD05DEC17	3138.50	3232.67	USD	4,708.50	
05SEP17	B	1	PD05DEC17	3133.50	3232.67	USD	2,479.25	
05SEP17	B	1	PD05DEC17	3118.00	3232.67	USD	2,866.75	
05SEP17	B	1	PD05DEC17	3111.00	3232.67	USD	3,041.75	
05SEP17	B	1	PD05DEC17	3106.00	3232.67	USD	3,166.75	
05SEP17	S	3	PD05DEC17	3100.00	3232.67	USD	-9,950.25	
05SEP17	B	1	PD05DEC17	3132.50	3232.67	USD	2,504.25	
05SEP17	B	1	PD05DEC17	3173.50	3232.67	USD	1,479.25	
14SEP17	S	17	PD05DEC17	3004.51	3232.67	USD	-96,968.00	
	NET	0 AVG BGHT 3165.57	SOLD 3059.45				-71,633.25	0.00
06SEP17	S	1	PD06DEC17	3122.00	3232.33	USD	-2,758.25	
06SEP17	B	1	PD06DEC17	3100.50	3232.33	USD	3,295.75	
06SEP17	B	2	PD06DEC17	3084.00	3232.33	USD	7,416.50	
06SEP17	B	2	PD06DEC17	3086.50	3232.33	USD	7,291.50	
06SEP17	B	1	PD06DEC17	3085.00	3232.33	USD	3,683.25	
06SEP17	B	2	PD06DEC17	3074.00	3232.33	USD	7,916.50	
06SEP17	B	1	PD06DEC17	3075.00	3232.33	USD	3,933.25	
06SEP17	B	1	PD06DEC17	3083.50	3232.33	USD	3,720.75	
06SEP17	B	2	PD06DEC17	3079.00	3232.33	USD	7,666.50	
06SEP17	S	1	PD06DEC17	3087.00	3232.33	USD	-3,633.25	
06SEP17	S	4	PD06DEC17	3089.00	3232.33	USD	-14,333.00	
06SEP17	S	1	PD06DEC17	3092.50	3232.33	USD	-3,495.75	
06SEP17	S	1	PD06DEC17	3095.50	3232.33	USD	-3,420.75	
06SEP17	B	1	PD06DEC17	3091.00	3232.33	USD	3,533.25	
06SEP17	B	1	PD06DEC17	3092.00	3232.33	USD	3,508.25	
06SEP17	S	1	PD06DEC17	3096.50	3232.33	USD	-3,395.75	
06SEP17	S	1	PD06DEC17	3098.00	3232.33	USD	-3,358.25	
06SEP17	S	1	PD06DEC17	3099.50	3232.33	USD	-3,320.75	
06SEP17	S	1	PD06DEC17	3104.00	3232.33	USD	-3,208.25	
06SEP17	S	1	PD06DEC17	3105.50	3232.33	USD	-3,170.75	
06SEP17	S	2	PD06DEC17	3106.50	3232.33	USD	-6,291.50	
14SEP17	B	1	PD06DEC17	3004.86	3232.33	USD	5,686.75	
	NET	0 AVG BGHT 3078.59	SOLD 3097.97				7,266.00	0.00
07SEP17	B	1	PD07DEC17	3083.00	3232.00	USD	3,725.00	
07SEP17	B	1	PD07DEC17	3084.00	3232.00	USD	3,700.00	
07SEP17	B	1	PD07DEC17	3081.00	3232.00	USD	3,775.00	
07SEP17	B	1	PD07DEC17	3077.00	3232.00	USD	3,875.00	
07SEP17	B	1	PD07DEC17	3079.50	3232.00	USD	3,812.50	
07SEP17	B	1	PD07DEC17	3078.50	3232.00	USD	3,837.50	
07SEP17	B	1	PD07DEC17	3077.50	3232.00	USD	3,862.50	
07SEP17	S	1	PD07DEC17	3078.00	3232.00	USD	-3,850.00	
07SEP17	S	1	PD07DEC17	3091.00	3232.00	USD	-3,525.00	
07SEP17	S	2	PD07DEC17	3099.00	3232.00	USD	-6,650.00	
07SEP17	S	1	PD07DEC17	3100.50	3232.00	USD	-3,287.50	
07SEP17	S	1	PD07DEC17	3077.00	3232.00	USD	-3,875.00	
07SEP17	S	3	PD07DEC17	3099.50	3232.00	USD	-9,937.50	
07SEP17	S	1	PD07DEC17	3098.50	3232.00	USD	-3,337.50	

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07SEP17	S	2	PD07DEC17	3097.50	3232.00	USD	-6,725.00	
07SEP17	S	1	PD07DEC17	3100.00	3232.00	USD	-3,300.00	
07SEP17	S	1	PD07DEC17	3123.00	3232.00	USD	-2,725.00	
07SEP17	S	1	PD07DEC17	3120.00	3232.00	USD	-2,800.00	
07SEP17	S	2	PD07DEC17	3120.50	3232.00	USD	-5,575.00	
07SEP17	S	1	PD07DEC17	3115.00	3232.00	USD	-2,925.00	
07SEP17	S	1	PD07DEC17	3112.00	3232.00	USD	-3,000.00	
07SEP17	S	1	PD07DEC17	3117.00	3232.00	USD	-2,875.00	
07SEP17	S	2	PD07DEC17	3119.00	3232.00	USD	-5,650.00	
07SEP17	S	1	PD07DEC17	3141.00	3232.00	USD	-2,275.00	
07SEP17	B	1	PD07DEC17	3125.00	3232.00	USD	2,675.00	
07SEP17	B	5	PD07DEC17	3126.00	3232.00	USD	13,250.00	
07SEP17	B	1	PD07DEC17	3125.50	3232.00	USD	2,662.50	
07SEP17	B	1	PD07DEC17	3130.50	3232.00	USD	2,537.50	
07SEP17	B	1	PD07DEC17	3134.00	3232.00	USD	2,450.00	
07SEP17	B	7	PD07DEC17	3135.00	3232.00	USD	16,975.00	
07SEP17	S	1	PD07DEC17	3137.00	3232.00	USD	-2,375.00	
07SEP17	S	1	PD07DEC17	3135.00	3232.00	USD	-2,425.00	
07SEP17	B	1	PD07DEC17	3130.50	3232.00	USD	2,537.50	
07SEP17	B	1	PD07DEC17	3134.00	3232.00	USD	2,450.00	
07SEP17	B	1	PD07DEC17	3134.50	3232.00	USD	2,437.50	
07SEP17	B	1	PD07DEC17	3136.00	3232.00	USD	2,400.00	
14SEP17	S	2	PD07DEC17	3004.80	3232.00	USD	-11,360.00	
	NET	0AVG BGHT 3117.98	SOLD 3100.93				-11,510.00	0.00
08SEP17	B	1	PD08DEC17	3104.00	3231.67	USD	3,191.75	
08SEP17	B	1	PD08DEC17	3101.00	3231.67	USD	3,266.75	
08SEP17	B	2	PD08DEC17	3092.00	3231.67	USD	6,983.50	
08SEP17	B	1	PD08DEC17	3089.50	3231.67	USD	3,554.25	
08SEP17	B	2	PD08DEC17	3088.00	3231.67	USD	7,183.50	
08SEP17	B	1	PD08DEC17	3090.00	3231.67	USD	3,541.75	
08SEP17	B	1	PD08DEC17	3090.50	3231.67	USD	3,529.25	
08SEP17	B	1	PD08DEC17	3089.00	3231.67	USD	3,566.75	
08SEP17	S	1	PD08DEC17	3093.00	3231.67	USD	-3,466.75	
08SEP17	S	1	PD08DEC17	3090.50	3231.67	USD	-3,529.25	
08SEP17	S	1	PD08DEC17	3092.50	3231.67	USD	-3,479.25	
08SEP17	B	1	PD08DEC17	3083.00	3231.67	USD	3,716.75	
08SEP17	S	1	PD08DEC17	3094.00	3231.67	USD	-3,441.75	
08SEP17	B	3	PD08DEC17	3087.00	3231.67	USD	10,850.25	
08SEP17	S	1	PD08DEC17	3092.00	3231.67	USD	-3,491.75	
08SEP17	S	2	PD08DEC17	3093.50	3231.67	USD	-6,908.50	
08SEP17	B	1	PD08DEC17	3070.00	3231.67	USD	4,041.75	
08SEP17	B	1	PD08DEC17	3071.00	3231.67	USD	4,016.75	
08SEP17	S	1	PD08DEC17	3063.50	3231.67	USD	-4,204.25	
08SEP17	S	1	PD08DEC17	3066.00	3231.67	USD	-4,141.75	
08SEP17	B	1	PD08DEC17	3057.00	3231.67	USD	4,366.75	
08SEP17	S	1	PD08DEC17	3047.00	3231.67	USD	-4,616.75	
08SEP17	S	1	PD08DEC17	3065.50	3231.67	USD	-4,154.25	
14SEP17	S	6	PD08DEC17	3005.14	3231.67	USD	-33,979.50	
	NET	0AVG BGHT 3086.24	SOLD 3054.23				-13,604.00	0.00
11SEP17	S	1	PD11DEC17	3058.50	3231.33	USD	-4,320.75	
11SEP17	S	1	PD11DEC17	3064.50	3231.33	USD	-4,170.75	
11SEP17	S	1	PD11DEC17	3067.00	3231.33	USD	-4,108.25	
11SEP17	S	1	PD11DEC17	3069.50	3231.33	USD	-4,045.75	
11SEP17	S	1	PD11DEC17	3069.00	3231.33	USD	-4,058.25	
11SEP17	S	1	PD11DEC17	3072.00	3231.33	USD	-3,983.25	
11SEP17	B	1	PD11DEC17	3070.50	3231.33	USD	4,020.75	
11SEP17	S	1	PD11DEC17	3075.00	3231.33	USD	-3,908.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	3	PD11DEC17	3073.00	3231.33	USD	-11,874.75	
11SEP17	S	1	PD11DEC17	3074.00	3231.33	USD	-3,933.25	
11SEP17	S	1	PD11DEC17	3076.00	3231.33	USD	-3,883.25	
11SEP17	S	2	PD11DEC17	3072.50	3231.33	USD	-7,941.50	
11SEP17	S	2	PD11DEC17	3073.50	3231.33	USD	-7,891.50	
11SEP17	S	1	PD11DEC17	3077.50	3231.33	USD	-3,845.75	
11SEP17	S	2	PD11DEC17	3078.50	3231.33	USD	-7,641.50	
11SEP17	S	2	PD11DEC17	3077.00	3231.33	USD	-7,716.50	
11SEP17	B	1	PD11DEC17	3076.50	3231.33	USD	3,870.75	
11SEP17	S	1	PD11DEC17	3079.00	3231.33	USD	-3,808.25	
11SEP17	S	1	PD11DEC17	3074.50	3231.33	USD	-3,920.75	
11SEP17	B	1	PD11DEC17	3083.00	3231.33	USD	3,708.25	
11SEP17	S	2	PD11DEC17	3090.00	3231.33	USD	-7,066.50	
11SEP17	S	1	PD11DEC17	3087.00	3231.33	USD	-3,608.25	
11SEP17	S	3	PD11DEC17	3088.00	3231.33	USD	-10,749.75	
11SEP17	S	1	PD11DEC17	3089.00	3231.33	USD	-3,558.25	
11SEP17	S	1	PD11DEC17	3091.50	3231.33	USD	-3,495.75	
11SEP17	S	1	PD11DEC17	3092.50	3231.33	USD	-3,470.75	
11SEP17	S	1	PD11DEC17	3103.00	3231.33	USD	-3,208.25	
11SEP17	B	1	PD11DEC17	3094.50	3231.33	USD	3,420.75	
11SEP17	B	1	PD11DEC17	3093.00	3231.33	USD	3,458.25	
11SEP17	S	1	PD11DEC17	3097.00	3231.33	USD	-3,358.25	
11SEP17	S	2	PD11DEC17	3093.50	3231.33	USD	-6,891.50	
11SEP17	B	1	PD11DEC17	3075.00	3231.33	USD	3,908.25	
11SEP17	S	1	PD11DEC17	3094.50	3231.33	USD	-3,420.75	
11SEP17	B	1	PD11DEC17	3099.50	3231.33	USD	3,295.75	
11SEP17	S	1	PD11DEC17	3097.50	3231.33	USD	-3,345.75	
11SEP17	B	2	PD11DEC17	3074.00	3231.33	USD	7,866.50	
11SEP17	B	2	PD11DEC17	3071.00	3231.33	USD	8,016.50	
11SEP17	B	1	PD11DEC17	3059.50	3231.33	USD	4,295.75	
11SEP17	B	1	PD11DEC17	3058.50	3231.33	USD	4,320.75	
11SEP17	B	2	PD11DEC17	3057.00	3231.33	USD	8,716.50	
11SEP17	B	1	PD11DEC17	3065.00	3231.33	USD	4,158.25	
11SEP17	B	1	PD11DEC17	3062.50	3231.33	USD	4,220.75	
11SEP17	B	1	PD11DEC17	3060.50	3231.33	USD	4,270.75	
11SEP17	B	1	PD11DEC17	3058.00	3231.33	USD	4,333.25	
11SEP17	B	1	PD11DEC17	3053.00	3231.33	USD	4,458.25	
11SEP17	B	1	PD11DEC17	3051.00	3231.33	USD	4,508.25	
11SEP17	B	2	PD11DEC17	3059.00	3231.33	USD	8,616.50	
11SEP17	B	1	PD11DEC17	3060.00	3231.33	USD	4,283.25	
11SEP17	S	1	PD11DEC17	3080.50	3231.33	USD	-3,770.75	
11SEP17	S	1	PD11DEC17	3079.50	3231.33	USD	-3,795.75	
11SEP17	B	1	PD11DEC17	3085.00	3231.33	USD	3,658.25	
11SEP17	B	1	PD11DEC17	3085.50	3231.33	USD	3,645.75	
11SEP17	B	1	PD11DEC17	3086.00	3231.33	USD	3,633.25	
14SEP17	B	12	PD11DEC17	3005.77	3231.33	USD	67,668.00	
14SEP17	B	1	PD11DEC17	3005.57	3231.33	USD	5,644.00	
	NET	0AVG BGHT 3049.33	SOLD 3080.54				31,204.75	0.00
12SEP17	S	1	PD12DEC17	3084.50	3231.00	USD	-3,662.50	
12SEP17	S	2	PD12DEC17	3084.00	3231.00	USD	-7,350.00	
12SEP17	S	2	PD12DEC17	3083.00	3231.00	USD	-7,400.00	
12SEP17	S	1	PD12DEC17	3083.50	3231.00	USD	-3,687.50	
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	S	1	PD12DEC17	3080.00	3231.00	USD	-3,775.00	
12SEP17	S	1	PD12DEC17	3081.00	3231.00	USD	-3,750.00	
12SEP17	S	4	PD12DEC17	3085.00	3231.00	USD	-14,600.00	
12SEP17	S	1	PD12DEC17	3086.50	3231.00	USD	-3,612.50	

OPEN POSITION STATEMENT



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SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	3088.50	3231.00	USD	3,562.50	
12SEP17	B	1	PD12DEC17	3089.00	3231.00	USD	3,550.00	
12SEP17	B	1	PD12DEC17	3093.00	3231.00	USD	3,450.00	
12SEP17	B	2	PD12DEC17	3093.50	3231.00	USD	6,875.00	
12SEP17	B	2	PD12DEC17	3090.00	3231.00	USD	7,050.00	
12SEP17	S	1	PD12DEC17	3092.50	3231.00	USD	-3,462.50	
12SEP17	B	6	PD12DEC17	3092.00	3231.00	USD	20,850.00	
12SEP17	S	2	PD12DEC17	3093.00	3231.00	USD	-6,900.00	
12SEP17	S	1	PD12DEC17	3089.00	3231.00	USD	-3,550.00	
12SEP17	S	3	PD12DEC17	3090.50	3231.00	USD	-10,537.50	
12SEP17	S	1	PD12DEC17	3078.00	3231.00	USD	-3,825.00	
12SEP17	B	1	PD12DEC17	3068.00	3231.00	USD	4,075.00	
12SEP17	B	1	PD12DEC17	3070.00	3231.00	USD	4,025.00	
12SEP17	S	2	PD12DEC17	3061.50	3231.00	USD	-8,475.00	
12SEP17	S	1	PD12DEC17	3065.50	3231.00	USD	-4,137.50	
12SEP17	S	1	PD12DEC17	3084.00	3231.00	USD	-3,675.00	
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	S	1	PD12DEC17	3062.00	3231.00	USD	-4,225.00	
12SEP17	S	1	PD12DEC17	3067.00	3231.00	USD	-4,100.00	
12SEP17	S	1	PD12DEC17	3066.50	3231.00	USD	-4,112.50	
12SEP17	B	4	PD12DEC17	3054.50	3231.00	USD	17,650.00	
12SEP17	B	2	PD12DEC17	3055.00	3231.00	USD	8,800.00	
12SEP17	S	1	PD12DEC17	3055.50	3231.00	USD	-4,387.50	
12SEP17	S	2	PD12DEC17	3060.50	3231.00	USD	-8,525.00	
12SEP17	B	1	PD12DEC17	3071.50	3231.00	USD	3,987.50	
12SEP17	B	2	PD12DEC17	3075.00	3231.00	USD	7,800.00	
12SEP17	B	1	PD12DEC17	3073.00	3231.00	USD	3,950.00	
12SEP17	B	3	PD12DEC17	3064.50	3231.00	USD	12,487.50	
12SEP17	B	1	PD12DEC17	3062.00	3231.00	USD	4,225.00	
12SEP17	B	3	PD12DEC17	3063.00	3231.00	USD	12,600.00	
12SEP17	B	2	PD12DEC17	3061.00	3231.00	USD	8,500.00	
12SEP17	B	1	PD12DEC17	3059.50	3231.00	USD	4,287.50	
12SEP17	B	1	PD12DEC17	3068.50	3231.00	USD	4,062.50	
12SEP17	B	5	PD12DEC17	3069.50	3231.00	USD	20,187.50	
12SEP17	S	1	PD12DEC17	3054.50	3231.00	USD	-4,412.50	
12SEP17	B	3	PD12DEC17	3063.50	3231.00	USD	12,562.50	
12SEP17	B	2	PD12DEC17	3065.00	3231.00	USD	8,300.00	
12SEP17	B	2	PD12DEC17	3064.00	3231.00	USD	8,350.00	
14SEP17	S	9	PD12DEC17	3005.51	3231.00	USD	-50,735.25	
	NET	+5 AVG BGHT 3071.68	SOLD 3063.23				10,839.75	0.00
13SEP17	S	2	PD13DEC17	3052.00	3230.67	USD	-8,933.50	
13SEP17	S	3	PD13DEC17	3048.50	3230.67	USD	-13,662.75	
13SEP17	S	1	PD13DEC17	3050.50	3230.67	USD	-4,504.25	
13SEP17	S	1	PD13DEC17	3053.50	3230.67	USD	-4,429.25	
13SEP17	S	3	PD13DEC17	3053.00	3230.67	USD	-13,325.25	
13SEP17	S	1	PD13DEC17	3063.00	3230.67	USD	-4,191.75	
13SEP17	B	1	PD13DEC17	3051.00	3230.67	USD	4,491.75	
13SEP17	S	1	PD13DEC17	3057.00	3230.67	USD	-4,341.75	
13SEP17	S	1	PD13DEC17	3056.00	3230.67	USD	-4,366.75	
13SEP17	S	1	PD13DEC17	3055.00	3230.67	USD	-4,391.75	
13SEP17	S	2	PD13DEC17	3058.00	3230.67	USD	-8,633.50	
13SEP17	B	1	PD13DEC17	3052.00	3230.67	USD	4,466.75	
13SEP17	B	2	PD13DEC17	3049.50	3230.67	USD	9,058.50	
13SEP17	B	1	PD13DEC17	3044.50	3230.67	USD	4,654.25	
13SEP17	B	1	PD13DEC17	3047.50	3230.67	USD	4,579.25	
13SEP17	B	1	PD13DEC17	3050.50	3230.67	USD	4,504.25	
13SEP17	B	2	PD13DEC17	3046.00	3230.67	USD	9,233.50	

OPEN POSITION STATEMENT



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SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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13SEP17	B	1	PD13DEC17	3045.00	3230.67	USD	4,641.75	
13SEP17	B	2	PD13DEC17	3043.50	3230.67	USD	9,358.50	
13SEP17	S	2	PD13DEC17	3053.00	3230.67	USD	-8,883.50	
13SEP17	B	1	PD13DEC17	3037.00	3230.67	USD	4,841.75	
13SEP17	B	1	PD13DEC17	3036.50	3230.67	USD	4,854.25	
13SEP17	B	1	PD13DEC17	3030.00	3230.67	USD	5,016.75	
13SEP17	B	2	PD13DEC17	3028.50	3230.67	USD	10,108.50	
13SEP17	B	1	PD13DEC17	3022.50	3230.67	USD	5,204.25	
13SEP17	B	1	PD13DEC17	3022.00	3230.67	USD	5,216.75	
13SEP17	S	1	PD13DEC17	3024.50	3230.67	USD	-5,154.25	
	NET	0AVG BGHT 3040.71	SOLD 3052.11				5,412.50	0.00
14SEP17	B	1	PD14DEC17	3036.00	3230.33	USD	4,858.25	
14SEP17	S	1	PD14DEC17	3035.00	3230.33	USD	-4,883.25	
14SEP17	B	1	PD14DEC17	3021.00	3230.33	USD	5,233.25	
14SEP17	S	1	PD14DEC17	3014.50	3230.33	USD	-5,395.75	
14SEP17	S	1	PD14DEC17	3015.00	3230.33	USD	-5,383.25	
14SEP17	S	1	PD14DEC17	3017.00	3230.33	USD	-5,333.25	
14SEP17	S	1	PD14DEC17	3015.50	3230.33	USD	-5,370.75	
14SEP17	B	3	PD14DEC17	3012.50	3230.33	USD	16,337.25	
14SEP17	B	1	PD14DEC17	3005.00	3230.33	USD	5,633.25	
14SEP17	B	1	PD14DEC17	2999.00	3230.33	USD	5,783.25	
14SEP17	B	1	PD14DEC17	2998.50	3230.33	USD	5,795.75	
14SEP17	B	2	PD14DEC17	2992.00	3230.33	USD	11,916.50	
14SEP17	S	1	PD14DEC17	3007.00	3230.33	USD	-5,583.25	
14SEP17	S	1	PD14DEC17	3004.00	3230.33	USD	-5,658.25	
14SEP17	B	1	PD14DEC17	2989.00	3230.33	USD	6,033.25	
14SEP17	B	1	PD14DEC17	2988.50	3230.33	USD	6,045.75	
14SEP17	S	1	PD14DEC17	2989.50	3230.33	USD	-6,020.75	
14SEP17	S	2	PD14DEC17	2990.00	3230.33	USD	-12,016.50	
14SEP17	S	1	PD14DEC17	2987.50	3230.33	USD	-6,070.75	
14SEP17	S	2	PD14DEC17	2994.50	3230.33	USD	-11,791.50	
14SEP17	S	2	PD14DEC17	3005.00	3230.33	USD	-11,266.50	
14SEP17	S	1	PD14DEC17	3006.00	3230.33	USD	-5,608.25	
14SEP17	S	1	PD14DEC17	3007.50	3230.33	USD	-5,570.75	
14SEP17	S	1	PD14DEC17	3008.50	3230.33	USD	-5,545.75	
14SEP17	S	1	PD14DEC17	3009.50	3230.33	USD	-5,520.75	
14SEP17	S	3	PD14DEC17	3016.00	3230.33	USD	-16,074.75	
14SEP17	S	1	PD14DEC17	3011.50	3230.33	USD	-5,470.75	
14SEP17	B	3	PD14DEC17	3000.00	3230.33	USD	17,274.75	
14SEP17	B	1	PD14DEC17	3004.50	3230.33	USD	5,645.75	
14SEP17	S	2	PD14DEC17	3004.50	3230.33	USD	-11,291.50	
	NET	-9AVG BGHT 3003.94	SOLD 3006.56				-49,299.25	0.00
15SEP17	B	1	PD15DEC17	3023.00	3230.00	USD	5,175.00	
15SEP17	S	1	PD15DEC17	3012.00	3230.00	USD	-5,450.00	
15SEP17	B	1	PD15DEC17	2992.50	3230.00	USD	5,937.50	
15SEP17	S	1	PD15DEC17	3032.00	3230.00	USD	-4,950.00	
15SEP17	B	1	PD15DEC17	3032.00	3230.00	USD	4,950.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	B	1	PD15DEC17	3031.50	3230.00	USD	4,962.50	
15SEP17	S	1	PD15DEC17	3031.50	3230.00	USD	-4,962.50	
	NET	0AVG BGHT 3019.75	SOLD 3026.38				662.50	0.00
18SEP17	B	1	PD18DEC17	3075.50	3229.67	USD	3,854.25	
18SEP17	B	1	PD18DEC17	3076.00	3229.67	USD	3,841.75	
18SEP17	S	1	PD18DEC17	3078.50	3229.67	USD	-3,779.25	
18SEP17	B	1	PD18DEC17	3066.00	3229.67	USD	4,091.75	
18SEP17	B	1	PD18DEC17	3064.00	3229.67	USD	4,141.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	3076.00	3229.67	USD	-3,841.75	
18SEP17	S	1	PD18DEC17	3084.00	3229.67	USD	-3,641.75	
18SEP17	S	1	PD18DEC17	3080.50	3229.67	USD	-3,729.25	
18SEP17	S	2	PD18DEC17	3080.00	3229.67	USD	-7,483.50	
18SEP17	S	2	PD18DEC17	3081.00	3229.67	USD	-7,433.50	
18SEP17	S	1	PD18DEC17	3090.50	3229.67	USD	-3,479.25	
18SEP17	B	1	PD18DEC17	3073.00	3229.67	USD	3,916.75	
18SEP17	B	1	PD18DEC17	3072.50	3229.67	USD	3,929.25	
18SEP17	S	2	PD18DEC17	3089.50	3229.67	USD	-7,008.50	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	3	PD18DEC17	3087.00	3229.67	USD	-10,700.25	
18SEP17	S	1	PD18DEC17	3086.00	3229.67	USD	-3,591.75	
18SEP17	S	1	PD18DEC17	3086.50	3229.67	USD	-3,579.25	
18SEP17	S	5	PD18DEC17	3088.00	3229.67	USD	-17,708.75	
18SEP17	B	2	PD18DEC17	3071.50	3229.67	USD	7,908.50	
18SEP17	B	1	PD18DEC17	3071.00	3229.67	USD	3,966.75	
18SEP17	B	2	PD18DEC17	3074.00	3229.67	USD	7,783.50	
18SEP17	B	1	PD18DEC17	3063.50	3229.67	USD	4,154.25	
18SEP17	S	2	PD18DEC17	3079.00	3229.67	USD	-7,533.50	
18SEP17	S	1	PD18DEC17	3082.50	3229.67	USD	-3,679.25	
18SEP17	S	4	PD18DEC17	3092.00	3229.67	USD	-13,767.00	
18SEP17	S	1	PD18DEC17	3093.00	3229.67	USD	-3,416.75	
18SEP17	S	1	PD18DEC17	3094.00	3229.67	USD	-3,391.75	
18SEP17	S	1	PD18DEC17	3093.50	3229.67	USD	-3,404.25	
18SEP17	S	1	PD18DEC17	3095.00	3229.67	USD	-3,366.75	
18SEP17	S	1	PD18DEC17	3096.50	3229.67	USD	-3,329.25	
18SEP17	S	1	PD18DEC17	3100.50	3229.67	USD	-3,229.25	
18SEP17	S	1	PD18DEC17	3100.00	3229.67	USD	-3,241.75	
18SEP17	S	1	PD18DEC17	3102.00	3229.67	USD	-3,191.75	
18SEP17	S	1	PD18DEC17	3103.00	3229.67	USD	-3,166.75	
18SEP17	S	1	PD18DEC17	3098.50	3229.67	USD	-3,279.25	
18SEP17	B	2	PD18DEC17	3099.50	3229.67	USD	6,508.50	
18SEP17	B	1	PD18DEC17	3105.00	3229.67	USD	3,116.75	
18SEP17	B	1	PD18DEC17	3110.50	3229.67	USD	2,979.25	
18SEP17	B	2	PD18DEC17	3111.50	3229.67	USD	5,908.50	
18SEP17	B	3	PD18DEC17	3111.00	3229.67	USD	8,900.25	
18SEP17	B	1	PD18DEC17	3099.00	3229.67	USD	3,266.75	
18SEP17	B	1	PD18DEC17	3111.00	3229.67	USD	2,966.75	
	NET	-16 AVG BGHT 3088.39	SOLD 3088.62				-56,293.00	0.00
19SEP17	S	2	PD19DEC17	3102.00	3229.33	USD	-6,366.50	
19SEP17	S	2	PD19DEC17	3104.50	3229.33	USD	-6,241.50	
19SEP17	B	1	PD19DEC17	3101.00	3229.33	USD	3,208.25	
19SEP17	S	1	PD19DEC17	3106.00	3229.33	USD	-3,083.25	
19SEP17	S	1	PD19DEC17	3107.50	3229.33	USD	-3,045.75	
19SEP17	B	1	PD19DEC17	3091.50	3229.33	USD	3,445.75	
19SEP17	B	3	PD19DEC17	3095.00	3229.33	USD	10,074.75	
19SEP17	B	1	PD19DEC17	3094.50	3229.33	USD	3,370.75	
19SEP17	B	2	PD19DEC17	3091.00	3229.33	USD	6,916.50	
19SEP17	S	2	PD19DEC17	3095.00	3229.33	USD	-6,716.50	
19SEP17	B	3	PD19DEC17	3096.00	3229.33	USD	9,999.75	
19SEP17	B	4	PD19DEC17	3095.50	3229.33	USD	13,383.00	
19SEP17	S	1	PD19DEC17	3094.00	3229.33	USD	-3,383.25	
19SEP17	B	3	PD19DEC17	3100.00	3229.33	USD	9,699.75	
19SEP17	B	1	PD19DEC17	3098.50	3229.33	USD	3,270.75	
19SEP17	B	1	PD19DEC17	3092.00	3229.33	USD	3,433.25	
19SEP17	S	1	PD19DEC17	3109.50	3229.33	USD	-2,995.75	
19SEP17	S	1	PD19DEC17	3105.00	3229.33	USD	-3,108.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	3092.50	3229.33	USD	6,841.50	
19SEP17	S	1	PD19DEC17	3094.50	3229.33	USD	-3,370.75	
19SEP17	B	1	PD19DEC17	3083.00	3229.33	USD	3,658.25	
19SEP17	S	1	PD19DEC17	3083.00	3229.33	USD	-3,658.25	
19SEP17	B	1	PD19DEC17	3080.00	3229.33	USD	3,733.25	
19SEP17	B	3	PD19DEC17	3069.00	3229.33	USD	12,024.75	
19SEP17	B	1	PD19DEC17	3075.00	3229.33	USD	3,858.25	
19SEP17	B	1	PD19DEC17	3076.00	3229.33	USD	3,833.25	
19SEP17	S	1	PD19DEC17	3075.00	3229.33	USD	-3,858.25	
19SEP17	B	2	PD19DEC17	3099.50	3229.33	USD	6,491.50	
19SEP17	S	1	PD19DEC17	3110.00	3229.33	USD	-2,983.25	
19SEP17	S	2	PD19DEC17	3109.50	3229.33	USD	-5,991.50	
19SEP17	S	1	PD19DEC17	3112.50	3229.33	USD	-2,920.75	
19SEP17	S	1	PD19DEC17	3101.50	3229.33	USD	-3,195.75	
19SEP17	S	1	PD19DEC17	3105.50	3229.33	USD	-3,095.75	
19SEP17	S	2	PD19DEC17	3108.00	3229.33	USD	-6,066.50	
19SEP17	S	1	PD19DEC17	3110.50	3229.33	USD	-2,970.75	
19SEP17	B	3	PD19DEC17	3111.00	3229.33	USD	8,874.75	
19SEP17	S	3	PD19DEC17	3111.00	3229.33	USD	-8,874.75	
	NET	+8 AVG BGHT 3092.72	SOLD 3103.29				34,191.00	0.00
20SEP17	B	2	PD20DEC17	3102.00	3229.00	USD	6,350.00	
20SEP17	B	1	PD20DEC17	3099.50	3229.00	USD	3,237.50	
20SEP17	S	1	PD20DEC17	3112.00	3229.00	USD	-2,925.00	
20SEP17	B	1	PD20DEC17	3120.00	3229.00	USD	2,725.00	
20SEP17	S	2	PD20DEC17	3127.00	3229.00	USD	-5,100.00	
20SEP17	S	1	PD20DEC17	3131.00	3229.00	USD	-2,450.00	
20SEP17	S	2	PD20DEC17	3132.00	3229.00	USD	-4,850.00	
20SEP17	S	3	PD20DEC17	3129.00	3229.00	USD	-7,500.00	
20SEP17	B	1	PD20DEC17	3127.00	3229.00	USD	2,550.00	
20SEP17	S	1	PD20DEC17	3141.50	3229.00	USD	-2,187.50	
20SEP17	S	1	PD20DEC17	3142.50	3229.00	USD	-2,162.50	
20SEP17	S	1	PD20DEC17	3143.50	3229.00	USD	-2,137.50	
20SEP17	B	2	PD20DEC17	3100.00	3229.00	USD	6,450.00	
20SEP17	B	1	PD20DEC17	3151.00	3229.00	USD	1,950.00	
20SEP17	S	3	PD20DEC17	3150.50	3229.00	USD	-5,887.50	
20SEP17	B	2	PD20DEC17	3136.50	3229.00	USD	4,625.00	
20SEP17	B	1	PD20DEC17	3141.50	3229.00	USD	2,187.50	
20SEP17	B	1	PD20DEC17	3143.00	3229.00	USD	2,150.00	
20SEP17	B	1	PD20DEC17	3140.00	3229.00	USD	2,225.00	
20SEP17	B	2	PD20DEC17	3137.50	3229.00	USD	4,575.00	
20SEP17	B	2	PD20DEC17	3137.00	3229.00	USD	4,600.00	
20SEP17	B	1	PD20DEC17	3135.50	3229.00	USD	2,337.50	
20SEP17	B	2	PD20DEC17	3136.00	3229.00	USD	4,650.00	
20SEP17	B	1	PD20DEC17	3134.50	3229.00	USD	2,362.50	
20SEP17	B	1	PD20DEC17	3131.00	3229.00	USD	2,450.00	
	NET	+7 AVG BGHT 3128.23	SOLD 3135.13				20,225.00	0.00
21SEP17	B	1	PD21DEC17	3099.50	3225.00	USD	3,137.50	
21SEP17	S	1	PD21DEC17	3096.00	3225.00	USD	-3,225.00	
21SEP17	S	1	PD21DEC17	3090.00	3225.00	USD	-3,375.00	
21SEP17	S	1	PD21DEC17	3090.50	3225.00	USD	-3,362.50	
21SEP17	S	1	PD21DEC17	3075.50	3225.00	USD	-3,737.50	
21SEP17	S	1	PD21DEC17	3083.00	3225.00	USD	-3,550.00	
21SEP17	S	1	PD21DEC17	3078.00	3225.00	USD	-3,675.00	
21SEP17	S	1	PD21DEC17	3099.00	3225.00	USD	-3,150.00	
21SEP17	S	1	PD21DEC17	3096.00	3225.00	USD	-3,225.00	
21SEP17	B	1	PD21DEC17	3072.50	3225.00	USD	3,812.50	
21SEP17	B	2	PD21DEC17	3080.00	3225.00	USD	7,250.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3108.50	3225.00	USD	2,912.50	
21SEP17	S	2	PD21DEC17	3106.00	3225.00	USD	-5,950.00	
21SEP17	S	1	PD21DEC17	3106.50	3225.00	USD	-2,962.50	
21SEP17	S	1	PD21DEC17	3108.50	3225.00	USD	-2,912.50	
21SEP17	B	1	PD21DEC17	3110.00	3225.00	USD	2,875.00	
21SEP17	B	1	PD21DEC17	3104.50	3225.00	USD	3,012.50	
21SEP17	B	1	PD21DEC17	3081.00	3225.00	USD	3,600.00	
21SEP17	B	1	PD21DEC17	3079.00	3225.00	USD	3,650.00	
21SEP17	B	2	PD21DEC17	3078.00	3225.00	USD	7,350.00	
21SEP17	B	1	PD21DEC17	3069.00	3225.00	USD	3,900.00	
21SEP17	B	1	PD21DEC17	3066.00	3225.00	USD	3,975.00	
	NET	+1 AVG BGHT 3085.08	SOLD 3094.58				6,350.00	0.00
22SEP17	B	1	PD22DEC17	3004.00	3224.50	USD	5,512.50	
22SEP17	S	1	PD22DEC17	3012.50	3224.50	USD	-5,300.00	
22SEP17	S	2	PD22DEC17	3075.00	3224.50	USD	-7,475.00	
22SEP17	B	1	PD22DEC17	3057.00	3224.50	USD	4,187.50	
22SEP17	B	1	PD22DEC17	3052.50	3224.50	USD	4,300.00	
22SEP17	B	1	PD22DEC17	3053.00	3224.50	USD	4,287.50	
22SEP17	B	1	PD22DEC17	3047.00	3224.50	USD	4,437.50	
22SEP17	B	1	PD22DEC17	3016.00	3224.50	USD	5,212.50	
22SEP17	S	1	PD22DEC17	3010.00	3224.50	USD	-5,362.50	
22SEP17	B	1	PD22DEC17	3026.50	3224.50	USD	4,950.00	
22SEP17	B	1	PD22DEC17	3031.00	3224.50	USD	4,837.50	
22SEP17	B	1	PD22DEC17	3046.00	3224.50	USD	4,462.50	
22SEP17	S	1	PD22DEC17	3052.50	3224.50	USD	-4,300.00	
22SEP17	S	2	PD22DEC17	3051.85	3224.50	USD	-8,632.50	
22SEP17	B	2	PD22DEC17	3067.00	3224.50	USD	7,875.00	
22SEP17	S	2	PD22DEC17	3027.00	3224.50	USD	-9,875.00	
	NET	+2 AVG BGHT 3042.45	SOLD 3042.52				9,117.50	0.00
25SEP17	S	2	PD27DEC17	3089.50	3224.00	USD	-6,725.00	
25SEP17	S	1	PD27DEC17	3071.00	3224.00	USD	-3,825.00	
25SEP17	S	1	PD27DEC17	3074.50	3224.00	USD	-3,737.50	
25SEP17	S	1	PD27DEC17	3075.50	3224.00	USD	-3,712.50	
25SEP17	S	1	PD27DEC17	3090.00	3224.00	USD	-3,350.00	
25SEP17	S	1	PD27DEC17	3087.50	3224.00	USD	-3,412.50	
25SEP17	S	2	PD27DEC17	3089.00	3224.00	USD	-6,750.00	
25SEP17	S	1	PD27DEC17	3083.00	3224.00	USD	-3,525.00	
25SEP17	S	1	PD27DEC17	3083.50	3224.00	USD	-3,512.50	
25SEP17	S	1	PD27DEC17	3088.00	3224.00	USD	-3,400.00	
25SEP17	S	2	PD27DEC17	3095.00	3224.00	USD	-6,450.00	
25SEP17	S	1	PD27DEC17	3095.50	3224.00	USD	-3,212.50	
25SEP17	S	1	PD27DEC17	3096.50	3224.00	USD	-3,187.50	
25SEP17	S	1	PD27DEC17	3088.00	3224.00	USD	-3,400.00	
25SEP17	S	1	PD27DEC17	3090.00	3224.00	USD	-3,350.00	
25SEP17	S	1	PD27DEC17	3091.50	3224.00	USD	-3,312.50	
25SEP17	S	1	PD27DEC17	3105.00	3224.00	USD	-2,975.00	
25SEP17	S	2	PD27DEC17	3102.50	3224.00	USD	-6,075.00	
25SEP17	S	2	PD27DEC17	3096.00	3224.00	USD	-6,400.00	
25SEP17	B	2	PD27DEC17	3084.50	3224.00	USD	6,975.00	
25SEP17	B	1	PD27DEC17	3085.00	3224.00	USD	3,475.00	
25SEP17	B	1	PD27DEC17	3081.00	3224.00	USD	3,575.00	
25SEP17	B	1	PD27DEC17	3078.00	3224.00	USD	3,650.00	
25SEP17	B	1	PD27DEC17	3079.50	3224.00	USD	3,612.50	
25SEP17	S	1	PD27DEC17	3101.50	3224.00	USD	-3,062.50	
25SEP17	S	1	PD27DEC17	3099.00	3224.00	USD	-3,125.00	
25SEP17	S	2	PD27DEC17	3098.00	3224.00	USD	-6,300.00	
25SEP17	S	2	PD27DEC17	3091.50	3224.00	USD	-6,625.00	

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25SEP17	S	1	PD27DEC17	3094.00	3224.00	USD	-3,250.00	
25SEP17	S	1	PD27DEC17	3093.50	3224.00	USD	-3,262.50	
25SEP17	B	1	PD27DEC17	3097.00	3224.00	USD	3,175.00	
25SEP17	S	2	PD27DEC17	3097.50	3224.00	USD	-6,325.00	
25SEP17	B	1	PD27DEC17	3098.50	3224.00	USD	3,137.50	
25SEP17	B	2	PD27DEC17	3101.00	3224.00	USD	6,150.00	
25SEP17	B	1	PD27DEC17	3099.50	3224.00	USD	3,112.50	
25SEP17	B	1	PD27DEC17	3099.00	3224.00	USD	3,125.00	
25SEP17	B	1	PD27DEC17	3105.00	3224.00	USD	2,975.00	
25SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
25SEP17	B	2	PD27DEC17	3106.00	3224.00	USD	5,900.00	
25SEP17	B	1	PD27DEC17	3106.50	3224.00	USD	2,937.50	
25SEP17	B	2	PD27DEC17	3109.50	3224.00	USD	5,725.00	
25SEP17	B	2	PD27DEC17	3108.50	3224.00	USD	5,775.00	
25SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
25SEP17	B	1	PD27DEC17	3113.50	3224.00	USD	2,762.50	
25SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
25SEP17	B	1	PD27DEC17	3114.50	3224.00	USD	2,737.50	
25SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
25SEP17	B	1	PD27DEC17	3113.50	3224.00	USD	2,762.50	
25SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
26SEP17	S	1	PD27DEC17	3138.50	3224.00	USD	-2,137.50	
26SEP17	S	1	PD27DEC17	3131.50	3224.00	USD	-2,312.50	
26SEP17	B	1	PD27DEC17	3136.50	3224.00	USD	2,187.50	
26SEP17	S	1	PD27DEC17	3141.00	3224.00	USD	-2,075.00	
26SEP17	S	1	PD27DEC17	3149.50	3224.00	USD	-1,862.50	
26SEP17	B	1	PD27DEC17	3148.00	3224.00	USD	1,900.00	
26SEP17	B	2	PD27DEC17	3134.50	3224.00	USD	4,475.00	
26SEP17	B	1	PD27DEC17	3131.50	3224.00	USD	2,312.50	
26SEP17	B	2	PD27DEC17	3138.00	3224.00	USD	4,300.00	
26SEP17	S	1	PD27DEC17	3146.50	3224.00	USD	-1,937.50	
26SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
26SEP17	S	1	PD27DEC17	3145.00	3224.00	USD	-1,975.00	
26SEP17	S	1	PD27DEC17	3144.50	3224.00	USD	-1,987.50	
26SEP17	S	1	PD27DEC17	3147.00	3224.00	USD	-1,925.00	
26SEP17	S	1	PD27DEC17	3145.50	3224.00	USD	-1,962.50	
26SEP17	B	1	PD27DEC17	3140.50	3224.00	USD	2,087.50	
26SEP17	B	4	PD27DEC17	3132.50	3224.00	USD	9,150.00	
26SEP17	B	1	PD27DEC17	3129.00	3224.00	USD	2,375.00	
26SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
26SEP17	S	1	PD27DEC17	3145.50	3224.00	USD	-1,962.50	
26SEP17	B	2	PD27DEC17	3122.00	3224.00	USD	5,100.00	
26SEP17	B	1	PD27DEC17	3121.00	3224.00	USD	2,575.00	
26SEP17	S	1	PD27DEC17	3124.50	3224.00	USD	-2,487.50	
26SEP17	S	1	PD27DEC17	3125.50	3224.00	USD	-2,462.50	
26SEP17	S	1	PD27DEC17	3125.00	3224.00	USD	-2,475.00	
26SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	
26SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
26SEP17	B	2	PD27DEC17	3111.00	3224.00	USD	5,650.00	
26SEP17	S	1	PD27DEC17	3116.50	3224.00	USD	-2,687.50	
26SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
26SEP17	S	1	PD27DEC17	3106.00	3224.00	USD	-2,950.00	
26SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
26SEP17	S	2	PD27DEC17	3132.00	3224.00	USD	-4,600.00	
26SEP17	S	2	PD27DEC17	3133.50	3224.00	USD	-4,525.00	
26SEP17	B	1	PD27DEC17	3128.50	3224.00	USD	2,387.50	
26SEP17	B	1	PD27DEC17	3128.00	3224.00	USD	2,400.00	

OPEN POSITION STATEMENT



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SAP083XA

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3125.00	3224.00	USD	2,475.00	
26SEP17	B	1	PD27DEC17	3120.00	3224.00	USD	2,600.00	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	B	3	PD27DEC17	3110.00	3224.00	USD	8,550.00	
26SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
26SEP17	B	1	PD27DEC17	3111.50	3224.00	USD	2,812.50	
26SEP17	S	1	PD27DEC17	3111.50	3224.00	USD	-2,812.50	
26SEP17	S	1	PD27DEC17	3109.50	3224.00	USD	-2,862.50	
26SEP17	S	3	PD27DEC17	3110.00	3224.00	USD	-8,550.00	
26SEP17	B	2	PD27DEC17	3113.50	3224.00	USD	5,525.00	
26SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
27SEP17	S	2	PD27DEC17	3116.50	3224.00	USD	-5,375.00	
27SEP17	B	1	PD27DEC17	3116.50	3224.00	USD	2,687.50	
27SEP17	B	3	PD27DEC17	3117.00	3224.00	USD	8,025.00	
27SEP17	S	1	PD27DEC17	3144.00	3224.00	USD	-2,000.00	
27SEP17	S	3	PD27DEC17	3139.50	3224.00	USD	-6,337.50	
27SEP17	B	1	PD27DEC17	3136.50	3224.00	USD	2,187.50	
27SEP17	B	1	PD27DEC17	3134.50	3224.00	USD	2,237.50	
27SEP17	B	1	PD27DEC17	3131.00	3224.00	USD	2,325.00	
27SEP17	B	2	PD27DEC17	3126.00	3224.00	USD	4,900.00	
27SEP17	B	1	PD27DEC17	3126.50	3224.00	USD	2,437.50	
27SEP17	B	1	PD27DEC17	3124.50	3224.00	USD	2,487.50	
27SEP17	S	1	PD27DEC17	3122.00	3224.00	USD	-2,550.00	
27SEP17	S	2	PD27DEC17	3119.50	3224.00	USD	-5,225.00	
27SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
27SEP17	B	1	PD27DEC17	3110.00	3224.00	USD	2,850.00	
27SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
27SEP17	S	1	PD27DEC17	3102.00	3224.00	USD	-3,050.00	
27SEP17	B	1	PD27DEC17	3105.00	3224.00	USD	2,975.00	
27SEP17	S	1	PD27DEC17	3104.50	3224.00	USD	-2,987.50	
27SEP17	B	1	PD27DEC17	3120.00	3224.00	USD	2,600.00	
27SEP17	B	1	PD27DEC17	3103.00	3224.00	USD	3,025.00	
	NET	+1 AVG BGHT 3114.89	SOLD 3110.87				-4,825.00	0.00
28SEP17	B	1	PD28DEC17	3114.00	3223.50	USD	2,737.50	
28SEP17	B	1	PD28DEC17	3112.00	3223.50	USD	2,787.50	
28SEP17	S	3	PD28DEC17	3106.50	3223.50	USD	-8,775.00	
28SEP17	S	1	PD28DEC17	3105.50	3223.50	USD	-2,950.00	
28SEP17	S	1	PD28DEC17	3104.50	3223.50	USD	-2,975.00	
	NET	-3 AVG BGHT 3113.00	SOLD 3105.90				-9,175.00	0.00
29SEP17	B	1	PD29DEC17	3148.50	3223.00	USD	1,862.50	
29SEP17	B	1	PD29DEC17	3143.00	3223.00	USD	2,000.00	
29SEP17	S	1	PD29DEC17	3161.50	3223.00	USD	-1,537.50	
29SEP17	S	1	PD29DEC17	3162.00	3223.00	USD	-1,525.00	
29SEP17	S	2	PD29DEC17	3150.00	3223.00	USD	-3,650.00	
29SEP17	S	1	PD29DEC17	3149.00	3223.00	USD	-1,850.00	
29SEP17	S	1	PD29DEC17	3148.00	3223.00	USD	-1,875.00	
29SEP17	S	1	PD29DEC17	3163.00	3223.00	USD	-1,500.00	
29SEP17	S	1	PD29DEC17	3160.50	3223.00	USD	-1,562.50	
29SEP17	S	1	PD29DEC17	3168.00	3223.00	USD	-1,375.00	
29SEP17	S	3	PD29DEC17	3167.00	3223.00	USD	-4,200.00	
29SEP17	S	1	PD29DEC17	3164.00	3223.00	USD	-1,475.00	
29SEP17	B	1	PD29DEC17	3152.00	3223.00	USD	1,775.00	
29SEP17	S	2	PD29DEC17	3148.50	3223.00	USD	-3,725.00	
29SEP17	S	1	PD29DEC17	3166.50	3223.00	USD	-1,412.50	
29SEP17	S	1	PD29DEC17	3166.00	3223.00	USD	-1,425.00	
29SEP17	B	2	PD29DEC17	3151.50	3223.00	USD	3,575.00	
29SEP17	B	4	PD29DEC17	3149.15	3223.00	USD	7,385.00	

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29SEP17	S	2	PD29DEC17		3153.85	3223.00	USD	-3,457.50	
29SEP17	S	1	PD29DEC17		3157.00	3223.00	USD	-1,650.00	
	NET	-11 AVG	BGHT 3149.23	SOLD 3158.56				-15,622.50	0.00
03OCT17	S	1	PD03JAN18		3229.50	3222.00	USD	187.50	
03OCT17	S	1	PD03JAN18		3229.00	3222.00	USD	175.00	
03OCT17	S	1	PD03JAN18		3228.50	3222.00	USD	162.50	
03OCT17	S	2	PD03JAN18		3227.50	3222.00	USD	275.00	
03OCT17	S	1	PD03JAN18		3228.00	3222.00	USD	150.00	
03OCT17	S	1	PD03JAN18		3224.00	3222.00	USD	50.00	
03OCT17	S	2	PD03JAN18		3235.00	3222.00	USD	650.00	
03OCT17	S	1	PD03JAN18		3234.50	3222.00	USD	312.50	
03OCT17	S	1	PD03JAN18		3240.50	3222.00	USD	462.50	
03OCT17	S	2	PD03JAN18		3237.00	3222.00	USD	750.00	
03OCT17	S	1	PD03JAN18		3241.50	3222.00	USD	487.50	
03OCT17	S	2	PD03JAN18		3241.00	3222.00	USD	950.00	
03OCT17	B	1	PD03JAN18		3241.00	3222.00	USD	-475.00	
03OCT17	S	1	PD03JAN18		3243.00	3222.00	USD	525.00	
03OCT17	S	1	PD03JAN18		3246.50	3222.00	USD	612.50	
03OCT17	S	1	PD03JAN18		3253.00	3222.00	USD	775.00	
03OCT17	B	1	PD03JAN18		3255.00	3222.00	USD	-825.00	
03OCT17	B	1	PD03JAN18		3261.00	3222.00	USD	-975.00	
03OCT17	S	1	PD03JAN18		3261.00	3222.00	USD	975.00	
03OCT17	B	1	PD03JAN18		3258.50	3222.00	USD	-912.50	
03OCT17	B	1	PD03JAN18		3259.50	3222.00	USD	-937.50	
03OCT17	S	1	PD03JAN18		3268.00	3222.00	USD	1,150.00	
03OCT17	S	1	PD03JAN18		3225.50	3222.00	USD	87.50	
03OCT17	S	1	PD03JAN18		3217.00	3222.00	USD	-125.00	
03OCT17	S	1	PD03JAN18		3241.50	3222.00	USD	487.50	
03OCT17	S	1	PD03JAN18		3244.50	3222.00	USD	562.50	
03OCT17	S	1	PD03JAN18		3254.50	3222.00	USD	812.50	
03OCT17	B	1	PD03JAN18		3286.50	3222.00	USD	-1,612.50	
03OCT17	B	1	PD03JAN18		3289.50	3222.00	USD	-1,687.50	
03OCT17	B	1	PD03JAN18		3288.00	3222.00	USD	-1,650.00	
03OCT17	B	1	PD03JAN18		3286.00	3222.00	USD	-1,600.00	
03OCT17	B	1	PD03JAN18		3274.00	3222.00	USD	-1,300.00	
03OCT17	B	1	PD03JAN18		3287.00	3222.00	USD	-1,625.00	
03OCT17	B	1	PD03JAN18		3287.50	3222.00	USD	-1,637.50	
03OCT17	B	1	PD03JAN18		3291.00	3222.00	USD	-1,725.00	
03OCT17	B	1	PD03JAN18		3289.00	3222.00	USD	-1,675.00	
03OCT17	B	1	PD03JAN18		3274.50	3222.00	USD	-1,312.50	
03OCT17	B	3	PD03JAN18		3262.00	3222.00	USD	-3,000.00	
03OCT17	B	1	PD03JAN18		3264.50	3222.00	USD	-1,062.50	
03OCT17	B	3	PD03JAN18		3264.00	3222.00	USD	-3,150.00	
03OCT17	B	2	PD03JAN18		3270.00	3222.00	USD	-2,400.00	
03OCT17	B	1	PD03JAN18		3278.00	3222.00	USD	-1,400.00	
03OCT17	B	10	PD03JAN18		3264.20	3222.00	USD	-10,550.00	
03OCT17	B	1	PD03JAN18		3270.50	3222.00	USD	-1,212.50	
	NET	+10 AVG	BGHT 3269.47	SOLD 3238.12				-32,250.00	0.00
04OCT17	S	1	PD04JAN18		3283.00	3221.50	USD	1,537.50	
04OCT17	S	1	PD04JAN18		3284.00	3221.50	USD	1,562.50	
04OCT17	B	1	PD04JAN18		3281.50	3221.50	USD	-1,500.00	
04OCT17	S	1	PD04JAN18		3295.00	3221.50	USD	1,837.50	
04OCT17	S	1	PD04JAN18		3295.50	3221.50	USD	1,850.00	
04OCT17	S	1	PD04JAN18		3294.00	3221.50	USD	1,812.50	
04OCT17	S	1	PD04JAN18		3291.00	3221.50	USD	1,737.50	
04OCT17	S	1	PD04JAN18		3298.00	3221.50	USD	1,912.50	
04OCT17	B	1	PD04JAN18		3278.00	3221.50	USD	-1,412.50	

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04OCT17	B	3	PD04JAN18		3280.50	3221.50	USD	-4,425.00	
04OCT17	B	1	PD04JAN18		3281.00	3221.50	USD	-1,487.50	
04OCT17	B	4	PD04JAN18		3280.00	3221.50	USD	-5,850.00	
04OCT17	B	1	PD04JAN18		3279.00	3221.50	USD	-1,437.50	
04OCT17	B	1	PD04JAN18		3279.50	3221.50	USD	-1,450.00	
	NET	+5 AVG	BGHT 3280.04	SOLD 3291.50				-5,312.50	0.00
05OCT17	S	3	PD05JAN18		3282.50	3221.00	USD	4,612.50	
05OCT17	B	1	PD05JAN18		3280.50	3221.00	USD	-1,487.50	
05OCT17	S	1	PD05JAN18		3287.00	3221.00	USD	1,650.00	
05OCT17	B	1	PD05JAN18		3287.00	3221.00	USD	-1,650.00	
05OCT17	S	1	PD05JAN18		3289.00	3221.00	USD	1,700.00	
05OCT17	S	1	PD05JAN18		3273.50	3221.00	USD	1,312.50	
05OCT17	S	1	PD05JAN18		3274.50	3221.00	USD	1,337.50	
05OCT17	S	1	PD05JAN18		3283.50	3221.00	USD	1,562.50	
05OCT17	B	1	PD05JAN18		3294.00	3221.00	USD	-1,825.00	
05OCT17	B	1	PD05JAN18		3304.00	3221.00	USD	-2,075.00	
05OCT17	B	1	PD05JAN18		3303.50	3221.00	USD	-2,062.50	
05OCT17	B	1	PD05JAN18		3303.00	3221.00	USD	-2,050.00	
05OCT17	S	1	PD05JAN18		3300.50	3221.00	USD	1,987.50	
05OCT17	B	1	PD05JAN18		3293.50	3221.00	USD	-1,812.50	
05OCT17	B	1	PD05JAN18		3296.50	3221.00	USD	-1,887.50	
05OCT17	B	1	PD05JAN18		3289.00	3221.00	USD	-1,700.00	
05OCT17	S	1	PD05JAN18		3297.00	3221.00	USD	1,900.00	
05OCT17	B	1	PD05JAN18		3299.00	3221.00	USD	-1,950.00	
06OCT17	S	1	PD05JAN18		3287.50	3221.00	USD	1,662.50	
06OCT17	S	1	PD05JAN18		3286.00	3221.00	USD	1,625.00	
06OCT17	S	1	PD05JAN18		3270.00	3221.00	USD	1,225.00	
06OCT17	B	1	PD05JAN18		3254.50	3221.00	USD	-837.50	
06OCT17	S	1	PD05JAN18		3269.50	3221.00	USD	1,212.50	
06OCT17	B	1	PD05JAN18		3255.00	3221.00	USD	-850.00	
06OCT17	B	1	PD05JAN18		3240.50	3221.00	USD	-487.50	
06OCT17	B	1	PD05JAN18		3239.00	3221.00	USD	-450.00	
06OCT17	S	2	PD05JAN18		3234.80	3221.00	USD	690.00	
06OCT17	B	1	PD05JAN18		3238.50	3221.00	USD	-437.50	
	NET	-1 AVG	BGHT 3278.50	SOLD 3277.19				915.00	0.00
09OCT17	B	1	PD09JAN18		3253.50	3220.00	USD	-837.50	
09OCT17	B	1	PD09JAN18		3251.00	3220.00	USD	-775.00	
09OCT17	S	1	PD09JAN18		3270.00	3220.00	USD	1,250.00	
09OCT17	S	2	PD09JAN18		3274.00	3220.00	USD	2,700.00	
09OCT17	B	2	PD09JAN18		3270.00	3220.00	USD	-2,500.00	
09OCT17	B	1	PD09JAN18		3254.50	3220.00	USD	-862.50	
09OCT17	S	1	PD09JAN18		3268.00	3220.00	USD	1,200.00	
09OCT17	B	1	PD09JAN18		3248.00	3220.00	USD	-700.00	
09OCT17	B	1	PD09JAN18		3247.50	3220.00	USD	-687.50	
09OCT17	S	1	PD09JAN18		3247.50	3220.00	USD	687.50	
09OCT17	S	2	PD09JAN18		3247.00	3220.00	USD	1,350.00	
09OCT17	S	1	PD09JAN18		3243.85	3220.00	USD	596.25	
09OCT17	B	1	PD09JAN18		3256.00	3220.00	USD	-900.00	
09OCT17	B	1	PD09JAN18		3252.00	3220.00	USD	-800.00	
09OCT17	B	1	PD09JAN18		3253.00	3220.00	USD	-825.00	
09OCT17	B	1	PD09JAN18		3255.50	3220.00	USD	-887.50	
09OCT17	B	1	PD09JAN18		3254.00	3220.00	USD	-850.00	
09OCT17	S	7	PD09JAN18		3232.80	3220.00	USD	2,240.00	
09OCT17	B	1	PD09JAN18		3258.00	3220.00	USD	-950.00	
09OCT17	B	1	PD09JAN18		3253.50	3220.00	USD	-837.50	
09OCT17	B	1	PD09JAN18		3255.00	3220.00	USD	-875.00	
	NET	0 AVG	BGHT 3255.43	SOLD 3246.73				-3,263.75	0.00

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10OCT17	B	1	PD10JAN18	3245.00	3219.50	USD	-637.50	
10OCT17	B	1	PD10JAN18	3231.00	3219.50	USD	-287.50	
10OCT17	B	1	PD10JAN18	3221.00	3219.50	USD	-37.50	
10OCT17	B	1	PD10JAN18	3222.00	3219.50	USD	-62.50	
10OCT17	B	1	PD10JAN18	3220.00	3219.50	USD	-12.50	
10OCT17	B	1	PD10JAN18	3211.50	3219.50	USD	200.00	
10OCT17	S	1	PD10JAN18	3220.50	3219.50	USD	25.00	
10OCT17	S	1	PD10JAN18	3221.00	3219.50	USD	37.50	
10OCT17	S	1	PD10JAN18	3223.50	3219.50	USD	100.00	
10OCT17	S	1	PD10JAN18	3250.00	3219.50	USD	762.50	
10OCT17	S	1	PD10JAN18	3249.00	3219.50	USD	737.50	
10OCT17	S	1	PD10JAN18	3238.50	3219.50	USD	475.00	
10OCT17	S	1	PD10JAN18	3231.00	3219.50	USD	287.50	
10OCT17	S	1	PD10JAN18	3233.00	3219.50	USD	337.50	
10OCT17	B	1	PD10JAN18	3247.00	3219.50	USD	-687.50	
10OCT17	S	1	PD10JAN18	3253.50	3219.50	USD	850.00	
10OCT17	B	1	PD10JAN18	3244.00	3219.50	USD	-612.50	
10OCT17	S	1	PD10JAN18	3277.50	3219.50	USD	1,450.00	
10OCT17	S	3	PD10JAN18	3277.00	3219.50	USD	4,312.50	
10OCT17	S	1	PD10JAN18	3276.50	3219.50	USD	1,425.00	
10OCT17	S	1	PD10JAN18	3280.00	3219.50	USD	1,512.50	
10OCT17	B	3	PD10JAN18	3290.00	3219.50	USD	-5,287.50	
10OCT17	B	1	PD10JAN18	3292.00	3219.50	USD	-1,812.50	
10OCT17	S	2	PD10JAN18	3278.00	3219.50	USD	2,925.00	
10OCT17	S	1	PD10JAN18	3276.50	3219.50	USD	1,425.00	
10OCT17	S	1	PD10JAN18	3281.00	3219.50	USD	1,537.50	
	NET	-7 AVG BGHT 3250.29	SOLD 3257.82				8,962.50	0.00
11OCT17	B	1	PD11JAN18	3252.50	3219.00	USD	-837.50	
11OCT17	B	3	PD11JAN18	3252.00	3219.00	USD	-2,475.00	
11OCT17	B	1	PD11JAN18	3241.00	3219.00	USD	-550.00	
11OCT17	B	1	PD11JAN18	3242.00	3219.00	USD	-575.00	
11OCT17	B	1	PD11JAN18	3243.00	3219.00	USD	-600.00	
11OCT17	B	1	PD11JAN18	3240.50	3219.00	USD	-537.50	
11OCT17	B	1	PD11JAN18	3253.00	3219.00	USD	-850.00	
11OCT17	S	1	PD11JAN18	3253.00	3219.00	USD	850.00	
11OCT17	S	1	PD11JAN18	3232.00	3219.00	USD	325.00	
11OCT17	S	1	PD11JAN18	3224.00	3219.00	USD	125.00	
11OCT17	S	1	PD11JAN18	3232.00	3219.00	USD	325.00	
11OCT17	S	1	PD11JAN18	3235.50	3219.00	USD	412.50	
11OCT17	S	1	PD11JAN18	3223.00	3219.00	USD	100.00	
11OCT17	B	1	PD11JAN18	3215.00	3219.00	USD	100.00	
11OCT17	B	1	PD11JAN18	3213.50	3219.00	USD	137.50	
11OCT17	B	1	PD11JAN18	3223.00	3219.00	USD	-100.00	
11OCT17	B	2	PD11JAN18	3222.50	3219.00	USD	-175.00	
11OCT17	B	2	PD11JAN18	3221.50	3219.00	USD	-125.00	
11OCT17	S	1	PD11JAN18	3222.50	3219.00	USD	87.50	
11OCT17	S	1	PD11JAN18	3217.50	3219.00	USD	-37.50	
	NET	+8 AVG BGHT 3235.47	SOLD 3229.94				-4,400.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-176,902.75
LME Copper Grade A 25	FUTURES	3,025.00
LME Lead 25 tonnes. This is an	FUTURES	752,406.25
LME Nickel 6 tonnes. This is	FUTURES	-85,970.22
LME Zinc 25 tonnes. This is	FUTURES	-241,058.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -20,165.50	-20,165.50		
COPL - Futures	USD 3,025.00	3,025.00		
LEAD - Futures	USD -81,163.75	-81,163.75		
NKL - Futures	USD -19,433.88	-19,433.88		
ZINC - Futures	USD -242,522.25	-242,522.25	-360,260.38	-360,260.38

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	218,101.98	218,101.98
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	218,101.98	218,101.98
Initial Margins	-462,083.38	-462,083.38
Excess/(Shortage)	-243,981.40	-243,981.40
FOR INFORMATION ONLY		
Forward Unrealised P&L	-360,260.38	-360,260.38
Net Liquidating Value	-142,158.40	-142,158.40

OPEN POSITION STATEMENT



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SAP083YL

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
04AUG17	B 1 PD03NOV17	1905.50	2111.44	USD	5,148.50	
04AUG17	S 1 PD03NOV17	1913.50	2111.44	USD	-4,948.50	
	NET 0AVG BGHT 1905.50 SOLD 1913.50				200.00	0.00
09AUG17	B 1 PD09NOV17	2036.00	2113.46	USD	1,936.50	
09AUG17	S 1 PD09NOV17	2020.00	2113.46	USD	-2,336.50	
	NET 0AVG BGHT 2036.00 SOLD 2020.00				-400.00	0.00
21SEP17	B 1 PD21DEC17	2167.50	2126.75	USD	-1,018.75	
21SEP17	S 1 PD21DEC17	2171.50	2126.75	USD	1,118.75	
	NET 0AVG BGHT 2167.50 SOLD 2171.50				100.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	B 1 PD24NOV17	6563.50	6780.52	USD	5,425.50	
23AUG17	S 1 PD24NOV17	6566.00	6780.52	USD	-5,363.00	
	NET 0AVG BGHT 6563.50 SOLD 6566.00				62.50	0.00
31AUG17	S 1 PD30NOV17	6828.00	6783.72	USD	1,107.00	
31AUG17	B 1 PD30NOV17	6827.00	6783.72	USD	-1,082.00	
	NET 0AVG BGHT 6827.00 SOLD 6828.00				25.00	0.00
01SEP17	B 1 PD01DEC17	6824.00	6784.25	USD	-993.75	
01SEP17	S 1 PD01DEC17	6823.00	6784.25	USD	968.75	
	NET 0AVG BGHT 6824.00 SOLD 6823.00				-25.00	0.00
13SEP17	B 1 PD13DEC17	6593.00	6790.10	USD	4,927.50	
13SEP17	S 1 PD13DEC17	6594.00	6790.10	USD	-4,902.50	
13SEP17	S 1 PD13DEC17	6596.50	6790.10	USD	-4,840.00	
13SEP17	B 1 PD13DEC17	6596.00	6790.10	USD	4,852.50	
	NET 0AVG BGHT 6594.50 SOLD 6595.25				37.50	0.00
15SEP17	B 1 PD15DEC17	6506.00	6791.06	USD	7,126.50	
15SEP17	S 1 PD15DEC17	6495.00	6791.06	USD	-7,401.50	
	NET 0AVG BGHT 6506.00 SOLD 6495.00				-275.00	0.00
18SEP17	B 1 PD18DEC17	6557.00	6792.50	USD	5,887.50	
18SEP17	S 1 PD18DEC17	6567.00	6792.50	USD	-5,637.50	
	NET 0AVG BGHT 6557.00 SOLD 6567.00				250.00	0.00
27SEP17	B 1 PD27DEC17	6467.50	6793.00	USD	8,137.50	
27SEP17	S 1 PD27DEC17	6470.50	6793.00	USD	-8,062.50	
	NET 0AVG BGHT 6467.50 SOLD 6470.50				75.00	0.00
29SEP17	B 1 PD29DEC17	6535.50	6793.93	USD	6,460.75	
	NET +1AVG BGHT 6535.50				6,460.75	0.00
10OCT17	S 1 PD10JAN18	6709.50	6799.53	USD	-2,250.75	
	NET -1AVG SOLD 6709.50				-2,250.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	2317.00	2552.35	USD	5,883.75	
12JUL17	S 1 PD12OCT17	2320.50	2552.35	USD	-5,796.25	
	NET 0AVG BGHT 2317.00 SOLD 2320.50				87.50	0.00
13JUL17	B 1 PD13OCT17	2308.50	2552.75	USD	6,106.25	
14JUL17	S 1 PD13OCT17	2282.50	2552.75	USD	-6,756.25	
14JUL17	S 1 PD13OCT17	2283.00	2552.75	USD	-6,743.75	
19SEP17	B 1 PD13OCT17	2403.86	2552.75	USD	3,722.25	
	NET 0AVG BGHT 2356.18 SOLD 2282.75				-3,671.50	0.00
17JUL17	B 1 PD17OCT17	2328.00	2554.35	USD	5,658.75	
19SEP17	S 1 PD17OCT17	2404.73	2554.35	USD	-3,740.50	
	NET 0AVG BGHT 2328.00 SOLD 2404.73				1,918.25	0.00
18JUL17	S 1 PD18OCT17	2285.50	2554.75	USD	-6,731.25	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 1 PD18OCT17	2272.50	2554.75	USD	7,056.25	
	NET 0 AVG BGHT 2272.50 SOLD 2285.50				325.00	0.00
20JUL17	S 1 PD20OCT17	2215.00	2555.04	USD	-8,501.00	
19SEP17	B 1 PD20OCT17	2405.75	2555.04	USD	3,732.25	
	NET 0 AVG BGHT 2405.75 SOLD 2215.00				-4,768.75	0.00
24JUL17	B 1 PD24OCT17	2248.50	2555.61	USD	7,677.75	
24JUL17	S 1 PD24OCT17	2253.00	2555.61	USD	-7,565.25	
	NET 0 AVG BGHT 2248.50 SOLD 2253.00				112.50	0.00
25JUL17	B 1 PD25OCT17	2276.00	2555.75	USD	6,993.75	
25JUL17	S 1 PD25OCT17	2314.50	2555.75	USD	-6,031.25	
	NET 0 AVG BGHT 2276.00 SOLD 2314.50				962.50	0.00
26JUL17	S 1 PD26OCT17	2322.00	2555.89	USD	-5,847.25	
19SEP17	B 1 PD26OCT17	2407.41	2555.89	USD	3,712.00	
	NET 0 AVG BGHT 2407.41 SOLD 2322.00				-2,135.25	0.00
07AUG17	B 1 PD07NOV17	2386.00	2557.61	USD	4,290.25	
19SEP17	S 1 PD07NOV17	2410.54	2557.61	USD	-3,676.75	
	NET 0 AVG BGHT 2386.00 SOLD 2410.54				613.50	0.00
09AUG17	B 1 PD09NOV17	2414.00	2557.89	USD	3,597.25	
19SEP17	S 1 PD09NOV17	2411.09	2557.89	USD	-3,670.00	
	NET 0 AVG BGHT 2414.00 SOLD 2411.09				-72.75	0.00
18AUG17	S 1 PD17NOV17	2430.00	2558.75	USD	-3,218.75	
18AUG17	S 1 PD17NOV17	2372.00	2558.75	USD	-4,668.75	
19SEP17	B 2 PD17NOV17	2413.45	2558.75	USD	7,265.00	
	NET 0 AVG BGHT 2413.45 SOLD 2401.00				-622.50	0.00
22AUG17	B 1 PD22NOV17	2333.00	2559.46	USD	5,661.50	
22AUG17	S 1 PD22NOV17	2359.00	2559.46	USD	-5,011.50	
	NET 0 AVG BGHT 2333.00 SOLD 2359.00				650.00	0.00
31AUG17	B 1 PD30NOV17	2392.50	2560.30	USD	4,195.00	
31AUG17	S 1 PD30NOV17	2385.00	2560.30	USD	-4,382.50	
	NET 0 AVG BGHT 2392.50 SOLD 2385.00				-187.50	0.00
11SEP17	S 1 PD11DEC17	2287.50	2562.25	USD	-6,868.75	
11SEP17	B 1 PD11DEC17	2292.50	2562.25	USD	6,743.75	
	NET 0 AVG BGHT 2292.50 SOLD 2287.50				-125.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
24AUG17	B 1 PD24NOV17	11735.00	11098.94	USD	-3,816.36	
24AUG17	S 1 PD24NOV17	11750.00	11098.94	USD	3,906.36	
	NET 0 AVG BGHT 11735.00 SOLD 11750.00				90.00	0.00
29AUG17	B 2 PD29NOV17	11705.00	11102.80	USD	-7,226.40	
29AUG17	S 1 PD29NOV17	11685.00	11102.80	USD	3,493.20	
29AUG17	S 1 PD29NOV17	11695.00	11102.80	USD	3,553.20	
29AUG17	S 1 PD29NOV17	11775.00	11102.80	USD	4,033.20	
29AUG17	B 1 PD29NOV17	11790.00	11102.80	USD	-4,123.20	
	NET 0 AVG BGHT 11733.33 SOLD 11718.33				-270.00	0.00
30AUG17	S 1 PD30NOV17	11720.00	11103.57	USD	3,698.58	
30AUG17	B 1 PD30NOV17	11710.00	11103.57	USD	-3,638.58	
	NET 0 AVG BGHT 11710.00 SOLD 11720.00				60.00	0.00
07SEP17	S 1 PD07DEC17	12145.00	11108.97	USD	6,216.18	
07SEP17	B 1 PD07DEC17	12155.00	11108.97	USD	-6,276.18	
	NET 0 AVG BGHT 12155.00 SOLD 12145.00				-60.00	0.00
04OCT17	B 1 PD04JAN18	10670.00	11129.91	USD	2,759.46	
04OCT17	S 1 PD04JAN18	10675.00	11129.91	USD	-2,729.46	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 10670.00 SOLD 10675.00				30.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	20820.00	20870.00	USD	250.00	
11OCT17	S 1 PD11JAN18	20800.00	20870.00	USD	-350.00	
	NET 0AVG BGHT 20820.00 SOLD 20800.00				-100.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2830.00	3299.33	USD	-11,733.25	
12JUL17	B 1 PD12OCT17	2825.00	3299.33	USD	11,858.25	
	NET 0AVG BGHT 2825.00 SOLD 2830.00				125.00	0.00
13JUL17	S 1 PD13OCT17	2825.00	3295.00	USD	-11,750.00	
14JUL17	B 1 PD13OCT17	2785.00	3295.00	USD	12,750.00	
14JUL17	B 1 PD13OCT17	2764.50	3295.00	USD	13,262.50	
19SEP17	S 1 PD13OCT17	3111.37	3295.00	USD	-4,590.75	
	NET 0AVG BGHT 2774.75 SOLD 2968.19				9,671.75	0.00
17JUL17	S 1 PD17OCT17	2838.00	3286.33	USD	-11,208.25	
19SEP17	B 1 PD17OCT17	3110.16	3286.33	USD	4,404.25	
	NET 0AVG BGHT 3110.16 SOLD 2838.00				-6,804.00	0.00
18JUL17	B 1 PD18OCT17	2786.00	3282.00	USD	12,400.00	
18JUL17	S 1 PD18OCT17	2786.50	3282.00	USD	-12,387.50	
	NET 0AVG BGHT 2786.00 SOLD 2786.50				12.50	0.00
20JUL17	B 1 PD20OCT17	2727.00	3273.00	USD	13,650.00	
19SEP17	S 1 PD20OCT17	3106.75	3273.00	USD	-4,156.25	
	NET 0AVG BGHT 2727.00 SOLD 3106.75				9,493.75	0.00
24JUL17	S 1 PD24OCT17	2780.50	3267.00	USD	-12,162.50	
24JUL17	B 1 PD24OCT17	2775.50	3267.00	USD	12,287.50	
	NET 0AVG BGHT 2775.50 SOLD 2780.50				125.00	0.00
25JUL17	S 1 PD25OCT17	2807.00	3264.00	USD	-11,425.00	
25JUL17	B 1 PD25OCT17	2839.50	3264.00	USD	10,612.50	
	NET 0AVG BGHT 2839.50 SOLD 2807.00				-812.50	0.00
26JUL17	B 1 PD26OCT17	2814.00	3262.33	USD	11,208.25	
19SEP17	S 1 PD26OCT17	3106.53	3262.33	USD	-3,895.00	
	NET 0AVG BGHT 2814.00 SOLD 3106.53				7,313.25	0.00
04AUG17	S 1 PD03NOV17	2824.50	3252.89	USD	-10,709.75	
04AUG17	B 1 PD03NOV17	2815.50	3252.89	USD	10,934.75	
	NET 0AVG BGHT 2815.50 SOLD 2824.50				225.00	0.00
07AUG17	S 1 PD07NOV17	2884.50	3250.67	USD	-9,154.25	
19SEP17	B 1 PD07NOV17	3106.28	3250.67	USD	3,609.75	
	NET 0AVG BGHT 3106.28 SOLD 2884.50				-5,544.50	0.00
09AUG17	S 1 PD09NOV17	2972.00	3248.44	USD	-6,911.00	
09AUG17	S 1 PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	B 1 PD09NOV17	2935.00	3248.44	USD	7,836.00	
19SEP17	B 1 PD09NOV17	3106.17	3248.44	USD	3,556.75	
	NET 0AVG BGHT 3020.59 SOLD 2973.00				-2,379.25	0.00
18AUG17	B 1 PD17NOV17	3118.00	3239.00	USD	3,025.00	
18AUG17	B 1 PD17NOV17	3124.50	3239.00	USD	2,862.50	
19SEP17	S 2 PD17NOV17	3103.53	3239.00	USD	-6,773.50	
	NET 0AVG BGHT 3121.25 SOLD 3103.53				-886.00	0.00
22AUG17	S 1 PD22NOV17	3112.50	3236.00	USD	-3,087.50	
22AUG17	B 1 PD22NOV17	3159.50	3236.00	USD	1,912.50	
	NET 0AVG BGHT 3159.50 SOLD 3112.50				-1,175.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	2	PD29NOV17	3085.00	3234.00	USD	7,450.00	
29AUG17	S	2	PD29NOV17	3103.00	3234.00	USD	-6,550.00	
	NET	0 AVG	BGHT 3085.00				900.00	0.00
31AUG17	S	1	PD30NOV17	3140.50	3233.67	USD	-2,329.25	
31AUG17	B	1	PD30NOV17	3136.00	3233.67	USD	2,441.75	
	NET	0 AVG	BGHT 3136.00				112.50	0.00
26SEP17	B	1	PD27DEC17	3138.00	3224.00	USD	2,150.00	
26SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
	NET	0 AVG	BGHT 3138.00				100.00	0.00
29SEP17	S	2	PD29DEC17	3152.00	3223.00	USD	-3,550.00	
	NET	-2 AVG	SOLD 3152.00				-3,550.00	0.00
09OCT17	S	1	PD09JAN18	3273.50	3220.00	USD	1,337.50	
09OCT17	B	1	PD09JAN18	3272.50	3220.00	USD	-1,312.50	
	NET	0 AVG	BGHT 3272.50				25.00	0.00
10OCT17	B	2	PD10JAN18	3243.50	3219.50	USD	-1,200.00	
	NET	+2 AVG	BGHT 3243.50				-1,200.00	0.00
11OCT17	S	1	PD11JAN18	3242.50	3219.00	USD	587.50	
11OCT17	B	1	PD11JAN18	3238.00	3219.00	USD	-475.00	
	NET	0 AVG	BGHT 3238.00				112.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-100.00
LME Copper Grade A 25	FUTURES	4,500.00
LME Lead 25 tonnes. This is an	FUTURES	-6,914.00
LME Nickel 6 tonnes. This is	FUTURES	-150.00
LME Tin 5 tonnes. This is an	FUTURES	-100.00
LME Zinc 25 tonnes. This is	FUTURES	6,040.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-100.00	-100.00		
COPL	- Futures	USD	4,360.00	4,360.00		
LEAD	- Futures	USD	-6,914.00	-6,914.00		
NKL	- Futures	USD	-150.00	-150.00		
TIN	- Futures	USD	-100.00	-100.00		
ZINC	- Futures	USD	5,865.00	5,865.00	2,961.00	2,961.00

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	8,491.83	8,491.83
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	8,491.83	8,491.83
Initial Margins	0.00	0.00
Excess/(Shortage)	8,491.83	8,491.83
FOR INFORMATION ONLY		
Forward Unrealised P&L	2,961.00	2,961.00
Net Liquidating Value	11,452.83	11,452.83

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
01AUG17	B	1	PD01NOV17	1907.50	2110.81	USD	5,082.75	
01AUG17	B	1	PD01NOV17	1910.00	2110.81	USD	5,020.25	
19SEP17	S	2	PD01NOV17	2109.68	2110.81	USD	-56.50	
	NET	0 AVG	BGHT 1908.75	SOLD 2109.68			10,046.50	0.00
02AUG17	S	1	PD02NOV17	1910.50	2111.13	USD	-5,015.75	
02AUG17	S	1	PD02NOV17	1912.00	2111.13	USD	-4,978.25	
02AUG17	B	1	PD02NOV17	1926.50	2111.13	USD	4,615.75	
19SEP17	B	1	PD02NOV17	2110.16	2111.13	USD	24.25	
	NET	0 AVG	BGHT 2018.33	SOLD 1911.25			-5,354.00	0.00
03AUG17	S	1	PD03NOV17	1930.00	2111.44	USD	-4,536.00	
04AUG17	B	1	PD03NOV17	1906.00	2111.44	USD	5,136.00	
04AUG17	S	1	PD03NOV17	1914.00	2111.44	USD	-4,936.00	
19SEP17	B	1	PD03NOV17	2110.45	2111.44	USD	24.75	
	NET	0 AVG	BGHT 2008.23	SOLD 1922.00			-4,311.25	0.00
07AUG17	B	2	PD07NOV17	1953.00	2112.69	USD	7,984.50	
07AUG17	S	1	PD07NOV17	1957.00	2112.69	USD	-3,892.25	
07AUG17	S	1	PD07NOV17	1958.00	2112.69	USD	-3,867.25	
	NET	0 AVG	BGHT 1953.00	SOLD 1957.50			225.00	0.00
09AUG17	B	1	PD09NOV17	2040.00	2113.46	USD	1,836.50	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
	NET	0 AVG	BGHT 2040.00	SOLD 2033.50			-162.50	0.00
25AUG17	S	1	PD24NOV17	2098.50	2119.03	USD	-513.25	
25AUG17	B	1	PD24NOV17	2090.50	2119.03	USD	713.25	
	NET	0 AVG	BGHT 2090.50	SOLD 2098.50			200.00	0.00
18SEP17	B	1	PD18DEC17	2091.00	2126.44	USD	886.00	
	NET	+1 AVG	BGHT 2091.00				886.00	0.00
19SEP17	S	1	PD19DEC17	2129.00	2126.75	USD	56.25	
	NET	-1 AVG	SOLD 2129.00				56.25	0.00
28SEP17	B	1	PD28DEC17	2136.00	2127.39	USD	-215.25	
28SEP17	S	1	PD28DEC17	2136.00	2127.39	USD	215.25	
	NET	0 AVG	BGHT 2136.00	SOLD 2136.00			0.00	0.00
10OCT17	B	1	PD10JAN18	2162.50	2129.81	USD	-817.25	
	NET	+1 AVG	BGHT 2162.50				-817.25	0.00
11OCT17	S	1	PD11JAN18	2155.50	2130.00	USD	637.50	
	NET	-1 AVG	SOLD 2155.50				637.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD25OCT17	6228.00	6765.68	USD	-13,442.00	
25JUL17	B	1	PD25OCT17	6223.00	6765.68	USD	13,567.00	
	NET	0 AVG	BGHT 6223.00	SOLD 6228.00			125.00	0.00
08AUG17	B	1	PD08NOV17	6492.00	6775.65	USD	7,091.25	
08AUG17	S	1	PD08NOV17	6489.00	6775.65	USD	-7,166.25	
	NET	0 AVG	BGHT 6492.00	SOLD 6489.00			-75.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	2334.50	2556.75	USD	-5,556.25	
01AUG17	S	1	PD01NOV17	2337.50	2556.75	USD	-5,481.25	
19SEP17	B	2	PD01NOV17	2408.88	2556.75	USD	7,393.50	
	NET	0 AVG	BGHT 2408.88	SOLD 2336.00			-3,644.00	0.00
02AUG17	B	1	PD02NOV17	2323.00	2556.89	USD	5,847.25	
02AUG17	B	1	PD02NOV17	2324.50	2556.89	USD	5,809.75	
19SEP17	S	2	PD02NOV17	2408.95	2556.89	USD	-7,397.00	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2323.75	SOLD 2408.95				4,260.00	0.00
07AUG17	B	1 PD07NOV17		2380.50	2557.61	USD	4,427.75	
19SEP17	S	1 PD07NOV17		2410.54	2557.61	USD	-3,676.75	
	NET	0 AVG BGHT 2380.50	SOLD 2410.54				751.00	0.00
08AUG17	B	1 PD08NOV17		2353.00	2557.75	USD	5,118.75	
08AUG17	S	1 PD08NOV17		2384.50	2557.75	USD	-4,331.25	
08AUG17	B	1 PD08NOV17		2383.00	2557.75	USD	4,368.75	
19SEP17	S	1 PD08NOV17		2410.81	2557.75	USD	-3,673.50	
	NET	0 AVG BGHT 2368.00	SOLD 2397.66				1,482.75	0.00
09AUG17	S	1 PD09NOV17		2392.00	2557.89	USD	-4,147.25	
09AUG17	B	1 PD09NOV17		2352.00	2557.89	USD	5,147.25	
09AUG17	S	1 PD09NOV17		2354.00	2557.89	USD	-5,097.25	
19SEP17	B	1 PD09NOV17		2411.29	2557.89	USD	3,665.00	
	NET	0 AVG BGHT 2381.65	SOLD 2373.00				-432.25	0.00
17AUG17	S	1 PD17NOV17		2405.50	2558.75	USD	-3,831.25	
19SEP17	B	1 PD17NOV17		2413.45	2558.75	USD	3,632.50	
	NET	0 AVG BGHT 2413.45	SOLD 2405.50				-198.75	0.00
21SEP17	S	1 PD21DEC17		2502.00	2563.50	USD	-1,537.50	
21SEP17	B	1 PD21DEC17		2501.00	2563.50	USD	1,562.50	
21SEP17	B	1 PD21DEC17		2506.00	2563.50	USD	1,437.50	
21SEP17	S	1 PD21DEC17		2510.00	2563.50	USD	-1,337.50	
	NET	0 AVG BGHT 2503.50	SOLD 2506.00				125.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1 PD13OCT17		9550.00	11061.00	USD	-9,066.00	
19SEP17	B	1 PD13OCT17		11085.80	11061.00	USD	-148.80	
	NET	0 AVG BGHT 11085.80	SOLD 9550.00				-9,214.80	0.00
17JUL17	B	1 PD17OCT17		9615.00	11064.20	USD	8,695.20	
19SEP17	S	1 PD17OCT17		11087.76	11064.20	USD	141.36	
	NET	0 AVG BGHT 9615.00	SOLD 11087.76				8,836.56	0.00
20JUL17	B	1 PD20OCT17		9520.00	11066.93	USD	9,281.58	
20JUL17	S	1 PD20OCT17		9470.00	11066.93	USD	-9,581.58	
21JUL17	B	1 PD20OCT17		9515.00	11066.93	USD	9,311.58	
21JUL17	B	1 PD20OCT17		9530.00	11066.93	USD	9,221.58	
21JUL17	S	1 PD20OCT17		9545.00	11066.93	USD	-9,131.58	
19SEP17	S	1 PD20OCT17		11091.25	11066.93	USD	145.92	
	NET	0 AVG BGHT 9521.67	SOLD 10035.42				9,247.50	0.00
24JUL17	S	1 PD24OCT17		9675.00	11070.79	USD	-8,374.74	
19SEP17	B	1 PD24OCT17		11095.75	11070.79	USD	-149.76	
	NET	0 AVG BGHT 11095.75	SOLD 9675.00				-8,524.50	0.00
25JUL17	S	1 PD25OCT17		10000.00	11071.75	USD	-6,430.50	
25JUL17	B	1 PD25OCT17		10025.00	11071.75	USD	6,280.50	
	NET	0 AVG BGHT 10025.00	SOLD 10000.00				-150.00	0.00
27JUL17	S	1 PD27OCT17		10190.00	11073.68	USD	-5,302.08	
27JUL17	B	1 PD27OCT17		10150.00	11073.68	USD	5,542.08	
28JUL17	B	1 PD27OCT17		10270.00	11073.68	USD	4,822.08	
28JUL17	S	1 PD27OCT17		10275.00	11073.68	USD	-4,792.08	
28JUL17	S	1 PD27OCT17		10280.00	11073.68	USD	-4,762.08	
19SEP17	B	1 PD27OCT17		11097.38	11073.68	USD	-142.20	
	NET	0 AVG BGHT 10505.79	SOLD 10248.33				-4,634.28	0.00
31JUL17	B	1 PD31OCT17		10335.00	11077.54	USD	4,455.24	
31JUL17	B	1 PD31OCT17		10215.00	11077.54	USD	5,175.24	
31JUL17	S	1 PD31OCT17		10220.00	11077.54	USD	-5,145.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19SEP17	S 1 PD31OCT17	11099.88	11077.54	USD	134.04	
	NET 0 AVG BGHT 10275.00 SOLD 10659.94				4,619.28	0.00
01AUG17	S 1 PD01NOV17	10295.00	11078.50	USD	-4,701.00	
01AUG17	S 1 PD01NOV17	10280.00	11078.50	USD	-4,791.00	
01AUG17	B 2 PD01NOV17	10255.00	11078.50	USD	9,882.00	
01AUG17	B 1 PD01NOV17	10225.00	11078.50	USD	5,121.00	
01AUG17	S 1 PD01NOV17	10225.00	11078.50	USD	-5,121.00	
	NET 0 AVG BGHT 10245.00 SOLD 10266.67				390.00	0.00
02AUG17	S 1 PD02NOV17	10285.00	11079.46	USD	-4,766.76	
02AUG17	S 1 PD02NOV17	10340.00	11079.46	USD	-4,436.76	
19SEP17	B 2 PD02NOV17	11102.63	11079.46	USD	-278.04	
	NET 0 AVG BGHT 11102.63 SOLD 10312.50				-9,481.56	0.00
03AUG17	B 2 PD03NOV17	10395.00	11080.43	USD	8,225.16	
04AUG17	S 1 PD03NOV17	10280.00	11080.43	USD	-4,802.58	
19SEP17	B 1 PD03NOV17	11103.50	11080.43	USD	-138.42	
	NET +2 AVG BGHT 10631.17 SOLD 10280.00				3,284.16	0.00
07AUG17	B 1 PD07NOV17	10260.00	11084.29	USD	4,945.74	
07AUG17	S 1 PD07NOV17	10380.00	11084.29	USD	-4,225.74	
07AUG17	S 1 PD07NOV17	10385.00	11084.29	USD	-4,195.74	
19SEP17	S 1 PD07NOV17	11106.00	11084.29	USD	130.26	
	NET -2 AVG BGHT 10260.00 SOLD 10623.67				-3,345.48	0.00
08AUG17	B 1 PD08NOV17	10360.00	11085.25	USD	4,351.50	
08AUG17	B 1 PD08NOV17	10540.00	11085.25	USD	3,271.50	
08AUG17	S 1 PD08NOV17	10440.00	11085.25	USD	-3,871.50	
08AUG17	B 1 PD08NOV17	10650.00	11085.25	USD	2,611.50	
19SEP17	S 2 PD08NOV17	11106.88	11085.25	USD	259.56	
	NET 0 AVG BGHT 10516.67 SOLD 10884.59				6,622.56	0.00
09AUG17	B 1 PD09NOV17	10785.00	11086.21	USD	1,807.26	
09AUG17	S 1 PD09NOV17	10755.00	11086.21	USD	-1,987.26	
	NET 0 AVG BGHT 10785.00 SOLD 10755.00				-180.00	0.00
21AUG17	B 1 PD21NOV17	11330.00	11096.63	USD	-1,400.22	
21AUG17	B 1 PD21NOV17	11290.00	11096.63	USD	-1,160.22	
21AUG17	S 3 PD21NOV17	11330.00	11096.63	USD	4,200.66	
21AUG17	B 1 PD21NOV17	11345.00	11096.63	USD	-1,490.22	
	NET 0 AVG BGHT 11321.67 SOLD 11330.00				150.00	0.00
23AUG17	S 1 PD24NOV17	11560.00	11098.94	USD	2,766.36	
23AUG17	B 1 PD24NOV17	11490.00	11098.94	USD	-2,346.36	
23AUG17	S 1 PD24NOV17	11685.00	11098.94	USD	3,516.36	
23AUG17	B 1 PD24NOV17	11755.00	11098.94	USD	-3,936.36	
25AUG17	S 1 PD24NOV17	11495.00	11098.94	USD	2,376.36	
25AUG17	B 1 PD24NOV17	11460.00	11098.94	USD	-2,166.36	
	NET 0 AVG BGHT 11568.33 SOLD 11580.00				210.00	0.00
29AUG17	B 1 PD29NOV17	11660.00	11102.80	USD	-3,343.20	
29AUG17	S 1 PD29NOV17	11645.00	11102.80	USD	3,253.20	
	NET 0 AVG BGHT 11660.00 SOLD 11645.00				-90.00	0.00
30AUG17	B 1 PD30NOV17	11590.00	11103.57	USD	-2,918.58	
30AUG17	S 1 PD30NOV17	11610.00	11103.57	USD	3,038.58	
	NET 0 AVG BGHT 11590.00 SOLD 11610.00				120.00	0.00
01SEP17	S 1 PD01DEC17	12080.00	11104.34	USD	5,853.96	
01SEP17	B 1 PD01DEC17	12130.00	11104.34	USD	-6,153.96	
01SEP17	S 1 PD01DEC17	12085.00	11104.34	USD	5,883.96	
19SEP17	B 1 PD01DEC17	11126.24	11104.34	USD	-131.40	
	NET 0 AVG BGHT 11628.12 SOLD 12082.50				5,452.56	0.00
04SEP17	B 1 PD04DEC17	12225.00	11106.66	USD	-6,710.04	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	12240.00	11106.66	USD	-6,800.04	
04SEP17	S	1	PD04DEC17	12190.00	11106.66	USD	6,500.04	
19SEP17	S	1	PD04DEC17	11127.53	11106.66	USD	125.22	
	NET	0 AVG BGHT 12232.50	SOLD 11658.77				-6,884.82	0.00
05SEP17	B	1	PD05DEC17	12040.00	11107.43	USD	-5,595.42	
05SEP17	B	1	PD05DEC17	11920.00	11107.43	USD	-4,875.42	
05SEP17	S	1	PD05DEC17	11975.00	11107.43	USD	5,205.42	
05SEP17	S	1	PD05DEC17	11985.00	11107.43	USD	5,265.42	
	NET	0 AVG BGHT 11980.00	SOLD 11980.00				0.00	0.00
06SEP17	B	1	PD06DEC17	12235.00	11108.20	USD	-6,760.80	
06SEP17	S	1	PD06DEC17	12195.00	11108.20	USD	6,520.80	
	NET	0 AVG BGHT 12235.00	SOLD 12195.00				-240.00	0.00
12SEP17	B	1	PD12DEC17	11930.00	11112.83	USD	-4,903.02	
12SEP17	B	1	PD12DEC17	11910.00	11112.83	USD	-4,783.02	
12SEP17	S	2	PD12DEC17	11915.00	11112.83	USD	9,626.04	
	NET	0 AVG BGHT 11920.00	SOLD 11915.00				-60.00	0.00
13SEP17	B	1	PD13DEC17	11385.00	11113.60	USD	-1,628.40	
13SEP17	S	1	PD13DEC17	11430.00	11113.60	USD	1,898.40	
	NET	0 AVG BGHT 11385.00	SOLD 11430.00				270.00	0.00
14SEP17	B	1	PD14DEC17	11270.00	11114.37	USD	-933.78	
14SEP17	S	1	PD14DEC17	11275.00	11114.37	USD	963.78	
14SEP17	B	1	PD14DEC17	11240.00	11114.37	USD	-753.78	
14SEP17	B	1	PD14DEC17	11210.00	11114.37	USD	-573.78	
14SEP17	S	1	PD14DEC17	11215.00	11114.37	USD	603.78	
	NET	+1 AVG BGHT 11240.00	SOLD 11245.00				-693.78	0.00
15SEP17	S	1	PD15DEC17	11255.00	11115.14	USD	839.16	
15SEP17	B	1	PD15DEC17	11075.00	11115.14	USD	240.84	
15SEP17	S	1	PD15DEC17	11115.00	11115.14	USD	-0.84	
	NET	-1 AVG BGHT 11075.00	SOLD 11185.00				1,079.16	0.00
18SEP17	B	2	PD18DEC17	11230.00	11117.46	USD	-1,350.48	
18SEP17	S	1	PD18DEC17	11225.00	11117.46	USD	645.24	
18SEP17	S	1	PD18DEC17	11220.00	11117.46	USD	615.24	
18SEP17	B	1	PD18DEC17	11160.00	11117.46	USD	-255.24	
18SEP17	S	1	PD18DEC17	11160.00	11117.46	USD	255.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
	NET	+1 AVG BGHT 11197.50	SOLD 11201.67				-405.24	0.00
19SEP17	S	1	PD19DEC17	11165.00	11118.23	USD	280.62	
	NET	-1 AVG SOLD 11165.00					280.62	0.00
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	S	1	PD21DEC17	10980.00	11119.73	USD	-838.38	
21SEP17	B	1	PD21DEC17	10915.00	11119.73	USD	1,228.38	
21SEP17	S	1	PD21DEC17	10830.00	11119.73	USD	-1,738.38	
21SEP17	S	1	PD21DEC17	10800.00	11119.73	USD	-1,918.38	
21SEP17	B	1	PD21DEC17	10805.00	11119.73	USD	1,888.38	
21SEP17	B	1	PD21DEC17	10740.00	11119.73	USD	2,278.38	
21SEP17	S	1	PD21DEC17	10725.00	11119.73	USD	-2,368.38	
21SEP17	S	1	PD21DEC17	10640.00	11119.73	USD	-2,878.38	
21SEP17	B	1	PD21DEC17	10860.00	11119.73	USD	1,558.38	
	NET	0 AVG BGHT 10866.00	SOLD 10795.00				-2,130.00	0.00
27SEP17	B	1	PD27DEC17	10250.00	11124.09	USD	5,244.54	
27SEP17	S	1	PD27DEC17	10250.00	11124.09	USD	-5,244.54	
	NET	0 AVG BGHT 10250.00	SOLD 10250.00				0.00	0.00
28SEP17	S	1	PD28DEC17	10510.00	11124.82	USD	-3,688.92	
28SEP17	B	1	PD28DEC17	10465.00	11124.82	USD	3,958.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10465.00	SOLD 10510.00				270.00	0.00
05OCT17	B	1 PD05JAN18		10535.00	11130.64	USD	3,573.84	
05OCT17	S	1 PD05JAN18		10580.00	11130.64	USD	-3,303.84	
	NET	0 AVG BGHT 10535.00	SOLD 10580.00				270.00	0.00
10OCT17	S	1 PD10JAN18		11040.00	11134.27	USD	-565.62	
10OCT17	B	1 PD10JAN18		11020.00	11134.27	USD	685.62	
10OCT17	S	1 PD10JAN18		11045.00	11134.27	USD	-535.62	
	NET	-1 AVG BGHT 11020.00	SOLD 11042.50				-415.62	0.00
11OCT17	B	1 PD11JAN18		11030.00	11135.00	USD	630.00	
11OCT17	B	1 PD11JAN18		11150.00	11135.00	USD	-90.00	
11OCT17	S	1 PD11JAN18		11160.00	11135.00	USD	150.00	
	NET	+1 AVG BGHT 11090.00	SOLD 11160.00				690.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	1 PD13OCT17		19850.00	20990.00	USD	5,700.00	
14JUL17	S	1 PD13OCT17		19895.00	20990.00	USD	-5,475.00	
	NET	0 AVG BGHT 19850.00	SOLD 19895.00				225.00	0.00
19JUL17	S	1 PD19OCT17		20085.00	20988.00	USD	-4,515.00	
19JUL17	S	1 PD19OCT17		20090.00	20988.00	USD	-4,490.00	
19JUL17	B	1 PD19OCT17		20100.00	20988.00	USD	4,440.00	
19SEP17	B	1 PD19OCT17		20728.00	20988.00	USD	1,300.00	
	NET	0 AVG BGHT 20414.00	SOLD 20087.50				-3,265.00	0.00
20JUL17	B	1 PD20OCT17		20115.00	20986.00	USD	4,355.00	
19SEP17	S	1 PD20OCT17		20725.00	20986.00	USD	-1,305.00	
	NET	0 AVG BGHT 20115.00	SOLD 20725.00				3,050.00	0.00
25JUL17	B	1 PD25OCT17		20310.00	20980.00	USD	3,350.00	
25JUL17	S	1 PD25OCT17		20350.00	20980.00	USD	-3,150.00	
25JUL17	S	1 PD25OCT17		20340.00	20980.00	USD	-3,200.00	
25JUL17	B	1 PD25OCT17		20330.00	20980.00	USD	3,250.00	
25JUL17	B	1 PD25OCT17		20350.00	20980.00	USD	3,150.00	
25JUL17	B	1 PD25OCT17		20365.00	20980.00	USD	3,075.00	
25JUL17	S	1 PD25OCT17		20365.00	20980.00	USD	-3,075.00	
25JUL17	S	1 PD25OCT17		20360.00	20980.00	USD	-3,100.00	
	NET	0 AVG BGHT 20338.75	SOLD 20353.75				300.00	0.00
27JUL17	S	1 PD27OCT17		20655.00	20976.00	USD	-1,605.00	
27JUL17	B	2 PD27OCT17		20620.00	20976.00	USD	3,560.00	
27JUL17	S	1 PD27OCT17		20635.00	20976.00	USD	-1,705.00	
28JUL17	S	2 PD27OCT17		20650.00	20976.00	USD	-3,260.00	
28JUL17	B	2 PD27OCT17		20655.00	20976.00	USD	3,210.00	
28JUL17	S	1 PD27OCT17		20680.00	20976.00	USD	-1,480.00	
28JUL17	B	1 PD27OCT17		20625.00	20976.00	USD	1,755.00	
28JUL17	B	1 PD27OCT17		20645.00	20976.00	USD	1,655.00	
28JUL17	S	1 PD27OCT17		20645.00	20976.00	USD	-1,655.00	
	NET	0 AVG BGHT 20636.67	SOLD 20652.50				475.00	0.00
31JUL17	B	2 PD31OCT17		20660.00	20972.00	USD	3,120.00	
31JUL17	S	1 PD31OCT17		20655.00	20972.00	USD	-1,585.00	
31JUL17	S	1 PD31OCT17		20695.00	20972.00	USD	-1,385.00	
	NET	0 AVG BGHT 20660.00	SOLD 20675.00				150.00	0.00
01AUG17	S	1 PD01NOV17		20535.00	20970.00	USD	-2,175.00	
01AUG17	B	1 PD01NOV17		20490.00	20970.00	USD	2,400.00	
	NET	0 AVG BGHT 20490.00	SOLD 20535.00				225.00	0.00
03AUG17	S	1 PD03NOV17		20640.00	20966.00	USD	-1,630.00	
03AUG17	B	1 PD03NOV17		20655.00	20966.00	USD	1,555.00	
04AUG17	B	1 PD03NOV17		20520.00	20966.00	USD	2,230.00	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04AUG17	B	1	PD03NOV17		20540.00	20966.00	USD	2,130.00	
04AUG17	S	1	PD03NOV17		20560.00	20966.00	USD	-2,030.00	
19SEP17	S	1	PD03NOV17		20706.00	20966.00	USD	-1,300.00	
	NET	0 AVG	BGHT 20571.67	SOLD 20635.33				955.00	0.00
07AUG17	S	1	PD07NOV17		20575.00	20962.00	USD	-1,935.00	
07AUG17	B	2	PD07NOV17		20620.00	20962.00	USD	3,420.00	
19SEP17	S	1	PD07NOV17		20702.00	20962.00	USD	-1,300.00	
	NET	0 AVG	BGHT 20620.00	SOLD 20638.50				185.00	0.00
08AUG17	B	1	PD08NOV17		20165.00	20960.00	USD	3,975.00	
08AUG17	S	1	PD08NOV17		20200.00	20960.00	USD	-3,800.00	
08AUG17	B	1	PD08NOV17		20160.00	20960.00	USD	4,000.00	
08AUG17	S	1	PD08NOV17		20215.00	20960.00	USD	-3,725.00	
08AUG17	S	1	PD08NOV17		20265.00	20960.00	USD	-3,475.00	
08AUG17	S	1	PD08NOV17		20245.00	20960.00	USD	-3,575.00	
19SEP17	B	2	PD08NOV17		20701.00	20960.00	USD	2,590.00	
	NET	0 AVG	BGHT 20431.75	SOLD 20231.25				-4,010.00	0.00
09AUG17	B	1	PD09NOV17		20250.00	20958.00	USD	3,540.00	
09AUG17	B	1	PD09NOV17		20225.00	20958.00	USD	3,665.00	
09AUG17	S	1	PD09NOV17		20250.00	20958.00	USD	-3,540.00	
09AUG17	S	1	PD09NOV17		20225.00	20958.00	USD	-3,665.00	
	NET	0 AVG	BGHT 20237.50	SOLD 20237.50				0.00	0.00
18AUG17	B	1	PD17NOV17		20190.00	20945.83	USD	3,779.15	
18AUG17	S	1	PD17NOV17		20230.00	20945.83	USD	-3,579.15	
	NET	0 AVG	BGHT 20190.00	SOLD 20230.00				200.00	0.00
21AUG17	B	1	PD21NOV17		20450.00	20941.67	USD	2,458.35	
21AUG17	S	1	PD21NOV17		20435.00	20941.67	USD	-2,533.35	
21AUG17	S	1	PD21NOV17		20470.00	20941.67	USD	-2,358.35	
21AUG17	B	1	PD21NOV17		20500.00	20941.67	USD	2,208.35	
21AUG17	S	2	PD21NOV17		20485.00	20941.67	USD	-4,566.70	
21AUG17	B	1	PD21NOV17		20465.00	20941.67	USD	2,383.35	
21AUG17	B	1	PD21NOV17		20495.00	20941.67	USD	2,233.35	
	NET	0 AVG	BGHT 20477.50	SOLD 20468.75				-175.00	0.00
22AUG17	B	1	PD22NOV17		20310.00	20939.58	USD	3,147.90	
22AUG17	S	1	PD22NOV17		20325.00	20939.58	USD	-3,072.90	
	NET	0 AVG	BGHT 20310.00	SOLD 20325.00				75.00	0.00
23AUG17	S	1	PD24NOV17		20525.00	20937.50	USD	-2,062.50	
23AUG17	B	1	PD24NOV17		20520.00	20937.50	USD	2,087.50	
24AUG17	B	1	PD24NOV17		20465.00	20937.50	USD	2,362.50	
24AUG17	S	1	PD24NOV17		20475.00	20937.50	USD	-2,312.50	
25AUG17	B	1	PD24NOV17		20300.00	20937.50	USD	3,187.50	
25AUG17	S	1	PD24NOV17		20325.00	20937.50	USD	-3,062.50	
25AUG17	S	1	PD24NOV17		20395.00	20937.50	USD	-2,712.50	
25AUG17	B	1	PD24NOV17		20420.00	20937.50	USD	2,587.50	
	NET	0 AVG	BGHT 20426.25	SOLD 20430.00				75.00	0.00
29AUG17	B	1	PD29NOV17		20370.00	20931.25	USD	2,806.25	
29AUG17	B	1	PD29NOV17		20390.00	20931.25	USD	2,706.25	
29AUG17	S	1	PD29NOV17		20460.00	20931.25	USD	-2,356.25	
29AUG17	S	1	PD29NOV17		20430.00	20931.25	USD	-2,506.25	
	NET	0 AVG	BGHT 20380.00	SOLD 20445.00				650.00	0.00
30AUG17	B	1	PD30NOV17		20545.00	20929.17	USD	1,920.85	
30AUG17	S	1	PD30NOV17		20530.00	20929.17	USD	-1,995.85	
30AUG17	S	1	PD30NOV17		20545.00	20929.17	USD	-1,920.85	
30AUG17	S	1	PD30NOV17		20580.00	20929.17	USD	-1,745.85	
30AUG17	B	1	PD30NOV17		20600.00	20929.17	USD	1,645.85	
30AUG17	B	1	PD30NOV17		20630.00	20929.17	USD	1,495.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	20700.00	20929.17	USD	1,145.85	
31AUG17	S	1	PD30NOV17	20685.00	20929.17	USD	-1,220.85	
	NET	0 AVG	BGHT 20618.75				-675.00	0.00
01SEP17	B	1	PD01DEC17	20710.00	20927.08	USD	1,085.40	
01SEP17	S	1	PD01DEC17	20685.00	20927.08	USD	-1,210.40	
01SEP17	B	1	PD01DEC17	20670.00	20927.08	USD	1,285.40	
01SEP17	S	1	PD01DEC17	20695.00	20927.08	USD	-1,160.40	
	NET	0 AVG	BGHT 20690.00				0.00	0.00
04SEP17	S	1	PD04DEC17	20790.00	20925.00	USD	-675.00	
19SEP17	B	1	PD04DEC17	20669.13	20925.00	USD	1,279.35	
	NET	0 AVG	BGHT 20669.13				604.35	0.00
05SEP17	B	1	PD05DEC17	20800.00	20922.92	USD	614.60	
19SEP17	S	1	PD05DEC17	20666.39	20922.92	USD	-1,282.65	
	NET	0 AVG	BGHT 20800.00				-668.05	0.00
06SEP17	S	1	PD06DEC17	20765.00	20920.83	USD	-779.15	
06SEP17	B	1	PD06DEC17	20785.00	20920.83	USD	679.15	
	NET	0 AVG	BGHT 20785.00				-100.00	0.00
13SEP17	S	1	PD13DEC17	20590.00	20910.42	USD	-1,602.10	
13SEP17	B	1	PD13DEC17	20575.00	20910.42	USD	1,677.10	
	NET	0 AVG	BGHT 20575.00				75.00	0.00
15SEP17	B	1	PD15DEC17	20515.00	20906.25	USD	1,956.25	
15SEP17	S	1	PD15DEC17	20565.00	20906.25	USD	-1,706.25	
15SEP17	B	1	PD15DEC17	20555.00	20906.25	USD	1,756.25	
15SEP17	S	1	PD15DEC17	20500.00	20906.25	USD	-2,031.25	
	NET	0 AVG	BGHT 20535.00				-25.00	0.00
18SEP17	B	1	PD18DEC17	20670.00	20904.17	USD	1,170.85	
18SEP17	S	1	PD18DEC17	20670.00	20904.17	USD	-1,170.85	
18SEP17	S	1	PD18DEC17	20700.00	20904.17	USD	-1,020.85	
	NET	-1 AVG	BGHT 20670.00				-1,020.85	0.00
19SEP17	B	1	PD19DEC17	20665.00	20902.08	USD	1,185.40	
	NET	+1 AVG	BGHT 20665.00				1,185.40	0.00
20SEP17	B	1	PD20DEC17	20655.00	20900.00	USD	1,225.00	
20SEP17	S	1	PD20DEC17	20675.00	20900.00	USD	-1,125.00	
20SEP17	B	1	PD20DEC17	20665.00	20900.00	USD	1,175.00	
20SEP17	S	1	PD20DEC17	20665.00	20900.00	USD	-1,175.00	
	NET	0 AVG	BGHT 20660.00				100.00	0.00
21SEP17	S	1	PD21DEC17	20480.00	20897.69	USD	-2,088.45	
21SEP17	B	1	PD21DEC17	20455.00	20897.69	USD	2,213.45	
	NET	0 AVG	BGHT 20455.00				125.00	0.00
25SEP17	S	1	PD27DEC17	20695.00	20893.08	USD	-990.40	
25SEP17	B	1	PD27DEC17	20705.00	20893.08	USD	940.40	
	NET	0 AVG	BGHT 20705.00				-50.00	0.00
28SEP17	B	1	PD28DEC17	20635.00	20890.77	USD	1,278.85	
28SEP17	S	1	PD28DEC17	20725.00	20890.77	USD	-828.85	
28SEP17	B	1	PD28DEC17	20700.00	20890.77	USD	953.85	
28SEP17	S	1	PD28DEC17	20640.00	20890.77	USD	-1,253.85	
	NET	0 AVG	BGHT 20667.50				150.00	0.00
05OCT17	B	1	PD05JAN18	21030.00	20879.23	USD	-753.85	
05OCT17	S	1	PD05JAN18	21085.00	20879.23	USD	1,028.85	
06OCT17	B	1	PD05JAN18	20615.00	20879.23	USD	1,321.15	
06OCT17	S	1	PD05JAN18	20590.00	20879.23	USD	-1,446.15	
06OCT17	S	1	PD05JAN18	20570.00	20879.23	USD	-1,546.15	
06OCT17	B	1	PD05JAN18	20605.00	20879.23	USD	1,371.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	1	PD05JAN18	20605.00	20879.23	USD	-1,371.15	
06OCT17	B	1	PD05JAN18	20590.00	20879.23	USD	1,446.15	
	NET	0AVG	BGHT 20710.00				50.00	0.00
10OCT17	B	1	PD10JAN18	20780.00	20872.31	USD	461.55	
10OCT17	S	1	PD10JAN18	20795.00	20872.31	USD	-386.55	
	NET	0AVG	BGHT 20780.00				75.00	0.00
11OCT17	S	1	PD11JAN18	20785.00	20870.00	USD	-425.00	
11OCT17	S	1	PD11JAN18	20810.00	20870.00	USD	-300.00	
11OCT17	B	2	PD11JAN18	20780.00	20870.00	USD	900.00	
11OCT17	S	3	PD11JAN18	20800.00	20870.00	USD	-1,050.00	
11OCT17	S	1	PD11JAN18	20840.00	20870.00	USD	-150.00	
11OCT17	B	2	PD11JAN18	20770.00	20870.00	USD	1,000.00	
11OCT17	B	1	PD11JAN18	20800.00	20870.00	USD	350.00	
11OCT17	B	1	PD11JAN18	20810.00	20870.00	USD	300.00	
	NET	0AVG	BGHT 20785.00				625.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	2797.50	3255.67	USD	-11,454.25	
01AUG17	B	1	PD01NOV17	2782.00	3255.67	USD	11,841.75	
	NET	0AVG	BGHT 2782.00				387.50	0.00
02AUG17	S	1	PD02NOV17	2806.50	3254.00	USD	-11,187.50	
19SEP17	B	1	PD02NOV17	3106.25	3254.00	USD	3,693.75	
	NET	0AVG	BGHT 3106.25				-7,493.75	0.00
03AUG17	B	1	PD03NOV17	2827.00	3252.89	USD	10,647.25	
04AUG17	S	1	PD03NOV17	2827.00	3252.89	USD	-10,647.25	
04AUG17	B	1	PD03NOV17	2816.50	3252.89	USD	10,909.75	
19SEP17	S	1	PD03NOV17	3105.99	3252.89	USD	-3,672.50	
	NET	0AVG	BGHT 2821.75				7,237.25	0.00
07AUG17	S	1	PD07NOV17	2873.00	3250.67	USD	-9,441.75	
07AUG17	S	1	PD07NOV17	2874.00	3250.67	USD	-9,416.75	
07AUG17	B	1	PD07NOV17	2860.50	3250.67	USD	9,754.25	
19SEP17	B	1	PD07NOV17	3106.08	3250.67	USD	3,614.75	
	NET	0AVG	BGHT 2983.29				-5,489.50	0.00
08AUG17	S	1	PD08NOV17	2882.50	3249.56	USD	-9,176.50	
08AUG17	B	1	PD08NOV17	2898.00	3249.56	USD	8,789.00	
08AUG17	S	1	PD08NOV17	2910.00	3249.56	USD	-8,489.00	
19SEP17	B	1	PD08NOV17	3106.03	3249.56	USD	3,588.25	
	NET	0AVG	BGHT 3002.02				-5,288.25	0.00
09AUG17	B	1	PD09NOV17	2963.00	3248.44	USD	7,136.00	
09AUG17	S	1	PD09NOV17	2970.00	3248.44	USD	-6,961.00	
09AUG17	S	1	PD09NOV17	2932.00	3248.44	USD	-7,911.00	
09AUG17	B	2	PD09NOV17	2935.50	3248.44	USD	15,647.00	
19SEP17	S	1	PD09NOV17	3105.77	3248.44	USD	-3,566.75	
	NET	0AVG	BGHT 2944.67				4,344.25	0.00
17AUG17	B	1	PD17NOV17	3050.50	3239.00	USD	4,712.50	
18AUG17	B	1	PD17NOV17	3119.50	3239.00	USD	2,987.50	
18AUG17	S	1	PD17NOV17	3124.00	3239.00	USD	-2,875.00	
19SEP17	S	1	PD17NOV17	3103.53	3239.00	USD	-3,386.75	
	NET	0AVG	BGHT 3085.00				1,438.25	0.00
29AUG17	B	2	PD29NOV17	3102.00	3234.00	USD	6,600.00	
29AUG17	S	2	PD29NOV17	3106.00	3234.00	USD	-6,400.00	
	NET	0AVG	BGHT 3102.00				200.00	0.00
18SEP17	S	1	PD18DEC17	3109.50	3229.67	USD	-3,004.25	
	NET	-1AVG	SOLD 3109.50				-3,004.25	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19SEP17	B 1 PD19DEC17 NET +1AVG BGHT 3110.00	3110.00	3229.33	USD	2,983.25 2,983.25	0.00
28SEP17	B 1 PD28DEC17	3155.50	3223.50	USD	1,700.00	
28SEP17	S 1 PD28DEC17	3147.50	3223.50	USD	-1,900.00	
	NET 0AVG BGHT 3155.50 SOLD 3147.50				-200.00	0.00
10OCT17	S 1 PD10JAN18	3279.50	3219.50	USD	1,500.00	
	NET -1AVG SOLD 3279.50				1,500.00	0.00
11OCT17	B 1 PD11JAN18	3246.00	3219.00	USD	-675.00	
	NET +1AVG BGHT 3246.00				-675.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,418.75
LME Copper Grade A 25	FUTURES	50.00
LME Lead 25 tonnes. This is an	FUTURES	2,343.75
LME Nickel 6 tonnes. This is	FUTURES	-4,606.50
LME Tin 5 tonnes. This is an	FUTURES	-423.70
LME Zinc 25 tonnes. This is	FUTURES	-4,039.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,406.25	1,406.25		
COPL - Futures USD	50.00	50.00		
LEAD - Futures USD	2,343.75	2,343.75		
NKL - Futures USD	-4,657.68	-4,657.68		
TIN - Futures USD	-434.15	-434.15		
ZINC - Futures USD	-4,060.25	-4,060.25	-5,352.08	-5,352.08

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	21,841.82	21,841.82
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	21,841.82	21,841.82
Initial Margins	-7,653.08	-7,653.08
Excess/(Shortage)	14,188.74	14,188.74
FOR INFORMATION ONLY		
Forward Unrealised P&L	-5,352.08	-5,352.08
Net Liquidating Value	16,489.74	16,489.74

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13SEP17	S 1 PD13DEC17	6595.50	6790.10	USD	-4,865.00	
13SEP17	B 1 PD13DEC17	6596.00	6790.10	USD	4,852.50	
	NET 0AVG BGHT 6596.00 SOLD 6595.50				-12.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
26JUL17	S 1 PD26OCT17	2315.50	2555.89	USD	-6,009.75	
26JUL17	B 1 PD26OCT17	2332.50	2555.89	USD	5,584.75	
	NET 0AVG BGHT 2332.50 SOLD 2315.50				-425.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	B 1 PD13OCT17	19965.00	20990.00	USD	5,125.00	
13JUL17	S 1 PD13OCT17	19975.00	20990.00	USD	-5,075.00	
14JUL17	S 1 PD13OCT17	19815.00	20990.00	USD	-5,875.00	
14JUL17	B 1 PD13OCT17	19830.00	20990.00	USD	5,800.00	
14JUL17	S 1 PD13OCT17	19820.00	20990.00	USD	-5,850.00	
14JUL17	B 1 PD13OCT17	19835.00	20990.00	USD	5,775.00	
	NET 0AVG BGHT 19876.67 SOLD 19870.00				-100.00	0.00
17JUL17	B 1 PD17OCT17	19855.00	20990.00	USD	5,675.00	
17JUL17	S 1 PD17OCT17	19900.00	20990.00	USD	-5,450.00	
17JUL17	B 1 PD17OCT17	19955.00	20990.00	USD	5,175.00	
17JUL17	S 1 PD17OCT17	19985.00	20990.00	USD	-5,025.00	
17JUL17	S 1 PD17OCT17	20065.00	20990.00	USD	-4,625.00	
17JUL17	B 1 PD17OCT17	20005.00	20990.00	USD	4,925.00	
	NET 0AVG BGHT 19938.33 SOLD 19983.33				675.00	0.00
18JUL17	B 1 PD18OCT17	19950.00	20990.00	USD	5,200.00	
18JUL17	S 1 PD18OCT17	19950.00	20990.00	USD	-5,200.00	
18JUL17	S 1 PD18OCT17	20065.00	20990.00	USD	-4,625.00	
18JUL17	B 1 PD18OCT17	20035.00	20990.00	USD	4,775.00	
	NET 0AVG BGHT 19992.50 SOLD 20007.50				150.00	0.00
19JUL17	B 1 PD19OCT17	20100.00	20988.00	USD	4,440.00	
19JUL17	S 1 PD19OCT17	20055.00	20988.00	USD	-4,665.00	
19JUL17	B 1 PD19OCT17	20130.00	20988.00	USD	4,290.00	
19JUL17	S 1 PD19OCT17	20145.00	20988.00	USD	-4,215.00	
	NET 0AVG BGHT 20115.00 SOLD 20100.00				-150.00	0.00
21JUL17	S 1 PD20OCT17	20190.00	20986.00	USD	-3,980.00	
21JUL17	B 1 PD20OCT17	20200.00	20986.00	USD	3,930.00	
	NET 0AVG BGHT 20200.00 SOLD 20190.00				-50.00	0.00
24JUL17	S 1 PD24OCT17	20245.00	20982.00	USD	-3,685.00	
24JUL17	B 1 PD24OCT17	20255.00	20982.00	USD	3,635.00	
	NET 0AVG BGHT 20255.00 SOLD 20245.00				-50.00	0.00
26JUL17	B 1 PD26OCT17	20340.00	20978.00	USD	3,190.00	
26JUL17	S 2 PD26OCT17	20365.00	20978.00	USD	-6,130.00	
26JUL17	B 1 PD26OCT17	20350.00	20978.00	USD	3,140.00	
26JUL17	B 1 PD26OCT17	20315.00	20978.00	USD	3,315.00	
26JUL17	S 2 PD26OCT17	20345.00	20978.00	USD	-6,330.00	
26JUL17	B 1 PD26OCT17	20345.00	20978.00	USD	3,165.00	
	NET 0AVG BGHT 20337.50 SOLD 20355.00				350.00	0.00
27JUL17	S 1 PD27OCT17	20670.00	20976.00	USD	-1,530.00	
27JUL17	B 1 PD27OCT17	20685.00	20976.00	USD	1,455.00	
	NET 0AVG BGHT 20685.00 SOLD 20670.00				-75.00	0.00
02AUG17	S 1 PD02NOV17	20540.00	20968.00	USD	-2,140.00	
02AUG17	B 1 PD02NOV17	20530.00	20968.00	USD	2,190.00	
	NET 0AVG BGHT 20530.00 SOLD 20540.00				50.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZC

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
03AUG17	B	1	PD03NOV17		20615.00	20966.00	USD	1,755.00	
03AUG17	S	1	PD03NOV17		20645.00	20966.00	USD	-1,605.00	
03AUG17	S	1	PD03NOV17		20670.00	20966.00	USD	-1,480.00	
03AUG17	B	1	PD03NOV17		20655.00	20966.00	USD	1,555.00	
04AUG17	B	1	PD03NOV17		20665.00	20966.00	USD	1,505.00	
04AUG17	S	1	PD03NOV17		20670.00	20966.00	USD	-1,480.00	
	NET	0 AVG	BGHT 20645.00	SOLD 20661.67				250.00	0.00
07AUG17	B	1	PD07NOV17		20560.00	20962.00	USD	2,010.00	
07AUG17	S	1	PD07NOV17		20575.00	20962.00	USD	-1,935.00	
	NET	0 AVG	BGHT 20560.00	SOLD 20575.00				75.00	0.00
08AUG17	B	1	PD08NOV17		20470.00	20960.00	USD	2,450.00	
08AUG17	B	1	PD08NOV17		20430.00	20960.00	USD	2,650.00	
08AUG17	B	1	PD08NOV17		20290.00	20960.00	USD	3,350.00	
08AUG17	S	1	PD08NOV17		20285.00	20960.00	USD	-3,375.00	
08AUG17	S	1	PD08NOV17		20460.00	20960.00	USD	-2,500.00	
08AUG17	S	1	PD08NOV17		20465.00	20960.00	USD	-2,475.00	
	NET	0 AVG	BGHT 20396.67	SOLD 20403.33				100.00	0.00
09AUG17	B	1	PD09NOV17		20330.00	20958.00	USD	3,140.00	
09AUG17	S	1	PD09NOV17		20325.00	20958.00	USD	-3,165.00	
09AUG17	S	1	PD09NOV17		20350.00	20958.00	USD	-3,040.00	
09AUG17	B	1	PD09NOV17		20340.00	20958.00	USD	3,090.00	
09AUG17	B	1	PD09NOV17		20360.00	20958.00	USD	2,990.00	
09AUG17	S	1	PD09NOV17		20370.00	20958.00	USD	-2,940.00	
09AUG17	B	1	PD09NOV17		20320.00	20958.00	USD	3,190.00	
09AUG17	S	1	PD09NOV17		20320.00	20958.00	USD	-3,190.00	
	NET	0 AVG	BGHT 20337.50	SOLD 20341.25				75.00	0.00
10AUG17	B	1	PD10NOV17		20265.00	20956.00	USD	3,455.00	
10AUG17	S	1	PD10NOV17		20255.00	20956.00	USD	-3,505.00	
10AUG17	B	1	PD10NOV17		20260.00	20956.00	USD	3,480.00	
10AUG17	S	1	PD10NOV17		20270.00	20956.00	USD	-3,430.00	
11AUG17	S	1	PD10NOV17		20325.00	20956.00	USD	-3,155.00	
11AUG17	B	1	PD10NOV17		20325.00	20956.00	USD	3,155.00	
	NET	0 AVG	BGHT 20283.33	SOLD 20283.33				0.00	0.00
14AUG17	B	1	PD14NOV17		20375.00	20952.00	USD	2,885.00	
14AUG17	S	1	PD14NOV17		20335.00	20952.00	USD	-3,085.00	
	NET	0 AVG	BGHT 20375.00	SOLD 20335.00				-200.00	0.00
17AUG17	S	1	PD17NOV17		20340.00	20945.83	USD	-3,029.15	
17AUG17	B	1	PD17NOV17		20345.00	20945.83	USD	3,004.15	
	NET	0 AVG	BGHT 20345.00	SOLD 20340.00				-25.00	0.00
21AUG17	B	1	PD21NOV17		20420.00	20941.67	USD	2,608.35	
21AUG17	B	1	PD21NOV17		20430.00	20941.67	USD	2,558.35	
21AUG17	S	1	PD21NOV17		20415.00	20941.67	USD	-2,633.35	
21AUG17	S	1	PD21NOV17		20430.00	20941.67	USD	-2,558.35	
	NET	0 AVG	BGHT 20425.00	SOLD 20422.50				-25.00	0.00
22AUG17	S	1	PD22NOV17		20490.00	20939.58	USD	-2,247.90	
22AUG17	B	1	PD22NOV17		20470.00	20939.58	USD	2,347.90	
	NET	0 AVG	BGHT 20470.00	SOLD 20490.00				100.00	0.00
23AUG17	S	1	PD24NOV17		20525.00	20937.50	USD	-2,062.50	
23AUG17	B	1	PD24NOV17		20515.00	20937.50	USD	2,112.50	
	NET	0 AVG	BGHT 20515.00	SOLD 20525.00				50.00	0.00
30AUG17	S	1	PD30NOV17		20435.00	20929.17	USD	-2,470.85	
30AUG17	B	1	PD30NOV17		20415.00	20929.17	USD	2,570.85	
30AUG17	S	1	PD30NOV17		20590.00	20929.17	USD	-1,695.85	
30AUG17	B	1	PD30NOV17		20600.00	20929.17	USD	1,645.85	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	20630.00	20929.17	USD	1,495.85	
31AUG17	S	1	PD30NOV17	20650.00	20929.17	USD	-1,395.85	
31AUG17	B	1	PD30NOV17	20600.00	20929.17	USD	1,645.85	
31AUG17	S	1	PD30NOV17	20595.00	20929.17	USD	-1,670.85	
31AUG17	B	1	PD30NOV17	20640.00	20929.17	USD	1,445.85	
31AUG17	S	2	PD30NOV17	20645.00	20929.17	USD	-2,841.70	
31AUG17	B	1	PD30NOV17	20650.00	20929.17	USD	1,395.85	
31AUG17	S	2	PD30NOV17	20695.00	20929.17	USD	-2,341.70	
31AUG17	B	2	PD30NOV17	20680.00	20929.17	USD	2,491.70	
	NET	0 AVG	BGHT 20611.88	SOLD 20618.75			275.00	0.00
01SEP17	B	1	PD01DEC17	20725.00	20927.08	USD	1,010.40	
01SEP17	S	1	PD01DEC17	20725.00	20927.08	USD	-1,010.40	
01SEP17	S	1	PD01DEC17	20770.00	20927.08	USD	-785.40	
01SEP17	B	1	PD01DEC17	20735.00	20927.08	USD	960.40	
	NET	0 AVG	BGHT 20730.00	SOLD 20747.50			175.00	0.00
04SEP17	S	1	PD04DEC17	20710.00	20925.00	USD	-1,075.00	
04SEP17	B	1	PD04DEC17	20690.00	20925.00	USD	1,175.00	
04SEP17	B	1	PD04DEC17	20720.00	20925.00	USD	1,025.00	
04SEP17	S	1	PD04DEC17	20730.00	20925.00	USD	-975.00	
	NET	0 AVG	BGHT 20705.00	SOLD 20720.00			150.00	0.00
05SEP17	S	1	PD05DEC17	20785.00	20922.92	USD	-689.60	
05SEP17	B	1	PD05DEC17	20760.00	20922.92	USD	814.60	
	NET	0 AVG	BGHT 20760.00	SOLD 20785.00			125.00	0.00
07SEP17	S	1	PD07DEC17	20780.00	20918.75	USD	-693.75	
07SEP17	B	1	PD07DEC17	20770.00	20918.75	USD	743.75	
	NET	0 AVG	BGHT 20770.00	SOLD 20780.00			50.00	0.00
08SEP17	B	1	PD08DEC17	20555.00	20916.67	USD	1,808.35	
08SEP17	S	1	PD08DEC17	20560.00	20916.67	USD	-1,783.35	
	NET	0 AVG	BGHT 20555.00	SOLD 20560.00			25.00	0.00
13SEP17	S	1	PD13DEC17	20595.00	20910.42	USD	-1,577.10	
13SEP17	B	1	PD13DEC17	20605.00	20910.42	USD	1,527.10	
	NET	0 AVG	BGHT 20605.00	SOLD 20595.00			-50.00	0.00
15SEP17	S	1	PD15DEC17	20500.00	20906.25	USD	-2,031.25	
15SEP17	B	1	PD15DEC17	20515.00	20906.25	USD	1,956.25	
	NET	0 AVG	BGHT 20515.00	SOLD 20500.00			-75.00	0.00
18SEP17	B	1	PD18DEC17	20640.00	20904.17	USD	1,320.85	
18SEP17	S	1	PD18DEC17	20670.00	20904.17	USD	-1,170.85	
18SEP17	B	1	PD18DEC17	20690.00	20904.17	USD	1,070.85	
18SEP17	S	1	PD18DEC17	20685.00	20904.17	USD	-1,095.85	
	NET	0 AVG	BGHT 20665.00	SOLD 20677.50			125.00	0.00
19SEP17	B	1	PD19DEC17	20715.00	20902.08	USD	935.40	
19SEP17	S	1	PD19DEC17	20740.00	20902.08	USD	-810.40	
	NET	0 AVG	BGHT 20715.00	SOLD 20740.00			125.00	0.00
21SEP17	B	1	PD21DEC17	20500.00	20897.69	USD	1,988.45	
21SEP17	S	1	PD21DEC17	20525.00	20897.69	USD	-1,863.45	
	NET	0 AVG	BGHT 20500.00	SOLD 20525.00			125.00	0.00
22SEP17	B	1	PD22DEC17	20630.00	20895.38	USD	1,326.90	
22SEP17	S	1	PD22DEC17	20580.00	20895.38	USD	-1,576.90	
22SEP17	B	1	PD22DEC17	20570.00	20895.38	USD	1,626.90	
22SEP17	S	1	PD22DEC17	20590.00	20895.38	USD	-1,526.90	
	NET	0 AVG	BGHT 20600.00	SOLD 20585.00			-150.00	0.00
27SEP17	S	1	PD27DEC17	20665.00	20893.08	USD	-1,140.40	
27SEP17	B	1	PD27DEC17	20680.00	20893.08	USD	1,065.40	
	NET	0 AVG	BGHT 20680.00	SOLD 20665.00			-75.00	0.00

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	20720.00	20888.46	USD	-842.30	
29SEP17	B	1	PD29DEC17	20680.00	20888.46	USD	1,042.30	
29SEP17	S	1	PD29DEC17	20770.00	20888.46	USD	-592.30	
29SEP17	B	1	PD29DEC17	20760.00	20888.46	USD	642.30	
	NET	0 AVG	BGHT 20720.00				250.00	0.00
04OCT17	S	1	PD04JAN18	20795.00	20881.54	USD	-432.70	
04OCT17	B	1	PD04JAN18	20800.00	20881.54	USD	407.70	
	NET	0 AVG	BGHT 20800.00				-25.00	0.00
05OCT17	S	1	PD05JAN18	20960.00	20879.23	USD	403.85	
05OCT17	B	2	PD05JAN18	20975.00	20879.23	USD	-957.70	
05OCT17	S	1	PD05JAN18	20970.00	20879.23	USD	453.85	
06OCT17	B	1	PD05JAN18	21020.00	20879.23	USD	-703.85	
06OCT17	S	1	PD05JAN18	21025.00	20879.23	USD	728.85	
06OCT17	B	1	PD05JAN18	21005.00	20879.23	USD	-628.85	
06OCT17	S	2	PD05JAN18	21020.00	20879.23	USD	1,407.70	
06OCT17	B	1	PD05JAN18	21015.00	20879.23	USD	-678.85	
	NET	0 AVG	BGHT 20998.00				25.00	0.00
10OCT17	B	1	PD10JAN18	20880.00	20872.31	USD	-38.45	
10OCT17	S	1	PD10JAN18	20900.00	20872.31	USD	138.45	
10OCT17	B	2	PD10JAN18	20750.00	20872.31	USD	1,223.10	
10OCT17	S	1	PD10JAN18	20750.00	20872.31	USD	-611.55	
10OCT17	S	1	PD10JAN18	20785.00	20872.31	USD	-436.55	
	NET	0 AVG	BGHT 20793.33				275.00	0.00
11OCT17	B	1	PD11JAN18	20710.00	20870.00	USD	800.00	
11OCT17	S	1	PD11JAN18	20740.00	20870.00	USD	-650.00	
11OCT17	B	1	PD11JAN18	20790.00	20870.00	USD	400.00	
11OCT17	S	1	PD11JAN18	20810.00	20870.00	USD	-300.00	
	NET	0 AVG	BGHT 20750.00				250.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD20OCT17	2737.50	3273.00	USD	13,387.50	
20JUL17	B	1	PD20OCT17	2739.00	3273.00	USD	13,350.00	
20JUL17	S	1	PD20OCT17	2739.50	3273.00	USD	-13,337.50	
20JUL17	S	1	PD20OCT17	2744.00	3273.00	USD	-13,225.00	
	NET	0 AVG	BGHT 2738.25				175.00	0.00
13SEP17	S	3	PD13DEC17	3045.50	3230.67	USD	-13,887.75	
13SEP17	S	1	PD13DEC17	3046.50	3230.67	USD	-4,604.25	
13SEP17	S	1	PD13DEC17	3047.00	3230.67	USD	-4,591.75	
13SEP17	S	3	PD13DEC17	3048.50	3230.67	USD	-13,662.75	
13SEP17	B	3	PD13DEC17	3044.00	3230.67	USD	14,000.25	
13SEP17	B	2	PD13DEC17	3045.00	3230.67	USD	9,283.50	
13SEP17	B	3	PD13DEC17	3050.00	3230.67	USD	13,550.25	
	NET	0 AVG	BGHT 3046.50				87.50	0.00
09OCT17	B	1	PD09JAN18	3270.00	3220.00	USD	-1,250.00	
09OCT17	S	2	PD09JAN18	3275.00	3220.00	USD	2,750.00	
09OCT17	B	1	PD09JAN18	3272.00	3220.00	USD	-1,300.00	
	NET	0 AVG	BGHT 3271.00				200.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-12.50
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Tin 5 tonnes. This is an	FUTURES	2,800.00
LME Zinc 25 tonnes. This is	FUTURES	462.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-12.50	-12.50		
LEAD - Futures USD	-425.00	-425.00		
TIN - Futures USD	2,800.00	2,800.00		
ZINC - Futures USD	462.50	462.50	2,825.00	2,825.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	8,225.32	8,225.32
- Options	0.00	0.00
TOTAL EQUITY	8,225.32	8,225.32
Initial Margins	0.00	0.00
Excess/(Shortage)	8,225.32	8,225.32
FOR INFORMATION ONLY		
Forward Unrealised P&L	2,825.00	2,825.00
Net Liquidating Value	11,050.32	11,050.32

OPEN POSITION STATEMENT



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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
29AUG17	B 1 NOV17	46.46	51.30	USD	4,840.00	
29AUG17	B 1 NOV17	46.77	51.30	USD	4,530.00	
05SEP17	B 1 NOV17	48.17	51.30	USD	3,130.00	
05SEP17	B 1 NOV17	49.12	51.30	USD	2,180.00	
07SEP17	B 1 NOV17	49.43	51.30	USD	1,870.00	
08SEP17	B 1 NOV17	49.48	51.30	USD	1,820.00	
08SEP17	B 1 NOV17	49.45	51.30	USD	1,850.00	
14SEP17	B 3 NOV17	50.58	51.30	USD	2,160.00	
15SEP17	B 3 NOV17	50.32	51.30	USD	2,940.00	
15SEP17	B 1 NOV17	50.31	51.30	USD	990.00	
21SEP17	B 1 NOV17	50.59	51.30	USD	710.00	
	NET +15 AVG BGHT 49.50				27,020.00	0.00
31AUG17	S 1 DEC17	48.48	51.60	USD	-3,120.00	
05SEP17	S 1 DEC17	48.63	51.60	USD	-2,970.00	
05SEP17	S 1 DEC17	49.48	51.60	USD	-2,120.00	
05SEP17	S 1 DEC17	49.31	51.60	USD	-2,290.00	
07SEP17	S 1 DEC17	49.81	51.60	USD	-1,790.00	
08SEP17	S 1 DEC17	49.84	51.60	USD	-1,760.00	
13SEP17	S 1 DEC17	50.05	51.60	USD	-1,550.00	
21SEP17	S 1 DEC17	50.96	51.60	USD	-640.00	
27SEP17	S 1 DEC17	52.55	51.60	USD	950.00	
	NET -9 AVG SOLD 49.90				-15,290.00	0.00
27JUN17	S 1 JAN18	45.66	51.80	USD	-6,140.00	
28JUN17	S 1 JAN18	45.46	51.80	USD	-6,340.00	
29JUN17	S 1 JAN18	46.44	51.80	USD	-5,360.00	
05JUL17	S 1 JAN18	47.68	51.80	USD	-4,120.00	
19JUL17	S 1 JAN18	48.27	51.80	USD	-3,530.00	
08SEP17	S 1 JAN18	50.19	51.80	USD	-1,610.00	
15SEP17	S 1 JAN18	50.91	51.80	USD	-890.00	
15SEP17	S 1 JAN18	50.94	51.80	USD	-860.00	
05OCT17	S 1 JAN18	51.35	51.80	USD	-450.00	
	NET -9 AVG SOLD 48.54				-29,300.00	0.00
13SEP17	B 1 FEB18	50.26	51.95	USD	1,690.00	
14SEP17	B 1 FEB18	50.94	51.95	USD	1,010.00	
15SEP17	B 1 FEB18	51.10	51.95	USD	850.00	
	NET +3 AVG BGHT 50.77				3,550.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	S 1 PD03JAN18	6498.00	6796.27	USD	-7,456.75	
03OCT17	S 1 PD03JAN18	6497.50	6796.27	USD	-7,469.25	
03OCT17	B 1 PD03JAN18	6494.00	6796.27	USD	7,556.75	
03OCT17	B 1 PD03JAN18	6493.00	6796.27	USD	7,581.75	
	NET 0 AVG BGHT 6493.50 SOLD 6497.75				212.50	0.00
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>					
09OCT17	S 1 DEC17	3.05800	3.06600	USD	-80.00	
	NET -1 AVG SOLD 3.05800				-80.00	0.00
09OCT17	B 1 JAN18	3.18000	3.18100	USD	10.00	
	NET +1 AVG BGHT 3.18000				10.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
26SEP17	B 2 PD27DEC17	3135.00	3224.00	USD	4,450.00	
26SEP17	S 1 PD27DEC17	3140.00	3224.00	USD	-2,100.00	
26SEP17	S 1 PD27DEC17	3142.00	3224.00	USD	-2,050.00	
	NET 0 AVG BGHT 3135.00 SOLD 3141.00				300.00	0.00
11OCT17	S 1 PD11JAN18	3244.50	3219.00	USD	637.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZG

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	S 1 PD11JAN18	3244.00	3219.00	USD	625.00	
11OCT17	B 2 PD11JAN18	3241.00	3219.00	USD	-1,100.00	
	NET 0AVG BGHT 3241.00 SOLD 3244.25				162.50	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-8,770.00
LME Copper Grade A 25	FUTURES	212.50
NYM Henry Hub Natural Gas	FUTURES	-1,220.00
LME Zinc 25 tonnes. This is	FUTURES	462.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-14,020.00	-14,020.00		
HGAS - Futures USD	-70.00	-70.00	-14,090.00	-14,090.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	212.50	212.50		
ZINC - Futures USD	462.50	462.50	675.00	675.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	48,680.51	48,680.51
Variation Margins - Futures	-14,090.00	-14,090.00
- Options	0.00	0.00
TOTAL EQUITY	34,590.51	34,590.51
Initial Margins	-4,740.00	-4,740.00
Excess/(Shortage)	29,850.51	29,850.51
FOR INFORMATION ONLY		
Forward Unrealised P&L	675.00	675.00
Net Liquidating Value	35,265.51	35,265.51

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	2	PD120CT17	1893.50	2104.25	USD	10,537.50	
12JUL17	B	1	PD120CT17	1894.00	2104.25	USD	5,256.25	
12JUL17	S	1	PD120CT17	1890.00	2104.25	USD	-5,356.25	
12JUL17	S	1	PD120CT17	1888.00	2104.25	USD	-5,406.25	
19SEP17	S	1	PD120CT17	2101.97	2104.25	USD	-57.00	
	NET	0 AVG BGHT	1893.67	SOLD	1959.99		4,974.25	0.00
13JUL17	S	2	PD130CT17	1899.00	2104.75	USD	-10,287.50	
13JUL17	S	1	PD130CT17	1921.00	2104.75	USD	-4,593.75	
13JUL17	S	1	PD130CT17	1925.00	2104.75	USD	-4,493.75	
13JUL17	S	1	PD130CT17	1940.00	2104.75	USD	-4,118.75	
13JUL17	B	1	PD130CT17	1923.50	2104.75	USD	4,531.25	
13JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
13JUL17	B	2	PD130CT17	1921.00	2104.75	USD	9,187.50	
14JUL17	S	1	PD130CT17	1923.00	2104.75	USD	-4,543.75	
19SEP17	B	2	PD130CT17	2102.47	2104.75	USD	114.00	
	NET	0 AVG BGHT	1982.24	SOLD	1917.83		-9,661.00	0.00
18JUL17	B	1	PD180CT17	1901.50	2107.25	USD	5,143.75	
18JUL17	S	1	PD180CT17	1915.00	2107.25	USD	-4,806.25	
	NET	0 AVG BGHT	1901.50	SOLD	1915.00		337.50	0.00
19JUL17	S	1	PD190CT17	1934.50	2107.75	USD	-4,331.25	
19JUL17	B	1	PD190CT17	1924.50	2107.75	USD	4,581.25	
	NET	0 AVG BGHT	1924.50	SOLD	1934.50		250.00	0.00
21JUL17	S	1	PD200CT17	1931.50	2108.25	USD	-4,418.75	
21JUL17	S	1	PD200CT17	1929.00	2108.25	USD	-4,481.25	
21JUL17	B	1	PD200CT17	1921.00	2108.25	USD	4,681.25	
21JUL17	B	1	PD200CT17	1922.00	2108.25	USD	4,656.25	
	NET	0 AVG BGHT	1921.50	SOLD	1930.25		437.50	0.00
25JUL17	B	1	PD250CT17	1919.00	2110.75	USD	4,793.75	
25JUL17	B	1	PD250CT17	1918.00	2110.75	USD	4,818.75	
25JUL17	S	1	PD250CT17	1935.50	2110.75	USD	-4,381.25	
25JUL17	S	1	PD250CT17	1937.00	2110.75	USD	-4,343.75	
	NET	0 AVG BGHT	1918.50	SOLD	1936.25		887.50	0.00
27JUL17	S	1	PD270CT17	1955.50	2111.00	USD	-3,887.50	
27JUL17	B	1	PD270CT17	1954.00	2111.00	USD	3,925.00	
27JUL17	S	1	PD270CT17	1950.50	2111.00	USD	-4,012.50	
27JUL17	S	1	PD270CT17	1949.00	2111.00	USD	-4,050.00	
27JUL17	B	1	PD270CT17	1945.50	2111.00	USD	4,137.50	
27JUL17	B	1	PD270CT17	1945.00	2111.00	USD	4,150.00	
27JUL17	B	1	PD270CT17	1938.50	2111.00	USD	4,312.50	
27JUL17	B	2	PD270CT17	1939.00	2111.00	USD	8,600.00	
28JUL17	S	2	PD270CT17	1930.00	2111.00	USD	-9,050.00	
28JUL17	B	2	PD270CT17	1921.50	2111.00	USD	9,475.00	
28JUL17	S	2	PD270CT17	1922.00	2111.00	USD	-9,450.00	
28JUL17	S	1	PD270CT17	1924.00	2111.00	USD	-4,675.00	
28JUL17	B	2	PD270CT17	1914.50	2111.00	USD	9,825.00	
28JUL17	B	1	PD270CT17	1913.50	2111.00	USD	4,937.50	
28JUL17	B	1	PD270CT17	1910.50	2111.00	USD	5,012.50	
28JUL17	S	3	PD270CT17	1911.50	2111.00	USD	-14,962.50	
28JUL17	S	1	PD270CT17	1911.50	2111.00	USD	-4,987.50	
	NET	0 AVG BGHT	1929.75	SOLD	1927.42		-700.00	0.00
01AUG17	B	2	PD01NOV17	1909.50	2110.81	USD	10,065.50	
01AUG17	B	1	PD01NOV17	1910.00	2110.81	USD	5,020.25	
01AUG17	B	1	PD01NOV17	1915.00	2110.81	USD	4,895.25	
19SEP17	S	4	PD01NOV17	2109.68	2110.81	USD	-113.00	
	NET	0 AVG BGHT	1911.00	SOLD	2109.68		19,868.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	S	2	PD02NOV17	1914.50	2111.13	USD	-9,831.50	
02AUG17	S	2	PD02NOV17	1931.00	2111.13	USD	-9,006.50	
02AUG17	B	1	PD02NOV17	1927.00	2111.13	USD	4,603.25	
02AUG17	B	3	PD02NOV17	1924.00	2111.13	USD	14,034.75	
02AUG17	B	1	PD02NOV17	1921.00	2111.13	USD	4,753.25	
02AUG17	B	1	PD02NOV17	1920.50	2111.13	USD	4,765.75	
19SEP17	S	2	PD02NOV17	2109.96	2111.13	USD	-58.50	
	NET	0 AVG	BGHT 1923.42	SOLD 1985.15			9,260.50	0.00
03AUG17	B	1	PD03NOV17	1915.00	2111.44	USD	4,911.00	
03AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
04AUG17	B	2	PD03NOV17	1903.50	2111.44	USD	10,397.00	
19SEP17	S	4	PD03NOV17	2110.25	2111.44	USD	-119.00	
	NET	0 AVG	BGHT 1908.75	SOLD 2110.25			20,150.00	0.00
07AUG17	S	4	PD07NOV17	1922.50	2112.69	USD	-19,019.00	
07AUG17	S	2	PD07NOV17	1923.00	2112.69	USD	-9,484.50	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.25	
07AUG17	S	1	PD07NOV17	1930.00	2112.69	USD	-4,567.25	
07AUG17	S	2	PD07NOV17	1930.50	2112.69	USD	-9,109.50	
07AUG17	S	1	PD07NOV17	1950.00	2112.69	USD	-4,067.25	
07AUG17	S	1	PD07NOV17	1951.50	2112.69	USD	-4,029.75	
07AUG17	S	1	PD07NOV17	1952.50	2112.69	USD	-4,004.75	
07AUG17	S	1	PD07NOV17	1953.50	2112.69	USD	-3,979.75	
07AUG17	B	1	PD07NOV17	1954.50	2112.69	USD	3,954.75	
07AUG17	S	1	PD07NOV17	1965.50	2112.69	USD	-3,679.75	
07AUG17	S	1	PD07NOV17	1970.00	2112.69	USD	-3,567.25	
07AUG17	S	2	PD07NOV17	1975.00	2112.69	USD	-6,884.50	
07AUG17	S	1	PD07NOV17	1977.00	2112.69	USD	-3,392.25	
07AUG17	B	4	PD07NOV17	1974.00	2112.69	USD	13,869.00	
19SEP17	B	10	PD07NOV17	2112.12	2112.69	USD	142.50	
19SEP17	B	4	PD07NOV17	2111.92	2112.69	USD	77.00	
	NET	0 AVG	BGHT 2074.70	SOLD 1943.47			-62,334.50	0.00
08AUG17	B	2	PD08NOV17	2025.00	2113.00	USD	4,400.00	
08AUG17	B	2	PD08NOV17	2028.00	2113.00	USD	4,250.00	
19SEP17	S	4	PD08NOV17	2112.13	2113.00	USD	-87.00	
	NET	0 AVG	BGHT 2026.50	SOLD 2112.13			8,563.00	0.00
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	B	1	PD09NOV17	2028.50	2113.46	USD	2,124.00	
09AUG17	S	1	PD09NOV17	2033.00	2113.46	USD	-2,011.50	
09AUG17	S	1	PD09NOV17	2036.50	2113.46	USD	-1,924.00	
	NET	0 AVG	BGHT 2029.75	SOLD 2034.75			250.00	0.00
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2024.50	2113.93	USD	2,235.75	
10AUG17	S	1	PD10NOV17	2028.00	2113.93	USD	-2,148.25	
10AUG17	S	1	PD10NOV17	2030.00	2113.93	USD	-2,098.25	
10AUG17	S	1	PD10NOV17	2037.00	2113.93	USD	-1,923.25	
10AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
10AUG17	B	2	PD10NOV17	2039.50	2113.93	USD	3,721.50	
10AUG17	B	1	PD10NOV17	2033.00	2113.93	USD	2,023.25	
11AUG17	S	2	PD10NOV17	2039.50	2113.93	USD	-3,721.50	
11AUG17	S	1	PD10NOV17	2043.00	2113.93	USD	-1,773.25	
11AUG17	S	1	PD10NOV17	2045.50	2113.93	USD	-1,710.75	
11AUG17	S	1	PD10NOV17	2044.50	2113.93	USD	-1,735.75	
11AUG17	S	6	PD10NOV17	2041.00	2113.93	USD	-10,939.50	
11AUG17	S	4	PD10NOV17	2040.50	2113.93	USD	-7,343.00	
19SEP17	B	15	PD10NOV17	2113.17	2113.93	USD	285.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
	NET	0 AVG	BGHT	2093.05	SOLD	2039.55		-26,751.25	0.00
14AUG17	B	3	PD14NOV17		2035.00	2115.79	USD	6,059.25	
14AUG17	B	2	PD14NOV17		2035.50	2115.79	USD	4,014.50	
14AUG17	B	1	PD14NOV17		2034.50	2115.79	USD	2,032.25	
14AUG17	B	2	PD14NOV17		2032.00	2115.79	USD	4,189.50	
14AUG17	B	1	PD14NOV17		2031.50	2115.79	USD	2,107.25	
14AUG17	B	1	PD14NOV17		2030.50	2115.79	USD	2,132.25	
14AUG17	B	1	PD14NOV17		2027.50	2115.79	USD	2,207.25	
14AUG17	B	1	PD14NOV17		2025.50	2115.79	USD	2,257.25	
14AUG17	B	2	PD14NOV17		2029.50	2115.79	USD	4,314.50	
14AUG17	B	1	PD14NOV17		2028.00	2115.79	USD	2,194.75	
19SEP17	S	15	PD14NOV17		2114.63	2115.79	USD	-435.00	
	NET	0 AVG	BGHT	2031.77	SOLD	2114.63		31,073.75	0.00
16AUG17	S	1	PD16NOV17		2061.00	2116.56	USD	-1,389.00	
16AUG17	B	1	PD16NOV17		2058.50	2116.56	USD	1,451.50	
16AUG17	B	1	PD16NOV17		2060.50	2116.56	USD	1,401.50	
16AUG17	B	1	PD16NOV17		2067.50	2116.56	USD	1,226.50	
16AUG17	S	1	PD16NOV17		2061.50	2116.56	USD	-1,376.50	
16AUG17	S	2	PD16NOV17		2061.00	2116.56	USD	-2,778.00	
16AUG17	B	1	PD16NOV17		2083.00	2116.56	USD	839.00	
	NET	0 AVG	BGHT	2067.38	SOLD	2061.13		-625.00	0.00
21AUG17	S	2	PD21NOV17		2083.00	2118.10	USD	-1,755.00	
21AUG17	S	1	PD21NOV17		2082.50	2118.10	USD	-890.00	
19SEP17	B	3	PD21NOV17		2116.97	2118.10	USD	84.75	
	NET	0 AVG	BGHT	2116.97	SOLD	2082.83		-2,560.25	0.00
22AUG17	B	1	PD22NOV17		2073.00	2118.41	USD	1,135.25	
22AUG17	S	1	PD22NOV17		2079.50	2118.41	USD	-972.75	
22AUG17	S	1	PD22NOV17		2078.00	2118.41	USD	-1,010.25	
22AUG17	B	2	PD22NOV17		2083.50	2118.41	USD	1,745.50	
22AUG17	B	2	PD22NOV17		2080.50	2118.41	USD	1,895.50	
19SEP17	S	3	PD22NOV17		2117.06	2118.41	USD	-101.25	
	NET	0 AVG	BGHT	2080.20	SOLD	2101.74		2,692.00	0.00
24AUG17	B	2	PD24NOV17		2092.50	2119.03	USD	1,326.50	
24AUG17	S	1	PD24NOV17		2098.50	2119.03	USD	-513.25	
24AUG17	S	1	PD24NOV17		2099.00	2119.03	USD	-500.75	
	NET	0 AVG	BGHT	2092.50	SOLD	2098.75		312.50	0.00
05SEP17	S	1	PD05DEC17		2118.00	2122.43	USD	-110.75	
19SEP17	B	1	PD05DEC17		2120.99	2122.43	USD	36.00	
	NET	0 AVG	BGHT	2120.99	SOLD	2118.00		-74.75	0.00
06SEP17	B	1	PD06DEC17		2092.00	2122.74	USD	768.50	
19SEP17	S	1	PD06DEC17		2121.07	2122.74	USD	-41.75	
	NET	0 AVG	BGHT	2092.00	SOLD	2121.07		726.75	0.00
08SEP17	S	2	PD08DEC17		2098.00	2123.35	USD	-1,267.50	
19SEP17	B	2	PD08DEC17		2121.85	2123.35	USD	75.00	
	NET	0 AVG	BGHT	2121.85	SOLD	2098.00		-1,192.50	0.00
11SEP17	B	2	PD11DEC17		2122.00	2124.28	USD	114.00	
19SEP17	S	2	PD11DEC17		2122.51	2124.28	USD	-88.50	
	NET	0 AVG	BGHT	2122.00	SOLD	2122.51		25.50	0.00
14SEP17	S	2	PD14DEC17		2101.00	2125.21	USD	-1,210.50	
14SEP17	S	2	PD14DEC17		2104.50	2125.21	USD	-1,035.50	
	NET	-4 AVG	SOLD	2102.75				-2,246.00	0.00
15SEP17	B	2	PD15DEC17		2090.00	2125.51	USD	1,775.50	
15SEP17	B	2	PD15DEC17		2073.50	2125.51	USD	2,600.50	
	NET	+4 AVG	BGHT	2081.75				4,376.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18SEP17	S	2	PD18DEC17	2102.50	2126.44	USD	-1,197.00	
18SEP17	B	1	PD18DEC17	2084.50	2126.44	USD	1,048.50	
18SEP17	S	1	PD18DEC17	2093.50	2126.44	USD	-823.50	
18SEP17	S	1	PD18DEC17	2092.00	2126.44	USD	-861.00	
	NET	-3 AVG	BGHT 2084.50				-1,833.00	0.00
19SEP17	S	1	PD19DEC17	2105.50	2126.75	USD	-531.25	
19SEP17	B	2	PD19DEC17	2102.50	2126.75	USD	1,212.50	
19SEP17	S	2	PD19DEC17	2112.50	2126.75	USD	-712.50	
19SEP17	B	4	PD19DEC17	2127.00	2126.75	USD	-25.00	
	NET	+3 AVG	BGHT 2118.83				-56.25	0.00
20SEP17	S	1	PD20DEC17	2189.50	2126.75	USD	1,568.75	
20SEP17	S	1	PD20DEC17	2189.00	2126.75	USD	1,556.25	
20SEP17	S	1	PD20DEC17	2185.00	2126.75	USD	1,456.25	
20SEP17	B	1	PD20DEC17	2167.00	2126.75	USD	-1,006.25	
20SEP17	B	1	PD20DEC17	2165.00	2126.75	USD	-956.25	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	S	1	PD20DEC17	2181.00	2126.75	USD	1,356.25	
	NET	-1 AVG	BGHT 2165.00				3,068.75	0.00
21SEP17	S	2	PD21DEC17	2169.50	2126.75	USD	2,137.50	
21SEP17	B	1	PD21DEC17	2165.00	2126.75	USD	-956.25	
21SEP17	S	2	PD21DEC17	2173.00	2126.75	USD	2,312.50	
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2189.00	2126.75	USD	-1,556.25	
21SEP17	B	1	PD21DEC17	2176.50	2126.75	USD	-1,243.75	
21SEP17	B	1	PD21DEC17	2181.50	2126.75	USD	-1,368.75	
21SEP17	B	1	PD21DEC17	2179.50	2126.75	USD	-1,318.75	
21SEP17	B	1	PD21DEC17	2178.50	2126.75	USD	-1,293.75	
	NET	+1 AVG	BGHT 2178.33				-2,043.75	0.00
22SEP17	B	1	PD22DEC17	2134.00	2126.75	USD	-181.25	
22SEP17	B	1	PD22DEC17	2134.50	2126.75	USD	-193.75	
22SEP17	S	1	PD22DEC17	2137.00	2126.75	USD	256.25	
22SEP17	S	1	PD22DEC17	2149.50	2126.75	USD	568.75	
	NET	0 AVG	BGHT 2134.25				450.00	0.00
25SEP17	B	1	PD27DEC17	2152.50	2127.29	USD	-630.25	
25SEP17	B	1	PD27DEC17	2145.00	2127.29	USD	-442.75	
25SEP17	B	2	PD27DEC17	2143.00	2127.29	USD	-785.50	
25SEP17	S	1	PD27DEC17	2152.00	2127.29	USD	617.75	
25SEP17	S	1	PD27DEC17	2150.00	2127.29	USD	567.75	
25SEP17	S	1	PD27DEC17	2148.50	2127.29	USD	530.25	
25SEP17	S	1	PD27DEC17	2151.00	2127.29	USD	592.75	
26SEP17	B	2	PD27DEC17	2156.00	2127.29	USD	-1,435.50	
26SEP17	B	2	PD27DEC17	2153.00	2127.29	USD	-1,285.50	
26SEP17	B	2	PD27DEC17	2126.00	2127.29	USD	64.50	
27SEP17	S	2	PD27DEC17	2131.50	2127.29	USD	210.50	
27SEP17	S	1	PD27DEC17	2131.00	2127.29	USD	92.75	
27SEP17	S	2	PD27DEC17	2133.00	2127.29	USD	285.50	
27SEP17	S	1	PD27DEC17	2132.00	2127.29	USD	117.75	
	NET	0 AVG	BGHT 2145.35				-1,500.00	0.00
29SEP17	S	1	PD29DEC17	2136.00	2127.50	USD	212.50	
29SEP17	B	1	PD29DEC17	2128.00	2127.50	USD	-12.50	
	NET	0 AVG	BGHT 2128.00				200.00	0.00
03OCT17	S	2	PD03JAN18	2106.00	2128.46	USD	-1,123.00	
03OCT17	S	2	PD03JAN18	2110.00	2128.46	USD	-923.00	
03OCT17	S	1	PD03JAN18	2105.50	2128.46	USD	-574.00	
03OCT17	B	1	PD03JAN18	2120.50	2128.46	USD	199.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
03OCT17	B 1 PD03JAN18	2118.50	2128.46	USD	249.00	
03OCT17	B 2 PD03JAN18	2120.00	2128.46	USD	423.00	
03OCT17	B 1 PD03JAN18	2119.50	2128.46	USD	224.00	
03OCT17	S 4 PD03JAN18	2133.00	2128.46	USD	454.00	
	NET -4 AVG BGHT 2119.70 SOLD 2118.83				-1,071.00	0.00
04OCT17	B 1 PD04JAN18	2147.00	2128.65	USD	-458.75	
	NET +1 AVG BGHT 2147.00				-458.75	0.00
05OCT17	B 2 PD05JAN18	2159.50	2128.85	USD	-1,532.50	
05OCT17	B 1 PD05JAN18	2155.00	2128.85	USD	-653.75	
05OCT17	B 2 PD05JAN18	2151.00	2128.85	USD	-1,107.50	
05OCT17	S 2 PD05JAN18	2166.00	2128.85	USD	1,857.50	
05OCT17	S 2 PD05JAN18	2168.50	2128.85	USD	1,982.50	
05OCT17	S 1 PD05JAN18	2168.00	2128.85	USD	978.75	
05OCT17	S 2 PD05JAN18	2170.50	2128.85	USD	2,082.50	
	NET -2 AVG BGHT 2155.20 SOLD 2168.29				3,607.50	0.00
09OCT17	B 4 PD09JAN18	2169.50	2129.62	USD	-3,988.00	
	NET +4 AVG BGHT 2169.50				-3,988.00	0.00
10OCT17	B 1 PD10JAN18	2166.50	2129.81	USD	-917.25	
10OCT17	B 1 PD10JAN18	2169.00	2129.81	USD	-979.75	
10OCT17	B 3 PD10JAN18	2164.00	2129.81	USD	-2,564.25	
	NET +5 AVG BGHT 2165.50				-4,461.25	0.00
11OCT17	B 1 PD11JAN18	2139.00	2130.00	USD	-225.00	
11OCT17	B 1 PD11JAN18	2144.00	2130.00	USD	-350.00	
	NET +2 AVG BGHT 2141.50				-575.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 1 PD04DEC17	6921.00	6785.75	USD	-3,381.25	
04SEP17	B 1 PD04DEC17	6922.50	6785.75	USD	-3,418.75	
19SEP17	S 2 PD04DEC17	6532.50	6785.75	USD	-12,662.50	
	NET 0 AVG BGHT 6921.75 SOLD 6532.50				-19,462.50	0.00
05SEP17	S 1 PD05DEC17	6944.50	6786.25	USD	3,956.25	
05SEP17	S 1 PD05DEC17	6941.00	6786.25	USD	3,868.75	
19SEP17	B 2 PD05DEC17	6533.28	6786.25	USD	12,648.50	
	NET 0 AVG BGHT 6533.28 SOLD 6942.75				20,473.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 2 DEC17	307.450	309.550	USD	-1,050.00	
	NET -2 AVG SOLD 307.450				-1,050.00	0.00
	<u>CME STERLING 62,500 CME</u>					
11OCT17	S 1 DEC17	1.32130	1.32440	USD	-193.75	
11OCT17	S 1 DEC17	1.32140	1.32440	USD	-187.50	
	NET -2 AVG SOLD 1.32135				-381.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2337.00	2552.35	USD	-5,383.75	
19SEP17	B 1 PD12OCT17	2403.59	2552.35	USD	3,719.00	
	NET 0 AVG BGHT 2403.59 SOLD 2337.00				-1,664.75	0.00
17JUL17	B 1 PD17OCT17	2320.00	2554.35	USD	5,858.75	
17JUL17	B 2 PD17OCT17	2320.50	2554.35	USD	11,692.50	
17JUL17	B 1 PD17OCT17	2320.00	2554.35	USD	5,858.75	
17JUL17	S 1 PD17OCT17	2324.00	2554.35	USD	-5,758.75	
17JUL17	S 1 PD17OCT17	2316.00	2554.35	USD	-5,958.75	
17JUL17	S 1 PD17OCT17	2293.50	2554.35	USD	-6,521.25	
17JUL17	S 1 PD17OCT17	2296.00	2554.35	USD	-6,458.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2320.25	SOLD	2307.38		-1,287.50	0.00
20JUL17	B	1	PD200CT17		2222.00	2555.04	USD	8,326.00	
20JUL17	S	1	PD200CT17		2214.00	2555.04	USD	-8,526.00	
21JUL17	S	1	PD200CT17		2243.00	2555.04	USD	-7,801.00	
21JUL17	B	1	PD200CT17		2245.00	2555.04	USD	7,751.00	
	NET	0 AVG	BGHT	2233.50	SOLD	2228.50		-250.00	0.00
25JUL17	S	2	PD250CT17		2297.00	2555.75	USD	-12,937.50	
25JUL17	B	2	PD250CT17		2300.00	2555.75	USD	12,787.50	
	NET	0 AVG	BGHT	2300.00	SOLD	2297.00		-150.00	0.00
28JUL17	B	1	PD270CT17		2320.00	2556.04	USD	5,901.00	
19SEP17	S	1	PD270CT17		2407.49	2556.04	USD	-3,713.75	
	NET	0 AVG	BGHT	2320.00	SOLD	2407.49		2,187.25	0.00
31JUL17	S	1	PD310CT17		2323.00	2556.61	USD	-5,840.25	
19SEP17	B	1	PD310CT17		2408.80	2556.61	USD	3,695.25	
	NET	0 AVG	BGHT	2408.80	SOLD	2323.00		-2,145.00	0.00
01AUG17	S	2	PD01NOV17		2336.50	2556.75	USD	-11,012.50	
19SEP17	B	2	PD01NOV17		2408.88	2556.75	USD	7,393.50	
	NET	0 AVG	BGHT	2408.88	SOLD	2336.50		-3,619.00	0.00
04AUG17	B	2	PD03NOV17		2371.00	2557.04	USD	9,302.00	
19SEP17	S	2	PD03NOV17		2409.23	2557.04	USD	-7,390.50	
	NET	0 AVG	BGHT	2371.00	SOLD	2409.23		1,911.50	0.00
07AUG17	B	2	PD07NOV17		2363.00	2557.61	USD	9,730.50	
19SEP17	S	2	PD07NOV17		2410.54	2557.61	USD	-7,353.50	
	NET	0 AVG	BGHT	2363.00	SOLD	2410.54		2,377.00	0.00
08AUG17	S	1	PD08NOV17		2366.00	2557.75	USD	-4,793.75	
08AUG17	S	1	PD08NOV17		2367.00	2557.75	USD	-4,768.75	
08AUG17	B	2	PD08NOV17		2393.00	2557.75	USD	8,237.50	
08AUG17	B	2	PD08NOV17		2391.50	2557.75	USD	8,312.50	
19SEP17	S	2	PD08NOV17		2410.81	2557.75	USD	-7,347.00	
	NET	0 AVG	BGHT	2392.25	SOLD	2388.66		-359.50	0.00
09AUG17	S	1	PD09NOV17		2405.00	2557.89	USD	-3,822.25	
09AUG17	S	1	PD09NOV17		2404.50	2557.89	USD	-3,834.75	
09AUG17	S	1	PD09NOV17		2408.00	2557.89	USD	-3,747.25	
09AUG17	S	1	PD09NOV17		2407.50	2557.89	USD	-3,759.75	
19SEP17	B	4	PD09NOV17		2411.29	2557.89	USD	14,660.00	
	NET	0 AVG	BGHT	2411.29	SOLD	2406.25		-504.00	0.00
10AUG17	S	1	PD10NOV17		2390.50	2558.04	USD	-4,188.50	
10AUG17	B	1	PD10NOV17		2370.00	2558.04	USD	4,701.00	
11AUG17	S	1	PD10NOV17		2343.50	2558.04	USD	-5,363.50	
11AUG17	B	1	PD10NOV17		2345.50	2558.04	USD	5,313.50	
11AUG17	B	1	PD10NOV17		2334.00	2558.04	USD	5,601.00	
11AUG17	B	1	PD10NOV17		2332.50	2558.04	USD	5,638.50	
11AUG17	B	1	PD10NOV17		2335.50	2558.04	USD	5,563.50	
11AUG17	S	2	PD10NOV17		2332.00	2558.04	USD	-11,302.00	
11AUG17	S	1	PD10NOV17		2332.00	2558.04	USD	-5,651.00	
	NET	0 AVG	BGHT	2343.50	SOLD	2346.00		312.50	0.00
16AUG17	S	1	PD16NOV17		2404.00	2558.75	USD	-3,868.75	
16AUG17	S	1	PD16NOV17		2407.00	2558.75	USD	-3,793.75	
16AUG17	S	1	PD16NOV17		2484.50	2558.75	USD	-1,856.25	
16AUG17	S	1	PD16NOV17		2482.00	2558.75	USD	-1,918.75	
16AUG17	B	2	PD16NOV17		2506.00	2558.75	USD	2,637.50	
16AUG17	B	1	PD16NOV17		2513.00	2558.75	USD	1,143.75	
16AUG17	B	1	PD16NOV17		2513.00	2558.75	USD	1,143.75	
	NET	0 AVG	BGHT	2509.50	SOLD	2444.38		-6,512.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	2528.50	2558.75	USD	-756.25	
17AUG17	B	1	PD17NOV17	2531.00	2558.75	USD	693.75	
17AUG17	S	1	PD17NOV17	2516.00	2558.75	USD	-1,068.75	
17AUG17	S	2	PD17NOV17	2513.00	2558.75	USD	-2,287.50	
17AUG17	B	1	PD17NOV17	2495.50	2558.75	USD	1,581.25	
17AUG17	B	1	PD17NOV17	2492.00	2558.75	USD	1,668.75	
17AUG17	B	1	PD17NOV17	2528.50	2558.75	USD	756.25	
17AUG17	B	1	PD17NOV17	2480.50	2558.75	USD	1,956.25	
17AUG17	B	1	PD17NOV17	2437.50	2558.75	USD	3,031.25	
17AUG17	B	1	PD17NOV17	2415.00	2558.75	USD	3,593.75	
17AUG17	B	1	PD17NOV17	2412.50	2558.75	USD	3,656.25	
17AUG17	B	1	PD17NOV17	2408.00	2558.75	USD	3,768.75	
18AUG17	B	2	PD17NOV17	2409.50	2558.75	USD	7,462.50	
18AUG17	B	2	PD17NOV17	2360.50	2558.75	USD	9,912.50	
18AUG17	S	1	PD17NOV17	2364.00	2558.75	USD	-4,868.75	
18AUG17	S	1	PD17NOV17	2358.50	2558.75	USD	-5,006.25	
18AUG17	S	1	PD17NOV17	2364.50	2558.75	USD	-4,856.25	
18AUG17	S	1	PD17NOV17	2360.50	2558.75	USD	-4,956.25	
19SEP17	S	5	PD17NOV17	2413.25	2558.75	USD	-18,187.50	
	NET	0 AVG BGHT 2441.58	SOLD 2429.56				-3,906.25	0.00
21AUG17	B	2	PD21NOV17	2390.00	2559.32	USD	8,466.00	
21AUG17	S	1	PD21NOV17	2382.00	2559.32	USD	-4,433.00	
21AUG17	S	1	PD21NOV17	2381.50	2559.32	USD	-4,445.50	
21AUG17	S	1	PD21NOV17	2383.50	2559.32	USD	-4,395.50	
19SEP17	B	1	PD21NOV17	2414.45	2559.32	USD	3,621.75	
	NET	0 AVG BGHT 2398.15	SOLD 2382.33				-1,186.25	0.00
22AUG17	S	2	PD22NOV17	2372.50	2559.46	USD	-9,348.00	
22AUG17	S	2	PD22NOV17	2373.00	2559.46	USD	-9,323.00	
19SEP17	B	4	PD22NOV17	2414.70	2559.46	USD	14,476.00	
	NET	0 AVG BGHT 2414.70	SOLD 2372.75				-4,195.00	0.00
23AUG17	S	1	PD24NOV17	2410.00	2559.75	USD	-3,743.75	
23AUG17	B	1	PD24NOV17	2389.00	2559.75	USD	4,268.75	
	NET	0 AVG BGHT 2389.00	SOLD 2410.00				525.00	0.00
31AUG17	B	1	PD30NOV17	2393.00	2560.30	USD	4,182.50	
19SEP17	S	1	PD30NOV17	2416.50	2560.30	USD	-3,595.00	
	NET	0 AVG BGHT 2393.00	SOLD 2416.50				587.50	0.00
01SEP17	S	1	PD01DEC17	2405.50	2560.39	USD	-3,872.25	
01SEP17	B	1	PD01DEC17	2393.00	2560.39	USD	4,184.75	
01SEP17	B	1	PD01DEC17	2392.00	2560.39	USD	4,209.75	
01SEP17	B	1	PD01DEC17	2391.50	2560.39	USD	4,222.25	
01SEP17	B	1	PD01DEC17	2390.00	2560.39	USD	4,259.75	
19SEP17	S	3	PD01DEC17	2416.75	2560.39	USD	-10,773.00	
	NET	0 AVG BGHT 2391.63	SOLD 2413.94				2,231.25	0.00
04SEP17	S	1	PD04DEC17	2393.00	2560.66	USD	-4,191.50	
19SEP17	B	1	PD04DEC17	2417.70	2560.66	USD	3,574.00	
	NET	0 AVG BGHT 2417.70	SOLD 2393.00				-617.50	0.00
05SEP17	B	1	PD05DEC17	2396.00	2560.75	USD	4,118.75	
05SEP17	S	1	PD05DEC17	2401.00	2560.75	USD	-3,993.75	
05SEP17	S	1	PD05DEC17	2399.50	2560.75	USD	-4,031.25	
05SEP17	B	1	PD05DEC17	2386.00	2560.75	USD	4,368.75	
05SEP17	S	1	PD05DEC17	2328.00	2560.75	USD	-5,818.75	
05SEP17	S	1	PD05DEC17	2327.00	2560.75	USD	-5,843.75	
05SEP17	S	1	PD05DEC17	2331.00	2560.75	USD	-5,743.75	
19SEP17	B	3	PD05DEC17	2417.95	2560.75	USD	10,710.00	
	NET	0 AVG BGHT 2407.17	SOLD 2357.30				-6,233.75	0.00

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	2	PD06DEC17	2329.00	2561.00	USD	-11,600.00	
06SEP17	B	1	PD06DEC17	2323.00	2561.00	USD	5,950.00	
06SEP17	B	1	PD06DEC17	2322.00	2561.00	USD	5,975.00	
06SEP17	S	1	PD06DEC17	2361.00	2561.00	USD	-5,000.00	
06SEP17	B	1	PD06DEC17	2343.00	2561.00	USD	5,450.00	
06SEP17	B	1	PD06DEC17	2345.50	2561.00	USD	5,387.50	
06SEP17	B	1	PD06DEC17	2353.00	2561.00	USD	5,200.00	
06SEP17	B	1	PD06DEC17	2352.50	2561.00	USD	5,212.50	
19SEP17	S	3	PD06DEC17	2418.00	2561.00	USD	-10,725.00	
	NET	0 AVG BGHT 2339.83	SOLD 2378.83				5,850.00	0.00
07SEP17	B	1	PD07DEC17	2331.50	2561.25	USD	5,743.75	
07SEP17	B	1	PD07DEC17	2330.50	2561.25	USD	5,768.75	
07SEP17	S	1	PD07DEC17	2339.50	2561.25	USD	-5,543.75	
07SEP17	S	1	PD07DEC17	2341.00	2561.25	USD	-5,506.25	
07SEP17	S	1	PD07DEC17	2342.50	2561.25	USD	-5,468.75	
07SEP17	S	1	PD07DEC17	2337.50	2561.25	USD	-5,593.75	
07SEP17	S	1	PD07DEC17	2339.00	2561.25	USD	-5,556.25	
19SEP17	B	3	PD07DEC17	2418.45	2561.25	USD	10,710.00	
	NET	0 AVG BGHT 2383.47	SOLD 2339.90				-5,446.25	0.00
15SEP17	B	1	PD15DEC17	2313.00	2563.25	USD	6,256.25	
15SEP17	S	1	PD15DEC17	2353.00	2563.25	USD	-5,256.25	
15SEP17	S	1	PD15DEC17	2350.00	2563.25	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	2355.00	2563.25	USD	-5,206.25	
15SEP17	S	1	PD15DEC17	2359.50	2563.25	USD	-5,093.75	
	NET	-3 AVG BGHT 2313.00	SOLD 2354.38				-14,631.25	0.00
18SEP17	B	1	PD18DEC17	2395.00	2564.00	USD	4,225.00	
	NET	+1 AVG BGHT 2395.00					4,225.00	0.00
19SEP17	S	1	PD19DEC17	2395.00	2564.25	USD	-4,231.25	
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	S	1	PD19DEC17	2440.00	2564.25	USD	-3,106.25	
19SEP17	S	1	PD19DEC17	2447.50	2564.25	USD	-2,918.75	
19SEP17	B	2	PD19DEC17	2424.00	2564.25	USD	7,012.50	
19SEP17	S	1	PD19DEC17	2424.00	2564.25	USD	-3,506.25	
19SEP17	S	1	PD19DEC17	2424.00	2564.25	USD	-3,506.25	
	NET	-4 AVG BGHT 2424.00	SOLD 2420.67				-14,525.00	0.00
20SEP17	B	1	PD20DEC17	2443.00	2564.50	USD	3,037.50	
20SEP17	S	1	PD20DEC17	2468.50	2564.50	USD	-2,400.00	
20SEP17	B	1	PD20DEC17	2446.00	2564.50	USD	2,962.50	
20SEP17	B	1	PD20DEC17	2448.00	2564.50	USD	2,912.50	
20SEP17	S	2	PD20DEC17	2454.00	2564.50	USD	-5,525.00	
20SEP17	S	1	PD20DEC17	2454.50	2564.50	USD	-2,750.00	
20SEP17	B	4	PD20DEC17	2464.50	2564.50	USD	10,000.00	
20SEP17	S	1	PD20DEC17	2454.50	2564.50	USD	-2,750.00	
	NET	+2 AVG BGHT 2456.43	SOLD 2457.10				5,487.50	0.00
21SEP17	S	1	PD21DEC17	2436.00	2563.50	USD	-3,187.50	
21SEP17	S	1	PD21DEC17	2443.50	2563.50	USD	-3,000.00	
21SEP17	B	1	PD21DEC17	2472.00	2563.50	USD	2,287.50	
21SEP17	B	1	PD21DEC17	2465.50	2563.50	USD	2,450.00	
21SEP17	B	1	PD21DEC17	2463.00	2563.50	USD	2,512.50	
21SEP17	S	1	PD21DEC17	2447.00	2563.50	USD	-2,912.50	
21SEP17	B	1	PD21DEC17	2470.00	2563.50	USD	2,337.50	
21SEP17	B	1	PD21DEC17	2464.50	2563.50	USD	2,475.00	
21SEP17	S	3	PD21DEC17	2464.00	2563.50	USD	-7,462.50	
21SEP17	S	4	PD21DEC17	2463.00	2563.50	USD	-10,050.00	
21SEP17	B	1	PD21DEC17	2480.00	2563.50	USD	2,087.50	
21SEP17	B	1	PD21DEC17	2474.00	2563.50	USD	2,237.50	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	2472.50	2563.50	USD	2,275.00	
21SEP17	B	1	PD21DEC17	2473.50	2563.50	USD	2,250.00	
21SEP17	B	1	PD21DEC17	2474.50	2563.50	USD	2,225.00	
21SEP17	B	1	PD21DEC17	2475.00	2563.50	USD	2,212.50	
21SEP17	B	1	PD21DEC17	2489.00	2563.50	USD	1,862.50	
21SEP17	B	1	PD21DEC17	2464.50	2563.50	USD	2,475.00	
21SEP17	B	1	PD21DEC17	2464.00	2563.50	USD	2,487.50	
21SEP17	S	1	PD21DEC17	2464.00	2563.50	USD	-2,487.50	
21SEP17	B	1	PD21DEC17	2478.00	2563.50	USD	2,137.50	
	NET	+4 AVG BGHT 2472.00	SOLD 2457.68				5,212.50	0.00
22SEP17	B	1	PD22DEC17	2419.50	2563.50	USD	3,600.00	
22SEP17	B	1	PD22DEC17	2416.00	2563.50	USD	3,687.50	
22SEP17	S	1	PD22DEC17	2405.00	2563.50	USD	-3,962.50	
22SEP17	S	1	PD22DEC17	2413.00	2563.50	USD	-3,762.50	
22SEP17	S	1	PD22DEC17	2453.00	2563.50	USD	-2,762.50	
22SEP17	B	1	PD22DEC17	2459.00	2563.50	USD	2,612.50	
22SEP17	B	1	PD22DEC17	2421.00	2563.50	USD	3,562.50	
22SEP17	S	1	PD22DEC17	2414.00	2563.50	USD	-3,737.50	
22SEP17	S	1	PD22DEC17	2484.50	2563.50	USD	-1,975.00	
22SEP17	B	1	PD22DEC17	2485.00	2563.50	USD	1,962.50	
22SEP17	B	1	PD22DEC17	2488.00	2563.50	USD	1,887.50	
22SEP17	S	1	PD22DEC17	2488.50	2563.50	USD	-1,875.00	
	NET	0 AVG BGHT 2448.08	SOLD 2443.00				-762.50	0.00
25SEP17	B	2	PD27DEC17	2473.00	2563.50	USD	4,525.00	
25SEP17	B	1	PD27DEC17	2482.00	2563.50	USD	2,037.50	
25SEP17	B	2	PD27DEC17	2484.00	2563.50	USD	3,975.00	
26SEP17	S	1	PD27DEC17	2491.50	2563.50	USD	-1,800.00	
26SEP17	S	1	PD27DEC17	2499.00	2563.50	USD	-1,612.50	
26SEP17	S	1	PD27DEC17	2499.50	2563.50	USD	-1,600.00	
26SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
26SEP17	S	1	PD27DEC17	2502.00	2563.50	USD	-1,537.50	
	NET	0 AVG BGHT 2479.20	SOLD 2498.90				2,462.50	0.00
28SEP17	S	1	PD28DEC17	2459.50	2563.60	USD	-2,602.50	
28SEP17	S	1	PD28DEC17	2460.00	2563.60	USD	-2,590.00	
28SEP17	S	1	PD28DEC17	2461.50	2563.60	USD	-2,552.50	
28SEP17	B	1	PD28DEC17	2458.00	2563.60	USD	2,640.00	
28SEP17	S	2	PD28DEC17	2474.00	2563.60	USD	-4,480.00	
28SEP17	B	1	PD28DEC17	2480.50	2563.60	USD	2,077.50	
28SEP17	B	1	PD28DEC17	2479.50	2563.60	USD	2,102.50	
28SEP17	B	1	PD28DEC17	2482.00	2563.60	USD	2,040.00	
28SEP17	B	1	PD28DEC17	2483.00	2563.60	USD	2,015.00	
	NET	0 AVG BGHT 2476.60	SOLD 2465.80				-1,350.00	0.00
29SEP17	S	2	PD29DEC17	2489.00	2563.70	USD	-3,735.00	
29SEP17	B	2	PD29DEC17	2488.50	2563.70	USD	3,760.00	
	NET	0 AVG BGHT 2488.50	SOLD 2489.00				25.00	0.00
03OCT17	S	1	PD03JAN18	2590.00	2564.20	USD	645.00	
03OCT17	S	1	PD03JAN18	2591.50	2564.20	USD	682.50	
03OCT17	B	2	PD03JAN18	2594.00	2564.20	USD	-1,490.00	
	NET	0 AVG BGHT 2594.00	SOLD 2590.75				-162.50	0.00
04OCT17	B	2	PD04JAN18	2576.50	2564.30	USD	-610.00	
	NET	+2 AVG BGHT 2576.50					-610.00	0.00
05OCT17	S	1	PD05JAN18	2579.00	2564.40	USD	365.00	
05OCT17	S	1	PD05JAN18	2581.00	2564.40	USD	415.00	
	NET	-2 AVG SOLD 2580.00					780.00	0.00
09OCT17	B	2	PD09JAN18	2518.00	2564.80	USD	2,340.00	

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09OCT17	S	1	PD09JAN18	2514.00	2564.80	USD	-1,270.00	
09OCT17	S	1	PD09JAN18	2515.00	2564.80	USD	-1,245.00	
09OCT17	S	1	PD09JAN18	2516.00	2564.80	USD	-1,220.00	
09OCT17	B	1	PD09JAN18	2515.50	2564.80	USD	1,232.50	
09OCT17	S	1	PD09JAN18	2516.50	2564.80	USD	-1,207.50	
09OCT17	B	1	PD09JAN18	2516.00	2564.80	USD	1,220.00	
	NET	0 AVG	BGHT 2516.88				-150.00	0.00
			SOLD 2515.38					
11OCT17	B	2	PD11JAN18	2521.00	2565.00	USD	2,200.00	
11OCT17	B	1	PD11JAN18	2519.00	2565.00	USD	1,150.00	
11OCT17	S	1	PD11JAN18	2520.00	2565.00	USD	-1,125.00	
11OCT17	S	1	PD11JAN18	2529.00	2565.00	USD	-900.00	
11OCT17	S	1	PD11JAN18	2530.00	2565.00	USD	-875.00	
	NET	0 AVG	BGHT 2520.33				450.00	0.00
			SOLD 2526.33					
	<u>CME E-MINI S&P 500 50 CME</u>							
18SEP17	S	3	DEC17	2503.25	2553.00	USD	-7,462.50	
	NET	-3 AVG	SOLD 2503.25				-7,462.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	9255.00	11060.20	USD	-10,831.20	
19SEP17	B	1	PD12OCT17	11086.06	11060.20	USD	-155.16	
	NET	0 AVG	BGHT 11086.06				-10,986.36	0.00
			SOLD 9255.00					
14JUL17	S	1	PD13OCT17	9390.00	11061.00	USD	-10,026.00	
14JUL17	B	1	PD13OCT17	9540.00	11061.00	USD	9,126.00	
	NET	0 AVG	BGHT 9540.00				-900.00	0.00
			SOLD 9390.00					
17JUL17	S	1	PD17OCT17	9645.00	11064.20	USD	-8,515.20	
17JUL17	S	1	PD17OCT17	9715.00	11064.20	USD	-8,095.20	
17JUL17	B	1	PD17OCT17	9625.00	11064.20	USD	8,635.20	
17JUL17	B	1	PD17OCT17	9615.00	11064.20	USD	8,695.20	
	NET	0 AVG	BGHT 9620.00				720.00	0.00
			SOLD 9680.00					
18JUL17	S	1	PD18OCT17	9595.00	11065.00	USD	-8,820.00	
18JUL17	B	1	PD18OCT17	9715.00	11065.00	USD	8,100.00	
	NET	0 AVG	BGHT 9715.00				-720.00	0.00
			SOLD 9595.00					
20JUL17	S	1	PD20OCT17	9610.00	11066.93	USD	-8,741.58	
20JUL17	S	1	PD20OCT17	9655.00	11066.93	USD	-8,471.58	
19SEP17	B	2	PD20OCT17	11091.25	11066.93	USD	-291.84	
	NET	0 AVG	BGHT 11091.25				-17,505.00	0.00
			SOLD 9632.50					
24JUL17	S	1	PD24OCT17	9740.00	11070.79	USD	-7,984.74	
24JUL17	S	1	PD24OCT17	9765.00	11070.79	USD	-7,834.74	
19SEP17	B	2	PD24OCT17	11094.75	11070.79	USD	-287.52	
	NET	0 AVG	BGHT 11094.75				-16,107.00	0.00
			SOLD 9752.50					
25JUL17	B	2	PD25OCT17	9870.00	11071.75	USD	14,421.00	
25JUL17	B	1	PD25OCT17	9915.00	11071.75	USD	6,940.50	
25JUL17	B	1	PD25OCT17	9955.00	11071.75	USD	6,700.50	
19SEP17	S	4	PD25OCT17	11094.63	11071.75	USD	549.12	
	NET	0 AVG	BGHT 9902.50				28,611.12	0.00
			SOLD 11094.63					
26JUL17	S	1	PD26OCT17	10035.00	11072.71	USD	-6,226.26	
19SEP17	B	1	PD26OCT17	11096.50	11072.71	USD	-142.74	
	NET	0 AVG	BGHT 11096.50				-6,369.00	0.00
			SOLD 10035.00					
28JUL17	S	1	PD27OCT17	10235.00	11073.68	USD	-5,032.08	
19SEP17	B	1	PD27OCT17	11097.38	11073.68	USD	-142.20	
	NET	0 AVG	BGHT 11097.38				-5,174.28	0.00
			SOLD 10235.00					
01AUG17	B	1	PD01NOV17	10255.00	11078.50	USD	4,941.00	
01AUG17	B	1	PD01NOV17	10265.00	11078.50	USD	4,881.00	

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19SEP17	S	2	PD01NOV17	11100.75	11078.50	USD	267.00	
	NET	0 AVG BGHT 10260.00	SOLD 11100.75				10,089.00	0.00
02AUG17	S	1	PD02NOV17	10190.00	11079.46	USD	-5,336.76	
02AUG17	S	1	PD02NOV17	10185.00	11079.46	USD	-5,366.76	
19SEP17	B	2	PD02NOV17	11102.63	11079.46	USD	-278.04	
	NET	0 AVG BGHT 11102.63	SOLD 10187.50				-10,981.56	0.00
04AUG17	B	1	PD03NOV17	10210.00	11080.43	USD	5,222.58	
04AUG17	B	1	PD03NOV17	10205.00	11080.43	USD	5,252.58	
19SEP17	S	2	PD03NOV17	11102.50	11080.43	USD	264.84	
	NET	0 AVG BGHT 10207.50	SOLD 11102.50				10,740.00	0.00
08AUG17	B	2	PD08NOV17	10300.00	11085.25	USD	9,423.00	
08AUG17	S	1	PD08NOV17	10615.00	11085.25	USD	-2,821.50	
08AUG17	S	1	PD08NOV17	10740.00	11085.25	USD	-2,071.50	
08AUG17	B	1	PD08NOV17	10740.00	11085.25	USD	2,071.50	
19SEP17	S	1	PD08NOV17	11107.88	11085.25	USD	135.78	
	NET	0 AVG BGHT 10446.67	SOLD 10820.96				6,737.28	0.00
09AUG17	S	1	PD09NOV17	10810.00	11086.21	USD	-1,657.26	
19SEP17	B	1	PD09NOV17	11109.75	11086.21	USD	-141.24	
	NET	0 AVG BGHT 11109.75	SOLD 10810.00				-1,798.50	0.00
10AUG17	S	1	PD10NOV17	10820.00	11087.18	USD	-1,603.08	
10AUG17	B	1	PD10NOV17	10805.00	11087.18	USD	1,693.08	
10AUG17	S	1	PD10NOV17	10925.00	11087.18	USD	-973.08	
10AUG17	S	1	PD10NOV17	10910.00	11087.18	USD	-1,063.08	
11AUG17	B	1	PD10NOV17	10735.00	11087.18	USD	2,113.08	
11AUG17	B	1	PD10NOV17	10650.00	11087.18	USD	2,623.08	
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	B	1	PD10NOV17	10655.00	11087.18	USD	2,593.08	
11AUG17	S	1	PD10NOV17	10715.00	11087.18	USD	-2,233.08	
11AUG17	B	1	PD10NOV17	10645.00	11087.18	USD	2,653.08	
19SEP17	S	2	PD10NOV17	11109.63	11087.18	USD	269.40	
	NET	0 AVG BGHT 10687.50	SOLD 10931.54				8,785.56	0.00
14AUG17	B	1	PD14NOV17	10585.00	11091.04	USD	3,036.24	
14AUG17	B	1	PD14NOV17	10575.00	11091.04	USD	3,096.24	
14AUG17	B	1	PD14NOV17	10565.00	11091.04	USD	3,156.24	
19SEP17	S	3	PD14NOV17	11113.13	11091.04	USD	397.62	
	NET	0 AVG BGHT 10575.00	SOLD 11113.13				9,686.34	0.00
16AUG17	S	1	PD16NOV17	10630.00	11092.77	USD	-2,776.62	
16AUG17	S	1	PD16NOV17	10710.00	11092.77	USD	-2,296.62	
19SEP17	B	2	PD16NOV17	11115.76	11092.77	USD	-275.88	
	NET	0 AVG BGHT 11115.76	SOLD 10670.00				-5,349.12	0.00
17AUG17	S	1	PD17NOV17	10650.00	11093.54	USD	-2,661.24	
18AUG17	S	1	PD17NOV17	11010.00	11093.54	USD	-501.24	
18AUG17	S	1	PD17NOV17	11005.00	11093.54	USD	-531.24	
19SEP17	B	3	PD17NOV17	11116.53	11093.54	USD	-413.82	
	NET	0 AVG BGHT 11116.53	SOLD 10888.33				-4,107.54	0.00
23AUG17	S	1	PD24NOV17	11530.00	11098.94	USD	2,586.36	
23AUG17	S	1	PD24NOV17	11550.00	11098.94	USD	2,706.36	
23AUG17	S	1	PD24NOV17	11535.00	11098.94	USD	2,616.36	
23AUG17	S	1	PD24NOV17	11580.00	11098.94	USD	2,886.36	
23AUG17	B	1	PD24NOV17	11760.00	11098.94	USD	-3,966.36	
23AUG17	S	1	PD24NOV17	11785.00	11098.94	USD	4,116.36	
24AUG17	B	1	PD24NOV17	11710.00	11098.94	USD	-3,666.36	
24AUG17	B	1	PD24NOV17	11665.00	11098.94	USD	-3,396.36	
24AUG17	B	1	PD24NOV17	11625.00	11098.94	USD	-3,156.36	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	2	PD24NOV17	11685.00	11098.94	USD	7,032.72	
25AUG17	B	1	PD24NOV17	11425.00	11098.94	USD	-1,956.36	
25AUG17	B	1	PD24NOV17	11435.00	11098.94	USD	-2,016.36	
25AUG17	S	1	PD24NOV17	11430.00	11098.94	USD	1,986.36	
25AUG17	S	2	PD24NOV17	11475.00	11098.94	USD	4,512.72	
25AUG17	B	1	PD24NOV17	11435.00	11098.94	USD	-2,016.36	
19SEP17	B	3	PD24NOV17	11120.88	11098.94	USD	-394.92	
	NET	0 AVG	BGHT 11441.76				7,874.16	0.00
29AUG17	B	4	PD29NOV17	11735.00	11102.80	USD	-15,172.80	
29AUG17	B	5	PD29NOV17	11745.00	11102.80	USD	-19,266.00	
29AUG17	S	2	PD29NOV17	11730.00	11102.80	USD	7,526.40	
29AUG17	S	3	PD29NOV17	11735.00	11102.80	USD	11,379.60	
29AUG17	S	1	PD29NOV17	11705.00	11102.80	USD	3,613.20	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	S	1	PD29NOV17	11700.00	11102.80	USD	3,583.20	
29AUG17	S	1	PD29NOV17	11765.00	11102.80	USD	3,973.20	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	S	1	PD29NOV17	11730.00	11102.80	USD	3,763.20	
29AUG17	S	1	PD29NOV17	11800.00	11102.80	USD	4,183.20	
19SEP17	S	1	PD29NOV17	11123.71	11102.80	USD	125.46	
	NET	0 AVG	BGHT 11738.64				-3,817.74	0.00
30AUG17	B	2	PD30NOV17	11675.00	11103.57	USD	-6,857.16	
19SEP17	S	2	PD30NOV17	11124.47	11103.57	USD	250.80	
	NET	0 AVG	BGHT 11675.00				-6,606.36	0.00
01SEP17	S	1	PD01DEC17	11915.00	11104.34	USD	4,863.96	
01SEP17	S	1	PD01DEC17	12080.00	11104.34	USD	5,853.96	
01SEP17	S	1	PD01DEC17	12070.00	11104.34	USD	5,793.96	
01SEP17	B	2	PD01DEC17	12150.00	11104.34	USD	-12,547.92	
19SEP17	B	1	PD01DEC17	11126.24	11104.34	USD	-131.40	
	NET	0 AVG	BGHT 11808.75				3,832.56	0.00
04SEP17	B	1	PD04DEC17	12275.00	11106.66	USD	-7,010.04	
19SEP17	S	1	PD04DEC17	11127.53	11106.66	USD	125.22	
	NET	0 AVG	BGHT 12275.00				-6,884.82	0.00
05SEP17	S	2	PD05DEC17	12250.00	11107.43	USD	13,710.84	
05SEP17	B	2	PD05DEC17	11985.00	11107.43	USD	-10,530.84	
	NET	0 AVG	BGHT 11985.00				3,180.00	0.00
06SEP17	S	1	PD06DEC17	12055.00	11108.20	USD	5,680.80	
06SEP17	S	1	PD06DEC17	12075.00	11108.20	USD	5,800.80	
06SEP17	S	1	PD06DEC17	12125.00	11108.20	USD	6,100.80	
06SEP17	B	1	PD06DEC17	12185.00	11108.20	USD	-6,460.80	
06SEP17	B	1	PD06DEC17	12175.00	11108.20	USD	-6,400.80	
06SEP17	S	2	PD06DEC17	12250.00	11108.20	USD	13,701.60	
19SEP17	B	3	PD06DEC17	11130.06	11108.20	USD	-393.48	
	NET	0 AVG	BGHT 11550.04				18,028.92	0.00
08SEP17	B	1	PD08DEC17	11930.00	11109.74	USD	-4,921.56	
08SEP17	B	2	PD08DEC17	11935.00	11109.74	USD	-9,903.12	
08SEP17	B	1	PD08DEC17	11520.00	11109.74	USD	-2,461.56	
08SEP17	S	1	PD08DEC17	11500.00	11109.74	USD	2,341.56	
08SEP17	B	2	PD08DEC17	11505.00	11109.74	USD	-4,743.12	
19SEP17	S	3	PD08DEC17	11130.59	11109.74	USD	375.30	
19SEP17	S	2	PD08DEC17	11131.59	11109.74	USD	262.20	
	NET	0 AVG	BGHT 11721.67				-19,050.30	0.00
11SEP17	S	1	PD11DEC17	11630.00	11112.06	USD	3,107.64	
11SEP17	S	1	PD11DEC17	11595.00	11112.06	USD	2,897.64	
11SEP17	S	1	PD11DEC17	11620.00	11112.06	USD	3,047.64	

OPEN POSITION STATEMENT



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SAP083ZH

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	2	PD11DEC17	11820.00	11112.06	USD	8,495.28	
11SEP17	S	1	PD11DEC17	11830.00	11112.06	USD	4,307.64	
19SEP17	B	2	PD11DEC17	11134.88	11112.06	USD	-273.84	
19SEP17	B	1	PD11DEC17	11133.88	11112.06	USD	-130.92	
	NET	-3 AVG BGHT 11134.55	SOLD 11719.17				21,451.08	0.00
14SEP17	B	1	PD14DEC17	11270.00	11114.37	USD	-933.78	
14SEP17	S	1	PD14DEC17	11275.00	11114.37	USD	963.78	
14SEP17	B	1	PD14DEC17	11210.00	11114.37	USD	-573.78	
14SEP17	S	1	PD14DEC17	11215.00	11114.37	USD	603.78	
14SEP17	B	1	PD14DEC17	11215.00	11114.37	USD	-603.78	
19SEP17	S	1	PD14DEC17	11135.18	11114.37	USD	124.86	
	NET	0 AVG BGHT 11231.67	SOLD 11208.39				-418.92	0.00
15SEP17	B	1	PD15DEC17	11190.00	11115.14	USD	-449.16	
15SEP17	B	1	PD15DEC17	11180.00	11115.14	USD	-389.16	
15SEP17	B	1	PD15DEC17	11080.00	11115.14	USD	210.84	
15SEP17	S	1	PD15DEC17	11160.00	11115.14	USD	269.16	
15SEP17	S	2	PD15DEC17	11165.00	11115.14	USD	598.32	
	NET	0 AVG BGHT 11150.00	SOLD 11163.33				240.00	0.00
18SEP17	S	1	PD18DEC17	11180.00	11117.46	USD	375.24	
18SEP17	S	2	PD18DEC17	11195.00	11117.46	USD	930.48	
	NET	-3 AVG SOLD 11190.00					1,305.72	0.00
19SEP17	B	1	PD19DEC17	10975.00	11118.23	USD	859.38	
19SEP17	S	1	PD19DEC17	11125.00	11118.23	USD	40.62	
	NET	0 AVG BGHT 10975.00	SOLD 11125.00				900.00	0.00
20SEP17	S	1	PD20DEC17	11280.00	11119.00	USD	966.00	
	NET	-1 AVG SOLD 11280.00					966.00	0.00
21SEP17	B	1	PD21DEC17	11020.00	11119.73	USD	598.38	
21SEP17	B	1	PD21DEC17	11030.00	11119.73	USD	538.38	
21SEP17	B	1	PD21DEC17	10935.00	11119.73	USD	1,108.38	
21SEP17	B	1	PD21DEC17	10940.00	11119.73	USD	1,078.38	
21SEP17	B	1	PD21DEC17	10940.00	11119.73	USD	1,078.38	
21SEP17	B	1	PD21DEC17	10930.00	11119.73	USD	1,138.38	
21SEP17	B	1	PD21DEC17	10730.00	11119.73	USD	2,338.38	
21SEP17	B	1	PD21DEC17	10665.00	11119.73	USD	2,728.38	
21SEP17	S	1	PD21DEC17	10740.00	11119.73	USD	-2,278.38	
21SEP17	B	1	PD21DEC17	10625.00	11119.73	USD	2,968.38	
21SEP17	B	1	PD21DEC17	10675.00	11119.73	USD	2,668.38	
	NET	+9 AVG BGHT 10849.00	SOLD 10740.00				13,965.42	0.00
22SEP17	S	1	PD22DEC17	10530.00	11120.45	USD	-3,542.70	
22SEP17	S	1	PD22DEC17	10545.00	11120.45	USD	-3,452.70	
22SEP17	B	1	PD22DEC17	10655.00	11120.45	USD	2,792.70	
22SEP17	B	1	PD22DEC17	10635.00	11120.45	USD	2,912.70	
22SEP17	S	1	PD22DEC17	10630.00	11120.45	USD	-2,942.70	
	NET	-1 AVG BGHT 10645.00	SOLD 10568.33				-4,232.70	0.00
25SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
26SEP17	B	1	PD27DEC17	10650.00	11124.09	USD	2,844.54	
26SEP17	B	1	PD27DEC17	10645.00	11124.09	USD	2,874.54	
26SEP17	B	1	PD27DEC17	10615.00	11124.09	USD	3,054.54	
26SEP17	B	1	PD27DEC17	10600.00	11124.09	USD	3,144.54	
26SEP17	S	2	PD27DEC17	10630.00	11124.09	USD	-5,929.08	
26SEP17	S	1	PD27DEC17	10490.00	11124.09	USD	-3,804.54	
26SEP17	S	1	PD27DEC17	10470.00	11124.09	USD	-3,924.54	
	NET	-1 AVG BGHT 10627.50	SOLD 10569.00				-4,734.54	0.00
28SEP17	B	3	PD28DEC17	10490.00	11124.82	USD	11,426.76	
28SEP17	S	1	PD28DEC17	10480.00	11124.82	USD	-3,868.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	10460.00	11124.82	USD	3,988.92	
28SEP17	S	1	PD28DEC17	10460.00	11124.82	USD	-3,988.92	
28SEP17	B	1	PD28DEC17	10465.00	11124.82	USD	3,958.92	
	NET	+3 AVG BGHT 10479.00	SOLD 10470.00				11,516.76	0.00
29SEP17	S	1	PD29DEC17	10665.00	11125.55	USD	-2,763.30	
29SEP17	S	1	PD29DEC17	10670.00	11125.55	USD	-2,733.30	
29SEP17	S	1	PD29DEC17	10695.00	11125.55	USD	-2,583.30	
	NET	-3 AVG SOLD 10676.67					-8,079.90	0.00
03OCT17	S	1	PD03JAN18	10740.00	11129.18	USD	-2,335.08	
03OCT17	B	1	PD03JAN18	10545.00	11129.18	USD	3,505.08	
03OCT17	S	1	PD03JAN18	10700.00	11129.18	USD	-2,575.08	
	NET	-1 AVG BGHT 10545.00	SOLD 10720.00				-1,405.08	0.00
04OCT17	B	1	PD04JAN18	10665.00	11129.91	USD	2,789.46	
04OCT17	B	1	PD04JAN18	10660.00	11129.91	USD	2,819.46	
04OCT17	S	1	PD04JAN18	10575.00	11129.91	USD	-3,329.46	
04OCT17	S	1	PD04JAN18	10570.00	11129.91	USD	-3,359.46	
04OCT17	B	1	PD04JAN18	10570.00	11129.91	USD	3,359.46	
	NET	+1 AVG BGHT 10631.67	SOLD 10572.50				2,279.46	0.00
05OCT17	B	1	PD05JAN18	10610.00	11130.64	USD	3,123.84	
05OCT17	S	1	PD05JAN18	10675.00	11130.64	USD	-2,733.84	
	NET	0 AVG BGHT 10610.00	SOLD 10675.00				390.00	0.00
09OCT17	S	2	PD09JAN18	10700.00	11133.55	USD	-5,202.60	
09OCT17	S	1	PD09JAN18	10810.00	11133.55	USD	-1,941.30	
09OCT17	S	1	PD09JAN18	10995.00	11133.55	USD	-831.30	
	NET	-4 AVG SOLD 10801.25					-7,975.20	0.00
10OCT17	B	1	PD10JAN18	10870.00	11134.27	USD	1,585.62	
10OCT17	B	1	PD10JAN18	10865.00	11134.27	USD	1,615.62	
	NET	+2 AVG BGHT 10867.50					3,201.24	0.00
11OCT17	S	1	PD11JAN18	11180.00	11135.00	USD	270.00	
	NET	-1 AVG SOLD 11180.00					270.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD12OCT17	2837.00	3299.33	USD	23,116.50	
12JUL17	B	1	PD12OCT17	2833.50	3299.33	USD	11,645.75	
12JUL17	B	1	PD12OCT17	2824.00	3299.33	USD	11,883.25	
12JUL17	B	1	PD12OCT17	2825.00	3299.33	USD	11,858.25	
12JUL17	S	1	PD12OCT17	2870.00	3299.33	USD	-10,733.25	
12JUL17	B	1	PD12OCT17	2851.00	3299.33	USD	11,208.25	
19SEP17	S	5	PD12OCT17	3111.87	3299.33	USD	-23,432.50	
	NET	0 AVG BGHT 2834.58	SOLD 3071.56				35,546.25	0.00
13JUL17	B	1	PD13OCT17	2805.50	3295.00	USD	12,237.50	
13JUL17	B	1	PD13OCT17	2801.00	3295.00	USD	12,350.00	
13JUL17	B	1	PD13OCT17	2801.50	3295.00	USD	12,337.50	
14JUL17	S	1	PD13OCT17	2794.50	3295.00	USD	-12,512.50	
14JUL17	S	1	PD13OCT17	2796.50	3295.00	USD	-12,462.50	
14JUL17	S	1	PD13OCT17	2800.00	3295.00	USD	-12,375.00	
14JUL17	B	1	PD13OCT17	2788.00	3295.00	USD	12,675.00	
14JUL17	S	2	PD13OCT17	2784.50	3295.00	USD	-25,525.00	
14JUL17	S	1	PD13OCT17	2781.50	3295.00	USD	-12,837.50	
14JUL17	B	1	PD13OCT17	2777.00	3295.00	USD	12,950.00	
14JUL17	B	1	PD13OCT17	2778.00	3295.00	USD	12,925.00	
14JUL17	B	1	PD13OCT17	2773.50	3295.00	USD	13,037.50	
14JUL17	B	1	PD13OCT17	2770.00	3295.00	USD	13,125.00	
14JUL17	B	1	PD13OCT17	2762.50	3295.00	USD	13,312.50	

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14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	B	1	PD130CT17	2755.00	3295.00	USD	13,500.00	
14JUL17	S	1	PD130CT17	2781.50	3295.00	USD	-12,837.50	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	S	1	PD130CT17	2783.00	3295.00	USD	-12,800.00	
14JUL17	S	1	PD130CT17	2788.50	3295.00	USD	-12,662.50	
14JUL17	S	1	PD130CT17	2773.00	3295.00	USD	-13,050.00	
14JUL17	S	1	PD130CT17	2784.00	3295.00	USD	-12,775.00	
14JUL17	S	1	PD130CT17	2795.50	3295.00	USD	-12,487.50	
14JUL17	S	2	PD130CT17	2785.50	3295.00	USD	-25,475.00	
14JUL17	B	1	PD130CT17	2791.00	3295.00	USD	12,600.00	
19SEP17	B	1	PD130CT17	3111.37	3295.00	USD	4,590.75	
	NET	0 AVG BGHT 2803.17	SOLD 2787.00				-5,659.25	0.00
17JUL17	S	1	PD170CT17	2825.00	3286.33	USD	-11,533.25	
17JUL17	S	1	PD170CT17	2834.50	3286.33	USD	-11,295.75	
17JUL17	B	1	PD170CT17	2818.50	3286.33	USD	11,695.75	
17JUL17	B	1	PD170CT17	2819.00	3286.33	USD	11,683.25	
17JUL17	S	2	PD170CT17	2815.00	3286.33	USD	-23,566.50	
17JUL17	S	2	PD170CT17	2812.00	3286.33	USD	-23,716.50	
17JUL17	B	2	PD170CT17	2813.00	3286.33	USD	23,666.50	
17JUL17	B	1	PD170CT17	2811.50	3286.33	USD	11,870.75	
17JUL17	B	1	PD170CT17	2816.00	3286.33	USD	11,758.25	
17JUL17	B	1	PD170CT17	2832.00	3286.33	USD	11,358.25	
17JUL17	B	1	PD170CT17	2829.00	3286.33	USD	11,433.25	
17JUL17	B	1	PD170CT17	2828.50	3286.33	USD	11,445.75	
17JUL17	B	1	PD170CT17	2823.00	3286.33	USD	11,583.25	
17JUL17	S	1	PD170CT17	2828.00	3286.33	USD	-11,458.25	
17JUL17	S	1	PD170CT17	2829.50	3286.33	USD	-11,420.75	
17JUL17	S	1	PD170CT17	2833.50	3286.33	USD	-11,320.75	
19SEP17	S	1	PD170CT17	3109.76	3286.33	USD	-4,414.25	
	NET	0 AVG BGHT 2820.35	SOLD 2851.43				7,769.00	0.00
18JUL17	B	1	PD180CT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	1	PD180CT17	2795.50	3282.00	USD	12,162.50	
18JUL17	B	2	PD180CT17	2778.50	3282.00	USD	25,175.00	
18JUL17	B	2	PD180CT17	2777.00	3282.00	USD	25,250.00	
18JUL17	B	2	PD180CT17	2778.00	3282.00	USD	25,200.00	
18JUL17	S	2	PD180CT17	2796.50	3282.00	USD	-24,275.00	
18JUL17	S	1	PD180CT17	2792.50	3282.00	USD	-12,237.50	
18JUL17	S	1	PD180CT17	2788.50	3282.00	USD	-12,337.50	
18JUL17	S	1	PD180CT17	2784.00	3282.00	USD	-12,450.00	
18JUL17	S	1	PD180CT17	2788.00	3282.00	USD	-12,350.00	
18JUL17	S	4	PD180CT17	2791.00	3282.00	USD	-49,100.00	
18JUL17	S	2	PD180CT17	2790.50	3282.00	USD	-24,575.00	
19SEP17	B	4	PD180CT17	3109.25	3282.00	USD	17,275.00	
	NET	0 AVG BGHT 2891.04	SOLD 2790.92				-30,037.50	0.00
19JUL17	B	1	PD190CT17	2773.50	3277.50	USD	12,600.00	
19JUL17	B	1	PD190CT17	2769.00	3277.50	USD	12,712.50	
19JUL17	B	1	PD190CT17	2767.50	3277.50	USD	12,750.00	
19JUL17	B	1	PD190CT17	2768.00	3277.50	USD	12,737.50	
19JUL17	B	3	PD190CT17	2738.00	3277.50	USD	40,462.50	
19JUL17	B	1	PD190CT17	2738.50	3277.50	USD	13,475.00	
19SEP17	S	8	PD190CT17	3107.25	3277.50	USD	-34,050.00	
	NET	0 AVG BGHT 2753.81	SOLD 3107.25				70,687.50	0.00
20JUL17	S	1	PD200CT17	2728.00	3273.00	USD	-13,625.00	
20JUL17	S	3	PD200CT17	2727.00	3273.00	USD	-40,950.00	
20JUL17	B	2	PD200CT17	2726.50	3273.00	USD	27,325.00	

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21JUL17	S	1	PD200CT17	2759.50	3273.00	USD	-12,837.50	
21JUL17	S	1	PD200CT17	2760.50	3273.00	USD	-12,812.50	
21JUL17	B	1	PD200CT17	2742.50	3273.00	USD	13,262.50	
21JUL17	B	1	PD200CT17	2746.00	3273.00	USD	13,175.00	
21JUL17	B	1	PD200CT17	2753.00	3273.00	USD	13,000.00	
21JUL17	B	2	PD200CT17	2757.50	3273.00	USD	25,775.00	
19SEP17	S	1	PD200CT17	3106.75	3273.00	USD	-4,156.25	
	NET	0 AVG	BGHT 2744.21	SOLD 2790.82			8,156.25	0.00
24JUL17	S	1	PD240CT17	2772.50	3267.00	USD	-12,362.50	
24JUL17	S	1	PD240CT17	2777.00	3267.00	USD	-12,250.00	
24JUL17	S	2	PD240CT17	2787.00	3267.00	USD	-24,000.00	
24JUL17	B	2	PD240CT17	2788.00	3267.00	USD	23,950.00	
19SEP17	B	2	PD240CT17	3106.84	3267.00	USD	8,008.00	
	NET	0 AVG	BGHT 2947.42	SOLD 2780.88			-16,654.50	0.00
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2827.00	3264.00	USD	-10,925.00	
25JUL17	S	1	PD250CT17	2827.00	3264.00	USD	-10,925.00	
25JUL17	B	1	PD250CT17	2842.00	3264.00	USD	10,550.00	
25JUL17	B	1	PD250CT17	2836.00	3264.00	USD	10,700.00	
19SEP17	B	1	PD250CT17	3106.78	3264.00	USD	3,930.50	
	NET	0 AVG	BGHT 2928.26	SOLD 2823.83			-7,832.00	0.00
26JUL17	S	1	PD260CT17	2801.50	3262.33	USD	-11,520.75	
26JUL17	S	1	PD260CT17	2801.50	3262.33	USD	-11,520.75	
19SEP17	B	1	PD260CT17	3106.73	3262.33	USD	3,890.00	
19SEP17	B	1	PD260CT17	3106.53	3262.33	USD	3,895.00	
	NET	0 AVG	BGHT 3106.63	SOLD 2801.50			-15,256.50	0.00
27JUL17	S	1	PD270CT17	2822.50	3260.67	USD	-10,954.25	
27JUL17	S	1	PD270CT17	2818.00	3260.67	USD	-11,066.75	
27JUL17	B	2	PD270CT17	2823.50	3260.67	USD	21,858.50	
27JUL17	S	1	PD270CT17	2824.50	3260.67	USD	-10,904.25	
27JUL17	S	1	PD270CT17	2825.00	3260.67	USD	-10,891.75	
28JUL17	S	2	PD270CT17	2773.50	3260.67	USD	-24,358.50	
28JUL17	B	1	PD270CT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD270CT17	2775.00	3260.67	USD	12,141.75	
28JUL17	B	1	PD270CT17	2772.00	3260.67	USD	12,216.75	
28JUL17	B	2	PD270CT17	2770.00	3260.67	USD	24,533.50	
28JUL17	S	1	PD270CT17	2773.50	3260.67	USD	-12,179.25	
	NET	0 AVG	BGHT 2786.79	SOLD 2801.50			2,575.00	0.00
31JUL17	S	2	PD310CT17	2812.50	3257.33	USD	-22,241.50	
31JUL17	B	1	PD310CT17	2810.50	3257.33	USD	11,170.75	
31JUL17	B	1	PD310CT17	2811.00	3257.33	USD	11,158.25	
31JUL17	S	1	PD310CT17	2797.50	3257.33	USD	-11,495.75	
31JUL17	B	1	PD310CT17	2792.00	3257.33	USD	11,633.25	
	NET	0 AVG	BGHT 2804.50	SOLD 2807.50			225.00	0.00
01AUG17	B	1	PD01NOV17	2776.00	3255.67	USD	11,991.75	
01AUG17	S	1	PD01NOV17	2782.50	3255.67	USD	-11,829.25	
	NET	0 AVG	BGHT 2776.00	SOLD 2782.50			162.50	0.00
02AUG17	B	2	PD02NOV17	2768.00	3254.00	USD	24,300.00	
02AUG17	B	1	PD02NOV17	2767.00	3254.00	USD	12,175.00	
02AUG17	B	1	PD02NOV17	2762.00	3254.00	USD	12,300.00	
02AUG17	B	1	PD02NOV17	2762.00	3254.00	USD	12,300.00	
02AUG17	S	4	PD02NOV17	2784.00	3254.00	USD	-47,000.00	
02AUG17	S	1	PD02NOV17	2782.00	3254.00	USD	-11,800.00	
26SEP17	S	2	PD02NOV17	3146.75	3254.00	USD	-5,362.50	
03OCT17	S	2	PD02NOV17	3243.00	3254.00	USD	-550.00	

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10OCT17	B	2	PD02NOV17	3255.00	3254.00	USD	-50.00	
11OCT17	B	2	PD02NOV17	3261.00	3254.00	USD	-350.00	
	NET	0 AVG	BGHT 2984.33				-4,037.50	0.00
03AUG17	B	2	PD03NOV17	2814.00	3252.89	USD	21,944.50	
03AUG17	S	2	PD03NOV17	2792.50	3252.89	USD	-23,019.50	
	NET	0 AVG	BGHT 2814.00				-1,075.00	0.00
07AUG17	S	1	PD07NOV17	2878.50	3250.67	USD	-9,304.25	
07AUG17	S	1	PD07NOV17	2882.50	3250.67	USD	-9,204.25	
07AUG17	S	1	PD07NOV17	2885.00	3250.67	USD	-9,141.75	
07AUG17	B	1	PD07NOV17	2867.00	3250.67	USD	9,591.75	
07AUG17	B	1	PD07NOV17	2870.00	3250.67	USD	9,516.75	
07AUG17	B	1	PD07NOV17	2869.00	3250.67	USD	9,541.75	
	NET	0 AVG	BGHT 2868.67				1,000.00	0.00
08AUG17	S	1	PD08NOV17	2876.00	3249.56	USD	-9,339.00	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	B	2	PD08NOV17	2868.00	3249.56	USD	19,078.00	
	NET	0 AVG	BGHT 2868.00				550.00	0.00
10AUG17	S	1	PD10NOV17	2947.50	3247.33	USD	-7,495.75	
10AUG17	B	1	PD10NOV17	2928.00	3247.33	USD	7,983.25	
11AUG17	S	1	PD10NOV17	2921.00	3247.33	USD	-8,158.25	
11AUG17	S	1	PD10NOV17	2920.00	3247.33	USD	-8,183.25	
11AUG17	B	1	PD10NOV17	2903.00	3247.33	USD	8,608.25	
11AUG17	B	1	PD10NOV17	2900.50	3247.33	USD	8,670.75	
	NET	0 AVG	BGHT 2910.50				1,425.00	0.00
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
14AUG17	B	1	PD14NOV17	2920.00	3245.11	USD	8,127.75	
	NET	0 AVG	BGHT 2920.00				-125.00	0.00
16AUG17	S	3	PD16NOV17	2980.50	3241.50	USD	-19,575.00	
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	S	2	PD16NOV17	2980.50	3241.50	USD	-13,050.00	
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	B	1	PD16NOV17	3116.50	3241.50	USD	3,125.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	S	1	PD16NOV17	3117.00	3241.50	USD	-3,112.50	
16AUG17	B	1	PD16NOV17	3117.00	3241.50	USD	3,112.50	
19SEP17	B	5	PD16NOV17	3103.95	3241.50	USD	17,193.75	
	NET	0 AVG	BGHT 3107.66				-22,043.75	0.00
17AUG17	B	1	PD17NOV17	3141.50	3239.00	USD	2,437.50	
17AUG17	B	1	PD17NOV17	3142.00	3239.00	USD	2,425.00	
17AUG17	S	1	PD17NOV17	3134.50	3239.00	USD	-2,612.50	
17AUG17	S	2	PD17NOV17	3132.00	3239.00	USD	-5,350.00	
17AUG17	B	1	PD17NOV17	3144.00	3239.00	USD	2,375.00	
17AUG17	B	1	PD17NOV17	3145.50	3239.00	USD	2,337.50	
17AUG17	B	1	PD17NOV17	3144.50	3239.00	USD	2,362.50	
17AUG17	S	1	PD17NOV17	3112.00	3239.00	USD	-3,175.00	
17AUG17	B	1	PD17NOV17	3102.00	3239.00	USD	3,425.00	
17AUG17	B	1	PD17NOV17	3098.00	3239.00	USD	3,525.00	
17AUG17	B	2	PD17NOV17	3100.50	3239.00	USD	6,925.00	
17AUG17	S	1	PD17NOV17	3087.00	3239.00	USD	-3,800.00	
17AUG17	B	1	PD17NOV17	3072.00	3239.00	USD	4,175.00	
17AUG17	S	2	PD17NOV17	3064.00	3239.00	USD	-8,750.00	
18AUG17	S	1	PD17NOV17	3094.50	3239.00	USD	-3,612.50	
18AUG17	S	1	PD17NOV17	3093.50	3239.00	USD	-3,637.50	
18AUG17	B	2	PD17NOV17	3117.00	3239.00	USD	6,100.00	
18AUG17	S	1	PD17NOV17	3121.50	3239.00	USD	-2,937.50	

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18AUG17	S	1	PD17NOV17	3122.50	3239.00	USD	-2,912.50	
18AUG17	S	2	PD17NOV17	3120.50	3239.00	USD	-5,925.00	
18AUG17	S	1	PD17NOV17	3114.50	3239.00	USD	-3,112.50	
18AUG17	S	2	PD17NOV17	3094.50	3239.00	USD	-7,225.00	
18AUG17	B	2	PD17NOV17	3136.00	3239.00	USD	5,150.00	
18AUG17	B	2	PD17NOV17	3140.00	3239.00	USD	4,950.00	
18AUG17	B	1	PD17NOV17	3135.00	3239.00	USD	2,600.00	
19SEP17	S	1	PD17NOV17	3103.53	3239.00	USD	-3,386.75	
	NET	0 AVG	BGHT 3124.21	SOLD 3106.21			-7,649.25	0.00
21AUG17	S	1	PD21NOV17	3172.00	3237.00	USD	-1,625.00	
21AUG17	B	1	PD21NOV17	3146.00	3237.00	USD	2,275.00	
21AUG17	B	1	PD21NOV17	3143.50	3237.00	USD	2,337.50	
21AUG17	S	1	PD21NOV17	3172.00	3237.00	USD	-1,625.00	
21AUG17	B	1	PD21NOV17	3125.00	3237.00	USD	2,800.00	
21AUG17	B	1	PD21NOV17	3130.50	3237.00	USD	2,662.50	
21AUG17	B	1	PD21NOV17	3142.50	3237.00	USD	2,362.50	
21AUG17	B	1	PD21NOV17	3126.50	3237.00	USD	2,762.50	
19SEP17	S	4	PD21NOV17	3103.48	3237.00	USD	-13,352.00	
	NET	0 AVG	BGHT 3135.67	SOLD 3126.32			-1,402.00	0.00
23AUG17	B	1	PD24NOV17	3099.00	3235.00	USD	3,400.00	
23AUG17	S	1	PD24NOV17	3100.00	3235.00	USD	-3,375.00	
23AUG17	S	2	PD24NOV17	3106.50	3235.00	USD	-6,425.00	
23AUG17	B	2	PD24NOV17	3110.00	3235.00	USD	6,250.00	
23AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
24AUG17	S	1	PD24NOV17	3121.00	3235.00	USD	-2,850.00	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
25AUG17	S	1	PD24NOV17	3088.00	3235.00	USD	-3,675.00	
	NET	0 AVG	BGHT 3099.40	SOLD 3104.40			625.00	0.00
31AUG17	S	1	PD30NOV17	3154.50	3233.67	USD	-1,979.25	
31AUG17	S	1	PD30NOV17	3156.00	3233.67	USD	-1,941.75	
19SEP17	B	2	PD30NOV17	3103.55	3233.67	USD	6,506.00	
	NET	0 AVG	BGHT 3103.55	SOLD 3155.25			2,585.00	0.00
01SEP17	B	2	PD01DEC17	3161.50	3233.33	USD	3,591.50	
01SEP17	S	1	PD01DEC17	3156.00	3233.33	USD	-1,933.25	
01SEP17	S	1	PD01DEC17	3160.00	3233.33	USD	-1,833.25	
01SEP17	S	1	PD01DEC17	3178.00	3233.33	USD	-1,383.25	
01SEP17	S	1	PD01DEC17	3179.00	3233.33	USD	-1,358.25	
01SEP17	S	2	PD01DEC17	3185.50	3233.33	USD	-2,391.50	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	B	5	PD01DEC17	3208.00	3233.33	USD	3,166.25	
19SEP17	S	2	PD01DEC17	3103.32	3233.33	USD	-6,500.50	
	NET	0 AVG	BGHT 3196.38	SOLD 3156.33			-8,009.00	0.00
05SEP17	S	3	PD05DEC17	3192.00	3232.67	USD	-3,050.25	
05SEP17	S	1	PD05DEC17	3191.00	3232.67	USD	-1,041.75	
05SEP17	B	2	PD05DEC17	3195.00	3232.67	USD	1,883.50	
05SEP17	B	1	PD05DEC17	3194.00	3232.67	USD	966.75	
05SEP17	B	1	PD05DEC17	3195.50	3232.67	USD	929.25	
05SEP17	B	1	PD05DEC17	3102.00	3232.67	USD	3,266.75	
05SEP17	B	1	PD05DEC17	3095.50	3232.67	USD	3,429.25	
05SEP17	B	1	PD05DEC17	3095.00	3232.67	USD	3,441.75	
19SEP17	S	3	PD05DEC17	3103.71	3232.67	USD	-9,672.00	
	NET	0 AVG	BGHT 3153.14	SOLD 3154.02			153.25	0.00
06SEP17	B	1	PD06DEC17	3073.50	3232.33	USD	3,970.75	
06SEP17	B	1	PD06DEC17	3075.00	3232.33	USD	3,933.25	
06SEP17	B	1	PD06DEC17	3076.00	3232.33	USD	3,908.25	

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06SEP17	B	1	PD06DEC17	3087.50	3232.33	USD	3,620.75	
19SEP17	S	4	PD06DEC17	3103.93	3232.33	USD	-12,840.00	
	NET	0 AVG	BGHT 3078.00				2,593.00	0.00
07SEP17	S	1	PD07DEC17	3092.00	3232.00	USD	-3,500.00	
07SEP17	S	1	PD07DEC17	3102.50	3232.00	USD	-3,237.50	
07SEP17	S	1	PD07DEC17	3099.50	3232.00	USD	-3,312.50	
07SEP17	S	1	PD07DEC17	3101.50	3232.00	USD	-3,262.50	
07SEP17	S	1	PD07DEC17	3117.00	3232.00	USD	-2,875.00	
07SEP17	S	1	PD07DEC17	3118.00	3232.00	USD	-2,850.00	
07SEP17	S	1	PD07DEC17	3116.00	3232.00	USD	-2,900.00	
19SEP17	B	7	PD07DEC17	3104.34	3232.00	USD	22,340.50	
	NET	0 AVG	BGHT 3104.34				403.00	0.00
08SEP17	B	1	PD08DEC17	3028.00	3231.67	USD	5,091.75	
19SEP17	S	1	PD08DEC17	3104.36	3231.67	USD	-3,182.75	
	NET	0 AVG	BGHT 3028.00				1,909.00	0.00
11SEP17	S	1	PD11DEC17	3058.00	3231.33	USD	-4,333.25	
11SEP17	S	1	PD11DEC17	3072.00	3231.33	USD	-3,983.25	
11SEP17	S	1	PD11DEC17	3077.00	3231.33	USD	-3,858.25	
11SEP17	S	1	PD11DEC17	3096.00	3231.33	USD	-3,383.25	
11SEP17	B	1	PD11DEC17	3079.00	3231.33	USD	3,808.25	
11SEP17	B	2	PD11DEC17	3080.00	3231.33	USD	7,566.50	
11SEP17	S	2	PD11DEC17	3080.00	3231.33	USD	-7,566.50	
11SEP17	B	3	PD11DEC17	3074.50	3231.33	USD	11,762.25	
	NET	0 AVG	BGHT 3077.08				12.50	0.00
12SEP17	S	2	PD12DEC17	3085.00	3231.00	USD	-7,300.00	
12SEP17	S	1	PD12DEC17	3084.50	3231.00	USD	-3,662.50	
12SEP17	B	1	PD12DEC17	3092.00	3231.00	USD	3,475.00	
12SEP17	B	1	PD12DEC17	3092.50	3231.00	USD	3,462.50	
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	S	1	PD12DEC17	3079.50	3231.00	USD	-3,787.50	
12SEP17	B	1	PD12DEC17	3079.50	3231.00	USD	3,787.50	
12SEP17	B	1	PD12DEC17	3075.00	3231.00	USD	3,900.00	
19SEP17	B	1	PD12DEC17	3105.41	3231.00	USD	3,139.75	
	NET	0 AVG	BGHT 3088.88				-710.25	0.00
13SEP17	B	1	PD13DEC17	3024.50	3230.67	USD	5,154.25	
13SEP17	S	1	PD13DEC17	3029.00	3230.67	USD	-5,041.75	
	NET	0 AVG	BGHT 3024.50				112.50	0.00
14SEP17	B	2	PD14DEC17	3002.50	3230.33	USD	11,391.50	
14SEP17	B	1	PD14DEC17	2996.50	3230.33	USD	5,845.75	
14SEP17	S	1	PD14DEC17	3012.50	3230.33	USD	-5,445.75	
14SEP17	S	2	PD14DEC17	3012.00	3230.33	USD	-10,916.50	
	NET	0 AVG	BGHT 3000.50				875.00	0.00
15SEP17	B	1	PD15DEC17	3033.00	3230.00	USD	4,925.00	
15SEP17	B	1	PD15DEC17	3032.00	3230.00	USD	4,950.00	
15SEP17	B	1	PD15DEC17	3028.00	3230.00	USD	5,050.00	
15SEP17	S	1	PD15DEC17	3025.00	3230.00	USD	-5,125.00	
15SEP17	S	1	PD15DEC17	3031.50	3230.00	USD	-4,962.50	
	NET	+1 AVG	BGHT 3031.00				4,837.50	0.00
18SEP17	B	1	PD18DEC17	3077.00	3229.67	USD	3,816.75	
18SEP17	B	1	PD18DEC17	3064.00	3229.67	USD	4,141.75	
18SEP17	S	1	PD18DEC17	3084.00	3229.67	USD	-3,641.75	
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	1	PD18DEC17	3094.00	3229.67	USD	-3,391.75	
18SEP17	S	1	PD18DEC17	3093.00	3229.67	USD	-3,416.75	
18SEP17	S	1	PD18DEC17	3092.50	3229.67	USD	-3,429.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3069.00	3229.67	USD	4,016.75	
18SEP17	B	1	PD18DEC17	3065.00	3229.67	USD	4,116.75	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	2	PD18DEC17	3088.00	3229.67	USD	-7,083.50	
18SEP17	B	2	PD18DEC17	3076.50	3229.67	USD	7,658.50	
18SEP17	B	1	PD18DEC17	3075.00	3229.67	USD	3,866.75	
	NET	-1 AVG BGHT 3071.86	SOLD 3088.56				-604.25	0.00
19SEP17	S	1	PD19DEC17	3101.50	3229.33	USD	-3,195.75	
19SEP17	B	1	PD19DEC17	3095.00	3229.33	USD	3,358.25	
19SEP17	S	1	PD19DEC17	3109.50	3229.33	USD	-2,995.75	
19SEP17	S	3	PD19DEC17	3110.00	3229.33	USD	-8,949.75	
	NET	-4 AVG BGHT 3095.00	SOLD 3108.20				-11,783.00	0.00
20SEP17	B	2	PD20DEC17	3100.00	3229.00	USD	6,450.00	
20SEP17	B	1	PD20DEC17	3099.50	3229.00	USD	3,237.50	
20SEP17	S	1	PD20DEC17	3123.00	3229.00	USD	-2,650.00	
20SEP17	S	1	PD20DEC17	3127.00	3229.00	USD	-2,550.00	
20SEP17	S	1	PD20DEC17	3131.00	3229.00	USD	-2,450.00	
20SEP17	S	1	PD20DEC17	3129.00	3229.00	USD	-2,500.00	
20SEP17	S	1	PD20DEC17	3142.50	3229.00	USD	-2,162.50	
20SEP17	B	1	PD20DEC17	3100.00	3229.00	USD	3,225.00	
20SEP17	B	1	PD20DEC17	3128.00	3229.00	USD	2,525.00	
20SEP17	B	2	PD20DEC17	3130.00	3229.00	USD	4,950.00	
20SEP17	B	2	PD20DEC17	3128.50	3229.00	USD	5,025.00	
	NET	+4 AVG BGHT 3116.06	SOLD 3130.50				13,100.00	0.00
21SEP17	S	1	PD21DEC17	3080.50	3225.00	USD	-3,612.50	
21SEP17	S	1	PD21DEC17	3081.50	3225.00	USD	-3,587.50	
21SEP17	S	1	PD21DEC17	3085.50	3225.00	USD	-3,487.50	
21SEP17	S	1	PD21DEC17	3086.50	3225.00	USD	-3,462.50	
21SEP17	B	1	PD21DEC17	3103.00	3225.00	USD	3,050.00	
21SEP17	B	1	PD21DEC17	3079.00	3225.00	USD	3,650.00	
21SEP17	B	2	PD21DEC17	3066.00	3225.00	USD	7,950.00	
	NET	0 AVG BGHT 3078.50	SOLD 3083.50				500.00	0.00
25SEP17	S	1	PD27DEC17	3086.00	3224.00	USD	-3,450.00	
25SEP17	S	1	PD27DEC17	3085.50	3224.00	USD	-3,462.50	
25SEP17	S	1	PD27DEC17	3087.00	3224.00	USD	-3,425.00	
25SEP17	B	1	PD27DEC17	3084.00	3224.00	USD	3,500.00	
25SEP17	B	1	PD27DEC17	3090.00	3224.00	USD	3,350.00	
25SEP17	B	1	PD27DEC17	3078.00	3224.00	USD	3,650.00	
25SEP17	S	1	PD27DEC17	3098.00	3224.00	USD	-3,150.00	
25SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
25SEP17	S	4	PD27DEC17	3096.00	3224.00	USD	-12,800.00	
25SEP17	B	1	PD27DEC17	3099.00	3224.00	USD	3,125.00	
25SEP17	B	3	PD27DEC17	3100.50	3224.00	USD	9,262.50	
26SEP17	S	2	PD27DEC17	3121.50	3224.00	USD	-5,125.00	
26SEP17	B	2	PD27DEC17	3135.00	3224.00	USD	4,450.00	
26SEP17	S	1	PD27DEC17	3139.50	3224.00	USD	-2,112.50	
26SEP17	S	1	PD27DEC17	3140.00	3224.00	USD	-2,100.00	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
26SEP17	S	1	PD27DEC17	3115.50	3224.00	USD	-2,712.50	
26SEP17	S	1	PD27DEC17	3109.00	3224.00	USD	-2,875.00	
26SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
26SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
26SEP17	S	2	PD27DEC17	3109.50	3224.00	USD	-5,725.00	
26SEP17	B	1	PD27DEC17	3112.00	3224.00	USD	2,800.00	
26SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	

OPEN POSITION STATEMENT



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SAP083ZH

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	3111.00	3224.00	USD	-2,825.00	
26SEP17	B	2	PD27DEC17	3137.40	3224.00	USD	4,330.00	
26SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
27SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
27SEP17	S	1	PD27DEC17	3143.50	3224.00	USD	-2,012.50	
27SEP17	B	1	PD27DEC17	3130.50	3224.00	USD	2,337.50	
27SEP17	B	1	PD27DEC17	3115.50	3224.00	USD	2,712.50	
	NET	0 AVG BGHT 3111.37	SOLD 3109.43				-970.00	0.00
28SEP17	S	1	PD28DEC17	3134.00	3223.50	USD	-2,237.50	
28SEP17	S	1	PD28DEC17	3136.50	3223.50	USD	-2,175.00	
28SEP17	S	1	PD28DEC17	3149.50	3223.50	USD	-1,850.00	
	NET	-3 AVG SOLD 3140.00					-6,262.50	0.00
29SEP17	B	1	PD29DEC17	3144.00	3223.00	USD	1,975.00	
29SEP17	B	2	PD29DEC17	3150.00	3223.00	USD	3,650.00	
29SEP17	S	1	PD29DEC17	3160.50	3223.00	USD	-1,562.50	
29SEP17	S	1	PD29DEC17	3151.00	3223.00	USD	-1,800.00	
29SEP17	S	1	PD29DEC17	3171.00	3223.00	USD	-1,300.00	
29SEP17	S	1	PD29DEC17	3167.00	3223.00	USD	-1,400.00	
29SEP17	B	1	PD29DEC17	3151.00	3223.00	USD	1,800.00	
29SEP17	B	1	PD29DEC17	3149.50	3223.00	USD	1,837.50	
29SEP17	B	2	PD29DEC17	3148.00	3223.00	USD	3,750.00	
	NET	+3 AVG BGHT 3148.64	SOLD 3162.38				6,950.00	0.00
03OCT17	S	2	PD03JAN18	3225.00	3222.00	USD	150.00	
03OCT17	S	1	PD03JAN18	3239.50	3222.00	USD	437.50	
03OCT17	B	1	PD03JAN18	3264.00	3222.00	USD	-1,050.00	
03OCT17	B	2	PD03JAN18	3240.65	3222.00	USD	-932.50	
03OCT17	B	2	PD03JAN18	3279.50	3222.00	USD	-2,875.00	
	NET	+2 AVG BGHT 3260.86	SOLD 3229.83				-4,270.00	0.00
04OCT17	S	1	PD04JAN18	3292.50	3221.50	USD	1,775.00	
04OCT17	S	1	PD04JAN18	3294.00	3221.50	USD	1,812.50	
04OCT17	B	1	PD04JAN18	3295.00	3221.50	USD	-1,837.50	
04OCT17	B	1	PD04JAN18	3294.50	3221.50	USD	-1,825.00	
04OCT17	B	1	PD04JAN18	3295.50	3221.50	USD	-1,850.00	
04OCT17	S	1	PD04JAN18	3282.00	3221.50	USD	1,512.50	
04OCT17	S	2	PD04JAN18	3284.00	3221.50	USD	3,125.00	
	NET	-2 AVG BGHT 3295.00	SOLD 3287.30				2,712.50	0.00
09OCT17	S	1	PD09JAN18	3275.00	3220.00	USD	1,375.00	
09OCT17	B	1	PD09JAN18	3271.00	3220.00	USD	-1,275.00	
09OCT17	B	1	PD09JAN18	3243.00	3220.00	USD	-575.00	
09OCT17	B	1	PD09JAN18	3241.00	3220.00	USD	-525.00	
09OCT17	B	1	PD09JAN18	3231.50	3220.00	USD	-287.50	
09OCT17	S	1	PD09JAN18	3250.00	3220.00	USD	750.00	
09OCT17	S	1	PD09JAN18	3252.50	3220.00	USD	812.50	
09OCT17	S	1	PD09JAN18	3251.50	3220.00	USD	787.50	
	NET	0 AVG BGHT 3246.63	SOLD 3257.25				1,062.50	0.00
10OCT17	B	1	PD10JAN18	3226.50	3219.50	USD	-175.00	
10OCT17	B	1	PD10JAN18	3227.50	3219.50	USD	-200.00	
10OCT17	S	2	PD10JAN18	3230.85	3219.50	USD	567.50	
	NET	0 AVG BGHT 3227.00	SOLD 3230.85				192.50	0.00
11OCT17	S	4	PD11JAN18	3245.00	3219.00	USD	2,600.00	
11OCT17	B	1	PD11JAN18	3243.50	3219.00	USD	-612.50	
11OCT17	B	1	PD11JAN18	3241.50	3219.00	USD	-562.50	
11OCT17	B	1	PD11JAN18	3240.50	3219.00	USD	-537.50	
11OCT17	B	1	PD11JAN18	3241.00	3219.00	USD	-550.00	
11OCT17	B	2	PD11JAN18	3222.00	3219.00	USD	-150.00	

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11OCT17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 2 PD11JAN18 NET 0AVG BGHT 3235.08 SOLD 3237.62	3222.85	3219.00	USD	192.50 380.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-330,290.50
LME Copper Grade A 25	FUTURES	1,011.00
CMX Copper	FUTURES	153,725.00
CME Sterling	FUTURES	165,168.75
LME Lead 25 tonnes. This is an	FUTURES	-35,645.25
CME E-Mini S&P 500	FUTURES	375,487.50
LME Nickel 6 tonnes. This is	FUTURES	221,826.60
LME Zinc 25 tonnes. This is	FUTURES	22,755.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-1,050.00	-1,050.00		
GBP - Futures USD	-381.25	-381.25		
MSNP - Futures USD	-7,462.50	-7,462.50	-8,893.75	-8,893.75
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-10,621.25	-10,621.25		
COPL - Futures USD	1,011.00	1,011.00		
LEAD - Futures USD	-35,644.00	-35,644.00		
NKL - Futures USD	21,566.70	21,566.70		
ZINC - Futures USD	22,718.50	22,718.50	-969.05	-969.05

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	56,573.22	56,573.22
Variation Margins - Futures	-8,893.75	-8,893.75
- Options	0.00	0.00
TOTAL EQUITY	47,679.47	47,679.47
Initial Margins	-70,420.05	-70,420.05
Excess/(Shortage)	-22,740.58	-22,740.58
FOR INFORMATION ONLY		
Forward Unrealised P&L	-969.05	-969.05
Net Liquidating Value	46,710.42	46,710.42

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
04AUG17	B	1	PD03NOV17	1905.50	2111.44	USD	5,148.50	
04AUG17	S	1	PD03NOV17	1915.00	2111.44	USD	-4,911.00	
	NET	0 AVG	BGHT 1905.50				237.50	0.00
07AUG17	B	1	PD07NOV17	1950.00	2112.69	USD	4,067.25	
07AUG17	S	1	PD07NOV17	1965.00	2112.69	USD	-3,692.25	
	NET	0 AVG	BGHT 1950.00				375.00	0.00
09AUG17	B	1	PD09NOV17	2041.00	2113.46	USD	1,811.50	
09AUG17	S	1	PD09NOV17	2028.00	2113.46	USD	-2,136.50	
	NET	0 AVG	BGHT 2041.00				-325.00	0.00
16AUG17	B	1	PD16NOV17	2072.50	2116.56	USD	1,101.50	
16AUG17	B	1	PD16NOV17	2073.50	2116.56	USD	1,076.50	
16AUG17	S	2	PD16NOV17	2078.50	2116.56	USD	-1,903.00	
16AUG17	B	2	PD16NOV17	2091.50	2116.56	USD	1,253.00	
16AUG17	S	2	PD16NOV17	2092.50	2116.56	USD	-1,203.00	
	NET	0 AVG	BGHT 2082.25				325.00	0.00
21AUG17	B	1	PD21NOV17	2092.00	2118.10	USD	652.50	
21AUG17	S	1	PD21NOV17	2084.50	2118.10	USD	-840.00	
	NET	0 AVG	BGHT 2092.00				-187.50	0.00
30AUG17	B	1	PD30NOV17	2102.50	2120.88	USD	459.50	
30AUG17	S	1	PD30NOV17	2091.50	2120.88	USD	-734.50	
	NET	0 AVG	BGHT 2102.50				-275.00	0.00
01SEP17	B	1	PD01DEC17	2130.50	2121.19	USD	-232.75	
01SEP17	S	1	PD01DEC17	2136.00	2121.19	USD	370.25	
	NET	0 AVG	BGHT 2130.50				137.50	0.00
19SEP17	S	1	PD19DEC17	2108.50	2126.75	USD	-456.25	
19SEP17	B	1	PD19DEC17	2129.00	2126.75	USD	-56.25	
	NET	0 AVG	BGHT 2129.00				-512.50	0.00
10OCT17	B	1	PD10JAN18	2165.00	2129.81	USD	-879.75	
10OCT17	S	1	PD10JAN18	2162.50	2129.81	USD	817.25	
	NET	0 AVG	BGHT 2165.00				-62.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	5884.50	6755.20	USD	21,767.50	
12JUL17	S	1	PD12OCT17	5885.50	6755.20	USD	-21,742.50	
	NET	0 AVG	BGHT 5884.50				25.00	0.00
25JUL17	S	1	PD25OCT17	6227.00	6765.68	USD	-13,467.00	
25JUL17	B	1	PD25OCT17	6223.00	6765.68	USD	13,567.00	
	NET	0 AVG	BGHT 6223.00				100.00	0.00
29AUG17	B	1	PD29NOV17	6778.50	6783.18	USD	117.00	
29AUG17	S	1	PD29NOV17	6783.50	6783.18	USD	8.00	
	NET	0 AVG	BGHT 6778.50				125.00	0.00
15SEP17	B	1	PD15DEC17	6503.00	6791.06	USD	7,201.50	
15SEP17	S	1	PD15DEC17	6508.00	6791.06	USD	-7,076.50	
	NET	0 AVG	BGHT 6503.00				125.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	2295.00	2555.75	USD	6,518.75	
25JUL17	B	1	PD25OCT17	2295.50	2555.75	USD	6,506.25	
25JUL17	S	1	PD25OCT17	2295.00	2555.75	USD	-6,518.75	
25JUL17	S	1	PD25OCT17	2314.00	2555.75	USD	-6,043.75	
	NET	0 AVG	BGHT 2295.25				462.50	0.00
08AUG17	B	1	PD08NOV17	2353.50	2557.75	USD	5,106.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD08NOV17	2410.81	2557.75	USD	-3,673.50	
	NET	0 AVG BGHT 2353.50	SOLD 2410.81				1,432.75	0.00
09AUG17	S	2	PD09NOV17	2392.50	2557.89	USD	-8,269.50	
09AUG17	B	1	PD09NOV17	2352.00	2557.89	USD	5,147.25	
09AUG17	B	1	PD09NOV17	2354.00	2557.89	USD	5,097.25	
	NET	0 AVG BGHT 2353.00	SOLD 2392.50				1,975.00	0.00
11AUG17	S	1	PD10NOV17	2352.00	2558.04	USD	-5,151.00	
11AUG17	B	1	PD10NOV17	2330.00	2558.04	USD	5,701.00	
	NET	0 AVG BGHT 2330.00	SOLD 2352.00				550.00	0.00
16AUG17	S	2	PD16NOV17	2418.50	2558.75	USD	-7,012.50	
19SEP17	B	1	PD16NOV17	2413.20	2558.75	USD	3,638.75	
19SEP17	B	1	PD16NOV17	2413.00	2558.75	USD	3,643.75	
	NET	0 AVG BGHT 2413.10	SOLD 2418.50				270.00	0.00
17AUG17	B	2	PD17NOV17	2470.00	2558.75	USD	4,437.50	
17AUG17	S	1	PD17NOV17	2445.00	2558.75	USD	-2,843.75	
17AUG17	S	1	PD17NOV17	2454.00	2558.75	USD	-2,618.75	
17AUG17	B	1	PD17NOV17	2430.50	2558.75	USD	3,206.25	
17AUG17	S	1	PD17NOV17	2430.50	2558.75	USD	-3,206.25	
17AUG17	B	1	PD17NOV17	2435.00	2558.75	USD	3,093.75	
17AUG17	B	1	PD17NOV17	2436.00	2558.75	USD	3,068.75	
17AUG17	B	1	PD17NOV17	2405.50	2558.75	USD	3,831.25	
18AUG17	S	1	PD17NOV17	2414.00	2558.75	USD	-3,618.75	
18AUG17	S	1	PD17NOV17	2403.00	2558.75	USD	-3,893.75	
19SEP17	S	1	PD17NOV17	2413.05	2558.75	USD	-3,642.50	
	NET	0 AVG BGHT 2441.17	SOLD 2426.59				-2,186.25	0.00
06SEP17	S	1	PD06DEC17	2329.00	2561.00	USD	-5,800.00	
06SEP17	B	1	PD06DEC17	2322.00	2561.00	USD	5,975.00	
	NET	0 AVG BGHT 2322.00	SOLD 2329.00				175.00	0.00
11SEP17	B	2	PD11DEC17	2288.50	2562.25	USD	13,687.50	
11SEP17	S	2	PD11DEC17	2290.00	2562.25	USD	-13,612.50	
	NET	0 AVG BGHT 2288.50	SOLD 2290.00				75.00	0.00
22SEP17	S	1	PD22DEC17	2486.00	2563.50	USD	-1,937.50	
22SEP17	B	1	PD22DEC17	2483.00	2563.50	USD	2,012.50	
22SEP17	B	1	PD22DEC17	2490.00	2563.50	USD	1,837.50	
22SEP17	S	1	PD22DEC17	2488.00	2563.50	USD	-1,887.50	
	NET	0 AVG BGHT 2486.50	SOLD 2487.00				25.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	9210.00	11060.20	USD	11,101.20	
12JUL17	S	1	PD12OCT17	9220.00	11060.20	USD	-11,041.20	
12JUL17	B	2	PD12OCT17	9205.00	11060.20	USD	22,262.40	
19SEP17	S	1	PD12OCT17	11084.06	11060.20	USD	143.16	
19SEP17	S	1	PD12OCT17	11085.06	11060.20	USD	149.16	
	NET	0 AVG BGHT 9206.67	SOLD 10463.04				22,614.72	0.00
13JUL17	S	1	PD13OCT17	9210.00	11061.00	USD	-11,106.00	
13JUL17	S	1	PD13OCT17	9190.00	11061.00	USD	-11,226.00	
13JUL17	B	1	PD13OCT17	9210.00	11061.00	USD	11,106.00	
14JUL17	S	1	PD13OCT17	9435.00	11061.00	USD	-9,756.00	
14JUL17	B	1	PD13OCT17	9500.00	11061.00	USD	9,366.00	
14JUL17	B	1	PD13OCT17	9550.00	11061.00	USD	9,066.00	
14JUL17	S	2	PD13OCT17	9565.00	11061.00	USD	-17,952.00	
14JUL17	B	1	PD13OCT17	9540.00	11061.00	USD	9,126.00	
19SEP17	B	1	PD13OCT17	11086.80	11061.00	USD	-154.80	
	NET	0 AVG BGHT 9777.36	SOLD 9393.00				-11,530.80	0.00

OPEN POSITION STATEMENT



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SAP083ZU

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	9735.00	11065.00	USD	-7,980.00	
18JUL17	B	1	PD18OCT17	9755.00	11065.00	USD	7,860.00	
	NET	0AVG	BGHT 9755.00	SOLD 9735.00			-120.00	0.00
24JUL17	S	1	PD24OCT17	9760.00	11070.79	USD	-7,864.74	
19SEP17	B	1	PD24OCT17	11094.75	11070.79	USD	-143.76	
	NET	0AVG	BGHT 11094.75	SOLD 9760.00			-8,008.50	0.00
25JUL17	B	1	PD25OCT17	9815.00	11071.75	USD	7,540.50	
25JUL17	S	1	PD25OCT17	10000.00	11071.75	USD	-6,430.50	
25JUL17	B	1	PD25OCT17	10020.00	11071.75	USD	6,310.50	
19SEP17	S	1	PD25OCT17	11094.63	11071.75	USD	137.28	
	NET	0AVG	BGHT 9917.50	SOLD 10547.32			7,557.78	0.00
27JUL17	S	1	PD27OCT17	10210.00	11073.68	USD	-5,182.08	
27JUL17	B	1	PD27OCT17	10140.00	11073.68	USD	5,602.08	
28JUL17	S	1	PD27OCT17	10200.00	11073.68	USD	-5,242.08	
28JUL17	B	1	PD27OCT17	10230.00	11073.68	USD	5,062.08	
28JUL17	S	1	PD27OCT17	10285.00	11073.68	USD	-4,732.08	
28JUL17	B	1	PD27OCT17	10255.00	11073.68	USD	4,912.08	
28JUL17	B	1	PD27OCT17	10205.00	11073.68	USD	5,212.08	
28JUL17	S	1	PD27OCT17	10230.00	11073.68	USD	-5,062.08	
28JUL17	S	1	PD27OCT17	10280.00	11073.68	USD	-4,762.08	
19SEP17	B	1	PD27OCT17	11097.38	11073.68	USD	-142.20	
	NET	0AVG	BGHT 10385.48	SOLD 10241.00			-4,334.28	0.00
31JUL17	B	1	PD31OCT17	10310.00	11077.54	USD	4,605.24	
19SEP17	S	1	PD31OCT17	11099.88	11077.54	USD	134.04	
	NET	0AVG	BGHT 10310.00	SOLD 11099.88			4,739.28	0.00
02AUG17	B	1	PD02NOV17	10225.00	11079.46	USD	5,126.76	
02AUG17	S	2	PD02NOV17	10280.00	11079.46	USD	-9,593.52	
02AUG17	B	1	PD02NOV17	10325.00	11079.46	USD	4,526.76	
02AUG17	B	1	PD02NOV17	10335.00	11079.46	USD	4,466.76	
02AUG17	S	2	PD02NOV17	10345.00	11079.46	USD	-8,813.52	
19SEP17	B	1	PD02NOV17	11102.63	11079.46	USD	-139.02	
	NET	0AVG	BGHT 10496.91	SOLD 10312.50			-4,425.78	0.00
03AUG17	B	1	PD03NOV17	10375.00	11080.43	USD	4,232.58	
03AUG17	B	1	PD03NOV17	10310.00	11080.43	USD	4,622.58	
03AUG17	B	1	PD03NOV17	10305.00	11080.43	USD	4,652.58	
03AUG17	S	1	PD03NOV17	10300.00	11080.43	USD	-4,682.58	
03AUG17	S	1	PD03NOV17	10320.00	11080.43	USD	-4,562.58	
04AUG17	B	1	PD03NOV17	10185.00	11080.43	USD	5,372.58	
04AUG17	S	2	PD03NOV17	10275.00	11080.43	USD	-9,665.16	
	NET	0AVG	BGHT 10293.75	SOLD 10292.50			-30.00	0.00
07AUG17	B	1	PD07NOV17	10350.00	11084.29	USD	4,405.74	
19SEP17	S	1	PD07NOV17	11106.00	11084.29	USD	130.26	
	NET	0AVG	BGHT 10350.00	SOLD 11106.00			4,536.00	0.00
08AUG17	B	1	PD08NOV17	10350.00	11085.25	USD	4,411.50	
08AUG17	S	1	PD08NOV17	10610.00	11085.25	USD	-2,851.50	
	NET	0AVG	BGHT 10350.00	SOLD 10610.00			1,560.00	0.00
09AUG17	S	1	PD09NOV17	10810.00	11086.21	USD	-1,657.26	
19SEP17	B	1	PD09NOV17	11108.75	11086.21	USD	-135.24	
	NET	0AVG	BGHT 11108.75	SOLD 10810.00			-1,792.50	0.00
10AUG17	B	2	PD10NOV17	10805.00	11087.18	USD	3,386.16	
10AUG17	S	1	PD10NOV17	10785.00	11087.18	USD	-1,813.08	
10AUG17	B	1	PD10NOV17	10800.00	11087.18	USD	1,723.08	
10AUG17	S	3	PD10NOV17	10835.00	11087.18	USD	-4,539.24	
10AUG17	B	2	PD10NOV17	10855.00	11087.18	USD	2,786.16	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	10990.00	11087.18	USD	-583.08	
10AUG17	B	1	PD10NOV17	10920.00	11087.18	USD	1,003.08	
19SEP17	S	1	PD10NOV17	11108.63	11087.18	USD	128.70	
	NET	0 AVG	BGHT 10840.00				2,091.78	0.00
14AUG17	S	1	PD14NOV17	10465.00	11091.04	USD	-3,756.24	
14AUG17	B	1	PD14NOV17	10505.00	11091.04	USD	3,516.24	
14AUG17	B	1	PD14NOV17	10415.00	11091.04	USD	4,056.24	
14AUG17	S	1	PD14NOV17	10440.00	11091.04	USD	-3,906.24	
	NET	0 AVG	BGHT 10460.00				-90.00	0.00
23AUG17	S	1	PD24NOV17	11525.00	11098.94	USD	2,556.36	
23AUG17	B	1	PD24NOV17	11450.00	11098.94	USD	-2,106.36	
23AUG17	B	1	PD24NOV17	11605.00	11098.94	USD	-3,036.36	
23AUG17	S	1	PD24NOV17	11565.00	11098.94	USD	2,796.36	
23AUG17	S	1	PD24NOV17	11690.00	11098.94	USD	3,546.36	
23AUG17	B	1	PD24NOV17	11820.00	11098.94	USD	-4,326.36	
	NET	0 AVG	BGHT 11625.00				-570.00	0.00
29AUG17	S	1	PD29NOV17	11645.00	11102.80	USD	3,253.20	
29AUG17	B	1	PD29NOV17	11660.00	11102.80	USD	-3,343.20	
	NET	0 AVG	BGHT 11660.00				-90.00	0.00
01SEP17	B	1	PD01DEC17	12110.00	11104.34	USD	-6,033.96	
01SEP17	S	1	PD01DEC17	12085.00	11104.34	USD	5,883.96	
	NET	0 AVG	BGHT 12110.00				-150.00	0.00
05SEP17	S	1	PD05DEC17	12015.00	11107.43	USD	5,445.42	
05SEP17	B	2	PD05DEC17	12010.00	11107.43	USD	-10,830.84	
05SEP17	S	1	PD05DEC17	11985.00	11107.43	USD	5,265.42	
	NET	0 AVG	BGHT 12010.00				-120.00	0.00
08SEP17	B	1	PD08DEC17	11915.00	11109.74	USD	-4,831.56	
08SEP17	S	1	PD08DEC17	11950.00	11109.74	USD	5,041.56	
08SEP17	B	1	PD08DEC17	11870.00	11109.74	USD	-4,561.56	
08SEP17	S	1	PD08DEC17	11800.00	11109.74	USD	4,141.56	
	NET	0 AVG	BGHT 11892.50				-210.00	0.00
12SEP17	B	1	PD12DEC17	11905.00	11112.83	USD	-4,753.02	
12SEP17	S	1	PD12DEC17	11920.00	11112.83	USD	4,843.02	
	NET	0 AVG	BGHT 11905.00				90.00	0.00
13SEP17	B	1	PD13DEC17	11465.00	11113.60	USD	-2,108.40	
13SEP17	B	1	PD13DEC17	11360.00	11113.60	USD	-1,478.40	
13SEP17	S	1	PD13DEC17	11425.00	11113.60	USD	1,868.40	
13SEP17	S	1	PD13DEC17	11450.00	11113.60	USD	2,018.40	
	NET	0 AVG	BGHT 11412.50				300.00	0.00
14SEP17	B	1	PD14DEC17	11190.00	11114.37	USD	-453.78	
14SEP17	S	1	PD14DEC17	11215.00	11114.37	USD	603.78	
	NET	0 AVG	BGHT 11190.00				150.00	0.00
15SEP17	B	1	PD15DEC17	11140.00	11115.14	USD	-149.16	
15SEP17	S	1	PD15DEC17	11055.00	11115.14	USD	-360.84	
15SEP17	B	1	PD15DEC17	10885.00	11115.14	USD	1,380.84	
15SEP17	S	1	PD15DEC17	10940.00	11115.14	USD	-1,050.84	
15SEP17	B	1	PD15DEC17	11110.00	11115.14	USD	30.84	
15SEP17	S	1	PD15DEC17	11125.00	11115.14	USD	59.16	
	NET	0 AVG	BGHT 11045.00				-90.00	0.00
18SEP17	B	1	PD18DEC17	11180.00	11117.46	USD	-375.24	
18SEP17	S	1	PD18DEC17	11100.00	11117.46	USD	-104.76	
18SEP17	B	1	PD18DEC17	11225.00	11117.46	USD	-645.24	
18SEP17	S	1	PD18DEC17	11225.00	11117.46	USD	645.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	+1 AVG BGHT 11191.67	SOLD 11162.50				-795.24	0.00
19SEP17	S	1 PD19DEC17		10965.00	11118.23	USD	-919.38	
19SEP17	B	1 PD19DEC17		10960.00	11118.23	USD	949.38	
19SEP17	S	1 PD19DEC17		10940.00	11118.23	USD	-1,069.38	
19SEP17	S	1 PD19DEC17		10950.00	11118.23	USD	-1,009.38	
19SEP17	B	1 PD19DEC17		11165.00	11118.23	USD	-280.62	
	NET	-1 AVG BGHT 11062.50	SOLD 10951.67				-2,329.38	0.00
21SEP17	B	1 PD21DEC17		10875.00	11119.73	USD	1,468.38	
21SEP17	S	1 PD21DEC17		10885.00	11119.73	USD	-1,408.38	
	NET	0 AVG BGHT 10875.00	SOLD 10885.00				60.00	0.00
22SEP17	B	1 PD22DEC17		10460.00	11120.45	USD	3,962.70	
22SEP17	S	1 PD22DEC17		10480.00	11120.45	USD	-3,842.70	
	NET	0 AVG BGHT 10460.00	SOLD 10480.00				120.00	0.00
27SEP17	B	1 PD27DEC17		10360.00	11124.09	USD	4,584.54	
27SEP17	S	1 PD27DEC17		10370.00	11124.09	USD	-4,524.54	
27SEP17	B	1 PD27DEC17		10225.00	11124.09	USD	5,394.54	
27SEP17	S	1 PD27DEC17		10285.00	11124.09	USD	-5,034.54	
	NET	0 AVG BGHT 10292.50	SOLD 10327.50				420.00	0.00
03OCT17	S	1 PD03JAN18		10730.00	11129.18	USD	-2,395.08	
	NET	-1 AVG SOLD 10730.00					-2,395.08	0.00
04OCT17	B	1 PD04JAN18		10750.00	11129.91	USD	2,279.46	
04OCT17	B	1 PD04JAN18		10650.00	11129.91	USD	2,879.46	
04OCT17	S	1 PD04JAN18		10620.00	11129.91	USD	-3,059.46	
	NET	+1 AVG BGHT 10700.00	SOLD 10620.00				2,099.46	0.00
09OCT17	S	1 PD09JAN18		10885.00	11133.55	USD	-1,491.30	
09OCT17	B	1 PD09JAN18		10900.00	11133.55	USD	1,401.30	
09OCT17	B	1 PD09JAN18		10890.00	11133.55	USD	1,461.30	
09OCT17	S	1 PD09JAN18		10925.00	11133.55	USD	-1,251.30	
09OCT17	B	1 PD09JAN18		11000.00	11133.55	USD	801.30	
09OCT17	S	1 PD09JAN18		10940.00	11133.55	USD	-1,161.30	
	NET	0 AVG BGHT 10930.00	SOLD 10916.67				-240.00	0.00
11OCT17	B	1 PD11JAN18		11175.00	11135.00	USD	-240.00	
11OCT17	S	1 PD11JAN18		11160.00	11135.00	USD	150.00	
	NET	0 AVG BGHT 11175.00	SOLD 11160.00				-90.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1 PD13OCT17		19860.00	20990.00	USD	-5,650.00	
13JUL17	B	1 PD13OCT17		19830.00	20990.00	USD	5,800.00	
13JUL17	S	1 PD13OCT17		19895.00	20990.00	USD	-5,475.00	
14JUL17	B	1 PD13OCT17		19840.00	20990.00	USD	5,750.00	
	NET	0 AVG BGHT 19835.00	SOLD 19877.50				425.00	0.00
18JUL17	B	1 PD18OCT17		20015.00	20990.00	USD	4,875.00	
19SEP17	S	1 PD18OCT17		20730.00	20990.00	USD	-1,300.00	
	NET	0 AVG BGHT 20015.00	SOLD 20730.00				3,575.00	0.00
19JUL17	S	1 PD19OCT17		19990.00	20988.00	USD	-4,990.00	
19SEP17	B	1 PD19OCT17		20729.00	20988.00	USD	1,295.00	
	NET	0 AVG BGHT 20729.00	SOLD 19990.00				-3,695.00	0.00
24JUL17	B	1 PD24OCT17		20150.00	20982.00	USD	4,160.00	
24JUL17	S	1 PD24OCT17		20165.00	20982.00	USD	-4,085.00	
	NET	0 AVG BGHT 20150.00	SOLD 20165.00				75.00	0.00
25JUL17	B	1 PD25OCT17		20200.00	20980.00	USD	3,900.00	
25JUL17	S	1 PD25OCT17		20305.00	20980.00	USD	-3,375.00	
25JUL17	S	1 PD25OCT17		20365.00	20980.00	USD	-3,075.00	

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25JUL17	B	1	PD25OCT17		20305.00	20980.00	USD	3,375.00	
	NET	0 AVG BGHT 20252.50		SOLD 20335.00				825.00	0.00
26JUL17	B	1	PD26OCT17		20365.00	20978.00	USD	3,065.00	
19SEP17	S	1	PD26OCT17		20718.00	20978.00	USD	-1,300.00	
	NET	0 AVG BGHT 20365.00		SOLD 20718.00				1,765.00	0.00
27JUL17	S	1	PD27OCT17		20525.00	20976.00	USD	-2,255.00	
19SEP17	B	1	PD27OCT17		20717.00	20976.00	USD	1,295.00	
	NET	0 AVG BGHT 20717.00		SOLD 20525.00				-960.00	0.00
03AUG17	S	1	PD03NOV17		20670.00	20966.00	USD	-1,480.00	
04AUG17	B	2	PD03NOV17		20700.00	20966.00	USD	2,660.00	
04AUG17	S	1	PD03NOV17		20690.00	20966.00	USD	-1,380.00	
04AUG17	B	1	PD03NOV17		20660.00	20966.00	USD	1,530.00	
04AUG17	S	1	PD03NOV17		20675.00	20966.00	USD	-1,455.00	
	NET	0 AVG BGHT 20686.67		SOLD 20678.33				-125.00	0.00
08AUG17	S	1	PD08NOV17		20585.00	20960.00	USD	-1,875.00	
08AUG17	B	1	PD08NOV17		20230.00	20960.00	USD	3,650.00	
	NET	0 AVG BGHT 20230.00		SOLD 20585.00				1,775.00	0.00
09AUG17	B	1	PD09NOV17		20225.00	20958.00	USD	3,665.00	
19SEP17	S	1	PD09NOV17		20698.00	20958.00	USD	-1,300.00	
	NET	0 AVG BGHT 20225.00		SOLD 20698.00				2,365.00	0.00
10AUG17	S	1	PD10NOV17		20260.00	20956.00	USD	-3,480.00	
19SEP17	B	1	PD10NOV17		20697.00	20956.00	USD	1,295.00	
	NET	0 AVG BGHT 20697.00		SOLD 20260.00				-2,185.00	0.00
21AUG17	S	1	PD21NOV17		20475.00	20941.67	USD	-2,333.35	
19SEP17	B	1	PD21NOV17		20683.04	20941.67	USD	1,293.15	
	NET	0 AVG BGHT 20683.04		SOLD 20475.00				-1,040.20	0.00
22AUG17	B	1	PD22NOV17		20445.00	20939.58	USD	2,472.90	
22AUG17	B	1	PD22NOV17		20335.00	20939.58	USD	3,022.90	
22AUG17	S	1	PD22NOV17		20330.00	20939.58	USD	-3,047.90	
19SEP17	S	1	PD22NOV17		20680.30	20939.58	USD	-1,296.40	
	NET	0 AVG BGHT 20390.00		SOLD 20505.15				1,151.50	0.00
29AUG17	B	1	PD29NOV17		20375.00	20931.25	USD	2,781.25	
29AUG17	S	1	PD29NOV17		20445.00	20931.25	USD	-2,431.25	
	NET	0 AVG BGHT 20375.00		SOLD 20445.00				350.00	0.00
30AUG17	S	1	PD30NOV17		20585.00	20929.17	USD	-1,720.85	
30AUG17	B	1	PD30NOV17		20600.00	20929.17	USD	1,645.85	
31AUG17	S	1	PD30NOV17		20690.00	20929.17	USD	-1,195.85	
31AUG17	B	1	PD30NOV17		20700.00	20929.17	USD	1,145.85	
	NET	0 AVG BGHT 20650.00		SOLD 20637.50				-125.00	0.00
07SEP17	S	2	PD07DEC17		20780.00	20918.75	USD	-1,387.50	
07SEP17	B	2	PD07DEC17		20760.00	20918.75	USD	1,587.50	
	NET	0 AVG BGHT 20760.00		SOLD 20780.00				200.00	0.00
08SEP17	S	1	PD08DEC17		20535.00	20916.67	USD	-1,908.35	
08SEP17	B	1	PD08DEC17		20550.00	20916.67	USD	1,833.35	
	NET	0 AVG BGHT 20550.00		SOLD 20535.00				-75.00	0.00
13SEP17	S	1	PD13DEC17		20550.00	20910.42	USD	-1,802.10	
13SEP17	B	1	PD13DEC17		20510.00	20910.42	USD	2,002.10	
	NET	0 AVG BGHT 20510.00		SOLD 20550.00				200.00	0.00
14SEP17	B	1	PD14DEC17		20550.00	20908.33	USD	1,791.65	
14SEP17	S	1	PD14DEC17		20565.00	20908.33	USD	-1,716.65	
	NET	0 AVG BGHT 20550.00		SOLD 20565.00				75.00	0.00
15SEP17	S	1	PD15DEC17		20510.00	20906.25	USD	-1,981.25	
15SEP17	B	1	PD15DEC17		20560.00	20906.25	USD	1,731.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZU

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 20560.00	SOLD 20510.00				-250.00	0.00
18SEP17	S	1 PD18DEC17		20680.00	20904.17	USD	-1,120.85	
18SEP17	B	1 PD18DEC17		20700.00	20904.17	USD	1,020.85	
18SEP17	S	1 PD18DEC17		20720.00	20904.17	USD	-920.85	
	NET	-1 AVG BGHT 20700.00	SOLD 20700.00				-1,020.85	0.00
19SEP17	B	1 PD19DEC17		20675.00	20902.08	USD	1,135.40	
19SEP17	B	1 PD19DEC17		20720.00	20902.08	USD	910.40	
19SEP17	S	1 PD19DEC17		20665.00	20902.08	USD	-1,185.40	
	NET	+1 AVG BGHT 20697.50	SOLD 20665.00				860.40	0.00
21SEP17	B	1 PD21DEC17		20470.00	20897.69	USD	2,138.45	
21SEP17	S	1 PD21DEC17		20460.00	20897.69	USD	-2,188.45	
	NET	0 AVG BGHT 20470.00	SOLD 20460.00				-50.00	0.00
22SEP17	S	1 PD22DEC17		20570.00	20895.38	USD	-1,626.90	
22SEP17	B	1 PD22DEC17		20580.00	20895.38	USD	1,576.90	
	NET	0 AVG BGHT 20580.00	SOLD 20570.00				-50.00	0.00
25SEP17	S	1 PD27DEC17		20735.00	20893.08	USD	-790.40	
25SEP17	B	1 PD27DEC17		20705.00	20893.08	USD	940.40	
	NET	0 AVG BGHT 20705.00	SOLD 20735.00				150.00	0.00
28SEP17	B	1 PD28DEC17		20635.00	20890.77	USD	1,278.85	
28SEP17	S	1 PD28DEC17		20625.00	20890.77	USD	-1,328.85	
	NET	0 AVG BGHT 20635.00	SOLD 20625.00				-50.00	0.00
06OCT17	B	1 PD05JAN18		20635.00	20879.23	USD	1,221.15	
06OCT17	S	1 PD05JAN18		20615.00	20879.23	USD	-1,321.15	
06OCT17	B	1 PD05JAN18		20575.00	20879.23	USD	1,521.15	
	NET	+1 AVG BGHT 20605.00	SOLD 20615.00				1,421.15	0.00
09OCT17	S	1 PD09JAN18		20575.00	20874.62	USD	-1,498.10	
	NET	-1 AVG SOLD 20575.00					-1,498.10	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1 PD25OCT17		2830.50	3264.00	USD	-10,837.50	
25JUL17	S	1 PD25OCT17		2839.50	3264.00	USD	-10,612.50	
25JUL17	B	1 PD25OCT17		2836.00	3264.00	USD	10,700.00	
25JUL17	B	1 PD25OCT17		2838.50	3264.00	USD	10,637.50	
25JUL17	B	1 PD25OCT17		2839.00	3264.00	USD	10,625.00	
25JUL17	S	1 PD25OCT17		2838.50	3264.00	USD	-10,637.50	
	NET	0 AVG BGHT 2837.83	SOLD 2836.17				-125.00	0.00
04AUG17	S	1 PD03NOV17		2826.00	3252.89	USD	-10,672.25	
04AUG17	B	1 PD03NOV17		2818.00	3252.89	USD	10,872.25	
	NET	0 AVG BGHT 2818.00	SOLD 2826.00				200.00	0.00
07AUG17	S	1 PD07NOV17		2869.50	3250.67	USD	-9,529.25	
07AUG17	B	1 PD07NOV17		2867.50	3250.67	USD	9,579.25	
	NET	0 AVG BGHT 2867.50	SOLD 2869.50				50.00	0.00
08AUG17	S	1 PD08NOV17		2884.50	3249.56	USD	-9,126.50	
19SEP17	B	1 PD08NOV17		3106.03	3249.56	USD	3,588.25	
	NET	0 AVG BGHT 3106.03	SOLD 2884.50				-5,538.25	0.00
09AUG17	B	1 PD09NOV17		2956.00	3248.44	USD	7,311.00	
09AUG17	B	1 PD09NOV17		2956.50	3248.44	USD	7,298.50	
09AUG17	S	1 PD09NOV17		2974.50	3248.44	USD	-6,848.50	
09AUG17	B	1 PD09NOV17		2935.50	3248.44	USD	7,823.50	
09AUG17	S	1 PD09NOV17		2935.00	3248.44	USD	-7,836.00	
09AUG17	S	1 PD09NOV17		2935.50	3248.44	USD	-7,823.50	
	NET	0 AVG BGHT 2949.33	SOLD 2948.33				-75.00	0.00
11AUG17	B	1 PD10NOV17		2911.00	3247.33	USD	8,408.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2910.50	3247.33	USD	-8,420.75	
11AUG17	B	1	PD10NOV17	2906.50	3247.33	USD	8,520.75	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
	NET	0 AVG	BGHT 2908.75				-50.00	0.00
16AUG17	B	2	PD16NOV17	3017.50	3241.50	USD	11,200.00	
16AUG17	S	1	PD16NOV17	3029.50	3241.50	USD	-5,300.00	
16AUG17	S	1	PD16NOV17	3030.00	3241.50	USD	-5,287.50	
16AUG17	S	2	PD16NOV17	3086.00	3241.50	USD	-7,775.00	
16AUG17	B	2	PD16NOV17	3102.50	3241.50	USD	6,950.00	
16AUG17	B	2	PD16NOV17	3050.00	3241.50	USD	9,575.00	
19SEP17	S	1	PD16NOV17	3103.55	3241.50	USD	-3,448.75	
19SEP17	S	1	PD16NOV17	3103.75	3241.50	USD	-3,443.75	
	NET	0 AVG	BGHT 3056.67				2,470.00	0.00
17AUG17	S	1	PD17NOV17	3105.00	3239.00	USD	-3,350.00	
17AUG17	S	1	PD17NOV17	3108.00	3239.00	USD	-3,275.00	
17AUG17	B	1	PD17NOV17	3095.00	3239.00	USD	3,600.00	
17AUG17	B	1	PD17NOV17	3098.50	3239.00	USD	3,512.50	
17AUG17	S	1	PD17NOV17	3085.00	3239.00	USD	-3,850.00	
17AUG17	S	1	PD17NOV17	3086.00	3239.00	USD	-3,825.00	
17AUG17	S	1	PD17NOV17	3050.50	3239.00	USD	-4,712.50	
18AUG17	B	1	PD17NOV17	3073.00	3239.00	USD	4,150.00	
18AUG17	B	1	PD17NOV17	3082.00	3239.00	USD	3,925.00	
19SEP17	B	1	PD17NOV17	3103.93	3239.00	USD	3,376.75	
	NET	0 AVG	BGHT 3090.49				-448.25	0.00
21AUG17	S	1	PD21NOV17	3171.00	3237.00	USD	-1,650.00	
21AUG17	B	1	PD21NOV17	3129.00	3237.00	USD	2,700.00	
	NET	0 AVG	BGHT 3129.00				1,050.00	0.00
24AUG17	B	1	PD24NOV17	3108.50	3235.00	USD	3,162.50	
24AUG17	S	1	PD24NOV17	3104.50	3235.00	USD	-3,262.50	
	NET	0 AVG	BGHT 3108.50				-100.00	0.00
30AUG17	S	1	PD30NOV17	3145.00	3233.67	USD	-2,216.75	
30AUG17	B	1	PD30NOV17	3105.00	3233.67	USD	3,216.75	
	NET	0 AVG	BGHT 3105.00				1,000.00	0.00
01SEP17	S	1	PD01DEC17	3172.50	3233.33	USD	-1,520.75	
01SEP17	B	1	PD01DEC17	3194.50	3233.33	USD	970.75	
	NET	0 AVG	BGHT 3194.50				-550.00	0.00
12SEP17	S	2	PD12DEC17	3086.00	3231.00	USD	-7,250.00	
12SEP17	B	2	PD12DEC17	3081.00	3231.00	USD	7,500.00	
	NET	0 AVG	BGHT 3081.00				250.00	0.00
13SEP17	S	2	PD13DEC17	3048.50	3230.67	USD	-9,108.50	
13SEP17	B	1	PD13DEC17	3045.00	3230.67	USD	4,641.75	
13SEP17	B	1	PD13DEC17	3045.50	3230.67	USD	4,629.25	
	NET	0 AVG	BGHT 3045.25				162.50	0.00
19SEP17	B	1	PD19DEC17	3109.50	3229.33	USD	2,995.75	
19SEP17	S	1	PD19DEC17	3110.00	3229.33	USD	-2,983.25	
	NET	0 AVG	BGHT 3109.50				12.50	0.00
28SEP17	S	1	PD28DEC17	3149.00	3223.50	USD	-1,862.50	
28SEP17	B	1	PD28DEC17	3152.50	3223.50	USD	1,775.00	
	NET	0 AVG	BGHT 3152.50				-87.50	0.00
10OCT17	B	1	PD10JAN18	3288.00	3219.50	USD	-1,712.50	
10OCT17	B	1	PD10JAN18	3280.00	3219.50	USD	-1,512.50	
10OCT17	B	1	PD10JAN18	3286.00	3219.50	USD	-1,662.50	
10OCT17	S	1	PD10JAN18	3278.00	3219.50	USD	1,462.50	
10OCT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	

OPEN POSITION STATEMENT



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10OCT17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 1 PD10JAN18 NET 0AVG BGHT 3284.67 SOLD 3281.33	3283.50	3219.50	USD	1,600.00 -250.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-287.50
LME Copper Grade A 25	FUTURES	375.00
LME Lead 25 tonnes. This is an	FUTURES	2,779.00
LME Nickel 6 tonnes. This is	FUTURES	8,927.70
LME Tin 5 tonnes. This is an	FUTURES	4,076.30
LME Zinc 25 tonnes. This is	FUTURES	-2,029.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-287.50	-287.50		
COPL - Futures USD	375.00	375.00		
LEAD - Futures USD	2,779.00	2,779.00		
NKL - Futures USD	8,927.46	8,927.46		
TIN - Futures USD	4,088.90	4,088.90		
ZINC - Futures USD	-2,029.00	-2,029.00	13,853.86	13,853.86

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-3,585.82	-3,585.82
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-3,585.82	-3,585.82
Initial Margins	0.00	0.00
Excess/(Shortage)	-3,585.82	-3,585.82
FOR INFORMATION ONLY		
Forward Unrealised P&L	13,853.86	13,853.86
Net Liquidating Value	10,268.04	10,268.04

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0083**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		331,513.40	331,513.40
Variation Margins	- Futures	-24,903.75	-24,903.75
	- Options	0.00	0.00
TOTAL EQUITY		306,609.65	306,609.65
Initial Margins		-595,576.13	-595,576.13
Excess/(Shortage)		-288,966.48	-288,966.48
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-317,430.13	-317,430.13
Net Liquidating Value		-10,820.48	-10,820.48

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-789,771.99	-789,771.99
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-789,771.99	-789,771.99
Initial Margins	0.00	0.00
Excess/(Shortage)	-789,771.99	-789,771.99
FOR INFORMATION ONLY		
Net Liquidating Value	-789,771.99	-789,771.99

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	S	2	PD120CT17	1900.50	2104.25	USD	-10,187.50	
12JUL17	S	3	PD120CT17	1901.00	2104.25	USD	-15,243.75	
12JUL17	B	1	PD120CT17	1893.00	2104.25	USD	5,281.25	
12JUL17	B	1	PD120CT17	1884.50	2104.25	USD	5,493.75	
12JUL17	B	1	PD120CT17	1893.00	2104.25	USD	5,281.25	
18JUL17	B	2	PD120CT17	1929.94	2104.25	USD	8,715.50	
	NET	0 AVG	BGHT 1906.08	SOLD 1900.80			-659.50	0.00
13JUL17	B	1	PD130CT17	1900.00	2104.75	USD	5,118.75	
13JUL17	S	3	PD130CT17	1929.00	2104.75	USD	-13,181.25	
13JUL17	S	1	PD130CT17	1928.50	2104.75	USD	-4,406.25	
13JUL17	S	2	PD130CT17	1928.00	2104.75	USD	-8,837.50	
13JUL17	S	2	PD130CT17	1927.00	2104.75	USD	-8,887.50	
13JUL17	S	2	PD130CT17	1923.00	2104.75	USD	-9,087.50	
13JUL17	B	2	PD130CT17	1932.50	2104.75	USD	8,612.50	
13JUL17	B	1	PD130CT17	1923.50	2104.75	USD	4,531.25	
13JUL17	B	1	PD130CT17	1920.00	2104.75	USD	4,618.75	
13JUL17	S	1	PD130CT17	1922.00	2104.75	USD	-4,568.75	
14JUL17	S	2	PD130CT17	1925.00	2104.75	USD	-8,987.50	
14JUL17	S	3	PD130CT17	1925.50	2104.75	USD	-13,443.75	
14JUL17	S	2	PD130CT17	1929.50	2104.75	USD	-8,762.50	
14JUL17	S	1	PD130CT17	1928.00	2104.75	USD	-4,418.75	
14JUL17	B	2	PD130CT17	1923.00	2104.75	USD	9,087.50	
14JUL17	B	1	PD130CT17	1924.00	2104.75	USD	4,518.75	
14JUL17	S	1	PD130CT17	1926.50	2104.75	USD	-4,456.25	
14JUL17	B	3	PD130CT17	1925.00	2104.75	USD	13,481.25	
18JUL17	B	9	PD130CT17	1930.15	2104.75	USD	39,285.00	
	NET	0 AVG	BGHT 1926.24	SOLD 1926.68			216.25	0.00
17JUL17	B	1	PD170CT17	1930.00	2106.75	USD	4,418.75	
17JUL17	B	2	PD170CT17	1927.00	2106.75	USD	8,987.50	
17JUL17	B	1	PD170CT17	1920.00	2106.75	USD	4,668.75	
17JUL17	B	1	PD170CT17	1909.50	2106.75	USD	4,931.25	
17JUL17	B	1	PD170CT17	1901.50	2106.75	USD	5,131.25	
17JUL17	S	1	PD170CT17	1914.50	2106.75	USD	-4,806.25	
17JUL17	B	1	PD170CT17	1912.50	2106.75	USD	4,856.25	
17JUL17	S	1	PD170CT17	1914.50	2106.75	USD	-4,806.25	
24JUL17	S	5	PD170CT17	1911.30	2106.75	USD	-24,431.25	
	NET	0 AVG	BGHT 1918.21	SOLD 1912.21			-1,050.00	0.00
18JUL17	S	1	PD180CT17	1921.00	2107.25	USD	-4,656.25	
18JUL17	S	1	PD180CT17	1923.00	2107.25	USD	-4,606.25	
18JUL17	S	1	PD180CT17	1925.50	2107.25	USD	-4,543.75	
18JUL17	S	1	PD180CT17	1926.50	2107.25	USD	-4,518.75	
18JUL17	S	1	PD180CT17	1931.00	2107.25	USD	-4,406.25	
24JUL17	B	5	PD180CT17	1911.70	2107.25	USD	24,443.75	
	NET	0 AVG	BGHT 1911.70	SOLD 1925.40			1,712.50	0.00
19JUL17	S	1	PD190CT17	1928.00	2107.75	USD	-4,493.75	
19JUL17	S	1	PD190CT17	1929.00	2107.75	USD	-4,468.75	
19JUL17	S	2	PD190CT17	1925.00	2107.75	USD	-9,137.50	
19JUL17	S	1	PD190CT17	1932.50	2107.75	USD	-4,381.25	
19JUL17	B	1	PD190CT17	1936.00	2107.75	USD	4,293.75	
19JUL17	B	3	PD190CT17	1937.00	2107.75	USD	12,806.25	
19JUL17	B	1	PD190CT17	1933.50	2107.75	USD	4,356.25	
	NET	0 AVG	BGHT 1936.10	SOLD 1927.90			-1,025.00	0.00
20JUL17	B	2	PD200CT17	1917.00	2108.25	USD	9,562.50	
20JUL17	B	1	PD200CT17	1916.00	2108.25	USD	4,806.25	
20JUL17	S	2	PD200CT17	1918.00	2108.25	USD	-9,512.50	
20JUL17	S	1	PD200CT17	1919.50	2108.25	USD	-4,718.75	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21JUL17	B	1	PD200CT17	1916.00	2108.25	USD	4,806.25	
21JUL17	B	1	PD200CT17	1913.50	2108.25	USD	4,868.75	
21JUL17	S	1	PD200CT17	1918.50	2108.25	USD	-4,743.75	
21JUL17	S	1	PD200CT17	1919.00	2108.25	USD	-4,731.25	
	NET	0 AVG BGHT 1915.90	SOLD 1918.60				337.50	0.00
24JUL17	B	2	PD240CT17	1918.00	2110.25	USD	9,612.50	
24JUL17	B	2	PD240CT17	1913.00	2110.25	USD	9,862.50	
24JUL17	B	1	PD240CT17	1913.00	2110.25	USD	4,931.25	
24JUL17	B	2	PD240CT17	1911.00	2110.25	USD	9,962.50	
07AUG17	S	7	PD240CT17	1960.68	2110.25	USD	-26,174.75	
	NET	0 AVG BGHT 1913.86	SOLD 1960.68				8,194.00	0.00
25JUL17	B	1	PD250CT17	1919.00	2110.75	USD	4,793.75	
25JUL17	B	2	PD250CT17	1920.50	2110.75	USD	9,512.50	
25JUL17	S	1	PD250CT17	1923.50	2110.75	USD	-4,681.25	
25JUL17	S	1	PD250CT17	1924.00	2110.75	USD	-4,668.75	
25JUL17	S	1	PD250CT17	1921.00	2110.75	USD	-4,743.75	
25JUL17	S	1	PD250CT17	1935.50	2110.75	USD	-4,381.25	
25JUL17	S	2	PD250CT17	1936.50	2110.75	USD	-8,712.50	
25JUL17	S	1	PD250CT17	1937.00	2110.75	USD	-4,343.75	
25JUL17	S	2	PD250CT17	1940.00	2110.75	USD	-8,537.50	
25JUL17	S	1	PD250CT17	1945.00	2110.75	USD	-4,143.75	
07AUG17	B	7	PD250CT17	1961.12	2110.75	USD	26,185.25	
	NET	0 AVG BGHT 1948.78	SOLD 1933.90				-3,721.00	0.00
26JUL17	S	1	PD260CT17	1938.00	2111.25	USD	-4,331.25	
26JUL17	S	1	PD260CT17	1937.50	2111.25	USD	-4,343.75	
26JUL17	S	1	PD260CT17	1936.50	2111.25	USD	-4,368.75	
26JUL17	S	2	PD260CT17	1945.50	2111.25	USD	-8,287.50	
26JUL17	S	3	PD260CT17	1947.50	2111.25	USD	-12,281.25	
26JUL17	S	4	PD260CT17	1960.50	2111.25	USD	-15,075.00	
26JUL17	S	2	PD260CT17	1961.00	2111.25	USD	-7,512.50	
26JUL17	B	1	PD260CT17	1937.50	2111.25	USD	4,343.75	
26JUL17	B	2	PD260CT17	1938.50	2111.25	USD	8,637.50	
26JUL17	S	3	PD260CT17	1946.50	2111.25	USD	-12,356.25	
26JUL17	S	1	PD260CT17	1947.00	2111.25	USD	-4,106.25	
26JUL17	S	5	PD260CT17	1949.00	2111.25	USD	-20,281.25	
26JUL17	S	4	PD260CT17	1955.00	2111.25	USD	-15,625.00	
26JUL17	S	1	PD260CT17	1956.50	2111.25	USD	-3,868.75	
26JUL17	S	2	PD260CT17	1957.00	2111.25	USD	-7,712.50	
26JUL17	S	4	PD260CT17	1957.50	2111.25	USD	-15,375.00	
26JUL17	S	4	PD260CT17	1962.50	2111.25	USD	-14,875.00	
26JUL17	S	1	PD260CT17	1953.00	2111.25	USD	-3,956.25	
26JUL17	B	4	PD260CT17	1951.50	2111.25	USD	15,975.00	
26JUL17	B	1	PD260CT17	1937.00	2111.25	USD	4,356.25	
26JUL17	B	2	PD260CT17	1945.00	2111.25	USD	8,312.50	
26JUL17	B	1	PD260CT17	1948.50	2111.25	USD	4,068.75	
26JUL17	B	1	PD260CT17	1947.50	2111.25	USD	4,093.75	
26JUL17	B	4	PD260CT17	1946.00	2111.25	USD	16,525.00	
26JUL17	S	1	PD260CT17	1945.00	2111.25	USD	-4,156.25	
26JUL17	B	3	PD260CT17	1945.50	2111.25	USD	12,431.25	
26JUL17	B	3	PD260CT17	1943.50	2111.25	USD	12,581.25	
26JUL17	B	5	PD260CT17	1943.00	2111.25	USD	21,031.25	
26JUL17	S	1	PD260CT17	1942.50	2111.25	USD	-4,218.75	
26JUL17	B	3	PD260CT17	1940.50	2111.25	USD	12,806.25	
26JUL17	B	1	PD260CT17	1940.00	2111.25	USD	4,281.25	
07AUG17	B	10	PD260CT17	1961.16	2111.25	USD	37,522.50	
	NET	0 AVG BGHT 1948.36	SOLD 1952.49				4,235.00	0.00

OPEN POSITION STATEMENT



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SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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27JUL17	B	1	PD27OCT17	1941.50	2111.00	USD	4,237.50	
27JUL17	S	4	PD27OCT17	1940.00	2111.00	USD	-17,100.00	
27JUL17	S	4	PD27OCT17	1942.00	2111.00	USD	-16,900.00	
27JUL17	B	1	PD27OCT17	1933.50	2111.00	USD	4,437.50	
27JUL17	B	4	PD27OCT17	1934.00	2111.00	USD	17,700.00	
27JUL17	B	1	PD27OCT17	1932.50	2111.00	USD	4,462.50	
27JUL17	B	6	PD27OCT17	1933.00	2111.00	USD	26,700.00	
27JUL17	S	2	PD27OCT17	1944.00	2111.00	USD	-8,350.00	
27JUL17	S	6	PD27OCT17	1944.50	2111.00	USD	-24,975.00	
27JUL17	S	1	PD27OCT17	1947.00	2111.00	USD	-4,100.00	
27JUL17	S	2	PD27OCT17	1946.00	2111.00	USD	-8,250.00	
27JUL17	S	4	PD27OCT17	1945.00	2111.00	USD	-16,600.00	
27JUL17	S	7	PD27OCT17	1949.00	2111.00	USD	-28,350.00	
27JUL17	S	2	PD27OCT17	1950.00	2111.00	USD	-8,050.00	
27JUL17	S	1	PD27OCT17	1950.50	2111.00	USD	-4,012.50	
27JUL17	S	1	PD27OCT17	1953.00	2111.00	USD	-3,950.00	
27JUL17	S	1	PD27OCT17	1955.50	2111.00	USD	-3,887.50	
27JUL17	B	2	PD27OCT17	1954.50	2111.00	USD	7,825.00	
27JUL17	B	1	PD27OCT17	1950.00	2111.00	USD	4,025.00	
27JUL17	B	1	PD27OCT17	1948.50	2111.00	USD	4,062.50	
27JUL17	B	2	PD27OCT17	1944.00	2111.00	USD	8,350.00	
27JUL17	B	1	PD27OCT17	1945.50	2111.00	USD	4,137.50	
27JUL17	B	6	PD27OCT17	1944.50	2111.00	USD	24,975.00	
27JUL17	B	1	PD27OCT17	1938.00	2111.00	USD	4,325.00	
27JUL17	B	1	PD27OCT17	1937.50	2111.00	USD	4,337.50	
27JUL17	B	1	PD27OCT17	1937.00	2111.00	USD	4,350.00	
27JUL17	B	1	PD27OCT17	1936.00	2111.00	USD	4,375.00	
28JUL17	S	1	PD27OCT17	1940.00	2111.00	USD	-4,275.00	
28JUL17	B	1	PD27OCT17	1941.50	2111.00	USD	4,237.50	
28JUL17	B	4	PD27OCT17	1940.50	2111.00	USD	17,050.00	
28JUL17	B	1	PD27OCT17	1936.00	2111.00	USD	4,375.00	
28JUL17	B	4	PD27OCT17	1935.50	2111.00	USD	17,550.00	
28JUL17	B	1	PD27OCT17	1937.50	2111.00	USD	4,337.50	
28JUL17	S	1	PD27OCT17	1935.00	2111.00	USD	-4,400.00	
28JUL17	S	4	PD27OCT17	1930.00	2111.00	USD	-18,100.00	
28JUL17	S	2	PD27OCT17	1927.00	2111.00	USD	-9,200.00	
28JUL17	S	1	PD27OCT17	1926.00	2111.00	USD	-4,625.00	
28JUL17	S	7	PD27OCT17	1929.00	2111.00	USD	-31,850.00	
28JUL17	S	2	PD27OCT17	1931.00	2111.00	USD	-9,000.00	
28JUL17	S	1	PD27OCT17	1932.00	2111.00	USD	-4,475.00	
28JUL17	S	1	PD27OCT17	1933.00	2111.00	USD	-4,450.00	
28JUL17	S	2	PD27OCT17	1930.00	2111.00	USD	-9,050.00	
28JUL17	B	1	PD27OCT17	1914.50	2111.00	USD	4,912.50	
28JUL17	B	6	PD27OCT17	1915.50	2111.00	USD	29,325.00	
28JUL17	B	6	PD27OCT17	1916.00	2111.00	USD	29,250.00	
28JUL17	S	1	PD27OCT17	1921.00	2111.00	USD	-4,750.00	
28JUL17	S	1	PD27OCT17	1923.50	2111.00	USD	-4,687.50	
28JUL17	S	3	PD27OCT17	1924.00	2111.00	USD	-14,025.00	
28JUL17	S	4	PD27OCT17	1923.00	2111.00	USD	-18,800.00	
28JUL17	B	17	PD27OCT17	1915.00	2111.00	USD	83,300.00	
28JUL17	B	1	PD27OCT17	1915.50	2111.00	USD	4,887.50	
28JUL17	B	6	PD27OCT17	1913.50	2111.00	USD	29,625.00	
28JUL17	S	2	PD27OCT17	1910.00	2111.00	USD	-10,050.00	
28JUL17	B	1	PD27OCT17	1910.00	2111.00	USD	5,025.00	
07AUG17	S	10	PD27OCT17	1961.19	2111.00	USD	-37,452.50	
07AUG17	S	1	PD27OCT17	1961.39	2111.00	USD	-3,740.25	
	NET	0 AVG BGHT 1927.62	SOLD 1940.14				24,719.75	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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31JUL17	B	1	PD31OCT17	1914.00	2110.50	USD	4,912.50	
31JUL17	B	2	PD31OCT17	1918.00	2110.50	USD	9,625.00	
31JUL17	B	2	PD31OCT17	1916.50	2110.50	USD	9,700.00	
31JUL17	B	1	PD31OCT17	1920.00	2110.50	USD	4,762.50	
31JUL17	B	1	PD31OCT17	1917.00	2110.50	USD	4,837.50	
31JUL17	S	1	PD31OCT17	1916.00	2110.50	USD	-4,862.50	
31JUL17	B	2	PD31OCT17	1915.00	2110.50	USD	9,775.00	
31JUL17	B	4	PD31OCT17	1912.00	2110.50	USD	19,850.00	
31JUL17	B	1	PD31OCT17	1919.00	2110.50	USD	4,787.50	
31JUL17	B	2	PD31OCT17	1919.50	2110.50	USD	9,550.00	
31JUL17	B	1	PD31OCT17	1914.00	2110.50	USD	4,912.50	
31JUL17	S	1	PD31OCT17	1920.50	2110.50	USD	-4,750.00	
31JUL17	S	1	PD31OCT17	1921.00	2110.50	USD	-4,737.50	
07AUG17	S	14	PD31OCT17	1962.34	2110.50	USD	-51,856.00	
	NET	0AVG BGHT 1915.88	SOLD 1954.72				16,506.50	0.00
01AUG17	B	2	PD01NOV17	1916.50	2110.81	USD	9,715.50	
01AUG17	S	2	PD01NOV17	1916.00	2110.81	USD	-9,740.50	
01AUG17	S	1	PD01NOV17	1917.00	2110.81	USD	-4,845.25	
01AUG17	S	3	PD01NOV17	1916.50	2110.81	USD	-14,573.25	
01AUG17	B	2	PD01NOV17	1908.50	2110.81	USD	10,115.50	
01AUG17	B	2	PD01NOV17	1908.00	2110.81	USD	10,140.50	
01AUG17	B	1	PD01NOV17	1911.00	2110.81	USD	4,995.25	
01AUG17	B	2	PD01NOV17	1910.50	2110.81	USD	10,015.50	
07AUG17	S	3	PD01NOV17	1962.58	2110.81	USD	-11,117.25	
	NET	0AVG BGHT 1910.89	SOLD 1931.80				4,706.00	0.00
02AUG17	S	1	PD02NOV17	1911.50	2111.13	USD	-4,990.75	
02AUG17	S	2	PD02NOV17	1912.00	2111.13	USD	-9,956.50	
02AUG17	S	1	PD02NOV17	1912.50	2111.13	USD	-4,965.75	
02AUG17	S	1	PD02NOV17	1913.00	2111.13	USD	-4,953.25	
02AUG17	S	1	PD02NOV17	1913.50	2111.13	USD	-4,940.75	
02AUG17	B	3	PD02NOV17	1914.00	2111.13	USD	14,784.75	
02AUG17	B	4	PD02NOV17	1914.50	2111.13	USD	19,663.00	
02AUG17	B	4	PD02NOV17	1916.50	2111.13	USD	19,463.00	
02AUG17	B	2	PD02NOV17	1917.50	2111.13	USD	9,681.50	
02AUG17	B	1	PD02NOV17	1918.00	2111.13	USD	4,828.25	
02AUG17	B	3	PD02NOV17	1918.50	2111.13	USD	14,447.25	
02AUG17	B	1	PD02NOV17	1921.00	2111.13	USD	4,753.25	
02AUG17	B	1	PD02NOV17	1924.00	2111.13	USD	4,678.25	
02AUG17	B	2	PD02NOV17	1925.50	2111.13	USD	9,281.50	
02AUG17	B	6	PD02NOV17	1920.00	2111.13	USD	28,669.50	
02AUG17	B	3	PD02NOV17	1920.50	2111.13	USD	14,297.25	
02AUG17	B	4	PD02NOV17	1923.00	2111.13	USD	18,813.00	
02AUG17	B	1	PD02NOV17	1917.50	2111.13	USD	4,840.75	
02AUG17	B	1	PD02NOV17	1922.50	2111.13	USD	4,715.75	
02AUG17	B	1	PD02NOV17	1928.50	2111.13	USD	4,565.75	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	B	1	PD02NOV17	1927.50	2111.13	USD	4,590.75	
02AUG17	B	2	PD02NOV17	1928.00	2111.13	USD	9,156.50	
02AUG17	S	4	PD02NOV17	1929.00	2111.13	USD	-18,213.00	
02AUG17	S	2	PD02NOV17	1927.00	2111.13	USD	-9,206.50	
02AUG17	S	2	PD02NOV17	1926.50	2111.13	USD	-9,231.50	
07AUG17	S	14	PD02NOV17	1962.82	2111.13	USD	-51,908.50	
18AUG17	S	1	PD02NOV17	2059.26	2111.13	USD	-1,296.75	
22AUG17	S	2	PD02NOV17	2071.13	2111.13	USD	-2,000.00	
23AUG17	S	8	PD02NOV17	2093.12	2111.13	USD	-3,602.00	
	NET	0AVG BGHT 1919.90	SOLD 1981.27				61,374.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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03AUG17	S	1	PD03NOV17	1931.50	2111.44	USD	-4,498.50	
03AUG17	S	2	PD03NOV17	1930.00	2111.44	USD	-9,072.00	
03AUG17	S	1	PD03NOV17	1927.50	2111.44	USD	-4,598.50	
03AUG17	S	4	PD03NOV17	1927.00	2111.44	USD	-18,444.00	
03AUG17	S	2	PD03NOV17	1924.00	2111.44	USD	-9,372.00	
03AUG17	S	1	PD03NOV17	1923.50	2111.44	USD	-4,698.50	
03AUG17	S	3	PD03NOV17	1923.00	2111.44	USD	-14,133.00	
03AUG17	B	1	PD03NOV17	1923.00	2111.44	USD	4,711.00	
03AUG17	S	6	PD03NOV17	1922.50	2111.44	USD	-28,341.00	
03AUG17	S	11	PD03NOV17	1922.00	2111.44	USD	-52,096.00	
03AUG17	S	1	PD03NOV17	1930.50	2111.44	USD	-4,523.50	
03AUG17	B	1	PD03NOV17	1918.00	2111.44	USD	4,836.00	
03AUG17	B	1	PD03NOV17	1910.50	2111.44	USD	5,023.50	
03AUG17	B	1	PD03NOV17	1911.00	2111.44	USD	5,011.00	
03AUG17	B	2	PD03NOV17	1914.50	2111.44	USD	9,847.00	
03AUG17	S	2	PD03NOV17	1920.50	2111.44	USD	-9,547.00	
03AUG17	B	1	PD03NOV17	1912.50	2111.44	USD	4,973.50	
03AUG17	B	4	PD03NOV17	1912.00	2111.44	USD	19,944.00	
03AUG17	B	2	PD03NOV17	1911.50	2111.44	USD	9,997.00	
04AUG17	B	5	PD03NOV17	1915.50	2111.44	USD	24,492.50	
04AUG17	B	7	PD03NOV17	1916.00	2111.44	USD	34,202.00	
04AUG17	B	2	PD03NOV17	1917.50	2111.44	USD	9,697.00	
04AUG17	B	5	PD03NOV17	1914.00	2111.44	USD	24,680.00	
04AUG17	B	2	PD03NOV17	1914.50	2111.44	USD	9,847.00	
04AUG17	B	7	PD03NOV17	1917.00	2111.44	USD	34,027.00	
04AUG17	B	2	PD03NOV17	1918.50	2111.44	USD	9,647.00	
04AUG17	S	2	PD03NOV17	1907.50	2111.44	USD	-10,197.00	
04AUG17	S	7	PD03NOV17	1910.50	2111.44	USD	-35,164.50	
04AUG17	B	1	PD03NOV17	1905.50	2111.44	USD	5,148.50	
04AUG17	S	1	PD03NOV17	1909.00	2111.44	USD	-5,061.00	
04AUG17	S	3	PD03NOV17	1908.50	2111.44	USD	-15,220.50	
04AUG17	S	6	PD03NOV17	1907.50	2111.44	USD	-30,591.00	
04AUG17	S	8	PD03NOV17	1908.50	2111.44	USD	-40,588.00	
04AUG17	B	4	PD03NOV17	1909.50	2111.44	USD	20,194.00	
04AUG17	S	1	PD03NOV17	1905.50	2111.44	USD	-5,148.50	
04AUG17	S	1	PD03NOV17	1905.00	2111.44	USD	-5,161.00	
07AUG17	B	32	PD03NOV17	1963.25	2111.44	USD	118,552.00	
18AUG17	S	5	PD03NOV17	2059.46	2111.44	USD	-6,497.50	
23AUG17	S	12	PD03NOV17	2093.36	2111.44	USD	-5,424.00	
	NET	0 AVG BGHT 1934.03	SOLD 1952.25				36,453.00	0.00
07AUG17	S	3	PD07NOV17	1943.00	2112.69	USD	-12,726.75	
07AUG17	S	2	PD07NOV17	1942.50	2112.69	USD	-8,509.50	
07AUG17	S	1	PD07NOV17	1943.00	2112.69	USD	-4,242.25	
18AUG17	B	6	PD07NOV17	2060.45	2112.69	USD	7,836.00	
	NET	0 AVG BGHT 2060.45	SOLD 1942.83				-17,642.50	0.00
08AUG17	B	1	PD08NOV17	1981.00	2113.00	USD	3,300.00	
08AUG17	B	1	PD08NOV17	1980.50	2113.00	USD	3,312.50	
08AUG17	B	1	PD08NOV17	1978.50	2113.00	USD	3,362.50	
08AUG17	S	1	PD08NOV17	1994.50	2113.00	USD	-2,962.50	
08AUG17	B	1	PD08NOV17	1997.50	2113.00	USD	2,887.50	
08AUG17	B	1	PD08NOV17	1999.50	2113.00	USD	2,837.50	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	1	PD08NOV17	2005.00	2113.00	USD	2,700.00	
08AUG17	B	1	PD08NOV17	2020.00	2113.00	USD	2,325.00	
14AUG17	S	1	PD08NOV17	2021.90	2113.00	USD	-2,277.50	
18AUG17	S	6	PD08NOV17	2060.50	2113.00	USD	-7,875.00	
	NET	0 AVG BGHT 1995.69	SOLD 2047.43				10,347.50	0.00

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09AUG17	S	1	PD09NOV17	2036.50	2113.46	USD	-1,924.00	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50	
09AUG17	S	1	PD09NOV17	2029.00	2113.46	USD	-2,111.50	
14AUG17	B	1	PD09NOV17	2022.29	2113.46	USD	2,279.25	
18AUG17	B	2	PD09NOV17	2060.95	2113.46	USD	2,625.50	
	NET	0 AVG	BGHT 2048.06	SOLD 2034.17			-1,042.25	0.00
10AUG17	B	1	PD10NOV17	2038.50	2113.93	USD	1,885.75	
10AUG17	S	1	PD10NOV17	2033.00	2113.93	USD	-2,023.25	
10AUG17	B	1	PD10NOV17	2039.50	2113.93	USD	1,860.75	
11AUG17	S	1	PD10NOV17	2022.00	2113.93	USD	-2,298.25	
11AUG17	S	2	PD10NOV17	2043.50	2113.93	USD	-3,521.50	
22AUG17	B	2	PD10NOV17	2073.20	2113.93	USD	2,036.50	
	NET	0 AVG	BGHT 2056.10	SOLD 2035.50			-2,060.00	0.00
14AUG17	S	1	PD14NOV17	2031.50	2115.79	USD	-2,107.25	
14AUG17	S	1	PD14NOV17	2033.00	2115.79	USD	-2,069.75	
18AUG17	B	2	PD14NOV17	2062.20	2115.79	USD	2,679.50	
	NET	0 AVG	BGHT 2062.20	SOLD 2032.25			-1,497.50	0.00
15AUG17	S	1	PD15NOV17	2049.00	2116.25	USD	-1,681.25	
15AUG17	S	1	PD15NOV17	2047.00	2116.25	USD	-1,731.25	
18AUG17	B	2	PD15NOV17	2062.45	2116.25	USD	2,690.00	
	NET	0 AVG	BGHT 2062.45	SOLD 2048.00			-722.50	0.00
16AUG17	B	1	PD16NOV17	2093.50	2116.56	USD	576.50	
18AUG17	S	1	PD16NOV17	2061.75	2116.56	USD	-1,370.25	
	NET	0 AVG	BGHT 2093.50	SOLD 2061.75			-793.75	0.00
17AUG17	S	1	PD17NOV17	2100.50	2116.87	USD	-409.25	
17AUG17	B	1	PD17NOV17	2068.50	2116.87	USD	1,209.25	
17AUG17	S	1	PD17NOV17	2071.00	2116.87	USD	-1,146.75	
18AUG17	S	12	PD17NOV17	2083.50	2116.87	USD	-10,011.00	
18AUG17	S	7	PD17NOV17	2084.50	2116.87	USD	-5,664.75	
18AUG17	S	1	PD17NOV17	2084.50	2116.87	USD	-809.25	
18AUG17	B	1	PD17NOV17	2062.20	2116.87	USD	1,366.75	
23AUG17	B	20	PD17NOV17	2096.11	2116.87	USD	10,380.00	
	NET	0 AVG	BGHT 2093.31	SOLD 2084.07			-5,085.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	5888.50	6755.20	USD	21,667.50	
12JUL17	B	2	PD12OCT17	5894.50	6755.20	USD	43,035.00	
12JUL17	S	1	PD12OCT17	5902.50	6755.20	USD	-21,317.50	
12JUL17	S	2	PD12OCT17	5900.00	6755.20	USD	-42,760.00	
12JUL17	S	1	PD12OCT17	5898.50	6755.20	USD	-21,417.50	
12JUL17	S	1	PD12OCT17	5896.50	6755.20	USD	-21,467.50	
12JUL17	S	1	PD12OCT17	5901.50	6755.20	USD	-21,342.50	
12JUL17	S	3	PD12OCT17	5902.00	6755.20	USD	-63,990.00	
12JUL17	S	3	PD12OCT17	5901.00	6755.20	USD	-64,065.00	
12JUL17	S	2	PD12OCT17	5896.00	6755.20	USD	-42,960.00	
12JUL17	S	3	PD12OCT17	5899.50	6755.20	USD	-64,177.50	
12JUL17	S	1	PD12OCT17	5906.50	6755.20	USD	-21,217.50	
12JUL17	S	1	PD12OCT17	5915.00	6755.20	USD	-21,005.00	
12JUL17	S	1	PD12OCT17	5899.00	6755.20	USD	-21,405.00	
12JUL17	S	1	PD12OCT17	5895.50	6755.20	USD	-21,492.50	
12JUL17	S	1	PD12OCT17	5898.00	6755.20	USD	-21,430.00	
12JUL17	S	2	PD12OCT17	5914.50	6755.20	USD	-42,035.00	
12JUL17	S	1	PD12OCT17	5923.00	6755.20	USD	-20,805.00	
12JUL17	S	1	PD12OCT17	5923.50	6755.20	USD	-20,792.50	
12JUL17	S	1	PD12OCT17	5925.00	6755.20	USD	-20,755.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5908.00	6755.20	USD	-21,180.00	
12JUL17	B	2	PD120CT17	5906.50	6755.20	USD	42,435.00	
12JUL17	S	2	PD120CT17	5910.00	6755.20	USD	-42,260.00	
12JUL17	S	1	PD120CT17	5916.50	6755.20	USD	-20,967.50	
12JUL17	B	1	PD120CT17	5907.00	6755.20	USD	21,205.00	
18JUL17	B	25	PD120CT17	6004.72	6755.20	USD	469,050.00	
	NET	0AVG BGHT 5984.37	SOLD 5905.08				-61,450.00	0.00
13JUL17	B	1	PD130CT17	5908.50	6756.00	USD	21,187.50	
13JUL17	B	3	PD130CT17	5907.50	6756.00	USD	63,637.50	
13JUL17	B	1	PD130CT17	5917.00	6756.00	USD	20,975.00	
13JUL17	S	1	PD130CT17	5922.50	6756.00	USD	-20,837.50	
13JUL17	S	1	PD130CT17	5925.00	6756.00	USD	-20,775.00	
13JUL17	B	1	PD130CT17	5922.00	6756.00	USD	20,850.00	
13JUL17	B	1	PD130CT17	5917.00	6756.00	USD	20,975.00	
13JUL17	S	2	PD130CT17	5925.50	6756.00	USD	-41,525.00	
13JUL17	S	1	PD130CT17	5927.50	6756.00	USD	-20,712.50	
13JUL17	B	1	PD130CT17	5885.50	6756.00	USD	21,762.50	
13JUL17	B	1	PD130CT17	5884.00	6756.00	USD	21,800.00	
13JUL17	B	1	PD130CT17	5878.00	6756.00	USD	21,950.00	
13JUL17	B	2	PD130CT17	5877.50	6756.00	USD	43,925.00	
13JUL17	B	1	PD130CT17	5879.50	6756.00	USD	21,912.50	
13JUL17	B	1	PD130CT17	5882.00	6756.00	USD	21,850.00	
13JUL17	B	1	PD130CT17	5875.50	6756.00	USD	22,012.50	
13JUL17	B	2	PD130CT17	5874.00	6756.00	USD	44,100.00	
13JUL17	B	1	PD130CT17	5874.50	6756.00	USD	22,037.50	
13JUL17	B	1	PD130CT17	5877.00	6756.00	USD	21,975.00	
13JUL17	B	1	PD130CT17	5876.00	6756.00	USD	22,000.00	
13JUL17	B	1	PD130CT17	5876.50	6756.00	USD	21,987.50	
13JUL17	B	1	PD130CT17	5873.50	6756.00	USD	22,062.50	
13JUL17	B	1	PD130CT17	5862.00	6756.00	USD	22,350.00	
13JUL17	S	1	PD130CT17	5860.00	6756.00	USD	-22,400.00	
13JUL17	S	1	PD130CT17	5860.50	6756.00	USD	-22,387.50	
14JUL17	S	1	PD130CT17	5872.00	6756.00	USD	-22,100.00	
14JUL17	S	2	PD130CT17	5871.50	6756.00	USD	-44,225.00	
14JUL17	S	1	PD130CT17	5873.00	6756.00	USD	-22,075.00	
14JUL17	B	3	PD130CT17	5883.50	6756.00	USD	65,437.50	
14JUL17	B	1	PD130CT17	5884.00	6756.00	USD	21,800.00	
14JUL17	B	3	PD130CT17	5882.00	6756.00	USD	65,550.00	
14JUL17	B	2	PD130CT17	5881.50	6756.00	USD	43,725.00	
14JUL17	B	1	PD130CT17	5880.50	6756.00	USD	21,887.50	
14JUL17	B	2	PD130CT17	5887.50	6756.00	USD	43,425.00	
14JUL17	B	2	PD130CT17	5887.00	6756.00	USD	43,450.00	
14JUL17	B	1	PD130CT17	5886.50	6756.00	USD	21,737.50	
14JUL17	B	1	PD130CT17	5888.50	6756.00	USD	21,687.50	
14JUL17	S	2	PD130CT17	5902.50	6756.00	USD	-42,675.00	
14JUL17	S	3	PD130CT17	5904.00	6756.00	USD	-63,900.00	
14JUL17	S	2	PD130CT17	5905.50	6756.00	USD	-42,525.00	
14JUL17	S	1	PD130CT17	5906.50	6756.00	USD	-21,237.50	
14JUL17	S	2	PD130CT17	5913.50	6756.00	USD	-42,125.00	
14JUL17	S	1	PD130CT17	5915.00	6756.00	USD	-21,025.00	
14JUL17	S	1	PD130CT17	5915.50	6756.00	USD	-21,012.50	
14JUL17	S	1	PD130CT17	5917.00	6756.00	USD	-20,975.00	
14JUL17	S	1	PD130CT17	5918.00	6756.00	USD	-20,950.00	
14JUL17	S	1	PD130CT17	5918.50	6756.00	USD	-20,937.50	
14JUL17	S	1	PD130CT17	5927.00	6756.00	USD	-20,725.00	
18JUL17	B	3	PD130CT17	6005.13	6756.00	USD	56,315.25	
08AUG17	S	15	PD130CT17	6473.25	6756.00	USD	-106,031.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0AVG	BGHT 5894.70	SOLD 6107.28			223,209.00	0.00
17JUL17	B	1	PD17OCT17	5921.00	6759.20	USD	20,955.00	
17JUL17	B	1	PD17OCT17	5950.00	6759.20	USD	20,230.00	
17JUL17	B	1	PD17OCT17	5951.00	6759.20	USD	20,205.00	
17JUL17	S	1	PD17OCT17	5928.50	6759.20	USD	-20,767.50	
17JUL17	S	1	PD17OCT17	5916.00	6759.20	USD	-21,080.00	
17JUL17	B	2	PD17OCT17	5951.50	6759.20	USD	40,385.00	
17JUL17	S	1	PD17OCT17	5931.00	6759.20	USD	-20,705.00	
17JUL17	B	5	PD17OCT17	5948.00	6759.20	USD	101,400.00	
17JUL17	S	3	PD17OCT17	5951.00	6759.20	USD	-60,615.00	
17JUL17	S	1	PD17OCT17	5975.00	6759.20	USD	-19,605.00	
17JUL17	S	1	PD17OCT17	5977.50	6759.20	USD	-19,542.50	
17JUL17	B	4	PD17OCT17	5947.00	6759.20	USD	81,220.00	
17JUL17	S	1	PD17OCT17	5981.50	6759.20	USD	-19,442.50	
17JUL17	B	2	PD17OCT17	5949.00	6759.20	USD	40,510.00	
17JUL17	S	3	PD17OCT17	5982.50	6759.20	USD	-58,252.50	
17JUL17	B	5	PD17OCT17	5950.50	6759.20	USD	101,087.50	
17JUL17	S	2	PD17OCT17	5981.00	6759.20	USD	-38,910.00	
17JUL17	S	1	PD17OCT17	5930.50	6759.20	USD	-20,717.50	
17JUL17	S	1	PD17OCT17	5911.00	6759.20	USD	-21,205.00	
17JUL17	S	1	PD17OCT17	5980.50	6759.20	USD	-19,467.50	
17JUL17	S	1	PD17OCT17	5979.50	6759.20	USD	-19,492.50	
17JUL17	S	2	PD17OCT17	5914.50	6759.20	USD	-42,235.00	
17JUL17	S	1	PD17OCT17	5982.00	6759.20	USD	-19,430.00	
17JUL17	B	1	PD17OCT17	5923.00	6759.20	USD	20,905.00	
17JUL17	B	3	PD17OCT17	5922.00	6759.20	USD	62,790.00	
17JUL17	B	1	PD17OCT17	5952.00	6759.20	USD	20,180.00	
17JUL17	S	2	PD17OCT17	5918.00	6759.20	USD	-42,060.00	
17JUL17	B	4	PD17OCT17	5949.50	6759.20	USD	80,970.00	
17JUL17	S	1	PD17OCT17	5949.00	6759.20	USD	-20,255.00	
17JUL17	B	1	PD17OCT17	5922.50	6759.20	USD	20,917.50	
17JUL17	S	2	PD17OCT17	5975.50	6759.20	USD	-39,185.00	
17JUL17	S	1	PD17OCT17	5914.00	6759.20	USD	-21,130.00	
17JUL17	B	1	PD17OCT17	5914.00	6759.20	USD	21,130.00	
17JUL17	S	3	PD17OCT17	5980.00	6759.20	USD	-58,440.00	
17JUL17	S	2	PD17OCT17	5973.50	6759.20	USD	-39,285.00	
17JUL17	S	1	PD17OCT17	5972.00	6759.20	USD	-19,680.00	
17JUL17	S	1	PD17OCT17	5972.50	6759.20	USD	-19,667.50	
17JUL17	S	3	PD17OCT17	5969.50	6759.20	USD	-59,227.50	
17JUL17	S	2	PD17OCT17	5984.50	6759.20	USD	-38,735.00	
17JUL17	S	2	PD17OCT17	5985.00	6759.20	USD	-38,710.00	
17JUL17	S	1	PD17OCT17	5911.00	6759.20	USD	-21,205.00	
17JUL17	S	1	PD17OCT17	5979.00	6759.20	USD	-19,505.00	
17JUL17	S	1	PD17OCT17	5981.50	6759.20	USD	-19,442.50	
17JUL17	B	1	PD17OCT17	6002.50	6759.20	USD	18,917.50	
17JUL17	S	3	PD17OCT17	6007.50	6759.20	USD	-56,377.50	
17JUL17	S	1	PD17OCT17	6012.50	6759.20	USD	-18,667.50	
17JUL17	S	1	PD17OCT17	6017.00	6759.20	USD	-18,555.00	
17JUL17	S	1	PD17OCT17	6014.50	6759.20	USD	-18,617.50	
17JUL17	S	1	PD17OCT17	6015.50	6759.20	USD	-18,592.50	
17JUL17	S	1	PD17OCT17	6013.00	6759.20	USD	-18,655.00	
17JUL17	S	1	PD17OCT17	6016.50	6759.20	USD	-18,567.50	
17JUL17	S	1	PD17OCT17	5998.50	6759.20	USD	-19,017.50	
17JUL17	B	1	PD17OCT17	6001.50	6759.20	USD	18,942.50	
18JUL17	B	20	PD17OCT17	6006.79	6759.20	USD	376,205.00	
	NET	0AVG	BGHT 5968.87	SOLD 5970.28			1,905.00	0.00
18JUL17	S	1	PD18OCT17	6002.00	6760.00	USD	-18,950.00	

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18JUL17	S	1	PD18OCT17	6001.00	6760.00	USD	-18,975.00	
18JUL17	S	1	PD18OCT17	5998.50	6760.00	USD	-19,037.50	
18JUL17	S	1	PD18OCT17	6001.50	6760.00	USD	-18,962.50	
18JUL17	S	3	PD18OCT17	6011.00	6760.00	USD	-56,175.00	
18JUL17	B	1	PD18OCT17	6012.00	6760.00	USD	18,700.00	
18JUL17	B	1	PD18OCT17	5988.00	6760.00	USD	19,300.00	
18JUL17	S	1	PD18OCT17	5985.00	6760.00	USD	-19,375.00	
18JUL17	S	1	PD18OCT17	5997.00	6760.00	USD	-19,075.00	
18JUL17	B	3	PD18OCT17	5996.00	6760.00	USD	57,300.00	
18JUL17	B	3	PD18OCT17	5995.50	6760.00	USD	57,337.50	
18JUL17	B	1	PD18OCT17	5995.00	6760.00	USD	19,125.00	
18JUL17	B	1	PD18OCT17	5994.50	6760.00	USD	19,137.50	
18JUL17	B	1	PD18OCT17	5999.00	6760.00	USD	19,025.00	
18JUL17	B	3	PD18OCT17	6005.50	6760.00	USD	56,587.50	
18JUL17	S	3	PD18OCT17	6004.50	6760.00	USD	-56,662.50	
18JUL17	S	1	PD18OCT17	6005.00	6760.00	USD	-18,875.00	
18JUL17	S	1	PD18OCT17	6006.00	6760.00	USD	-18,850.00	
18JUL17	S	5	PD18OCT17	6005.50	6760.00	USD	-94,312.50	
24JUL17	B	5	PD18OCT17	6027.70	6760.00	USD	91,537.50	
	NET	0	AVG BGHT 6006.21	SOLD 6003.68			-1,200.00	0.00
19JUL17	S	1	PD19OCT17	5999.00	6760.88	USD	-19,047.00	
19JUL17	S	4	PD19OCT17	5999.50	6760.88	USD	-76,138.00	
19JUL17	S	1	PD19OCT17	6001.00	6760.88	USD	-18,997.00	
19JUL17	S	1	PD19OCT17	5998.50	6760.88	USD	-19,059.50	
19JUL17	B	1	PD19OCT17	6002.50	6760.88	USD	18,959.50	
19JUL17	B	1	PD19OCT17	6013.00	6760.88	USD	18,697.00	
19JUL17	B	1	PD19OCT17	6006.00	6760.88	USD	18,872.00	
19JUL17	B	1	PD19OCT17	6007.50	6760.88	USD	18,834.50	
19JUL17	B	1	PD19OCT17	6007.00	6760.88	USD	18,847.00	
19JUL17	B	3	PD19OCT17	5998.00	6760.88	USD	57,216.00	
19JUL17	B	1	PD19OCT17	5996.00	6760.88	USD	19,122.00	
19JUL17	B	1	PD19OCT17	5997.50	6760.88	USD	19,084.50	
19JUL17	B	1	PD19OCT17	6000.00	6760.88	USD	19,022.00	
19JUL17	B	7	PD19OCT17	5996.50	6760.88	USD	133,766.50	
19JUL17	B	2	PD19OCT17	5992.50	6760.88	USD	38,419.00	
19JUL17	B	1	PD19OCT17	5992.00	6760.88	USD	19,222.00	
19JUL17	B	1	PD19OCT17	5991.00	6760.88	USD	19,247.00	
19JUL17	B	1	PD19OCT17	5989.50	6760.88	USD	19,284.50	
19JUL17	B	2	PD19OCT17	5989.00	6760.88	USD	38,594.00	
19JUL17	B	1	PD19OCT17	5987.50	6760.88	USD	19,334.50	
19JUL17	S	1	PD19OCT17	5987.00	6760.88	USD	-19,347.00	
19JUL17	B	1	PD19OCT17	5988.00	6760.88	USD	19,322.00	
19JUL17	S	1	PD19OCT17	5989.00	6760.88	USD	-19,297.00	
19JUL17	S	1	PD19OCT17	5994.50	6760.88	USD	-19,159.50	
19JUL17	B	3	PD19OCT17	5996.50	6760.88	USD	57,328.50	
19JUL17	B	1	PD19OCT17	5971.50	6760.88	USD	19,734.50	
19JUL17	B	1	PD19OCT17	5969.00	6760.88	USD	19,797.00	
24JUL17	S	6	PD19OCT17	6026.50	6760.88	USD	-110,157.00	
07AUG17	S	7	PD19OCT17	6407.50	6760.88	USD	-61,841.50	
08AUG17	S	9	PD19OCT17	6473.50	6760.88	USD	-64,660.50	
	NET	0	AVG BGHT 5995.00	SOLD 6226.25			185,000.00	0.00
20JUL17	B	1	PD20OCT17	5971.00	6761.75	USD	19,768.75	
20JUL17	B	1	PD20OCT17	5970.50	6761.75	USD	19,781.25	
20JUL17	B	1	PD20OCT17	5986.00	6761.75	USD	19,393.75	
20JUL17	B	1	PD20OCT17	5982.00	6761.75	USD	19,493.75	
20JUL17	B	1	PD20OCT17	5981.50	6761.75	USD	19,506.25	
20JUL17	B	4	PD20OCT17	5984.50	6761.75	USD	77,725.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5987.50	6761.75	USD	19,356.25	
20JUL17	B	4	PD200CT17	5987.00	6761.75	USD	77,475.00	
20JUL17	S	1	PD200CT17	5988.00	6761.75	USD	-19,343.75	
20JUL17	B	1	PD200CT17	5991.00	6761.75	USD	19,268.75	
20JUL17	S	1	PD200CT17	5991.00	6761.75	USD	-19,268.75	
20JUL17	S	1	PD200CT17	5989.00	6761.75	USD	-19,318.75	
20JUL17	B	1	PD200CT17	5981.00	6761.75	USD	19,518.75	
20JUL17	S	1	PD200CT17	5984.50	6761.75	USD	-19,431.25	
20JUL17	B	4	PD200CT17	5983.00	6761.75	USD	77,875.00	
20JUL17	B	2	PD200CT17	5983.50	6761.75	USD	38,912.50	
20JUL17	S	1	PD200CT17	5969.50	6761.75	USD	-19,806.25	
20JUL17	B	1	PD200CT17	5971.50	6761.75	USD	19,756.25	
20JUL17	B	1	PD200CT17	5969.50	6761.75	USD	19,806.25	
20JUL17	S	2	PD200CT17	5969.00	6761.75	USD	-39,637.50	
20JUL17	B	1	PD200CT17	5968.00	6761.75	USD	19,843.75	
20JUL17	S	1	PD200CT17	5966.00	6761.75	USD	-19,893.75	
20JUL17	B	4	PD200CT17	5965.50	6761.75	USD	79,625.00	
20JUL17	B	1	PD200CT17	5975.50	6761.75	USD	19,656.25	
20JUL17	S	1	PD200CT17	5973.50	6761.75	USD	-19,706.25	
20JUL17	B	1	PD200CT17	5962.50	6761.75	USD	19,981.25	
20JUL17	B	1	PD200CT17	5961.50	6761.75	USD	20,006.25	
20JUL17	B	1	PD200CT17	5952.50	6761.75	USD	20,231.25	
20JUL17	S	2	PD200CT17	5946.00	6761.75	USD	-40,787.50	
20JUL17	S	1	PD200CT17	5947.00	6761.75	USD	-20,368.75	
20JUL17	S	2	PD200CT17	5953.00	6761.75	USD	-40,437.50	
20JUL17	B	3	PD200CT17	5972.00	6761.75	USD	59,231.25	
20JUL17	B	1	PD200CT17	5973.00	6761.75	USD	19,718.75	
20JUL17	B	4	PD200CT17	5973.50	6761.75	USD	78,825.00	
20JUL17	B	1	PD200CT17	5977.50	6761.75	USD	19,606.25	
20JUL17	B	1	PD200CT17	5978.00	6761.75	USD	19,593.75	
20JUL17	S	1	PD200CT17	5979.00	6761.75	USD	-19,568.75	
20JUL17	B	1	PD200CT17	5976.50	6761.75	USD	19,631.25	
21JUL17	S	1	PD200CT17	5985.00	6761.75	USD	-19,418.75	
21JUL17	B	1	PD200CT17	5985.50	6761.75	USD	19,406.25	
21JUL17	S	1	PD200CT17	6005.50	6761.75	USD	-18,906.25	
21JUL17	S	1	PD200CT17	6012.00	6761.75	USD	-18,743.75	
21JUL17	S	1	PD200CT17	6013.00	6761.75	USD	-18,718.75	
21JUL17	S	1	PD200CT17	5985.00	6761.75	USD	-19,418.75	
21JUL17	S	3	PD200CT17	6029.50	6761.75	USD	-54,918.75	
21JUL17	S	1	PD200CT17	6028.50	6761.75	USD	-18,331.25	
21JUL17	S	1	PD200CT17	6027.00	6761.75	USD	-18,368.75	
21JUL17	S	2	PD200CT17	6026.50	6761.75	USD	-36,762.50	
21JUL17	S	1	PD200CT17	6025.00	6761.75	USD	-18,418.75	
21JUL17	S	4	PD200CT17	6031.00	6761.75	USD	-73,075.00	
21JUL17	S	1	PD200CT17	6020.50	6761.75	USD	-18,531.25	
21JUL17	S	1	PD200CT17	6016.50	6761.75	USD	-18,631.25	
21JUL17	S	2	PD200CT17	6015.50	6761.75	USD	-37,312.50	
21JUL17	S	1	PD200CT17	6001.00	6761.75	USD	-19,018.75	
21JUL17	S	2	PD200CT17	6029.50	6761.75	USD	-36,612.50	
21JUL17	B	1	PD200CT17	6000.50	6761.75	USD	19,031.25	
21JUL17	S	2	PD200CT17	5992.50	6761.75	USD	-38,462.50	
24JUL17	B	12	PD200CT17	6025.70	6761.75	USD	220,815.00	
07AUG17	S	17	PD200CT17	6406.50	6761.75	USD	-150,981.25	
	NET	0	AVG BGHT 5987.38	SOLD 6118.85			190,640.00	0.00
24JUL17	S	2	PD240CT17	5994.00	6765.25	USD	-38,562.50	
24JUL17	S	7	PD240CT17	5995.00	6765.25	USD	-134,793.75	
24JUL17	S	3	PD240CT17	5996.00	6765.25	USD	-57,693.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	2	PD240CT17	5995.50	6765.25	USD	-38,487.50	
24JUL17	S	1	PD240CT17	5999.00	6765.25	USD	-19,156.25	
24JUL17	S	2	PD240CT17	6028.00	6765.25	USD	-36,862.50	
24JUL17	S	3	PD240CT17	6022.50	6765.25	USD	-55,706.25	
24JUL17	B	1	PD240CT17	6022.00	6765.25	USD	18,581.25	
24JUL17	B	1	PD240CT17	6020.50	6765.25	USD	18,618.75	
24JUL17	B	2	PD240CT17	6016.50	6765.25	USD	37,437.50	
24JUL17	S	1	PD240CT17	6015.50	6765.25	USD	-18,743.75	
24JUL17	S	1	PD240CT17	6023.00	6765.25	USD	-18,556.25	
24JUL17	S	2	PD240CT17	6026.00	6765.25	USD	-36,962.50	
24JUL17	S	2	PD240CT17	6027.00	6765.25	USD	-36,912.50	
07AUG17	B	22	PD240CT17	6408.41	6765.25	USD	196,262.00	
	NET	0AVG BGHT 6348.48	SOLD 6007.65				-221,538.00	0.00
25JUL17	S	1	PD250CT17	6028.50	6765.68	USD	-18,429.50	
25JUL17	S	1	PD250CT17	6041.00	6765.68	USD	-18,117.00	
25JUL17	S	1	PD250CT17	6043.50	6765.68	USD	-18,054.50	
25JUL17	S	1	PD250CT17	6045.50	6765.68	USD	-18,004.50	
25JUL17	S	1	PD250CT17	6065.50	6765.68	USD	-17,504.50	
25JUL17	B	2	PD250CT17	6066.50	6765.68	USD	34,959.00	
25JUL17	S	1	PD250CT17	6066.00	6765.68	USD	-17,492.00	
25JUL17	S	3	PD250CT17	6066.50	6765.68	USD	-52,438.50	
25JUL17	S	2	PD250CT17	6069.00	6765.68	USD	-34,834.00	
25JUL17	S	1	PD250CT17	6071.50	6765.68	USD	-17,354.50	
25JUL17	S	1	PD250CT17	6071.00	6765.68	USD	-17,367.00	
25JUL17	S	1	PD250CT17	6074.50	6765.68	USD	-17,279.50	
25JUL17	S	1	PD250CT17	6078.00	6765.68	USD	-17,192.00	
25JUL17	S	1	PD250CT17	6076.00	6765.68	USD	-17,242.00	
25JUL17	S	2	PD250CT17	6086.50	6765.68	USD	-33,959.00	
25JUL17	S	1	PD250CT17	6090.50	6765.68	USD	-16,879.50	
25JUL17	S	1	PD250CT17	6091.00	6765.68	USD	-16,867.00	
25JUL17	B	2	PD250CT17	6098.00	6765.68	USD	33,384.00	
25JUL17	B	2	PD250CT17	6100.00	6765.68	USD	33,284.00	
25JUL17	B	1	PD250CT17	6101.00	6765.68	USD	16,617.00	
25JUL17	B	1	PD250CT17	6093.50	6765.68	USD	16,804.50	
25JUL17	S	1	PD250CT17	6091.50	6765.68	USD	-16,854.50	
25JUL17	B	1	PD250CT17	6086.00	6765.68	USD	16,992.00	
25JUL17	S	1	PD250CT17	6124.00	6765.68	USD	-16,042.00	
25JUL17	S	1	PD250CT17	6123.50	6765.68	USD	-16,054.50	
25JUL17	S	1	PD250CT17	6125.50	6765.68	USD	-16,004.50	
25JUL17	S	1	PD250CT17	6127.00	6765.68	USD	-15,967.00	
25JUL17	S	1	PD250CT17	6115.50	6765.68	USD	-16,254.50	
25JUL17	S	1	PD250CT17	6161.50	6765.68	USD	-15,104.50	
25JUL17	S	1	PD250CT17	6164.50	6765.68	USD	-15,029.50	
25JUL17	S	1	PD250CT17	6166.50	6765.68	USD	-14,979.50	
25JUL17	S	1	PD250CT17	6168.00	6765.68	USD	-14,942.00	
25JUL17	S	2	PD250CT17	6165.00	6765.68	USD	-30,034.00	
25JUL17	S	1	PD250CT17	6155.50	6765.68	USD	-15,254.50	
25JUL17	S	1	PD250CT17	6172.50	6765.68	USD	-14,829.50	
25JUL17	S	1	PD250CT17	6185.00	6765.68	USD	-14,517.00	
25JUL17	S	1	PD250CT17	6184.50	6765.68	USD	-14,529.50	
25JUL17	S	1	PD250CT17	6184.00	6765.68	USD	-14,542.00	
25JUL17	S	2	PD250CT17	6068.00	6765.68	USD	-34,884.00	
25JUL17	B	2	PD250CT17	6098.00	6765.68	USD	33,384.00	
25JUL17	B	1	PD250CT17	6090.50	6765.68	USD	16,879.50	
25JUL17	B	1	PD250CT17	6086.00	6765.68	USD	16,992.00	
25JUL17	S	1	PD250CT17	6155.50	6765.68	USD	-15,254.50	
25JUL17	B	1	PD250CT17	6179.50	6765.68	USD	14,654.50	

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25JUL17	S	1	PD250CT17	6198.00	6765.68	USD	-14,192.00	
25JUL17	S	1	PD250CT17	6197.00	6765.68	USD	-14,217.00	
25JUL17	S	1	PD250CT17	6196.50	6765.68	USD	-14,229.50	
25JUL17	B	1	PD250CT17	6232.50	6765.68	USD	13,329.50	
25JUL17	S	1	PD250CT17	6232.00	6765.68	USD	-13,342.00	
25JUL17	B	1	PD250CT17	6219.00	6765.68	USD	13,667.00	
25JUL17	S	1	PD250CT17	6218.00	6765.68	USD	-13,692.00	
25JUL17	S	1	PD250CT17	6219.00	6765.68	USD	-13,667.00	
25JUL17	S	1	PD250CT17	6221.50	6765.68	USD	-13,604.50	
25JUL17	S	1	PD250CT17	6216.50	6765.68	USD	-13,729.50	
25JUL17	S	3	PD250CT17	6230.50	6765.68	USD	-40,138.50	
25JUL17	B	2	PD250CT17	6225.00	6765.68	USD	27,034.00	
25JUL17	B	1	PD250CT17	6221.50	6765.68	USD	13,604.50	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	B	1	PD250CT17	6207.50	6765.68	USD	13,954.50	
25JUL17	B	1	PD250CT17	6190.00	6765.68	USD	14,392.00	
25JUL17	B	2	PD250CT17	6194.50	6765.68	USD	28,559.00	
25JUL17	S	1	PD250CT17	6212.50	6765.68	USD	-13,829.50	
25JUL17	S	1	PD250CT17	6211.50	6765.68	USD	-13,854.50	
25JUL17	S	2	PD250CT17	6207.50	6765.68	USD	-27,909.00	
25JUL17	S	1	PD250CT17	6205.00	6765.68	USD	-14,017.00	
25JUL17	S	1	PD250CT17	6197.00	6765.68	USD	-14,217.00	
25JUL17	S	2	PD250CT17	6210.50	6765.68	USD	-27,759.00	
25JUL17	S	2	PD250CT17	6209.00	6765.68	USD	-27,834.00	
25JUL17	S	3	PD250CT17	6208.50	6765.68	USD	-41,788.50	
25JUL17	S	1	PD250CT17	6209.50	6765.68	USD	-13,904.50	
25JUL17	S	1	PD250CT17	6204.50	6765.68	USD	-14,029.50	
07AUG17	B	2	PD250CT17	6408.84	6765.68	USD	17,842.00	
07AUG17	B	25	PD250CT17	6408.64	6765.68	USD	223,150.00	
18AUG17	B	1	PD250CT17	6478.17	6765.68	USD	7,187.75	
23AUG17	B	14	PD250CT17	6555.92	6765.68	USD	73,416.00	
	NET	0AVG BGHT 6345.16	SOLD 6147.47				-326,193.25	0.00
26JUL17	S	1	PD260CT17	6269.00	6766.11	USD	-12,427.75	
26JUL17	S	1	PD260CT17	6266.00	6766.11	USD	-12,502.75	
26JUL17	S	1	PD260CT17	6259.00	6766.11	USD	-12,677.75	
26JUL17	S	1	PD260CT17	6255.50	6766.11	USD	-12,765.25	
26JUL17	S	1	PD260CT17	6256.00	6766.11	USD	-12,752.75	
26JUL17	S	2	PD260CT17	6257.00	6766.11	USD	-25,455.50	
26JUL17	S	1	PD260CT17	6258.50	6766.11	USD	-12,690.25	
26JUL17	S	4	PD260CT17	6261.50	6766.11	USD	-50,461.00	
26JUL17	S	3	PD260CT17	6265.50	6766.11	USD	-37,545.75	
26JUL17	B	4	PD260CT17	6253.00	6766.11	USD	51,311.00	
26JUL17	S	1	PD260CT17	6235.00	6766.11	USD	-13,277.75	
26JUL17	S	1	PD260CT17	6236.00	6766.11	USD	-13,252.75	
26JUL17	S	1	PD260CT17	6275.50	6766.11	USD	-12,265.25	
26JUL17	S	1	PD260CT17	6277.00	6766.11	USD	-12,227.75	
26JUL17	S	1	PD260CT17	6276.50	6766.11	USD	-12,240.25	
26JUL17	S	1	PD260CT17	6289.50	6766.11	USD	-11,915.25	
26JUL17	S	2	PD260CT17	6295.00	6766.11	USD	-23,555.50	
26JUL17	S	1	PD260CT17	6291.00	6766.11	USD	-11,877.75	
26JUL17	B	1	PD260CT17	6313.50	6766.11	USD	11,315.25	
26JUL17	B	1	PD260CT17	6315.00	6766.11	USD	11,277.75	
26JUL17	S	2	PD260CT17	6344.00	6766.11	USD	-21,105.50	
26JUL17	S	1	PD260CT17	6352.50	6766.11	USD	-10,340.25	
26JUL17	B	1	PD260CT17	6351.50	6766.11	USD	10,365.25	
26JUL17	S	2	PD260CT17	6343.00	6766.11	USD	-21,155.50	
26JUL17	S	4	PD260CT17	6342.50	6766.11	USD	-42,361.00	

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26JUL17	S	3	PD260CT17	6341.50	6766.11	USD	-31,845.75	
26JUL17	S	4	PD260CT17	6347.00	6766.11	USD	-41,911.00	
26JUL17	S	1	PD260CT17	6368.50	6766.11	USD	-9,940.25	
26JUL17	S	20	PD260CT17	6395.00	6766.11	USD	-185,555.00	
26JUL17	B	1	PD260CT17	6385.50	6766.11	USD	9,515.25	
26JUL17	S	1	PD260CT17	6390.50	6766.11	USD	-9,390.25	
26JUL17	B	3	PD260CT17	6384.50	6766.11	USD	28,620.75	
26JUL17	B	10	PD260CT17	6383.50	6766.11	USD	95,652.50	
26JUL17	S	1	PD260CT17	6384.00	6766.11	USD	-9,552.75	
26JUL17	B	1	PD260CT17	6381.00	6766.11	USD	9,627.75	
26JUL17	B	2	PD260CT17	6382.50	6766.11	USD	19,180.50	
26JUL17	B	1	PD260CT17	6378.00	6766.11	USD	9,702.75	
26JUL17	S	1	PD260CT17	6367.50	6766.11	USD	-9,965.25	
26JUL17	S	1	PD260CT17	6375.00	6766.11	USD	-9,777.75	
26JUL17	S	2	PD260CT17	6348.50	6766.11	USD	-20,880.50	
26JUL17	S	4	PD260CT17	6350.00	6766.11	USD	-41,611.00	
26JUL17	S	1	PD260CT17	6351.00	6766.11	USD	-10,377.75	
26JUL17	B	1	PD260CT17	6310.00	6766.11	USD	11,402.75	
26JUL17	B	1	PD260CT17	6302.50	6766.11	USD	11,590.25	
26JUL17	B	1	PD260CT17	6298.00	6766.11	USD	11,702.75	
26JUL17	B	1	PD260CT17	6292.50	6766.11	USD	11,840.25	
26JUL17	B	1	PD260CT17	6291.00	6766.11	USD	11,877.75	
26JUL17	B	2	PD260CT17	6302.00	6766.11	USD	23,205.50	
26JUL17	B	1	PD260CT17	6300.00	6766.11	USD	11,652.75	
26JUL17	B	1	PD260CT17	6283.00	6766.11	USD	12,077.75	
26JUL17	B	3	PD260CT17	6383.50	6766.11	USD	28,695.75	
26JUL17	B	1	PD260CT17	6297.50	6766.11	USD	11,715.25	
26JUL17	B	1	PD260CT17	6293.50	6766.11	USD	11,815.25	
26JUL17	B	1	PD260CT17	6297.00	6766.11	USD	11,727.75	
26JUL17	B	5	PD260CT17	6305.00	6766.11	USD	57,638.75	
26JUL17	B	3	PD260CT17	6303.00	6766.11	USD	34,733.25	
26JUL17	B	3	PD260CT17	6304.00	6766.11	USD	34,658.25	
26JUL17	B	5	PD260CT17	6315.50	6766.11	USD	56,326.25	
26JUL17	B	2	PD260CT17	6314.50	6766.11	USD	22,580.50	
26JUL17	B	4	PD260CT17	6314.00	6766.11	USD	45,211.00	
26JUL17	B	1	PD260CT17	6311.50	6766.11	USD	11,365.25	
26JUL17	B	1	PD260CT17	6311.00	6766.11	USD	11,377.75	
26JUL17	S	2	PD260CT17	6314.50	6766.11	USD	-22,580.50	
26JUL17	S	1	PD260CT17	6319.50	6766.11	USD	-11,165.25	
26JUL17	S	1	PD260CT17	6321.50	6766.11	USD	-11,115.25	
26JUL17	S	1	PD260CT17	6322.50	6766.11	USD	-11,090.25	
26JUL17	S	1	PD260CT17	6323.50	6766.11	USD	-11,065.25	
26JUL17	S	1	PD260CT17	6336.00	6766.11	USD	-10,752.75	
26JUL17	S	1	PD260CT17	6338.50	6766.11	USD	-10,690.25	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	S	1	PD260CT17	6345.50	6766.11	USD	-10,515.25	
26JUL17	S	10	PD260CT17	6327.50	6766.11	USD	-109,652.50	
26JUL17	B	1	PD260CT17	6322.50	6766.11	USD	11,090.25	
26JUL17	B	2	PD260CT17	6323.00	6766.11	USD	22,155.50	
26JUL17	B	1	PD260CT17	6320.50	6766.11	USD	11,140.25	
26JUL17	B	10	PD260CT17	6321.00	6766.11	USD	111,277.50	
07AUG17	S	3	PD260CT17	6408.87	6766.11	USD	-26,793.00	
23AUG17	B	17	PD260CT17	6556.50	6766.11	USD	89,084.25	
	NET	0AVG BGHT 6368.42	SOLD 6335.91				-77,222.25	0.00
27JUL17	B	2	PD270CT17	6348.00	6766.54	USD	20,927.00	
27JUL17	B	2	PD270CT17	6346.50	6766.54	USD	21,002.00	
27JUL17	B	1	PD270CT17	6344.00	6766.54	USD	10,563.50	

OPEN POSITION STATEMENT



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SAP0086C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	2	PD27OCT17	6351.50	6766.54	USD	-20,752.00	
27JUL17	S	1	PD27OCT17	6352.00	6766.54	USD	-10,363.50	
27JUL17	S	2	PD27OCT17	6353.00	6766.54	USD	-20,677.00	
27JUL17	B	1	PD27OCT17	6353.50	6766.54	USD	10,326.00	
27JUL17	B	2	PD27OCT17	6355.00	6766.54	USD	20,577.00	
27JUL17	S	1	PD27OCT17	6357.50	6766.54	USD	-10,226.00	
27JUL17	B	3	PD27OCT17	6358.00	6766.54	USD	30,640.50	
27JUL17	B	1	PD27OCT17	6359.00	6766.54	USD	10,188.50	
27JUL17	B	1	PD27OCT17	6360.50	6766.54	USD	10,151.00	
27JUL17	B	1	PD27OCT17	6360.00	6766.54	USD	10,163.50	
27JUL17	B	1	PD27OCT17	6358.50	6766.54	USD	10,201.00	
27JUL17	S	1	PD27OCT17	6358.00	6766.54	USD	-10,213.50	
27JUL17	S	1	PD27OCT17	6328.50	6766.54	USD	-10,951.00	
27JUL17	B	1	PD27OCT17	6327.50	6766.54	USD	10,976.00	
27JUL17	S	3	PD27OCT17	6321.50	6766.54	USD	-33,378.00	
27JUL17	S	1	PD27OCT17	6314.00	6766.54	USD	-11,313.50	
27JUL17	S	1	PD27OCT17	6324.00	6766.54	USD	-11,063.50	
27JUL17	S	1	PD27OCT17	6318.00	6766.54	USD	-11,213.50	
27JUL17	S	1	PD27OCT17	6319.50	6766.54	USD	-11,176.00	
27JUL17	S	1	PD27OCT17	6320.50	6766.54	USD	-11,151.00	
27JUL17	S	1	PD27OCT17	6349.50	6766.54	USD	-10,426.00	
27JUL17	S	1	PD27OCT17	6349.00	6766.54	USD	-10,438.50	
27JUL17	S	14	PD27OCT17	6347.00	6766.54	USD	-146,839.00	
27JUL17	S	1	PD27OCT17	6340.50	6766.54	USD	-10,651.00	
27JUL17	B	3	PD27OCT17	6340.00	6766.54	USD	31,990.50	
27JUL17	S	1	PD27OCT17	6331.50	6766.54	USD	-10,876.00	
27JUL17	S	1	PD27OCT17	6358.00	6766.54	USD	-10,213.50	
27JUL17	S	5	PD27OCT17	6351.00	6766.54	USD	-51,942.50	
27JUL17	S	3	PD27OCT17	6350.00	6766.54	USD	-31,240.50	
27JUL17	S	1	PD27OCT17	6345.50	6766.54	USD	-10,526.00	
27JUL17	S	1	PD27OCT17	6343.50	6766.54	USD	-10,576.00	
27JUL17	S	2	PD27OCT17	6340.00	6766.54	USD	-21,327.00	
27JUL17	S	1	PD27OCT17	6342.50	6766.54	USD	-10,601.00	
27JUL17	S	3	PD27OCT17	6354.50	6766.54	USD	-30,903.00	
27JUL17	S	8	PD27OCT17	6354.00	6766.54	USD	-82,508.00	
27JUL17	S	5	PD27OCT17	6371.50	6766.54	USD	-49,380.00	
27JUL17	S	3	PD27OCT17	6357.00	6766.54	USD	-30,715.50	
27JUL17	S	1	PD27OCT17	6355.50	6766.54	USD	-10,276.00	
27JUL17	B	6	PD27OCT17	6356.50	6766.54	USD	61,506.00	
27JUL17	B	9	PD27OCT17	6348.50	6766.54	USD	94,059.00	
27JUL17	B	1	PD27OCT17	6348.50	6766.54	USD	10,451.00	
27JUL17	B	1	PD27OCT17	6331.00	6766.54	USD	10,888.50	
27JUL17	B	1	PD27OCT17	6339.00	6766.54	USD	10,688.50	
27JUL17	B	2	PD27OCT17	6335.50	6766.54	USD	21,552.00	
28JUL17	B	1	PD27OCT17	6321.00	6766.54	USD	11,138.50	
28JUL17	B	1	PD27OCT17	6320.00	6766.54	USD	11,163.50	
28JUL17	B	1	PD27OCT17	6324.50	6766.54	USD	11,051.00	
28JUL17	B	3	PD27OCT17	6328.50	6766.54	USD	32,853.00	
28JUL17	B	1	PD27OCT17	6327.00	6766.54	USD	10,988.50	
28JUL17	B	3	PD27OCT17	6330.50	6766.54	USD	32,703.00	
28JUL17	B	1	PD27OCT17	6324.00	6766.54	USD	11,063.50	
28JUL17	S	2	PD27OCT17	6263.00	6766.54	USD	-25,177.00	
28JUL17	S	1	PD27OCT17	6264.50	6766.54	USD	-12,551.00	
28JUL17	S	1	PD27OCT17	6267.00	6766.54	USD	-12,488.50	
28JUL17	B	1	PD27OCT17	6298.50	6766.54	USD	11,701.00	
28JUL17	B	2	PD27OCT17	6299.00	6766.54	USD	23,377.00	
28JUL17	B	1	PD27OCT17	6299.50	6766.54	USD	11,676.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	6313.50	6766.54	USD	-11,326.00	
28JUL17	S	1	PD27OCT17	6314.00	6766.54	USD	-11,313.50	
28JUL17	S	1	PD27OCT17	6315.50	6766.54	USD	-11,276.00	
28JUL17	S	1	PD27OCT17	6320.00	6766.54	USD	-11,163.50	
28JUL17	S	1	PD27OCT17	6325.50	6766.54	USD	-11,026.00	
28JUL17	S	10	PD27OCT17	6312.00	6766.54	USD	-113,635.00	
28JUL17	S	2	PD27OCT17	6319.00	6766.54	USD	-22,377.00	
28JUL17	S	1	PD27OCT17	6321.50	6766.54	USD	-11,126.00	
28JUL17	S	4	PD27OCT17	6321.00	6766.54	USD	-44,554.00	
28JUL17	B	5	PD27OCT17	6316.00	6766.54	USD	56,317.50	
28JUL17	B	1	PD27OCT17	6319.50	6766.54	USD	11,176.00	
28JUL17	B	1	PD27OCT17	6322.50	6766.54	USD	11,101.00	
28JUL17	B	1	PD27OCT17	6323.50	6766.54	USD	11,076.00	
28JUL17	S	1	PD27OCT17	6322.50	6766.54	USD	-11,101.00	
28JUL17	B	1	PD27OCT17	6323.00	6766.54	USD	11,088.50	
28JUL17	S	5	PD27OCT17	6332.50	6766.54	USD	-54,255.00	
28JUL17	S	1	PD27OCT17	6323.00	6766.54	USD	-11,088.50	
28JUL17	S	3	PD27OCT17	6324.00	6766.54	USD	-33,190.50	
28JUL17	S	4	PD27OCT17	6324.50	6766.54	USD	-44,204.00	
28JUL17	S	1	PD27OCT17	6332.00	6766.54	USD	-10,863.50	
28JUL17	S	1	PD27OCT17	6334.00	6766.54	USD	-10,813.50	
28JUL17	S	1	PD27OCT17	6335.50	6766.54	USD	-10,776.00	
28JUL17	S	2	PD27OCT17	6337.00	6766.54	USD	-21,477.00	
28JUL17	S	2	PD27OCT17	6338.00	6766.54	USD	-21,427.00	
28JUL17	S	3	PD27OCT17	6339.00	6766.54	USD	-32,065.50	
28JUL17	S	2	PD27OCT17	6333.00	6766.54	USD	-21,677.00	
28JUL17	B	6	PD27OCT17	6325.00	6766.54	USD	66,231.00	
28JUL17	S	1	PD27OCT17	6326.00	6766.54	USD	-11,013.50	
28JUL17	S	3	PD27OCT17	6327.50	6766.54	USD	-32,928.00	
28JUL17	S	2	PD27OCT17	6328.50	6766.54	USD	-21,902.00	
28JUL17	S	3	PD27OCT17	6337.00	6766.54	USD	-32,215.50	
28JUL17	B	3	PD27OCT17	6327.50	6766.54	USD	32,928.00	
28JUL17	B	1	PD27OCT17	6326.00	6766.54	USD	11,013.50	
28JUL17	S	2	PD27OCT17	6335.00	6766.54	USD	-21,577.00	
28JUL17	B	2	PD27OCT17	6326.00	6766.54	USD	22,027.00	
03AUG17	B	8	PD27OCT17	6350.20	6766.54	USD	83,268.00	
08AUG17	B	48	PD27OCT17	6475.45	6766.54	USD	349,308.00	
	NET	0	AVG BGHT 6387.88	SOLD 6335.24			-172,405.00	0.00
31JUL17	B	1	PD31OCT17	6380.50	6768.25	USD	9,693.75	
31JUL17	B	2	PD31OCT17	6378.00	6768.25	USD	19,512.50	
31JUL17	S	1	PD31OCT17	6390.50	6768.25	USD	-9,443.75	
31JUL17	S	1	PD31OCT17	6345.50	6768.25	USD	-10,568.75	
31JUL17	B	1	PD31OCT17	6375.50	6768.25	USD	9,818.75	
31JUL17	S	5	PD31OCT17	6384.00	6768.25	USD	-48,031.25	
31JUL17	B	5	PD31OCT17	6373.00	6768.25	USD	49,406.25	
31JUL17	B	1	PD31OCT17	6377.00	6768.25	USD	9,781.25	
31JUL17	B	1	PD31OCT17	6383.50	6768.25	USD	9,618.75	
31JUL17	B	1	PD31OCT17	6377.50	6768.25	USD	9,768.75	
31JUL17	S	2	PD31OCT17	6346.00	6768.25	USD	-21,112.50	
31JUL17	S	1	PD31OCT17	6346.50	6768.25	USD	-10,543.75	
31JUL17	B	1	PD31OCT17	6378.50	6768.25	USD	9,743.75	
31JUL17	B	7	PD31OCT17	6363.00	6768.25	USD	70,918.75	
31JUL17	B	3	PD31OCT17	6382.00	6768.25	USD	28,968.75	
31JUL17	B	3	PD31OCT17	6365.00	6768.25	USD	30,243.75	
31JUL17	S	2	PD31OCT17	6373.50	6768.25	USD	-19,737.50	
31JUL17	B	1	PD31OCT17	6386.50	6768.25	USD	9,543.75	
31JUL17	S	10	PD31OCT17	6368.00	6768.25	USD	-100,062.50	

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31JUL17	B	2	PD31OCT17	6376.50	6768.25	USD	19,587.50	
31JUL17	B	3	PD31OCT17	6384.00	6768.25	USD	28,818.75	
31JUL17	B	1	PD31OCT17	6391.00	6768.25	USD	9,431.25	
31JUL17	B	1	PD31OCT17	6394.50	6768.25	USD	9,343.75	
31JUL17	B	1	PD31OCT17	6395.50	6768.25	USD	9,318.75	
31JUL17	B	1	PD31OCT17	6402.00	6768.25	USD	9,156.25	
31JUL17	B	2	PD31OCT17	6401.00	6768.25	USD	18,362.50	
31JUL17	B	1	PD31OCT17	6420.00	6768.25	USD	8,706.25	
31JUL17	B	1	PD31OCT17	6418.50	6768.25	USD	8,743.75	
31JUL17	B	2	PD31OCT17	6418.00	6768.25	USD	17,512.50	
31JUL17	S	1	PD31OCT17	6413.50	6768.25	USD	-8,868.75	
31JUL17	S	2	PD31OCT17	6400.00	6768.25	USD	-18,412.50	
31JUL17	B	6	PD31OCT17	6384.50	6768.25	USD	57,562.50	
31JUL17	B	1	PD31OCT17	6394.00	6768.25	USD	9,356.25	
31JUL17	B	1	PD31OCT17	6383.00	6768.25	USD	9,631.25	
31JUL17	S	1	PD31OCT17	6371.50	6768.25	USD	-9,918.75	
31JUL17	B	5	PD31OCT17	6368.00	6768.25	USD	50,031.25	
31JUL17	B	1	PD31OCT17	6354.00	6768.25	USD	10,356.25	
31JUL17	B	1	PD31OCT17	6352.50	6768.25	USD	10,393.75	
31JUL17	B	1	PD31OCT17	6348.00	6768.25	USD	10,506.25	
31JUL17	B	1	PD31OCT17	6349.00	6768.25	USD	10,481.25	
31JUL17	S	1	PD31OCT17	6378.00	6768.25	USD	-9,756.25	
31JUL17	S	2	PD31OCT17	6375.00	6768.25	USD	-19,662.50	
31JUL17	S	1	PD31OCT17	6375.50	6768.25	USD	-9,818.75	
31JUL17	S	3	PD31OCT17	6377.00	6768.25	USD	-29,343.75	
31JUL17	B	1	PD31OCT17	6365.50	6768.25	USD	10,068.75	
31JUL17	S	2	PD31OCT17	6372.00	6768.25	USD	-19,812.50	
31JUL17	S	2	PD31OCT17	6377.50	6768.25	USD	-19,537.50	
31JUL17	S	2	PD31OCT17	6376.50	6768.25	USD	-19,587.50	
31JUL17	S	1	PD31OCT17	6376.00	6768.25	USD	-9,806.25	
03AUG17	B	2	PD31OCT17	6351.34	6768.25	USD	20,845.50	
07AUG17	S	22	PD31OCT17	6410.94	6768.25	USD	-196,520.50	
07AUG17	S	2	PD31OCT17	6411.14	6768.25	USD	-17,855.50	
23AUG17	B	2	PD31OCT17	6559.00	6768.25	USD	10,462.50	
	NET	0 AVG BGHT 6383.44	SOLD 6388.00				7,294.50	0.00
01AUG17	B	1	PD01NOV17	6375.00	6769.18	USD	9,854.50	
01AUG17	S	3	PD01NOV17	6352.00	6769.18	USD	-31,288.50	
01AUG17	S	3	PD01NOV17	6353.00	6769.18	USD	-31,213.50	
01AUG17	S	2	PD01NOV17	6354.50	6769.18	USD	-20,734.00	
01AUG17	B	4	PD01NOV17	6368.00	6769.18	USD	40,118.00	
01AUG17	B	1	PD01NOV17	6379.00	6769.18	USD	9,754.50	
01AUG17	B	1	PD01NOV17	6380.50	6769.18	USD	9,717.00	
01AUG17	B	1	PD01NOV17	6380.00	6769.18	USD	9,729.50	
01AUG17	B	1	PD01NOV17	6372.50	6769.18	USD	9,917.00	
01AUG17	B	3	PD01NOV17	6359.00	6769.18	USD	30,763.50	
01AUG17	B	1	PD01NOV17	6357.50	6769.18	USD	10,292.00	
01AUG17	B	6	PD01NOV17	6360.00	6769.18	USD	61,377.00	
01AUG17	B	1	PD01NOV17	6344.00	6769.18	USD	10,629.50	
01AUG17	B	1	PD01NOV17	6343.50	6769.18	USD	10,642.00	
01AUG17	B	1	PD01NOV17	6341.00	6769.18	USD	10,704.50	
01AUG17	B	1	PD01NOV17	6351.00	6769.18	USD	10,454.50	
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	B	1	PD01NOV17	6354.00	6769.18	USD	10,379.50	
01AUG17	B	2	PD01NOV17	6352.50	6769.18	USD	20,834.00	
01AUG17	B	1	PD01NOV17	6349.00	6769.18	USD	10,504.50	
01AUG17	S	1	PD01NOV17	6331.00	6769.18	USD	-10,954.50	
01AUG17	S	1	PD01NOV17	6326.00	6769.18	USD	-11,079.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
01AUG17	S	1	PD01NOV17		6336.50	6769.18	USD	-10,817.00	
01AUG17	S	1	PD01NOV17		6341.00	6769.18	USD	-10,704.50	
01AUG17	S	4	PD01NOV17		6340.50	6769.18	USD	-42,868.00	
01AUG17	S	1	PD01NOV17		6342.00	6769.18	USD	-10,679.50	
01AUG17	S	2	PD01NOV17		6342.50	6769.18	USD	-21,334.00	
01AUG17	S	1	PD01NOV17		6345.00	6769.18	USD	-10,604.50	
01AUG17	S	1	PD01NOV17		6345.50	6769.18	USD	-10,592.00	
01AUG17	S	3	PD01NOV17		6341.50	6769.18	USD	-32,076.00	
01AUG17	S	2	PD01NOV17		6344.00	6769.18	USD	-21,259.00	
01AUG17	S	3	PD01NOV17		6344.50	6769.18	USD	-31,851.00	
01AUG17	S	1	PD01NOV17		6350.50	6769.18	USD	-10,467.00	
07AUG17	S	2	PD01NOV17		6411.55	6769.18	USD	-17,881.50	
23AUG17	B	4	PD01NOV17		6559.50	6769.18	USD	20,968.00	
	NET	0 AVG	BGHT 6385.30	SOLD 6348.68				-29,297.50	0.00
02AUG17	S	1	PD02NOV17		6329.00	6770.10	USD	-11,027.50	
02AUG17	S	1	PD02NOV17		6326.00	6770.10	USD	-11,102.50	
02AUG17	S	1	PD02NOV17		6318.50	6770.10	USD	-11,290.00	
02AUG17	S	1	PD02NOV17		6316.00	6770.10	USD	-11,352.50	
02AUG17	S	1	PD02NOV17		6313.00	6770.10	USD	-11,427.50	
02AUG17	S	2	PD02NOV17		6314.50	6770.10	USD	-22,780.00	
02AUG17	B	1	PD02NOV17		6314.00	6770.10	USD	11,402.50	
02AUG17	B	2	PD02NOV17		6310.50	6770.10	USD	22,980.00	
02AUG17	B	2	PD02NOV17		6325.00	6770.10	USD	22,255.00	
02AUG17	B	1	PD02NOV17		6327.50	6770.10	USD	11,065.00	
02AUG17	B	1	PD02NOV17		6329.00	6770.10	USD	11,027.50	
02AUG17	B	3	PD02NOV17		6327.00	6770.10	USD	33,232.50	
02AUG17	B	1	PD02NOV17		6326.00	6770.10	USD	11,102.50	
02AUG17	B	2	PD02NOV17		6342.50	6770.10	USD	21,380.00	
02AUG17	B	1	PD02NOV17		6341.00	6770.10	USD	10,727.50	
02AUG17	B	1	PD02NOV17		6340.50	6770.10	USD	10,740.00	
02AUG17	B	1	PD02NOV17		6343.00	6770.10	USD	10,677.50	
02AUG17	B	1	PD02NOV17		6339.50	6770.10	USD	10,765.00	
02AUG17	B	2	PD02NOV17		6338.50	6770.10	USD	21,580.00	
02AUG17	S	1	PD02NOV17		6335.50	6770.10	USD	-10,865.00	
02AUG17	S	1	PD02NOV17		6337.00	6770.10	USD	-10,827.50	
02AUG17	S	1	PD02NOV17		6336.00	6770.10	USD	-10,852.50	
02AUG17	S	1	PD02NOV17		6336.50	6770.10	USD	-10,840.00	
02AUG17	B	1	PD02NOV17		6342.00	6770.10	USD	10,702.50	
02AUG17	S	1	PD02NOV17		6352.50	6770.10	USD	-10,440.00	
02AUG17	S	2	PD02NOV17		6352.00	6770.10	USD	-20,905.00	
02AUG17	S	1	PD02NOV17		6353.00	6770.10	USD	-10,427.50	
07AUG17	S	5	PD02NOV17		6411.95	6770.10	USD	-44,768.75	
	NET	0 AVG	BGHT 6330.83	SOLD 6352.29				10,731.25	0.00
03AUG17	S	3	PD03NOV17		6341.00	6771.03	USD	-32,252.25	
03AUG17	S	1	PD03NOV17		6358.00	6771.03	USD	-10,325.75	
03AUG17	S	1	PD03NOV17		6359.50	6771.03	USD	-10,288.25	
03AUG17	S	1	PD03NOV17		6364.50	6771.03	USD	-10,163.25	
03AUG17	S	1	PD03NOV17		6365.50	6771.03	USD	-10,138.25	
03AUG17	S	2	PD03NOV17		6366.50	6771.03	USD	-20,226.50	
03AUG17	S	1	PD03NOV17		6365.00	6771.03	USD	-10,150.75	
03AUG17	B	1	PD03NOV17		6364.00	6771.03	USD	10,175.75	
03AUG17	B	1	PD03NOV17		6371.50	6771.03	USD	9,988.25	
03AUG17	B	1	PD03NOV17		6370.00	6771.03	USD	10,025.75	
03AUG17	B	1	PD03NOV17		6374.00	6771.03	USD	9,925.75	
03AUG17	S	1	PD03NOV17		6338.00	6771.03	USD	-10,825.75	
03AUG17	S	2	PD03NOV17		6337.50	6771.03	USD	-21,676.50	
03AUG17	B	3	PD03NOV17		6336.00	6771.03	USD	32,627.25	

OPEN POSITION STATEMENT



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SAP0086C

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	1	PD03NOV17	6337.50	6771.03	USD	10,838.25	
03AUG17	B	2	PD03NOV17	6337.00	6771.03	USD	21,701.50	
03AUG17	B	6	PD03NOV17	6336.50	6771.03	USD	65,179.50	
03AUG17	S	1	PD03NOV17	6307.50	6771.03	USD	-11,588.25	
03AUG17	S	1	PD03NOV17	6308.00	6771.03	USD	-11,575.75	
03AUG17	S	1	PD03NOV17	6340.00	6771.03	USD	-10,775.75	
03AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
03AUG17	S	2	PD03NOV17	6334.50	6771.03	USD	-21,826.50	
03AUG17	S	2	PD03NOV17	6337.00	6771.03	USD	-21,701.50	
03AUG17	S	3	PD03NOV17	6335.50	6771.03	USD	-32,664.75	
03AUG17	S	2	PD03NOV17	6336.00	6771.03	USD	-21,751.50	
03AUG17	S	1	PD03NOV17	6333.50	6771.03	USD	-10,938.25	
03AUG17	S	2	PD03NOV17	6335.00	6771.03	USD	-21,801.50	
03AUG17	S	1	PD03NOV17	6343.50	6771.03	USD	-10,688.25	
03AUG17	S	1	PD03NOV17	6344.50	6771.03	USD	-10,663.25	
03AUG17	S	2	PD03NOV17	6341.50	6771.03	USD	-21,476.50	
03AUG17	S	2	PD03NOV17	6342.00	6771.03	USD	-21,451.50	
03AUG17	S	1	PD03NOV17	6340.00	6771.03	USD	-10,775.75	
03AUG17	S	1	PD03NOV17	6338.00	6771.03	USD	-10,825.75	
03AUG17	B	1	PD03NOV17	6349.50	6771.03	USD	10,538.25	
03AUG17	B	1	PD03NOV17	6350.00	6771.03	USD	10,525.75	
04AUG17	B	1	PD03NOV17	6363.50	6771.03	USD	10,188.25	
04AUG17	B	2	PD03NOV17	6361.50	6771.03	USD	20,476.50	
04AUG17	B	2	PD03NOV17	6362.50	6771.03	USD	20,426.50	
04AUG17	B	5	PD03NOV17	6362.00	6771.03	USD	51,128.75	
04AUG17	B	1	PD03NOV17	6369.50	6771.03	USD	10,038.25	
04AUG17	B	1	PD03NOV17	6370.50	6771.03	USD	10,013.25	
04AUG17	B	2	PD03NOV17	6370.00	6771.03	USD	20,051.50	
04AUG17	B	1	PD03NOV17	6369.00	6771.03	USD	10,050.75	
04AUG17	B	2	PD03NOV17	6364.50	6771.03	USD	20,326.50	
04AUG17	S	1	PD03NOV17	6367.00	6771.03	USD	-10,100.75	
04AUG17	B	1	PD03NOV17	6373.50	6771.03	USD	9,938.25	
04AUG17	S	1	PD03NOV17	6361.50	6771.03	USD	-10,238.25	
04AUG17	B	1	PD03NOV17	6374.50	6771.03	USD	9,913.25	
04AUG17	B	3	PD03NOV17	6380.00	6771.03	USD	29,327.25	
04AUG17	S	1	PD03NOV17	6347.50	6771.03	USD	-10,588.25	
04AUG17	S	1	PD03NOV17	6345.00	6771.03	USD	-10,650.75	
04AUG17	S	2	PD03NOV17	6346.50	6771.03	USD	-21,226.50	
04AUG17	S	1	PD03NOV17	6345.50	6771.03	USD	-10,638.25	
04AUG17	S	2	PD03NOV17	6347.00	6771.03	USD	-21,201.50	
04AUG17	S	1	PD03NOV17	6348.00	6771.03	USD	-10,575.75	
04AUG17	S	1	PD03NOV17	6342.00	6771.03	USD	-10,725.75	
04AUG17	B	1	PD03NOV17	6326.00	6771.03	USD	11,125.75	
04AUG17	B	1	PD03NOV17	6323.00	6771.03	USD	11,200.75	
04AUG17	B	1	PD03NOV17	6322.50	6771.03	USD	11,213.25	
04AUG17	B	1	PD03NOV17	6320.00	6771.03	USD	11,275.75	
04AUG17	B	3	PD03NOV17	6326.50	6771.03	USD	33,339.75	
04AUG17	S	1	PD03NOV17	6331.00	6771.03	USD	-11,000.75	
04AUG17	S	1	PD03NOV17	6334.00	6771.03	USD	-10,925.75	
04AUG17	S	1	PD03NOV17	6335.50	6771.03	USD	-10,888.25	
04AUG17	S	2	PD03NOV17	6334.50	6771.03	USD	-21,826.50	
04AUG17	B	1	PD03NOV17	6321.00	6771.03	USD	11,250.75	
07AUG17	B	9	PD03NOV17	6412.56	6771.03	USD	80,655.75	
22AUG17	S	4	PD03NOV17	6575.65	6771.03	USD	-19,538.00	
	NET	0	AVG BGHT 6361.58	SOLD 6358.79			-3,973.50	0.00
10AUG17	B	1	PD10NOV17	6463.50	6777.50	USD	7,850.00	
10AUG17	B	1	PD10NOV17	6425.50	6777.50	USD	8,800.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	6405.50	6777.50	USD	-9,300.00	
10AUG17	S	1	PD10NOV17	6415.00	6777.50	USD	-9,062.50	
11AUG17	S	1	PD10NOV17	6367.50	6777.50	USD	-10,250.00	
11AUG17	S	2	PD10NOV17	6365.50	6777.50	USD	-20,600.00	
11AUG17	S	1	PD10NOV17	6360.00	6777.50	USD	-10,437.50	
11AUG17	S	1	PD10NOV17	6342.00	6777.50	USD	-10,887.50	
11AUG17	S	1	PD10NOV17	6349.50	6777.50	USD	-10,700.00	
11AUG17	S	1	PD10NOV17	6406.00	6777.50	USD	-9,287.50	
11AUG17	S	1	PD10NOV17	6430.50	6777.50	USD	-8,675.00	
18AUG17	B	4	PD10NOV17	6486.75	6777.50	USD	29,075.00	
22AUG17	B	4	PD10NOV17	6579.45	6777.50	USD	19,805.00	
	NET	0AVG BGHT 6515.38	SOLD 6380.70				-33,670.00	0.00
14AUG17	S	1	PD14NOV17	6416.50	6776.83	USD	-9,008.25	
14AUG17	S	1	PD14NOV17	6403.50	6776.83	USD	-9,333.25	
18AUG17	B	2	PD14NOV17	6488.00	6776.83	USD	14,441.50	
	NET	0AVG BGHT 6488.00	SOLD 6410.00				-3,900.00	0.00
15AUG17	B	1	PD15NOV17	6420.00	6776.50	USD	8,912.50	
15AUG17	S	1	PD15NOV17	6384.00	6776.50	USD	-9,812.50	
	NET	0AVG BGHT 6420.00	SOLD 6384.00				-900.00	0.00
16AUG17	B	1	PD16NOV17	6383.50	6776.25	USD	9,818.75	
16AUG17	B	1	PD16NOV17	6516.00	6776.25	USD	6,506.25	
16AUG17	S	1	PD16NOV17	6541.00	6776.25	USD	-5,881.25	
16AUG17	S	1	PD16NOV17	6546.50	6776.25	USD	-5,743.75	
16AUG17	S	1	PD16NOV17	6479.00	6776.25	USD	-7,431.25	
16AUG17	B	1	PD16NOV17	6544.00	6776.25	USD	5,806.25	
	NET	0AVG BGHT 6481.17	SOLD 6522.17				3,075.00	0.00
17AUG17	B	1	PD17NOV17	6553.50	6776.78	USD	5,582.00	
17AUG17	B	1	PD17NOV17	6569.00	6776.78	USD	5,194.50	
17AUG17	B	1	PD17NOV17	6579.50	6776.78	USD	4,932.00	
17AUG17	B	1	PD17NOV17	6481.50	6776.78	USD	7,382.00	
17AUG17	B	1	PD17NOV17	6484.50	6776.78	USD	7,307.00	
17AUG17	B	1	PD17NOV17	6485.50	6776.78	USD	7,282.00	
17AUG17	B	1	PD17NOV17	6475.50	6776.78	USD	7,532.00	
18AUG17	B	9	PD17NOV17	6496.00	6776.78	USD	63,175.50	
18AUG17	B	4	PD17NOV17	6508.00	6776.78	USD	26,878.00	
18AUG17	B	4	PD17NOV17	6501.50	6776.78	USD	27,528.00	
18AUG17	B	3	PD17NOV17	6505.50	6776.78	USD	20,346.00	
18AUG17	S	4	PD17NOV17	6505.00	6776.78	USD	-27,178.00	
18AUG17	B	1	PD17NOV17	6505.50	6776.78	USD	6,782.00	
18AUG17	B	3	PD17NOV17	6510.00	6776.78	USD	20,008.50	
18AUG17	B	4	PD17NOV17	6520.00	6776.78	USD	25,678.00	
18AUG17	B	3	PD17NOV17	6524.00	6776.78	USD	18,958.50	
18AUG17	B	4	PD17NOV17	6530.00	6776.78	USD	24,678.00	
18AUG17	B	4	PD17NOV17	6527.50	6776.78	USD	24,928.00	
18AUG17	B	1	PD17NOV17	6510.00	6776.78	USD	6,669.50	
18AUG17	B	1	PD17NOV17	6524.00	6776.78	USD	6,319.50	
18AUG17	S	7	PD17NOV17	6485.80	6776.78	USD	-50,921.50	
23AUG17	S	37	PD17NOV17	6563.49	6776.78	USD	-197,293.25	
	NET	0AVG BGHT 6512.48	SOLD 6547.29				41,768.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	2310.00	2552.35	USD	-6,058.75	
12JUL17	S	1	PD12OCT17	2309.00	2552.35	USD	-6,083.75	
12JUL17	S	2	PD12OCT17	2312.50	2552.35	USD	-11,992.50	
12JUL17	S	1	PD12OCT17	2314.00	2552.35	USD	-5,958.75	

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12JUL17	S	3	PD120CT17	2314.50	2552.35	USD	-17,838.75	
12JUL17	S	3	PD120CT17	2318.50	2552.35	USD	-17,538.75	
12JUL17	S	1	PD120CT17	2318.00	2552.35	USD	-5,858.75	
12JUL17	S	2	PD120CT17	2320.50	2552.35	USD	-11,592.50	
12JUL17	S	2	PD120CT17	2320.00	2552.35	USD	-11,617.50	
12JUL17	B	2	PD120CT17	2311.50	2552.35	USD	12,042.50	
12JUL17	B	1	PD120CT17	2311.00	2552.35	USD	6,033.75	
12JUL17	B	1	PD120CT17	2312.50	2552.35	USD	5,996.25	
12JUL17	S	2	PD120CT17	2329.50	2552.35	USD	-11,142.50	
12JUL17	S	3	PD120CT17	2329.00	2552.35	USD	-16,751.25	
12JUL17	S	2	PD120CT17	2327.00	2552.35	USD	-11,267.50	
12JUL17	S	1	PD120CT17	2330.50	2552.35	USD	-5,546.25	
12JUL17	S	2	PD120CT17	2331.00	2552.35	USD	-11,067.50	
12JUL17	S	1	PD120CT17	2324.00	2552.35	USD	-5,708.75	
12JUL17	S	1	PD120CT17	2323.00	2552.35	USD	-5,733.75	
12JUL17	S	4	PD120CT17	2322.00	2552.35	USD	-23,035.00	
12JUL17	S	1	PD120CT17	2325.50	2552.35	USD	-5,671.25	
12JUL17	S	3	PD120CT17	2327.50	2552.35	USD	-16,863.75	
12JUL17	S	1	PD120CT17	2332.50	2552.35	USD	-5,496.25	
12JUL17	S	1	PD120CT17	2332.00	2552.35	USD	-5,508.75	
12JUL17	B	1	PD120CT17	2321.50	2552.35	USD	5,771.25	
12JUL17	B	1	PD120CT17	2321.00	2552.35	USD	5,783.75	
12JUL17	B	1	PD120CT17	2320.50	2552.35	USD	5,796.25	
18JUL17	B	6	PD120CT17	2273.98	2552.35	USD	41,755.50	
24JUL17	B	25	PD120CT17	2258.18	2552.35	USD	183,856.25	
	NET	0	AVG BGHT 2271.26	SOLD 2322.53			48,703.00	0.00
13JUL17	B	1	PD130CT17	2317.00	2552.75	USD	5,893.75	
13JUL17	B	2	PD130CT17	2318.50	2552.75	USD	11,712.50	
13JUL17	B	1	PD130CT17	2324.00	2552.75	USD	5,718.75	
13JUL17	S	3	PD130CT17	2323.00	2552.75	USD	-17,231.25	
13JUL17	S	1	PD130CT17	2325.00	2552.75	USD	-5,693.75	
13JUL17	S	5	PD130CT17	2323.50	2552.75	USD	-28,656.25	
13JUL17	S	3	PD130CT17	2324.50	2552.75	USD	-17,118.75	
13JUL17	B	2	PD130CT17	2322.00	2552.75	USD	11,537.50	
13JUL17	B	3	PD130CT17	2319.00	2552.75	USD	17,531.25	
13JUL17	B	1	PD130CT17	2321.00	2552.75	USD	5,793.75	
13JUL17	B	3	PD130CT17	2321.50	2552.75	USD	17,343.75	
13JUL17	S	4	PD130CT17	2316.50	2552.75	USD	-23,625.00	
13JUL17	S	1	PD130CT17	2317.00	2552.75	USD	-5,893.75	
13JUL17	S	1	PD130CT17	2313.00	2552.75	USD	-5,993.75	
13JUL17	S	1	PD130CT17	2312.50	2552.75	USD	-6,006.25	
13JUL17	S	2	PD130CT17	2312.00	2552.75	USD	-12,037.50	
13JUL17	S	1	PD130CT17	2310.50	2552.75	USD	-6,056.25	
13JUL17	S	1	PD130CT17	2311.00	2552.75	USD	-6,043.75	
13JUL17	B	1	PD130CT17	2298.00	2552.75	USD	6,368.75	
13JUL17	B	1	PD130CT17	2297.00	2552.75	USD	6,393.75	
13JUL17	B	2	PD130CT17	2298.50	2552.75	USD	12,712.50	
13JUL17	B	3	PD130CT17	2296.00	2552.75	USD	19,256.25	
13JUL17	B	1	PD130CT17	2301.50	2552.75	USD	6,281.25	
13JUL17	S	1	PD130CT17	2300.00	2552.75	USD	-6,318.75	
13JUL17	S	1	PD130CT17	2297.50	2552.75	USD	-6,381.25	
13JUL17	S	2	PD130CT17	2295.50	2552.75	USD	-12,862.50	
13JUL17	B	1	PD130CT17	2295.00	2552.75	USD	6,443.75	
13JUL17	B	1	PD130CT17	2293.50	2552.75	USD	6,481.25	
13JUL17	B	2	PD130CT17	2294.50	2552.75	USD	12,912.50	
13JUL17	S	1	PD130CT17	2301.00	2552.75	USD	-6,293.75	
13JUL17	S	1	PD130CT17	2314.00	2552.75	USD	-5,968.75	

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13JUL17	S	1	PD130CT17		2312.50	2552.75	USD	-6,006.25	
13JUL17	S	1	PD130CT17		2297.50	2552.75	USD	-6,381.25	
13JUL17	B	1	PD130CT17		2289.50	2552.75	USD	6,581.25	
13JUL17	B	1	PD130CT17		2289.00	2552.75	USD	6,593.75	
13JUL17	S	1	PD130CT17		2291.00	2552.75	USD	-6,543.75	
13JUL17	S	1	PD130CT17		2291.50	2552.75	USD	-6,531.25	
13JUL17	S	1	PD130CT17		2289.00	2552.75	USD	-6,593.75	
13JUL17	S	1	PD130CT17		2292.50	2552.75	USD	-6,506.25	
14JUL17	S	1	PD130CT17		2292.00	2552.75	USD	-6,518.75	
14JUL17	S	1	PD130CT17		2286.50	2552.75	USD	-6,656.25	
14JUL17	S	2	PD130CT17		2285.50	2552.75	USD	-13,362.50	
14JUL17	B	2	PD130CT17		2286.50	2552.75	USD	13,312.50	
14JUL17	B	2	PD130CT17		2286.00	2552.75	USD	13,337.50	
14JUL17	B	1	PD130CT17		2284.00	2552.75	USD	6,718.75	
14JUL17	B	1	PD130CT17		2285.50	2552.75	USD	6,681.25	
14JUL17	B	3	PD130CT17		2287.00	2552.75	USD	19,931.25	
14JUL17	B	5	PD130CT17		2288.00	2552.75	USD	33,093.75	
14JUL17	B	2	PD130CT17		2288.50	2552.75	USD	13,212.50	
14JUL17	B	1	PD130CT17		2289.00	2552.75	USD	6,593.75	
14JUL17	B	1	PD130CT17		2286.00	2552.75	USD	6,668.75	
14JUL17	B	1	PD130CT17		2288.00	2552.75	USD	6,618.75	
14JUL17	B	1	PD130CT17		2289.50	2552.75	USD	6,581.25	
14JUL17	S	3	PD130CT17		2315.50	2552.75	USD	-17,793.75	
14JUL17	S	2	PD130CT17		2317.50	2552.75	USD	-11,762.50	
14JUL17	S	1	PD130CT17		2318.50	2552.75	USD	-5,856.25	
14JUL17	S	4	PD130CT17		2318.00	2552.75	USD	-23,475.00	
14JUL17	B	2	PD130CT17		2311.00	2552.75	USD	12,087.50	
18JUL17	S	6	PD130CT17		2273.95	2552.75	USD	-41,820.00	
24JUL17	B	6	PD130CT17		2258.36	2552.75	USD	44,158.50	
	NET	0AVG	BGHT 2294.89	SOLD 2306.94				16,563.50	0.00
17JUL17	B	2	PD170CT17		2315.50	2554.35	USD	11,942.50	
17JUL17	S	1	PD170CT17		2319.50	2554.35	USD	-5,871.25	
17JUL17	S	1	PD170CT17		2320.50	2554.35	USD	-5,846.25	
17JUL17	S	1	PD170CT17		2320.00	2554.35	USD	-5,858.75	
17JUL17	S	2	PD170CT17		2318.50	2554.35	USD	-11,792.50	
17JUL17	S	4	PD170CT17		2321.00	2554.35	USD	-23,335.00	
17JUL17	S	2	PD170CT17		2321.50	2554.35	USD	-11,642.50	
17JUL17	S	2	PD170CT17		2325.50	2554.35	USD	-11,442.50	
17JUL17	S	1	PD170CT17		2325.00	2554.35	USD	-5,733.75	
17JUL17	S	1	PD170CT17		2322.00	2554.35	USD	-5,808.75	
17JUL17	B	3	PD170CT17		2316.50	2554.35	USD	17,838.75	
17JUL17	B	2	PD170CT17		2316.00	2554.35	USD	11,917.50	
17JUL17	B	2	PD170CT17		2318.00	2554.35	USD	11,817.50	
17JUL17	B	1	PD170CT17		2320.50	2554.35	USD	5,846.25	
17JUL17	B	3	PD170CT17		2321.00	2554.35	USD	17,501.25	
17JUL17	B	3	PD170CT17		2321.50	2554.35	USD	17,463.75	
17JUL17	B	4	PD170CT17		2322.00	2554.35	USD	23,235.00	
17JUL17	B	1	PD170CT17		2319.00	2554.35	USD	5,883.75	
17JUL17	B	3	PD170CT17		2301.00	2554.35	USD	19,001.25	
17JUL17	B	1	PD170CT17		2299.00	2554.35	USD	6,383.75	
17JUL17	B	1	PD170CT17		2297.00	2554.35	USD	6,433.75	
17JUL17	B	1	PD170CT17		2289.50	2554.35	USD	6,621.25	
17JUL17	S	1	PD170CT17		2294.50	2554.35	USD	-6,496.25	
17JUL17	S	2	PD170CT17		2294.00	2554.35	USD	-13,017.50	
17JUL17	S	1	PD170CT17		2295.00	2554.35	USD	-6,483.75	
17JUL17	B	1	PD170CT17		2298.50	2554.35	USD	6,396.25	
17JUL17	S	1	PD170CT17		2297.50	2554.35	USD	-6,421.25	

OPEN POSITION STATEMENT



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SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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17JUL17	S	1	PD17OCT17	2298.50	2554.35	USD	-6,396.25	
17JUL17	S	1	PD17OCT17	2293.50	2554.35	USD	-6,521.25	
18JUL17	S	6	PD17OCT17	2274.63	2554.35	USD	-41,958.00	
	NET	0AVG BGHT 2313.95	SOLD 2304.89				-6,343.00	0.00
18JUL17	S	1	PD18OCT17	2297.00	2554.75	USD	-6,443.75	
18JUL17	S	3	PD18OCT17	2296.00	2554.75	USD	-19,406.25	
18JUL17	B	1	PD18OCT17	2303.50	2554.75	USD	6,281.25	
18JUL17	B	3	PD18OCT17	2304.00	2554.75	USD	18,806.25	
18JUL17	B	1	PD18OCT17	2305.50	2554.75	USD	6,231.25	
18JUL17	B	2	PD18OCT17	2306.50	2554.75	USD	12,412.50	
18JUL17	B	1	PD18OCT17	2307.00	2554.75	USD	6,193.75	
18JUL17	S	1	PD18OCT17	2303.00	2554.75	USD	-6,293.75	
18JUL17	S	2	PD18OCT17	2301.50	2554.75	USD	-12,662.50	
18JUL17	S	1	PD18OCT17	2304.00	2554.75	USD	-6,268.75	
18JUL17	S	4	PD18OCT17	2303.50	2554.75	USD	-25,125.00	
18JUL17	B	1	PD18OCT17	2273.00	2554.75	USD	7,043.75	
18JUL17	B	2	PD18OCT17	2272.50	2554.75	USD	14,112.50	
18JUL17	B	1	PD18OCT17	2270.00	2554.75	USD	7,118.75	
18JUL17	B	1	PD18OCT17	2269.50	2554.75	USD	7,131.25	
18JUL17	B	1	PD18OCT17	2275.00	2554.75	USD	6,993.75	
18JUL17	B	3	PD18OCT17	2274.50	2554.75	USD	21,018.75	
18JUL17	B	5	PD18OCT17	2270.50	2554.75	USD	35,531.25	
18JUL17	B	4	PD18OCT17	2272.00	2554.75	USD	28,275.00	
18JUL17	B	4	PD18OCT17	2265.00	2554.75	USD	28,975.00	
18JUL17	B	4	PD18OCT17	2266.50	2554.75	USD	28,825.00	
18JUL17	B	1	PD18OCT17	2272.00	2554.75	USD	7,068.75	
18JUL17	B	1	PD18OCT17	2272.50	2554.75	USD	7,056.25	
18JUL17	S	2	PD18OCT17	2276.00	2554.75	USD	-13,937.50	
18JUL17	S	3	PD18OCT17	2275.00	2554.75	USD	-20,981.25	
24JUL17	S	19	PD18OCT17	2259.05	2554.75	USD	-140,457.50	
	NET	0AVG BGHT 2278.00	SOLD 2275.22				-2,501.25	0.00
19JUL17	S	4	PD19OCT17	2275.00	2554.89	USD	-27,989.00	
19JUL17	S	2	PD19OCT17	2263.50	2554.89	USD	-14,569.50	
19JUL17	S	1	PD19OCT17	2263.00	2554.89	USD	-7,297.25	
19JUL17	B	2	PD19OCT17	2267.00	2554.89	USD	14,394.50	
19JUL17	B	2	PD19OCT17	2266.50	2554.89	USD	14,419.50	
19JUL17	B	1	PD19OCT17	2266.00	2554.89	USD	7,222.25	
19JUL17	B	3	PD19OCT17	2262.00	2554.89	USD	21,966.75	
19JUL17	B	1	PD19OCT17	2268.00	2554.89	USD	7,172.25	
19JUL17	B	8	PD19OCT17	2267.50	2554.89	USD	57,478.00	
19JUL17	B	4	PD19OCT17	2271.50	2554.89	USD	28,339.00	
19JUL17	S	1	PD19OCT17	2273.00	2554.89	USD	-7,047.25	
19JUL17	S	6	PD19OCT17	2272.50	2554.89	USD	-42,358.50	
19JUL17	S	1	PD19OCT17	2264.00	2554.89	USD	-7,272.25	
19JUL17	S	1	PD19OCT17	2274.00	2554.89	USD	-7,022.25	
19JUL17	B	1	PD19OCT17	2246.50	2554.89	USD	7,709.75	
19JUL17	B	3	PD19OCT17	2245.50	2554.89	USD	23,204.25	
19JUL17	B	6	PD19OCT17	2246.00	2554.89	USD	46,333.50	
19JUL17	B	1	PD19OCT17	2243.00	2554.89	USD	7,797.25	
19JUL17	B	1	PD19OCT17	2237.50	2554.89	USD	7,934.75	
19JUL17	B	3	PD19OCT17	2238.00	2554.89	USD	23,766.75	
19JUL17	B	2	PD19OCT17	2230.50	2554.89	USD	16,219.50	
19JUL17	B	1	PD19OCT17	2228.00	2554.89	USD	8,172.25	
19JUL17	B	1	PD19OCT17	2228.50	2554.89	USD	8,159.75	
19JUL17	B	1	PD19OCT17	2226.50	2554.89	USD	8,209.75	
19JUL17	B	1	PD19OCT17	2226.00	2554.89	USD	8,222.25	
19JUL17	B	1	PD19OCT17	2245.50	2554.89	USD	7,734.75	

OPEN POSITION STATEMENT



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SAP0086C

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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24JUL17	S	26	PD19OCT17		2259.18	2554.89	USD	-192,211.50	
24JUL17	S	1	PD19OCT17		2259.38	2554.89	USD	-7,387.75	
	NET	0AVG	BGHT 2253.07	SOLD 2263.58				11,301.50	0.00
20JUL17	S	1	PD20OCT17		2230.00	2555.04	USD	-8,126.00	
20JUL17	S	1	PD20OCT17		2229.50	2555.04	USD	-8,138.50	
20JUL17	B	1	PD20OCT17		2228.00	2555.04	USD	8,176.00	
20JUL17	B	1	PD20OCT17		2223.00	2555.04	USD	8,301.00	
20JUL17	B	1	PD20OCT17		2222.00	2555.04	USD	8,326.00	
20JUL17	B	1	PD20OCT17		2226.00	2555.04	USD	8,226.00	
20JUL17	S	1	PD20OCT17		2226.00	2555.04	USD	-8,226.00	
20JUL17	B	1	PD20OCT17		2214.50	2555.04	USD	8,513.50	
20JUL17	B	2	PD20OCT17		2213.50	2555.04	USD	17,077.00	
21JUL17	S	2	PD20OCT17		2244.00	2555.04	USD	-15,552.00	
21JUL17	B	2	PD20OCT17		2236.00	2555.04	USD	15,952.00	
21JUL17	B	1	PD20OCT17		2235.50	2555.04	USD	7,988.50	
21JUL17	B	2	PD20OCT17		2237.00	2555.04	USD	15,902.00	
21JUL17	B	1	PD20OCT17		2242.50	2555.04	USD	7,813.50	
21JUL17	S	2	PD20OCT17		2249.50	2555.04	USD	-15,277.00	
21JUL17	S	2	PD20OCT17		2234.50	2555.04	USD	-16,027.00	
21JUL17	S	1	PD20OCT17		2234.00	2555.04	USD	-8,026.00	
21JUL17	S	1	PD20OCT17		2240.50	2555.04	USD	-7,863.50	
21JUL17	S	2	PD20OCT17		2241.00	2555.04	USD	-15,702.00	
21JUL17	S	1	PD20OCT17		2240.00	2555.04	USD	-7,876.00	
21JUL17	S	3	PD20OCT17		2239.50	2555.04	USD	-23,665.50	
21JUL17	S	1	PD20OCT17		2234.50	2555.04	USD	-8,013.50	
24JUL17	B	1	PD20OCT17		2259.70	2555.04	USD	7,383.50	
07AUG17	B	4	PD20OCT17		2356.75	2555.04	USD	19,829.00	
	NET	0AVG	BGHT 2258.40	SOLD 2238.39				-9,005.00	0.00
24JUL17	S	1	PD24OCT17		2256.50	2555.61	USD	-7,477.75	
24JUL17	B	1	PD24OCT17		2250.00	2555.61	USD	7,640.25	
24JUL17	S	1	PD24OCT17		2257.50	2555.61	USD	-7,452.75	
24JUL17	S	1	PD24OCT17		2247.00	2555.61	USD	-7,715.25	
24JUL17	S	3	PD24OCT17		2246.50	2555.61	USD	-23,183.25	
24JUL17	B	1	PD24OCT17		2245.50	2555.61	USD	7,752.75	
07AUG17	B	4	PD24OCT17		2358.35	2555.61	USD	19,726.00	
	NET	0AVG	BGHT 2321.48	SOLD 2250.08				-10,710.00	0.00
25JUL17	S	1	PD25OCT17		2259.00	2555.75	USD	-7,418.75	
25JUL17	S	1	PD25OCT17		2261.00	2555.75	USD	-7,368.75	
25JUL17	S	2	PD25OCT17		2261.50	2555.75	USD	-14,712.50	
25JUL17	S	1	PD25OCT17		2270.50	2555.75	USD	-7,131.25	
25JUL17	S	3	PD25OCT17		2271.00	2555.75	USD	-21,356.25	
25JUL17	B	3	PD25OCT17		2274.00	2555.75	USD	21,131.25	
25JUL17	B	1	PD25OCT17		2273.00	2555.75	USD	7,068.75	
25JUL17	S	1	PD25OCT17		2272.50	2555.75	USD	-7,081.25	
25JUL17	S	3	PD25OCT17		2281.00	2555.75	USD	-20,606.25	
25JUL17	B	1	PD25OCT17		2295.00	2555.75	USD	6,518.75	
25JUL17	B	3	PD25OCT17		2294.50	2555.75	USD	19,593.75	
25JUL17	S	2	PD25OCT17		2298.50	2555.75	USD	-12,862.50	
25JUL17	S	2	PD25OCT17		2298.00	2555.75	USD	-12,887.50	
25JUL17	S	2	PD25OCT17		2300.50	2555.75	USD	-12,762.50	
25JUL17	S	3	PD25OCT17		2301.00	2555.75	USD	-19,106.25	
25JUL17	S	3	PD25OCT17		2303.00	2555.75	USD	-18,956.25	
25JUL17	B	1	PD25OCT17		2293.50	2555.75	USD	6,556.25	
25JUL17	B	1	PD25OCT17		2292.00	2555.75	USD	6,593.75	
25JUL17	B	6	PD25OCT17		2292.50	2555.75	USD	39,487.50	
25JUL17	S	4	PD25OCT17		2299.00	2555.75	USD	-25,675.00	

OPEN POSITION STATEMENT



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SAP0086C

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25JUL17	S	2	PD250CT17	2306.00	2555.75	USD	-12,487.50	
25JUL17	S	2	PD250CT17	2307.00	2555.75	USD	-12,437.50	
25JUL17	S	3	PD250CT17	2318.00	2555.75	USD	-17,831.25	
25JUL17	S	1	PD250CT17	2315.00	2555.75	USD	-6,018.75	
07AUG17	B	20	PD250CT17	2358.75	2555.75	USD	98,500.00	
	NET	0AVG	BGHT 2327.47				-31,250.00	0.00
			SOLD 2292.75					
26JUL17	S	2	PD260CT17	2321.50	2555.89	USD	-11,719.50	
26JUL17	S	1	PD260CT17	2309.00	2555.89	USD	-6,172.25	
26JUL17	S	1	PD260CT17	2308.00	2555.89	USD	-6,197.25	
26JUL17	B	4	PD260CT17	2318.00	2555.89	USD	23,789.00	
26JUL17	S	1	PD260CT17	2338.00	2555.89	USD	-5,447.25	
26JUL17	B	5	PD260CT17	2316.00	2555.89	USD	29,986.25	
26JUL17	B	1	PD260CT17	2346.00	2555.89	USD	5,247.25	
26JUL17	B	1	PD260CT17	2316.00	2555.89	USD	5,997.25	
26JUL17	B	2	PD260CT17	2321.50	2555.89	USD	11,719.50	
26JUL17	B	3	PD260CT17	2321.00	2555.89	USD	17,616.75	
26JUL17	S	1	PD260CT17	2327.00	2555.89	USD	-5,722.25	
26JUL17	S	1	PD260CT17	2326.50	2555.89	USD	-5,734.75	
26JUL17	S	1	PD260CT17	2326.00	2555.89	USD	-5,747.25	
26JUL17	S	2	PD260CT17	2325.50	2555.89	USD	-11,519.50	
26JUL17	S	2	PD260CT17	2324.50	2555.89	USD	-11,569.50	
26JUL17	S	5	PD260CT17	2325.00	2555.89	USD	-28,861.25	
26JUL17	B	1	PD260CT17	2326.50	2555.89	USD	5,734.75	
26JUL17	B	3	PD260CT17	2326.00	2555.89	USD	17,241.75	
26JUL17	B	1	PD260CT17	2324.00	2555.89	USD	5,797.25	
26JUL17	B	3	PD260CT17	2324.50	2555.89	USD	17,354.25	
26JUL17	B	3	PD260CT17	2323.50	2555.89	USD	17,429.25	
26JUL17	B	4	PD260CT17	2322.00	2555.89	USD	23,389.00	
26JUL17	B	1	PD260CT17	2322.50	2555.89	USD	5,834.75	
26JUL17	B	2	PD260CT17	2315.50	2555.89	USD	12,019.50	
26JUL17	B	3	PD260CT17	2314.50	2555.89	USD	18,104.25	
26JUL17	B	3	PD260CT17	2314.00	2555.89	USD	18,141.75	
26JUL17	B	2	PD260CT17	2312.50	2555.89	USD	12,169.50	
26JUL17	B	3	PD260CT17	2311.50	2555.89	USD	18,329.25	
26JUL17	B	2	PD260CT17	2311.00	2555.89	USD	12,244.50	
26JUL17	B	2	PD260CT17	2312.00	2555.89	USD	12,194.50	
26JUL17	B	1	PD260CT17	2323.00	2555.89	USD	5,822.25	
07AUG17	S	28	PD260CT17	2358.72	2555.89	USD	-138,019.00	
07AUG17	S	5	PD260CT17	2358.92	2555.89	USD	-24,621.25	
	NET	0AVG	BGHT 2318.96				34,831.50	0.00
			SOLD 2346.83					
27JUL17	B	2	PD270CT17	2319.50	2556.04	USD	11,827.00	
27JUL17	B	1	PD270CT17	2318.50	2556.04	USD	5,938.50	
27JUL17	B	1	PD270CT17	2321.00	2556.04	USD	5,876.00	
27JUL17	B	1	PD270CT17	2322.00	2556.04	USD	5,851.00	
27JUL17	B	5	PD270CT17	2321.50	2556.04	USD	29,317.50	
27JUL17	B	1	PD270CT17	2323.50	2556.04	USD	5,813.50	
27JUL17	B	1	PD270CT17	2323.00	2556.04	USD	5,826.00	
27JUL17	B	1	PD270CT17	2324.50	2556.04	USD	5,788.50	
27JUL17	B	1	PD270CT17	2324.00	2556.04	USD	5,801.00	
27JUL17	B	1	PD270CT17	2327.00	2556.04	USD	5,726.00	
27JUL17	B	1	PD270CT17	2329.50	2556.04	USD	5,663.50	
27JUL17	B	2	PD270CT17	2329.00	2556.04	USD	11,352.00	
27JUL17	S	4	PD270CT17	2323.00	2556.04	USD	-23,304.00	
27JUL17	B	6	PD270CT17	2328.50	2556.04	USD	34,131.00	
27JUL17	B	1	PD270CT17	2331.00	2556.04	USD	5,626.00	
27JUL17	B	2	PD270CT17	2330.50	2556.04	USD	11,277.00	
27JUL17	S	2	PD270CT17	2329.00	2556.04	USD	-11,352.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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27JUL17	B	1	PD27OCT17	2316.50	2556.04	USD	5,988.50	
27JUL17	B	2	PD27OCT17	2316.00	2556.04	USD	12,002.00	
27JUL17	B	2	PD27OCT17	2315.50	2556.04	USD	12,027.00	
27JUL17	S	1	PD27OCT17	2321.00	2556.04	USD	-5,876.00	
27JUL17	B	1	PD27OCT17	2302.50	2556.04	USD	6,338.50	
27JUL17	B	1	PD27OCT17	2303.00	2556.04	USD	6,326.00	
27JUL17	B	4	PD27OCT17	2304.00	2556.04	USD	25,204.00	
28JUL17	B	1	PD27OCT17	2318.50	2556.04	USD	5,938.50	
28JUL17	S	1	PD27OCT17	2308.50	2556.04	USD	-6,188.50	
28JUL17	B	1	PD27OCT17	2301.00	2556.04	USD	6,376.00	
28JUL17	S	1	PD27OCT17	2296.00	2556.04	USD	-6,501.00	
28JUL17	S	1	PD27OCT17	2295.50	2556.04	USD	-6,513.50	
28JUL17	S	2	PD27OCT17	2292.00	2556.04	USD	-13,202.00	
28JUL17	S	5	PD27OCT17	2301.00	2556.04	USD	-31,880.00	
28JUL17	S	2	PD27OCT17	2302.50	2556.04	USD	-12,677.00	
28JUL17	S	1	PD27OCT17	2303.00	2556.04	USD	-6,326.00	
28JUL17	S	1	PD27OCT17	2291.00	2556.04	USD	-6,626.00	
28JUL17	S	1	PD27OCT17	2292.50	2556.04	USD	-6,588.50	
28JUL17	S	1	PD27OCT17	2292.00	2556.04	USD	-6,601.00	
28JUL17	B	1	PD27OCT17	2322.50	2556.04	USD	5,838.50	
28JUL17	B	1	PD27OCT17	2322.00	2556.04	USD	5,851.00	
28JUL17	B	1	PD27OCT17	2321.50	2556.04	USD	5,863.50	
28JUL17	B	1	PD27OCT17	2315.50	2556.04	USD	6,013.50	
28JUL17	B	5	PD27OCT17	2315.00	2556.04	USD	30,130.00	
28JUL17	S	1	PD27OCT17	2324.50	2556.04	USD	-5,788.50	
28JUL17	S	1	PD27OCT17	2324.00	2556.04	USD	-5,801.00	
28JUL17	B	1	PD27OCT17	2319.00	2556.04	USD	5,926.00	
28JUL17	B	1	PD27OCT17	2320.00	2556.04	USD	5,901.00	
28JUL17	B	1	PD27OCT17	2321.00	2556.04	USD	5,876.00	
28JUL17	B	1	PD27OCT17	2317.00	2556.04	USD	5,976.00	
28JUL17	B	3	PD27OCT17	2317.50	2556.04	USD	17,890.50	
07AUG17	S	31	PD27OCT17	2359.10	2556.04	USD	-152,628.50	
	NET	0AVG	BGHT 2319.41	SOLD 2336.14			23,427.50	0.00
31JUL17	B	1	PD31OCT17	2329.00	2556.61	USD	5,690.25	
31JUL17	S	2	PD31OCT17	2336.50	2556.61	USD	-11,005.50	
31JUL17	B	1	PD31OCT17	2338.50	2556.61	USD	5,452.75	
31JUL17	B	1	PD31OCT17	2333.50	2556.61	USD	5,577.75	
31JUL17	B	1	PD31OCT17	2333.00	2556.61	USD	5,590.25	
31JUL17	S	2	PD31OCT17	2322.50	2556.61	USD	-11,705.50	
31JUL17	B	1	PD31OCT17	2328.50	2556.61	USD	5,702.75	
31JUL17	S	4	PD31OCT17	2336.00	2556.61	USD	-22,061.00	
31JUL17	S	3	PD31OCT17	2323.00	2556.61	USD	-17,520.75	
31JUL17	B	5	PD31OCT17	2335.00	2556.61	USD	27,701.25	
31JUL17	S	2	PD31OCT17	2337.50	2556.61	USD	-10,955.50	
31JUL17	B	4	PD31OCT17	2331.50	2556.61	USD	22,511.00	
31JUL17	S	1	PD31OCT17	2323.50	2556.61	USD	-5,827.75	
31JUL17	S	3	PD31OCT17	2335.50	2556.61	USD	-16,583.25	
31JUL17	S	2	PD31OCT17	2344.00	2556.61	USD	-10,630.50	
31JUL17	B	2	PD31OCT17	2340.50	2556.61	USD	10,805.50	
31JUL17	B	1	PD31OCT17	2337.00	2556.61	USD	5,490.25	
31JUL17	B	1	PD31OCT17	2323.50	2556.61	USD	5,827.75	
31JUL17	B	3	PD31OCT17	2324.00	2556.61	USD	17,445.75	
31JUL17	S	4	PD31OCT17	2337.00	2556.61	USD	-21,961.00	
31JUL17	S	2	PD31OCT17	2342.50	2556.61	USD	-10,705.50	
31JUL17	S	2	PD31OCT17	2343.50	2556.61	USD	-10,655.50	
31JUL17	S	3	PD31OCT17	2342.00	2556.61	USD	-16,095.75	
31JUL17	B	4	PD31OCT17	2327.50	2556.61	USD	22,911.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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31JUL17	B	2	PD31OCT17	2327.00	2556.61	USD	11,480.50	
31JUL17	B	1	PD31OCT17	2326.50	2556.61	USD	5,752.75	
07AUG17	B	2	PD31OCT17	2359.99	2556.61	USD	9,831.00	
	NET	0 AVG	BGHT 2332.92				2,063.00	0.00
			SOLD 2335.67					
01AUG17	S	1	PD01NOV17	2314.00	2556.75	USD	-6,068.75	
01AUG17	B	2	PD01NOV17	2313.00	2556.75	USD	12,187.50	
01AUG17	B	2	PD01NOV17	2314.00	2556.75	USD	12,137.50	
01AUG17	B	3	PD01NOV17	2316.50	2556.75	USD	18,018.75	
01AUG17	B	1	PD01NOV17	2315.00	2556.75	USD	6,043.75	
01AUG17	S	1	PD01NOV17	2317.00	2556.75	USD	-5,993.75	
01AUG17	S	1	PD01NOV17	2317.50	2556.75	USD	-5,981.25	
01AUG17	S	3	PD01NOV17	2316.50	2556.75	USD	-18,018.75	
01AUG17	S	4	PD01NOV17	2316.00	2556.75	USD	-24,075.00	
01AUG17	S	2	PD01NOV17	2311.00	2556.75	USD	-12,287.50	
01AUG17	S	2	PD01NOV17	2313.00	2556.75	USD	-12,187.50	
01AUG17	S	1	PD01NOV17	2312.00	2556.75	USD	-6,118.75	
01AUG17	S	1	PD01NOV17	2313.50	2556.75	USD	-6,081.25	
01AUG17	S	1	PD01NOV17	2328.50	2556.75	USD	-5,706.25	
01AUG17	S	1	PD01NOV17	2329.00	2556.75	USD	-5,693.75	
01AUG17	S	2	PD01NOV17	2330.00	2556.75	USD	-11,337.50	
01AUG17	S	1	PD01NOV17	2337.50	2556.75	USD	-5,481.25	
01AUG17	S	2	PD01NOV17	2335.50	2556.75	USD	-11,062.50	
01AUG17	S	2	PD01NOV17	2335.00	2556.75	USD	-11,087.50	
01AUG17	S	2	PD01NOV17	2336.00	2556.75	USD	-11,037.50	
07AUG17	B	19	PD01NOV17	2360.16	2556.75	USD	93,380.25	
	NET	0 AVG	BGHT 2346.72				-16,451.00	0.00
			SOLD 2322.35					
02AUG17	B	1	PD02NOV17	2328.50	2556.89	USD	5,709.75	
02AUG17	B	1	PD02NOV17	2336.00	2556.89	USD	5,522.25	
02AUG17	B	1	PD02NOV17	2336.50	2556.89	USD	5,509.75	
02AUG17	B	3	PD02NOV17	2337.00	2556.89	USD	16,491.75	
02AUG17	B	2	PD02NOV17	2338.50	2556.89	USD	10,919.50	
02AUG17	B	1	PD02NOV17	2338.00	2556.89	USD	5,472.25	
02AUG17	B	2	PD02NOV17	2337.50	2556.89	USD	10,969.50	
02AUG17	S	1	PD02NOV17	2332.50	2556.89	USD	-5,609.75	
02AUG17	S	2	PD02NOV17	2331.50	2556.89	USD	-11,269.50	
02AUG17	S	2	PD02NOV17	2333.00	2556.89	USD	-11,194.50	
02AUG17	S	4	PD02NOV17	2342.50	2556.89	USD	-21,439.00	
02AUG17	B	1	PD02NOV17	2339.00	2556.89	USD	5,447.25	
02AUG17	B	1	PD02NOV17	2341.00	2556.89	USD	5,397.25	
02AUG17	B	1	PD02NOV17	2340.00	2556.89	USD	5,422.25	
02AUG17	B	3	PD02NOV17	2340.50	2556.89	USD	16,229.25	
02AUG17	B	2	PD02NOV17	2342.50	2556.89	USD	10,719.50	
02AUG17	S	2	PD02NOV17	2328.00	2556.89	USD	-11,444.50	
02AUG17	B	1	PD02NOV17	2337.00	2556.89	USD	5,497.25	
02AUG17	B	1	PD02NOV17	2340.00	2556.89	USD	5,422.25	
02AUG17	B	1	PD02NOV17	2341.00	2556.89	USD	5,397.25	
02AUG17	B	4	PD02NOV17	2343.50	2556.89	USD	21,339.00	
02AUG17	B	1	PD02NOV17	2344.00	2556.89	USD	5,322.25	
02AUG17	B	2	PD02NOV17	2344.50	2556.89	USD	10,619.50	
02AUG17	B	7	PD02NOV17	2345.00	2556.89	USD	37,080.75	
02AUG17	B	4	PD02NOV17	2345.50	2556.89	USD	21,139.00	
02AUG17	S	5	PD02NOV17	2347.50	2556.89	USD	-26,173.75	
02AUG17	S	4	PD02NOV17	2347.00	2556.89	USD	-20,989.00	
02AUG17	S	6	PD02NOV17	2348.50	2556.89	USD	-31,258.50	
02AUG17	S	2	PD02NOV17	2353.00	2556.89	USD	-10,194.50	
02AUG17	S	1	PD02NOV17	2353.50	2556.89	USD	-5,084.75	
02AUG17	S	2	PD02NOV17	2358.00	2556.89	USD	-9,944.50	

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02AUG17	S	2	PD02NOV17	2359.00	2556.89	USD	-9,894.50	
02AUG17	S	2	PD02NOV17	2359.50	2556.89	USD	-9,869.50	
02AUG17	S	1	PD02NOV17	2362.50	2556.89	USD	-4,859.75	
02AUG17	S	1	PD02NOV17	2363.00	2556.89	USD	-4,847.25	
02AUG17	S	1	PD02NOV17	2364.00	2556.89	USD	-4,822.25	
02AUG17	S	1	PD02NOV17	2365.00	2556.89	USD	-4,797.25	
02AUG17	B	1	PD02NOV17	2356.50	2556.89	USD	5,009.75	
02AUG17	S	1	PD02NOV17	2360.00	2556.89	USD	-4,922.25	
02AUG17	B	1	PD02NOV17	2346.00	2556.89	USD	5,272.25	
02AUG17	B	3	PD02NOV17	2347.00	2556.89	USD	15,741.75	
02AUG17	B	2	PD02NOV17	2346.50	2556.89	USD	10,519.50	
02AUG17	B	1	PD02NOV17	2347.50	2556.89	USD	5,234.75	
02AUG17	B	2	PD02NOV17	2349.00	2556.89	USD	10,394.50	
02AUG17	B	5	PD02NOV17	2348.00	2556.89	USD	26,111.25	
07AUG17	S	15	PD02NOV17	2360.13	2556.89	USD	-73,785.00	
	NET	0AVG BGHT 2343.14	SOLD 2351.51				11,511.25	0.00
03AUG17	S	4	PD03NOV17	2362.50	2557.04	USD	-19,454.00	
03AUG17	S	2	PD03NOV17	2363.50	2557.04	USD	-9,677.00	
03AUG17	S	3	PD03NOV17	2364.00	2557.04	USD	-14,478.00	
03AUG17	S	1	PD03NOV17	2378.00	2557.04	USD	-4,476.00	
03AUG17	S	1	PD03NOV17	2377.50	2557.04	USD	-4,488.50	
03AUG17	S	3	PD03NOV17	2376.00	2557.04	USD	-13,578.00	
03AUG17	S	1	PD03NOV17	2386.00	2557.04	USD	-4,276.00	
03AUG17	S	1	PD03NOV17	2386.50	2557.04	USD	-4,263.50	
03AUG17	S	1	PD03NOV17	2385.50	2557.04	USD	-4,288.50	
03AUG17	S	2	PD03NOV17	2383.50	2557.04	USD	-8,677.00	
03AUG17	B	1	PD03NOV17	2383.00	2557.04	USD	4,351.00	
03AUG17	B	3	PD03NOV17	2384.50	2557.04	USD	12,940.50	
03AUG17	B	2	PD03NOV17	2382.00	2557.04	USD	8,752.00	
03AUG17	S	1	PD03NOV17	2374.50	2557.04	USD	-4,563.50	
03AUG17	S	1	PD03NOV17	2368.00	2557.04	USD	-4,726.00	
03AUG17	B	2	PD03NOV17	2369.00	2557.04	USD	9,402.00	
03AUG17	S	1	PD03NOV17	2358.00	2557.04	USD	-4,976.00	
03AUG17	S	2	PD03NOV17	2358.50	2557.04	USD	-9,927.00	
03AUG17	S	2	PD03NOV17	2363.00	2557.04	USD	-9,702.00	
03AUG17	S	1	PD03NOV17	2380.00	2557.04	USD	-4,426.00	
03AUG17	S	1	PD03NOV17	2381.50	2557.04	USD	-4,388.50	
03AUG17	S	1	PD03NOV17	2358.00	2557.04	USD	-4,976.00	
03AUG17	B	6	PD03NOV17	2358.50	2557.04	USD	29,781.00	
03AUG17	B	1	PD03NOV17	2359.00	2557.04	USD	4,951.00	
03AUG17	B	3	PD03NOV17	2359.50	2557.04	USD	14,815.50	
03AUG17	B	4	PD03NOV17	2361.00	2557.04	USD	19,604.00	
03AUG17	B	1	PD03NOV17	2354.00	2557.04	USD	5,076.00	
03AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
03AUG17	S	1	PD03NOV17	2357.00	2557.04	USD	-5,001.00	
03AUG17	S	1	PD03NOV17	2361.50	2557.04	USD	-4,888.50	
03AUG17	S	1	PD03NOV17	2362.00	2557.04	USD	-4,876.00	
03AUG17	S	4	PD03NOV17	2361.00	2557.04	USD	-19,604.00	
03AUG17	B	1	PD03NOV17	2355.50	2557.04	USD	5,038.50	
03AUG17	B	9	PD03NOV17	2360.00	2557.04	USD	44,334.00	
03AUG17	B	1	PD03NOV17	2352.50	2557.04	USD	5,113.50	
04AUG17	B	2	PD03NOV17	2366.00	2557.04	USD	9,552.00	
04AUG17	B	2	PD03NOV17	2367.50	2557.04	USD	9,477.00	
04AUG17	B	3	PD03NOV17	2372.00	2557.04	USD	13,878.00	
04AUG17	B	3	PD03NOV17	2367.00	2557.04	USD	14,253.00	
04AUG17	B	3	PD03NOV17	2368.50	2557.04	USD	14,140.50	
04AUG17	B	2	PD03NOV17	2369.50	2557.04	USD	9,377.00	

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04AUG17	S	2	PD03NOV17	2371.50	2557.04	USD	-9,277.00	
04AUG17	B	2	PD03NOV17	2372.50	2557.04	USD	9,227.00	
04AUG17	B	3	PD03NOV17	2373.00	2557.04	USD	13,803.00	
04AUG17	B	1	PD03NOV17	2370.50	2557.04	USD	4,663.50	
04AUG17	S	3	PD03NOV17	2371.50	2557.04	USD	-13,915.50	
04AUG17	S	1	PD03NOV17	2362.50	2557.04	USD	-4,863.50	
04AUG17	S	3	PD03NOV17	2362.00	2557.04	USD	-14,628.00	
04AUG17	S	1	PD03NOV17	2360.50	2557.04	USD	-4,913.50	
04AUG17	S	2	PD03NOV17	2368.00	2557.04	USD	-9,452.00	
04AUG17	S	3	PD03NOV17	2367.50	2557.04	USD	-14,215.50	
04AUG17	S	1	PD03NOV17	2369.00	2557.04	USD	-4,701.00	
04AUG17	S	1	PD03NOV17	2368.50	2557.04	USD	-4,713.50	
04AUG17	S	2	PD03NOV17	2371.00	2557.04	USD	-9,302.00	
04AUG17	S	1	PD03NOV17	2370.00	2557.04	USD	-4,676.00	
04AUG17	S	2	PD03NOV17	2366.00	2557.04	USD	-9,552.00	
04AUG17	S	4	PD03NOV17	2364.00	2557.04	USD	-19,304.00	
04AUG17	S	3	PD03NOV17	2363.50	2557.04	USD	-14,515.50	
04AUG17	S	2	PD03NOV17	2351.00	2557.04	USD	-10,302.00	
04AUG17	S	1	PD03NOV17	2371.00	2557.04	USD	-4,651.00	
04AUG17	S	6	PD03NOV17	2366.00	2557.04	USD	-28,656.00	
04AUG17	S	4	PD03NOV17	2365.50	2557.04	USD	-19,154.00	
07AUG17	B	30	PD03NOV17	2360.51	2557.04	USD	147,397.50	
18AUG17	S	1	PD03NOV17	2358.23	2557.04	USD	-4,970.25	
22AUG17	S	3	PD03NOV17	2411.75	2557.04	USD	-10,896.75	
23AUG17	S	4	PD03NOV17	2372.25	2557.04	USD	-18,479.00	
	NET	0 AVG BGHT 2364.04	SOLD 2368.74				10,104.50	0.00
07AUG17	S	1	PD07NOV17	2384.00	2557.61	USD	-4,340.25	
07AUG17	S	1	PD07NOV17	2382.50	2557.61	USD	-4,377.75	
07AUG17	S	1	PD07NOV17	2381.00	2557.61	USD	-4,415.25	
14AUG17	B	1	PD07NOV17	2333.60	2557.61	USD	5,600.25	
22AUG17	B	2	PD07NOV17	2412.95	2557.61	USD	7,233.00	
	NET	0 AVG BGHT 2386.50	SOLD 2382.50				-300.00	0.00
08AUG17	B	1	PD08NOV17	2347.50	2557.75	USD	5,256.25	
18AUG17	S	1	PD08NOV17	2359.38	2557.75	USD	-4,959.25	
	NET	0 AVG BGHT 2347.50	SOLD 2359.38				297.00	0.00
09AUG17	B	1	PD09NOV17	2395.50	2557.89	USD	4,059.75	
14AUG17	S	1	PD09NOV17	2333.80	2557.89	USD	-5,602.25	
	NET	0 AVG BGHT 2395.50	SOLD 2333.80				-1,542.50	0.00
10AUG17	S	1	PD10NOV17	2391.50	2558.04	USD	-4,163.50	
10AUG17	B	1	PD10NOV17	2373.50	2558.04	USD	4,613.50	
10AUG17	S	1	PD10NOV17	2364.50	2558.04	USD	-4,838.50	
18AUG17	B	1	PD10NOV17	2360.05	2558.04	USD	4,949.75	
	NET	0 AVG BGHT 2366.78	SOLD 2378.00				561.25	0.00
16AUG17	S	1	PD16NOV17	2484.50	2558.75	USD	-1,856.25	
18AUG17	B	1	PD16NOV17	2360.70	2558.75	USD	4,951.25	
	NET	0 AVG BGHT 2360.70	SOLD 2484.50				3,095.00	0.00
18AUG17	S	1	PD17NOV17	2429.50	2558.75	USD	-3,231.25	
18AUG17	S	1	PD17NOV17	2430.50	2558.75	USD	-3,206.25	
18AUG17	S	2	PD17NOV17	2430.00	2558.75	USD	-6,437.50	
18AUG17	B	1	PD17NOV17	2415.00	2558.75	USD	3,593.75	
18AUG17	S	1	PD17NOV17	2411.50	2558.75	USD	-3,681.25	
23AUG17	B	4	PD17NOV17	2375.45	2558.75	USD	18,330.00	
	NET	0 AVG BGHT 2383.36	SOLD 2426.30				5,367.50	0.00
21AUG17	S	1	PD21NOV17	2386.00	2559.32	USD	-4,333.00	
22AUG17	B	1	PD21NOV17	2415.95	2559.32	USD	3,584.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2415.95	SOLD 2386.00				-748.75	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD130CT17	9195.00	11061.00	USD	11,196.00	
13JUL17	S	1	PD130CT17	9160.00	11061.00	USD	-11,406.00	
	NET	0 AVG BGHT 9195.00	SOLD 9160.00				-210.00	0.00
24JUL17	B	1	PD240CT17	9675.00	11070.79	USD	8,374.74	
24JUL17	B	1	PD240CT17	9690.00	11070.79	USD	8,284.74	
18AUG17	S	2	PD240CT17	10967.19	11070.79	USD	-1,243.20	
	NET	0 AVG BGHT 9682.50	SOLD 10967.19				15,416.28	0.00
25JUL17	B	1	PD250CT17	9745.00	11071.75	USD	7,960.50	
25JUL17	B	1	PD250CT17	9880.00	11071.75	USD	7,150.50	
25JUL17	S	1	PD250CT17	9955.00	11071.75	USD	-6,700.50	
25JUL17	S	1	PD250CT17	10005.00	11071.75	USD	-6,400.50	
	NET	0 AVG BGHT 9812.50	SOLD 9980.00				2,010.00	0.00
26JUL17	S	1	PD260CT17	9975.00	11072.71	USD	-6,586.26	
26JUL17	B	1	PD260CT17	9980.00	11072.71	USD	6,556.26	
26JUL17	S	1	PD260CT17	9945.00	11072.71	USD	-6,766.26	
26JUL17	S	1	PD260CT17	10015.00	11072.71	USD	-6,346.26	
26JUL17	B	1	PD260CT17	10020.00	11072.71	USD	6,316.26	
18AUG17	B	1	PD260CT17	10969.54	11072.71	USD	619.02	
	NET	0 AVG BGHT 10323.18	SOLD 9978.33				-6,207.24	0.00
27JUL17	B	2	PD270CT17	10020.00	11073.68	USD	12,644.16	
27JUL17	S	1	PD270CT17	10065.00	11073.68	USD	-6,052.08	
27JUL17	S	1	PD270CT17	10080.00	11073.68	USD	-5,962.08	
27JUL17	B	1	PD270CT17	10085.00	11073.68	USD	5,932.08	
27JUL17	B	1	PD270CT17	10090.00	11073.68	USD	5,902.08	
27JUL17	S	1	PD270CT17	10150.00	11073.68	USD	-5,542.08	
27JUL17	S	1	PD270CT17	10145.00	11073.68	USD	-5,572.08	
27JUL17	S	1	PD270CT17	10170.00	11073.68	USD	-5,422.08	
28JUL17	S	1	PD270CT17	10045.00	11073.68	USD	-6,172.08	
28JUL17	B	1	PD270CT17	10045.00	11073.68	USD	6,172.08	
28JUL17	B	1	PD270CT17	10050.00	11073.68	USD	6,142.08	
28JUL17	B	2	PD270CT17	10145.00	11073.68	USD	11,144.16	
28JUL17	S	1	PD270CT17	10240.00	11073.68	USD	-5,002.08	
28JUL17	B	2	PD270CT17	10245.00	11073.68	USD	9,944.16	
28JUL17	S	1	PD270CT17	10255.00	11073.68	USD	-4,912.08	
28JUL17	S	2	PD270CT17	10245.00	11073.68	USD	-9,944.16	
28JUL17	S	1	PD270CT17	10230.00	11073.68	USD	-5,062.08	
28JUL17	B	1	PD270CT17	10205.00	11073.68	USD	5,212.08	
28JUL17	S	1	PD270CT17	10260.00	11073.68	USD	-4,882.08	
28JUL17	B	2	PD270CT17	10225.00	11073.68	USD	10,184.16	
18AUG17	S	1	PD270CT17	10969.21	11073.68	USD	-626.82	
	NET	0 AVG BGHT 10134.23	SOLD 10238.40				8,125.26	0.00
31JUL17	B	1	PD310CT17	10345.00	11077.54	USD	4,395.24	
31JUL17	B	1	PD310CT17	10275.00	11077.54	USD	4,815.24	
31JUL17	S	1	PD310CT17	10260.00	11077.54	USD	-4,905.24	
31JUL17	S	3	PD310CT17	10245.00	11077.54	USD	-14,985.72	
31JUL17	S	1	PD310CT17	10255.00	11077.54	USD	-4,935.24	
31JUL17	B	1	PD310CT17	10220.00	11077.54	USD	5,145.24	
31JUL17	B	3	PD310CT17	10230.00	11077.54	USD	15,255.72	
31JUL17	B	1	PD310CT17	10215.00	11077.54	USD	5,175.24	
18AUG17	S	2	PD310CT17	10971.90	11077.54	USD	-1,267.68	
	NET	0 AVG BGHT 10249.29	SOLD 10456.26				8,692.80	0.00
01AUG17	B	1	PD01NOV17	10205.00	11078.50	USD	5,241.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	10095.00	11078.50	USD	-5,901.00	
01AUG17	S	1	PD01NOV17	10100.00	11078.50	USD	-5,871.00	
01AUG17	B	1	PD01NOV17	10105.00	11078.50	USD	5,841.00	
01AUG17	B	1	PD01NOV17	10150.00	11078.50	USD	5,571.00	
01AUG17	S	1	PD01NOV17	10160.00	11078.50	USD	-5,511.00	
01AUG17	S	1	PD01NOV17	10115.00	11078.50	USD	-5,781.00	
01AUG17	S	1	PD01NOV17	10125.00	11078.50	USD	-5,721.00	
01AUG17	S	1	PD01NOV17	10135.00	11078.50	USD	-5,661.00	
01AUG17	S	1	PD01NOV17	10140.00	11078.50	USD	-5,631.00	
01AUG17	B	1	PD01NOV17	10225.00	11078.50	USD	5,121.00	
01AUG17	B	1	PD01NOV17	10235.00	11078.50	USD	5,061.00	
03AUG17	S	1	PD01NOV17	10379.57	11078.50	USD	-4,193.58	
03AUG17	S	1	PD01NOV17	10378.57	11078.50	USD	-4,199.58	
18AUG17	B	4	PD01NOV17	10973.58	11078.50	USD	2,518.08	
	NET	0AVG BGHT 10534.92	SOLD 10180.90				-19,117.08	0.00
02AUG17	S	2	PD02NOV17	10185.00	11079.46	USD	-10,733.52	
02AUG17	B	1	PD02NOV17	10320.00	11079.46	USD	4,556.76	
02AUG17	B	1	PD02NOV17	10335.00	11079.46	USD	4,466.76	
02AUG17	B	1	PD02NOV17	10345.00	11079.46	USD	4,406.76	
03AUG17	B	1	PD02NOV17	10380.79	11079.46	USD	4,192.02	
18AUG17	S	2	PD02NOV17	10973.25	11079.46	USD	-1,274.52	
	NET	0AVG BGHT 10345.20	SOLD 10579.13				5,614.26	0.00
03AUG17	S	1	PD03NOV17	10440.00	11080.43	USD	-3,842.58	
03AUG17	S	1	PD03NOV17	10425.00	11080.43	USD	-3,932.58	
03AUG17	B	1	PD03NOV17	10360.00	11080.43	USD	4,322.58	
03AUG17	B	1	PD03NOV17	10335.00	11080.43	USD	4,472.58	
03AUG17	S	1	PD03NOV17	10315.00	11080.43	USD	-4,592.58	
03AUG17	B	1	PD03NOV17	10290.00	11080.43	USD	4,742.58	
03AUG17	B	1	PD03NOV17	10260.00	11080.43	USD	4,922.58	
03AUG17	B	1	PD03NOV17	10370.00	11080.43	USD	4,262.58	
03AUG17	S	1	PD03NOV17	10370.00	11080.43	USD	-4,262.58	
03AUG17	B	1	PD03NOV17	10330.00	11080.43	USD	4,502.58	
03AUG17	B	1	PD03NOV17	10315.00	11080.43	USD	4,592.58	
03AUG17	S	6	PD03NOV17	10379.00	11080.43	USD	-25,251.48	
04AUG17	B	1	PD03NOV17	10325.00	11080.43	USD	4,532.58	
04AUG17	S	1	PD03NOV17	10195.00	11080.43	USD	-5,312.58	
04AUG17	B	1	PD03NOV17	10200.00	11080.43	USD	5,282.58	
04AUG17	S	1	PD03NOV17	10260.00	11080.43	USD	-4,922.58	
04AUG17	S	1	PD03NOV17	10285.00	11080.43	USD	-4,772.58	
04AUG17	B	1	PD03NOV17	10290.00	11080.43	USD	4,742.58	
04AUG17	B	1	PD03NOV17	10280.00	11080.43	USD	4,802.58	
18AUG17	B	2	PD03NOV17	10974.92	11080.43	USD	1,266.12	
	NET	0AVG BGHT 10408.06	SOLD 10351.08				-4,445.04	0.00
07AUG17	B	1	PD07NOV17	10385.00	11084.29	USD	4,195.74	
07AUG17	B	1	PD07NOV17	10365.00	11084.29	USD	4,315.74	
07AUG17	S	1	PD07NOV17	10380.00	11084.29	USD	-4,225.74	
18AUG17	S	1	PD07NOV17	10976.62	11084.29	USD	-646.02	
	NET	0AVG BGHT 10375.00	SOLD 10678.31				3,639.72	0.00
08AUG17	B	1	PD08NOV17	10345.00	11085.25	USD	4,441.50	
08AUG17	S	1	PD08NOV17	10375.00	11085.25	USD	-4,261.50	
08AUG17	B	1	PD08NOV17	10300.00	11085.25	USD	4,711.50	
08AUG17	S	1	PD08NOV17	10335.00	11085.25	USD	-4,501.50	
08AUG17	S	1	PD08NOV17	10315.00	11085.25	USD	-4,621.50	
08AUG17	B	2	PD08NOV17	10445.00	11085.25	USD	7,683.00	
08AUG17	S	1	PD08NOV17	10480.00	11085.25	USD	-3,631.50	
08AUG17	B	1	PD08NOV17	10500.00	11085.25	USD	3,511.50	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	10740.00	11085.25	USD	2,071.50	
08AUG17	B	1	PD08NOV17	10745.00	11085.25	USD	2,041.50	
18AUG17	S	4	PD08NOV17	10977.29	11085.25	USD	-2,591.04	
22AUG17	B	1	PD08NOV17	11410.65	11085.25	USD	-1,952.40	
	NET	0AVG BGHT 10616.33	SOLD 10676.77				2,901.06	0.00
09AUG17	S	1	PD09NOV17	10675.00	11086.21	USD	-2,467.26	
09AUG17	S	1	PD09NOV17	10705.00	11086.21	USD	-2,287.26	
09AUG17	B	1	PD09NOV17	10810.00	11086.21	USD	1,657.26	
09AUG17	B	1	PD09NOV17	10805.00	11086.21	USD	1,687.26	
09AUG17	B	1	PD09NOV17	10770.00	11086.21	USD	1,897.26	
09AUG17	S	1	PD09NOV17	10810.00	11086.21	USD	-1,657.26	
09AUG17	S	2	PD09NOV17	10805.00	11086.21	USD	-3,374.52	
09AUG17	S	1	PD09NOV17	10800.00	11086.21	USD	-1,717.26	
09AUG17	B	1	PD09NOV17	10780.00	11086.21	USD	1,837.26	
09AUG17	S	2	PD09NOV17	10775.00	11086.21	USD	-3,734.52	
09AUG17	S	1	PD09NOV17	10720.00	11086.21	USD	-2,197.26	
09AUG17	S	2	PD09NOV17	10770.00	11086.21	USD	-3,794.52	
09AUG17	S	1	PD09NOV17	10815.00	11086.21	USD	-1,627.26	
09AUG17	S	2	PD09NOV17	10785.00	11086.21	USD	-3,614.52	
09AUG17	S	1	PD09NOV17	10715.00	11086.21	USD	-2,227.26	
09AUG17	B	1	PD09NOV17	10745.00	11086.21	USD	2,047.26	
09AUG17	B	1	PD09NOV17	10735.00	11086.21	USD	2,107.26	
18AUG17	B	3	PD09NOV17	10978.96	11086.21	USD	1,930.50	
22AUG17	B	6	PD09NOV17	11411.35	11086.21	USD	-11,705.04	
	NET	0AVG BGHT 11070.00	SOLD 10767.33				-27,239.88	0.00
10AUG17	B	1	PD10NOV17	10785.00	11087.18	USD	1,813.08	
10AUG17	S	1	PD10NOV17	10830.00	11087.18	USD	-1,543.08	
10AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
10AUG17	S	1	PD10NOV17	10780.00	11087.18	USD	-1,843.08	
10AUG17	S	1	PD10NOV17	10735.00	11087.18	USD	-2,113.08	
10AUG17	S	1	PD10NOV17	10740.00	11087.18	USD	-2,083.08	
10AUG17	B	1	PD10NOV17	10770.00	11087.18	USD	1,903.08	
10AUG17	B	1	PD10NOV17	10765.00	11087.18	USD	1,933.08	
10AUG17	B	1	PD10NOV17	10775.00	11087.18	USD	1,873.08	
10AUG17	B	3	PD10NOV17	10780.00	11087.18	USD	5,529.24	
10AUG17	B	1	PD10NOV17	10860.00	11087.18	USD	1,363.08	
10AUG17	S	1	PD10NOV17	10945.00	11087.18	USD	-853.08	
10AUG17	S	1	PD10NOV17	10935.00	11087.18	USD	-913.08	
10AUG17	S	2	PD10NOV17	10940.00	11087.18	USD	-1,766.16	
10AUG17	B	1	PD10NOV17	10955.00	11087.18	USD	793.08	
10AUG17	B	1	PD10NOV17	10950.00	11087.18	USD	823.08	
10AUG17	S	1	PD10NOV17	10900.00	11087.18	USD	-1,123.08	
10AUG17	S	1	PD10NOV17	10910.00	11087.18	USD	-1,063.08	
10AUG17	S	1	PD10NOV17	10885.00	11087.18	USD	-1,213.08	
11AUG17	S	1	PD10NOV17	10835.00	11087.18	USD	-1,513.08	
11AUG17	S	1	PD10NOV17	10825.00	11087.18	USD	-1,573.08	
11AUG17	S	1	PD10NOV17	10745.00	11087.18	USD	-2,053.08	
11AUG17	S	1	PD10NOV17	10635.00	11087.18	USD	-2,713.08	
11AUG17	B	1	PD10NOV17	10615.00	11087.18	USD	2,833.08	
11AUG17	S	1	PD10NOV17	10710.00	11087.18	USD	-2,263.08	
11AUG17	B	1	PD10NOV17	10655.00	11087.18	USD	2,593.08	
11AUG17	B	1	PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	B	1	PD10NOV17	10675.00	11087.18	USD	2,473.08	
11AUG17	B	1	PD10NOV17	10680.00	11087.18	USD	2,443.08	
11AUG17	S	1	PD10NOV17	10610.00	11087.18	USD	-2,863.08	
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	B	1	PD10NOV17	10565.00	11087.18	USD	3,133.08	

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11AUG17	S	1	PD10NOV17	10655.00	11087.18	USD	-2,593.08	
11AUG17	B	3	PD10NOV17	10670.00	11087.18	USD	7,509.24	
18AUG17	S	1	PD10NOV17	10978.63	11087.18	USD	-651.30	
	NET	0 AVG BGHT 10734.75	SOLD 10816.93				9,861.78	0.00
14AUG17	B	1	PD14NOV17	10690.00	11091.04	USD	2,406.24	
14AUG17	S	1	PD14NOV17	10640.00	11091.04	USD	-2,706.24	
14AUG17	S	1	PD14NOV17	10510.00	11091.04	USD	-3,486.24	
14AUG17	S	1	PD14NOV17	10500.00	11091.04	USD	-3,546.24	
14AUG17	S	1	PD14NOV17	10475.00	11091.04	USD	-3,696.24	
14AUG17	B	1	PD14NOV17	10510.00	11091.04	USD	3,486.24	
14AUG17	B	1	PD14NOV17	10490.00	11091.04	USD	3,606.24	
14AUG17	B	1	PD14NOV17	10415.00	11091.04	USD	4,056.24	
14AUG17	S	1	PD14NOV17	10420.00	11091.04	USD	-4,026.24	
14AUG17	S	1	PD14NOV17	10425.00	11091.04	USD	-3,996.24	
18AUG17	B	2	PD14NOV17	10982.33	11091.04	USD	1,304.52	
	NET	0 AVG BGHT 10678.28	SOLD 10495.00				-6,597.96	0.00
15AUG17	B	1	PD15NOV17	10405.00	11092.00	USD	4,122.00	
15AUG17	B	1	PD15NOV17	10395.00	11092.00	USD	4,182.00	
15AUG17	B	1	PD15NOV17	10400.00	11092.00	USD	4,152.00	
15AUG17	B	1	PD15NOV17	10385.00	11092.00	USD	4,242.00	
18AUG17	S	4	PD15NOV17	10982.00	11092.00	USD	-2,640.00	
	NET	0 AVG BGHT 10396.25	SOLD 10982.00				14,058.00	0.00
16AUG17	S	1	PD16NOV17	10475.00	11092.77	USD	-3,706.62	
16AUG17	S	1	PD16NOV17	10560.00	11092.77	USD	-3,196.62	
16AUG17	S	1	PD16NOV17	10565.00	11092.77	USD	-3,166.62	
16AUG17	S	1	PD16NOV17	10570.00	11092.77	USD	-3,136.62	
16AUG17	S	1	PD16NOV17	10575.00	11092.77	USD	-3,106.62	
16AUG17	S	1	PD16NOV17	10655.00	11092.77	USD	-2,626.62	
16AUG17	B	2	PD16NOV17	10680.00	11092.77	USD	4,953.24	
16AUG17	S	1	PD16NOV17	10750.00	11092.77	USD	-2,056.62	
16AUG17	S	1	PD16NOV17	10755.00	11092.77	USD	-2,026.62	
16AUG17	B	1	PD16NOV17	10745.00	11092.77	USD	2,086.62	
16AUG17	B	1	PD16NOV17	10730.00	11092.77	USD	2,176.62	
16AUG17	B	1	PD16NOV17	10725.00	11092.77	USD	2,206.62	
16AUG17	S	1	PD16NOV17	10735.00	11092.77	USD	-2,146.62	
16AUG17	B	5	PD16NOV17	10750.00	11092.77	USD	10,283.10	
16AUG17	S	2	PD16NOV17	10555.00	11092.77	USD	-6,453.24	
16AUG17	S	1	PD16NOV17	10820.00	11092.77	USD	-1,636.62	
16AUG17	S	1	PD16NOV17	10810.00	11092.77	USD	-1,696.62	
16AUG17	S	2	PD16NOV17	10815.00	11092.77	USD	-3,333.24	
16AUG17	S	1	PD16NOV17	10830.00	11092.77	USD	-1,576.62	
16AUG17	B	1	PD16NOV17	10765.00	11092.77	USD	1,966.62	
16AUG17	B	1	PD16NOV17	10755.00	11092.77	USD	2,026.62	
16AUG17	S	1	PD16NOV17	10775.00	11092.77	USD	-1,906.62	
16AUG17	B	1	PD16NOV17	10785.00	11092.77	USD	1,846.62	
16AUG17	B	1	PD16NOV17	10780.00	11092.77	USD	1,876.62	
18AUG17	B	3	PD16NOV17	10981.00	11092.77	USD	2,011.86	
	NET	0 AVG BGHT 10784.59	SOLD 10683.24				-10,338.00	0.00
17AUG17	S	1	PD17NOV17	10800.00	11093.54	USD	-1,761.24	
17AUG17	B	1	PD17NOV17	10775.00	11093.54	USD	1,911.24	
17AUG17	S	1	PD17NOV17	10775.00	11093.54	USD	-1,911.24	
17AUG17	S	1	PD17NOV17	10770.00	11093.54	USD	-1,941.24	
17AUG17	S	1	PD17NOV17	10845.00	11093.54	USD	-1,491.24	
17AUG17	S	3	PD17NOV17	10830.00	11093.54	USD	-4,743.72	
17AUG17	S	2	PD17NOV17	10790.00	11093.54	USD	-3,642.48	
17AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	

OPEN POSITION STATEMENT



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SAP0086C

11th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	
17AUG17	B	1	PD17NOV17	10735.00	11093.54	USD	2,151.24	
17AUG17	B	2	PD17NOV17	10760.00	11093.54	USD	4,002.48	
17AUG17	B	1	PD17NOV17	10770.00	11093.54	USD	1,941.24	
17AUG17	B	2	PD17NOV17	10765.00	11093.54	USD	3,942.48	
17AUG17	B	1	PD17NOV17	10740.00	11093.54	USD	2,121.24	
17AUG17	B	2	PD17NOV17	10750.00	11093.54	USD	4,122.48	
17AUG17	S	2	PD17NOV17	10760.00	11093.54	USD	-4,002.48	
17AUG17	B	1	PD17NOV17	10725.00	11093.54	USD	2,211.24	
17AUG17	S	4	PD17NOV17	10700.00	11093.54	USD	-9,444.96	
18AUG17	B	2	PD17NOV17	10981.00	11093.54	USD	1,350.48	
	NET	0 AVG BGHT	10790.47				-1,662.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	20580.00	20960.00	USD	1,900.00	
08AUG17	S	1	PD08NOV17	20515.00	20960.00	USD	-2,225.00	
08AUG17	B	1	PD08NOV17	20470.00	20960.00	USD	2,450.00	
08AUG17	B	1	PD08NOV17	20340.00	20960.00	USD	3,100.00	
08AUG17	B	1	PD08NOV17	20195.00	20960.00	USD	3,825.00	
18AUG17	S	3	PD08NOV17	20266.25	20960.00	USD	-10,406.25	
	NET	0 AVG BGHT	20396.25				-1,356.25	0.00
11AUG17	S	1	PD10NOV17	20220.00	20956.00	USD	-3,680.00	
18AUG17	B	1	PD10NOV17	20264.75	20956.00	USD	3,456.25	
	NET	0 AVG BGHT	20264.75				-223.75	0.00
14AUG17	S	2	PD14NOV17	20305.00	20952.00	USD	-6,470.00	
18AUG17	B	2	PD14NOV17	20262.25	20952.00	USD	6,897.50	
	NET	0 AVG BGHT	20262.25				427.50	0.00
15AUG17	B	2	PD15NOV17	19640.00	20950.00	USD	13,100.00	
15AUG17	S	1	PD15NOV17	20005.00	20950.00	USD	-4,725.00	
15AUG17	S	1	PD15NOV17	20010.00	20950.00	USD	-4,700.00	
	NET	0 AVG BGHT	19640.00				3,675.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	3	PD17OCT17	2836.50	3286.33	USD	-33,737.25	
17JUL17	S	1	PD17OCT17	2835.00	3286.33	USD	-11,283.25	
17JUL17	S	3	PD17OCT17	2835.50	3286.33	USD	-33,812.25	
17JUL17	S	1	PD17OCT17	2836.00	3286.33	USD	-11,258.25	
17JUL17	S	1	PD17OCT17	2837.50	3286.33	USD	-11,220.75	
17JUL17	S	1	PD17OCT17	2832.00	3286.33	USD	-11,358.25	
27JUL17	B	10	PD17OCT17	2800.95	3286.33	USD	121,345.00	
	NET	0 AVG BGHT	2800.95				8,675.00	0.00
20JUL17	B	2	PD20OCT17	2756.50	3273.00	USD	25,825.00	
20JUL17	B	2	PD20OCT17	2756.00	3273.00	USD	25,850.00	
21JUL17	S	4	PD20OCT17	2773.50	3273.00	USD	-49,950.00	
21JUL17	B	1	PD20OCT17	2746.00	3273.00	USD	13,175.00	
21JUL17	B	1	PD20OCT17	2745.50	3273.00	USD	13,187.50	
21JUL17	B	1	PD20OCT17	2745.00	3273.00	USD	13,200.00	
21JUL17	B	2	PD20OCT17	2744.50	3273.00	USD	26,425.00	
21JUL17	B	1	PD20OCT17	2742.50	3273.00	USD	13,262.50	
21JUL17	B	1	PD20OCT17	2743.00	3273.00	USD	13,250.00	
21JUL17	B	2	PD20OCT17	2744.00	3273.00	USD	26,450.00	
21JUL17	B	1	PD20OCT17	2746.00	3273.00	USD	13,175.00	
27JUL17	S	10	PD20OCT17	2798.80	3273.00	USD	-118,550.00	
	NET	0 AVG BGHT	2747.86				15,300.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	2784.50	3260.67	USD	-11,904.25	
28JUL17	S	1	PD27OCT17	2788.50	3260.67	USD	-11,804.25	
28JUL17	S	1	PD27OCT17	2791.50	3260.67	USD	-11,729.25	
28JUL17	S	1	PD27OCT17	2792.50	3260.67	USD	-11,704.25	
28JUL17	S	1	PD27OCT17	2796.50	3260.67	USD	-11,604.25	
28JUL17	S	1	PD27OCT17	2797.50	3260.67	USD	-11,579.25	
28JUL17	S	1	PD27OCT17	2796.00	3260.67	USD	-11,616.75	
28JUL17	S	1	PD27OCT17	2791.00	3260.67	USD	-11,741.75	
28JUL17	S	1	PD27OCT17	2779.00	3260.67	USD	-12,041.75	
28JUL17	B	9	PD27OCT17	2776.20	3260.67	USD	109,005.75	
	NET	0 AVG	BGHT 2776.20		SOLD 2790.78		3,280.00	0.00
31JUL17	B	2	PD31OCT17	2781.00	3257.33	USD	23,816.50	
31JUL17	B	2	PD31OCT17	2781.50	3257.33	USD	23,791.50	
07AUG17	S	4	PD31OCT17	2856.50	3257.33	USD	-40,083.00	
	NET	0 AVG	BGHT 2781.25		SOLD 2856.50		7,525.00	0.00
02AUG17	B	2	PD02NOV17	2784.00	3254.00	USD	23,500.00	
02AUG17	B	2	PD02NOV17	2783.50	3254.00	USD	23,525.00	
07AUG17	S	4	PD02NOV17	2856.93	3254.00	USD	-39,707.00	
	NET	0 AVG	BGHT 2783.75		SOLD 2856.93		7,318.00	0.00
03AUG17	B	1	PD03NOV17	2811.00	3252.89	USD	11,047.25	
03AUG17	B	1	PD03NOV17	2795.00	3252.89	USD	11,447.25	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
03AUG17	B	1	PD03NOV17	2794.50	3252.89	USD	11,459.75	
03AUG17	B	1	PD03NOV17	2793.50	3252.89	USD	11,484.75	
04AUG17	B	9	PD03NOV17	2826.00	3252.89	USD	96,050.25	
04AUG17	B	12	PD03NOV17	2817.50	3252.89	USD	130,617.00	
04AUG17	S	24	PD03NOV17	2811.00	3252.89	USD	-265,134.00	
04AUG17	S	1	PD03NOV17	2809.50	3252.89	USD	-11,084.75	
07AUG17	B	8	PD03NOV17	2857.34	3252.89	USD	79,110.00	
22AUG17	S	9	PD03NOV17	3117.68	3252.89	USD	-30,422.25	
	NET	0 AVG	BGHT 2826.20		SOLD 2892.14		56,047.50	0.00
10AUG17	B	1	PD10NOV17	2939.50	3247.33	USD	7,695.75	
11AUG17	S	1	PD10NOV17	2912.00	3247.33	USD	-8,383.25	
	NET	0 AVG	BGHT 2939.50		SOLD 2912.00		-687.50	0.00
16AUG17	B	1	PD16NOV17	3070.00	3241.50	USD	4,287.50	
18AUG17	S	1	PD16NOV17	3124.50	3241.50	USD	-2,925.00	
	NET	0 AVG	BGHT 3070.00		SOLD 3124.50		1,362.50	0.00
17AUG17	B	1	PD17NOV17	3097.00	3239.00	USD	3,550.00	
17AUG17	S	1	PD17NOV17	3090.50	3239.00	USD	-3,712.50	
17AUG17	S	1	PD17NOV17	3068.00	3239.00	USD	-4,275.00	
18AUG17	B	1	PD17NOV17	3124.20	3239.00	USD	2,870.00	
	NET	0 AVG	BGHT 3110.60		SOLD 3079.25		-1,567.50	0.00

OPEN POSITION STATEMENT



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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	133,503.00
LME Copper Grade A 25	FUTURES	-268,126.50
LME Lead 25 tonnes. This is an	FUTURES	88,975.00
LME Nickel 6 tonnes. This is	FUTURES	-5,498.04
LME Tin 5 tonnes. This is an	FUTURES	2,522.50
LME Zinc 25 tonnes. This is	FUTURES	97,253.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 133,503.00	133,503.00		
COPL - Futures	USD -268,126.50	-268,126.50		
LEAD - Futures	USD 88,975.00	88,975.00		
NKL - Futures	USD -5,498.04	-5,498.04		
TIN - Futures	USD 2,522.50	2,522.50		
ZINC - Futures	USD 97,253.00	97,253.00	48,628.96	48,628.96

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.000000)	(1.000000)
CASH BALANCE	1,672,828.74	1,672,828.74
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,672,828.74	1,672,828.74
Initial Margins	0.00	0.00
Excess/(Shortage)	1,672,828.74	1,672,828.74
FOR INFORMATION ONLY		
Forward Unrealised P&L	48,628.96	48,628.96
Net Liquidating Value	1,721,457.70	1,721,457.70

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5898.00	6755.20	USD	-21,430.00	
12JUL17	S	1	PD120CT17	5905.00	6755.20	USD	-21,255.00	
12JUL17	B	1	PD120CT17	5891.00	6755.20	USD	21,605.00	
12JUL17	B	1	PD120CT17	5889.00	6755.20	USD	21,655.00	
	NET	0 AVG BGHT 5890.00	SOLD 5901.50				575.00	0.00
13JUL17	S	2	PD130CT17	5918.00	6756.00	USD	-41,900.00	
13JUL17	S	1	PD130CT17	5919.50	6756.00	USD	-20,912.50	
13JUL17	B	1	PD130CT17	5881.00	6756.00	USD	21,875.00	
13JUL17	S	1	PD130CT17	5884.50	6756.00	USD	-21,787.50	
13JUL17	B	2	PD130CT17	5892.50	6756.00	USD	43,175.00	
13JUL17	B	1	PD130CT17	5875.50	6756.00	USD	22,012.50	
14JUL17	B	1	PD130CT17	5876.00	6756.00	USD	22,000.00	
14JUL17	S	1	PD130CT17	5873.00	6756.00	USD	-22,075.00	
14JUL17	B	1	PD130CT17	5892.00	6756.00	USD	21,600.00	
14JUL17	B	1	PD130CT17	5897.00	6756.00	USD	21,475.00	
14JUL17	B	1	PD130CT17	5902.50	6756.00	USD	21,337.50	
14JUL17	S	1	PD130CT17	5897.00	6756.00	USD	-21,475.00	
14JUL17	S	1	PD130CT17	5897.50	6756.00	USD	-21,462.50	
14JUL17	S	1	PD130CT17	5908.50	6756.00	USD	-21,187.50	
14JUL17	S	1	PD130CT17	5918.50	6756.00	USD	-20,937.50	
14JUL17	S	1	PD130CT17	5927.50	6756.00	USD	-20,712.50	
14JUL17	S	1	PD130CT17	5928.00	6756.00	USD	-20,700.00	
14JUL17	B	3	PD130CT17	5923.50	6756.00	USD	62,437.50	
	NET	0 AVG BGHT 5898.14	SOLD 5908.18				2,762.50	0.00
17JUL17	S	2	PD170CT17	5983.00	6759.20	USD	-38,810.00	
17JUL17	S	1	PD170CT17	5992.00	6759.20	USD	-19,180.00	
17JUL17	B	1	PD170CT17	5977.50	6759.20	USD	19,542.50	
17JUL17	B	1	PD170CT17	6004.00	6759.20	USD	18,880.00	
17JUL17	B	1	PD170CT17	6002.00	6759.20	USD	18,930.00	
	NET	0 AVG BGHT 5994.50	SOLD 5986.00				-637.50	0.00
18JUL17	S	1	PD180CT17	5994.00	6760.00	USD	-19,150.00	
18JUL17	S	1	PD180CT17	5996.00	6760.00	USD	-19,100.00	
18JUL17	S	1	PD180CT17	5990.00	6760.00	USD	-19,250.00	
18JUL17	B	3	PD180CT17	5980.00	6760.00	USD	58,500.00	
18JUL17	B	1	PD180CT17	5999.00	6760.00	USD	19,025.00	
18JUL17	S	1	PD180CT17	5998.50	6760.00	USD	-19,037.50	
18JUL17	S	1	PD180CT17	5999.00	6760.00	USD	-19,025.00	
18JUL17	B	1	PD180CT17	6002.00	6760.00	USD	18,950.00	
18JUL17	S	2	PD180CT17	6009.50	6760.00	USD	-37,525.00	
18JUL17	S	1	PD180CT17	6007.50	6760.00	USD	-18,812.50	
18JUL17	B	1	PD180CT17	6005.50	6760.00	USD	18,862.50	
18JUL17	B	2	PD180CT17	6006.00	6760.00	USD	37,700.00	
	NET	0 AVG BGHT 5994.81	SOLD 6000.50				1,137.50	0.00
19JUL17	S	1	PD190CT17	6011.00	6760.88	USD	-18,747.00	
19JUL17	S	3	PD190CT17	6014.50	6760.88	USD	-55,978.50	
19JUL17	B	1	PD190CT17	6000.50	6760.88	USD	19,009.50	
19JUL17	S	1	PD190CT17	5996.50	6760.88	USD	-19,109.50	
19JUL17	B	1	PD190CT17	6012.00	6760.88	USD	18,722.00	
19JUL17	B	3	PD190CT17	5997.00	6760.88	USD	57,291.00	
19JUL17	S	1	PD190CT17	5995.00	6760.88	USD	-19,147.00	
19JUL17	S	1	PD190CT17	5996.00	6760.88	USD	-19,122.00	
19JUL17	B	1	PD190CT17	5983.00	6760.88	USD	19,447.00	
19JUL17	B	1	PD190CT17	5984.00	6760.88	USD	19,422.00	
19JUL17	S	1	PD190CT17	5980.00	6760.88	USD	-19,522.00	
19JUL17	S	1	PD190CT17	5983.00	6760.88	USD	-19,447.00	
19JUL17	S	1	PD190CT17	5989.50	6760.88	USD	-19,284.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	5993.50	6760.88	USD	-19,184.50	
19JUL17	B	1	PD19OCT17	5985.00	6760.88	USD	19,397.00	
19JUL17	B	1	PD19OCT17	5986.00	6760.88	USD	19,372.00	
19JUL17	B	2	PD19OCT17	5982.50	6760.88	USD	38,919.00	
19JUL17	S	1	PD19OCT17	5970.00	6760.88	USD	-19,772.00	
24JUL17	B	1	PD19OCT17	6026.50	6760.88	USD	18,359.50	
	NET	0	AVG BGHT 5994.42	SOLD 5996.50			625.00	0.00
20JUL17	S	1	PD20OCT17	5970.50	6761.75	USD	-19,781.25	
20JUL17	S	1	PD20OCT17	5972.50	6761.75	USD	-19,731.25	
20JUL17	B	3	PD20OCT17	5980.50	6761.75	USD	58,593.75	
20JUL17	B	1	PD20OCT17	5984.00	6761.75	USD	19,443.75	
20JUL17	S	1	PD20OCT17	5984.50	6761.75	USD	-19,431.25	
20JUL17	S	2	PD20OCT17	5984.00	6761.75	USD	-38,887.50	
20JUL17	S	1	PD20OCT17	5986.00	6761.75	USD	-19,393.75	
20JUL17	B	1	PD20OCT17	5971.00	6761.75	USD	19,768.75	
20JUL17	S	1	PD20OCT17	5978.00	6761.75	USD	-19,593.75	
20JUL17	B	2	PD20OCT17	5983.00	6761.75	USD	38,937.50	
20JUL17	S	1	PD20OCT17	5985.00	6761.75	USD	-19,418.75	
20JUL17	B	2	PD20OCT17	5975.50	6761.75	USD	39,312.50	
20JUL17	S	1	PD20OCT17	5971.50	6761.75	USD	-19,756.25	
20JUL17	S	1	PD20OCT17	5973.50	6761.75	USD	-19,706.25	
20JUL17	B	1	PD20OCT17	5951.50	6761.75	USD	20,256.25	
20JUL17	B	1	PD20OCT17	5952.50	6761.75	USD	20,231.25	
20JUL17	B	1	PD20OCT17	5970.00	6761.75	USD	19,793.75	
20JUL17	B	1	PD20OCT17	5970.50	6761.75	USD	19,781.25	
20JUL17	S	1	PD20OCT17	5955.00	6761.75	USD	-20,168.75	
20JUL17	S	1	PD20OCT17	5954.50	6761.75	USD	-20,181.25	
20JUL17	S	1	PD20OCT17	5956.50	6761.75	USD	-20,131.25	
20JUL17	S	1	PD20OCT17	5958.00	6761.75	USD	-20,093.75	
20JUL17	B	1	PD20OCT17	5960.00	6761.75	USD	20,043.75	
20JUL17	S	1	PD20OCT17	5965.00	6761.75	USD	-19,918.75	
20JUL17	S	2	PD20OCT17	5967.50	6761.75	USD	-39,712.50	
20JUL17	B	4	PD20OCT17	5983.50	6761.75	USD	77,825.00	
21JUL17	B	2	PD20OCT17	6001.00	6761.75	USD	38,037.50	
21JUL17	S	1	PD20OCT17	6007.00	6761.75	USD	-18,868.75	
21JUL17	S	1	PD20OCT17	6014.00	6761.75	USD	-18,693.75	
21JUL17	S	1	PD20OCT17	6029.00	6761.75	USD	-18,318.75	
21JUL17	B	1	PD20OCT17	6039.00	6761.75	USD	18,068.75	
21JUL17	B	1	PD20OCT17	6020.50	6761.75	USD	18,531.25	
21JUL17	B	1	PD20OCT17	6013.50	6761.75	USD	18,706.25	
21JUL17	S	1	PD20OCT17	6019.50	6761.75	USD	-18,556.25	
21JUL17	S	1	PD20OCT17	6020.00	6761.75	USD	-18,543.75	
21JUL17	B	2	PD20OCT17	6000.50	6761.75	USD	38,062.50	
21JUL17	S	2	PD20OCT17	5999.00	6761.75	USD	-38,137.50	
24JUL17	S	1	PD20OCT17	6025.30	6761.75	USD	-18,411.25	
	NET	0	AVG BGHT 5985.12	SOLD 5985.05			-42.50	0.00
24JUL17	B	1	PD24OCT17	5993.00	6765.25	USD	19,306.25	
24JUL17	S	1	PD24OCT17	5995.00	6765.25	USD	-19,256.25	
24JUL17	S	4	PD24OCT17	6026.00	6765.25	USD	-73,925.00	
24JUL17	B	1	PD24OCT17	6025.00	6765.25	USD	18,506.25	
24JUL17	B	2	PD24OCT17	6026.50	6765.25	USD	36,937.50	
24JUL17	B	3	PD24OCT17	6027.00	6765.25	USD	55,368.75	
24JUL17	S	2	PD24OCT17	6023.00	6765.25	USD	-37,112.50	
	NET	0	AVG BGHT 6021.71	SOLD 6020.71			-175.00	0.00
25JUL17	B	1	PD25OCT17	6042.00	6765.68	USD	18,092.00	
25JUL17	S	1	PD25OCT17	6047.00	6765.68	USD	-17,967.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	2	PD250CT17	6067.00	6765.68	USD	-34,934.00	
25JUL17	B	1	PD250CT17	6101.00	6765.68	USD	16,617.00	
25JUL17	B	1	PD250CT17	6103.00	6765.68	USD	16,567.00	
25JUL17	S	2	PD250CT17	6094.50	6765.68	USD	-33,559.00	
25JUL17	S	1	PD250CT17	6119.00	6765.68	USD	-16,167.00	
25JUL17	S	1	PD250CT17	6124.50	6765.68	USD	-16,029.50	
25JUL17	S	1	PD250CT17	6129.00	6765.68	USD	-15,917.00	
25JUL17	B	1	PD250CT17	6182.00	6765.68	USD	14,592.00	
25JUL17	S	1	PD250CT17	6189.00	6765.68	USD	-14,417.00	
25JUL17	B	2	PD250CT17	6094.00	6765.68	USD	33,584.00	
25JUL17	B	1	PD250CT17	6187.50	6765.68	USD	14,454.50	
25JUL17	S	1	PD250CT17	6194.50	6765.68	USD	-14,279.50	
25JUL17	B	1	PD250CT17	6180.00	6765.68	USD	14,642.00	
25JUL17	B	1	PD250CT17	6180.50	6765.68	USD	14,629.50	
25JUL17	S	1	PD250CT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S	1	PD250CT17	6210.00	6765.68	USD	-13,892.00	
25JUL17	S	1	PD250CT17	6219.00	6765.68	USD	-13,667.00	
25JUL17	B	1	PD250CT17	6210.50	6765.68	USD	13,879.50	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	S	1	PD250CT17	6228.00	6765.68	USD	-13,442.00	
25JUL17	B	1	PD250CT17	6221.00	6765.68	USD	13,617.00	
25JUL17	B	1	PD250CT17	6192.00	6765.68	USD	14,342.00	
25JUL17	B	1	PD250CT17	6202.00	6765.68	USD	14,092.00	
	NET	0 AVG BGHT 6157.46	SOLD 6141.61				-5,550.00	0.00
26JUL17	S	1	PD260CT17	6264.50	6766.11	USD	-12,540.25	
26JUL17	S	1	PD260CT17	6265.00	6766.11	USD	-12,527.75	
26JUL17	B	1	PD260CT17	6335.50	6766.11	USD	10,765.25	
26JUL17	B	1	PD260CT17	6342.00	6766.11	USD	10,602.75	
26JUL17	S	2	PD260CT17	6345.00	6766.11	USD	-21,055.50	
26JUL17	B	1	PD260CT17	6362.00	6766.11	USD	10,102.75	
26JUL17	B	1	PD260CT17	6362.50	6766.11	USD	10,090.25	
26JUL17	S	1	PD260CT17	6389.50	6766.11	USD	-9,415.25	
26JUL17	B	1	PD260CT17	6291.00	6766.11	USD	11,877.75	
26JUL17	B	1	PD260CT17	6296.50	6766.11	USD	11,740.25	
26JUL17	B	1	PD260CT17	6311.50	6766.11	USD	11,365.25	
26JUL17	B	1	PD260CT17	6322.50	6766.11	USD	11,090.25	
26JUL17	B	1	PD260CT17	6332.50	6766.11	USD	10,840.25	
26JUL17	S	1	PD260CT17	6305.50	6766.11	USD	-11,515.25	
26JUL17	S	1	PD260CT17	6331.50	6766.11	USD	-10,865.25	
26JUL17	S	2	PD260CT17	6302.00	6766.11	USD	-23,205.50	
26JUL17	S	1	PD260CT17	6338.00	6766.11	USD	-10,702.75	
26JUL17	S	1	PD260CT17	6339.50	6766.11	USD	-10,665.25	
26JUL17	B	1	PD260CT17	6330.00	6766.11	USD	10,902.75	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	B	1	PD260CT17	6315.50	6766.11	USD	11,265.25	
26JUL17	B	1	PD260CT17	6331.00	6766.11	USD	10,877.75	
26JUL17	S	1	PD260CT17	6327.50	6766.11	USD	-10,965.25	
14AUG17	B	1	PD260CT17	6390.25	6766.11	USD	9,396.50	
	NET	0 AVG BGHT 6332.52	SOLD 6322.69				-3,193.75	0.00
27JUL17	S	1	PD270CT17	6308.00	6766.54	USD	-11,463.50	
27JUL17	S	1	PD270CT17	6319.00	6766.54	USD	-11,188.50	
27JUL17	B	1	PD270CT17	6340.50	6766.54	USD	10,651.00	
27JUL17	S	1	PD270CT17	6338.00	6766.54	USD	-10,713.50	
27JUL17	S	1	PD270CT17	6345.50	6766.54	USD	-10,526.00	
27JUL17	B	1	PD270CT17	6347.50	6766.54	USD	10,476.00	
27JUL17	B	3	PD270CT17	6325.00	6766.54	USD	33,115.50	
27JUL17	S	1	PD270CT17	6369.50	6766.54	USD	-9,926.00	

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27JUL17	B	1	PD27OCT17	6356.50	6766.54	USD	10,251.00	
28JUL17	B	1	PD27OCT17	6260.50	6766.54	USD	12,651.00	
28JUL17	B	1	PD27OCT17	6265.50	6766.54	USD	12,526.00	
28JUL17	B	1	PD27OCT17	6285.50	6766.54	USD	12,026.00	
28JUL17	S	1	PD27OCT17	6267.50	6766.54	USD	-12,476.00	
28JUL17	S	1	PD27OCT17	6269.50	6766.54	USD	-12,426.00	
28JUL17	B	1	PD27OCT17	6290.50	6766.54	USD	11,901.00	
28JUL17	B	2	PD27OCT17	6310.50	6766.54	USD	22,802.00	
28JUL17	B	2	PD27OCT17	6312.00	6766.54	USD	22,727.00	
28JUL17	S	1	PD27OCT17	6296.00	6766.54	USD	-11,763.50	
28JUL17	S	1	PD27OCT17	6298.50	6766.54	USD	-11,701.00	
28JUL17	S	1	PD27OCT17	6314.00	6766.54	USD	-11,313.50	
28JUL17	S	1	PD27OCT17	6322.00	6766.54	USD	-11,113.50	
28JUL17	S	1	PD27OCT17	6322.50	6766.54	USD	-11,101.00	
28JUL17	S	1	PD27OCT17	6317.00	6766.54	USD	-11,238.50	
28JUL17	B	1	PD27OCT17	6311.00	6766.54	USD	11,388.50	
28JUL17	B	1	PD27OCT17	6340.50	6766.54	USD	10,651.00	
28JUL17	S	1	PD27OCT17	6340.00	6766.54	USD	-10,663.50	
28JUL17	B	1	PD27OCT17	6318.00	6766.54	USD	11,213.50	
28JUL17	S	2	PD27OCT17	6324.50	6766.54	USD	-22,102.00	
14AUG17	S	1	PD27OCT17	6390.41	6766.54	USD	-9,403.25	
	NET	0 AVG BGHT 6313.88	SOLD 6321.55				3,260.25	0.00
31JUL17	B	1	PD31OCT17	6362.50	6768.25	USD	10,143.75	
31JUL17	B	2	PD31OCT17	6381.00	6768.25	USD	19,362.50	
31JUL17	S	1	PD31OCT17	6347.00	6768.25	USD	-10,531.25	
31JUL17	B	1	PD31OCT17	6389.00	6768.25	USD	9,481.25	
31JUL17	B	2	PD31OCT17	6403.00	6768.25	USD	18,262.50	
31JUL17	B	1	PD31OCT17	6420.50	6768.25	USD	8,693.75	
31JUL17	S	1	PD31OCT17	6350.00	6768.25	USD	-10,456.25	
31JUL17	S	1	PD31OCT17	6363.00	6768.25	USD	-10,131.25	
31JUL17	S	1	PD31OCT17	6374.00	6768.25	USD	-9,856.25	
31JUL17	S	1	PD31OCT17	6384.50	6768.25	USD	-9,593.75	
31JUL17	S	1	PD31OCT17	6394.00	6768.25	USD	-9,356.25	
31JUL17	S	1	PD31OCT17	6428.00	6768.25	USD	-8,506.25	
	NET	0 AVG BGHT 6391.43	SOLD 6377.21				-2,487.50	0.00
01AUG17	B	1	PD01NOV17	6330.50	6769.18	USD	10,967.00	
01AUG17	S	1	PD01NOV17	6336.00	6769.18	USD	-10,829.50	
01AUG17	B	1	PD01NOV17	6318.00	6769.18	USD	11,279.50	
01AUG17	S	1	PD01NOV17	6324.50	6769.18	USD	-11,117.00	
01AUG17	B	1	PD01NOV17	6338.00	6769.18	USD	10,779.50	
01AUG17	S	1	PD01NOV17	6338.00	6769.18	USD	-10,779.50	
01AUG17	B	1	PD01NOV17	6352.00	6769.18	USD	10,429.50	
01AUG17	S	1	PD01NOV17	6357.50	6769.18	USD	-10,292.00	
	NET	0 AVG BGHT 6334.63	SOLD 6339.00				437.50	0.00
02AUG17	S	1	PD02NOV17	6335.00	6770.10	USD	-10,877.50	
02AUG17	S	1	PD02NOV17	6330.00	6770.10	USD	-11,002.50	
02AUG17	B	1	PD02NOV17	6325.50	6770.10	USD	11,115.00	
02AUG17	B	1	PD02NOV17	6330.00	6770.10	USD	11,002.50	
02AUG17	S	3	PD02NOV17	6337.00	6770.10	USD	-32,482.50	
02AUG17	B	1	PD02NOV17	6334.00	6770.10	USD	10,902.50	
02AUG17	B	2	PD02NOV17	6337.00	6770.10	USD	21,655.00	
02AUG17	B	1	PD02NOV17	6331.50	6770.10	USD	10,965.00	
02AUG17	S	1	PD02NOV17	6336.50	6770.10	USD	-10,840.00	
	NET	0 AVG BGHT 6332.50	SOLD 6335.42				437.50	0.00
03AUG17	B	1	PD03NOV17	6337.00	6771.03	USD	10,850.75	
03AUG17	B	1	PD03NOV17	6342.50	6771.03	USD	10,713.25	

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03AUG17	S	1	PD03NOV17	6345.00	6771.03	USD	-10,650.75	
03AUG17	S	1	PD03NOV17	6346.00	6771.03	USD	-10,625.75	
03AUG17	B	1	PD03NOV17	6323.00	6771.03	USD	11,200.75	
03AUG17	S	1	PD03NOV17	6327.00	6771.03	USD	-11,100.75	
03AUG17	S	1	PD03NOV17	6354.50	6771.03	USD	-10,413.25	
03AUG17	S	1	PD03NOV17	6349.00	6771.03	USD	-10,550.75	
04AUG17	B	1	PD03NOV17	6370.50	6771.03	USD	10,013.25	
04AUG17	B	1	PD03NOV17	6376.00	6771.03	USD	9,875.75	
04AUG17	B	1	PD03NOV17	6377.00	6771.03	USD	9,850.75	
04AUG17	S	1	PD03NOV17	6365.00	6771.03	USD	-10,150.75	
04AUG17	S	1	PD03NOV17	6368.50	6771.03	USD	-10,063.25	
04AUG17	S	2	PD03NOV17	6361.50	6771.03	USD	-20,476.50	
04AUG17	B	1	PD03NOV17	6330.50	6771.03	USD	11,013.25	
04AUG17	B	1	PD03NOV17	6344.50	6771.03	USD	10,663.25	
04AUG17	B	1	PD03NOV17	6345.00	6771.03	USD	10,650.75	
04AUG17	S	1	PD03NOV17	6332.00	6771.03	USD	-10,975.75	
04AUG17	B	3	PD03NOV17	6342.50	6771.03	USD	32,139.75	
04AUG17	S	1	PD03NOV17	6334.50	6771.03	USD	-10,913.25	
04AUG17	S	1	PD03NOV17	6337.50	6771.03	USD	-10,838.25	
04AUG17	S	1	PD03NOV17	6340.50	6771.03	USD	-10,763.25	
04AUG17	S	1	PD03NOV17	6342.00	6771.03	USD	-10,725.75	
04AUG17	S	1	PD03NOV17	6342.50	6771.03	USD	-10,713.25	
04AUG17	B	1	PD03NOV17	6348.00	6771.03	USD	10,575.75	
04AUG17	S	1	PD03NOV17	6351.50	6771.03	USD	-10,488.25	
14AUG17	B	3	PD03NOV17	6393.09	6771.03	USD	28,345.50	
	NET	0 AVG BGHT 6356.30	SOLD 6347.41				-3,556.75	0.00
07AUG17	B	1	PD07NOV17	6340.50	6774.73	USD	10,855.75	
07AUG17	S	1	PD07NOV17	6368.50	6774.73	USD	-10,155.75	
07AUG17	B	2	PD07NOV17	6342.50	6774.73	USD	21,611.50	
07AUG17	B	3	PD07NOV17	6362.50	6774.73	USD	30,917.25	
07AUG17	B	1	PD07NOV17	6375.50	6774.73	USD	9,980.75	
07AUG17	B	1	PD07NOV17	6382.50	6774.73	USD	9,805.75	
07AUG17	B	1	PD07NOV17	6391.00	6774.73	USD	9,593.25	
07AUG17	B	1	PD07NOV17	6395.50	6774.73	USD	9,480.75	
07AUG17	B	1	PD07NOV17	6402.50	6774.73	USD	9,305.75	
07AUG17	S	1	PD07NOV17	6349.50	6774.73	USD	-10,630.75	
07AUG17	S	1	PD07NOV17	6348.00	6774.73	USD	-10,668.25	
07AUG17	S	1	PD07NOV17	6348.50	6774.73	USD	-10,655.75	
07AUG17	S	2	PD07NOV17	6349.00	6774.73	USD	-21,286.50	
07AUG17	S	2	PD07NOV17	6374.50	6774.73	USD	-20,011.50	
07AUG17	S	1	PD07NOV17	6364.00	6774.73	USD	-10,268.25	
07AUG17	S	1	PD07NOV17	6370.00	6774.73	USD	-10,118.25	
07AUG17	S	1	PD07NOV17	6375.00	6774.73	USD	-9,993.25	
07AUG17	B	1	PD07NOV17	6383.00	6774.73	USD	9,793.25	
07AUG17	S	1	PD07NOV17	6398.00	6774.73	USD	-9,418.25	
07AUG17	B	1	PD07NOV17	6408.50	6774.73	USD	9,155.75	
07AUG17	B	1	PD07NOV17	6422.50	6774.73	USD	8,805.75	
07AUG17	S	1	PD07NOV17	6418.00	6774.73	USD	-8,918.25	
07AUG17	S	1	PD07NOV17	6432.00	6774.73	USD	-8,568.25	
07AUG17	B	1	PD07NOV17	6415.50	6774.73	USD	8,980.75	
07AUG17	B	1	PD07NOV17	6423.00	6774.73	USD	8,793.25	
07AUG17	S	1	PD07NOV17	6429.00	6774.73	USD	-8,643.25	
07AUG17	B	1	PD07NOV17	6405.50	6774.73	USD	9,230.75	
07AUG17	S	1	PD07NOV17	6400.00	6774.73	USD	-9,368.25	
07AUG17	S	1	PD07NOV17	6388.50	6774.73	USD	-9,655.75	
07AUG17	B	1	PD07NOV17	6413.50	6774.73	USD	9,030.75	
07AUG17	B	1	PD07NOV17	6414.00	6774.73	USD	9,018.25	

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07AUG17	B	1	PD07NOV17		6417.00	6774.73	USD	8,943.25	
14AUG17	S	3	PD07NOV17		6394.31	6774.73	USD	-28,531.50	
	NET	0 AVG	BGHT 6388.13	SOLD 6380.95				-3,589.25	0.00
08AUG17	B	1	PD08NOV17		6396.00	6775.65	USD	9,491.25	
08AUG17	S	1	PD08NOV17		6404.00	6775.65	USD	-9,291.25	
08AUG17	B	1	PD08NOV17		6380.50	6775.65	USD	9,878.75	
08AUG17	S	1	PD08NOV17		6381.00	6775.65	USD	-9,866.25	
	NET	0 AVG	BGHT 6388.25	SOLD 6392.50				212.50	0.00
09AUG17	B	2	PD09NOV17		6501.00	6776.58	USD	13,779.00	
09AUG17	S	1	PD09NOV17		6505.50	6776.58	USD	-6,777.00	
09AUG17	S	1	PD09NOV17		6508.00	6776.58	USD	-6,714.50	
09AUG17	S	3	PD09NOV17		6509.50	6776.58	USD	-20,031.00	
23AUG17	B	3	PD09NOV17		6563.50	6776.58	USD	15,981.00	
	NET	0 AVG	BGHT 6538.50	SOLD 6508.40				-3,762.50	0.00
10AUG17	B	1	PD10NOV17		6434.00	6777.50	USD	8,587.50	
10AUG17	B	1	PD10NOV17		6400.50	6777.50	USD	9,425.00	
10AUG17	B	1	PD10NOV17		6410.50	6777.50	USD	9,175.00	
11AUG17	B	1	PD10NOV17		6351.00	6777.50	USD	10,662.50	
11AUG17	S	1	PD10NOV17		6342.00	6777.50	USD	-10,887.50	
11AUG17	B	1	PD10NOV17		6356.00	6777.50	USD	10,537.50	
11AUG17	B	1	PD10NOV17		6367.00	6777.50	USD	10,262.50	
11AUG17	S	1	PD10NOV17		6357.00	6777.50	USD	-10,512.50	
11AUG17	S	1	PD10NOV17		6363.00	6777.50	USD	-10,362.50	
11AUG17	B	1	PD10NOV17		6355.00	6777.50	USD	10,562.50	
11AUG17	S	1	PD10NOV17		6360.00	6777.50	USD	-10,437.50	
11AUG17	B	1	PD10NOV17		6372.50	6777.50	USD	10,125.00	
11AUG17	B	1	PD10NOV17		6374.00	6777.50	USD	10,087.50	
11AUG17	S	2	PD10NOV17		6378.00	6777.50	USD	-19,975.00	
11AUG17	S	1	PD10NOV17		6383.00	6777.50	USD	-9,862.50	
11AUG17	B	1	PD10NOV17		6407.50	6777.50	USD	9,250.00	
11AUG17	S	1	PD10NOV17		6414.50	6777.50	USD	-9,075.00	
11AUG17	B	1	PD10NOV17		6415.00	6777.50	USD	9,062.50	
11AUG17	S	1	PD10NOV17		6408.50	6777.50	USD	-9,225.00	
11AUG17	S	1	PD10NOV17		6409.00	6777.50	USD	-9,212.50	
11AUG17	S	1	PD10NOV17		6421.00	6777.50	USD	-8,912.50	
	NET	0 AVG	BGHT 6385.73	SOLD 6383.09				-725.00	0.00
14AUG17	S	1	PD14NOV17		6398.00	6776.83	USD	-9,470.75	
14AUG17	B	1	PD14NOV17		6404.00	6776.83	USD	9,320.75	
14AUG17	S	1	PD14NOV17		6408.00	6776.83	USD	-9,220.75	
14AUG17	B	1	PD14NOV17		6411.50	6776.83	USD	9,133.25	
14AUG17	S	1	PD14NOV17		6414.50	6776.83	USD	-9,058.25	
14AUG17	B	1	PD14NOV17		6408.00	6776.83	USD	9,220.75	
	NET	0 AVG	BGHT 6407.83	SOLD 6406.83				-75.00	0.00
15AUG17	S	1	PD15NOV17		6417.50	6776.50	USD	-8,975.00	
15AUG17	B	1	PD15NOV17		6411.50	6776.50	USD	9,125.00	
15AUG17	B	1	PD15NOV17		6429.50	6776.50	USD	8,675.00	
15AUG17	S	1	PD15NOV17		6429.50	6776.50	USD	-8,675.00	
15AUG17	S	1	PD15NOV17		6426.50	6776.50	USD	-8,750.00	
15AUG17	B	1	PD15NOV17		6421.50	6776.50	USD	8,875.00	
15AUG17	S	1	PD15NOV17		6379.50	6776.50	USD	-9,925.00	
15AUG17	B	1	PD15NOV17		6380.00	6776.50	USD	9,912.50	
	NET	0 AVG	BGHT 6410.63	SOLD 6413.25				262.50	0.00
16AUG17	B	1	PD16NOV17		6387.50	6776.25	USD	9,718.75	
16AUG17	B	4	PD16NOV17		6402.00	6776.25	USD	37,425.00	
16AUG17	S	1	PD16NOV17		6408.00	6776.25	USD	-9,206.25	
16AUG17	S	1	PD16NOV17		6408.50	6776.25	USD	-9,193.75	

OPEN POSITION STATEMENT



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SAP0086D

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6492.50	6776.25	USD	7,093.75	
16AUG17	S	1	PD16NOV17	6489.00	6776.25	USD	-7,181.25	
16AUG17	B	2	PD16NOV17	6502.50	6776.25	USD	13,687.50	
16AUG17	S	1	PD16NOV17	6511.00	6776.25	USD	-6,631.25	
16AUG17	S	1	PD16NOV17	6514.00	6776.25	USD	-6,556.25	
16AUG17	B	4	PD16NOV17	6562.00	6776.25	USD	21,425.00	
16AUG17	S	2	PD16NOV17	6558.50	6776.25	USD	-10,887.50	
16AUG17	S	1	PD16NOV17	6568.00	6776.25	USD	-5,206.25	
16AUG17	S	1	PD16NOV17	6573.00	6776.25	USD	-5,081.25	
23AUG17	S	3	PD16NOV17	6563.30	6776.25	USD	-15,971.25	
	NET	0 AVG BGHT	6478.42	SOLD	6523.20		13,435.00	0.00
17AUG17	B	1	PD17NOV17	6483.00	6776.78	USD	7,344.50	
17AUG17	B	1	PD17NOV17	6485.50	6776.78	USD	7,282.00	
17AUG17	S	1	PD17NOV17	6489.50	6776.78	USD	-7,182.00	
17AUG17	B	1	PD17NOV17	6480.50	6776.78	USD	7,407.00	
17AUG17	B	3	PD17NOV17	6481.50	6776.78	USD	22,146.00	
17AUG17	B	1	PD17NOV17	6491.00	6776.78	USD	7,144.50	
17AUG17	S	1	PD17NOV17	6487.00	6776.78	USD	-7,244.50	
17AUG17	S	2	PD17NOV17	6488.00	6776.78	USD	-14,439.00	
17AUG17	B	1	PD17NOV17	6481.00	6776.78	USD	7,394.50	
17AUG17	S	3	PD17NOV17	6478.50	6776.78	USD	-22,371.00	
17AUG17	S	1	PD17NOV17	6495.00	6776.78	USD	-7,044.50	
	NET	0 AVG BGHT	6483.19	SOLD	6485.38		437.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD13OCT17	2299.00	2552.75	USD	-6,343.75	
13JUL17	B	1	PD13OCT17	2293.00	2552.75	USD	6,493.75	
	NET	0 AVG BGHT	2293.00	SOLD	2299.00		150.00	0.00
28JUL17	B	1	PD27OCT17	2302.50	2556.04	USD	6,338.50	
28JUL17	S	1	PD27OCT17	2297.00	2556.04	USD	-6,476.00	
	NET	0 AVG BGHT	2302.50	SOLD	2297.00		-137.50	0.00
02AUG17	B	1	PD02NOV17	2352.50	2556.89	USD	5,109.75	
07AUG17	S	1	PD02NOV17	2360.13	2556.89	USD	-4,919.00	
	NET	0 AVG BGHT	2352.50	SOLD	2360.13		190.75	0.00
03AUG17	S	1	PD03NOV17	2378.00	2557.04	USD	-4,476.00	
07AUG17	B	1	PD03NOV17	2360.51	2557.04	USD	4,913.25	
	NET	0 AVG BGHT	2360.51	SOLD	2378.00		437.25	0.00
16AUG17	S	1	PD16NOV17	2403.50	2558.75	USD	-3,881.25	
16AUG17	B	1	PD16NOV17	2413.00	2558.75	USD	3,643.75	
16AUG17	S	1	PD16NOV17	2488.00	2558.75	USD	-1,768.75	
16AUG17	B	1	PD16NOV17	2495.50	2558.75	USD	1,581.25	
	NET	0 AVG BGHT	2454.25	SOLD	2445.75		-425.00	0.00
17AUG17	B	1	PD17NOV17	2461.00	2558.75	USD	2,443.75	
17AUG17	S	1	PD17NOV17	2459.00	2558.75	USD	-2,493.75	
	NET	0 AVG BGHT	2461.00	SOLD	2459.00		-50.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	10255.00	11084.29	USD	4,975.74	
07AUG17	S	1	PD07NOV17	10245.00	11084.29	USD	-5,035.74	
07AUG17	S	1	PD07NOV17	10360.00	11084.29	USD	-4,345.74	
07AUG17	B	1	PD07NOV17	10385.00	11084.29	USD	4,195.74	
	NET	0 AVG BGHT	10320.00	SOLD	10302.50		-210.00	0.00
08AUG17	B	1	PD08NOV17	10350.00	11085.25	USD	4,411.50	
08AUG17	S	1	PD08NOV17	10320.00	11085.25	USD	-4,591.50	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT	10350.00				-180.00	0.00
11AUG17	B	1	PD10NOV17	10630.00	11087.18	USD	2,743.08	
11AUG17	B	1	PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	S	2	PD10NOV17	10690.00	11087.18	USD	-4,766.16	
	NET	0 AVG BGHT	10645.00				540.00	0.00
16AUG17	B	1	PD16NOV17	10760.00	11092.77	USD	1,996.62	
16AUG17	S	1	PD16NOV17	10750.00	11092.77	USD	-2,056.62	
	NET	0 AVG BGHT	10760.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD24OCT17	20160.00	20982.00	USD	4,110.00	
24JUL17	S	1	PD24OCT17	20145.00	20982.00	USD	-4,185.00	
	NET	0 AVG BGHT	20160.00				-75.00	0.00
26JUL17	B	2	PD26OCT17	20225.00	20978.00	USD	7,530.00	
26JUL17	S	1	PD26OCT17	20300.00	20978.00	USD	-3,390.00	
26JUL17	S	1	PD26OCT17	20295.00	20978.00	USD	-3,415.00	
	NET	0 AVG BGHT	20225.00				725.00	0.00
27JUL17	S	1	PD27OCT17	20480.00	20976.00	USD	-2,480.00	
27JUL17	B	1	PD27OCT17	20530.00	20976.00	USD	2,230.00	
28JUL17	S	1	PD27OCT17	20600.00	20976.00	USD	-1,880.00	
28JUL17	B	1	PD27OCT17	20565.00	20976.00	USD	2,055.00	
	NET	0 AVG BGHT	20547.50				-75.00	0.00
04AUG17	B	1	PD03NOV17	20700.00	20966.00	USD	1,330.00	
04AUG17	S	1	PD03NOV17	20730.00	20966.00	USD	-1,180.00	
	NET	0 AVG BGHT	20700.00				150.00	0.00
07AUG17	S	1	PD07NOV17	20650.00	20962.00	USD	-1,560.00	
07AUG17	S	1	PD07NOV17	20660.00	20962.00	USD	-1,510.00	
07AUG17	B	1	PD07NOV17	20620.00	20962.00	USD	1,710.00	
07AUG17	B	1	PD07NOV17	20615.00	20962.00	USD	1,735.00	
	NET	0 AVG BGHT	20617.50				375.00	0.00
08AUG17	B	1	PD08NOV17	20405.00	20960.00	USD	2,775.00	
08AUG17	S	1	PD08NOV17	20440.00	20960.00	USD	-2,600.00	
08AUG17	S	1	PD08NOV17	20465.00	20960.00	USD	-2,475.00	
08AUG17	B	1	PD08NOV17	20515.00	20960.00	USD	2,225.00	
08AUG17	S	1	PD08NOV17	20590.00	20960.00	USD	-1,850.00	
08AUG17	B	2	PD08NOV17	20425.00	20960.00	USD	5,350.00	
08AUG17	S	1	PD08NOV17	20445.00	20960.00	USD	-2,575.00	
08AUG17	B	2	PD08NOV17	20310.00	20960.00	USD	6,500.00	
08AUG17	S	1	PD08NOV17	20290.00	20960.00	USD	-3,350.00	
08AUG17	B	1	PD08NOV17	20315.00	20960.00	USD	3,225.00	
08AUG17	B	1	PD08NOV17	20155.00	20960.00	USD	4,025.00	
08AUG17	S	1	PD08NOV17	20230.00	20960.00	USD	-3,650.00	
08AUG17	S	1	PD08NOV17	20210.00	20960.00	USD	-3,750.00	
08AUG17	S	1	PD08NOV17	20285.00	20960.00	USD	-3,375.00	
	NET	0 AVG BGHT	20357.50				475.00	0.00
11AUG17	B	1	PD10NOV17	20220.00	20956.00	USD	3,680.00	
11AUG17	S	1	PD10NOV17	20270.00	20956.00	USD	-3,430.00	
	NET	0 AVG BGHT	20220.00				250.00	0.00
15AUG17	S	1	PD15NOV17	20345.00	20950.00	USD	-3,025.00	
15AUG17	B	1	PD15NOV17	20310.00	20950.00	USD	3,200.00	
15AUG17	B	1	PD15NOV17	19320.00	20950.00	USD	8,150.00	
15AUG17	S	1	PD15NOV17	19800.00	20950.00	USD	-5,750.00	
15AUG17	S	2	PD15NOV17	19980.00	20950.00	USD	-9,700.00	
15AUG17	S	1	PD15NOV17	20150.00	20950.00	USD	-4,000.00	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
15AUG17	B 1 PD15NOV17	20075.00	20950.00	USD	4,375.00	
15AUG17	B 1 PD15NOV17	19225.00	20950.00	USD	8,625.00	
15AUG17	B 1 PD15NOV17	19300.00	20950.00	USD	8,250.00	
	NET 0AVG BGHT 19646.00 SOLD 20051.00				10,125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	S 2 PD27OCT17	2797.50	3260.67	USD	-23,158.50	
27JUL17	B 2 PD27OCT17	2790.00	3260.67	USD	23,533.50	
	NET 0AVG BGHT 2790.00 SOLD 2797.50				375.00	0.00
09AUG17	B 1 PD09NOV17	2982.50	3248.44	USD	6,648.50	
09AUG17	B 1 PD09NOV17	2983.00	3248.44	USD	6,636.00	
09AUG17	S 1 PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	S 1 PD09NOV17	2979.50	3248.44	USD	-6,723.50	
	NET 0AVG BGHT 2982.75 SOLD 2973.50				-462.50	0.00
16AUG17	B 1 PD16NOV17	3013.00	3241.50	USD	5,712.50	
16AUG17	S 1 PD16NOV17	3008.50	3241.50	USD	-5,825.00	
16AUG17	S 1 PD16NOV17	3077.00	3241.50	USD	-4,112.50	
16AUG17	S 2 PD16NOV17	3078.00	3241.50	USD	-8,175.00	
16AUG17	B 3 PD16NOV17	3095.50	3241.50	USD	10,950.00	
16AUG17	B 2 PD16NOV17	3120.50	3241.50	USD	6,050.00	
16AUG17	S 1 PD16NOV17	3127.00	3241.50	USD	-2,862.50	
16AUG17	S 1 PD16NOV17	3128.00	3241.50	USD	-2,837.50	
	NET 0AVG BGHT 3090.08 SOLD 3082.75				-1,100.00	0.00
17AUG17	B 1 PD17NOV17	3092.50	3239.00	USD	3,662.50	
17AUG17	B 1 PD17NOV17	3094.00	3239.00	USD	3,625.00	
17AUG17	S 2 PD17NOV17	3089.00	3239.00	USD	-7,500.00	
	NET 0AVG BGHT 3093.25 SOLD 3089.00				-212.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-212.00
LME Lead 25 tonnes. This is an	FUTURES	165.50
LME Nickel 6 tonnes. This is	FUTURES	90.00
LME Tin 5 tonnes. This is an	FUTURES	11,950.00
LME Zinc 25 tonnes. This is	FUTURES	-1,400.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD -212.00	-212.00		
LEAD - Futures	USD 165.50	165.50		
NKL - Futures	USD 90.00	90.00		
TIN - Futures	USD 11,950.00	11,950.00		
ZINC - Futures	USD -1,400.00	-1,400.00	10,593.50	10,593.50

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	11,982.90	11,982.90
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	11,982.90	11,982.90
Initial Margins	0.00	0.00
Excess/(Shortage)	11,982.90	11,982.90
FOR INFORMATION ONLY		
Forward Unrealised P&L	10,593.50	10,593.50
Net Liquidating Value	22,576.40	22,576.40

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	2	PD130CT17	1922.00	2104.75	USD	-9,137.50	
13JUL17	B	2	PD130CT17	1919.00	2104.75	USD	9,287.50	
13JUL17	B	1	PD130CT17	1925.50	2104.75	USD	4,481.25	
13JUL17	B	1	PD130CT17	1936.00	2104.75	USD	4,218.75	
13JUL17	S	2	PD130CT17	1923.00	2104.75	USD	-9,087.50	
	NET	0AVG BGHT 1924.88	SOLD 1922.50				-237.50	0.00
14AUG17	S	1	PD14NOV17	2039.00	2115.79	USD	-1,919.75	
14AUG17	B	1	PD14NOV17	2028.50	2115.79	USD	2,182.25	
	NET	0AVG BGHT 2028.50	SOLD 2039.00				262.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5918.50	6755.20	USD	-20,917.50	
12JUL17	B	2	PD120CT17	5896.00	6755.20	USD	42,960.00	
12JUL17	B	1	PD120CT17	5897.00	6755.20	USD	21,455.00	
12JUL17	S	1	PD120CT17	5894.50	6755.20	USD	-21,517.50	
12JUL17	S	1	PD120CT17	5901.00	6755.20	USD	-21,355.00	
12JUL17	S	1	PD120CT17	5905.00	6755.20	USD	-21,255.00	
12JUL17	B	1	PD120CT17	5897.50	6755.20	USD	21,442.50	
12JUL17	B	1	PD120CT17	5895.00	6755.20	USD	21,505.00	
12JUL17	S	1	PD120CT17	5899.00	6755.20	USD	-21,405.00	
12JUL17	B	1	PD120CT17	5915.00	6755.20	USD	21,005.00	
12JUL17	S	1	PD120CT17	5909.00	6755.20	USD	-21,155.00	
12JUL17	B	1	PD120CT17	5912.00	6755.20	USD	21,080.00	
12JUL17	S	1	PD120CT17	5916.50	6755.20	USD	-20,967.50	
12JUL17	B	1	PD120CT17	5908.50	6755.20	USD	21,167.50	
12JUL17	S	1	PD120CT17	5907.50	6755.20	USD	-21,192.50	
12JUL17	S	1	PD120CT17	5902.50	6755.20	USD	-21,317.50	
12JUL17	B	1	PD120CT17	5899.00	6755.20	USD	21,405.00	
12JUL17	B	1	PD120CT17	5905.00	6755.20	USD	21,255.00	
12JUL17	S	1	PD120CT17	5903.00	6755.20	USD	-21,305.00	
	NET	0AVG BGHT 5902.10	SOLD 5905.65				887.50	0.00
13JUL17	B	1	PD130CT17	5921.00	6756.00	USD	20,875.00	
13JUL17	S	1	PD130CT17	5912.00	6756.00	USD	-21,100.00	
13JUL17	S	1	PD130CT17	5918.50	6756.00	USD	-20,937.50	
13JUL17	S	1	PD130CT17	5919.50	6756.00	USD	-20,912.50	
13JUL17	B	1	PD130CT17	5910.50	6756.00	USD	21,137.50	
13JUL17	S	1	PD130CT17	5917.00	6756.00	USD	-20,975.00	
13JUL17	B	1	PD130CT17	5892.50	6756.00	USD	21,587.50	
13JUL17	B	1	PD130CT17	5902.00	6756.00	USD	21,350.00	
13JUL17	S	1	PD130CT17	5890.00	6756.00	USD	-21,650.00	
13JUL17	B	1	PD130CT17	5887.00	6756.00	USD	21,725.00	
13JUL17	B	1	PD130CT17	5891.00	6756.00	USD	21,625.00	
13JUL17	B	1	PD130CT17	5881.00	6756.00	USD	21,875.00	
13JUL17	S	2	PD130CT17	5884.50	6756.00	USD	-43,575.00	
13JUL17	B	1	PD130CT17	5885.50	6756.00	USD	21,762.50	
13JUL17	S	1	PD130CT17	5879.50	6756.00	USD	-21,912.50	
13JUL17	S	1	PD130CT17	5864.50	6756.00	USD	-22,287.50	
13JUL17	S	1	PD130CT17	5863.50	6756.00	USD	-22,312.50	
14JUL17	S	1	PD130CT17	5874.00	6756.00	USD	-22,050.00	
14JUL17	B	1	PD130CT17	5881.00	6756.00	USD	21,875.00	
14JUL17	S	1	PD130CT17	5869.00	6756.00	USD	-22,175.00	
14JUL17	B	1	PD130CT17	5872.00	6756.00	USD	22,100.00	
14JUL17	B	1	PD130CT17	5873.00	6756.00	USD	22,075.00	
14JUL17	B	1	PD130CT17	5882.00	6756.00	USD	21,850.00	
14JUL17	B	1	PD130CT17	5885.50	6756.00	USD	21,762.50	
14JUL17	B	1	PD130CT17	5893.00	6756.00	USD	21,575.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	1	PD130CT17	5896.50	6756.00	USD	21,487.50	
14JUL17	B	1	PD130CT17	5906.00	6756.00	USD	21,250.00	
14JUL17	B	1	PD130CT17	5907.00	6756.00	USD	21,225.00	
14JUL17	S	1	PD130CT17	5901.00	6756.00	USD	-21,375.00	
14JUL17	S	2	PD130CT17	5912.00	6756.00	USD	-42,200.00	
14JUL17	B	1	PD130CT17	5895.50	6756.00	USD	21,512.50	
14JUL17	S	1	PD130CT17	5883.50	6756.00	USD	-21,812.50	
14JUL17	S	1	PD130CT17	5885.00	6756.00	USD	-21,775.00	
14JUL17	S	1	PD130CT17	5892.50	6756.00	USD	-21,587.50	
14JUL17	B	1	PD130CT17	5892.50	6756.00	USD	21,587.50	
14JUL17	B	1	PD130CT17	5902.50	6756.00	USD	21,337.50	
14JUL17	S	1	PD130CT17	5897.00	6756.00	USD	-21,475.00	
14JUL17	S	1	PD130CT17	5899.50	6756.00	USD	-21,412.50	
14JUL17	B	1	PD130CT17	5911.00	6756.00	USD	21,125.00	
14JUL17	S	1	PD130CT17	5900.00	6756.00	USD	-21,400.00	
14JUL17	S	1	PD130CT17	5917.00	6756.00	USD	-20,975.00	
14JUL17	B	1	PD130CT17	5913.00	6756.00	USD	21,075.00	
14JUL17	S	1	PD130CT17	5917.50	6756.00	USD	-20,962.50	
14JUL17	B	1	PD130CT17	5912.50	6756.00	USD	21,087.50	
14JUL17	B	1	PD130CT17	5923.00	6756.00	USD	20,825.00	
14JUL17	S	1	PD130CT17	5928.00	6756.00	USD	-20,700.00	
	NET	0AVG BGHT 5896.52	SOLD 5896.73				125.00	0.00
17JUL17	B	1	PD170CT17	5960.00	6759.20	USD	19,980.00	
17JUL17	S	1	PD170CT17	5952.50	6759.20	USD	-20,167.50	
17JUL17	B	1	PD170CT17	5952.00	6759.20	USD	20,180.00	
17JUL17	B	1	PD170CT17	5975.50	6759.20	USD	19,592.50	
17JUL17	S	1	PD170CT17	5949.00	6759.20	USD	-20,255.00	
17JUL17	S	1	PD170CT17	5952.00	6759.20	USD	-20,180.00	
17JUL17	S	1	PD170CT17	5955.00	6759.20	USD	-20,105.00	
17JUL17	S	1	PD170CT17	5979.50	6759.20	USD	-19,492.50	
17JUL17	B	1	PD170CT17	5976.50	6759.20	USD	19,567.50	
17JUL17	S	1	PD170CT17	5971.00	6759.20	USD	-19,705.00	
17JUL17	S	1	PD170CT17	5978.50	6759.20	USD	-19,517.50	
17JUL17	B	1	PD170CT17	6000.50	6759.20	USD	18,967.50	
17JUL17	B	1	PD170CT17	6002.00	6759.20	USD	18,930.00	
17JUL17	B	1	PD170CT17	6013.50	6759.20	USD	18,642.50	
	NET	0AVG BGHT 5982.86	SOLD 5962.50				-3,562.50	0.00
18JUL17	B	1	PD180CT17	5972.00	6760.00	USD	19,700.00	
18JUL17	B	1	PD180CT17	5985.00	6760.00	USD	19,375.00	
18JUL17	S	1	PD180CT17	5977.00	6760.00	USD	-19,575.00	
18JUL17	S	1	PD180CT17	5988.00	6760.00	USD	-19,300.00	
18JUL17	S	1	PD180CT17	5990.00	6760.00	USD	-19,250.00	
18JUL17	S	1	PD180CT17	5994.00	6760.00	USD	-19,150.00	
18JUL17	S	1	PD180CT17	5993.00	6760.00	USD	-19,175.00	
18JUL17	B	3	PD180CT17	5980.00	6760.00	USD	58,500.00	
18JUL17	S	1	PD180CT17	6008.00	6760.00	USD	-18,800.00	
18JUL17	S	1	PD180CT17	6009.00	6760.00	USD	-18,775.00	
24JUL17	B	2	PD180CT17	6027.50	6760.00	USD	36,625.00	
	NET	0AVG BGHT 5993.14	SOLD 5994.14				175.00	0.00
19JUL17	B	2	PD190CT17	5989.00	6760.88	USD	38,594.00	
19JUL17	B	1	PD190CT17	6016.00	6760.88	USD	18,622.00	
19JUL17	B	1	PD190CT17	6018.50	6760.88	USD	18,559.50	
19JUL17	S	1	PD190CT17	6014.00	6760.88	USD	-18,672.00	
19JUL17	S	2	PD190CT17	6014.50	6760.88	USD	-37,319.00	
19JUL17	S	1	PD190CT17	6015.00	6760.88	USD	-18,647.00	
19JUL17	S	1	PD190CT17	6016.00	6760.88	USD	-18,622.00	

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19JUL17	B	3	PD19OCT17	5997.00	6760.88	USD	57,291.00	
19JUL17	S	1	PD19OCT17	5997.50	6760.88	USD	-19,084.50	
19JUL17	B	1	PD19OCT17	5990.00	6760.88	USD	19,272.00	
19JUL17	S	1	PD19OCT17	5984.00	6760.88	USD	-19,422.00	
19JUL17	S	1	PD19OCT17	5987.50	6760.88	USD	-19,334.50	
19JUL17	S	1	PD19OCT17	5985.00	6760.88	USD	-19,397.00	
19JUL17	B	1	PD19OCT17	5991.50	6760.88	USD	19,234.50	
19JUL17	B	1	PD19OCT17	5982.00	6760.88	USD	19,472.00	
19JUL17	S	1	PD19OCT17	5979.00	6760.88	USD	-19,547.00	
	NET	0 AVG BGHT 5996.70	SOLD 6000.70				1,000.00	0.00
20JUL17	B	1	PD20OCT17	5991.00	6761.75	USD	19,268.75	
20JUL17	S	1	PD20OCT17	5982.00	6761.75	USD	-19,493.75	
20JUL17	S	1	PD20OCT17	5987.50	6761.75	USD	-19,356.25	
20JUL17	S	1	PD20OCT17	5983.00	6761.75	USD	-19,468.75	
20JUL17	B	4	PD20OCT17	5970.00	6761.75	USD	79,175.00	
20JUL17	S	1	PD20OCT17	5977.00	6761.75	USD	-19,618.75	
20JUL17	S	1	PD20OCT17	5979.00	6761.75	USD	-19,568.75	
20JUL17	B	1	PD20OCT17	5975.50	6761.75	USD	19,656.25	
20JUL17	S	1	PD20OCT17	5975.00	6761.75	USD	-19,668.75	
20JUL17	B	2	PD20OCT17	5969.00	6761.75	USD	39,637.50	
20JUL17	B	1	PD20OCT17	5970.50	6761.75	USD	19,781.25	
20JUL17	S	1	PD20OCT17	5953.00	6761.75	USD	-20,218.75	
20JUL17	B	1	PD20OCT17	5955.50	6761.75	USD	20,156.25	
20JUL17	S	1	PD20OCT17	5967.00	6761.75	USD	-19,868.75	
20JUL17	S	2	PD20OCT17	5974.50	6761.75	USD	-39,362.50	
20JUL17	S	1	PD20OCT17	5977.50	6761.75	USD	-19,606.25	
20JUL17	B	1	PD20OCT17	5977.00	6761.75	USD	19,618.75	
20JUL17	B	1	PD20OCT17	5978.50	6761.75	USD	19,581.25	
20JUL17	B	1	PD20OCT17	5981.00	6761.75	USD	19,518.75	
21JUL17	S	1	PD20OCT17	5985.00	6761.75	USD	-19,418.75	
21JUL17	B	2	PD20OCT17	6000.00	6761.75	USD	38,087.50	
21JUL17	B	2	PD20OCT17	6001.50	6761.75	USD	38,012.50	
21JUL17	B	1	PD20OCT17	6005.50	6761.75	USD	18,906.25	
21JUL17	S	1	PD20OCT17	6003.50	6761.75	USD	-18,956.25	
21JUL17	S	1	PD20OCT17	6004.00	6761.75	USD	-18,943.75	
21JUL17	S	1	PD20OCT17	6011.00	6761.75	USD	-18,768.75	
21JUL17	S	1	PD20OCT17	6012.00	6761.75	USD	-18,743.75	
21JUL17	B	1	PD20OCT17	6036.00	6761.75	USD	18,143.75	
21JUL17	S	1	PD20OCT17	6035.00	6761.75	USD	-18,168.75	
21JUL17	B	1	PD20OCT17	6032.00	6761.75	USD	18,243.75	
21JUL17	S	2	PD20OCT17	5983.00	6761.75	USD	-38,937.50	
21JUL17	B	1	PD20OCT17	6035.00	6761.75	USD	18,168.75	
24JUL17	S	2	PD20OCT17	6025.30	6761.75	USD	-36,822.50	
	NET	0 AVG BGHT 5988.50	SOLD 5990.34				965.00	0.00
24JUL17	S	2	PD24OCT17	6028.00	6765.25	USD	-36,862.50	
24JUL17	S	1	PD24OCT17	6029.00	6765.25	USD	-18,406.25	
24JUL17	B	1	PD24OCT17	6017.00	6765.25	USD	18,706.25	
24JUL17	B	1	PD24OCT17	6026.00	6765.25	USD	18,481.25	
24JUL17	B	1	PD24OCT17	6016.00	6765.25	USD	18,731.25	
	NET	0 AVG BGHT 6019.67	SOLD 6028.33				650.00	0.00
25JUL17	B	1	PD25OCT17	6073.50	6765.68	USD	17,304.50	
25JUL17	S	1	PD25OCT17	6066.00	6765.68	USD	-17,492.00	
25JUL17	S	1	PD25OCT17	6069.50	6765.68	USD	-17,404.50	
25JUL17	B	1	PD25OCT17	6097.00	6765.68	USD	16,717.00	
25JUL17	B	1	PD25OCT17	6101.50	6765.68	USD	16,604.50	
25JUL17	B	2	PD25OCT17	6103.00	6765.68	USD	33,134.00	

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25JUL17	B	1	PD250CT17	6121.00	6765.68	USD	16,117.00	
25JUL17	S	1	PD250CT17	6084.50	6765.68	USD	-17,029.50	
25JUL17	S	1	PD250CT17	6094.50	6765.68	USD	-16,779.50	
25JUL17	S	1	PD250CT17	6096.50	6765.68	USD	-16,729.50	
25JUL17	S	1	PD250CT17	6099.00	6765.68	USD	-16,667.00	
25JUL17	S	1	PD250CT17	6122.00	6765.68	USD	-16,092.00	
25JUL17	S	1	PD250CT17	6123.00	6765.68	USD	-16,067.00	
25JUL17	S	1	PD250CT17	6129.00	6765.68	USD	-15,917.00	
25JUL17	B	1	PD250CT17	6117.00	6765.68	USD	16,217.00	
25JUL17	S	1	PD250CT17	6144.50	6765.68	USD	-15,529.50	
25JUL17	B	1	PD250CT17	6181.50	6765.68	USD	14,604.50	
25JUL17	S	1	PD250CT17	6188.00	6765.68	USD	-14,442.00	
25JUL17	S	1	PD250CT17	6184.00	6765.68	USD	-14,542.00	
25JUL17	B	3	PD250CT17	6180.00	6765.68	USD	43,926.00	
25JUL17	B	2	PD250CT17	6205.00	6765.68	USD	28,034.00	
25JUL17	S	1	PD250CT17	6218.00	6765.68	USD	-13,692.00	
25JUL17	S	1	PD250CT17	6227.00	6765.68	USD	-13,467.00	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	S	1	PD250CT17	6229.00	6765.68	USD	-13,417.00	
25JUL17	B	1	PD250CT17	6221.00	6765.68	USD	13,617.00	
25JUL17	B	1	PD250CT17	6202.50	6765.68	USD	14,079.50	
25JUL17	S	1	PD250CT17	6199.00	6765.68	USD	-14,167.00	
	NET	0 AVG BGHT 6155.38	SOLD 6142.09				-5,312.50	0.00
26JUL17	S	2	PD260CT17	6266.00	6766.11	USD	-25,005.50	
26JUL17	B	1	PD260CT17	6335.00	6766.11	USD	10,777.75	
26JUL17	S	1	PD260CT17	6295.00	6766.11	USD	-11,777.75	
26JUL17	S	1	PD260CT17	6344.50	6766.11	USD	-10,540.25	
26JUL17	B	1	PD260CT17	6292.00	6766.11	USD	11,852.75	
26JUL17	B	1	PD260CT17	6296.00	6766.11	USD	11,752.75	
26JUL17	B	1	PD260CT17	6322.00	6766.11	USD	11,102.75	
26JUL17	B	1	PD260CT17	6323.00	6766.11	USD	11,077.75	
26JUL17	B	1	PD260CT17	6333.00	6766.11	USD	10,827.75	
26JUL17	B	1	PD260CT17	6283.00	6766.11	USD	12,077.75	
26JUL17	S	1	PD260CT17	6277.00	6766.11	USD	-12,227.75	
26JUL17	S	1	PD260CT17	6315.00	6766.11	USD	-11,277.75	
26JUL17	B	1	PD260CT17	6329.00	6766.11	USD	10,927.75	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	S	1	PD260CT17	6340.50	6766.11	USD	-10,640.25	
26JUL17	B	1	PD260CT17	6313.00	6766.11	USD	11,327.75	
26JUL17	S	1	PD260CT17	6310.00	6766.11	USD	-11,402.75	
26JUL17	B	2	PD260CT17	6331.00	6766.11	USD	21,755.50	
26JUL17	S	3	PD260CT17	6338.00	6766.11	USD	-32,108.25	
26JUL17	B	1	PD260CT17	6345.00	6766.11	USD	10,527.75	
26JUL17	S	1	PD260CT17	6337.50	6766.11	USD	-10,715.25	
26JUL17	B	1	PD260CT17	6330.00	6766.11	USD	10,902.75	
	NET	0 AVG BGHT 6320.23	SOLD 6315.81				-1,437.50	0.00
27JUL17	S	1	PD270CT17	6304.50	6766.54	USD	-11,551.00	
27JUL17	S	1	PD270CT17	6318.50	6766.54	USD	-11,201.00	
27JUL17	S	1	PD270CT17	6344.00	6766.54	USD	-10,563.50	
27JUL17	B	1	PD270CT17	6372.00	6766.54	USD	9,863.50	
27JUL17	B	1	PD270CT17	6370.50	6766.54	USD	9,901.00	
27JUL17	B	1	PD270CT17	6356.50	6766.54	USD	10,251.00	
27JUL17	S	1	PD270CT17	6355.50	6766.54	USD	-10,276.00	
27JUL17	S	2	PD270CT17	6377.00	6766.54	USD	-19,477.00	
27JUL17	B	2	PD270CT17	6350.00	6766.54	USD	20,827.00	
27JUL17	B	1	PD270CT17	6375.00	6766.54	USD	9,788.50	
27JUL17	S	1	PD270CT17	6369.50	6766.54	USD	-9,926.00	

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27JUL17	B	1	PD27OCT17	6361.00	6766.54	USD	10,138.50	
27JUL17	B	1	PD27OCT17	6352.00	6766.54	USD	10,363.50	
27JUL17	S	1	PD27OCT17	6350.00	6766.54	USD	-10,413.50	
27JUL17	B	1	PD27OCT17	6339.00	6766.54	USD	10,688.50	
27JUL17	S	1	PD27OCT17	6339.00	6766.54	USD	-10,688.50	
27JUL17	B	1	PD27OCT17	6331.00	6766.54	USD	10,888.50	
27JUL17	S	1	PD27OCT17	6336.00	6766.54	USD	-10,763.50	
28JUL17	S	1	PD27OCT17	6330.00	6766.54	USD	-10,913.50	
28JUL17	B	1	PD27OCT17	6260.50	6766.54	USD	12,651.00	
28JUL17	B	1	PD27OCT17	6285.00	6766.54	USD	12,038.50	
28JUL17	S	1	PD27OCT17	6268.00	6766.54	USD	-12,463.50	
28JUL17	B	1	PD27OCT17	6306.00	6766.54	USD	11,513.50	
28JUL17	B	1	PD27OCT17	6310.50	6766.54	USD	11,401.00	
28JUL17	S	1	PD27OCT17	6298.00	6766.54	USD	-11,713.50	
28JUL17	S	1	PD27OCT17	6308.50	6766.54	USD	-11,451.00	
28JUL17	S	1	PD27OCT17	6321.00	6766.54	USD	-11,138.50	
28JUL17	B	1	PD27OCT17	6326.00	6766.54	USD	11,013.50	
28JUL17	S	1	PD27OCT17	6318.00	6766.54	USD	-11,213.50	
28JUL17	B	2	PD27OCT17	6312.00	6766.54	USD	22,727.00	
28JUL17	S	1	PD27OCT17	6319.00	6766.54	USD	-11,188.50	
28JUL17	B	1	PD27OCT17	6331.00	6766.54	USD	10,888.50	
28JUL17	S	1	PD27OCT17	6338.00	6766.54	USD	-10,713.50	
	NET	0 AVG BGHT 6333.33	SOLD 6331.75				-712.50	0.00
31JUL17	B	1	PD31OCT17	6402.00	6768.25	USD	9,156.25	
31JUL17	B	1	PD31OCT17	6403.00	6768.25	USD	9,131.25	
31JUL17	B	1	PD31OCT17	6421.00	6768.25	USD	8,681.25	
31JUL17	S	2	PD31OCT17	6344.50	6768.25	USD	-21,187.50	
31JUL17	S	1	PD31OCT17	6427.50	6768.25	USD	-8,518.75	
	NET	0 AVG BGHT 6408.67	SOLD 6372.17				-2,737.50	0.00
01AUG17	S	1	PD01NOV17	6336.00	6769.18	USD	-10,829.50	
01AUG17	B	1	PD01NOV17	6341.50	6769.18	USD	10,692.00	
01AUG17	B	1	PD01NOV17	6353.00	6769.18	USD	10,404.50	
01AUG17	S	1	PD01NOV17	6357.50	6769.18	USD	-10,292.00	
	NET	0 AVG BGHT 6347.25	SOLD 6346.75				-25.00	0.00
02AUG17	S	1	PD02NOV17	6349.00	6770.10	USD	-10,527.50	
02AUG17	S	1	PD02NOV17	6350.00	6770.10	USD	-10,502.50	
02AUG17	S	1	PD02NOV17	6330.00	6770.10	USD	-11,002.50	
02AUG17	B	1	PD02NOV17	6331.00	6770.10	USD	10,977.50	
02AUG17	B	1	PD02NOV17	6332.00	6770.10	USD	10,952.50	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	1	PD02NOV17	6343.00	6770.10	USD	10,677.50	
02AUG17	S	1	PD02NOV17	6342.00	6770.10	USD	-10,702.50	
02AUG17	S	1	PD02NOV17	6337.00	6770.10	USD	-10,827.50	
02AUG17	B	1	PD02NOV17	6335.50	6770.10	USD	10,865.00	
	NET	0 AVG BGHT 6335.50	SOLD 6341.60				762.50	0.00
03AUG17	S	1	PD03NOV17	6345.00	6771.03	USD	-10,650.75	
03AUG17	S	1	PD03NOV17	6369.50	6771.03	USD	-10,038.25	
03AUG17	B	1	PD03NOV17	6320.50	6771.03	USD	11,263.25	
03AUG17	B	1	PD03NOV17	6335.00	6771.03	USD	10,900.75	
03AUG17	B	1	PD03NOV17	6336.00	6771.03	USD	10,875.75	
03AUG17	S	1	PD03NOV17	6325.00	6771.03	USD	-11,150.75	
03AUG17	B	1	PD03NOV17	6322.00	6771.03	USD	11,225.75	
03AUG17	S	1	PD03NOV17	6327.00	6771.03	USD	-11,100.75	
03AUG17	S	1	PD03NOV17	6334.00	6771.03	USD	-10,925.75	
03AUG17	B	1	PD03NOV17	6319.00	6771.03	USD	11,300.75	
03AUG17	S	1	PD03NOV17	6355.00	6771.03	USD	-10,400.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6380.00	6771.03	USD	19,551.50	
04AUG17	B	1	PD03NOV17	6381.50	6771.03	USD	9,738.25	
04AUG17	S	1	PD03NOV17	6379.50	6771.03	USD	-9,788.25	
04AUG17	S	1	PD03NOV17	6380.00	6771.03	USD	-9,775.75	
04AUG17	S	1	PD03NOV17	6368.50	6771.03	USD	-10,063.25	
04AUG17	S	1	PD03NOV17	6369.00	6771.03	USD	-10,050.75	
04AUG17	B	1	PD03NOV17	6359.00	6771.03	USD	10,300.75	
04AUG17	S	2	PD03NOV17	6364.00	6771.03	USD	-20,351.50	
04AUG17	B	1	PD03NOV17	6355.00	6771.03	USD	10,400.75	
04AUG17	B	1	PD03NOV17	6331.00	6771.03	USD	11,000.75	
04AUG17	B	1	PD03NOV17	6346.50	6771.03	USD	10,613.25	
04AUG17	S	2	PD03NOV17	6338.00	6771.03	USD	-21,651.50	
04AUG17	S	1	PD03NOV17	6341.00	6771.03	USD	-10,750.75	
04AUG17	S	1	PD03NOV17	6358.50	6771.03	USD	-10,313.25	
04AUG17	B	1	PD03NOV17	6363.00	6771.03	USD	10,200.75	
04AUG17	B	2	PD03NOV17	6382.00	6771.03	USD	19,451.50	
04AUG17	S	2	PD03NOV17	6378.00	6771.03	USD	-19,651.50	
04AUG17	B	1	PD03NOV17	6371.00	6771.03	USD	10,000.75	
14AUG17	B	2	PD03NOV17	6393.09	6771.03	USD	18,897.00	
	NET	0 AVG BGHT 6358.32	SOLD 6356.22				-942.00	0.00
07AUG17	B	1	PD07NOV17	6366.00	6774.73	USD	10,218.25	
07AUG17	B	1	PD07NOV17	6404.50	6774.73	USD	9,255.75	
07AUG17	S	1	PD07NOV17	6349.00	6774.73	USD	-10,643.25	
07AUG17	S	1	PD07NOV17	6369.50	6774.73	USD	-10,130.75	
07AUG17	B	1	PD07NOV17	6344.00	6774.73	USD	10,768.25	
07AUG17	B	3	PD07NOV17	6362.00	6774.73	USD	30,954.75	
07AUG17	B	1	PD07NOV17	6363.00	6774.73	USD	10,293.25	
07AUG17	B	1	PD07NOV17	6363.50	6774.73	USD	10,280.75	
07AUG17	B	1	PD07NOV17	6383.00	6774.73	USD	9,793.25	
07AUG17	B	1	PD07NOV17	6401.50	6774.73	USD	9,330.75	
07AUG17	B	1	PD07NOV17	6412.50	6774.73	USD	9,055.75	
07AUG17	B	1	PD07NOV17	6413.50	6774.73	USD	9,030.75	
07AUG17	S	2	PD07NOV17	6369.00	6774.73	USD	-20,286.50	
07AUG17	S	2	PD07NOV17	6408.00	6774.73	USD	-18,336.50	
07AUG17	S	1	PD07NOV17	6414.00	6774.73	USD	-9,018.25	
07AUG17	S	1	PD07NOV17	6427.00	6774.73	USD	-8,693.25	
07AUG17	B	2	PD07NOV17	6342.00	6774.73	USD	21,636.50	
07AUG17	B	2	PD07NOV17	6343.00	6774.73	USD	21,586.50	
07AUG17	B	1	PD07NOV17	6346.00	6774.73	USD	10,718.25	
07AUG17	B	1	PD07NOV17	6351.50	6774.73	USD	10,580.75	
07AUG17	B	2	PD07NOV17	6355.00	6774.73	USD	20,986.50	
07AUG17	S	1	PD07NOV17	6339.00	6774.73	USD	-10,893.25	
07AUG17	S	1	PD07NOV17	6342.00	6774.73	USD	-10,818.25	
07AUG17	S	2	PD07NOV17	6349.50	6774.73	USD	-21,261.50	
07AUG17	S	1	PD07NOV17	6352.00	6774.73	USD	-10,568.25	
07AUG17	S	1	PD07NOV17	6367.00	6774.73	USD	-10,193.25	
07AUG17	S	2	PD07NOV17	6368.00	6774.73	USD	-20,336.50	
07AUG17	S	1	PD07NOV17	6378.00	6774.73	USD	-9,918.25	
07AUG17	S	1	PD07NOV17	6410.00	6774.73	USD	-9,118.25	
07AUG17	B	1	PD07NOV17	6414.00	6774.73	USD	9,018.25	
07AUG17	S	2	PD07NOV17	6404.50	6774.73	USD	-18,511.50	
07AUG17	S	1	PD07NOV17	6418.00	6774.73	USD	-8,918.25	
07AUG17	B	1	PD07NOV17	6433.00	6774.73	USD	8,543.25	
07AUG17	S	1	PD07NOV17	6438.00	6774.73	USD	-8,418.25	
07AUG17	B	1	PD07NOV17	6396.00	6774.73	USD	9,468.25	
07AUG17	S	1	PD07NOV17	6400.00	6774.73	USD	-9,368.25	
07AUG17	B	2	PD07NOV17	6417.00	6774.73	USD	17,886.50	

OPEN POSITION STATEMENT



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SAP0086E

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>						
14AUG17	S	2 PD07NOV17	6394.31	6774.73	USD	-19,021.00	
	NET	0 AVG BGHT 6375.68 SOLD 6383.60				4,953.00	0.00
08AUG17	B	1 PD08NOV17	6405.00	6775.65	USD	9,266.25	
08AUG17	S	1 PD08NOV17	6392.00	6775.65	USD	-9,591.25	
08AUG17	B	1 PD08NOV17	6453.50	6775.65	USD	8,053.75	
08AUG17	S	1 PD08NOV17	6458.00	6775.65	USD	-7,941.25	
	NET	0 AVG BGHT 6429.25 SOLD 6425.00				-212.50	0.00
09AUG17	B	1 PD09NOV17	6503.00	6776.58	USD	6,839.50	
09AUG17	S	1 PD09NOV17	6507.00	6776.58	USD	-6,739.50	
09AUG17	S	1 PD09NOV17	6509.00	6776.58	USD	-6,689.50	
09AUG17	S	1 PD09NOV17	6510.00	6776.58	USD	-6,664.50	
09AUG17	S	1 PD09NOV17	6503.00	6776.58	USD	-6,839.50	
23AUG17	B	3 PD09NOV17	6563.50	6776.58	USD	15,981.00	
	NET	0 AVG BGHT 6548.38 SOLD 6507.25				-4,112.50	0.00
10AUG17	B	1 PD10NOV17	6463.00	6777.50	USD	7,862.50	
10AUG17	S	1 PD10NOV17	6458.00	6777.50	USD	-7,987.50	
10AUG17	B	1 PD10NOV17	6425.00	6777.50	USD	8,812.50	
10AUG17	S	1 PD10NOV17	6434.50	6777.50	USD	-8,575.00	
10AUG17	B	1 PD10NOV17	6402.00	6777.50	USD	9,387.50	
10AUG17	B	1 PD10NOV17	6405.50	6777.50	USD	9,300.00	
10AUG17	B	1 PD10NOV17	6415.00	6777.50	USD	9,062.50	
11AUG17	B	1 PD10NOV17	6345.50	6777.50	USD	10,800.00	
11AUG17	S	1 PD10NOV17	6343.00	6777.50	USD	-10,862.50	
11AUG17	B	1 PD10NOV17	6358.00	6777.50	USD	10,487.50	
11AUG17	S	1 PD10NOV17	6363.50	6777.50	USD	-10,350.00	
11AUG17	B	1 PD10NOV17	6355.00	6777.50	USD	10,562.50	
11AUG17	S	1 PD10NOV17	6360.00	6777.50	USD	-10,437.50	
11AUG17	B	1 PD10NOV17	6372.00	6777.50	USD	10,137.50	
11AUG17	S	1 PD10NOV17	6377.50	6777.50	USD	-10,000.00	
11AUG17	S	1 PD10NOV17	6381.50	6777.50	USD	-9,900.00	
11AUG17	S	1 PD10NOV17	6421.00	6777.50	USD	-8,912.50	
11AUG17	S	1 PD10NOV17	6420.00	6777.50	USD	-8,937.50	
	NET	0 AVG BGHT 6393.44 SOLD 6395.44				450.00	0.00
14AUG17	B	1 PD14NOV17	6391.00	6776.83	USD	9,645.75	
14AUG17	B	1 PD14NOV17	6392.00	6776.83	USD	9,620.75	
14AUG17	B	1 PD14NOV17	6393.00	6776.83	USD	9,595.75	
23AUG17	S	3 PD14NOV17	6565.30	6776.83	USD	-15,864.75	
	NET	0 AVG BGHT 6392.00 SOLD 6565.30				12,997.50	0.00
15AUG17	S	1 PD15NOV17	6416.50	6776.50	USD	-9,000.00	
15AUG17	B	1 PD15NOV17	6411.00	6776.50	USD	9,137.50	
15AUG17	B	1 PD15NOV17	6412.00	6776.50	USD	9,112.50	
15AUG17	S	2 PD15NOV17	6417.00	6776.50	USD	-17,975.00	
15AUG17	B	1 PD15NOV17	6377.00	6776.50	USD	9,987.50	
	NET	0 AVG BGHT 6400.00 SOLD 6416.83				1,262.50	0.00
16AUG17	S	1 PD16NOV17	6390.00	6776.25	USD	-9,656.25	
16AUG17	B	1 PD16NOV17	6401.00	6776.25	USD	9,381.25	
16AUG17	S	1 PD16NOV17	6399.50	6776.25	USD	-9,418.75	
16AUG17	B	1 PD16NOV17	6435.50	6776.25	USD	8,518.75	
16AUG17	B	2 PD16NOV17	6505.00	6776.25	USD	13,562.50	
16AUG17	B	1 PD16NOV17	6521.50	6776.25	USD	6,368.75	
16AUG17	S	1 PD16NOV17	6505.00	6776.25	USD	-6,781.25	
16AUG17	S	1 PD16NOV17	6514.00	6776.25	USD	-6,556.25	
16AUG17	S	1 PD16NOV17	6520.50	6776.25	USD	-6,393.75	
16AUG17	B	1 PD16NOV17	6535.00	6776.25	USD	6,031.25	
16AUG17	S	1 PD16NOV17	6543.50	6776.25	USD	-5,818.75	
	NET	0 AVG BGHT 6483.83 SOLD 6478.75					0.00

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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
					-762.50	
17AUG17	B 1 PD17NOV17	6485.00	6776.78	USD	7,294.50	
17AUG17	S 1 PD17NOV17	6494.50	6776.78	USD	-7,057.00	
17AUG17	B 1 PD17NOV17	6481.00	6776.78	USD	7,394.50	
17AUG17	B 1 PD17NOV17	6491.50	6776.78	USD	7,132.00	
17AUG17	S 1 PD17NOV17	6477.50	6776.78	USD	-7,482.00	
17AUG17	S 1 PD17NOV17	6487.00	6776.78	USD	-7,244.50	
17AUG17	B 1 PD17NOV17	6483.00	6776.78	USD	7,344.50	
17AUG17	S 1 PD17NOV17	6479.50	6776.78	USD	-7,432.00	
	NET 0AVG BGHT 6485.13 SOLD 6484.63				-50.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD13OCT17	2301.50	2552.75	USD	-6,281.25	
14JUL17	B 1 PD13OCT17	2304.50	2552.75	USD	6,206.25	
	NET 0AVG BGHT 2304.50 SOLD 2301.50				-75.00	0.00
04AUG17	B 1 PD03NOV17	2370.00	2557.04	USD	4,676.00	
04AUG17	S 1 PD03NOV17	2367.00	2557.04	USD	-4,751.00	
	NET 0AVG BGHT 2370.00 SOLD 2367.00				-75.00	0.00
09AUG17	B 1 PD09NOV17	2375.00	2557.89	USD	4,572.25	
09AUG17	S 1 PD09NOV17	2368.00	2557.89	USD	-4,747.25	
	NET 0AVG BGHT 2375.00 SOLD 2368.00				-175.00	0.00
14AUG17	S 1 PD14NOV17	2345.50	2558.61	USD	-5,327.75	
23AUG17	B 1 PD14NOV17	2375.00	2558.61	USD	4,590.25	
	NET 0AVG BGHT 2375.00 SOLD 2345.50				-737.50	0.00
15AUG17	B 1 PD15NOV17	2361.00	2558.75	USD	4,943.75	
23AUG17	S 1 PD15NOV17	2375.05	2558.75	USD	-4,592.50	
	NET 0AVG BGHT 2361.00 SOLD 2375.05				351.25	0.00
16AUG17	B 1 PD16NOV17	2445.50	2558.75	USD	2,831.25	
16AUG17	S 1 PD16NOV17	2443.50	2558.75	USD	-2,881.25	
16AUG17	S 1 PD16NOV17	2451.50	2558.75	USD	-2,681.25	
16AUG17	B 1 PD16NOV17	2492.00	2558.75	USD	1,668.75	
16AUG17	S 1 PD16NOV17	2490.00	2558.75	USD	-1,718.75	
16AUG17	S 1 PD16NOV17	2479.50	2558.75	USD	-1,981.25	
16AUG17	B 1 PD16NOV17	2497.00	2558.75	USD	1,543.75	
16AUG17	B 1 PD16NOV17	2501.00	2558.75	USD	1,443.75	
	NET 0AVG BGHT 2483.88 SOLD 2466.13				-1,775.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	B 1 PD20OCT17	9530.00	11066.93	USD	9,221.58	
07AUG17	S 1 PD20OCT17	10391.90	11066.93	USD	-4,050.18	
	NET 0AVG BGHT 9530.00 SOLD 10391.90				5,171.40	0.00
24JUL17	S 1 PD24OCT17	9640.00	11070.79	USD	-8,584.74	
07AUG17	B 1 PD24OCT17	10394.70	11070.79	USD	4,056.54	
	NET 0AVG BGHT 10394.70 SOLD 9640.00				-4,528.20	0.00
09AUG17	S 1 PD09NOV17	10795.00	11086.21	USD	-1,747.26	
14AUG17	B 1 PD09NOV17	10446.76	11086.21	USD	3,836.70	
	NET 0AVG BGHT 10446.76 SOLD 10795.00				2,089.44	0.00
10AUG17	B 1 PD10NOV17	10845.00	11087.18	USD	1,453.08	
14AUG17	S 1 PD10NOV17	10446.41	11087.18	USD	-3,844.62	
	NET 0AVG BGHT 10845.00 SOLD 10446.41				-2,391.54	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	20135.00	20988.00	USD	4,265.00	

OPEN POSITION STATEMENT



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SAP0086E

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	20090.00	20988.00	USD	-4,490.00	
	NET	0 AVG BGHT 20135.00	SOLD 20090.00				-225.00	0.00
20JUL17	S	1	PD20OCT17	20095.00	20986.00	USD	-4,455.00	
20JUL17	B	1	PD20OCT17	20080.00	20986.00	USD	4,530.00	
	NET	0 AVG BGHT 20080.00	SOLD 20095.00				75.00	0.00
24JUL17	B	2	PD24OCT17	20125.00	20982.00	USD	8,570.00	
24JUL17	S	1	PD24OCT17	20160.00	20982.00	USD	-4,110.00	
24JUL17	S	1	PD24OCT17	20140.00	20982.00	USD	-4,210.00	
	NET	0 AVG BGHT 20125.00	SOLD 20150.00				250.00	0.00
25JUL17	B	1	PD25OCT17	20145.00	20980.00	USD	4,175.00	
25JUL17	S	1	PD25OCT17	20190.00	20980.00	USD	-3,950.00	
	NET	0 AVG BGHT 20145.00	SOLD 20190.00				225.00	0.00
27JUL17	B	1	PD27OCT17	20410.00	20976.00	USD	2,830.00	
27JUL17	S	1	PD27OCT17	20445.00	20976.00	USD	-2,655.00	
27JUL17	B	1	PD27OCT17	20465.00	20976.00	USD	2,555.00	
27JUL17	S	1	PD27OCT17	20500.00	20976.00	USD	-2,380.00	
27JUL17	S	2	PD27OCT17	20750.00	20976.00	USD	-2,260.00	
27JUL17	B	1	PD27OCT17	20705.00	20976.00	USD	1,355.00	
27JUL17	B	1	PD27OCT17	20685.00	20976.00	USD	1,455.00	
27JUL17	B	1	PD27OCT17	20710.00	20976.00	USD	1,330.00	
27JUL17	S	1	PD27OCT17	20675.00	20976.00	USD	-1,505.00	
27JUL17	B	1	PD27OCT17	20680.00	20976.00	USD	1,480.00	
27JUL17	S	1	PD27OCT17	20650.00	20976.00	USD	-1,630.00	
28JUL17	S	1	PD27OCT17	20715.00	20976.00	USD	-1,305.00	
28JUL17	S	1	PD27OCT17	20725.00	20976.00	USD	-1,255.00	
28JUL17	B	1	PD27OCT17	20700.00	20976.00	USD	1,380.00	
28JUL17	S	1	PD27OCT17	20745.00	20976.00	USD	-1,155.00	
28JUL17	B	2	PD27OCT17	20810.00	20976.00	USD	1,660.00	
28JUL17	B	1	PD27OCT17	20870.00	20976.00	USD	530.00	
28JUL17	S	1	PD27OCT17	20865.00	20976.00	USD	-555.00	
28JUL17	B	1	PD27OCT17	20650.00	20976.00	USD	1,630.00	
28JUL17	S	1	PD27OCT17	20650.00	20976.00	USD	-1,630.00	
	NET	0 AVG BGHT 20681.36	SOLD 20679.09				-125.00	0.00
01AUG17	S	1	PD01NOV17	20600.00	20970.00	USD	-1,850.00	
01AUG17	B	1	PD01NOV17	20565.00	20970.00	USD	2,025.00	
01AUG17	B	1	PD01NOV17	20580.00	20970.00	USD	1,950.00	
01AUG17	S	1	PD01NOV17	20615.00	20970.00	USD	-1,775.00	
	NET	0 AVG BGHT 20572.50	SOLD 20607.50				350.00	0.00
04AUG17	B	1	PD03NOV17	20700.00	20966.00	USD	1,330.00	
04AUG17	S	1	PD03NOV17	20690.00	20966.00	USD	-1,380.00	
	NET	0 AVG BGHT 20700.00	SOLD 20690.00				-50.00	0.00
07AUG17	B	1	PD07NOV17	20615.00	20962.00	USD	1,735.00	
07AUG17	S	1	PD07NOV17	20615.00	20962.00	USD	-1,735.00	
	NET	0 AVG BGHT 20615.00	SOLD 20615.00				0.00	0.00
08AUG17	B	1	PD08NOV17	20245.00	20960.00	USD	3,575.00	
08AUG17	S	1	PD08NOV17	20240.00	20960.00	USD	-3,600.00	
08AUG17	B	2	PD08NOV17	20210.00	20960.00	USD	7,500.00	
08AUG17	S	1	PD08NOV17	20235.00	20960.00	USD	-3,625.00	
08AUG17	S	1	PD08NOV17	20275.00	20960.00	USD	-3,425.00	
	NET	0 AVG BGHT 20221.67	SOLD 20250.00				425.00	0.00
09AUG17	B	1	PD09NOV17	20300.00	20958.00	USD	3,290.00	
09AUG17	S	1	PD09NOV17	20295.00	20958.00	USD	-3,315.00	
	NET	0 AVG BGHT 20300.00	SOLD 20295.00				-25.00	0.00
10AUG17	B	2	PD10NOV17	20350.00	20956.00	USD	6,060.00	

OPEN POSITION STATEMENT



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SAP0086E

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
10AUG17	S	1	PD10NOV17		20375.00	20956.00	USD	-2,905.00	
10AUG17	S	1	PD10NOV17		20400.00	20956.00	USD	-2,780.00	
11AUG17	B	1	PD10NOV17		20240.00	20956.00	USD	3,580.00	
11AUG17	S	1	PD10NOV17		20250.00	20956.00	USD	-3,530.00	
	NET	0 AVG	BGHT 20313.33	SOLD 20341.67				425.00	0.00
14AUG17	B	1	PD14NOV17		20285.00	20952.00	USD	3,335.00	
14AUG17	S	1	PD14NOV17		20285.00	20952.00	USD	-3,335.00	
	NET	0 AVG	BGHT 20285.00	SOLD 20285.00				0.00	0.00
15AUG17	B	4	PD15NOV17		19570.00	20950.00	USD	27,600.00	
15AUG17	S	2	PD15NOV17		19955.00	20950.00	USD	-9,950.00	
15AUG17	S	2	PD15NOV17		19980.00	20950.00	USD	-9,700.00	
	NET	0 AVG	BGHT 19570.00	SOLD 19967.50				7,950.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
13JUL17	S	1	PD13OCT17		2809.00	3295.00	USD	-12,150.00	
13JUL17	B	1	PD13OCT17		2805.00	3295.00	USD	12,250.00	
	NET	0 AVG	BGHT 2805.00	SOLD 2809.00				100.00	0.00
25JUL17	B	1	PD25OCT17		2812.50	3264.00	USD	11,287.50	
25JUL17	S	1	PD25OCT17		2820.00	3264.00	USD	-11,100.00	
	NET	0 AVG	BGHT 2812.50	SOLD 2820.00				187.50	0.00
28JUL17	S	1	PD27OCT17		2789.00	3260.67	USD	-11,791.75	
28JUL17	B	1	PD27OCT17		2793.00	3260.67	USD	11,691.75	
	NET	0 AVG	BGHT 2793.00	SOLD 2789.00				-100.00	0.00
31JUL17	B	1	PD31OCT17		2800.00	3257.33	USD	11,433.25	
31JUL17	S	1	PD31OCT17		2798.50	3257.33	USD	-11,470.75	
	NET	0 AVG	BGHT 2800.00	SOLD 2798.50				-37.50	0.00
09AUG17	B	1	PD09NOV17		2981.50	3248.44	USD	6,673.50	
09AUG17	S	2	PD09NOV17		2979.00	3248.44	USD	-13,472.00	
09AUG17	B	1	PD09NOV17		2965.00	3248.44	USD	7,086.00	
	NET	0 AVG	BGHT 2973.25	SOLD 2979.00				287.50	0.00
16AUG17	S	1	PD16NOV17		2980.00	3241.50	USD	-6,537.50	
16AUG17	B	1	PD16NOV17		2985.50	3241.50	USD	6,400.00	
16AUG17	B	2	PD16NOV17		3041.50	3241.50	USD	10,000.00	
16AUG17	S	2	PD16NOV17		3038.50	3241.50	USD	-10,150.00	
16AUG17	S	1	PD16NOV17		3068.00	3241.50	USD	-4,337.50	
16AUG17	B	1	PD16NOV17		3096.00	3241.50	USD	3,637.50	
	NET	0 AVG	BGHT 3041.13	SOLD 3031.25				-987.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	25.00
LME Copper Grade A 25	FUTURES	4,361.00
LME Lead 25 tonnes. This is an	FUTURES	-2,486.25
LME Nickel 6 tonnes. This is	FUTURES	341.10
LME Tin 5 tonnes. This is an	FUTURES	9,275.00
LME Zinc 25 tonnes. This is	FUTURES	-550.00

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	25.00	25.00		
COPL	- Futures	USD	4,361.00	4,361.00		
LEAD	- Futures	USD	-2,486.25	-2,486.25		
NKL	- Futures	USD	341.10	341.10		
TIN	- Futures	USD	9,275.00	9,275.00		
ZINC	- Futures	USD	-550.00	-550.00	10,965.85	10,965.85

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	8,765.70	8,765.70
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	8,765.70	8,765.70
Initial Margins	0.00	0.00
Excess/(Shortage)	8,765.70	8,765.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	10,965.85	10,965.85
Net Liquidating Value	19,731.55	19,731.55

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD130CT17	1939.50	2104.75	USD	-4,131.25	
13JUL17	B	1	PD130CT17	1930.50	2104.75	USD	4,356.25	
	NET	0AVG BGHT 1930.50	SOLD 1939.50				225.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	5917.00	6755.20	USD	20,955.00	
12JUL17	S	1	PD120CT17	5911.00	6755.20	USD	-21,105.00	
12JUL17	S	1	PD120CT17	5917.00	6755.20	USD	-20,955.00	
12JUL17	B	1	PD120CT17	5894.50	6755.20	USD	21,517.50	
12JUL17	S	1	PD120CT17	5895.00	6755.20	USD	-21,505.00	
12JUL17	B	1	PD120CT17	5890.00	6755.20	USD	21,630.00	
12JUL17	B	1	PD120CT17	5893.00	6755.20	USD	21,555.00	
12JUL17	S	1	PD120CT17	5892.00	6755.20	USD	-21,580.00	
12JUL17	B	1	PD120CT17	5892.50	6755.20	USD	21,567.50	
12JUL17	B	1	PD120CT17	5915.00	6755.20	USD	21,005.00	
12JUL17	S	1	PD120CT17	5909.00	6755.20	USD	-21,155.00	
12JUL17	B	1	PD120CT17	5924.00	6755.20	USD	20,780.00	
12JUL17	B	1	PD120CT17	5910.00	6755.20	USD	21,130.00	
12JUL17	B	1	PD120CT17	5916.00	6755.20	USD	20,980.00	
12JUL17	S	1	PD120CT17	5910.00	6755.20	USD	-21,130.00	
12JUL17	S	2	PD120CT17	5900.00	6755.20	USD	-42,760.00	
12JUL17	S	1	PD120CT17	5925.00	6755.20	USD	-20,755.00	
	NET	0AVG BGHT 5905.78	SOLD 5906.56				175.00	0.00
13JUL17	S	2	PD130CT17	5917.00	6756.00	USD	-41,950.00	
13JUL17	S	1	PD130CT17	5921.00	6756.00	USD	-20,875.00	
13JUL17	B	1	PD130CT17	5912.00	6756.00	USD	21,100.00	
13JUL17	B	1	PD130CT17	5890.50	6756.00	USD	21,637.50	
14JUL17	S	1	PD130CT17	5874.50	6756.00	USD	-22,037.50	
14JUL17	B	1	PD130CT17	5883.00	6756.00	USD	21,825.00	
14JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
14JUL17	B	1	PD130CT17	5876.50	6756.00	USD	21,987.50	
14JUL17	B	1	PD130CT17	5885.00	6756.00	USD	21,775.00	
14JUL17	B	1	PD130CT17	5891.50	6756.00	USD	21,612.50	
14JUL17	B	1	PD130CT17	5895.50	6756.00	USD	21,512.50	
14JUL17	S	1	PD130CT17	5900.00	6756.00	USD	-21,400.00	
14JUL17	S	1	PD130CT17	5883.00	6756.00	USD	-21,825.00	
14JUL17	S	1	PD130CT17	5884.50	6756.00	USD	-21,787.50	
14JUL17	S	1	PD130CT17	5890.00	6756.00	USD	-21,650.00	
14JUL17	B	1	PD130CT17	5924.00	6756.00	USD	20,800.00	
14JUL17	S	1	PD130CT17	5928.00	6756.00	USD	-20,700.00	
	NET	0AVG BGHT 5893.11	SOLD 5901.67				1,925.00	0.00
17JUL17	S	1	PD170CT17	5951.50	6759.20	USD	-20,192.50	
17JUL17	S	2	PD170CT17	5956.00	6759.20	USD	-40,160.00	
17JUL17	S	1	PD170CT17	5979.50	6759.20	USD	-19,492.50	
17JUL17	B	1	PD170CT17	5947.50	6759.20	USD	20,292.50	
17JUL17	B	1	PD170CT17	5981.00	6759.20	USD	19,455.00	
17JUL17	S	1	PD170CT17	5985.00	6759.20	USD	-19,355.00	
17JUL17	S	1	PD170CT17	5999.50	6759.20	USD	-18,992.50	
17JUL17	B	1	PD170CT17	6008.00	6759.20	USD	18,780.00	
17JUL17	B	2	PD170CT17	6000.00	6759.20	USD	37,960.00	
17JUL17	B	1	PD170CT17	6014.00	6759.20	USD	18,630.00	
	NET	0AVG BGHT 5991.75	SOLD 5971.25				-3,075.00	0.00
18JUL17	S	1	PD180CT17	5993.00	6760.00	USD	-19,175.00	
18JUL17	S	1	PD180CT17	5997.00	6760.00	USD	-19,075.00	
18JUL17	B	2	PD180CT17	5980.00	6760.00	USD	39,000.00	
18JUL17	S	1	PD180CT17	6009.00	6760.00	USD	-18,775.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD180CT17	6408.50	6760.00	USD	8,787.50	
	NET	0 AVG	BGHT 6122.83				SOLD 5999.67	0.00
19JUL17	B	1	PD190CT17	5994.50	6760.88	USD	19,159.50	
19JUL17	S	2	PD190CT17	6015.00	6760.88	USD	-37,294.00	
19JUL17	B	1	PD190CT17	5996.00	6760.88	USD	19,122.00	
19JUL17	B	1	PD190CT17	6002.00	6760.88	USD	18,972.00	
19JUL17	S	1	PD190CT17	5994.00	6760.88	USD	-19,172.00	
19JUL17	S	1	PD190CT17	5980.00	6760.88	USD	-19,522.00	
07AUG17	B	1	PD190CT17	6407.50	6760.88	USD	8,834.50	
	NET	0 AVG	BGHT 6100.00				SOLD 6001.00	0.00
20JUL17	B	1	PD200CT17	5991.00	6761.75	USD	19,268.75	
20JUL17	S	1	PD200CT17	5985.50	6761.75	USD	-19,406.25	
20JUL17	S	1	PD200CT17	5989.50	6761.75	USD	-19,306.25	
20JUL17	B	1	PD200CT17	5971.00	6761.75	USD	19,768.75	
20JUL17	B	1	PD200CT17	5972.00	6761.75	USD	19,743.75	
20JUL17	B	1	PD200CT17	5977.00	6761.75	USD	19,618.75	
20JUL17	S	1	PD200CT17	5970.00	6761.75	USD	-19,793.75	
21JUL17	S	1	PD200CT17	5981.50	6761.75	USD	-19,506.25	
21JUL17	B	1	PD200CT17	5980.50	6761.75	USD	19,531.25	
21JUL17	S	1	PD200CT17	5981.00	6761.75	USD	-19,518.75	
21JUL17	B	1	PD200CT17	6001.00	6761.75	USD	19,018.75	
21JUL17	B	1	PD200CT17	6031.50	6761.75	USD	18,256.25	
21JUL17	S	1	PD200CT17	5983.00	6761.75	USD	-19,468.75	
21JUL17	B	1	PD200CT17	6032.50	6761.75	USD	18,231.25	
21JUL17	S	1	PD200CT17	5997.00	6761.75	USD	-19,118.75	
21JUL17	S	1	PD200CT17	5999.00	6761.75	USD	-19,068.75	
	NET	0 AVG	BGHT 5994.56				SOLD 5985.81	0.00
24JUL17	B	1	PD240CT17	5991.00	6765.25	USD	19,356.25	
24JUL17	S	1	PD240CT17	6005.00	6765.25	USD	-19,006.25	
24JUL17	B	1	PD240CT17	6000.00	6765.25	USD	19,131.25	
24JUL17	S	1	PD240CT17	6029.00	6765.25	USD	-18,406.25	
24JUL17	B	1	PD240CT17	6026.00	6765.25	USD	18,481.25	
24JUL17	B	1	PD240CT17	6017.00	6765.25	USD	18,706.25	
07AUG17	S	2	PD240CT17	6408.01	6765.25	USD	-17,862.00	
	NET	0 AVG	BGHT 6008.50				SOLD 6212.51	0.00
25JUL17	B	1	PD250CT17	6071.00	6765.68	USD	17,367.00	
25JUL17	S	1	PD250CT17	6063.50	6765.68	USD	-17,554.50	
25JUL17	S	1	PD250CT17	6067.00	6765.68	USD	-17,467.00	
25JUL17	S	1	PD250CT17	6069.50	6765.68	USD	-17,404.50	
25JUL17	B	1	PD250CT17	6091.00	6765.68	USD	16,867.00	
25JUL17	B	1	PD250CT17	6102.00	6765.68	USD	16,592.00	
25JUL17	S	1	PD250CT17	6094.50	6765.68	USD	-16,779.50	
25JUL17	S	1	PD250CT17	6098.00	6765.68	USD	-16,692.00	
25JUL17	S	1	PD250CT17	6126.00	6765.68	USD	-15,992.00	
25JUL17	B	1	PD250CT17	6160.50	6765.68	USD	15,129.50	
25JUL17	S	1	PD250CT17	6165.00	6765.68	USD	-15,017.00	
25JUL17	S	1	PD250CT17	6188.50	6765.68	USD	-14,429.50	
25JUL17	B	1	PD250CT17	6180.50	6765.68	USD	14,629.50	
25JUL17	B	1	PD250CT17	6184.00	6765.68	USD	14,542.00	
25JUL17	S	1	PD250CT17	6184.50	6765.68	USD	-14,529.50	
25JUL17	S	1	PD250CT17	6210.00	6765.68	USD	-13,892.00	
25JUL17	B	1	PD250CT17	6211.50	6765.68	USD	13,854.50	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	S	1	PD250CT17	6225.00	6765.68	USD	-13,517.00	
25JUL17	B	1	PD250CT17	6194.00	6765.68	USD	14,292.00	
25JUL17	B	2	PD250CT17	6220.50	6765.68	USD	27,259.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET	0 AVG BGHT 6168.23	SOLD 6135.59		-8,975.00	0.00
26JUL17	S 1 PD260CT17	6246.00	6766.11	USD	-13,002.75	
26JUL17	S 1 PD260CT17	6264.00	6766.11	USD	-12,552.75	
26JUL17	B 1 PD260CT17	6296.50	6766.11	USD	11,740.25	
26JUL17	B 1 PD260CT17	6310.50	6766.11	USD	11,390.25	
26JUL17	B 1 PD260CT17	6321.00	6766.11	USD	11,127.75	
26JUL17	B 1 PD260CT17	6330.50	6766.11	USD	10,890.25	
26JUL17	S 1 PD260CT17	6306.00	6766.11	USD	-11,502.75	
26JUL17	B 1 PD260CT17	6283.50	6766.11	USD	12,065.25	
26JUL17	S 1 PD260CT17	6278.00	6766.11	USD	-12,202.75	
26JUL17	B 1 PD260CT17	6278.00	6766.11	USD	12,202.75	
26JUL17	S 1 PD260CT17	6273.50	6766.11	USD	-12,315.25	
26JUL17	S 2 PD260CT17	6326.00	6766.11	USD	-22,005.50	
26JUL17	S 1 PD260CT17	6332.00	6766.11	USD	-10,852.75	
26JUL17	B 1 PD260CT17	6335.00	6766.11	USD	10,777.75	
26JUL17	B 1 PD260CT17	6325.00	6766.11	USD	11,027.75	
	NET	0 AVG BGHT 6310.00	SOLD 6293.94		-3,212.50	0.00
27JUL17	B 1 PD270CT17	6316.00	6766.54	USD	11,263.50	
27JUL17	B 1 PD270CT17	6320.50	6766.54	USD	11,151.00	
27JUL17	S 1 PD270CT17	6309.50	6766.54	USD	-11,426.00	
27JUL17	S 1 PD270CT17	6316.00	6766.54	USD	-11,263.50	
27JUL17	S 1 PD270CT17	6325.00	6766.54	USD	-11,038.50	
27JUL17	B 1 PD270CT17	6360.00	6766.54	USD	10,163.50	
27JUL17	S 1 PD270CT17	6353.50	6766.54	USD	-10,326.00	
27JUL17	B 1 PD270CT17	6339.00	6766.54	USD	10,688.50	
28JUL17	B 1 PD270CT17	6325.00	6766.54	USD	11,038.50	
28JUL17	S 1 PD270CT17	6330.00	6766.54	USD	-10,913.50	
28JUL17	B 1 PD270CT17	6312.00	6766.54	USD	11,363.50	
28JUL17	B 1 PD270CT17	6313.00	6766.54	USD	11,338.50	
28JUL17	S 1 PD270CT17	6303.00	6766.54	USD	-11,588.50	
28JUL17	S 1 PD270CT17	6318.50	6766.54	USD	-11,201.00	
	NET	0 AVG BGHT 6326.50	SOLD 6322.21		-750.00	0.00
31JUL17	S 1 PD310CT17	6345.00	6768.25	USD	-10,581.25	
31JUL17	S 1 PD310CT17	6388.00	6768.25	USD	-9,506.25	
31JUL17	B 1 PD310CT17	6360.00	6768.25	USD	10,206.25	
31JUL17	B 1 PD310CT17	6385.00	6768.25	USD	9,581.25	
31JUL17	B 1 PD310CT17	6402.00	6768.25	USD	9,156.25	
31JUL17	S 1 PD310CT17	6346.00	6768.25	USD	-10,556.25	
31JUL17	S 1 PD310CT17	6364.50	6768.25	USD	-10,093.75	
31JUL17	B 1 PD310CT17	6384.00	6768.25	USD	9,606.25	
	NET	0 AVG BGHT 6382.75	SOLD 6360.88		-2,187.50	0.00
01AUG17	S 1 PD01NOV17	6373.00	6769.18	USD	-9,904.50	
01AUG17	B 1 PD01NOV17	6362.00	6769.18	USD	10,179.50	
	NET	0 AVG BGHT 6362.00	SOLD 6373.00		275.00	0.00
02AUG17	S 1 PD02NOV17	6349.00	6770.10	USD	-10,527.50	
02AUG17	S 1 PD02NOV17	6337.50	6770.10	USD	-10,815.00	
02AUG17	B 1 PD02NOV17	6327.00	6770.10	USD	11,077.50	
02AUG17	B 1 PD02NOV17	6331.00	6770.10	USD	10,977.50	
	NET	0 AVG BGHT 6329.00	SOLD 6343.25		712.50	0.00
03AUG17	S 1 PD03NOV17	6369.00	6771.03	USD	-10,050.75	
03AUG17	B 1 PD03NOV17	6313.00	6771.03	USD	11,450.75	
03AUG17	S 1 PD03NOV17	6334.00	6771.03	USD	-10,925.75	
03AUG17	B 1 PD03NOV17	6327.00	6771.03	USD	11,100.75	
03AUG17	S 1 PD03NOV17	6349.00	6771.03	USD	-10,550.75	
03AUG17	S 1 PD03NOV17	6355.00	6771.03	USD	-10,400.75	

OPEN POSITION STATEMENT



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SAP0086F

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	1	PD03NOV17	6350.00	6771.03	USD	10,525.75	
04AUG17	B	1	PD03NOV17	6368.00	6771.03	USD	10,075.75	
04AUG17	B	1	PD03NOV17	6374.50	6771.03	USD	9,913.25	
04AUG17	S	1	PD03NOV17	6372.50	6771.03	USD	-9,963.25	
04AUG17	B	1	PD03NOV17	6364.00	6771.03	USD	10,175.75	
04AUG17	S	1	PD03NOV17	6365.00	6771.03	USD	-10,150.75	
04AUG17	S	1	PD03NOV17	6368.50	6771.03	USD	-10,063.25	
04AUG17	S	1	PD03NOV17	6356.00	6771.03	USD	-10,375.75	
04AUG17	S	1	PD03NOV17	6354.00	6771.03	USD	-10,425.75	
04AUG17	B	1	PD03NOV17	6330.00	6771.03	USD	11,025.75	
04AUG17	B	1	PD03NOV17	6339.00	6771.03	USD	10,800.75	
04AUG17	B	1	PD03NOV17	6344.00	6771.03	USD	10,675.75	
04AUG17	S	1	PD03NOV17	6339.00	6771.03	USD	-10,800.75	
04AUG17	S	1	PD03NOV17	6341.00	6771.03	USD	-10,750.75	
04AUG17	S	1	PD03NOV17	6362.00	6771.03	USD	-10,225.75	
14AUG17	B	3	PD03NOV17	6393.09	6771.03	USD	28,345.50	
	NET	0 AVG BGHT 6357.40	SOLD 6355.42				-594.25	0.00
07AUG17	B	1	PD07NOV17	6340.00	6774.73	USD	10,868.25	
07AUG17	B	1	PD07NOV17	6349.00	6774.73	USD	10,643.25	
07AUG17	S	1	PD07NOV17	6371.00	6774.73	USD	-10,093.25	
07AUG17	B	1	PD07NOV17	6364.00	6774.73	USD	10,268.25	
07AUG17	B	1	PD07NOV17	6370.00	6774.73	USD	10,118.25	
07AUG17	S	1	PD07NOV17	6405.00	6774.73	USD	-9,243.25	
07AUG17	S	1	PD07NOV17	6412.00	6774.73	USD	-9,068.25	
07AUG17	B	1	PD07NOV17	6411.00	6774.73	USD	9,093.25	
14AUG17	S	2	PD07NOV17	6394.31	6774.73	USD	-19,021.00	
	NET	0 AVG BGHT 6366.80	SOLD 6395.32				3,565.50	0.00
08AUG17	B	1	PD08NOV17	6416.50	6775.65	USD	8,978.75	
08AUG17	S	1	PD08NOV17	6393.00	6775.65	USD	-9,566.25	
08AUG17	B	1	PD08NOV17	6385.00	6775.65	USD	9,766.25	
14AUG17	S	1	PD08NOV17	6394.67	6775.65	USD	-9,524.50	
	NET	0 AVG BGHT 6400.75	SOLD 6393.84				-345.75	0.00
09AUG17	B	1	PD09NOV17	6501.00	6776.58	USD	6,889.50	
09AUG17	S	1	PD09NOV17	6506.50	6776.58	USD	-6,752.00	
09AUG17	S	1	PD09NOV17	6508.00	6776.58	USD	-6,714.50	
09AUG17	S	1	PD09NOV17	6513.00	6776.58	USD	-6,589.50	
09AUG17	B	1	PD09NOV17	6435.00	6776.58	USD	8,539.50	
23AUG17	B	1	PD09NOV17	6563.50	6776.58	USD	5,327.00	
	NET	0 AVG BGHT 6499.83	SOLD 6509.17				700.00	0.00
10AUG17	B	1	PD10NOV17	6405.00	6777.50	USD	9,312.50	
11AUG17	S	1	PD10NOV17	6364.00	6777.50	USD	-10,337.50	
11AUG17	B	1	PD10NOV17	6355.00	6777.50	USD	10,562.50	
11AUG17	B	1	PD10NOV17	6372.50	6777.50	USD	10,125.00	
11AUG17	S	1	PD10NOV17	6376.00	6777.50	USD	-10,037.50	
11AUG17	S	1	PD10NOV17	6384.50	6777.50	USD	-9,825.00	
11AUG17	S	1	PD10NOV17	6392.00	6777.50	USD	-9,637.50	
11AUG17	S	1	PD10NOV17	6413.50	6777.50	USD	-9,100.00	
11AUG17	B	1	PD10NOV17	6407.00	6777.50	USD	9,262.50	
11AUG17	B	1	PD10NOV17	6399.50	6777.50	USD	9,450.00	
11AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
11AUG17	S	1	PD10NOV17	6419.00	6777.50	USD	-8,962.50	
23AUG17	B	2	PD10NOV17	6564.00	6777.50	USD	10,675.00	
	NET	0 AVG BGHT 6438.14	SOLD 6394.14				-7,700.00	0.00
14AUG17	B	1	PD14NOV17	6392.00	6776.83	USD	9,620.75	
23AUG17	S	1	PD14NOV17	6565.30	6776.83	USD	-5,288.25	
	NET	0 AVG BGHT 6392.00	SOLD 6565.30				4,332.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
15AUG17	S 1 PD15NOV17	6419.50	6776.50	USD	-8,925.00	
15AUG17	S 1 PD15NOV17	6419.00	6776.50	USD	-8,937.50	
15AUG17	B 1 PD15NOV17	6415.00	6776.50	USD	9,037.50	
15AUG17	B 1 PD15NOV17	6370.00	6776.50	USD	10,162.50	
15AUG17	B 1 PD15NOV17	6367.50	6776.50	USD	10,225.00	
23AUG17	S 1 PD15NOV17	6565.30	6776.50	USD	-5,280.00	
	NET 0 AVG BGHT 6384.17 SOLD 6467.93				6,282.50	0.00
16AUG17	B 1 PD16NOV17	6357.00	6776.25	USD	10,481.25	
16AUG17	S 1 PD16NOV17	6390.00	6776.25	USD	-9,656.25	
16AUG17	B 1 PD16NOV17	6381.50	6776.25	USD	9,868.75	
16AUG17	S 1 PD16NOV17	6383.50	6776.25	USD	-9,818.75	
16AUG17	B 1 PD16NOV17	6441.00	6776.25	USD	8,381.25	
16AUG17	S 1 PD16NOV17	6443.00	6776.25	USD	-8,331.25	
16AUG17	B 1 PD16NOV17	6480.50	6776.25	USD	7,393.75	
16AUG17	B 1 PD16NOV17	6490.50	6776.25	USD	7,143.75	
16AUG17	S 1 PD16NOV17	6489.50	6776.25	USD	-7,168.75	
16AUG17	B 1 PD16NOV17	6502.50	6776.25	USD	6,843.75	
16AUG17	S 1 PD16NOV17	6508.50	6776.25	USD	-6,693.75	
16AUG17	B 1 PD16NOV17	6535.50	6776.25	USD	6,018.75	
16AUG17	S 1 PD16NOV17	6543.00	6776.25	USD	-5,831.25	
16AUG17	B 1 PD16NOV17	6562.00	6776.25	USD	5,356.25	
16AUG17	S 1 PD16NOV17	6559.00	6776.25	USD	-5,431.25	
23AUG17	S 1 PD16NOV17	6563.30	6776.25	USD	-5,323.75	
	NET 0 AVG BGHT 6468.81 SOLD 6484.98				3,232.50	0.00
17AUG17	B 1 PD17NOV17	6484.00	6776.78	USD	7,319.50	
17AUG17	S 1 PD17NOV17	6491.00	6776.78	USD	-7,144.50	
	NET 0 AVG BGHT 6484.00 SOLD 6491.00				175.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	2246.00	2554.89	USD	7,722.25	
19JUL17	S 1 PD19OCT17	2229.00	2554.89	USD	-8,147.25	
	NET 0 AVG BGHT 2246.00 SOLD 2229.00				-425.00	0.00
16AUG17	B 1 PD16NOV17	2500.00	2558.75	USD	1,468.75	
16AUG17	S 1 PD16NOV17	2515.00	2558.75	USD	-1,093.75	
	NET 0 AVG BGHT 2500.00 SOLD 2515.00				375.00	0.00
17AUG17	B 1 PD17NOV17	2468.50	2558.75	USD	2,256.25	
17AUG17	S 1 PD17NOV17	2453.50	2558.75	USD	-2,631.25	
	NET 0 AVG BGHT 2468.50 SOLD 2453.50				-375.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD13OCT17	9480.00	11061.00	USD	-9,486.00	
14JUL17	B 1 PD13OCT17	9515.00	11061.00	USD	9,276.00	
	NET 0 AVG BGHT 9515.00 SOLD 9480.00				-210.00	0.00
19JUL17	B 2 PD19OCT17	9650.00	11065.96	USD	16,991.52	
19JUL17	S 2 PD19OCT17	9650.00	11065.96	USD	-16,991.52	
	NET 0 AVG BGHT 9650.00 SOLD 9650.00				0.00	0.00
15AUG17	B 1 PD15NOV17	10450.00	11092.00	USD	3,852.00	
15AUG17	S 1 PD15NOV17	10455.00	11092.00	USD	-3,822.00	
15AUG17	S 1 PD15NOV17	10460.00	11092.00	USD	-3,792.00	
15AUG17	B 1 PD15NOV17	10420.00	11092.00	USD	4,032.00	
	NET 0 AVG BGHT 10435.00 SOLD 10457.50				270.00	0.00
16AUG17	S 1 PD16NOV17	10765.00	11092.77	USD	-1,966.62	
16AUG17	B 1 PD16NOV17	10780.00	11092.77	USD	1,876.62	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10780.00	SOLD 10765.00				-90.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	19900.00	20990.00	USD	5,450.00	
12JUL17	S	1	PD120CT17	19920.00	20990.00	USD	-5,350.00	
12JUL17	B	1	PD120CT17	19840.00	20990.00	USD	5,750.00	
12JUL17	S	1	PD120CT17	19890.00	20990.00	USD	-5,500.00	
12JUL17	B	1	PD120CT17	19830.00	20990.00	USD	5,800.00	
12JUL17	S	1	PD120CT17	19830.00	20990.00	USD	-5,800.00	
12JUL17	S	1	PD120CT17	19935.00	20990.00	USD	-5,275.00	
12JUL17	B	1	PD120CT17	19905.00	20990.00	USD	5,425.00	
	NET	0 AVG BGHT 19868.75	SOLD 19893.75				500.00	0.00
13JUL17	S	1	PD130CT17	19980.00	20990.00	USD	-5,050.00	
13JUL17	S	1	PD130CT17	19975.00	20990.00	USD	-5,075.00	
13JUL17	B	2	PD130CT17	19955.00	20990.00	USD	10,350.00	
13JUL17	S	1	PD130CT17	19970.00	20990.00	USD	-5,100.00	
13JUL17	B	1	PD130CT17	19920.00	20990.00	USD	5,350.00	
13JUL17	B	1	PD130CT17	19905.00	20990.00	USD	5,425.00	
13JUL17	S	1	PD130CT17	19900.00	20990.00	USD	-5,450.00	
13JUL17	S	2	PD130CT17	19925.00	20990.00	USD	-10,650.00	
13JUL17	B	1	PD130CT17	19900.00	20990.00	USD	5,450.00	
13JUL17	B	1	PD130CT17	19925.00	20990.00	USD	5,325.00	
13JUL17	S	1	PD130CT17	19930.00	20990.00	USD	-5,300.00	
13JUL17	B	1	PD130CT17	19890.00	20990.00	USD	5,500.00	
13JUL17	B	1	PD130CT17	19875.00	20990.00	USD	5,575.00	
13JUL17	S	1	PD130CT17	19865.00	20990.00	USD	-5,625.00	
13JUL17	S	1	PD130CT17	19875.00	20990.00	USD	-5,575.00	
13JUL17	B	1	PD130CT17	19865.00	20990.00	USD	5,625.00	
14JUL17	B	1	PD130CT17	19845.00	20990.00	USD	5,725.00	
14JUL17	B	1	PD130CT17	19855.00	20990.00	USD	5,675.00	
14JUL17	S	2	PD130CT17	19850.00	20990.00	USD	-11,400.00	
	NET	0 AVG BGHT 19899.09	SOLD 19913.18				775.00	0.00
17JUL17	B	1	PD170CT17	19830.00	20990.00	USD	5,800.00	
17JUL17	S	1	PD170CT17	19895.00	20990.00	USD	-5,475.00	
17JUL17	B	1	PD170CT17	20035.00	20990.00	USD	4,775.00	
17JUL17	B	1	PD170CT17	20060.00	20990.00	USD	4,650.00	
17JUL17	S	1	PD170CT17	20025.00	20990.00	USD	-4,825.00	
17JUL17	S	1	PD170CT17	20065.00	20990.00	USD	-4,625.00	
17JUL17	S	1	PD170CT17	20070.00	20990.00	USD	-4,600.00	
17JUL17	B	1	PD170CT17	19975.00	20990.00	USD	5,075.00	
17JUL17	B	1	PD170CT17	20005.00	20990.00	USD	4,925.00	
17JUL17	S	1	PD170CT17	20015.00	20990.00	USD	-4,875.00	
	NET	0 AVG BGHT 19981.00	SOLD 20014.00				825.00	0.00
18JUL17	S	1	PD180CT17	19950.00	20990.00	USD	-5,200.00	
18JUL17	B	1	PD180CT17	19940.00	20990.00	USD	5,250.00	
18JUL17	S	1	PD180CT17	19940.00	20990.00	USD	-5,250.00	
18JUL17	B	1	PD180CT17	19970.00	20990.00	USD	5,100.00	
18JUL17	S	1	PD180CT17	19970.00	20990.00	USD	-5,100.00	
18JUL17	S	1	PD180CT17	19995.00	20990.00	USD	-4,975.00	
18JUL17	B	2	PD180CT17	20000.00	20990.00	USD	9,900.00	
18JUL17	B	1	PD180CT17	20050.00	20990.00	USD	4,700.00	
18JUL17	S	1	PD180CT17	20045.00	20990.00	USD	-4,725.00	
18JUL17	B	1	PD180CT17	19945.00	20990.00	USD	5,225.00	
18JUL17	S	1	PD180CT17	19990.00	20990.00	USD	-5,000.00	
	NET	0 AVG BGHT 19984.17	SOLD 19981.67				-75.00	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	20050.00	20988.00	USD	-4,690.00	
19JUL17	S	1	PD19OCT17	20080.00	20988.00	USD	-4,540.00	
19JUL17	B	1	PD19OCT17	20070.00	20988.00	USD	4,590.00	
19JUL17	B	1	PD19OCT17	20065.00	20988.00	USD	4,615.00	
19JUL17	S	1	PD19OCT17	20065.00	20988.00	USD	-4,615.00	
19JUL17	B	1	PD19OCT17	20090.00	20988.00	USD	4,490.00	
19JUL17	S	1	PD19OCT17	20100.00	20988.00	USD	-4,440.00	
19JUL17	S	1	PD19OCT17	20095.00	20988.00	USD	-4,465.00	
19JUL17	S	1	PD19OCT17	20125.00	20988.00	USD	-4,315.00	
19JUL17	B	1	PD19OCT17	20110.00	20988.00	USD	4,390.00	
19JUL17	B	1	PD19OCT17	20060.00	20988.00	USD	4,640.00	
19JUL17	S	1	PD19OCT17	20110.00	20988.00	USD	-4,390.00	
19JUL17	B	2	PD19OCT17	20100.00	20988.00	USD	8,880.00	
	NET	0 AVG	BGHT 20085.00	SOLD 20089.29			150.00	0.00
20JUL17	S	1	PD20OCT17	20095.00	20986.00	USD	-4,455.00	
20JUL17	S	1	PD20OCT17	20125.00	20986.00	USD	-4,305.00	
20JUL17	B	1	PD20OCT17	20095.00	20986.00	USD	4,455.00	
20JUL17	B	1	PD20OCT17	20100.00	20986.00	USD	4,430.00	
21JUL17	S	1	PD20OCT17	20120.00	20986.00	USD	-4,330.00	
21JUL17	B	1	PD20OCT17	20175.00	20986.00	USD	4,055.00	
21JUL17	S	1	PD20OCT17	20190.00	20986.00	USD	-3,980.00	
21JUL17	B	1	PD20OCT17	20200.00	20986.00	USD	3,930.00	
	NET	0 AVG	BGHT 20142.50	SOLD 20132.50			-200.00	0.00
24JUL17	B	1	PD24OCT17	20155.00	20982.00	USD	4,135.00	
24JUL17	S	1	PD24OCT17	20150.00	20982.00	USD	-4,160.00	
24JUL17	B	1	PD24OCT17	20135.00	20982.00	USD	4,235.00	
24JUL17	B	2	PD24OCT17	20160.00	20982.00	USD	8,220.00	
24JUL17	S	1	PD24OCT17	20190.00	20982.00	USD	-3,960.00	
24JUL17	S	1	PD24OCT17	20195.00	20982.00	USD	-3,935.00	
24JUL17	B	1	PD24OCT17	20245.00	20982.00	USD	3,685.00	
24JUL17	S	1	PD24OCT17	20245.00	20982.00	USD	-3,685.00	
24JUL17	B	1	PD24OCT17	20175.00	20982.00	USD	4,035.00	
24JUL17	S	1	PD24OCT17	20175.00	20982.00	USD	-4,035.00	
24JUL17	B	1	PD24OCT17	20230.00	20982.00	USD	3,760.00	
24JUL17	S	1	PD24OCT17	20225.00	20982.00	USD	-3,785.00	
24JUL17	S	1	PD24OCT17	20240.00	20982.00	USD	-3,710.00	
24JUL17	B	1	PD24OCT17	20255.00	20982.00	USD	3,635.00	
24JUL17	B	2	PD24OCT17	20075.00	20982.00	USD	9,070.00	
24JUL17	S	1	PD24OCT17	20160.00	20982.00	USD	-4,110.00	
24JUL17	S	2	PD24OCT17	20125.00	20982.00	USD	-8,570.00	
	NET	0 AVG	BGHT 20166.50	SOLD 20183.00			825.00	0.00
25JUL17	B	1	PD25OCT17	20165.00	20980.00	USD	4,075.00	
25JUL17	S	1	PD25OCT17	20175.00	20980.00	USD	-4,025.00	
25JUL17	S	2	PD25OCT17	20225.00	20980.00	USD	-7,550.00	
25JUL17	B	1	PD25OCT17	20200.00	20980.00	USD	3,900.00	
25JUL17	B	1	PD25OCT17	20225.00	20980.00	USD	3,775.00	
25JUL17	B	1	PD25OCT17	20300.00	20980.00	USD	3,400.00	
25JUL17	S	1	PD25OCT17	20300.00	20980.00	USD	-3,400.00	
25JUL17	B	1	PD25OCT17	20320.00	20980.00	USD	3,300.00	
25JUL17	S	1	PD25OCT17	20335.00	20980.00	USD	-3,225.00	
25JUL17	S	1	PD25OCT17	20340.00	20980.00	USD	-3,200.00	
25JUL17	B	1	PD25OCT17	20355.00	20980.00	USD	3,125.00	
	NET	0 AVG	BGHT 20260.83	SOLD 20266.67			175.00	0.00
26JUL17	S	1	PD26OCT17	20370.00	20978.00	USD	-3,040.00	
26JUL17	B	2	PD26OCT17	20225.00	20978.00	USD	7,530.00	
26JUL17	S	1	PD26OCT17	20290.00	20978.00	USD	-3,440.00	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	20300.00	20978.00	USD	-3,390.00	
26JUL17	S	1	PD260CT17	20320.00	20978.00	USD	-3,290.00	
26JUL17	S	1	PD260CT17	20345.00	20978.00	USD	-3,165.00	
26JUL17	B	1	PD260CT17	20335.00	20978.00	USD	3,215.00	
26JUL17	B	2	PD260CT17	20350.00	20978.00	USD	6,280.00	
	NET	0AVG	BGHT 20297.00				700.00	0.00
			SOLD 20325.00					
27JUL17	B	1	PD270CT17	20410.00	20976.00	USD	2,830.00	
27JUL17	S	1	PD270CT17	20490.00	20976.00	USD	-2,430.00	
27JUL17	B	1	PD270CT17	20435.00	20976.00	USD	2,705.00	
27JUL17	B	2	PD270CT17	20500.00	20976.00	USD	4,760.00	
27JUL17	S	1	PD270CT17	20530.00	20976.00	USD	-2,230.00	
27JUL17	B	1	PD270CT17	20550.00	20976.00	USD	2,130.00	
27JUL17	B	1	PD270CT17	20600.00	20976.00	USD	1,880.00	
27JUL17	S	1	PD270CT17	20585.00	20976.00	USD	-1,955.00	
27JUL17	S	1	PD270CT17	20590.00	20976.00	USD	-1,930.00	
27JUL17	S	1	PD270CT17	20405.00	20976.00	USD	-2,855.00	
27JUL17	S	1	PD270CT17	20480.00	20976.00	USD	-2,480.00	
27JUL17	S	1	PD270CT17	20740.00	20976.00	USD	-1,180.00	
27JUL17	B	2	PD270CT17	20750.00	20976.00	USD	2,260.00	
27JUL17	S	1	PD270CT17	20745.00	20976.00	USD	-1,155.00	
27JUL17	S	1	PD270CT17	20750.00	20976.00	USD	-1,130.00	
27JUL17	B	1	PD270CT17	20705.00	20976.00	USD	1,355.00	
28JUL17	B	1	PD270CT17	20815.00	20976.00	USD	805.00	
28JUL17	S	1	PD270CT17	20845.00	20976.00	USD	-655.00	
28JUL17	S	1	PD270CT17	20855.00	20976.00	USD	-605.00	
28JUL17	B	1	PD270CT17	20835.00	20976.00	USD	705.00	
28JUL17	B	1	PD270CT17	20605.00	20976.00	USD	1,855.00	
28JUL17	S	1	PD270CT17	20695.00	20976.00	USD	-1,405.00	
28JUL17	B	2	PD270CT17	20585.00	20976.00	USD	3,910.00	
28JUL17	S	1	PD270CT17	20575.00	20976.00	USD	-2,005.00	
28JUL17	S	1	PD270CT17	20585.00	20976.00	USD	-1,955.00	
	NET	0AVG	BGHT 20616.07				1,225.00	0.00
			SOLD 20633.57					
31JUL17	B	1	PD310CT17	20825.00	20972.00	USD	735.00	
31JUL17	S	1	PD310CT17	20775.00	20972.00	USD	-985.00	
31JUL17	B	1	PD310CT17	20800.00	20972.00	USD	860.00	
31JUL17	S	1	PD310CT17	20825.00	20972.00	USD	-735.00	
31JUL17	B	1	PD310CT17	20775.00	20972.00	USD	985.00	
31JUL17	S	1	PD310CT17	20795.00	20972.00	USD	-885.00	
31JUL17	B	1	PD310CT17	20785.00	20972.00	USD	935.00	
31JUL17	S	1	PD310CT17	20820.00	20972.00	USD	-760.00	
31JUL17	B	1	PD310CT17	20710.00	20972.00	USD	1,310.00	
31JUL17	S	1	PD310CT17	20710.00	20972.00	USD	-1,310.00	
	NET	0AVG	BGHT 20779.00				150.00	0.00
			SOLD 20785.00					
01AUG17	S	1	PD01NOV17	20630.00	20970.00	USD	-1,700.00	
01AUG17	S	1	PD01NOV17	20550.00	20970.00	USD	-2,100.00	
01AUG17	B	1	PD01NOV17	20560.00	20970.00	USD	2,050.00	
01AUG17	S	1	PD01NOV17	20525.00	20970.00	USD	-2,225.00	
01AUG17	S	1	PD01NOV17	20495.00	20970.00	USD	-2,375.00	
01AUG17	B	2	PD01NOV17	20500.00	20970.00	USD	4,700.00	
01AUG17	B	1	PD01NOV17	20580.00	20970.00	USD	1,950.00	
01AUG17	S	1	PD01NOV17	20450.00	20970.00	USD	-2,600.00	
01AUG17	B	1	PD01NOV17	20405.00	20970.00	USD	2,825.00	
01AUG17	S	1	PD01NOV17	20395.00	20970.00	USD	-2,875.00	
01AUG17	B	1	PD01NOV17	20350.00	20970.00	USD	3,100.00	
01AUG17	B	1	PD01NOV17	20365.00	20970.00	USD	3,025.00	
01AUG17	S	1	PD01NOV17	20400.00	20970.00	USD	-2,850.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20465.71	SOLD	20492.14		925.00	0.00
02AUG17	S	1	PD02NOV17		20540.00	20968.00	USD	-2,140.00	
02AUG17	B	1	PD02NOV17		20550.00	20968.00	USD	2,090.00	
02AUG17	S	1	PD02NOV17		20590.00	20968.00	USD	-1,890.00	
07AUG17	B	1	PD02NOV17		20606.43	20968.00	USD	1,807.85	
	NET	0 AVG	BGHT	20578.22	SOLD	20565.00		-132.15	0.00
03AUG17	B	1	PD03NOV17		20590.00	20966.00	USD	1,880.00	
03AUG17	B	1	PD03NOV17		20555.00	20966.00	USD	2,055.00	
03AUG17	S	1	PD03NOV17		20580.00	20966.00	USD	-1,930.00	
03AUG17	S	1	PD03NOV17		20600.00	20966.00	USD	-1,830.00	
03AUG17	S	1	PD03NOV17		20615.00	20966.00	USD	-1,755.00	
03AUG17	B	2	PD03NOV17		20600.00	20966.00	USD	3,660.00	
03AUG17	S	1	PD03NOV17		20630.00	20966.00	USD	-1,680.00	
03AUG17	B	1	PD03NOV17		20610.00	20966.00	USD	1,780.00	
04AUG17	B	1	PD03NOV17		20660.00	20966.00	USD	1,530.00	
04AUG17	S	1	PD03NOV17		20660.00	20966.00	USD	-1,530.00	
04AUG17	S	1	PD03NOV17		20700.00	20966.00	USD	-1,330.00	
04AUG17	B	1	PD03NOV17		20800.00	20966.00	USD	830.00	
04AUG17	S	1	PD03NOV17		20740.00	20966.00	USD	-1,130.00	
04AUG17	B	2	PD03NOV17		20700.00	20966.00	USD	2,660.00	
04AUG17	S	1	PD03NOV17		20780.00	20966.00	USD	-930.00	
04AUG17	B	1	PD03NOV17		20600.00	20966.00	USD	1,830.00	
04AUG17	B	1	PD03NOV17		20550.00	20966.00	USD	2,080.00	
04AUG17	S	1	PD03NOV17		20570.00	20966.00	USD	-1,980.00	
04AUG17	B	1	PD03NOV17		20525.00	20966.00	USD	2,205.00	
04AUG17	S	2	PD03NOV17		20575.00	20966.00	USD	-3,910.00	
07AUG17	S	1	PD03NOV17		20603.29	20966.00	USD	-1,813.55	
	NET	0 AVG	BGHT	20624.17	SOLD	20635.69		691.45	0.00
07AUG17	B	1	PD07NOV17		20600.00	20962.00	USD	1,810.00	
07AUG17	S	1	PD07NOV17		20640.00	20962.00	USD	-1,610.00	
07AUG17	B	1	PD07NOV17		20650.00	20962.00	USD	1,560.00	
07AUG17	B	1	PD07NOV17		20585.00	20962.00	USD	1,885.00	
07AUG17	S	1	PD07NOV17		20605.00	20962.00	USD	-1,785.00	
07AUG17	S	2	PD07NOV17		20615.00	20962.00	USD	-3,470.00	
07AUG17	B	1	PD07NOV17		20615.00	20962.00	USD	1,735.00	
07AUG17	B	1	PD07NOV17		20580.00	20962.00	USD	1,910.00	
07AUG17	S	1	PD07NOV17		20575.00	20962.00	USD	-1,935.00	
07AUG17	S	1	PD07NOV17		20665.00	20962.00	USD	-1,485.00	
07AUG17	B	1	PD07NOV17		20670.00	20962.00	USD	1,460.00	
	NET	0 AVG	BGHT	20616.67	SOLD	20619.17		75.00	0.00
08AUG17	B	1	PD08NOV17		20405.00	20960.00	USD	2,775.00	
08AUG17	S	1	PD08NOV17		20440.00	20960.00	USD	-2,600.00	
08AUG17	B	1	PD08NOV17		20430.00	20960.00	USD	2,650.00	
08AUG17	S	1	PD08NOV17		20445.00	20960.00	USD	-2,575.00	
08AUG17	B	1	PD08NOV17		20480.00	20960.00	USD	2,400.00	
08AUG17	S	1	PD08NOV17		20525.00	20960.00	USD	-2,175.00	
08AUG17	B	1	PD08NOV17		20435.00	20960.00	USD	2,625.00	
08AUG17	B	1	PD08NOV17		20445.00	20960.00	USD	2,575.00	
08AUG17	S	2	PD08NOV17		20455.00	20960.00	USD	-5,050.00	
08AUG17	B	2	PD08NOV17		20410.00	20960.00	USD	5,500.00	
08AUG17	B	1	PD08NOV17		20310.00	20960.00	USD	3,250.00	
08AUG17	S	2	PD08NOV17		20210.00	20960.00	USD	-7,500.00	
08AUG17	S	1	PD08NOV17		20220.00	20960.00	USD	-3,700.00	
	NET	0 AVG	BGHT	20415.63	SOLD	20370.00		-1,825.00	0.00
09AUG17	B	1	PD09NOV17		20335.00	20958.00	USD	3,115.00	
09AUG17	S	1	PD09NOV17		20380.00	20958.00	USD	-2,890.00	

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09AUG17	B	1	PD09NOV17		20450.00	20958.00	USD	2,540.00	
09AUG17	S	1	PD09NOV17		20495.00	20958.00	USD	-2,315.00	
09AUG17	S	1	PD09NOV17		20410.00	20958.00	USD	-2,740.00	
09AUG17	B	1	PD09NOV17		20415.00	20958.00	USD	2,715.00	
09AUG17	S	1	PD09NOV17		20390.00	20958.00	USD	-2,840.00	
09AUG17	B	1	PD09NOV17		20445.00	20958.00	USD	2,565.00	
09AUG17	B	1	PD09NOV17		20495.00	20958.00	USD	2,315.00	
09AUG17	S	1	PD09NOV17		20445.00	20958.00	USD	-2,565.00	
	NET	0 AVG	BGHT 20428.00	SOLD 20424.00				-100.00	0.00
10AUG17	B	1	PD10NOV17		20400.00	20956.00	USD	2,780.00	
10AUG17	S	1	PD10NOV17		20385.00	20956.00	USD	-2,855.00	
10AUG17	B	1	PD10NOV17		20270.00	20956.00	USD	3,430.00	
10AUG17	S	1	PD10NOV17		20440.00	20956.00	USD	-2,580.00	
10AUG17	B	2	PD10NOV17		20450.00	20956.00	USD	5,060.00	
10AUG17	S	2	PD10NOV17		20275.00	20956.00	USD	-6,810.00	
10AUG17	B	1	PD10NOV17		20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17		20375.00	20956.00	USD	-2,905.00	
11AUG17	S	1	PD10NOV17		20270.00	20956.00	USD	-3,430.00	
11AUG17	S	1	PD10NOV17		20260.00	20956.00	USD	-3,480.00	
11AUG17	S	1	PD10NOV17		20280.00	20956.00	USD	-3,380.00	
11AUG17	S	1	PD10NOV17		20325.00	20956.00	USD	-3,155.00	
11AUG17	B	2	PD10NOV17		20285.00	20956.00	USD	6,710.00	
11AUG17	S	2	PD10NOV17		20305.00	20956.00	USD	-6,510.00	
11AUG17	B	1	PD10NOV17		20220.00	20956.00	USD	3,680.00	
11AUG17	B	1	PD10NOV17		20230.00	20956.00	USD	3,630.00	
11AUG17	B	1	PD10NOV17		20235.00	20956.00	USD	3,605.00	
11AUG17	B	1	PD10NOV17		20305.00	20956.00	USD	3,255.00	
11AUG17	B	1	PD10NOV17		20355.00	20956.00	USD	3,005.00	
11AUG17	S	1	PD10NOV17		20370.00	20956.00	USD	-2,930.00	
11AUG17	B	1	PD10NOV17		20360.00	20956.00	USD	2,980.00	
11AUG17	S	1	PD10NOV17		20360.00	20956.00	USD	-2,980.00	
	NET	0 AVG	BGHT 20318.85	SOLD 20325.00				400.00	0.00
14AUG17	B	1	PD14NOV17		20305.00	20952.00	USD	3,235.00	
14AUG17	S	1	PD14NOV17		20340.00	20952.00	USD	-3,060.00	
	NET	0 AVG	BGHT 20305.00	SOLD 20340.00				175.00	0.00
15AUG17	B	3	PD15NOV17		20325.00	20950.00	USD	9,375.00	
15AUG17	S	1	PD15NOV17		20345.00	20950.00	USD	-3,025.00	
15AUG17	S	2	PD15NOV17		20305.00	20950.00	USD	-6,450.00	
15AUG17	B	1	PD15NOV17		20275.00	20950.00	USD	3,375.00	
15AUG17	S	1	PD15NOV17		20250.00	20950.00	USD	-3,500.00	
15AUG17	S	2	PD15NOV17		20230.00	20950.00	USD	-7,200.00	
15AUG17	B	1	PD15NOV17		20265.00	20950.00	USD	3,425.00	
15AUG17	B	1	PD15NOV17		20280.00	20950.00	USD	3,350.00	
15AUG17	B	1	PD15NOV17		20300.00	20950.00	USD	3,250.00	
15AUG17	S	1	PD15NOV17		20260.00	20950.00	USD	-3,450.00	
15AUG17	B	3	PD15NOV17		19320.00	20950.00	USD	24,450.00	
15AUG17	B	1	PD15NOV17		20170.00	20950.00	USD	3,900.00	
15AUG17	S	1	PD15NOV17		20145.00	20950.00	USD	-4,025.00	
15AUG17	S	1	PD15NOV17		19895.00	20950.00	USD	-5,275.00	
15AUG17	S	1	PD15NOV17		19970.00	20950.00	USD	-4,900.00	
15AUG17	S	1	PD15NOV17		20010.00	20950.00	USD	-4,700.00	
	NET	0 AVG	BGHT 20020.45	SOLD 20176.82				8,600.00	0.00
16AUG17	B	1	PD16NOV17		19975.00	20947.92	USD	4,864.60	
16AUG17	S	1	PD16NOV17		20015.00	20947.92	USD	-4,664.60	
16AUG17	B	1	PD16NOV17		20020.00	20947.92	USD	4,639.60	
16AUG17	S	1	PD16NOV17		20050.00	20947.92	USD	-4,489.60	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT	19997.50	SOLD	20032.50		350.00	0.00
17AUG17	B	2	PD17NOV17	20215.00	20945.83	USD	7,308.30	
17AUG17	S	1	PD17NOV17	20230.00	20945.83	USD	-3,579.15	
17AUG17	S	1	PD17NOV17	20150.00	20945.83	USD	-3,979.15	
17AUG17	S	1	PD17NOV17	20185.00	20945.83	USD	-3,804.15	
17AUG17	S	1	PD17NOV17	20340.00	20945.83	USD	-3,029.15	
17AUG17	B	1	PD17NOV17	20265.00	20945.83	USD	3,404.15	
17AUG17	B	1	PD17NOV17	20255.00	20945.83	USD	3,454.15	
17AUG17	B	1	PD17NOV17	20150.00	20945.83	USD	3,979.15	
17AUG17	B	1	PD17NOV17	20100.00	20945.83	USD	4,229.15	
17AUG17	S	2	PD17NOV17	20110.00	20945.83	USD	-8,358.30	
18AUG17	S	1	PD17NOV17	20180.00	20945.83	USD	-3,829.15	
18AUG17	B	1	PD17NOV17	20115.00	20945.83	USD	4,154.15	
	NET	0 AVG BGHT	20187.86	SOLD	20186.43		-50.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2860.50	3299.33	USD	10,970.75	
12JUL17	S	2	PD12OCT17	2866.50	3299.33	USD	-21,641.50	
12JUL17	B	1	PD12OCT17	2855.00	3299.33	USD	11,108.25	
	NET	0 AVG BGHT	2857.75	SOLD	2866.50		437.50	0.00
17JUL17	S	1	PD17OCT17	2838.00	3286.33	USD	-11,208.25	
17JUL17	B	1	PD17OCT17	2843.00	3286.33	USD	11,083.25	
	NET	0 AVG BGHT	2843.00	SOLD	2838.00		-125.00	0.00
09AUG17	S	2	PD09NOV17	2979.00	3248.44	USD	-13,472.00	
09AUG17	B	1	PD09NOV17	2970.00	3248.44	USD	6,961.00	
09AUG17	B	1	PD09NOV17	2965.50	3248.44	USD	7,073.50	
	NET	0 AVG BGHT	2967.75	SOLD	2979.00		562.50	0.00
16AUG17	B	1	PD16NOV17	3002.00	3241.50	USD	5,987.50	
16AUG17	S	1	PD16NOV17	2990.00	3241.50	USD	-6,287.50	
16AUG17	B	1	PD16NOV17	3086.00	3241.50	USD	3,887.50	
16AUG17	S	1	PD16NOV17	3077.00	3241.50	USD	-4,112.50	
16AUG17	S	1	PD16NOV17	3096.00	3241.50	USD	-3,637.50	
16AUG17	B	1	PD16NOV17	3102.00	3241.50	USD	3,487.50	
16AUG17	B	1	PD16NOV17	3121.00	3241.50	USD	3,012.50	
16AUG17	S	2	PD16NOV17	3128.00	3241.50	USD	-5,675.00	
16AUG17	B	1	PD16NOV17	3111.00	3241.50	USD	3,262.50	
16AUG17	B	1	PD16NOV17	3107.00	3241.50	USD	3,362.50	
16AUG17	S	1	PD16NOV17	3115.50	3241.50	USD	-3,150.00	
	NET	0 AVG BGHT	3088.17	SOLD	3089.08		137.50	0.00
17AUG17	B	1	PD17NOV17	3090.50	3239.00	USD	3,712.50	
17AUG17	S	1	PD17NOV17	3093.00	3239.00	USD	-3,650.00	
	NET	0 AVG BGHT	3090.50	SOLD	3093.00		62.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	225.00
LME Copper Grade A 25	FUTURES	-5,951.50
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Nickel 6 tonnes. This is	FUTURES	-30.00
LME Tin 5 tonnes. This is an	FUTURES	14,159.30
LME Zinc 25 tonnes. This is	FUTURES	1,075.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	225.00	225.00		
COPL	- Futures	USD	-5,951.50	-5,951.50		
LEAD	- Futures	USD	-425.00	-425.00		
NKL	- Futures	USD	-30.00	-30.00		
TIN	- Futures	USD	14,159.30	14,159.30		
ZINC	- Futures	USD	1,075.00	1,075.00	9,052.80	9,052.80

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	25,337.30	25,337.30
Variation Margins	0.00	0.00
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	25,337.30	25,337.30
Initial Margins	0.00	0.00
Excess/(Shortage)	25,337.30	25,337.30
FOR INFORMATION ONLY		
Forward Unrealised P&L	9,052.80	9,052.80
Net Liquidating Value	34,390.10	34,390.10

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5894.00	6755.20	USD	-21,530.00	
12JUL17	B	1	PD120CT17	5916.00	6755.20	USD	20,980.00	
12JUL17	S	1	PD120CT17	5906.00	6755.20	USD	-21,230.00	
12JUL17	B	3	PD120CT17	5896.00	6755.20	USD	64,440.00	
12JUL17	S	1	PD120CT17	5894.50	6755.20	USD	-21,517.50	
12JUL17	S	1	PD120CT17	5901.00	6755.20	USD	-21,355.00	
12JUL17	S	1	PD120CT17	5905.00	6755.20	USD	-21,255.00	
12JUL17	S	1	PD120CT17	5917.00	6755.20	USD	-20,955.00	
12JUL17	B	1	PD120CT17	5890.00	6755.20	USD	21,630.00	
12JUL17	B	1	PD120CT17	5897.50	6755.20	USD	21,442.50	
12JUL17	B	2	PD120CT17	5895.00	6755.20	USD	43,010.00	
12JUL17	S	1	PD120CT17	5899.00	6755.20	USD	-21,405.00	
12JUL17	B	1	PD120CT17	5900.00	6755.20	USD	21,380.00	
12JUL17	S	1	PD120CT17	5919.00	6755.20	USD	-20,905.00	
12JUL17	B	1	PD120CT17	5900.50	6755.20	USD	21,367.50	
12JUL17	B	1	PD120CT17	5899.00	6755.20	USD	21,405.00	
12JUL17	S	3	PD120CT17	5900.00	6755.20	USD	-64,140.00	
	NET	0	AVG BGHT 5898.27	SOLD 5903.23			1,362.50	0.00
13JUL17	S	1	PD130CT17	5907.00	6756.00	USD	-21,225.00	
13JUL17	S	1	PD130CT17	5919.00	6756.00	USD	-20,925.00	
13JUL17	S	1	PD130CT17	5918.00	6756.00	USD	-20,950.00	
13JUL17	B	1	PD130CT17	5892.00	6756.00	USD	21,600.00	
13JUL17	S	1	PD130CT17	5892.00	6756.00	USD	-21,600.00	
13JUL17	B	1	PD130CT17	5890.00	6756.00	USD	21,650.00	
13JUL17	S	1	PD130CT17	5890.00	6756.00	USD	-21,650.00	
13JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
13JUL17	B	1	PD130CT17	5885.00	6756.00	USD	21,775.00	
13JUL17	B	1	PD130CT17	5878.00	6756.00	USD	21,950.00	
13JUL17	S	1	PD130CT17	5880.00	6756.00	USD	-21,900.00	
13JUL17	B	1	PD130CT17	5875.00	6756.00	USD	22,025.00	
13JUL17	S	2	PD130CT17	5875.00	6756.00	USD	-44,050.00	
13JUL17	B	1	PD130CT17	5860.00	6756.00	USD	22,400.00	
13JUL17	S	2	PD130CT17	5868.00	6756.00	USD	-44,400.00	
14JUL17	S	1	PD130CT17	5870.00	6756.00	USD	-22,150.00	
14JUL17	B	1	PD130CT17	5872.00	6756.00	USD	22,100.00	
14JUL17	B	1	PD130CT17	5875.00	6756.00	USD	22,025.00	
14JUL17	B	2	PD130CT17	5880.00	6756.00	USD	43,800.00	
14JUL17	B	1	PD130CT17	5874.50	6756.00	USD	22,037.50	
14JUL17	B	1	PD130CT17	5881.50	6756.00	USD	21,862.50	
14JUL17	B	1	PD130CT17	5887.00	6756.00	USD	21,725.00	
14JUL17	B	1	PD130CT17	5893.00	6756.00	USD	21,575.00	
14JUL17	B	1	PD130CT17	5896.00	6756.00	USD	21,500.00	
14JUL17	S	1	PD130CT17	5902.00	6756.00	USD	-21,350.00	
14JUL17	B	1	PD130CT17	5890.00	6756.00	USD	21,650.00	
14JUL17	S	1	PD130CT17	5890.50	6756.00	USD	-21,637.50	
14JUL17	S	1	PD130CT17	5891.00	6756.00	USD	-21,625.00	
14JUL17	B	1	PD130CT17	5901.00	6756.00	USD	21,375.00	
14JUL17	S	1	PD130CT17	5888.00	6756.00	USD	-21,700.00	
14JUL17	S	1	PD130CT17	5897.00	6756.00	USD	-21,475.00	
14JUL17	B	1	PD130CT17	5898.00	6756.00	USD	21,450.00	
14JUL17	B	1	PD130CT17	5905.00	6756.00	USD	21,275.00	
14JUL17	S	1	PD130CT17	5900.00	6756.00	USD	-21,400.00	
14JUL17	S	1	PD130CT17	5903.00	6756.00	USD	-21,325.00	
14JUL17	S	1	PD130CT17	5916.00	6756.00	USD	-21,000.00	
14JUL17	B	1	PD130CT17	5911.00	6756.00	USD	21,125.00	
14JUL17	S	2	PD130CT17	5885.00	6756.00	USD	-43,550.00	
14JUL17	B	1	PD130CT17	5923.00	6756.00	USD	20,825.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	5928.00	6756.00	USD	-20,700.00	
	NET	0 AVG BGHT 5887.59	SOLD 5893.07				3,012.50	0.00
17JUL17	S	1	PD170CT17	5949.00	6759.20	USD	-20,255.00	
17JUL17	B	1	PD170CT17	5961.00	6759.20	USD	19,955.00	
17JUL17	B	1	PD170CT17	5981.00	6759.20	USD	19,455.00	
17JUL17	S	1	PD170CT17	5952.00	6759.20	USD	-20,180.00	
17JUL17	S	1	PD170CT17	5955.00	6759.20	USD	-20,105.00	
17JUL17	S	1	PD170CT17	5959.50	6759.20	USD	-19,992.50	
17JUL17	S	1	PD170CT17	5979.50	6759.20	USD	-19,492.50	
17JUL17	B	1	PD170CT17	5959.50	6759.20	USD	19,992.50	
17JUL17	B	1	PD170CT17	5979.00	6759.20	USD	19,505.00	
17JUL17	S	1	PD170CT17	5971.00	6759.20	USD	-19,705.00	
17JUL17	S	2	PD170CT17	5979.00	6759.20	USD	-39,010.00	
17JUL17	S	1	PD170CT17	5985.00	6759.20	USD	-19,355.00	
17JUL17	B	2	PD170CT17	5980.00	6759.20	USD	38,960.00	
17JUL17	B	1	PD170CT17	6000.00	6759.20	USD	18,980.00	
17JUL17	B	2	PD170CT17	6001.00	6759.20	USD	37,910.00	
17JUL17	B	1	PD170CT17	6022.00	6759.20	USD	18,430.00	
17JUL17	S	1	PD170CT17	6017.00	6759.20	USD	-18,555.00	
	NET	0 AVG BGHT 5986.45	SOLD 5972.60				-3,462.50	0.00
18JUL17	B	1	PD180CT17	5971.00	6760.00	USD	19,725.00	
18JUL17	S	1	PD180CT17	5976.00	6760.00	USD	-19,600.00	
18JUL17	B	1	PD180CT17	5982.00	6760.00	USD	19,450.00	
18JUL17	S	1	PD180CT17	5980.00	6760.00	USD	-19,500.00	
18JUL17	S	2	PD180CT17	5991.00	6760.00	USD	-38,450.00	
18JUL17	S	1	PD180CT17	5993.00	6760.00	USD	-19,175.00	
18JUL17	B	4	PD180CT17	5980.00	6760.00	USD	78,000.00	
18JUL17	S	1	PD180CT17	5987.00	6760.00	USD	-19,325.00	
18JUL17	S	1	PD180CT17	6010.00	6760.00	USD	-18,750.00	
18JUL17	S	2	PD180CT17	6005.00	6760.00	USD	-37,750.00	
24JUL17	B	3	PD180CT17	6027.50	6760.00	USD	54,937.50	
	NET	0 AVG BGHT 5995.06	SOLD 5993.11				-437.50	0.00
19JUL17	B	1	PD190CT17	5995.00	6760.88	USD	19,147.00	
19JUL17	B	1	PD190CT17	5989.00	6760.88	USD	19,297.00	
19JUL17	B	1	PD190CT17	5990.00	6760.88	USD	19,272.00	
19JUL17	B	1	PD190CT17	6015.00	6760.88	USD	18,647.00	
19JUL17	S	1	PD190CT17	6009.00	6760.88	USD	-18,797.00	
19JUL17	S	2	PD190CT17	6014.00	6760.88	USD	-37,344.00	
19JUL17	S	1	PD190CT17	6017.00	6760.88	USD	-18,597.00	
19JUL17	B	2	PD190CT17	5997.00	6760.88	USD	38,194.00	
19JUL17	B	1	PD190CT17	6000.00	6760.88	USD	19,022.00	
19JUL17	S	3	PD190CT17	5994.00	6760.88	USD	-57,516.00	
19JUL17	B	2	PD190CT17	5985.00	6760.88	USD	38,794.00	
19JUL17	B	1	PD190CT17	5991.00	6760.88	USD	19,247.00	
24JUL17	S	3	PD190CT17	6026.30	6760.88	USD	-55,093.50	
	NET	0 AVG BGHT 5994.40	SOLD 6011.49				4,272.50	0.00
20JUL17	S	1	PD200CT17	5971.00	6761.75	USD	-19,768.75	
20JUL17	S	1	PD200CT17	5984.00	6761.75	USD	-19,443.75	
20JUL17	B	1	PD200CT17	5981.00	6761.75	USD	19,518.75	
20JUL17	B	1	PD200CT17	5990.50	6761.75	USD	19,281.25	
20JUL17	S	1	PD200CT17	5977.00	6761.75	USD	-19,618.75	
20JUL17	S	1	PD200CT17	5983.00	6761.75	USD	-19,468.75	
20JUL17	S	1	PD200CT17	5988.00	6761.75	USD	-19,343.75	
20JUL17	S	1	PD200CT17	5985.00	6761.75	USD	-19,418.75	
20JUL17	B	4	PD200CT17	5970.00	6761.75	USD	79,175.00	
20JUL17	S	2	PD200CT17	5974.00	6761.75	USD	-39,387.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD200CT17	5984.00	6761.75	USD	38,887.50	
20JUL17	B	1	PD200CT17	5980.00	6761.75	USD	19,543.75	
20JUL17	S	2	PD200CT17	5975.00	6761.75	USD	-39,337.50	
20JUL17	S	1	PD200CT17	5980.00	6761.75	USD	-19,543.75	
20JUL17	S	1	PD200CT17	5981.00	6761.75	USD	-19,518.75	
20JUL17	B	2	PD200CT17	5967.00	6761.75	USD	39,737.50	
20JUL17	B	1	PD200CT17	5968.00	6761.75	USD	19,843.75	
21JUL17	S	1	PD200CT17	5985.00	6761.75	USD	-19,418.75	
21JUL17	S	1	PD200CT17	5981.00	6761.75	USD	-19,518.75	
21JUL17	S	2	PD200CT17	5984.00	6761.75	USD	-38,887.50	
21JUL17	B	2	PD200CT17	6000.00	6761.75	USD	38,087.50	
21JUL17	B	1	PD200CT17	6005.00	6761.75	USD	18,918.75	
21JUL17	S	1	PD200CT17	5999.00	6761.75	USD	-19,068.75	
21JUL17	S	1	PD200CT17	6006.00	6761.75	USD	-18,893.75	
21JUL17	S	1	PD200CT17	6008.00	6761.75	USD	-18,843.75	
21JUL17	B	1	PD200CT17	5983.00	6761.75	USD	19,468.75	
21JUL17	B	1	PD200CT17	6035.00	6761.75	USD	18,168.75	
21JUL17	S	1	PD200CT17	6034.50	6761.75	USD	-18,181.25	
07AUG17	B	1	PD200CT17	6406.50	6761.75	USD	8,881.25	
14AUG17	B	2	PD200CT17	6387.75	6761.75	USD	18,700.00	
	NET	0AVG BGHT 6045.33	SOLD 5986.43				-29,450.00	0.00
24JUL17	B	1	PD240CT17	6005.00	6765.25	USD	19,006.25	
24JUL17	B	1	PD240CT17	5991.00	6765.25	USD	19,356.25	
24JUL17	B	1	PD240CT17	6000.00	6765.25	USD	19,131.25	
24JUL17	S	2	PD240CT17	6025.00	6765.25	USD	-37,012.50	
24JUL17	B	3	PD240CT17	6020.00	6765.25	USD	55,893.75	
24JUL17	B	1	PD240CT17	6022.00	6765.25	USD	18,581.25	
24JUL17	S	1	PD240CT17	6024.00	6765.25	USD	-18,531.25	
24JUL17	S	1	PD240CT17	6026.00	6765.25	USD	-18,481.25	
07AUG17	S	1	PD240CT17	6408.01	6765.25	USD	-8,931.00	
07AUG17	S	2	PD240CT17	6408.21	6765.25	USD	-17,852.00	
	NET	0AVG BGHT 6011.14	SOLD 6189.20				31,160.75	0.00
25JUL17	B	2	PD250CT17	6073.00	6765.68	USD	34,634.00	
25JUL17	B	2	PD250CT17	6080.00	6765.68	USD	34,284.00	
25JUL17	S	1	PD250CT17	6048.00	6765.68	USD	-17,942.00	
25JUL17	S	1	PD250CT17	6063.50	6765.68	USD	-17,554.50	
25JUL17	S	1	PD250CT17	6067.00	6765.68	USD	-17,467.00	
25JUL17	S	1	PD250CT17	6075.00	6765.68	USD	-17,267.00	
25JUL17	S	1	PD250CT17	6079.50	6765.68	USD	-17,154.50	
25JUL17	B	1	PD250CT17	6085.00	6765.68	USD	17,017.00	
25JUL17	B	1	PD250CT17	6086.50	6765.68	USD	16,979.50	
25JUL17	B	1	PD250CT17	6088.00	6765.68	USD	16,942.00	
25JUL17	B	1	PD250CT17	6089.00	6765.68	USD	16,917.00	
25JUL17	B	2	PD250CT17	6100.00	6765.68	USD	33,284.00	
25JUL17	B	1	PD250CT17	6102.00	6765.68	USD	16,592.00	
25JUL17	B	2	PD250CT17	6103.00	6765.68	USD	33,134.00	
25JUL17	B	1	PD250CT17	6125.00	6765.68	USD	16,017.00	
25JUL17	S	1	PD250CT17	6080.00	6765.68	USD	-17,142.00	
25JUL17	S	1	PD250CT17	6084.50	6765.68	USD	-17,029.50	
25JUL17	S	1	PD250CT17	6088.00	6765.68	USD	-16,942.00	
25JUL17	S	1	PD250CT17	6094.50	6765.68	USD	-16,779.50	
25JUL17	S	1	PD250CT17	6095.00	6765.68	USD	-16,767.00	
25JUL17	S	2	PD250CT17	6099.00	6765.68	USD	-33,334.00	
25JUL17	S	1	PD250CT17	6105.50	6765.68	USD	-16,504.50	
25JUL17	S	1	PD250CT17	6108.00	6765.68	USD	-16,442.00	
25JUL17	S	1	PD250CT17	6118.00	6765.68	USD	-16,192.00	
25JUL17	S	1	PD250CT17	6129.00	6765.68	USD	-15,917.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086G

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	2	PD250CT17	6121.00	6765.68	USD	32,234.00	
25JUL17	S	1	PD250CT17	6124.00	6765.68	USD	-16,042.00	
25JUL17	S	1	PD250CT17	6139.00	6765.68	USD	-15,667.00	
25JUL17	B	1	PD250CT17	6155.00	6765.68	USD	15,267.00	
25JUL17	S	1	PD250CT17	6155.00	6765.68	USD	-15,267.00	
25JUL17	B	1	PD250CT17	6161.00	6765.68	USD	15,117.00	
25JUL17	B	1	PD250CT17	6160.50	6765.68	USD	15,129.50	
25JUL17	S	1	PD250CT17	6164.00	6765.68	USD	-15,042.00	
25JUL17	S	1	PD250CT17	6184.00	6765.68	USD	-14,542.00	
25JUL17	S	1	PD250CT17	6058.00	6765.68	USD	-17,692.00	
25JUL17	S	1	PD250CT17	6123.00	6765.68	USD	-16,067.00	
25JUL17	B	3	PD250CT17	6180.00	6765.68	USD	43,926.00	
25JUL17	S	1	PD250CT17	6215.00	6765.68	USD	-13,767.00	
25JUL17	S	1	PD250CT17	6228.00	6765.68	USD	-13,442.00	
25JUL17	B	1	PD250CT17	6210.50	6765.68	USD	13,879.50	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	B	1	PD250CT17	6215.50	6765.68	USD	13,754.50	
25JUL17	S	1	PD250CT17	6220.00	6765.68	USD	-13,642.00	
25JUL17	B	1	PD250CT17	6202.00	6765.68	USD	14,092.00	
25JUL17	B	2	PD250CT17	6221.00	6765.68	USD	27,234.00	
25JUL17	S	1	PD250CT17	6209.00	6765.68	USD	-13,917.00	
25JUL17	S	1	PD250CT17	6199.00	6765.68	USD	-14,167.00	
25JUL17	B	1	PD250CT17	6209.50	6765.68	USD	13,904.50	
25JUL17	S	1	PD250CT17	6227.00	6765.68	USD	-13,467.00	
25JUL17	B	1	PD250CT17	6227.00	6765.68	USD	13,467.00	
25JUL17	S	2	PD250CT17	6230.00	6765.68	USD	-26,784.00	
25JUL17	B	1	PD250CT17	6241.00	6765.68	USD	13,117.00	
25JUL17	B	1	PD250CT17	6266.00	6765.68	USD	12,492.00	
25JUL17	S	1	PD250CT17	6264.00	6765.68	USD	-12,542.00	
	NET	0	AVG BGHT 6149.20	SOLD 6137.58			-9,300.00	0.00
26JUL17	B	1	PD260CT17	6250.00	6766.11	USD	12,902.75	
26JUL17	S	1	PD260CT17	6245.00	6766.11	USD	-13,027.75	
26JUL17	S	1	PD260CT17	6249.00	6766.11	USD	-12,927.75	
26JUL17	S	1	PD260CT17	6255.50	6766.11	USD	-12,765.25	
26JUL17	B	1	PD260CT17	6335.00	6766.11	USD	10,777.75	
26JUL17	S	1	PD260CT17	6290.00	6766.11	USD	-11,902.75	
26JUL17	S	1	PD260CT17	6345.00	6766.11	USD	-10,527.75	
26JUL17	B	1	PD260CT17	6289.50	6766.11	USD	11,915.25	
26JUL17	B	1	PD260CT17	6300.00	6766.11	USD	11,652.75	
26JUL17	B	1	PD260CT17	6311.00	6766.11	USD	11,377.75	
26JUL17	B	1	PD260CT17	6322.00	6766.11	USD	11,102.75	
26JUL17	B	1	PD260CT17	6325.00	6766.11	USD	11,027.75	
26JUL17	B	1	PD260CT17	6333.00	6766.11	USD	10,827.75	
26JUL17	S	1	PD260CT17	6305.00	6766.11	USD	-11,527.75	
26JUL17	B	1	PD260CT17	6282.00	6766.11	USD	12,102.75	
26JUL17	S	1	PD260CT17	6278.00	6766.11	USD	-12,202.75	
26JUL17	B	1	PD260CT17	6291.50	6766.11	USD	11,865.25	
26JUL17	B	1	PD260CT17	6272.00	6766.11	USD	12,352.75	
26JUL17	S	1	PD260CT17	6279.00	6766.11	USD	-12,177.75	
26JUL17	S	1	PD260CT17	6288.00	6766.11	USD	-11,952.75	
26JUL17	S	1	PD260CT17	6302.00	6766.11	USD	-11,602.75	
26JUL17	B	1	PD260CT17	6329.50	6766.11	USD	10,915.25	
26JUL17	S	1	PD260CT17	6334.00	6766.11	USD	-10,802.75	
26JUL17	S	2	PD260CT17	6340.50	6766.11	USD	-21,280.50	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	B	1	PD260CT17	6335.50	6766.11	USD	10,765.25	
26JUL17	S	1	PD260CT17	6337.50	6766.11	USD	-10,715.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD260CT17	6311.50	6766.11	USD	11,365.25	
26JUL17	S	1	PD260CT17	6310.50	6766.11	USD	-11,390.25	
26JUL17	S	1	PD260CT17	6328.00	6766.11	USD	-10,952.75	
26JUL17	B	1	PD260CT17	6330.00	6766.11	USD	10,902.75	
26JUL17	B	1	PD260CT17	6345.00	6766.11	USD	10,527.75	
26JUL17	S	1	PD260CT17	6338.50	6766.11	USD	-10,690.25	
07AUG17	B	2	PD260CT17	6409.27	6766.11	USD	17,842.00	
	NET	0 AVG	BGHT 6321.17				-6,876.00	0.00
			SOLD 6305.89					
27JUL17	S	1	PD270CT17	6308.00	6766.54	USD	-11,463.50	
27JUL17	B	1	PD270CT17	6321.00	6766.54	USD	11,138.50	
27JUL17	B	2	PD270CT17	6324.00	6766.54	USD	22,127.00	
27JUL17	B	1	PD270CT17	6325.00	6766.54	USD	11,038.50	
27JUL17	B	1	PD270CT17	6341.00	6766.54	USD	10,638.50	
27JUL17	S	1	PD270CT17	6341.50	6766.54	USD	-10,626.00	
27JUL17	B	1	PD270CT17	6340.50	6766.54	USD	10,651.00	
27JUL17	S	2	PD270CT17	6340.00	6766.54	USD	-21,327.00	
27JUL17	S	1	PD270CT17	6349.00	6766.54	USD	-10,438.50	
27JUL17	B	1	PD270CT17	6372.00	6766.54	USD	9,863.50	
27JUL17	S	1	PD270CT17	6377.00	6766.54	USD	-9,738.50	
27JUL17	B	1	PD270CT17	6356.00	6766.54	USD	10,263.50	
27JUL17	B	1	PD270CT17	6345.00	6766.54	USD	10,538.50	
27JUL17	S	1	PD270CT17	6354.50	6766.54	USD	-10,301.00	
27JUL17	B	3	PD270CT17	6350.00	6766.54	USD	31,240.50	
27JUL17	B	1	PD270CT17	6370.00	6766.54	USD	9,913.50	
27JUL17	S	1	PD270CT17	6362.00	6766.54	USD	-10,113.50	
27JUL17	S	1	PD270CT17	6368.00	6766.54	USD	-9,963.50	
27JUL17	B	2	PD270CT17	6363.00	6766.54	USD	20,177.00	
27JUL17	S	3	PD270CT17	6370.00	6766.54	USD	-29,740.50	
27JUL17	B	1	PD270CT17	6354.50	6766.54	USD	10,301.00	
27JUL17	B	1	PD270CT17	6351.00	6766.54	USD	10,388.50	
27JUL17	S	4	PD270CT17	6350.00	6766.54	USD	-41,654.00	
27JUL17	B	2	PD270CT17	6371.00	6766.54	USD	19,777.00	
27JUL17	B	1	PD270CT17	6337.00	6766.54	USD	10,738.50	
27JUL17	S	2	PD270CT17	6339.00	6766.54	USD	-21,377.00	
27JUL17	S	1	PD270CT17	6336.00	6766.54	USD	-10,763.50	
27JUL17	B	1	PD270CT17	6330.00	6766.54	USD	10,913.50	
27JUL17	B	1	PD270CT17	6331.00	6766.54	USD	10,888.50	
27JUL17	S	1	PD270CT17	6334.00	6766.54	USD	-10,813.50	
28JUL17	B	2	PD270CT17	6266.00	6766.54	USD	25,027.00	
28JUL17	B	2	PD270CT17	6285.00	6766.54	USD	24,077.00	
28JUL17	S	1	PD270CT17	6260.00	6766.54	USD	-12,663.50	
28JUL17	S	1	PD270CT17	6269.50	6766.54	USD	-12,426.00	
28JUL17	S	2	PD270CT17	6330.00	6766.54	USD	-21,827.00	
28JUL17	B	1	PD270CT17	6310.50	6766.54	USD	11,401.00	
28JUL17	B	1	PD270CT17	6312.00	6766.54	USD	11,363.50	
28JUL17	S	1	PD270CT17	6299.00	6766.54	USD	-11,688.50	
28JUL17	S	1	PD270CT17	6322.50	6766.54	USD	-11,101.00	
28JUL17	B	1	PD270CT17	6306.00	6766.54	USD	11,513.50	
28JUL17	S	1	PD270CT17	6309.00	6766.54	USD	-11,438.50	
28JUL17	S	1	PD270CT17	6324.50	6766.54	USD	-11,051.00	
28JUL17	B	1	PD270CT17	6330.00	6766.54	USD	10,913.50	
28JUL17	S	1	PD270CT17	6335.00	6766.54	USD	-10,788.50	
28JUL17	B	1	PD270CT17	6340.00	6766.54	USD	10,663.50	
28JUL17	B	1	PD270CT17	6346.00	6766.54	USD	10,513.50	
28JUL17	S	1	PD270CT17	6342.00	6766.54	USD	-10,613.50	
28JUL17	B	1	PD270CT17	6319.00	6766.54	USD	11,188.50	
28JUL17	S	1	PD270CT17	6324.00	6766.54	USD	-11,063.50	

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14AUG17	S	2 PD27OCT17	6390.41	6766.54	USD	-18,806.50	
	NET	0 AVG BGHT 6333.50 SOLD 6340.13				5,470.50	0.00
31JUL17	B	1 PD31OCT17	6370.50	6768.25	USD	9,943.75	
31JUL17	S	1 PD31OCT17	6387.00	6768.25	USD	-9,531.25	
31JUL17	S	1 PD31OCT17	6394.00	6768.25	USD	-9,356.25	
31JUL17	B	1 PD31OCT17	6362.00	6768.25	USD	10,156.25	
31JUL17	B	2 PD31OCT17	6401.50	6768.25	USD	18,337.50	
31JUL17	B	1 PD31OCT17	6404.00	6768.25	USD	9,106.25	
31JUL17	S	1 PD31OCT17	6385.00	6768.25	USD	-9,581.25	
31JUL17	S	1 PD31OCT17	6429.00	6768.25	USD	-8,481.25	
31JUL17	B	1 PD31OCT17	6391.00	6768.25	USD	9,431.25	
31JUL17	S	2 PD31OCT17	6344.00	6768.25	USD	-21,212.50	
	NET	0 AVG BGHT 6388.42 SOLD 6380.50				-1,187.50	0.00
01AUG17	S	1 PD01NOV17	6356.00	6769.18	USD	-10,329.50	
01AUG17	B	1 PD01NOV17	6375.00	6769.18	USD	9,854.50	
01AUG17	B	2 PD01NOV17	6340.00	6769.18	USD	21,459.00	
01AUG17	S	2 PD01NOV17	6345.00	6769.18	USD	-21,209.00	
01AUG17	B	1 PD01NOV17	6354.00	6769.18	USD	10,379.50	
01AUG17	S	1 PD01NOV17	6359.50	6769.18	USD	-10,242.00	
01AUG17	S	1 PD01NOV17	6360.00	6769.18	USD	-10,229.50	
01AUG17	B	1 PD01NOV17	6362.00	6769.18	USD	10,179.50	
01AUG17	B	1 PD01NOV17	6331.00	6769.18	USD	10,954.50	
01AUG17	B	1 PD01NOV17	6316.00	6769.18	USD	11,329.50	
01AUG17	S	1 PD01NOV17	6324.00	6769.18	USD	-11,129.50	
01AUG17	S	1 PD01NOV17	6328.00	6769.18	USD	-11,029.50	
	NET	0 AVG BGHT 6345.43 SOLD 6345.36				-12.50	0.00
02AUG17	S	1 PD02NOV17	6349.00	6770.10	USD	-10,527.50	
02AUG17	S	1 PD02NOV17	6340.00	6770.10	USD	-10,752.50	
02AUG17	S	1 PD02NOV17	6329.50	6770.10	USD	-11,015.00	
02AUG17	B	1 PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	2 PD02NOV17	6340.00	6770.10	USD	21,505.00	
02AUG17	S	1 PD02NOV17	6337.00	6770.10	USD	-10,827.50	
02AUG17	B	1 PD02NOV17	6332.00	6770.10	USD	10,952.50	
	NET	0 AVG BGHT 6337.00 SOLD 6338.88				187.50	0.00
03AUG17	B	1 PD03NOV17	6341.00	6771.03	USD	10,750.75	
03AUG17	B	1 PD03NOV17	6342.00	6771.03	USD	10,725.75	
03AUG17	S	2 PD03NOV17	6370.00	6771.03	USD	-20,051.50	
03AUG17	B	1 PD03NOV17	6335.00	6771.03	USD	10,900.75	
03AUG17	S	1 PD03NOV17	6351.00	6771.03	USD	-10,500.75	
03AUG17	S	1 PD03NOV17	6328.00	6771.03	USD	-11,075.75	
03AUG17	S	1 PD03NOV17	6334.00	6771.03	USD	-10,925.75	
03AUG17	B	2 PD03NOV17	6328.00	6771.03	USD	22,151.50	
03AUG17	B	1 PD03NOV17	6332.00	6771.03	USD	10,975.75	
03AUG17	S	1 PD03NOV17	6329.00	6771.03	USD	-11,050.75	
03AUG17	S	1 PD03NOV17	6339.00	6771.03	USD	-10,800.75	
03AUG17	B	1 PD03NOV17	6338.00	6771.03	USD	10,825.75	
03AUG17	S	1 PD03NOV17	6337.00	6771.03	USD	-10,850.75	
03AUG17	S	1 PD03NOV17	6348.00	6771.03	USD	-10,575.75	
03AUG17	S	2 PD03NOV17	6354.00	6771.03	USD	-20,851.50	
03AUG17	S	1 PD03NOV17	6355.00	6771.03	USD	-10,400.75	
03AUG17	B	1 PD03NOV17	6351.00	6771.03	USD	10,500.75	
03AUG17	B	2 PD03NOV17	6340.00	6771.03	USD	21,551.50	
03AUG17	S	1 PD03NOV17	6345.00	6771.03	USD	-10,650.75	
04AUG17	B	1 PD03NOV17	6345.00	6771.03	USD	10,650.75	
04AUG17	B	1 PD03NOV17	6365.00	6771.03	USD	10,150.75	
04AUG17	B	1 PD03NOV17	6371.00	6771.03	USD	10,000.75	

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04AUG17	B	1	PD03NOV17	6374.00	6771.03	USD	9,925.75	
04AUG17	B	1	PD03NOV17	6379.50	6771.03	USD	9,788.25	
04AUG17	B	1	PD03NOV17	6380.00	6771.03	USD	9,775.75	
04AUG17	S	1	PD03NOV17	6369.00	6771.03	USD	-10,050.75	
04AUG17	S	1	PD03NOV17	6375.00	6771.03	USD	-9,900.75	
04AUG17	S	1	PD03NOV17	6379.00	6771.03	USD	-9,800.75	
04AUG17	S	1	PD03NOV17	6380.00	6771.03	USD	-9,775.75	
04AUG17	S	2	PD03NOV17	6366.00	6771.03	USD	-20,251.50	
04AUG17	S	1	PD03NOV17	6363.00	6771.03	USD	-10,200.75	
04AUG17	B	1	PD03NOV17	6330.00	6771.03	USD	11,025.75	
04AUG17	B	1	PD03NOV17	6361.00	6771.03	USD	10,250.75	
04AUG17	B	1	PD03NOV17	6343.00	6771.03	USD	10,700.75	
04AUG17	S	1	PD03NOV17	6348.00	6771.03	USD	-10,575.75	
04AUG17	B	1	PD03NOV17	6342.00	6771.03	USD	10,725.75	
04AUG17	S	1	PD03NOV17	6332.00	6771.03	USD	-10,975.75	
04AUG17	S	1	PD03NOV17	6338.00	6771.03	USD	-10,825.75	
04AUG17	S	1	PD03NOV17	6340.00	6771.03	USD	-10,775.75	
04AUG17	S	1	PD03NOV17	6341.00	6771.03	USD	-10,750.75	
04AUG17	B	1	PD03NOV17	6333.00	6771.03	USD	10,950.75	
04AUG17	B	1	PD03NOV17	6347.00	6771.03	USD	10,600.75	
04AUG17	S	1	PD03NOV17	6352.00	6771.03	USD	-10,475.75	
04AUG17	S	1	PD03NOV17	6365.00	6771.03	USD	-10,150.75	
04AUG17	B	1	PD03NOV17	6381.00	6771.03	USD	9,750.75	
04AUG17	B	2	PD03NOV17	6360.00	6771.03	USD	20,551.50	
14AUG17	B	2	PD03NOV17	6393.09	6771.03	USD	18,897.00	
	NET	0 AVG BGHT 6353.06	SOLD 6352.89				-117.00	0.00
07AUG17	B	1	PD07NOV17	6340.50	6774.73	USD	10,855.75	
07AUG17	B	1	PD07NOV17	6365.50	6774.73	USD	10,230.75	
07AUG17	S	1	PD07NOV17	6370.00	6774.73	USD	-10,118.25	
07AUG17	B	1	PD07NOV17	6345.00	6774.73	USD	10,743.25	
07AUG17	B	1	PD07NOV17	6390.50	6774.73	USD	9,605.75	
07AUG17	B	1	PD07NOV17	6403.50	6774.73	USD	9,280.75	
07AUG17	S	1	PD07NOV17	6339.00	6774.73	USD	-10,893.25	
07AUG17	S	1	PD07NOV17	6348.50	6774.73	USD	-10,655.75	
07AUG17	S	1	PD07NOV17	6408.00	6774.73	USD	-9,168.25	
07AUG17	S	1	PD07NOV17	6410.50	6774.73	USD	-9,105.75	
07AUG17	B	1	PD07NOV17	6342.50	6774.73	USD	10,805.75	
07AUG17	B	1	PD07NOV17	6362.00	6774.73	USD	10,318.25	
07AUG17	S	1	PD07NOV17	6349.00	6774.73	USD	-10,643.25	
07AUG17	S	2	PD07NOV17	6350.00	6774.73	USD	-21,236.50	
07AUG17	S	1	PD07NOV17	6358.00	6774.73	USD	-10,418.25	
07AUG17	B	2	PD07NOV17	6355.00	6774.73	USD	20,986.50	
07AUG17	B	1	PD07NOV17	6366.00	6774.73	USD	10,218.25	
07AUG17	B	1	PD07NOV17	6341.50	6774.73	USD	10,830.75	
07AUG17	B	1	PD07NOV17	6346.00	6774.73	USD	10,718.25	
07AUG17	S	1	PD07NOV17	6349.50	6774.73	USD	-10,630.75	
07AUG17	S	1	PD07NOV17	6429.00	6774.73	USD	-8,643.25	
07AUG17	S	1	PD07NOV17	6440.00	6774.73	USD	-8,368.25	
07AUG17	S	1	PD07NOV17	6410.00	6774.73	USD	-9,118.25	
07AUG17	B	1	PD07NOV17	6416.00	6774.73	USD	8,968.25	
07AUG17	B	2	PD07NOV17	6413.00	6774.73	USD	18,086.50	
14AUG17	S	2	PD07NOV17	6394.31	6774.73	USD	-19,021.00	
	NET	0 AVG BGHT 6370.33	SOLD 6380.01				3,628.00	0.00
09AUG17	B	1	PD09NOV17	6503.00	6776.58	USD	6,839.50	
09AUG17	S	1	PD09NOV17	6507.00	6776.58	USD	-6,739.50	
09AUG17	S	3	PD09NOV17	6510.00	6776.58	USD	-19,993.50	
09AUG17	S	1	PD09NOV17	6485.00	6776.58	USD	-7,289.50	

OPEN POSITION STATEMENT



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SAP0086G

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
09AUG17	B	1	PD09NOV17		6485.00	6776.58	USD	7,289.50	
23AUG17	B	3	PD09NOV17		6563.50	6776.58	USD	15,981.00	
	NET	0AVG	BGHT 6535.70	SOLD 6504.40				-3,912.50	0.00
10AUG17	B	1	PD10NOV17		6453.00	6777.50	USD	8,112.50	
10AUG17	B	1	PD10NOV17		6463.00	6777.50	USD	7,862.50	
10AUG17	S	2	PD10NOV17		6458.00	6777.50	USD	-15,975.00	
10AUG17	B	1	PD10NOV17		6405.00	6777.50	USD	9,312.50	
10AUG17	B	2	PD10NOV17		6401.00	6777.50	USD	18,825.00	
10AUG17	B	1	PD10NOV17		6427.00	6777.50	USD	8,762.50	
10AUG17	S	1	PD10NOV17		6432.00	6777.50	USD	-8,637.50	
11AUG17	B	1	PD10NOV17		6345.00	6777.50	USD	10,812.50	
11AUG17	S	1	PD10NOV17		6342.00	6777.50	USD	-10,887.50	
11AUG17	B	1	PD10NOV17		6358.00	6777.50	USD	10,487.50	
11AUG17	S	1	PD10NOV17		6363.00	6777.50	USD	-10,362.50	
11AUG17	B	1	PD10NOV17		6355.00	6777.50	USD	10,562.50	
11AUG17	S	1	PD10NOV17		6360.00	6777.50	USD	-10,437.50	
11AUG17	B	2	PD10NOV17		6372.00	6777.50	USD	20,275.00	
11AUG17	S	1	PD10NOV17		6375.50	6777.50	USD	-10,050.00	
11AUG17	S	1	PD10NOV17		6377.00	6777.50	USD	-10,012.50	
11AUG17	S	1	PD10NOV17		6379.00	6777.50	USD	-9,962.50	
11AUG17	S	2	PD10NOV17		6383.00	6777.50	USD	-19,725.00	
11AUG17	B	1	PD10NOV17		6379.00	6777.50	USD	9,962.50	
11AUG17	B	1	PD10NOV17		6414.00	6777.50	USD	9,087.50	
11AUG17	B	1	PD10NOV17		6415.00	6777.50	USD	9,062.50	
11AUG17	S	1	PD10NOV17		6409.00	6777.50	USD	-9,212.50	
11AUG17	S	1	PD10NOV17		6422.00	6777.50	USD	-8,887.50	
11AUG17	S	1	PD10NOV17		6417.00	6777.50	USD	-9,012.50	
	NET	0AVG	BGHT 6397.14	SOLD 6397.04				-37.50	0.00
14AUG17	S	1	PD14NOV17		6415.00	6776.83	USD	-9,045.75	
14AUG17	B	1	PD14NOV17		6405.00	6776.83	USD	9,295.75	
	NET	0AVG	BGHT 6405.00	SOLD 6415.00				250.00	0.00
15AUG17	S	1	PD15NOV17		6415.00	6776.50	USD	-9,037.50	
15AUG17	B	1	PD15NOV17		6418.00	6776.50	USD	8,962.50	
15AUG17	B	1	PD15NOV17		6430.00	6776.50	USD	8,662.50	
15AUG17	S	1	PD15NOV17		6429.50	6776.50	USD	-8,675.00	
15AUG17	S	1	PD15NOV17		6428.00	6776.50	USD	-8,712.50	
15AUG17	B	1	PD15NOV17		6423.00	6776.50	USD	8,837.50	
15AUG17	S	1	PD15NOV17		6422.00	6776.50	USD	-8,862.50	
15AUG17	B	1	PD15NOV17		6417.00	6776.50	USD	8,987.50	
15AUG17	B	1	PD15NOV17		6397.00	6776.50	USD	9,487.50	
15AUG17	B	1	PD15NOV17		6382.00	6776.50	USD	9,862.50	
15AUG17	S	1	PD15NOV17		6377.00	6776.50	USD	-9,987.50	
15AUG17	S	1	PD15NOV17		6392.00	6776.50	USD	-9,612.50	
15AUG17	S	1	PD15NOV17		6365.00	6776.50	USD	-10,287.50	
15AUG17	B	1	PD15NOV17		6370.00	6776.50	USD	10,162.50	
	NET	0AVG	BGHT 6405.29	SOLD 6404.07				-212.50	0.00
16AUG17	S	1	PD16NOV17		6476.50	6776.25	USD	-7,493.75	
16AUG17	S	1	PD16NOV17		6494.00	6776.25	USD	-7,056.25	
16AUG17	B	1	PD16NOV17		6495.50	6776.25	USD	7,018.75	
16AUG17	B	1	PD16NOV17		6499.50	6776.25	USD	6,918.75	
16AUG17	B	1	PD16NOV17		6500.00	6776.25	USD	6,906.25	
16AUG17	S	2	PD16NOV17		6495.00	6776.25	USD	-14,062.50	
16AUG17	B	1	PD16NOV17		6490.00	6776.25	USD	7,156.25	
16AUG17	B	5	PD16NOV17		6492.00	6776.25	USD	35,531.25	
16AUG17	S	3	PD16NOV17		6490.00	6776.25	USD	-21,468.75	
16AUG17	B	1	PD16NOV17		6505.00	6776.25	USD	6,781.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	B 1 PD16NOV17	6506.00	6776.25	USD	6,756.25	
16AUG17	S 1 PD16NOV17	6504.50	6776.25	USD	-6,793.75	
16AUG17	S 1 PD16NOV17	6526.00	6776.25	USD	-6,256.25	
16AUG17	B 1 PD16NOV17	6521.00	6776.25	USD	6,381.25	
16AUG17	B 1 PD16NOV17	6538.00	6776.25	USD	5,956.25	
16AUG17	B 1 PD16NOV17	6546.00	6776.25	USD	5,756.25	
16AUG17	S 1 PD16NOV17	6538.00	6776.25	USD	-5,956.25	
16AUG17	S 1 PD16NOV17	6540.00	6776.25	USD	-5,906.25	
16AUG17	S 1 PD16NOV17	6545.00	6776.25	USD	-5,781.25	
16AUG17	B 1 PD16NOV17	6545.00	6776.25	USD	5,781.25	
16AUG17	B 1 PD16NOV17	6562.00	6776.25	USD	5,356.25	
16AUG17	S 1 PD16NOV17	6556.00	6776.25	USD	-5,506.25	
23AUG17	S 3 PD16NOV17	6563.30	6776.25	USD	-15,971.25	
	NET 0AVG BGHT 6510.50 SOLD 6520.62				4,047.50	0.00
17AUG17	S 1 PD17NOV17	6560.00	6776.78	USD	-5,419.50	
17AUG17	B 1 PD17NOV17	6532.00	6776.78	USD	6,119.50	
17AUG17	B 1 PD17NOV17	6483.00	6776.78	USD	7,344.50	
17AUG17	S 1 PD17NOV17	6496.00	6776.78	USD	-7,019.50	
17AUG17	B 2 PD17NOV17	6482.00	6776.78	USD	14,739.00	
17AUG17	B 1 PD17NOV17	6491.00	6776.78	USD	7,144.50	
17AUG17	S 1 PD17NOV17	6485.00	6776.78	USD	-7,294.50	
17AUG17	S 1 PD17NOV17	6488.00	6776.78	USD	-7,219.50	
17AUG17	B 2 PD17NOV17	6481.00	6776.78	USD	14,789.00	
17AUG17	S 1 PD17NOV17	6477.00	6776.78	USD	-7,494.50	
17AUG17	S 2 PD17NOV17	6478.00	6776.78	USD	-14,939.00	
	NET 0AVG BGHT 6490.29 SOLD 6494.57				750.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03AUG17	B 1 PD03NOV17	2350.50	2557.04	USD	5,163.50	
03AUG17	S 1 PD03NOV17	2360.50	2557.04	USD	-4,913.50	
	NET 0AVG BGHT 2350.50 SOLD 2360.50				250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	S 1 PD27OCT17	10165.00	11073.68	USD	-5,452.08	
27JUL17	B 1 PD27OCT17	10210.00	11073.68	USD	5,182.08	
27JUL17	S 1 PD27OCT17	10200.00	11073.68	USD	-5,242.08	
28JUL17	B 1 PD27OCT17	10080.00	11073.68	USD	5,962.08	
	NET 0AVG BGHT 10145.00 SOLD 10182.50				450.00	0.00
03AUG17	S 1 PD03NOV17	10290.00	11080.43	USD	-4,742.58	
03AUG17	B 1 PD03NOV17	10290.00	11080.43	USD	4,742.58	
	NET 0AVG BGHT 10290.00 SOLD 10290.00				0.00	0.00
10AUG17	S 1 PD10NOV17	10860.00	11087.18	USD	-1,363.08	
10AUG17	B 1 PD10NOV17	10910.00	11087.18	USD	1,063.08	
11AUG17	S 1 PD10NOV17	10710.00	11087.18	USD	-2,263.08	
11AUG17	B 1 PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	B 1 PD10NOV17	10645.00	11087.18	USD	2,653.08	
11AUG17	S 1 PD10NOV17	10670.00	11087.18	USD	-2,503.08	
	NET 0AVG BGHT 10738.33 SOLD 10746.67				150.00	0.00
16AUG17	S 1 PD16NOV17	10450.00	11092.77	USD	-3,856.62	
16AUG17	B 1 PD16NOV17	10525.00	11092.77	USD	3,406.62	
16AUG17	S 1 PD16NOV17	10675.00	11092.77	USD	-2,506.62	
16AUG17	B 1 PD16NOV17	10750.00	11092.77	USD	2,056.62	
	NET 0AVG BGHT 10637.50 SOLD 10562.50				-900.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME</u>					

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD200CT17	20095.00	20986.00	USD	-4,455.00	
20JUL17	B	1	PD200CT17	20105.00	20986.00	USD	4,405.00	
	NET	0AVG BGHT 20105.00	SOLD 20095.00				-50.00	0.00
24JUL17	B	1	PD240CT17	20130.00	20982.00	USD	4,260.00	
24JUL17	S	1	PD240CT17	20160.00	20982.00	USD	-4,110.00	
	NET	0AVG BGHT 20130.00	SOLD 20160.00				150.00	0.00
26JUL17	B	2	PD260CT17	20225.00	20978.00	USD	7,530.00	
26JUL17	S	1	PD260CT17	20300.00	20978.00	USD	-3,390.00	
26JUL17	S	1	PD260CT17	20335.00	20978.00	USD	-3,215.00	
	NET	0AVG BGHT 20225.00	SOLD 20317.50				925.00	0.00
27JUL17	B	1	PD270CT17	20550.00	20976.00	USD	2,130.00	
27JUL17	S	1	PD270CT17	20585.00	20976.00	USD	-1,955.00	
27JUL17	S	2	PD270CT17	20780.00	20976.00	USD	-1,960.00	
27JUL17	B	1	PD270CT17	20705.00	20976.00	USD	1,355.00	
27JUL17	B	1	PD270CT17	20725.00	20976.00	USD	1,255.00	
27JUL17	B	1	PD270CT17	20680.00	20976.00	USD	1,480.00	
27JUL17	S	1	PD270CT17	20665.00	20976.00	USD	-1,555.00	
28JUL17	S	2	PD270CT17	20725.00	20976.00	USD	-2,510.00	
28JUL17	B	1	PD270CT17	20810.00	20976.00	USD	830.00	
28JUL17	B	1	PD270CT17	20825.00	20976.00	USD	755.00	
28JUL17	B	1	PD270CT17	20620.00	20976.00	USD	1,780.00	
28JUL17	S	1	PD270CT17	20640.00	20976.00	USD	-1,680.00	
	NET	0AVG BGHT 20702.14	SOLD 20700.00				-75.00	0.00
31JUL17	B	1	PD310CT17	20805.00	20972.00	USD	835.00	
31JUL17	S	1	PD310CT17	20835.00	20972.00	USD	-685.00	
	NET	0AVG BGHT 20805.00	SOLD 20835.00				150.00	0.00
01AUG17	B	1	PD01NOV17	20410.00	20970.00	USD	2,800.00	
01AUG17	S	1	PD01NOV17	20395.00	20970.00	USD	-2,875.00	
	NET	0AVG BGHT 20410.00	SOLD 20395.00				-75.00	0.00
04AUG17	B	2	PD03NOV17	20700.00	20966.00	USD	2,660.00	
04AUG17	S	2	PD03NOV17	20695.00	20966.00	USD	-2,710.00	
	NET	0AVG BGHT 20700.00	SOLD 20695.00				-50.00	0.00
08AUG17	S	1	PD08NOV17	20450.00	20960.00	USD	-2,550.00	
08AUG17	S	1	PD08NOV17	20470.00	20960.00	USD	-2,450.00	
08AUG17	B	2	PD08NOV17	20425.00	20960.00	USD	5,350.00	
	NET	0AVG BGHT 20425.00	SOLD 20460.00				350.00	0.00
15AUG17	B	2	PD15NOV17	19570.00	20950.00	USD	13,800.00	
15AUG17	B	2	PD15NOV17	19710.00	20950.00	USD	12,400.00	
15AUG17	S	3	PD15NOV17	19980.00	20950.00	USD	-14,550.00	
15AUG17	S	1	PD15NOV17	20000.00	20950.00	USD	-4,750.00	
15AUG17	S	1	PD15NOV17	19820.00	20950.00	USD	-5,650.00	
15AUG17	B	1	PD15NOV17	19805.00	20950.00	USD	5,725.00	
	NET	0AVG BGHT 19673.00	SOLD 19952.00				6,975.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD240CT17	2782.00	3267.00	USD	12,125.00	
24JUL17	S	1	PD240CT17	2775.00	3267.00	USD	-12,300.00	
	NET	0AVG BGHT 2782.00	SOLD 2775.00				-175.00	0.00
28JUL17	S	1	PD270CT17	2794.50	3260.67	USD	-11,654.25	
28JUL17	B	1	PD270CT17	2781.00	3260.67	USD	11,991.75	
	NET	0AVG BGHT 2781.00	SOLD 2794.50				337.50	0.00
09AUG17	B	2	PD09NOV17	2982.50	3248.44	USD	13,297.00	
09AUG17	S	2	PD09NOV17	2979.00	3248.44	USD	-13,472.00	
	NET	0AVG BGHT 2982.50	SOLD 2979.00				-175.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
16AUG17	S	1	PD16NOV17		3094.00	3241.50	USD	-3,687.50	
16AUG17	B	1	PD16NOV17		3103.00	3241.50	USD	3,462.50	
	NET	0 AVG BGHT	3103.00	SOLD 3094.00				-225.00	0.00
17AUG17	B	1	PD17NOV17		3102.00	3239.00	USD	3,425.00	
17AUG17	S	1	PD17NOV17		3089.50	3239.00	USD	-3,737.50	
	NET	0 AVG BGHT	3102.00	SOLD 3089.50				-312.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-863.75
LME Lead 25 tonnes. This is an	FUTURES	250.00
LME Nickel 6 tonnes. This is	FUTURES	-300.00
LME Tin 5 tonnes. This is an	FUTURES	8,300.00
LME Zinc 25 tonnes. This is	FUTURES	-550.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	-863.75	-863.75		
LEAD	- Futures	USD	250.00	250.00		
NKL	- Futures	USD	-300.00	-300.00		
TIN	- Futures	USD	8,300.00	8,300.00		
ZINC	- Futures	USD	-550.00	-550.00	6,836.25	6,836.25

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
CASH BALANCE	(	1.00000)	(1.00000)
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		8,050.15	8,050.15
Initial Margins		0.00	0.00
Excess/(Shortage)		8,050.15	8,050.15
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		6,836.25	6,836.25
Net Liquidating Value		14,886.40	14,886.40

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD130CT17	1935.50	2104.75	USD	-4,231.25	
13JUL17	B	1	PD130CT17	1935.50	2104.75	USD	4,231.25	
13JUL17	B	1	PD130CT17	1936.00	2104.75	USD	4,218.75	
13JUL17	S	1	PD130CT17	1934.50	2104.75	USD	-4,256.25	
	NET	0 AVG BGHT	1935.75 SOLD 1935.00				-37.50	0.00
14AUG17	B	1	PD14NOV17	2027.00	2115.79	USD	2,219.75	
14AUG17	S	1	PD14NOV17	2028.50	2115.79	USD	-2,182.25	
	NET	0 AVG BGHT	2027.00 SOLD 2028.50				37.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5907.00	6755.20	USD	-21,205.00	
12JUL17	B	1	PD120CT17	5890.00	6755.20	USD	21,630.00	
	NET	0 AVG BGHT	5890.00 SOLD 5907.00				425.00	0.00
13JUL17	S	1	PD130CT17	5915.00	6756.00	USD	-21,025.00	
13JUL17	S	1	PD130CT17	5919.00	6756.00	USD	-20,925.00	
13JUL17	B	1	PD130CT17	5884.50	6756.00	USD	21,787.50	
13JUL17	B	1	PD130CT17	5891.50	6756.00	USD	21,612.50	
14JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
14JUL17	S	1	PD130CT17	5879.00	6756.00	USD	-21,925.00	
14JUL17	B	1	PD130CT17	5907.00	6756.00	USD	21,225.00	
14JUL17	S	1	PD130CT17	5916.00	6756.00	USD	-21,000.00	
14JUL17	B	1	PD130CT17	5931.50	6756.00	USD	20,612.50	
14JUL17	B	1	PD130CT17	5922.50	6756.00	USD	20,837.50	
14JUL17	S	2	PD130CT17	5928.50	6756.00	USD	-41,375.00	
	NET	0 AVG BGHT	5902.83 SOLD 5914.33				1,725.00	0.00
17JUL17	S	1	PD170CT17	5966.50	6759.20	USD	-19,817.50	
17JUL17	S	1	PD170CT17	5954.50	6759.20	USD	-20,117.50	
17JUL17	S	1	PD170CT17	5983.50	6759.20	USD	-19,392.50	
17JUL17	B	1	PD170CT17	6000.50	6759.20	USD	18,967.50	
17JUL17	S	1	PD170CT17	5999.50	6759.20	USD	-18,992.50	
17JUL17	B	1	PD170CT17	6001.00	6759.20	USD	18,955.00	
17JUL17	B	1	PD170CT17	6005.50	6759.20	USD	18,842.50	
17JUL17	S	1	PD170CT17	6011.50	6759.20	USD	-18,692.50	
17JUL17	B	2	PD170CT17	6012.50	6759.20	USD	37,335.00	
	NET	0 AVG BGHT	6006.40 SOLD 5983.10				-2,912.50	0.00
18JUL17	S	1	PD180CT17	5993.00	6760.00	USD	-19,175.00	
18JUL17	S	1	PD180CT17	5996.00	6760.00	USD	-19,100.00	
18JUL17	S	1	PD180CT17	5996.50	6760.00	USD	-19,087.50	
18JUL17	B	3	PD180CT17	5980.00	6760.00	USD	58,500.00	
18JUL17	S	1	PD180CT17	6006.00	6760.00	USD	-18,850.00	
18JUL17	S	1	PD180CT17	6008.00	6760.00	USD	-18,800.00	
24JUL17	B	2	PD180CT17	6027.50	6760.00	USD	36,625.00	
	NET	0 AVG BGHT	5999.00 SOLD 5999.90				112.50	0.00
19JUL17	B	1	PD190CT17	5989.00	6760.88	USD	19,297.00	
19JUL17	B	1	PD190CT17	5991.50	6760.88	USD	19,234.50	
19JUL17	S	1	PD190CT17	6015.00	6760.88	USD	-18,647.00	
19JUL17	S	1	PD190CT17	6018.00	6760.88	USD	-18,572.00	
19JUL17	B	1	PD190CT17	5998.00	6760.88	USD	19,072.00	
19JUL17	B	1	PD190CT17	5997.00	6760.88	USD	19,097.00	
19JUL17	S	1	PD190CT17	5996.50	6760.88	USD	-19,109.50	
19JUL17	S	1	PD190CT17	5989.50	6760.88	USD	-19,284.50	
19JUL17	S	1	PD190CT17	5984.00	6760.88	USD	-19,422.00	
24JUL17	B	1	PD190CT17	6026.50	6760.88	USD	18,359.50	
	NET	0 AVG BGHT	6000.40 SOLD 6000.60				25.00	0.00
20JUL17	S	1	PD200CT17	5983.00	6761.75	USD	-19,468.75	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5982.00	6761.75	USD	19,493.75	
20JUL17	B	1	PD200CT17	5970.00	6761.75	USD	19,793.75	
20JUL17	B	1	PD200CT17	5972.50	6761.75	USD	19,731.25	
20JUL17	S	1	PD200CT17	5979.50	6761.75	USD	-19,556.25	
20JUL17	B	3	PD200CT17	5969.00	6761.75	USD	59,456.25	
20JUL17	S	2	PD200CT17	5977.00	6761.75	USD	-39,237.50	
20JUL17	S	1	PD200CT17	5977.50	6761.75	USD	-19,606.25	
20JUL17	S	1	PD200CT17	5979.00	6761.75	USD	-19,568.75	
20JUL17	B	1	PD200CT17	5978.50	6761.75	USD	19,581.25	
21JUL17	S	1	PD200CT17	5983.00	6761.75	USD	-19,468.75	
21JUL17	B	1	PD200CT17	6000.00	6761.75	USD	19,043.75	
21JUL17	S	1	PD200CT17	6008.00	6761.75	USD	-18,843.75	
21JUL17	S	1	PD200CT17	6013.50	6761.75	USD	-18,706.25	
21JUL17	B	1	PD200CT17	6032.00	6761.75	USD	18,243.75	
21JUL17	B	1	PD200CT17	5996.00	6761.75	USD	19,143.75	
21JUL17	B	2	PD200CT17	6000.50	6761.75	USD	38,062.50	
24JUL17	S	3	PD200CT17	6025.30	6761.75	USD	-55,233.75	
	NET	0AVG BGHT 5986.58	SOLD 5996.12				2,860.00	0.00
24JUL17	S	3	PD240CT17	5996.00	6765.25	USD	-57,693.75	
24JUL17	B	1	PD240CT17	6000.00	6765.25	USD	19,131.25	
24JUL17	B	1	PD240CT17	6004.00	6765.25	USD	19,031.25	
24JUL17	B	1	PD240CT17	6004.50	6765.25	USD	19,018.75	
	NET	0AVG BGHT 6002.83	SOLD 5996.00				-512.50	0.00
25JUL17	B	1	PD250CT17	6073.00	6765.68	USD	17,317.00	
25JUL17	S	1	PD250CT17	6054.50	6765.68	USD	-17,779.50	
25JUL17	S	1	PD250CT17	6063.50	6765.68	USD	-17,554.50	
25JUL17	S	1	PD250CT17	6067.00	6765.68	USD	-17,467.00	
25JUL17	B	1	PD250CT17	6101.00	6765.68	USD	16,617.00	
25JUL17	B	1	PD250CT17	6103.00	6765.68	USD	16,567.00	
25JUL17	S	1	PD250CT17	6096.50	6765.68	USD	-16,729.50	
25JUL17	S	2	PD250CT17	6127.00	6765.68	USD	-31,934.00	
25JUL17	B	1	PD250CT17	6160.50	6765.68	USD	15,129.50	
25JUL17	S	1	PD250CT17	6164.00	6765.68	USD	-15,042.00	
25JUL17	S	1	PD250CT17	6188.50	6765.68	USD	-14,429.50	
25JUL17	B	1	PD250CT17	6187.50	6765.68	USD	14,454.50	
25JUL17	B	1	PD250CT17	6194.00	6765.68	USD	14,292.00	
25JUL17	S	1	PD250CT17	6195.50	6765.68	USD	-14,254.50	
25JUL17	B	1	PD250CT17	6182.50	6765.68	USD	14,579.50	
25JUL17	B	1	PD250CT17	6198.50	6765.68	USD	14,179.50	
25JUL17	S	1	PD250CT17	6196.50	6765.68	USD	-14,229.50	
25JUL17	B	1	PD250CT17	6201.50	6765.68	USD	14,104.50	
25JUL17	B	1	PD250CT17	6230.00	6765.68	USD	13,392.00	
25JUL17	S	1	PD250CT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S	1	PD250CT17	6211.50	6765.68	USD	-13,854.50	
25JUL17	B	1	PD250CT17	6232.00	6765.68	USD	13,342.00	
25JUL17	B	1	PD250CT17	6211.50	6765.68	USD	13,854.50	
25JUL17	B	1	PD250CT17	6215.50	6765.68	USD	13,754.50	
25JUL17	S	1	PD250CT17	6210.50	6765.68	USD	-13,879.50	
25JUL17	S	1	PD250CT17	6230.00	6765.68	USD	-13,392.00	
25JUL17	B	1	PD250CT17	6221.00	6765.68	USD	13,617.00	
	NET	0AVG BGHT 6179.39	SOLD 6152.25				-9,500.00	0.00
26JUL17	S	1	PD260CT17	6263.50	6766.11	USD	-12,565.25	
26JUL17	S	1	PD260CT17	6276.00	6766.11	USD	-12,252.75	
26JUL17	S	1	PD260CT17	6301.50	6766.11	USD	-11,615.25	
26JUL17	S	1	PD260CT17	6338.00	6766.11	USD	-10,702.75	
26JUL17	B	1	PD260CT17	6290.00	6766.11	USD	11,902.75	

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26JUL17	B	1	PD26OCT17	6305.00	6766.11	USD	11,527.75	
26JUL17	B	1	PD26OCT17	6320.00	6766.11	USD	11,152.75	
26JUL17	S	1	PD26OCT17	6315.00	6766.11	USD	-11,277.75	
26JUL17	S	1	PD26OCT17	6341.50	6766.11	USD	-10,615.25	
26JUL17	S	1	PD26OCT17	6327.00	6766.11	USD	-10,977.75	
26JUL17	B	3	PD26OCT17	6330.50	6766.11	USD	32,670.75	
26JUL17	B	1	PD26OCT17	6326.00	6766.11	USD	11,002.75	
	NET	0AVG BGHT 6318.93	SOLD 6308.93				-1,750.00	0.00
27JUL17	B	1	PD27OCT17	6321.00	6766.54	USD	11,138.50	
27JUL17	S	1	PD27OCT17	6310.00	6766.54	USD	-11,413.50	
27JUL17	S	1	PD27OCT17	6370.00	6766.54	USD	-9,913.50	
27JUL17	B	1	PD27OCT17	6335.00	6766.54	USD	10,788.50	
28JUL17	B	1	PD27OCT17	6284.00	6766.54	USD	12,063.50	
28JUL17	S	1	PD27OCT17	6330.00	6766.54	USD	-10,913.50	
	NET	0AVG BGHT 6313.33	SOLD 6336.67				1,750.00	0.00
31JUL17	S	1	PD31OCT17	6388.00	6768.25	USD	-9,506.25	
31JUL17	B	1	PD31OCT17	6385.00	6768.25	USD	9,581.25	
31JUL17	B	1	PD31OCT17	6402.00	6768.25	USD	9,156.25	
31JUL17	B	1	PD31OCT17	6421.50	6768.25	USD	8,668.75	
31JUL17	B	1	PD31OCT17	6423.50	6768.25	USD	8,618.75	
31JUL17	S	1	PD31OCT17	6345.00	6768.25	USD	-10,581.25	
31JUL17	S	1	PD31OCT17	6418.00	6768.25	USD	-8,756.25	
31JUL17	S	1	PD31OCT17	6429.50	6768.25	USD	-8,468.75	
	NET	0AVG BGHT 6408.00	SOLD 6395.13				-1,287.50	0.00
02AUG17	S	1	PD02NOV17	6345.00	6770.10	USD	-10,627.50	
02AUG17	S	1	PD02NOV17	6330.50	6770.10	USD	-10,990.00	
02AUG17	S	1	PD02NOV17	6341.00	6770.10	USD	-10,727.50	
02AUG17	B	1	PD02NOV17	6330.00	6770.10	USD	11,002.50	
02AUG17	B	1	PD02NOV17	6336.00	6770.10	USD	10,852.50	
02AUG17	B	1	PD02NOV17	6341.00	6770.10	USD	10,727.50	
	NET	0AVG BGHT 6335.67	SOLD 6338.83				237.50	0.00
03AUG17	S	1	PD03NOV17	6352.00	6771.03	USD	-10,475.75	
03AUG17	S	1	PD03NOV17	6356.00	6771.03	USD	-10,375.75	
04AUG17	B	1	PD03NOV17	6368.00	6771.03	USD	10,075.75	
04AUG17	B	1	PD03NOV17	6376.50	6771.03	USD	9,863.25	
04AUG17	S	2	PD03NOV17	6368.50	6771.03	USD	-20,126.50	
04AUG17	B	1	PD03NOV17	6328.00	6771.03	USD	11,075.75	
04AUG17	B	1	PD03NOV17	6341.00	6771.03	USD	10,750.75	
04AUG17	S	2	PD03NOV17	6338.00	6771.03	USD	-21,651.50	
04AUG17	S	1	PD03NOV17	6339.50	6771.03	USD	-10,788.25	
04AUG17	B	1	PD03NOV17	6361.50	6771.03	USD	10,238.25	
04AUG17	S	1	PD03NOV17	6359.50	6771.03	USD	-10,288.25	
04AUG17	B	1	PD03NOV17	6370.50	6771.03	USD	10,013.25	
14AUG17	B	2	PD03NOV17	6393.09	6771.03	USD	18,897.00	
	NET	0AVG BGHT 6366.46	SOLD 6352.50				-2,792.00	0.00
07AUG17	B	1	PD07NOV17	6341.00	6774.73	USD	10,843.25	
07AUG17	B	1	PD07NOV17	6400.50	6774.73	USD	9,355.75	
07AUG17	S	1	PD07NOV17	6417.00	6774.73	USD	-8,943.25	
07AUG17	B	1	PD07NOV17	6361.00	6774.73	USD	10,343.25	
07AUG17	B	1	PD07NOV17	6367.00	6774.73	USD	10,193.25	
07AUG17	B	1	PD07NOV17	6393.50	6774.73	USD	9,530.75	
07AUG17	S	1	PD07NOV17	6341.00	6774.73	USD	-10,843.25	
07AUG17	S	1	PD07NOV17	6369.00	6774.73	USD	-10,143.25	
07AUG17	S	1	PD07NOV17	6408.50	6774.73	USD	-9,155.75	
07AUG17	B	1	PD07NOV17	6344.50	6774.73	USD	10,755.75	
07AUG17	B	1	PD07NOV17	6350.50	6774.73	USD	10,605.75	

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07AUG17	B	1	PD07NOV17	6355.50	6774.73	USD	10,480.75	
07AUG17	B	1	PD07NOV17	6361.50	6774.73	USD	10,330.75	
07AUG17	S	1	PD07NOV17	6368.00	6774.73	USD	-10,168.25	
07AUG17	S	2	PD07NOV17	6377.50	6774.73	USD	-19,861.50	
07AUG17	S	1	PD07NOV17	6428.00	6774.73	USD	-8,668.25	
07AUG17	S	1	PD07NOV17	6428.50	6774.73	USD	-8,655.75	
07AUG17	S	1	PD07NOV17	6429.50	6774.73	USD	-8,630.75	
07AUG17	B	3	PD07NOV17	6410.00	6774.73	USD	27,354.75	
14AUG17	S	2	PD07NOV17	6394.31	6774.73	USD	-19,021.00	
	NET	0 AVG BGHT 6375.42	SOLD 6394.43				5,703.00	0.00
08AUG17	S	1	PD08NOV17	6388.50	6775.65	USD	-9,678.75	
08AUG17	S	2	PD08NOV17	6393.00	6775.65	USD	-19,132.50	
08AUG17	B	1	PD08NOV17	6399.00	6775.65	USD	9,416.25	
08AUG17	B	1	PD08NOV17	6385.50	6775.65	USD	9,753.75	
08AUG17	B	1	PD08NOV17	6392.00	6775.65	USD	9,591.25	
08AUG17	B	1	PD08NOV17	6451.00	6775.65	USD	8,116.25	
08AUG17	S	1	PD08NOV17	6458.50	6775.65	USD	-7,928.75	
	NET	0 AVG BGHT 6406.88	SOLD 6408.25				137.50	0.00
09AUG17	S	1	PD09NOV17	6503.00	6776.58	USD	-6,839.50	
09AUG17	S	2	PD09NOV17	6504.00	6776.58	USD	-13,629.00	
23AUG17	B	3	PD09NOV17	6563.50	6776.58	USD	15,981.00	
	NET	0 AVG BGHT 6563.50	SOLD 6503.67				-4,487.50	0.00
10AUG17	B	1	PD10NOV17	6425.50	6777.50	USD	8,800.00	
10AUG17	S	1	PD10NOV17	6429.50	6777.50	USD	-8,700.00	
10AUG17	B	1	PD10NOV17	6400.00	6777.50	USD	9,437.50	
10AUG17	B	1	PD10NOV17	6405.00	6777.50	USD	9,312.50	
10AUG17	B	1	PD10NOV17	6403.00	6777.50	USD	9,362.50	
11AUG17	B	1	PD10NOV17	6373.50	6777.50	USD	10,100.00	
11AUG17	S	1	PD10NOV17	6379.00	6777.50	USD	-9,962.50	
11AUG17	S	1	PD10NOV17	6382.00	6777.50	USD	-9,887.50	
11AUG17	S	1	PD10NOV17	6421.00	6777.50	USD	-8,912.50	
11AUG17	S	1	PD10NOV17	6410.00	6777.50	USD	-9,187.50	
	NET	0 AVG BGHT 6401.40	SOLD 6404.30				362.50	0.00
14AUG17	B	2	PD14NOV17	6382.00	6776.83	USD	19,741.50	
14AUG17	B	1	PD14NOV17	6410.00	6776.83	USD	9,170.75	
23AUG17	S	3	PD14NOV17	6565.30	6776.83	USD	-15,864.75	
	NET	0 AVG BGHT 6391.33	SOLD 6565.30				13,047.50	0.00
15AUG17	S	1	PD15NOV17	6413.00	6776.50	USD	-9,087.50	
15AUG17	S	1	PD15NOV17	6415.50	6776.50	USD	-9,025.00	
15AUG17	S	1	PD15NOV17	6419.50	6776.50	USD	-8,925.00	
15AUG17	B	1	PD15NOV17	6415.00	6776.50	USD	9,037.50	
15AUG17	B	1	PD15NOV17	6372.00	6776.50	USD	10,112.50	
15AUG17	B	1	PD15NOV17	6365.00	6776.50	USD	10,287.50	
	NET	0 AVG BGHT 6384.00	SOLD 6416.00				2,400.00	0.00
16AUG17	B	1	PD16NOV17	6420.50	6776.25	USD	8,893.75	
16AUG17	S	1	PD16NOV17	6410.00	6776.25	USD	-9,156.25	
16AUG17	S	1	PD16NOV17	6418.00	6776.25	USD	-8,956.25	
16AUG17	B	1	PD16NOV17	6421.00	6776.25	USD	8,881.25	
16AUG17	B	1	PD16NOV17	6424.50	6776.25	USD	8,793.75	
16AUG17	S	1	PD16NOV17	6419.50	6776.25	USD	-8,918.75	
16AUG17	S	1	PD16NOV17	6420.00	6776.25	USD	-8,906.25	
16AUG17	B	1	PD16NOV17	6438.00	6776.25	USD	8,456.25	
16AUG17	S	1	PD16NOV17	6419.00	6776.25	USD	-8,931.25	
16AUG17	B	1	PD16NOV17	6481.50	6776.25	USD	7,368.75	
16AUG17	B	1	PD16NOV17	6487.00	6776.25	USD	7,231.25	
16AUG17	B	1	PD16NOV17	6491.00	6776.25	USD	7,131.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	S 1 PD16NOV17	6478.50	6776.25	USD	-7,443.75	
16AUG17	S 1 PD16NOV17	6484.50	6776.25	USD	-7,293.75	
16AUG17	B 1 PD16NOV17	6480.50	6776.25	USD	7,393.75	
16AUG17	B 1 PD16NOV17	6491.50	6776.25	USD	7,118.75	
16AUG17	S 2 PD16NOV17	6489.50	6776.25	USD	-14,337.50	
16AUG17	B 1 PD16NOV17	6485.50	6776.25	USD	7,268.75	
16AUG17	B 1 PD16NOV17	6496.00	6776.25	USD	7,006.25	
16AUG17	S 1 PD16NOV17	6494.50	6776.25	USD	-7,043.75	
16AUG17	B 1 PD16NOV17	6501.50	6776.25	USD	6,868.75	
16AUG17	S 1 PD16NOV17	6497.00	6776.25	USD	-6,981.25	
16AUG17	S 1 PD16NOV17	6509.50	6776.25	USD	-6,668.75	
16AUG17	B 1 PD16NOV17	6535.50	6776.25	USD	6,018.75	
16AUG17	B 1 PD16NOV17	6551.50	6776.25	USD	5,618.75	
16AUG17	S 1 PD16NOV17	6549.50	6776.25	USD	-5,668.75	
16AUG17	S 1 PD16NOV17	6544.00	6776.25	USD	-5,806.25	
	NET 0AVG BGHT 6478.96 SOLD 6473.07				-2,062.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD13OCT17	2318.50	2552.75	USD	-5,856.25	
14JUL17	B 1 PD13OCT17	2311.50	2552.75	USD	6,031.25	
	NET 0AVG BGHT 2311.50 SOLD 2318.50				175.00	0.00
19JUL17	S 1 PD19OCT17	2233.00	2554.89	USD	-8,047.25	
19JUL17	B 1 PD19OCT17	2228.00	2554.89	USD	8,172.25	
	NET 0AVG BGHT 2228.00 SOLD 2233.00				125.00	0.00
16AUG17	S 1 PD16NOV17	2479.00	2558.75	USD	-1,993.75	
16AUG17	B 1 PD16NOV17	2502.00	2558.75	USD	1,418.75	
	NET 0AVG BGHT 2502.00 SOLD 2479.00				-575.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	9705.00	11064.20	USD	-8,155.20	
17JUL17	B 1 PD17OCT17	9720.00	11064.20	USD	8,065.20	
	NET 0AVG BGHT 9720.00 SOLD 9705.00				-90.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	19920.00	20990.00	USD	5,350.00	
12JUL17	S 1 PD12OCT17	19950.00	20990.00	USD	-5,200.00	
12JUL17	B 1 PD12OCT17	19905.00	20990.00	USD	5,425.00	
12JUL17	S 1 PD12OCT17	19935.00	20990.00	USD	-5,275.00	
12JUL17	S 2 PD12OCT17	19945.00	20990.00	USD	-10,450.00	
12JUL17	B 1 PD12OCT17	19930.00	20990.00	USD	5,300.00	
12JUL17	B 1 PD12OCT17	19915.00	20990.00	USD	5,375.00	
	NET 0AVG BGHT 19917.50 SOLD 19943.75				525.00	0.00
13JUL17	B 1 PD13OCT17	19955.00	20990.00	USD	5,175.00	
13JUL17	S 1 PD13OCT17	19985.00	20990.00	USD	-5,025.00	
13JUL17	S 2 PD13OCT17	19920.00	20990.00	USD	-10,700.00	
13JUL17	B 1 PD13OCT17	19890.00	20990.00	USD	5,500.00	
13JUL17	B 1 PD13OCT17	19930.00	20990.00	USD	5,300.00	
13JUL17	B 1 PD13OCT17	19875.00	20990.00	USD	5,575.00	
13JUL17	S 1 PD13OCT17	19915.00	20990.00	USD	-5,375.00	
14JUL17	S 3 PD13OCT17	19855.00	20990.00	USD	-17,025.00	
14JUL17	B 3 PD13OCT17	19830.00	20990.00	USD	17,400.00	
14JUL17	B 1 PD13OCT17	19860.00	20990.00	USD	5,650.00	
14JUL17	S 1 PD13OCT17	19870.00	20990.00	USD	-5,600.00	
	NET 0AVG BGHT 19875.00 SOLD 19896.88				875.00	0.00

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	19955.00	20990.00	USD	5,175.00	
17JUL17	S	1	PD17OCT17	19990.00	20990.00	USD	-5,000.00	
17JUL17	B	1	PD17OCT17	19960.00	20990.00	USD	5,150.00	
17JUL17	S	1	PD17OCT17	19995.00	20990.00	USD	-4,975.00	
	NET	0 AVG BGHT 19957.50	SOLD 19992.50				350.00	0.00
18JUL17	S	1	PD18OCT17	19945.00	20990.00	USD	-5,225.00	
18JUL17	B	1	PD18OCT17	19930.00	20990.00	USD	5,300.00	
18JUL17	S	1	PD18OCT17	19995.00	20990.00	USD	-4,975.00	
18JUL17	B	1	PD18OCT17	19990.00	20990.00	USD	5,000.00	
18JUL17	S	1	PD18OCT17	20080.00	20990.00	USD	-4,550.00	
18JUL17	B	1	PD18OCT17	20040.00	20990.00	USD	4,750.00	
18JUL17	S	2	PD18OCT17	20090.00	20990.00	USD	-9,000.00	
18JUL17	B	1	PD18OCT17	20035.00	20990.00	USD	4,775.00	
18JUL17	B	1	PD18OCT17	20045.00	20990.00	USD	4,725.00	
	NET	0 AVG BGHT 20008.00	SOLD 20040.00				800.00	0.00
19JUL17	B	1	PD19OCT17	20050.00	20988.00	USD	4,690.00	
19JUL17	S	3	PD19OCT17	20095.00	20988.00	USD	-13,395.00	
19JUL17	S	1	PD19OCT17	20115.00	20988.00	USD	-4,365.00	
19JUL17	B	1	PD19OCT17	20095.00	20988.00	USD	4,465.00	
19JUL17	B	1	PD19OCT17	20100.00	20988.00	USD	4,440.00	
19JUL17	S	1	PD19OCT17	20090.00	20988.00	USD	-4,490.00	
19JUL17	S	2	PD19OCT17	20120.00	20988.00	USD	-8,680.00	
19JUL17	S	1	PD19OCT17	20105.00	20988.00	USD	-4,415.00	
19JUL17	B	1	PD19OCT17	20030.00	20988.00	USD	4,790.00	
19JUL17	S	1	PD19OCT17	20110.00	20988.00	USD	-4,390.00	
19JUL17	S	2	PD19OCT17	20135.00	20988.00	USD	-8,530.00	
19JUL17	B	1	PD19OCT17	20075.00	20988.00	USD	4,565.00	
19JUL17	S	1	PD19OCT17	20075.00	20988.00	USD	-4,565.00	
19JUL17	B	2	PD19OCT17	20065.00	20988.00	USD	9,230.00	
19JUL17	B	1	PD19OCT17	20130.00	20988.00	USD	4,290.00	
19JUL17	B	4	PD19OCT17	20070.00	20988.00	USD	18,360.00	
	NET	0 AVG BGHT 20074.17	SOLD 20107.50				2,000.00	0.00
20JUL17	S	1	PD20OCT17	20095.00	20986.00	USD	-4,455.00	
20JUL17	S	1	PD20OCT17	20115.00	20986.00	USD	-4,355.00	
20JUL17	B	1	PD20OCT17	20100.00	20986.00	USD	4,430.00	
20JUL17	B	1	PD20OCT17	20115.00	20986.00	USD	4,355.00	
21JUL17	B	1	PD20OCT17	20050.00	20986.00	USD	4,680.00	
21JUL17	S	1	PD20OCT17	20090.00	20986.00	USD	-4,480.00	
21JUL17	S	1	PD20OCT17	20115.00	20986.00	USD	-4,355.00	
21JUL17	S	1	PD20OCT17	20150.00	20986.00	USD	-4,180.00	
21JUL17	B	3	PD20OCT17	20135.00	20986.00	USD	12,765.00	
21JUL17	B	1	PD20OCT17	20130.00	20986.00	USD	4,280.00	
21JUL17	S	1	PD20OCT17	20155.00	20986.00	USD	-4,155.00	
21JUL17	S	3	PD20OCT17	20160.00	20986.00	USD	-12,390.00	
21JUL17	S	2	PD20OCT17	20125.00	20986.00	USD	-8,610.00	
21JUL17	S	1	PD20OCT17	20170.00	20986.00	USD	-4,080.00	
21JUL17	B	1	PD20OCT17	20145.00	20986.00	USD	4,205.00	
21JUL17	B	2	PD20OCT17	20150.00	20986.00	USD	8,360.00	
21JUL17	B	1	PD20OCT17	20165.00	20986.00	USD	4,105.00	
21JUL17	B	1	PD20OCT17	20195.00	20986.00	USD	3,955.00	
21JUL17	S	1	PD20OCT17	20215.00	20986.00	USD	-3,855.00	
21JUL17	B	1	PD20OCT17	20215.00	20986.00	USD	3,855.00	
	NET	0 AVG BGHT 20140.00	SOLD 20141.15				75.00	0.00
24JUL17	B	1	PD24OCT17	20155.00	20982.00	USD	4,135.00	
24JUL17	S	1	PD24OCT17	20145.00	20982.00	USD	-4,185.00	
24JUL17	S	1	PD24OCT17	20245.00	20982.00	USD	-3,685.00	

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24JUL17	B	1	PD240CT17	20230.00	20982.00	USD	3,760.00	
24JUL17	S	1	PD240CT17	20110.00	20982.00	USD	-4,360.00	
24JUL17	B	1	PD240CT17	20140.00	20982.00	USD	4,210.00	
	NET	0 AVG	BGHT 20175.00				-125.00	0.00
25JUL17	S	1	PD250CT17	20225.00	20980.00	USD	-3,775.00	
25JUL17	S	1	PD250CT17	20215.00	20980.00	USD	-3,825.00	
25JUL17	S	1	PD250CT17	20245.00	20980.00	USD	-3,675.00	
25JUL17	B	2	PD250CT17	20200.00	20980.00	USD	7,800.00	
25JUL17	B	1	PD250CT17	20275.00	20980.00	USD	3,525.00	
25JUL17	S	1	PD250CT17	20335.00	20980.00	USD	-3,225.00	
25JUL17	B	1	PD250CT17	20350.00	20980.00	USD	3,150.00	
	NET	0 AVG	BGHT 20256.25				-25.00	0.00
26JUL17	S	1	PD260CT17	20320.00	20978.00	USD	-3,290.00	
26JUL17	S	1	PD260CT17	20345.00	20978.00	USD	-3,165.00	
26JUL17	B	1	PD260CT17	20365.00	20978.00	USD	3,065.00	
26JUL17	B	1	PD260CT17	20335.00	20978.00	USD	3,215.00	
26JUL17	B	1	PD260CT17	20345.00	20978.00	USD	3,165.00	
26JUL17	B	1	PD260CT17	20355.00	20978.00	USD	3,115.00	
26JUL17	B	1	PD260CT17	20375.00	20978.00	USD	3,015.00	
26JUL17	S	3	PD260CT17	20370.00	20978.00	USD	-9,120.00	
	NET	0 AVG	BGHT 20355.00				0.00	0.00
27JUL17	B	1	PD270CT17	20425.00	20976.00	USD	2,755.00	
27JUL17	S	1	PD270CT17	20470.00	20976.00	USD	-2,530.00	
27JUL17	S	1	PD270CT17	20490.00	20976.00	USD	-2,430.00	
27JUL17	B	2	PD270CT17	20500.00	20976.00	USD	4,760.00	
27JUL17	B	1	PD270CT17	20550.00	20976.00	USD	2,130.00	
27JUL17	B	1	PD270CT17	20560.00	20976.00	USD	2,080.00	
27JUL17	B	1	PD270CT17	20600.00	20976.00	USD	1,880.00	
27JUL17	S	1	PD270CT17	20530.00	20976.00	USD	-2,230.00	
27JUL17	S	1	PD270CT17	20545.00	20976.00	USD	-2,155.00	
27JUL17	S	1	PD270CT17	20575.00	20976.00	USD	-2,005.00	
27JUL17	S	1	PD270CT17	20590.00	20976.00	USD	-1,930.00	
27JUL17	S	1	PD270CT17	20745.00	20976.00	USD	-1,155.00	
27JUL17	S	1	PD270CT17	20780.00	20976.00	USD	-980.00	
27JUL17	B	2	PD270CT17	20705.00	20976.00	USD	2,710.00	
27JUL17	B	1	PD270CT17	20630.00	20976.00	USD	1,730.00	
27JUL17	S	1	PD270CT17	20610.00	20976.00	USD	-1,830.00	
27JUL17	S	1	PD270CT17	20670.00	20976.00	USD	-1,530.00	
27JUL17	S	1	PD270CT17	20665.00	20976.00	USD	-1,555.00	
27JUL17	B	1	PD270CT17	20640.00	20976.00	USD	1,680.00	
27JUL17	B	3	PD270CT17	20650.00	20976.00	USD	4,890.00	
27JUL17	S	1	PD270CT17	20710.00	20976.00	USD	-1,330.00	
27JUL17	S	1	PD270CT17	20680.00	20976.00	USD	-1,480.00	
27JUL17	S	2	PD270CT17	20700.00	20976.00	USD	-2,760.00	
27JUL17	B	2	PD270CT17	20655.00	20976.00	USD	3,210.00	
27JUL17	S	1	PD270CT17	20740.00	20976.00	USD	-1,180.00	
27JUL17	B	1	PD270CT17	20710.00	20976.00	USD	1,330.00	
27JUL17	S	1	PD270CT17	20750.00	20976.00	USD	-1,130.00	
27JUL17	B	1	PD270CT17	20720.00	20976.00	USD	1,280.00	
27JUL17	B	1	PD270CT17	20735.00	20976.00	USD	1,205.00	
27JUL17	S	1	PD270CT17	20765.00	20976.00	USD	-1,055.00	
28JUL17	B	1	PD270CT17	20810.00	20976.00	USD	830.00	
28JUL17	B	1	PD270CT17	20840.00	20976.00	USD	680.00	
28JUL17	S	1	PD270CT17	20875.00	20976.00	USD	-505.00	
28JUL17	S	1	PD270CT17	20905.00	20976.00	USD	-355.00	
28JUL17	S	1	PD270CT17	20855.00	20976.00	USD	-605.00	

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28JUL17	B	1	PD27OCT17	20775.00	20976.00	USD	1,005.00	
	NET	0 AVG	BGHT 20650.71	SOLD 20683.33			3,425.00	0.00
31JUL17	B	1	PD31OCT17	20800.00	20972.00	USD	860.00	
31JUL17	S	1	PD31OCT17	20790.00	20972.00	USD	-910.00	
31JUL17	B	1	PD31OCT17	20805.00	20972.00	USD	835.00	
31JUL17	B	1	PD31OCT17	20810.00	20972.00	USD	810.00	
31JUL17	S	2	PD31OCT17	20845.00	20972.00	USD	-1,270.00	
31JUL17	B	1	PD31OCT17	20775.00	20972.00	USD	985.00	
31JUL17	S	1	PD31OCT17	20795.00	20972.00	USD	-885.00	
31JUL17	B	1	PD31OCT17	20790.00	20972.00	USD	910.00	
31JUL17	S	1	PD31OCT17	20830.00	20972.00	USD	-710.00	
31JUL17	B	1	PD31OCT17	20710.00	20972.00	USD	1,310.00	
31JUL17	S	1	PD31OCT17	20655.00	20972.00	USD	-1,585.00	
	NET	0 AVG	BGHT 20781.67	SOLD 20793.33			350.00	0.00
01AUG17	S	1	PD01NOV17	20620.00	20970.00	USD	-1,750.00	
01AUG17	S	1	PD01NOV17	20565.00	20970.00	USD	-2,025.00	
01AUG17	B	1	PD01NOV17	20550.00	20970.00	USD	2,100.00	
01AUG17	S	1	PD01NOV17	20495.00	20970.00	USD	-2,375.00	
01AUG17	B	1	PD01NOV17	20555.00	20970.00	USD	2,075.00	
01AUG17	S	1	PD01NOV17	20500.00	20970.00	USD	-2,350.00	
01AUG17	B	1	PD01NOV17	20465.00	20970.00	USD	2,525.00	
01AUG17	B	1	PD01NOV17	20410.00	20970.00	USD	2,800.00	
01AUG17	S	1	PD01NOV17	20395.00	20970.00	USD	-2,875.00	
01AUG17	B	1	PD01NOV17	20350.00	20970.00	USD	3,100.00	
01AUG17	S	1	PD01NOV17	20375.00	20970.00	USD	-2,975.00	
01AUG17	B	1	PD01NOV17	20430.00	20970.00	USD	2,700.00	
01AUG17	S	1	PD01NOV17	20450.00	20970.00	USD	-2,600.00	
01AUG17	S	1	PD01NOV17	20480.00	20970.00	USD	-2,450.00	
01AUG17	S	1	PD01NOV17	20490.00	20970.00	USD	-2,400.00	
01AUG17	B	3	PD01NOV17	20500.00	20970.00	USD	7,050.00	
	NET	0 AVG	BGHT 20473.33	SOLD 20485.56			550.00	0.00
02AUG17	S	1	PD02NOV17	20565.00	20968.00	USD	-2,015.00	
02AUG17	B	1	PD02NOV17	20600.00	20968.00	USD	1,840.00	
	NET	0 AVG	BGHT 20600.00	SOLD 20565.00			-175.00	0.00
03AUG17	S	1	PD03NOV17	20580.00	20966.00	USD	-1,930.00	
03AUG17	S	1	PD03NOV17	20640.00	20966.00	USD	-1,630.00	
03AUG17	B	1	PD03NOV17	20620.00	20966.00	USD	1,730.00	
03AUG17	S	1	PD03NOV17	20635.00	20966.00	USD	-1,655.00	
03AUG17	B	1	PD03NOV17	20600.00	20966.00	USD	1,830.00	
03AUG17	B	1	PD03NOV17	20605.00	20966.00	USD	1,805.00	
03AUG17	B	1	PD03NOV17	20595.00	20966.00	USD	1,855.00	
03AUG17	S	1	PD03NOV17	20625.00	20966.00	USD	-1,705.00	
04AUG17	B	4	PD03NOV17	20700.00	20966.00	USD	5,320.00	
04AUG17	S	1	PD03NOV17	20725.00	20966.00	USD	-1,205.00	
04AUG17	S	1	PD03NOV17	20730.00	20966.00	USD	-1,180.00	
04AUG17	S	1	PD03NOV17	20695.00	20966.00	USD	-1,355.00	
04AUG17	S	1	PD03NOV17	20690.00	20966.00	USD	-1,380.00	
04AUG17	S	2	PD03NOV17	20685.00	20966.00	USD	-2,810.00	
04AUG17	B	1	PD03NOV17	20625.00	20966.00	USD	1,705.00	
04AUG17	B	1	PD03NOV17	20620.00	20966.00	USD	1,730.00	
04AUG17	B	1	PD03NOV17	20645.00	20966.00	USD	1,605.00	
04AUG17	S	1	PD03NOV17	20620.00	20966.00	USD	-1,730.00	
04AUG17	B	1	PD03NOV17	20550.00	20966.00	USD	2,080.00	
04AUG17	S	1	PD03NOV17	20545.00	20966.00	USD	-2,105.00	
	NET	0 AVG	BGHT 20638.33	SOLD 20654.58			975.00	0.00
08AUG17	B	1	PD08NOV17	20430.00	20960.00	USD	2,650.00	

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08AUG17	S	1	PD08NOV17	20535.00	20960.00	USD	-2,125.00	
08AUG17	B	1	PD08NOV17	20415.00	20960.00	USD	2,725.00	
08AUG17	B	1	PD08NOV17	20405.00	20960.00	USD	2,775.00	
08AUG17	B	2	PD08NOV17	20370.00	20960.00	USD	5,900.00	
08AUG17	B	1	PD08NOV17	20310.00	20960.00	USD	3,250.00	
08AUG17	S	3	PD08NOV17	20305.00	20960.00	USD	-9,825.00	
08AUG17	S	1	PD08NOV17	20325.00	20960.00	USD	-3,175.00	
08AUG17	B	1	PD08NOV17	20285.00	20960.00	USD	3,375.00	
08AUG17	B	1	PD08NOV17	20295.00	20960.00	USD	3,325.00	
08AUG17	B	2	PD08NOV17	20315.00	20960.00	USD	6,450.00	
08AUG17	S	1	PD08NOV17	20250.00	20960.00	USD	-3,550.00	
08AUG17	S	2	PD08NOV17	20270.00	20960.00	USD	-6,900.00	
08AUG17	S	1	PD08NOV17	20245.00	20960.00	USD	-3,575.00	
08AUG17	B	1	PD08NOV17	20215.00	20960.00	USD	3,725.00	
08AUG17	S	1	PD08NOV17	20230.00	20960.00	USD	-3,650.00	
08AUG17	B	1	PD08NOV17	20240.00	20960.00	USD	3,600.00	
08AUG17	S	1	PD08NOV17	20235.00	20960.00	USD	-3,625.00	
08AUG17	S	2	PD08NOV17	20275.00	20960.00	USD	-6,850.00	
08AUG17	B	3	PD08NOV17	20210.00	20960.00	USD	11,250.00	
08AUG17	B	1	PD08NOV17	20220.00	20960.00	USD	3,700.00	
08AUG17	S	1	PD08NOV17	20320.00	20960.00	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	20345.00	20960.00	USD	-3,075.00	
08AUG17	S	1	PD08NOV17	20350.00	20960.00	USD	-3,050.00	
08AUG17	S	1	PD08NOV17	20330.00	20960.00	USD	-3,150.00	
08AUG17	B	1	PD08NOV17	20275.00	20960.00	USD	3,425.00	
	NET	0 AVG BGHT 20299.41	SOLD 20304.12				400.00	0.00
09AUG17	B	2	PD09NOV17	20450.00	20958.00	USD	5,080.00	
09AUG17	S	1	PD09NOV17	20445.00	20958.00	USD	-2,565.00	
09AUG17	S	1	PD09NOV17	20375.00	20958.00	USD	-2,915.00	
09AUG17	B	1	PD09NOV17	20390.00	20958.00	USD	2,840.00	
09AUG17	S	1	PD09NOV17	20495.00	20958.00	USD	-2,315.00	
	NET	0 AVG BGHT 20430.00	SOLD 20438.33				125.00	0.00
10AUG17	S	1	PD10NOV17	20380.00	20956.00	USD	-2,880.00	
10AUG17	B	1	PD10NOV17	20260.00	20956.00	USD	3,480.00	
10AUG17	B	1	PD10NOV17	20400.00	20956.00	USD	2,780.00	
10AUG17	B	1	PD10NOV17	20345.00	20956.00	USD	3,055.00	
10AUG17	S	1	PD10NOV17	20335.00	20956.00	USD	-3,105.00	
10AUG17	S	2	PD10NOV17	20345.00	20956.00	USD	-6,110.00	
10AUG17	B	1	PD10NOV17	20450.00	20956.00	USD	2,530.00	
10AUG17	B	1	PD10NOV17	20245.00	20956.00	USD	3,555.00	
10AUG17	S	1	PD10NOV17	20280.00	20956.00	USD	-3,380.00	
11AUG17	B	1	PD10NOV17	20230.00	20956.00	USD	3,630.00	
11AUG17	S	1	PD10NOV17	20250.00	20956.00	USD	-3,530.00	
11AUG17	B	1	PD10NOV17	20305.00	20956.00	USD	3,255.00	
11AUG17	B	1	PD10NOV17	20345.00	20956.00	USD	3,055.00	
11AUG17	S	1	PD10NOV17	20310.00	20956.00	USD	-3,230.00	
11AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
	NET	0 AVG BGHT 20322.50	SOLD 20323.75				50.00	0.00
14AUG17	S	1	PD14NOV17	20325.00	20952.00	USD	-3,135.00	
14AUG17	B	1	PD14NOV17	20380.00	20952.00	USD	2,860.00	
14AUG17	B	1	PD14NOV17	20275.00	20952.00	USD	3,385.00	
14AUG17	S	1	PD14NOV17	20280.00	20952.00	USD	-3,360.00	
14AUG17	B	1	PD14NOV17	20295.00	20952.00	USD	3,285.00	
14AUG17	S	1	PD14NOV17	20295.00	20952.00	USD	-3,285.00	
	NET	0 AVG BGHT 20316.67	SOLD 20300.00				-250.00	0.00
15AUG17	S	1	PD15NOV17	20340.00	20950.00	USD	-3,050.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	1	PD15NOV17	20370.00	20950.00	USD	-2,900.00	
15AUG17	B	2	PD15NOV17	20295.00	20950.00	USD	6,550.00	
15AUG17	B	1	PD15NOV17	20210.00	20950.00	USD	3,700.00	
15AUG17	B	1	PD15NOV17	20220.00	20950.00	USD	3,650.00	
15AUG17	S	1	PD15NOV17	20200.00	20950.00	USD	-3,750.00	
15AUG17	S	1	PD15NOV17	20215.00	20950.00	USD	-3,675.00	
15AUG17	B	1	PD15NOV17	20320.00	20950.00	USD	3,150.00	
15AUG17	S	1	PD15NOV17	20345.00	20950.00	USD	-3,025.00	
15AUG17	B	1	PD15NOV17	20170.00	20950.00	USD	3,900.00	
15AUG17	S	1	PD15NOV17	19985.00	20950.00	USD	-4,825.00	
15AUG17	S	1	PD15NOV17	19995.00	20950.00	USD	-4,775.00	
15AUG17	S	1	PD15NOV17	20000.00	20950.00	USD	-4,750.00	
15AUG17	S	1	PD15NOV17	20015.00	20950.00	USD	-4,675.00	
15AUG17	B	4	PD15NOV17	19210.00	20950.00	USD	34,800.00	
15AUG17	S	1	PD15NOV17	19300.00	20950.00	USD	-8,250.00	
	NET	0	AVG BGHT 19835.00	SOLD 20076.50			12,075.00	0.00
17AUG17	B	1	PD17NOV17	20205.00	20945.83	USD	3,704.15	
17AUG17	S	1	PD17NOV17	20350.00	20945.83	USD	-2,979.15	
17AUG17	B	1	PD17NOV17	20300.00	20945.83	USD	3,229.15	
17AUG17	B	1	PD17NOV17	20255.00	20945.83	USD	3,454.15	
17AUG17	S	1	PD17NOV17	20320.00	20945.83	USD	-3,129.15	
17AUG17	S	2	PD17NOV17	20185.00	20945.83	USD	-7,608.30	
17AUG17	B	1	PD17NOV17	20285.00	20945.83	USD	3,304.15	
17AUG17	S	1	PD17NOV17	20285.00	20945.83	USD	-3,304.15	
17AUG17	S	1	PD17NOV17	20135.00	20945.83	USD	-4,054.15	
17AUG17	B	2	PD17NOV17	20110.00	20945.83	USD	8,358.30	
18AUG17	S	1	PD17NOV17	20350.00	20945.83	USD	-2,979.15	
18AUG17	B	1	PD17NOV17	20225.00	20945.83	USD	3,604.15	
	NET	0	AVG BGHT 20212.86	SOLD 20258.57			1,600.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	2793.50	3282.00	USD	-12,212.50	
18JUL17	B	1	PD18OCT17	2801.00	3282.00	USD	12,025.00	
	NET	0	AVG BGHT 2801.00	SOLD 2793.50			-187.50	0.00
20JUL17	B	1	PD20OCT17	2751.00	3273.00	USD	13,050.00	
20JUL17	S	1	PD20OCT17	2758.00	3273.00	USD	-12,875.00	
21JUL17	S	1	PD20OCT17	2765.00	3273.00	USD	-12,700.00	
21JUL17	B	1	PD20OCT17	2770.50	3273.00	USD	12,562.50	
21JUL17	B	1	PD20OCT17	2772.00	3273.00	USD	12,525.00	
21JUL17	S	1	PD20OCT17	2768.50	3273.00	USD	-12,612.50	
	NET	0	AVG BGHT 2764.50	SOLD 2763.83			-50.00	0.00
18AUG17	B	1	PD17NOV17	3112.50	3239.00	USD	3,162.50	
18AUG17	S	1	PD17NOV17	3109.50	3239.00	USD	-3,237.50	
	NET	0	AVG BGHT 3112.50	SOLD 3109.50			-75.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	0.00
LME Copper Grade A 25	FUTURES	3,481.00
LME Lead 25 tonnes. This is an	FUTURES	-275.00
LME Nickel 6 tonnes. This is	FUTURES	-90.00
LME Tin 5 tonnes. This is an	FUTURES	23,600.00
LME Zinc 25 tonnes. This is	FUTURES	-312.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	0.00	0.00		
COPL	- Futures	USD	3,481.00	3,481.00		
LEAD	- Futures	USD	-275.00	-275.00		
NKL	- Futures	USD	-90.00	-90.00		
TIN	- Futures	USD	23,600.00	23,600.00		
ZINC	- Futures	USD	-312.50	-312.50	26,403.50	26,403.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	35,309.25	35,309.25
Variation Margins	0.00	0.00
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	35,309.25	35,309.25
Initial Margins	0.00	0.00
Excess/(Shortage)	35,309.25	35,309.25
FOR INFORMATION ONLY		
Forward Unrealised P&L	26,403.50	26,403.50
Net Liquidating Value	61,712.75	61,712.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086I

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
12JUL17	B	1	PD120CT17		5902.00	6755.20	USD	21,330.00	
14AUG17	S	1	PD120CT17		6386.70	6755.20	USD	-9,212.50	
	NET	0 AVG	BGHT 5902.00	SOLD 6386.70				12,117.50	0.00
17JUL17	S	1	PD170CT17		5918.50	6759.20	USD	-21,017.50	
17JUL17	S	1	PD170CT17		5988.00	6759.20	USD	-19,280.00	
17JUL17	S	2	PD170CT17		5990.00	6759.20	USD	-38,460.00	
17JUL17	S	1	PD170CT17		5989.50	6759.20	USD	-19,242.50	
17JUL17	B	1	PD170CT17		5980.00	6759.20	USD	19,480.00	
17JUL17	B	1	PD170CT17		5981.00	6759.20	USD	19,455.00	
17JUL17	B	1	PD170CT17		6009.00	6759.20	USD	18,755.00	
17JUL17	B	1	PD170CT17		6010.00	6759.20	USD	18,730.00	
17JUL17	B	1	PD170CT17		6010.50	6759.20	USD	18,717.50	
	NET	0 AVG	BGHT 5998.10	SOLD 5975.20				-2,862.50	0.00
18JUL17	B	1	PD180CT17		5979.00	6760.00	USD	19,525.00	
18JUL17	B	1	PD180CT17		5980.00	6760.00	USD	19,500.00	
18JUL17	S	2	PD180CT17		5989.50	6760.00	USD	-38,525.00	
	NET	0 AVG	BGHT 5979.50	SOLD 5989.50				500.00	0.00
01AUG17	B	1	PD01NOV17		6343.00	6769.18	USD	10,654.50	
01AUG17	S	1	PD01NOV17		6357.00	6769.18	USD	-10,304.50	
	NET	0 AVG	BGHT 6343.00	SOLD 6357.00				350.00	0.00
02AUG17	S	1	PD02NOV17		6330.00	6770.10	USD	-11,002.50	
02AUG17	S	1	PD02NOV17		6329.00	6770.10	USD	-11,027.50	
02AUG17	B	1	PD02NOV17		6335.00	6770.10	USD	10,877.50	
02AUG17	B	1	PD02NOV17		6338.00	6770.10	USD	10,802.50	
	NET	0 AVG	BGHT 6336.50	SOLD 6329.50				-350.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	9,755.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	9,755.00	9,755.00	9,755.00	9,755.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086I

11th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-9,713.70	-9,713.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-9,713.70	-9,713.70
Initial Margins		0.00	0.00
Excess/(Shortage)		-9,713.70	-9,713.70
FOR INFORMATION ONLY			
Forward Unrealised P&L		9,755.00	9,755.00
Net Liquidating Value		41.30	41.30

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0086**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	962,788.35	962,788.35
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	962,788.35	962,788.35
Initial Margins	0.00	0.00
Excess/(Shortage)	962,788.35	962,788.35
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	122,235.86	122,235.86
Net Liquidating Value	1,085,024.21	1,085,024.21

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0087A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	S	1	PD22NOV17		11520.00	11097.40	USD	2,535.60	
22AUG17	S	1	PD22NOV17		11405.00	11097.40	USD	1,845.60	
22AUG17	S	1	PD22NOV17		11470.00	11097.40	USD	2,235.60	
29AUG17	B	3	PD22NOV17		11814.00	11097.40	USD	-12,898.80	
	NET	0 AVG	BGHT 11814.00	SOLD 11465.00				-6,282.00	0.00
29AUG17	B	3	PD29NOV17		11850.00	11102.80	USD	-13,449.60	
29AUG17	S	3	PD29NOV17		11835.00	11102.80	USD	13,179.60	
	NET	0 AVG	BGHT 11850.00	SOLD 11835.00				-270.00	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD
-6,552.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-6,552.00	-6,552.00	-6,552.00	-6,552.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	46,082.58	46,082.58
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	46,082.58	46,082.58
Initial Margins	-6,552.00	-6,552.00
Excess/(Shortage)	39,530.58	39,530.58
FOR INFORMATION ONLY		
Forward Unrealised P&L	-6,552.00	-6,552.00
Net Liquidating Value	39,530.58	39,530.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0087
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0087**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	46,082.58	46,082.58
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	46,082.58	46,082.58
Initial Margins	-6,552.00	-6,552.00
Excess/(Shortage)	39,530.58	39,530.58
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-6,552.00	-6,552.00
Net Liquidating Value	39,530.58	39,530.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	12.00	453.70	467.91
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	12.00	453.70	467.91
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	12.00	453.70	467.91
FOR INFORMATION ONLY			
Net Liquidating Value	12.00	453.70	467.91

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
10AUG17	S 200 OCT17 2500.00 Put	2.40	0.10	EUR	-200.00	4,600.00
	NET -200 AVG SOLD 2.40				-200.00	4,600.00
10AUG17	S 200 DEC17 2000.00 Put	1.40	0.10	EUR	-200.00	2,600.00
	NET -200 AVG SOLD 1.40				-200.00	2,600.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 5 PD12OCT17	5915.00	6755.20	USD	-105,025.00	
13SEP17	B 5 PD12OCT17	6525.61	6755.20	USD	28,698.75	
	NET 0 AVG BGHT 6525.61 SOLD 5915.00				-76,326.25	0.00
13JUL17	B 5 PD13OCT17	5874.00	6756.00	USD	110,250.00	
13JUL17	B 2 PD13OCT17	5879.00	6756.00	USD	43,850.00	
13JUL17	B 3 PD13OCT17	5878.00	6756.00	USD	65,850.00	
13JUL17	B 5 PD13OCT17	5862.00	6756.00	USD	111,750.00	
14JUL17	B 5 PD13OCT17	5880.00	6756.00	USD	109,500.00	
14JUL17	S 5 PD13OCT17	5884.00	6756.00	USD	-109,000.00	
14JUL17	S 5 PD13OCT17	5904.00	6756.00	USD	-106,500.00	
14JUL17	S 5 PD13OCT17	5895.50	6756.00	USD	-107,562.50	
14JUL17	S 5 PD13OCT17	5926.50	6756.00	USD	-103,687.50	
	NET 0 AVG BGHT 5873.60 SOLD 5902.50				14,450.00	0.00
04AUG17	B 5 PD03NOV17	6323.50	6771.03	USD	55,941.25	
04AUG17	S 5 PD03NOV17	6354.00	6771.03	USD	-52,128.75	
	NET 0 AVG BGHT 6323.50 SOLD 6354.00				3,812.50	0.00
15AUG17	B 5 PD15NOV17	6362.50	6776.50	USD	51,750.00	
15AUG17	B 5 PD15NOV17	6359.00	6776.50	USD	52,187.50	
24AUG17	S 10 PD15NOV17	6688.30	6776.50	USD	-22,050.00	
	NET 0 AVG BGHT 6360.75 SOLD 6688.30				81,887.50	0.00
16AUG17	S 5 PD16NOV17	6443.50	6776.25	USD	-41,593.75	
16AUG17	S 5 PD16NOV17	6444.00	6776.25	USD	-41,531.25	
13SEP17	B 10 PD16NOV17	6542.00	6776.25	USD	58,562.50	
	NET 0 AVG BGHT 6542.00 SOLD 6443.75				-24,562.50	0.00
25AUG17	B 5 PD24NOV17	6680.00	6780.52	USD	12,565.00	
25AUG17	B 5 PD24NOV17	6668.50	6780.52	USD	14,002.50	
25AUG17	B 5 PD24NOV17	6673.00	6780.52	USD	13,440.00	
13SEP17	S 15 PD24NOV17	6545.24	6780.52	USD	-88,230.00	
	NET 0 AVG BGHT 6673.83 SOLD 6545.24				-48,222.50	0.00
29AUG17	S 5 PD29NOV17	6807.50	6783.18	USD	3,040.00	
29AUG17	B 5 PD29NOV17	6792.50	6783.18	USD	-1,165.00	
	NET 0 AVG BGHT 6792.50 SOLD 6807.50				1,875.00	0.00
30AUG17	B 5 PD30NOV17	6782.00	6783.72	USD	215.00	
30AUG17	B 5 PD30NOV17	6771.00	6783.72	USD	1,590.00	
30AUG17	S 5 PD30NOV17	6772.00	6783.72	USD	-1,465.00	
31AUG17	S 4 PD30NOV17	6829.50	6783.72	USD	4,578.00	
31AUG17	S 1 PD30NOV17	6833.00	6783.72	USD	1,232.00	
31AUG17	S 1 PD30NOV17	6843.00	6783.72	USD	1,482.00	
31AUG17	S 4 PD30NOV17	6845.00	6783.72	USD	6,128.00	
31AUG17	B 5 PD30NOV17	6815.00	6783.72	USD	-3,910.00	
31AUG17	B 5 PD30NOV17	6810.00	6783.72	USD	-3,285.00	
31AUG17	B 5 PD30NOV17	6784.00	6783.72	USD	-35.00	
31AUG17	S 5 PD30NOV17	6796.00	6783.72	USD	1,535.00	
13SEP17	S 5 PD30NOV17	6547.82	6783.72	USD	-29,487.50	
	NET 0 AVG BGHT 6792.40 SOLD 6758.12				-21,422.50	0.00
01SEP17	B 5 PD01DEC17	6787.00	6784.25	USD	-343.75	
01SEP17	S 5 PD01DEC17	6801.50	6784.25	USD	2,156.25	
01SEP17	S 5 PD01DEC17	6818.00	6784.25	USD	4,218.75	
01SEP17	B 5 PD01DEC17	6812.00	6784.25	USD	-3,468.75	

OPEN POSITION STATEMENT



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SAP0088A

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	5	PD01DEC17	6825.50	6784.25	USD	5,156.25	
01SEP17	B	5	PD01DEC17	6805.00	6784.25	USD	-2,593.75	
01SEP17	S	5	PD01DEC17	6827.00	6784.25	USD	5,343.75	
13SEP17	B	5	PD01DEC17	6548.45	6784.25	USD	29,475.00	
	NET	0 AVG BGHT 6738.11	SOLD 6818.00				39,943.75	0.00
05SEP17	B	5	PD05DEC17	6921.00	6786.25	USD	-16,843.75	
05SEP17	B	5	PD05DEC17	6896.00	6786.25	USD	-13,718.75	
05SEP17	B	5	PD05DEC17	6869.50	6786.25	USD	-10,406.25	
05SEP17	B	5	PD05DEC17	6841.50	6786.25	USD	-6,906.25	
05SEP17	S	5	PD05DEC17	6852.50	6786.25	USD	8,281.25	
13SEP17	S	5	PD05DEC17	6549.97	6786.25	USD	-29,535.00	
13SEP17	S	10	PD05DEC17	6550.17	6786.25	USD	-59,020.00	
	NET	0 AVG BGHT 6882.00	SOLD 6625.70				-128,148.75	0.00
06SEP17	S	4	PD06DEC17	6908.50	6786.75	USD	12,175.00	
06SEP17	S	3	PD06DEC17	6902.50	6786.75	USD	8,681.25	
06SEP17	S	3	PD06DEC17	6907.50	6786.75	USD	9,056.25	
13SEP17	B	10	PD06DEC17	6550.80	6786.75	USD	58,987.50	
	NET	0 AVG BGHT 6550.80	SOLD 6906.40				88,900.00	0.00
07SEP17	B	5	PD07DEC17	6858.00	6787.23	USD	-8,846.25	
07SEP17	S	5	PD07DEC17	6885.50	6787.23	USD	12,283.75	
07SEP17	S	5	PD07DEC17	6895.50	6787.23	USD	13,533.75	
07SEP17	S	5	PD07DEC17	6906.00	6787.23	USD	14,846.25	
07SEP17	B	5	PD07DEC17	6886.00	6787.23	USD	-12,346.25	
07SEP17	B	5	PD07DEC17	6890.00	6787.23	USD	-12,846.25	
	NET	0 AVG BGHT 6878.00	SOLD 6895.67				6,625.00	0.00
08SEP17	B	5	PD08DEC17	6805.00	6787.71	USD	-2,161.25	
08SEP17	B	5	PD08DEC17	6793.00	6787.71	USD	-661.25	
08SEP17	B	4	PD08DEC17	6767.50	6787.71	USD	2,021.00	
08SEP17	B	4	PD08DEC17	6763.50	6787.71	USD	2,421.00	
08SEP17	B	5	PD08DEC17	6756.50	6787.71	USD	3,901.25	
08SEP17	B	2	PD08DEC17	6744.00	6787.71	USD	2,185.50	
08SEP17	S	5	PD08DEC17	6805.00	6787.71	USD	2,161.25	
13SEP17	S	15	PD08DEC17	6551.46	6787.71	USD	-88,593.75	
15SEP17	S	5	PD08DEC17	6504.10	6787.71	USD	-35,451.25	
	NET	0 AVG BGHT 6775.38	SOLD 6592.70				-114,177.50	0.00
11SEP17	S	5	PD11DEC17	6755.00	6789.15	USD	-4,268.75	
11SEP17	S	5	PD11DEC17	6760.50	6789.15	USD	-3,581.25	
11SEP17	S	5	PD11DEC17	6743.50	6789.15	USD	-5,706.25	
13SEP17	B	15	PD11DEC17	6552.95	6789.15	USD	88,575.00	
	NET	0 AVG BGHT 6552.95	SOLD 6753.00				75,018.75	0.00
12SEP17	B	5	PD12DEC17	6688.00	6789.63	USD	12,703.75	
15SEP17	S	5	PD12DEC17	6505.76	6789.63	USD	-35,483.75	
	NET	0 AVG BGHT 6688.00	SOLD 6505.76				-22,780.00	0.00
13SEP17	B	5	PD13DEC17	6597.00	6790.10	USD	24,137.50	
15SEP17	S	5	PD13DEC17	6506.17	6790.10	USD	-35,491.25	
	NET	0 AVG BGHT 6597.00	SOLD 6506.17				-11,353.75	0.00
14SEP17	S	10	PD14DEC17	6488.50	6790.58	USD	-75,520.00	
14SEP17	S	5	PD14DEC17	6490.50	6790.58	USD	-37,510.00	
14SEP17	S	5	PD14DEC17	6531.50	6790.58	USD	-32,385.00	
14SEP17	B	5	PD14DEC17	6519.50	6790.58	USD	33,885.00	
15SEP17	B	15	PD14DEC17	6506.79	6790.58	USD	106,421.25	
	NET	0 AVG BGHT 6509.97	SOLD 6499.75				-5,108.75	0.00
11OCT17	B	5	PD11JAN18	6798.50	6800.00	USD	187.50	
11OCT17	S	5	PD11JAN18	6782.00	6800.00	USD	-2,250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
110CT17	S 5 PD11JAN18	6791.00	6800.00	USD	-1,125.00	
110CT17	S 5 PD11JAN18	6809.00	6800.00	USD	1,125.00	
	NET -10 AVG BGHT 6798.50 SOLD 6794.00				-2,062.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
050CT17	S 5 DEC17	303.750	309.550	USD	-7,250.00	
050CT17	S 5 DEC17	303.900	309.550	USD	-7,062.50	
090CT17	S 5 DEC17	302.900	309.550	USD	-8,312.50	
100CT17	S 5 DEC17	306.650	309.550	USD	-3,625.00	
110CT17	S 4 DEC17	306.500	309.550	USD	-3,050.00	
	NET -24 AVG SOLD 304.667				-29,300.00	0.00
	<u>CME EURO FX 125,000 CME</u>					
110CT17	S 5 DEC17	1.18950	1.18970	USD	-125.00	
110CT17	S 5 DEC17	1.19110	1.18970	USD	875.00	
	NET -10 AVG SOLD 1.19030				750.00	0.00
	<u>CME E-MINI S&P 500 50 CME</u>					
110CT17	S 5 DEC17	2549.00	2553.00	USD	-1,000.00	
	NET -5 AVG SOLD 2549.00				-1,000.00	0.00

OPEN POSITION VALUE

		<u>EUR</u>	<u>USD</u>
Dow Jones EURO Stoxx 50	OPTIONS	7,600.00	
LME Copper Grade A 25	FUTURES		1,558,347.50
CMX Copper	FUTURES		1,828,000.00
CME Euro FX	FUTURES		1,487,875.00
CME E-Mini S&P 500	FUTURES		637,250.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-29,300.00	-29,300.00		
EUSD - Futures USD	750.00	750.00		
MSNP - Futures USD	-1,000.00	-1,000.00	-29,550.00	-29,550.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-141,652.50	-141,652.50	-141,652.50	-141,652.50
Net Premium Options Market Value				
EDOX - Options EUR	-400.00	7,200.00	-400.00	7,200.00

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ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	73,712.13	85,000.00	240,228
Variation Margins - Futures	0.00	0.00	0
- Options	0.00	0.00	0
TOTAL EQUITY	73,712.13	85,000.00	240,228
Initial Margins	-1,123.95	0.00	0
Excess/(Shortage)	72,588.18	85,000.00	240,228
FOR INFORMATION ONLY			
Net Premium Option Market Value	-400.00	0.00	0
Net Liquidating Value	73,312.13	85,000.00	240,228
	USD	NETT USD	
	(1.00000)	(1.00000)	
CASH BALANCE	165,977.01	367,527.89	
Variation Margins - Futures	-29,550.00	-29,550.00	
- Options	0.00	0.00	
TOTAL EQUITY	136,427.01	337,977.89	
Initial Margins	-385,610.50	-386,941.71	
Excess/(Shortage)	-249,183.49	-48,963.82	
FOR INFORMATION ONLY			
Forward Unrealised P&L	-141,652.50	-141,652.50	
Net Premium Option Market Value	0.00	-473.76	
Net Liquidating Value	-5,225.49	195,851.63	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0088**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	73,724.13	85,000.00	240,228
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	73,724.13	85,000.00	240,228
Initial Margins	-1,123.95	0.00	0
Excess/(Shortage)	72,600.18	85,000.00	240,228

FOR INFORMATION ONLY

Net Premium Option Market Value	-400.00	0.00	0
Net Liquidating Value	73,324.13	85,000.00	240,228

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	166,430.71	367,995.80
Variation Margins	- Futures -29,550.00	-29,550.00
	- Options 0.00	0.00
TOTAL EQUITY	136,880.71	338,445.80
Initial Margins	-385,610.50	-386,941.71
Excess/(Shortage)	-248,729.79	-48,495.91

FOR INFORMATION ONLY

Forward Unrealised P&L	-141,652.50	-141,652.50
Net Premium Option Market Value	0.00	-473.76
Net Liquidating Value	-4,771.79	196,319.54

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
09AUG17	S 5 PD09NOV17	2033.00	2113.46	USD	-10,057.50	
09AUG17	S 5 PD09NOV17	2030.00	2113.46	USD	-10,432.50	
	NET -10 AVG SOLD 2031.50				-20,490.00	0.00
16AUG17	B 5 PD16NOV17	2067.00	2116.56	USD	6,195.00	
16AUG17	B 3 PD16NOV17	2067.50	2116.56	USD	3,679.50	
16AUG17	B 2 PD16NOV17	2068.00	2116.56	USD	2,428.00	
	NET +10 AVG BGHT 2067.35				12,302.50	0.00
17AUG17	B 30 PD17NOV17	2073.50	2116.87	USD	32,527.50	
	NET +30 AVG BGHT 2073.50				32,527.50	0.00
25AUG17	B 20 PD24NOV17	2070.20	2119.03	USD	24,415.00	
	NET +20 AVG BGHT 2070.20				24,415.00	0.00
08SEP17	S 25 PD08DEC17	2092.50	2123.35	USD	-19,281.25	
	NET -25 AVG SOLD 2092.50				-19,281.25	0.00
14SEP17	B 1 PD14DEC17	2124.50	2125.21	USD	17.75	
14SEP17	B 24 PD14DEC17	2125.00	2125.21	USD	126.00	
	NET +25 AVG BGHT 2124.98				143.75	0.00
27SEP17	S 25 PD27DEC17	2132.50	2127.29	USD	3,256.25	
27SEP17	B 10 PD27DEC17	2134.00	2127.29	USD	-1,677.50	
27SEP17	S 10 PD27DEC17	2134.00	2127.29	USD	1,677.50	
	NET -25 AVG BGHT 2134.00 SOLD 2132.93				3,256.25	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 4 PD04DEC17	6922.50	6785.75	USD	-13,675.00	
04SEP17	B 10 PD04DEC17	6923.00	6785.75	USD	-34,312.50	
	NET +14 AVG BGHT 6922.86				-47,987.50	0.00
05SEP17	S 3 PD05DEC17	6937.00	6786.25	USD	11,306.25	
05SEP17	S 1 PD05DEC17	6932.00	6786.25	USD	3,643.75	
05SEP17	S 2 PD05DEC17	6935.00	6786.25	USD	7,437.50	
05SEP17	S 3 PD05DEC17	6933.00	6786.25	USD	11,006.25	
05SEP17	S 2 PD05DEC17	6940.00	6786.25	USD	7,687.50	
05SEP17	S 2 PD05DEC17	6941.50	6786.25	USD	7,762.50	
05SEP17	S 1 PD05DEC17	6932.00	6786.25	USD	3,643.75	
	NET -14 AVG SOLD 6936.21				52,487.50	0.00
27SEP17	S 50 PD27DEC17	6429.00	6793.00	USD	-455,000.00	
27SEP17	B 10 PD27DEC17	6439.00	6793.00	USD	88,500.00	
27SEP17	B 10 PD27DEC17	6441.50	6793.00	USD	87,875.00	
27SEP17	B 10 PD27DEC17	6440.00	6793.00	USD	88,250.00	
27SEP17	B 2 PD27DEC17	6441.00	6793.00	USD	17,600.00	
27SEP17	B 18 PD27DEC17	6442.00	6793.00	USD	157,950.00	
	NET 0 AVG BGHT 6440.86 SOLD 6429.00				-14,825.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
30AUG17	B 43 DEC17	309.950	309.550	USD	-4,300.00	
31AUG17	B 9 DEC17	310.450	309.550	USD	-2,025.00	
14SEP17	B 75 DEC17	294.950	309.550	USD	273,750.00	
	NET +127 AVG BGHT 301.127				267,425.00	0.00
	<u>ICE COTTON NO.2 500 FIN</u>					
17AUG17	B 2 DEC17	66.96000	68.73000	USD	1,770.00	
	NET +2 AVG BGHT 66.96000				1,770.00	0.00
	<u>CMX GOLD 100 CMX</u>					
04OCT17	S 29 DEC17	1278.8	1288.9	USD	-29,290.00	
04OCT17	S 24 DEC17	1279.1	1288.9	USD	-23,520.00	
04OCT17	S 6 DEC17	1279.0	1288.9	USD	-5,940.00	
	NET -59 AVG SOLD 1278.9				-58,750.00	0.00

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	<u>CMX GOLD 100 CMX</u>					
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	B 10 PD16NOV17	2422.00	2558.75	USD	34,187.50	
	NET +10 AVG BGHT 2422.00				34,187.50	0.00
17AUG17	B 30 PD17NOV17	2434.50	2558.75	USD	93,187.50	
	NET +30 AVG BGHT 2434.50				93,187.50	0.00
25AUG17	B 10 PD24NOV17	2325.20	2559.75	USD	58,637.50	
	NET +10 AVG BGHT 2325.20				58,637.50	0.00
08SEP17	S 25 PD08DEC17	2302.50	2561.50	USD	-161,875.00	
	NET -25 AVG SOLD 2302.50				-161,875.00	0.00
19SEP17	B 25 PD19DEC17	2408.50	2564.25	USD	97,343.75	
	NET +25 AVG BGHT 2408.50				97,343.75	0.00
22SEP17	S 2 PD22DEC17	2477.00	2563.50	USD	-4,325.00	
22SEP17	S 4 PD22DEC17	2474.00	2563.50	USD	-8,950.00	
22SEP17	B 2 PD22DEC17	2485.00	2563.50	USD	3,925.00	
22SEP17	B 4 PD22DEC17	2484.50	2563.50	USD	7,900.00	
	NET 0 AVG BGHT 2484.67 SOLD 2475.00				-1,450.00	0.00
27SEP17	S 25 PD27DEC17	2451.50	2563.50	USD	-70,000.00	
	NET -25 AVG SOLD 2451.50				-70,000.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 10 PD31OCT17	10216.00	11077.54	USD	51,692.40	
	NET +10 AVG BGHT 10216.00				51,692.40	0.00
09AUG17	S 10 PD09NOV17	10775.00	11086.21	USD	-18,672.60	
	NET -10 AVG SOLD 10775.00				-18,672.60	0.00
10AUG17	S 10 PD10NOV17	10770.00	11087.18	USD	-19,030.80	
	NET -10 AVG SOLD 10770.00				-19,030.80	0.00
18AUG17	B 6 PD17NOV17	10865.00	11093.54	USD	8,227.44	
18AUG17	B 24 PD17NOV17	10870.00	11093.54	USD	32,189.76	
	NET +30 AVG BGHT 10869.00				40,417.20	0.00
25AUG17	B 30 PD24NOV17	11486.00	11098.94	USD	-69,670.80	
	NET +30 AVG BGHT 11486.00				-69,670.80	0.00
08SEP17	S 25 PD08DEC17	11930.00	11109.74	USD	123,039.00	
	NET -25 AVG SOLD 11930.00				123,039.00	0.00
19SEP17	B 10 PD19DEC17	10985.00	11118.23	USD	7,993.80	
19SEP17	B 15 PD19DEC17	10980.00	11118.23	USD	12,440.70	
	NET +25 AVG BGHT 10982.00				20,434.50	0.00
27SEP17	S 24 PD27DEC17	10345.00	11124.09	USD	-112,188.96	
27SEP17	S 1 PD27DEC17	10345.00	11124.09	USD	-4,674.54	
	NET -25 AVG SOLD 10345.00				-116,863.50	0.00
	<u>CMX SILVER 5,000 CMX</u>					
30AUG17	B 2 DEC17	1749.0	1713.3	USD	-3,570.00	
31AUG17	B 20 DEC17	1742.0	1713.3	USD	-28,700.00	
04OCT17	B 59 DEC17	1677.5	1713.3	USD	105,610.00	
04OCT17	B 11 DEC17	1678.0	1713.3	USD	19,415.00	
04OCT17	B 30 DEC17	1678.5	1713.3	USD	52,200.00	
04OCT17	B 15 DEC17	1679.0	1713.3	USD	25,725.00	
	NET +137 AVG BGHT 1688.4				170,680.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25SEP17	S 6 PD02NOV17	3101.98	3254.00	USD	-22,803.00	
25SEP17	S 5 PD02NOV17	3101.73	3254.00	USD	-19,033.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25SEP17	S 5 PD02NOV17	3100.98	3254.00	USD	-19,127.50	
	NET -16 AVG SOLD 3101.59				-60,964.25	0.00
16AUG17	B 10 PD16NOV17	3019.50	3241.50	USD	55,500.00	
	NET +10 AVG BGHT 3019.50				55,500.00	0.00
17AUG17	B 21 PD17NOV17	3087.00	3239.00	USD	79,800.00	
17AUG17	B 9 PD17NOV17	3089.00	3239.00	USD	33,750.00	
	NET +30 AVG BGHT 3087.60				113,550.00	0.00
25AUG17	B 10 PD24NOV17	3063.70	3235.00	USD	42,825.00	
	NET +10 AVG BGHT 3063.70				42,825.00	0.00
08SEP17	S 25 PD08DEC17	3087.00	3231.67	USD	-90,418.75	
	NET -25 AVG SOLD 3087.00				-90,418.75	0.00
19SEP17	B 5 PD19DEC17	3072.50	3229.33	USD	19,603.75	
19SEP17	B 20 PD19DEC17	3075.50	3229.33	USD	76,915.00	
	NET +25 AVG BGHT 3074.90				96,518.75	0.00
25SEP17	S 2 PD27DEC17	3080.50	3224.00	USD	-7,175.00	
25SEP17	S 2 PD27DEC17	3081.00	3224.00	USD	-7,150.00	
25SEP17	S 1 PD27DEC17	3082.00	3224.00	USD	-3,550.00	
25SEP17	S 1 PD27DEC17	3083.50	3224.00	USD	-3,512.50	
25SEP17	S 4 PD27DEC17	3082.50	3224.00	USD	-14,150.00	
25SEP17	S 2 PD27DEC17	3095.00	3224.00	USD	-6,450.00	
25SEP17	S 2 PD27DEC17	3096.00	3224.00	USD	-6,400.00	
25SEP17	S 2 PD27DEC17	3105.00	3224.00	USD	-5,950.00	
25SEP17	B 6 PD27DEC17	3094.66	3224.00	USD	19,401.00	
25SEP17	B 5 PD27DEC17	3094.41	3224.00	USD	16,198.75	
25SEP17	B 5 PD27DEC17	3093.66	3224.00	USD	16,292.50	
27SEP17	S 25 PD27DEC17	3112.50	3224.00	USD	-69,687.50	
	NET -25 AVG BGHT 3094.27 SOLD 3103.00				-72,132.75	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-1,286,675.00
LME Copper Grade A 25	FUTURES	-10,150.00
CMX Copper	FUTURES	-9,560,787.50
ICE Cotton No.2	FUTURES	-66,960.00
CMX Gold	FUTURES	7,545,760.00
LME Lead 25 tonnes. This is an	FUTURES	-1,548,187.50
LME Nickel 6 tonnes. This is	FUTURES	-1,650,210.00
CMX Silver	FUTURES	-11,565,425.00
LME Zinc 25 tonnes. This is	FUTURES	-645,434.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	267,425.00	267,425.00		
COTT - Futures USD	1,770.00	1,770.00		
GOLD - Futures USD	-58,750.00	-58,750.00		
SILV - Futures USD	170,680.00	170,680.00	381,125.00	381,125.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	32,873.75	32,873.75		
COPL - Futures USD	-10,325.00	-10,325.00		
LEAD - Futures USD	50,031.25	50,031.25		
NKL - Futures USD	11,345.40	11,345.40		
ZINC - Futures USD	84,878.00	84,878.00	168,803.40	168,803.40

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	362,775.58	362,775.58
- Options	381,125.00	381,125.00
	0.00	0.00
TOTAL EQUITY	743,900.58	743,900.58
Initial Margins	-1,319,100.60	-1,319,100.60
Excess/(Shortage)	-575,200.02	-575,200.02
FOR INFORMATION ONLY		
Forward Unrealised P&L	168,803.40	168,803.40
Net Liquidating Value	912,703.98	912,703.98

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-680.00	-680.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-680.00	-680.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-680.00	-680.00
FOR INFORMATION ONLY		
Net Liquidating Value	-680.00	-680.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089R

11th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
10OCT17	S 1 DEC17	1291.6	1288.9	USD	270.00	
10OCT17	S 1 DEC17	1291.3	1288.9	USD	240.00	
10OCT17	S 2 DEC17	1291.1	1288.9	USD	440.00	
10OCT17	S 3 DEC17	1291.4	1288.9	USD	750.00	
10OCT17	S 1 DEC17	1291.5	1288.9	USD	260.00	
10OCT17	S 2 DEC17	1293.3	1288.9	USD	880.00	
	NET -10 AVG SOLD 1291.7				2,840.00	0.00
	CMX SILVER 5,000 CMX					
11SEP17	B 2 DEC17	1789.0	1713.3	USD	-7,570.00	
11SEP17	B 1 DEC17	1788.0	1713.3	USD	-3,735.00	
13SEP17	B 10 DEC17	1788.0	1713.3	USD	-37,350.00	
15SEP17	B 2 DEC17	1785.5	1713.3	USD	-7,220.00	
18SEP17	B 4 DEC17	1751.0	1713.3	USD	-7,540.00	
18SEP17	B 2 DEC17	1751.5	1713.3	USD	-3,820.00	
18SEP17	B 6 DEC17	1750.0	1713.3	USD	-11,010.00	
18SEP17	B 3 DEC17	1750.5	1713.3	USD	-5,580.00	
	NET +30 AVG BGHT 1769.2				-83,825.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 6 PD02NOV17	3093.73	3254.00	USD	-24,040.50	
04OCT17	B 6 PD02NOV17	3288.50	3254.00	USD	-5,175.00	
	NET 0 AVG BGHT 3288.50 SOLD 3093.73				-29,215.50	0.00
25SEP17	S 1 PD27DEC17	3095.50	3224.00	USD	-3,212.50	
25SEP17	S 1 PD27DEC17	3097.50	3224.00	USD	-3,162.50	
25SEP17	S 1 PD27DEC17	3095.00	3224.00	USD	-3,225.00	
25SEP17	B 6 PD27DEC17	3086.91	3224.00	USD	20,563.50	
26SEP17	B 3 PD27DEC17	3124.00	3224.00	USD	7,500.00	
04OCT17	S 6 PD27DEC17	3276.13	3224.00	USD	7,819.50	
	NET 0 AVG BGHT 3099.27 SOLD 3216.09				26,283.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	1,291,740.00
CMX Silver	FUTURES	-2,653,775.00
LME Zinc 25 tonnes. This is	FUTURES	-2,932.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	2,840.00	2,840.00		
SILV - Futures USD	-83,825.00	-83,825.00	-80,985.00	-80,985.00
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-2,932.50	-2,932.50	-2,932.50	-2,932.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089R

11th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-241,640.66	-241,640.66
Variation Margins	- Futures	-80,985.00	-80,985.00
	- Options	0.00	0.00
TOTAL EQUITY		-322,625.66	-322,625.66
Initial Margins		-147,932.50	-147,932.50
Excess/(Shortage)		-470,558.16	-470,558.16
FOR INFORMATION ONLY			
Forward Unrealised P&L		-2,932.50	-2,932.50
Net Liquidating Value		-325,558.16	-325,558.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0089**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	120,454.92	120,454.92
Variation Margins		
- Futures	300,140.00	300,140.00
- Options	0.00	0.00
TOTAL EQUITY	420,594.92	420,594.92
Initial Margins	-1,467,033.10	-1,467,033.10
Excess/(Shortage)	-1,046,438.18	-1,046,438.18
FOR INFORMATION ONLY		
Forward Unrealised P&L	165,870.90	165,870.90
Net Liquidating Value	586,465.82	586,465.82

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	472,100.42	472,100.42
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	472,100.42	472,100.42
Initial Margins	0.00	0.00
Excess/(Shortage)	472,100.42	472,100.42
FOR INFORMATION ONLY		
Net Liquidating Value	472,100.42	472,100.42

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	S 5 PD09NOV17	10755.00	11086.21	USD	-9,936.30	
18SEP17	B 5 PD09NOV17	11146.01	11086.21	USD	-1,794.00	
	NET 0AVG BGHT 11146.01 SOLD 10755.00				-11,730.30	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD -11,730.30

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-11,730.30	-11,730.30	-11,730.30	-11,730.30

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	26,813.04	26,813.04
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	26,813.04	26,813.04
Initial Margins	-11,730.30	-11,730.30
Excess/(Shortage)	15,082.74	15,082.74
FOR INFORMATION ONLY		
Forward Unrealised P&L	-11,730.30	-11,730.30
Net Liquidating Value	15,082.74	15,082.74

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0090**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	498,913.46	498,913.46
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	498,913.46	498,913.46
Initial Margins	-11,730.30	-11,730.30
Excess/(Shortage)	487,183.16	487,183.16
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-11,730.30	-11,730.30
Net Liquidating Value	487,183.16	487,183.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	7,486,348.17	7,486,348.17
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	7,486,348.17	7,486,348.17
Initial Margins	0.00	0.00
Excess/(Shortage)	7,486,348.17	7,486,348.17
FOR INFORMATION ONLY		
Net Liquidating Value	7,486,348.17	7,486,348.17

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	3	PD120CT17	1898.50	2104.25	USD	15,431.25	
12JUL17	B	1	PD120CT17	1896.50	2104.25	USD	5,193.75	
12JUL17	B	1	PD120CT17	1897.50	2104.25	USD	5,168.75	
12JUL17	B	1	PD120CT17	1895.00	2104.25	USD	5,231.25	
12JUL17	B	5	PD120CT17	1893.50	2104.25	USD	26,343.75	
12JUL17	B	1	PD120CT17	1884.50	2104.25	USD	5,493.75	
12JUL17	B	1	PD120CT17	1886.00	2104.25	USD	5,456.25	
12JUL17	B	1	PD120CT17	1888.00	2104.25	USD	5,406.25	
12JUL17	B	1	PD120CT17	1888.50	2104.25	USD	5,393.75	
12JUL17	B	2	PD120CT17	1887.50	2104.25	USD	10,837.50	
12JUL17	S	1	PD120CT17	1893.50	2104.25	USD	-5,268.75	
12JUL17	B	1	PD120CT17	1882.50	2104.25	USD	5,543.75	
12JUL17	B	1	PD120CT17	1883.50	2104.25	USD	5,518.75	
12JUL17	S	5	PD120CT17	1888.80	2104.25	USD	-26,931.25	
14JUL17	S	12	PD120CT17	1926.87	2104.25	USD	-53,214.00	
18JUL17	S	1	PD120CT17	1929.54	2104.25	USD	-4,367.75	
	NET	0 AVG BGHT 1891.58	SOLD 1915.24				11,237.00	0.00
13JUL17	S	2	PD130CT17	1939.00	2104.75	USD	-8,287.50	
13JUL17	S	2	PD130CT17	1932.50	2104.75	USD	-8,612.50	
13JUL17	S	2	PD130CT17	1927.50	2104.75	USD	-8,862.50	
13JUL17	S	1	PD130CT17	1930.50	2104.75	USD	-4,356.25	
13JUL17	S	1	PD130CT17	1931.50	2104.75	USD	-4,331.25	
13JUL17	S	2	PD130CT17	1933.00	2104.75	USD	-8,587.50	
13JUL17	S	2	PD130CT17	1932.00	2104.75	USD	-8,637.50	
13JUL17	S	3	PD130CT17	1931.00	2104.75	USD	-13,031.25	
13JUL17	S	3	PD130CT17	1929.00	2104.75	USD	-13,181.25	
13JUL17	B	3	PD130CT17	1923.20	2104.75	USD	13,616.25	
14JUL17	B	2	PD130CT17	1920.50	2104.75	USD	9,212.50	
14JUL17	B	15	PD130CT17	1927.20	2104.75	USD	66,581.25	
18JUL17	S	2	PD130CT17	1929.95	2104.75	USD	-8,740.00	
	NET	0 AVG BGHT 1925.93	SOLD 1931.50				2,782.50	0.00
17JUL17	S	1	PD170CT17	1938.00	2106.75	USD	-4,218.75	
17JUL17	S	1	PD170CT17	1939.00	2106.75	USD	-4,193.75	
17JUL17	S	1	PD170CT17	1940.00	2106.75	USD	-4,168.75	
17JUL17	B	1	PD170CT17	1899.50	2106.75	USD	5,181.25	
18JUL17	B	2	PD170CT17	1930.99	2106.75	USD	8,788.00	
	NET	0 AVG BGHT 1920.49	SOLD 1939.00				1,388.00	0.00
18JUL17	B	1	PD180CT17	1900.50	2107.25	USD	5,168.75	
18JUL17	B	1	PD180CT17	1904.50	2107.25	USD	5,068.75	
18JUL17	B	1	PD180CT17	1905.00	2107.25	USD	5,056.25	
18JUL17	B	1	PD180CT17	1906.00	2107.25	USD	5,031.25	
18JUL17	B	1	PD180CT17	1899.50	2107.25	USD	5,193.75	
18JUL17	B	1	PD180CT17	1900.00	2107.25	USD	5,181.25	
18JUL17	S	6	PD180CT17	1930.80	2107.25	USD	-26,467.50	
	NET	0 AVG BGHT 1902.58	SOLD 1930.80				4,232.50	0.00
19JUL17	B	1	PD190CT17	1918.50	2107.75	USD	4,731.25	
19JUL17	B	1	PD190CT17	1916.50	2107.75	USD	4,781.25	
24JUL17	S	2	PD190CT17	1911.58	2107.75	USD	-9,808.50	
	NET	0 AVG BGHT 1917.50	SOLD 1911.58				-296.00	0.00
21JUL17	S	1	PD200CT17	1919.00	2108.25	USD	-4,731.25	
21JUL17	S	2	PD200CT17	1916.50	2108.25	USD	-9,587.50	
24JUL17	B	2	PD200CT17	1911.87	2108.25	USD	9,819.00	
26JUL17	B	1	PD200CT17	1940.91	2108.25	USD	4,183.50	
	NET	0 AVG BGHT 1921.55	SOLD 1917.33				-316.25	0.00
24JUL17	S	1	PD240CT17	1922.00	2110.25	USD	-4,706.25	
24JUL17	S	1	PD240CT17	1923.00	2110.25	USD	-4,681.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
24JUL17	S	1	PD240CT17	1916.00	2110.25	USD	-4,856.25	
24JUL17	S	3	PD240CT17	1915.00	2110.25	USD	-14,643.75	
25JUL17	B	5	PD240CT17	1929.99	2110.25	USD	22,532.50	
26JUL17	B	1	PD240CT17	1941.77	2110.25	USD	4,212.00	
	NET	0 AVG BGHT 1931.95	SOLD 1917.67				-2,143.00	0.00
25JUL17	S	1	PD250CT17	1918.50	2110.75	USD	-4,806.25	
25JUL17	S	2	PD250CT17	1919.00	2110.75	USD	-9,587.50	
25JUL17	S	1	PD250CT17	1921.00	2110.75	USD	-4,743.75	
25JUL17	S	1	PD250CT17	1922.00	2110.75	USD	-4,718.75	
25JUL17	S	2	PD250CT17	1923.00	2110.75	USD	-9,387.50	
25JUL17	S	1	PD250CT17	1923.50	2110.75	USD	-4,681.25	
25JUL17	S	1	PD250CT17	1924.00	2110.75	USD	-4,668.75	
25JUL17	S	1	PD250CT17	1922.50	2110.75	USD	-4,706.25	
25JUL17	S	1	PD250CT17	1945.50	2110.75	USD	-4,131.25	
26JUL17	B	11	PD250CT17	1941.99	2110.75	USD	46,409.00	
	NET	0 AVG BGHT 1941.99	SOLD 1923.73				-5,022.25	0.00
26JUL17	S	1	PD260CT17	1957.50	2111.25	USD	-3,843.75	
26JUL17	S	1	PD260CT17	1940.50	2111.25	USD	-4,268.75	
26JUL17	S	2	PD260CT17	1954.50	2111.25	USD	-7,837.50	
26JUL17	S	1	PD260CT17	1956.00	2111.25	USD	-3,881.25	
26JUL17	S	1	PD260CT17	1956.50	2111.25	USD	-3,868.75	
26JUL17	S	1	PD260CT17	1957.00	2111.25	USD	-3,856.25	
26JUL17	S	1	PD260CT17	1962.50	2111.25	USD	-3,718.75	
26JUL17	B	1	PD260CT17	1943.50	2111.25	USD	4,193.75	
26JUL17	B	1	PD260CT17	1937.50	2111.25	USD	4,343.75	
26JUL17	B	5	PD260CT17	1942.20	2111.25	USD	21,131.25	
31JUL17	B	1	PD260CT17	1916.44	2111.25	USD	4,870.25	
	NET	0 AVG BGHT 1938.56	SOLD 1954.88				3,264.00	0.00
27JUL17	S	1	PD270CT17	1944.50	2111.00	USD	-4,162.50	
27JUL17	S	1	PD270CT17	1942.00	2111.00	USD	-4,225.00	
27JUL17	S	1	PD270CT17	1947.50	2111.00	USD	-4,087.50	
27JUL17	S	1	PD270CT17	1948.00	2111.00	USD	-4,075.00	
27JUL17	S	1	PD270CT17	1949.50	2111.00	USD	-4,037.50	
27JUL17	S	1	PD270CT17	1948.50	2111.00	USD	-4,062.50	
27JUL17	S	3	PD270CT17	1949.00	2111.00	USD	-12,150.00	
27JUL17	S	1	PD270CT17	1950.00	2111.00	USD	-4,025.00	
27JUL17	S	2	PD270CT17	1951.00	2111.00	USD	-8,000.00	
27JUL17	S	1	PD270CT17	1952.00	2111.00	USD	-3,975.00	
27JUL17	B	1	PD270CT17	1941.50	2111.00	USD	4,237.50	
27JUL17	B	1	PD270CT17	1942.50	2111.00	USD	4,212.50	
27JUL17	B	1	PD270CT17	1944.00	2111.00	USD	4,175.00	
27JUL17	B	1	PD270CT17	1944.50	2111.00	USD	4,162.50	
27JUL17	B	1	PD270CT17	1946.00	2111.00	USD	4,125.00	
27JUL17	B	1	PD270CT17	1938.00	2111.00	USD	4,325.00	
27JUL17	B	1	PD270CT17	1938.50	2111.00	USD	4,312.50	
27JUL17	B	1	PD270CT17	1940.00	2111.00	USD	4,275.00	
27JUL17	B	5	PD270CT17	1937.50	2111.00	USD	21,687.50	
28JUL17	B	1	PD270CT17	1928.50	2111.00	USD	4,562.50	
28JUL17	B	1	PD270CT17	1927.50	2111.00	USD	4,587.50	
28JUL17	B	2	PD270CT17	1928.00	2111.00	USD	9,150.00	
28JUL17	B	1	PD270CT17	1925.50	2111.00	USD	4,637.50	
28JUL17	B	1	PD270CT17	1925.00	2111.00	USD	4,650.00	
28JUL17	B	1	PD270CT17	1916.50	2111.00	USD	4,862.50	
28JUL17	B	1	PD270CT17	1916.00	2111.00	USD	4,875.00	
28JUL17	B	2	PD270CT17	1915.00	2111.00	USD	9,800.00	
28JUL17	B	1	PD270CT17	1909.50	2111.00	USD	5,037.50	

OPEN POSITION STATEMENT



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SAP0094A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28JUL17	B	2	PD27OCT17	1913.00	2111.00	USD	9,900.00	
28JUL17	B	1	PD27OCT17	1910.50	2111.00	USD	5,012.50	
28JUL17	S	10	PD27OCT17	1906.80	2111.00	USD	-51,050.00	
31JUL17	S	1	PD27OCT17	1916.55	2111.00	USD	-4,861.25	
03AUG17	S	3	PD27OCT17	1914.25	2111.00	USD	-14,756.25	
	NET	0 AVG BGHT 1929.39	SOLD 1928.09				-880.00	0.00
31JUL17	B	1	PD31OCT17	1917.50	2110.50	USD	4,825.00	
31JUL17	S	2	PD31OCT17	1917.00	2110.50	USD	-9,675.00	
31JUL17	S	2	PD31OCT17	1917.50	2110.50	USD	-9,650.00	
31JUL17	S	1	PD31OCT17	1919.00	2110.50	USD	-4,787.50	
31JUL17	B	1	PD31OCT17	1920.50	2110.50	USD	4,750.00	
31JUL17	B	1	PD31OCT17	1919.00	2110.50	USD	4,787.50	
31JUL17	B	2	PD31OCT17	1917.00	2110.50	USD	9,675.00	
	NET	0 AVG BGHT 1918.20	SOLD 1917.60				-75.00	0.00
01AUG17	S	1	PD01NOV17	1909.00	2110.81	USD	-5,045.25	
01AUG17	S	1	PD01NOV17	1910.00	2110.81	USD	-5,020.25	
01AUG17	S	1	PD01NOV17	1909.50	2110.81	USD	-5,032.75	
01AUG17	B	1	PD01NOV17	1906.50	2110.81	USD	5,107.75	
01AUG17	B	2	PD01NOV17	1908.00	2110.81	USD	10,140.50	
	NET	0 AVG BGHT 1907.50	SOLD 1909.50				150.00	0.00
02AUG17	S	4	PD02NOV17	1914.50	2111.13	USD	-19,663.00	
02AUG17	S	2	PD02NOV17	1915.00	2111.13	USD	-9,806.50	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
02AUG17	B	1	PD02NOV17	1920.00	2111.13	USD	4,778.25	
02AUG17	B	1	PD02NOV17	1920.50	2111.13	USD	4,765.75	
02AUG17	S	1	PD02NOV17	1932.00	2111.13	USD	-4,478.25	
02AUG17	S	1	PD02NOV17	1934.00	2111.13	USD	-4,428.25	
02AUG17	S	1	PD02NOV17	1935.50	2111.13	USD	-4,390.75	
02AUG17	S	1	PD02NOV17	1920.50	2111.13	USD	-4,765.75	
02AUG17	S	1	PD02NOV17	1924.00	2111.13	USD	-4,678.25	
02AUG17	S	1	PD02NOV17	1925.50	2111.13	USD	-4,640.75	
02AUG17	B	1	PD02NOV17	1925.00	2111.13	USD	4,653.25	
02AUG17	S	2	PD02NOV17	1915.50	2111.13	USD	-9,781.50	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	B	1	PD02NOV17	1923.50	2111.13	USD	4,690.75	
03AUG17	B	3	PD02NOV17	1915.95	2111.13	USD	14,638.50	
04AUG17	B	2	PD02NOV17	1910.23	2111.13	USD	10,045.00	
07AUG17	B	4	PD02NOV17	1962.82	2111.13	USD	14,831.00	
08AUG17	B	4	PD02NOV17	2029.00	2111.13	USD	8,213.00	
09OCT17	S	4	PD02NOV17	2156.44	2111.13	USD	4,531.00	
	NET	-4 AVG BGHT 1954.39	SOLD 1966.42				-9,358.75	0.00
03AUG17	B	1	PD03NOV17	1927.50	2111.44	USD	4,598.50	
03AUG17	B	1	PD03NOV17	1910.50	2111.44	USD	5,023.50	
03AUG17	B	1	PD03NOV17	1911.00	2111.44	USD	5,011.00	
03AUG17	S	1	PD03NOV17	1920.50	2111.44	USD	-4,773.50	
04AUG17	B	1	PD03NOV17	1912.50	2111.44	USD	4,973.50	
04AUG17	B	1	PD03NOV17	1904.50	2111.44	USD	5,173.50	
04AUG17	B	2	PD03NOV17	1903.50	2111.44	USD	10,397.00	
04AUG17	S	2	PD03NOV17	1910.30	2111.44	USD	-10,057.00	
07AUG17	S	4	PD03NOV17	1962.85	2111.44	USD	-14,859.00	
	NET	0 AVG BGHT 1910.43	SOLD 1941.79				5,487.50	0.00
07AUG17	S	1	PD07NOV17	1921.50	2112.69	USD	-4,779.75	
07AUG17	S	2	PD07NOV17	1929.00	2112.69	USD	-9,184.50	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	S	1	PD07NOV17	1934.50	2112.69	USD	-4,454.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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07AUG17	S	2	PD07NOV17	1937.50	2112.69	USD	-8,759.50	
07AUG17	S	1	PD07NOV17	1938.00	2112.69	USD	-4,367.25	
07AUG17	S	2	PD07NOV17	1947.00	2112.69	USD	-8,284.50	
07AUG17	S	1	PD07NOV17	1947.50	2112.69	USD	-4,129.75	
07AUG17	S	2	PD07NOV17	1948.00	2112.69	USD	-8,234.50	
07AUG17	S	1	PD07NOV17	1948.50	2112.69	USD	-4,104.75	
07AUG17	S	1	PD07NOV17	1959.50	2112.69	USD	-3,829.75	
07AUG17	S	2	PD07NOV17	1960.00	2112.69	USD	-7,634.50	
07AUG17	S	1	PD07NOV17	1964.00	2112.69	USD	-3,717.25	
08AUG17	B	18	PD07NOV17	2030.00	2112.69	USD	37,210.50	
	NET	0 AVG BGHT 2030.00	SOLD 1943.72				-38,825.00	0.00
08AUG17	S	1	PD08NOV17	1985.00	2113.00	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	1988.00	2113.00	USD	-3,125.00	
08AUG17	S	1	PD08NOV17	1989.00	2113.00	USD	-3,100.00	
08AUG17	S	1	PD08NOV17	1998.50	2113.00	USD	-2,862.50	
08AUG17	S	1	PD08NOV17	1997.50	2113.00	USD	-2,887.50	
08AUG17	S	1	PD08NOV17	1991.50	2113.00	USD	-3,037.50	
08AUG17	S	1	PD08NOV17	1992.50	2113.00	USD	-3,012.50	
08AUG17	S	1	PD08NOV17	1991.00	2113.00	USD	-3,050.00	
08AUG17	S	2	PD08NOV17	1994.50	2113.00	USD	-5,925.00	
08AUG17	S	1	PD08NOV17	2005.00	2113.00	USD	-2,700.00	
08AUG17	S	1	PD08NOV17	2005.50	2113.00	USD	-2,687.50	
08AUG17	S	1	PD08NOV17	2004.50	2113.00	USD	-2,712.50	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	2008.50	2113.00	USD	-2,612.50	
08AUG17	S	1	PD08NOV17	2010.50	2113.00	USD	-2,562.50	
08AUG17	S	1	PD08NOV17	2007.50	2113.00	USD	-2,637.50	
08AUG17	S	1	PD08NOV17	2015.00	2113.00	USD	-2,450.00	
08AUG17	S	1	PD08NOV17	2023.00	2113.00	USD	-2,250.00	
08AUG17	S	2	PD08NOV17	1995.50	2113.00	USD	-5,875.00	
08AUG17	S	2	PD08NOV17	2009.50	2113.00	USD	-5,175.00	
08AUG17	S	2	PD08NOV17	2028.50	2113.00	USD	-4,225.00	
08AUG17	B	11	PD08NOV17	2030.20	2113.00	USD	22,770.00	
09AUG17	B	13	PD08NOV17	2027.52	2113.00	USD	27,781.00	
14AUG17	B	1	PD08NOV17	2022.10	2113.00	USD	2,272.50	
	NET	0 AVG BGHT 2028.48	SOLD 2002.98				-15,939.00	0.00
09AUG17	B	2	PD09NOV17	2031.50	2113.46	USD	4,098.00	
09AUG17	S	2	PD09NOV17	2030.50	2113.46	USD	-4,148.00	
09AUG17	B	2	PD09NOV17	2026.00	2113.46	USD	4,373.00	
09AUG17	S	1	PD09NOV17	2033.00	2113.46	USD	-2,011.50	
09AUG17	S	2	PD09NOV17	2031.00	2113.46	USD	-4,123.00	
09AUG17	S	1	PD09NOV17	2032.00	2113.46	USD	-2,036.50	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
14AUG17	B	3	PD09NOV17	2022.29	2113.46	USD	6,837.75	
	NET	0 AVG BGHT 2025.98	SOLD 2031.64				990.75	0.00
10AUG17	S	1	PD10NOV17	2039.50	2113.93	USD	-1,860.75	
10AUG17	S	1	PD10NOV17	2040.00	2113.93	USD	-1,848.25	
10AUG17	S	2	PD10NOV17	2042.50	2113.93	USD	-3,571.50	
10AUG17	B	2	PD10NOV17	2040.00	2113.93	USD	3,696.50	
10AUG17	B	1	PD10NOV17	2034.50	2113.93	USD	1,985.75	
10AUG17	B	1	PD10NOV17	2035.00	2113.93	USD	1,973.25	
11AUG17	B	1	PD10NOV17	2022.50	2113.93	USD	2,285.75	
11AUG17	B	2	PD10NOV17	2023.00	2113.93	USD	4,546.50	
11AUG17	B	1	PD10NOV17	2021.00	2113.93	USD	2,323.25	
11AUG17	S	1	PD10NOV17	2044.50	2113.93	USD	-1,735.75	
11AUG17	S	2	PD10NOV17	2043.00	2113.93	USD	-3,546.50	

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11AUG17	S	1	PD10NOV17	2046.50	2113.93	USD	-1,685.75	
	NET	0 AVG	BGHT 2029.88	SOLD 2042.69			2,562.50	0.00
14AUG17	S	1	PD14NOV17	2035.50	2115.79	USD	-2,007.25	
14AUG17	B	1	PD14NOV17	2025.50	2115.79	USD	2,257.25	
14AUG17	B	1	PD14NOV17	2026.50	2115.79	USD	2,232.25	
14AUG17	B	1	PD14NOV17	2027.50	2115.79	USD	2,207.25	
14AUG17	S	1	PD14NOV17	2034.00	2115.79	USD	-2,044.75	
14AUG17	S	2	PD14NOV17	2034.50	2115.79	USD	-4,064.50	
14AUG17	S	1	PD14NOV17	2035.00	2115.79	USD	-2,019.75	
14AUG17	S	1	PD14NOV17	2041.00	2115.79	USD	-1,869.75	
14AUG17	B	1	PD14NOV17	2026.00	2115.79	USD	2,244.75	
14AUG17	B	1	PD14NOV17	2027.00	2115.79	USD	2,219.75	
14AUG17	B	1	PD14NOV17	2028.00	2115.79	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2030.50	2115.79	USD	2,132.25	
14AUG17	B	2	PD14NOV17	2023.20	2115.79	USD	4,629.50	
14AUG17	S	1	PD14NOV17	2024.50	2115.79	USD	-2,282.25	
14AUG17	S	2	PD14NOV17	2025.00	2115.79	USD	-4,539.50	
14AUG17	S	2	PD14NOV17	2023.50	2115.79	USD	-4,614.50	
14AUG17	S	1	PD14NOV17	2024.00	2115.79	USD	-2,294.75	
15AUG17	B	3	PD14NOV17	2049.01	2115.79	USD	5,008.50	
	NET	0 AVG	BGHT 2032.04	SOLD 2030.00			-610.75	0.00
15AUG17	B	4	PD15NOV17	2049.20	2116.25	USD	6,705.00	
16AUG17	S	2	PD15NOV17	2094.05	2116.25	USD	-1,110.00	
18AUG17	S	2	PD15NOV17	2062.05	2116.25	USD	-2,710.00	
	NET	0 AVG	BGHT 2049.20	SOLD 2078.05			2,885.00	0.00
16AUG17	S	1	PD16NOV17	2060.50	2116.56	USD	-1,401.50	
16AUG17	S	1	PD16NOV17	2061.50	2116.56	USD	-1,376.50	
16AUG17	S	2	PD16NOV17	2062.00	2116.56	USD	-2,728.00	
16AUG17	S	1	PD16NOV17	2065.00	2116.56	USD	-1,289.00	
16AUG17	S	2	PD16NOV17	2061.00	2116.56	USD	-2,778.00	
16AUG17	B	1	PD16NOV17	2088.00	2116.56	USD	714.00	
16AUG17	B	1	PD16NOV17	2089.50	2116.56	USD	676.50	
16AUG17	B	1	PD16NOV17	2092.50	2116.56	USD	601.50	
16AUG17	B	8	PD16NOV17	2094.20	2116.56	USD	4,472.00	
18AUG17	S	4	PD16NOV17	2061.55	2116.56	USD	-5,501.00	
	NET	0 AVG	BGHT 2093.05	SOLD 2061.75			-8,610.00	0.00
17AUG17	B	1	PD17NOV17	2082.00	2116.87	USD	871.75	
17AUG17	B	1	PD17NOV17	2080.50	2116.87	USD	909.25	
17AUG17	B	1	PD17NOV17	2074.50	2116.87	USD	1,059.25	
17AUG17	B	1	PD17NOV17	2079.00	2116.87	USD	946.75	
17AUG17	B	1	PD17NOV17	2080.00	2116.87	USD	921.75	
18AUG17	B	1	PD17NOV17	2074.00	2116.87	USD	1,071.75	
18AUG17	B	1	PD17NOV17	2074.50	2116.87	USD	1,059.25	
18AUG17	B	1	PD17NOV17	2086.50	2116.87	USD	759.25	
18AUG17	B	1	PD17NOV17	2084.50	2116.87	USD	809.25	
18AUG17	B	1	PD17NOV17	2088.50	2116.87	USD	709.25	
18AUG17	B	3	PD17NOV17	2088.00	2116.87	USD	2,165.25	
18AUG17	B	1	PD17NOV17	2063.00	2116.87	USD	1,346.75	
18AUG17	S	8	PD17NOV17	2061.80	2116.87	USD	-11,014.00	
22AUG17	S	1	PD17NOV17	2073.96	2116.87	USD	-1,072.75	
23AUG17	S	2	PD17NOV17	2095.91	2116.87	USD	-1,048.00	
25AUG17	S	3	PD17NOV17	2068.05	2116.87	USD	-3,661.50	
	NET	0 AVG	BGHT 2080.79	SOLD 2068.88			-4,166.75	0.00
21AUG17	S	1	PD21NOV17	2096.50	2118.10	USD	-540.00	
22AUG17	B	1	PD21NOV17	2074.99	2118.10	USD	1,077.75	
	NET	0 AVG	BGHT 2074.99	SOLD 2096.50			537.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22AUG17	B	1	PD22NOV17	2073.00	2118.41	USD	1,135.25	
22AUG17	S	2	PD22NOV17	2078.50	2118.41	USD	-1,995.50	
22AUG17	S	1	PD22NOV17	2081.50	2118.41	USD	-922.75	
22AUG17	S	1	PD22NOV17	2082.50	2118.41	USD	-897.75	
22AUG17	S	1	PD22NOV17	2084.00	2118.41	USD	-860.25	
22AUG17	S	1	PD22NOV17	2083.50	2118.41	USD	-872.75	
22AUG17	B	1	PD22NOV17	2076.50	2118.41	USD	1,047.75	
22AUG17	B	2	PD22NOV17	2078.00	2118.41	USD	2,020.50	
23AUG17	B	2	PD22NOV17	2096.89	2118.41	USD	1,076.00	
	NET	0 AVG BGHT 2083.21	SOLD 2081.42				-269.50	0.00
23AUG17	B	1	PD24NOV17	2058.50	2119.03	USD	1,513.25	
23AUG17	B	1	PD24NOV17	2059.00	2119.03	USD	1,500.75	
23AUG17	S	1	PD24NOV17	2075.50	2119.03	USD	-1,088.25	
23AUG17	S	1	PD24NOV17	2077.00	2119.03	USD	-1,050.75	
23AUG17	S	1	PD24NOV17	2078.50	2119.03	USD	-1,013.25	
23AUG17	S	1	PD24NOV17	2082.50	2119.03	USD	-913.25	
23AUG17	S	1	PD24NOV17	2089.50	2119.03	USD	-738.25	
23AUG17	S	1	PD24NOV17	2091.50	2119.03	USD	-688.25	
23AUG17	S	1	PD24NOV17	2094.00	2119.03	USD	-625.75	
23AUG17	S	1	PD24NOV17	2097.50	2119.03	USD	-538.25	
23AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
23AUG17	B	2	PD24NOV17	2097.20	2119.03	USD	1,091.50	
24AUG17	B	2	PD24NOV17	2101.00	2119.03	USD	901.50	
24AUG17	B	1	PD24NOV17	2103.50	2119.03	USD	388.25	
24AUG17	B	1	PD24NOV17	2091.50	2119.03	USD	688.25	
24AUG17	B	1	PD24NOV17	2092.50	2119.03	USD	663.25	
24AUG17	B	1	PD24NOV17	2093.50	2119.03	USD	638.25	
24AUG17	S	1	PD24NOV17	2118.50	2119.03	USD	-13.25	
24AUG17	S	1	PD24NOV17	2120.50	2119.03	USD	36.75	
24AUG17	B	1	PD24NOV17	2100.00	2119.03	USD	475.75	
24AUG17	B	1	PD24NOV17	2098.50	2119.03	USD	513.25	
25AUG17	B	1	PD24NOV17	2080.00	2119.03	USD	975.75	
25AUG17	B	1	PD24NOV17	2070.00	2119.03	USD	1,225.75	
25AUG17	B	1	PD24NOV17	2078.50	2119.03	USD	1,013.25	
25AUG17	B	1	PD24NOV17	2079.00	2119.03	USD	1,000.75	
25AUG17	B	1	PD24NOV17	2067.00	2119.03	USD	1,300.75	
25AUG17	B	1	PD24NOV17	2069.00	2119.03	USD	1,250.75	
25AUG17	S	1	PD24NOV17	2069.80	2119.03	USD	-1,230.75	
25AUG17	S	1	PD24NOV17	2072.00	2119.03	USD	-1,175.75	
25AUG17	S	1	PD24NOV17	2073.00	2119.03	USD	-1,150.75	
05SEP17	S	4	PD24NOV17	2093.20	2119.03	USD	-2,583.00	
	NET	0 AVG BGHT 2085.38	SOLD 2089.39				1,805.00	0.00
29AUG17	B	1	PD29NOV17	2078.50	2120.57	USD	1,051.75	
29AUG17	B	1	PD29NOV17	2079.00	2120.57	USD	1,039.25	
29AUG17	B	1	PD29NOV17	2080.00	2120.57	USD	1,014.25	
29AUG17	S	1	PD29NOV17	2092.00	2120.57	USD	-714.25	
29AUG17	S	1	PD29NOV17	2095.50	2120.57	USD	-626.75	
29AUG17	S	1	PD29NOV17	2099.50	2120.57	USD	-526.75	
	NET	0 AVG BGHT 2079.17	SOLD 2095.67				1,237.50	0.00
30AUG17	B	1	PD30NOV17	2091.50	2120.88	USD	734.50	
30AUG17	B	1	PD30NOV17	2092.50	2120.88	USD	709.50	
30AUG17	S	1	PD30NOV17	2095.00	2120.88	USD	-647.00	
30AUG17	S	1	PD30NOV17	2091.00	2120.88	USD	-747.00	
30AUG17	S	1	PD30NOV17	2092.50	2120.88	USD	-709.50	
30AUG17	S	1	PD30NOV17	2094.50	2120.88	USD	-659.50	
30AUG17	B	1	PD30NOV17	2090.50	2120.88	USD	759.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31AUG17	B	1	PD30NOV17	2130.00	2120.88	USD	-228.00	
31AUG17	B	1	PD30NOV17	2130.50	2120.88	USD	-240.50	
05SEP17	S	1	PD30NOV17	2094.62	2120.88	USD	-656.50	
	NET	0 AVG BGHT 2107.00	SOLD 2093.52				-1,684.50	0.00
01SEP17	S	1	PD01DEC17	2141.00	2121.19	USD	495.25	
01SEP17	S	1	PD01DEC17	2140.00	2121.19	USD	470.25	
12SEP17	B	2	PD01DEC17	2133.59	2121.19	USD	-620.00	
	NET	0 AVG BGHT 2133.59	SOLD 2140.50				345.50	0.00
04SEP17	B	1	PD04DEC17	2125.00	2122.12	USD	-72.00	
04SEP17	B	1	PD04DEC17	2126.50	2122.12	USD	-109.50	
04SEP17	B	1	PD04DEC17	2120.00	2122.12	USD	53.00	
04SEP17	B	1	PD04DEC17	2121.50	2122.12	USD	15.50	
04SEP17	B	1	PD04DEC17	2122.00	2122.12	USD	3.00	
04SEP17	B	1	PD04DEC17	2126.00	2122.12	USD	-97.00	
04SEP17	B	1	PD04DEC17	2119.00	2122.12	USD	78.00	
04SEP17	B	1	PD04DEC17	2119.50	2122.12	USD	65.50	
04SEP17	B	1	PD04DEC17	2109.00	2122.12	USD	328.00	
04SEP17	B	1	PD04DEC17	2111.00	2122.12	USD	278.00	
04SEP17	B	2	PD04DEC17	2117.50	2122.12	USD	231.00	
04SEP17	B	1	PD04DEC17	2117.00	2122.12	USD	128.00	
05SEP17	S	2	PD04DEC17	2095.56	2122.12	USD	-1,328.00	
08SEP17	S	11	PD04DEC17	2097.80	2122.12	USD	-6,688.00	
	NET	0 AVG BGHT 2119.35	SOLD 2097.46				-7,114.50	0.00
05SEP17	B	1	PD05DEC17	2105.50	2122.43	USD	423.25	
05SEP17	B	2	PD05DEC17	2108.50	2122.43	USD	696.50	
05SEP17	B	1	PD05DEC17	2096.50	2122.43	USD	648.25	
08SEP17	S	4	PD05DEC17	2098.05	2122.43	USD	-2,438.00	
	NET	0 AVG BGHT 2104.75	SOLD 2098.05				-670.00	0.00
06SEP17	B	2	PD06DEC17	2073.00	2122.74	USD	2,487.00	
06SEP17	S	2	PD06DEC17	2106.00	2122.74	USD	-837.00	
06SEP17	S	1	PD06DEC17	2108.50	2122.74	USD	-356.00	
06SEP17	S	2	PD06DEC17	2110.50	2122.74	USD	-612.00	
12SEP17	B	1	PD06DEC17	2135.14	2122.74	USD	-310.00	
27SEP17	B	2	PD06DEC17	2125.30	2122.74	USD	-128.00	
	NET	0 AVG BGHT 2106.35	SOLD 2108.30				244.00	0.00
07SEP17	S	3	PD07DEC17	2107.00	2123.04	USD	-1,203.00	
07SEP17	B	1	PD07DEC17	2090.00	2123.04	USD	826.00	
07SEP17	B	1	PD07DEC17	2093.00	2123.04	USD	751.00	
07SEP17	S	2	PD07DEC17	2093.00	2123.04	USD	-1,502.00	
27SEP17	B	3	PD07DEC17	2125.60	2123.04	USD	-192.00	
	NET	0 AVG BGHT 2111.96	SOLD 2101.40				-1,320.00	0.00
08SEP17	B	1	PD08DEC17	2092.00	2123.35	USD	783.75	
08SEP17	B	2	PD08DEC17	2090.00	2123.35	USD	1,667.50	
12SEP17	S	3	PD08DEC17	2135.56	2123.35	USD	915.75	
	NET	0 AVG BGHT 2090.67	SOLD 2135.56				3,367.00	0.00
11SEP17	S	1	PD11DEC17	2135.00	2124.28	USD	268.00	
11SEP17	S	1	PD11DEC17	2133.00	2124.28	USD	218.00	
11SEP17	S	1	PD11DEC17	2129.50	2124.28	USD	130.50	
11SEP17	S	1	PD11DEC17	2127.50	2124.28	USD	80.50	
11SEP17	S	1	PD11DEC17	2128.00	2124.28	USD	93.00	
11SEP17	S	2	PD11DEC17	2128.50	2124.28	USD	211.00	
11SEP17	S	2	PD11DEC17	2127.00	2124.28	USD	136.00	
11SEP17	B	1	PD11DEC17	2117.50	2124.28	USD	169.50	
11SEP17	B	2	PD11DEC17	2114.50	2124.28	USD	489.00	
11SEP17	B	1	PD11DEC17	2119.50	2124.28	USD	119.50	

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11SEP17	B	2	PD11DEC17	2123.50	2124.28	USD	39.00	
11SEP17	B	1	PD11DEC17	2124.50	2124.28	USD	-5.50	
11SEP17	B	1	PD11DEC17	2117.00	2124.28	USD	182.00	
11SEP17	B	2	PD11DEC17	2118.00	2124.28	USD	314.00	
11SEP17	B	3	PD11DEC17	2119.00	2124.28	USD	396.00	
11SEP17	B	3	PD11DEC17	2122.00	2124.28	USD	171.00	
11SEP17	B	1	PD11DEC17	2120.50	2124.28	USD	94.50	
14SEP17	S	8	PD11DEC17	2097.00	2124.28	USD	-5,456.00	
	NET	0 AVG BGHT 2119.65	SOLD 2114.12				-2,350.00	0.00
12SEP17	B	1	PD12DEC17	2119.50	2124.59	USD	127.25	
12SEP17	B	1	PD12DEC17	2120.00	2124.59	USD	114.75	
14SEP17	S	2	PD12DEC17	2097.27	2124.59	USD	-1,366.00	
	NET	0 AVG BGHT 2119.75	SOLD 2097.27				-1,124.00	0.00
13SEP17	B	1	PD13DEC17	2117.50	2124.90	USD	185.00	
13SEP17	B	1	PD13DEC17	2118.50	2124.90	USD	160.00	
13SEP17	B	2	PD13DEC17	2119.00	2124.90	USD	295.00	
13SEP17	B	1	PD13DEC17	2116.50	2124.90	USD	210.00	
13SEP17	B	2	PD13DEC17	2118.00	2124.90	USD	345.00	
13SEP17	B	1	PD13DEC17	2108.00	2124.90	USD	422.50	
13SEP17	S	1	PD13DEC17	2108.00	2124.90	USD	-422.50	
27SEP17	S	5	PD13DEC17	2127.20	2124.90	USD	287.50	
27SEP17	S	2	PD13DEC17	2127.40	2124.90	USD	125.00	
	NET	0 AVG BGHT 2116.81	SOLD 2124.85				1,607.50	0.00
14SEP17	S	2	PD14DEC17	2125.00	2125.21	USD	-10.50	
14SEP17	S	2	PD14DEC17	2125.50	2125.21	USD	14.50	
14SEP17	S	3	PD14DEC17	2126.50	2125.21	USD	96.75	
14SEP17	B	1	PD14DEC17	2093.50	2125.21	USD	792.75	
14SEP17	B	1	PD14DEC17	2100.50	2125.21	USD	617.75	
14SEP17	B	1	PD14DEC17	2092.50	2125.21	USD	817.75	
14SEP17	B	1	PD14DEC17	2094.00	2125.21	USD	780.25	
27SEP17	B	2	PD14DEC17	2127.90	2125.21	USD	-134.50	
27SEP17	B	1	PD14DEC17	2127.70	2125.21	USD	-62.25	
	NET	0 AVG BGHT 2109.14	SOLD 2125.79				2,912.50	0.00
15SEP17	B	1	PD15DEC17	2090.00	2125.51	USD	887.75	
15SEP17	B	1	PD15DEC17	2090.50	2125.51	USD	875.25	
15SEP17	B	1	PD15DEC17	2094.00	2125.51	USD	787.75	
15SEP17	B	1	PD15DEC17	2092.50	2125.51	USD	825.25	
15SEP17	B	1	PD15DEC17	2086.50	2125.51	USD	975.25	
15SEP17	B	1	PD15DEC17	2087.50	2125.51	USD	950.25	
15SEP17	B	2	PD15DEC17	2083.50	2125.51	USD	2,100.50	
15SEP17	B	1	PD15DEC17	2084.50	2125.51	USD	1,025.25	
15SEP17	B	1	PD15DEC17	2085.50	2125.51	USD	1,000.25	
15SEP17	B	1	PD15DEC17	2073.50	2125.51	USD	1,300.25	
27SEP17	S	1	PD15DEC17	2127.80	2125.51	USD	57.25	
27SEP17	S	10	PD15DEC17	2128.00	2125.51	USD	622.50	
	NET	0 AVG BGHT 2086.50	SOLD 2127.98				11,407.50	0.00
18SEP17	B	1	PD18DEC17	2090.50	2126.44	USD	898.50	
18SEP17	B	1	PD18DEC17	2100.00	2126.44	USD	661.00	
18SEP17	S	1	PD18DEC17	2096.50	2126.44	USD	-748.50	
18SEP17	S	1	PD18DEC17	2091.50	2126.44	USD	-873.50	
18SEP17	S	1	PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	S	1	PD18DEC17	2092.00	2126.44	USD	-861.00	
27SEP17	B	2	PD18DEC17	2129.10	2126.44	USD	-133.00	
	NET	0 AVG BGHT 2112.18	SOLD 2092.75				-1,942.50	0.00
19SEP17	S	1	PD19DEC17	2106.50	2126.75	USD	-506.25	

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19SEP17	S	1	PD19DEC17	2107.00	2126.75	USD	-493.75	
19SEP17	S	2	PD19DEC17	2108.50	2126.75	USD	-912.50	
19SEP17	S	1	PD19DEC17	2110.00	2126.75	USD	-418.75	
19SEP17	S	1	PD19DEC17	2104.00	2126.75	USD	-568.75	
19SEP17	S	1	PD19DEC17	2112.00	2126.75	USD	-368.75	
19SEP17	S	1	PD19DEC17	2118.00	2126.75	USD	-218.75	
19SEP17	S	1	PD19DEC17	2121.50	2126.75	USD	-131.25	
19SEP17	S	2	PD19DEC17	2122.00	2126.75	USD	-237.50	
19SEP17	S	5	PD19DEC17	2121.00	2126.75	USD	-718.75	
19SEP17	S	1	PD19DEC17	2123.50	2126.75	USD	-81.25	
19SEP17	S	1	PD19DEC17	2129.50	2126.75	USD	68.75	
19SEP17	S	1	PD19DEC17	2130.00	2126.75	USD	81.25	
19SEP17	S	1	PD19DEC17	2133.50	2126.75	USD	168.75	
19SEP17	S	1	PD19DEC17	2134.00	2126.75	USD	181.25	
19SEP17	B	1	PD19DEC17	2128.50	2126.75	USD	-43.75	
27SEP17	B	8	PD19DEC17	2129.40	2126.75	USD	-530.00	
27SEP17	B	9	PD19DEC17	2129.20	2126.75	USD	-551.25	
10OCT17	B	3	PD19DEC17	2159.75	2126.75	USD	-2,475.00	
	NET	0 AVG BGHT 2133.61	SOLD 2118.83				-7,756.25	0.00
20SEP17	B	1	PD20DEC17	2158.00	2126.75	USD	-781.25	
20SEP17	B	1	PD20DEC17	2171.00	2126.75	USD	-1,106.25	
27SEP17	S	2	PD20DEC17	2129.30	2126.75	USD	127.50	
	NET	0 AVG BGHT 2164.50	SOLD 2129.30				-1,760.00	0.00
21SEP17	S	2	PD21DEC17	2170.00	2126.75	USD	2,162.50	
21SEP17	S	2	PD21DEC17	2171.00	2126.75	USD	2,212.50	
10OCT17	B	4	PD21DEC17	2159.75	2126.75	USD	-3,300.00	
	NET	0 AVG BGHT 2159.75	SOLD 2170.50				1,075.00	0.00
22SEP17	S	2	PD22DEC17	2151.50	2126.75	USD	1,237.50	
22SEP17	B	1	PD22DEC17	2163.00	2126.75	USD	-906.25	
22SEP17	B	1	PD22DEC17	2163.50	2126.75	USD	-918.75	
22SEP17	B	1	PD22DEC17	2161.00	2126.75	USD	-856.25	
22SEP17	B	2	PD22DEC17	2162.00	2126.75	USD	-1,762.50	
27SEP17	S	3	PD22DEC17	2129.87	2126.75	USD	234.00	
	NET	0 AVG BGHT 2162.30	SOLD 2138.52				-2,972.25	0.00
25SEP17	B	2	PD27DEC17	2153.00	2127.29	USD	-1,285.50	
25SEP17	B	1	PD27DEC17	2145.00	2127.29	USD	-442.75	
25SEP17	B	1	PD27DEC17	2144.00	2127.29	USD	-417.75	
27SEP17	B	1	PD27DEC17	2125.00	2127.29	USD	57.25	
27SEP17	S	4	PD27DEC17	2131.30	2127.29	USD	401.00	
10OCT17	S	1	PD27DEC17	2160.09	2127.29	USD	820.00	
	NET	0 AVG BGHT 2144.00	SOLD 2137.06				-867.75	0.00
28SEP17	B	1	PD28DEC17	2124.00	2127.39	USD	84.75	
28SEP17	B	1	PD28DEC17	2123.50	2127.39	USD	97.25	
28SEP17	B	1	PD28DEC17	2118.50	2127.39	USD	222.25	
28SEP17	B	1	PD28DEC17	2121.00	2127.39	USD	159.75	
28SEP17	B	1	PD28DEC17	2137.50	2127.39	USD	-252.75	
29SEP17	S	3	PD28DEC17	2101.52	2127.39	USD	-1,940.25	
10OCT17	S	2	PD28DEC17	2160.19	2127.39	USD	1,640.00	
	NET	0 AVG BGHT 2124.90	SOLD 2124.99				11.00	0.00
29SEP17	B	1	PD29DEC17	2130.50	2127.50	USD	-75.00	
29SEP17	B	1	PD29DEC17	2132.00	2127.50	USD	-112.50	
29SEP17	B	1	PD29DEC17	2132.50	2127.50	USD	-125.00	
29SEP17	B	1	PD29DEC17	2129.50	2127.50	USD	-50.00	
29SEP17	B	1	PD29DEC17	2127.00	2127.50	USD	12.50	
29SEP17	B	1	PD29DEC17	2127.50	2127.50	USD	0.00	
29SEP17	B	1	PD29DEC17	2134.00	2127.50	USD	-162.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
29SEP17	B	2	PD29DEC17	2131.00	2127.50	USD	-175.00	
29SEP17	B	1	PD29DEC17	2129.00	2127.50	USD	-37.50	
29SEP17	B	1	PD29DEC17	2130.00	2127.50	USD	-62.50	
10OCT17	S	4	PD29DEC17	2160.30	2127.50	USD	3,280.00	
10OCT17	S	7	PD29DEC17	2160.50	2127.50	USD	5,775.00	
	NET	0AVG BGHT 2130.36	SOLD 2160.43				8,267.50	0.00
03OCT17	S	3	PD03JAN18	2127.00	2128.46	USD	-109.50	
03OCT17	S	6	PD03JAN18	2126.50	2128.46	USD	-294.00	
10OCT17	B	7	PD03JAN18	2161.74	2128.46	USD	-5,824.00	
10OCT17	B	2	PD03JAN18	2161.54	2128.46	USD	-1,654.00	
	NET	0AVG BGHT 2161.70	SOLD 2126.67				-7,881.50	0.00
04OCT17	B	1	PD04JAN18	2157.50	2128.65	USD	-721.25	
04OCT17	B	1	PD04JAN18	2158.00	2128.65	USD	-733.75	
04OCT17	B	1	PD04JAN18	2158.50	2128.65	USD	-746.25	
04OCT17	B	1	PD04JAN18	2159.00	2128.65	USD	-758.75	
10OCT17	S	2	PD04JAN18	2161.55	2128.65	USD	1,645.00	
	NET	+2AVG BGHT 2158.25	SOLD 2161.55				-1,315.00	0.00
05OCT17	B	1	PD05JAN18	2147.50	2128.85	USD	-466.25	
	NET	+1AVG BGHT 2147.50					-466.25	0.00
09OCT17	S	2	PD09JAN18	2167.50	2129.62	USD	1,894.00	
09OCT17	S	1	PD09JAN18	2168.00	2129.62	USD	959.50	
09OCT17	S	1	PD09JAN18	2168.50	2129.62	USD	972.00	
09OCT17	B	4	PD09JAN18	2173.70	2129.62	USD	-4,408.00	
	NET	0AVG BGHT 2173.70	SOLD 2167.88				-582.50	0.00
10OCT17	B	1	PD10JAN18	2158.50	2129.81	USD	-717.25	
	NET	+1AVG BGHT 2158.50					-717.25	0.00
	<u>CMX COPPER 25,000 CMX</u>							
05OCT17	S	1	DEC17	304.600	309.550	USD	-1,237.50	
05OCT17	S	1	DEC17	304.850	309.550	USD	-1,175.00	
05OCT17	S	2	DEC17	304.750	309.550	USD	-2,400.00	
05OCT17	S	1	DEC17	304.700	309.550	USD	-1,212.50	
	NET	-5AVG SOLD 304.730					-6,025.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2331.00	2552.35	USD	5,533.75	
12JUL17	B	1	PD12OCT17	2323.00	2552.35	USD	5,733.75	
12JUL17	B	1	PD12OCT17	2328.50	2552.35	USD	5,596.25	
12JUL17	B	3	PD12OCT17	2330.50	2552.35	USD	16,638.75	
12JUL17	B	1	PD12OCT17	2320.00	2552.35	USD	5,808.75	
12JUL17	B	1	PD12OCT17	2319.00	2552.35	USD	5,833.75	
12JUL17	S	2	PD12OCT17	2318.00	2552.35	USD	-11,717.50	
12JUL17	S	1	PD12OCT17	2315.50	2552.35	USD	-5,921.25	
12JUL17	S	1	PD12OCT17	2316.00	2552.35	USD	-5,908.75	
13JUL17	S	4	PD12OCT17	2293.93	2552.35	USD	-25,842.00	
	NET	0AVG BGHT 2326.63	SOLD 2305.40				-4,244.50	0.00
13JUL17	S	1	PD13OCT17	2327.00	2552.75	USD	-5,643.75	
13JUL17	S	1	PD13OCT17	2320.50	2552.75	USD	-5,806.25	
14JUL17	B	1	PD13OCT17	2283.50	2552.75	USD	6,731.25	
14JUL17	B	1	PD13OCT17	2315.00	2552.75	USD	5,943.75	
14JUL17	B	1	PD13OCT17	2311.00	2552.75	USD	6,043.75	
17JUL17	S	1	PD13OCT17	2295.71	2552.75	USD	-6,426.00	
	NET	0AVG BGHT 2303.17	SOLD 2314.40				842.75	0.00
17JUL17	B	1	PD17OCT17	2323.50	2554.35	USD	5,771.25	
17JUL17	S	1	PD17OCT17	2321.00	2554.35	USD	-5,833.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2322.00	2554.35	USD	5,808.75	
17JUL17	B	1	PD17OCT17	2295.00	2554.35	USD	6,483.75	
18JUL17	S	2	PD17OCT17	2274.63	2554.35	USD	-13,986.00	
	NET	0AVG BGHT 2313.50	SOLD 2290.09				-1,756.00	0.00
18JUL17	B	2	PD18OCT17	2282.00	2554.75	USD	13,637.50	
18JUL17	B	6	PD18OCT17	2279.50	2554.75	USD	41,287.50	
18JUL17	B	2	PD18OCT17	2280.50	2554.75	USD	13,712.50	
18JUL17	B	3	PD18OCT17	2278.00	2554.75	USD	20,756.25	
18JUL17	B	2	PD18OCT17	2274.00	2554.75	USD	14,037.50	
18JUL17	B	1	PD18OCT17	2275.00	2554.75	USD	6,993.75	
18JUL17	B	1	PD18OCT17	2276.00	2554.75	USD	6,968.75	
18JUL17	B	1	PD18OCT17	2278.50	2554.75	USD	6,906.25	
18JUL17	B	1	PD18OCT17	2279.00	2554.75	USD	6,893.75	
18JUL17	B	1	PD18OCT17	2265.50	2554.75	USD	7,231.25	
18JUL17	B	1	PD18OCT17	2269.00	2554.75	USD	7,143.75	
18JUL17	S	8	PD18OCT17	2275.35	2554.75	USD	-55,880.00	
18JUL17	S	11	PD18OCT17	2274.80	2554.75	USD	-76,986.25	
19JUL17	S	2	PD18OCT17	2217.30	2554.75	USD	-16,872.50	
	NET	0AVG BGHT 2277.48	SOLD 2269.53				-4,170.00	0.00
19JUL17	S	2	PD19OCT17	2218.50	2554.89	USD	-16,819.50	
19JUL17	S	1	PD19OCT17	2217.50	2554.89	USD	-8,434.75	
19JUL17	S	1	PD19OCT17	2210.50	2554.89	USD	-8,609.75	
21JUL17	B	4	PD19OCT17	2239.63	2554.89	USD	31,526.00	
	NET	0AVG BGHT 2239.63	SOLD 2216.25				-2,338.00	0.00
20JUL17	S	1	PD20OCT17	2225.50	2555.04	USD	-8,238.50	
20JUL17	S	1	PD20OCT17	2227.00	2555.04	USD	-8,201.00	
20JUL17	S	1	PD20OCT17	2223.50	2555.04	USD	-8,288.50	
20JUL17	S	2	PD20OCT17	2227.50	2555.04	USD	-16,377.00	
20JUL17	B	1	PD20OCT17	2204.50	2555.04	USD	8,763.50	
20JUL17	B	2	PD20OCT17	2211.50	2555.04	USD	17,177.00	
20JUL17	B	3	PD20OCT17	2216.00	2555.04	USD	25,428.00	
20JUL17	B	1	PD20OCT17	2216.50	2555.04	USD	8,463.50	
20JUL17	B	2	PD20OCT17	2217.00	2555.04	USD	16,902.00	
21JUL17	B	1	PD20OCT17	2226.50	2555.04	USD	8,213.50	
21JUL17	B	1	PD20OCT17	2227.50	2555.04	USD	8,188.50	
21JUL17	B	1	PD20OCT17	2228.50	2555.04	USD	8,163.50	
21JUL17	B	1	PD20OCT17	2229.50	2555.04	USD	8,138.50	
21JUL17	S	1	PD20OCT17	2237.00	2555.04	USD	-7,951.00	
21JUL17	S	1	PD20OCT17	2241.50	2555.04	USD	-7,838.50	
21JUL17	S	4	PD20OCT17	2239.30	2555.04	USD	-31,574.00	
26JUL17	S	2	PD20OCT17	2312.36	2555.04	USD	-12,134.00	
	NET	0AVG BGHT 2218.31	SOLD 2245.49				8,835.50	0.00
25JUL17	B	1	PD25OCT17	2273.50	2555.75	USD	7,056.25	
25JUL17	S	1	PD25OCT17	2273.00	2555.75	USD	-7,068.75	
25JUL17	S	1	PD25OCT17	2280.50	2555.75	USD	-6,881.25	
25JUL17	S	1	PD25OCT17	2283.00	2555.75	USD	-6,818.75	
25JUL17	S	1	PD25OCT17	2284.50	2555.75	USD	-6,781.25	
25JUL17	S	1	PD25OCT17	2286.50	2555.75	USD	-6,731.25	
25JUL17	S	1	PD25OCT17	2299.50	2555.75	USD	-6,406.25	
25JUL17	S	1	PD25OCT17	2300.50	2555.75	USD	-6,381.25	
25JUL17	S	1	PD25OCT17	2292.50	2555.75	USD	-6,581.25	
25JUL17	S	3	PD25OCT17	2293.00	2555.75	USD	-19,706.25	
25JUL17	S	1	PD25OCT17	2293.50	2555.75	USD	-6,556.25	
25JUL17	S	1	PD25OCT17	2295.00	2555.75	USD	-6,518.75	
25JUL17	S	1	PD25OCT17	2313.50	2555.75	USD	-6,056.25	
25JUL17	S	1	PD25OCT17	2315.50	2555.75	USD	-6,006.25	

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25JUL17	S	1	PD250CT17	2314.00	2555.75	USD	-6,043.75	
25JUL17	S	1	PD250CT17	2318.00	2555.75	USD	-5,943.75	
25JUL17	B	7	PD250CT17	2318.20	2555.75	USD	41,571.25	
25JUL17	S	1	PD250CT17	2318.50	2555.75	USD	-5,931.25	
25JUL17	S	2	PD250CT17	2316.00	2555.75	USD	-11,987.50	
26JUL17	B	12	PD250CT17	2313.09	2555.75	USD	72,798.00	
	NET	0AVG BGHT 2312.90	SOLD 2298.95				-6,974.50	0.00
26JUL17	S	1	PD260CT17	2316.00	2555.89	USD	-5,997.25	
26JUL17	S	1	PD260CT17	2316.50	2555.89	USD	-5,984.75	
26JUL17	S	1	PD260CT17	2317.50	2555.89	USD	-5,959.75	
26JUL17	S	3	PD260CT17	2319.50	2555.89	USD	-17,729.25	
26JUL17	S	1	PD260CT17	2321.00	2555.89	USD	-5,872.25	
26JUL17	S	1	PD260CT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD260CT17	2319.00	2555.89	USD	-5,922.25	
26JUL17	S	1	PD260CT17	2326.00	2555.89	USD	-5,747.25	
26JUL17	S	1	PD260CT17	2327.00	2555.89	USD	-5,722.25	
26JUL17	S	1	PD260CT17	2333.00	2555.89	USD	-5,572.25	
26JUL17	S	1	PD260CT17	2331.00	2555.89	USD	-5,622.25	
26JUL17	B	1	PD260CT17	2312.00	2555.89	USD	6,097.25	
26JUL17	S	1	PD260CT17	2311.00	2555.89	USD	-6,122.25	
26JUL17	S	1	PD260CT17	2312.00	2555.89	USD	-6,097.25	
26JUL17	B	14	PD260CT17	2313.20	2555.89	USD	84,941.50	
26JUL17	B	1	PD260CT17	2311.50	2555.89	USD	6,109.75	
26JUL17	S	1	PD260CT17	2309.00	2555.89	USD	-6,172.25	
26JUL17	S	1	PD260CT17	2309.50	2555.89	USD	-6,159.75	
26JUL17	S	1	PD260CT17	2310.00	2555.89	USD	-6,147.25	
26JUL17	B	1	PD260CT17	2308.50	2555.89	USD	6,184.75	
31JUL17	B	1	PD260CT17	2332.65	2555.89	USD	5,581.00	
	NET	0AVG BGHT 2313.86	SOLD 2318.42				2,051.25	0.00
27JUL17	S	1	PD270CT17	2326.00	2556.04	USD	-5,751.00	
27JUL17	S	1	PD270CT17	2332.00	2556.04	USD	-5,601.00	
27JUL17	S	3	PD270CT17	2326.50	2556.04	USD	-17,215.50	
27JUL17	B	1	PD270CT17	2303.00	2556.04	USD	6,326.00	
27JUL17	B	4	PD270CT17	2304.00	2556.04	USD	25,204.00	
28JUL17	B	1	PD270CT17	2320.00	2556.04	USD	5,901.00	
31JUL17	S	1	PD270CT17	2332.72	2556.04	USD	-5,583.00	
	NET	0AVG BGHT 2306.50	SOLD 2328.37				3,280.50	0.00
31JUL17	B	1	PD310CT17	2329.50	2556.61	USD	5,677.75	
31JUL17	B	2	PD310CT17	2330.00	2556.61	USD	11,330.50	
31JUL17	B	1	PD310CT17	2331.00	2556.61	USD	5,640.25	
31JUL17	B	1	PD310CT17	2328.50	2556.61	USD	5,702.75	
31JUL17	S	4	PD310CT17	2333.80	2556.61	USD	-22,281.00	
01AUG17	S	1	PD310CT17	2337.69	2556.61	USD	-5,473.00	
	NET	0AVG BGHT 2329.80	SOLD 2334.58				597.25	0.00
01AUG17	B	1	PD01NOV17	2316.00	2556.75	USD	6,018.75	
01AUG17	B	1	PD01NOV17	2317.00	2556.75	USD	5,993.75	
01AUG17	B	1	PD01NOV17	2313.50	2556.75	USD	6,081.25	
01AUG17	B	1	PD01NOV17	2309.00	2556.75	USD	6,193.75	
01AUG17	B	1	PD01NOV17	2312.00	2556.75	USD	6,118.75	
01AUG17	B	1	PD01NOV17	2308.50	2556.75	USD	6,206.25	
01AUG17	B	1	PD01NOV17	2307.00	2556.75	USD	6,243.75	
01AUG17	B	2	PD01NOV17	2307.50	2556.75	USD	12,462.50	
01AUG17	S	1	PD01NOV17	2325.50	2556.75	USD	-5,781.25	
01AUG17	S	1	PD01NOV17	2323.00	2556.75	USD	-5,843.75	
01AUG17	S	1	PD01NOV17	2335.50	2556.75	USD	-5,531.25	
01AUG17	S	2	PD01NOV17	2337.80	2556.75	USD	-10,947.50	

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01AUG17	S	2	PD01NOV17		2336.00	2556.75	USD	-11,037.50	
04AUG17	S	2	PD01NOV17		2360.72	2556.75	USD	-9,801.50	
	NET	0AVG	BGHT 2310.89	SOLD 2339.23				6,376.00	0.00
02AUG17	S	1	PD02NOV17		2337.00	2556.89	USD	-5,497.25	
02AUG17	S	1	PD02NOV17		2335.50	2556.89	USD	-5,534.75	
02AUG17	S	1	PD02NOV17		2344.00	2556.89	USD	-5,322.25	
02AUG17	S	1	PD02NOV17		2344.50	2556.89	USD	-5,309.75	
02AUG17	S	1	PD02NOV17		2343.00	2556.89	USD	-5,347.25	
02AUG17	S	1	PD02NOV17		2360.50	2556.89	USD	-4,909.75	
02AUG17	S	1	PD02NOV17		2349.50	2556.89	USD	-5,184.75	
02AUG17	S	2	PD02NOV17		2359.50	2556.89	USD	-9,869.50	
02AUG17	S	1	PD02NOV17		2359.00	2556.89	USD	-4,947.25	
04AUG17	B	10	PD02NOV17		2361.06	2556.89	USD	48,957.50	
29SEP17	S	6	PD02NOV17		2492.15	2556.89	USD	-9,711.00	
	NET	-6AVG	BGHT 2361.06	SOLD 2402.81				-12,676.00	0.00
03AUG17	S	1	PD03NOV17		2374.50	2557.04	USD	-4,563.50	
03AUG17	S	1	PD03NOV17		2367.50	2557.04	USD	-4,738.50	
03AUG17	S	1	PD03NOV17		2369.50	2557.04	USD	-4,688.50	
03AUG17	S	1	PD03NOV17		2375.00	2557.04	USD	-4,551.00	
03AUG17	B	1	PD03NOV17		2350.50	2557.04	USD	5,163.50	
03AUG17	B	1	PD03NOV17		2356.00	2557.04	USD	5,026.00	
03AUG17	B	1	PD03NOV17		2352.50	2557.04	USD	5,113.50	
03AUG17	S	1	PD03NOV17		2362.00	2557.04	USD	-4,876.00	
03AUG17	S	1	PD03NOV17		2363.50	2557.04	USD	-4,838.50	
03AUG17	B	1	PD03NOV17		2363.00	2557.04	USD	4,851.00	
04AUG17	S	2	PD03NOV17		2363.50	2557.04	USD	-9,677.00	
04AUG17	S	1	PD03NOV17		2366.00	2557.04	USD	-4,776.00	
04AUG17	S	1	PD03NOV17		2374.50	2557.04	USD	-4,563.50	
04AUG17	S	1	PD03NOV17		2372.50	2557.04	USD	-4,613.50	
04AUG17	S	1	PD03NOV17		2373.00	2557.04	USD	-4,601.00	
04AUG17	B	1	PD03NOV17		2366.00	2557.04	USD	4,776.00	
04AUG17	S	1	PD03NOV17		2367.50	2557.04	USD	-4,738.50	
04AUG17	B	1	PD03NOV17		2351.50	2557.04	USD	5,138.50	
04AUG17	B	1	PD03NOV17		2352.50	2557.04	USD	5,113.50	
04AUG17	B	1	PD03NOV17		2349.50	2557.04	USD	5,188.50	
04AUG17	B	1	PD03NOV17		2350.00	2557.04	USD	5,176.00	
04AUG17	B	1	PD03NOV17		2353.50	2557.04	USD	5,088.50	
04AUG17	S	2	PD03NOV17		2363.00	2557.04	USD	-9,702.00	
04AUG17	S	1	PD03NOV17		2365.50	2557.04	USD	-4,788.50	
04AUG17	B	1	PD03NOV17		2357.50	2557.04	USD	4,988.50	
04AUG17	B	1	PD03NOV17		2356.00	2557.04	USD	5,026.00	
04AUG17	B	1	PD03NOV17		2354.50	2557.04	USD	5,063.50	
04AUG17	B	2	PD03NOV17		2354.00	2557.04	USD	10,152.00	
04AUG17	B	1	PD03NOV17		2353.00	2557.04	USD	5,101.00	
04AUG17	B	2	PD03NOV17		2361.20	2557.04	USD	9,792.00	
04AUG17	S	1	PD03NOV17		2357.50	2557.04	USD	-4,988.50	
07AUG17	S	1	PD03NOV17		2360.31	2557.04	USD	-4,918.25	
	NET	0AVG	BGHT 2355.36	SOLD 2366.77				5,135.25	0.00
07AUG17	S	1	PD07NOV17		2354.50	2557.61	USD	-5,077.75	
07AUG17	S	1	PD07NOV17		2358.50	2557.61	USD	-4,977.75	
07AUG17	S	1	PD07NOV17		2359.50	2557.61	USD	-4,952.75	
07AUG17	S	1	PD07NOV17		2368.50	2557.61	USD	-4,727.75	
07AUG17	S	1	PD07NOV17		2369.00	2557.61	USD	-4,715.25	
07AUG17	S	1	PD07NOV17		2373.00	2557.61	USD	-4,615.25	
07AUG17	S	1	PD07NOV17		2375.50	2557.61	USD	-4,552.75	
07AUG17	S	2	PD07NOV17		2375.00	2557.61	USD	-9,130.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	2362.00	2557.61	USD	4,890.25	
07AUG17	B	1	PD07NOV17	2364.50	2557.61	USD	4,827.75	
07AUG17	S	1	PD07NOV17	2363.00	2557.61	USD	-4,865.25	
07AUG17	B	2	PD07NOV17	2363.00	2557.61	USD	9,730.50	
07AUG17	B	6	PD07NOV17	2361.20	2557.61	USD	29,461.50	
	NET	0 AVG BGHT 2361.97	SOLD 2367.15				1,295.00	0.00
08AUG17	B	1	PD08NOV17	2353.00	2557.75	USD	5,118.75	
08AUG17	B	1	PD08NOV17	2355.50	2557.75	USD	5,056.25	
08AUG17	B	1	PD08NOV17	2349.50	2557.75	USD	5,206.25	
08AUG17	B	1	PD08NOV17	2350.50	2557.75	USD	5,181.25	
08AUG17	B	1	PD08NOV17	2384.00	2557.75	USD	4,343.75	
08AUG17	S	8	PD08NOV17	2382.80	2557.75	USD	-34,990.00	
08AUG17	B	1	PD08NOV17	2390.00	2557.75	USD	4,193.75	
10AUG17	B	2	PD08NOV17	2365.58	2557.75	USD	9,608.50	
	NET	0 AVG BGHT 2364.21	SOLD 2382.80				3,718.50	0.00
09AUG17	B	1	PD09NOV17	2397.50	2557.89	USD	4,009.75	
09AUG17	B	1	PD09NOV17	2398.00	2557.89	USD	3,997.25	
09AUG17	B	2	PD09NOV17	2392.50	2557.89	USD	8,269.50	
09AUG17	B	1	PD09NOV17	2385.50	2557.89	USD	4,309.75	
09AUG17	B	1	PD09NOV17	2391.00	2557.89	USD	4,172.25	
09AUG17	B	3	PD09NOV17	2391.50	2557.89	USD	12,479.25	
09AUG17	B	1	PD09NOV17	2387.00	2557.89	USD	4,272.25	
09AUG17	B	1	PD09NOV17	2388.00	2557.89	USD	4,247.25	
09AUG17	B	1	PD09NOV17	2389.50	2557.89	USD	4,209.75	
09AUG17	B	2	PD09NOV17	2393.00	2557.89	USD	8,244.50	
09AUG17	B	2	PD09NOV17	2390.50	2557.89	USD	8,369.50	
09AUG17	S	14	PD09NOV17	2349.80	2557.89	USD	-72,831.50	
09AUG17	B	1	PD09NOV17	2355.00	2557.89	USD	5,072.25	
10AUG17	S	2	PD09NOV17	2365.60	2557.89	USD	-9,614.50	
11AUG17	S	1	PD09NOV17	2325.51	2557.89	USD	-5,809.50	
	NET	0 AVG BGHT 2389.29	SOLD 2350.23				-16,602.25	0.00
14AUG17	S	1	PD14NOV17	2346.50	2558.61	USD	-5,302.75	
14AUG17	S	1	PD14NOV17	2340.50	2558.61	USD	-5,452.75	
14AUG17	S	1	PD14NOV17	2342.50	2558.61	USD	-5,402.75	
15AUG17	B	2	PD14NOV17	2381.03	2558.61	USD	8,879.00	
16AUG17	B	1	PD14NOV17	2518.27	2558.61	USD	1,008.50	
	NET	0 AVG BGHT 2426.78	SOLD 2343.17				-6,270.75	0.00
16AUG17	S	2	PD16NOV17	2388.00	2558.75	USD	-8,537.50	
16AUG17	S	2	PD16NOV17	2388.50	2558.75	USD	-8,512.50	
16AUG17	S	1	PD16NOV17	2424.00	2558.75	USD	-3,368.75	
16AUG17	S	1	PD16NOV17	2422.00	2558.75	USD	-3,418.75	
16AUG17	S	1	PD16NOV17	2421.50	2558.75	USD	-3,431.25	
16AUG17	S	3	PD16NOV17	2423.00	2558.75	USD	-10,181.25	
16AUG17	S	1	PD16NOV17	2427.50	2558.75	USD	-3,281.25	
16AUG17	S	1	PD16NOV17	2428.00	2558.75	USD	-3,268.75	
16AUG17	S	2	PD16NOV17	2423.50	2558.75	USD	-6,762.50	
16AUG17	S	2	PD16NOV17	2422.50	2558.75	USD	-6,812.50	
16AUG17	S	3	PD16NOV17	2387.50	2558.75	USD	-12,843.75	
16AUG17	S	2	PD16NOV17	2429.00	2558.75	USD	-6,487.50	
16AUG17	B	1	PD16NOV17	2466.00	2558.75	USD	2,318.75	
16AUG17	B	1	PD16NOV17	2468.00	2558.75	USD	2,268.75	
16AUG17	B	1	PD16NOV17	2469.00	2558.75	USD	2,243.75	
16AUG17	B	1	PD16NOV17	2470.50	2558.75	USD	2,206.25	
16AUG17	B	13	PD16NOV17	2517.20	2558.75	USD	13,503.75	
21AUG17	B	4	PD16NOV17	2346.00	2558.75	USD	21,275.00	
	NET	0 AVG BGHT 2475.29	SOLD 2412.26				-33,090.00	0.00

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17AUG17	B	2	PD17NOV17	2527.50	2558.75	USD	1,562.50	
17AUG17	B	2	PD17NOV17	2528.00	2558.75	USD	1,537.50	
17AUG17	B	1	PD17NOV17	2464.50	2558.75	USD	2,356.25	
17AUG17	B	1	PD17NOV17	2465.00	2558.75	USD	2,343.75	
17AUG17	B	1	PD17NOV17	2432.50	2558.75	USD	3,156.25	
17AUG17	B	1	PD17NOV17	2433.00	2558.75	USD	3,143.75	
17AUG17	B	1	PD17NOV17	2433.50	2558.75	USD	3,131.25	
17AUG17	B	1	PD17NOV17	2436.00	2558.75	USD	3,068.75	
17AUG17	S	4	PD17NOV17	2413.30	2558.75	USD	-14,545.00	
18AUG17	B	1	PD17NOV17	2424.50	2558.75	USD	3,356.25	
18AUG17	S	1	PD17NOV17	2426.50	2558.75	USD	-3,306.25	
18AUG17	B	2	PD17NOV17	2438.50	2558.75	USD	6,012.50	
18AUG17	B	1	PD17NOV17	2439.50	2558.75	USD	2,981.25	
18AUG17	B	1	PD17NOV17	2437.00	2558.75	USD	3,043.75	
18AUG17	B	1	PD17NOV17	2436.00	2558.75	USD	3,068.75	
18AUG17	B	1	PD17NOV17	2418.50	2558.75	USD	3,506.25	
18AUG17	B	1	PD17NOV17	2419.00	2558.75	USD	3,493.75	
18AUG17	B	1	PD17NOV17	2403.00	2558.75	USD	3,893.75	
18AUG17	B	1	PD17NOV17	2412.50	2558.75	USD	3,656.25	
18AUG17	B	1	PD17NOV17	2413.00	2558.75	USD	3,643.75	
18AUG17	B	1	PD17NOV17	2416.00	2558.75	USD	3,568.75	
18AUG17	B	3	PD17NOV17	2415.50	2558.75	USD	10,743.75	
18AUG17	B	2	PD17NOV17	2413.50	2558.75	USD	7,262.50	
18AUG17	B	2	PD17NOV17	2415.00	2558.75	USD	7,187.50	
18AUG17	B	1	PD17NOV17	2409.50	2558.75	USD	3,731.25	
18AUG17	B	2	PD17NOV17	2416.50	2558.75	USD	7,112.50	
18AUG17	B	5	PD17NOV17	2414.00	2558.75	USD	18,093.75	
18AUG17	B	5	PD17NOV17	2411.00	2558.75	USD	18,468.75	
18AUG17	B	3	PD17NOV17	2411.50	2558.75	USD	11,043.75	
18AUG17	B	2	PD17NOV17	2410.50	2558.75	USD	7,412.50	
18AUG17	B	1	PD17NOV17	2408.00	2558.75	USD	3,768.75	
18AUG17	B	1	PD17NOV17	2409.00	2558.75	USD	3,743.75	
18AUG17	B	3	PD17NOV17	2412.00	2558.75	USD	11,006.25	
18AUG17	B	2	PD17NOV17	2362.00	2558.75	USD	9,837.50	
18AUG17	B	1	PD17NOV17	2363.00	2558.75	USD	4,893.75	
18AUG17	B	1	PD17NOV17	2358.50	2558.75	USD	5,006.25	
18AUG17	B	2	PD17NOV17	2362.50	2558.75	USD	9,812.50	
18AUG17	B	1	PD17NOV17	2364.00	2558.75	USD	4,868.75	
18AUG17	S	25	PD17NOV17	2360.80	2558.75	USD	-123,718.75	
21AUG17	S	17	PD17NOV17	2346.00	2558.75	USD	-90,418.75	
24AUG17	S	5	PD17NOV17	2353.80	2558.75	USD	-25,618.75	
07SEP17	S	7	PD17NOV17	2333.88	2558.75	USD	-39,352.25	
	NET	0	AVG BGHT 2420.09	SOLD 2357.42			-92,441.00	0.00
21AUG17	B	2	PD21NOV17	2363.50	2559.32	USD	9,791.00	
21AUG17	B	1	PD21NOV17	2377.50	2559.32	USD	4,545.50	
21AUG17	B	1	PD21NOV17	2379.50	2559.32	USD	4,495.50	
21AUG17	S	1	PD21NOV17	2377.50	2559.32	USD	-4,545.50	
21AUG17	B	1	PD21NOV17	2361.50	2559.32	USD	4,945.50	
23AUG17	S	4	PD21NOV17	2376.25	2559.32	USD	-18,307.00	
	NET	0	AVG BGHT 2369.10	SOLD 2376.50			925.00	0.00
22AUG17	B	1	PD22NOV17	2340.50	2559.46	USD	5,474.00	
22AUG17	S	1	PD22NOV17	2398.00	2559.46	USD	-4,036.50	
22AUG17	S	2	PD22NOV17	2403.00	2559.46	USD	-7,823.00	
22AUG17	S	1	PD22NOV17	2403.50	2559.46	USD	-3,899.00	
22AUG17	S	1	PD22NOV17	2412.50	2559.46	USD	-3,674.00	
22AUG17	S	1	PD22NOV17	2417.00	2559.46	USD	-3,561.50	
22AUG17	B	1	PD22NOV17	2415.50	2559.46	USD	3,599.00	

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22AUG17	S	1	PD22NOV17	2415.50	2559.46	USD	-3,599.00	
23AUG17	B	4	PD22NOV17	2376.70	2559.46	USD	18,276.00	
30AUG17	B	1	PD22NOV17	2367.86	2559.46	USD	4,790.00	
	NET	0 AVG BGHT 2375.81	SOLD 2407.50				5,546.00	0.00
23AUG17	B	1	PD24NOV17	2416.50	2559.75	USD	3,581.25	
23AUG17	B	1	PD24NOV17	2397.50	2559.75	USD	4,056.25	
23AUG17	B	1	PD24NOV17	2394.50	2559.75	USD	4,131.25	
23AUG17	S	1	PD24NOV17	2415.00	2559.75	USD	-3,618.75	
23AUG17	S	1	PD24NOV17	2413.00	2559.75	USD	-3,668.75	
23AUG17	S	1	PD24NOV17	2412.50	2559.75	USD	-3,681.25	
23AUG17	S	2	PD24NOV17	2413.50	2559.75	USD	-7,312.50	
23AUG17	S	1	PD24NOV17	2414.50	2559.75	USD	-3,631.25	
23AUG17	S	1	PD24NOV17	2410.50	2559.75	USD	-3,731.25	
23AUG17	S	1	PD24NOV17	2405.50	2559.75	USD	-3,856.25	
23AUG17	B	1	PD24NOV17	2402.00	2559.75	USD	3,943.75	
23AUG17	B	1	PD24NOV17	2389.00	2559.75	USD	4,268.75	
23AUG17	B	2	PD24NOV17	2379.00	2559.75	USD	9,037.50	
23AUG17	B	1	PD24NOV17	2381.50	2559.75	USD	4,456.25	
23AUG17	B	1	PD24NOV17	2383.00	2559.75	USD	4,418.75	
24AUG17	B	1	PD24NOV17	2392.50	2559.75	USD	4,181.25	
24AUG17	B	1	PD24NOV17	2396.00	2559.75	USD	4,093.75	
24AUG17	B	1	PD24NOV17	2396.50	2559.75	USD	4,081.25	
24AUG17	B	1	PD24NOV17	2388.50	2559.75	USD	4,281.25	
24AUG17	B	2	PD24NOV17	2394.50	2559.75	USD	8,262.50	
24AUG17	B	1	PD24NOV17	2385.00	2559.75	USD	4,368.75	
24AUG17	B	1	PD24NOV17	2384.00	2559.75	USD	4,393.75	
24AUG17	B	1	PD24NOV17	2383.50	2559.75	USD	4,406.25	
24AUG17	B	2	PD24NOV17	2387.00	2559.75	USD	8,637.50	
24AUG17	B	1	PD24NOV17	2373.00	2559.75	USD	4,668.75	
24AUG17	B	1	PD24NOV17	2373.50	2559.75	USD	4,656.25	
24AUG17	B	1	PD24NOV17	2385.50	2559.75	USD	4,356.25	
24AUG17	B	1	PD24NOV17	2392.00	2559.75	USD	4,193.75	
24AUG17	S	1	PD24NOV17	2385.00	2559.75	USD	-4,368.75	
24AUG17	S	1	PD24NOV17	2361.00	2559.75	USD	-4,968.75	
24AUG17	S	1	PD24NOV17	2370.00	2559.75	USD	-4,743.75	
24AUG17	B	1	PD24NOV17	2350.00	2559.75	USD	5,243.75	
24AUG17	B	1	PD24NOV17	2350.50	2559.75	USD	5,231.25	
24AUG17	S	1	PD24NOV17	2356.50	2559.75	USD	-5,081.25	
24AUG17	S	2	PD24NOV17	2357.00	2559.75	USD	-10,137.50	
24AUG17	S	2	PD24NOV17	2358.50	2559.75	USD	-10,062.50	
25AUG17	S	1	PD24NOV17	2365.00	2559.75	USD	-4,868.75	
25AUG17	S	1	PD24NOV17	2363.50	2559.75	USD	-4,906.25	
25AUG17	S	1	PD24NOV17	2366.50	2559.75	USD	-4,831.25	
25AUG17	S	1	PD24NOV17	2367.00	2559.75	USD	-4,818.75	
25AUG17	S	1	PD24NOV17	2372.00	2559.75	USD	-4,693.75	
25AUG17	S	1	PD24NOV17	2373.50	2559.75	USD	-4,656.25	
25AUG17	S	1	PD24NOV17	2374.00	2559.75	USD	-4,643.75	
25AUG17	B	1	PD24NOV17	2361.00	2559.75	USD	4,968.75	
25AUG17	S	1	PD24NOV17	2363.00	2559.75	USD	-4,918.75	
25AUG17	S	1	PD24NOV17	2335.00	2559.75	USD	-5,618.75	
25AUG17	B	1	PD24NOV17	2325.00	2559.75	USD	5,868.75	
25AUG17	B	1	PD24NOV17	2329.00	2559.75	USD	5,768.75	
25AUG17	B	1	PD24NOV17	2324.50	2559.75	USD	5,881.25	
30AUG17	S	1	PD24NOV17	2368.19	2559.75	USD	-4,789.00	
05SEP17	S	2	PD24NOV17	2330.47	2559.75	USD	-11,464.00	
07SEP17	S	2	PD24NOV17	2336.15	2559.75	USD	-11,180.00	
	NET	0 AVG BGHT 2379.17	SOLD 2372.75				-4,814.25	0.00

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29AUG17	B	1	PD29NOV17	2346.00	2560.20	USD	5,355.00	
29AUG17	S	1	PD29NOV17	2389.00	2560.20	USD	-4,280.00	
29AUG17	S	1	PD29NOV17	2388.00	2560.20	USD	-4,305.00	
29AUG17	S	1	PD29NOV17	2385.50	2560.20	USD	-4,367.50	
29AUG17	S	1	PD29NOV17	2387.50	2560.20	USD	-4,317.50	
29AUG17	S	1	PD29NOV17	2392.00	2560.20	USD	-4,205.00	
29AUG17	B	1	PD29NOV17	2343.50	2560.20	USD	5,417.50	
29AUG17	B	1	PD29NOV17	2382.50	2560.20	USD	4,442.50	
29AUG17	B	1	PD29NOV17	2378.00	2560.20	USD	4,555.00	
29AUG17	B	1	PD29NOV17	2381.00	2560.20	USD	4,480.00	
29AUG17	B	1	PD29NOV17	2384.00	2560.20	USD	4,405.00	
29AUG17	B	1	PD29NOV17	2384.50	2560.20	USD	4,392.50	
07SEP17	S	2	PD29NOV17	2337.78	2560.20	USD	-11,121.00	
	NET	0 AVG BGHT 2371.36	SOLD 2373.94				451.50	0.00
30AUG17	B	1	PD30NOV17	2389.50	2560.30	USD	4,270.00	
30AUG17	B	1	PD30NOV17	2392.50	2560.30	USD	4,195.00	
30AUG17	B	1	PD30NOV17	2393.50	2560.30	USD	4,170.00	
30AUG17	B	1	PD30NOV17	2391.50	2560.30	USD	4,220.00	
30AUG17	B	1	PD30NOV17	2395.00	2560.30	USD	4,132.50	
30AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	
30AUG17	S	1	PD30NOV17	2380.00	2560.30	USD	-4,507.50	
30AUG17	S	1	PD30NOV17	2377.50	2560.30	USD	-4,570.00	
30AUG17	S	1	PD30NOV17	2392.00	2560.30	USD	-4,207.50	
30AUG17	S	1	PD30NOV17	2404.00	2560.30	USD	-3,907.50	
30AUG17	S	1	PD30NOV17	2405.00	2560.30	USD	-3,882.50	
30AUG17	B	1	PD30NOV17	2376.50	2560.30	USD	4,595.00	
30AUG17	B	2	PD30NOV17	2375.00	2560.30	USD	9,265.00	
30AUG17	S	1	PD30NOV17	2375.00	2560.30	USD	-4,632.50	
30AUG17	B	1	PD30NOV17	2378.50	2560.30	USD	4,545.00	
31AUG17	B	1	PD30NOV17	2377.50	2560.30	USD	4,570.00	
31AUG17	B	1	PD30NOV17	2380.00	2560.30	USD	4,507.50	
31AUG17	S	1	PD30NOV17	2372.00	2560.30	USD	-4,707.50	
31AUG17	S	1	PD30NOV17	2382.00	2560.30	USD	-4,457.50	
31AUG17	S	1	PD30NOV17	2383.00	2560.30	USD	-4,432.50	
31AUG17	S	1	PD30NOV17	2380.00	2560.30	USD	-4,507.50	
31AUG17	S	1	PD30NOV17	2387.00	2560.30	USD	-4,332.50	
31AUG17	S	1	PD30NOV17	2396.00	2560.30	USD	-4,107.50	
31AUG17	S	1	PD30NOV17	2400.00	2560.30	USD	-4,007.50	
31AUG17	S	2	PD30NOV17	2393.50	2560.30	USD	-8,340.00	
31AUG17	S	1	PD30NOV17	2394.00	2560.30	USD	-4,157.50	
31AUG17	S	1	PD30NOV17	2391.50	2560.30	USD	-4,220.00	
31AUG17	S	1	PD30NOV17	2392.00	2560.30	USD	-4,207.50	
31AUG17	S	1	PD30NOV17	2388.50	2560.30	USD	-4,295.00	
31AUG17	S	1	PD30NOV17	2393.00	2560.30	USD	-4,182.50	
31AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
31AUG17	S	1	PD30NOV17	2390.00	2560.30	USD	-4,257.50	
31AUG17	B	2	PD30NOV17	2394.20	2560.30	USD	8,305.00	
07SEP17	B	10	PD30NOV17	2338.30	2560.30	USD	55,500.00	
	NET	0 AVG BGHT 2365.04	SOLD 2388.13				13,277.50	0.00
01SEP17	B	1	PD01DEC17	2400.00	2560.39	USD	4,009.75	
	NET	+1 AVG BGHT 2400.00					4,009.75	0.00
05SEP17	B	2	PD05DEC17	2372.00	2560.75	USD	9,437.50	
05SEP17	B	1	PD05DEC17	2372.50	2560.75	USD	4,706.25	
05SEP17	B	1	PD05DEC17	2371.00	2560.75	USD	4,743.75	
05SEP17	B	3	PD05DEC17	2363.50	2560.75	USD	14,793.75	
05SEP17	B	1	PD05DEC17	2356.50	2560.75	USD	5,106.25	

OPEN POSITION STATEMENT



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SAP0094A

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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05SEP17	S	1	PD05DEC17	2351.00	2560.75	USD	-5,243.75	
05SEP17	B	1	PD05DEC17	2348.00	2560.75	USD	5,318.75	
05SEP17	B	1	PD05DEC17	2340.50	2560.75	USD	5,506.25	
05SEP17	B	1	PD05DEC17	2341.00	2560.75	USD	5,493.75	
07SEP17	S	10	PD05DEC17	2339.73	2560.75	USD	-55,255.00	
	NET	0AVG BGHT 2360.36	SOLD 2340.75				-5,392.50	0.00
07SEP17	B	1	PD07DEC17	2334.00	2561.25	USD	5,681.25	
07SEP17	B	1	PD07DEC17	2332.00	2561.25	USD	5,731.25	
07SEP17	B	1	PD07DEC17	2333.00	2561.25	USD	5,706.25	
07SEP17	B	1	PD07DEC17	2330.50	2561.25	USD	5,768.75	
07SEP17	B	1	PD07DEC17	2328.50	2561.25	USD	5,818.75	
07SEP17	B	2	PD07DEC17	2331.00	2561.25	USD	11,512.50	
07SEP17	B	1	PD07DEC17	2337.50	2561.25	USD	5,593.75	
07SEP17	B	1	PD07DEC17	2342.00	2561.25	USD	5,481.25	
07SEP17	B	1	PD07DEC17	2342.50	2561.25	USD	5,468.75	
07SEP17	B	1	PD07DEC17	2345.50	2561.25	USD	5,393.75	
07SEP17	B	2	PD07DEC17	2346.00	2561.25	USD	10,762.50	
07SEP17	B	1	PD07DEC17	2347.50	2561.25	USD	5,343.75	
08SEP17	S	14	PD07DEC17	2264.80	2561.25	USD	-103,757.50	
	NET	0AVG BGHT 2337.64	SOLD 2264.80				-25,495.00	0.00
08SEP17	B	1	PD08DEC17	2299.50	2561.50	USD	6,550.00	
08SEP17	B	1	PD08DEC17	2298.50	2561.50	USD	6,575.00	
08SEP17	B	1	PD08DEC17	2300.00	2561.50	USD	6,537.50	
08SEP17	B	1	PD08DEC17	2303.00	2561.50	USD	6,462.50	
08SEP17	B	1	PD08DEC17	2303.50	2561.50	USD	6,450.00	
08SEP17	B	1	PD08DEC17	2305.00	2561.50	USD	6,412.50	
08SEP17	B	1	PD08DEC17	2297.50	2561.50	USD	6,600.00	
08SEP17	B	1	PD08DEC17	2294.50	2561.50	USD	6,675.00	
22SEP17	S	6	PD08DEC17	2488.50	2561.50	USD	-10,950.00	
	NET	+2AVG BGHT 2300.19	SOLD 2488.50				41,312.50	0.00
14SEP17	S	1	PD14DEC17	2296.00	2563.00	USD	-6,675.00	
14SEP17	S	1	PD14DEC17	2296.50	2563.00	USD	-6,662.50	
14SEP17	S	1	PD14DEC17	2297.00	2563.00	USD	-6,650.00	
14SEP17	S	1	PD14DEC17	2297.50	2563.00	USD	-6,637.50	
14SEP17	S	1	PD14DEC17	2298.50	2563.00	USD	-6,612.50	
14SEP17	S	2	PD14DEC17	2292.00	2563.00	USD	-13,550.00	
14SEP17	S	1	PD14DEC17	2294.50	2563.00	USD	-6,712.50	
14SEP17	S	1	PD14DEC17	2310.50	2563.00	USD	-6,312.50	
14SEP17	S	2	PD14DEC17	2313.50	2563.00	USD	-12,475.00	
14SEP17	S	2	PD14DEC17	2320.00	2563.00	USD	-12,150.00	
14SEP17	S	3	PD14DEC17	2318.00	2563.00	USD	-18,375.00	
14SEP17	S	3	PD14DEC17	2319.50	2563.00	USD	-18,262.50	
14SEP17	S	1	PD14DEC17	2321.00	2563.00	USD	-6,050.00	
14SEP17	S	2	PD14DEC17	2321.50	2563.00	USD	-12,075.00	
14SEP17	B	1	PD14DEC17	2320.00	2563.00	USD	6,075.00	
14SEP17	B	1	PD14DEC17	2323.00	2563.00	USD	6,000.00	
19SEP17	B	15	PD14DEC17	2420.20	2563.00	USD	53,550.00	
21SEP17	B	5	PD14DEC17	2518.11	2563.00	USD	5,611.25	
	NET	0AVG BGHT 2433.48	SOLD 2309.91				-67,963.75	0.00
15SEP17	S	2	PD15DEC17	2326.00	2563.25	USD	-11,862.50	
15SEP17	S	3	PD15DEC17	2349.50	2563.25	USD	-16,031.25	
15SEP17	S	1	PD15DEC17	2351.00	2563.25	USD	-5,306.25	
15SEP17	S	1	PD15DEC17	2346.00	2563.25	USD	-5,431.25	
15SEP17	S	1	PD15DEC17	2346.50	2563.25	USD	-5,418.75	
15SEP17	S	1	PD15DEC17	2348.00	2563.25	USD	-5,381.25	
15SEP17	S	1	PD15DEC17	2347.50	2563.25	USD	-5,393.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	S	2	PD15DEC17	2350.00	2563.25	USD	-10,662.50	
15SEP17	S	2	PD15DEC17	2351.50	2563.25	USD	-10,587.50	
21SEP17	B	14	PD15DEC17	2518.13	2563.25	USD	15,792.00	
	NET	0AVG BGHT 2518.13	SOLD 2345.89				-60,283.00	0.00
18SEP17	S	2	PD18DEC17	2393.00	2564.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	2391.50	2564.00	USD	-4,312.50	
18SEP17	S	1	PD18DEC17	2398.50	2564.00	USD	-4,137.50	
18SEP17	S	1	PD18DEC17	2402.50	2564.00	USD	-4,037.50	
18SEP17	S	1	PD18DEC17	2401.50	2564.00	USD	-4,062.50	
18SEP17	S	1	PD18DEC17	2403.50	2564.00	USD	-4,012.50	
18SEP17	S	1	PD18DEC17	2405.50	2564.00	USD	-3,962.50	
21SEP17	B	8	PD18DEC17	2518.17	2564.00	USD	9,166.00	
	NET	0AVG BGHT 2518.17	SOLD 2398.63				-23,909.00	0.00
19SEP17	S	1	PD19DEC17	2385.00	2564.25	USD	-4,481.25	
19SEP17	S	1	PD19DEC17	2387.00	2564.25	USD	-4,431.25	
19SEP17	S	1	PD19DEC17	2394.50	2564.25	USD	-4,243.75	
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	S	1	PD19DEC17	2392.00	2564.25	USD	-4,306.25	
19SEP17	S	2	PD19DEC17	2393.00	2564.25	USD	-8,562.50	
19SEP17	S	1	PD19DEC17	2399.00	2564.25	USD	-4,131.25	
19SEP17	S	2	PD19DEC17	2404.50	2564.25	USD	-7,987.50	
19SEP17	S	1	PD19DEC17	2401.50	2564.25	USD	-4,068.75	
19SEP17	S	1	PD19DEC17	2402.50	2564.25	USD	-4,043.75	
19SEP17	S	1	PD19DEC17	2403.50	2564.25	USD	-4,018.75	
19SEP17	S	2	PD19DEC17	2404.00	2564.25	USD	-8,012.50	
19SEP17	S	1	PD19DEC17	2406.00	2564.25	USD	-3,956.25	
19SEP17	S	1	PD19DEC17	2415.50	2564.25	USD	-3,718.75	
19SEP17	S	1	PD19DEC17	2412.00	2564.25	USD	-3,806.25	
19SEP17	S	1	PD19DEC17	2416.00	2564.25	USD	-3,706.25	
19SEP17	S	1	PD19DEC17	2436.00	2564.25	USD	-3,206.25	
19SEP17	S	2	PD19DEC17	2409.00	2564.25	USD	-7,762.50	
19SEP17	S	1	PD19DEC17	2410.50	2564.25	USD	-3,843.75	
19SEP17	S	1	PD19DEC17	2411.50	2564.25	USD	-3,818.75	
19SEP17	S	2	PD19DEC17	2447.50	2564.25	USD	-5,837.50	
20SEP17	B	26	PD19DEC17	2460.04	2564.25	USD	67,736.50	
	NET	0AVG BGHT 2460.04	SOLD 2407.00				-34,476.00	0.00
20SEP17	S	1	PD20DEC17	2440.00	2564.50	USD	-3,112.50	
20SEP17	S	1	PD20DEC17	2441.00	2564.50	USD	-3,087.50	
20SEP17	S	2	PD20DEC17	2450.00	2564.50	USD	-5,725.00	
20SEP17	S	1	PD20DEC17	2454.50	2564.50	USD	-2,750.00	
20SEP17	S	1	PD20DEC17	2456.00	2564.50	USD	-2,712.50	
20SEP17	S	1	PD20DEC17	2455.00	2564.50	USD	-2,737.50	
20SEP17	S	1	PD20DEC17	2462.50	2564.50	USD	-2,550.00	
20SEP17	S	2	PD20DEC17	2440.50	2564.50	USD	-6,200.00	
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
21SEP17	B	11	PD20DEC17	2518.20	2564.50	USD	12,732.50	
	NET	0AVG BGHT 2518.20	SOLD 2448.36				-19,205.00	0.00
21SEP17	S	1	PD21DEC17	2432.50	2563.50	USD	-3,275.00	
21SEP17	S	1	PD21DEC17	2434.50	2563.50	USD	-3,225.00	
21SEP17	S	1	PD21DEC17	2435.00	2563.50	USD	-3,212.50	
21SEP17	S	1	PD21DEC17	2435.50	2563.50	USD	-3,200.00	
21SEP17	S	1	PD21DEC17	2437.50	2563.50	USD	-3,150.00	
21SEP17	S	1	PD21DEC17	2446.00	2563.50	USD	-2,937.50	
21SEP17	S	1	PD21DEC17	2465.00	2563.50	USD	-2,462.50	
21SEP17	S	1	PD21DEC17	2471.00	2563.50	USD	-2,312.50	
21SEP17	S	1	PD21DEC17	2473.50	2563.50	USD	-2,250.00	

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21SEP17	S	3	PD21DEC17	2447.00	2563.50	USD	-8,737.50	
21SEP17	S	3	PD21DEC17	2465.50	2563.50	USD	-7,350.00	
21SEP17	S	1	PD21DEC17	2467.50	2563.50	USD	-2,400.00	
21SEP17	S	1	PD21DEC17	2464.00	2563.50	USD	-2,487.50	
21SEP17	S	3	PD21DEC17	2466.50	2563.50	USD	-7,275.00	
21SEP17	S	1	PD21DEC17	2468.00	2563.50	USD	-2,387.50	
21SEP17	S	2	PD21DEC17	2464.50	2563.50	USD	-4,950.00	
21SEP17	B	1	PD21DEC17	2476.50	2563.50	USD	2,175.00	
21SEP17	B	1	PD21DEC17	2458.00	2563.50	USD	2,637.50	
21SEP17	B	1	PD21DEC17	2441.50	2563.50	USD	3,050.00	
21SEP17	B	1	PD21DEC17	2443.50	2563.50	USD	3,000.00	
21SEP17	B	1	PD21DEC17	2448.00	2563.50	USD	2,887.50	
21SEP17	B	11	PD21DEC17	2513.20	2563.50	USD	13,832.50	
21SEP17	B	1	PD21DEC17	2451.50	2563.50	USD	2,800.00	
22SEP17	B	6	PD21DEC17	2485.95	2563.50	USD	11,632.50	
	NET	0AVG BGHT 2490.43	SOLD 2456.35				-19,597.50	0.00
22SEP17	B	1	PD22DEC17	2416.00	2563.50	USD	3,687.50	
22SEP17	B	1	PD22DEC17	2416.50	2563.50	USD	3,675.00	
22SEP17	B	1	PD22DEC17	2421.50	2563.50	USD	3,550.00	
22SEP17	B	1	PD22DEC17	2425.50	2563.50	USD	3,450.00	
22SEP17	B	1	PD22DEC17	2398.00	2563.50	USD	4,137.50	
22SEP17	B	1	PD22DEC17	2398.50	2563.50	USD	4,125.00	
22SEP17	B	1	PD22DEC17	2402.00	2563.50	USD	4,037.50	
22SEP17	B	1	PD22DEC17	2402.50	2563.50	USD	4,025.00	
22SEP17	B	1	PD22DEC17	2403.00	2563.50	USD	4,012.50	
22SEP17	B	1	PD22DEC17	2406.50	2563.50	USD	3,925.00	
22SEP17	B	1	PD22DEC17	2374.50	2563.50	USD	4,725.00	
22SEP17	B	1	PD22DEC17	2381.50	2563.50	USD	4,550.00	
22SEP17	B	2	PD22DEC17	2383.50	2563.50	USD	9,000.00	
22SEP17	B	1	PD22DEC17	2384.50	2563.50	USD	4,475.00	
22SEP17	B	1	PD22DEC17	2386.00	2563.50	USD	4,437.50	
22SEP17	B	2	PD22DEC17	2393.00	2563.50	USD	8,525.00	
22SEP17	B	1	PD22DEC17	2397.00	2563.50	USD	4,162.50	
22SEP17	B	2	PD22DEC17	2397.50	2563.50	USD	8,300.00	
22SEP17	S	2	PD22DEC17	2448.00	2563.50	USD	-5,775.00	
22SEP17	S	1	PD22DEC17	2456.50	2563.50	USD	-2,675.00	
22SEP17	B	1	PD22DEC17	2479.50	2563.50	USD	2,100.00	
22SEP17	B	2	PD22DEC17	2415.00	2563.50	USD	7,425.00	
22SEP17	B	1	PD22DEC17	2382.00	2563.50	USD	4,537.50	
22SEP17	B	1	PD22DEC17	2382.50	2563.50	USD	4,525.00	
22SEP17	B	1	PD22DEC17	2400.00	2563.50	USD	4,087.50	
22SEP17	B	1	PD22DEC17	2476.00	2563.50	USD	2,187.50	
22SEP17	S	5	PD22DEC17	2411.55	2563.50	USD	-18,993.75	
22SEP17	S	12	PD22DEC17	2410.55	2563.50	USD	-45,885.00	
22SEP17	B	1	PD22DEC17	2488.00	2563.50	USD	1,887.50	
22SEP17	B	1	PD22DEC17	2488.50	2563.50	USD	1,875.00	
28SEP17	S	2	PD22DEC17	2471.55	2563.50	USD	-4,597.50	
10OCT17	S	8	PD22DEC17	2554.38	2563.50	USD	-1,824.00	
	NET	0AVG BGHT 2409.60	SOLD 2457.17				35,674.75	0.00
25SEP17	B	2	PD27DEC17	2468.00	2563.50	USD	4,775.00	
25SEP17	S	1	PD27DEC17	2500.00	2563.50	USD	-1,587.50	
25SEP17	S	2	PD27DEC17	2506.00	2563.50	USD	-2,875.00	
25SEP17	S	1	PD27DEC17	2503.00	2563.50	USD	-1,512.50	
25SEP17	B	2	PD27DEC17	2473.50	2563.50	USD	4,500.00	
25SEP17	B	1	PD27DEC17	2474.00	2563.50	USD	2,237.50	
26SEP17	B	1	PD27DEC17	2489.50	2563.50	USD	1,850.00	
26SEP17	B	1	PD27DEC17	2467.50	2563.50	USD	2,400.00	

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26SEP17	B	1	PD27DEC17	2483.50	2563.50	USD	2,000.00	
26SEP17	B	1	PD27DEC17	2484.00	2563.50	USD	1,987.50	
26SEP17	B	1	PD27DEC17	2484.50	2563.50	USD	1,975.00	
26SEP17	B	1	PD27DEC17	2485.00	2563.50	USD	1,962.50	
26SEP17	S	2	PD27DEC17	2476.00	2563.50	USD	-4,375.00	
26SEP17	S	1	PD27DEC17	2476.50	2563.50	USD	-2,175.00	
26SEP17	S	1	PD27DEC17	2479.50	2563.50	USD	-2,100.00	
26SEP17	S	1	PD27DEC17	2481.50	2563.50	USD	-2,050.00	
26SEP17	S	2	PD27DEC17	2482.00	2563.50	USD	-4,075.00	
26SEP17	S	1	PD27DEC17	2480.00	2563.50	USD	-2,087.50	
26SEP17	S	1	PD27DEC17	2483.00	2563.50	USD	-2,012.50	
26SEP17	S	1	PD27DEC17	2483.50	2563.50	USD	-2,000.00	
26SEP17	S	1	PD27DEC17	2484.00	2563.50	USD	-1,987.50	
27SEP17	S	1	PD27DEC17	2479.00	2563.50	USD	-2,112.50	
27SEP17	S	1	PD27DEC17	2468.00	2563.50	USD	-2,387.50	
27SEP17	S	1	PD27DEC17	2468.50	2563.50	USD	-2,375.00	
10OCT17	B	7	PD27DEC17	2554.20	2563.50	USD	1,627.50	
	NET	0AVG BGHT 2507.24	SOLD 2484.14				-10,397.50	0.00
28SEP17	S	1	PD28DEC17	2458.00	2563.60	USD	-2,640.00	
28SEP17	B	1	PD28DEC17	2474.00	2563.60	USD	2,240.00	
28SEP17	B	1	PD28DEC17	2471.50	2563.60	USD	2,302.50	
28SEP17	S	1	PD28DEC17	2472.50	2563.60	USD	-2,277.50	
28SEP17	S	1	PD28DEC17	2469.80	2563.60	USD	-2,345.00	
10OCT17	B	1	PD28DEC17	2554.41	2563.60	USD	229.75	
	NET	0AVG BGHT 2499.97	SOLD 2466.77				-2,490.25	0.00
29SEP17	B	2	PD29DEC17	2492.00	2563.70	USD	3,585.00	
29SEP17	B	4	PD29DEC17	2492.50	2563.70	USD	7,120.00	
29SEP17	B	3	PD29DEC17	2495.00	2563.70	USD	5,152.50	
29SEP17	B	1	PD29DEC17	2496.00	2563.70	USD	1,692.50	
29SEP17	B	2	PD29DEC17	2498.00	2563.70	USD	3,285.00	
29SEP17	S	1	PD29DEC17	2509.50	2563.70	USD	-1,355.00	
29SEP17	S	1	PD29DEC17	2512.50	2563.70	USD	-1,280.00	
29SEP17	B	1	PD29DEC17	2497.50	2563.70	USD	1,655.00	
29SEP17	S	1	PD29DEC17	2510.00	2563.70	USD	-1,342.50	
29SEP17	S	1	PD29DEC17	2513.00	2563.70	USD	-1,267.50	
29SEP17	B	1	PD29DEC17	2470.00	2563.70	USD	2,342.50	
29SEP17	B	1	PD29DEC17	2470.50	2563.70	USD	2,330.00	
29SEP17	B	1	PD29DEC17	2475.00	2563.70	USD	2,217.50	
29SEP17	B	1	PD29DEC17	2476.50	2563.70	USD	2,180.00	
29SEP17	S	1	PD29DEC17	2481.50	2563.70	USD	-2,055.00	
29SEP17	S	1	PD29DEC17	2482.00	2563.70	USD	-2,042.50	
	NET	+11AVG BGHT 2489.44	SOLD 2501.42				22,217.50	0.00
03OCT17	S	1	PD03JAN18	2547.00	2564.20	USD	-430.00	
03OCT17	S	1	PD03JAN18	2547.50	2564.20	USD	-417.50	
03OCT17	S	1	PD03JAN18	2554.00	2564.20	USD	-255.00	
03OCT17	S	1	PD03JAN18	2558.50	2564.20	USD	-142.50	
03OCT17	S	1	PD03JAN18	2568.00	2564.20	USD	95.00	
03OCT17	S	1	PD03JAN18	2570.00	2564.20	USD	145.00	
03OCT17	S	2	PD03JAN18	2569.00	2564.20	USD	240.00	
03OCT17	S	1	PD03JAN18	2585.00	2564.20	USD	520.00	
03OCT17	S	2	PD03JAN18	2590.00	2564.20	USD	1,290.00	
03OCT17	S	1	PD03JAN18	2591.00	2564.20	USD	670.00	
03OCT17	S	1	PD03JAN18	2589.50	2564.20	USD	632.50	
03OCT17	S	2	PD03JAN18	2589.00	2564.20	USD	1,240.00	
03OCT17	S	1	PD03JAN18	2590.50	2564.20	USD	657.50	
03OCT17	S	2	PD03JAN18	2588.00	2564.20	USD	1,190.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	1	PD03JAN18	2584.00	2564.20	USD	495.00	
10OCT17	B	19	PD03JAN18	2555.50	2564.20	USD	4,132.50	
	NET	0AVG	BGHT 2555.50				10,062.50	0.00
04OCT17	S	1	PD04JAN18	2606.50	2564.30	USD	1,055.00	
04OCT17	B	1	PD04JAN18	2571.00	2564.30	USD	-167.50	
04OCT17	B	2	PD04JAN18	2571.50	2564.30	USD	-360.00	
04OCT17	B	2	PD04JAN18	2570.00	2564.30	USD	-285.00	
04OCT17	B	2	PD04JAN18	2570.50	2564.30	USD	-310.00	
04OCT17	B	2	PD04JAN18	2573.00	2564.30	USD	-435.00	
04OCT17	B	1	PD04JAN18	2574.50	2564.30	USD	-255.00	
04OCT17	B	1	PD04JAN18	2576.00	2564.30	USD	-292.50	
04OCT17	B	2	PD04JAN18	2577.00	2564.30	USD	-635.00	
04OCT17	B	2	PD04JAN18	2572.00	2564.30	USD	-385.00	
04OCT17	B	1	PD04JAN18	2573.50	2564.30	USD	-230.00	
04OCT17	B	2	PD04JAN18	2575.50	2564.30	USD	-560.00	
04OCT17	B	1	PD04JAN18	2562.50	2564.30	USD	45.00	
04OCT17	B	1	PD04JAN18	2559.00	2564.30	USD	132.50	
10OCT17	S	19	PD04JAN18	2555.51	2564.30	USD	-4,175.25	
	NET	0AVG	BGHT 2571.78				-6,857.75	0.00
09OCT17	B	1	PD09JAN18	2519.00	2564.80	USD	1,145.00	
09OCT17	B	1	PD09JAN18	2521.00	2564.80	USD	1,095.00	
09OCT17	B	2	PD09JAN18	2503.00	2564.80	USD	3,090.00	
09OCT17	B	1	PD09JAN18	2493.50	2564.80	USD	1,782.50	
09OCT17	B	2	PD09JAN18	2495.50	2564.80	USD	3,465.00	
	NET	+7AVG	BGHT 2504.36				10,577.50	0.00
10OCT17	S	1	PD10JAN18	2540.50	2564.90	USD	-610.00	
10OCT17	S	1	PD10JAN18	2550.00	2564.90	USD	-372.50	
10OCT17	S	1	PD10JAN18	2550.50	2564.90	USD	-360.00	
10OCT17	S	1	PD10JAN18	2553.50	2564.90	USD	-285.00	
	NET	-4AVG	SOLD 2548.63				-1,627.50	0.00
11OCT17	S	4	PD11JAN18	2560.00	2565.00	USD	-500.00	
11OCT17	S	1	PD11JAN18	2560.50	2565.00	USD	-112.50	
11OCT17	S	5	PD11JAN18	2561.00	2565.00	USD	-500.00	
	NET	-10AVG	SOLD 2560.55				-1,112.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	2	PD18OCT17	9655.00	11065.00	USD	16,920.00	
23AUG17	S	2	PD18OCT17	11637.50	11065.00	USD	6,870.00	
	NET	0AVG	BGHT 9655.00				23,790.00	0.00
24JUL17	S	3	PD24OCT17	9770.00	11070.79	USD	-23,414.22	
25JUL17	B	3	PD24OCT17	10005.83	11070.79	USD	19,169.28	
	NET	0AVG	BGHT 10005.83				-4,244.94	0.00
25JUL17	S	1	PD25OCT17	9895.00	11071.75	USD	-7,060.50	
25JUL17	S	2	PD25OCT17	9945.00	11071.75	USD	-13,521.00	
25JUL17	S	2	PD25OCT17	9940.00	11071.75	USD	-13,581.00	
25JUL17	B	5	PD25OCT17	10006.00	11071.75	USD	31,972.50	
	NET	0AVG	BGHT 10006.00				-2,190.00	0.00
26JUL17	B	2	PD26OCT17	10020.00	11072.71	USD	12,632.52	
26JUL17	B	1	PD26OCT17	10025.00	11072.71	USD	6,286.26	
26JUL17	B	2	PD26OCT17	10035.00	11072.71	USD	12,452.52	
26JUL17	S	1	PD26OCT17	9935.00	11072.71	USD	-6,826.26	
26JUL17	S	3	PD26OCT17	9940.00	11072.71	USD	-20,388.78	
26JUL17	S	1	PD26OCT17	9945.00	11072.71	USD	-6,766.26	
26JUL17	S	1	PD26OCT17	9950.00	11072.71	USD	-6,736.26	
26JUL17	S	1	PD26OCT17	9955.00	11072.71	USD	-6,706.26	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD26OCT17	9975.00	11072.71	USD	-6,586.26	
26JUL17	S	1	PD26OCT17	9960.00	11072.71	USD	-6,676.26	
26JUL17	S	2	PD26OCT17	9965.00	11072.71	USD	-13,292.52	
26JUL17	S	1	PD26OCT17	9980.00	11072.71	USD	-6,556.26	
26JUL17	S	1	PD26OCT17	9985.00	11072.71	USD	-6,526.26	
26JUL17	S	1	PD26OCT17	10040.00	11072.71	USD	-6,196.26	
26JUL17	S	1	PD26OCT17	10025.00	11072.71	USD	-6,286.26	
26JUL17	S	2	PD26OCT17	10010.00	11072.71	USD	-12,752.52	
26JUL17	S	1	PD26OCT17	10015.00	11072.71	USD	-6,346.26	
26JUL17	B	15	PD26OCT17	10041.00	11072.71	USD	92,853.90	
31AUG17	S	1	PD26OCT17	11774.73	11072.71	USD	4,212.12	
05SEP17	S	1	PD26OCT17	12052.85	11072.71	USD	5,880.84	
	NET	0 AVG	BGHT 10037.50	SOLD 10168.13			15,675.48	0.00
27JUL17	B	1	PD27OCT17	10015.00	11073.68	USD	6,352.08	
27JUL17	B	1	PD27OCT17	10055.00	11073.68	USD	6,112.08	
27JUL17	S	1	PD27OCT17	10000.00	11073.68	USD	-6,442.08	
27JUL17	S	1	PD27OCT17	10120.00	11073.68	USD	-5,722.08	
	NET	0 AVG	BGHT 10035.00	SOLD 10060.00			300.00	0.00
31JUL17	B	1	PD31OCT17	10235.00	11077.54	USD	5,055.24	
31JUL17	B	3	PD31OCT17	10225.00	11077.54	USD	15,345.72	
05SEP17	S	4	PD31OCT17	12056.88	11077.54	USD	23,504.16	
	NET	0 AVG	BGHT 10227.50	SOLD 12056.88			43,905.12	0.00
01AUG17	B	2	PD01NOV17	10150.00	11078.50	USD	11,142.00	
05SEP17	S	2	PD01NOV17	12057.69	11078.50	USD	11,750.28	
	NET	0 AVG	BGHT 10150.00	SOLD 12057.69			22,892.28	0.00
29SEP17	B	13	PD02NOV17	10446.50	11079.46	USD	49,370.88	
	NET	+13 AVG	BGHT 10446.50				49,370.88	0.00
03AUG17	B	1	PD03NOV17	10305.00	11080.43	USD	4,652.58	
03AUG17	B	1	PD03NOV17	10335.00	11080.43	USD	4,472.58	
05SEP17	S	2	PD03NOV17	12059.31	11080.43	USD	11,746.56	
	NET	0 AVG	BGHT 10320.00	SOLD 12059.31			20,871.72	0.00
07AUG17	S	1	PD07NOV17	10375.00	11084.29	USD	-4,255.74	
07AUG17	S	1	PD07NOV17	10370.00	11084.29	USD	-4,285.74	
08AUG17	B	2	PD07NOV17	10630.60	11084.29	USD	5,444.28	
	NET	0 AVG	BGHT 10630.60	SOLD 10372.50			-3,097.20	0.00
14AUG17	B	1	PD14NOV17	10585.00	11091.04	USD	3,036.24	
14AUG17	B	1	PD14NOV17	10550.00	11091.04	USD	3,246.24	
05SEP17	S	2	PD14NOV17	12068.19	11091.04	USD	11,725.80	
	NET	0 AVG	BGHT 10567.50	SOLD 12068.19			18,008.28	0.00
16AUG17	B	1	PD16NOV17	10425.00	11092.77	USD	4,006.62	
16AUG17	B	1	PD16NOV17	10445.00	11092.77	USD	3,886.62	
16AUG17	B	1	PD16NOV17	10450.00	11092.77	USD	3,856.62	
16AUG17	B	1	PD16NOV17	10665.00	11092.77	USD	2,566.62	
16AUG17	B	1	PD16NOV17	10670.00	11092.77	USD	2,536.62	
21AUG17	S	5	PD16NOV17	11312.50	11092.77	USD	6,591.90	
	NET	0 AVG	BGHT 10531.00	SOLD 11312.50			23,445.00	0.00
17AUG17	S	1	PD17NOV17	10650.00	11093.54	USD	-2,661.24	
17AUG17	S	1	PD17NOV17	10655.00	11093.54	USD	-2,631.24	
18AUG17	S	4	PD17NOV17	11000.00	11093.54	USD	-2,244.96	
21AUG17	B	5	PD17NOV17	11314.00	11093.54	USD	-6,613.80	
22AUG17	B	1	PD17NOV17	11413.92	11093.54	USD	-1,922.28	
	NET	0 AVG	BGHT 11330.65	SOLD 10884.17			-16,073.52	0.00
22AUG17	S	1	PD22NOV17	11470.00	11097.40	USD	2,235.60	
22AUG17	S	1	PD22NOV17	11445.00	11097.40	USD	2,085.60	

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22AUG17	S	2	PD22NOV17	11520.00	11097.40	USD	5,071.20	
22AUG17	S	1	PD22NOV17	11535.00	11097.40	USD	2,625.60	
22AUG17	S	1	PD22NOV17	11540.00	11097.40	USD	2,655.60	
22AUG17	B	1	PD22NOV17	11445.00	11097.40	USD	-2,085.60	
23AUG17	B	5	PD22NOV17	11659.75	11097.40	USD	-16,870.50	
	NET	0 AVG BGHT 11623.96	SOLD 11505.00				-4,282.50	0.00
23AUG17	B	1	PD24NOV17	11480.00	11098.94	USD	-2,286.36	
25AUG17	B	2	PD24NOV17	11430.00	11098.94	USD	-3,972.72	
25AUG17	B	1	PD24NOV17	11445.00	11098.94	USD	-2,076.36	
25AUG17	B	1	PD24NOV17	11425.00	11098.94	USD	-1,956.36	
25AUG17	B	2	PD24NOV17	11420.00	11098.94	USD	-3,852.72	
05SEP17	S	1	PD24NOV17	12072.63	11098.94	USD	5,842.14	
12SEP17	S	6	PD24NOV17	11975.33	11098.94	USD	31,550.04	
	NET	0 AVG BGHT 11435.71	SOLD 11989.23				23,247.66	0.00
29AUG17	B	1	PD29NOV17	11620.00	11102.80	USD	-3,103.20	
29AUG17	B	1	PD29NOV17	11715.00	11102.80	USD	-3,673.20	
29AUG17	B	1	PD29NOV17	11615.00	11102.80	USD	-3,073.20	
29AUG17	B	1	PD29NOV17	11670.00	11102.80	USD	-3,403.20	
29AUG17	B	1	PD29NOV17	11645.00	11102.80	USD	-3,253.20	
29AUG17	B	1	PD29NOV17	11680.00	11102.80	USD	-3,463.20	
29AUG17	B	1	PD29NOV17	11700.00	11102.80	USD	-3,583.20	
29AUG17	B	1	PD29NOV17	11805.00	11102.80	USD	-4,213.20	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	B	2	PD29NOV17	11735.00	11102.80	USD	-7,586.40	
29AUG17	B	1	PD29NOV17	11690.00	11102.80	USD	-3,523.20	
12SEP17	S	12	PD29NOV17	11979.41	11102.80	USD	63,115.92	
	NET	0 AVG BGHT 11695.00	SOLD 11979.41				20,477.52	0.00
30AUG17	B	2	PD30NOV17	11735.00	11103.57	USD	-7,577.16	
31AUG17	S	1	PD30NOV17	11700.00	11103.57	USD	3,578.58	
31AUG17	S	1	PD30NOV17	11675.00	11103.57	USD	3,428.58	
	NET	0 AVG BGHT 11735.00	SOLD 11687.50				-570.00	0.00
01SEP17	S	1	PD01DEC17	11880.00	11104.34	USD	4,653.96	
01SEP17	S	1	PD01DEC17	11910.00	11104.34	USD	4,833.96	
01SEP17	S	1	PD01DEC17	11915.00	11104.34	USD	4,863.96	
01SEP17	S	1	PD01DEC17	11925.00	11104.34	USD	4,923.96	
01SEP17	S	1	PD01DEC17	11945.00	11104.34	USD	5,043.96	
01SEP17	S	1	PD01DEC17	11950.00	11104.34	USD	5,073.96	
01SEP17	S	1	PD01DEC17	11955.00	11104.34	USD	5,103.96	
01SEP17	S	1	PD01DEC17	11980.00	11104.34	USD	5,253.96	
01SEP17	S	2	PD01DEC17	11975.00	11104.34	USD	10,447.92	
01SEP17	S	4	PD01DEC17	11965.00	11104.34	USD	20,655.84	
01SEP17	S	2	PD01DEC17	11970.00	11104.34	USD	10,387.92	
01SEP17	S	1	PD01DEC17	12020.00	11104.34	USD	5,493.96	
01SEP17	S	1	PD01DEC17	12040.00	11104.34	USD	5,613.96	
12SEP17	B	18	PD01DEC17	11982.04	11104.34	USD	-94,791.60	
	NET	0 AVG BGHT 11982.04	SOLD 11959.44				-2,440.32	0.00
08SEP17	B	1	PD08DEC17	11740.00	11109.74	USD	-3,781.56	
08SEP17	B	2	PD08DEC17	11745.00	11109.74	USD	-7,623.12	
08SEP17	B	1	PD08DEC17	11730.00	11109.74	USD	-3,721.56	
08SEP17	B	1	PD08DEC17	11495.00	11109.74	USD	-2,311.56	
08SEP17	B	1	PD08DEC17	11515.00	11109.74	USD	-2,431.56	
08SEP17	B	1	PD08DEC17	11535.00	11109.74	USD	-2,551.56	
08SEP17	B	2	PD08DEC17	11525.00	11109.74	USD	-4,983.12	
08SEP17	B	2	PD08DEC17	11490.00	11109.74	USD	-4,563.12	
08SEP17	B	3	PD08DEC17	11505.00	11109.74	USD	-7,114.68	
08SEP17	B	2	PD08DEC17	11510.00	11109.74	USD	-4,803.12	

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08SEP17	B	1	PD08DEC17	11480.00	11109.74	USD	-2,221.56	
08SEP17	B	3	PD08DEC17	11485.00	11109.74	USD	-6,754.68	
13SEP17	S	15	PD08DEC17	11355.46	11109.74	USD	22,114.80	
14SEP17	S	5	PD08DEC17	11194.24	11109.74	USD	2,535.00	
	NET	0 AVG BGHT 11550.25	SOLD 11315.16				-28,211.40	0.00
12SEP17	B	1	PD12DEC17	11660.00	11112.83	USD	-3,283.02	
12SEP17	B	1	PD12DEC17	11685.00	11112.83	USD	-3,433.02	
22SEP17	S	2	PD12DEC17	10410.91	11112.83	USD	-8,423.04	
	NET	0 AVG BGHT 11672.50	SOLD 10410.91				-15,139.08	0.00
13SEP17	B	2	PD13DEC17	11710.00	11113.60	USD	-7,156.80	
13SEP17	B	1	PD13DEC17	11680.00	11113.60	USD	-3,398.40	
13SEP17	B	1	PD13DEC17	11630.00	11113.60	USD	-3,098.40	
13SEP17	B	1	PD13DEC17	11565.00	11113.60	USD	-2,708.40	
13SEP17	B	1	PD13DEC17	11570.00	11113.60	USD	-2,738.40	
13SEP17	B	2	PD13DEC17	11720.00	11113.60	USD	-7,276.80	
13SEP17	B	2	PD13DEC17	11725.00	11113.60	USD	-7,336.80	
14SEP17	S	10	PD13DEC17	11198.21	11113.60	USD	5,076.60	
	NET	0 AVG BGHT 11675.50	SOLD 11198.21				-28,637.40	0.00
14SEP17	B	1	PD14DEC17	11430.00	11114.37	USD	-1,893.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	B	1	PD14DEC17	11235.00	11114.37	USD	-723.78	
14SEP17	B	1	PD14DEC17	11270.00	11114.37	USD	-933.78	
14SEP17	B	1	PD14DEC17	11335.00	11114.37	USD	-1,323.78	
14SEP17	B	1	PD14DEC17	11160.00	11114.37	USD	-273.78	
14SEP17	B	1	PD14DEC17	11140.00	11114.37	USD	-153.78	
14SEP17	B	2	PD14DEC17	11180.00	11114.37	USD	-787.56	
14SEP17	B	1	PD14DEC17	11155.00	11114.37	USD	-243.78	
18SEP17	S	10	PD14DEC17	11230.97	11114.37	USD	6,996.00	
	NET	0 AVG BGHT 11225.00	SOLD 11230.97				358.20	0.00
15SEP17	B	1	PD15DEC17	11130.00	11115.14	USD	-89.16	
15SEP17	B	1	PD15DEC17	11135.00	11115.14	USD	-119.16	
15SEP17	B	4	PD15DEC17	11140.00	11115.14	USD	-596.64	
15SEP17	B	1	PD15DEC17	10945.00	11115.14	USD	1,020.84	
22SEP17	S	7	PD15DEC17	10413.57	11115.14	USD	-29,465.94	
	NET	0 AVG BGHT 11110.00	SOLD 10413.57				-29,250.06	0.00
19SEP17	B	1	PD19DEC17	11070.00	11118.23	USD	289.38	
19SEP17	B	1	PD19DEC17	11075.00	11118.23	USD	259.38	
19SEP17	S	2	PD19DEC17	11070.00	11118.23	USD	-578.76	
19SEP17	B	1	PD19DEC17	10980.00	11118.23	USD	829.38	
19SEP17	B	1	PD19DEC17	10990.00	11118.23	USD	769.38	
19SEP17	S	1	PD19DEC17	10985.00	11118.23	USD	-799.38	
19SEP17	B	1	PD19DEC17	11005.00	11118.23	USD	679.38	
22SEP17	S	2	PD19DEC17	10417.11	11118.23	USD	-8,413.44	
	NET	0 AVG BGHT 11024.00	SOLD 10791.84				-6,964.68	0.00
21SEP17	S	1	PD21DEC17	11100.00	11119.73	USD	-118.38	
21SEP17	S	3	PD21DEC17	11085.00	11119.73	USD	-625.14	
21SEP17	S	2	PD21DEC17	11090.00	11119.73	USD	-356.76	
21SEP17	S	3	PD21DEC17	11095.00	11119.73	USD	-445.14	
21SEP17	S	2	PD21DEC17	11110.00	11119.73	USD	-116.76	
21SEP17	S	2	PD21DEC17	11120.00	11119.73	USD	3.24	
21SEP17	S	1	PD21DEC17	11125.00	11119.73	USD	31.62	
22SEP17	B	11	PD21DEC17	10420.00	11119.73	USD	46,182.18	
29SEP17	B	3	PD21DEC17	10490.50	11119.73	USD	11,326.14	
	NET	0 AVG BGHT 10435.11	SOLD 11100.36				55,881.00	0.00
22SEP17	B	1	PD22DEC17	10625.00	11120.45	USD	2,972.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	10605.00	11120.45	USD	6,185.40	
22SEP17	B	2	PD22DEC17	10525.00	11120.45	USD	7,145.40	
29SEP17	S	3	PD22DEC17	10490.50	11120.45	USD	-11,339.10	
29SEP17	S	2	PD22DEC17	10491.50	11120.45	USD	-7,547.40	
	NET	0 AVG BGHT 10577.00	SOLD 10490.90				-2,583.00	0.00
29SEP17	S	1	PD29DEC17	10440.00	11125.55	USD	-4,113.30	
29SEP17	S	2	PD29DEC17	10435.00	11125.55	USD	-8,286.60	
29SEP17	B	1	PD29DEC17	10430.00	11125.55	USD	4,173.30	
29SEP17	B	1	PD29DEC17	10505.00	11125.55	USD	3,723.30	
29SEP17	B	1	PD29DEC17	10525.00	11125.55	USD	3,603.30	
29SEP17	B	1	PD29DEC17	10455.00	11125.55	USD	4,023.30	
29SEP17	B	1	PD29DEC17	10485.00	11125.55	USD	3,843.30	
29SEP17	B	1	PD29DEC17	10490.00	11125.55	USD	3,813.30	
29SEP17	B	1	PD29DEC17	10480.00	11125.55	USD	3,873.30	
29SEP17	B	2	PD29DEC17	10501.00	11125.55	USD	7,494.60	
	NET	+6 AVG BGHT 10485.78	SOLD 10436.67				22,147.80	0.00
03OCT17	B	1	PD03JAN18	10500.00	11129.18	USD	3,775.08	
03OCT17	B	1	PD03JAN18	10485.00	11129.18	USD	3,865.08	
03OCT17	B	2	PD03JAN18	10490.00	11129.18	USD	7,670.16	
03OCT17	S	1	PD03JAN18	10710.00	11129.18	USD	-2,515.08	
	NET	+3 AVG BGHT 10491.25	SOLD 10710.00				12,795.24	0.00
04OCT17	S	1	PD04JAN18	10770.00	11129.91	USD	-2,159.46	
	NET	-1 AVG SOLD 10770.00					-2,159.46	0.00
	<u>CMX SILVER 5,000 CMX</u>							
29SEP17	B	1	DEC17	1676.0	1713.3	USD	1,865.00	
29SEP17	B	2	DEC17	1675.0	1713.3	USD	3,830.00	
29SEP17	B	3	DEC17	1674.5	1713.3	USD	5,820.00	
29SEP17	B	2	DEC17	1674.0	1713.3	USD	3,930.00	
29SEP17	B	2	DEC17	1673.5	1713.3	USD	3,980.00	
	NET	+10 AVG BGHT 1674.5					19,425.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	2	PD12OCT17	2836.00	3299.33	USD	23,166.50	
12JUL17	B	1	PD12OCT17	2838.00	3299.33	USD	11,533.25	
12JUL17	S	1	PD12OCT17	2829.50	3299.33	USD	-11,745.75	
12JUL17	S	1	PD12OCT17	2830.50	3299.33	USD	-11,720.75	
12JUL17	S	1	PD12OCT17	2831.50	3299.33	USD	-11,695.75	
12JUL17	S	1	PD12OCT17	2834.50	3299.33	USD	-11,620.75	
12JUL17	S	1	PD12OCT17	2856.50	3299.33	USD	-11,070.75	
12JUL17	S	1	PD12OCT17	2865.00	3299.33	USD	-10,858.25	
12JUL17	S	1	PD12OCT17	2870.50	3299.33	USD	-10,720.75	
12JUL17	S	4	PD12OCT17	2857.00	3299.33	USD	-44,233.00	
12JUL17	S	1	PD12OCT17	2856.00	3299.33	USD	-11,083.25	
12JUL17	B	1	PD12OCT17	2837.00	3299.33	USD	11,558.25	
12JUL17	B	1	PD12OCT17	2837.50	3299.33	USD	11,545.75	
12JUL17	S	1	PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	S	1	PD12OCT17	2871.00	3299.33	USD	-10,708.25	
12JUL17	B	1	PD12OCT17	2847.00	3299.33	USD	11,308.25	
12JUL17	B	1	PD12OCT17	2828.00	3299.33	USD	11,783.25	
12JUL17	B	1	PD12OCT17	2829.50	3299.33	USD	11,745.75	
12JUL17	B	1	PD12OCT17	2823.50	3299.33	USD	11,895.75	
12JUL17	B	2	PD12OCT17	2823.00	3299.33	USD	23,816.50	
13JUL17	B	3	PD12OCT17	2803.95	3299.33	USD	37,153.50	
	NET	0 AVG BGHT 2826.45	SOLD 2852.21				9,016.25	0.00
13JUL17	S	1	PD13OCT17	2822.50	3295.00	USD	-11,812.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD130CT17	2823.00	3295.00	USD	-11,800.00	
13JUL17	B	1	PD130CT17	2801.00	3295.00	USD	12,350.00	
13JUL17	B	1	PD130CT17	2805.50	3295.00	USD	12,237.50	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	B	1	PD130CT17	2770.00	3295.00	USD	13,125.00	
14JUL17	B	1	PD130CT17	2762.50	3295.00	USD	13,312.50	
14JUL17	B	2	PD130CT17	2764.00	3295.00	USD	26,550.00	
14JUL17	B	2	PD130CT17	2764.50	3295.00	USD	26,525.00	
14JUL17	B	2	PD130CT17	2767.00	3295.00	USD	26,400.00	
14JUL17	B	4	PD130CT17	2763.00	3295.00	USD	53,200.00	
14JUL17	S	1	PD130CT17	2788.50	3295.00	USD	-12,662.50	
14JUL17	S	1	PD130CT17	2794.00	3295.00	USD	-12,525.00	
14JUL17	S	1	PD130CT17	2795.00	3295.00	USD	-12,500.00	
14JUL17	S	2	PD130CT17	2790.50	3295.00	USD	-25,225.00	
14JUL17	S	1	PD130CT17	2786.00	3295.00	USD	-12,725.00	
18JUL17	S	1	PD130CT17	2793.05	3295.00	USD	-12,548.75	
18JUL17	S	6	PD130CT17	2792.85	3295.00	USD	-75,322.50	
	NET	0AVG BGHT 2769.80	SOLD 2796.01				9,828.75	0.00
17JUL17	S	1	PD170CT17	2814.00	3286.33	USD	-11,808.25	
17JUL17	S	1	PD170CT17	2814.50	3286.33	USD	-11,795.75	
17JUL17	S	1	PD170CT17	2815.50	3286.33	USD	-11,770.75	
17JUL17	S	1	PD170CT17	2816.50	3286.33	USD	-11,745.75	
17JUL17	S	1	PD170CT17	2835.50	3286.33	USD	-11,270.75	
17JUL17	S	1	PD170CT17	2838.50	3286.33	USD	-11,195.75	
17JUL17	S	1	PD170CT17	2839.00	3286.33	USD	-11,183.25	
17JUL17	B	1	PD170CT17	2821.50	3286.33	USD	11,620.75	
17JUL17	B	1	PD170CT17	2823.00	3286.33	USD	11,583.25	
17JUL17	B	2	PD170CT17	2822.00	3286.33	USD	23,216.50	
17JUL17	S	1	PD170CT17	2815.00	3286.33	USD	-11,783.25	
17JUL17	B	1	PD170CT17	2832.50	3286.33	USD	11,345.75	
18JUL17	B	3	PD170CT17	2794.01	3286.33	USD	36,924.00	
	NET	0AVG BGHT 2812.88	SOLD 2823.56				2,136.75	0.00
18JUL17	B	1	PD180CT17	2821.50	3282.00	USD	11,512.50	
18JUL17	B	1	PD180CT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	1	PD180CT17	2795.50	3282.00	USD	12,162.50	
18JUL17	B	1	PD180CT17	2778.50	3282.00	USD	12,587.50	
18JUL17	B	2	PD180CT17	2779.50	3282.00	USD	25,125.00	
18JUL17	B	1	PD180CT17	2780.00	3282.00	USD	12,550.00	
18JUL17	B	1	PD180CT17	2776.50	3282.00	USD	12,637.50	
18JUL17	B	1	PD180CT17	2777.00	3282.00	USD	12,625.00	
18JUL17	B	1	PD180CT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B	1	PD180CT17	2778.00	3282.00	USD	12,600.00	
18JUL17	B	2	PD180CT17	2776.00	3282.00	USD	25,300.00	
18JUL17	B	1	PD180CT17	2794.00	3282.00	USD	12,200.00	
18JUL17	S	10	PD180CT17	2778.86	3282.00	USD	-125,785.00	
18JUL17	S	4	PD180CT17	2793.80	3282.00	USD	-48,820.00	
	NET	0AVG BGHT 2784.46	SOLD 2783.13				-467.50	0.00
19JUL17	S	1	PD190CT17	2792.50	3277.50	USD	-12,125.00	
19JUL17	S	1	PD190CT17	2795.00	3277.50	USD	-12,062.50	
19JUL17	S	1	PD190CT17	2796.50	3277.50	USD	-12,025.00	
19JUL17	S	1	PD190CT17	2797.00	3277.50	USD	-12,012.50	
19JUL17	B	1	PD190CT17	2772.00	3277.50	USD	12,637.50	
19JUL17	B	1	PD190CT17	2773.00	3277.50	USD	12,612.50	
19JUL17	B	1	PD190CT17	2773.50	3277.50	USD	12,600.00	
19JUL17	B	1	PD190CT17	2766.00	3277.50	USD	12,787.50	
19JUL17	B	2	PD190CT17	2768.00	3277.50	USD	25,475.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	2770.50	3277.50	USD	12,675.00	
19JUL17	B	2	PD19OCT17	2774.00	3277.50	USD	25,175.00	
19JUL17	S	1	PD19OCT17	2773.50	3277.50	USD	-12,600.00	
19JUL17	B	1	PD19OCT17	2756.00	3277.50	USD	13,037.50	
19JUL17	B	1	PD19OCT17	2756.50	3277.50	USD	13,025.00	
19JUL17	B	1	PD19OCT17	2759.50	3277.50	USD	12,950.00	
19JUL17	B	1	PD19OCT17	2767.00	3277.50	USD	12,762.50	
19JUL17	B	1	PD19OCT17	2747.00	3277.50	USD	13,262.50	
19JUL17	S	1	PD19OCT17	2739.00	3277.50	USD	-13,462.50	
19JUL17	S	1	PD19OCT17	2740.00	3277.50	USD	-13,437.50	
21JUL17	S	5	PD19OCT17	2753.50	3277.50	USD	-65,500.00	
24JUL17	S	2	PD19OCT17	2787.50	3277.50	USD	-24,500.00	
	NET	0 AVG BGHT 2766.07	SOLD 2769.71				1,275.00	0.00
20JUL17	S	2	PD20OCT17	2735.50	3273.00	USD	-26,875.00	
20JUL17	S	1	PD20OCT17	2726.50	3273.00	USD	-13,662.50	
20JUL17	S	2	PD20OCT17	2725.50	3273.00	USD	-27,375.00	
21JUL17	S	1	PD20OCT17	2754.00	3273.00	USD	-12,975.00	
21JUL17	S	1	PD20OCT17	2756.50	3273.00	USD	-12,912.50	
21JUL17	S	1	PD20OCT17	2758.50	3273.00	USD	-12,862.50	
21JUL17	S	2	PD20OCT17	2766.00	3273.00	USD	-25,350.00	
21JUL17	S	1	PD20OCT17	2764.50	3273.00	USD	-12,712.50	
21JUL17	S	4	PD20OCT17	2765.50	3273.00	USD	-50,750.00	
21JUL17	S	2	PD20OCT17	2766.50	3273.00	USD	-25,325.00	
21JUL17	S	1	PD20OCT17	2763.00	3273.00	USD	-12,750.00	
21JUL17	S	3	PD20OCT17	2765.00	3273.00	USD	-38,100.00	
21JUL17	S	1	PD20OCT17	2762.00	3273.00	USD	-12,775.00	
21JUL17	B	1	PD20OCT17	2756.00	3273.00	USD	12,925.00	
21JUL17	B	1	PD20OCT17	2743.00	3273.00	USD	13,250.00	
21JUL17	B	1	PD20OCT17	2743.50	3273.00	USD	13,237.50	
21JUL17	B	1	PD20OCT17	2744.50	3273.00	USD	13,212.50	
21JUL17	B	1	PD20OCT17	2747.00	3273.00	USD	13,150.00	
21JUL17	B	1	PD20OCT17	2753.00	3273.00	USD	13,000.00	
21JUL17	B	1	PD20OCT17	2755.00	3273.00	USD	12,950.00	
21JUL17	B	1	PD20OCT17	2751.50	3273.00	USD	13,037.50	
21JUL17	B	1	PD20OCT17	2750.50	3273.00	USD	13,062.50	
21JUL17	B	1	PD20OCT17	2751.00	3273.00	USD	13,050.00	
21JUL17	B	4	PD20OCT17	2754.00	3273.00	USD	51,900.00	
21JUL17	B	5	PD20OCT17	2753.70	3273.00	USD	64,912.50	
21JUL17	B	1	PD20OCT17	2757.50	3273.00	USD	12,887.50	
24JUL17	B	2	PD20OCT17	2787.80	3273.00	USD	24,260.00	
	NET	0 AVG BGHT 2755.12	SOLD 2755.86				410.00	0.00
24JUL17	S	1	PD24OCT17	2776.50	3267.00	USD	-12,262.50	
24JUL17	S	3	PD24OCT17	2777.50	3267.00	USD	-36,712.50	
24JUL17	S	1	PD24OCT17	2779.50	3267.00	USD	-12,187.50	
24JUL17	S	2	PD24OCT17	2777.00	3267.00	USD	-24,500.00	
24JUL17	S	1	PD24OCT17	2778.00	3267.00	USD	-12,225.00	
24JUL17	B	2	PD24OCT17	2768.50	3267.00	USD	24,925.00	
24JUL17	B	1	PD24OCT17	2771.00	3267.00	USD	12,400.00	
24JUL17	B	1	PD24OCT17	2783.00	3267.00	USD	12,100.00	
24JUL17	S	1	PD24OCT17	2787.50	3267.00	USD	-11,987.50	
25JUL17	B	5	PD24OCT17	2836.03	3267.00	USD	53,871.25	
	NET	0 AVG BGHT 2807.91	SOLD 2778.67				-6,578.75	0.00
25JUL17	S	1	PD25OCT17	2804.50	3264.00	USD	-11,487.50	
25JUL17	S	1	PD25OCT17	2805.00	3264.00	USD	-11,475.00	
25JUL17	S	1	PD25OCT17	2812.50	3264.00	USD	-11,287.50	
25JUL17	S	1	PD25OCT17	2815.50	3264.00	USD	-11,212.50	

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25JUL17	S	1	PD250CT17	2820.00	3264.00	USD	-11,100.00	
25JUL17	S	1	PD250CT17	2821.00	3264.00	USD	-11,075.00	
25JUL17	S	1	PD250CT17	2826.50	3264.00	USD	-10,937.50	
25JUL17	S	1	PD250CT17	2827.00	3264.00	USD	-10,925.00	
25JUL17	S	5	PD250CT17	2828.00	3264.00	USD	-54,500.00	
25JUL17	S	1	PD250CT17	2828.50	3264.00	USD	-10,887.50	
25JUL17	S	1	PD250CT17	2832.50	3264.00	USD	-10,787.50	
25JUL17	S	1	PD250CT17	2833.00	3264.00	USD	-10,775.00	
25JUL17	S	3	PD250CT17	2833.50	3264.00	USD	-32,287.50	
25JUL17	S	5	PD250CT17	2831.00	3264.00	USD	-54,125.00	
25JUL17	S	1	PD250CT17	2831.50	3264.00	USD	-10,812.50	
25JUL17	B	1	PD250CT17	2827.50	3264.00	USD	10,912.50	
25JUL17	B	2	PD250CT17	2829.00	3264.00	USD	21,750.00	
25JUL17	B	1	PD250CT17	2826.00	3264.00	USD	10,950.00	
25JUL17	B	2	PD250CT17	2826.50	3264.00	USD	21,875.00	
25JUL17	B	2	PD250CT17	2839.50	3264.00	USD	21,225.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	3	PD250CT17	2839.00	3264.00	USD	31,875.00	
25JUL17	B	1	PD250CT17	2838.50	3264.00	USD	10,637.50	
25JUL17	B	10	PD250CT17	2836.20	3264.00	USD	106,950.00	
27JUL17	B	1	PD250CT17	2800.63	3264.00	USD	11,584.25	
	NET	0 AVG BGHT 2833.51	SOLD 2826.12				-4,615.75	0.00
26JUL17	B	2	PD260CT17	2817.00	3262.33	USD	22,266.50	
26JUL17	B	1	PD260CT17	2822.50	3262.33	USD	10,995.75	
26JUL17	B	1	PD260CT17	2824.00	3262.33	USD	10,958.25	
26JUL17	B	1	PD260CT17	2821.50	3262.33	USD	11,020.75	
26JUL17	B	2	PD260CT17	2818.00	3262.33	USD	22,216.50	
26JUL17	B	2	PD260CT17	2818.50	3262.33	USD	22,191.50	
26JUL17	B	1	PD260CT17	2819.00	3262.33	USD	11,083.25	
26JUL17	B	1	PD260CT17	2814.00	3262.33	USD	11,208.25	
26JUL17	B	1	PD260CT17	2813.00	3262.33	USD	11,233.25	
26JUL17	B	3	PD260CT17	2806.00	3262.33	USD	34,224.75	
26JUL17	B	1	PD260CT17	2808.50	3262.33	USD	11,345.75	
26JUL17	B	1	PD260CT17	2812.00	3262.33	USD	11,258.25	
26JUL17	B	2	PD260CT17	2807.50	3262.33	USD	22,741.50	
26JUL17	B	1	PD260CT17	2808.00	3262.33	USD	11,358.25	
26JUL17	B	2	PD260CT17	2807.00	3262.33	USD	22,766.50	
26JUL17	B	1	PD260CT17	2805.50	3262.33	USD	11,420.75	
26JUL17	B	1	PD260CT17	2805.00	3262.33	USD	11,433.25	
26JUL17	B	1	PD260CT17	2803.50	3262.33	USD	11,470.75	
26JUL17	S	10	PD260CT17	2807.80	3262.33	USD	-113,632.50	
26JUL17	B	1	PD260CT17	2802.00	3262.33	USD	11,508.25	
26JUL17	S	1	PD260CT17	2800.00	3262.33	USD	-11,558.25	
27JUL17	S	1	PD260CT17	2800.55	3262.33	USD	-11,544.50	
28JUL17	S	1	PD260CT17	2775.75	3262.33	USD	-12,164.50	
01AUG17	S	13	PD260CT17	2778.31	3262.33	USD	-157,306.50	
	NET	0 AVG BGHT 2812.02	SOLD 2791.24				-13,504.25	0.00
27JUL17	S	1	PD270CT17	2828.00	3260.67	USD	-10,816.75	
27JUL17	S	1	PD270CT17	2828.50	3260.67	USD	-10,804.25	
27JUL17	S	1	PD270CT17	2820.50	3260.67	USD	-11,004.25	
27JUL17	S	1	PD270CT17	2821.50	3260.67	USD	-10,979.25	
27JUL17	B	1	PD270CT17	2790.00	3260.67	USD	11,766.75	
27JUL17	B	1	PD270CT17	2791.00	3260.67	USD	11,741.75	
27JUL17	B	1	PD270CT17	2792.50	3260.67	USD	11,704.25	
28JUL17	B	2	PD270CT17	2780.00	3260.67	USD	24,033.50	
28JUL17	B	1	PD270CT17	2785.50	3260.67	USD	11,879.25	
28JUL17	B	1	PD270CT17	2772.00	3260.67	USD	12,216.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	2775.50	3260.67	USD	12,129.25	
28JUL17	B	1	PD27OCT17	2770.50	3260.67	USD	12,254.25	
28JUL17	B	1	PD27OCT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD27OCT17	2774.00	3260.67	USD	12,166.75	
28JUL17	B	1	PD27OCT17	2768.50	3260.67	USD	12,304.25	
28JUL17	B	2	PD27OCT17	2769.00	3260.67	USD	24,583.50	
28JUL17	B	2	PD27OCT17	2769.50	3260.67	USD	24,558.50	
28JUL17	B	2	PD27OCT17	2771.50	3260.67	USD	24,458.50	
28JUL17	B	3	PD27OCT17	2786.50	3260.67	USD	35,562.75	
28JUL17	B	2	PD27OCT17	2792.00	3260.67	USD	23,433.50	
28JUL17	B	1	PD27OCT17	2793.00	3260.67	USD	11,691.75	
28JUL17	B	1	PD27OCT17	2793.50	3260.67	USD	11,679.25	
28JUL17	B	1	PD27OCT17	2778.50	3260.67	USD	12,054.25	
28JUL17	B	1	PD27OCT17	2780.50	3260.67	USD	12,004.25	
28JUL17	S	1	PD27OCT17	2785.00	3260.67	USD	-11,891.75	
28JUL17	S	1	PD27OCT17	2787.00	3260.67	USD	-11,841.75	
28JUL17	S	1	PD27OCT17	2787.50	3260.67	USD	-11,829.25	
28JUL17	S	1	PD27OCT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	B	1	PD27OCT17	2784.50	3260.67	USD	11,904.25	
28JUL17	S	1	PD27OCT17	2783.50	3260.67	USD	-11,929.25	
28JUL17	S	1	PD27OCT17	2784.00	3260.67	USD	-11,916.75	
28JUL17	S	4	PD27OCT17	2783.00	3260.67	USD	-47,767.00	
28JUL17	S	1	PD27OCT17	2785.50	3260.67	USD	-11,879.25	
28JUL17	B	1	PD27OCT17	2776.20	3260.67	USD	12,111.75	
03AUG17	S	2	PD27OCT17	2790.25	3260.67	USD	-23,521.00	
04AUG17	S	8	PD27OCT17	2810.50	3260.67	USD	-90,034.00	
09AUG17	S	1	PD27OCT17	2929.75	3260.67	USD	-8,273.00	
17AUG17	S	3	PD27OCT17	3060.49	3260.67	USD	-15,013.50	
	NET	0 AVG BGHT 2780.09	SOLD 2831.21				37,063.00	0.00
31JUL17	S	1	PD31OCT17	2810.50	3257.33	USD	-11,170.75	
31JUL17	S	1	PD31OCT17	2812.00	3257.33	USD	-11,133.25	
31JUL17	B	2	PD31OCT17	2817.00	3257.33	USD	22,016.50	
31JUL17	B	1	PD31OCT17	2819.00	3257.33	USD	10,958.25	
31JUL17	B	1	PD31OCT17	2821.00	3257.33	USD	10,908.25	
31JUL17	S	1	PD31OCT17	2803.00	3257.33	USD	-11,358.25	
31JUL17	S	1	PD31OCT17	2812.50	3257.33	USD	-11,120.75	
31JUL17	S	1	PD31OCT17	2813.00	3257.33	USD	-11,108.25	
31JUL17	S	1	PD31OCT17	2822.50	3257.33	USD	-10,870.75	
31JUL17	S	1	PD31OCT17	2823.00	3257.33	USD	-10,858.25	
31JUL17	S	1	PD31OCT17	2826.00	3257.33	USD	-10,783.25	
31JUL17	S	1	PD31OCT17	2826.50	3257.33	USD	-10,770.75	
31JUL17	B	2	PD31OCT17	2818.50	3257.33	USD	21,941.50	
31JUL17	B	1	PD31OCT17	2812.00	3257.33	USD	11,133.25	
31JUL17	B	1	PD31OCT17	2815.00	3257.33	USD	11,058.25	
31JUL17	B	2	PD31OCT17	2815.50	3257.33	USD	22,091.50	
31JUL17	S	1	PD31OCT17	2807.50	3257.33	USD	-11,245.75	
31JUL17	S	1	PD31OCT17	2798.00	3257.33	USD	-11,483.25	
31JUL17	S	1	PD31OCT17	2800.50	3257.33	USD	-11,420.75	
31JUL17	S	1	PD31OCT17	2796.00	3257.33	USD	-11,533.25	
31JUL17	S	1	PD31OCT17	2794.00	3257.33	USD	-11,583.25	
31JUL17	B	1	PD31OCT17	2798.50	3257.33	USD	11,470.75	
31JUL17	S	3	PD31OCT17	2803.50	3257.33	USD	-34,037.25	
31JUL17	S	2	PD31OCT17	2825.50	3257.33	USD	-21,591.50	
31JUL17	B	2	PD31OCT17	2822.00	3257.33	USD	21,766.50	
31JUL17	B	2	PD31OCT17	2816.00	3257.33	USD	22,066.50	
31JUL17	S	2	PD31OCT17	2792.50	3257.33	USD	-23,241.50	
31JUL17	S	5	PD31OCT17	2798.50	3257.33	USD	-57,353.75	

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31JUL17	S	1	PD31OCT17	2811.50	3257.33	USD	-11,145.75	
31JUL17	S	2	PD31OCT17	2807.00	3257.33	USD	-22,516.50	
31JUL17	B	1	PD31OCT17	2794.50	3257.33	USD	11,570.75	
01AUG17	B	13	PD31OCT17	2779.92	3257.33	USD	155,158.25	
	NET	0 AVG BGHT 2799.21	SOLD 2807.22				5,813.50	0.00
01AUG17	B	1	PD01NOV17	2786.00	3255.67	USD	11,741.75	
01AUG17	B	1	PD01NOV17	2775.00	3255.67	USD	12,016.75	
01AUG17	S	1	PD01NOV17	2784.50	3255.67	USD	-11,779.25	
01AUG17	S	1	PD01NOV17	2786.00	3255.67	USD	-11,741.75	
01AUG17	S	1	PD01NOV17	2786.50	3255.67	USD	-11,729.25	
01AUG17	B	1	PD01NOV17	2782.50	3255.67	USD	11,829.25	
	NET	0 AVG BGHT 2781.17	SOLD 2785.67				337.50	0.00
02AUG17	B	2	PD02NOV17	2769.00	3254.00	USD	24,250.00	
02AUG17	B	1	PD02NOV17	2767.50	3254.00	USD	12,162.50	
02AUG17	B	2	PD02NOV17	2768.00	3254.00	USD	24,300.00	
02AUG17	S	1	PD02NOV17	2785.50	3254.00	USD	-11,712.50	
02AUG17	S	2	PD02NOV17	2801.50	3254.00	USD	-22,625.00	
02AUG17	S	1	PD02NOV17	2802.50	3254.00	USD	-11,287.50	
02AUG17	S	2	PD02NOV17	2804.00	3254.00	USD	-22,500.00	
02AUG17	S	1	PD02NOV17	2804.50	3254.00	USD	-11,237.50	
03AUG17	B	2	PD02NOV17	2791.95	3254.00	USD	23,102.50	
27SEP17	S	6	PD02NOV17	3113.00	3254.00	USD	-21,150.00	
02OCT17	S	2	PD02NOV17	3242.00	3254.00	USD	-600.00	
03OCT17	S	10	PD02NOV17	3241.35	3254.00	USD	-3,162.50	
03OCT17	S	20	PD02NOV17	3273.50	3254.00	USD	9,750.00	
10OCT17	B	6	PD02NOV17	3249.00	3254.00	USD	750.00	
	NET	-32 AVG BGHT 2993.80	SOLD 3169.98				-9,960.00	0.00
03AUG17	B	1	PD03NOV17	2807.00	3252.89	USD	11,147.25	
03AUG17	B	1	PD03NOV17	2817.50	3252.89	USD	10,884.75	
03AUG17	S	1	PD03NOV17	2815.50	3252.89	USD	-10,934.75	
03AUG17	S	1	PD03NOV17	2817.00	3252.89	USD	-10,897.25	
03AUG17	S	1	PD03NOV17	2818.00	3252.89	USD	-10,872.25	
03AUG17	S	1	PD03NOV17	2819.00	3252.89	USD	-10,847.25	
03AUG17	B	2	PD03NOV17	2819.00	3252.89	USD	21,694.50	
03AUG17	S	2	PD03NOV17	2818.50	3252.89	USD	-21,719.50	
03AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
03AUG17	S	1	PD03NOV17	2815.00	3252.89	USD	-10,947.25	
03AUG17	S	1	PD03NOV17	2803.00	3252.89	USD	-11,247.25	
03AUG17	S	2	PD03NOV17	2804.50	3252.89	USD	-22,419.50	
03AUG17	S	1	PD03NOV17	2805.00	3252.89	USD	-11,197.25	
03AUG17	S	1	PD03NOV17	2806.50	3252.89	USD	-11,159.75	
03AUG17	S	1	PD03NOV17	2807.00	3252.89	USD	-11,147.25	
03AUG17	B	1	PD03NOV17	2799.50	3252.89	USD	11,334.75	
03AUG17	B	1	PD03NOV17	2798.50	3252.89	USD	11,359.75	
03AUG17	B	1	PD03NOV17	2797.50	3252.89	USD	11,384.75	
03AUG17	B	1	PD03NOV17	2793.00	3252.89	USD	11,497.25	
03AUG17	B	1	PD03NOV17	2793.50	3252.89	USD	11,484.75	
03AUG17	S	1	PD03NOV17	2792.00	3252.89	USD	-11,522.25	
03AUG17	S	1	PD03NOV17	2792.50	3252.89	USD	-11,509.75	
03AUG17	S	1	PD03NOV17	2793.00	3252.89	USD	-11,497.25	
04AUG17	S	2	PD03NOV17	2805.50	3252.89	USD	-22,369.50	
04AUG17	S	1	PD03NOV17	2806.00	3252.89	USD	-11,172.25	
04AUG17	S	1	PD03NOV17	2808.00	3252.89	USD	-11,122.25	
04AUG17	S	1	PD03NOV17	2819.00	3252.89	USD	-10,847.25	
04AUG17	S	1	PD03NOV17	2825.50	3252.89	USD	-10,684.75	
04AUG17	S	1	PD03NOV17	2812.50	3252.89	USD	-11,009.75	

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04AUG17	B	1	PD03NOV17		2811.50	3252.89	USD	11,034.75	
04AUG17	B	1	PD03NOV17		2816.00	3252.89	USD	10,922.25	
04AUG17	B	1	PD03NOV17		2818.50	3252.89	USD	10,859.75	
04AUG17	B	1	PD03NOV17		2819.00	3252.89	USD	10,847.25	
04AUG17	B	6	PD03NOV17		2820.00	3252.89	USD	64,933.50	
04AUG17	B	1	PD03NOV17		2808.50	3252.89	USD	11,109.75	
04AUG17	B	1	PD03NOV17		2809.50	3252.89	USD	11,084.75	
04AUG17	B	1	PD03NOV17		2803.00	3252.89	USD	11,247.25	
04AUG17	S	1	PD03NOV17		2813.00	3252.89	USD	-10,997.25	
04AUG17	S	1	PD03NOV17		2816.00	3252.89	USD	-10,922.25	
04AUG17	B	8	PD03NOV17		2812.20	3252.89	USD	88,138.00	
04AUG17	B	1	PD03NOV17		2813.00	3252.89	USD	10,997.25	
04AUG17	B	2	PD03NOV17		2813.50	3252.89	USD	21,969.50	
04AUG17	S	1	PD03NOV17		2813.50	3252.89	USD	-10,984.75	
17AUG17	S	2	PD03NOV17		3060.69	3252.89	USD	-9,610.00	
23AUG17	S	3	PD03NOV17		3095.42	3252.89	USD	-11,810.25	
30AUG17	S	1	PD03NOV17		3092.40	3252.89	USD	-4,012.25	
	NET	0AVG BGHT 2811.76	SOLD 2859.80					39,636.00	0.00
07AUG17	S	1	PD07NOV17		2820.00	3250.67	USD	-10,766.75	
07AUG17	S	1	PD07NOV17		2871.50	3250.67	USD	-9,479.25	
07AUG17	B	1	PD07NOV17		2865.00	3250.67	USD	9,641.75	
07AUG17	B	1	PD07NOV17		2863.00	3250.67	USD	9,691.75	
07AUG17	B	1	PD07NOV17		2867.00	3250.67	USD	9,591.75	
07AUG17	B	1	PD07NOV17		2868.50	3250.67	USD	9,554.25	
07AUG17	B	2	PD07NOV17		2868.00	3250.67	USD	19,133.50	
07AUG17	B	8	PD07NOV17		2858.20	3250.67	USD	78,494.00	
29AUG17	S	5	PD07NOV17		3108.50	3250.67	USD	-17,771.25	
30AUG17	S	7	PD07NOV17		3093.00	3250.67	USD	-27,592.25	
	NET	0AVG BGHT 2861.79	SOLD 3063.21					70,497.50	0.00
08AUG17	S	2	PD08NOV17		2877.50	3249.56	USD	-18,603.00	
08AUG17	S	1	PD08NOV17		2879.00	3249.56	USD	-9,264.00	
08AUG17	S	2	PD08NOV17		2880.50	3249.56	USD	-18,453.00	
08AUG17	S	1	PD08NOV17		2881.00	3249.56	USD	-9,214.00	
08AUG17	S	1	PD08NOV17		2881.50	3249.56	USD	-9,201.50	
08AUG17	S	1	PD08NOV17		2904.50	3249.56	USD	-8,626.50	
08AUG17	S	1	PD08NOV17		2922.00	3249.56	USD	-8,189.00	
08AUG17	S	1	PD08NOV17		2923.00	3249.56	USD	-8,164.00	
08AUG17	S	1	PD08NOV17		2928.50	3249.56	USD	-8,026.50	
08AUG17	S	1	PD08NOV17		2929.00	3249.56	USD	-8,014.00	
08AUG17	S	1	PD08NOV17		2930.00	3249.56	USD	-7,989.00	
08AUG17	B	12	PD08NOV17		2925.20	3249.56	USD	97,308.00	
09AUG17	B	1	PD08NOV17		2931.51	3249.56	USD	7,951.25	
	NET	0AVG BGHT 2925.69	SOLD 2899.58					-8,485.25	0.00
09AUG17	S	1	PD09NOV17		2966.50	3248.44	USD	-7,048.50	
09AUG17	S	3	PD09NOV17		2976.50	3248.44	USD	-20,395.50	
09AUG17	S	1	PD09NOV17		2978.00	3248.44	USD	-6,761.00	
09AUG17	S	2	PD09NOV17		2979.50	3248.44	USD	-13,447.00	
09AUG17	S	1	PD09NOV17		2962.00	3248.44	USD	-7,161.00	
09AUG17	S	1	PD09NOV17		2963.00	3248.44	USD	-7,136.00	
09AUG17	S	1	PD09NOV17		2963.50	3248.44	USD	-7,123.50	
09AUG17	S	1	PD09NOV17		2968.00	3248.44	USD	-7,011.00	
09AUG17	B	1	PD09NOV17		2942.50	3248.44	USD	7,648.50	
09AUG17	B	20	PD09NOV17		2931.70	3248.44	USD	158,370.00	
30AUG17	S	2	PD09NOV17		3093.30	3248.44	USD	-7,757.00	
31AUG17	S	7	PD09NOV17		3144.30	3248.44	USD	-18,224.50	
05SEP17	S	1	PD09NOV17		3138.10	3248.44	USD	-2,758.50	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2932.21	SOLD	3048.78		61,195.00	0.00
11AUG17	B	1	PD10NOV17		2910.50	3247.33	USD	8,420.75	
11AUG17	B	1	PD10NOV17		2892.00	3247.33	USD	8,883.25	
11AUG17	B	1	PD10NOV17		2900.00	3247.33	USD	8,683.25	
11AUG17	S	1	PD10NOV17		2902.00	3247.33	USD	-8,633.25	
11AUG17	S	1	PD10NOV17		2900.00	3247.33	USD	-8,683.25	
05SEP17	S	1	PD10NOV17		3138.19	3247.33	USD	-2,728.50	
	NET	0 AVG	BGHT	2900.83	SOLD	2980.06		5,942.25	0.00
14AUG17	S	1	PD14NOV17		2919.00	3245.11	USD	-8,152.75	
14AUG17	S	2	PD14NOV17		2917.00	3245.11	USD	-16,405.50	
14AUG17	S	1	PD14NOV17		2918.00	3245.11	USD	-8,177.75	
14AUG17	B	1	PD14NOV17		2907.50	3245.11	USD	8,440.25	
14AUG17	B	1	PD14NOV17		2909.00	3245.11	USD	8,402.75	
14AUG17	B	1	PD14NOV17		2903.50	3245.11	USD	8,540.25	
14AUG17	B	1	PD14NOV17		2909.50	3245.11	USD	8,390.25	
14AUG17	B	1	PD14NOV17		2900.00	3245.11	USD	8,627.75	
14AUG17	B	1	PD14NOV17		2900.50	3245.11	USD	8,615.25	
14AUG17	B	2	PD14NOV17		2901.50	3245.11	USD	17,180.50	
14AUG17	B	1	PD14NOV17		2905.00	3245.11	USD	8,502.75	
14AUG17	B	1	PD14NOV17		2908.00	3245.11	USD	8,427.75	
14AUG17	B	1	PD14NOV17		2905.50	3245.11	USD	8,490.25	
14AUG17	B	1	PD14NOV17		2906.50	3245.11	USD	8,465.25	
14AUG17	B	1	PD14NOV17		2904.50	3245.11	USD	8,515.25	
14AUG17	B	2	PD14NOV17		2906.00	3245.11	USD	16,955.50	
14AUG17	S	2	PD14NOV17		2914.00	3245.11	USD	-16,555.50	
14AUG17	S	1	PD14NOV17		2914.50	3245.11	USD	-8,265.25	
14AUG17	S	1	PD14NOV17		2915.00	3245.11	USD	-8,252.75	
14AUG17	S	3	PD14NOV17		2915.50	3245.11	USD	-24,720.75	
14AUG17	S	1	PD14NOV17		2916.50	3245.11	USD	-8,215.25	
23AUG17	S	3	PD14NOV17		3096.68	3245.11	USD	-11,132.25	
30AUG17	B	10	PD14NOV17		3094.45	3245.11	USD	37,665.00	
12SEP17	S	10	PD14NOV17		3057.75	3245.11	USD	-46,840.00	
	NET	0 AVG	BGHT	2980.76	SOLD	2994.36		8,501.00	0.00
16AUG17	S	1	PD16NOV17		2979.00	3241.50	USD	-6,562.50	
16AUG17	S	2	PD16NOV17		2979.50	3241.50	USD	-13,100.00	
16AUG17	S	1	PD16NOV17		2980.50	3241.50	USD	-6,525.00	
16AUG17	S	1	PD16NOV17		2982.50	3241.50	USD	-6,475.00	
16AUG17	S	1	PD16NOV17		2984.00	3241.50	USD	-6,437.50	
16AUG17	S	1	PD16NOV17		2986.00	3241.50	USD	-6,387.50	
16AUG17	S	1	PD16NOV17		2990.00	3241.50	USD	-6,287.50	
16AUG17	S	1	PD16NOV17		2990.50	3241.50	USD	-6,275.00	
16AUG17	S	2	PD16NOV17		2987.00	3241.50	USD	-12,725.00	
16AUG17	S	1	PD16NOV17		2987.50	3241.50	USD	-6,350.00	
16AUG17	S	1	PD16NOV17		2989.00	3241.50	USD	-6,312.50	
16AUG17	S	3	PD16NOV17		2989.50	3241.50	USD	-18,900.00	
16AUG17	S	2	PD16NOV17		2994.00	3241.50	USD	-12,375.00	
16AUG17	S	1	PD16NOV17		3013.00	3241.50	USD	-5,712.50	
16AUG17	S	1	PD16NOV17		3012.00	3241.50	USD	-5,737.50	
16AUG17	S	1	PD16NOV17		3010.00	3241.50	USD	-5,787.50	
16AUG17	S	1	PD16NOV17		3016.50	3241.50	USD	-5,625.00	
16AUG17	S	1	PD16NOV17		3023.00	3241.50	USD	-5,462.50	
16AUG17	S	4	PD16NOV17		2981.00	3241.50	USD	-26,050.00	
16AUG17	S	3	PD16NOV17		3015.50	3241.50	USD	-16,950.00	
16AUG17	S	1	PD16NOV17		3025.00	3241.50	USD	-5,412.50	
16AUG17	S	1	PD16NOV17		3025.50	3241.50	USD	-5,400.00	
16AUG17	B	1	PD16NOV17		3088.00	3241.50	USD	3,837.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	3097.00	3241.50	USD	3,612.50	
16AUG17	B	1	PD16NOV17	3120.50	3241.50	USD	3,025.00	
16AUG17	B	2	PD16NOV17	3121.50	3241.50	USD	6,000.00	
16AUG17	S	1	PD16NOV17	3024.00	3241.50	USD	-5,437.50	
16AUG17	B	1	PD16NOV17	3106.50	3241.50	USD	3,375.00	
16AUG17	B	1	PD16NOV17	3110.50	3241.50	USD	3,275.00	
16AUG17	B	4	PD16NOV17	3108.50	3241.50	USD	13,300.00	
16AUG17	B	1	PD16NOV17	3109.50	3241.50	USD	3,300.00	
16AUG17	B	2	PD16NOV17	3110.00	3241.50	USD	6,575.00	
16AUG17	B	2	PD16NOV17	3107.50	3241.50	USD	6,700.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	B	1	PD16NOV17	3112.00	3241.50	USD	3,237.50	
16AUG17	B	1	PD16NOV17	3116.50	3241.50	USD	3,125.00	
16AUG17	B	2	PD16NOV17	3107.00	3241.50	USD	6,725.00	
16AUG17	B	1	PD16NOV17	3118.00	3241.50	USD	3,087.50	
16AUG17	B	2	PD16NOV17	3121.00	3241.50	USD	6,025.00	
16AUG17	B	5	PD16NOV17	3119.50	3241.50	USD	15,250.00	
16AUG17	B	10	PD16NOV17	3119.20	3241.50	USD	30,575.00	
21AUG17	S	2	PD16NOV17	3124.50	3241.50	USD	-5,850.00	
23AUG17	S	4	PD16NOV17	3094.80	3241.50	USD	-14,670.00	
	NET	0AVG	BGHT 3113.95	SOLD 3012.98			-98,445.00	0.00
17AUG17	S	1	PD17NOV17	3135.00	3239.00	USD	-2,600.00	
17AUG17	S	1	PD17NOV17	3141.50	3239.00	USD	-2,437.50	
17AUG17	B	2	PD17NOV17	3141.00	3239.00	USD	4,900.00	
17AUG17	S	3	PD17NOV17	3138.00	3239.00	USD	-7,575.00	
17AUG17	S	1	PD17NOV17	3104.00	3239.00	USD	-3,375.00	
17AUG17	S	1	PD17NOV17	3105.00	3239.00	USD	-3,350.00	
17AUG17	S	1	PD17NOV17	3103.00	3239.00	USD	-3,400.00	
17AUG17	B	1	PD17NOV17	3090.50	3239.00	USD	3,712.50	
17AUG17	B	1	PD17NOV17	3091.50	3239.00	USD	3,687.50	
17AUG17	S	1	PD17NOV17	3139.50	3239.00	USD	-2,487.50	
17AUG17	S	1	PD17NOV17	3089.50	3239.00	USD	-3,737.50	
17AUG17	B	1	PD17NOV17	3096.50	3239.00	USD	3,562.50	
17AUG17	B	1	PD17NOV17	3057.00	3239.00	USD	4,550.00	
17AUG17	B	1	PD17NOV17	3049.50	3239.00	USD	4,737.50	
17AUG17	B	1	PD17NOV17	3050.00	3239.00	USD	4,725.00	
17AUG17	B	1	PD17NOV17	3052.00	3239.00	USD	4,675.00	
17AUG17	B	1	PD17NOV17	3054.00	3239.00	USD	4,625.00	
18AUG17	S	1	PD17NOV17	3102.00	3239.00	USD	-3,425.00	
18AUG17	S	1	PD17NOV17	3095.00	3239.00	USD	-3,600.00	
18AUG17	B	1	PD17NOV17	3111.00	3239.00	USD	3,200.00	
18AUG17	S	1	PD17NOV17	3115.00	3239.00	USD	-3,100.00	
21AUG17	B	2	PD17NOV17	3124.20	3239.00	USD	5,740.00	
	NET	0AVG	BGHT 3090.95	SOLD 3118.73			9,027.50	0.00
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
22AUG17	S	1	PD22NOV17	3125.00	3236.00	USD	-2,775.00	
22AUG17	S	1	PD22NOV17	3127.00	3236.00	USD	-2,725.00	
22AUG17	B	1	PD22NOV17	3106.00	3236.00	USD	3,250.00	
22AUG17	B	1	PD22NOV17	3101.00	3236.00	USD	3,375.00	
22AUG17	B	1	PD22NOV17	3115.00	3236.00	USD	3,025.00	
22AUG17	B	2	PD22NOV17	3115.50	3236.00	USD	6,025.00	
22AUG17	B	1	PD22NOV17	3117.00	3236.00	USD	2,975.00	
22SEP17	S	3	PD22NOV17	3031.43	3236.00	USD	-15,342.75	
	NET	0AVG	BGHT 3111.67	SOLD 3077.72			-5,092.75	0.00
23AUG17	S	1	PD24NOV17	3118.00	3235.00	USD	-2,925.00	
23AUG17	S	1	PD24NOV17	3126.00	3235.00	USD	-2,725.00	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	3126.50	3235.00	USD	-2,712.50	
23AUG17	S	1	PD24NOV17	3143.00	3235.00	USD	-2,300.00	
23AUG17	S	2	PD24NOV17	3141.50	3235.00	USD	-4,675.00	
23AUG17	B	1	PD24NOV17	3115.00	3235.00	USD	3,000.00	
23AUG17	B	1	PD24NOV17	3090.00	3235.00	USD	3,625.00	
23AUG17	B	1	PD24NOV17	3094.00	3235.00	USD	3,525.00	
23AUG17	B	1	PD24NOV17	3095.50	3235.00	USD	3,487.50	
23AUG17	B	1	PD24NOV17	3097.00	3235.00	USD	3,450.00	
23AUG17	S	2	PD24NOV17	3100.00	3235.00	USD	-6,750.00	
23AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
23AUG17	B	1	PD24NOV17	3103.50	3235.00	USD	3,287.50	
23AUG17	B	1	PD24NOV17	3104.00	3235.00	USD	3,275.00	
23AUG17	B	1	PD24NOV17	3107.00	3235.00	USD	3,200.00	
23AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
24AUG17	S	1	PD24NOV17	3111.50	3235.00	USD	-3,087.50	
24AUG17	S	1	PD24NOV17	3113.50	3235.00	USD	-3,037.50	
24AUG17	B	1	PD24NOV17	3102.50	3235.00	USD	3,312.50	
24AUG17	B	1	PD24NOV17	3100.00	3235.00	USD	3,375.00	
24AUG17	S	1	PD24NOV17	3108.00	3235.00	USD	-3,175.00	
24AUG17	S	2	PD24NOV17	3112.00	3235.00	USD	-6,150.00	
24AUG17	S	1	PD24NOV17	3118.50	3235.00	USD	-2,912.50	
24AUG17	B	1	PD24NOV17	3107.00	3235.00	USD	3,200.00	
24AUG17	B	1	PD24NOV17	3113.00	3235.00	USD	3,050.00	
24AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
24AUG17	B	2	PD24NOV17	3106.50	3235.00	USD	6,425.00	
25AUG17	S	1	PD24NOV17	3128.00	3235.00	USD	-2,675.00	
25AUG17	B	1	PD24NOV17	3129.00	3235.00	USD	2,650.00	
25AUG17	S	1	PD24NOV17	3127.00	3235.00	USD	-2,700.00	
25AUG17	S	3	PD24NOV17	3129.50	3235.00	USD	-7,912.50	
25AUG17	S	1	PD24NOV17	3133.00	3235.00	USD	-2,550.00	
25AUG17	B	2	PD24NOV17	3106.50	3235.00	USD	6,425.00	
25AUG17	B	1	PD24NOV17	3096.00	3235.00	USD	3,475.00	
25AUG17	B	1	PD24NOV17	3076.00	3235.00	USD	3,975.00	
25AUG17	B	1	PD24NOV17	3064.50	3235.00	USD	4,262.50	
25AUG17	B	1	PD24NOV17	3056.50	3235.00	USD	4,462.50	
25AUG17	B	1	PD24NOV17	3058.50	3235.00	USD	4,412.50	
25AUG17	B	2	PD24NOV17	3060.00	3235.00	USD	8,750.00	
25AUG17	S	1	PD24NOV17	3063.50	3235.00	USD	-4,287.50	
25AUG17	S	1	PD24NOV17	3072.50	3235.00	USD	-4,062.50	
25AUG17	S	1	PD24NOV17	3066.00	3235.00	USD	-4,225.00	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
25AUG17	S	1	PD24NOV17	3066.50	3235.00	USD	-4,212.50	
25AUG17	S	1	PD24NOV17	3068.00	3235.00	USD	-4,175.00	
25AUG17	S	2	PD24NOV17	3065.00	3235.00	USD	-8,500.00	
25AUG17	S	1	PD24NOV17	3065.50	3235.00	USD	-4,237.50	
	NET	0AVG BGHT 3094.45	SOLD 3106.45				8,400.00	0.00
29AUG17	B	1	PD29NOV17	3102.50	3234.00	USD	3,287.50	
29AUG17	B	1	PD29NOV17	3097.50	3234.00	USD	3,412.50	
29AUG17	B	1	PD29NOV17	3095.50	3234.00	USD	3,462.50	
29AUG17	B	1	PD29NOV17	3091.00	3234.00	USD	3,575.00	
29AUG17	S	1	PD29NOV17	3118.50	3234.00	USD	-2,887.50	
29AUG17	B	1	PD29NOV17	3113.00	3234.00	USD	3,025.00	
29AUG17	B	1	PD29NOV17	3099.00	3234.00	USD	3,375.00	
29AUG17	S	5	PD29NOV17	3109.20	3234.00	USD	-15,600.00	
29AUG17	B	1	PD29NOV17	3111.00	3234.00	USD	3,075.00	
29AUG17	B	1	PD29NOV17	3112.00	3234.00	USD	3,050.00	
29AUG17	B	1	PD29NOV17	3111.50	3234.00	USD	3,062.50	

OPEN POSITION STATEMENT



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SAP0094A

11th October 2017

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29AUG17	B	3	PD29NOV17		3112.50	3234.00	USD	9,112.50	
15SEP17	S	6	PD29NOV17		3028.32	3234.00	USD	-30,852.00	
	NET	0AVG	BGHT 3105.88	SOLD 3069.54				-10,902.00	0.00
30AUG17	S	2	PD30NOV17		3126.00	3233.67	USD	-5,383.50	
30AUG17	S	1	PD30NOV17		3127.00	3233.67	USD	-2,666.75	
30AUG17	S	1	PD30NOV17		3133.50	3233.67	USD	-2,504.25	
30AUG17	S	1	PD30NOV17		3145.00	3233.67	USD	-2,216.75	
30AUG17	S	2	PD30NOV17		3146.00	3233.67	USD	-4,383.50	
30AUG17	B	2	PD30NOV17		3135.50	3233.67	USD	4,908.50	
30AUG17	B	2	PD30NOV17		3131.00	3233.67	USD	5,133.50	
30AUG17	B	1	PD30NOV17		3132.50	3233.67	USD	2,529.25	
30AUG17	B	2	PD30NOV17		3133.50	3233.67	USD	5,008.50	
30AUG17	B	1	PD30NOV17		3125.00	3233.67	USD	2,716.75	
30AUG17	B	1	PD30NOV17		3127.50	3233.67	USD	2,654.25	
30AUG17	B	1	PD30NOV17		3121.00	3233.67	USD	2,816.75	
30AUG17	B	1	PD30NOV17		3123.00	3233.67	USD	2,766.75	
30AUG17	S	1	PD30NOV17		3125.00	3233.67	USD	-2,716.75	
30AUG17	B	1	PD30NOV17		3098.50	3233.67	USD	3,379.25	
30AUG17	B	1	PD30NOV17		3103.00	3233.67	USD	3,266.75	
30AUG17	S	1	PD30NOV17		3097.50	3233.67	USD	-3,404.25	
30AUG17	S	1	PD30NOV17		3098.00	3233.67	USD	-3,391.75	
30AUG17	S	1	PD30NOV17		3099.00	3233.67	USD	-3,366.75	
30AUG17	B	1	PD30NOV17		3094.00	3233.67	USD	3,491.75	
30AUG17	S	1	PD30NOV17		3096.50	3233.67	USD	-3,429.25	
30AUG17	B	1	PD30NOV17		3095.50	3233.67	USD	3,454.25	
30AUG17	S	1	PD30NOV17		3091.50	3233.67	USD	-3,554.25	
31AUG17	B	1	PD30NOV17		3099.50	3233.67	USD	3,354.25	
31AUG17	B	1	PD30NOV17		3100.50	3233.67	USD	3,329.25	
31AUG17	B	1	PD30NOV17		3102.00	3233.67	USD	3,291.75	
31AUG17	B	1	PD30NOV17		3105.50	3233.67	USD	3,204.25	
31AUG17	S	1	PD30NOV17		3119.00	3233.67	USD	-2,866.75	
31AUG17	S	2	PD30NOV17		3125.50	3233.67	USD	-5,408.50	
31AUG17	B	1	PD30NOV17		3133.00	3233.67	USD	2,516.75	
31AUG17	S	2	PD30NOV17		3123.50	3233.67	USD	-5,508.50	
31AUG17	B	2	PD30NOV17		3127.00	3233.67	USD	5,333.50	
31AUG17	B	1	PD30NOV17		3126.00	3233.67	USD	2,691.75	
31AUG17	B	3	PD30NOV17		3128.00	3233.67	USD	7,925.25	
31AUG17	S	1	PD30NOV17		3126.50	3233.67	USD	-2,679.25	
31AUG17	S	1	PD30NOV17		3140.50	3233.67	USD	-2,329.25	
31AUG17	S	2	PD30NOV17		3141.00	3233.67	USD	-4,633.50	
31AUG17	S	1	PD30NOV17		3139.50	3233.67	USD	-2,354.25	
31AUG17	B	2	PD30NOV17		3127.50	3233.67	USD	5,308.50	
31AUG17	B	7	PD30NOV17		3146.20	3233.67	USD	15,307.25	
22SEP17	S	12	PD30NOV17		3030.70	3233.67	USD	-60,891.00	
	NET	0AVG	BGHT 3125.80	SOLD 3092.31				-29,300.00	0.00
01SEP17	S	1	PD01DEC17		3165.00	3233.33	USD	-1,708.25	
01SEP17	S	1	PD01DEC17		3168.50	3233.33	USD	-1,620.75	
01SEP17	B	1	PD01DEC17		3147.00	3233.33	USD	2,158.25	
01SEP17	B	1	PD01DEC17		3150.50	3233.33	USD	2,070.75	
01SEP17	B	1	PD01DEC17		3151.50	3233.33	USD	2,045.75	
01SEP17	B	1	PD01DEC17		3145.50	3233.33	USD	2,195.75	
01SEP17	B	1	PD01DEC17		3152.00	3233.33	USD	2,033.25	
01SEP17	S	1	PD01DEC17		3165.50	3233.33	USD	-1,695.75	
01SEP17	S	1	PD01DEC17		3167.00	3233.33	USD	-1,658.25	
01SEP17	S	1	PD01DEC17		3172.50	3233.33	USD	-1,520.75	
01SEP17	B	1	PD01DEC17		3178.00	3233.33	USD	1,383.25	
01SEP17	S	1	PD01DEC17		3175.00	3233.33	USD	-1,458.25	

OPEN POSITION STATEMENT



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SAP0094A

11th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	3183.00	3233.33	USD	-1,258.25	
01SEP17	S	1	PD01DEC17	3183.50	3233.33	USD	-1,245.75	
01SEP17	S	1	PD01DEC17	3182.00	3233.33	USD	-1,283.25	
01SEP17	S	1	PD01DEC17	3185.50	3233.33	USD	-1,195.75	
01SEP17	S	1	PD01DEC17	3186.50	3233.33	USD	-1,170.75	
01SEP17	S	1	PD01DEC17	3189.50	3233.33	USD	-1,095.75	
01SEP17	S	1	PD01DEC17	3196.00	3233.33	USD	-933.25	
01SEP17	B	1	PD01DEC17	3200.00	3233.33	USD	833.25	
01SEP17	S	1	PD01DEC17	3199.50	3233.33	USD	-845.75	
01SEP17	B	1	PD01DEC17	3204.50	3233.33	USD	720.75	
01SEP17	B	1	PD01DEC17	3205.00	3233.33	USD	708.25	
01SEP17	B	1	PD01DEC17	3209.50	3233.33	USD	595.75	
01SEP17	B	2	PD01DEC17	3210.00	3233.33	USD	1,166.50	
01SEP17	B	1	PD01DEC17	3211.00	3233.33	USD	558.25	
01SEP17	B	3	PD01DEC17	3208.50	3233.33	USD	1,862.25	
22SEP17	S	2	PD01DEC17	3030.86	3233.33	USD	-10,123.50	
	NET	0 AVG BGHT 3187.50	SOLD 3161.30				-10,482.00	0.00
04SEP17	S	1	PD04DEC17	3195.00	3233.00	USD	-950.00	
04SEP17	S	1	PD04DEC17	3196.50	3233.00	USD	-912.50	
04SEP17	S	1	PD04DEC17	3195.50	3233.00	USD	-937.50	
04SEP17	B	1	PD04DEC17	3194.00	3233.00	USD	975.00	
04SEP17	S	1	PD04DEC17	3200.00	3233.00	USD	-825.00	
04SEP17	S	2	PD04DEC17	3200.50	3233.00	USD	-1,625.00	
04SEP17	S	1	PD04DEC17	3201.50	3233.00	USD	-787.50	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
12SEP17	B	7	PD04DEC17	3059.51	3233.00	USD	30,360.75	
	NET	0 AVG BGHT 3076.32	SOLD 3198.44				24,423.25	0.00
05SEP17	S	1	PD05DEC17	3204.50	3232.67	USD	-704.25	
05SEP17	S	1	PD05DEC17	3206.00	3232.67	USD	-666.75	
05SEP17	S	1	PD05DEC17	3206.50	3232.67	USD	-654.25	
05SEP17	S	1	PD05DEC17	3201.00	3232.67	USD	-791.75	
05SEP17	S	1	PD05DEC17	3200.00	3232.67	USD	-816.75	
05SEP17	S	1	PD05DEC17	3198.00	3232.67	USD	-866.75	
05SEP17	S	2	PD05DEC17	3197.50	3232.67	USD	-1,758.50	
05SEP17	S	2	PD05DEC17	3203.00	3232.67	USD	-1,483.50	
05SEP17	S	1	PD05DEC17	3192.50	3232.67	USD	-1,004.25	
05SEP17	B	1	PD05DEC17	3131.50	3232.67	USD	2,529.25	
05SEP17	B	1	PD05DEC17	3142.50	3232.67	USD	2,254.25	
05SEP17	B	1	PD05DEC17	3143.50	3232.67	USD	2,229.25	
05SEP17	B	1	PD05DEC17	3140.00	3232.67	USD	2,316.75	
05SEP17	B	2	PD05DEC17	3139.00	3232.67	USD	4,683.50	
05SEP17	B	1	PD05DEC17	3133.00	3232.67	USD	2,491.75	
05SEP17	B	3	PD05DEC17	3133.50	3232.67	USD	7,437.75	
05SEP17	B	1	PD05DEC17	3112.50	3232.67	USD	3,004.25	
05SEP17	B	1	PD05DEC17	3115.50	3232.67	USD	2,929.25	
05SEP17	B	1	PD05DEC17	3105.50	3232.67	USD	3,179.25	
05SEP17	B	1	PD05DEC17	3108.50	3232.67	USD	3,104.25	
05SEP17	B	1	PD05DEC17	3095.50	3232.67	USD	3,429.25	
05SEP17	B	1	PD05DEC17	3096.50	3232.67	USD	3,404.25	
05SEP17	B	1	PD05DEC17	3101.50	3232.67	USD	3,279.25	
05SEP17	B	1	PD05DEC17	3102.00	3232.67	USD	3,266.75	
05SEP17	B	1	PD05DEC17	3103.00	3232.67	USD	3,241.75	
05SEP17	B	1	PD05DEC17	3106.00	3232.67	USD	3,166.75	
22SEP17	S	9	PD05DEC17	3031.48	3232.67	USD	-45,267.75	
	NET	0 AVG BGHT 3120.78	SOLD 3124.64				1,933.00	0.00
06SEP17	B	1	PD06DEC17	3119.50	3232.33	USD	2,820.75	

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06SEP17	B	1	PD06DEC17	3120.00	3232.33	USD	2,808.25	
06SEP17	S	6	PD06DEC17	3119.00	3232.33	USD	-16,999.50	
06SEP17	S	2	PD06DEC17	3120.00	3232.33	USD	-5,616.50	
06SEP17	S	2	PD06DEC17	3120.50	3232.33	USD	-5,591.50	
06SEP17	S	2	PD06DEC17	3123.50	3232.33	USD	-5,441.50	
06SEP17	B	1	PD06DEC17	3101.50	3232.33	USD	3,270.75	
06SEP17	S	1	PD06DEC17	3094.00	3232.33	USD	-3,458.25	
06SEP17	S	2	PD06DEC17	3104.50	3232.33	USD	-6,391.50	
06SEP17	B	1	PD06DEC17	3088.00	3232.33	USD	3,608.25	
06SEP17	B	1	PD06DEC17	3087.00	3232.33	USD	3,633.25	
06SEP17	B	1	PD06DEC17	3087.50	3232.33	USD	3,620.75	
06SEP17	B	1	PD06DEC17	3084.50	3232.33	USD	3,695.75	
06SEP17	B	1	PD06DEC17	3103.50	3232.33	USD	3,220.75	
06SEP17	B	1	PD06DEC17	3104.50	3232.33	USD	3,195.75	
06SEP17	B	1	PD06DEC17	3107.00	3232.33	USD	3,133.25	
06SEP17	S	1	PD06DEC17	3106.00	3232.33	USD	-3,158.25	
06SEP17	B	3	PD06DEC17	3106.50	3232.33	USD	9,437.25	
12SEP17	B	3	PD06DEC17	3059.93	3232.33	USD	12,930.00	
	NET	0 AVG BGHT 3093.89	SOLD 3115.69				8,717.75	0.00
07SEP17	B	1	PD07DEC17	3076.00	3232.00	USD	3,900.00	
07SEP17	B	2	PD07DEC17	3079.00	3232.00	USD	7,650.00	
07SEP17	B	2	PD07DEC17	3081.00	3232.00	USD	7,550.00	
07SEP17	B	2	PD07DEC17	3084.50	3232.00	USD	7,375.00	
07SEP17	S	1	PD07DEC17	3091.00	3232.00	USD	-3,525.00	
07SEP17	S	1	PD07DEC17	3142.50	3232.00	USD	-2,237.50	
07SEP17	S	1	PD07DEC17	3137.00	3232.00	USD	-2,375.00	
07SEP17	S	1	PD07DEC17	3139.50	3232.00	USD	-2,312.50	
22SEP17	S	3	PD07DEC17	3031.79	3232.00	USD	-15,015.75	
	NET	0 AVG BGHT 3080.71	SOLD 3086.48				1,009.25	0.00
11SEP17	S	1	PD11DEC17	3063.00	3231.33	USD	-4,208.25	
11SEP17	S	1	PD11DEC17	3063.50	3231.33	USD	-4,195.75	
11SEP17	S	1	PD11DEC17	3104.50	3231.33	USD	-3,170.75	
11SEP17	S	1	PD11DEC17	3092.00	3231.33	USD	-3,483.25	
11SEP17	S	1	PD11DEC17	3093.50	3231.33	USD	-3,445.75	
11SEP17	S	1	PD11DEC17	3094.50	3231.33	USD	-3,420.75	
11SEP17	S	1	PD11DEC17	3095.00	3231.33	USD	-3,408.25	
11SEP17	S	2	PD11DEC17	3062.50	3231.33	USD	-8,441.50	
11SEP17	B	1	PD11DEC17	3050.00	3231.33	USD	4,533.25	
11SEP17	B	1	PD11DEC17	3053.50	3231.33	USD	4,445.75	
22SEP17	B	7	PD11DEC17	3032.61	3231.33	USD	34,776.00	
	NET	0 AVG BGHT 3036.86	SOLD 3081.22				9,980.75	0.00
12SEP17	S	1	PD12DEC17	3083.50	3231.00	USD	-3,687.50	
12SEP17	S	1	PD12DEC17	3084.00	3231.00	USD	-3,675.00	
12SEP17	B	1	PD12DEC17	3063.50	3231.00	USD	4,187.50	
12SEP17	B	1	PD12DEC17	3064.00	3231.00	USD	4,175.00	
12SEP17	B	3	PD12DEC17	3064.50	3231.00	USD	12,487.50	
22SEP17	S	3	PD12DEC17	3032.56	3231.00	USD	-14,883.00	
	NET	0 AVG BGHT 3064.20	SOLD 3053.04				-1,395.50	0.00
13SEP17	B	2	PD13DEC17	3022.00	3230.67	USD	10,433.50	
13SEP17	B	1	PD13DEC17	3023.00	3230.67	USD	5,191.75	
13SEP17	B	2	PD13DEC17	3025.00	3230.67	USD	10,283.50	
13SEP17	B	1	PD13DEC17	3029.50	3230.67	USD	5,029.25	
13SEP17	B	1	PD13DEC17	3031.00	3230.67	USD	4,991.75	
22SEP17	S	7	PD13DEC17	3032.72	3230.67	USD	-34,641.25	
	NET	0 AVG BGHT 3025.36	SOLD 3032.72				1,288.50	0.00
14SEP17	B	1	PD14DEC17	3001.50	3230.33	USD	5,720.75	

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14SEP17	B	1	PD14DEC17	3002.00	3230.33	USD	5,708.25	
14SEP17	B	1	PD14DEC17	3015.50	3230.33	USD	5,370.75	
14SEP17	B	1	PD14DEC17	2989.00	3230.33	USD	6,033.25	
14SEP17	B	1	PD14DEC17	2999.00	3230.33	USD	5,783.25	
14SEP17	B	1	PD14DEC17	3000.00	3230.33	USD	5,758.25	
14SEP17	B	1	PD14DEC17	2995.50	3230.33	USD	5,870.75	
14SEP17	B	1	PD14DEC17	2996.50	3230.33	USD	5,845.75	
14SEP17	B	1	PD14DEC17	2989.50	3230.33	USD	6,020.75	
14SEP17	B	1	PD14DEC17	2990.50	3230.33	USD	5,995.75	
14SEP17	B	1	PD14DEC17	2992.00	3230.33	USD	5,958.25	
14SEP17	B	1	PD14DEC17	2992.50	3230.33	USD	5,945.75	
14SEP17	B	1	PD14DEC17	2993.00	3230.33	USD	5,933.25	
14SEP17	B	3	PD14DEC17	2994.00	3230.33	USD	17,724.75	
14SEP17	B	1	PD14DEC17	2994.50	3230.33	USD	5,895.75	
14SEP17	B	1	PD14DEC17	2988.00	3230.33	USD	6,058.25	
14SEP17	B	1	PD14DEC17	2981.50	3230.33	USD	6,220.75	
14SEP17	B	1	PD14DEC17	2982.00	3230.33	USD	6,208.25	
14SEP17	B	2	PD14DEC17	2980.50	3230.33	USD	12,491.50	
14SEP17	S	1	PD14DEC17	3012.50	3230.33	USD	-5,445.75	
22SEP17	S	21	PD14DEC17	3033.07	3230.33	USD	-103,561.50	
	NET	0AVG	BGHT 2992.98	SOLD 3032.14			21,536.75	0.00
15SEP17	S	2	PD15DEC17	3012.50	3230.00	USD	-10,875.00	
15SEP17	S	1	PD15DEC17	3013.00	3230.00	USD	-5,425.00	
15SEP17	S	1	PD15DEC17	3013.50	3230.00	USD	-5,412.50	
15SEP17	S	1	PD15DEC17	3015.00	3230.00	USD	-5,375.00	
15SEP17	S	1	PD15DEC17	3017.50	3230.00	USD	-5,312.50	
15SEP17	S	1	PD15DEC17	3027.50	3230.00	USD	-5,062.50	
15SEP17	S	1	PD15DEC17	3027.00	3230.00	USD	-5,075.00	
15SEP17	S	1	PD15DEC17	3018.50	3230.00	USD	-5,287.50	
15SEP17	S	1	PD15DEC17	3036.50	3230.00	USD	-4,837.50	
15SEP17	S	1	PD15DEC17	3039.00	3230.00	USD	-4,775.00	
15SEP17	S	2	PD15DEC17	3039.50	3230.00	USD	-9,525.00	
15SEP17	S	1	PD15DEC17	3041.00	3230.00	USD	-4,725.00	
15SEP17	S	1	PD15DEC17	3045.50	3230.00	USD	-4,612.50	
15SEP17	S	2	PD15DEC17	3029.50	3230.00	USD	-10,025.00	
15SEP17	S	1	PD15DEC17	3030.50	3230.00	USD	-4,987.50	
15SEP17	S	3	PD15DEC17	3031.00	3230.00	USD	-14,925.00	
22SEP17	B	21	PD15DEC17	3033.43	3230.00	USD	103,199.25	
	NET	0AVG	BGHT 3033.43	SOLD 3027.64			-3,038.25	0.00
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	
18SEP17	B	1	PD18DEC17	3068.00	3229.67	USD	4,041.75	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	1	PD18DEC17	3084.50	3229.67	USD	-3,629.25	
18SEP17	S	1	PD18DEC17	3084.00	3229.67	USD	-3,641.75	
18SEP17	S	1	PD18DEC17	3088.00	3229.67	USD	-3,541.75	
18SEP17	S	2	PD18DEC17	3085.00	3229.67	USD	-7,233.50	
18SEP17	S	1	PD18DEC17	3091.50	3229.67	USD	-3,454.25	
18SEP17	S	2	PD18DEC17	3086.00	3229.67	USD	-7,183.50	
18SEP17	S	1	PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	B	1	PD18DEC17	3065.50	3229.67	USD	4,104.25	
18SEP17	B	2	PD18DEC17	3063.50	3229.67	USD	8,308.50	
18SEP17	B	1	PD18DEC17	3066.00	3229.67	USD	4,091.75	
18SEP17	S	1	PD18DEC17	3082.50	3229.67	USD	-3,679.25	
18SEP17	S	2	PD18DEC17	3092.50	3229.67	USD	-6,858.50	
18SEP17	S	1	PD18DEC17	3093.50	3229.67	USD	-3,404.25	
18SEP17	S	1	PD18DEC17	3098.00	3229.67	USD	-3,291.75	
18SEP17	S	1	PD18DEC17	3098.50	3229.67	USD	-3,279.25	

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18SEP17	B	1	PD18DEC17	3104.00	3229.67	USD	3,141.75	
18SEP17	B	1	PD18DEC17	3106.00	3229.67	USD	3,091.75	
18SEP17	S	1	PD18DEC17	3110.50	3229.67	USD	-2,979.25	
18SEP17	B	1	PD18DEC17	3110.50	3229.67	USD	2,979.25	
18SEP17	B	1	PD18DEC17	3111.00	3229.67	USD	2,966.75	
18SEP17	B	2	PD18DEC17	3112.00	3229.67	USD	5,883.50	
22SEP17	B	7	PD18DEC17	3033.69	3229.67	USD	34,296.50	
	NET	0 AVG BGHT 3067.66	SOLD 3088.81				9,516.75	0.00
19SEP17	B	1	PD19DEC17	3098.50	3229.33	USD	3,270.75	
19SEP17	S	1	PD19DEC17	3096.00	3229.33	USD	-3,333.25	
19SEP17	B	2	PD19DEC17	3095.50	3229.33	USD	6,691.50	
19SEP17	B	2	PD19DEC17	3096.00	3229.33	USD	6,666.50	
19SEP17	S	1	PD19DEC17	3095.50	3229.33	USD	-3,345.75	
19SEP17	S	1	PD19DEC17	3104.00	3229.33	USD	-3,133.25	
19SEP17	B	1	PD19DEC17	3111.00	3229.33	USD	2,958.25	
22SEP17	S	3	PD19DEC17	3033.65	3229.33	USD	-14,676.00	
	NET	0 AVG BGHT 3098.75	SOLD 3066.08				-4,901.25	0.00
20SEP17	B	1	PD20DEC17	3101.50	3229.00	USD	3,187.50	
20SEP17	B	1	PD20DEC17	3104.00	3229.00	USD	3,125.00	
20SEP17	B	2	PD20DEC17	3123.50	3229.00	USD	5,275.00	
20SEP17	S	1	PD20DEC17	3127.50	3229.00	USD	-2,537.50	
20SEP17	B	1	PD20DEC17	3100.50	3229.00	USD	3,212.50	
20SEP17	B	1	PD20DEC17	3136.00	3229.00	USD	2,325.00	
20SEP17	B	1	PD20DEC17	3119.50	3229.00	USD	2,737.50	
20SEP17	B	1	PD20DEC17	3130.50	3229.00	USD	2,462.50	
22SEP17	S	7	PD20DEC17	3033.80	3229.00	USD	-34,160.00	
	NET	0 AVG BGHT 3117.38	SOLD 3045.51				-14,372.50	0.00
21SEP17	B	1	PD21DEC17	3097.00	3225.00	USD	3,200.00	
21SEP17	B	1	PD21DEC17	3097.50	3225.00	USD	3,187.50	
21SEP17	B	2	PD21DEC17	3098.00	3225.00	USD	6,350.00	
21SEP17	B	1	PD21DEC17	3098.50	3225.00	USD	3,162.50	
21SEP17	S	3	PD21DEC17	3098.00	3225.00	USD	-9,525.00	
21SEP17	B	1	PD21DEC17	3066.50	3225.00	USD	3,962.50	
21SEP17	B	1	PD21DEC17	3069.50	3225.00	USD	3,887.50	
21SEP17	B	1	PD21DEC17	3079.50	3225.00	USD	3,637.50	
21SEP17	B	1	PD21DEC17	3081.50	3225.00	USD	3,587.50	
21SEP17	B	1	PD21DEC17	3070.00	3225.00	USD	3,875.00	
21SEP17	B	1	PD21DEC17	3072.50	3225.00	USD	3,812.50	
21SEP17	B	1	PD21DEC17	3073.00	3225.00	USD	3,800.00	
21SEP17	B	2	PD21DEC17	3076.00	3225.00	USD	7,450.00	
21SEP17	B	1	PD21DEC17	3068.50	3225.00	USD	3,912.50	
21SEP17	B	1	PD21DEC17	3084.50	3225.00	USD	3,512.50	
21SEP17	B	1	PD21DEC17	3029.50	3225.00	USD	4,887.50	
21SEP17	B	1	PD21DEC17	3037.50	3225.00	USD	4,687.50	
21SEP17	S	1	PD21DEC17	3044.00	3225.00	USD	-4,525.00	
21SEP17	S	1	PD21DEC17	3043.50	3225.00	USD	-4,537.50	
22SEP17	S	11	PD21DEC17	3032.50	3225.00	USD	-52,937.50	
22SEP17	S	2	PD21DEC17	3032.30	3225.00	USD	-9,635.00	
	NET	0 AVG BGHT 3076.31	SOLD 3044.64				-14,247.50	0.00
22SEP17	S	1	PD22DEC17	3029.50	3224.50	USD	-4,875.00	
22SEP17	B	1	PD22DEC17	3004.00	3224.50	USD	5,512.50	
22SEP17	B	1	PD22DEC17	3008.00	3224.50	USD	5,412.50	
22SEP17	S	1	PD22DEC17	3031.00	3224.50	USD	-4,837.50	
22SEP17	S	1	PD22DEC17	3031.50	3224.50	USD	-4,825.00	
22SEP17	S	1	PD22DEC17	3033.00	3224.50	USD	-4,787.50	
22SEP17	S	1	PD22DEC17	3034.00	3224.50	USD	-4,762.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22SEP17	S	1	PD22DEC17		3034.50	3224.50	USD	-4,750.00	
22SEP17	S	2	PD22DEC17		3036.00	3224.50	USD	-9,425.00	
22SEP17	S	1	PD22DEC17		3037.00	3224.50	USD	-4,687.50	
22SEP17	S	1	PD22DEC17		3039.50	3224.50	USD	-4,625.00	
22SEP17	S	1	PD22DEC17		3055.00	3224.50	USD	-4,237.50	
22SEP17	B	11	PD22DEC17		3031.20	3224.50	USD	53,157.50	
22SEP17	B	1	PD22DEC17		3063.50	3224.50	USD	4,025.00	
22SEP17	B	1	PD22DEC17		3064.00	3224.50	USD	4,012.50	
10OCT17	S	4	PD22DEC17		3284.45	3224.50	USD	5,995.00	
	NET	0AVG	BGHT 3032.18	SOLD 3102.32				26,302.50	0.00
25SEP17	S	1	PD27DEC17		3074.00	3224.00	USD	-3,750.00	
25SEP17	S	1	PD27DEC17		3073.50	3224.00	USD	-3,762.50	
25SEP17	S	1	PD27DEC17		3084.00	3224.00	USD	-3,500.00	
25SEP17	S	1	PD27DEC17		3084.50	3224.00	USD	-3,487.50	
25SEP17	S	1	PD27DEC17		3085.00	3224.00	USD	-3,475.00	
25SEP17	S	1	PD27DEC17		3082.50	3224.00	USD	-3,537.50	
25SEP17	B	1	PD27DEC17		3095.50	3224.00	USD	3,212.50	
25SEP17	B	1	PD27DEC17		3078.00	3224.00	USD	3,650.00	
25SEP17	B	2	PD27DEC17		3083.00	3224.00	USD	7,050.00	
25SEP17	B	1	PD27DEC17		3088.00	3224.00	USD	3,400.00	
25SEP17	S	1	PD27DEC17		3093.00	3224.00	USD	-3,275.00	
25SEP17	B	1	PD27DEC17		3097.50	3224.00	USD	3,162.50	
25SEP17	B	2	PD27DEC17		3098.39	3224.00	USD	6,280.50	
25SEP17	B	2	PD27DEC17		3101.39	3224.00	USD	6,130.50	
26SEP17	S	1	PD27DEC17		3121.00	3224.00	USD	-2,575.00	
26SEP17	S	1	PD27DEC17		3125.00	3224.00	USD	-2,475.00	
26SEP17	S	1	PD27DEC17		3127.50	3224.00	USD	-2,412.50	
26SEP17	S	1	PD27DEC17		3138.00	3224.00	USD	-2,150.00	
26SEP17	S	2	PD27DEC17		3139.00	3224.00	USD	-4,250.00	
26SEP17	S	1	PD27DEC17		3133.00	3224.00	USD	-2,275.00	
26SEP17	S	1	PD27DEC17		3134.00	3224.00	USD	-2,250.00	
26SEP17	S	1	PD27DEC17		3135.50	3224.00	USD	-2,212.50	
26SEP17	S	1	PD27DEC17		3148.50	3224.00	USD	-1,887.50	
26SEP17	S	1	PD27DEC17		3149.50	3224.00	USD	-1,862.50	
26SEP17	B	1	PD27DEC17		3117.50	3224.00	USD	2,662.50	
26SEP17	B	2	PD27DEC17		3119.00	3224.00	USD	5,250.00	
26SEP17	B	1	PD27DEC17		3119.50	3224.00	USD	2,612.50	
26SEP17	B	2	PD27DEC17		3120.00	3224.00	USD	5,200.00	
26SEP17	B	2	PD27DEC17		3121.50	3224.00	USD	5,125.00	
26SEP17	B	1	PD27DEC17		3122.00	3224.00	USD	2,550.00	
26SEP17	B	1	PD27DEC17		3121.00	3224.00	USD	2,575.00	
26SEP17	B	2	PD27DEC17		3112.00	3224.00	USD	5,600.00	
26SEP17	B	1	PD27DEC17		3112.50	3224.00	USD	2,787.50	
26SEP17	B	2	PD27DEC17		3113.50	3224.00	USD	5,525.00	
26SEP17	B	1	PD27DEC17		3114.50	3224.00	USD	2,737.50	
26SEP17	B	5	PD27DEC17		3115.50	3224.00	USD	13,562.50	
26SEP17	B	5	PD27DEC17		3116.00	3224.00	USD	13,500.00	
26SEP17	B	3	PD27DEC17		3116.50	3224.00	USD	8,062.50	
26SEP17	B	1	PD27DEC17		3107.00	3224.00	USD	2,925.00	
26SEP17	B	2	PD27DEC17		3107.50	3224.00	USD	5,825.00	
26SEP17	B	3	PD27DEC17		3110.50	3224.00	USD	8,512.50	
26SEP17	B	1	PD27DEC17		3108.00	3224.00	USD	2,900.00	
26SEP17	B	2	PD27DEC17		3118.00	3224.00	USD	5,300.00	
26SEP17	B	2	PD27DEC17		3106.00	3224.00	USD	5,900.00	
26SEP17	B	1	PD27DEC17		3115.00	3224.00	USD	2,725.00	
26SEP17	S	15	PD27DEC17		3094.81	3224.00	USD	-48,446.25	
26SEP17	S	2	PD27DEC17		3109.00	3224.00	USD	-5,750.00	

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26SEP17	S	2	PD27DEC17	3110.00	3224.00	USD	-5,700.00	
26SEP17	S	1	PD27DEC17	3111.50	3224.00	USD	-2,812.50	
26SEP17	S	1	PD27DEC17	3112.00	3224.00	USD	-2,800.00	
26SEP17	S	1	PD27DEC17	3112.50	3224.00	USD	-2,787.50	
26SEP17	S	2	PD27DEC17	3109.50	3224.00	USD	-5,725.00	
26SEP17	S	3	PD27DEC17	3110.50	3224.00	USD	-8,512.50	
26SEP17	S	3	PD27DEC17	3111.00	3224.00	USD	-8,475.00	
26SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
27SEP17	S	1	PD27DEC17	3131.50	3224.00	USD	-2,312.50	
27SEP17	S	1	PD27DEC17	3138.00	3224.00	USD	-2,150.00	
27SEP17	S	1	PD27DEC17	3139.00	3224.00	USD	-2,125.00	
27SEP17	S	1	PD27DEC17	3139.50	3224.00	USD	-2,112.50	
27SEP17	S	3	PD27DEC17	3140.00	3224.00	USD	-6,300.00	
27SEP17	S	1	PD27DEC17	3140.50	3224.00	USD	-2,087.50	
27SEP17	S	1	PD27DEC17	3141.00	3224.00	USD	-2,075.00	
27SEP17	S	1	PD27DEC17	3141.50	3224.00	USD	-2,062.50	
27SEP17	S	3	PD27DEC17	3142.00	3224.00	USD	-6,150.00	
27SEP17	S	3	PD27DEC17	3142.50	3224.00	USD	-6,112.50	
27SEP17	S	2	PD27DEC17	3143.00	3224.00	USD	-4,050.00	
27SEP17	S	3	PD27DEC17	3143.50	3224.00	USD	-6,037.50	
27SEP17	S	1	PD27DEC17	3144.00	3224.00	USD	-2,000.00	
27SEP17	S	2	PD27DEC17	3145.00	3224.00	USD	-3,950.00	
27SEP17	S	1	PD27DEC17	3146.00	3224.00	USD	-1,950.00	
27SEP17	S	1	PD27DEC17	3147.50	3224.00	USD	-1,912.50	
27SEP17	S	1	PD27DEC17	3115.50	3224.00	USD	-2,712.50	
27SEP17	B	1	PD27DEC17	3125.00	3224.00	USD	2,475.00	
27SEP17	B	2	PD27DEC17	3126.50	3224.00	USD	4,875.00	
27SEP17	B	2	PD27DEC17	3126.00	3224.00	USD	4,900.00	
27SEP17	B	1	PD27DEC17	3128.50	3224.00	USD	2,387.50	
27SEP17	B	1	PD27DEC17	3127.50	3224.00	USD	2,412.50	
27SEP17	B	1	PD27DEC17	3133.00	3224.00	USD	2,275.00	
27SEP17	B	1	PD27DEC17	3134.00	3224.00	USD	2,250.00	
27SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
27SEP17	B	2	PD27DEC17	3105.00	3224.00	USD	5,950.00	
27SEP17	B	1	PD27DEC17	3108.00	3224.00	USD	2,900.00	
27SEP17	B	1	PD27DEC17	3116.50	3224.00	USD	2,687.50	
27SEP17	B	1	PD27DEC17	3117.50	3224.00	USD	2,662.50	
27SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
27SEP17	B	1	PD27DEC17	3102.00	3224.00	USD	3,050.00	
27SEP17	B	12	PD27DEC17	3105.20	3224.00	USD	35,640.00	
27SEP17	B	1	PD27DEC17	3095.50	3224.00	USD	3,212.50	
10OCT17	S	5	PD27DEC17	3283.91	3224.00	USD	7,488.75	
	NET	0AVG BGHT 3111.28	SOLD 3129.42				36,731.00	0.00
28SEP17	S	1	PD28DEC17	3105.00	3223.50	USD	-2,962.50	
28SEP17	S	1	PD28DEC17	3142.50	3223.50	USD	-2,025.00	
28SEP17	B	1	PD28DEC17	3153.50	3223.50	USD	1,750.00	
10OCT17	B	1	PD28DEC17	3283.36	3223.50	USD	-1,496.50	
	NET	0AVG BGHT 3218.43	SOLD 3123.75				-4,734.00	0.00
29SEP17	B	1	PD29DEC17	3148.50	3223.00	USD	1,862.50	
29SEP17	S	1	PD29DEC17	3144.00	3223.00	USD	-1,975.00	
29SEP17	S	1	PD29DEC17	3146.00	3223.00	USD	-1,925.00	
29SEP17	B	2	PD29DEC17	3145.50	3223.00	USD	3,875.00	
29SEP17	B	1	PD29DEC17	3149.00	3223.00	USD	1,850.00	
29SEP17	B	1	PD29DEC17	3150.50	3223.00	USD	1,812.50	
29SEP17	B	1	PD29DEC17	3150.00	3223.00	USD	1,825.00	
29SEP17	B	1	PD29DEC17	3151.50	3223.00	USD	1,787.50	
29SEP17	S	1	PD29DEC17	3168.00	3223.00	USD	-1,375.00	

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29SEP17	S	1	PD29DEC17		3171.00	3223.00	USD	-1,300.00	
29SEP17	B	3	PD29DEC17		3151.00	3223.00	USD	5,400.00	
29SEP17	B	2	PD29DEC17		3147.00	3223.00	USD	3,800.00	
29SEP17	S	2	PD29DEC17		3163.00	3223.00	USD	-3,000.00	
29SEP17	S	1	PD29DEC17		3162.50	3223.00	USD	-1,512.50	
29SEP17	S	1	PD29DEC17		3171.50	3223.00	USD	-1,287.50	
29SEP17	S	1	PD29DEC17		3157.50	3223.00	USD	-1,637.50	
29SEP17	S	1	PD29DEC17		3155.00	3223.00	USD	-1,700.00	
29SEP17	S	1	PD29DEC17		3148.00	3223.00	USD	-1,875.00	
29SEP17	S	1	PD29DEC17		3154.00	3223.00	USD	-1,725.00	
29SEP17	S	1	PD29DEC17		3154.50	3223.00	USD	-1,712.50	
29SEP17	S	2	PD29DEC17		3156.50	3223.00	USD	-3,325.00	
29SEP17	S	1	PD29DEC17		3160.00	3223.00	USD	-1,575.00	
29SEP17	S	2	PD29DEC17		3161.50	3223.00	USD	-3,075.00	
29SEP17	S	1	PD29DEC17		3163.50	3223.00	USD	-1,487.50	
29SEP17	S	2	PD29DEC17		3164.00	3223.00	USD	-2,950.00	
29SEP17	S	1	PD29DEC17		3161.00	3223.00	USD	-1,550.00	
29SEP17	S	1	PD29DEC17		3167.50	3223.00	USD	-1,387.50	
02OCT17	B	2	PD29DEC17		3236.20	3223.00	USD	-660.00	
10OCT17	B	9	PD29DEC17		3283.02	3223.00	USD	-13,504.50	
	NET	0AVG	BGHT 3209.00	SOLD 3159.74				-28,327.00	0.00
03OCT17	S	2	PD03JAN18		3227.50	3222.00	USD	275.00	
03OCT17	S	2	PD03JAN18		3228.00	3222.00	USD	300.00	
03OCT17	S	1	PD03JAN18		3227.00	3222.00	USD	125.00	
03OCT17	S	1	PD03JAN18		3224.00	3222.00	USD	50.00	
03OCT17	S	1	PD03JAN18		3225.00	3222.00	USD	75.00	
03OCT17	S	1	PD03JAN18		3225.50	3222.00	USD	87.50	
03OCT17	S	3	PD03JAN18		3229.00	3222.00	USD	525.00	
03OCT17	S	1	PD03JAN18		3230.00	3222.00	USD	200.00	
03OCT17	S	1	PD03JAN18		3231.50	3222.00	USD	237.50	
03OCT17	S	2	PD03JAN18		3235.00	3222.00	USD	650.00	
03OCT17	S	1	PD03JAN18		3235.50	3222.00	USD	337.50	
03OCT17	S	1	PD03JAN18		3236.00	3222.00	USD	350.00	
03OCT17	S	1	PD03JAN18		3236.50	3222.00	USD	362.50	
03OCT17	S	1	PD03JAN18		3238.50	3222.00	USD	412.50	
03OCT17	S	2	PD03JAN18		3239.50	3222.00	USD	875.00	
03OCT17	S	1	PD03JAN18		3241.00	3222.00	USD	475.00	
03OCT17	S	1	PD03JAN18		3242.50	3222.00	USD	512.50	
03OCT17	S	1	PD03JAN18		3254.50	3222.00	USD	812.50	
03OCT17	S	2	PD03JAN18		3255.00	3222.00	USD	1,650.00	
03OCT17	S	1	PD03JAN18		3259.50	3222.00	USD	937.50	
03OCT17	S	2	PD03JAN18		3261.00	3222.00	USD	1,950.00	
03OCT17	S	1	PD03JAN18		3265.50	3222.00	USD	1,087.50	
03OCT17	S	1	PD03JAN18		3266.50	3222.00	USD	1,112.50	
03OCT17	S	1	PD03JAN18		3267.00	3222.00	USD	1,125.00	
03OCT17	S	1	PD03JAN18		3263.50	3222.00	USD	1,037.50	
03OCT17	S	1	PD03JAN18		3259.00	3222.00	USD	925.00	
03OCT17	S	1	PD03JAN18		3258.00	3222.00	USD	900.00	
03OCT17	S	2	PD03JAN18		3269.00	3222.00	USD	2,350.00	
03OCT17	S	2	PD03JAN18		3268.00	3222.00	USD	2,300.00	
03OCT17	B	1	PD03JAN18		3231.00	3222.00	USD	-225.00	
03OCT17	S	1	PD03JAN18		3222.50	3222.00	USD	12.50	
03OCT17	S	1	PD03JAN18		3226.50	3222.00	USD	112.50	
03OCT17	S	1	PD03JAN18		3242.00	3222.00	USD	500.00	
03OCT17	S	1	PD03JAN18		3262.00	3222.00	USD	1,000.00	
03OCT17	S	1	PD03JAN18		3262.50	3222.00	USD	1,012.50	
03OCT17	S	1	PD03JAN18		3268.50	3222.00	USD	1,162.50	

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030CT17	S	1	PD03JAN18	3264.50	3222.00	USD	1,062.50	
030CT17	S	2	PD03JAN18	3267.50	3222.00	USD	2,275.00	
030CT17	S	2	PD03JAN18	3266.00	3222.00	USD	2,200.00	
030CT17	S	1	PD03JAN18	3271.50	3222.00	USD	1,237.50	
030CT17	S	1	PD03JAN18	3272.00	3222.00	USD	1,250.00	
030CT17	S	1	PD03JAN18	3275.00	3222.00	USD	1,325.00	
030CT17	B	2	PD03JAN18	3287.50	3222.00	USD	-3,275.00	
030CT17	B	10	PD03JAN18	3237.15	3222.00	USD	-3,787.50	
030CT17	B	1	PD03JAN18	3275.50	3222.00	USD	-1,337.50	
030CT17	B	5	PD03JAN18	3278.00	3222.00	USD	-7,000.00	
030CT17	B	2	PD03JAN18	3279.50	3222.00	USD	-2,875.00	
030CT17	B	20	PD03JAN18	3264.20	3222.00	USD	-21,100.00	
030CT17	B	4	PD03JAN18	3279.00	3222.00	USD	-5,700.00	
030CT17	B	3	PD03JAN18	3281.00	3222.00	USD	-4,425.00	
030CT17	B	2	PD03JAN18	3281.50	3222.00	USD	-2,975.00	
030CT17	B	2	PD03JAN18	3280.00	3222.00	USD	-2,900.00	
030CT17	B	2	PD03JAN18	3283.00	3222.00	USD	-3,050.00	
100CT17	S	1	PD03JAN18	3281.53	3222.00	USD	1,488.25	
	NET	0 AVG BGHT 3265.44	SOLD 3249.17				-21,974.25	0.00
040CT17	B	2	PD04JAN18	3290.00	3221.50	USD	-3,425.00	
040CT17	B	1	PD04JAN18	3290.50	3221.50	USD	-1,725.00	
040CT17	B	1	PD04JAN18	3289.00	3221.50	USD	-1,687.50	
040CT17	B	1	PD04JAN18	3295.00	3221.50	USD	-1,837.50	
040CT17	B	2	PD04JAN18	3281.50	3221.50	USD	-3,000.00	
040CT17	S	1	PD04JAN18	3295.00	3221.50	USD	1,837.50	
040CT17	S	1	PD04JAN18	3297.50	3221.50	USD	1,900.00	
040CT17	S	2	PD04JAN18	3301.00	3221.50	USD	3,975.00	
040CT17	S	1	PD04JAN18	3304.00	3221.50	USD	2,062.50	
040CT17	S	1	PD04JAN18	3304.50	3221.50	USD	2,075.00	
040CT17	S	1	PD04JAN18	3289.50	3221.50	USD	1,700.00	
040CT17	S	1	PD04JAN18	3290.50	3221.50	USD	1,725.00	
040CT17	S	1	PD04JAN18	3286.50	3221.50	USD	1,625.00	
040CT17	S	3	PD04JAN18	3291.00	3221.50	USD	5,212.50	
040CT17	S	1	PD04JAN18	3293.50	3221.50	USD	1,800.00	
040CT17	S	1	PD04JAN18	3292.50	3221.50	USD	1,775.00	
040CT17	S	2	PD04JAN18	3297.00	3221.50	USD	3,775.00	
040CT17	S	1	PD04JAN18	3300.50	3221.50	USD	1,975.00	
040CT17	B	1	PD04JAN18	3282.00	3221.50	USD	-1,512.50	
040CT17	B	1	PD04JAN18	3276.50	3221.50	USD	-1,375.00	
040CT17	B	1	PD04JAN18	3279.50	3221.50	USD	-1,450.00	
040CT17	B	2	PD04JAN18	3277.00	3221.50	USD	-2,775.00	
040CT17	B	1	PD04JAN18	3278.50	3221.50	USD	-1,425.00	
040CT17	B	1	PD04JAN18	3277.50	3221.50	USD	-1,400.00	
040CT17	B	2	PD04JAN18	3279.00	3221.50	USD	-2,875.00	
040CT17	B	1	PD04JAN18	3280.00	3221.50	USD	-1,462.50	
040CT17	B	2	PD04JAN18	3281.00	3221.50	USD	-2,975.00	
	NET	+2 AVG BGHT 3282.39	SOLD 3295.47				2,512.50	0.00
050CT17	S	1	PD05JAN18	3279.50	3221.00	USD	1,462.50	
050CT17	S	1	PD05JAN18	3282.00	3221.00	USD	1,525.00	
050CT17	S	1	PD05JAN18	3290.50	3221.00	USD	1,737.50	
050CT17	B	1	PD05JAN18	3291.00	3221.00	USD	-1,750.00	
050CT17	B	1	PD05JAN18	3288.50	3221.00	USD	-1,687.50	
050CT17	B	1	PD05JAN18	3289.50	3221.00	USD	-1,712.50	
050CT17	B	1	PD05JAN18	3290.50	3221.00	USD	-1,737.50	
050CT17	S	2	PD05JAN18	3296.00	3221.00	USD	3,750.00	
050CT17	S	1	PD05JAN18	3295.50	3221.00	USD	1,862.50	
050CT17	S	1	PD05JAN18	3297.00	3221.00	USD	1,900.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	S	1	PD05JAN18	3298.00	3221.00	USD	1,925.00	
05OCT17	S	1	PD05JAN18	3300.00	3221.00	USD	1,975.00	
05OCT17	B	1	PD05JAN18	3298.00	3221.00	USD	-1,925.00	
05OCT17	B	1	PD05JAN18	3296.00	3221.00	USD	-1,875.00	
06OCT17	B	1	PD05JAN18	3286.00	3221.00	USD	-1,625.00	
06OCT17	B	3	PD05JAN18	3288.00	3221.00	USD	-5,025.00	
06OCT17	B	1	PD05JAN18	3290.00	3221.00	USD	-1,725.00	
06OCT17	B	1	PD05JAN18	3284.00	3221.00	USD	-1,575.00	
06OCT17	B	1	PD05JAN18	3280.50	3221.00	USD	-1,487.50	
06OCT17	B	1	PD05JAN18	3255.00	3221.00	USD	-850.00	
06OCT17	B	1	PD05JAN18	3256.00	3221.00	USD	-875.00	
06OCT17	B	1	PD05JAN18	3253.50	3221.00	USD	-812.50	
06OCT17	B	1	PD05JAN18	3250.50	3221.00	USD	-737.50	
06OCT17	B	1	PD05JAN18	3236.50	3221.00	USD	-387.50	
06OCT17	S	1	PD05JAN18	3245.00	3221.00	USD	600.00	
06OCT17	B	2	PD05JAN18	3238.00	3221.00	USD	-850.00	
06OCT17	B	1	PD05JAN18	3239.00	3221.00	USD	-450.00	
06OCT17	S	1	PD05JAN18	3247.00	3221.00	USD	650.00	
06OCT17	S	1	PD05JAN18	3248.00	3221.00	USD	675.00	
06OCT17	B	1	PD05JAN18	3239.50	3221.00	USD	-462.50	
06OCT17	B	1	PD05JAN18	3246.50	3221.00	USD	-637.50	
06OCT17	B	1	PD05JAN18	3245.50	3221.00	USD	-612.50	
06OCT17	B	1	PD05JAN18	3246.00	3221.00	USD	-625.00	
06OCT17	B	2	PD05JAN18	3245.00	3221.00	USD	-1,200.00	
06OCT17	B	2	PD05JAN18	3244.00	3221.00	USD	-1,150.00	
06OCT17	B	1	PD05JAN18	3238.50	3221.00	USD	-437.50	
06OCT17	B	1	PD05JAN18	3247.00	3221.00	USD	-650.00	
	NET	+19 AVG BGHT 3263.40	SOLD 3281.21				-14,800.00	0.00
09OCT17	S	1	PD09JAN18	3268.50	3220.00	USD	1,212.50	
09OCT17	S	2	PD09JAN18	3268.00	3220.00	USD	2,400.00	
09OCT17	S	1	PD09JAN18	3267.00	3220.00	USD	1,175.00	
09OCT17	S	1	PD09JAN18	3267.50	3220.00	USD	1,187.50	
09OCT17	S	2	PD09JAN18	3273.00	3220.00	USD	2,650.00	
09OCT17	S	1	PD09JAN18	3269.50	3220.00	USD	1,237.50	
09OCT17	S	1	PD09JAN18	3272.50	3220.00	USD	1,312.50	
09OCT17	S	1	PD09JAN18	3274.00	3220.00	USD	1,350.00	
09OCT17	S	1	PD09JAN18	3275.00	3220.00	USD	1,375.00	
09OCT17	S	2	PD09JAN18	3270.50	3220.00	USD	2,525.00	
09OCT17	S	2	PD09JAN18	3270.00	3220.00	USD	2,500.00	
09OCT17	S	1	PD09JAN18	3238.50	3220.00	USD	462.50	
09OCT17	S	1	PD09JAN18	3239.00	3220.00	USD	475.00	
09OCT17	B	2	PD09JAN18	3256.50	3220.00	USD	-1,825.00	
09OCT17	B	3	PD09JAN18	3257.50	3220.00	USD	-2,812.50	
09OCT17	B	1	PD09JAN18	3259.00	3220.00	USD	-975.00	
09OCT17	B	3	PD09JAN18	3254.00	3220.00	USD	-2,550.00	
09OCT17	B	2	PD09JAN18	3254.50	3220.00	USD	-1,725.00	
09OCT17	B	5	PD09JAN18	3255.00	3220.00	USD	-4,375.00	
09OCT17	B	3	PD09JAN18	3255.50	3220.00	USD	-2,662.50	
09OCT17	B	7	PD09JAN18	3256.00	3220.00	USD	-6,300.00	
	NET	+9 AVG BGHT 3255.73	SOLD 3266.74				-3,362.50	0.00
10OCT17	S	6	PD10JAN18	3224.85	3219.50	USD	802.50	
10OCT17	B	1	PD10JAN18	3214.00	3219.50	USD	137.50	
10OCT17	B	1	PD10JAN18	3214.50	3219.50	USD	125.00	
10OCT17	B	1	PD10JAN18	3215.00	3219.50	USD	112.50	
10OCT17	B	1	PD10JAN18	3216.50	3219.50	USD	75.00	
10OCT17	B	1	PD10JAN18	3217.00	3219.50	USD	62.50	
10OCT17	B	1	PD10JAN18	3218.00	3219.50	USD	37.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	3221.50	3219.50	USD	-50.00	
10OCT17	B	1	PD10JAN18	3223.50	3219.50	USD	-100.00	
10OCT17	B	1	PD10JAN18	3228.00	3219.50	USD	-212.50	
10OCT17	B	2	PD10JAN18	3232.00	3219.50	USD	-625.00	
10OCT17	B	1	PD10JAN18	3240.00	3219.50	USD	-512.50	
10OCT17	B	1	PD10JAN18	3248.00	3219.50	USD	-712.50	
10OCT17	B	1	PD10JAN18	3248.50	3219.50	USD	-725.00	
10OCT17	B	1	PD10JAN18	3249.00	3219.50	USD	-737.50	
10OCT17	B	1	PD10JAN18	3250.00	3219.50	USD	-762.50	
10OCT17	B	1	PD10JAN18	3251.00	3219.50	USD	-787.50	
10OCT17	S	2	PD10JAN18	3231.50	3219.50	USD	600.00	
10OCT17	S	1	PD10JAN18	3232.50	3219.50	USD	325.00	
10OCT17	S	1	PD10JAN18	3233.00	3219.50	USD	337.50	
10OCT17	S	1	PD10JAN18	3235.00	3219.50	USD	387.50	
10OCT17	S	1	PD10JAN18	3237.00	3219.50	USD	437.50	
10OCT17	S	1	PD10JAN18	3237.50	3219.50	USD	450.00	
10OCT17	S	1	PD10JAN18	3238.00	3219.50	USD	462.50	
10OCT17	S	1	PD10JAN18	3240.00	3219.50	USD	512.50	
10OCT17	S	1	PD10JAN18	3241.00	3219.50	USD	537.50	
10OCT17	S	1	PD10JAN18	3241.50	3219.50	USD	550.00	
10OCT17	S	2	PD10JAN18	3242.00	3219.50	USD	1,125.00	
10OCT17	S	1	PD10JAN18	3242.50	3219.50	USD	575.00	
10OCT17	S	2	PD10JAN18	3243.50	3219.50	USD	1,200.00	
10OCT17	S	1	PD10JAN18	3252.50	3219.50	USD	825.00	
10OCT17	S	1	PD10JAN18	3253.50	3219.50	USD	850.00	
10OCT17	S	1	PD10JAN18	3274.00	3219.50	USD	1,362.50	
10OCT17	S	1	PD10JAN18	3278.00	3219.50	USD	1,462.50	
10OCT17	S	1	PD10JAN18	3279.50	3219.50	USD	1,500.00	
10OCT17	S	1	PD10JAN18	3281.50	3219.50	USD	1,550.00	
10OCT17	S	1	PD10JAN18	3284.50	3219.50	USD	1,625.00	
10OCT17	B	1	PD10JAN18	3290.00	3219.50	USD	-1,762.50	
10OCT17	B	3	PD10JAN18	3290.50	3219.50	USD	-5,325.00	
10OCT17	B	1	PD10JAN18	3291.00	3219.50	USD	-1,787.50	
10OCT17	B	2	PD10JAN18	3291.50	3219.50	USD	-3,600.00	
10OCT17	B	2	PD10JAN18	3292.00	3219.50	USD	-3,625.00	
	NET	-3 AVG BGHT 3251.46	SOLD 3243.61				-3,297.50	0.00
11OCT17	B	1	PD11JAN18	3212.50	3219.00	USD	162.50	
11OCT17	B	1	PD11JAN18	3213.00	3219.00	USD	150.00	
11OCT17	B	1	PD11JAN18	3213.50	3219.00	USD	137.50	
11OCT17	B	3	PD11JAN18	3249.00	3219.00	USD	-2,250.00	
11OCT17	B	1	PD11JAN18	3249.50	3219.00	USD	-762.50	
11OCT17	B	2	PD11JAN18	3250.00	3219.00	USD	-1,550.00	
11OCT17	S	1	PD11JAN18	3220.00	3219.00	USD	25.00	
	NET	+8 AVG BGHT 3237.28	SOLD 3220.00				-4,087.50	0.00

OPEN POSITION STATEMENT



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SAP0094A

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-61,025.00
CMX Copper	FUTURES	380,912.50
LME Lead 25 tonnes. This is an	FUTURES	-352,666.75
LME Nickel 6 tonnes. This is	FUTURES	-1,190,940.84
CMX Silver	FUTURES	-837,225.00
LME Zinc 25 tonnes. This is	FUTURES	-118,026.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-6,025.00	-6,025.00		
SILV - Futures USD	19,425.00	19,425.00	13,400.00	13,400.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-59,239.00	-59,239.00		
LEAD - Futures USD	-287,998.00	-287,998.00		
NKL - Futures USD	207,322.62	207,322.62		
ZINC - Futures USD	96,661.00	96,661.00	-43,253.38	-43,253.38

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	94,797.74	94,797.74
- Options	13,400.00	13,400.00
	0.00	0.00
TOTAL EQUITY	108,197.74	108,197.74
Initial Margins	-312,552.38	-312,552.38
Excess/(Shortage)	-204,354.64	-204,354.64
FOR INFORMATION ONLY		
Forward Unrealised P&L	-43,253.38	-43,253.38
Net Liquidating Value	64,944.36	64,944.36

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12JUL17	B	1	PD120CT17	1894.00	2104.25	USD	5,256.25	
12JUL17	B	1	PD120CT17	1894.50	2104.25	USD	5,243.75	
12JUL17	B	1	PD120CT17	1893.50	2104.25	USD	5,268.75	
14JUL17	S	3	PD120CT17	1926.87	2104.25	USD	-13,303.50	
	NET	0 AVG BGHT	1894.00				2,465.25	0.00
			SOLD 1926.87					
13JUL17	S	1	PD130CT17	1903.50	2104.75	USD	-5,031.25	
13JUL17	S	1	PD130CT17	1906.00	2104.75	USD	-4,968.75	
13JUL17	S	1	PD130CT17	1906.50	2104.75	USD	-4,956.25	
13JUL17	S	1	PD130CT17	1908.50	2104.75	USD	-4,906.25	
13JUL17	S	1	PD130CT17	1909.00	2104.75	USD	-4,893.75	
13JUL17	S	1	PD130CT17	1910.50	2104.75	USD	-4,856.25	
13JUL17	S	1	PD130CT17	1915.00	2104.75	USD	-4,743.75	
13JUL17	S	1	PD130CT17	1916.00	2104.75	USD	-4,718.75	
13JUL17	S	2	PD130CT17	1917.00	2104.75	USD	-9,387.50	
13JUL17	S	1	PD130CT17	1925.00	2104.75	USD	-4,493.75	
13JUL17	S	1	PD130CT17	1935.00	2104.75	USD	-4,243.75	
13JUL17	S	1	PD130CT17	1935.50	2104.75	USD	-4,231.25	
13JUL17	S	1	PD130CT17	1937.50	2104.75	USD	-4,181.25	
13JUL17	S	1	PD130CT17	1940.50	2104.75	USD	-4,106.25	
13JUL17	S	1	PD130CT17	1942.50	2104.75	USD	-4,056.25	
13JUL17	S	1	PD130CT17	1929.00	2104.75	USD	-4,393.75	
13JUL17	S	1	PD130CT17	1933.00	2104.75	USD	-4,293.75	
13JUL17	B	2	PD130CT17	1923.20	2104.75	USD	9,077.50	
13JUL17	B	3	PD130CT17	1923.50	2104.75	USD	13,593.75	
13JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
14JUL17	B	1	PD130CT17	1929.50	2104.75	USD	4,381.25	
14JUL17	S	1	PD130CT17	1929.00	2104.75	USD	-4,393.75	
14JUL17	B	1	PD130CT17	1919.50	2104.75	USD	4,631.25	
14JUL17	B	1	PD130CT17	1921.50	2104.75	USD	4,581.25	
14JUL17	B	1	PD130CT17	1922.00	2104.75	USD	4,568.75	
14JUL17	B	1	PD130CT17	1922.50	2104.75	USD	4,556.25	
14JUL17	B	1	PD130CT17	1925.00	2104.75	USD	4,493.75	
14JUL17	B	1	PD130CT17	1925.50	2104.75	USD	4,481.25	
14JUL17	B	2	PD130CT17	1928.50	2104.75	USD	8,812.50	
14JUL17	B	5	PD130CT17	1920.00	2104.75	USD	23,093.75	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
14JUL17	B	5	PD130CT17	1920.50	2104.75	USD	23,031.25	
14JUL17	B	5	PD130CT17	1927.20	2104.75	USD	22,193.75	
18JUL17	S	12	PD130CT17	1929.75	2104.75	USD	-52,500.00	
	NET	0 AVG BGHT	1923.29				1,277.50	0.00
			SOLD 1924.94					
17JUL17	S	1	PD170CT17	1935.50	2106.75	USD	-4,281.25	
17JUL17	S	1	PD170CT17	1939.50	2106.75	USD	-4,181.25	
17JUL17	S	1	PD170CT17	1938.50	2106.75	USD	-4,206.25	
17JUL17	S	2	PD170CT17	1940.50	2106.75	USD	-8,312.50	
17JUL17	B	1	PD170CT17	1924.50	2106.75	USD	4,556.25	
17JUL17	B	1	PD170CT17	1926.00	2106.75	USD	4,518.75	
17JUL17	B	2	PD170CT17	1927.00	2106.75	USD	8,987.50	
17JUL17	B	3	PD170CT17	1927.50	2106.75	USD	13,443.75	
17JUL17	B	1	PD170CT17	1925.50	2106.75	USD	4,531.25	
17JUL17	B	1	PD170CT17	1926.50	2106.75	USD	4,506.25	
17JUL17	B	1	PD170CT17	1914.00	2106.75	USD	4,818.75	
17JUL17	B	1	PD170CT17	1914.50	2106.75	USD	4,806.25	
17JUL17	B	1	PD170CT17	1911.50	2106.75	USD	4,881.25	
17JUL17	B	1	PD170CT17	1913.00	2106.75	USD	4,843.75	
17JUL17	B	1	PD170CT17	1900.00	2106.75	USD	5,168.75	
17JUL17	B	1	PD170CT17	1900.50	2106.75	USD	5,156.25	
18JUL17	S	10	PD170CT17	1930.59	2106.75	USD	-44,040.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0AVG BGHT 1919.50	SOLD 1933.36				5,197.50	0.00
18JUL17	B	2 PD180CT17		1904.00	2107.25	USD	10,162.50	
18JUL17	B	1 PD180CT17		1904.50	2107.25	USD	5,068.75	
18JUL17	B	1 PD180CT17		1900.00	2107.25	USD	5,181.25	
18JUL17	B	1 PD180CT17		1902.50	2107.25	USD	5,118.75	
18JUL17	B	2 PD180CT17		1903.50	2107.25	USD	10,187.50	
18JUL17	B	3 PD180CT17		1902.00	2107.25	USD	15,393.75	
18JUL17	B	1 PD180CT17		1903.00	2107.25	USD	5,106.25	
18JUL17	B	1 PD180CT17		1901.00	2107.25	USD	5,156.25	
18JUL17	B	2 PD180CT17		1900.50	2107.25	USD	10,337.50	
18JUL17	B	3 PD180CT17		1901.50	2107.25	USD	15,431.25	
18JUL17	S	12 PD180CT17		1930.80	2107.25	USD	-52,935.00	
21JUL17	S	5 PD180CT17		1915.25	2107.25	USD	-24,000.00	
	NET	0AVG BGHT 1902.21	SOLD 1926.23				10,208.75	0.00
19JUL17	B	2 PD190CT17		1926.00	2107.75	USD	9,087.50	
19JUL17	B	2 PD190CT17		1927.00	2107.75	USD	9,037.50	
19JUL17	S	5 PD190CT17		1935.00	2107.75	USD	-21,593.75	
19JUL17	B	1 PD190CT17		1925.50	2107.75	USD	4,556.25	
19JUL17	B	2 PD190CT17		1926.50	2107.75	USD	9,062.50	
19JUL17	S	1 PD190CT17		1937.00	2107.75	USD	-4,268.75	
19JUL17	S	1 PD190CT17		1937.50	2107.75	USD	-4,256.25	
19JUL17	S	4 PD190CT17		1936.00	2107.75	USD	-17,175.00	
19JUL17	S	1 PD190CT17		1936.50	2107.75	USD	-4,281.25	
19JUL17	B	1 PD190CT17		1923.00	2107.75	USD	4,618.75	
19JUL17	S	1 PD190CT17		1920.00	2107.75	USD	-4,693.75	
21JUL17	B	5 PD190CT17		1915.33	2107.75	USD	24,052.50	
	NET	0AVG BGHT 1921.86	SOLD 1934.62				4,146.25	0.00
20JUL17	S	4 PD200CT17		1927.00	2108.25	USD	-18,125.00	
20JUL17	S	4 PD200CT17		1928.00	2108.25	USD	-18,025.00	
20JUL17	S	2 PD200CT17		1927.50	2108.25	USD	-9,037.50	
20JUL17	B	2 PD200CT17		1918.50	2108.25	USD	9,487.50	
20JUL17	B	1 PD200CT17		1919.00	2108.25	USD	4,731.25	
20JUL17	B	1 PD200CT17		1917.50	2108.25	USD	4,768.75	
20JUL17	B	2 PD200CT17		1916.50	2108.25	USD	9,587.50	
20JUL17	B	1 PD200CT17		1920.50	2108.25	USD	4,693.75	
21JUL17	S	1 PD200CT17		1929.50	2108.25	USD	-4,468.75	
21JUL17	S	1 PD200CT17		1930.00	2108.25	USD	-4,456.25	
21JUL17	S	1 PD200CT17		1931.50	2108.25	USD	-4,418.75	
21JUL17	S	1 PD200CT17		1927.00	2108.25	USD	-4,531.25	
21JUL17	S	1 PD200CT17		1926.00	2108.25	USD	-4,556.25	
21JUL17	S	1 PD200CT17		1929.00	2108.25	USD	-4,481.25	
21JUL17	B	1 PD200CT17		1913.50	2108.25	USD	4,868.75	
21JUL17	B	1 PD200CT17		1915.50	2108.25	USD	4,818.75	
24JUL17	B	7 PD200CT17		1911.87	2108.25	USD	34,366.50	
	NET	0AVG BGHT 1914.94	SOLD 1928.00				5,222.75	0.00
24JUL17	S	2 PD240CT17		1923.00	2110.25	USD	-9,362.50	
24JUL17	S	1 PD240CT17		1924.50	2110.25	USD	-4,643.75	
24JUL17	S	1 PD240CT17		1922.00	2110.25	USD	-4,706.25	
24JUL17	B	4 PD240CT17		1912.50	2110.25	USD	19,775.00	
24JUL17	B	1 PD240CT17		1913.50	2110.25	USD	4,918.75	
24JUL17	B	1 PD240CT17		1913.00	2110.25	USD	4,931.25	
24JUL17	S	1 PD240CT17		1914.00	2110.25	USD	-4,906.25	
24JUL17	S	4 PD240CT17		1915.00	2110.25	USD	-19,525.00	
24JUL17	B	3 PD240CT17		1912.20	2110.25	USD	14,853.75	
24JUL17	S	1 PD240CT17		1915.50	2110.25	USD	-4,868.75	
26JUL17	B	1 PD240CT17		1941.77	2110.25	USD	4,212.00	

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 1915.49	SOLD 1918.20				678.25	0.00
25JUL17	S	1 PD250CT17		1919.50	2110.75	USD	-4,781.25	
26JUL17	B	1 PD250CT17		1941.99	2110.75	USD	4,219.00	
	NET	0 AVG BGHT 1941.99	SOLD 1919.50				-562.25	0.00
26JUL17	S	1 PD260CT17		1943.00	2111.25	USD	-4,206.25	
26JUL17	S	1 PD260CT17		1943.50	2111.25	USD	-4,193.75	
26JUL17	S	1 PD260CT17		1941.00	2111.25	USD	-4,256.25	
26JUL17	S	1 PD260CT17		1942.50	2111.25	USD	-4,218.75	
26JUL17	S	1 PD260CT17		1947.50	2111.25	USD	-4,093.75	
26JUL17	S	1 PD260CT17		1944.50	2111.25	USD	-4,168.75	
26JUL17	S	1 PD260CT17		1946.00	2111.25	USD	-4,131.25	
26JUL17	S	1 PD260CT17		1947.00	2111.25	USD	-4,106.25	
26JUL17	S	1 PD260CT17		1948.00	2111.25	USD	-4,081.25	
26JUL17	S	1 PD260CT17		1948.50	2111.25	USD	-4,068.75	
26JUL17	S	2 PD260CT17		1949.00	2111.25	USD	-8,112.50	
26JUL17	S	1 PD260CT17		1951.00	2111.25	USD	-4,006.25	
26JUL17	S	1 PD260CT17		1953.50	2111.25	USD	-3,943.75	
26JUL17	S	1 PD260CT17		1955.00	2111.25	USD	-3,906.25	
26JUL17	B	1 PD260CT17		1957.50	2111.25	USD	3,843.75	
26JUL17	S	1 PD260CT17		1959.50	2111.25	USD	-3,793.75	
26JUL17	B	1 PD260CT17		1954.50	2111.25	USD	3,918.75	
26JUL17	S	4 PD260CT17		1956.50	2111.25	USD	-15,475.00	
26JUL17	S	3 PD260CT17		1957.00	2111.25	USD	-11,568.75	
26JUL17	B	8 PD260CT17		1942.20	2111.25	USD	33,810.00	
27JUL17	B	5 PD260CT17		1937.95	2111.25	USD	21,662.50	
28JUL17	B	8 PD260CT17		1906.63	2111.25	USD	40,924.00	
	NET	0 AVG BGHT 1930.10	SOLD 1950.67				11,827.75	0.00
27JUL17	B	1 PD270CT17		1942.00	2111.00	USD	4,225.00	
27JUL17	B	1 PD270CT17		1934.50	2111.00	USD	4,412.50	
27JUL17	B	1 PD270CT17		1935.00	2111.00	USD	4,400.00	
27JUL17	B	1 PD270CT17		1940.00	2111.00	USD	4,275.00	
27JUL17	B	6 PD270CT17		1942.50	2111.00	USD	25,275.00	
27JUL17	S	2 PD270CT17		1944.00	2111.00	USD	-8,350.00	
27JUL17	S	1 PD270CT17		1945.50	2111.00	USD	-4,137.50	
27JUL17	S	1 PD270CT17		1944.50	2111.00	USD	-4,162.50	
27JUL17	S	4 PD270CT17		1945.00	2111.00	USD	-16,600.00	
27JUL17	S	1 PD270CT17		1946.50	2111.00	USD	-4,112.50	
27JUL17	B	5 PD270CT17		1943.00	2111.00	USD	21,000.00	
27JUL17	B	3 PD270CT17		1937.50	2111.00	USD	13,012.50	
27JUL17	B	1 PD270CT17		1938.00	2111.00	USD	4,325.00	
27JUL17	S	1 PD270CT17		1938.00	2111.00	USD	-4,325.00	
28JUL17	B	1 PD270CT17		1926.00	2111.00	USD	4,625.00	
28JUL17	B	1 PD270CT17		1933.50	2111.00	USD	4,437.50	
28JUL17	B	2 PD270CT17		1928.00	2111.00	USD	9,150.00	
28JUL17	B	2 PD270CT17		1929.00	2111.00	USD	9,100.00	
28JUL17	B	2 PD270CT17		1931.50	2111.00	USD	8,975.00	
28JUL17	B	1 PD270CT17		1932.00	2111.00	USD	4,475.00	
28JUL17	B	3 PD270CT17		1926.50	2111.00	USD	13,837.50	
28JUL17	S	1 PD270CT17		1929.00	2111.00	USD	-4,550.00	
28JUL17	B	1 PD270CT17		1912.50	2111.00	USD	4,962.50	
28JUL17	B	1 PD270CT17		1913.00	2111.00	USD	4,950.00	
28JUL17	B	2 PD270CT17		1914.00	2111.00	USD	9,850.00	
28JUL17	B	2 PD270CT17		1914.50	2111.00	USD	9,825.00	
28JUL17	B	1 PD270CT17		1913.50	2111.00	USD	4,937.50	
28JUL17	B	1 PD270CT17		1915.00	2111.00	USD	4,900.00	
28JUL17	B	1 PD270CT17		1915.50	2111.00	USD	4,887.50	

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28JUL17	B	4	PD27OCT17	1916.00	2111.00	USD	19,500.00	
28JUL17	B	1	PD27OCT17	1908.50	2111.00	USD	5,062.50	
28JUL17	B	3	PD27OCT17	1909.50	2111.00	USD	15,112.50	
28JUL17	B	1	PD27OCT17	1910.00	2111.00	USD	5,025.00	
28JUL17	B	4	PD27OCT17	1910.50	2111.00	USD	20,050.00	
28JUL17	B	3	PD27OCT17	1911.00	2111.00	USD	15,000.00	
28JUL17	S	13	PD27OCT17	1906.80	2111.00	USD	-66,365.00	
31JUL17	S	5	PD27OCT17	1916.55	2111.00	USD	-24,306.25	
01AUG17	S	9	PD27OCT17	1905.56	2111.00	USD	-46,224.00	
03AUG17	S	8	PD27OCT17	1914.05	2111.00	USD	-39,390.00	
08AUG17	S	10	PD27OCT17	2027.60	2111.00	USD	-20,850.00	
	NET	0 AVG BGHT 1925.58	SOLD 1937.16				16,214.75	0.00
31JUL17	S	1	PD31OCT17	1918.00	2110.50	USD	-4,812.50	
31JUL17	S	1	PD31OCT17	1918.50	2110.50	USD	-4,800.00	
31JUL17	S	1	PD31OCT17	1924.00	2110.50	USD	-4,662.50	
31JUL17	S	1	PD31OCT17	1925.50	2110.50	USD	-4,625.00	
31JUL17	S	1	PD31OCT17	1926.00	2110.50	USD	-4,612.50	
31JUL17	S	1	PD31OCT17	1920.50	2110.50	USD	-4,750.00	
31JUL17	S	3	PD31OCT17	1923.50	2110.50	USD	-14,025.00	
31JUL17	S	3	PD31OCT17	1919.50	2110.50	USD	-14,325.00	
31JUL17	B	1	PD31OCT17	1919.50	2110.50	USD	4,775.00	
31JUL17	S	2	PD31OCT17	1924.50	2110.50	USD	-9,300.00	
31JUL17	B	1	PD31OCT17	1915.50	2110.50	USD	4,875.00	
31JUL17	B	1	PD31OCT17	1917.50	2110.50	USD	4,825.00	
31JUL17	B	1	PD31OCT17	1913.50	2110.50	USD	4,925.00	
31JUL17	B	1	PD31OCT17	1917.00	2110.50	USD	4,837.50	
01AUG17	B	9	PD31OCT17	1906.91	2110.50	USD	45,807.75	
	NET	0 AVG BGHT 1910.37	SOLD 1922.18				4,132.75	0.00
01AUG17	B	1	PD01NOV17	1905.50	2110.81	USD	5,132.75	
01AUG17	B	2	PD01NOV17	1906.00	2110.81	USD	10,240.50	
01AUG17	B	1	PD01NOV17	1907.50	2110.81	USD	5,082.75	
08AUG17	S	4	PD01NOV17	2028.60	2110.81	USD	-8,221.00	
	NET	0 AVG BGHT 1906.25	SOLD 2028.60				12,235.00	0.00
02AUG17	S	1	PD02NOV17	1914.50	2111.13	USD	-4,915.75	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	B	2	PD02NOV17	1920.50	2111.13	USD	9,531.50	
02AUG17	S	1	PD02NOV17	1925.00	2111.13	USD	-4,653.25	
02AUG17	S	1	PD02NOV17	1925.50	2111.13	USD	-4,640.75	
02AUG17	S	1	PD02NOV17	1915.50	2111.13	USD	-4,890.75	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	B	1	PD02NOV17	1924.00	2111.13	USD	4,678.25	
02AUG17	B	1	PD02NOV17	1922.50	2111.13	USD	4,715.75	
02AUG17	B	1	PD02NOV17	1923.00	2111.13	USD	4,703.25	
02AUG17	B	1	PD02NOV17	1923.50	2111.13	USD	4,690.75	
11SEP17	S	9	PD02NOV17	2112.79	2111.13	USD	373.50	
12SEP17	S	7	PD02NOV17	2125.08	2111.13	USD	2,441.25	
20SEP17	S	5	PD02NOV17	2162.54	2111.13	USD	6,426.25	
09OCT17	S	7	PD02NOV17	2156.44	2111.13	USD	7,929.25	
11OCT17	B	8	PD02NOV17	2111.13	2111.13	USD	0.00	
	NET	-20 AVG BGHT 2030.22	SOLD 2097.93				17,095.25	0.00
03AUG17	B	1	PD03NOV17	1921.50	2111.44	USD	4,748.50	
03AUG17	B	1	PD03NOV17	1918.00	2111.44	USD	4,836.00	
03AUG17	B	3	PD03NOV17	1918.50	2111.44	USD	14,470.50	
03AUG17	B	2	PD03NOV17	1919.00	2111.44	USD	9,622.00	
03AUG17	S	1	PD03NOV17	1918.50	2111.44	USD	-4,823.50	
03AUG17	B	1	PD03NOV17	1911.50	2111.44	USD	4,998.50	

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04AUG17	B	1	PD03NOV17	1905.00	2111.44	USD	5,161.00	
04AUG17	B	1	PD03NOV17	1906.50	2111.44	USD	5,123.50	
04AUG17	S	2	PD03NOV17	1913.50	2111.44	USD	-9,897.00	
04AUG17	B	1	PD03NOV17	1914.00	2111.44	USD	4,936.00	
04AUG17	S	5	PD03NOV17	1910.30	2111.44	USD	-25,142.50	
08AUG17	S	3	PD03NOV17	2029.00	2111.44	USD	-6,183.00	
	NET	0 AVG	BGHT 1915.45	SOLD 1944.00			7,850.00	0.00
07AUG17	S	8	PD07NOV17	1922.00	2112.69	USD	-38,138.00	
07AUG17	S	1	PD07NOV17	1922.50	2112.69	USD	-4,754.75	
07AUG17	S	3	PD07NOV17	1923.00	2112.69	USD	-14,226.75	
07AUG17	S	1	PD07NOV17	1924.50	2112.69	USD	-4,704.75	
07AUG17	S	1	PD07NOV17	1928.50	2112.69	USD	-4,604.75	
07AUG17	S	3	PD07NOV17	1929.50	2112.69	USD	-13,739.25	
07AUG17	S	2	PD07NOV17	1930.00	2112.69	USD	-9,134.50	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	S	1	PD07NOV17	1936.00	2112.69	USD	-4,417.25	
07AUG17	S	1	PD07NOV17	1937.00	2112.69	USD	-4,392.25	
07AUG17	S	2	PD07NOV17	1929.00	2112.69	USD	-9,184.50	
07AUG17	S	2	PD07NOV17	1933.00	2112.69	USD	-8,984.50	
07AUG17	S	2	PD07NOV17	1923.50	2112.69	USD	-9,459.50	
07AUG17	S	1	PD07NOV17	1931.50	2112.69	USD	-4,529.75	
07AUG17	S	1	PD07NOV17	1934.00	2112.69	USD	-4,467.25	
07AUG17	B	1	PD07NOV17	1959.50	2112.69	USD	3,829.75	
07AUG17	S	1	PD07NOV17	1958.50	2112.69	USD	-3,854.75	
07AUG17	S	1	PD07NOV17	1959.50	2112.69	USD	-3,829.75	
07AUG17	S	1	PD07NOV17	1960.00	2112.69	USD	-3,817.25	
08AUG17	B	32	PD07NOV17	2030.00	2112.69	USD	66,152.00	
	NET	0 AVG	BGHT 2027.86	SOLD 1929.91			-80,812.50	0.00
08AUG17	S	1	PD08NOV17	1988.00	2113.00	USD	-3,125.00	
08AUG17	S	2	PD08NOV17	1990.50	2113.00	USD	-6,125.00	
08AUG17	S	1	PD08NOV17	1991.00	2113.00	USD	-3,050.00	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	S	1	PD08NOV17	2005.00	2113.00	USD	-2,700.00	
08AUG17	S	2	PD08NOV17	1999.00	2113.00	USD	-5,700.00	
08AUG17	S	1	PD08NOV17	2001.00	2113.00	USD	-2,800.00	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	S	1	PD08NOV17	2004.50	2113.00	USD	-2,712.50	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	2011.00	2113.00	USD	-2,550.00	
08AUG17	S	1	PD08NOV17	2010.50	2113.00	USD	-2,562.50	
08AUG17	S	1	PD08NOV17	2012.50	2113.00	USD	-2,512.50	
08AUG17	S	1	PD08NOV17	2009.00	2113.00	USD	-2,600.00	
08AUG17	B	13	PD08NOV17	2030.20	2113.00	USD	26,910.00	
09AUG17	B	3	PD08NOV17	2027.52	2113.00	USD	6,411.00	
	NET	0 AVG	BGHT 2029.70	SOLD 2000.78			-11,566.50	0.00
09AUG17	B	1	PD09NOV17	2030.50	2113.46	USD	2,074.00	
09AUG17	S	1	PD09NOV17	2030.50	2113.46	USD	-2,074.00	
09AUG17	S	2	PD09NOV17	2031.00	2113.46	USD	-4,123.00	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
09AUG17	B	2	PD09NOV17	2027.70	2113.46	USD	4,288.00	
11AUG17	B	1	PD09NOV17	2042.56	2113.46	USD	1,772.50	
	NET	0 AVG	BGHT 2032.12	SOLD 2031.50			-61.50	0.00
10AUG17	B	1	PD10NOV17	2019.00	2113.93	USD	2,373.25	
10AUG17	B	1	PD10NOV17	2021.50	2113.93	USD	2,310.75	
10AUG17	B	1	PD10NOV17	2023.50	2113.93	USD	2,260.75	
10AUG17	B	1	PD10NOV17	2024.00	2113.93	USD	2,248.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
10AUG17	B	2	PD10NOV17	2024.50	2113.93	USD	4,471.50	
10AUG17	B	1	PD10NOV17	2025.00	2113.93	USD	2,223.25	
10AUG17	S	1	PD10NOV17	2022.50	2113.93	USD	-2,285.75	
10AUG17	S	1	PD10NOV17	2023.50	2113.93	USD	-2,260.75	
10AUG17	S	2	PD10NOV17	2037.50	2113.93	USD	-3,821.50	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
10AUG17	S	1	PD10NOV17	2040.00	2113.93	USD	-1,848.25	
10AUG17	S	2	PD10NOV17	2040.50	2113.93	USD	-3,671.50	
10AUG17	S	3	PD10NOV17	2039.50	2113.93	USD	-5,582.25	
10AUG17	S	1	PD10NOV17	2041.00	2113.93	USD	-1,823.25	
11AUG17	B	1	PD10NOV17	2023.50	2113.93	USD	2,260.75	
11AUG17	B	1	PD10NOV17	2036.00	2113.93	USD	1,948.25	
11AUG17	S	1	PD10NOV17	2044.50	2113.93	USD	-1,735.75	
11AUG17	S	1	PD10NOV17	2045.00	2113.93	USD	-1,723.25	
11AUG17	S	1	PD10NOV17	2047.00	2113.93	USD	-1,673.25	
11AUG17	B	5	PD10NOV17	2042.70	2113.93	USD	8,903.75	
14AUG17	B	1	PD10NOV17	2022.47	2113.93	USD	2,286.50	
	NET	0 AVG BGHT 2030.50	SOLD 2038.43				2,975.75	0.00
14AUG17	S	1	PD14NOV17	2039.00	2115.79	USD	-1,919.75	
14AUG17	S	1	PD14NOV17	2039.50	2115.79	USD	-1,907.25	
14AUG17	S	1	PD14NOV17	2038.50	2115.79	USD	-1,932.25	
14AUG17	S	1	PD14NOV17	2043.00	2115.79	USD	-1,819.75	
14AUG17	S	1	PD14NOV17	2034.00	2115.79	USD	-2,044.75	
14AUG17	S	2	PD14NOV17	2035.00	2115.79	USD	-4,039.50	
14AUG17	S	1	PD14NOV17	2040.00	2115.79	USD	-1,894.75	
14AUG17	B	1	PD14NOV17	2025.00	2115.79	USD	2,269.75	
14AUG17	B	2	PD14NOV17	2027.00	2115.79	USD	4,439.50	
14AUG17	B	1	PD14NOV17	2031.00	2115.79	USD	2,119.75	
14AUG17	B	1	PD14NOV17	2031.50	2115.79	USD	2,107.25	
14AUG17	B	6	PD14NOV17	2023.20	2115.79	USD	13,888.50	
17AUG17	S	2	PD14NOV17	2076.00	2115.79	USD	-1,989.50	
18AUG17	S	1	PD14NOV17	2062.00	2115.79	USD	-1,344.75	
	NET	0 AVG BGHT 2025.52	SOLD 2047.09				5,932.50	0.00
16AUG17	S	4	PD16NOV17	2062.00	2116.56	USD	-5,456.00	
16AUG17	S	1	PD16NOV17	2064.50	2116.56	USD	-1,301.50	
16AUG17	S	3	PD16NOV17	2065.00	2116.56	USD	-3,867.00	
16AUG17	S	1	PD16NOV17	2069.50	2116.56	USD	-1,176.50	
16AUG17	S	1	PD16NOV17	2074.00	2116.56	USD	-1,064.00	
16AUG17	S	2	PD16NOV17	2066.00	2116.56	USD	-2,528.00	
16AUG17	S	1	PD16NOV17	2065.50	2116.56	USD	-1,276.50	
16AUG17	S	1	PD16NOV17	2067.50	2116.56	USD	-1,226.50	
16AUG17	S	3	PD16NOV17	2068.00	2116.56	USD	-3,642.00	
16AUG17	B	1	PD16NOV17	2070.50	2116.56	USD	1,151.50	
16AUG17	B	1	PD16NOV17	2071.00	2116.56	USD	1,139.00	
16AUG17	B	1	PD16NOV17	2071.50	2116.56	USD	1,126.50	
16AUG17	B	1	PD16NOV17	2088.00	2116.56	USD	714.00	
16AUG17	B	1	PD16NOV17	2090.50	2116.56	USD	651.50	
16AUG17	B	1	PD16NOV17	2092.00	2116.56	USD	614.00	
16AUG17	B	8	PD16NOV17	2094.20	2116.56	USD	4,472.00	
16AUG17	B	1	PD16NOV17	2087.00	2116.56	USD	739.00	
17AUG17	B	2	PD16NOV17	2075.95	2116.56	USD	2,030.50	
	NET	0 AVG BGHT 2086.82	SOLD 2065.88				-8,900.00	0.00
17AUG17	S	2	PD17NOV17	2109.50	2116.87	USD	-368.50	
18AUG17	B	1	PD17NOV17	2089.00	2116.87	USD	696.75	
18AUG17	B	2	PD17NOV17	2090.50	2116.87	USD	1,318.50	
18AUG17	B	1	PD17NOV17	2068.50	2116.87	USD	1,209.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18AUG17	S	1	PD17NOV17	2067.50	2116.87	USD	-1,234.25	
18AUG17	B	1	PD17NOV17	2062.50	2116.87	USD	1,359.25	
18AUG17	B	1	PD17NOV17	2063.00	2116.87	USD	1,346.75	
18AUG17	B	1	PD17NOV17	2062.20	2116.87	USD	1,366.75	
23AUG17	S	2	PD17NOV17	2095.91	2116.87	USD	-1,048.00	
30AUG17	S	2	PD17NOV17	2085.00	2116.87	USD	-1,593.50	
	NET	0 AVG	BGHT 2075.17	SOLD 2092.62			3,053.00	0.00
21AUG17	S	1	PD21NOV17	2090.50	2118.10	USD	-690.00	
21AUG17	S	1	PD21NOV17	2091.00	2118.10	USD	-677.50	
21AUG17	S	1	PD21NOV17	2093.00	2118.10	USD	-627.50	
21AUG17	S	1	PD21NOV17	2075.50	2118.10	USD	-1,065.00	
21AUG17	B	1	PD21NOV17	2074.50	2118.10	USD	1,090.00	
21AUG17	B	1	PD21NOV17	2075.00	2118.10	USD	1,077.50	
21AUG17	B	2	PD21NOV17	2078.50	2118.10	USD	1,980.00	
21AUG17	B	2	PD21NOV17	2074.00	2118.10	USD	2,205.00	
21AUG17	S	2	PD21NOV17	2087.00	2118.10	USD	-1,555.00	
	NET	0 AVG	BGHT 2075.75	SOLD 2087.33			1,737.50	0.00
22AUG17	B	2	PD22NOV17	2074.00	2118.41	USD	2,220.50	
22AUG17	S	1	PD22NOV17	2074.00	2118.41	USD	-1,110.25	
22AUG17	B	1	PD22NOV17	2079.50	2118.41	USD	972.75	
22AUG17	B	1	PD22NOV17	2080.00	2118.41	USD	960.25	
22AUG17	B	1	PD22NOV17	2080.50	2118.41	USD	947.75	
22AUG17	B	1	PD22NOV17	2073.00	2118.41	USD	1,135.25	
22AUG17	S	4	PD22NOV17	2084.00	2118.41	USD	-3,441.00	
22AUG17	S	1	PD22NOV17	2082.50	2118.41	USD	-897.75	
22AUG17	S	1	PD22NOV17	2083.00	2118.41	USD	-885.25	
22AUG17	S	1	PD22NOV17	2083.50	2118.41	USD	-872.75	
23AUG17	B	2	PD22NOV17	2096.89	2118.41	USD	1,076.00	
	NET	0 AVG	BGHT 2081.85	SOLD 2082.38			105.50	0.00
23AUG17	B	1	PD24NOV17	2057.00	2119.03	USD	1,550.75	
23AUG17	B	1	PD24NOV17	2059.00	2119.03	USD	1,500.75	
23AUG17	B	2	PD24NOV17	2060.50	2119.03	USD	2,926.50	
23AUG17	B	1	PD24NOV17	2061.50	2119.03	USD	1,438.25	
23AUG17	B	1	PD24NOV17	2062.00	2119.03	USD	1,425.75	
23AUG17	B	2	PD24NOV17	2066.50	2119.03	USD	2,626.50	
23AUG17	B	1	PD24NOV17	2068.50	2119.03	USD	1,263.25	
23AUG17	B	1	PD24NOV17	2071.00	2119.03	USD	1,200.75	
23AUG17	B	1	PD24NOV17	2071.50	2119.03	USD	1,188.25	
23AUG17	B	2	PD24NOV17	2073.00	2119.03	USD	2,301.50	
23AUG17	S	1	PD24NOV17	2062.00	2119.03	USD	-1,425.75	
23AUG17	S	1	PD24NOV17	2064.00	2119.03	USD	-1,375.75	
23AUG17	S	1	PD24NOV17	2070.50	2119.03	USD	-1,213.25	
23AUG17	S	1	PD24NOV17	2074.00	2119.03	USD	-1,125.75	
23AUG17	S	2	PD24NOV17	2073.00	2119.03	USD	-2,301.50	
23AUG17	S	1	PD24NOV17	2075.50	2119.03	USD	-1,088.25	
23AUG17	S	1	PD24NOV17	2078.00	2119.03	USD	-1,025.75	
23AUG17	S	1	PD24NOV17	2078.50	2119.03	USD	-1,013.25	
23AUG17	S	1	PD24NOV17	2079.00	2119.03	USD	-1,000.75	
23AUG17	S	2	PD24NOV17	2084.00	2119.03	USD	-1,751.50	
23AUG17	S	1	PD24NOV17	2083.50	2119.03	USD	-888.25	
23AUG17	S	1	PD24NOV17	2084.50	2119.03	USD	-863.25	
23AUG17	S	1	PD24NOV17	2094.00	2119.03	USD	-625.75	
23AUG17	S	1	PD24NOV17	2094.50	2119.03	USD	-613.25	
23AUG17	S	1	PD24NOV17	2085.50	2119.03	USD	-838.25	
23AUG17	S	2	PD24NOV17	2097.00	2119.03	USD	-1,101.50	
23AUG17	S	1	PD24NOV17	2098.00	2119.03	USD	-525.75	

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11th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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23AUG17	S	3	PD24NOV17	2103.50	2119.03	USD	-1,164.75	
23AUG17	B	1	PD24NOV17	2103.50	2119.03	USD	388.25	
23AUG17	S	1	PD24NOV17	2106.00	2119.03	USD	-325.75	
23AUG17	S	1	PD24NOV17	2117.00	2119.03	USD	-50.75	
23AUG17	S	1	PD24NOV17	2118.50	2119.03	USD	-13.25	
23AUG17	S	1	PD24NOV17	2120.00	2119.03	USD	24.25	
23AUG17	B	2	PD24NOV17	2097.20	2119.03	USD	1,091.50	
24AUG17	B	2	PD24NOV17	2091.50	2119.03	USD	1,376.50	
24AUG17	B	2	PD24NOV17	2090.50	2119.03	USD	1,426.50	
24AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
24AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
24AUG17	S	1	PD24NOV17	2102.50	2119.03	USD	-413.25	
24AUG17	B	2	PD24NOV17	2100.50	2119.03	USD	926.50	
24AUG17	B	2	PD24NOV17	2101.00	2119.03	USD	901.50	
24AUG17	B	1	PD24NOV17	2099.50	2119.03	USD	488.25	
25AUG17	B	1	PD24NOV17	2095.50	2119.03	USD	588.25	
25AUG17	B	2	PD24NOV17	2094.50	2119.03	USD	1,226.50	
25AUG17	B	1	PD24NOV17	2086.50	2119.03	USD	813.25	
25AUG17	B	1	PD24NOV17	2080.00	2119.03	USD	975.75	
25AUG17	B	1	PD24NOV17	2075.50	2119.03	USD	1,088.25	
25AUG17	B	1	PD24NOV17	2078.00	2119.03	USD	1,025.75	
25AUG17	B	1	PD24NOV17	2065.50	2119.03	USD	1,338.25	
25AUG17	B	1	PD24NOV17	2067.00	2119.03	USD	1,300.75	
25AUG17	B	1	PD24NOV17	2068.00	2119.03	USD	1,275.75	
30AUG17	S	1	PD24NOV17	2086.35	2119.03	USD	-817.00	
05SEP17	S	2	PD24NOV17	2093.20	2119.03	USD	-1,291.50	
08SEP17	S	6	PD24NOV17	2095.30	2119.03	USD	-3,559.50	
	NET	0 AVG BGHT 2081.32	SOLD 2090.50				8,491.25	0.00
29AUG17	B	1	PD29NOV17	2073.00	2120.57	USD	1,189.25	
29AUG17	B	1	PD29NOV17	2071.50	2120.57	USD	1,226.75	
29AUG17	S	1	PD29NOV17	2075.50	2120.57	USD	-1,126.75	
29AUG17	S	2	PD29NOV17	2087.50	2120.57	USD	-1,653.50	
29AUG17	S	1	PD29NOV17	2091.00	2120.57	USD	-739.25	
29AUG17	B	1	PD29NOV17	2091.00	2120.57	USD	739.25	
29AUG17	S	2	PD29NOV17	2092.00	2120.57	USD	-1,428.50	
29AUG17	S	1	PD29NOV17	2094.50	2120.57	USD	-651.75	
29AUG17	S	1	PD29NOV17	2101.50	2120.57	USD	-476.75	
29AUG17	S	1	PD29NOV17	2103.50	2120.57	USD	-426.75	
29AUG17	B	1	PD29NOV17	2097.00	2120.57	USD	589.25	
29AUG17	B	1	PD29NOV17	2095.50	2120.57	USD	626.75	
29AUG17	B	1	PD29NOV17	2095.00	2120.57	USD	639.25	
30AUG17	B	3	PD29NOV17	2087.51	2120.57	USD	2,479.50	
	NET	0 AVG BGHT 2087.28	SOLD 2091.67				986.75	0.00
30AUG17	B	2	PD30NOV17	2092.50	2120.88	USD	1,419.00	
30AUG17	S	1	PD30NOV17	2096.50	2120.88	USD	-609.50	
30AUG17	S	1	PD30NOV17	2095.50	2120.88	USD	-634.50	
30AUG17	S	2	PD30NOV17	2097.00	2120.88	USD	-1,194.00	
30AUG17	B	2	PD30NOV17	2089.00	2120.88	USD	1,594.00	
30AUG17	B	1	PD30NOV17	2088.50	2120.88	USD	809.50	
31AUG17	S	2	PD30NOV17	2095.50	2120.88	USD	-1,269.00	
31AUG17	S	2	PD30NOV17	2097.50	2120.88	USD	-1,169.00	
31AUG17	S	2	PD30NOV17	2098.50	2120.88	USD	-1,119.00	
31AUG17	S	1	PD30NOV17	2099.50	2120.88	USD	-534.50	
31AUG17	S	1	PD30NOV17	2106.50	2120.88	USD	-359.50	
31AUG17	S	1	PD30NOV17	2107.50	2120.88	USD	-334.50	
31AUG17	S	3	PD30NOV17	2135.00	2120.88	USD	1,059.00	
31AUG17	S	1	PD30NOV17	2099.00	2120.88	USD	-547.00	

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31AUG17	S	1	PD30NOV17	2130.50	2120.88	USD	240.50	
31AUG17	S	2	PD30NOV17	2132.00	2120.88	USD	556.00	
31AUG17	B	1	PD30NOV17	2132.00	2120.88	USD	-278.00	
31AUG17	S	1	PD30NOV17	2131.00	2120.88	USD	253.00	
31AUG17	S	1	PD30NOV17	2128.00	2120.88	USD	178.00	
11SEP17	B	9	PD30NOV17	2119.11	2120.88	USD	398.25	
27SEP17	B	7	PD30NOV17	2123.50	2120.88	USD	-458.50	
	NET	0 AVG	BGHT 2114.55				-1,999.75	0.00
01SEP17	S	1	PD01DEC17	2133.50	2121.19	USD	307.75	
01SEP17	S	1	PD01DEC17	2134.00	2121.19	USD	320.25	
01SEP17	S	2	PD01DEC17	2137.50	2121.19	USD	815.50	
01SEP17	S	1	PD01DEC17	2138.00	2121.19	USD	420.25	
01SEP17	S	1	PD01DEC17	2126.00	2121.19	USD	120.25	
01SEP17	S	1	PD01DEC17	2144.00	2121.19	USD	570.25	
01SEP17	B	1	PD01DEC17	2137.50	2121.19	USD	-407.75	
01SEP17	B	1	PD01DEC17	2138.00	2121.19	USD	-420.25	
12SEP17	B	5	PD01DEC17	2133.59	2121.19	USD	-1,550.00	
	NET	0 AVG	BGHT 2134.78				176.25	0.00
04SEP17	B	1	PD04DEC17	2122.50	2122.12	USD	-9.50	
04SEP17	B	1	PD04DEC17	2124.00	2122.12	USD	-47.00	
04SEP17	S	1	PD04DEC17	2124.50	2122.12	USD	59.50	
04SEP17	S	1	PD04DEC17	2125.00	2122.12	USD	72.00	
04SEP17	B	1	PD04DEC17	2123.00	2122.12	USD	-22.00	
04SEP17	B	3	PD04DEC17	2125.50	2122.12	USD	-253.50	
04SEP17	B	1	PD04DEC17	2118.50	2122.12	USD	90.50	
04SEP17	B	2	PD04DEC17	2119.00	2122.12	USD	156.00	
04SEP17	B	1	PD04DEC17	2108.00	2122.12	USD	353.00	
04SEP17	B	2	PD04DEC17	2109.00	2122.12	USD	656.00	
04SEP17	B	1	PD04DEC17	2111.00	2122.12	USD	278.00	
04SEP17	B	2	PD04DEC17	2108.50	2122.12	USD	681.00	
04SEP17	B	1	PD04DEC17	2107.50	2122.12	USD	365.50	
04SEP17	B	1	PD04DEC17	2114.50	2122.12	USD	190.50	
04SEP17	S	1	PD04DEC17	2114.50	2122.12	USD	-190.50	
18SEP17	S	14	PD04DEC17	2085.16	2122.12	USD	-12,936.00	
	NET	0 AVG	BGHT 2116.38				-10,556.50	0.00
05SEP17	B	1	PD05DEC17	2106.50	2122.43	USD	398.25	
05SEP17	B	1	PD05DEC17	2108.50	2122.43	USD	348.25	
05SEP17	B	1	PD05DEC17	2109.50	2122.43	USD	323.25	
05SEP17	S	1	PD05DEC17	2108.00	2122.43	USD	-360.75	
05SEP17	B	4	PD05DEC17	2107.50	2122.43	USD	1,493.00	
05SEP17	B	2	PD05DEC17	2107.00	2122.43	USD	771.50	
05SEP17	B	1	PD05DEC17	2104.50	2122.43	USD	448.25	
12SEP17	S	5	PD05DEC17	2134.63	2122.43	USD	1,525.00	
12SEP17	S	2	PD05DEC17	2134.83	2122.43	USD	620.00	
27SEP17	S	2	PD05DEC17	2124.80	2122.43	USD	118.50	
	NET	0 AVG	BGHT 2107.30				5,685.25	0.00
06SEP17	B	2	PD06DEC17	2092.00	2122.74	USD	1,537.00	
06SEP17	B	1	PD06DEC17	2075.50	2122.74	USD	1,181.00	
06SEP17	B	2	PD06DEC17	2076.50	2122.74	USD	2,312.00	
06SEP17	B	1	PD06DEC17	2077.00	2122.74	USD	1,143.50	
06SEP17	B	1	PD06DEC17	2086.00	2122.74	USD	918.50	
06SEP17	B	1	PD06DEC17	2087.00	2122.74	USD	893.50	
06SEP17	S	1	PD06DEC17	2108.00	2122.74	USD	-368.50	
06SEP17	S	1	PD06DEC17	2110.50	2122.74	USD	-306.00	
06SEP17	S	1	PD06DEC17	2109.00	2122.74	USD	-343.50	
06SEP17	S	1	PD06DEC17	2111.50	2122.74	USD	-281.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
06SEP17	B	1	PD06DEC17	2113.50	2122.74	USD	231.00	
06SEP17	S	1	PD06DEC17	2111.00	2122.74	USD	-293.50	
06SEP17	S	1	PD06DEC17	2112.50	2122.74	USD	-256.00	
06SEP17	S	1	PD06DEC17	2114.50	2122.74	USD	-206.00	
12SEP17	S	1	PD06DEC17	2135.14	2122.74	USD	310.00	
27SEP17	S	1	PD06DEC17	2125.10	2122.74	USD	59.00	
	NET	0AVG BGHT 2086.22	SOLD 2115.25				6,531.00	0.00
07SEP17	S	1	PD07DEC17	2105.00	2123.04	USD	-451.00	
07SEP17	S	2	PD07DEC17	2106.50	2123.04	USD	-827.00	
07SEP17	B	2	PD07DEC17	2098.50	2123.04	USD	1,227.00	
07SEP17	S	1	PD07DEC17	2098.50	2123.04	USD	-613.50	
07SEP17	S	1	PD07DEC17	2113.00	2123.04	USD	-251.00	
12SEP17	B	3	PD07DEC17	2135.65	2123.04	USD	-945.75	
	NET	0AVG BGHT 2120.79	SOLD 2105.90				-1,861.25	0.00
08SEP17	B	1	PD08DEC17	2102.00	2123.35	USD	533.75	
08SEP17	B	2	PD08DEC17	2096.00	2123.35	USD	1,367.50	
08SEP17	B	1	PD08DEC17	2093.50	2123.35	USD	746.25	
08SEP17	B	1	PD08DEC17	2095.00	2123.35	USD	708.75	
08SEP17	B	1	PD08DEC17	2096.50	2123.35	USD	671.25	
08SEP17	B	1	PD08DEC17	2097.50	2123.35	USD	646.25	
08SEP17	B	1	PD08DEC17	2090.00	2123.35	USD	833.75	
08SEP17	B	2	PD08DEC17	2091.00	2123.35	USD	1,617.50	
08SEP17	B	2	PD08DEC17	2089.50	2123.35	USD	1,692.50	
08SEP17	B	1	PD08DEC17	2091.50	2123.35	USD	796.25	
27SEP17	S	4	PD08DEC17	2125.70	2123.35	USD	235.00	
27SEP17	S	9	PD08DEC17	2125.90	2123.35	USD	573.75	
	NET	0AVG BGHT 2093.77	SOLD 2125.84				10,422.50	0.00
11SEP17	S	1	PD11DEC17	2116.00	2124.28	USD	-207.00	
11SEP17	S	1	PD11DEC17	2128.50	2124.28	USD	105.50	
11SEP17	S	1	PD11DEC17	2134.50	2124.28	USD	255.50	
11SEP17	S	2	PD11DEC17	2132.50	2124.28	USD	411.00	
11SEP17	S	1	PD11DEC17	2133.50	2124.28	USD	230.50	
11SEP17	S	2	PD11DEC17	2128.00	2124.28	USD	186.00	
11SEP17	S	1	PD11DEC17	2127.50	2124.28	USD	80.50	
11SEP17	B	1	PD11DEC17	2131.00	2124.28	USD	-168.00	
12SEP17	B	7	PD11DEC17	2136.89	2124.28	USD	-2,206.75	
27SEP17	B	1	PD11DEC17	2127.00	2124.28	USD	-68.00	
	NET	0AVG BGHT 2135.14	SOLD 2129.00				-1,380.75	0.00
12SEP17	S	1	PD12DEC17	2126.00	2124.59	USD	35.25	
12SEP17	S	1	PD12DEC17	2126.50	2124.59	USD	47.75	
12SEP17	S	1	PD12DEC17	2125.50	2124.59	USD	22.75	
12SEP17	S	1	PD12DEC17	2119.50	2124.59	USD	-127.25	
12SEP17	S	1	PD12DEC17	2122.50	2124.59	USD	-52.25	
12SEP17	S	1	PD12DEC17	2135.00	2124.59	USD	260.25	
12SEP17	S	1	PD12DEC17	2135.50	2124.59	USD	272.75	
27SEP17	B	7	PD12DEC17	2127.30	2124.59	USD	-474.25	
	NET	0AVG BGHT 2127.30	SOLD 2127.21				-15.00	0.00
13SEP17	B	1	PD13DEC17	2116.50	2124.90	USD	210.00	
13SEP17	B	1	PD13DEC17	2117.00	2124.90	USD	197.50	
13SEP17	B	1	PD13DEC17	2118.50	2124.90	USD	160.00	
13SEP17	B	1	PD13DEC17	2119.00	2124.90	USD	147.50	
13SEP17	B	1	PD13DEC17	2109.50	2124.90	USD	385.00	
13SEP17	B	1	PD13DEC17	2114.00	2124.90	USD	272.50	
13SEP17	S	4	PD13DEC17	2114.50	2124.90	USD	-1,040.00	
13SEP17	B	2	PD13DEC17	2111.50	2124.90	USD	670.00	
13SEP17	B	2	PD13DEC17	2112.50	2124.90	USD	620.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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13SEP17	S	1	PD13DEC17		2118.50	2124.90	USD	-160.00	
13SEP17	S	1	PD13DEC17		2118.00	2124.90	USD	-172.50	
27SEP17	S	4	PD13DEC17		2127.40	2124.90	USD	250.00	
	NET	0 AVG	BGHT 2114.25	SOLD 2120.41				1,540.00	0.00
14SEP17	S	1	PD14DEC17		2125.50	2125.21	USD	7.25	
14SEP17	B	1	PD14DEC17		2092.00	2125.21	USD	830.25	
14SEP17	B	3	PD14DEC17		2092.50	2125.21	USD	2,453.25	
14SEP17	B	2	PD14DEC17		2090.00	2125.21	USD	1,760.50	
14SEP17	S	3	PD14DEC17		2126.00	2125.21	USD	59.25	
14SEP17	B	1	PD14DEC17		2102.00	2125.21	USD	580.25	
14SEP17	S	1	PD14DEC17		2100.00	2125.21	USD	-630.25	
14SEP17	S	1	PD14DEC17		2100.50	2125.21	USD	-617.75	
14SEP17	S	1	PD14DEC17		2095.50	2125.21	USD	-742.75	
14SEP17	S	2	PD14DEC17		2098.00	2125.21	USD	-1,360.50	
27SEP17	B	2	PD14DEC17		2127.90	2125.21	USD	-134.50	
	NET	0 AVG	BGHT 2100.81	SOLD 2110.61				2,205.00	0.00
15SEP17	B	1	PD15DEC17		2093.50	2125.51	USD	800.25	
15SEP17	S	1	PD15DEC17		2093.00	2125.51	USD	-812.75	
15SEP17	B	2	PD15DEC17		2090.00	2125.51	USD	1,775.50	
15SEP17	B	3	PD15DEC17		2089.50	2125.51	USD	2,700.75	
15SEP17	B	2	PD15DEC17		2083.50	2125.51	USD	2,100.50	
15SEP17	B	2	PD15DEC17		2084.50	2125.51	USD	2,050.50	
15SEP17	B	2	PD15DEC17		2073.50	2125.51	USD	2,600.50	
15SEP17	B	1	PD15DEC17		2074.50	2125.51	USD	1,275.25	
15SEP17	B	1	PD15DEC17		2082.50	2125.51	USD	1,075.25	
27SEP17	S	13	PD15DEC17		2128.00	2125.51	USD	809.25	
	NET	0 AVG	BGHT 2084.43	SOLD 2125.50				14,375.00	0.00
18SEP17	B	1	PD18DEC17		2094.00	2126.44	USD	811.00	
18SEP17	B	1	PD18DEC17		2098.00	2126.44	USD	711.00	
18SEP17	B	1	PD18DEC17		2097.50	2126.44	USD	723.50	
18SEP17	B	2	PD18DEC17		2093.00	2126.44	USD	1,672.00	
29SEP17	S	5	PD18DEC17		2098.70	2126.44	USD	-3,467.50	
	NET	0 AVG	BGHT 2095.10	SOLD 2098.70				450.00	0.00
19SEP17	S	3	PD19DEC17		2105.00	2126.75	USD	-1,631.25	
19SEP17	S	2	PD19DEC17		2105.50	2126.75	USD	-1,062.50	
19SEP17	S	2	PD19DEC17		2106.00	2126.75	USD	-1,037.50	
19SEP17	S	1	PD19DEC17		2123.50	2126.75	USD	-81.25	
19SEP17	S	2	PD19DEC17		2123.00	2126.75	USD	-187.50	
19SEP17	S	2	PD19DEC17		2124.50	2126.75	USD	-112.50	
19SEP17	S	1	PD19DEC17		2125.50	2126.75	USD	-31.25	
19SEP17	S	1	PD19DEC17		2125.00	2126.75	USD	-43.75	
19SEP17	B	2	PD19DEC17		2127.00	2126.75	USD	-12.50	
20SEP17	B	5	PD19DEC17		2176.92	2126.75	USD	-6,271.25	
27SEP17	B	7	PD19DEC17		2129.40	2126.75	USD	-463.75	
	NET	0 AVG	BGHT 2146.03	SOLD 2114.79				-10,935.00	0.00
20SEP17	S	1	PD20DEC17		2149.50	2126.75	USD	568.75	
20SEP17	B	1	PD20DEC17		2175.50	2126.75	USD	-1,218.75	
20SEP17	S	2	PD20DEC17		2176.00	2126.75	USD	2,462.50	
20SEP17	S	1	PD20DEC17		2179.00	2126.75	USD	1,306.25	
20SEP17	S	1	PD20DEC17		2186.50	2126.75	USD	1,493.75	
20SEP17	S	1	PD20DEC17		2187.50	2126.75	USD	1,518.75	
20SEP17	S	2	PD20DEC17		2184.50	2126.75	USD	2,887.50	
20SEP17	S	2	PD20DEC17		2189.50	2126.75	USD	3,137.50	
27SEP17	B	9	PD20DEC17		2129.70	2126.75	USD	-663.75	
	NET	0 AVG	BGHT 2134.28	SOLD 2180.25				11,492.50	0.00
22SEP17	B	2	PD22DEC17		2134.00	2126.75	USD	-362.50	

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22SEP17	S	1	PD22DEC17		2151.50	2126.75	USD	618.75	
29SEP17	S	1	PD22DEC17		2099.86	2126.75	USD	-672.25	
	NET	0AVG	BGHT 2134.00	SOLD 2125.68				-416.00	0.00
25SEP17	B	2	PD27DEC17		2155.00	2127.29	USD	-1,385.50	
25SEP17	B	1	PD27DEC17		2146.00	2127.29	USD	-467.75	
25SEP17	B	1	PD27DEC17		2155.50	2127.29	USD	-705.25	
25SEP17	S	1	PD27DEC17		2156.50	2127.29	USD	730.25	
25SEP17	S	1	PD27DEC17		2157.00	2127.29	USD	742.75	
25SEP17	S	1	PD27DEC17		2153.50	2127.29	USD	655.25	
27SEP17	B	1	PD27DEC17		2125.00	2127.29	USD	57.25	
29SEP17	S	2	PD27DEC17		2101.24	2127.29	USD	-1,302.50	
	NET	0AVG	BGHT 2147.30	SOLD 2133.90				-1,675.50	0.00
28SEP17	B	1	PD28DEC17		2123.50	2127.39	USD	97.25	
28SEP17	S	1	PD28DEC17		2122.00	2127.39	USD	-134.75	
29SEP17	S	2	PD28DEC17		2133.00	2127.39	USD	280.50	
10OCT17	B	1	PD28DEC17		2160.39	2127.39	USD	-825.00	
	NET	-1AVG	BGHT 2141.95	SOLD 2129.33				-582.00	0.00
29SEP17	B	1	PD29DEC17		2131.00	2127.50	USD	-87.50	
29SEP17	B	1	PD29DEC17		2129.00	2127.50	USD	-37.50	
29SEP17	B	1	PD29DEC17		2126.50	2127.50	USD	25.00	
29SEP17	B	2	PD29DEC17		2127.50	2127.50	USD	0.00	
29SEP17	B	1	PD29DEC17		2128.00	2127.50	USD	-12.50	
29SEP17	B	1	PD29DEC17		2106.00	2127.50	USD	537.50	
29SEP17	S	6	PD29DEC17		2101.80	2127.50	USD	-3,855.00	
10OCT17	S	1	PD29DEC17		2160.30	2127.50	USD	820.00	
	NET	0AVG	BGHT 2125.07	SOLD 2110.16				-2,610.00	0.00
03OCT17	S	1	PD03JAN18		2125.50	2128.46	USD	-74.00	
09OCT17	B	1	PD03JAN18		2172.47	2128.46	USD	-1,100.25	
	NET	0AVG	BGHT 2172.47	SOLD 2125.50				-1,174.25	0.00
04OCT17	S	1	PD04JAN18		2152.00	2128.65	USD	583.75	
04OCT17	S	1	PD04JAN18		2152.50	2128.65	USD	596.25	
04OCT17	S	1	PD04JAN18		2155.00	2128.65	USD	658.75	
09OCT17	B	3	PD04JAN18		2172.68	2128.65	USD	-3,302.25	
	NET	0AVG	BGHT 2172.68	SOLD 2153.17				-1,463.50	0.00
06OCT17	S	1	PD05JAN18		2163.00	2128.85	USD	853.75	
09OCT17	B	1	PD05JAN18		2172.88	2128.85	USD	-1,100.75	
	NET	0AVG	BGHT 2172.88	SOLD 2163.00				-247.00	0.00
09OCT17	B	1	PD09JAN18		2148.00	2129.62	USD	-459.50	
09OCT17	S	1	PD09JAN18		2158.50	2129.62	USD	722.00	
09OCT17	S	1	PD09JAN18		2159.00	2129.62	USD	734.50	
09OCT17	S	1	PD09JAN18		2159.50	2129.62	USD	747.00	
09OCT17	S	1	PD09JAN18		2168.00	2129.62	USD	959.50	
09OCT17	S	1	PD09JAN18		2165.50	2129.62	USD	897.00	
09OCT17	S	1	PD09JAN18		2169.00	2129.62	USD	984.50	
09OCT17	B	2	PD09JAN18		2173.70	2129.62	USD	-2,204.00	
	NET	-3AVG	BGHT 2165.13	SOLD 2163.25				2,381.00	0.00
10OCT17	B	2	PD10JAN18		2158.00	2129.81	USD	-1,409.50	
10OCT17	B	1	PD10JAN18		2158.50	2129.81	USD	-717.25	
10OCT17	B	3	PD10JAN18		2159.00	2129.81	USD	-2,189.25	
10OCT17	B	1	PD10JAN18		2159.50	2129.81	USD	-742.25	
10OCT17	B	2	PD10JAN18		2160.50	2129.81	USD	-1,534.50	
11OCT17	S	8	PD10JAN18		2129.61	2129.81	USD	-40.00	
	NET	+1AVG	BGHT 2159.11	SOLD 2129.61				-6,632.75	0.00
11OCT17	B	2	PD11JAN18		2131.00	2130.00	USD	-50.00	
11OCT17	B	1	PD11JAN18		2131.50	2130.00	USD	-37.50	

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11OCT17	B	2	PD11JAN18	2135.00	2130.00	USD	-250.00	
11OCT17	B	2	PD11JAN18	2136.00	2130.00	USD	-300.00	
11OCT17	B	1	PD11JAN18	2138.50	2130.00	USD	-212.50	
11OCT17	B	1	PD11JAN18	2139.00	2130.00	USD	-225.00	
11OCT17	B	1	PD11JAN18	2141.50	2130.00	USD	-287.50	
11OCT17	B	3	PD11JAN18	2142.00	2130.00	USD	-900.00	
11OCT17	B	2	PD11JAN18	2142.50	2130.00	USD	-625.00	
11OCT17	B	2	PD11JAN18	2143.00	2130.00	USD	-650.00	
11OCT17	B	2	PD11JAN18	2143.50	2130.00	USD	-675.00	
11OCT17	B	1	PD11JAN18	2146.00	2130.00	USD	-400.00	
11OCT17	B	1	PD11JAN18	2147.50	2130.00	USD	-437.50	
	NET +21 AVG BGHT 2139.62						-5,050.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD13OCT17	2326.50	2552.75	USD	-5,656.25	
13JUL17	S	4	PD13OCT17	2327.00	2552.75	USD	-22,575.00	
13JUL17	S	1	PD13OCT17	2324.50	2552.75	USD	-5,706.25	
13JUL17	S	1	PD13OCT17	2293.00	2552.75	USD	-6,493.75	
13JUL17	S	1	PD13OCT17	2293.50	2552.75	USD	-6,481.25	
14JUL17	B	1	PD13OCT17	2282.50	2552.75	USD	6,756.25	
14JUL17	B	1	PD13OCT17	2283.00	2552.75	USD	6,743.75	
14JUL17	B	1	PD13OCT17	2284.00	2552.75	USD	6,718.75	
14JUL17	B	1	PD13OCT17	2280.00	2552.75	USD	6,818.75	
14JUL17	S	1	PD13OCT17	2295.50	2552.75	USD	-6,431.25	
14JUL17	S	1	PD13OCT17	2294.50	2552.75	USD	-6,456.25	
14JUL17	S	1	PD13OCT17	2297.00	2552.75	USD	-6,393.75	
14JUL17	S	1	PD13OCT17	2299.00	2552.75	USD	-6,343.75	
14JUL17	B	1	PD13OCT17	2281.50	2552.75	USD	6,781.25	
14JUL17	B	1	PD13OCT17	2282.00	2552.75	USD	6,768.75	
14JUL17	S	1	PD13OCT17	2300.00	2552.75	USD	-6,318.75	
14JUL17	S	1	PD13OCT17	2305.00	2552.75	USD	-6,193.75	
14JUL17	S	1	PD13OCT17	2306.50	2552.75	USD	-6,156.25	
14JUL17	S	1	PD13OCT17	2307.50	2552.75	USD	-6,131.25	
14JUL17	S	2	PD13OCT17	2312.50	2552.75	USD	-12,012.50	
14JUL17	S	1	PD13OCT17	2315.50	2552.75	USD	-5,931.25	
14JUL17	S	1	PD13OCT17	2317.00	2552.75	USD	-5,893.75	
14JUL17	S	1	PD13OCT17	2318.50	2552.75	USD	-5,856.25	
14JUL17	B	1	PD13OCT17	2312.50	2552.75	USD	6,006.25	
14JUL17	B	1	PD13OCT17	2314.50	2552.75	USD	5,956.25	
14JUL17	B	1	PD13OCT17	2315.50	2552.75	USD	5,931.25	
14JUL17	B	2	PD13OCT17	2311.00	2552.75	USD	12,087.50	
14JUL17	B	1	PD13OCT17	2311.50	2552.75	USD	6,031.25	
14JUL17	B	3	PD13OCT17	2316.00	2552.75	USD	17,756.25	
14JUL17	B	2	PD13OCT17	2316.50	2552.75	USD	11,812.50	
14JUL17	B	1	PD13OCT17	2318.00	2552.75	USD	5,868.75	
18JUL17	B	3	PD13OCT17	2274.15	2552.75	USD	20,895.00	
	NET 0 AVG BGHT 2299.55 SOLD 2310.79						5,901.25	0.00
17JUL17	B	1	PD17OCT17	2320.00	2554.35	USD	5,858.75	
17JUL17	B	1	PD17OCT17	2322.00	2554.35	USD	5,808.75	
17JUL17	B	2	PD17OCT17	2321.50	2554.35	USD	11,642.50	
17JUL17	B	1	PD17OCT17	2321.00	2554.35	USD	5,833.75	
17JUL17	B	1	PD17OCT17	2319.00	2554.35	USD	5,883.75	
17JUL17	B	1	PD17OCT17	2318.50	2554.35	USD	5,896.25	
17JUL17	B	5	PD17OCT17	2319.50	2554.35	USD	29,356.25	
17JUL17	B	1	PD17OCT17	2316.50	2554.35	USD	5,946.25	
17JUL17	B	2	PD17OCT17	2316.00	2554.35	USD	11,917.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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17JUL17	B	2	PD17OCT17	2290.00	2554.35	USD	13,217.50	
17JUL17	B	1	PD17OCT17	2292.50	2554.35	USD	6,546.25	
17JUL17	B	4	PD17OCT17	2295.50	2554.35	USD	25,885.00	
17JUL17	S	7	PD17OCT17	2296.30	2554.35	USD	-45,158.75	
17JUL17	S	1	PD17OCT17	2295.00	2554.35	USD	-6,483.75	
17JUL17	B	2	PD17OCT17	2295.00	2554.35	USD	12,967.50	
17JUL17	S	1	PD17OCT17	2295.50	2554.35	USD	-6,471.25	
18JUL17	S	16	PD17OCT17	2274.63	2554.35	USD	-111,888.00	
19JUL17	B	1	PD17OCT17	2217.30	2554.35	USD	8,426.25	
	NET	0AVG BGHT 2306.05	SOLD 2282.35				-14,815.50	0.00
18JUL17	S	1	PD18OCT17	2293.50	2554.75	USD	-6,531.25	
18JUL17	B	1	PD18OCT17	2287.50	2554.75	USD	6,681.25	
18JUL17	B	1	PD18OCT17	2301.50	2554.75	USD	6,331.25	
18JUL17	B	1	PD18OCT17	2302.00	2554.75	USD	6,318.75	
18JUL17	S	1	PD18OCT17	2298.50	2554.75	USD	-6,406.25	
18JUL17	S	1	PD18OCT17	2299.50	2554.75	USD	-6,381.25	
18JUL17	S	1	PD18OCT17	2300.00	2554.75	USD	-6,368.75	
18JUL17	B	1	PD18OCT17	2278.50	2554.75	USD	6,906.25	
18JUL17	B	1	PD18OCT17	2279.50	2554.75	USD	6,881.25	
18JUL17	B	1	PD18OCT17	2277.00	2554.75	USD	6,943.75	
18JUL17	B	1	PD18OCT17	2276.50	2554.75	USD	6,956.25	
18JUL17	B	1	PD18OCT17	2272.50	2554.75	USD	7,056.25	
18JUL17	B	1	PD18OCT17	2268.00	2554.75	USD	7,168.75	
18JUL17	B	3	PD18OCT17	2282.00	2554.75	USD	20,456.25	
18JUL17	B	1	PD18OCT17	2269.00	2554.75	USD	7,143.75	
18JUL17	S	3	PD18OCT17	2274.80	2554.75	USD	-20,996.25	
18JUL17	S	1	PD18OCT17	2271.00	2554.75	USD	-7,093.75	
19JUL17	S	5	PD18OCT17	2217.30	2554.75	USD	-42,181.25	
	NET	0AVG BGHT 2281.38	SOLD 2259.49				-7,115.00	0.00
19JUL17	B	1	PD19OCT17	2261.50	2554.89	USD	7,334.75	
19JUL17	B	1	PD19OCT17	2262.50	2554.89	USD	7,309.75	
19JUL17	S	1	PD19OCT17	2260.50	2554.89	USD	-7,359.75	
19JUL17	B	1	PD19OCT17	2256.00	2554.89	USD	7,472.25	
19JUL17	B	2	PD19OCT17	2254.50	2554.89	USD	15,019.50	
19JUL17	B	1	PD19OCT17	2255.50	2554.89	USD	7,484.75	
19JUL17	B	1	PD19OCT17	2257.00	2554.89	USD	7,447.25	
19JUL17	B	3	PD19OCT17	2254.00	2554.89	USD	22,566.75	
19JUL17	S	1	PD19OCT17	2261.00	2554.89	USD	-7,347.25	
19JUL17	B	2	PD19OCT17	2240.00	2554.89	USD	15,744.50	
19JUL17	B	2	PD19OCT17	2244.00	2554.89	USD	15,544.50	
19JUL17	B	1	PD19OCT17	2237.00	2554.89	USD	7,947.25	
19JUL17	B	1	PD19OCT17	2241.50	2554.89	USD	7,834.75	
19JUL17	S	1	PD19OCT17	2212.00	2554.89	USD	-8,572.25	
19JUL17	S	5	PD19OCT17	2217.50	2554.89	USD	-42,173.75	
19JUL17	S	1	PD19OCT17	2213.00	2554.89	USD	-8,547.25	
21JUL17	S	7	PD19OCT17	2239.63	2554.89	USD	-55,170.50	
	NET	0AVG BGHT 2250.63	SOLD 2231.96				-7,464.75	0.00
20JUL17	S	1	PD20OCT17	2226.00	2555.04	USD	-8,226.00	
20JUL17	S	1	PD20OCT17	2227.00	2555.04	USD	-8,201.00	
20JUL17	S	1	PD20OCT17	2221.50	2555.04	USD	-8,338.50	
20JUL17	S	1	PD20OCT17	2222.00	2555.04	USD	-8,326.00	
20JUL17	S	1	PD20OCT17	2222.50	2555.04	USD	-8,313.50	
20JUL17	S	2	PD20OCT17	2223.50	2555.04	USD	-16,577.00	
20JUL17	S	4	PD20OCT17	2224.00	2555.04	USD	-33,104.00	
21JUL17	B	1	PD20OCT17	2225.00	2555.04	USD	8,251.00	
21JUL17	B	2	PD20OCT17	2227.00	2555.04	USD	16,402.00	

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21JUL17	B	2	PD200CT17	2226.00	2555.04	USD	16,452.00	
21JUL17	B	1	PD200CT17	2226.50	2555.04	USD	8,213.50	
21JUL17	B	1	PD200CT17	2228.00	2555.04	USD	8,176.00	
21JUL17	S	1	PD200CT17	2239.00	2555.04	USD	-7,901.00	
21JUL17	S	2	PD200CT17	2239.50	2555.04	USD	-15,777.00	
21JUL17	S	1	PD200CT17	2240.50	2555.04	USD	-7,863.50	
21JUL17	B	7	PD200CT17	2239.70	2555.04	USD	55,184.50	
25JUL17	B	1	PD200CT17	2317.17	2555.04	USD	5,946.75	
	NET	0 AVG BGHT 2238.70	SOLD 2228.03				-4,001.75	0.00
24JUL17	B	3	PD240CT17	2251.00	2555.61	USD	22,845.75	
25JUL17	S	1	PD240CT17	2317.63	2555.61	USD	-5,949.50	
27JUL17	S	2	PD240CT17	2308.53	2555.61	USD	-12,354.00	
	NET	0 AVG BGHT 2251.00	SOLD 2311.56				4,542.25	0.00
25JUL17	S	1	PD250CT17	2287.00	2555.75	USD	-6,718.75	
25JUL17	S	1	PD250CT17	2287.50	2555.75	USD	-6,706.25	
25JUL17	S	1	PD250CT17	2290.00	2555.75	USD	-6,643.75	
25JUL17	S	2	PD250CT17	2292.50	2555.75	USD	-13,162.50	
25JUL17	S	1	PD250CT17	2294.50	2555.75	USD	-6,531.25	
25JUL17	S	4	PD250CT17	2296.00	2555.75	USD	-25,975.00	
25JUL17	S	2	PD250CT17	2295.00	2555.75	USD	-13,037.50	
25JUL17	S	1	PD250CT17	2302.50	2555.75	USD	-6,331.25	
25JUL17	S	1	PD250CT17	2296.50	2555.75	USD	-6,481.25	
25JUL17	S	1	PD250CT17	2288.50	2555.75	USD	-6,681.25	
25JUL17	S	1	PD250CT17	2314.50	2555.75	USD	-6,031.25	
25JUL17	S	1	PD250CT17	2315.00	2555.75	USD	-6,018.75	
25JUL17	S	1	PD250CT17	2316.50	2555.75	USD	-5,981.25	
25JUL17	S	1	PD250CT17	2312.50	2555.75	USD	-6,081.25	
25JUL17	S	2	PD250CT17	2317.00	2555.75	USD	-11,937.50	
25JUL17	B	19	PD250CT17	2318.20	2555.75	USD	112,836.25	
25JUL17	S	1	PD250CT17	2313.50	2555.75	USD	-6,056.25	
26JUL17	B	1	PD250CT17	2313.09	2555.75	USD	6,066.50	
27JUL17	B	2	PD250CT17	2308.89	2555.75	USD	12,343.00	
	NET	0 AVG BGHT 2317.12	SOLD 2300.52				-9,129.25	0.00
26JUL17	S	1	PD260CT17	2312.00	2555.89	USD	-6,097.25	
26JUL17	S	1	PD260CT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD260CT17	2311.00	2555.89	USD	-6,122.25	
26JUL17	S	1	PD260CT17	2313.00	2555.89	USD	-6,072.25	
26JUL17	S	1	PD260CT17	2316.00	2555.89	USD	-5,997.25	
26JUL17	S	2	PD260CT17	2334.00	2555.89	USD	-11,094.50	
26JUL17	S	1	PD260CT17	2325.00	2555.89	USD	-5,772.25	
26JUL17	B	7	PD260CT17	2313.20	2555.89	USD	42,470.75	
27JUL17	B	1	PD260CT17	2309.04	2555.89	USD	6,171.25	
	NET	0 AVG BGHT 2312.68	SOLD 2319.94				1,451.50	0.00
27JUL17	S	1	PD270CT17	2324.50	2556.04	USD	-5,788.50	
27JUL17	S	2	PD270CT17	2326.50	2556.04	USD	-11,477.00	
27JUL17	S	1	PD270CT17	2327.50	2556.04	USD	-5,713.50	
27JUL17	S	1	PD270CT17	2331.50	2556.04	USD	-5,613.50	
27JUL17	S	1	PD270CT17	2331.00	2556.04	USD	-5,626.00	
27JUL17	B	4	PD270CT17	2309.20	2556.04	USD	24,684.00	
01AUG17	B	2	PD270CT17	2337.46	2556.04	USD	10,929.00	
	NET	0 AVG BGHT 2318.62	SOLD 2327.92				1,394.50	0.00
31JUL17	B	2	PD310CT17	2335.50	2556.61	USD	11,055.50	
31JUL17	B	1	PD310CT17	2329.00	2556.61	USD	5,690.25	
31JUL17	B	1	PD310CT17	2326.00	2556.61	USD	5,765.25	
31JUL17	B	1	PD310CT17	2327.00	2556.61	USD	5,740.25	
31JUL17	B	3	PD310CT17	2330.50	2556.61	USD	16,958.25	

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31JUL17	S	3	PD31OCT17	2333.80	2556.61	USD	-16,710.75	
01AUG17	S	4	PD31OCT17	2337.69	2556.61	USD	-21,892.00	
02AUG17	S	1	PD31OCT17	2352.75	2556.61	USD	-5,096.50	
	NET	0 AVG BGHT 2330.56	SOLD 2338.11				1,510.25	0.00
01AUG17	S	1	PD01NOV17	2324.00	2556.75	USD	-5,818.75	
01AUG17	S	1	PD01NOV17	2328.00	2556.75	USD	-5,718.75	
01AUG17	S	1	PD01NOV17	2329.50	2556.75	USD	-5,681.25	
01AUG17	S	1	PD01NOV17	2332.00	2556.75	USD	-5,618.75	
01AUG17	S	2	PD01NOV17	2335.00	2556.75	USD	-11,087.50	
01AUG17	S	1	PD01NOV17	2336.00	2556.75	USD	-5,518.75	
01AUG17	S	1	PD01NOV17	2337.00	2556.75	USD	-5,493.75	
02AUG17	B	1	PD01NOV17	2353.08	2556.75	USD	5,091.75	
04AUG17	B	5	PD01NOV17	2360.92	2556.75	USD	24,478.75	
07AUG17	B	2	PD01NOV17	2360.16	2556.75	USD	9,829.50	
	NET	0 AVG BGHT 2359.75	SOLD 2332.06				-5,537.50	0.00
02AUG17	B	1	PD02NOV17	2342.50	2556.89	USD	5,359.75	
02AUG17	S	1	PD02NOV17	2342.00	2556.89	USD	-5,372.25	
02AUG17	S	1	PD02NOV17	2342.50	2556.89	USD	-5,359.75	
02AUG17	S	1	PD02NOV17	2344.00	2556.89	USD	-5,322.25	
02AUG17	S	1	PD02NOV17	2343.50	2556.89	USD	-5,334.75	
02AUG17	S	1	PD02NOV17	2353.50	2556.89	USD	-5,084.75	
07AUG17	B	4	PD02NOV17	2360.33	2556.89	USD	19,656.00	
28SEP17	S	10	PD02NOV17	2470.79	2556.89	USD	-21,525.00	
29SEP17	S	28	PD02NOV17	2492.15	2556.89	USD	-45,318.00	
09OCT17	B	10	PD02NOV17	2501.04	2556.89	USD	13,962.50	
11OCT17	B	16	PD02NOV17	2556.89	2556.89	USD	0.00	
	NET	-12 AVG BGHT 2506.60	SOLD 2470.08				-54,338.50	0.00
03AUG17	S	1	PD03NOV17	2364.50	2557.04	USD	-4,813.50	
03AUG17	S	1	PD03NOV17	2368.50	2557.04	USD	-4,713.50	
03AUG17	S	1	PD03NOV17	2369.00	2557.04	USD	-4,701.00	
04AUG17	S	1	PD03NOV17	2368.50	2557.04	USD	-4,713.50	
04AUG17	S	1	PD03NOV17	2373.50	2557.04	USD	-4,588.50	
07AUG17	B	4	PD03NOV17	2360.51	2557.04	USD	19,653.00	
08AUG17	B	1	PD03NOV17	2382.31	2557.04	USD	4,368.25	
	NET	0 AVG BGHT 2364.87	SOLD 2368.80				491.25	0.00
07AUG17	S	2	PD07NOV17	2363.50	2557.61	USD	-9,705.50	
07AUG17	B	1	PD07NOV17	2366.50	2557.61	USD	4,777.75	
07AUG17	S	1	PD07NOV17	2357.00	2557.61	USD	-5,015.25	
07AUG17	S	1	PD07NOV17	2358.00	2557.61	USD	-4,990.25	
07AUG17	S	1	PD07NOV17	2358.50	2557.61	USD	-4,977.75	
07AUG17	S	1	PD07NOV17	2359.00	2557.61	USD	-4,965.25	
07AUG17	S	1	PD07NOV17	2361.00	2557.61	USD	-4,915.25	
07AUG17	S	2	PD07NOV17	2360.00	2557.61	USD	-9,880.50	
07AUG17	S	1	PD07NOV17	2367.50	2557.61	USD	-4,752.75	
07AUG17	S	1	PD07NOV17	2364.00	2557.61	USD	-4,840.25	
07AUG17	S	1	PD07NOV17	2364.50	2557.61	USD	-4,827.75	
07AUG17	S	1	PD07NOV17	2368.00	2557.61	USD	-4,740.25	
07AUG17	S	1	PD07NOV17	2368.50	2557.61	USD	-4,727.75	
07AUG17	S	1	PD07NOV17	2365.00	2557.61	USD	-4,815.25	
07AUG17	S	1	PD07NOV17	2365.50	2557.61	USD	-4,802.75	
07AUG17	S	1	PD07NOV17	2369.00	2557.61	USD	-4,715.25	
07AUG17	S	1	PD07NOV17	2369.50	2557.61	USD	-4,702.75	
07AUG17	S	1	PD07NOV17	2386.00	2557.61	USD	-4,290.25	
07AUG17	B	1	PD07NOV17	2362.50	2557.61	USD	4,877.75	
07AUG17	B	2	PD07NOV17	2364.00	2557.61	USD	9,680.50	
07AUG17	B	3	PD07NOV17	2361.50	2557.61	USD	14,708.25	

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07AUG17	B	1	PD07NOV17	2369.00	2557.61	USD	4,715.25	
07AUG17	B	5	PD07NOV17	2363.00	2557.61	USD	24,326.25	
07AUG17	B	1	PD07NOV17	2362.00	2557.61	USD	4,890.25	
07AUG17	B	1	PD07NOV17	2363.50	2557.61	USD	4,852.75	
08AUG17	B	4	PD07NOV17	2383.00	2557.61	USD	17,461.00	
	NET	0 AVG BGHT 2367.53	SOLD 2364.63				-1,375.00	0.00
08AUG17	B	3	PD08NOV17	2374.00	2557.75	USD	13,781.25	
08AUG17	B	1	PD08NOV17	2370.00	2557.75	USD	4,693.75	
08AUG17	B	1	PD08NOV17	2365.00	2557.75	USD	4,818.75	
08AUG17	B	1	PD08NOV17	2363.50	2557.75	USD	4,856.25	
08AUG17	B	1	PD08NOV17	2357.00	2557.75	USD	5,018.75	
08AUG17	B	1	PD08NOV17	2357.50	2557.75	USD	5,006.25	
08AUG17	B	2	PD08NOV17	2355.00	2557.75	USD	10,137.50	
08AUG17	B	2	PD08NOV17	2356.00	2557.75	USD	10,087.50	
08AUG17	B	2	PD08NOV17	2353.50	2557.75	USD	10,212.50	
08AUG17	B	1	PD08NOV17	2353.00	2557.75	USD	5,118.75	
08AUG17	B	1	PD08NOV17	2354.00	2557.75	USD	5,093.75	
08AUG17	B	1	PD08NOV17	2346.00	2557.75	USD	5,293.75	
08AUG17	S	1	PD08NOV17	2348.00	2557.75	USD	-5,243.75	
08AUG17	B	2	PD08NOV17	2356.50	2557.75	USD	10,062.50	
08AUG17	B	2	PD08NOV17	2354.50	2557.75	USD	10,162.50	
08AUG17	S	20	PD08NOV17	2382.80	2557.75	USD	-87,475.00	
	NET	0 AVG BGHT 2359.00	SOLD 2381.14				11,625.00	0.00
09AUG17	B	1	PD09NOV17	2374.50	2557.89	USD	4,584.75	
09AUG17	B	1	PD09NOV17	2352.50	2557.89	USD	5,134.75	
09AUG17	B	3	PD09NOV17	2354.00	2557.89	USD	15,291.75	
11AUG17	S	5	PD09NOV17	2325.51	2557.89	USD	-29,047.50	
	NET	0 AVG BGHT 2357.80	SOLD 2325.51				-4,036.25	0.00
11AUG17	B	1	PD10NOV17	2332.00	2558.04	USD	5,651.00	
17AUG17	S	1	PD10NOV17	2412.85	2558.04	USD	-3,629.75	
	NET	0 AVG BGHT 2332.00	SOLD 2412.85				2,021.25	0.00
16AUG17	S	1	PD16NOV17	2389.00	2558.75	USD	-4,243.75	
16AUG17	S	1	PD16NOV17	2402.50	2558.75	USD	-3,906.25	
16AUG17	S	1	PD16NOV17	2422.50	2558.75	USD	-3,406.25	
16AUG17	S	2	PD16NOV17	2387.50	2558.75	USD	-8,562.50	
16AUG17	S	2	PD16NOV17	2388.50	2558.75	USD	-8,512.50	
16AUG17	S	1	PD16NOV17	2480.00	2558.75	USD	-1,968.75	
16AUG17	B	1	PD16NOV17	2463.50	2558.75	USD	2,381.25	
16AUG17	B	1	PD16NOV17	2470.50	2558.75	USD	2,206.25	
16AUG17	B	5	PD16NOV17	2517.20	2558.75	USD	5,193.75	
17AUG17	B	1	PD16NOV17	2413.30	2558.75	USD	3,636.25	
	NET	0 AVG BGHT 2491.66	SOLD 2405.75				-17,182.50	0.00
17AUG17	S	1	PD17NOV17	2511.50	2558.75	USD	-1,181.25	
17AUG17	S	1	PD17NOV17	2509.50	2558.75	USD	-1,231.25	
17AUG17	S	1	PD17NOV17	2511.00	2558.75	USD	-1,193.75	
17AUG17	B	1	PD17NOV17	2463.50	2558.75	USD	2,381.25	
18AUG17	B	1	PD17NOV17	2428.00	2558.75	USD	3,268.75	
18AUG17	B	1	PD17NOV17	2444.00	2558.75	USD	2,868.75	
18AUG17	B	1	PD17NOV17	2437.00	2558.75	USD	3,043.75	
18AUG17	B	1	PD17NOV17	2363.50	2558.75	USD	4,881.25	
18AUG17	B	3	PD17NOV17	2360.50	2558.75	USD	14,868.75	
18AUG17	B	1	PD17NOV17	2359.00	2558.75	USD	4,993.75	
18AUG17	B	2	PD17NOV17	2359.50	2558.75	USD	9,962.50	
21AUG17	S	8	PD17NOV17	2346.00	2558.75	USD	-42,550.00	
	NET	0 AVG BGHT 2390.50	SOLD 2390.91				112.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21AUG17	B	2	PD21NOV17	2360.00	2559.32	USD	9,966.00	
21AUG17	B	1	PD21NOV17	2360.50	2559.32	USD	4,970.50	
21AUG17	B	1	PD21NOV17	2362.00	2559.32	USD	4,933.00	
21AUG17	B	1	PD21NOV17	2356.50	2559.32	USD	5,070.50	
21AUG17	B	1	PD21NOV17	2363.00	2559.32	USD	4,908.00	
21AUG17	B	2	PD21NOV17	2350.00	2559.32	USD	10,466.00	
23AUG17	S	6	PD21NOV17	2376.25	2559.32	USD	-27,460.50	
24AUG17	S	2	PD21NOV17	2355.51	2559.32	USD	-10,190.50	
	NET	0AVG BGHT 2357.75	SOLD 2371.07				2,663.00	0.00
22AUG17	B	1	PD22NOV17	2337.50	2559.46	USD	5,549.00	
22AUG17	B	1	PD22NOV17	2358.00	2559.46	USD	5,036.50	
22AUG17	B	1	PD22NOV17	2357.00	2559.46	USD	5,061.50	
22AUG17	B	1	PD22NOV17	2351.00	2559.46	USD	5,211.50	
22AUG17	B	1	PD22NOV17	2355.50	2559.46	USD	5,099.00	
22AUG17	B	1	PD22NOV17	2348.50	2559.46	USD	5,274.00	
22AUG17	B	1	PD22NOV17	2351.50	2559.46	USD	5,199.00	
22AUG17	S	1	PD22NOV17	2375.50	2559.46	USD	-4,599.00	
22AUG17	S	1	PD22NOV17	2377.00	2559.46	USD	-4,561.50	
22AUG17	S	1	PD22NOV17	2381.00	2559.46	USD	-4,461.50	
22AUG17	S	1	PD22NOV17	2387.00	2559.46	USD	-4,311.50	
22AUG17	S	1	PD22NOV17	2388.50	2559.46	USD	-4,274.00	
22AUG17	S	1	PD22NOV17	2389.50	2559.46	USD	-4,249.00	
22AUG17	S	1	PD22NOV17	2390.00	2559.46	USD	-4,236.50	
22AUG17	S	1	PD22NOV17	2400.50	2559.46	USD	-3,974.00	
22AUG17	S	1	PD22NOV17	2402.00	2559.46	USD	-3,936.50	
22AUG17	S	1	PD22NOV17	2416.50	2559.46	USD	-3,574.00	
22AUG17	S	3	PD22NOV17	2417.00	2559.46	USD	-10,684.50	
23AUG17	B	6	PD22NOV17	2376.70	2559.46	USD	27,414.00	
	NET	0AVG BGHT 2363.02	SOLD 2396.81				10,982.50	0.00
23AUG17	B	3	PD24NOV17	2394.50	2559.75	USD	12,393.75	
23AUG17	S	1	PD24NOV17	2396.50	2559.75	USD	-4,081.25	
23AUG17	S	1	PD24NOV17	2408.50	2559.75	USD	-3,781.25	
23AUG17	S	1	PD24NOV17	2413.50	2559.75	USD	-3,656.25	
23AUG17	S	1	PD24NOV17	2414.50	2559.75	USD	-3,631.25	
23AUG17	S	2	PD24NOV17	2408.00	2559.75	USD	-7,587.50	
23AUG17	S	2	PD24NOV17	2409.50	2559.75	USD	-7,512.50	
23AUG17	B	1	PD24NOV17	2379.00	2559.75	USD	4,518.75	
24AUG17	B	1	PD24NOV17	2389.50	2559.75	USD	4,256.25	
24AUG17	B	1	PD24NOV17	2396.00	2559.75	USD	4,093.75	
24AUG17	B	2	PD24NOV17	2398.00	2559.75	USD	8,087.50	
24AUG17	B	1	PD24NOV17	2392.50	2559.75	USD	4,181.25	
24AUG17	B	2	PD24NOV17	2394.00	2559.75	USD	8,287.50	
24AUG17	B	1	PD24NOV17	2396.50	2559.75	USD	4,081.25	
24AUG17	B	1	PD24NOV17	2385.50	2559.75	USD	4,356.25	
24AUG17	B	2	PD24NOV17	2390.00	2559.75	USD	8,487.50	
24AUG17	B	1	PD24NOV17	2385.00	2559.75	USD	4,368.75	
24AUG17	B	1	PD24NOV17	2380.00	2559.75	USD	4,493.75	
24AUG17	B	2	PD24NOV17	2377.50	2559.75	USD	9,112.50	
24AUG17	B	1	PD24NOV17	2372.50	2559.75	USD	4,681.25	
24AUG17	B	1	PD24NOV17	2374.00	2559.75	USD	4,643.75	
24AUG17	B	1	PD24NOV17	2374.50	2559.75	USD	4,631.25	
24AUG17	B	1	PD24NOV17	2376.50	2559.75	USD	4,581.25	
24AUG17	B	1	PD24NOV17	2382.00	2559.75	USD	4,443.75	
24AUG17	S	1	PD24NOV17	2371.00	2559.75	USD	-4,718.75	
24AUG17	S	3	PD24NOV17	2356.80	2559.75	USD	-15,221.25	
25AUG17	S	1	PD24NOV17	2373.50	2559.75	USD	-4,656.25	
25AUG17	S	1	PD24NOV17	2332.50	2559.75	USD	-5,681.25	

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25AUG17	B	2	PD24NOV17	2328.00	2559.75	USD	11,587.50	
25AUG17	B	1	PD24NOV17	2326.50	2559.75	USD	5,831.25	
25AUG17	S	2	PD24NOV17	2326.00	2559.75	USD	-11,687.50	
25AUG17	S	1	PD24NOV17	2325.50	2559.75	USD	-5,856.25	
30AUG17	S	3	PD24NOV17	2368.39	2559.75	USD	-14,352.00	
08SEP17	S	7	PD24NOV17	2260.26	2559.75	USD	-52,410.75	
	NET	0 AVG	BGHT 2380.31	SOLD 2345.18			-23,715.25	0.00
29AUG17	B	2	PD29NOV17	2347.50	2560.20	USD	10,635.00	
29AUG17	S	2	PD29NOV17	2388.00	2560.20	USD	-8,610.00	
29AUG17	S	1	PD29NOV17	2386.50	2560.20	USD	-4,342.50	
29AUG17	S	2	PD29NOV17	2388.50	2560.20	USD	-8,585.00	
30AUG17	B	3	PD29NOV17	2369.93	2560.20	USD	14,270.25	
	NET	0 AVG	BGHT 2360.96	SOLD 2387.90			3,367.75	0.00
30AUG17	B	1	PD30NOV17	2390.50	2560.30	USD	4,245.00	
30AUG17	B	3	PD30NOV17	2389.00	2560.30	USD	12,847.50	
30AUG17	B	1	PD30NOV17	2389.50	2560.30	USD	4,270.00	
30AUG17	B	1	PD30NOV17	2391.50	2560.30	USD	4,220.00	
30AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	
30AUG17	S	1	PD30NOV17	2381.00	2560.30	USD	-4,482.50	
30AUG17	S	1	PD30NOV17	2382.00	2560.30	USD	-4,457.50	
30AUG17	S	1	PD30NOV17	2382.50	2560.30	USD	-4,445.00	
31AUG17	B	1	PD30NOV17	2377.50	2560.30	USD	4,570.00	
31AUG17	B	1	PD30NOV17	2385.00	2560.30	USD	4,382.50	
31AUG17	B	1	PD30NOV17	2376.00	2560.30	USD	4,607.50	
31AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	
31AUG17	S	1	PD30NOV17	2384.50	2560.30	USD	-4,395.00	
31AUG17	S	1	PD30NOV17	2389.00	2560.30	USD	-4,282.50	
31AUG17	S	2	PD30NOV17	2388.50	2560.30	USD	-8,590.00	
31AUG17	S	3	PD30NOV17	2389.50	2560.30	USD	-12,810.00	
31AUG17	S	1	PD30NOV17	2392.00	2560.30	USD	-4,207.50	
31AUG17	S	1	PD30NOV17	2393.00	2560.30	USD	-4,182.50	
31AUG17	B	1	PD30NOV17	2396.50	2560.30	USD	4,095.00	
31AUG17	B	1	PD30NOV17	2397.00	2560.30	USD	4,082.50	
31AUG17	B	1	PD30NOV17	2397.50	2560.30	USD	4,070.00	
31AUG17	B	8	PD30NOV17	2394.20	2560.30	USD	33,220.00	
08SEP17	S	6	PD30NOV17	2262.30	2560.30	USD	-44,700.00	
	NET	0 AVG	BGHT 2391.08	SOLD 2349.32			-20,882.50	0.00
01SEP17	S	1	PD01DEC17	2409.00	2560.39	USD	-3,784.75	
01SEP17	S	1	PD01DEC17	2402.00	2560.39	USD	-3,959.75	
01SEP17	S	1	PD01DEC17	2407.00	2560.39	USD	-3,834.75	
01SEP17	S	1	PD01DEC17	2407.50	2560.39	USD	-3,822.25	
01SEP17	B	1	PD01DEC17	2395.50	2560.39	USD	4,122.25	
01SEP17	S	1	PD01DEC17	2391.50	2560.39	USD	-4,222.25	
01SEP17	B	2	PD01DEC17	2390.00	2560.39	USD	8,519.50	
01SEP17	B	1	PD01DEC17	2400.00	2560.39	USD	4,009.75	
12SEP17	B	1	PD01DEC17	2308.12	2560.39	USD	6,306.75	
	NET	0 AVG	BGHT 2376.72	SOLD 2403.40			3,334.50	0.00
04SEP17	B	1	PD04DEC17	2397.00	2560.66	USD	4,091.50	
04SEP17	B	1	PD04DEC17	2397.50	2560.66	USD	4,079.00	
04SEP17	B	1	PD04DEC17	2400.00	2560.66	USD	4,016.50	
04SEP17	B	1	PD04DEC17	2401.00	2560.66	USD	3,991.50	
04SEP17	B	2	PD04DEC17	2395.50	2560.66	USD	8,258.00	
04SEP17	B	1	PD04DEC17	2393.00	2560.66	USD	4,191.50	
04SEP17	B	1	PD04DEC17	2393.50	2560.66	USD	4,179.00	
04SEP17	B	1	PD04DEC17	2386.50	2560.66	USD	4,354.00	
04SEP17	B	1	PD04DEC17	2389.50	2560.66	USD	4,279.00	

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04SEP17	B	1	PD04DEC17	2386.00	2560.66	USD	4,366.50	
04SEP17	B	2	PD04DEC17	2387.00	2560.66	USD	8,683.00	
04SEP17	B	2	PD04DEC17	2394.00	2560.66	USD	8,333.00	
04SEP17	B	1	PD04DEC17	2398.00	2560.66	USD	4,066.50	
05SEP17	S	16	PD04DEC17	2333.50	2560.66	USD	-90,864.00	
	NET	0 AVG BGHT 2393.44	SOLD 2333.50				-23,975.00	0.00
05SEP17	B	1	PD05DEC17	2369.00	2560.75	USD	4,793.75	
05SEP17	B	2	PD05DEC17	2370.00	2560.75	USD	9,537.50	
05SEP17	B	1	PD05DEC17	2371.50	2560.75	USD	4,731.25	
12SEP17	S	1	PD05DEC17	2309.15	2560.75	USD	-6,290.00	
22SEP17	S	3	PD05DEC17	2488.50	2560.75	USD	-5,418.75	
	NET	0 AVG BGHT 2370.13	SOLD 2443.66				7,353.75	0.00
06SEP17	S	1	PD06DEC17	2357.00	2561.00	USD	-5,100.00	
06SEP17	S	1	PD06DEC17	2359.00	2561.00	USD	-5,050.00	
06SEP17	S	1	PD06DEC17	2362.00	2561.00	USD	-4,975.00	
06SEP17	S	1	PD06DEC17	2362.50	2561.00	USD	-4,962.50	
06SEP17	B	1	PD06DEC17	2342.00	2561.00	USD	5,475.00	
06SEP17	B	1	PD06DEC17	2343.50	2561.00	USD	5,437.50	
06SEP17	B	1	PD06DEC17	2344.00	2561.00	USD	5,425.00	
06SEP17	B	1	PD06DEC17	2345.00	2561.00	USD	5,400.00	
06SEP17	B	1	PD06DEC17	2344.50	2561.00	USD	5,412.50	
22SEP17	S	1	PD06DEC17	2488.50	2561.00	USD	-1,812.50	
	NET	0 AVG BGHT 2343.80	SOLD 2385.80				5,250.00	0.00
07SEP17	B	1	PD07DEC17	2348.00	2561.25	USD	5,331.25	
07SEP17	B	1	PD07DEC17	2348.50	2561.25	USD	5,318.75	
07SEP17	B	1	PD07DEC17	2349.00	2561.25	USD	5,306.25	
07SEP17	B	1	PD07DEC17	2337.50	2561.25	USD	5,593.75	
07SEP17	S	1	PD07DEC17	2331.50	2561.25	USD	-5,743.75	
07SEP17	B	1	PD07DEC17	2331.50	2561.25	USD	5,743.75	
07SEP17	B	1	PD07DEC17	2331.00	2561.25	USD	5,756.25	
07SEP17	B	1	PD07DEC17	2332.50	2561.25	USD	5,718.75	
07SEP17	B	1	PD07DEC17	2333.00	2561.25	USD	5,706.25	
22SEP17	S	7	PD07DEC17	2488.50	2561.25	USD	-12,731.25	
	NET	0 AVG BGHT 2338.88	SOLD 2468.88				26,000.00	0.00
08SEP17	B	1	PD08DEC17	2347.00	2561.50	USD	5,362.50	
08SEP17	B	1	PD08DEC17	2348.00	2561.50	USD	5,337.50	
08SEP17	B	1	PD08DEC17	2356.00	2561.50	USD	5,137.50	
08SEP17	B	3	PD08DEC17	2358.00	2561.50	USD	15,262.50	
08SEP17	B	1	PD08DEC17	2344.50	2561.50	USD	5,425.00	
08SEP17	B	1	PD08DEC17	2305.50	2561.50	USD	6,400.00	
08SEP17	B	1	PD08DEC17	2307.50	2561.50	USD	6,350.00	
08SEP17	B	1	PD08DEC17	2313.00	2561.50	USD	6,212.50	
08SEP17	B	1	PD08DEC17	2301.50	2561.50	USD	6,500.00	
08SEP17	B	1	PD08DEC17	2302.50	2561.50	USD	6,475.00	
08SEP17	B	1	PD08DEC17	2298.00	2561.50	USD	6,587.50	
08SEP17	S	1	PD08DEC17	2297.50	2561.50	USD	-6,600.00	
08SEP17	B	3	PD08DEC17	2299.50	2561.50	USD	19,650.00	
08SEP17	B	1	PD08DEC17	2294.00	2561.50	USD	6,687.50	
08SEP17	B	1	PD08DEC17	2296.00	2561.50	USD	6,637.50	
08SEP17	B	1	PD08DEC17	2267.50	2561.50	USD	7,350.00	
13SEP17	S	17	PD08DEC17	2288.23	2561.50	USD	-116,139.75	
22SEP17	S	1	PD08DEC17	2488.50	2561.50	USD	-1,825.00	
	NET	0 AVG BGHT 2318.61	SOLD 2299.26				-9,189.75	0.00
11SEP17	B	1	PD11DEC17	2274.50	2562.25	USD	7,193.75	
11SEP17	B	1	PD11DEC17	2277.50	2562.25	USD	7,118.75	
22SEP17	S	2	PD11DEC17	2488.50	2562.25	USD	-3,687.50	

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	NET	0 AVG	BGHT	2276.00	SOLD	2488.50		10,625.00	0.00
14SEP17	S	1	PD14DEC17		2291.00	2563.00	USD	-6,800.00	
14SEP17	S	1	PD14DEC17		2291.50	2563.00	USD	-6,787.50	
14SEP17	S	1	PD14DEC17		2293.00	2563.00	USD	-6,750.00	
14SEP17	S	1	PD14DEC17		2293.50	2563.00	USD	-6,737.50	
14SEP17	S	1	PD14DEC17		2294.00	2563.00	USD	-6,725.00	
14SEP17	S	1	PD14DEC17		2306.50	2563.00	USD	-6,412.50	
14SEP17	S	1	PD14DEC17		2309.00	2563.00	USD	-6,350.00	
14SEP17	S	2	PD14DEC17		2315.50	2563.00	USD	-12,375.00	
14SEP17	S	1	PD14DEC17		2322.50	2563.00	USD	-6,012.50	
14SEP17	S	1	PD14DEC17		2307.00	2563.00	USD	-6,400.00	
14SEP17	S	1	PD14DEC17		2307.50	2563.00	USD	-6,387.50	
14SEP17	S	1	PD14DEC17		2309.50	2563.00	USD	-6,337.50	
14SEP17	S	2	PD14DEC17		2312.00	2563.00	USD	-12,550.00	
14SEP17	S	1	PD14DEC17		2312.50	2563.00	USD	-6,262.50	
14SEP17	S	2	PD14DEC17		2313.00	2563.00	USD	-12,500.00	
14SEP17	S	3	PD14DEC17		2313.50	2563.00	USD	-18,712.50	
14SEP17	B	2	PD14DEC17		2317.50	2563.00	USD	12,275.00	
14SEP17	S	3	PD14DEC17		2319.50	2563.00	USD	-18,262.50	
14SEP17	S	1	PD14DEC17		2320.50	2563.00	USD	-6,062.50	
14SEP17	S	1	PD14DEC17		2321.50	2563.00	USD	-6,037.50	
14SEP17	S	1	PD14DEC17		2320.00	2563.00	USD	-6,075.00	
18SEP17	B	5	PD14DEC17		2389.14	2563.00	USD	21,732.50	
19SEP17	B	20	PD14DEC17		2383.71	2563.00	USD	89,645.00	
	NET	0 AVG	BGHT	2379.81	SOLD	2310.35		-46,885.00	0.00
15SEP17	S	2	PD15DEC17		2324.00	2563.25	USD	-11,962.50	
15SEP17	S	3	PD15DEC17		2326.00	2563.25	USD	-17,793.75	
15SEP17	S	1	PD15DEC17		2350.00	2563.25	USD	-5,331.25	
15SEP17	S	1	PD15DEC17		2350.50	2563.25	USD	-5,318.75	
15SEP17	S	2	PD15DEC17		2351.00	2563.25	USD	-10,612.50	
15SEP17	S	1	PD15DEC17		2352.00	2563.25	USD	-5,281.25	
15SEP17	S	1	PD15DEC17		2352.50	2563.25	USD	-5,268.75	
15SEP17	S	2	PD15DEC17		2327.00	2563.25	USD	-11,812.50	
15SEP17	S	1	PD15DEC17		2325.50	2563.25	USD	-5,943.75	
15SEP17	S	1	PD15DEC17		2326.50	2563.25	USD	-5,918.75	
15SEP17	S	1	PD15DEC17		2351.50	2563.25	USD	-5,293.75	
15SEP17	S	1	PD15DEC17		2360.50	2563.25	USD	-5,068.75	
18SEP17	B	17	PD15DEC17		2389.40	2563.25	USD	73,886.25	
	NET	0 AVG	BGHT	2389.40	SOLD	2338.29		-21,720.00	0.00
18SEP17	S	1	PD18DEC17		2393.00	2564.00	USD	-4,275.00	
18SEP17	S	1	PD18DEC17		2392.00	2564.00	USD	-4,300.00	
18SEP17	S	1	PD18DEC17		2390.00	2564.00	USD	-4,350.00	
18SEP17	S	1	PD18DEC17		2397.50	2564.00	USD	-4,162.50	
18SEP17	S	1	PD18DEC17		2398.00	2564.00	USD	-4,150.00	
18SEP17	S	1	PD18DEC17		2402.50	2564.00	USD	-4,037.50	
18SEP17	S	1	PD18DEC17		2401.50	2564.00	USD	-4,062.50	
18SEP17	S	1	PD18DEC17		2402.00	2564.00	USD	-4,050.00	
18SEP17	S	1	PD18DEC17		2388.00	2564.00	USD	-4,400.00	
20SEP17	B	4	PD18DEC17		2459.89	2564.00	USD	10,411.00	
22SEP17	B	5	PD18DEC17		2488.70	2564.00	USD	9,412.50	
	NET	0 AVG	BGHT	2475.90	SOLD	2396.06		-17,964.00	0.00
19SEP17	S	1	PD19DEC17		2392.50	2564.25	USD	-4,293.75	
19SEP17	S	1	PD19DEC17		2393.50	2564.25	USD	-4,268.75	
19SEP17	S	2	PD19DEC17		2396.50	2564.25	USD	-8,387.50	
19SEP17	S	2	PD19DEC17		2402.00	2564.25	USD	-8,112.50	
19SEP17	S	1	PD19DEC17		2403.50	2564.25	USD	-4,018.75	

OPEN POSITION STATEMENT



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SAP0094E

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19SEP17	S	1	PD19DEC17	2404.00	2564.25	USD	-4,006.25	
19SEP17	S	2	PD19DEC17	2405.00	2564.25	USD	-7,962.50	
19SEP17	S	5	PD19DEC17	2405.50	2564.25	USD	-19,843.75	
19SEP17	S	3	PD19DEC17	2438.00	2564.25	USD	-9,468.75	
21SEP17	B	18	PD19DEC17	2518.19	2564.25	USD	20,727.00	
	NET	0 AVG BGHT 2518.19	SOLD 2407.89				-49,635.50	0.00
20SEP17	S	1	PD20DEC17	2441.00	2564.50	USD	-3,087.50	
20SEP17	S	1	PD20DEC17	2451.50	2564.50	USD	-2,825.00	
20SEP17	S	1	PD20DEC17	2453.50	2564.50	USD	-2,775.00	
20SEP17	S	2	PD20DEC17	2456.50	2564.50	USD	-5,400.00	
20SEP17	S	1	PD20DEC17	2452.00	2564.50	USD	-2,812.50	
20SEP17	S	2	PD20DEC17	2461.50	2564.50	USD	-5,150.00	
20SEP17	S	1	PD20DEC17	2462.00	2564.50	USD	-2,562.50	
21SEP17	B	9	PD20DEC17	2518.20	2564.50	USD	10,417.50	
	NET	0 AVG BGHT 2518.20	SOLD 2455.11				-14,195.00	0.00
21SEP17	S	1	PD21DEC17	2438.00	2563.50	USD	-3,137.50	
21SEP17	S	1	PD21DEC17	2439.00	2563.50	USD	-3,112.50	
21SEP17	S	1	PD21DEC17	2441.00	2563.50	USD	-3,062.50	
21SEP17	S	2	PD21DEC17	2438.50	2563.50	USD	-6,250.00	
21SEP17	S	1	PD21DEC17	2433.00	2563.50	USD	-3,262.50	
21SEP17	S	2	PD21DEC17	2472.00	2563.50	USD	-4,575.00	
21SEP17	S	1	PD21DEC17	2470.50	2563.50	USD	-2,325.00	
21SEP17	S	1	PD21DEC17	2472.50	2563.50	USD	-2,275.00	
21SEP17	S	2	PD21DEC17	2468.50	2563.50	USD	-4,750.00	
21SEP17	S	2	PD21DEC17	2473.50	2563.50	USD	-4,500.00	
21SEP17	S	2	PD21DEC17	2470.00	2563.50	USD	-4,675.00	
21SEP17	S	4	PD21DEC17	2464.00	2563.50	USD	-9,950.00	
21SEP17	S	1	PD21DEC17	2463.50	2563.50	USD	-2,500.00	
21SEP17	S	2	PD21DEC17	2476.50	2563.50	USD	-4,350.00	
21SEP17	S	1	PD21DEC17	2477.50	2563.50	USD	-2,150.00	
21SEP17	S	2	PD21DEC17	2464.50	2563.50	USD	-4,950.00	
21SEP17	S	3	PD21DEC17	2465.50	2563.50	USD	-7,350.00	
21SEP17	S	2	PD21DEC17	2465.00	2563.50	USD	-4,925.00	
21SEP17	S	1	PD21DEC17	2501.50	2563.50	USD	-1,550.00	
21SEP17	S	1	PD21DEC17	2502.50	2563.50	USD	-1,525.00	
21SEP17	S	1	PD21DEC17	2504.50	2563.50	USD	-1,475.00	
21SEP17	B	1	PD21DEC17	2475.00	2563.50	USD	2,212.50	
21SEP17	B	1	PD21DEC17	2477.00	2563.50	USD	2,162.50	
21SEP17	B	1	PD21DEC17	2471.50	2563.50	USD	2,300.00	
21SEP17	B	1	PD21DEC17	2472.50	2563.50	USD	2,275.00	
21SEP17	B	2	PD21DEC17	2468.50	2563.50	USD	4,750.00	
21SEP17	B	1	PD21DEC17	2462.50	2563.50	USD	2,525.00	
21SEP17	B	1	PD21DEC17	2444.00	2563.50	USD	2,987.50	
21SEP17	B	1	PD21DEC17	2445.00	2563.50	USD	2,962.50	
21SEP17	B	1	PD21DEC17	2447.00	2563.50	USD	2,912.50	
21SEP17	B	1	PD21DEC17	2449.50	2563.50	USD	2,850.00	
21SEP17	B	1	PD21DEC17	2450.00	2563.50	USD	2,837.50	
21SEP17	B	5	PD21DEC17	2491.65	2563.50	USD	8,981.25	
21SEP17	B	2	PD21DEC17	2455.00	2563.50	USD	5,425.00	
21SEP17	B	1	PD21DEC17	2464.00	2563.50	USD	2,487.50	
21SEP17	S	1	PD21DEC17	2510.00	2563.50	USD	-1,337.50	
22SEP17	B	9	PD21DEC17	2485.95	2563.50	USD	17,448.75	
10OCT17	B	6	PD21DEC17	2554.75	2563.50	USD	1,312.50	
	NET	0 AVG BGHT 2487.58	SOLD 2467.51				-17,557.50	0.00
22SEP17	S	1	PD22DEC17	2455.00	2563.50	USD	-2,712.50	
22SEP17	S	1	PD22DEC17	2455.50	2563.50	USD	-2,700.00	

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22SEP17	S 1 PD22DEC17	2481.50	2563.50	USD	-2,050.00	
	NET -3 AVG SOLD 2464.00				-7,462.50	0.00
25SEP17	B 1 PD27DEC17	2468.00	2563.50	USD	2,387.50	
25SEP17	S 1 PD27DEC17	2484.50	2563.50	USD	-1,975.00	
25SEP17	S 1 PD27DEC17	2485.50	2563.50	USD	-1,950.00	
25SEP17	B 1 PD27DEC17	2481.50	2563.50	USD	2,050.00	
25SEP17	B 4 PD27DEC17	2482.00	2563.50	USD	8,150.00	
26SEP17	B 1 PD27DEC17	2482.50	2563.50	USD	2,025.00	
26SEP17	B 1 PD27DEC17	2483.50	2563.50	USD	2,000.00	
26SEP17	S 1 PD27DEC17	2511.00	2563.50	USD	-1,312.50	
26SEP17	B 1 PD27DEC17	2487.00	2563.50	USD	1,912.50	
26SEP17	B 1 PD27DEC17	2483.00	2563.50	USD	2,012.50	
26SEP17	B 1 PD27DEC17	2485.50	2563.50	USD	1,950.00	
26SEP17	S 1 PD27DEC17	2477.00	2563.50	USD	-2,162.50	
26SEP17	S 1 PD27DEC17	2482.00	2563.50	USD	-2,037.50	
26SEP17	B 3 PD27DEC17	2484.00	2563.50	USD	5,962.50	
26SEP17	S 1 PD27DEC17	2484.00	2563.50	USD	-1,987.50	
27SEP17	B 1 PD27DEC17	2478.50	2563.50	USD	2,125.00	
27SEP17	S 1 PD27DEC17	2478.50	2563.50	USD	-2,125.00	
27SEP17	S 1 PD27DEC17	2468.00	2563.50	USD	-2,387.50	
27SEP17	S 1 PD27DEC17	2461.00	2563.50	USD	-2,562.50	
10OCT17	S 6 PD27DEC17	2553.80	2563.50	USD	-1,455.00	
	NET 0AVG BGHT 2481.97 SOLD 2510.29				10,620.00	0.00
28SEP17	S 1 PD28DEC17	2475.00	2563.60	USD	-2,215.00	
28SEP17	S 2 PD28DEC17	2467.00	2563.60	USD	-4,830.00	
28SEP17	S 2 PD28DEC17	2476.00	2563.60	USD	-4,380.00	
28SEP17	S 1 PD28DEC17	2478.00	2563.60	USD	-2,140.00	
28SEP17	S 1 PD28DEC17	2479.00	2563.60	USD	-2,115.00	
28SEP17	S 1 PD28DEC17	2483.50	2563.60	USD	-2,002.50	
28SEP17	S 1 PD28DEC17	2474.00	2563.60	USD	-2,240.00	
28SEP17	S 2 PD28DEC17	2472.50	2563.60	USD	-4,555.00	
28SEP17	S 1 PD28DEC17	2473.50	2563.60	USD	-2,252.50	
28SEP17	B 10 PD28DEC17	2470.20	2563.60	USD	23,350.00	
28SEP17	B 1 PD28DEC17	2485.50	2563.60	USD	1,952.50	
10OCT17	B 1 PD28DEC17	2554.21	2563.60	USD	234.75	
	NET 0AVG BGHT 2478.48 SOLD 2474.50				-1,192.75	0.00
29SEP17	B 2 PD29DEC17	2493.00	2563.70	USD	3,535.00	
29SEP17	S 1 PD29DEC17	2507.00	2563.70	USD	-1,417.50	
29SEP17	S 1 PD29DEC17	2509.00	2563.70	USD	-1,367.50	
29SEP17	S 2 PD29DEC17	2513.00	2563.70	USD	-2,535.00	
29SEP17	S 1 PD29DEC17	2506.50	2563.70	USD	-1,430.00	
29SEP17	S 1 PD29DEC17	2511.00	2563.70	USD	-1,317.50	
29SEP17	S 2 PD29DEC17	2512.00	2563.70	USD	-2,585.00	
29SEP17	B 1 PD29DEC17	2468.00	2563.70	USD	2,392.50	
29SEP17	B 1 PD29DEC17	2474.00	2563.70	USD	2,242.50	
10OCT17	B 4 PD29DEC17	2554.43	2563.70	USD	927.00	
	NET 0AVG BGHT 2518.22 SOLD 2510.44				-1,555.50	0.00
03OCT17	S 1 PD03JAN18	2525.00	2564.20	USD	-980.00	
03OCT17	S 1 PD03JAN18	2525.50	2564.20	USD	-967.50	
03OCT17	S 1 PD03JAN18	2541.50	2564.20	USD	-567.50	
03OCT17	S 1 PD03JAN18	2544.50	2564.20	USD	-492.50	
03OCT17	S 1 PD03JAN18	2547.50	2564.20	USD	-417.50	
03OCT17	S 1 PD03JAN18	2548.00	2564.20	USD	-405.00	
03OCT17	S 1 PD03JAN18	2563.00	2564.20	USD	-30.00	
03OCT17	S 1 PD03JAN18	2556.00	2564.20	USD	-205.00	
03OCT17	S 1 PD03JAN18	2561.50	2564.20	USD	-67.50	

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030CT17	S	1	PD03JAN18	2564.50	2564.20	USD	7.50	
030CT17	S	1	PD03JAN18	2568.00	2564.20	USD	95.00	
030CT17	B	1	PD03JAN18	2596.50	2564.20	USD	-807.50	
030CT17	S	1	PD03JAN18	2554.50	2564.20	USD	-242.50	
100CT17	B	11	PD03JAN18	2555.50	2564.20	USD	2,392.50	
	NET	0AVG	BGHT 2558.92				-2,687.50	0.00
			SOLD 2549.96					
040CT17	S	1	PD04JAN18	2605.00	2564.30	USD	1,017.50	
040CT17	S	1	PD04JAN18	2608.00	2564.30	USD	1,092.50	
040CT17	B	1	PD04JAN18	2569.00	2564.30	USD	-117.50	
040CT17	B	1	PD04JAN18	2575.00	2564.30	USD	-267.50	
040CT17	B	1	PD04JAN18	2575.50	2564.30	USD	-280.00	
040CT17	B	1	PD04JAN18	2581.50	2564.30	USD	-430.00	
040CT17	B	2	PD04JAN18	2582.00	2564.30	USD	-885.00	
040CT17	B	1	PD04JAN18	2576.00	2564.30	USD	-292.50	
040CT17	B	1	PD04JAN18	2572.50	2564.30	USD	-205.00	
040CT17	B	1	PD04JAN18	2571.00	2564.30	USD	-167.50	
040CT17	B	2	PD04JAN18	2571.50	2564.30	USD	-360.00	
040CT17	S	1	PD04JAN18	2606.00	2564.30	USD	1,042.50	
040CT17	S	1	PD04JAN18	2607.00	2564.30	USD	1,067.50	
040CT17	B	1	PD04JAN18	2572.00	2564.30	USD	-192.50	
040CT17	B	2	PD04JAN18	2574.50	2564.30	USD	-510.00	
040CT17	B	2	PD04JAN18	2570.00	2564.30	USD	-285.00	
040CT17	B	1	PD04JAN18	2556.00	2564.30	USD	207.50	
040CT17	B	1	PD04JAN18	2556.50	2564.30	USD	195.00	
040CT17	B	1	PD04JAN18	2559.00	2564.30	USD	132.50	
040CT17	B	1	PD04JAN18	2555.50	2564.30	USD	220.00	
100CT17	S	16	PD04JAN18	2555.51	2564.30	USD	-3,516.00	
	NET	0AVG	BGHT 2570.78				-2,533.50	0.00
			SOLD 2565.71					
050CT17	S	1	PD05JAN18	2580.00	2564.40	USD	390.00	
050CT17	B	1	PD05JAN18	2588.50	2564.40	USD	-602.50	
060CT17	B	1	PD05JAN18	2584.00	2564.40	USD	-490.00	
060CT17	B	1	PD05JAN18	2577.00	2564.40	USD	-315.00	
060CT17	B	1	PD05JAN18	2579.00	2564.40	USD	-365.00	
060CT17	B	1	PD05JAN18	2573.00	2564.40	USD	-215.00	
060CT17	B	1	PD05JAN18	2568.00	2564.40	USD	-90.00	
060CT17	B	1	PD05JAN18	2553.00	2564.40	USD	285.00	
060CT17	B	1	PD05JAN18	2553.50	2564.40	USD	272.50	
060CT17	B	4	PD05JAN18	2551.50	2564.40	USD	1,290.00	
060CT17	B	1	PD05JAN18	2524.00	2564.40	USD	1,010.00	
060CT17	B	1	PD05JAN18	2524.50	2564.40	USD	997.50	
060CT17	B	1	PD05JAN18	2544.50	2564.40	USD	497.50	
060CT17	S	1	PD05JAN18	2542.50	2564.40	USD	-547.50	
060CT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
090CT17	S	10	PD05JAN18	2510.88	2564.40	USD	-13,380.00	
	NET	+2AVG	BGHT 2558.33				-11,747.50	0.00
			SOLD 2521.25					
090CT17	B	1	PD09JAN18	2528.00	2564.80	USD	920.00	
090CT17	B	1	PD09JAN18	2517.00	2564.80	USD	1,195.00	
090CT17	B	1	PD09JAN18	2530.00	2564.80	USD	870.00	
090CT17	B	2	PD09JAN18	2519.50	2564.80	USD	2,265.00	
090CT17	B	1	PD09JAN18	2518.00	2564.80	USD	1,170.00	
090CT17	B	3	PD09JAN18	2518.50	2564.80	USD	3,472.50	
090CT17	B	1	PD09JAN18	2524.50	2564.80	USD	1,007.50	
090CT17	B	1	PD09JAN18	2516.50	2564.80	USD	1,207.50	
090CT17	B	2	PD09JAN18	2517.50	2564.80	USD	2,365.00	
090CT17	B	1	PD09JAN18	2510.00	2564.80	USD	1,370.00	
090CT17	B	2	PD09JAN18	2505.00	2564.80	USD	2,990.00	

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11OCT17	S 16 PD09JAN18	2564.60	2564.80	USD	-80.00	
	NET 0 AVG BGHT 2517.72 SOLD 2564.60				18,752.50	0.00
11OCT17	B 1 PD11JAN18	2512.50	2565.00	USD	1,312.50	
11OCT17	B 1 PD11JAN18	2513.00	2565.00	USD	1,300.00	
11OCT17	B 1 PD11JAN18	2514.00	2565.00	USD	1,275.00	
11OCT17	B 1 PD11JAN18	2517.00	2565.00	USD	1,200.00	
	NET +4 AVG BGHT 2514.13				5,087.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD13OCT17	9390.00	11061.00	USD	-10,026.00	
14JUL17	S 3 PD13OCT17	9385.00	11061.00	USD	-30,168.00	
17JUL17	B 4 PD13OCT17	9598.36	11061.00	USD	35,103.36	
	NET 0 AVG BGHT 9598.36 SOLD 9386.25				-5,090.64	0.00
18JUL17	B 1 PD18OCT17	9580.00	11065.00	USD	8,910.00	
18JUL17	B 2 PD18OCT17	9645.00	11065.00	USD	17,040.00	
22AUG17	S 1 PD18OCT17	11395.50	11065.00	USD	1,983.00	
05SEP17	S 2 PD18OCT17	12047.00	11065.00	USD	11,784.00	
	NET 0 AVG BGHT 9623.33 SOLD 11829.83				39,717.00	0.00
21JUL17	B 2 PD20OCT17	9530.00	11066.93	USD	18,443.16	
21JUL17	B 1 PD20OCT17	9535.00	11066.93	USD	9,191.58	
21JUL17	B 1 PD20OCT17	9545.00	11066.93	USD	9,131.58	
05SEP17	S 4 PD20OCT17	12048.00	11066.93	USD	23,545.68	
	NET 0 AVG BGHT 9535.00 SOLD 12048.00				60,312.00	0.00
24JUL17	B 1 PD24OCT17	9625.00	11070.79	USD	8,674.74	
24JUL17	B 1 PD24OCT17	9620.00	11070.79	USD	8,704.74	
24JUL17	B 2 PD24OCT17	9655.00	11070.79	USD	16,989.48	
24JUL17	S 1 PD24OCT17	9765.00	11070.79	USD	-7,834.74	
05SEP17	S 3 PD24OCT17	12051.23	11070.79	USD	17,647.92	
	NET 0 AVG BGHT 9638.75 SOLD 11479.67				44,182.14	0.00
25JUL17	S 1 PD25OCT17	9945.00	11071.75	USD	-6,760.50	
26JUL17	B 1 PD25OCT17	10041.33	11071.75	USD	6,182.52	
	NET 0 AVG BGHT 10041.33 SOLD 9945.00				-577.98	0.00
26JUL17	B 1 PD26OCT17	10015.00	11072.71	USD	6,346.26	
26JUL17	B 1 PD26OCT17	9940.00	11072.71	USD	6,796.26	
26JUL17	S 1 PD26OCT17	10045.00	11072.71	USD	-6,166.26	
26JUL17	S 1 PD26OCT17	10065.00	11072.71	USD	-6,046.26	
26JUL17	B 1 PD26OCT17	9875.00	11072.71	USD	7,186.26	
26JUL17	B 1 PD26OCT17	9895.00	11072.71	USD	7,066.26	
26JUL17	B 1 PD26OCT17	9910.00	11072.71	USD	6,976.26	
26JUL17	B 1 PD26OCT17	9945.00	11072.71	USD	6,766.26	
26JUL17	S 2 PD26OCT17	9925.00	11072.71	USD	-13,772.52	
26JUL17	S 1 PD26OCT17	9935.00	11072.71	USD	-6,826.26	
26JUL17	S 2 PD26OCT17	9940.00	11072.71	USD	-13,592.52	
28JUL17	B 1 PD26OCT17	10200.94	11072.71	USD	5,230.62	
	NET 0 AVG BGHT 9968.71 SOLD 9967.86				-35.64	0.00
31JUL17	B 1 PD31OCT17	10220.00	11077.54	USD	5,145.24	
31JUL17	B 2 PD31OCT17	10250.00	11077.54	USD	9,930.24	
31JUL17	B 1 PD31OCT17	10210.00	11077.54	USD	5,205.24	
05SEP17	S 4 PD31OCT17	12056.88	11077.54	USD	23,504.16	
	NET 0 AVG BGHT 10232.50 SOLD 12056.88				43,785.12	0.00
01AUG17	B 2 PD01NOV17	10150.00	11078.50	USD	11,142.00	
05SEP17	S 2 PD01NOV17	12057.69	11078.50	USD	11,750.28	
	NET 0 AVG BGHT 10150.00 SOLD 12057.69				22,892.28	0.00
04AUG17	S 1 PD03NOV17	10280.00	11080.43	USD	-4,802.58	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD03NOV17	10628.98	11080.43	USD	2,708.70	
	NET	0 AVG BGHT 10628.98		SOLD 10280.00			-2,093.88	0.00
07AUG17	S	1	PD07NOV17	10365.00	11084.29	USD	-4,315.74	
08AUG17	B	1	PD07NOV17	10630.60	11084.29	USD	2,722.14	
	NET	0 AVG BGHT 10630.60		SOLD 10365.00			-1,593.60	0.00
10AUG17	S	1	PD10NOV17	10850.00	11087.18	USD	-1,423.08	
10AUG17	S	1	PD10NOV17	10835.00	11087.18	USD	-1,513.08	
11AUG17	B	2	PD10NOV17	10661.00	11087.18	USD	5,114.16	
	NET	0 AVG BGHT 10661.00		SOLD 10842.50			2,178.00	0.00
14AUG17	B	1	PD14NOV17	10575.00	11091.04	USD	3,096.24	
14AUG17	B	3	PD14NOV17	10570.00	11091.04	USD	9,378.72	
14AUG17	B	1	PD14NOV17	10560.00	11091.04	USD	3,186.24	
14AUG17	B	2	PD14NOV17	10550.00	11091.04	USD	6,492.48	
14AUG17	B	1	PD14NOV17	10540.00	11091.04	USD	3,306.24	
14AUG17	B	1	PD14NOV17	10500.00	11091.04	USD	3,546.24	
21AUG17	S	6	PD14NOV17	11313.81	11091.04	USD	8,019.72	
05SEP17	S	3	PD14NOV17	12068.19	11091.04	USD	17,588.70	
	NET	0 AVG BGHT 10553.89		SOLD 11565.27			54,614.58	0.00
16AUG17	B	1	PD16NOV17	10440.00	11092.77	USD	3,916.62	
16AUG17	B	1	PD16NOV17	10460.00	11092.77	USD	3,796.62	
21AUG17	S	2	PD16NOV17	11312.50	11092.77	USD	2,636.76	
	NET	0 AVG BGHT 10450.00		SOLD 11312.50			10,350.00	0.00
17AUG17	S	1	PD17NOV17	10650.00	11093.54	USD	-2,661.24	
17AUG17	S	1	PD17NOV17	10665.00	11093.54	USD	-2,571.24	
17AUG17	S	2	PD17NOV17	10660.00	11093.54	USD	-5,202.48	
17AUG17	S	1	PD17NOV17	10670.00	11093.54	USD	-2,541.24	
18AUG17	S	2	PD17NOV17	11000.00	11093.54	USD	-1,122.48	
18AUG17	S	1	PD17NOV17	10990.00	11093.54	USD	-621.24	
21AUG17	B	8	PD17NOV17	11314.00	11093.54	USD	-10,582.08	
	NET	0 AVG BGHT 11314.00		SOLD 10786.88			-25,302.00	0.00
21AUG17	S	1	PD21NOV17	11245.00	11096.63	USD	890.22	
21AUG17	S	1	PD21NOV17	11265.00	11096.63	USD	1,010.22	
21AUG17	S	1	PD21NOV17	11335.00	11096.63	USD	1,430.22	
22AUG17	B	3	PD21NOV17	11415.58	11096.63	USD	-5,741.10	
	NET	0 AVG BGHT 11415.58		SOLD 11281.67			-2,410.44	0.00
22AUG17	S	1	PD22NOV17	11410.00	11097.40	USD	1,875.60	
22AUG17	S	1	PD22NOV17	11445.00	11097.40	USD	2,085.60	
22AUG17	S	1	PD22NOV17	11440.00	11097.40	USD	2,055.60	
22AUG17	S	1	PD22NOV17	11475.00	11097.40	USD	2,265.60	
22AUG17	B	1	PD22NOV17	11420.00	11097.40	USD	-1,935.60	
22AUG17	B	1	PD22NOV17	11430.00	11097.40	USD	-1,995.60	
22AUG17	B	1	PD22NOV17	11340.00	11097.40	USD	-1,455.60	
22AUG17	B	1	PD22NOV17	11355.00	11097.40	USD	-1,545.60	
	NET	0 AVG BGHT 11386.25		SOLD 11442.50			1,350.00	0.00
23AUG17	B	1	PD24NOV17	11330.00	11098.94	USD	-1,386.36	
23AUG17	B	1	PD24NOV17	11335.00	11098.94	USD	-1,416.36	
23AUG17	S	1	PD24NOV17	11480.00	11098.94	USD	2,286.36	
23AUG17	S	4	PD24NOV17	11545.00	11098.94	USD	10,705.44	
23AUG17	S	1	PD24NOV17	11560.00	11098.94	USD	2,766.36	
23AUG17	S	1	PD24NOV17	11515.00	11098.94	USD	2,496.36	
24AUG17	B	2	PD24NOV17	11710.00	11098.94	USD	-7,332.72	
24AUG17	B	2	PD24NOV17	11715.00	11098.94	USD	-7,392.72	
24AUG17	B	1	PD24NOV17	11665.00	11098.94	USD	-3,396.36	
25AUG17	B	1	PD24NOV17	11530.00	11098.94	USD	-2,586.36	
25AUG17	B	1	PD24NOV17	11515.00	11098.94	USD	-2,496.36	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	11450.00	11098.94	USD	-2,106.36	
05SEP17	S	1	PD24NOV17	12072.63	11098.94	USD	5,842.14	
12SEP17	S	2	PD24NOV17	11975.33	11098.94	USD	10,516.68	
	NET	0 AVG	BGHT 11567.50				6,499.74	0.00
29AUG17	B	1	PD29NOV17	11675.00	11102.80	USD	-3,433.20	
29AUG17	B	1	PD29NOV17	11680.00	11102.80	USD	-3,463.20	
29AUG17	B	1	PD29NOV17	11705.00	11102.80	USD	-3,613.20	
29AUG17	B	2	PD29NOV17	11715.00	11102.80	USD	-7,346.40	
29AUG17	B	1	PD29NOV17	11735.00	11102.80	USD	-3,793.20	
12SEP17	S	6	PD29NOV17	11979.41	11102.80	USD	31,557.96	
	NET	0 AVG	BGHT 11704.17				9,908.76	0.00
30AUG17	B	1	PD30NOV17	11720.00	11103.57	USD	-3,698.58	
30AUG17	B	1	PD30NOV17	11675.00	11103.57	USD	-3,428.58	
30AUG17	B	1	PD30NOV17	11670.00	11103.57	USD	-3,398.58	
30AUG17	B	1	PD30NOV17	11680.00	11103.57	USD	-3,458.58	
30AUG17	S	1	PD30NOV17	11640.00	11103.57	USD	3,218.58	
31AUG17	S	1	PD30NOV17	11780.00	11103.57	USD	4,058.58	
31AUG17	S	1	PD30NOV17	11790.00	11103.57	USD	4,118.58	
12SEP17	S	1	PD30NOV17	11980.22	11103.57	USD	5,259.90	
	NET	0 AVG	BGHT 11686.25				2,671.32	0.00
01SEP17	S	1	PD01DEC17	11945.00	11104.34	USD	5,043.96	
01SEP17	S	3	PD01DEC17	11975.00	11104.34	USD	15,671.88	
01SEP17	S	2	PD01DEC17	11960.00	11104.34	USD	10,267.92	
01SEP17	S	2	PD01DEC17	11965.00	11104.34	USD	10,327.92	
01SEP17	S	1	PD01DEC17	12015.00	11104.34	USD	5,463.96	
12SEP17	B	9	PD01DEC17	11982.04	11104.34	USD	-47,395.80	
	NET	0 AVG	BGHT 11982.04				-620.16	0.00
13SEP17	B	2	PD13DEC17	11725.00	11113.60	USD	-7,336.80	
13SEP17	B	1	PD13DEC17	11730.00	11113.60	USD	-3,698.40	
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
14SEP17	S	4	PD13DEC17	11198.21	11113.60	USD	2,030.64	
	NET	0 AVG	BGHT 11725.00				-12,642.96	0.00
14SEP17	B	1	PD14DEC17	11430.00	11114.37	USD	-1,893.78	
14SEP17	S	1	PD14DEC17	11425.00	11114.37	USD	1,863.78	
14SEP17	B	1	PD14DEC17	11175.00	11114.37	USD	-363.78	
14SEP17	B	1	PD14DEC17	11225.00	11114.37	USD	-663.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	B	1	PD14DEC17	11160.00	11114.37	USD	-273.78	
14SEP17	B	1	PD14DEC17	11170.00	11114.37	USD	-333.78	
14SEP17	B	1	PD14DEC17	11190.00	11114.37	USD	-453.78	
14SEP17	B	1	PD14DEC17	11200.00	11114.37	USD	-513.78	
18SEP17	S	7	PD14DEC17	11230.97	11114.37	USD	4,897.20	
	NET	0 AVG	BGHT 11214.38				1,960.74	0.00
15SEP17	B	4	PD15DEC17	11140.00	11115.14	USD	-596.64	
15SEP17	B	1	PD15DEC17	11150.00	11115.14	USD	-209.16	
15SEP17	B	1	PD15DEC17	11155.00	11115.14	USD	-239.16	
22SEP17	S	2	PD15DEC17	10413.57	11115.14	USD	-8,418.84	
	NET	+4 AVG	BGHT 11144.17				-9,463.80	0.00
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
	NET	+1 AVG	BGHT 11170.00				-315.24	0.00
19SEP17	S	1	PD19DEC17	11135.00	11118.23	USD	100.62	
19SEP17	S	1	PD19DEC17	11140.00	11118.23	USD	130.62	
22SEP17	B	2	PD19DEC17	10418.11	11118.23	USD	8,401.44	
	NET	0 AVG	BGHT 10418.11				8,632.68	0.00
21SEP17	S	1	PD21DEC17	11105.00	11119.73	USD	-88.38	

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21SEP17	B 1 PD21DEC17	11115.00	11119.73	USD	28.38	
	NET 0AVG BGHT 11115.00 SOLD 11105.00				-60.00	0.00
22SEP17	B 2 PD22DEC17	10610.00	11120.45	USD	6,125.40	
22SEP17	B 3 PD22DEC17	10530.00	11120.45	USD	10,628.10	
22SEP17	B 1 PD22DEC17	10545.00	11120.45	USD	3,452.70	
22SEP17	B 2 PD22DEC17	10535.00	11120.45	USD	7,025.40	
22SEP17	S 1 PD22DEC17	10560.00	11120.45	USD	-3,362.70	
22SEP17	S 1 PD22DEC17	10555.00	11120.45	USD	-3,392.70	
22SEP17	S 1 PD22DEC17	10565.00	11120.45	USD	-3,332.70	
22SEP17	S 1 PD22DEC17	10600.00	11120.45	USD	-3,122.70	
22SEP17	S 1 PD22DEC17	10615.00	11120.45	USD	-3,032.70	
22SEP17	S 1 PD22DEC17	10625.00	11120.45	USD	-2,972.70	
22SEP17	S 1 PD22DEC17	10620.00	11120.45	USD	-3,002.70	
22SEP17	S 1 PD22DEC17	10640.00	11120.45	USD	-2,882.70	
	NET 0AVG BGHT 10553.13 SOLD 10597.50				2,130.00	0.00
29SEP17	B 1 PD29DEC17	10420.00	11125.55	USD	4,233.30	
29SEP17	B 2 PD29DEC17	10425.00	11125.55	USD	8,406.60	
29SEP17	B 1 PD29DEC17	10430.00	11125.55	USD	4,173.30	
29SEP17	B 1 PD29DEC17	10490.00	11125.55	USD	3,813.30	
	NET +5AVG BGHT 10438.00				20,626.50	0.00
03OCT17	B 1 PD03JAN18	10475.00	11129.18	USD	3,925.08	
03OCT17	B 1 PD03JAN18	10480.00	11129.18	USD	3,895.08	
03OCT17	B 1 PD03JAN18	10435.00	11129.18	USD	4,165.08	
03OCT17	B 2 PD03JAN18	10455.00	11129.18	USD	8,090.16	
03OCT17	S 3 PD03JAN18	10700.00	11129.18	USD	-7,725.24	
	NET +2AVG BGHT 10460.00 SOLD 10700.00				12,350.16	0.00
	<u>CMX SILVER 5,000 CMX</u>					
15SEP17	B 1 DEC17	1770.5	1713.3	USD	-2,860.00	
19SEP17	B 1 DEC17	1718.5	1713.3	USD	-260.00	
19SEP17	B 2 DEC17	1721.0	1713.3	USD	-770.00	
19SEP17	B 1 DEC17	1719.0	1713.3	USD	-285.00	
29SEP17	B 1 DEC17	1679.0	1713.3	USD	1,715.00	
03OCT17	B 1 DEC17	1661.5	1713.3	USD	2,590.00	
03OCT17	B 1 DEC17	1661.0	1713.3	USD	2,615.00	
	NET +8AVG BGHT 1706.4				2,745.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 2 PD12OCT17	2818.00	3299.33	USD	24,066.50	
12JUL17	B 1 PD12OCT17	2819.00	3299.33	USD	12,008.25	
12JUL17	B 1 PD12OCT17	2820.00	3299.33	USD	11,983.25	
12JUL17	B 1 PD12OCT17	2826.00	3299.33	USD	11,833.25	
12JUL17	B 1 PD12OCT17	2833.00	3299.33	USD	11,658.25	
12JUL17	S 1 PD12OCT17	2827.00	3299.33	USD	-11,808.25	
12JUL17	S 1 PD12OCT17	2856.50	3299.33	USD	-11,070.75	
12JUL17	S 1 PD12OCT17	2857.50	3299.33	USD	-11,045.75	
12JUL17	S 1 PD12OCT17	2860.00	3299.33	USD	-10,983.25	
12JUL17	B 1 PD12OCT17	2849.50	3299.33	USD	11,245.75	
12JUL17	B 1 PD12OCT17	2847.00	3299.33	USD	11,308.25	
12JUL17	B 2 PD12OCT17	2852.50	3299.33	USD	22,341.50	
12JUL17	B 1 PD12OCT17	2843.50	3299.33	USD	11,395.75	
12JUL17	B 1 PD12OCT17	2845.00	3299.33	USD	11,358.25	
12JUL17	B 1 PD12OCT17	2844.50	3299.33	USD	11,370.75	
12JUL17	B 3 PD12OCT17	2842.50	3299.33	USD	34,262.25	
12JUL17	B 1 PD12OCT17	2837.50	3299.33	USD	11,545.75	
12JUL17	B 1 PD12OCT17	2832.50	3299.33	USD	11,670.75	

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12JUL17	B	1	PD120CT17	2834.50	3299.33	USD	11,620.75	
12JUL17	B	3	PD120CT17	2835.00	3299.33	USD	34,824.75	
12JUL17	B	1	PD120CT17	2836.50	3299.33	USD	11,570.75	
12JUL17	S	1	PD120CT17	2839.00	3299.33	USD	-11,508.25	
12JUL17	B	1	PD120CT17	2838.00	3299.33	USD	11,533.25	
12JUL17	B	1	PD120CT17	2830.50	3299.33	USD	11,720.75	
12JUL17	B	1	PD120CT17	2831.50	3299.33	USD	11,695.75	
12JUL17	B	1	PD120CT17	2829.50	3299.33	USD	11,745.75	
12JUL17	B	1	PD120CT17	2827.50	3299.33	USD	11,795.75	
12JUL17	B	1	PD120CT17	2825.00	3299.33	USD	11,858.25	
12JUL17	B	1	PD120CT17	2824.50	3299.33	USD	11,870.75	
12JUL17	S	3	PD120CT17	2823.50	3299.33	USD	-35,687.25	
12JUL17	S	3	PD120CT17	2823.00	3299.33	USD	-35,724.75	
12JUL17	S	3	PD120CT17	2819.50	3299.33	USD	-35,987.25	
13JUL17	S	16	PD120CT17	2803.55	3299.33	USD	-198,312.00	
	NET	0AVG BGHT 2834.95	SOLD 2816.49				-13,842.50	0.00
13JUL17	S	2	PD130CT17	2827.00	3295.00	USD	-23,400.00	
13JUL17	S	1	PD130CT17	2828.00	3295.00	USD	-11,675.00	
13JUL17	S	2	PD130CT17	2835.00	3295.00	USD	-23,000.00	
13JUL17	S	1	PD130CT17	2835.50	3295.00	USD	-11,487.50	
13JUL17	S	1	PD130CT17	2827.50	3295.00	USD	-11,687.50	
13JUL17	B	1	PD130CT17	2803.50	3295.00	USD	12,287.50	
13JUL17	B	1	PD130CT17	2804.50	3295.00	USD	12,262.50	
13JUL17	B	1	PD130CT17	2806.00	3295.00	USD	12,225.00	
13JUL17	B	1	PD130CT17	2809.50	3295.00	USD	12,137.50	
13JUL17	B	1	PD130CT17	2810.50	3295.00	USD	12,112.50	
14JUL17	S	1	PD130CT17	2790.00	3295.00	USD	-12,625.00	
14JUL17	S	1	PD130CT17	2791.00	3295.00	USD	-12,600.00	
14JUL17	B	2	PD130CT17	2773.00	3295.00	USD	26,100.00	
14JUL17	B	1	PD130CT17	2769.00	3295.00	USD	13,150.00	
14JUL17	B	1	PD130CT17	2766.00	3295.00	USD	13,225.00	
14JUL17	B	1	PD130CT17	2767.00	3295.00	USD	13,200.00	
14JUL17	B	1	PD130CT17	2763.50	3295.00	USD	13,287.50	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	B	2	PD130CT17	2765.50	3295.00	USD	26,475.00	
14JUL17	B	1	PD130CT17	2767.50	3295.00	USD	13,187.50	
14JUL17	B	4	PD130CT17	2763.00	3295.00	USD	53,200.00	
14JUL17	B	1	PD130CT17	2758.50	3295.00	USD	13,412.50	
14JUL17	B	1	PD130CT17	2759.50	3295.00	USD	13,387.50	
14JUL17	B	1	PD130CT17	2760.00	3295.00	USD	13,375.00	
14JUL17	B	1	PD130CT17	2755.00	3295.00	USD	13,500.00	
14JUL17	B	1	PD130CT17	2756.00	3295.00	USD	13,475.00	
14JUL17	B	1	PD130CT17	2755.50	3295.00	USD	13,487.50	
14JUL17	S	1	PD130CT17	2794.50	3295.00	USD	-12,512.50	
14JUL17	S	1	PD130CT17	2790.50	3295.00	USD	-12,612.50	
14JUL17	S	1	PD130CT17	2791.50	3295.00	USD	-12,587.50	
14JUL17	S	1	PD130CT17	2788.50	3295.00	USD	-12,662.50	
14JUL17	S	1	PD130CT17	2789.00	3295.00	USD	-12,650.00	
14JUL17	S	1	PD130CT17	2792.50	3295.00	USD	-12,562.50	
14JUL17	S	1	PD130CT17	2794.00	3295.00	USD	-12,525.00	
17JUL17	S	9	PD130CT17	2814.00	3295.00	USD	-108,225.00	
	NET	0AVG BGHT 2772.22	SOLD 2810.50				23,925.00	0.00
17JUL17	S	1	PD170CT17	2824.50	3286.33	USD	-11,545.75	
17JUL17	S	1	PD170CT17	2815.00	3286.33	USD	-11,783.25	
17JUL17	S	1	PD170CT17	2816.50	3286.33	USD	-11,745.75	
17JUL17	S	1	PD170CT17	2823.00	3286.33	USD	-11,583.25	
17JUL17	S	1	PD170CT17	2814.00	3286.33	USD	-11,808.25	

OPEN POSITION STATEMENT



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SAP0094E

11th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2825.00	3286.33	USD	-11,533.25	
17JUL17	S	1	PD17OCT17	2835.50	3286.33	USD	-11,270.75	
17JUL17	S	1	PD17OCT17	2836.50	3286.33	USD	-11,245.75	
17JUL17	S	1	PD17OCT17	2840.00	3286.33	USD	-11,158.25	
17JUL17	S	2	PD17OCT17	2841.00	3286.33	USD	-22,266.50	
17JUL17	S	1	PD17OCT17	2842.50	3286.33	USD	-11,095.75	
17JUL17	S	1	PD17OCT17	2843.00	3286.33	USD	-11,083.25	
17JUL17	B	2	PD17OCT17	2822.50	3286.33	USD	23,191.50	
17JUL17	B	1	PD17OCT17	2823.50	3286.33	USD	11,570.75	
17JUL17	B	2	PD17OCT17	2821.50	3286.33	USD	23,241.50	
17JUL17	S	2	PD17OCT17	2816.00	3286.33	USD	-23,516.50	
17JUL17	S	1	PD17OCT17	2814.50	3286.33	USD	-11,795.75	
17JUL17	S	1	PD17OCT17	2824.00	3286.33	USD	-11,558.25	
17JUL17	B	1	PD17OCT17	2832.00	3286.33	USD	11,358.25	
17JUL17	B	1	PD17OCT17	2832.50	3286.33	USD	11,345.75	
17JUL17	B	2	PD17OCT17	2831.50	3286.33	USD	22,741.50	
19JUL17	B	8	PD17OCT17	2747.54	3286.33	USD	107,758.00	
	NET	0AVG BGHT 2789.37	SOLD 2827.53				16,217.00	0.00
18JUL17	B	1	PD18OCT17	2799.50	3282.00	USD	12,062.50	
18JUL17	B	2	PD18OCT17	2792.00	3282.00	USD	24,500.00	
18JUL17	B	1	PD18OCT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	2	PD18OCT17	2795.00	3282.00	USD	24,350.00	
18JUL17	B	2	PD18OCT17	2795.50	3282.00	USD	24,325.00	
18JUL17	B	1	PD18OCT17	2796.50	3282.00	USD	12,137.50	
18JUL17	B	3	PD18OCT17	2800.00	3282.00	USD	36,150.00	
18JUL17	B	1	PD18OCT17	2801.00	3282.00	USD	12,025.00	
18JUL17	B	2	PD18OCT17	2779.00	3282.00	USD	25,150.00	
18JUL17	B	2	PD18OCT17	2777.00	3282.00	USD	25,250.00	
18JUL17	B	1	PD18OCT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B	4	PD18OCT17	2778.00	3282.00	USD	50,400.00	
18JUL17	B	1	PD18OCT17	2774.50	3282.00	USD	12,687.50	
18JUL17	B	1	PD18OCT17	2775.50	3282.00	USD	12,662.50	
18JUL17	B	4	PD18OCT17	2776.50	3282.00	USD	50,550.00	
18JUL17	B	1	PD18OCT17	2773.00	3282.00	USD	12,725.00	
18JUL17	B	2	PD18OCT17	2773.50	3282.00	USD	25,425.00	
18JUL17	B	1	PD18OCT17	2775.00	3282.00	USD	12,675.00	
18JUL17	B	2	PD18OCT17	2771.00	3282.00	USD	25,550.00	
18JUL17	B	5	PD18OCT17	2771.50	3282.00	USD	63,812.50	
18JUL17	B	1	PD18OCT17	2772.50	3282.00	USD	12,737.50	
18JUL17	B	1	PD18OCT17	2798.00	3282.00	USD	12,100.00	
18JUL17	B	1	PD18OCT17	2800.50	3282.00	USD	12,037.50	
18JUL17	B	1	PD18OCT17	2774.00	3282.00	USD	12,700.00	
18JUL17	B	1	PD18OCT17	2772.00	3282.00	USD	12,750.00	
18JUL17	B	1	PD18OCT17	2781.00	3282.00	USD	12,525.00	
18JUL17	B	2	PD18OCT17	2780.00	3282.00	USD	25,100.00	
18JUL17	B	2	PD18OCT17	2780.50	3282.00	USD	25,075.00	
18JUL17	B	4	PD18OCT17	2779.50	3282.00	USD	50,250.00	
18JUL17	S	1	PD18OCT17	2794.00	3282.00	USD	-12,200.00	
18JUL17	S	2	PD18OCT17	2794.50	3282.00	USD	-24,375.00	
18JUL17	S	3	PD18OCT17	2795.00	3282.00	USD	-36,525.00	
18JUL17	S	30	PD18OCT17	2793.80	3282.00	USD	-366,150.00	
19JUL17	S	8	PD18OCT17	2747.55	3282.00	USD	-106,890.00	
21JUL17	S	9	PD18OCT17	2755.50	3282.00	USD	-118,462.50	
	NET	0AVG BGHT 2781.96	SOLD 2780.41				-2,052.50	0.00
19JUL17	S	2	PD19OCT17	2795.50	3277.50	USD	-24,100.00	
19JUL17	S	2	PD19OCT17	2796.00	3277.50	USD	-24,075.00	
19JUL17	S	1	PD19OCT17	2796.50	3277.50	USD	-12,025.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	2770.00	3277.50	USD	12,687.50	
19JUL17	B	1	PD19OCT17	2769.00	3277.50	USD	12,712.50	
19JUL17	B	1	PD19OCT17	2767.50	3277.50	USD	12,750.00	
19JUL17	B	1	PD19OCT17	2755.00	3277.50	USD	13,062.50	
19JUL17	B	1	PD19OCT17	2755.50	3277.50	USD	13,050.00	
19JUL17	B	1	PD19OCT17	2756.00	3277.50	USD	13,037.50	
19JUL17	B	1	PD19OCT17	2758.00	3277.50	USD	12,987.50	
19JUL17	B	1	PD19OCT17	2759.00	3277.50	USD	12,962.50	
19JUL17	B	1	PD19OCT17	2765.50	3277.50	USD	12,800.00	
19JUL17	B	1	PD19OCT17	2747.00	3277.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17	2748.50	3277.50	USD	13,225.00	
19JUL17	S	1	PD19OCT17	2748.00	3277.50	USD	-13,237.50	
19JUL17	B	1	PD19OCT17	2742.50	3277.50	USD	13,375.00	
19JUL17	B	2	PD19OCT17	2744.00	3277.50	USD	26,675.00	
19JUL17	B	2	PD19OCT17	2737.50	3277.50	USD	27,000.00	
19JUL17	B	1	PD19OCT17	2741.50	3277.50	USD	13,400.00	
19JUL17	B	1	PD19OCT17	2743.00	3277.50	USD	13,362.50	
19JUL17	S	3	PD19OCT17	2739.00	3277.50	USD	-40,387.50	
19JUL17	S	6	PD19OCT17	2735.00	3277.50	USD	-81,375.00	
21JUL17	S	3	PD19OCT17	2753.50	3277.50	USD	-39,300.00	
	NET	0AVG	BGHT 2752.28	SOLD 2756.39			1,850.00	0.00
20JUL17	S	1	PD20OCT17	2737.50	3273.00	USD	-13,387.50	
20JUL17	S	1	PD20OCT17	2738.50	3273.00	USD	-13,362.50	
20JUL17	S	1	PD20OCT17	2739.00	3273.00	USD	-13,350.00	
20JUL17	S	1	PD20OCT17	2743.50	3273.00	USD	-13,237.50	
20JUL17	S	2	PD20OCT17	2738.00	3273.00	USD	-26,750.00	
20JUL17	S	1	PD20OCT17	2752.00	3273.00	USD	-13,025.00	
20JUL17	S	3	PD20OCT17	2752.50	3273.00	USD	-39,037.50	
20JUL17	S	1	PD20OCT17	2753.50	3273.00	USD	-12,987.50	
20JUL17	S	1	PD20OCT17	2755.50	3273.00	USD	-12,937.50	
20JUL17	S	1	PD20OCT17	2756.00	3273.00	USD	-12,925.00	
20JUL17	S	2	PD20OCT17	2757.50	3273.00	USD	-25,775.00	
20JUL17	S	2	PD20OCT17	2749.00	3273.00	USD	-26,200.00	
20JUL17	S	2	PD20OCT17	2746.50	3273.00	USD	-26,325.00	
20JUL17	S	5	PD20OCT17	2741.00	3273.00	USD	-66,500.00	
20JUL17	B	1	PD20OCT17	2744.50	3273.00	USD	13,212.50	
20JUL17	S	1	PD20OCT17	2742.50	3273.00	USD	-13,262.50	
20JUL17	S	2	PD20OCT17	2744.50	3273.00	USD	-26,425.00	
20JUL17	S	2	PD20OCT17	2745.50	3273.00	USD	-26,375.00	
20JUL17	S	1	PD20OCT17	2747.00	3273.00	USD	-13,150.00	
20JUL17	S	1	PD20OCT17	2747.50	3273.00	USD	-13,137.50	
20JUL17	B	1	PD20OCT17	2727.00	3273.00	USD	13,650.00	
21JUL17	S	1	PD20OCT17	2747.00	3273.00	USD	-13,150.00	
21JUL17	S	1	PD20OCT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	2	PD20OCT17	2749.50	3273.00	USD	-26,175.00	
21JUL17	B	1	PD20OCT17	2749.50	3273.00	USD	13,087.50	
21JUL17	S	2	PD20OCT17	2757.50	3273.00	USD	-25,775.00	
21JUL17	S	1	PD20OCT17	2762.50	3273.00	USD	-12,762.50	
21JUL17	S	1	PD20OCT17	2763.00	3273.00	USD	-12,750.00	
21JUL17	S	1	PD20OCT17	2749.00	3273.00	USD	-13,100.00	
21JUL17	S	1	PD20OCT17	2751.50	3273.00	USD	-13,037.50	
21JUL17	S	1	PD20OCT17	2752.00	3273.00	USD	-13,025.00	
21JUL17	S	1	PD20OCT17	2767.00	3273.00	USD	-12,650.00	
21JUL17	S	1	PD20OCT17	2765.00	3273.00	USD	-12,700.00	
21JUL17	S	2	PD20OCT17	2761.50	3273.00	USD	-25,575.00	
21JUL17	S	4	PD20OCT17	2764.00	3273.00	USD	-50,900.00	
21JUL17	B	1	PD20OCT17	2764.00	3273.00	USD	12,725.00	

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21JUL17	S	1	PD200CT17	2766.00	3273.00	USD	-12,675.00	
21JUL17	S	1	PD200CT17	2766.50	3273.00	USD	-12,662.50	
21JUL17	S	1	PD200CT17	2769.50	3273.00	USD	-12,587.50	
21JUL17	S	1	PD200CT17	2770.50	3273.00	USD	-12,562.50	
21JUL17	S	2	PD200CT17	2771.00	3273.00	USD	-25,100.00	
21JUL17	S	1	PD200CT17	2776.00	3273.00	USD	-12,425.00	
21JUL17	S	1	PD200CT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	S	1	PD200CT17	2773.50	3273.00	USD	-12,487.50	
21JUL17	B	2	PD200CT17	2768.50	3273.00	USD	25,225.00	
21JUL17	B	2	PD200CT17	2769.50	3273.00	USD	25,175.00	
21JUL17	S	2	PD200CT17	2771.50	3273.00	USD	-25,075.00	
21JUL17	B	1	PD200CT17	2772.00	3273.00	USD	12,525.00	
21JUL17	B	1	PD200CT17	2754.50	3273.00	USD	12,962.50	
21JUL17	B	1	PD200CT17	2744.50	3273.00	USD	13,212.50	
21JUL17	B	2	PD200CT17	2742.50	3273.00	USD	26,525.00	
21JUL17	B	1	PD200CT17	2744.00	3273.00	USD	13,225.00	
21JUL17	B	2	PD200CT17	2753.00	3273.00	USD	26,000.00	
21JUL17	B	1	PD200CT17	2753.50	3273.00	USD	12,987.50	
21JUL17	B	1	PD200CT17	2750.50	3273.00	USD	13,062.50	
21JUL17	B	2	PD200CT17	2751.00	3273.00	USD	26,100.00	
21JUL17	B	1	PD200CT17	2752.50	3273.00	USD	13,012.50	
21JUL17	B	22	PD200CT17	2753.70	3273.00	USD	285,615.00	
21JUL17	B	3	PD200CT17	2751.50	3273.00	USD	39,112.50	
24JUL17	B	7	PD200CT17	2787.80	3273.00	USD	84,910.00	
25JUL17	B	8	PD200CT17	2835.37	3273.00	USD	87,526.00	
	NET	0	AVG BGHT 2768.18				SOLD 2754.34	0.00
24JUL17	S	3	PD240CT17	2783.00	3267.00	USD	-36,300.00	
24JUL17	S	2	PD240CT17	2784.00	3267.00	USD	-24,150.00	
24JUL17	S	1	PD240CT17	2785.00	3267.00	USD	-12,050.00	
24JUL17	S	1	PD240CT17	2787.00	3267.00	USD	-12,000.00	
24JUL17	S	1	PD240CT17	2776.00	3267.00	USD	-12,275.00	
24JUL17	S	1	PD240CT17	2776.50	3267.00	USD	-12,262.50	
24JUL17	S	2	PD240CT17	2777.50	3267.00	USD	-24,475.00	
24JUL17	S	2	PD240CT17	2779.50	3267.00	USD	-24,375.00	
24JUL17	S	2	PD240CT17	2778.50	3267.00	USD	-24,425.00	
24JUL17	S	1	PD240CT17	2781.00	3267.00	USD	-12,150.00	
24JUL17	S	1	PD240CT17	2781.50	3267.00	USD	-12,137.50	
24JUL17	S	2	PD240CT17	2780.00	3267.00	USD	-24,350.00	
24JUL17	S	3	PD240CT17	2780.50	3267.00	USD	-36,487.50	
24JUL17	S	1	PD240CT17	2782.00	3267.00	USD	-12,125.00	
24JUL17	S	1	PD240CT17	2791.00	3267.00	USD	-11,900.00	
24JUL17	S	1	PD240CT17	2792.00	3267.00	USD	-11,875.00	
24JUL17	S	1	PD240CT17	2793.50	3267.00	USD	-11,837.50	
24JUL17	S	1	PD240CT17	2794.00	3267.00	USD	-11,825.00	
24JUL17	S	1	PD240CT17	2795.00	3267.00	USD	-11,800.00	
24JUL17	S	1	PD240CT17	2795.50	3267.00	USD	-11,787.50	
24JUL17	S	3	PD240CT17	2796.00	3267.00	USD	-35,325.00	
24JUL17	S	3	PD240CT17	2779.00	3267.00	USD	-36,600.00	
24JUL17	B	1	PD240CT17	2775.00	3267.00	USD	12,300.00	
24JUL17	B	1	PD240CT17	2775.50	3267.00	USD	12,287.50	
24JUL17	B	1	PD240CT17	2767.50	3267.00	USD	12,487.50	
24JUL17	B	1	PD240CT17	2768.50	3267.00	USD	12,462.50	
24JUL17	B	1	PD240CT17	2769.00	3267.00	USD	12,450.00	
24JUL17	B	2	PD240CT17	2773.00	3267.00	USD	24,700.00	
24JUL17	B	1	PD240CT17	2773.50	3267.00	USD	12,337.50	
24JUL17	B	2	PD240CT17	2771.50	3267.00	USD	24,775.00	
24JUL17	S	1	PD240CT17	2782.50	3267.00	USD	-12,112.50	

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24JUL17	S	4	PD240CT17	2785.50	3267.00	USD	-48,150.00	
24JUL17	S	4	PD240CT17	2786.50	3267.00	USD	-48,050.00	
24JUL17	B	1	PD240CT17	2784.00	3267.00	USD	12,075.00	
24JUL17	B	2	PD240CT17	2785.00	3267.00	USD	24,100.00	
25JUL17	B	10	PD240CT17	2836.03	3267.00	USD	107,742.50	
26JUL17	S	2	PD240CT17	2807.71	3267.00	USD	-22,964.50	
27JUL17	B	14	PD240CT17	2800.70	3267.00	USD	163,205.00	
31JUL17	B	5	PD240CT17	2793.45	3267.00	USD	59,193.75	
02AUG17	B	4	PD240CT17	2799.00	3267.00	USD	46,800.00	
	NET	0AVG BGHT 2800.12	SOLD 2785.44				-16,873.25	0.00
25JUL17	S	2	PD250CT17	2805.00	3264.00	USD	-22,950.00	
25JUL17	S	1	PD250CT17	2811.00	3264.00	USD	-11,325.00	
25JUL17	S	2	PD250CT17	2813.00	3264.00	USD	-22,550.00	
25JUL17	S	1	PD250CT17	2813.50	3264.00	USD	-11,262.50	
25JUL17	S	1	PD250CT17	2816.50	3264.00	USD	-11,187.50	
25JUL17	S	1	PD250CT17	2818.50	3264.00	USD	-11,137.50	
25JUL17	S	1	PD250CT17	2819.50	3264.00	USD	-11,112.50	
25JUL17	S	3	PD250CT17	2820.00	3264.00	USD	-33,300.00	
25JUL17	S	2	PD250CT17	2821.50	3264.00	USD	-22,125.00	
25JUL17	S	1	PD250CT17	2822.00	3264.00	USD	-11,050.00	
25JUL17	S	2	PD250CT17	2824.50	3264.00	USD	-21,975.00	
25JUL17	S	1	PD250CT17	2820.50	3264.00	USD	-11,087.50	
25JUL17	S	2	PD250CT17	2821.00	3264.00	USD	-22,150.00	
25JUL17	S	2	PD250CT17	2822.50	3264.00	USD	-22,075.00	
25JUL17	S	2	PD250CT17	2823.00	3264.00	USD	-22,050.00	
25JUL17	S	1	PD250CT17	2825.50	3264.00	USD	-10,962.50	
25JUL17	S	1	PD250CT17	2826.00	3264.00	USD	-10,950.00	
25JUL17	S	2	PD250CT17	2827.00	3264.00	USD	-21,850.00	
25JUL17	S	1	PD250CT17	2827.50	3264.00	USD	-10,912.50	
25JUL17	S	2	PD250CT17	2836.00	3264.00	USD	-21,400.00	
25JUL17	S	2	PD250CT17	2837.00	3264.00	USD	-21,350.00	
25JUL17	S	1	PD250CT17	2834.50	3264.00	USD	-10,737.50	
25JUL17	S	1	PD250CT17	2835.00	3264.00	USD	-10,725.00	
25JUL17	S	1	PD250CT17	2830.00	3264.00	USD	-10,850.00	
25JUL17	S	3	PD250CT17	2805.50	3264.00	USD	-34,387.50	
25JUL17	S	2	PD250CT17	2804.50	3264.00	USD	-22,975.00	
25JUL17	B	1	PD250CT17	2827.50	3264.00	USD	10,912.50	
25JUL17	B	2	PD250CT17	2825.50	3264.00	USD	21,925.00	
25JUL17	B	1	PD250CT17	2823.00	3264.00	USD	11,025.00	
25JUL17	S	3	PD250CT17	2831.00	3264.00	USD	-32,475.00	
25JUL17	S	1	PD250CT17	2832.00	3264.00	USD	-10,800.00	
25JUL17	S	1	PD250CT17	2830.50	3264.00	USD	-10,837.50	
25JUL17	S	1	PD250CT17	2838.00	3264.00	USD	-10,650.00	
25JUL17	S	1	PD250CT17	2839.00	3264.00	USD	-10,625.00	
25JUL17	S	1	PD250CT17	2842.50	3264.00	USD	-10,537.50	
25JUL17	S	1	PD250CT17	2844.50	3264.00	USD	-10,487.50	
25JUL17	S	1	PD250CT17	2846.50	3264.00	USD	-10,437.50	
25JUL17	S	1	PD250CT17	2847.00	3264.00	USD	-10,425.00	
25JUL17	S	1	PD250CT17	2854.50	3264.00	USD	-10,237.50	
25JUL17	B	1	PD250CT17	2844.50	3264.00	USD	10,487.50	
25JUL17	B	1	PD250CT17	2836.50	3264.00	USD	10,687.50	
25JUL17	B	1	PD250CT17	2838.50	3264.00	USD	10,637.50	
25JUL17	B	1	PD250CT17	2839.00	3264.00	USD	10,625.00	
25JUL17	B	1	PD250CT17	2845.50	3264.00	USD	10,462.50	
25JUL17	B	1	PD250CT17	2846.00	3264.00	USD	10,450.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	6	PD250CT17	2837.50	3264.00	USD	63,975.00	

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	2	PD250CT17	2837.00	3264.00	USD	21,350.00	
25JUL17	B	10	PD250CT17	2834.15	3264.00	USD	107,462.50	
25JUL17	B	4	PD250CT17	2839.50	3264.00	USD	42,450.00	
25JUL17	B	3	PD250CT17	2840.00	3264.00	USD	31,800.00	
25JUL17	B	1	PD250CT17	2840.50	3264.00	USD	10,587.50	
25JUL17	B	1	PD250CT17	2841.50	3264.00	USD	10,562.50	
25JUL17	B	1	PD250CT17	2842.50	3264.00	USD	10,537.50	
25JUL17	B	3	PD250CT17	2843.50	3264.00	USD	31,537.50	
25JUL17	B	5	PD250CT17	2836.20	3264.00	USD	53,475.00	
26JUL17	B	2	PD250CT17	2808.06	3264.00	USD	22,797.00	
02AUG17	B	3	PD250CT17	2799.28	3264.00	USD	34,854.00	
	NET	0AVG BGHT 2833.89	SOLD 2824.79				-12,049.00	0.00
26JUL17	B	2	PD260CT17	2846.00	3262.33	USD	20,816.50	
26JUL17	B	1	PD260CT17	2846.50	3262.33	USD	10,395.75	
26JUL17	B	2	PD260CT17	2848.00	3262.33	USD	20,716.50	
26JUL17	B	1	PD260CT17	2848.50	3262.33	USD	10,345.75	
26JUL17	B	1	PD260CT17	2849.00	3262.33	USD	10,333.25	
26JUL17	B	1	PD260CT17	2821.50	3262.33	USD	11,020.75	
26JUL17	B	1	PD260CT17	2822.50	3262.33	USD	10,995.75	
26JUL17	B	1	PD260CT17	2824.50	3262.33	USD	10,945.75	
26JUL17	B	3	PD260CT17	2820.00	3262.33	USD	33,174.75	
26JUL17	B	1	PD260CT17	2808.50	3262.33	USD	11,345.75	
26JUL17	B	1	PD260CT17	2809.50	3262.33	USD	11,320.75	
26JUL17	B	1	PD260CT17	2810.00	3262.33	USD	11,308.25	
26JUL17	B	1	PD260CT17	2806.50	3262.33	USD	11,395.75	
26JUL17	B	3	PD260CT17	2808.00	3262.33	USD	34,074.75	
26JUL17	B	3	PD260CT17	2809.00	3262.33	USD	33,999.75	
26JUL17	B	1	PD260CT17	2810.50	3262.33	USD	11,295.75	
26JUL17	B	1	PD260CT17	2847.00	3262.33	USD	10,383.25	
26JUL17	B	1	PD260CT17	2805.50	3262.33	USD	11,420.75	
26JUL17	B	1	PD260CT17	2813.50	3262.33	USD	11,220.75	
26JUL17	B	1	PD260CT17	2806.00	3262.33	USD	11,408.25	
26JUL17	S	2	PD260CT17	2820.00	3262.33	USD	-22,116.50	
26JUL17	S	2	PD260CT17	2820.50	3262.33	USD	-22,091.50	
26JUL17	S	3	PD260CT17	2822.00	3262.33	USD	-33,024.75	
26JUL17	B	2	PD260CT17	2805.00	3262.33	USD	22,866.50	
26JUL17	B	2	PD260CT17	2811.50	3262.33	USD	22,541.50	
26JUL17	B	2	PD260CT17	2814.50	3262.33	USD	22,391.50	
26JUL17	B	1	PD260CT17	2816.00	3262.33	USD	11,158.25	
26JUL17	B	1	PD260CT17	2817.50	3262.33	USD	11,120.75	
26JUL17	B	3	PD260CT17	2807.50	3262.33	USD	34,112.25	
26JUL17	S	3	PD260CT17	2812.35	3262.33	USD	-33,748.50	
26JUL17	S	1	PD260CT17	2811.85	3262.33	USD	-11,262.00	
26JUL17	S	1	PD260CT17	2810.35	3262.33	USD	-11,299.50	
26JUL17	S	30	PD260CT17	2807.80	3262.33	USD	-340,897.50	
27JUL17	B	3	PD260CT17	2800.95	3262.33	USD	34,603.50	
	NET	0AVG BGHT 2817.84	SOLD 2810.48				-7,727.50	0.00
27JUL17	B	2	PD270CT17	2811.00	3260.67	USD	22,483.50	
27JUL17	B	1	PD270CT17	2812.50	3260.67	USD	11,204.25	
27JUL17	B	2	PD270CT17	2813.50	3260.67	USD	22,358.50	
27JUL17	S	1	PD270CT17	2824.00	3260.67	USD	-10,916.75	
27JUL17	S	1	PD270CT17	2827.50	3260.67	USD	-10,829.25	
27JUL17	S	1	PD270CT17	2829.50	3260.67	USD	-10,779.25	
27JUL17	S	1	PD270CT17	2830.50	3260.67	USD	-10,754.25	
27JUL17	S	1	PD270CT17	2832.50	3260.67	USD	-10,704.25	
27JUL17	S	1	PD270CT17	2833.00	3260.67	USD	-10,691.75	
27JUL17	S	1	PD270CT17	2832.00	3260.67	USD	-10,716.75	

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27JUL17	S	1	PD27OCT17	2833.50	3260.67	USD	-10,679.25	
27JUL17	S	2	PD27OCT17	2831.50	3260.67	USD	-21,458.50	
27JUL17	S	4	PD27OCT17	2821.00	3260.67	USD	-43,967.00	
27JUL17	S	2	PD27OCT17	2815.00	3260.67	USD	-22,283.50	
27JUL17	S	1	PD27OCT17	2830.00	3260.67	USD	-10,766.75	
27JUL17	S	1	PD27OCT17	2812.50	3260.67	USD	-11,204.25	
27JUL17	B	1	PD27OCT17	2820.00	3260.67	USD	11,016.75	
27JUL17	S	2	PD27OCT17	2820.00	3260.67	USD	-22,033.50	
27JUL17	S	2	PD27OCT17	2820.50	3260.67	USD	-22,008.50	
27JUL17	S	1	PD27OCT17	2822.00	3260.67	USD	-10,966.75	
27JUL17	S	1	PD27OCT17	2824.50	3260.67	USD	-10,904.25	
27JUL17	S	1	PD27OCT17	2825.50	3260.67	USD	-10,879.25	
27JUL17	S	3	PD27OCT17	2823.50	3260.67	USD	-32,787.75	
27JUL17	B	1	PD27OCT17	2803.50	3260.67	USD	11,429.25	
27JUL17	B	2	PD27OCT17	2804.50	3260.67	USD	22,808.50	
27JUL17	B	1	PD27OCT17	2802.50	3260.67	USD	11,454.25	
27JUL17	B	1	PD27OCT17	2789.00	3260.67	USD	11,791.75	
27JUL17	B	1	PD27OCT17	2789.50	3260.67	USD	11,779.25	
27JUL17	B	1	PD27OCT17	2792.50	3260.67	USD	11,704.25	
27JUL17	B	1	PD27OCT17	2795.50	3260.67	USD	11,629.25	
27JUL17	B	1	PD27OCT17	2796.00	3260.67	USD	11,616.75	
27JUL17	B	3	PD27OCT17	2794.50	3260.67	USD	34,962.75	
27JUL17	B	1	PD27OCT17	2793.50	3260.67	USD	11,679.25	
27JUL17	B	1	PD27OCT17	2797.00	3260.67	USD	11,591.75	
28JUL17	B	1	PD27OCT17	2776.00	3260.67	USD	12,116.75	
28JUL17	B	1	PD27OCT17	2776.50	3260.67	USD	12,104.25	
28JUL17	B	1	PD27OCT17	2781.00	3260.67	USD	11,991.75	
28JUL17	B	2	PD27OCT17	2783.00	3260.67	USD	23,883.50	
28JUL17	B	1	PD27OCT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD27OCT17	2774.50	3260.67	USD	12,154.25	
28JUL17	B	2	PD27OCT17	2777.00	3260.67	USD	24,183.50	
28JUL17	B	3	PD27OCT17	2771.50	3260.67	USD	36,687.75	
28JUL17	B	3	PD27OCT17	2769.50	3260.67	USD	36,837.75	
28JUL17	B	4	PD27OCT17	2770.00	3260.67	USD	49,067.00	
28JUL17	B	2	PD27OCT17	2759.50	3260.67	USD	25,058.50	
28JUL17	B	1	PD27OCT17	2763.00	3260.67	USD	12,441.75	
28JUL17	B	1	PD27OCT17	2763.50	3260.67	USD	12,429.25	
28JUL17	B	1	PD27OCT17	2765.00	3260.67	USD	12,391.75	
28JUL17	B	1	PD27OCT17	2768.00	3260.67	USD	12,316.75	
28JUL17	B	1	PD27OCT17	2772.50	3260.67	USD	12,204.25	
28JUL17	B	1	PD27OCT17	2773.00	3260.67	USD	12,191.75	
28JUL17	B	2	PD27OCT17	2774.00	3260.67	USD	24,333.50	
28JUL17	B	2	PD27OCT17	2772.00	3260.67	USD	24,433.50	
28JUL17	B	1	PD27OCT17	2790.00	3260.67	USD	11,766.75	
28JUL17	B	1	PD27OCT17	2789.50	3260.67	USD	11,779.25	
28JUL17	B	4	PD27OCT17	2775.00	3260.67	USD	48,567.00	
28JUL17	B	2	PD27OCT17	2779.00	3260.67	USD	24,083.50	
28JUL17	B	1	PD27OCT17	2788.50	3260.67	USD	11,804.25	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	B	1	PD27OCT17	2779.50	3260.67	USD	12,029.25	
28JUL17	S	35	PD27OCT17	2775.80	3260.67	USD	-424,261.25	
02AUG17	B	1	PD27OCT17	2799.83	3260.67	USD	11,521.00	
	NET	0 AVG BGHT 2783.16	SOLD 2797.44				22,491.75	0.00
31JUL17	B	1	PD31OCT17	2804.50	3257.33	USD	11,320.75	
31JUL17	B	1	PD31OCT17	2805.50	3257.33	USD	11,295.75	
31JUL17	S	1	PD31OCT17	2810.00	3257.33	USD	-11,183.25	
31JUL17	S	1	PD31OCT17	2810.50	3257.33	USD	-11,170.75	

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31JUL17	B	1	PD31OCT17	2805.00	3257.33	USD	11,308.25	
31JUL17	B	1	PD31OCT17	2806.00	3257.33	USD	11,283.25	
31JUL17	B	2	PD31OCT17	2809.50	3257.33	USD	22,391.50	
31JUL17	B	1	PD31OCT17	2816.00	3257.33	USD	11,033.25	
31JUL17	B	3	PD31OCT17	2817.00	3257.33	USD	33,024.75	
31JUL17	S	4	PD31OCT17	2803.50	3257.33	USD	-45,383.00	
31JUL17	S	1	PD31OCT17	2811.00	3257.33	USD	-11,158.25	
31JUL17	S	1	PD31OCT17	2819.00	3257.33	USD	-10,958.25	
31JUL17	S	1	PD31OCT17	2819.50	3257.33	USD	-10,945.75	
31JUL17	S	1	PD31OCT17	2821.00	3257.33	USD	-10,908.25	
31JUL17	S	2	PD31OCT17	2821.50	3257.33	USD	-21,791.50	
31JUL17	S	1	PD31OCT17	2825.50	3257.33	USD	-10,795.75	
31JUL17	S	1	PD31OCT17	2826.00	3257.33	USD	-10,783.25	
31JUL17	S	2	PD31OCT17	2826.50	3257.33	USD	-21,541.50	
31JUL17	S	1	PD31OCT17	2827.50	3257.33	USD	-10,745.75	
31JUL17	B	1	PD31OCT17	2812.50	3257.33	USD	11,120.75	
31JUL17	S	1	PD31OCT17	2815.00	3257.33	USD	-11,058.25	
31JUL17	S	1	PD31OCT17	2806.00	3257.33	USD	-11,283.25	
31JUL17	B	1	PD31OCT17	2797.50	3257.33	USD	11,495.75	
31JUL17	B	1	PD31OCT17	2792.50	3257.33	USD	11,620.75	
31JUL17	B	1	PD31OCT17	2800.00	3257.33	USD	11,433.25	
31JUL17	B	1	PD31OCT17	2799.50	3257.33	USD	11,445.75	
31JUL17	B	3	PD31OCT17	2803.50	3257.33	USD	34,037.25	
31JUL17	B	1	PD31OCT17	2798.50	3257.33	USD	11,470.75	
31JUL17	B	1	PD31OCT17	2799.00	3257.33	USD	11,458.25	
31JUL17	S	1	PD31OCT17	2806.50	3257.33	USD	-11,270.75	
31JUL17	B	1	PD31OCT17	2811.50	3257.33	USD	11,145.75	
31JUL17	S	1	PD31OCT17	2800.00	3257.33	USD	-11,433.25	
31JUL17	S	1	PD31OCT17	2802.50	3257.33	USD	-11,370.75	
31JUL17	S	1	PD31OCT17	2812.50	3257.33	USD	-11,120.75	
31JUL17	B	1	PD31OCT17	2811.00	3257.33	USD	11,158.25	
31JUL17	B	2	PD31OCT17	2794.50	3257.33	USD	23,141.50	
31JUL17	B	1	PD31OCT17	2795.50	3257.33	USD	11,545.75	
31JUL17	S	13	PD31OCT17	2794.80	3257.33	USD	-150,322.25	
31JUL17	B	1	PD31OCT17	2793.00	3257.33	USD	11,608.25	
02AUG17	B	1	PD31OCT17	2800.94	3257.33	USD	11,409.75	
04AUG17	B	7	PD31OCT17	2810.70	3257.33	USD	78,160.25	
07AUG17	B	2	PD31OCT17	2856.70	3257.33	USD	20,031.50	
	NET	0AVG BGHT 2808.51	SOLD 2807.08				-1,283.50	0.00
01AUG17	B	2	PD01NOV17	2786.00	3255.67	USD	23,483.50	
01AUG17	B	1	PD01NOV17	2789.50	3255.67	USD	11,654.25	
01AUG17	B	1	PD01NOV17	2773.50	3255.67	USD	12,054.25	
01AUG17	B	1	PD01NOV17	2774.00	3255.67	USD	12,041.75	
01AUG17	B	1	PD01NOV17	2774.50	3255.67	USD	12,029.25	
01AUG17	B	1	PD01NOV17	2769.50	3255.67	USD	12,154.25	
01AUG17	B	1	PD01NOV17	2766.50	3255.67	USD	12,229.25	
01AUG17	B	1	PD01NOV17	2787.50	3255.67	USD	11,704.25	
02AUG17	S	9	PD01NOV17	2801.02	3255.67	USD	-102,296.25	
	NET	0AVG BGHT 2778.56	SOLD 2801.02				5,054.50	0.00
02AUG17	S	1	PD02NOV17	2762.50	3254.00	USD	-12,287.50	
02AUG17	S	1	PD02NOV17	2763.00	3254.00	USD	-12,275.00	
02AUG17	S	1	PD02NOV17	2763.50	3254.00	USD	-12,262.50	
02AUG17	S	1	PD02NOV17	2769.50	3254.00	USD	-12,112.50	
02AUG17	S	1	PD02NOV17	2771.50	3254.00	USD	-12,062.50	
02AUG17	S	1	PD02NOV17	2772.50	3254.00	USD	-12,037.50	
02AUG17	S	1	PD02NOV17	2775.00	3254.00	USD	-11,975.00	
02AUG17	B	2	PD02NOV17	2768.00	3254.00	USD	24,300.00	

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02AUG17	B	3	PD02NOV17	2763.00	3254.00	USD	36,825.00	
02AUG17	B	1	PD02NOV17	2766.00	3254.00	USD	12,200.00	
02AUG17	B	1	PD02NOV17	2766.50	3254.00	USD	12,187.50	
02AUG17	B	2	PD02NOV17	2767.50	3254.00	USD	24,325.00	
02AUG17	B	1	PD02NOV17	2765.50	3254.00	USD	12,212.50	
02AUG17	B	1	PD02NOV17	2764.50	3254.00	USD	12,237.50	
02AUG17	S	1	PD02NOV17	2786.50	3254.00	USD	-11,687.50	
02AUG17	S	1	PD02NOV17	2801.50	3254.00	USD	-11,312.50	
02AUG17	S	1	PD02NOV17	2802.00	3254.00	USD	-11,300.00	
02AUG17	S	1	PD02NOV17	2800.00	3254.00	USD	-11,350.00	
02AUG17	S	2	PD02NOV17	2803.50	3254.00	USD	-22,525.00	
07AUG17	B	2	PD02NOV17	2857.13	3254.00	USD	19,843.50	
27SEP17	S	6	PD02NOV17	3113.00	3254.00	USD	-21,150.00	
28SEP17	S	9	PD02NOV17	3154.50	3254.00	USD	-22,387.50	
28SEP17	S	45	PD02NOV17	3154.30	3254.00	USD	-112,162.50	
02OCT17	S	12	PD02NOV17	3242.00	3254.00	USD	-3,600.00	
03OCT17	S	20	PD02NOV17	3273.50	3254.00	USD	9,750.00	
11OCT17	B	6	PD02NOV17	3261.15	3254.00	USD	-1,072.50	
11OCT17	B	8	PD02NOV17	3271.18	3254.00	USD	-3,436.00	
11OCT17	B	6	PD02NOV17	3267.15	3254.00	USD	-1,972.50	
	NET	-72 AVG BGHT 3075.03	SOLD 3138.67				-155,087.50	0.00
03AUG17	S	1	PD03NOV17	2828.50	3252.89	USD	-10,609.75	
03AUG17	S	1	PD03NOV17	2830.00	3252.89	USD	-10,572.25	
03AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
03AUG17	B	1	PD03NOV17	2811.00	3252.89	USD	11,047.25	
03AUG17	B	1	PD03NOV17	2811.50	3252.89	USD	11,034.75	
03AUG17	B	1	PD03NOV17	2813.50	3252.89	USD	10,984.75	
03AUG17	B	1	PD03NOV17	2818.00	3252.89	USD	10,872.25	
03AUG17	S	1	PD03NOV17	2817.00	3252.89	USD	-10,897.25	
03AUG17	S	1	PD03NOV17	2817.50	3252.89	USD	-10,884.75	
03AUG17	S	1	PD03NOV17	2818.00	3252.89	USD	-10,872.25	
03AUG17	S	1	PD03NOV17	2821.00	3252.89	USD	-10,797.25	
03AUG17	S	5	PD03NOV17	2819.50	3252.89	USD	-54,173.75	
03AUG17	S	1	PD03NOV17	2820.00	3252.89	USD	-10,822.25	
03AUG17	S	4	PD03NOV17	2829.00	3252.89	USD	-42,389.00	
03AUG17	S	2	PD03NOV17	2805.50	3252.89	USD	-22,369.50	
03AUG17	S	1	PD03NOV17	2806.50	3252.89	USD	-11,159.75	
03AUG17	S	1	PD03NOV17	2807.50	3252.89	USD	-11,134.75	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
03AUG17	B	1	PD03NOV17	2794.50	3252.89	USD	11,459.75	
04AUG17	S	1	PD03NOV17	2805.50	3252.89	USD	-11,184.75	
04AUG17	S	5	PD03NOV17	2806.00	3252.89	USD	-55,861.25	
04AUG17	S	1	PD03NOV17	2807.50	3252.89	USD	-11,134.75	
04AUG17	S	1	PD03NOV17	2812.00	3252.89	USD	-11,022.25	
04AUG17	S	1	PD03NOV17	2822.00	3252.89	USD	-10,772.25	
04AUG17	S	3	PD03NOV17	2828.00	3252.89	USD	-31,866.75	
04AUG17	S	1	PD03NOV17	2829.00	3252.89	USD	-10,597.25	
04AUG17	S	1	PD03NOV17	2831.50	3252.89	USD	-10,534.75	
04AUG17	S	1	PD03NOV17	2832.00	3252.89	USD	-10,522.25	
04AUG17	S	2	PD03NOV17	2833.00	3252.89	USD	-20,994.50	
04AUG17	S	1	PD03NOV17	2825.00	3252.89	USD	-10,697.25	
04AUG17	B	1	PD03NOV17	2812.00	3252.89	USD	11,022.25	
04AUG17	B	1	PD03NOV17	2814.00	3252.89	USD	10,972.25	
04AUG17	B	3	PD03NOV17	2816.50	3252.89	USD	32,729.25	
04AUG17	B	2	PD03NOV17	2817.00	3252.89	USD	21,794.50	
04AUG17	B	3	PD03NOV17	2809.50	3252.89	USD	33,254.25	
04AUG17	B	2	PD03NOV17	2804.00	3252.89	USD	22,444.50	

OPEN POSITION STATEMENT



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SAP0094E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	1	PD03NOV17	2797.00	3252.89	USD	11,397.25	
04AUG17	B	2	PD03NOV17	2802.00	3252.89	USD	22,544.50	
04AUG17	B	1	PD03NOV17	2802.50	3252.89	USD	11,259.75	
04AUG17	B	2	PD03NOV17	2803.00	3252.89	USD	22,494.50	
04AUG17	B	1	PD03NOV17	2798.50	3252.89	USD	11,359.75	
04AUG17	S	1	PD03NOV17	2816.00	3252.89	USD	-10,922.25	
04AUG17	S	2	PD03NOV17	2816.50	3252.89	USD	-21,819.50	
04AUG17	S	2	PD03NOV17	2817.00	3252.89	USD	-21,794.50	
04AUG17	B	2	PD03NOV17	2813.50	3252.89	USD	21,969.50	
04AUG17	S	4	PD03NOV17	2813.50	3252.89	USD	-43,939.00	
04AUG17	S	2	PD03NOV17	2814.50	3252.89	USD	-21,919.50	
04AUG17	S	2	PD03NOV17	2812.50	3252.89	USD	-22,019.50	
04AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
04AUG17	S	3	PD03NOV17	2815.00	3252.89	USD	-32,841.75	
04AUG17	S	1	PD03NOV17	2815.50	3252.89	USD	-10,934.75	
04AUG17	S	1	PD03NOV17	2818.00	3252.89	USD	-10,872.25	
07AUG17	B	19	PD03NOV17	2857.34	3252.89	USD	187,886.25	
08AUG17	B	1	PD03NOV17	2924.70	3252.89	USD	8,204.75	
09AUG17	B	11	PD03NOV17	2930.53	3252.89	USD	88,649.00	
	NET	0 AVG BGHT 2849.54	SOLD 2818.09				-45,612.25	0.00
07AUG17	S	1	PD07NOV17	2817.00	3250.67	USD	-10,841.75	
07AUG17	S	3	PD07NOV17	2819.50	3250.67	USD	-32,337.75	
07AUG17	S	2	PD07NOV17	2820.00	3250.67	USD	-21,533.50	
07AUG17	S	1	PD07NOV17	2822.00	3250.67	USD	-10,716.75	
07AUG17	B	1	PD07NOV17	2826.00	3250.67	USD	10,616.75	
07AUG17	S	3	PD07NOV17	2826.50	3250.67	USD	-31,812.75	
07AUG17	S	1	PD07NOV17	2827.50	3250.67	USD	-10,579.25	
07AUG17	S	1	PD07NOV17	2826.00	3250.67	USD	-10,616.75	
07AUG17	S	3	PD07NOV17	2825.50	3250.67	USD	-31,887.75	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	S	3	PD07NOV17	2831.00	3250.67	USD	-31,475.25	
07AUG17	S	1	PD07NOV17	2833.00	3250.67	USD	-10,441.75	
07AUG17	S	4	PD07NOV17	2821.00	3250.67	USD	-42,967.00	
07AUG17	S	1	PD07NOV17	2859.00	3250.67	USD	-9,791.75	
07AUG17	S	1	PD07NOV17	2862.00	3250.67	USD	-9,716.75	
07AUG17	S	1	PD07NOV17	2863.00	3250.67	USD	-9,691.75	
07AUG17	S	1	PD07NOV17	2864.50	3250.67	USD	-9,654.25	
07AUG17	B	1	PD07NOV17	2862.00	3250.67	USD	9,716.75	
07AUG17	S	1	PD07NOV17	2863.50	3250.67	USD	-9,679.25	
07AUG17	S	1	PD07NOV17	2865.50	3250.67	USD	-9,629.25	
07AUG17	S	1	PD07NOV17	2874.50	3250.67	USD	-9,404.25	
07AUG17	S	1	PD07NOV17	2875.00	3250.67	USD	-9,391.75	
07AUG17	B	1	PD07NOV17	2878.50	3250.67	USD	9,304.25	
07AUG17	B	1	PD07NOV17	2883.50	3250.67	USD	9,179.25	
07AUG17	B	1	PD07NOV17	2884.50	3250.67	USD	9,154.25	
07AUG17	S	2	PD07NOV17	2881.00	3250.67	USD	-18,483.50	
07AUG17	B	1	PD07NOV17	2875.00	3250.67	USD	9,391.75	
07AUG17	B	1	PD07NOV17	2879.00	3250.67	USD	9,291.75	
07AUG17	B	2	PD07NOV17	2880.50	3250.67	USD	18,508.50	
07AUG17	B	1	PD07NOV17	2881.00	3250.67	USD	9,241.75	
07AUG17	B	1	PD07NOV17	2864.00	3250.67	USD	9,666.75	
07AUG17	B	1	PD07NOV17	2864.50	3250.67	USD	9,654.25	
07AUG17	B	3	PD07NOV17	2871.00	3250.67	USD	28,475.25	
07AUG17	B	1	PD07NOV17	2868.00	3250.67	USD	9,566.75	
07AUG17	B	1	PD07NOV17	2868.50	3250.67	USD	9,554.25	
07AUG17	B	2	PD07NOV17	2869.50	3250.67	USD	19,058.50	
07AUG17	B	3	PD07NOV17	2871.50	3250.67	USD	28,437.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	2	PD07NOV17	2872.00	3250.67	USD	18,933.50	
07AUG17	B	1	PD07NOV17	2872.50	3250.67	USD	9,454.25	
09AUG17	B	9	PD07NOV17	2931.31	3250.67	USD	71,856.00	
	NET	0 AVG BGHT 2887.07	SOLD 2837.57				-42,069.75	0.00
08AUG17	B	1	PD08NOV17	2871.00	3249.56	USD	9,464.00	
08AUG17	B	1	PD08NOV17	2872.50	3249.56	USD	9,426.50	
08AUG17	B	1	PD08NOV17	2873.50	3249.56	USD	9,401.50	
08AUG17	B	2	PD08NOV17	2874.00	3249.56	USD	18,778.00	
08AUG17	B	2	PD08NOV17	2875.00	3249.56	USD	18,728.00	
08AUG17	B	1	PD08NOV17	2878.50	3249.56	USD	9,276.50	
08AUG17	S	1	PD08NOV17	2875.00	3249.56	USD	-9,364.00	
08AUG17	S	1	PD08NOV17	2877.00	3249.56	USD	-9,314.00	
08AUG17	S	1	PD08NOV17	2877.50	3249.56	USD	-9,301.50	
08AUG17	S	2	PD08NOV17	2872.50	3249.56	USD	-18,853.00	
08AUG17	S	1	PD08NOV17	2873.00	3249.56	USD	-9,414.00	
08AUG17	S	1	PD08NOV17	2875.50	3249.56	USD	-9,351.50	
08AUG17	S	1	PD08NOV17	2876.00	3249.56	USD	-9,339.00	
08AUG17	S	3	PD08NOV17	2882.00	3249.56	USD	-27,567.00	
08AUG17	S	2	PD08NOV17	2886.00	3249.56	USD	-18,178.00	
08AUG17	S	2	PD08NOV17	2887.50	3249.56	USD	-18,103.00	
08AUG17	S	1	PD08NOV17	2883.50	3249.56	USD	-9,151.50	
08AUG17	B	2	PD08NOV17	2870.50	3249.56	USD	18,953.00	
08AUG17	S	1	PD08NOV17	2884.50	3249.56	USD	-9,126.50	
08AUG17	S	2	PD08NOV17	2880.00	3249.56	USD	-18,478.00	
08AUG17	S	1	PD08NOV17	2882.50	3249.56	USD	-9,176.50	
08AUG17	S	1	PD08NOV17	2876.50	3249.56	USD	-9,326.50	
08AUG17	B	3	PD08NOV17	2877.50	3249.56	USD	27,904.50	
08AUG17	S	3	PD08NOV17	2878.50	3249.56	USD	-27,829.50	
08AUG17	S	1	PD08NOV17	2879.00	3249.56	USD	-9,264.00	
08AUG17	S	2	PD08NOV17	2880.50	3249.56	USD	-18,453.00	
08AUG17	S	1	PD08NOV17	2904.00	3249.56	USD	-8,639.00	
08AUG17	S	1	PD08NOV17	2919.00	3249.56	USD	-8,264.00	
08AUG17	S	2	PD08NOV17	2921.00	3249.56	USD	-16,428.00	
08AUG17	S	1	PD08NOV17	2921.50	3249.56	USD	-8,201.50	
08AUG17	S	3	PD08NOV17	2881.00	3249.56	USD	-27,642.00	
08AUG17	S	1	PD08NOV17	2931.00	3249.56	USD	-7,964.00	
08AUG17	S	3	PD08NOV17	2926.00	3249.56	USD	-24,267.00	
08AUG17	S	3	PD08NOV17	2929.00	3249.56	USD	-24,042.00	
08AUG17	S	2	PD08NOV17	2930.50	3249.56	USD	-15,953.00	
08AUG17	S	1	PD08NOV17	2933.00	3249.56	USD	-7,914.00	
08AUG17	S	1	PD08NOV17	2934.00	3249.56	USD	-7,889.00	
08AUG17	S	1	PD08NOV17	2934.50	3249.56	USD	-7,876.50	
08AUG17	S	1	PD08NOV17	2938.00	3249.56	USD	-7,789.00	
08AUG17	S	2	PD08NOV17	2925.50	3249.56	USD	-16,203.00	
08AUG17	S	1	PD08NOV17	2929.50	3249.56	USD	-8,001.50	
09AUG17	B	25	PD08NOV17	2931.51	3249.56	USD	198,781.25	
10AUG17	B	2	PD08NOV17	2937.84	3249.56	USD	15,586.00	
11AUG17	B	8	PD08NOV17	2896.14	3249.56	USD	70,684.00	
15AUG17	B	3	PD08NOV17	2958.76	3249.56	USD	21,810.00	
	NET	0 AVG BGHT 2913.25	SOLD 2899.24				-17,870.75	0.00
09AUG17	S	1	PD09NOV17	2936.00	3248.44	USD	-7,811.00	
09AUG17	S	1	PD09NOV17	2936.50	3248.44	USD	-7,798.50	
09AUG17	S	1	PD09NOV17	2943.00	3248.44	USD	-7,636.00	
09AUG17	S	2	PD09NOV17	2943.50	3248.44	USD	-15,247.00	
09AUG17	S	1	PD09NOV17	2944.00	3248.44	USD	-7,611.00	
09AUG17	S	1	PD09NOV17	2945.00	3248.44	USD	-7,586.00	
09AUG17	S	1	PD09NOV17	2945.50	3248.44	USD	-7,573.50	

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09AUG17	S	1	PD09NOV17	2950.00	3248.44	USD	-7,461.00	
09AUG17	S	1	PD09NOV17	2951.50	3248.44	USD	-7,423.50	
09AUG17	S	1	PD09NOV17	2953.00	3248.44	USD	-7,386.00	
09AUG17	S	1	PD09NOV17	2955.50	3248.44	USD	-7,323.50	
09AUG17	S	1	PD09NOV17	2968.50	3248.44	USD	-6,998.50	
09AUG17	S	1	PD09NOV17	2970.00	3248.44	USD	-6,961.00	
09AUG17	S	2	PD09NOV17	2975.50	3248.44	USD	-13,647.00	
09AUG17	S	1	PD09NOV17	2976.00	3248.44	USD	-6,811.00	
09AUG17	S	3	PD09NOV17	2976.50	3248.44	USD	-20,395.50	
09AUG17	S	1	PD09NOV17	2977.00	3248.44	USD	-6,786.00	
09AUG17	S	2	PD09NOV17	2979.50	3248.44	USD	-13,447.00	
09AUG17	S	1	PD09NOV17	2982.00	3248.44	USD	-6,661.00	
09AUG17	S	2	PD09NOV17	2937.50	3248.44	USD	-15,547.00	
09AUG17	S	3	PD09NOV17	2937.00	3248.44	USD	-23,358.00	
09AUG17	S	2	PD09NOV17	2978.00	3248.44	USD	-13,522.00	
09AUG17	S	1	PD09NOV17	2964.00	3248.44	USD	-7,111.00	
09AUG17	S	1	PD09NOV17	2966.00	3248.44	USD	-7,061.00	
09AUG17	B	1	PD09NOV17	2940.50	3248.44	USD	7,698.50	
09AUG17	B	1	PD09NOV17	2942.00	3248.44	USD	7,661.00	
09AUG17	B	1	PD09NOV17	2945.00	3248.44	USD	7,586.00	
09AUG17	B	1	PD09NOV17	2957.50	3248.44	USD	7,273.50	
09AUG17	S	2	PD09NOV17	2956.00	3248.44	USD	-14,622.00	
09AUG17	B	4	PD09NOV17	2934.00	3248.44	USD	31,444.00	
09AUG17	B	1	PD09NOV17	2937.00	3248.44	USD	7,786.00	
15AUG17	B	7	PD09NOV17	2958.89	3248.44	USD	50,671.25	
16AUG17	B	2	PD09NOV17	3120.01	3248.44	USD	6,421.50	
18AUG17	B	14	PD09NOV17	3125.69	3248.44	USD	42,962.50	
29AUG17	B	3	PD09NOV17	3109.20	3248.44	USD	10,443.00	
	NET	0 AVG BGHT 3042.79	SOLD 2958.40				-73,837.75	0.00
10AUG17	B	1	PD10NOV17	2945.50	3247.33	USD	7,545.75	
10AUG17	B	1	PD10NOV17	2946.00	3247.33	USD	7,533.25	
10AUG17	B	1	PD10NOV17	2946.50	3247.33	USD	7,520.75	
10AUG17	B	1	PD10NOV17	2947.00	3247.33	USD	7,508.25	
10AUG17	S	2	PD10NOV17	2947.50	3247.33	USD	-14,991.50	
10AUG17	S	1	PD10NOV17	2948.50	3247.33	USD	-7,470.75	
10AUG17	S	1	PD10NOV17	2949.50	3247.33	USD	-7,445.75	
10AUG17	B	2	PD10NOV17	2947.50	3247.33	USD	14,991.50	
10AUG17	B	1	PD10NOV17	2920.50	3247.33	USD	8,170.75	
10AUG17	B	1	PD10NOV17	2924.00	3247.33	USD	8,083.25	
10AUG17	S	1	PD10NOV17	2924.00	3247.33	USD	-8,083.25	
10AUG17	B	1	PD10NOV17	2933.00	3247.33	USD	7,858.25	
10AUG17	B	2	PD10NOV17	2930.50	3247.33	USD	15,841.50	
10AUG17	B	1	PD10NOV17	2929.00	3247.33	USD	7,958.25	
10AUG17	B	1	PD10NOV17	2929.50	3247.33	USD	7,945.75	
11AUG17	B	1	PD10NOV17	2901.00	3247.33	USD	8,658.25	
11AUG17	B	1	PD10NOV17	2909.00	3247.33	USD	8,458.25	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
11AUG17	S	1	PD10NOV17	2908.00	3247.33	USD	-8,483.25	
11AUG17	S	1	PD10NOV17	2910.50	3247.33	USD	-8,420.75	
11AUG17	B	1	PD10NOV17	2889.00	3247.33	USD	8,958.25	
11AUG17	B	2	PD10NOV17	2890.50	3247.33	USD	17,841.50	
11AUG17	B	1	PD10NOV17	2891.00	3247.33	USD	8,908.25	
11AUG17	B	1	PD10NOV17	2892.50	3247.33	USD	8,870.75	
11AUG17	B	1	PD10NOV17	2894.50	3247.33	USD	8,820.75	
11AUG17	B	1	PD10NOV17	2896.00	3247.33	USD	8,783.25	
11AUG17	B	1	PD10NOV17	2903.00	3247.33	USD	8,608.25	
11AUG17	B	2	PD10NOV17	2904.50	3247.33	USD	17,141.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	2	PD10NOV17	2907.50	3247.33	USD	16,991.50	
11AUG17	S	1	PD10NOV17	2893.00	3247.33	USD	-8,858.25	
11AUG17	B	2	PD10NOV17	2897.00	3247.33	USD	17,516.50	
11AUG17	B	1	PD10NOV17	2900.00	3247.33	USD	8,683.25	
11AUG17	S	1	PD10NOV17	2897.00	3247.33	USD	-8,758.25	
11AUG17	S	1	PD10NOV17	2926.00	3247.33	USD	-8,033.25	
11AUG17	S	2	PD10NOV17	2899.00	3247.33	USD	-17,416.50	
11AUG17	S	1	PD10NOV17	2909.50	3247.33	USD	-8,445.75	
11AUG17	S	1	PD10NOV17	2925.00	3247.33	USD	-8,058.25	
11AUG17	B	1	PD10NOV17	2906.00	3247.33	USD	8,533.25	
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11AUG17	B	3	PD10NOV17	2911.00	3247.33	USD	25,224.75	
11AUG17	B	1	PD10NOV17	2905.50	3247.33	USD	8,545.75	
11AUG17	B	1	PD10NOV17	2891.50	3247.33	USD	8,895.75	
11AUG17	B	1	PD10NOV17	2895.50	3247.33	USD	8,795.75	
11AUG17	B	2	PD10NOV17	2898.50	3247.33	USD	17,441.50	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	S	8	PD10NOV17	2896.30	3247.33	USD	-70,206.00	
11AUG17	S	3	PD10NOV17	2902.00	3247.33	USD	-25,899.75	
14AUG17	S	15	PD10NOV17	2916.16	3247.33	USD	-124,188.75	
	NET	0AVG BGHT 2911.94	SOLD 2912.39				457.50	0.00
14AUG17	S	2	PD14NOV17	2917.00	3245.11	USD	-16,405.50	
14AUG17	S	1	PD14NOV17	2920.00	3245.11	USD	-8,127.75	
14AUG17	S	1	PD14NOV17	2918.00	3245.11	USD	-8,177.75	
14AUG17	S	2	PD14NOV17	2914.00	3245.11	USD	-16,555.50	
14AUG17	B	1	PD14NOV17	2899.50	3245.11	USD	8,640.25	
14AUG17	B	2	PD14NOV17	2905.50	3245.11	USD	16,980.50	
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
14AUG17	S	1	PD14NOV17	2915.50	3245.11	USD	-8,240.25	
14AUG17	S	8	PD14NOV17	2916.80	3245.11	USD	-65,662.00	
14AUG17	S	3	PD14NOV17	2914.50	3245.11	USD	-24,795.75	
14AUG17	S	6	PD14NOV17	2915.00	3245.11	USD	-49,516.50	
29AUG17	B	7	PD14NOV17	3109.95	3245.11	USD	23,653.00	
31AUG17	B	2	PD14NOV17	3145.45	3245.11	USD	4,983.00	
05SEP17	B	13	PD14NOV17	3138.95	3245.11	USD	34,502.00	
	NET	0AVG BGHT 3103.10	SOLD 2915.88				-117,012.50	0.00
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	S	2	PD16NOV17	2982.00	3241.50	USD	-12,975.00	
16AUG17	S	1	PD16NOV17	2984.00	3241.50	USD	-6,437.50	
16AUG17	S	1	PD16NOV17	2985.00	3241.50	USD	-6,412.50	
16AUG17	S	1	PD16NOV17	2986.00	3241.50	USD	-6,387.50	
16AUG17	S	1	PD16NOV17	2986.50	3241.50	USD	-6,375.00	
16AUG17	S	2	PD16NOV17	2988.50	3241.50	USD	-12,650.00	
16AUG17	S	1	PD16NOV17	2990.00	3241.50	USD	-6,287.50	
16AUG17	S	1	PD16NOV17	2991.50	3241.50	USD	-6,250.00	
16AUG17	S	1	PD16NOV17	2995.00	3241.50	USD	-6,162.50	
16AUG17	S	1	PD16NOV17	2995.50	3241.50	USD	-6,150.00	
16AUG17	S	1	PD16NOV17	2997.50	3241.50	USD	-6,100.00	
16AUG17	S	1	PD16NOV17	3011.00	3241.50	USD	-5,762.50	
16AUG17	S	1	PD16NOV17	3013.50	3241.50	USD	-5,700.00	
16AUG17	S	1	PD16NOV17	3010.00	3241.50	USD	-5,787.50	
16AUG17	S	1	PD16NOV17	3012.50	3241.50	USD	-5,725.00	
16AUG17	S	2	PD16NOV17	3013.00	3241.50	USD	-11,425.00	
16AUG17	S	1	PD16NOV17	3012.00	3241.50	USD	-5,737.50	
16AUG17	S	2	PD16NOV17	3019.50	3241.50	USD	-11,100.00	
16AUG17	S	2	PD16NOV17	3020.50	3241.50	USD	-11,050.00	
16AUG17	S	2	PD16NOV17	2981.00	3241.50	USD	-13,025.00	

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16AUG17	S	1	PD16NOV17	3030.50	3241.50	USD	-5,275.00	
16AUG17	S	1	PD16NOV17	3031.00	3241.50	USD	-5,262.50	
16AUG17	S	1	PD16NOV17	3033.00	3241.50	USD	-5,212.50	
16AUG17	S	1	PD16NOV17	3034.50	3241.50	USD	-5,175.00	
16AUG17	S	1	PD16NOV17	3035.50	3241.50	USD	-5,150.00	
16AUG17	B	1	PD16NOV17	3106.50	3241.50	USD	3,375.00	
16AUG17	B	1	PD16NOV17	3111.50	3241.50	USD	3,250.00	
16AUG17	B	1	PD16NOV17	3113.50	3241.50	USD	3,200.00	
16AUG17	B	2	PD16NOV17	3107.00	3241.50	USD	6,725.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	B	1	PD16NOV17	3108.50	3241.50	USD	3,325.00	
16AUG17	B	1	PD16NOV17	3109.50	3241.50	USD	3,300.00	
16AUG17	B	5	PD16NOV17	3020.16	3241.50	USD	27,667.50	
16AUG17	B	5	PD16NOV17	3019.66	3241.50	USD	27,730.00	
16AUG17	B	1	PD16NOV17	3109.00	3241.50	USD	3,312.50	
16AUG17	B	1	PD16NOV17	3110.50	3241.50	USD	3,275.00	
16AUG17	B	1	PD16NOV17	3111.00	3241.50	USD	3,262.50	
16AUG17	B	1	PD16NOV17	3119.00	3241.50	USD	3,062.50	
16AUG17	B	1	PD16NOV17	3119.50	3241.50	USD	3,050.00	
16AUG17	B	1	PD16NOV17	3120.50	3241.50	USD	3,025.00	
16AUG17	B	1	PD16NOV17	3121.00	3241.50	USD	3,012.50	
16AUG17	B	4	PD16NOV17	3120.00	3241.50	USD	12,150.00	
29AUG17	B	3	PD16NOV17	3107.50	3241.50	USD	10,050.00	
	NET	0AVG BGHT 3083.86	SOLD 3003.86				-64,002.50	0.00
17AUG17	B	2	PD17NOV17	3141.00	3239.00	USD	4,900.00	
17AUG17	B	2	PD17NOV17	3141.50	3239.00	USD	4,875.00	
17AUG17	B	7	PD17NOV17	3142.00	3239.00	USD	16,975.00	
17AUG17	B	1	PD17NOV17	3114.00	3239.00	USD	3,125.00	
17AUG17	B	1	PD17NOV17	3115.00	3239.00	USD	3,100.00	
17AUG17	B	1	PD17NOV17	3118.50	3239.00	USD	3,012.50	
17AUG17	B	1	PD17NOV17	3119.50	3239.00	USD	2,987.50	
17AUG17	S	1	PD17NOV17	3105.50	3239.00	USD	-3,337.50	
17AUG17	B	1	PD17NOV17	3094.00	3239.00	USD	3,625.00	
17AUG17	S	1	PD17NOV17	3087.00	3239.00	USD	-3,800.00	
17AUG17	S	1	PD17NOV17	3090.00	3239.00	USD	-3,725.00	
17AUG17	S	1	PD17NOV17	3092.00	3239.00	USD	-3,675.00	
17AUG17	B	1	PD17NOV17	3103.00	3239.00	USD	3,400.00	
17AUG17	B	1	PD17NOV17	3056.50	3239.00	USD	4,562.50	
18AUG17	B	1	PD17NOV17	3094.50	3239.00	USD	3,612.50	
18AUG17	S	1	PD17NOV17	3093.00	3239.00	USD	-3,650.00	
18AUG17	B	1	PD17NOV17	3114.50	3239.00	USD	3,112.50	
18AUG17	B	1	PD17NOV17	3111.50	3239.00	USD	3,187.50	
18AUG17	B	1	PD17NOV17	3112.00	3239.00	USD	3,175.00	
18AUG17	S	2	PD17NOV17	3109.50	3239.00	USD	-6,475.00	
18AUG17	S	2	PD17NOV17	3094.50	3239.00	USD	-7,225.00	
18AUG17	S	1	PD17NOV17	3118.00	3239.00	USD	-3,025.00	
18AUG17	S	1	PD17NOV17	3120.50	3239.00	USD	-2,962.50	
18AUG17	S	1	PD17NOV17	3124.50	3239.00	USD	-2,862.50	
18AUG17	S	1	PD17NOV17	3126.00	3239.00	USD	-2,825.00	
18AUG17	S	1	PD17NOV17	3123.00	3239.00	USD	-2,900.00	
18AUG17	S	2	PD17NOV17	3124.00	3239.00	USD	-5,750.00	
18AUG17	B	1	PD17NOV17	3113.50	3239.00	USD	3,137.50	
18AUG17	B	1	PD17NOV17	3114.00	3239.00	USD	3,125.00	
18AUG17	B	1	PD17NOV17	3109.50	3239.00	USD	3,237.50	
18AUG17	S	1	PD17NOV17	3125.50	3239.00	USD	-2,837.50	
18AUG17	S	14	PD17NOV17	3123.80	3239.00	USD	-40,320.00	
23AUG17	B	2	PD17NOV17	3094.70	3239.00	USD	7,215.00	

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29AUG17	B	4	PD17NOV17		3107.00	3239.00	USD	13,200.00	
	NET	0 AVG	BGHT 3118.27	SOLD 3115.94				-1,805.00	0.00
21AUG17	B	2	PD21NOV17		3174.50	3237.00	USD	3,125.00	
21AUG17	B	1	PD21NOV17		3127.00	3237.00	USD	2,750.00	
29AUG17	S	3	PD21NOV17		3107.94	3237.00	USD	-9,679.50	
	NET	0 AVG	BGHT 3158.67	SOLD 3107.94				-3,804.50	0.00
22AUG17	S	1	PD22NOV17		3118.00	3236.00	USD	-2,950.00	
22AUG17	S	1	PD22NOV17		3121.00	3236.00	USD	-2,875.00	
22AUG17	B	1	PD22NOV17		3101.50	3236.00	USD	3,362.50	
22AUG17	B	1	PD22NOV17		3104.00	3236.00	USD	3,300.00	
22AUG17	S	1	PD22NOV17		3105.00	3236.00	USD	-3,275.00	
22AUG17	S	2	PD22NOV17		3120.50	3236.00	USD	-5,775.00	
22AUG17	S	1	PD22NOV17		3123.00	3236.00	USD	-2,825.00	
22AUG17	S	1	PD22NOV17		3119.00	3236.00	USD	-2,925.00	
22AUG17	S	2	PD22NOV17		3121.50	3236.00	USD	-5,725.00	
22AUG17	S	1	PD22NOV17		3119.50	3236.00	USD	-2,912.50	
23AUG17	B	8	PD22NOV17		3096.13	3236.00	USD	27,974.00	
	NET	0 AVG	BGHT 3097.45	SOLD 3118.95				5,374.00	0.00
23AUG17	B	1	PD24NOV17		3118.00	3235.00	USD	2,925.00	
23AUG17	B	1	PD24NOV17		3118.50	3235.00	USD	2,912.50	
23AUG17	B	1	PD24NOV17		3120.50	3235.00	USD	2,862.50	
23AUG17	S	2	PD24NOV17		3118.50	3235.00	USD	-5,825.00	
23AUG17	S	2	PD24NOV17		3119.00	3235.00	USD	-5,800.00	
23AUG17	S	1	PD24NOV17		3139.00	3235.00	USD	-2,400.00	
23AUG17	S	2	PD24NOV17		3143.00	3235.00	USD	-4,600.00	
23AUG17	B	1	PD24NOV17		3115.00	3235.00	USD	3,000.00	
23AUG17	B	1	PD24NOV17		3115.50	3235.00	USD	2,987.50	
23AUG17	B	1	PD24NOV17		3116.50	3235.00	USD	2,962.50	
23AUG17	B	1	PD24NOV17		3107.00	3235.00	USD	3,200.00	
23AUG17	B	1	PD24NOV17		3108.00	3235.00	USD	3,175.00	
23AUG17	B	1	PD24NOV17		3090.00	3235.00	USD	3,625.00	
23AUG17	B	3	PD24NOV17		3094.00	3235.00	USD	10,575.00	
23AUG17	B	1	PD24NOV17		3097.50	3235.00	USD	3,437.50	
23AUG17	B	1	PD24NOV17		3098.00	3235.00	USD	3,425.00	
23AUG17	B	1	PD24NOV17		3096.50	3235.00	USD	3,462.50	
23AUG17	B	1	PD24NOV17		3099.50	3235.00	USD	3,387.50	
23AUG17	S	1	PD24NOV17		3099.00	3235.00	USD	-3,400.00	
23AUG17	S	4	PD24NOV17		3100.00	3235.00	USD	-13,500.00	
23AUG17	S	2	PD24NOV17		3101.00	3235.00	USD	-6,700.00	
24AUG17	B	2	PD24NOV17		3104.50	3235.00	USD	6,525.00	
24AUG17	B	2	PD24NOV17		3107.50	3235.00	USD	6,375.00	
24AUG17	S	1	PD24NOV17		3108.50	3235.00	USD	-3,162.50	
24AUG17	B	1	PD24NOV17		3106.50	3235.00	USD	3,212.50	
24AUG17	S	1	PD24NOV17		3106.50	3235.00	USD	-3,212.50	
24AUG17	S	1	PD24NOV17		3105.00	3235.00	USD	-3,250.00	
24AUG17	S	1	PD24NOV17		3107.00	3235.00	USD	-3,200.00	
24AUG17	B	1	PD24NOV17		3109.00	3235.00	USD	3,150.00	
24AUG17	S	1	PD24NOV17		3111.00	3235.00	USD	-3,100.00	
24AUG17	B	2	PD24NOV17		3105.50	3235.00	USD	6,475.00	
24AUG17	B	1	PD24NOV17		3106.00	3235.00	USD	3,225.00	
25AUG17	S	1	PD24NOV17		3126.00	3235.00	USD	-2,725.00	
25AUG17	S	2	PD24NOV17		3129.00	3235.00	USD	-5,300.00	
25AUG17	B	2	PD24NOV17		3072.50	3235.00	USD	8,125.00	
25AUG17	B	1	PD24NOV17		3065.00	3235.00	USD	4,250.00	
25AUG17	B	2	PD24NOV17		3066.50	3235.00	USD	8,425.00	
25AUG17	B	1	PD24NOV17		3052.50	3235.00	USD	4,562.50	

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25AUG17	S	2	PD24NOV17	3063.00	3235.00	USD	-8,600.00	
25AUG17	S	1	PD24NOV17	3070.00	3235.00	USD	-4,125.00	
25AUG17	S	1	PD24NOV17	3069.00	3235.00	USD	-4,150.00	
29AUG17	S	4	PD24NOV17	3108.80	3235.00	USD	-12,620.00	
30AUG17	B	1	PD24NOV17	3094.00	3235.00	USD	3,525.00	
31AUG17	S	2	PD24NOV17	3144.80	3235.00	USD	-4,510.00	
	NET	0 AVG	BGHT 3097.77	SOLD 3109.78			9,607.50	0.00
29AUG17	S	1	PD29NOV17	3106.00	3234.00	USD	-3,200.00	
29AUG17	S	1	PD29NOV17	3107.50	3234.00	USD	-3,162.50	
29AUG17	S	2	PD29NOV17	3107.00	3234.00	USD	-6,350.00	
29AUG17	S	1	PD29NOV17	3097.50	3234.00	USD	-3,412.50	
29AUG17	S	1	PD29NOV17	3099.00	3234.00	USD	-3,375.00	
29AUG17	S	1	PD29NOV17	3093.50	3234.00	USD	-3,512.50	
29AUG17	S	1	PD29NOV17	3102.50	3234.00	USD	-3,287.50	
29AUG17	S	1	PD29NOV17	3103.50	3234.00	USD	-3,262.50	
29AUG17	S	1	PD29NOV17	3104.00	3234.00	USD	-3,250.00	
29AUG17	S	1	PD29NOV17	3104.50	3234.00	USD	-3,237.50	
29AUG17	S	1	PD29NOV17	3109.50	3234.00	USD	-3,112.50	
29AUG17	S	2	PD29NOV17	3115.00	3234.00	USD	-5,950.00	
29AUG17	S	1	PD29NOV17	3116.00	3234.00	USD	-2,950.00	
29AUG17	S	1	PD29NOV17	3113.00	3234.00	USD	-3,025.00	
29AUG17	S	1	PD29NOV17	3118.00	3234.00	USD	-2,900.00	
29AUG17	S	2	PD29NOV17	3117.50	3234.00	USD	-5,825.00	
29AUG17	S	1	PD29NOV17	3120.50	3234.00	USD	-2,837.50	
29AUG17	S	1	PD29NOV17	3120.00	3234.00	USD	-2,850.00	
29AUG17	S	1	PD29NOV17	3122.00	3234.00	USD	-2,800.00	
29AUG17	S	1	PD29NOV17	3124.00	3234.00	USD	-2,750.00	
29AUG17	B	1	PD29NOV17	3095.00	3234.00	USD	3,475.00	
29AUG17	B	1	PD29NOV17	3104.00	3234.00	USD	3,250.00	
29AUG17	B	1	PD29NOV17	3106.00	3234.00	USD	3,200.00	
29AUG17	B	1	PD29NOV17	3106.50	3234.00	USD	3,187.50	
29AUG17	B	1	PD29NOV17	3107.00	3234.00	USD	3,175.00	
29AUG17	B	1	PD29NOV17	3108.00	3234.00	USD	3,150.00	
29AUG17	B	2	PD29NOV17	3110.50	3234.00	USD	6,175.00	
30AUG17	S	1	PD29NOV17	3094.63	3234.00	USD	-3,484.25	
31AUG17	B	13	PD29NOV17	3146.03	3234.00	USD	28,590.25	
12SEP17	B	3	PD29NOV17	3058.25	3234.00	USD	13,181.25	
	NET	0 AVG	BGHT 3121.69	SOLD 3109.78			-7,150.25	0.00
30AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
30AUG17	S	2	PD30NOV17	3128.50	3233.67	USD	-5,258.50	
30AUG17	S	1	PD30NOV17	3139.00	3233.67	USD	-2,366.75	
30AUG17	S	1	PD30NOV17	3145.00	3233.67	USD	-2,216.75	
30AUG17	S	1	PD30NOV17	3147.00	3233.67	USD	-2,166.75	
30AUG17	S	1	PD30NOV17	3148.50	3233.67	USD	-2,129.25	
30AUG17	S	2	PD30NOV17	3150.50	3233.67	USD	-4,158.50	
30AUG17	S	1	PD30NOV17	3151.00	3233.67	USD	-2,066.75	
30AUG17	S	1	PD30NOV17	3151.50	3233.67	USD	-2,054.25	
30AUG17	B	1	PD30NOV17	3134.50	3233.67	USD	2,479.25	
30AUG17	B	1	PD30NOV17	3134.00	3233.67	USD	2,491.75	
30AUG17	B	1	PD30NOV17	3130.50	3233.67	USD	2,579.25	
30AUG17	B	3	PD30NOV17	3132.50	3233.67	USD	7,587.75	
30AUG17	B	3	PD30NOV17	3131.50	3233.67	USD	7,662.75	
30AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
30AUG17	B	1	PD30NOV17	3128.50	3233.67	USD	2,629.25	
30AUG17	B	1	PD30NOV17	3103.00	3233.67	USD	3,266.75	
30AUG17	S	1	PD30NOV17	3108.00	3233.67	USD	-3,141.75	
30AUG17	S	1	PD30NOV17	3109.50	3233.67	USD	-3,104.25	

OPEN POSITION STATEMENT



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SAP0094E

11th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3106.00	3233.67	USD	-3,191.75	
30AUG17	S	2	PD30NOV17	3110.50	3233.67	USD	-6,158.50	
30AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
30AUG17	B	2	PD30NOV17	3103.50	3233.67	USD	6,508.50	
30AUG17	B	1	PD30NOV17	3106.50	3233.67	USD	3,179.25	
30AUG17	B	1	PD30NOV17	3099.50	3233.67	USD	3,354.25	
30AUG17	B	1	PD30NOV17	3102.00	3233.67	USD	3,291.75	
30AUG17	S	1	PD30NOV17	3099.00	3233.67	USD	-3,366.75	
30AUG17	S	1	PD30NOV17	3099.50	3233.67	USD	-3,354.25	
30AUG17	S	5	PD30NOV17	3127.35	3233.67	USD	-13,290.00	
30AUG17	S	5	PD30NOV17	3131.85	3233.67	USD	-12,727.50	
30AUG17	S	5	PD30NOV17	3132.35	3233.67	USD	-12,665.00	
30AUG17	S	5	PD30NOV17	3132.84	3233.67	USD	-12,603.75	
30AUG17	B	2	PD30NOV17	3095.00	3233.67	USD	6,933.50	
30AUG17	B	1	PD30NOV17	3095.50	3233.67	USD	3,454.25	
30AUG17	S	1	PD30NOV17	3095.50	3233.67	USD	-3,454.25	
31AUG17	B	1	PD30NOV17	3108.00	3233.67	USD	3,141.75	
31AUG17	B	3	PD30NOV17	3109.00	3233.67	USD	9,350.25	
31AUG17	S	1	PD30NOV17	3109.50	3233.67	USD	-3,104.25	
31AUG17	B	1	PD30NOV17	3103.00	3233.67	USD	3,266.75	
31AUG17	B	1	PD30NOV17	3107.00	3233.67	USD	3,166.75	
31AUG17	S	1	PD30NOV17	3106.50	3233.67	USD	-3,179.25	
31AUG17	S	1	PD30NOV17	3120.50	3233.67	USD	-2,829.25	
31AUG17	S	1	PD30NOV17	3114.00	3233.67	USD	-2,991.75	
31AUG17	S	1	PD30NOV17	3115.00	3233.67	USD	-2,966.75	
31AUG17	S	1	PD30NOV17	3116.50	3233.67	USD	-2,929.25	
31AUG17	B	2	PD30NOV17	3130.00	3233.67	USD	5,183.50	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	B	3	PD30NOV17	3126.00	3233.67	USD	8,075.25	
31AUG17	S	3	PD30NOV17	3108.50	3233.67	USD	-9,387.75	
31AUG17	B	1	PD30NOV17	3125.00	3233.67	USD	2,716.75	
31AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
31AUG17	S	2	PD30NOV17	3108.00	3233.67	USD	-6,283.50	
31AUG17	S	1	PD30NOV17	3125.00	3233.67	USD	-2,716.75	
31AUG17	S	1	PD30NOV17	3141.00	3233.67	USD	-2,316.75	
31AUG17	S	2	PD30NOV17	3142.00	3233.67	USD	-4,583.50	
31AUG17	S	1	PD30NOV17	3135.00	3233.67	USD	-2,466.75	
31AUG17	S	1	PD30NOV17	3155.00	3233.67	USD	-1,966.75	
31AUG17	S	5	PD30NOV17	3127.60	3233.67	USD	-13,258.75	
31AUG17	S	5	PD30NOV17	3126.35	3233.67	USD	-13,415.00	
31AUG17	S	5	PD30NOV17	3127.10	3233.67	USD	-13,321.25	
31AUG17	S	13	PD30NOV17	3145.80	3233.67	USD	-28,557.75	
05SEP17	B	7	PD30NOV17	3139.63	3233.67	USD	16,457.00	
12SEP17	B	19	PD30NOV17	3058.46	3233.67	USD	83,224.75	
22SEP17	B	25	PD30NOV17	3031.10	3233.67	USD	126,606.25	
	NET	0AVG BGHT 3080.91	SOLD 3129.42				103,086.25	0.00
01SEP17	S	1	PD01DEC17	3167.00	3233.33	USD	-1,658.25	
01SEP17	S	1	PD01DEC17	3148.00	3233.33	USD	-2,133.25	
01SEP17	B	1	PD01DEC17	3146.50	3233.33	USD	2,170.75	
01SEP17	B	2	PD01DEC17	3148.00	3233.33	USD	4,266.50	
01SEP17	B	1	PD01DEC17	3153.00	3233.33	USD	2,008.25	
01SEP17	B	1	PD01DEC17	3154.00	3233.33	USD	1,983.25	
01SEP17	B	1	PD01DEC17	3147.00	3233.33	USD	2,158.25	
01SEP17	S	1	PD01DEC17	3166.00	3233.33	USD	-1,683.25	
01SEP17	S	1	PD01DEC17	3167.50	3233.33	USD	-1,645.75	
01SEP17	S	1	PD01DEC17	3172.00	3233.33	USD	-1,533.25	
01SEP17	S	1	PD01DEC17	3173.00	3233.33	USD	-1,508.25	

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01SEP17	B	1	PD01DEC17	3149.50	3233.33	USD	2,095.75	
01SEP17	S	1	PD01DEC17	3176.50	3233.33	USD	-1,420.75	
01SEP17	B	1	PD01DEC17	3207.50	3233.33	USD	645.75	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	B	1	PD01DEC17	3209.00	3233.33	USD	608.25	
12SEP17	S	3	PD01DEC17	3058.47	3233.33	USD	-13,114.50	
	NET	0 AVG BGHT 3167.05	SOLD 3134.54				-8,127.25	0.00
04SEP17	B	1	PD04DEC17	3196.50	3233.00	USD	912.50	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	S	1	PD04DEC17	3199.00	3233.00	USD	-850.00	
04SEP17	S	1	PD04DEC17	3199.50	3233.00	USD	-837.50	
04SEP17	S	1	PD04DEC17	3200.00	3233.00	USD	-825.00	
04SEP17	S	1	PD04DEC17	3200.50	3233.00	USD	-812.50	
04SEP17	S	1	PD04DEC17	3212.00	3233.00	USD	-525.00	
04SEP17	B	1	PD04DEC17	3208.00	3233.00	USD	625.00	
04SEP17	B	1	PD04DEC17	3209.00	3233.00	USD	600.00	
22SEP17	B	3	PD04DEC17	3031.52	3233.00	USD	15,111.00	
	NET	0 AVG BGHT 3118.01	SOLD 3201.50				12,523.50	0.00
05SEP17	S	1	PD05DEC17	3204.00	3232.67	USD	-716.75	
05SEP17	S	1	PD05DEC17	3205.50	3232.67	USD	-679.25	
05SEP17	S	1	PD05DEC17	3206.50	3232.67	USD	-654.25	
05SEP17	S	2	PD05DEC17	3195.00	3232.67	USD	-1,883.50	
05SEP17	B	1	PD05DEC17	3195.50	3232.67	USD	929.25	
05SEP17	S	2	PD05DEC17	3199.00	3232.67	USD	-1,683.50	
05SEP17	S	1	PD05DEC17	3200.00	3232.67	USD	-816.75	
05SEP17	S	2	PD05DEC17	3202.00	3232.67	USD	-1,533.50	
05SEP17	S	1	PD05DEC17	3202.50	3232.67	USD	-754.25	
05SEP17	S	1	PD05DEC17	3189.50	3232.67	USD	-1,079.25	
05SEP17	B	1	PD05DEC17	3132.50	3232.67	USD	2,504.25	
05SEP17	B	1	PD05DEC17	3143.00	3232.67	USD	2,241.75	
05SEP17	B	1	PD05DEC17	3145.00	3232.67	USD	2,191.75	
05SEP17	B	1	PD05DEC17	3140.00	3232.67	USD	2,316.75	
05SEP17	B	1	PD05DEC17	3138.00	3232.67	USD	2,366.75	
05SEP17	B	1	PD05DEC17	3138.50	3232.67	USD	2,354.25	
05SEP17	B	1	PD05DEC17	3133.50	3232.67	USD	2,479.25	
05SEP17	B	1	PD05DEC17	3134.00	3232.67	USD	2,466.75	
05SEP17	B	1	PD05DEC17	3110.50	3232.67	USD	3,054.25	
05SEP17	B	1	PD05DEC17	3110.00	3232.67	USD	3,066.75	
05SEP17	B	1	PD05DEC17	3106.00	3232.67	USD	3,166.75	
05SEP17	B	1	PD05DEC17	3105.00	3232.67	USD	3,191.75	
12SEP17	S	1	PD05DEC17	3059.32	3232.67	USD	-4,333.75	
	NET	0 AVG BGHT 3133.19	SOLD 3189.18				18,195.50	0.00
06SEP17	B	1	PD06DEC17	3120.50	3232.33	USD	2,795.75	
06SEP17	S	2	PD06DEC17	3120.00	3232.33	USD	-5,616.50	
06SEP17	S	1	PD06DEC17	3123.00	3232.33	USD	-2,733.25	
06SEP17	S	1	PD06DEC17	3123.50	3232.33	USD	-2,720.75	
06SEP17	S	1	PD06DEC17	3126.50	3232.33	USD	-2,645.75	
06SEP17	S	1	PD06DEC17	3089.50	3232.33	USD	-3,570.75	
06SEP17	S	2	PD06DEC17	3122.00	3232.33	USD	-5,516.50	
06SEP17	S	1	PD06DEC17	3109.00	3232.33	USD	-3,083.25	
06SEP17	B	1	PD06DEC17	3082.50	3232.33	USD	3,745.75	
06SEP17	B	1	PD06DEC17	3083.00	3232.33	USD	3,733.25	
06SEP17	B	1	PD06DEC17	3085.50	3232.33	USD	3,670.75	
06SEP17	B	1	PD06DEC17	3084.50	3232.33	USD	3,695.75	
22SEP17	B	4	PD06DEC17	3031.83	3232.33	USD	20,050.00	
	NET	0 AVG BGHT 3064.81	SOLD 3117.28				11,804.50	0.00

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07SEP17	B	1	PD07DEC17	3075.50	3232.00	USD	3,912.50	
07SEP17	B	1	PD07DEC17	3078.00	3232.00	USD	3,850.00	
07SEP17	B	2	PD07DEC17	3078.50	3232.00	USD	7,675.00	
07SEP17	B	1	PD07DEC17	3079.00	3232.00	USD	3,825.00	
07SEP17	B	1	PD07DEC17	3080.50	3232.00	USD	3,787.50	
07SEP17	B	1	PD07DEC17	3081.00	3232.00	USD	3,775.00	
07SEP17	B	1	PD07DEC17	3083.50	3232.00	USD	3,712.50	
07SEP17	B	1	PD07DEC17	3084.50	3232.00	USD	3,687.50	
07SEP17	B	1	PD07DEC17	3088.00	3232.00	USD	3,600.00	
07SEP17	B	1	PD07DEC17	3089.00	3232.00	USD	3,575.00	
07SEP17	B	1	PD07DEC17	3076.00	3232.00	USD	3,900.00	
07SEP17	S	1	PD07DEC17	3141.50	3232.00	USD	-2,262.50	
07SEP17	S	1	PD07DEC17	3135.50	3232.00	USD	-2,412.50	
07SEP17	S	2	PD07DEC17	3137.50	3232.00	USD	-4,725.00	
07SEP17	S	2	PD07DEC17	3140.00	3232.00	USD	-4,600.00	
07SEP17	S	1	PD07DEC17	3141.00	3232.00	USD	-2,275.00	
07SEP17	S	1	PD07DEC17	3142.50	3232.00	USD	-2,237.50	
07SEP17	S	1	PD07DEC17	3142.00	3232.00	USD	-2,250.00	
07SEP17	B	1	PD07DEC17	3134.50	3232.00	USD	2,437.50	
07SEP17	B	1	PD07DEC17	3134.00	3232.00	USD	2,450.00	
07SEP17	B	1	PD07DEC17	3136.00	3232.00	USD	2,400.00	
12SEP17	S	6	PD07DEC17	3059.74	3232.00	USD	-25,839.00	
	NET	0AVG BGHT 3091.77	SOLD 3107.73				5,986.00	0.00
08SEP17	B	1	PD08DEC17	3087.00	3231.67	USD	3,616.75	
08SEP17	B	1	PD08DEC17	3086.50	3231.67	USD	3,629.25	
08SEP17	B	2	PD08DEC17	3089.50	3231.67	USD	7,108.50	
08SEP17	B	1	PD08DEC17	3081.00	3231.67	USD	3,766.75	
08SEP17	B	1	PD08DEC17	3082.50	3231.67	USD	3,729.25	
08SEP17	B	3	PD08DEC17	3084.00	3231.67	USD	11,075.25	
08SEP17	B	1	PD08DEC17	3084.50	3231.67	USD	3,679.25	
08SEP17	B	2	PD08DEC17	3085.50	3231.67	USD	7,308.50	
08SEP17	B	1	PD08DEC17	3088.00	3231.67	USD	3,591.75	
08SEP17	S	1	PD08DEC17	3038.00	3231.67	USD	-4,841.75	
12SEP17	S	12	PD08DEC17	3059.95	3231.67	USD	-51,516.00	
	NET	0AVG BGHT 3085.50	SOLD 3058.26				-8,852.50	0.00
11SEP17	S	1	PD11DEC17	3058.50	3231.33	USD	-4,320.75	
11SEP17	S	1	PD11DEC17	3056.00	3231.33	USD	-4,383.25	
11SEP17	S	1	PD11DEC17	3056.50	3231.33	USD	-4,370.75	
11SEP17	S	1	PD11DEC17	3057.50	3231.33	USD	-4,345.75	
11SEP17	S	1	PD11DEC17	3058.00	3231.33	USD	-4,333.25	
11SEP17	S	1	PD11DEC17	3060.50	3231.33	USD	-4,270.75	
11SEP17	S	1	PD11DEC17	3055.00	3231.33	USD	-4,408.25	
11SEP17	S	1	PD11DEC17	3071.00	3231.33	USD	-4,008.25	
11SEP17	S	1	PD11DEC17	3089.00	3231.33	USD	-3,558.25	
11SEP17	S	1	PD11DEC17	3093.50	3231.33	USD	-3,445.75	
11SEP17	S	1	PD11DEC17	3100.50	3231.33	USD	-3,270.75	
11SEP17	S	1	PD11DEC17	3102.50	3231.33	USD	-3,220.75	
11SEP17	S	2	PD11DEC17	3092.00	3231.33	USD	-6,966.50	
11SEP17	B	2	PD11DEC17	3050.50	3231.33	USD	9,041.50	
11SEP17	B	1	PD11DEC17	3083.00	3231.33	USD	3,708.25	
11SEP17	B	2	PD11DEC17	3084.50	3231.33	USD	7,341.50	
11SEP17	B	1	PD11DEC17	3085.00	3231.33	USD	3,658.25	
11SEP17	B	3	PD11DEC17	3083.50	3231.33	USD	11,087.25	
11SEP17	B	2	PD11DEC17	3084.00	3231.33	USD	7,366.50	
11SEP17	B	2	PD11DEC17	3086.00	3231.33	USD	7,266.50	
11SEP17	B	1	PD11DEC17	3087.50	3231.33	USD	3,595.75	
11SEP17	B	2	PD11DEC17	3088.00	3231.33	USD	7,166.50	

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15SEP17	S	2	PD11DEC17	3030.18	3231.33	USD	-10,057.50	
	NET	0 AVG BGHT 3080.75	SOLD 3068.93				-4,728.50	0.00
12SEP17	S	1	PD12DEC17	3082.50	3231.00	USD	-3,712.50	
12SEP17	S	1	PD12DEC17	3083.00	3231.00	USD	-3,700.00	
12SEP17	S	6	PD12DEC17	3083.50	3231.00	USD	-22,125.00	
12SEP17	S	4	PD12DEC17	3084.00	3231.00	USD	-14,700.00	
12SEP17	S	1	PD12DEC17	3084.50	3231.00	USD	-3,662.50	
12SEP17	S	1	PD12DEC17	3085.50	3231.00	USD	-3,637.50	
12SEP17	B	1	PD12DEC17	3060.50	3231.00	USD	4,262.50	
12SEP17	B	1	PD12DEC17	3053.00	3231.00	USD	4,450.00	
12SEP17	B	1	PD12DEC17	3055.50	3231.00	USD	4,387.50	
12SEP17	B	1	PD12DEC17	3057.00	3231.00	USD	4,350.00	
12SEP17	B	1	PD12DEC17	3058.00	3231.00	USD	4,325.00	
12SEP17	B	1	PD12DEC17	3058.50	3231.00	USD	4,312.50	
12SEP17	B	1	PD12DEC17	3053.50	3231.00	USD	4,437.50	
12SEP17	B	3	PD12DEC17	3054.00	3231.00	USD	13,275.00	
12SEP17	S	1	PD12DEC17	3057.00	3231.00	USD	-4,350.00	
12SEP17	B	1	PD12DEC17	3063.50	3231.00	USD	4,187.50	
12SEP17	B	2	PD12DEC17	3064.00	3231.00	USD	8,350.00	
22SEP17	B	2	PD12DEC17	3032.76	3231.00	USD	9,912.00	
	NET	0 AVG BGHT 3054.33	SOLD 3081.97				10,362.00	0.00
13SEP17	S	1	PD13DEC17	3055.00	3230.67	USD	-4,391.75	
13SEP17	S	1	PD13DEC17	3055.50	3230.67	USD	-4,379.25	
13SEP17	S	1	PD13DEC17	3056.00	3230.67	USD	-4,366.75	
13SEP17	S	1	PD13DEC17	3058.00	3230.67	USD	-4,316.75	
13SEP17	S	1	PD13DEC17	3059.00	3230.67	USD	-4,291.75	
13SEP17	S	1	PD13DEC17	3060.00	3230.67	USD	-4,266.75	
13SEP17	B	1	PD13DEC17	3047.50	3230.67	USD	4,579.25	
13SEP17	B	2	PD13DEC17	3048.00	3230.67	USD	9,133.50	
13SEP17	B	1	PD13DEC17	3043.00	3230.67	USD	4,691.75	
13SEP17	B	1	PD13DEC17	3043.50	3230.67	USD	4,679.25	
13SEP17	S	1	PD13DEC17	3048.50	3230.67	USD	-4,554.25	
13SEP17	B	1	PD13DEC17	3034.50	3230.67	USD	4,904.25	
13SEP17	B	1	PD13DEC17	3036.00	3230.67	USD	4,866.75	
13SEP17	B	1	PD13DEC17	3036.50	3230.67	USD	4,854.25	
13SEP17	S	1	PD13DEC17	3032.00	3230.67	USD	-4,966.75	
13SEP17	B	2	PD13DEC17	3030.00	3230.67	USD	10,033.50	
13SEP17	B	1	PD13DEC17	3030.50	3230.67	USD	5,004.25	
13SEP17	B	1	PD13DEC17	3027.00	3230.67	USD	5,091.75	
13SEP17	B	1	PD13DEC17	3028.00	3230.67	USD	5,066.75	
13SEP17	B	1	PD13DEC17	3024.00	3230.67	USD	5,166.75	
13SEP17	B	1	PD13DEC17	3025.00	3230.67	USD	5,141.75	
13SEP17	B	1	PD13DEC17	3023.00	3230.67	USD	5,191.75	
13SEP17	B	2	PD13DEC17	3029.50	3230.67	USD	10,058.50	
13SEP17	B	1	PD13DEC17	3028.50	3230.67	USD	5,054.25	
13SEP17	B	1	PD13DEC17	3029.00	3230.67	USD	5,041.75	
15SEP17	S	12	PD13DEC17	3030.49	3230.67	USD	-60,054.00	
	NET	0 AVG BGHT 3033.55	SOLD 3039.49				2,972.00	0.00
14SEP17	B	1	PD14DEC17	3001.00	3230.33	USD	5,733.25	
14SEP17	B	2	PD14DEC17	3002.00	3230.33	USD	11,416.50	
14SEP17	B	1	PD14DEC17	2990.00	3230.33	USD	6,008.25	
14SEP17	B	2	PD14DEC17	2992.00	3230.33	USD	11,916.50	
14SEP17	B	1	PD14DEC17	2996.00	3230.33	USD	5,858.25	
14SEP17	B	1	PD14DEC17	2998.50	3230.33	USD	5,795.75	
14SEP17	B	2	PD14DEC17	2999.00	3230.33	USD	11,566.50	
14SEP17	B	2	PD14DEC17	2999.50	3230.33	USD	11,541.50	

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SAP0094E

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	3000.00	3230.33	USD	5,758.25	
14SEP17	B	1	PD14DEC17	2995.50	3230.33	USD	5,870.75	
14SEP17	B	1	PD14DEC17	2988.00	3230.33	USD	6,058.25	
14SEP17	B	1	PD14DEC17	2981.50	3230.33	USD	6,220.75	
14SEP17	B	1	PD14DEC17	2982.50	3230.33	USD	6,195.75	
14SEP17	B	1	PD14DEC17	2985.50	3230.33	USD	6,120.75	
14SEP17	B	1	PD14DEC17	2980.00	3230.33	USD	6,258.25	
22SEP17	S	9	PD14DEC17	3032.87	3230.33	USD	-44,428.50	
22SEP17	S	10	PD14DEC17	3033.07	3230.33	USD	-49,315.00	
	NET	0 AVG BGHT 2993.87	SOLD 3032.98				18,575.75	0.00
15SEP17	S	1	PD15DEC17	3015.00	3230.00	USD	-5,375.00	
15SEP17	S	1	PD15DEC17	3017.00	3230.00	USD	-5,325.00	
15SEP17	S	1	PD15DEC17	3020.00	3230.00	USD	-5,250.00	
15SEP17	S	1	PD15DEC17	3021.00	3230.00	USD	-5,225.00	
15SEP17	S	2	PD15DEC17	3020.50	3230.00	USD	-10,475.00	
15SEP17	S	1	PD15DEC17	3029.00	3230.00	USD	-5,025.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	S	1	PD15DEC17	3027.50	3230.00	USD	-5,062.50	
15SEP17	S	2	PD15DEC17	3028.00	3230.00	USD	-10,100.00	
15SEP17	S	3	PD15DEC17	3026.50	3230.00	USD	-15,262.50	
15SEP17	S	2	PD15DEC17	3032.50	3230.00	USD	-9,875.00	
15SEP17	S	1	PD15DEC17	3034.50	3230.00	USD	-4,887.50	
15SEP17	S	1	PD15DEC17	3036.00	3230.00	USD	-4,850.00	
15SEP17	S	1	PD15DEC17	3033.00	3230.00	USD	-4,925.00	
15SEP17	S	2	PD15DEC17	3035.00	3230.00	USD	-9,750.00	
15SEP17	S	1	PD15DEC17	3037.00	3230.00	USD	-4,825.00	
15SEP17	S	1	PD15DEC17	3038.00	3230.00	USD	-4,800.00	
15SEP17	S	1	PD15DEC17	3038.50	3230.00	USD	-4,787.50	
15SEP17	S	1	PD15DEC17	3039.00	3230.00	USD	-4,775.00	
15SEP17	S	1	PD15DEC17	3040.00	3230.00	USD	-4,750.00	
15SEP17	S	1	PD15DEC17	3045.50	3230.00	USD	-4,612.50	
15SEP17	S	1	PD15DEC17	3030.50	3230.00	USD	-4,987.50	
15SEP17	S	1	PD15DEC17	3031.00	3230.00	USD	-4,975.00	
15SEP17	S	2	PD15DEC17	3031.50	3230.00	USD	-9,925.00	
15SEP17	B	3	PD15DEC17	3032.00	3230.00	USD	14,850.00	
15SEP17	B	1	PD15DEC17	3033.50	3230.00	USD	4,912.50	
18SEP17	B	22	PD15DEC17	3097.85	3230.00	USD	72,682.50	
22SEP17	B	5	PD15DEC17	3033.23	3230.00	USD	24,596.25	
	NET	0 AVG BGHT 3078.98	SOLD 3030.23				-37,783.75	0.00
18SEP17	S	1	PD18DEC17	3072.50	3229.67	USD	-3,929.25	
18SEP17	B	1	PD18DEC17	3072.50	3229.67	USD	3,929.25	
18SEP17	S	1	PD18DEC17	3070.50	3229.67	USD	-3,979.25	
18SEP17	S	1	PD18DEC17	3085.50	3229.67	USD	-3,604.25	
18SEP17	S	1	PD18DEC17	3083.50	3229.67	USD	-3,654.25	
18SEP17	S	1	PD18DEC17	3085.00	3229.67	USD	-3,616.75	
18SEP17	S	1	PD18DEC17	3086.00	3229.67	USD	-3,591.75	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	1	PD18DEC17	3090.00	3229.67	USD	-3,491.75	
18SEP17	S	1	PD18DEC17	3092.00	3229.67	USD	-3,441.75	
18SEP17	S	1	PD18DEC17	3094.00	3229.67	USD	-3,391.75	
18SEP17	S	2	PD18DEC17	3088.00	3229.67	USD	-7,083.50	
18SEP17	B	1	PD18DEC17	3063.50	3229.67	USD	4,154.25	
18SEP17	B	2	PD18DEC17	3065.00	3229.67	USD	8,233.50	
18SEP17	B	2	PD18DEC17	3065.50	3229.67	USD	8,208.50	
18SEP17	S	1	PD18DEC17	3086.50	3229.67	USD	-3,579.25	
18SEP17	S	1	PD18DEC17	3095.00	3229.67	USD	-3,366.75	
18SEP17	S	1	PD18DEC17	3097.00	3229.67	USD	-3,316.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3100.00	3229.67	USD	3,241.75	
18SEP17	S	2	PD18DEC17	3101.50	3229.67	USD	-6,408.50	
18SEP17	B	2	PD18DEC17	3111.50	3229.67	USD	5,908.50	
18SEP17	B	2	PD18DEC17	3110.50	3229.67	USD	5,958.50	
18SEP17	S	2	PD18DEC17	3094.50	3229.67	USD	-6,758.50	
22SEP17	B	8	PD18DEC17	3033.89	3229.67	USD	39,156.00	
	NET	0 AVG BGHT 3063.80	SOLD 3089.11				12,022.00	0.00
19SEP17	B	1	PD19DEC17	3099.50	3229.33	USD	3,245.75	
19SEP17	S	1	PD19DEC17	3094.00	3229.33	USD	-3,383.25	
19SEP17	B	2	PD19DEC17	3095.50	3229.33	USD	6,691.50	
19SEP17	S	1	PD19DEC17	3109.50	3229.33	USD	-2,995.75	
19SEP17	B	1	PD19DEC17	3110.50	3229.33	USD	2,970.75	
22SEP17	S	2	PD19DEC17	3033.85	3229.33	USD	-9,774.00	
	NET	0 AVG BGHT 3100.25	SOLD 3067.80				-3,245.00	0.00
20SEP17	B	2	PD20DEC17	3104.00	3229.00	USD	6,250.00	
20SEP17	B	1	PD20DEC17	3143.00	3229.00	USD	2,150.00	
20SEP17	S	1	PD20DEC17	3146.00	3229.00	USD	-2,075.00	
20SEP17	S	1	PD20DEC17	3148.00	3229.00	USD	-2,025.00	
20SEP17	B	2	PD20DEC17	3138.00	3229.00	USD	4,550.00	
20SEP17	B	2	PD20DEC17	3137.00	3229.00	USD	4,600.00	
20SEP17	B	1	PD20DEC17	3144.00	3229.00	USD	2,125.00	
20SEP17	B	1	PD20DEC17	3131.50	3229.00	USD	2,437.50	
20SEP17	B	1	PD20DEC17	3132.50	3229.00	USD	2,412.50	
20SEP17	B	1	PD20DEC17	3135.00	3229.00	USD	2,350.00	
22SEP17	S	9	PD20DEC17	3034.00	3229.00	USD	-43,875.00	
	NET	0 AVG BGHT 3131.27	SOLD 3054.55				-21,100.00	0.00
21SEP17	B	1	PD21DEC17	3097.50	3225.00	USD	3,187.50	
21SEP17	B	1	PD21DEC17	3098.00	3225.00	USD	3,175.00	
21SEP17	B	1	PD21DEC17	3099.00	3225.00	USD	3,150.00	
21SEP17	B	1	PD21DEC17	3065.00	3225.00	USD	4,000.00	
21SEP17	B	2	PD21DEC17	3065.50	3225.00	USD	7,975.00	
21SEP17	B	2	PD21DEC17	3069.50	3225.00	USD	7,775.00	
21SEP17	B	1	PD21DEC17	3075.50	3225.00	USD	3,737.50	
21SEP17	B	1	PD21DEC17	3080.50	3225.00	USD	3,612.50	
21SEP17	B	1	PD21DEC17	3081.00	3225.00	USD	3,600.00	
21SEP17	S	1	PD21DEC17	3079.00	3225.00	USD	-3,650.00	
21SEP17	S	2	PD21DEC17	3080.50	3225.00	USD	-7,225.00	
21SEP17	S	1	PD21DEC17	3081.50	3225.00	USD	-3,587.50	
21SEP17	S	2	PD21DEC17	3082.00	3225.00	USD	-7,150.00	
21SEP17	S	1	PD21DEC17	3077.00	3225.00	USD	-3,700.00	
21SEP17	S	2	PD21DEC17	3078.00	3225.00	USD	-7,350.00	
21SEP17	S	1	PD21DEC17	3078.50	3225.00	USD	-3,662.50	
21SEP17	B	1	PD21DEC17	3094.00	3225.00	USD	3,275.00	
21SEP17	B	1	PD21DEC17	3081.50	3225.00	USD	3,587.50	
21SEP17	B	1	PD21DEC17	3084.50	3225.00	USD	3,512.50	
21SEP17	B	1	PD21DEC17	3086.00	3225.00	USD	3,475.00	
21SEP17	B	1	PD21DEC17	3046.50	3225.00	USD	4,462.50	
21SEP17	B	1	PD21DEC17	3047.00	3225.00	USD	4,450.00	
21SEP17	B	1	PD21DEC17	3047.50	3225.00	USD	4,437.50	
21SEP17	B	1	PD21DEC17	3030.00	3225.00	USD	4,875.00	
21SEP17	B	1	PD21DEC17	3038.00	3225.00	USD	4,675.00	
21SEP17	B	2	PD21DEC17	3039.00	3225.00	USD	9,300.00	
21SEP17	B	1	PD21DEC17	3042.50	3225.00	USD	4,562.50	
21SEP17	B	1	PD21DEC17	3045.50	3225.00	USD	4,487.50	
21SEP17	B	1	PD21DEC17	3026.50	3225.00	USD	4,962.50	
21SEP17	B	1	PD21DEC17	3027.50	3225.00	USD	4,937.50	

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21SEP17	B	1	PD21DEC17	3029.50	3225.00	USD	4,887.50	
21SEP17	S	1	PD21DEC17	3043.00	3225.00	USD	-4,550.00	
22SEP17	S	5	PD21DEC17	3032.30	3225.00	USD	-24,087.50	
22SEP17	S	11	PD21DEC17	3032.50	3225.00	USD	-52,937.50	
	NET	0AVG BGHT 3061.89	SOLD 3050.33				-7,800.00	0.00
22SEP17	S	2	PD22DEC17	3033.00	3224.50	USD	-9,575.00	
22SEP17	S	2	PD22DEC17	3033.50	3224.50	USD	-9,550.00	
22SEP17	B	1	PD22DEC17	3006.00	3224.50	USD	5,462.50	
22SEP17	B	1	PD22DEC17	3009.00	3224.50	USD	5,387.50	
22SEP17	B	1	PD22DEC17	3012.50	3224.50	USD	5,300.00	
22SEP17	B	1	PD22DEC17	3032.00	3224.50	USD	4,812.50	
22SEP17	B	1	PD22DEC17	3033.50	3224.50	USD	4,775.00	
22SEP17	S	1	PD22DEC17	3032.00	3224.50	USD	-4,812.50	
22SEP17	S	1	PD22DEC17	3032.50	3224.50	USD	-4,800.00	
22SEP17	S	1	PD22DEC17	3037.50	3224.50	USD	-4,675.00	
22SEP17	S	1	PD22DEC17	3040.50	3224.50	USD	-4,600.00	
22SEP17	S	1	PD22DEC17	3042.00	3224.50	USD	-4,562.50	
22SEP17	S	1	PD22DEC17	3035.00	3224.50	USD	-4,737.50	
22SEP17	S	2	PD22DEC17	3034.00	3224.50	USD	-9,525.00	
22SEP17	S	2	PD22DEC17	3036.00	3224.50	USD	-9,425.00	
22SEP17	S	2	PD22DEC17	3038.00	3224.50	USD	-9,325.00	
22SEP17	S	3	PD22DEC17	3039.50	3224.50	USD	-13,875.00	
22SEP17	S	1	PD22DEC17	3064.00	3224.50	USD	-4,012.50	
22SEP17	S	2	PD22DEC17	3067.00	3224.50	USD	-7,875.00	
22SEP17	S	1	PD22DEC17	3069.00	3224.50	USD	-3,887.50	
22SEP17	S	1	PD22DEC17	3070.00	3224.50	USD	-3,862.50	
22SEP17	S	1	PD22DEC17	3074.00	3224.50	USD	-3,762.50	
22SEP17	S	1	PD22DEC17	3075.00	3224.50	USD	-3,737.50	
22SEP17	S	1	PD22DEC17	3077.00	3224.50	USD	-3,687.50	
22SEP17	S	2	PD22DEC17	3036.50	3224.50	USD	-9,400.00	
22SEP17	B	2	PD22DEC17	3011.00	3224.50	USD	10,675.00	
22SEP17	S	1	PD22DEC17	3068.50	3224.50	USD	-3,900.00	
22SEP17	S	3	PD22DEC17	3066.50	3224.50	USD	-11,850.00	
22SEP17	S	2	PD22DEC17	3067.50	3224.50	USD	-7,850.00	
22SEP17	S	1	PD22DEC17	3052.00	3224.50	USD	-4,312.50	
22SEP17	S	2	PD22DEC17	3052.50	3224.50	USD	-8,600.00	
22SEP17	S	2	PD22DEC17	3053.00	3224.50	USD	-8,575.00	
22SEP17	S	1	PD22DEC17	3053.50	3224.50	USD	-4,275.00	
22SEP17	S	1	PD22DEC17	3043.50	3224.50	USD	-4,525.00	
22SEP17	S	1	PD22DEC17	3068.00	3224.50	USD	-3,912.50	
22SEP17	B	36	PD22DEC17	3031.20	3224.50	USD	173,970.00	
	NET	0AVG BGHT 3028.80	SOLD 3050.09				22,895.00	0.00
25SEP17	B	1	PD27DEC17	3086.00	3224.00	USD	3,450.00	
25SEP17	S	1	PD27DEC17	3085.50	3224.00	USD	-3,462.50	
25SEP17	S	2	PD27DEC17	3084.50	3224.00	USD	-6,975.00	
25SEP17	S	1	PD27DEC17	3095.50	3224.00	USD	-3,212.50	
25SEP17	S	1	PD27DEC17	3097.00	3224.00	USD	-3,175.00	
25SEP17	S	1	PD27DEC17	3098.50	3224.00	USD	-3,137.50	
25SEP17	S	1	PD27DEC17	3105.50	3224.00	USD	-2,962.50	
25SEP17	B	1	PD27DEC17	3078.00	3224.00	USD	3,650.00	
25SEP17	B	1	PD27DEC17	3078.50	3224.00	USD	3,637.50	
25SEP17	B	1	PD27DEC17	3081.50	3224.00	USD	3,562.50	
25SEP17	B	1	PD27DEC17	3083.50	3224.00	USD	3,512.50	
25SEP17	S	1	PD27DEC17	3100.50	3224.00	USD	-3,087.50	
25SEP17	B	1	PD27DEC17	3095.00	3224.00	USD	3,225.00	
25SEP17	B	1	PD27DEC17	3095.50	3224.00	USD	3,212.50	
25SEP17	S	1	PD27DEC17	3097.50	3224.00	USD	-3,162.50	

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25SEP17	S	3	PD27DEC17	3098.00	3224.00	USD	-9,450.00	
26SEP17	S	1	PD27DEC17	3123.00	3224.00	USD	-2,525.00	
26SEP17	S	1	PD27DEC17	3126.00	3224.00	USD	-2,450.00	
26SEP17	S	1	PD27DEC17	3135.50	3224.00	USD	-2,212.50	
26SEP17	S	1	PD27DEC17	3137.50	3224.00	USD	-2,162.50	
26SEP17	S	2	PD27DEC17	3139.00	3224.00	USD	-4,250.00	
26SEP17	S	1	PD27DEC17	3149.00	3224.00	USD	-1,875.00	
26SEP17	S	1	PD27DEC17	3149.50	3224.00	USD	-1,862.50	
26SEP17	B	1	PD27DEC17	3148.50	3224.00	USD	1,887.50	
26SEP17	S	4	PD27DEC17	3146.50	3224.00	USD	-7,750.00	
26SEP17	S	2	PD27DEC17	3148.50	3224.00	USD	-3,775.00	
26SEP17	B	1	PD27DEC17	3117.00	3224.00	USD	2,675.00	
26SEP17	B	1	PD27DEC17	3117.50	3224.00	USD	2,662.50	
26SEP17	B	1	PD27DEC17	3118.50	3224.00	USD	2,637.50	
26SEP17	B	1	PD27DEC17	3120.00	3224.00	USD	2,600.00	
26SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
26SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
26SEP17	B	1	PD27DEC17	3111.00	3224.00	USD	2,825.00	
26SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
26SEP17	B	1	PD27DEC17	3111.50	3224.00	USD	2,812.50	
26SEP17	B	1	PD27DEC17	3112.00	3224.00	USD	2,800.00	
26SEP17	B	1	PD27DEC17	3113.50	3224.00	USD	2,762.50	
26SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
26SEP17	B	1	PD27DEC17	3114.50	3224.00	USD	2,737.50	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	B	3	PD27DEC17	3115.50	3224.00	USD	8,137.50	
26SEP17	S	1	PD27DEC17	3112.00	3224.00	USD	-2,800.00	
26SEP17	B	1	PD27DEC17	3106.00	3224.00	USD	2,950.00	
26SEP17	B	2	PD27DEC17	3107.50	3224.00	USD	5,825.00	
26SEP17	B	2	PD27DEC17	3108.50	3224.00	USD	5,775.00	
26SEP17	S	1	PD27DEC17	3109.50	3224.00	USD	-2,862.50	
26SEP17	S	1	PD27DEC17	3111.00	3224.00	USD	-2,825.00	
26SEP17	S	2	PD27DEC17	3112.50	3224.00	USD	-5,575.00	
27SEP17	S	1	PD27DEC17	3137.50	3224.00	USD	-2,162.50	
27SEP17	S	2	PD27DEC17	3140.50	3224.00	USD	-4,175.00	
27SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
27SEP17	S	3	PD27DEC17	3143.50	3224.00	USD	-6,037.50	
27SEP17	S	1	PD27DEC17	3144.00	3224.00	USD	-2,000.00	
27SEP17	S	2	PD27DEC17	3145.00	3224.00	USD	-3,950.00	
27SEP17	S	1	PD27DEC17	3145.50	3224.00	USD	-1,962.50	
27SEP17	S	2	PD27DEC17	3146.00	3224.00	USD	-3,900.00	
27SEP17	S	1	PD27DEC17	3147.00	3224.00	USD	-1,925.00	
27SEP17	B	1	PD27DEC17	3123.00	3224.00	USD	2,525.00	
27SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
27SEP17	B	1	PD27DEC17	3107.00	3224.00	USD	2,925.00	
27SEP17	B	1	PD27DEC17	3117.00	3224.00	USD	2,675.00	
27SEP17	B	6	PD27DEC17	3105.20	3224.00	USD	17,820.00	
28SEP17	B	5	PD27DEC17	3147.45	3224.00	USD	9,568.75	
	NET	0	AVG BGHT 3112.09	SOLD 3126.48			16,188.75	0.00
28SEP17	S	1	PD28DEC17	3106.00	3223.50	USD	-2,937.50	
28SEP17	S	1	PD28DEC17	3109.50	3223.50	USD	-2,850.00	
28SEP17	S	1	PD28DEC17	3103.50	3223.50	USD	-3,000.00	
28SEP17	S	1	PD28DEC17	3109.00	3223.50	USD	-2,862.50	
28SEP17	S	1	PD28DEC17	3110.50	3223.50	USD	-2,825.00	
28SEP17	S	1	PD28DEC17	3112.00	3223.50	USD	-2,787.50	
28SEP17	S	1	PD28DEC17	3131.00	3223.50	USD	-2,312.50	
28SEP17	S	1	PD28DEC17	3130.00	3223.50	USD	-2,337.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	3145.00	3223.50	USD	-1,962.50	
28SEP17	S	1	PD28DEC17	3128.50	3223.50	USD	-2,375.00	
28SEP17	S	1	PD28DEC17	3128.00	3223.50	USD	-2,387.50	
28SEP17	S	1	PD28DEC17	3134.50	3223.50	USD	-2,225.00	
28SEP17	B	2	PD28DEC17	3159.50	3223.50	USD	3,200.00	
28SEP17	B	1	PD28DEC17	3154.50	3223.50	USD	1,725.00	
28SEP17	B	1	PD28DEC17	3158.00	3223.50	USD	1,637.50	
28SEP17	B	4	PD28DEC17	3147.20	3223.50	USD	7,630.00	
02OCT17	B	4	PD28DEC17	3236.20	3223.50	USD	-1,270.00	
	NET	0 AVG BGHT 3180.43	SOLD 3120.63				-17,940.00	0.00
29SEP17	B	1	PD29DEC17	3152.50	3223.00	USD	1,762.50	
29SEP17	B	1	PD29DEC17	3150.00	3223.00	USD	1,825.00	
29SEP17	B	2	PD29DEC17	3148.00	3223.00	USD	3,750.00	
29SEP17	S	1	PD29DEC17	3167.00	3223.00	USD	-1,400.00	
29SEP17	B	1	PD29DEC17	3147.50	3223.00	USD	1,887.50	
29SEP17	B	1	PD29DEC17	3149.00	3223.00	USD	1,850.00	
29SEP17	S	2	PD29DEC17	3149.00	3223.00	USD	-3,700.00	
29SEP17	S	2	PD29DEC17	3163.00	3223.00	USD	-3,000.00	
29SEP17	S	1	PD29DEC17	3168.00	3223.00	USD	-1,375.00	
29SEP17	S	4	PD29DEC17	3146.85	3223.00	USD	-7,615.00	
29SEP17	S	1	PD29DEC17	3150.50	3223.00	USD	-1,812.50	
29SEP17	S	1	PD29DEC17	3151.00	3223.00	USD	-1,800.00	
29SEP17	S	1	PD29DEC17	3155.00	3223.00	USD	-1,700.00	
29SEP17	S	1	PD29DEC17	3155.50	3223.00	USD	-1,687.50	
02OCT17	B	8	PD29DEC17	3236.20	3223.00	USD	-2,640.00	
	NET	0 AVG BGHT 3198.90	SOLD 3154.17				-15,655.00	0.00
03OCT17	S	1	PD03JAN18	3227.50	3222.00	USD	137.50	
03OCT17	S	2	PD03JAN18	3228.50	3222.00	USD	325.00	
03OCT17	S	1	PD03JAN18	3224.00	3222.00	USD	50.00	
03OCT17	S	3	PD03JAN18	3225.00	3222.00	USD	225.00	
03OCT17	S	1	PD03JAN18	3253.50	3222.00	USD	787.50	
03OCT17	S	1	PD03JAN18	3262.50	3222.00	USD	1,012.50	
03OCT17	S	2	PD03JAN18	3266.50	3222.00	USD	2,225.00	
03OCT17	S	1	PD03JAN18	3259.00	3222.00	USD	925.00	
03OCT17	S	1	PD03JAN18	3258.50	3222.00	USD	912.50	
03OCT17	S	1	PD03JAN18	3260.00	3222.00	USD	950.00	
03OCT17	S	2	PD03JAN18	3260.50	3222.00	USD	1,925.00	
03OCT17	S	2	PD03JAN18	3264.50	3222.00	USD	2,125.00	
03OCT17	S	2	PD03JAN18	3267.50	3222.00	USD	2,275.00	
03OCT17	S	2	PD03JAN18	3269.00	3222.00	USD	2,350.00	
03OCT17	S	1	PD03JAN18	3244.00	3222.00	USD	550.00	
03OCT17	S	1	PD03JAN18	3246.50	3222.00	USD	612.50	
03OCT17	S	1	PD03JAN18	3268.50	3222.00	USD	1,162.50	
03OCT17	S	1	PD03JAN18	3270.00	3222.00	USD	1,200.00	
03OCT17	S	2	PD03JAN18	3263.00	3222.00	USD	2,050.00	
03OCT17	S	1	PD03JAN18	3263.50	3222.00	USD	1,037.50	
03OCT17	S	2	PD03JAN18	3265.00	3222.00	USD	2,150.00	
03OCT17	S	2	PD03JAN18	3262.00	3222.00	USD	2,000.00	
03OCT17	S	2	PD03JAN18	3264.00	3222.00	USD	2,100.00	
03OCT17	S	1	PD03JAN18	3266.00	3222.00	USD	1,100.00	
03OCT17	B	1	PD03JAN18	3276.00	3222.00	USD	-1,350.00	
03OCT17	S	1	PD03JAN18	3270.50	3222.00	USD	1,212.50	
03OCT17	S	1	PD03JAN18	3279.50	3222.00	USD	1,437.50	
03OCT17	S	1	PD03JAN18	3280.50	3222.00	USD	1,462.50	
03OCT17	B	1	PD03JAN18	3287.50	3222.00	USD	-1,637.50	
03OCT17	S	3	PD03JAN18	3275.00	3222.00	USD	3,975.00	
03OCT17	B	1	PD03JAN18	3275.00	3222.00	USD	-1,325.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	B 20 PD03JAN18	3264.20	3222.00	USD	-21,100.00	
	NET -19 AVG BGHT 3266.20 SOLD 3258.45				12,862.50	0.00
04OCT17	S 1 PD04JAN18	3276.50	3221.50	USD	1,375.00	
04OCT17	B 1 PD04JAN18	3282.00	3221.50	USD	-1,512.50	
04OCT17	S 1 PD04JAN18	3295.50	3221.50	USD	1,850.00	
04OCT17	S 1 PD04JAN18	3296.50	3221.50	USD	1,875.00	
04OCT17	S 2 PD04JAN18	3297.00	3221.50	USD	3,775.00	
04OCT17	S 1 PD04JAN18	3298.00	3221.50	USD	1,912.50	
04OCT17	S 1 PD04JAN18	3300.00	3221.50	USD	1,962.50	
04OCT17	S 1 PD04JAN18	3301.00	3221.50	USD	1,987.50	
04OCT17	S 1 PD04JAN18	3293.50	3221.50	USD	1,800.00	
04OCT17	S 1 PD04JAN18	3294.50	3221.50	USD	1,825.00	
04OCT17	S 1 PD04JAN18	3290.50	3221.50	USD	1,725.00	
04OCT17	S 1 PD04JAN18	3291.50	3221.50	USD	1,750.00	
04OCT17	S 2 PD04JAN18	3292.50	3221.50	USD	3,550.00	
04OCT17	B 1 PD04JAN18	3279.00	3221.50	USD	-1,437.50	
	NET -12 AVG BGHT 3280.50 SOLD 3294.04				22,437.50	0.00
05OCT17	S 1 PD05JAN18	3273.00	3221.00	USD	1,300.00	
05OCT17	S 1 PD05JAN18	3274.00	3221.00	USD	1,325.00	
05OCT17	S 1 PD05JAN18	3274.50	3221.00	USD	1,337.50	
05OCT17	S 1 PD05JAN18	3281.00	3221.00	USD	1,500.00	
05OCT17	S 1 PD05JAN18	3281.50	3221.00	USD	1,512.50	
05OCT17	S 1 PD05JAN18	3282.00	3221.00	USD	1,525.00	
05OCT17	B 1 PD05JAN18	3289.00	3221.00	USD	-1,700.00	
05OCT17	B 1 PD05JAN18	3294.00	3221.00	USD	-1,825.00	
05OCT17	B 1 PD05JAN18	3290.00	3221.00	USD	-1,725.00	
05OCT17	B 1 PD05JAN18	3289.50	3221.00	USD	-1,712.50	
05OCT17	B 1 PD05JAN18	3287.00	3221.00	USD	-1,650.00	
05OCT17	B 1 PD05JAN18	3287.50	3221.00	USD	-1,662.50	
05OCT17	S 1 PD05JAN18	3300.00	3221.00	USD	1,975.00	
05OCT17	B 1 PD05JAN18	3295.50	3221.00	USD	-1,862.50	
05OCT17	B 1 PD05JAN18	3296.00	3221.00	USD	-1,875.00	
06OCT17	B 1 PD05JAN18	3291.00	3221.00	USD	-1,750.00	
06OCT17	B 1 PD05JAN18	3282.00	3221.00	USD	-1,525.00	
06OCT17	B 1 PD05JAN18	3281.50	3221.00	USD	-1,512.50	
06OCT17	B 1 PD05JAN18	3282.50	3221.00	USD	-1,537.50	
06OCT17	B 1 PD05JAN18	3250.00	3221.00	USD	-725.00	
06OCT17	B 1 PD05JAN18	3251.00	3221.00	USD	-750.00	
10OCT17	S 7 PD05JAN18	3280.64	3221.00	USD	10,437.00	
	NET 0 AVG BGHT 3283.32 SOLD 3280.75				-900.50	0.00
09OCT17	S 1 PD09JAN18	3265.00	3220.00	USD	1,125.00	
09OCT17	S 2 PD09JAN18	3265.50	3220.00	USD	2,275.00	
09OCT17	S 1 PD09JAN18	3264.00	3220.00	USD	1,100.00	
09OCT17	S 1 PD09JAN18	3264.50	3220.00	USD	1,112.50	
09OCT17	S 1 PD09JAN18	3270.00	3220.00	USD	1,250.00	
09OCT17	S 2 PD09JAN18	3270.50	3220.00	USD	2,525.00	
09OCT17	S 1 PD09JAN18	3273.00	3220.00	USD	1,325.00	
09OCT17	S 3 PD09JAN18	3267.00	3220.00	USD	3,525.00	
09OCT17	B 1 PD09JAN18	3256.50	3220.00	USD	-912.50	
10OCT17	B 7 PD09JAN18	3279.75	3220.00	USD	-10,456.25	
	NET -4 AVG BGHT 3276.84 SOLD 3267.46				2,868.75	0.00
11OCT17	S 6 PD11JAN18	3222.35	3219.00	USD	502.50	
11OCT17	S 8 PD11JAN18	3231.10	3219.00	USD	2,420.00	
11OCT17	S 6 PD11JAN18	3229.85	3219.00	USD	1,627.50	
11OCT17	B 1 PD11JAN18	3204.00	3219.00	USD	375.00	
11OCT17	B 3 PD11JAN18	3208.00	3219.00	USD	825.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	3211.50	3219.00	USD	187.50	
11OCT17	B	1	PD11JAN18	3215.00	3219.00	USD	100.00	
11OCT17	B	1	PD11JAN18	3216.00	3219.00	USD	75.00	
11OCT17	B	1	PD11JAN18	3217.50	3219.00	USD	37.50	
11OCT17	B	1	PD11JAN18	3219.00	3219.00	USD	0.00	
11OCT17	B	2	PD11JAN18	3220.00	3219.00	USD	-50.00	
11OCT17	B	2	PD11JAN18	3220.50	3219.00	USD	-75.00	
11OCT17	B	1	PD11JAN18	3221.00	3219.00	USD	-50.00	
11OCT17	B	1	PD11JAN18	3223.00	3219.00	USD	-100.00	
11OCT17	B	1	PD11JAN18	3229.00	3219.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	3229.50	3219.00	USD	-262.50	
11OCT17	B	1	PD11JAN18	3232.50	3219.00	USD	-337.50	
11OCT17	B	1	PD11JAN18	3236.50	3219.00	USD	-437.50	
11OCT17	B	1	PD11JAN18	3241.00	3219.00	USD	-550.00	
11OCT17	B	1	PD11JAN18	3242.00	3219.00	USD	-575.00	
11OCT17	B	1	PD11JAN18	3245.00	3219.00	USD	-650.00	
11OCT17	B	2	PD11JAN18	3247.00	3219.00	USD	-1,400.00	
11OCT17	B	1	PD11JAN18	3247.50	3219.00	USD	-712.50	
11OCT17	B	2	PD11JAN18	3249.00	3219.00	USD	-1,500.00	
11OCT17	B	1	PD11JAN18	3250.50	3219.00	USD	-787.50	
11OCT17	B	1	PD11JAN18	3251.00	3219.00	USD	-800.00	
11OCT17	B	4	PD11JAN18	3251.50	3219.00	USD	-3,250.00	
11OCT17	B	1	PD11JAN18	3252.00	3219.00	USD	-825.00	
11OCT17	B	2	PD11JAN18	3253.00	3219.00	USD	-1,700.00	
11OCT17	B	1	PD11JAN18	3253.50	3219.00	USD	-862.50	
	NET	+17 AVG BGHT 3233.68	SOLD 3228.10				-9,025.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	125,566.50
LME Lead 25 tonnes. This is an	FUTURES	309,801.00
LME Nickel 6 tonnes. This is	FUTURES	-516,830.10
CMX Silver	FUTURES	-682,575.00
LME Zinc 25 tonnes. This is	FUTURES	6,927,432.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	2,745.00	2,745.00	2,745.00	2,745.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	28,590.50	28,590.50		
LEAD - Futures USD	-264,808.50	-264,808.50		
NKL - Futures USD	283,954.68	283,954.68		
ZINC - Futures USD	-380,592.75	-380,592.75	-332,856.07	-332,856.07

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-589,906.53	-589,906.53
Variation Margins	- Futures	2,745.00	2,745.00
	- Options	0.00	0.00
TOTAL EQUITY		-587,161.53	-587,161.53
Initial Margins		-1,026,828.07	-1,026,828.07
Excess/(Shortage)		-1,613,989.60	-1,613,989.60
FOR INFORMATION ONLY			
Forward Unrealised P&L		-332,856.07	-332,856.07
Net Liquidating Value		-920,017.60	-920,017.60

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12SEP17	<u>CME JAPANESE YEN 12,500,000 CME</u> S 4 DEC17 NET -4 AVG SOLD 91.335	91.335	89.220	USD	10,575.00 10,575.00	0.00
12SEP17	<u>CME E-MINI JAPANESE YEN 6,250,000 CME</u> S 1 DEC17 NET -1 AVG SOLD 0.091360	0.091360	0.089220	USD	1,337.50 1,337.50	0.00

OPEN POSITION VALUE

		USD
CME Japanese Yen	FUTURES	456,675.00
CME E-mini Japanese Yen	FUTURES	57,100.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
JPY - Futures	USD 10,575.00	10,575.00		
MJY - Futures	USD 1,337.50	1,337.50	11,912.50	11,912.50

ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(112.27000)	(1.00000)	(1.00000)
CASH BALANCE	54,868,575	-498,866.16	-10,146.33
Variation Margins - Futures	0	11,912.50	11,912.50
- Options	0	0.00	0.00
TOTAL EQUITY	54,868,575	-486,953.66	1,766.17
Initial Margins	0	-12,375.00	-12,375.00
Excess/(Shortage)	54,868,575	-499,328.66	-10,608.83
FOR INFORMATION ONLY			
Net Liquidating Value	54,868,575	-486,953.66	1,766.17

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28JUL17	B	1	PD27OCT17	1913.50	2111.00	USD	4,937.50	
28JUL17	S	1	PD27OCT17	1911.50	2111.00	USD	-4,987.50	
	NET	0 AVG	BGHT 1913.50				-50.00	0.00
31JUL17	S	1	PD31OCT17	1924.50	2110.50	USD	-4,650.00	
31JUL17	B	1	PD31OCT17	1918.20	2110.50	USD	4,807.50	
	NET	0 AVG	BGHT 1918.20				157.50	0.00
16AUG17	S	1	PD01NOV17	2091.30	2110.81	USD	-487.75	
01SEP17	B	1	PD01NOV17	2131.13	2110.81	USD	-508.00	
	NET	0 AVG	BGHT 2131.13				-995.75	0.00
02AUG17	B	1	PD02NOV17	1925.50	2111.13	USD	4,640.75	
01SEP17	S	1	PD02NOV17	2131.17	2111.13	USD	501.00	
	NET	0 AVG	BGHT 1925.50				5,141.75	0.00
03AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
03AUG17	S	1	PD03NOV17	1921.00	2111.44	USD	-4,761.00	
03AUG17	B	1	PD03NOV17	1911.00	2111.44	USD	5,011.00	
16AUG17	S	1	PD03NOV17	2091.62	2111.44	USD	-495.50	
	NET	0 AVG	BGHT 1912.25				4,703.00	0.00
07AUG17	S	1	PD07NOV17	1923.00	2112.69	USD	-4,742.25	
07AUG17	S	1	PD07NOV17	1923.50	2112.69	USD	-4,729.75	
07AUG17	B	1	PD07NOV17	1928.50	2112.69	USD	4,604.75	
07AUG17	S	1	PD07NOV17	1930.00	2112.69	USD	-4,567.25	
07AUG17	S	4	PD07NOV17	1929.00	2112.69	USD	-18,369.00	
07AUG17	S	1	PD07NOV17	1931.50	2112.69	USD	-4,529.75	
07AUG17	S	1	PD07NOV17	1963.00	2112.69	USD	-3,742.25	
07AUG17	S	1	PD07NOV17	1964.00	2112.69	USD	-3,717.25	
16AUG17	B	7	PD07NOV17	2092.25	2112.69	USD	3,577.00	
16AUG17	B	2	PD07NOV17	2092.45	2112.69	USD	1,012.00	
	NET	0 AVG	BGHT 2075.92				-35,203.75	0.00
08AUG17	S	1	PD08NOV17	2008.00	2113.00	USD	-2,625.00	
08AUG17	S	1	PD08NOV17	2009.00	2113.00	USD	-2,600.00	
16AUG17	B	1	PD08NOV17	2092.50	2113.00	USD	512.50	
01SEP17	B	1	PD08NOV17	2132.81	2113.00	USD	-495.25	
	NET	0 AVG	BGHT 2112.66				-5,207.75	0.00
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	B	1	PD09NOV17	2031.50	2113.46	USD	2,049.00	
09AUG17	S	1	PD09NOV17	2031.50	2113.46	USD	-2,049.00	
09AUG17	B	1	PD09NOV17	2027.00	2113.46	USD	2,161.50	
09AUG17	B	1	PD09NOV17	2028.00	2113.46	USD	2,136.50	
09AUG17	B	1	PD09NOV17	2021.50	2113.46	USD	2,299.00	
16AUG17	S	4	PD09NOV17	2092.55	2113.46	USD	-2,091.00	
	NET	0 AVG	BGHT 2027.80				6,567.50	0.00
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
10AUG17	S	1	PD10NOV17	2040.00	2113.93	USD	-1,848.25	
10AUG17	B	1	PD10NOV17	2040.00	2113.93	USD	1,848.25	
11AUG17	B	1	PD10NOV17	2043.00	2113.93	USD	1,773.25	
11AUG17	B	4	PD10NOV17	2044.00	2113.93	USD	6,993.00	
16AUG17	S	4	PD10NOV17	2092.80	2113.93	USD	-2,113.00	
	NET	0 AVG	BGHT 2043.17				4,767.50	0.00
14AUG17	B	1	PD14NOV17	2029.00	2115.79	USD	2,169.75	
14AUG17	S	1	PD14NOV17	2031.00	2115.79	USD	-2,119.75	
	NET	0 AVG	BGHT 2029.00				50.00	0.00
16AUG17	B	1	PD16NOV17	2076.50	2116.56	USD	1,001.50	
01SEP17	S	1	PD16NOV17	2133.55	2116.56	USD	424.75	
	NET	0 AVG	BGHT 2076.50				1,426.25	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
17AUG17	S 1 PD17NOV17	2107.00	2116.87	USD	-246.75	
01SEP17	B 1 PD17NOV17	2133.00	2116.87	USD	-403.25	
	NET 0AVG BGHT 2133.00 SOLD 2107.00				-650.00	0.00
31AUG17	B 1 PD30NOV17	2128.50	2120.88	USD	-190.50	
01SEP17	S 1 PD30NOV17	2135.59	2120.88	USD	367.75	
	NET 0AVG BGHT 2128.50 SOLD 2135.59				177.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	2334.50	2556.61	USD	5,552.75	
16AUG17	S 1 PD31OCT17	2515.31	2556.61	USD	-1,032.50	
	NET 0AVG BGHT 2334.50 SOLD 2515.31				4,520.25	0.00
07AUG17	B 1 PD07NOV17	2370.00	2557.61	USD	4,690.25	
07AUG17	B 1 PD07NOV17	2370.50	2557.61	USD	4,677.75	
07AUG17	S 1 PD07NOV17	2367.50	2557.61	USD	-4,752.75	
16AUG17	S 1 PD07NOV17	2516.79	2557.61	USD	-1,020.50	
	NET 0AVG BGHT 2370.25 SOLD 2442.15				3,594.75	0.00
08AUG17	B 1 PD08NOV17	2349.50	2557.75	USD	5,206.25	
08AUG17	S 1 PD08NOV17	2378.00	2557.75	USD	-4,493.75	
08AUG17	S 1 PD08NOV17	2382.00	2557.75	USD	-4,393.75	
08AUG17	B 1 PD08NOV17	2386.00	2557.75	USD	4,293.75	
	NET 0AVG BGHT 2367.75 SOLD 2380.00				612.50	0.00
09AUG17	B 1 PD09NOV17	2399.00	2557.89	USD	3,972.25	
09AUG17	S 1 PD09NOV17	2405.00	2557.89	USD	-3,822.25	
09AUG17	B 1 PD09NOV17	2378.00	2557.89	USD	4,497.25	
09AUG17	S 1 PD09NOV17	2371.00	2557.89	USD	-4,672.25	
09AUG17	B 1 PD09NOV17	2367.50	2557.89	USD	4,759.75	
01SEP17	S 1 PD09NOV17	2388.87	2557.89	USD	-4,225.50	
	NET 0AVG BGHT 2381.50 SOLD 2388.29				509.25	0.00
10AUG17	S 1 PD10NOV17	2379.50	2558.04	USD	-4,463.50	
10AUG17	S 1 PD10NOV17	2366.50	2558.04	USD	-4,788.50	
10AUG17	B 1 PD10NOV17	2369.00	2558.04	USD	4,726.00	
11AUG17	S 1 PD10NOV17	2342.00	2558.04	USD	-5,401.00	
11AUG17	B 1 PD10NOV17	2344.00	2558.04	USD	5,351.00	
11AUG17	S 1 PD10NOV17	2356.00	2558.04	USD	-5,051.00	
11AUG17	B 1 PD10NOV17	2340.50	2558.04	USD	5,438.50	
16AUG17	B 1 PD10NOV17	2517.54	2558.04	USD	1,012.50	
	NET 0AVG BGHT 2392.76 SOLD 2361.00				-3,176.00	0.00
16AUG17	B 1 PD16NOV17	2432.50	2558.75	USD	3,156.25	
16AUG17	S 1 PD16NOV17	2512.00	2558.75	USD	-1,168.75	
	NET 0AVG BGHT 2432.50 SOLD 2512.00				1,987.50	0.00
17AUG17	S 1 PD17NOV17	2531.00	2558.75	USD	-693.75	
01SEP17	B 1 PD17NOV17	2391.23	2558.75	USD	4,188.00	
	NET 0AVG BGHT 2391.23 SOLD 2531.00				3,494.25	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28JUL17	S 1 PD27OCT17	10210.00	11073.68	USD	-5,182.08	
28JUL17	S 1 PD27OCT17	10195.00	11073.68	USD	-5,272.08	
28JUL17	S 1 PD27OCT17	10215.00	11073.68	USD	-5,152.08	
28JUL17	B 3 PD27OCT17	10201.00	11073.68	USD	15,708.24	
	NET 0AVG BGHT 10201.00 SOLD 10206.67				102.00	0.00
31JUL17	B 1 PD31OCT17	10260.00	11077.54	USD	4,905.24	
31JUL17	B 1 PD31OCT17	10275.00	11077.54	USD	4,815.24	
31JUL17	B 1 PD31OCT17	10285.00	11077.54	USD	4,755.24	
31JUL17	B 2 PD31OCT17	10280.00	11077.54	USD	9,570.48	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	5	PD31OCT17	12013.74	11077.54	USD	28,086.00	
	NET	0 AVG BGHT	10276.00	SOLD 12013.74			52,132.20	0.00
03AUG17	S	1	PD03NOV17	10365.00	11080.43	USD	-4,292.58	
03AUG17	S	3	PD03NOV17	10370.00	11080.43	USD	-12,787.74	
16AUG17	B	1	PD03NOV17	10753.69	11080.43	USD	1,960.44	
01SEP17	B	3	PD03NOV17	12017.19	11080.43	USD	-16,861.68	
	NET	0 AVG BGHT	11701.32	SOLD 10368.75			-31,981.56	0.00
10AUG17	S	1	PD10NOV17	10935.00	11087.18	USD	-913.08	
11AUG17	B	1	PD10NOV17	10655.00	11087.18	USD	2,593.08	
	NET	0 AVG BGHT	10655.00	SOLD 10935.00			1,680.00	0.00
14AUG17	B	1	PD14NOV17	10505.00	11091.04	USD	3,516.24	
14AUG17	B	1	PD14NOV17	10490.00	11091.04	USD	3,606.24	
14AUG17	B	1	PD14NOV17	10415.00	11091.04	USD	4,056.24	
14AUG17	B	1	PD14NOV17	10420.00	11091.04	USD	4,026.24	
01SEP17	S	4	PD14NOV17	12025.18	11091.04	USD	22,419.36	
	NET	0 AVG BGHT	10457.50	SOLD 12025.18			37,624.32	0.00
17AUG17	S	2	PD17NOV17	10775.00	11093.54	USD	-3,822.48	
01SEP17	B	2	PD17NOV17	12026.67	11093.54	USD	-11,197.56	
	NET	0 AVG BGHT	12026.67	SOLD 10775.00			-15,020.04	0.00
21AUG17	S	1	PD21NOV17	11260.00	11096.63	USD	980.22	
21AUG17	S	1	PD21NOV17	11265.00	11096.63	USD	1,010.22	
21AUG17	S	1	PD21NOV17	11330.00	11096.63	USD	1,400.22	
01SEP17	B	3	PD21NOV17	12029.33	11096.63	USD	-16,788.60	
	NET	0 AVG BGHT	12029.33	SOLD 11285.00			-13,397.94	0.00
23AUG17	S	1	PD24NOV17	11685.00	11098.94	USD	3,516.36	
01SEP17	B	1	PD24NOV17	12031.33	11098.94	USD	-5,594.34	
	NET	0 AVG BGHT	12031.33	SOLD 11685.00			-2,077.98	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	2768.00	3260.67	USD	12,316.75	
28JUL17	B	2	PD27OCT17	2772.00	3260.67	USD	24,433.50	
28JUL17	B	1	PD27OCT17	2771.00	3260.67	USD	12,241.75	
28JUL17	S	2	PD27OCT17	2787.00	3260.67	USD	-23,683.50	
28JUL17	S	1	PD27OCT17	2798.00	3260.67	USD	-11,566.75	
28JUL17	S	1	PD27OCT17	2775.80	3260.67	USD	-12,121.75	
	NET	0 AVG BGHT	2770.75	SOLD 2786.95			1,620.00	0.00
31JUL17	B	1	PD31OCT17	2812.00	3257.33	USD	11,133.25	
31JUL17	S	1	PD31OCT17	2811.00	3257.33	USD	-11,158.25	
31JUL17	S	1	PD31OCT17	2806.00	3257.33	USD	-11,283.25	
31JUL17	S	1	PD31OCT17	2806.50	3257.33	USD	-11,270.75	
31JUL17	S	2	PD31OCT17	2807.00	3257.33	USD	-22,516.50	
16AUG17	B	4	PD31OCT17	3118.77	3257.33	USD	13,856.00	
	NET	0 AVG BGHT	3057.42	SOLD 2807.50			-31,239.50	0.00
01AUG17	S	1	PD01NOV17	2785.00	3255.67	USD	-11,766.75	
16AUG17	B	1	PD01NOV17	3118.88	3255.67	USD	3,419.75	
	NET	0 AVG BGHT	3118.88	SOLD 2785.00			-8,347.00	0.00
02AUG17	B	1	PD02NOV17	2766.00	3254.00	USD	12,200.00	
02AUG17	S	1	PD02NOV17	2785.50	3254.00	USD	-11,712.50	
	NET	0 AVG BGHT	2766.00	SOLD 2785.50			487.50	0.00
04AUG17	B	1	PD03NOV17	2804.00	3252.89	USD	11,222.25	
04AUG17	B	2	PD03NOV17	2812.50	3252.89	USD	22,019.50	
16AUG17	S	3	PD03NOV17	3118.92	3252.89	USD	-10,047.75	
	NET	0 AVG BGHT	2809.67	SOLD 3118.92			23,194.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2826.50	3250.67	USD	-10,604.25	
07AUG17	S	1	PD07NOV17	2826.00	3250.67	USD	-10,616.75	
07AUG17	S	1	PD07NOV17	2828.00	3250.67	USD	-10,566.75	
07AUG17	S	1	PD07NOV17	2824.50	3250.67	USD	-10,654.25	
07AUG17	S	1	PD07NOV17	2825.00	3250.67	USD	-10,641.75	
07AUG17	S	2	PD07NOV17	2825.50	3250.67	USD	-21,258.50	
07AUG17	S	2	PD07NOV17	2830.50	3250.67	USD	-21,008.50	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	B	1	PD07NOV17	2886.50	3250.67	USD	9,104.25	
07AUG17	B	1	PD07NOV17	2879.50	3250.67	USD	9,279.25	
07AUG17	B	1	PD07NOV17	2881.00	3250.67	USD	9,241.75	
07AUG17	B	1	PD07NOV17	2884.00	3250.67	USD	9,166.75	
07AUG17	B	1	PD07NOV17	2871.00	3250.67	USD	9,491.75	
07AUG17	B	1	PD07NOV17	2867.00	3250.67	USD	9,591.75	
07AUG17	B	1	PD07NOV17	2868.00	3250.67	USD	9,566.75	
07AUG17	B	1	PD07NOV17	2872.50	3250.67	USD	9,454.25	
07AUG17	B	1	PD07NOV17	2867.50	3250.67	USD	9,579.25	
16AUG17	B	1	PD07NOV17	3119.58	3250.67	USD	3,277.25	
	NET	0 AVG BGHT 2899.66	SOLD 2827.35				-18,077.00	0.00
08AUG17	S	1	PD08NOV17	2880.00	3249.56	USD	-9,239.00	
08AUG17	B	1	PD08NOV17	2895.50	3249.56	USD	8,851.50	
08AUG17	B	1	PD08NOV17	2897.50	3249.56	USD	8,801.50	
08AUG17	S	1	PD08NOV17	2913.00	3249.56	USD	-8,414.00	
08AUG17	B	1	PD08NOV17	2914.50	3249.56	USD	8,376.50	
08AUG17	S	1	PD08NOV17	2913.50	3249.56	USD	-8,401.50	
08AUG17	S	2	PD08NOV17	2914.50	3249.56	USD	-16,753.00	
08AUG17	S	1	PD08NOV17	2926.50	3249.56	USD	-8,076.50	
16AUG17	B	3	PD08NOV17	3119.69	3249.56	USD	9,740.25	
	NET	0 AVG BGHT 3011.10	SOLD 2910.33				-15,114.25	0.00
09AUG17	S	1	PD09NOV17	2947.50	3248.44	USD	-7,523.50	
09AUG17	S	1	PD09NOV17	2979.50	3248.44	USD	-6,723.50	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	1	PD09NOV17	2973.50	3248.44	USD	-6,873.50	
09AUG17	S	1	PD09NOV17	2961.50	3248.44	USD	-7,173.50	
09AUG17	B	1	PD09NOV17	2957.00	3248.44	USD	7,286.00	
09AUG17	B	3	PD09NOV17	2956.00	3248.44	USD	21,933.00	
09AUG17	B	2	PD09NOV17	2950.00	3248.44	USD	14,922.00	
09AUG17	B	1	PD09NOV17	2951.00	3248.44	USD	7,436.00	
09AUG17	S	1	PD09NOV17	2954.00	3248.44	USD	-7,361.00	
09AUG17	S	1	PD09NOV17	2955.00	3248.44	USD	-7,336.00	
09AUG17	S	1	PD09NOV17	2967.00	3248.44	USD	-7,036.00	
09AUG17	S	1	PD09NOV17	2965.50	3248.44	USD	-7,073.50	
09AUG17	S	1	PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	B	1	PD09NOV17	2972.50	3248.44	USD	6,898.50	
09AUG17	S	2	PD09NOV17	2953.00	3248.44	USD	-14,772.00	
09AUG17	B	1	PD09NOV17	2941.00	3248.44	USD	7,686.00	
09AUG17	S	1	PD09NOV17	2946.50	3248.44	USD	-7,548.50	
09AUG17	S	1	PD09NOV17	2956.50	3248.44	USD	-7,298.50	
16AUG17	B	4	PD09NOV17	3119.81	3248.44	USD	12,863.00	
01SEP17	B	1	PD09NOV17	3183.90	3248.44	USD	1,613.50	
	NET	0 AVG BGHT 3018.05	SOLD 2961.54				-19,778.50	0.00
10AUG17	B	1	PD10NOV17	2943.00	3247.33	USD	7,608.25	
10AUG17	B	1	PD10NOV17	2949.50	3247.33	USD	7,445.75	
10AUG17	B	1	PD10NOV17	2921.00	3247.33	USD	8,158.25	
10AUG17	S	1	PD10NOV17	2939.50	3247.33	USD	-7,695.75	
11AUG17	B	1	PD10NOV17	2895.00	3247.33	USD	8,808.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2911.00	3247.33	USD	-8,408.25	
11AUG17	B	1	PD10NOV17	2911.00	3247.33	USD	8,408.25	
11AUG17	S	1	PD10NOV17	2907.00	3247.33	USD	-8,508.25	
11AUG17	B	1	PD10NOV17	2905.00	3247.33	USD	8,558.25	
11AUG17	B	1	PD10NOV17	2899.00	3247.33	USD	8,708.25	
11AUG17	B	1	PD10NOV17	2901.50	3247.33	USD	8,645.75	
11AUG17	B	1	PD10NOV17	2902.50	3247.33	USD	8,620.75	
11AUG17	B	1	PD10NOV17	2897.00	3247.33	USD	8,758.25	
11AUG17	B	1	PD10NOV17	2898.50	3247.33	USD	8,720.75	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	B	1	PD10NOV17	2900.50	3247.33	USD	8,670.75	
16AUG17	S	10	PD10NOV17	3119.72	3247.33	USD	-31,902.50	
	NET	0 AVG	BGHT 2909.46	SOLD 3073.44			53,292.50	0.00
14AUG17	S	1	PD14NOV17	2916.00	3245.11	USD	-8,227.75	
14AUG17	S	1	PD14NOV17	2917.00	3245.11	USD	-8,202.75	
01SEP17	B	2	PD14NOV17	3184.25	3245.11	USD	3,043.00	
	NET	0 AVG	BGHT 3184.25	SOLD 2916.50			-13,387.50	0.00
16AUG17	S	1	PD16NOV17	3023.00	3241.50	USD	-5,462.50	
16AUG17	S	1	PD16NOV17	3023.50	3241.50	USD	-5,450.00	
16AUG17	S	3	PD16NOV17	3022.00	3241.50	USD	-16,462.50	
16AUG17	S	1	PD16NOV17	3024.00	3241.50	USD	-5,437.50	
16AUG17	S	1	PD16NOV17	3028.50	3241.50	USD	-5,325.00	
16AUG17	S	1	PD16NOV17	3030.00	3241.50	USD	-5,287.50	
16AUG17	B	1	PD16NOV17	3022.00	3241.50	USD	5,487.50	
16AUG17	S	1	PD16NOV17	3035.00	3241.50	USD	-5,162.50	
16AUG17	S	1	PD16NOV17	3050.00	3241.50	USD	-4,787.50	
16AUG17	B	1	PD16NOV17	3114.50	3241.50	USD	3,175.00	
16AUG17	B	1	PD16NOV17	3111.50	3241.50	USD	3,250.00	
16AUG17	B	1	PD16NOV17	3121.00	3241.50	USD	3,012.50	
16AUG17	B	7	PD16NOV17	3119.20	3241.50	USD	21,402.50	
01SEP17	S	1	PD16NOV17	3181.80	3241.50	USD	-1,492.50	
	NET	0 AVG	BGHT 3109.40	SOLD 3041.98			-18,540.00	0.00
17AUG17	B	1	PD17NOV17	3142.00	3239.00	USD	2,425.00	
17AUG17	B	1	PD17NOV17	3134.00	3239.00	USD	2,625.00	
01SEP17	S	2	PD17NOV17	3181.80	3239.00	USD	-2,860.00	
	NET	0 AVG	BGHT 3138.00	SOLD 3181.80			2,190.00	0.00
21AUG17	S	1	PD21NOV17	3139.50	3237.00	USD	-2,437.50	
21AUG17	B	1	PD21NOV17	3125.70	3237.00	USD	2,782.50	
	NET	0 AVG	BGHT 3125.70	SOLD 3139.50			345.00	0.00
24AUG17	B	1	PD24NOV17	3111.50	3235.00	USD	3,087.50	
25AUG17	S	1	PD24NOV17	3090.50	3235.00	USD	-3,612.50	
	NET	0 AVG	BGHT 3111.50	SOLD 3090.50			-525.00	0.00
29AUG17	S	1	PD29NOV17	3107.50	3234.00	USD	-3,162.50	
29AUG17	B	1	PD29NOV17	3093.50	3234.00	USD	3,512.50	
29AUG17	B	1	PD29NOV17	3109.00	3234.00	USD	3,125.00	
29AUG17	S	1	PD29NOV17	3119.00	3234.00	USD	-2,875.00	
	NET	0 AVG	BGHT 3101.25	SOLD 3113.25			600.00	0.00
31AUG17	B	1	PD30NOV17	3127.50	3233.67	USD	2,654.25	
31AUG17	B	3	PD30NOV17	3127.00	3233.67	USD	8,000.25	
31AUG17	B	2	PD30NOV17	3125.00	3233.67	USD	5,433.50	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	B	2	PD30NOV17	3128.50	3233.67	USD	5,258.50	
31AUG17	S	1	PD30NOV17	3141.50	3233.67	USD	-2,304.25	
31AUG17	B	1	PD30NOV17	3158.50	3233.67	USD	1,879.25	
31AUG17	B	5	PD30NOV17	3126.50	3233.67	USD	13,396.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094G

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	5	PD30NOV17	3127.60	3233.67	USD	-13,258.75	
31AUG17	S	3	PD30NOV17	3125.60	3233.67	USD	-8,105.25	
31AUG17	S	6	PD30NOV17	3145.80	3233.67	USD	-13,180.50	
	NET	0 AVG	BGHT 3128.97		SOLD 3135.41		2,415.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-19,116.50
LME Lead 25 tonnes. This is an	FUTURES	11,542.50
LME Nickel 6 tonnes. This is	FUTURES	29,061.00
LME Zinc 25 tonnes. This is	FUTURES	-40,864.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-19,116.50	-19,116.50		
LEAD	- Futures	USD	11,542.50	11,542.50		
NKL	- Futures	USD	29,061.00	29,061.00		
ZINC	- Futures	USD	-40,864.75	-40,864.75	-19,377.75	-19,377.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.000000)	(1.000000)	
CASH BALANCE	39,358.92	39,358.92
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	39,358.92	39,358.92
Initial Margins	-19,377.75	-19,377.75
Excess/(Shortage)	19,981.17	19,981.17
FOR INFORMATION ONLY		
Forward Unrealised P&L	-19,377.75	-19,377.75
Net Liquidating Value	19,981.17	19,981.17

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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13JUL17	S	1	PD130CT17	1930.50	2104.75	USD	-4,356.25	
13JUL17	S	1	PD130CT17	1934.00	2104.75	USD	-4,268.75	
13JUL17	S	1	PD130CT17	1922.80	2104.75	USD	-4,548.75	
31JUL17	B	2	PD130CT17	1913.83	2104.75	USD	9,546.00	
31JUL17	B	1	PD130CT17	1913.63	2104.75	USD	4,778.00	
	NET	0 AVG	BGHT 1913.76	SOLD 1929.10			1,150.25	0.00
17JUL17	B	1	PD170CT17	1905.00	2106.75	USD	5,043.75	
17JUL17	B	1	PD170CT17	1913.00	2106.75	USD	4,843.75	
17JUL17	B	1	PD170CT17	1900.50	2106.75	USD	5,156.25	
31JUL17	S	1	PD170CT17	1914.33	2106.75	USD	-4,810.50	
31JUL17	S	2	PD170CT17	1914.53	2106.75	USD	-9,611.00	
	NET	0 AVG	BGHT 1906.17	SOLD 1914.46			622.25	0.00
18JUL17	S	1	PD180CT17	1918.50	2107.25	USD	-4,718.75	
18JUL17	S	1	PD180CT17	1921.50	2107.25	USD	-4,643.75	
18JUL17	S	1	PD180CT17	1924.00	2107.25	USD	-4,581.25	
18JUL17	S	1	PD180CT17	1933.00	2107.25	USD	-4,356.25	
31JUL17	B	2	PD180CT17	1914.95	2107.25	USD	9,615.00	
31JUL17	B	2	PD180CT17	1914.75	2107.25	USD	9,625.00	
	NET	0 AVG	BGHT 1914.85	SOLD 1924.25			940.00	0.00
19JUL17	S	1	PD190CT17	1934.00	2107.75	USD	-4,343.75	
19JUL17	S	1	PD190CT17	1935.50	2107.75	USD	-4,306.25	
19JUL17	S	1	PD190CT17	1939.00	2107.75	USD	-4,218.75	
19JUL17	B	1	PD190CT17	1921.50	2107.75	USD	4,656.25	
19JUL17	B	2	PD190CT17	1921.00	2107.75	USD	9,337.50	
19JUL17	S	1	PD190CT17	1920.50	2107.75	USD	-4,681.25	
31JUL17	B	1	PD190CT17	1914.25	2107.75	USD	4,837.50	
	NET	0 AVG	BGHT 1919.44	SOLD 1932.25			1,281.25	0.00
21JUL17	B	1	PD200CT17	1916.00	2108.25	USD	4,806.25	
21JUL17	B	1	PD200CT17	1915.50	2108.25	USD	4,818.75	
31JUL17	S	2	PD200CT17	1914.36	2108.25	USD	-9,694.50	
	NET	0 AVG	BGHT 1915.75	SOLD 1914.36			-69.50	0.00
24JUL17	B	1	PD240CT17	1911.00	2110.25	USD	4,981.25	
31JUL17	S	1	PD240CT17	1915.61	2110.25	USD	-4,866.00	
	NET	0 AVG	BGHT 1911.00	SOLD 1915.61			115.25	0.00
25JUL17	S	2	PD250CT17	1925.50	2110.75	USD	-9,262.50	
25JUL17	S	1	PD250CT17	1931.50	2110.75	USD	-4,481.25	
25JUL17	S	1	PD250CT17	1948.50	2110.75	USD	-4,056.25	
25JUL17	S	1	PD250CT17	1945.00	2110.75	USD	-4,143.75	
31JUL17	B	5	PD250CT17	1916.13	2110.75	USD	24,327.50	
	NET	0 AVG	BGHT 1916.13	SOLD 1935.20			2,383.75	0.00
26JUL17	S	1	PD260CT17	1959.00	2111.25	USD	-3,806.25	
26JUL17	S	1	PD260CT17	1960.00	2111.25	USD	-3,781.25	
26JUL17	B	1	PD260CT17	1962.50	2111.25	USD	3,718.75	
26JUL17	S	2	PD260CT17	1957.50	2111.25	USD	-7,687.50	
26JUL17	S	2	PD260CT17	1961.50	2111.25	USD	-7,487.50	
26JUL17	S	4	PD260CT17	1962.00	2111.25	USD	-14,925.00	
26JUL17	B	2	PD260CT17	1936.00	2111.25	USD	8,762.50	
26JUL17	B	1	PD260CT17	1937.50	2111.25	USD	4,343.75	
26JUL17	B	1	PD260CT17	1934.50	2111.25	USD	4,418.75	
26JUL17	B	1	PD260CT17	1935.00	2111.25	USD	4,406.25	
26JUL17	B	2	PD260CT17	1935.50	2111.25	USD	8,787.50	
26JUL17	B	1	PD260CT17	1933.00	2111.25	USD	4,456.25	
26JUL17	B	1	PD260CT17	1933.50	2111.25	USD	4,443.75	
26JUL17	B	1	PD260CT17	1958.00	2111.25	USD	3,831.25	
26JUL17	B	1	PD260CT17	1958.50	2111.25	USD	3,818.75	

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26JUL17	B	1	PD26OCT17	1931.50	2111.25	USD	4,493.75	
26JUL17	S	1	PD26OCT17	1956.50	2111.25	USD	-3,868.75	
26JUL17	S	1	PD26OCT17	1957.00	2111.25	USD	-3,856.25	
26JUL17	S	1	PD26OCT17	1960.50	2111.25	USD	-3,768.75	
26JUL17	B	1	PD26OCT17	1938.00	2111.25	USD	4,331.25	
26JUL17	S	1	PD26OCT17	1937.50	2111.25	USD	-4,343.75	
26JUL17	B	1	PD26OCT17	1936.50	2111.25	USD	4,368.75	
26JUL17	S	1	PD26OCT17	1938.50	2111.25	USD	-4,318.75	
	NET	0 AVG	BGHT 1940.10	SOLD 1957.00			6,337.50	0.00
27JUL17	S	1	PD27OCT17	1953.50	2111.00	USD	-3,937.50	
27JUL17	B	1	PD27OCT17	1940.50	2111.00	USD	4,262.50	
27JUL17	B	1	PD27OCT17	1934.50	2111.00	USD	4,412.50	
27JUL17	B	1	PD27OCT17	1935.50	2111.00	USD	4,387.50	
27JUL17	S	1	PD27OCT17	1934.50	2111.00	USD	-4,412.50	
28JUL17	B	1	PD27OCT17	1934.00	2111.00	USD	4,425.00	
28JUL17	S	1	PD27OCT17	1932.00	2111.00	USD	-4,475.00	
28JUL17	B	1	PD27OCT17	1929.00	2111.00	USD	4,550.00	
28JUL17	B	1	PD27OCT17	1927.00	2111.00	USD	4,600.00	
28JUL17	B	1	PD27OCT17	1925.50	2111.00	USD	4,637.50	
28JUL17	B	1	PD27OCT17	1922.00	2111.00	USD	4,725.00	
28JUL17	B	1	PD27OCT17	1913.50	2111.00	USD	4,937.50	
31JUL17	S	6	PD27OCT17	1916.55	2111.00	USD	-29,167.50	
	NET	0 AVG	BGHT 1929.06	SOLD 1924.37			-1,055.00	0.00
31JUL17	B	2	PD31OCT17	1913.50	2110.50	USD	9,850.00	
31JUL17	B	1	PD31OCT17	1912.50	2110.50	USD	4,950.00	
31JUL17	B	2	PD31OCT17	1913.00	2110.50	USD	9,875.00	
31JUL17	S	5	PD31OCT17	1917.80	2110.50	USD	-24,087.50	
	NET	0 AVG	BGHT 1913.10	SOLD 1917.80			587.50	0.00
01AUG17	B	2	PD01NOV17	1916.00	2110.81	USD	9,740.50	
03AUG17	S	2	PD01NOV17	1915.30	2110.81	USD	-9,775.50	
	NET	0 AVG	BGHT 1916.00	SOLD 1915.30			-35.00	0.00
02AUG17	B	1	PD02NOV17	1922.00	2111.13	USD	4,728.25	
03AUG17	S	1	PD02NOV17	1915.55	2111.13	USD	-4,889.50	
21SEP17	S	3	PD02NOV17	2157.11	2111.13	USD	3,448.50	
25SEP17	S	1	PD02NOV17	2132.91	2111.13	USD	544.50	
27SEP17	S	1	PD02NOV17	2114.82	2111.13	USD	92.25	
	NET	-5 AVG	BGHT 1922.00	SOLD 2105.77			3,924.00	0.00
03AUG17	B	1	PD03NOV17	1914.00	2111.44	USD	4,936.00	
03AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
03AUG17	B	1	PD03NOV17	1915.00	2111.44	USD	4,911.00	
03AUG17	B	1	PD03NOV17	1912.50	2111.44	USD	4,973.50	
03AUG17	B	3	PD03NOV17	1913.50	2111.44	USD	14,845.50	
03AUG17	S	4	PD03NOV17	1915.80	2111.44	USD	-19,564.00	
09AUG17	S	3	PD03NOV17	2026.43	2111.44	USD	-6,375.75	
	NET	0 AVG	BGHT 1913.79	SOLD 1963.21			8,649.75	0.00
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	S	1	PD07NOV17	1929.50	2112.69	USD	-4,579.75	
07AUG17	B	1	PD07NOV17	1937.00	2112.69	USD	4,392.25	
07AUG17	S	1	PD07NOV17	1934.50	2112.69	USD	-4,454.75	
07AUG17	S	1	PD07NOV17	1945.00	2112.69	USD	-4,192.25	
07AUG17	S	1	PD07NOV17	1946.00	2112.69	USD	-4,167.25	
07AUG17	S	1	PD07NOV17	1947.00	2112.69	USD	-4,142.25	
07AUG17	S	1	PD07NOV17	1951.50	2112.69	USD	-4,029.75	
07AUG17	S	1	PD07NOV17	1952.50	2112.69	USD	-4,004.75	
07AUG17	S	1	PD07NOV17	1953.50	2112.69	USD	-3,979.75	

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07AUG17	B	1	PD07NOV17	1956.50	2112.69	USD	3,904.75	
07AUG17	S	1	PD07NOV17	1954.50	2112.69	USD	-3,954.75	
07AUG17	S	3	PD07NOV17	1955.00	2112.69	USD	-11,826.75	
07AUG17	S	1	PD07NOV17	1955.50	2112.69	USD	-3,929.75	
07AUG17	S	1	PD07NOV17	1956.50	2112.69	USD	-3,904.75	
07AUG17	S	1	PD07NOV17	1951.00	2112.69	USD	-4,042.25	
07AUG17	S	1	PD07NOV17	1954.00	2112.69	USD	-3,967.25	
07AUG17	S	1	PD07NOV17	1963.50	2112.69	USD	-3,729.75	
07AUG17	S	1	PD07NOV17	1964.50	2112.69	USD	-3,704.75	
07AUG17	S	3	PD07NOV17	1968.50	2112.69	USD	-10,814.25	
07AUG17	S	1	PD07NOV17	1969.00	2112.69	USD	-3,592.25	
07AUG17	S	1	PD07NOV17	1971.50	2112.69	USD	-3,529.75	
07AUG17	S	1	PD07NOV17	1972.00	2112.69	USD	-3,517.25	
07AUG17	B	1	PD07NOV17	1975.00	2112.69	USD	3,442.25	
07AUG17	S	3	PD07NOV17	1977.50	2112.69	USD	-10,139.25	
07AUG17	B	1	PD07NOV17	1976.50	2112.69	USD	3,404.75	
09AUG17	B	23	PD07NOV17	2027.34	2112.69	USD	49,076.25	
11AUG17	B	2	PD07NOV17	2042.29	2112.69	USD	3,520.00	
	NET	0 AVG BGHT 2019.26	SOLD 1956.26				-45,672.50	0.00
08AUG17	S	1	PD08NOV17	1984.50	2113.00	USD	-3,212.50	
08AUG17	S	1	PD08NOV17	1985.00	2113.00	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	1987.00	2113.00	USD	-3,150.00	
08AUG17	S	1	PD08NOV17	1989.00	2113.00	USD	-3,100.00	
08AUG17	S	1	PD08NOV17	1992.50	2113.00	USD	-3,012.50	
08AUG17	S	1	PD08NOV17	1993.00	2113.00	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	S	2	PD08NOV17	2003.00	2113.00	USD	-5,500.00	
08AUG17	S	1	PD08NOV17	2004.00	2113.00	USD	-2,725.00	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	S	2	PD08NOV17	1998.50	2113.00	USD	-5,725.00	
08AUG17	S	2	PD08NOV17	2001.50	2113.00	USD	-5,575.00	
08AUG17	S	1	PD08NOV17	2002.50	2113.00	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	2003.50	2113.00	USD	-2,737.50	
08AUG17	S	1	PD08NOV17	2004.50	2113.00	USD	-2,712.50	
08AUG17	B	2	PD08NOV17	2034.00	2113.00	USD	3,950.00	
08AUG17	B	1	PD08NOV17	2034.50	2113.00	USD	1,962.50	
08AUG17	B	1	PD08NOV17	2032.50	2113.00	USD	2,012.50	
08AUG17	B	1	PD08NOV17	2027.00	2113.00	USD	2,150.00	
08AUG17	B	1	PD08NOV17	2025.50	2113.00	USD	2,187.50	
08AUG17	B	4	PD08NOV17	2027.50	2113.00	USD	8,550.00	
08AUG17	B	1	PD08NOV17	2028.00	2113.00	USD	2,125.00	
11AUG17	B	7	PD08NOV17	2042.43	2113.00	USD	12,349.75	
	NET	0 AVG BGHT 2034.58	SOLD 1997.03				-16,900.25	0.00
09AUG17	S	1	PD09NOV17	2030.50	2113.46	USD	-2,074.00	
09AUG17	S	1	PD09NOV17	2032.50	2113.46	USD	-2,024.00	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
09AUG17	S	2	PD09NOV17	2028.50	2113.46	USD	-4,248.00	
09AUG17	S	3	PD09NOV17	2029.50	2113.46	USD	-6,297.00	
09AUG17	S	1	PD09NOV17	2032.00	2113.46	USD	-2,036.50	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50	
09AUG17	S	1	PD09NOV17	2038.00	2113.46	USD	-1,886.50	
09AUG17	S	1	PD09NOV17	2041.50	2113.46	USD	-1,799.00	
09AUG17	B	1	PD09NOV17	2034.50	2113.46	USD	1,974.00	
09AUG17	B	1	PD09NOV17	2026.50	2113.46	USD	2,174.00	
11AUG17	B	10	PD09NOV17	2042.56	2113.46	USD	17,725.00	
	NET	0 AVG BGHT 2040.55	SOLD 2032.54				-2,402.50	0.00

OPEN POSITION STATEMENT



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SAP0094H

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
10AUG17	S	1	PD10NOV17	2031.50	2113.93	USD	-2,060.75	
10AUG17	S	1	PD10NOV17	2032.00	2113.93	USD	-2,048.25	
10AUG17	S	2	PD10NOV17	2033.00	2113.93	USD	-4,046.50	
10AUG17	B	1	PD10NOV17	2031.00	2113.93	USD	2,073.25	
10AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
10AUG17	S	2	PD10NOV17	2043.00	2113.93	USD	-3,546.50	
10AUG17	B	1	PD10NOV17	2038.50	2113.93	USD	1,885.75	
10AUG17	B	2	PD10NOV17	2040.00	2113.93	USD	3,696.50	
10AUG17	S	8	PD10NOV17	2039.50	2113.93	USD	-14,886.00	
10AUG17	S	3	PD10NOV17	2040.00	2113.93	USD	-5,544.75	
10AUG17	S	1	PD10NOV17	2040.50	2113.93	USD	-1,835.75	
10AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
10AUG17	B	6	PD10NOV17	2034.00	2113.93	USD	11,989.50	
10AUG17	B	2	PD10NOV17	2034.50	2113.93	USD	3,971.50	
10AUG17	B	2	PD10NOV17	2035.50	2113.93	USD	3,921.50	
10AUG17	B	3	PD10NOV17	2040.50	2113.93	USD	5,507.25	
10AUG17	B	3	PD10NOV17	2039.00	2113.93	USD	5,619.75	
10AUG17	B	4	PD10NOV17	2035.00	2113.93	USD	7,893.00	
10AUG17	B	1	PD10NOV17	2032.50	2113.93	USD	2,035.75	
10AUG17	S	1	PD10NOV17	2039.00	2113.93	USD	-1,873.25	
10AUG17	S	2	PD10NOV17	2033.50	2113.93	USD	-4,021.50	
11AUG17	S	1	PD10NOV17	2026.00	2113.93	USD	-2,198.25	
11AUG17	S	1	PD10NOV17	2029.00	2113.93	USD	-2,123.25	
11AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
11AUG17	S	1	PD10NOV17	2035.00	2113.93	USD	-1,973.25	
11AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
11AUG17	S	1	PD10NOV17	2037.00	2113.93	USD	-1,923.25	
11AUG17	S	3	PD10NOV17	2040.50	2113.93	USD	-5,507.25	
11AUG17	S	1	PD10NOV17	2042.50	2113.93	USD	-1,785.75	
11AUG17	S	1	PD10NOV17	2039.50	2113.93	USD	-1,860.75	
11AUG17	B	1	PD10NOV17	2043.50	2113.93	USD	1,760.75	
11AUG17	S	1	PD10NOV17	2045.50	2113.93	USD	-1,710.75	
11AUG17	S	2	PD10NOV17	2046.00	2113.93	USD	-3,396.50	
11AUG17	B	1	PD10NOV17	2044.00	2113.93	USD	1,748.25	
30AUG17	B	8	PD10NOV17	2084.50	2113.93	USD	5,886.00	
	NET	0 AVG BGHT 2047.26	SOLD 2038.28				-8,087.50	0.00
14AUG17	B	1	PD14NOV17	2033.50	2115.79	USD	2,057.25	
14AUG17	B	1	PD14NOV17	2038.50	2115.79	USD	1,932.25	
14AUG17	B	2	PD14NOV17	2034.50	2115.79	USD	4,064.50	
14AUG17	B	1	PD14NOV17	2034.00	2115.79	USD	2,044.75	
14AUG17	B	2	PD14NOV17	2035.00	2115.79	USD	4,039.50	
14AUG17	B	1	PD14NOV17	2035.50	2115.79	USD	2,007.25	
14AUG17	B	1	PD14NOV17	2026.00	2115.79	USD	2,244.75	
14AUG17	B	1	PD14NOV17	2028.50	2115.79	USD	2,182.25	
14AUG17	S	1	PD14NOV17	2028.00	2115.79	USD	-2,194.75	
14AUG17	S	1	PD14NOV17	2029.00	2115.79	USD	-2,169.75	
14AUG17	S	1	PD14NOV17	2027.50	2115.79	USD	-2,207.25	
14AUG17	B	3	PD14NOV17	2025.50	2115.79	USD	6,771.75	
14AUG17	B	2	PD14NOV17	2025.00	2115.79	USD	4,539.50	
14AUG17	B	4	PD14NOV17	2023.50	2115.79	USD	9,229.00	
14AUG17	B	1	PD14NOV17	2024.50	2115.79	USD	2,282.25	
14AUG17	B	2	PD14NOV17	2027.50	2115.79	USD	4,414.50	
14AUG17	B	4	PD14NOV17	2024.00	2115.79	USD	9,179.00	
30AUG17	S	18	PD14NOV17	2085.90	2115.79	USD	-13,450.50	
31AUG17	S	2	PD14NOV17	2115.40	2115.79	USD	-19.50	
31AUG17	S	3	PD14NOV17	2115.60	2115.79	USD	-14.25	
	NET	0 AVG BGHT 2028.12	SOLD 2084.93				36,932.50	0.00

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16AUG17	S	1	PD16NOV17	2062.00	2116.56	USD	-1,364.00	
16AUG17	S	1	PD16NOV17	2063.00	2116.56	USD	-1,339.00	
16AUG17	S	2	PD16NOV17	2063.50	2116.56	USD	-2,653.00	
16AUG17	S	1	PD16NOV17	2064.00	2116.56	USD	-1,314.00	
16AUG17	B	1	PD16NOV17	2061.00	2116.56	USD	1,389.00	
16AUG17	B	3	PD16NOV17	2061.50	2116.56	USD	4,129.50	
16AUG17	B	1	PD16NOV17	2062.50	2116.56	USD	1,351.50	
16AUG17	B	1	PD16NOV17	2091.00	2116.56	USD	639.00	
30AUG17	S	1	PD16NOV17	2085.75	2116.56	USD	-770.25	
	NET	0 AVG BGHT 2066.50	SOLD 2066.96				68.75	0.00
17AUG17	B	2	PD17NOV17	2109.50	2116.87	USD	368.50	
17AUG17	S	2	PD17NOV17	2110.50	2116.87	USD	-318.50	
17AUG17	S	1	PD17NOV17	2111.50	2116.87	USD	-134.25	
17AUG17	B	1	PD17NOV17	2081.00	2116.87	USD	896.75	
17AUG17	B	1	PD17NOV17	2081.50	2116.87	USD	884.25	
17AUG17	B	1	PD17NOV17	2065.50	2116.87	USD	1,284.25	
17AUG17	B	1	PD17NOV17	2068.50	2116.87	USD	1,209.25	
18AUG17	B	1	PD17NOV17	2085.00	2116.87	USD	796.75	
18AUG17	B	1	PD17NOV17	2093.50	2116.87	USD	584.25	
18AUG17	B	1	PD17NOV17	2070.00	2116.87	USD	1,171.75	
18AUG17	B	1	PD17NOV17	2070.50	2116.87	USD	1,159.25	
18AUG17	B	1	PD17NOV17	2074.00	2116.87	USD	1,071.75	
18AUG17	B	1	PD17NOV17	2058.50	2116.87	USD	1,459.25	
18AUG17	B	1	PD17NOV17	2059.50	2116.87	USD	1,434.25	
18AUG17	B	1	PD17NOV17	2067.00	2116.87	USD	1,246.75	
18AUG17	B	1	PD17NOV17	2061.50	2116.87	USD	1,384.25	
18AUG17	B	2	PD17NOV17	2062.00	2116.87	USD	2,743.50	
18AUG17	S	1	PD17NOV17	2059.00	2116.87	USD	-1,446.75	
18AUG17	S	1	PD17NOV17	2061.00	2116.87	USD	-1,396.75	
18AUG17	S	1	PD17NOV17	2063.00	2116.87	USD	-1,346.75	
18AUG17	S	1	PD17NOV17	2064.00	2116.87	USD	-1,321.75	
31AUG17	S	10	PD17NOV17	2114.25	2116.87	USD	-655.00	
	NET	0 AVG BGHT 2075.24	SOLD 2101.29				11,075.00	0.00
21AUG17	S	1	PD21NOV17	2094.00	2118.10	USD	-602.50	
21AUG17	B	1	PD21NOV17	2094.50	2118.10	USD	590.00	
21AUG17	B	1	PD21NOV17	2074.50	2118.10	USD	1,090.00	
21AUG17	B	1	PD21NOV17	2080.50	2118.10	USD	940.00	
21AUG17	S	1	PD21NOV17	2086.00	2118.10	USD	-802.50	
30AUG17	S	1	PD21NOV17	2085.77	2118.10	USD	-808.25	
	NET	0 AVG BGHT 2083.17	SOLD 2088.59				406.75	0.00
22AUG17	B	1	PD22NOV17	2071.00	2118.41	USD	1,185.25	
22AUG17	S	1	PD22NOV17	2080.50	2118.41	USD	-947.75	
22AUG17	S	1	PD22NOV17	2084.50	2118.41	USD	-847.75	
22AUG17	B	2	PD22NOV17	2072.50	2118.41	USD	2,295.50	
22AUG17	B	1	PD22NOV17	2074.00	2118.41	USD	1,110.25	
22AUG17	B	3	PD22NOV17	2071.50	2118.41	USD	3,518.25	
22AUG17	B	2	PD22NOV17	2076.50	2118.41	USD	2,095.50	
22AUG17	S	2	PD22NOV17	2079.50	2118.41	USD	-1,945.50	
22AUG17	B	1	PD22NOV17	2078.00	2118.41	USD	1,010.25	
22AUG17	S	1	PD22NOV17	2075.50	2118.41	USD	-1,072.75	
30AUG17	S	5	PD22NOV17	2085.96	2118.41	USD	-4,056.25	
	NET	0 AVG BGHT 2073.55	SOLD 2082.93				2,345.00	0.00
23AUG17	B	1	PD24NOV17	2058.50	2119.03	USD	1,513.25	
23AUG17	S	1	PD24NOV17	2072.50	2119.03	USD	-1,163.25	
23AUG17	S	1	PD24NOV17	2074.50	2119.03	USD	-1,113.25	
23AUG17	S	1	PD24NOV17	2080.50	2119.03	USD	-963.25	

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23AUG17	S	1	PD24NOV17	2082.00	2119.03	USD	-925.75	
23AUG17	S	1	PD24NOV17	2082.50	2119.03	USD	-913.25	
23AUG17	S	1	PD24NOV17	2088.50	2119.03	USD	-763.25	
23AUG17	S	1	PD24NOV17	2089.00	2119.03	USD	-750.75	
23AUG17	S	1	PD24NOV17	2093.50	2119.03	USD	-638.25	
23AUG17	S	1	PD24NOV17	2103.50	2119.03	USD	-388.25	
23AUG17	B	1	PD24NOV17	2106.50	2119.03	USD	313.25	
23AUG17	B	1	PD24NOV17	2106.00	2119.03	USD	325.75	
23AUG17	S	1	PD24NOV17	2105.00	2119.03	USD	-350.75	
24AUG17	B	2	PD24NOV17	2092.00	2119.03	USD	1,351.50	
24AUG17	S	1	PD24NOV17	2106.50	2119.03	USD	-313.25	
25AUG17	B	1	PD24NOV17	2066.50	2119.03	USD	1,313.25	
30AUG17	B	5	PD24NOV17	2086.55	2119.03	USD	4,060.00	
	NET	0 AVG	BGHT 2086.75	SOLD 2088.91			593.75	0.00
29AUG17	S	1	PD29NOV17	2091.00	2120.57	USD	-739.25	
29AUG17	S	1	PD29NOV17	2091.50	2120.57	USD	-726.75	
30AUG17	B	2	PD29NOV17	2087.51	2120.57	USD	1,653.00	
	NET	0 AVG	BGHT 2087.51	SOLD 2091.25			187.00	0.00
30AUG17	S	1	PD30NOV17	2101.50	2120.88	USD	-484.50	
30AUG17	S	1	PD30NOV17	2101.00	2120.88	USD	-497.00	
30AUG17	S	1	PD30NOV17	2102.00	2120.88	USD	-472.00	
30AUG17	S	1	PD30NOV17	2102.50	2120.88	USD	-459.50	
30AUG17	S	1	PD30NOV17	2091.00	2120.88	USD	-747.00	
30AUG17	S	2	PD30NOV17	2088.50	2120.88	USD	-1,619.00	
30AUG17	S	1	PD30NOV17	2089.00	2120.88	USD	-797.00	
30AUG17	S	2	PD30NOV17	2090.50	2120.88	USD	-1,519.00	
30AUG17	S	1	PD30NOV17	2098.00	2120.88	USD	-572.00	
31AUG17	S	1	PD30NOV17	2096.50	2120.88	USD	-609.50	
31AUG17	S	1	PD30NOV17	2101.00	2120.88	USD	-497.00	
31AUG17	S	1	PD30NOV17	2105.00	2120.88	USD	-397.00	
31AUG17	S	1	PD30NOV17	2107.50	2120.88	USD	-334.50	
31AUG17	S	1	PD30NOV17	2106.50	2120.88	USD	-359.50	
31AUG17	S	1	PD30NOV17	2112.00	2120.88	USD	-222.00	
31AUG17	S	1	PD30NOV17	2116.50	2120.88	USD	-109.50	
31AUG17	S	1	PD30NOV17	2115.00	2120.88	USD	-147.00	
31AUG17	S	1	PD30NOV17	2134.50	2120.88	USD	340.50	
31AUG17	S	1	PD30NOV17	2137.00	2120.88	USD	403.00	
31AUG17	S	1	PD30NOV17	2135.00	2120.88	USD	353.00	
31AUG17	B	22	PD30NOV17	2117.20	2120.88	USD	2,024.00	
	NET	0 AVG	BGHT 2117.20	SOLD 2104.98			-6,722.50	0.00
04SEP17	B	1	PD04DEC17	2125.50	2122.12	USD	-84.50	
04SEP17	B	1	PD04DEC17	2121.00	2122.12	USD	28.00	
04SEP17	B	1	PD04DEC17	2108.00	2122.12	USD	353.00	
13SEP17	S	2	PD04DEC17	2107.39	2122.12	USD	-736.50	
22SEP17	S	1	PD04DEC17	2154.59	2122.12	USD	811.75	
	NET	0 AVG	BGHT 2118.17	SOLD 2123.12			371.75	0.00
05SEP17	B	1	PD05DEC17	2107.00	2122.43	USD	385.75	
22SEP17	S	1	PD05DEC17	2154.88	2122.43	USD	811.25	
	NET	0 AVG	BGHT 2107.00	SOLD 2154.88			1,197.00	0.00
06SEP17	S	1	PD06DEC17	2113.00	2122.74	USD	-243.50	
22SEP17	B	1	PD06DEC17	2155.38	2122.74	USD	-816.00	
	NET	0 AVG	BGHT 2155.38	SOLD 2113.00			-1,059.50	0.00
07SEP17	B	1	PD07DEC17	2091.50	2123.04	USD	788.50	
07SEP17	S	1	PD07DEC17	2117.00	2123.04	USD	-151.00	
	NET	0 AVG	BGHT 2091.50	SOLD 2117.00			637.50	0.00

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12SEP17	S	1	PD12DEC17	2130.50	2124.59	USD	147.75	
12SEP17	S	1	PD12DEC17	2140.00	2124.59	USD	385.25	
22SEP17	B	1	PD12DEC17	2157.14	2124.59	USD	-813.75	
22SEP17	B	1	PD12DEC17	2156.94	2124.59	USD	-808.75	
	NET	0 AVG BGHT 2157.04	SOLD 2135.25				-1,089.50	0.00
13SEP17	B	1	PD13DEC17	2105.50	2124.90	USD	485.00	
22SEP17	S	1	PD13DEC17	2157.04	2124.90	USD	803.50	
	NET	0 AVG BGHT 2105.50	SOLD 2157.04				1,288.50	0.00
14SEP17	B	1	PD14DEC17	2090.50	2125.21	USD	867.75	
14SEP17	B	1	PD14DEC17	2100.50	2125.21	USD	617.75	
14SEP17	B	1	PD14DEC17	2095.50	2125.21	USD	742.75	
14SEP17	B	1	PD14DEC17	2091.50	2125.21	USD	842.75	
14SEP17	B	1	PD14DEC17	2089.00	2125.21	USD	905.25	
14SEP17	B	2	PD14DEC17	2089.50	2125.21	USD	1,785.50	
14SEP17	S	7	PD14DEC17	2097.80	2125.21	USD	-4,796.75	
	NET	0 AVG BGHT 2092.29	SOLD 2097.80				965.00	0.00
15SEP17	B	1	PD15DEC17	2089.50	2125.51	USD	900.25	
15SEP17	B	1	PD15DEC17	2091.00	2125.51	USD	862.75	
15SEP17	B	1	PD15DEC17	2072.50	2125.51	USD	1,325.25	
18SEP17	S	3	PD15DEC17	2088.41	2125.51	USD	-2,782.50	
	NET	0 AVG BGHT 2084.33	SOLD 2088.41				305.75	0.00
18SEP17	B	1	PD18DEC17	2095.00	2126.44	USD	786.00	
18SEP17	B	1	PD18DEC17	2091.00	2126.44	USD	886.00	
18SEP17	S	2	PD18DEC17	2089.30	2126.44	USD	-1,857.00	
	NET	0 AVG BGHT 2093.00	SOLD 2089.30				-185.00	0.00
19SEP17	S	1	PD19DEC17	2126.00	2126.75	USD	-18.75	
19SEP17	B	1	PD19DEC17	2124.00	2126.75	USD	68.75	
19SEP17	S	1	PD19DEC17	2124.00	2126.75	USD	-68.75	
19SEP17	B	1	PD19DEC17	2127.00	2126.75	USD	-6.25	
	NET	0 AVG BGHT 2125.50	SOLD 2125.00				-25.00	0.00
21SEP17	S	1	PD21DEC17	2171.00	2126.75	USD	1,106.25	
21SEP17	S	1	PD21DEC17	2167.50	2126.75	USD	1,018.75	
21SEP17	S	1	PD21DEC17	2165.00	2126.75	USD	956.25	
21SEP17	B	3	PD21DEC17	2171.20	2126.75	USD	-3,333.75	
	NET	0 AVG BGHT 2171.20	SOLD 2167.83				-252.50	0.00
22SEP17	S	1	PD22DEC17	2162.00	2126.75	USD	881.25	
	NET	-1 AVG SOLD 2162.00					881.25	0.00
25SEP17	B	1	PD27DEC17	2143.00	2127.29	USD	-392.75	
26SEP17	B	1	PD27DEC17	2148.00	2127.29	USD	-517.75	
26SEP17	S	1	PD27DEC17	2125.80	2127.29	USD	-37.25	
27SEP17	S	1	PD27DEC17	2142.00	2127.29	USD	367.75	
27SEP17	B	1	PD27DEC17	2131.70	2127.29	USD	-110.25	
	NET	+1 AVG BGHT 2140.90	SOLD 2133.90				-690.25	0.00
28SEP17	B	1	PD28DEC17	2119.00	2127.39	USD	209.75	
28SEP17	S	1	PD28DEC17	2118.50	2127.39	USD	-222.25	
	NET	0 AVG BGHT 2119.00	SOLD 2118.50				-12.50	0.00
29SEP17	B	3	PD29DEC17	2128.00	2127.50	USD	-37.50	
29SEP17	B	1	PD29DEC17	2130.00	2127.50	USD	-62.50	
29SEP17	B	3	PD29DEC17	2127.50	2127.50	USD	0.00	
29SEP17	B	1	PD29DEC17	2129.50	2127.50	USD	-50.00	
29SEP17	S	1	PD29DEC17	2130.00	2127.50	USD	62.50	
29SEP17	S	1	PD29DEC17	2126.10	2127.50	USD	-35.00	
29SEP17	S	1	PD29DEC17	2127.60	2127.50	USD	2.50	
29SEP17	S	1	PD29DEC17	2127.10	2127.50	USD	-10.00	

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
29SEP17	S 4 PD29DEC17	2127.85	2127.50	USD	35.00	
	NET 0 AVG BGHT 2128.25 SOLD 2127.78				-95.00	0.00
05OCT17	B 1 PD05JAN18	2147.00	2128.85	USD	-453.75	
05OCT17	S 1 PD05JAN18	2168.50	2128.85	USD	991.25	
	NET 0 AVG BGHT 2147.00 SOLD 2168.50				537.50	0.00
10OCT17	B 1 PD10JAN18	2162.00	2129.81	USD	-804.75	
	NET +1 AVG BGHT 2162.00				-804.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 1 PD18OCT17	2274.00	2554.75	USD	7,018.75	
18JUL17	B 1 PD18OCT17	2274.50	2554.75	USD	7,006.25	
31JUL17	S 2 PD18OCT17	2331.30	2554.75	USD	-11,172.50	
	NET 0 AVG BGHT 2274.25 SOLD 2331.30				2,852.50	0.00
19JUL17	B 1 PD19OCT17	2227.50	2554.89	USD	8,184.75	
31JUL17	S 1 PD19OCT17	2330.75	2554.89	USD	-5,603.50	
	NET 0 AVG BGHT 2227.50 SOLD 2330.75				2,581.25	0.00
21JUL17	S 2 PD20OCT17	2233.50	2555.04	USD	-16,077.00	
21JUL17	S 1 PD20OCT17	2236.50	2555.04	USD	-7,963.50	
31JUL17	B 1 PD20OCT17	2331.22	2555.04	USD	5,595.50	
31JUL17	B 2 PD20OCT17	2331.02	2555.04	USD	11,201.00	
	NET 0 AVG BGHT 2331.09 SOLD 2234.50				-7,244.00	0.00
26JUL17	S 1 PD26OCT17	2318.50	2555.89	USD	-5,934.75	
26JUL17	S 1 PD26OCT17	2321.50	2555.89	USD	-5,859.75	
27JUL17	B 2 PD26OCT17	2309.04	2555.89	USD	12,342.50	
	NET 0 AVG BGHT 2309.04 SOLD 2320.00				548.00	0.00
28JUL17	B 1 PD27OCT17	2320.00	2556.04	USD	5,901.00	
31JUL17	S 1 PD27OCT17	2332.72	2556.04	USD	-5,583.00	
	NET 0 AVG BGHT 2320.00 SOLD 2332.72				318.00	0.00
31JUL17	B 1 PD31OCT17	2335.50	2556.61	USD	5,527.75	
31JUL17	B 1 PD31OCT17	2328.50	2556.61	USD	5,702.75	
31JUL17	B 1 PD31OCT17	2329.50	2556.61	USD	5,677.75	
31JUL17	B 1 PD31OCT17	2330.00	2556.61	USD	5,665.25	
31JUL17	S 4 PD31OCT17	2333.80	2556.61	USD	-22,281.00	
	NET 0 AVG BGHT 2330.88 SOLD 2333.80				292.50	0.00
08AUG17	B 2 PD08NOV17	2352.00	2557.75	USD	10,287.50	
08AUG17	S 1 PD08NOV17	2390.00	2557.75	USD	-4,193.75	
30AUG17	S 1 PD08NOV17	2364.41	2557.75	USD	-4,833.50	
	NET 0 AVG BGHT 2352.00 SOLD 2377.21				1,260.25	0.00
09AUG17	S 1 PD09NOV17	2393.50	2557.89	USD	-4,109.75	
30AUG17	B 1 PD09NOV17	2364.91	2557.89	USD	4,824.50	
	NET 0 AVG BGHT 2364.91 SOLD 2393.50				714.75	0.00
25AUG17	B 1 PD24NOV17	2326.00	2559.75	USD	5,843.75	
25AUG17	S 1 PD24NOV17	2325.50	2559.75	USD	-5,856.25	
	NET 0 AVG BGHT 2326.00 SOLD 2325.50				-12.50	0.00
07SEP17	B 1 PD07DEC17	2331.50	2561.25	USD	5,743.75	
07SEP17	B 1 PD07DEC17	2322.50	2561.25	USD	5,968.75	
07SEP17	S 1 PD07DEC17	2345.00	2561.25	USD	-5,406.25	
22SEP17	S 1 PD07DEC17	2488.50	2561.25	USD	-1,818.75	
	NET 0 AVG BGHT 2327.00 SOLD 2416.75				4,487.50	0.00
08SEP17	B 1 PD08DEC17	2329.50	2561.50	USD	5,800.00	
08SEP17	B 1 PD08DEC17	2315.00	2561.50	USD	6,162.50	
08SEP17	S 1 PD08DEC17	2261.00	2561.50	USD	-7,512.50	
08SEP17	S 1 PD08DEC17	2268.50	2561.50	USD	-7,325.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	2266.00	2561.50	USD	-7,387.50	
22SEP17	B	1	PD08DEC17	2488.70	2561.50	USD	1,820.00	
	NET	0 AVG	BGHT 2377.73				-8,442.50	0.00
11SEP17	B	1	PD11DEC17	2274.00	2562.25	USD	7,206.25	
11SEP17	S	1	PD11DEC17	2273.50	2562.25	USD	-7,218.75	
	NET	0 AVG	BGHT 2274.00				-12.50	0.00
18SEP17	S	1	PD18DEC17	2389.00	2564.00	USD	-4,375.00	
18SEP17	B	1	PD18DEC17	2395.50	2564.00	USD	4,212.50	
	NET	0 AVG	BGHT 2395.50				-162.50	0.00
19SEP17	S	1	PD19DEC17	2385.00	2564.25	USD	-4,481.25	
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	S	1	PD19DEC17	2392.50	2564.25	USD	-4,293.75	
19SEP17	B	1	PD19DEC17	2423.50	2564.25	USD	3,518.75	
19SEP17	B	1	PD19DEC17	2422.50	2564.25	USD	3,543.75	
19SEP17	B	1	PD19DEC17	2424.00	2564.25	USD	3,506.25	
	NET	0 AVG	BGHT 2423.33				-2,475.00	0.00
20SEP17	S	1	PD20DEC17	2440.00	2564.50	USD	-3,112.50	
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
20SEP17	S	1	PD20DEC17	2456.50	2564.50	USD	-2,700.00	
20SEP17	S	1	PD20DEC17	2452.50	2564.50	USD	-2,800.00	
20SEP17	S	1	PD20DEC17	2456.00	2564.50	USD	-2,712.50	
20SEP17	B	1	PD20DEC17	2463.50	2564.50	USD	2,525.00	
20SEP17	B	1	PD20DEC17	2465.00	2564.50	USD	2,487.50	
22SEP17	B	2	PD20DEC17	2488.50	2564.50	USD	3,800.00	
	NET	-1 AVG	BGHT 2476.38				-5,575.00	0.00
21SEP17	S	1	PD21DEC17	2449.00	2563.50	USD	-2,862.50	
21SEP17	S	1	PD21DEC17	2460.00	2563.50	USD	-2,587.50	
21SEP17	B	1	PD21DEC17	2477.00	2563.50	USD	2,162.50	
21SEP17	B	1	PD21DEC17	2478.50	2563.50	USD	2,125.00	
21SEP17	B	1	PD21DEC17	2439.50	2563.50	USD	3,100.00	
21SEP17	B	1	PD21DEC17	2457.00	2563.50	USD	2,662.50	
22SEP17	S	2	PD21DEC17	2485.55	2563.50	USD	-3,897.50	
	NET	0 AVG	BGHT 2463.00				702.50	0.00
22SEP17	S	1	PD22DEC17	2453.50	2563.50	USD	-2,750.00	
22SEP17	B	1	PD22DEC17	2455.50	2563.50	USD	2,700.00	
22SEP17	B	1	PD22DEC17	2412.50	2563.50	USD	3,775.00	
	NET	+1 AVG	BGHT 2434.00				3,725.00	0.00
03OCT17	S	1	PD03JAN18	2589.00	2564.20	USD	620.00	
03OCT17	S	1	PD03JAN18	2590.00	2564.20	USD	645.00	
03OCT17	B	1	PD03JAN18	2594.00	2564.20	USD	-745.00	
03OCT17	B	1	PD03JAN18	2597.00	2564.20	USD	-820.00	
	NET	0 AVG	BGHT 2595.50				-300.00	0.00
04OCT17	S	1	PD04JAN18	2605.50	2564.30	USD	1,030.00	
04OCT17	B	1	PD04JAN18	2576.00	2564.30	USD	-292.50	
04OCT17	B	1	PD04JAN18	2589.50	2564.30	USD	-630.00	
04OCT17	B	1	PD04JAN18	2571.50	2564.30	USD	-180.00	
04OCT17	S	1	PD04JAN18	2602.00	2564.30	USD	942.50	
04OCT17	B	1	PD04JAN18	2570.00	2564.30	USD	-142.50	
04OCT17	S	1	PD04JAN18	2589.00	2564.30	USD	617.50	
04OCT17	S	1	PD04JAN18	2593.00	2564.30	USD	717.50	
04OCT17	B	1	PD04JAN18	2548.50	2564.30	USD	395.00	
04OCT17	B	1	PD04JAN18	2545.50	2564.30	USD	470.00	
04OCT17	S	1	PD04JAN18	2547.50	2564.30	USD	-420.00	
04OCT17	S	1	PD04JAN18	2550.00	2564.30	USD	-357.50	
	NET	0 AVG	BGHT 2566.83				2,150.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	2526.50	2564.80	USD	957.50	
09OCT17	B	1	PD09JAN18	2518.00	2564.80	USD	1,170.00	
09OCT17	B	1	PD09JAN18	2519.00	2564.80	USD	1,145.00	
09OCT17	B	1	PD09JAN18	2509.00	2564.80	USD	1,395.00	
09OCT17	S	1	PD09JAN18	2514.00	2564.80	USD	-1,270.00	
09OCT17	S	1	PD09JAN18	2517.00	2564.80	USD	-1,195.00	
09OCT17	S	1	PD09JAN18	2516.50	2564.80	USD	-1,207.50	
09OCT17	S	1	PD09JAN18	2518.50	2564.80	USD	-1,157.50	
	NET	0AVG BGHT 2518.13	SOLD 2516.50				-162.50	0.00
11OCT17	B	1	PD11JAN18	2513.00	2565.00	USD	1,300.00	
11OCT17	B	1	PD11JAN18	2523.50	2565.00	USD	1,037.50	
11OCT17	S	1	PD11JAN18	2530.00	2565.00	USD	-875.00	
11OCT17	S	1	PD11JAN18	2532.50	2565.00	USD	-812.50	
11OCT17	S	1	PD11JAN18	2560.00	2565.00	USD	-125.00	
11OCT17	S	1	PD11JAN18	2562.00	2565.00	USD	-75.00	
	NET	-2AVG BGHT 2518.25	SOLD 2546.13				450.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	10020.00	11073.68	USD	6,322.08	
28JUL17	B	1	PD27OCT17	10045.00	11073.68	USD	6,172.08	
28JUL17	B	1	PD27OCT17	10060.00	11073.68	USD	6,082.08	
28JUL17	B	1	PD27OCT17	10090.00	11073.68	USD	5,902.08	
28JUL17	S	1	PD27OCT17	10250.00	11073.68	USD	-4,942.08	
28JUL17	S	1	PD27OCT17	10255.00	11073.68	USD	-4,912.08	
28JUL17	S	1	PD27OCT17	10260.00	11073.68	USD	-4,882.08	
09AUG17	S	1	PD27OCT17	10753.50	11073.68	USD	-1,921.08	
	NET	0AVG BGHT 10053.75	SOLD 10379.63				7,821.00	0.00
04AUG17	S	1	PD03NOV17	10315.00	11080.43	USD	-4,592.58	
09AUG17	B	1	PD03NOV17	10758.00	11080.43	USD	1,934.58	
	NET	0AVG BGHT 10758.00	SOLD 10315.00				-2,658.00	0.00
29AUG17	B	1	PD29NOV17	11800.00	11102.80	USD	-4,183.20	
29AUG17	S	1	PD29NOV17	11815.00	11102.80	USD	4,273.20	
29AUG17	B	2	PD29NOV17	11810.00	11102.80	USD	-8,486.40	
29AUG17	S	1	PD29NOV17	11795.00	11102.80	USD	4,153.20	
29AUG17	B	5	PD29NOV17	11820.00	11102.80	USD	-21,516.00	
29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	S	3	PD29NOV17	11800.00	11102.80	USD	12,549.60	
29AUG17	S	1	PD29NOV17	11665.00	11102.80	USD	3,373.20	
31AUG17	S	1	PD29NOV17	11799.32	11102.80	USD	4,179.12	
	NET	0AVG BGHT 11815.00	SOLD 11786.79				-1,354.08	0.00
30AUG17	S	1	PD30NOV17	11630.00	11103.57	USD	3,158.58	
31AUG17	B	1	PD30NOV17	11801.00	11103.57	USD	-4,184.58	
	NET	0AVG BGHT 11801.00	SOLD 11630.00				-1,026.00	0.00
13SEP17	B	1	PD13DEC17	11725.00	11113.60	USD	-3,668.40	
22SEP17	S	1	PD13DEC17	10411.80	11113.60	USD	-4,210.80	
	NET	0AVG BGHT 11725.00	SOLD 10411.80				-7,879.20	0.00
18SEP17	S	1	PD18DEC17	11170.00	11117.46	USD	315.24	
22SEP17	B	1	PD18DEC17	10417.23	11117.46	USD	4,201.38	
	NET	0AVG BGHT 10417.23	SOLD 11170.00				4,516.62	0.00
22SEP17	B	2	PD22DEC17	10530.00	11120.45	USD	7,085.40	
22SEP17	S	1	PD22DEC17	10620.00	11120.45	USD	-3,002.70	
	NET	+1AVG BGHT 10530.00	SOLD 10620.00				4,082.70	0.00
25SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
25SEP17	S	1	PD27DEC17	10605.00	11124.09	USD	-3,114.54	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27SEP17	S 1 PD27DEC17	10315.00	11124.09	USD	-4,854.54	
	NET -1AVG BGHT 10490.00 SOLD 10460.00				-4,164.54	0.00
29SEP17	B 1 PD29DEC17	10425.00	11125.55	USD	4,203.30	
29SEP17	B 1 PD29DEC17	10415.00	11125.55	USD	4,263.30	
	NET +2AVG BGHT 10420.00				8,466.60	0.00
06OCT17	S 1 PD05JAN18	10590.00	11130.64	USD	-3,243.84	
06OCT17	S 1 PD05JAN18	10585.00	11130.64	USD	-3,273.84	
	NET -2AVG SOLD 10587.50				-6,517.68	0.00
	<u>CMX SILVER 5,000 CMX</u>					
28SEP17	B 1 DEC17	1676.0	1713.3	USD	1,865.00	
	NET +1AVG BGHT 1676.0				1,865.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2815.50	3286.33	USD	11,770.75	
17JUL17	S 1 PD17OCT17	2814.00	3286.33	USD	-11,808.25	
17JUL17	S 1 PD17OCT17	2815.00	3286.33	USD	-11,783.25	
17JUL17	B 1 PD17OCT17	2825.50	3286.33	USD	11,520.75	
	NET 0AVG BGHT 2820.50 SOLD 2814.50				-300.00	0.00
19JUL17	B 1 PD19OCT17	2766.50	3277.50	USD	12,775.00	
19JUL17	B 1 PD19OCT17	2757.00	3277.50	USD	13,012.50	
19JUL17	B 1 PD19OCT17	2753.00	3277.50	USD	13,112.50	
19JUL17	S 2 PD19OCT17	2735.00	3277.50	USD	-27,125.00	
19JUL17	S 1 PD19OCT17	2737.00	3277.50	USD	-13,512.50	
19JUL17	B 1 PD19OCT17	2735.50	3277.50	USD	13,550.00	
21JUL17	S 1 PD19OCT17	2753.30	3277.50	USD	-13,105.00	
	NET 0AVG BGHT 2753.00 SOLD 2740.08				-1,292.50	0.00
24JUL17	S 2 PD24OCT17	2777.00	3267.00	USD	-24,500.00	
24JUL17	S 1 PD24OCT17	2776.50	3267.00	USD	-12,262.50	
24JUL17	B 2 PD24OCT17	2777.00	3267.00	USD	24,500.00	
24JUL17	B 1 PD24OCT17	2776.00	3267.00	USD	12,275.00	
	NET 0AVG BGHT 2776.67 SOLD 2776.83				12.50	0.00
25JUL17	S 1 PD25OCT17	2805.00	3264.00	USD	-11,475.00	
25JUL17	S 1 PD25OCT17	2807.00	3264.00	USD	-11,425.00	
25JUL17	S 1 PD25OCT17	2807.50	3264.00	USD	-11,412.50	
25JUL17	S 1 PD25OCT17	2810.00	3264.00	USD	-11,350.00	
25JUL17	S 1 PD25OCT17	2814.50	3264.00	USD	-11,237.50	
25JUL17	S 1 PD25OCT17	2817.00	3264.00	USD	-11,175.00	
25JUL17	S 1 PD25OCT17	2819.50	3264.00	USD	-11,112.50	
25JUL17	S 1 PD25OCT17	2821.50	3264.00	USD	-11,062.50	
25JUL17	S 1 PD25OCT17	2822.50	3264.00	USD	-11,037.50	
25JUL17	S 1 PD25OCT17	2823.50	3264.00	USD	-11,012.50	
25JUL17	S 1 PD25OCT17	2824.50	3264.00	USD	-10,987.50	
25JUL17	S 1 PD25OCT17	2821.00	3264.00	USD	-11,075.00	
25JUL17	S 1 PD25OCT17	2827.50	3264.00	USD	-10,912.50	
25JUL17	B 1 PD25OCT17	2832.50	3264.00	USD	10,787.50	
25JUL17	S 1 PD25OCT17	2833.00	3264.00	USD	-10,775.00	
25JUL17	S 1 PD25OCT17	2834.00	3264.00	USD	-10,750.00	
25JUL17	B 1 PD25OCT17	2840.00	3264.00	USD	10,600.00	
25JUL17	B 2 PD25OCT17	2838.50	3264.00	USD	21,275.00	
25JUL17	B 3 PD25OCT17	2838.00	3264.00	USD	31,950.00	
27JUL17	B 7 PD25OCT17	2800.83	3264.00	USD	81,054.75	
31JUL17	B 1 PD25OCT17	2793.50	3264.00	USD	11,762.50	
	NET 0AVG BGHT 2817.52 SOLD 2819.20				629.75	0.00
26JUL17	B 1 PD26OCT17	2846.50	3262.33	USD	10,395.75	

OPEN POSITION STATEMENT



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SAP0094H

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	2842.00	3262.33	USD	10,508.25	
26JUL17	B	1	PD26OCT17	2844.00	3262.33	USD	10,458.25	
26JUL17	B	1	PD26OCT17	2845.50	3262.33	USD	10,420.75	
26JUL17	B	1	PD26OCT17	2848.00	3262.33	USD	10,358.25	
26JUL17	B	2	PD26OCT17	2849.00	3262.33	USD	20,666.50	
26JUL17	B	1	PD26OCT17	2807.50	3262.33	USD	11,370.75	
26JUL17	B	1	PD26OCT17	2812.50	3262.33	USD	11,245.75	
26JUL17	B	1	PD26OCT17	2808.20	3262.33	USD	11,353.25	
31JUL17	S	10	PD26OCT17	2793.55	3262.33	USD	-117,195.00	
	NET	0AVG	BGHT 2835.22	SOLD 2793.55			-10,417.50	0.00
27JUL17	S	1	PD27OCT17	2816.50	3260.67	USD	-11,104.25	
27JUL17	S	1	PD27OCT17	2818.50	3260.67	USD	-11,054.25	
27JUL17	S	3	PD27OCT17	2823.00	3260.67	USD	-32,825.25	
27JUL17	S	1	PD27OCT17	2825.50	3260.67	USD	-10,879.25	
27JUL17	S	1	PD27OCT17	2826.00	3260.67	USD	-10,866.75	
27JUL17	S	1	PD27OCT17	2827.00	3260.67	USD	-10,841.75	
27JUL17	S	1	PD27OCT17	2833.00	3260.67	USD	-10,691.75	
27JUL17	B	2	PD27OCT17	2812.00	3260.67	USD	22,433.50	
27JUL17	S	1	PD27OCT17	2819.00	3260.67	USD	-11,041.75	
27JUL17	S	1	PD27OCT17	2822.50	3260.67	USD	-10,954.25	
27JUL17	B	1	PD27OCT17	2811.50	3260.67	USD	11,229.25	
27JUL17	B	1	PD27OCT17	2800.50	3260.67	USD	11,504.25	
27JUL17	B	1	PD27OCT17	2793.00	3260.67	USD	11,691.75	
27JUL17	B	1	PD27OCT17	2798.00	3260.67	USD	11,566.75	
28JUL17	B	1	PD27OCT17	2782.00	3260.67	USD	11,966.75	
28JUL17	B	1	PD27OCT17	2784.00	3260.67	USD	11,916.75	
28JUL17	B	1	PD27OCT17	2785.50	3260.67	USD	11,879.25	
28JUL17	S	3	PD27OCT17	2787.00	3260.67	USD	-35,525.25	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	B	1	PD27OCT17	2782.50	3260.67	USD	11,954.25	
28JUL17	B	1	PD27OCT17	2783.00	3260.67	USD	11,941.75	
28JUL17	B	1	PD27OCT17	2781.50	3260.67	USD	11,979.25	
28JUL17	B	1	PD27OCT17	2772.50	3260.67	USD	12,204.25	
28JUL17	B	1	PD27OCT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD27OCT17	2771.00	3260.67	USD	12,241.75	
28JUL17	B	1	PD27OCT17	2773.00	3260.67	USD	12,191.75	
28JUL17	B	3	PD27OCT17	2788.00	3260.67	USD	35,450.25	
28JUL17	S	1	PD27OCT17	2788.00	3260.67	USD	-11,816.75	
28JUL17	S	1	PD27OCT17	2789.00	3260.67	USD	-11,791.75	
28JUL17	S	1	PD27OCT17	2791.50	3260.67	USD	-11,729.25	
28JUL17	S	1	PD27OCT17	2793.50	3260.67	USD	-11,679.25	
28JUL17	S	1	PD27OCT17	2797.00	3260.67	USD	-11,591.75	
28JUL17	S	1	PD27OCT17	2792.50	3260.67	USD	-11,704.25	
28JUL17	B	1	PD27OCT17	2777.00	3260.67	USD	12,091.75	
28JUL17	B	2	PD27OCT17	2781.00	3260.67	USD	23,983.50	
28JUL17	B	1	PD27OCT17	2779.50	3260.67	USD	12,029.25	
28JUL17	B	1	PD27OCT17	2780.50	3260.67	USD	12,004.25	
28JUL17	S	1	PD27OCT17	2790.00	3260.67	USD	-11,766.75	
28JUL17	B	2	PD27OCT17	2776.50	3260.67	USD	24,208.50	
28JUL17	B	1	PD27OCT17	2778.00	3260.67	USD	12,066.75	
28JUL17	B	1	PD27OCT17	2785.00	3260.67	USD	11,891.75	
31JUL17	S	8	PD27OCT17	2793.80	3260.67	USD	-93,374.00	
	NET	0AVG	BGHT 2785.33	SOLD 2803.79			13,385.00	0.00
31JUL17	B	1	PD31OCT17	2803.00	3257.33	USD	11,358.25	
31JUL17	B	1	PD31OCT17	2806.00	3257.33	USD	11,283.25	
31JUL17	B	1	PD31OCT17	2807.00	3257.33	USD	11,258.25	
31JUL17	B	1	PD31OCT17	2807.50	3257.33	USD	11,245.75	

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31JUL17	B	1	PD31OCT17	2808.50	3257.33	USD	11,220.75	
31JUL17	B	2	PD31OCT17	2805.00	3257.33	USD	22,616.50	
31JUL17	B	4	PD31OCT17	2804.50	3257.33	USD	45,283.00	
31JUL17	B	1	PD31OCT17	2804.00	3257.33	USD	11,333.25	
31JUL17	B	1	PD31OCT17	2794.50	3257.33	USD	11,570.75	
31JUL17	S	12	PD31OCT17	2794.80	3257.33	USD	-138,759.00	
09AUG17	S	1	PD31OCT17	2929.75	3257.33	USD	-8,189.50	
	NET	0 AVG BGHT 2804.50	SOLD 2805.18				221.25	0.00
01AUG17	B	2	PD01NOV17	2785.00	3255.67	USD	23,533.50	
01AUG17	B	1	PD01NOV17	2772.50	3255.67	USD	12,079.25	
01AUG17	B	1	PD01NOV17	2775.00	3255.67	USD	12,016.75	
01AUG17	S	1	PD01NOV17	2782.00	3255.67	USD	-11,841.75	
01AUG17	S	2	PD01NOV17	2781.50	3255.67	USD	-23,708.50	
09AUG17	S	1	PD01NOV17	2929.94	3255.67	USD	-8,143.25	
	NET	0 AVG BGHT 2779.38	SOLD 2818.74				3,936.00	0.00
02AUG17	S	1	PD02NOV17	2763.50	3254.00	USD	-12,262.50	
02AUG17	S	1	PD02NOV17	2765.00	3254.00	USD	-12,225.00	
02AUG17	S	1	PD02NOV17	2773.00	3254.00	USD	-12,025.00	
02AUG17	S	2	PD02NOV17	2775.50	3254.00	USD	-23,925.00	
02AUG17	B	1	PD02NOV17	2763.00	3254.00	USD	12,275.00	
02AUG17	B	2	PD02NOV17	2766.50	3254.00	USD	24,375.00	
02AUG17	B	1	PD02NOV17	2765.50	3254.00	USD	12,212.50	
02AUG17	S	1	PD02NOV17	2794.50	3254.00	USD	-11,487.50	
02AUG17	S	1	PD02NOV17	2801.50	3254.00	USD	-11,312.50	
02AUG17	S	1	PD02NOV17	2804.00	3254.00	USD	-11,250.00	
02AUG17	S	1	PD02NOV17	2804.50	3254.00	USD	-11,237.50	
02AUG17	S	1	PD02NOV17	2805.00	3254.00	USD	-11,225.00	
02AUG17	B	2	PD02NOV17	2805.00	3254.00	USD	22,450.00	
02AUG17	B	1	PD02NOV17	2806.50	3254.00	USD	11,187.50	
09AUG17	B	2	PD02NOV17	2930.34	3254.00	USD	16,183.00	
09AUG17	B	1	PD02NOV17	2930.14	3254.00	USD	8,096.50	
29SEP17	S	3	PD02NOV17	3170.25	3254.00	USD	-6,281.25	
29SEP17	S	4	PD02NOV17	3170.05	3254.00	USD	-8,395.00	
	NET	-7 AVG BGHT 2826.88	SOLD 2944.29				-24,846.75	0.00
03AUG17	B	1	PD03NOV17	2807.50	3252.89	USD	11,134.75	
03AUG17	B	1	PD03NOV17	2810.00	3252.89	USD	11,072.25	
03AUG17	B	1	PD03NOV17	2815.50	3252.89	USD	10,934.75	
03AUG17	B	1	PD03NOV17	2798.00	3252.89	USD	11,372.25	
03AUG17	B	1	PD03NOV17	2795.00	3252.89	USD	11,447.25	
03AUG17	B	1	PD03NOV17	2793.00	3252.89	USD	11,497.25	
03AUG17	B	2	PD03NOV17	2794.00	3252.89	USD	22,944.50	
04AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
04AUG17	S	1	PD03NOV17	2821.00	3252.89	USD	-10,797.25	
04AUG17	S	1	PD03NOV17	2822.00	3252.89	USD	-10,772.25	
04AUG17	S	1	PD03NOV17	2832.50	3252.89	USD	-10,509.75	
04AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
04AUG17	S	1	PD03NOV17	2831.00	3252.89	USD	-10,547.25	
04AUG17	S	1	PD03NOV17	2828.00	3252.89	USD	-10,622.25	
04AUG17	S	1	PD03NOV17	2824.50	3252.89	USD	-10,709.75	
04AUG17	B	1	PD03NOV17	2802.00	3252.89	USD	11,272.25	
04AUG17	B	1	PD03NOV17	2805.50	3252.89	USD	11,184.75	
04AUG17	B	1	PD03NOV17	2798.00	3252.89	USD	11,372.25	
04AUG17	B	1	PD03NOV17	2801.50	3252.89	USD	11,284.75	
04AUG17	B	1	PD03NOV17	2803.00	3252.89	USD	11,247.25	
04AUG17	B	1	PD03NOV17	2798.50	3252.89	USD	11,359.75	
07AUG17	S	5	PD03NOV17	2856.94	3252.89	USD	-49,493.75	

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09AUG17	S	1	PD03NOV17		2930.13	3252.89	USD	-8,069.00	
	NET	0 AVG	BGHT 2801.11	SOLD 2844.56				15,208.25	0.00
07AUG17	S	1	PD07NOV17		2824.50	3250.67	USD	-10,654.25	
07AUG17	S	1	PD07NOV17		2827.50	3250.67	USD	-10,579.25	
07AUG17	S	4	PD07NOV17		2826.00	3250.67	USD	-42,467.00	
07AUG17	S	1	PD07NOV17		2831.00	3250.67	USD	-10,491.75	
07AUG17	S	4	PD07NOV17		2825.00	3250.67	USD	-42,567.00	
07AUG17	S	1	PD07NOV17		2833.50	3250.67	USD	-10,429.25	
07AUG17	B	1	PD07NOV17		2831.50	3250.67	USD	10,479.25	
07AUG17	S	1	PD07NOV17		2860.00	3250.67	USD	-9,766.75	
07AUG17	S	1	PD07NOV17		2864.00	3250.67	USD	-9,666.75	
07AUG17	S	1	PD07NOV17		2866.00	3250.67	USD	-9,616.75	
07AUG17	S	1	PD07NOV17		2874.50	3250.67	USD	-9,404.25	
07AUG17	S	1	PD07NOV17		2867.50	3250.67	USD	-9,579.25	
07AUG17	S	1	PD07NOV17		2886.50	3250.67	USD	-9,104.25	
07AUG17	S	1	PD07NOV17		2889.50	3250.67	USD	-9,029.25	
07AUG17	B	1	PD07NOV17		2862.50	3250.67	USD	9,704.25	
07AUG17	B	1	PD07NOV17		2869.00	3250.67	USD	9,541.75	
07AUG17	B	2	PD07NOV17		2871.50	3250.67	USD	18,958.50	
07AUG17	B	2	PD07NOV17		2873.00	3250.67	USD	18,883.50	
07AUG17	B	1	PD07NOV17		2872.00	3250.67	USD	9,466.75	
07AUG17	B	1	PD07NOV17		2874.50	3250.67	USD	9,404.25	
07AUG17	S	1	PD07NOV17		2872.50	3250.67	USD	-9,454.25	
09AUG17	B	10	PD07NOV17		2931.31	3250.67	USD	79,840.00	
14AUG17	B	1	PD07NOV17		2915.88	3250.67	USD	8,369.75	
	NET	0 AVG	BGHT 2901.37	SOLD 2845.05				-28,162.00	0.00
08AUG17	S	1	PD08NOV17		2880.00	3249.56	USD	-9,239.00	
08AUG17	S	1	PD08NOV17		2881.50	3249.56	USD	-9,201.50	
08AUG17	S	2	PD08NOV17		2872.50	3249.56	USD	-18,853.00	
08AUG17	S	1	PD08NOV17		2884.00	3249.56	USD	-9,139.00	
08AUG17	B	1	PD08NOV17		2869.50	3249.56	USD	9,501.50	
08AUG17	B	1	PD08NOV17		2873.00	3249.56	USD	9,414.00	
08AUG17	S	1	PD08NOV17		2915.00	3249.56	USD	-8,364.00	
08AUG17	S	1	PD08NOV17		2936.00	3249.56	USD	-7,839.00	
14AUG17	B	5	PD08NOV17		2916.04	3249.56	USD	41,690.00	
	NET	0 AVG	BGHT 2903.24	SOLD 2891.64				-2,030.00	0.00
09AUG17	B	1	PD09NOV17		2964.00	3248.44	USD	7,111.00	
09AUG17	S	1	PD09NOV17		2963.50	3248.44	USD	-7,123.50	
09AUG17	B	2	PD09NOV17		2953.50	3248.44	USD	14,747.00	
09AUG17	B	1	PD09NOV17		2952.00	3248.44	USD	7,411.00	
09AUG17	B	1	PD09NOV17		2950.50	3248.44	USD	7,448.50	
09AUG17	B	1	PD09NOV17		2940.50	3248.44	USD	7,698.50	
09AUG17	B	1	PD09NOV17		2943.50	3248.44	USD	7,623.50	
09AUG17	B	1	PD09NOV17		2951.50	3248.44	USD	7,423.50	
09AUG17	B	1	PD09NOV17		2932.50	3248.44	USD	7,898.50	
09AUG17	B	1	PD09NOV17		2935.00	3248.44	USD	7,836.00	
14AUG17	S	9	PD09NOV17		2916.00	3248.44	USD	-74,799.00	
	NET	0 AVG	BGHT 2947.65	SOLD 2920.75				-6,725.00	0.00
10AUG17	S	1	PD10NOV17		2943.00	3247.33	USD	-7,608.25	
10AUG17	S	1	PD10NOV17		2946.50	3247.33	USD	-7,520.75	
10AUG17	B	1	PD10NOV17		2918.50	3247.33	USD	8,220.75	
10AUG17	B	1	PD10NOV17		2915.00	3247.33	USD	8,308.25	
10AUG17	B	1	PD10NOV17		2923.00	3247.33	USD	8,108.25	
10AUG17	B	1	PD10NOV17		2923.50	3247.33	USD	8,095.75	
10AUG17	B	1	PD10NOV17		2947.50	3247.33	USD	7,495.75	
10AUG17	B	1	PD10NOV17		2936.50	3247.33	USD	7,770.75	

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10AUG17	B	1	PD10NOV17	2937.00	3247.33	USD	7,758.25	
10AUG17	S	1	PD10NOV17	2936.00	3247.33	USD	-7,783.25	
10AUG17	B	4	PD10NOV17	2928.50	3247.33	USD	31,883.00	
10AUG17	B	1	PD10NOV17	2938.00	3247.33	USD	7,733.25	
11AUG17	S	1	PD10NOV17	2917.00	3247.33	USD	-8,258.25	
11AUG17	B	1	PD10NOV17	2892.00	3247.33	USD	8,883.25	
11AUG17	B	1	PD10NOV17	2893.50	3247.33	USD	8,845.75	
11AUG17	B	1	PD10NOV17	2899.00	3247.33	USD	8,708.25	
11AUG17	B	1	PD10NOV17	2891.00	3247.33	USD	8,908.25	
11AUG17	S	1	PD10NOV17	2903.50	3247.33	USD	-8,595.75	
11AUG17	S	1	PD10NOV17	2900.50	3247.33	USD	-8,670.75	
11AUG17	S	1	PD10NOV17	2901.50	3247.33	USD	-8,645.75	
11AUG17	S	3	PD10NOV17	2896.30	3247.33	USD	-26,327.25	
14AUG17	S	6	PD10NOV17	2916.16	3247.33	USD	-49,675.50	
	NET	0 AVG BGHT 2920.53	SOLD 2914.62				-2,366.00	0.00
14AUG17	S	3	PD14NOV17	2911.00	3245.11	USD	-25,058.25	
14AUG17	S	1	PD14NOV17	2912.00	3245.11	USD	-8,327.75	
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
14AUG17	S	2	PD14NOV17	2914.00	3245.11	USD	-16,555.50	
14AUG17	S	2	PD14NOV17	2914.50	3245.11	USD	-16,530.50	
18AUG17	B	7	PD14NOV17	3126.57	3245.11	USD	20,744.50	
30AUG17	B	3	PD14NOV17	3094.25	3245.11	USD	11,314.50	
	NET	0 AVG BGHT 3116.87	SOLD 2913.05				-50,956.00	0.00
16AUG17	B	1	PD16NOV17	2985.00	3241.50	USD	6,412.50	
16AUG17	S	1	PD16NOV17	2979.00	3241.50	USD	-6,562.50	
16AUG17	S	1	PD16NOV17	2979.50	3241.50	USD	-6,550.00	
16AUG17	S	1	PD16NOV17	2980.50	3241.50	USD	-6,525.00	
16AUG17	S	1	PD16NOV17	2982.00	3241.50	USD	-6,487.50	
16AUG17	S	1	PD16NOV17	2984.00	3241.50	USD	-6,437.50	
16AUG17	S	1	PD16NOV17	2985.50	3241.50	USD	-6,400.00	
16AUG17	S	1	PD16NOV17	2990.00	3241.50	USD	-6,287.50	
16AUG17	S	1	PD16NOV17	2992.00	3241.50	USD	-6,237.50	
16AUG17	S	1	PD16NOV17	2982.50	3241.50	USD	-6,475.00	
16AUG17	B	1	PD16NOV17	2981.50	3241.50	USD	6,500.00	
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	B	1	PD16NOV17	3081.00	3241.50	USD	4,012.50	
16AUG17	B	1	PD16NOV17	3085.00	3241.50	USD	3,912.50	
16AUG17	B	1	PD16NOV17	3084.00	3241.50	USD	3,937.50	
16AUG17	B	2	PD16NOV17	3121.00	3241.50	USD	6,025.00	
16AUG17	B	1	PD16NOV17	3125.00	3241.50	USD	2,912.50	
16AUG17	B	1	PD16NOV17	3075.00	3241.50	USD	4,162.50	
16AUG17	B	2	PD16NOV17	3109.50	3241.50	USD	6,600.00	
16AUG17	B	1	PD16NOV17	3116.50	3241.50	USD	3,125.00	
16AUG17	B	1	PD16NOV17	3107.50	3241.50	USD	3,350.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	B	1	PD16NOV17	3113.00	3241.50	USD	3,212.50	
16AUG17	B	1	PD16NOV17	3114.50	3241.50	USD	3,175.00	
16AUG17	B	1	PD16NOV17	3120.50	3241.50	USD	3,025.00	
29AUG17	S	7	PD16NOV17	3107.30	3241.50	USD	-23,485.00	
	NET	0 AVG BGHT 3091.62	SOLD 3034.48				-24,285.00	0.00
17AUG17	S	1	PD17NOV17	3139.50	3239.00	USD	-2,487.50	
17AUG17	S	1	PD17NOV17	3140.00	3239.00	USD	-2,475.00	
17AUG17	S	2	PD17NOV17	3140.50	3239.00	USD	-4,925.00	
17AUG17	S	1	PD17NOV17	3132.00	3239.00	USD	-2,675.00	
17AUG17	S	1	PD17NOV17	3133.50	3239.00	USD	-2,637.50	

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17AUG17	S	1	PD17NOV17	3135.00	3239.00	USD	-2,600.00	
17AUG17	S	1	PD17NOV17	3138.50	3239.00	USD	-2,512.50	
17AUG17	B	1	PD17NOV17	3068.00	3239.00	USD	4,275.00	
17AUG17	B	1	PD17NOV17	3063.00	3239.00	USD	4,400.00	
17AUG17	B	1	PD17NOV17	3065.50	3239.00	USD	4,337.50	
17AUG17	B	1	PD17NOV17	3054.50	3239.00	USD	4,612.50	
17AUG17	B	1	PD17NOV17	3056.00	3239.00	USD	4,575.00	
17AUG17	S	1	PD17NOV17	3056.00	3239.00	USD	-4,575.00	
17AUG17	S	1	PD17NOV17	3057.00	3239.00	USD	-4,550.00	
17AUG17	B	1	PD17NOV17	3047.50	3239.00	USD	4,787.50	
17AUG17	B	1	PD17NOV17	3056.50	3239.00	USD	4,562.50	
17AUG17	B	1	PD17NOV17	3057.00	3239.00	USD	4,550.00	
18AUG17	B	1	PD17NOV17	3123.00	3239.00	USD	2,900.00	
18AUG17	B	1	PD17NOV17	3127.00	3239.00	USD	2,800.00	
18AUG17	S	1	PD17NOV17	3116.00	3239.00	USD	-3,075.00	
18AUG17	S	1	PD17NOV17	3118.00	3239.00	USD	-3,025.00	
18AUG17	S	1	PD17NOV17	3118.50	3239.00	USD	-3,012.50	
18AUG17	S	1	PD17NOV17	3119.50	3239.00	USD	-2,987.50	
18AUG17	S	1	PD17NOV17	3120.50	3239.00	USD	-2,962.50	
18AUG17	S	1	PD17NOV17	3135.50	3239.00	USD	-2,587.50	
18AUG17	B	1	PD17NOV17	3115.00	3239.00	USD	3,100.00	
18AUG17	B	1	PD17NOV17	3114.50	3239.00	USD	3,112.50	
18AUG17	S	1	PD17NOV17	3115.50	3239.00	USD	-3,087.50	
18AUG17	B	1	PD17NOV17	3135.50	3239.00	USD	2,587.50	
18AUG17	S	1	PD17NOV17	3125.50	3239.00	USD	-2,837.50	
18AUG17	S	1	PD17NOV17	3126.00	3239.00	USD	-2,825.00	
18AUG17	B	1	PD17NOV17	3140.00	3239.00	USD	2,475.00	
23AUG17	B	1	PD17NOV17	3094.70	3239.00	USD	3,607.50	
31AUG17	B	4	PD17NOV17	3143.00	3239.00	USD	9,600.00	
	NET	0 AVG BGHT 3099.46	SOLD 3121.45				10,445.00	0.00
21AUG17	S	1	PD21NOV17	3149.50	3237.00	USD	-2,187.50	
21AUG17	S	1	PD21NOV17	3150.00	3237.00	USD	-2,175.00	
21AUG17	B	1	PD21NOV17	3125.50	3237.00	USD	2,787.50	
21AUG17	B	1	PD21NOV17	3128.00	3237.00	USD	2,725.00	
21AUG17	B	1	PD21NOV17	3123.50	3237.00	USD	2,837.50	
21AUG17	B	1	PD21NOV17	3127.00	3237.00	USD	2,750.00	
21AUG17	S	1	PD21NOV17	3122.50	3237.00	USD	-2,862.50	
29AUG17	S	1	PD21NOV17	3107.94	3237.00	USD	-3,226.50	
	NET	0 AVG BGHT 3126.00	SOLD 3132.49				648.50	0.00
22AUG17	B	1	PD22NOV17	3143.00	3236.00	USD	2,325.00	
22AUG17	S	2	PD22NOV17	3138.00	3236.00	USD	-4,900.00	
22AUG17	S	1	PD22NOV17	3138.50	3236.00	USD	-2,437.50	
22AUG17	S	1	PD22NOV17	3148.00	3236.00	USD	-2,200.00	
22AUG17	B	1	PD22NOV17	3121.50	3236.00	USD	2,862.50	
22AUG17	B	1	PD22NOV17	3116.50	3236.00	USD	2,987.50	
22AUG17	B	1	PD22NOV17	3110.50	3236.00	USD	3,137.50	
	NET	0 AVG BGHT 3122.88	SOLD 3140.63				1,775.00	0.00
23AUG17	S	1	PD24NOV17	3140.50	3235.00	USD	-2,362.50	
23AUG17	S	1	PD24NOV17	3109.00	3235.00	USD	-3,150.00	
23AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
24AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
24AUG17	S	1	PD24NOV17	3105.00	3235.00	USD	-3,250.00	
24AUG17	S	1	PD24NOV17	3118.50	3235.00	USD	-2,912.50	
24AUG17	B	1	PD24NOV17	3108.50	3235.00	USD	3,162.50	
25AUG17	B	1	PD24NOV17	3071.50	3235.00	USD	4,087.50	
25AUG17	B	1	PD24NOV17	3066.50	3235.00	USD	4,212.50	

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25AUG17	B	1	PD24NOV17	3056.50	3235.00	USD	4,462.50	
25AUG17	B	1	PD24NOV17	3060.00	3235.00	USD	4,375.00	
25AUG17	B	1	PD24NOV17	3059.00	3235.00	USD	4,400.00	
25AUG17	B	1	PD24NOV17	3065.00	3235.00	USD	4,250.00	
25AUG17	B	1	PD24NOV17	3068.50	3235.00	USD	4,162.50	
25AUG17	S	1	PD24NOV17	3065.00	3235.00	USD	-4,250.00	
30AUG17	S	3	PD24NOV17	3093.80	3235.00	USD	-10,590.00	
	NET	0 AVG	BGHT 3073.94	SOLD 3103.27			6,597.50	0.00
29AUG17	B	1	PD29NOV17	3109.00	3234.00	USD	3,125.00	
29AUG17	S	1	PD29NOV17	3093.00	3234.00	USD	-3,525.00	
29AUG17	B	5	PD29NOV17	3094.00	3234.00	USD	17,500.00	
29AUG17	B	1	PD29NOV17	3118.50	3234.00	USD	2,887.50	
29AUG17	B	1	PD29NOV17	3096.50	3234.00	USD	3,437.50	
29AUG17	S	1	PD29NOV17	3093.50	3234.00	USD	-3,512.50	
29AUG17	S	1	PD29NOV17	3094.00	3234.00	USD	-3,500.00	
29AUG17	B	1	PD29NOV17	3118.00	3234.00	USD	2,900.00	
29AUG17	S	1	PD29NOV17	3118.50	3234.00	USD	-2,887.50	
29AUG17	S	1	PD29NOV17	3116.50	3234.00	USD	-2,937.50	
29AUG17	S	1	PD29NOV17	3121.50	3234.00	USD	-2,812.50	
29AUG17	S	3	PD29NOV17	3121.00	3234.00	USD	-8,475.00	
29AUG17	S	3	PD29NOV17	3119.00	3234.00	USD	-8,625.00	
29AUG17	S	1	PD29NOV17	3107.00	3234.00	USD	-3,175.00	
31AUG17	B	4	PD29NOV17	3145.83	3234.00	USD	8,817.00	
	NET	0 AVG	BGHT 3115.02	SOLD 3112.62			-783.00	0.00
30AUG17	B	1	PD30NOV17	3098.50	3233.67	USD	3,379.25	
30AUG17	B	1	PD30NOV17	3103.50	3233.67	USD	3,254.25	
30AUG17	B	1	PD30NOV17	3104.50	3233.67	USD	3,229.25	
30AUG17	B	1	PD30NOV17	3111.00	3233.67	USD	3,066.75	
30AUG17	B	1	PD30NOV17	3096.50	3233.67	USD	3,429.25	
30AUG17	B	2	PD30NOV17	3094.50	3233.67	USD	6,958.50	
30AUG17	B	1	PD30NOV17	3084.50	3233.67	USD	3,729.25	
31AUG17	B	2	PD30NOV17	3100.00	3233.67	USD	6,683.50	
31AUG17	B	1	PD30NOV17	3104.50	3233.67	USD	3,229.25	
31AUG17	B	1	PD30NOV17	3106.50	3233.67	USD	3,179.25	
31AUG17	B	1	PD30NOV17	3108.00	3233.67	USD	3,141.75	
31AUG17	S	1	PD30NOV17	3106.00	3233.67	USD	-3,191.75	
31AUG17	S	1	PD30NOV17	3113.50	3233.67	USD	-3,004.25	
31AUG17	S	2	PD30NOV17	3114.50	3233.67	USD	-5,958.50	
31AUG17	S	2	PD30NOV17	3119.00	3233.67	USD	-5,733.50	
31AUG17	B	1	PD30NOV17	3130.00	3233.67	USD	2,591.75	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	B	1	PD30NOV17	3124.50	3233.67	USD	2,729.25	
31AUG17	B	1	PD30NOV17	3124.00	3233.67	USD	2,741.75	
31AUG17	B	5	PD30NOV17	3126.00	3233.67	USD	13,458.75	
31AUG17	B	3	PD30NOV17	3125.00	3233.67	USD	8,150.25	
31AUG17	B	1	PD30NOV17	3108.50	3233.67	USD	3,129.25	
31AUG17	B	2	PD30NOV17	3125.50	3233.67	USD	5,408.50	
31AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
31AUG17	B	1	PD30NOV17	3127.00	3233.67	USD	2,666.75	
31AUG17	S	8	PD30NOV17	3145.80	3233.67	USD	-17,574.00	
04SEP17	S	16	PD30NOV17	3204.30	3233.67	USD	-11,748.00	
	NET	0 AVG	BGHT 3114.37	SOLD 3170.72			42,267.50	0.00
05SEP17	S	1	PD05DEC17	3195.00	3232.67	USD	-941.75	
22SEP17	B	1	PD05DEC17	3031.68	3232.67	USD	5,024.75	
	NET	0 AVG	BGHT 3031.68	SOLD 3195.00			4,083.00	0.00
06SEP17	B	1	PD06DEC17	3089.00	3232.33	USD	3,583.25	

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06SEP17	B	1	PD06DEC17	3081.00	3232.33	USD	3,783.25	
06SEP17	S	1	PD06DEC17	3102.50	3232.33	USD	-3,245.75	
22SEP17	S	1	PD06DEC17	3031.63	3232.33	USD	-5,017.50	
	NET	0 AVG	BGHT 3085.00				-896.75	0.00
11SEP17	S	1	PD11DEC17	3093.00	3231.33	USD	-3,458.25	
11SEP17	S	1	PD11DEC17	3101.50	3231.33	USD	-3,245.75	
11SEP17	B	1	PD11DEC17	3085.00	3231.33	USD	3,658.25	
22SEP17	B	1	PD11DEC17	3032.61	3231.33	USD	4,968.00	
	NET	0 AVG	BGHT 3058.81				1,922.25	0.00
12SEP17	B	1	PD12DEC17	3077.50	3231.00	USD	3,837.50	
22SEP17	S	1	PD12DEC17	3032.56	3231.00	USD	-4,961.00	
	NET	0 AVG	BGHT 3077.50				-1,123.50	0.00
21SEP17	S	1	PD21DEC17	3078.00	3225.00	USD	-3,675.00	
21SEP17	S	1	PD21DEC17	3080.00	3225.00	USD	-3,625.00	
21SEP17	B	2	PD21DEC17	3095.46	3225.00	USD	6,477.00	
	NET	0 AVG	BGHT 3095.46				-823.00	0.00
26SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
26SEP17	B	1	PD27DEC17	3142.50	3224.00	USD	2,037.50	
27SEP17	B	1	PD27DEC17	3101.00	3224.00	USD	3,075.00	
27SEP17	S	1	PD27DEC17	3114.00	3224.00	USD	-2,750.00	
	NET	0 AVG	BGHT 3121.75				312.50	0.00
29SEP17	B	1	PD29DEC17	3145.50	3223.00	USD	1,937.50	
29SEP17	B	1	PD29DEC17	3149.50	3223.00	USD	1,837.50	
29SEP17	B	1	PD29DEC17	3148.00	3223.00	USD	1,875.00	
29SEP17	S	1	PD29DEC17	3169.00	3223.00	USD	-1,350.00	
29SEP17	S	1	PD29DEC17	3163.00	3223.00	USD	-1,500.00	
29SEP17	S	1	PD29DEC17	3161.50	3223.00	USD	-1,537.50	
29SEP17	S	1	PD29DEC17	3147.35	3223.00	USD	-1,891.25	
29SEP17	S	1	PD29DEC17	3147.85	3223.00	USD	-1,878.75	
29SEP17	S	1	PD29DEC17	3143.85	3223.00	USD	-1,978.75	
29SEP17	B	3	PD29DEC17	3162.20	3223.00	USD	4,560.00	
	NET	0 AVG	BGHT 3154.93				73.75	0.00
03OCT17	S	1	PD03JAN18	3219.00	3222.00	USD	-75.00	
03OCT17	S	1	PD03JAN18	3240.00	3222.00	USD	450.00	
03OCT17	S	1	PD03JAN18	3241.50	3222.00	USD	487.50	
03OCT17	B	1	PD03JAN18	3288.00	3222.00	USD	-1,650.00	
03OCT17	B	1	PD03JAN18	3265.00	3222.00	USD	-1,075.00	
03OCT17	B	1	PD03JAN18	3263.50	3222.00	USD	-1,037.50	
	NET	0 AVG	BGHT 3272.17				-2,900.00	0.00
05OCT17	S	1	PD05JAN18	3280.00	3221.00	USD	1,475.00	
05OCT17	S	1	PD05JAN18	3280.50	3221.00	USD	1,487.50	
05OCT17	S	1	PD05JAN18	3282.00	3221.00	USD	1,525.00	
05OCT17	S	1	PD05JAN18	3285.00	3221.00	USD	1,600.00	
05OCT17	B	1	PD05JAN18	3305.00	3221.00	USD	-2,100.00	
05OCT17	B	1	PD05JAN18	3297.00	3221.00	USD	-1,900.00	
05OCT17	B	1	PD05JAN18	3294.00	3221.00	USD	-1,825.00	
05OCT17	B	1	PD05JAN18	3297.50	3221.00	USD	-1,912.50	
06OCT17	S	1	PD05JAN18	3263.50	3221.00	USD	1,062.50	
06OCT17	B	1	PD05JAN18	3243.00	3221.00	USD	-550.00	
	NET	0 AVG	BGHT 3287.30				-1,137.50	0.00
09OCT17	S	1	PD09JAN18	3267.00	3220.00	USD	1,175.00	
09OCT17	S	1	PD09JAN18	3264.00	3220.00	USD	1,100.00	
09OCT17	S	1	PD09JAN18	3245.50	3220.00	USD	637.50	
09OCT17	B	1	PD09JAN18	3255.50	3220.00	USD	-887.50	
	NET	-2 AVG	BGHT 3255.50				2,025.00	0.00

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10OCT17	B 1 PD10JAN18	3287.50	3219.50	USD	-1,700.00	
10OCT17	B 1 PD10JAN18	3222.00	3219.50	USD	-62.50	
10OCT17	B 1 PD10JAN18	3223.00	3219.50	USD	-87.50	
10OCT17	B 1 PD10JAN18	3284.00	3219.50	USD	-1,612.50	
10OCT17	S 1 PD10JAN18	3277.50	3219.50	USD	1,450.00	
10OCT17	S 1 PD10JAN18	3280.00	3219.50	USD	1,512.50	
	NET +2 AVG BGHT 3254.13 SOLD 3278.75				-500.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	209,258.25
LME Lead 25 tonnes. This is an	FUTURES	123,970.75
LME Nickel 6 tonnes. This is	FUTURES	1,370.34
CMX Silver	FUTURES	-83,800.00
LME Zinc 25 tonnes. This is	FUTURES	513,473.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	1,865.00	1,865.00	1,865.00	1,865.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-1,374.25	-1,374.25		
LEAD - Futures USD	-4,304.25	-4,304.25		
NKL - Futures USD	1,287.42	1,287.42		
ZINC - Futures USD	-56,001.75	-56,001.75	-60,392.83	-60,392.83

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-41,663.02	-41,663.02
Variation Margins - Futures	1,865.00	1,865.00
- Options	0.00	0.00
TOTAL EQUITY	-39,798.02	-39,798.02
Initial Margins	-129,390.83	-129,390.83
Excess/(Shortage)	-169,188.85	-169,188.85
FOR INFORMATION ONLY		
Forward Unrealised P&L	-60,392.83	-60,392.83
Net Liquidating Value	-100,190.85	-100,190.85

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SAP0094J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	10	PD120CT17	1899.00	2104.25	USD	51,312.50	
12JUL17	B	10	PD120CT17	1898.00	2104.25	USD	51,562.50	
12JUL17	B	5	PD120CT17	1900.00	2104.25	USD	25,531.25	
12JUL17	B	10	PD120CT17	1891.50	2104.25	USD	53,187.50	
12JUL17	B	5	PD120CT17	1892.50	2104.25	USD	26,468.75	
12JUL17	B	10	PD120CT17	1887.50	2104.25	USD	54,187.50	
12JUL17	B	10	PD120CT17	1888.00	2104.25	USD	54,062.50	
12JUL17	B	8	PD120CT17	1883.50	2104.25	USD	44,150.00	
12JUL17	B	10	PD120CT17	1884.00	2104.25	USD	55,062.50	
21JUL17	S	78	PD120CT17	1913.87	2104.25	USD	-371,241.00	
	NET	0 AVG BGHT 1891.16	SOLD 1913.87				44,284.00	0.00
17JUL17	B	1	PD170CT17	1919.50	2106.75	USD	4,681.25	
21JUL17	S	1	PD170CT17	1914.85	2106.75	USD	-4,797.50	
	NET	0 AVG BGHT 1919.50	SOLD 1914.85				-116.25	0.00
18JUL17	B	5	PD180CT17	1917.50	2107.25	USD	23,718.75	
18JUL17	S	5	PD180CT17	1917.00	2107.25	USD	-23,781.25	
18JUL17	B	10	PD180CT17	1917.00	2107.25	USD	47,562.50	
18JUL17	B	20	PD180CT17	1922.00	2107.25	USD	92,625.00	
18JUL17	B	8	PD180CT17	1923.50	2107.25	USD	36,750.00	
18JUL17	B	2	PD180CT17	1924.00	2107.25	USD	9,162.50	
18JUL17	B	10	PD180CT17	1925.50	2107.25	USD	45,437.50	
18JUL17	B	10	PD180CT17	1926.00	2107.25	USD	45,312.50	
21JUL17	S	60	PD180CT17	1915.05	2107.25	USD	-288,300.00	
	NET	0 AVG BGHT 1922.28	SOLD 1915.20				-11,512.50	0.00
19JUL17	B	5	PD190CT17	1938.50	2107.75	USD	21,156.25	
19JUL17	B	10	PD190CT17	1939.00	2107.75	USD	42,187.50	
19JUL17	B	20	PD190CT17	1934.00	2107.75	USD	86,875.00	
19JUL17	B	5	PD190CT17	1928.00	2107.75	USD	22,468.75	
19JUL17	B	14	PD190CT17	1933.50	2107.75	USD	60,987.50	
19JUL17	B	16	PD190CT17	1933.00	2107.75	USD	69,900.00	
21JUL17	S	70	PD190CT17	1914.93	2107.75	USD	-337,435.00	
	NET	0 AVG BGHT 1934.28	SOLD 1914.93				-33,860.00	0.00
20JUL17	B	6	PD200CT17	1925.00	2108.25	USD	27,487.50	
20JUL17	B	4	PD200CT17	1926.00	2108.25	USD	18,225.00	
20JUL17	B	19	PD200CT17	1927.00	2108.25	USD	86,093.75	
20JUL17	B	20	PD200CT17	1927.50	2108.25	USD	90,375.00	
20JUL17	B	2	PD200CT17	1929.00	2108.25	USD	8,962.50	
20JUL17	B	3	PD200CT17	1929.50	2108.25	USD	13,406.25	
20JUL17	B	12	PD200CT17	1926.50	2108.25	USD	54,525.00	
21JUL17	S	61	PD200CT17	1914.80	2108.25	USD	-295,011.25	
03AUG17	S	5	PD200CT17	1912.50	2108.25	USD	-24,468.75	
	NET	0 AVG BGHT 1926.99	SOLD 1914.63				-20,405.00	0.00
26JUL17	S	1	PD260CT17	1959.50	2111.25	USD	-3,793.75	
26JUL17	S	4	PD260CT17	1958.50	2111.25	USD	-15,275.00	
03AUG17	B	5	PD260CT17	1914.20	2111.25	USD	24,631.25	
	NET	0 AVG BGHT 1914.20	SOLD 1958.70				5,562.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	1	PD130CT17	5891.00	6756.00	USD	21,625.00	
14JUL17	B	7	PD130CT17	5891.50	6756.00	USD	151,287.50	
14JUL17	B	2	PD130CT17	5892.50	6756.00	USD	43,175.00	
14JUL17	B	4	PD130CT17	5893.00	6756.00	USD	86,300.00	
14JUL17	B	2	PD130CT17	5893.50	6756.00	USD	43,125.00	
14JUL17	S	3	PD130CT17	5893.00	6756.00	USD	-64,725.00	
03AUG17	S	7	PD130CT17	6347.50	6756.00	USD	-71,487.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
22AUG17	S 6 PD130CT17	6567.75	6756.00	USD	-28,237.50	
	NET 0 AVG BGHT 5892.22 SOLD 6344.88				181,062.50	0.00
17JUL17	S 5 PD170CT17	6011.50	6759.20	USD	-93,462.50	
03AUG17	B 5 PD170CT17	6349.20	6759.20	USD	51,250.00	
	NET 0 AVG BGHT 6349.20 SOLD 6011.50				-42,212.50	0.00
18JUL17	S 5 PD180CT17	6000.50	6760.00	USD	-94,937.50	
18JUL17	S 5 PD180CT17	6001.50	6760.00	USD	-94,812.50	
18JUL17	S 5 PD180CT17	6004.00	6760.00	USD	-94,500.00	
18JUL17	B 5 PD180CT17	5998.00	6760.00	USD	95,250.00	
03AUG17	B 10 PD180CT17	6349.20	6760.00	USD	102,700.00	
	NET 0 AVG BGHT 6232.13 SOLD 6002.00				-86,300.00	0.00
19JUL17	B 5 PD190CT17	6001.00	6760.88	USD	94,985.00	
19JUL17	S 10 PD190CT17	6000.00	6760.88	USD	-190,220.00	
19JUL17	S 10 PD190CT17	6000.50	6760.88	USD	-190,095.00	
19JUL17	B 5 PD190CT17	6001.50	6760.88	USD	94,922.50	
03AUG17	B 10 PD190CT17	6348.00	6760.88	USD	103,220.00	
	NET 0 AVG BGHT 6174.63 SOLD 6000.25				-87,187.50	0.00
20JUL17	B 5 PD200CT17	5968.00	6761.75	USD	99,218.75	
20JUL17	B 1 PD200CT17	5986.50	6761.75	USD	19,381.25	
20JUL17	B 4 PD200CT17	5987.00	6761.75	USD	77,475.00	
03AUG17	S 10 PD200CT17	6346.80	6761.75	USD	-103,737.50	
	NET 0 AVG BGHT 5977.45 SOLD 6346.80				92,337.50	0.00
10AUG17	S 4 PD10NOV17	6420.00	6777.50	USD	-35,750.00	
10AUG17	S 2 PD10NOV17	6420.50	6777.50	USD	-17,850.00	
22AUG17	B 6 PD10NOV17	6579.45	6777.50	USD	29,707.50	
	NET 0 AVG BGHT 6579.45 SOLD 6420.17				-23,892.50	0.00
	<u>CMX GOLD 100 CMX</u>					
28JUL17	B 1 DEC17	1270.4	1288.9	USD	1,850.00	
09OCT17	B 4 DEC17	1285.0	1288.9	USD	1,560.00	
	NET +5 AVG BGHT 1282.1				3,410.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20JUL17	B 5 PD200CT17	2223.00	2555.04	USD	41,505.00	
22AUG17	S 5 PD200CT17	2408.25	2555.04	USD	-18,348.75	
	NET 0 AVG BGHT 2223.00 SOLD 2408.25				23,156.25	0.00
07AUG17	S 5 PD07NOV17	2355.00	2557.61	USD	-25,326.25	
07AUG17	S 22 PD07NOV17	2356.00	2557.61	USD	-110,885.50	
07AUG17	S 2 PD07NOV17	2356.50	2557.61	USD	-10,055.50	
07AUG17	S 11 PD07NOV17	2357.00	2557.61	USD	-55,167.75	
07AUG17	B 1 PD07NOV17	2358.50	2557.61	USD	4,977.75	
07AUG17	S 3 PD07NOV17	2382.50	2557.61	USD	-13,133.25	
22AUG17	B 42 PD07NOV17	2412.95	2557.61	USD	151,893.00	
	NET 0 AVG BGHT 2411.68 SOLD 2358.01				-57,697.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 5 PD170CT17	9600.00	11064.20	USD	-43,926.00	
03AUG17	B 5 PD170CT17	10371.80	11064.20	USD	20,772.00	
	NET 0 AVG BGHT 10371.80 SOLD 9600.00				-23,154.00	0.00
26JUL17	S 5 PD260CT17	10005.00	11072.71	USD	-32,031.30	
26JUL17	S 5 PD260CT17	10010.00	11072.71	USD	-31,881.30	
26JUL17	S 25 PD260CT17	10015.00	11072.71	USD	-158,656.50	
26JUL17	S 5 PD260CT17	10020.00	11072.71	USD	-31,581.30	
26JUL17	S 5 PD260CT17	10050.00	11072.71	USD	-30,681.30	

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03AUG17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	B 45 PD26OCT17	10378.72	11072.71	USD	187,377.30	
	NET 0AVG BGHT 10378.72 SOLD 10017.78				-97,454.40	0.00
11SEP17	B 4 PD11DEC17	11485.00	11112.06	USD	-8,950.56	
11SEP17	B 4 PD11DEC17	11515.00	11112.06	USD	-9,670.56	
11SEP17	B 4 PD11DEC17	11500.00	11112.06	USD	-9,310.56	
	NET +12AVG BGHT 11500.00				-27,931.68	0.00
21SEP17	B 2 PD21DEC17	10925.00	11119.73	USD	2,336.76	
21SEP17	B 2 PD21DEC17	10945.00	11119.73	USD	2,096.76	
21SEP17	B 2 PD21DEC17	11065.00	11119.73	USD	656.76	
21SEP17	S 1 PD21DEC17	11065.00	11119.73	USD	-328.38	
	NET +5AVG BGHT 10978.33 SOLD 11065.00				4,761.90	0.00
29AUG17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD29NOV17	3099.50	3234.00	USD	-3,362.50	
29AUG17	S 1 PD29NOV17	3094.50	3234.00	USD	-3,487.50	
29AUG17	B 1 PD29NOV17	3106.50	3234.00	USD	3,187.50	
29AUG17	B 2 PD29NOV17	3113.00	3234.00	USD	6,050.00	
29AUG17	S 1 PD29NOV17	3112.50	3234.00	USD	-3,037.50	
	NET 0AVG BGHT 3110.83 SOLD 3102.17				-650.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-16,047.25
LME Copper Grade A 25	FUTURES	33,807.50
CMX Gold	FUTURES	-641,040.00
LME Lead 25 tonnes. This is an	FUTURES	-34,541.25
LME Nickel 6 tonnes. This is	FUTURES	-1,277,438.40
LME Zinc 25 tonnes. This is	FUTURES	-650.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	3,410.00	3,410.00	3,410.00	3,410.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-16,047.25	-16,047.25		
COPL - Futures USD	33,807.50	33,807.50		
LEAD - Futures USD	-34,541.25	-34,541.25		
NKL - Futures USD	-143,778.18	-143,778.18		
ZINC - Futures USD	-650.00	-650.00	-161,209.18	-161,209.18

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	992,109.45	992,109.45
Variation Margins		
- Futures	3,410.00	3,410.00
- Options	0.00	0.00
TOTAL EQUITY	995,519.45	995,519.45
Initial Margins	-299,821.18	-299,821.18
Excess/(Shortage)	695,698.27	695,698.27
FOR INFORMATION ONLY		
Forward Unrealised P&L	-161,209.18	-161,209.18
Net Liquidating Value	834,310.27	834,310.27

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
10OCT17	S 1 NOV17	50.51	51.30	USD	-790.00	
10OCT17	S 1 NOV17	50.54	51.30	USD	-760.00	
10OCT17	S 1 NOV17	50.55	51.30	USD	-750.00	
11OCT17	S 1 NOV17	51.01	51.30	USD	-290.00	
	NET -4AVG SOLD 50.65				-2,590.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 1 PD08NOV17	6395.50	6775.65	USD	9,503.75	
08AUG17	S 2 PD08NOV17	6395.50	6775.65	USD	-19,007.50	
08AUG17	B 1 PD08NOV17	6394.00	6775.65	USD	9,541.25	
	NET 0AVG BGHT 6394.75 SOLD 6395.50				37.50	0.00
09AUG17	S 1 PD09NOV17	6487.00	6776.58	USD	-7,239.50	
09AUG17	B 1 PD09NOV17	6495.00	6776.58	USD	7,039.50	
	NET 0AVG BGHT 6495.00 SOLD 6487.00				-200.00	0.00
24AUG17	S 1 PD24NOV17	6720.50	6780.52	USD	-1,500.50	
24AUG17	S 3 PD24NOV17	6721.50	6780.52	USD	-4,426.50	
24AUG17	B 1 PD24NOV17	6706.50	6780.52	USD	1,850.50	
24AUG17	B 1 PD24NOV17	6705.50	6780.52	USD	1,875.50	
24AUG17	B 2 PD24NOV17	6699.00	6780.52	USD	4,076.00	
24AUG17	B 1 PD24NOV17	6698.00	6780.52	USD	2,063.00	
24AUG17	S 1 PD24NOV17	6721.50	6780.52	USD	-1,475.50	
25AUG17	B 1 PD24NOV17	6728.00	6780.52	USD	1,313.00	
25AUG17	B 1 PD24NOV17	6725.50	6780.52	USD	1,375.50	
25AUG17	B 2 PD24NOV17	6723.50	6780.52	USD	2,851.00	
25AUG17	B 1 PD24NOV17	6722.50	6780.52	USD	1,450.50	
25AUG17	S 5 PD24NOV17	6680.00	6780.52	USD	-12,565.00	
	NET 0AVG BGHT 6713.10 SOLD 6700.65				-3,112.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 1 DEC17	309.500	309.550	USD	-12.50	
11OCT17	S 1 DEC17	309.550	309.550	USD	0.00	
	NET -2AVG SOLD 309.525				-12.50	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	202,610.00
LME Copper Grade A 25	FUTURES	-3,275.00
CMX Copper	FUTURES	154,762.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-2,590.00	-2,590.00		
COPR - Futures USD	-12.50	-12.50	-2,602.50	-2,602.50
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	-3,275.00	-3,275.00	-3,275.00	-3,275.00

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-2,281.30	-2,281.30
Variation Margins	-2,602.50	-2,602.50
- Futures	0.00	0.00
- Options		
TOTAL EQUITY	-4,883.80	-4,883.80
Initial Margins	-20,105.00	-20,105.00
Excess/(Shortage)	-24,988.80	-24,988.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	-3,275.00	-3,275.00
Net Liquidating Value	-8,158.80	-8,158.80

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14JUL17	S	1	PD130CT17	1925.50	2104.75	USD	-4,481.25	
14JUL17	S	1	PD130CT17	1925.00	2104.75	USD	-4,493.75	
13SEP17	B	2	PD130CT17	2088.83	2104.75	USD	796.00	
	NET	0 AVG BGHT 2088.83	SOLD 1925.25				-8,179.00	0.00
17JUL17	B	1	PD170CT17	1914.00	2106.75	USD	4,818.75	
13SEP17	B	1	PD170CT17	2090.37	2106.75	USD	409.50	
19SEP17	S	2	PD170CT17	2103.45	2106.75	USD	-165.00	
	NET	0 AVG BGHT 2002.19	SOLD 2103.45				5,063.25	0.00
18JUL17	S	1	PD180CT17	1917.00	2107.25	USD	-4,756.25	
18JUL17	S	1	PD180CT17	1910.00	2107.25	USD	-4,931.25	
18JUL17	B	1	PD180CT17	1926.00	2107.25	USD	4,531.25	
18JUL17	B	1	PD180CT17	1931.50	2107.25	USD	4,393.75	
	NET	0 AVG BGHT 1928.75	SOLD 1913.50				-762.50	0.00
20JUL17	B	1	PD200CT17	1927.50	2108.25	USD	4,518.75	
13SEP17	S	1	PD200CT17	2091.59	2108.25	USD	-416.50	
	NET	0 AVG BGHT 1927.50	SOLD 2091.59				4,102.25	0.00
26JUL17	S	1	PD260CT17	1943.50	2111.25	USD	-4,193.75	
26JUL17	S	1	PD260CT17	1953.00	2111.25	USD	-3,956.25	
26JUL17	B	1	PD260CT17	1941.50	2111.25	USD	4,243.75	
26JUL17	S	1	PD260CT17	1947.50	2111.25	USD	-4,093.75	
26JUL17	S	1	PD260CT17	1956.50	2111.25	USD	-3,868.75	
26JUL17	S	1	PD260CT17	1956.00	2111.25	USD	-3,881.25	
26JUL17	B	1	PD260CT17	1949.50	2111.25	USD	4,043.75	
26JUL17	B	1	PD260CT17	1943.50	2111.25	USD	4,193.75	
26JUL17	B	1	PD260CT17	1938.00	2111.25	USD	4,331.25	
08AUG17	B	2	PD260CT17	2027.40	2111.25	USD	4,192.50	
09AUG17	S	1	PD260CT17	2025.00	2111.25	USD	-2,156.25	
	NET	0 AVG BGHT 1971.22	SOLD 1963.58				-1,145.00	0.00
27JUL17	B	1	PD270CT17	1944.00	2111.00	USD	4,175.00	
27JUL17	S	1	PD270CT17	1943.50	2111.00	USD	-4,187.50	
27JUL17	S	2	PD270CT17	1950.00	2111.00	USD	-8,050.00	
27JUL17	B	2	PD270CT17	1937.50	2111.00	USD	8,675.00	
28JUL17	S	1	PD270CT17	1931.00	2111.00	USD	-4,500.00	
28JUL17	S	1	PD270CT17	1929.00	2111.00	USD	-4,550.00	
28JUL17	B	1	PD270CT17	1917.50	2111.00	USD	4,837.50	
28JUL17	B	1	PD270CT17	1917.00	2111.00	USD	4,850.00	
28JUL17	B	1	PD270CT17	1915.50	2111.00	USD	4,887.50	
28JUL17	S	1	PD270CT17	1925.00	2111.00	USD	-4,650.00	
28JUL17	B	1	PD270CT17	1913.50	2111.00	USD	4,937.50	
08AUG17	S	2	PD270CT17	2027.40	2111.00	USD	-4,180.00	
09AUG17	B	1	PD270CT17	2025.38	2111.00	USD	2,140.50	
	NET	0 AVG BGHT 1938.49	SOLD 1960.41				4,385.50	0.00
02AUG17	B	1	PD02NOV17	1920.50	2111.13	USD	4,765.75	
02AUG17	B	2	PD02NOV17	1923.00	2111.13	USD	9,406.50	
02AUG17	B	1	PD02NOV17	1929.00	2111.13	USD	4,553.25	
02AUG17	B	1	PD02NOV17	1924.50	2111.13	USD	4,665.75	
02AUG17	B	1	PD02NOV17	1925.00	2111.13	USD	4,653.25	
02AUG17	B	4	PD02NOV17	1926.50	2111.13	USD	18,463.00	
08AUG17	S	12	PD02NOV17	2028.80	2111.13	USD	-24,699.00	
09AUG17	B	5	PD02NOV17	2026.25	2111.13	USD	10,610.00	
13SEP17	S	3	PD02NOV17	2097.04	2111.13	USD	-1,056.75	
	NET	0 AVG BGHT 1958.82	SOLD 2042.45				31,361.75	0.00
04AUG17	B	3	PD03NOV17	1918.00	2111.44	USD	14,508.00	
04AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
23AUG17	S	3	PD03NOV17	2093.36	2111.44	USD	-1,356.00	

OPEN POSITION STATEMENT



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SAP0094L

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
13SEP17	S	1	PD03NOV17	2097.46	2111.44	USD	-349.50	0.00	
	NET	0 AVG BGHT 1917.13	SOLD 2094.39				17,726.00		
07AUG17	S	1	PD07NOV17	1922.50	2112.69	USD	-4,754.75	0.00	
07AUG17	B	1	PD07NOV17	1917.50	2112.69	USD	4,879.75		
07AUG17	B	1	PD07NOV17	1919.00	2112.69	USD	4,842.25		
07AUG17	B	2	PD07NOV17	1918.00	2112.69	USD	9,734.50		
07AUG17	S	1	PD07NOV17	1924.00	2112.69	USD	-4,717.25		
07AUG17	S	1	PD07NOV17	1924.50	2112.69	USD	-4,704.75		
07AUG17	S	1	PD07NOV17	1926.50	2112.69	USD	-4,654.75		
07AUG17	S	1	PD07NOV17	1930.00	2112.69	USD	-4,567.25		
07AUG17	S	3	PD07NOV17	1929.00	2112.69	USD	-13,776.75		
07AUG17	S	2	PD07NOV17	1926.00	2112.69	USD	-9,334.50		
07AUG17	S	1	PD07NOV17	1925.50	2112.69	USD	-4,679.75		
07AUG17	S	1	PD07NOV17	1944.00	2112.69	USD	-4,217.25		
07AUG17	S	1	PD07NOV17	1969.00	2112.69	USD	-3,592.25		
07AUG17	S	1	PD07NOV17	1968.50	2112.69	USD	-3,604.75		
08AUG17	B	12	PD07NOV17	2030.00	2112.69	USD	24,807.00		
09AUG17	S	5	PD07NOV17	2026.94	2112.69	USD	-10,718.75		
23AUG17	S	1	PD07NOV17	2094.32	2112.69	USD	-459.25		
13SEP17	B	4	PD07NOV17	2099.34	2112.69	USD	1,335.00		
	NET	0 AVG BGHT 2021.49	SOLD 1965.13				-28,183.50		0.00
08AUG17	B	1	PD08NOV17	1982.50	2113.00	USD	3,262.50		0.00
08AUG17	S	1	PD08NOV17	1984.00	2113.00	USD	-3,225.00		
08AUG17	B	1	PD08NOV17	1974.50	2113.00	USD	3,462.50		
08AUG17	B	1	PD08NOV17	1977.00	2113.00	USD	3,400.00		
08AUG17	B	1	PD08NOV17	1979.50	2113.00	USD	3,337.50		
08AUG17	S	1	PD08NOV17	1995.00	2113.00	USD	-2,950.00		
08AUG17	S	3	PD08NOV17	1994.00	2113.00	USD	-8,925.00		
08AUG17	B	2	PD08NOV17	1999.00	2113.00	USD	5,700.00		
08AUG17	B	2	PD08NOV17	1998.00	2113.00	USD	5,750.00		
08AUG17	B	3	PD08NOV17	1999.50	2113.00	USD	8,512.50		
08AUG17	B	2	PD08NOV17	2000.00	2113.00	USD	5,650.00		
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50		
08AUG17	B	1	PD08NOV17	2001.50	2113.00	USD	2,787.50		
08AUG17	B	1	PD08NOV17	2004.50	2113.00	USD	2,712.50		
08AUG17	B	1	PD08NOV17	2010.00	2113.00	USD	2,575.00		
08AUG17	B	4	PD08NOV17	2018.00	2113.00	USD	9,500.00		
08AUG17	B	1	PD08NOV17	2022.00	2113.00	USD	2,275.00		
08AUG17	S	1	PD08NOV17	2027.00	2113.00	USD	-2,150.00		
08AUG17	B	1	PD08NOV17	2029.00	2113.00	USD	2,100.00		
08AUG17	S	1	PD08NOV17	2028.50	2113.00	USD	-2,112.50		
23AUG17	S	2	PD08NOV17	2094.56	2113.00	USD	-922.00		
13SEP17	S	6	PD08NOV17	2099.56	2113.00	USD	-2,016.00		
13SEP17	S	2	PD08NOV17	2099.36	2113.00	USD	-682.00		
	NET	+6 AVG BGHT 2002.11	SOLD 2058.92				40,780.00	0.00	
09AUG17	B	1	PD09NOV17	2037.00	2113.46	USD	1,911.50	0.00	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50		
	NET	0 AVG BGHT 2037.00	SOLD 2037.00				0.00	0.00	
10AUG17	B	1	PD10NOV17	2040.00	2113.93	USD	1,848.25	0.00	
10AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75		
10AUG17	S	1	PD10NOV17	2038.00	2113.93	USD	-1,898.25		
10AUG17	B	1	PD10NOV17	2038.50	2113.93	USD	1,885.75		
11AUG17	S	2	PD10NOV17	2021.50	2113.93	USD	-4,621.50		
11AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75		
23AUG17	B	2	PD10NOV17	2095.25	2113.93	USD	934.00		
13SEP17	B	1	PD10NOV17	2100.40	2113.93	USD	338.25		

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2073.88	SOLD	2031.40		-5,310.00	0.00
14AUG17	S	1	PD14NOV17		2030.50	2115.79	USD	-2,132.25	
14AUG17	S	1	PD14NOV17		2037.00	2115.79	USD	-1,969.75	
14AUG17	S	1	PD14NOV17		2033.00	2115.79	USD	-2,069.75	
14AUG17	S	1	PD14NOV17		2033.50	2115.79	USD	-2,057.25	
14AUG17	B	1	PD14NOV17		2031.00	2115.79	USD	2,119.75	
14AUG17	S	1	PD14NOV17		2033.50	2115.79	USD	-2,057.25	
23AUG17	B	2	PD14NOV17		2096.21	2115.79	USD	979.00	
13SEP17	B	2	PD14NOV17		2102.08	2115.79	USD	685.50	
	NET	0 AVG	BGHT	2085.52	SOLD	2033.50		-6,502.00	0.00
15AUG17	S	2	PD15NOV17		2023.00	2116.25	USD	-4,662.50	
15AUG17	S	1	PD15NOV17		2028.00	2116.25	USD	-2,206.25	
15AUG17	S	1	PD15NOV17		2030.00	2116.25	USD	-2,156.25	
23AUG17	B	2	PD15NOV17		2096.45	2116.25	USD	990.00	
13SEP17	S	1	PD15NOV17		2102.30	2116.25	USD	-348.75	
13SEP17	B	1	PD15NOV17		2102.70	2116.25	USD	338.75	
19SEP17	B	2	PD15NOV17		2115.45	2116.25	USD	40.00	
	NET	0 AVG	BGHT	2105.30	SOLD	2041.26		-8,005.00	0.00
16AUG17	B	1	PD16NOV17		2053.50	2116.56	USD	1,576.50	
16AUG17	B	1	PD16NOV17		2054.00	2116.56	USD	1,564.00	
16AUG17	B	1	PD16NOV17		2055.00	2116.56	USD	1,539.00	
16AUG17	B	1	PD16NOV17		2067.50	2116.56	USD	1,226.50	
16AUG17	S	1	PD16NOV17		2090.50	2116.56	USD	-651.50	
16AUG17	B	1	PD16NOV17		2098.00	2116.56	USD	464.00	
16AUG17	B	1	PD16NOV17		2096.50	2116.56	USD	501.50	
16AUG17	B	1	PD16NOV17		2089.50	2116.56	USD	676.50	
13SEP17	S	2	PD16NOV17		2102.57	2116.56	USD	-699.50	
13SEP17	S	1	PD16NOV17		2102.77	2116.56	USD	-344.75	
	NET	+3 AVG	BGHT	2073.43	SOLD	2099.60		5,852.25	0.00
17AUG17	B	1	PD17NOV17		2096.00	2116.87	USD	521.75	
17AUG17	S	1	PD17NOV17		2100.00	2116.87	USD	-421.75	
17AUG17	S	1	PD17NOV17		2106.00	2116.87	USD	-271.75	
13SEP17	B	1	PD17NOV17		2103.24	2116.87	USD	340.75	
	NET	0 AVG	BGHT	2099.62	SOLD	2103.00		169.00	0.00
21AUG17	B	1	PD21NOV17		2072.00	2118.10	USD	1,152.50	
	NET	+1 AVG	BGHT	2072.00				1,152.50	0.00
22AUG17	S	1	PD22NOV17		2075.00	2118.41	USD	-1,085.25	
22AUG17	S	1	PD22NOV17		2077.50	2118.41	USD	-1,022.75	
22AUG17	S	1	PD22NOV17		2068.00	2118.41	USD	-1,260.25	
22AUG17	S	1	PD22NOV17		2070.50	2118.41	USD	-1,197.75	
13SEP17	B	4	PD22NOV17		2104.58	2118.41	USD	1,383.00	
	NET	0 AVG	BGHT	2104.58	SOLD	2072.75		-3,183.00	0.00
23AUG17	B	1	PD24NOV17		2084.00	2119.03	USD	875.75	
23AUG17	B	1	PD24NOV17		2089.00	2119.03	USD	750.75	
23AUG17	B	1	PD24NOV17		2094.50	2119.03	USD	613.25	
24AUG17	S	1	PD24NOV17		2103.00	2119.03	USD	-400.75	
24AUG17	S	1	PD24NOV17		2102.00	2119.03	USD	-425.75	
24AUG17	S	1	PD24NOV17		2100.00	2119.03	USD	-475.75	
24AUG17	S	1	PD24NOV17		2091.00	2119.03	USD	-700.75	
24AUG17	S	2	PD24NOV17		2101.50	2119.03	USD	-876.50	
24AUG17	S	1	PD24NOV17		2120.00	2119.03	USD	24.25	
25AUG17	B	1	PD24NOV17		2096.00	2119.03	USD	575.75	
25AUG17	B	1	PD24NOV17		2097.00	2119.03	USD	550.75	
25AUG17	B	1	PD24NOV17		2097.50	2119.03	USD	538.25	
25AUG17	S	1	PD24NOV17		2086.00	2119.03	USD	-825.75	

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13SEP17	B	2	PD24NOV17	2105.11	2119.03	USD	696.00	
	NET	0 AVG	BGHT 2096.03	SOLD 2100.63			919.50	0.00
29AUG17	B	1	PD29NOV17	2076.50	2120.57	USD	1,101.75	
29AUG17	S	1	PD29NOV17	2098.50	2120.57	USD	-551.75	
29AUG17	S	2	PD29NOV17	2095.00	2120.57	USD	-1,278.50	
29AUG17	S	1	PD29NOV17	2096.00	2120.57	USD	-614.25	
29AUG17	S	1	PD29NOV17	2092.00	2120.57	USD	-714.25	
07SEP17	B	4	PD29NOV17	2105.00	2120.57	USD	1,557.00	
	NET	0 AVG	BGHT 2099.30	SOLD 2095.30			-500.00	0.00
31AUG17	B	1	PD30NOV17	2092.00	2120.88	USD	722.00	
31AUG17	B	2	PD30NOV17	2097.00	2120.88	USD	1,194.00	
31AUG17	B	2	PD30NOV17	2098.00	2120.88	USD	1,144.00	
31AUG17	S	1	PD30NOV17	2131.00	2120.88	USD	253.00	
31AUG17	B	1	PD30NOV17	2127.00	2120.88	USD	-153.00	
07SEP17	S	4	PD30NOV17	2105.05	2120.88	USD	-1,583.00	
	NET	+1 AVG	BGHT 2101.50	SOLD 2110.24			1,577.00	0.00
01SEP17	B	1	PD01DEC17	2127.00	2121.19	USD	-145.25	
13SEP17	S	1	PD01DEC17	2106.79	2121.19	USD	-360.00	
	NET	0 AVG	BGHT 2127.00	SOLD 2106.79			-505.25	0.00
05SEP17	S	1	PD05DEC17	2117.00	2122.43	USD	-135.75	
05SEP17	S	1	PD05DEC17	2098.00	2122.43	USD	-610.75	
13SEP17	B	2	PD05DEC17	2107.86	2122.43	USD	728.50	
	NET	0 AVG	BGHT 2107.86	SOLD 2107.50			-18.00	0.00
06SEP17	B	1	PD06DEC17	2095.50	2122.74	USD	681.00	
06SEP17	S	1	PD06DEC17	2086.50	2122.74	USD	-906.00	
	NET	0 AVG	BGHT 2095.50	SOLD 2086.50			-225.00	0.00
07SEP17	S	1	PD07DEC17	2117.00	2123.04	USD	-151.00	
	NET	-1 AVG	SOLD 2117.00				-151.00	0.00
08SEP17	S	1	PD08DEC17	2109.50	2123.35	USD	-346.25	
08SEP17	S	1	PD08DEC17	2110.00	2123.35	USD	-333.75	
08SEP17	S	1	PD08DEC17	2105.50	2123.35	USD	-446.25	
08SEP17	S	1	PD08DEC17	2101.00	2123.35	USD	-558.75	
08SEP17	S	1	PD08DEC17	2094.50	2123.35	USD	-721.25	
08SEP17	S	1	PD08DEC17	2095.50	2123.35	USD	-696.25	
08SEP17	S	2	PD08DEC17	2096.50	2123.35	USD	-1,342.50	
08SEP17	S	1	PD08DEC17	2096.00	2123.35	USD	-683.75	
13SEP17	B	1	PD08DEC17	2108.86	2123.35	USD	362.25	
	NET	-8 AVG	BGHT 2108.86	SOLD 2100.56			-4,766.50	0.00
11SEP17	B	1	PD11DEC17	2102.50	2124.28	USD	544.50	
11SEP17	B	1	PD11DEC17	2126.00	2124.28	USD	-43.00	
11SEP17	B	1	PD11DEC17	2122.50	2124.28	USD	44.50	
13SEP17	S	3	PD11DEC17	2109.26	2124.28	USD	-1,126.50	
	NET	0 AVG	BGHT 2117.00	SOLD 2109.26			-580.50	0.00
13SEP17	S	1	PD13DEC17	2141.50	2124.90	USD	415.00	
19SEP17	B	1	PD13DEC17	2123.28	2124.90	USD	40.50	
	NET	0 AVG	BGHT 2123.28	SOLD 2141.50			455.50	0.00
14SEP17	B	1	PD14DEC17	2101.00	2125.21	USD	605.25	
19SEP17	S	1	PD14DEC17	2123.37	2125.21	USD	-46.00	
	NET	0 AVG	BGHT 2101.00	SOLD 2123.37			559.25	0.00
15SEP17	S	1	PD15DEC17	2088.00	2125.51	USD	-937.75	
15SEP17	S	1	PD15DEC17	2095.50	2125.51	USD	-750.25	
19SEP17	B	2	PD15DEC17	2123.85	2125.51	USD	83.00	
	NET	0 AVG	BGHT 2123.85	SOLD 2091.75			-1,605.00	0.00
18SEP17	B	1	PD18DEC17	2097.00	2126.44	USD	736.00	

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18SEP17	S	1	PD18DEC17	2090.50	2126.44	USD	-898.50	
18SEP17	B	1	PD18DEC17	2090.00	2126.44	USD	911.00	
18SEP17	B	1	PD18DEC17	2092.50	2126.44	USD	848.50	
19SEP17	S	2	PD18DEC17	2124.51	2126.44	USD	-96.50	
	NET	0 AVG BGHT 2093.17	SOLD 2113.17				1,500.50	0.00
19SEP17	S	1	PD19DEC17	2127.00	2126.75	USD	6.25	
19SEP17	S	1	PD19DEC17	2124.00	2126.75	USD	-68.75	
	NET	-2 AVG SOLD 2125.50					-62.50	0.00
20SEP17	B	1	PD20DEC17	2123.00	2126.75	USD	93.75	
20SEP17	B	1	PD20DEC17	2150.00	2126.75	USD	-581.25	
20SEP17	B	1	PD20DEC17	2165.00	2126.75	USD	-956.25	
20SEP17	B	1	PD20DEC17	2157.50	2126.75	USD	-768.75	
20SEP17	S	1	PD20DEC17	2175.00	2126.75	USD	1,206.25	
20SEP17	B	1	PD20DEC17	2138.50	2126.75	USD	-293.75	
20SEP17	S	1	PD20DEC17	2164.00	2126.75	USD	931.25	
20SEP17	S	1	PD20DEC17	2181.00	2126.75	USD	1,356.25	
	NET	+2 AVG BGHT 2146.80	SOLD 2173.33				987.50	0.00
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	S	1	PD21DEC17	2162.50	2126.75	USD	893.75	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	S	1	PD21DEC17	2161.00	2126.75	USD	856.25	
21SEP17	S	1	PD21DEC17	2145.50	2126.75	USD	468.75	
21SEP17	B	1	PD21DEC17	2145.00	2126.75	USD	-456.25	
21SEP17	S	1	PD21DEC17	2171.00	2126.75	USD	1,106.25	
	NET	-3 AVG BGHT 2163.50	SOLD 2163.30				2,731.25	0.00
22SEP17	B	1	PD22DEC17	2138.50	2126.75	USD	-293.75	
22SEP17	S	1	PD22DEC17	2127.00	2126.75	USD	6.25	
22SEP17	S	1	PD22DEC17	2126.50	2126.75	USD	-6.25	
22SEP17	S	1	PD22DEC17	2126.00	2126.75	USD	-18.75	
22SEP17	B	1	PD22DEC17	2125.50	2126.75	USD	31.25	
22SEP17	B	1	PD22DEC17	2123.00	2126.75	USD	93.75	
22SEP17	B	1	PD22DEC17	2120.50	2126.75	USD	156.25	
22SEP17	S	1	PD22DEC17	2154.50	2126.75	USD	693.75	
22SEP17	S	1	PD22DEC17	2152.00	2126.75	USD	631.25	
	NET	-1 AVG BGHT 2126.88	SOLD 2137.20				1,293.75	0.00
25SEP17	B	1	PD27DEC17	2170.00	2127.29	USD	-1,067.75	
25SEP17	S	1	PD27DEC17	2161.00	2127.29	USD	842.75	
25SEP17	B	1	PD27DEC17	2151.00	2127.29	USD	-592.75	
25SEP17	B	1	PD27DEC17	2143.50	2127.29	USD	-405.25	
26SEP17	S	1	PD27DEC17	2158.50	2127.29	USD	780.25	
26SEP17	B	1	PD27DEC17	2127.00	2127.29	USD	7.25	
27SEP17	S	1	PD27DEC17	2140.50	2127.29	USD	330.25	
27SEP17	B	1	PD27DEC17	2126.50	2127.29	USD	19.75	
	NET	+2 AVG BGHT 2143.60	SOLD 2153.33				-85.50	0.00
28SEP17	S	1	PD28DEC17	2121.50	2127.39	USD	-147.25	
	NET	-1 AVG SOLD 2121.50					-147.25	0.00
09OCT17	B	1	PD09JAN18	2153.50	2129.62	USD	-597.00	
	NET	+1 AVG BGHT 2153.50					-597.00	0.00
11OCT17	S	1	PD11JAN18	2153.50	2130.00	USD	587.50	
	NET	-1 AVG SOLD 2153.50					587.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	2	PD12OCT17	5927.00	6755.20	USD	-41,410.00	
12JUL17	S	2	PD12OCT17	5928.50	6755.20	USD	-41,335.00	

OPEN POSITION STATEMENT



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SAP0094L

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5926.50	6755.20	USD	-20,717.50	
12JUL17	S	1	PD120CT17	5931.50	6755.20	USD	-20,592.50	
12JUL17	S	1	PD120CT17	5928.50	6755.20	USD	-20,667.50	
18JUL17	B	5	PD120CT17	6004.52	6755.20	USD	93,835.00	
13SEP17	B	1	PD120CT17	6525.81	6755.20	USD	5,734.75	
13SEP17	B	1	PD120CT17	6525.61	6755.20	USD	5,739.75	
	NET	0 AVG	BGHT 6153.43	SOLD 5928.21			-39,413.00	0.00
13JUL17	B	2	PD130CT17	5915.50	6756.00	USD	42,025.00	
13JUL17	S	2	PD130CT17	5913.50	6756.00	USD	-42,125.00	
13JUL17	B	1	PD130CT17	5882.00	6756.00	USD	21,850.00	
13JUL17	B	1	PD130CT17	5877.50	6756.00	USD	21,962.50	
13JUL17	B	1	PD130CT17	5878.50	6756.00	USD	21,937.50	
13JUL17	B	2	PD130CT17	5875.00	6756.00	USD	44,050.00	
13JUL17	B	1	PD130CT17	5876.50	6756.00	USD	21,987.50	
13JUL17	B	1	PD130CT17	5874.50	6756.00	USD	22,037.50	
18JUL17	S	5	PD130CT17	6004.73	6756.00	USD	-93,908.75	
13SEP17	S	1	PD130CT17	6525.98	6756.00	USD	-5,750.50	
05OCT17	S	1	PD130CT17	6661.81	6756.00	USD	-2,354.75	
	NET	0 AVG	BGHT 5885.56	SOLD 6115.38			51,711.00	0.00
17JUL17	B	1	PD170CT17	5950.00	6759.20	USD	20,230.00	
17JUL17	S	1	PD170CT17	5977.00	6759.20	USD	-19,555.00	
17JUL17	S	1	PD170CT17	5981.00	6759.20	USD	-19,455.00	
17JUL17	S	1	PD170CT17	5918.00	6759.20	USD	-21,030.00	
17JUL17	B	1	PD170CT17	5921.50	6759.20	USD	20,942.50	
17JUL17	B	1	PD170CT17	5989.00	6759.20	USD	19,255.00	
17JUL17	S	1	PD170CT17	5984.00	6759.20	USD	-19,380.00	
17JUL17	B	1	PD170CT17	6010.00	6759.20	USD	18,730.00	
17JUL17	S	1	PD170CT17	6018.00	6759.20	USD	-18,530.00	
19SEP17	B	1	PD170CT17	6508.25	6759.20	USD	6,273.75	
	NET	0 AVG	BGHT 6075.75	SOLD 5975.60			-12,518.75	0.00
18JUL17	S	1	PD180CT17	5989.50	6760.00	USD	-19,262.50	
18JUL17	B	1	PD180CT17	5995.00	6760.00	USD	19,125.00	
18JUL17	S	1	PD180CT17	6005.00	6760.00	USD	-18,875.00	
19SEP17	B	1	PD180CT17	6508.75	6760.00	USD	6,281.25	
	NET	0 AVG	BGHT 6251.88	SOLD 5997.25			-12,731.25	0.00
19JUL17	S	5	PD190CT17	5999.50	6760.88	USD	-95,172.50	
19JUL17	S	1	PD190CT17	6000.50	6760.88	USD	-19,009.50	
19JUL17	B	1	PD190CT17	6008.00	6760.88	USD	18,822.00	
19JUL17	B	1	PD190CT17	5997.00	6760.88	USD	19,097.00	
19JUL17	B	1	PD190CT17	5996.00	6760.88	USD	19,122.00	
21JUL17	B	5	PD190CT17	6005.20	6760.88	USD	94,460.00	
19SEP17	S	2	PD190CT17	6508.75	6760.88	USD	-12,606.50	
	NET	0 AVG	BGHT 6003.38	SOLD 6126.94			24,712.50	0.00
20JUL17	B	1	PD200CT17	5986.50	6761.75	USD	19,381.25	
20JUL17	S	1	PD200CT17	5973.00	6761.75	USD	-19,718.75	
21JUL17	S	1	PD200CT17	6001.00	6761.75	USD	-19,018.75	
21JUL17	S	1	PD200CT17	5991.50	6761.75	USD	-19,256.25	
19SEP17	B	2	PD200CT17	6509.75	6761.75	USD	12,600.00	
	NET	0 AVG	BGHT 6335.33	SOLD 5988.50			-26,012.50	0.00
24JUL17	S	1	PD240CT17	5994.00	6765.25	USD	-19,281.25	
24JUL17	B	1	PD240CT17	5995.00	6765.25	USD	19,256.25	
24JUL17	S	1	PD240CT17	5995.00	6765.25	USD	-19,256.25	
24JUL17	S	1	PD240CT17	5999.00	6765.25	USD	-19,156.25	
24JUL17	S	1	PD240CT17	6016.00	6765.25	USD	-18,731.25	
24JUL17	S	1	PD240CT17	6009.00	6765.25	USD	-18,906.25	

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24JUL17	S	1	PD240CT17	6015.50	6765.25	USD	-18,743.75	
24JUL17	S	1	PD240CT17	6027.00	6765.25	USD	-18,456.25	
24JUL17	S	1	PD240CT17	6027.50	6765.25	USD	-18,443.75	
08AUG17	B	10	PD240CT17	6474.27	6765.25	USD	72,745.00	
09AUG17	S	5	PD240CT17	6448.51	6765.25	USD	-39,592.50	
19SEP17	B	2	PD240CT17	6512.75	6765.25	USD	12,625.00	
	NET	0 AVG	BGHT 6443.32	SOLD 6178.89			-85,941.25	0.00
25JUL17	S	1	PD250CT17	6050.00	6765.68	USD	-17,892.00	
25JUL17	S	1	PD250CT17	6071.00	6765.68	USD	-17,367.00	
25JUL17	B	1	PD250CT17	6098.50	6765.68	USD	16,679.50	
25JUL17	B	1	PD250CT17	6099.50	6765.68	USD	16,654.50	
25JUL17	S	1	PD250CT17	6178.00	6765.68	USD	-14,692.00	
25JUL17	S	1	PD250CT17	6218.50	6765.68	USD	-13,679.50	
25JUL17	S	1	PD250CT17	6194.50	6765.68	USD	-14,279.50	
25JUL17	S	1	PD250CT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S	1	PD250CT17	6202.00	6765.68	USD	-14,092.00	
25JUL17	B	2	PD250CT17	6206.00	6765.68	USD	27,984.00	
25JUL17	S	1	PD250CT17	6198.50	6765.68	USD	-14,179.50	
25JUL17	B	2	PD250CT17	6210.00	6765.68	USD	27,784.00	
08AUG17	B	10	PD250CT17	6474.66	6765.68	USD	72,755.00	
09AUG17	S	5	PD250CT17	6448.94	6765.68	USD	-39,592.50	
19SEP17	S	3	PD250CT17	6512.91	6765.68	USD	-18,957.75	
	NET	0 AVG	BGHT 6361.04	SOLD 6318.46			-17,029.25	0.00
26JUL17	S	1	PD260CT17	6370.00	6766.11	USD	-9,902.75	
26JUL17	B	1	PD260CT17	6383.00	6766.11	USD	9,577.75	
	NET	0 AVG	BGHT 6383.00	SOLD 6370.00			-325.00	0.00
27JUL17	S	1	PD270CT17	6341.50	6766.54	USD	-10,626.00	
27JUL17	S	1	PD270CT17	6342.50	6766.54	USD	-10,601.00	
27JUL17	S	1	PD270CT17	6353.50	6766.54	USD	-10,326.00	
27JUL17	S	1	PD270CT17	6355.50	6766.54	USD	-10,276.00	
27JUL17	S	1	PD270CT17	6354.50	6766.54	USD	-10,301.00	
27JUL17	B	2	PD270CT17	6355.00	6766.54	USD	20,577.00	
27JUL17	B	3	PD270CT17	6360.00	6766.54	USD	30,490.50	
	NET	0 AVG	BGHT 6358.00	SOLD 6349.50			-1,062.50	0.00
08AUG17	B	1	PD08NOV17	6480.50	6775.65	USD	7,378.75	
08AUG17	S	1	PD08NOV17	6480.00	6775.65	USD	-7,391.25	
	NET	0 AVG	BGHT 6480.50	SOLD 6480.00			-12.50	0.00
10AUG17	S	1	PD10NOV17	6414.50	6777.50	USD	-9,075.00	
10AUG17	B	1	PD10NOV17	6421.00	6777.50	USD	8,912.50	
11AUG17	B	1	PD10NOV17	6400.50	6777.50	USD	9,425.00	
11AUG17	B	1	PD10NOV17	6346.00	6777.50	USD	10,787.50	
11AUG17	S	1	PD10NOV17	6366.00	6777.50	USD	-10,287.50	
11AUG17	S	1	PD10NOV17	6367.00	6777.50	USD	-10,262.50	
11AUG17	S	1	PD10NOV17	6362.00	6777.50	USD	-10,387.50	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	1	PD10NOV17	6371.00	6777.50	USD	-10,162.50	
11AUG17	S	3	PD10NOV17	6361.00	6777.50	USD	-31,237.50	
11AUG17	S	1	PD10NOV17	6346.00	6777.50	USD	-10,787.50	
23AUG17	B	4	PD10NOV17	6564.00	6777.50	USD	21,350.00	
19SEP17	B	3	PD10NOV17	6521.58	6777.50	USD	19,194.00	
	NET	0 AVG	BGHT 6498.82	SOLD 6368.00			-32,706.00	0.00
14AUG17	S	1	PD14NOV17	6406.00	6776.83	USD	-9,270.75	
14AUG17	S	1	PD14NOV17	6412.00	6776.83	USD	-9,120.75	
14AUG17	S	2	PD14NOV17	6405.50	6776.83	USD	-18,566.50	
14AUG17	S	1	PD14NOV17	6400.00	6776.83	USD	-9,420.75	
23AUG17	B	3	PD14NOV17	6565.50	6776.83	USD	15,849.75	

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19SEP17	B	2	PD14NOV17	6524.12	6776.83	USD	12,635.50	
	NET	0 AVG BGHT 6548.95		SOLD 6405.80			-17,893.50	0.00
15AUG17	B	1	PD15NOV17	6420.00	6776.50	USD	8,912.50	
15AUG17	S	1	PD15NOV17	6416.50	6776.50	USD	-9,000.00	
	NET	0 AVG BGHT 6420.00		SOLD 6416.50			-87.50	0.00
16AUG17	B	1	PD16NOV17	6521.00	6776.25	USD	6,381.25	
16AUG17	B	1	PD16NOV17	6514.00	6776.25	USD	6,556.25	
16AUG17	S	1	PD16NOV17	6544.00	6776.25	USD	-5,806.25	
	NET	+1 AVG BGHT 6517.50		SOLD 6544.00			7,131.25	0.00
17AUG17	B	1	PD17NOV17	6497.00	6776.78	USD	6,994.50	
18AUG17	B	1	PD17NOV17	6515.50	6776.78	USD	6,532.00	
18AUG17	B	1	PD17NOV17	6473.00	6776.78	USD	7,594.50	
18AUG17	B	2	PD17NOV17	6468.50	6776.78	USD	15,414.00	
18AUG17	B	2	PD17NOV17	6470.00	6776.78	USD	15,339.00	
18AUG17	B	1	PD17NOV17	6470.50	6776.78	USD	7,657.00	
23AUG17	S	7	PD17NOV17	6563.49	6776.78	USD	-37,325.75	
19SEP17	S	1	PD17NOV17	6524.48	6776.78	USD	-6,307.50	
	NET	0 AVG BGHT 6479.13		SOLD 6558.61			15,897.75	0.00
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	1	PD21NOV17	6571.50	6778.92	USD	5,185.50	
21AUG17	B	1	PD21NOV17	6580.50	6778.92	USD	4,960.50	
21AUG17	B	1	PD21NOV17	6565.00	6778.92	USD	5,348.00	
21AUG17	B	1	PD21NOV17	6571.00	6778.92	USD	5,198.00	
21AUG17	B	1	PD21NOV17	6590.50	6778.92	USD	4,710.50	
19SEP17	S	6	PD21NOV17	6526.42	6778.92	USD	-37,875.00	
	NET	0 AVG BGHT 6572.75		SOLD 6526.42			-6,949.50	0.00
22AUG17	B	1	PD22NOV17	6620.50	6779.45	USD	3,973.75	
22AUG17	S	1	PD22NOV17	6599.50	6779.45	USD	-4,498.75	
	NET	0 AVG BGHT 6620.50		SOLD 6599.50			-525.00	0.00
23AUG17	B	1	PD24NOV17	6562.50	6780.52	USD	5,450.50	
23AUG17	B	1	PD24NOV17	6553.50	6780.52	USD	5,675.50	
23AUG17	B	1	PD24NOV17	6569.00	6780.52	USD	5,288.00	
24AUG17	S	1	PD24NOV17	6606.00	6780.52	USD	-4,363.00	
24AUG17	B	1	PD24NOV17	6629.00	6780.52	USD	3,788.00	
24AUG17	S	1	PD24NOV17	6605.50	6780.52	USD	-4,375.50	
24AUG17	S	1	PD24NOV17	6631.00	6780.52	USD	-3,738.00	
24AUG17	S	1	PD24NOV17	6668.50	6780.52	USD	-2,800.50	
24AUG17	S	1	PD24NOV17	6710.50	6780.52	USD	-1,750.50	
24AUG17	S	1	PD24NOV17	6711.00	6780.52	USD	-1,738.00	
25AUG17	S	1	PD24NOV17	6706.50	6780.52	USD	-1,850.50	
19SEP17	B	3	PD24NOV17	6527.72	6780.52	USD	18,960.00	
	NET	0 AVG BGHT 6556.74		SOLD 6662.71			18,546.00	0.00
29AUG17	S	1	PD29NOV17	6755.50	6783.18	USD	-692.00	
19SEP17	B	1	PD29NOV17	6529.88	6783.18	USD	6,332.50	
	NET	0 AVG BGHT 6529.88		SOLD 6755.50			5,640.50	0.00
30AUG17	B	1	PD30NOV17	6772.00	6783.72	USD	293.00	
19SEP17	S	1	PD30NOV17	6530.12	6783.72	USD	-6,340.00	
	NET	0 AVG BGHT 6772.00		SOLD 6530.12			-6,047.00	0.00
01SEP17	B	1	PD01DEC17	6812.50	6784.25	USD	-706.25	
13SEP17	S	1	PD01DEC17	6548.45	6784.25	USD	-5,895.00	
	NET	0 AVG BGHT 6812.50		SOLD 6548.45			-6,601.25	0.00
04SEP17	B	2	PD04DEC17	6894.50	6785.75	USD	-5,437.50	
04SEP17	B	2	PD04DEC17	6893.00	6785.75	USD	-5,362.50	
04SEP17	B	1	PD04DEC17	6892.00	6785.75	USD	-2,656.25	

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04SEP17	S	3	PD04DEC17	6905.00	6785.75	USD	8,943.75	
04SEP17	B	3	PD04DEC17	6921.00	6785.75	USD	-10,143.75	
13SEP17	S	5	PD04DEC17	6549.74	6785.75	USD	-29,501.25	
	NET	0AVG BGHT 6903.75	SOLD 6682.96				-44,157.50	0.00
05SEP17	S	1	PD05DEC17	6932.00	6786.25	USD	3,643.75	
05SEP17	S	1	PD05DEC17	6930.50	6786.25	USD	3,606.25	
05SEP17	S	1	PD05DEC17	6923.00	6786.25	USD	3,418.75	
05SEP17	B	1	PD05DEC17	6941.50	6786.25	USD	-3,881.25	
05SEP17	S	1	PD05DEC17	6933.50	6786.25	USD	3,681.25	
05SEP17	S	1	PD05DEC17	6932.50	6786.25	USD	3,656.25	
05SEP17	B	1	PD05DEC17	6964.50	6786.25	USD	-4,456.25	
05SEP17	B	1	PD05DEC17	6962.00	6786.25	USD	-4,393.75	
05SEP17	S	1	PD05DEC17	6961.50	6786.25	USD	4,381.25	
05SEP17	B	2	PD05DEC17	6963.50	6786.25	USD	-8,862.50	
05SEP17	S	1	PD05DEC17	6930.50	6786.25	USD	3,606.25	
05SEP17	B	1	PD05DEC17	6917.00	6786.25	USD	-3,268.75	
05SEP17	B	1	PD05DEC17	6892.50	6786.25	USD	-2,656.25	
05SEP17	B	1	PD05DEC17	6886.50	6786.25	USD	-2,506.25	
05SEP17	B	1	PD05DEC17	6885.50	6786.25	USD	-2,481.25	
05SEP17	B	1	PD05DEC17	6885.00	6786.25	USD	-2,468.75	
05SEP17	B	1	PD05DEC17	6886.00	6786.25	USD	-2,493.75	
05SEP17	B	2	PD05DEC17	6888.50	6786.25	USD	-5,112.50	
05SEP17	B	1	PD05DEC17	6874.00	6786.25	USD	-2,193.75	
13SEP17	S	1	PD05DEC17	6550.17	6786.25	USD	-5,902.00	
20SEP17	S	6	PD05DEC17	6521.50	6786.25	USD	-39,712.50	
	NET	0AVG BGHT 6914.18	SOLD 6730.19				-64,395.75	0.00
06SEP17	B	1	PD06DEC17	6879.50	6786.75	USD	-2,318.75	
06SEP17	S	1	PD06DEC17	6909.50	6786.75	USD	3,068.75	
06SEP17	S	1	PD06DEC17	6912.00	6786.75	USD	3,131.25	
06SEP17	S	2	PD06DEC17	6911.50	6786.75	USD	6,237.50	
06SEP17	S	2	PD06DEC17	6910.00	6786.75	USD	6,162.50	
06SEP17	S	1	PD06DEC17	6906.00	6786.75	USD	2,981.25	
06SEP17	S	1	PD06DEC17	6908.50	6786.75	USD	3,043.75	
06SEP17	S	2	PD06DEC17	6908.00	6786.75	USD	6,062.50	
06SEP17	S	1	PD06DEC17	6907.50	6786.75	USD	3,018.75	
06SEP17	B	1	PD06DEC17	6890.00	6786.75	USD	-2,581.25	
06SEP17	B	1	PD06DEC17	6891.00	6786.75	USD	-2,606.25	
06SEP17	B	1	PD06DEC17	6900.00	6786.75	USD	-2,831.25	
06SEP17	B	1	PD06DEC17	6896.50	6786.75	USD	-2,743.75	
06SEP17	B	1	PD06DEC17	6888.50	6786.75	USD	-2,543.75	
06SEP17	B	1	PD06DEC17	6875.50	6786.75	USD	-2,218.75	
06SEP17	B	1	PD06DEC17	6872.50	6786.75	USD	-2,143.75	
06SEP17	B	1	PD06DEC17	6892.00	6786.75	USD	-2,631.25	
13SEP17	B	1	PD06DEC17	6550.80	6786.75	USD	5,898.75	
20SEP17	B	1	PD06DEC17	6522.20	6786.75	USD	6,613.75	
	NET	0AVG BGHT 6823.50	SOLD 6909.32				23,600.00	0.00
07SEP17	B	1	PD07DEC17	6925.00	6787.23	USD	-3,444.25	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	1	PD07DEC17	6875.00	6787.23	USD	2,194.25	
07SEP17	S	1	PD07DEC17	6865.00	6787.23	USD	1,944.25	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	1	PD07DEC17	6869.50	6787.23	USD	2,056.75	
07SEP17	S	1	PD07DEC17	6864.00	6787.23	USD	1,919.25	
07SEP17	S	1	PD07DEC17	6860.00	6787.23	USD	1,819.25	
07SEP17	S	1	PD07DEC17	6861.50	6787.23	USD	1,856.75	
07SEP17	S	1	PD07DEC17	6859.50	6787.23	USD	1,806.75	

OPEN POSITION STATEMENT



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SAP0094L

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6858.00	6787.23	USD	1,769.25	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	B	1	PD07DEC17	6893.50	6787.23	USD	-2,656.75	
07SEP17	B	1	PD07DEC17	6897.50	6787.23	USD	-2,756.75	
07SEP17	B	1	PD07DEC17	6901.00	6787.23	USD	-2,844.25	
07SEP17	B	1	PD07DEC17	6900.50	6787.23	USD	-2,831.75	
07SEP17	B	1	PD07DEC17	6898.50	6787.23	USD	-2,781.75	
07SEP17	B	1	PD07DEC17	6896.00	6787.23	USD	-2,719.25	
07SEP17	B	1	PD07DEC17	6896.50	6787.23	USD	-2,731.75	
07SEP17	B	1	PD07DEC17	6904.50	6787.23	USD	-2,931.75	
07SEP17	B	1	PD07DEC17	6889.50	6787.23	USD	-2,556.75	
07SEP17	B	1	PD07DEC17	6886.50	6787.23	USD	-2,481.75	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	1	PD07DEC17	6871.50	6787.23	USD	2,106.75	
07SEP17	S	1	PD07DEC17	6876.00	6787.23	USD	2,219.25	
07SEP17	S	1	PD07DEC17	6880.00	6787.23	USD	2,319.25	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	
07SEP17	S	2	PD07DEC17	6878.50	6787.23	USD	4,563.50	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
07SEP17	S	1	PD07DEC17	6902.00	6787.23	USD	2,869.25	
07SEP17	S	1	PD07DEC17	6900.50	6787.23	USD	2,831.75	
13SEP17	B	6	PD07DEC17	6551.23	6787.23	USD	35,400.00	
	NET	-5 AVG BGHT 6776.26	SOLD 6874.45				52,636.75	0.00
08SEP17	B	1	PD08DEC17	6948.00	6787.71	USD	-4,007.25	
08SEP17	S	2	PD08DEC17	6923.00	6787.71	USD	6,764.50	
08SEP17	B	1	PD08DEC17	6918.50	6787.71	USD	-3,269.75	
08SEP17	S	1	PD08DEC17	6801.50	6787.71	USD	344.75	
08SEP17	B	1	PD08DEC17	6739.00	6787.71	USD	1,217.75	
08SEP17	S	1	PD08DEC17	6702.50	6787.71	USD	-2,130.25	
08SEP17	S	1	PD08DEC17	6695.00	6787.71	USD	-2,317.75	
08SEP17	B	1	PD08DEC17	6695.00	6787.71	USD	2,317.75	
20SEP17	B	5	PD08DEC17	6523.01	6787.71	USD	33,087.50	
20SEP17	B	1	PD08DEC17	6522.81	6787.71	USD	6,622.50	
	NET	+5 AVG BGHT 6643.84	SOLD 6809.00				38,629.75	0.00
11SEP17	B	1	PD11DEC17	6725.00	6789.15	USD	1,603.75	
20SEP17	S	1	PD11DEC17	6523.55	6789.15	USD	-6,640.00	
	NET	0 AVG BGHT 6725.00	SOLD 6523.55				-5,036.25	0.00
12SEP17	B	1	PD12DEC17	6670.00	6789.63	USD	2,990.75	
	NET	+1 AVG BGHT 6670.00					2,990.75	0.00
13SEP17	B	1	PD13DEC17	6674.50	6790.10	USD	2,890.00	
13SEP17	B	1	PD13DEC17	6644.50	6790.10	USD	3,640.00	
13SEP17	B	1	PD13DEC17	6629.50	6790.10	USD	4,015.00	
13SEP17	B	1	PD13DEC17	6562.50	6790.10	USD	5,690.00	
13SEP17	B	1	PD13DEC17	6566.50	6790.10	USD	5,590.00	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	1	PD13DEC17	6571.50	6790.10	USD	5,465.00	
13SEP17	B	1	PD13DEC17	6565.50	6790.10	USD	5,615.00	
13SEP17	S	1	PD13DEC17	6563.50	6790.10	USD	-5,665.00	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
19SEP17	S	8	PD13DEC17	6536.43	6790.10	USD	-50,734.00	
	NET	0 AVG BGHT 6594.00	SOLD 6539.44				-12,276.50	0.00
14SEP17	B	1	PD14DEC17	6510.00	6790.58	USD	7,014.50	
	NET	+1 AVG BGHT 6510.00					7,014.50	0.00
15SEP17	S	1	PD15DEC17	6470.50	6791.06	USD	-8,014.00	
15SEP17	S	1	PD15DEC17	6469.00	6791.06	USD	-8,051.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	6500.00	6791.06	USD	7,276.50	
15SEP17	B	1	PD15DEC17	6501.50	6791.06	USD	7,239.00	
15SEP17	B	1	PD15DEC17	6507.50	6791.06	USD	7,089.00	
	NET	+1 AVG BGHT 6503.00	SOLD 6469.75				5,539.00	0.00
18SEP17	S	1	PD18DEC17	6519.00	6792.50	USD	-6,837.50	
18SEP17	S	1	PD18DEC17	6541.00	6792.50	USD	-6,287.50	
18SEP17	S	1	PD18DEC17	6507.50	6792.50	USD	-7,125.00	
18SEP17	S	1	PD18DEC17	6548.50	6792.50	USD	-6,100.00	
18SEP17	S	1	PD18DEC17	6556.50	6792.50	USD	-5,900.00	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
19SEP17	B	6	PD18DEC17	6538.80	6792.50	USD	38,055.00	
	NET	0 AVG BGHT 6538.80	SOLD 6534.58				-632.50	0.00
19SEP17	S	1	PD19DEC17	6529.00	6792.50	USD	-6,587.50	
19SEP17	B	1	PD19DEC17	6518.00	6792.50	USD	6,862.50	
	NET	0 AVG BGHT 6518.00	SOLD 6529.00				275.00	0.00
20SEP17	S	1	PD20DEC17	6545.50	6792.50	USD	-6,175.00	
20SEP17	S	1	PD20DEC17	6526.00	6792.50	USD	-6,662.50	
05OCT17	B	1	PD20DEC17	6698.70	6792.50	USD	2,345.00	
	NET	-1 AVG BGHT 6698.70	SOLD 6535.75				-10,492.50	0.00
21SEP17	S	1	PD21DEC17	6465.50	6791.50	USD	-8,150.00	
21SEP17	B	1	PD21DEC17	6436.50	6791.50	USD	8,875.00	
21SEP17	B	1	PD21DEC17	6460.00	6791.50	USD	8,287.50	
21SEP17	B	1	PD21DEC17	6465.50	6791.50	USD	8,150.00	
21SEP17	S	1	PD21DEC17	6440.00	6791.50	USD	-8,787.50	
21SEP17	S	1	PD21DEC17	6432.00	6791.50	USD	-8,987.50	
21SEP17	S	1	PD21DEC17	6427.50	6791.50	USD	-9,100.00	
21SEP17	S	1	PD21DEC17	6426.50	6791.50	USD	-9,125.00	
21SEP17	B	1	PD21DEC17	6441.50	6791.50	USD	8,750.00	
21SEP17	B	1	PD21DEC17	6445.50	6791.50	USD	8,650.00	
	NET	0 AVG BGHT 6449.80	SOLD 6438.30				-1,437.50	0.00
22SEP17	S	1	PD22DEC17	6400.50	6790.50	USD	-9,750.00	
22SEP17	B	1	PD22DEC17	6389.50	6790.50	USD	10,025.00	
22SEP17	S	1	PD22DEC17	6388.50	6790.50	USD	-10,050.00	
22SEP17	B	1	PD22DEC17	6466.00	6790.50	USD	8,112.50	
	NET	0 AVG BGHT 6427.75	SOLD 6394.50				-1,662.50	0.00
25SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
26SEP17	B	1	PD27DEC17	6499.50	6793.00	USD	7,337.50	
26SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
26SEP17	B	1	PD27DEC17	6425.50	6793.00	USD	9,187.50	
27SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	
27SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
27SEP17	S	1	PD27DEC17	6422.50	6793.00	USD	-9,262.50	
27SEP17	S	1	PD27DEC17	6441.00	6793.00	USD	-8,800.00	
27SEP17	S	1	PD27DEC17	6442.50	6793.00	USD	-8,762.50	
	NET	-3 AVG BGHT 6455.50	SOLD 6442.50				-27,262.50	0.00
28SEP17	B	1	PD28DEC17	6475.00	6793.47	USD	7,961.75	
28SEP17	B	1	PD28DEC17	6461.50	6793.47	USD	8,299.25	
28SEP17	B	1	PD28DEC17	6511.00	6793.47	USD	7,061.75	
28SEP17	B	1	PD28DEC17	6515.00	6793.47	USD	6,961.75	
28SEP17	S	1	PD28DEC17	6554.50	6793.47	USD	-5,974.25	
	NET	+3 AVG BGHT 6490.63	SOLD 6554.50				24,310.25	0.00
29SEP17	S	1	PD29DEC17	6540.50	6793.93	USD	-6,335.75	
29SEP17	S	1	PD29DEC17	6532.00	6793.93	USD	-6,548.25	
29SEP17	S	1	PD29DEC17	6527.00	6793.93	USD	-6,673.25	
29SEP17	B	2	PD29DEC17	6568.00	6793.93	USD	11,296.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	1	PD29DEC17	6562.00	6793.93	USD	5,798.25	
29SEP17	B	1	PD29DEC17	6568.50	6793.93	USD	5,635.75	
29SEP17	B	2	PD29DEC17	6570.00	6793.93	USD	11,196.50	
29SEP17	B	1	PD29DEC17	6574.50	6793.93	USD	5,485.75	
29SEP17	B	1	PD29DEC17	6569.50	6793.93	USD	5,610.75	
	NET	+5 AVG BGHT 6568.81	SOLD 6533.17				25,466.25	0.00
09OCT17	S	1	PD09JAN18	6661.00	6799.07	USD	-3,451.75	
09OCT17	B	1	PD09JAN18	6680.50	6799.07	USD	2,964.25	
09OCT17	B	1	PD09JAN18	6685.00	6799.07	USD	2,851.75	
09OCT17	B	1	PD09JAN18	6675.00	6799.07	USD	3,101.75	
09OCT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
09OCT17	S	3	PD09JAN18	6660.00	6799.07	USD	-10,430.25	
09OCT17	S	2	PD09JAN18	6655.00	6799.07	USD	-7,203.50	
09OCT17	S	1	PD09JAN18	6660.50	6799.07	USD	-3,464.25	
09OCT17	S	2	PD09JAN18	6659.00	6799.07	USD	-7,003.50	
09OCT17	S	1	PD09JAN18	6657.00	6799.07	USD	-3,551.75	
09OCT17	B	1	PD09JAN18	6667.00	6799.07	USD	3,301.75	
09OCT17	S	1	PD09JAN18	6682.50	6799.07	USD	-2,914.25	
	NET	-8 AVG BGHT 6676.88	SOLD 6660.38				-29,389.00	0.00
10OCT17	B	1	PD10JAN18	6724.50	6799.53	USD	1,875.75	
10OCT17	S	1	PD10JAN18	6723.50	6799.53	USD	-1,900.75	
10OCT17	S	1	PD10JAN18	6713.00	6799.53	USD	-2,163.25	
10OCT17	B	1	PD10JAN18	6700.50	6799.53	USD	2,475.75	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	B	1	PD10JAN18	6749.00	6799.53	USD	1,263.25	
10OCT17	B	1	PD10JAN18	6757.00	6799.53	USD	1,063.25	
10OCT17	B	1	PD10JAN18	6754.00	6799.53	USD	1,138.25	
	NET	+4 AVG BGHT 6729.58	SOLD 6718.25				6,428.00	0.00
11OCT17	B	1	PD11JAN18	6738.00	6800.00	USD	1,550.00	
11OCT17	B	1	PD11JAN18	6771.50	6800.00	USD	712.50	
11OCT17	B	1	PD11JAN18	6786.50	6800.00	USD	337.50	
11OCT17	B	1	PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	S	1	PD11JAN18	6779.50	6800.00	USD	-512.50	
11OCT17	S	1	PD11JAN18	6778.00	6800.00	USD	-550.00	
11OCT17	S	1	PD11JAN18	6773.50	6800.00	USD	-662.50	
11OCT17	S	1	PD11JAN18	6774.00	6800.00	USD	-650.00	
11OCT17	B	1	PD11JAN18	6788.50	6800.00	USD	287.50	
11OCT17	B	1	PD11JAN18	6792.00	6800.00	USD	200.00	
11OCT17	B	1	PD11JAN18	6800.50	6800.00	USD	-12.50	
11OCT17	B	1	PD11JAN18	6806.50	6800.00	USD	-162.50	
11OCT17	B	1	PD11JAN18	6810.00	6800.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	6798.50	6800.00	USD	37.50	
11OCT17	B	1	PD11JAN18	6801.50	6800.00	USD	-37.50	
	NET	+7 AVG BGHT 6789.64	SOLD 6776.25				475.00	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	1	DEC17	1282.5	1288.9	USD	640.00	
09OCT17	B	1	DEC17	1282.6	1288.9	USD	630.00	
09OCT17	B	1	DEC17	1282.4	1288.9	USD	650.00	
09OCT17	B	1	DEC17	1283.0	1288.9	USD	590.00	
09OCT17	B	1	DEC17	1283.7	1288.9	USD	520.00	
09OCT17	B	2	DEC17	1283.1	1288.9	USD	1,160.00	
10OCT17	B	1	DEC17	1288.9	1288.9	USD	0.00	
10OCT17	B	1	DEC17	1291.3	1288.9	USD	-240.00	
10OCT17	B	1	DEC17	1291.1	1288.9	USD	-220.00	
	NET	+10 AVG BGHT 1285.2					3,730.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							

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17JUL17	-								
13SEP17	B	1	PD17OCT17		2326.00	2554.35	USD	5,708.75	
	S	1	PD17OCT17		2270.36	2554.35	USD	-7,099.75	
	NET	0 AVG	BGHT 2326.00	SOLD 2270.36				-1,391.00	0.00
18JUL17	S	1	PD18OCT17		2284.50	2554.75	USD	-6,756.25	
18JUL17	B	1	PD18OCT17		2273.00	2554.75	USD	7,043.75	
	NET	0 AVG	BGHT 2273.00	SOLD 2284.50				287.50	0.00
19JUL17	B	1	PD19OCT17		2240.00	2554.89	USD	7,872.25	
19JUL17	S	1	PD19OCT17		2217.50	2554.89	USD	-8,434.75	
	NET	0 AVG	BGHT 2240.00	SOLD 2217.50				-562.50	0.00
20JUL17	S	1	PD20OCT17		2218.50	2555.04	USD	-8,413.50	
21JUL17	B	1	PD20OCT17		2244.00	2555.04	USD	7,776.00	
21JUL17	S	1	PD20OCT17		2228.00	2555.04	USD	-8,176.00	
13SEP17	B	1	PD20OCT17		2270.70	2555.04	USD	7,108.50	
	NET	0 AVG	BGHT 2257.35	SOLD 2223.25				-1,705.00	0.00
24JUL17	B	1	PD24OCT17		2252.00	2555.61	USD	7,590.25	
24JUL17	S	1	PD24OCT17		2245.50	2555.61	USD	-7,752.75	
24JUL17	S	1	PD24OCT17		2256.50	2555.61	USD	-7,477.75	
13SEP17	B	1	PD24OCT17		2272.19	2555.61	USD	7,085.50	
	NET	0 AVG	BGHT 2262.10	SOLD 2251.00				-554.75	0.00
25JUL17	B	1	PD25OCT17		2271.00	2555.75	USD	7,118.75	
25JUL17	S	1	PD25OCT17		2312.50	2555.75	USD	-6,081.25	
	NET	0 AVG	BGHT 2271.00	SOLD 2312.50				1,037.50	0.00
27JUL17	B	1	PD27OCT17		2324.50	2556.04	USD	5,788.50	
27JUL17	B	1	PD27OCT17		2304.00	2556.04	USD	6,301.00	
28JUL17	S	1	PD27OCT17		2318.50	2556.04	USD	-5,938.50	
13SEP17	S	1	PD27OCT17		2273.46	2556.04	USD	-7,064.50	
	NET	0 AVG	BGHT 2314.25	SOLD 2295.98				-913.50	0.00
31JUL17	B	1	PD31OCT17		2329.00	2556.61	USD	5,690.25	
23AUG17	S	1	PD31OCT17		2371.50	2556.61	USD	-4,627.75	
	NET	0 AVG	BGHT 2329.00	SOLD 2371.50				1,062.50	0.00
13SEP17	S	1	PD02NOV17		2276.00	2556.89	USD	-7,022.25	
	NET	-1 AVG	SOLD 2276.00					-7,022.25	0.00
03AUG17	S	1	PD03NOV17		2377.50	2557.04	USD	-4,488.50	
03AUG17	B	1	PD03NOV17		2357.50	2557.04	USD	4,988.50	
04AUG17	B	1	PD03NOV17		2354.00	2557.04	USD	5,076.00	
	NET	+1 AVG	BGHT 2355.75	SOLD 2377.50				5,576.00	0.00
07AUG17	B	1	PD07NOV17		2352.00	2557.61	USD	5,140.25	
07AUG17	S	1	PD07NOV17		2350.00	2557.61	USD	-5,190.25	
07AUG17	S	1	PD07NOV17		2351.00	2557.61	USD	-5,165.25	
07AUG17	B	1	PD07NOV17		2387.50	2557.61	USD	4,252.75	
	NET	0 AVG	BGHT 2369.75	SOLD 2350.50				-962.50	0.00
08AUG17	S	1	PD08NOV17		2350.00	2557.75	USD	-5,193.75	
08AUG17	S	1	PD08NOV17		2388.50	2557.75	USD	-4,231.25	
13SEP17	B	2	PD08NOV17		2278.54	2557.75	USD	13,960.50	
	NET	0 AVG	BGHT 2278.54	SOLD 2369.25				4,535.50	0.00
09AUG17	B	1	PD09NOV17		2389.50	2557.89	USD	4,209.75	
09AUG17	S	1	PD09NOV17		2376.50	2557.89	USD	-4,534.75	
09AUG17	B	1	PD09NOV17		2394.00	2557.89	USD	4,097.25	
09AUG17	B	1	PD09NOV17		2388.50	2557.89	USD	4,234.75	
13SEP17	S	2	PD09NOV17		2278.76	2557.89	USD	-13,956.50	
	NET	0 AVG	BGHT 2390.67	SOLD 2311.34				-5,949.50	0.00
10AUG17	S	1	PD10NOV17		2378.00	2558.04	USD	-4,501.00	
10AUG17	S	1	PD10NOV17		2369.00	2558.04	USD	-4,726.00	
10AUG17	B	1	PD10NOV17		2365.00	2558.04	USD	4,826.00	

OPEN POSITION STATEMENT



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SAP0094L

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2361.50	2558.04	USD	-4,913.50	
11AUG17	B	1	PD10NOV17	2357.50	2558.04	USD	5,013.50	
11AUG17	S	1	PD10NOV17	2339.00	2558.04	USD	-5,476.00	
11AUG17	B	1	PD10NOV17	2345.00	2558.04	USD	5,326.00	
11AUG17	B	1	PD10NOV17	2351.00	2558.04	USD	5,176.00	
11AUG17	S	1	PD10NOV17	2350.50	2558.04	USD	-5,188.50	
11AUG17	S	1	PD10NOV17	2354.50	2558.04	USD	-5,088.50	
11AUG17	B	1	PD10NOV17	2342.00	2558.04	USD	5,401.00	
11AUG17	B	1	PD10NOV17	2333.50	2558.04	USD	5,613.50	
11AUG17	B	1	PD10NOV17	2326.00	2558.04	USD	5,801.00	
11AUG17	B	1	PD10NOV17	2350.50	2558.04	USD	5,188.50	
13SEP17	S	1	PD10NOV17	2279.38	2558.04	USD	-6,966.50	
20SEP17	S	1	PD10NOV17	2453.88	2558.04	USD	-2,604.00	
	NET	0 AVG BGHT 2346.31	SOLD 2360.72				2,881.50	0.00
14AUG17	S	1	PD14NOV17	2336.00	2558.61	USD	-5,565.25	
14AUG17	S	1	PD14NOV17	2340.00	2558.61	USD	-5,465.25	
14AUG17	S	1	PD14NOV17	2346.50	2558.61	USD	-5,302.75	
14AUG17	B	1	PD14NOV17	2345.50	2558.61	USD	5,327.75	
13SEP17	B	1	PD14NOV17	2281.28	2558.61	USD	6,933.25	
13SEP17	B	1	PD14NOV17	2281.08	2558.61	USD	6,938.25	
	NET	0 AVG BGHT 2302.62	SOLD 2340.83				2,866.00	0.00
15AUG17	S	1	PD15NOV17	2342.50	2558.75	USD	-5,406.25	
15AUG17	B	1	PD15NOV17	2354.00	2558.75	USD	5,118.75	
15AUG17	S	1	PD15NOV17	2387.00	2558.75	USD	-4,293.75	
15AUG17	B	1	PD15NOV17	2360.50	2558.75	USD	4,956.25	
	NET	0 AVG BGHT 2357.25	SOLD 2364.75				375.00	0.00
16AUG17	B	1	PD16NOV17	2393.00	2558.75	USD	4,143.75	
16AUG17	S	1	PD16NOV17	2388.50	2558.75	USD	-4,256.25	
16AUG17	S	1	PD16NOV17	2390.00	2558.75	USD	-4,218.75	
16AUG17	S	1	PD16NOV17	2389.00	2558.75	USD	-4,243.75	
16AUG17	B	1	PD16NOV17	2392.50	2558.75	USD	4,156.25	
16AUG17	S	1	PD16NOV17	2408.00	2558.75	USD	-3,768.75	
16AUG17	S	1	PD16NOV17	2418.50	2558.75	USD	-3,506.25	
16AUG17	B	1	PD16NOV17	2420.00	2558.75	USD	3,468.75	
16AUG17	B	1	PD16NOV17	2422.50	2558.75	USD	3,406.25	
16AUG17	B	1	PD16NOV17	2468.00	2558.75	USD	2,268.75	
23AUG17	B	1	PD16NOV17	2375.20	2558.75	USD	4,588.75	
13SEP17	S	1	PD16NOV17	2281.50	2558.75	USD	-6,931.25	
	NET	0 AVG BGHT 2411.87	SOLD 2379.25				-4,892.50	0.00
17AUG17	S	1	PD17NOV17	2534.00	2558.75	USD	-618.75	
17AUG17	B	1	PD17NOV17	2512.50	2558.75	USD	1,156.25	
17AUG17	S	1	PD17NOV17	2495.00	2558.75	USD	-1,593.75	
17AUG17	B	1	PD17NOV17	2467.50	2558.75	USD	2,281.25	
17AUG17	S	1	PD17NOV17	2413.00	2558.75	USD	-3,643.75	
18AUG17	S	1	PD17NOV17	2392.50	2558.75	USD	-4,156.25	
13SEP17	B	2	PD17NOV17	2282.01	2558.75	USD	13,837.00	
	NET	0 AVG BGHT 2386.01	SOLD 2458.63				7,262.00	0.00
21AUG17	B	1	PD21NOV17	2363.50	2559.32	USD	4,895.50	
13SEP17	S	1	PD21NOV17	2283.07	2559.32	USD	-6,906.25	
	NET	0 AVG BGHT 2363.50	SOLD 2283.07				-2,010.75	0.00
22AUG17	S	1	PD22NOV17	2341.50	2559.46	USD	-5,449.00	
13SEP17	B	1	PD22NOV17	2283.59	2559.46	USD	6,896.75	
	NET	0 AVG BGHT 2283.59	SOLD 2341.50				1,447.75	0.00
25AUG17	B	1	PD24NOV17	2357.00	2559.75	USD	5,068.75	
25AUG17	S	1	PD24NOV17	2353.00	2559.75	USD	-5,168.75	

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25AUG17	B	1	PD24NOV17	2374.00	2559.75	USD	4,643.75	
25AUG17	S	1	PD24NOV17	2359.50	2559.75	USD	-5,006.25	
25AUG17	S	1	PD24NOV17	2325.00	2559.75	USD	-5,868.75	
13SEP17	B	1	PD24NOV17	2284.22	2559.75	USD	6,888.25	
	NET	0 AVG BGHT	2338.41				557.00	0.00
29AUG17	B	1	PD29NOV17	2344.00	2560.20	USD	5,405.00	
29AUG17	B	1	PD29NOV17	2348.00	2560.20	USD	5,305.00	
29AUG17	S	1	PD29NOV17	2408.00	2560.20	USD	-3,805.00	
13SEP17	S	1	PD29NOV17	2285.39	2560.20	USD	-6,870.25	
	NET	0 AVG BGHT	2346.00				34.75	0.00
30AUG17	B	1	PD30NOV17	2392.00	2560.30	USD	4,207.50	
30AUG17	B	1	PD30NOV17	2377.50	2560.30	USD	4,570.00	
31AUG17	S	1	PD30NOV17	2382.00	2560.30	USD	-4,457.50	
31AUG17	B	2	PD30NOV17	2388.00	2560.30	USD	8,615.00	
31AUG17	S	1	PD30NOV17	2377.00	2560.30	USD	-4,582.50	
	NET	+2 AVG BGHT	2386.38				8,352.50	0.00
01SEP17	S	1	PD01DEC17	2387.00	2560.39	USD	-4,334.75	
01SEP17	B	1	PD01DEC17	2402.50	2560.39	USD	3,947.25	
	NET	0 AVG BGHT	2402.50				-387.50	0.00
05SEP17	S	1	PD05DEC17	2334.00	2560.75	USD	-5,668.75	
05SEP17	S	1	PD05DEC17	2332.00	2560.75	USD	-5,718.75	
05SEP17	S	1	PD05DEC17	2324.50	2560.75	USD	-5,906.25	
13SEP17	B	1	PD05DEC17	2287.68	2560.75	USD	6,826.75	
13SEP17	B	1	PD05DEC17	2287.48	2560.75	USD	6,831.75	
20SEP17	B	1	PD05DEC17	2457.84	2560.75	USD	2,572.75	
	NET	0 AVG BGHT	2344.33				-1,062.50	0.00
06SEP17	B	1	PD06DEC17	2325.50	2561.00	USD	5,887.50	
06SEP17	S	1	PD06DEC17	2357.50	2561.00	USD	-5,087.50	
06SEP17	B	1	PD06DEC17	2345.50	2561.00	USD	5,387.50	
13SEP17	S	1	PD06DEC17	2287.60	2561.00	USD	-6,835.00	
	NET	0 AVG BGHT	2335.50				-647.50	0.00
07SEP17	S	1	PD07DEC17	2345.50	2561.25	USD	-5,393.75	
07SEP17	B	1	PD07DEC17	2340.50	2561.25	USD	5,518.75	
07SEP17	S	1	PD07DEC17	2345.00	2561.25	USD	-5,406.25	
13SEP17	B	1	PD07DEC17	2288.11	2561.25	USD	6,828.50	
	NET	0 AVG BGHT	2314.31				1,547.25	0.00
08SEP17	B	1	PD08DEC17	2350.50	2561.50	USD	5,275.00	
08SEP17	S	1	PD08DEC17	2332.00	2561.50	USD	-5,737.50	
08SEP17	B	1	PD08DEC17	2294.00	2561.50	USD	6,687.50	
08SEP17	S	1	PD08DEC17	2296.00	2561.50	USD	-6,637.50	
08SEP17	B	1	PD08DEC17	2270.00	2561.50	USD	7,287.50	
13SEP17	S	1	PD08DEC17	2288.23	2561.50	USD	-6,831.75	
	NET	0 AVG BGHT	2304.83				43.25	0.00
11SEP17	S	1	PD11DEC17	2267.00	2562.25	USD	-7,381.25	
11SEP17	B	1	PD11DEC17	2275.00	2562.25	USD	7,181.25	
11SEP17	B	1	PD11DEC17	2281.50	2562.25	USD	7,018.75	
13SEP17	S	1	PD11DEC17	2289.17	2562.25	USD	-6,827.00	
	NET	0 AVG BGHT	2278.25				-8.25	0.00
12SEP17	S	1	PD12DEC17	2277.00	2562.50	USD	-7,137.50	
12SEP17	B	1	PD12DEC17	2258.00	2562.50	USD	7,612.50	
	NET	0 AVG BGHT	2258.00				475.00	0.00
13SEP17	S	1	PD13DEC17	2297.00	2562.75	USD	-6,643.75	
13SEP17	B	1	PD13DEC17	2299.00	2562.75	USD	6,593.75	
13SEP17	S	1	PD13DEC17	2294.00	2562.75	USD	-6,718.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -1 AVG BGHT 2299.00 SOLD 2295.50				-6,768.75	0.00
15SEP17	S 1 PD15DEC17	2321.00	2563.25	USD	-6,056.25	
15SEP17	S 1 PD15DEC17	2350.00	2563.25	USD	-5,331.25	
	NET -2 AVG SOLD 2335.50				-11,387.50	0.00
18SEP17	B 1 PD18DEC17	2377.00	2564.00	USD	4,675.00	
18SEP17	B 1 PD18DEC17	2386.00	2564.00	USD	4,450.00	
	NET +2 AVG BGHT 2381.50				9,125.00	0.00
19SEP17	S 1 PD19DEC17	2415.00	2564.25	USD	-3,731.25	
19SEP17	B 1 PD19DEC17	2428.00	2564.25	USD	3,406.25	
	NET 0 AVG BGHT 2428.00 SOLD 2415.00				-325.00	0.00
20SEP17	B 1 PD20DEC17	2444.00	2564.50	USD	3,012.50	
	NET +1 AVG BGHT 2444.00				3,012.50	0.00
21SEP17	S 1 PD21DEC17	2490.00	2563.50	USD	-1,837.50	
21SEP17	S 1 PD21DEC17	2479.00	2563.50	USD	-2,112.50	
	NET -2 AVG SOLD 2484.50				-3,950.00	0.00
22SEP17	B 1 PD22DEC17	2418.00	2563.50	USD	3,637.50	
22SEP17	S 1 PD22DEC17	2464.00	2563.50	USD	-2,487.50	
22SEP17	B 1 PD22DEC17	2476.50	2563.50	USD	2,175.00	
22SEP17	S 1 PD22DEC17	2480.50	2563.50	USD	-2,075.00	
	NET 0 AVG BGHT 2447.25 SOLD 2472.25				1,250.00	0.00
25SEP17	S 1 PD27DEC17	2478.50	2563.50	USD	-2,125.00	
25SEP17	B 1 PD27DEC17	2507.50	2563.50	USD	1,400.00	
25SEP17	B 1 PD27DEC17	2505.00	2563.50	USD	1,462.50	
25SEP17	S 1 PD27DEC17	2499.50	2563.50	USD	-1,600.00	
	NET 0 AVG BGHT 2506.25 SOLD 2489.00				-862.50	0.00
09OCT17	B 1 PD09JAN18	2528.50	2564.80	USD	907.50	
09OCT17	B 1 PD09JAN18	2535.50	2564.80	USD	732.50	
09OCT17	S 1 PD09JAN18	2528.00	2564.80	USD	-920.00	
09OCT17	S 1 PD09JAN18	2530.50	2564.80	USD	-857.50	
	NET 0 AVG BGHT 2532.00 SOLD 2529.25				-137.50	0.00
10OCT17	S 1 PD10JAN18	2505.50	2564.90	USD	-1,485.00	
	NET -1 AVG SOLD 2505.50				-1,485.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	9170.00	11060.20	USD	11,341.20	
12JUL17	B 2 PD12OCT17	9185.00	11060.20	USD	22,502.40	
12JUL17	B 1 PD12OCT17	9215.00	11060.20	USD	11,071.20	
12JUL17	B 1 PD12OCT17	9260.00	11060.20	USD	10,801.20	
12JUL17	B 1 PD12OCT17	9220.00	11060.20	USD	11,041.20	
12JUL17	B 1 PD12OCT17	9205.00	11060.20	USD	11,131.20	
19SEP17	S 5 PD12OCT17	11085.06	11060.20	USD	745.80	
05OCT17	S 1 PD12OCT17	10449.75	11060.20	USD	-3,662.70	
05OCT17	S 1 PD12OCT17	10450.75	11060.20	USD	-3,656.70	
	NET 0 AVG BGHT 9205.71 SOLD 10903.69				71,314.80	0.00
13JUL17	S 1 PD13OCT17	9195.00	11061.00	USD	-11,196.00	
13JUL17	B 1 PD13OCT17	9205.00	11061.00	USD	11,136.00	
	NET 0 AVG BGHT 9205.00 SOLD 9195.00				-60.00	0.00
17JUL17	S 1 PD17OCT17	9585.00	11064.20	USD	-8,875.20	
17JUL17	S 1 PD17OCT17	9570.00	11064.20	USD	-8,965.20	
17JUL17	S 1 PD17OCT17	9580.00	11064.20	USD	-8,905.20	
17JUL17	S 1 PD17OCT17	9615.00	11064.20	USD	-8,695.20	
17JUL17	B 1 PD17OCT17	9640.00	11064.20	USD	8,545.20	
05OCT17	B 3 PD17OCT17	10458.63	11064.20	USD	10,900.26	

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	NET	0 AVG BGHT 10253.97	SOLD 9587.50				-15,995.34	0.00
19JUL17	S	1 PD190CT17		9720.00	11065.96	USD	-8,075.76	
19JUL17	B	1 PD190CT17		9740.00	11065.96	USD	7,955.76	
19JUL17	S	1 PD190CT17		9725.00	11065.96	USD	-8,045.76	
05OCT17	B	1 PD190CT17		10461.04	11065.96	USD	3,629.52	
	NET	0 AVG BGHT 10100.52	SOLD 9722.50				-4,536.24	0.00
20JUL17	S	1 PD200CT17		9565.00	11066.93	USD	-9,011.58	
20JUL17	B	1 PD200CT17		9560.00	11066.93	USD	9,041.58	
20JUL17	B	1 PD200CT17		9580.00	11066.93	USD	8,921.58	
20JUL17	S	1 PD200CT17		9575.00	11066.93	USD	-8,951.58	
20JUL17	B	1 PD200CT17		9575.00	11066.93	USD	8,951.58	
20JUL17	B	1 PD200CT17		9570.00	11066.93	USD	8,981.58	
20JUL17	B	1 PD200CT17		9495.00	11066.93	USD	9,431.58	
21JUL17	S	1 PD200CT17		9575.00	11066.93	USD	-8,951.58	
21JUL17	S	1 PD200CT17		9655.00	11066.93	USD	-8,471.58	
21JUL17	S	1 PD200CT17		9685.00	11066.93	USD	-8,291.58	
21JUL17	S	1 PD200CT17		9620.00	11066.93	USD	-8,681.58	
	NET	-1 AVG BGHT 9556.00	SOLD 9612.50				-7,031.58	0.00
24JUL17	B	1 PD240CT17		9530.00	11070.79	USD	9,244.74	
24JUL17	S	1 PD240CT17		9625.00	11070.79	USD	-8,674.74	
24JUL17	B	3 PD240CT17		9630.00	11070.79	USD	25,934.22	
24JUL17	B	1 PD240CT17		9635.00	11070.79	USD	8,614.74	
24JUL17	B	2 PD240CT17		9640.00	11070.79	USD	17,169.48	
24JUL17	B	2 PD240CT17		9655.00	11070.79	USD	16,989.48	
24JUL17	B	1 PD240CT17		9675.00	11070.79	USD	8,374.74	
08AUG17	S	10 PD240CT17		10623.93	11070.79	USD	-26,811.60	
09AUG17	B	5 PD240CT17		10752.00	11070.79	USD	9,563.70	
	NET	+4 AVG BGHT 10005.33	SOLD 10533.12				60,404.76	0.00
25JUL17	S	1 PD250CT17		9830.00	11071.75	USD	-7,450.50	
25JUL17	B	2 PD250CT17		9860.00	11071.75	USD	14,541.00	
25JUL17	S	1 PD250CT17		9870.00	11071.75	USD	-7,210.50	
25JUL17	B	1 PD250CT17		9905.00	11071.75	USD	7,000.50	
25JUL17	S	2 PD250CT17		9920.00	11071.75	USD	-13,821.00	
25JUL17	B	1 PD250CT17		9920.00	11071.75	USD	6,910.50	
25JUL17	S	2 PD250CT17		9965.00	11071.75	USD	-13,281.00	
25JUL17	S	1 PD250CT17		9950.00	11071.75	USD	-6,730.50	
25JUL17	S	1 PD250CT17		9955.00	11071.75	USD	-6,700.50	
25JUL17	S	1 PD250CT17		9980.00	11071.75	USD	-6,550.50	
25JUL17	S	1 PD250CT17		9975.00	11071.75	USD	-6,580.50	
25JUL17	S	1 PD250CT17		9990.00	11071.75	USD	-6,490.50	
25JUL17	B	1 PD250CT17		9990.00	11071.75	USD	6,490.50	
25JUL17	S	1 PD250CT17		10000.00	11071.75	USD	-6,430.50	
25JUL17	B	1 PD250CT17		10000.00	11071.75	USD	6,430.50	
25JUL17	S	1 PD250CT17		9995.00	11071.75	USD	-6,460.50	
25JUL17	S	2 PD250CT17		10005.00	11071.75	USD	-12,801.00	
08AUG17	B	10 PD250CT17		10625.33	11071.75	USD	26,785.20	
09AUG17	S	5 PD250CT17		10751.50	11071.75	USD	-9,607.50	
	NET	-4 AVG BGHT 10361.77	SOLD 10154.13				-41,956.80	0.00
26JUL17	B	1 PD260CT17		10005.00	11072.71	USD	6,406.26	
26JUL17	B	1 PD260CT17		10080.00	11072.71	USD	5,956.26	
26JUL17	S	1 PD260CT17		10005.00	11072.71	USD	-6,406.26	
26JUL17	B	1 PD260CT17		9930.00	11072.71	USD	6,856.26	
26JUL17	B	1 PD260CT17		9940.00	11072.71	USD	6,796.26	
26JUL17	B	1 PD260CT17		9925.00	11072.71	USD	6,886.26	
26JUL17	S	1 PD260CT17		9885.00	11072.71	USD	-7,126.26	
26JUL17	B	1 PD260CT17		9900.00	11072.71	USD	7,036.26	

OPEN POSITION STATEMENT



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SAP0094L

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	9900.00	11072.71	USD	-7,036.26	
26JUL17	S	1	PD260CT17	10040.00	11072.71	USD	-6,196.26	
26JUL17	S	2	PD260CT17	9955.00	11072.71	USD	-13,412.52	
26JUL17	S	1	PD260CT17	9960.00	11072.71	USD	-6,676.26	
26JUL17	S	1	PD260CT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	S	2	PD260CT17	9945.00	11072.71	USD	-13,532.52	
26JUL17	S	1	PD260CT17	10030.00	11072.71	USD	-6,256.26	
26JUL17	B	1	PD260CT17	9980.00	11072.71	USD	6,556.26	
26JUL17	S	1	PD260CT17	10020.00	11072.71	USD	-6,316.26	
26JUL17	B	1	PD260CT17	10020.00	11072.71	USD	6,316.26	
26JUL17	B	1	PD260CT17	9980.00	11072.71	USD	6,556.26	
	NET	-3 AVG	BGHT 9973.33	SOLD 9965.00			-20,388.78	0.00
27JUL17	B	1	PD270CT17	9990.00	11073.68	USD	6,502.08	
27JUL17	B	2	PD270CT17	9985.00	11073.68	USD	13,064.16	
27JUL17	B	1	PD270CT17	10005.00	11073.68	USD	6,412.08	
27JUL17	B	3	PD270CT17	10015.00	11073.68	USD	19,056.24	
27JUL17	B	1	PD270CT17	10020.00	11073.68	USD	6,322.08	
27JUL17	B	2	PD270CT17	10025.00	11073.68	USD	12,584.16	
27JUL17	B	1	PD270CT17	9995.00	11073.68	USD	6,472.08	
27JUL17	S	3	PD270CT17	10050.00	11073.68	USD	-18,426.24	
27JUL17	S	1	PD270CT17	10075.00	11073.68	USD	-5,992.08	
27JUL17	S	1	PD270CT17	10090.00	11073.68	USD	-5,902.08	
27JUL17	S	1	PD270CT17	10145.00	11073.68	USD	-5,572.08	
27JUL17	S	2	PD270CT17	10140.00	11073.68	USD	-11,204.16	
27JUL17	S	1	PD270CT17	10135.00	11073.68	USD	-5,632.08	
27JUL17	S	2	PD270CT17	10150.00	11073.68	USD	-11,084.16	
27JUL17	S	1	PD270CT17	10185.00	11073.68	USD	-5,332.08	
27JUL17	S	2	PD270CT17	10140.00	11073.68	USD	-11,204.16	
27JUL17	S	1	PD270CT17	10175.00	11073.68	USD	-5,392.08	
27JUL17	S	1	PD270CT17	10190.00	11073.68	USD	-5,302.08	
27JUL17	B	1	PD270CT17	10170.00	11073.68	USD	5,422.08	
27JUL17	B	1	PD270CT17	10165.00	11073.68	USD	5,452.08	
28JUL17	B	1	PD270CT17	10150.00	11073.68	USD	5,542.08	
28JUL17	S	1	PD270CT17	10135.00	11073.68	USD	-5,632.08	
28JUL17	S	1	PD270CT17	10090.00	11073.68	USD	-5,902.08	
28JUL17	S	1	PD270CT17	10060.00	11073.68	USD	-6,082.08	
28JUL17	B	1	PD270CT17	10055.00	11073.68	USD	6,112.08	
28JUL17	S	1	PD270CT17	10020.00	11073.68	USD	-6,322.08	
28JUL17	B	3	PD270CT17	10050.00	11073.68	USD	18,426.24	
28JUL17	B	1	PD270CT17	10140.00	11073.68	USD	5,602.08	
28JUL17	B	1	PD270CT17	10035.00	11073.68	USD	6,232.08	
28JUL17	S	1	PD270CT17	10240.00	11073.68	USD	-5,002.08	
28JUL17	S	1	PD270CT17	10255.00	11073.68	USD	-4,912.08	
28JUL17	S	2	PD270CT17	10245.00	11073.68	USD	-9,944.16	
28JUL17	S	1	PD270CT17	10220.00	11073.68	USD	-5,122.08	
28JUL17	B	1	PD270CT17	10225.00	11073.68	USD	5,092.08	
28JUL17	S	1	PD270CT17	10245.00	11073.68	USD	-4,972.08	
28JUL17	B	2	PD270CT17	10230.00	11073.68	USD	10,124.16	
28JUL17	B	1	PD270CT17	10220.00	11073.68	USD	5,122.08	
28JUL17	B	1	PD270CT17	10210.00	11073.68	USD	5,182.08	
28JUL17	B	1	PD270CT17	10205.00	11073.68	USD	5,212.08	
28JUL17	S	3	PD270CT17	10250.00	11073.68	USD	-14,826.24	
28JUL17	S	1	PD270CT17	10260.00	11073.68	USD	-4,882.08	
08AUG17	B	2	PD270CT17	10625.14	11073.68	USD	5,382.48	
23AUG17	S	1	PD270CT17	11643.48	11073.68	USD	3,418.80	
	NET	-3 AVG	BGHT 10125.37	SOLD 10206.89			-1,907.04	0.00
31JUL17	B	1	PD310CT17	10280.00	11077.54	USD	4,785.24	

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31JUL17	B	1	PD31OCT17	10345.00	11077.54	USD	4,395.24	
31JUL17	B	1	PD31OCT17	10250.00	11077.54	USD	4,965.24	
31JUL17	B	1	PD31OCT17	10230.00	11077.54	USD	5,085.24	
31JUL17	B	1	PD31OCT17	10225.00	11077.54	USD	5,115.24	
31JUL17	B	1	PD31OCT17	10240.00	11077.54	USD	5,025.24	
31JUL17	B	1	PD31OCT17	10260.00	11077.54	USD	4,905.24	
31JUL17	B	1	PD31OCT17	10215.00	11077.54	USD	5,175.24	
08AUG17	S	8	PD31OCT17	10626.76	11077.54	USD	-21,637.44	
09AUG17	B	4	PD31OCT17	10755.50	11077.54	USD	7,728.96	
	NET	+4 AVG BGHT 10422.25	SOLD 10626.76				25,543.44	0.00
01AUG17	S	1	PD01NOV17	10120.00	11078.50	USD	-5,751.00	
01AUG17	S	1	PD01NOV17	10105.00	11078.50	USD	-5,841.00	
01AUG17	S	1	PD01NOV17	10115.00	11078.50	USD	-5,781.00	
01AUG17	B	1	PD01NOV17	10100.00	11078.50	USD	5,871.00	
01AUG17	B	2	PD01NOV17	10105.00	11078.50	USD	11,682.00	
01AUG17	B	1	PD01NOV17	10125.00	11078.50	USD	5,721.00	
01AUG17	B	1	PD01NOV17	10175.00	11078.50	USD	5,421.00	
01AUG17	S	2	PD01NOV17	10110.00	11078.50	USD	-11,622.00	
01AUG17	S	2	PD01NOV17	10100.00	11078.50	USD	-11,742.00	
01AUG17	B	1	PD01NOV17	10120.00	11078.50	USD	5,751.00	
01AUG17	S	1	PD01NOV17	10205.00	11078.50	USD	-5,241.00	
01AUG17	S	1	PD01NOV17	10200.00	11078.50	USD	-5,271.00	
01AUG17	S	2	PD01NOV17	10190.00	11078.50	USD	-10,662.00	
01AUG17	S	2	PD01NOV17	10195.00	11078.50	USD	-10,602.00	
01AUG17	S	3	PD01NOV17	10200.00	11078.50	USD	-15,813.00	
01AUG17	S	1	PD01NOV17	10195.00	11078.50	USD	-5,301.00	
01AUG17	B	1	PD01NOV17	10255.00	11078.50	USD	4,941.00	
08AUG17	B	4	PD01NOV17	10627.17	11078.50	USD	10,831.92	
08AUG17	B	8	PD01NOV17	10628.17	11078.50	USD	21,615.84	
09AUG17	S	4	PD01NOV17	10755.00	11078.50	USD	-7,764.00	
23AUG17	S	2	PD01NOV17	11647.04	11078.50	USD	6,822.48	
	NET	-4 AVG BGHT 10448.37	SOLD 10393.22				-22,733.76	0.00
02AUG17	B	1	PD02NOV17	10210.00	11079.46	USD	5,216.76	
02AUG17	S	1	PD02NOV17	10210.00	11079.46	USD	-5,216.76	
02AUG17	B	1	PD02NOV17	10325.00	11079.46	USD	4,526.76	
02AUG17	B	1	PD02NOV17	10320.00	11079.46	USD	4,556.76	
08AUG17	S	2	PD02NOV17	10626.57	11079.46	USD	-5,434.68	
	NET	0 AVG BGHT 10285.00	SOLD 10487.71				3,648.84	0.00
03AUG17	S	1	PD03NOV17	10340.00	11080.43	USD	-4,442.58	
03AUG17	B	1	PD03NOV17	10300.00	11080.43	USD	4,682.58	
04AUG17	B	1	PD03NOV17	10350.00	11080.43	USD	4,382.58	
04AUG17	B	1	PD03NOV17	10380.00	11080.43	USD	4,202.58	
04AUG17	B	1	PD03NOV17	10390.00	11080.43	USD	4,142.58	
04AUG17	S	1	PD03NOV17	10365.00	11080.43	USD	-4,292.58	
04AUG17	S	2	PD03NOV17	10310.00	11080.43	USD	-9,245.16	
04AUG17	S	1	PD03NOV17	10305.00	11080.43	USD	-4,652.58	
04AUG17	B	1	PD03NOV17	10335.00	11080.43	USD	4,472.58	
04AUG17	B	1	PD03NOV17	10345.00	11080.43	USD	4,412.58	
04AUG17	B	1	PD03NOV17	10360.00	11080.43	USD	4,322.58	
04AUG17	B	1	PD03NOV17	10340.00	11080.43	USD	4,442.58	
04AUG17	B	1	PD03NOV17	10300.00	11080.43	USD	4,682.58	
04AUG17	S	1	PD03NOV17	10330.00	11080.43	USD	-4,502.58	
04AUG17	B	1	PD03NOV17	10310.00	11080.43	USD	4,622.58	
04AUG17	S	2	PD03NOV17	10300.00	11080.43	USD	-9,365.16	
04AUG17	B	1	PD03NOV17	10275.00	11080.43	USD	4,832.58	
	NET	+3 AVG BGHT 10335.00	SOLD 10320.00				12,697.74	0.00

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07AUG17	B	1	PD07NOV17	10385.00	11084.29	USD	4,195.74	
07AUG17	B	1	PD07NOV17	10370.00	11084.29	USD	4,285.74	
07AUG17	S	1	PD07NOV17	10335.00	11084.29	USD	-4,495.74	
07AUG17	S	1	PD07NOV17	10340.00	11084.29	USD	-4,465.74	
07AUG17	S	1	PD07NOV17	10300.00	11084.29	USD	-4,705.74	
07AUG17	S	2	PD07NOV17	10260.00	11084.29	USD	-9,891.48	
07AUG17	B	1	PD07NOV17	10255.00	11084.29	USD	4,975.74	
07AUG17	B	1	PD07NOV17	10345.00	11084.29	USD	4,435.74	
07AUG17	B	1	PD07NOV17	10375.00	11084.29	USD	4,255.74	
07AUG17	B	1	PD07NOV17	10350.00	11084.29	USD	4,405.74	
07AUG17	B	1	PD07NOV17	10290.00	11084.29	USD	4,765.74	
07AUG17	B	1	PD07NOV17	10355.00	11084.29	USD	4,375.74	
07AUG17	B	1	PD07NOV17	10340.00	11084.29	USD	4,465.74	
07AUG17	B	2	PD07NOV17	10360.00	11084.29	USD	8,691.48	
07AUG17	B	1	PD07NOV17	10380.00	11084.29	USD	4,225.74	
07AUG17	B	1	PD07NOV17	10395.00	11084.29	USD	4,135.74	
07AUG17	S	1	PD07NOV17	10375.00	11084.29	USD	-4,255.74	
08AUG17	S	4	PD07NOV17	10628.60	11084.29	USD	-10,936.56	
	NET	+3 AVG	BGHT 10350.77	SOLD 10438.44			18,463.62	0.00
08AUG17	B	2	PD08NOV17	10355.00	11085.25	USD	8,763.00	
08AUG17	S	1	PD08NOV17	10300.00	11085.25	USD	-4,711.50	
08AUG17	B	1	PD08NOV17	10325.00	11085.25	USD	4,561.50	
08AUG17	S	1	PD08NOV17	10295.00	11085.25	USD	-4,741.50	
08AUG17	S	2	PD08NOV17	10330.00	11085.25	USD	-9,063.00	
08AUG17	B	1	PD08NOV17	10535.00	11085.25	USD	3,301.50	
08AUG17	B	1	PD08NOV17	10585.00	11085.25	USD	3,001.50	
08AUG17	S	1	PD08NOV17	10580.00	11085.25	USD	-3,031.50	
08AUG17	B	2	PD08NOV17	10580.00	11085.25	USD	6,063.00	
08AUG17	S	2	PD08NOV17	10610.00	11085.25	USD	-5,703.00	
08AUG17	B	1	PD08NOV17	10615.00	11085.25	USD	2,821.50	
08AUG17	B	1	PD08NOV17	10470.00	11085.25	USD	3,691.50	
08AUG17	B	1	PD08NOV17	10755.00	11085.25	USD	1,981.50	
08AUG17	B	1	PD08NOV17	10745.00	11085.25	USD	2,041.50	
08AUG17	B	1	PD08NOV17	10740.00	11085.25	USD	2,071.50	
08AUG17	S	1	PD08NOV17	10735.00	11085.25	USD	-2,101.50	
08AUG17	S	1	PD08NOV17	10700.00	11085.25	USD	-2,311.50	
23AUG17	S	3	PD08NOV17	11652.02	11085.25	USD	10,201.86	
	NET	0 AVG	BGHT 10553.33	SOLD 10787.17			16,836.36	0.00
09AUG17	S	1	PD09NOV17	10785.00	11086.21	USD	-1,807.26	
09AUG17	S	1	PD09NOV17	10780.00	11086.21	USD	-1,837.26	
09AUG17	B	1	PD09NOV17	10790.00	11086.21	USD	1,777.26	
09AUG17	S	1	PD09NOV17	10795.00	11086.21	USD	-1,747.26	
09AUG17	B	1	PD09NOV17	10800.00	11086.21	USD	1,717.26	
09AUG17	S	1	PD09NOV17	10800.00	11086.21	USD	-1,717.26	
09AUG17	S	1	PD09NOV17	10735.00	11086.21	USD	-2,107.26	
09AUG17	S	2	PD09NOV17	10745.00	11086.21	USD	-4,094.52	
09AUG17	B	2	PD09NOV17	10740.00	11086.21	USD	4,154.52	
23AUG17	B	2	PD09NOV17	11653.73	11086.21	USD	-6,810.24	
	NET	-1 AVG	BGHT 11062.91	SOLD 10769.29			-12,472.02	0.00
10AUG17	B	1	PD10NOV17	10820.00	11087.18	USD	1,603.08	
10AUG17	S	1	PD10NOV17	10830.00	11087.18	USD	-1,543.08	
10AUG17	S	1	PD10NOV17	10790.00	11087.18	USD	-1,783.08	
10AUG17	S	1	PD10NOV17	10785.00	11087.18	USD	-1,813.08	
10AUG17	B	1	PD10NOV17	10790.00	11087.18	USD	1,783.08	
10AUG17	S	1	PD10NOV17	10740.00	11087.18	USD	-2,083.08	
10AUG17	B	1	PD10NOV17	10770.00	11087.18	USD	1,903.08	

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10AUG17	B	2	PD10NOV17	10780.00	11087.18	USD	3,686.16	
10AUG17	B	1	PD10NOV17	10850.00	11087.18	USD	1,423.08	
10AUG17	S	1	PD10NOV17	10930.00	11087.18	USD	-943.08	
10AUG17	B	1	PD10NOV17	10975.00	11087.18	USD	673.08	
10AUG17	B	1	PD10NOV17	10945.00	11087.18	USD	853.08	
10AUG17	S	1	PD10NOV17	10905.00	11087.18	USD	-1,093.08	
10AUG17	S	1	PD10NOV17	10900.00	11087.18	USD	-1,123.08	
11AUG17	S	1	PD10NOV17	10845.00	11087.18	USD	-1,453.08	
11AUG17	S	1	PD10NOV17	10810.00	11087.18	USD	-1,663.08	
11AUG17	S	1	PD10NOV17	10820.00	11087.18	USD	-1,603.08	
11AUG17	S	1	PD10NOV17	10835.00	11087.18	USD	-1,513.08	
11AUG17	S	1	PD10NOV17	10745.00	11087.18	USD	-2,053.08	
11AUG17	B	1	PD10NOV17	10755.00	11087.18	USD	1,993.08	
11AUG17	S	1	PD10NOV17	10660.00	11087.18	USD	-2,563.08	
11AUG17	S	1	PD10NOV17	10605.00	11087.18	USD	-2,893.08	
11AUG17	B	1	PD10NOV17	10600.00	11087.18	USD	2,923.08	
11AUG17	B	1	PD10NOV17	10595.00	11087.18	USD	2,953.08	
11AUG17	B	3	PD10NOV17	10625.00	11087.18	USD	8,319.24	
11AUG17	S	2	PD10NOV17	10620.00	11087.18	USD	-5,606.16	
11AUG17	B	1	PD10NOV17	10615.00	11087.18	USD	2,833.08	
11AUG17	S	1	PD10NOV17	10810.00	11087.18	USD	-1,663.08	
11AUG17	B	1	PD10NOV17	10855.00	11087.18	USD	1,393.08	
11AUG17	S	1	PD10NOV17	10710.00	11087.18	USD	-2,263.08	
11AUG17	B	1	PD10NOV17	10675.00	11087.18	USD	2,473.08	
11AUG17	B	1	PD10NOV17	10660.00	11087.18	USD	2,563.08	
11AUG17	B	1	PD10NOV17	10685.00	11087.18	USD	2,413.08	
11AUG17	B	3	PD10NOV17	10670.00	11087.18	USD	7,509.24	
11AUG17	S	1	PD10NOV17	10675.00	11087.18	USD	-2,473.08	
11AUG17	S	3	PD10NOV17	10610.00	11087.18	USD	-8,589.24	
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	S	3	PD10NOV17	10630.00	11087.18	USD	-8,229.24	
11AUG17	B	2	PD10NOV17	10650.00	11087.18	USD	5,246.16	
11AUG17	B	1	PD10NOV17	10570.00	11087.18	USD	3,103.08	
11AUG17	B	1	PD10NOV17	10630.00	11087.18	USD	2,743.08	
11AUG17	S	1	PD10NOV17	10665.00	11087.18	USD	-2,533.08	
23AUG17	S	1	PD10NOV17	11653.44	11087.18	USD	3,397.56	
	NET	0AVG BGHT 10710.00	SOLD 10765.68				9,020.64	0.00
14AUG17	B	1	PD14NOV17	10665.00	11091.04	USD	2,556.24	
14AUG17	S	1	PD14NOV17	10660.00	11091.04	USD	-2,586.24	
14AUG17	S	1	PD14NOV17	10540.00	11091.04	USD	-3,306.24	
14AUG17	S	1	PD14NOV17	10530.00	11091.04	USD	-3,366.24	
14AUG17	S	1	PD14NOV17	10515.00	11091.04	USD	-3,456.24	
14AUG17	S	1	PD14NOV17	10510.00	11091.04	USD	-3,486.24	
14AUG17	S	2	PD14NOV17	10495.00	11091.04	USD	-7,152.48	
14AUG17	S	1	PD14NOV17	10475.00	11091.04	USD	-3,696.24	
14AUG17	B	2	PD14NOV17	10490.00	11091.04	USD	7,212.48	
14AUG17	B	2	PD14NOV17	10500.00	11091.04	USD	7,092.48	
14AUG17	S	1	PD14NOV17	10450.00	11091.04	USD	-3,846.24	
14AUG17	B	2	PD14NOV17	10435.00	11091.04	USD	7,872.48	
14AUG17	B	1	PD14NOV17	10420.00	11091.04	USD	4,026.24	
14AUG17	B	1	PD14NOV17	10515.00	11091.04	USD	3,456.24	
14AUG17	S	1	PD14NOV17	10420.00	11091.04	USD	-4,026.24	
14AUG17	S	3	PD14NOV17	10415.00	11091.04	USD	-12,168.72	
14AUG17	S	1	PD14NOV17	10410.00	11091.04	USD	-4,086.24	
14AUG17	S	1	PD14NOV17	10440.00	11091.04	USD	-3,906.24	
14AUG17	B	1	PD14NOV17	10410.00	11091.04	USD	4,086.24	
23AUG17	B	3	PD14NOV17	11657.29	11091.04	USD	-10,192.50	

OPEN POSITION STATEMENT



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SAP0094L

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	-2 AVG BGHT 10756.30	SOLD 10479.00				-28,973.70	0.00
15AUG17	S	1	PD15NOV17	10365.00	11092.00	USD	-4,362.00	
15AUG17	S	1	PD15NOV17	10360.00	11092.00	USD	-4,392.00	
15AUG17	B	1	PD15NOV17	10375.00	11092.00	USD	4,302.00	
15AUG17	B	1	PD15NOV17	10385.00	11092.00	USD	4,242.00	
15AUG17	B	1	PD15NOV17	10390.00	11092.00	USD	4,212.00	
15AUG17	S	1	PD15NOV17	10485.00	11092.00	USD	-3,642.00	
15AUG17	S	1	PD15NOV17	10410.00	11092.00	USD	-4,092.00	
15AUG17	S	1	PD15NOV17	10405.00	11092.00	USD	-4,122.00	
15AUG17	B	1	PD15NOV17	10405.00	11092.00	USD	4,122.00	
15AUG17	B	1	PD15NOV17	10410.00	11092.00	USD	4,092.00	
15AUG17	B	1	PD15NOV17	10395.00	11092.00	USD	4,182.00	
15AUG17	B	3	PD15NOV17	10400.00	11092.00	USD	12,456.00	
15AUG17	B	2	PD15NOV17	10380.00	11092.00	USD	8,544.00	
15AUG17	B	2	PD15NOV17	10355.00	11092.00	USD	8,844.00	
15AUG17	S	1	PD15NOV17	10375.00	11092.00	USD	-4,302.00	
15AUG17	S	1	PD15NOV17	10355.00	11092.00	USD	-4,422.00	
15AUG17	B	1	PD15NOV17	10360.00	11092.00	USD	4,392.00	
23AUG17	S	5	PD15NOV17	11657.00	11092.00	USD	16,950.00	
	NET	+2 AVG BGHT 10385.00	SOLD 10920.00				47,004.00	0.00
16AUG17	S	2	PD16NOV17	10555.00	11092.77	USD	-6,453.24	
16AUG17	S	2	PD16NOV17	10560.00	11092.77	USD	-6,393.24	
16AUG17	S	2	PD16NOV17	10565.00	11092.77	USD	-6,333.24	
16AUG17	S	2	PD16NOV17	10570.00	11092.77	USD	-6,273.24	
16AUG17	S	1	PD16NOV17	10650.00	11092.77	USD	-2,656.62	
16AUG17	S	1	PD16NOV17	10675.00	11092.77	USD	-2,506.62	
16AUG17	B	3	PD16NOV17	10685.00	11092.77	USD	7,339.86	
16AUG17	B	1	PD16NOV17	10680.00	11092.77	USD	2,476.62	
16AUG17	S	1	PD16NOV17	10750.00	11092.77	USD	-2,056.62	
16AUG17	S	1	PD16NOV17	10760.00	11092.77	USD	-1,996.62	
16AUG17	B	3	PD16NOV17	10750.00	11092.77	USD	6,169.86	
16AUG17	B	1	PD16NOV17	10730.00	11092.77	USD	2,176.62	
16AUG17	B	1	PD16NOV17	10715.00	11092.77	USD	2,266.62	
16AUG17	B	1	PD16NOV17	10725.00	11092.77	USD	2,206.62	
16AUG17	S	3	PD16NOV17	10555.00	11092.77	USD	-9,679.86	
16AUG17	S	1	PD16NOV17	10820.00	11092.77	USD	-1,636.62	
16AUG17	S	3	PD16NOV17	10815.00	11092.77	USD	-4,999.86	
16AUG17	B	3	PD16NOV17	10755.00	11092.77	USD	6,079.86	
16AUG17	B	1	PD16NOV17	10760.00	11092.77	USD	1,996.62	
16AUG17	S	1	PD16NOV17	10765.00	11092.77	USD	-1,966.62	
23AUG17	B	4	PD16NOV17	11656.00	11092.77	USD	-13,517.52	
	NET	-2 AVG BGHT 10933.56	SOLD 10651.50				-35,757.24	0.00
17AUG17	B	1	PD17NOV17	10790.00	11093.54	USD	1,821.24	
17AUG17	B	1	PD17NOV17	10805.00	11093.54	USD	1,731.24	
17AUG17	S	1	PD17NOV17	10785.00	11093.54	USD	-1,851.24	
17AUG17	S	1	PD17NOV17	10780.00	11093.54	USD	-1,881.24	
17AUG17	S	1	PD17NOV17	10770.00	11093.54	USD	-1,941.24	
17AUG17	S	1	PD17NOV17	10765.00	11093.54	USD	-1,971.24	
17AUG17	S	1	PD17NOV17	10845.00	11093.54	USD	-1,491.24	
17AUG17	S	3	PD17NOV17	10865.00	11093.54	USD	-4,113.72	
17AUG17	S	1	PD17NOV17	10815.00	11093.54	USD	-1,671.24	
17AUG17	B	1	PD17NOV17	10780.00	11093.54	USD	1,881.24	
17AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	
17AUG17	B	1	PD17NOV17	10735.00	11093.54	USD	2,151.24	
17AUG17	B	2	PD17NOV17	10750.00	11093.54	USD	4,122.48	
17AUG17	B	1	PD17NOV17	10760.00	11093.54	USD	2,001.24	

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17AUG17	B	1	PD17NOV17		10680.00	11093.54	USD	2,481.24	
18AUG17	S	1	PD17NOV17		10715.00	11093.54	USD	-2,271.24	
18AUG17	B	1	PD17NOV17		10855.00	11093.54	USD	1,431.24	
18AUG17	B	1	PD17NOV17		10880.00	11093.54	USD	1,281.24	
18AUG17	B	1	PD17NOV17		10945.00	11093.54	USD	891.24	
18AUG17	B	1	PD17NOV17		10860.00	11093.54	USD	1,401.24	
18AUG17	S	1	PD17NOV17		10870.00	11093.54	USD	-1,341.24	
18AUG17	B	1	PD17NOV17		10960.00	11093.54	USD	801.24	
18AUG17	B	1	PD17NOV17		10970.00	11093.54	USD	741.24	
18AUG17	B	1	PD17NOV17		10980.00	11093.54	USD	681.24	
23AUG17	B	3	PD17NOV17		11656.63	11093.54	USD	-10,135.62	
19SEP17	S	7	PD17NOV17		11115.53	11093.54	USD	923.58	
	NET	+1 AVG	BGHT 10961.57	SOLD 10930.48				-2,565.84	0.00
21AUG17	S	1	PD21NOV17		11130.00	11096.63	USD	200.22	
21AUG17	S	1	PD21NOV17		11195.00	11096.63	USD	590.22	
21AUG17	S	1	PD21NOV17		11255.00	11096.63	USD	950.22	
21AUG17	S	1	PD21NOV17		11240.00	11096.63	USD	860.22	
21AUG17	B	1	PD21NOV17		11295.00	11096.63	USD	-1,190.22	
21AUG17	S	1	PD21NOV17		11285.00	11096.63	USD	1,130.22	
	NET	-4 AVG	BGHT 11295.00	SOLD 11221.00				2,540.88	0.00
22AUG17	S	1	PD22NOV17		11270.00	11097.40	USD	1,035.60	
22AUG17	S	1	PD22NOV17		11250.00	11097.40	USD	915.60	
22AUG17	B	1	PD22NOV17		11305.00	11097.40	USD	-1,245.60	
22AUG17	S	1	PD22NOV17		11300.00	11097.40	USD	1,215.60	
22AUG17	B	1	PD22NOV17		11390.00	11097.40	USD	-1,755.60	
22AUG17	S	1	PD22NOV17		11395.00	11097.40	USD	1,785.60	
22AUG17	B	1	PD22NOV17		11405.00	11097.40	USD	-1,845.60	
22AUG17	S	1	PD22NOV17		11515.00	11097.40	USD	2,505.60	
22AUG17	S	1	PD22NOV17		11495.00	11097.40	USD	2,385.60	
22AUG17	S	1	PD22NOV17		11485.00	11097.40	USD	2,325.60	
22AUG17	S	1	PD22NOV17		11410.00	11097.40	USD	1,875.60	
22AUG17	S	1	PD22NOV17		11430.00	11097.40	USD	1,995.60	
22AUG17	B	1	PD22NOV17		11495.00	11097.40	USD	-2,385.60	
22AUG17	B	1	PD22NOV17		11490.00	11097.40	USD	-2,355.60	
22AUG17	B	1	PD22NOV17		11470.00	11097.40	USD	-2,235.60	
22AUG17	B	1	PD22NOV17		11415.00	11097.40	USD	-1,905.60	
22AUG17	S	1	PD22NOV17		11330.00	11097.40	USD	1,395.60	
22AUG17	S	1	PD22NOV17		11385.00	11097.40	USD	1,725.60	
22AUG17	S	1	PD22NOV17		11400.00	11097.40	USD	1,815.60	
22AUG17	S	1	PD22NOV17		11405.00	11097.40	USD	1,845.60	
19SEP17	B	6	PD22NOV17		11119.35	11097.40	USD	-790.20	
	NET	0 AVG	BGHT 11283.55	SOLD 11390.00				8,303.40	0.00
23AUG17	S	1	PD24NOV17		11350.00	11098.94	USD	1,506.36	
23AUG17	B	1	PD24NOV17		11270.00	11098.94	USD	-1,026.36	
23AUG17	B	1	PD24NOV17		11360.00	11098.94	USD	-1,566.36	
23AUG17	B	1	PD24NOV17		11415.00	11098.94	USD	-1,896.36	
23AUG17	S	1	PD24NOV17		11465.00	11098.94	USD	2,196.36	
23AUG17	S	1	PD24NOV17		11500.00	11098.94	USD	2,406.36	
23AUG17	B	1	PD24NOV17		11460.00	11098.94	USD	-2,166.36	
23AUG17	S	1	PD24NOV17		11515.00	11098.94	USD	2,496.36	
23AUG17	S	1	PD24NOV17		11580.00	11098.94	USD	2,886.36	
23AUG17	B	1	PD24NOV17		11530.00	11098.94	USD	-2,586.36	
23AUG17	B	1	PD24NOV17		11585.00	11098.94	USD	-2,916.36	
23AUG17	S	1	PD24NOV17		11685.00	11098.94	USD	3,516.36	
23AUG17	S	1	PD24NOV17		11690.00	11098.94	USD	3,546.36	
23AUG17	B	2	PD24NOV17		11690.00	11098.94	USD	-7,092.72	

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23AUG17	S	1	PD24NOV17	11730.00	11098.94	USD	3,786.36	
23AUG17	B	1	PD24NOV17	11720.00	11098.94	USD	-3,726.36	
24AUG17	S	1	PD24NOV17	11720.00	11098.94	USD	3,726.36	
24AUG17	B	1	PD24NOV17	11630.00	11098.94	USD	-3,186.36	
24AUG17	S	1	PD24NOV17	11645.00	11098.94	USD	3,276.36	
24AUG17	S	1	PD24NOV17	11710.00	11098.94	USD	3,666.36	
24AUG17	S	1	PD24NOV17	11680.00	11098.94	USD	3,486.36	
24AUG17	B	1	PD24NOV17	11690.00	11098.94	USD	-3,546.36	
24AUG17	S	1	PD24NOV17	11770.00	11098.94	USD	4,026.36	
24AUG17	B	1	PD24NOV17	11765.00	11098.94	USD	-3,996.36	
25AUG17	B	1	PD24NOV17	11610.00	11098.94	USD	-3,066.36	
25AUG17	B	1	PD24NOV17	11705.00	11098.94	USD	-3,636.36	
25AUG17	B	1	PD24NOV17	11650.00	11098.94	USD	-3,306.36	
25AUG17	S	1	PD24NOV17	11550.00	11098.94	USD	2,706.36	
25AUG17	S	1	PD24NOV17	11435.00	11098.94	USD	2,016.36	
25AUG17	S	1	PD24NOV17	11460.00	11098.94	USD	2,166.36	
25AUG17	B	1	PD24NOV17	11440.00	11098.94	USD	-2,046.36	
	NET	0AVG BGHT	11575.63	SOLD	11592.81		1,650.00	0.00
29AUG17	B	1	PD29NOV17	11830.00	11102.80	USD	-4,363.20	
29AUG17	B	3	PD29NOV17	11815.00	11102.80	USD	-12,819.60	
29AUG17	B	2	PD29NOV17	11805.00	11102.80	USD	-8,426.40	
29AUG17	B	2	PD29NOV17	11800.00	11102.80	USD	-8,366.40	
29AUG17	S	1	PD29NOV17	11645.00	11102.80	USD	3,253.20	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	S	1	PD29NOV17	11850.00	11102.80	USD	4,483.20	
29AUG17	S	1	PD29NOV17	11855.00	11102.80	USD	4,513.20	
29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	S	1	PD29NOV17	11685.00	11102.80	USD	3,493.20	
29AUG17	S	1	PD29NOV17	11720.00	11102.80	USD	3,703.20	
29AUG17	S	1	PD29NOV17	11700.00	11102.80	USD	3,583.20	
29AUG17	S	1	PD29NOV17	11660.00	11102.80	USD	3,343.20	
	NET	+1AVG BGHT	11801.67	SOLD	11741.88		-7,063.20	0.00
30AUG17	S	1	PD30NOV17	11680.00	11103.57	USD	3,458.58	
30AUG17	S	1	PD30NOV17	11685.00	11103.57	USD	3,488.58	
30AUG17	B	1	PD30NOV17	11680.00	11103.57	USD	-3,458.58	
30AUG17	B	1	PD30NOV17	11690.00	11103.57	USD	-3,518.58	
30AUG17	B	1	PD30NOV17	11705.00	11103.57	USD	-3,608.58	
30AUG17	B	1	PD30NOV17	11735.00	11103.57	USD	-3,788.58	
30AUG17	B	1	PD30NOV17	11760.00	11103.57	USD	-3,938.58	
30AUG17	B	1	PD30NOV17	11620.00	11103.57	USD	-3,098.58	
30AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
31AUG17	B	1	PD30NOV17	11665.00	11103.57	USD	-3,368.58	
31AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
31AUG17	S	1	PD30NOV17	11710.00	11103.57	USD	3,638.58	
31AUG17	B	1	PD30NOV17	11690.00	11103.57	USD	-3,518.58	
31AUG17	S	1	PD30NOV17	11725.00	11103.57	USD	3,728.58	
31AUG17	S	1	PD30NOV17	11775.00	11103.57	USD	4,028.58	
31AUG17	S	1	PD30NOV17	11785.00	11103.57	USD	4,088.58	
31AUG17	S	1	PD30NOV17	11805.00	11103.57	USD	4,208.58	
31AUG17	S	1	PD30NOV17	11820.00	11103.57	USD	4,298.58	
31AUG17	S	2	PD30NOV17	11800.00	11103.57	USD	8,357.16	
31AUG17	B	1	PD30NOV17	11770.00	11103.57	USD	-3,998.58	
31AUG17	B	1	PD30NOV17	11765.00	11103.57	USD	-3,968.58	
	NET	+2AVG BGHT	11699.17	SOLD	11758.50		-3,587.16	0.00
01SEP17	B	1	PD01DEC17	11785.00	11104.34	USD	-4,083.96	
01SEP17	S	4	PD01DEC17	12030.00	11104.34	USD	22,215.84	

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	NET	-3 AVG BGHT 11785.00	SOLD 12030.00				18,131.88	0.00
04SEP17	B	1 PD04DEC17		12235.00	11106.66	USD	-6,770.04	
04SEP17	B	1 PD04DEC17		12250.00	11106.66	USD	-6,860.04	
04SEP17	B	1 PD04DEC17		12230.00	11106.66	USD	-6,740.04	
04SEP17	B	1 PD04DEC17		12215.00	11106.66	USD	-6,650.04	
04SEP17	B	1 PD04DEC17		12190.00	11106.66	USD	-6,500.04	
04SEP17	S	1 PD04DEC17		12260.00	11106.66	USD	6,920.04	
04SEP17	S	1 PD04DEC17		12265.00	11106.66	USD	6,950.04	
	NET	+3 AVG BGHT 12224.00	SOLD 12262.50				-19,650.12	0.00
05SEP17	S	1 PD05DEC17		12265.00	11107.43	USD	6,945.42	
05SEP17	S	1 PD05DEC17		12320.00	11107.43	USD	7,275.42	
05SEP17	S	1 PD05DEC17		12250.00	11107.43	USD	6,855.42	
05SEP17	B	1 PD05DEC17		12230.00	11107.43	USD	-6,735.42	
05SEP17	B	2 PD05DEC17		12210.00	11107.43	USD	-13,230.84	
05SEP17	B	1 PD05DEC17		12165.00	11107.43	USD	-6,345.42	
05SEP17	S	1 PD05DEC17		12235.00	11107.43	USD	6,765.42	
05SEP17	S	1 PD05DEC17		12255.00	11107.43	USD	6,885.42	
05SEP17	S	1 PD05DEC17		12175.00	11107.43	USD	6,405.42	
05SEP17	S	1 PD05DEC17		12080.00	11107.43	USD	5,835.42	
05SEP17	B	1 PD05DEC17		12040.00	11107.43	USD	-5,595.42	
05SEP17	B	1 PD05DEC17		11955.00	11107.43	USD	-5,085.42	
	NET	-1 AVG BGHT 12135.00	SOLD 12225.71				9,975.42	0.00
06SEP17	B	1 PD06DEC17		12025.00	11108.20	USD	-5,500.80	
06SEP17	B	3 PD06DEC17		12010.00	11108.20	USD	-16,232.40	
06SEP17	B	1 PD06DEC17		12015.00	11108.20	USD	-5,440.80	
06SEP17	B	1 PD06DEC17		12080.00	11108.20	USD	-5,830.80	
06SEP17	B	1 PD06DEC17		12035.00	11108.20	USD	-5,560.80	
06SEP17	B	1 PD06DEC17		12040.00	11108.20	USD	-5,590.80	
06SEP17	B	1 PD06DEC17		12030.00	11108.20	USD	-5,530.80	
06SEP17	B	1 PD06DEC17		12020.00	11108.20	USD	-5,470.80	
06SEP17	S	1 PD06DEC17		12065.00	11108.20	USD	5,740.80	
06SEP17	S	1 PD06DEC17		12070.00	11108.20	USD	5,770.80	
06SEP17	S	1 PD06DEC17		12060.00	11108.20	USD	5,710.80	
06SEP17	S	4 PD06DEC17		12075.00	11108.20	USD	23,203.20	
06SEP17	S	1 PD06DEC17		12080.00	11108.20	USD	5,830.80	
06SEP17	S	1 PD06DEC17		12095.00	11108.20	USD	5,920.80	
06SEP17	S	1 PD06DEC17		12110.00	11108.20	USD	6,010.80	
06SEP17	S	1 PD06DEC17		12135.00	11108.20	USD	6,160.80	
06SEP17	S	1 PD06DEC17		12185.00	11108.20	USD	6,460.80	
	NET	-2 AVG BGHT 12027.50	SOLD 12091.67				15,651.60	0.00
07SEP17	B	1 PD07DEC17		12260.00	11108.97	USD	-6,906.18	
07SEP17	B	2 PD07DEC17		12230.00	11108.97	USD	-13,452.36	
07SEP17	S	1 PD07DEC17		12205.00	11108.97	USD	6,576.18	
07SEP17	B	1 PD07DEC17		12190.00	11108.97	USD	-6,486.18	
07SEP17	B	2 PD07DEC17		12185.00	11108.97	USD	-12,912.36	
07SEP17	B	1 PD07DEC17		12180.00	11108.97	USD	-6,426.18	
07SEP17	B	2 PD07DEC17		12150.00	11108.97	USD	-12,492.36	
07SEP17	B	4 PD07DEC17		12110.00	11108.97	USD	-24,024.72	
07SEP17	B	1 PD07DEC17		12055.00	11108.97	USD	-5,676.18	
07SEP17	S	1 PD07DEC17		12140.00	11108.97	USD	6,186.18	
07SEP17	S	1 PD07DEC17		12155.00	11108.97	USD	6,276.18	
07SEP17	S	1 PD07DEC17		12170.00	11108.97	USD	6,366.18	
07SEP17	B	1 PD07DEC17		12200.00	11108.97	USD	-6,546.18	
13SEP17	S	11 PD07DEC17		11355.62	11108.97	USD	16,278.90	
	NET	0 AVG BGHT 12163.67	SOLD 11572.12				-53,239.08	0.00
08SEP17	S	1 PD08DEC17		12275.00	11109.74	USD	6,991.56	

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	12285.00	11109.74	USD	7,051.56	
08SEP17	S	1	PD08DEC17	12295.00	11109.74	USD	7,111.56	
08SEP17	B	2	PD08DEC17	12330.00	11109.74	USD	-14,643.12	
08SEP17	B	1	PD08DEC17	12315.00	11109.74	USD	-7,231.56	
08SEP17	S	1	PD08DEC17	12265.00	11109.74	USD	6,931.56	
08SEP17	S	1	PD08DEC17	12180.00	11109.74	USD	6,421.56	
08SEP17	S	1	PD08DEC17	12160.00	11109.74	USD	6,301.56	
08SEP17	S	1	PD08DEC17	12185.00	11109.74	USD	6,451.56	
08SEP17	S	1	PD08DEC17	12095.00	11109.74	USD	5,911.56	
08SEP17	S	1	PD08DEC17	12070.00	11109.74	USD	5,761.56	
08SEP17	S	1	PD08DEC17	12060.00	11109.74	USD	5,701.56	
08SEP17	S	1	PD08DEC17	12055.00	11109.74	USD	5,671.56	
08SEP17	S	1	PD08DEC17	12010.00	11109.74	USD	5,401.56	
08SEP17	S	2	PD08DEC17	11985.00	11109.74	USD	10,503.12	
08SEP17	S	2	PD08DEC17	11955.00	11109.74	USD	10,143.12	
08SEP17	S	3	PD08DEC17	11940.00	11109.74	USD	14,944.68	
08SEP17	S	4	PD08DEC17	11960.00	11109.74	USD	20,406.24	
08SEP17	S	3	PD08DEC17	11965.00	11109.74	USD	15,394.68	
08SEP17	B	1	PD08DEC17	11960.00	11109.74	USD	-5,101.56	
08SEP17	B	1	PD08DEC17	11945.00	11109.74	USD	-5,011.56	
08SEP17	B	2	PD08DEC17	11940.00	11109.74	USD	-9,963.12	
08SEP17	B	1	PD08DEC17	11955.00	11109.74	USD	-5,071.56	
08SEP17	B	1	PD08DEC17	11920.00	11109.74	USD	-4,861.56	
08SEP17	S	1	PD08DEC17	12170.00	11109.74	USD	6,361.56	
08SEP17	S	1	PD08DEC17	11885.00	11109.74	USD	4,651.56	
08SEP17	S	1	PD08DEC17	11865.00	11109.74	USD	4,531.56	
08SEP17	S	1	PD08DEC17	11850.00	11109.74	USD	4,441.56	
08SEP17	S	3	PD08DEC17	11840.00	11109.74	USD	13,144.68	
08SEP17	S	1	PD08DEC17	11835.00	11109.74	USD	4,351.56	
08SEP17	B	2	PD08DEC17	11795.00	11109.74	USD	-8,223.12	
08SEP17	B	1	PD08DEC17	11790.00	11109.74	USD	-4,081.56	
08SEP17	B	1	PD08DEC17	11780.00	11109.74	USD	-4,021.56	
08SEP17	B	2	PD08DEC17	11770.00	11109.74	USD	-7,923.12	
08SEP17	B	1	PD08DEC17	11730.00	11109.74	USD	-3,721.56	
08SEP17	B	1	PD08DEC17	11720.00	11109.74	USD	-3,661.56	
08SEP17	B	1	PD08DEC17	11715.00	11109.74	USD	-3,631.56	
08SEP17	B	1	PD08DEC17	11710.00	11109.74	USD	-3,601.56	
08SEP17	S	1	PD08DEC17	11765.00	11109.74	USD	3,931.56	
08SEP17	S	1	PD08DEC17	11760.00	11109.74	USD	3,901.56	
08SEP17	S	1	PD08DEC17	11735.00	11109.74	USD	3,751.56	
08SEP17	B	2	PD08DEC17	11700.00	11109.74	USD	-7,083.12	
08SEP17	B	1	PD08DEC17	11690.00	11109.74	USD	-3,481.56	
08SEP17	B	1	PD08DEC17	11540.00	11109.74	USD	-2,581.56	
08SEP17	S	1	PD08DEC17	11580.00	11109.74	USD	2,821.56	
08SEP17	B	1	PD08DEC17	11570.00	11109.74	USD	-2,761.56	
08SEP17	B	1	PD08DEC17	11565.00	11109.74	USD	-2,731.56	
08SEP17	B	1	PD08DEC17	11505.00	11109.74	USD	-2,371.56	
08SEP17	S	2	PD08DEC17	11565.00	11109.74	USD	5,463.12	
08SEP17	S	1	PD08DEC17	11575.00	11109.74	USD	2,791.56	
08SEP17	S	1	PD08DEC17	11590.00	11109.74	USD	2,881.56	
08SEP17	S	1	PD08DEC17	11570.00	11109.74	USD	2,761.56	
08SEP17	S	1	PD08DEC17	11560.00	11109.74	USD	2,701.56	
13SEP17	B	11	PD08DEC17	11357.46	11109.74	USD	-16,349.52	
19SEP17	B	7	PD08DEC17	11132.59	11109.74	USD	-959.70	
	NET	0	AVG BGHT 11598.64	SOLD 11926.36			86,518.86	0.00
11SEP17	B	4	PD11DEC17	11480.00	11112.06	USD	-8,830.56	
11SEP17	B	1	PD11DEC17	11510.00	11112.06	USD	-2,387.64	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD11DEC17	11575.00	11112.06	USD	-2,777.64	
11SEP17	B	2	PD11DEC17	11535.00	11112.06	USD	-5,075.28	
11SEP17	B	1	PD11DEC17	11655.00	11112.06	USD	-3,257.64	
11SEP17	S	1	PD11DEC17	11800.00	11112.06	USD	4,127.64	
11SEP17	S	1	PD11DEC17	11820.00	11112.06	USD	4,247.64	
11SEP17	S	1	PD11DEC17	11830.00	11112.06	USD	4,307.64	
19SEP17	S	6	PD11DEC17	11132.88	11112.06	USD	749.52	
	NET	0 AVG BGHT 11525.56	SOLD 11360.81				-8,896.32	0.00
12SEP17	B	1	PD12DEC17	11675.00	11112.83	USD	-3,373.02	
12SEP17	B	1	PD12DEC17	11665.00	11112.83	USD	-3,313.02	
12SEP17	B	2	PD12DEC17	11670.00	11112.83	USD	-6,686.04	
12SEP17	B	1	PD12DEC17	11705.00	11112.83	USD	-3,553.02	
12SEP17	B	1	PD12DEC17	11695.00	11112.83	USD	-3,493.02	
12SEP17	S	1	PD12DEC17	11695.00	11112.83	USD	3,493.02	
12SEP17	S	1	PD12DEC17	11760.00	11112.83	USD	3,883.02	
12SEP17	S	1	PD12DEC17	11885.00	11112.83	USD	4,633.02	
12SEP17	S	1	PD12DEC17	11890.00	11112.83	USD	4,663.02	
12SEP17	B	1	PD12DEC17	11880.00	11112.83	USD	-4,603.02	
12SEP17	S	2	PD12DEC17	11970.00	11112.83	USD	10,286.04	
12SEP17	B	1	PD12DEC17	11925.00	11112.83	USD	-4,873.02	
12SEP17	B	1	PD12DEC17	11930.00	11112.83	USD	-4,903.02	
12SEP17	B	1	PD12DEC17	11910.00	11112.83	USD	-4,783.02	
	NET	+4 AVG BGHT 11772.50	SOLD 11861.67				-12,622.08	0.00
13SEP17	B	1	PD13DEC17	11930.00	11113.60	USD	-4,898.40	
13SEP17	B	1	PD13DEC17	11795.00	11113.60	USD	-4,088.40	
13SEP17	B	1	PD13DEC17	11575.00	11113.60	USD	-2,768.40	
13SEP17	B	1	PD13DEC17	11555.00	11113.60	USD	-2,648.40	
13SEP17	B	1	PD13DEC17	11595.00	11113.60	USD	-2,888.40	
13SEP17	B	2	PD13DEC17	11580.00	11113.60	USD	-5,596.80	
13SEP17	B	1	PD13DEC17	11455.00	11113.60	USD	-2,048.40	
13SEP17	B	1	PD13DEC17	11445.00	11113.60	USD	-1,988.40	
13SEP17	B	1	PD13DEC17	11405.00	11113.60	USD	-1,748.40	
19SEP17	S	10	PD13DEC17	11135.41	11113.60	USD	1,308.60	
	NET	0 AVG BGHT 11591.50	SOLD 11135.41				-27,365.40	0.00
14SEP17	S	1	PD14DEC17	11435.00	11114.37	USD	1,923.78	
14SEP17	S	3	PD14DEC17	11440.00	11114.37	USD	5,861.34	
14SEP17	S	1	PD14DEC17	11495.00	11114.37	USD	2,283.78	
14SEP17	S	1	PD14DEC17	11460.00	11114.37	USD	2,073.78	
14SEP17	S	1	PD14DEC17	11330.00	11114.37	USD	1,293.78	
14SEP17	B	1	PD14DEC17	11285.00	11114.37	USD	-1,023.78	
14SEP17	B	1	PD14DEC17	11280.00	11114.37	USD	-993.78	
14SEP17	B	1	PD14DEC17	11255.00	11114.37	USD	-843.78	
14SEP17	B	1	PD14DEC17	11265.00	11114.37	USD	-903.78	
14SEP17	B	1	PD14DEC17	11225.00	11114.37	USD	-663.78	
14SEP17	B	1	PD14DEC17	11210.00	11114.37	USD	-573.78	
14SEP17	B	1	PD14DEC17	11195.00	11114.37	USD	-483.78	
14SEP17	B	1	PD14DEC17	11200.00	11114.37	USD	-513.78	
14SEP17	S	1	PD14DEC17	11435.00	11114.37	USD	1,923.78	
14SEP17	S	2	PD14DEC17	11275.00	11114.37	USD	1,927.56	
14SEP17	S	3	PD14DEC17	11280.00	11114.37	USD	2,981.34	
14SEP17	S	3	PD14DEC17	11300.00	11114.37	USD	3,341.34	
14SEP17	S	1	PD14DEC17	11225.00	11114.37	USD	663.78	
14SEP17	S	2	PD14DEC17	11285.00	11114.37	USD	2,047.56	
14SEP17	S	1	PD14DEC17	11290.00	11114.37	USD	1,053.78	
14SEP17	B	1	PD14DEC17	11260.00	11114.37	USD	-873.78	
14SEP17	S	1	PD14DEC17	11285.00	11114.37	USD	1,023.78	

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14SEP17	B	1	PD14DEC17	11220.00	11114.37	USD	-633.78	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
19SEP17	B	10	PD14DEC17	11137.18	11114.37	USD	-1,368.60	
	NET	0 AVG BGHT 11189.13	SOLD 11339.76				18,979.20	0.00
15SEP17	B	1	PD15DEC17	11225.00	11115.14	USD	-659.16	
15SEP17	S	1	PD15DEC17	11260.00	11115.14	USD	869.16	
15SEP17	B	1	PD15DEC17	11160.00	11115.14	USD	-269.16	
15SEP17	S	1	PD15DEC17	10995.00	11115.14	USD	-720.84	
15SEP17	S	1	PD15DEC17	10935.00	11115.14	USD	-1,080.84	
15SEP17	S	1	PD15DEC17	10940.00	11115.14	USD	-1,050.84	
15SEP17	S	1	PD15DEC17	11040.00	11115.14	USD	-450.84	
15SEP17	S	1	PD15DEC17	11050.00	11115.14	USD	-390.84	
15SEP17	S	1	PD15DEC17	11000.00	11115.14	USD	-690.84	
19SEP17	B	5	PD15DEC17	11137.94	11115.14	USD	-684.00	
	NET	0 AVG BGHT 11153.53	SOLD 11031.43				-5,128.20	0.00
18SEP17	B	1	PD18DEC17	11115.00	11117.46	USD	14.76	
18SEP17	B	1	PD18DEC17	11110.00	11117.46	USD	44.76	
18SEP17	B	1	PD18DEC17	11195.00	11117.46	USD	-465.24	
18SEP17	S	1	PD18DEC17	11185.00	11117.46	USD	405.24	
18SEP17	S	1	PD18DEC17	11180.00	11117.46	USD	375.24	
	NET	+1 AVG BGHT 11140.00	SOLD 11182.50				374.76	0.00
19SEP17	B	1	PD19DEC17	11140.00	11118.23	USD	-130.62	
19SEP17	B	1	PD19DEC17	11130.00	11118.23	USD	-70.62	
19SEP17	B	2	PD19DEC17	11100.00	11118.23	USD	218.76	
19SEP17	B	1	PD19DEC17	11095.00	11118.23	USD	139.38	
19SEP17	B	1	PD19DEC17	11035.00	11118.23	USD	499.38	
19SEP17	S	1	PD19DEC17	11005.00	11118.23	USD	-679.38	
19SEP17	B	1	PD19DEC17	11110.00	11118.23	USD	49.38	
19SEP17	B	1	PD19DEC17	10965.00	11118.23	USD	919.38	
19SEP17	B	1	PD19DEC17	10980.00	11118.23	USD	829.38	
19SEP17	B	1	PD19DEC17	11005.00	11118.23	USD	679.38	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
	NET	+7 AVG BGHT 11066.00	SOLD 11141.67				3,555.66	0.00
20SEP17	B	2	PD20DEC17	11285.00	11119.00	USD	-1,992.00	
20SEP17	B	1	PD20DEC17	11385.00	11119.00	USD	-1,596.00	
20SEP17	S	1	PD20DEC17	11375.00	11119.00	USD	1,536.00	
20SEP17	S	3	PD20DEC17	11380.00	11119.00	USD	4,698.00	
20SEP17	S	1	PD20DEC17	11355.00	11119.00	USD	1,416.00	
20SEP17	S	1	PD20DEC17	11305.00	11119.00	USD	1,116.00	
20SEP17	S	1	PD20DEC17	11345.00	11119.00	USD	1,356.00	
20SEP17	S	1	PD20DEC17	11350.00	11119.00	USD	1,386.00	
20SEP17	S	3	PD20DEC17	11365.00	11119.00	USD	4,428.00	
	NET	-8 AVG BGHT 11318.33	SOLD 11360.45				12,348.00	0.00
21SEP17	S	1	PD21DEC17	11255.00	11119.73	USD	811.62	
21SEP17	B	1	PD21DEC17	11200.00	11119.73	USD	-481.62	
21SEP17	B	1	PD21DEC17	11205.00	11119.73	USD	-511.62	
21SEP17	B	2	PD21DEC17	11210.00	11119.73	USD	-1,083.24	
21SEP17	S	1	PD21DEC17	11115.00	11119.73	USD	-28.38	
21SEP17	B	1	PD21DEC17	11120.00	11119.73	USD	-1.62	
21SEP17	B	1	PD21DEC17	11035.00	11119.73	USD	508.38	
21SEP17	S	1	PD21DEC17	11035.00	11119.73	USD	-508.38	
21SEP17	B	2	PD21DEC17	10980.00	11119.73	USD	1,676.76	
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	B	1	PD21DEC17	10995.00	11119.73	USD	748.38	
21SEP17	B	3	PD21DEC17	11005.00	11119.73	USD	2,065.14	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10945.00	11119.73	USD	1,048.38	
21SEP17	B	2	PD21DEC17	11080.00	11119.73	USD	476.76	
21SEP17	B	2	PD21DEC17	11110.00	11119.73	USD	116.76	
21SEP17	B	2	PD21DEC17	11090.00	11119.73	USD	356.76	
21SEP17	B	2	PD21DEC17	11085.00	11119.73	USD	416.76	
21SEP17	B	1	PD21DEC17	11015.00	11119.73	USD	628.38	
21SEP17	B	1	PD21DEC17	10920.00	11119.73	USD	1,198.38	
21SEP17	B	1	PD21DEC17	10845.00	11119.73	USD	1,648.38	
21SEP17	S	1	PD21DEC17	10805.00	11119.73	USD	-1,888.38	
21SEP17	S	1	PD21DEC17	10720.00	11119.73	USD	-2,398.38	
21SEP17	S	1	PD21DEC17	10640.00	11119.73	USD	-2,878.38	
21SEP17	S	1	PD21DEC17	10645.00	11119.73	USD	-2,848.38	
21SEP17	S	1	PD21DEC17	10650.00	11119.73	USD	-2,818.38	
21SEP17	S	1	PD21DEC17	10765.00	11119.73	USD	-2,128.38	
21SEP17	S	1	PD21DEC17	10830.00	11119.73	USD	-1,738.38	
21SEP17	S	1	PD21DEC17	10750.00	11119.73	USD	-2,218.38	
21SEP17	S	1	PD21DEC17	10725.00	11119.73	USD	-2,368.38	
	NET	+13 AVG BGHT 11055.60	SOLD 10827.92				-11,391.06	0.00
22SEP17	B	1	PD22DEC17	10725.00	11120.45	USD	2,372.70	
22SEP17	S	1	PD22DEC17	10775.00	11120.45	USD	-2,072.70	
22SEP17	B	1	PD22DEC17	10795.00	11120.45	USD	1,952.70	
22SEP17	B	2	PD22DEC17	10770.00	11120.45	USD	4,205.40	
22SEP17	S	1	PD22DEC17	10695.00	11120.45	USD	-2,552.70	
22SEP17	B	1	PD22DEC17	10655.00	11120.45	USD	2,792.70	
22SEP17	S	1	PD22DEC17	10610.00	11120.45	USD	-3,062.70	
22SEP17	S	1	PD22DEC17	10540.00	11120.45	USD	-3,482.70	
22SEP17	S	3	PD22DEC17	10535.00	11120.45	USD	-10,538.10	
22SEP17	S	1	PD22DEC17	10535.00	11120.45	USD	-3,512.70	
22SEP17	S	2	PD22DEC17	10585.00	11120.45	USD	-6,425.40	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	B	1	PD22DEC17	10600.00	11120.45	USD	3,122.70	
22SEP17	B	1	PD22DEC17	10585.00	11120.45	USD	3,212.70	
22SEP17	B	2	PD22DEC17	10605.00	11120.45	USD	6,185.40	
22SEP17	B	1	PD22DEC17	10595.00	11120.45	USD	3,152.70	
22SEP17	B	3	PD22DEC17	10580.00	11120.45	USD	9,728.10	
22SEP17	B	2	PD22DEC17	10575.00	11120.45	USD	6,545.40	
22SEP17	B	1	PD22DEC17	10530.00	11120.45	USD	3,542.70	
22SEP17	B	1	PD22DEC17	10470.00	11120.45	USD	3,902.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	B	1	PD22DEC17	10535.00	11120.45	USD	3,512.70	
	NET	+10 AVG BGHT 10607.75	SOLD 10593.00				29,877.00	0.00
25SEP17	S	1	PD27DEC17	10585.00	11124.09	USD	-3,234.54	
25SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
25SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
25SEP17	S	6	PD27DEC17	10620.00	11124.09	USD	-18,147.24	
25SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
25SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	B	1	PD27DEC17	10495.00	11124.09	USD	3,774.54	
25SEP17	B	2	PD27DEC17	10525.00	11124.09	USD	7,189.08	
25SEP17	B	2	PD27DEC17	10530.00	11124.09	USD	7,129.08	
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	S	2	PD27DEC17	10560.00	11124.09	USD	-6,769.08	
25SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
25SEP17	S	1	PD27DEC17	10590.00	11124.09	USD	-3,204.54	
25SEP17	S	3	PD27DEC17	10570.00	11124.09	USD	-9,973.62	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

11th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	10630.00	11124.09	USD	2,964.54	
25SEP17	S	2	PD27DEC17	10595.00	11124.09	USD	-6,349.08	
25SEP17	B	1	PD27DEC17	10585.00	11124.09	USD	3,234.54	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
26SEP17	B	1	PD27DEC17	10615.00	11124.09	USD	3,054.54	
26SEP17	B	1	PD27DEC17	10630.00	11124.09	USD	2,964.54	
26SEP17	S	1	PD27DEC17	10660.00	11124.09	USD	-2,784.54	
26SEP17	S	2	PD27DEC17	10655.00	11124.09	USD	-5,629.08	
26SEP17	S	1	PD27DEC17	10640.00	11124.09	USD	-2,904.54	
26SEP17	S	1	PD27DEC17	10620.00	11124.09	USD	-3,024.54	
26SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
26SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
26SEP17	B	2	PD27DEC17	10475.00	11124.09	USD	7,789.08	
26SEP17	B	1	PD27DEC17	10465.00	11124.09	USD	3,954.54	
26SEP17	B	1	PD27DEC17	10445.00	11124.09	USD	4,074.54	
26SEP17	B	2	PD27DEC17	10450.00	11124.09	USD	8,089.08	
26SEP17	B	1	PD27DEC17	10415.00	11124.09	USD	4,254.54	
26SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
26SEP17	B	3	PD27DEC17	10500.00	11124.09	USD	11,233.62	
26SEP17	B	3	PD27DEC17	10495.00	11124.09	USD	11,323.62	
27SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
27SEP17	S	2	PD27DEC17	10485.00	11124.09	USD	-7,669.08	
27SEP17	S	1	PD27DEC17	10450.00	11124.09	USD	-4,044.54	
27SEP17	S	1	PD27DEC17	10445.00	11124.09	USD	-4,074.54	
27SEP17	S	1	PD27DEC17	10460.00	11124.09	USD	-3,984.54	
27SEP17	S	1	PD27DEC17	10475.00	11124.09	USD	-3,894.54	
27SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
27SEP17	S	1	PD27DEC17	10490.00	11124.09	USD	-3,804.54	
27SEP17	S	2	PD27DEC17	10505.00	11124.09	USD	-7,429.08	
27SEP17	S	1	PD27DEC17	10515.00	11124.09	USD	-3,654.54	
27SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
27SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
27SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
27SEP17	S	1	PD27DEC17	10590.00	11124.09	USD	-3,204.54	
27SEP17	S	1	PD27DEC17	10595.00	11124.09	USD	-3,174.54	
27SEP17	S	1	PD27DEC17	10480.00	11124.09	USD	-3,864.54	
27SEP17	S	1	PD27DEC17	10485.00	11124.09	USD	-3,834.54	
27SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
27SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
27SEP17	S	2	PD27DEC17	10550.00	11124.09	USD	-6,889.08	
27SEP17	B	2	PD27DEC17	10490.00	11124.09	USD	7,609.08	
27SEP17	B	1	PD27DEC17	10460.00	11124.09	USD	3,984.54	
27SEP17	S	1	PD27DEC17	10410.00	11124.09	USD	-4,284.54	
27SEP17	S	1	PD27DEC17	10380.00	11124.09	USD	-4,464.54	
27SEP17	S	1	PD27DEC17	10375.00	11124.09	USD	-4,494.54	
27SEP17	S	1	PD27DEC17	10365.00	11124.09	USD	-4,554.54	
27SEP17	B	1	PD27DEC17	10350.00	11124.09	USD	4,644.54	
27SEP17	B	2	PD27DEC17	10360.00	11124.09	USD	9,169.08	
27SEP17	S	1	PD27DEC17	10340.00	11124.09	USD	-4,704.54	
27SEP17	S	1	PD27DEC17	10325.00	11124.09	USD	-4,794.54	
27SEP17	B	1	PD27DEC17	10390.00	11124.09	USD	4,404.54	
27SEP17	B	1	PD27DEC17	10395.00	11124.09	USD	4,374.54	
27SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
27SEP17	S	1	PD27DEC17	10300.00	11124.09	USD	-4,944.54	
27SEP17	B	1	PD27DEC17	10315.00	11124.09	USD	4,854.54	
27SEP17	S	1	PD27DEC17	10290.00	11124.09	USD	-5,004.54	
27SEP17	S	1	PD27DEC17	10270.00	11124.09	USD	-5,124.54	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	2	PD27DEC17	10265.00	11124.09	USD	-10,309.08	
27SEP17	B	1	PD27DEC17	10220.00	11124.09	USD	5,424.54	
27SEP17	B	1	PD27DEC17	10235.00	11124.09	USD	5,334.54	
27SEP17	B	1	PD27DEC17	10225.00	11124.09	USD	5,394.54	
27SEP17	B	1	PD27DEC17	10230.00	11124.09	USD	5,364.54	
27SEP17	S	1	PD27DEC17	10255.00	11124.09	USD	-5,214.54	
27SEP17	B	1	PD27DEC17	10370.00	11124.09	USD	4,524.54	
27SEP17	B	2	PD27DEC17	10365.00	11124.09	USD	9,109.08	
27SEP17	B	1	PD27DEC17	10345.00	11124.09	USD	4,674.54	
	NET	-14 AVG BGHT 10450.53	SOLD 10512.70				-33,823.56	0.00
28SEP17	B	1	PD28DEC17	10300.00	11124.82	USD	4,948.92	
28SEP17	S	1	PD28DEC17	10280.00	11124.82	USD	-5,068.92	
28SEP17	B	1	PD28DEC17	10330.00	11124.82	USD	4,768.92	
28SEP17	B	1	PD28DEC17	10350.00	11124.82	USD	4,648.92	
28SEP17	B	1	PD28DEC17	10280.00	11124.82	USD	5,068.92	
28SEP17	B	2	PD28DEC17	10285.00	11124.82	USD	10,077.84	
28SEP17	S	2	PD28DEC17	10235.00	11124.82	USD	-10,677.84	
28SEP17	S	3	PD28DEC17	10255.00	11124.82	USD	-15,656.76	
28SEP17	S	2	PD28DEC17	10260.00	11124.82	USD	-10,377.84	
28SEP17	B	2	PD28DEC17	10290.00	11124.82	USD	10,017.84	
28SEP17	B	1	PD28DEC17	10325.00	11124.82	USD	4,798.92	
28SEP17	B	3	PD28DEC17	10310.00	11124.82	USD	14,666.76	
28SEP17	B	2	PD28DEC17	10335.00	11124.82	USD	9,477.84	
28SEP17	B	1	PD28DEC17	10445.00	11124.82	USD	4,078.92	
	NET	+7 AVG BGHT 10318.67	SOLD 10254.38				30,772.44	0.00
29SEP17	S	2	PD29DEC17	10515.00	11125.55	USD	-7,326.60	
29SEP17	S	1	PD29DEC17	10485.00	11125.55	USD	-3,843.30	
29SEP17	S	3	PD29DEC17	10475.00	11125.55	USD	-11,709.90	
29SEP17	S	3	PD29DEC17	10480.00	11125.55	USD	-11,619.90	
29SEP17	S	1	PD29DEC17	10470.00	11125.55	USD	-3,933.30	
29SEP17	B	1	PD29DEC17	10450.00	11125.55	USD	4,053.30	
29SEP17	B	1	PD29DEC17	10445.00	11125.55	USD	4,083.30	
29SEP17	B	1	PD29DEC17	10435.00	11125.55	USD	4,143.30	
29SEP17	B	1	PD29DEC17	10430.00	11125.55	USD	4,173.30	
29SEP17	B	1	PD29DEC17	10465.00	11125.55	USD	3,963.30	
29SEP17	B	1	PD29DEC17	10485.00	11125.55	USD	3,843.30	
29SEP17	B	1	PD29DEC17	10475.00	11125.55	USD	3,903.30	
29SEP17	B	1	PD29DEC17	10480.00	11125.55	USD	3,873.30	
29SEP17	B	2	PD29DEC17	10490.00	11125.55	USD	7,626.60	
29SEP17	B	2	PD29DEC17	10470.00	11125.55	USD	7,866.60	
	NET	+2 AVG BGHT 10465.42	SOLD 10485.00				9,096.60	0.00
09OCT17	B	1	PD09JAN18	10730.00	11133.55	USD	2,421.30	
09OCT17	B	1	PD09JAN18	10750.00	11133.55	USD	2,301.30	
09OCT17	B	1	PD09JAN18	10735.00	11133.55	USD	2,391.30	
09OCT17	B	1	PD09JAN18	10745.00	11133.55	USD	2,331.30	
09OCT17	S	2	PD09JAN18	10610.00	11133.55	USD	-6,282.60	
09OCT17	S	1	PD09JAN18	10620.00	11133.55	USD	-3,081.30	
09OCT17	B	2	PD09JAN18	10740.00	11133.55	USD	4,722.60	
09OCT17	S	2	PD09JAN18	10770.00	11133.55	USD	-4,362.60	
09OCT17	S	1	PD09JAN18	10775.00	11133.55	USD	-2,151.30	
09OCT17	S	1	PD09JAN18	10765.00	11133.55	USD	-2,211.30	
09OCT17	B	1	PD09JAN18	10765.00	11133.55	USD	2,211.30	
09OCT17	B	1	PD09JAN18	10770.00	11133.55	USD	2,181.30	
09OCT17	B	1	PD09JAN18	10780.00	11133.55	USD	2,121.30	
09OCT17	B	1	PD09JAN18	10735.00	11133.55	USD	2,391.30	
09OCT17	S	1	PD09JAN18	10830.00	11133.55	USD	-1,821.30	

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09OCT17	S	1	PD09JAN18	10820.00	11133.55	USD	-1,881.30	
09OCT17	S	1	PD09JAN18	10815.00	11133.55	USD	-1,911.30	
09OCT17	S	1	PD09JAN18	10865.00	11133.55	USD	-1,611.30	
09OCT17	S	1	PD09JAN18	10895.00	11133.55	USD	-1,431.30	
09OCT17	S	1	PD09JAN18	10910.00	11133.55	USD	-1,341.30	
09OCT17	S	1	PD09JAN18	10900.00	11133.55	USD	-1,401.30	
09OCT17	S	2	PD09JAN18	10915.00	11133.55	USD	-2,622.60	
09OCT17	S	1	PD09JAN18	10910.00	11133.55	USD	-1,341.30	
09OCT17	B	1	PD09JAN18	10875.00	11133.55	USD	1,551.30	
09OCT17	S	1	PD09JAN18	10970.00	11133.55	USD	-981.30	
09OCT17	S	1	PD09JAN18	10975.00	11133.55	USD	-951.30	
09OCT17	S	1	PD09JAN18	10950.00	11133.55	USD	-1,101.30	
	NET	-9 AVG BGHT 10760.45	SOLD 10829.50				-11,861.70	0.00
10OCT17	B	1	PD10JAN18	10975.00	11134.27	USD	955.62	
10OCT17	B	2	PD10JAN18	10965.00	11134.27	USD	2,031.24	
10OCT17	B	1	PD10JAN18	10970.00	11134.27	USD	985.62	
10OCT17	B	1	PD10JAN18	10960.00	11134.27	USD	1,045.62	
10OCT17	B	1	PD10JAN18	10945.00	11134.27	USD	1,135.62	
10OCT17	B	1	PD10JAN18	10950.00	11134.27	USD	1,105.62	
10OCT17	S	1	PD10JAN18	10905.00	11134.27	USD	-1,375.62	
10OCT17	S	1	PD10JAN18	10875.00	11134.27	USD	-1,555.62	
10OCT17	S	1	PD10JAN18	10830.00	11134.27	USD	-1,825.62	
10OCT17	B	1	PD10JAN18	11005.00	11134.27	USD	775.62	
10OCT17	B	1	PD10JAN18	11015.00	11134.27	USD	715.62	
10OCT17	S	2	PD10JAN18	11045.00	11134.27	USD	-1,071.24	
10OCT17	S	1	PD10JAN18	11045.00	11134.27	USD	-535.62	
10OCT17	S	1	PD10JAN18	11050.00	11134.27	USD	-505.62	
	NET	+2 AVG BGHT 10972.22	SOLD 10970.71				1,881.24	0.00
11OCT17	S	1	PD11JAN18	10995.00	11135.00	USD	-840.00	
11OCT17	S	1	PD11JAN18	10985.00	11135.00	USD	-900.00	
11OCT17	S	1	PD11JAN18	10990.00	11135.00	USD	-870.00	
11OCT17	S	1	PD11JAN18	11015.00	11135.00	USD	-720.00	
11OCT17	S	1	PD11JAN18	10965.00	11135.00	USD	-1,020.00	
11OCT17	S	1	PD11JAN18	10895.00	11135.00	USD	-1,440.00	
11OCT17	B	1	PD11JAN18	11090.00	11135.00	USD	270.00	
11OCT17	B	1	PD11JAN18	11075.00	11135.00	USD	360.00	
11OCT17	S	1	PD11JAN18	11120.00	11135.00	USD	-90.00	
11OCT17	S	2	PD11JAN18	11145.00	11135.00	USD	120.00	
11OCT17	B	1	PD11JAN18	11195.00	11135.00	USD	-360.00	
11OCT17	B	1	PD11JAN18	11205.00	11135.00	USD	-420.00	
11OCT17	S	1	PD11JAN18	11235.00	11135.00	USD	600.00	
11OCT17	S	1	PD11JAN18	11225.00	11135.00	USD	540.00	
11OCT17	S	1	PD11JAN18	11230.00	11135.00	USD	570.00	
	NET	-8 AVG BGHT 11141.25	SOLD 11078.75				-4,200.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD20OCT17	20090.00	20986.00	USD	-4,480.00	
20JUL17	S	1	PD20OCT17	20105.00	20986.00	USD	-4,405.00	
20JUL17	B	1	PD20OCT17	20095.00	20986.00	USD	4,455.00	
21JUL17	S	1	PD20OCT17	20150.00	20986.00	USD	-4,180.00	
08AUG17	B	2	PD20OCT17	20225.60	20986.00	USD	7,604.00	
09AUG17	S	1	PD20OCT17	20226.88	20986.00	USD	-3,795.60	
13SEP17	B	1	PD20OCT17	20567.50	20986.00	USD	2,092.50	
	NET	0 AVG BGHT 20278.43	SOLD 20142.97				-2,709.10	0.00
24JUL17	B	2	PD24OCT17	20250.00	20982.00	USD	7,320.00	
24JUL17	B	1	PD24OCT17	20155.00	20982.00	USD	4,135.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

11th October 2017

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD240CT17	20075.00	20982.00	USD	4,535.00	
24JUL17	B	1	PD240CT17	20220.00	20982.00	USD	3,810.00	
08AUG17	S	4	PD240CT17	20223.20	20982.00	USD	-15,176.00	
08AUG17	S	2	PD240CT17	20222.20	20982.00	USD	-7,598.00	
09AUG17	B	1	PD240CT17	20224.75	20982.00	USD	3,786.25	
09AUG17	B	3	PD240CT17	20223.75	20982.00	USD	11,373.75	
13SEP17	S	1	PD240CT17	20564.00	20982.00	USD	-2,090.00	
13SEP17	S	2	PD240CT17	20565.00	20982.00	USD	-4,170.00	
	NET	0 AVG	BGHT 20205.11				5,926.00	0.00
			SOLD 20336.80					
25JUL17	B	1	PD250CT17	20185.00	20980.00	USD	3,975.00	
25JUL17	S	1	PD250CT17	20330.00	20980.00	USD	-3,250.00	
25JUL17	B	1	PD250CT17	20340.00	20980.00	USD	3,200.00	
13SEP17	S	1	PD250CT17	20563.75	20980.00	USD	-2,081.25	
	NET	0 AVG	BGHT 20262.50				1,843.75	0.00
			SOLD 20446.88					
26JUL17	S	1	PD260CT17	20285.00	20978.00	USD	-3,465.00	
26JUL17	S	2	PD260CT17	20325.00	20978.00	USD	-6,530.00	
26JUL17	B	1	PD260CT17	20225.00	20978.00	USD	3,765.00	
26JUL17	S	1	PD260CT17	20300.00	20978.00	USD	-3,390.00	
26JUL17	S	1	PD260CT17	20315.00	20978.00	USD	-3,315.00	
26JUL17	S	1	PD260CT17	20310.00	20978.00	USD	-3,340.00	
26JUL17	B	1	PD260CT17	20370.00	20978.00	USD	3,040.00	
26JUL17	B	1	PD260CT17	20375.00	20978.00	USD	3,015.00	
26JUL17	B	2	PD260CT17	20370.00	20978.00	USD	6,080.00	
26JUL17	S	1	PD260CT17	20360.00	20978.00	USD	-3,090.00	
26JUL17	B	1	PD260CT17	20365.00	20978.00	USD	3,065.00	
26JUL17	S	1	PD260CT17	20345.00	20978.00	USD	-3,165.00	
13SEP17	B	1	PD260CT17	20562.50	20978.00	USD	2,077.50	
13SEP17	B	1	PD260CT17	20563.50	20978.00	USD	2,072.50	
	NET	0 AVG	BGHT 20400.13				-3,180.00	0.00
			SOLD 20320.63					
27JUL17	B	2	PD270CT17	20400.00	20976.00	USD	5,760.00	
27JUL17	S	1	PD270CT17	20740.00	20976.00	USD	-1,180.00	
27JUL17	S	1	PD270CT17	20750.00	20976.00	USD	-1,130.00	
28JUL17	S	1	PD270CT17	20740.00	20976.00	USD	-1,180.00	
28JUL17	S	1	PD270CT17	20695.00	20976.00	USD	-1,405.00	
28JUL17	S	1	PD270CT17	20755.00	20976.00	USD	-1,105.00	
28JUL17	B	1	PD270CT17	20755.00	20976.00	USD	1,105.00	
28JUL17	S	1	PD270CT17	20820.00	20976.00	USD	-780.00	
28JUL17	S	1	PD270CT17	20855.00	20976.00	USD	-605.00	
28JUL17	B	2	PD270CT17	20700.00	20976.00	USD	2,760.00	
28JUL17	B	1	PD270CT17	20625.00	20976.00	USD	1,755.00	
28JUL17	S	1	PD270CT17	20635.00	20976.00	USD	-1,705.00	
08AUG17	B	4	PD270CT17	20220.60	20976.00	USD	15,108.00	
09AUG17	S	3	PD270CT17	20218.06	20976.00	USD	-11,369.00	
13SEP17	B	1	PD270CT17	20561.25	20976.00	USD	2,073.75	
	NET	0 AVG	BGHT 20456.70				8,102.65	0.00
			SOLD 20604.02					
31JUL17	S	1	PD310CT17	20845.00	20972.00	USD	-635.00	
31JUL17	S	1	PD310CT17	20835.00	20972.00	USD	-685.00	
31JUL17	B	2	PD310CT17	20635.00	20972.00	USD	3,370.00	
	NET	0 AVG	BGHT 20635.00				2,050.00	0.00
			SOLD 20840.00					
01AUG17	S	1	PD01NOV17	20630.00	20970.00	USD	-1,700.00	
01AUG17	S	1	PD01NOV17	20635.00	20970.00	USD	-1,675.00	
01AUG17	B	1	PD01NOV17	20485.00	20970.00	USD	2,425.00	
01AUG17	B	1	PD01NOV17	20380.00	20970.00	USD	2,950.00	
01AUG17	B	2	PD01NOV17	20500.00	20970.00	USD	4,700.00	
01AUG17	S	1	PD01NOV17	20490.00	20970.00	USD	-2,400.00	
01AUG17	S	1	PD01NOV17	20525.00	20970.00	USD	-2,225.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20466.25	SOLD	20570.00		2,075.00	0.00
03AUG17	S	1	PD03NOV17		20625.00	20966.00	USD	-1,705.00	
03AUG17	B	1	PD03NOV17		20650.00	20966.00	USD	1,580.00	
	NET	0 AVG	BGHT	20650.00	SOLD	20625.00		-125.00	0.00
07AUG17	B	1	PD07NOV17		20600.00	20962.00	USD	1,810.00	
07AUG17	B	1	PD07NOV17		20605.00	20962.00	USD	1,785.00	
23AUG17	S	1	PD07NOV17		20566.92	20962.00	USD	-1,975.40	
13SEP17	S	1	PD07NOV17		20552.50	20962.00	USD	-2,047.50	
	NET	0 AVG	BGHT	20602.50	SOLD	20559.71		-427.90	0.00
08AUG17	B	1	PD08NOV17		20350.00	20960.00	USD	3,050.00	
08AUG17	B	1	PD08NOV17		20240.00	20960.00	USD	3,600.00	
08AUG17	B	1	PD08NOV17		20340.00	20960.00	USD	3,100.00	
08AUG17	S	1	PD08NOV17		20210.00	20960.00	USD	-3,750.00	
23AUG17	S	1	PD08NOV17		20565.77	20960.00	USD	-1,971.15	
13SEP17	S	1	PD08NOV17		20551.25	20960.00	USD	-2,043.75	
	NET	0 AVG	BGHT	20310.00	SOLD	20442.34		1,985.10	0.00
09AUG17	B	1	PD09NOV17		20370.00	20958.00	USD	2,940.00	
13SEP17	S	1	PD09NOV17		20550.00	20958.00	USD	-2,040.00	
	NET	0 AVG	BGHT	20370.00	SOLD	20550.00		900.00	0.00
10AUG17	B	1	PD10NOV17		20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17		20315.00	20956.00	USD	-3,205.00	
10AUG17	S	1	PD10NOV17		20325.00	20956.00	USD	-3,155.00	
11AUG17	S	1	PD10NOV17		20185.00	20956.00	USD	-3,855.00	
11AUG17	S	1	PD10NOV17		20210.00	20956.00	USD	-3,730.00	
23AUG17	B	1	PD10NOV17		20564.46	20956.00	USD	1,957.70	
13SEP17	B	2	PD10NOV17		20549.75	20956.00	USD	4,062.50	
	NET	0 AVG	BGHT	20490.99	SOLD	20258.75		-4,644.80	0.00
14AUG17	S	1	PD14NOV17		20260.00	20952.00	USD	-3,460.00	
13SEP17	B	1	PD14NOV17		20546.25	20952.00	USD	2,028.75	
	NET	0 AVG	BGHT	20546.25	SOLD	20260.00		-1,431.25	0.00
15AUG17	S	1	PD15NOV17		20350.00	20950.00	USD	-3,000.00	
15AUG17	B	1	PD15NOV17		20210.00	20950.00	USD	3,700.00	
15AUG17	B	1	PD15NOV17		19800.00	20950.00	USD	5,750.00	
15AUG17	B	1	PD15NOV17		20010.00	20950.00	USD	4,700.00	
15AUG17	S	1	PD15NOV17		19980.00	20950.00	USD	-4,850.00	
15AUG17	B	1	PD15NOV17		19970.00	20950.00	USD	4,900.00	
13SEP17	S	1	PD15NOV17		20544.00	20950.00	USD	-2,030.00	
13SEP17	S	1	PD15NOV17		20545.00	20950.00	USD	-2,025.00	
	NET	0 AVG	BGHT	19997.50	SOLD	20354.75		7,145.00	0.00
16AUG17	S	1	PD16NOV17		19940.00	20947.92	USD	-5,039.60	
16AUG17	B	1	PD16NOV17		19985.00	20947.92	USD	4,814.60	
	NET	0 AVG	BGHT	19985.00	SOLD	19940.00		-225.00	0.00
17AUG17	S	1	PD17NOV17		20155.00	20945.83	USD	-3,954.15	
17AUG17	S	1	PD17NOV17		20200.00	20945.83	USD	-3,729.15	
18AUG17	S	1	PD17NOV17		20350.00	20945.83	USD	-2,979.15	
18AUG17	B	1	PD17NOV17		20160.00	20945.83	USD	3,929.15	
23AUG17	B	1	PD17NOV17		20549.33	20945.83	USD	1,982.50	
13SEP17	B	1	PD17NOV17		20542.84	20945.83	USD	2,014.95	
	NET	0 AVG	BGHT	20417.39	SOLD	20235.00		-2,735.85	0.00
21AUG17	S	1	PD21NOV17		20400.00	20941.67	USD	-2,708.35	
13SEP17	B	1	PD21NOV17		20539.68	20941.67	USD	2,009.95	
	NET	0 AVG	BGHT	20539.68	SOLD	20400.00		-698.40	0.00
22AUG17	S	1	PD22NOV17		20425.00	20939.58	USD	-2,572.90	
13SEP17	B	1	PD22NOV17		20538.11	20939.58	USD	2,007.35	

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	NET	0 AVG	BGHT	20538.11	SOLD	20425.00		-565.55	0.00
24AUG17	B	1	PD24NOV17		20465.00	20937.50	USD	2,362.50	
25AUG17	S	1	PD24NOV17		20375.00	20937.50	USD	-2,812.50	
25AUG17	B	1	PD24NOV17		20300.00	20937.50	USD	3,187.50	
13SEP17	S	1	PD24NOV17		20534.53	20937.50	USD	-2,014.85	
	NET	0 AVG	BGHT	20382.50	SOLD	20454.77		722.65	0.00
29AUG17	B	1	PD29NOV17		20525.00	20931.25	USD	2,031.25	
13SEP17	S	1	PD29NOV17		20529.79	20931.25	USD	-2,007.30	
	NET	0 AVG	BGHT	20525.00	SOLD	20529.79		23.95	0.00
30AUG17	S	1	PD30NOV17		20570.00	20929.17	USD	-1,795.85	
	NET	-1 AVG	SOLD	20570.00				-1,795.85	0.00
01SEP17	B	1	PD01DEC17		20685.00	20927.08	USD	1,210.40	
13SEP17	S	1	PD01DEC17		20527.63	20927.08	USD	-1,997.25	
	NET	0 AVG	BGHT	20685.00	SOLD	20527.63		-786.85	0.00
05SEP17	S	1	PD05DEC17		20740.00	20922.92	USD	-914.60	
13SEP17	B	1	PD05DEC17		20525.47	20922.92	USD	1,987.25	
	NET	0 AVG	BGHT	20525.47	SOLD	20740.00		1,072.65	0.00
06SEP17	S	1	PD06DEC17		20650.00	20920.83	USD	-1,354.15	
06SEP17	S	1	PD06DEC17		20760.00	20920.83	USD	-804.15	
13SEP17	B	1	PD06DEC17		20522.89	20920.83	USD	1,989.70	
13SEP17	B	1	PD06DEC17		20523.89	20920.83	USD	1,984.70	
	NET	0 AVG	BGHT	20523.39	SOLD	20705.00		1,816.10	0.00
07SEP17	B	1	PD07DEC17		20725.00	20918.75	USD	968.75	
13SEP17	S	1	PD07DEC17		20520.32	20918.75	USD	-1,992.15	
	NET	0 AVG	BGHT	20725.00	SOLD	20520.32		-1,023.40	0.00
08SEP17	B	1	PD08DEC17		20750.00	20916.67	USD	833.35	
08SEP17	S	1	PD08DEC17		20520.00	20916.67	USD	-1,983.35	
	NET	0 AVG	BGHT	20750.00	SOLD	20520.00		-1,150.00	0.00
12SEP17	S	1	PD12DEC17		20675.00	20912.50	USD	-1,187.50	
12SEP17	B	1	PD12DEC17		20700.00	20912.50	USD	1,062.50	
	NET	0 AVG	BGHT	20700.00	SOLD	20675.00		-125.00	0.00
14SEP17	B	1	PD14DEC17		20675.00	20908.33	USD	1,166.65	
14SEP17	S	1	PD14DEC17		20650.00	20908.33	USD	-1,291.65	
14SEP17	B	1	PD14DEC17		20620.00	20908.33	USD	1,441.65	
	NET	+1 AVG	BGHT	20647.50	SOLD	20650.00		1,316.65	0.00
15SEP17	S	1	PD15DEC17		20555.00	20906.25	USD	-1,756.25	
15SEP17	S	1	PD15DEC17		20505.00	20906.25	USD	-2,006.25	
15SEP17	B	1	PD15DEC17		20430.00	20906.25	USD	2,381.25	
	NET	-1 AVG	BGHT	20430.00	SOLD	20530.00		-1,381.25	0.00
18SEP17	S	1	PD18DEC17		20640.00	20904.17	USD	-1,320.85	
	NET	-1 AVG	SOLD	20640.00				-1,320.85	0.00
19SEP17	B	1	PD19DEC17		20700.00	20902.08	USD	1,010.40	
	NET	+1 AVG	BGHT	20700.00				1,010.40	0.00
20SEP17	B	1	PD20DEC17		20765.00	20900.00	USD	675.00	
	NET	+1 AVG	BGHT	20765.00				675.00	0.00
21SEP17	S	1	PD21DEC17		20575.00	20897.69	USD	-1,613.45	
21SEP17	B	1	PD21DEC17		20420.00	20897.69	USD	2,388.45	
21SEP17	S	1	PD21DEC17		20390.00	20897.69	USD	-2,538.45	
	NET	-1 AVG	BGHT	20420.00	SOLD	20482.50		-1,763.45	0.00
22SEP17	S	1	PD22DEC17		20470.00	20895.38	USD	-2,126.90	
22SEP17	B	1	PD22DEC17		20450.00	20895.38	USD	2,226.90	
	NET	0 AVG	BGHT	20450.00	SOLD	20470.00		100.00	0.00

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28SEP17	S 1 PD28DEC17	20620.00	20890.77	USD	-1,353.85	
28SEP17	B 1 PD28DEC17	20620.00	20890.77	USD	1,353.85	
	NET 0AVG BGHT 20620.00 SOLD 20620.00				0.00	0.00
29SEP17	S 1 PD29DEC17	20695.00	20888.46	USD	-967.30	
	NET -1AVG SOLD 20695.00				-967.30	0.00
09OCT17	B 1 PD09JAN18	20700.00	20874.62	USD	873.10	
09OCT17	B 1 PD09JAN18	20625.00	20874.62	USD	1,248.10	
	NET +2AVG BGHT 20662.50				2,121.20	0.00
11OCT17	S 1 PD11JAN18	20720.00	20870.00	USD	-750.00	
11OCT17	B 1 PD11JAN18	20735.00	20870.00	USD	675.00	
11OCT17	S 1 PD11JAN18	20810.00	20870.00	USD	-300.00	
	NET -1AVG BGHT 20735.00 SOLD 20765.00				-375.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2847.50	3299.33	USD	-11,295.75	
13SEP17	B 1 PD12OCT17	3019.05	3299.33	USD	7,007.00	
	NET 0AVG BGHT 3019.05 SOLD 2847.50				-4,288.75	0.00
14JUL17	B 1 PD13OCT17	2795.00	3295.00	USD	12,500.00	
13SEP17	S 1 PD13OCT17	3018.88	3295.00	USD	-6,903.00	
	NET 0AVG BGHT 2795.00 SOLD 3018.88				5,597.00	0.00
17JUL17	B 1 PD17OCT17	2818.00	3286.33	USD	11,708.25	
17JUL17	S 1 PD17OCT17	2805.50	3286.33	USD	-12,020.75	
17JUL17	S 1 PD17OCT17	2816.50	3286.33	USD	-11,745.75	
17JUL17	B 1 PD17OCT17	2836.50	3286.33	USD	11,245.75	
17JUL17	S 1 PD17OCT17	2839.00	3286.33	USD	-11,183.25	
13SEP17	B 1 PD17OCT17	3019.22	3286.33	USD	6,677.75	
	NET 0AVG BGHT 2891.24 SOLD 2820.33				-5,318.00	0.00
18JUL17	B 1 PD18OCT17	2781.50	3282.00	USD	12,512.50	
18JUL17	S 1 PD18OCT17	2789.00	3282.00	USD	-12,325.00	
18JUL17	B 1 PD18OCT17	2784.00	3282.00	USD	12,450.00	
13SEP17	S 1 PD18OCT17	3019.25	3282.00	USD	-6,568.75	
	NET 0AVG BGHT 2782.75 SOLD 2904.13				6,068.75	0.00
19JUL17	S 1 PD19OCT17	2779.00	3277.50	USD	-12,462.50	
19JUL17	B 1 PD19OCT17	2790.50	3277.50	USD	12,175.00	
19JUL17	S 1 PD19OCT17	2791.00	3277.50	USD	-12,162.50	
19JUL17	B 1 PD19OCT17	2775.50	3277.50	USD	12,550.00	
19JUL17	S 1 PD19OCT17	2772.00	3277.50	USD	-12,637.50	
19JUL17	B 1 PD19OCT17	2738.00	3277.50	USD	13,487.50	
	NET 0AVG BGHT 2768.00 SOLD 2780.67				950.00	0.00
20JUL17	B 1 PD20OCT17	2731.00	3273.00	USD	13,550.00	
21JUL17	S 1 PD20OCT17	2773.50	3273.00	USD	-12,487.50	
21JUL17	S 1 PD20OCT17	2773.00	3273.00	USD	-12,500.00	
21JUL17	B 1 PD20OCT17	2743.00	3273.00	USD	13,250.00	
	NET 0AVG BGHT 2737.00 SOLD 2773.25				1,812.50	0.00
24JUL17	B 1 PD24OCT17	2759.50	3267.00	USD	12,687.50	
24JUL17	S 1 PD24OCT17	2780.50	3267.00	USD	-12,162.50	
24JUL17	B 1 PD24OCT17	2776.50	3267.00	USD	12,262.50	
13SEP17	S 1 PD24OCT17	3018.23	3267.00	USD	-6,219.25	
	NET 0AVG BGHT 2768.00 SOLD 2899.37				6,568.25	0.00
25JUL17	B 1 PD25OCT17	2786.50	3264.00	USD	11,937.50	
25JUL17	S 1 PD25OCT17	2805.50	3264.00	USD	-11,462.50	
25JUL17	S 1 PD25OCT17	2804.00	3264.00	USD	-11,500.00	
25JUL17	S 1 PD25OCT17	2800.50	3264.00	USD	-11,587.50	
25JUL17	B 1 PD25OCT17	2833.50	3264.00	USD	10,762.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	2834.00	3264.00	USD	10,750.00	
25JUL17	S	1	PD25OCT17	2818.50	3264.00	USD	-11,137.50	
25JUL17	S	1	PD25OCT17	2822.50	3264.00	USD	-11,037.50	
25JUL17	B	1	PD25OCT17	2839.00	3264.00	USD	10,625.00	
13SEP17	B	1	PD25OCT17	3018.55	3264.00	USD	6,136.25	
	NET	0 AVG	BGHT 2862.31	SOLD 2810.20			-6,513.75	0.00
26JUL17	B	1	PD26OCT17	2812.00	3262.33	USD	11,258.25	
26JUL17	B	1	PD26OCT17	2811.00	3262.33	USD	11,283.25	
08AUG17	S	2	PD26OCT17	2924.00	3262.33	USD	-16,916.50	
09AUG17	B	1	PD26OCT17	2929.75	3262.33	USD	8,314.50	
13SEP17	S	1	PD26OCT17	3018.47	3262.33	USD	-6,096.50	
	NET	0 AVG	BGHT 2850.92	SOLD 2955.49			7,843.00	0.00
27JUL17	S	1	PD27OCT17	2822.00	3260.67	USD	-10,966.75	
28JUL17	S	1	PD27OCT17	2805.00	3260.67	USD	-11,391.75	
28JUL17	S	1	PD27OCT17	2804.00	3260.67	USD	-11,416.75	
28JUL17	B	1	PD27OCT17	2780.50	3260.67	USD	12,004.25	
28JUL17	B	1	PD27OCT17	2781.50	3260.67	USD	11,979.25	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
	NET	0 AVG	BGHT 2780.67	SOLD 2810.33			2,225.00	0.00
01AUG17	S	1	PD01NOV17	2778.00	3255.67	USD	-11,941.75	
01AUG17	S	1	PD01NOV17	2776.50	3255.67	USD	-11,979.25	
08AUG17	B	2	PD01NOV17	2924.37	3255.67	USD	16,565.00	
09AUG17	S	1	PD01NOV17	2929.74	3255.67	USD	-8,148.25	
13SEP17	B	1	PD01NOV17	3019.19	3255.67	USD	5,912.00	
	NET	0 AVG	BGHT 2955.98	SOLD 2828.08			-9,592.25	0.00
03AUG17	B	1	PD03NOV17	2794.50	3252.89	USD	11,459.75	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
04AUG17	S	1	PD03NOV17	2816.00	3252.89	USD	-10,922.25	
07SEP17	S	1	PD03NOV17	3125.97	3252.89	USD	-3,173.00	
	NET	0 AVG	BGHT 2794.25	SOLD 2970.99			8,836.75	0.00
07AUG17	B	1	PD07NOV17	2792.00	3250.67	USD	11,466.75	
07AUG17	S	1	PD07NOV17	2879.50	3250.67	USD	-9,279.25	
07AUG17	B	1	PD07NOV17	2866.00	3250.67	USD	9,616.75	
23AUG17	S	1	PD07NOV17	3096.08	3250.67	USD	-3,864.75	
	NET	0 AVG	BGHT 2829.00	SOLD 2987.79			7,939.50	0.00
08AUG17	S	1	PD08NOV17	2881.50	3249.56	USD	-9,201.50	
08AUG17	B	1	PD08NOV17	2909.50	3249.56	USD	8,501.50	
08AUG17	B	1	PD08NOV17	2929.00	3249.56	USD	8,014.00	
13SEP17	S	1	PD08NOV17	3020.03	3249.56	USD	-5,738.25	
	NET	0 AVG	BGHT 2919.25	SOLD 2950.77			1,575.75	0.00
09AUG17	S	1	PD09NOV17	2953.50	3248.44	USD	-7,373.50	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	1	PD09NOV17	2972.00	3248.44	USD	-6,911.00	
09AUG17	S	1	PD09NOV17	2957.00	3248.44	USD	-7,286.00	
09AUG17	B	1	PD09NOV17	2954.50	3248.44	USD	7,348.50	
23AUG17	B	1	PD09NOV17	3096.51	3248.44	USD	3,798.25	
13SEP17	B	1	PD09NOV17	3020.35	3248.44	USD	5,702.25	
13SEP17	B	1	PD09NOV17	3020.15	3248.44	USD	5,707.25	
	NET	0 AVG	BGHT 3022.88	SOLD 2964.13			-5,875.25	0.00
10AUG17	B	1	PD10NOV17	2947.00	3247.33	USD	7,508.25	
10AUG17	S	1	PD10NOV17	2942.00	3247.33	USD	-7,633.25	
10AUG17	B	1	PD10NOV17	2950.00	3247.33	USD	7,433.25	
10AUG17	B	1	PD10NOV17	2929.00	3247.33	USD	7,958.25	
10AUG17	B	1	PD10NOV17	2912.00	3247.33	USD	8,383.25	
10AUG17	B	1	PD10NOV17	2923.50	3247.33	USD	8,095.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	2948.00	3247.33	USD	-7,483.25	
10AUG17	S	1	PD10NOV17	2939.50	3247.33	USD	-7,695.75	
10AUG17	B	1	PD10NOV17	2930.50	3247.33	USD	7,920.75	
10AUG17	B	1	PD10NOV17	2930.00	3247.33	USD	7,933.25	
10AUG17	S	1	PD10NOV17	2929.50	3247.33	USD	-7,945.75	
11AUG17	B	1	PD10NOV17	2916.00	3247.33	USD	8,283.25	
11AUG17	S	1	PD10NOV17	2930.00	3247.33	USD	-7,933.25	
11AUG17	S	1	PD10NOV17	2912.50	3247.33	USD	-8,370.75	
11AUG17	S	1	PD10NOV17	2911.50	3247.33	USD	-8,395.75	
11AUG17	B	1	PD10NOV17	2896.50	3247.33	USD	8,770.75	
11AUG17	S	1	PD10NOV17	2915.50	3247.33	USD	-8,295.75	
11AUG17	S	1	PD10NOV17	2922.50	3247.33	USD	-8,120.75	
11AUG17	B	1	PD10NOV17	2908.00	3247.33	USD	8,483.25	
11AUG17	B	1	PD10NOV17	2906.50	3247.33	USD	8,520.75	
11AUG17	S	1	PD10NOV17	2903.00	3247.33	USD	-8,608.25	
11AUG17	S	1	PD10NOV17	2904.50	3247.33	USD	-8,570.75	
	NET	0 AVG BGHT 2922.64	SOLD 2923.50				237.50	0.00
14AUG17	B	1	PD14NOV17	2915.00	3245.11	USD	8,252.75	
14AUG17	B	1	PD14NOV17	2913.50	3245.11	USD	8,290.25	
14AUG17	S	1	PD14NOV17	2911.50	3245.11	USD	-8,340.25	
14AUG17	S	1	PD14NOV17	2916.00	3245.11	USD	-8,227.75	
14AUG17	S	1	PD14NOV17	2923.50	3245.11	USD	-8,040.25	
23AUG17	B	1	PD14NOV17	3096.88	3245.11	USD	3,705.75	
	NET	0 AVG BGHT 2975.13	SOLD 2917.00				-4,359.50	0.00
15AUG17	B	1	PD15NOV17	2914.00	3244.00	USD	8,250.00	
15AUG17	S	1	PD15NOV17	2932.00	3244.00	USD	-7,800.00	
15AUG17	B	1	PD15NOV17	2962.00	3244.00	USD	7,050.00	
15AUG17	S	1	PD15NOV17	2939.00	3244.00	USD	-7,625.00	
	NET	0 AVG BGHT 2938.00	SOLD 2935.50				-125.00	0.00
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	B	2	PD16NOV17	2980.50	3241.50	USD	13,050.00	
16AUG17	S	1	PD16NOV17	2981.00	3241.50	USD	-6,512.50	
16AUG17	B	1	PD16NOV17	3002.00	3241.50	USD	5,987.50	
16AUG17	B	1	PD16NOV17	3009.00	3241.50	USD	5,812.50	
16AUG17	S	2	PD16NOV17	3008.00	3241.50	USD	-11,675.00	
16AUG17	S	1	PD16NOV17	3021.50	3241.50	USD	-5,500.00	
16AUG17	B	1	PD16NOV17	3049.50	3241.50	USD	4,800.00	
16AUG17	B	1	PD16NOV17	3050.50	3241.50	USD	4,775.00	
16AUG17	S	1	PD16NOV17	3088.50	3241.50	USD	-3,825.00	
16AUG17	B	1	PD16NOV17	3094.50	3241.50	USD	3,675.00	
16AUG17	B	1	PD16NOV17	3108.50	3241.50	USD	3,325.00	
16AUG17	B	1	PD16NOV17	3109.00	3241.50	USD	3,312.50	
13SEP17	S	1	PD16NOV17	3018.30	3241.50	USD	-5,580.00	
20SEP17	S	2	PD16NOV17	3128.25	3241.50	USD	-5,662.50	
	NET	0 AVG BGHT 3042.67	SOLD 3040.20				-555.00	0.00
17AUG17	B	1	PD17NOV17	3121.00	3239.00	USD	2,950.00	
17AUG17	B	1	PD17NOV17	3135.00	3239.00	USD	2,600.00	
17AUG17	S	1	PD17NOV17	3135.00	3239.00	USD	-2,600.00	
17AUG17	B	1	PD17NOV17	3098.00	3239.00	USD	3,525.00	
17AUG17	S	1	PD17NOV17	3091.00	3239.00	USD	-3,700.00	
17AUG17	B	1	PD17NOV17	3097.00	3239.00	USD	3,550.00	
17AUG17	B	1	PD17NOV17	3064.00	3239.00	USD	4,375.00	
18AUG17	S	1	PD17NOV17	3115.00	3239.00	USD	-3,100.00	
18AUG17	B	1	PD17NOV17	3092.50	3239.00	USD	3,662.50	
18AUG17	S	1	PD17NOV17	3099.00	3239.00	USD	-3,500.00	
23AUG17	S	1	PD17NOV17	3094.30	3239.00	USD	-3,617.50	

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13SEP17	S 1 PD17NOV17	3018.64	3239.00	USD	-5,509.00	
	NET 0 AVG BGHT 3101.25 SOLD 3092.16				-1,364.00	0.00
22AUG17	S 1 PD22NOV17	3138.50	3236.00	USD	-2,437.50	
22AUG17	S 1 PD22NOV17	3101.50	3236.00	USD	-3,362.50	
07SEP17	B 1 PD22NOV17	3126.88	3236.00	USD	2,728.00	
13SEP17	B 1 PD22NOV17	3020.74	3236.00	USD	5,381.50	
	NET 0 AVG BGHT 3073.81 SOLD 3120.00				2,309.50	0.00
23AUG17	B 1 PD24NOV17	3109.00	3235.00	USD	3,150.00	
24AUG17	B 1 PD24NOV17	3127.00	3235.00	USD	2,700.00	
24AUG17	S 1 PD24NOV17	3119.00	3235.00	USD	-2,900.00	
25AUG17	B 1 PD24NOV17	3134.00	3235.00	USD	2,525.00	
25AUG17	S 1 PD24NOV17	3133.50	3235.00	USD	-2,537.50	
25AUG17	B 1 PD24NOV17	3129.50	3235.00	USD	2,637.50	
25AUG17	S 1 PD24NOV17	3125.50	3235.00	USD	-2,737.50	
07SEP17	S 1 PD24NOV17	3127.25	3235.00	USD	-2,693.75	
	NET 0 AVG BGHT 3124.88 SOLD 3126.31				143.75	0.00
29AUG17	S 1 PD29NOV17	3118.50	3234.00	USD	-2,887.50	
07SEP17	B 1 PD29NOV17	3127.45	3234.00	USD	2,663.75	
	NET 0 AVG BGHT 3127.45 SOLD 3118.50				-223.75	0.00
31AUG17	S 1 PD30NOV17	3153.50	3233.67	USD	-2,004.25	
31AUG17	B 1 PD30NOV17	3138.00	3233.67	USD	2,391.75	
31AUG17	S 1 PD30NOV17	3154.00	3233.67	USD	-1,991.75	
13SEP17	B 1 PD30NOV17	3023.45	3233.67	USD	5,255.50	
	NET 0 AVG BGHT 3080.73 SOLD 3153.75				3,651.25	0.00
01SEP17	B 1 PD01DEC17	3140.00	3233.33	USD	2,333.25	
01SEP17	B 1 PD01DEC17	3138.50	3233.33	USD	2,370.75	
13SEP17	S 2 PD01DEC17	3023.31	3233.33	USD	-10,501.00	
	NET 0 AVG BGHT 3139.25 SOLD 3023.31				-5,797.00	0.00
05SEP17	S 1 PD05DEC17	3202.50	3232.67	USD	-754.25	
05SEP17	S 1 PD05DEC17	3155.00	3232.67	USD	-1,941.75	
05SEP17	S 1 PD05DEC17	3154.50	3232.67	USD	-1,954.25	
05SEP17	S 1 PD05DEC17	3144.50	3232.67	USD	-2,204.25	
13SEP17	B 2 PD05DEC17	3023.76	3232.67	USD	10,445.50	
20SEP17	B 2 PD05DEC17	3128.22	3232.67	USD	5,222.50	
	NET 0 AVG BGHT 3075.99 SOLD 3164.13				8,813.50	0.00
06SEP17	B 1 PD06DEC17	3101.50	3232.33	USD	3,270.75	
13SEP17	S 1 PD06DEC17	3023.43	3232.33	USD	-5,222.50	
	NET 0 AVG BGHT 3101.50 SOLD 3023.43				-1,951.75	0.00
07SEP17	S 1 PD07DEC17	3139.00	3232.00	USD	-2,325.00	
13SEP17	B 1 PD07DEC17	3023.69	3232.00	USD	5,207.75	
	NET 0 AVG BGHT 3023.69 SOLD 3139.00				2,882.75	0.00
08SEP17	B 1 PD08DEC17	3144.50	3231.67	USD	2,179.25	
08SEP17	S 1 PD08DEC17	3094.00	3231.67	USD	-3,441.75	
08SEP17	B 1 PD08DEC17	3083.00	3231.67	USD	3,716.75	
08SEP17	S 1 PD08DEC17	3121.00	3231.67	USD	-2,766.75	
08SEP17	B 1 PD08DEC17	3060.00	3231.67	USD	4,291.75	
08SEP17	S 1 PD08DEC17	3052.50	3231.67	USD	-4,479.25	
08SEP17	B 1 PD08DEC17	3031.50	3231.67	USD	5,004.25	
13SEP17	S 1 PD08DEC17	3023.55	3231.67	USD	-5,203.00	
	NET 0 AVG BGHT 3079.75 SOLD 3072.76				-698.75	0.00
12SEP17	B 1 PD12DEC17	3061.50	3231.00	USD	4,237.50	
	NET +1 AVG BGHT 3061.50				4,237.50	0.00
13SEP17	S 1 PD13DEC17	3056.00	3230.67	USD	-4,366.75	
	NET -1 AVG SOLD 3056.00				-4,366.75	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14SEP17	B 1 PD14DEC17	3000.00	3230.33	USD	5,758.25	
	NET +1AVG BGHT 3000.00				5,758.25	0.00
15SEP17	S 1 PD15DEC17	3000.00	3230.00	USD	-5,750.00	
	NET -1AVG SOLD 3000.00				-5,750.00	0.00
18SEP17	B 1 PD18DEC17	3047.00	3229.67	USD	4,566.75	
18SEP17	B 1 PD18DEC17	3072.50	3229.67	USD	3,929.25	
18SEP17	S 1 PD18DEC17	3077.50	3229.67	USD	-3,804.25	
18SEP17	B 1 PD18DEC17	3089.50	3229.67	USD	3,504.25	
	NET +2AVG BGHT 3069.67 SOLD 3077.50				8,196.00	0.00
19SEP17	S 1 PD19DEC17	3108.00	3229.33	USD	-3,033.25	
19SEP17	S 1 PD19DEC17	3083.00	3229.33	USD	-3,658.25	
19SEP17	B 1 PD19DEC17	3104.00	3229.33	USD	3,133.25	
	NET -1AVG BGHT 3104.00 SOLD 3095.50				-3,558.25	0.00
20SEP17	B 1 PD20DEC17	3096.00	3229.00	USD	3,325.00	
20SEP17	S 1 PD20DEC17	3137.00	3229.00	USD	-2,300.00	
	NET 0AVG BGHT 3096.00 SOLD 3137.00				1,025.00	0.00
21SEP17	S 1 PD21DEC17	3069.00	3225.00	USD	-3,900.00	
21SEP17	B 1 PD21DEC17	3108.50	3225.00	USD	2,912.50	
21SEP17	S 1 PD21DEC17	3077.00	3225.00	USD	-3,700.00	
	NET -1AVG BGHT 3108.50 SOLD 3073.00				-4,687.50	0.00
25SEP17	B 1 PD27DEC17	3096.50	3224.00	USD	3,187.50	
26SEP17	S 1 PD27DEC17	3115.00	3224.00	USD	-2,725.00	
26SEP17	B 1 PD27DEC17	3110.00	3224.00	USD	2,850.00	
27SEP17	B 1 PD27DEC17	3139.00	3224.00	USD	2,125.00	
27SEP17	S 1 PD27DEC17	3109.00	3224.00	USD	-2,875.00	
27SEP17	S 1 PD27DEC17	3114.00	3224.00	USD	-2,750.00	
	NET 0AVG BGHT 3115.17 SOLD 3112.67				-187.50	0.00
28SEP17	B 1 PD28DEC17	3136.00	3223.50	USD	2,187.50	
28SEP17	S 1 PD28DEC17	3135.50	3223.50	USD	-2,200.00	
28SEP17	S 1 PD28DEC17	3121.00	3223.50	USD	-2,562.50	
28SEP17	B 1 PD28DEC17	3148.00	3223.50	USD	1,887.50	
28SEP17	B 1 PD28DEC17	3150.00	3223.50	USD	1,837.50	
28SEP17	S 1 PD28DEC17	3156.50	3223.50	USD	-1,675.00	
	NET 0AVG BGHT 3144.67 SOLD 3137.67				-525.00	0.00
29SEP17	B 1 PD29DEC17	3141.00	3223.00	USD	2,050.00	
29SEP17	B 1 PD29DEC17	3140.50	3223.00	USD	2,062.50	
29SEP17	S 2 PD29DEC17	3139.00	3223.00	USD	-4,200.00	
29SEP17	S 1 PD29DEC17	3137.00	3223.00	USD	-2,150.00	
29SEP17	B 1 PD29DEC17	3158.50	3223.00	USD	1,612.50	
29SEP17	B 1 PD29DEC17	3160.00	3223.00	USD	1,575.00	
	NET +1AVG BGHT 3150.00 SOLD 3138.33				950.00	0.00
09OCT17	S 1 PD09JAN18	3263.00	3220.00	USD	1,075.00	
09OCT17	B 1 PD09JAN18	3263.00	3220.00	USD	-1,075.00	
09OCT17	S 1 PD09JAN18	3236.50	3220.00	USD	412.50	
09OCT17	B 1 PD09JAN18	3233.50	3220.00	USD	-337.50	
	NET 0AVG BGHT 3248.25 SOLD 3249.75				75.00	0.00
10OCT17	S 1 PD10JAN18	3233.00	3219.50	USD	337.50	
	NET -1AVG SOLD 3233.00				337.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	106,275.00
LME Copper Grade A 25	FUTURES	-2,019,945.00
CMX Gold	FUTURES	-1,285,170.00
LME Lead 25 tonnes. This is an	FUTURES	62,939.75
LME Nickel 6 tonnes. This is	FUTURES	121,443.78
LME Tin 5 tonnes. This is an	FUTURES	116,134.75
LME Zinc 25 tonnes. This is	FUTURES	22,067.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	3,730.00	3,730.00	3,730.00	3,730.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	50,690.75	50,690.75		
COPL - Futures USD	-151,594.00	-151,594.00		
LEAD - Futures USD	-1,257.75	-1,257.75		
NKL - Futures USD	121,384.92	121,384.92		
TIN - Futures USD	11,454.30	11,454.30		
ZINC - Futures USD	22,296.25	22,296.25	52,974.47	52,974.47

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	15,585.63	15,585.63
- Options	3,730.00	3,730.00
	0.00	0.00
TOTAL EQUITY	19,315.63	19,315.63
Initial Margins	-172,660.53	-172,660.53
Excess/(Shortage)	-153,344.90	-153,344.90
FOR INFORMATION ONLY		
Forward Unrealised P&L	52,974.47	52,974.47
Net Liquidating Value	72,290.10	72,290.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
09OCT17	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
	S 2 NOV17	49.67	51.30	USD	-3,260.00	
	NET -2 AVG SOLD 49.67				-3,260.00	0.00
11OCT17	S 1 DEC17	51.38	51.60	USD	-220.00	
11OCT17	S 1 DEC17	51.50	51.60	USD	-100.00	
11OCT17	S 2 DEC17	51.56	51.60	USD	-80.00	
	NET -4 AVG SOLD 51.50				-400.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 3 PD12OCT17	5928.00	6755.20	USD	-62,040.00	
18JUL17	B 3 PD12OCT17	6004.72	6755.20	USD	56,286.00	
	NET 0 AVG BGHT 6004.72 SOLD 5928.00				-5,754.00	0.00
13JUL17	B 1 PD13OCT17	5878.50	6756.00	USD	21,937.50	
13JUL17	B 1 PD13OCT17	5875.50	6756.00	USD	22,012.50	
13JUL17	B 1 PD13OCT17	5877.00	6756.00	USD	21,975.00	
18JUL17	S 3 PD13OCT17	6004.93	6756.00	USD	-56,330.25	
	NET 0 AVG BGHT 5877.00 SOLD 6004.93				9,594.75	0.00
17JUL17	S 3 PD17OCT17	6017.00	6759.20	USD	-55,665.00	
18JUL17	B 3 PD17OCT17	6006.79	6759.20	USD	56,430.75	
	NET 0 AVG BGHT 6006.79 SOLD 6017.00				765.75	0.00
25JUL17	S 1 PD25OCT17	6122.50	6765.68	USD	-16,079.50	
25JUL17	S 2 PD25OCT17	6125.00	6765.68	USD	-32,034.00	
25JUL17	S 1 PD25OCT17	6125.50	6765.68	USD	-16,004.50	
25JUL17	S 1 PD25OCT17	6127.00	6765.68	USD	-15,967.00	
25JUL17	S 1 PD25OCT17	6119.50	6765.68	USD	-16,154.50	
08AUG17	B 6 PD25OCT17	6474.66	6765.68	USD	43,653.00	
	NET 0 AVG BGHT 6474.66 SOLD 6124.08				-52,586.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
06OCT17	S 1 DEC17	304.900	309.550	USD	-1,162.50	
11OCT17	S 2 DEC17	309.350	309.550	USD	-100.00	
11OCT17	S 2 DEC17	309.400	309.550	USD	-75.00	
	NET -5 AVG SOLD 308.480				-1,337.50	0.00
	<u>CMX GOLD 100 CMX</u>					
11OCT17	B 1 DEC17	1289.5	1288.9	USD	-60.00	
	NET +1 AVG BGHT 1289.5				-60.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20SEP17	S 3 PD20DEC17	11460.00	11119.00	USD	6,138.00	
20SEP17	S 4 PD20DEC17	11455.00	11119.00	USD	8,064.00	
20SEP17	S 1 PD20DEC17	11395.00	11119.00	USD	1,656.00	
20SEP17	B 1 PD20DEC17	11370.00	11119.00	USD	-1,506.00	
20SEP17	B 1 PD20DEC17	11340.00	11119.00	USD	-1,326.00	
20SEP17	S 2 PD20DEC17	11305.00	11119.00	USD	2,232.00	
20SEP17	S 4 PD20DEC17	11315.00	11119.00	USD	4,704.00	
20SEP17	B 1 PD20DEC17	11305.00	11119.00	USD	-1,116.00	
20SEP17	S 1 PD20DEC17	11295.00	11119.00	USD	1,056.00	
20SEP17	S 1 PD20DEC17	11300.00	11119.00	USD	1,086.00	
20SEP17	B 1 PD20DEC17	11375.00	11119.00	USD	-1,536.00	
20SEP17	S 2 PD20DEC17	11370.00	11119.00	USD	3,012.00	
	NET -14 AVG BGHT 11347.50 SOLD 11377.78				22,464.00	0.00
21SEP17	B 1 PD21DEC17	11160.00	11119.73	USD	-241.62	
21SEP17	B 1 PD21DEC17	11030.00	11119.73	USD	538.38	
21SEP17	B 1 PD21DEC17	11060.00	11119.73	USD	358.38	
21SEP17	B 1 PD21DEC17	11050.00	11119.73	USD	418.38	
21SEP17	B 1 PD21DEC17	11055.00	11119.73	USD	388.38	
21SEP17	B 1 PD21DEC17	11035.00	11119.73	USD	508.38	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	11025.00	11119.73	USD	568.38	
21SEP17	B	1	PD21DEC17	11155.00	11119.73	USD	-211.62	
21SEP17	B	1	PD21DEC17	10940.00	11119.73	USD	1,078.38	
21SEP17	B	1	PD21DEC17	10945.00	11119.73	USD	1,048.38	
21SEP17	B	1	PD21DEC17	10955.00	11119.73	USD	988.38	
21SEP17	B	3	PD21DEC17	10950.00	11119.73	USD	3,055.14	
21SEP17	S	1	PD21DEC17	11010.00	11119.73	USD	-658.38	
21SEP17	S	1	PD21DEC17	11040.00	11119.73	USD	-478.38	
21SEP17	S	1	PD21DEC17	11030.00	11119.73	USD	-538.38	
21SEP17	S	1	PD21DEC17	11035.00	11119.73	USD	-508.38	
21SEP17	S	3	PD21DEC17	11080.00	11119.73	USD	-715.14	
21SEP17	S	1	PD21DEC17	11100.00	11119.73	USD	-118.38	
21SEP17	S	1	PD21DEC17	11085.00	11119.73	USD	-208.38	
21SEP17	S	1	PD21DEC17	11105.00	11119.73	USD	-88.38	
21SEP17	S	1	PD21DEC17	11120.00	11119.73	USD	1.62	
21SEP17	S	2	PD21DEC17	11140.00	11119.73	USD	243.24	
21SEP17	S	1	PD21DEC17	11125.00	11119.73	USD	31.62	
21SEP17	S	2	PD21DEC17	11115.00	11119.73	USD	-56.76	
21SEP17	S	1	PD21DEC17	11130.00	11119.73	USD	61.62	
21SEP17	S	1	PD21DEC17	11095.00	11119.73	USD	-148.38	
21SEP17	S	1	PD21DEC17	11075.00	11119.73	USD	-268.38	
21SEP17	B	2	PD21DEC17	11115.00	11119.73	USD	56.76	
21SEP17	B	1	PD21DEC17	11100.00	11119.73	USD	118.38	
21SEP17	B	1	PD21DEC17	11075.00	11119.73	USD	268.38	
21SEP17	B	3	PD21DEC17	11045.00	11119.73	USD	1,345.14	
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	B	3	PD21DEC17	10960.00	11119.73	USD	2,875.14	
21SEP17	B	4	PD21DEC17	10935.00	11119.73	USD	4,433.52	
21SEP17	B	1	PD21DEC17	10920.00	11119.73	USD	1,198.38	
21SEP17	B	1	PD21DEC17	10915.00	11119.73	USD	1,228.38	
21SEP17	B	1	PD21DEC17	10905.00	11119.73	USD	1,288.38	
21SEP17	B	1	PD21DEC17	10885.00	11119.73	USD	1,408.38	
21SEP17	B	1	PD21DEC17	10880.00	11119.73	USD	1,438.38	
21SEP17	B	1	PD21DEC17	10865.00	11119.73	USD	1,528.38	
21SEP17	B	1	PD21DEC17	10890.00	11119.73	USD	1,378.38	
21SEP17	B	1	PD21DEC17	10870.00	11119.73	USD	1,498.38	
21SEP17	B	1	PD21DEC17	10830.00	11119.73	USD	1,738.38	
21SEP17	B	2	PD21DEC17	10820.00	11119.73	USD	3,596.76	
21SEP17	B	1	PD21DEC17	10815.00	11119.73	USD	1,828.38	
21SEP17	B	1	PD21DEC17	10730.00	11119.73	USD	2,338.38	
21SEP17	B	1	PD21DEC17	10745.00	11119.73	USD	2,248.38	
21SEP17	B	1	PD21DEC17	10735.00	11119.73	USD	2,308.38	
21SEP17	B	1	PD21DEC17	10680.00	11119.73	USD	2,638.38	
21SEP17	B	1	PD21DEC17	10675.00	11119.73	USD	2,668.38	
21SEP17	B	1	PD21DEC17	10685.00	11119.73	USD	2,608.38	
21SEP17	S	1	PD21DEC17	10735.00	11119.73	USD	-2,308.38	
21SEP17	B	1	PD21DEC17	10715.00	11119.73	USD	2,428.38	
21SEP17	B	2	PD21DEC17	11035.00	11119.73	USD	1,016.76	
21SEP17	B	1	PD21DEC17	10890.00	11119.73	USD	1,378.38	
	NET	+31 AVG BGHT 10936.18	SOLD 11071.75				50,409.78	0.00
22SEP17	S	2	PD22DEC17	10600.00	11120.45	USD	-6,245.40	
22SEP17	S	1	PD22DEC17	10585.00	11120.45	USD	-3,212.70	
22SEP17	S	1	PD22DEC17	10635.00	11120.45	USD	-2,912.70	
22SEP17	S	1	PD22DEC17	10645.00	11120.45	USD	-2,852.70	
22SEP17	B	2	PD22DEC17	10530.00	11120.45	USD	7,085.40	
22SEP17	S	1	PD22DEC17	10530.00	11120.45	USD	-3,542.70	
22SEP17	S	4	PD22DEC17	10580.00	11120.45	USD	-12,970.80	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	10585.00	11120.45	USD	3,212.70	
22SEP17	S	1	PD22DEC17	10585.00	11120.45	USD	-3,212.70	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	B	1	PD22DEC17	10575.00	11120.45	USD	3,272.70	
22SEP17	B	1	PD22DEC17	10520.00	11120.45	USD	3,602.70	
22SEP17	B	1	PD22DEC17	10540.00	11120.45	USD	3,482.70	
22SEP17	B	2	PD22DEC17	10525.00	11120.45	USD	7,145.40	
22SEP17	B	1	PD22DEC17	10515.00	11120.45	USD	3,632.70	
22SEP17	B	1	PD22DEC17	10465.00	11120.45	USD	3,932.70	
22SEP17	B	1	PD22DEC17	10475.00	11120.45	USD	3,872.70	
22SEP17	S	1	PD22DEC17	10515.00	11120.45	USD	-3,632.70	
22SEP17	S	1	PD22DEC17	10495.00	11120.45	USD	-3,752.70	
22SEP17	B	1	PD22DEC17	10440.00	11120.45	USD	4,082.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	B	1	PD22DEC17	10445.00	11120.45	USD	4,052.70	
22SEP17	B	2	PD22DEC17	10450.00	11120.45	USD	8,045.40	
22SEP17	S	1	PD22DEC17	10520.00	11120.45	USD	-3,602.70	
22SEP17	S	2	PD22DEC17	10565.00	11120.45	USD	-6,665.40	
22SEP17	S	1	PD22DEC17	10620.00	11120.45	USD	-3,002.70	
22SEP17	S	2	PD22DEC17	10625.00	11120.45	USD	-5,945.40	
22SEP17	B	1	PD22DEC17	10530.00	11120.45	USD	3,542.70	
	NET	-1 AVG BGHT 10508.89	SOLD 10580.53				4,497.30	0.00
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
25SEP17	S	1	PD27DEC17	10540.00	11124.09	USD	-3,504.54	
25SEP17	S	1	PD27DEC17	10540.00	11124.09	USD	-3,504.54	
25SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
25SEP17	S	2	PD27DEC17	10565.00	11124.09	USD	-6,709.08	
25SEP17	S	1	PD27DEC17	10610.00	11124.09	USD	-3,084.54	
25SEP17	S	3	PD27DEC17	10615.00	11124.09	USD	-9,163.62	
26SEP17	S	1	PD27DEC17	10610.00	11124.09	USD	-3,084.54	
26SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
26SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
26SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
26SEP17	S	1	PD27DEC17	10465.00	11124.09	USD	-3,954.54	
27SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
27SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
27SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
27SEP17	B	1	PD27DEC17	10370.00	11124.09	USD	4,524.54	
27SEP17	B	1	PD27DEC17	10360.00	11124.09	USD	4,584.54	
27SEP17	B	1	PD27DEC17	10355.00	11124.09	USD	4,614.54	
	NET	-16 AVG BGHT 10361.67	SOLD 10558.68				-50,732.64	0.00
04OCT17	B	1	PD04JAN18	10680.00	11129.91	USD	2,699.46	
04OCT17	B	1	PD04JAN18	10675.00	11129.91	USD	2,729.46	
04OCT17	B	1	PD04JAN18	10655.00	11129.91	USD	2,849.46	
04OCT17	B	1	PD04JAN18	10640.00	11129.91	USD	2,939.46	
04OCT17	S	1	PD04JAN18	10795.00	11129.91	USD	-2,009.46	
04OCT17	S	3	PD04JAN18	10800.00	11129.91	USD	-5,938.38	
04OCT17	S	1	PD04JAN18	10805.00	11129.91	USD	-1,949.46	
04OCT17	B	1	PD04JAN18	10725.00	11129.91	USD	2,429.46	
04OCT17	B	2	PD04JAN18	10730.00	11129.91	USD	4,798.92	
04OCT17	B	2	PD04JAN18	10735.00	11129.91	USD	4,738.92	
04OCT17	B	1	PD04JAN18	10665.00	11129.91	USD	2,789.46	
04OCT17	B	2	PD04JAN18	10650.00	11129.91	USD	5,758.92	
04OCT17	B	1	PD04JAN18	10625.00	11129.91	USD	3,029.46	
04OCT17	B	2	PD04JAN18	10620.00	11129.91	USD	6,118.92	
04OCT17	S	2	PD04JAN18	10595.00	11129.91	USD	-6,418.92	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	S	2	PD04JAN18	10590.00	11129.91	USD	-6,478.92	
04OCT17	S	1	PD04JAN18	10585.00	11129.91	USD	-3,269.46	
04OCT17	S	2	PD04JAN18	10570.00	11129.91	USD	-6,718.92	
04OCT17	S	1	PD04JAN18	10570.00	11129.91	USD	-3,359.46	
	NET	+2 AVG BGHT 10675.67	SOLD 10666.54				4,738.92	0.00
05OCT17	B	1	PD05JAN18	10625.00	11130.64	USD	3,033.84	
05OCT17	B	3	PD05JAN18	10615.00	11130.64	USD	9,281.52	
05OCT17	B	1	PD05JAN18	10555.00	11130.64	USD	3,453.84	
05OCT17	B	2	PD05JAN18	10580.00	11130.64	USD	6,607.68	
05OCT17	B	1	PD05JAN18	10610.00	11130.64	USD	3,123.84	
05OCT17	B	1	PD05JAN18	10550.00	11130.64	USD	3,483.84	
05OCT17	B	2	PD05JAN18	10565.00	11130.64	USD	6,787.68	
05OCT17	S	3	PD05JAN18	10580.00	11130.64	USD	-9,911.52	
05OCT17	S	1	PD05JAN18	10590.00	11130.64	USD	-3,243.84	
06OCT17	S	2	PD05JAN18	10650.00	11130.64	USD	-5,767.68	
06OCT17	S	1	PD05JAN18	10570.00	11130.64	USD	-3,363.84	
06OCT17	S	1	PD05JAN18	10590.00	11130.64	USD	-3,243.84	
06OCT17	S	1	PD05JAN18	10585.00	11130.64	USD	-3,273.84	
	NET	+2 AVG BGHT 10588.64	SOLD 10597.22				6,967.68	0.00
09OCT17	S	2	PD09JAN18	10700.00	11133.55	USD	-5,202.60	
09OCT17	S	1	PD09JAN18	10820.00	11133.55	USD	-1,881.30	
09OCT17	S	1	PD09JAN18	10805.00	11133.55	USD	-1,971.30	
09OCT17	S	2	PD09JAN18	10790.00	11133.55	USD	-4,122.60	
09OCT17	S	1	PD09JAN18	10705.00	11133.55	USD	-2,571.30	
09OCT17	S	1	PD09JAN18	10985.00	11133.55	USD	-891.30	
	NET	-8 AVG SOLD 10786.88					-16,640.40	0.00
11OCT17	B	1	PD11JAN18	10935.00	11135.00	USD	1,200.00	
11OCT17	S	1	PD11JAN18	11230.00	11135.00	USD	570.00	
11OCT17	S	1	PD11JAN18	11235.00	11135.00	USD	600.00	
11OCT17	S	2	PD11JAN18	11225.00	11135.00	USD	1,080.00	
11OCT17	S	3	PD11JAN18	11210.00	11135.00	USD	1,350.00	
	NET	-6 AVG BGHT 10935.00	SOLD 11220.71				4,800.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	305,340.00
LME Copper Grade A 25	FUTURES	-47,980.00
CMX Copper	FUTURES	385,600.00
CMX Gold	FUTURES	-128,950.00
LME Nickel 6 tonnes. This is	FUTURES	695,010.00

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-3,660.00	-3,660.00		
COPR - Futures USD	-1,337.50	-1,337.50		
GOLD - Futures USD	-60.00	-60.00	-5,057.50	-5,057.50
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	-47,980.00	-47,980.00		
NKL - Futures USD	26,504.64	26,504.64	-21,475.36	-21,475.36

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-36,159.69	-36,159.69
Variation Margins - Futures	-5,057.50	-5,057.50
- Options	0.00	0.00
TOTAL EQUITY	-41,217.19	-41,217.19
Initial Margins	-126,406.36	-126,406.36
Excess/(Shortage)	-167,623.55	-167,623.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	-21,475.36	-21,475.36
Net Liquidating Value	-62,692.55	-62,692.55

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094N

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-925.00	-925.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-925.00	-925.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-925.00	-925.00
FOR INFORMATION ONLY		
Net Liquidating Value	-925.00	-925.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
26JUL17	S	2	PD26OCT17	1943.50	2111.25	USD	-8,387.50	
26JUL17	S	2	PD26OCT17	1957.50	2111.25	USD	-7,687.50	
26JUL17	B	2	PD26OCT17	1943.50	2111.25	USD	8,387.50	
08AUG17	B	2	PD26OCT17	2027.40	2111.25	USD	4,192.50	
	NET	0 AVG	BGHT 1985.45				-3,495.00	0.00
27JUL17	S	2	PD27OCT17	1950.00	2111.00	USD	-8,050.00	
27JUL17	B	1	PD27OCT17	1938.00	2111.00	USD	4,325.00	
27JUL17	B	1	PD27OCT17	1939.00	2111.00	USD	4,300.00	
28JUL17	S	1	PD27OCT17	1929.00	2111.00	USD	-4,550.00	
28JUL17	S	1	PD27OCT17	1932.00	2111.00	USD	-4,475.00	
28JUL17	B	1	PD27OCT17	1917.50	2111.00	USD	4,837.50	
28JUL17	B	1	PD27OCT17	1916.50	2111.00	USD	4,862.50	
28JUL17	S	1	PD27OCT17	1924.50	2111.00	USD	-4,662.50	
28JUL17	S	1	PD27OCT17	1923.50	2111.00	USD	-4,687.50	
28JUL17	B	1	PD27OCT17	1915.00	2111.00	USD	4,900.00	
28JUL17	B	1	PD27OCT17	1915.50	2111.00	USD	4,887.50	
28JUL17	B	1	PD27OCT17	1913.50	2111.00	USD	4,937.50	
28JUL17	B	1	PD27OCT17	1914.00	2111.00	USD	4,925.00	
08AUG17	S	2	PD27OCT17	2027.40	2111.00	USD	-4,180.00	
	NET	0 AVG	BGHT 1921.13				7,370.00	0.00
02AUG17	B	2	PD02NOV17	1923.00	2111.13	USD	9,406.50	
02AUG17	B	1	PD02NOV17	1924.50	2111.13	USD	4,665.75	
02AUG17	B	1	PD02NOV17	1924.50	2111.13	USD	4,665.75	
02AUG17	B	1	PD02NOV17	1925.50	2111.13	USD	4,640.75	
02AUG17	B	4	PD02NOV17	1926.00	2111.13	USD	18,513.00	
02AUG17	B	4	PD02NOV17	1926.50	2111.13	USD	18,463.00	
02AUG17	B	1	PD02NOV17	1927.00	2111.13	USD	4,603.25	
08AUG17	S	12	PD02NOV17	2028.80	2111.13	USD	-24,699.00	
07SEP17	S	2	PD02NOV17	2099.50	2111.13	USD	-581.50	
	NET	0 AVG	BGHT 1925.54				39,677.50	0.00
04AUG17	B	4	PD03NOV17	1918.00	2111.44	USD	19,344.00	
04AUG17	B	2	PD03NOV17	1917.00	2111.44	USD	9,722.00	
07SEP17	S	6	PD03NOV17	2099.79	2111.44	USD	-1,747.50	
	NET	0 AVG	BGHT 1917.67				27,318.50	0.00
07AUG17	B	1	PD07NOV17	1919.50	2112.69	USD	4,829.75	
07AUG17	B	2	PD07NOV17	1920.50	2112.69	USD	9,609.50	
07AUG17	B	2	PD07NOV17	1920.00	2112.69	USD	9,634.50	
07AUG17	B	2	PD07NOV17	1931.50	2112.69	USD	9,059.50	
07AUG17	S	8	PD07NOV17	1926.00	2112.69	USD	-37,338.00	
07AUG17	S	4	PD07NOV17	1925.00	2112.69	USD	-18,769.00	
07AUG17	S	4	PD07NOV17	1925.50	2112.69	USD	-18,719.00	
07AUG17	B	4	PD07NOV17	1925.00	2112.69	USD	18,769.00	
07AUG17	S	1	PD07NOV17	1920.00	2112.69	USD	-4,817.25	
07AUG17	S	2	PD07NOV17	1969.00	2112.69	USD	-7,184.50	
07AUG17	S	2	PD07NOV17	1969.50	2112.69	USD	-7,159.50	
07AUG17	S	2	PD07NOV17	1970.00	2112.69	USD	-7,134.50	
08AUG17	B	12	PD07NOV17	2030.00	2112.69	USD	24,807.00	
	NET	0 AVG	BGHT 1979.28				-24,412.50	0.00
08AUG17	B	2	PD08NOV17	1979.50	2113.00	USD	6,675.00	
08AUG17	S	4	PD08NOV17	1994.00	2113.00	USD	-11,900.00	
08AUG17	B	2	PD08NOV17	1997.50	2113.00	USD	5,775.00	
08AUG17	B	2	PD08NOV17	1998.00	2113.00	USD	5,750.00	
08AUG17	B	6	PD08NOV17	2000.00	2113.00	USD	16,950.00	
08AUG17	B	2	PD08NOV17	2003.50	2113.00	USD	5,475.00	
08AUG17	B	2	PD08NOV17	2010.50	2113.00	USD	5,125.00	
08AUG17	B	4	PD08NOV17	2020.00	2113.00	USD	9,300.00	

OPEN POSITION STATEMENT



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SAP00940

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
23AUG17	S	12	PD08NOV17		2094.56	2113.00	USD	-5,532.00	
07SEP17	S	4	PD08NOV17		2101.23	2113.00	USD	-1,177.00	
	NET	0 AVG	BGHT 2002.90	SOLD 2075.78				36,441.00	0.00
11AUG17	S	2	PD10NOV17		2025.50	2113.93	USD	-4,421.50	
11AUG17	S	2	PD10NOV17		2038.50	2113.93	USD	-3,771.50	
23AUG17	B	4	PD10NOV17		2095.25	2113.93	USD	1,868.00	
	NET	0 AVG	BGHT 2095.25	SOLD 2032.00				-6,325.00	0.00
14AUG17	S	4	PD14NOV17		2027.00	2115.79	USD	-8,879.00	
14AUG17	B	2	PD14NOV17		2027.50	2115.79	USD	4,414.50	
14AUG17	S	2	PD14NOV17		2033.00	2115.79	USD	-4,139.50	
14AUG17	S	1	PD14NOV17		2030.00	2115.79	USD	-2,144.75	
14AUG17	S	1	PD14NOV17		2031.00	2115.79	USD	-2,119.75	
14AUG17	B	2	PD14NOV17		2041.50	2115.79	USD	3,714.50	
23AUG17	B	4	PD14NOV17		2096.21	2115.79	USD	1,958.00	
	NET	0 AVG	BGHT 2065.36	SOLD 2029.38				-7,196.00	0.00
15AUG17	S	2	PD15NOV17		2023.00	2116.25	USD	-4,662.50	
15AUG17	S	1	PD15NOV17		2032.00	2116.25	USD	-2,106.25	
15AUG17	S	1	PD15NOV17		2031.50	2116.25	USD	-2,118.75	
23AUG17	B	4	PD15NOV17		2096.45	2116.25	USD	1,980.00	
	NET	0 AVG	BGHT 2096.45	SOLD 2027.38				-6,907.50	0.00
16AUG17	B	1	PD16NOV17		2053.50	2116.56	USD	1,576.50	
16AUG17	B	1	PD16NOV17		2053.00	2116.56	USD	1,589.00	
16AUG17	B	2	PD16NOV17		2054.50	2116.56	USD	3,103.00	
16AUG17	B	2	PD16NOV17		2096.50	2116.56	USD	1,003.00	
07SEP17	S	6	PD16NOV17		2102.63	2116.56	USD	-2,089.50	
	NET	0 AVG	BGHT 2068.08	SOLD 2102.63				5,182.00	0.00
17AUG17	B	2	PD17NOV17		2096.00	2116.87	USD	1,043.50	
17AUG17	S	2	PD17NOV17		2100.00	2116.87	USD	-843.50	
	NET	0 AVG	BGHT 2096.00	SOLD 2100.00				200.00	0.00
21AUG17	B	2	PD21NOV17		2084.50	2118.10	USD	1,680.00	
07SEP17	S	2	PD21NOV17		2103.00	2118.10	USD	-755.00	
	NET	0 AVG	BGHT 2084.50	SOLD 2103.00				925.00	0.00
22AUG17	S	1	PD22NOV17		2079.50	2118.41	USD	-972.75	
22AUG17	S	1	PD22NOV17		2079.00	2118.41	USD	-985.25	
22AUG17	S	2	PD22NOV17		2078.00	2118.41	USD	-2,020.50	
22AUG17	S	2	PD22NOV17		2068.00	2118.41	USD	-2,520.50	
22AUG17	S	2	PD22NOV17		2070.50	2118.41	USD	-2,395.50	
22AUG17	S	2	PD22NOV17		2071.50	2118.41	USD	-2,345.50	
07SEP17	B	10	PD22NOV17		2103.45	2118.41	USD	3,740.00	
	NET	0 AVG	BGHT 2103.45	SOLD 2073.45				-7,500.00	0.00
23AUG17	B	2	PD24NOV17		2085.50	2119.03	USD	1,676.50	
23AUG17	B	2	PD24NOV17		2090.00	2119.03	USD	1,451.50	
23AUG17	B	2	PD24NOV17		2095.00	2119.03	USD	1,201.50	
23AUG17	B	2	PD24NOV17		2084.50	2119.03	USD	1,726.50	
24AUG17	S	2	PD24NOV17		2103.50	2119.03	USD	-776.50	
24AUG17	S	1	PD24NOV17		2098.50	2119.03	USD	-513.25	
24AUG17	S	1	PD24NOV17		2098.00	2119.03	USD	-525.75	
24AUG17	S	1	PD24NOV17		2099.00	2119.03	USD	-500.75	
24AUG17	S	1	PD24NOV17		2095.50	2119.03	USD	-588.25	
24AUG17	S	1	PD24NOV17		2095.00	2119.03	USD	-600.75	
24AUG17	S	2	PD24NOV17		2094.00	2119.03	USD	-1,251.50	
24AUG17	S	2	PD24NOV17		2091.50	2119.03	USD	-1,376.50	
24AUG17	S	2	PD24NOV17		2092.00	2119.03	USD	-1,351.50	
24AUG17	S	1	PD24NOV17		2099.50	2119.03	USD	-488.25	
07SEP17	B	6	PD24NOV17		2103.95	2119.03	USD	2,262.00	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
	NET	0 AVG	BGHT	2095.26	SOLD	2096.25		345.00	0.00
29AUG17	B	2	PD29NOV17		2077.00	2120.57	USD	2,178.50	
29AUG17	S	2	PD29NOV17		2098.50	2120.57	USD	-1,103.50	
29AUG17	S	4	PD29NOV17		2097.00	2120.57	USD	-2,357.00	
29AUG17	B	2	PD29NOV17		2095.00	2120.57	USD	1,278.50	
29AUG17	S	2	PD29NOV17		2093.00	2120.57	USD	-1,378.50	
07SEP17	B	4	PD29NOV17		2105.20	2120.57	USD	1,537.00	
	NET	0 AVG	BGHT	2095.60	SOLD	2096.38		155.00	0.00
30AUG17	B	2	PD30NOV17		2088.50	2120.88	USD	1,619.00	
30AUG17	S	2	PD30NOV17		2086.00	2120.88	USD	-1,744.00	
31AUG17	B	2	PD30NOV17		2089.00	2120.88	USD	1,594.00	
31AUG17	B	2	PD30NOV17		2098.00	2120.88	USD	1,144.00	
31AUG17	S	2	PD30NOV17		2130.00	2120.88	USD	456.00	
31AUG17	S	2	PD30NOV17		2131.50	2120.88	USD	531.00	
31AUG17	B	2	PD30NOV17		2124.00	2120.88	USD	-156.00	
13SEP17	S	2	PD30NOV17		2106.52	2120.88	USD	-718.00	
	NET	0 AVG	BGHT	2099.88	SOLD	2113.51		2,726.00	0.00
01SEP17	B	2	PD01DEC17		2128.50	2121.19	USD	-365.50	
13SEP17	S	2	PD01DEC17		2106.79	2121.19	USD	-720.00	
	NET	0 AVG	BGHT	2128.50	SOLD	2106.79		-1,085.50	0.00
05SEP17	S	2	PD05DEC17		2106.00	2122.43	USD	-821.50	
13SEP17	B	2	PD05DEC17		2108.06	2122.43	USD	718.50	
	NET	0 AVG	BGHT	2108.06	SOLD	2106.00		-103.00	0.00
06SEP17	S	2	PD06DEC17		2087.00	2122.74	USD	-1,787.00	
13SEP17	B	2	PD06DEC17		2108.33	2122.74	USD	720.50	
	NET	0 AVG	BGHT	2108.33	SOLD	2087.00		-1,066.50	0.00
08SEP17	S	2	PD08DEC17		2097.50	2123.35	USD	-1,292.50	
13SEP17	B	2	PD08DEC17		2108.66	2123.35	USD	734.50	
	NET	0 AVG	BGHT	2108.66	SOLD	2097.50		-558.00	0.00
11SEP17	B	2	PD11DEC17		2103.00	2124.28	USD	1,064.00	
11SEP17	B	2	PD11DEC17		2104.00	2124.28	USD	1,014.00	
11SEP17	B	2	PD11DEC17		2126.00	2124.28	USD	-86.00	
13SEP17	S	2	PD11DEC17		2109.26	2124.28	USD	-751.00	
20SEP17	S	4	PD11DEC17		2174.49	2124.28	USD	5,021.00	
	NET	0 AVG	BGHT	2111.00	SOLD	2152.75		6,262.00	0.00
13SEP17	S	2	PD13DEC17		2131.00	2124.90	USD	305.00	
20SEP17	B	2	PD13DEC17		2175.25	2124.90	USD	-2,517.50	
	NET	0 AVG	BGHT	2175.25	SOLD	2131.00		-2,212.50	0.00
14SEP17	B	2	PD14DEC17		2096.50	2125.21	USD	1,435.50	
14SEP17	B	2	PD14DEC17		2098.00	2125.21	USD	1,360.50	
14SEP17	S	2	PD14DEC17		2105.00	2125.21	USD	-1,010.50	
14SEP17	S	2	PD14DEC17		2105.50	2125.21	USD	-985.50	
14SEP17	B	4	PD14DEC17		2105.50	2125.21	USD	1,971.00	
20SEP17	S	4	PD14DEC17		2175.33	2125.21	USD	5,012.00	
	NET	0 AVG	BGHT	2101.38	SOLD	2140.29		7,783.00	0.00
15SEP17	S	2	PD15DEC17		2092.00	2125.51	USD	-1,675.50	
15SEP17	S	1	PD15DEC17		2090.50	2125.51	USD	-875.25	
15SEP17	S	3	PD15DEC17		2090.00	2125.51	USD	-2,663.25	
20SEP17	B	6	PD15DEC17		2175.81	2125.51	USD	-7,545.00	
	NET	0 AVG	BGHT	2175.81	SOLD	2090.75		-12,759.00	0.00
18SEP17	B	2	PD18DEC17		2090.50	2126.44	USD	1,797.00	
18SEP17	S	2	PD18DEC17		2090.50	2126.44	USD	-1,797.00	
18SEP17	B	2	PD18DEC17		2090.00	2126.44	USD	1,822.00	
18SEP17	B	1	PD18DEC17		2092.00	2126.44	USD	861.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18SEP17	B	1	PD18DEC17	2093.00	2126.44	USD	836.00	
20SEP17	S	2	PD18DEC17	2176.44	2126.44	USD	2,500.00	
29SEP17	S	2	PD18DEC17	2098.90	2126.44	USD	-1,377.00	
	NET	0 AVG	BGHT 2091.00				4,642.00	0.00
19SEP17	B	2	PD19DEC17	2105.00	2126.75	USD	1,087.50	
19SEP17	S	2	PD19DEC17	2108.00	2126.75	USD	-937.50	
19SEP17	S	2	PD19DEC17	2126.50	2126.75	USD	-12.50	
19SEP17	S	2	PD19DEC17	2124.00	2126.75	USD	-137.50	
19SEP17	B	2	PD19DEC17	2130.00	2126.75	USD	-162.50	
20SEP17	B	2	PD19DEC17	2176.92	2126.75	USD	-2,508.50	
	NET	0 AVG	BGHT 2137.31				-2,671.00	0.00
20SEP17	B	2	PD20DEC17	2130.00	2126.75	USD	-162.50	
20SEP17	B	2	PD20DEC17	2147.00	2126.75	USD	-1,012.50	
29SEP17	S	4	PD20DEC17	2099.50	2126.75	USD	-2,725.00	
	NET	0 AVG	BGHT 2138.50				-3,900.00	0.00
21SEP17	S	2	PD21DEC17	2169.00	2126.75	USD	2,112.50	
21SEP17	S	2	PD21DEC17	2163.00	2126.75	USD	1,812.50	
21SEP17	S	2	PD21DEC17	2181.50	2126.75	USD	2,737.50	
21SEP17	B	2	PD21DEC17	2179.00	2126.75	USD	-2,612.50	
21SEP17	S	2	PD21DEC17	2164.00	2126.75	USD	1,862.50	
21SEP17	S	2	PD21DEC17	2145.50	2126.75	USD	937.50	
21SEP17	B	1	PD21DEC17	2145.50	2126.75	USD	-468.75	
21SEP17	B	1	PD21DEC17	2145.00	2126.75	USD	-456.25	
29SEP17	B	6	PD21DEC17	2099.98	2126.75	USD	4,015.50	
	NET	0 AVG	BGHT 2124.84				9,940.50	0.00
22SEP17	S	2	PD22DEC17	2165.00	2126.75	USD	1,912.50	
	NET	-2 AVG	SOLD 2165.00				1,912.50	0.00
25SEP17	S	2	PD27DEC17	2144.00	2127.29	USD	835.50	
25SEP17	B	2	PD27DEC17	2168.00	2127.29	USD	-2,035.50	
25SEP17	B	2	PD27DEC17	2142.50	2127.29	USD	-760.50	
27SEP17	S	2	PD27DEC17	2138.00	2127.29	USD	535.50	
	NET	0 AVG	BGHT 2155.25				-1,425.00	0.00
28SEP17	S	2	PD28DEC17	2127.50	2127.39	USD	5.50	
	NET	-2 AVG	SOLD 2127.50				5.50	0.00
09OCT17	B	2	PD09JAN18	2156.00	2129.62	USD	-1,319.00	
	NET	+2 AVG	BGHT 2156.00				-1,319.00	0.00
10OCT17	B	2	PD10JAN18	2157.00	2129.81	USD	-1,359.50	
	NET	+2 AVG	BGHT 2157.00				-1,359.50	0.00
11OCT17	S	2	PD11JAN18	2158.50	2130.00	USD	1,425.00	
11OCT17	B	2	PD11JAN18	2143.00	2130.00	USD	-650.00	
	NET	0 AVG	BGHT 2143.00				775.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	3	PD12OCT17	5927.50	6755.20	USD	-62,077.50	
12JUL17	S	3	PD12OCT17	5929.50	6755.20	USD	-61,927.50	
12JUL17	S	3	PD12OCT17	5926.50	6755.20	USD	-62,152.50	
12JUL17	S	1	PD12OCT17	5927.50	6755.20	USD	-20,692.50	
18JUL17	B	10	PD12OCT17	6004.52	6755.20	USD	187,670.00	
	NET	0 AVG	BGHT 6004.52				-19,180.00	0.00
13JUL17	B	1	PD13OCT17	5882.00	6756.00	USD	21,850.00	
13JUL17	B	3	PD13OCT17	5879.00	6756.00	USD	65,775.00	
13JUL17	B	1	PD13OCT17	5874.00	6756.00	USD	22,050.00	
13JUL17	B	2	PD13OCT17	5875.50	6756.00	USD	44,025.00	
13JUL17	B	1	PD13OCT17	5877.50	6756.00	USD	21,962.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	3	PD130CT17	5875.00	6756.00	USD	66,075.00	
13JUL17	S	1	PD130CT17	5875.00	6756.00	USD	-22,025.00	
18JUL17	S	10	PD130CT17	6004.73	6756.00	USD	-187,817.50	
	NET	0 AVG	BGHT 5876.95				31,895.00	0.00
19JUL17	S	3	PD190CT17	6001.00	6760.88	USD	-56,991.00	
19JUL17	S	3	PD190CT17	6000.50	6760.88	USD	-57,028.50	
19JUL17	S	3	PD190CT17	6000.00	6760.88	USD	-57,066.00	
19JUL17	S	1	PD190CT17	5999.50	6760.88	USD	-19,034.50	
19JUL17	B	3	PD190CT17	5999.50	6760.88	USD	57,103.50	
19JUL17	B	2	PD190CT17	5996.00	6760.88	USD	38,244.00	
19JUL17	B	4	PD190CT17	5996.50	6760.88	USD	76,438.00	
19JUL17	B	1	PD190CT17	5996.00	6760.88	USD	19,122.00	
	NET	0 AVG	BGHT 5997.25				787.50	0.00
20JUL17	S	3	PD200CT17	5971.50	6761.75	USD	-59,268.75	
20JUL17	S	3	PD200CT17	5972.00	6761.75	USD	-59,231.25	
20JUL17	S	4	PD200CT17	5976.00	6761.75	USD	-78,575.00	
21JUL17	B	10	PD200CT17	6004.20	6761.75	USD	189,387.50	
	NET	0 AVG	BGHT 6004.20				-7,687.50	0.00
24JUL17	S	2	PD240CT17	6015.50	6765.25	USD	-37,487.50	
24JUL17	S	1	PD240CT17	6016.00	6765.25	USD	-18,731.25	
24JUL17	S	2	PD240CT17	6012.00	6765.25	USD	-37,662.50	
24JUL17	S	1	PD240CT17	6025.00	6765.25	USD	-18,506.25	
24JUL17	S	1	PD240CT17	6024.50	6765.25	USD	-18,518.75	
24JUL17	S	1	PD240CT17	6025.50	6765.25	USD	-18,493.75	
24JUL17	S	1	PD240CT17	6026.00	6765.25	USD	-18,481.25	
08AUG17	B	9	PD240CT17	6474.27	6765.25	USD	65,470.50	
	NET	0 AVG	BGHT 6474.27				-102,410.75	0.00
25JUL17	S	1	PD250CT17	6070.50	6765.68	USD	-17,379.50	
25JUL17	B	1	PD250CT17	6094.50	6765.68	USD	16,779.50	
25JUL17	S	1	PD250CT17	6217.50	6765.68	USD	-13,704.50	
25JUL17	S	2	PD250CT17	6215.50	6765.68	USD	-27,509.00	
25JUL17	S	1	PD250CT17	6217.00	6765.68	USD	-13,717.00	
25JUL17	S	1	PD250CT17	6206.00	6765.68	USD	-13,992.00	
25JUL17	S	1	PD250CT17	6210.50	6765.68	USD	-13,879.50	
25JUL17	S	2	PD250CT17	6210.00	6765.68	USD	-27,784.00	
25JUL17	S	2	PD250CT17	6209.00	6765.68	USD	-27,834.00	
25JUL17	S	1	PD250CT17	6198.50	6765.68	USD	-14,179.50	
08AUG17	B	11	PD250CT17	6474.66	6765.68	USD	80,030.50	
	NET	0 AVG	BGHT 6442.98				-73,169.00	0.00
27JUL17	S	1	PD270CT17	6342.50	6766.54	USD	-10,601.00	
27JUL17	S	1	PD270CT17	6344.00	6766.54	USD	-10,563.50	
27JUL17	B	2	PD270CT17	6373.50	6766.54	USD	19,652.00	
	NET	0 AVG	BGHT 6373.50				-1,512.50	0.00
08AUG17	B	1	PD08NOV17	6481.00	6775.65	USD	7,366.25	
08AUG17	S	1	PD08NOV17	6481.00	6775.65	USD	-7,366.25	
	NET	0 AVG	BGHT 6481.00				0.00	0.00
09AUG17	B	1	PD09NOV17	6489.00	6776.58	USD	7,189.50	
09AUG17	B	1	PD09NOV17	6489.50	6776.58	USD	7,177.00	
09AUG17	S	2	PD09NOV17	6491.50	6776.58	USD	-14,254.00	
	NET	0 AVG	BGHT 6489.25				112.50	0.00
11AUG17	B	1	PD10NOV17	6359.50	6777.50	USD	10,450.00	
11AUG17	B	1	PD10NOV17	6360.00	6777.50	USD	10,437.50	
11AUG17	S	4	PD10NOV17	6362.00	6777.50	USD	-41,550.00	
11AUG17	S	2	PD10NOV17	6359.50	6777.50	USD	-20,900.00	
11AUG17	S	2	PD10NOV17	6361.50	6777.50	USD	-20,800.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	2	PD10NOV17	6363.50	6777.50	USD	-20,700.00	
23AUG17	B	8	PD10NOV17	6564.00	6777.50	USD	42,700.00	
	NET	0 AVG	BGHT 6523.15				-40,362.50	0.00
14AUG17	S	2	PD14NOV17	6412.00	6776.83	USD	-18,241.50	
14AUG17	S	2	PD14NOV17	6406.50	6776.83	USD	-18,516.50	
14AUG17	S	2	PD14NOV17	6403.50	6776.83	USD	-18,666.50	
23AUG17	B	6	PD14NOV17	6565.50	6776.83	USD	31,699.50	
	NET	0 AVG	BGHT 6565.50				-23,725.00	0.00
16AUG17	B	2	PD16NOV17	6389.50	6776.25	USD	19,337.50	
16AUG17	S	2	PD16NOV17	6382.50	6776.25	USD	-19,687.50	
	NET	0 AVG	BGHT 6389.50				-350.00	0.00
17AUG17	B	2	PD17NOV17	6498.00	6776.78	USD	13,939.00	
18AUG17	B	2	PD17NOV17	6526.00	6776.78	USD	12,539.00	
18AUG17	B	2	PD17NOV17	6469.50	6776.78	USD	15,364.00	
23AUG17	S	6	PD17NOV17	6563.49	6776.78	USD	-31,993.50	
	NET	0 AVG	BGHT 6497.83				9,848.50	0.00
21AUG17	B	2	PD21NOV17	6486.50	6778.92	USD	14,621.00	
21AUG17	B	2	PD21NOV17	6564.50	6778.92	USD	10,721.00	
21AUG17	B	2	PD21NOV17	6580.00	6778.92	USD	9,946.00	
21AUG17	B	2	PD21NOV17	6589.00	6778.92	USD	9,496.00	
21AUG17	B	4	PD21NOV17	6588.00	6778.92	USD	19,092.00	
21AUG17	S	2	PD21NOV17	6541.50	6778.92	USD	-11,871.00	
21AUG17	S	2	PD21NOV17	6541.00	6778.92	USD	-11,896.00	
21AUG17	B	1	PD21NOV17	6569.00	6778.92	USD	5,248.00	
21AUG17	B	1	PD21NOV17	6567.50	6778.92	USD	5,285.50	
21AUG17	B	2	PD21NOV17	6575.00	6778.92	USD	10,196.00	
21AUG17	B	2	PD21NOV17	6587.50	6778.92	USD	9,571.00	
21AUG17	B	2	PD21NOV17	6568.50	6778.92	USD	10,521.00	
21AUG17	B	2	PD21NOV17	6567.00	6778.92	USD	10,596.00	
21AUG17	B	2	PD21NOV17	6621.00	6778.92	USD	7,896.00	
21AUG17	S	2	PD21NOV17	6576.50	6778.92	USD	-10,121.00	
23AUG17	S	8	PD21NOV17	6564.24	6778.92	USD	-42,936.00	
07SEP17	S	10	PD21NOV17	6892.40	6778.92	USD	28,370.00	
	NET	0 AVG	BGHT 6573.60				74,735.50	0.00
22AUG17	S	2	PD22NOV17	6577.00	6779.45	USD	-10,122.50	
22AUG17	B	2	PD22NOV17	6588.50	6779.45	USD	9,547.50	
22AUG17	B	2	PD22NOV17	6639.50	6779.45	USD	6,997.50	
22AUG17	B	2	PD22NOV17	6639.00	6779.45	USD	7,022.50	
22AUG17	S	2	PD22NOV17	6607.50	6779.45	USD	-8,597.50	
22AUG17	S	1	PD22NOV17	6606.50	6779.45	USD	-4,323.75	
22AUG17	S	1	PD22NOV17	6608.50	6779.45	USD	-4,273.75	
	NET	0 AVG	BGHT 6622.33				-3,750.00	0.00
23AUG17	B	2	PD24NOV17	6566.00	6780.52	USD	10,726.00	
24AUG17	S	2	PD24NOV17	6605.00	6780.52	USD	-8,776.00	
24AUG17	B	2	PD24NOV17	6621.50	6780.52	USD	7,951.00	
24AUG17	S	1	PD24NOV17	6624.50	6780.52	USD	-3,900.50	
24AUG17	S	1	PD24NOV17	6627.00	6780.52	USD	-3,838.00	
24AUG17	S	2	PD24NOV17	6633.50	6780.52	USD	-7,351.00	
24AUG17	S	1	PD24NOV17	6667.50	6780.52	USD	-2,825.50	
24AUG17	S	1	PD24NOV17	6667.50	6780.52	USD	-2,825.50	
24AUG17	S	2	PD24NOV17	6700.00	6780.52	USD	-4,026.00	
25AUG17	S	2	PD24NOV17	6727.00	6780.52	USD	-2,676.00	
25AUG17	B	2	PD24NOV17	6706.50	6780.52	USD	3,701.00	
25AUG17	S	2	PD24NOV17	6676.50	6780.52	USD	-5,201.00	
07SEP17	B	8	PD24NOV17	6893.75	6780.52	USD	-22,646.00	
	NET	0 AVG	BGHT 6781.29					0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							-41,687.50	
29AUG17	S	2	PD29NOV17		6804.50	6783.18	USD	1,066.00	
07SEP17	B	2	PD29NOV17		6895.65	6783.18	USD	-5,623.50	
	NET	0AVG	BGHT 6895.65	SOLD 6804.50				-4,557.50	0.00
31AUG17	B	2	PD30NOV17		6784.50	6783.72	USD	-39.00	
13SEP17	S	2	PD30NOV17		6548.02	6783.72	USD	-11,785.00	
	NET	0AVG	BGHT 6784.50	SOLD 6548.02				-11,824.00	0.00
01SEP17	B	2	PD01DEC17		6811.00	6784.25	USD	-1,337.50	
01SEP17	B	2	PD01DEC17		6842.00	6784.25	USD	-2,887.50	
13SEP17	S	4	PD01DEC17		6548.45	6784.25	USD	-23,580.00	
	NET	0AVG	BGHT 6826.50	SOLD 6548.45				-27,805.00	0.00
04SEP17	B	2	PD04DEC17		6912.00	6785.75	USD	-6,312.50	
13SEP17	S	2	PD04DEC17		6549.74	6785.75	USD	-11,800.50	
	NET	0AVG	BGHT 6912.00	SOLD 6549.74				-18,113.00	0.00
05SEP17	S	2	PD05DEC17		6930.50	6786.25	USD	7,212.50	
05SEP17	S	2	PD05DEC17		6925.00	6786.25	USD	6,937.50	
05SEP17	B	2	PD05DEC17		6937.00	6786.25	USD	-7,537.50	
05SEP17	B	2	PD05DEC17		6940.00	6786.25	USD	-7,687.50	
05SEP17	S	2	PD05DEC17		6941.50	6786.25	USD	7,762.50	
05SEP17	S	2	PD05DEC17		6932.50	6786.25	USD	7,312.50	
05SEP17	B	2	PD05DEC17		6964.00	6786.25	USD	-8,887.50	
05SEP17	B	1	PD05DEC17		6964.50	6786.25	USD	-4,456.25	
05SEP17	B	1	PD05DEC17		6965.00	6786.25	USD	-4,468.75	
05SEP17	B	2	PD05DEC17		6958.00	6786.25	USD	-8,587.50	
05SEP17	B	2	PD05DEC17		6917.00	6786.25	USD	-6,537.50	
05SEP17	B	2	PD05DEC17		6905.50	6786.25	USD	-5,962.50	
05SEP17	B	2	PD05DEC17		6903.50	6786.25	USD	-5,862.50	
05SEP17	B	2	PD05DEC17		6902.50	6786.25	USD	-5,812.50	
13SEP17	S	10	PD05DEC17		6550.17	6786.25	USD	-59,020.00	
	NET	0AVG	BGHT 6932.47	SOLD 6720.04				-95,595.00	0.00
06SEP17	B	2	PD06DEC17		6874.00	6786.75	USD	-4,362.50	
06SEP17	B	2	PD06DEC17		6877.00	6786.75	USD	-4,512.50	
06SEP17	S	2	PD06DEC17		6911.50	6786.75	USD	6,237.50	
06SEP17	S	4	PD06DEC17		6909.00	6786.75	USD	12,225.00	
06SEP17	S	2	PD06DEC17		6908.50	6786.75	USD	6,087.50	
06SEP17	S	2	PD06DEC17		6905.00	6786.75	USD	5,912.50	
06SEP17	S	1	PD06DEC17		6913.00	6786.75	USD	3,156.25	
06SEP17	S	1	PD06DEC17		6907.50	6786.75	USD	3,018.75	
06SEP17	S	2	PD06DEC17		6908.00	6786.75	USD	6,062.50	
06SEP17	B	1	PD06DEC17		6895.00	6786.75	USD	-2,706.25	
06SEP17	B	1	PD06DEC17		6900.50	6786.75	USD	-2,843.75	
06SEP17	B	2	PD06DEC17		6876.00	6786.75	USD	-4,462.50	
06SEP17	B	4	PD06DEC17		6889.50	6786.75	USD	-10,275.00	
06SEP17	B	4	PD06DEC17		6868.50	6786.75	USD	-8,175.00	
06SEP17	B	1	PD06DEC17		6875.00	6786.75	USD	-2,206.25	
06SEP17	S	1	PD06DEC17		6873.00	6786.75	USD	2,156.25	
06SEP17	B	2	PD06DEC17		6886.00	6786.75	USD	-4,962.50	
13SEP17	S	4	PD06DEC17		6550.60	6786.75	USD	-23,615.00	
	NET	0AVG	BGHT 6880.45	SOLD 6831.47				-23,265.00	0.00
07SEP17	B	2	PD07DEC17		6924.50	6787.23	USD	-6,863.50	
07SEP17	B	2	PD07DEC17		6924.00	6787.23	USD	-6,838.50	
07SEP17	B	2	PD07DEC17		6920.00	6787.23	USD	-6,638.50	
07SEP17	S	4	PD07DEC17		6875.50	6787.23	USD	8,827.00	
07SEP17	S	2	PD07DEC17		6875.00	6787.23	USD	4,388.50	
07SEP17	S	2	PD07DEC17		6872.50	6787.23	USD	4,263.50	

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07SEP17	B	1	PD07DEC17	6868.50	6787.23	USD	-2,031.75	
07SEP17	S	3	PD07DEC17	6868.00	6787.23	USD	6,057.75	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	3	PD07DEC17	6869.50	6787.23	USD	6,170.25	
07SEP17	S	4	PD07DEC17	6867.00	6787.23	USD	7,977.00	
07SEP17	S	2	PD07DEC17	6858.00	6787.23	USD	3,538.50	
07SEP17	B	1	PD07DEC17	6893.00	6787.23	USD	-2,644.25	
07SEP17	B	1	PD07DEC17	6893.50	6787.23	USD	-2,656.75	
07SEP17	B	2	PD07DEC17	6894.50	6787.23	USD	-5,363.50	
07SEP17	B	2	PD07DEC17	6902.00	6787.23	USD	-5,738.50	
07SEP17	B	2	PD07DEC17	6896.50	6787.23	USD	-5,463.50	
07SEP17	B	3	PD07DEC17	6901.00	6787.23	USD	-8,532.75	
07SEP17	B	2	PD07DEC17	6894.00	6787.23	USD	-5,338.50	
07SEP17	B	2	PD07DEC17	6889.50	6787.23	USD	-5,113.50	
07SEP17	B	2	PD07DEC17	6886.50	6787.23	USD	-4,963.50	
07SEP17	S	4	PD07DEC17	6876.00	6787.23	USD	8,877.00	
07SEP17	S	2	PD07DEC17	6880.50	6787.23	USD	4,663.50	
07SEP17	S	1	PD07DEC17	6884.50	6787.23	USD	2,431.75	
07SEP17	S	1	PD07DEC17	6885.00	6787.23	USD	2,444.25	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	2	PD07DEC17	6878.00	6787.23	USD	4,538.50	
07SEP17	S	2	PD07DEC17	6901.50	6787.23	USD	5,713.50	
07SEP17	S	2	PD07DEC17	6905.00	6787.23	USD	5,888.50	
07SEP17	S	2	PD07DEC17	6905.50	6787.23	USD	5,913.50	
07SEP17	S	1	PD07DEC17	6901.00	6787.23	USD	2,844.25	
13SEP17	B	16	PD07DEC17	6551.23	6787.23	USD	94,400.00	
	NET	0 AVG BGHT 6761.02	SOLD 6878.39				117,370.50	0.00
08SEP17	B	4	PD08DEC17	6929.00	6787.71	USD	-14,129.00	
08SEP17	S	1	PD08DEC17	6926.50	6787.71	USD	3,469.75	
08SEP17	S	3	PD08DEC17	6926.00	6787.71	USD	10,371.75	
08SEP17	S	1	PD08DEC17	6902.50	6787.71	USD	2,869.75	
08SEP17	S	1	PD08DEC17	6895.00	6787.71	USD	2,682.25	
08SEP17	S	2	PD08DEC17	6898.50	6787.71	USD	5,539.50	
08SEP17	S	2	PD08DEC17	6900.50	6787.71	USD	5,639.50	
08SEP17	S	1	PD08DEC17	6853.50	6787.71	USD	1,644.75	
08SEP17	B	2	PD08DEC17	6835.50	6787.71	USD	-2,389.50	
08SEP17	S	1	PD08DEC17	6854.00	6787.71	USD	1,657.25	
08SEP17	S	1	PD08DEC17	6802.50	6787.71	USD	369.75	
08SEP17	S	1	PD08DEC17	6802.00	6787.71	USD	357.25	
08SEP17	S	2	PD08DEC17	6757.50	6787.71	USD	-1,510.50	
08SEP17	B	2	PD08DEC17	6739.50	6787.71	USD	2,410.50	
08SEP17	B	2	PD08DEC17	6737.50	6787.71	USD	2,510.50	
13SEP17	B	6	PD08DEC17	6551.66	6787.71	USD	35,407.50	
	NET	0 AVG BGHT 6728.19	SOLD 6870.44				56,901.00	0.00
12SEP17	B	2	PD12DEC17	6669.50	6789.63	USD	6,006.50	
29SEP17	S	2	PD12DEC17	6475.52	6789.63	USD	-15,705.50	
	NET	0 AVG BGHT 6669.50	SOLD 6475.52				-9,699.00	0.00
13SEP17	B	2	PD13DEC17	6685.00	6790.10	USD	5,255.00	
13SEP17	B	2	PD13DEC17	6630.50	6790.10	USD	7,980.00	
13SEP17	B	1	PD13DEC17	6564.00	6790.10	USD	5,652.50	
13SEP17	B	1	PD13DEC17	6565.00	6790.10	USD	5,627.50	
13SEP17	B	1	PD13DEC17	6565.50	6790.10	USD	5,615.00	
13SEP17	B	1	PD13DEC17	6566.00	6790.10	USD	5,602.50	
13SEP17	S	1	PD13DEC17	6564.50	6790.10	USD	-5,640.00	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	3	PD13DEC17	6571.00	6790.10	USD	16,432.50	

OPEN POSITION STATEMENT



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SAP00940

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	2	PD13DEC17	6574.50	6790.10	USD	10,780.00	
13SEP17	B	2	PD13DEC17	6573.50	6790.10	USD	10,830.00	
13SEP17	B	1	PD13DEC17	6566.50	6790.10	USD	5,590.00	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	B	2	PD13DEC17	6557.50	6790.10	USD	11,630.00	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
20SEP17	S	10	PD13DEC17	6524.38	6790.10	USD	-66,430.00	
29SEP17	S	10	PD13DEC17	6475.98	6790.10	USD	-78,530.00	
	NET	0 AVG BGHT 6584.67	SOLD 6503.24				-42,747.50	0.00
14SEP17	B	2	PD14DEC17	6561.50	6790.58	USD	11,454.00	
14SEP17	B	2	PD14DEC17	6525.00	6790.58	USD	13,279.00	
14SEP17	B	2	PD14DEC17	6498.00	6790.58	USD	14,629.00	
14SEP17	B	2	PD14DEC17	6507.00	6790.58	USD	14,179.00	
14SEP17	B	2	PD14DEC17	6506.00	6790.58	USD	14,229.00	
14SEP17	B	2	PD14DEC17	6505.50	6790.58	USD	14,254.00	
	NET	+12 AVG BGHT 6517.17					82,024.00	0.00
15SEP17	S	4	PD15DEC17	6510.50	6791.06	USD	-28,056.00	
15SEP17	B	1	PD15DEC17	6478.00	6791.06	USD	7,826.50	
15SEP17	B	1	PD15DEC17	6477.50	6791.06	USD	7,839.00	
15SEP17	S	2	PD15DEC17	6461.00	6791.06	USD	-16,503.00	
15SEP17	S	2	PD15DEC17	6455.00	6791.06	USD	-16,803.00	
15SEP17	B	2	PD15DEC17	6501.00	6791.06	USD	14,503.00	
15SEP17	B	2	PD15DEC17	6501.50	6791.06	USD	14,478.00	
15SEP17	B	2	PD15DEC17	6498.50	6791.06	USD	14,628.00	
15SEP17	B	1	PD15DEC17	6500.00	6791.06	USD	7,276.50	
15SEP17	B	1	PD15DEC17	6499.50	6791.06	USD	7,289.00	
	NET	+2 AVG BGHT 6495.70	SOLD 6484.25				12,478.00	0.00
18SEP17	S	2	PD18DEC17	6545.00	6792.50	USD	-12,375.00	
18SEP17	S	8	PD18DEC17	6541.00	6792.50	USD	-50,300.00	
20SEP17	B	10	PD18DEC17	6526.14	6792.50	USD	66,590.00	
	NET	0 AVG BGHT 6526.14	SOLD 6541.80				3,915.00	0.00
19SEP17	S	2	PD19DEC17	6528.50	6792.50	USD	-13,200.00	
19SEP17	S	2	PD19DEC17	6531.00	6792.50	USD	-13,075.00	
19SEP17	B	2	PD19DEC17	6519.50	6792.50	USD	13,650.00	
19SEP17	B	2	PD19DEC17	6517.50	6792.50	USD	13,750.00	
19SEP17	B	2	PD19DEC17	6514.00	6792.50	USD	13,925.00	
	NET	+2 AVG BGHT 6517.00	SOLD 6529.75				15,050.00	0.00
20SEP17	S	2	PD20DEC17	6574.00	6792.50	USD	-10,925.00	
20SEP17	S	2	PD20DEC17	6549.50	6792.50	USD	-12,150.00	
20SEP17	S	2	PD20DEC17	6546.00	6792.50	USD	-12,325.00	
20SEP17	S	1	PD20DEC17	6535.50	6792.50	USD	-6,425.00	
20SEP17	S	1	PD20DEC17	6535.00	6792.50	USD	-6,437.50	
29SEP17	B	8	PD20DEC17	6479.45	6792.50	USD	62,610.00	
	NET	0 AVG BGHT 6479.45	SOLD 6551.19				14,347.50	0.00
21SEP17	B	1	PD21DEC17	6466.00	6791.50	USD	8,137.50	
21SEP17	B	1	PD21DEC17	6466.50	6791.50	USD	8,125.00	
21SEP17	S	2	PD21DEC17	6427.00	6791.50	USD	-18,225.00	
21SEP17	S	2	PD21DEC17	6431.50	6791.50	USD	-18,000.00	
21SEP17	S	2	PD21DEC17	6434.00	6791.50	USD	-17,875.00	
21SEP17	B	2	PD21DEC17	6444.50	6791.50	USD	17,350.00	
21SEP17	B	2	PD21DEC17	6453.50	6791.50	USD	16,900.00	
21SEP17	S	1	PD21DEC17	6431.00	6791.50	USD	-9,012.50	
21SEP17	S	1	PD21DEC17	6430.00	6791.50	USD	-9,037.50	
29SEP17	B	2	PD21DEC17	6478.45	6791.50	USD	15,652.50	
	NET	0 AVG BGHT 6460.68	SOLD 6430.75				-5,985.00	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6428.00	6790.50	USD	-18,125.00	
22SEP17	B	2	PD22DEC17	6434.50	6790.50	USD	17,800.00	
22SEP17	B	4	PD22DEC17	6416.00	6790.50	USD	37,450.00	
22SEP17	S	2	PD22DEC17	6400.50	6790.50	USD	-19,500.00	
22SEP17	S	2	PD22DEC17	6403.00	6790.50	USD	-19,375.00	
22SEP17	S	2	PD22DEC17	6405.50	6790.50	USD	-19,250.00	
22SEP17	S	2	PD22DEC17	6370.50	6790.50	USD	-21,000.00	
22SEP17	B	2	PD22DEC17	6473.00	6790.50	USD	15,875.00	
22SEP17	B	2	PD22DEC17	6456.00	6790.50	USD	16,725.00	
22SEP17	B	2	PD22DEC17	6479.00	6790.50	USD	15,575.00	
22SEP17	B	2	PD22DEC17	6477.50	6790.50	USD	15,650.00	
22SEP17	S	2	PD22DEC17	6476.50	6790.50	USD	-15,700.00	
29SEP17	S	2	PD22DEC17	6477.25	6790.50	USD	-15,662.50	
	NET	0 AVG BGHT 6450.29	SOLD 6423.04				-9,537.50	0.00
25SEP17	S	2	PD27DEC17	6478.50	6793.00	USD	-15,725.00	
25SEP17	S	2	PD27DEC17	6466.00	6793.00	USD	-16,350.00	
25SEP17	S	2	PD27DEC17	6474.50	6793.00	USD	-15,925.00	
25SEP17	S	2	PD27DEC17	6458.50	6793.00	USD	-16,725.00	
26SEP17	B	2	PD27DEC17	6499.00	6793.00	USD	14,700.00	
26SEP17	B	2	PD27DEC17	6439.50	6793.00	USD	17,675.00	
26SEP17	B	1	PD27DEC17	6436.50	6793.00	USD	8,912.50	
26SEP17	B	1	PD27DEC17	6437.00	6793.00	USD	8,900.00	
26SEP17	B	2	PD27DEC17	6438.50	6793.00	USD	17,725.00	
26SEP17	B	2	PD27DEC17	6422.50	6793.00	USD	18,525.00	
27SEP17	S	2	PD27DEC17	6423.50	6793.00	USD	-18,475.00	
27SEP17	S	2	PD27DEC17	6416.00	6793.00	USD	-18,850.00	
27SEP17	S	2	PD27DEC17	6418.00	6793.00	USD	-18,750.00	
27SEP17	S	2	PD27DEC17	6420.00	6793.00	USD	-18,650.00	
29SEP17	B	4	PD27DEC17	6480.13	6793.00	USD	31,287.00	
29SEP17	B	2	PD27DEC17	6479.93	6793.00	USD	15,653.50	
	NET	0 AVG BGHT 6459.56	SOLD 6444.38				-6,072.00	0.00
28SEP17	S	2	PD28DEC17	6441.50	6793.47	USD	-17,598.50	
28SEP17	S	2	PD28DEC17	6439.50	6793.47	USD	-17,698.50	
28SEP17	S	2	PD28DEC17	6411.50	6793.47	USD	-19,098.50	
28SEP17	S	1	PD28DEC17	6410.00	6793.47	USD	-9,586.75	
28SEP17	S	1	PD28DEC17	6408.50	6793.47	USD	-9,624.25	
28SEP17	S	2	PD28DEC17	6408.00	6793.47	USD	-19,273.50	
28SEP17	B	2	PD28DEC17	6438.00	6793.47	USD	17,773.50	
28SEP17	B	2	PD28DEC17	6440.00	6793.47	USD	17,673.50	
28SEP17	B	2	PD28DEC17	6459.00	6793.47	USD	16,723.50	
28SEP17	B	2	PD28DEC17	6462.50	6793.47	USD	16,548.50	
28SEP17	B	2	PD28DEC17	6485.00	6793.47	USD	15,423.50	
28SEP17	B	2	PD28DEC17	6518.00	6793.47	USD	13,773.50	
29SEP17	S	2	PD28DEC17	6480.26	6793.47	USD	-15,660.50	
	NET	0 AVG BGHT 6467.08	SOLD 6431.67				-10,624.50	0.00
29SEP17	S	2	PD29DEC17	6534.50	6793.93	USD	-12,971.50	
29SEP17	S	2	PD29DEC17	6534.00	6793.93	USD	-12,996.50	
29SEP17	S	8	PD29DEC17	6525.00	6793.93	USD	-53,786.00	
29SEP17	S	3	PD29DEC17	6525.50	6793.93	USD	-20,132.25	
29SEP17	S	1	PD29DEC17	6524.00	6793.93	USD	-6,748.25	
29SEP17	B	2	PD29DEC17	6568.00	6793.93	USD	11,296.50	
29SEP17	B	6	PD29DEC17	6570.00	6793.93	USD	33,589.50	
29SEP17	B	2	PD29DEC17	6563.50	6793.93	USD	11,521.50	
29SEP17	B	2	PD29DEC17	6570.50	6793.93	USD	11,171.50	
29SEP17	B	1	PD29DEC17	6571.00	6793.93	USD	5,573.25	
29SEP17	B	1	PD29DEC17	6571.50	6793.93	USD	5,560.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD29DEC17	6574.00	6793.93	USD	10,996.50	
29SEP17	B	2	PD29DEC17	6573.00	6793.93	USD	11,046.50	
29SEP17	S	2	PD29DEC17	6565.50	6793.93	USD	-11,421.50	
	NET	0 AVG	BGHT 6570.03				-17,300.00	0.00
09OCT17	S	1	PD09JAN18	6635.50	6799.07	USD	-4,089.25	
09OCT17	S	2	PD09JAN18	6638.50	6799.07	USD	-8,028.50	
09OCT17	S	2	PD09JAN18	6662.00	6799.07	USD	-6,853.50	
09OCT17	S	2	PD09JAN18	6664.50	6799.07	USD	-6,728.50	
09OCT17	S	4	PD09JAN18	6658.50	6799.07	USD	-14,057.00	
09OCT17	S	1	PD09JAN18	6633.50	6799.07	USD	-4,139.25	
09OCT17	S	2	PD09JAN18	6640.00	6799.07	USD	-7,953.50	
09OCT17	S	2	PD09JAN18	6638.00	6799.07	USD	-8,053.50	
	NET	-16 AVG	SOLD 6649.31				-59,903.00	0.00
10OCT17	S	2	PD10JAN18	6724.00	6799.53	USD	-3,776.50	
10OCT17	S	2	PD10JAN18	6707.50	6799.53	USD	-4,601.50	
10OCT17	B	2	PD10JAN18	6708.50	6799.53	USD	4,551.50	
10OCT17	S	2	PD10JAN18	6688.00	6799.53	USD	-5,576.50	
10OCT17	B	2	PD10JAN18	6693.00	6799.53	USD	5,326.50	
10OCT17	B	4	PD10JAN18	6694.50	6799.53	USD	10,503.00	
10OCT17	B	2	PD10JAN18	6749.00	6799.53	USD	2,526.50	
10OCT17	B	2	PD10JAN18	6748.00	6799.53	USD	2,576.50	
10OCT17	B	2	PD10JAN18	6759.50	6799.53	USD	2,001.50	
10OCT17	B	2	PD10JAN18	6751.00	6799.53	USD	2,426.50	
10OCT17	B	2	PD10JAN18	6742.00	6799.53	USD	2,876.50	
10OCT17	B	2	PD10JAN18	6740.50	6799.53	USD	2,951.50	
10OCT17	B	2	PD10JAN18	6759.50	6799.53	USD	2,001.50	
	NET	+16 AVG	BGHT 6730.91				23,787.00	0.00
11OCT17	B	2	PD11JAN18	6734.50	6800.00	USD	3,275.00	
11OCT17	B	2	PD11JAN18	6738.00	6800.00	USD	3,100.00	
11OCT17	S	4	PD11JAN18	6727.00	6800.00	USD	-7,300.00	
11OCT17	B	2	PD11JAN18	6731.00	6800.00	USD	3,450.00	
11OCT17	B	1	PD11JAN18	6733.00	6800.00	USD	1,675.00	
11OCT17	B	1	PD11JAN18	6733.50	6800.00	USD	1,662.50	
11OCT17	S	2	PD11JAN18	6759.00	6800.00	USD	-2,050.00	
11OCT17	S	2	PD11JAN18	6758.00	6800.00	USD	-2,100.00	
11OCT17	B	2	PD11JAN18	6774.00	6800.00	USD	1,300.00	
11OCT17	B	2	PD11JAN18	6790.00	6800.00	USD	500.00	
11OCT17	S	1	PD11JAN18	6779.00	6800.00	USD	-525.00	
11OCT17	S	2	PD11JAN18	6774.50	6800.00	USD	-1,275.00	
11OCT17	S	1	PD11JAN18	6779.00	6800.00	USD	-525.00	
11OCT17	B	2	PD11JAN18	6792.00	6800.00	USD	400.00	
11OCT17	B	1	PD11JAN18	6800.00	6800.00	USD	0.00	
11OCT17	B	2	PD11JAN18	6806.50	6800.00	USD	-325.00	
11OCT17	B	2	PD11JAN18	6796.00	6800.00	USD	200.00	
11OCT17	B	2	PD11JAN18	6798.00	6800.00	USD	100.00	
11OCT17	B	1	PD11JAN18	6798.50	6800.00	USD	37.50	
11OCT17	B	1	PD11JAN18	6799.00	6800.00	USD	25.00	
11OCT17	B	2	PD11JAN18	6802.00	6800.00	USD	-100.00	
11OCT17	B	1	PD11JAN18	6799.50	6800.00	USD	12.50	
	NET	+14 AVG	BGHT 6776.44				1,537.50	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	2	DEC17	1279.0	1288.9	USD	1,980.00	
10OCT17	B	2	DEC17	1289.9	1288.9	USD	-200.00	
10OCT17	B	2	DEC17	1291.6	1288.9	USD	-540.00	
10OCT17	B	2	DEC17	1291.3	1288.9	USD	-480.00	
10OCT17	B	4	DEC17	1291.2	1288.9	USD	-920.00	

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	<u>CMX GOLD 100 CMX</u>							
10OCT17	B	2	DEC17	1291.0	1288.9	USD	-420.00	
10OCT17	B	4	DEC17	1295.4	1288.9	USD	-2,600.00	
10OCT17	B	2	DEC17	1292.4	1288.9	USD	-700.00	
	NET	+20 AVG BGHT 1290.8					-3,880.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	2329.50	2556.61	USD	5,677.75	
31JUL17	B	1	PD31OCT17	2330.00	2556.61	USD	5,665.25	
07SEP17	S	2	PD31OCT17	2327.94	2556.61	USD	-11,433.50	
	NET	0 AVG BGHT 2329.75 SOLD 2327.94					-90.50	0.00
03AUG17	S	1	PD03NOV17	2380.50	2557.04	USD	-4,413.50	
03AUG17	S	1	PD03NOV17	2381.00	2557.04	USD	-4,401.00	
03AUG17	B	1	PD03NOV17	2359.50	2557.04	USD	4,938.50	
03AUG17	B	2	PD03NOV17	2358.50	2557.04	USD	9,927.00	
03AUG17	S	2	PD03NOV17	2351.00	2557.04	USD	-10,302.00	
03AUG17	S	2	PD03NOV17	2351.50	2557.04	USD	-10,277.00	
03AUG17	B	2	PD03NOV17	2353.00	2557.04	USD	10,202.00	
03AUG17	B	1	PD03NOV17	2359.50	2557.04	USD	4,938.50	
	NET	0 AVG BGHT 2357.00 SOLD 2361.08					612.50	0.00
07AUG17	B	2	PD07NOV17	2352.00	2557.61	USD	10,280.50	
07AUG17	S	2	PD07NOV17	2353.00	2557.61	USD	-10,230.50	
	NET	0 AVG BGHT 2352.00 SOLD 2353.00					50.00	0.00
11AUG17	B	2	PD10NOV17	2356.00	2558.04	USD	10,102.00	
23AUG17	S	2	PD10NOV17	2374.00	2558.04	USD	-9,202.00	
	NET	0 AVG BGHT 2356.00 SOLD 2374.00					900.00	0.00
16AUG17	S	2	PD16NOV17	2389.00	2558.75	USD	-8,487.50	
23AUG17	B	2	PD16NOV17	2375.20	2558.75	USD	9,177.50	
	NET	0 AVG BGHT 2375.20 SOLD 2389.00					690.00	0.00
17AUG17	B	1	PD17NOV17	2517.50	2558.75	USD	1,031.25	
17AUG17	B	2	PD17NOV17	2414.50	2558.75	USD	7,212.50	
17AUG17	S	1	PD17NOV17	2415.00	2558.75	USD	-3,593.75	
18AUG17	S	2	PD17NOV17	2402.00	2558.75	USD	-7,837.50	
18AUG17	S	2	PD17NOV17	2372.50	2558.75	USD	-9,312.50	
18AUG17	B	2	PD17NOV17	2379.50	2558.75	USD	8,962.50	
	NET	0 AVG BGHT 2421.10 SOLD 2392.80					-3,537.50	0.00
21AUG17	B	2	PD21NOV17	2361.50	2559.32	USD	9,891.00	
07SEP17	S	2	PD21NOV17	2335.38	2559.32	USD	-11,197.00	
	NET	0 AVG BGHT 2361.50 SOLD 2335.38					-1,306.00	0.00
22AUG17	S	2	PD22NOV17	2347.00	2559.46	USD	-10,623.00	
07SEP17	B	2	PD22NOV17	2335.90	2559.46	USD	11,178.00	
	NET	0 AVG BGHT 2335.90 SOLD 2347.00					555.00	0.00
24AUG17	S	2	PD24NOV17	2388.50	2559.75	USD	-8,562.50	
24AUG17	B	2	PD24NOV17	2355.50	2559.75	USD	10,212.50	
25AUG17	B	2	PD24NOV17	2374.00	2559.75	USD	9,287.50	
25AUG17	S	1	PD24NOV17	2348.00	2559.75	USD	-5,293.75	
25AUG17	S	1	PD24NOV17	2347.00	2559.75	USD	-5,318.75	
25AUG17	S	2	PD24NOV17	2345.50	2559.75	USD	-10,712.50	
07SEP17	B	2	PD24NOV17	2336.55	2559.75	USD	11,160.00	
	NET	0 AVG BGHT 2355.35 SOLD 2360.50					772.50	0.00
29AUG17	B	2	PD29NOV17	2351.50	2560.20	USD	10,435.00	
29AUG17	S	2	PD29NOV17	2408.00	2560.20	USD	-7,610.00	
	NET	0 AVG BGHT 2351.50 SOLD 2408.00					2,825.00	0.00
30AUG17	B	2	PD30NOV17	2393.00	2560.30	USD	8,365.00	
13SEP17	S	2	PD30NOV17	2285.91	2560.30	USD	-13,719.50	
	NET	0 AVG BGHT 2393.00 SOLD 2285.91					-5,354.50	0.00

OPEN POSITION STATEMENT



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SAP00940

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	2	PD01DEC17	2397.50	2560.39	USD	8,144.50	
13SEP17	S	2	PD01DEC17	2286.22	2560.39	USD	-13,708.50	
	NET	0 AVG	BGHT 2397.50				-5,564.00	0.00
05SEP17	S	2	PD05DEC17	2327.50	2560.75	USD	-11,662.50	
13SEP17	B	2	PD05DEC17	2287.68	2560.75	USD	13,653.50	
	NET	0 AVG	BGHT 2287.68				1,991.00	0.00
06SEP17	B	2	PD06DEC17	2332.00	2561.00	USD	11,450.00	
06SEP17	S	2	PD06DEC17	2347.00	2561.00	USD	-10,700.00	
06SEP17	B	2	PD06DEC17	2342.50	2561.00	USD	10,925.00	
20SEP17	S	2	PD06DEC17	2457.80	2561.00	USD	-5,160.00	
	NET	0 AVG	BGHT 2337.25				6,515.00	0.00
07SEP17	S	2	PD07DEC17	2345.50	2561.25	USD	-10,787.50	
13SEP17	B	2	PD07DEC17	2288.31	2561.25	USD	13,647.00	
	NET	0 AVG	BGHT 2288.31				2,859.50	0.00
08SEP17	B	2	PD08DEC17	2351.00	2561.50	USD	10,525.00	
08SEP17	S	2	PD08DEC17	2331.50	2561.50	USD	-11,500.00	
08SEP17	B	2	PD08DEC17	2269.00	2561.50	USD	14,625.00	
20SEP17	S	2	PD08DEC17	2458.11	2561.50	USD	-5,169.50	
	NET	0 AVG	BGHT 2310.00				8,480.50	0.00
11SEP17	S	2	PD11DEC17	2265.50	2562.25	USD	-14,837.50	
11SEP17	B	2	PD11DEC17	2281.50	2562.25	USD	14,037.50	
11SEP17	B	2	PD11DEC17	2275.00	2562.25	USD	14,362.50	
20SEP17	S	2	PD11DEC17	2458.59	2562.25	USD	-5,183.00	
	NET	0 AVG	BGHT 2278.25				8,379.50	0.00
12SEP17	S	2	PD12DEC17	2277.00	2562.50	USD	-14,275.00	
12SEP17	B	2	PD12DEC17	2282.50	2562.50	USD	14,000.00	
	NET	0 AVG	BGHT 2282.50				-275.00	0.00
13SEP17	S	2	PD13DEC17	2290.00	2562.75	USD	-13,637.50	
13SEP17	B	2	PD13DEC17	2300.00	2562.75	USD	13,137.50	
	NET	0 AVG	BGHT 2300.00				-500.00	0.00
15SEP17	S	1	PD15DEC17	2327.50	2563.25	USD	-5,893.75	
15SEP17	S	1	PD15DEC17	2327.00	2563.25	USD	-5,906.25	
15SEP17	S	1	PD15DEC17	2346.00	2563.25	USD	-5,431.25	
15SEP17	S	1	PD15DEC17	2345.00	2563.25	USD	-5,456.25	
15SEP17	S	2	PD15DEC17	2335.00	2563.25	USD	-11,412.50	
20SEP17	B	6	PD15DEC17	2459.41	2563.25	USD	15,576.00	
	NET	0 AVG	BGHT 2459.41				-18,524.00	0.00
18SEP17	B	2	PD18DEC17	2395.50	2564.00	USD	8,425.00	
29SEP17	S	2	PD18DEC17	2490.08	2564.00	USD	-3,696.00	
	NET	0 AVG	BGHT 2395.50				4,729.00	0.00
19SEP17	B	2	PD19DEC17	2384.00	2564.25	USD	9,012.50	
19SEP17	S	2	PD19DEC17	2410.50	2564.25	USD	-7,687.50	
19SEP17	B	2	PD19DEC17	2421.50	2564.25	USD	7,137.50	
19SEP17	B	2	PD19DEC17	2419.50	2564.25	USD	7,237.50	
29SEP17	S	4	PD19DEC17	2490.04	2564.25	USD	-7,421.00	
	NET	0 AVG	BGHT 2408.33				8,279.00	0.00
20SEP17	B	1	PD20DEC17	2448.50	2564.50	USD	2,900.00	
20SEP17	B	1	PD20DEC17	2449.00	2564.50	USD	2,887.50	
29SEP17	S	2	PD20DEC17	2490.00	2564.50	USD	-3,725.00	
	NET	0 AVG	BGHT 2448.75				2,062.50	0.00
21SEP17	S	2	PD21DEC17	2491.50	2563.50	USD	-3,600.00	
21SEP17	S	2	PD21DEC17	2487.00	2563.50	USD	-3,825.00	
21SEP17	S	2	PD21DEC17	2473.50	2563.50	USD	-4,500.00	
21SEP17	S	1	PD21DEC17	2466.50	2563.50	USD	-2,425.00	

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21SEP17	S	1	PD21DEC17	2464.00	2563.50	USD	-2,487.50	
29SEP17	B	8	PD21DEC17	2489.20	2563.50	USD	14,860.00	
	NET	0AVG	BGHT 2489.20				-1,977.50	0.00
22SEP17	B	2	PD22DEC17	2434.00	2563.50	USD	6,475.00	
22SEP17	B	2	PD22DEC17	2390.00	2563.50	USD	8,675.00	
22SEP17	S	1	PD22DEC17	2463.00	2563.50	USD	-2,512.50	
22SEP17	S	1	PD22DEC17	2462.50	2563.50	USD	-2,525.00	
22SEP17	B	2	PD22DEC17	2476.00	2563.50	USD	4,375.00	
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	S	2	PD22DEC17	2475.50	2563.50	USD	-4,400.00	
22SEP17	S	1	PD22DEC17	2484.50	2563.50	USD	-1,975.00	
	NET	0AVG	BGHT 2433.33				6,087.50	0.00
25SEP17	S	2	PD27DEC17	2489.50	2563.50	USD	-3,700.00	
25SEP17	B	2	PD27DEC17	2501.00	2563.50	USD	3,125.00	
25SEP17	B	2	PD27DEC17	2502.00	2563.50	USD	3,075.00	
25SEP17	S	2	PD27DEC17	2503.00	2563.50	USD	-3,025.00	
25SEP17	B	2	PD27DEC17	2507.00	2563.50	USD	2,825.00	
25SEP17	S	2	PD27DEC17	2476.00	2563.50	USD	-4,375.00	
	NET	0AVG	BGHT 2503.33				-2,075.00	0.00
10OCT17	S	2	PD10JAN18	2511.00	2564.90	USD	-2,695.00	
	NET	-2AVG	SOLD 2511.00				-2,695.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD20OCT17	9490.00	11066.93	USD	18,923.16	
20JUL17	B	1	PD20OCT17	9470.00	11066.93	USD	9,581.58	
20JUL17	B	1	PD20OCT17	9495.00	11066.93	USD	9,431.58	
20JUL17	B	2	PD20OCT17	9505.00	11066.93	USD	18,743.16	
21JUL17	S	1	PD20OCT17	9665.00	11066.93	USD	-8,411.58	
21JUL17	S	1	PD20OCT17	9670.00	11066.93	USD	-8,381.58	
21JUL17	S	1	PD20OCT17	9640.00	11066.93	USD	-8,561.58	
21JUL17	B	1	PD20OCT17	9645.00	11066.93	USD	8,531.58	
21JUL17	S	1	PD20OCT17	9610.00	11066.93	USD	-8,741.58	
21JUL17	B	1	PD20OCT17	9615.00	11066.93	USD	8,711.58	
21JUL17	S	1	PD20OCT17	9600.00	11066.93	USD	-8,801.58	
21JUL17	S	3	PD20OCT17	9605.00	11066.93	USD	-26,314.74	
	NET	0AVG	BGHT 9526.88				4,710.00	0.00
24JUL17	B	1	PD24OCT17	9625.00	11070.79	USD	8,674.74	
24JUL17	B	1	PD24OCT17	9620.00	11070.79	USD	8,704.74	
24JUL17	B	2	PD24OCT17	9640.00	11070.79	USD	17,169.48	
24JUL17	B	1	PD24OCT17	9645.00	11070.79	USD	8,554.74	
24JUL17	B	1	PD24OCT17	9650.00	11070.79	USD	8,524.74	
24JUL17	B	1	PD24OCT17	9655.00	11070.79	USD	8,494.74	
24JUL17	B	1	PD24OCT17	9675.00	11070.79	USD	8,374.74	
24JUL17	B	1	PD24OCT17	9670.00	11070.79	USD	8,404.74	
24JUL17	B	1	PD24OCT17	9655.00	11070.79	USD	8,494.74	
08AUG17	S	10	PD24OCT17	10623.93	11070.79	USD	-26,811.60	
	NET	0AVG	BGHT 9647.50				58,585.80	0.00
25JUL17	B	1	PD25OCT17	9860.00	11071.75	USD	7,270.50	
25JUL17	S	1	PD25OCT17	9875.00	11071.75	USD	-7,180.50	
25JUL17	S	1	PD25OCT17	9965.00	11071.75	USD	-6,640.50	
25JUL17	S	1	PD25OCT17	9960.00	11071.75	USD	-6,670.50	
25JUL17	S	1	PD25OCT17	9950.00	11071.75	USD	-6,730.50	
25JUL17	S	1	PD25OCT17	9945.00	11071.75	USD	-6,760.50	
25JUL17	S	1	PD25OCT17	9955.00	11071.75	USD	-6,700.50	
25JUL17	S	1	PD25OCT17	9975.00	11071.75	USD	-6,580.50	

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25JUL17	S	2	PD250CT17	10000.00	11071.75	USD	-12,861.00	
25JUL17	S	1	PD250CT17	10010.00	11071.75	USD	-6,370.50	
25JUL17	S	1	PD250CT17	10015.00	11071.75	USD	-6,340.50	
25JUL17	B	1	PD250CT17	10010.00	11071.75	USD	6,370.50	
25JUL17	S	1	PD250CT17	10005.00	11071.75	USD	-6,400.50	
08AUG17	B	10	PD250CT17	10625.33	11071.75	USD	26,785.20	
	NET	0AVG BGHT 10510.28	SOLD 9971.25				-38,809.80	0.00
26JUL17	B	1	PD260CT17	10080.00	11072.71	USD	5,956.26	
26JUL17	S	1	PD260CT17	10010.00	11072.71	USD	-6,376.26	
26JUL17	S	1	PD260CT17	9995.00	11072.71	USD	-6,466.26	
26JUL17	B	1	PD260CT17	9930.00	11072.71	USD	6,856.26	
26JUL17	S	1	PD260CT17	9955.00	11072.71	USD	-6,706.26	
26JUL17	B	1	PD260CT17	10080.00	11072.71	USD	5,956.26	
	NET	0AVG BGHT 10030.00	SOLD 9986.67				-780.00	0.00
27JUL17	B	1	PD270CT17	10000.00	11073.68	USD	6,442.08	
27JUL17	B	1	PD270CT17	9995.00	11073.68	USD	6,472.08	
27JUL17	B	1	PD270CT17	9990.00	11073.68	USD	6,502.08	
27JUL17	B	1	PD270CT17	9980.00	11073.68	USD	6,562.08	
27JUL17	B	1	PD270CT17	10005.00	11073.68	USD	6,412.08	
27JUL17	B	2	PD270CT17	10015.00	11073.68	USD	12,704.16	
27JUL17	B	1	PD270CT17	10030.00	11073.68	USD	6,262.08	
27JUL17	B	6	PD270CT17	10025.00	11073.68	USD	37,752.48	
27JUL17	S	2	PD270CT17	10050.00	11073.68	USD	-12,284.16	
27JUL17	S	2	PD270CT17	10055.00	11073.68	USD	-12,224.16	
27JUL17	S	1	PD270CT17	10090.00	11073.68	USD	-5,902.08	
27JUL17	S	1	PD270CT17	10150.00	11073.68	USD	-5,542.08	
27JUL17	S	1	PD270CT17	10140.00	11073.68	USD	-5,602.08	
27JUL17	S	1	PD270CT17	10135.00	11073.68	USD	-5,632.08	
27JUL17	S	2	PD270CT17	10130.00	11073.68	USD	-11,324.16	
27JUL17	S	4	PD270CT17	10125.00	11073.68	USD	-22,768.32	
28JUL17	S	1	PD270CT17	10090.00	11073.68	USD	-5,902.08	
28JUL17	S	1	PD270CT17	10060.00	11073.68	USD	-6,082.08	
28JUL17	B	1	PD270CT17	10055.00	11073.68	USD	6,112.08	
28JUL17	S	1	PD270CT17	10025.00	11073.68	USD	-6,292.08	
28JUL17	B	1	PD270CT17	10060.00	11073.68	USD	6,082.08	
28JUL17	B	2	PD270CT17	10050.00	11073.68	USD	12,284.16	
28JUL17	B	1	PD270CT17	10105.00	11073.68	USD	5,812.08	
28JUL17	B	1	PD270CT17	10130.00	11073.68	USD	5,662.08	
28JUL17	S	1	PD270CT17	10245.00	11073.68	USD	-4,972.08	
28JUL17	S	1	PD270CT17	10240.00	11073.68	USD	-5,002.08	
28JUL17	S	1	PD270CT17	10250.00	11073.68	USD	-4,942.08	
28JUL17	S	1	PD270CT17	10255.00	11073.68	USD	-4,912.08	
28JUL17	S	1	PD270CT17	10275.00	11073.68	USD	-4,792.08	
28JUL17	S	1	PD270CT17	10285.00	11073.68	USD	-4,732.08	
28JUL17	S	2	PD270CT17	10280.00	11073.68	USD	-9,524.16	
28JUL17	B	1	PD270CT17	10205.00	11073.68	USD	5,212.08	
28JUL17	B	1	PD270CT17	10200.00	11073.68	USD	5,242.08	
28JUL17	B	2	PD270CT17	10245.00	11073.68	USD	9,944.16	
28JUL17	B	2	PD270CT17	10250.00	11073.68	USD	9,884.16	
28JUL17	S	3	PD270CT17	10260.00	11073.68	USD	-14,646.24	
08AUG17	B	2	PD270CT17	10625.14	11073.68	USD	5,382.48	
	NET	0AVG BGHT 10116.97	SOLD 10162.50				7,648.32	0.00
31JUL17	B	2	PD310CT17	10250.00	11077.54	USD	9,930.48	
31JUL17	B	2	PD310CT17	10240.00	11077.54	USD	10,050.48	
31JUL17	B	3	PD310CT17	10225.00	11077.54	USD	15,345.72	
31JUL17	B	1	PD310CT17	10225.00	11077.54	USD	5,115.24	

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08AUG17	S	8	PD31OCT17	10626.76	11077.54	USD	-21,637.44	
	NET	0 AVG	BGHT 10235.00	SOLD 10626.76			18,804.48	0.00
01AUG17	S	2	PD01NOV17	10095.00	11078.50	USD	-11,802.00	
01AUG17	S	2	PD01NOV17	10110.00	11078.50	USD	-11,622.00	
01AUG17	B	1	PD01NOV17	10105.00	11078.50	USD	5,841.00	
01AUG17	B	1	PD01NOV17	10110.00	11078.50	USD	5,811.00	
01AUG17	B	2	PD01NOV17	10155.00	11078.50	USD	11,082.00	
01AUG17	S	2	PD01NOV17	10100.00	11078.50	USD	-11,742.00	
01AUG17	S	2	PD01NOV17	10090.00	11078.50	USD	-11,862.00	
01AUG17	S	2	PD01NOV17	10200.00	11078.50	USD	-10,542.00	
01AUG17	S	4	PD01NOV17	10175.00	11078.50	USD	-21,684.00	
01AUG17	S	2	PD01NOV17	10190.00	11078.50	USD	-10,662.00	
08AUG17	B	4	PD01NOV17	10627.17	11078.50	USD	10,831.92	
08AUG17	B	8	PD01NOV17	10628.17	11078.50	USD	21,615.84	
	NET	0 AVG	BGHT 10503.69	SOLD 10141.88			-34,734.24	0.00
02AUG17	B	2	PD02NOV17	10210.00	11079.46	USD	10,433.52	
02AUG17	S	2	PD02NOV17	10210.00	11079.46	USD	-10,433.52	
02AUG17	B	2	PD02NOV17	10335.00	11079.46	USD	8,933.52	
08AUG17	S	2	PD02NOV17	10626.57	11079.46	USD	-5,434.68	
	NET	0 AVG	BGHT 10272.50	SOLD 10418.29			3,498.84	0.00
07AUG17	S	2	PD07NOV17	10300.00	11084.29	USD	-9,411.48	
07AUG17	B	2	PD07NOV17	10345.00	11084.29	USD	8,871.48	
07AUG17	B	2	PD07NOV17	10380.00	11084.29	USD	8,451.48	
07AUG17	B	1	PD07NOV17	10370.00	11084.29	USD	4,285.74	
07AUG17	B	1	PD07NOV17	10365.00	11084.29	USD	4,315.74	
07AUG17	B	3	PD07NOV17	10355.00	11084.29	USD	13,127.22	
07AUG17	B	3	PD07NOV17	10360.00	11084.29	USD	13,037.22	
07AUG17	B	2	PD07NOV17	10385.00	11084.29	USD	8,391.48	
07AUG17	S	1	PD07NOV17	10385.00	11084.29	USD	-4,195.74	
08AUG17	S	4	PD07NOV17	10628.60	11084.29	USD	-10,936.56	
23AUG17	S	7	PD07NOV17	11651.31	11084.29	USD	23,814.84	
	NET	0 AVG	BGHT 10364.29	SOLD 11075.61			59,751.42	0.00
08AUG17	S	2	PD08NOV17	10360.00	11085.25	USD	-8,703.00	
08AUG17	S	2	PD08NOV17	10365.00	11085.25	USD	-8,643.00	
08AUG17	B	2	PD08NOV17	10400.00	11085.25	USD	8,223.00	
08AUG17	B	2	PD08NOV17	10530.00	11085.25	USD	6,663.00	
08AUG17	B	2	PD08NOV17	10535.00	11085.25	USD	6,603.00	
08AUG17	B	2	PD08NOV17	10655.00	11085.25	USD	5,163.00	
08AUG17	S	1	PD08NOV17	10770.00	11085.25	USD	-1,891.50	
08AUG17	S	1	PD08NOV17	10755.00	11085.25	USD	-1,981.50	
08AUG17	B	1	PD08NOV17	10755.00	11085.25	USD	1,981.50	
08AUG17	S	1	PD08NOV17	10755.00	11085.25	USD	-1,981.50	
23AUG17	S	2	PD08NOV17	11652.02	11085.25	USD	6,801.24	
	NET	0 AVG	BGHT 10555.00	SOLD 10781.56			12,234.24	0.00
09AUG17	S	2	PD09NOV17	10805.00	11086.21	USD	-3,374.52	
09AUG17	B	1	PD09NOV17	10755.00	11086.21	USD	1,987.26	
09AUG17	S	1	PD09NOV17	10755.00	11086.21	USD	-1,987.26	
09AUG17	B	2	PD09NOV17	10775.00	11086.21	USD	3,734.52	
09AUG17	S	2	PD09NOV17	10765.00	11086.21	USD	-3,854.52	
09AUG17	B	4	PD09NOV17	10740.00	11086.21	USD	8,309.04	
09AUG17	S	1	PD09NOV17	10735.00	11086.21	USD	-2,107.26	
09AUG17	S	3	PD09NOV17	10740.00	11086.21	USD	-6,231.78	
09AUG17	B	2	PD09NOV17	10735.00	11086.21	USD	4,214.52	
	NET	0 AVG	BGHT 10748.33	SOLD 10761.11			690.00	0.00
10AUG17	S	1	PD10NOV17	10800.00	11087.18	USD	-1,723.08	

OPEN POSITION STATEMENT



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SAP00940

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	3	PD10NOV17	10795.00	11087.18	USD	-5,259.24	
10AUG17	B	2	PD10NOV17	10800.00	11087.18	USD	3,446.16	
10AUG17	B	2	PD10NOV17	10795.00	11087.18	USD	3,506.16	
10AUG17	B	2	PD10NOV17	10780.00	11087.18	USD	3,686.16	
10AUG17	S	1	PD10NOV17	10915.00	11087.18	USD	-1,033.08	
10AUG17	S	1	PD10NOV17	10925.00	11087.18	USD	-973.08	
11AUG17	S	1	PD10NOV17	10820.00	11087.18	USD	-1,603.08	
11AUG17	S	1	PD10NOV17	10815.00	11087.18	USD	-1,633.08	
11AUG17	S	4	PD10NOV17	10835.00	11087.18	USD	-6,052.32	
11AUG17	S	2	PD10NOV17	10735.00	11087.18	USD	-4,226.16	
11AUG17	S	2	PD10NOV17	10635.00	11087.18	USD	-5,426.16	
11AUG17	S	4	PD10NOV17	10655.00	11087.18	USD	-10,372.32	
11AUG17	B	2	PD10NOV17	10660.00	11087.18	USD	5,126.16	
11AUG17	S	4	PD10NOV17	10660.00	11087.18	USD	-10,252.32	
11AUG17	B	7	PD10NOV17	10655.00	11087.18	USD	18,151.56	
11AUG17	B	12	PD10NOV17	10680.00	11087.18	USD	29,316.96	
11AUG17	S	2	PD10NOV17	10605.00	11087.18	USD	-5,786.16	
11AUG17	S	2	PD10NOV17	10650.00	11087.18	USD	-5,246.16	
11AUG17	B	1	PD10NOV17	10655.00	11087.18	USD	2,593.08	
	NET	0 AVG BGHT 10695.36	SOLD 10732.50				6,240.00	0.00
14AUG17	S	4	PD14NOV17	10490.00	11091.04	USD	-14,424.96	
14AUG17	B	2	PD14NOV17	10515.00	11091.04	USD	6,912.48	
14AUG17	B	2	PD14NOV17	10525.00	11091.04	USD	6,792.48	
14AUG17	B	2	PD14NOV17	10490.00	11091.04	USD	7,212.48	
14AUG17	B	2	PD14NOV17	10435.00	11091.04	USD	7,872.48	
14AUG17	S	2	PD14NOV17	10440.00	11091.04	USD	-7,812.48	
14AUG17	S	2	PD14NOV17	10415.00	11091.04	USD	-8,112.48	
14AUG17	S	2	PD14NOV17	10420.00	11091.04	USD	-8,052.48	
14AUG17	S	2	PD14NOV17	10410.00	11091.04	USD	-8,172.48	
14AUG17	S	2	PD14NOV17	10430.00	11091.04	USD	-7,932.48	
23AUG17	B	6	PD14NOV17	11657.29	11091.04	USD	-20,385.00	
	NET	0 AVG BGHT 10990.98	SOLD 10442.14				-46,102.44	0.00
15AUG17	B	2	PD15NOV17	10415.00	11092.00	USD	8,124.00	
15AUG17	B	4	PD15NOV17	10455.00	11092.00	USD	15,288.00	
15AUG17	S	2	PD15NOV17	10495.00	11092.00	USD	-7,164.00	
15AUG17	S	2	PD15NOV17	10410.00	11092.00	USD	-8,184.00	
15AUG17	B	4	PD15NOV17	10410.00	11092.00	USD	16,368.00	
15AUG17	B	2	PD15NOV17	10355.00	11092.00	USD	8,844.00	
23AUG17	S	8	PD15NOV17	11657.00	11092.00	USD	27,120.00	
	NET	0 AVG BGHT 10416.67	SOLD 11255.50				60,396.00	0.00
16AUG17	S	4	PD16NOV17	10555.00	11092.77	USD	-12,906.48	
16AUG17	S	2	PD16NOV17	10560.00	11092.77	USD	-6,393.24	
16AUG17	S	1	PD16NOV17	10565.00	11092.77	USD	-3,166.62	
16AUG17	S	4	PD16NOV17	10570.00	11092.77	USD	-12,546.48	
16AUG17	S	6	PD16NOV17	10575.00	11092.77	USD	-18,639.72	
16AUG17	B	2	PD16NOV17	10690.00	11092.77	USD	4,833.24	
16AUG17	B	4	PD16NOV17	10685.00	11092.77	USD	9,786.48	
16AUG17	B	4	PD16NOV17	10680.00	11092.77	USD	9,906.48	
16AUG17	B	2	PD16NOV17	10705.00	11092.77	USD	4,653.24	
16AUG17	S	2	PD16NOV17	10750.00	11092.77	USD	-4,113.24	
16AUG17	B	4	PD16NOV17	10720.00	11092.77	USD	8,946.48	
16AUG17	S	1	PD16NOV17	10565.00	11092.77	USD	-3,166.62	
16AUG17	S	2	PD16NOV17	10570.00	11092.77	USD	-6,273.24	
16AUG17	S	2	PD16NOV17	10815.00	11092.77	USD	-3,333.24	
23AUG17	B	8	PD16NOV17	11656.00	11092.77	USD	-27,035.04	
	NET	0 AVG BGHT 11015.75	SOLD 10602.92				-59,448.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17AUG17	B	4	PD17NOV17		10805.00	11093.54	USD	6,924.96	
17AUG17	S	2	PD17NOV17		10780.00	11093.54	USD	-3,762.48	
17AUG17	S	2	PD17NOV17		10825.00	11093.54	USD	-3,222.48	
17AUG17	S	2	PD17NOV17		10850.00	11093.54	USD	-2,922.48	
17AUG17	S	2	PD17NOV17		10865.00	11093.54	USD	-2,742.48	
17AUG17	S	2	PD17NOV17		10830.00	11093.54	USD	-3,162.48	
17AUG17	S	2	PD17NOV17		10815.00	11093.54	USD	-3,342.48	
17AUG17	S	1	PD17NOV17		10810.00	11093.54	USD	-1,701.24	
17AUG17	S	1	PD17NOV17		10800.00	11093.54	USD	-1,761.24	
17AUG17	S	2	PD17NOV17		10790.00	11093.54	USD	-3,642.48	
17AUG17	B	2	PD17NOV17		10810.00	11093.54	USD	3,402.48	
17AUG17	B	2	PD17NOV17		10725.00	11093.54	USD	4,422.48	
17AUG17	B	1	PD17NOV17		10730.00	11093.54	USD	2,181.24	
17AUG17	B	4	PD17NOV17		10770.00	11093.54	USD	7,764.96	
17AUG17	B	9	PD17NOV17		10725.00	11093.54	USD	19,901.16	
17AUG17	B	2	PD17NOV17		10740.00	11093.54	USD	4,242.48	
18AUG17	B	1	PD17NOV17		10890.00	11093.54	USD	1,221.24	
18AUG17	B	4	PD17NOV17		10915.00	11093.54	USD	4,284.96	
18AUG17	B	2	PD17NOV17		11020.00	11093.54	USD	882.48	
18AUG17	B	2	PD17NOV17		11005.00	11093.54	USD	1,062.48	
18AUG17	S	2	PD17NOV17		10895.00	11093.54	USD	-2,382.48	
18AUG17	B	2	PD17NOV17		10875.00	11093.54	USD	2,622.48	
18AUG17	S	2	PD17NOV17		10865.00	11093.54	USD	-2,742.48	
18AUG17	S	1	PD17NOV17		10845.00	11093.54	USD	-1,491.24	
18AUG17	S	1	PD17NOV17		10815.00	11093.54	USD	-1,671.24	
18AUG17	B	2	PD17NOV17		10865.00	11093.54	USD	2,742.48	
18AUG17	B	1	PD17NOV17		10855.00	11093.54	USD	1,431.24	
18AUG17	B	2	PD17NOV17		10975.00	11093.54	USD	1,422.48	
18AUG17	B	2	PD17NOV17		10970.00	11093.54	USD	1,482.48	
23AUG17	S	11	PD17NOV17		11655.63	11093.54	USD	37,097.94	
07SEP17	S	9	PD17NOV17		12138.69	11093.54	USD	56,438.10	
	NET	0 AVG	BGHT 10831.67	SOLD 11327.62				124,980.84	0.00
21AUG17	S	1	PD21NOV17		11225.00	11096.63	USD	770.22	
21AUG17	S	1	PD21NOV17		11220.00	11096.63	USD	740.22	
21AUG17	S	2	PD21NOV17		11110.00	11096.63	USD	160.44	
21AUG17	S	2	PD21NOV17		11090.00	11096.63	USD	-79.56	
21AUG17	B	1	PD21NOV17		11225.00	11096.63	USD	-770.22	
21AUG17	S	4	PD21NOV17		11015.00	11096.63	USD	-1,959.12	
21AUG17	S	2	PD21NOV17		11120.00	11096.63	USD	280.44	
21AUG17	S	2	PD21NOV17		11115.00	11096.63	USD	220.44	
21AUG17	S	2	PD21NOV17		11005.00	11096.63	USD	-1,099.56	
21AUG17	B	2	PD21NOV17		11175.00	11096.63	USD	-940.44	
21AUG17	S	2	PD21NOV17		11200.00	11096.63	USD	1,240.44	
21AUG17	S	2	PD21NOV17		11160.00	11096.63	USD	760.44	
21AUG17	B	3	PD21NOV17		11220.00	11096.63	USD	-2,220.66	
21AUG17	B	2	PD21NOV17		11155.00	11096.63	USD	-700.44	
21AUG17	S	2	PD21NOV17		11195.00	11096.63	USD	1,180.44	
21AUG17	B	2	PD21NOV17		11140.00	11096.63	USD	-520.44	
21AUG17	S	2	PD21NOV17		11220.00	11096.63	USD	1,480.44	
21AUG17	B	2	PD21NOV17		11295.00	11096.63	USD	-2,380.44	
21AUG17	B	2	PD21NOV17		11265.00	11096.63	USD	-2,020.44	
21AUG17	S	2	PD21NOV17		11280.00	11096.63	USD	2,200.44	
21AUG17	S	2	PD21NOV17		11270.00	11096.63	USD	2,080.44	
23AUG17	B	14	PD21NOV17		11659.13	11096.63	USD	-47,250.00	
	NET	0 AVG	BGHT 11434.74	SOLD 11144.11				-48,826.92	0.00
22AUG17	S	4	PD22NOV17		11480.00	11097.40	USD	9,182.40	
22AUG17	S	4	PD22NOV17		11475.00	11097.40	USD	9,062.40	

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22AUG17	S	2	PD22NOV17	11430.00	11097.40	USD	3,991.20	
22AUG17	S	2	PD22NOV17	11410.00	11097.40	USD	3,751.20	
22AUG17	B	2	PD22NOV17	11475.00	11097.40	USD	-4,531.20	
22AUG17	B	4	PD22NOV17	11450.00	11097.40	USD	-8,462.40	
22AUG17	B	2	PD22NOV17	11425.00	11097.40	USD	-3,931.20	
22AUG17	B	2	PD22NOV17	11400.00	11097.40	USD	-3,631.20	
22AUG17	S	2	PD22NOV17	11415.00	11097.40	USD	3,811.20	
22AUG17	S	2	PD22NOV17	11420.00	11097.40	USD	3,871.20	
22AUG17	S	2	PD22NOV17	11425.00	11097.40	USD	3,931.20	
22AUG17	B	4	PD22NOV17	11405.00	11097.40	USD	-7,382.40	
22AUG17	S	2	PD22NOV17	11395.00	11097.40	USD	3,571.20	
22AUG17	S	2	PD22NOV17	11330.00	11097.40	USD	2,791.20	
22AUG17	S	4	PD22NOV17	11390.00	11097.40	USD	7,022.40	
22AUG17	S	2	PD22NOV17	11405.00	11097.40	USD	3,691.20	
07SEP17	B	5	PD22NOV17	12141.67	11097.40	USD	-31,328.10	
07SEP17	B	9	PD22NOV17	12142.67	11097.40	USD	-56,444.58	
	NET	0 AVG BGHT 11786.16	SOLD 11422.86				-61,034.28	0.00
23AUG17	S	1	PD24NOV17	11340.00	11098.94	USD	1,446.36	
23AUG17	S	1	PD24NOV17	11345.00	11098.94	USD	1,476.36	
23AUG17	B	2	PD24NOV17	11345.00	11098.94	USD	-2,952.72	
23AUG17	B	2	PD24NOV17	11365.00	11098.94	USD	-3,192.72	
23AUG17	B	1	PD24NOV17	11380.00	11098.94	USD	-1,686.36	
23AUG17	B	1	PD24NOV17	11400.00	11098.94	USD	-1,806.36	
23AUG17	B	2	PD24NOV17	11385.00	11098.94	USD	-3,432.72	
23AUG17	S	1	PD24NOV17	11455.00	11098.94	USD	2,136.36	
23AUG17	S	2	PD24NOV17	11440.00	11098.94	USD	4,092.72	
23AUG17	B	2	PD24NOV17	11450.00	11098.94	USD	-4,212.72	
23AUG17	S	2	PD24NOV17	11450.00	11098.94	USD	4,212.72	
23AUG17	S	2	PD24NOV17	11580.00	11098.94	USD	5,772.72	
23AUG17	B	2	PD24NOV17	11550.00	11098.94	USD	-5,412.72	
23AUG17	S	1	PD24NOV17	11455.00	11098.94	USD	2,136.36	
23AUG17	S	2	PD24NOV17	11685.00	11098.94	USD	7,032.72	
23AUG17	B	2	PD24NOV17	11690.00	11098.94	USD	-7,092.72	
24AUG17	S	2	PD24NOV17	11750.00	11098.94	USD	7,812.72	
24AUG17	S	2	PD24NOV17	11660.00	11098.94	USD	6,732.72	
25AUG17	B	2	PD24NOV17	11680.00	11098.94	USD	-6,972.72	
25AUG17	B	4	PD24NOV17	11690.00	11098.94	USD	-14,185.44	
25AUG17	B	2	PD24NOV17	11520.00	11098.94	USD	-5,052.72	
25AUG17	S	2	PD24NOV17	11540.00	11098.94	USD	5,292.72	
25AUG17	S	2	PD24NOV17	11435.00	11098.94	USD	4,032.72	
25AUG17	S	2	PD24NOV17	11445.00	11098.94	USD	4,152.72	
25AUG17	S	1	PD24NOV17	11465.00	11098.94	USD	2,196.36	
25AUG17	B	2	PD24NOV17	11405.00	11098.94	USD	-3,672.72	
25AUG17	B	2	PD24NOV17	11420.00	11098.94	USD	-3,852.72	
25AUG17	S	2	PD24NOV17	11410.00	11098.94	USD	3,732.72	
25AUG17	S	1	PD24NOV17	11465.00	11098.94	USD	2,196.36	
	NET	0 AVG BGHT 11506.15	SOLD 11512.12				930.00	0.00
29AUG17	S	2	PD29NOV17	11615.00	11102.80	USD	6,146.40	
29AUG17	S	1	PD29NOV17	11835.00	11102.80	USD	4,393.20	
29AUG17	B	1	PD29NOV17	11830.00	11102.80	USD	-4,363.20	
29AUG17	B	1	PD29NOV17	11790.00	11102.80	USD	-4,123.20	
29AUG17	B	3	PD29NOV17	11795.00	11102.80	USD	-12,459.60	
29AUG17	S	3	PD29NOV17	11810.00	11102.80	USD	12,729.60	
29AUG17	B	2	PD29NOV17	11805.00	11102.80	USD	-8,426.40	
29AUG17	B	3	PD29NOV17	11810.00	11102.80	USD	-12,729.60	
29AUG17	B	2	PD29NOV17	11825.00	11102.80	USD	-8,666.40	
29AUG17	S	2	PD29NOV17	11600.00	11102.80	USD	5,966.40	

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29AUG17	B	4	PD29NOV17	11835.00	11102.80	USD	-17,572.80	
29AUG17	S	2	PD29NOV17	11640.00	11102.80	USD	6,446.40	
29AUG17	B	4	PD29NOV17	11730.00	11102.80	USD	-15,052.80	
29AUG17	B	4	PD29NOV17	11725.00	11102.80	USD	-14,932.80	
29AUG17	S	2	PD29NOV17	11875.00	11102.80	USD	9,266.40	
29AUG17	S	2	PD29NOV17	11855.00	11102.80	USD	9,026.40	
29AUG17	S	2	PD29NOV17	11805.00	11102.80	USD	8,426.40	
29AUG17	S	2	PD29NOV17	11865.00	11102.80	USD	9,146.40	
29AUG17	S	2	PD29NOV17	11695.00	11102.80	USD	7,106.40	
07SEP17	S	4	PD29NOV17	12144.83	11102.80	USD	25,008.72	
	NET	0 AVG BGHT 11785.63	SOLD 11822.68				5,335.92	0.00
30AUG17	B	2	PD30NOV17	11760.00	11103.57	USD	-7,877.16	
30AUG17	S	2	PD30NOV17	11715.00	11103.57	USD	7,337.16	
30AUG17	B	1	PD30NOV17	11580.00	11103.57	USD	-2,858.58	
30AUG17	B	1	PD30NOV17	11585.00	11103.57	USD	-2,888.58	
30AUG17	B	4	PD30NOV17	11620.00	11103.57	USD	-12,394.32	
30AUG17	B	2	PD30NOV17	11645.00	11103.57	USD	-6,497.16	
30AUG17	B	2	PD30NOV17	11650.00	11103.57	USD	-6,557.16	
31AUG17	B	1	PD30NOV17	11665.00	11103.57	USD	-3,368.58	
31AUG17	S	1	PD30NOV17	11665.00	11103.57	USD	3,368.58	
31AUG17	B	1	PD30NOV17	11675.00	11103.57	USD	-3,428.58	
31AUG17	B	1	PD30NOV17	11675.00	11103.57	USD	-3,428.58	
31AUG17	B	2	PD30NOV17	11655.00	11103.57	USD	-6,617.16	
31AUG17	B	4	PD30NOV17	11670.00	11103.57	USD	-13,594.32	
31AUG17	B	2	PD30NOV17	11715.00	11103.57	USD	-7,337.16	
31AUG17	S	2	PD30NOV17	11735.00	11103.57	USD	7,577.16	
31AUG17	S	2	PD30NOV17	11715.00	11103.57	USD	7,337.16	
31AUG17	S	2	PD30NOV17	11720.00	11103.57	USD	7,397.16	
31AUG17	S	2	PD30NOV17	11770.00	11103.57	USD	7,997.16	
31AUG17	S	2	PD30NOV17	11795.00	11103.57	USD	8,297.16	
31AUG17	S	4	PD30NOV17	11820.00	11103.57	USD	17,194.32	
31AUG17	S	6	PD30NOV17	11785.00	11103.57	USD	24,531.48	
31AUG17	S	2	PD30NOV17	11800.00	11103.57	USD	8,357.16	
31AUG17	B	2	PD30NOV17	11775.00	11103.57	USD	-8,057.16	
	NET	0 AVG BGHT 11669.60	SOLD 11766.20				14,490.00	0.00
01SEP17	B	4	PD01DEC17	11815.00	11104.34	USD	-17,055.84	
01SEP17	B	2	PD01DEC17	11805.00	11104.34	USD	-8,407.92	
01SEP17	S	4	PD01DEC17	12000.00	11104.34	USD	21,495.84	
01SEP17	S	4	PD01DEC17	11995.00	11104.34	USD	21,375.84	
01SEP17	S	2	PD01DEC17	11985.00	11104.34	USD	10,567.92	
01SEP17	S	2	PD01DEC17	11990.00	11104.34	USD	10,627.92	
01SEP17	B	2	PD01DEC17	12040.00	11104.34	USD	-11,227.92	
01SEP17	B	4	PD01DEC17	12045.00	11104.34	USD	-22,575.84	
01SEP17	B	2	PD01DEC17	12030.00	11104.34	USD	-11,107.92	
01SEP17	S	2	PD01DEC17	11995.00	11104.34	USD	10,687.92	
	NET	0 AVG BGHT 11942.14	SOLD 11994.29				4,380.00	0.00
04SEP17	B	2	PD04DEC17	12225.00	11106.66	USD	-13,420.08	
04SEP17	B	2	PD04DEC17	12210.00	11106.66	USD	-13,240.08	
04SEP17	S	2	PD04DEC17	12250.00	11106.66	USD	13,720.08	
07SEP17	S	1	PD04DEC17	12147.81	11106.66	USD	6,246.90	
13SEP17	S	1	PD04DEC17	11353.08	11106.66	USD	1,478.52	
	NET	0 AVG BGHT 12217.50	SOLD 12000.22				-5,214.66	0.00
05SEP17	S	2	PD05DEC17	12270.00	11107.43	USD	13,950.84	
05SEP17	S	2	PD05DEC17	12235.00	11107.43	USD	13,530.84	
05SEP17	S	2	PD05DEC17	12225.00	11107.43	USD	13,410.84	
05SEP17	B	2	PD05DEC17	12225.00	11107.43	USD	-13,410.84	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	2	PD05DEC17	12215.00	11107.43	USD	13,290.84	
05SEP17	B	4	PD05DEC17	12215.00	11107.43	USD	-26,581.68	
05SEP17	B	4	PD05DEC17	12210.00	11107.43	USD	-26,461.68	
05SEP17	S	2	PD05DEC17	12255.00	11107.43	USD	13,770.84	
05SEP17	S	2	PD05DEC17	12075.00	11107.43	USD	11,610.84	
05SEP17	S	2	PD05DEC17	12080.00	11107.43	USD	11,670.84	
13SEP17	B	1	PD05DEC17	11354.92	11107.43	USD	-1,484.94	
13SEP17	B	3	PD05DEC17	11353.92	11107.43	USD	-4,436.82	
	NET	0 AVG	BGHT 11969.05	SOLD 12193.57			18,859.92	0.00
06SEP17	B	2	PD06DEC17	11995.00	11108.20	USD	-10,641.60	
06SEP17	B	8	PD06DEC17	12000.00	11108.20	USD	-42,806.40	
06SEP17	B	4	PD06DEC17	12010.00	11108.20	USD	-21,643.20	
06SEP17	S	1	PD06DEC17	12070.00	11108.20	USD	5,770.80	
06SEP17	S	3	PD06DEC17	12065.00	11108.20	USD	17,222.40	
06SEP17	S	2	PD06DEC17	12075.00	11108.20	USD	11,601.60	
06SEP17	S	2	PD06DEC17	12080.00	11108.20	USD	11,661.60	
06SEP17	S	2	PD06DEC17	12095.00	11108.20	USD	11,841.60	
06SEP17	S	2	PD06DEC17	12115.00	11108.20	USD	12,081.60	
06SEP17	S	2	PD06DEC17	12130.00	11108.20	USD	12,261.60	
	NET	0 AVG	BGHT 12002.14	SOLD 12089.64			7,350.00	0.00
07SEP17	B	2	PD07DEC17	12250.00	11108.97	USD	-13,692.36	
07SEP17	B	2	PD07DEC17	12230.00	11108.97	USD	-13,452.36	
07SEP17	S	2	PD07DEC17	12290.00	11108.97	USD	14,172.36	
07SEP17	B	2	PD07DEC17	12105.00	11108.97	USD	-11,952.36	
07SEP17	S	1	PD07DEC17	12075.00	11108.97	USD	5,796.18	
07SEP17	S	1	PD07DEC17	12075.00	11108.97	USD	5,796.18	
07SEP17	B	4	PD07DEC17	12110.00	11108.97	USD	-24,024.72	
07SEP17	S	1	PD07DEC17	12150.00	11108.97	USD	6,246.18	
07SEP17	S	1	PD07DEC17	12155.00	11108.97	USD	6,276.18	
07SEP17	S	4	PD07DEC17	12165.00	11108.97	USD	25,344.72	
07SEP17	S	2	PD07DEC17	12170.00	11108.97	USD	12,732.36	
07SEP17	B	2	PD07DEC17	12175.00	11108.97	USD	-12,792.36	
07SEP17	B	18	PD07DEC17	12180.00	11108.97	USD	-115,671.24	
07SEP17	B	4	PD07DEC17	12200.00	11108.97	USD	-26,184.72	
07SEP17	B	2	PD07DEC17	12205.00	11108.97	USD	-13,152.36	
13SEP17	S	3	PD07DEC17	11354.62	11108.97	USD	4,421.70	
13SEP17	S	21	PD07DEC17	11355.62	11108.97	USD	31,077.90	
	NET	0 AVG	BGHT 12178.06	SOLD 11626.86			-119,058.72	0.00
08SEP17	S	2	PD08DEC17	12275.00	11109.74	USD	13,983.12	
08SEP17	S	2	PD08DEC17	12280.00	11109.74	USD	14,043.12	
08SEP17	S	3	PD08DEC17	12290.00	11109.74	USD	21,244.68	
08SEP17	B	1	PD08DEC17	12285.00	11109.74	USD	-7,051.56	
08SEP17	S	2	PD08DEC17	12260.00	11109.74	USD	13,803.12	
08SEP17	S	2	PD08DEC17	12255.00	11109.74	USD	13,743.12	
08SEP17	B	1	PD08DEC17	12345.00	11109.74	USD	-7,411.56	
08SEP17	B	1	PD08DEC17	12350.00	11109.74	USD	-7,441.56	
08SEP17	B	4	PD08DEC17	12340.00	11109.74	USD	-29,526.24	
08SEP17	B	3	PD08DEC17	12335.00	11109.74	USD	-22,054.68	
08SEP17	B	1	PD08DEC17	12330.00	11109.74	USD	-7,321.56	
08SEP17	S	2	PD08DEC17	12160.00	11109.74	USD	12,603.12	
08SEP17	S	4	PD08DEC17	12175.00	11109.74	USD	25,566.24	
08SEP17	S	4	PD08DEC17	12155.00	11109.74	USD	25,086.24	
08SEP17	S	2	PD08DEC17	12130.00	11109.74	USD	12,243.12	
08SEP17	S	2	PD08DEC17	12105.00	11109.74	USD	11,943.12	
08SEP17	S	1	PD08DEC17	12090.00	11109.74	USD	5,881.56	
08SEP17	S	3	PD08DEC17	12095.00	11109.74	USD	17,734.68	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	12060.00	11109.74	USD	11,403.12	
08SEP17	S	2	PD08DEC17	12030.00	11109.74	USD	11,043.12	
08SEP17	S	2	PD08DEC17	11985.00	11109.74	USD	10,503.12	
08SEP17	S	2	PD08DEC17	11980.00	11109.74	USD	10,443.12	
08SEP17	S	2	PD08DEC17	11960.00	11109.74	USD	10,203.12	
08SEP17	S	2	PD08DEC17	11950.00	11109.74	USD	10,083.12	
08SEP17	S	3	PD08DEC17	11940.00	11109.74	USD	14,944.68	
08SEP17	S	1	PD08DEC17	11935.00	11109.74	USD	4,951.56	
08SEP17	B	2	PD08DEC17	11955.00	11109.74	USD	-10,143.12	
08SEP17	B	4	PD08DEC17	11940.00	11109.74	USD	-19,926.24	
08SEP17	S	2	PD08DEC17	11845.00	11109.74	USD	8,823.12	
08SEP17	S	1	PD08DEC17	11835.00	11109.74	USD	4,351.56	
08SEP17	S	5	PD08DEC17	11840.00	11109.74	USD	21,907.80	
08SEP17	S	6	PD08DEC17	11815.00	11109.74	USD	25,389.36	
08SEP17	S	2	PD08DEC17	11820.00	11109.74	USD	8,523.12	
08SEP17	S	2	PD08DEC17	11805.00	11109.74	USD	8,343.12	
08SEP17	S	2	PD08DEC17	11765.00	11109.74	USD	7,863.12	
08SEP17	S	2	PD08DEC17	11760.00	11109.74	USD	7,803.12	
08SEP17	B	2	PD08DEC17	11775.00	11109.74	USD	-7,983.12	
08SEP17	B	4	PD08DEC17	11780.00	11109.74	USD	-16,086.24	
08SEP17	B	2	PD08DEC17	11770.00	11109.74	USD	-7,923.12	
08SEP17	B	8	PD08DEC17	11765.00	11109.74	USD	-31,452.48	
08SEP17	B	2	PD08DEC17	11760.00	11109.74	USD	-7,803.12	
08SEP17	B	4	PD08DEC17	11755.00	11109.74	USD	-15,486.24	
08SEP17	S	2	PD08DEC17	11755.00	11109.74	USD	7,743.12	
08SEP17	B	2	PD08DEC17	11670.00	11109.74	USD	-6,723.12	
08SEP17	B	2	PD08DEC17	11520.00	11109.74	USD	-4,923.12	
08SEP17	S	2	PD08DEC17	11565.00	11109.74	USD	5,463.12	
08SEP17	B	2	PD08DEC17	11535.00	11109.74	USD	-5,103.12	
08SEP17	S	2	PD08DEC17	11575.00	11109.74	USD	5,583.12	
08SEP17	B	2	PD08DEC17	11540.00	11109.74	USD	-5,163.12	
08SEP17	S	12	PD08DEC17	11590.00	11109.74	USD	34,578.72	
13SEP17	B	21	PD08DEC17	11357.46	11109.74	USD	-31,212.72	
13SEP17	B	17	PD08DEC17	11356.46	11109.74	USD	-25,165.44	
	NET	0 AVG BGHT 11650.72	SOLD 11929.00				141,921.12	0.00
11SEP17	B	1	PD11DEC17	11490.00	11112.06	USD	-2,267.64	
11SEP17	B	2	PD11DEC17	11480.00	11112.06	USD	-4,415.28	
11SEP17	B	5	PD11DEC17	11485.00	11112.06	USD	-11,188.20	
11SEP17	B	6	PD11DEC17	11495.00	11112.06	USD	-13,785.84	
11SEP17	B	2	PD11DEC17	11615.00	11112.06	USD	-6,035.28	
11SEP17	B	2	PD11DEC17	11655.00	11112.06	USD	-6,515.28	
11SEP17	B	2	PD11DEC17	11750.00	11112.06	USD	-7,655.28	
11SEP17	S	2	PD11DEC17	11815.00	11112.06	USD	8,435.28	
11SEP17	S	2	PD11DEC17	11820.00	11112.06	USD	8,495.28	
13SEP17	S	16	PD11DEC17	11358.00	11112.06	USD	23,610.24	
	NET	0 AVG BGHT 11544.25	SOLD 11449.90				-11,322.00	0.00
12SEP17	B	2	PD12DEC17	11660.00	11112.83	USD	-6,566.04	
12SEP17	B	2	PD12DEC17	11670.00	11112.83	USD	-6,686.04	
12SEP17	B	1	PD12DEC17	11680.00	11112.83	USD	-3,403.02	
12SEP17	B	1	PD12DEC17	11675.00	11112.83	USD	-3,373.02	
12SEP17	B	2	PD12DEC17	11700.00	11112.83	USD	-7,046.04	
12SEP17	S	2	PD12DEC17	11735.00	11112.83	USD	7,466.04	
12SEP17	S	4	PD12DEC17	11885.00	11112.83	USD	18,532.08	
12SEP17	B	2	PD12DEC17	11885.00	11112.83	USD	-9,266.04	
12SEP17	S	2	PD12DEC17	11925.00	11112.83	USD	9,746.04	
12SEP17	S	2	PD12DEC17	11955.00	11112.83	USD	10,106.04	
12SEP17	B	2	PD12DEC17	11930.00	11112.83	USD	-9,806.04	

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13SEP17	S	1	PD12DEC17		11358.50	11112.83	USD	1,474.02	
20SEP17	S	1	PD12DEC17		11373.83	11112.83	USD	1,566.00	
	NET	0AVG	BGHT	11753.75				2,743.98	0.00
				SOLD 11791.86					
13SEP17	B	1	PD13DEC17		11890.00	11113.60	USD	-4,658.40	
13SEP17	B	1	PD13DEC17		11900.00	11113.60	USD	-4,718.40	
13SEP17	B	1	PD13DEC17		11930.00	11113.60	USD	-4,898.40	
13SEP17	S	1	PD13DEC17		11930.00	11113.60	USD	4,898.40	
13SEP17	B	2	PD13DEC17		11800.00	11113.60	USD	-8,236.80	
13SEP17	B	2	PD13DEC17		11830.00	11113.60	USD	-8,596.80	
13SEP17	B	2	PD13DEC17		11585.00	11113.60	USD	-5,656.80	
13SEP17	B	8	PD13DEC17		11590.00	11113.60	USD	-22,867.20	
13SEP17	B	1	PD13DEC17		11600.00	11113.60	USD	-2,918.40	
13SEP17	B	3	PD13DEC17		11605.00	11113.60	USD	-8,845.20	
13SEP17	S	1	PD13DEC17		11425.00	11113.60	USD	1,868.40	
13SEP17	B	2	PD13DEC17		11400.00	11113.60	USD	-3,436.80	
13SEP17	B	2	PD13DEC17		11395.00	11113.60	USD	-3,376.80	
13SEP17	S	1	PD13DEC17		11425.00	11113.60	USD	1,868.40	
13SEP17	B	2	PD13DEC17		11390.00	11113.60	USD	-3,316.80	
13SEP17	S	1	PD13DEC17		11385.00	11113.60	USD	1,628.40	
13SEP17	B	4	PD13DEC17		11380.00	11113.60	USD	-6,393.60	
13SEP17	B	2	PD13DEC17		11375.00	11113.60	USD	-3,136.80	
13SEP17	B	1	PD13DEC17		11380.00	11113.60	USD	-1,598.40	
20SEP17	S	30	PD13DEC17		11374.60	11113.60	USD	46,980.00	
	NET	0AVG	BGHT	11567.79				-35,412.00	0.00
				SOLD 11394.21					
14SEP17	S	8	PD14DEC17		11440.00	11114.37	USD	15,630.24	
14SEP17	S	6	PD14DEC17		11465.00	11114.37	USD	12,622.68	
14SEP17	S	2	PD14DEC17		11455.00	11114.37	USD	4,087.56	
14SEP17	B	2	PD14DEC17		11180.00	11114.37	USD	-787.56	
14SEP17	B	2	PD14DEC17		11170.00	11114.37	USD	-667.56	
14SEP17	B	4	PD14DEC17		11165.00	11114.37	USD	-1,215.12	
14SEP17	B	6	PD14DEC17		11185.00	11114.37	USD	-2,542.68	
14SEP17	S	2	PD14DEC17		11490.00	11114.37	USD	4,507.56	
14SEP17	B	1	PD14DEC17		11185.00	11114.37	USD	-423.78	
14SEP17	B	3	PD14DEC17		11180.00	11114.37	USD	-1,181.34	
14SEP17	S	2	PD14DEC17		11280.00	11114.37	USD	1,987.56	
14SEP17	S	2	PD14DEC17		11270.00	11114.37	USD	1,867.56	
14SEP17	S	4	PD14DEC17		11300.00	11114.37	USD	4,455.12	
14SEP17	S	4	PD14DEC17		11295.00	11114.37	USD	4,335.12	
14SEP17	S	9	PD14DEC17		11275.00	11114.37	USD	8,674.02	
14SEP17	S	1	PD14DEC17		11255.00	11114.37	USD	843.78	
14SEP17	S	4	PD14DEC17		11260.00	11114.37	USD	3,495.12	
14SEP17	S	2	PD14DEC17		11285.00	11114.37	USD	2,047.56	
14SEP17	S	2	PD14DEC17		11290.00	11114.37	USD	2,107.56	
14SEP17	S	1	PD14DEC17		11275.00	11114.37	USD	963.78	
14SEP17	S	1	PD14DEC17		11255.00	11114.37	USD	843.78	
20SEP17	B	31	PD14DEC17		11376.37	11114.37	USD	-48,732.00	
20SEP17	B	1	PD14DEC17		11375.37	11114.37	USD	-1,566.00	
	NET	0AVG	BGHT	11304.76				11,352.96	0.00
				SOLD 11342.60					
15SEP17	B	3	PD15DEC17		11265.00	11115.14	USD	-2,697.48	
15SEP17	B	1	PD15DEC17		11260.00	11115.14	USD	-869.16	
15SEP17	S	2	PD15DEC17		11155.00	11115.14	USD	478.32	
15SEP17	S	2	PD15DEC17		11145.00	11115.14	USD	358.32	
15SEP17	B	2	PD15DEC17		11125.00	11115.14	USD	-118.32	
15SEP17	S	2	PD15DEC17		10890.00	11115.14	USD	-2,701.68	
15SEP17	S	1	PD15DEC17		10885.00	11115.14	USD	-1,380.84	
15SEP17	S	2	PD15DEC17		11035.00	11115.14	USD	-961.68	

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15SEP17	S	4	PD15DEC17	11050.00	11115.14	USD	-1,563.36	
15SEP17	B	3	PD15DEC17	11135.00	11115.14	USD	-357.48	
15SEP17	B	1	PD15DEC17	11130.00	11115.14	USD	-89.16	
15SEP17	S	1	PD15DEC17	10890.00	11115.14	USD	-1,350.84	
20SEP17	B	4	PD15DEC17	11376.14	11115.14	USD	-6,264.00	
	NET	0 AVG BGHT 11238.90	SOLD 11030.36				-17,517.36	0.00
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	B	3	PD18DEC17	11160.00	11117.46	USD	-765.72	
18SEP17	S	4	PD18DEC17	11175.00	11117.46	USD	1,380.96	
	NET	0 AVG BGHT 11162.50	SOLD 11175.00				300.00	0.00
19SEP17	B	1	PD19DEC17	11145.00	11118.23	USD	-160.62	
19SEP17	B	3	PD19DEC17	11140.00	11118.23	USD	-391.86	
19SEP17	B	6	PD19DEC17	11100.00	11118.23	USD	656.28	
19SEP17	B	2	PD19DEC17	11055.00	11118.23	USD	758.76	
19SEP17	B	2	PD19DEC17	11030.00	11118.23	USD	1,058.76	
19SEP17	S	2	PD19DEC17	11010.00	11118.23	USD	-1,298.76	
19SEP17	S	4	PD19DEC17	11000.00	11118.23	USD	-2,837.52	
19SEP17	B	2	PD19DEC17	10925.00	11118.23	USD	2,318.76	
19SEP17	B	2	PD19DEC17	10935.00	11118.23	USD	2,198.76	
19SEP17	B	4	PD19DEC17	10930.00	11118.23	USD	4,517.52	
19SEP17	B	2	PD19DEC17	10985.00	11118.23	USD	1,598.76	
19SEP17	S	4	PD19DEC17	11200.00	11118.23	USD	1,962.48	
19SEP17	S	3	PD19DEC17	11210.00	11118.23	USD	1,651.86	
19SEP17	B	2	PD19DEC17	11175.00	11118.23	USD	-681.24	
19SEP17	B	4	PD19DEC17	11165.00	11118.23	USD	-1,122.48	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
19SEP17	B	2	PD19DEC17	11170.00	11118.23	USD	-621.24	
20SEP17	S	5	PD19DEC17	11378.23	11118.23	USD	7,800.00	
29SEP17	S	13	PD19DEC17	10488.61	11118.23	USD	-49,110.36	
	NET	0 AVG BGHT 11065.47	SOLD 10903.22				-31,151.52	0.00
20SEP17	B	1	PD20DEC17	11390.00	11119.00	USD	-1,626.00	
20SEP17	B	1	PD20DEC17	11375.00	11119.00	USD	-1,536.00	
20SEP17	B	1	PD20DEC17	11390.00	11119.00	USD	-1,626.00	
20SEP17	S	2	PD20DEC17	11390.00	11119.00	USD	3,252.00	
20SEP17	S	2	PD20DEC17	11370.00	11119.00	USD	3,012.00	
20SEP17	S	3	PD20DEC17	11375.00	11119.00	USD	4,608.00	
20SEP17	S	2	PD20DEC17	11345.00	11119.00	USD	2,712.00	
20SEP17	S	2	PD20DEC17	11350.00	11119.00	USD	2,772.00	
20SEP17	S	12	PD20DEC17	11380.00	11119.00	USD	18,792.00	
29SEP17	B	13	PD20DEC17	10490.50	11119.00	USD	49,023.00	
29SEP17	B	7	PD20DEC17	10489.50	11119.00	USD	26,439.00	
	NET	0 AVG BGHT 10606.87	SOLD 11373.70				105,822.00	0.00
21SEP17	S	2	PD21DEC17	11265.00	11119.73	USD	1,743.24	
21SEP17	B	1	PD21DEC17	11210.00	11119.73	USD	-541.62	
21SEP17	B	5	PD21DEC17	11205.00	11119.73	USD	-2,558.10	
21SEP17	B	2	PD21DEC17	11200.00	11119.73	USD	-963.24	
21SEP17	B	2	PD21DEC17	10980.00	11119.73	USD	1,676.76	
21SEP17	B	3	PD21DEC17	11000.00	11119.73	USD	2,155.14	
21SEP17	B	9	PD21DEC17	11005.00	11119.73	USD	6,195.42	
21SEP17	B	6	PD21DEC17	11105.00	11119.73	USD	530.28	
21SEP17	S	2	PD21DEC17	11090.00	11119.73	USD	-356.76	
21SEP17	B	6	PD21DEC17	11100.00	11119.73	USD	710.28	
21SEP17	B	2	PD21DEC17	11090.00	11119.73	USD	356.76	
21SEP17	B	2	PD21DEC17	11080.00	11119.73	USD	476.76	
21SEP17	S	2	PD21DEC17	11080.00	11119.73	USD	-476.76	
21SEP17	B	2	PD21DEC17	11085.00	11119.73	USD	416.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	2	PD21DEC17	11005.00	11119.73	USD	1,376.76	
21SEP17	S	4	PD21DEC17	10805.00	11119.73	USD	-7,553.52	
21SEP17	S	2	PD21DEC17	10720.00	11119.73	USD	-4,796.76	
21SEP17	S	2	PD21DEC17	10715.00	11119.73	USD	-4,856.76	
21SEP17	S	2	PD21DEC17	10710.00	11119.73	USD	-4,916.76	
21SEP17	S	2	PD21DEC17	10650.00	11119.73	USD	-5,636.76	
21SEP17	S	2	PD21DEC17	10640.00	11119.73	USD	-5,756.76	
21SEP17	S	2	PD21DEC17	10830.00	11119.73	USD	-3,476.76	
21SEP17	S	2	PD21DEC17	10750.00	11119.73	USD	-4,436.76	
21SEP17	S	2	PD21DEC17	10720.00	11119.73	USD	-4,796.76	
29SEP17	S	7	PD21DEC17	10489.50	11119.73	USD	-26,469.66	
29SEP17	S	9	PD21DEC17	10490.50	11119.73	USD	-33,978.42	
	NET	0AVG BGHT 11080.71	SOLD 10700.02				-95,934.00	0.00
22SEP17	B	4	PD22DEC17	10790.00	11120.45	USD	7,930.80	
22SEP17	B	2	PD22DEC17	10800.00	11120.45	USD	3,845.40	
22SEP17	B	4	PD22DEC17	10795.00	11120.45	USD	7,810.80	
22SEP17	S	2	PD22DEC17	10715.00	11120.45	USD	-4,865.40	
22SEP17	S	2	PD22DEC17	10690.00	11120.45	USD	-5,165.40	
22SEP17	S	3	PD22DEC17	10700.00	11120.45	USD	-7,568.10	
22SEP17	S	12	PD22DEC17	10695.00	11120.45	USD	-30,632.40	
22SEP17	S	2	PD22DEC17	10670.00	11120.45	USD	-5,405.40	
22SEP17	S	6	PD22DEC17	10675.00	11120.45	USD	-16,036.20	
22SEP17	B	3	PD22DEC17	10695.00	11120.45	USD	7,658.10	
22SEP17	B	2	PD22DEC17	10710.00	11120.45	USD	4,925.40	
22SEP17	S	2	PD22DEC17	10705.00	11120.45	USD	-4,985.40	
22SEP17	B	2	PD22DEC17	10705.00	11120.45	USD	4,985.40	
22SEP17	B	2	PD22DEC17	10715.00	11120.45	USD	4,865.40	
22SEP17	B	2	PD22DEC17	10675.00	11120.45	USD	5,345.40	
22SEP17	B	2	PD22DEC17	10665.00	11120.45	USD	5,465.40	
22SEP17	B	2	PD22DEC17	10660.00	11120.45	USD	5,525.40	
22SEP17	B	2	PD22DEC17	10610.00	11120.45	USD	6,125.40	
22SEP17	B	2	PD22DEC17	10635.00	11120.45	USD	5,825.40	
22SEP17	S	2	PD22DEC17	10590.00	11120.45	USD	-6,365.40	
22SEP17	S	2	PD22DEC17	10585.00	11120.45	USD	-6,425.40	
22SEP17	B	1	PD22DEC17	10555.00	11120.45	USD	3,392.70	
22SEP17	S	6	PD22DEC17	10565.00	11120.45	USD	-19,996.20	
22SEP17	S	2	PD22DEC17	10555.00	11120.45	USD	-6,785.40	
22SEP17	S	1	PD22DEC17	10560.00	11120.45	USD	-3,362.70	
22SEP17	B	4	PD22DEC17	10585.00	11120.45	USD	12,850.80	
22SEP17	B	4	PD22DEC17	10575.00	11120.45	USD	13,090.80	
22SEP17	B	8	PD22DEC17	10570.00	11120.45	USD	26,421.60	
22SEP17	B	2	PD22DEC17	10605.00	11120.45	USD	6,185.40	
22SEP17	B	6	PD22DEC17	10595.00	11120.45	USD	18,916.20	
22SEP17	B	8	PD22DEC17	10580.00	11120.45	USD	25,941.60	
22SEP17	B	2	PD22DEC17	10470.00	11120.45	USD	7,805.40	
22SEP17	B	4	PD22DEC17	10465.00	11120.45	USD	15,730.80	
22SEP17	B	4	PD22DEC17	10455.00	11120.45	USD	15,970.80	
29SEP17	S	20	PD22DEC17	10491.50	11120.45	USD	-75,474.00	
	NET	+10 AVG BGHT 10619.03	SOLD 10601.45				23,547.00	0.00
25SEP17	S	4	PD27DEC17	10630.00	11124.09	USD	-11,858.16	
25SEP17	S	1	PD27DEC17	10500.00	11124.09	USD	-3,744.54	
25SEP17	S	1	PD27DEC17	10655.00	11124.09	USD	-2,814.54	
25SEP17	S	3	PD27DEC17	10650.00	11124.09	USD	-8,533.62	
25SEP17	S	2	PD27DEC17	10555.00	11124.09	USD	-6,829.08	
25SEP17	S	3	PD27DEC17	10565.00	11124.09	USD	-10,063.62	
25SEP17	B	1	PD27DEC17	10570.00	11124.09	USD	3,324.54	
25SEP17	S	2	PD27DEC17	10495.00	11124.09	USD	-7,549.08	

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25SEP17	S	4	PD27DEC17	10635.00	11124.09	USD	-11,738.16	
25SEP17	S	2	PD27DEC17	10625.00	11124.09	USD	-5,989.08	
25SEP17	S	2	PD27DEC17	10600.00	11124.09	USD	-6,289.08	
25SEP17	S	1	PD27DEC17	10505.00	11124.09	USD	-3,714.54	
25SEP17	S	6	PD27DEC17	10525.00	11124.09	USD	-21,567.24	
25SEP17	B	2	PD27DEC17	10525.00	11124.09	USD	7,189.08	
25SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
25SEP17	B	1	PD27DEC17	10515.00	11124.09	USD	3,654.54	
25SEP17	S	2	PD27DEC17	10520.00	11124.09	USD	-7,249.08	
25SEP17	B	8	PD27DEC17	10520.00	11124.09	USD	28,996.32	
25SEP17	B	2	PD27DEC17	10530.00	11124.09	USD	7,129.08	
25SEP17	B	2	PD27DEC17	10470.00	11124.09	USD	7,849.08	
25SEP17	S	6	PD27DEC17	10550.00	11124.09	USD	-20,667.24	
25SEP17	S	2	PD27DEC17	10560.00	11124.09	USD	-6,769.08	
25SEP17	S	6	PD27DEC17	10590.00	11124.09	USD	-19,227.24	
25SEP17	S	8	PD27DEC17	10570.00	11124.09	USD	-26,596.32	
25SEP17	S	2	PD27DEC17	10560.00	11124.09	USD	-6,769.08	
26SEP17	B	2	PD27DEC17	10595.00	11124.09	USD	6,349.08	
26SEP17	B	1	PD27DEC17	10610.00	11124.09	USD	3,084.54	
26SEP17	B	2	PD27DEC17	10470.00	11124.09	USD	7,849.08	
26SEP17	B	11	PD27DEC17	10475.00	11124.09	USD	42,839.94	
26SEP17	B	2	PD27DEC17	10445.00	11124.09	USD	8,149.08	
26SEP17	B	4	PD27DEC17	10430.00	11124.09	USD	16,658.16	
26SEP17	B	4	PD27DEC17	10425.00	11124.09	USD	16,778.16	
26SEP17	B	2	PD27DEC17	10450.00	11124.09	USD	8,089.08	
26SEP17	S	2	PD27DEC17	10440.00	11124.09	USD	-8,209.08	
26SEP17	B	2	PD27DEC17	10515.00	11124.09	USD	7,309.08	
26SEP17	B	2	PD27DEC17	10520.00	11124.09	USD	7,249.08	
26SEP17	B	2	PD27DEC17	10525.00	11124.09	USD	7,189.08	
26SEP17	B	4	PD27DEC17	10510.00	11124.09	USD	14,738.16	
26SEP17	B	2	PD27DEC17	10495.00	11124.09	USD	7,549.08	
26SEP17	S	1	PD27DEC17	10495.00	11124.09	USD	-3,774.54	
26SEP17	B	3	PD27DEC17	10490.00	11124.09	USD	11,413.62	
26SEP17	B	2	PD27DEC17	10500.00	11124.09	USD	7,489.08	
26SEP17	B	6	PD27DEC17	10480.00	11124.09	USD	23,187.24	
26SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
27SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
27SEP17	S	2	PD27DEC17	10460.00	11124.09	USD	-7,969.08	
27SEP17	S	4	PD27DEC17	10450.00	11124.09	USD	-16,178.16	
27SEP17	S	4	PD27DEC17	10455.00	11124.09	USD	-16,058.16	
27SEP17	B	2	PD27DEC17	10450.00	11124.09	USD	8,089.08	
27SEP17	S	2	PD27DEC17	10475.00	11124.09	USD	-7,789.08	
27SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
27SEP17	S	5	PD27DEC17	10470.00	11124.09	USD	-19,622.70	
27SEP17	S	4	PD27DEC17	10490.00	11124.09	USD	-15,218.16	
27SEP17	S	4	PD27DEC17	10505.00	11124.09	USD	-14,858.16	
27SEP17	S	4	PD27DEC17	10510.00	11124.09	USD	-14,738.16	
27SEP17	S	4	PD27DEC17	10515.00	11124.09	USD	-14,618.16	
27SEP17	S	2	PD27DEC17	10495.00	11124.09	USD	-7,549.08	
27SEP17	S	2	PD27DEC17	10525.00	11124.09	USD	-7,189.08	
27SEP17	S	2	PD27DEC17	10530.00	11124.09	USD	-7,129.08	
27SEP17	S	2	PD27DEC17	10540.00	11124.09	USD	-7,009.08	
27SEP17	S	2	PD27DEC17	10545.00	11124.09	USD	-6,949.08	
27SEP17	S	4	PD27DEC17	10550.00	11124.09	USD	-13,778.16	
27SEP17	B	2	PD27DEC17	10395.00	11124.09	USD	8,749.08	
27SEP17	B	2	PD27DEC17	10375.00	11124.09	USD	8,989.08	
27SEP17	B	1	PD27DEC17	10295.00	11124.09	USD	4,974.54	

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27SEP17	S	2	PD27DEC17		10285.00	11124.09	USD	-10,069.08	
27SEP17	S	2	PD27DEC17		10275.00	11124.09	USD	-10,189.08	
27SEP17	B	2	PD27DEC17		10325.00	11124.09	USD	9,589.08	
27SEP17	S	1	PD27DEC17		10370.00	11124.09	USD	-4,524.54	
27SEP17	B	3	PD27DEC17		10365.00	11124.09	USD	13,663.62	
27SEP17	B	2	PD27DEC17		10345.00	11124.09	USD	9,349.08	
27SEP17	B	4	PD27DEC17		10370.00	11124.09	USD	18,098.16	
27SEP17	S	4	PD27DEC17		10365.00	11124.09	USD	-18,218.16	
27SEP17	B	4	PD27DEC17		10360.00	11124.09	USD	18,338.16	
27SEP17	S	2	PD27DEC17		10290.00	11124.09	USD	-10,009.08	
27SEP17	S	5	PD27DEC17		10295.00	11124.09	USD	-24,872.70	
29SEP17	B	29	PD27DEC17		10498.57	11124.09	USD	108,840.48	
	NET	0 AVG	BGHT 10471.53	SOLD 10508.25				27,098.82	0.00
28SEP17	S	2	PD28DEC17		10280.00	11124.82	USD	-10,137.84	
28SEP17	S	2	PD28DEC17		10295.00	11124.82	USD	-9,957.84	
28SEP17	S	1	PD28DEC17		10325.00	11124.82	USD	-4,798.92	
28SEP17	S	1	PD28DEC17		10350.00	11124.82	USD	-4,648.92	
28SEP17	S	1	PD28DEC17		10290.00	11124.82	USD	-5,008.92	
28SEP17	B	2	PD28DEC17		10280.00	11124.82	USD	10,137.84	
28SEP17	B	5	PD28DEC17		10285.00	11124.82	USD	25,194.60	
28SEP17	B	2	PD28DEC17		10300.00	11124.82	USD	9,897.84	
28SEP17	S	6	PD28DEC17		10255.00	11124.82	USD	-31,313.52	
28SEP17	S	2	PD28DEC17		10260.00	11124.82	USD	-10,377.84	
28SEP17	S	7	PD28DEC17		10250.00	11124.82	USD	-36,742.44	
28SEP17	S	3	PD28DEC17		10245.00	11124.82	USD	-15,836.76	
28SEP17	S	2	PD28DEC17		10235.00	11124.82	USD	-10,677.84	
28SEP17	B	2	PD28DEC17		10245.00	11124.82	USD	10,557.84	
28SEP17	B	6	PD28DEC17		10290.00	11124.82	USD	30,053.52	
28SEP17	B	2	PD28DEC17		10305.00	11124.82	USD	9,837.84	
28SEP17	B	6	PD28DEC17		10340.00	11124.82	USD	28,253.52	
28SEP17	B	3	PD28DEC17		10335.00	11124.82	USD	14,216.76	
28SEP17	B	9	PD28DEC17		10330.00	11124.82	USD	42,920.28	
28SEP17	B	2	PD28DEC17		10320.00	11124.82	USD	9,657.84	
28SEP17	B	1	PD28DEC17		10480.00	11124.82	USD	3,868.92	
28SEP17	S	1	PD28DEC17		10490.00	11124.82	USD	-3,808.92	
28SEP17	S	2	PD28DEC17		10485.00	11124.82	USD	-7,677.84	
	NET	+10 AVG	BGHT 10314.00	SOLD 10286.00				43,609.20	0.00
29SEP17	S	2	PD29DEC17		10515.00	11125.55	USD	-7,326.60	
29SEP17	S	2	PD29DEC17		10490.00	11125.55	USD	-7,626.60	
29SEP17	S	2	PD29DEC17		10480.00	11125.55	USD	-7,746.60	
29SEP17	S	4	PD29DEC17		10470.00	11125.55	USD	-15,733.20	
29SEP17	S	13	PD29DEC17		10475.00	11125.55	USD	-50,742.90	
29SEP17	B	2	PD29DEC17		10435.00	11125.55	USD	8,286.60	
29SEP17	B	4	PD29DEC17		10430.00	11125.55	USD	16,693.20	
29SEP17	B	4	PD29DEC17		10450.00	11125.55	USD	16,213.20	
29SEP17	B	2	PD29DEC17		10475.00	11125.55	USD	7,806.60	
29SEP17	B	1	PD29DEC17		10465.00	11125.55	USD	3,963.30	
29SEP17	B	3	PD29DEC17		10490.00	11125.55	USD	11,439.90	
29SEP17	S	1	PD29DEC17		10485.00	11125.55	USD	-3,843.30	
29SEP17	B	5	PD29DEC17		10470.00	11125.55	USD	19,666.50	
29SEP17	B	1	PD29DEC17		10470.00	11125.55	USD	3,933.30	
29SEP17	B	2	PD29DEC17		10495.00	11125.55	USD	7,566.60	
	NET	0 AVG	BGHT 10461.88	SOLD 10479.58				2,550.00	0.00
09OCT17	S	2	PD09JAN18		10605.00	11133.55	USD	-6,342.60	
09OCT17	S	12	PD09JAN18		10985.00	11133.55	USD	-10,695.60	
	NET	-14 AVG	SOLD 10930.71					-17,038.20	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	4	PD10JAN18	10940.00	11134.27	USD	4,662.48	
10OCT17	B	1	PD10JAN18	10945.00	11134.27	USD	1,135.62	
10OCT17	B	2	PD10JAN18	10950.00	11134.27	USD	2,211.24	
10OCT17	S	1	PD10JAN18	10945.00	11134.27	USD	-1,135.62	
10OCT17	B	10	PD10JAN18	11010.00	11134.27	USD	7,456.20	
10OCT17	S	2	PD10JAN18	10880.00	11134.27	USD	-3,051.24	
10OCT17	B	1	PD10JAN18	10875.00	11134.27	USD	1,555.62	
10OCT17	S	2	PD10JAN18	10840.00	11134.27	USD	-3,531.24	
10OCT17	S	3	PD10JAN18	10850.00	11134.27	USD	-5,116.86	
10OCT17	S	2	PD10JAN18	10860.00	11134.27	USD	-3,291.24	
10OCT17	S	1	PD10JAN18	10875.00	11134.27	USD	-1,555.62	
10OCT17	B	1	PD10JAN18	10880.00	11134.27	USD	1,525.62	
10OCT17	B	4	PD10JAN18	10980.00	11134.27	USD	3,702.48	
10OCT17	B	2	PD10JAN18	10990.00	11134.27	USD	1,731.24	
10OCT17	B	2	PD10JAN18	10985.00	11134.27	USD	1,791.24	
10OCT17	S	1	PD10JAN18	10870.00	11134.27	USD	-1,585.62	
10OCT17	S	1	PD10JAN18	10850.00	11134.27	USD	-1,705.62	
10OCT17	B	4	PD10JAN18	10995.00	11134.27	USD	3,342.48	
10OCT17	B	2	PD10JAN18	11015.00	11134.27	USD	1,431.24	
10OCT17	S	2	PD10JAN18	11045.00	11134.27	USD	-1,071.24	
	NET	+18 AVG BGHT	10980.00	SOLD 10889.33			8,501.16	0.00
11OCT17	S	2	PD11JAN18	11015.00	11135.00	USD	-1,440.00	
11OCT17	S	2	PD11JAN18	10980.00	11135.00	USD	-1,860.00	
11OCT17	S	8	PD11JAN18	10960.00	11135.00	USD	-8,400.00	
11OCT17	S	2	PD11JAN18	10895.00	11135.00	USD	-2,880.00	
11OCT17	B	2	PD11JAN18	11095.00	11135.00	USD	480.00	
11OCT17	B	2	PD11JAN18	11090.00	11135.00	USD	540.00	
11OCT17	B	2	PD11JAN18	11085.00	11135.00	USD	600.00	
11OCT17	B	4	PD11JAN18	11115.00	11135.00	USD	480.00	
11OCT17	B	2	PD11JAN18	11120.00	11135.00	USD	180.00	
11OCT17	S	2	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	S	2	PD11JAN18	11115.00	11135.00	USD	-240.00	
11OCT17	S	4	PD11JAN18	11120.00	11135.00	USD	-360.00	
11OCT17	S	2	PD11JAN18	11105.00	11135.00	USD	-360.00	
11OCT17	S	2	PD11JAN18	11015.00	11135.00	USD	-1,440.00	
11OCT17	B	8	PD11JAN18	11195.00	11135.00	USD	-2,880.00	
11OCT17	B	4	PD11JAN18	11205.00	11135.00	USD	-1,680.00	
11OCT17	S	2	PD11JAN18	11230.00	11135.00	USD	1,140.00	
11OCT17	S	2	PD11JAN18	11170.00	11135.00	USD	420.00	
	NET	-6 AVG BGHT	11150.83	SOLD 11049.33			-17,700.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD20OCT17	20090.00	20986.00	USD	-4,480.00	
21JUL17	S	1	PD20OCT17	20145.00	20986.00	USD	-4,205.00	
08AUG17	B	2	PD20OCT17	20225.60	20986.00	USD	7,604.00	
	NET	0 AVG BGHT	20225.60	SOLD 20117.50			-1,081.00	0.00
24JUL17	B	1	PD24OCT17	20255.00	20982.00	USD	3,635.00	
24JUL17	B	1	PD24OCT17	20250.00	20982.00	USD	3,660.00	
24JUL17	B	1	PD24OCT17	20160.00	20982.00	USD	4,110.00	
24JUL17	B	1	PD24OCT17	20220.00	20982.00	USD	3,810.00	
08AUG17	S	4	PD24OCT17	20223.20	20982.00	USD	-15,176.00	
	NET	0 AVG BGHT	20221.25	SOLD 20223.20			39.00	0.00
26JUL17	B	1	PD26OCT17	20370.00	20978.00	USD	3,040.00	
26JUL17	S	1	PD26OCT17	20325.00	20978.00	USD	-3,265.00	
26JUL17	S	2	PD26OCT17	20310.00	20978.00	USD	-6,680.00	
26JUL17	S	1	PD26OCT17	20335.00	20978.00	USD	-3,215.00	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	3	PD26OCT17	20375.00	20978.00	USD	9,045.00	
	NET	0 AVG	BGHT 20373.75	SOLD 20320.00			-1,075.00	0.00
27JUL17	B	2	PD27OCT17	20425.00	20976.00	USD	5,510.00	
27JUL17	S	1	PD27OCT17	20485.00	20976.00	USD	-2,455.00	
27JUL17	B	1	PD27OCT17	20485.00	20976.00	USD	2,455.00	
27JUL17	S	2	PD27OCT17	20750.00	20976.00	USD	-2,260.00	
28JUL17	S	1	PD27OCT17	20695.00	20976.00	USD	-1,405.00	
28JUL17	S	1	PD27OCT17	20685.00	20976.00	USD	-1,455.00	
28JUL17	S	1	PD27OCT17	20845.00	20976.00	USD	-655.00	
28JUL17	S	1	PD27OCT17	20830.00	20976.00	USD	-730.00	
28JUL17	B	2	PD27OCT17	20700.00	20976.00	USD	2,760.00	
08AUG17	B	2	PD27OCT17	20219.60	20976.00	USD	7,564.00	
	NET	0 AVG	BGHT 20453.46	SOLD 20720.00			9,329.00	0.00
31JUL17	S	1	PD31OCT17	20845.00	20972.00	USD	-635.00	
31JUL17	S	1	PD31OCT17	20805.00	20972.00	USD	-835.00	
31JUL17	B	1	PD31OCT17	20635.00	20972.00	USD	1,685.00	
31JUL17	B	1	PD31OCT17	20650.00	20972.00	USD	1,610.00	
	NET	0 AVG	BGHT 20642.50	SOLD 20825.00			1,825.00	0.00
01AUG17	S	2	PD01NOV17	20615.00	20970.00	USD	-3,550.00	
01AUG17	S	1	PD01NOV17	20615.00	20970.00	USD	-1,775.00	
01AUG17	B	1	PD01NOV17	20650.00	20970.00	USD	1,600.00	
01AUG17	B	1	PD01NOV17	20500.00	20970.00	USD	2,350.00	
01AUG17	S	2	PD01NOV17	20525.00	20970.00	USD	-4,450.00	
01AUG17	S	1	PD01NOV17	20495.00	20970.00	USD	-2,375.00	
08AUG17	B	4	PD01NOV17	20217.00	20970.00	USD	15,060.00	
	NET	0 AVG	BGHT 20336.33	SOLD 20565.00			6,860.00	0.00
02AUG17	B	1	PD02NOV17	20515.00	20968.00	USD	2,265.00	
02AUG17	B	1	PD02NOV17	20495.00	20968.00	USD	2,365.00	
08AUG17	S	2	PD02NOV17	20213.80	20968.00	USD	-7,542.00	
	NET	0 AVG	BGHT 20505.00	SOLD 20213.80			-2,912.00	0.00
03AUG17	B	1	PD03NOV17	20620.00	20966.00	USD	1,730.00	
03AUG17	B	1	PD03NOV17	20600.00	20966.00	USD	1,830.00	
03AUG17	B	2	PD03NOV17	20630.00	20966.00	USD	3,360.00	
03AUG17	S	2	PD03NOV17	20625.00	20966.00	USD	-3,410.00	
08AUG17	S	2	PD03NOV17	20212.60	20966.00	USD	-7,534.00	
	NET	0 AVG	BGHT 20620.00	SOLD 20418.80			-4,024.00	0.00
07AUG17	S	1	PD07NOV17	20610.00	20962.00	USD	-1,760.00	
07AUG17	B	1	PD07NOV17	20665.00	20962.00	USD	1,485.00	
07AUG17	B	2	PD07NOV17	20600.00	20962.00	USD	3,620.00	
07AUG17	S	1	PD07NOV17	20605.00	20962.00	USD	-1,785.00	
07AUG17	B	1	PD07NOV17	20655.00	20962.00	USD	1,535.00	
23AUG17	S	2	PD07NOV17	20566.92	20962.00	USD	-3,950.80	
	NET	0 AVG	BGHT 20630.00	SOLD 20587.21			-855.80	0.00
08AUG17	B	1	PD08NOV17	20345.00	20960.00	USD	3,075.00	
08AUG17	B	1	PD08NOV17	20340.00	20960.00	USD	3,100.00	
23AUG17	S	2	PD08NOV17	20565.77	20960.00	USD	-3,942.30	
	NET	0 AVG	BGHT 20342.50	SOLD 20565.77			2,232.70	0.00
10AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17	20325.00	20956.00	USD	-3,155.00	
10AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
10AUG17	B	1	PD10NOV17	20320.00	20956.00	USD	3,180.00	
11AUG17	S	1	PD10NOV17	20190.00	20956.00	USD	-3,830.00	
11AUG17	S	1	PD10NOV17	20200.00	20956.00	USD	-3,780.00	
11AUG17	S	1	PD10NOV17	20205.00	20956.00	USD	-3,755.00	
11AUG17	B	1	PD10NOV17	20325.00	20956.00	USD	3,155.00	

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23AUG17	B	2	PD10NOV17	20564.46	20956.00	USD	3,915.40	
	NET	0 AVG	BGHT 20414.78				-4,044.60	0.00
15AUG17	S	1	PD15NOV17	20310.00	20950.00	USD	-3,200.00	
15AUG17	B	1	PD15NOV17	19640.00	20950.00	USD	6,550.00	
15AUG17	S	1	PD15NOV17	19980.00	20950.00	USD	-4,850.00	
15AUG17	B	1	PD15NOV17	19970.00	20950.00	USD	4,900.00	
15AUG17	B	1	PD15NOV17	19965.00	20950.00	USD	4,925.00	
23AUG17	S	1	PD15NOV17	20560.00	20950.00	USD	-1,950.00	
	NET	0 AVG	BGHT 19858.33				6,375.00	0.00
18AUG17	S	1	PD17NOV17	20175.00	20945.83	USD	-3,854.15	
18AUG17	S	1	PD17NOV17	20170.00	20945.83	USD	-3,879.15	
18AUG17	S	1	PD17NOV17	20280.00	20945.83	USD	-3,329.15	
18AUG17	B	2	PD17NOV17	20160.00	20945.83	USD	7,858.30	
23AUG17	B	1	PD17NOV17	20549.33	20945.83	USD	1,982.50	
	NET	0 AVG	BGHT 20289.78				-1,221.65	0.00
21AUG17	S	1	PD21NOV17	20330.00	20941.67	USD	-3,058.35	
21AUG17	S	1	PD21NOV17	20335.00	20941.67	USD	-3,033.35	
23AUG17	B	2	PD21NOV17	20537.67	20941.67	USD	4,040.00	
	NET	0 AVG	BGHT 20537.67				-2,051.70	0.00
22AUG17	S	1	PD22NOV17	20450.00	20939.58	USD	-2,447.90	
22AUG17	S	1	PD22NOV17	20420.00	20939.58	USD	-2,597.90	
07SEP17	B	2	PD22NOV17	20765.33	20939.58	USD	1,742.50	
	NET	0 AVG	BGHT 20765.33				-3,303.30	0.00
29AUG17	B	1	PD29NOV17	20550.00	20931.25	USD	1,906.25	
29AUG17	B	1	PD29NOV17	20675.00	20931.25	USD	1,281.25	
29AUG17	B	1	PD29NOV17	20490.00	20931.25	USD	2,206.25	
29AUG17	B	1	PD29NOV17	20470.00	20931.25	USD	2,306.25	
07SEP17	S	2	PD29NOV17	20758.20	20931.25	USD	-1,730.50	
07SEP17	S	2	PD29NOV17	20759.20	20931.25	USD	-1,720.50	
	NET	0 AVG	BGHT 20546.25				4,249.00	0.00
30AUG17	S	2	PD30NOV17	20365.00	20929.17	USD	-5,641.70	
07SEP17	B	2	PD30NOV17	20758.67	20929.17	USD	1,705.00	
	NET	0 AVG	BGHT 20758.67				-3,936.70	0.00
04SEP17	B	1	PD04DEC17	20755.00	20925.00	USD	850.00	
04SEP17	B	2	PD04DEC17	20760.00	20925.00	USD	1,650.00	
04SEP17	S	1	PD04DEC17	20735.00	20925.00	USD	-950.00	
13SEP17	S	2	PD04DEC17	20526.05	20925.00	USD	-3,989.50	
	NET	0 AVG	BGHT 20758.33				-2,439.50	0.00
05SEP17	S	2	PD05DEC17	20740.00	20922.92	USD	-1,829.20	
05SEP17	S	1	PD05DEC17	20765.00	20922.92	USD	-789.60	
05SEP17	B	1	PD05DEC17	20740.00	20922.92	USD	914.60	
13SEP17	B	2	PD05DEC17	20525.47	20922.92	USD	3,974.50	
	NET	0 AVG	BGHT 20596.98				2,270.30	0.00
06SEP17	S	1	PD06DEC17	20605.00	20920.83	USD	-1,579.15	
06SEP17	S	1	PD06DEC17	20610.00	20920.83	USD	-1,554.15	
20SEP17	B	2	PD06DEC17	20641.67	20920.83	USD	2,791.60	
	NET	0 AVG	BGHT 20641.67				-341.70	0.00
07SEP17	S	1	PD07DEC17	20775.00	20918.75	USD	-718.75	
07SEP17	S	1	PD07DEC17	20755.00	20918.75	USD	-818.75	
07SEP17	B	2	PD07DEC17	20730.00	20918.75	USD	1,887.50	
	NET	0 AVG	BGHT 20730.00				350.00	0.00
08SEP17	B	2	PD08DEC17	20785.00	20916.67	USD	1,316.70	
08SEP17	S	2	PD08DEC17	20700.00	20916.67	USD	-2,166.70	
	NET	0 AVG	BGHT 20785.00				-850.00	0.00

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11SEP17	S	2	PD11DEC17	20700.00	20914.58	USD	-2,145.80	
20SEP17	B	2	PD11DEC17	20636.67	20914.58	USD	2,779.10	
	NET	0 AVG	BGHT 20636.67				633.30	0.00
13SEP17	B	2	PD13DEC17	20665.00	20910.42	USD	2,454.20	
20SEP17	S	2	PD13DEC17	20632.33	20910.42	USD	-2,780.90	
	NET	0 AVG	BGHT 20665.00				-326.70	0.00
14SEP17	B	1	PD14DEC17	20570.00	20908.33	USD	1,691.65	
14SEP17	B	1	PD14DEC17	20565.00	20908.33	USD	1,716.65	
20SEP17	S	2	PD14DEC17	20630.67	20908.33	USD	-2,776.60	
	NET	0 AVG	BGHT 20567.50				631.70	0.00
15SEP17	S	1	PD15DEC17	20560.00	20906.25	USD	-1,731.25	
15SEP17	S	1	PD15DEC17	20555.00	20906.25	USD	-1,756.25	
15SEP17	S	1	PD15DEC17	20505.00	20906.25	USD	-2,006.25	
15SEP17	S	1	PD15DEC17	20510.00	20906.25	USD	-1,981.25	
20SEP17	B	2	PD15DEC17	20630.00	20906.25	USD	2,762.50	
29SEP17	B	2	PD15DEC17	20726.25	20906.25	USD	1,800.00	
	NET	0 AVG	BGHT 20678.13				-2,912.50	0.00
19SEP17	B	2	PD19DEC17	20675.00	20902.08	USD	2,270.80	
20SEP17	S	2	PD19DEC17	20625.67	20902.08	USD	-2,764.10	
	NET	0 AVG	BGHT 20675.00				-493.30	0.00
20SEP17	B	2	PD20DEC17	20655.00	20900.00	USD	2,450.00	
29SEP17	S	2	PD20DEC17	20719.00	20900.00	USD	-1,810.00	
	NET	0 AVG	BGHT 20655.00				640.00	0.00
21SEP17	S	2	PD21DEC17	20600.00	20897.69	USD	-2,976.90	
	NET	-2 AVG	SOLD 20600.00				-2,976.90	0.00
28SEP17	S	1	PD28DEC17	20625.00	20890.77	USD	-1,328.85	
28SEP17	S	1	PD28DEC17	20620.00	20890.77	USD	-1,353.85	
28SEP17	B	2	PD28DEC17	20660.00	20890.77	USD	2,307.70	
	NET	0 AVG	BGHT 20660.00				-375.00	0.00
29SEP17	S	2	PD29DEC17	20695.00	20888.46	USD	-1,934.60	
	NET	-2 AVG	SOLD 20695.00				-1,934.60	0.00
09OCT17	B	1	PD09JAN18	20580.00	20874.62	USD	1,473.10	
09OCT17	B	1	PD09JAN18	20635.00	20874.62	USD	1,198.10	
	NET	+2 AVG	BGHT 20607.50				2,671.20	0.00
10OCT17	B	1	PD10JAN18	20795.00	20872.31	USD	386.55	
10OCT17	B	1	PD10JAN18	20800.00	20872.31	USD	361.55	
	NET	+2 AVG	BGHT 20797.50				748.10	0.00
11OCT17	S	1	PD11JAN18	20785.00	20870.00	USD	-425.00	
11OCT17	S	1	PD11JAN18	20790.00	20870.00	USD	-400.00	
	NET	-2 AVG	SOLD 20787.50				-825.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	2809.50	3262.33	USD	11,320.75	
26JUL17	B	1	PD26OCT17	2809.00	3262.33	USD	11,333.25	
08AUG17	S	2	PD26OCT17	2924.00	3262.33	USD	-16,916.50	
	NET	0 AVG	BGHT 2809.25				5,737.50	0.00
28JUL17	S	1	PD27OCT17	2805.00	3260.67	USD	-11,391.75	
28JUL17	S	1	PD27OCT17	2806.00	3260.67	USD	-11,366.75	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	B	1	PD27OCT17	2779.00	3260.67	USD	12,041.75	
	NET	0 AVG	BGHT 2779.50				1,300.00	0.00
01AUG17	S	2	PD01NOV17	2778.00	3255.67	USD	-23,883.50	
08AUG17	B	2	PD01NOV17	2924.37	3255.67	USD	16,565.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2924.37	SOLD 2778.00				-7,318.50	0.00
03AUG17	B	2 PD03NOV17		2794.00	3252.89	USD	22,944.50	
23AUG17	S	2 PD03NOV17		3095.62	3252.89	USD	-7,863.50	
	NET	0 AVG BGHT 2794.00	SOLD 3095.62				15,081.00	0.00
07AUG17	B	1 PD07NOV17		2866.00	3250.67	USD	9,616.75	
07AUG17	B	1 PD07NOV17		2861.00	3250.67	USD	9,741.75	
23AUG17	S	2 PD07NOV17		3096.08	3250.67	USD	-7,729.50	
	NET	0 AVG BGHT 2863.50	SOLD 3096.08				11,629.00	0.00
08AUG17	S	2 PD08NOV17		2883.00	3249.56	USD	-18,328.00	
08AUG17	B	2 PD08NOV17		2914.00	3249.56	USD	16,778.00	
	NET	0 AVG BGHT 2914.00	SOLD 2883.00				-1,550.00	0.00
09AUG17	S	2 PD09NOV17		2972.50	3248.44	USD	-13,797.00	
23AUG17	B	2 PD09NOV17		3096.51	3248.44	USD	7,596.50	
	NET	0 AVG BGHT 3096.51	SOLD 2972.50				-6,200.50	0.00
10AUG17	B	1 PD10NOV17		2943.00	3247.33	USD	7,608.25	
10AUG17	B	1 PD10NOV17		2945.00	3247.33	USD	7,558.25	
10AUG17	S	1 PD10NOV17		2947.50	3247.33	USD	-7,495.75	
10AUG17	S	1 PD10NOV17		2947.00	3247.33	USD	-7,508.25	
10AUG17	B	2 PD10NOV17		2912.00	3247.33	USD	16,766.50	
11AUG17	S	2 PD10NOV17		2913.00	3247.33	USD	-16,716.50	
	NET	0 AVG BGHT 2928.00	SOLD 2930.13				212.50	0.00
14AUG17	S	2 PD14NOV17		2913.50	3245.11	USD	-16,580.50	
23AUG17	B	2 PD14NOV17		3097.08	3245.11	USD	7,401.50	
	NET	0 AVG BGHT 3097.08	SOLD 2913.50				-9,179.00	0.00
16AUG17	B	2 PD16NOV17		3051.00	3241.50	USD	9,525.00	
16AUG17	B	2 PD16NOV17		3108.50	3241.50	USD	6,650.00	
07SEP17	S	4 PD16NOV17		3125.25	3241.50	USD	-11,625.00	
	NET	0 AVG BGHT 3079.75	SOLD 3125.25				4,550.00	0.00
17AUG17	B	1 PD17NOV17		3118.50	3239.00	USD	3,012.50	
17AUG17	B	1 PD17NOV17		3119.00	3239.00	USD	3,000.00	
17AUG17	S	1 PD17NOV17		3137.00	3239.00	USD	-2,550.00	
17AUG17	B	1 PD17NOV17		3066.00	3239.00	USD	4,325.00	
18AUG17	B	2 PD17NOV17		3113.00	3239.00	USD	6,300.00	
18AUG17	S	2 PD17NOV17		3112.50	3239.00	USD	-6,325.00	
18AUG17	S	2 PD17NOV17		3113.50	3239.00	USD	-6,275.00	
	NET	0 AVG BGHT 3105.90	SOLD 3117.80				1,487.50	0.00
21AUG17	S	2 PD21NOV17		3133.50	3237.00	USD	-5,175.00	
21AUG17	B	2 PD21NOV17		3132.50	3237.00	USD	5,225.00	
	NET	0 AVG BGHT 3132.50	SOLD 3133.50				50.00	0.00
22AUG17	S	2 PD22NOV17		3149.00	3236.00	USD	-4,350.00	
22AUG17	S	2 PD22NOV17		3101.50	3236.00	USD	-6,725.00	
07SEP17	B	4 PD22NOV17		3126.88	3236.00	USD	10,912.00	
	NET	0 AVG BGHT 3126.88	SOLD 3125.25				-163.00	0.00
23AUG17	B	2 PD24NOV17		3108.50	3235.00	USD	6,325.00	
25AUG17	S	2 PD24NOV17		3068.00	3235.00	USD	-8,350.00	
	NET	0 AVG BGHT 3108.50	SOLD 3068.00				-2,025.00	0.00
31AUG17	B	2 PD30NOV17		3103.00	3233.67	USD	6,533.50	
31AUG17	S	2 PD30NOV17		3131.50	3233.67	USD	-5,108.50	
31AUG17	B	2 PD30NOV17		3155.00	3233.67	USD	3,933.50	
31AUG17	S	2 PD30NOV17		3154.00	3233.67	USD	-3,983.50	
	NET	0 AVG BGHT 3129.00	SOLD 3142.75				1,375.00	0.00
01SEP17	B	2 PD01DEC17		3142.00	3233.33	USD	4,566.50	
01SEP17	B	2 PD01DEC17		3179.50	3233.33	USD	2,691.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	2	PD01DEC17	3127.69	3233.33	USD	-5,282.00	
13SEP17	S	2	PD01DEC17	3023.31	3233.33	USD	-10,501.00	
	NET	0 AVG	BGHT 3160.75				-8,525.00	0.00
04SEP17	S	2	PD04DEC17	3188.50	3233.00	USD	-2,225.00	
07SEP17	B	2	PD04DEC17	3128.54	3233.00	USD	5,223.00	
	NET	0 AVG	BGHT 3128.54				2,998.00	0.00
05SEP17	S	2	PD05DEC17	3181.00	3232.67	USD	-2,583.50	
05SEP17	S	2	PD05DEC17	3147.50	3232.67	USD	-4,258.50	
13SEP17	B	2	PD05DEC17	3023.76	3232.67	USD	10,445.50	
13SEP17	B	2	PD05DEC17	3023.56	3232.67	USD	10,455.50	
	NET	0 AVG	BGHT 3023.66				14,059.00	0.00
06SEP17	B	2	PD06DEC17	3099.00	3232.33	USD	6,666.50	
13SEP17	S	2	PD06DEC17	3023.43	3232.33	USD	-10,445.00	
	NET	0 AVG	BGHT 3099.00				-3,778.50	0.00
07SEP17	S	2	PD07DEC17	3079.00	3232.00	USD	-7,650.00	
13SEP17	B	2	PD07DEC17	3023.69	3232.00	USD	10,415.50	
	NET	0 AVG	BGHT 3023.69				2,765.50	0.00
08SEP17	S	2	PD08DEC17	3135.00	3231.67	USD	-4,833.50	
08SEP17	B	2	PD08DEC17	3145.00	3231.67	USD	4,333.50	
	NET	0 AVG	BGHT 3145.00				-500.00	0.00
11SEP17	B	1	PD11DEC17	3058.00	3231.33	USD	4,333.25	
11SEP17	B	1	PD11DEC17	3058.00	3231.33	USD	4,333.25	
11SEP17	B	2	PD11DEC17	3059.00	3231.33	USD	8,616.50	
13SEP17	S	2	PD11DEC17	3023.47	3231.33	USD	-10,393.00	
20SEP17	S	2	PD11DEC17	3129.61	3231.33	USD	-5,086.00	
	NET	0 AVG	BGHT 3058.50				1,804.00	0.00
13SEP17	B	2	PD13DEC17	3068.00	3230.67	USD	8,133.50	
13SEP17	S	2	PD13DEC17	3058.00	3230.67	USD	-8,633.50	
	NET	0 AVG	BGHT 3068.00				-500.00	0.00
14SEP17	B	1	PD14DEC17	3007.50	3230.33	USD	5,570.75	
14SEP17	B	1	PD14DEC17	3007.50	3230.33	USD	5,570.75	
29SEP17	S	2	PD14DEC17	3165.63	3230.33	USD	-3,235.00	
	NET	0 AVG	BGHT 3007.50				7,906.50	0.00
15SEP17	S	1	PD15DEC17	3001.50	3230.00	USD	-5,712.50	
15SEP17	S	1	PD15DEC17	3000.00	3230.00	USD	-5,750.00	
20SEP17	B	2	PD15DEC17	3130.87	3230.00	USD	4,956.50	
	NET	0 AVG	BGHT 3130.87				-6,506.00	0.00
18SEP17	B	2	PD18DEC17	3071.00	3229.67	USD	7,933.50	
18SEP17	S	2	PD18DEC17	3079.00	3229.67	USD	-7,533.50	
18SEP17	B	2	PD18DEC17	3089.50	3229.67	USD	7,008.50	
18SEP17	S	1	PD18DEC17	3101.50	3229.67	USD	-3,204.25	
18SEP17	B	2	PD18DEC17	3102.00	3229.67	USD	6,383.50	
18SEP17	B	1	PD18DEC17	3099.50	3229.67	USD	3,254.25	
29SEP17	S	4	PD18DEC17	3165.88	3229.67	USD	-6,379.00	
	NET	0 AVG	BGHT 3089.21				7,463.00	0.00
19SEP17	S	2	PD19DEC17	3108.00	3229.33	USD	-6,066.50	
19SEP17	S	2	PD19DEC17	3104.50	3229.33	USD	-6,241.50	
19SEP17	B	4	PD19DEC17	3112.50	3229.33	USD	11,683.00	
19SEP17	B	2	PD19DEC17	3109.00	3229.33	USD	6,016.50	
29SEP17	S	2	PD19DEC17	3165.94	3229.33	USD	-3,169.50	
	NET	0 AVG	BGHT 3111.33				2,222.00	0.00
20SEP17	S	2	PD20DEC17	3136.00	3229.00	USD	-4,650.00	
20SEP17	S	2	PD20DEC17	3136.50	3229.00	USD	-4,625.00	
29SEP17	B	4	PD20DEC17	3166.20	3229.00	USD	6,280.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3166.20	SOLD 3136.25				-2,995.00	0.00
21SEP17	S	2 PD21DEC17		3078.00	3225.00	USD	-7,350.00	
21SEP17	S	2 PD21DEC17		3027.50	3225.00	USD	-9,875.00	
29SEP17	B	4 PD21DEC17		3162.95	3225.00	USD	6,205.00	
	NET	0 AVG BGHT 3162.95	SOLD 3052.75				-11,020.00	0.00
26SEP17	B	2 PD27DEC17		3127.00	3224.00	USD	4,850.00	
26SEP17	S	1 PD27DEC17		3126.50	3224.00	USD	-2,437.50	
26SEP17	B	1 PD27DEC17		3126.50	3224.00	USD	2,437.50	
26SEP17	S	2 PD27DEC17		3112.00	3224.00	USD	-5,600.00	
27SEP17	B	2 PD27DEC17		3138.50	3224.00	USD	4,275.00	
27SEP17	S	2 PD27DEC17		3112.50	3224.00	USD	-5,575.00	
	NET	0 AVG BGHT 3131.50	SOLD 3115.10				-2,050.00	0.00
28SEP17	B	2 PD28DEC17		3141.00	3223.50	USD	4,125.00	
28SEP17	S	2 PD28DEC17		3156.50	3223.50	USD	-3,350.00	
	NET	0 AVG BGHT 3141.00	SOLD 3156.50				775.00	0.00
29SEP17	B	2 PD29DEC17		3159.00	3223.00	USD	3,200.00	
	NET	+2 AVG BGHT 3159.00					3,200.00	0.00
09OCT17	B	1 PD09JAN18		3263.00	3220.00	USD	-1,075.00	
09OCT17	B	1 PD09JAN18		3264.00	3220.00	USD	-1,100.00	
09OCT17	S	1 PD09JAN18		3247.50	3220.00	USD	687.50	
09OCT17	B	1 PD09JAN18		3248.50	3220.00	USD	-712.50	
09OCT17	S	1 PD09JAN18		3243.50	3220.00	USD	587.50	
09OCT17	S	2 PD09JAN18		3261.50	3220.00	USD	2,075.00	
09OCT17	S	1 PD09JAN18		3250.00	3220.00	USD	750.00	
09OCT17	S	1 PD09JAN18		3244.50	3220.00	USD	612.50	
09OCT17	B	1 PD09JAN18		3251.50	3220.00	USD	-787.50	
	NET	-2 AVG BGHT 3256.75	SOLD 3251.42				1,037.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	67,101.00
LME Copper Grade A 25	FUTURES	-5,308,609.25
CMX Gold	FUTURES	-2,581,680.00
LME Lead 25 tonnes. This is an	FUTURES	142,134.50
LME Nickel 6 tonnes. This is	FUTURES	-1,064,891.28
LME Tin 5 tonnes. This is an	FUTURES	209,965.55
LME Zinc 25 tonnes. This is	FUTURES	23,192.50

OPEN POSITION STATEMENT



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SAP00940

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London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-3,880.00	-3,880.00	-3,880.00	-3,880.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	67,365.50	67,365.50		
COPL - Futures USD	-212,073.25	-212,073.25		
LEAD - Futures USD	13,889.50	13,889.50		
NKL - Futures USD	136,247.88	136,247.88		
TIN - Futures USD	873.35	873.35		
ZINC - Futures USD	23,342.50	23,342.50	29,645.48	29,645.48

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-15,330.10	-15,330.10
Variation Margins - Futures	-3,880.00	-3,880.00
- Options	0.00	0.00
TOTAL EQUITY	-19,210.10	-19,210.10
Initial Margins	-606,436.52	-606,436.52
Excess/(Shortage)	-625,646.62	-625,646.62
FOR INFORMATION ONLY		
Forward Unrealised P&L	29,645.48	29,645.48
Net Liquidating Value	10,435.38	10,435.38

OPEN POSITION STATEMENT



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SAP0094Q

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD13OCT17	1936.00	2104.75	USD	-4,218.75	
20JUL17	B	1	PD13OCT17	1916.02	2104.75	USD	4,718.25	
	NET	0AVG	BGHT 1916.02				499.50	0.00
31JUL17	B	1	PD31OCT17	1915.00	2110.50	USD	4,887.50	
31JUL17	S	1	PD31OCT17	1916.50	2110.50	USD	-4,850.00	
	NET	0AVG	BGHT 1915.00				37.50	0.00
01AUG17	B	2	PD01NOV17	1907.00	2110.81	USD	10,190.50	
08AUG17	S	2	PD01NOV17	2028.60	2110.81	USD	-4,110.50	
	NET	0AVG	BGHT 1907.00				6,080.00	0.00
02AUG17	S	1	PD02NOV17	1912.00	2111.13	USD	-4,978.25	
02AUG17	S	1	PD02NOV17	1931.50	2111.13	USD	-4,490.75	
08AUG17	B	2	PD02NOV17	2029.00	2111.13	USD	4,106.50	
	NET	0AVG	BGHT 2029.00				-5,362.50	0.00
03AUG17	B	1	PD03NOV17	1928.00	2111.44	USD	4,586.00	
03AUG17	S	1	PD03NOV17	1914.00	2111.44	USD	-4,936.00	
04AUG17	B	1	PD03NOV17	1905.00	2111.44	USD	5,161.00	
04AUG17	S	1	PD03NOV17	1913.50	2111.44	USD	-4,948.50	
	NET	0AVG	BGHT 1916.50				-137.50	0.00
07AUG17	B	1	PD07NOV17	1952.50	2112.69	USD	4,004.75	
07AUG17	S	1	PD07NOV17	1964.50	2112.69	USD	-3,704.75	
	NET	0AVG	BGHT 1952.50				300.00	0.00
09AUG17	B	1	PD09NOV17	2036.00	2113.46	USD	1,936.50	
09AUG17	S	1	PD09NOV17	2017.50	2113.46	USD	-2,399.00	
	NET	0AVG	BGHT 2036.00				-462.50	0.00
16AUG17	B	1	PD16NOV17	2067.50	2116.56	USD	1,226.50	
	NET	+1AVG	BGHT 2067.50				1,226.50	0.00
18AUG17	S	1	PD17NOV17	2063.50	2116.87	USD	-1,334.25	
	NET	-1AVG	SOLD 2063.50				-1,334.25	0.00
22AUG17	B	1	PD22NOV17	2081.00	2118.41	USD	935.25	
22AUG17	B	1	PD22NOV17	2077.00	2118.41	USD	1,035.25	
	NET	+2AVG	BGHT 2079.00				1,970.50	0.00
23AUG17	S	1	PD24NOV17	2072.50	2119.03	USD	-1,163.25	
23AUG17	S	1	PD24NOV17	2079.00	2119.03	USD	-1,000.75	
25AUG17	B	1	PD24NOV17	2068.00	2119.03	USD	1,275.75	
	NET	-1AVG	BGHT 2068.00				-888.25	0.00
30AUG17	S	1	PD30NOV17	2094.00	2120.88	USD	-672.00	
	NET	-1AVG	SOLD 2094.00				-672.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5987.50	6759.20	USD	-19,292.50	
17JUL17	B	1	PD17OCT17	6004.50	6759.20	USD	18,867.50	
17JUL17	S	1	PD17OCT17	6004.50	6759.20	USD	-18,867.50	
17JUL17	B	1	PD17OCT17	5996.50	6759.20	USD	19,067.50	
	NET	0AVG	BGHT 6000.50				-225.00	0.00
25JUL17	S	1	PD25OCT17	6211.00	6765.68	USD	-13,867.00	
25JUL17	B	1	PD25OCT17	6218.00	6765.68	USD	13,692.00	
	NET	0AVG	BGHT 6218.00				-175.00	0.00
26JUL17	B	1	PD26OCT17	6299.50	6766.11	USD	11,665.25	
26JUL17	S	1	PD26OCT17	6329.50	6766.11	USD	-10,915.25	
	NET	0AVG	BGHT 6299.50				750.00	0.00
27JUL17	S	1	PD27OCT17	6370.50	6766.54	USD	-9,901.00	
27JUL17	B	1	PD27OCT17	6369.50	6766.54	USD	9,926.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6369.50	SOLD 6370.50				25.00	0.00
29AUG17	S	1 PD29NOV17		6752.00	6783.18	USD	-779.50	
29AUG17	S	1 PD29NOV17		6755.00	6783.18	USD	-704.50	
29AUG17	B	2 PD29NOV17		6752.50	6783.18	USD	1,534.00	
	NET	0 AVG BGHT 6752.50	SOLD 6753.50				50.00	0.00
31AUG17	S	1 PD30NOV17		6834.00	6783.72	USD	1,257.00	
31AUG17	B	1 PD30NOV17		6830.50	6783.72	USD	-1,169.50	
	NET	0 AVG BGHT 6830.50	SOLD 6834.00				87.50	0.00
12SEP17	B	1 PD12DEC17		6672.50	6789.63	USD	2,928.25	
12SEP17	S	1 PD12DEC17		6678.00	6789.63	USD	-2,790.75	
12SEP17	B	1 PD12DEC17		6653.00	6789.63	USD	3,415.75	
12SEP17	B	1 PD12DEC17		6641.00	6789.63	USD	3,715.75	
12SEP17	S	1 PD12DEC17		6653.00	6789.63	USD	-3,415.75	
12SEP17	S	1 PD12DEC17		6652.00	6789.63	USD	-3,440.75	
	NET	0 AVG BGHT 6655.50	SOLD 6661.00				412.50	0.00
13SEP17	B	1 PD13DEC17		6570.50	6790.10	USD	5,490.00	
13SEP17	B	1 PD13DEC17		6553.00	6790.10	USD	5,927.50	
13SEP17	S	1 PD13DEC17		6550.00	6790.10	USD	-6,002.50	
13SEP17	S	1 PD13DEC17		6564.50	6790.10	USD	-5,640.00	
	NET	0 AVG BGHT 6561.75	SOLD 6557.25				-225.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1 PD12OCT17		2316.00	2552.35	USD	5,908.75	
20JUL17	S	1 PD12OCT17		2211.96	2552.35	USD	-8,509.75	
	NET	0 AVG BGHT 2316.00	SOLD 2211.96				-2,601.00	0.00
14JUL17	S	1 PD13OCT17		2298.50	2552.75	USD	-6,356.25	
14JUL17	S	2 PD13OCT17		2315.50	2552.75	USD	-11,862.50	
20JUL17	B	3 PD13OCT17		2212.38	2552.75	USD	25,527.75	
	NET	0 AVG BGHT 2212.38	SOLD 2309.83				7,309.00	0.00
17JUL17	B	1 PD17OCT17		2315.50	2554.35	USD	5,971.25	
17JUL17	B	1 PD17OCT17		2325.00	2554.35	USD	5,733.75	
17JUL17	B	1 PD17OCT17		2299.00	2554.35	USD	6,383.75	
08AUG17	S	3 PD17OCT17		2378.96	2554.35	USD	-13,154.25	
	NET	0 AVG BGHT 2313.17	SOLD 2378.96				4,934.50	0.00
18JUL17	S	1 PD18OCT17		2292.00	2554.75	USD	-6,568.75	
18JUL17	S	1 PD18OCT17		2293.00	2554.75	USD	-6,543.75	
18JUL17	B	1 PD18OCT17		2270.00	2554.75	USD	7,118.75	
20JUL17	B	1 PD18OCT17		2213.45	2554.75	USD	8,532.50	
	NET	0 AVG BGHT 2241.73	SOLD 2292.50				2,538.75	0.00
19JUL17	B	1 PD19OCT17		2217.50	2554.89	USD	8,434.75	
19JUL17	S	1 PD19OCT17		2218.50	2554.89	USD	-8,409.75	
	NET	0 AVG BGHT 2217.50	SOLD 2218.50				25.00	0.00
20JUL17	S	1 PD20OCT17		2226.00	2555.04	USD	-8,226.00	
20JUL17	B	1 PD20OCT17		2203.50	2555.04	USD	8,788.50	
20JUL17	S	1 PD20OCT17		2206.50	2555.04	USD	-8,713.50	
20JUL17	S	1 PD20OCT17		2213.00	2555.04	USD	-8,551.00	
21JUL17	B	1 PD20OCT17		2242.00	2555.04	USD	7,826.00	
21JUL17	S	1 PD20OCT17		2239.50	2555.04	USD	-7,888.50	
08AUG17	B	2 PD20OCT17		2378.95	2555.04	USD	8,804.50	
	NET	0 AVG BGHT 2300.85	SOLD 2221.25				-7,960.00	0.00
24JUL17	B	1 PD24OCT17		2245.50	2555.61	USD	7,752.75	
24JUL17	S	1 PD24OCT17		2256.50	2555.61	USD	-7,477.75	
	NET	0 AVG BGHT 2245.50	SOLD 2256.50				275.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	2275.00	2555.75	USD	7,018.75	
08AUG17	S	1	PD25OCT17	2380.75	2555.75	USD	-4,375.00	
	NET	0 AVG	BGHT 2275.00				2,643.75	0.00
26JUL17	S	1	PD26OCT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD26OCT17	2329.50	2555.89	USD	-5,659.75	
08AUG17	B	2	PD26OCT17	2381.12	2555.89	USD	8,738.50	
	NET	0 AVG	BGHT 2381.12				-2,956.00	0.00
01AUG17	S	2	PD01NOV17	2335.00	2556.75	USD	-11,087.50	
08AUG17	B	2	PD01NOV17	2381.96	2556.75	USD	8,739.50	
	NET	0 AVG	BGHT 2381.96				-2,348.00	0.00
02AUG17	B	1	PD02NOV17	2328.50	2556.89	USD	5,709.75	
02AUG17	B	1	PD02NOV17	2339.50	2556.89	USD	5,434.75	
08AUG17	S	2	PD02NOV17	2381.93	2556.89	USD	-8,748.00	
	NET	0 AVG	BGHT 2334.00				2,396.50	0.00
07AUG17	B	1	PD07NOV17	2385.50	2557.61	USD	4,302.75	
07AUG17	B	1	PD07NOV17	2384.50	2557.61	USD	4,327.75	
07AUG17	S	1	PD07NOV17	2363.00	2557.61	USD	-4,865.25	
07AUG17	B	1	PD07NOV17	2362.50	2557.61	USD	4,877.75	
	NET	+2 AVG	BGHT 2377.50				8,643.00	0.00
08AUG17	B	1	PD08NOV17	2355.00	2557.75	USD	5,068.75	
	NET	+1 AVG	BGHT 2355.00				5,068.75	0.00
09AUG17	B	1	PD09NOV17	2416.50	2557.89	USD	3,534.75	
	NET	+1 AVG	BGHT 2416.50				3,534.75	0.00
11AUG17	B	1	PD10NOV17	2335.00	2558.04	USD	5,576.00	
	NET	+1 AVG	BGHT 2335.00				5,576.00	0.00
15AUG17	S	1	PD15NOV17	2366.50	2558.75	USD	-4,806.25	
	NET	-1 AVG	SOLD 2366.50				-4,806.25	0.00
16AUG17	S	1	PD16NOV17	2480.00	2558.75	USD	-1,968.75	
	NET	-1 AVG	SOLD 2480.00				-1,968.75	0.00
17AUG17	B	1	PD17NOV17	2436.50	2558.75	USD	3,056.25	
18AUG17	S	2	PD17NOV17	2420.00	2558.75	USD	-6,937.50	
18AUG17	S	2	PD17NOV17	2370.00	2558.75	USD	-9,437.50	
	NET	-3 AVG	BGHT 2436.50				-13,318.75	0.00
22AUG17	B	1	PD22NOV17	2325.00	2559.46	USD	5,861.50	
22AUG17	S	1	PD22NOV17	2356.00	2559.46	USD	-5,086.50	
22AUG17	B	1	PD22NOV17	2388.00	2559.46	USD	4,286.50	
22AUG17	S	1	PD22NOV17	2390.00	2559.46	USD	-4,236.50	
22AUG17	S	1	PD22NOV17	2418.00	2559.46	USD	-3,536.50	
	NET	-1 AVG	BGHT 2356.50				-2,711.50	0.00
23AUG17	B	1	PD24NOV17	2397.00	2559.75	USD	4,068.75	
25AUG17	B	1	PD24NOV17	2327.00	2559.75	USD	5,818.75	
	NET	+2 AVG	BGHT 2362.00				9,887.50	0.00
29AUG17	S	1	PD29NOV17	2395.50	2560.20	USD	-4,117.50	
	NET	-1 AVG	SOLD 2395.50				-4,117.50	0.00
30AUG17	B	1	PD30NOV17	2395.00	2560.30	USD	4,132.50	
30AUG17	B	1	PD30NOV17	2370.00	2560.30	USD	4,757.50	
30AUG17	S	1	PD30NOV17	2369.00	2560.30	USD	-4,782.50	
30AUG17	S	1	PD30NOV17	2369.50	2560.30	USD	-4,770.00	
31AUG17	B	1	PD30NOV17	2400.00	2560.30	USD	4,007.50	
	NET	+1 AVG	BGHT 2388.33				3,345.00	0.00
01SEP17	S	1	PD01DEC17	2400.50	2560.39	USD	-3,997.25	
01SEP17	B	1	PD01DEC17	2394.00	2560.39	USD	4,159.75	
01SEP17	S	1	PD01DEC17	2399.00	2560.39	USD	-4,034.75	

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	NET	-1 AVG BGHT 2394.00	SOLD 2399.75				-3,872.25	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>							
	<u>REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	9170.00	11060.20	USD	11,341.20	
12JUL17	B	1	PD12OCT17	9195.00	11060.20	USD	11,191.20	
20JUL17	S	2	PD12OCT17	9491.04	11060.20	USD	-18,829.92	
	NET	0 AVG BGHT 9182.50	SOLD 9491.04				3,702.48	0.00
13JUL17	B	1	PD13OCT17	9155.00	11061.00	USD	11,436.00	
14JUL17	S	1	PD13OCT17	9380.00	11061.00	USD	-10,086.00	
14JUL17	B	1	PD13OCT17	9425.00	11061.00	USD	9,816.00	
14JUL17	B	2	PD13OCT17	9550.00	11061.00	USD	18,132.00	
14JUL17	B	1	PD13OCT17	9560.00	11061.00	USD	9,006.00	
14JUL17	S	1	PD13OCT17	9550.00	11061.00	USD	-9,066.00	
14JUL17	S	1	PD13OCT17	9560.00	11061.00	USD	-9,006.00	
20JUL17	S	2	PD13OCT17	9491.70	11061.00	USD	-18,831.60	
	NET	0 AVG BGHT 9448.00	SOLD 9494.68				1,400.40	0.00
25JUL17	S	1	PD25OCT17	9990.00	11071.75	USD	-6,490.50	
25JUL17	B	1	PD25OCT17	9990.00	11071.75	USD	6,490.50	
	NET	0 AVG BGHT 9990.00	SOLD 9990.00				0.00	0.00
27JUL17	S	1	PD27OCT17	10185.00	11073.68	USD	-5,332.08	
27JUL17	B	1	PD27OCT17	10160.00	11073.68	USD	5,482.08	
28JUL17	B	1	PD27OCT17	10205.00	11073.68	USD	5,212.08	
28JUL17	S	1	PD27OCT17	10205.00	11073.68	USD	-5,212.08	
	NET	0 AVG BGHT 10182.50	SOLD 10195.00				150.00	0.00
01AUG17	S	1	PD01NOV17	10175.00	11078.50	USD	-5,421.00	
08AUG17	B	1	PD01NOV17	10627.17	11078.50	USD	2,707.98	
	NET	0 AVG BGHT 10627.17	SOLD 10175.00				-2,713.02	0.00
03AUG17	B	1	PD03NOV17	10305.00	11080.43	USD	4,652.58	
08AUG17	S	1	PD03NOV17	10626.98	11080.43	USD	-2,720.70	
	NET	0 AVG BGHT 10305.00	SOLD 10626.98				1,931.88	0.00
07AUG17	S	2	PD07NOV17	10365.00	11084.29	USD	-8,631.48	
07AUG17	B	1	PD07NOV17	10355.00	11084.29	USD	4,375.74	
07AUG17	B	1	PD07NOV17	10380.00	11084.29	USD	4,225.74	
	NET	0 AVG BGHT 10367.50	SOLD 10365.00				-30.00	0.00
08AUG17	S	1	PD08NOV17	10325.00	11085.25	USD	-4,561.50	
08AUG17	S	1	PD08NOV17	10535.00	11085.25	USD	-3,301.50	
08AUG17	B	1	PD08NOV17	10610.00	11085.25	USD	2,851.50	
08AUG17	S	1	PD08NOV17	10600.00	11085.25	USD	-2,911.50	
08AUG17	S	1	PD08NOV17	10685.00	11085.25	USD	-2,401.50	
08AUG17	S	1	PD08NOV17	10760.00	11085.25	USD	-1,951.50	
08AUG17	B	1	PD08NOV17	10710.00	11085.25	USD	2,251.50	
08AUG17	S	1	PD08NOV17	10745.00	11085.25	USD	-2,041.50	
08AUG17	B	1	PD08NOV17	10740.00	11085.25	USD	2,071.50	
	NET	-3 AVG BGHT 10686.67	SOLD 10608.33				-9,994.50	0.00
09AUG17	B	1	PD09NOV17	10745.00	11086.21	USD	2,047.26	
	NET	+1 AVG BGHT 10745.00					2,047.26	0.00
10AUG17	S	1	PD10NOV17	10880.00	11087.18	USD	-1,243.08	
10AUG17	B	1	PD10NOV17	10900.00	11087.18	USD	1,123.08	
	NET	0 AVG BGHT 10900.00	SOLD 10880.00				-120.00	0.00
14AUG17	B	1	PD14NOV17	10600.00	11091.04	USD	2,946.24	
	NET	+1 AVG BGHT 10600.00					2,946.24	0.00
16AUG17	S	1	PD16NOV17	10595.00	11092.77	USD	-2,986.62	
16AUG17	S	1	PD16NOV17	10760.00	11092.77	USD	-1,996.62	
16AUG17	B	1	PD16NOV17	10720.00	11092.77	USD	2,236.62	
16AUG17	S	1	PD16NOV17	10765.00	11092.77	USD	-1,966.62	
	NET	-2 AVG BGHT 10720.00	SOLD 10706.67				-4,713.24	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17AUG17	B 1 PD17NOV17	10845.00	11093.54	USD	1,491.24	
	NET +1AVG BGHT 10845.00				1,491.24	0.00
23AUG17	B 1 PD24NOV17	11655.00	11098.94	USD	-3,336.36	
23AUG17	B 1 PD24NOV17	11660.00	11098.94	USD	-3,366.36	
23AUG17	S 2 PD24NOV17	11660.00	11098.94	USD	6,732.72	
25AUG17	B 1 PD24NOV17	11655.00	11098.94	USD	-3,336.36	
25AUG17	B 1 PD24NOV17	11455.00	11098.94	USD	-2,136.36	
25AUG17	B 1 PD24NOV17	11460.00	11098.94	USD	-2,166.36	
25AUG17	B 1 PD24NOV17	11405.00	11098.94	USD	-1,836.36	
25AUG17	S 2 PD24NOV17	11435.00	11098.94	USD	4,032.72	
25AUG17	S 1 PD24NOV17	11445.00	11098.94	USD	2,076.36	
	NET +1AVG BGHT 11548.33 SOLD 11527.00				-3,336.36	0.00
29AUG17	S 1 PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	B 1 PD29NOV17	11805.00	11102.80	USD	-4,213.20	
29AUG17	B 1 PD29NOV17	11800.00	11102.80	USD	-4,183.20	
29AUG17	S 1 PD29NOV17	11825.00	11102.80	USD	4,333.20	
29AUG17	B 1 PD29NOV17	11825.00	11102.80	USD	-4,333.20	
29AUG17	S 1 PD29NOV17	11800.00	11102.80	USD	4,183.20	
	NET 0AVG BGHT 11810.00 SOLD 11815.00				90.00	0.00
05SEP17	B 1 PD05DEC17	12030.00	11107.43	USD	-5,535.42	
05SEP17	S 1 PD05DEC17	12070.00	11107.43	USD	5,775.42	
05SEP17	B 1 PD05DEC17	12010.00	11107.43	USD	-5,415.42	
05SEP17	S 1 PD05DEC17	12010.00	11107.43	USD	5,415.42	
	NET 0AVG BGHT 12020.00 SOLD 12040.00				240.00	0.00
06SEP17	S 1 PD06DEC17	12210.00	11108.20	USD	6,610.80	
06SEP17	S 1 PD06DEC17	12225.00	11108.20	USD	6,700.80	
	NET -2AVG SOLD 12217.50				13,311.60	0.00
07SEP17	B 2 PD07DEC17	12225.00	11108.97	USD	-13,392.36	
07SEP17	B 1 PD07DEC17	12010.00	11108.97	USD	-5,406.18	
	NET +3AVG BGHT 12153.33				-18,798.54	0.00
08SEP17	B 1 PD08DEC17	11930.00	11109.74	USD	-4,921.56	
08SEP17	B 1 PD08DEC17	11740.00	11109.74	USD	-3,781.56	
08SEP17	S 1 PD08DEC17	11725.00	11109.74	USD	3,691.56	
08SEP17	B 1 PD08DEC17	11575.00	11109.74	USD	-2,791.56	
	NET +2AVG BGHT 11748.33 SOLD 11725.00				-7,803.12	0.00
11SEP17	S 1 PD11DEC17	11740.00	11112.06	USD	3,767.64	
11SEP17	S 1 PD11DEC17	11825.00	11112.06	USD	4,277.64	
11SEP17	B 1 PD11DEC17	11795.00	11112.06	USD	-4,097.64	
	NET -1AVG BGHT 11795.00 SOLD 11782.50				3,947.64	0.00
12SEP17	S 1 PD12DEC17	11865.00	11112.83	USD	4,513.02	
	NET -1AVG SOLD 11865.00				4,513.02	0.00
13SEP17	B 1 PD13DEC17	11585.00	11113.60	USD	-2,828.40	
13SEP17	S 1 PD13DEC17	11485.00	11113.60	USD	2,228.40	
13SEP17	B 1 PD13DEC17	11405.00	11113.60	USD	-1,748.40	
	NET +1AVG BGHT 11495.00 SOLD 11485.00				-2,348.40	0.00
14SEP17	B 1 PD14DEC17	11155.00	11114.37	USD	-243.78	
14SEP17	S 1 PD14DEC17	11245.00	11114.37	USD	783.78	
	NET 0AVG BGHT 11155.00 SOLD 11245.00				540.00	0.00
15SEP17	S 1 PD15DEC17	11130.00	11115.14	USD	89.16	
15SEP17	B 1 PD15DEC17	11005.00	11115.14	USD	660.84	
15SEP17	S 1 PD15DEC17	11045.00	11115.14	USD	-420.84	
15SEP17	S 1 PD15DEC17	11085.00	11115.14	USD	-180.84	
15SEP17	B 1 PD15DEC17	11065.00	11115.14	USD	300.84	
15SEP17	S 1 PD15DEC17	11145.00	11115.14	USD	179.16	

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15SEP17	B	2	PD15DEC17	11090.00	11115.14	USD	301.68	
15SEP17	B	1	PD15DEC17	11110.00	11115.14	USD	30.84	
15SEP17	S	2	PD15DEC17	11150.00	11115.14	USD	418.32	
15SEP17	B	2	PD15DEC17	11125.00	11115.14	USD	-118.32	
15SEP17	S	1	PD15DEC17	11110.00	11115.14	USD	-30.84	
	NET	0 AVG	BGHT 11087.14				1,230.00	0.00
18SEP17	B	1	PD18DEC17	11130.00	11117.46	USD	-75.24	
18SEP17	S	1	PD18DEC17	11255.00	11117.46	USD	825.24	
	NET	0 AVG	BGHT 11130.00				750.00	0.00
19SEP17	B	1	PD19DEC17	10965.00	11118.23	USD	919.38	
19SEP17	S	1	PD19DEC17	11105.00	11118.23	USD	-79.38	
19SEP17	S	1	PD19DEC17	11180.00	11118.23	USD	370.62	
19SEP17	B	1	PD19DEC17	11160.00	11118.23	USD	-250.62	
19SEP17	B	1	PD19DEC17	11150.00	11118.23	USD	-190.62	
19SEP17	S	1	PD19DEC17	11150.00	11118.23	USD	190.62	
	NET	0 AVG	BGHT 11091.67				960.00	0.00
20SEP17	S	1	PD20DEC17	11360.00	11119.00	USD	1,446.00	
	NET	-1 AVG	SOLD 11360.00				1,446.00	0.00
21SEP17	B	1	PD21DEC17	10975.00	11119.73	USD	868.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	1	PD21DEC17	10935.00	11119.73	USD	1,108.38	
21SEP17	B	1	PD21DEC17	10905.00	11119.73	USD	1,288.38	
21SEP17	B	1	PD21DEC17	10830.00	11119.73	USD	1,738.38	
	NET	+5 AVG	BGHT 10931.00				5,661.90	0.00
22SEP17	S	1	PD22DEC17	10770.00	11120.45	USD	-2,102.70	
22SEP17	S	1	PD22DEC17	10780.00	11120.45	USD	-2,042.70	
22SEP17	B	1	PD22DEC17	10585.00	11120.45	USD	3,212.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	S	1	PD22DEC17	10625.00	11120.45	USD	-2,972.70	
	NET	-1 AVG	BGHT 10520.00				87.30	0.00
27SEP17	B	1	PD27DEC17	10595.00	11124.09	USD	3,174.54	
27SEP17	B	1	PD27DEC17	10605.00	11124.09	USD	3,114.54	
27SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
27SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
27SEP17	S	1	PD27DEC17	10610.00	11124.09	USD	-3,084.54	
27SEP17	S	1	PD27DEC17	10615.00	11124.09	USD	-3,054.54	
	NET	-2 AVG	BGHT 10600.00				-6,409.08	0.00
03OCT17	S	1	PD03JAN18	10595.00	11129.18	USD	-3,205.08	
03OCT17	S	1	PD03JAN18	10670.00	11129.18	USD	-2,755.08	
	NET	-2 AVG	SOLD 10632.50				-5,960.16	0.00
11OCT17	B	1	PD11JAN18	11175.00	11135.00	USD	-240.00	
11OCT17	S	1	PD11JAN18	11175.00	11135.00	USD	240.00	
	NET	0 AVG	BGHT 11175.00				0.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	19825.00	20990.00	USD	5,825.00	
20JUL17	S	1	PD12OCT17	20095.32	20990.00	USD	-4,473.40	
	NET	0 AVG	BGHT 19825.00				1,351.60	0.00
13JUL17	B	1	PD13OCT17	19830.00	20990.00	USD	5,800.00	
13JUL17	B	1	PD13OCT17	19855.00	20990.00	USD	5,675.00	
13JUL17	S	2	PD13OCT17	19860.00	20990.00	USD	-11,300.00	
	NET	0 AVG	BGHT 19842.50				175.00	0.00
17JUL17	B	1	PD17OCT17	20050.00	20990.00	USD	4,700.00	
17JUL17	S	1	PD17OCT17	20070.00	20990.00	USD	-4,600.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

11th October 2017

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	19900.00	20990.00	USD	5,450.00	
08AUG17	S	1	PD17OCT17	20228.79	20990.00	USD	-3,806.05	
	NET	0AVG BGHT 19975.00	SOLD 20149.40				1,743.95	0.00
18JUL17	S	1	PD18OCT17	19970.00	20990.00	USD	-5,100.00	
08AUG17	B	1	PD18OCT17	20229.00	20990.00	USD	3,805.00	
	NET	0AVG BGHT 20229.00	SOLD 19970.00				-1,295.00	0.00
19JUL17	B	1	PD19OCT17	20100.00	20988.00	USD	4,440.00	
19JUL17	S	1	PD19OCT17	20120.00	20988.00	USD	-4,340.00	
19JUL17	S	1	PD19OCT17	20110.00	20988.00	USD	-4,390.00	
19JUL17	B	1	PD19OCT17	20050.00	20988.00	USD	4,690.00	
19JUL17	B	1	PD19OCT17	20080.00	20988.00	USD	4,540.00	
19JUL17	S	1	PD19OCT17	20075.00	20988.00	USD	-4,565.00	
19JUL17	S	1	PD19OCT17	20105.00	20988.00	USD	-4,415.00	
19JUL17	S	1	PD19OCT17	20085.00	20988.00	USD	-4,515.00	
19JUL17	S	1	PD19OCT17	20095.00	20988.00	USD	-4,465.00	
19JUL17	S	1	PD19OCT17	20125.00	20988.00	USD	-4,315.00	
08AUG17	B	4	PD19OCT17	20226.80	20988.00	USD	15,224.00	
	NET	0AVG BGHT 20162.46	SOLD 20102.14				-2,111.00	0.00
20JUL17	S	3	PD20OCT17	20095.00	20986.00	USD	-13,365.00	
20JUL17	B	3	PD20OCT17	20100.00	20986.00	USD	13,290.00	
20JUL17	B	1	PD20OCT17	20110.00	20986.00	USD	4,380.00	
20JUL17	S	1	PD20OCT17	20090.00	20986.00	USD	-4,480.00	
20JUL17	B	1	PD20OCT17	20080.00	20986.00	USD	4,530.00	
20JUL17	S	1	PD20OCT17	20080.00	20986.00	USD	-4,530.00	
20JUL17	S	1	PD20OCT17	20100.00	20986.00	USD	-4,430.00	
20JUL17	B	3	PD20OCT17	20105.00	20986.00	USD	13,215.00	
20JUL17	B	2	PD20OCT17	20075.00	20986.00	USD	9,110.00	
20JUL17	B	1	PD20OCT17	20065.00	20986.00	USD	4,605.00	
20JUL17	S	1	PD20OCT17	20065.00	20986.00	USD	-4,605.00	
08AUG17	S	4	PD20OCT17	20224.60	20986.00	USD	-15,228.00	
	NET	0AVG BGHT 20092.73	SOLD 20138.04				2,492.00	0.00
25JUL17	S	1	PD25OCT17	20305.00	20980.00	USD	-3,375.00	
25JUL17	B	1	PD25OCT17	20285.00	20980.00	USD	3,475.00	
25JUL17	B	1	PD25OCT17	20300.00	20980.00	USD	3,400.00	
25JUL17	S	2	PD25OCT17	20350.00	20980.00	USD	-6,300.00	
08AUG17	B	1	PD25OCT17	20222.00	20980.00	USD	3,790.00	
	NET	0AVG BGHT 20269.00	SOLD 20335.00				990.00	0.00
26JUL17	S	1	PD26OCT17	20350.00	20978.00	USD	-3,140.00	
26JUL17	B	1	PD26OCT17	20350.00	20978.00	USD	3,140.00	
26JUL17	S	2	PD26OCT17	20325.00	20978.00	USD	-6,530.00	
26JUL17	B	3	PD26OCT17	20305.00	20978.00	USD	10,095.00	
08AUG17	S	1	PD26OCT17	20219.80	20978.00	USD	-3,791.00	
	NET	0AVG BGHT 20316.25	SOLD 20304.95				-226.00	0.00
27JUL17	B	1	PD27OCT17	20550.00	20976.00	USD	2,130.00	
27JUL17	S	1	PD27OCT17	20595.00	20976.00	USD	-1,905.00	
27JUL17	B	2	PD27OCT17	20705.00	20976.00	USD	2,710.00	
27JUL17	S	2	PD27OCT17	20730.00	20976.00	USD	-2,460.00	
27JUL17	B	1	PD27OCT17	20685.00	20976.00	USD	1,455.00	
27JUL17	S	1	PD27OCT17	20695.00	20976.00	USD	-1,405.00	
27JUL17	S	2	PD27OCT17	20655.00	20976.00	USD	-3,210.00	
27JUL17	S	2	PD27OCT17	20600.00	20976.00	USD	-3,760.00	
27JUL17	S	1	PD27OCT17	20670.00	20976.00	USD	-1,530.00	
27JUL17	B	3	PD27OCT17	20615.00	20976.00	USD	5,415.00	
28JUL17	B	1	PD27OCT17	20595.00	20976.00	USD	1,905.00	
28JUL17	S	2	PD27OCT17	20695.00	20976.00	USD	-2,810.00	
28JUL17	S	1	PD27OCT17	20710.00	20976.00	USD	-1,330.00	

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28JUL17	B	1	PD27OCT17	20715.00	20976.00	USD	1,305.00	
28JUL17	B	1	PD27OCT17	20615.00	20976.00	USD	1,805.00	
28JUL17	B	1	PD27OCT17	20710.00	20976.00	USD	1,330.00	
28JUL17	S	3	PD27OCT17	20725.00	20976.00	USD	-3,765.00	
28JUL17	B	1	PD27OCT17	20755.00	20976.00	USD	1,105.00	
28JUL17	B	1	PD27OCT17	20800.00	20976.00	USD	880.00	
28JUL17	B	1	PD27OCT17	20795.00	20976.00	USD	905.00	
28JUL17	B	1	PD27OCT17	20760.00	20976.00	USD	1,080.00	
28JUL17	B	1	PD27OCT17	20685.00	20976.00	USD	1,455.00	
28JUL17	B	2	PD27OCT17	20640.00	20976.00	USD	3,360.00	
28JUL17	B	1	PD27OCT17	20605.00	20976.00	USD	1,855.00	
28JUL17	S	2	PD27OCT17	20645.00	20976.00	USD	-3,310.00	
28JUL17	S	1	PD27OCT17	20670.00	20976.00	USD	-1,530.00	
28JUL17	S	2	PD27OCT17	20750.00	20976.00	USD	-2,260.00	
28JUL17	S	2	PD27OCT17	20650.00	20976.00	USD	-3,260.00	
28JUL17	B	3	PD27OCT17	20625.00	20976.00	USD	5,265.00	
28JUL17	B	1	PD27OCT17	20750.00	20976.00	USD	1,130.00	
28JUL17	S	1	PD27OCT17	20665.00	20976.00	USD	-1,555.00	
	NET	0 AVG	BGHT 20670.87	SOLD 20679.57			1,000.00	0.00
01AUG17	B	1	PD01NOV17	20400.00	20970.00	USD	2,850.00	
08AUG17	S	1	PD01NOV17	20216.00	20970.00	USD	-3,770.00	
	NET	0 AVG	BGHT 20400.00	SOLD 20216.00			-920.00	0.00
03AUG17	S	1	PD03NOV17	20600.00	20966.00	USD	-1,830.00	
04AUG17	S	1	PD03NOV17	20735.00	20966.00	USD	-1,155.00	
04AUG17	B	1	PD03NOV17	20665.00	20966.00	USD	1,505.00	
04AUG17	B	1	PD03NOV17	20540.00	20966.00	USD	2,130.00	
04AUG17	S	1	PD03NOV17	20595.00	20966.00	USD	-1,855.00	
08AUG17	B	1	PD03NOV17	20214.60	20966.00	USD	3,757.00	
	NET	0 AVG	BGHT 20473.20	SOLD 20643.33			2,552.00	0.00
07AUG17	B	2	PD07NOV17	20570.00	20962.00	USD	3,920.00	
07AUG17	S	1	PD07NOV17	20615.00	20962.00	USD	-1,735.00	
07AUG17	S	1	PD07NOV17	20655.00	20962.00	USD	-1,535.00	
	NET	0 AVG	BGHT 20570.00	SOLD 20635.00			650.00	0.00
08AUG17	B	1	PD08NOV17	20445.00	20960.00	USD	2,575.00	
08AUG17	B	1	PD08NOV17	20340.00	20960.00	USD	3,100.00	
08AUG17	B	1	PD08NOV17	20165.00	20960.00	USD	3,975.00	
08AUG17	B	1	PD08NOV17	20175.00	20960.00	USD	3,925.00	
08AUG17	B	1	PD08NOV17	20215.00	20960.00	USD	3,725.00	
08AUG17	B	1	PD08NOV17	20220.00	20960.00	USD	3,700.00	
08AUG17	S	3	PD08NOV17	20200.00	20960.00	USD	-11,400.00	
08AUG17	S	1	PD08NOV17	20350.00	20960.00	USD	-3,050.00	
	NET	+2 AVG	BGHT 20260.00	SOLD 20237.50			6,550.00	0.00
09AUG17	B	1	PD09NOV17	20305.00	20958.00	USD	3,265.00	
09AUG17	S	1	PD09NOV17	20365.00	20958.00	USD	-2,965.00	
	NET	0 AVG	BGHT 20305.00	SOLD 20365.00			300.00	0.00
10AUG17	S	1	PD10NOV17	20325.00	20956.00	USD	-3,155.00	
10AUG17	B	1	PD10NOV17	20320.00	20956.00	USD	3,180.00	
10AUG17	S	2	PD10NOV17	20290.00	20956.00	USD	-6,660.00	
10AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
10AUG17	B	1	PD10NOV17	20325.00	20956.00	USD	3,155.00	
11AUG17	B	2	PD10NOV17	20305.00	20956.00	USD	6,510.00	
11AUG17	S	1	PD10NOV17	20320.00	20956.00	USD	-3,180.00	
11AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
	NET	0 AVG	BGHT 20311.00	SOLD 20314.00			75.00	0.00
14AUG17	S	1	PD14NOV17	20380.00	20952.00	USD	-2,860.00	

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14AUG17	S	2 PD14NOV17	20290.00	20952.00	USD	-6,620.00	
	NET	-3 AVG SOLD 20320.00				-9,480.00	0.00
15AUG17	B	1 PD15NOV17	20215.00	20950.00	USD	3,675.00	
15AUG17	B	1 PD15NOV17	20240.00	20950.00	USD	3,550.00	
15AUG17	B	1 PD15NOV17	19670.00	20950.00	USD	6,400.00	
15AUG17	B	1 PD15NOV17	19900.00	20950.00	USD	5,250.00	
15AUG17	B	1 PD15NOV17	19990.00	20950.00	USD	4,800.00	
15AUG17	B	2 PD15NOV17	20045.00	20950.00	USD	9,050.00	
15AUG17	S	1 PD15NOV17	19795.00	20950.00	USD	-5,775.00	
15AUG17	S	1 PD15NOV17	19985.00	20950.00	USD	-4,825.00	
	NET	+5 AVG BGHT 20015.00 SOLD 19890.00				22,125.00	0.00
16AUG17	S	1 PD16NOV17	20050.00	20947.92	USD	-4,489.60	
16AUG17	B	2 PD16NOV17	20010.00	20947.92	USD	9,379.20	
16AUG17	S	2 PD16NOV17	19970.00	20947.92	USD	-9,779.20	
16AUG17	B	2 PD16NOV17	20045.00	20947.92	USD	9,029.20	
16AUG17	S	1 PD16NOV17	20055.00	20947.92	USD	-4,464.60	
16AUG17	S	1 PD16NOV17	20035.00	20947.92	USD	-4,564.60	
	NET	-1 AVG BGHT 20027.50 SOLD 20016.00				-4,889.60	0.00
17AUG17	S	1 PD17NOV17	20280.00	20945.83	USD	-3,329.15	
17AUG17	S	2 PD17NOV17	20100.00	20945.83	USD	-8,458.30	
17AUG17	B	2 PD17NOV17	20110.00	20945.83	USD	8,358.30	
18AUG17	B	1 PD17NOV17	20200.00	20945.83	USD	3,729.15	
18AUG17	S	1 PD17NOV17	20275.00	20945.83	USD	-3,354.15	
	NET	-1 AVG BGHT 20140.00 SOLD 20188.75				-3,054.15	0.00
21AUG17	S	1 PD21NOV17	20380.00	20941.67	USD	-2,808.35	
21AUG17	S	1 PD21NOV17	20460.00	20941.67	USD	-2,408.35	
21AUG17	S	1 PD21NOV17	20470.00	20941.67	USD	-2,358.35	
	NET	-3 AVG SOLD 20436.67				-7,575.05	0.00
22AUG17	B	2 PD22NOV17	20425.00	20939.58	USD	5,145.80	
22AUG17	B	1 PD22NOV17	20390.00	20939.58	USD	2,747.90	
22AUG17	B	2 PD22NOV17	20335.00	20939.58	USD	6,045.80	
22AUG17	S	1 PD22NOV17	20335.00	20939.58	USD	-3,022.90	
	NET	+4 AVG BGHT 20382.00 SOLD 20335.00				10,916.60	0.00
23AUG17	S	1 PD24NOV17	20385.00	20937.50	USD	-2,762.50	
23AUG17	B	1 PD24NOV17	20490.00	20937.50	USD	2,237.50	
23AUG17	S	1 PD24NOV17	20490.00	20937.50	USD	-2,237.50	
24AUG17	B	1 PD24NOV17	20500.00	20937.50	USD	2,187.50	
24AUG17	B	1 PD24NOV17	20400.00	20937.50	USD	2,687.50	
24AUG17	S	2 PD24NOV17	20480.00	20937.50	USD	-4,575.00	
24AUG17	S	1 PD24NOV17	20490.00	20937.50	USD	-2,237.50	
24AUG17	B	1 PD24NOV17	20450.00	20937.50	USD	2,437.50	
24AUG17	B	1 PD24NOV17	20475.00	20937.50	USD	2,312.50	
24AUG17	S	1 PD24NOV17	20475.00	20937.50	USD	-2,312.50	
25AUG17	S	1 PD24NOV17	20490.00	20937.50	USD	-2,237.50	
25AUG17	S	1 PD24NOV17	20375.00	20937.50	USD	-2,812.50	
25AUG17	S	1 PD24NOV17	20400.00	20937.50	USD	-2,687.50	
25AUG17	B	1 PD24NOV17	20385.00	20937.50	USD	2,762.50	
25AUG17	B	1 PD24NOV17	20360.00	20937.50	USD	2,887.50	
25AUG17	B	3 PD24NOV17	20300.00	20937.50	USD	9,562.50	
25AUG17	S	1 PD24NOV17	20345.00	20937.50	USD	-2,962.50	
25AUG17	S	1 PD24NOV17	20300.00	20937.50	USD	-3,187.50	
25AUG17	S	1 PD24NOV17	20315.00	20937.50	USD	-3,112.50	
	NET	-2 AVG BGHT 20396.00 SOLD 20418.75				-4,050.00	0.00
29AUG17	B	1 PD29NOV17	20400.00	20931.25	USD	2,656.25	
29AUG17	S	1 PD29NOV17	20450.00	20931.25	USD	-2,406.25	

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	NET	0 AVG	BGHT	20400.00	SOLD	20450.00		250.00	0.00
30AUG17	S	1	PD30NOV17		20450.00	20929.17	USD	-2,395.85	
30AUG17	S	2	PD30NOV17		20500.00	20929.17	USD	-4,291.70	
30AUG17	S	1	PD30NOV17		20555.00	20929.17	USD	-1,870.85	
30AUG17	S	1	PD30NOV17		20545.00	20929.17	USD	-1,920.85	
31AUG17	B	2	PD30NOV17		20580.00	20929.17	USD	3,491.70	
31AUG17	S	2	PD30NOV17		20680.00	20929.17	USD	-2,491.70	
31AUG17	B	1	PD30NOV17		20665.00	20929.17	USD	1,320.85	
31AUG17	B	4	PD30NOV17		20650.00	20929.17	USD	5,583.40	
	NET	0 AVG	BGHT	20632.14	SOLD	20558.57		-2,575.00	0.00
01SEP17	S	2	PD01DEC17		20695.00	20927.08	USD	-2,320.80	
01SEP17	B	1	PD01DEC17		20715.00	20927.08	USD	1,060.40	
01SEP17	B	1	PD01DEC17		20690.00	20927.08	USD	1,185.40	
01SEP17	B	2	PD01DEC17		20665.00	20927.08	USD	2,620.80	
01SEP17	S	2	PD01DEC17		20675.00	20927.08	USD	-2,520.80	
01SEP17	S	2	PD01DEC17		20685.00	20927.08	USD	-2,420.80	
	NET	-2 AVG	BGHT	20683.75	SOLD	20685.00		-2,395.80	0.00
04SEP17	B	1	PD04DEC17		20690.00	20925.00	USD	1,175.00	
	NET	+1 AVG	BGHT	20690.00				1,175.00	0.00
05SEP17	B	1	PD05DEC17		20705.00	20922.92	USD	1,089.60	
05SEP17	S	1	PD05DEC17		20710.00	20922.92	USD	-1,064.60	
05SEP17	B	1	PD05DEC17		20725.00	20922.92	USD	989.60	
05SEP17	S	1	PD05DEC17		20735.00	20922.92	USD	-939.60	
05SEP17	B	1	PD05DEC17		20700.00	20922.92	USD	1,114.60	
	NET	+1 AVG	BGHT	20710.00	SOLD	20722.50		1,189.60	0.00
06SEP17	S	1	PD06DEC17		20630.00	20920.83	USD	-1,454.15	
06SEP17	S	1	PD06DEC17		20715.00	20920.83	USD	-1,029.15	
	NET	-2 AVG	SOLD	20672.50				-2,483.30	0.00
07SEP17	B	1	PD07DEC17		20680.00	20918.75	USD	1,193.75	
	NET	+1 AVG	BGHT	20680.00				1,193.75	0.00
08SEP17	S	1	PD08DEC17		20675.00	20916.67	USD	-1,208.35	
08SEP17	S	1	PD08DEC17		20665.00	20916.67	USD	-1,258.35	
08SEP17	B	1	PD08DEC17		20520.00	20916.67	USD	1,983.35	
08SEP17	S	1	PD08DEC17		20525.00	20916.67	USD	-1,958.35	
	NET	-2 AVG	BGHT	20520.00	SOLD	20621.67		-2,441.70	0.00
11SEP17	B	1	PD11DEC17		20645.00	20914.58	USD	1,347.90	
11SEP17	B	1	PD11DEC17		20630.00	20914.58	USD	1,422.90	
11SEP17	S	1	PD11DEC17		20645.00	20914.58	USD	-1,347.90	
	NET	+1 AVG	BGHT	20637.50	SOLD	20645.00		1,422.90	0.00
12SEP17	B	1	PD12DEC17		20720.00	20912.50	USD	962.50	
	NET	+1 AVG	BGHT	20720.00				962.50	0.00
13SEP17	S	1	PD13DEC17		20680.00	20910.42	USD	-1,152.10	
13SEP17	B	1	PD13DEC17		20595.00	20910.42	USD	1,577.10	
13SEP17	S	1	PD13DEC17		20590.00	20910.42	USD	-1,602.10	
	NET	-1 AVG	BGHT	20595.00	SOLD	20635.00		-1,177.10	0.00
14SEP17	B	1	PD14DEC17		20575.00	20908.33	USD	1,666.65	
14SEP17	S	1	PD14DEC17		20595.00	20908.33	USD	-1,566.65	
	NET	0 AVG	BGHT	20575.00	SOLD	20595.00		100.00	0.00
15SEP17	S	2	PD15DEC17		20530.00	20906.25	USD	-3,762.50	
15SEP17	S	3	PD15DEC17		20520.00	20906.25	USD	-5,793.75	
15SEP17	B	4	PD15DEC17		20505.00	20906.25	USD	8,025.00	
	NET	-1 AVG	BGHT	20505.00	SOLD	20524.00		-1,531.25	0.00
18SEP17	B	1	PD18DEC17		20675.00	20904.17	USD	1,145.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET +1 AVG BGHT 20675.00				1,145.85	0.00
19SEP17	S 1 PD19DEC17	20645.00	20902.08	USD	-1,285.40	
19SEP17	B 1 PD19DEC17	20700.00	20902.08	USD	1,010.40	
	NET 0 AVG BGHT 20700.00 SOLD 20645.00				-275.00	0.00
20SEP17	B 1 PD20DEC17	20740.00	20900.00	USD	800.00	
20SEP17	B 1 PD20DEC17	20665.00	20900.00	USD	1,175.00	
20SEP17	S 1 PD20DEC17	20685.00	20900.00	USD	-1,075.00	
	NET +1 AVG BGHT 20702.50 SOLD 20685.00				900.00	0.00
21SEP17	B 1 PD21DEC17	20470.00	20897.69	USD	2,138.45	
21SEP17	S 3 PD21DEC17	20450.00	20897.69	USD	-6,715.35	
21SEP17	S 2 PD21DEC17	20410.00	20897.69	USD	-4,876.90	
21SEP17	S 2 PD21DEC17	20400.00	20897.69	USD	-4,976.90	
21SEP17	B 1 PD21DEC17	20410.00	20897.69	USD	2,438.45	
21SEP17	B 1 PD21DEC17	20400.00	20897.69	USD	2,488.45	
21SEP17	S 1 PD21DEC17	20415.00	20897.69	USD	-2,413.45	
	NET -5 AVG BGHT 20426.67 SOLD 20423.13				-11,917.25	0.00
22SEP17	B 1 PD22DEC17	20360.00	20895.38	USD	2,676.90	
22SEP17	S 2 PD22DEC17	20455.00	20895.38	USD	-4,403.80	
22SEP17	B 1 PD22DEC17	20405.00	20895.38	USD	2,451.90	
22SEP17	S 1 PD22DEC17	20550.00	20895.38	USD	-1,726.90	
22SEP17	B 1 PD22DEC17	20550.00	20895.38	USD	1,726.90	
22SEP17	B 1 PD22DEC17	20575.00	20895.38	USD	1,601.90	
	NET +1 AVG BGHT 20472.50 SOLD 20486.67				2,326.90	0.00
27SEP17	B 2 PD27DEC17	20700.00	20893.08	USD	1,930.80	
	NET +2 AVG BGHT 20700.00				1,930.80	0.00
03OCT17	B 2 PD03JAN18	20700.00	20883.85	USD	1,838.50	
	NET +2 AVG BGHT 20700.00				1,838.50	0.00
09OCT17	S 1 PD09JAN18	20800.00	20874.62	USD	-373.10	
09OCT17	B 2 PD09JAN18	20715.00	20874.62	USD	1,596.20	
09OCT17	S 1 PD09JAN18	20765.00	20874.62	USD	-548.10	
	NET 0 AVG BGHT 20715.00 SOLD 20782.50				675.00	0.00
10OCT17	S 1 PD10JAN18	20850.00	20872.31	USD	-111.55	
10OCT17	B 4 PD10JAN18	20750.00	20872.31	USD	2,446.20	
10OCT17	S 2 PD10JAN18	20795.00	20872.31	USD	-773.10	
10OCT17	S 1 PD10JAN18	20835.00	20872.31	USD	-186.55	
	NET 0 AVG BGHT 20750.00 SOLD 20818.75				1,375.00	0.00
11OCT17	B 2 PD11JAN18	20740.00	20870.00	USD	1,300.00	
11OCT17	B 1 PD11JAN18	20760.00	20870.00	USD	550.00	
11OCT17	B 1 PD11JAN18	20770.00	20870.00	USD	500.00	
11OCT17	S 1 PD11JAN18	20720.00	20870.00	USD	-750.00	
11OCT17	S 1 PD11JAN18	20750.00	20870.00	USD	-600.00	
11OCT17	S 1 PD11JAN18	20790.00	20870.00	USD	-400.00	
11OCT17	S 2 PD11JAN18	20825.00	20870.00	USD	-450.00	
11OCT17	B 2 PD11JAN18	20815.00	20870.00	USD	550.00	
11OCT17	S 1 PD11JAN18	20775.00	20870.00	USD	-475.00	
	NET 0 AVG BGHT 20773.33 SOLD 20780.83				225.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2830.00	3299.33	USD	-11,733.25	
08AUG17	B 1 PD12OCT17	2923.46	3299.33	USD	9,396.75	
	NET 0 AVG BGHT 2923.46 SOLD 2830.00				-2,336.50	0.00
13JUL17	B 1 PD13OCT17	2805.50	3295.00	USD	12,237.50	
14JUL17	B 1 PD13OCT17	2778.50	3295.00	USD	12,912.50	
14JUL17	B 2 PD13OCT17	2786.00	3295.00	USD	25,450.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	4	PD130CT17	2923.43	3295.00	USD	-37,157.00	
	NET	0 AVG BGHT 2789.00	SOLD 2923.43				13,443.00	0.00
17JUL17	S	1	PD170CT17	2806.00	3286.33	USD	-12,008.25	
17JUL17	S	1	PD170CT17	2838.00	3286.33	USD	-11,208.25	
17JUL17	S	1	PD170CT17	2818.00	3286.33	USD	-11,708.25	
08AUG17	B	3	PD170CT17	2924.33	3286.33	USD	27,150.00	
	NET	0 AVG BGHT 2924.33	SOLD 2820.67				-7,774.75	0.00
18JUL17	B	1	PD180CT17	2798.50	3282.00	USD	12,087.50	
18JUL17	B	1	PD180CT17	2796.50	3282.00	USD	12,137.50	
18JUL17	S	1	PD180CT17	2784.00	3282.00	USD	-12,450.00	
08AUG17	S	1	PD180CT17	2924.30	3282.00	USD	-8,942.50	
	NET	0 AVG BGHT 2797.50	SOLD 2854.15				2,832.50	0.00
19JUL17	S	1	PD190CT17	2748.50	3277.50	USD	-13,225.00	
19JUL17	B	1	PD190CT17	2739.50	3277.50	USD	13,450.00	
	NET	0 AVG BGHT 2739.50	SOLD 2748.50				225.00	0.00
20JUL17	B	1	PD200CT17	2737.50	3273.00	USD	13,387.50	
20JUL17	S	1	PD200CT17	2727.00	3273.00	USD	-13,650.00	
20JUL17	S	1	PD200CT17	2727.50	3273.00	USD	-13,637.50	
20JUL17	B	1	PD200CT17	2720.00	3273.00	USD	13,825.00	
20JUL17	B	1	PD200CT17	2721.00	3273.00	USD	13,800.00	
20JUL17	B	1	PD200CT17	2726.00	3273.00	USD	13,675.00	
20JUL17	B	1	PD200CT17	2724.00	3273.00	USD	13,725.00	
20JUL17	S	1	PD200CT17	2721.00	3273.00	USD	-13,800.00	
21JUL17	S	1	PD200CT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	B	1	PD200CT17	2748.50	3273.00	USD	13,112.50	
08AUG17	S	2	PD200CT17	2922.80	3273.00	USD	-17,510.00	
	NET	0 AVG BGHT 2729.50	SOLD 2798.85				10,402.50	0.00
24JUL17	S	1	PD240CT17	2779.00	3267.00	USD	-12,200.00	
24JUL17	B	1	PD240CT17	2776.50	3267.00	USD	12,262.50	
	NET	0 AVG BGHT 2776.50	SOLD 2779.00				62.50	0.00
25JUL17	S	1	PD250CT17	2805.00	3264.00	USD	-11,475.00	
25JUL17	B	1	PD250CT17	2833.50	3264.00	USD	10,762.50	
25JUL17	B	1	PD250CT17	2834.00	3264.00	USD	10,750.00	
25JUL17	S	1	PD250CT17	2842.00	3264.00	USD	-10,550.00	
25JUL17	S	1	PD250CT17	2842.50	3264.00	USD	-10,537.50	
08AUG17	B	1	PD250CT17	2924.00	3264.00	USD	8,500.00	
	NET	0 AVG BGHT 2863.83	SOLD 2829.83				-2,550.00	0.00
26JUL17	B	1	PD260CT17	2834.50	3262.33	USD	10,695.75	
26JUL17	S	1	PD260CT17	2817.00	3262.33	USD	-11,133.25	
26JUL17	B	1	PD260CT17	2825.00	3262.33	USD	10,933.25	
26JUL17	S	1	PD260CT17	2822.00	3262.33	USD	-11,008.25	
26JUL17	B	2	PD260CT17	2820.00	3262.33	USD	22,116.50	
08AUG17	S	2	PD260CT17	2923.80	3262.33	USD	-16,926.50	
	NET	0 AVG BGHT 2824.88	SOLD 2871.65				4,677.50	0.00
31JUL17	S	1	PD310CT17	2804.50	3257.33	USD	-11,320.75	
31JUL17	B	1	PD310CT17	2795.50	3257.33	USD	11,545.75	
	NET	0 AVG BGHT 2795.50	SOLD 2804.50				225.00	0.00
03AUG17	S	1	PD03NOV17	2836.50	3252.89	USD	-10,409.75	
03AUG17	B	1	PD03NOV17	2793.50	3252.89	USD	11,484.75	
04AUG17	S	1	PD03NOV17	2827.00	3252.89	USD	-10,647.25	
04AUG17	B	1	PD03NOV17	2816.00	3252.89	USD	10,922.25	
	NET	0 AVG BGHT 2804.75	SOLD 2831.75				1,350.00	0.00
07AUG17	S	1	PD07NOV17	2871.50	3250.67	USD	-9,479.25	
07AUG17	S	1	PD07NOV17	2873.50	3250.67	USD	-9,429.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2872.50	3250.67	USD	-9,454.25	
07AUG17	B	1	PD07NOV17	2861.00	3250.67	USD	9,741.75	
07AUG17	S	1	PD07NOV17	2868.50	3250.67	USD	-9,554.25	
07AUG17	B	1	PD07NOV17	2868.00	3250.67	USD	9,566.75	
	NET	-2 AVG BGHT 2864.50	SOLD 2871.50				-18,608.50	0.00
08AUG17	S	1	PD08NOV17	2874.00	3249.56	USD	-9,389.00	
08AUG17	S	1	PD08NOV17	2918.00	3249.56	USD	-8,289.00	
08AUG17	B	1	PD08NOV17	2935.50	3249.56	USD	7,851.50	
	NET	-1 AVG BGHT 2935.50	SOLD 2896.00				-9,826.50	0.00
09AUG17	S	1	PD09NOV17	2974.50	3248.44	USD	-6,848.50	
09AUG17	S	1	PD09NOV17	2972.50	3248.44	USD	-6,898.50	
09AUG17	B	1	PD09NOV17	2932.00	3248.44	USD	7,911.00	
09AUG17	B	1	PD09NOV17	2934.50	3248.44	USD	7,848.50	
09AUG17	S	1	PD09NOV17	2932.00	3248.44	USD	-7,911.00	
	NET	-1 AVG BGHT 2933.25	SOLD 2959.67				-5,898.50	0.00
11AUG17	S	1	PD10NOV17	2910.00	3247.33	USD	-8,433.25	
	NET	-1 AVG SOLD 2910.00					-8,433.25	0.00
15AUG17	B	1	PD15NOV17	2937.00	3244.00	USD	7,675.00	
	NET	+1 AVG BGHT 2937.00					7,675.00	0.00
16AUG17	S	1	PD16NOV17	3007.50	3241.50	USD	-5,850.00	
16AUG17	B	1	PD16NOV17	3081.00	3241.50	USD	4,012.50	
16AUG17	B	1	PD16NOV17	3120.00	3241.50	USD	3,037.50	
16AUG17	S	1	PD16NOV17	3115.50	3241.50	USD	-3,150.00	
	NET	0 AVG BGHT 3100.50	SOLD 3061.50				-1,950.00	0.00
17AUG17	B	1	PD17NOV17	3139.50	3239.00	USD	2,487.50	
17AUG17	S	1	PD17NOV17	3139.50	3239.00	USD	-2,487.50	
17AUG17	S	1	PD17NOV17	3088.50	3239.00	USD	-3,762.50	
18AUG17	B	2	PD17NOV17	3109.00	3239.00	USD	6,500.00	
18AUG17	B	1	PD17NOV17	3135.00	3239.00	USD	2,600.00	
18AUG17	B	2	PD17NOV17	3142.00	3239.00	USD	4,850.00	
18AUG17	S	3	PD17NOV17	3147.00	3239.00	USD	-6,900.00	
18AUG17	B	3	PD17NOV17	3123.00	3239.00	USD	8,700.00	
	NET	+4 AVG BGHT 3127.28	SOLD 3133.80				11,987.50	0.00
22AUG17	S	1	PD22NOV17	3101.00	3236.00	USD	-3,375.00	
22AUG17	B	1	PD22NOV17	3126.50	3236.00	USD	2,737.50	
22AUG17	B	1	PD22NOV17	3130.50	3236.00	USD	2,637.50	
22AUG17	S	1	PD22NOV17	3119.00	3236.00	USD	-2,925.00	
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
	NET	-1 AVG BGHT 3128.50	SOLD 3113.33				-3,825.00	0.00
23AUG17	B	1	PD24NOV17	3094.00	3235.00	USD	3,525.00	
24AUG17	B	1	PD24NOV17	3126.50	3235.00	USD	2,712.50	
24AUG17	B	1	PD24NOV17	3127.00	3235.00	USD	2,700.00	
24AUG17	S	1	PD24NOV17	3134.00	3235.00	USD	-2,525.00	
24AUG17	S	1	PD24NOV17	3125.00	3235.00	USD	-2,750.00	
25AUG17	S	1	PD24NOV17	3063.50	3235.00	USD	-4,287.50	
25AUG17	S	1	PD24NOV17	3064.00	3235.00	USD	-4,275.00	
	NET	-1 AVG BGHT 3115.83	SOLD 3096.63				-4,900.00	0.00
29AUG17	B	1	PD29NOV17	3119.00	3234.00	USD	2,875.00	
	NET	+1 AVG BGHT 3119.00					2,875.00	0.00
30AUG17	S	1	PD30NOV17	3150.00	3233.67	USD	-2,091.75	
30AUG17	B	1	PD30NOV17	3100.50	3233.67	USD	3,329.25	
30AUG17	B	1	PD30NOV17	3095.50	3233.67	USD	3,454.25	
31AUG17	S	1	PD30NOV17	3139.00	3233.67	USD	-2,366.75	
	NET	0 AVG BGHT 3098.00	SOLD 3144.50				2,325.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
01SEP17	B 1 PD01DEC17	3165.00	3233.33	USD	1,708.25	
01SEP17	S 1 PD01DEC17	3183.00	3233.33	USD	-1,258.25	
01SEP17	B 1 PD01DEC17	3196.50	3233.33	USD	920.75	
	NET +1AVG BGHT 3180.75 SOLD 3183.00				1,370.75	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,342.00
LME Copper Grade A 25	FUTURES	700.00
LME Lead 25 tonnes. This is an	FUTURES	9,645.00
LME Nickel 6 tonnes. This is	FUTURES	-15,778.26
LME Tin 5 tonnes. This is an	FUTURES	9,277.55
LME Zinc 25 tonnes. This is	FUTURES	-5,393.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,257.00	1,257.00		
COPL - Futures USD	700.00	700.00		
LEAD - Futures USD	9,517.50	9,517.50		
NKL - Futures USD	-15,779.46	-15,779.46		
TIN - Futures USD	9,234.75	9,234.75		
ZINC - Futures USD	-6,651.75	-6,651.75	-1,721.96	-1,721.96

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-30,191.11	-30,191.11
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-30,191.11	-30,191.11
Initial Margins	-19,434.96	-19,434.96
Excess/(Shortage)	-49,626.07	-49,626.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-1,721.96	-1,721.96
Net Liquidating Value	-31,913.07	-31,913.07

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
01AUG17	S	1	PD01NOV17	1909.00	2110.81	USD	-5,045.25	
01AUG17	B	1	PD01NOV17	1907.00	2110.81	USD	5,095.25	
	NET	0 AVG	BGHT 1907.00	SOLD 1909.00			50.00	0.00
04AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
04AUG17	S	1	PD03NOV17	1913.50	2111.44	USD	-4,948.50	
	NET	0 AVG	BGHT 1913.00	SOLD 1913.50			12.50	0.00
08AUG17	B	1	PD08NOV17	2026.00	2113.00	USD	2,175.00	
	NET	+1 AVG	BGHT 2026.00				2,175.00	0.00
09AUG17	B	1	PD09NOV17	2034.50	2113.46	USD	1,974.00	
09AUG17	S	1	PD09NOV17	2028.00	2113.46	USD	-2,136.50	
	NET	0 AVG	BGHT 2034.50	SOLD 2028.00			-162.50	0.00
10AUG17	B	1	PD10NOV17	2025.00	2113.93	USD	2,223.25	
10AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
10AUG17	B	2	PD10NOV17	2035.50	2113.93	USD	3,921.50	
10AUG17	S	2	PD10NOV17	2038.50	2113.93	USD	-3,771.50	
11AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
	NET	-1 AVG	BGHT 2032.00	SOLD 2034.75			-1,773.25	0.00
15AUG17	B	1	PD15NOV17	2030.00	2116.25	USD	2,156.25	
15AUG17	B	1	PD15NOV17	2035.00	2116.25	USD	2,031.25	
15AUG17	S	1	PD15NOV17	2039.00	2116.25	USD	-1,931.25	
15AUG17	S	1	PD15NOV17	2041.00	2116.25	USD	-1,881.25	
15AUG17	B	1	PD15NOV17	2046.00	2116.25	USD	1,756.25	
15AUG17	B	1	PD15NOV17	2042.00	2116.25	USD	1,856.25	
15AUG17	S	1	PD15NOV17	2049.50	2116.25	USD	-1,668.75	
	NET	+1 AVG	BGHT 2038.25	SOLD 2043.17			2,318.75	0.00
16AUG17	S	1	PD16NOV17	2059.00	2116.56	USD	-1,439.00	
16AUG17	B	1	PD16NOV17	2064.00	2116.56	USD	1,314.00	
16AUG17	B	1	PD16NOV17	2065.00	2116.56	USD	1,289.00	
16AUG17	B	1	PD16NOV17	2084.00	2116.56	USD	814.00	
	NET	+2 AVG	BGHT 2071.00	SOLD 2059.00			1,978.00	0.00
17AUG17	S	1	PD17NOV17	2077.50	2116.87	USD	-984.25	
	NET	-1 AVG	SOLD 2077.50				-984.25	0.00
21AUG17	S	1	PD21NOV17	2077.00	2118.10	USD	-1,027.50	
	NET	-1 AVG	SOLD 2077.00				-1,027.50	0.00
22AUG17	S	1	PD22NOV17	2071.50	2118.41	USD	-1,172.75	
22AUG17	S	1	PD22NOV17	2076.00	2118.41	USD	-1,060.25	
22AUG17	B	3	PD22NOV17	2076.00	2118.41	USD	3,180.75	
22AUG17	B	1	PD22NOV17	2082.00	2118.41	USD	910.25	
	NET	+2 AVG	BGHT 2077.50	SOLD 2073.75			1,858.00	0.00
23AUG17	S	1	PD24NOV17	2076.50	2119.03	USD	-1,063.25	
23AUG17	S	1	PD24NOV17	2072.00	2119.03	USD	-1,175.75	
23AUG17	S	1	PD24NOV17	2078.00	2119.03	USD	-1,025.75	
25AUG17	B	1	PD24NOV17	2070.00	2119.03	USD	1,225.75	
	NET	-2 AVG	BGHT 2070.00	SOLD 2075.50			-2,039.00	0.00
29AUG17	B	1	PD29NOV17	2081.00	2120.57	USD	989.25	
	NET	+1 AVG	BGHT 2081.00				989.25	0.00
30AUG17	S	1	PD30NOV17	2087.00	2120.88	USD	-847.00	
30AUG17	S	1	PD30NOV17	2091.50	2120.88	USD	-734.50	
31AUG17	B	1	PD30NOV17	2126.00	2120.88	USD	-128.00	
31AUG17	S	1	PD30NOV17	2118.00	2120.88	USD	-72.00	
	NET	-2 AVG	BGHT 2126.00	SOLD 2098.83			-1,781.50	0.00
11OCT17	B	1	PD11JAN18	2132.50	2130.00	USD	-62.50	
11OCT17	B	1	PD11JAN18	2133.00	2130.00	USD	-75.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
11OCT17	B 1 PD11JAN18	2144.00	2130.00	USD	-350.00	
11OCT17	B 1 PD11JAN18	2155.00	2130.00	USD	-625.00	
11OCT17	S 1 PD11JAN18	2137.50	2130.00	USD	187.50	
11OCT17	S 1 PD11JAN18	2142.50	2130.00	USD	312.50	
11OCT17	S 1 PD11JAN18	2143.50	2130.00	USD	337.50	
	NET +1AVG BGHT 2141.13 SOLD 2141.17				-275.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	B 1 PD20OCT17	6001.50	6761.75	USD	19,006.25	
21JUL17	S 1 PD20OCT17	6014.00	6761.75	USD	-18,693.75	
21JUL17	S 1 PD20OCT17	6032.00	6761.75	USD	-18,243.75	
21JUL17	B 1 PD20OCT17	6034.00	6761.75	USD	18,193.75	
21JUL17	S 1 PD20OCT17	6039.50	6761.75	USD	-18,056.25	
21JUL17	S 1 PD20OCT17	6048.00	6761.75	USD	-17,843.75	
21JUL17	B 1 PD20OCT17	6033.00	6761.75	USD	18,218.75	
21JUL17	B 1 PD20OCT17	6031.00	6761.75	USD	18,268.75	
	NET 0AVG BGHT 6024.88 SOLD 6033.38				850.00	0.00
24JUL17	S 1 PD24OCT17	6022.00	6765.25	USD	-18,581.25	
24JUL17	B 1 PD24OCT17	6016.00	6765.25	USD	18,731.25	
	NET 0AVG BGHT 6016.00 SOLD 6022.00				150.00	0.00
25JUL17	S 1 PD25OCT17	6155.50	6765.68	USD	-15,254.50	
25JUL17	S 1 PD25OCT17	6158.00	6765.68	USD	-15,192.00	
25JUL17	S 1 PD25OCT17	6178.00	6765.68	USD	-14,692.00	
25JUL17	S 1 PD25OCT17	6184.50	6765.68	USD	-14,529.50	
25JUL17	B 1 PD25OCT17	6221.50	6765.68	USD	13,604.50	
25JUL17	B 1 PD25OCT17	6201.50	6765.68	USD	14,104.50	
25JUL17	S 1 PD25OCT17	6240.00	6765.68	USD	-13,142.00	
25JUL17	S 1 PD25OCT17	6210.00	6765.68	USD	-13,892.00	
08AUG17	B 4 PD25OCT17	6474.46	6765.68	USD	29,122.00	
	NET 0AVG BGHT 6386.81 SOLD 6187.67				-29,871.00	0.00
26JUL17	B 1 PD26OCT17	6325.00	6766.11	USD	11,027.75	
26JUL17	B 1 PD26OCT17	6347.00	6766.11	USD	10,477.75	
26JUL17	B 1 PD26OCT17	6350.00	6766.11	USD	10,402.75	
26JUL17	B 2 PD26OCT17	6370.00	6766.11	USD	19,805.50	
26JUL17	B 1 PD26OCT17	6399.00	6766.11	USD	9,177.75	
26JUL17	B 1 PD26OCT17	6399.50	6766.11	USD	9,165.25	
26JUL17	B 1 PD26OCT17	6400.00	6766.11	USD	9,152.75	
26JUL17	S 4 PD26OCT17	6390.00	6766.11	USD	-37,611.00	
26JUL17	S 1 PD26OCT17	6330.00	6766.11	USD	-10,902.75	
08AUG17	S 3 PD26OCT17	6474.66	6766.11	USD	-21,858.75	
	NET 0AVG BGHT 6370.06 SOLD 6414.25				8,837.00	0.00
27JUL17	S 1 PD27OCT17	6370.00	6766.54	USD	-9,913.50	
27JUL17	B 1 PD27OCT17	6352.00	6766.54	USD	10,363.50	
	NET 0AVG BGHT 6352.00 SOLD 6370.00				450.00	0.00
02AUG17	B 1 PD02NOV17	6330.00	6770.10	USD	11,002.50	
08AUG17	S 1 PD02NOV17	6477.43	6770.10	USD	-7,316.75	
	NET 0AVG BGHT 6330.00 SOLD 6477.43				3,685.75	0.00
12SEP17	B 1 PD12DEC17	6659.50	6789.63	USD	3,253.25	
12SEP17	S 1 PD12DEC17	6650.00	6789.63	USD	-3,490.75	
12SEP17	B 1 PD12DEC17	6644.00	6789.63	USD	3,640.75	
12SEP17	B 1 PD12DEC17	6650.50	6789.63	USD	3,478.25	
12SEP17	S 1 PD12DEC17	6653.00	6789.63	USD	-3,415.75	
12SEP17	S 1 PD12DEC17	6658.00	6789.63	USD	-3,290.75	
	NET 0AVG BGHT 6651.33 SOLD 6653.67				175.00	0.00
13SEP17	B 1 PD13DEC17	6550.50	6790.10	USD	5,990.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13SEP17	S 1 PD13DEC17	6567.00	6790.10	USD	-5,577.50	
	NET 0 AVG BGHT 6550.50 SOLD 6567.00				412.50	0.00
20SEP17	B 1 PD20DEC17	6548.00	6792.50	USD	6,112.50	
20SEP17	S 1 PD20DEC17	6547.00	6792.50	USD	-6,137.50	
	NET 0 AVG BGHT 6548.00 SOLD 6547.00				-25.00	0.00
26SEP17	B 1 PD27DEC17	6454.00	6793.00	USD	8,475.00	
26SEP17	S 1 PD27DEC17	6455.00	6793.00	USD	-8,450.00	
	NET 0 AVG BGHT 6454.00 SOLD 6455.00				25.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	2320.50	2552.35	USD	5,796.25	
12JUL17	S 1 PD12OCT17	2320.00	2552.35	USD	-5,808.75	
	NET 0 AVG BGHT 2320.50 SOLD 2320.00				-12.50	0.00
13JUL17	B 1 PD13OCT17	2327.00	2552.75	USD	5,643.75	
14JUL17	S 1 PD13OCT17	2282.50	2552.75	USD	-6,756.25	
	NET 0 AVG BGHT 2327.00 SOLD 2282.50				-1,112.50	0.00
17JUL17	B 1 PD17OCT17	2325.00	2554.35	USD	5,733.75	
17JUL17	B 1 PD17OCT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B 1 PD17OCT17	2328.50	2554.35	USD	5,646.25	
17JUL17	B 1 PD17OCT17	2320.50	2554.35	USD	5,846.25	
17JUL17	S 1 PD17OCT17	2317.00	2554.35	USD	-5,933.75	
08AUG17	S 3 PD17OCT17	2378.96	2554.35	USD	-13,154.25	
	NET 0 AVG BGHT 2324.63 SOLD 2363.47				3,884.50	0.00
18JUL17	S 1 PD18OCT17	2286.00	2554.75	USD	-6,718.75	
18JUL17	B 1 PD18OCT17	2267.50	2554.75	USD	7,181.25	
	NET 0 AVG BGHT 2267.50 SOLD 2286.00				462.50	0.00
20JUL17	S 1 PD20OCT17	2213.00	2555.04	USD	-8,551.00	
20JUL17	S 1 PD20OCT17	2217.00	2555.04	USD	-8,451.00	
21JUL17	S 1 PD20OCT17	2247.00	2555.04	USD	-7,701.00	
21JUL17	B 1 PD20OCT17	2240.00	2555.04	USD	7,876.00	
21JUL17	S 1 PD20OCT17	2238.50	2555.04	USD	-7,913.50	
08AUG17	B 3 PD20OCT17	2378.95	2555.04	USD	13,206.75	
	NET 0 AVG BGHT 2344.21 SOLD 2228.88				-11,533.75	0.00
24JUL17	B 1 PD24OCT17	2252.50	2555.61	USD	7,577.75	
08AUG17	S 1 PD24OCT17	2380.35	2555.61	USD	-4,381.50	
	NET 0 AVG BGHT 2252.50 SOLD 2380.35				3,196.25	0.00
25JUL17	B 1 PD25OCT17	2299.50	2555.75	USD	6,406.25	
25JUL17	S 1 PD25OCT17	2294.50	2555.75	USD	-6,531.25	
25JUL17	S 1 PD25OCT17	2298.50	2555.75	USD	-6,431.25	
08AUG17	B 1 PD25OCT17	2380.95	2555.75	USD	4,370.00	
	NET 0 AVG BGHT 2340.23 SOLD 2296.50				-2,186.25	0.00
27JUL17	S 1 PD27OCT17	2314.00	2556.04	USD	-6,051.00	
08AUG17	B 1 PD27OCT17	2381.10	2556.04	USD	4,373.50	
	NET 0 AVG BGHT 2381.10 SOLD 2314.00				-1,677.50	0.00
31JUL17	B 1 PD31OCT17	2329.50	2556.61	USD	5,677.75	
08AUG17	S 1 PD31OCT17	2381.59	2556.61	USD	-4,375.50	
	NET 0 AVG BGHT 2329.50 SOLD 2381.59				1,302.25	0.00
01AUG17	S 1 PD01NOV17	2336.00	2556.75	USD	-5,518.75	
01AUG17	B 1 PD01NOV17	2338.00	2556.75	USD	5,468.75	
	NET 0 AVG BGHT 2338.00 SOLD 2336.00				-50.00	0.00
07AUG17	B 1 PD07NOV17	2382.00	2557.61	USD	4,390.25	
07AUG17	B 1 PD07NOV17	2384.00	2557.61	USD	4,340.25	
	NET +2 AVG BGHT 2383.00				8,730.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	B 1 PD09NOV17	2402.50	2557.89	USD	3,884.75	
	NET +1AVG BGHT 2402.50				3,884.75	0.00
11AUG17	B 1 PD10NOV17	2341.00	2558.04	USD	5,426.00	
11AUG17	B 1 PD10NOV17	2346.50	2558.04	USD	5,288.50	
11AUG17	S 1 PD10NOV17	2341.00	2558.04	USD	-5,426.00	
11AUG17	B 1 PD10NOV17	2330.00	2558.04	USD	5,701.00	
	NET +2AVG BGHT 2339.17 SOLD 2341.00				10,989.50	0.00
14AUG17	S 1 PD14NOV17	2331.00	2558.61	USD	-5,690.25	
14AUG17	S 1 PD14NOV17	2332.00	2558.61	USD	-5,665.25	
	NET -2AVG SOLD 2331.50				-11,355.50	0.00
16AUG17	B 1 PD16NOV17	2472.00	2558.75	USD	2,168.75	
16AUG17	S 1 PD16NOV17	2472.00	2558.75	USD	-2,168.75	
16AUG17	S 1 PD16NOV17	2479.00	2558.75	USD	-1,993.75	
	NET -1AVG BGHT 2472.00 SOLD 2475.50				-1,993.75	0.00
17AUG17	B 1 PD17NOV17	2416.00	2558.75	USD	3,568.75	
18AUG17	S 1 PD17NOV17	2416.00	2558.75	USD	-3,568.75	
18AUG17	S 1 PD17NOV17	2420.50	2558.75	USD	-3,456.25	
18AUG17	S 1 PD17NOV17	2370.50	2558.75	USD	-4,706.25	
	NET -2AVG BGHT 2416.00 SOLD 2402.33				-8,162.50	0.00
21AUG17	B 1 PD21NOV17	2375.00	2559.32	USD	4,608.00	
	NET +1AVG BGHT 2375.00				4,608.00	0.00
22AUG17	S 1 PD22NOV17	2358.00	2559.46	USD	-5,036.50	
22AUG17	B 1 PD22NOV17	2354.00	2559.46	USD	5,136.50	
22AUG17	S 1 PD22NOV17	2355.00	2559.46	USD	-5,111.50	
22AUG17	S 1 PD22NOV17	2390.00	2559.46	USD	-4,236.50	
22AUG17	S 1 PD22NOV17	2409.00	2559.46	USD	-3,761.50	
22AUG17	B 1 PD22NOV17	2395.00	2559.46	USD	4,111.50	
	NET -2AVG BGHT 2374.50 SOLD 2378.00				-8,898.00	0.00
23AUG17	B 1 PD24NOV17	2392.50	2559.75	USD	4,181.25	
23AUG17	S 1 PD24NOV17	2407.50	2559.75	USD	-3,806.25	
23AUG17	B 1 PD24NOV17	2400.50	2559.75	USD	3,981.25	
25AUG17	B 1 PD24NOV17	2335.00	2559.75	USD	5,618.75	
25AUG17	S 1 PD24NOV17	2335.00	2559.75	USD	-5,618.75	
25AUG17	B 1 PD24NOV17	2328.50	2559.75	USD	5,781.25	
	NET +2AVG BGHT 2364.13 SOLD 2371.25				10,137.50	0.00
29AUG17	S 1 PD29NOV17	2388.00	2560.20	USD	-4,305.00	
	NET -1AVG SOLD 2388.00				-4,305.00	0.00
30AUG17	B 1 PD30NOV17	2400.00	2560.30	USD	4,007.50	
30AUG17	S 1 PD30NOV17	2366.00	2560.30	USD	-4,857.50	
31AUG17	B 1 PD30NOV17	2390.00	2560.30	USD	4,257.50	
	NET +1AVG BGHT 2395.00 SOLD 2366.00				3,407.50	0.00
01SEP17	S 1 PD01DEC17	2398.00	2560.39	USD	-4,059.75	
	NET -1AVG SOLD 2398.00				-4,059.75	0.00
06OCT17	B 1 PD05JAN18	2545.00	2564.40	USD	485.00	
06OCT17	S 1 PD05JAN18	2551.00	2564.40	USD	-335.00	
06OCT17	B 1 PD05JAN18	2526.50	2564.40	USD	947.50	
06OCT17	S 1 PD05JAN18	2525.50	2564.40	USD	-972.50	
	NET 0AVG BGHT 2535.75 SOLD 2538.25				125.00	0.00
11OCT17	B 1 PD11JAN18	2554.00	2565.00	USD	275.00	
11OCT17	S 1 PD11JAN18	2545.00	2565.00	USD	-500.00	
11OCT17	S 1 PD11JAN18	2554.00	2565.00	USD	-275.00	
	NET -1AVG BGHT 2554.00 SOLD 2549.50				-500.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>					

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	9650.00	11064.20	USD	-8,485.20	
17JUL17	B	1	PD17OCT17	9640.00	11064.20	USD	8,545.20	
	NET	0 AVG	BGHT 9640.00	SOLD 9650.00			60.00	0.00
18JUL17	S	1	PD18OCT17	9650.00	11065.00	USD	-8,490.00	
18JUL17	B	1	PD18OCT17	9625.00	11065.00	USD	8,640.00	
18JUL17	S	1	PD18OCT17	9760.00	11065.00	USD	-7,830.00	
18JUL17	B	1	PD18OCT17	9750.00	11065.00	USD	7,890.00	
18JUL17	B	1	PD18OCT17	9740.00	11065.00	USD	7,950.00	
18JUL17	S	1	PD18OCT17	9735.00	11065.00	USD	-7,980.00	
	NET	0 AVG	BGHT 9705.00	SOLD 9715.00			180.00	0.00
19JUL17	S	1	PD19OCT17	9745.00	11065.96	USD	-7,925.76	
19JUL17	B	1	PD19OCT17	9730.00	11065.96	USD	8,015.76	
19JUL17	B	1	PD19OCT17	9740.00	11065.96	USD	7,955.76	
19JUL17	S	1	PD19OCT17	9765.00	11065.96	USD	-7,805.76	
	NET	0 AVG	BGHT 9735.00	SOLD 9755.00			240.00	0.00
21JUL17	S	1	PD20OCT17	9585.00	11066.93	USD	-8,891.58	
21JUL17	S	1	PD20OCT17	9620.00	11066.93	USD	-8,681.58	
21JUL17	S	1	PD20OCT17	9630.00	11066.93	USD	-8,621.58	
21JUL17	S	1	PD20OCT17	9645.00	11066.93	USD	-8,531.58	
21JUL17	B	1	PD20OCT17	9640.00	11066.93	USD	8,561.58	
21JUL17	B	1	PD20OCT17	9610.00	11066.93	USD	8,741.58	
21JUL17	B	1	PD20OCT17	9555.00	11066.93	USD	9,071.58	
21JUL17	B	1	PD20OCT17	9520.00	11066.93	USD	9,281.58	
	NET	0 AVG	BGHT 9581.25	SOLD 9620.00			930.00	0.00
24JUL17	S	1	PD24OCT17	9725.00	11070.79	USD	-8,074.74	
24JUL17	S	2	PD24OCT17	9760.00	11070.79	USD	-15,729.48	
24JUL17	S	1	PD24OCT17	9775.00	11070.79	USD	-7,774.74	
24JUL17	B	1	PD24OCT17	9750.00	11070.79	USD	7,924.74	
24JUL17	B	1	PD24OCT17	9760.00	11070.79	USD	7,864.74	
08AUG17	B	2	PD24OCT17	10623.93	11070.79	USD	5,362.32	
	NET	0 AVG	BGHT 10189.47	SOLD 9755.00			-10,427.16	0.00
25JUL17	B	1	PD25OCT17	9740.00	11071.75	USD	7,990.50	
25JUL17	B	1	PD25OCT17	9750.00	11071.75	USD	7,930.50	
25JUL17	S	1	PD25OCT17	9940.00	11071.75	USD	-6,790.50	
25JUL17	B	1	PD25OCT17	9890.00	11071.75	USD	7,090.50	
25JUL17	B	1	PD25OCT17	9980.00	11071.75	USD	6,550.50	
25JUL17	S	1	PD25OCT17	10000.00	11071.75	USD	-6,430.50	
08AUG17	S	2	PD25OCT17	10623.33	11071.75	USD	-5,381.04	
	NET	0 AVG	BGHT 9840.00	SOLD 10296.67			10,959.96	0.00
27JUL17	S	2	PD27OCT17	10180.00	11073.68	USD	-10,724.16	
27JUL17	B	1	PD27OCT17	10145.00	11073.68	USD	5,572.08	
27JUL17	B	1	PD27OCT17	10155.00	11073.68	USD	5,512.08	
28JUL17	S	1	PD27OCT17	10260.00	11073.68	USD	-4,882.08	
08AUG17	B	1	PD27OCT17	10625.14	11073.68	USD	2,691.24	
	NET	0 AVG	BGHT 10308.38	SOLD 10206.67			-1,830.84	0.00
31JUL17	B	1	PD31OCT17	10255.00	11077.54	USD	4,935.24	
31JUL17	B	1	PD31OCT17	10230.00	11077.54	USD	5,085.24	
31JUL17	S	1	PD31OCT17	10225.00	11077.54	USD	-5,115.24	
08AUG17	S	1	PD31OCT17	10625.76	11077.54	USD	-2,710.68	
	NET	0 AVG	BGHT 10242.50	SOLD 10425.38			2,194.56	0.00
01AUG17	S	1	PD01NOV17	10175.00	11078.50	USD	-5,421.00	
01AUG17	S	1	PD01NOV17	10195.00	11078.50	USD	-5,301.00	
01AUG17	S	1	PD01NOV17	10295.00	11078.50	USD	-4,701.00	
01AUG17	B	1	PD01NOV17	10240.00	11078.50	USD	5,031.00	
01AUG17	S	1	PD01NOV17	10280.00	11078.50	USD	-4,791.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	10260.00	11078.50	USD	4,911.00	
08AUG17	B	2	PD01NOV17	10627.17	11078.50	USD	5,415.96	
	NET	0 AVG	BGHT 10438.59				-4,856.04	0.00
02AUG17	S	1	PD02NOV17	10195.00	11079.46	USD	-5,306.76	
02AUG17	B	2	PD02NOV17	10160.00	11079.46	USD	11,033.52	
08AUG17	S	1	PD02NOV17	10626.57	11079.46	USD	-2,717.34	
	NET	0 AVG	BGHT 10160.00				3,009.42	0.00
03AUG17	B	1	PD03NOV17	10280.00	11080.43	USD	4,802.58	
04AUG17	B	1	PD03NOV17	10200.00	11080.43	USD	5,282.58	
04AUG17	S	1	PD03NOV17	10250.00	11080.43	USD	-4,982.58	
04AUG17	B	1	PD03NOV17	10260.00	11080.43	USD	4,922.58	
04AUG17	B	1	PD03NOV17	10330.00	11080.43	USD	4,502.58	
04AUG17	S	1	PD03NOV17	10275.00	11080.43	USD	-4,832.58	
04AUG17	S	1	PD03NOV17	10285.00	11080.43	USD	-4,772.58	
08AUG17	S	1	PD03NOV17	10626.98	11080.43	USD	-2,720.70	
	NET	0 AVG	BGHT 10267.50				2,201.88	0.00
07AUG17	S	1	PD07NOV17	10365.00	11084.29	USD	-4,315.74	
07AUG17	B	1	PD07NOV17	10330.00	11084.29	USD	4,525.74	
	NET	0 AVG	BGHT 10330.00				210.00	0.00
08AUG17	S	1	PD08NOV17	10345.00	11085.25	USD	-4,441.50	
08AUG17	S	1	PD08NOV17	10330.00	11085.25	USD	-4,531.50	
08AUG17	S	1	PD08NOV17	10340.00	11085.25	USD	-4,471.50	
08AUG17	S	1	PD08NOV17	10350.00	11085.25	USD	-4,411.50	
08AUG17	B	1	PD08NOV17	10340.00	11085.25	USD	4,471.50	
08AUG17	B	2	PD08NOV17	10500.00	11085.25	USD	7,023.00	
08AUG17	S	1	PD08NOV17	10370.00	11085.25	USD	-4,291.50	
08AUG17	S	1	PD08NOV17	10445.00	11085.25	USD	-3,841.50	
08AUG17	S	1	PD08NOV17	10520.00	11085.25	USD	-3,391.50	
08AUG17	S	1	PD08NOV17	10530.00	11085.25	USD	-3,331.50	
	NET	-5 AVG	BGHT 10446.67				-21,217.50	0.00
09AUG17	B	1	PD09NOV17	10780.00	11086.21	USD	1,837.26	
09AUG17	B	2	PD09NOV17	10800.00	11086.21	USD	3,434.52	
09AUG17	B	1	PD09NOV17	10805.00	11086.21	USD	1,687.26	
09AUG17	S	1	PD09NOV17	10755.00	11086.21	USD	-1,987.26	
09AUG17	S	2	PD09NOV17	10805.00	11086.21	USD	-3,374.52	
09AUG17	S	1	PD09NOV17	10780.00	11086.21	USD	-1,837.26	
09AUG17	S	1	PD09NOV17	10750.00	11086.21	USD	-2,017.26	
09AUG17	B	3	PD09NOV17	10755.00	11086.21	USD	5,961.78	
09AUG17	S	2	PD09NOV17	10745.00	11086.21	USD	-4,094.52	
09AUG17	S	1	PD09NOV17	10760.00	11086.21	USD	-1,957.26	
09AUG17	B	3	PD09NOV17	10750.00	11086.21	USD	6,051.78	
09AUG17	S	1	PD09NOV17	10785.00	11086.21	USD	-1,807.26	
09AUG17	B	1	PD09NOV17	10735.00	11086.21	USD	2,107.26	
	NET	+2 AVG	BGHT 10766.82				4,004.52	0.00
10AUG17	S	1	PD10NOV17	10780.00	11087.18	USD	-1,843.08	
10AUG17	B	1	PD10NOV17	10780.00	11087.18	USD	1,843.08	
10AUG17	B	2	PD10NOV17	10900.00	11087.18	USD	2,246.16	
10AUG17	S	2	PD10NOV17	10905.00	11087.18	USD	-2,186.16	
10AUG17	B	1	PD10NOV17	10925.00	11087.18	USD	973.08	
10AUG17	B	1	PD10NOV17	10875.00	11087.18	USD	1,273.08	
11AUG17	B	1	PD10NOV17	10835.00	11087.18	USD	1,513.08	
11AUG17	S	1	PD10NOV17	10850.00	11087.18	USD	-1,423.08	
11AUG17	S	2	PD10NOV17	10870.00	11087.18	USD	-2,606.16	
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	S	1	PD10NOV17	10625.00	11087.18	USD	-2,773.08	
11AUG17	B	1	PD10NOV17	10640.00	11087.18	USD	2,683.08	

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	NET	+1 AVG BGHT 10811.25	SOLD 10829.29				2,413.08	0.00
15AUG17	B	1 PD15NOV17		10375.00	11092.00	USD	4,302.00	
15AUG17	B	1 PD15NOV17		10355.00	11092.00	USD	4,422.00	
15AUG17	S	1 PD15NOV17		10350.00	11092.00	USD	-4,452.00	
	NET	+1 AVG BGHT 10365.00	SOLD 10350.00				4,272.00	0.00
16AUG17	S	1 PD16NOV17		10470.00	11092.77	USD	-3,736.62	
16AUG17	S	1 PD16NOV17		10530.00	11092.77	USD	-3,376.62	
16AUG17	B	1 PD16NOV17		10530.00	11092.77	USD	3,376.62	
16AUG17	S	1 PD16NOV17		10625.00	11092.77	USD	-2,806.62	
16AUG17	B	1 PD16NOV17		10555.00	11092.77	USD	3,226.62	
16AUG17	S	2 PD16NOV17		10770.00	11092.77	USD	-3,873.24	
16AUG17	S	1 PD16NOV17		10780.00	11092.77	USD	-1,876.62	
16AUG17	S	1 PD16NOV17		10775.00	11092.77	USD	-1,906.62	
16AUG17	B	4 PD16NOV17		10755.00	11092.77	USD	8,106.48	
	NET	-1 AVG BGHT 10684.17	SOLD 10674.29				-2,866.62	0.00
17AUG17	B	1 PD17NOV17		10850.00	11093.54	USD	1,461.24	
17AUG17	S	1 PD17NOV17		10790.00	11093.54	USD	-1,821.24	
18AUG17	S	1 PD17NOV17		11000.00	11093.54	USD	-561.24	
18AUG17	B	1 PD17NOV17		10975.00	11093.54	USD	711.24	
18AUG17	S	1 PD17NOV17		10995.00	11093.54	USD	-591.24	
18AUG17	B	1 PD17NOV17		10985.00	11093.54	USD	651.24	
	NET	0 AVG BGHT 10936.67	SOLD 10928.33				-150.00	0.00
21AUG17	B	1 PD21NOV17		11090.00	11096.63	USD	39.78	
21AUG17	S	1 PD21NOV17		11130.00	11096.63	USD	200.22	
21AUG17	S	1 PD21NOV17		11215.00	11096.63	USD	710.22	
21AUG17	B	1 PD21NOV17		11215.00	11096.63	USD	-710.22	
21AUG17	B	1 PD21NOV17		11240.00	11096.63	USD	-860.22	
21AUG17	S	1 PD21NOV17		11240.00	11096.63	USD	860.22	
21AUG17	S	1 PD21NOV17		11250.00	11096.63	USD	920.22	
21AUG17	S	1 PD21NOV17		11290.00	11096.63	USD	1,160.22	
21AUG17	B	1 PD21NOV17		11280.00	11096.63	USD	-1,100.22	
21AUG17	B	1 PD21NOV17		11255.00	11096.63	USD	-950.22	
21AUG17	S	2 PD21NOV17		11300.00	11096.63	USD	2,440.44	
21AUG17	S	1 PD21NOV17		11310.00	11096.63	USD	1,280.22	
	NET	-3 AVG BGHT 11216.00	SOLD 11254.38				3,990.66	0.00
22AUG17	B	1 PD22NOV17		11280.00	11097.40	USD	-1,095.60	
22AUG17	B	1 PD22NOV17		11320.00	11097.40	USD	-1,335.60	
22AUG17	S	1 PD22NOV17		11490.00	11097.40	USD	2,355.60	
22AUG17	B	1 PD22NOV17		11430.00	11097.40	USD	-1,995.60	
22AUG17	S	1 PD22NOV17		11475.00	11097.40	USD	2,265.60	
22AUG17	S	1 PD22NOV17		11480.00	11097.40	USD	2,295.60	
22AUG17	B	2 PD22NOV17		11325.00	11097.40	USD	-2,731.20	
22AUG17	B	1 PD22NOV17		11490.00	11097.40	USD	-2,355.60	
22AUG17	S	1 PD22NOV17		11400.00	11097.40	USD	1,815.60	
22AUG17	S	1 PD22NOV17		11440.00	11097.40	USD	2,055.60	
	NET	+1 AVG BGHT 11361.67	SOLD 11457.00				1,274.40	0.00
23AUG17	B	1 PD24NOV17		11375.00	11098.94	USD	-1,656.36	
23AUG17	B	1 PD24NOV17		11410.00	11098.94	USD	-1,866.36	
23AUG17	S	1 PD24NOV17		11440.00	11098.94	USD	2,046.36	
23AUG17	S	1 PD24NOV17		11480.00	11098.94	USD	2,286.36	
23AUG17	S	1 PD24NOV17		11535.00	11098.94	USD	2,616.36	
23AUG17	B	1 PD24NOV17		11525.00	11098.94	USD	-2,556.36	
23AUG17	B	1 PD24NOV17		11475.00	11098.94	USD	-2,256.36	
23AUG17	B	1 PD24NOV17		11505.00	11098.94	USD	-2,436.36	
23AUG17	S	1 PD24NOV17		11660.00	11098.94	USD	3,366.36	
23AUG17	S	1 PD24NOV17		11730.00	11098.94	USD	3,786.36	

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23AUG17	S	1	PD24NOV17	11665.00	11098.94	USD	3,396.36	
24AUG17	B	1	PD24NOV17	11690.00	11098.94	USD	-3,546.36	
24AUG17	B	2	PD24NOV17	11705.00	11098.94	USD	-7,272.72	
24AUG17	B	1	PD24NOV17	11640.00	11098.94	USD	-3,246.36	
24AUG17	S	1	PD24NOV17	11745.00	11098.94	USD	3,876.36	
24AUG17	B	1	PD24NOV17	11755.00	11098.94	USD	-3,936.36	
24AUG17	B	1	PD24NOV17	11670.00	11098.94	USD	-3,426.36	
24AUG17	S	1	PD24NOV17	11720.00	11098.94	USD	3,726.36	
25AUG17	B	1	PD24NOV17	11445.00	11098.94	USD	-2,076.36	
25AUG17	B	2	PD24NOV17	11405.00	11098.94	USD	-3,672.72	
25AUG17	S	2	PD24NOV17	11430.00	11098.94	USD	3,972.72	
25AUG17	S	1	PD24NOV17	11475.00	11098.94	USD	2,256.36	
25AUG17	B	1	PD24NOV17	11465.00	11098.94	USD	-2,196.36	
25AUG17	S	1	PD24NOV17	11445.00	11098.94	USD	2,076.36	
	NET	+3 AVG	BGHT 11545.00				SOLD 11562.92	0.00
29AUG17	S	1	PD29NOV17	11790.00	11102.80	USD	4,123.20	
29AUG17	S	1	PD29NOV17	11800.00	11102.80	USD	4,183.20	
29AUG17	B	1	PD29NOV17	11765.00	11102.80	USD	-3,973.20	
29AUG17	S	1	PD29NOV17	11775.00	11102.80	USD	4,033.20	
29AUG17	B	2	PD29NOV17	11805.00	11102.80	USD	-8,426.40	
29AUG17	B	1	PD29NOV17	11840.00	11102.80	USD	-4,423.20	
29AUG17	S	1	PD29NOV17	11835.00	11102.80	USD	4,393.20	
	NET	0 AVG	BGHT 11803.75				SOLD 11800.00	0.00
05SEP17	B	1	PD05DEC17	12035.00	11107.43	USD	-5,565.42	
05SEP17	B	1	PD05DEC17	12025.00	11107.43	USD	-5,505.42	
05SEP17	B	1	PD05DEC17	11985.00	11107.43	USD	-5,265.42	
05SEP17	B	1	PD05DEC17	11930.00	11107.43	USD	-4,935.42	
05SEP17	S	1	PD05DEC17	11980.00	11107.43	USD	5,235.42	
	NET	+3 AVG	BGHT 11993.75				SOLD 11980.00	0.00
06SEP17	S	1	PD06DEC17	12020.00	11108.20	USD	5,470.80	
06SEP17	S	1	PD06DEC17	12060.00	11108.20	USD	5,710.80	
06SEP17	S	1	PD06DEC17	12200.00	11108.20	USD	6,550.80	
06SEP17	S	1	PD06DEC17	12230.00	11108.20	USD	6,730.80	
06SEP17	S	1	PD06DEC17	12245.00	11108.20	USD	6,820.80	
	NET	-5 AVG	SOLD 12151.00				31,284.00	0.00
07SEP17	B	1	PD07DEC17	12220.00	11108.97	USD	-6,666.18	
07SEP17	B	1	PD07DEC17	12250.00	11108.97	USD	-6,846.18	
07SEP17	B	1	PD07DEC17	12135.00	11108.97	USD	-6,156.18	
07SEP17	S	1	PD07DEC17	12185.00	11108.97	USD	6,456.18	
07SEP17	B	1	PD07DEC17	12130.00	11108.97	USD	-6,126.18	
	NET	+3 AVG	BGHT 12183.75				SOLD 12185.00	0.00
08SEP17	B	1	PD08DEC17	11920.00	11109.74	USD	-4,861.56	
08SEP17	B	1	PD08DEC17	11725.00	11109.74	USD	-3,691.56	
08SEP17	B	1	PD08DEC17	11750.00	11109.74	USD	-3,841.56	
08SEP17	S	1	PD08DEC17	11720.00	11109.74	USD	3,661.56	
08SEP17	S	2	PD08DEC17	11760.00	11109.74	USD	7,803.12	
08SEP17	B	1	PD08DEC17	11595.00	11109.74	USD	-2,911.56	
08SEP17	B	1	PD08DEC17	11510.00	11109.74	USD	-2,401.56	
	NET	+2 AVG	BGHT 11700.00				SOLD 11746.67	0.00
11SEP17	S	1	PD11DEC17	11665.00	11112.06	USD	3,317.64	
11SEP17	B	1	PD11DEC17	11670.00	11112.06	USD	-3,347.64	
11SEP17	S	1	PD11DEC17	11745.00	11112.06	USD	3,797.64	
11SEP17	S	1	PD11DEC17	11810.00	11112.06	USD	4,187.64	
11SEP17	S	1	PD11DEC17	11825.00	11112.06	USD	4,277.64	
11SEP17	B	1	PD11DEC17	11790.00	11112.06	USD	-4,067.64	
	NET	-2 AVG	BGHT 11730.00				SOLD 11761.25	0.00

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12SEP17	B	1	PD12DEC17	11685.00	11112.83	USD	-3,433.02	
12SEP17	B	1	PD12DEC17	11705.00	11112.83	USD	-3,553.02	
12SEP17	B	1	PD12DEC17	11620.00	11112.83	USD	-3,043.02	
12SEP17	S	1	PD12DEC17	11650.00	11112.83	USD	3,223.02	
12SEP17	B	1	PD12DEC17	11630.00	11112.83	USD	-3,103.02	
12SEP17	S	1	PD12DEC17	11660.00	11112.83	USD	3,283.02	
12SEP17	S	2	PD12DEC17	11670.00	11112.83	USD	6,686.04	
12SEP17	B	1	PD12DEC17	11700.00	11112.83	USD	-3,523.02	
12SEP17	S	1	PD12DEC17	11600.00	11112.83	USD	2,923.02	
	NET	0 AVG BGHT 11668.00	SOLD 11650.00				-540.00	0.00
13SEP17	B	1	PD13DEC17	11820.00	11113.60	USD	-4,238.40	
13SEP17	S	1	PD13DEC17	11800.00	11113.60	USD	4,118.40	
13SEP17	B	1	PD13DEC17	11710.00	11113.60	USD	-3,578.40	
13SEP17	S	1	PD13DEC17	11785.00	11113.60	USD	4,028.40	
13SEP17	B	1	PD13DEC17	11570.00	11113.60	USD	-2,738.40	
13SEP17	B	1	PD13DEC17	11465.00	11113.60	USD	-2,108.40	
13SEP17	S	2	PD13DEC17	11500.00	11113.60	USD	4,636.80	
13SEP17	S	1	PD13DEC17	11435.00	11113.60	USD	1,928.40	
	NET	-1 AVG BGHT 11641.25	SOLD 11604.00				2,048.40	0.00
14SEP17	B	1	PD14DEC17	11465.00	11114.37	USD	-2,103.78	
14SEP17	B	1	PD14DEC17	11225.00	11114.37	USD	-663.78	
14SEP17	S	1	PD14DEC17	11325.00	11114.37	USD	1,263.78	
14SEP17	B	1	PD14DEC17	11210.00	11114.37	USD	-573.78	
14SEP17	S	1	PD14DEC17	11235.00	11114.37	USD	723.78	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
14SEP17	S	1	PD14DEC17	11230.00	11114.37	USD	693.78	
	NET	+1 AVG BGHT 11276.25	SOLD 11263.33				-1,203.78	0.00
15SEP17	B	1	PD15DEC17	11060.00	11115.14	USD	330.84	
15SEP17	B	1	PD15DEC17	11105.00	11115.14	USD	60.84	
15SEP17	B	1	PD15DEC17	11065.00	11115.14	USD	300.84	
15SEP17	S	2	PD15DEC17	11095.00	11115.14	USD	-241.68	
15SEP17	S	1	PD15DEC17	11135.00	11115.14	USD	119.16	
15SEP17	B	1	PD15DEC17	10980.00	11115.14	USD	810.84	
15SEP17	B	1	PD15DEC17	10970.00	11115.14	USD	870.84	
15SEP17	S	1	PD15DEC17	11075.00	11115.14	USD	-240.84	
15SEP17	S	2	PD15DEC17	11125.00	11115.14	USD	118.32	
15SEP17	S	1	PD15DEC17	11150.00	11115.14	USD	209.16	
15SEP17	B	1	PD15DEC17	11115.00	11115.14	USD	0.84	
	NET	-1 AVG BGHT 11049.17	SOLD 11114.29				2,339.16	0.00
18SEP17	B	1	PD18DEC17	11110.00	11117.46	USD	44.76	
18SEP17	B	1	PD18DEC17	11140.00	11117.46	USD	-135.24	
18SEP17	B	1	PD18DEC17	11070.00	11117.46	USD	284.76	
18SEP17	S	1	PD18DEC17	11110.00	11117.46	USD	-44.76	
18SEP17	S	1	PD18DEC17	11135.00	11117.46	USD	105.24	
18SEP17	B	1	PD18DEC17	11130.00	11117.46	USD	-75.24	
18SEP17	S	1	PD18DEC17	11165.00	11117.46	USD	285.24	
18SEP17	B	2	PD18DEC17	11155.00	11117.46	USD	-450.48	
18SEP17	S	2	PD18DEC17	11180.00	11117.46	USD	750.48	
	NET	+1 AVG BGHT 11126.67	SOLD 11154.00				764.76	0.00
19SEP17	B	1	PD19DEC17	11015.00	11118.23	USD	619.38	
19SEP17	B	1	PD19DEC17	11075.00	11118.23	USD	259.38	
19SEP17	S	1	PD19DEC17	11065.00	11118.23	USD	-319.38	
19SEP17	B	1	PD19DEC17	10980.00	11118.23	USD	829.38	
19SEP17	S	1	PD19DEC17	10960.00	11118.23	USD	-949.38	
19SEP17	B	1	PD19DEC17	10925.00	11118.23	USD	1,159.38	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	10975.00	11118.23	USD	-859.38	
19SEP17	S	1	PD19DEC17	11020.00	11118.23	USD	-589.38	
19SEP17	S	1	PD19DEC17	11185.00	11118.23	USD	400.62	
19SEP17	S	1	PD19DEC17	11180.00	11118.23	USD	370.62	
19SEP17	B	1	PD19DEC17	11155.00	11118.23	USD	-220.62	
19SEP17	B	1	PD19DEC17	11160.00	11118.23	USD	-250.62	
	NET	0 AVG	BGHT 11051.67				450.00	0.00
20SEP17	B	1	PD20DEC17	11325.00	11119.00	USD	-1,236.00	
20SEP17	S	1	PD20DEC17	11385.00	11119.00	USD	1,596.00	
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
20SEP17	S	1	PD20DEC17	11440.00	11119.00	USD	1,926.00	
20SEP17	B	1	PD20DEC17	11415.00	11119.00	USD	-1,776.00	
20SEP17	B	1	PD20DEC17	11420.00	11119.00	USD	-1,806.00	
20SEP17	S	1	PD20DEC17	11395.00	11119.00	USD	1,656.00	
20SEP17	B	1	PD20DEC17	11365.00	11119.00	USD	-1,476.00	
	NET	0 AVG	BGHT 11381.25				450.00	0.00
21SEP17	B	1	PD21DEC17	10960.00	11119.73	USD	958.38	
21SEP17	B	1	PD21DEC17	10970.00	11119.73	USD	898.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	1	PD21DEC17	10930.00	11119.73	USD	1,138.38	
21SEP17	B	2	PD21DEC17	10850.00	11119.73	USD	3,236.76	
21SEP17	B	1	PD21DEC17	10860.00	11119.73	USD	1,558.38	
21SEP17	S	2	PD21DEC17	10845.00	11119.73	USD	-3,296.76	
	NET	+5 AVG	BGHT 10918.57				5,151.90	0.00
22SEP17	S	2	PD22DEC17	10780.00	11120.45	USD	-4,085.40	
22SEP17	B	1	PD22DEC17	10560.00	11120.45	USD	3,362.70	
22SEP17	B	1	PD22DEC17	10635.00	11120.45	USD	2,912.70	
22SEP17	S	1	PD22DEC17	10625.00	11120.45	USD	-2,972.70	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	S	1	PD22DEC17	10600.00	11120.45	USD	-3,122.70	
22SEP17	B	1	PD22DEC17	10575.00	11120.45	USD	3,272.70	
22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	B	1	PD22DEC17	10565.00	11120.45	USD	3,332.70	
22SEP17	S	1	PD22DEC17	10495.00	11120.45	USD	-3,752.70	
22SEP17	S	1	PD22DEC17	10545.00	11120.45	USD	-3,452.70	
22SEP17	S	1	PD22DEC17	10590.00	11120.45	USD	-3,182.70	
22SEP17	S	2	PD22DEC17	10615.00	11120.45	USD	-6,065.40	
22SEP17	S	1	PD22DEC17	10645.00	11120.45	USD	-2,852.70	
	NET	-6 AVG	BGHT 10588.00				-16,936.20	0.00
25SEP17	B	1	PD27DEC17	10535.00	11124.09	USD	3,534.54	
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	B	1	PD27DEC17	10615.00	11124.09	USD	3,054.54	
25SEP17	B	2	PD27DEC17	10605.00	11124.09	USD	6,229.08	
25SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
25SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	
25SEP17	S	2	PD27DEC17	10545.00	11124.09	USD	-6,949.08	
25SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
25SEP17	B	2	PD27DEC17	10465.00	11124.09	USD	7,909.08	
25SEP17	S	1	PD27DEC17	10595.00	11124.09	USD	-3,174.54	
25SEP17	S	1	PD27DEC17	10610.00	11124.09	USD	-3,084.54	
25SEP17	S	2	PD27DEC17	10630.00	11124.09	USD	-5,929.08	
26SEP17	B	1	PD27DEC17	10620.00	11124.09	USD	3,024.54	
26SEP17	B	1	PD27DEC17	10575.00	11124.09	USD	3,294.54	
26SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
26SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
26SEP17	B	1	PD27DEC17	10430.00	11124.09	USD	4,164.54	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	10485.00	11124.09	USD	-3,834.54	
26SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
26SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
26SEP17	S	1	PD27DEC17	10505.00	11124.09	USD	-3,714.54	
26SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	
26SEP17	B	1	PD27DEC17	10465.00	11124.09	USD	3,954.54	
27SEP17	S	1	PD27DEC17	10625.00	11124.09	USD	-2,994.54	
27SEP17	B	1	PD27DEC17	10545.00	11124.09	USD	3,474.54	
27SEP17	B	1	PD27DEC17	10540.00	11124.09	USD	3,504.54	
27SEP17	B	1	PD27DEC17	10465.00	11124.09	USD	3,954.54	
27SEP17	B	1	PD27DEC17	10370.00	11124.09	USD	4,524.54	
27SEP17	B	2	PD27DEC17	10295.00	11124.09	USD	9,949.08	
27SEP17	S	2	PD27DEC17	10300.00	11124.09	USD	-9,889.08	
27SEP17	B	1	PD27DEC17	10350.00	11124.09	USD	4,644.54	
27SEP17	S	1	PD27DEC17	10290.00	11124.09	USD	-5,004.54	
27SEP17	S	1	PD27DEC17	10370.00	11124.09	USD	-4,524.54	
	NET	+4 AVG BGHT 10487.95	SOLD 10511.11				17,768.16	0.00
28SEP17	S	1	PD28DEC17	10340.00	11124.82	USD	-4,708.92	
28SEP17	B	1	PD28DEC17	10255.00	11124.82	USD	5,218.92	
28SEP17	S	2	PD28DEC17	10310.00	11124.82	USD	-9,777.84	
28SEP17	S	1	PD28DEC17	10345.00	11124.82	USD	-4,678.92	
28SEP17	B	2	PD28DEC17	10380.00	11124.82	USD	8,937.84	
28SEP17	S	2	PD28DEC17	10365.00	11124.82	USD	-9,117.84	
	NET	-3 AVG BGHT 10338.33	SOLD 10339.17				-14,126.76	0.00
29SEP17	S	1	PD29DEC17	10580.00	11125.55	USD	-3,273.30	
29SEP17	S	1	PD29DEC17	10620.00	11125.55	USD	-3,033.30	
29SEP17	S	1	PD29DEC17	10680.00	11125.55	USD	-2,673.30	
29SEP17	B	1	PD29DEC17	10640.00	11125.55	USD	2,913.30	
29SEP17	S	1	PD29DEC17	10710.00	11125.55	USD	-2,493.30	
29SEP17	B	1	PD29DEC17	10670.00	11125.55	USD	2,733.30	
29SEP17	S	1	PD29DEC17	10675.00	11125.55	USD	-2,703.30	
29SEP17	B	1	PD29DEC17	10725.00	11125.55	USD	2,403.30	
29SEP17	B	1	PD29DEC17	10575.00	11125.55	USD	3,303.30	
29SEP17	B	1	PD29DEC17	10525.00	11125.55	USD	3,603.30	
	NET	0 AVG BGHT 10627.00	SOLD 10653.00				780.00	0.00
02OCT17	B	1	PD02JAN18	10370.00	11128.45	USD	4,550.70	
02OCT17	B	1	PD02JAN18	10380.00	11128.45	USD	4,490.70	
02OCT17	S	1	PD02JAN18	10460.00	11128.45	USD	-4,010.70	
02OCT17	S	1	PD02JAN18	10450.00	11128.45	USD	-4,070.70	
	NET	0 AVG BGHT 10375.00	SOLD 10455.00				960.00	0.00
05OCT17	B	1	PD05JAN18	10610.00	11130.64	USD	3,123.84	
05OCT17	B	1	PD05JAN18	10560.00	11130.64	USD	3,423.84	
05OCT17	S	1	PD05JAN18	10625.00	11130.64	USD	-3,033.84	
05OCT17	B	1	PD05JAN18	10625.00	11130.64	USD	3,033.84	
05OCT17	S	1	PD05JAN18	10650.00	11130.64	USD	-2,883.84	
05OCT17	B	1	PD05JAN18	10600.00	11130.64	USD	3,183.84	
05OCT17	S	1	PD05JAN18	10575.00	11130.64	USD	-3,333.84	
05OCT17	S	1	PD05JAN18	10590.00	11130.64	USD	-3,243.84	
05OCT17	B	1	PD05JAN18	10520.00	11130.64	USD	3,663.84	
05OCT17	B	1	PD05JAN18	10570.00	11130.64	USD	3,363.84	
05OCT17	S	1	PD05JAN18	10585.00	11130.64	USD	-3,273.84	
05OCT17	S	1	PD05JAN18	10595.00	11130.64	USD	-3,213.84	
06OCT17	B	1	PD05JAN18	10560.00	11130.64	USD	3,423.84	
06OCT17	S	1	PD05JAN18	10570.00	11130.64	USD	-3,363.84	
06OCT17	B	1	PD05JAN18	10540.00	11130.64	USD	3,543.84	
06OCT17	B	1	PD05JAN18	10600.00	11130.64	USD	3,183.84	

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06OCT17	S	1	PD05JAN18	10600.00	11130.64	USD	-3,183.84	
06OCT17	S	1	PD05JAN18	10635.00	11130.64	USD	-2,973.84	
	NET	0AVG	BGHT 10576.11				1,440.00	0.00
09OCT17	S	1	PD09JAN18	10820.00	11133.55	USD	-1,881.30	
09OCT17	S	1	PD09JAN18	10860.00	11133.55	USD	-1,641.30	
09OCT17	B	1	PD09JAN18	10785.00	11133.55	USD	2,091.30	
09OCT17	B	1	PD09JAN18	10765.00	11133.55	USD	2,211.30	
	NET	0AVG	BGHT 10775.00				780.00	0.00
11OCT17	B	1	PD11JAN18	10990.00	11135.00	USD	870.00	
11OCT17	B	2	PD11JAN18	11005.00	11135.00	USD	1,560.00	
11OCT17	B	1	PD11JAN18	11180.00	11135.00	USD	-270.00	
11OCT17	S	1	PD11JAN18	10985.00	11135.00	USD	-900.00	
11OCT17	S	1	PD11JAN18	11020.00	11135.00	USD	-690.00	
11OCT17	S	1	PD11JAN18	11030.00	11135.00	USD	-630.00	
11OCT17	S	1	PD11JAN18	11175.00	11135.00	USD	240.00	
11OCT17	S	1	PD11JAN18	11195.00	11135.00	USD	360.00	
	NET	-1AVG	BGHT 11045.00				540.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	20050.00	20990.00	USD	4,700.00	
17JUL17	S	1	PD17OCT17	20070.00	20990.00	USD	-4,600.00	
17JUL17	B	2	PD17OCT17	19945.00	20990.00	USD	10,450.00	
20JUL17	S	2	PD17OCT17	20091.58	20990.00	USD	-8,984.20	
	NET	0AVG	BGHT 19980.00				1,565.80	0.00
18JUL17	S	1	PD18OCT17	19910.00	20990.00	USD	-5,400.00	
18JUL17	S	3	PD18OCT17	19950.00	20990.00	USD	-15,600.00	
18JUL17	S	1	PD18OCT17	19965.00	20990.00	USD	-5,125.00	
18JUL17	S	1	PD18OCT17	20035.00	20990.00	USD	-4,775.00	
18JUL17	S	1	PD18OCT17	20040.00	20990.00	USD	-4,750.00	
18JUL17	B	2	PD18OCT17	19930.00	20990.00	USD	10,600.00	
18JUL17	B	1	PD18OCT17	20060.00	20990.00	USD	4,650.00	
18JUL17	S	1	PD18OCT17	20010.00	20990.00	USD	-4,900.00	
20JUL17	B	2	PD18OCT17	20091.00	20990.00	USD	8,990.00	
08AUG17	B	3	PD18OCT17	20228.00	20990.00	USD	11,430.00	
	NET	0AVG	BGHT 20098.25				-4,880.00	0.00
19JUL17	S	1	PD19OCT17	20110.00	20988.00	USD	-4,390.00	
19JUL17	B	1	PD19OCT17	20045.00	20988.00	USD	4,715.00	
19JUL17	S	1	PD19OCT17	20105.00	20988.00	USD	-4,415.00	
19JUL17	B	1	PD19OCT17	19990.00	20988.00	USD	4,990.00	
19JUL17	B	1	PD19OCT17	20035.00	20988.00	USD	4,765.00	
19JUL17	S	1	PD19OCT17	20055.00	20988.00	USD	-4,665.00	
19JUL17	B	4	PD19OCT17	20055.00	20988.00	USD	18,660.00	
19JUL17	S	1	PD19OCT17	20045.00	20988.00	USD	-4,715.00	
19JUL17	B	1	PD19OCT17	20085.00	20988.00	USD	4,515.00	
19JUL17	S	2	PD19OCT17	20090.00	20988.00	USD	-8,980.00	
19JUL17	S	1	PD19OCT17	20145.00	20988.00	USD	-4,215.00	
19JUL17	S	1	PD19OCT17	20095.00	20988.00	USD	-4,465.00	
	NET	0AVG	BGHT 20046.88				1,800.00	0.00
20JUL17	B	2	PD20OCT17	20105.00	20986.00	USD	8,810.00	
20JUL17	B	1	PD20OCT17	20100.00	20986.00	USD	4,430.00	
20JUL17	B	1	PD20OCT17	20085.00	20986.00	USD	4,505.00	
20JUL17	B	1	PD20OCT17	20075.00	20986.00	USD	4,555.00	
20JUL17	S	1	PD20OCT17	20105.00	20986.00	USD	-4,405.00	
20JUL17	B	1	PD20OCT17	20080.00	20986.00	USD	4,530.00	
20JUL17	S	1	PD20OCT17	20095.00	20986.00	USD	-4,455.00	

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21JUL17	S	1	PD200CT17	20120.00	20986.00	USD	-4,330.00	
21JUL17	B	2	PD200CT17	20100.00	20986.00	USD	8,860.00	
21JUL17	S	1	PD200CT17	20125.00	20986.00	USD	-4,305.00	
21JUL17	B	2	PD200CT17	20150.00	20986.00	USD	8,360.00	
21JUL17	S	1	PD200CT17	20180.00	20986.00	USD	-4,030.00	
21JUL17	B	2	PD200CT17	20130.00	20986.00	USD	8,560.00	
21JUL17	S	1	PD200CT17	20160.00	20986.00	USD	-4,130.00	
21JUL17	B	1	PD200CT17	20135.00	20986.00	USD	4,255.00	
21JUL17	S	1	PD200CT17	20155.00	20986.00	USD	-4,155.00	
21JUL17	S	1	PD200CT17	20140.00	20986.00	USD	-4,230.00	
21JUL17	S	1	PD200CT17	20170.00	20986.00	USD	-4,080.00	
21JUL17	B	1	PD200CT17	20200.00	20986.00	USD	3,930.00	
21JUL17	S	1	PD200CT17	20235.00	20986.00	USD	-3,755.00	
21JUL17	S	1	PD200CT17	20245.00	20986.00	USD	-3,705.00	
08AUG17	S	3	PD200CT17	20224.60	20986.00	USD	-11,421.00	
	NET	0AVG BGHT 20117.50	SOLD 20171.70				3,794.00	0.00
24JUL17	S	1	PD240CT17	20225.00	20982.00	USD	-3,785.00	
24JUL17	S	1	PD240CT17	20250.00	20982.00	USD	-3,660.00	
24JUL17	B	2	PD240CT17	20230.00	20982.00	USD	7,520.00	
24JUL17	B	2	PD240CT17	20225.00	20982.00	USD	7,570.00	
24JUL17	B	3	PD240CT17	20160.00	20982.00	USD	12,330.00	
24JUL17	S	1	PD240CT17	20160.00	20982.00	USD	-4,110.00	
24JUL17	S	1	PD240CT17	20170.00	20982.00	USD	-4,060.00	
24JUL17	S	1	PD240CT17	20195.00	20982.00	USD	-3,935.00	
24JUL17	S	3	PD240CT17	20230.00	20982.00	USD	-11,280.00	
08AUG17	B	1	PD240CT17	20223.20	20982.00	USD	3,794.00	
	NET	0AVG BGHT 20201.65	SOLD 20211.25				384.00	0.00
25JUL17	B	1	PD250CT17	20250.00	20980.00	USD	3,650.00	
25JUL17	B	1	PD250CT17	20255.00	20980.00	USD	3,625.00	
25JUL17	B	1	PD250CT17	20160.00	20980.00	USD	4,100.00	
25JUL17	S	1	PD250CT17	20295.00	20980.00	USD	-3,425.00	
25JUL17	S	1	PD250CT17	20340.00	20980.00	USD	-3,200.00	
25JUL17	S	2	PD250CT17	20350.00	20980.00	USD	-6,300.00	
08AUG17	B	1	PD250CT17	20222.00	20980.00	USD	3,790.00	
	NET	0AVG BGHT 20221.75	SOLD 20333.75				2,240.00	0.00
26JUL17	B	1	PD260CT17	20295.00	20978.00	USD	3,415.00	
26JUL17	B	1	PD260CT17	20310.00	20978.00	USD	3,340.00	
26JUL17	S	3	PD260CT17	20275.00	20978.00	USD	-10,545.00	
26JUL17	B	2	PD260CT17	20375.00	20978.00	USD	6,030.00	
26JUL17	S	1	PD260CT17	20375.00	20978.00	USD	-3,015.00	
26JUL17	B	5	PD260CT17	20390.00	20978.00	USD	14,700.00	
26JUL17	S	3	PD260CT17	20370.00	20978.00	USD	-9,120.00	
26JUL17	S	3	PD260CT17	20385.00	20978.00	USD	-8,895.00	
08AUG17	B	1	PD260CT17	20220.80	20978.00	USD	3,786.00	
	NET	0AVG BGHT 20352.58	SOLD 20346.50				-304.00	0.00
27JUL17	B	1	PD270CT17	20605.00	20976.00	USD	1,855.00	
27JUL17	S	1	PD270CT17	20585.00	20976.00	USD	-1,955.00	
27JUL17	S	1	PD270CT17	20595.00	20976.00	USD	-1,905.00	
27JUL17	B	1	PD270CT17	20625.00	20976.00	USD	1,755.00	
27JUL17	S	1	PD270CT17	20695.00	20976.00	USD	-1,405.00	
27JUL17	B	1	PD270CT17	20645.00	20976.00	USD	1,655.00	
27JUL17	B	1	PD270CT17	20720.00	20976.00	USD	1,280.00	
27JUL17	S	1	PD270CT17	20745.00	20976.00	USD	-1,155.00	
27JUL17	S	3	PD270CT17	20740.00	20976.00	USD	-3,540.00	
27JUL17	B	1	PD270CT17	20675.00	20976.00	USD	1,505.00	
27JUL17	S	3	PD270CT17	20755.00	20976.00	USD	-3,315.00	

OPEN POSITION STATEMENT



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SAP0094R

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	3	PD27OCT17	20655.00	20976.00	USD	4,815.00	
27JUL17	B	3	PD27OCT17	20680.00	20976.00	USD	4,440.00	
27JUL17	S	1	PD27OCT17	20655.00	20976.00	USD	-1,605.00	
27JUL17	B	1	PD27OCT17	20670.00	20976.00	USD	1,530.00	
27JUL17	B	1	PD27OCT17	20575.00	20976.00	USD	2,005.00	
27JUL17	B	2	PD27OCT17	20615.00	20976.00	USD	3,610.00	
27JUL17	S	2	PD27OCT17	20680.00	20976.00	USD	-2,960.00	
27JUL17	S	1	PD27OCT17	20700.00	20976.00	USD	-1,380.00	
28JUL17	B	1	PD27OCT17	20630.00	20976.00	USD	1,730.00	
28JUL17	B	1	PD27OCT17	20685.00	20976.00	USD	1,455.00	
28JUL17	S	2	PD27OCT17	20725.00	20976.00	USD	-2,510.00	
28JUL17	S	1	PD27OCT17	20755.00	20976.00	USD	-1,105.00	
28JUL17	B	2	PD27OCT17	20855.00	20976.00	USD	1,210.00	
28JUL17	S	1	PD27OCT17	20900.00	20976.00	USD	-380.00	
28JUL17	S	1	PD27OCT17	20855.00	20976.00	USD	-605.00	
28JUL17	B	1	PD27OCT17	20805.00	20976.00	USD	855.00	
28JUL17	B	1	PD27OCT17	20770.00	20976.00	USD	1,030.00	
28JUL17	B	1	PD27OCT17	20745.00	20976.00	USD	1,155.00	
28JUL17	B	1	PD27OCT17	20625.00	20976.00	USD	1,755.00	
28JUL17	B	1	PD27OCT17	20675.00	20976.00	USD	1,505.00	
28JUL17	B	1	PD27OCT17	20710.00	20976.00	USD	1,330.00	
28JUL17	B	1	PD27OCT17	20590.00	20976.00	USD	1,930.00	
28JUL17	B	1	PD27OCT17	20605.00	20976.00	USD	1,855.00	
28JUL17	S	3	PD27OCT17	20650.00	20976.00	USD	-4,890.00	
28JUL17	S	1	PD27OCT17	20675.00	20976.00	USD	-1,505.00	
28JUL17	S	1	PD27OCT17	20680.00	20976.00	USD	-1,480.00	
28JUL17	S	1	PD27OCT17	20695.00	20976.00	USD	-1,405.00	
28JUL17	B	1	PD27OCT17	20650.00	20976.00	USD	1,630.00	
28JUL17	S	2	PD27OCT17	20690.00	20976.00	USD	-2,860.00	
28JUL17	B	1	PD27OCT17	20665.00	20976.00	USD	1,555.00	
28JUL17	S	1	PD27OCT17	20660.00	20976.00	USD	-1,580.00	
08AUG17	S	1	PD27OCT17	20218.60	20976.00	USD	-3,787.00	
	NET	0AVG BGHT 20676.38	SOLD 20690.99				2,118.00	0.00
31JUL17	B	1	PD31OCT17	20800.00	20972.00	USD	860.00	
31JUL17	B	2	PD31OCT17	20710.00	20972.00	USD	2,620.00	
31JUL17	B	1	PD31OCT17	20755.00	20972.00	USD	1,085.00	
31JUL17	B	1	PD31OCT17	20625.00	20972.00	USD	1,735.00	
31JUL17	S	1	PD31OCT17	20645.00	20972.00	USD	-1,635.00	
31JUL17	S	1	PD31OCT17	20690.00	20972.00	USD	-1,410.00	
31JUL17	S	1	PD31OCT17	20710.00	20972.00	USD	-1,310.00	
31JUL17	B	2	PD31OCT17	20655.00	20972.00	USD	3,170.00	
31JUL17	S	1	PD31OCT17	20720.00	20972.00	USD	-1,260.00	
08AUG17	S	2	PD31OCT17	20216.20	20972.00	USD	-7,558.00	
08AUG17	S	1	PD31OCT17	20217.20	20972.00	USD	-3,774.00	
	NET	0AVG BGHT 20701.43	SOLD 20487.80				-7,477.00	0.00
01AUG17	B	1	PD01NOV17	20620.00	20970.00	USD	1,750.00	
01AUG17	S	2	PD01NOV17	20620.00	20970.00	USD	-3,500.00	
01AUG17	B	1	PD01NOV17	20515.00	20970.00	USD	2,275.00	
01AUG17	B	1	PD01NOV17	20615.00	20970.00	USD	1,775.00	
01AUG17	S	1	PD01NOV17	20550.00	20970.00	USD	-2,100.00	
01AUG17	B	2	PD01NOV17	20465.00	20970.00	USD	5,050.00	
01AUG17	B	1	PD01NOV17	20405.00	20970.00	USD	2,825.00	
01AUG17	S	1	PD01NOV17	20450.00	20970.00	USD	-2,600.00	
01AUG17	S	1	PD01NOV17	20485.00	20970.00	USD	-2,425.00	
01AUG17	S	1	PD01NOV17	20510.00	20970.00	USD	-2,300.00	
01AUG17	S	1	PD01NOV17	20525.00	20970.00	USD	-2,225.00	
01AUG17	B	1	PD01NOV17	20495.00	20970.00	USD	2,375.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20511.43	SOLD	20537.14		900.00	0.00
02AUG17	B	1	PD02NOV17		20455.00	20968.00	USD	2,565.00	
02AUG17	S	1	PD02NOV17		20495.00	20968.00	USD	-2,365.00	
	NET	0 AVG	BGHT	20455.00	SOLD	20495.00		200.00	0.00
03AUG17	B	1	PD03NOV17		20545.00	20966.00	USD	2,105.00	
03AUG17	S	1	PD03NOV17		20595.00	20966.00	USD	-1,855.00	
03AUG17	S	1	PD03NOV17		20630.00	20966.00	USD	-1,680.00	
03AUG17	S	2	PD03NOV17		20600.00	20966.00	USD	-3,660.00	
03AUG17	S	1	PD03NOV17		20645.00	20966.00	USD	-1,605.00	
03AUG17	S	1	PD03NOV17		20670.00	20966.00	USD	-1,480.00	
04AUG17	B	1	PD03NOV17		20670.00	20966.00	USD	1,480.00	
04AUG17	S	1	PD03NOV17		20735.00	20966.00	USD	-1,155.00	
04AUG17	B	1	PD03NOV17		20645.00	20966.00	USD	1,605.00	
04AUG17	S	1	PD03NOV17		20645.00	20966.00	USD	-1,605.00	
04AUG17	S	1	PD03NOV17		20795.00	20966.00	USD	-855.00	
04AUG17	B	1	PD03NOV17		20665.00	20966.00	USD	1,505.00	
04AUG17	B	1	PD03NOV17		20700.00	20966.00	USD	1,330.00	
04AUG17	S	1	PD03NOV17		20685.00	20966.00	USD	-1,405.00	
04AUG17	S	1	PD03NOV17		20635.00	20966.00	USD	-1,655.00	
04AUG17	B	1	PD03NOV17		20610.00	20966.00	USD	1,780.00	
04AUG17	B	2	PD03NOV17		20730.00	20966.00	USD	2,360.00	
04AUG17	B	1	PD03NOV17		20680.00	20966.00	USD	1,430.00	
04AUG17	B	1	PD03NOV17		20685.00	20966.00	USD	1,405.00	
04AUG17	S	1	PD03NOV17		20665.00	20966.00	USD	-1,505.00	
04AUG17	S	1	PD03NOV17		20730.00	20966.00	USD	-1,180.00	
04AUG17	B	1	PD03NOV17		20585.00	20966.00	USD	1,905.00	
04AUG17	B	1	PD03NOV17		20550.00	20966.00	USD	2,080.00	
04AUG17	B	1	PD03NOV17		20525.00	20966.00	USD	2,205.00	
04AUG17	B	1	PD03NOV17		20540.00	20966.00	USD	2,130.00	
04AUG17	S	1	PD03NOV17		20560.00	20966.00	USD	-2,030.00	
04AUG17	S	1	PD03NOV17		20570.00	20966.00	USD	-1,980.00	
08AUG17	B	1	PD03NOV17		20214.60	20966.00	USD	3,757.00	
	NET	0 AVG	BGHT	20604.97	SOLD	20650.67		3,427.00	0.00
07AUG17	B	1	PD07NOV17		20560.00	20962.00	USD	2,010.00	
07AUG17	S	1	PD07NOV17		20575.00	20962.00	USD	-1,935.00	
07AUG17	B	1	PD07NOV17		20590.00	20962.00	USD	1,860.00	
07AUG17	S	1	PD07NOV17		20585.00	20962.00	USD	-1,885.00	
07AUG17	B	1	PD07NOV17		20565.00	20962.00	USD	1,985.00	
07AUG17	S	1	PD07NOV17		20595.00	20962.00	USD	-1,835.00	
07AUG17	S	1	PD07NOV17		20610.00	20962.00	USD	-1,760.00	
07AUG17	S	1	PD07NOV17		20685.00	20962.00	USD	-1,385.00	
07AUG17	S	1	PD07NOV17		20600.00	20962.00	USD	-1,810.00	
07AUG17	S	1	PD07NOV17		20690.00	20962.00	USD	-1,360.00	
07AUG17	B	1	PD07NOV17		20625.00	20962.00	USD	1,685.00	
07AUG17	B	3	PD07NOV17		20605.00	20962.00	USD	5,355.00	
	NET	0 AVG	BGHT	20593.57	SOLD	20620.00		925.00	0.00
08AUG17	B	2	PD08NOV17		20440.00	20960.00	USD	5,200.00	
08AUG17	S	1	PD08NOV17		20530.00	20960.00	USD	-2,150.00	
08AUG17	B	1	PD08NOV17		20510.00	20960.00	USD	2,250.00	
08AUG17	B	1	PD08NOV17		20475.00	20960.00	USD	2,425.00	
08AUG17	S	1	PD08NOV17		20320.00	20960.00	USD	-3,200.00	
08AUG17	B	1	PD08NOV17		20235.00	20960.00	USD	3,625.00	
08AUG17	S	5	PD08NOV17		20255.00	20960.00	USD	-17,625.00	
08AUG17	B	1	PD08NOV17		20215.00	20960.00	USD	3,725.00	
08AUG17	B	2	PD08NOV17		20210.00	20960.00	USD	7,500.00	
08AUG17	S	1	PD08NOV17		20195.00	20960.00	USD	-3,825.00	

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08AUG17	B	1	PD08NOV17	20220.00	20960.00	USD	3,700.00	
08AUG17	B	2	PD08NOV17	20160.00	20960.00	USD	8,000.00	
08AUG17	B	1	PD08NOV17	20325.00	20960.00	USD	3,175.00	
08AUG17	B	1	PD08NOV17	20430.00	20960.00	USD	2,650.00	
08AUG17	B	1	PD08NOV17	20465.00	20960.00	USD	2,475.00	
08AUG17	S	2	PD08NOV17	20265.00	20960.00	USD	-6,950.00	
08AUG17	B	1	PD08NOV17	20270.00	20960.00	USD	3,450.00	
08AUG17	S	1	PD08NOV17	20330.00	20960.00	USD	-3,150.00	
08AUG17	S	1	PD08NOV17	20345.00	20960.00	USD	-3,075.00	
	NET	+3 AVG	BGHT 20317.67				8,200.00	0.00
			SOLD 20293.75					
09AUG17	B	1	PD09NOV17	20410.00	20958.00	USD	2,740.00	
09AUG17	B	1	PD09NOV17	20415.00	20958.00	USD	2,715.00	
09AUG17	S	2	PD09NOV17	20410.00	20958.00	USD	-5,480.00	
09AUG17	B	2	PD09NOV17	20420.00	20958.00	USD	5,380.00	
09AUG17	S	2	PD09NOV17	20390.00	20958.00	USD	-5,680.00	
09AUG17	B	1	PD09NOV17	20370.00	20958.00	USD	2,940.00	
09AUG17	B	1	PD09NOV17	20315.00	20958.00	USD	3,215.00	
09AUG17	S	1	PD09NOV17	20265.00	20958.00	USD	-3,465.00	
09AUG17	B	1	PD09NOV17	20235.00	20958.00	USD	3,615.00	
09AUG17	B	1	PD09NOV17	20365.00	20958.00	USD	2,965.00	
	NET	+3 AVG	BGHT 20368.75				8,945.00	0.00
			SOLD 20373.00					
10AUG17	B	1	PD10NOV17	20400.00	20956.00	USD	2,780.00	
10AUG17	S	1	PD10NOV17	20390.00	20956.00	USD	-2,830.00	
10AUG17	S	1	PD10NOV17	20395.00	20956.00	USD	-2,805.00	
10AUG17	B	1	PD10NOV17	20355.00	20956.00	USD	3,005.00	
10AUG17	S	1	PD10NOV17	20365.00	20956.00	USD	-2,955.00	
10AUG17	S	1	PD10NOV17	20260.00	20956.00	USD	-3,480.00	
10AUG17	S	1	PD10NOV17	20375.00	20956.00	USD	-2,905.00	
10AUG17	S	1	PD10NOV17	20305.00	20956.00	USD	-3,255.00	
10AUG17	S	1	PD10NOV17	20290.00	20956.00	USD	-3,330.00	
10AUG17	B	1	PD10NOV17	20240.00	20956.00	USD	3,580.00	
10AUG17	S	1	PD10NOV17	20240.00	20956.00	USD	-3,580.00	
10AUG17	S	1	PD10NOV17	20445.00	20956.00	USD	-2,555.00	
10AUG17	B	1	PD10NOV17	20360.00	20956.00	USD	2,980.00	
10AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
10AUG17	B	1	PD10NOV17	20305.00	20956.00	USD	3,255.00	
11AUG17	S	1	PD10NOV17	20295.00	20956.00	USD	-3,305.00	
11AUG17	S	1	PD10NOV17	20370.00	20956.00	USD	-2,930.00	
11AUG17	B	2	PD10NOV17	20305.00	20956.00	USD	6,510.00	
11AUG17	B	2	PD10NOV17	20290.00	20956.00	USD	6,660.00	
11AUG17	S	1	PD10NOV17	20330.00	20956.00	USD	-3,130.00	
11AUG17	B	1	PD10NOV17	20320.00	20956.00	USD	3,180.00	
	NET	-1 AVG	BGHT 20315.45				-1,830.00	0.00
			SOLD 20338.33					
14AUG17	S	1	PD14NOV17	20295.00	20952.00	USD	-3,285.00	
14AUG17	S	1	PD14NOV17	20310.00	20952.00	USD	-3,210.00	
14AUG17	B	1	PD14NOV17	20310.00	20952.00	USD	3,210.00	
14AUG17	B	1	PD14NOV17	20320.00	20952.00	USD	3,160.00	
14AUG17	B	2	PD14NOV17	20270.00	20952.00	USD	6,820.00	
14AUG17	B	1	PD14NOV17	20275.00	20952.00	USD	3,385.00	
14AUG17	S	1	PD14NOV17	20300.00	20952.00	USD	-3,260.00	
14AUG17	S	2	PD14NOV17	20320.00	20952.00	USD	-6,320.00	
14AUG17	B	1	PD14NOV17	20315.00	20952.00	USD	3,185.00	
14AUG17	S	1	PD14NOV17	20315.00	20952.00	USD	-3,185.00	
14AUG17	S	1	PD14NOV17	20330.00	20952.00	USD	-3,110.00	
14AUG17	S	1	PD14NOV17	20325.00	20952.00	USD	-3,135.00	
	NET	-2 AVG	BGHT 20293.33				-5,745.00	0.00
			SOLD 20314.38					

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15AUG17	S	1	PD15NOV17	20355.00	20950.00	USD	-2,975.00	
15AUG17	B	1	PD15NOV17	20270.00	20950.00	USD	3,400.00	
15AUG17	B	1	PD15NOV17	20250.00	20950.00	USD	3,500.00	
15AUG17	B	2	PD15NOV17	19710.00	20950.00	USD	12,400.00	
15AUG17	B	1	PD15NOV17	20190.00	20950.00	USD	3,800.00	
15AUG17	S	1	PD15NOV17	19980.00	20950.00	USD	-4,850.00	
15AUG17	B	1	PD15NOV17	19810.00	20950.00	USD	5,700.00	
15AUG17	S	1	PD15NOV17	19895.00	20950.00	USD	-5,275.00	
15AUG17	S	1	PD15NOV17	19985.00	20950.00	USD	-4,825.00	
	NET	+2 AVG BGHT 19990.00	SOLD 20053.75				10,875.00	0.00
16AUG17	S	1	PD16NOV17	19970.00	20947.92	USD	-4,889.60	
16AUG17	S	1	PD16NOV17	20010.00	20947.92	USD	-4,689.60	
16AUG17	S	2	PD16NOV17	20095.00	20947.92	USD	-8,529.20	
16AUG17	B	1	PD16NOV17	20015.00	20947.92	USD	4,664.60	
16AUG17	S	1	PD16NOV17	20015.00	20947.92	USD	-4,664.60	
16AUG17	S	2	PD16NOV17	20045.00	20947.92	USD	-9,029.20	
16AUG17	S	1	PD16NOV17	20065.00	20947.92	USD	-4,414.60	
16AUG17	B	3	PD16NOV17	19970.00	20947.92	USD	14,668.80	
16AUG17	B	2	PD16NOV17	20060.00	20947.92	USD	8,879.20	
16AUG17	S	2	PD16NOV17	20040.00	20947.92	USD	-9,079.20	
16AUG17	B	1	PD16NOV17	20045.00	20947.92	USD	4,514.60	
	NET	-3 AVG BGHT 20012.86	SOLD 20042.00				-12,568.80	0.00
17AUG17	S	1	PD17NOV17	20295.00	20945.83	USD	-3,254.15	
17AUG17	B	1	PD17NOV17	20140.00	20945.83	USD	4,029.15	
17AUG17	B	1	PD17NOV17	20020.00	20945.83	USD	4,629.15	
17AUG17	S	1	PD17NOV17	20180.00	20945.83	USD	-3,829.15	
17AUG17	B	1	PD17NOV17	20150.00	20945.83	USD	3,979.15	
17AUG17	S	1	PD17NOV17	20110.00	20945.83	USD	-4,179.15	
18AUG17	S	1	PD17NOV17	20295.00	20945.83	USD	-3,254.15	
18AUG17	S	1	PD17NOV17	20280.00	20945.83	USD	-3,329.15	
18AUG17	B	4	PD17NOV17	20300.00	20945.83	USD	12,916.60	
18AUG17	S	1	PD17NOV17	20335.00	20945.83	USD	-3,054.15	
18AUG17	B	1	PD17NOV17	20260.00	20945.83	USD	3,429.15	
18AUG17	S	2	PD17NOV17	20305.00	20945.83	USD	-6,408.30	
18AUG17	B	1	PD17NOV17	20225.00	20945.83	USD	3,604.15	
18AUG17	B	2	PD17NOV17	20160.00	20945.83	USD	7,858.30	
18AUG17	B	1	PD17NOV17	20175.00	20945.83	USD	3,854.15	
18AUG17	S	1	PD17NOV17	20155.00	20945.83	USD	-3,954.15	
18AUG17	B	1	PD17NOV17	20200.00	20945.83	USD	3,729.15	
18AUG17	S	1	PD17NOV17	20230.00	20945.83	USD	-3,579.15	
18AUG17	S	1	PD17NOV17	20240.00	20945.83	USD	-3,529.15	
18AUG17	S	1	PD17NOV17	20255.00	20945.83	USD	-3,454.15	
18AUG17	S	2	PD17NOV17	20270.00	20945.83	USD	-6,758.30	
18AUG17	B	2	PD17NOV17	20250.00	20945.83	USD	6,958.30	
18AUG17	S	1	PD17NOV17	20250.00	20945.83	USD	-3,479.15	
	NET	0 AVG BGHT 20212.67	SOLD 20251.67				2,925.00	0.00
21AUG17	S	1	PD21NOV17	20375.00	20941.67	USD	-2,833.35	
21AUG17	B	1	PD21NOV17	20315.00	20941.67	USD	3,133.35	
21AUG17	S	1	PD21NOV17	20315.00	20941.67	USD	-3,133.35	
21AUG17	S	1	PD21NOV17	20410.00	20941.67	USD	-2,658.35	
21AUG17	B	1	PD21NOV17	20395.00	20941.67	USD	2,733.35	
21AUG17	S	1	PD21NOV17	20380.00	20941.67	USD	-2,808.35	
21AUG17	S	1	PD21NOV17	20435.00	20941.67	USD	-2,533.35	
21AUG17	B	2	PD21NOV17	20480.00	20941.67	USD	4,616.70	
21AUG17	S	1	PD21NOV17	20460.00	20941.67	USD	-2,408.35	
21AUG17	S	1	PD21NOV17	20480.00	20941.67	USD	-2,308.35	

OPEN POSITION STATEMENT



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SAP0094R

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backoffice@sapiencap.com

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21AUG17	B	1	PD21NOV17	20465.00	20941.67	USD	2,383.35	
	NET	-2 AVG BGHT 20427.00 SOLD 20407.86					-5,816.70	0.00
22AUG17	B	1	PD22NOV17	20440.00	20939.58	USD	2,497.90	
22AUG17	B	1	PD22NOV17	20475.00	20939.58	USD	2,322.90	
22AUG17	B	2	PD22NOV17	20500.00	20939.58	USD	4,395.80	
22AUG17	S	1	PD22NOV17	20420.00	20939.58	USD	-2,597.90	
22AUG17	S	1	PD22NOV17	20450.00	20939.58	USD	-2,447.90	
22AUG17	B	3	PD22NOV17	20420.00	20939.58	USD	7,793.70	
22AUG17	S	1	PD22NOV17	20425.00	20939.58	USD	-2,572.90	
22AUG17	B	1	PD22NOV17	20330.00	20939.58	USD	3,047.90	
22AUG17	S	2	PD22NOV17	20275.00	20939.58	USD	-6,645.80	
22AUG17	S	1	PD22NOV17	20495.00	20939.58	USD	-2,222.90	
22AUG17	S	1	PD22NOV17	20340.00	20939.58	USD	-2,997.90	
22AUG17	S	1	PD22NOV17	20330.00	20939.58	USD	-3,047.90	
22AUG17	B	2	PD22NOV17	20280.00	20939.58	USD	6,595.80	
	NET	+2 AVG BGHT 20406.50 SOLD 20376.25					4,120.80	0.00
23AUG17	S	1	PD24NOV17	20400.00	20937.50	USD	-2,687.50	
23AUG17	B	1	PD24NOV17	20305.00	20937.50	USD	3,162.50	
23AUG17	B	1	PD24NOV17	20330.00	20937.50	USD	3,037.50	
23AUG17	S	1	PD24NOV17	20370.00	20937.50	USD	-2,837.50	
23AUG17	B	1	PD24NOV17	20325.00	20937.50	USD	3,062.50	
23AUG17	S	1	PD24NOV17	20410.00	20937.50	USD	-2,637.50	
23AUG17	B	2	PD24NOV17	20335.00	20937.50	USD	6,025.00	
23AUG17	B	2	PD24NOV17	20400.00	20937.50	USD	5,375.00	
23AUG17	B	1	PD24NOV17	20320.00	20937.50	USD	3,087.50	
23AUG17	S	2	PD24NOV17	20320.00	20937.50	USD	-6,175.00	
23AUG17	S	1	PD24NOV17	20335.00	20937.50	USD	-3,012.50	
23AUG17	S	3	PD24NOV17	20390.00	20937.50	USD	-8,212.50	
23AUG17	S	1	PD24NOV17	20380.00	20937.50	USD	-2,787.50	
23AUG17	B	1	PD24NOV17	20365.00	20937.50	USD	2,862.50	
23AUG17	S	1	PD24NOV17	20455.00	20937.50	USD	-2,412.50	
23AUG17	S	1	PD24NOV17	20500.00	20937.50	USD	-2,187.50	
23AUG17	B	1	PD24NOV17	20515.00	20937.50	USD	2,112.50	
23AUG17	B	1	PD24NOV17	20460.00	20937.50	USD	2,387.50	
24AUG17	B	3	PD24NOV17	20550.00	20937.50	USD	5,812.50	
24AUG17	S	2	PD24NOV17	20525.00	20937.50	USD	-4,125.00	
24AUG17	S	1	PD24NOV17	20510.00	20937.50	USD	-2,137.50	
24AUG17	B	1	PD24NOV17	20510.00	20937.50	USD	2,137.50	
24AUG17	B	1	PD24NOV17	20460.00	20937.50	USD	2,387.50	
24AUG17	B	1	PD24NOV17	20420.00	20937.50	USD	2,587.50	
24AUG17	S	1	PD24NOV17	20485.00	20937.50	USD	-2,262.50	
24AUG17	S	1	PD24NOV17	20495.00	20937.50	USD	-2,212.50	
24AUG17	B	1	PD24NOV17	20455.00	20937.50	USD	2,412.50	
24AUG17	B	1	PD24NOV17	20465.00	20937.50	USD	2,362.50	
24AUG17	B	1	PD24NOV17	20475.00	20937.50	USD	2,312.50	
24AUG17	S	2	PD24NOV17	20465.00	20937.50	USD	-4,725.00	
24AUG17	S	1	PD24NOV17	20490.00	20937.50	USD	-2,237.50	
24AUG17	S	2	PD24NOV17	20470.00	20937.50	USD	-4,675.00	
24AUG17	B	1	PD24NOV17	20430.00	20937.50	USD	2,537.50	
25AUG17	S	2	PD24NOV17	20375.00	20937.50	USD	-5,625.00	
25AUG17	B	1	PD24NOV17	20385.00	20937.50	USD	2,762.50	
25AUG17	B	1	PD24NOV17	20405.00	20937.50	USD	2,662.50	
25AUG17	B	2	PD24NOV17	20350.00	20937.50	USD	5,875.00	
25AUG17	S	1	PD24NOV17	20345.00	20937.50	USD	-2,962.50	
25AUG17	S	1	PD24NOV17	20360.00	20937.50	USD	-2,887.50	
	NET	-1 AVG BGHT 20417.80 SOLD 20423.65					-1,837.50	0.00

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29AUG17	S	1	PD29NOV17	20680.00	20931.25	USD	-1,256.25	
29AUG17	S	1	PD29NOV17	20640.00	20931.25	USD	-1,456.25	
29AUG17	B	1	PD29NOV17	20545.00	20931.25	USD	1,931.25	
29AUG17	B	1	PD29NOV17	20555.00	20931.25	USD	1,881.25	
29AUG17	S	1	PD29NOV17	20595.00	20931.25	USD	-1,681.25	
29AUG17	S	1	PD29NOV17	20570.00	20931.25	USD	-1,806.25	
29AUG17	B	1	PD29NOV17	20430.00	20931.25	USD	2,506.25	
29AUG17	B	1	PD29NOV17	20480.00	20931.25	USD	2,256.25	
29AUG17	B	1	PD29NOV17	20540.00	20931.25	USD	1,956.25	
29AUG17	S	1	PD29NOV17	20490.00	20931.25	USD	-2,206.25	
29AUG17	B	1	PD29NOV17	20615.00	20931.25	USD	1,581.25	
29AUG17	B	2	PD29NOV17	20500.00	20931.25	USD	4,312.50	
29AUG17	S	1	PD29NOV17	20450.00	20931.25	USD	-2,406.25	
29AUG17	S	1	PD29NOV17	20470.00	20931.25	USD	-2,306.25	
29AUG17	S	1	PD29NOV17	20495.00	20931.25	USD	-2,181.25	
29AUG17	B	1	PD29NOV17	20420.00	20931.25	USD	2,556.25	
29AUG17	B	1	PD29NOV17	20365.00	20931.25	USD	2,831.25	
29AUG17	S	2	PD29NOV17	20435.00	20931.25	USD	-4,962.50	
	NET	0 AVG	BGHT 20495.00	SOLD 20526.00			1,550.00	0.00
30AUG17	B	2	PD30NOV17	20350.00	20929.17	USD	5,791.70	
30AUG17	S	1	PD30NOV17	20355.00	20929.17	USD	-2,870.85	
30AUG17	S	2	PD30NOV17	20350.00	20929.17	USD	-5,791.70	
30AUG17	S	1	PD30NOV17	20445.00	20929.17	USD	-2,420.85	
30AUG17	B	1	PD30NOV17	20380.00	20929.17	USD	2,745.85	
30AUG17	B	1	PD30NOV17	20395.00	20929.17	USD	2,670.85	
30AUG17	S	1	PD30NOV17	20440.00	20929.17	USD	-2,445.85	
30AUG17	S	1	PD30NOV17	20490.00	20929.17	USD	-2,195.85	
30AUG17	S	2	PD30NOV17	20500.00	20929.17	USD	-4,291.70	
30AUG17	S	1	PD30NOV17	20655.00	20929.17	USD	-1,370.85	
31AUG17	B	1	PD30NOV17	20600.00	20929.17	USD	1,645.85	
31AUG17	B	1	PD30NOV17	20575.00	20929.17	USD	1,770.85	
31AUG17	S	1	PD30NOV17	20575.00	20929.17	USD	-1,770.85	
31AUG17	S	1	PD30NOV17	20620.00	20929.17	USD	-1,545.85	
31AUG17	B	1	PD30NOV17	20570.00	20929.17	USD	1,795.85	
31AUG17	S	1	PD30NOV17	20645.00	20929.17	USD	-1,420.85	
31AUG17	B	5	PD30NOV17	20650.00	20929.17	USD	6,979.25	
31AUG17	B	1	PD30NOV17	20690.00	20929.17	USD	1,195.85	
31AUG17	B	1	PD30NOV17	20695.00	20929.17	USD	1,170.85	
31AUG17	S	1	PD30NOV17	20695.00	20929.17	USD	-1,170.85	
31AUG17	S	1	PD30NOV17	20680.00	20929.17	USD	-1,245.85	
	NET	0 AVG	BGHT 20561.07	SOLD 20521.43			-2,775.00	0.00
01SEP17	B	2	PD01DEC17	20745.00	20927.08	USD	1,820.80	
01SEP17	S	1	PD01DEC17	20725.00	20927.08	USD	-1,010.40	
01SEP17	S	1	PD01DEC17	20740.00	20927.08	USD	-935.40	
01SEP17	S	1	PD01DEC17	20685.00	20927.08	USD	-1,210.40	
01SEP17	S	1	PD01DEC17	20705.00	20927.08	USD	-1,110.40	
01SEP17	B	1	PD01DEC17	20690.00	20927.08	USD	1,185.40	
01SEP17	B	1	PD01DEC17	20665.00	20927.08	USD	1,310.40	
01SEP17	B	1	PD01DEC17	20640.00	20927.08	USD	1,435.40	
01SEP17	S	1	PD01DEC17	20640.00	20927.08	USD	-1,435.40	
01SEP17	S	1	PD01DEC17	20675.00	20927.08	USD	-1,260.40	
01SEP17	S	1	PD01DEC17	20700.00	20927.08	USD	-1,135.40	
	NET	-2 AVG	BGHT 20697.00	SOLD 20695.71			-2,345.80	0.00
04SEP17	B	2	PD04DEC17	20715.00	20925.00	USD	2,100.00	
	NET	+2 AVG	BGHT 20715.00				2,100.00	0.00
05SEP17	S	1	PD05DEC17	20740.00	20922.92	USD	-914.60	

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05SEP17	S	1	PD05DEC17	20750.00	20922.92	USD	-864.60	
05SEP17	B	1	PD05DEC17	20700.00	20922.92	USD	1,114.60	
05SEP17	S	2	PD05DEC17	20710.00	20922.92	USD	-2,129.20	
05SEP17	S	1	PD05DEC17	20720.00	20922.92	USD	-1,014.60	
05SEP17	S	1	PD05DEC17	20730.00	20922.92	USD	-964.60	
05SEP17	B	2	PD05DEC17	20705.00	20922.92	USD	2,179.20	
05SEP17	S	1	PD05DEC17	20705.00	20922.92	USD	-1,089.60	
05SEP17	S	1	PD05DEC17	20735.00	20922.92	USD	-939.60	
05SEP17	B	1	PD05DEC17	20685.00	20922.92	USD	1,189.60	
05SEP17	B	1	PD05DEC17	20690.00	20922.92	USD	1,164.60	
05SEP17	B	1	PD05DEC17	20695.00	20922.92	USD	1,139.60	
05SEP17	B	1	PD05DEC17	20710.00	20922.92	USD	1,064.60	
	NET	-1 AVG	BGHT 20698.57	SOLD 20725.00			-64.60	0.00
06SEP17	S	1	PD06DEC17	20655.00	20920.83	USD	-1,329.15	
06SEP17	B	1	PD06DEC17	20650.00	20920.83	USD	1,354.15	
06SEP17	S	1	PD06DEC17	20665.00	20920.83	USD	-1,279.15	
06SEP17	S	1	PD06DEC17	20640.00	20920.83	USD	-1,404.15	
06SEP17	S	1	PD06DEC17	20725.00	20920.83	USD	-979.15	
06SEP17	S	1	PD06DEC17	20765.00	20920.83	USD	-779.15	
	NET	-4 AVG	BGHT 20650.00	SOLD 20690.00			-4,416.60	0.00
07SEP17	B	1	PD07DEC17	20750.00	20918.75	USD	843.75	
07SEP17	B	1	PD07DEC17	20760.00	20918.75	USD	793.75	
07SEP17	S	1	PD07DEC17	20745.00	20918.75	USD	-868.75	
07SEP17	B	1	PD07DEC17	20700.00	20918.75	USD	1,093.75	
07SEP17	B	1	PD07DEC17	20740.00	20918.75	USD	893.75	
07SEP17	B	1	PD07DEC17	20705.00	20918.75	USD	1,068.75	
07SEP17	S	1	PD07DEC17	20780.00	20918.75	USD	-693.75	
	NET	+3 AVG	BGHT 20731.00	SOLD 20762.50			3,131.25	0.00
08SEP17	B	1	PD08DEC17	20800.00	20916.67	USD	583.35	
08SEP17	S	1	PD08DEC17	20755.00	20916.67	USD	-808.35	
08SEP17	S	1	PD08DEC17	20790.00	20916.67	USD	-633.35	
08SEP17	B	1	PD08DEC17	20695.00	20916.67	USD	1,108.35	
08SEP17	B	1	PD08DEC17	20545.00	20916.67	USD	1,858.35	
08SEP17	S	1	PD08DEC17	20560.00	20916.67	USD	-1,783.35	
08SEP17	S	1	PD08DEC17	20500.00	20916.67	USD	-2,083.35	
	NET	-1 AVG	BGHT 20680.00	SOLD 20651.25			-1,758.35	0.00
11SEP17	S	1	PD11DEC17	20690.00	20914.58	USD	-1,122.90	
11SEP17	B	2	PD11DEC17	20630.00	20914.58	USD	2,845.80	
11SEP17	B	1	PD11DEC17	20725.00	20914.58	USD	947.90	
	NET	+2 AVG	BGHT 20661.67	SOLD 20690.00			2,670.80	0.00
12SEP17	S	1	PD12DEC17	20680.00	20912.50	USD	-1,162.50	
12SEP17	B	1	PD12DEC17	20690.00	20912.50	USD	1,112.50	
12SEP17	B	1	PD12DEC17	20680.00	20912.50	USD	1,162.50	
12SEP17	B	1	PD12DEC17	20700.00	20912.50	USD	1,062.50	
12SEP17	S	1	PD12DEC17	20700.00	20912.50	USD	-1,062.50	
12SEP17	B	1	PD12DEC17	20715.00	20912.50	USD	987.50	
12SEP17	S	2	PD12DEC17	20695.00	20912.50	USD	-2,175.00	
	NET	0 AVG	BGHT 20696.25	SOLD 20692.50			-75.00	0.00
13SEP17	S	1	PD13DEC17	20680.00	20910.42	USD	-1,152.10	
13SEP17	B	1	PD13DEC17	20650.00	20910.42	USD	1,302.10	
13SEP17	B	1	PD13DEC17	20585.00	20910.42	USD	1,627.10	
13SEP17	S	2	PD13DEC17	20550.00	20910.42	USD	-3,604.20	
13SEP17	S	2	PD13DEC17	20605.00	20910.42	USD	-3,054.20	
	NET	-3 AVG	BGHT 20617.50	SOLD 20598.00			-4,881.30	0.00
14SEP17	B	1	PD14DEC17	20560.00	20908.33	USD	1,741.65	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	2	PD14DEC17	20600.00	20908.33	USD	-3,083.30	
14SEP17	B	2	PD14DEC17	20575.00	20908.33	USD	3,333.30	
14SEP17	B	1	PD14DEC17	20595.00	20908.33	USD	1,566.65	
14SEP17	S	1	PD14DEC17	20575.00	20908.33	USD	-1,666.65	
14SEP17	S	1	PD14DEC17	20615.00	20908.33	USD	-1,466.65	
14SEP17	S	1	PD14DEC17	20680.00	20908.33	USD	-1,141.65	
14SEP17	B	3	PD14DEC17	20615.00	20908.33	USD	4,399.95	
14SEP17	B	1	PD14DEC17	20635.00	20908.33	USD	1,366.65	
14SEP17	B	1	PD14DEC17	20540.00	20908.33	USD	1,841.65	
14SEP17	S	1	PD14DEC17	20570.00	20908.33	USD	-1,691.65	
	NET	+3 AVG BGHT	20591.67	SOLD	20606.67		5,199.95	0.00
15SEP17	S	1	PD15DEC17	20560.00	20906.25	USD	-1,731.25	
15SEP17	B	1	PD15DEC17	20580.00	20906.25	USD	1,631.25	
15SEP17	S	1	PD15DEC17	20610.00	20906.25	USD	-1,481.25	
15SEP17	B	1	PD15DEC17	20565.00	20906.25	USD	1,706.25	
15SEP17	S	1	PD15DEC17	20505.00	20906.25	USD	-2,006.25	
15SEP17	S	2	PD15DEC17	20500.00	20906.25	USD	-4,062.50	
15SEP17	B	1	PD15DEC17	20505.00	20906.25	USD	2,006.25	
15SEP17	B	1	PD15DEC17	20500.00	20906.25	USD	2,031.25	
	NET	-1 AVG BGHT	20537.50	SOLD	20535.00		-1,906.25	0.00
18SEP17	S	1	PD18DEC17	20735.00	20904.17	USD	-845.85	
18SEP17	B	1	PD18DEC17	20585.00	20904.17	USD	1,595.85	
18SEP17	S	1	PD18DEC17	20670.00	20904.17	USD	-1,170.85	
18SEP17	B	2	PD18DEC17	20635.00	20904.17	USD	2,691.70	
	NET	+1 AVG BGHT	20618.33	SOLD	20702.50		2,270.85	0.00
19SEP17	S	1	PD19DEC17	20620.00	20902.08	USD	-1,410.40	
19SEP17	S	1	PD19DEC17	20675.00	20902.08	USD	-1,135.40	
19SEP17	B	1	PD19DEC17	20650.00	20902.08	USD	1,260.40	
19SEP17	S	1	PD19DEC17	20700.00	20902.08	USD	-1,010.40	
19SEP17	B	1	PD19DEC17	20705.00	20902.08	USD	985.40	
19SEP17	B	2	PD19DEC17	20695.00	20902.08	USD	2,070.80	
19SEP17	B	1	PD19DEC17	20700.00	20902.08	USD	1,010.40	
19SEP17	B	2	PD19DEC17	20670.00	20902.08	USD	2,320.80	
19SEP17	S	1	PD19DEC17	20680.00	20902.08	USD	-1,110.40	
19SEP17	S	1	PD19DEC17	20665.00	20902.08	USD	-1,185.40	
19SEP17	S	1	PD19DEC17	20685.00	20902.08	USD	-1,085.40	
	NET	+1 AVG BGHT	20683.57	SOLD	20670.83		710.40	0.00
20SEP17	B	1	PD20DEC17	20770.00	20900.00	USD	650.00	
20SEP17	S	1	PD20DEC17	20725.00	20900.00	USD	-875.00	
20SEP17	S	1	PD20DEC17	20720.00	20900.00	USD	-900.00	
20SEP17	S	1	PD20DEC17	20745.00	20900.00	USD	-775.00	
20SEP17	S	1	PD20DEC17	20760.00	20900.00	USD	-700.00	
20SEP17	B	1	PD20DEC17	20655.00	20900.00	USD	1,225.00	
20SEP17	B	1	PD20DEC17	20665.00	20900.00	USD	1,175.00	
	NET	-1 AVG BGHT	20696.67	SOLD	20737.50		-200.00	0.00
21SEP17	S	1	PD21DEC17	20595.00	20897.69	USD	-1,513.45	
21SEP17	B	1	PD21DEC17	20550.00	20897.69	USD	1,738.45	
21SEP17	B	1	PD21DEC17	20575.00	20897.69	USD	1,613.45	
21SEP17	S	1	PD21DEC17	20625.00	20897.69	USD	-1,363.45	
21SEP17	S	1	PD21DEC17	20470.00	20897.69	USD	-2,138.45	
21SEP17	S	1	PD21DEC17	20475.00	20897.69	USD	-2,113.45	
21SEP17	S	1	PD21DEC17	20420.00	20897.69	USD	-2,388.45	
21SEP17	B	1	PD21DEC17	20415.00	20897.69	USD	2,413.45	
	NET	-2 AVG BGHT	20513.33	SOLD	20517.00		-3,751.90	0.00
22SEP17	S	1	PD22DEC17	20495.00	20895.38	USD	-2,001.90	
22SEP17	S	1	PD22DEC17	20450.00	20895.38	USD	-2,226.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	20465.00	20895.38	USD	-2,151.90	
	NET	-3 AVG	SOLD 20470.00				-6,380.70	0.00
25SEP17	B	1	PD27DEC17	20590.00	20893.08	USD	1,515.40	
25SEP17	B	2	PD27DEC17	20585.00	20893.08	USD	3,080.80	
25SEP17	B	1	PD27DEC17	20605.00	20893.08	USD	1,440.40	
25SEP17	S	1	PD27DEC17	20600.00	20893.08	USD	-1,465.40	
25SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
25SEP17	B	1	PD27DEC17	20630.00	20893.08	USD	1,315.40	
25SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
25SEP17	S	1	PD27DEC17	20690.00	20893.08	USD	-1,015.40	
25SEP17	S	1	PD27DEC17	20725.00	20893.08	USD	-840.40	
25SEP17	B	1	PD27DEC17	20645.00	20893.08	USD	1,240.40	
26SEP17	B	1	PD27DEC17	20705.00	20893.08	USD	940.40	
26SEP17	S	1	PD27DEC17	20680.00	20893.08	USD	-1,065.40	
26SEP17	S	1	PD27DEC17	20705.00	20893.08	USD	-940.40	
26SEP17	B	1	PD27DEC17	20625.00	20893.08	USD	1,340.40	
26SEP17	B	1	PD27DEC17	20630.00	20893.08	USD	1,315.40	
26SEP17	S	1	PD27DEC17	20650.00	20893.08	USD	-1,215.40	
26SEP17	B	1	PD27DEC17	20650.00	20893.08	USD	1,215.40	
26SEP17	B	3	PD27DEC17	20660.00	20893.08	USD	3,496.20	
26SEP17	S	2	PD27DEC17	20655.00	20893.08	USD	-2,380.80	
26SEP17	S	2	PD27DEC17	20660.00	20893.08	USD	-2,330.80	
26SEP17	B	3	PD27DEC17	20665.00	20893.08	USD	3,421.20	
26SEP17	S	2	PD27DEC17	20695.00	20893.08	USD	-1,980.80	
26SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
26SEP17	S	1	PD27DEC17	20690.00	20893.08	USD	-1,015.40	
27SEP17	B	2	PD27DEC17	20660.00	20893.08	USD	2,330.80	
27SEP17	S	1	PD27DEC17	20720.00	20893.08	USD	-865.40	
27SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
27SEP17	S	2	PD27DEC17	20670.00	20893.08	USD	-2,230.80	
27SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
27SEP17	S	2	PD27DEC17	20685.00	20893.08	USD	-2,080.80	
	NET	+1 AVG	BGHT 20643.33				4,615.40	0.00
28SEP17	B	1	PD28DEC17	20630.00	20890.77	USD	1,303.85	
28SEP17	S	1	PD28DEC17	20690.00	20890.77	USD	-1,003.85	
28SEP17	S	2	PD28DEC17	20700.00	20890.77	USD	-1,907.70	
28SEP17	B	1	PD28DEC17	20700.00	20890.77	USD	953.85	
28SEP17	B	1	PD28DEC17	20705.00	20890.77	USD	928.85	
28SEP17	B	1	PD28DEC17	20695.00	20890.77	USD	978.85	
28SEP17	B	2	PD28DEC17	20680.00	20890.77	USD	2,107.70	
28SEP17	B	1	PD28DEC17	20650.00	20890.77	USD	1,203.85	
28SEP17	S	1	PD28DEC17	20650.00	20890.77	USD	-1,203.85	
28SEP17	S	1	PD28DEC17	20655.00	20890.77	USD	-1,178.85	
28SEP17	S	1	PD28DEC17	20680.00	20890.77	USD	-1,053.85	
28SEP17	S	1	PD28DEC17	20670.00	20890.77	USD	-1,103.85	
28SEP17	S	1	PD28DEC17	20685.00	20890.77	USD	-1,028.85	
28SEP17	S	1	PD28DEC17	20730.00	20890.77	USD	-803.85	
28SEP17	B	1	PD28DEC17	20625.00	20890.77	USD	1,328.85	
	NET	-1 AVG	BGHT 20670.63				-478.85	0.00
29SEP17	S	1	PD29DEC17	20770.00	20888.46	USD	-592.30	
29SEP17	B	1	PD29DEC17	20675.00	20888.46	USD	1,067.30	
29SEP17	B	1	PD29DEC17	20685.00	20888.46	USD	1,017.30	
29SEP17	B	2	PD29DEC17	20745.00	20888.46	USD	1,434.60	
29SEP17	S	1	PD29DEC17	20745.00	20888.46	USD	-717.30	
29SEP17	S	1	PD29DEC17	20760.00	20888.46	USD	-642.30	
29SEP17	B	2	PD29DEC17	20730.00	20888.46	USD	1,584.60	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET +3 AVG BGHT 20718.33 SOLD 20758.33				3,151.90	0.00
020CT17	B 2 PD02JAN18	20755.00	20886.15	USD	1,311.50	
	NET +2 AVG BGHT 20755.00				1,311.50	0.00
050CT17	S 1 PD05JAN18	20905.00	20879.23	USD	128.85	
050CT17	S 1 PD05JAN18	20960.00	20879.23	USD	403.85	
050CT17	B 1 PD05JAN18	20965.00	20879.23	USD	-428.85	
050CT17	S 2 PD05JAN18	20965.00	20879.23	USD	857.70	
050CT17	S 3 PD05JAN18	20975.00	20879.23	USD	1,436.55	
050CT17	S 1 PD05JAN18	20985.00	20879.23	USD	528.85	
050CT17	S 1 PD05JAN18	21075.00	20879.23	USD	978.85	
050CT17	S 1 PD05JAN18	21080.00	20879.23	USD	1,003.85	
050CT17	S 1 PD05JAN18	21110.00	20879.23	USD	1,153.85	
050CT17	B 1 PD05JAN18	21100.00	20879.23	USD	-1,103.85	
050CT17	B 2 PD05JAN18	21180.00	20879.23	USD	-3,007.70	
050CT17	B 1 PD05JAN18	21165.00	20879.23	USD	-1,428.85	
050CT17	S 1 PD05JAN18	21165.00	20879.23	USD	1,428.85	
050CT17	S 3 PD05JAN18	21175.00	20879.23	USD	4,436.55	
050CT17	B 1 PD05JAN18	21095.00	20879.23	USD	-1,078.85	
050CT17	B 1 PD05JAN18	21150.00	20879.23	USD	-1,353.85	
050CT17	S 2 PD05JAN18	21145.00	20879.23	USD	2,657.70	
050CT17	B 1 PD05JAN18	21030.00	20879.23	USD	-753.85	
050CT17	B 1 PD05JAN18	21135.00	20879.23	USD	-1,278.85	
050CT17	S 1 PD05JAN18	21135.00	20879.23	USD	1,278.85	
050CT17	B 8 PD05JAN18	20975.00	20879.23	USD	-3,830.80	
050CT17	B 1 PD05JAN18	21045.00	20879.23	USD	-828.85	
050CT17	S 2 PD05JAN18	21155.00	20879.23	USD	2,757.70	
050CT17	S 3 PD05JAN18	21050.00	20879.23	USD	2,561.55	
050CT17	B 4 PD05JAN18	21025.00	20879.23	USD	-2,915.40	
050CT17	B 1 PD05JAN18	21090.00	20879.23	USD	-1,053.85	
060CT17	B 1 PD05JAN18	20930.00	20879.23	USD	-253.85	
060CT17	B 1 PD05JAN18	20950.00	20879.23	USD	-353.85	
060CT17	S 1 PD05JAN18	20965.00	20879.23	USD	428.85	
060CT17	B 1 PD05JAN18	20850.00	20879.23	USD	146.15	
060CT17	S 2 PD05JAN18	21015.00	20879.23	USD	1,357.70	
060CT17	S 2 PD05JAN18	20995.00	20879.23	USD	1,157.70	
060CT17	B 1 PD05JAN18	20995.00	20879.23	USD	-578.85	
060CT17	B 1 PD05JAN18	21020.00	20879.23	USD	-703.85	
060CT17	S 1 PD05JAN18	20775.00	20879.23	USD	-521.15	
060CT17	B 1 PD05JAN18	20705.00	20879.23	USD	871.15	
060CT17	B 1 PD05JAN18	20610.00	20879.23	USD	1,346.15	
060CT17	S 1 PD05JAN18	20605.00	20879.23	USD	-1,371.15	
	NET 0AVG BGHT 21003.17 SOLD 21030.33				4,075.00	0.00
090CT17	B 1 PD09JAN18	20815.00	20874.62	USD	298.10	
090CT17	B 1 PD09JAN18	20810.00	20874.62	USD	323.10	
090CT17	S 1 PD09JAN18	20785.00	20874.62	USD	-448.10	
090CT17	B 1 PD09JAN18	20820.00	20874.62	USD	273.10	
090CT17	S 1 PD09JAN18	20830.00	20874.62	USD	-223.10	
090CT17	S 1 PD09JAN18	20815.00	20874.62	USD	-298.10	
	NET 0AVG BGHT 20815.00 SOLD 20810.00				-75.00	0.00
100CT17	B 1 PD10JAN18	20835.00	20872.31	USD	186.55	
100CT17	B 1 PD10JAN18	20845.00	20872.31	USD	136.55	
100CT17	B 2 PD10JAN18	20850.00	20872.31	USD	223.10	
100CT17	B 2 PD10JAN18	20860.00	20872.31	USD	123.10	
100CT17	S 1 PD10JAN18	20790.00	20872.31	USD	-411.55	
100CT17	S 3 PD10JAN18	20870.00	20872.31	USD	-34.65	
100CT17	S 1 PD10JAN18	20875.00	20872.31	USD	13.45	

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10OCT17	S	1	PD10JAN18	20880.00	20872.31	USD	38.45	
10OCT17	S	1	PD10JAN18	20890.00	20872.31	USD	88.45	
10OCT17	B	1	PD10JAN18	20765.00	20872.31	USD	536.55	
	NET	0AVG	BGHT 20837.86				900.00	0.00
11OCT17	B	1	PD11JAN18	20695.00	20870.00	USD	875.00	
11OCT17	B	1	PD11JAN18	20730.00	20870.00	USD	700.00	
11OCT17	B	1	PD11JAN18	20735.00	20870.00	USD	675.00	
11OCT17	B	4	PD11JAN18	20745.00	20870.00	USD	2,500.00	
11OCT17	B	3	PD11JAN18	20750.00	20870.00	USD	1,800.00	
11OCT17	B	3	PD11JAN18	20755.00	20870.00	USD	1,725.00	
11OCT17	B	1	PD11JAN18	20760.00	20870.00	USD	550.00	
11OCT17	B	1	PD11JAN18	20775.00	20870.00	USD	475.00	
11OCT17	B	1	PD11JAN18	20790.00	20870.00	USD	400.00	
11OCT17	B	2	PD11JAN18	20795.00	20870.00	USD	750.00	
11OCT17	B	3	PD11JAN18	20800.00	20870.00	USD	1,050.00	
11OCT17	S	1	PD11JAN18	20725.00	20870.00	USD	-725.00	
11OCT17	S	2	PD11JAN18	20745.00	20870.00	USD	-1,250.00	
11OCT17	S	1	PD11JAN18	20760.00	20870.00	USD	-550.00	
11OCT17	S	2	PD11JAN18	20765.00	20870.00	USD	-1,050.00	
11OCT17	S	2	PD11JAN18	20770.00	20870.00	USD	-1,000.00	
11OCT17	S	1	PD11JAN18	20785.00	20870.00	USD	-425.00	
11OCT17	S	1	PD11JAN18	20790.00	20870.00	USD	-400.00	
11OCT17	S	6	PD11JAN18	20800.00	20870.00	USD	-2,100.00	
11OCT17	S	3	PD11JAN18	20805.00	20870.00	USD	-975.00	
11OCT17	S	2	PD11JAN18	20810.00	20870.00	USD	-600.00	
11OCT17	S	1	PD11JAN18	20815.00	20870.00	USD	-275.00	
11OCT17	S	1	PD11JAN18	20825.00	20870.00	USD	-225.00	
11OCT17	B	3	PD11JAN18	20780.00	20870.00	USD	1,350.00	
11OCT17	S	1	PD11JAN18	20775.00	20870.00	USD	-475.00	
	NET	0AVG	BGHT 20762.92				2,800.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	2836.50	3299.33	USD	-11,570.75	
12JUL17	B	1	PD12OCT17	2824.50	3299.33	USD	11,870.75	
	NET	0AVG	BGHT 2824.50				300.00	0.00
13JUL17	S	1	PD13OCT17	2840.50	3295.00	USD	-11,362.50	
14JUL17	B	1	PD13OCT17	2786.00	3295.00	USD	12,725.00	
	NET	0AVG	BGHT 2786.00				1,362.50	0.00
17JUL17	S	1	PD17OCT17	2822.00	3286.33	USD	-11,608.25	
17JUL17	S	1	PD17OCT17	2831.50	3286.33	USD	-11,370.75	
17JUL17	S	1	PD17OCT17	2834.00	3286.33	USD	-11,308.25	
17JUL17	S	1	PD17OCT17	2844.00	3286.33	USD	-11,058.25	
17JUL17	B	1	PD17OCT17	2822.00	3286.33	USD	11,608.25	
08AUG17	B	3	PD17OCT17	2924.33	3286.33	USD	27,150.00	
	NET	0AVG	BGHT 2898.75				-6,587.25	0.00
18JUL17	B	1	PD18OCT17	2786.00	3282.00	USD	12,400.00	
18JUL17	S	1	PD18OCT17	2784.00	3282.00	USD	-12,450.00	
	NET	0AVG	BGHT 2786.00				-50.00	0.00
20JUL17	B	1	PD20OCT17	2723.00	3273.00	USD	13,750.00	
20JUL17	B	1	PD20OCT17	2727.00	3273.00	USD	13,650.00	
21JUL17	B	1	PD20OCT17	2764.00	3273.00	USD	12,725.00	
21JUL17	S	1	PD20OCT17	2763.00	3273.00	USD	-12,750.00	
21JUL17	B	1	PD20OCT17	2765.00	3273.00	USD	12,700.00	
21JUL17	S	1	PD20OCT17	2771.00	3273.00	USD	-12,550.00	
21JUL17	B	1	PD20OCT17	2755.00	3273.00	USD	12,950.00	

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08AUG17	S	3	PD200CT17	2922.80	3273.00	USD	-26,265.00	
	NET	0 AVG	BGHT 2746.80	SOLD 2860.48			14,210.00	0.00
24JUL17	S	1	PD240CT17	2783.00	3267.00	USD	-12,100.00	
08AUG17	B	1	PD240CT17	2923.80	3267.00	USD	8,580.00	
	NET	0 AVG	BGHT 2923.80	SOLD 2783.00			-3,520.00	0.00
25JUL17	S	1	PD250CT17	2837.50	3264.00	USD	-10,662.50	
25JUL17	S	1	PD250CT17	2823.00	3264.00	USD	-11,025.00	
25JUL17	B	1	PD250CT17	2820.50	3264.00	USD	11,087.50	
25JUL17	B	1	PD250CT17	2818.50	3264.00	USD	11,137.50	
25JUL17	B	1	PD250CT17	2822.00	3264.00	USD	11,050.00	
08AUG17	S	1	PD250CT17	2923.80	3264.00	USD	-8,505.00	
	NET	0 AVG	BGHT 2820.33	SOLD 2861.43			3,082.50	0.00
26JUL17	B	1	PD260CT17	2849.00	3262.33	USD	10,333.25	
26JUL17	S	1	PD260CT17	2849.00	3262.33	USD	-10,333.25	
26JUL17	B	1	PD260CT17	2818.00	3262.33	USD	11,108.25	
26JUL17	B	1	PD260CT17	2822.00	3262.33	USD	11,008.25	
08AUG17	S	2	PD260CT17	2924.00	3262.33	USD	-16,916.50	
	NET	0 AVG	BGHT 2829.67	SOLD 2899.00			5,200.00	0.00
27JUL17	B	1	PD270CT17	2814.00	3260.67	USD	11,166.75	
28JUL17	S	1	PD270CT17	2770.00	3260.67	USD	-12,266.75	
28JUL17	B	1	PD270CT17	2770.00	3260.67	USD	12,266.75	
28JUL17	S	1	PD270CT17	2772.00	3260.67	USD	-12,216.75	
28JUL17	S	1	PD270CT17	2779.50	3260.67	USD	-12,029.25	
28JUL17	S	1	PD270CT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	B	1	PD270CT17	2785.00	3260.67	USD	11,891.75	
28JUL17	B	2	PD270CT17	2790.00	3260.67	USD	23,533.50	
28JUL17	S	2	PD270CT17	2789.00	3260.67	USD	-23,583.50	
28JUL17	B	2	PD270CT17	2775.50	3260.67	USD	24,258.50	
08AUG17	S	1	PD270CT17	2924.00	3260.67	USD	-8,416.75	
	NET	0 AVG	BGHT 2785.71	SOLD 2801.43			2,750.00	0.00
31JUL17	B	1	PD310CT17	2783.00	3257.33	USD	11,858.25	
31JUL17	S	1	PD310CT17	2780.50	3257.33	USD	-11,920.75	
31JUL17	S	1	PD310CT17	2782.00	3257.33	USD	-11,883.25	
31JUL17	S	1	PD310CT17	2801.50	3257.33	USD	-11,395.75	
31JUL17	B	1	PD310CT17	2816.00	3257.33	USD	11,033.25	
31JUL17	S	1	PD310CT17	2786.00	3257.33	USD	-11,783.25	
31JUL17	S	1	PD310CT17	2827.00	3257.33	USD	-10,758.25	
31JUL17	B	1	PD310CT17	2796.50	3257.33	USD	11,520.75	
31JUL17	B	1	PD310CT17	2821.00	3257.33	USD	10,908.25	
08AUG17	B	1	PD310CT17	2924.20	3257.33	USD	8,328.25	
	NET	0 AVG	BGHT 2828.14	SOLD 2795.40			-4,092.50	0.00
02AUG17	S	1	PD02NOV17	2783.00	3254.00	USD	-11,775.00	
02AUG17	S	1	PD02NOV17	2784.00	3254.00	USD	-11,750.00	
08AUG17	B	2	PD02NOV17	2924.53	3254.00	USD	16,473.50	
	NET	0 AVG	BGHT 2924.53	SOLD 2783.50			-7,051.50	0.00
04AUG17	S	1	PD03NOV17	2823.50	3252.89	USD	-10,734.75	
04AUG17	S	1	PD03NOV17	2833.00	3252.89	USD	-10,497.25	
04AUG17	B	1	PD03NOV17	2826.00	3252.89	USD	10,672.25	
04AUG17	B	1	PD03NOV17	2814.00	3252.89	USD	10,972.25	
	NET	0 AVG	BGHT 2820.00	SOLD 2828.25			412.50	0.00
07AUG17	S	1	PD07NOV17	2875.00	3250.67	USD	-9,391.75	
07AUG17	B	1	PD07NOV17	2868.00	3250.67	USD	9,566.75	
07AUG17	S	1	PD07NOV17	2880.50	3250.67	USD	-9,254.25	
07AUG17	S	1	PD07NOV17	2884.50	3250.67	USD	-9,154.25	
	NET	-2 AVG	BGHT 2868.00	SOLD 2880.00			-18,233.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	1	PD08NOV17	2924.00	3249.56	USD	-8,139.00	
08AUG17	B	1	PD08NOV17	2925.00	3249.56	USD	8,114.00	
08AUG17	S	1	PD08NOV17	2929.00	3249.56	USD	-8,014.00	
	NET	-1 AVG BGHT 2925.00	SOLD 2926.50				-8,039.00	0.00
09AUG17	S	1	PD09NOV17	2967.00	3248.44	USD	-7,036.00	
09AUG17	S	1	PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	B	2	PD09NOV17	2935.00	3248.44	USD	15,672.00	
09AUG17	S	1	PD09NOV17	2935.00	3248.44	USD	-7,836.00	
	NET	-1 AVG BGHT 2935.00	SOLD 2959.00				-6,036.00	0.00
10AUG17	S	1	PD10NOV17	2947.00	3247.33	USD	-7,508.25	
10AUG17	B	1	PD10NOV17	2940.50	3247.33	USD	7,670.75	
10AUG17	B	1	PD10NOV17	2924.00	3247.33	USD	8,083.25	
10AUG17	S	1	PD10NOV17	2938.00	3247.33	USD	-7,733.25	
10AUG17	B	1	PD10NOV17	2938.00	3247.33	USD	7,733.25	
10AUG17	S	2	PD10NOV17	2937.00	3247.33	USD	-15,516.50	
10AUG17	B	1	PD10NOV17	2930.50	3247.33	USD	7,920.75	
10AUG17	S	1	PD10NOV17	2931.00	3247.33	USD	-7,908.25	
10AUG17	B	1	PD10NOV17	2931.50	3247.33	USD	7,895.75	
11AUG17	B	1	PD10NOV17	2917.00	3247.33	USD	8,258.25	
11AUG17	S	1	PD10NOV17	2925.00	3247.33	USD	-8,058.25	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
11AUG17	B	1	PD10NOV17	2900.00	3247.33	USD	8,683.25	
11AUG17	S	1	PD10NOV17	2900.00	3247.33	USD	-8,683.25	
	NET	-1 AVG BGHT 2925.93	SOLD 2927.50				-7,720.75	0.00
14AUG17	B	1	PD14NOV17	2897.00	3245.11	USD	8,702.75	
14AUG17	B	1	PD14NOV17	2901.00	3245.11	USD	8,602.75	
14AUG17	S	1	PD14NOV17	2914.50	3245.11	USD	-8,265.25	
14AUG17	B	1	PD14NOV17	2914.50	3245.11	USD	8,265.25	
	NET	+2 AVG BGHT 2904.17	SOLD 2914.50				17,305.50	0.00
15AUG17	S	1	PD15NOV17	2933.50	3244.00	USD	-7,762.50	
15AUG17	S	1	PD15NOV17	2936.00	3244.00	USD	-7,700.00	
15AUG17	B	1	PD15NOV17	2932.50	3244.00	USD	7,787.50	
15AUG17	B	1	PD15NOV17	2936.00	3244.00	USD	7,700.00	
15AUG17	S	1	PD15NOV17	2952.00	3244.00	USD	-7,300.00	
15AUG17	S	1	PD15NOV17	2956.00	3244.00	USD	-7,200.00	
15AUG17	B	1	PD15NOV17	2954.50	3244.00	USD	7,237.50	
	NET	-1 AVG BGHT 2941.00	SOLD 2944.38				-7,237.50	0.00
16AUG17	B	1	PD16NOV17	2953.00	3241.50	USD	7,212.50	
16AUG17	S	1	PD16NOV17	2979.00	3241.50	USD	-6,562.50	
16AUG17	S	1	PD16NOV17	2983.00	3241.50	USD	-6,462.50	
16AUG17	B	2	PD16NOV17	3035.00	3241.50	USD	10,325.00	
16AUG17	S	1	PD16NOV17	3033.00	3241.50	USD	-5,212.50	
16AUG17	S	1	PD16NOV17	3034.00	3241.50	USD	-5,187.50	
16AUG17	B	1	PD16NOV17	3078.00	3241.50	USD	4,087.50	
16AUG17	S	1	PD16NOV17	3084.00	3241.50	USD	-3,937.50	
16AUG17	B	1	PD16NOV17	3114.00	3241.50	USD	3,187.50	
16AUG17	S	1	PD16NOV17	3110.00	3241.50	USD	-3,287.50	
	NET	-1 AVG BGHT 3043.00	SOLD 3037.17				-5,837.50	0.00
17AUG17	B	1	PD17NOV17	3137.50	3239.00	USD	2,537.50	
17AUG17	S	1	PD17NOV17	3137.00	3239.00	USD	-2,550.00	
17AUG17	B	1	PD17NOV17	3086.00	3239.00	USD	3,825.00	
17AUG17	B	1	PD17NOV17	3076.00	3239.00	USD	4,075.00	
17AUG17	S	2	PD17NOV17	3076.00	3239.00	USD	-8,150.00	
17AUG17	B	2	PD17NOV17	3061.50	3239.00	USD	8,875.00	
17AUG17	S	1	PD17NOV17	3060.00	3239.00	USD	-4,475.00	
17AUG17	S	1	PD17NOV17	3068.50	3239.00	USD	-4,262.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	3108.00	3239.00	USD	3,275.00	
18AUG17	B	2	PD17NOV17	3110.00	3239.00	USD	6,450.00	
18AUG17	B	1	PD17NOV17	3112.00	3239.00	USD	3,175.00	
18AUG17	S	1	PD17NOV17	3108.00	3239.00	USD	-3,275.00	
18AUG17	B	1	PD17NOV17	3123.00	3239.00	USD	2,900.00	
18AUG17	S	1	PD17NOV17	3129.50	3239.00	USD	-2,737.50	
18AUG17	S	1	PD17NOV17	3109.00	3239.00	USD	-3,250.00	
18AUG17	B	1	PD17NOV17	3121.50	3239.00	USD	2,937.50	
	NET	+3 AVG BGHT 3100.64	SOLD 3095.50				9,350.00	0.00
21AUG17	B	1	PD21NOV17	3137.00	3237.00	USD	2,500.00	
21AUG17	S	1	PD21NOV17	3140.00	3237.00	USD	-2,425.00	
	NET	0 AVG BGHT 3137.00	SOLD 3140.00				75.00	0.00
22AUG17	B	1	PD22NOV17	3105.50	3236.00	USD	3,262.50	
22AUG17	S	1	PD22NOV17	3107.50	3236.00	USD	-3,212.50	
22AUG17	B	1	PD22NOV17	3101.00	3236.00	USD	3,375.00	
22AUG17	B	1	PD22NOV17	3101.50	3236.00	USD	3,362.50	
22AUG17	S	1	PD22NOV17	3099.50	3236.00	USD	-3,412.50	
22AUG17	S	1	PD22NOV17	3140.00	3236.00	USD	-2,400.00	
22AUG17	S	1	PD22NOV17	3156.00	3236.00	USD	-2,000.00	
22AUG17	B	2	PD22NOV17	3142.00	3236.00	USD	4,700.00	
22AUG17	B	1	PD22NOV17	3144.00	3236.00	USD	2,300.00	
22AUG17	B	1	PD22NOV17	3130.00	3236.00	USD	2,650.00	
22AUG17	S	1	PD22NOV17	3114.00	3236.00	USD	-3,050.00	
22AUG17	S	1	PD22NOV17	3115.00	3236.00	USD	-3,025.00	
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
22AUG17	B	1	PD22NOV17	3115.00	3236.00	USD	3,025.00	
22AUG17	S	1	PD22NOV17	3109.00	3236.00	USD	-3,175.00	
	NET	0 AVG BGHT 3122.63	SOLD 3120.13				-500.00	0.00
23AUG17	S	1	PD24NOV17	3119.50	3235.00	USD	-2,887.50	
23AUG17	B	1	PD24NOV17	3116.50	3235.00	USD	2,962.50	
23AUG17	B	1	PD24NOV17	3119.50	3235.00	USD	2,887.50	
23AUG17	B	1	PD24NOV17	3090.00	3235.00	USD	3,625.00	
25AUG17	S	1	PD24NOV17	3066.00	3235.00	USD	-4,225.00	
25AUG17	S	1	PD24NOV17	3069.50	3235.00	USD	-4,137.50	
	NET	0 AVG BGHT 3108.67	SOLD 3085.00				-1,775.00	0.00
29AUG17	S	1	PD29NOV17	3092.50	3234.00	USD	-3,537.50	
29AUG17	B	1	PD29NOV17	3110.50	3234.00	USD	3,087.50	
29AUG17	B	1	PD29NOV17	3112.50	3234.00	USD	3,037.50	
29AUG17	S	1	PD29NOV17	3125.00	3234.00	USD	-2,725.00	
	NET	0 AVG BGHT 3111.50	SOLD 3108.75				-137.50	0.00
30AUG17	S	1	PD30NOV17	3145.00	3233.67	USD	-2,216.75	
30AUG17	B	1	PD30NOV17	3107.00	3233.67	USD	3,166.75	
30AUG17	B	1	PD30NOV17	3095.00	3233.67	USD	3,466.75	
30AUG17	B	1	PD30NOV17	3087.50	3233.67	USD	3,654.25	
30AUG17	B	1	PD30NOV17	3090.50	3233.67	USD	3,579.25	
30AUG17	S	1	PD30NOV17	3087.00	3233.67	USD	-3,666.75	
31AUG17	S	1	PD30NOV17	3114.00	3233.67	USD	-2,991.75	
31AUG17	B	1	PD30NOV17	3125.50	3233.67	USD	2,704.25	
31AUG17	S	1	PD30NOV17	3129.00	3233.67	USD	-2,616.75	
31AUG17	S	1	PD30NOV17	3142.00	3233.67	USD	-2,291.75	
31AUG17	S	1	PD30NOV17	3157.50	3233.67	USD	-1,904.25	
31AUG17	B	1	PD30NOV17	3126.00	3233.67	USD	2,691.75	
31AUG17	B	1	PD30NOV17	3135.00	3233.67	USD	2,466.75	
	NET	+1 AVG BGHT 3109.50	SOLD 3129.08				6,041.75	0.00
01SEP17	B	1	PD01DEC17	3162.50	3233.33	USD	1,770.75	
	NET	+1 AVG BGHT 3162.50						0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							1,770.75	
06OCT17	S	1	PD05JAN18		3279.50	3221.00	USD	1,462.50	
06OCT17	B	1	PD05JAN18		3266.00	3221.00	USD	-1,125.00	
06OCT17	S	1	PD05JAN18		3252.00	3221.00	USD	775.00	
06OCT17	B	1	PD05JAN18		3257.00	3221.00	USD	-900.00	
06OCT17	S	1	PD05JAN18		3257.50	3221.00	USD	912.50	
06OCT17	B	1	PD05JAN18		3235.00	3221.00	USD	-350.00	
	NET	0 AVG	BGHT 3252.67	SOLD 3263.00				775.00	0.00
11OCT17	B	1	PD11JAN18		3231.50	3219.00	USD	-312.50	
11OCT17	B	1	PD11JAN18		3234.50	3219.00	USD	-387.50	
11OCT17	B	1	PD11JAN18		3240.50	3219.00	USD	-537.50	
11OCT17	S	1	PD11JAN18		3236.50	3219.00	USD	437.50	
11OCT17	S	1	PD11JAN18		3243.00	3219.00	USD	600.00	
11OCT17	S	1	PD11JAN18		3244.00	3219.00	USD	625.00	
	NET	0 AVG	BGHT 3235.50	SOLD 3241.17				425.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-51,687.50
LME Copper Grade A 25	FUTURES	-15,310.75
LME Lead 25 tonnes. This is an	FUTURES	59,123.00
LME Nickel 6 tonnes. This is	FUTURES	52,821.78
LME Tin 5 tonnes. This is an	FUTURES	17,242.80
LME Zinc 25 tonnes. This is	FUTURES	-12,308.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	1,338.50	1,338.50		
COPL	- Futures	USD	-15,310.75	-15,310.75		
LEAD	- Futures	USD	-5,118.75	-5,118.75		
NKL	- Futures	USD	-13,739.76	-13,739.76		
TIN	- Futures	USD	17,338.30	17,338.30		
ZINC	- Futures	USD	-13,757.50	-13,757.50	-29,249.96	-29,249.96

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-7,315.35	-7,315.35
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-7,315.35	-7,315.35
Initial Margins		-67,079.96	-67,079.96
Excess/(Shortage)		-74,395.31	-74,395.31
FOR INFORMATION ONLY			
Forward Unrealised P&L		-29,249.96	-29,249.96
Net Liquidating Value		-36,565.31	-36,565.31

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	1	PD120CT17	1889.00	2104.25	USD	5,381.25	
12JUL17	S	1	PD120CT17	1889.00	2104.25	USD	-5,381.25	
	NET	0AVG BGHT 1889.00	SOLD 1889.00				0.00	0.00
13JUL17	S	1	PD130CT17	1899.00	2104.75	USD	-5,143.75	
13JUL17	S	1	PD130CT17	1898.00	2104.75	USD	-5,168.75	
13JUL17	S	1	PD130CT17	1902.50	2104.75	USD	-5,056.25	
13JUL17	S	1	PD130CT17	1914.00	2104.75	USD	-4,768.75	
13JUL17	S	2	PD130CT17	1914.50	2104.75	USD	-9,512.50	
13JUL17	S	1	PD130CT17	1916.50	2104.75	USD	-4,706.25	
13JUL17	S	1	PD130CT17	1917.00	2104.75	USD	-4,693.75	
13JUL17	S	1	PD130CT17	1921.00	2104.75	USD	-4,593.75	
13JUL17	S	1	PD130CT17	1924.00	2104.75	USD	-4,518.75	
13JUL17	S	1	PD130CT17	1937.00	2104.75	USD	-4,193.75	
13JUL17	S	2	PD130CT17	1940.00	2104.75	USD	-8,237.50	
13JUL17	S	1	PD130CT17	1935.50	2104.75	USD	-4,231.25	
13JUL17	S	2	PD130CT17	1934.50	2104.75	USD	-8,512.50	
13JUL17	B	4	PD130CT17	1923.50	2104.75	USD	18,125.00	
13JUL17	B	2	PD130CT17	1923.00	2104.75	USD	9,087.50	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
14JUL17	B	2	PD130CT17	1921.50	2104.75	USD	9,162.50	
14JUL17	B	1	PD130CT17	1922.00	2104.75	USD	4,568.75	
14JUL17	B	1	PD130CT17	1922.50	2104.75	USD	4,556.25	
17JUL17	B	5	PD130CT17	1917.46	2104.75	USD	23,411.25	
	NET	0AVG BGHT 1920.99	SOLD 1921.41				167.50	0.00
17JUL17	B	1	PD170CT17	1899.00	2106.75	USD	5,193.75	
17JUL17	B	1	PD170CT17	1899.50	2106.75	USD	5,181.25	
17JUL17	B	1	PD170CT17	1900.00	2106.75	USD	5,168.75	
17JUL17	S	1	PD170CT17	1911.00	2106.75	USD	-4,893.75	
26JUL17	S	2	PD170CT17	1940.80	2106.75	USD	-8,297.50	
	NET	0AVG BGHT 1899.50	SOLD 1930.87				2,352.50	0.00
18JUL17	S	2	PD180CT17	1908.50	2107.25	USD	-9,937.50	
18JUL17	S	2	PD180CT17	1931.00	2107.25	USD	-8,812.50	
18JUL17	S	1	PD180CT17	1930.00	2107.25	USD	-4,431.25	
26JUL17	B	5	PD180CT17	1941.00	2107.25	USD	20,781.25	
	NET	0AVG BGHT 1941.00	SOLD 1921.80				-2,400.00	0.00
19JUL17	S	1	PD190CT17	1934.50	2107.75	USD	-4,331.25	
19JUL17	S	2	PD190CT17	1933.50	2107.75	USD	-8,712.50	
19JUL17	S	1	PD190CT17	1935.50	2107.75	USD	-4,306.25	
19JUL17	S	2	PD190CT17	1936.00	2107.75	USD	-8,587.50	
19JUL17	B	3	PD190CT17	1919.00	2107.75	USD	14,156.25	
19JUL17	S	1	PD190CT17	1925.00	2107.75	USD	-4,568.75	
19JUL17	B	2	PD190CT17	1920.50	2107.75	USD	9,362.50	
26JUL17	B	2	PD190CT17	1940.70	2107.75	USD	8,352.50	
	NET	0AVG BGHT 1925.63	SOLD 1933.43				1,365.00	0.00
20JUL17	B	1	PD200CT17	1925.00	2108.25	USD	4,581.25	
20JUL17	B	1	PD200CT17	1922.00	2108.25	USD	4,656.25	
20JUL17	B	1	PD200CT17	1921.00	2108.25	USD	4,681.25	
20JUL17	B	1	PD200CT17	1916.50	2108.25	USD	4,793.75	
20JUL17	B	1	PD200CT17	1918.00	2108.25	USD	4,756.25	
21JUL17	S	1	PD200CT17	1923.50	2108.25	USD	-4,618.75	
21JUL17	S	1	PD200CT17	1918.00	2108.25	USD	-4,756.25	
21JUL17	S	2	PD200CT17	1919.00	2108.25	USD	-9,462.50	
26JUL17	S	1	PD200CT17	1940.51	2108.25	USD	-4,193.50	
	NET	0AVG BGHT 1920.50	SOLD 1924.00				437.75	0.00
24JUL17	S	1	PD240CT17	1922.50	2110.25	USD	-4,693.75	
24JUL17	S	1	PD240CT17	1921.00	2110.25	USD	-4,731.25	

OPEN POSITION STATEMENT



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SAP0094S

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
24JUL17	S	1	PD240CT17	1921.50	2110.25	USD	-4,718.75	
24JUL17	B	3	PD240CT17	1912.50	2110.25	USD	14,831.25	
24JUL17	B	2	PD240CT17	1915.00	2110.25	USD	9,762.50	
24JUL17	B	1	PD240CT17	1911.50	2110.25	USD	4,968.75	
24JUL17	B	1	PD240CT17	1913.00	2110.25	USD	4,931.25	
26JUL17	S	4	PD240CT17	1941.37	2110.25	USD	-16,888.00	
	NET	0 AVG	BGHT 1913.14	SOLD 1932.93			3,462.00	0.00
25JUL17	S	1	PD250CT17	1919.00	2110.75	USD	-4,793.75	
25JUL17	S	1	PD250CT17	1933.50	2110.75	USD	-4,431.25	
25JUL17	S	1	PD250CT17	1935.00	2110.75	USD	-4,393.75	
25JUL17	S	1	PD250CT17	1938.00	2110.75	USD	-4,318.75	
25JUL17	S	1	PD250CT17	1939.50	2110.75	USD	-4,281.25	
25JUL17	S	2	PD250CT17	1940.00	2110.75	USD	-8,537.50	
25JUL17	S	1	PD250CT17	1941.50	2110.75	USD	-4,231.25	
25JUL17	S	1	PD250CT17	1942.00	2110.75	USD	-4,218.75	
27JUL17	B	7	PD250CT17	1937.50	2110.75	USD	30,318.75	
28JUL17	B	2	PD250CT17	1906.25	2110.75	USD	10,225.00	
	NET	0 AVG	BGHT 1930.56	SOLD 1936.50			1,337.50	0.00
26JUL17	S	1	PD260CT17	1960.00	2111.25	USD	-3,781.25	
26JUL17	S	1	PD260CT17	1961.50	2111.25	USD	-3,743.75	
26JUL17	B	1	PD260CT17	1935.50	2111.25	USD	4,393.75	
26JUL17	B	1	PD260CT17	1933.00	2111.25	USD	4,456.25	
26JUL17	B	2	PD260CT17	1934.50	2111.25	USD	8,837.50	
26JUL17	B	1	PD260CT17	1933.50	2111.25	USD	4,443.75	
26JUL17	B	1	PD260CT17	1935.00	2111.25	USD	4,406.25	
26JUL17	B	1	PD260CT17	1932.00	2111.25	USD	4,481.25	
26JUL17	B	1	PD260CT17	1934.00	2111.25	USD	4,431.25	
26JUL17	B	1	PD260CT17	1937.00	2111.25	USD	4,356.25	
27JUL17	S	7	PD260CT17	1937.55	2111.25	USD	-30,397.50	
	NET	0 AVG	BGHT 1934.33	SOLD 1942.71			1,883.75	0.00
27JUL17	S	2	PD270CT17	1949.00	2111.00	USD	-8,100.00	
27JUL17	S	1	PD270CT17	1950.00	2111.00	USD	-4,025.00	
27JUL17	B	1	PD270CT17	1942.00	2111.00	USD	4,225.00	
27JUL17	B	1	PD270CT17	1943.50	2111.00	USD	4,187.50	
27JUL17	B	1	PD270CT17	1937.50	2111.00	USD	4,337.50	
27JUL17	B	1	PD270CT17	1938.50	2111.00	USD	4,312.50	
27JUL17	B	1	PD270CT17	1937.00	2111.00	USD	4,350.00	
28JUL17	B	1	PD270CT17	1915.00	2111.00	USD	4,900.00	
28JUL17	B	1	PD270CT17	1916.50	2111.00	USD	4,862.50	
28JUL17	B	2	PD270CT17	1910.50	2111.00	USD	10,025.00	
28JUL17	B	1	PD270CT17	1912.00	2111.00	USD	4,975.00	
28JUL17	B	1	PD270CT17	1914.00	2111.00	USD	4,925.00	
28JUL17	S	1	PD270CT17	1924.00	2111.00	USD	-4,675.00	
28JUL17	S	2	PD270CT17	1924.50	2111.00	USD	-9,325.00	
28JUL17	B	2	PD270CT17	1915.50	2111.00	USD	9,775.00	
28JUL17	B	1	PD270CT17	1916.00	2111.00	USD	4,875.00	
28JUL17	S	2	PD270CT17	1906.80	2111.00	USD	-10,210.00	
28JUL17	S	5	PD270CT17	1911.00	2111.00	USD	-25,000.00	
28JUL17	S	1	PD270CT17	1911.50	2111.00	USD	-4,987.50	
28JUL17	B	1	PD270CT17	1909.00	2111.00	USD	5,050.00	
09AUG17	S	1	PD270CT17	2025.18	2111.00	USD	-2,145.50	
	NET	0 AVG	BGHT 1922.20	SOLD 1928.42			2,332.00	0.00
31JUL17	S	1	PD310CT17	1917.00	2110.50	USD	-4,837.50	
31JUL17	S	2	PD310CT17	1921.00	2110.50	USD	-9,475.00	
31JUL17	S	1	PD310CT17	1924.50	2110.50	USD	-4,650.00	
31JUL17	B	1	PD310CT17	1914.50	2110.50	USD	4,900.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31JUL17	B	1	PD31OCT17	1915.50	2110.50	USD	4,875.00	
31JUL17	B	1	PD31OCT17	1916.50	2110.50	USD	4,850.00	
31JUL17	S	1	PD31OCT17	1917.50	2110.50	USD	-4,825.00	
31JUL17	S	1	PD31OCT17	1918.50	2110.50	USD	-4,800.00	
31JUL17	B	3	PD31OCT17	1918.20	2110.50	USD	14,422.50	
	NET	0 AVG	BGHT 1916.85	SOLD 1919.92			460.00	0.00
01AUG17	B	1	PD01NOV17	1910.00	2110.81	USD	5,020.25	
01AUG17	B	1	PD01NOV17	1909.00	2110.81	USD	5,045.25	
01AUG17	B	2	PD01NOV17	1907.00	2110.81	USD	10,190.50	
09AUG17	S	4	PD01NOV17	2026.07	2110.81	USD	-8,474.00	
	NET	0 AVG	BGHT 1908.25	SOLD 2026.07			11,782.00	0.00
02AUG17	S	1	PD02NOV17	1916.00	2111.13	USD	-4,878.25	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	S	1	PD02NOV17	1923.50	2111.13	USD	-4,690.75	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
09AUG17	B	5	PD02NOV17	2026.45	2111.13	USD	10,585.00	
	NET	0 AVG	BGHT 2026.45	SOLD 1923.60			-12,856.25	0.00
03AUG17	B	2	PD03NOV17	1910.50	2111.44	USD	10,047.00	
03AUG17	S	1	PD03NOV17	1913.00	2111.44	USD	-4,961.00	
03AUG17	S	1	PD03NOV17	1913.50	2111.44	USD	-4,948.50	
	NET	0 AVG	BGHT 1910.50	SOLD 1913.25			137.50	0.00
07AUG17	S	1	PD07NOV17	1929.50	2112.69	USD	-4,579.75	
07AUG17	S	1	PD07NOV17	1930.00	2112.69	USD	-4,567.25	
07AUG17	S	2	PD07NOV17	1929.00	2112.69	USD	-9,184.50	
07AUG17	S	1	PD07NOV17	1951.50	2112.69	USD	-4,029.75	
09AUG17	B	2	PD07NOV17	2027.34	2112.69	USD	4,267.50	
23AUG17	B	3	PD07NOV17	2094.32	2112.69	USD	1,377.75	
	NET	0 AVG	BGHT 2067.53	SOLD 1933.80			-16,716.00	0.00
08AUG17	S	2	PD08NOV17	1999.50	2113.00	USD	-5,675.00	
08AUG17	S	1	PD08NOV17	2002.50	2113.00	USD	-2,762.50	
08AUG17	B	1	PD08NOV17	2006.50	2113.00	USD	2,662.50	
08AUG17	S	3	PD08NOV17	2004.50	2113.00	USD	-8,137.50	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	2006.50	2113.00	USD	-2,660.50	
08AUG17	S	1	PD08NOV17	2009.00	2113.00	USD	-2,602.00	
09AUG17	B	8	PD08NOV17	2027.52	2113.00	USD	17,096.00	
	NET	0 AVG	BGHT 2025.18	SOLD 2004.06			-4,754.00	0.00
09AUG17	B	1	PD09NOV17	2016.00	2113.46	USD	2,436.50	
09AUG17	B	1	PD09NOV17	2020.00	2113.46	USD	2,336.50	
23AUG17	S	2	PD09NOV17	2094.61	2113.46	USD	-942.50	
	NET	0 AVG	BGHT 2018.00	SOLD 2094.61			3,830.50	0.00
16AUG17	S	1	PD16NOV17	2060.50	2116.56	USD	-1,401.50	
16AUG17	S	1	PD16NOV17	2061.00	2116.56	USD	-1,389.00	
16AUG17	S	1	PD16NOV17	2062.00	2116.56	USD	-1,364.00	
16AUG17	S	1	PD16NOV17	2063.00	2116.56	USD	-1,339.00	
16AUG17	S	1	PD16NOV17	2065.50	2116.56	USD	-1,276.50	
16AUG17	B	1	PD16NOV17	2093.50	2116.56	USD	576.50	
16AUG17	B	2	PD16NOV17	2094.00	2116.56	USD	1,128.00	
16AUG17	B	1	PD16NOV17	2091.50	2116.56	USD	626.50	
16AUG17	B	1	PD16NOV17	2093.00	2116.56	USD	589.00	
	NET	0 AVG	BGHT 2093.20	SOLD 2062.40			-3,850.00	0.00
18AUG17	B	1	PD17NOV17	2065.50	2116.87	USD	1,284.25	
18AUG17	B	1	PD17NOV17	2063.00	2116.87	USD	1,346.75	
23AUG17	S	1	PD17NOV17	2095.71	2116.87	USD	-529.00	

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23AUG17	S	1	PD17NOV17	2095.91	2116.87	USD	-524.00	
	NET	0 AVG BGHT 2064.25	SOLD 2095.81				1,578.00	0.00
21AUG17	B	1	PD21NOV17	2070.00	2118.10	USD	1,202.50	
21AUG17	B	4	PD21NOV17	2074.50	2118.10	USD	4,360.00	
21AUG17	B	1	PD21NOV17	2071.50	2118.10	USD	1,165.00	
21AUG17	B	1	PD21NOV17	2073.00	2118.10	USD	1,127.50	
21AUG17	B	1	PD21NOV17	2076.00	2118.10	USD	1,052.50	
21AUG17	B	1	PD21NOV17	2077.00	2118.10	USD	1,027.50	
21AUG17	S	1	PD21NOV17	2082.50	2118.10	USD	-890.00	
21AUG17	S	1	PD21NOV17	2083.00	2118.10	USD	-877.50	
21AUG17	S	3	PD21NOV17	2083.50	2118.10	USD	-2,595.00	
21AUG17	S	1	PD21NOV17	2078.50	2118.10	USD	-990.00	
21AUG17	S	1	PD21NOV17	2079.00	2118.10	USD	-977.50	
21AUG17	S	1	PD21NOV17	2086.00	2118.10	USD	-802.50	
21AUG17	S	1	PD21NOV17	2086.50	2118.10	USD	-790.00	
	NET	0 AVG BGHT 2073.94	SOLD 2082.89				2,012.50	0.00
22AUG17	S	1	PD22NOV17	2073.50	2118.41	USD	-1,122.75	
22AUG17	S	1	PD22NOV17	2078.50	2118.41	USD	-997.75	
22AUG17	S	1	PD22NOV17	2079.50	2118.41	USD	-972.75	
22AUG17	S	1	PD22NOV17	2083.50	2118.41	USD	-872.75	
22AUG17	S	2	PD22NOV17	2085.00	2118.41	USD	-1,670.50	
22AUG17	S	5	PD22NOV17	2070.60	2118.41	USD	-5,976.25	
22AUG17	B	1	PD22NOV17	2076.50	2118.41	USD	1,047.75	
22AUG17	B	2	PD22NOV17	2076.00	2118.41	USD	2,120.50	
23AUG17	B	6	PD22NOV17	2096.89	2118.41	USD	3,228.00	
30AUG17	B	2	PD22NOV17	2085.96	2118.41	USD	1,622.50	
	NET	0 AVG BGHT 2089.25	SOLD 2076.18				-3,594.00	0.00
23AUG17	B	1	PD24NOV17	2063.00	2119.03	USD	1,400.75	
23AUG17	B	1	PD24NOV17	2062.50	2119.03	USD	1,413.25	
24AUG17	B	1	PD24NOV17	2092.00	2119.03	USD	675.75	
24AUG17	S	1	PD24NOV17	2120.50	2119.03	USD	36.75	
25AUG17	B	2	PD24NOV17	2096.00	2119.03	USD	1,151.50	
25AUG17	B	1	PD24NOV17	2096.50	2119.03	USD	563.25	
25AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
25AUG17	B	1	PD24NOV17	2091.50	2119.03	USD	688.25	
25AUG17	B	1	PD24NOV17	2079.00	2119.03	USD	1,000.75	
30AUG17	S	2	PD24NOV17	2086.15	2119.03	USD	-1,644.00	
30AUG17	S	4	PD24NOV17	2086.35	2119.03	USD	-3,268.00	
	NET	0 AVG BGHT 2084.56	SOLD 2091.84				1,455.00	0.00
29AUG17	B	1	PD29NOV17	2078.50	2120.57	USD	1,051.75	
29AUG17	S	1	PD29NOV17	2077.00	2120.57	USD	-1,089.25	
29AUG17	B	1	PD29NOV17	2077.00	2120.57	USD	1,089.25	
29AUG17	B	1	PD29NOV17	2073.50	2120.57	USD	1,176.75	
29AUG17	S	1	PD29NOV17	2078.50	2120.57	USD	-1,051.75	
29AUG17	S	1	PD29NOV17	2079.50	2120.57	USD	-1,026.75	
29AUG17	S	1	PD29NOV17	2084.00	2120.57	USD	-914.25	
29AUG17	S	1	PD29NOV17	2084.50	2120.57	USD	-901.75	
29AUG17	S	1	PD29NOV17	2086.50	2120.57	USD	-851.75	
29AUG17	S	1	PD29NOV17	2089.00	2120.57	USD	-789.25	
30AUG17	B	4	PD29NOV17	2087.51	2120.57	USD	3,306.00	
	NET	0 AVG BGHT 2082.72	SOLD 2082.71				-1.00	0.00
30AUG17	S	1	PD30NOV17	2096.50	2120.88	USD	-609.50	
30AUG17	B	1	PD30NOV17	2089.00	2120.88	USD	797.00	
30AUG17	B	1	PD30NOV17	2092.50	2120.88	USD	709.50	
31AUG17	S	1	PD30NOV17	2100.00	2120.88	USD	-522.00	
31AUG17	S	1	PD30NOV17	2117.50	2120.88	USD	-84.50	

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31AUG17	B	1	PD30NOV17	2126.00	2120.88	USD	-128.00	
31AUG17	B	1	PD30NOV17	2127.00	2120.88	USD	-153.00	
31AUG17	B	1	PD30NOV17	2131.00	2120.88	USD	-253.00	
31AUG17	B	1	PD30NOV17	2131.50	2120.88	USD	-265.50	
31AUG17	B	2	PD30NOV17	2129.50	2120.88	USD	-431.00	
31AUG17	B	1	PD30NOV17	2130.00	2120.88	USD	-228.00	
31AUG17	B	1	PD30NOV17	2127.50	2120.88	USD	-165.50	
31AUG17	B	1	PD30NOV17	2115.50	2120.88	USD	134.50	
31AUG17	S	1	PD30NOV17	2131.50	2120.88	USD	265.50	
31AUG17	S	3	PD30NOV17	2132.50	2120.88	USD	871.50	
31AUG17	B	1	PD30NOV17	2117.50	2120.88	USD	84.50	
31AUG17	S	2	PD30NOV17	2127.10	2120.88	USD	311.00	
31AUG17	S	2	PD30NOV17	2135.10	2120.88	USD	711.00	
31AUG17	B	1	PD30NOV17	2125.50	2120.88	USD	-115.50	
31AUG17	S	3	PD30NOV17	2116.80	2120.88	USD	-306.00	
06SEP17	B	1	PD30NOV17	2103.08	2120.88	USD	445.00	
	NET	0 AVG BGHT 2119.65	SOLD 2122.70				1,068.00	0.00
01SEP17	B	1	PD01DEC17	2126.50	2121.19	USD	-132.75	
06SEP17	S	1	PD01DEC17	2103.12	2121.19	USD	-451.75	
	NET	0 AVG BGHT 2126.50	SOLD 2103.12				-584.50	0.00
04SEP17	B	1	PD04DEC17	2107.00	2122.12	USD	378.00	
04SEP17	B	1	PD04DEC17	2108.50	2122.12	USD	340.50	
06SEP17	S	2	PD04DEC17	2103.83	2122.12	USD	-914.50	
	NET	0 AVG BGHT 2107.75	SOLD 2103.83				-196.00	0.00
05SEP17	B	1	PD05DEC17	2109.00	2122.43	USD	335.75	
06SEP17	S	1	PD05DEC17	2104.06	2122.43	USD	-459.25	
	NET	0 AVG BGHT 2109.00	SOLD 2104.06				-123.50	0.00
12SEP17	S	1	PD12DEC17	2135.50	2124.59	USD	272.75	
12SEP17	S	2	PD12DEC17	2134.50	2124.59	USD	495.50	
14SEP17	B	3	PD12DEC17	2097.47	2124.59	USD	2,034.00	
	NET	0 AVG BGHT 2097.47	SOLD 2134.83				2,802.25	0.00
13SEP17	B	1	PD13DEC17	2132.00	2124.90	USD	-177.50	
13SEP17	B	1	PD13DEC17	2133.50	2124.90	USD	-215.00	
13SEP17	B	1	PD13DEC17	2134.00	2124.90	USD	-227.50	
14SEP17	S	3	PD13DEC17	2097.53	2124.90	USD	-2,052.75	
	NET	0 AVG BGHT 2133.17	SOLD 2097.53				-2,672.75	0.00
19SEP17	S	1	PD19DEC17	2118.00	2126.75	USD	-218.75	
19SEP17	S	1	PD19DEC17	2118.50	2126.75	USD	-206.25	
19SEP17	S	1	PD19DEC17	2121.50	2126.75	USD	-131.25	
21SEP17	B	2	PD19DEC17	2171.22	2126.75	USD	-2,223.50	
26SEP17	B	1	PD19DEC17	2123.94	2126.75	USD	70.25	
	NET	0 AVG BGHT 2155.46	SOLD 2119.33				-2,709.50	0.00
20SEP17	B	1	PD20DEC17	2136.00	2126.75	USD	-231.25	
20SEP17	B	1	PD20DEC17	2185.50	2126.75	USD	-1,468.75	
21SEP17	S	2	PD20DEC17	2171.30	2126.75	USD	2,227.50	
	NET	0 AVG BGHT 2160.75	SOLD 2171.30				527.50	0.00
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
26SEP17	S	1	PD21DEC17	2124.30	2126.75	USD	-61.25	
	NET	0 AVG BGHT 2182.00	SOLD 2124.30				-1,442.50	0.00
29SEP17	S	2	PD29DEC17	2131.00	2127.50	USD	175.00	
29SEP17	B	2	PD29DEC17	2128.50	2127.50	USD	-50.00	
	NET	0 AVG BGHT 2128.50	SOLD 2131.00				125.00	0.00
04OCT17	S	1	PD04JAN18	2149.00	2128.65	USD	508.75	
04OCT17	S	2	PD04JAN18	2149.50	2128.65	USD	1,042.50	

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04OCT17	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
	B	1	PD04JAN18	2159.00	2128.65	USD	-758.75	
	B	2	PD04JAN18	2156.50	2128.65	USD	-1,392.50	
	NET	0AVG BGHT 2157.33	SOLD 2149.33				-600.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	5974.50	6759.20	USD	19,617.50	
17JUL17	B	1	PD17OCT17	5975.50	6759.20	USD	19,592.50	
17JUL17	B	1	PD17OCT17	5976.00	6759.20	USD	19,580.00	
17JUL17	S	5	PD17OCT17	5980.00	6759.20	USD	-97,400.00	
17JUL17	B	2	PD17OCT17	5977.00	6759.20	USD	39,110.00	
17JUL17	S	1	PD17OCT17	5993.50	6759.20	USD	-19,142.50	
17JUL17	B	1	PD17OCT17	6002.00	6759.20	USD	18,930.00	
17JUL17	B	1	PD17OCT17	6006.50	6759.20	USD	18,817.50	
17JUL17	S	1	PD17OCT17	6014.00	6759.20	USD	-18,630.00	
	NET	0AVG BGHT 5984.07	SOLD 5986.79				475.00	0.00
19JUL17	S	1	PD19OCT17	6018.50	6760.88	USD	-18,559.50	
19JUL17	B	1	PD19OCT17	6011.00	6760.88	USD	18,747.00	
19JUL17	S	2	PD19OCT17	6018.00	6760.88	USD	-37,144.00	
19JUL17	B	1	PD19OCT17	6012.00	6760.88	USD	18,722.00	
19JUL17	B	1	PD19OCT17	6013.00	6760.88	USD	18,697.00	
19JUL17	S	2	PD19OCT17	6012.00	6760.88	USD	-37,444.00	
19JUL17	B	1	PD19OCT17	6004.50	6760.88	USD	18,909.50	
19JUL17	B	1	PD19OCT17	6006.00	6760.88	USD	18,872.00	
19JUL17	B	1	PD19OCT17	5986.00	6760.88	USD	19,372.00	
19JUL17	S	1	PD19OCT17	5993.50	6760.88	USD	-19,184.50	
	NET	0AVG BGHT 6005.42	SOLD 6012.00				987.50	0.00
25JUL17	S	1	PD25OCT17	6143.00	6765.68	USD	-15,567.00	
25JUL17	S	1	PD25OCT17	6143.50	6765.68	USD	-15,554.50	
25JUL17	S	1	PD25OCT17	6166.50	6765.68	USD	-14,979.50	
25JUL17	S	1	PD25OCT17	6167.50	6765.68	USD	-14,954.50	
25JUL17	S	2	PD25OCT17	6163.50	6765.68	USD	-30,109.00	
25JUL17	B	1	PD25OCT17	6180.00	6765.68	USD	14,642.00	
25JUL17	B	1	PD25OCT17	6181.00	6765.68	USD	14,617.00	
25JUL17	B	2	PD25OCT17	6155.50	6765.68	USD	30,509.00	
25JUL17	S	1	PD25OCT17	6185.50	6765.68	USD	-14,504.50	
25JUL17	S	1	PD25OCT17	6186.00	6765.68	USD	-14,492.00	
25JUL17	B	1	PD25OCT17	6190.50	6765.68	USD	14,379.50	
25JUL17	B	1	PD25OCT17	6191.50	6765.68	USD	14,354.50	
25JUL17	S	2	PD25OCT17	6191.00	6765.68	USD	-28,734.00	
25JUL17	B	4	PD25OCT17	6192.00	6765.68	USD	57,368.00	
25JUL17	B	3	PD25OCT17	6192.50	6765.68	USD	42,988.50	
25JUL17	B	3	PD25OCT17	6197.00	6765.68	USD	42,651.00	
25JUL17	B	1	PD25OCT17	6201.00	6765.68	USD	14,117.00	
25JUL17	S	1	PD25OCT17	6220.00	6765.68	USD	-13,642.00	
25JUL17	S	1	PD25OCT17	6222.50	6765.68	USD	-13,579.50	
25JUL17	S	1	PD25OCT17	6224.50	6765.68	USD	-13,529.50	
25JUL17	S	1	PD25OCT17	6229.00	6765.68	USD	-13,417.00	
25JUL17	B	1	PD25OCT17	6214.50	6765.68	USD	13,779.50	
25JUL17	B	2	PD25OCT17	6215.00	6765.68	USD	27,534.00	
25JUL17	B	1	PD25OCT17	6216.50	6765.68	USD	13,729.50	
25JUL17	S	5	PD25OCT17	6207.00	6765.68	USD	-69,835.00	
25JUL17	B	2	PD25OCT17	6211.50	6765.68	USD	27,709.00	
25JUL17	B	3	PD25OCT17	6212.00	6765.68	USD	41,526.00	
25JUL17	B	1	PD25OCT17	6221.50	6765.68	USD	13,604.50	
25JUL17	S	5	PD25OCT17	6217.00	6765.68	USD	-68,585.00	
25JUL17	B	1	PD25OCT17	6224.00	6765.68	USD	13,542.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	6225.00	6765.68	USD	13,517.00	
25JUL17	B	2	PD25OCT17	6230.50	6765.68	USD	26,759.00	
25JUL17	S	7	PD25OCT17	6190.50	6765.68	USD	-100,656.50	
25JUL17	B	1	PD25OCT17	6249.50	6765.68	USD	12,904.50	
25JUL17	B	1	PD25OCT17	6263.50	6765.68	USD	12,554.50	
25JUL17	B	1	PD25OCT17	6265.00	6765.68	USD	12,517.00	
25JUL17	S	5	PD25OCT17	6248.00	6765.68	USD	-64,710.00	
25JUL17	B	1	PD25OCT17	6247.50	6765.68	USD	12,954.50	
25JUL17	B	1	PD25OCT17	6267.00	6765.68	USD	12,467.00	
	NET	0 AVG	BGHT 6209.32				-6,125.00	0.00
26JUL17	B	1	PD26OCT17	6277.50	6766.11	USD	12,215.25	
26JUL17	S	1	PD26OCT17	6279.50	6766.11	USD	-12,165.25	
26JUL17	B	1	PD26OCT17	6282.00	6766.11	USD	12,102.75	
26JUL17	S	1	PD26OCT17	6280.00	6766.11	USD	-12,152.75	
26JUL17	S	5	PD26OCT17	6299.50	6766.11	USD	-58,326.25	
26JUL17	B	1	PD26OCT17	6298.00	6766.11	USD	11,702.75	
26JUL17	B	1	PD26OCT17	6299.50	6766.11	USD	11,665.25	
26JUL17	B	2	PD26OCT17	6302.00	6766.11	USD	23,205.50	
26JUL17	B	1	PD26OCT17	6304.50	6766.11	USD	11,540.25	
26JUL17	S	5	PD26OCT17	6300.00	6766.11	USD	-58,263.75	
26JUL17	B	4	PD26OCT17	6305.50	6766.11	USD	46,061.00	
26JUL17	B	1	PD26OCT17	6315.00	6766.11	USD	11,277.75	
	NET	0 AVG	BGHT 6300.21				-1,137.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	1	DEC17	308.000	309.550	USD	-387.50	
11OCT17	S	2	DEC17	308.100	309.550	USD	-725.00	
11OCT17	S	1	DEC17	307.600	309.550	USD	-487.50	
11OCT17	S	2	DEC17	307.950	309.550	USD	-800.00	
	NET	-6 AVG	SOLD 307.950				-2,400.00	0.00
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14JUL17	S	1	PD13OCT17	2297.50	2552.75	USD	-6,381.25	
14JUL17	S	1	PD13OCT17	2299.00	2552.75	USD	-6,343.75	
14JUL17	S	1	PD13OCT17	2300.00	2552.75	USD	-6,318.75	
14JUL17	S	1	PD13OCT17	2306.00	2552.75	USD	-6,168.75	
14JUL17	S	1	PD13OCT17	2307.00	2552.75	USD	-6,143.75	
14JUL17	S	1	PD13OCT17	2318.00	2552.75	USD	-5,868.75	
14JUL17	B	1	PD13OCT17	2311.00	2552.75	USD	6,043.75	
14JUL17	S	1	PD13OCT17	2317.00	2552.75	USD	-5,893.75	
26JUL17	B	6	PD13OCT17	2311.44	2552.75	USD	36,196.50	
	NET	0 AVG	BGHT 2311.38				-878.50	0.00
17JUL17	B	1	PD17OCT17	2319.00	2554.35	USD	5,883.75	
17JUL17	B	2	PD17OCT17	2320.00	2554.35	USD	11,717.50	
17JUL17	B	2	PD17OCT17	2322.00	2554.35	USD	11,617.50	
17JUL17	B	1	PD17OCT17	2324.00	2554.35	USD	5,758.75	
17JUL17	B	2	PD17OCT17	2318.50	2554.35	USD	11,792.50	
17JUL17	B	1	PD17OCT17	2297.50	2554.35	USD	6,421.25	
26JUL17	S	6	PD17OCT17	2312.49	2554.35	USD	-36,279.00	
26JUL17	S	3	PD17OCT17	2312.69	2554.35	USD	-18,124.50	
	NET	0 AVG	BGHT 2317.94				-1,212.25	0.00
18JUL17	S	1	PD18OCT17	2287.50	2554.75	USD	-6,681.25	
18JUL17	S	1	PD18OCT17	2290.00	2554.75	USD	-6,618.75	
18JUL17	S	1	PD18OCT17	2286.00	2554.75	USD	-6,718.75	
18JUL17	B	2	PD18OCT17	2271.50	2554.75	USD	14,162.50	
18JUL17	B	1	PD18OCT17	2272.00	2554.75	USD	7,068.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18JUL17	B	1	PD18OCT17	2272.50	2554.75	USD	7,056.25	
18JUL17	S	1	PD18OCT17	2270.00	2554.75	USD	-7,118.75	
18JUL17	B	1	PD18OCT17	2265.50	2554.75	USD	7,231.25	
18JUL17	S	2	PD18OCT17	2275.00	2554.75	USD	-13,987.50	
18JUL17	S	1	PD18OCT17	2274.50	2554.75	USD	-7,006.25	
18JUL17	S	1	PD18OCT17	2276.00	2554.75	USD	-6,968.75	
26JUL17	B	3	PD18OCT17	2313.20	2554.75	USD	18,116.25	
	NET	0 AVG	BGHT 2286.58				-1,465.00	0.00
19JUL17	B	2	PD19OCT17	2241.00	2554.89	USD	15,694.50	
19JUL17	B	1	PD19OCT17	2238.50	2554.89	USD	7,909.75	
19JUL17	S	1	PD19OCT17	2211.50	2554.89	USD	-8,584.75	
26JUL17	S	2	PD19OCT17	2312.25	2554.89	USD	-12,132.00	
	NET	0 AVG	BGHT 2240.17				2,887.50	0.00
20JUL17	S	1	PD20OCT17	2226.00	2555.04	USD	-8,226.00	
20JUL17	S	1	PD20OCT17	2227.50	2555.04	USD	-8,188.50	
26JUL17	B	2	PD20OCT17	2312.56	2555.04	USD	12,124.00	
	NET	0 AVG	BGHT 2312.56				-4,290.50	0.00
24JUL17	B	2	PD24OCT17	2258.00	2555.61	USD	14,880.50	
24JUL17	S	1	PD24OCT17	2258.00	2555.61	USD	-7,440.25	
24JUL17	S	1	PD24OCT17	2260.00	2555.61	USD	-7,390.25	
	NET	0 AVG	BGHT 2258.00				50.00	0.00
25JUL17	S	1	PD25OCT17	2312.00	2555.75	USD	-6,093.75	
25JUL17	S	1	PD25OCT17	2313.50	2555.75	USD	-6,056.25	
25JUL17	S	1	PD25OCT17	2313.00	2555.75	USD	-6,068.75	
25JUL17	S	1	PD25OCT17	2314.00	2555.75	USD	-6,043.75	
25JUL17	S	1	PD25OCT17	2317.00	2555.75	USD	-5,968.75	
25JUL17	S	1	PD25OCT17	2320.00	2555.75	USD	-5,893.75	
25JUL17	S	1	PD25OCT17	2321.50	2555.75	USD	-5,856.25	
27JUL17	B	5	PD25OCT17	2308.89	2555.75	USD	30,857.50	
28JUL17	B	2	PD25OCT17	2319.75	2555.75	USD	11,800.00	
	NET	0 AVG	BGHT 2311.99				676.25	0.00
26JUL17	S	2	PD26OCT17	2333.00	2555.89	USD	-11,144.50	
26JUL17	S	1	PD26OCT17	2333.50	2555.89	USD	-5,559.75	
26JUL17	B	1	PD26OCT17	2316.50	2555.89	USD	5,984.75	
26JUL17	S	1	PD26OCT17	2318.00	2555.89	USD	-5,947.25	
26JUL17	S	1	PD26OCT17	2319.00	2555.89	USD	-5,922.25	
26JUL17	S	1	PD26OCT17	2322.00	2555.89	USD	-5,847.25	
26JUL17	B	1	PD26OCT17	2314.50	2555.89	USD	6,034.75	
26JUL17	B	2	PD26OCT17	2316.00	2555.89	USD	11,994.50	
26JUL17	B	1	PD26OCT17	2318.50	2555.89	USD	5,934.75	
26JUL17	S	1	PD26OCT17	2316.00	2555.89	USD	-5,997.25	
26JUL17	S	1	PD26OCT17	2316.50	2555.89	USD	-5,984.75	
26JUL17	S	2	PD26OCT17	2317.50	2555.89	USD	-11,919.50	
26JUL17	S	1	PD26OCT17	2318.50	2555.89	USD	-5,934.75	
26JUL17	S	1	PD26OCT17	2320.50	2555.89	USD	-5,884.75	
26JUL17	B	1	PD26OCT17	2307.00	2555.89	USD	6,222.25	
26JUL17	B	1	PD26OCT17	2309.50	2555.89	USD	6,159.75	
26JUL17	B	3	PD26OCT17	2311.50	2555.89	USD	18,329.25	
26JUL17	B	1	PD26OCT17	2310.00	2555.89	USD	6,147.25	
26JUL17	S	4	PD26OCT17	2313.00	2555.89	USD	-24,289.00	
26JUL17	B	4	PD26OCT17	2311.00	2555.89	USD	24,489.00	
26JUL17	B	1	PD26OCT17	2307.50	2555.89	USD	6,209.75	
	NET	0 AVG	BGHT 2312.13				3,075.00	0.00
27JUL17	B	1	PD27OCT17	2332.00	2556.04	USD	5,601.00	
27JUL17	B	1	PD27OCT17	2330.50	2556.04	USD	5,638.50	

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28JUL17	S	2 PD27OCT17	2319.80	2556.04	USD	-11,812.00	
	NET	0 AVG BGHT 2331.25 SOLD 2319.80				-572.50	0.00
31JUL17	B	1 PD31OCT17	2327.00	2556.61	USD	5,740.25	
31JUL17	B	1 PD31OCT17	2330.00	2556.61	USD	5,665.25	
31JUL17	B	1 PD31OCT17	2333.00	2556.61	USD	5,590.25	
31JUL17	B	1 PD31OCT17	2336.50	2556.61	USD	5,502.75	
31JUL17	B	1 PD31OCT17	2326.00	2556.61	USD	5,765.25	
11AUG17	S	5 PD31OCT17	2322.94	2556.61	USD	-29,208.75	
	NET	0 AVG BGHT 2330.50 SOLD 2322.94				-945.00	0.00
01AUG17	S	2 PD01NOV17	2323.00	2556.75	USD	-11,687.50	
01AUG17	S	2 PD01NOV17	2323.50	2556.75	USD	-11,662.50	
01AUG17	S	1 PD01NOV17	2324.50	2556.75	USD	-5,806.25	
09AUG17	B	5 PD01NOV17	2348.73	2556.75	USD	26,002.50	
	NET	0 AVG BGHT 2348.73 SOLD 2323.50				-3,153.75	0.00
02AUG17	S	1 PD02NOV17	2360.50	2556.89	USD	-4,909.75	
02AUG17	S	1 PD02NOV17	2364.00	2556.89	USD	-4,822.25	
09AUG17	B	2 PD02NOV17	2348.94	2556.89	USD	10,397.50	
29SEP17	S	16 PD02NOV17	2492.15	2556.89	USD	-25,896.00	
09OCT17	B	8 PD02NOV17	2501.04	2556.89	USD	11,170.00	
	NET	-8 AVG BGHT 2470.62 SOLD 2477.72				-14,060.50	0.00
03AUG17	B	1 PD03NOV17	2373.00	2557.04	USD	4,601.00	
03AUG17	B	1 PD03NOV17	2374.00	2557.04	USD	4,576.00	
03AUG17	S	2 PD03NOV17	2355.50	2557.04	USD	-10,077.00	
03AUG17	B	1 PD03NOV17	2364.50	2557.04	USD	4,813.50	
04AUG17	S	1 PD03NOV17	2372.50	2557.04	USD	-4,613.50	
04AUG17	S	1 PD03NOV17	2373.00	2557.04	USD	-4,601.00	
04AUG17	B	1 PD03NOV17	2351.50	2557.04	USD	5,138.50	
04AUG17	B	2 PD03NOV17	2353.00	2557.04	USD	10,202.00	
09AUG17	S	2 PD03NOV17	2348.95	2557.04	USD	-10,404.50	
	NET	0 AVG BGHT 2361.50 SOLD 2359.07				-365.00	0.00
07AUG17	B	1 PD07NOV17	2363.00	2557.61	USD	4,865.25	
07AUG17	S	1 PD07NOV17	2365.00	2557.61	USD	-4,815.25	
	NET	0 AVG BGHT 2363.00 SOLD 2365.00				50.00	0.00
08AUG17	B	1 PD08NOV17	2355.00	2557.75	USD	5,068.75	
08AUG17	B	1 PD08NOV17	2352.50	2557.75	USD	5,131.25	
08AUG17	B	1 PD08NOV17	2353.00	2557.75	USD	5,118.75	
08AUG17	B	1 PD08NOV17	2354.00	2557.75	USD	5,093.75	
08AUG17	B	1 PD08NOV17	2356.00	2557.75	USD	5,043.75	
09AUG17	S	5 PD08NOV17	2349.80	2557.75	USD	-25,993.75	
	NET	0 AVG BGHT 2354.10 SOLD 2349.80				-537.50	0.00
18AUG17	B	1 PD17NOV17	2362.50	2558.75	USD	4,906.25	
18AUG17	B	1 PD17NOV17	2358.50	2558.75	USD	5,006.25	
18AUG17	B	2 PD17NOV17	2359.00	2558.75	USD	9,987.50	
18AUG17	S	1 PD17NOV17	2358.00	2558.75	USD	-5,018.75	
23AUG17	S	3 PD17NOV17	2375.25	2558.75	USD	-13,762.50	
	NET	0 AVG BGHT 2359.75 SOLD 2370.94				1,118.75	0.00
21AUG17	B	1 PD21NOV17	2357.50	2559.32	USD	5,045.50	
21AUG17	B	2 PD21NOV17	2360.00	2559.32	USD	9,966.00	
21AUG17	B	1 PD21NOV17	2355.50	2559.32	USD	5,095.50	
21AUG17	S	1 PD21NOV17	2349.50	2559.32	USD	-5,245.50	
21AUG17	S	1 PD21NOV17	2344.50	2559.32	USD	-5,370.50	
21AUG17	S	1 PD21NOV17	2348.00	2559.32	USD	-5,283.00	
23AUG17	S	1 PD21NOV17	2376.25	2559.32	USD	-4,576.75	
	NET	0 AVG BGHT 2358.25 SOLD 2354.56				-368.75	0.00
22AUG17	B	1 PD22NOV17	2339.00	2559.46	USD	5,511.50	

OPEN POSITION STATEMENT



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SAP0094S

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	2351.00	2559.46	USD	5,211.50	
22AUG17	S	1	PD22NOV17	2371.00	2559.46	USD	-4,711.50	
22AUG17	S	1	PD22NOV17	2371.50	2559.46	USD	-4,699.00	
22AUG17	S	1	PD22NOV17	2374.50	2559.46	USD	-4,624.00	
22AUG17	S	1	PD22NOV17	2375.00	2559.46	USD	-4,611.50	
22AUG17	S	1	PD22NOV17	2377.50	2559.46	USD	-4,549.00	
22AUG17	S	1	PD22NOV17	2381.50	2559.46	USD	-4,449.00	
23AUG17	B	4	PD22NOV17	2376.70	2559.46	USD	18,276.00	
	NET	0 AVG	BGHT 2366.13	SOLD 2375.17			1,355.00	0.00
24AUG17	B	1	PD24NOV17	2362.00	2559.75	USD	4,943.75	
24AUG17	B	1	PD24NOV17	2361.00	2559.75	USD	4,968.75	
24AUG17	S	1	PD24NOV17	2360.00	2559.75	USD	-4,993.75	
24AUG17	S	1	PD24NOV17	2357.00	2559.75	USD	-5,068.75	
	NET	0 AVG	BGHT 2361.50	SOLD 2358.50			-150.00	0.00
29AUG17	B	1	PD29NOV17	2343.00	2560.20	USD	5,430.00	
29AUG17	B	1	PD29NOV17	2344.00	2560.20	USD	5,405.00	
29AUG17	B	1	PD29NOV17	2347.00	2560.20	USD	5,330.00	
29AUG17	B	1	PD29NOV17	2351.00	2560.20	USD	5,230.00	
29AUG17	B	1	PD29NOV17	2345.00	2560.20	USD	5,380.00	
29AUG17	S	1	PD29NOV17	2350.50	2560.20	USD	-5,242.50	
29AUG17	S	1	PD29NOV17	2353.50	2560.20	USD	-5,167.50	
29AUG17	S	1	PD29NOV17	2372.50	2560.20	USD	-4,692.50	
29AUG17	S	1	PD29NOV17	2374.00	2560.20	USD	-4,655.00	
29AUG17	S	1	PD29NOV17	2375.50	2560.20	USD	-4,617.50	
	NET	0 AVG	BGHT 2346.00	SOLD 2365.20			2,400.00	0.00
01SEP17	B	1	PD01DEC17	2402.00	2560.39	USD	3,959.75	
06SEP17	S	1	PD01DEC17	2338.63	2560.39	USD	-5,544.00	
	NET	0 AVG	BGHT 2402.00	SOLD 2338.63			-1,584.25	0.00
04SEP17	S	1	PD04DEC17	2393.00	2560.66	USD	-4,191.50	
06SEP17	B	1	PD04DEC17	2339.65	2560.66	USD	5,525.25	
	NET	0 AVG	BGHT 2339.65	SOLD 2393.00			1,333.75	0.00
05SEP17	B	1	PD05DEC17	2396.50	2560.75	USD	4,106.25	
05SEP17	B	1	PD05DEC17	2399.00	2560.75	USD	4,043.75	
05SEP17	B	1	PD05DEC17	2339.00	2560.75	USD	5,543.75	
05SEP17	S	2	PD05DEC17	2328.00	2560.75	USD	-11,637.50	
05SEP17	S	1	PD05DEC17	2331.50	2560.75	USD	-5,731.25	
	NET	0 AVG	BGHT 2378.17	SOLD 2329.17			-3,675.00	0.00
07SEP17	B	1	PD07DEC17	2331.50	2561.25	USD	5,743.75	
07SEP17	B	2	PD07DEC17	2330.50	2561.25	USD	11,537.50	
07SEP17	B	1	PD07DEC17	2332.00	2561.25	USD	5,731.25	
07SEP17	B	1	PD07DEC17	2332.50	2561.25	USD	5,718.75	
07SEP17	B	1	PD07DEC17	2345.50	2561.25	USD	5,393.75	
07SEP17	B	1	PD07DEC17	2346.00	2561.25	USD	5,381.25	
14SEP17	S	7	PD07DEC17	2305.01	2561.25	USD	-44,842.00	
	NET	0 AVG	BGHT 2335.50	SOLD 2305.01			-5,335.75	0.00
08SEP17	B	1	PD08DEC17	2295.50	2561.50	USD	6,650.00	
08SEP17	B	1	PD08DEC17	2281.00	2561.50	USD	7,012.50	
08SEP17	B	1	PD08DEC17	2281.50	2561.50	USD	7,000.00	
08SEP17	S	2	PD08DEC17	2270.00	2561.50	USD	-14,575.00	
08SEP17	S	1	PD08DEC17	2266.50	2561.50	USD	-7,375.00	
08SEP17	S	2	PD08DEC17	2267.00	2561.50	USD	-14,725.00	
08SEP17	S	2	PD08DEC17	2265.00	2561.50	USD	-14,825.00	
14SEP17	B	4	PD08DEC17	2305.49	2561.50	USD	25,601.00	
	NET	0 AVG	BGHT 2297.14	SOLD 2267.21			-5,236.50	0.00
11SEP17	B	1	PD11DEC17	2271.50	2562.25	USD	7,268.75	

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11SEP17	B	1	PD11DEC17	2272.00	2562.25	USD	7,256.25	
11SEP17	B	1	PD11DEC17	2276.00	2562.25	USD	7,156.25	
11SEP17	B	1	PD11DEC17	2277.50	2562.25	USD	7,118.75	
11SEP17	B	1	PD11DEC17	2282.00	2562.25	USD	7,006.25	
11SEP17	S	1	PD11DEC17	2287.50	2562.25	USD	-6,868.75	
11SEP17	S	1	PD11DEC17	2277.50	2562.25	USD	-7,118.75	
11SEP17	S	1	PD11DEC17	2279.50	2562.25	USD	-7,068.75	
14SEP17	S	2	PD11DEC17	2306.15	2562.25	USD	-12,805.00	
	NET	0AVG BGHT 2275.80	SOLD 2291.36				1,945.00	0.00
12SEP17	S	2	PD12DEC17	2279.50	2562.50	USD	-14,150.00	
12SEP17	S	1	PD12DEC17	2280.00	2562.50	USD	-7,062.50	
12SEP17	S	2	PD12DEC17	2285.50	2562.50	USD	-13,850.00	
12SEP17	S	1	PD12DEC17	2301.50	2562.50	USD	-6,525.00	
12SEP17	S	1	PD12DEC17	2304.00	2562.50	USD	-6,462.50	
12SEP17	B	2	PD12DEC17	2306.00	2562.50	USD	12,825.00	
14SEP17	B	5	PD12DEC17	2306.63	2562.50	USD	31,983.75	
	NET	0AVG BGHT 2306.45	SOLD 2287.93				-3,241.25	0.00
13SEP17	B	2	PD13DEC17	2290.00	2562.75	USD	13,637.50	
13SEP17	B	1	PD13DEC17	2276.00	2562.75	USD	7,168.75	
13SEP17	B	1	PD13DEC17	2277.50	2562.75	USD	7,131.25	
13SEP17	S	2	PD13DEC17	2295.50	2562.75	USD	-13,362.50	
13SEP17	S	2	PD13DEC17	2296.00	2562.75	USD	-13,337.50	
	NET	0AVG BGHT 2283.38	SOLD 2295.75				1,237.50	0.00
14SEP17	S	1	PD14DEC17	2304.00	2563.00	USD	-6,475.00	
14SEP17	S	1	PD14DEC17	2307.50	2563.00	USD	-6,387.50	
14SEP17	S	1	PD14DEC17	2308.00	2563.00	USD	-6,375.00	
14SEP17	B	1	PD14DEC17	2323.00	2563.00	USD	6,000.00	
14SEP17	B	1	PD14DEC17	2324.00	2563.00	USD	5,975.00	
21SEP17	B	1	PD14DEC17	2517.91	2563.00	USD	1,127.25	
	NET	0AVG BGHT 2388.30	SOLD 2306.50				-6,135.25	0.00
15SEP17	B	1	PD15DEC17	2323.50	2563.25	USD	5,993.75	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
15SEP17	S	1	PD15DEC17	2349.00	2563.25	USD	-5,356.25	
15SEP17	S	1	PD15DEC17	2360.50	2563.25	USD	-5,068.75	
15SEP17	S	1	PD15DEC17	2350.00	2563.25	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	2361.00	2563.25	USD	-5,056.25	
15SEP17	B	2	PD15DEC17	2366.00	2563.25	USD	9,862.50	
15SEP17	B	2	PD15DEC17	2366.50	2563.25	USD	9,837.50	
	NET	0AVG BGHT 2357.70	SOLD 2353.80				-487.50	0.00
18SEP17	B	1	PD18DEC17	2388.00	2564.00	USD	4,400.00	
21SEP17	S	1	PD18DEC17	2517.77	2564.00	USD	-1,155.75	
	NET	0AVG BGHT 2388.00	SOLD 2517.77				3,244.25	0.00
19SEP17	S	1	PD19DEC17	2411.50	2564.25	USD	-3,818.75	
19SEP17	S	1	PD19DEC17	2413.50	2564.25	USD	-3,768.75	
19SEP17	S	3	PD19DEC17	2415.00	2564.25	USD	-11,193.75	
19SEP17	S	1	PD19DEC17	2416.00	2564.25	USD	-3,706.25	
19SEP17	S	1	PD19DEC17	2447.50	2564.25	USD	-2,918.75	
19SEP17	B	1	PD19DEC17	2423.50	2564.25	USD	3,518.75	
19SEP17	B	2	PD19DEC17	2424.50	2564.25	USD	6,987.50	
19SEP17	B	4	PD19DEC17	2424.00	2564.25	USD	14,025.00	
	NET	0AVG BGHT 2424.07	SOLD 2419.07				-875.00	0.00
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
20SEP17	S	1	PD20DEC17	2442.50	2564.50	USD	-3,050.00	
20SEP17	S	1	PD20DEC17	2464.00	2564.50	USD	-2,512.50	
20SEP17	S	2	PD20DEC17	2440.50	2564.50	USD	-6,200.00	

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20SEP17	S	1	PD20DEC17		2457.50	2564.50	USD	-2,675.00	
20SEP17	S	1	PD20DEC17		2458.50	2564.50	USD	-2,650.00	
20SEP17	S	1	PD20DEC17		2465.00	2564.50	USD	-2,487.50	
20SEP17	S	1	PD20DEC17		2469.50	2564.50	USD	-2,375.00	
20SEP17	S	1	PD20DEC17		2453.50	2564.50	USD	-2,775.00	
21SEP17	B	10	PD20DEC17		2518.20	2564.50	USD	11,575.00	
	NET	0	AVG BGHT 2518.20	SOLD 2453.35				-16,212.50	0.00
21SEP17	S	1	PD21DEC17		2446.50	2563.50	USD	-2,925.00	
21SEP17	S	1	PD21DEC17		2447.00	2563.50	USD	-2,912.50	
21SEP17	S	1	PD21DEC17		2445.00	2563.50	USD	-2,962.50	
21SEP17	S	1	PD21DEC17		2445.50	2563.50	USD	-2,950.00	
21SEP17	S	1	PD21DEC17		2464.50	2563.50	USD	-2,475.00	
21SEP17	S	1	PD21DEC17		2473.50	2563.50	USD	-2,250.00	
21SEP17	S	1	PD21DEC17		2443.00	2563.50	USD	-3,012.50	
21SEP17	S	1	PD21DEC17		2475.50	2563.50	USD	-2,200.00	
21SEP17	S	1	PD21DEC17		2476.50	2563.50	USD	-2,175.00	
21SEP17	S	1	PD21DEC17		2474.50	2563.50	USD	-2,225.00	
21SEP17	S	1	PD21DEC17		2470.50	2563.50	USD	-2,325.00	
21SEP17	B	1	PD21DEC17		2467.00	2563.50	USD	2,412.50	
21SEP17	B	1	PD21DEC17		2473.00	2563.50	USD	2,262.50	
21SEP17	B	1	PD21DEC17		2475.50	2563.50	USD	2,200.00	
21SEP17	B	1	PD21DEC17		2476.50	2563.50	USD	2,175.00	
21SEP17	B	1	PD21DEC17		2479.00	2563.50	USD	2,112.50	
21SEP17	B	1	PD21DEC17		2480.50	2563.50	USD	2,075.00	
21SEP17	B	1	PD21DEC17		2481.00	2563.50	USD	2,062.50	
21SEP17	B	1	PD21DEC17		2484.00	2563.50	USD	1,987.50	
21SEP17	B	1	PD21DEC17		2469.00	2563.50	USD	2,362.50	
21SEP17	B	2	PD21DEC17		2472.00	2563.50	USD	4,575.00	
21SEP17	B	1	PD21DEC17		2456.50	2563.50	USD	2,675.00	
21SEP17	B	1	PD21DEC17		2459.00	2563.50	USD	2,612.50	
21SEP17	S	2	PD21DEC17		2462.50	2563.50	USD	-5,050.00	
	NET	0	AVG BGHT 2472.69	SOLD 2460.54				-3,950.00	0.00
22SEP17	B	1	PD22DEC17		2415.00	2563.50	USD	3,712.50	
22SEP17	B	2	PD22DEC17		2416.00	2563.50	USD	7,375.00	
22SEP17	B	1	PD22DEC17		2383.00	2563.50	USD	4,512.50	
22SEP17	B	1	PD22DEC17		2385.50	2563.50	USD	4,450.00	
22SEP17	B	1	PD22DEC17		2386.00	2563.50	USD	4,437.50	
22SEP17	B	1	PD22DEC17		2392.00	2563.50	USD	4,287.50	
22SEP17	B	1	PD22DEC17		2392.50	2563.50	USD	4,275.00	
22SEP17	S	2	PD22DEC17		2419.50	2563.50	USD	-7,200.00	
22SEP17	S	1	PD22DEC17		2420.50	2563.50	USD	-3,575.00	
22SEP17	S	1	PD22DEC17		2421.50	2563.50	USD	-3,550.00	
22SEP17	S	1	PD22DEC17		2435.50	2563.50	USD	-3,200.00	
22SEP17	S	1	PD22DEC17		2443.50	2563.50	USD	-3,000.00	
22SEP17	S	1	PD22DEC17		2444.00	2563.50	USD	-2,987.50	
22SEP17	S	1	PD22DEC17		2447.00	2563.50	USD	-2,912.50	
22SEP17	S	1	PD22DEC17		2449.50	2563.50	USD	-2,850.00	
22SEP17	S	1	PD22DEC17		2452.00	2563.50	USD	-2,787.50	
22SEP17	B	2	PD22DEC17		2416.50	2563.50	USD	7,350.00	
22SEP17	S	1	PD22DEC17		2482.00	2563.50	USD	-2,037.50	
22SEP17	S	1	PD22DEC17		2481.50	2563.50	USD	-2,050.00	
22SEP17	S	1	PD22DEC17		2473.50	2563.50	USD	-2,250.00	
22SEP17	B	1	PD22DEC17		2483.50	2563.50	USD	2,000.00	
22SEP17	B	1	PD22DEC17		2484.00	2563.50	USD	1,987.50	
22SEP17	B	1	PD22DEC17		2489.00	2563.50	USD	1,862.50	
22SEP17	B	1	PD22DEC17		2488.00	2563.50	USD	1,887.50	
22SEP17	S	1	PD22DEC17		2475.50	2563.50	USD	-2,200.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0	AVG	BGHT 2425.96	SOLD 2447.50			7,537.50	0.00
25SEP17	B	1	PD27DEC17		2483.50	2563.50	USD	2,000.00	
25SEP17	B	1	PD27DEC17		2484.50	2563.50	USD	1,975.00	
25SEP17	B	1	PD27DEC17		2481.50	2563.50	USD	2,050.00	
25SEP17	B	1	PD27DEC17		2477.50	2563.50	USD	2,150.00	
25SEP17	B	1	PD27DEC17		2480.50	2563.50	USD	2,075.00	
26SEP17	B	1	PD27DEC17		2484.00	2563.50	USD	1,987.50	
26SEP17	B	2	PD27DEC17		2488.50	2563.50	USD	3,750.00	
26SEP17	B	2	PD27DEC17		2490.00	2563.50	USD	3,675.00	
26SEP17	S	1	PD27DEC17		2502.50	2563.50	USD	-1,525.00	
26SEP17	S	1	PD27DEC17		2509.00	2563.50	USD	-1,362.50	
26SEP17	S	1	PD27DEC17		2510.00	2563.50	USD	-1,337.50	
26SEP17	B	3	PD27DEC17		2494.00	2563.50	USD	5,212.50	
26SEP17	S	10	PD27DEC17		2487.80	2563.50	USD	-18,925.00	
27SEP17	B	1	PD27DEC17		2479.50	2563.50	USD	2,100.00	
27SEP17	B	1	PD27DEC17		2480.00	2563.50	USD	2,087.50	
27SEP17	S	1	PD27DEC17		2457.50	2563.50	USD	-2,650.00	
27SEP17	S	1	PD27DEC17		2452.00	2563.50	USD	-2,787.50	
	NET	0	AVG	BGHT 2486.00	SOLD 2487.27			475.00	0.00
28SEP17	S	1	PD28DEC17		2458.50	2563.60	USD	-2,627.50	
28SEP17	S	1	PD28DEC17		2460.50	2563.60	USD	-2,577.50	
28SEP17	S	1	PD28DEC17		2465.00	2563.60	USD	-2,465.00	
28SEP17	S	1	PD28DEC17		2465.50	2563.60	USD	-2,452.50	
28SEP17	S	1	PD28DEC17		2467.00	2563.60	USD	-2,415.00	
28SEP17	B	2	PD28DEC17		2464.50	2563.60	USD	4,955.00	
28SEP17	B	1	PD28DEC17		2468.00	2563.60	USD	2,390.00	
28SEP17	B	1	PD28DEC17		2472.50	2563.60	USD	2,277.50	
28SEP17	B	1	PD28DEC17		2473.00	2563.60	USD	2,265.00	
28SEP17	S	1	PD28DEC17		2475.00	2563.60	USD	-2,215.00	
28SEP17	S	1	PD28DEC17		2473.50	2563.60	USD	-2,252.50	
28SEP17	B	1	PD28DEC17		2459.50	2563.60	USD	2,602.50	
28SEP17	B	1	PD28DEC17		2460.50	2563.60	USD	2,577.50	
28SEP17	B	1	PD28DEC17		2461.00	2563.60	USD	2,565.00	
28SEP17	B	1	PD28DEC17		2465.50	2563.60	USD	2,452.50	
28SEP17	B	1	PD28DEC17		2462.50	2563.60	USD	2,527.50	
28SEP17	S	1	PD28DEC17		2471.50	2563.60	USD	-2,302.50	
28SEP17	S	3	PD28DEC17		2473.00	2563.60	USD	-6,795.00	
28SEP17	S	4	PD28DEC17		2474.00	2563.60	USD	-8,960.00	
28SEP17	S	1	PD28DEC17		2483.00	2563.60	USD	-2,015.00	
28SEP17	S	1	PD28DEC17		2484.50	2563.60	USD	-1,977.50	
28SEP17	S	1	PD28DEC17		2479.50	2563.60	USD	-2,102.50	
28SEP17	S	2	PD28DEC17		2485.00	2563.60	USD	-3,930.00	
28SEP17	S	1	PD28DEC17		2487.00	2563.60	USD	-1,915.00	
10OCT17	B	11	PD28DEC17		2554.21	2563.60	USD	2,582.25	
	NET	0	AVG	BGHT 2511.80	SOLD 2474.07			-19,807.75	0.00
29SEP17	S	1	PD29DEC17		2487.00	2563.70	USD	-1,917.50	
29SEP17	S	1	PD29DEC17		2491.50	2563.70	USD	-1,805.00	
29SEP17	S	1	PD29DEC17		2503.00	2563.70	USD	-1,517.50	
29SEP17	S	1	PD29DEC17		2491.00	2563.70	USD	-1,817.50	
29SEP17	B	1	PD29DEC17		2495.50	2563.70	USD	1,705.00	
29SEP17	B	1	PD29DEC17		2495.00	2563.70	USD	1,717.50	
29SEP17	B	1	PD29DEC17		2496.00	2563.70	USD	1,692.50	
29SEP17	B	3	PD29DEC17		2497.50	2563.70	USD	4,965.00	
29SEP17	B	1	PD29DEC17		2496.50	2563.70	USD	1,680.00	
29SEP17	B	1	PD29DEC17		2487.00	2563.70	USD	1,917.50	
29SEP17	B	3	PD29DEC17		2488.00	2563.70	USD	5,677.50	

OPEN POSITION STATEMENT



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SAP0094S

11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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29SEP17	B	2	PD29DEC17		2489.00	2563.70	USD	3,735.00	
10OCT17	S	9	PD29DEC17		2554.23	2563.70	USD	-2,130.75	
	NET	0AVG	BGHT 2492.65	SOLD 2535.43				13,901.75	0.00
03OCT17	S	1	PD03JAN18		2542.50	2564.20	USD	-542.50	
03OCT17	S	1	PD03JAN18		2563.50	2564.20	USD	-17.50	
03OCT17	S	1	PD03JAN18		2564.00	2564.20	USD	-5.00	
03OCT17	B	1	PD03JAN18		2594.50	2564.20	USD	-757.50	
03OCT17	B	2	PD03JAN18		2597.00	2564.20	USD	-1,640.00	
	NET	0AVG	BGHT 2596.17	SOLD 2556.67				-2,962.50	0.00
04OCT17	S	1	PD04JAN18		2607.00	2564.30	USD	1,067.50	
04OCT17	B	2	PD04JAN18		2590.50	2564.30	USD	-1,310.00	
04OCT17	B	1	PD04JAN18		2591.00	2564.30	USD	-667.50	
04OCT17	B	1	PD04JAN18		2592.00	2564.30	USD	-692.50	
04OCT17	B	1	PD04JAN18		2590.00	2564.30	USD	-642.50	
04OCT17	S	1	PD04JAN18		2612.50	2564.30	USD	1,205.00	
04OCT17	S	1	PD04JAN18		2614.00	2564.30	USD	1,242.50	
04OCT17	B	1	PD04JAN18		2561.50	2564.30	USD	70.00	
04OCT17	S	1	PD04JAN18		2583.50	2564.30	USD	480.00	
04OCT17	S	1	PD04JAN18		2592.00	2564.30	USD	692.50	
04OCT17	B	1	PD04JAN18		2582.50	2564.30	USD	-455.00	
04OCT17	B	1	PD04JAN18		2564.50	2564.30	USD	-5.00	
04OCT17	B	1	PD04JAN18		2556.50	2564.30	USD	195.00	
04OCT17	B	2	PD04JAN18		2557.00	2564.30	USD	365.00	
04OCT17	B	1	PD04JAN18		2548.50	2564.30	USD	395.00	
04OCT17	B	1	PD04JAN18		2546.00	2564.30	USD	457.50	
04OCT17	S	1	PD04JAN18		2547.50	2564.30	USD	-420.00	
04OCT17	S	1	PD04JAN18		2548.50	2564.30	USD	-395.00	
10OCT17	S	2	PD04JAN18		2555.51	2564.30	USD	-439.50	
10OCT17	S	4	PD04JAN18		2555.71	2564.30	USD	-859.00	
	NET	0AVG	BGHT 2571.35	SOLD 2572.22				284.00	0.00
05OCT17	S	2	PD05JAN18		2555.50	2564.40	USD	-445.00	
05OCT17	S	1	PD05JAN18		2556.50	2564.40	USD	-197.50	
05OCT17	S	1	PD05JAN18		2572.00	2564.40	USD	190.00	
05OCT17	B	1	PD05JAN18		2582.50	2564.40	USD	-452.50	
05OCT17	B	1	PD05JAN18		2583.00	2564.40	USD	-465.00	
06OCT17	B	1	PD05JAN18		2558.50	2564.40	USD	147.50	
06OCT17	B	1	PD05JAN18		2560.00	2564.40	USD	110.00	
06OCT17	B	2	PD05JAN18		2559.00	2564.40	USD	270.00	
06OCT17	B	1	PD05JAN18		2554.50	2564.40	USD	247.50	
06OCT17	B	1	PD05JAN18		2551.50	2564.40	USD	322.50	
06OCT17	B	1	PD05JAN18		2552.00	2564.40	USD	310.00	
06OCT17	S	1	PD05JAN18		2551.50	2564.40	USD	-322.50	
06OCT17	S	2	PD05JAN18		2582.00	2564.40	USD	880.00	
06OCT17	B	1	PD05JAN18		2545.50	2564.40	USD	472.50	
06OCT17	B	1	PD05JAN18		2544.50	2564.40	USD	497.50	
06OCT17	S	1	PD05JAN18		2548.00	2564.40	USD	-410.00	
06OCT17	B	1	PD05JAN18		2526.00	2564.40	USD	960.00	
06OCT17	B	1	PD05JAN18		2523.00	2564.40	USD	1,035.00	
06OCT17	S	1	PD05JAN18		2527.50	2564.40	USD	-922.50	
06OCT17	S	2	PD05JAN18		2528.00	2564.40	USD	-1,820.00	
06OCT17	S	1	PD05JAN18		2529.50	2564.40	USD	-872.50	
06OCT17	B	1	PD05JAN18		2527.00	2564.40	USD	935.00	
06OCT17	S	5	PD05JAN18		2529.00	2564.40	USD	-4,425.00	
06OCT17	B	1	PD05JAN18		2541.50	2564.40	USD	572.50	
06OCT17	S	1	PD05JAN18		2537.00	2564.40	USD	-685.00	
06OCT17	S	1	PD05JAN18		2542.00	2564.40	USD	-560.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06OCT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
06OCT17	S	1	PD05JAN18	2546.00	2564.40	USD	-460.00	
06OCT17	B	2	PD05JAN18	2542.50	2564.40	USD	1,095.00	
10OCT17	B	4	PD05JAN18	2556.13	2564.40	USD	827.00	
	NET	0 AVG BGHT 2551.29	SOLD 2544.33				-3,650.50	0.00
09OCT17	B	2	PD09JAN18	2526.00	2564.80	USD	1,940.00	
09OCT17	B	1	PD09JAN18	2528.50	2564.80	USD	907.50	
09OCT17	B	1	PD09JAN18	2529.50	2564.80	USD	882.50	
09OCT17	B	1	PD09JAN18	2530.00	2564.80	USD	870.00	
09OCT17	B	1	PD09JAN18	2525.00	2564.80	USD	995.00	
09OCT17	B	2	PD09JAN18	2505.00	2564.80	USD	2,990.00	
09OCT17	B	1	PD09JAN18	2508.00	2564.80	USD	1,420.00	
09OCT17	B	1	PD09JAN18	2509.00	2564.80	USD	1,395.00	
09OCT17	B	2	PD09JAN18	2509.50	2564.80	USD	2,765.00	
09OCT17	B	1	PD09JAN18	2501.00	2564.80	USD	1,595.00	
09OCT17	S	1	PD09JAN18	2522.50	2564.80	USD	-1,057.50	
09OCT17	S	2	PD09JAN18	2526.00	2564.80	USD	-1,940.00	
09OCT17	B	2	PD09JAN18	2516.50	2564.80	USD	2,415.00	
09OCT17	S	1	PD09JAN18	2519.00	2564.80	USD	-1,145.00	
09OCT17	B	2	PD09JAN18	2501.50	2564.80	USD	3,165.00	
09OCT17	B	2	PD09JAN18	2503.50	2564.80	USD	3,065.00	
09OCT17	B	1	PD09JAN18	2497.00	2564.80	USD	1,695.00	
09OCT17	S	2	PD09JAN18	2517.00	2564.80	USD	-2,390.00	
09OCT17	S	2	PD09JAN18	2515.00	2564.80	USD	-2,490.00	
09OCT17	S	8	PD09JAN18	2511.80	2564.80	USD	-10,600.00	
09OCT17	S	1	PD09JAN18	2516.00	2564.80	USD	-1,220.00	
09OCT17	S	1	PD09JAN18	2518.50	2564.80	USD	-1,157.50	
	NET	+2 AVG BGHT 2512.60	SOLD 2515.91				4,100.00	0.00
10OCT17	B	1	PD10JAN18	2498.00	2564.90	USD	1,672.50	
10OCT17	B	2	PD10JAN18	2498.50	2564.90	USD	3,320.00	
10OCT17	B	1	PD10JAN18	2499.00	2564.90	USD	1,647.50	
10OCT17	B	1	PD10JAN18	2499.50	2564.90	USD	1,635.00	
10OCT17	B	1	PD10JAN18	2502.00	2564.90	USD	1,572.50	
10OCT17	B	1	PD10JAN18	2519.00	2564.90	USD	1,147.50	
10OCT17	S	1	PD10JAN18	2500.50	2564.90	USD	-1,610.00	
10OCT17	S	1	PD10JAN18	2501.00	2564.90	USD	-1,597.50	
10OCT17	S	1	PD10JAN18	2503.00	2564.90	USD	-1,547.50	
10OCT17	S	2	PD10JAN18	2504.00	2564.90	USD	-3,045.00	
10OCT17	S	1	PD10JAN18	2505.00	2564.90	USD	-1,497.50	
10OCT17	S	1	PD10JAN18	2513.00	2564.90	USD	-1,297.50	
10OCT17	S	1	PD10JAN18	2515.50	2564.90	USD	-1,235.00	
10OCT17	S	1	PD10JAN18	2520.00	2564.90	USD	-1,122.50	
10OCT17	S	1	PD10JAN18	2520.50	2564.90	USD	-1,110.00	
10OCT17	B	2	PD10JAN18	2559.00	2564.90	USD	295.00	
	NET	-1 AVG BGHT 2514.72	SOLD 2508.65				-2,772.50	0.00
11OCT17	B	1	PD11JAN18	2518.00	2565.00	USD	1,175.00	
11OCT17	B	1	PD11JAN18	2518.50	2565.00	USD	1,162.50	
11OCT17	B	3	PD11JAN18	2524.50	2565.00	USD	3,037.50	
11OCT17	B	1	PD11JAN18	2525.50	2565.00	USD	987.50	
11OCT17	B	1	PD11JAN18	2527.00	2565.00	USD	950.00	
11OCT17	S	1	PD11JAN18	2526.00	2565.00	USD	-975.00	
11OCT17	S	1	PD11JAN18	2526.50	2565.00	USD	-962.50	
11OCT17	S	1	PD11JAN18	2528.00	2565.00	USD	-925.00	
11OCT17	S	1	PD11JAN18	2534.00	2565.00	USD	-775.00	
11OCT17	S	1	PD11JAN18	2541.00	2565.00	USD	-600.00	
11OCT17	S	1	PD11JAN18	2558.00	2565.00	USD	-175.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	S 3 PD11JAN18	2561.00	2565.00	USD	-300.00	
11OCT17	S 1 PD11JAN18	2561.50	2565.00	USD	-87.50	
11OCT17	S 1 PD11JAN18	2560.00	2565.00	USD	-125.00	
	NET -4 AVG BGHT 2523.21 SOLD 2547.09				2,387.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 2 PD12OCT17	9220.00	11060.20	USD	22,082.40	
12JUL17	B 1 PD12OCT17	9210.00	11060.20	USD	11,101.20	
27JUL17	S 3 PD12OCT17	10126.57	11060.20	USD	-16,805.34	
	NET 0 AVG BGHT 9216.67 SOLD 10126.57				16,378.26	0.00
18JUL17	S 1 PD18OCT17	9635.00	11065.00	USD	-8,580.00	
18JUL17	S 1 PD18OCT17	9640.00	11065.00	USD	-8,550.00	
27JUL17	B 2 PD18OCT17	10131.00	11065.00	USD	11,208.00	
	NET 0 AVG BGHT 10131.00 SOLD 9637.50				-5,922.00	0.00
19JUL17	S 2 PD19OCT17	9755.00	11065.96	USD	-15,731.52	
19JUL17	S 1 PD19OCT17	9760.00	11065.96	USD	-7,835.76	
27JUL17	B 3 PD19OCT17	10131.00	11065.96	USD	16,829.28	
	NET 0 AVG BGHT 10131.00 SOLD 9756.67				-6,738.00	0.00
28JUL17	B 1 PD27OCT17	10050.00	11073.68	USD	6,142.08	
09AUG17	S 1 PD27OCT17	10753.50	11073.68	USD	-1,921.08	
	NET 0 AVG BGHT 10050.00 SOLD 10753.50				4,221.00	0.00
01AUG17	B 1 PD01NOV17	10130.00	11078.50	USD	5,691.00	
09AUG17	S 1 PD01NOV17	10756.00	11078.50	USD	-1,935.00	
	NET 0 AVG BGHT 10130.00 SOLD 10756.00				3,756.00	0.00
02AUG17	S 1 PD02NOV17	10180.00	11079.46	USD	-5,396.76	
02AUG17	S 1 PD02NOV17	10190.00	11079.46	USD	-5,336.76	
09AUG17	B 2 PD02NOV17	10757.50	11079.46	USD	3,863.52	
	NET 0 AVG BGHT 10757.50 SOLD 10185.00				-6,870.00	0.00
13SEP17	B 2 PD13DEC17	11685.00	11113.60	USD	-6,856.80	
13SEP17	B 1 PD13DEC17	11635.00	11113.60	USD	-3,128.40	
13SEP17	B 1 PD13DEC17	11615.00	11113.60	USD	-3,008.40	
13SEP17	S 1 PD13DEC17	11555.00	11113.60	USD	2,648.40	
13SEP17	B 1 PD13DEC17	11570.00	11113.60	USD	-2,738.40	
13SEP17	S 1 PD13DEC17	11560.00	11113.60	USD	2,678.40	
21SEP17	S 3 PD13DEC17	10998.70	11113.60	USD	-2,068.20	
	NET 0 AVG BGHT 11638.00 SOLD 11222.22				-12,473.40	0.00
20SEP17	S 1 PD20DEC17	11365.00	11119.00	USD	1,476.00	
20SEP17	S 1 PD20DEC17	11360.00	11119.00	USD	1,446.00	
20SEP17	S 1 PD20DEC17	11370.00	11119.00	USD	1,506.00	
21SEP17	B 3 PD20DEC17	11005.50	11119.00	USD	2,043.00	
	NET 0 AVG BGHT 11005.50 SOLD 11365.00				6,471.00	0.00
22SEP17	B 1 PD22DEC17	10625.00	11120.45	USD	2,972.70	
22SEP17	B 1 PD22DEC17	10630.00	11120.45	USD	2,942.70	
22SEP17	B 1 PD22DEC17	10610.00	11120.45	USD	3,062.70	
22SEP17	B 1 PD22DEC17	10530.00	11120.45	USD	3,542.70	
22SEP17	B 1 PD22DEC17	10540.00	11120.45	USD	3,482.70	
22SEP17	S 1 PD22DEC17	10620.00	11120.45	USD	-3,002.70	
22SEP17	S 1 PD22DEC17	10625.00	11120.45	USD	-2,972.70	
22SEP17	S 1 PD22DEC17	10630.00	11120.45	USD	-2,942.70	
22SEP17	S 2 PD22DEC17	10640.00	11120.45	USD	-5,765.40	
	NET 0 AVG BGHT 10587.00 SOLD 10631.00				1,320.00	0.00
26SEP17	B 1 PD27DEC17	10645.00	11124.09	USD	2,874.54	
26SEP17	B 2 PD27DEC17	10650.00	11124.09	USD	5,689.08	
26SEP17	B 1 PD27DEC17	10575.00	11124.09	USD	3,294.54	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
27SEP17	S	1	PD27DEC17	10570.00	11124.09	USD	-3,324.54	
27SEP17	S	1	PD27DEC17	10325.00	11124.09	USD	-4,794.54	
27SEP17	S	1	PD27DEC17	10315.00	11124.09	USD	-4,854.54	
	NET	0AVG BGHT 10630.00	SOLD 10443.75				-4,470.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2825.50	3299.33	USD	11,845.75	
12JUL17	S	2	PD12OCT17	2835.00	3299.33	USD	-23,216.50	
12JUL17	B	1	PD12OCT17	2848.50	3299.33	USD	11,270.75	
12JUL17	B	1	PD12OCT17	2846.00	3299.33	USD	11,333.25	
12JUL17	B	1	PD12OCT17	2840.50	3299.33	USD	11,470.75	
12JUL17	B	1	PD12OCT17	2834.50	3299.33	USD	11,620.75	
12JUL17	B	1	PD12OCT17	2832.00	3299.33	USD	11,683.25	
12JUL17	B	5	PD12OCT17	2820.00	3299.33	USD	59,916.25	
12JUL17	S	2	PD12OCT17	2819.00	3299.33	USD	-24,016.50	
12JUL17	S	3	PD12OCT17	2819.50	3299.33	USD	-35,987.25	
17JUL17	S	4	PD12OCT17	2813.80	3299.33	USD	-48,553.00	
	NET	0AVG BGHT 2829.73	SOLD 2820.15				-2,632.50	0.00
13JUL17	S	1	PD13OCT17	2832.00	3295.00	USD	-11,575.00	
13JUL17	S	1	PD13OCT17	2833.50	3295.00	USD	-11,537.50	
13JUL17	S	1	PD13OCT17	2834.00	3295.00	USD	-11,525.00	
13JUL17	S	1	PD13OCT17	2839.00	3295.00	USD	-11,400.00	
13JUL17	S	1	PD13OCT17	2841.00	3295.00	USD	-11,350.00	
13JUL17	B	2	PD13OCT17	2830.00	3295.00	USD	23,250.00	
13JUL17	B	1	PD13OCT17	2837.00	3295.00	USD	11,450.00	
13JUL17	B	1	PD13OCT17	2831.50	3295.00	USD	11,587.50	
13JUL17	B	1	PD13OCT17	2833.00	3295.00	USD	11,550.00	
14JUL17	B	1	PD13OCT17	2769.00	3295.00	USD	13,150.00	
14JUL17	B	2	PD13OCT17	2773.00	3295.00	USD	26,100.00	
14JUL17	B	1	PD13OCT17	2771.50	3295.00	USD	13,087.50	
14JUL17	B	1	PD13OCT17	2765.50	3295.00	USD	13,237.50	
14JUL17	B	1	PD13OCT17	2768.00	3295.00	USD	13,175.00	
14JUL17	B	1	PD13OCT17	2764.00	3295.00	USD	13,275.00	
14JUL17	S	1	PD13OCT17	2793.00	3295.00	USD	-12,550.00	
14JUL17	S	1	PD13OCT17	2793.50	3295.00	USD	-12,537.50	
14JUL17	S	1	PD13OCT17	2795.50	3295.00	USD	-12,487.50	
14JUL17	S	1	PD13OCT17	2791.50	3295.00	USD	-12,587.50	
14JUL17	S	1	PD13OCT17	2789.00	3295.00	USD	-12,650.00	
14JUL17	S	1	PD13OCT17	2794.00	3295.00	USD	-12,525.00	
14JUL17	S	1	PD13OCT17	2791.00	3295.00	USD	-12,600.00	
	NET	0AVG BGHT 2795.46	SOLD 2810.58				4,537.50	0.00
17JUL17	S	2	PD17OCT17	2824.00	3286.33	USD	-23,116.50	
17JUL17	S	1	PD17OCT17	2835.50	3286.33	USD	-11,270.75	
17JUL17	S	1	PD17OCT17	2837.00	3286.33	USD	-11,233.25	
17JUL17	B	2	PD17OCT17	2824.50	3286.33	USD	23,091.50	
17JUL17	B	1	PD17OCT17	2823.50	3286.33	USD	11,570.75	
17JUL17	S	1	PD17OCT17	2835.00	3286.33	USD	-11,283.25	
17JUL17	S	1	PD17OCT17	2836.50	3286.33	USD	-11,245.75	
17JUL17	B	1	PD17OCT17	2819.50	3286.33	USD	11,670.75	
17JUL17	B	2	PD17OCT17	2822.50	3286.33	USD	23,191.50	
	NET	0AVG BGHT 2822.83	SOLD 2832.00				1,375.00	0.00
18JUL17	B	1	PD18OCT17	2802.00	3282.00	USD	12,000.00	
18JUL17	S	1	PD18OCT17	2793.00	3282.00	USD	-12,225.00	
18JUL17	B	1	PD18OCT17	2792.50	3282.00	USD	12,237.50	
18JUL17	B	2	PD18OCT17	2793.00	3282.00	USD	24,450.00	

OPEN POSITION STATEMENT



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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	2794.50	3282.00	USD	12,187.50	
18JUL17	S	3	PD18OCT17	2795.50	3282.00	USD	-36,487.50	
18JUL17	S	1	PD18OCT17	2795.00	3282.00	USD	-12,175.00	
18JUL17	S	2	PD18OCT17	2789.50	3282.00	USD	-24,625.00	
18JUL17	S	1	PD18OCT17	2788.50	3282.00	USD	-12,337.50	
26JUL17	B	3	PD18OCT17	2809.00	3282.00	USD	35,475.00	
	NET	0AVG BGHT 2800.25	SOLD 2792.75				-1,500.00	0.00
19JUL17	B	1	PD19OCT17	2793.00	3277.50	USD	12,112.50	
19JUL17	B	1	PD19OCT17	2794.00	3277.50	USD	12,087.50	
19JUL17	B	1	PD19OCT17	2795.50	3277.50	USD	12,050.00	
19JUL17	B	1	PD19OCT17	2796.00	3277.50	USD	12,037.50	
19JUL17	B	1	PD19OCT17	2797.50	3277.50	USD	12,000.00	
19JUL17	S	5	PD19OCT17	2795.50	3277.50	USD	-60,250.00	
19JUL17	B	1	PD19OCT17	2766.50	3277.50	USD	12,775.00	
19JUL17	B	4	PD19OCT17	2773.50	3277.50	USD	50,400.00	
19JUL17	B	2	PD19OCT17	2774.00	3277.50	USD	25,175.00	
19JUL17	B	2	PD19OCT17	2766.00	3277.50	USD	25,575.00	
19JUL17	B	1	PD19OCT17	2767.00	3277.50	USD	12,762.50	
19JUL17	S	1	PD19OCT17	2767.50	3277.50	USD	-12,750.00	
19JUL17	S	3	PD19OCT17	2735.00	3277.50	USD	-40,687.50	
19JUL17	S	2	PD19OCT17	2734.50	3277.50	USD	-27,150.00	
19JUL17	S	1	PD19OCT17	2735.50	3277.50	USD	-13,550.00	
26JUL17	S	3	PD19OCT17	2806.80	3277.50	USD	-35,302.50	
	NET	0AVG BGHT 2778.90	SOLD 2771.66				-2,715.00	0.00
20JUL17	B	1	PD20OCT17	2730.00	3273.00	USD	13,575.00	
20JUL17	B	2	PD20OCT17	2731.00	3273.00	USD	27,100.00	
20JUL17	B	2	PD20OCT17	2737.00	3273.00	USD	26,800.00	
20JUL17	S	1	PD20OCT17	2736.50	3273.00	USD	-13,412.50	
20JUL17	S	2	PD20OCT17	2749.00	3273.00	USD	-26,200.00	
20JUL17	S	2	PD20OCT17	2749.50	3273.00	USD	-26,175.00	
20JUL17	B	2	PD20OCT17	2744.00	3273.00	USD	26,450.00	
20JUL17	B	1	PD20OCT17	2743.50	3273.00	USD	13,237.50	
20JUL17	B	1	PD20OCT17	2741.50	3273.00	USD	13,287.50	
21JUL17	S	2	PD20OCT17	2740.00	3273.00	USD	-26,650.00	
21JUL17	S	1	PD20OCT17	2749.00	3273.00	USD	-13,100.00	
21JUL17	B	1	PD20OCT17	2764.50	3273.00	USD	12,712.50	
21JUL17	B	1	PD20OCT17	2765.50	3273.00	USD	12,687.50	
21JUL17	S	1	PD20OCT17	2763.50	3273.00	USD	-12,737.50	
21JUL17	S	1	PD20OCT17	2761.50	3273.00	USD	-12,787.50	
21JUL17	S	2	PD20OCT17	2763.00	3273.00	USD	-25,500.00	
21JUL17	S	2	PD20OCT17	2762.50	3273.00	USD	-25,525.00	
21JUL17	S	3	PD20OCT17	2764.00	3273.00	USD	-38,175.00	
21JUL17	S	2	PD20OCT17	2764.50	3273.00	USD	-25,425.00	
21JUL17	S	1	PD20OCT17	2758.50	3273.00	USD	-12,862.50	
21JUL17	S	1	PD20OCT17	2760.00	3273.00	USD	-12,825.00	
21JUL17	S	1	PD20OCT17	2741.00	3273.00	USD	-13,300.00	
21JUL17	B	1	PD20OCT17	2765.00	3273.00	USD	12,700.00	
21JUL17	S	1	PD20OCT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	S	1	PD20OCT17	2767.50	3273.00	USD	-12,637.50	
21JUL17	B	1	PD20OCT17	2744.50	3273.00	USD	13,212.50	
21JUL17	B	1	PD20OCT17	2747.50	3273.00	USD	13,137.50	
21JUL17	B	1	PD20OCT17	2748.00	3273.00	USD	13,125.00	
21JUL17	B	1	PD20OCT17	2750.00	3273.00	USD	13,075.00	
21JUL17	B	1	PD20OCT17	2743.00	3273.00	USD	13,250.00	
21JUL17	B	4	PD20OCT17	2743.50	3273.00	USD	52,950.00	
21JUL17	B	1	PD20OCT17	2745.00	3273.00	USD	13,200.00	
21JUL17	B	1	PD20OCT17	2742.00	3273.00	USD	13,275.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	2745.50	3273.00	USD	13,187.50	
	NET	0 AVG	BGHT 2744.73				7,137.50	0.00
24JUL17	S	1	PD240CT17	2779.50	3267.00	USD	-12,187.50	
24JUL17	S	1	PD240CT17	2787.00	3267.00	USD	-12,000.00	
24JUL17	S	1	PD240CT17	2779.00	3267.00	USD	-12,200.00	
24JUL17	S	2	PD240CT17	2786.50	3267.00	USD	-24,025.00	
24JUL17	S	1	PD240CT17	2778.50	3267.00	USD	-12,212.50	
24JUL17	S	5	PD240CT17	2777.50	3267.00	USD	-61,187.50	
24JUL17	B	1	PD240CT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	1	PD240CT17	2775.00	3267.00	USD	12,300.00	
24JUL17	B	2	PD240CT17	2775.50	3267.00	USD	24,575.00	
24JUL17	B	1	PD240CT17	2776.00	3267.00	USD	12,275.00	
24JUL17	B	1	PD240CT17	2774.50	3267.00	USD	12,312.50	
24JUL17	B	1	PD240CT17	2776.50	3267.00	USD	12,262.50	
24JUL17	B	1	PD240CT17	2777.00	3267.00	USD	12,250.00	
24JUL17	B	2	PD240CT17	2770.50	3267.00	USD	24,825.00	
24JUL17	S	1	PD240CT17	2783.00	3267.00	USD	-12,100.00	
24JUL17	S	1	PD240CT17	2783.50	3267.00	USD	-12,087.50	
24JUL17	S	1	PD240CT17	2787.50	3267.00	USD	-11,987.50	
26JUL17	B	3	PD240CT17	2807.71	3267.00	USD	34,446.75	
27JUL17	B	1	PD240CT17	2800.50	3267.00	USD	11,662.50	
	NET	0 AVG	BGHT 2783.72				-840.75	0.00
25JUL17	B	1	PD250CT17	2802.00	3264.00	USD	11,550.00	
25JUL17	B	2	PD250CT17	2802.50	3264.00	USD	23,075.00	
25JUL17	S	2	PD250CT17	2812.00	3264.00	USD	-22,600.00	
25JUL17	S	2	PD250CT17	2812.50	3264.00	USD	-22,575.00	
25JUL17	S	1	PD250CT17	2814.00	3264.00	USD	-11,250.00	
25JUL17	S	1	PD250CT17	2816.50	3264.00	USD	-11,187.50	
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2819.00	3264.00	USD	-11,125.00	
25JUL17	S	1	PD250CT17	2822.50	3264.00	USD	-11,037.50	
25JUL17	S	1	PD250CT17	2823.00	3264.00	USD	-11,025.00	
25JUL17	S	1	PD250CT17	2828.00	3264.00	USD	-10,900.00	
25JUL17	B	1	PD250CT17	2832.00	3264.00	USD	10,800.00	
25JUL17	S	1	PD250CT17	2813.00	3264.00	USD	-11,275.00	
25JUL17	S	2	PD250CT17	2820.50	3264.00	USD	-22,175.00	
25JUL17	B	1	PD250CT17	2825.50	3264.00	USD	10,962.50	
25JUL17	B	2	PD250CT17	2826.00	3264.00	USD	21,900.00	
25JUL17	B	1	PD250CT17	2821.00	3264.00	USD	11,075.00	
25JUL17	B	1	PD250CT17	2822.00	3264.00	USD	11,050.00	
25JUL17	S	2	PD250CT17	2821.00	3264.00	USD	-22,150.00	
25JUL17	B	1	PD250CT17	2842.50	3264.00	USD	10,537.50	
25JUL17	B	1	PD250CT17	2843.50	3264.00	USD	10,512.50	
25JUL17	B	1	PD250CT17	2836.50	3264.00	USD	10,687.50	
25JUL17	B	2	PD250CT17	2837.00	3264.00	USD	21,350.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	1	PD250CT17	2835.50	3264.00	USD	10,712.50	
25JUL17	B	1	PD250CT17	2836.00	3264.00	USD	10,700.00	
25JUL17	B	1	PD250CT17	2840.50	3264.00	USD	10,587.50	
26JUL17	S	3	PD250CT17	2807.66	3264.00	USD	-34,225.50	
	NET	0 AVG	BGHT 2828.63				-5,888.00	0.00
26JUL17	B	1	PD260CT17	2810.00	3262.33	USD	11,308.25	
26JUL17	S	1	PD260CT17	2805.50	3262.33	USD	-11,420.75	
26JUL17	S	1	PD260CT17	2820.00	3262.33	USD	-11,058.25	
26JUL17	S	1	PD260CT17	2821.50	3262.33	USD	-11,020.75	
26JUL17	B	1	PD260CT17	2810.50	3262.33	USD	11,295.75	

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26JUL17	B	1	PD260CT17		2821.00	3262.33	USD	11,033.25	
26JUL17	B	1	PD260CT17		2805.50	3262.33	USD	11,420.75	
26JUL17	B	1	PD260CT17		2806.00	3262.33	USD	11,408.25	
26JUL17	B	1	PD260CT17		2806.50	3262.33	USD	11,395.75	
27JUL17	S	1	PD260CT17		2800.55	3262.33	USD	-11,544.50	
28JUL17	S	2	PD260CT17		2775.75	3262.33	USD	-24,329.00	
	NET	0	AVG BGHT 2809.92	SOLD 2799.84				-1,511.25	0.00
27JUL17	S	2	PD270CT17		2817.00	3260.67	USD	-22,183.50	
27JUL17	S	1	PD270CT17		2818.00	3260.67	USD	-11,066.75	
27JUL17	S	1	PD270CT17		2824.00	3260.67	USD	-10,916.75	
27JUL17	S	1	PD270CT17		2823.50	3260.67	USD	-10,929.25	
27JUL17	S	1	PD270CT17		2824.50	3260.67	USD	-10,904.25	
27JUL17	S	1	PD270CT17		2825.00	3260.67	USD	-10,891.75	
27JUL17	B	1	PD270CT17		2811.00	3260.67	USD	11,241.75	
27JUL17	B	1	PD270CT17		2812.50	3260.67	USD	11,204.25	
27JUL17	B	1	PD270CT17		2813.00	3260.67	USD	11,191.75	
27JUL17	B	2	PD270CT17		2801.20	3260.67	USD	22,973.50	
28JUL17	B	1	PD270CT17		2777.50	3260.67	USD	12,079.25	
28JUL17	B	1	PD270CT17		2781.00	3260.67	USD	11,991.75	
28JUL17	B	1	PD270CT17		2781.50	3260.67	USD	11,979.25	
28JUL17	B	1	PD270CT17		2780.50	3260.67	USD	12,004.25	
28JUL17	B	2	PD270CT17		2760.00	3260.67	USD	25,033.50	
28JUL17	B	1	PD270CT17		2772.00	3260.67	USD	12,216.75	
28JUL17	S	1	PD270CT17		2781.50	3260.67	USD	-11,979.25	
28JUL17	S	1	PD270CT17		2783.00	3260.67	USD	-11,941.75	
28JUL17	S	1	PD270CT17		2783.50	3260.67	USD	-11,929.25	
28JUL17	S	1	PD270CT17		2785.50	3260.67	USD	-11,879.25	
28JUL17	S	1	PD270CT17		2786.00	3260.67	USD	-11,866.75	
28JUL17	S	1	PD270CT17		2786.50	3260.67	USD	-11,854.25	
28JUL17	S	1	PD270CT17		2787.00	3260.67	USD	-11,841.75	
28JUL17	S	2	PD270CT17		2787.50	3260.67	USD	-23,658.50	
28JUL17	S	1	PD270CT17		2797.00	3260.67	USD	-11,591.75	
28JUL17	S	1	PD270CT17		2798.50	3260.67	USD	-11,554.25	
28JUL17	B	1	PD270CT17		2778.50	3260.67	USD	12,054.25	
28JUL17	B	2	PD270CT17		2775.50	3260.67	USD	24,258.50	
28JUL17	B	2	PD270CT17		2776.50	3260.67	USD	24,208.50	
28JUL17	B	3	PD270CT17		2779.00	3260.67	USD	36,125.25	
28JUL17	B	2	PD270CT17		2776.20	3260.67	USD	24,223.50	
31JUL17	S	4	PD270CT17		2793.80	3260.67	USD	-46,687.00	
	NET	0	AVG BGHT 2782.88	SOLD 2799.44				9,110.00	0.00
31JUL17	S	2	PD310CT17		2809.50	3257.33	USD	-22,391.50	
31JUL17	S	2	PD310CT17		2814.00	3257.33	USD	-22,166.50	
31JUL17	B	1	PD310CT17		2814.00	3257.33	USD	11,083.25	
31JUL17	B	1	PD310CT17		2815.50	3257.33	USD	11,045.75	
31JUL17	B	1	PD310CT17		2806.00	3257.33	USD	11,283.25	
31JUL17	B	1	PD310CT17		2809.00	3257.33	USD	11,208.25	
31JUL17	B	1	PD310CT17		2809.50	3257.33	USD	11,195.75	
31JUL17	B	2	PD310CT17		2807.50	3257.33	USD	22,491.50	
31JUL17	B	1	PD310CT17		2800.50	3257.33	USD	11,420.75	
31JUL17	B	2	PD310CT17		2806.50	3257.33	USD	22,541.50	
31JUL17	S	1	PD310CT17		2807.00	3257.33	USD	-11,258.25	
31JUL17	S	1	PD310CT17		2802.50	3257.33	USD	-11,370.75	
31JUL17	S	1	PD310CT17		2804.00	3257.33	USD	-11,333.25	
31JUL17	S	2	PD310CT17		2804.50	3257.33	USD	-22,641.50	
31JUL17	S	1	PD310CT17		2806.00	3257.33	USD	-11,283.25	
31JUL17	S	2	PD310CT17		2807.50	3257.33	USD	-22,491.50	
31JUL17	S	6	PD310CT17		2794.80	3257.33	USD	-69,379.50	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	8	PD31OCT17	2929.75	3257.33	USD	65,516.00	
	NET	0 AVG BGHT 2862.25	SOLD 2803.29				-26,530.00	0.00
01AUG17	B	1	PD01NOV17	2771.00	3255.67	USD	12,116.75	
01AUG17	B	1	PD01NOV17	2774.50	3255.67	USD	12,029.25	
01AUG17	B	1	PD01NOV17	2775.50	3255.67	USD	12,004.25	
01AUG17	B	1	PD01NOV17	2774.00	3255.67	USD	12,041.75	
01AUG17	B	1	PD01NOV17	2777.50	3255.67	USD	11,954.25	
01AUG17	B	1	PD01NOV17	2781.00	3255.67	USD	11,866.75	
01AUG17	B	1	PD01NOV17	2782.00	3255.67	USD	11,841.75	
01AUG17	B	1	PD01NOV17	2770.50	3255.67	USD	12,129.25	
01AUG17	B	1	PD01NOV17	2784.00	3255.67	USD	11,791.75	
01AUG17	B	1	PD01NOV17	2784.50	3255.67	USD	11,779.25	
01AUG17	B	1	PD01NOV17	2772.00	3255.67	USD	12,091.75	
01AUG17	B	1	PD01NOV17	2773.50	3255.67	USD	12,054.25	
01AUG17	S	1	PD01NOV17	2778.50	3255.67	USD	-11,929.25	
01AUG17	S	1	PD01NOV17	2779.50	3255.67	USD	-11,904.25	
01AUG17	S	1	PD01NOV17	2786.50	3255.67	USD	-11,729.25	
01AUG17	S	2	PD01NOV17	2787.50	3255.67	USD	-23,408.50	
01AUG17	S	2	PD01NOV17	2779.00	3255.67	USD	-23,833.50	
09AUG17	S	5	PD01NOV17	2929.74	3255.67	USD	-40,741.25	
	NET	0 AVG BGHT 2776.67	SOLD 2843.85				20,155.00	0.00
02AUG17	B	1	PD02NOV17	2773.00	3254.00	USD	12,025.00	
02AUG17	B	1	PD02NOV17	2766.50	3254.00	USD	12,187.50	
02AUG17	B	1	PD02NOV17	2761.00	3254.00	USD	12,325.00	
02AUG17	B	1	PD02NOV17	2790.50	3254.00	USD	11,587.50	
02AUG17	S	1	PD02NOV17	2790.50	3254.00	USD	-11,587.50	
02AUG17	S	2	PD02NOV17	2792.00	3254.00	USD	-23,100.00	
02AUG17	S	1	PD02NOV17	2789.00	3254.00	USD	-11,625.00	
02AUG17	S	1	PD02NOV17	2790.00	3254.00	USD	-11,600.00	
02AUG17	B	2	PD02NOV17	2807.50	3254.00	USD	22,325.00	
02AUG17	B	1	PD02NOV17	2805.00	3254.00	USD	11,225.00	
02AUG17	B	1	PD02NOV17	2805.50	3254.00	USD	11,212.50	
02AUG17	B	1	PD02NOV17	2806.00	3254.00	USD	11,200.00	
02AUG17	B	1	PD02NOV17	2806.50	3254.00	USD	11,187.50	
09AUG17	S	3	PD02NOV17	2929.94	3254.00	USD	-24,304.50	
09AUG17	S	2	PD02NOV17	2930.14	3254.00	USD	-16,193.00	
28SEP17	S	7	PD02NOV17	3154.30	3254.00	USD	-17,447.50	
	NET	-7 AVG BGHT 2792.90	SOLD 2981.39				-582.50	0.00
03AUG17	S	1	PD03NOV17	2822.00	3252.89	USD	-10,772.25	
03AUG17	S	1	PD03NOV17	2820.00	3252.89	USD	-10,822.25	
03AUG17	S	1	PD03NOV17	2821.00	3252.89	USD	-10,797.25	
03AUG17	S	1	PD03NOV17	2821.50	3252.89	USD	-10,784.75	
03AUG17	B	1	PD03NOV17	2797.00	3252.89	USD	11,397.25	
03AUG17	S	1	PD03NOV17	2802.00	3252.89	USD	-11,272.25	
03AUG17	B	1	PD03NOV17	2794.50	3252.89	USD	11,459.75	
03AUG17	S	1	PD03NOV17	2805.50	3252.89	USD	-11,184.75	
03AUG17	B	1	PD03NOV17	2806.00	3252.89	USD	11,172.25	
03AUG17	S	2	PD03NOV17	2806.00	3252.89	USD	-22,344.50	
04AUG17	B	1	PD03NOV17	2812.00	3252.89	USD	11,022.25	
04AUG17	B	1	PD03NOV17	2816.50	3252.89	USD	10,909.75	
04AUG17	B	2	PD03NOV17	2805.50	3252.89	USD	22,369.50	
04AUG17	B	1	PD03NOV17	2813.00	3252.89	USD	10,997.25	
	NET	0 AVG BGHT 2806.25	SOLD 2813.00				1,350.00	0.00
07AUG17	S	1	PD07NOV17	2817.00	3250.67	USD	-10,841.75	
07AUG17	S	2	PD07NOV17	2818.00	3250.67	USD	-21,633.50	
07AUG17	S	1	PD07NOV17	2818.50	3250.67	USD	-10,804.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2819.50	3250.67	USD	-10,779.25	
07AUG17	S	2	PD07NOV17	2834.50	3250.67	USD	-20,808.50	
07AUG17	S	3	PD07NOV17	2835.50	3250.67	USD	-31,137.75	
07AUG17	B	1	PD07NOV17	2863.50	3250.67	USD	9,679.25	
07AUG17	B	3	PD07NOV17	2868.00	3250.67	USD	28,700.25	
09AUG17	B	6	PD07NOV17	2931.31	3250.67	USD	47,904.00	
	NET	0 AVG BGHT 2905.54	SOLD 2826.65				-19,721.50	0.00
09AUG17	S	1	PD09NOV17	2962.50	3248.44	USD	-7,148.50	
09AUG17	S	1	PD09NOV17	2963.50	3248.44	USD	-7,123.50	
09AUG17	S	1	PD09NOV17	2963.00	3248.44	USD	-7,136.00	
09AUG17	S	1	PD09NOV17	2942.50	3248.44	USD	-7,648.50	
09AUG17	S	1	PD09NOV17	2943.50	3248.44	USD	-7,623.50	
09AUG17	B	1	PD09NOV17	2931.70	3248.44	USD	7,918.50	
17AUG17	B	3	PD09NOV17	3061.63	3248.44	USD	14,010.75	
23AUG17	B	1	PD09NOV17	3096.31	3248.44	USD	3,803.25	
	NET	0 AVG BGHT 3042.58	SOLD 2955.00				-10,947.50	0.00
11AUG17	B	1	PD10NOV17	2908.00	3247.33	USD	8,483.25	
11AUG17	B	1	PD10NOV17	2903.50	3247.33	USD	8,595.75	
11AUG17	S	1	PD10NOV17	2901.50	3247.33	USD	-8,645.75	
17AUG17	S	1	PD10NOV17	3061.74	3247.33	USD	-4,639.75	
	NET	0 AVG BGHT 2905.75	SOLD 2981.62				3,793.50	0.00
16AUG17	B	1	PD16NOV17	2992.00	3241.50	USD	6,237.50	
16AUG17	B	1	PD16NOV17	2996.00	3241.50	USD	6,137.50	
16AUG17	S	1	PD16NOV17	2987.50	3241.50	USD	-6,350.00	
16AUG17	S	1	PD16NOV17	2988.50	3241.50	USD	-6,325.00	
16AUG17	S	3	PD16NOV17	2990.50	3241.50	USD	-18,825.00	
16AUG17	S	2	PD16NOV17	2991.50	3241.50	USD	-12,500.00	
16AUG17	S	1	PD16NOV17	3029.50	3241.50	USD	-5,300.00	
16AUG17	B	1	PD16NOV17	3094.50	3241.50	USD	3,675.00	
16AUG17	B	3	PD16NOV17	3120.50	3241.50	USD	9,075.00	
16AUG17	B	1	PD16NOV17	3125.50	3241.50	USD	2,900.00	
16AUG17	B	1	PD16NOV17	3110.50	3241.50	USD	3,275.00	
16AUG17	B	2	PD16NOV17	3121.00	3241.50	USD	6,025.00	
17AUG17	S	2	PD16NOV17	3062.05	3241.50	USD	-8,972.50	
	NET	0 AVG BGHT 3092.20	SOLD 3008.41				-20,947.50	0.00
21AUG17	S	2	PD21NOV17	3173.00	3237.00	USD	-3,200.00	
21AUG17	S	1	PD21NOV17	3149.50	3237.00	USD	-2,187.50	
21AUG17	S	1	PD21NOV17	3153.00	3237.00	USD	-2,100.00	
21AUG17	B	1	PD21NOV17	3126.50	3237.00	USD	2,762.50	
21AUG17	B	1	PD21NOV17	3129.00	3237.00	USD	2,700.00	
21AUG17	B	2	PD21NOV17	3127.00	3237.00	USD	5,500.00	
21AUG17	B	2	PD21NOV17	3128.50	3237.00	USD	5,425.00	
23AUG17	S	1	PD21NOV17	3095.44	3237.00	USD	-3,539.00	
30AUG17	S	1	PD21NOV17	3093.14	3237.00	USD	-3,596.50	
	NET	0 AVG BGHT 3127.75	SOLD 3139.51				1,764.50	0.00
22AUG17	S	1	PD22NOV17	3117.00	3236.00	USD	-2,975.00	
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
22AUG17	B	1	PD22NOV17	3117.50	3236.00	USD	2,962.50	
22AUG17	B	1	PD22NOV17	3125.50	3236.00	USD	2,762.50	
22AUG17	B	1	PD22NOV17	3115.50	3236.00	USD	3,012.50	
22AUG17	B	1	PD22NOV17	3113.50	3236.00	USD	3,062.50	
22AUG17	B	1	PD22NOV17	3101.00	3236.00	USD	3,375.00	
30AUG17	S	3	PD22NOV17	3093.43	3236.00	USD	-10,692.75	
	NET	0 AVG BGHT 3114.60	SOLD 3103.46				-1,392.75	0.00
23AUG17	S	1	PD24NOV17	3128.50	3235.00	USD	-2,662.50	

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23AUG17	S	1	PD24NOV17	3129.00	3235.00	USD	-2,650.00	
23AUG17	S	2	PD24NOV17	3129.50	3235.00	USD	-5,275.00	
23AUG17	S	1	PD24NOV17	3134.00	3235.00	USD	-2,525.00	
23AUG17	S	1	PD24NOV17	3135.00	3235.00	USD	-2,500.00	
23AUG17	S	2	PD24NOV17	3141.50	3235.00	USD	-4,675.00	
23AUG17	S	1	PD24NOV17	3145.50	3235.00	USD	-2,237.50	
23AUG17	S	1	PD24NOV17	3143.50	3235.00	USD	-2,287.50	
23AUG17	B	1	PD24NOV17	3100.50	3235.00	USD	3,362.50	
23AUG17	B	1	PD24NOV17	3097.00	3235.00	USD	3,450.00	
23AUG17	B	1	PD24NOV17	3103.00	3235.00	USD	3,300.00	
23AUG17	B	2	PD24NOV17	3098.50	3235.00	USD	6,825.00	
23AUG17	B	1	PD24NOV17	3096.50	3235.00	USD	3,462.50	
24AUG17	S	1	PD24NOV17	3116.00	3235.00	USD	-2,975.00	
24AUG17	S	1	PD24NOV17	3115.00	3235.00	USD	-3,000.00	
24AUG17	S	1	PD24NOV17	3108.50	3235.00	USD	-3,162.50	
24AUG17	S	2	PD24NOV17	3108.00	3235.00	USD	-6,350.00	
24AUG17	S	1	PD24NOV17	3112.00	3235.00	USD	-3,075.00	
24AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
24AUG17	B	1	PD24NOV17	3110.50	3235.00	USD	3,112.50	
24AUG17	B	1	PD24NOV17	3129.00	3235.00	USD	2,650.00	
24AUG17	B	1	PD24NOV17	3124.00	3235.00	USD	2,775.00	
24AUG17	B	2	PD24NOV17	3106.50	3235.00	USD	6,425.00	
24AUG17	B	2	PD24NOV17	3105.50	3235.00	USD	6,475.00	
24AUG17	B	1	PD24NOV17	3107.00	3235.00	USD	3,200.00	
24AUG17	B	1	PD24NOV17	3102.50	3235.00	USD	3,312.50	
24AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
24AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
24AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
25AUG17	S	1	PD24NOV17	3127.00	3235.00	USD	-2,700.00	
25AUG17	S	1	PD24NOV17	3127.50	3235.00	USD	-2,687.50	
25AUG17	S	1	PD24NOV17	3129.00	3235.00	USD	-2,650.00	
25AUG17	S	1	PD24NOV17	3129.50	3235.00	USD	-2,637.50	
25AUG17	S	2	PD24NOV17	3130.00	3235.00	USD	-5,250.00	
25AUG17	S	1	PD24NOV17	3130.50	3235.00	USD	-2,612.50	
25AUG17	B	1	PD24NOV17	3130.00	3235.00	USD	2,625.00	
25AUG17	B	1	PD24NOV17	3072.50	3235.00	USD	4,062.50	
25AUG17	B	1	PD24NOV17	3075.50	3235.00	USD	3,987.50	
25AUG17	B	1	PD24NOV17	3066.50	3235.00	USD	4,212.50	
25AUG17	B	2	PD24NOV17	3067.50	3235.00	USD	8,375.00	
25AUG17	S	1	PD24NOV17	3090.50	3235.00	USD	-3,612.50	
25AUG17	B	1	PD24NOV17	3071.50	3235.00	USD	4,087.50	
25AUG17	B	1	PD24NOV17	3078.50	3235.00	USD	3,912.50	
25AUG17	B	1	PD24NOV17	3056.00	3235.00	USD	4,475.00	
30AUG17	S	4	PD24NOV17	3094.00	3235.00	USD	-14,100.00	
	NET	0 AVG BGHT 3096.68	SOLD 3121.25				17,200.00	0.00
29AUG17	B	1	PD29NOV17	3116.50	3234.00	USD	2,937.50	
29AUG17	B	2	PD29NOV17	3124.00	3234.00	USD	5,500.00	
29AUG17	B	1	PD29NOV17	3097.00	3234.00	USD	3,425.00	
29AUG17	B	1	PD29NOV17	3127.00	3234.00	USD	2,675.00	
29AUG17	B	1	PD29NOV17	3096.50	3234.00	USD	3,437.50	
29AUG17	S	1	PD29NOV17	3104.50	3234.00	USD	-3,237.50	
29AUG17	S	1	PD29NOV17	3108.50	3234.00	USD	-3,137.50	
29AUG17	S	1	PD29NOV17	3114.50	3234.00	USD	-2,987.50	
29AUG17	S	1	PD29NOV17	3115.50	3234.00	USD	-2,962.50	
29AUG17	S	2	PD29NOV17	3115.00	3234.00	USD	-5,950.00	
29AUG17	S	2	PD29NOV17	3117.00	3234.00	USD	-5,850.00	
29AUG17	B	1	PD29NOV17	3122.50	3234.00	USD	2,787.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	3119.50	3234.00	USD	-2,862.50	
29AUG17	S	1	PD29NOV17	3123.00	3234.00	USD	-2,775.00	
29AUG17	S	1	PD29NOV17	3123.50	3234.00	USD	-2,762.50	
29AUG17	B	1	PD29NOV17	3123.00	3234.00	USD	2,775.00	
29AUG17	S	1	PD29NOV17	3122.00	3234.00	USD	-2,800.00	
29AUG17	S	2	PD29NOV17	3119.00	3234.00	USD	-5,750.00	
29AUG17	S	1	PD29NOV17	3120.50	3234.00	USD	-2,837.50	
29AUG17	S	1	PD29NOV17	3112.50	3234.00	USD	-3,037.50	
30AUG17	B	8	PD29NOV17	3095.03	3234.00	USD	27,794.00	
	NET	0 AVG BGHT 3105.67	SOLD 3116.63				4,381.50	0.00
30AUG17	B	1	PD30NOV17	3149.50	3233.67	USD	2,104.25	
30AUG17	S	1	PD30NOV17	3145.50	3233.67	USD	-2,204.25	
30AUG17	S	1	PD30NOV17	3147.50	3233.67	USD	-2,154.25	
30AUG17	S	1	PD30NOV17	3149.50	3233.67	USD	-2,104.25	
30AUG17	S	1	PD30NOV17	3150.50	3233.67	USD	-2,079.25	
30AUG17	S	1	PD30NOV17	3151.50	3233.67	USD	-2,054.25	
30AUG17	B	1	PD30NOV17	3127.50	3233.67	USD	2,654.25	
30AUG17	B	1	PD30NOV17	3128.50	3233.67	USD	2,629.25	
30AUG17	S	1	PD30NOV17	3128.50	3233.67	USD	-2,629.25	
30AUG17	B	2	PD30NOV17	3127.00	3233.67	USD	5,333.50	
30AUG17	B	1	PD30NOV17	3106.00	3233.67	USD	3,191.75	
30AUG17	B	1	PD30NOV17	3107.50	3233.67	USD	3,154.25	
30AUG17	B	1	PD30NOV17	3105.00	3233.67	USD	3,216.75	
30AUG17	B	1	PD30NOV17	3111.50	3233.67	USD	3,054.25	
30AUG17	S	3	PD30NOV17	3094.80	3233.67	USD	-10,415.25	
31AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	S	3	PD30NOV17	3126.00	3233.67	USD	-8,075.25	
31AUG17	S	1	PD30NOV17	3125.00	3233.67	USD	-2,716.75	
31AUG17	S	2	PD30NOV17	3142.00	3233.67	USD	-4,583.50	
31AUG17	S	1	PD30NOV17	3141.50	3233.67	USD	-2,304.25	
31AUG17	S	1	PD30NOV17	3128.50	3233.67	USD	-2,629.25	
31AUG17	S	1	PD30NOV17	3160.00	3233.67	USD	-1,841.75	
31AUG17	S	1	PD30NOV17	3159.00	3233.67	USD	-1,866.75	
31AUG17	S	2	PD30NOV17	3159.50	3233.67	USD	-3,708.50	
31AUG17	B	1	PD30NOV17	3159.00	3233.67	USD	1,866.75	
31AUG17	B	1	PD30NOV17	3160.00	3233.67	USD	1,841.75	
04SEP17	B	5	PD30NOV17	3204.70	3233.67	USD	3,621.25	
05SEP17	B	3	PD30NOV17	3139.63	3233.67	USD	7,053.00	
06SEP17	B	2	PD30NOV17	3093.50	3233.67	USD	7,008.50	
	NET	0 AVG BGHT 3143.90	SOLD 3135.40				-4,674.75	0.00
01SEP17	S	1	PD01DEC17	3162.00	3233.33	USD	-1,783.25	
01SEP17	B	1	PD01DEC17	3148.50	3233.33	USD	2,120.75	
01SEP17	B	1	PD01DEC17	3155.50	3233.33	USD	1,945.75	
01SEP17	B	1	PD01DEC17	3156.00	3233.33	USD	1,933.25	
01SEP17	S	1	PD01DEC17	3159.50	3233.33	USD	-1,845.75	
01SEP17	S	1	PD01DEC17	3160.00	3233.33	USD	-1,833.25	
01SEP17	S	3	PD01DEC17	3164.00	3233.33	USD	-5,199.75	
01SEP17	B	1	PD01DEC17	3205.00	3233.33	USD	708.25	
01SEP17	B	1	PD01DEC17	3210.00	3233.33	USD	583.25	
01SEP17	B	2	PD01DEC17	3207.50	3233.33	USD	1,291.50	
06SEP17	S	1	PD01DEC17	3093.55	3233.33	USD	-3,494.50	
	NET	0 AVG BGHT 3184.29	SOLD 3152.44				-5,573.75	0.00
04SEP17	S	1	PD04DEC17	3194.50	3233.00	USD	-962.50	
04SEP17	S	2	PD04DEC17	3197.00	3233.00	USD	-1,800.00	
04SEP17	S	1	PD04DEC17	3194.00	3233.00	USD	-975.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	1	PD04DEC17	3197.50	3233.00	USD	-887.50	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	B	1	PD04DEC17	3205.00	3233.00	USD	700.00	
04SEP17	B	1	PD04DEC17	3207.50	3233.00	USD	637.50	
04SEP17	B	1	PD04DEC17	3208.00	3233.00	USD	625.00	
04SEP17	B	3	PD04DEC17	3209.50	3233.00	USD	1,762.50	
04SEP17	B	1	PD04DEC17	3210.00	3233.00	USD	575.00	
06SEP17	S	1	PD04DEC17	3094.30	3233.00	USD	-3,467.50	
	NET	0AVG BGHT 3208.43	SOLD 3181.76				-4,667.50	0.00
05SEP17	S	1	PD05DEC17	3199.00	3232.67	USD	-841.75	
05SEP17	S	2	PD05DEC17	3199.50	3232.67	USD	-1,658.50	
05SEP17	B	1	PD05DEC17	3162.00	3232.67	USD	1,766.75	
05SEP17	B	1	PD05DEC17	3166.50	3232.67	USD	1,654.25	
05SEP17	B	1	PD05DEC17	3168.00	3232.67	USD	1,616.75	
05SEP17	B	1	PD05DEC17	3105.50	3232.67	USD	3,179.25	
05SEP17	B	1	PD05DEC17	3098.50	3232.67	USD	3,354.25	
05SEP17	B	1	PD05DEC17	3102.50	3232.67	USD	3,254.25	
14SEP17	S	3	PD05DEC17	3004.71	3232.67	USD	-17,097.00	
	NET	0AVG BGHT 3133.83	SOLD 3102.02				-4,771.75	0.00
06SEP17	S	1	PD06DEC17	3123.00	3232.33	USD	-2,733.25	
06SEP17	S	1	PD06DEC17	3126.50	3232.33	USD	-2,645.75	
06SEP17	S	1	PD06DEC17	3120.50	3232.33	USD	-2,795.75	
06SEP17	B	3	PD06DEC17	3087.50	3232.33	USD	10,862.25	
06SEP17	B	1	PD06DEC17	3090.50	3232.33	USD	3,545.75	
06SEP17	B	1	PD06DEC17	3081.00	3232.33	USD	3,783.25	
06SEP17	S	1	PD06DEC17	3095.00	3232.33	USD	-3,433.25	
06SEP17	S	1	PD06DEC17	3097.00	3232.33	USD	-3,383.25	
06SEP17	S	1	PD06DEC17	3104.00	3232.33	USD	-3,208.25	
14SEP17	B	1	PD06DEC17	3005.06	3232.33	USD	5,681.75	
	NET	0AVG BGHT 3073.18	SOLD 3111.00				5,673.50	0.00
07SEP17	S	1	PD07DEC17	3103.00	3232.00	USD	-3,225.00	
07SEP17	B	1	PD07DEC17	3106.00	3232.00	USD	3,150.00	
07SEP17	B	1	PD07DEC17	3108.50	3232.00	USD	3,087.50	
07SEP17	B	1	PD07DEC17	3125.50	3232.00	USD	2,662.50	
07SEP17	B	2	PD07DEC17	3136.00	3232.00	USD	4,800.00	
14SEP17	S	4	PD07DEC17	3005.00	3232.00	USD	-22,700.00	
	NET	0AVG BGHT 3122.40	SOLD 3024.60				-12,225.00	0.00
08SEP17	B	1	PD08DEC17	3077.50	3231.67	USD	3,854.25	
08SEP17	B	1	PD08DEC17	3079.00	3231.67	USD	3,816.75	
08SEP17	S	2	PD08DEC17	3037.50	3231.67	USD	-9,708.50	
08SEP17	S	1	PD08DEC17	3032.50	3231.67	USD	-4,979.25	
08SEP17	S	1	PD08DEC17	3033.00	3231.67	USD	-4,966.75	
08SEP17	S	1	PD08DEC17	3025.00	3231.67	USD	-5,166.75	
14SEP17	B	3	PD08DEC17	3005.34	3231.67	USD	16,974.75	
	NET	0AVG BGHT 3034.50	SOLD 3033.10				-175.50	0.00
11SEP17	S	1	PD11DEC17	3061.50	3231.33	USD	-4,245.75	
11SEP17	S	1	PD11DEC17	3057.50	3231.33	USD	-4,345.75	
11SEP17	S	1	PD11DEC17	3058.00	3231.33	USD	-4,333.25	
11SEP17	S	1	PD11DEC17	3058.50	3231.33	USD	-4,320.75	
11SEP17	S	1	PD11DEC17	3060.50	3231.33	USD	-4,270.75	
11SEP17	B	1	PD11DEC17	3061.00	3231.33	USD	4,258.25	
11SEP17	B	1	PD11DEC17	3061.50	3231.33	USD	4,245.75	
11SEP17	B	1	PD11DEC17	3049.50	3231.33	USD	4,545.75	
11SEP17	B	1	PD11DEC17	3050.00	3231.33	USD	4,533.25	
14SEP17	B	1	PD11DEC17	3005.77	3231.33	USD	5,639.00	
	NET	0AVG BGHT 3045.55	SOLD 3059.20				1,705.75	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	3083.00	3231.00	USD	-3,700.00	
12SEP17	S	1	PD12DEC17	3084.00	3231.00	USD	-3,675.00	
12SEP17	S	2	PD12DEC17	3084.50	3231.00	USD	-7,325.00	
12SEP17	S	1	PD12DEC17	3085.00	3231.00	USD	-3,650.00	
12SEP17	B	1	PD12DEC17	3060.50	3231.00	USD	4,262.50	
12SEP17	B	1	PD12DEC17	3064.50	3231.00	USD	4,162.50	
12SEP17	B	1	PD12DEC17	3060.00	3231.00	USD	4,275.00	
12SEP17	B	1	PD12DEC17	3055.50	3231.00	USD	4,387.50	
12SEP17	B	1	PD12DEC17	3054.00	3231.00	USD	4,425.00	
12SEP17	B	1	PD12DEC17	3055.00	3231.00	USD	4,400.00	
12SEP17	S	1	PD12DEC17	3078.00	3231.00	USD	-3,825.00	
	NET	0AVG BGHT 3058.25	SOLD 3083.17				3,737.50	0.00
13SEP17	B	1	PD13DEC17	3055.00	3230.67	USD	4,391.75	
13SEP17	S	1	PD13DEC17	3056.50	3230.67	USD	-4,354.25	
13SEP17	S	1	PD13DEC17	3057.50	3230.67	USD	-4,329.25	
13SEP17	S	1	PD13DEC17	3059.50	3230.67	USD	-4,279.25	
13SEP17	B	1	PD13DEC17	3023.50	3230.67	USD	5,179.25	
13SEP17	B	1	PD13DEC17	3022.00	3230.67	USD	5,216.75	
13SEP17	S	1	PD13DEC17	3037.50	3230.67	USD	-4,829.25	
13SEP17	S	1	PD13DEC17	3038.00	3230.67	USD	-4,816.75	
14SEP17	B	2	PD13DEC17	3006.06	3230.67	USD	11,230.50	
	NET	0AVG BGHT 3022.52	SOLD 3049.80				3,409.50	0.00
14SEP17	B	1	PD14DEC17	2993.00	3230.33	USD	5,933.25	
14SEP17	B	1	PD14DEC17	2980.00	3230.33	USD	6,258.25	
14SEP17	B	1	PD14DEC17	2982.00	3230.33	USD	6,208.25	
14SEP17	B	1	PD14DEC17	2977.50	3230.33	USD	6,320.75	
14SEP17	B	1	PD14DEC17	2988.50	3230.33	USD	6,045.75	
14SEP17	S	1	PD14DEC17	3015.00	3230.33	USD	-5,383.25	
14SEP17	S	1	PD14DEC17	3015.50	3230.33	USD	-5,370.75	
14SEP17	S	3	PD14DEC17	3016.50	3230.33	USD	-16,037.25	
14SEP17	B	2	PD14DEC17	3005.00	3230.33	USD	11,266.50	
21SEP17	S	2	PD14DEC17	3100.43	3230.33	USD	-6,495.00	
	NET	0AVG BGHT 2990.14	SOLD 3040.12				8,746.50	0.00
15SEP17	S	2	PD15DEC17	3012.00	3230.00	USD	-10,900.00	
15SEP17	B	2	PD15DEC17	3001.00	3230.00	USD	11,450.00	
15SEP17	B	1	PD15DEC17	3000.00	3230.00	USD	5,750.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	S	1	PD15DEC17	3032.00	3230.00	USD	-4,950.00	
15SEP17	S	3	PD15DEC17	3030.80	3230.00	USD	-14,940.00	
15SEP17	B	2	PD15DEC17	3032.50	3230.00	USD	9,875.00	
15SEP17	S	1	PD15DEC17	3031.00	3230.00	USD	-4,975.00	
21SEP17	B	1	PD15DEC17	3100.69	3230.00	USD	3,232.75	
21SEP17	B	2	PD15DEC17	3100.89	3230.00	USD	6,455.50	
	NET	0AVG BGHT 3046.18	SOLD 3026.18				-4,001.75	0.00
18SEP17	S	1	PD18DEC17	3084.50	3229.67	USD	-3,629.25	
18SEP17	S	1	PD18DEC17	3065.00	3229.67	USD	-4,116.75	
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	
18SEP17	S	2	PD18DEC17	3068.50	3229.67	USD	-8,058.50	
18SEP17	S	1	PD18DEC17	3073.00	3229.67	USD	-3,916.75	
18SEP17	S	1	PD18DEC17	3074.00	3229.67	USD	-3,891.75	
18SEP17	B	1	PD18DEC17	3066.00	3229.67	USD	4,091.75	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	1	PD18DEC17	3088.50	3229.67	USD	-3,529.25	
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	2	PD18DEC17	3081.00	3229.67	USD	-7,433.50	
18SEP17	S	1	PD18DEC17	3094.50	3229.67	USD	-3,379.25	

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18SEP17	B	1	PD18DEC17	3096.50	3229.67	USD	3,329.25	
18SEP17	B	1	PD18DEC17	3098.00	3229.67	USD	3,291.75	
18SEP17	B	1	PD18DEC17	3101.00	3229.67	USD	3,216.75	
18SEP17	B	1	PD18DEC17	3105.00	3229.67	USD	3,116.75	
18SEP17	B	2	PD18DEC17	3111.50	3229.67	USD	5,908.50	
18SEP17	B	4	PD18DEC17	3110.50	3229.67	USD	11,917.00	
18SEP17	B	2	PD18DEC17	3111.00	3229.67	USD	5,933.50	
	NET	0AVG BGHT 3104.12	SOLD 3077.96				-8,500.00	0.00
19SEP17	S	1	PD19DEC17	3104.00	3229.33	USD	-3,133.25	
19SEP17	S	1	PD19DEC17	3106.00	3229.33	USD	-3,083.25	
19SEP17	S	1	PD19DEC17	3106.50	3229.33	USD	-3,070.75	
19SEP17	S	1	PD19DEC17	3100.50	3229.33	USD	-3,220.75	
19SEP17	S	1	PD19DEC17	3102.50	3229.33	USD	-3,170.75	
19SEP17	S	1	PD19DEC17	3105.00	3229.33	USD	-3,108.25	
19SEP17	S	1	PD19DEC17	3102.00	3229.33	USD	-3,183.25	
19SEP17	B	1	PD19DEC17	3088.00	3229.33	USD	3,533.25	
19SEP17	B	1	PD19DEC17	3088.50	3229.33	USD	3,520.75	
19SEP17	B	1	PD19DEC17	3085.50	3229.33	USD	3,595.75	
19SEP17	B	1	PD19DEC17	3087.50	3229.33	USD	3,545.75	
19SEP17	B	1	PD19DEC17	3078.00	3229.33	USD	3,783.25	
19SEP17	B	1	PD19DEC17	3081.50	3229.33	USD	3,695.75	
19SEP17	B	1	PD19DEC17	3079.00	3229.33	USD	3,758.25	
19SEP17	B	1	PD19DEC17	3080.50	3229.33	USD	3,720.75	
21SEP17	S	1	PD19DEC17	3101.54	3229.33	USD	-3,194.75	
	NET	0AVG BGHT 3083.56	SOLD 3103.51				3,988.50	0.00
20SEP17	S	1	PD20DEC17	3149.50	3229.00	USD	-1,987.50	
20SEP17	S	2	PD20DEC17	3150.50	3229.00	USD	-3,925.00	
20SEP17	S	1	PD20DEC17	3147.00	3229.00	USD	-2,050.00	
20SEP17	B	1	PD20DEC17	3126.00	3229.00	USD	2,575.00	
20SEP17	B	1	PD20DEC17	3129.00	3229.00	USD	2,500.00	
20SEP17	B	1	PD20DEC17	3129.50	3229.00	USD	2,487.50	
20SEP17	B	1	PD20DEC17	3130.50	3229.00	USD	2,462.50	
	NET	0AVG BGHT 3128.75	SOLD 3149.38				2,062.50	0.00
21SEP17	B	1	PD21DEC17	3026.50	3225.00	USD	4,962.50	
21SEP17	B	1	PD21DEC17	3027.00	3225.00	USD	4,950.00	
21SEP17	B	1	PD21DEC17	3038.00	3225.00	USD	4,675.00	
21SEP17	S	1	PD21DEC17	3044.00	3225.00	USD	-4,525.00	
21SEP17	S	1	PD21DEC17	3044.50	3225.00	USD	-4,512.50	
26SEP17	S	1	PD21DEC17	3118.00	3225.00	USD	-2,675.00	
	NET	0AVG BGHT 3030.50	SOLD 3068.83				2,875.00	0.00
22SEP17	B	1	PD22DEC17	3046.00	3224.50	USD	4,462.50	
22SEP17	S	2	PD22DEC17	3035.00	3224.50	USD	-9,475.00	
22SEP17	B	1	PD22DEC17	3061.50	3224.50	USD	4,075.00	
22SEP17	B	1	PD22DEC17	3062.00	3224.50	USD	4,062.50	
22SEP17	B	1	PD22DEC17	3065.00	3224.50	USD	3,987.50	
22SEP17	S	1	PD22DEC17	3050.50	3224.50	USD	-4,350.00	
22SEP17	S	1	PD22DEC17	3052.00	3224.50	USD	-4,312.50	
22SEP17	S	1	PD22DEC17	3053.50	3224.50	USD	-4,275.00	
22SEP17	S	1	PD22DEC17	3054.00	3224.50	USD	-4,262.50	
22SEP17	B	1	PD22DEC17	3063.50	3224.50	USD	4,025.00	
22SEP17	B	1	PD22DEC17	3064.00	3224.50	USD	4,012.50	
	NET	0AVG BGHT 3060.33	SOLD 3046.67				-2,050.00	0.00
25SEP17	S	1	PD27DEC17	3095.00	3224.00	USD	-3,225.00	
25SEP17	S	1	PD27DEC17	3090.00	3224.00	USD	-3,350.00	
25SEP17	S	1	PD27DEC17	3094.00	3224.00	USD	-3,250.00	
25SEP17	S	1	PD27DEC17	3089.00	3224.00	USD	-3,375.00	

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25SEP17	S	1	PD27DEC17	3091.50	3224.00	USD	-3,312.50	
25SEP17	S	1	PD27DEC17	3085.50	3224.00	USD	-3,462.50	
25SEP17	S	2	PD27DEC17	3086.00	3224.00	USD	-6,900.00	
25SEP17	S	1	PD27DEC17	3089.50	3224.00	USD	-3,362.50	
25SEP17	S	1	PD27DEC17	3092.00	3224.00	USD	-3,300.00	
25SEP17	B	2	PD27DEC17	3097.50	3224.00	USD	6,325.00	
25SEP17	B	1	PD27DEC17	3099.00	3224.00	USD	3,125.00	
25SEP17	B	1	PD27DEC17	3098.50	3224.00	USD	3,137.50	
25SEP17	B	1	PD27DEC17	3104.50	3224.00	USD	2,987.50	
25SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
25SEP17	B	2	PD27DEC17	3113.50	3224.00	USD	5,525.00	
26SEP17	S	1	PD27DEC17	3131.50	3224.00	USD	-2,312.50	
26SEP17	S	1	PD27DEC17	3133.50	3224.00	USD	-2,262.50	
26SEP17	S	1	PD27DEC17	3141.50	3224.00	USD	-2,062.50	
26SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
26SEP17	S	1	PD27DEC17	3147.50	3224.00	USD	-1,912.50	
26SEP17	S	1	PD27DEC17	3149.50	3224.00	USD	-1,862.50	
26SEP17	S	2	PD27DEC17	3142.50	3224.00	USD	-4,075.00	
26SEP17	S	1	PD27DEC17	3143.50	3224.00	USD	-2,012.50	
26SEP17	S	1	PD27DEC17	3144.00	3224.00	USD	-2,000.00	
26SEP17	B	1	PD27DEC17	3120.50	3224.00	USD	2,587.50	
26SEP17	B	1	PD27DEC17	3136.00	3224.00	USD	2,200.00	
26SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
26SEP17	B	3	PD27DEC17	3118.50	3224.00	USD	7,912.50	
26SEP17	B	1	PD27DEC17	3119.00	3224.00	USD	2,625.00	
26SEP17	B	1	PD27DEC17	3119.50	3224.00	USD	2,612.50	
26SEP17	B	2	PD27DEC17	3120.00	3224.00	USD	5,200.00	
26SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
26SEP17	B	1	PD27DEC17	3115.50	3224.00	USD	2,712.50	
26SEP17	B	1	PD27DEC17	3114.50	3224.00	USD	2,737.50	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	S	2	PD27DEC17	3133.00	3224.00	USD	-4,550.00	
26SEP17	S	1	PD27DEC17	3129.00	3224.00	USD	-2,375.00	
26SEP17	B	1	PD27DEC17	3112.00	3224.00	USD	2,800.00	
26SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
26SEP17	B	1	PD27DEC17	3116.20	3224.00	USD	2,695.00	
27SEP17	B	2	PD27DEC17	3116.00	3224.00	USD	5,400.00	
27SEP17	B	1	PD27DEC17	3117.00	3224.00	USD	2,675.00	
27SEP17	B	1	PD27DEC17	3135.00	3224.00	USD	2,225.00	
27SEP17	S	1	PD27DEC17	3116.00	3224.00	USD	-2,700.00	
27SEP17	S	2	PD27DEC17	3116.50	3224.00	USD	-5,375.00	
27SEP17	S	3	PD27DEC17	3139.50	3224.00	USD	-6,337.50	
27SEP17	S	1	PD27DEC17	3140.00	3224.00	USD	-2,100.00	
27SEP17	S	1	PD27DEC17	3141.00	3224.00	USD	-2,075.00	
27SEP17	B	1	PD27DEC17	3136.50	3224.00	USD	2,187.50	
27SEP17	B	1	PD27DEC17	3137.50	3224.00	USD	2,162.50	
27SEP17	B	1	PD27DEC17	3139.00	3224.00	USD	2,125.00	
27SEP17	B	1	PD27DEC17	3141.00	3224.00	USD	2,075.00	
27SEP17	B	1	PD27DEC17	3096.50	3224.00	USD	3,187.50	
27SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
27SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
27SEP17	B	1	PD27DEC17	3105.00	3224.00	USD	2,975.00	
27SEP17	B	1	PD27DEC17	3106.50	3224.00	USD	2,937.50	
27SEP17	B	1	PD27DEC17	3107.50	3224.00	USD	2,912.50	
27SEP17	S	2	PD27DEC17	3113.50	3224.00	USD	-5,525.00	
27SEP17	S	1	PD27DEC17	3114.00	3224.00	USD	-2,750.00	
27SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	

OPEN POSITION STATEMENT



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SAP0094S

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	S	4	PD27DEC17		3113.00	3224.00	USD	-11,100.00	
27SEP17	B	1	PD27DEC17		3122.00	3224.00	USD	2,550.00	
27SEP17	B	1	PD27DEC17		3102.50	3224.00	USD	3,037.50	
27SEP17	B	1	PD27DEC17		3103.50	3224.00	USD	3,012.50	
27SEP17	B	1	PD27DEC17		3123.00	3224.00	USD	2,525.00	
29SEP17	S	2	PD27DEC17		3162.38	3224.00	USD	-3,081.00	
	NET	0AVG	BGHT 3114.93	SOLD 3121.58				6,976.50	0.00
28SEP17	S	1	PD28DEC17		3111.00	3223.50	USD	-2,812.50	
28SEP17	S	1	PD28DEC17		3110.00	3223.50	USD	-2,837.50	
28SEP17	S	1	PD28DEC17		3134.00	3223.50	USD	-2,237.50	
28SEP17	S	2	PD28DEC17		3124.00	3223.50	USD	-4,975.00	
28SEP17	S	1	PD28DEC17		3125.00	3223.50	USD	-2,462.50	
28SEP17	S	2	PD28DEC17		3126.00	3223.50	USD	-4,875.00	
28SEP17	B	1	PD28DEC17		3131.50	3223.50	USD	2,300.00	
28SEP17	S	1	PD28DEC17		3132.50	3223.50	USD	-2,275.00	
28SEP17	S	1	PD28DEC17		3138.00	3223.50	USD	-2,137.50	
28SEP17	S	1	PD28DEC17		3137.50	3223.50	USD	-2,150.00	
28SEP17	B	2	PD28DEC17		3136.00	3223.50	USD	4,375.00	
28SEP17	B	1	PD28DEC17		3114.50	3223.50	USD	2,725.00	
28SEP17	B	1	PD28DEC17		3117.00	3223.50	USD	2,662.50	
28SEP17	B	1	PD28DEC17		3119.00	3223.50	USD	2,612.50	
28SEP17	B	1	PD28DEC17		3130.00	3223.50	USD	2,337.50	
28SEP17	B	1	PD28DEC17		3130.50	3223.50	USD	2,325.00	
28SEP17	S	1	PD28DEC17		3147.00	3223.50	USD	-1,912.50	
28SEP17	B	1	PD28DEC17		3138.00	3223.50	USD	2,137.50	
28SEP17	B	1	PD28DEC17		3145.50	3223.50	USD	1,950.00	
28SEP17	S	1	PD28DEC17		3151.00	3223.50	USD	-1,812.50	
28SEP17	S	1	PD28DEC17		3152.00	3223.50	USD	-1,787.50	
28SEP17	S	1	PD28DEC17		3154.50	3223.50	USD	-1,725.00	
28SEP17	S	2	PD28DEC17		3154.00	3223.50	USD	-3,475.00	
28SEP17	B	2	PD28DEC17		3156.50	3223.50	USD	3,350.00	
28SEP17	B	1	PD28DEC17		3156.00	3223.50	USD	1,687.50	
29SEP17	B	2	PD28DEC17		3162.39	3223.50	USD	3,055.50	
10OCT17	B	2	PD28DEC17		3283.36	3223.50	USD	-2,993.00	
	NET	0AVG	BGHT 3156.38	SOLD 3135.32				-8,950.00	0.00
29SEP17	B	1	PD29DEC17		3148.00	3223.00	USD	1,875.00	
29SEP17	B	1	PD29DEC17		3148.50	3223.00	USD	1,862.50	
29SEP17	B	1	PD29DEC17		3146.00	3223.00	USD	1,925.00	
29SEP17	B	2	PD29DEC17		3147.00	3223.00	USD	3,800.00	
29SEP17	S	1	PD29DEC17		3147.00	3223.00	USD	-1,900.00	
29SEP17	B	3	PD29DEC17		3150.00	3223.00	USD	5,475.00	
29SEP17	B	1	PD29DEC17		3149.00	3223.00	USD	1,850.00	
29SEP17	B	2	PD29DEC17		3151.00	3223.00	USD	3,600.00	
29SEP17	S	11	PD29DEC17		3161.80	3223.00	USD	-16,830.00	
29SEP17	B	1	PD29DEC17		3160.00	3223.00	USD	1,575.00	
29SEP17	B	1	PD29DEC17		3161.00	3223.00	USD	1,550.00	
29SEP17	B	1	PD29DEC17		3168.00	3223.00	USD	1,375.00	
10OCT17	S	2	PD29DEC17		3282.62	3223.00	USD	2,981.00	
	NET	0AVG	BGHT 3151.89	SOLD 3178.00				9,138.50	0.00
03OCT17	S	1	PD03JAN18		3226.00	3222.00	USD	100.00	
03OCT17	S	1	PD03JAN18		3228.00	3222.00	USD	150.00	
03OCT17	S	1	PD03JAN18		3229.50	3222.00	USD	187.50	
03OCT17	S	1	PD03JAN18		3230.00	3222.00	USD	200.00	
03OCT17	S	1	PD03JAN18		3236.50	3222.00	USD	362.50	
03OCT17	B	1	PD03JAN18		3288.00	3222.00	USD	-1,650.00	
03OCT17	B	1	PD03JAN18		3288.50	3222.00	USD	-1,662.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	3	PD03JAN18	3289.50	3222.00	USD	-5,062.50	
030CT17	S	1	PD03JAN18	3282.00	3222.00	USD	1,500.00	
030CT17	S	1	PD03JAN18	3283.50	3222.00	USD	1,537.50	
030CT17	B	1	PD03JAN18	3262.00	3222.00	USD	-1,000.00	
030CT17	B	1	PD03JAN18	3263.50	3222.00	USD	-1,037.50	
030CT17	B	1	PD03JAN18	3278.00	3222.00	USD	-1,400.00	
030CT17	B	1	PD03JAN18	3281.50	3222.00	USD	-1,487.50	
100CT17	S	2	PD03JAN18	3281.73	3222.00	USD	2,986.50	
	NET	0 AVG BGHT 3281.11	SOLD 3253.22				-6,276.00	0.00
040CT17	B	1	PD04JAN18	3281.00	3221.50	USD	-1,487.50	
040CT17	S	1	PD04JAN18	3276.50	3221.50	USD	1,375.00	
040CT17	S	1	PD04JAN18	3278.00	3221.50	USD	1,412.50	
040CT17	S	1	PD04JAN18	3292.00	3221.50	USD	1,762.50	
040CT17	S	1	PD04JAN18	3279.00	3221.50	USD	1,437.50	
040CT17	S	1	PD04JAN18	3297.50	3221.50	USD	1,900.00	
040CT17	S	1	PD04JAN18	3298.00	3221.50	USD	1,912.50	
040CT17	B	1	PD04JAN18	3298.00	3221.50	USD	-1,912.50	
040CT17	B	1	PD04JAN18	3285.00	3221.50	USD	-1,587.50	
040CT17	B	2	PD04JAN18	3279.00	3221.50	USD	-2,875.00	
040CT17	B	1	PD04JAN18	3276.50	3221.50	USD	-1,375.00	
	NET	0 AVG BGHT 3283.08	SOLD 3286.83				562.50	0.00
050CT17	S	1	PD05JAN18	3274.00	3221.00	USD	1,325.00	
050CT17	S	1	PD05JAN18	3274.50	3221.00	USD	1,337.50	
050CT17	S	1	PD05JAN18	3275.00	3221.00	USD	1,350.00	
050CT17	S	1	PD05JAN18	3281.50	3221.00	USD	1,512.50	
050CT17	S	1	PD05JAN18	3282.00	3221.00	USD	1,525.00	
050CT17	B	1	PD05JAN18	3287.50	3221.00	USD	-1,662.50	
050CT17	B	1	PD05JAN18	3291.50	3221.00	USD	-1,762.50	
050CT17	B	1	PD05JAN18	3294.50	3221.00	USD	-1,837.50	
050CT17	S	1	PD05JAN18	3303.50	3221.00	USD	2,062.50	
050CT17	S	1	PD05JAN18	3303.00	3221.00	USD	2,050.00	
050CT17	B	1	PD05JAN18	3295.00	3221.00	USD	-1,850.00	
050CT17	B	1	PD05JAN18	3296.50	3221.00	USD	-1,887.50	
050CT17	B	2	PD05JAN18	3296.00	3221.00	USD	-3,750.00	
060CT17	S	1	PD05JAN18	3292.50	3221.00	USD	1,787.50	
060CT17	S	1	PD05JAN18	3288.50	3221.00	USD	1,687.50	
060CT17	S	1	PD05JAN18	3290.00	3221.00	USD	1,725.00	
060CT17	S	2	PD05JAN18	3293.00	3221.00	USD	3,600.00	
060CT17	S	1	PD05JAN18	3292.00	3221.00	USD	1,775.00	
060CT17	S	1	PD05JAN18	3286.00	3221.00	USD	1,625.00	
060CT17	B	1	PD05JAN18	3259.00	3221.00	USD	-950.00	
060CT17	B	1	PD05JAN18	3251.50	3221.00	USD	-762.50	
060CT17	B	1	PD05JAN18	3255.00	3221.00	USD	-850.00	
060CT17	B	2	PD05JAN18	3253.00	3221.00	USD	-1,600.00	
060CT17	B	1	PD05JAN18	3243.00	3221.00	USD	-550.00	
060CT17	B	1	PD05JAN18	3245.00	3221.00	USD	-600.00	
	NET	0 AVG BGHT 3272.61	SOLD 3287.75				5,300.00	0.00
090CT17	B	1	PD09JAN18	3261.00	3220.00	USD	-1,025.00	
090CT17	B	1	PD09JAN18	3264.00	3220.00	USD	-1,100.00	
090CT17	S	1	PD09JAN18	3270.50	3220.00	USD	1,262.50	
090CT17	S	1	PD09JAN18	3271.50	3220.00	USD	1,287.50	
090CT17	S	1	PD09JAN18	3272.50	3220.00	USD	1,312.50	
090CT17	S	3	PD09JAN18	3274.00	3220.00	USD	4,050.00	
090CT17	S	1	PD09JAN18	3268.50	3220.00	USD	1,212.50	
090CT17	B	1	PD09JAN18	3266.00	3220.00	USD	-1,150.00	
090CT17	S	1	PD09JAN18	3267.00	3220.00	USD	1,175.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	3268.00	3220.00	USD	1,200.00	
09OCT17	S	1	PD09JAN18	3269.50	3220.00	USD	1,237.50	
09OCT17	B	2	PD09JAN18	3253.00	3220.00	USD	-1,650.00	
09OCT17	B	1	PD09JAN18	3256.00	3220.00	USD	-900.00	
09OCT17	S	1	PD09JAN18	3262.00	3220.00	USD	1,050.00	
09OCT17	S	2	PD09JAN18	3264.00	3220.00	USD	2,200.00	
09OCT17	S	3	PD09JAN18	3266.00	3220.00	USD	3,450.00	
09OCT17	S	1	PD09JAN18	3262.50	3220.00	USD	1,062.50	
09OCT17	B	2	PD09JAN18	3242.00	3220.00	USD	-1,100.00	
09OCT17	B	3	PD09JAN18	3242.50	3220.00	USD	-1,687.50	
09OCT17	S	1	PD09JAN18	3254.00	3220.00	USD	850.00	
09OCT17	S	1	PD09JAN18	3254.50	3220.00	USD	862.50	
09OCT17	B	1	PD09JAN18	3237.50	3220.00	USD	-437.50	
09OCT17	B	1	PD09JAN18	3238.00	3220.00	USD	-450.00	
09OCT17	B	1	PD09JAN18	3238.50	3220.00	USD	-462.50	
09OCT17	B	2	PD09JAN18	3243.50	3220.00	USD	-1,175.00	
09OCT17	S	2	PD09JAN18	3246.00	3220.00	USD	1,300.00	
09OCT17	S	1	PD09JAN18	3246.50	3220.00	USD	662.50	
09OCT17	S	2	PD09JAN18	3247.00	3220.00	USD	1,350.00	
09OCT17	S	1	PD09JAN18	3250.00	3220.00	USD	750.00	
09OCT17	S	1	PD09JAN18	3251.00	3220.00	USD	775.00	
09OCT17	S	1	PD09JAN18	3252.50	3220.00	USD	812.50	
09OCT17	B	1	PD09JAN18	3254.00	3220.00	USD	-850.00	
09OCT17	S	2	PD09JAN18	3247.50	3220.00	USD	1,375.00	
09OCT17	B	2	PD09JAN18	3255.00	3220.00	USD	-1,750.00	
10OCT17	B	2	PD09JAN18	3279.75	3220.00	USD	-2,987.50	
	NET	-8 AVG BGHT 3251.86	SOLD 3260.33				12,512.50	0.00
10OCT17	B	1	PD10JAN18	3213.00	3219.50	USD	162.50	
10OCT17	B	1	PD10JAN18	3213.50	3219.50	USD	150.00	
10OCT17	B	1	PD10JAN18	3215.00	3219.50	USD	112.50	
10OCT17	B	1	PD10JAN18	3215.50	3219.50	USD	100.00	
10OCT17	B	1	PD10JAN18	3222.50	3219.50	USD	-75.00	
10OCT17	B	1	PD10JAN18	3226.00	3219.50	USD	-162.50	
10OCT17	B	1	PD10JAN18	3230.50	3219.50	USD	-275.00	
10OCT17	B	1	PD10JAN18	3234.00	3219.50	USD	-362.50	
10OCT17	B	1	PD10JAN18	3234.50	3219.50	USD	-375.00	
10OCT17	B	2	PD10JAN18	3239.00	3219.50	USD	-975.00	
10OCT17	B	1	PD10JAN18	3287.50	3219.50	USD	-1,700.00	
10OCT17	B	2	PD10JAN18	3288.50	3219.50	USD	-3,450.00	
10OCT17	S	1	PD10JAN18	3221.00	3219.50	USD	37.50	
10OCT17	S	1	PD10JAN18	3235.00	3219.50	USD	387.50	
10OCT17	S	1	PD10JAN18	3235.50	3219.50	USD	400.00	
10OCT17	S	1	PD10JAN18	3236.50	3219.50	USD	425.00	
10OCT17	S	1	PD10JAN18	3238.00	3219.50	USD	462.50	
10OCT17	S	2	PD10JAN18	3247.00	3219.50	USD	1,375.00	
10OCT17	S	1	PD10JAN18	3264.00	3219.50	USD	1,112.50	
10OCT17	S	1	PD10JAN18	3265.00	3219.50	USD	1,137.50	
10OCT17	S	1	PD10JAN18	3276.50	3219.50	USD	1,425.00	
	NET	+4 AVG BGHT 3239.07	SOLD 3246.55				-87.50	0.00
11OCT17	B	1	PD11JAN18	3220.00	3219.00	USD	-25.00	
11OCT17	B	1	PD11JAN18	3221.00	3219.00	USD	-50.00	
11OCT17	B	1	PD11JAN18	3230.50	3219.00	USD	-287.50	
11OCT17	B	1	PD11JAN18	3231.00	3219.00	USD	-300.00	
11OCT17	B	2	PD11JAN18	3233.00	3219.00	USD	-700.00	
11OCT17	B	1	PD11JAN18	3234.50	3219.00	USD	-387.50	
11OCT17	B	1	PD11JAN18	3236.00	3219.00	USD	-425.00	
11OCT17	B	1	PD11JAN18	3243.50	3219.00	USD	-612.50	

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11OCT17	B	1	PD11JAN18	3247.50	3219.00	USD	-712.50	
11OCT17	B	2	PD11JAN18	3252.50	3219.00	USD	-1,675.00	
11OCT17	B	2	PD11JAN18	3253.00	3219.00	USD	-1,700.00	
11OCT17	S	1	PD11JAN18	3230.00	3219.00	USD	275.00	
11OCT17	S	1	PD11JAN18	3230.50	3219.00	USD	287.50	
11OCT17	S	1	PD11JAN18	3233.00	3219.00	USD	350.00	
11OCT17	S	1	PD11JAN18	3234.00	3219.00	USD	375.00	
11OCT17	S	2	PD11JAN18	3242.00	3219.00	USD	1,150.00	
11OCT17	S	1	PD11JAN18	3243.50	3219.00	USD	612.50	
11OCT17	S	1	PD11JAN18	3250.00	3219.00	USD	775.00	
11OCT17	S	1	PD11JAN18	3253.50	3219.00	USD	862.50	
11OCT17	S	2	PD11JAN18	3222.50	3219.00	USD	175.00	
11OCT17	B	1	PD11JAN18	3229.00	3219.00	USD	-250.00	
	NET	+4 AVG BGHT 3238.00	SOLD 3236.68				-2,262.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-13,383.75
LME Copper Grade A 25	FUTURES	-5,800.00
CMX Copper	FUTURES	461,925.00
LME Lead 25 tonnes. This is an	FUTURES	647,893.75
LME Nickel 6 tonnes. This is	FUTURES	-4,327.14
LME Zinc 25 tonnes. This is	FUTURES	547,668.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-2,400.00	-2,400.00	-2,400.00	-2,400.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-13,383.75	-13,383.75		
COPL - Futures USD	-5,800.00	-5,800.00		
LEAD - Futures USD	-55,866.75	-55,866.75		
NKL - Futures USD	-4,327.14	-4,327.14		
ZINC - Futures USD	-21,932.00	-21,932.00	-101,309.64	-101,309.64

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,173.44	1,173.44
Variation Margins - Futures	-2,400.00	-2,400.00
- Options	0.00	0.00
TOTAL EQUITY	-1,226.56	-1,226.56
Initial Margins	-218,437.64	-218,437.64
Excess/(Shortage)	-219,664.20	-219,664.20
FOR INFORMATION ONLY		
Forward Unrealised P&L	-101,309.64	-101,309.64
Net Liquidating Value	-102,536.20	-102,536.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094T

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-330.00	-321.80	-712.65
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-330.00	-321.80	-712.65
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-330.00	-321.80	-712.65
FOR INFORMATION ONLY			
Net Liquidating Value	-330.00	-321.80	-712.65

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD130CT17	1925.00	2104.75	USD	-4,493.75	
13JUL17	S	1	PD130CT17	1925.50	2104.75	USD	-4,481.25	
13JUL17	S	1	PD130CT17	1935.00	2104.75	USD	-4,243.75	
14JUL17	B	1	PD130CT17	1922.50	2104.75	USD	4,556.25	
14JUL17	B	1	PD130CT17	1920.50	2104.75	USD	4,606.25	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
	NET	0 AVG	BGHT 1921.33	SOLD 1928.50			537.50	0.00
19JUL17	S	1	PD190CT17	1920.00	2107.75	USD	-4,693.75	
19JUL17	S	1	PD190CT17	1922.00	2107.75	USD	-4,643.75	
26JUL17	B	2	PD190CT17	1940.50	2107.75	USD	8,362.50	
	NET	0 AVG	BGHT 1940.50	SOLD 1921.00			-975.00	0.00
20JUL17	B	1	PD200CT17	1918.00	2108.25	USD	4,756.25	
20JUL17	B	1	PD200CT17	1916.00	2108.25	USD	4,806.25	
26JUL17	S	2	PD200CT17	1940.51	2108.25	USD	-8,387.00	
	NET	0 AVG	BGHT 1917.00	SOLD 1940.51			1,175.50	0.00
24JUL17	S	1	PD240CT17	1923.50	2110.25	USD	-4,668.75	
24JUL17	B	1	PD240CT17	1911.00	2110.25	USD	4,981.25	
	NET	0 AVG	BGHT 1911.00	SOLD 1923.50			312.50	0.00
25JUL17	S	1	PD250CT17	1919.50	2110.75	USD	-4,781.25	
28JUL17	B	1	PD250CT17	1906.25	2110.75	USD	5,112.50	
	NET	0 AVG	BGHT 1906.25	SOLD 1919.50			331.25	0.00
26JUL17	S	1	PD260CT17	1961.50	2111.25	USD	-3,743.75	
28JUL17	B	1	PD260CT17	1906.63	2111.25	USD	5,115.50	
	NET	0 AVG	BGHT 1906.63	SOLD 1961.50			1,371.75	0.00
27JUL17	B	1	PD270CT17	1941.50	2111.00	USD	4,237.50	
27JUL17	B	1	PD270CT17	1941.00	2111.00	USD	4,250.00	
28JUL17	B	3	PD270CT17	1914.00	2111.00	USD	14,775.00	
28JUL17	B	2	PD270CT17	1913.00	2111.00	USD	9,900.00	
28JUL17	S	2	PD270CT17	1906.80	2111.00	USD	-10,210.00	
28JUL17	S	1	PD270CT17	1911.00	2111.00	USD	-5,000.00	
28JUL17	S	2	PD270CT17	1911.50	2111.00	USD	-9,975.00	
28JUL17	B	1	PD270CT17	1911.50	2111.00	USD	4,987.50	
01AUG17	S	3	PD270CT17	1905.56	2111.00	USD	-15,408.00	
	NET	0 AVG	BGHT 1920.25	SOLD 1908.04			-2,443.00	0.00
31JUL17	S	3	PD310CT17	1917.00	2110.50	USD	-14,512.50	
31JUL17	S	3	PD310CT17	1921.00	2110.50	USD	-14,212.50	
31JUL17	S	1	PD310CT17	1923.00	2110.50	USD	-4,687.50	
31JUL17	S	1	PD310CT17	1924.00	2110.50	USD	-4,662.50	
31JUL17	S	1	PD310CT17	1924.50	2110.50	USD	-4,650.00	
31JUL17	B	6	PD310CT17	1918.20	2110.50	USD	28,845.00	
01AUG17	B	3	PD310CT17	1906.91	2110.50	USD	15,269.25	
	NET	0 AVG	BGHT 1914.44	SOLD 1920.61			1,389.25	0.00
01AUG17	B	1	PD01NOV17	1909.00	2110.81	USD	5,045.25	
01AUG17	B	2	PD01NOV17	1909.50	2110.81	USD	10,065.50	
09AUG17	S	3	PD01NOV17	2026.07	2110.81	USD	-6,355.50	
	NET	0 AVG	BGHT 1909.33	SOLD 2026.07			8,755.25	0.00
02AUG17	S	1	PD02NOV17	1935.00	2111.13	USD	-4,403.25	
02AUG17	S	1	PD02NOV17	1933.00	2111.13	USD	-4,453.25	
02AUG17	S	1	PD02NOV17	1936.00	2111.13	USD	-4,378.25	
09AUG17	B	3	PD02NOV17	2026.45	2111.13	USD	6,351.00	
	NET	0 AVG	BGHT 2026.45	SOLD 1934.67			-6,883.75	0.00
04AUG17	B	1	PD03NOV17	1907.50	2111.44	USD	5,098.50	
04AUG17	B	1	PD03NOV17	1907.00	2111.44	USD	5,111.00	
09AUG17	S	2	PD03NOV17	2026.43	2111.44	USD	-4,250.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 1907.25	SOLD 2026.43				5,959.00	0.00
07AUG17	S	2 PD07NOV17		1923.00	2112.69	USD	-9,484.50	
07AUG17	S	1 PD07NOV17		1923.50	2112.69	USD	-4,729.75	
07AUG17	S	1 PD07NOV17		1953.50	2112.69	USD	-3,979.75	
07AUG17	S	1 PD07NOV17		1954.00	2112.69	USD	-3,967.25	
07AUG17	S	1 PD07NOV17		1954.50	2112.69	USD	-3,954.75	
07AUG17	S	2 PD07NOV17		1955.50	2112.69	USD	-7,859.50	
09AUG17	B	8 PD07NOV17		2027.34	2112.69	USD	17,070.00	
	NET	0 AVG BGHT 2027.34	SOLD 1942.81				-16,905.50	0.00
08AUG17	S	1 PD08NOV17		2009.50	2113.00	USD	-2,587.50	
08AUG17	S	1 PD08NOV17		2010.00	2113.00	USD	-2,575.00	
08AUG17	S	3 PD08NOV17		2010.50	2113.00	USD	-7,687.50	
09AUG17	B	5 PD08NOV17		2027.52	2113.00	USD	10,685.00	
	NET	0 AVG BGHT 2027.52	SOLD 2010.20				-2,165.00	0.00
16AUG17	S	1 PD16NOV17		2060.00	2116.56	USD	-1,414.00	
16AUG17	S	1 PD16NOV17		2061.00	2116.56	USD	-1,389.00	
16AUG17	B	1 PD16NOV17		2085.00	2116.56	USD	789.00	
16AUG17	B	1 PD16NOV17		2085.50	2116.56	USD	776.50	
	NET	0 AVG BGHT 2085.25	SOLD 2060.50				-1,237.50	0.00
17AUG17	B	3 PD17NOV17		2074.50	2116.87	USD	3,177.75	
17AUG17	B	2 PD17NOV17		2076.00	2116.87	USD	2,043.50	
17AUG17	S	5 PD17NOV17		2075.80	2116.87	USD	-5,133.75	
18AUG17	B	1 PD17NOV17		2060.50	2116.87	USD	1,409.25	
18AUG17	B	1 PD17NOV17		2061.50	2116.87	USD	1,384.25	
18AUG17	B	1 PD17NOV17		2065.00	2116.87	USD	1,296.75	
18AUG17	B	2 PD17NOV17		2062.50	2116.87	USD	2,718.50	
18AUG17	B	1 PD17NOV17		2066.00	2116.87	USD	1,271.75	
18AUG17	S	5 PD17NOV17		2061.80	2116.87	USD	-6,883.75	
30AUG17	S	1 PD17NOV17		2085.00	2116.87	USD	-796.75	
	NET	0 AVG BGHT 2068.50	SOLD 2070.27				487.50	0.00
23AUG17	B	1 PD24NOV17		2062.50	2119.03	USD	1,413.25	
23AUG17	S	2 PD24NOV17		2076.50	2119.03	USD	-2,126.50	
25AUG17	B	1 PD24NOV17		2067.50	2119.03	USD	1,288.25	
25AUG17	S	1 PD24NOV17		2068.00	2119.03	USD	-1,275.75	
25AUG17	S	1 PD24NOV17		2071.00	2119.03	USD	-1,200.75	
30AUG17	B	1 PD24NOV17		2086.55	2119.03	USD	812.00	
31AUG17	B	1 PD24NOV17		2115.93	2119.03	USD	77.50	
	NET	0 AVG BGHT 2083.12	SOLD 2073.00				-1,012.00	0.00
30AUG17	B	1 PD30NOV17		2098.50	2120.88	USD	559.50	
30AUG17	S	1 PD30NOV17		2099.00	2120.88	USD	-547.00	
30AUG17	S	1 PD30NOV17		2099.50	2120.88	USD	-534.50	
30AUG17	S	1 PD30NOV17		2096.50	2120.88	USD	-609.50	
31AUG17	S	1 PD30NOV17		2127.50	2120.88	USD	165.50	
31AUG17	S	1 PD30NOV17		2125.00	2120.88	USD	103.00	
31AUG17	S	1 PD30NOV17		2128.50	2120.88	USD	190.50	
31AUG17	S	2 PD30NOV17		2131.50	2120.88	USD	531.00	
31AUG17	S	2 PD30NOV17		2132.00	2120.88	USD	556.00	
31AUG17	S	1 PD30NOV17		2132.50	2120.88	USD	290.50	
31AUG17	S	1 PD30NOV17		2133.00	2120.88	USD	303.00	
31AUG17	B	1 PD30NOV17		2133.00	2120.88	USD	-303.00	
31AUG17	B	4 PD30NOV17		2117.20	2120.88	USD	368.00	
06SEP17	B	6 PD30NOV17		2103.08	2120.88	USD	2,670.00	
	NET	0 AVG BGHT 2109.90	SOLD 2122.38				3,743.00	0.00
01SEP17	B	1 PD01DEC17		2126.50	2121.19	USD	-132.75	
01SEP17	B	1 PD01DEC17		2126.00	2121.19	USD	-120.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
06SEP17	S	2	PD01DEC17	2103.12	2121.19	USD	-903.50	
	NET	0 AVG	BGHT 2126.25	SOLD 2103.12			-1,156.50	0.00
04SEP17	B	1	PD04DEC17	2107.00	2122.12	USD	378.00	
04SEP17	B	1	PD04DEC17	2106.50	2122.12	USD	390.50	
04SEP17	B	1	PD04DEC17	2118.00	2122.12	USD	103.00	
04SEP17	S	1	PD04DEC17	2118.50	2122.12	USD	-90.50	
06SEP17	S	2	PD04DEC17	2103.83	2122.12	USD	-914.50	
	NET	0 AVG	BGHT 2110.50	SOLD 2108.72			-133.50	0.00
05SEP17	B	1	PD05DEC17	2095.50	2122.43	USD	673.25	
05SEP17	B	2	PD05DEC17	2097.50	2122.43	USD	1,246.50	
05SEP17	B	1	PD05DEC17	2088.50	2122.43	USD	848.25	
05SEP17	B	1	PD05DEC17	2105.50	2122.43	USD	423.25	
06SEP17	S	2	PD05DEC17	2104.06	2122.43	USD	-918.50	
14SEP17	S	3	PD05DEC17	2095.59	2122.43	USD	-2,013.00	
	NET	0 AVG	BGHT 2096.90	SOLD 2098.98			259.75	0.00
06SEP17	S	1	PD06DEC17	2093.00	2122.74	USD	-743.50	
06SEP17	S	1	PD06DEC17	2093.50	2122.74	USD	-731.00	
06SEP17	S	2	PD06DEC17	2107.00	2122.74	USD	-787.00	
06SEP17	B	1	PD06DEC17	2107.50	2122.74	USD	381.00	
14SEP17	B	3	PD06DEC17	2096.06	2122.74	USD	2,001.00	
	NET	0 AVG	BGHT 2098.92	SOLD 2100.13			120.50	0.00
14SEP17	B	1	PD14DEC17	2091.50	2125.21	USD	842.75	
14SEP17	B	2	PD14DEC17	2092.00	2125.21	USD	1,660.50	
14SEP17	B	2	PD14DEC17	2092.50	2125.21	USD	1,635.50	
14SEP17	S	1	PD14DEC17	2102.00	2125.21	USD	-580.25	
14SEP17	S	1	PD14DEC17	2101.00	2125.21	USD	-605.25	
14SEP17	S	3	PD14DEC17	2097.80	2125.21	USD	-2,055.75	
	NET	0 AVG	BGHT 2092.10	SOLD 2099.28			897.50	0.00
15SEP17	B	1	PD15DEC17	2075.50	2125.51	USD	1,250.25	
15SEP17	S	1	PD15DEC17	2075.00	2125.51	USD	-1,262.75	
	NET	0 AVG	BGHT 2075.50	SOLD 2075.00			-12.50	0.00
18SEP17	B	2	PD18DEC17	2090.00	2126.44	USD	1,822.00	
20SEP17	S	2	PD18DEC17	2176.44	2126.44	USD	2,500.00	
	NET	0 AVG	BGHT 2090.00	SOLD 2176.44			4,322.00	0.00
19SEP17	B	1	PD19DEC17	2116.00	2126.75	USD	268.75	
19SEP17	S	1	PD19DEC17	2115.50	2126.75	USD	-281.25	
19SEP17	S	1	PD19DEC17	2116.00	2126.75	USD	-268.75	
19SEP17	S	1	PD19DEC17	2119.50	2126.75	USD	-181.25	
20SEP17	B	2	PD19DEC17	2176.92	2126.75	USD	-2,508.50	
	NET	0 AVG	BGHT 2156.61	SOLD 2117.00			-2,971.00	0.00
20SEP17	S	1	PD20DEC17	2187.00	2126.75	USD	1,506.25	
20SEP17	B	1	PD20DEC17	2185.50	2126.75	USD	-1,468.75	
	NET	0 AVG	BGHT 2185.50	SOLD 2187.00			37.50	0.00
21SEP17	B	2	PD21DEC17	2178.50	2126.75	USD	-2,587.50	
21SEP17	S	1	PD21DEC17	2178.00	2126.75	USD	1,281.25	
21SEP17	S	1	PD21DEC17	2170.80	2126.75	USD	1,101.25	
	NET	0 AVG	BGHT 2178.50	SOLD 2174.40			-205.00	0.00
22SEP17	B	1	PD22DEC17	2134.00	2126.75	USD	-181.25	
22SEP17	S	1	PD22DEC17	2164.00	2126.75	USD	931.25	
	NET	0 AVG	BGHT 2134.00	SOLD 2164.00			750.00	0.00
27SEP17	B	1	PD27DEC17	2130.00	2127.29	USD	-67.75	
28SEP17	S	1	PD27DEC17	2130.52	2127.29	USD	80.75	
	NET	0 AVG	BGHT 2130.00	SOLD 2130.52			13.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							

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19JUL17	B	2	PD19OCT17	2222.50	2554.89	USD	16,619.50	
26JUL17	S	2	PD19OCT17	2312.25	2554.89	USD	-12,132.00	
	NET	0 AVG	BGHT 2222.50	SOLD 2312.25			4,487.50	0.00
20JUL17	S	1	PD20OCT17	2226.00	2555.04	USD	-8,226.00	
20JUL17	S	1	PD20OCT17	2227.00	2555.04	USD	-8,201.00	
26JUL17	B	2	PD20OCT17	2312.56	2555.04	USD	12,124.00	
	NET	0 AVG	BGHT 2312.56	SOLD 2226.50			-4,303.00	0.00
26JUL17	S	1	PD26OCT17	2324.50	2555.89	USD	-5,784.75	
26JUL17	S	1	PD26OCT17	2333.00	2555.89	USD	-5,572.25	
26JUL17	S	1	PD26OCT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD26OCT17	2317.00	2555.89	USD	-5,972.25	
28JUL17	B	4	PD26OCT17	2319.88	2555.89	USD	23,601.00	
	NET	0 AVG	BGHT 2319.88	SOLD 2322.25			237.00	0.00
27JUL17	B	2	PD27OCT17	2331.00	2556.04	USD	11,252.00	
27JUL17	B	1	PD27OCT17	2302.00	2556.04	USD	6,351.00	
27JUL17	B	1	PD27OCT17	2304.00	2556.04	USD	6,301.00	
28JUL17	S	4	PD27OCT17	2319.80	2556.04	USD	-23,624.00	
	NET	0 AVG	BGHT 2317.00	SOLD 2319.80			280.00	0.00
29SEP17	S	12	PD02NOV17	2492.15	2556.89	USD	-19,422.00	
09OCT17	B	3	PD02NOV17	2501.04	2556.89	USD	4,188.75	
	NET	-9 AVG	BGHT 2501.04	SOLD 2492.15			-15,233.25	0.00
04AUG17	B	1	PD03NOV17	2371.00	2557.04	USD	4,651.00	
04AUG17	S	1	PD03NOV17	2364.50	2557.04	USD	-4,813.50	
04AUG17	S	1	PD03NOV17	2364.00	2557.04	USD	-4,826.00	
04AUG17	S	1	PD03NOV17	2366.00	2557.04	USD	-4,776.00	
04AUG17	B	1	PD03NOV17	2350.50	2557.04	USD	5,163.50	
04AUG17	B	1	PD03NOV17	2351.00	2557.04	USD	5,151.00	
	NET	0 AVG	BGHT 2357.50	SOLD 2364.83			550.00	0.00
18AUG17	B	3	PD17NOV17	2361.50	2558.75	USD	14,793.75	
18AUG17	B	1	PD17NOV17	2359.50	2558.75	USD	4,981.25	
23AUG17	S	4	PD17NOV17	2375.25	2558.75	USD	-18,350.00	
	NET	0 AVG	BGHT 2361.00	SOLD 2375.25			1,425.00	0.00
22AUG17	S	1	PD22NOV17	2379.50	2559.46	USD	-4,499.00	
22AUG17	S	1	PD22NOV17	2391.50	2559.46	USD	-4,199.00	
22AUG17	S	2	PD22NOV17	2381.00	2559.46	USD	-8,923.00	
23AUG17	B	4	PD22NOV17	2376.70	2559.46	USD	18,276.00	
	NET	0 AVG	BGHT 2376.70	SOLD 2383.25			655.00	0.00
29AUG17	B	1	PD29NOV17	2348.50	2560.20	USD	5,292.50	
29AUG17	B	1	PD29NOV17	2342.00	2560.20	USD	5,455.00	
29AUG17	S	1	PD29NOV17	2382.50	2560.20	USD	-4,442.50	
31AUG17	S	1	PD29NOV17	2393.71	2560.20	USD	-4,162.25	
	NET	0 AVG	BGHT 2345.25	SOLD 2388.11			2,142.75	0.00
30AUG17	S	1	PD30NOV17	2384.00	2560.30	USD	-4,407.50	
31AUG17	S	1	PD30NOV17	2391.50	2560.30	USD	-4,220.00	
31AUG17	S	1	PD30NOV17	2393.00	2560.30	USD	-4,182.50	
31AUG17	B	3	PD30NOV17	2394.20	2560.30	USD	12,457.50	
	NET	0 AVG	BGHT 2394.20	SOLD 2389.50			-352.50	0.00
05SEP17	B	1	PD05DEC17	2388.50	2560.75	USD	4,306.25	
05SEP17	B	1	PD05DEC17	2389.00	2560.75	USD	4,293.75	
05SEP17	B	1	PD05DEC17	2390.00	2560.75	USD	4,268.75	
06SEP17	S	3	PD05DEC17	2339.53	2560.75	USD	-16,591.50	
	NET	0 AVG	BGHT 2389.17	SOLD 2339.53			-3,722.75	0.00
07SEP17	B	1	PD07DEC17	2351.00	2561.25	USD	5,256.25	
07SEP17	B	1	PD07DEC17	2344.50	2561.25	USD	5,418.75	
07SEP17	B	2	PD07DEC17	2348.50	2561.25	USD	10,637.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094U

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	2348.00	2561.25	USD	-5,331.25	
07SEP17	B	2	PD07DEC17	2343.50	2561.25	USD	10,887.50	
14SEP17	S	5	PD07DEC17	2305.01	2561.25	USD	-32,030.00	
	NET	0 AVG BGHT 2346.58	SOLD 2312.18				-5,161.25	0.00
08SEP17	S	1	PD08DEC17	2265.00	2561.50	USD	-7,412.50	
14SEP17	B	1	PD08DEC17	2305.49	2561.50	USD	6,400.25	
	NET	0 AVG BGHT 2305.49	SOLD 2265.00				-1,012.25	0.00
12SEP17	B	1	PD12DEC17	2285.50	2562.50	USD	6,925.00	
12SEP17	S	2	PD12DEC17	2284.00	2562.50	USD	-13,925.00	
12SEP17	S	1	PD12DEC17	2285.00	2562.50	USD	-6,937.50	
12SEP17	S	1	PD12DEC17	2283.00	2562.50	USD	-6,987.50	
12SEP17	S	1	PD12DEC17	2285.50	2562.50	USD	-6,925.00	
12SEP17	S	1	PD12DEC17	2301.50	2562.50	USD	-6,525.00	
12SEP17	S	1	PD12DEC17	2304.00	2562.50	USD	-6,462.50	
12SEP17	S	1	PD12DEC17	2308.50	2562.50	USD	-6,350.00	
13SEP17	B	2	PD12DEC17	2289.89	2562.50	USD	13,630.50	
14SEP17	B	5	PD12DEC17	2306.63	2562.50	USD	31,983.75	
	NET	0 AVG BGHT 2299.80	SOLD 2291.94				-1,573.25	0.00
13SEP17	B	2	PD13DEC17	2287.50	2562.75	USD	13,762.50	
13SEP17	B	1	PD13DEC17	2288.50	2562.75	USD	6,856.25	
13SEP17	S	1	PD13DEC17	2300.00	2562.75	USD	-6,568.75	
13SEP17	S	1	PD13DEC17	2298.50	2562.75	USD	-6,606.25	
13SEP17	S	1	PD13DEC17	2302.50	2562.75	USD	-6,506.25	
	NET	0 AVG BGHT 2287.83	SOLD 2300.33				937.50	0.00
15SEP17	S	2	PD15DEC17	2323.00	2563.25	USD	-12,012.50	
26SEP17	B	2	PD15DEC17	2489.52	2563.25	USD	3,686.50	
	NET	0 AVG BGHT 2489.52	SOLD 2323.00				-8,326.00	0.00
18SEP17	S	1	PD18DEC17	2391.00	2564.00	USD	-4,325.00	
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	1	PD18DEC17	2392.00	2564.00	USD	-4,300.00	
18SEP17	B	1	PD18DEC17	2391.50	2564.00	USD	4,312.50	
18SEP17	B	1	PD18DEC17	2394.00	2564.00	USD	4,250.00	
18SEP17	B	1	PD18DEC17	2395.00	2564.00	USD	4,225.00	
18SEP17	B	1	PD18DEC17	2395.50	2564.00	USD	4,212.50	
20SEP17	S	1	PD18DEC17	2459.69	2564.00	USD	-2,607.75	
	NET	0 AVG BGHT 2394.00	SOLD 2408.92				1,492.25	0.00
19SEP17	S	1	PD19DEC17	2431.50	2564.25	USD	-3,318.75	
19SEP17	S	1	PD19DEC17	2433.00	2564.25	USD	-3,281.25	
19SEP17	S	1	PD19DEC17	2436.50	2564.25	USD	-3,193.75	
19SEP17	S	2	PD19DEC17	2437.00	2564.25	USD	-6,362.50	
19SEP17	B	1	PD19DEC17	2422.50	2564.25	USD	3,543.75	
19SEP17	B	1	PD19DEC17	2421.50	2564.25	USD	3,568.75	
19SEP17	B	1	PD19DEC17	2422.00	2564.25	USD	3,556.25	
20SEP17	B	1	PD19DEC17	2460.04	2564.25	USD	2,605.25	
26SEP17	B	1	PD19DEC17	2489.90	2564.25	USD	1,858.75	
	NET	0 AVG BGHT 2443.19	SOLD 2435.00				-1,023.50	0.00
20SEP17	S	1	PD20DEC17	2444.00	2564.50	USD	-3,012.50	
20SEP17	S	1	PD20DEC17	2455.50	2564.50	USD	-2,725.00	
20SEP17	S	1	PD20DEC17	2456.00	2564.50	USD	-2,712.50	
20SEP17	S	1	PD20DEC17	2456.50	2564.50	USD	-2,700.00	
20SEP17	S	1	PD20DEC17	2459.50	2564.50	USD	-2,625.00	
20SEP17	S	1	PD20DEC17	2462.50	2564.50	USD	-2,550.00	
20SEP17	S	1	PD20DEC17	2466.00	2564.50	USD	-2,462.50	
20SEP17	S	1	PD20DEC17	2468.50	2564.50	USD	-2,400.00	
20SEP17	S	1	PD20DEC17	2468.00	2564.50	USD	-2,412.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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20SEP17	S	1	PD20DEC17		2469.00	2564.50	USD	-2,387.50	
21SEP17	B	10	PD20DEC17		2518.20	2564.50	USD	11,575.00	
	NET	0 AVG	BGHT 2518.20	SOLD 2460.55				-14,412.50	0.00
21SEP17	S	1	PD21DEC17		2473.50	2563.50	USD	-2,250.00	
21SEP17	B	1	PD21DEC17		2476.00	2563.50	USD	2,187.50	
21SEP17	B	1	PD21DEC17		2476.50	2563.50	USD	2,175.00	
21SEP17	B	1	PD21DEC17		2477.00	2563.50	USD	2,162.50	
21SEP17	B	1	PD21DEC17		2482.50	2563.50	USD	2,025.00	
26SEP17	S	3	PD21DEC17		2489.13	2563.50	USD	-5,577.75	
	NET	0 AVG	BGHT 2478.00	SOLD 2485.22				722.25	0.00
22SEP17	B	1	PD22DEC17		2390.50	2563.50	USD	4,325.00	
22SEP17	B	1	PD22DEC17		2403.00	2563.50	USD	4,012.50	
22SEP17	S	1	PD22DEC17		2448.00	2563.50	USD	-2,887.50	
22SEP17	S	1	PD22DEC17		2448.50	2563.50	USD	-2,875.00	
22SEP17	S	1	PD22DEC17		2450.00	2563.50	USD	-2,837.50	
22SEP17	B	1	PD22DEC17		2388.00	2563.50	USD	4,387.50	
	NET	0 AVG	BGHT 2393.83	SOLD 2448.83				4,125.00	0.00
25SEP17	B	1	PD27DEC17		2479.00	2563.50	USD	2,112.50	
25SEP17	B	1	PD27DEC17		2479.50	2563.50	USD	2,100.00	
25SEP17	B	1	PD27DEC17		2506.50	2563.50	USD	1,425.00	
25SEP17	S	1	PD27DEC17		2500.00	2563.50	USD	-1,587.50	
25SEP17	S	1	PD27DEC17		2505.00	2563.50	USD	-1,462.50	
25SEP17	S	1	PD27DEC17		2507.00	2563.50	USD	-1,412.50	
25SEP17	B	1	PD27DEC17		2484.00	2563.50	USD	1,987.50	
25SEP17	B	1	PD27DEC17		2487.50	2563.50	USD	1,900.00	
25SEP17	B	1	PD27DEC17		2488.00	2563.50	USD	1,887.50	
25SEP17	B	1	PD27DEC17		2476.50	2563.50	USD	2,175.00	
25SEP17	B	1	PD27DEC17		2480.00	2563.50	USD	2,087.50	
26SEP17	S	5	PD27DEC17		2487.80	2563.50	USD	-9,462.50	
27SEP17	B	1	PD27DEC17		2453.50	2563.50	USD	2,750.00	
27SEP17	B	1	PD27DEC17		2461.50	2563.50	USD	2,550.00	
29SEP17	S	2	PD27DEC17		2487.00	2563.50	USD	-3,825.00	
	NET	0 AVG	BGHT 2479.60	SOLD 2492.50				3,225.00	0.00
28SEP17	S	2	PD28DEC17		2458.50	2563.60	USD	-5,255.00	
28SEP17	B	1	PD28DEC17		2485.00	2563.60	USD	1,965.00	
28SEP17	S	1	PD28DEC17		2479.00	2563.60	USD	-2,115.00	
28SEP17	S	1	PD28DEC17		2481.00	2563.60	USD	-2,065.00	
28SEP17	S	1	PD28DEC17		2485.50	2563.60	USD	-1,952.50	
28SEP17	B	1	PD28DEC17		2485.50	2563.60	USD	1,952.50	
29SEP17	B	2	PD28DEC17		2486.20	2563.60	USD	3,870.00	
10OCT17	B	1	PD28DEC17		2554.21	2563.60	USD	234.75	
	NET	0 AVG	BGHT 2499.42	SOLD 2472.50				-3,365.25	0.00
29SEP17	S	1	PD29DEC17		2488.50	2563.70	USD	-1,880.00	
29SEP17	S	1	PD29DEC17		2491.00	2563.70	USD	-1,817.50	
29SEP17	S	1	PD29DEC17		2492.00	2563.70	USD	-1,792.50	
29SEP17	S	1	PD29DEC17		2494.50	2563.70	USD	-1,730.00	
29SEP17	S	1	PD29DEC17		2497.00	2563.70	USD	-1,667.50	
29SEP17	S	1	PD29DEC17		2495.00	2563.70	USD	-1,717.50	
29SEP17	B	1	PD29DEC17		2496.00	2563.70	USD	1,692.50	
29SEP17	B	1	PD29DEC17		2496.50	2563.70	USD	1,680.00	
29SEP17	B	1	PD29DEC17		2497.50	2563.70	USD	1,655.00	
29SEP17	B	2	PD29DEC17		2495.00	2563.70	USD	3,435.00	
29SEP17	B	1	PD29DEC17		2497.00	2563.70	USD	1,667.50	
29SEP17	B	2	PD29DEC17		2498.00	2563.70	USD	3,285.00	
29SEP17	S	1	PD29DEC17		2484.80	2563.70	USD	-1,972.50	
10OCT17	S	1	PD29DEC17		2554.23	2563.70	USD	-236.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2496.63	SOLD	2499.63		600.75	0.00
030CT17	S	1	PD03JAN18		2590.00	2564.20	USD	645.00	
030CT17	S	1	PD03JAN18		2591.00	2564.20	USD	670.00	
030CT17	B	1	PD03JAN18		2588.00	2564.20	USD	-595.00	
030CT17	S	1	PD03JAN18		2592.00	2564.20	USD	695.00	
100CT17	B	2	PD03JAN18		2555.50	2564.20	USD	435.00	
	NET	0 AVG	BGHT	2566.33	SOLD	2591.00		1,850.00	0.00
040CT17	B	1	PD04JAN18		2572.50	2564.30	USD	-205.00	
040CT17	B	1	PD04JAN18		2573.00	2564.30	USD	-217.50	
040CT17	B	1	PD04JAN18		2547.00	2564.30	USD	432.50	
040CT17	B	1	PD04JAN18		2544.50	2564.30	USD	495.00	
040CT17	S	1	PD04JAN18		2548.00	2564.30	USD	-407.50	
100CT17	S	2	PD04JAN18		2555.51	2564.30	USD	-439.50	
100CT17	S	1	PD04JAN18		2555.71	2564.30	USD	-214.75	
	NET	0 AVG	BGHT	2559.25	SOLD	2553.68		-556.75	0.00
050CT17	S	1	PD05JAN18		2552.00	2564.40	USD	-310.00	
050CT17	S	1	PD05JAN18		2555.00	2564.40	USD	-235.00	
050CT17	B	1	PD05JAN18		2588.00	2564.40	USD	-590.00	
100CT17	B	1	PD05JAN18		2556.13	2564.40	USD	206.75	
	NET	0 AVG	BGHT	2572.07	SOLD	2553.50		-928.25	0.00
090CT17	B	1	PD09JAN18		2516.00	2564.80	USD	1,220.00	
090CT17	B	1	PD09JAN18		2516.50	2564.80	USD	1,207.50	
090CT17	B	1	PD09JAN18		2517.00	2564.80	USD	1,195.00	
090CT17	B	1	PD09JAN18		2521.00	2564.80	USD	1,095.00	
090CT17	B	1	PD09JAN18		2523.00	2564.80	USD	1,045.00	
090CT17	B	1	PD09JAN18		2524.50	2564.80	USD	1,007.50	
090CT17	B	1	PD09JAN18		2490.00	2564.80	USD	1,870.00	
090CT17	B	1	PD09JAN18		2490.50	2564.80	USD	1,857.50	
090CT17	S	1	PD09JAN18		2513.00	2564.80	USD	-1,295.00	
090CT17	S	1	PD09JAN18		2514.00	2564.80	USD	-1,270.00	
090CT17	S	1	PD09JAN18		2515.00	2564.80	USD	-1,245.00	
090CT17	S	1	PD09JAN18		2515.50	2564.80	USD	-1,232.50	
090CT17	S	1	PD09JAN18		2513.50	2564.80	USD	-1,282.50	
090CT17	S	3	PD09JAN18		2511.80	2564.80	USD	-3,975.00	
	NET	0 AVG	BGHT	2512.31	SOLD	2513.30		197.50	0.00
100CT17	B	2	PD10JAN18		2556.50	2564.90	USD	420.00	
100CT17	S	1	PD10JAN18		2515.50	2564.90	USD	-1,235.00	
100CT17	S	1	PD10JAN18		2516.00	2564.90	USD	-1,222.50	
100CT17	S	1	PD10JAN18		2517.00	2564.90	USD	-1,197.50	
100CT17	B	1	PD10JAN18		2559.00	2564.90	USD	147.50	
100CT17	B	2	PD10JAN18		2558.50	2564.90	USD	320.00	
	NET	+2 AVG	BGHT	2557.80	SOLD	2516.17		-2,767.50	0.00
110CT17	B	1	PD11JAN18		2523.00	2565.00	USD	1,050.00	
110CT17	B	1	PD11JAN18		2523.50	2565.00	USD	1,037.50	
110CT17	B	1	PD11JAN18		2524.00	2565.00	USD	1,025.00	
110CT17	B	5	PD11JAN18		2540.50	2565.00	USD	3,062.50	
110CT17	S	1	PD11JAN18		2526.00	2565.00	USD	-975.00	
110CT17	S	1	PD11JAN18		2535.00	2565.00	USD	-750.00	
110CT17	S	1	PD11JAN18		2536.00	2565.00	USD	-725.00	
110CT17	S	2	PD11JAN18		2540.50	2565.00	USD	-1,225.00	
110CT17	S	1	PD11JAN18		2541.00	2565.00	USD	-600.00	
110CT17	S	3	PD11JAN18		2541.50	2565.00	USD	-1,762.50	
110CT17	S	1	PD11JAN18		2542.00	2565.00	USD	-575.00	
110CT17	S	2	PD11JAN18		2561.00	2565.00	USD	-200.00	
110CT17	S	1	PD11JAN18		2558.00	2565.00	USD	-175.00	
110CT17	S	4	PD11JAN18		2560.00	2565.00	USD	-500.00	

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	NET	-9 AVG BGHT 2534.13	SOLD 2547.38				-1,312.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>							
	<u>REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	3	PD13DEC17	11590.00	11113.60	USD	-8,575.20	
13SEP17	S	1	PD13DEC17	11600.00	11113.60	USD	2,918.40	
21SEP17	S	2	PD13DEC17	10998.70	11113.60	USD	-1,378.80	
	NET	0 AVG BGHT 11590.00	SOLD 11199.13				-7,035.60	0.00
20SEP17	S	1	PD20DEC17	11335.00	11119.00	USD	1,296.00	
20SEP17	S	1	PD20DEC17	11370.00	11119.00	USD	1,506.00	
21SEP17	B	2	PD20DEC17	11005.50	11119.00	USD	1,362.00	
	NET	0 AVG BGHT 11005.50	SOLD 11352.50				4,164.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME</u>							
	<u>REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2831.00	3299.33	USD	11,708.25	
12JUL17	B	1	PD12OCT17	2829.00	3299.33	USD	11,758.25	
17JUL17	S	2	PD12OCT17	2813.80	3299.33	USD	-24,276.50	
	NET	0 AVG BGHT 2830.00	SOLD 2813.80				-810.00	0.00
14JUL17	B	1	PD13OCT17	2758.50	3295.00	USD	13,412.50	
14JUL17	B	1	PD13OCT17	2759.50	3295.00	USD	13,387.50	
14JUL17	B	1	PD13OCT17	2755.00	3295.00	USD	13,500.00	
14JUL17	S	1	PD13OCT17	2788.50	3295.00	USD	-12,662.50	
26JUL17	S	2	PD13OCT17	2807.93	3295.00	USD	-24,353.50	
	NET	0 AVG BGHT 2757.67	SOLD 2801.45				3,284.00	0.00
17JUL17	S	1	PD17OCT17	2815.00	3286.33	USD	-11,783.25	
17JUL17	S	1	PD17OCT17	2814.50	3286.33	USD	-11,795.75	
26JUL17	B	2	PD17OCT17	2808.99	3286.33	USD	23,867.00	
	NET	0 AVG BGHT 2808.99	SOLD 2814.75				288.00	0.00
18JUL17	B	1	PD18OCT17	2796.50	3282.00	USD	12,137.50	
18JUL17	B	1	PD18OCT17	2797.00	3282.00	USD	12,125.00	
18JUL17	B	1	PD18OCT17	2795.00	3282.00	USD	12,175.00	
18JUL17	S	2	PD18OCT17	2793.00	3282.00	USD	-24,450.00	
18JUL17	S	1	PD18OCT17	2794.00	3282.00	USD	-12,200.00	
	NET	0 AVG BGHT 2796.17	SOLD 2793.33				-212.50	0.00
19JUL17	B	1	PD19OCT17	2745.00	3277.50	USD	13,312.50	
19JUL17	B	1	PD19OCT17	2742.50	3277.50	USD	13,375.00	
19JUL17	B	1	PD19OCT17	2737.00	3277.50	USD	13,512.50	
19JUL17	S	1	PD19OCT17	2737.00	3277.50	USD	-13,512.50	
19JUL17	S	1	PD19OCT17	2738.00	3277.50	USD	-13,487.50	
26JUL17	S	1	PD19OCT17	2807.00	3277.50	USD	-11,762.50	
	NET	0 AVG BGHT 2741.50	SOLD 2760.67				1,437.50	0.00
20JUL17	B	1	PD20OCT17	2731.00	3273.00	USD	13,550.00	
20JUL17	B	1	PD20OCT17	2741.00	3273.00	USD	13,300.00	
20JUL17	B	1	PD20OCT17	2718.50	3273.00	USD	13,862.50	
21JUL17	S	2	PD20OCT17	2747.50	3273.00	USD	-26,275.00	
21JUL17	S	1	PD20OCT17	2749.00	3273.00	USD	-13,100.00	
21JUL17	S	1	PD20OCT17	2750.00	3273.00	USD	-13,075.00	
21JUL17	S	1	PD20OCT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	S	2	PD20OCT17	2772.50	3273.00	USD	-25,025.00	
21JUL17	S	1	PD20OCT17	2773.00	3273.00	USD	-12,500.00	
21JUL17	S	1	PD20OCT17	2770.50	3273.00	USD	-12,562.50	
21JUL17	B	2	PD20OCT17	2753.50	3273.00	USD	25,975.00	
21JUL17	B	1	PD20OCT17	2742.50	3273.00	USD	13,262.50	
21JUL17	B	1	PD20OCT17	2743.00	3273.00	USD	13,250.00	
21JUL17	B	1	PD20OCT17	2757.50	3273.00	USD	12,887.50	
26JUL17	B	1	PD20OCT17	2807.34	3273.00	USD	11,641.50	
	NET	0 AVG BGHT 2749.76	SOLD 2761.61				2,666.50	0.00

OPEN POSITION STATEMENT



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SAP0094U

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD240CT17	2777.00	3267.00	USD	-12,250.00	
24JUL17	S	1	PD240CT17	2779.50	3267.00	USD	-12,187.50	
24JUL17	S	1	PD240CT17	2776.00	3267.00	USD	-12,275.00	
24JUL17	B	1	PD240CT17	2775.50	3267.00	USD	12,287.50	
24JUL17	B	1	PD240CT17	2774.00	3267.00	USD	12,325.00	
24JUL17	B	1	PD240CT17	2771.50	3267.00	USD	12,387.50	
	NET	0 AVG	BGHT 2773.67	SOLD 2777.50			287.50	0.00
25JUL17	B	1	PD250CT17	2823.50	3264.00	USD	11,012.50	
25JUL17	S	1	PD250CT17	2820.50	3264.00	USD	-11,087.50	
25JUL17	S	1	PD250CT17	2821.50	3264.00	USD	-11,062.50	
25JUL17	S	2	PD250CT17	2822.50	3264.00	USD	-22,075.00	
25JUL17	S	2	PD250CT17	2823.50	3264.00	USD	-22,025.00	
25JUL17	S	1	PD250CT17	2837.00	3264.00	USD	-10,675.00	
25JUL17	S	1	PD250CT17	2832.00	3264.00	USD	-10,800.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	1	PD250CT17	2836.50	3264.00	USD	10,687.50	
25JUL17	B	1	PD250CT17	2840.00	3264.00	USD	10,600.00	
25JUL17	B	1	PD250CT17	2839.00	3264.00	USD	10,625.00	
27JUL17	B	2	PD250CT17	2800.63	3264.00	USD	23,168.50	
	NET	0 AVG	BGHT 2827.03	SOLD 2825.38			-331.50	0.00
26JUL17	B	1	PD260CT17	2845.00	3262.33	USD	10,433.25	
26JUL17	B	1	PD260CT17	2845.50	3262.33	USD	10,420.75	
26JUL17	B	1	PD260CT17	2848.00	3262.33	USD	10,358.25	
26JUL17	B	1	PD260CT17	2848.50	3262.33	USD	10,345.75	
26JUL17	S	2	PD260CT17	2824.50	3262.33	USD	-21,891.50	
27JUL17	S	2	PD260CT17	2800.55	3262.33	USD	-23,089.00	
	NET	0 AVG	BGHT 2846.75	SOLD 2812.53			-3,422.50	0.00
27JUL17	S	1	PD270CT17	2827.50	3260.67	USD	-10,829.25	
27JUL17	S	1	PD270CT17	2827.00	3260.67	USD	-10,841.75	
27JUL17	B	1	PD270CT17	2822.00	3260.67	USD	10,966.75	
27JUL17	B	1	PD270CT17	2814.50	3260.67	USD	11,154.25	
28JUL17	B	1	PD270CT17	2773.50	3260.67	USD	12,179.25	
28JUL17	B	1	PD270CT17	2769.50	3260.67	USD	12,279.25	
28JUL17	B	1	PD270CT17	2770.00	3260.67	USD	12,266.75	
28JUL17	B	1	PD270CT17	2768.00	3260.67	USD	12,316.75	
28JUL17	S	1	PD270CT17	2786.00	3260.67	USD	-11,866.75	
28JUL17	S	1	PD270CT17	2787.50	3260.67	USD	-11,829.25	
28JUL17	S	1	PD270CT17	2788.50	3260.67	USD	-11,804.25	
28JUL17	S	1	PD270CT17	2794.50	3260.67	USD	-11,654.25	
28JUL17	B	1	PD270CT17	2775.50	3260.67	USD	12,129.25	
28JUL17	B	2	PD270CT17	2779.50	3260.67	USD	24,058.50	
01AUG17	S	3	PD270CT17	2778.59	3260.67	USD	-36,156.00	
	NET	0 AVG	BGHT 2783.56	SOLD 2794.09			2,369.25	0.00
31JUL17	B	1	PD310CT17	2802.50	3257.33	USD	11,370.75	
31JUL17	S	1	PD310CT17	2803.50	3257.33	USD	-11,345.75	
31JUL17	S	1	PD310CT17	2813.00	3257.33	USD	-11,108.25	
31JUL17	B	1	PD310CT17	2803.00	3257.33	USD	11,358.25	
31JUL17	S	1	PD310CT17	2814.00	3257.33	USD	-11,083.25	
31JUL17	S	1	PD310CT17	2817.50	3257.33	USD	-10,995.75	
31JUL17	S	1	PD310CT17	2819.00	3257.33	USD	-10,958.25	
31JUL17	S	2	PD310CT17	2823.00	3257.33	USD	-21,716.50	
31JUL17	S	1	PD310CT17	2826.00	3257.33	USD	-10,783.25	
31JUL17	B	2	PD310CT17	2807.50	3257.33	USD	22,491.50	
31JUL17	B	1	PD310CT17	2809.50	3257.33	USD	11,195.75	
31JUL17	B	3	PD310CT17	2806.50	3257.33	USD	33,812.25	
31JUL17	B	1	PD310CT17	2798.00	3257.33	USD	11,483.25	

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31JUL17	B	1	PD31OCT17	2799.00	3257.33	USD	11,458.25	
31JUL17	B	1	PD31OCT17	2803.50	3257.33	USD	11,345.75	
31JUL17	S	1	PD31OCT17	2802.50	3257.33	USD	-11,370.75	
31JUL17	S	1	PD31OCT17	2807.50	3257.33	USD	-11,245.75	
31JUL17	S	1	PD31OCT17	2805.00	3257.33	USD	-11,308.25	
31JUL17	S	7	PD31OCT17	2794.80	3257.33	USD	-80,942.75	
01AUG17	B	7	PD31OCT17	2779.92	3257.33	USD	83,546.75	
	NET	0 AVG	BGHT 2794.97				5,204.00	0.00
29SEP17	S	5	PD02NOV17	3170.05	3254.00	USD	-10,493.75	
	NET	-5 AVG	SOLD 3170.05				-10,493.75	0.00
04AUG17	S	1	PD03NOV17	2806.00	3252.89	USD	-11,172.25	
04AUG17	S	1	PD03NOV17	2806.50	3252.89	USD	-11,159.75	
04AUG17	S	1	PD03NOV17	2807.50	3252.89	USD	-11,134.75	
04AUG17	S	1	PD03NOV17	2815.50	3252.89	USD	-10,934.75	
04AUG17	S	1	PD03NOV17	2817.50	3252.89	USD	-10,884.75	
04AUG17	B	1	PD03NOV17	2813.00	3252.89	USD	10,997.25	
04AUG17	B	3	PD03NOV17	2813.50	3252.89	USD	32,954.25	
04AUG17	S	1	PD03NOV17	2813.50	3252.89	USD	-10,984.75	
04AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
09AUG17	B	3	PD03NOV17	2930.33	3252.89	USD	24,192.00	
	NET	0 AVG	BGHT 2863.50				-9,099.75	0.00
07AUG17	S	1	PD07NOV17	2819.50	3250.67	USD	-10,779.25	
07AUG17	S	1	PD07NOV17	2820.50	3250.67	USD	-10,754.25	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	B	1	PD07NOV17	2872.50	3250.67	USD	9,454.25	
07AUG17	B	1	PD07NOV17	2874.50	3250.67	USD	9,404.25	
07AUG17	B	1	PD07NOV17	2871.00	3250.67	USD	9,491.75	
07AUG17	B	1	PD07NOV17	2872.00	3250.67	USD	9,466.75	
07AUG17	B	1	PD07NOV17	2873.00	3250.67	USD	9,441.75	
07AUG17	B	1	PD07NOV17	2881.50	3250.67	USD	9,229.25	
09AUG17	S	3	PD07NOV17	2930.91	3250.67	USD	-23,982.00	
	NET	0 AVG	BGHT 2874.08				493.25	0.00
09AUG17	S	1	PD09NOV17	2974.50	3248.44	USD	-6,848.50	
09AUG17	S	2	PD09NOV17	2975.00	3248.44	USD	-13,672.00	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	1	PD09NOV17	2961.50	3248.44	USD	-7,173.50	
09AUG17	S	1	PD09NOV17	2961.00	3248.44	USD	-7,186.00	
09AUG17	B	5	PD09NOV17	2931.70	3248.44	USD	39,592.50	
17AUG17	B	1	PD09NOV17	3061.63	3248.44	USD	4,670.25	
	NET	0 AVG	BGHT 2953.36				2,521.75	0.00
16AUG17	S	1	PD16NOV17	2979.00	3241.50	USD	-6,562.50	
16AUG17	S	2	PD16NOV17	2979.50	3241.50	USD	-13,100.00	
16AUG17	B	1	PD16NOV17	3087.50	3241.50	USD	3,850.00	
16AUG17	B	1	PD16NOV17	3091.50	3241.50	USD	3,750.00	
16AUG17	S	1	PD16NOV17	3089.00	3241.50	USD	-3,812.50	
16AUG17	S	1	PD16NOV17	3090.50	3241.50	USD	-3,775.00	
16AUG17	B	1	PD16NOV17	3094.00	3241.50	USD	3,687.50	
16AUG17	S	1	PD16NOV17	3094.00	3241.50	USD	-3,687.50	
16AUG17	B	1	PD16NOV17	3114.00	3241.50	USD	3,187.50	
16AUG17	B	1	PD16NOV17	3115.00	3241.50	USD	3,162.50	
16AUG17	B	1	PD16NOV17	3117.00	3241.50	USD	3,112.50	
16AUG17	B	1	PD16NOV17	3118.50	3241.50	USD	3,075.00	
17AUG17	S	1	PD16NOV17	3062.05	3241.50	USD	-4,486.25	
	NET	0 AVG	BGHT 3105.36				-11,598.75	0.00
22AUG17	S	1	PD22NOV17	3117.50	3236.00	USD	-2,962.50	
22AUG17	S	1	PD22NOV17	3119.50	3236.00	USD	-2,912.50	

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22AUG17	B	1	PD22NOV17	3113.50	3236.00	USD	3,062.50	
22AUG17	B	1	PD22NOV17	3118.50	3236.00	USD	2,937.50	
22AUG17	B	1	PD22NOV17	3123.00	3236.00	USD	2,825.00	
22AUG17	B	1	PD22NOV17	3111.50	3236.00	USD	3,112.50	
22AUG17	B	1	PD22NOV17	3110.50	3236.00	USD	3,137.50	
22AUG17	B	1	PD22NOV17	3101.50	3236.00	USD	3,362.50	
30AUG17	S	2	PD22NOV17	3093.43	3236.00	USD	-7,128.50	
30AUG17	S	2	PD22NOV17	3093.23	3236.00	USD	-7,138.50	
	NET	0AVG BGHT 3113.08	SOLD 3101.72				-1,704.50	0.00
23AUG17	S	1	PD24NOV17	3116.50	3235.00	USD	-2,962.50	
23AUG17	S	1	PD24NOV17	3117.50	3235.00	USD	-2,937.50	
23AUG17	S	2	PD24NOV17	3120.50	3235.00	USD	-5,725.00	
23AUG17	S	1	PD24NOV17	3143.00	3235.00	USD	-2,300.00	
23AUG17	S	3	PD24NOV17	3143.50	3235.00	USD	-6,862.50	
23AUG17	B	1	PD24NOV17	3099.50	3235.00	USD	3,387.50	
23AUG17	B	1	PD24NOV17	3109.50	3235.00	USD	3,137.50	
23AUG17	B	1	PD24NOV17	3105.50	3235.00	USD	3,237.50	
23AUG17	B	1	PD24NOV17	3107.00	3235.00	USD	3,200.00	
25AUG17	B	1	PD24NOV17	3065.50	3235.00	USD	4,237.50	
25AUG17	B	1	PD24NOV17	3066.50	3235.00	USD	4,212.50	
25AUG17	B	1	PD24NOV17	3062.50	3235.00	USD	4,312.50	
25AUG17	B	2	PD24NOV17	3063.50	3235.00	USD	8,575.00	
25AUG17	S	1	PD24NOV17	3067.50	3235.00	USD	-4,187.50	
25AUG17	S	1	PD24NOV17	3065.00	3235.00	USD	-4,250.00	
30AUG17	B	1	PD24NOV17	3094.20	3235.00	USD	3,520.00	
	NET	0AVG BGHT 3083.72	SOLD 3118.10				8,595.00	0.00
29AUG17	B	5	PD29NOV17	3087.00	3234.00	USD	18,375.00	
29AUG17	S	1	PD29NOV17	3120.50	3234.00	USD	-2,837.50	
29AUG17	S	3	PD29NOV17	3122.50	3234.00	USD	-8,362.50	
29AUG17	S	2	PD29NOV17	3117.50	3234.00	USD	-5,825.00	
30AUG17	B	1	PD29NOV17	3095.03	3234.00	USD	3,474.25	
	NET	0AVG BGHT 3088.34	SOLD 3120.50				4,824.25	0.00
30AUG17	S	1	PD30NOV17	3137.50	3233.67	USD	-2,404.25	
30AUG17	S	1	PD30NOV17	3147.00	3233.67	USD	-2,166.75	
30AUG17	S	1	PD30NOV17	3150.50	3233.67	USD	-2,079.25	
30AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
30AUG17	S	1	PD30NOV17	3138.50	3233.67	USD	-2,379.25	
30AUG17	B	1	PD30NOV17	3120.50	3233.67	USD	2,829.25	
30AUG17	B	1	PD30NOV17	3122.50	3233.67	USD	2,779.25	
30AUG17	B	1	PD30NOV17	3121.00	3233.67	USD	2,816.75	
30AUG17	B	1	PD30NOV17	3102.50	3233.67	USD	3,279.25	
30AUG17	B	1	PD30NOV17	3110.00	3233.67	USD	3,091.75	
30AUG17	B	1	PD30NOV17	3108.00	3233.67	USD	3,141.75	
30AUG17	B	1	PD30NOV17	3110.50	3233.67	USD	3,079.25	
31AUG17	S	1	PD30NOV17	3122.50	3233.67	USD	-2,779.25	
31AUG17	S	1	PD30NOV17	3123.00	3233.67	USD	-2,766.75	
31AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
31AUG17	B	4	PD30NOV17	3127.00	3233.67	USD	10,667.00	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	B	1	PD30NOV17	3125.50	3233.67	USD	2,704.25	
31AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
31AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
31AUG17	B	3	PD30NOV17	3127.50	3233.67	USD	7,962.75	
31AUG17	S	1	PD30NOV17	3140.00	3233.67	USD	-2,341.75	
31AUG17	B	1	PD30NOV17	3155.50	3233.67	USD	1,954.25	
31AUG17	B	1	PD30NOV17	3156.50	3233.67	USD	1,929.25	

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31AUG17	S	11	PD30NOV17		3145.80	3233.67	USD	-24,164.25	
14SEP17	B	2	PD30NOV17		3004.00	3233.67	USD	11,483.50	
	NET	0AVG	BGHT 3113.60	SOLD 3140.61				14,182.50	0.00
01SEP17	S	1	PD01DEC17		3169.00	3233.33	USD	-1,608.25	
01SEP17	S	1	PD01DEC17		3169.50	3233.33	USD	-1,595.75	
01SEP17	B	1	PD01DEC17		3138.00	3233.33	USD	2,383.25	
01SEP17	B	1	PD01DEC17		3144.00	3233.33	USD	2,233.25	
01SEP17	S	1	PD01DEC17		3163.50	3233.33	USD	-1,745.75	
01SEP17	B	1	PD01DEC17		3174.50	3233.33	USD	1,470.75	
01SEP17	S	1	PD01DEC17		3179.50	3233.33	USD	-1,345.75	
01SEP17	S	1	PD01DEC17		3185.00	3233.33	USD	-1,208.25	
14SEP17	B	2	PD01DEC17		3004.14	3233.33	USD	11,459.50	
	NET	0AVG	BGHT 3092.96	SOLD 3173.30				10,043.00	0.00
04SEP17	S	1	PD04DEC17		3194.00	3233.00	USD	-975.00	
04SEP17	S	1	PD04DEC17		3185.00	3233.00	USD	-1,200.00	
04SEP17	S	1	PD04DEC17		3181.00	3233.00	USD	-1,300.00	
04SEP17	B	1	PD04DEC17		3203.50	3233.00	USD	737.50	
04SEP17	B	1	PD04DEC17		3200.50	3233.00	USD	812.50	
04SEP17	B	1	PD04DEC17		3204.00	3233.00	USD	725.00	
	NET	0AVG	BGHT 3202.67	SOLD 3186.67				-1,200.00	0.00
05SEP17	S	1	PD05DEC17		3198.50	3232.67	USD	-854.25	
05SEP17	S	1	PD05DEC17		3199.00	3232.67	USD	-841.75	
05SEP17	B	1	PD05DEC17		3111.50	3232.67	USD	3,029.25	
05SEP17	B	1	PD05DEC17		3116.00	3232.67	USD	2,916.75	
	NET	0AVG	BGHT 3113.75	SOLD 3198.75				4,250.00	0.00
06SEP17	B	1	PD06DEC17		3078.50	3232.33	USD	3,845.75	
14SEP17	S	1	PD06DEC17		3004.86	3232.33	USD	-5,686.75	
	NET	0AVG	BGHT 3078.50	SOLD 3004.86				-1,841.00	0.00
07SEP17	B	2	PD07DEC17		3085.00	3232.00	USD	7,350.00	
07SEP17	B	1	PD07DEC17		3127.50	3232.00	USD	2,612.50	
07SEP17	B	1	PD07DEC17		3134.00	3232.00	USD	2,450.00	
07SEP17	B	1	PD07DEC17		3135.00	3232.00	USD	2,425.00	
07SEP17	S	1	PD07DEC17		3138.00	3232.00	USD	-2,350.00	
14SEP17	S	4	PD07DEC17		3004.80	3232.00	USD	-22,720.00	
	NET	0AVG	BGHT 3113.30	SOLD 3031.44				-10,232.50	0.00
11SEP17	S	1	PD11DEC17		3058.50	3231.33	USD	-4,320.75	
11SEP17	S	1	PD11DEC17		3100.00	3231.33	USD	-3,283.25	
11SEP17	S	1	PD11DEC17		3101.00	3231.33	USD	-3,258.25	
11SEP17	S	1	PD11DEC17		3102.00	3231.33	USD	-3,233.25	
11SEP17	B	1	PD11DEC17		3059.00	3231.33	USD	4,308.25	
11SEP17	B	1	PD11DEC17		3060.00	3231.33	USD	4,283.25	
11SEP17	B	1	PD11DEC17		3061.50	3231.33	USD	4,245.75	
14SEP17	B	1	PD11DEC17		3005.77	3231.33	USD	5,639.00	
	NET	0AVG	BGHT 3046.57	SOLD 3090.38				4,380.75	0.00
12SEP17	S	1	PD12DEC17		3083.00	3231.00	USD	-3,700.00	
12SEP17	S	2	PD12DEC17		3084.00	3231.00	USD	-7,350.00	
12SEP17	B	1	PD12DEC17		3055.50	3231.00	USD	4,387.50	
12SEP17	B	1	PD12DEC17		3076.00	3231.00	USD	3,875.00	
12SEP17	B	1	PD12DEC17		3078.50	3231.00	USD	3,812.50	
	NET	0AVG	BGHT 3070.00	SOLD 3083.67				1,025.00	0.00
13SEP17	S	1	PD13DEC17		3049.00	3230.67	USD	-4,541.75	
13SEP17	S	1	PD13DEC17		3053.00	3230.67	USD	-4,441.75	
13SEP17	B	1	PD13DEC17		3028.00	3230.67	USD	5,066.75	
13SEP17	B	1	PD13DEC17		3028.50	3230.67	USD	5,054.25	
	NET	0AVG	BGHT 3028.25	SOLD 3051.00				1,137.50	0.00

OPEN POSITION STATEMENT



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SAP0094U

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	3011.50	3230.00	USD	-10,925.00	
15SEP17	S	1	PD15DEC17	3012.50	3230.00	USD	-5,437.50	
15SEP17	B	1	PD15DEC17	3031.50	3230.00	USD	4,962.50	
15SEP17	B	2	PD15DEC17	3035.00	3230.00	USD	9,750.00	
	NET	0 AVG BGHT 3033.83	SOLD 3011.83				-1,650.00	0.00
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	
18SEP17	S	1	PD18DEC17	3065.50	3229.67	USD	-4,104.25	
18SEP17	S	1	PD18DEC17	3085.50	3229.67	USD	-3,604.25	
18SEP17	S	2	PD18DEC17	3068.00	3229.67	USD	-8,083.50	
18SEP17	B	1	PD18DEC17	3081.50	3229.67	USD	3,704.25	
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	1	PD18DEC17	3084.50	3229.67	USD	-3,629.25	
18SEP17	S	2	PD18DEC17	3086.50	3229.67	USD	-7,158.50	
18SEP17	S	2	PD18DEC17	3094.50	3229.67	USD	-6,758.50	
18SEP17	B	1	PD18DEC17	3105.50	3229.67	USD	3,104.25	
18SEP17	B	1	PD18DEC17	3111.00	3229.67	USD	2,966.75	
18SEP17	B	1	PD18DEC17	3111.50	3229.67	USD	2,954.25	
18SEP17	S	2	PD18DEC17	3093.50	3229.67	USD	-6,808.50	
18SEP17	B	1	PD18DEC17	3105.00	3229.67	USD	3,116.75	
20SEP17	B	8	PD18DEC17	3131.47	3229.67	USD	19,640.00	
	NET	0 AVG BGHT 3120.48	SOLD 3082.15				-12,456.50	0.00
19SEP17	B	1	PD19DEC17	3090.00	3229.33	USD	3,483.25	
19SEP17	B	1	PD19DEC17	3082.00	3229.33	USD	3,683.25	
19SEP17	B	1	PD19DEC17	3082.50	3229.33	USD	3,670.75	
19SEP17	B	2	PD19DEC17	3078.50	3229.33	USD	7,541.50	
19SEP17	B	1	PD19DEC17	3065.50	3229.33	USD	4,095.75	
19SEP17	B	1	PD19DEC17	3076.50	3229.33	USD	3,820.75	
19SEP17	B	1	PD19DEC17	3078.00	3229.33	USD	3,783.25	
19SEP17	S	1	PD19DEC17	3076.00	3229.33	USD	-3,833.25	
19SEP17	B	1	PD19DEC17	3066.50	3229.33	USD	4,070.75	
20SEP17	S	8	PD19DEC17	3131.53	3229.33	USD	-19,560.00	
	NET	0 AVG BGHT 3077.56	SOLD 3125.36				10,756.00	0.00
20SEP17	B	1	PD20DEC17	3137.00	3229.00	USD	2,300.00	
26SEP17	S	1	PD20DEC17	3119.00	3229.00	USD	-2,750.00	
	NET	0 AVG BGHT 3137.00	SOLD 3119.00				-450.00	0.00
21SEP17	S	1	PD21DEC17	3119.00	3225.00	USD	-2,650.00	
26SEP17	B	1	PD21DEC17	3118.20	3225.00	USD	2,670.00	
	NET	0 AVG BGHT 3118.20	SOLD 3119.00				20.00	0.00
25SEP17	B	1	PD27DEC17	3074.50	3224.00	USD	3,737.50	
25SEP17	S	2	PD27DEC17	3075.00	3224.00	USD	-7,450.00	
25SEP17	S	3	PD27DEC17	3074.00	3224.00	USD	-11,250.00	
25SEP17	S	1	PD27DEC17	3087.50	3224.00	USD	-3,412.50	
25SEP17	S	1	PD27DEC17	3084.50	3224.00	USD	-3,487.50	
25SEP17	S	1	PD27DEC17	3085.50	3224.00	USD	-3,462.50	
25SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
25SEP17	B	1	PD27DEC17	3102.00	3224.00	USD	3,050.00	
25SEP17	B	1	PD27DEC17	3100.00	3224.00	USD	3,100.00	
25SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
25SEP17	B	1	PD27DEC17	3109.00	3224.00	USD	2,875.00	
25SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
25SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	B	1	PD27DEC17	3136.00	3224.00	USD	2,200.00	
26SEP17	S	1	PD27DEC17	3136.00	3224.00	USD	-2,200.00	
26SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
26SEP17	B	1	PD27DEC17	3112.00	3224.00	USD	2,800.00	
26SEP17	S	1	PD27DEC17	3111.00	3224.00	USD	-2,825.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	
26SEP17	B	1	PD27DEC17	3106.50	3224.00	USD	2,937.50	
26SEP17	B	1	PD27DEC17	3107.50	3224.00	USD	2,912.50	
26SEP17	B	2	PD27DEC17	3115.00	3224.00	USD	5,450.00	
26SEP17	S	4	PD27DEC17	3110.10	3224.00	USD	-11,390.00	
27SEP17	B	1	PD27DEC17	3096.50	3224.00	USD	3,187.50	
27SEP17	B	1	PD27DEC17	3100.50	3224.00	USD	3,087.50	
27SEP17	S	1	PD27DEC17	3115.50	3224.00	USD	-2,712.50	
29SEP17	S	1	PD27DEC17	3162.18	3224.00	USD	-1,545.50	
	NET	0 AVG BGHT 3106.56	SOLD 3100.56				-2,548.00	0.00
28SEP17	S	1	PD28DEC17	3110.50	3223.50	USD	-2,825.00	
28SEP17	S	1	PD28DEC17	3144.50	3223.50	USD	-1,975.00	
28SEP17	S	1	PD28DEC17	3146.50	3223.50	USD	-1,925.00	
28SEP17	B	1	PD28DEC17	3143.50	3223.50	USD	2,000.00	
28SEP17	S	1	PD28DEC17	3141.00	3223.50	USD	-2,062.50	
28SEP17	S	2	PD28DEC17	3143.00	3223.50	USD	-4,025.00	
28SEP17	S	1	PD28DEC17	3144.00	3223.50	USD	-1,987.50	
28SEP17	B	1	PD28DEC17	3135.50	3223.50	USD	2,200.00	
28SEP17	S	1	PD28DEC17	3136.00	3223.50	USD	-2,187.50	
28SEP17	S	1	PD28DEC17	3151.00	3223.50	USD	-1,812.50	
28SEP17	S	2	PD28DEC17	3153.50	3223.50	USD	-3,500.00	
28SEP17	B	1	PD28DEC17	3159.50	3223.50	USD	1,600.00	
28SEP17	B	1	PD28DEC17	3160.50	3223.50	USD	1,575.00	
10OCT17	B	7	PD28DEC17	3283.36	3223.50	USD	-10,475.50	
	NET	0 AVG BGHT 3234.77	SOLD 3142.41				-25,400.50	0.00
29SEP17	B	1	PD29DEC17	3149.50	3223.00	USD	1,837.50	
29SEP17	B	1	PD29DEC17	3146.50	3223.00	USD	1,912.50	
29SEP17	B	6	PD29DEC17	3150.00	3223.00	USD	10,950.00	
29SEP17	S	1	PD29DEC17	3150.50	3223.00	USD	-1,812.50	
29SEP17	S	1	PD29DEC17	3151.00	3223.00	USD	-1,800.00	
29SEP17	B	1	PD29DEC17	3147.50	3223.00	USD	1,887.50	
29SEP17	S	2	PD29DEC17	3147.00	3223.00	USD	-3,800.00	
29SEP17	S	2	PD29DEC17	3161.80	3223.00	USD	-3,060.00	
10OCT17	S	3	PD29DEC17	3282.62	3223.00	USD	4,471.50	
	NET	0 AVG BGHT 3149.28	SOLD 3196.33				10,586.50	0.00
03OCT17	S	3	PD03JAN18	3230.00	3222.00	USD	600.00	
03OCT17	S	1	PD03JAN18	3230.50	3222.00	USD	212.50	
03OCT17	B	1	PD03JAN18	3236.50	3222.00	USD	-362.50	
03OCT17	S	1	PD03JAN18	3234.50	3222.00	USD	312.50	
03OCT17	S	1	PD03JAN18	3236.50	3222.00	USD	362.50	
03OCT17	B	1	PD03JAN18	3267.50	3222.00	USD	-1,137.50	
03OCT17	B	1	PD03JAN18	3268.50	3222.00	USD	-1,162.50	
03OCT17	B	1	PD03JAN18	3262.00	3222.00	USD	-1,000.00	
03OCT17	B	2	PD03JAN18	3262.50	3222.00	USD	-2,025.00	
03OCT17	B	4	PD03JAN18	3264.00	3222.00	USD	-4,200.00	
10OCT17	S	4	PD03JAN18	3281.53	3222.00	USD	5,953.00	
	NET	0 AVG BGHT 3261.55	SOLD 3251.76				-2,447.00	0.00
04OCT17	S	1	PD04JAN18	3279.50	3221.50	USD	1,450.00	
04OCT17	B	1	PD04JAN18	3277.50	3221.50	USD	-1,400.00	
04OCT17	B	1	PD04JAN18	3297.50	3221.50	USD	-1,900.00	
04OCT17	S	1	PD04JAN18	3294.00	3221.50	USD	1,812.50	
04OCT17	S	1	PD04JAN18	3295.50	3221.50	USD	1,850.00	
04OCT17	S	1	PD04JAN18	3296.50	3221.50	USD	1,875.00	
04OCT17	S	1	PD04JAN18	3298.50	3221.50	USD	1,925.00	
04OCT17	B	1	PD04JAN18	3285.50	3221.50	USD	-1,600.00	
04OCT17	B	2	PD04JAN18	3285.00	3221.50	USD	-3,175.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04OCT17	B	1	PD04JAN18		3280.00	3221.50	USD	-1,462.50	
10OCT17	S	1	PD04JAN18		3281.18	3221.50	USD	1,492.00	
	NET	0 AVG	BGHT 3285.08	SOLD 3290.86				867.00	0.00
05OCT17	B	1	PD05JAN18		3283.50	3221.00	USD	-1,562.50	
05OCT17	S	1	PD05JAN18		3276.50	3221.00	USD	1,387.50	
05OCT17	S	1	PD05JAN18		3277.00	3221.00	USD	1,400.00	
05OCT17	S	1	PD05JAN18		3280.00	3221.00	USD	1,475.00	
05OCT17	S	2	PD05JAN18		3281.50	3221.00	USD	3,025.00	
05OCT17	S	1	PD05JAN18		3283.00	3221.00	USD	1,550.00	
05OCT17	B	2	PD05JAN18		3293.50	3221.00	USD	-3,625.00	
05OCT17	S	1	PD05JAN18		3303.00	3221.00	USD	2,050.00	
05OCT17	S	1	PD05JAN18		3307.50	3221.00	USD	2,162.50	
05OCT17	S	1	PD05JAN18		3308.00	3221.00	USD	2,175.00	
05OCT17	B	1	PD05JAN18		3287.00	3221.00	USD	-1,650.00	
05OCT17	B	1	PD05JAN18		3289.50	3221.00	USD	-1,712.50	
05OCT17	B	1	PD05JAN18		3288.50	3221.00	USD	-1,687.50	
05OCT17	B	1	PD05JAN18		3291.00	3221.00	USD	-1,750.00	
05OCT17	S	2	PD05JAN18		3300.00	3221.00	USD	3,950.00	
05OCT17	B	1	PD05JAN18		3296.00	3221.00	USD	-1,875.00	
05OCT17	B	2	PD05JAN18		3298.50	3221.00	USD	-3,875.00	
05OCT17	B	1	PD05JAN18		3290.50	3221.00	USD	-1,737.50	
06OCT17	S	1	PD05JAN18		3290.00	3221.00	USD	1,725.00	
06OCT17	S	1	PD05JAN18		3291.00	3221.00	USD	1,750.00	
06OCT17	S	2	PD05JAN18		3291.50	3221.00	USD	3,525.00	
06OCT17	S	1	PD05JAN18		3292.00	3221.00	USD	1,775.00	
06OCT17	B	2	PD05JAN18		3252.00	3221.00	USD	-1,550.00	
06OCT17	B	1	PD05JAN18		3235.50	3221.00	USD	-362.50	
06OCT17	S	1	PD05JAN18		3245.00	3221.00	USD	600.00	
06OCT17	B	2	PD05JAN18		3237.50	3221.00	USD	-825.00	
10OCT17	B	1	PD05JAN18		3280.84	3221.00	USD	-1,496.00	
	NET	0 AVG	BGHT 3276.78	SOLD 3288.18				4,841.50	0.00
09OCT17	B	2	PD09JAN18		3264.00	3220.00	USD	-2,200.00	
09OCT17	S	2	PD09JAN18		3273.00	3220.00	USD	2,650.00	
09OCT17	S	1	PD09JAN18		3264.00	3220.00	USD	1,100.00	
09OCT17	S	1	PD09JAN18		3265.00	3220.00	USD	1,125.00	
09OCT17	B	2	PD09JAN18		3232.50	3220.00	USD	-625.00	
	NET	0 AVG	BGHT 3248.25	SOLD 3268.75				2,050.00	0.00
10OCT17	B	1	PD10JAN18		3280.00	3219.50	USD	-1,512.50	
10OCT17	B	1	PD10JAN18		3281.00	3219.50	USD	-1,537.50	
10OCT17	B	1	PD10JAN18		3282.00	3219.50	USD	-1,562.50	
10OCT17	B	1	PD10JAN18		3282.50	3219.50	USD	-1,575.00	
10OCT17	B	1	PD10JAN18		3283.50	3219.50	USD	-1,600.00	
10OCT17	B	1	PD10JAN18		3286.00	3219.50	USD	-1,662.50	
10OCT17	S	2	PD10JAN18		3235.00	3219.50	USD	775.00	
10OCT17	S	1	PD10JAN18		3241.00	3219.50	USD	537.50	
10OCT17	S	1	PD10JAN18		3242.00	3219.50	USD	562.50	
10OCT17	S	1	PD10JAN18		3246.00	3219.50	USD	662.50	
10OCT17	S	1	PD10JAN18		3247.00	3219.50	USD	687.50	
10OCT17	S	1	PD10JAN18		3251.50	3219.50	USD	800.00	
10OCT17	S	1	PD10JAN18		3253.00	3219.50	USD	837.50	
10OCT17	S	1	PD10JAN18		3254.00	3219.50	USD	862.50	
	NET	-3 AVG	BGHT 3282.50	SOLD 3244.94				-3,725.00	0.00
11OCT17	B	1	PD11JAN18		3252.00	3219.00	USD	-825.00	
11OCT17	B	2	PD11JAN18		3252.50	3219.00	USD	-1,675.00	
	NET	+3 AVG	BGHT 3252.33					-2,500.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-5,637.50
LME Lead 25 tonnes. This is an	FUTURES	983,057.25
LME Nickel 6 tonnes. This is	FUTURES	-2,871.60
LME Zinc 25 tonnes. This is	FUTURES	400,774.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-5,637.50	-5,637.50		
LEAD - Futures USD	-41,123.00	-41,123.00		
NKL - Futures USD	-2,871.60	-2,871.60		
ZINC - Futures USD	-6,013.00	-6,013.00	-55,645.10	-55,645.10

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-53,883.44	-53,883.44
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-53,883.44	-53,883.44
Initial Margins	-165,128.10	-165,128.10
Excess/(Shortage)	-219,011.54	-219,011.54
FOR INFORMATION ONLY		
Forward Unrealised P&L	-55,645.10	-55,645.10
Net Liquidating Value	-109,528.54	-109,528.54

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31JUL17	S	1	PD31OCT17	1916.50	2110.50	USD	-4,850.00	
31AUG17	B	1	PD31OCT17	2112.27	2110.50	USD	-44.25	
	NET	0AVG	BGHT 2112.27				-4,894.25	0.00
08AUG17	S	1	PD08NOV17	1994.00	2113.00	USD	-2,975.00	
31AUG17	B	1	PD08NOV17	2113.81	2113.00	USD	-20.25	
	NET	0AVG	BGHT 2113.81				-2,995.25	0.00
31AUG17	B	1	PD30NOV17	2117.20	2120.88	USD	92.00	
27SEP17	S	1	PD30NOV17	2123.50	2120.88	USD	65.50	
	NET	0AVG	BGHT 2117.20				157.50	0.00
05SEP17	B	1	PD05DEC17	2096.20	2122.43	USD	655.75	
27SEP17	S	1	PD05DEC17	2125.00	2122.43	USD	64.25	
	NET	0AVG	BGHT 2096.20				720.00	0.00
06SEP17	S	1	PD06DEC17	2092.50	2122.74	USD	-756.00	
06SEP17	B	1	PD06DEC17	2092.50	2122.74	USD	756.00	
06SEP17	B	1	PD06DEC17	2093.00	2122.74	USD	743.50	
06SEP17	S	1	PD06DEC17	2103.00	2122.74	USD	-493.50	
06SEP17	S	1	PD06DEC17	2108.00	2122.74	USD	-368.50	
06SEP17	S	1	PD06DEC17	2108.50	2122.74	USD	-356.00	
06SEP17	S	1	PD06DEC17	2110.50	2122.74	USD	-306.00	
06SEP17	S	1	PD06DEC17	2109.00	2122.74	USD	-343.50	
06SEP17	S	1	PD06DEC17	2111.00	2122.74	USD	-293.50	
06SEP17	S	2	PD06DEC17	2111.50	2122.74	USD	-562.00	
06SEP17	S	1	PD06DEC17	2113.00	2122.74	USD	-243.50	
06SEP17	S	5	PD06DEC17	2113.50	2122.74	USD	-1,155.00	
06SEP17	S	1	PD06DEC17	2112.50	2122.74	USD	-256.00	
27SEP17	B	2	PD06DEC17	2125.50	2122.74	USD	-138.00	
27SEP17	B	12	PD06DEC17	2125.30	2122.74	USD	-768.00	
	NET	0AVG	BGHT 2121.26				-4,540.00	0.00
07SEP17	B	2	PD07DEC17	2092.00	2123.04	USD	1,552.00	
07SEP17	B	1	PD07DEC17	2093.50	2123.04	USD	738.50	
07SEP17	B	1	PD07DEC17	2094.00	2123.04	USD	726.00	
07SEP17	B	1	PD07DEC17	2097.00	2123.04	USD	651.00	
07SEP17	B	1	PD07DEC17	2098.00	2123.04	USD	626.00	
07SEP17	B	1	PD07DEC17	2100.00	2123.04	USD	576.00	
07SEP17	B	4	PD07DEC17	2092.50	2123.04	USD	3,054.00	
07SEP17	B	1	PD07DEC17	2093.00	2123.04	USD	751.00	
27SEP17	S	12	PD07DEC17	2125.40	2123.04	USD	708.00	
	NET	0AVG	BGHT 2094.13				9,382.50	0.00
	<u>ICE COTTON NO.2 500 FIN</u>							
07JUL17	S	2	MAR18	68.00000	68.30000	USD	-300.00	
07JUL17	S	10	MAR18	67.88000	68.30000	USD	-2,100.00	
07JUL17	S	7	MAR18	67.93000	68.30000	USD	-1,295.00	
07JUL17	S	4	MAR18	67.87000	68.30000	USD	-860.00	
07JUL17	S	7	MAR18	68.02000	68.30000	USD	-980.00	
10JUL17	S	3	MAR18	68.35000	68.30000	USD	75.00	
10JUL17	S	1	MAR18	68.38000	68.30000	USD	40.00	
12JUL17	S	1	MAR18	67.15000	68.30000	USD	-575.00	
12JUL17	S	1	MAR18	67.14000	68.30000	USD	-580.00	
12JUL17	S	3	MAR18	67.28000	68.30000	USD	-1,530.00	
12JUL17	S	31	MAR18	67.29000	68.30000	USD	-15,655.00	
	NET	-70AVG	SOLD 67.62114				-23,760.00	0.00
07JUL17	B	1	MAY18	68.41000	69.00000	USD	295.00	
07JUL17	B	2	MAY18	68.39000	69.00000	USD	610.00	
07JUL17	B	10	MAY18	68.26000	69.00000	USD	3,700.00	
07JUL17	B	7	MAY18	68.32000	69.00000	USD	2,380.00	

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SAP0094V

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+44 (0) 20 7340 9081
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>ICE COTTON NO.2 500 FIN</u>							
07JUL17	B	4	MAY18	68.23000	69.00000	USD	1,540.00	
07JUL17	B	6	MAY18	68.38000	69.00000	USD	1,860.00	
10JUL17	B	3	MAY18	68.71000	69.00000	USD	435.00	
10JUL17	B	1	MAY18	68.74000	69.00000	USD	130.00	
12JUL17	B	1	MAY18	67.50000	69.00000	USD	750.00	
12JUL17	B	1	MAY18	67.51000	69.00000	USD	745.00	
12JUL17	B	3	MAY18	67.64000	69.00000	USD	2,040.00	
12JUL17	B	31	MAY18	67.65000	69.00000	USD	20,925.00	
	NET	+70 AVG BGHT 67.98829					35,410.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	30	PD25OCT17	2314.50	2555.75	USD	-180,937.50	
26JUL17	B	30	PD25OCT17	2313.09	2555.75	USD	181,995.00	
	NET	0 AVG BGHT 2313.09		SOLD 2314.50			1,057.50	0.00
27JUL17	S	1	PD27OCT17	2330.50	2556.04	USD	-5,638.50	
27JUL17	B	1	PD27OCT17	2331.00	2556.04	USD	5,626.00	
	NET	0 AVG BGHT 2331.00		SOLD 2330.50			-12.50	0.00
08AUG17	S	1	PD08NOV17	2366.00	2557.75	USD	-4,793.75	
08AUG17	S	1	PD08NOV17	2366.50	2557.75	USD	-4,781.25	
08AUG17	S	1	PD08NOV17	2369.00	2557.75	USD	-4,718.75	
18AUG17	B	3	PD08NOV17	2359.38	2557.75	USD	14,877.75	
	NET	0 AVG BGHT 2359.38		SOLD 2367.17			584.00	0.00
17AUG17	B	1	PD17NOV17	2468.50	2558.75	USD	2,256.25	
17AUG17	B	1	PD17NOV17	2465.00	2558.75	USD	2,343.75	
17AUG17	B	1	PD17NOV17	2466.00	2558.75	USD	2,318.75	
18AUG17	B	1	PD17NOV17	2411.00	2558.75	USD	3,693.75	
18AUG17	S	1	PD17NOV17	2410.50	2558.75	USD	-3,706.25	
18AUG17	B	2	PD17NOV17	2360.50	2558.75	USD	9,912.50	
18AUG17	S	1	PD17NOV17	2364.00	2558.75	USD	-4,868.75	
18AUG17	S	1	PD17NOV17	2362.50	2558.75	USD	-4,906.25	
18AUG17	S	3	PD17NOV17	2360.80	2558.75	USD	-14,846.25	
	NET	0 AVG BGHT 2421.92		SOLD 2369.90			-7,802.50	0.00
22AUG17	S	1	PD22NOV17	2415.50	2559.46	USD	-3,599.00	
22AUG17	B	1	PD22NOV17	2415.50	2559.46	USD	3,599.00	
	NET	0 AVG BGHT 2415.50		SOLD 2415.50			0.00	0.00
24AUG17	B	1	PD24NOV17	2393.00	2559.75	USD	4,168.75	
24AUG17	S	1	PD24NOV17	2392.00	2559.75	USD	-4,193.75	
24AUG17	B	1	PD24NOV17	2382.50	2559.75	USD	4,431.25	
24AUG17	B	1	PD24NOV17	2385.00	2559.75	USD	4,368.75	
24AUG17	S	1	PD24NOV17	2382.00	2559.75	USD	-4,443.75	
24AUG17	S	1	PD24NOV17	2385.50	2559.75	USD	-4,356.25	
24AUG17	B	1	PD24NOV17	2361.00	2559.75	USD	4,968.75	
24AUG17	S	10	PD24NOV17	2359.85	2559.75	USD	-49,975.00	
25AUG17	B	1	PD24NOV17	2363.00	2559.75	USD	4,918.75	
25AUG17	S	1	PD24NOV17	2361.00	2559.75	USD	-4,968.75	
29SEP17	B	3	PD24NOV17	2490.95	2559.75	USD	5,160.00	
10OCT17	B	6	PD24NOV17	2550.25	2559.75	USD	1,425.00	
	NET	0 AVG BGHT 2475.63		SOLD 2365.64			-38,496.25	0.00
29AUG17	B	1	PD29NOV17	2344.50	2560.20	USD	5,392.50	
29AUG17	S	1	PD29NOV17	2343.50	2560.20	USD	-5,417.50	
	NET	0 AVG BGHT 2344.50		SOLD 2343.50			-25.00	0.00
31AUG17	S	1	PD30NOV17	2390.00	2560.30	USD	-4,257.50	
31AUG17	B	1	PD30NOV17	2378.00	2560.30	USD	4,557.50	
31AUG17	B	1	PD30NOV17	2389.50	2560.30	USD	4,270.00	
31AUG17	B	1	PD30NOV17	2390.00	2560.30	USD	4,257.50	
31AUG17	S	1	PD30NOV17	2393.80	2560.30	USD	-4,162.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
05SEP17	S 1 PD30NOV17	2332.29	2560.30	USD	-5,700.25	
	NET 0 AVG BGHT 2385.83 SOLD 2372.03				-1,035.25	0.00
05SEP17	S 1 PD05DEC17	2333.80	2560.75	USD	-5,673.75	
10OCT17	B 1 PD05DEC17	2552.58	2560.75	USD	204.25	
	NET 0 AVG BGHT 2552.58 SOLD 2333.80				-5,469.50	0.00
06SEP17	B 1 PD06DEC17	2343.00	2561.00	USD	5,450.00	
06SEP17	B 1 PD06DEC17	2346.50	2561.00	USD	5,362.50	
06SEP17	B 1 PD06DEC17	2345.50	2561.00	USD	5,387.50	
06SEP17	B 1 PD06DEC17	2353.00	2561.00	USD	5,200.00	
06SEP17	B 1 PD06DEC17	2352.50	2561.00	USD	5,212.50	
06SEP17	B 1 PD06DEC17	2354.00	2561.00	USD	5,175.00	
10OCT17	S 6 PD06DEC17	2552.59	2561.00	USD	-1,261.50	
	NET 0 AVG BGHT 2349.08 SOLD 2552.59				30,526.00	0.00
07SEP17	B 2 PD07DEC17	2346.50	2561.25	USD	10,737.50	
07SEP17	B 1 PD07DEC17	2348.00	2561.25	USD	5,331.25	
28SEP17	S 2 PD07DEC17	2472.46	2561.25	USD	-4,439.50	
10OCT17	S 1 PD07DEC17	2552.80	2561.25	USD	-211.25	
	NET 0 AVG BGHT 2347.00 SOLD 2499.24				11,418.00	0.00
14SEP17	S 1 PD14DEC17	2295.50	2563.00	USD	-6,687.50	
14SEP17	B 1 PD14DEC17	2297.50	2563.00	USD	6,637.50	
18SEP17	B 15 PD14DEC17	2390.00	2563.00	USD	64,875.00	
21SEP17	S 15 PD14DEC17	2517.71	2563.00	USD	-16,983.75	
	NET 0 AVG BGHT 2384.22 SOLD 2503.82				47,841.25	0.00
18SEP17	B 10 PD15DEC17	2390.00	2563.25	USD	43,312.50	
21SEP17	S 10 PD15DEC17	2517.73	2563.25	USD	-11,380.00	
	NET 0 AVG BGHT 2390.00 SOLD 2517.73				31,932.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	10225.00	11077.54	USD	5,115.24	
31JUL17	S 1 PD31OCT17	10225.00	11077.54	USD	-5,115.24	
	NET 0 AVG BGHT 10225.00 SOLD 10225.00				0.00	0.00
01AUG17	B 1 PD01NOV17	10155.00	11078.50	USD	5,541.00	
18AUG17	S 1 PD01NOV17	10972.58	11078.50	USD	-635.52	
	NET 0 AVG BGHT 10155.00 SOLD 10972.58				4,905.48	0.00
17AUG17	B 2 PD17NOV17	10825.00	11093.54	USD	3,222.48	
17AUG17	S 2 PD17NOV17	10775.00	11093.54	USD	-3,822.48	
17AUG17	S 1 PD17NOV17	10645.00	11093.54	USD	-2,691.24	
18AUG17	B 1 PD17NOV17	10981.00	11093.54	USD	675.24	
	NET 0 AVG BGHT 10877.00 SOLD 10731.67				-2,616.00	0.00
29AUG17	B 1 PD29NOV17	11830.00	11102.80	USD	-4,363.20	
29AUG17	B 1 PD29NOV17	11780.00	11102.80	USD	-4,063.20	
29AUG17	B 1 PD29NOV17	11795.00	11102.80	USD	-4,153.20	
29AUG17	B 2 PD29NOV17	11785.00	11102.80	USD	-8,186.40	
29AUG17	B 1 PD29NOV17	11810.00	11102.80	USD	-4,243.20	
31AUG17	S 6 PD29NOV17	11798.32	11102.80	USD	25,038.72	
	NET 0 AVG BGHT 11797.50 SOLD 11798.32				29.52	0.00
31AUG17	S 1 PD30NOV17	11799.00	11103.57	USD	4,172.58	
05SEP17	B 1 PD30NOV17	12078.11	11103.57	USD	-5,847.24	
	NET 0 AVG BGHT 12078.11 SOLD 11799.00				-1,674.66	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	2836.50	3299.33	USD	11,570.75	
12JUL17	S 2 PD12OCT17	2837.50	3299.33	USD	-23,091.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12JUL17	B	2	PD12OCT17		2837.50	3299.33	USD	23,091.50	
12JUL17	S	1	PD12OCT17		2837.00	3299.33	USD	-11,558.25	
	NET	0 AVG	BGHT 2837.17	SOLD 2837.33				12.50	0.00
25JUL17	B	10	PD25OCT17		2831.65	3264.00	USD	108,087.50	
25JUL17	B	1	PD25OCT17		2840.50	3264.00	USD	10,587.50	
25JUL17	B	4	PD25OCT17		2841.00	3264.00	USD	42,300.00	
25JUL17	B	5	PD25OCT17		2843.00	3264.00	USD	52,625.00	
25JUL17	S	10	PD25OCT17		2835.80	3264.00	USD	-107,050.00	
25JUL17	B	10	PD25OCT17		2839.50	3264.00	USD	106,125.00	
25JUL17	B	7	PD25OCT17		2837.00	3264.00	USD	74,725.00	
25JUL17	B	3	PD25OCT17		2837.50	3264.00	USD	31,987.50	
26JUL17	S	30	PD25OCT17		2807.66	3264.00	USD	-342,255.00	
	NET	0 AVG	BGHT 2837.56	SOLD 2814.70				-22,867.50	0.00
31JUL17	B	1	PD31OCT17		2816.00	3257.33	USD	11,033.25	
31JUL17	S	1	PD31OCT17		2803.50	3257.33	USD	-11,345.75	
31JUL17	S	1	PD31OCT17		2816.00	3257.33	USD	-11,033.25	
31JUL17	B	1	PD31OCT17		2795.20	3257.33	USD	11,553.25	
	NET	0 AVG	BGHT 2805.60	SOLD 2809.75				207.50	0.00
04AUG17	B	1	PD03NOV17		2813.50	3252.89	USD	10,984.75	
04AUG17	B	1	PD03NOV17		2815.00	3252.89	USD	10,947.25	
04AUG17	S	1	PD03NOV17		2813.50	3252.89	USD	-10,984.75	
08AUG17	S	1	PD03NOV17		2924.50	3252.89	USD	-8,209.75	
	NET	0 AVG	BGHT 2814.25	SOLD 2869.00				2,737.50	0.00
07AUG17	B	1	PD07NOV17		2821.50	3250.67	USD	10,729.25	
07AUG17	S	3	PD07NOV17		2801.50	3250.67	USD	-33,687.75	
07AUG17	B	1	PD07NOV17		2819.50	3250.67	USD	10,779.25	
08AUG17	B	1	PD07NOV17		2925.10	3250.67	USD	8,139.25	
	NET	0 AVG	BGHT 2855.37	SOLD 2801.50				-4,040.00	0.00
09AUG17	B	1	PD09NOV17		2937.50	3248.44	USD	7,773.50	
09AUG17	S	1	PD09NOV17		2937.50	3248.44	USD	-7,773.50	
09AUG17	S	1	PD09NOV17		2953.50	3248.44	USD	-7,373.50	
17AUG17	B	1	PD09NOV17		3061.63	3248.44	USD	4,670.25	
	NET	0 AVG	BGHT 2999.57	SOLD 2945.50				-2,703.25	0.00
11AUG17	S	1	PD10NOV17		2921.50	3247.33	USD	-8,145.75	
11AUG17	S	1	PD10NOV17		2909.00	3247.33	USD	-8,458.25	
17AUG17	B	2	PD10NOV17		3061.94	3247.33	USD	9,269.50	
	NET	0 AVG	BGHT 3061.94	SOLD 2915.25				-7,334.50	0.00
14AUG17	S	1	PD14NOV17		2914.00	3245.11	USD	-8,277.75	
31AUG17	B	1	PD14NOV17		3145.45	3245.11	USD	2,491.50	
	NET	0 AVG	BGHT 3145.45	SOLD 2914.00				-5,786.25	0.00
16AUG17	B	3	PD16NOV17		3108.50	3241.50	USD	9,975.00	
17AUG17	S	3	PD16NOV17		3062.05	3241.50	USD	-13,458.75	
	NET	0 AVG	BGHT 3108.50	SOLD 3062.05				-3,483.75	0.00
29AUG17	B	1	PD29NOV17		3097.00	3234.00	USD	3,425.00	
29AUG17	B	1	PD29NOV17		3112.50	3234.00	USD	3,037.50	
29AUG17	S	2	PD29NOV17		3113.00	3234.00	USD	-6,050.00	
05SEP17	S	2	PD29NOV17		3139.12	3234.00	USD	-4,744.00	
10OCT17	B	2	PD29NOV17		3292.33	3234.00	USD	-2,916.50	
	NET	0 AVG	BGHT 3198.54	SOLD 3126.06				-7,248.00	0.00
06SEP17	B	1	PD06DEC17		3090.00	3232.33	USD	3,558.25	
06SEP17	B	1	PD06DEC17		3092.00	3232.33	USD	3,508.25	
06SEP17	B	1	PD06DEC17		3086.50	3232.33	USD	3,645.75	
06SEP17	B	1	PD06DEC17		3088.00	3232.33	USD	3,608.25	
06SEP17	B	1	PD06DEC17		3084.50	3232.33	USD	3,695.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
06SEP17	B	1	PD06DEC17		3086.00	3232.33	USD	3,658.25	
10OCT17	S	2	PD06DEC17		3291.02	3232.33	USD	2,934.50	
	NET	+4 AVG	BGHT 3087.83	SOLD 3291.02				24,609.00	0.00
07SEP17	B	1	PD07DEC17		3077.00	3232.00	USD	3,875.00	
07SEP17	B	2	PD07DEC17		3078.00	3232.00	USD	7,700.00	
07SEP17	S	1	PD07DEC17		3087.50	3232.00	USD	-3,612.50	
07SEP17	S	1	PD07DEC17		3088.00	3232.00	USD	-3,600.00	
07SEP17	S	1	PD07DEC17		3086.50	3232.00	USD	-3,637.50	
	NET	0 AVG	BGHT 3077.67	SOLD 3087.33				725.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-2,169.50
ICE Cotton No.2	FUTURES	-12,850.00
LME Lead 25 tonnes. This is an	FUTURES	70,518.25
LME Nickel 6 tonnes. This is	FUTURES	644.34
LME Zinc 25 tonnes. This is	FUTURES	-348,404.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COTT - Futures USD	11,650.00	11,650.00	11,650.00	11,650.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-2,169.50	-2,169.50		
LEAD - Futures USD	70,518.25	70,518.25		
NKL - Futures USD	644.34	644.34		
ZINC - Futures USD	-25,171.75	-25,171.75	43,821.34	43,821.34

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-77,789.56	-77,789.56
Variation Margins - Futures	11,650.00	11,650.00
- Options	0.00	0.00
TOTAL EQUITY	-66,139.56	-66,139.56
Initial Margins	-66,500.00	-66,500.00
Excess/(Shortage)	-132,639.56	-132,639.56
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	43,821.34	43,821.34
Net Liquidating Value	-22,318.22	-22,318.22

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12JUL17	B	2	PD12OCT17	1897.00	2104.25	USD	10,362.50	
12JUL17	S	2	PD12OCT17	1898.50	2104.25	USD	-10,287.50	
12JUL17	B	1	PD12OCT17	1902.00	2104.25	USD	5,056.25	
12JUL17	B	1	PD12OCT17	1902.50	2104.25	USD	5,043.75	
12JUL17	B	1	PD12OCT17	1900.00	2104.25	USD	5,106.25	
12JUL17	B	1	PD12OCT17	1899.50	2104.25	USD	5,118.75	
12JUL17	S	3	PD12OCT17	1900.50	2104.25	USD	-15,281.25	
12JUL17	S	1	PD12OCT17	1893.50	2104.25	USD	-5,268.75	
12JUL17	B	1	PD12OCT17	1898.00	2104.25	USD	5,156.25	
12JUL17	B	3	PD12OCT17	1893.50	2104.25	USD	15,806.25	
12JUL17	S	1	PD12OCT17	1894.00	2104.25	USD	-5,256.25	
12JUL17	B	1	PD12OCT17	1892.50	2104.25	USD	5,293.75	
12JUL17	S	1	PD12OCT17	1893.00	2104.25	USD	-5,281.25	
12JUL17	B	1	PD12OCT17	1890.50	2104.25	USD	5,343.75	
12JUL17	B	1	PD12OCT17	1889.00	2104.25	USD	5,381.25	
12JUL17	B	1	PD12OCT17	1890.00	2104.25	USD	5,356.25	
12JUL17	B	2	PD12OCT17	1889.50	2104.25	USD	10,737.50	
12JUL17	B	1	PD12OCT17	1888.50	2104.25	USD	5,393.75	
12JUL17	B	1	PD12OCT17	1887.00	2104.25	USD	5,431.25	
12JUL17	S	1	PD12OCT17	1892.50	2104.25	USD	-5,293.75	
12JUL17	S	1	PD12OCT17	1893.00	2104.25	USD	-5,281.25	
14JUL17	S	5	PD12OCT17	1926.67	2104.25	USD	-22,197.50	
17JUL17	B	2	PD12OCT17	1917.28	2104.25	USD	9,348.50	
19JUL17	S	2	PD12OCT17	1918.71	2104.25	USD	-9,277.00	
19JUL17	S	1	PD12OCT17	1918.91	2104.25	USD	-4,633.50	
08AUG17	S	2	PD12OCT17	2025.55	2104.25	USD	-3,935.00	
	NET	0AVG	BGHT 1896.38	SOLD 1920.26			11,943.00	0.00
13JUL17	S	1	PD13OCT17	1901.00	2104.75	USD	-5,093.75	
13JUL17	S	2	PD13OCT17	1900.00	2104.75	USD	-10,237.50	
13JUL17	S	1	PD13OCT17	1938.50	2104.75	USD	-4,156.25	
13JUL17	S	1	PD13OCT17	1923.50	2104.75	USD	-4,531.25	
13JUL17	S	2	PD13OCT17	1924.50	2104.75	USD	-9,012.50	
13JUL17	S	1	PD13OCT17	1925.50	2104.75	USD	-4,481.25	
13JUL17	S	2	PD13OCT17	1930.50	2104.75	USD	-8,712.50	
13JUL17	B	1	PD13OCT17	1927.50	2104.75	USD	4,431.25	
13JUL17	B	6	PD13OCT17	1928.00	2104.75	USD	26,512.50	
13JUL17	B	3	PD13OCT17	1930.00	2104.75	USD	13,106.25	
13JUL17	S	1	PD13OCT17	1927.00	2104.75	USD	-4,443.75	
13JUL17	S	6	PD13OCT17	1927.50	2104.75	USD	-26,587.50	
13JUL17	S	7	PD13OCT17	1928.00	2104.75	USD	-30,931.25	
13JUL17	S	7	PD13OCT17	1930.00	2104.75	USD	-30,581.25	
13JUL17	S	1	PD13OCT17	1929.50	2104.75	USD	-4,381.25	
13JUL17	S	8	PD13OCT17	1929.00	2104.75	USD	-35,150.00	
13JUL17	B	1	PD13OCT17	1925.50	2104.75	USD	4,481.25	
13JUL17	S	2	PD13OCT17	1933.00	2104.75	USD	-8,587.50	
13JUL17	S	1	PD13OCT17	1923.50	2104.75	USD	-4,531.25	
13JUL17	B	2	PD13OCT17	1930.00	2104.75	USD	8,737.50	
13JUL17	S	2	PD13OCT17	1928.00	2104.75	USD	-8,837.50	
13JUL17	B	1	PD13OCT17	1932.50	2104.75	USD	4,306.25	
13JUL17	B	1	PD13OCT17	1934.50	2104.75	USD	4,256.25	
13JUL17	B	1	PD13OCT17	1921.50	2104.75	USD	4,581.25	
14JUL17	S	1	PD13OCT17	1928.50	2104.75	USD	-4,406.25	
14JUL17	S	1	PD13OCT17	1926.00	2104.75	USD	-4,468.75	
14JUL17	S	1	PD13OCT17	1927.00	2104.75	USD	-4,443.75	
14JUL17	S	2	PD13OCT17	1927.50	2104.75	USD	-8,862.50	
14JUL17	S	1	PD13OCT17	1928.00	2104.75	USD	-4,418.75	
14JUL17	B	1	PD13OCT17	1929.50	2104.75	USD	4,381.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14JUL17	S	1	PD130CT17	1931.00	2104.75	USD	-4,343.75	
14JUL17	S	2	PD130CT17	1926.50	2104.75	USD	-8,912.50	
19JUL17	B	1	PD130CT17	1919.33	2104.75	USD	4,635.50	
19JUL17	B	22	PD130CT17	1919.13	2104.75	USD	102,091.00	
21JUL17	B	2	PD130CT17	1914.26	2104.75	USD	9,524.50	
26JUL17	B	3	PD130CT17	1940.01	2104.75	USD	12,355.50	
27JUL17	B	5	PD130CT17	1935.38	2104.75	USD	21,171.25	
28JUL17	B	2	PD130CT17	1903.52	2104.75	USD	10,061.50	
10AUG17	B	2	PD130CT17	2033.21	2104.75	USD	3,577.00	
	NET	0AVG BGHT 1928.30	SOLD 1926.89				-1,902.50	0.00
17JUL17	B	10	PD170CT17	1932.50	2106.75	USD	43,562.50	
17JUL17	B	5	PD170CT17	1930.00	2106.75	USD	22,093.75	
17JUL17	B	1	PD170CT17	1928.50	2106.75	USD	4,456.25	
17JUL17	B	3	PD170CT17	1928.00	2106.75	USD	13,406.25	
17JUL17	S	1	PD170CT17	1938.00	2106.75	USD	-4,218.75	
17JUL17	B	5	PD170CT17	1923.00	2106.75	USD	22,968.75	
17JUL17	B	1	PD170CT17	1924.50	2106.75	USD	4,556.25	
17JUL17	B	1	PD170CT17	1925.50	2106.75	USD	4,531.25	
17JUL17	B	2	PD170CT17	1925.00	2106.75	USD	9,087.50	
17JUL17	B	2	PD170CT17	1923.50	2106.75	USD	9,162.50	
17JUL17	B	2	PD170CT17	1924.00	2106.75	USD	9,137.50	
17JUL17	S	5	PD170CT17	1926.00	2106.75	USD	-22,593.75	
17JUL17	B	3	PD170CT17	1927.50	2106.75	USD	13,443.75	
17JUL17	B	4	PD170CT17	1927.00	2106.75	USD	17,975.00	
17JUL17	S	6	PD170CT17	1927.00	2106.75	USD	-26,962.50	
17JUL17	S	1	PD170CT17	1927.50	2106.75	USD	-4,481.25	
17JUL17	S	1	PD170CT17	1928.00	2106.75	USD	-4,468.75	
17JUL17	S	2	PD170CT17	1925.00	2106.75	USD	-9,087.50	
17JUL17	B	2	PD170CT17	1926.00	2106.75	USD	9,037.50	
17JUL17	S	1	PD170CT17	1929.00	2106.75	USD	-4,443.75	
17JUL17	B	1	PD170CT17	1919.50	2106.75	USD	4,681.25	
17JUL17	S	1	PD170CT17	1926.50	2106.75	USD	-4,506.25	
17JUL17	B	3	PD170CT17	1913.50	2106.75	USD	14,493.75	
17JUL17	B	1	PD170CT17	1913.00	2106.75	USD	4,843.75	
17JUL17	B	1	PD170CT17	1908.00	2106.75	USD	4,968.75	
17JUL17	B	1	PD170CT17	1905.00	2106.75	USD	5,043.75	
17JUL17	B	1	PD170CT17	1904.00	2106.75	USD	5,068.75	
17JUL17	B	3	PD170CT17	1910.50	2106.75	USD	14,718.75	
17JUL17	B	1	PD170CT17	1911.00	2106.75	USD	4,893.75	
17JUL17	B	1	PD170CT17	1910.00	2106.75	USD	4,918.75	
19JUL17	S	28	PD170CT17	1919.83	2106.75	USD	-130,844.00	
21JUL17	S	2	PD170CT17	1914.85	2106.75	USD	-9,595.00	
26JUL17	S	3	PD170CT17	1940.60	2106.75	USD	-12,461.25	
10AUG17	S	2	PD170CT17	2034.05	2106.75	USD	-3,635.00	
10AUG17	S	1	PD170CT17	2034.25	2106.75	USD	-1,812.50	
	NET	0AVG BGHT 1923.75	SOLD 1929.63				7,939.75	0.00
18JUL17	S	1	PD180CT17	1915.50	2107.25	USD	-4,793.75	
18JUL17	S	1	PD180CT17	1913.50	2107.25	USD	-4,843.75	
18JUL17	S	1	PD180CT17	1914.00	2107.25	USD	-4,831.25	
18JUL17	S	4	PD180CT17	1912.50	2107.25	USD	-19,475.00	
18JUL17	S	3	PD180CT17	1913.00	2107.25	USD	-14,568.75	
18JUL17	S	1	PD180CT17	1907.00	2107.25	USD	-5,006.25	
18JUL17	S	1	PD180CT17	1921.00	2107.25	USD	-4,656.25	
18JUL17	B	4	PD180CT17	1918.50	2107.25	USD	18,875.00	
18JUL17	B	1	PD180CT17	1917.50	2107.25	USD	4,743.75	
18JUL17	B	1	PD180CT17	1923.50	2107.25	USD	4,593.75	
18JUL17	B	9	PD180CT17	1922.00	2107.25	USD	41,681.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18JUL17	B	4	PD180CT17	1922.50	2107.25	USD	18,475.00	
18JUL17	B	25	PD180CT17	1923.00	2107.25	USD	115,156.25	
18JUL17	B	3	PD180CT17	1917.00	2107.25	USD	14,268.75	
18JUL17	B	1	PD180CT17	1923.00	2107.25	USD	4,606.25	
18JUL17	B	4	PD180CT17	1924.50	2107.25	USD	18,275.00	
18JUL17	B	1	PD180CT17	1925.50	2107.25	USD	4,543.75	
18JUL17	B	14	PD180CT17	1926.00	2107.25	USD	63,437.50	
19JUL17	S	60	PD180CT17	1920.05	2107.25	USD	-280,800.00	
27JUL17	B	1	PD180CT17	1936.50	2107.25	USD	4,268.75	
28JUL17	B	3	PD180CT17	1904.50	2107.25	USD	15,206.25	
10AUG17	B	1	PD180CT17	2033.95	2107.25	USD	1,832.50	
	NET	0AVG BGHT 1923.94	SOLD 1918.93				-9,011.25	0.00
19JUL17	S	1	PD190CT17	1930.00	2107.75	USD	-4,443.75	
19JUL17	S	1	PD190CT17	1927.50	2107.75	USD	-4,506.25	
19JUL17	S	1	PD190CT17	1934.00	2107.75	USD	-4,343.75	
19JUL17	B	1	PD190CT17	1934.50	2107.75	USD	4,331.25	
19JUL17	B	1	PD190CT17	1935.00	2107.75	USD	4,318.75	
19JUL17	B	2	PD190CT17	1935.50	2107.75	USD	8,612.50	
19JUL17	B	5	PD190CT17	1934.00	2107.75	USD	21,718.75	
19JUL17	B	1	PD190CT17	1930.00	2107.75	USD	4,443.75	
19JUL17	B	1	PD190CT17	1925.00	2107.75	USD	4,568.75	
19JUL17	B	1	PD190CT17	1932.00	2107.75	USD	4,393.75	
19JUL17	B	1	PD190CT17	1915.50	2107.75	USD	4,806.25	
27JUL17	S	7	PD190CT17	1935.80	2107.75	USD	-30,091.25	
28JUL17	S	3	PD190CT17	1903.80	2107.75	USD	-15,296.25	
	NET	0AVG BGHT 1931.77	SOLD 1927.19				-1,487.50	0.00
20JUL17	B	1	PD200CT17	1927.50	2108.25	USD	4,518.75	
20JUL17	B	11	PD200CT17	1927.00	2108.25	USD	49,843.75	
20JUL17	B	11	PD200CT17	1929.00	2108.25	USD	49,293.75	
20JUL17	B	8	PD200CT17	1928.00	2108.25	USD	36,050.00	
20JUL17	S	1	PD200CT17	1927.50	2108.25	USD	-4,518.75	
20JUL17	S	1	PD200CT17	1923.50	2108.25	USD	-4,618.75	
20JUL17	B	1	PD200CT17	1919.50	2108.25	USD	4,718.75	
20JUL17	B	1	PD200CT17	1920.00	2108.25	USD	4,706.25	
20JUL17	S	1	PD200CT17	1918.00	2108.25	USD	-4,756.25	
20JUL17	S	1	PD200CT17	1918.50	2108.25	USD	-4,743.75	
21JUL17	S	1	PD200CT17	1931.00	2108.25	USD	-4,431.25	
21JUL17	B	1	PD200CT17	1933.50	2108.25	USD	4,368.75	
21JUL17	B	1	PD200CT17	1927.00	2108.25	USD	4,531.25	
21JUL17	B	1	PD200CT17	1927.50	2108.25	USD	4,518.75	
21JUL17	B	1	PD200CT17	1926.50	2108.25	USD	4,543.75	
21JUL17	B	1	PD200CT17	1922.50	2108.25	USD	4,643.75	
21JUL17	B	1	PD200CT17	1921.00	2108.25	USD	4,681.25	
21JUL17	S	1	PD200CT17	1924.00	2108.25	USD	-4,606.25	
21JUL17	S	1	PD200CT17	1924.50	2108.25	USD	-4,593.75	
21JUL17	S	1	PD200CT17	1919.00	2108.25	USD	-4,731.25	
27JUL17	S	31	PD200CT17	1936.05	2108.25	USD	-133,455.00	
	NET	0AVG BGHT 1927.31	SOLD 1933.42				5,963.75	0.00
24JUL17	B	4	PD240CT17	1912.50	2110.25	USD	19,775.00	
24JUL17	B	1	PD240CT17	1912.00	2110.25	USD	4,956.25	
24JUL17	S	4	PD240CT17	1913.50	2110.25	USD	-19,675.00	
24JUL17	B	1	PD240CT17	1910.00	2110.25	USD	5,006.25	
08AUG17	S	2	PD240CT17	2027.00	2110.25	USD	-4,162.50	
	NET	0AVG BGHT 1912.00	SOLD 1951.33				5,900.00	0.00
25JUL17	S	1	PD250CT17	1914.50	2110.75	USD	-4,906.25	
25JUL17	S	1	PD250CT17	1915.50	2110.75	USD	-4,881.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25JUL17	B	1	PD250CT17	1920.00	2110.75	USD	4,768.75	
25JUL17	S	1	PD250CT17	1935.50	2110.75	USD	-4,381.25	
25JUL17	S	1	PD250CT17	1933.00	2110.75	USD	-4,443.75	
25JUL17	S	1	PD250CT17	1940.50	2110.75	USD	-4,256.25	
25JUL17	S	1	PD250CT17	1947.00	2110.75	USD	-4,093.75	
25JUL17	S	1	PD250CT17	1945.50	2110.75	USD	-4,131.25	
25JUL17	S	1	PD250CT17	1945.00	2110.75	USD	-4,143.75	
08AUG17	B	2	PD250CT17	2027.40	2110.75	USD	4,167.50	
08AUG17	B	3	PD250CT17	2027.20	2110.75	USD	6,266.25	
12SEP17	B	2	PD250CT17	2121.75	2110.75	USD	-550.00	
	NET	0AVG BGHT 2037.49	SOLD 1934.56				-20,585.00	0.00
26JUL17	S	2	PD260CT17	1936.00	2111.25	USD	-8,762.50	
26JUL17	B	1	PD260CT17	1937.00	2111.25	USD	4,356.25	
26JUL17	S	1	PD260CT17	1947.50	2111.25	USD	-4,093.75	
26JUL17	S	3	PD260CT17	1953.00	2111.25	USD	-11,868.75	
26JUL17	B	1	PD260CT17	1957.50	2111.25	USD	3,843.75	
26JUL17	B	2	PD260CT17	1934.50	2111.25	USD	8,837.50	
26JUL17	B	1	PD260CT17	1933.50	2111.25	USD	4,443.75	
26JUL17	S	1	PD260CT17	1937.50	2111.25	USD	-4,343.75	
26JUL17	S	2	PD260CT17	1938.00	2111.25	USD	-8,662.50	
26JUL17	B	1	PD260CT17	1941.50	2111.25	USD	4,243.75	
26JUL17	B	1	PD260CT17	1942.50	2111.25	USD	4,218.75	
26JUL17	S	2	PD260CT17	1951.00	2111.25	USD	-8,012.50	
26JUL17	S	1	PD260CT17	1952.50	2111.25	USD	-3,968.75	
26JUL17	S	1	PD260CT17	1958.00	2111.25	USD	-3,831.25	
26JUL17	S	1	PD260CT17	1959.00	2111.25	USD	-3,806.25	
26JUL17	S	2	PD260CT17	1959.50	2111.25	USD	-7,587.50	
26JUL17	B	1	PD260CT17	1947.50	2111.25	USD	4,093.75	
26JUL17	B	2	PD260CT17	1946.50	2111.25	USD	8,237.50	
26JUL17	B	1	PD260CT17	1946.00	2111.25	USD	4,131.25	
26JUL17	B	1	PD260CT17	1937.50	2111.25	USD	4,343.75	
08AUG17	B	2	PD260CT17	2027.40	2111.25	USD	4,192.50	
10AUG17	B	4	PD260CT17	2034.44	2111.25	USD	7,681.00	
12SEP17	S	2	PD260CT17	2121.97	2111.25	USD	536.00	
	NET	0AVG BGHT 1972.09	SOLD 1968.14				-1,778.00	0.00
27JUL17	B	1	PD270CT17	1941.50	2111.00	USD	4,237.50	
27JUL17	S	1	PD270CT17	1942.00	2111.00	USD	-4,225.00	
27JUL17	B	2	PD270CT17	1933.00	2111.00	USD	8,900.00	
27JUL17	S	1	PD270CT17	1950.50	2111.00	USD	-4,012.50	
27JUL17	B	1	PD270CT17	1941.00	2111.00	USD	4,250.00	
27JUL17	S	1	PD270CT17	1949.00	2111.00	USD	-4,050.00	
27JUL17	S	1	PD270CT17	1955.00	2111.00	USD	-3,900.00	
27JUL17	S	1	PD270CT17	1954.50	2111.00	USD	-3,912.50	
27JUL17	B	1	PD270CT17	1938.50	2111.00	USD	4,312.50	
28JUL17	B	1	PD270CT17	1925.50	2111.00	USD	4,637.50	
28JUL17	S	2	PD270CT17	1929.00	2111.00	USD	-9,100.00	
28JUL17	S	2	PD270CT17	1931.00	2111.00	USD	-9,000.00	
28JUL17	B	2	PD270CT17	1917.50	2111.00	USD	9,675.00	
28JUL17	B	1	PD270CT17	1943.00	2111.00	USD	4,200.00	
28JUL17	B	1	PD270CT17	1912.00	2111.00	USD	4,975.00	
28JUL17	B	1	PD270CT17	1911.50	2111.00	USD	4,987.50	
28JUL17	B	1	PD270CT17	1912.50	2111.00	USD	4,962.50	
28JUL17	B	1	PD270CT17	1913.00	2111.00	USD	4,950.00	
28JUL17	B	4	PD270CT17	1914.00	2111.00	USD	19,700.00	
28JUL17	B	1	PD270CT17	1914.50	2111.00	USD	4,912.50	
28JUL17	B	2	PD270CT17	1916.00	2111.00	USD	9,750.00	
28JUL17	B	4	PD270CT17	1917.00	2111.00	USD	19,400.00	

OPEN POSITION STATEMENT



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SAP0094X

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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28JUL17	S	10	PD27OCT17	1916.50	2111.00	USD	-48,625.00	
28JUL17	S	1	PD27OCT17	1914.50	2111.00	USD	-4,912.50	
28JUL17	S	12	PD27OCT17	1915.00	2111.00	USD	-58,800.00	
28JUL17	B	6	PD27OCT17	1915.00	2111.00	USD	29,400.00	
28JUL17	B	6	PD27OCT17	1915.50	2111.00	USD	29,325.00	
28JUL17	B	4	PD27OCT17	1916.50	2111.00	USD	19,450.00	
28JUL17	S	1	PD27OCT17	1917.50	2111.00	USD	-4,837.50	
28JUL17	S	1	PD27OCT17	1915.00	2111.00	USD	-4,900.00	
28JUL17	B	2	PD27OCT17	1915.50	2111.00	USD	9,775.00	
28JUL17	S	1	PD27OCT17	1915.50	2111.00	USD	-4,887.50	
28JUL17	B	1	PD27OCT17	1909.50	2111.00	USD	5,037.50	
28JUL17	B	1	PD27OCT17	1910.00	2111.00	USD	5,025.00	
07AUG17	B	1	PD27OCT17	1961.39	2111.00	USD	3,740.25	
08AUG17	S	5	PD27OCT17	2027.40	2111.00	USD	-10,450.00	
09AUG17	S	2	PD27OCT17	2025.18	2111.00	USD	-4,291.00	
10AUG17	S	2	PD27OCT17	2034.41	2111.00	USD	-3,829.50	
12SEP17	S	1	PD27OCT17	2122.58	2111.00	USD	289.50	
	NET	0 AVG BGHT 1919.35	SOLD 1947.94				32,159.25	0.00
31JUL17	B	2	PD31OCT17	1917.00	2110.50	USD	9,675.00	
31JUL17	B	1	PD31OCT17	1917.50	2110.50	USD	4,825.00	
31JUL17	B	1	PD31OCT17	1919.00	2110.50	USD	4,787.50	
31JUL17	B	1	PD31OCT17	1918.50	2110.50	USD	4,800.00	
31JUL17	B	4	PD31OCT17	1921.00	2110.50	USD	18,950.00	
31JUL17	S	4	PD31OCT17	1919.00	2110.50	USD	-19,150.00	
31JUL17	B	1	PD31OCT17	1912.50	2110.50	USD	4,950.00	
31JUL17	S	1	PD31OCT17	1916.00	2110.50	USD	-4,862.50	
31JUL17	S	1	PD31OCT17	1918.00	2110.50	USD	-4,812.50	
07AUG17	S	1	PD31OCT17	1962.14	2110.50	USD	-3,709.00	
08AUG17	S	1	PD31OCT17	2028.40	2110.50	USD	-2,052.50	
09AUG17	B	1	PD31OCT17	2026.09	2110.50	USD	2,110.25	
10AUG17	S	2	PD31OCT17	2035.10	2110.50	USD	-3,770.00	
10AUG17	S	1	PD31OCT17	2035.30	2110.50	USD	-1,880.00	
	NET	0 AVG BGHT 1928.33	SOLD 1964.19				9,861.25	0.00
01AUG17	S	1	PD01NOV17	1914.00	2110.81	USD	-4,920.25	
01AUG17	B	1	PD01NOV17	1911.50	2110.81	USD	4,982.75	
01AUG17	B	1	PD01NOV17	1910.50	2110.81	USD	5,007.75	
01AUG17	B	1	PD01NOV17	1911.00	2110.81	USD	4,995.25	
01AUG17	B	1	PD01NOV17	1909.50	2110.81	USD	5,032.75	
01AUG17	B	2	PD01NOV17	1907.50	2110.81	USD	10,165.50	
01AUG17	B	1	PD01NOV17	1907.00	2110.81	USD	5,095.25	
01AUG17	S	1	PD01NOV17	1905.50	2110.81	USD	-5,132.75	
01AUG17	S	1	PD01NOV17	1909.50	2110.81	USD	-5,032.75	
01AUG17	S	1	PD01NOV17	1908.00	2110.81	USD	-5,070.25	
01AUG17	S	2	PD01NOV17	1908.50	2110.81	USD	-10,115.50	
01AUG17	B	1	PD01NOV17	1907.00	2110.81	USD	5,095.25	
08AUG17	S	1	PD01NOV17	2028.60	2110.81	USD	-2,055.25	
09AUG17	B	1	PD01NOV17	2026.27	2110.81	USD	2,113.50	
11SEP17	S	1	PD01NOV17	2112.50	2110.81	USD	42.25	
12SEP17	S	1	PD01NOV17	2124.67	2110.81	USD	346.50	
	NET	0 AVG BGHT 1921.97	SOLD 1968.86				10,550.00	0.00
02AUG17	S	1	PD02NOV17	1909.00	2111.13	USD	-5,053.25	
02AUG17	S	1	PD02NOV17	1912.50	2111.13	USD	-4,965.75	
02AUG17	B	1	PD02NOV17	1914.00	2111.13	USD	4,928.25	
02AUG17	B	1	PD02NOV17	1918.00	2111.13	USD	4,828.25	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
02AUG17	B	1	PD02NOV17	1920.00	2111.13	USD	4,778.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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02AUG17	B	3	PD02NOV17	1920.50	2111.13	USD	14,297.25	
02AUG17	B	1	PD02NOV17	1923.00	2111.13	USD	4,703.25	
02AUG17	B	1	PD02NOV17	1922.00	2111.13	USD	4,728.25	
02AUG17	B	3	PD02NOV17	1926.50	2111.13	USD	13,847.25	
02AUG17	B	1	PD02NOV17	1929.50	2111.13	USD	4,540.75	
02AUG17	B	1	PD02NOV17	1924.00	2111.13	USD	4,678.25	
02AUG17	B	2	PD02NOV17	1925.00	2111.13	USD	9,306.50	
02AUG17	B	1	PD02NOV17	1928.00	2111.13	USD	4,578.25	
02AUG17	S	1	PD02NOV17	1927.00	2111.13	USD	-4,603.25	
02AUG17	B	1	PD02NOV17	1927.00	2111.13	USD	4,603.25	
02AUG17	B	1	PD02NOV17	1922.50	2111.13	USD	4,715.75	
02AUG17	B	1	PD02NOV17	1924.50	2111.13	USD	4,665.75	
02AUG17	B	4	PD02NOV17	1926.00	2111.13	USD	18,513.00	
08AUG17	S	1	PD02NOV17	2028.80	2111.13	USD	-2,058.25	
09AUG17	S	1	PD02NOV17	2026.25	2111.13	USD	-2,122.00	
10AUG17	S	3	PD02NOV17	2035.64	2111.13	USD	-5,661.75	
17AUG17	S	1	PD02NOV17	2073.33	2111.13	USD	-945.00	
23AUG17	S	5	PD02NOV17	2093.12	2111.13	USD	-2,251.25	
30AUG17	S	2	PD02NOV17	2082.95	2111.13	USD	-1,409.00	
11SEP17	S	2	PD02NOV17	2112.79	2111.13	USD	83.00	
12SEP17	S	2	PD02NOV17	2125.08	2111.13	USD	697.50	
25SEP17	S	2	PD02NOV17	2133.11	2111.13	USD	1,099.00	
	NET	0 AVG BGHT 1923.80	SOLD 2055.88				75,944.00	0.00
03AUG17	B	1	PD03NOV17	1929.00	2111.44	USD	4,561.00	
03AUG17	B	2	PD03NOV17	1930.00	2111.44	USD	9,072.00	
03AUG17	B	1	PD03NOV17	1931.00	2111.44	USD	4,511.00	
03AUG17	S	1	PD03NOV17	1927.00	2111.44	USD	-4,611.00	
03AUG17	B	1	PD03NOV17	1927.50	2111.44	USD	4,598.50	
03AUG17	B	1	PD03NOV17	1927.00	2111.44	USD	4,611.00	
03AUG17	S	1	PD03NOV17	1924.50	2111.44	USD	-4,673.50	
03AUG17	S	2	PD03NOV17	1926.00	2111.44	USD	-9,272.00	
03AUG17	S	2	PD03NOV17	1926.50	2111.44	USD	-9,247.00	
03AUG17	S	1	PD03NOV17	1924.00	2111.44	USD	-4,686.00	
03AUG17	S	1	PD03NOV17	1923.00	2111.44	USD	-4,711.00	
03AUG17	S	1	PD03NOV17	1922.50	2111.44	USD	-4,723.50	
03AUG17	S	1	PD03NOV17	1922.00	2111.44	USD	-4,736.00	
03AUG17	B	2	PD03NOV17	1916.50	2111.44	USD	9,747.00	
03AUG17	B	1	PD03NOV17	1917.00	2111.44	USD	4,861.00	
03AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
03AUG17	S	2	PD03NOV17	1918.00	2111.44	USD	-9,672.00	
03AUG17	S	2	PD03NOV17	1918.50	2111.44	USD	-9,647.00	
03AUG17	B	2	PD03NOV17	1917.50	2111.44	USD	9,697.00	
03AUG17	S	2	PD03NOV17	1917.50	2111.44	USD	-9,697.00	
04AUG17	B	1	PD03NOV17	1915.00	2111.44	USD	4,911.00	
04AUG17	B	1	PD03NOV17	1917.00	2111.44	USD	4,861.00	
04AUG17	B	1	PD03NOV17	1918.00	2111.44	USD	4,836.00	
04AUG17	B	2	PD03NOV17	1917.50	2111.44	USD	9,697.00	
04AUG17	B	1	PD03NOV17	1918.50	2111.44	USD	4,823.50	
04AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
04AUG17	B	2	PD03NOV17	1913.00	2111.44	USD	9,922.00	
04AUG17	S	1	PD03NOV17	1913.50	2111.44	USD	-4,948.50	
04AUG17	S	1	PD03NOV17	1908.50	2111.44	USD	-5,073.50	
04AUG17	B	1	PD03NOV17	1906.50	2111.44	USD	5,123.50	
04AUG17	S	2	PD03NOV17	1907.00	2111.44	USD	-10,222.00	
04AUG17	B	1	PD03NOV17	1909.50	2111.44	USD	5,048.50	
04AUG17	S	1	PD03NOV17	1909.00	2111.44	USD	-5,061.00	
04AUG17	B	2	PD03NOV17	1908.00	2111.44	USD	10,172.00	

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04AUG17	B	1	PD03NOV17	1906.00	2111.44	USD	5,136.00	
08AUG17	S	1	PD03NOV17	2029.00	2111.44	USD	-2,061.00	
09AUG17	S	6	PD03NOV17	2026.43	2111.44	USD	-12,751.50	
10AUG17	B	2	PD03NOV17	2036.01	2111.44	USD	3,771.50	
	NET	0 AVG BGHT 1925.97	SOLD 1946.02				14,039.00	0.00
07AUG17	S	1	PD07NOV17	1914.00	2112.69	USD	-4,967.25	
07AUG17	S	1	PD07NOV17	1916.00	2112.69	USD	-4,917.25	
07AUG17	S	1	PD07NOV17	1909.50	2112.69	USD	-5,079.75	
07AUG17	B	1	PD07NOV17	1918.50	2112.69	USD	4,854.75	
07AUG17	B	1	PD07NOV17	1917.00	2112.69	USD	4,892.25	
07AUG17	B	1	PD07NOV17	1916.50	2112.69	USD	4,904.75	
07AUG17	S	2	PD07NOV17	1922.50	2112.69	USD	-9,509.50	
07AUG17	B	1	PD07NOV17	1931.50	2112.69	USD	4,529.75	
07AUG17	B	1	PD07NOV17	1922.50	2112.69	USD	4,754.75	
07AUG17	B	1	PD07NOV17	1931.50	2112.69	USD	4,529.75	
07AUG17	S	1	PD07NOV17	1922.50	2112.69	USD	-4,754.75	
07AUG17	B	1	PD07NOV17	1919.00	2112.69	USD	4,842.25	
07AUG17	S	1	PD07NOV17	1948.50	2112.69	USD	-4,104.75	
07AUG17	S	1	PD07NOV17	1946.00	2112.69	USD	-4,167.25	
07AUG17	S	1	PD07NOV17	1944.50	2112.69	USD	-4,204.75	
07AUG17	S	4	PD07NOV17	1942.00	2112.69	USD	-17,069.00	
07AUG17	B	1	PD07NOV17	1943.50	2112.69	USD	4,229.75	
07AUG17	S	1	PD07NOV17	1943.00	2112.69	USD	-4,242.25	
07AUG17	S	3	PD07NOV17	1942.50	2112.69	USD	-12,764.25	
07AUG17	S	1	PD07NOV17	1943.50	2112.69	USD	-4,229.75	
07AUG17	B	1	PD07NOV17	1944.50	2112.69	USD	4,204.75	
07AUG17	B	1	PD07NOV17	1948.50	2112.69	USD	4,104.75	
07AUG17	B	4	PD07NOV17	1951.50	2112.69	USD	16,119.00	
07AUG17	S	1	PD07NOV17	1953.50	2112.69	USD	-3,979.75	
07AUG17	B	3	PD07NOV17	1951.00	2112.69	USD	12,126.75	
07AUG17	B	20	PD07NOV17	1952.50	2112.69	USD	80,095.00	
07AUG17	S	1	PD07NOV17	1955.00	2112.69	USD	-3,942.25	
07AUG17	B	4	PD07NOV17	1953.50	2112.69	USD	15,919.00	
07AUG17	B	21	PD07NOV17	1952.00	2112.69	USD	84,362.25	
07AUG17	B	9	PD07NOV17	1953.00	2112.69	USD	35,930.25	
07AUG17	B	1	PD07NOV17	1954.50	2112.69	USD	3,954.75	
07AUG17	B	4	PD07NOV17	1954.00	2112.69	USD	15,869.00	
07AUG17	S	1	PD07NOV17	1943.50	2112.69	USD	-4,229.75	
07AUG17	B	1	PD07NOV17	1943.50	2112.69	USD	4,229.75	
07AUG17	S	1	PD07NOV17	1943.00	2112.69	USD	-4,242.25	
07AUG17	S	1	PD07NOV17	1956.00	2112.69	USD	-3,917.25	
07AUG17	S	1	PD07NOV17	1964.50	2112.69	USD	-3,704.75	
07AUG17	S	1	PD07NOV17	1970.50	2112.69	USD	-3,554.75	
07AUG17	S	1	PD07NOV17	1968.50	2112.69	USD	-3,604.75	
07AUG17	S	1	PD07NOV17	1971.50	2112.69	USD	-3,529.75	
07AUG17	S	3	PD07NOV17	1976.50	2112.69	USD	-10,214.25	
08AUG17	B	4	PD07NOV17	2030.00	2112.69	USD	8,269.00	
09AUG17	B	7	PD07NOV17	2027.34	2112.69	USD	14,936.25	
10AUG17	B	1	PD07NOV17	2036.69	2112.69	USD	1,900.00	
22AUG17	S	60	PD07NOV17	2072.25	2112.69	USD	-60,660.00	
05SEP17	B	1	PD07NOV17	2090.93	2112.69	USD	544.00	
	NET	0 AVG BGHT 1961.53	SOLD 2030.21				154,512.50	0.00
08AUG17	B	1	PD08NOV17	1981.00	2113.00	USD	3,300.00	
08AUG17	B	2	PD08NOV17	1982.50	2113.00	USD	6,525.00	
08AUG17	B	2	PD08NOV17	1981.50	2113.00	USD	6,575.00	
08AUG17	S	1	PD08NOV17	1983.00	2113.00	USD	-3,250.00	
08AUG17	S	2	PD08NOV17	1979.50	2113.00	USD	-6,675.00	

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08AUG17	B	1	PD08NOV17	1975.00	2113.00	USD	3,450.00	
08AUG17	B	3	PD08NOV17	1976.00	2113.00	USD	10,275.00	
08AUG17	B	1	PD08NOV17	1974.00	2113.00	USD	3,475.00	
08AUG17	S	1	PD08NOV17	1999.50	2113.00	USD	-2,837.50	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	1996.00	2113.00	USD	-2,925.00	
08AUG17	B	1	PD08NOV17	1989.00	2113.00	USD	3,100.00	
08AUG17	S	1	PD08NOV17	1993.00	2113.00	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	1993.50	2113.00	USD	-2,987.50	
08AUG17	S	2	PD08NOV17	1997.00	2113.00	USD	-5,800.00	
08AUG17	S	2	PD08NOV17	1995.50	2113.00	USD	-5,875.00	
08AUG17	B	4	PD08NOV17	1994.50	2113.00	USD	11,850.00	
08AUG17	B	2	PD08NOV17	1995.00	2113.00	USD	5,900.00	
08AUG17	S	3	PD08NOV17	1994.50	2113.00	USD	-8,887.50	
08AUG17	S	1	PD08NOV17	1994.00	2113.00	USD	-2,975.00	
08AUG17	B	1	PD08NOV17	1997.00	2113.00	USD	2,900.00	
08AUG17	B	4	PD08NOV17	1998.00	2113.00	USD	11,500.00	
08AUG17	B	2	PD08NOV17	1998.50	2113.00	USD	5,725.00	
08AUG17	B	1	PD08NOV17	1996.50	2113.00	USD	2,912.50	
08AUG17	B	3	PD08NOV17	1999.50	2113.00	USD	8,512.50	
08AUG17	B	2	PD08NOV17	2000.00	2113.00	USD	5,650.00	
08AUG17	B	6	PD08NOV17	2001.00	2113.00	USD	16,800.00	
08AUG17	B	4	PD08NOV17	2002.50	2113.00	USD	11,050.00	
08AUG17	B	3	PD08NOV17	2003.50	2113.00	USD	8,212.50	
08AUG17	B	1	PD08NOV17	2002.00	2113.00	USD	2,775.00	
08AUG17	B	4	PD08NOV17	1999.00	2113.00	USD	11,400.00	
08AUG17	B	1	PD08NOV17	2006.00	2113.00	USD	2,675.00	
08AUG17	B	6	PD08NOV17	2005.00	2113.00	USD	16,200.00	
08AUG17	S	1	PD08NOV17	2009.00	2113.00	USD	-2,600.00	
08AUG17	B	1	PD08NOV17	2010.00	2113.00	USD	2,575.00	
08AUG17	B	11	PD08NOV17	2017.00	2113.00	USD	26,400.00	
08AUG17	B	2	PD08NOV17	2016.00	2113.00	USD	4,850.00	
08AUG17	B	1	PD08NOV17	2019.00	2113.00	USD	2,350.00	
08AUG17	B	5	PD08NOV17	2020.00	2113.00	USD	11,625.00	
08AUG17	B	2	PD08NOV17	2020.50	2113.00	USD	4,625.00	
08AUG17	B	8	PD08NOV17	2021.00	2113.00	USD	18,400.00	
08AUG17	B	4	PD08NOV17	2005.00	2113.00	USD	10,800.00	
08AUG17	S	1	PD08NOV17	1993.00	2113.00	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	2029.00	2113.00	USD	-2,100.00	
17AUG17	S	7	PD08NOV17	2074.50	2113.00	USD	-6,737.50	
22AUG17	S	26	PD08NOV17	2072.50	2113.00	USD	-26,325.00	
23AUG17	S	5	PD08NOV17	2094.56	2113.00	USD	-2,305.00	
30AUG17	S	1	PD08NOV17	2084.11	2113.00	USD	-722.25	
05SEP17	S	1	PD08NOV17	2090.99	2113.00	USD	-550.25	
05SEP17	S	5	PD08NOV17	2091.19	2113.00	USD	-2,726.25	
11SEP17	S	5	PD08NOV17	2114.50	2113.00	USD	187.50	
12SEP17	S	3	PD08NOV17	2127.58	2113.00	USD	1,093.50	
14SEP17	S	15	PD08NOV17	2087.25	2113.00	USD	-9,656.25	
15SEP17	S	1	PD08NOV17	2074.75	2113.00	USD	-956.25	
	NET	0AVG BGHT 2004.06	SOLD 2064.84				135,227.25	0.00
09AUG17	S	1	PD09NOV17	2030.50	2113.46	USD	-2,074.00	
09AUG17	B	2	PD09NOV17	2031.00	2113.46	USD	4,123.00	
09AUG17	S	1	PD09NOV17	2025.00	2113.46	USD	-2,211.50	
09AUG17	S	1	PD09NOV17	2030.00	2113.46	USD	-2,086.50	
09AUG17	B	1	PD09NOV17	2029.00	2113.46	USD	2,111.50	
09AUG17	B	4	PD09NOV17	2035.00	2113.46	USD	7,846.00	

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SAP0094X

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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09AUG17	B	1	PD09NOV17	2037.00	2113.46	USD	1,911.50	
09AUG17	B	8	PD09NOV17	2040.50	2113.46	USD	14,592.00	
09AUG17	B	2	PD09NOV17	2042.00	2113.46	USD	3,573.00	
09AUG17	S	1	PD09NOV17	2036.50	2113.46	USD	-1,924.00	
09AUG17	B	1	PD09NOV17	2034.50	2113.46	USD	1,974.00	
09AUG17	S	1	PD09NOV17	2036.00	2113.46	USD	-1,936.50	
09AUG17	S	2	PD09NOV17	2035.50	2113.46	USD	-3,898.00	
09AUG17	S	1	PD09NOV17	2035.00	2113.46	USD	-1,961.50	
09AUG17	S	1	PD09NOV17	2034.00	2113.46	USD	-1,986.50	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
09AUG17	S	2	PD09NOV17	2028.50	2113.46	USD	-4,248.00	
09AUG17	B	1	PD09NOV17	2021.50	2113.46	USD	2,299.00	
09AUG17	B	1	PD09NOV17	2015.00	2113.46	USD	2,461.50	
09AUG17	B	1	PD09NOV17	2016.50	2113.46	USD	2,424.00	
09AUG17	S	1	PD09NOV17	2021.00	2113.46	USD	-2,311.50	
09AUG17	B	1	PD09NOV17	2026.00	2113.46	USD	2,186.50	
09AUG17	S	1	PD09NOV17	2024.00	2113.46	USD	-2,236.50	
10AUG17	B	1	PD09NOV17	2037.03	2113.46	USD	1,910.75	
22AUG17	S	11	PD09NOV17	2072.75	2113.46	USD	-11,195.25	
30AUG17	S	2	PD09NOV17	2084.31	2113.46	USD	-1,457.50	
05SEP17	B	1	PD09NOV17	2091.64	2113.46	USD	545.50	
15SEP17	B	1	PD09NOV17	2075.38	2113.46	USD	952.00	
15SEP17	B	1	PD09NOV17	2075.18	2113.46	USD	957.00	
	NET	0AVG BGHT 2039.58	SOLD 2051.94				8,341.00	0.00
10AUG17	B	1	PD10NOV17	2034.50	2113.93	USD	1,985.75	
10AUG17	B	1	PD10NOV17	2030.50	2113.93	USD	2,085.75	
10AUG17	B	1	PD10NOV17	2034.00	2113.93	USD	1,998.25	
10AUG17	B	1	PD10NOV17	2039.00	2113.93	USD	1,873.25	
10AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
10AUG17	B	1	PD10NOV17	2026.50	2113.93	USD	2,185.75	
10AUG17	S	1	PD10NOV17	2039.50	2113.93	USD	-1,860.75	
10AUG17	S	1	PD10NOV17	2036.50	2113.93	USD	-1,935.75	
10AUG17	S	2	PD10NOV17	2035.00	2113.93	USD	-3,946.50	
10AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
10AUG17	S	2	PD10NOV17	2032.00	2113.93	USD	-4,096.50	
10AUG17	S	1	PD10NOV17	2029.50	2113.93	USD	-2,110.75	
10AUG17	S	2	PD10NOV17	2025.00	2113.93	USD	-4,446.50	
10AUG17	S	2	PD10NOV17	2033.50	2113.93	USD	-4,021.50	
10AUG17	S	1	PD10NOV17	2034.50	2113.93	USD	-1,985.75	
10AUG17	B	2	PD10NOV17	2035.50	2113.93	USD	3,921.50	
10AUG17	B	1	PD10NOV17	2036.50	2113.93	USD	1,935.75	
10AUG17	B	2	PD10NOV17	2038.50	2113.93	USD	3,771.50	
10AUG17	B	2	PD10NOV17	2040.00	2113.93	USD	3,696.50	
10AUG17	B	1	PD10NOV17	2041.00	2113.93	USD	1,823.25	
10AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
10AUG17	S	1	PD10NOV17	2045.50	2113.93	USD	-1,710.75	
10AUG17	B	1	PD10NOV17	2045.00	2113.93	USD	1,723.25	
10AUG17	S	1	PD10NOV17	2043.50	2113.93	USD	-1,760.75	
10AUG17	S	1	PD10NOV17	2039.00	2113.93	USD	-1,873.25	
10AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
11AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
11AUG17	S	1	PD10NOV17	2026.50	2113.93	USD	-2,185.75	
11AUG17	S	1	PD10NOV17	2022.00	2113.93	USD	-2,298.25	
11AUG17	S	1	PD10NOV17	2030.50	2113.93	USD	-2,085.75	
11AUG17	S	2	PD10NOV17	2030.00	2113.93	USD	-4,196.50	
11AUG17	S	1	PD10NOV17	2029.50	2113.93	USD	-2,110.75	
11AUG17	B	1	PD10NOV17	2023.50	2113.93	USD	2,260.75	

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11AUG17	S	1	PD10NOV17	2024.00	2113.93	USD	-2,248.25	
11AUG17	S	1	PD10NOV17	2023.50	2113.93	USD	-2,260.75	
11AUG17	S	2	PD10NOV17	2021.50	2113.93	USD	-4,621.50	
11AUG17	B	3	PD10NOV17	2024.50	2113.93	USD	6,707.25	
11AUG17	B	1	PD10NOV17	2029.00	2113.93	USD	2,123.25	
11AUG17	B	1	PD10NOV17	2036.00	2113.93	USD	1,948.25	
11AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
11AUG17	B	1	PD10NOV17	2044.50	2113.93	USD	1,735.75	
11AUG17	S	2	PD10NOV17	2040.00	2113.93	USD	-3,696.50	
11AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
11AUG17	S	2	PD10NOV17	2041.00	2113.93	USD	-3,646.50	
11AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
11AUG17	B	1	PD10NOV17	2045.00	2113.93	USD	1,723.25	
17AUG17	B	3	PD10NOV17	2075.20	2113.93	USD	2,904.75	
22AUG17	B	2	PD10NOV17	2073.20	2113.93	USD	2,036.50	
05SEP17	B	2	PD10NOV17	2091.90	2113.93	USD	1,101.50	
11SEP17	B	1	PD10NOV17	2115.27	2113.93	USD	-33.50	
12SEP17	B	2	PD10NOV17	2128.62	2113.93	USD	-734.50	
15SEP17	S	1	PD10NOV17	2075.41	2113.93	USD	-963.00	
	NET	0 AVG BGHT 2051.22	SOLD 2034.33				-14,785.00	0.00
14AUG17	S	1	PD14NOV17	2041.50	2115.79	USD	-1,857.25	
14AUG17	S	1	PD14NOV17	2040.50	2115.79	USD	-1,882.25	
14AUG17	B	1	PD14NOV17	2029.00	2115.79	USD	2,169.75	
14AUG17	S	1	PD14NOV17	2040.00	2115.79	USD	-1,894.75	
14AUG17	S	1	PD14NOV17	2036.00	2115.79	USD	-1,994.75	
14AUG17	S	1	PD14NOV17	2045.00	2115.79	USD	-1,769.75	
14AUG17	B	1	PD14NOV17	2045.50	2115.79	USD	1,757.25	
14AUG17	S	4	PD14NOV17	2030.50	2115.79	USD	-8,529.00	
14AUG17	B	1	PD14NOV17	2028.00	2115.79	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2027.50	2115.79	USD	2,207.25	
14AUG17	S	5	PD14NOV17	2029.00	2115.79	USD	-10,848.75	
14AUG17	S	1	PD14NOV17	2036.50	2115.79	USD	-1,982.25	
14AUG17	S	1	PD14NOV17	2032.00	2115.79	USD	-2,094.75	
14AUG17	B	3	PD14NOV17	2043.50	2115.79	USD	5,421.75	
14AUG17	S	2	PD14NOV17	2031.00	2115.79	USD	-4,239.50	
14AUG17	S	6	PD14NOV17	2033.00	2115.79	USD	-12,418.50	
14AUG17	B	1	PD14NOV17	2032.50	2115.79	USD	2,082.25	
14AUG17	S	1	PD14NOV17	2033.50	2115.79	USD	-2,057.25	
14AUG17	S	1	PD14NOV17	2034.50	2115.79	USD	-2,032.25	
14AUG17	S	2	PD14NOV17	2034.00	2115.79	USD	-4,089.50	
14AUG17	S	1	PD14NOV17	2032.50	2115.79	USD	-2,082.25	
14AUG17	B	3	PD14NOV17	2033.00	2115.79	USD	6,209.25	
14AUG17	S	3	PD14NOV17	2030.00	2115.79	USD	-6,434.25	
14AUG17	B	1	PD14NOV17	2030.50	2115.79	USD	2,132.25	
14AUG17	B	1	PD14NOV17	2031.50	2115.79	USD	2,107.25	
14AUG17	B	1	PD14NOV17	2029.50	2115.79	USD	2,157.25	
14AUG17	B	1	PD14NOV17	2024.00	2115.79	USD	2,294.75	
17AUG17	B	5	PD14NOV17	2076.20	2115.79	USD	4,948.75	
22AUG17	B	5	PD14NOV17	2074.20	2115.79	USD	5,198.75	
05SEP17	B	2	PD14NOV17	2092.74	2115.79	USD	1,152.50	
05SEP17	B	2	PD14NOV17	2092.94	2115.79	USD	1,142.50	
11SEP17	B	2	PD14NOV17	2116.41	2115.79	USD	-31.00	
12SEP17	B	2	PD14NOV17	2130.28	2115.79	USD	-724.50	
19SEP17	S	1	PD14NOV17	2114.83	2115.79	USD	-24.00	
	NET	0 AVG BGHT 2064.37	SOLD 2035.51				-23,810.25	0.00
15AUG17	S	15	PD15NOV17	2028.00	2116.25	USD	-33,093.75	
15AUG17	S	1	PD15NOV17	2026.00	2116.25	USD	-2,256.25	

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15AUG17	S	5	PD15NOV17	2025.50	2116.25	USD	-11,343.75	
15AUG17	S	1	PD15NOV17	2022.50	2116.25	USD	-2,343.75	
15AUG17	B	1	PD15NOV17	2030.00	2116.25	USD	2,156.25	
15AUG17	B	1	PD15NOV17	2031.00	2116.25	USD	2,131.25	
15AUG17	B	1	PD15NOV17	2032.00	2116.25	USD	2,106.25	
15AUG17	B	1	PD15NOV17	2034.00	2116.25	USD	2,056.25	
15AUG17	B	1	PD15NOV17	2035.50	2116.25	USD	2,018.75	
15AUG17	S	1	PD15NOV17	2054.00	2116.25	USD	-1,556.25	
15AUG17	S	1	PD15NOV17	2053.50	2116.25	USD	-1,568.75	
15AUG17	S	1	PD15NOV17	2051.50	2116.25	USD	-1,618.75	
15AUG17	S	2	PD15NOV17	2050.00	2116.25	USD	-3,312.50	
15AUG17	S	1	PD15NOV17	2052.00	2116.25	USD	-1,606.25	
15AUG17	B	7	PD15NOV17	2052.00	2116.25	USD	11,243.75	
15AUG17	B	1	PD15NOV17	2053.50	2116.25	USD	1,568.75	
15AUG17	S	2	PD15NOV17	2051.00	2116.25	USD	-3,262.50	
15AUG17	S	1	PD15NOV17	2048.00	2116.25	USD	-1,706.25	
15AUG17	B	1	PD15NOV17	2044.50	2116.25	USD	1,793.75	
15AUG17	B	1	PD15NOV17	2046.00	2116.25	USD	1,756.25	
15AUG17	S	1	PD15NOV17	2046.00	2116.25	USD	-1,756.25	
15AUG17	B	1	PD15NOV17	2043.50	2116.25	USD	1,818.75	
15AUG17	B	1	PD15NOV17	2044.00	2116.25	USD	1,806.25	
15AUG17	S	2	PD15NOV17	2049.50	2116.25	USD	-3,337.50	
15AUG17	S	3	PD15NOV17	2050.50	2116.25	USD	-4,931.25	
22AUG17	B	20	PD15NOV17	2074.45	2116.25	USD	20,900.00	
05SEP17	S	2	PD15NOV17	2092.80	2116.25	USD	-1,172.50	
11SEP17	B	2	PD15NOV17	2116.70	2116.25	USD	-22.50	
12SEP17	S	2	PD15NOV17	2130.50	2116.25	USD	712.50	
12SEP17	B	1	PD15NOV17	2130.70	2116.25	USD	-361.25	
19SEP17	B	1	PD15NOV17	2115.45	2116.25	USD	20.00	
	NET	0AVG BGHT 2066.50	SOLD 2043.90				-23,161.25	0.00
16AUG17	S	1	PD16NOV17	2051.00	2116.56	USD	-1,639.00	
16AUG17	B	1	PD16NOV17	2053.50	2116.56	USD	1,576.50	
16AUG17	B	1	PD16NOV17	2055.00	2116.56	USD	1,539.00	
16AUG17	S	1	PD16NOV17	2061.00	2116.56	USD	-1,389.00	
16AUG17	S	1	PD16NOV17	2064.00	2116.56	USD	-1,314.00	
16AUG17	B	2	PD16NOV17	2064.00	2116.56	USD	2,628.00	
16AUG17	B	1	PD16NOV17	2061.00	2116.56	USD	1,389.00	
16AUG17	B	1	PD16NOV17	2065.00	2116.56	USD	1,289.00	
16AUG17	S	1	PD16NOV17	2061.50	2116.56	USD	-1,376.50	
16AUG17	B	2	PD16NOV17	2060.00	2116.56	USD	2,828.00	
16AUG17	B	2	PD16NOV17	2064.50	2116.56	USD	2,603.00	
16AUG17	B	1	PD16NOV17	2067.50	2116.56	USD	1,226.50	
16AUG17	B	1	PD16NOV17	2074.50	2116.56	USD	1,051.50	
16AUG17	B	1	PD16NOV17	2068.00	2116.56	USD	1,214.00	
16AUG17	B	1	PD16NOV17	2068.50	2116.56	USD	1,201.50	
16AUG17	B	1	PD16NOV17	2071.00	2116.56	USD	1,139.00	
16AUG17	B	1	PD16NOV17	2072.00	2116.56	USD	1,114.00	
16AUG17	B	1	PD16NOV17	2070.50	2116.56	USD	1,151.50	
16AUG17	S	2	PD16NOV17	2074.00	2116.56	USD	-2,128.00	
16AUG17	B	2	PD16NOV17	2076.50	2116.56	USD	2,003.00	
16AUG17	B	1	PD16NOV17	2080.00	2116.56	USD	914.00	
16AUG17	B	1	PD16NOV17	2091.50	2116.56	USD	626.50	
16AUG17	B	1	PD16NOV17	2092.00	2116.56	USD	614.00	
16AUG17	S	1	PD16NOV17	2090.50	2116.56	USD	-651.50	
16AUG17	S	1	PD16NOV17	2089.00	2116.56	USD	-689.00	
16AUG17	S	1	PD16NOV17	2088.50	2116.56	USD	-701.50	
16AUG17	B	1	PD16NOV17	2096.00	2116.56	USD	514.00	

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16AUG17	S	1	PD16NOV17	2094.50	2116.56	USD	-551.50	
16AUG17	B	1	PD16NOV17	2096.50	2116.56	USD	501.50	
16AUG17	B	2	PD16NOV17	2098.00	2116.56	USD	928.00	
16AUG17	B	2	PD16NOV17	2095.00	2116.56	USD	1,078.00	
16AUG17	B	1	PD16NOV17	2101.50	2116.56	USD	376.50	
16AUG17	B	2	PD16NOV17	2094.00	2116.56	USD	1,128.00	
16AUG17	B	1	PD16NOV17	2087.00	2116.56	USD	739.00	
30AUG17	S	4	PD16NOV17	2085.75	2116.56	USD	-3,081.00	
05SEP17	S	6	PD16NOV17	2092.38	2116.56	USD	-3,627.00	
06SEP17	S	2	PD16NOV17	2100.63	2116.56	USD	-796.50	
11SEP17	S	5	PD16NOV17	2115.88	2116.56	USD	-85.00	
12SEP17	B	1	PD16NOV17	2129.88	2116.56	USD	-333.00	
12SEP17	S	4	PD16NOV17	2129.88	2116.56	USD	1,332.00	
20SEP17	S	2	PD16NOV17	2167.53	2116.56	USD	2,548.50	
	NET	0AVG	BGHT 2078.94	SOLD 2099.41			16,891.00	0.00
17AUG17	S	1	PD17NOV17	2091.50	2116.87	USD	-634.25	
17AUG17	B	1	PD17NOV17	2090.50	2116.87	USD	659.25	
17AUG17	B	1	PD17NOV17	2094.00	2116.87	USD	571.75	
17AUG17	S	1	PD17NOV17	2097.50	2116.87	USD	-484.25	
17AUG17	S	1	PD17NOV17	2109.50	2116.87	USD	-184.25	
17AUG17	S	1	PD17NOV17	2109.00	2116.87	USD	-196.75	
17AUG17	S	1	PD17NOV17	2101.00	2116.87	USD	-396.75	
17AUG17	B	1	PD17NOV17	2101.00	2116.87	USD	396.75	
17AUG17	S	2	PD17NOV17	2107.00	2116.87	USD	-493.50	
17AUG17	S	1	PD17NOV17	2080.00	2116.87	USD	-921.75	
17AUG17	S	1	PD17NOV17	2107.50	2116.87	USD	-234.25	
17AUG17	B	3	PD17NOV17	2081.00	2116.87	USD	2,690.25	
17AUG17	B	1	PD17NOV17	2082.00	2116.87	USD	871.75	
17AUG17	B	1	PD17NOV17	2082.50	2116.87	USD	859.25	
17AUG17	S	5	PD17NOV17	2082.50	2116.87	USD	-4,296.25	
17AUG17	B	1	PD17NOV17	2083.50	2116.87	USD	834.25	
17AUG17	B	1	PD17NOV17	2084.00	2116.87	USD	821.75	
17AUG17	B	1	PD17NOV17	2067.50	2116.87	USD	1,234.25	
17AUG17	S	1	PD17NOV17	2076.00	2116.87	USD	-1,021.75	
17AUG17	S	1	PD17NOV17	2069.50	2116.87	USD	-1,184.25	
17AUG17	S	3	PD17NOV17	2071.00	2116.87	USD	-3,440.25	
17AUG17	B	1	PD17NOV17	2076.00	2116.87	USD	1,021.75	
17AUG17	S	1	PD17NOV17	2077.00	2116.87	USD	-996.75	
17AUG17	S	2	PD17NOV17	2074.00	2116.87	USD	-2,143.50	
17AUG17	S	2	PD17NOV17	2078.00	2116.87	USD	-1,943.50	
17AUG17	B	1	PD17NOV17	2073.50	2116.87	USD	1,084.25	
17AUG17	S	3	PD17NOV17	2068.50	2116.87	USD	-3,627.75	
18AUG17	S	1	PD17NOV17	2065.00	2116.87	USD	-1,296.75	
18AUG17	B	1	PD17NOV17	2068.50	2116.87	USD	1,209.25	
18AUG17	B	1	PD17NOV17	2071.00	2116.87	USD	1,146.75	
18AUG17	S	2	PD17NOV17	2072.50	2116.87	USD	-2,218.50	
18AUG17	S	11	PD17NOV17	2071.00	2116.87	USD	-12,614.25	
18AUG17	S	25	PD17NOV17	2072.00	2116.87	USD	-28,043.75	
18AUG17	S	29	PD17NOV17	2071.50	2116.87	USD	-32,893.25	
18AUG17	B	1	PD17NOV17	2081.50	2116.87	USD	884.25	
18AUG17	B	1	PD17NOV17	2085.50	2116.87	USD	784.25	
18AUG17	B	1	PD17NOV17	2084.50	2116.87	USD	809.25	
18AUG17	S	1	PD17NOV17	2084.00	2116.87	USD	-821.75	
18AUG17	S	1	PD17NOV17	2082.50	2116.87	USD	-859.25	
18AUG17	S	1	PD17NOV17	2082.00	2116.87	USD	-871.75	
18AUG17	S	1	PD17NOV17	2071.00	2116.87	USD	-1,146.75	
18AUG17	S	2	PD17NOV17	2071.50	2116.87	USD	-2,268.50	

OPEN POSITION STATEMENT



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SAP0094X

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18AUG17	B	1	PD17NOV17	2084.50	2116.87	USD	809.25	
18AUG17	B	1	PD17NOV17	2086.50	2116.87	USD	759.25	
18AUG17	S	1	PD17NOV17	2088.50	2116.87	USD	-709.25	
18AUG17	B	1	PD17NOV17	2087.50	2116.87	USD	734.25	
18AUG17	S	1	PD17NOV17	2087.50	2116.87	USD	-734.25	
18AUG17	S	1	PD17NOV17	2079.50	2116.87	USD	-934.25	
18AUG17	S	1	PD17NOV17	2069.50	2116.87	USD	-1,184.25	
18AUG17	B	2	PD17NOV17	2063.00	2116.87	USD	2,693.50	
18AUG17	S	1	PD17NOV17	2062.00	2116.87	USD	-1,371.75	
22AUG17	B	70	PD17NOV17	2074.16	2116.87	USD	74,742.50	
23AUG17	B	7	PD17NOV17	2096.11	2116.87	USD	3,633.00	
05SEP17	B	4	PD17NOV17	2091.95	2116.87	USD	2,492.00	
11SEP17	B	2	PD17NOV17	2115.45	2116.87	USD	71.00	
12SEP17	B	1	PD17NOV17	2129.45	2116.87	USD	-314.50	
12SEP17	S	1	PD17NOV17	2129.05	2116.87	USD	304.50	
15SEP17	S	1	PD17NOV17	2078.32	2116.87	USD	-963.75	
20SEP17	B	2	PD17NOV17	2168.01	2116.87	USD	-2,557.00	
	NET	+1AVG BGHT 2080.56	SOLD 2075.82				-11,885.00	0.00
21AUG17	B	1	PD21NOV17	2096.50	2118.10	USD	540.00	
21AUG17	S	1	PD21NOV17	2087.50	2118.10	USD	-765.00	
21AUG17	S	1	PD21NOV17	2076.00	2118.10	USD	-1,052.50	
21AUG17	B	1	PD21NOV17	2074.50	2118.10	USD	1,090.00	
21AUG17	S	1	PD21NOV17	2076.50	2118.10	USD	-1,040.00	
21AUG17	B	1	PD21NOV17	2073.50	2118.10	USD	1,115.00	
21AUG17	B	1	PD21NOV17	2077.50	2118.10	USD	1,015.00	
21AUG17	B	1	PD21NOV17	2076.50	2118.10	USD	1,040.00	
05SEP17	S	2	PD21NOV17	2092.69	2118.10	USD	-1,270.50	
11SEP17	S	1	PD21NOV17	2116.38	2118.10	USD	-43.00	
12SEP17	B	2	PD21NOV17	2130.69	2118.10	USD	-629.50	
	NET	+1AVG BGHT 2094.27	SOLD 2090.29				-0.50	0.00
22AUG17	S	1	PD22NOV17	2071.00	2118.41	USD	-1,185.25	
22AUG17	S	1	PD22NOV17	2070.00	2118.41	USD	-1,210.25	
22AUG17	S	1	PD22NOV17	2075.50	2118.41	USD	-1,072.75	
22AUG17	S	1	PD22NOV17	2075.00	2118.41	USD	-1,085.25	
22AUG17	S	3	PD22NOV17	2074.00	2118.41	USD	-3,330.75	
22AUG17	S	3	PD22NOV17	2074.50	2118.41	USD	-3,293.25	
22AUG17	S	1	PD22NOV17	2073.50	2118.41	USD	-1,122.75	
22AUG17	S	3	PD22NOV17	2077.50	2118.41	USD	-3,068.25	
22AUG17	B	1	PD22NOV17	2082.00	2118.41	USD	910.25	
22AUG17	B	1	PD22NOV17	2080.50	2118.41	USD	947.75	
22AUG17	S	1	PD22NOV17	2078.50	2118.41	USD	-997.75	
22AUG17	B	1	PD22NOV17	2079.00	2118.41	USD	985.25	
22AUG17	B	1	PD22NOV17	2080.00	2118.41	USD	960.25	
22AUG17	B	1	PD22NOV17	2083.00	2118.41	USD	885.25	
22AUG17	B	1	PD22NOV17	2081.00	2118.41	USD	935.25	
22AUG17	B	1	PD22NOV17	2076.00	2118.41	USD	1,060.25	
22AUG17	B	3	PD22NOV17	2077.00	2118.41	USD	3,105.75	
22AUG17	B	1	PD22NOV17	2075.50	2118.41	USD	1,072.75	
22AUG17	S	1	PD22NOV17	2072.50	2118.41	USD	-1,147.75	
22AUG17	S	1	PD22NOV17	2071.50	2118.41	USD	-1,172.75	
22AUG17	B	1	PD22NOV17	2074.00	2118.41	USD	1,110.25	
22AUG17	S	1	PD22NOV17	2069.00	2118.41	USD	-1,235.25	
22AUG17	S	2	PD22NOV17	2083.50	2118.41	USD	-1,745.50	
22AUG17	S	2	PD22NOV17	2081.00	2118.41	USD	-1,870.50	
22AUG17	S	5	PD22NOV17	2076.00	2118.41	USD	-5,301.25	
22AUG17	S	1	PD22NOV17	2077.00	2118.41	USD	-1,035.25	
22AUG17	S	4	PD22NOV17	2076.50	2118.41	USD	-4,191.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22AUG17	B	1	PD22NOV17	2079.50	2118.41	USD	972.75	
23AUG17	B	3	PD22NOV17	2096.89	2118.41	USD	1,614.00	
05SEP17	B	3	PD22NOV17	2093.13	2118.41	USD	1,896.00	
14SEP17	B	10	PD22NOV17	2092.32	2118.41	USD	6,522.50	
15SEP17	B	1	PD22NOV17	2079.93	2118.41	USD	962.00	
	NET	-2 AVG BGHT 2086.49	SOLD 2075.83				-10,125.25	0.00
23AUG17	S	1	PD24NOV17	2066.00	2119.03	USD	-1,325.75	
23AUG17	S	1	PD24NOV17	2067.00	2119.03	USD	-1,300.75	
23AUG17	B	1	PD24NOV17	2069.50	2119.03	USD	1,238.25	
23AUG17	S	1	PD24NOV17	2074.00	2119.03	USD	-1,125.75	
23AUG17	S	1	PD24NOV17	2072.50	2119.03	USD	-1,163.25	
23AUG17	S	1	PD24NOV17	2072.00	2119.03	USD	-1,175.75	
23AUG17	B	1	PD24NOV17	2080.50	2119.03	USD	963.25	
23AUG17	B	1	PD24NOV17	2078.00	2119.03	USD	1,025.75	
23AUG17	B	1	PD24NOV17	2082.50	2119.03	USD	913.25	
23AUG17	B	3	PD24NOV17	2083.00	2119.03	USD	2,702.25	
23AUG17	S	1	PD24NOV17	2083.50	2119.03	USD	-888.25	
23AUG17	S	1	PD24NOV17	2087.50	2119.03	USD	-788.25	
23AUG17	B	2	PD24NOV17	2090.00	2119.03	USD	1,451.50	
23AUG17	B	2	PD24NOV17	2089.00	2119.03	USD	1,501.50	
23AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
23AUG17	B	1	PD24NOV17	2092.00	2119.03	USD	675.75	
23AUG17	B	2	PD24NOV17	2093.00	2119.03	USD	1,301.50	
23AUG17	B	1	PD24NOV17	2093.50	2119.03	USD	638.25	
23AUG17	S	1	PD24NOV17	2094.50	2119.03	USD	-613.25	
23AUG17	B	2	PD24NOV17	2094.50	2119.03	USD	1,226.50	
23AUG17	B	1	PD24NOV17	2090.50	2119.03	USD	713.25	
23AUG17	B	2	PD24NOV17	2089.50	2119.03	USD	1,476.50	
23AUG17	S	1	PD24NOV17	2092.50	2119.03	USD	-663.25	
23AUG17	B	1	PD24NOV17	2085.50	2119.03	USD	838.25	
23AUG17	S	1	PD24NOV17	2101.00	2119.03	USD	-450.75	
23AUG17	S	1	PD24NOV17	2105.50	2119.03	USD	-338.25	
23AUG17	S	1	PD24NOV17	2103.50	2119.03	USD	-388.25	
23AUG17	S	1	PD24NOV17	2117.50	2119.03	USD	-38.25	
23AUG17	B	2	PD24NOV17	2110.00	2119.03	USD	451.50	
23AUG17	S	1	PD24NOV17	2106.00	2119.03	USD	-325.75	
24AUG17	B	1	PD24NOV17	2103.00	2119.03	USD	400.75	
24AUG17	S	3	PD24NOV17	2097.00	2119.03	USD	-1,652.25	
24AUG17	S	2	PD24NOV17	2096.00	2119.03	USD	-1,151.50	
24AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
24AUG17	S	1	PD24NOV17	2100.50	2119.03	USD	-463.25	
24AUG17	S	2	PD24NOV17	2099.00	2119.03	USD	-1,001.50	
24AUG17	S	2	PD24NOV17	2095.00	2119.03	USD	-1,201.50	
24AUG17	S	1	PD24NOV17	2094.00	2119.03	USD	-625.75	
24AUG17	S	1	PD24NOV17	2090.50	2119.03	USD	-713.25	
24AUG17	S	1	PD24NOV17	2092.00	2119.03	USD	-675.75	
24AUG17	B	1	PD24NOV17	2092.50	2119.03	USD	663.25	
24AUG17	B	1	PD24NOV17	2102.00	2119.03	USD	425.75	
24AUG17	S	2	PD24NOV17	2103.50	2119.03	USD	-776.50	
24AUG17	S	1	PD24NOV17	2103.00	2119.03	USD	-400.75	
24AUG17	S	5	PD24NOV17	2102.50	2119.03	USD	-2,066.25	
24AUG17	S	1	PD24NOV17	2101.50	2119.03	USD	-438.25	
24AUG17	S	1	PD24NOV17	2100.00	2119.03	USD	-475.75	
24AUG17	S	3	PD24NOV17	2102.00	2119.03	USD	-1,277.25	
24AUG17	S	1	PD24NOV17	2102.00	2119.03	USD	-425.75	
24AUG17	S	1	PD24NOV17	2119.00	2119.03	USD	-0.75	
25AUG17	S	1	PD24NOV17	2109.00	2119.03	USD	-250.75	

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25AUG17	B	1	PD24NOV17	2102.00	2119.03	USD	425.75	
25AUG17	B	1	PD24NOV17	2112.00	2119.03	USD	175.75	
25AUG17	B	1	PD24NOV17	2100.00	2119.03	USD	475.75	
25AUG17	B	1	PD24NOV17	2098.50	2119.03	USD	513.25	
25AUG17	B	1	PD24NOV17	2097.50	2119.03	USD	538.25	
25AUG17	B	1	PD24NOV17	2096.00	2119.03	USD	575.75	
25AUG17	B	1	PD24NOV17	2095.50	2119.03	USD	588.25	
25AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
25AUG17	B	1	PD24NOV17	2094.50	2119.03	USD	613.25	
25AUG17	B	1	PD24NOV17	2093.50	2119.03	USD	638.25	
25AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
25AUG17	S	1	PD24NOV17	2096.00	2119.03	USD	-575.75	
25AUG17	S	1	PD24NOV17	2095.50	2119.03	USD	-588.25	
25AUG17	S	1	PD24NOV17	2094.50	2119.03	USD	-613.25	
25AUG17	B	1	PD24NOV17	2098.00	2119.03	USD	525.75	
25AUG17	B	3	PD24NOV17	2097.00	2119.03	USD	1,652.25	
25AUG17	B	1	PD24NOV17	2086.50	2119.03	USD	813.25	
25AUG17	S	1	PD24NOV17	2086.50	2119.03	USD	-813.25	
25AUG17	S	1	PD24NOV17	2086.00	2119.03	USD	-825.75	
25AUG17	S	1	PD24NOV17	2085.00	2119.03	USD	-850.75	
25AUG17	B	1	PD24NOV17	2085.50	2119.03	USD	838.25	
25AUG17	B	1	PD24NOV17	2091.00	2119.03	USD	700.75	
25AUG17	S	1	PD24NOV17	2093.00	2119.03	USD	-650.75	
25AUG17	S	4	PD24NOV17	2096.00	2119.03	USD	-2,303.00	
25AUG17	S	2	PD24NOV17	2095.50	2119.03	USD	-1,176.50	
25AUG17	S	1	PD24NOV17	2095.00	2119.03	USD	-600.75	
25AUG17	S	1	PD24NOV17	2076.50	2119.03	USD	-1,063.25	
25AUG17	B	2	PD24NOV17	2071.00	2119.03	USD	2,401.50	
30AUG17	B	8	PD24NOV17	2086.55	2119.03	USD	6,496.00	
05SEP17	S	1	PD24NOV17	2093.40	2119.03	USD	-640.75	
11SEP17	B	1	PD24NOV17	2117.42	2119.03	USD	40.25	
12SEP17	B	5	PD24NOV17	2131.62	2119.03	USD	-1,573.75	
15SEP17	S	1	PD24NOV17	2080.29	2119.03	USD	-968.50	
	NET	0 AVG BGHT 2094.83	SOLD 2095.15				481.75	0.00
29AUG17	B	2	PD29NOV17	2076.00	2120.57	USD	2,228.50	
29AUG17	B	1	PD29NOV17	2077.00	2120.57	USD	1,089.25	
29AUG17	B	1	PD29NOV17	2080.00	2120.57	USD	1,014.25	
29AUG17	B	1	PD29NOV17	2075.50	2120.57	USD	1,126.75	
29AUG17	S	1	PD29NOV17	2071.50	2120.57	USD	-1,226.75	
29AUG17	S	1	PD29NOV17	2071.00	2120.57	USD	-1,239.25	
29AUG17	B	1	PD29NOV17	2072.00	2120.57	USD	1,214.25	
29AUG17	B	1	PD29NOV17	2082.50	2120.57	USD	951.75	
29AUG17	S	1	PD29NOV17	2099.50	2120.57	USD	-526.75	
29AUG17	S	1	PD29NOV17	2098.00	2120.57	USD	-564.25	
29AUG17	S	1	PD29NOV17	2098.50	2120.57	USD	-551.75	
29AUG17	S	1	PD29NOV17	2097.50	2120.57	USD	-576.75	
29AUG17	S	2	PD29NOV17	2099.00	2120.57	USD	-1,078.50	
29AUG17	S	3	PD29NOV17	2095.00	2120.57	USD	-1,917.75	
29AUG17	S	1	PD29NOV17	2094.00	2120.57	USD	-664.25	
30AUG17	B	1	PD29NOV17	2087.51	2120.57	USD	826.50	
05SEP17	B	1	PD29NOV17	2094.78	2120.57	USD	644.75	
12SEP17	B	2	PD29NOV17	2132.97	2120.57	USD	-620.00	
15SEP17	B	1	PD29NOV17	2081.91	2120.57	USD	966.50	
	NET	0 AVG BGHT 2089.10	SOLD 2092.75				1,096.50	0.00
30AUG17	B	1	PD30NOV17	2093.50	2120.88	USD	684.50	
30AUG17	B	1	PD30NOV17	2097.00	2120.88	USD	597.00	
30AUG17	B	1	PD30NOV17	2086.50	2120.88	USD	859.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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30AUG17	B	1	PD30NOV17	2087.00	2120.88	USD	847.00	
30AUG17	B	1	PD30NOV17	2094.00	2120.88	USD	672.00	
30AUG17	B	2	PD30NOV17	2087.50	2120.88	USD	1,669.00	
30AUG17	B	2	PD30NOV17	2086.00	2120.88	USD	1,744.00	
30AUG17	B	2	PD30NOV17	2088.50	2120.88	USD	1,619.00	
30AUG17	S	5	PD30NOV17	2088.00	2120.88	USD	-4,110.00	
30AUG17	S	1	PD30NOV17	2090.50	2120.88	USD	-759.50	
30AUG17	S	1	PD30NOV17	2090.00	2120.88	USD	-772.00	
30AUG17	S	1	PD30NOV17	2086.50	2120.88	USD	-859.50	
30AUG17	B	1	PD30NOV17	2089.00	2120.88	USD	797.00	
30AUG17	S	2	PD30NOV17	2089.00	2120.88	USD	-1,594.00	
31AUG17	B	3	PD30NOV17	2093.00	2120.88	USD	2,091.00	
31AUG17	B	2	PD30NOV17	2093.50	2120.88	USD	1,369.00	
31AUG17	B	7	PD30NOV17	2094.00	2120.88	USD	4,704.00	
31AUG17	B	3	PD30NOV17	2095.00	2120.88	USD	1,941.00	
31AUG17	B	2	PD30NOV17	2096.00	2120.88	USD	1,244.00	
31AUG17	B	1	PD30NOV17	2098.00	2120.88	USD	572.00	
31AUG17	B	1	PD30NOV17	2101.00	2120.88	USD	497.00	
31AUG17	S	1	PD30NOV17	2102.00	2120.88	USD	-472.00	
31AUG17	B	1	PD30NOV17	2099.50	2120.88	USD	534.50	
31AUG17	B	1	PD30NOV17	2099.00	2120.88	USD	547.00	
31AUG17	S	1	PD30NOV17	2131.50	2120.88	USD	265.50	
31AUG17	S	3	PD30NOV17	2132.00	2120.88	USD	834.00	
31AUG17	S	1	PD30NOV17	2132.50	2120.88	USD	290.50	
31AUG17	S	2	PD30NOV17	2129.50	2120.88	USD	431.00	
31AUG17	B	5	PD30NOV17	2131.00	2120.88	USD	-1,265.00	
31AUG17	B	3	PD30NOV17	2130.50	2120.88	USD	-721.50	
31AUG17	S	4	PD30NOV17	2130.00	2120.88	USD	912.00	
31AUG17	S	1	PD30NOV17	2128.00	2120.88	USD	178.00	
31AUG17	B	1	PD30NOV17	2127.50	2120.88	USD	-165.50	
31AUG17	B	1	PD30NOV17	2124.00	2120.88	USD	-78.00	
31AUG17	B	2	PD30NOV17	2118.00	2120.88	USD	144.00	
31AUG17	B	2	PD30NOV17	2118.50	2120.88	USD	119.00	
31AUG17	B	2	PD30NOV17	2119.00	2120.88	USD	94.00	
31AUG17	S	2	PD30NOV17	2131.00	2120.88	USD	506.00	
31AUG17	S	1	PD30NOV17	2131.50	2120.88	USD	265.50	
31AUG17	B	1	PD30NOV17	2116.00	2120.88	USD	122.00	
06SEP17	S	2	PD30NOV17	2103.08	2120.88	USD	-890.00	
11SEP17	S	1	PD30NOV17	2118.91	2120.88	USD	-49.25	
12SEP17	S	3	PD30NOV17	2133.28	2120.88	USD	930.00	
14SEP17	S	15	PD30NOV17	2094.26	2120.88	USD	-9,982.50	
15SEP17	S	2	PD30NOV17	2081.99	2120.88	USD	-1,944.50	
19SEP17	S	1	PD30NOV17	2119.55	2120.88	USD	-33.25	
	NET	0 AVG BGHT 2103.89	SOLD 2107.40				4,383.50	0.00
01SEP17	B	1	PD01DEC17	2128.00	2121.19	USD	-170.25	
01SEP17	S	1	PD01DEC17	2140.00	2121.19	USD	470.25	
01SEP17	S	1	PD01DEC17	2144.00	2121.19	USD	570.25	
01SEP17	S	1	PD01DEC17	2142.00	2121.19	USD	520.25	
01SEP17	B	1	PD01DEC17	2124.00	2121.19	USD	-70.25	
01SEP17	B	3	PD01DEC17	2122.50	2121.19	USD	-98.25	
01SEP17	S	2	PD01DEC17	2125.00	2121.19	USD	190.50	
01SEP17	B	1	PD01DEC17	2129.00	2121.19	USD	-195.25	
01SEP17	B	2	PD01DEC17	2128.50	2121.19	USD	-365.50	
01SEP17	B	2	PD01DEC17	2127.00	2121.19	USD	-290.50	
01SEP17	B	3	PD01DEC17	2126.00	2121.19	USD	-360.75	
01SEP17	B	1	PD01DEC17	2130.50	2121.19	USD	-232.75	
01SEP17	B	1	PD01DEC17	2131.00	2121.19	USD	-245.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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01SEP17	B	1	PD01DEC17	2136.50	2121.19	USD	-382.75	
06SEP17	B	1	PD01DEC17	2103.52	2121.19	USD	441.75	
06SEP17	S	2	PD01DEC17	2103.32	2121.19	USD	-893.50	
11SEP17	S	2	PD01DEC17	2119.19	2121.19	USD	-100.00	
12SEP17	S	2	PD01DEC17	2133.39	2121.19	USD	610.00	
15SEP17	S	4	PD01DEC17	2082.27	2121.19	USD	-3,892.00	
19SEP17	S	2	PD01DEC17	2119.84	2121.19	USD	-67.50	
	NET	0AVG BGHT 2125.82	SOLD 2115.09				-4,561.50	0.00
04SEP17	S	1	PD04DEC17	2136.00	2122.12	USD	347.00	
04SEP17	B	1	PD04DEC17	2138.50	2122.12	USD	-409.50	
04SEP17	B	2	PD04DEC17	2138.00	2122.12	USD	-794.00	
04SEP17	B	1	PD04DEC17	2140.00	2122.12	USD	-447.00	
04SEP17	B	1	PD04DEC17	2141.00	2122.12	USD	-472.00	
04SEP17	S	1	PD04DEC17	2134.50	2122.12	USD	309.50	
04SEP17	S	1	PD04DEC17	2133.50	2122.12	USD	284.50	
04SEP17	S	1	PD04DEC17	2131.50	2122.12	USD	234.50	
04SEP17	S	1	PD04DEC17	2124.00	2122.12	USD	47.00	
04SEP17	S	1	PD04DEC17	2122.00	2122.12	USD	-3.00	
04SEP17	S	1	PD04DEC17	2124.50	2122.12	USD	59.50	
04SEP17	S	1	PD04DEC17	2126.50	2122.12	USD	109.50	
04SEP17	S	2	PD04DEC17	2123.50	2122.12	USD	69.00	
04SEP17	S	3	PD04DEC17	2125.50	2122.12	USD	253.50	
04SEP17	S	1	PD04DEC17	2125.50	2122.12	USD	84.50	
04SEP17	B	1	PD04DEC17	2109.00	2122.12	USD	328.00	
04SEP17	B	2	PD04DEC17	2110.00	2122.12	USD	606.00	
04SEP17	B	1	PD04DEC17	2113.50	2122.12	USD	215.50	
04SEP17	B	1	PD04DEC17	2112.50	2122.12	USD	240.50	
04SEP17	S	3	PD04DEC17	2113.50	2122.12	USD	-646.50	
04SEP17	S	3	PD04DEC17	2114.00	2122.12	USD	-609.00	
04SEP17	S	1	PD04DEC17	2116.50	2122.12	USD	-140.50	
04SEP17	B	2	PD04DEC17	2116.50	2122.12	USD	281.00	
04SEP17	B	1	PD04DEC17	2117.00	2122.12	USD	128.00	
04SEP17	B	1	PD04DEC17	2118.00	2122.12	USD	103.00	
04SEP17	B	2	PD04DEC17	2111.00	2122.12	USD	556.00	
05SEP17	B	1	PD04DEC17	2095.96	2122.12	USD	654.00	
06SEP17	B	2	PD04DEC17	2104.23	2122.12	USD	894.50	
11SEP17	B	2	PD04DEC17	2120.23	2122.12	USD	94.50	
11SEP17	S	2	PD04DEC17	2120.03	2122.12	USD	-104.50	
12SEP17	B	1	PD04DEC17	2134.72	2122.12	USD	-315.00	
15SEP17	B	1	PD04DEC17	2083.32	2122.12	USD	970.00	
	NET	0AVG BGHT 2117.54	SOLD 2122.63				2,928.50	0.00
05SEP17	S	1	PD05DEC17	2119.00	2122.43	USD	-85.75	
05SEP17	B	1	PD05DEC17	2108.00	2122.43	USD	360.75	
05SEP17	S	2	PD05DEC17	2105.00	2122.43	USD	-871.50	
05SEP17	B	1	PD05DEC17	2111.00	2122.43	USD	285.75	
05SEP17	S	2	PD05DEC17	2115.00	2122.43	USD	-371.50	
05SEP17	S	2	PD05DEC17	2106.50	2122.43	USD	-796.50	
05SEP17	S	1	PD05DEC17	2097.50	2122.43	USD	-623.25	
05SEP17	S	1	PD05DEC17	2103.50	2122.43	USD	-473.25	
05SEP17	S	1	PD05DEC17	2098.50	2122.43	USD	-598.25	
05SEP17	S	1	PD05DEC17	2098.00	2122.43	USD	-610.75	
06SEP17	B	3	PD05DEC17	2104.46	2122.43	USD	1,347.75	
12SEP17	B	2	PD05DEC17	2135.03	2122.43	USD	-630.00	
15SEP17	B	2	PD05DEC17	2083.60	2122.43	USD	1,941.50	
19SEP17	B	1	PD05DEC17	2121.19	2122.43	USD	31.00	
20SEP17	B	1	PD05DEC17	2172.82	2122.43	USD	-1,259.75	
	NET	0AVG BGHT 2114.88	SOLD 2106.32				-2,353.75	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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06SEP17	B	1	PD06DEC17	2093.00	2122.74	USD	743.50	
06SEP17	S	1	PD06DEC17	2092.50	2122.74	USD	-756.00	
06SEP17	B	1	PD06DEC17	2092.50	2122.74	USD	756.00	
06SEP17	B	1	PD06DEC17	2091.00	2122.74	USD	793.50	
06SEP17	B	1	PD06DEC17	2091.50	2122.74	USD	781.00	
06SEP17	B	2	PD06DEC17	2095.50	2122.74	USD	1,362.00	
06SEP17	S	1	PD06DEC17	2091.00	2122.74	USD	-793.50	
06SEP17	S	1	PD06DEC17	2089.00	2122.74	USD	-843.50	
06SEP17	S	1	PD06DEC17	2086.50	2122.74	USD	-906.00	
06SEP17	S	2	PD06DEC17	2086.00	2122.74	USD	-1,837.00	
06SEP17	S	1	PD06DEC17	2085.50	2122.74	USD	-931.00	
06SEP17	S	1	PD06DEC17	2087.00	2122.74	USD	-893.50	
06SEP17	S	2	PD06DEC17	2090.00	2122.74	USD	-1,637.00	
06SEP17	S	1	PD06DEC17	2103.50	2122.74	USD	-481.00	
06SEP17	S	2	PD06DEC17	2108.00	2122.74	USD	-737.00	
11SEP17	B	2	PD06DEC17	2120.79	2122.74	USD	97.50	
12SEP17	B	2	PD06DEC17	2135.14	2122.74	USD	-620.00	
15SEP17	B	2	PD06DEC17	2083.88	2122.74	USD	1,943.00	
19SEP17	B	1	PD06DEC17	2121.47	2122.74	USD	31.75	
	NET	0 AVG BGHT 2104.62	SOLD 2092.54				-3,927.25	0.00
07SEP17	B	1	PD07DEC17	2110.50	2123.04	USD	313.50	
07SEP17	B	1	PD07DEC17	2104.50	2123.04	USD	463.50	
07SEP17	S	1	PD07DEC17	2097.50	2123.04	USD	-638.50	
07SEP17	S	1	PD07DEC17	2098.50	2123.04	USD	-613.50	
07SEP17	S	8	PD07DEC17	2098.00	2123.04	USD	-5,008.00	
07SEP17	S	2	PD07DEC17	2091.00	2123.04	USD	-1,602.00	
07SEP17	S	6	PD07DEC17	2091.50	2123.04	USD	-4,731.00	
07SEP17	B	2	PD07DEC17	2092.50	2123.04	USD	1,527.00	
07SEP17	B	1	PD07DEC17	2089.00	2123.04	USD	851.00	
07SEP17	B	1	PD07DEC17	2090.50	2123.04	USD	813.50	
07SEP17	S	3	PD07DEC17	2094.00	2123.04	USD	-2,178.00	
07SEP17	S	1	PD07DEC17	2098.00	2123.04	USD	-626.00	
07SEP17	S	1	PD07DEC17	2092.50	2123.04	USD	-763.50	
07SEP17	S	1	PD07DEC17	2108.00	2123.04	USD	-376.00	
07SEP17	S	1	PD07DEC17	2109.00	2123.04	USD	-351.00	
07SEP17	S	1	PD07DEC17	2111.00	2123.04	USD	-301.00	
07SEP17	S	3	PD07DEC17	2110.00	2123.04	USD	-978.00	
07SEP17	S	4	PD07DEC17	2110.50	2123.04	USD	-1,254.00	
07SEP17	B	1	PD07DEC17	2106.50	2123.04	USD	413.50	
07SEP17	S	2	PD07DEC17	2109.50	2123.04	USD	-677.00	
11SEP17	B	5	PD07DEC17	2121.08	2123.04	USD	245.00	
14SEP17	B	20	PD07DEC17	2096.33	2123.04	USD	13,355.00	
15SEP17	B	1	PD07DEC17	2084.17	2123.04	USD	971.75	
19SEP17	B	1	PD07DEC17	2121.76	2123.04	USD	32.00	
20SEP17	B	1	PD07DEC17	2173.38	2123.04	USD	-1,258.50	
	NET	0 AVG BGHT 2102.78	SOLD 2100.07				-2,370.25	0.00
08SEP17	B	1	PD08DEC17	2117.00	2123.35	USD	158.75	
08SEP17	B	3	PD08DEC17	2119.50	2123.35	USD	288.75	
08SEP17	B	3	PD08DEC17	2119.00	2123.35	USD	326.25	
08SEP17	S	1	PD08DEC17	2121.50	2123.35	USD	-46.25	
08SEP17	S	1	PD08DEC17	2116.00	2123.35	USD	-183.75	
08SEP17	S	3	PD08DEC17	2111.00	2123.35	USD	-926.25	
08SEP17	S	1	PD08DEC17	2110.50	2123.35	USD	-321.25	
08SEP17	S	1	PD08DEC17	2109.00	2123.35	USD	-358.75	
08SEP17	S	1	PD08DEC17	2109.50	2123.35	USD	-346.25	
08SEP17	S	1	PD08DEC17	2095.00	2123.35	USD	-708.75	

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08SEP17	B	1	PD08DEC17	2098.00	2123.35	USD	633.75	
08SEP17	S	1	PD08DEC17	2093.00	2123.35	USD	-758.75	
08SEP17	B	1	PD08DEC17	2088.50	2123.35	USD	871.25	
08SEP17	S	1	PD08DEC17	2086.50	2123.35	USD	-921.25	
08SEP17	B	1	PD08DEC17	2095.00	2123.35	USD	708.75	
08SEP17	S	1	PD08DEC17	2106.00	2123.35	USD	-433.75	
08SEP17	B	1	PD08DEC17	2094.00	2123.35	USD	733.75	
08SEP17	B	1	PD08DEC17	2093.00	2123.35	USD	758.75	
08SEP17	S	1	PD08DEC17	2104.00	2123.35	USD	-483.75	
08SEP17	S	1	PD08DEC17	2103.50	2123.35	USD	-496.25	
08SEP17	S	1	PD08DEC17	2101.50	2123.35	USD	-546.25	
08SEP17	S	2	PD08DEC17	2102.50	2123.35	USD	-1,042.50	
08SEP17	S	1	PD08DEC17	2103.00	2123.35	USD	-508.75	
08SEP17	S	2	PD08DEC17	2101.00	2123.35	USD	-1,117.50	
08SEP17	S	4	PD08DEC17	2100.00	2123.35	USD	-2,335.00	
08SEP17	S	3	PD08DEC17	2102.00	2123.35	USD	-1,601.25	
08SEP17	B	9	PD08DEC17	2100.00	2123.35	USD	5,253.75	
08SEP17	S	1	PD08DEC17	2096.50	2123.35	USD	-671.25	
08SEP17	S	5	PD08DEC17	2097.00	2123.35	USD	-3,293.75	
08SEP17	S	2	PD08DEC17	2100.50	2123.35	USD	-1,142.50	
08SEP17	B	1	PD08DEC17	2090.50	2123.35	USD	821.25	
08SEP17	B	1	PD08DEC17	2093.00	2123.35	USD	758.75	
08SEP17	S	1	PD08DEC17	2097.50	2123.35	USD	-646.25	
08SEP17	S	2	PD08DEC17	2095.50	2123.35	USD	-1,392.50	
08SEP17	B	2	PD08DEC17	2095.00	2123.35	USD	1,417.50	
08SEP17	S	2	PD08DEC17	2090.00	2123.35	USD	-1,667.50	
08SEP17	S	2	PD08DEC17	2100.00	2123.35	USD	-1,167.50	
08SEP17	B	1	PD08DEC17	2100.00	2123.35	USD	583.75	
08SEP17	S	1	PD08DEC17	2096.00	2123.35	USD	-683.75	
08SEP17	B	3	PD08DEC17	2096.00	2123.35	USD	2,051.25	
11SEP17	B	2	PD08DEC17	2121.36	2123.35	USD	99.50	
14SEP17	B	7	PD08DEC17	2096.40	2123.35	USD	4,716.25	
19SEP17	B	2	PD08DEC17	2121.85	2123.35	USD	75.00	
20SEP17	B	1	PD08DEC17	2173.66	2123.35	USD	-1,257.75	
	NET	-2 AVG BGHT 2104.81	SOLD 2101.21				-4,802.00	0.00
11SEP17	B	1	PD11DEC17	2096.00	2124.28	USD	707.00	
11SEP17	B	3	PD11DEC17	2098.00	2124.28	USD	1,971.00	
11SEP17	B	1	PD11DEC17	2101.50	2124.28	USD	569.50	
11SEP17	B	5	PD11DEC17	2102.00	2124.28	USD	2,785.00	
11SEP17	B	3	PD11DEC17	2103.00	2124.28	USD	1,596.00	
11SEP17	B	3	PD11DEC17	2102.50	2124.28	USD	1,633.50	
11SEP17	B	3	PD11DEC17	2103.50	2124.28	USD	1,558.50	
11SEP17	B	2	PD11DEC17	2105.00	2124.28	USD	964.00	
11SEP17	B	1	PD11DEC17	2109.50	2124.28	USD	369.50	
11SEP17	B	1	PD11DEC17	2112.00	2124.28	USD	307.00	
11SEP17	B	2	PD11DEC17	2112.50	2124.28	USD	589.00	
11SEP17	S	1	PD11DEC17	2127.50	2124.28	USD	80.50	
11SEP17	S	1	PD11DEC17	2125.50	2124.28	USD	30.50	
11SEP17	S	1	PD11DEC17	2127.00	2124.28	USD	68.00	
11SEP17	S	1	PD11DEC17	2126.50	2124.28	USD	55.50	
11SEP17	B	2	PD11DEC17	2126.00	2124.28	USD	-86.00	
11SEP17	B	1	PD11DEC17	2121.00	2124.28	USD	82.00	
11SEP17	B	4	PD11DEC17	2122.00	2124.28	USD	228.00	
11SEP17	B	1	PD11DEC17	2121.50	2124.28	USD	69.50	
11SEP17	B	1	PD11DEC17	2123.00	2124.28	USD	32.00	
11SEP17	B	3	PD11DEC17	2126.50	2124.28	USD	-166.50	
11SEP17	B	3	PD11DEC17	2127.00	2124.28	USD	-204.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
11SEP17	B	3	PD11DEC17	2128.50	2124.28	USD	-316.50	
11SEP17	B	2	PD11DEC17	2127.50	2124.28	USD	-161.00	
11SEP17	B	5	PD11DEC17	2128.00	2124.28	USD	-465.00	
11SEP17	B	3	PD11DEC17	2125.50	2124.28	USD	-91.50	
11SEP17	B	3	PD11DEC17	2125.00	2124.28	USD	-54.00	
11SEP17	B	2	PD11DEC17	2124.50	2124.28	USD	-11.00	
11SEP17	B	2	PD11DEC17	2124.00	2124.28	USD	14.00	
11SEP17	B	1	PD11DEC17	2122.50	2124.28	USD	44.50	
11SEP17	B	2	PD11DEC17	2120.00	2124.28	USD	214.00	
11SEP17	B	1	PD11DEC17	2116.50	2124.28	USD	194.50	
11SEP17	B	1	PD11DEC17	2118.00	2124.28	USD	157.00	
11SEP17	B	1	PD11DEC17	2119.00	2124.28	USD	132.00	
12SEP17	S	2	PD11DEC17	2136.49	2124.28	USD	610.50	
14SEP17	S	7	PD11DEC17	2097.00	2124.28	USD	-4,774.00	
14SEP17	S	17	PD11DEC17	2097.20	2124.28	USD	-11,509.00	
15SEP17	S	3	PD11DEC17	2085.00	2124.28	USD	-2,946.00	
18SEP17	S	4	PD11DEC17	2087.43	2124.28	USD	-3,685.00	
19SEP17	S	2	PD11DEC17	2122.51	2124.28	USD	-88.50	
19SEP17	S	4	PD11DEC17	2122.71	2124.28	USD	-157.00	
20SEP17	S	3	PD11DEC17	2174.29	2124.28	USD	3,750.75	
20SEP17	S	9	PD11DEC17	2174.49	2124.28	USD	11,297.25	
21SEP17	S	4	PD11DEC17	2168.99	2124.28	USD	4,471.00	
	NET	+7 AVG BGHT 2116.61	SOLD 2122.38				9,866.50	0.00
12SEP17	S	2	PD12DEC17	2118.00	2124.59	USD	-329.50	
12SEP17	S	2	PD12DEC17	2116.00	2124.59	USD	-429.50	
12SEP17	S	1	PD12DEC17	2115.50	2124.59	USD	-227.25	
12SEP17	S	1	PD12DEC17	2114.00	2124.59	USD	-264.75	
12SEP17	S	2	PD12DEC17	2114.50	2124.59	USD	-504.50	
12SEP17	B	2	PD12DEC17	2120.00	2124.59	USD	229.50	
12SEP17	S	1	PD12DEC17	2124.50	2124.59	USD	-2.25	
12SEP17	B	1	PD12DEC17	2115.00	2124.59	USD	239.75	
12SEP17	B	2	PD12DEC17	2121.00	2124.59	USD	179.50	
12SEP17	B	1	PD12DEC17	2122.50	2124.59	USD	52.25	
12SEP17	B	2	PD12DEC17	2123.50	2124.59	USD	54.50	
12SEP17	B	1	PD12DEC17	2123.00	2124.59	USD	39.75	
12SEP17	B	2	PD12DEC17	2125.00	2124.59	USD	-20.50	
12SEP17	S	1	PD12DEC17	2135.50	2124.59	USD	272.75	
12SEP17	S	1	PD12DEC17	2129.00	2124.59	USD	110.25	
12SEP17	S	2	PD12DEC17	2140.00	2124.59	USD	770.50	
12SEP17	S	1	PD12DEC17	2140.50	2124.59	USD	397.75	
14SEP17	B	1	PD12DEC17	2097.67	2124.59	USD	673.00	
20SEP17	B	1	PD12DEC17	2174.97	2124.59	USD	-1,259.50	
	NET	-1 AVG BGHT 2124.01	SOLD 2124.00				-18.25	0.00
13SEP17	S	1	PD13DEC17	2136.50	2124.90	USD	290.00	
13SEP17	S	1	PD13DEC17	2140.50	2124.90	USD	390.00	
13SEP17	S	1	PD13DEC17	2139.00	2124.90	USD	352.50	
13SEP17	S	1	PD13DEC17	2142.00	2124.90	USD	427.50	
13SEP17	S	1	PD13DEC17	2138.50	2124.90	USD	340.00	
13SEP17	S	1	PD13DEC17	2135.50	2124.90	USD	265.00	
13SEP17	S	5	PD13DEC17	2135.00	2124.90	USD	1,262.50	
13SEP17	S	5	PD13DEC17	2134.00	2124.90	USD	1,137.50	
13SEP17	S	2	PD13DEC17	2131.00	2124.90	USD	305.00	
13SEP17	S	2	PD13DEC17	2129.50	2124.90	USD	230.00	
13SEP17	S	3	PD13DEC17	2131.50	2124.90	USD	495.00	
13SEP17	S	1	PD13DEC17	2132.00	2124.90	USD	177.50	
13SEP17	B	2	PD13DEC17	2112.00	2124.90	USD	645.00	
13SEP17	B	2	PD13DEC17	2111.50	2124.90	USD	670.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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13SEP17	S	1	PD13DEC17	2116.00	2124.90	USD	-222.50	
13SEP17	S	1	PD13DEC17	2116.50	2124.90	USD	-210.00	
13SEP17	S	5	PD13DEC17	2114.50	2124.90	USD	-1,300.00	
13SEP17	S	2	PD13DEC17	2114.00	2124.90	USD	-545.00	
13SEP17	S	1	PD13DEC17	2107.00	2124.90	USD	-447.50	
13SEP17	B	1	PD13DEC17	2113.00	2124.90	USD	297.50	
14SEP17	B	16	PD13DEC17	2097.93	2124.90	USD	10,788.00	
15SEP17	B	3	PD13DEC17	2085.70	2124.90	USD	2,940.00	
19SEP17	B	2	PD13DEC17	2123.48	2124.90	USD	71.00	
20SEP17	B	4	PD13DEC17	2175.25	2124.90	USD	-5,035.00	
25SEP17	B	2	PD13DEC17	2146.14	2124.90	USD	-1,062.00	
	NET	-2 AVG BGHT 2113.26	SOLD 2128.37				12,262.00	0.00
14SEP17	B	1	PD14DEC17	2119.00	2125.21	USD	155.25	
14SEP17	S	1	PD14DEC17	2111.50	2125.21	USD	-342.75	
14SEP17	B	1	PD14DEC17	2112.50	2125.21	USD	317.75	
14SEP17	B	1	PD14DEC17	2113.00	2125.21	USD	305.25	
14SEP17	S	1	PD14DEC17	2112.50	2125.21	USD	-317.75	
14SEP17	B	5	PD14DEC17	2117.50	2125.21	USD	963.75	
14SEP17	B	1	PD14DEC17	2112.00	2125.21	USD	330.25	
14SEP17	B	4	PD14DEC17	2111.00	2125.21	USD	1,421.00	
14SEP17	B	1	PD14DEC17	2110.00	2125.21	USD	380.25	
14SEP17	B	1	PD14DEC17	2081.00	2125.21	USD	1,105.25	
14SEP17	B	1	PD14DEC17	2080.50	2125.21	USD	1,117.75	
14SEP17	B	1	PD14DEC17	2082.00	2125.21	USD	1,080.25	
14SEP17	B	1	PD14DEC17	2084.00	2125.21	USD	1,030.25	
14SEP17	B	1	PD14DEC17	2086.50	2125.21	USD	967.75	
14SEP17	S	2	PD14DEC17	2094.50	2125.21	USD	-1,535.50	
14SEP17	S	1	PD14DEC17	2095.50	2125.21	USD	-742.75	
14SEP17	B	2	PD14DEC17	2094.50	2125.21	USD	1,535.50	
14SEP17	B	1	PD14DEC17	2095.00	2125.21	USD	755.25	
14SEP17	B	1	PD14DEC17	2095.50	2125.21	USD	742.75	
14SEP17	B	1	PD14DEC17	2101.50	2125.21	USD	592.75	
14SEP17	B	1	PD14DEC17	2102.50	2125.21	USD	567.75	
14SEP17	B	1	PD14DEC17	2102.00	2125.21	USD	580.25	
14SEP17	S	2	PD14DEC17	2093.50	2125.21	USD	-1,585.50	
14SEP17	S	2	PD14DEC17	2096.00	2125.21	USD	-1,460.50	
14SEP17	S	4	PD14DEC17	2093.00	2125.21	USD	-3,221.00	
14SEP17	S	1	PD14DEC17	2096.50	2125.21	USD	-717.75	
14SEP17	B	1	PD14DEC17	2100.00	2125.21	USD	630.25	
14SEP17	B	4	PD14DEC17	2097.00	2125.21	USD	2,821.00	
21SEP17	S	11	PD14DEC17	2169.83	2125.21	USD	12,270.50	
25SEP17	S	2	PD14DEC17	2146.24	2125.21	USD	1,051.50	
	NET	+4 AVG BGHT 2102.76	SOLD 2130.24				20,798.75	0.00
15SEP17	B	2	PD15DEC17	2104.00	2125.51	USD	1,075.50	
15SEP17	B	2	PD15DEC17	2104.50	2125.51	USD	1,050.50	
15SEP17	B	1	PD15DEC17	2106.00	2125.51	USD	487.75	
15SEP17	B	2	PD15DEC17	2107.00	2125.51	USD	925.50	
15SEP17	S	1	PD15DEC17	2105.00	2125.51	USD	-512.75	
15SEP17	S	1	PD15DEC17	2104.00	2125.51	USD	-537.75	
15SEP17	S	1	PD15DEC17	2100.00	2125.51	USD	-637.75	
15SEP17	S	1	PD15DEC17	2097.00	2125.51	USD	-712.75	
15SEP17	S	3	PD15DEC17	2092.00	2125.51	USD	-2,513.25	
15SEP17	S	2	PD15DEC17	2087.50	2125.51	USD	-1,900.50	
15SEP17	S	8	PD15DEC17	2087.00	2125.51	USD	-7,702.00	
15SEP17	S	1	PD15DEC17	2086.50	2125.51	USD	-975.25	
15SEP17	S	2	PD15DEC17	2086.00	2125.51	USD	-1,975.50	
15SEP17	S	1	PD15DEC17	2085.00	2125.51	USD	-1,012.75	

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15SEP17	B	1	PD15DEC17	2086.00	2125.51	USD	987.75	
15SEP17	S	2	PD15DEC17	2092.50	2125.51	USD	-1,650.50	
15SEP17	S	4	PD15DEC17	2088.00	2125.51	USD	-3,751.00	
15SEP17	S	4	PD15DEC17	2090.00	2125.51	USD	-3,551.00	
15SEP17	S	1	PD15DEC17	2090.50	2125.51	USD	-875.25	
15SEP17	S	1	PD15DEC17	2092.50	2125.51	USD	-825.25	
15SEP17	S	1	PD15DEC17	2093.50	2125.51	USD	-800.25	
15SEP17	S	3	PD15DEC17	2095.00	2125.51	USD	-2,288.25	
15SEP17	S	3	PD15DEC17	2095.50	2125.51	USD	-2,250.75	
15SEP17	S	1	PD15DEC17	2077.50	2125.51	USD	-1,200.25	
15SEP17	S	1	PD15DEC17	2079.00	2125.51	USD	-1,162.75	
15SEP17	B	1	PD15DEC17	2088.50	2125.51	USD	925.25	
15SEP17	B	2	PD15DEC17	2089.00	2125.51	USD	1,825.50	
15SEP17	B	1	PD15DEC17	2089.50	2125.51	USD	900.25	
15SEP17	B	1	PD15DEC17	2087.00	2125.51	USD	962.75	
15SEP17	S	1	PD15DEC17	2085.50	2125.51	USD	-1,000.25	
18SEP17	B	4	PD15DEC17	2088.81	2125.51	USD	3,670.00	
19SEP17	B	2	PD15DEC17	2124.05	2125.51	USD	73.00	
20SEP17	B	3	PD15DEC17	2175.81	2125.51	USD	-3,772.50	
21SEP17	B	14	PD15DEC17	2170.31	2125.51	USD	-15,680.00	
25SEP17	B	2	PD15DEC17	2146.74	2125.51	USD	-1,061.50	
	NET	-5 AVG BGHT 2133.54	SOLD 2090.31				-45,466.00	0.00
18SEP17	B	1	PD18DEC17	2100.50	2126.44	USD	648.50	
18SEP17	S	1	PD18DEC17	2094.50	2126.44	USD	-798.50	
18SEP17	B	1	PD18DEC17	2096.50	2126.44	USD	748.50	
18SEP17	B	1	PD18DEC17	2099.00	2126.44	USD	686.00	
18SEP17	S	1	PD18DEC17	2097.50	2126.44	USD	-723.50	
18SEP17	S	1	PD18DEC17	2090.00	2126.44	USD	-911.00	
18SEP17	B	4	PD18DEC17	2097.00	2126.44	USD	2,944.00	
18SEP17	S	1	PD18DEC17	2096.50	2126.44	USD	-748.50	
18SEP17	B	1	PD18DEC17	2091.50	2126.44	USD	873.50	
18SEP17	B	1	PD18DEC17	2090.50	2126.44	USD	898.50	
18SEP17	B	1	PD18DEC17	2100.00	2126.44	USD	661.00	
18SEP17	S	1	PD18DEC17	2098.00	2126.44	USD	-711.00	
18SEP17	S	1	PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	S	1	PD18DEC17	2090.50	2126.44	USD	-898.50	
18SEP17	S	1	PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	B	1	PD18DEC17	2088.00	2126.44	USD	961.00	
18SEP17	B	1	PD18DEC17	2089.50	2126.44	USD	923.50	
18SEP17	B	7	PD18DEC17	2092.50	2126.44	USD	5,939.50	
18SEP17	B	1	PD18DEC17	2092.00	2126.44	USD	861.00	
18SEP17	B	14	PD18DEC17	2093.00	2126.44	USD	11,704.00	
18SEP17	B	6	PD18DEC17	2095.00	2126.44	USD	4,716.00	
18SEP17	B	1	PD18DEC17	2094.50	2126.44	USD	798.50	
20SEP17	S	7	PD18DEC17	2176.44	2126.44	USD	8,750.00	
21SEP17	S	12	PD18DEC17	2170.94	2126.44	USD	13,350.00	
25SEP17	S	11	PD18DEC17	2147.45	2126.44	USD	5,777.75	
03OCT17	S	1	PD18DEC17	2127.21	2126.44	USD	19.25	
	NET	+2 AVG BGHT 2093.89	SOLD 2148.32				54,697.50	0.00
19SEP17	B	1	PD19DEC17	2108.00	2126.75	USD	468.75	
19SEP17	S	1	PD19DEC17	2106.00	2126.75	USD	-518.75	
19SEP17	S	1	PD19DEC17	2108.00	2126.75	USD	-468.75	
19SEP17	B	2	PD19DEC17	2108.50	2126.75	USD	912.50	
19SEP17	B	1	PD19DEC17	2109.50	2126.75	USD	431.25	
19SEP17	S	1	PD19DEC17	2109.50	2126.75	USD	-431.25	
19SEP17	B	2	PD19DEC17	2105.50	2126.75	USD	1,062.50	
19SEP17	B	1	PD19DEC17	2106.00	2126.75	USD	518.75	

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19SEP17	S	1	PD19DEC17	2104.50	2126.75	USD	-556.25	
19SEP17	S	2	PD19DEC17	2102.50	2126.75	USD	-1,212.50	
19SEP17	S	1	PD19DEC17	2103.00	2126.75	USD	-593.75	
19SEP17	B	1	PD19DEC17	2103.00	2126.75	USD	593.75	
19SEP17	B	1	PD19DEC17	2103.50	2126.75	USD	581.25	
19SEP17	B	1	PD19DEC17	2106.50	2126.75	USD	506.25	
19SEP17	B	1	PD19DEC17	2105.50	2126.75	USD	531.25	
19SEP17	B	1	PD19DEC17	2105.00	2126.75	USD	543.75	
19SEP17	S	1	PD19DEC17	2131.50	2126.75	USD	118.75	
19SEP17	S	1	PD19DEC17	2129.50	2126.75	USD	68.75	
19SEP17	S	2	PD19DEC17	2129.00	2126.75	USD	112.50	
19SEP17	S	3	PD19DEC17	2128.50	2126.75	USD	131.25	
19SEP17	S	7	PD19DEC17	2128.00	2126.75	USD	218.75	
19SEP17	B	1	PD19DEC17	2128.00	2126.75	USD	-31.25	
19SEP17	S	8	PD19DEC17	2127.50	2126.75	USD	150.00	
19SEP17	S	4	PD19DEC17	2127.00	2126.75	USD	25.00	
19SEP17	S	10	PD19DEC17	2126.50	2126.75	USD	-62.50	
19SEP17	S	7	PD19DEC17	2124.50	2126.75	USD	-393.75	
19SEP17	S	4	PD19DEC17	2124.00	2126.75	USD	-275.00	
19SEP17	B	8	PD19DEC17	2124.00	2126.75	USD	550.00	
19SEP17	B	7	PD19DEC17	2124.50	2126.75	USD	393.75	
19SEP17	B	1	PD19DEC17	2126.00	2126.75	USD	18.75	
19SEP17	S	2	PD19DEC17	2121.50	2126.75	USD	-262.50	
19SEP17	S	4	PD19DEC17	2121.00	2126.75	USD	-575.00	
19SEP17	B	5	PD19DEC17	2115.50	2126.75	USD	1,406.25	
19SEP17	B	5	PD19DEC17	2117.50	2126.75	USD	1,156.25	
19SEP17	B	2	PD19DEC17	2124.50	2126.75	USD	112.50	
19SEP17	S	2	PD19DEC17	2124.50	2126.75	USD	-112.50	
19SEP17	B	5	PD19DEC17	2124.00	2126.75	USD	343.75	
19SEP17	S	3	PD19DEC17	2124.00	2126.75	USD	-206.25	
19SEP17	B	1	PD19DEC17	2127.50	2126.75	USD	-18.75	
19SEP17	B	3	PD19DEC17	2127.00	2126.75	USD	-18.75	
19SEP17	B	1	PD19DEC17	2126.50	2126.75	USD	6.25	
20SEP17	B	8	PD19DEC17	2176.92	2126.75	USD	-10,034.00	
21SEP17	B	3	PD19DEC17	2171.42	2126.75	USD	-3,350.25	
25SEP17	B	1	PD19DEC17	2147.95	2126.75	USD	-530.00	
	NET	-2 AVG BGHT 2129.19	SOLD 2123.77				-8,689.25	0.00
20SEP17	B	4	PD20DEC17	2126.50	2126.75	USD	25.00	
20SEP17	B	1	PD20DEC17	2127.00	2126.75	USD	-6.25	
20SEP17	B	2	PD20DEC17	2129.50	2126.75	USD	-137.50	
20SEP17	B	1	PD20DEC17	2130.00	2126.75	USD	-81.25	
20SEP17	B	1	PD20DEC17	2137.50	2126.75	USD	-268.75	
20SEP17	B	1	PD20DEC17	2140.50	2126.75	USD	-343.75	
20SEP17	B	2	PD20DEC17	2147.00	2126.75	USD	-1,012.50	
20SEP17	B	9	PD20DEC17	2146.50	2126.75	USD	-4,443.75	
20SEP17	B	1	PD20DEC17	2147.50	2126.75	USD	-518.75	
20SEP17	B	1	PD20DEC17	2149.00	2126.75	USD	-556.25	
20SEP17	B	1	PD20DEC17	2149.50	2126.75	USD	-568.75	
20SEP17	B	1	PD20DEC17	2151.00	2126.75	USD	-606.25	
20SEP17	B	1	PD20DEC17	2155.00	2126.75	USD	-706.25	
20SEP17	B	1	PD20DEC17	2155.50	2126.75	USD	-718.75	
20SEP17	B	4	PD20DEC17	2156.50	2126.75	USD	-2,975.00	
20SEP17	B	1	PD20DEC17	2157.00	2126.75	USD	-756.25	
20SEP17	B	1	PD20DEC17	2157.50	2126.75	USD	-768.75	
20SEP17	B	2	PD20DEC17	2158.00	2126.75	USD	-1,562.50	
20SEP17	B	2	PD20DEC17	2161.50	2126.75	USD	-1,737.50	
20SEP17	B	5	PD20DEC17	2166.00	2126.75	USD	-4,906.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	B	2	PD20DEC17	2163.50	2126.75	USD	-1,837.50	
20SEP17	B	3	PD20DEC17	2164.00	2126.75	USD	-2,793.75	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	B	4	PD20DEC17	2164.50	2126.75	USD	-3,775.00	
20SEP17	B	2	PD20DEC17	2165.50	2126.75	USD	-1,937.50	
20SEP17	B	1	PD20DEC17	2159.50	2126.75	USD	-818.75	
20SEP17	B	4	PD20DEC17	2156.00	2126.75	USD	-2,925.00	
20SEP17	S	1	PD20DEC17	2172.00	2126.75	USD	1,131.25	
20SEP17	S	1	PD20DEC17	2177.00	2126.75	USD	1,256.25	
20SEP17	S	1	PD20DEC17	2175.00	2126.75	USD	1,206.25	
20SEP17	S	1	PD20DEC17	2175.50	2126.75	USD	1,218.75	
20SEP17	B	1	PD20DEC17	2179.50	2126.75	USD	-1,318.75	
20SEP17	B	1	PD20DEC17	2175.50	2126.75	USD	-1,218.75	
20SEP17	B	1	PD20DEC17	2174.50	2126.75	USD	-1,193.75	
20SEP17	S	1	PD20DEC17	2172.50	2126.75	USD	1,143.75	
20SEP17	B	1	PD20DEC17	2172.00	2126.75	USD	-1,131.25	
20SEP17	B	1	PD20DEC17	2170.00	2126.75	USD	-1,081.25	
20SEP17	B	1	PD20DEC17	2168.50	2126.75	USD	-1,043.75	
20SEP17	B	1	PD20DEC17	2155.50	2126.75	USD	-718.75	
20SEP17	B	1	PD20DEC17	2157.00	2126.75	USD	-756.25	
20SEP17	B	2	PD20DEC17	2166.00	2126.75	USD	-1,962.50	
20SEP17	S	1	PD20DEC17	2171.50	2126.75	USD	1,118.75	
20SEP17	B	1	PD20DEC17	2138.50	2126.75	USD	-293.75	
20SEP17	S	1	PD20DEC17	2190.50	2126.75	USD	1,593.75	
20SEP17	S	1	PD20DEC17	2189.00	2126.75	USD	1,556.25	
20SEP17	S	1	PD20DEC17	2191.50	2126.75	USD	1,618.75	
20SEP17	S	1	PD20DEC17	2184.50	2126.75	USD	1,443.75	
20SEP17	B	2	PD20DEC17	2181.50	2126.75	USD	-2,737.50	
20SEP17	B	2	PD20DEC17	2182.00	2126.75	USD	-2,762.50	
20SEP17	S	4	PD20DEC17	2180.50	2126.75	USD	5,375.00	
20SEP17	B	5	PD20DEC17	2186.50	2126.75	USD	-7,468.75	
20SEP17	S	8	PD20DEC17	2180.00	2126.75	USD	10,650.00	
20SEP17	B	7	PD20DEC17	2186.00	2126.75	USD	-10,368.75	
20SEP17	B	1	PD20DEC17	2185.50	2126.75	USD	-1,468.75	
20SEP17	S	2	PD20DEC17	2176.00	2126.75	USD	2,462.50	
20SEP17	S	5	PD20DEC17	2179.50	2126.75	USD	6,593.75	
20SEP17	S	3	PD20DEC17	2182.50	2126.75	USD	4,181.25	
20SEP17	S	2	PD20DEC17	2181.50	2126.75	USD	2,737.50	
20SEP17	S	2	PD20DEC17	2181.00	2126.75	USD	2,712.50	
20SEP17	S	1	PD20DEC17	2177.50	2126.75	USD	1,268.75	
20SEP17	S	9	PD20DEC17	2163.00	2126.75	USD	8,156.25	
20SEP17	S	4	PD20DEC17	2162.50	2126.75	USD	3,575.00	
20SEP17	S	1	PD20DEC17	2162.00	2126.75	USD	881.25	
20SEP17	S	4	PD20DEC17	2164.50	2126.75	USD	3,775.00	
20SEP17	S	2	PD20DEC17	2165.00	2126.75	USD	1,912.50	
20SEP17	S	1	PD20DEC17	2173.50	2126.75	USD	1,168.75	
20SEP17	S	18	PD20DEC17	2182.00	2126.75	USD	24,862.50	
20SEP17	S	1	PD20DEC17	2182.00	2126.75	USD	1,381.25	
25SEP17	S	21	PD20DEC17	2147.50	2126.75	USD	10,893.75	
26SEP17	S	8	PD20DEC17	2124.25	2126.75	USD	-500.00	
29SEP17	S	2	PD20DEC17	2099.50	2126.75	USD	-1,362.50	
	NET	-21 AVG BGHT 2160.39	SOLD 2165.27				30,843.75	0.00
21SEP17	S	1	PD21DEC17	2179.50	2126.75	USD	1,318.75	
21SEP17	S	1	PD21DEC17	2179.00	2126.75	USD	1,306.25	
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	S	2	PD21DEC17	2176.00	2126.75	USD	2,462.50	
21SEP17	S	5	PD21DEC17	2181.00	2126.75	USD	6,781.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	S	5	PD21DEC17	2175.50	2126.75	USD	6,093.75	
21SEP17	S	14	PD21DEC17	2175.00	2126.75	USD	16,887.50	
21SEP17	S	1	PD21DEC17	2161.00	2126.75	USD	856.25	
21SEP17	S	1	PD21DEC17	2167.00	2126.75	USD	1,006.25	
21SEP17	B	1	PD21DEC17	2177.50	2126.75	USD	-1,268.75	
21SEP17	S	3	PD21DEC17	2182.00	2126.75	USD	4,143.75	
21SEP17	B	1	PD21DEC17	2189.50	2126.75	USD	-1,568.75	
21SEP17	B	1	PD21DEC17	2190.00	2126.75	USD	-1,581.25	
21SEP17	B	1	PD21DEC17	2178.00	2126.75	USD	-1,281.25	
21SEP17	B	2	PD21DEC17	2182.00	2126.75	USD	-2,762.50	
21SEP17	B	5	PD21DEC17	2173.50	2126.75	USD	-5,843.75	
21SEP17	S	1	PD21DEC17	2170.00	2126.75	USD	1,081.25	
21SEP17	S	1	PD21DEC17	2171.00	2126.75	USD	1,106.25	
21SEP17	S	3	PD21DEC17	2171.50	2126.75	USD	3,356.25	
21SEP17	S	1	PD21DEC17	2166.00	2126.75	USD	981.25	
21SEP17	S	2	PD21DEC17	2165.00	2126.75	USD	1,912.50	
21SEP17	S	2	PD21DEC17	2163.00	2126.75	USD	1,812.50	
21SEP17	S	1	PD21DEC17	2162.50	2126.75	USD	893.75	
21SEP17	S	6	PD21DEC17	2162.00	2126.75	USD	5,287.50	
21SEP17	B	1	PD21DEC17	2160.50	2126.75	USD	-843.75	
21SEP17	S	1	PD21DEC17	2157.00	2126.75	USD	756.25	
21SEP17	S	1	PD21DEC17	2154.00	2126.75	USD	681.25	
21SEP17	S	5	PD21DEC17	2149.50	2126.75	USD	2,843.75	
21SEP17	S	5	PD21DEC17	2151.00	2126.75	USD	3,031.25	
21SEP17	S	1	PD21DEC17	2145.00	2126.75	USD	456.25	
21SEP17	B	1	PD21DEC17	2142.00	2126.75	USD	-381.25	
21SEP17	B	10	PD21DEC17	2171.20	2126.75	USD	-11,112.50	
25SEP17	B	1	PD21DEC17	2146.70	2126.75	USD	-498.75	
26SEP17	B	8	PD21DEC17	2124.70	2126.75	USD	410.00	
28SEP17	S	8	PD21DEC17	2129.03	2126.75	USD	456.00	
03OCT17	B	1	PD21DEC17	2128.18	2126.75	USD	-35.75	
03OCT17	B	1	PD21DEC17	2127.98	2126.75	USD	-30.75	
	NET	-38 AVG BGHT 2158.28	SOLD 2163.84				39,957.00	0.00
22SEP17	S	1	PD22DEC17	2140.00	2126.75	USD	331.25	
22SEP17	B	2	PD22DEC17	2115.00	2126.75	USD	587.50	
22SEP17	B	2	PD22DEC17	2121.50	2126.75	USD	262.50	
22SEP17	B	1	PD22DEC17	2124.50	2126.75	USD	56.25	
22SEP17	S	1	PD22DEC17	2125.50	2126.75	USD	-31.25	
22SEP17	S	1	PD22DEC17	2125.00	2126.75	USD	-43.75	
22SEP17	S	1	PD22DEC17	2155.50	2126.75	USD	718.75	
22SEP17	S	1	PD22DEC17	2161.50	2126.75	USD	868.75	
22SEP17	S	1	PD22DEC17	2125.00	2126.75	USD	-43.75	
22SEP17	B	1	PD22DEC17	2137.00	2126.75	USD	-256.25	
22SEP17	S	1	PD22DEC17	2160.00	2126.75	USD	831.25	
22SEP17	S	1	PD22DEC17	2157.50	2126.75	USD	768.75	
22SEP17	S	1	PD22DEC17	2158.00	2126.75	USD	781.25	
22SEP17	S	1	PD22DEC17	2155.00	2126.75	USD	706.25	
22SEP17	S	1	PD22DEC17	2156.50	2126.75	USD	743.75	
22SEP17	B	5	PD22DEC17	2151.00	2126.75	USD	-3,031.25	
22SEP17	B	1	PD22DEC17	2154.00	2126.75	USD	-681.25	
22SEP17	B	2	PD22DEC17	2152.50	2126.75	USD	-1,287.50	
22SEP17	S	2	PD22DEC17	2147.00	2126.75	USD	1,012.50	
22SEP17	S	3	PD22DEC17	2149.50	2126.75	USD	1,706.25	
22SEP17	S	5	PD22DEC17	2151.50	2126.75	USD	3,093.75	
22SEP17	S	5	PD22DEC17	2151.00	2126.75	USD	3,031.25	
22SEP17	S	5	PD22DEC17	2150.50	2126.75	USD	2,968.75	
22SEP17	S	5	PD22DEC17	2141.00	2126.75	USD	1,781.25	

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22SEP17	S	5	PD22DEC17	2141.50	2126.75	USD	1,843.75	
22SEP17	S	1	PD22DEC17	2146.50	2126.75	USD	493.75	
22SEP17	S	2	PD22DEC17	2156.00	2126.75	USD	1,462.50	
22SEP17	S	1	PD22DEC17	2168.50	2126.75	USD	1,043.75	
22SEP17	B	1	PD22DEC17	2166.50	2126.75	USD	-993.75	
22SEP17	B	1	PD22DEC17	2154.00	2126.75	USD	-681.25	
25SEP17	B	30	PD22DEC17	2146.95	2126.75	USD	-15,150.00	
	NET	+1AVG BGHT 2145.16	SOLD 2148.14				2,893.75	0.00
25SEP17	B	1	PD27DEC17	2151.00	2127.29	USD	-592.75	
25SEP17	S	1	PD27DEC17	2163.50	2127.29	USD	905.25	
25SEP17	B	1	PD27DEC17	2167.50	2127.29	USD	-1,005.25	
25SEP17	S	1	PD27DEC17	2144.00	2127.29	USD	417.75	
25SEP17	B	2	PD27DEC17	2141.50	2127.29	USD	-710.50	
25SEP17	B	1	PD27DEC17	2142.00	2127.29	USD	-367.75	
25SEP17	B	2	PD27DEC17	2137.50	2127.29	USD	-510.50	
25SEP17	S	2	PD27DEC17	2141.50	2127.29	USD	710.50	
25SEP17	S	2	PD27DEC17	2142.50	2127.29	USD	760.50	
25SEP17	S	2	PD27DEC17	2144.50	2127.29	USD	860.50	
25SEP17	S	1	PD27DEC17	2149.50	2127.29	USD	555.25	
25SEP17	S	1	PD27DEC17	2146.50	2127.29	USD	480.25	
25SEP17	B	1	PD27DEC17	2147.00	2127.29	USD	-492.75	
25SEP17	B	1	PD27DEC17	2147.50	2127.29	USD	-505.25	
25SEP17	B	7	PD27DEC17	2144.00	2127.29	USD	-2,924.25	
25SEP17	B	7	PD27DEC17	2143.50	2127.29	USD	-2,836.75	
25SEP17	B	1	PD27DEC17	2142.50	2127.29	USD	-380.25	
26SEP17	B	9	PD27DEC17	2128.00	2127.29	USD	-159.75	
27SEP17	B	1	PD27DEC17	2132.00	2127.29	USD	-117.75	
27SEP17	S	1	PD27DEC17	2139.50	2127.29	USD	305.25	
27SEP17	S	1	PD27DEC17	2140.50	2127.29	USD	330.25	
27SEP17	S	1	PD27DEC17	2138.00	2127.29	USD	267.75	
27SEP17	B	1	PD27DEC17	2138.00	2127.29	USD	-267.75	
27SEP17	S	1	PD27DEC17	2132.00	2127.29	USD	117.75	
27SEP17	S	1	PD27DEC17	2127.50	2127.29	USD	5.25	
27SEP17	B	1	PD27DEC17	2126.00	2127.29	USD	32.25	
28SEP17	B	8	PD27DEC17	2130.92	2127.29	USD	-726.00	
	NET	+29 AVG BGHT 2137.80	SOLD 2142.53				-5,848.75	0.00
28SEP17	B	1	PD28DEC17	2130.00	2127.39	USD	-65.25	
28SEP17	B	1	PD28DEC17	2119.00	2127.39	USD	209.75	
28SEP17	S	1	PD28DEC17	2119.00	2127.39	USD	-209.75	
28SEP17	S	1	PD28DEC17	2129.00	2127.39	USD	40.25	
28SEP17	S	1	PD28DEC17	2128.00	2127.39	USD	15.25	
28SEP17	S	1	PD28DEC17	2143.50	2127.39	USD	402.75	
28SEP17	S	2	PD28DEC17	2136.00	2127.39	USD	430.50	
28SEP17	S	3	PD28DEC17	2134.50	2127.39	USD	533.25	
28SEP17	S	7	PD28DEC17	2135.50	2127.39	USD	1,419.25	
28SEP17	B	1	PD28DEC17	2137.00	2127.39	USD	-240.25	
28SEP17	B	1	PD28DEC17	2136.00	2127.39	USD	-215.25	
28SEP17	B	1	PD28DEC17	2137.50	2127.39	USD	-252.75	
29SEP17	B	2	PD28DEC17	2101.92	2127.39	USD	1,273.50	
	NET	-9 AVG BGHT 2123.33	SOLD 2133.97				3,341.25	0.00
29SEP17	B	1	PD29DEC17	2136.50	2127.50	USD	-225.00	
03OCT17	S	1	PD29DEC17	2129.64	2127.50	USD	53.50	
	NET	0 AVG BGHT 2136.50	SOLD 2129.64				-171.50	0.00
09OCT17	S	1	PD09JAN18	2152.00	2129.62	USD	559.50	
09OCT17	B	5	PD09JAN18	2151.00	2129.62	USD	-2,672.50	
09OCT17	B	1	PD09JAN18	2148.50	2129.62	USD	-472.00	

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09OCT17	B 1 PD09JAN18	2156.00	2129.62	USD	-659.50	
09OCT17	B 1 PD09JAN18	2150.50	2129.62	USD	-522.00	
09OCT17	B 1 PD09JAN18	2153.00	2129.62	USD	-584.50	
	NET +8 AVG BGHT 2151.44 SOLD 2152.00				-4,351.00	0.00
10OCT17	S 1 PD10JAN18	2162.00	2129.81	USD	804.75	
10OCT17	B 1 PD10JAN18	2161.00	2129.81	USD	-779.75	
	NET 0 AVG BGHT 2161.00 SOLD 2162.00				25.00	0.00
11OCT17	S 1 PD11JAN18	2157.00	2130.00	USD	675.00	
11OCT17	S 1 PD11JAN18	2151.00	2130.00	USD	525.00	
11OCT17	S 1 PD11JAN18	2154.00	2130.00	USD	600.00	
11OCT17	S 1 PD11JAN18	2156.50	2130.00	USD	662.50	
11OCT17	S 1 PD11JAN18	2159.50	2130.00	USD	737.50	
11OCT17	B 1 PD11JAN18	2150.50	2130.00	USD	-512.50	
11OCT17	B 1 PD11JAN18	2151.00	2130.00	USD	-525.00	
11OCT17	B 2 PD11JAN18	2148.50	2130.00	USD	-925.00	
11OCT17	B 1 PD11JAN18	2133.00	2130.00	USD	-75.00	
11OCT17	B 1 PD11JAN18	2131.00	2130.00	USD	-25.00	
11OCT17	S 1 PD11JAN18	2131.50	2130.00	USD	37.50	
	NET 0 AVG BGHT 2143.75 SOLD 2151.58				1,175.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 2 PD12OCT17	5888.00	6755.20	USD	43,360.00	
12JUL17	B 1 PD12OCT17	5892.50	6755.20	USD	21,567.50	
12JUL17	B 1 PD12OCT17	5893.50	6755.20	USD	21,542.50	
12JUL17	B 1 PD12OCT17	5891.50	6755.20	USD	21,592.50	
12JUL17	S 1 PD12OCT17	5899.00	6755.20	USD	-21,405.00	
12JUL17	S 1 PD12OCT17	5897.00	6755.20	USD	-21,455.00	
12JUL17	S 3 PD12OCT17	5900.00	6755.20	USD	-64,140.00	
12JUL17	B 1 PD12OCT17	5898.00	6755.20	USD	21,430.00	
12JUL17	B 1 PD12OCT17	5897.00	6755.20	USD	21,455.00	
12JUL17	S 1 PD12OCT17	5906.00	6755.20	USD	-21,230.00	
12JUL17	S 1 PD12OCT17	5914.00	6755.20	USD	-21,030.00	
12JUL17	S 6 PD12OCT17	5899.50	6755.20	USD	-128,355.00	
12JUL17	S 1 PD12OCT17	5896.00	6755.20	USD	-21,480.00	
12JUL17	S 7 PD12OCT17	5897.50	6755.20	USD	-150,097.50	
12JUL17	S 1 PD12OCT17	5898.00	6755.20	USD	-21,430.00	
12JUL17	S 2 PD12OCT17	5918.00	6755.20	USD	-41,860.00	
12JUL17	B 1 PD12OCT17	5904.50	6755.20	USD	21,267.50	
12JUL17	B 1 PD12OCT17	5905.00	6755.20	USD	21,255.00	
12JUL17	S 3 PD12OCT17	5910.50	6755.20	USD	-63,352.50	
12JUL17	S 1 PD12OCT17	5918.50	6755.20	USD	-20,917.50	
12JUL17	S 2 PD12OCT17	5916.00	6755.20	USD	-41,960.00	
12JUL17	B 2 PD12OCT17	5921.00	6755.20	USD	41,710.00	
12JUL17	B 1 PD12OCT17	5920.00	6755.20	USD	20,880.00	
12JUL17	B 1 PD12OCT17	5919.50	6755.20	USD	20,892.50	
12JUL17	S 1 PD12OCT17	5919.50	6755.20	USD	-20,892.50	
12JUL17	S 1 PD12OCT17	5919.00	6755.20	USD	-20,905.00	
12JUL17	S 1 PD12OCT17	5920.00	6755.20	USD	-20,880.00	
12JUL17	B 1 PD12OCT17	5916.50	6755.20	USD	20,967.50	
12JUL17	B 1 PD12OCT17	5917.00	6755.20	USD	20,955.00	
12JUL17	B 2 PD12OCT17	5917.50	6755.20	USD	41,885.00	
12JUL17	B 1 PD12OCT17	5915.00	6755.20	USD	21,005.00	
12JUL17	B 1 PD12OCT17	5915.50	6755.20	USD	20,992.50	
12JUL17	S 1 PD12OCT17	5925.00	6755.20	USD	-20,755.00	
12JUL17	S 2 PD12OCT17	5925.50	6755.20	USD	-41,485.00	
12JUL17	S 1 PD12OCT17	5926.50	6755.20	USD	-20,717.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD120CT17	5927.50	6755.20	USD	-20,692.50	
12JUL17	S	1	PD120CT17	5930.00	6755.20	USD	-20,630.00	
12JUL17	S	1	PD120CT17	5909.00	6755.20	USD	-21,155.00	
12JUL17	B	1	PD120CT17	5899.50	6755.20	USD	21,392.50	
12JUL17	S	2	PD120CT17	5919.50	6755.20	USD	-41,785.00	
12JUL17	B	1	PD120CT17	5903.00	6755.20	USD	21,305.00	
12JUL17	S	1	PD120CT17	5903.00	6755.20	USD	-21,305.00	
12JUL17	B	1	PD120CT17	5905.20	6755.20	USD	21,250.00	
17JUL17	B	1	PD120CT17	5994.41	6755.20	USD	19,019.75	
17JUL17	B	3	PD120CT17	5994.21	6755.20	USD	57,074.25	
03AUG17	B	2	PD120CT17	6347.15	6755.20	USD	20,402.50	
09AUG17	B	2	PD120CT17	6446.87	6755.20	USD	15,416.50	
10AUG17	B	1	PD120CT17	6413.22	6755.20	USD	8,549.50	
10AUG17	B	2	PD120CT17	6413.02	6755.20	USD	17,109.00	
15AUG17	B	5	PD120CT17	6367.20	6755.20	USD	48,500.00	
14SEP17	B	5	PD120CT17	6469.89	6755.20	USD	35,663.75	
	NET	0 AVG BGHT 6114.79	SOLD 5908.77				-221,474.75	0.00
13JUL17	B	1	PD130CT17	5904.50	6756.00	USD	21,287.50	
13JUL17	B	2	PD130CT17	5912.50	6756.00	USD	42,175.00	
13JUL17	S	1	PD130CT17	5925.00	6756.00	USD	-20,775.00	
13JUL17	B	1	PD130CT17	5921.50	6756.00	USD	20,862.50	
13JUL17	S	1	PD130CT17	5925.50	6756.00	USD	-20,762.50	
13JUL17	S	1	PD130CT17	5922.50	6756.00	USD	-20,837.50	
13JUL17	B	1	PD130CT17	5899.00	6756.00	USD	21,425.00	
13JUL17	B	1	PD130CT17	5887.50	6756.00	USD	21,712.50	
13JUL17	B	1	PD130CT17	5883.00	6756.00	USD	21,825.00	
13JUL17	B	1	PD130CT17	5882.50	6756.00	USD	21,837.50	
13JUL17	B	1	PD130CT17	5882.00	6756.00	USD	21,850.00	
13JUL17	B	1	PD130CT17	5887.00	6756.00	USD	21,725.00	
13JUL17	B	2	PD130CT17	5885.00	6756.00	USD	43,550.00	
13JUL17	B	4	PD130CT17	5880.00	6756.00	USD	87,600.00	
13JUL17	B	6	PD130CT17	5878.50	6756.00	USD	131,625.00	
13JUL17	B	1	PD130CT17	5877.50	6756.00	USD	21,962.50	
13JUL17	B	2	PD130CT17	5876.00	6756.00	USD	44,000.00	
13JUL17	B	1	PD130CT17	5876.50	6756.00	USD	21,987.50	
13JUL17	B	5	PD130CT17	5875.50	6756.00	USD	110,062.50	
13JUL17	B	1	PD130CT17	5878.00	6756.00	USD	21,950.00	
13JUL17	B	1	PD130CT17	5875.00	6756.00	USD	22,025.00	
13JUL17	B	1	PD130CT17	5871.50	6756.00	USD	22,112.50	
13JUL17	B	2	PD130CT17	5869.50	6756.00	USD	44,325.00	
13JUL17	B	1	PD130CT17	5869.00	6756.00	USD	22,175.00	
13JUL17	B	2	PD130CT17	5861.50	6756.00	USD	44,725.00	
13JUL17	B	1	PD130CT17	5863.50	6756.00	USD	22,312.50	
14JUL17	S	1	PD130CT17	5880.00	6756.00	USD	-21,900.00	
14JUL17	B	1	PD130CT17	5881.00	6756.00	USD	21,875.00	
14JUL17	B	2	PD130CT17	5882.00	6756.00	USD	43,700.00	
14JUL17	B	1	PD130CT17	5881.50	6756.00	USD	21,862.50	
14JUL17	B	4	PD130CT17	5881.50	6756.00	USD	87,450.00	
14JUL17	B	1	PD130CT17	5880.00	6756.00	USD	21,900.00	
14JUL17	B	2	PD130CT17	5883.00	6756.00	USD	43,650.00	
14JUL17	B	1	PD130CT17	5883.50	6756.00	USD	21,812.50	
14JUL17	B	1	PD130CT17	5887.50	6756.00	USD	21,712.50	
14JUL17	B	2	PD130CT17	5887.00	6756.00	USD	43,450.00	
14JUL17	S	1	PD130CT17	5886.50	6756.00	USD	-21,737.50	
14JUL17	B	1	PD130CT17	5886.00	6756.00	USD	21,750.00	
14JUL17	S	1	PD130CT17	5888.00	6756.00	USD	-21,700.00	
14JUL17	B	1	PD130CT17	5893.00	6756.00	USD	21,575.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	2	PD130CT17	5900.00	6756.00	USD	42,800.00	
14JUL17	B	1	PD130CT17	5899.50	6756.00	USD	21,412.50	
14JUL17	B	1	PD130CT17	5896.00	6756.00	USD	21,500.00	
14JUL17	S	1	PD130CT17	5913.50	6756.00	USD	-21,062.50	
14JUL17	S	1	PD130CT17	5914.50	6756.00	USD	-21,037.50	
14JUL17	S	1	PD130CT17	5915.00	6756.00	USD	-21,025.00	
14JUL17	B	1	PD130CT17	5915.50	6756.00	USD	21,012.50	
14JUL17	S	1	PD130CT17	5918.50	6756.00	USD	-20,937.50	
14JUL17	S	1	PD130CT17	5916.00	6756.00	USD	-21,000.00	
14JUL17	S	3	PD130CT17	5917.00	6756.00	USD	-62,925.00	
14JUL17	S	1	PD130CT17	5918.00	6756.00	USD	-20,950.00	
14JUL17	S	1	PD130CT17	5926.50	6756.00	USD	-20,737.50	
14JUL17	S	1	PD130CT17	5927.00	6756.00	USD	-20,725.00	
14JUL17	S	2	PD130CT17	5931.50	6756.00	USD	-41,225.00	
14JUL17	S	2	PD130CT17	5929.00	6756.00	USD	-41,350.00	
14JUL17	S	1	PD130CT17	5928.50	6756.00	USD	-20,687.50	
14JUL17	S	1	PD130CT17	5927.50	6756.00	USD	-20,712.50	
14JUL17	S	1	PD130CT17	5924.00	6756.00	USD	-20,800.00	
14JUL17	S	1	PD130CT17	5929.00	6756.00	USD	-20,675.00	
17JUL17	S	3	PD130CT17	5994.37	6756.00	USD	-57,122.25	
19JUL17	S	3	PD130CT17	5965.47	6756.00	USD	-59,289.75	
27JUL17	S	17	PD130CT17	6328.19	6756.00	USD	-181,819.25	
09AUG17	S	2	PD130CT17	6447.30	6756.00	USD	-15,435.00	
09AUG17	S	1	PD130CT17	6447.50	6756.00	USD	-7,712.50	
10AUG17	S	2	PD130CT17	6413.42	6756.00	USD	-17,129.00	
10AUG17	S	7	PD130CT17	6413.62	6756.00	USD	-59,916.50	
15AUG17	S	2	PD130CT17	6367.51	6756.00	USD	-19,424.50	
	NET	0AVG BGHT 5883.37	SOLD 6148.64				411,163.75	0.00
17JUL17	B	1	PD170CT17	5959.50	6759.20	USD	19,992.50	
17JUL17	S	1	PD170CT17	5918.00	6759.20	USD	-21,030.00	
17JUL17	B	2	PD170CT17	5950.00	6759.20	USD	40,460.00	
17JUL17	B	1	PD170CT17	5921.00	6759.20	USD	20,955.00	
17JUL17	B	1	PD170CT17	5948.50	6759.20	USD	20,267.50	
17JUL17	B	1	PD170CT17	5949.50	6759.20	USD	20,242.50	
17JUL17	B	1	PD170CT17	5951.00	6759.20	USD	20,205.00	
17JUL17	B	2	PD170CT17	5918.00	6759.20	USD	42,060.00	
17JUL17	B	1	PD170CT17	5960.00	6759.20	USD	19,980.00	
17JUL17	S	1	PD170CT17	5922.00	6759.20	USD	-20,930.00	
17JUL17	S	1	PD170CT17	5982.00	6759.20	USD	-19,430.00	
17JUL17	S	5	PD170CT17	5981.00	6759.20	USD	-97,275.00	
17JUL17	B	1	PD170CT17	5974.00	6759.20	USD	19,630.00	
17JUL17	S	1	PD170CT17	5922.50	6759.20	USD	-20,917.50	
17JUL17	S	1	PD170CT17	5925.00	6759.20	USD	-20,855.00	
17JUL17	S	2	PD170CT17	5913.00	6759.20	USD	-42,310.00	
17JUL17	S	2	PD170CT17	5923.50	6759.20	USD	-41,785.00	
17JUL17	S	1	PD170CT17	5920.00	6759.20	USD	-20,980.00	
17JUL17	B	2	PD170CT17	5950.50	6759.20	USD	40,435.00	
17JUL17	S	1	PD170CT17	5980.00	6759.20	USD	-19,480.00	
17JUL17	S	2	PD170CT17	5980.50	6759.20	USD	-38,935.00	
17JUL17	S	1	PD170CT17	5983.00	6759.20	USD	-19,405.00	
17JUL17	S	1	PD170CT17	5982.50	6759.20	USD	-19,417.50	
17JUL17	S	1	PD170CT17	5977.00	6759.20	USD	-19,555.00	
17JUL17	S	3	PD170CT17	5981.50	6759.20	USD	-58,327.50	
17JUL17	S	2	PD170CT17	5985.00	6759.20	USD	-38,710.00	
17JUL17	S	1	PD170CT17	5985.50	6759.20	USD	-19,342.50	
17JUL17	B	1	PD170CT17	6006.00	6759.20	USD	18,830.00	
17JUL17	B	2	PD170CT17	6004.00	6759.20	USD	37,760.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	6010.50	6759.20	USD	-18,717.50	
17JUL17	S	2	PD17OCT17	6009.50	6759.20	USD	-37,485.00	
17JUL17	S	1	PD17OCT17	6010.00	6759.20	USD	-18,730.00	
17JUL17	S	2	PD17OCT17	6011.50	6759.20	USD	-37,385.00	
17JUL17	S	1	PD17OCT17	6012.00	6759.20	USD	-18,680.00	
17JUL17	S	4	PD17OCT17	6013.00	6759.20	USD	-74,620.00	
17JUL17	S	1	PD17OCT17	6019.00	6759.20	USD	-18,505.00	
17JUL17	S	1	PD17OCT17	6016.00	6759.20	USD	-18,580.00	
17JUL17	S	2	PD17OCT17	6016.50	6759.20	USD	-37,135.00	
17JUL17	S	2	PD17OCT17	6018.00	6759.20	USD	-37,060.00	
17JUL17	S	2	PD17OCT17	6015.50	6759.20	USD	-37,185.00	
17JUL17	S	1	PD17OCT17	6007.50	6759.20	USD	-18,792.50	
17JUL17	S	1	PD17OCT17	6001.00	6759.20	USD	-18,955.00	
17JUL17	S	1	PD17OCT17	6003.00	6759.20	USD	-18,905.00	
17JUL17	S	1	PD17OCT17	6004.50	6759.20	USD	-18,867.50	
17JUL17	B	1	PD17OCT17	6001.50	6759.20	USD	18,942.50	
28JUL17	B	2	PD17OCT17	6324.14	6759.20	USD	21,753.00	
03AUG17	B	12	PD17OCT17	6349.20	6759.20	USD	123,000.00	
07AUG17	B	3	PD17OCT17	6408.50	6759.20	USD	26,302.50	
09AUG17	B	1	PD17OCT17	6448.95	6759.20	USD	7,756.25	
09AUG17	B	1	PD17OCT17	6448.75	6759.20	USD	7,761.25	
10AUG17	B	7	PD17OCT17	6416.20	6759.20	USD	60,025.00	
10AUG17	B	7	PD17OCT17	6416.00	6759.20	USD	60,060.00	
	NET	0AVG BGHT 6242.07	SOLD 5984.57				-321,869.50	0.00
18JUL17	S	1	PD18OCT17	6002.50	6760.00	USD	-18,937.50	
18JUL17	B	1	PD18OCT17	5997.50	6760.00	USD	19,062.50	
18JUL17	S	1	PD18OCT17	6010.00	6760.00	USD	-18,750.00	
18JUL17	B	1	PD18OCT17	6013.00	6760.00	USD	18,675.00	
18JUL17	S	2	PD18OCT17	5997.00	6760.00	USD	-38,150.00	
18JUL17	B	2	PD18OCT17	5981.00	6760.00	USD	38,950.00	
18JUL17	S	1	PD18OCT17	5987.50	6760.00	USD	-19,312.50	
18JUL17	S	3	PD18OCT17	5996.50	6760.00	USD	-57,262.50	
18JUL17	B	1	PD18OCT17	5991.00	6760.00	USD	19,225.00	
18JUL17	B	1	PD18OCT17	5991.50	6760.00	USD	19,212.50	
18JUL17	B	1	PD18OCT17	5994.50	6760.00	USD	19,137.50	
18JUL17	B	1	PD18OCT17	5996.00	6760.00	USD	19,100.00	
18JUL17	B	1	PD18OCT17	5995.00	6760.00	USD	19,125.00	
18JUL17	B	1	PD18OCT17	5994.00	6760.00	USD	19,150.00	
18JUL17	B	1	PD18OCT17	6002.00	6760.00	USD	18,950.00	
18JUL17	S	1	PD18OCT17	6003.50	6760.00	USD	-18,912.50	
18JUL17	S	1	PD18OCT17	5998.00	6760.00	USD	-19,050.00	
18JUL17	S	1	PD18OCT17	6003.00	6760.00	USD	-18,925.00	
18JUL17	B	1	PD18OCT17	6004.00	6760.00	USD	18,900.00	
18JUL17	B	1	PD18OCT17	5993.50	6760.00	USD	19,162.50	
18JUL17	S	1	PD18OCT17	6011.50	6760.00	USD	-18,712.50	
18JUL17	S	1	PD18OCT17	6007.00	6760.00	USD	-18,825.00	
18JUL17	S	1	PD18OCT17	6009.00	6760.00	USD	-18,775.00	
28JUL17	B	2	PD18OCT17	6324.50	6760.00	USD	21,775.00	
10AUG17	B	1	PD18OCT17	6416.25	6760.00	USD	8,593.75	
10AUG17	S	1	PD18OCT17	6416.05	6760.00	USD	-8,598.75	
15AUG17	S	1	PD18OCT17	6369.80	6760.00	USD	-9,755.00	
	NET	0AVG BGHT 6062.45	SOLD 6050.08				-4,947.50	0.00
19JUL17	S	3	PD19OCT17	5998.50	6760.88	USD	-57,178.50	
19JUL17	S	1	PD19OCT17	5999.00	6760.88	USD	-19,047.00	
19JUL17	S	4	PD19OCT17	5999.50	6760.88	USD	-76,138.00	
19JUL17	S	1	PD19OCT17	6000.00	6760.88	USD	-19,022.00	
19JUL17	S	1	PD19OCT17	6001.00	6760.88	USD	-18,997.00	

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19JUL17	S	1	PD19OCT17	6001.50	6760.88	USD	-18,984.50	
19JUL17	B	1	PD19OCT17	5995.00	6760.88	USD	19,147.00	
19JUL17	S	2	PD19OCT17	5994.00	6760.88	USD	-38,344.00	
19JUL17	B	1	PD19OCT17	6008.00	6760.88	USD	18,822.00	
19JUL17	S	1	PD19OCT17	6014.50	6760.88	USD	-18,659.50	
19JUL17	S	1	PD19OCT17	6018.00	6760.88	USD	-18,572.00	
19JUL17	B	1	PD19OCT17	6016.00	6760.88	USD	18,622.00	
19JUL17	B	1	PD19OCT17	6007.50	6760.88	USD	18,834.50	
19JUL17	B	1	PD19OCT17	6003.00	6760.88	USD	18,947.00	
19JUL17	B	1	PD19OCT17	6001.00	6760.88	USD	18,997.00	
19JUL17	B	1	PD19OCT17	5995.50	6760.88	USD	19,134.50	
19JUL17	S	1	PD19OCT17	6008.00	6760.88	USD	-18,822.00	
19JUL17	S	1	PD19OCT17	6007.50	6760.88	USD	-18,834.50	
19JUL17	S	1	PD19OCT17	6008.50	6760.88	USD	-18,809.50	
19JUL17	B	2	PD19OCT17	6005.00	6760.88	USD	37,794.00	
19JUL17	B	1	PD19OCT17	5997.00	6760.88	USD	19,097.00	
19JUL17	B	2	PD19OCT17	5996.50	6760.88	USD	38,219.00	
19JUL17	B	2	PD19OCT17	6000.00	6760.88	USD	38,044.00	
19JUL17	B	2	PD19OCT17	5999.50	6760.88	USD	38,069.00	
19JUL17	B	1	PD19OCT17	5992.50	6760.88	USD	19,209.50	
19JUL17	B	1	PD19OCT17	5994.00	6760.88	USD	19,172.00	
19JUL17	B	2	PD19OCT17	5993.50	6760.88	USD	38,369.00	
19JUL17	B	2	PD19OCT17	5991.50	6760.88	USD	38,469.00	
19JUL17	B	1	PD19OCT17	5993.00	6760.88	USD	19,197.00	
19JUL17	B	1	PD19OCT17	5998.50	6760.88	USD	19,059.50	
19JUL17	B	1	PD19OCT17	5997.50	6760.88	USD	19,084.50	
19JUL17	B	1	PD19OCT17	5992.00	6760.88	USD	19,222.00	
19JUL17	B	2	PD19OCT17	5991.00	6760.88	USD	38,494.00	
19JUL17	B	3	PD19OCT17	5989.50	6760.88	USD	57,853.50	
19JUL17	B	1	PD19OCT17	5975.00	6760.88	USD	19,647.00	
19JUL17	B	2	PD19OCT17	5978.50	6760.88	USD	39,119.00	
19JUL17	B	1	PD19OCT17	5977.50	6760.88	USD	19,584.50	
19JUL17	S	3	PD19OCT17	6008.00	6760.88	USD	-56,466.00	
19JUL17	B	2	PD19OCT17	5973.50	6760.88	USD	39,369.00	
19JUL17	B	2	PD19OCT17	5972.00	6760.88	USD	39,444.00	
19JUL17	B	1	PD19OCT17	5971.50	6760.88	USD	19,734.50	
19JUL17	S	1	PD19OCT17	5974.50	6760.88	USD	-19,659.50	
19JUL17	S	1	PD19OCT17	5975.00	6760.88	USD	-19,647.00	
27JUL17	S	1	PD19OCT17	6329.00	6760.88	USD	-10,797.00	
28JUL17	S	8	PD19OCT17	6323.30	6760.88	USD	-87,516.00	
03AUG17	B	1	PD19OCT17	6348.20	6760.88	USD	10,317.00	
09AUG17	S	1	PD19OCT17	6447.80	6760.88	USD	-7,827.00	
10AUG17	S	5	PD19OCT17	6415.05	6760.88	USD	-43,228.75	
15AUG17	S	2	PD19OCT17	6368.80	6760.88	USD	-19,604.00	
06SEP17	S	1	PD19OCT17	6883.80	6760.88	USD	3,073.00	
	NET	0AVG BGHT 6000.81	SOLD 6172.51				175,991.25	0.00
20JUL17	B	1	PD20OCT17	5974.00	6761.75	USD	19,693.75	
20JUL17	B	1	PD20OCT17	5974.50	6761.75	USD	19,681.25	
20JUL17	B	5	PD20OCT17	5968.50	6761.75	USD	99,156.25	
20JUL17	B	1	PD20OCT17	5967.50	6761.75	USD	19,856.25	
20JUL17	B	1	PD20OCT17	5977.50	6761.75	USD	19,606.25	
20JUL17	B	1	PD20OCT17	5978.50	6761.75	USD	19,581.25	
20JUL17	B	1	PD20OCT17	5979.00	6761.75	USD	19,568.75	
20JUL17	B	4	PD20OCT17	5985.00	6761.75	USD	77,675.00	
20JUL17	B	1	PD20OCT17	5986.00	6761.75	USD	19,393.75	
20JUL17	S	1	PD20OCT17	5985.50	6761.75	USD	-19,406.25	
20JUL17	S	1	PD20OCT17	5986.00	6761.75	USD	-19,393.75	

OPEN POSITION STATEMENT



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SAP0094X

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD200CT17	5974.00	6761.75	USD	-19,693.75	
20JUL17	B	1	PD200CT17	5975.50	6761.75	USD	19,656.25	
20JUL17	B	4	PD200CT17	5981.00	6761.75	USD	78,075.00	
20JUL17	B	2	PD200CT17	5987.00	6761.75	USD	38,737.50	
20JUL17	S	1	PD200CT17	5989.00	6761.75	USD	-19,318.75	
20JUL17	B	1	PD200CT17	5972.50	6761.75	USD	19,731.25	
20JUL17	B	2	PD200CT17	5984.00	6761.75	USD	38,887.50	
20JUL17	B	1	PD200CT17	5981.50	6761.75	USD	19,506.25	
20JUL17	S	1	PD200CT17	5982.00	6761.75	USD	-19,493.75	
20JUL17	B	1	PD200CT17	5983.00	6761.75	USD	19,468.75	
20JUL17	S	1	PD200CT17	5970.00	6761.75	USD	-19,793.75	
20JUL17	B	1	PD200CT17	5973.00	6761.75	USD	19,718.75	
20JUL17	B	4	PD200CT17	5968.00	6761.75	USD	79,375.00	
20JUL17	B	3	PD200CT17	5967.00	6761.75	USD	59,606.25	
20JUL17	B	1	PD200CT17	5966.00	6761.75	USD	19,893.75	
20JUL17	B	1	PD200CT17	5965.50	6761.75	USD	19,906.25	
20JUL17	B	1	PD200CT17	5966.50	6761.75	USD	19,881.25	
20JUL17	B	1	PD200CT17	5965.00	6761.75	USD	19,918.75	
20JUL17	B	1	PD200CT17	5970.50	6761.75	USD	19,781.25	
20JUL17	S	2	PD200CT17	5983.00	6761.75	USD	-38,937.50	
20JUL17	S	1	PD200CT17	5982.50	6761.75	USD	-19,481.25	
20JUL17	S	1	PD200CT17	5983.00	6761.75	USD	-19,468.75	
20JUL17	B	1	PD200CT17	5983.00	6761.75	USD	19,468.75	
20JUL17	S	1	PD200CT17	5975.00	6761.75	USD	-19,668.75	
20JUL17	S	1	PD200CT17	5948.50	6761.75	USD	-20,331.25	
20JUL17	B	3	PD200CT17	5978.00	6761.75	USD	58,781.25	
20JUL17	S	1	PD200CT17	5980.00	6761.75	USD	-19,543.75	
20JUL17	S	1	PD200CT17	5984.00	6761.75	USD	-19,443.75	
20JUL17	B	3	PD200CT17	5980.00	6761.75	USD	58,631.25	
20JUL17	S	2	PD200CT17	5978.50	6761.75	USD	-39,162.50	
20JUL17	B	4	PD200CT17	5980.50	6761.75	USD	78,125.00	
20JUL17	S	2	PD200CT17	5976.00	6761.75	USD	-39,287.50	
20JUL17	B	6	PD200CT17	5976.00	6761.75	USD	117,862.50	
20JUL17	B	2	PD200CT17	5976.50	6761.75	USD	39,262.50	
20JUL17	B	3	PD200CT17	5975.00	6761.75	USD	59,006.25	
21JUL17	B	1	PD200CT17	5988.00	6761.75	USD	19,343.75	
21JUL17	B	1	PD200CT17	5996.50	6761.75	USD	19,131.25	
21JUL17	B	1	PD200CT17	6001.00	6761.75	USD	19,018.75	
21JUL17	S	1	PD200CT17	6004.00	6761.75	USD	-18,943.75	
21JUL17	B	1	PD200CT17	6009.00	6761.75	USD	18,818.75	
21JUL17	S	1	PD200CT17	6001.50	6761.75	USD	-19,006.25	
21JUL17	B	1	PD200CT17	6024.00	6761.75	USD	18,443.75	
21JUL17	B	1	PD200CT17	6032.00	6761.75	USD	18,243.75	
21JUL17	S	1	PD200CT17	6033.50	6761.75	USD	-18,206.25	
21JUL17	S	1	PD200CT17	6040.00	6761.75	USD	-18,043.75	
21JUL17	S	1	PD200CT17	6049.50	6761.75	USD	-17,806.25	
21JUL17	S	2	PD200CT17	6034.00	6761.75	USD	-36,387.50	
21JUL17	S	1	PD200CT17	6036.00	6761.75	USD	-18,143.75	
21JUL17	S	1	PD200CT17	6032.00	6761.75	USD	-18,243.75	
21JUL17	S	1	PD200CT17	6034.50	6761.75	USD	-18,181.25	
21JUL17	B	2	PD200CT17	6035.50	6761.75	USD	36,312.50	
21JUL17	B	2	PD200CT17	6034.00	6761.75	USD	36,387.50	
21JUL17	B	1	PD200CT17	6033.50	6761.75	USD	18,206.25	
21JUL17	B	2	PD200CT17	6032.50	6761.75	USD	36,462.50	
21JUL17	S	2	PD200CT17	6030.50	6761.75	USD	-36,562.50	
21JUL17	B	2	PD200CT17	6031.00	6761.75	USD	36,537.50	
21JUL17	S	1	PD200CT17	6027.00	6761.75	USD	-18,368.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	6026.50	6761.75	USD	-18,381.25	
21JUL17	S	2	PD200CT17	6027.50	6761.75	USD	-36,712.50	
21JUL17	S	2	PD200CT17	6028.00	6761.75	USD	-36,687.50	
21JUL17	S	2	PD200CT17	6030.00	6761.75	USD	-36,587.50	
21JUL17	S	3	PD200CT17	6032.50	6761.75	USD	-54,693.75	
21JUL17	S	3	PD200CT17	6029.50	6761.75	USD	-54,918.75	
21JUL17	S	1	PD200CT17	6025.00	6761.75	USD	-18,418.75	
21JUL17	S	3	PD200CT17	6012.50	6761.75	USD	-56,193.75	
21JUL17	S	2	PD200CT17	6009.00	6761.75	USD	-37,637.50	
21JUL17	S	4	PD200CT17	6014.50	6761.75	USD	-74,725.00	
21JUL17	S	1	PD200CT17	6013.00	6761.75	USD	-18,718.75	
21JUL17	S	1	PD200CT17	6015.50	6761.75	USD	-18,656.25	
21JUL17	S	7	PD200CT17	6015.00	6761.75	USD	-130,681.25	
21JUL17	S	1	PD200CT17	5999.50	6761.75	USD	-19,056.25	
21JUL17	S	1	PD200CT17	6009.00	6761.75	USD	-18,818.75	
21JUL17	S	1	PD200CT17	5991.50	6761.75	USD	-19,256.25	
21JUL17	S	3	PD200CT17	5992.50	6761.75	USD	-57,693.75	
21JUL17	S	2	PD200CT17	5992.00	6761.75	USD	-38,487.50	
21JUL17	B	1	PD200CT17	5995.00	6761.75	USD	19,168.75	
21JUL17	S	1	PD200CT17	5996.00	6761.75	USD	-19,143.75	
27JUL17	S	2	PD200CT17	6327.80	6761.75	USD	-21,697.50	
27JUL17	S	14	PD200CT17	6328.00	6761.75	USD	-151,812.50	
28JUL17	B	2	PD200CT17	6322.50	6761.75	USD	21,962.50	
08AUG17	B	1	PD200CT17	6472.50	6761.75	USD	7,231.25	
10AUG17	S	2	PD200CT17	6414.05	6761.75	USD	-17,385.00	
10AUG17	B	2	PD200CT17	6414.25	6761.75	USD	17,375.00	
15AUG17	B	2	PD200CT17	6368.00	6761.75	USD	19,687.50	
05SEP17	B	1	PD200CT17	6881.70	6761.75	USD	-2,998.75	
14SEP17	B	3	PD200CT17	6472.95	6761.75	USD	21,660.00	
	NET	0 AVG BGHT 6042.42	SOLD 6074.34				71,803.75	0.00
24JUL17	B	1	PD240CT17	5991.00	6765.25	USD	19,356.25	
24JUL17	S	1	PD240CT17	5992.50	6765.25	USD	-19,318.75	
24JUL17	S	1	PD240CT17	5989.00	6765.25	USD	-19,406.25	
24JUL17	B	1	PD240CT17	6008.50	6765.25	USD	18,918.75	
24JUL17	B	1	PD240CT17	5995.00	6765.25	USD	19,256.25	
24JUL17	B	2	PD240CT17	5995.50	6765.25	USD	38,487.50	
24JUL17	S	1	PD240CT17	5988.50	6765.25	USD	-19,418.75	
24JUL17	B	1	PD240CT17	5991.50	6765.25	USD	19,343.75	
24JUL17	S	3	PD240CT17	5994.00	6765.25	USD	-57,843.75	
24JUL17	S	3	PD240CT17	5994.50	6765.25	USD	-57,806.25	
24JUL17	S	1	PD240CT17	5995.00	6765.25	USD	-19,256.25	
24JUL17	S	2	PD240CT17	5995.50	6765.25	USD	-38,487.50	
24JUL17	S	2	PD240CT17	5997.50	6765.25	USD	-38,387.50	
24JUL17	S	1	PD240CT17	5999.50	6765.25	USD	-19,143.75	
24JUL17	S	1	PD240CT17	5999.00	6765.25	USD	-19,156.25	
24JUL17	B	1	PD240CT17	6000.00	6765.25	USD	19,131.25	
24JUL17	S	1	PD240CT17	5998.50	6765.25	USD	-19,168.75	
24JUL17	B	1	PD240CT17	5989.00	6765.25	USD	19,406.25	
24JUL17	S	1	PD240CT17	6022.00	6765.25	USD	-18,581.25	
24JUL17	S	1	PD240CT17	6018.00	6765.25	USD	-18,681.25	
24JUL17	S	1	PD240CT17	6023.00	6765.25	USD	-18,556.25	
24JUL17	B	1	PD240CT17	6020.00	6765.25	USD	18,631.25	
24JUL17	S	1	PD240CT17	6011.50	6765.25	USD	-18,843.75	
24JUL17	S	2	PD240CT17	6021.50	6765.25	USD	-37,187.50	
24JUL17	S	1	PD240CT17	6021.00	6765.25	USD	-18,606.25	
24JUL17	S	5	PD240CT17	6019.00	6765.25	USD	-93,281.25	
24JUL17	S	3	PD240CT17	6016.50	6765.25	USD	-56,156.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD240CT17	6019.50	6765.25	USD	-18,643.75	
24JUL17	S	2	PD240CT17	6018.00	6765.25	USD	-37,362.50	
24JUL17	S	1	PD240CT17	6026.50	6765.25	USD	-18,468.75	
24JUL17	S	3	PD240CT17	6026.00	6765.25	USD	-55,443.75	
24JUL17	S	1	PD240CT17	6027.50	6765.25	USD	-18,443.75	
24JUL17	S	2	PD240CT17	6028.00	6765.25	USD	-36,862.50	
27JUL17	B	14	PD240CT17	6329.34	6765.25	USD	152,568.50	
28JUL17	B	2	PD240CT17	6323.93	6765.25	USD	22,066.00	
03AUG17	B	1	PD240CT17	6348.91	6765.25	USD	10,408.50	
08AUG17	B	1	PD240CT17	6474.07	6765.25	USD	7,279.50	
09AUG17	B	1	PD240CT17	6448.71	6765.25	USD	7,913.50	
10AUG17	B	8	PD240CT17	6415.96	6765.25	USD	69,858.00	
17AUG17	B	4	PD240CT17	6481.58	6765.25	USD	28,367.00	
22AUG17	B	2	PD240CT17	6570.33	6765.25	USD	9,746.00	
	NET	0AVG BGHT 6307.40	SOLD 6010.48				-311,774.25	0.00
25JUL17	S	1	PD250CT17	6033.50	6765.68	USD	-18,304.50	
25JUL17	S	1	PD250CT17	6028.50	6765.68	USD	-18,429.50	
25JUL17	S	1	PD250CT17	6026.50	6765.68	USD	-18,479.50	
25JUL17	S	1	PD250CT17	6029.00	6765.68	USD	-18,417.00	
25JUL17	S	3	PD250CT17	6028.00	6765.68	USD	-55,326.00	
25JUL17	S	1	PD250CT17	6035.00	6765.68	USD	-18,267.00	
25JUL17	S	1	PD250CT17	6034.50	6765.68	USD	-18,279.50	
25JUL17	S	2	PD250CT17	6042.50	6765.68	USD	-36,159.00	
25JUL17	S	1	PD250CT17	6043.00	6765.68	USD	-18,067.00	
25JUL17	S	2	PD250CT17	6044.00	6765.68	USD	-36,084.00	
25JUL17	S	1	PD250CT17	6050.00	6765.68	USD	-17,892.00	
25JUL17	S	1	PD250CT17	6059.50	6765.68	USD	-17,654.50	
25JUL17	S	1	PD250CT17	6066.00	6765.68	USD	-17,492.00	
25JUL17	S	1	PD250CT17	6091.50	6765.68	USD	-16,854.50	
25JUL17	B	1	PD250CT17	6096.00	6765.68	USD	16,742.00	
25JUL17	B	1	PD250CT17	6094.00	6765.68	USD	16,792.00	
25JUL17	B	3	PD250CT17	6086.00	6765.68	USD	50,976.00	
25JUL17	B	2	PD250CT17	6086.50	6765.68	USD	33,959.00	
25JUL17	S	1	PD250CT17	6085.50	6765.68	USD	-17,004.50	
25JUL17	S	1	PD250CT17	6086.50	6765.68	USD	-16,979.50	
25JUL17	S	2	PD250CT17	6087.00	6765.68	USD	-33,934.00	
25JUL17	B	2	PD250CT17	6087.00	6765.68	USD	33,934.00	
25JUL17	B	1	PD250CT17	6088.00	6765.68	USD	16,942.00	
25JUL17	S	1	PD250CT17	6085.00	6765.68	USD	-17,017.00	
25JUL17	S	1	PD250CT17	6084.50	6765.68	USD	-17,029.50	
25JUL17	S	1	PD250CT17	6108.00	6765.68	USD	-16,442.00	
25JUL17	S	1	PD250CT17	6116.00	6765.68	USD	-16,242.00	
25JUL17	S	1	PD250CT17	6158.00	6765.68	USD	-15,192.00	
25JUL17	S	2	PD250CT17	6176.00	6765.68	USD	-29,484.00	
25JUL17	S	1	PD250CT17	6181.50	6765.68	USD	-14,604.50	
25JUL17	B	1	PD250CT17	6186.50	6765.68	USD	14,479.50	
25JUL17	S	1	PD250CT17	6189.00	6765.68	USD	-14,417.00	
25JUL17	S	1	PD250CT17	6189.50	6765.68	USD	-14,404.50	
25JUL17	B	1	PD250CT17	6089.00	6765.68	USD	16,917.00	
25JUL17	S	1	PD250CT17	6086.50	6765.68	USD	-16,979.50	
25JUL17	S	1	PD250CT17	6086.00	6765.68	USD	-16,992.00	
25JUL17	S	2	PD250CT17	6181.00	6765.68	USD	-29,234.00	
25JUL17	B	1	PD250CT17	6183.00	6765.68	USD	14,567.00	
25JUL17	S	1	PD250CT17	6174.50	6765.68	USD	-14,779.50	
25JUL17	S	1	PD250CT17	6180.50	6765.68	USD	-14,629.50	
25JUL17	S	1	PD250CT17	6178.50	6765.68	USD	-14,679.50	
25JUL17	S	2	PD250CT17	6180.00	6765.68	USD	-29,284.00	

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25JUL17	S	1	PD250CT17	6186.00	6765.68	USD	-14,492.00	
25JUL17	S	1	PD250CT17	6185.50	6765.68	USD	-14,504.50	
25JUL17	S	9	PD250CT17	6185.00	6765.68	USD	-130,653.00	
25JUL17	S	11	PD250CT17	6184.00	6765.68	USD	-159,962.00	
25JUL17	S	2	PD250CT17	6183.00	6765.68	USD	-29,134.00	
25JUL17	S	1	PD250CT17	6182.50	6765.68	USD	-14,579.50	
25JUL17	S	1	PD250CT17	6182.00	6765.68	USD	-14,592.00	
25JUL17	B	3	PD250CT17	6180.00	6765.68	USD	43,926.00	
25JUL17	B	2	PD250CT17	6180.50	6765.68	USD	29,259.00	
25JUL17	S	2	PD250CT17	6178.00	6765.68	USD	-29,384.00	
25JUL17	B	1	PD250CT17	6178.50	6765.68	USD	14,679.50	
25JUL17	B	6	PD250CT17	6179.00	6765.68	USD	88,002.00	
25JUL17	B	6	PD250CT17	6182.50	6765.68	USD	87,477.00	
25JUL17	S	1	PD250CT17	6191.00	6765.68	USD	-14,367.00	
25JUL17	B	2	PD250CT17	6190.00	6765.68	USD	28,784.00	
25JUL17	B	1	PD250CT17	6197.50	6765.68	USD	14,204.50	
25JUL17	B	1	PD250CT17	6199.50	6765.68	USD	14,154.50	
25JUL17	S	1	PD250CT17	6198.50	6765.68	USD	-14,179.50	
25JUL17	B	1	PD250CT17	6198.50	6765.68	USD	14,179.50	
25JUL17	S	1	PD250CT17	6199.50	6765.68	USD	-14,154.50	
25JUL17	S	1	PD250CT17	6215.00	6765.68	USD	-13,767.00	
25JUL17	S	1	PD250CT17	6220.00	6765.68	USD	-13,642.00	
25JUL17	B	1	PD250CT17	6223.50	6765.68	USD	13,554.50	
25JUL17	B	1	PD250CT17	6222.00	6765.68	USD	13,592.00	
25JUL17	S	1	PD250CT17	6228.00	6765.68	USD	-13,442.00	
25JUL17	B	1	PD250CT17	6225.00	6765.68	USD	13,517.00	
25JUL17	B	1	PD250CT17	6232.00	6765.68	USD	13,342.00	
25JUL17	B	2	PD250CT17	6220.00	6765.68	USD	27,284.00	
25JUL17	B	1	PD250CT17	6215.00	6765.68	USD	13,767.00	
25JUL17	B	1	PD250CT17	6209.00	6765.68	USD	13,917.00	
25JUL17	B	1	PD250CT17	6212.00	6765.68	USD	13,842.00	
25JUL17	S	2	PD250CT17	6217.50	6765.68	USD	-27,409.00	
25JUL17	S	2	PD250CT17	6219.00	6765.68	USD	-27,334.00	
25JUL17	S	1	PD250CT17	6222.00	6765.68	USD	-13,592.00	
25JUL17	S	1	PD250CT17	6225.50	6765.68	USD	-13,504.50	
25JUL17	S	1	PD250CT17	6225.00	6765.68	USD	-13,517.00	
25JUL17	S	1	PD250CT17	6230.50	6765.68	USD	-13,379.50	
25JUL17	B	2	PD250CT17	6224.00	6765.68	USD	27,084.00	
25JUL17	B	1	PD250CT17	6201.00	6765.68	USD	14,117.00	
25JUL17	B	6	PD250CT17	6192.00	6765.68	USD	86,052.00	
25JUL17	S	1	PD250CT17	6192.50	6765.68	USD	-14,329.50	
25JUL17	B	2	PD250CT17	6194.00	6765.68	USD	28,584.00	
25JUL17	S	1	PD250CT17	6207.50	6765.68	USD	-13,954.50	
25JUL17	S	1	PD250CT17	6211.00	6765.68	USD	-13,867.00	
25JUL17	S	2	PD250CT17	6212.50	6765.68	USD	-27,659.00	
25JUL17	B	4	PD250CT17	6178.50	6765.68	USD	58,718.00	
25JUL17	B	1	PD250CT17	6192.00	6765.68	USD	14,342.00	
25JUL17	S	1	PD250CT17	6198.50	6765.68	USD	-14,179.50	
25JUL17	S	1	PD250CT17	6230.50	6765.68	USD	-13,379.50	
25JUL17	S	1	PD250CT17	6203.00	6765.68	USD	-14,067.00	
25JUL17	S	1	PD250CT17	6233.00	6765.68	USD	-13,317.00	
25JUL17	S	1	PD250CT17	6244.50	6765.68	USD	-13,029.50	
25JUL17	S	1	PD250CT17	6253.50	6765.68	USD	-12,804.50	
25JUL17	B	1	PD250CT17	6257.00	6765.68	USD	12,717.00	
25JUL17	B	1	PD250CT17	6265.50	6765.68	USD	12,504.50	
25JUL17	S	1	PD250CT17	6266.00	6765.68	USD	-12,492.00	
25JUL17	S	3	PD250CT17	6279.00	6765.68	USD	-36,501.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	6257.50	6765.68	USD	12,704.50	
25JUL17	B	1	PD250CT17	6258.00	6765.68	USD	12,692.00	
25JUL17	S	1	PD250CT17	6263.50	6765.68	USD	-12,554.50	
25JUL17	S	1	PD250CT17	6264.00	6765.68	USD	-12,542.00	
25JUL17	S	1	PD250CT17	6263.00	6765.68	USD	-12,567.00	
25JUL17	B	1	PD250CT17	6252.50	6765.68	USD	12,829.50	
25JUL17	B	1	PD250CT17	6253.00	6765.68	USD	12,817.00	
25JUL17	S	1	PD250CT17	6247.50	6765.68	USD	-12,954.50	
27JUL17	B	1	PD250CT17	6329.63	6765.68	USD	10,901.25	
09AUG17	B	2	PD250CT17	6449.14	6765.68	USD	15,827.00	
10AUG17	B	3	PD250CT17	6416.39	6765.68	USD	26,196.75	
17AUG17	B	4	PD250CT17	6482.17	6765.68	USD	28,351.00	
22AUG17	B	5	PD250CT17	6570.92	6765.68	USD	24,345.00	
22AUG17	B	7	PD250CT17	6571.12	6765.68	USD	34,048.00	
06SEP17	B	3	PD250CT17	6885.86	6765.68	USD	-9,013.50	
11SEP17	B	6	PD250CT17	6727.29	6765.68	USD	5,758.50	
12SEP17	B	4	PD250CT17	6645.29	6765.68	USD	12,039.00	
	NET	0 AVG BGHT 6323.95	SOLD 6163.79				-408,417.00	0.00
26JUL17	S	1	PD260CT17	6267.50	6766.11	USD	-12,465.25	
26JUL17	S	1	PD260CT17	6256.50	6766.11	USD	-12,740.25	
26JUL17	S	1	PD260CT17	6257.00	6766.11	USD	-12,727.75	
26JUL17	S	1	PD260CT17	6260.00	6766.11	USD	-12,652.75	
26JUL17	S	2	PD260CT17	6263.00	6766.11	USD	-25,155.50	
26JUL17	B	1	PD260CT17	6264.00	6766.11	USD	12,552.75	
26JUL17	S	1	PD260CT17	6265.00	6766.11	USD	-12,527.75	
26JUL17	S	1	PD260CT17	6254.00	6766.11	USD	-12,802.75	
26JUL17	B	1	PD260CT17	6246.50	6766.11	USD	12,990.25	
26JUL17	S	1	PD260CT17	6237.00	6766.11	USD	-13,227.75	
26JUL17	S	1	PD260CT17	6235.00	6766.11	USD	-13,277.75	
26JUL17	S	2	PD260CT17	6275.00	6766.11	USD	-24,555.50	
26JUL17	S	1	PD260CT17	6277.00	6766.11	USD	-12,227.75	
26JUL17	S	1	PD260CT17	6278.00	6766.11	USD	-12,202.75	
26JUL17	S	1	PD260CT17	6279.00	6766.11	USD	-12,177.75	
26JUL17	S	2	PD260CT17	6281.50	6766.11	USD	-24,230.50	
26JUL17	S	1	PD260CT17	6292.50	6766.11	USD	-11,840.25	
26JUL17	S	2	PD260CT17	6293.00	6766.11	USD	-23,655.50	
26JUL17	S	1	PD260CT17	6300.50	6766.11	USD	-11,640.25	
26JUL17	B	1	PD260CT17	6331.00	6766.11	USD	10,877.75	
26JUL17	B	1	PD260CT17	6331.50	6766.11	USD	10,865.25	
26JUL17	S	1	PD260CT17	6334.50	6766.11	USD	-10,790.25	
26JUL17	S	1	PD260CT17	6337.50	6766.11	USD	-10,715.25	
26JUL17	S	2	PD260CT17	6343.50	6766.11	USD	-21,130.50	
26JUL17	B	1	PD260CT17	6360.00	6766.11	USD	10,152.75	
26JUL17	S	1	PD260CT17	6350.00	6766.11	USD	-10,402.75	
26JUL17	S	1	PD260CT17	6346.50	6766.11	USD	-10,490.25	
26JUL17	B	1	PD260CT17	6352.50	6766.11	USD	10,340.25	
26JUL17	B	1	PD260CT17	6350.00	6766.11	USD	10,402.75	
26JUL17	S	2	PD260CT17	6366.50	6766.11	USD	-19,980.50	
26JUL17	S	1	PD260CT17	6369.50	6766.11	USD	-9,915.25	
26JUL17	B	1	PD260CT17	6395.00	6766.11	USD	9,277.75	
26JUL17	S	1	PD260CT17	6386.00	6766.11	USD	-9,502.75	
26JUL17	B	1	PD260CT17	6366.00	6766.11	USD	10,002.75	
26JUL17	S	1	PD260CT17	6336.00	6766.11	USD	-10,752.75	
26JUL17	S	1	PD260CT17	6336.50	6766.11	USD	-10,740.25	
26JUL17	S	1	PD260CT17	6340.00	6766.11	USD	-10,652.75	
26JUL17	S	1	PD260CT17	6327.50	6766.11	USD	-10,965.25	
26JUL17	B	1	PD260CT17	6318.00	6766.11	USD	11,202.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD260CT17	6314.50	6766.11	USD	11,290.25	
26JUL17	S	3	PD260CT17	6304.50	6766.11	USD	-34,620.75	
26JUL17	B	1	PD260CT17	6274.00	6766.11	USD	12,302.75	
26JUL17	B	1	PD260CT17	6301.00	6766.11	USD	11,627.75	
26JUL17	B	1	PD260CT17	6281.00	6766.11	USD	12,127.75	
26JUL17	B	1	PD260CT17	6388.00	6766.11	USD	9,452.75	
26JUL17	S	1	PD260CT17	6310.00	6766.11	USD	-11,402.75	
26JUL17	B	2	PD260CT17	6272.00	6766.11	USD	24,705.50	
26JUL17	B	1	PD260CT17	6292.50	6766.11	USD	11,840.25	
26JUL17	B	1	PD260CT17	6286.50	6766.11	USD	11,990.25	
26JUL17	B	1	PD260CT17	6295.50	6766.11	USD	11,765.25	
26JUL17	S	1	PD260CT17	6295.50	6766.11	USD	-11,765.25	
26JUL17	S	2	PD260CT17	6301.50	6766.11	USD	-23,230.50	
26JUL17	B	1	PD260CT17	6299.50	6766.11	USD	11,665.25	
26JUL17	S	1	PD260CT17	6296.50	6766.11	USD	-11,740.25	
26JUL17	S	1	PD260CT17	6297.50	6766.11	USD	-11,715.25	
26JUL17	B	1	PD260CT17	6298.00	6766.11	USD	11,702.75	
26JUL17	B	1	PD260CT17	6303.00	6766.11	USD	11,577.75	
26JUL17	B	1	PD260CT17	6302.50	6766.11	USD	11,590.25	
26JUL17	B	1	PD260CT17	6305.00	6766.11	USD	11,527.75	
26JUL17	B	2	PD260CT17	6297.00	6766.11	USD	23,455.50	
26JUL17	B	1	PD260CT17	6304.50	6766.11	USD	11,540.25	
26JUL17	B	1	PD260CT17	6311.00	6766.11	USD	11,377.75	
26JUL17	S	1	PD260CT17	6338.00	6766.11	USD	-10,702.75	
26JUL17	S	1	PD260CT17	6322.50	6766.11	USD	-11,090.25	
26JUL17	B	2	PD260CT17	6323.00	6766.11	USD	22,155.50	
27JUL17	S	1	PD260CT17	6329.51	6766.11	USD	-10,915.00	
08AUG17	S	1	PD260CT17	6474.66	6766.11	USD	-7,286.25	
09AUG17	B	3	PD260CT17	6449.57	6766.11	USD	23,740.50	
10AUG17	B	1	PD260CT17	6416.82	6766.11	USD	8,732.25	
17AUG17	B	3	PD260CT17	6482.75	6766.11	USD	21,252.00	
22AUG17	B	4	PD260CT17	6571.70	6766.11	USD	19,441.00	
12SEP17	B	6	PD260CT17	6645.57	6766.11	USD	18,081.00	
	NET	0AVG BGHT 6396.44	SOLD 6307.26				-107,008.25	0.00
27JUL17	B	1	PD270CT17	6343.50	6766.54	USD	10,576.00	
27JUL17	B	1	PD270CT17	6346.00	6766.54	USD	10,513.50	
27JUL17	B	1	PD270CT17	6353.00	6766.54	USD	10,338.50	
27JUL17	B	2	PD270CT17	6317.50	6766.54	USD	22,452.00	
27JUL17	S	1	PD270CT17	6362.50	6766.54	USD	-10,101.00	
27JUL17	S	1	PD270CT17	6356.00	6766.54	USD	-10,263.50	
27JUL17	S	1	PD270CT17	6354.50	6766.54	USD	-10,301.00	
27JUL17	S	1	PD270CT17	6352.50	6766.54	USD	-10,351.00	
27JUL17	B	2	PD270CT17	6351.50	6766.54	USD	20,752.00	
27JUL17	B	6	PD270CT17	6351.00	6766.54	USD	62,331.00	
27JUL17	S	1	PD270CT17	6349.00	6766.54	USD	-10,438.50	
27JUL17	B	2	PD270CT17	6349.00	6766.54	USD	20,877.00	
27JUL17	B	1	PD270CT17	6348.50	6766.54	USD	10,451.00	
27JUL17	S	1	PD270CT17	6340.00	6766.54	USD	-10,663.50	
27JUL17	B	1	PD270CT17	6344.00	6766.54	USD	10,563.50	
27JUL17	S	2	PD270CT17	6344.00	6766.54	USD	-21,127.00	
27JUL17	S	2	PD270CT17	6353.50	6766.54	USD	-20,652.00	
27JUL17	S	2	PD270CT17	6353.00	6766.54	USD	-20,677.00	
27JUL17	S	1	PD270CT17	6351.50	6766.54	USD	-10,376.00	
27JUL17	B	1	PD270CT17	6365.50	6766.54	USD	10,026.00	
27JUL17	B	1	PD270CT17	6370.50	6766.54	USD	9,901.00	
27JUL17	B	1	PD270CT17	6369.00	6766.54	USD	9,938.50	
27JUL17	S	2	PD270CT17	6358.00	6766.54	USD	-20,427.00	

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27JUL17	S	4	PD27OCT17	6357.00	6766.54	USD	-40,954.00	
27JUL17	B	1	PD27OCT17	6361.50	6766.54	USD	10,126.00	
27JUL17	S	2	PD27OCT17	6345.00	6766.54	USD	-21,077.00	
27JUL17	S	1	PD27OCT17	6357.50	6766.54	USD	-10,226.00	
27JUL17	S	1	PD27OCT17	6355.00	6766.54	USD	-10,288.50	
27JUL17	S	4	PD27OCT17	6352.50	6766.54	USD	-41,404.00	
27JUL17	S	1	PD27OCT17	6351.00	6766.54	USD	-10,388.50	
27JUL17	B	1	PD27OCT17	6351.00	6766.54	USD	10,388.50	
27JUL17	S	1	PD27OCT17	6343.50	6766.54	USD	-10,576.00	
27JUL17	S	1	PD27OCT17	6348.50	6766.54	USD	-10,451.00	
27JUL17	B	1	PD27OCT17	6336.50	6766.54	USD	10,751.00	
27JUL17	B	2	PD27OCT17	6337.00	6766.54	USD	21,477.00	
28JUL17	B	1	PD27OCT17	6315.50	6766.54	USD	11,276.00	
28JUL17	B	2	PD27OCT17	6314.50	6766.54	USD	22,602.00	
28JUL17	B	1	PD27OCT17	6313.50	6766.54	USD	11,326.00	
28JUL17	B	1	PD27OCT17	6313.00	6766.54	USD	11,338.50	
28JUL17	B	1	PD27OCT17	6317.50	6766.54	USD	11,226.00	
28JUL17	S	2	PD27OCT17	6319.50	6766.54	USD	-22,352.00	
28JUL17	S	2	PD27OCT17	6318.00	6766.54	USD	-22,427.00	
28JUL17	S	2	PD27OCT17	6319.00	6766.54	USD	-22,377.00	
28JUL17	B	1	PD27OCT17	6320.50	6766.54	USD	11,151.00	
28JUL17	B	1	PD27OCT17	6319.50	6766.54	USD	11,176.00	
28JUL17	B	1	PD27OCT17	6324.00	6766.54	USD	11,063.50	
28JUL17	B	1	PD27OCT17	6315.00	6766.54	USD	11,288.50	
28JUL17	S	1	PD27OCT17	6326.50	6766.54	USD	-11,001.00	
28JUL17	B	1	PD27OCT17	6320.00	6766.54	USD	11,163.50	
28JUL17	B	1	PD27OCT17	6280.00	6766.54	USD	12,163.50	
28JUL17	B	1	PD27OCT17	6280.50	6766.54	USD	12,151.00	
28JUL17	S	1	PD27OCT17	6298.50	6766.54	USD	-11,701.00	
28JUL17	B	1	PD27OCT17	6309.50	6766.54	USD	11,426.00	
28JUL17	S	1	PD27OCT17	6295.50	6766.54	USD	-11,776.00	
28JUL17	B	1	PD27OCT17	6324.00	6766.54	USD	11,063.50	
28JUL17	S	1	PD27OCT17	6324.00	6766.54	USD	-11,063.50	
28JUL17	S	1	PD27OCT17	6334.00	6766.54	USD	-10,813.50	
28JUL17	S	1	PD27OCT17	6326.00	6766.54	USD	-11,013.50	
28JUL17	B	3	PD27OCT17	6324.50	6766.54	USD	33,153.00	
28JUL17	B	1	PD27OCT17	6325.50	6766.54	USD	11,026.00	
28JUL17	S	1	PD27OCT17	6333.00	6766.54	USD	-10,838.50	
28JUL17	S	1	PD27OCT17	6337.00	6766.54	USD	-10,738.50	
28JUL17	S	4	PD27OCT17	6324.80	6766.54	USD	-44,174.00	
09AUG17	B	2	PD27OCT17	6450.00	6766.54	USD	15,827.00	
10AUG17	B	1	PD27OCT17	6417.25	6766.54	USD	8,732.25	
10AUG17	S	1	PD27OCT17	6417.05	6766.54	USD	-8,737.25	
22AUG17	B	1	PD27OCT17	6572.22	6766.54	USD	4,858.00	
06SEP17	B	1	PD27OCT17	6886.57	6766.54	USD	-3,000.75	
	NET	0AVG BGHT 6356.36	SOLD 6342.25				-17,282.25	0.00
31JUL17	B	1	PD31OCT17	6397.50	6768.25	USD	9,268.75	
31JUL17	B	1	PD31OCT17	6375.00	6768.25	USD	9,831.25	
31JUL17	B	1	PD31OCT17	6383.00	6768.25	USD	9,631.25	
31JUL17	S	1	PD31OCT17	6348.50	6768.25	USD	-10,493.75	
31JUL17	B	1	PD31OCT17	6347.50	6768.25	USD	10,518.75	
31JUL17	S	1	PD31OCT17	6345.50	6768.25	USD	-10,568.75	
31JUL17	S	1	PD31OCT17	6375.50	6768.25	USD	-9,818.75	
31JUL17	S	2	PD31OCT17	6346.00	6768.25	USD	-21,112.50	
31JUL17	B	1	PD31OCT17	6354.00	6768.25	USD	10,356.25	
31JUL17	B	1	PD31OCT17	6389.00	6768.25	USD	9,481.25	
31JUL17	S	1	PD31OCT17	6382.00	6768.25	USD	-9,656.25	

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31JUL17	B	1	PD31OCT17	6393.50	6768.25	USD	9,368.75	
31JUL17	B	3	PD31OCT17	6399.00	6768.25	USD	27,693.75	
31JUL17	B	3	PD31OCT17	6402.00	6768.25	USD	27,468.75	
31JUL17	B	1	PD31OCT17	6401.00	6768.25	USD	9,181.25	
31JUL17	B	1	PD31OCT17	6401.50	6768.25	USD	9,168.75	
31JUL17	S	1	PD31OCT17	6418.00	6768.25	USD	-8,756.25	
31JUL17	B	1	PD31OCT17	6419.00	6768.25	USD	8,731.25	
31JUL17	B	1	PD31OCT17	6419.50	6768.25	USD	8,718.75	
31JUL17	B	2	PD31OCT17	6418.50	6768.25	USD	17,487.50	
31JUL17	B	2	PD31OCT17	6420.00	6768.25	USD	17,412.50	
31JUL17	B	4	PD31OCT17	6418.00	6768.25	USD	35,025.00	
31JUL17	B	1	PD31OCT17	6425.50	6768.25	USD	8,568.75	
31JUL17	S	1	PD31OCT17	6409.00	6768.25	USD	-8,981.25	
31JUL17	B	1	PD31OCT17	6385.00	6768.25	USD	9,581.25	
31JUL17	B	1	PD31OCT17	6382.00	6768.25	USD	9,656.25	
31JUL17	B	1	PD31OCT17	6384.50	6768.25	USD	9,593.75	
31JUL17	B	1	PD31OCT17	6386.50	6768.25	USD	9,543.75	
31JUL17	B	1	PD31OCT17	6371.50	6768.25	USD	9,918.75	
31JUL17	B	1	PD31OCT17	6370.50	6768.25	USD	9,943.75	
31JUL17	B	2	PD31OCT17	6374.50	6768.25	USD	19,687.50	
31JUL17	B	3	PD31OCT17	6375.50	6768.25	USD	29,456.25	
31JUL17	S	2	PD31OCT17	6376.50	6768.25	USD	-19,587.50	
31JUL17	S	5	PD31OCT17	6376.00	6768.25	USD	-49,031.25	
31JUL17	S	1	PD31OCT17	6371.50	6768.25	USD	-9,918.75	
31JUL17	S	1	PD31OCT17	6370.00	6768.25	USD	-9,956.25	
31JUL17	S	1	PD31OCT17	6368.50	6768.25	USD	-9,993.75	
31JUL17	B	1	PD31OCT17	6377.00	6768.25	USD	9,781.25	
31JUL17	B	1	PD31OCT17	6347.00	6768.25	USD	10,531.25	
31JUL17	S	1	PD31OCT17	6363.50	6768.25	USD	-10,118.75	
31JUL17	S	2	PD31OCT17	6364.50	6768.25	USD	-20,187.50	
31JUL17	S	3	PD31OCT17	6363.00	6768.25	USD	-30,393.75	
31JUL17	S	3	PD31OCT17	6364.00	6768.25	USD	-30,318.75	
31JUL17	S	1	PD31OCT17	6366.50	6768.25	USD	-10,043.75	
31JUL17	S	1	PD31OCT17	6373.50	6768.25	USD	-9,868.75	
31JUL17	S	1	PD31OCT17	6374.00	6768.25	USD	-9,856.25	
31JUL17	S	1	PD31OCT17	6373.00	6768.25	USD	-9,881.25	
08AUG17	S	1	PD31OCT17	6476.63	6768.25	USD	-7,290.50	
09AUG17	S	3	PD31OCT17	6451.34	6768.25	USD	-23,768.25	
10AUG17	S	9	PD31OCT17	6418.69	6768.25	USD	-78,651.00	
15AUG17	S	1	PD31OCT17	6373.47	6768.25	USD	-9,869.50	
22AUG17	B	6	PD31OCT17	6574.30	6768.25	USD	29,092.50	
	NET	0AVG BGHT 6417.41	SOLD 6387.70				-33,424.25	0.00
01AUG17	B	1	PD01NOV17	6372.00	6769.18	USD	9,929.50	
01AUG17	B	1	PD01NOV17	6371.50	6769.18	USD	9,942.00	
01AUG17	S	1	PD01NOV17	6371.00	6769.18	USD	-9,954.50	
01AUG17	S	1	PD01NOV17	6373.50	6769.18	USD	-9,892.00	
01AUG17	B	2	PD01NOV17	6380.50	6769.18	USD	19,434.00	
01AUG17	B	2	PD01NOV17	6380.00	6769.18	USD	19,459.00	
01AUG17	S	3	PD01NOV17	6376.00	6769.18	USD	-29,488.50	
01AUG17	S	1	PD01NOV17	6375.00	6769.18	USD	-9,854.50	
01AUG17	S	1	PD01NOV17	6379.00	6769.18	USD	-9,754.50	
01AUG17	B	2	PD01NOV17	6341.00	6769.18	USD	21,409.00	
01AUG17	B	1	PD01NOV17	6341.50	6769.18	USD	10,692.00	
01AUG17	B	2	PD01NOV17	6342.50	6769.18	USD	21,334.00	
01AUG17	B	1	PD01NOV17	6343.50	6769.18	USD	10,642.00	
01AUG17	B	1	PD01NOV17	6339.00	6769.18	USD	10,754.50	
01AUG17	S	1	PD01NOV17	6340.00	6769.18	USD	-10,729.50	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	3	PD01NOV17	6339.00	6769.18	USD	-32,263.50	
01AUG17	S	1	PD01NOV17	6343.00	6769.18	USD	-10,654.50	
01AUG17	B	1	PD01NOV17	6348.00	6769.18	USD	10,529.50	
01AUG17	B	1	PD01NOV17	6350.50	6769.18	USD	10,467.00	
01AUG17	B	1	PD01NOV17	6322.00	6769.18	USD	11,179.50	
01AUG17	B	1	PD01NOV17	6318.00	6769.18	USD	11,279.50	
01AUG17	B	1	PD01NOV17	6327.00	6769.18	USD	11,054.50	
01AUG17	S	1	PD01NOV17	6345.50	6769.18	USD	-10,592.00	
01AUG17	S	2	PD01NOV17	6346.50	6769.18	USD	-21,134.00	
01AUG17	B	1	PD01NOV17	6350.00	6769.18	USD	10,479.50	
07AUG17	S	3	PD01NOV17	6411.35	6769.18	USD	-26,837.25	
15AUG17	S	1	PD01NOV17	6373.90	6769.18	USD	-9,882.00	
	NET	0 AVG BGHT 6351.11	SOLD 6367.00				7,548.75	0.00
02AUG17	B	1	PD02NOV17	6335.00	6770.10	USD	10,877.50	
02AUG17	S	1	PD02NOV17	6350.00	6770.10	USD	-10,502.50	
02AUG17	S	1	PD02NOV17	6327.00	6770.10	USD	-11,077.50	
02AUG17	S	1	PD02NOV17	6326.00	6770.10	USD	-11,102.50	
02AUG17	B	1	PD02NOV17	6341.00	6770.10	USD	10,727.50	
02AUG17	B	1	PD02NOV17	6339.00	6770.10	USD	10,777.50	
02AUG17	S	1	PD02NOV17	6363.50	6770.10	USD	-10,165.00	
02AUG17	B	1	PD02NOV17	6353.00	6770.10	USD	10,427.50	
09AUG17	B	1	PD02NOV17	6452.31	6770.10	USD	7,944.75	
10AUG17	S	1	PD02NOV17	6419.51	6770.10	USD	-8,764.75	
22AUG17	S	1	PD02NOV17	6575.13	6770.10	USD	-4,874.25	
30AUG17	B	1	PD02NOV17	6761.02	6770.10	USD	227.00	
	NET	0 AVG BGHT 6430.22	SOLD 6393.52				-5,504.75	0.00
03AUG17	S	1	PD03NOV17	6346.50	6771.03	USD	-10,613.25	
03AUG17	S	1	PD03NOV17	6347.00	6771.03	USD	-10,600.75	
03AUG17	B	1	PD03NOV17	6344.50	6771.03	USD	10,663.25	
03AUG17	S	1	PD03NOV17	6361.00	6771.03	USD	-10,250.75	
03AUG17	S	1	PD03NOV17	6359.50	6771.03	USD	-10,288.25	
03AUG17	S	2	PD03NOV17	6365.00	6771.03	USD	-20,301.50	
03AUG17	S	1	PD03NOV17	6370.00	6771.03	USD	-10,025.75	
03AUG17	B	1	PD03NOV17	6325.00	6771.03	USD	11,150.75	
03AUG17	B	1	PD03NOV17	6320.50	6771.03	USD	11,263.25	
03AUG17	B	1	PD03NOV17	6305.50	6771.03	USD	11,638.25	
03AUG17	S	1	PD03NOV17	6307.50	6771.03	USD	-11,588.25	
03AUG17	S	1	PD03NOV17	6313.50	6771.03	USD	-11,438.25	
03AUG17	S	1	PD03NOV17	6318.50	6771.03	USD	-11,313.25	
03AUG17	S	1	PD03NOV17	6316.50	6771.03	USD	-11,363.25	
03AUG17	B	1	PD03NOV17	6308.00	6771.03	USD	11,575.75	
03AUG17	S	1	PD03NOV17	6329.00	6771.03	USD	-11,050.75	
03AUG17	S	1	PD03NOV17	6329.50	6771.03	USD	-11,038.25	
03AUG17	S	1	PD03NOV17	6339.00	6771.03	USD	-10,800.75	
03AUG17	S	1	PD03NOV17	6343.00	6771.03	USD	-10,700.75	
03AUG17	S	3	PD03NOV17	6342.50	6771.03	USD	-32,139.75	
03AUG17	S	2	PD03NOV17	6344.00	6771.03	USD	-21,351.50	
03AUG17	S	17	PD03NOV17	6342.00	6771.03	USD	-182,337.75	
03AUG17	B	14	PD03NOV17	6341.50	6771.03	USD	150,335.50	
03AUG17	B	5	PD03NOV17	6340.50	6771.03	USD	53,816.25	
03AUG17	B	3	PD03NOV17	6342.00	6771.03	USD	32,177.25	
03AUG17	B	2	PD03NOV17	6342.50	6771.03	USD	21,426.50	
03AUG17	B	2	PD03NOV17	6341.00	6771.03	USD	21,501.50	
03AUG17	S	1	PD03NOV17	6341.00	6771.03	USD	-10,750.75	
03AUG17	S	5	PD03NOV17	6330.50	6771.03	USD	-55,066.25	
03AUG17	S	1	PD03NOV17	6327.50	6771.03	USD	-11,088.25	
03AUG17	S	1	PD03NOV17	6338.00	6771.03	USD	-10,825.75	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	6336.50	6771.03	USD	-10,863.25	
03AUG17	S	1	PD03NOV17	6340.00	6771.03	USD	-10,775.75	
03AUG17	S	3	PD03NOV17	6341.50	6771.03	USD	-32,214.75	
03AUG17	B	1	PD03NOV17	6351.50	6771.03	USD	10,488.25	
03AUG17	B	2	PD03NOV17	6346.50	6771.03	USD	21,226.50	
04AUG17	B	1	PD03NOV17	6373.00	6771.03	USD	9,950.75	
04AUG17	B	1	PD03NOV17	6366.00	6771.03	USD	10,125.75	
04AUG17	B	1	PD03NOV17	6371.50	6771.03	USD	9,988.25	
04AUG17	B	1	PD03NOV17	6379.50	6771.03	USD	9,788.25	
04AUG17	B	1	PD03NOV17	6380.50	6771.03	USD	9,763.25	
04AUG17	B	2	PD03NOV17	6380.00	6771.03	USD	19,551.50	
04AUG17	S	1	PD03NOV17	6356.00	6771.03	USD	-10,375.75	
04AUG17	B	3	PD03NOV17	6334.00	6771.03	USD	32,777.25	
04AUG17	B	1	PD03NOV17	6340.50	6771.03	USD	10,763.25	
04AUG17	B	1	PD03NOV17	6355.00	6771.03	USD	10,400.75	
04AUG17	S	1	PD03NOV17	6369.00	6771.03	USD	-10,050.75	
04AUG17	B	1	PD03NOV17	6360.00	6771.03	USD	10,275.75	
04AUG17	S	1	PD03NOV17	6360.00	6771.03	USD	-10,275.75	
07AUG17	S	1	PD03NOV17	6412.16	6771.03	USD	-8,971.75	
09AUG17	S	3	PD03NOV17	6452.49	6771.03	USD	-23,890.50	
10AUG17	S	1	PD03NOV17	6419.93	6771.03	USD	-8,777.50	
17AUG17	S	1	PD03NOV17	6486.86	6771.03	USD	-7,104.25	
22AUG17	B	1	PD03NOV17	6575.85	6771.03	USD	4,879.50	
22AUG17	B	8	PD03NOV17	6575.65	6771.03	USD	39,076.00	
06SEP17	B	1	PD03NOV17	6889.70	6771.03	USD	-2,966.75	
11SEP17	B	2	PD03NOV17	6731.00	6771.03	USD	2,001.50	
	NET	0AVG	BGHT 6402.46	SOLD 6351.89			-74,595.75	0.00
07AUG17	S	1	PD07NOV17	6361.00	6774.73	USD	-10,343.25	
07AUG17	B	1	PD07NOV17	6349.00	6774.73	USD	10,643.25	
07AUG17	B	1	PD07NOV17	6350.00	6774.73	USD	10,618.25	
07AUG17	B	1	PD07NOV17	6349.50	6774.73	USD	10,630.75	
07AUG17	S	1	PD07NOV17	6391.50	6774.73	USD	-9,580.75	
07AUG17	S	1	PD07NOV17	6369.50	6774.73	USD	-10,130.75	
07AUG17	S	1	PD07NOV17	6368.50	6774.73	USD	-10,155.75	
07AUG17	S	1	PD07NOV17	6346.00	6774.73	USD	-10,718.25	
07AUG17	B	1	PD07NOV17	6390.50	6774.73	USD	9,605.75	
07AUG17	S	1	PD07NOV17	6371.50	6774.73	USD	-10,080.75	
07AUG17	S	1	PD07NOV17	6366.50	6774.73	USD	-10,205.75	
07AUG17	S	1	PD07NOV17	6350.00	6774.73	USD	-10,618.25	
07AUG17	S	1	PD07NOV17	6366.00	6774.73	USD	-10,218.25	
07AUG17	B	1	PD07NOV17	6389.00	6774.73	USD	9,643.25	
07AUG17	S	1	PD07NOV17	6367.00	6774.73	USD	-10,193.25	
07AUG17	S	1	PD07NOV17	6372.00	6774.73	USD	-10,068.25	
07AUG17	S	1	PD07NOV17	6365.00	6774.73	USD	-10,243.25	
07AUG17	B	2	PD07NOV17	6346.50	6774.73	USD	21,411.50	
07AUG17	S	1	PD07NOV17	6345.00	6774.73	USD	-10,743.25	
07AUG17	S	1	PD07NOV17	6357.50	6774.73	USD	-10,430.75	
07AUG17	B	1	PD07NOV17	6423.00	6774.73	USD	8,793.25	
07AUG17	S	2	PD07NOV17	6373.00	6774.73	USD	-20,086.50	
07AUG17	B	1	PD07NOV17	6347.50	6774.73	USD	10,680.75	
07AUG17	S	1	PD07NOV17	6355.00	6774.73	USD	-10,493.25	
07AUG17	B	3	PD07NOV17	6366.00	6774.73	USD	30,654.75	
07AUG17	B	1	PD07NOV17	6357.00	6774.73	USD	10,443.25	
07AUG17	B	1	PD07NOV17	6367.50	6774.73	USD	10,180.75	
07AUG17	B	1	PD07NOV17	6362.00	6774.73	USD	10,318.25	
07AUG17	S	1	PD07NOV17	6372.50	6774.73	USD	-10,055.75	
07AUG17	B	1	PD07NOV17	6349.50	6774.73	USD	10,630.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	B	1	PD07NOV17		6380.00	6774.73	USD	9,868.25	
07AUG17	B	2	PD07NOV17		6373.50	6774.73	USD	20,061.50	
07AUG17	B	2	PD07NOV17		6386.50	6774.73	USD	19,411.50	
07AUG17	B	1	PD07NOV17		6383.50	6774.73	USD	9,780.75	
07AUG17	B	1	PD07NOV17		6384.50	6774.73	USD	9,755.75	
07AUG17	S	1	PD07NOV17		6398.50	6774.73	USD	-9,405.75	
07AUG17	B	2	PD07NOV17		6420.00	6774.73	USD	17,736.50	
07AUG17	B	1	PD07NOV17		6419.00	6774.73	USD	8,893.25	
07AUG17	B	1	PD07NOV17		6418.00	6774.73	USD	8,918.25	
07AUG17	B	1	PD07NOV17		6424.00	6774.73	USD	8,768.25	
07AUG17	S	1	PD07NOV17		6447.00	6774.73	USD	-8,193.25	
07AUG17	B	1	PD07NOV17		6440.50	6774.73	USD	8,355.75	
07AUG17	B	1	PD07NOV17		6439.00	6774.73	USD	8,393.25	
07AUG17	B	2	PD07NOV17		6438.00	6774.73	USD	16,836.50	
07AUG17	B	3	PD07NOV17		6439.50	6774.73	USD	25,142.25	
07AUG17	S	1	PD07NOV17		6439.00	6774.73	USD	-8,393.25	
07AUG17	S	1	PD07NOV17		6431.00	6774.73	USD	-8,593.25	
07AUG17	S	1	PD07NOV17		6419.50	6774.73	USD	-8,880.75	
07AUG17	B	1	PD07NOV17		6414.00	6774.73	USD	9,018.25	
07AUG17	S	1	PD07NOV17		6420.50	6774.73	USD	-8,855.75	
07AUG17	B	1	PD07NOV17		6422.00	6774.73	USD	8,818.25	
07AUG17	B	1	PD07NOV17		6403.00	6774.73	USD	9,293.25	
07AUG17	S	1	PD07NOV17		6405.00	6774.73	USD	-9,243.25	
07AUG17	S	1	PD07NOV17		6414.00	6774.73	USD	-9,018.25	
07AUG17	S	1	PD07NOV17		6410.00	6774.73	USD	-9,118.25	
09AUG17	S	1	PD07NOV17		6454.03	6774.73	USD	-8,017.50	
10AUG17	S	3	PD07NOV17		6421.57	6774.73	USD	-26,487.00	
17AUG17	S	1	PD07NOV17		6489.01	6774.73	USD	-7,143.00	
22AUG17	S	6	PD07NOV17		6577.53	6774.73	USD	-29,580.00	
06SEP17	S	1	PD07NOV17		6891.77	6774.73	USD	2,926.00	
12SEP17	B	1	PD07NOV17		6651.78	6774.73	USD	3,073.75	
	NET	0 AVG	BGHT 6398.96	SOLD 6433.84				34,010.50	0.00
08AUG17	B	1	PD08NOV17		6431.00	6775.65	USD	8,616.25	
08AUG17	B	1	PD08NOV17		6426.50	6775.65	USD	8,728.75	
08AUG17	B	1	PD08NOV17		6405.00	6775.65	USD	9,266.25	
08AUG17	B	1	PD08NOV17		6409.50	6775.65	USD	9,153.75	
08AUG17	S	1	PD08NOV17		6396.00	6775.65	USD	-9,491.25	
08AUG17	S	1	PD08NOV17		6398.00	6775.65	USD	-9,441.25	
08AUG17	B	1	PD08NOV17		6401.00	6775.65	USD	9,366.25	
08AUG17	B	3	PD08NOV17		6403.50	6775.65	USD	27,911.25	
08AUG17	S	2	PD08NOV17		6406.00	6775.65	USD	-18,482.50	
08AUG17	S	2	PD08NOV17		6405.50	6775.65	USD	-18,507.50	
08AUG17	S	1	PD08NOV17		6410.50	6775.65	USD	-9,128.75	
08AUG17	B	1	PD08NOV17		6410.00	6775.65	USD	9,141.25	
08AUG17	B	1	PD08NOV17		6408.00	6775.65	USD	9,191.25	
08AUG17	S	1	PD08NOV17		6384.50	6775.65	USD	-9,778.75	
08AUG17	S	2	PD08NOV17		6393.50	6775.65	USD	-19,107.50	
08AUG17	B	1	PD08NOV17		6402.00	6775.65	USD	9,341.25	
08AUG17	B	1	PD08NOV17		6404.00	6775.65	USD	9,291.25	
08AUG17	S	4	PD08NOV17		6393.00	6775.65	USD	-38,265.00	
08AUG17	B	2	PD08NOV17		6407.50	6775.65	USD	18,407.50	
08AUG17	S	2	PD08NOV17		6408.50	6775.65	USD	-18,357.50	
08AUG17	B	2	PD08NOV17		6404.00	6775.65	USD	18,582.50	
08AUG17	S	1	PD08NOV17		6407.00	6775.65	USD	-9,216.25	
08AUG17	B	1	PD08NOV17		6399.50	6775.65	USD	9,403.75	
08AUG17	B	1	PD08NOV17		6414.50	6775.65	USD	9,028.75	
08AUG17	B	1	PD08NOV17		6417.00	6775.65	USD	8,966.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	3	PD08NOV17	6419.00	6775.65	USD	26,748.75	
08AUG17	B	2	PD08NOV17	6418.50	6775.65	USD	17,857.50	
08AUG17	B	2	PD08NOV17	6418.00	6775.65	USD	17,882.50	
08AUG17	B	4	PD08NOV17	6419.50	6775.65	USD	35,615.00	
08AUG17	B	1	PD08NOV17	6425.00	6775.65	USD	8,766.25	
08AUG17	B	4	PD08NOV17	6431.50	6775.65	USD	34,415.00	
08AUG17	B	2	PD08NOV17	6436.00	6775.65	USD	16,982.50	
08AUG17	B	1	PD08NOV17	6437.00	6775.65	USD	8,466.25	
08AUG17	B	2	PD08NOV17	6436.50	6775.65	USD	16,957.50	
08AUG17	B	3	PD08NOV17	6438.00	6775.65	USD	25,323.75	
08AUG17	B	1	PD08NOV17	6442.00	6775.65	USD	8,341.25	
08AUG17	B	1	PD08NOV17	6441.00	6775.65	USD	8,366.25	
08AUG17	B	1	PD08NOV17	6450.00	6775.65	USD	8,141.25	
08AUG17	B	2	PD08NOV17	6449.00	6775.65	USD	16,332.50	
08AUG17	B	1	PD08NOV17	6458.00	6775.65	USD	7,941.25	
08AUG17	B	1	PD08NOV17	6462.50	6775.65	USD	7,828.75	
08AUG17	B	3	PD08NOV17	6469.00	6775.65	USD	22,998.75	
08AUG17	B	1	PD08NOV17	6464.00	6775.65	USD	7,791.25	
08AUG17	B	1	PD08NOV17	6471.50	6775.65	USD	7,603.75	
08AUG17	B	2	PD08NOV17	6469.50	6775.65	USD	15,307.50	
08AUG17	B	3	PD08NOV17	6480.00	6775.65	USD	22,173.75	
08AUG17	B	3	PD08NOV17	6474.50	6775.65	USD	22,586.25	
08AUG17	B	2	PD08NOV17	6476.50	6775.65	USD	14,957.50	
08AUG17	B	2	PD08NOV17	6488.00	6775.65	USD	14,382.50	
08AUG17	B	1	PD08NOV17	6483.50	6775.65	USD	7,303.75	
08AUG17	B	1	PD08NOV17	6482.00	6775.65	USD	7,341.25	
08AUG17	S	1	PD08NOV17	6474.00	6775.65	USD	-7,541.25	
09AUG17	S	2	PD08NOV17	6454.42	6775.65	USD	-16,061.50	
17AUG17	S	6	PD08NOV17	6489.55	6775.65	USD	-42,915.00	
22AUG17	S	4	PD08NOV17	6578.05	6775.65	USD	-19,760.00	
22AUG17	S	5	PD08NOV17	6578.25	6775.65	USD	-24,675.00	
30AUG17	S	1	PD08NOV17	6764.26	6775.65	USD	-284.75	
06SEP17	S	4	PD08NOV17	6892.33	6775.65	USD	11,668.00	
11SEP17	S	8	PD08NOV17	6734.13	6775.65	USD	-8,304.00	
11SEP17	S	12	PD08NOV17	6734.33	6775.65	USD	-12,396.00	
12SEP17	S	9	PD08NOV17	6652.27	6775.65	USD	-27,760.50	
	NET	0AVG BGHT 6437.79	SOLD 6597.21				275,002.50	0.00
09AUG17	S	1	PD09NOV17	6456.50	6776.58	USD	-8,002.00	
09AUG17	S	1	PD09NOV17	6458.00	6776.58	USD	-7,964.50	
09AUG17	S	2	PD09NOV17	6465.50	6776.58	USD	-15,554.00	
09AUG17	S	1	PD09NOV17	6483.00	6776.58	USD	-7,339.50	
09AUG17	B	1	PD09NOV17	6485.50	6776.58	USD	7,277.00	
09AUG17	B	1	PD09NOV17	6481.00	6776.58	USD	7,389.50	
09AUG17	B	1	PD09NOV17	6478.00	6776.58	USD	7,464.50	
09AUG17	B	1	PD09NOV17	6498.00	6776.58	USD	6,964.50	
09AUG17	B	2	PD09NOV17	6497.50	6776.58	USD	13,954.00	
09AUG17	B	1	PD09NOV17	6509.50	6776.58	USD	6,677.00	
09AUG17	B	1	PD09NOV17	6510.00	6776.58	USD	6,664.50	
09AUG17	B	1	PD09NOV17	6513.00	6776.58	USD	6,589.50	
09AUG17	B	1	PD09NOV17	6488.00	6776.58	USD	7,214.50	
09AUG17	B	1	PD09NOV17	6489.50	6776.58	USD	7,177.00	
09AUG17	B	7	PD09NOV17	6486.00	6776.58	USD	50,851.50	
09AUG17	S	2	PD09NOV17	6486.00	6776.58	USD	-14,529.00	
09AUG17	S	4	PD09NOV17	6487.00	6776.58	USD	-28,958.00	
09AUG17	S	1	PD09NOV17	6491.00	6776.58	USD	-7,139.50	
09AUG17	B	1	PD09NOV17	6480.50	6776.58	USD	7,402.00	
09AUG17	B	1	PD09NOV17	6492.50	6776.58	USD	7,102.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	2	PD09NOV17	6474.50	6776.58	USD	15,104.00	
09AUG17	B	1	PD09NOV17	6476.50	6776.58	USD	7,502.00	
09AUG17	B	1	PD09NOV17	6472.50	6776.58	USD	7,602.00	
09AUG17	B	1	PD09NOV17	6470.50	6776.58	USD	7,652.00	
09AUG17	S	1	PD09NOV17	6443.00	6776.58	USD	-8,339.50	
09AUG17	S	1	PD09NOV17	6450.50	6776.58	USD	-8,152.00	
09AUG17	S	1	PD09NOV17	6453.50	6776.58	USD	-8,077.00	
09AUG17	S	1	PD09NOV17	6455.00	6776.58	USD	-8,039.50	
09AUG17	S	1	PD09NOV17	6450.00	6776.58	USD	-8,164.50	
09AUG17	S	1	PD09NOV17	6452.00	6776.58	USD	-8,114.50	
09AUG17	S	1	PD09NOV17	6452.50	6776.58	USD	-8,102.00	
17AUG17	S	3	PD09NOV17	6490.05	6776.58	USD	-21,489.75	
17AUG17	B	1	PD09NOV17	6490.25	6776.58	USD	7,158.25	
30AUG17	S	1	PD09NOV17	6764.60	6776.58	USD	-299.50	
11SEP17	S	1	PD09NOV17	6735.00	6776.58	USD	-1,039.50	
12SEP17	S	2	PD09NOV17	6653.15	6776.58	USD	-6,171.50	
	NET	0 AVG BGHT 6487.74	SOLD 6506.62				12,270.00	0.00
10AUG17	B	1	PD10NOV17	6459.00	6777.50	USD	7,962.50	
10AUG17	S	2	PD10NOV17	6456.50	6777.50	USD	-16,050.00	
10AUG17	S	1	PD10NOV17	6459.50	6777.50	USD	-7,950.00	
10AUG17	B	1	PD10NOV17	6455.00	6777.50	USD	8,062.50	
10AUG17	B	1	PD10NOV17	6446.50	6777.50	USD	8,275.00	
10AUG17	B	1	PD10NOV17	6454.00	6777.50	USD	8,087.50	
10AUG17	B	1	PD10NOV17	6451.50	6777.50	USD	8,150.00	
10AUG17	B	2	PD10NOV17	6450.50	6777.50	USD	16,350.00	
10AUG17	B	1	PD10NOV17	6447.00	6777.50	USD	8,262.50	
10AUG17	B	5	PD10NOV17	6447.50	6777.50	USD	41,250.00	
10AUG17	B	11	PD10NOV17	6448.00	6777.50	USD	90,612.50	
10AUG17	S	5	PD10NOV17	6446.50	6777.50	USD	-41,375.00	
10AUG17	S	10	PD10NOV17	6443.50	6777.50	USD	-83,500.00	
10AUG17	S	5	PD10NOV17	6445.00	6777.50	USD	-41,562.50	
10AUG17	S	1	PD10NOV17	6402.00	6777.50	USD	-9,387.50	
10AUG17	S	1	PD10NOV17	6402.50	6777.50	USD	-9,375.00	
10AUG17	S	1	PD10NOV17	6406.50	6777.50	USD	-9,275.00	
10AUG17	S	1	PD10NOV17	6413.50	6777.50	USD	-9,100.00	
10AUG17	S	2	PD10NOV17	6411.50	6777.50	USD	-18,300.00	
10AUG17	S	5	PD10NOV17	6416.00	6777.50	USD	-45,187.50	
11AUG17	B	1	PD10NOV17	6397.00	6777.50	USD	9,512.50	
11AUG17	S	1	PD10NOV17	6392.50	6777.50	USD	-9,625.00	
11AUG17	B	1	PD10NOV17	6393.00	6777.50	USD	9,612.50	
11AUG17	B	2	PD10NOV17	6388.50	6777.50	USD	19,450.00	
11AUG17	S	1	PD10NOV17	6389.00	6777.50	USD	-9,712.50	
11AUG17	S	1	PD10NOV17	6390.50	6777.50	USD	-9,675.00	
11AUG17	S	1	PD10NOV17	6391.00	6777.50	USD	-9,662.50	
11AUG17	S	1	PD10NOV17	6393.00	6777.50	USD	-9,612.50	
11AUG17	B	1	PD10NOV17	6392.00	6777.50	USD	9,637.50	
11AUG17	B	1	PD10NOV17	6395.50	6777.50	USD	9,550.00	
11AUG17	B	1	PD10NOV17	6398.50	6777.50	USD	9,475.00	
11AUG17	B	1	PD10NOV17	6399.50	6777.50	USD	9,450.00	
11AUG17	B	4	PD10NOV17	6398.00	6777.50	USD	37,950.00	
11AUG17	B	1	PD10NOV17	6391.00	6777.50	USD	9,662.50	
11AUG17	S	2	PD10NOV17	6370.00	6777.50	USD	-20,375.00	
11AUG17	S	1	PD10NOV17	6367.00	6777.50	USD	-10,262.50	
11AUG17	S	2	PD10NOV17	6361.50	6777.50	USD	-20,800.00	
11AUG17	S	1	PD10NOV17	6360.00	6777.50	USD	-10,437.50	
11AUG17	S	1	PD10NOV17	6361.00	6777.50	USD	-10,412.50	
11AUG17	S	1	PD10NOV17	6355.50	6777.50	USD	-10,550.00	

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11AUG17	S	1	PD10NOV17	6346.50	6777.50	USD	-10,775.00	
11AUG17	S	1	PD10NOV17	6347.50	6777.50	USD	-10,750.00	
11AUG17	S	1	PD10NOV17	6349.50	6777.50	USD	-10,700.00	
11AUG17	S	1	PD10NOV17	6353.50	6777.50	USD	-10,600.00	
11AUG17	S	1	PD10NOV17	6348.00	6777.50	USD	-10,737.50	
11AUG17	S	2	PD10NOV17	6365.50	6777.50	USD	-20,600.00	
11AUG17	S	6	PD10NOV17	6363.00	6777.50	USD	-62,175.00	
11AUG17	S	1	PD10NOV17	6362.00	6777.50	USD	-10,387.50	
11AUG17	S	4	PD10NOV17	6363.50	6777.50	USD	-41,400.00	
11AUG17	S	1	PD10NOV17	6367.50	6777.50	USD	-10,250.00	
11AUG17	S	1	PD10NOV17	6364.00	6777.50	USD	-10,337.50	
11AUG17	S	3	PD10NOV17	6369.50	6777.50	USD	-30,600.00	
11AUG17	S	1	PD10NOV17	6370.50	6777.50	USD	-10,175.00	
11AUG17	S	6	PD10NOV17	6365.00	6777.50	USD	-61,875.00	
11AUG17	S	2	PD10NOV17	6364.50	6777.50	USD	-20,650.00	
11AUG17	B	1	PD10NOV17	6364.50	6777.50	USD	10,325.00	
11AUG17	B	2	PD10NOV17	6361.00	6777.50	USD	20,825.00	
11AUG17	S	3	PD10NOV17	6354.50	6777.50	USD	-31,725.00	
11AUG17	S	1	PD10NOV17	6354.00	6777.50	USD	-10,587.50	
11AUG17	S	1	PD10NOV17	6356.00	6777.50	USD	-10,537.50	
11AUG17	B	1	PD10NOV17	6354.00	6777.50	USD	10,587.50	
11AUG17	B	1	PD10NOV17	6358.50	6777.50	USD	10,475.00	
11AUG17	S	4	PD10NOV17	6359.50	6777.50	USD	-41,800.00	
11AUG17	S	3	PD10NOV17	6360.50	6777.50	USD	-31,275.00	
11AUG17	B	2	PD10NOV17	6357.50	6777.50	USD	21,000.00	
11AUG17	B	2	PD10NOV17	6356.00	6777.50	USD	21,075.00	
11AUG17	S	3	PD10NOV17	6349.00	6777.50	USD	-32,137.50	
11AUG17	S	2	PD10NOV17	6350.00	6777.50	USD	-21,375.00	
11AUG17	S	1	PD10NOV17	6351.00	6777.50	USD	-10,662.50	
11AUG17	S	2	PD10NOV17	6359.00	6777.50	USD	-20,925.00	
11AUG17	S	2	PD10NOV17	6345.50	6777.50	USD	-21,600.00	
11AUG17	S	4	PD10NOV17	6350.50	6777.50	USD	-42,700.00	
11AUG17	S	1	PD10NOV17	6352.00	6777.50	USD	-10,637.50	
11AUG17	S	1	PD10NOV17	6342.50	6777.50	USD	-10,875.00	
11AUG17	S	1	PD10NOV17	6341.00	6777.50	USD	-10,912.50	
11AUG17	S	1	PD10NOV17	6345.00	6777.50	USD	-10,812.50	
11AUG17	S	2	PD10NOV17	6346.00	6777.50	USD	-21,575.00	
11AUG17	S	1	PD10NOV17	6382.50	6777.50	USD	-9,875.00	
11AUG17	B	1	PD10NOV17	6384.00	6777.50	USD	9,837.50	
11AUG17	S	1	PD10NOV17	6381.50	6777.50	USD	-9,900.00	
11AUG17	B	1	PD10NOV17	6356.50	6777.50	USD	10,525.00	
11AUG17	S	1	PD10NOV17	6345.00	6777.50	USD	-10,812.50	
11AUG17	S	1	PD10NOV17	6397.00	6777.50	USD	-9,512.50	
11AUG17	S	1	PD10NOV17	6396.00	6777.50	USD	-9,537.50	
11AUG17	S	1	PD10NOV17	6409.50	6777.50	USD	-9,200.00	
11AUG17	S	1	PD10NOV17	6405.50	6777.50	USD	-9,300.00	
11AUG17	S	1	PD10NOV17	6402.00	6777.50	USD	-9,387.50	
11AUG17	S	1	PD10NOV17	6403.00	6777.50	USD	-9,362.50	
11AUG17	S	1	PD10NOV17	6399.50	6777.50	USD	-9,450.00	
11AUG17	B	5	PD10NOV17	6400.00	6777.50	USD	47,187.50	
11AUG17	S	1	PD10NOV17	6399.00	6777.50	USD	-9,462.50	
11AUG17	B	2	PD10NOV17	6400.50	6777.50	USD	18,850.00	
11AUG17	B	3	PD10NOV17	6399.00	6777.50	USD	28,387.50	
11AUG17	B	1	PD10NOV17	6396.00	6777.50	USD	9,537.50	
11AUG17	S	1	PD10NOV17	6407.50	6777.50	USD	-9,250.00	
11AUG17	S	2	PD10NOV17	6411.00	6777.50	USD	-18,325.00	
11AUG17	S	1	PD10NOV17	6409.00	6777.50	USD	-9,212.50	

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11AUG17	S	2	PD10NOV17	6420.50	6777.50	USD	-17,850.00	
11AUG17	S	2	PD10NOV17	6421.00	6777.50	USD	-17,825.00	
11AUG17	S	2	PD10NOV17	6421.50	6777.50	USD	-17,800.00	
11AUG17	S	1	PD10NOV17	6415.00	6777.50	USD	-9,062.50	
11AUG17	S	3	PD10NOV17	6415.50	6777.50	USD	-27,150.00	
11AUG17	S	1	PD10NOV17	6413.50	6777.50	USD	-9,100.00	
11AUG17	S	1	PD10NOV17	6427.00	6777.50	USD	-8,762.50	
11AUG17	S	1	PD10NOV17	6431.50	6777.50	USD	-8,650.00	
11AUG17	S	1	PD10NOV17	6426.00	6777.50	USD	-8,787.50	
11AUG17	S	1	PD10NOV17	6399.00	6777.50	USD	-9,462.50	
17AUG17	B	5	PD10NOV17	6490.75	6777.50	USD	35,843.75	
22AUG17	B	5	PD10NOV17	6579.45	6777.50	USD	24,756.25	
22AUG17	B	7	PD10NOV17	6579.25	6777.50	USD	34,693.75	
30AUG17	B	1	PD10NOV17	6765.54	6777.50	USD	299.00	
30AUG17	B	17	PD10NOV17	6765.34	6777.50	USD	5,168.00	
06SEP17	B	2	PD10NOV17	6893.67	6777.50	USD	-5,808.50	
11SEP17	B	10	PD10NOV17	6735.67	6777.50	USD	10,457.50	
11SEP17	B	13	PD10NOV17	6735.87	6777.50	USD	13,529.75	
12SEP17	B	2	PD10NOV17	6654.03	6777.50	USD	6,173.50	
12SEP17	B	20	PD10NOV17	6653.83	6777.50	USD	61,835.00	
	NET	0 AVG BGHT 6571.29 SOLD 6388.46					-644,502.00	0.00
14AUG17	S	2	PD14NOV17	6405.00	6776.83	USD	-18,591.50	
14AUG17	B	1	PD14NOV17	6436.50	6776.83	USD	8,508.25	
14AUG17	S	1	PD14NOV17	6437.50	6776.83	USD	-8,483.25	
14AUG17	S	1	PD14NOV17	6438.00	6776.83	USD	-8,470.75	
14AUG17	B	1	PD14NOV17	6418.50	6776.83	USD	8,958.25	
14AUG17	S	1	PD14NOV17	6413.00	6776.83	USD	-9,095.75	
14AUG17	S	1	PD14NOV17	6418.50	6776.83	USD	-8,958.25	
14AUG17	S	3	PD14NOV17	6405.50	6776.83	USD	-27,849.75	
14AUG17	S	1	PD14NOV17	6420.50	6776.83	USD	-8,908.25	
14AUG17	S	1	PD14NOV17	6423.50	6776.83	USD	-8,833.25	
14AUG17	S	1	PD14NOV17	6424.00	6776.83	USD	-8,820.75	
14AUG17	S	2	PD14NOV17	6407.00	6776.83	USD	-18,491.50	
14AUG17	S	2	PD14NOV17	6412.50	6776.83	USD	-18,216.50	
14AUG17	B	1	PD14NOV17	6434.50	6776.83	USD	8,558.25	
14AUG17	S	1	PD14NOV17	6414.50	6776.83	USD	-9,058.25	
14AUG17	S	2	PD14NOV17	6408.00	6776.83	USD	-18,441.50	
14AUG17	S	1	PD14NOV17	6408.50	6776.83	USD	-9,208.25	
14AUG17	S	2	PD14NOV17	6406.50	6776.83	USD	-18,516.50	
14AUG17	S	1	PD14NOV17	6404.00	6776.83	USD	-9,320.75	
14AUG17	S	1	PD14NOV17	6404.50	6776.83	USD	-9,308.25	
14AUG17	B	2	PD14NOV17	6403.00	6776.83	USD	18,691.50	
14AUG17	B	1	PD14NOV17	6402.50	6776.83	USD	9,358.25	
14AUG17	B	1	PD14NOV17	6403.50	6776.83	USD	9,333.25	
14AUG17	B	2	PD14NOV17	6406.00	6776.83	USD	18,541.50	
14AUG17	B	1	PD14NOV17	6405.00	6776.83	USD	9,295.75	
14AUG17	S	2	PD14NOV17	6406.00	6776.83	USD	-18,541.50	
14AUG17	S	1	PD14NOV17	6397.50	6776.83	USD	-9,483.25	
14AUG17	S	1	PD14NOV17	6401.50	6776.83	USD	-9,383.25	
14AUG17	S	2	PD14NOV17	6411.00	6776.83	USD	-18,291.50	
14AUG17	S	3	PD14NOV17	6413.50	6776.83	USD	-27,249.75	
14AUG17	S	4	PD14NOV17	6416.00	6776.83	USD	-36,083.00	
14AUG17	B	1	PD14NOV17	6391.50	6776.83	USD	9,633.25	
30AUG17	B	10	PD14NOV17	6767.50	6776.83	USD	2,332.50	
06SEP17	B	1	PD14NOV17	6895.93	6776.83	USD	-2,977.50	
11SEP17	B	6	PD14NOV17	6738.33	6776.83	USD	5,775.00	
12SEP17	B	9	PD14NOV17	6656.57	6776.83	USD	27,058.50	

OPEN POSITION STATEMENT



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SAP0094X

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0	AVG	BGHT 6632.97	SOLD 6411.85			-204,538.50	0.00
15AUG17	S	1	PD15NOV17		6395.50	6776.50	USD	-9,525.00	
15AUG17	B	2	PD15NOV17		6418.00	6776.50	USD	17,925.00	
15AUG17	B	1	PD15NOV17		6420.00	6776.50	USD	8,912.50	
15AUG17	B	1	PD15NOV17		6415.00	6776.50	USD	9,037.50	
15AUG17	S	4	PD15NOV17		6416.50	6776.50	USD	-36,000.00	
15AUG17	S	5	PD15NOV17		6418.00	6776.50	USD	-44,812.50	
15AUG17	B	2	PD15NOV17		6413.00	6776.50	USD	18,175.00	
15AUG17	B	2	PD15NOV17		6414.50	6776.50	USD	18,100.00	
15AUG17	S	1	PD15NOV17		6412.00	6776.50	USD	-9,112.50	
15AUG17	B	8	PD15NOV17		6414.00	6776.50	USD	72,500.00	
15AUG17	S	1	PD15NOV17		6413.00	6776.50	USD	-9,087.50	
15AUG17	S	2	PD15NOV17		6417.50	6776.50	USD	-17,950.00	
15AUG17	S	1	PD15NOV17		6419.00	6776.50	USD	-8,937.50	
15AUG17	S	1	PD15NOV17		6389.00	6776.50	USD	-9,687.50	
15AUG17	S	1	PD15NOV17		6381.50	6776.50	USD	-9,875.00	
15AUG17	B	1	PD15NOV17		6367.50	6776.50	USD	10,225.00	
15AUG17	B	1	PD15NOV17		6364.50	6776.50	USD	10,300.00	
17AUG17	S	2	PD15NOV17		6491.80	6776.50	USD	-14,235.00	
30AUG17	B	1	PD15NOV17		6767.50	6776.50	USD	225.00	
	NET	0	AVG	BGHT 6428.29	SOLD 6420.24			-3,822.50	0.00
16AUG17	B	1	PD16NOV17		6358.50	6776.25	USD	10,443.75	
16AUG17	S	1	PD16NOV17		6357.50	6776.25	USD	-10,468.75	
16AUG17	B	1	PD16NOV17		6365.50	6776.25	USD	10,268.75	
16AUG17	B	1	PD16NOV17		6371.00	6776.25	USD	10,131.25	
16AUG17	B	2	PD16NOV17		6385.00	6776.25	USD	19,562.50	
16AUG17	B	5	PD16NOV17		6384.50	6776.25	USD	48,968.75	
16AUG17	B	1	PD16NOV17		6389.50	6776.25	USD	9,668.75	
16AUG17	B	1	PD16NOV17		6384.00	6776.25	USD	9,806.25	
16AUG17	B	1	PD16NOV17		6390.00	6776.25	USD	9,656.25	
16AUG17	B	1	PD16NOV17		6363.50	6776.25	USD	10,318.75	
16AUG17	S	1	PD16NOV17		6466.00	6776.25	USD	-7,756.25	
16AUG17	S	1	PD16NOV17		6461.00	6776.25	USD	-7,881.25	
16AUG17	B	1	PD16NOV17		6467.50	6776.25	USD	7,718.75	
16AUG17	S	1	PD16NOV17		6460.00	6776.25	USD	-7,906.25	
16AUG17	S	2	PD16NOV17		6457.00	6776.25	USD	-15,962.50	
16AUG17	S	1	PD16NOV17		6449.50	6776.25	USD	-8,168.75	
16AUG17	S	1	PD16NOV17		6450.00	6776.25	USD	-8,156.25	
16AUG17	S	1	PD16NOV17		6447.00	6776.25	USD	-8,231.25	
16AUG17	S	7	PD16NOV17		6445.50	6776.25	USD	-57,881.25	
16AUG17	S	10	PD16NOV17		6445.00	6776.25	USD	-82,812.50	
16AUG17	S	1	PD16NOV17		6444.50	6776.25	USD	-8,293.75	
16AUG17	B	10	PD16NOV17		6442.50	6776.25	USD	83,437.50	
16AUG17	B	10	PD16NOV17		6446.00	6776.25	USD	82,562.50	
16AUG17	B	3	PD16NOV17		6448.50	6776.25	USD	24,581.25	
16AUG17	B	1	PD16NOV17		6449.50	6776.25	USD	8,168.75	
16AUG17	S	2	PD16NOV17		6476.50	6776.25	USD	-14,987.50	
16AUG17	B	1	PD16NOV17		6487.50	6776.25	USD	7,218.75	
16AUG17	B	2	PD16NOV17		6508.50	6776.25	USD	13,387.50	
16AUG17	B	1	PD16NOV17		6509.50	6776.25	USD	6,668.75	
16AUG17	B	1	PD16NOV17		6526.50	6776.25	USD	6,243.75	
16AUG17	B	1	PD16NOV17		6520.00	6776.25	USD	6,406.25	
16AUG17	B	2	PD16NOV17		6522.50	6776.25	USD	12,687.50	
16AUG17	B	1	PD16NOV17		6516.50	6776.25	USD	6,493.75	
16AUG17	B	1	PD16NOV17		6512.00	6776.25	USD	6,606.25	
16AUG17	B	2	PD16NOV17		6517.00	6776.25	USD	12,962.50	
16AUG17	B	1	PD16NOV17		6519.00	6776.25	USD	6,431.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6516.00	6776.25	USD	6,506.25	
16AUG17	S	2	PD16NOV17	6541.00	6776.25	USD	-11,762.50	
16AUG17	S	1	PD16NOV17	6536.00	6776.25	USD	-6,006.25	
16AUG17	S	4	PD16NOV17	6537.50	6776.25	USD	-23,875.00	
16AUG17	S	1	PD16NOV17	6492.00	6776.25	USD	-7,106.25	
16AUG17	S	1	PD16NOV17	6542.00	6776.25	USD	-5,856.25	
17AUG17	S	4	PD16NOV17	6489.80	6776.25	USD	-28,645.00	
06SEP17	S	1	PD16NOV17	6893.30	6776.25	USD	2,926.25	
11SEP17	S	2	PD16NOV17	6736.30	6776.25	USD	-1,997.50	
12SEP17	S	8	PD16NOV17	6656.05	6776.25	USD	-24,040.00	
	NET	0	AVG BGHT 6446.51	SOLD 6515.97			92,037.50	0.00
17AUG17	B	1	PD17NOV17	6549.00	6776.78	USD	5,694.50	
17AUG17	B	1	PD17NOV17	6554.00	6776.78	USD	5,569.50	
17AUG17	B	1	PD17NOV17	6565.50	6776.78	USD	5,282.00	
17AUG17	B	1	PD17NOV17	6565.00	6776.78	USD	5,294.50	
17AUG17	B	1	PD17NOV17	6575.00	6776.78	USD	5,044.50	
17AUG17	B	1	PD17NOV17	6580.00	6776.78	USD	4,919.50	
17AUG17	B	1	PD17NOV17	6569.00	6776.78	USD	5,194.50	
17AUG17	B	1	PD17NOV17	6574.50	6776.78	USD	5,057.00	
17AUG17	B	2	PD17NOV17	6549.50	6776.78	USD	11,364.00	
17AUG17	B	1	PD17NOV17	6507.50	6776.78	USD	6,732.00	
17AUG17	B	1	PD17NOV17	6495.50	6776.78	USD	7,032.00	
17AUG17	B	1	PD17NOV17	6498.00	6776.78	USD	6,969.50	
17AUG17	B	1	PD17NOV17	6502.00	6776.78	USD	6,869.50	
17AUG17	B	3	PD17NOV17	6503.50	6776.78	USD	20,496.00	
17AUG17	B	4	PD17NOV17	6504.00	6776.78	USD	27,278.00	
17AUG17	B	3	PD17NOV17	6500.50	6776.78	USD	20,721.00	
17AUG17	B	2	PD17NOV17	6502.50	6776.78	USD	13,714.00	
17AUG17	B	3	PD17NOV17	6503.00	6776.78	USD	20,533.50	
17AUG17	B	7	PD17NOV17	6501.50	6776.78	USD	48,174.00	
17AUG17	S	3	PD17NOV17	6500.00	6776.78	USD	-20,758.50	
17AUG17	S	7	PD17NOV17	6499.00	6776.78	USD	-48,611.50	
17AUG17	S	1	PD17NOV17	6504.00	6776.78	USD	-6,819.50	
17AUG17	S	3	PD17NOV17	6503.00	6776.78	USD	-20,533.50	
17AUG17	S	1	PD17NOV17	6500.50	6776.78	USD	-6,907.00	
17AUG17	S	7	PD17NOV17	6501.00	6776.78	USD	-48,261.50	
17AUG17	S	1	PD17NOV17	6502.00	6776.78	USD	-6,869.50	
17AUG17	S	1	PD17NOV17	6501.50	6776.78	USD	-6,882.00	
17AUG17	S	2	PD17NOV17	6503.50	6776.78	USD	-13,664.00	
17AUG17	B	4	PD17NOV17	6500.00	6776.78	USD	27,678.00	
17AUG17	B	1	PD17NOV17	6504.50	6776.78	USD	6,807.00	
17AUG17	B	1	PD17NOV17	6515.50	6776.78	USD	6,532.00	
17AUG17	B	2	PD17NOV17	6510.00	6776.78	USD	13,339.00	
17AUG17	B	2	PD17NOV17	6499.50	6776.78	USD	13,864.00	
17AUG17	B	1	PD17NOV17	6499.00	6776.78	USD	6,944.50	
17AUG17	B	1	PD17NOV17	6494.50	6776.78	USD	7,057.00	
17AUG17	B	1	PD17NOV17	6497.00	6776.78	USD	6,994.50	
17AUG17	B	1	PD17NOV17	6484.00	6776.78	USD	7,319.50	
17AUG17	B	1	PD17NOV17	6481.50	6776.78	USD	7,382.00	
17AUG17	B	2	PD17NOV17	6485.00	6776.78	USD	14,589.00	
17AUG17	B	1	PD17NOV17	6483.50	6776.78	USD	7,332.00	
17AUG17	B	2	PD17NOV17	6503.00	6776.78	USD	13,689.00	
17AUG17	S	1	PD17NOV17	6502.00	6776.78	USD	-6,869.50	
17AUG17	B	4	PD17NOV17	6500.50	6776.78	USD	27,628.00	
17AUG17	B	1	PD17NOV17	6489.50	6776.78	USD	7,182.00	
17AUG17	B	1	PD17NOV17	6488.00	6776.78	USD	7,219.50	
17AUG17	B	2	PD17NOV17	6487.00	6776.78	USD	14,489.00	

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17AUG17	B	1	PD17NOV17	6473.50	6776.78	USD	7,582.00	
17AUG17	B	1	PD17NOV17	6476.50	6776.78	USD	7,507.00	
18AUG17	B	1	PD17NOV17	6473.50	6776.78	USD	7,582.00	
18AUG17	B	1	PD17NOV17	6483.50	6776.78	USD	7,332.00	
18AUG17	S	1	PD17NOV17	6490.00	6776.78	USD	-7,169.50	
18AUG17	S	1	PD17NOV17	6493.00	6776.78	USD	-7,094.50	
18AUG17	S	2	PD17NOV17	6493.50	6776.78	USD	-14,164.00	
18AUG17	B	1	PD17NOV17	6459.50	6776.78	USD	7,932.00	
18AUG17	B	1	PD17NOV17	6496.00	6776.78	USD	7,019.50	
18AUG17	B	2	PD17NOV17	6504.50	6776.78	USD	13,614.00	
18AUG17	B	5	PD17NOV17	6508.50	6776.78	USD	33,535.00	
18AUG17	B	4	PD17NOV17	6508.00	6776.78	USD	26,878.00	
18AUG17	B	5	PD17NOV17	6507.00	6776.78	USD	33,722.50	
18AUG17	S	1	PD17NOV17	6510.50	6776.78	USD	-6,657.00	
18AUG17	S	9	PD17NOV17	6510.00	6776.78	USD	-60,025.50	
18AUG17	B	1	PD17NOV17	6511.00	6776.78	USD	6,644.50	
18AUG17	S	1	PD17NOV17	6507.50	6776.78	USD	-6,732.00	
18AUG17	S	7	PD17NOV17	6508.50	6776.78	USD	-46,949.00	
18AUG17	B	1	PD17NOV17	6520.00	6776.78	USD	6,419.50	
18AUG17	B	1	PD17NOV17	6526.00	6776.78	USD	6,269.50	
18AUG17	B	1	PD17NOV17	6518.50	6776.78	USD	6,457.00	
18AUG17	B	1	PD17NOV17	6475.50	6776.78	USD	7,532.00	
18AUG17	B	2	PD17NOV17	6474.50	6776.78	USD	15,114.00	
18AUG17	B	2	PD17NOV17	6473.00	6776.78	USD	15,189.00	
18AUG17	B	1	PD17NOV17	6461.00	6776.78	USD	7,894.50	
18AUG17	B	1	PD17NOV17	6461.50	6776.78	USD	7,882.00	
18AUG17	B	3	PD17NOV17	6462.00	6776.78	USD	23,608.50	
18AUG17	B	1	PD17NOV17	6466.50	6776.78	USD	7,757.00	
18AUG17	B	1	PD17NOV17	6476.50	6776.78	USD	7,507.00	
18AUG17	B	1	PD17NOV17	6460.50	6776.78	USD	7,907.00	
18AUG17	B	1	PD17NOV17	6468.50	6776.78	USD	7,707.00	
18AUG17	B	2	PD17NOV17	6467.50	6776.78	USD	15,464.00	
18AUG17	B	1	PD17NOV17	6508.00	6776.78	USD	6,719.50	
18AUG17	B	1	PD17NOV17	6489.00	6776.78	USD	7,194.50	
18AUG17	B	3	PD17NOV17	6488.00	6776.78	USD	21,658.50	
22AUG17	S	7	PD17NOV17	6578.76	6776.78	USD	-34,653.50	
30AUG17	S	17	PD17NOV17	6765.55	6776.78	USD	-4,772.75	
06SEP17	S	2	PD17NOV17	6893.68	6776.78	USD	5,845.00	
06SEP17	S	2	PD17NOV17	6893.88	6776.78	USD	5,855.00	
11SEP17	S	11	PD17NOV17	6736.73	6776.78	USD	-11,013.75	
12SEP17	S	14	PD17NOV17	6656.27	6776.78	USD	-42,178.50	
19SEP17	S	1	PD17NOV17	6524.68	6776.78	USD	-6,302.50	
	NET	+8 AVG BGHT 6500.88	SOLD 6612.82				343,425.50	0.00
21AUG17	B	1	PD21NOV17	6529.50	6778.92	USD	6,235.50	
21AUG17	B	1	PD21NOV17	6508.00	6778.92	USD	6,773.00	
21AUG17	B	1	PD21NOV17	6490.00	6778.92	USD	7,223.00	
21AUG17	B	2	PD21NOV17	6529.00	6778.92	USD	12,496.00	
21AUG17	S	1	PD21NOV17	6484.00	6778.92	USD	-7,373.00	
21AUG17	B	1	PD21NOV17	6512.50	6778.92	USD	6,660.50	
21AUG17	B	2	PD21NOV17	6479.00	6778.92	USD	14,996.00	
21AUG17	S	1	PD21NOV17	6488.50	6778.92	USD	-7,260.50	
21AUG17	S	1	PD21NOV17	6489.00	6778.92	USD	-7,248.00	
21AUG17	B	1	PD21NOV17	6479.50	6778.92	USD	7,485.50	
21AUG17	B	1	PD21NOV17	6493.00	6778.92	USD	7,148.00	
21AUG17	S	1	PD21NOV17	6483.50	6778.92	USD	-7,385.50	
21AUG17	B	1	PD21NOV17	6481.50	6778.92	USD	7,435.50	
21AUG17	S	1	PD21NOV17	6514.00	6778.92	USD	-6,623.00	

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21AUG17	B	1	PD21NOV17	6535.00	6778.92	USD	6,098.00	
21AUG17	B	2	PD21NOV17	6559.00	6778.92	USD	10,996.00	
21AUG17	B	1	PD21NOV17	6558.50	6778.92	USD	5,510.50	
21AUG17	B	2	PD21NOV17	6556.50	6778.92	USD	11,121.00	
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	1	PD21NOV17	6561.00	6778.92	USD	5,448.00	
21AUG17	B	2	PD21NOV17	6569.00	6778.92	USD	10,496.00	
21AUG17	B	1	PD21NOV17	6572.00	6778.92	USD	5,173.00	
21AUG17	B	1	PD21NOV17	6571.50	6778.92	USD	5,185.50	
21AUG17	B	2	PD21NOV17	6571.00	6778.92	USD	10,396.00	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	B	2	PD21NOV17	6584.50	6778.92	USD	9,721.00	
21AUG17	B	1	PD21NOV17	6583.00	6778.92	USD	4,898.00	
21AUG17	B	1	PD21NOV17	6590.50	6778.92	USD	4,710.50	
21AUG17	B	1	PD21NOV17	6592.00	6778.92	USD	4,673.00	
21AUG17	B	2	PD21NOV17	6591.50	6778.92	USD	9,371.00	
21AUG17	B	1	PD21NOV17	6582.50	6778.92	USD	4,910.50	
21AUG17	S	1	PD21NOV17	6541.50	6778.92	USD	-5,935.50	
21AUG17	S	1	PD21NOV17	6541.00	6778.92	USD	-5,948.00	
21AUG17	S	1	PD21NOV17	6559.50	6778.92	USD	-5,485.50	
21AUG17	B	5	PD21NOV17	6560.00	6778.92	USD	27,365.00	
21AUG17	S	1	PD21NOV17	6543.00	6778.92	USD	-5,898.00	
21AUG17	B	1	PD21NOV17	6479.00	6778.92	USD	7,498.00	
21AUG17	B	1	PD21NOV17	6551.50	6778.92	USD	5,685.50	
21AUG17	B	1	PD21NOV17	6554.50	6778.92	USD	5,610.50	
21AUG17	B	1	PD21NOV17	6551.00	6778.92	USD	5,698.00	
21AUG17	B	2	PD21NOV17	6555.50	6778.92	USD	11,171.00	
21AUG17	B	1	PD21NOV17	6556.00	6778.92	USD	5,573.00	
21AUG17	B	4	PD21NOV17	6557.00	6778.92	USD	22,192.00	
21AUG17	B	4	PD21NOV17	6557.50	6778.92	USD	22,142.00	
21AUG17	B	2	PD21NOV17	6565.50	6778.92	USD	10,671.00	
21AUG17	B	4	PD21NOV17	6563.50	6778.92	USD	21,542.00	
21AUG17	B	5	PD21NOV17	6563.00	6778.92	USD	26,990.00	
21AUG17	B	5	PD21NOV17	6564.00	6778.92	USD	26,865.00	
21AUG17	S	1	PD21NOV17	6563.50	6778.92	USD	-5,385.50	
21AUG17	S	2	PD21NOV17	6562.50	6778.92	USD	-10,821.00	
21AUG17	B	2	PD21NOV17	6564.50	6778.92	USD	10,721.00	
21AUG17	B	3	PD21NOV17	6566.50	6778.92	USD	15,931.50	
21AUG17	B	2	PD21NOV17	6570.00	6778.92	USD	10,446.00	
21AUG17	B	1	PD21NOV17	6570.50	6778.92	USD	5,210.50	
21AUG17	S	2	PD21NOV17	6567.50	6778.92	USD	-10,571.00	
21AUG17	S	4	PD21NOV17	6563.00	6778.92	USD	-21,592.00	
21AUG17	B	1	PD21NOV17	6587.50	6778.92	USD	4,785.50	
21AUG17	B	3	PD21NOV17	6590.00	6778.92	USD	14,169.00	
21AUG17	B	4	PD21NOV17	6591.00	6778.92	USD	18,792.00	
21AUG17	B	1	PD21NOV17	6586.00	6778.92	USD	4,823.00	
21AUG17	S	1	PD21NOV17	6568.00	6778.92	USD	-5,273.00	
21AUG17	S	1	PD21NOV17	6553.50	6778.92	USD	-5,635.50	
21AUG17	S	1	PD21NOV17	6554.00	6778.92	USD	-5,623.00	
21AUG17	B	4	PD21NOV17	6599.00	6778.92	USD	17,992.00	
21AUG17	B	1	PD21NOV17	6614.50	6778.92	USD	4,110.50	
21AUG17	B	1	PD21NOV17	6620.50	6778.92	USD	3,960.50	
21AUG17	B	2	PD21NOV17	6609.50	6778.92	USD	8,471.00	
21AUG17	B	2	PD21NOV17	6621.50	6778.92	USD	7,871.00	
21AUG17	B	2	PD21NOV17	6622.50	6778.92	USD	7,821.00	
21AUG17	B	2	PD21NOV17	6622.00	6778.92	USD	7,846.00	
21AUG17	S	1	PD21NOV17	6577.00	6778.92	USD	-5,048.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
21AUG17	S	1	PD21NOV17		6576.00	6778.92	USD	-5,073.00	
21AUG17	S	2	PD21NOV17		6579.00	6778.92	USD	-9,996.00	
21AUG17	B	1	PD21NOV17		6600.00	6778.92	USD	4,473.00	
21AUG17	B	1	PD21NOV17		6588.00	6778.92	USD	4,773.00	
21AUG17	S	5	PD21NOV17		6578.00	6778.92	USD	-25,115.00	
21AUG17	S	1	PD21NOV17		6569.00	6778.92	USD	-5,248.00	
22AUG17	S	5	PD21NOV17		6579.59	6778.92	USD	-24,916.25	
30AUG17	S	11	PD21NOV17		6766.55	6778.92	USD	-3,401.75	
30AUG17	S	15	PD21NOV17		6766.75	6778.92	USD	-4,563.75	
06SEP17	B	1	PD21NOV17		6895.58	6778.92	USD	-2,916.50	
11SEP17	S	3	PD21NOV17		6738.47	6778.92	USD	-3,033.75	
11SEP17	S	9	PD21NOV17		6738.67	6778.92	USD	-9,056.25	
12SEP17	S	9	PD21NOV17		6657.14	6778.92	USD	-27,400.50	
12SEP17	S	19	PD21NOV17		6657.34	6778.92	USD	-57,750.50	
	NET	+2 AVG BGHT 6569.76	SOLD 6659.45					239,154.25	0.00
22AUG17	S	1	PD22NOV17		6576.00	6779.45	USD	-5,086.25	
22AUG17	B	1	PD22NOV17		6571.50	6779.45	USD	5,198.75	
22AUG17	B	1	PD22NOV17		6588.50	6779.45	USD	4,773.75	
22AUG17	B	2	PD22NOV17		6590.00	6779.45	USD	9,472.50	
22AUG17	B	1	PD22NOV17		6591.50	6779.45	USD	4,698.75	
22AUG17	B	1	PD22NOV17		6587.50	6779.45	USD	4,798.75	
22AUG17	B	1	PD22NOV17		6640.50	6779.45	USD	3,473.75	
22AUG17	B	2	PD22NOV17		6637.50	6779.45	USD	7,097.50	
22AUG17	B	1	PD22NOV17		6611.50	6779.45	USD	4,198.75	
22AUG17	B	2	PD22NOV17		6617.00	6779.45	USD	8,122.50	
22AUG17	B	2	PD22NOV17		6621.00	6779.45	USD	7,922.50	
22AUG17	S	1	PD22NOV17		6623.50	6779.45	USD	-3,898.75	
22AUG17	B	1	PD22NOV17		6645.00	6779.45	USD	3,361.25	
22AUG17	B	1	PD22NOV17		6646.50	6779.45	USD	3,323.75	
22AUG17	B	1	PD22NOV17		6647.00	6779.45	USD	3,311.25	
22AUG17	B	1	PD22NOV17		6647.50	6779.45	USD	3,298.75	
22AUG17	S	1	PD22NOV17		6646.00	6779.45	USD	-3,336.25	
22AUG17	B	1	PD22NOV17		6644.50	6779.45	USD	3,373.75	
22AUG17	B	1	PD22NOV17		6642.00	6779.45	USD	3,436.25	
22AUG17	B	1	PD22NOV17		6639.00	6779.45	USD	3,511.25	
22AUG17	S	1	PD22NOV17		6613.50	6779.45	USD	-4,148.75	
22AUG17	S	1	PD22NOV17		6604.50	6779.45	USD	-4,373.75	
22AUG17	S	1	PD22NOV17		6606.50	6779.45	USD	-4,323.75	
22AUG17	S	1	PD22NOV17		6607.00	6779.45	USD	-4,311.25	
22AUG17	S	1	PD22NOV17		6610.50	6779.45	USD	-4,223.75	
22AUG17	S	1	PD22NOV17		6614.50	6779.45	USD	-4,123.75	
22AUG17	S	1	PD22NOV17		6597.00	6779.45	USD	-4,561.25	
22AUG17	S	1	PD22NOV17		6608.50	6779.45	USD	-4,273.75	
22AUG17	S	1	PD22NOV17		6596.50	6779.45	USD	-4,573.75	
22AUG17	S	3	PD22NOV17		6598.50	6779.45	USD	-13,571.25	
22AUG17	B	3	PD22NOV17		6583.00	6779.45	USD	14,733.75	
22AUG17	B	1	PD22NOV17		6586.00	6779.45	USD	4,836.25	
22AUG17	S	1	PD22NOV17		6557.00	6779.45	USD	-5,561.25	
22AUG17	S	1	PD22NOV17		6571.50	6779.45	USD	-5,198.75	
22AUG17	S	1	PD22NOV17		6623.50	6779.45	USD	-3,898.75	
22AUG17	S	1	PD22NOV17		6579.00	6779.45	USD	-5,011.25	
22AUG17	S	2	PD22NOV17		6578.00	6779.45	USD	-10,072.50	
06SEP17	B	1	PD22NOV17		6895.95	6779.45	USD	-2,912.50	
06SEP17	S	1	PD22NOV17		6895.75	6779.45	USD	2,907.50	
12SEP17	B	1	PD22NOV17		6657.56	6779.45	USD	3,047.25	
15SEP17	S	3	PD22NOV17		6497.48	6779.45	USD	-21,147.75	
19SEP17	B	1	PD22NOV17		6527.05	6779.45	USD	6,310.00	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD22NOV17	6515.60	6779.45	USD	-6,596.25	
	NET	+2 AVG BGHT 6623.18	SOLD 6595.78				-9,996.75	0.00
23AUG17	B	2	PD24NOV17	6559.00	6780.52	USD	11,076.00	
23AUG17	B	2	PD24NOV17	6554.50	6780.52	USD	11,301.00	
23AUG17	B	2	PD24NOV17	6553.00	6780.52	USD	11,376.00	
23AUG17	S	1	PD24NOV17	6569.00	6780.52	USD	-5,288.00	
23AUG17	B	1	PD24NOV17	6563.00	6780.52	USD	5,438.00	
23AUG17	S	2	PD24NOV17	6579.00	6780.52	USD	-10,076.00	
23AUG17	B	2	PD24NOV17	6565.00	6780.52	USD	10,776.00	
23AUG17	B	3	PD24NOV17	6569.00	6780.52	USD	15,864.00	
23AUG17	S	1	PD24NOV17	6573.50	6780.52	USD	-5,175.50	
23AUG17	B	1	PD24NOV17	6587.50	6780.52	USD	4,825.50	
23AUG17	B	1	PD24NOV17	6566.00	6780.52	USD	5,363.00	
23AUG17	B	1	PD24NOV17	6570.00	6780.52	USD	5,263.00	
23AUG17	S	1	PD24NOV17	6579.50	6780.52	USD	-5,025.50	
23AUG17	B	1	PD24NOV17	6581.00	6780.52	USD	4,988.00	
24AUG17	B	1	PD24NOV17	6589.00	6780.52	USD	4,788.00	
24AUG17	B	1	PD24NOV17	6587.00	6780.52	USD	4,838.00	
24AUG17	S	1	PD24NOV17	6609.00	6780.52	USD	-4,288.00	
24AUG17	S	1	PD24NOV17	6599.00	6780.52	USD	-4,538.00	
24AUG17	B	1	PD24NOV17	6635.50	6780.52	USD	3,625.50	
24AUG17	B	1	PD24NOV17	6632.50	6780.52	USD	3,700.50	
24AUG17	B	1	PD24NOV17	6621.50	6780.52	USD	3,975.50	
24AUG17	B	1	PD24NOV17	6626.00	6780.52	USD	3,863.00	
24AUG17	B	1	PD24NOV17	6620.50	6780.52	USD	4,000.50	
24AUG17	S	1	PD24NOV17	6602.50	6780.52	USD	-4,450.50	
24AUG17	B	3	PD24NOV17	6625.00	6780.52	USD	11,664.00	
24AUG17	S	6	PD24NOV17	6610.00	6780.52	USD	-25,578.00	
24AUG17	B	4	PD24NOV17	6611.00	6780.52	USD	16,952.00	
24AUG17	B	1	PD24NOV17	6613.50	6780.52	USD	4,175.50	
24AUG17	S	4	PD24NOV17	6604.50	6780.52	USD	-17,602.00	
24AUG17	S	1	PD24NOV17	6603.00	6780.52	USD	-4,438.00	
24AUG17	S	1	PD24NOV17	6607.00	6780.52	USD	-4,338.00	
24AUG17	S	7	PD24NOV17	6612.00	6780.52	USD	-29,491.00	
24AUG17	S	3	PD24NOV17	6613.50	6780.52	USD	-12,526.50	
24AUG17	S	1	PD24NOV17	6617.50	6780.52	USD	-4,075.50	
24AUG17	S	2	PD24NOV17	6616.00	6780.52	USD	-8,226.00	
24AUG17	S	2	PD24NOV17	6619.00	6780.52	USD	-8,076.00	
24AUG17	S	2	PD24NOV17	6627.00	6780.52	USD	-7,676.00	
24AUG17	S	1	PD24NOV17	6627.50	6780.52	USD	-3,825.50	
24AUG17	S	1	PD24NOV17	6628.00	6780.52	USD	-3,813.00	
24AUG17	S	2	PD24NOV17	6629.50	6780.52	USD	-7,551.00	
24AUG17	S	1	PD24NOV17	6630.00	6780.52	USD	-3,763.00	
24AUG17	S	3	PD24NOV17	6631.00	6780.52	USD	-11,214.00	
24AUG17	S	2	PD24NOV17	6629.00	6780.52	USD	-7,576.00	
24AUG17	S	1	PD24NOV17	6657.50	6780.52	USD	-3,075.50	
24AUG17	S	3	PD24NOV17	6658.00	6780.52	USD	-9,189.00	
24AUG17	S	1	PD24NOV17	6664.00	6780.52	USD	-2,913.00	
24AUG17	S	3	PD24NOV17	6665.50	6780.52	USD	-8,626.50	
24AUG17	S	1	PD24NOV17	6666.50	6780.52	USD	-2,850.50	
24AUG17	S	1	PD24NOV17	6668.00	6780.52	USD	-2,813.00	
24AUG17	S	2	PD24NOV17	6667.50	6780.52	USD	-5,651.00	
24AUG17	S	1	PD24NOV17	6667.00	6780.52	USD	-2,838.00	
24AUG17	S	1	PD24NOV17	6678.00	6780.52	USD	-2,563.00	
24AUG17	S	1	PD24NOV17	6683.00	6780.52	USD	-2,438.00	
24AUG17	S	2	PD24NOV17	6682.50	6780.52	USD	-4,901.00	
24AUG17	B	1	PD24NOV17	6670.00	6780.52	USD	2,763.00	

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24AUG17	S	1	PD24NOV17	6676.50	6780.52	USD	-2,600.50	
24AUG17	S	1	PD24NOV17	6677.50	6780.52	USD	-2,575.50	
24AUG17	S	1	PD24NOV17	6680.50	6780.52	USD	-2,500.50	
24AUG17	S	1	PD24NOV17	6687.50	6780.52	USD	-2,325.50	
24AUG17	S	1	PD24NOV17	6694.50	6780.52	USD	-2,150.50	
24AUG17	S	1	PD24NOV17	6695.00	6780.52	USD	-2,138.00	
24AUG17	S	4	PD24NOV17	6700.50	6780.52	USD	-8,002.00	
24AUG17	S	1	PD24NOV17	6709.00	6780.52	USD	-1,788.00	
24AUG17	S	1	PD24NOV17	6709.50	6780.52	USD	-1,775.50	
24AUG17	S	1	PD24NOV17	6710.00	6780.52	USD	-1,763.00	
24AUG17	S	1	PD24NOV17	6712.00	6780.52	USD	-1,713.00	
24AUG17	S	2	PD24NOV17	6708.50	6780.52	USD	-3,601.00	
24AUG17	S	2	PD24NOV17	6711.00	6780.52	USD	-3,476.00	
24AUG17	S	1	PD24NOV17	6704.50	6780.52	USD	-1,900.50	
24AUG17	S	1	PD24NOV17	6706.00	6780.52	USD	-1,863.00	
24AUG17	S	1	PD24NOV17	6707.50	6780.52	USD	-1,825.50	
24AUG17	B	1	PD24NOV17	6700.50	6780.52	USD	2,000.50	
24AUG17	S	1	PD24NOV17	6710.50	6780.52	USD	-1,750.50	
24AUG17	S	1	PD24NOV17	6715.50	6780.52	USD	-1,625.50	
24AUG17	S	3	PD24NOV17	6716.50	6780.52	USD	-4,801.50	
24AUG17	S	1	PD24NOV17	6729.50	6780.52	USD	-1,275.50	
24AUG17	B	2	PD24NOV17	6710.50	6780.52	USD	3,501.00	
24AUG17	S	1	PD24NOV17	6697.50	6780.52	USD	-2,075.50	
24AUG17	S	1	PD24NOV17	6702.50	6780.52	USD	-1,950.50	
24AUG17	S	1	PD24NOV17	6696.50	6780.52	USD	-2,100.50	
24AUG17	S	2	PD24NOV17	6697.00	6780.52	USD	-4,176.00	
24AUG17	S	1	PD24NOV17	6698.00	6780.52	USD	-2,063.00	
24AUG17	S	1	PD24NOV17	6696.00	6780.52	USD	-2,113.00	
25AUG17	S	1	PD24NOV17	6702.50	6780.52	USD	-1,950.50	
25AUG17	B	1	PD24NOV17	6701.00	6780.52	USD	1,988.00	
25AUG17	B	1	PD24NOV17	6712.50	6780.52	USD	1,700.50	
25AUG17	S	2	PD24NOV17	6703.00	6780.52	USD	-3,876.00	
25AUG17	S	1	PD24NOV17	6714.00	6780.52	USD	-1,663.00	
25AUG17	B	1	PD24NOV17	6714.00	6780.52	USD	1,663.00	
25AUG17	S	1	PD24NOV17	6729.00	6780.52	USD	-1,288.00	
25AUG17	S	2	PD24NOV17	6730.50	6780.52	USD	-2,501.00	
25AUG17	S	1	PD24NOV17	6724.00	6780.52	USD	-1,413.00	
25AUG17	S	1	PD24NOV17	6732.00	6780.52	USD	-1,213.00	
25AUG17	B	1	PD24NOV17	6734.00	6780.52	USD	1,163.00	
25AUG17	B	1	PD24NOV17	6727.00	6780.52	USD	1,338.00	
25AUG17	B	1	PD24NOV17	6731.50	6780.52	USD	1,225.50	
25AUG17	B	1	PD24NOV17	6733.50	6780.52	USD	1,175.50	
25AUG17	B	1	PD24NOV17	6732.50	6780.52	USD	1,200.50	
25AUG17	S	3	PD24NOV17	6741.00	6780.52	USD	-2,964.00	
25AUG17	B	1	PD24NOV17	6735.00	6780.52	USD	1,138.00	
25AUG17	B	1	PD24NOV17	6733.00	6780.52	USD	1,188.00	
25AUG17	B	1	PD24NOV17	6731.00	6780.52	USD	1,238.00	
25AUG17	S	1	PD24NOV17	6735.50	6780.52	USD	-1,125.50	
25AUG17	S	1	PD24NOV17	6738.50	6780.52	USD	-1,050.50	
25AUG17	S	1	PD24NOV17	6740.50	6780.52	USD	-1,000.50	
25AUG17	S	1	PD24NOV17	6739.00	6780.52	USD	-1,038.00	
25AUG17	B	1	PD24NOV17	6736.00	6780.52	USD	1,113.00	
25AUG17	B	1	PD24NOV17	6738.00	6780.52	USD	1,063.00	
25AUG17	B	1	PD24NOV17	6715.50	6780.52	USD	1,625.50	
25AUG17	B	1	PD24NOV17	6706.00	6780.52	USD	1,863.00	
25AUG17	B	1	PD24NOV17	6705.50	6780.52	USD	1,875.50	
25AUG17	B	1	PD24NOV17	6709.50	6780.52	USD	1,775.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	6713.50	6780.52	USD	1,675.50	
25AUG17	B	1	PD24NOV17	6691.50	6780.52	USD	2,225.50	
25AUG17	S	2	PD24NOV17	6675.50	6780.52	USD	-5,251.00	
25AUG17	S	1	PD24NOV17	6675.00	6780.52	USD	-2,638.00	
25AUG17	S	1	PD24NOV17	6676.00	6780.52	USD	-2,613.00	
25AUG17	S	1	PD24NOV17	6677.00	6780.52	USD	-2,588.00	
25AUG17	S	1	PD24NOV17	6697.00	6780.52	USD	-2,088.00	
25AUG17	B	1	PD24NOV17	6697.00	6780.52	USD	2,088.00	
30AUG17	B	15	PD24NOV17	6767.70	6780.52	USD	4,807.50	
06SEP17	B	1	PD24NOV17	6896.70	6780.52	USD	-2,904.50	
11SEP17	B	7	PD24NOV17	6740.17	6780.52	USD	7,061.25	
12SEP17	B	14	PD24NOV17	6658.20	6780.52	USD	42,812.00	
14SEP17	B	6	PD24NOV17	6489.79	6780.52	USD	43,609.50	
15SEP17	B	3	PD24NOV17	6498.51	6780.52	USD	21,150.75	
15SEP17	B	7	PD24NOV17	6498.31	6780.52	USD	49,386.75	
	NET	-12 AVG	BGHT 6650.02				3,703.25	0.00
			SOLD 6664.30					
29AUG17	B	1	PD29NOV17	6800.00	6783.18	USD	-420.50	
29AUG17	S	1	PD29NOV17	6787.00	6783.18	USD	95.50	
29AUG17	S	1	PD29NOV17	6789.50	6783.18	USD	158.00	
29AUG17	B	1	PD29NOV17	6806.00	6783.18	USD	-570.50	
29AUG17	S	3	PD29NOV17	6756.00	6783.18	USD	-2,038.50	
29AUG17	S	1	PD29NOV17	6758.00	6783.18	USD	-629.50	
29AUG17	S	1	PD29NOV17	6798.50	6783.18	USD	383.00	
29AUG17	S	1	PD29NOV17	6817.50	6783.18	USD	858.00	
29AUG17	S	1	PD29NOV17	6804.50	6783.18	USD	533.00	
29AUG17	S	1	PD29NOV17	6809.00	6783.18	USD	645.50	
29AUG17	S	1	PD29NOV17	6819.00	6783.18	USD	895.50	
29AUG17	S	1	PD29NOV17	6821.50	6783.18	USD	958.00	
29AUG17	S	1	PD29NOV17	6830.00	6783.18	USD	1,170.50	
29AUG17	S	1	PD29NOV17	6833.50	6783.18	USD	1,258.00	
29AUG17	S	1	PD29NOV17	6832.50	6783.18	USD	1,233.00	
29AUG17	B	1	PD29NOV17	6816.00	6783.18	USD	-820.50	
29AUG17	B	1	PD29NOV17	6810.50	6783.18	USD	-683.00	
29AUG17	B	1	PD29NOV17	6822.50	6783.18	USD	-983.00	
29AUG17	S	3	PD29NOV17	6793.50	6783.18	USD	774.00	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
29AUG17	S	2	PD29NOV17	6804.00	6783.18	USD	1,041.00	
29AUG17	S	2	PD29NOV17	6803.00	6783.18	USD	991.00	
29AUG17	B	2	PD29NOV17	6803.50	6783.18	USD	-1,016.00	
29AUG17	B	4	PD29NOV17	6802.50	6783.18	USD	-1,932.00	
29AUG17	S	2	PD29NOV17	6802.00	6783.18	USD	941.00	
06SEP17	B	6	PD29NOV17	6898.38	6783.18	USD	-17,280.00	
12SEP17	B	5	PD29NOV17	6660.98	6783.18	USD	15,275.00	
15SEP17	B	3	PD29NOV17	6500.38	6783.18	USD	21,210.00	
	NET	0 AVG	BGHT 6762.73				22,554.50	0.00
			SOLD 6798.82					
30AUG17	B	1	PD30NOV17	6815.50	6783.72	USD	-794.50	
30AUG17	B	1	PD30NOV17	6799.50	6783.72	USD	-394.50	
30AUG17	S	1	PD30NOV17	6800.50	6783.72	USD	419.50	
30AUG17	B	1	PD30NOV17	6775.00	6783.72	USD	218.00	
30AUG17	B	2	PD30NOV17	6772.50	6783.72	USD	561.00	
30AUG17	B	1	PD30NOV17	6772.00	6783.72	USD	293.00	
30AUG17	S	5	PD30NOV17	6763.50	6783.72	USD	-2,527.50	
30AUG17	S	1	PD30NOV17	6778.00	6783.72	USD	-143.00	
30AUG17	B	2	PD30NOV17	6778.00	6783.72	USD	286.00	
30AUG17	B	2	PD30NOV17	6765.50	6783.72	USD	911.00	
31AUG17	S	1	PD30NOV17	6802.50	6783.72	USD	469.50	
31AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6806.00	6783.72	USD	-557.00	
31AUG17	S	1	PD30NOV17	6812.00	6783.72	USD	707.00	
31AUG17	B	4	PD30NOV17	6813.00	6783.72	USD	-2,928.00	
31AUG17	B	1	PD30NOV17	6813.50	6783.72	USD	-744.50	
31AUG17	B	1	PD30NOV17	6811.50	6783.72	USD	-694.50	
31AUG17	S	1	PD30NOV17	6811.00	6783.72	USD	682.00	
31AUG17	S	1	PD30NOV17	6807.00	6783.72	USD	582.00	
31AUG17	S	1	PD30NOV17	6806.00	6783.72	USD	557.00	
31AUG17	S	1	PD30NOV17	6801.00	6783.72	USD	432.00	
31AUG17	B	3	PD30NOV17	6792.00	6783.72	USD	-621.00	
31AUG17	B	1	PD30NOV17	6791.50	6783.72	USD	-194.50	
31AUG17	S	1	PD30NOV17	6796.00	6783.72	USD	307.00	
31AUG17	B	1	PD30NOV17	6788.00	6783.72	USD	-107.00	
31AUG17	B	1	PD30NOV17	6787.00	6783.72	USD	-82.00	
31AUG17	B	2	PD30NOV17	6784.00	6783.72	USD	-14.00	
31AUG17	B	2	PD30NOV17	6784.50	6783.72	USD	-39.00	
31AUG17	B	1	PD30NOV17	6775.50	6783.72	USD	205.50	
31AUG17	B	2	PD30NOV17	6791.00	6783.72	USD	-364.00	
31AUG17	B	4	PD30NOV17	6789.00	6783.72	USD	-528.00	
31AUG17	B	1	PD30NOV17	6789.50	6783.72	USD	-144.50	
31AUG17	B	2	PD30NOV17	6787.50	6783.72	USD	-189.00	
31AUG17	S	1	PD30NOV17	6789.00	6783.72	USD	132.00	
06SEP17	S	3	PD30NOV17	6898.55	6783.72	USD	8,612.25	
06SEP17	S	2	PD30NOV17	6898.75	6783.72	USD	5,751.50	
11SEP17	S	6	PD30NOV17	6742.57	6783.72	USD	-6,172.50	
12SEP17	S	1	PD30NOV17	6661.13	6783.72	USD	-3,064.75	
12SEP17	S	1	PD30NOV17	6661.33	6783.72	USD	-3,059.75	
14SEP17	S	2	PD30NOV17	6492.05	6783.72	USD	-14,583.50	
15SEP17	S	5	PD30NOV17	6500.59	6783.72	USD	-35,391.25	
19SEP17	S	1	PD30NOV17	6530.32	6783.72	USD	-6,335.00	
20SEP17	S	2	PD30NOV17	6519.07	6783.72	USD	-13,232.50	
	NET	0 AVG BGHT 6790.36	SOLD 6714.40				-72,161.50	0.00
01SEP17	B	1	PD01DEC17	6799.00	6784.25	USD	-368.75	
01SEP17	S	1	PD01DEC17	6802.50	6784.25	USD	456.25	
01SEP17	B	1	PD01DEC17	6805.00	6784.25	USD	-518.75	
01SEP17	B	1	PD01DEC17	6803.00	6784.25	USD	-468.75	
01SEP17	B	1	PD01DEC17	6803.50	6784.25	USD	-481.25	
01SEP17	S	1	PD01DEC17	6800.50	6784.25	USD	406.25	
01SEP17	B	1	PD01DEC17	6802.50	6784.25	USD	-456.25	
01SEP17	B	1	PD01DEC17	6789.50	6784.25	USD	-131.25	
01SEP17	B	1	PD01DEC17	6800.50	6784.25	USD	-406.25	
01SEP17	B	3	PD01DEC17	6801.00	6784.25	USD	-1,256.25	
01SEP17	S	1	PD01DEC17	6812.50	6784.25	USD	706.25	
01SEP17	S	2	PD01DEC17	6811.00	6784.25	USD	1,337.50	
01SEP17	S	2	PD01DEC17	6810.50	6784.25	USD	1,312.50	
01SEP17	B	2	PD01DEC17	6815.00	6784.25	USD	-1,537.50	
01SEP17	B	3	PD01DEC17	6813.50	6784.25	USD	-2,193.75	
01SEP17	S	1	PD01DEC17	6815.50	6784.25	USD	781.25	
01SEP17	B	2	PD01DEC17	6814.50	6784.25	USD	-1,512.50	
01SEP17	B	1	PD01DEC17	6814.00	6784.25	USD	-743.75	
01SEP17	B	2	PD01DEC17	6812.50	6784.25	USD	-1,412.50	
01SEP17	B	2	PD01DEC17	6812.00	6784.25	USD	-1,387.50	
01SEP17	B	5	PD01DEC17	6811.50	6784.25	USD	-3,406.25	
01SEP17	B	5	PD01DEC17	6813.00	6784.25	USD	-3,593.75	
01SEP17	B	1	PD01DEC17	6811.00	6784.25	USD	-668.75	
01SEP17	B	3	PD01DEC17	6810.50	6784.25	USD	-1,968.75	
01SEP17	B	2	PD01DEC17	6810.00	6784.25	USD	-1,287.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	6817.50	6784.25	USD	-831.25	
01SEP17	S	1	PD01DEC17	6825.00	6784.25	USD	1,018.75	
01SEP17	B	1	PD01DEC17	6818.00	6784.25	USD	-843.75	
01SEP17	B	1	PD01DEC17	6807.00	6784.25	USD	-568.75	
01SEP17	S	1	PD01DEC17	6831.50	6784.25	USD	1,181.25	
01SEP17	B	1	PD01DEC17	6836.00	6784.25	USD	-1,293.75	
01SEP17	B	1	PD01DEC17	6837.50	6784.25	USD	-1,331.25	
01SEP17	B	1	PD01DEC17	6789.00	6784.25	USD	-118.75	
01SEP17	B	2	PD01DEC17	6798.50	6784.25	USD	-712.50	
01SEP17	S	2	PD01DEC17	6812.50	6784.25	USD	1,412.50	
06SEP17	S	3	PD01DEC17	6898.93	6784.25	USD	8,601.00	
06SEP17	S	1	PD01DEC17	6899.13	6784.25	USD	2,872.00	
11SEP17	S	10	PD01DEC17	6743.00	6784.25	USD	-10,312.50	
12SEP17	S	2	PD01DEC17	6661.89	6784.25	USD	-6,118.00	
14SEP17	S	6	PD01DEC17	6492.66	6784.25	USD	-43,738.50	
15SEP17	S	5	PD01DEC17	6501.01	6784.25	USD	-35,405.00	
15SEP17	S	1	PD01DEC17	6501.21	6784.25	USD	-7,076.00	
19SEP17	S	1	PD01DEC17	6530.75	6784.25	USD	-6,337.50	
20SEP17	S	5	PD01DEC17	6519.50	6784.25	USD	-33,093.75	
	NET	0 AVG BGHT 6809.90	SOLD 6678.17				-151,495.75	0.00
04SEP17	S	1	PD04DEC17	6868.50	6785.75	USD	2,068.75	
04SEP17	S	1	PD04DEC17	6892.00	6785.75	USD	2,656.25	
04SEP17	B	1	PD04DEC17	6906.50	6785.75	USD	-3,018.75	
04SEP17	S	1	PD04DEC17	6899.50	6785.75	USD	2,843.75	
04SEP17	B	1	PD04DEC17	6886.00	6785.75	USD	-2,506.25	
04SEP17	S	1	PD04DEC17	6882.50	6785.75	USD	2,418.75	
04SEP17	S	2	PD04DEC17	6880.00	6785.75	USD	4,712.50	
04SEP17	B	1	PD04DEC17	6882.50	6785.75	USD	-2,418.75	
04SEP17	S	1	PD04DEC17	6889.00	6785.75	USD	2,581.25	
04SEP17	S	1	PD04DEC17	6908.00	6785.75	USD	3,056.25	
04SEP17	S	1	PD04DEC17	6907.50	6785.75	USD	3,043.75	
04SEP17	B	3	PD04DEC17	6905.00	6785.75	USD	-8,943.75	
04SEP17	B	1	PD04DEC17	6897.00	6785.75	USD	-2,781.25	
04SEP17	B	2	PD04DEC17	6900.50	6785.75	USD	-5,737.50	
04SEP17	B	2	PD04DEC17	6896.00	6785.75	USD	-5,512.50	
04SEP17	B	3	PD04DEC17	6901.00	6785.75	USD	-8,643.75	
04SEP17	B	2	PD04DEC17	6901.50	6785.75	USD	-5,787.50	
04SEP17	B	3	PD04DEC17	6902.00	6785.75	USD	-8,718.75	
04SEP17	B	3	PD04DEC17	6902.50	6785.75	USD	-8,756.25	
04SEP17	S	1	PD04DEC17	6906.50	6785.75	USD	3,018.75	
04SEP17	S	4	PD04DEC17	6906.00	6785.75	USD	12,025.00	
04SEP17	S	1	PD04DEC17	6910.50	6785.75	USD	3,118.75	
04SEP17	S	4	PD04DEC17	6910.00	6785.75	USD	12,425.00	
04SEP17	S	3	PD04DEC17	6911.50	6785.75	USD	9,431.25	
04SEP17	B	1	PD04DEC17	6910.50	6785.75	USD	-3,118.75	
04SEP17	B	1	PD04DEC17	6915.50	6785.75	USD	-3,243.75	
04SEP17	B	1	PD04DEC17	6922.50	6785.75	USD	-3,418.75	
04SEP17	B	4	PD04DEC17	6909.00	6785.75	USD	-12,325.00	
04SEP17	B	1	PD04DEC17	6911.50	6785.75	USD	-3,143.75	
04SEP17	B	1	PD04DEC17	6920.50	6785.75	USD	-3,368.75	
04SEP17	B	3	PD04DEC17	6921.00	6785.75	USD	-10,143.75	
06SEP17	B	2	PD04DEC17	6900.45	6785.75	USD	-5,735.00	
11SEP17	S	8	PD04DEC17	6744.50	6785.75	USD	-8,250.00	
14SEP17	S	4	PD04DEC17	6493.89	6785.75	USD	-29,186.00	
15SEP17	S	1	PD04DEC17	6502.45	6785.75	USD	-7,082.50	
25SEP17	S	1	PD04DEC17	6441.50	6785.75	USD	-8,606.25	
	NET	0 AVG BGHT 6905.00	SOLD 6797.17				-97,047.25	0.00

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05SEP17	S	2	PD05DEC17	6930.50	6786.25	USD	7,212.50	
05SEP17	S	1	PD05DEC17	6925.00	6786.25	USD	3,468.75	
05SEP17	S	1	PD05DEC17	6924.50	6786.25	USD	3,456.25	
05SEP17	S	1	PD05DEC17	6922.00	6786.25	USD	3,393.75	
05SEP17	S	1	PD05DEC17	6924.00	6786.25	USD	3,443.75	
05SEP17	S	5	PD05DEC17	6925.50	6786.25	USD	17,406.25	
05SEP17	S	1	PD05DEC17	6927.50	6786.25	USD	3,531.25	
05SEP17	B	1	PD05DEC17	6938.00	6786.25	USD	-3,793.75	
05SEP17	B	1	PD05DEC17	6943.50	6786.25	USD	-3,931.25	
05SEP17	B	1	PD05DEC17	6943.00	6786.25	USD	-3,918.75	
05SEP17	B	2	PD05DEC17	6942.00	6786.25	USD	-7,787.50	
05SEP17	B	1	PD05DEC17	6939.00	6786.25	USD	-3,818.75	
05SEP17	B	1	PD05DEC17	6938.50	6786.25	USD	-3,806.25	
05SEP17	B	1	PD05DEC17	6941.50	6786.25	USD	-3,881.25	
05SEP17	B	1	PD05DEC17	6941.00	6786.25	USD	-3,868.75	
05SEP17	B	2	PD05DEC17	6942.50	6786.25	USD	-7,812.50	
05SEP17	S	1	PD05DEC17	6942.00	6786.25	USD	3,893.75	
05SEP17	S	2	PD05DEC17	6938.50	6786.25	USD	7,612.50	
05SEP17	S	1	PD05DEC17	6939.00	6786.25	USD	3,818.75	
05SEP17	S	1	PD05DEC17	6941.50	6786.25	USD	3,881.25	
05SEP17	S	1	PD05DEC17	6940.00	6786.25	USD	3,843.75	
05SEP17	S	3	PD05DEC17	6935.50	6786.25	USD	11,193.75	
05SEP17	S	1	PD05DEC17	6933.50	6786.25	USD	3,681.25	
05SEP17	S	4	PD05DEC17	6932.50	6786.25	USD	14,625.00	
05SEP17	S	11	PD05DEC17	6932.00	6786.25	USD	40,081.25	
05SEP17	S	1	PD05DEC17	6943.50	6786.25	USD	3,931.25	
05SEP17	S	2	PD05DEC17	6940.50	6786.25	USD	7,712.50	
05SEP17	B	1	PD05DEC17	6940.00	6786.25	USD	-3,843.75	
05SEP17	B	1	PD05DEC17	6951.00	6786.25	USD	-4,118.75	
05SEP17	B	1	PD05DEC17	6951.50	6786.25	USD	-4,131.25	
05SEP17	B	1	PD05DEC17	6955.00	6786.25	USD	-4,218.75	
05SEP17	B	1	PD05DEC17	6965.50	6786.25	USD	-4,481.25	
05SEP17	B	1	PD05DEC17	6966.50	6786.25	USD	-4,506.25	
05SEP17	B	5	PD05DEC17	6963.50	6786.25	USD	-22,156.25	
05SEP17	B	4	PD05DEC17	6963.00	6786.25	USD	-17,675.00	
05SEP17	B	2	PD05DEC17	6964.00	6786.25	USD	-8,887.50	
05SEP17	B	1	PD05DEC17	6957.50	6786.25	USD	-4,281.25	
05SEP17	B	2	PD05DEC17	6957.00	6786.25	USD	-8,537.50	
05SEP17	B	7	PD05DEC17	6959.00	6786.25	USD	-30,231.25	
05SEP17	B	2	PD05DEC17	6954.00	6786.25	USD	-8,387.50	
05SEP17	B	1	PD05DEC17	6922.50	6786.25	USD	-3,406.25	
05SEP17	B	1	PD05DEC17	6922.00	6786.25	USD	-3,393.75	
05SEP17	B	1	PD05DEC17	6924.50	6786.25	USD	-3,456.25	
05SEP17	S	4	PD05DEC17	6926.50	6786.25	USD	14,025.00	
05SEP17	B	2	PD05DEC17	6914.00	6786.25	USD	-6,387.50	
05SEP17	B	1	PD05DEC17	6919.50	6786.25	USD	-3,331.25	
05SEP17	B	1	PD05DEC17	6925.50	6786.25	USD	-3,481.25	
05SEP17	B	2	PD05DEC17	6917.50	6786.25	USD	-6,562.50	
05SEP17	B	1	PD05DEC17	6904.50	6786.25	USD	-2,956.25	
05SEP17	B	2	PD05DEC17	6900.50	6786.25	USD	-5,712.50	
05SEP17	B	4	PD05DEC17	6906.00	6786.25	USD	-11,975.00	
05SEP17	B	1	PD05DEC17	6922.00	6786.25	USD	-3,393.75	
05SEP17	B	1	PD05DEC17	6899.50	6786.25	USD	-2,831.25	
05SEP17	B	1	PD05DEC17	6898.50	6786.25	USD	-2,806.25	
05SEP17	B	1	PD05DEC17	6897.50	6786.25	USD	-2,781.25	
05SEP17	B	2	PD05DEC17	6885.00	6786.25	USD	-4,937.50	
05SEP17	B	1	PD05DEC17	6884.50	6786.25	USD	-2,456.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	2	PD05DEC17	6884.00	6786.25	USD	-4,887.50	
05SEP17	B	3	PD05DEC17	6886.00	6786.25	USD	-7,481.25	
05SEP17	B	1	PD05DEC17	6888.50	6786.25	USD	-2,556.25	
05SEP17	S	1	PD05DEC17	6848.00	6786.25	USD	1,543.75	
05SEP17	B	1	PD05DEC17	6836.00	6786.25	USD	-1,243.75	
05SEP17	S	1	PD05DEC17	6854.00	6786.25	USD	1,693.75	
06SEP17	B	1	PD05DEC17	6900.83	6786.25	USD	-2,864.50	
11SEP17	S	7	PD05DEC17	6745.00	6786.25	USD	-7,218.75	
12SEP17	S	2	PD05DEC17	6664.11	6786.25	USD	-6,107.00	
14SEP17	S	3	PD05DEC17	6494.30	6786.25	USD	-21,896.25	
15SEP17	S	5	PD05DEC17	6502.86	6786.25	USD	-35,423.75	
19SEP17	S	1	PD05DEC17	6533.08	6786.25	USD	-6,329.25	
20SEP17	S	7	PD05DEC17	6521.50	6786.25	USD	-46,331.25	
	NET	0 AVG	BGHT 6931.03	SOLD 6808.87			-216,833.25	0.00
06SEP17	S	1	PD06DEC17	6873.50	6786.75	USD	2,168.75	
06SEP17	S	1	PD06DEC17	6872.00	6786.75	USD	2,131.25	
06SEP17	S	1	PD06DEC17	6884.00	6786.75	USD	2,431.25	
06SEP17	S	1	PD06DEC17	6889.00	6786.75	USD	2,556.25	
06SEP17	B	1	PD06DEC17	6895.50	6786.75	USD	-2,718.75	
06SEP17	B	1	PD06DEC17	6897.00	6786.75	USD	-2,756.25	
06SEP17	B	1	PD06DEC17	6899.50	6786.75	USD	-2,818.75	
06SEP17	S	1	PD06DEC17	6902.00	6786.75	USD	2,881.25	
06SEP17	S	1	PD06DEC17	6897.00	6786.75	USD	2,756.25	
06SEP17	S	1	PD06DEC17	6899.00	6786.75	USD	2,806.25	
06SEP17	S	1	PD06DEC17	6898.50	6786.75	USD	2,793.75	
06SEP17	S	2	PD06DEC17	6898.00	6786.75	USD	5,562.50	
06SEP17	S	2	PD06DEC17	6904.00	6786.75	USD	5,862.50	
06SEP17	S	2	PD06DEC17	6904.50	6786.75	USD	5,887.50	
06SEP17	S	2	PD06DEC17	6908.00	6786.75	USD	6,062.50	
06SEP17	S	2	PD06DEC17	6909.00	6786.75	USD	6,112.50	
06SEP17	S	2	PD06DEC17	6909.50	6786.75	USD	6,137.50	
06SEP17	S	2	PD06DEC17	6913.00	6786.75	USD	6,312.50	
06SEP17	S	3	PD06DEC17	6913.50	6786.75	USD	9,506.25	
06SEP17	S	1	PD06DEC17	6914.00	6786.75	USD	3,181.25	
06SEP17	S	2	PD06DEC17	6910.50	6786.75	USD	6,187.50	
06SEP17	S	7	PD06DEC17	6911.50	6786.75	USD	21,831.25	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	S	2	PD06DEC17	6912.50	6786.75	USD	6,287.50	
06SEP17	S	3	PD06DEC17	6912.00	6786.75	USD	9,393.75	
06SEP17	S	1	PD06DEC17	6905.00	6786.75	USD	2,956.25	
06SEP17	S	2	PD06DEC17	6906.00	6786.75	USD	5,962.50	
06SEP17	S	3	PD06DEC17	6908.50	6786.75	USD	9,131.25	
06SEP17	S	11	PD06DEC17	6910.00	6786.75	USD	33,893.75	
06SEP17	S	4	PD06DEC17	6907.50	6786.75	USD	12,075.00	
06SEP17	S	2	PD06DEC17	6906.50	6786.75	USD	5,987.50	
06SEP17	B	1	PD06DEC17	6893.50	6786.75	USD	-2,668.75	
06SEP17	B	1	PD06DEC17	6883.00	6786.75	USD	-2,406.25	
06SEP17	B	1	PD06DEC17	6878.50	6786.75	USD	-2,293.75	
06SEP17	B	1	PD06DEC17	6892.00	6786.75	USD	-2,631.25	
06SEP17	B	1	PD06DEC17	6886.50	6786.75	USD	-2,493.75	
06SEP17	B	1	PD06DEC17	6887.00	6786.75	USD	-2,506.25	
06SEP17	B	1	PD06DEC17	6888.50	6786.75	USD	-2,543.75	
06SEP17	B	1	PD06DEC17	6889.50	6786.75	USD	-2,568.75	
06SEP17	B	1	PD06DEC17	6889.00	6786.75	USD	-2,556.25	
06SEP17	S	3	PD06DEC17	6889.50	6786.75	USD	7,706.25	
06SEP17	B	1	PD06DEC17	6890.50	6786.75	USD	-2,593.75	
06SEP17	S	1	PD06DEC17	6894.00	6786.75	USD	2,681.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	2	PD06DEC17	6893.50	6786.75	USD	5,337.50	
06SEP17	B	1	PD06DEC17	6895.00	6786.75	USD	-2,706.25	
06SEP17	B	1	PD06DEC17	6896.00	6786.75	USD	-2,731.25	
06SEP17	S	1	PD06DEC17	6895.00	6786.75	USD	2,706.25	
06SEP17	B	1	PD06DEC17	6896.50	6786.75	USD	-2,743.75	
06SEP17	B	2	PD06DEC17	6898.50	6786.75	USD	-5,587.50	
06SEP17	B	2	PD06DEC17	6898.00	6786.75	USD	-5,562.50	
06SEP17	B	6	PD06DEC17	6890.00	6786.75	USD	-15,487.50	
06SEP17	B	1	PD06DEC17	6876.00	6786.75	USD	-2,231.25	
06SEP17	B	1	PD06DEC17	6876.50	6786.75	USD	-2,243.75	
06SEP17	S	3	PD06DEC17	6894.50	6786.75	USD	8,081.25	
06SEP17	B	1	PD06DEC17	6877.50	6786.75	USD	-2,268.75	
06SEP17	B	1	PD06DEC17	6885.00	6786.75	USD	-2,456.25	
06SEP17	S	2	PD06DEC17	6889.50	6786.75	USD	5,137.50	
06SEP17	B	1	PD06DEC17	6880.50	6786.75	USD	-2,343.75	
06SEP17	B	2	PD06DEC17	6880.00	6786.75	USD	-4,662.50	
06SEP17	B	2	PD06DEC17	6891.00	6786.75	USD	-5,212.50	
06SEP17	B	2	PD06DEC17	6893.00	6786.75	USD	-5,312.50	
06SEP17	B	1	PD06DEC17	6905.50	6786.75	USD	-2,968.75	
06SEP17	B	1	PD06DEC17	6908.00	6786.75	USD	-3,031.25	
06SEP17	B	1	PD06DEC17	6907.50	6786.75	USD	-3,018.75	
06SEP17	S	2	PD06DEC17	6919.00	6786.75	USD	6,612.50	
06SEP17	B	1	PD06DEC17	6893.00	6786.75	USD	-2,656.25	
11SEP17	B	15	PD06DEC17	6745.70	6786.75	USD	15,393.75	
12SEP17	B	1	PD06DEC17	6664.87	6786.75	USD	3,047.00	
14SEP17	B	6	PD06DEC17	6494.91	6786.75	USD	43,776.00	
15SEP17	B	7	PD06DEC17	6503.48	6786.75	USD	49,572.25	
20SEP17	B	7	PD06DEC17	6522.20	6786.75	USD	46,296.25	
20SEP17	B	1	PD06DEC17	6522.00	6786.75	USD	6,618.75	
	NET	0AVG BGHT 6757.05	SOLD 6904.81				288,147.75	0.00
07SEP17	B	1	PD07DEC17	6925.00	6787.23	USD	-3,444.25	
07SEP17	S	1	PD07DEC17	6913.50	6787.23	USD	3,156.75	
07SEP17	S	1	PD07DEC17	6919.00	6787.23	USD	3,294.25	
07SEP17	S	1	PD07DEC17	6918.00	6787.23	USD	3,269.25	
07SEP17	S	1	PD07DEC17	6917.50	6787.23	USD	3,256.75	
07SEP17	S	2	PD07DEC17	6909.00	6787.23	USD	6,088.50	
07SEP17	S	1	PD07DEC17	6889.50	6787.23	USD	2,556.75	
07SEP17	S	1	PD07DEC17	6880.50	6787.23	USD	2,331.75	
07SEP17	S	5	PD07DEC17	6876.00	6787.23	USD	11,096.25	
07SEP17	S	2	PD07DEC17	6875.00	6787.23	USD	4,388.50	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	3	PD07DEC17	6868.00	6787.23	USD	6,057.75	
07SEP17	S	1	PD07DEC17	6857.50	6787.23	USD	1,756.75	
07SEP17	B	1	PD07DEC17	6871.00	6787.23	USD	-2,094.25	
07SEP17	S	3	PD07DEC17	6869.00	6787.23	USD	6,132.75	
07SEP17	B	1	PD07DEC17	6875.50	6787.23	USD	-2,206.75	
07SEP17	B	2	PD07DEC17	6864.00	6787.23	USD	-3,838.50	
07SEP17	B	1	PD07DEC17	6857.00	6787.23	USD	-1,744.25	
07SEP17	B	1	PD07DEC17	6863.00	6787.23	USD	-1,894.25	
07SEP17	B	2	PD07DEC17	6865.00	6787.23	USD	-3,888.50	
07SEP17	B	1	PD07DEC17	6869.50	6787.23	USD	-2,056.75	
07SEP17	B	1	PD07DEC17	6872.50	6787.23	USD	-2,131.75	
07SEP17	B	2	PD07DEC17	6870.50	6787.23	USD	-4,163.50	
07SEP17	B	1	PD07DEC17	6876.50	6787.23	USD	-2,231.75	
07SEP17	B	8	PD07DEC17	6880.00	6787.23	USD	-18,554.00	
07SEP17	B	1	PD07DEC17	6882.00	6787.23	USD	-2,369.25	
07SEP17	B	5	PD07DEC17	6884.50	6787.23	USD	-12,158.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	4	PD07DEC17	6884.00	6787.23	USD	-9,677.00	
07SEP17	B	4	PD07DEC17	6881.50	6787.23	USD	-9,427.00	
07SEP17	B	2	PD07DEC17	6883.00	6787.23	USD	-4,788.50	
07SEP17	B	2	PD07DEC17	6883.50	6787.23	USD	-4,813.50	
07SEP17	B	3	PD07DEC17	6885.00	6787.23	USD	-7,332.75	
07SEP17	B	1	PD07DEC17	6885.50	6787.23	USD	-2,456.75	
07SEP17	B	2	PD07DEC17	6891.50	6787.23	USD	-5,213.50	
07SEP17	B	2	PD07DEC17	6893.00	6787.23	USD	-5,288.50	
07SEP17	B	2	PD07DEC17	6893.50	6787.23	USD	-5,313.50	
07SEP17	S	5	PD07DEC17	6892.50	6787.23	USD	13,158.75	
07SEP17	S	2	PD07DEC17	6892.00	6787.23	USD	5,238.50	
07SEP17	S	1	PD07DEC17	6891.50	6787.23	USD	2,606.75	
07SEP17	S	1	PD07DEC17	6891.00	6787.23	USD	2,594.25	
07SEP17	S	1	PD07DEC17	6890.50	6787.23	USD	2,581.75	
07SEP17	S	5	PD07DEC17	6898.00	6787.23	USD	13,846.25	
07SEP17	S	3	PD07DEC17	6897.00	6787.23	USD	8,232.75	
07SEP17	S	1	PD07DEC17	6896.50	6787.23	USD	2,731.75	
07SEP17	B	1	PD07DEC17	6899.00	6787.23	USD	-2,794.25	
07SEP17	S	5	PD07DEC17	6900.00	6787.23	USD	14,096.25	
07SEP17	B	1	PD07DEC17	6903.00	6787.23	USD	-2,894.25	
07SEP17	B	2	PD07DEC17	6902.50	6787.23	USD	-5,763.50	
07SEP17	B	1	PD07DEC17	6904.00	6787.23	USD	-2,919.25	
07SEP17	B	3	PD07DEC17	6902.00	6787.23	USD	-8,607.75	
07SEP17	B	2	PD07DEC17	6901.50	6787.23	USD	-5,713.50	
07SEP17	B	1	PD07DEC17	6901.00	6787.23	USD	-2,844.25	
07SEP17	B	2	PD07DEC17	6900.50	6787.23	USD	-5,663.50	
07SEP17	B	1	PD07DEC17	6898.00	6787.23	USD	-2,769.25	
07SEP17	B	2	PD07DEC17	6898.50	6787.23	USD	-5,563.50	
07SEP17	B	2	PD07DEC17	6897.50	6787.23	USD	-5,513.50	
07SEP17	B	3	PD07DEC17	6897.00	6787.23	USD	-8,232.75	
07SEP17	B	2	PD07DEC17	6896.00	6787.23	USD	-5,438.50	
07SEP17	B	1	PD07DEC17	6909.00	6787.23	USD	-3,044.25	
07SEP17	B	1	PD07DEC17	6906.00	6787.23	USD	-2,969.25	
07SEP17	B	2	PD07DEC17	6904.50	6787.23	USD	-5,863.50	
07SEP17	B	2	PD07DEC17	6895.00	6787.23	USD	-5,388.50	
07SEP17	S	1	PD07DEC17	6874.00	6787.23	USD	2,169.25	
07SEP17	S	1	PD07DEC17	6877.50	6787.23	USD	2,256.75	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	11	PD07DEC17	6878.00	6787.23	USD	24,961.75	
07SEP17	S	6	PD07DEC17	6872.00	6787.23	USD	12,715.50	
07SEP17	S	4	PD07DEC17	6872.50	6787.23	USD	8,527.00	
07SEP17	S	6	PD07DEC17	6870.50	6787.23	USD	12,490.50	
07SEP17	S	6	PD07DEC17	6870.00	6787.23	USD	12,415.50	
07SEP17	S	2	PD07DEC17	6871.00	6787.23	USD	4,188.50	
07SEP17	S	2	PD07DEC17	6882.50	6787.23	USD	4,763.50	
07SEP17	S	2	PD07DEC17	6877.00	6787.23	USD	4,488.50	
07SEP17	S	1	PD07DEC17	6876.50	6787.23	USD	2,231.75	
07SEP17	S	3	PD07DEC17	6880.00	6787.23	USD	6,957.75	
07SEP17	S	3	PD07DEC17	6879.50	6787.23	USD	6,920.25	
07SEP17	S	7	PD07DEC17	6878.50	6787.23	USD	15,972.25	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
07SEP17	S	3	PD07DEC17	6885.00	6787.23	USD	7,332.75	
07SEP17	S	2	PD07DEC17	6884.00	6787.23	USD	4,838.50	
07SEP17	S	3	PD07DEC17	6885.50	6787.23	USD	7,370.25	
07SEP17	S	3	PD07DEC17	6900.50	6787.23	USD	8,495.25	
07SEP17	S	3	PD07DEC17	6902.00	6787.23	USD	8,607.75	
07SEP17	S	3	PD07DEC17	6901.00	6787.23	USD	8,532.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	6	PD07DEC17	6902.50	6787.23	USD	17,290.50	
07SEP17	B	1	PD07DEC17	6869.50	6787.23	USD	-2,056.75	
07SEP17	B	1	PD07DEC17	6885.00	6787.23	USD	-2,444.25	
07SEP17	B	1	PD07DEC17	6893.00	6787.23	USD	-2,644.25	
07SEP17	S	1	PD07DEC17	6898.00	6787.23	USD	2,769.25	
07SEP17	S	1	PD07DEC17	6901.50	6787.23	USD	2,856.75	
07SEP17	B	1	PD07DEC17	6901.50	6787.23	USD	-2,856.75	
07SEP17	S	3	PD07DEC17	6903.00	6787.23	USD	8,682.75	
07SEP17	B	1	PD07DEC17	6898.00	6787.23	USD	-2,769.25	
07SEP17	B	1	PD07DEC17	6899.00	6787.23	USD	-2,794.25	
07SEP17	B	1	PD07DEC17	6889.00	6787.23	USD	-2,544.25	
07SEP17	B	1	PD07DEC17	6887.50	6787.23	USD	-2,506.75	
07SEP17	B	1	PD07DEC17	6894.00	6787.23	USD	-2,669.25	
11SEP17	B	12	PD07DEC17	6746.20	6787.23	USD	12,309.00	
12SEP17	B	2	PD07DEC17	6665.42	6787.23	USD	6,090.50	
14SEP17	B	7	PD07DEC17	6495.33	6787.23	USD	51,082.50	
14SEP17	B	4	PD07DEC17	6495.13	6787.23	USD	29,210.00	
15SEP17	B	1	PD07DEC17	6503.69	6787.23	USD	7,088.50	
19SEP17	B	3	PD07DEC17	6534.45	6787.23	USD	18,958.50	
20SEP17	B	7	PD07DEC17	6522.70	6787.23	USD	46,292.75	
20SEP17	B	8	PD07DEC17	6522.50	6787.23	USD	52,946.00	
21SEP17	S	1	PD07DEC17	6478.00	6787.23	USD	-7,730.75	
25SEP17	B	1	PD07DEC17	6443.45	6787.23	USD	8,594.50	
	NET	-1 AVG BGHT 6784.16	SOLD 6882.03				327,807.75	0.00
08SEP17	S	1	PD08DEC17	6907.00	6787.71	USD	2,982.25	
08SEP17	S	2	PD08DEC17	6906.00	6787.71	USD	5,914.50	
08SEP17	S	2	PD08DEC17	6902.50	6787.71	USD	5,739.50	
08SEP17	S	2	PD08DEC17	6894.00	6787.71	USD	5,314.50	
08SEP17	B	1	PD08DEC17	6925.00	6787.71	USD	-3,432.25	
08SEP17	B	2	PD08DEC17	6926.00	6787.71	USD	-6,914.50	
08SEP17	B	2	PD08DEC17	6922.00	6787.71	USD	-6,714.50	
08SEP17	B	3	PD08DEC17	6928.50	6787.71	USD	-10,559.25	
08SEP17	B	1	PD08DEC17	6931.00	6787.71	USD	-3,582.25	
08SEP17	B	2	PD08DEC17	6930.50	6787.71	USD	-7,139.50	
08SEP17	B	6	PD08DEC17	6930.00	6787.71	USD	-21,343.50	
08SEP17	B	7	PD08DEC17	6929.00	6787.71	USD	-24,725.75	
08SEP17	B	1	PD08DEC17	6935.00	6787.71	USD	-3,682.25	
08SEP17	B	4	PD08DEC17	6947.50	6787.71	USD	-15,979.00	
08SEP17	B	1	PD08DEC17	6948.50	6787.71	USD	-4,019.75	
08SEP17	S	1	PD08DEC17	6941.50	6787.71	USD	3,844.75	
08SEP17	S	1	PD08DEC17	6937.50	6787.71	USD	3,744.75	
08SEP17	S	1	PD08DEC17	6935.00	6787.71	USD	3,682.25	
08SEP17	S	1	PD08DEC17	6931.50	6787.71	USD	3,594.75	
08SEP17	S	4	PD08DEC17	6927.00	6787.71	USD	13,929.00	
08SEP17	S	1	PD08DEC17	6918.50	6787.71	USD	3,269.75	
08SEP17	S	3	PD08DEC17	6910.50	6787.71	USD	9,209.25	
08SEP17	S	6	PD08DEC17	6901.50	6787.71	USD	17,068.50	
08SEP17	S	5	PD08DEC17	6902.00	6787.71	USD	14,286.25	
08SEP17	S	1	PD08DEC17	6894.50	6787.71	USD	2,669.75	
08SEP17	S	1	PD08DEC17	6895.50	6787.71	USD	2,694.75	
08SEP17	S	3	PD08DEC17	6895.00	6787.71	USD	8,046.75	
08SEP17	S	1	PD08DEC17	6896.50	6787.71	USD	2,719.75	
08SEP17	S	1	PD08DEC17	6898.50	6787.71	USD	2,769.75	
08SEP17	S	2	PD08DEC17	6905.00	6787.71	USD	5,864.50	
08SEP17	S	2	PD08DEC17	6898.00	6787.71	USD	5,514.50	
08SEP17	B	1	PD08DEC17	6892.00	6787.71	USD	-2,607.25	
08SEP17	S	1	PD08DEC17	6890.50	6787.71	USD	2,569.75	

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SAP0094X

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6883.50	6787.71	USD	2,394.75	
08SEP17	B	1	PD08DEC17	6884.00	6787.71	USD	-2,407.25	
08SEP17	S	1	PD08DEC17	6880.00	6787.71	USD	2,307.25	
08SEP17	S	3	PD08DEC17	6880.50	6787.71	USD	6,959.25	
08SEP17	S	1	PD08DEC17	6878.50	6787.71	USD	2,269.75	
08SEP17	B	2	PD08DEC17	6880.00	6787.71	USD	-4,614.50	
08SEP17	S	3	PD08DEC17	6876.00	6787.71	USD	6,621.75	
08SEP17	S	1	PD08DEC17	6870.00	6787.71	USD	2,057.25	
08SEP17	S	3	PD08DEC17	6870.50	6787.71	USD	6,209.25	
08SEP17	S	3	PD08DEC17	6865.00	6787.71	USD	5,796.75	
08SEP17	S	1	PD08DEC17	6863.50	6787.71	USD	1,894.75	
08SEP17	S	1	PD08DEC17	6864.00	6787.71	USD	1,907.25	
08SEP17	S	1	PD08DEC17	6856.00	6787.71	USD	1,707.25	
08SEP17	S	1	PD08DEC17	6853.00	6787.71	USD	1,632.25	
08SEP17	S	2	PD08DEC17	6854.50	6787.71	USD	3,339.50	
08SEP17	S	1	PD08DEC17	6854.00	6787.71	USD	1,657.25	
08SEP17	S	1	PD08DEC17	6850.50	6787.71	USD	1,569.75	
08SEP17	S	1	PD08DEC17	6856.50	6787.71	USD	1,719.75	
08SEP17	S	5	PD08DEC17	6857.00	6787.71	USD	8,661.25	
08SEP17	S	1	PD08DEC17	6852.50	6787.71	USD	1,619.75	
08SEP17	S	2	PD08DEC17	6851.50	6787.71	USD	3,189.50	
08SEP17	S	1	PD08DEC17	6846.50	6787.71	USD	1,469.75	
08SEP17	S	1	PD08DEC17	6848.00	6787.71	USD	1,507.25	
08SEP17	S	2	PD08DEC17	6848.50	6787.71	USD	3,039.50	
08SEP17	S	1	PD08DEC17	6847.50	6787.71	USD	1,494.75	
08SEP17	S	1	PD08DEC17	6850.00	6787.71	USD	1,557.25	
08SEP17	S	2	PD08DEC17	6843.50	6787.71	USD	2,789.50	
08SEP17	S	2	PD08DEC17	6846.00	6787.71	USD	2,914.50	
08SEP17	S	1	PD08DEC17	6830.00	6787.71	USD	1,057.25	
08SEP17	B	5	PD08DEC17	6830.00	6787.71	USD	-5,286.25	
08SEP17	S	1	PD08DEC17	6827.00	6787.71	USD	982.25	
08SEP17	S	1	PD08DEC17	6828.00	6787.71	USD	1,007.25	
08SEP17	S	1	PD08DEC17	6829.00	6787.71	USD	1,032.25	
08SEP17	S	3	PD08DEC17	6820.00	6787.71	USD	2,421.75	
08SEP17	B	2	PD08DEC17	6829.00	6787.71	USD	-2,064.50	
08SEP17	B	2	PD08DEC17	6812.00	6787.71	USD	-1,214.50	
08SEP17	S	1	PD08DEC17	6809.00	6787.71	USD	532.25	
08SEP17	S	1	PD08DEC17	6802.00	6787.71	USD	357.25	
08SEP17	B	1	PD08DEC17	6783.00	6787.71	USD	117.75	
08SEP17	S	1	PD08DEC17	6794.00	6787.71	USD	157.25	
08SEP17	S	1	PD08DEC17	6870.00	6787.71	USD	2,057.25	
08SEP17	S	5	PD08DEC17	6803.50	6787.71	USD	1,973.75	
08SEP17	S	1	PD08DEC17	6800.00	6787.71	USD	307.25	
08SEP17	S	1	PD08DEC17	6799.50	6787.71	USD	294.75	
08SEP17	S	4	PD08DEC17	6799.00	6787.71	USD	1,129.00	
08SEP17	S	1	PD08DEC17	6803.00	6787.71	USD	382.25	
08SEP17	B	5	PD08DEC17	6803.00	6787.71	USD	-1,911.25	
08SEP17	B	2	PD08DEC17	6800.50	6787.71	USD	-639.50	
08SEP17	S	1	PD08DEC17	6801.00	6787.71	USD	332.25	
08SEP17	B	2	PD08DEC17	6787.00	6787.71	USD	35.50	
08SEP17	B	3	PD08DEC17	6782.50	6787.71	USD	390.75	
08SEP17	B	2	PD08DEC17	6777.00	6787.71	USD	535.50	
08SEP17	B	2	PD08DEC17	6779.50	6787.71	USD	410.50	
08SEP17	B	2	PD08DEC17	6778.50	6787.71	USD	460.50	
08SEP17	S	1	PD08DEC17	6782.50	6787.71	USD	-130.25	
08SEP17	S	1	PD08DEC17	6783.50	6787.71	USD	-105.25	
08SEP17	B	1	PD08DEC17	6781.00	6787.71	USD	167.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6779.00	6787.71	USD	217.75	
08SEP17	S	3	PD08DEC17	6755.00	6787.71	USD	-2,453.25	
08SEP17	S	3	PD08DEC17	6750.00	6787.71	USD	-2,828.25	
08SEP17	S	1	PD08DEC17	6749.00	6787.71	USD	-967.75	
08SEP17	S	1	PD08DEC17	6746.50	6787.71	USD	-1,030.25	
08SEP17	S	1	PD08DEC17	6737.50	6787.71	USD	-1,255.25	
08SEP17	S	2	PD08DEC17	6739.00	6787.71	USD	-2,435.50	
08SEP17	B	1	PD08DEC17	6736.50	6787.71	USD	1,280.25	
08SEP17	B	2	PD08DEC17	6739.50	6787.71	USD	2,410.50	
08SEP17	B	2	PD08DEC17	6739.00	6787.71	USD	2,435.50	
08SEP17	B	1	PD08DEC17	6738.00	6787.71	USD	1,242.75	
08SEP17	B	1	PD08DEC17	6743.00	6787.71	USD	1,117.75	
08SEP17	B	1	PD08DEC17	6729.50	6787.71	USD	1,455.25	
08SEP17	S	1	PD08DEC17	6706.50	6787.71	USD	-2,030.25	
08SEP17	S	1	PD08DEC17	6706.00	6787.71	USD	-2,042.75	
08SEP17	B	1	PD08DEC17	6706.00	6787.71	USD	2,042.75	
08SEP17	B	2	PD08DEC17	6698.00	6787.71	USD	4,485.50	
08SEP17	B	1	PD08DEC17	6695.50	6787.71	USD	2,305.25	
08SEP17	B	1	PD08DEC17	6677.00	6787.71	USD	2,767.75	
08SEP17	B	1	PD08DEC17	6677.50	6787.71	USD	2,755.25	
08SEP17	B	1	PD08DEC17	6679.00	6787.71	USD	2,717.75	
08SEP17	B	2	PD08DEC17	6685.00	6787.71	USD	5,135.50	
08SEP17	B	1	PD08DEC17	6684.50	6787.71	USD	2,580.25	
08SEP17	B	1	PD08DEC17	6685.50	6787.71	USD	2,555.25	
08SEP17	B	2	PD08DEC17	6686.50	6787.71	USD	5,060.50	
08SEP17	B	1	PD08DEC17	6687.50	6787.71	USD	2,505.25	
08SEP17	B	1	PD08DEC17	6686.00	6787.71	USD	2,542.75	
11SEP17	B	6	PD08DEC17	6746.70	6787.71	USD	6,151.50	
12SEP17	B	2	PD08DEC17	6665.98	6787.71	USD	6,086.50	
14SEP17	B	17	PD08DEC17	6495.54	6787.71	USD	124,172.25	
15SEP17	B	6	PD08DEC17	6504.10	6787.71	USD	42,541.50	
19SEP17	B	2	PD08DEC17	6534.65	6787.71	USD	12,653.00	
20SEP17	B	2	PD08DEC17	6522.81	6787.71	USD	13,245.00	
20SEP17	S	1	PD08DEC17	6522.61	6787.71	USD	-6,627.50	
25SEP17	B	1	PD08DEC17	6443.71	6787.71	USD	8,600.00	
	NET	-2 AVG BGHT 6744.02	SOLD 6850.38				330,178.00	0.00
11SEP17	S	1	PD11DEC17	6702.50	6789.15	USD	-2,166.25	
11SEP17	S	1	PD11DEC17	6703.00	6789.15	USD	-2,153.75	
11SEP17	S	1	PD11DEC17	6710.50	6789.15	USD	-1,966.25	
11SEP17	S	2	PD11DEC17	6711.50	6789.15	USD	-3,882.50	
11SEP17	S	1	PD11DEC17	6712.00	6789.15	USD	-1,928.75	
11SEP17	S	1	PD11DEC17	6758.00	6789.15	USD	-778.75	
11SEP17	S	1	PD11DEC17	6758.50	6789.15	USD	-766.25	
11SEP17	S	1	PD11DEC17	6752.00	6789.15	USD	-928.75	
11SEP17	S	6	PD11DEC17	6748.00	6789.15	USD	-6,172.50	
11SEP17	S	4	PD11DEC17	6748.50	6789.15	USD	-4,065.00	
11SEP17	S	2	PD11DEC17	6746.50	6789.15	USD	-2,132.50	
11SEP17	B	3	PD11DEC17	6747.00	6789.15	USD	3,161.25	
11SEP17	B	5	PD11DEC17	6745.00	6789.15	USD	5,518.75	
11SEP17	B	3	PD11DEC17	6743.00	6789.15	USD	3,461.25	
11SEP17	S	1	PD11DEC17	6746.00	6789.15	USD	-1,078.75	
11SEP17	S	1	PD11DEC17	6751.50	6789.15	USD	-941.25	
11SEP17	B	1	PD11DEC17	6751.00	6789.15	USD	953.75	
11SEP17	B	4	PD11DEC17	6747.50	6789.15	USD	4,165.00	
11SEP17	B	1	PD11DEC17	6724.00	6789.15	USD	1,628.75	
11SEP17	B	2	PD11DEC17	6725.50	6789.15	USD	3,182.50	
11SEP17	B	4	PD11DEC17	6725.00	6789.15	USD	6,415.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD11DEC17	6726.00	6789.15	USD	1,578.75	
11SEP17	S	2	PD11DEC17	6748.00	6789.15	USD	-2,057.50	
11SEP17	B	1	PD11DEC17	6744.50	6789.15	USD	1,116.25	
11SEP17	B	2	PD11DEC17	6748.00	6789.15	USD	2,057.50	
11SEP17	B	1	PD11DEC17	6746.50	6789.15	USD	1,066.25	
11SEP17	B	2	PD11DEC17	6745.50	6789.15	USD	2,182.50	
11SEP17	B	4	PD11DEC17	6748.50	6789.15	USD	4,065.00	
11SEP17	B	2	PD11DEC17	6749.50	6789.15	USD	1,982.50	
11SEP17	B	3	PD11DEC17	6740.00	6789.15	USD	3,686.25	
14SEP17	S	2	PD11DEC17	6496.57	6789.15	USD	-14,629.00	
15SEP17	S	1	PD11DEC17	6505.14	6789.15	USD	-7,100.25	
19SEP17	S	1	PD11DEC17	6535.63	6789.15	USD	-6,338.00	
20SEP17	S	2	PD11DEC17	6523.55	6789.15	USD	-13,280.00	
20SEP17	S	1	PD11DEC17	6523.75	6789.15	USD	-6,635.00	
21SEP17	S	2	PD11DEC17	6479.33	6789.15	USD	-15,491.00	
25SEP17	S	1	PD11DEC17	6444.88	6789.15	USD	-8,606.75	
	NET	+4 AVG BGHT 6741.74	SOLD 6671.32				-56,877.50	0.00
12SEP17	S	1	PD12DEC17	6748.50	6789.63	USD	-1,028.25	
12SEP17	S	1	PD12DEC17	6749.50	6789.63	USD	-1,003.25	
12SEP17	S	1	PD12DEC17	6750.50	6789.63	USD	-978.25	
12SEP17	S	1	PD12DEC17	6748.00	6789.63	USD	-1,040.75	
12SEP17	B	1	PD12DEC17	6742.00	6789.63	USD	1,190.75	
12SEP17	S	1	PD12DEC17	6731.50	6789.63	USD	-1,453.25	
12SEP17	S	1	PD12DEC17	6731.00	6789.63	USD	-1,465.75	
12SEP17	S	7	PD12DEC17	6736.00	6789.63	USD	-9,385.25	
12SEP17	S	1	PD12DEC17	6725.50	6789.63	USD	-1,603.25	
12SEP17	S	1	PD12DEC17	6711.50	6789.63	USD	-1,953.25	
12SEP17	S	2	PD12DEC17	6708.50	6789.63	USD	-4,056.50	
12SEP17	S	1	PD12DEC17	6712.00	6789.63	USD	-1,940.75	
12SEP17	S	2	PD12DEC17	6713.50	6789.63	USD	-3,806.50	
12SEP17	S	1	PD12DEC17	6707.00	6789.63	USD	-2,065.75	
12SEP17	S	2	PD12DEC17	6705.50	6789.63	USD	-4,206.50	
12SEP17	S	2	PD12DEC17	6706.00	6789.63	USD	-4,181.50	
12SEP17	S	1	PD12DEC17	6703.50	6789.63	USD	-2,153.25	
12SEP17	S	1	PD12DEC17	6703.00	6789.63	USD	-2,165.75	
12SEP17	S	1	PD12DEC17	6709.50	6789.63	USD	-2,003.25	
12SEP17	B	1	PD12DEC17	6708.00	6789.63	USD	2,040.75	
12SEP17	B	2	PD12DEC17	6717.50	6789.63	USD	3,606.50	
12SEP17	B	2	PD12DEC17	6719.50	6789.63	USD	3,506.50	
12SEP17	B	4	PD12DEC17	6720.00	6789.63	USD	6,963.00	
12SEP17	B	1	PD12DEC17	6718.00	6789.63	USD	1,790.75	
12SEP17	B	3	PD12DEC17	6724.00	6789.63	USD	4,922.25	
12SEP17	B	1	PD12DEC17	6728.50	6789.63	USD	1,528.25	
12SEP17	B	1	PD12DEC17	6727.00	6789.63	USD	1,565.75	
12SEP17	B	1	PD12DEC17	6736.50	6789.63	USD	1,328.25	
12SEP17	B	1	PD12DEC17	6738.00	6789.63	USD	1,290.75	
12SEP17	B	3	PD12DEC17	6730.00	6789.63	USD	4,472.25	
12SEP17	B	2	PD12DEC17	6732.00	6789.63	USD	2,881.50	
12SEP17	B	1	PD12DEC17	6736.00	6789.63	USD	1,340.75	
12SEP17	B	1	PD12DEC17	6731.00	6789.63	USD	1,465.75	
12SEP17	B	1	PD12DEC17	6687.50	6789.63	USD	2,553.25	
12SEP17	B	1	PD12DEC17	6652.00	6789.63	USD	3,440.75	
12SEP17	B	1	PD12DEC17	6737.00	6789.63	USD	1,315.75	
12SEP17	B	1	PD12DEC17	6645.50	6789.63	USD	3,603.25	
12SEP17	B	1	PD12DEC17	6643.00	6789.63	USD	3,665.75	
12SEP17	S	3	PD12DEC17	6638.50	6789.63	USD	-11,334.75	
12SEP17	B	1	PD12DEC17	6639.00	6789.63	USD	3,765.75	

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12SEP17	S	1	PD12DEC17	6640.00	6789.63	USD	-3,740.75	
12SEP17	B	1	PD12DEC17	6641.00	6789.63	USD	3,715.75	
12SEP17	B	3	PD12DEC17	6639.50	6789.63	USD	11,259.75	
12SEP17	B	3	PD12DEC17	6642.00	6789.63	USD	11,072.25	
12SEP17	B	5	PD12DEC17	6641.50	6789.63	USD	18,516.25	
12SEP17	B	1	PD12DEC17	6648.00	6789.63	USD	3,540.75	
12SEP17	S	1	PD12DEC17	6653.50	6789.63	USD	-3,403.25	
12SEP17	B	2	PD12DEC17	6653.50	6789.63	USD	6,806.50	
12SEP17	B	3	PD12DEC17	6651.50	6789.63	USD	10,359.75	
12SEP17	B	3	PD12DEC17	6651.00	6789.63	USD	10,397.25	
12SEP17	B	1	PD12DEC17	6657.50	6789.63	USD	3,303.25	
12SEP17	B	1	PD12DEC17	6653.00	6789.63	USD	3,415.75	
12SEP17	B	11	PD12DEC17	6654.00	6789.63	USD	37,298.25	
12SEP17	B	1	PD12DEC17	6655.00	6789.63	USD	3,365.75	
12SEP17	B	3	PD12DEC17	6664.50	6789.63	USD	9,384.75	
12SEP17	B	1	PD12DEC17	6667.50	6789.63	USD	3,053.25	
12SEP17	B	1	PD12DEC17	6675.50	6789.63	USD	2,853.25	
12SEP17	B	12	PD12DEC17	6672.00	6789.63	USD	35,289.00	
12SEP17	S	1	PD12DEC17	6637.50	6789.63	USD	-3,803.25	
12SEP17	B	1	PD12DEC17	6654.00	6789.63	USD	3,390.75	
12SEP17	B	2	PD12DEC17	6656.50	6789.63	USD	6,656.50	
12SEP17	B	4	PD12DEC17	6671.50	6789.63	USD	11,813.00	
12SEP17	B	1	PD12DEC17	6671.00	6789.63	USD	2,965.75	
12SEP17	B	5	PD12DEC17	6670.50	6789.63	USD	14,891.25	
12SEP17	B	2	PD12DEC17	6668.50	6789.63	USD	6,056.50	
12SEP17	S	1	PD12DEC17	6669.00	6789.63	USD	-3,015.75	
12SEP17	B	1	PD12DEC17	6666.50	6789.63	USD	3,078.25	
12SEP17	B	3	PD12DEC17	6667.00	6789.63	USD	9,197.25	
12SEP17	B	2	PD12DEC17	6669.50	6789.63	USD	6,006.50	
14SEP17	S	15	PD12DEC17	6496.98	6789.63	USD	-109,743.75	
15SEP17	S	6	PD12DEC17	6505.56	6789.63	USD	-42,610.50	
19SEP17	S	1	PD12DEC17	6536.03	6789.63	USD	-6,340.00	
19SEP17	S	4	PD12DEC17	6536.23	6789.63	USD	-25,340.00	
20SEP17	S	8	PD12DEC17	6523.86	6789.63	USD	-53,154.00	
20SEP17	S	17	PD12DEC17	6524.06	6789.63	USD	-112,867.25	
21SEP17	S	8	PD12DEC17	6479.67	6789.63	USD	-61,992.00	
25SEP17	S	1	PD12DEC17	6445.54	6789.63	USD	-8,602.25	
	NET	+9 AVG BGHT 6675.81	SOLD 6582.29				-196,513.00	0.00
13SEP17	B	1	PD13DEC17	6685.50	6790.10	USD	2,615.00	
13SEP17	B	1	PD13DEC17	6647.00	6790.10	USD	3,577.50	
13SEP17	B	1	PD13DEC17	6653.00	6790.10	USD	3,427.50	
13SEP17	B	1	PD13DEC17	6645.00	6790.10	USD	3,627.50	
13SEP17	B	2	PD13DEC17	6630.50	6790.10	USD	7,980.00	
13SEP17	B	1	PD13DEC17	6628.50	6790.10	USD	4,040.00	
13SEP17	B	2	PD13DEC17	6622.50	6790.10	USD	8,380.00	
13SEP17	B	1	PD13DEC17	6553.00	6790.10	USD	5,927.50	
13SEP17	B	1	PD13DEC17	6554.00	6790.10	USD	5,902.50	
13SEP17	B	1	PD13DEC17	6552.00	6790.10	USD	5,952.50	
13SEP17	B	1	PD13DEC17	6557.50	6790.10	USD	5,815.00	
13SEP17	B	1	PD13DEC17	6560.50	6790.10	USD	5,740.00	
13SEP17	B	10	PD13DEC17	6561.00	6790.10	USD	57,275.00	
13SEP17	B	1	PD13DEC17	6561.50	6790.10	USD	5,715.00	
13SEP17	B	2	PD13DEC17	6562.00	6790.10	USD	11,405.00	
13SEP17	B	1	PD13DEC17	6563.00	6790.10	USD	5,677.50	
13SEP17	B	1	PD13DEC17	6564.00	6790.10	USD	5,652.50	
13SEP17	B	2	PD13DEC17	6564.50	6790.10	USD	11,280.00	
13SEP17	S	1	PD13DEC17	6565.50	6790.10	USD	-5,615.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6565.00	6790.10	USD	-5,627.50	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	B	2	PD13DEC17	6566.50	6790.10	USD	11,180.00	
13SEP17	S	1	PD13DEC17	6567.00	6790.10	USD	-5,577.50	
13SEP17	S	3	PD13DEC17	6566.50	6790.10	USD	-16,770.00	
13SEP17	S	8	PD13DEC17	6564.50	6790.10	USD	-45,120.00	
13SEP17	S	2	PD13DEC17	6564.00	6790.10	USD	-11,305.00	
13SEP17	B	4	PD13DEC17	6570.00	6790.10	USD	22,010.00	
13SEP17	S	1	PD13DEC17	6570.50	6790.10	USD	-5,490.00	
13SEP17	B	1	PD13DEC17	6571.00	6790.10	USD	5,477.50	
13SEP17	B	2	PD13DEC17	6569.50	6790.10	USD	11,030.00	
13SEP17	B	2	PD13DEC17	6570.50	6790.10	USD	10,980.00	
13SEP17	S	1	PD13DEC17	6574.00	6790.10	USD	-5,402.50	
13SEP17	S	1	PD13DEC17	6570.00	6790.10	USD	-5,502.50	
13SEP17	S	3	PD13DEC17	6571.50	6790.10	USD	-16,395.00	
13SEP17	B	3	PD13DEC17	6571.50	6790.10	USD	16,395.00	
13SEP17	B	4	PD13DEC17	6563.50	6790.10	USD	22,660.00	
13SEP17	B	2	PD13DEC17	6568.00	6790.10	USD	11,105.00	
13SEP17	B	1	PD13DEC17	6565.00	6790.10	USD	5,627.50	
13SEP17	S	1	PD13DEC17	6552.00	6790.10	USD	-5,952.50	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	S	1	PD13DEC17	6566.50	6790.10	USD	-5,590.00	
13SEP17	B	1	PD13DEC17	6556.00	6790.10	USD	5,852.50	
13SEP17	B	3	PD13DEC17	6554.50	6790.10	USD	17,670.00	
13SEP17	B	1	PD13DEC17	6552.50	6790.10	USD	5,940.00	
14SEP17	S	8	PD13DEC17	6497.39	6790.10	USD	-58,542.00	
20SEP17	S	2	PD13DEC17	6524.38	6790.10	USD	-13,286.00	
21SEP17	S	11	PD13DEC17	6480.00	6790.10	USD	-85,277.50	
25SEP17	S	2	PD13DEC17	6446.00	6790.10	USD	-17,205.00	
03OCT17	S	1	PD13DEC17	6514.98	6790.10	USD	-6,878.00	
	NET	+11 AVG BGHT 6575.09	SOLD 6527.15				1,599.00	0.00
14SEP17	B	1	PD14DEC17	6560.00	6790.58	USD	5,764.50	
14SEP17	B	1	PD14DEC17	6563.50	6790.58	USD	5,677.00	
14SEP17	B	1	PD14DEC17	6564.00	6790.58	USD	5,664.50	
14SEP17	B	3	PD14DEC17	6565.50	6790.58	USD	16,881.00	
14SEP17	B	4	PD14DEC17	6563.00	6790.58	USD	22,758.00	
14SEP17	B	1	PD14DEC17	6561.00	6790.58	USD	5,739.50	
14SEP17	B	3	PD14DEC17	6561.50	6790.58	USD	17,181.00	
14SEP17	B	1	PD14DEC17	6552.00	6790.58	USD	5,964.50	
14SEP17	B	1	PD14DEC17	6543.50	6790.58	USD	6,177.00	
14SEP17	B	1	PD14DEC17	6527.50	6790.58	USD	6,577.00	
14SEP17	B	1	PD14DEC17	6525.50	6790.58	USD	6,627.00	
14SEP17	B	1	PD14DEC17	6537.50	6790.58	USD	6,327.00	
14SEP17	B	1	PD14DEC17	6538.50	6790.58	USD	6,302.00	
14SEP17	B	1	PD14DEC17	6543.00	6790.58	USD	6,189.50	
14SEP17	S	1	PD14DEC17	6543.00	6790.58	USD	-6,189.50	
14SEP17	B	2	PD14DEC17	6540.00	6790.58	USD	12,529.00	
14SEP17	B	1	PD14DEC17	6540.50	6790.58	USD	6,252.00	
14SEP17	S	1	PD14DEC17	6535.00	6790.58	USD	-6,389.50	
14SEP17	S	1	PD14DEC17	6536.00	6790.58	USD	-6,364.50	
14SEP17	B	1	PD14DEC17	6536.00	6790.58	USD	6,364.50	
14SEP17	B	1	PD14DEC17	6457.50	6790.58	USD	8,327.00	
14SEP17	B	1	PD14DEC17	6465.50	6790.58	USD	8,127.00	
14SEP17	B	1	PD14DEC17	6470.50	6790.58	USD	8,002.00	
14SEP17	S	1	PD14DEC17	6519.50	6790.58	USD	-6,777.00	
14SEP17	S	1	PD14DEC17	6487.00	6790.58	USD	-7,589.50	
14SEP17	S	1	PD14DEC17	6488.50	6790.58	USD	-7,552.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	6497.00	6790.58	USD	-7,339.50	
14SEP17	B	1	PD14DEC17	6516.00	6790.58	USD	6,864.50	
14SEP17	S	1	PD14DEC17	6524.50	6790.58	USD	-6,652.00	
14SEP17	S	1	PD14DEC17	6515.00	6790.58	USD	-6,889.50	
14SEP17	S	1	PD14DEC17	6516.00	6790.58	USD	-6,864.50	
14SEP17	S	1	PD14DEC17	6516.50	6790.58	USD	-6,852.00	
14SEP17	S	2	PD14DEC17	6517.00	6790.58	USD	-13,679.00	
14SEP17	B	1	PD14DEC17	6524.00	6790.58	USD	6,664.50	
14SEP17	B	3	PD14DEC17	6520.00	6790.58	USD	20,293.50	
14SEP17	B	1	PD14DEC17	6518.50	6790.58	USD	6,802.00	
14SEP17	B	1	PD14DEC17	6512.00	6790.58	USD	6,964.50	
14SEP17	B	1	PD14DEC17	6513.00	6790.58	USD	6,939.50	
14SEP17	B	3	PD14DEC17	6506.50	6790.58	USD	21,306.00	
14SEP17	B	1	PD14DEC17	6500.00	6790.58	USD	7,264.50	
14SEP17	B	1	PD14DEC17	6500.50	6790.58	USD	7,252.00	
14SEP17	B	1	PD14DEC17	6499.50	6790.58	USD	7,277.00	
14SEP17	B	1	PD14DEC17	6494.00	6790.58	USD	7,414.50	
14SEP17	S	1	PD14DEC17	6498.50	6790.58	USD	-7,302.00	
14SEP17	S	1	PD14DEC17	6499.00	6790.58	USD	-7,289.50	
14SEP17	B	1	PD14DEC17	6504.50	6790.58	USD	7,152.00	
21SEP17	S	16	PD14DEC17	6480.33	6790.58	USD	-124,100.00	
25SEP17	S	2	PD14DEC17	6446.46	6790.58	USD	-17,206.00	
26SEP17	S	3	PD14DEC17	6407.06	6790.58	USD	-28,764.00	
28SEP17	S	1	PD14DEC17	6517.20	6790.58	USD	-6,834.50	
03OCT17	S	1	PD14DEC17	6515.38	6790.58	USD	-6,880.00	
	NET	+6 AVG BGHT 6530.92	SOLD 6487.93				-1,889.00	0.00
15SEP17	B	1	PD15DEC17	6502.00	6791.06	USD	7,226.50	
15SEP17	S	1	PD15DEC17	6505.50	6791.06	USD	-7,139.00	
15SEP17	S	1	PD15DEC17	6504.50	6791.06	USD	-7,164.00	
15SEP17	S	1	PD15DEC17	6507.50	6791.06	USD	-7,089.00	
15SEP17	S	1	PD15DEC17	6510.00	6791.06	USD	-7,026.50	
15SEP17	B	1	PD15DEC17	6510.00	6791.06	USD	7,026.50	
15SEP17	B	1	PD15DEC17	6515.50	6791.06	USD	6,889.00	
15SEP17	B	1	PD15DEC17	6519.00	6791.06	USD	6,801.50	
15SEP17	B	2	PD15DEC17	6520.00	6791.06	USD	13,553.00	
15SEP17	S	1	PD15DEC17	6520.00	6791.06	USD	-6,776.50	
15SEP17	S	1	PD15DEC17	6524.50	6791.06	USD	-6,664.00	
15SEP17	S	1	PD15DEC17	6526.50	6791.06	USD	-6,614.00	
15SEP17	S	1	PD15DEC17	6522.00	6791.06	USD	-6,726.50	
15SEP17	S	3	PD15DEC17	6506.50	6791.06	USD	-21,342.00	
15SEP17	S	4	PD15DEC17	6513.00	6791.06	USD	-27,806.00	
15SEP17	S	1	PD15DEC17	6492.00	6791.06	USD	-7,476.50	
15SEP17	B	2	PD15DEC17	6525.00	6791.06	USD	13,303.00	
15SEP17	B	1	PD15DEC17	6505.00	6791.06	USD	7,151.50	
15SEP17	B	1	PD15DEC17	6504.00	6791.06	USD	7,176.50	
15SEP17	S	1	PD15DEC17	6509.00	6791.06	USD	-7,051.50	
15SEP17	B	1	PD15DEC17	6497.00	6791.06	USD	7,351.50	
15SEP17	B	1	PD15DEC17	6496.00	6791.06	USD	7,376.50	
15SEP17	B	1	PD15DEC17	6498.00	6791.06	USD	7,326.50	
15SEP17	B	1	PD15DEC17	6495.50	6791.06	USD	7,389.00	
15SEP17	B	1	PD15DEC17	6485.50	6791.06	USD	7,639.00	
15SEP17	B	1	PD15DEC17	6488.50	6791.06	USD	7,564.00	
15SEP17	S	5	PD15DEC17	6493.00	6791.06	USD	-37,257.50	
15SEP17	S	2	PD15DEC17	6494.00	6791.06	USD	-14,853.00	
15SEP17	S	1	PD15DEC17	6495.50	6791.06	USD	-7,389.00	
15SEP17	S	5	PD15DEC17	6495.00	6791.06	USD	-37,007.50	
15SEP17	S	2	PD15DEC17	6496.50	6791.06	USD	-14,728.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	4	PD15DEC17	6489.50	6791.06	USD	30,156.00	
15SEP17	B	2	PD15DEC17	6491.50	6791.06	USD	14,978.00	
15SEP17	B	1	PD15DEC17	6478.00	6791.06	USD	7,826.50	
15SEP17	B	1	PD15DEC17	6479.00	6791.06	USD	7,801.50	
15SEP17	B	1	PD15DEC17	6477.50	6791.06	USD	7,839.00	
15SEP17	B	2	PD15DEC17	6476.50	6791.06	USD	15,728.00	
15SEP17	B	2	PD15DEC17	6474.50	6791.06	USD	15,828.00	
15SEP17	B	2	PD15DEC17	6478.50	6791.06	USD	15,628.00	
15SEP17	B	2	PD15DEC17	6477.00	6791.06	USD	15,703.00	
15SEP17	S	1	PD15DEC17	6470.00	6791.06	USD	-8,026.50	
15SEP17	S	2	PD15DEC17	6468.00	6791.06	USD	-16,153.00	
15SEP17	S	2	PD15DEC17	6467.50	6791.06	USD	-16,178.00	
15SEP17	S	3	PD15DEC17	6466.50	6791.06	USD	-24,342.00	
15SEP17	S	3	PD15DEC17	6467.00	6791.06	USD	-24,304.50	
15SEP17	S	1	PD15DEC17	6476.50	6791.06	USD	-7,864.00	
15SEP17	S	1	PD15DEC17	6475.00	6791.06	USD	-7,901.50	
15SEP17	S	1	PD15DEC17	6463.00	6791.06	USD	-8,201.50	
15SEP17	S	3	PD15DEC17	6462.50	6791.06	USD	-24,642.00	
15SEP17	S	1	PD15DEC17	6461.50	6791.06	USD	-8,239.00	
15SEP17	S	2	PD15DEC17	6462.00	6791.06	USD	-16,453.00	
15SEP17	S	3	PD15DEC17	6466.00	6791.06	USD	-24,379.50	
15SEP17	S	1	PD15DEC17	6460.00	6791.06	USD	-8,276.50	
15SEP17	S	2	PD15DEC17	6461.00	6791.06	USD	-16,503.00	
15SEP17	S	1	PD15DEC17	6455.50	6791.06	USD	-8,389.00	
15SEP17	S	2	PD15DEC17	6455.00	6791.06	USD	-16,803.00	
15SEP17	S	1	PD15DEC17	6456.00	6791.06	USD	-8,376.50	
15SEP17	B	1	PD15DEC17	6490.50	6791.06	USD	7,514.00	
15SEP17	B	2	PD15DEC17	6494.00	6791.06	USD	14,853.00	
15SEP17	S	3	PD15DEC17	6494.00	6791.06	USD	-22,279.50	
15SEP17	B	1	PD15DEC17	6496.00	6791.06	USD	7,376.50	
15SEP17	B	1	PD15DEC17	6477.00	6791.06	USD	7,851.50	
15SEP17	B	1	PD15DEC17	6479.50	6791.06	USD	7,789.00	
15SEP17	S	1	PD15DEC17	6468.50	6791.06	USD	-8,064.00	
15SEP17	S	1	PD15DEC17	6464.50	6791.06	USD	-8,164.00	
15SEP17	S	1	PD15DEC17	6463.50	6791.06	USD	-8,189.00	
15SEP17	B	2	PD15DEC17	6488.00	6791.06	USD	15,153.00	
15SEP17	B	10	PD15DEC17	6490.00	6791.06	USD	75,265.00	
15SEP17	B	3	PD15DEC17	6490.50	6791.06	USD	22,542.00	
15SEP17	B	1	PD15DEC17	6493.50	6791.06	USD	7,439.00	
15SEP17	B	5	PD15DEC17	6494.00	6791.06	USD	37,132.50	
15SEP17	B	2	PD15DEC17	6500.00	6791.06	USD	14,553.00	
15SEP17	B	4	PD15DEC17	6497.50	6791.06	USD	29,356.00	
15SEP17	B	6	PD15DEC17	6502.50	6791.06	USD	43,284.00	
15SEP17	B	2	PD15DEC17	6500.50	6791.06	USD	14,528.00	
15SEP17	B	2	PD15DEC17	6501.50	6791.06	USD	14,478.00	
15SEP17	B	2	PD15DEC17	6517.00	6791.06	USD	13,703.00	
15SEP17	B	1	PD15DEC17	6512.50	6791.06	USD	6,964.00	
15SEP17	B	1	PD15DEC17	6511.00	6791.06	USD	7,001.50	
15SEP17	B	1	PD15DEC17	6508.00	6791.06	USD	7,076.50	
15SEP17	B	1	PD15DEC17	6507.50	6791.06	USD	7,089.00	
15SEP17	S	1	PD15DEC17	6498.00	6791.06	USD	-7,326.50	
15SEP17	S	1	PD15DEC17	6493.50	6791.06	USD	-7,439.00	
15SEP17	S	1	PD15DEC17	6491.00	6791.06	USD	-7,501.50	
15SEP17	B	1	PD15DEC17	6481.50	6791.06	USD	7,739.00	
21SEP17	B	1	PD15DEC17	6480.87	6791.06	USD	7,754.75	
25SEP17	B	1	PD15DEC17	6447.12	6791.06	USD	8,598.50	
25SEP17	S	8	PD15DEC17	6446.92	6791.06	USD	-68,828.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	+6 AVG BGHT 6494.45	SOLD 6480.71				17,368.25	0.00
18SEP17	S	1	PD18DEC17	6541.50	6792.50	USD	-6,275.00	
18SEP17	S	1	PD18DEC17	6555.00	6792.50	USD	-5,937.50	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	1	PD18DEC17	6554.00	6792.50	USD	-5,962.50	
18SEP17	B	1	PD18DEC17	6514.00	6792.50	USD	6,962.50	
18SEP17	S	1	PD18DEC17	6519.00	6792.50	USD	-6,837.50	
18SEP17	S	1	PD18DEC17	6505.50	6792.50	USD	-7,175.00	
18SEP17	S	3	PD18DEC17	6552.00	6792.50	USD	-18,037.50	
18SEP17	B	1	PD18DEC17	6516.00	6792.50	USD	6,912.50	
18SEP17	S	1	PD18DEC17	6544.00	6792.50	USD	-6,212.50	
18SEP17	S	1	PD18DEC17	6563.00	6792.50	USD	-5,737.50	
18SEP17	S	1	PD18DEC17	6562.00	6792.50	USD	-5,762.50	
18SEP17	S	1	PD18DEC17	6554.50	6792.50	USD	-5,950.00	
18SEP17	S	1	PD18DEC17	6556.00	6792.50	USD	-5,912.50	
18SEP17	S	2	PD18DEC17	6553.00	6792.50	USD	-11,975.00	
18SEP17	S	1	PD18DEC17	6563.50	6792.50	USD	-5,725.00	
18SEP17	B	1	PD18DEC17	6567.00	6792.50	USD	5,637.50	
18SEP17	S	1	PD18DEC17	6560.50	6792.50	USD	-5,800.00	
18SEP17	S	3	PD18DEC17	6541.00	6792.50	USD	-18,862.50	
18SEP17	S	2	PD18DEC17	6550.50	6792.50	USD	-12,100.00	
18SEP17	S	1	PD18DEC17	6557.50	6792.50	USD	-5,875.00	
18SEP17	S	3	PD18DEC17	6565.00	6792.50	USD	-17,062.50	
18SEP17	B	1	PD18DEC17	6513.00	6792.50	USD	6,987.50	
18SEP17	S	3	PD18DEC17	6550.00	6792.50	USD	-18,187.50	
18SEP17	S	2	PD18DEC17	6505.00	6792.50	USD	-14,375.00	
18SEP17	B	1	PD18DEC17	6562.00	6792.50	USD	5,762.50	
18SEP17	B	1	PD18DEC17	6549.50	6792.50	USD	6,075.00	
18SEP17	S	1	PD18DEC17	6555.50	6792.50	USD	-5,925.00	
18SEP17	B	1	PD18DEC17	6564.00	6792.50	USD	5,712.50	
18SEP17	B	1	PD18DEC17	6556.50	6792.50	USD	5,900.00	
18SEP17	B	1	PD18DEC17	6550.00	6792.50	USD	6,062.50	
18SEP17	S	1	PD18DEC17	6551.00	6792.50	USD	-6,037.50	
18SEP17	B	1	PD18DEC17	6514.50	6792.50	USD	6,950.00	
18SEP17	S	1	PD18DEC17	6544.50	6792.50	USD	-6,200.00	
18SEP17	S	1	PD18DEC17	6553.50	6792.50	USD	-5,975.00	
18SEP17	S	1	PD18DEC17	6507.00	6792.50	USD	-7,137.50	
18SEP17	S	1	PD18DEC17	6565.50	6792.50	USD	-5,675.00	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
18SEP17	B	2	PD18DEC17	6553.50	6792.50	USD	11,950.00	
18SEP17	S	1	PD18DEC17	6552.00	6792.50	USD	-6,012.50	
18SEP17	S	1	PD18DEC17	6555.50	6792.50	USD	-5,925.00	
18SEP17	S	1	PD18DEC17	6552.50	6792.50	USD	-6,000.00	
18SEP17	S	3	PD18DEC17	6547.00	6792.50	USD	-18,412.50	
18SEP17	S	3	PD18DEC17	6549.50	6792.50	USD	-18,225.00	
18SEP17	S	2	PD18DEC17	6537.50	6792.50	USD	-12,750.00	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	3	PD18DEC17	6536.50	6792.50	USD	-19,200.00	
18SEP17	B	4	PD18DEC17	6536.50	6792.50	USD	25,600.00	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6531.50	6792.50	USD	-6,525.00	
18SEP17	S	1	PD18DEC17	6525.50	6792.50	USD	-6,675.00	
18SEP17	B	2	PD18DEC17	6548.00	6792.50	USD	12,225.00	
18SEP17	B	3	PD18DEC17	6541.00	6792.50	USD	18,862.50	
18SEP17	B	1	PD18DEC17	6524.50	6792.50	USD	6,700.00	
18SEP17	B	1	PD18DEC17	6520.50	6792.50	USD	6,800.00	
18SEP17	B	1	PD18DEC17	6517.50	6792.50	USD	6,875.00	

OPEN POSITION STATEMENT



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SAP0094X

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6518.50	6792.50	USD	6,850.00	
18SEP17	B	1	PD18DEC17	6536.00	6792.50	USD	6,412.50	
18SEP17	S	3	PD18DEC17	6535.50	6792.50	USD	-19,275.00	
18SEP17	S	1	PD18DEC17	6530.50	6792.50	USD	-6,550.00	
18SEP17	S	2	PD18DEC17	6536.00	6792.50	USD	-12,825.00	
18SEP17	S	1	PD18DEC17	6527.50	6792.50	USD	-6,625.00	
18SEP17	S	1	PD18DEC17	6529.00	6792.50	USD	-6,587.50	
18SEP17	B	2	PD18DEC17	6531.50	6792.50	USD	13,050.00	
18SEP17	S	1	PD18DEC17	6536.50	6792.50	USD	-6,400.00	
19SEP17	B	4	PD18DEC17	6538.80	6792.50	USD	25,370.00	
20SEP17	B	22	PD18DEC17	6526.14	6792.50	USD	146,498.00	
21SEP17	B	2	PD18DEC17	6481.87	6792.50	USD	15,531.50	
	NET	-10 AVG BGHT 6531.30	SOLD 6544.03				-44,288.00	0.00
19SEP17	S	1	PD19DEC17	6545.00	6792.50	USD	-6,187.50	
19SEP17	B	1	PD19DEC17	6545.50	6792.50	USD	6,175.00	
19SEP17	S	1	PD19DEC17	6548.50	6792.50	USD	-6,100.00	
19SEP17	S	1	PD19DEC17	6539.00	6792.50	USD	-6,337.50	
19SEP17	S	2	PD19DEC17	6538.00	6792.50	USD	-12,725.00	
19SEP17	S	1	PD19DEC17	6536.00	6792.50	USD	-6,412.50	
19SEP17	S	1	PD19DEC17	6540.50	6792.50	USD	-6,300.00	
19SEP17	S	2	PD19DEC17	6525.00	6792.50	USD	-13,375.00	
19SEP17	S	3	PD19DEC17	6525.50	6792.50	USD	-20,025.00	
19SEP17	S	4	PD19DEC17	6534.00	6792.50	USD	-25,850.00	
19SEP17	S	1	PD19DEC17	6526.00	6792.50	USD	-6,662.50	
19SEP17	S	1	PD19DEC17	6532.50	6792.50	USD	-6,500.00	
19SEP17	S	1	PD19DEC17	6535.00	6792.50	USD	-6,437.50	
19SEP17	S	1	PD19DEC17	6536.50	6792.50	USD	-6,400.00	
19SEP17	S	2	PD19DEC17	6537.50	6792.50	USD	-12,750.00	
19SEP17	S	2	PD19DEC17	6537.00	6792.50	USD	-12,775.00	
19SEP17	S	4	PD19DEC17	6538.50	6792.50	USD	-25,400.00	
19SEP17	S	1	PD19DEC17	6530.00	6792.50	USD	-6,562.50	
19SEP17	S	3	PD19DEC17	6531.00	6792.50	USD	-19,612.50	
19SEP17	B	2	PD19DEC17	6521.00	6792.50	USD	13,575.00	
19SEP17	B	1	PD19DEC17	6519.50	6792.50	USD	6,825.00	
19SEP17	B	4	PD19DEC17	6518.50	6792.50	USD	27,400.00	
19SEP17	B	2	PD19DEC17	6514.00	6792.50	USD	13,925.00	
19SEP17	B	1	PD19DEC17	6530.00	6792.50	USD	6,562.50	
19SEP17	B	2	PD19DEC17	6532.50	6792.50	USD	13,000.00	
19SEP17	B	1	PD19DEC17	6534.50	6792.50	USD	6,450.00	
19SEP17	B	4	PD19DEC17	6535.00	6792.50	USD	25,750.00	
19SEP17	B	3	PD19DEC17	6542.00	6792.50	USD	18,787.50	
20SEP17	B	1	PD19DEC17	6526.45	6792.50	USD	6,651.25	
21SEP17	B	10	PD19DEC17	6482.20	6792.50	USD	77,575.00	
25SEP17	B	1	PD19DEC17	6448.95	6792.50	USD	8,588.75	
26SEP17	S	5	PD19DEC17	6409.93	6792.50	USD	-47,821.25	
	NET	-4 AVG BGHT 6512.18	SOLD 6517.65				-22,968.75	0.00
20SEP17	S	1	PD20DEC17	6546.50	6792.50	USD	-6,150.00	
20SEP17	B	1	PD20DEC17	6546.50	6792.50	USD	6,150.00	
20SEP17	S	1	PD20DEC17	6551.50	6792.50	USD	-6,025.00	
20SEP17	S	1	PD20DEC17	6553.00	6792.50	USD	-5,987.50	
20SEP17	S	1	PD20DEC17	6552.00	6792.50	USD	-6,012.50	
20SEP17	S	1	PD20DEC17	6553.50	6792.50	USD	-5,975.00	
20SEP17	S	2	PD20DEC17	6552.50	6792.50	USD	-12,000.00	
20SEP17	S	1	PD20DEC17	6554.50	6792.50	USD	-5,950.00	
20SEP17	S	1	PD20DEC17	6556.50	6792.50	USD	-5,900.00	
20SEP17	S	1	PD20DEC17	6565.00	6792.50	USD	-5,687.50	
20SEP17	S	1	PD20DEC17	6570.50	6792.50	USD	-5,550.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	3	PD20DEC17	6549.50	6792.50	USD	-18,225.00	
20SEP17	S	1	PD20DEC17	6546.00	6792.50	USD	-6,162.50	
20SEP17	S	3	PD20DEC17	6549.00	6792.50	USD	-18,262.50	
20SEP17	S	2	PD20DEC17	6545.00	6792.50	USD	-12,375.00	
20SEP17	S	1	PD20DEC17	6544.00	6792.50	USD	-6,212.50	
20SEP17	S	1	PD20DEC17	6543.50	6792.50	USD	-6,225.00	
20SEP17	S	2	PD20DEC17	6547.00	6792.50	USD	-12,275.00	
20SEP17	S	4	PD20DEC17	6542.50	6792.50	USD	-25,000.00	
20SEP17	S	2	PD20DEC17	6542.00	6792.50	USD	-12,525.00	
20SEP17	S	1	PD20DEC17	6522.00	6792.50	USD	-6,762.50	
20SEP17	S	1	PD20DEC17	6515.00	6792.50	USD	-6,937.50	
21SEP17	B	25	PD20DEC17	6482.20	6792.50	USD	193,937.50	
25SEP17	B	3	PD20DEC17	6448.95	6792.50	USD	25,766.25	
	NET	-3 AVG BGHT 6480.98	SOLD 6547.25				29,653.75	0.00
21SEP17	S	1	PD21DEC17	6509.00	6791.50	USD	-7,062.50	
21SEP17	B	1	PD21DEC17	6507.50	6791.50	USD	7,100.00	
21SEP17	S	1	PD21DEC17	6490.50	6791.50	USD	-7,525.00	
21SEP17	S	1	PD21DEC17	6491.00	6791.50	USD	-7,512.50	
21SEP17	S	1	PD21DEC17	6492.00	6791.50	USD	-7,487.50	
21SEP17	S	1	PD21DEC17	6492.50	6791.50	USD	-7,475.00	
21SEP17	S	1	PD21DEC17	6479.50	6791.50	USD	-7,800.00	
21SEP17	S	1	PD21DEC17	6470.50	6791.50	USD	-8,025.00	
21SEP17	S	1	PD21DEC17	6465.50	6791.50	USD	-8,150.00	
21SEP17	B	1	PD21DEC17	6453.00	6791.50	USD	8,462.50	
21SEP17	B	1	PD21DEC17	6441.00	6791.50	USD	8,762.50	
21SEP17	B	2	PD21DEC17	6440.50	6791.50	USD	17,550.00	
21SEP17	B	1	PD21DEC17	6447.00	6791.50	USD	8,612.50	
21SEP17	S	1	PD21DEC17	6453.00	6791.50	USD	-8,462.50	
21SEP17	S	1	PD21DEC17	6454.50	6791.50	USD	-8,425.00	
21SEP17	B	1	PD21DEC17	6457.50	6791.50	USD	8,350.00	
21SEP17	B	1	PD21DEC17	6460.00	6791.50	USD	8,287.50	
21SEP17	S	1	PD21DEC17	6466.00	6791.50	USD	-8,137.50	
21SEP17	S	1	PD21DEC17	6440.50	6791.50	USD	-8,775.00	
21SEP17	S	2	PD21DEC17	6432.00	6791.50	USD	-17,975.00	
21SEP17	S	1	PD21DEC17	6436.50	6791.50	USD	-8,875.00	
21SEP17	B	2	PD21DEC17	6442.50	6791.50	USD	17,450.00	
21SEP17	S	1	PD21DEC17	6428.00	6791.50	USD	-9,087.50	
21SEP17	S	1	PD21DEC17	6434.50	6791.50	USD	-8,925.00	
21SEP17	S	3	PD21DEC17	6426.50	6791.50	USD	-27,375.00	
21SEP17	S	1	PD21DEC17	6427.00	6791.50	USD	-9,112.50	
21SEP17	S	2	PD21DEC17	6433.50	6791.50	USD	-17,900.00	
25SEP17	B	4	PD21DEC17	6446.95	6791.50	USD	34,455.00	
26SEP17	B	3	PD21DEC17	6409.20	6791.50	USD	28,672.50	
	NET	-6 AVG BGHT 6443.96	SOLD 6453.96				-46,385.00	0.00
22SEP17	S	1	PD22DEC17	6426.50	6790.50	USD	-9,100.00	
22SEP17	B	2	PD22DEC17	6442.50	6790.50	USD	17,400.00	
22SEP17	B	3	PD22DEC17	6432.00	6790.50	USD	26,887.50	
22SEP17	S	1	PD22DEC17	6401.00	6790.50	USD	-9,737.50	
22SEP17	S	1	PD22DEC17	6401.50	6790.50	USD	-9,725.00	
22SEP17	S	1	PD22DEC17	6400.00	6790.50	USD	-9,762.50	
22SEP17	S	4	PD22DEC17	6400.50	6790.50	USD	-39,000.00	
22SEP17	S	2	PD22DEC17	6402.00	6790.50	USD	-19,425.00	
22SEP17	S	1	PD22DEC17	6405.00	6790.50	USD	-9,637.50	
22SEP17	S	1	PD22DEC17	6389.50	6790.50	USD	-10,025.00	
22SEP17	S	2	PD22DEC17	6388.50	6790.50	USD	-20,100.00	
22SEP17	S	2	PD22DEC17	6383.00	6790.50	USD	-20,375.00	
22SEP17	S	1	PD22DEC17	6380.50	6790.50	USD	-10,250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	6381.50	6790.50	USD	-10,225.00	
22SEP17	S	1	PD22DEC17	6373.00	6790.50	USD	-10,437.50	
22SEP17	S	1	PD22DEC17	6402.00	6790.50	USD	-9,712.50	
22SEP17	B	1	PD22DEC17	6478.50	6790.50	USD	7,800.00	
22SEP17	S	1	PD22DEC17	6476.00	6790.50	USD	-7,862.50	
22SEP17	B	1	PD22DEC17	6480.50	6790.50	USD	7,750.00	
22SEP17	S	1	PD22DEC17	6478.50	6790.50	USD	-7,800.00	
25SEP17	B	4	PD22DEC17	6447.49	6790.50	USD	34,301.00	
03OCT17	B	1	PD22DEC17	6515.95	6790.50	USD	6,863.75	
10OCT17	B	2	PD22DEC17	6750.00	6790.50	USD	2,025.00	
	NET	-8 AVG BGHT 6496.14	SOLD 6402.91				-110,147.75	0.00
25SEP17	S	1	PD27DEC17	6478.00	6793.00	USD	-7,875.00	
25SEP17	B	1	PD27DEC17	6472.00	6793.00	USD	8,025.00	
25SEP17	S	2	PD27DEC17	6478.50	6793.00	USD	-15,725.00	
25SEP17	B	1	PD27DEC17	6477.50	6793.00	USD	7,887.50	
25SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
25SEP17	S	1	PD27DEC17	6458.50	6793.00	USD	-8,362.50	
25SEP17	B	1	PD27DEC17	6461.00	6793.00	USD	8,300.00	
25SEP17	S	1	PD27DEC17	6457.50	6793.00	USD	-8,387.50	
25SEP17	B	1	PD27DEC17	6445.50	6793.00	USD	8,687.50	
26SEP17	S	3	PD27DEC17	6479.00	6793.00	USD	-23,550.00	
26SEP17	B	1	PD27DEC17	6480.50	6793.00	USD	7,812.50	
26SEP17	B	2	PD27DEC17	6479.00	6793.00	USD	15,700.00	
26SEP17	B	1	PD27DEC17	6477.00	6793.00	USD	7,900.00	
26SEP17	S	1	PD27DEC17	6479.00	6793.00	USD	-7,850.00	
26SEP17	B	1	PD27DEC17	6454.50	6793.00	USD	8,462.50	
26SEP17	B	2	PD27DEC17	6458.00	6793.00	USD	16,750.00	
26SEP17	S	1	PD27DEC17	6457.50	6793.00	USD	-8,387.50	
26SEP17	S	1	PD27DEC17	6455.00	6793.00	USD	-8,450.00	
26SEP17	B	1	PD27DEC17	6442.50	6793.00	USD	8,762.50	
26SEP17	B	1	PD27DEC17	6440.50	6793.00	USD	8,812.50	
26SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
26SEP17	B	1	PD27DEC17	6437.50	6793.00	USD	8,887.50	
26SEP17	B	2	PD27DEC17	6439.50	6793.00	USD	17,675.00	
26SEP17	B	1	PD27DEC17	6435.00	6793.00	USD	8,950.00	
26SEP17	B	1	PD27DEC17	6429.50	6793.00	USD	9,087.50	
26SEP17	B	1	PD27DEC17	6429.00	6793.00	USD	9,100.00	
26SEP17	B	1	PD27DEC17	6427.50	6793.00	USD	9,137.50	
26SEP17	B	1	PD27DEC17	6418.00	6793.00	USD	9,375.00	
26SEP17	B	1	PD27DEC17	6424.50	6793.00	USD	9,212.50	
26SEP17	B	2	PD27DEC17	6416.50	6793.00	USD	18,825.00	
26SEP17	S	1	PD27DEC17	6412.00	6793.00	USD	-9,525.00	
26SEP17	B	5	PD27DEC17	6413.20	6793.00	USD	47,475.00	
26SEP17	B	1	PD27DEC17	6418.50	6793.00	USD	9,362.50	
27SEP17	B	1	PD27DEC17	6453.00	6793.00	USD	8,500.00	
27SEP17	B	1	PD27DEC17	6450.50	6793.00	USD	8,562.50	
27SEP17	B	1	PD27DEC17	6463.50	6793.00	USD	8,237.50	
27SEP17	B	2	PD27DEC17	6470.50	6793.00	USD	16,125.00	
27SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
27SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
27SEP17	S	1	PD27DEC17	6462.00	6793.00	USD	-8,275.00	
27SEP17	S	2	PD27DEC17	6457.00	6793.00	USD	-16,800.00	
27SEP17	S	2	PD27DEC17	6456.50	6793.00	USD	-16,825.00	
27SEP17	S	7	PD27DEC17	6456.00	6793.00	USD	-58,975.00	
27SEP17	B	14	PD27DEC17	6454.50	6793.00	USD	118,475.00	
27SEP17	S	1	PD27DEC17	6455.00	6793.00	USD	-8,450.00	
27SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	2	PD27DEC17	6448.00	6793.00	USD	-17,250.00	
27SEP17	S	1	PD27DEC17	6432.00	6793.00	USD	-9,025.00	
27SEP17	S	2	PD27DEC17	6430.00	6793.00	USD	-18,150.00	
27SEP17	S	1	PD27DEC17	6427.50	6793.00	USD	-9,137.50	
27SEP17	B	1	PD27DEC17	6454.00	6793.00	USD	8,475.00	
27SEP17	S	1	PD27DEC17	6428.00	6793.00	USD	-9,125.00	
27SEP17	S	1	PD27DEC17	6443.50	6793.00	USD	-8,737.50	
27SEP17	S	1	PD27DEC17	6441.50	6793.00	USD	-8,787.50	
27SEP17	S	1	PD27DEC17	6434.00	6793.00	USD	-8,975.00	
27SEP17	S	3	PD27DEC17	6444.50	6793.00	USD	-26,137.50	
27SEP17	S	2	PD27DEC17	6446.50	6793.00	USD	-17,325.00	
27SEP17	S	1	PD27DEC17	6426.00	6793.00	USD	-9,175.00	
28SEP17	B	1	PD27DEC17	6521.53	6793.00	USD	6,786.75	
03OCT17	B	1	PD27DEC17	6517.72	6793.00	USD	6,882.00	
10OCT17	B	5	PD27DEC17	6752.50	6793.00	USD	5,062.50	
	NET	+13 AVG BGHT 6475.66	SOLD 6452.74				77,356.25	0.00
28SEP17	B	1	PD28DEC17	6436.50	6793.47	USD	8,924.25	
28SEP17	B	1	PD28DEC17	6425.50	6793.47	USD	9,199.25	
28SEP17	S	1	PD28DEC17	6423.50	6793.47	USD	-9,249.25	
28SEP17	S	1	PD28DEC17	6411.50	6793.47	USD	-9,549.25	
28SEP17	S	1	PD28DEC17	6407.50	6793.47	USD	-9,649.25	
28SEP17	S	1	PD28DEC17	6407.00	6793.47	USD	-9,661.75	
28SEP17	S	2	PD28DEC17	6408.00	6793.47	USD	-19,273.50	
28SEP17	S	4	PD28DEC17	6409.00	6793.47	USD	-38,447.00	
28SEP17	S	7	PD28DEC17	6408.50	6793.47	USD	-67,369.75	
28SEP17	S	1	PD28DEC17	6401.00	6793.47	USD	-9,811.75	
28SEP17	S	2	PD28DEC17	6402.00	6793.47	USD	-19,573.50	
28SEP17	S	2	PD28DEC17	6400.50	6793.47	USD	-19,648.50	
28SEP17	S	1	PD28DEC17	6415.00	6793.47	USD	-9,461.75	
28SEP17	B	1	PD28DEC17	6423.50	6793.47	USD	9,249.25	
28SEP17	B	2	PD28DEC17	6429.50	6793.47	USD	18,198.50	
28SEP17	B	2	PD28DEC17	6436.00	6793.47	USD	17,873.50	
28SEP17	B	4	PD28DEC17	6441.00	6793.47	USD	35,247.00	
28SEP17	B	1	PD28DEC17	6440.50	6793.47	USD	8,824.25	
28SEP17	B	2	PD28DEC17	6438.00	6793.47	USD	17,773.50	
28SEP17	B	2	PD28DEC17	6437.50	6793.47	USD	17,798.50	
28SEP17	B	3	PD28DEC17	6434.00	6793.47	USD	26,960.25	
28SEP17	B	2	PD28DEC17	6433.00	6793.47	USD	18,023.50	
28SEP17	B	1	PD28DEC17	6433.50	6793.47	USD	8,999.25	
28SEP17	S	1	PD28DEC17	6436.00	6793.47	USD	-8,936.75	
28SEP17	B	1	PD28DEC17	6466.50	6793.47	USD	8,174.25	
28SEP17	B	1	PD28DEC17	6476.00	6793.47	USD	7,936.75	
28SEP17	B	1	PD28DEC17	6476.50	6793.47	USD	7,924.25	
28SEP17	B	1	PD28DEC17	6467.00	6793.47	USD	8,161.75	
28SEP17	B	1	PD28DEC17	6470.50	6793.47	USD	8,074.25	
28SEP17	B	1	PD28DEC17	6469.50	6793.47	USD	8,099.25	
28SEP17	B	1	PD28DEC17	6470.00	6793.47	USD	8,086.75	
28SEP17	B	1	PD28DEC17	6462.50	6793.47	USD	8,274.25	
28SEP17	B	1	PD28DEC17	6489.00	6793.47	USD	7,611.75	
28SEP17	B	2	PD28DEC17	6488.00	6793.47	USD	15,273.50	
28SEP17	B	1	PD28DEC17	6513.00	6793.47	USD	7,011.75	
28SEP17	B	1	PD28DEC17	6514.50	6793.47	USD	6,974.25	
28SEP17	B	2	PD28DEC17	6514.00	6793.47	USD	13,973.50	
28SEP17	B	1	PD28DEC17	6528.50	6793.47	USD	6,624.25	
28SEP17	S	2	PD28DEC17	6512.00	6793.47	USD	-14,073.50	
28SEP17	S	1	PD28DEC17	6510.00	6793.47	USD	-7,086.75	
28SEP17	S	1	PD28DEC17	6515.00	6793.47	USD	-6,961.75	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	6520.50	6793.47	USD	-6,824.25	
28SEP17	S	1	PD28DEC17	6520.00	6793.47	USD	-6,836.75	
28SEP17	S	2	PD28DEC17	6573.00	6793.47	USD	-11,023.50	
28SEP17	S	1	PD28DEC17	6555.00	6793.47	USD	-5,961.75	
10OCT17	B	3	PD28DEC17	6753.04	6793.47	USD	3,032.25	
	NET	+8 AVG BGHT 6479.03	SOLD 6442.68				32,903.50	0.00
29SEP17	S	2	PD29DEC17	6530.00	6793.93	USD	-13,196.50	
29SEP17	S	2	PD29DEC17	6533.50	6793.93	USD	-13,021.50	
29SEP17	S	1	PD29DEC17	6535.00	6793.93	USD	-6,473.25	
29SEP17	S	3	PD29DEC17	6525.00	6793.93	USD	-20,169.75	
29SEP17	S	2	PD29DEC17	6525.50	6793.93	USD	-13,421.50	
29SEP17	S	1	PD29DEC17	6529.50	6793.93	USD	-6,610.75	
29SEP17	S	2	PD29DEC17	6528.00	6793.93	USD	-13,296.50	
29SEP17	B	1	PD29DEC17	6553.00	6793.93	USD	6,023.25	
29SEP17	B	1	PD29DEC17	6567.00	6793.93	USD	5,673.25	
29SEP17	B	1	PD29DEC17	6568.00	6793.93	USD	5,648.25	
29SEP17	B	1	PD29DEC17	6560.00	6793.93	USD	5,848.25	
29SEP17	B	2	PD29DEC17	6571.50	6793.93	USD	11,121.50	
29SEP17	B	1	PD29DEC17	6571.00	6793.93	USD	5,573.25	
29SEP17	B	2	PD29DEC17	6570.00	6793.93	USD	11,196.50	
29SEP17	B	4	PD29DEC17	6569.00	6793.93	USD	22,493.00	
29SEP17	B	2	PD29DEC17	6562.00	6793.93	USD	11,596.50	
29SEP17	B	1	PD29DEC17	6568.50	6793.93	USD	5,635.75	
29SEP17	B	2	PD29DEC17	6572.50	6793.93	USD	11,071.50	
29SEP17	B	4	PD29DEC17	6572.00	6793.93	USD	22,193.00	
29SEP17	B	1	PD29DEC17	6574.00	6793.93	USD	5,498.25	
29SEP17	B	2	PD29DEC17	6574.50	6793.93	USD	10,971.50	
29SEP17	B	4	PD29DEC17	6575.00	6793.93	USD	21,893.00	
29SEP17	B	3	PD29DEC17	6573.00	6793.93	USD	16,569.75	
29SEP17	B	1	PD29DEC17	6573.50	6793.93	USD	5,510.75	
29SEP17	S	1	PD29DEC17	6567.50	6793.93	USD	-5,660.75	
29SEP17	B	5	PD29DEC17	6579.50	6793.93	USD	26,803.75	
10OCT17	S	10	PD29DEC17	6753.37	6793.93	USD	-10,140.00	
	NET	+14 AVG BGHT 6571.49	SOLD 6623.95				109,330.50	0.00
09OCT17	S	1	PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S	1	PD09JAN18	6655.00	6799.07	USD	-3,601.75	
09OCT17	S	1	PD09JAN18	6654.00	6799.07	USD	-3,626.75	
09OCT17	B	2	PD09JAN18	6678.50	6799.07	USD	6,028.50	
09OCT17	S	1	PD09JAN18	6641.50	6799.07	USD	-3,939.25	
09OCT17	S	2	PD09JAN18	6632.00	6799.07	USD	-8,353.50	
09OCT17	S	2	PD09JAN18	6632.50	6799.07	USD	-8,328.50	
09OCT17	S	4	PD09JAN18	6657.50	6799.07	USD	-14,157.00	
09OCT17	S	1	PD09JAN18	6659.50	6799.07	USD	-3,489.25	
09OCT17	S	11	PD09JAN18	6658.50	6799.07	USD	-38,656.75	
09OCT17	S	1	PD09JAN18	6655.50	6799.07	USD	-3,589.25	
09OCT17	S	1	PD09JAN18	6650.00	6799.07	USD	-3,726.75	
09OCT17	S	3	PD09JAN18	6658.00	6799.07	USD	-10,580.25	
09OCT17	B	1	PD09JAN18	6664.50	6799.07	USD	3,364.25	
	NET	-26 AVG BGHT 6673.83	SOLD 6653.48				-96,158.00	0.00
10OCT17	B	1	PD10JAN18	6723.00	6799.53	USD	1,913.25	
10OCT17	S	1	PD10JAN18	6723.50	6799.53	USD	-1,900.75	
10OCT17	S	1	PD10JAN18	6686.50	6799.53	USD	-2,825.75	
10OCT17	S	1	PD10JAN18	6687.50	6799.53	USD	-2,800.75	
10OCT17	B	1	PD10JAN18	6695.00	6799.53	USD	2,613.25	
10OCT17	B	1	PD10JAN18	6701.50	6799.53	USD	2,450.75	
10OCT17	B	1	PD10JAN18	6690.00	6799.53	USD	2,738.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	6695.50	6799.53	USD	2,600.75	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	B	1	PD10JAN18	6738.50	6799.53	USD	1,525.75	
10OCT17	B	1	PD10JAN18	6740.00	6799.53	USD	1,488.25	
10OCT17	B	2	PD10JAN18	6747.00	6799.53	USD	2,626.50	
10OCT17	B	1	PD10JAN18	6747.50	6799.53	USD	1,300.75	
10OCT17	B	1	PD10JAN18	6743.00	6799.53	USD	1,413.25	
10OCT17	S	1	PD10JAN18	6742.50	6799.53	USD	-1,425.75	
	NET	+8 AVG BGHT 6721.71	SOLD 6710.00				14,393.50	0.00
11OCT17	S	1	PD11JAN18	6750.50	6800.00	USD	-1,237.50	
11OCT17	S	1	PD11JAN18	6760.00	6800.00	USD	-1,000.00	
11OCT17	B	2	PD11JAN18	6770.00	6800.00	USD	1,500.00	
11OCT17	B	1	PD11JAN18	6782.00	6800.00	USD	450.00	
11OCT17	B	1	PD11JAN18	6783.00	6800.00	USD	425.00	
11OCT17	B	1	PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	B	1	PD11JAN18	6790.00	6800.00	USD	250.00	
11OCT17	B	1	PD11JAN18	6791.50	6800.00	USD	212.50	
11OCT17	S	1	PD11JAN18	6789.00	6800.00	USD	-275.00	
11OCT17	S	1	PD11JAN18	6773.00	6800.00	USD	-675.00	
11OCT17	S	1	PD11JAN18	6777.50	6800.00	USD	-562.50	
11OCT17	S	1	PD11JAN18	6780.00	6800.00	USD	-500.00	
11OCT17	S	1	PD11JAN18	6779.00	6800.00	USD	-525.00	
11OCT17	S	1	PD11JAN18	6766.50	6800.00	USD	-837.50	
11OCT17	B	1	PD11JAN18	6790.50	6800.00	USD	237.50	
11OCT17	B	1	PD11JAN18	6794.50	6800.00	USD	137.50	
11OCT17	B	1	PD11JAN18	6801.50	6800.00	USD	-37.50	
11OCT17	B	1	PD11JAN18	6806.50	6800.00	USD	-162.50	
11OCT17	B	1	PD11JAN18	6809.50	6800.00	USD	-237.50	
11OCT17	B	1	PD11JAN18	6804.00	6800.00	USD	-100.00	
11OCT17	B	1	PD11JAN18	6801.00	6800.00	USD	-25.00	
11OCT17	S	1	PD11JAN18	6802.50	6800.00	USD	62.50	
11OCT17	S	1	PD11JAN18	6812.50	6800.00	USD	312.50	
	NET	+4 AVG BGHT 6791.89	SOLD 6779.05				-2,400.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
14SEP17	B	1	DEC17	295.800	309.550	USD	3,437.50	
14SEP17	B	1	DEC17	295.650	309.550	USD	3,475.00	
14SEP17	B	1	DEC17	295.700	309.550	USD	3,462.50	
15SEP17	B	1	DEC17	295.700	309.550	USD	3,462.50	
15SEP17	B	1	DEC17	296.000	309.550	USD	3,387.50	
15SEP17	B	2	DEC17	295.950	309.550	USD	6,800.00	
26SEP17	B	1	DEC17	292.650	309.550	USD	4,225.00	
26SEP17	B	4	DEC17	292.600	309.550	USD	16,950.00	
26SEP17	B	2	DEC17	292.500	309.550	USD	8,525.00	
26SEP17	B	1	DEC17	292.550	309.550	USD	4,250.00	
26SEP17	B	1	DEC17	292.350	309.550	USD	4,300.00	
26SEP17	B	1	DEC17	292.100	309.550	USD	4,362.50	
26SEP17	B	1	DEC17	291.900	309.550	USD	4,412.50	
26SEP17	B	1	DEC17	291.850	309.550	USD	4,425.00	
26SEP17	B	1	DEC17	291.950	309.550	USD	4,400.00	
26SEP17	B	1	DEC17	291.350	309.550	USD	4,550.00	
26SEP17	B	1	DEC17	291.500	309.550	USD	4,512.50	
28SEP17	B	1	DEC17	293.750	309.550	USD	3,950.00	
28SEP17	B	2	DEC17	294.850	309.550	USD	7,350.00	
29SEP17	B	1	DEC17	298.550	309.550	USD	2,750.00	
29SEP17	B	1	DEC17	298.350	309.550	USD	2,800.00	
29SEP17	B	1	DEC17	298.850	309.550	USD	2,675.00	
29SEP17	B	1	DEC17	298.900	309.550	USD	2,662.50	

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	CMX COPPER 25,000 CMX							
29SEP17	B	1	DEC17	298.950	309.550	USD	2,650.00	
04OCT17	B	1	DEC17	295.400	309.550	USD	3,537.50	
10OCT17	B	1	DEC17	306.850	309.550	USD	675.00	
10OCT17	B	1	DEC17	306.550	309.550	USD	750.00	
	NET +33 AVG BGHT 295.158						118,737.50	0.00
	CMX GOLD 100 CMX							
15SEP17	B	1	DEC17	1329.9	1288.9	USD	-4,100.00	
15SEP17	B	1	DEC17	1328.3	1288.9	USD	-3,940.00	
15SEP17	B	1	DEC17	1328.1	1288.9	USD	-3,920.00	
15SEP17	B	5	DEC17	1327.6	1288.9	USD	-19,350.00	
15SEP17	B	1	DEC17	1327.4	1288.9	USD	-3,850.00	
15SEP17	B	1	DEC17	1327.0	1288.9	USD	-3,810.00	
15SEP17	B	1	DEC17	1326.7	1288.9	USD	-3,780.00	
15SEP17	B	1	DEC17	1326.3	1288.9	USD	-3,740.00	
15SEP17	B	1	DEC17	1325.1	1288.9	USD	-3,620.00	
15SEP17	B	1	DEC17	1326.6	1288.9	USD	-3,770.00	
15SEP17	B	1	DEC17	1325.9	1288.9	USD	-3,700.00	
15SEP17	B	1	DEC17	1325.7	1288.9	USD	-3,680.00	
21SEP17	B	1	DEC17	1297.3	1288.9	USD	-840.00	
21SEP17	B	1	DEC17	1292.9	1288.9	USD	-400.00	
21SEP17	B	1	DEC17	1293.5	1288.9	USD	-460.00	
21SEP17	B	1	DEC17	1293.7	1288.9	USD	-480.00	
21SEP17	B	1	DEC17	1292.6	1288.9	USD	-370.00	
21SEP17	B	1	DEC17	1292.4	1288.9	USD	-350.00	
21SEP17	B	2	DEC17	1296.9	1288.9	USD	-1,600.00	
27SEP17	B	1	DEC17	1295.9	1288.9	USD	-700.00	
27SEP17	B	3	DEC17	1296.3	1288.9	USD	-2,220.00	
27SEP17	B	1	DEC17	1297.8	1288.9	USD	-890.00	
27SEP17	B	2	DEC17	1287.8	1288.9	USD	220.00	
09OCT17	B	1	DEC17	1282.4	1288.9	USD	650.00	
09OCT17	B	1	DEC17	1282.3	1288.9	USD	660.00	
09OCT17	B	2	DEC17	1282.8	1288.9	USD	1,220.00	
09OCT17	B	1	DEC17	1283.8	1288.9	USD	510.00	
09OCT17	B	4	DEC17	1283.5	1288.9	USD	2,160.00	
09OCT17	B	2	DEC17	1281.8	1288.9	USD	1,420.00	
	NET +42 AVG BGHT 1303.8						-62,730.00	0.00
	LME LEAD 25 TONNES. THIS IS AN							
	LME REGISTERED CLIENT CONTRACT							
12JUL17	S	1	PD12OCT17	2318.50	2552.35	USD	-5,846.25	
12JUL17	S	1	PD12OCT17	2314.50	2552.35	USD	-5,946.25	
12JUL17	B	2	PD12OCT17	2321.00	2552.35	USD	11,567.50	
12JUL17	B	1	PD12OCT17	2326.50	2552.35	USD	5,646.25	
12JUL17	B	2	PD12OCT17	2327.00	2552.35	USD	11,267.50	
12JUL17	B	1	PD12OCT17	2338.50	2552.35	USD	5,346.25	
12JUL17	B	1	PD12OCT17	2328.50	2552.35	USD	5,596.25	
12JUL17	B	1	PD12OCT17	2318.00	2552.35	USD	5,858.75	
12JUL17	S	1	PD12OCT17	2332.50	2552.35	USD	-5,496.25	
12JUL17	B	1	PD12OCT17	2330.00	2552.35	USD	5,558.75	
12JUL17	B	1	PD12OCT17	2329.00	2552.35	USD	5,583.75	
12JUL17	S	1	PD12OCT17	2325.50	2552.35	USD	-5,671.25	
12JUL17	S	1	PD12OCT17	2322.00	2552.35	USD	-5,758.75	
14JUL17	B	1	PD12OCT17	2316.09	2552.35	USD	5,906.50	
19JUL17	S	5	PD12OCT17	2216.32	2552.35	USD	-42,003.75	
08AUG17	S	1	PD12OCT17	2377.52	2552.35	USD	-4,370.75	
	NET 0 AVG BGHT 2325.69 SOLD 2279.28						-12,761.75	0.00
13JUL17	B	1	PD13OCT17	2314.50	2552.75	USD	5,956.25	
13JUL17	B	1	PD13OCT17	2317.50	2552.75	USD	5,881.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD130CT17	2285.00	2552.75	USD	6,693.75	
13JUL17	B	1	PD130CT17	2292.50	2552.75	USD	6,506.25	
13JUL17	S	1	PD130CT17	2290.50	2552.75	USD	-6,556.25	
14JUL17	S	1	PD130CT17	2283.00	2552.75	USD	-6,743.75	
14JUL17	S	1	PD130CT17	2286.00	2552.75	USD	-6,668.75	
14JUL17	S	3	PD130CT17	2285.00	2552.75	USD	-20,081.25	
14JUL17	S	2	PD130CT17	2284.50	2552.75	USD	-13,412.50	
14JUL17	S	1	PD130CT17	2283.50	2552.75	USD	-6,731.25	
14JUL17	S	1	PD130CT17	2284.00	2552.75	USD	-6,718.75	
14JUL17	S	1	PD130CT17	2302.50	2552.75	USD	-6,256.25	
14JUL17	S	1	PD130CT17	2308.00	2552.75	USD	-6,118.75	
14JUL17	S	1	PD130CT17	2316.00	2552.75	USD	-5,918.75	
14JUL17	S	1	PD130CT17	2313.00	2552.75	USD	-5,993.75	
14JUL17	B	1	PD130CT17	2314.00	2552.75	USD	5,968.75	
14JUL17	S	1	PD130CT17	2311.00	2552.75	USD	-6,043.75	
17JUL17	B	2	PD130CT17	2296.11	2552.75	USD	12,832.00	
19JUL17	B	9	PD130CT17	2216.72	2552.75	USD	75,606.75	
10AUG17	B	1	PD130CT17	2359.37	2552.75	USD	4,834.50	
14SEP17	S	2	PD130CT17	2286.56	2552.75	USD	-13,309.50	
	NET	0	AVG BGHT 2260.33	SOLD 2292.62			13,726.25	0.00
17JUL17	B	1	PD170CT17	2319.00	2554.35	USD	5,883.75	
17JUL17	S	3	PD170CT17	2325.00	2554.35	USD	-17,201.25	
17JUL17	B	1	PD170CT17	2312.50	2554.35	USD	6,046.25	
17JUL17	B	1	PD170CT17	2324.00	2554.35	USD	5,758.75	
17JUL17	B	1	PD170CT17	2323.50	2554.35	USD	5,771.25	
17JUL17	B	2	PD170CT17	2320.00	2554.35	USD	11,717.50	
17JUL17	S	2	PD170CT17	2312.00	2554.35	USD	-12,117.50	
17JUL17	S	1	PD170CT17	2313.00	2554.35	USD	-6,033.75	
17JUL17	S	1	PD170CT17	2324.00	2554.35	USD	-5,758.75	
17JUL17	B	3	PD170CT17	2325.00	2554.35	USD	17,201.25	
17JUL17	B	1	PD170CT17	2325.50	2554.35	USD	5,721.25	
17JUL17	B	1	PD170CT17	2330.50	2554.35	USD	5,596.25	
17JUL17	B	1	PD170CT17	2325.00	2554.35	USD	5,733.75	
17JUL17	B	1	PD170CT17	2298.50	2554.35	USD	6,396.25	
17JUL17	B	1	PD170CT17	2296.50	2554.35	USD	6,446.25	
17JUL17	B	2	PD170CT17	2291.00	2554.35	USD	13,167.50	
17JUL17	S	1	PD170CT17	2294.50	2554.35	USD	-6,496.25	
17JUL17	B	1	PD170CT17	2298.50	2554.35	USD	6,396.25	
19JUL17	B	1	PD170CT17	2217.50	2554.35	USD	8,421.25	
26JUL17	S	3	PD170CT17	2312.69	2554.35	USD	-18,124.50	
28JUL17	S	1	PD170CT17	2319.55	2554.35	USD	-5,870.00	
08AUG17	S	4	PD170CT17	2378.96	2554.35	USD	-17,539.00	
10AUG17	S	1	PD170CT17	2360.47	2554.35	USD	-4,847.00	
10AUG17	S	1	PD170CT17	2360.67	2554.35	USD	-4,842.00	
	NET	0	AVG BGHT 2309.33	SOLD 2334.73			11,427.50	0.00
18JUL17	S	1	PD180CT17	2293.00	2554.75	USD	-6,543.75	
18JUL17	S	1	PD180CT17	2291.50	2554.75	USD	-6,581.25	
18JUL17	S	1	PD180CT17	2287.50	2554.75	USD	-6,681.25	
18JUL17	S	1	PD180CT17	2287.00	2554.75	USD	-6,693.75	
18JUL17	S	1	PD180CT17	2283.00	2554.75	USD	-6,793.75	
18JUL17	B	1	PD180CT17	2281.00	2554.75	USD	6,843.75	
18JUL17	B	1	PD180CT17	2280.00	2554.75	USD	6,868.75	
18JUL17	B	1	PD180CT17	2277.50	2554.75	USD	6,931.25	
18JUL17	B	1	PD180CT17	2272.50	2554.75	USD	7,056.25	
18JUL17	B	1	PD180CT17	2270.00	2554.75	USD	7,118.75	
18JUL17	S	1	PD180CT17	2269.50	2554.75	USD	-7,131.25	
18JUL17	S	2	PD180CT17	2269.00	2554.75	USD	-14,287.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18JUL17	S	1	PD18OCT17	2268.00	2554.75	USD	-7,168.75	
18JUL17	S	1	PD18OCT17	2274.50	2554.75	USD	-7,006.25	
18JUL17	B	3	PD18OCT17	2271.50	2554.75	USD	21,243.75	
18JUL17	B	1	PD18OCT17	2272.00	2554.75	USD	7,068.75	
18JUL17	B	1	PD18OCT17	2274.00	2554.75	USD	7,018.75	
	NET	0 AVG BGHT 2274.15	SOLD 2279.20				1,262.50	0.00
19JUL17	B	1	PD19OCT17	2242.00	2554.89	USD	7,822.25	
19JUL17	B	1	PD19OCT17	2243.00	2554.89	USD	7,797.25	
19JUL17	B	1	PD19OCT17	2245.50	2554.89	USD	7,734.75	
19JUL17	S	1	PD19OCT17	2245.50	2554.89	USD	-7,734.75	
19JUL17	S	1	PD19OCT17	2245.00	2554.89	USD	-7,747.25	
19JUL17	S	1	PD19OCT17	2242.50	2554.89	USD	-7,809.75	
	NET	0 AVG BGHT 2243.50	SOLD 2244.33				62.50	0.00
20JUL17	S	1	PD20OCT17	2224.00	2555.04	USD	-8,276.00	
20JUL17	B	1	PD20OCT17	2226.00	2555.04	USD	8,226.00	
20JUL17	S	1	PD20OCT17	2207.50	2555.04	USD	-8,688.50	
20JUL17	S	1	PD20OCT17	2212.00	2555.04	USD	-8,576.00	
20JUL17	S	1	PD20OCT17	2211.50	2555.04	USD	-8,588.50	
20JUL17	S	1	PD20OCT17	2213.50	2555.04	USD	-8,538.50	
20JUL17	S	2	PD20OCT17	2217.50	2555.04	USD	-16,877.00	
21JUL17	S	1	PD20OCT17	2244.50	2555.04	USD	-7,763.50	
21JUL17	S	1	PD20OCT17	2247.50	2555.04	USD	-7,688.50	
21JUL17	B	1	PD20OCT17	2246.50	2555.04	USD	7,713.50	
21JUL17	B	1	PD20OCT17	2244.00	2555.04	USD	7,776.00	
21JUL17	B	1	PD20OCT17	2242.00	2555.04	USD	7,826.00	
21JUL17	B	1	PD20OCT17	2237.00	2555.04	USD	7,951.00	
21JUL17	S	1	PD20OCT17	2236.00	2555.04	USD	-7,976.00	
21JUL17	S	1	PD20OCT17	2237.50	2555.04	USD	-7,938.50	
21JUL17	S	1	PD20OCT17	2232.00	2555.04	USD	-8,076.00	
21JUL17	S	1	PD20OCT17	2233.50	2555.04	USD	-8,038.50	
21JUL17	S	5	PD20OCT17	2239.00	2555.04	USD	-39,505.00	
21JUL17	S	1	PD20OCT17	2240.50	2555.04	USD	-7,863.50	
21JUL17	S	1	PD20OCT17	2239.50	2555.04	USD	-7,888.50	
21JUL17	S	4	PD20OCT17	2241.00	2555.04	USD	-31,404.00	
26JUL17	B	3	PD20OCT17	2312.56	2555.04	USD	18,186.00	
27JUL17	B	10	PD20OCT17	2308.11	2555.04	USD	61,732.50	
08AUG17	B	4	PD20OCT17	2378.95	2555.04	USD	17,609.00	
10AUG17	B	2	PD20OCT17	2360.70	2555.04	USD	9,717.00	
	NET	0 AVG BGHT 2310.48	SOLD 2232.23				-46,949.50	0.00
24JUL17	B	1	PD24OCT17	2257.50	2555.61	USD	7,452.75	
24JUL17	B	1	PD24OCT17	2258.00	2555.61	USD	7,440.25	
24JUL17	S	1	PD24OCT17	2239.50	2555.61	USD	-7,902.75	
24JUL17	B	1	PD24OCT17	2256.50	2555.61	USD	7,477.75	
24JUL17	B	1	PD24OCT17	2256.00	2555.61	USD	7,490.25	
24JUL17	B	2	PD24OCT17	2244.50	2555.61	USD	15,555.50	
24JUL17	S	1	PD24OCT17	2255.50	2555.61	USD	-7,502.75	
28JUL17	S	1	PD24OCT17	2319.63	2555.61	USD	-5,899.50	
08AUG17	S	3	PD24OCT17	2380.35	2555.61	USD	-13,144.50	
	NET	0 AVG BGHT 2252.83	SOLD 2325.95				10,967.00	0.00
25JUL17	B	1	PD25OCT17	2265.50	2555.75	USD	7,256.25	
25JUL17	B	1	PD25OCT17	2280.00	2555.75	USD	6,893.75	
25JUL17	B	1	PD25OCT17	2272.00	2555.75	USD	7,093.75	
25JUL17	B	1	PD25OCT17	2305.00	2555.75	USD	6,268.75	
25JUL17	S	1	PD25OCT17	2312.50	2555.75	USD	-6,081.25	
25JUL17	S	1	PD25OCT17	2311.50	2555.75	USD	-6,106.25	
25JUL17	S	1	PD25OCT17	2313.00	2555.75	USD	-6,068.75	

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25JUL17	S	1	PD25OCT17	2312.00	2555.75	USD	-6,093.75	
25JUL17	S	1	PD25OCT17	2322.50	2555.75	USD	-5,831.25	
28JUL17	S	1	PD25OCT17	2319.75	2555.75	USD	-5,900.00	
08AUG17	B	2	PD25OCT17	2380.95	2555.75	USD	8,740.00	
	NET	0AVG BGHT 2314.07	SOLD 2315.21				171.25	0.00
26JUL17	S	2	PD26OCT17	2321.00	2555.89	USD	-11,744.50	
26JUL17	S	1	PD26OCT17	2317.50	2555.89	USD	-5,959.75	
26JUL17	S	1	PD26OCT17	2336.50	2555.89	USD	-5,484.75	
26JUL17	S	1	PD26OCT17	2337.50	2555.89	USD	-5,459.75	
26JUL17	S	1	PD26OCT17	2325.50	2555.89	USD	-5,759.75	
26JUL17	S	1	PD26OCT17	2310.00	2555.89	USD	-6,147.25	
26JUL17	S	1	PD26OCT17	2312.00	2555.89	USD	-6,097.25	
26JUL17	S	1	PD26OCT17	2310.50	2555.89	USD	-6,134.75	
26JUL17	S	1	PD26OCT17	2313.00	2555.89	USD	-6,072.25	
26JUL17	S	2	PD26OCT17	2317.00	2555.89	USD	-11,944.50	
26JUL17	S	1	PD26OCT17	2316.50	2555.89	USD	-5,984.75	
26JUL17	B	1	PD26OCT17	2312.00	2555.89	USD	6,097.25	
26JUL17	S	1	PD26OCT17	2314.00	2555.89	USD	-6,047.25	
26JUL17	S	2	PD26OCT17	2316.00	2555.89	USD	-11,994.50	
26JUL17	B	1	PD26OCT17	2315.00	2555.89	USD	6,022.25	
26JUL17	B	1	PD26OCT17	2313.00	2555.89	USD	6,072.25	
26JUL17	S	1	PD26OCT17	2312.50	2555.89	USD	-6,084.75	
26JUL17	S	2	PD26OCT17	2310.50	2555.89	USD	-12,269.50	
26JUL17	B	2	PD26OCT17	2317.50	2555.89	USD	11,919.50	
26JUL17	S	2	PD26OCT17	2316.00	2555.89	USD	-11,994.50	
26JUL17	B	1	PD26OCT17	2320.50	2555.89	USD	5,884.75	
26JUL17	S	1	PD26OCT17	2318.50	2555.89	USD	-5,934.75	
26JUL17	B	1	PD26OCT17	2316.50	2555.89	USD	5,984.75	
26JUL17	S	1	PD26OCT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD26OCT17	2311.50	2555.89	USD	-6,109.75	
26JUL17	B	1	PD26OCT17	2316.00	2555.89	USD	5,997.25	
26JUL17	S	1	PD26OCT17	2330.50	2555.89	USD	-5,634.75	
26JUL17	S	2	PD26OCT17	2335.50	2555.89	USD	-11,019.50	
26JUL17	S	1	PD26OCT17	2323.50	2555.89	USD	-5,809.75	
26JUL17	S	1	PD26OCT17	2322.00	2555.89	USD	-5,847.25	
26JUL17	S	1	PD26OCT17	2323.00	2555.89	USD	-5,822.25	
26JUL17	S	1	PD26OCT17	2324.00	2555.89	USD	-5,797.25	
26JUL17	S	1	PD26OCT17	2322.50	2555.89	USD	-5,834.75	
26JUL17	B	3	PD26OCT17	2317.50	2555.89	USD	17,879.25	
26JUL17	B	1	PD26OCT17	2312.50	2555.89	USD	6,084.75	
26JUL17	S	1	PD26OCT17	2311.00	2555.89	USD	-6,122.25	
26JUL17	S	3	PD26OCT17	2311.50	2555.89	USD	-18,329.25	
26JUL17	S	1	PD26OCT17	2323.00	2555.89	USD	-5,822.25	
26JUL17	B	4	PD26OCT17	2313.00	2555.89	USD	24,289.00	
26JUL17	S	6	PD26OCT17	2311.00	2555.89	USD	-36,733.50	
28JUL17	B	4	PD26OCT17	2320.08	2555.89	USD	23,581.00	
03AUG17	B	19	PD26OCT17	2366.09	2555.89	USD	90,155.00	
08AUG17	B	3	PD26OCT17	2381.12	2555.89	USD	13,107.75	
10AUG17	B	1	PD26OCT17	2362.92	2555.89	USD	4,824.25	
	NET	0AVG BGHT 2343.89	SOLD 2317.72				-28,132.75	0.00
27JUL17	B	1	PD27OCT17	2306.00	2556.04	USD	6,251.00	
28JUL17	B	1	PD27OCT17	2296.00	2556.04	USD	6,501.00	
28JUL17	S	1	PD27OCT17	2292.50	2556.04	USD	-6,588.50	
28JUL17	S	1	PD27OCT17	2302.00	2556.04	USD	-6,351.00	
	NET	0AVG BGHT 2301.00	SOLD 2297.25				-187.50	0.00
31JUL17	B	1	PD31OCT17	2344.50	2556.61	USD	5,302.75	

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31JUL17	S	1	PD31OCT17	2341.00	2556.61	USD	-5,390.25	
31JUL17	B	1	PD31OCT17	2343.50	2556.61	USD	5,327.75	
31JUL17	S	1	PD31OCT17	2339.50	2556.61	USD	-5,427.75	
	NET	0 AVG BGHT 2344.00	SOLD 2340.25				-187.50	0.00
01AUG17	S	1	PD01NOV17	2311.50	2556.75	USD	-6,131.25	
01AUG17	S	1	PD01NOV17	2322.50	2556.75	USD	-5,856.25	
01AUG17	B	1	PD01NOV17	2320.00	2556.75	USD	5,918.75	
01AUG17	S	1	PD01NOV17	2330.00	2556.75	USD	-5,668.75	
01AUG17	S	1	PD01NOV17	2337.00	2556.75	USD	-5,493.75	
01AUG17	S	2	PD01NOV17	2335.50	2556.75	USD	-11,062.50	
01AUG17	B	2	PD01NOV17	2335.50	2556.75	USD	11,062.50	
01AUG17	B	1	PD01NOV17	2338.00	2556.75	USD	5,468.75	
01AUG17	B	2	PD01NOV17	2337.00	2556.75	USD	10,987.50	
01AUG17	S	1	PD01NOV17	2336.00	2556.75	USD	-5,518.75	
10AUG17	B	1	PD01NOV17	2364.04	2556.75	USD	4,817.75	
	NET	0 AVG BGHT 2338.15	SOLD 2329.71				-1,476.00	0.00
02AUG17	B	1	PD02NOV17	2328.50	2556.89	USD	5,709.75	
02AUG17	S	1	PD02NOV17	2363.00	2556.89	USD	-4,847.25	
02AUG17	B	1	PD02NOV17	2355.50	2556.89	USD	5,034.75	
02AUG17	B	1	PD02NOV17	2356.00	2556.89	USD	5,022.25	
09AUG17	S	1	PD02NOV17	2348.94	2556.89	USD	-5,198.75	
10AUG17	S	1	PD02NOV17	2364.06	2556.89	USD	-4,820.75	
	NET	0 AVG BGHT 2346.67	SOLD 2358.67				900.00	0.00
03AUG17	S	1	PD03NOV17	2375.00	2557.04	USD	-4,551.00	
03AUG17	B	1	PD03NOV17	2374.00	2557.04	USD	4,576.00	
03AUG17	B	1	PD03NOV17	2363.50	2557.04	USD	4,838.50	
09AUG17	S	1	PD03NOV17	2349.15	2557.04	USD	-5,197.25	
	NET	0 AVG BGHT 2368.75	SOLD 2362.08				-333.75	0.00
07AUG17	S	2	PD07NOV17	2360.00	2557.61	USD	-9,880.50	
07AUG17	S	2	PD07NOV17	2358.50	2557.61	USD	-9,955.50	
07AUG17	S	2	PD07NOV17	2359.00	2557.61	USD	-9,930.50	
07AUG17	S	4	PD07NOV17	2358.00	2557.61	USD	-19,961.00	
07AUG17	S	4	PD07NOV17	2356.50	2557.61	USD	-20,111.00	
07AUG17	S	1	PD07NOV17	2357.00	2557.61	USD	-5,015.25	
07AUG17	B	1	PD07NOV17	2387.50	2557.61	USD	4,252.75	
07AUG17	B	1	PD07NOV17	2384.50	2557.61	USD	4,327.75	
07AUG17	B	1	PD07NOV17	2382.00	2557.61	USD	4,390.25	
07AUG17	B	1	PD07NOV17	2383.00	2557.61	USD	4,365.25	
07AUG17	B	1	PD07NOV17	2381.50	2557.61	USD	4,402.75	
07AUG17	B	1	PD07NOV17	2367.00	2557.61	USD	4,765.25	
07AUG17	B	1	PD07NOV17	2363.50	2557.61	USD	4,852.75	
09AUG17	S	1	PD07NOV17	2350.00	2557.61	USD	-5,190.25	
22AUG17	B	12	PD07NOV17	2412.95	2557.61	USD	43,398.00	
22AUG17	B	3	PD07NOV17	2412.75	2557.61	USD	10,864.50	
23AUG17	S	1	PD07NOV17	2373.25	2557.61	USD	-4,609.00	
06SEP17	S	1	PD07NOV17	2331.52	2557.61	USD	-5,652.25	
11SEP17	S	2	PD07NOV17	2265.54	2557.61	USD	-14,603.50	
12SEP17	S	2	PD07NOV17	2299.88	2557.61	USD	-12,886.50	
	NET	0 AVG BGHT 2401.94	SOLD 2343.44				-32,176.00	0.00
08AUG17	S	1	PD08NOV17	2377.00	2557.75	USD	-4,518.75	
08AUG17	B	5	PD08NOV17	2370.00	2557.75	USD	23,468.75	
08AUG17	S	1	PD08NOV17	2377.00	2557.75	USD	-4,518.75	
08AUG17	B	1	PD08NOV17	2355.00	2557.75	USD	5,068.75	
08AUG17	B	1	PD08NOV17	2354.50	2557.75	USD	5,081.25	
08AUG17	B	1	PD08NOV17	2349.50	2557.75	USD	5,206.25	
08AUG17	S	1	PD08NOV17	2381.50	2557.75	USD	-4,406.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	1	PD08NOV17	2385.00	2557.75	USD	-4,318.75	
08AUG17	B	2	PD08NOV17	2380.00	2557.75	USD	8,887.50	
08AUG17	B	1	PD08NOV17	2385.00	2557.75	USD	4,318.75	
08AUG17	S	1	PD08NOV17	2355.00	2557.75	USD	-5,068.75	
08AUG17	S	3	PD08NOV17	2388.50	2557.75	USD	-12,693.75	
08AUG17	S	3	PD08NOV17	2388.00	2557.75	USD	-12,731.25	
08AUG17	B	1	PD08NOV17	2394.50	2557.75	USD	4,081.25	
08AUG17	S	1	PD08NOV17	2392.00	2557.75	USD	-4,143.75	
09AUG17	B	3	PD08NOV17	2350.20	2557.75	USD	15,566.25	
22AUG17	S	5	PD08NOV17	2413.00	2557.75	USD	-18,093.75	
06SEP17	B	3	PD08NOV17	2331.92	2557.75	USD	16,937.25	
11SEP17	S	1	PD08NOV17	2265.97	2557.75	USD	-7,294.50	
	NET	0 AVG BGHT 2360.83	SOLD 2384.89				10,827.75	0.00
09AUG17	B	1	PD09NOV17	2410.00	2557.89	USD	3,697.25	
09AUG17	B	1	PD09NOV17	2416.50	2557.89	USD	3,534.75	
09AUG17	B	1	PD09NOV17	2400.00	2557.89	USD	3,947.25	
09AUG17	B	1	PD09NOV17	2386.00	2557.89	USD	4,297.25	
09AUG17	B	1	PD09NOV17	2387.50	2557.89	USD	4,259.75	
09AUG17	S	5	PD09NOV17	2377.50	2557.89	USD	-22,548.75	
09AUG17	S	2	PD09NOV17	2378.00	2557.89	USD	-8,994.50	
09AUG17	B	1	PD09NOV17	2382.00	2557.89	USD	4,397.25	
09AUG17	B	1	PD09NOV17	2383.00	2557.89	USD	4,372.25	
09AUG17	B	1	PD09NOV17	2381.00	2557.89	USD	4,422.25	
09AUG17	S	1	PD09NOV17	2384.50	2557.89	USD	-4,334.75	
09AUG17	B	1	PD09NOV17	2394.00	2557.89	USD	4,097.25	
09AUG17	B	1	PD09NOV17	2352.00	2557.89	USD	5,147.25	
09AUG17	B	1	PD09NOV17	2351.00	2557.89	USD	5,172.25	
09AUG17	S	1	PD09NOV17	2355.00	2557.89	USD	-5,072.25	
09AUG17	B	1	PD09NOV17	2367.00	2557.89	USD	4,772.25	
09AUG17	B	1	PD09NOV17	2365.00	2557.89	USD	4,822.25	
15AUG17	S	1	PD09NOV17	2379.96	2557.89	USD	-4,448.25	
22AUG17	B	5	PD09NOV17	2413.45	2557.89	USD	18,055.00	
22AUG17	B	2	PD09NOV17	2413.25	2557.89	USD	7,232.00	
23AUG17	S	4	PD09NOV17	2373.75	2557.89	USD	-18,414.00	
06SEP17	S	3	PD09NOV17	2332.13	2557.89	USD	-16,932.00	
11SEP17	S	1	PD09NOV17	2266.40	2557.89	USD	-7,287.25	
12SEP17	S	2	PD09NOV17	2300.79	2557.89	USD	-12,855.00	
	NET	0 AVG BGHT 2393.44	SOLD 2356.12				-18,660.50	0.00
10AUG17	S	1	PD10NOV17	2377.00	2558.04	USD	-4,526.00	
10AUG17	S	3	PD10NOV17	2378.50	2558.04	USD	-13,465.50	
10AUG17	S	1	PD10NOV17	2374.00	2558.04	USD	-4,601.00	
10AUG17	S	1	PD10NOV17	2359.50	2558.04	USD	-4,963.50	
10AUG17	B	1	PD10NOV17	2359.00	2558.04	USD	4,976.00	
10AUG17	B	1	PD10NOV17	2355.00	2558.04	USD	5,076.00	
10AUG17	B	1	PD10NOV17	2383.00	2558.04	USD	4,376.00	
10AUG17	B	1	PD10NOV17	2367.00	2558.04	USD	4,776.00	
10AUG17	S	1	PD10NOV17	2364.50	2558.04	USD	-4,838.50	
11AUG17	S	1	PD10NOV17	2364.00	2558.04	USD	-4,851.00	
11AUG17	B	1	PD10NOV17	2355.00	2558.04	USD	5,076.00	
11AUG17	B	1	PD10NOV17	2358.00	2558.04	USD	5,001.00	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	B	1	PD10NOV17	2337.00	2558.04	USD	5,526.00	
11AUG17	S	1	PD10NOV17	2339.50	2558.04	USD	-5,463.50	
11AUG17	B	1	PD10NOV17	2340.50	2558.04	USD	5,438.50	
11AUG17	B	1	PD10NOV17	2347.00	2558.04	USD	5,276.00	
11AUG17	B	1	PD10NOV17	2348.50	2558.04	USD	5,238.50	
11AUG17	B	1	PD10NOV17	2350.00	2558.04	USD	5,201.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11AUG17	S	1	PD10NOV17	2338.50	2558.04	USD	-5,488.50	
11AUG17	S	1	PD10NOV17	2340.00	2558.04	USD	-5,451.00	
11AUG17	S	1	PD10NOV17	2340.50	2558.04	USD	-5,438.50	
11AUG17	S	1	PD10NOV17	2350.50	2558.04	USD	-5,188.50	
11AUG17	S	1	PD10NOV17	2352.50	2558.04	USD	-5,138.50	
11AUG17	B	1	PD10NOV17	2339.50	2558.04	USD	5,463.50	
11AUG17	B	2	PD10NOV17	2334.00	2558.04	USD	11,202.00	
11AUG17	B	1	PD10NOV17	2331.50	2558.04	USD	5,663.50	
11AUG17	B	1	PD10NOV17	2327.50	2558.04	USD	5,763.50	
11AUG17	B	1	PD10NOV17	2330.50	2558.04	USD	5,688.50	
11AUG17	B	1	PD10NOV17	2329.50	2558.04	USD	5,713.50	
15AUG17	S	2	PD10NOV17	2380.13	2558.04	USD	-8,895.50	
06SEP17	S	1	PD10NOV17	2332.73	2558.04	USD	-5,632.75	
	NET	0 AVG BGHT 2345.92	SOLD 2360.22				6,437.25	0.00
14AUG17	S	1	PD14NOV17	2336.00	2558.61	USD	-5,565.25	
14AUG17	S	1	PD14NOV17	2333.00	2558.61	USD	-5,640.25	
14AUG17	S	1	PD14NOV17	2346.00	2558.61	USD	-5,315.25	
14AUG17	S	1	PD14NOV17	2329.00	2558.61	USD	-5,740.25	
14AUG17	B	1	PD14NOV17	2339.00	2558.61	USD	5,490.25	
15AUG17	B	3	PD14NOV17	2381.03	2558.61	USD	13,318.50	
	NET	0 AVG BGHT 2370.52	SOLD 2336.00				-3,452.25	0.00
15AUG17	B	1	PD15NOV17	2369.50	2558.75	USD	4,731.25	
15AUG17	B	1	PD15NOV17	2370.00	2558.75	USD	4,718.75	
15AUG17	S	1	PD15NOV17	2366.00	2558.75	USD	-4,818.75	
15AUG17	B	1	PD15NOV17	2362.50	2558.75	USD	4,906.25	
15AUG17	B	1	PD15NOV17	2354.00	2558.75	USD	5,118.75	
15AUG17	S	1	PD15NOV17	2369.50	2558.75	USD	-4,731.25	
15AUG17	S	1	PD15NOV17	2386.00	2558.75	USD	-4,318.75	
15AUG17	B	1	PD15NOV17	2379.50	2558.75	USD	4,481.25	
15AUG17	B	1	PD15NOV17	2378.50	2558.75	USD	4,506.25	
15AUG17	S	1	PD15NOV17	2370.00	2558.75	USD	-4,718.75	
15AUG17	S	1	PD15NOV17	2368.00	2558.75	USD	-4,768.75	
15AUG17	S	1	PD15NOV17	2367.50	2558.75	USD	-4,781.25	
15AUG17	B	1	PD15NOV17	2367.50	2558.75	USD	4,781.25	
15AUG17	B	1	PD15NOV17	2366.00	2558.75	USD	4,818.75	
15AUG17	B	1	PD15NOV17	2364.00	2558.75	USD	4,868.75	
15AUG17	B	1	PD15NOV17	2372.00	2558.75	USD	4,668.75	
15AUG17	S	1	PD15NOV17	2378.00	2558.75	USD	-4,518.75	
15AUG17	S	1	PD15NOV17	2382.50	2558.75	USD	-4,406.25	
23AUG17	S	2	PD15NOV17	2375.25	2558.75	USD	-9,175.00	
	NET	0 AVG BGHT 2368.35	SOLD 2373.80				1,362.50	0.00
16AUG17	B	2	PD16NOV17	2392.00	2558.75	USD	8,337.50	
16AUG17	B	1	PD16NOV17	2419.50	2558.75	USD	3,481.25	
16AUG17	B	1	PD16NOV17	2415.00	2558.75	USD	3,593.75	
16AUG17	B	1	PD16NOV17	2451.00	2558.75	USD	2,693.75	
16AUG17	S	1	PD16NOV17	2477.50	2558.75	USD	-2,031.25	
16AUG17	S	1	PD16NOV17	2479.00	2558.75	USD	-1,993.75	
16AUG17	S	1	PD16NOV17	2479.50	2558.75	USD	-1,981.25	
16AUG17	S	1	PD16NOV17	2482.50	2558.75	USD	-1,906.25	
16AUG17	S	1	PD16NOV17	2488.00	2558.75	USD	-1,768.75	
16AUG17	B	1	PD16NOV17	2477.50	2558.75	USD	2,031.25	
16AUG17	B	1	PD16NOV17	2476.50	2558.75	USD	2,056.25	
16AUG17	B	1	PD16NOV17	2465.00	2558.75	USD	2,343.75	
16AUG17	B	1	PD16NOV17	2488.00	2558.75	USD	1,768.75	
16AUG17	B	1	PD16NOV17	2488.50	2558.75	USD	1,756.25	
16AUG17	B	1	PD16NOV17	2492.50	2558.75	USD	1,656.25	

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16AUG17	S	1	PD16NOV17		2500.00	2558.75	USD	-1,468.75	
16AUG17	S	1	PD16NOV17		2499.50	2558.75	USD	-1,481.25	
16AUG17	S	1	PD16NOV17		2499.00	2558.75	USD	-1,493.75	
16AUG17	S	1	PD16NOV17		2506.50	2558.75	USD	-1,306.25	
16AUG17	S	1	PD16NOV17		2512.00	2558.75	USD	-1,168.75	
16AUG17	S	1	PD16NOV17		2515.00	2558.75	USD	-1,093.75	
16AUG17	S	1	PD16NOV17		2504.00	2558.75	USD	-1,368.75	
11SEP17	B	1	PD16NOV17		2269.20	2558.75	USD	7,238.75	
	NET		0AVG BGHT 2435.56	SOLD 2495.21				17,895.00	0.00
17AUG17	B	1	PD17NOV17		2507.50	2558.75	USD	1,281.25	
17AUG17	S	1	PD17NOV17		2519.00	2558.75	USD	-993.75	
17AUG17	S	1	PD17NOV17		2533.00	2558.75	USD	-643.75	
17AUG17	S	1	PD17NOV17		2535.00	2558.75	USD	-593.75	
17AUG17	B	1	PD17NOV17		2519.00	2558.75	USD	993.75	
17AUG17	S	1	PD17NOV17		2510.00	2558.75	USD	-1,218.75	
17AUG17	S	1	PD17NOV17		2495.00	2558.75	USD	-1,593.75	
17AUG17	B	2	PD17NOV17		2469.00	2558.75	USD	4,487.50	
17AUG17	B	1	PD17NOV17		2468.50	2558.75	USD	2,256.25	
17AUG17	B	1	PD17NOV17		2469.50	2558.75	USD	2,231.25	
17AUG17	B	2	PD17NOV17		2466.00	2558.75	USD	4,637.50	
17AUG17	B	2	PD17NOV17		2466.50	2558.75	USD	4,612.50	
17AUG17	B	1	PD17NOV17		2465.00	2558.75	USD	2,343.75	
17AUG17	B	1	PD17NOV17		2463.00	2558.75	USD	2,393.75	
17AUG17	B	1	PD17NOV17		2464.50	2558.75	USD	2,356.25	
17AUG17	S	3	PD17NOV17		2458.00	2558.75	USD	-7,556.25	
17AUG17	S	1	PD17NOV17		2458.50	2558.75	USD	-2,506.25	
17AUG17	B	1	PD17NOV17		2457.00	2558.75	USD	2,543.75	
17AUG17	S	2	PD17NOV17		2460.00	2558.75	USD	-4,937.50	
17AUG17	B	1	PD17NOV17		2449.00	2558.75	USD	2,743.75	
17AUG17	S	1	PD17NOV17		2458.00	2558.75	USD	-2,518.75	
17AUG17	S	1	PD17NOV17		2408.00	2558.75	USD	-3,768.75	
18AUG17	B	1	PD17NOV17		2390.50	2558.75	USD	4,206.25	
18AUG17	B	1	PD17NOV17		2390.00	2558.75	USD	4,218.75	
18AUG17	B	1	PD17NOV17		2391.50	2558.75	USD	4,181.25	
18AUG17	S	1	PD17NOV17		2418.00	2558.75	USD	-3,518.75	
18AUG17	S	1	PD17NOV17		2448.00	2558.75	USD	-2,768.75	
18AUG17	S	1	PD17NOV17		2443.00	2558.75	USD	-2,893.75	
18AUG17	B	1	PD17NOV17		2443.50	2558.75	USD	2,881.25	
18AUG17	S	1	PD17NOV17		2405.50	2558.75	USD	-3,831.25	
18AUG17	S	3	PD17NOV17		2406.00	2558.75	USD	-11,456.25	
18AUG17	S	1	PD17NOV17		2404.50	2558.75	USD	-3,856.25	
18AUG17	S	1	PD17NOV17		2413.00	2558.75	USD	-3,643.75	
18AUG17	S	3	PD17NOV17		2400.00	2558.75	USD	-11,906.25	
18AUG17	S	1	PD17NOV17		2399.00	2558.75	USD	-3,993.75	
18AUG17	S	1	PD17NOV17		2390.00	2558.75	USD	-4,218.75	
18AUG17	B	1	PD17NOV17		2383.50	2558.75	USD	4,381.25	
18AUG17	S	1	PD17NOV17		2373.00	2558.75	USD	-4,643.75	
18AUG17	S	1	PD17NOV17		2374.00	2558.75	USD	-4,618.75	
18AUG17	S	2	PD17NOV17		2361.00	2558.75	USD	-9,887.50	
18AUG17	B	1	PD17NOV17		2394.50	2558.75	USD	4,106.25	
18AUG17	S	2	PD17NOV17		2379.50	2558.75	USD	-8,962.50	
18AUG17	B	1	PD17NOV17		2370.00	2558.75	USD	4,718.75	
18AUG17	B	1	PD17NOV17		2362.00	2558.75	USD	4,918.75	
18AUG17	S	1	PD17NOV17		2358.50	2558.75	USD	-5,006.25	
18AUG17	S	1	PD17NOV17		2364.50	2558.75	USD	-4,856.25	
18AUG17	S	2	PD17NOV17		2359.50	2558.75	USD	-9,962.50	
18AUG17	S	1	PD17NOV17		2360.50	2558.75	USD	-4,956.25	

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18AUG17	B	1	PD17NOV17	2364.50	2558.75	USD	4,856.25	
23AUG17	B	7	PD17NOV17	2375.45	2558.75	USD	32,077.50	
06SEP17	B	2	PD17NOV17	2334.98	2558.75	USD	11,188.50	
11SEP17	B	3	PD17NOV17	2269.52	2558.75	USD	21,692.25	
12SEP17	B	2	PD17NOV17	2304.01	2558.75	USD	12,737.00	
12SEP17	B	1	PD17NOV17	2303.81	2558.75	USD	6,373.50	
	NET	+1 AVG BGHT 2399.35	SOLD 2420.53				24,106.25	0.00
21AUG17	B	1	PD21NOV17	2367.00	2559.32	USD	4,808.00	
21AUG17	B	1	PD21NOV17	2366.00	2559.32	USD	4,833.00	
21AUG17	B	6	PD21NOV17	2390.00	2559.32	USD	25,398.00	
21AUG17	B	6	PD21NOV17	2365.00	2559.32	USD	29,148.00	
21AUG17	B	7	PD21NOV17	2365.50	2559.32	USD	33,918.50	
21AUG17	B	2	PD21NOV17	2396.00	2559.32	USD	8,166.00	
21AUG17	B	2	PD21NOV17	2361.50	2559.32	USD	9,891.00	
21AUG17	B	3	PD21NOV17	2362.50	2559.32	USD	14,761.50	
21AUG17	B	1	PD21NOV17	2389.50	2559.32	USD	4,245.50	
21AUG17	B	1	PD21NOV17	2380.50	2559.32	USD	4,470.50	
21AUG17	B	3	PD21NOV17	2383.00	2559.32	USD	13,224.00	
21AUG17	B	3	PD21NOV17	2381.50	2559.32	USD	13,336.50	
21AUG17	B	2	PD21NOV17	2381.00	2559.32	USD	8,916.00	
21AUG17	B	1	PD21NOV17	2394.00	2559.32	USD	4,133.00	
21AUG17	S	1	PD21NOV17	2381.00	2559.32	USD	-4,458.00	
21AUG17	B	1	PD21NOV17	2381.00	2559.32	USD	4,458.00	
21AUG17	S	1	PD21NOV17	2375.50	2559.32	USD	-4,595.50	
21AUG17	B	1	PD21NOV17	2375.00	2559.32	USD	4,608.00	
21AUG17	B	1	PD21NOV17	2372.50	2559.32	USD	4,670.50	
21AUG17	B	1	PD21NOV17	2345.50	2559.32	USD	5,345.50	
22AUG17	S	5	PD21NOV17	2415.55	2559.32	USD	-17,971.25	
12SEP17	S	1	PD21NOV17	2304.84	2559.32	USD	-6,362.00	
14SEP17	S	35	PD21NOV17	2300.46	2559.32	USD	-226,502.50	
	NET	0 AVG BGHT 2374.83	SOLD 2317.56				-61,557.75	0.00
22AUG17	S	1	PD22NOV17	2364.00	2559.46	USD	-4,886.50	
22AUG17	S	1	PD22NOV17	2336.50	2559.46	USD	-5,574.00	
22AUG17	B	1	PD22NOV17	2325.00	2559.46	USD	5,861.50	
22AUG17	B	1	PD22NOV17	2324.00	2559.46	USD	5,886.50	
22AUG17	S	1	PD22NOV17	2355.50	2559.46	USD	-5,099.00	
22AUG17	S	1	PD22NOV17	2352.00	2559.46	USD	-5,186.50	
22AUG17	S	1	PD22NOV17	2372.00	2559.46	USD	-4,686.50	
22AUG17	S	1	PD22NOV17	2400.50	2559.46	USD	-3,974.00	
22AUG17	S	1	PD22NOV17	2397.00	2559.46	USD	-4,061.50	
22AUG17	S	1	PD22NOV17	2394.00	2559.46	USD	-4,136.50	
22AUG17	S	1	PD22NOV17	2417.00	2559.46	USD	-3,561.50	
22AUG17	S	1	PD22NOV17	2419.00	2559.46	USD	-3,511.50	
22AUG17	S	1	PD22NOV17	2415.50	2559.46	USD	-3,599.00	
22AUG17	S	1	PD22NOV17	2415.00	2559.46	USD	-3,611.50	
22AUG17	B	1	PD22NOV17	2394.00	2559.46	USD	4,136.50	
22AUG17	B	1	PD22NOV17	2416.00	2559.46	USD	3,586.50	
22AUG17	B	1	PD22NOV17	2410.50	2559.46	USD	3,724.00	
22AUG17	B	1	PD22NOV17	2407.50	2559.46	USD	3,799.00	
22AUG17	B	1	PD22NOV17	2408.00	2559.46	USD	3,786.50	
22AUG17	S	1	PD22NOV17	2415.50	2559.46	USD	-3,599.00	
12SEP17	B	2	PD22NOV17	2305.55	2559.46	USD	12,695.50	
12SEP17	B	3	PD22NOV17	2305.35	2559.46	USD	19,058.25	
	NET	-1 AVG BGHT 2351.01	SOLD 2388.73				7,047.25	0.00
23AUG17	B	1	PD24NOV17	2406.50	2559.75	USD	3,831.25	
23AUG17	B	1	PD24NOV17	2396.50	2559.75	USD	4,081.25	

OPEN POSITION STATEMENT



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SAP0094X

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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23AUG17	B	1	PD24NOV17	2401.00	2559.75	USD	3,968.75	
23AUG17	B	1	PD24NOV17	2391.50	2559.75	USD	4,206.25	
23AUG17	B	3	PD24NOV17	2392.50	2559.75	USD	12,543.75	
23AUG17	B	8	PD24NOV17	2392.00	2559.75	USD	33,550.00	
23AUG17	B	8	PD24NOV17	2391.00	2559.75	USD	33,750.00	
23AUG17	B	6	PD24NOV17	2390.50	2559.75	USD	25,387.50	
23AUG17	B	8	PD24NOV17	2390.00	2559.75	USD	33,950.00	
23AUG17	B	2	PD24NOV17	2389.00	2559.75	USD	8,537.50	
23AUG17	B	4	PD24NOV17	2393.50	2559.75	USD	16,625.00	
23AUG17	B	1	PD24NOV17	2378.00	2559.75	USD	4,543.75	
23AUG17	B	1	PD24NOV17	2379.00	2559.75	USD	4,518.75	
23AUG17	S	1	PD24NOV17	2384.50	2559.75	USD	-4,381.25	
23AUG17	S	1	PD24NOV17	2388.00	2559.75	USD	-4,293.75	
24AUG17	S	8	PD24NOV17	2399.00	2559.75	USD	-32,150.00	
24AUG17	S	2	PD24NOV17	2396.50	2559.75	USD	-8,162.50	
24AUG17	B	1	PD24NOV17	2380.50	2559.75	USD	4,481.25	
24AUG17	B	1	PD24NOV17	2377.00	2559.75	USD	4,568.75	
24AUG17	S	1	PD24NOV17	2392.00	2559.75	USD	-4,193.75	
24AUG17	B	1	PD24NOV17	2353.00	2559.75	USD	5,168.75	
24AUG17	B	1	PD24NOV17	2350.50	2559.75	USD	5,231.25	
24AUG17	S	1	PD24NOV17	2352.50	2559.75	USD	-5,181.25	
24AUG17	B	1	PD24NOV17	2373.50	2559.75	USD	4,656.25	
24AUG17	B	2	PD24NOV17	2370.00	2559.75	USD	9,487.50	
24AUG17	B	3	PD24NOV17	2369.50	2559.75	USD	14,268.75	
24AUG17	B	2	PD24NOV17	2368.00	2559.75	USD	9,587.50	
24AUG17	B	1	PD24NOV17	2358.00	2559.75	USD	5,043.75	
24AUG17	S	1	PD24NOV17	2355.00	2559.75	USD	-5,118.75	
24AUG17	B	1	PD24NOV17	2356.00	2559.75	USD	5,093.75	
24AUG17	S	1	PD24NOV17	2357.00	2559.75	USD	-5,068.75	
24AUG17	B	2	PD24NOV17	2371.50	2559.75	USD	9,412.50	
24AUG17	S	1	PD24NOV17	2349.00	2559.75	USD	-5,268.75	
25AUG17	B	1	PD24NOV17	2369.00	2559.75	USD	4,768.75	
25AUG17	B	1	PD24NOV17	2368.50	2559.75	USD	4,781.25	
25AUG17	S	1	PD24NOV17	2361.00	2559.75	USD	-4,968.75	
25AUG17	S	1	PD24NOV17	2355.50	2559.75	USD	-5,106.25	
25AUG17	S	1	PD24NOV17	2349.00	2559.75	USD	-5,268.75	
25AUG17	S	1	PD24NOV17	2342.00	2559.75	USD	-5,443.75	
25AUG17	S	2	PD24NOV17	2344.00	2559.75	USD	-10,787.50	
25AUG17	S	1	PD24NOV17	2342.50	2559.75	USD	-5,431.25	
25AUG17	S	2	PD24NOV17	2341.00	2559.75	USD	-10,937.50	
25AUG17	S	4	PD24NOV17	2341.50	2559.75	USD	-21,825.00	
25AUG17	S	1	PD24NOV17	2342.00	2559.75	USD	-5,443.75	
25AUG17	S	1	PD24NOV17	2321.00	2559.75	USD	-5,968.75	
25AUG17	S	1	PD24NOV17	2324.00	2559.75	USD	-5,893.75	
25AUG17	S	2	PD24NOV17	2324.50	2559.75	USD	-11,762.50	
25AUG17	S	1	PD24NOV17	2328.00	2559.75	USD	-5,793.75	
25AUG17	B	1	PD24NOV17	2327.00	2559.75	USD	5,818.75	
25AUG17	B	1	PD24NOV17	2330.00	2559.75	USD	5,743.75	
25AUG17	B	2	PD24NOV17	2326.00	2559.75	USD	11,687.50	
25AUG17	S	1	PD24NOV17	2326.50	2559.75	USD	-5,831.25	
25AUG17	S	3	PD24NOV17	2327.00	2559.75	USD	-17,456.25	
25AUG17	S	4	PD24NOV17	2325.00	2559.75	USD	-23,475.00	
30AUG17	S	1	PD24NOV17	2368.39	2559.75	USD	-4,784.00	
12SEP17	S	2	PD24NOV17	2305.76	2559.75	USD	-12,699.50	
14SEP17	S	20	PD24NOV17	2301.31	2559.75	USD	-129,220.00	
	NET	0 AVG BGHT 2381.07	SOLD 2337.71				-72,622.25	0.00
29AUG17	B	1	PD29NOV17	2344.00	2560.20	USD	5,405.00	

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29AUG17	B	2	PD29NOV17	2343.00	2560.20	USD	10,860.00	
29AUG17	B	1	PD29NOV17	2346.00	2560.20	USD	5,355.00	
29AUG17	S	1	PD29NOV17	2341.00	2560.20	USD	-5,480.00	
29AUG17	B	1	PD29NOV17	2341.00	2560.20	USD	5,480.00	
29AUG17	S	1	PD29NOV17	2340.50	2560.20	USD	-5,492.50	
29AUG17	B	1	PD29NOV17	2347.50	2560.20	USD	5,317.50	
29AUG17	S	1	PD29NOV17	2353.00	2560.20	USD	-5,180.00	
29AUG17	S	1	PD29NOV17	2350.00	2560.20	USD	-5,255.00	
29AUG17	S	1	PD29NOV17	2389.00	2560.20	USD	-4,280.00	
29AUG17	S	1	PD29NOV17	2344.50	2560.20	USD	-5,392.50	
29AUG17	S	4	PD29NOV17	2395.50	2560.20	USD	-16,470.00	
29AUG17	S	4	PD29NOV17	2395.00	2560.20	USD	-16,520.00	
29AUG17	S	2	PD29NOV17	2393.50	2560.20	USD	-8,335.00	
29AUG17	S	1	PD29NOV17	2394.50	2560.20	USD	-4,142.50	
30AUG17	B	1	PD29NOV17	2369.93	2560.20	USD	4,756.75	
05SEP17	B	10	PD29NOV17	2332.38	2560.20	USD	56,955.00	
	NET	0 AVG BGHT 2338.72	SOLD 2380.09				17,581.75	0.00
30AUG17	B	2	PD30NOV17	2392.00	2560.30	USD	8,415.00	
30AUG17	B	1	PD30NOV17	2393.50	2560.30	USD	4,170.00	
30AUG17	B	2	PD30NOV17	2394.00	2560.30	USD	8,315.00	
30AUG17	B	4	PD30NOV17	2390.00	2560.30	USD	17,030.00	
30AUG17	B	2	PD30NOV17	2391.50	2560.30	USD	8,440.00	
30AUG17	B	3	PD30NOV17	2393.00	2560.30	USD	12,547.50	
30AUG17	B	1	PD30NOV17	2398.50	2560.30	USD	4,045.00	
30AUG17	B	1	PD30NOV17	2396.00	2560.30	USD	4,107.50	
30AUG17	B	1	PD30NOV17	2395.00	2560.30	USD	4,132.50	
30AUG17	B	1	PD30NOV17	2375.00	2560.30	USD	4,632.50	
30AUG17	S	1	PD30NOV17	2370.50	2560.30	USD	-4,745.00	
30AUG17	S	1	PD30NOV17	2365.00	2560.30	USD	-4,882.50	
30AUG17	S	1	PD30NOV17	2383.00	2560.30	USD	-4,432.50	
30AUG17	S	1	PD30NOV17	2392.50	2560.30	USD	-4,195.00	
30AUG17	B	1	PD30NOV17	2381.50	2560.30	USD	4,470.00	
30AUG17	B	1	PD30NOV17	2379.00	2560.30	USD	4,532.50	
30AUG17	B	1	PD30NOV17	2377.00	2560.30	USD	4,582.50	
30AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
30AUG17	B	1	PD30NOV17	2378.50	2560.30	USD	4,545.00	
31AUG17	S	1	PD30NOV17	2385.50	2560.30	USD	-4,370.00	
31AUG17	S	1	PD30NOV17	2384.00	2560.30	USD	-4,407.50	
31AUG17	B	1	PD30NOV17	2389.00	2560.30	USD	4,282.50	
31AUG17	S	1	PD30NOV17	2377.50	2560.30	USD	-4,570.00	
31AUG17	S	1	PD30NOV17	2374.50	2560.30	USD	-4,645.00	
31AUG17	S	1	PD30NOV17	2385.00	2560.30	USD	-4,382.50	
31AUG17	B	1	PD30NOV17	2386.50	2560.30	USD	4,345.00	
31AUG17	B	1	PD30NOV17	2386.00	2560.30	USD	4,357.50	
31AUG17	B	1	PD30NOV17	2396.00	2560.30	USD	4,107.50	
31AUG17	B	1	PD30NOV17	2382.50	2560.30	USD	4,445.00	
31AUG17	S	2	PD30NOV17	2386.00	2560.30	USD	-8,715.00	
06SEP17	S	2	PD30NOV17	2338.35	2560.30	USD	-11,097.50	
11SEP17	S	1	PD30NOV17	2273.48	2560.30	USD	-7,170.50	
12SEP17	S	1	PD30NOV17	2307.61	2560.30	USD	-6,317.25	
12SEP17	S	1	PD30NOV17	2307.81	2560.30	USD	-6,312.25	
14SEP17	S	4	PD30NOV17	2303.02	2560.30	USD	-25,728.00	
21SEP17	S	2	PD30NOV17	2517.71	2560.30	USD	-2,129.50	
25SEP17	S	2	PD30NOV17	2474.14	2560.30	USD	-4,308.00	
29SEP17	S	2	PD30NOV17	2490.58	2560.30	USD	-3,486.00	
	NET	0 AVG BGHT 2389.19	SOLD 2381.85				-4,949.00	0.00
01SEP17	B	1	PD01DEC17	2406.00	2560.39	USD	3,859.75	

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01SEP17	S	1	PD01DEC17	2393.00	2560.39	USD	-4,184.75	
01SEP17	B	1	PD01DEC17	2406.50	2560.39	USD	3,847.25	
01SEP17	B	1	PD01DEC17	2408.50	2560.39	USD	3,797.25	
01SEP17	B	1	PD01DEC17	2404.00	2560.39	USD	3,909.75	
01SEP17	B	1	PD01DEC17	2407.00	2560.39	USD	3,834.75	
01SEP17	B	1	PD01DEC17	2394.00	2560.39	USD	4,159.75	
01SEP17	B	1	PD01DEC17	2391.50	2560.39	USD	4,222.25	
01SEP17	S	1	PD01DEC17	2399.00	2560.39	USD	-4,034.75	
11SEP17	S	1	PD01DEC17	2273.80	2560.39	USD	-7,164.75	
12SEP17	S	2	PD01DEC17	2308.12	2560.39	USD	-12,613.50	
19SEP17	S	2	PD01DEC17	2416.75	2560.39	USD	-7,182.00	
	NET	0 AVG BGHT 2402.50	SOLD 2359.36				-7,549.00	0.00
04SEP17	S	1	PD04DEC17	2413.00	2560.66	USD	-3,691.50	
04SEP17	B	1	PD04DEC17	2417.00	2560.66	USD	3,591.50	
04SEP17	S	1	PD04DEC17	2398.00	2560.66	USD	-4,066.50	
19SEP17	B	1	PD04DEC17	2417.70	2560.66	USD	3,574.00	
	NET	0 AVG BGHT 2417.35	SOLD 2405.50				-592.50	0.00
05SEP17	B	1	PD05DEC17	2363.50	2560.75	USD	4,931.25	
05SEP17	S	1	PD05DEC17	2370.00	2560.75	USD	-4,768.75	
05SEP17	B	1	PD05DEC17	2346.00	2560.75	USD	5,368.75	
05SEP17	B	1	PD05DEC17	2344.00	2560.75	USD	5,418.75	
05SEP17	S	1	PD05DEC17	2327.00	2560.75	USD	-5,843.75	
05SEP17	B	1	PD05DEC17	2337.00	2560.75	USD	5,593.75	
05SEP17	S	1	PD05DEC17	2333.50	2560.75	USD	-5,681.25	
05SEP17	S	1	PD05DEC17	2334.00	2560.75	USD	-5,668.75	
05SEP17	S	3	PD05DEC17	2325.00	2560.75	USD	-17,681.25	
05SEP17	B	1	PD05DEC17	2328.00	2560.75	USD	5,818.75	
05SEP17	S	2	PD05DEC17	2327.50	2560.75	USD	-11,662.50	
05SEP17	S	1	PD05DEC17	2332.00	2560.75	USD	-5,718.75	
06SEP17	B	2	PD05DEC17	2339.93	2560.75	USD	11,041.00	
11SEP17	B	1	PD05DEC17	2275.28	2560.75	USD	7,136.75	
12SEP17	B	2	PD05DEC17	2309.55	2560.75	USD	12,560.00	
	NET	0 AVG BGHT 2329.27	SOLD 2332.65				844.00	0.00
06SEP17	B	1	PD06DEC17	2328.50	2561.00	USD	5,812.50	
06SEP17	B	1	PD06DEC17	2328.00	2561.00	USD	5,825.00	
06SEP17	B	1	PD06DEC17	2336.50	2561.00	USD	5,612.50	
06SEP17	S	1	PD06DEC17	2322.00	2561.00	USD	-5,975.00	
06SEP17	S	1	PD06DEC17	2348.00	2561.00	USD	-5,325.00	
06SEP17	B	1	PD06DEC17	2346.00	2561.00	USD	5,375.00	
06SEP17	B	1	PD06DEC17	2343.00	2561.00	USD	5,450.00	
06SEP17	B	1	PD06DEC17	2342.00	2561.00	USD	5,475.00	
06SEP17	B	1	PD06DEC17	2343.50	2561.00	USD	5,437.50	
06SEP17	S	1	PD06DEC17	2342.50	2561.00	USD	-5,462.50	
06SEP17	S	1	PD06DEC17	2353.50	2561.00	USD	-5,187.50	
11SEP17	S	1	PD06DEC17	2275.40	2561.00	USD	-7,140.00	
12SEP17	S	1	PD06DEC17	2309.65	2561.00	USD	-6,283.75	
20SEP17	S	1	PD06DEC17	2457.80	2561.00	USD	-2,580.00	
	NET	0 AVG BGHT 2338.21	SOLD 2344.12				1,033.75	0.00
07SEP17	S	1	PD07DEC17	2345.50	2561.25	USD	-5,393.75	
07SEP17	B	1	PD07DEC17	2344.50	2561.25	USD	5,418.75	
07SEP17	S	1	PD07DEC17	2341.50	2561.25	USD	-5,493.75	
07SEP17	S	1	PD07DEC17	2335.50	2561.25	USD	-5,643.75	
07SEP17	S	1	PD07DEC17	2334.50	2561.25	USD	-5,668.75	
07SEP17	S	2	PD07DEC17	2334.00	2561.25	USD	-11,362.50	
07SEP17	B	1	PD07DEC17	2334.00	2561.25	USD	5,681.25	
07SEP17	B	1	PD07DEC17	2333.50	2561.25	USD	5,693.75	

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07SEP17	B	1	PD07DEC17	2336.50	2561.25	USD	5,618.75	
07SEP17	S	1	PD07DEC17	2348.00	2561.25	USD	-5,331.25	
07SEP17	S	1	PD07DEC17	2354.00	2561.25	USD	-5,181.25	
07SEP17	B	1	PD07DEC17	2363.00	2561.25	USD	4,956.25	
07SEP17	B	1	PD07DEC17	2350.00	2561.25	USD	5,281.25	
07SEP17	B	1	PD07DEC17	2346.00	2561.25	USD	5,381.25	
07SEP17	B	1	PD07DEC17	2341.00	2561.25	USD	5,506.25	
07SEP17	B	1	PD07DEC17	2341.50	2561.25	USD	5,493.75	
07SEP17	S	1	PD07DEC17	2342.50	2561.25	USD	-5,468.75	
07SEP17	S	1	PD07DEC17	2337.50	2561.25	USD	-5,593.75	
11SEP17	B	1	PD07DEC17	2275.92	2561.25	USD	7,133.25	
12SEP17	B	1	PD07DEC17	2310.16	2561.25	USD	6,277.25	
19SEP17	S	1	PD07DEC17	2418.25	2561.25	USD	-3,575.00	
	NET	0 AVG BGHT 2334.19	SOLD 2347.75				3,729.25	0.00
08SEP17	B	1	PD08DEC17	2344.50	2561.50	USD	5,425.00	
08SEP17	S	1	PD08DEC17	2343.50	2561.50	USD	-5,450.00	
08SEP17	S	4	PD08DEC17	2344.50	2561.50	USD	-21,700.00	
08SEP17	S	2	PD08DEC17	2343.00	2561.50	USD	-10,925.00	
08SEP17	S	1	PD08DEC17	2342.50	2561.50	USD	-5,475.00	
08SEP17	S	6	PD08DEC17	2342.00	2561.50	USD	-32,925.00	
08SEP17	S	1	PD08DEC17	2341.50	2561.50	USD	-5,500.00	
08SEP17	S	5	PD08DEC17	2337.00	2561.50	USD	-28,062.50	
08SEP17	S	5	PD08DEC17	2338.00	2561.50	USD	-27,937.50	
08SEP17	S	4	PD08DEC17	2338.50	2561.50	USD	-22,300.00	
08SEP17	B	1	PD08DEC17	2349.50	2561.50	USD	5,300.00	
08SEP17	B	1	PD08DEC17	2354.00	2561.50	USD	5,187.50	
08SEP17	B	1	PD08DEC17	2355.00	2561.50	USD	5,162.50	
08SEP17	S	1	PD08DEC17	2333.50	2561.50	USD	-5,700.00	
08SEP17	S	1	PD08DEC17	2335.50	2561.50	USD	-5,650.00	
08SEP17	S	1	PD08DEC17	2331.50	2561.50	USD	-5,750.00	
08SEP17	S	1	PD08DEC17	2328.50	2561.50	USD	-5,825.00	
08SEP17	S	1	PD08DEC17	2320.00	2561.50	USD	-6,037.50	
08SEP17	S	1	PD08DEC17	2317.50	2561.50	USD	-6,100.00	
08SEP17	S	1	PD08DEC17	2314.00	2561.50	USD	-6,187.50	
08SEP17	S	7	PD08DEC17	2313.50	2561.50	USD	-43,400.00	
08SEP17	S	1	PD08DEC17	2316.00	2561.50	USD	-6,137.50	
08SEP17	B	1	PD08DEC17	2316.50	2561.50	USD	6,125.00	
08SEP17	B	2	PD08DEC17	2294.50	2561.50	USD	13,350.00	
08SEP17	S	4	PD08DEC17	2301.00	2561.50	USD	-26,050.00	
08SEP17	S	5	PD08DEC17	2304.50	2561.50	USD	-32,125.00	
08SEP17	S	5	PD08DEC17	2295.50	2561.50	USD	-33,250.00	
08SEP17	S	1	PD08DEC17	2295.00	2561.50	USD	-6,662.50	
08SEP17	S	4	PD08DEC17	2294.50	2561.50	USD	-26,700.00	
08SEP17	S	1	PD08DEC17	2281.50	2561.50	USD	-7,000.00	
08SEP17	B	1	PD08DEC17	2265.50	2561.50	USD	7,400.00	
08SEP17	S	1	PD08DEC17	2264.00	2561.50	USD	-7,437.50	
11SEP17	B	1	PD08DEC17	2276.24	2561.50	USD	7,131.50	
12SEP17	B	1	PD08DEC17	2310.47	2561.50	USD	6,275.75	
14SEP17	B	54	PD08DEC17	2305.49	2561.50	USD	345,613.50	
19SEP17	B	1	PD08DEC17	2418.70	2561.50	USD	3,570.00	
	NET	0 AVG BGHT 2308.86	SOLD 2321.32				20,253.25	0.00
11SEP17	B	1	PD11DEC17	2272.00	2562.25	USD	7,256.25	
11SEP17	B	1	PD11DEC17	2270.50	2562.25	USD	7,293.75	
11SEP17	B	1	PD11DEC17	2285.50	2562.25	USD	6,918.75	
11SEP17	B	1	PD11DEC17	2279.50	2562.25	USD	7,068.75	
11SEP17	B	1	PD11DEC17	2274.50	2562.25	USD	7,193.75	
11SEP17	B	1	PD11DEC17	2279.00	2562.25	USD	7,081.25	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11SEP17	B	1	PD11DEC17	2272.50	2562.25	USD	7,243.75	
11SEP17	B	1	PD11DEC17	2267.00	2562.25	USD	7,381.25	
11SEP17	B	1	PD11DEC17	2273.00	2562.25	USD	7,231.25	
11SEP17	B	1	PD11DEC17	2274.00	2562.25	USD	7,206.25	
11SEP17	S	1	PD11DEC17	2278.50	2562.25	USD	-7,093.75	
11SEP17	B	1	PD11DEC17	2278.00	2562.25	USD	7,106.25	
19SEP17	S	3	PD11DEC17	2419.25	2562.25	USD	-10,725.00	
20SEP17	S	4	PD11DEC17	2458.59	2562.25	USD	-10,366.00	
	NET	+3 AVG BGHT 2275.05	SOLD 2421.33				50,796.50	0.00
12SEP17	S	1	PD12DEC17	2278.50	2562.50	USD	-7,100.00	
12SEP17	S	1	PD12DEC17	2269.50	2562.50	USD	-7,325.00	
12SEP17	B	1	PD12DEC17	2272.00	2562.50	USD	7,262.50	
12SEP17	S	2	PD12DEC17	2268.00	2562.50	USD	-14,725.00	
12SEP17	B	1	PD12DEC17	2289.50	2562.50	USD	6,825.00	
12SEP17	S	1	PD12DEC17	2258.50	2562.50	USD	-7,600.00	
12SEP17	S	2	PD12DEC17	2273.50	2562.50	USD	-14,450.00	
12SEP17	B	1	PD12DEC17	2275.50	2562.50	USD	7,175.00	
12SEP17	B	1	PD12DEC17	2273.50	2562.50	USD	7,225.00	
12SEP17	S	3	PD12DEC17	2275.00	2562.50	USD	-21,562.50	
12SEP17	B	2	PD12DEC17	2283.00	2562.50	USD	13,975.00	
12SEP17	S	1	PD12DEC17	2286.50	2562.50	USD	-6,900.00	
12SEP17	S	1	PD12DEC17	2303.50	2562.50	USD	-6,475.00	
12SEP17	B	1	PD12DEC17	2309.50	2562.50	USD	6,325.00	
12SEP17	S	1	PD12DEC17	2304.50	2562.50	USD	-6,450.00	
14SEP17	B	5	PD12DEC17	2306.63	2562.50	USD	31,983.75	
19SEP17	B	1	PD12DEC17	2419.70	2562.50	USD	3,570.00	
	NET	0 AVG BGHT 2302.99	SOLD 2277.62				-8,246.25	0.00
13SEP17	B	1	PD13DEC17	2308.50	2562.75	USD	6,356.25	
13SEP17	S	1	PD13DEC17	2310.00	2562.75	USD	-6,318.75	
13SEP17	S	1	PD13DEC17	2324.50	2562.75	USD	-5,956.25	
13SEP17	B	1	PD13DEC17	2290.50	2562.75	USD	6,806.25	
13SEP17	B	1	PD13DEC17	2288.00	2562.75	USD	6,868.75	
13SEP17	S	2	PD13DEC17	2301.00	2562.75	USD	-13,087.50	
13SEP17	S	1	PD13DEC17	2307.00	2562.75	USD	-6,393.75	
13SEP17	S	1	PD13DEC17	2294.00	2562.75	USD	-6,718.75	
13SEP17	S	2	PD13DEC17	2294.00	2562.75	USD	-13,437.50	
13SEP17	B	1	PD13DEC17	2299.50	2562.75	USD	6,581.25	
13SEP17	B	1	PD13DEC17	2299.00	2562.75	USD	6,593.75	
13SEP17	B	2	PD13DEC17	2291.00	2562.75	USD	13,587.50	
13SEP17	S	4	PD13DEC17	2295.00	2562.75	USD	-26,775.00	
19SEP17	B	1	PD13DEC17	2419.95	2562.75	USD	3,570.00	
20SEP17	B	3	PD13DEC17	2459.10	2562.75	USD	7,773.75	
	NET	-1 AVG BGHT 2351.34	SOLD 2300.46				-20,550.00	0.00
14SEP17	B	2	PD14DEC17	2302.00	2563.00	USD	13,050.00	
14SEP17	S	1	PD14DEC17	2294.00	2563.00	USD	-6,725.00	
14SEP17	B	1	PD14DEC17	2294.50	2563.00	USD	6,712.50	
14SEP17	S	1	PD14DEC17	2288.50	2563.00	USD	-6,862.50	
14SEP17	S	1	PD14DEC17	2287.50	2563.00	USD	-6,887.50	
14SEP17	S	1	PD14DEC17	2309.00	2563.00	USD	-6,350.00	
14SEP17	B	1	PD14DEC17	2309.00	2563.00	USD	6,350.00	
14SEP17	B	1	PD14DEC17	2306.50	2563.00	USD	6,412.50	
14SEP17	S	2	PD14DEC17	2308.50	2563.00	USD	-12,725.00	
14SEP17	S	1	PD14DEC17	2311.00	2563.00	USD	-6,300.00	
14SEP17	S	2	PD14DEC17	2322.50	2563.00	USD	-12,025.00	
14SEP17	S	1	PD14DEC17	2323.50	2563.00	USD	-5,987.50	
19SEP17	B	1	PD14DEC17	2420.20	2563.00	USD	3,570.00	

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20SEP17	B	2	PD14DEC17	2459.26	2563.00	USD	5,187.00	
21SEP17	B	2	PD14DEC17	2518.11	2563.00	USD	2,244.50	
	NET	0AVG	BGHT 2388.89				-20,336.00	0.00
			SOLD 2307.55					
15SEP17	B	1	PD15DEC17	2329.00	2563.25	USD	5,856.25	
15SEP17	B	1	PD15DEC17	2335.50	2563.25	USD	5,693.75	
15SEP17	B	3	PD15DEC17	2334.50	2563.25	USD	17,156.25	
15SEP17	B	2	PD15DEC17	2333.50	2563.25	USD	11,487.50	
15SEP17	B	6	PD15DEC17	2332.50	2563.25	USD	34,612.50	
15SEP17	S	1	PD15DEC17	2330.00	2563.25	USD	-5,831.25	
15SEP17	S	2	PD15DEC17	2331.00	2563.25	USD	-11,612.50	
15SEP17	S	2	PD15DEC17	2328.00	2563.25	USD	-11,762.50	
15SEP17	S	2	PD15DEC17	2325.50	2563.25	USD	-11,887.50	
15SEP17	S	2	PD15DEC17	2324.50	2563.25	USD	-11,937.50	
15SEP17	S	1	PD15DEC17	2324.00	2563.25	USD	-5,981.25	
15SEP17	S	1	PD15DEC17	2327.50	2563.25	USD	-5,893.75	
15SEP17	S	2	PD15DEC17	2325.00	2563.25	USD	-11,912.50	
15SEP17	S	1	PD15DEC17	2319.50	2563.25	USD	-6,093.75	
15SEP17	S	1	PD15DEC17	2320.50	2563.25	USD	-6,068.75	
15SEP17	B	1	PD15DEC17	2318.50	2563.25	USD	6,118.75	
15SEP17	B	1	PD15DEC17	2314.50	2563.25	USD	6,218.75	
15SEP17	S	1	PD15DEC17	2320.00	2563.25	USD	-6,081.25	
15SEP17	S	2	PD15DEC17	2319.00	2563.25	USD	-12,212.50	
15SEP17	S	2	PD15DEC17	2329.00	2563.25	USD	-11,712.50	
15SEP17	S	1	PD15DEC17	2328.00	2563.25	USD	-5,881.25	
15SEP17	B	1	PD15DEC17	2313.50	2563.25	USD	6,243.75	
15SEP17	S	1	PD15DEC17	2312.50	2563.25	USD	-6,268.75	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
15SEP17	S	1	PD15DEC17	2346.00	2563.25	USD	-5,431.25	
15SEP17	S	1	PD15DEC17	2346.50	2563.25	USD	-5,418.75	
15SEP17	S	2	PD15DEC17	2348.00	2563.25	USD	-10,762.50	
15SEP17	S	2	PD15DEC17	2349.00	2563.25	USD	-10,712.50	
15SEP17	B	2	PD15DEC17	2351.50	2563.25	USD	10,587.50	
15SEP17	B	2	PD15DEC17	2349.50	2563.25	USD	10,687.50	
15SEP17	B	1	PD15DEC17	2349.00	2563.25	USD	5,356.25	
15SEP17	B	2	PD15DEC17	2345.50	2563.25	USD	10,887.50	
15SEP17	B	1	PD15DEC17	2342.50	2563.25	USD	5,518.75	
15SEP17	S	1	PD15DEC17	2355.00	2563.25	USD	-5,206.25	
15SEP17	B	1	PD15DEC17	2338.00	2563.25	USD	5,631.25	
15SEP17	S	1	PD15DEC17	2350.50	2563.25	USD	-5,318.75	
15SEP17	S	3	PD15DEC17	2350.00	2563.25	USD	-15,993.75	
15SEP17	S	1	PD15DEC17	2360.50	2563.25	USD	-5,068.75	
15SEP17	B	1	PD15DEC17	2361.00	2563.25	USD	5,056.25	
19SEP17	B	1	PD15DEC17	2420.45	2563.25	USD	3,570.00	
20SEP17	B	4	PD15DEC17	2459.21	2563.25	USD	10,404.00	
	NET	-4AVG	BGHT 2355.40				-39,332.25	0.00
			SOLD 2334.20					
18SEP17	B	1	PD18DEC17	2395.00	2564.00	USD	4,225.00	
18SEP17	B	2	PD18DEC17	2396.00	2564.00	USD	8,400.00	
18SEP17	B	2	PD18DEC17	2405.00	2564.00	USD	7,950.00	
18SEP17	B	1	PD18DEC17	2403.00	2564.00	USD	4,025.00	
18SEP17	B	1	PD18DEC17	2388.00	2564.00	USD	4,400.00	
18SEP17	S	1	PD18DEC17	2400.50	2564.00	USD	-4,087.50	
18SEP17	B	2	PD18DEC17	2392.00	2564.00	USD	8,600.00	
18SEP17	B	1	PD18DEC17	2391.00	2564.00	USD	4,325.00	
18SEP17	S	1	PD18DEC17	2384.50	2564.00	USD	-4,487.50	
18SEP17	S	1	PD18DEC17	2388.00	2564.00	USD	-4,400.00	
20SEP17	S	2	PD18DEC17	2459.49	2564.00	USD	-5,225.50	
03OCT17	S	1	PD18DEC17	2592.24	2564.00	USD	706.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	+4 AVG BGHT	2396.30	SOLD 2447.37				24,430.50	0.00
19SEP17	B	1	PD19DEC17		2384.00	2564.25	USD	4,506.25	
19SEP17	B	2	PD19DEC17		2391.00	2564.25	USD	8,662.50	
19SEP17	S	1	PD19DEC17		2389.50	2564.25	USD	-4,368.75	
19SEP17	S	2	PD19DEC17		2385.50	2564.25	USD	-8,937.50	
19SEP17	S	1	PD19DEC17		2411.00	2564.25	USD	-3,831.25	
19SEP17	S	1	PD19DEC17		2412.00	2564.25	USD	-3,806.25	
19SEP17	S	1	PD19DEC17		2411.50	2564.25	USD	-3,818.75	
19SEP17	B	1	PD19DEC17		2438.00	2564.25	USD	3,156.25	
19SEP17	B	1	PD19DEC17		2437.00	2564.25	USD	3,181.25	
19SEP17	S	1	PD19DEC17		2411.00	2564.25	USD	-3,831.25	
19SEP17	B	1	PD19DEC17		2437.50	2564.25	USD	3,168.75	
19SEP17	B	1	PD19DEC17		2430.00	2564.25	USD	3,356.25	
19SEP17	B	1	PD19DEC17		2433.00	2564.25	USD	3,281.25	
19SEP17	B	2	PD19DEC17		2424.00	2564.25	USD	7,012.50	
19SEP17	B	2	PD19DEC17		2422.00	2564.25	USD	7,112.50	
19SEP17	S	1	PD19DEC17		2422.00	2564.25	USD	-3,556.25	
19SEP17	S	1	PD19DEC17		2421.00	2564.25	USD	-3,581.25	
20SEP17	S	2	PD19DEC17		2459.64	2564.25	USD	-5,230.50	
	NET	+1 AVG BGHT	2419.46	SOLD 2415.30				2,475.75	0.00
20SEP17	B	1	PD20DEC17		2438.00	2564.50	USD	3,162.50	
20SEP17	B	1	PD20DEC17		2444.00	2564.50	USD	3,012.50	
20SEP17	B	1	PD20DEC17		2446.50	2564.50	USD	2,950.00	
20SEP17	B	1	PD20DEC17		2454.50	2564.50	USD	2,750.00	
20SEP17	S	1	PD20DEC17		2454.50	2564.50	USD	-2,750.00	
20SEP17	B	1	PD20DEC17		2453.00	2564.50	USD	2,787.50	
20SEP17	B	1	PD20DEC17		2467.00	2564.50	USD	2,437.50	
20SEP17	S	1	PD20DEC17		2460.00	2564.50	USD	-2,612.50	
20SEP17	S	1	PD20DEC17		2452.00	2564.50	USD	-2,812.50	
20SEP17	B	1	PD20DEC17		2459.50	2564.50	USD	2,625.00	
03OCT17	S	1	PD20DEC17		2592.50	2564.50	USD	700.00	
	NET	+3 AVG BGHT	2451.79	SOLD 2489.75				12,250.00	0.00
21SEP17	B	1	PD21DEC17		2452.00	2563.50	USD	2,787.50	
21SEP17	B	1	PD21DEC17		2443.00	2563.50	USD	3,012.50	
21SEP17	S	1	PD21DEC17		2439.00	2563.50	USD	-3,112.50	
21SEP17	B	1	PD21DEC17		2442.50	2563.50	USD	3,025.00	
21SEP17	S	1	PD21DEC17		2433.50	2563.50	USD	-3,250.00	
21SEP17	S	1	PD21DEC17		2432.50	2563.50	USD	-3,275.00	
21SEP17	B	1	PD21DEC17		2429.50	2563.50	USD	3,350.00	
21SEP17	B	1	PD21DEC17		2432.00	2563.50	USD	3,287.50	
21SEP17	S	1	PD21DEC17		2435.50	2563.50	USD	-3,200.00	
21SEP17	B	1	PD21DEC17		2430.00	2563.50	USD	3,337.50	
21SEP17	S	2	PD21DEC17		2480.00	2563.50	USD	-4,175.00	
21SEP17	S	1	PD21DEC17		2473.50	2563.50	USD	-2,250.00	
21SEP17	B	1	PD21DEC17		2480.50	2563.50	USD	2,075.00	
21SEP17	B	2	PD21DEC17		2481.50	2563.50	USD	4,100.00	
21SEP17	S	1	PD21DEC17		2483.50	2563.50	USD	-2,000.00	
21SEP17	S	1	PD21DEC17		2491.00	2563.50	USD	-1,812.50	
21SEP17	S	1	PD21DEC17		2499.50	2563.50	USD	-1,600.00	
21SEP17	S	1	PD21DEC17		2478.00	2563.50	USD	-2,137.50	
21SEP17	S	1	PD21DEC17		2471.50	2563.50	USD	-2,300.00	
21SEP17	S	1	PD21DEC17		2506.00	2563.50	USD	-1,437.50	
21SEP17	S	1	PD21DEC17		2482.50	2563.50	USD	-2,025.00	
21SEP17	S	3	PD21DEC17		2474.00	2563.50	USD	-6,712.50	
21SEP17	S	1	PD21DEC17		2463.50	2563.50	USD	-2,500.00	
21SEP17	B	1	PD21DEC17		2440.50	2563.50	USD	3,075.00	

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21SEP17	B	1	PD21DEC17	2453.00	2563.50	USD	2,762.50	
21SEP17	S	1	PD21DEC17	2451.50	2563.50	USD	-2,800.00	
21SEP17	S	1	PD21DEC17	2450.00	2563.50	USD	-2,837.50	
25SEP17	B	2	PD21DEC17	2474.20	2563.50	USD	4,465.00	
03OCT17	B	2	PD21DEC17	2592.49	2563.50	USD	-1,449.50	
	NET	-5 AVG	BGHT 2473.29	SOLD 2468.65			-13,597.00	0.00
22SEP17	B	1	PD22DEC17	2438.00	2563.50	USD	3,137.50	
22SEP17	B	2	PD22DEC17	2435.00	2563.50	USD	6,425.00	
22SEP17	B	1	PD22DEC17	2413.50	2563.50	USD	3,750.00	
22SEP17	S	1	PD22DEC17	2421.00	2563.50	USD	-3,562.50	
22SEP17	S	1	PD22DEC17	2426.00	2563.50	USD	-3,437.50	
22SEP17	B	2	PD22DEC17	2424.50	2563.50	USD	6,950.00	
22SEP17	B	1	PD22DEC17	2426.50	2563.50	USD	3,425.00	
22SEP17	B	1	PD22DEC17	2401.50	2563.50	USD	4,050.00	
22SEP17	S	1	PD22DEC17	2478.00	2563.50	USD	-2,137.50	
22SEP17	B	1	PD22DEC17	2415.50	2563.50	USD	3,700.00	
22SEP17	S	1	PD22DEC17	2423.50	2563.50	USD	-3,500.00	
22SEP17	S	1	PD22DEC17	2425.00	2563.50	USD	-3,462.50	
22SEP17	B	1	PD22DEC17	2426.00	2563.50	USD	3,437.50	
22SEP17	S	1	PD22DEC17	2476.00	2563.50	USD	-2,187.50	
22SEP17	S	1	PD22DEC17	2475.00	2563.50	USD	-2,212.50	
22SEP17	S	1	PD22DEC17	2469.00	2563.50	USD	-2,362.50	
22SEP17	S	1	PD22DEC17	2464.00	2563.50	USD	-2,487.50	
22SEP17	S	1	PD22DEC17	2465.00	2563.50	USD	-2,462.50	
22SEP17	B	4	PD22DEC17	2458.50	2563.50	USD	10,500.00	
22SEP17	B	2	PD22DEC17	2458.00	2563.50	USD	5,275.00	
22SEP17	S	2	PD22DEC17	2458.00	2563.50	USD	-5,275.00	
22SEP17	S	1	PD22DEC17	2470.00	2563.50	USD	-2,337.50	
22SEP17	S	1	PD22DEC17	2474.50	2563.50	USD	-2,225.00	
22SEP17	B	1	PD22DEC17	2488.50	2563.50	USD	1,875.00	
26SEP17	B	1	PD22DEC17	2488.67	2563.50	USD	1,870.75	
	NET	+4 AVG	BGHT 2442.62	SOLD 2455.93			16,745.75	0.00
25SEP17	B	1	PD27DEC17	2495.00	2563.50	USD	1,712.50	
25SEP17	S	3	PD27DEC17	2499.00	2563.50	USD	-4,837.50	
25SEP17	S	1	PD27DEC17	2497.00	2563.50	USD	-1,662.50	
25SEP17	S	1	PD27DEC17	2503.50	2563.50	USD	-1,500.00	
25SEP17	B	1	PD27DEC17	2503.00	2563.50	USD	1,512.50	
25SEP17	B	1	PD27DEC17	2502.50	2563.50	USD	1,525.00	
25SEP17	B	2	PD27DEC17	2503.50	2563.50	USD	3,000.00	
25SEP17	S	1	PD27DEC17	2492.00	2563.50	USD	-1,787.50	
25SEP17	B	1	PD27DEC17	2502.50	2563.50	USD	1,525.00	
25SEP17	B	1	PD27DEC17	2479.00	2563.50	USD	2,112.50	
26SEP17	B	1	PD27DEC17	2496.50	2563.50	USD	1,675.00	
26SEP17	S	1	PD27DEC17	2466.50	2563.50	USD	-2,425.00	
26SEP17	B	1	PD27DEC17	2485.00	2563.50	USD	1,962.50	
26SEP17	S	1	PD27DEC17	2484.00	2563.50	USD	-1,987.50	
26SEP17	S	1	PD27DEC17	2487.80	2563.50	USD	-1,892.50	
27SEP17	B	3	PD27DEC17	2464.00	2563.50	USD	7,462.50	
	NET	+3 AVG	BGHT 2488.54	SOLD 2491.98			6,395.00	0.00
28SEP17	B	1	PD28DEC17	2488.50	2563.60	USD	1,877.50	
28SEP17	S	4	PD28DEC17	2461.00	2563.60	USD	-10,260.00	
28SEP17	B	2	PD28DEC17	2460.00	2563.60	USD	5,180.00	
28SEP17	B	2	PD28DEC17	2460.50	2563.60	USD	5,155.00	
28SEP17	S	1	PD28DEC17	2458.00	2563.60	USD	-2,640.00	
28SEP17	B	1	PD28DEC17	2477.00	2563.60	USD	2,165.00	
28SEP17	S	2	PD28DEC17	2480.50	2563.60	USD	-4,155.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
29SEP17	B 2 PD28DEC17	2486.20	2563.60	USD	3,870.00	
	NET +1AVG BGHT 2472.36 SOLD 2466.14				1,192.50	0.00
29SEP17	S 1 PD29DEC17	2483.50	2563.70	USD	-2,005.00	
29SEP17	B 2 PD29DEC17	2503.50	2563.70	USD	3,010.00	
29SEP17	B 1 PD29DEC17	2503.50	2563.70	USD	1,505.00	
	NET +2AVG BGHT 2503.50 SOLD 2483.50				2,510.00	0.00
11OCT17	S 1 PD11JAN18	2537.00	2565.00	USD	-700.00	
11OCT17	S 1 PD11JAN18	2542.00	2565.00	USD	-575.00	
11OCT17	S 1 PD11JAN18	2551.00	2565.00	USD	-350.00	
11OCT17	S 1 PD11JAN18	2561.00	2565.00	USD	-100.00	
	NET -4AVG SOLD 2547.75				-1,725.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 5 PD12OCT17	9195.00	11060.20	USD	55,956.00	
12JUL17	B 4 PD12OCT17	9175.00	11060.20	USD	45,244.80	
12JUL17	B 1 PD12OCT17	9205.00	11060.20	USD	11,131.20	
12JUL17	B 10 PD12OCT17	9215.00	11060.20	USD	110,712.00	
12JUL17	B 6 PD12OCT17	9245.00	11060.20	USD	65,347.20	
12JUL17	B 4 PD12OCT17	9185.00	11060.20	USD	45,004.80	
12JUL17	S 1 PD12OCT17	9180.00	11060.20	USD	-11,281.20	
12JUL17	B 4 PD12OCT17	9190.00	11060.20	USD	44,884.80	
12JUL17	S 1 PD12OCT17	9175.00	11060.20	USD	-11,311.20	
12JUL17	S 1 PD12OCT17	9205.00	11060.20	USD	-11,131.20	
12JUL17	B 1 PD12OCT17	9180.00	11060.20	USD	11,281.20	
12JUL17	B 1 PD12OCT17	9190.00	11060.20	USD	11,221.20	
12JUL17	B 1 PD12OCT17	9225.00	11060.20	USD	11,011.20	
12JUL17	S 1 PD12OCT17	9225.00	11060.20	USD	-11,011.20	
12JUL17	B 6 PD12OCT17	9275.00	11060.20	USD	64,267.20	
12JUL17	B 5 PD12OCT17	9280.00	11060.20	USD	53,406.00	
12JUL17	S 2 PD12OCT17	9265.00	11060.20	USD	-21,542.40	
12JUL17	S 3 PD12OCT17	9260.00	11060.20	USD	-32,403.60	
12JUL17	S 1 PD12OCT17	9255.00	11060.20	USD	-10,831.20	
12JUL17	S 1 PD12OCT17	9250.00	11060.20	USD	-10,861.20	
12JUL17	S 3 PD12OCT17	9240.00	11060.20	USD	-32,763.60	
12JUL17	S 2 PD12OCT17	9245.00	11060.20	USD	-21,782.40	
12JUL17	S 2 PD12OCT17	9235.00	11060.20	USD	-21,902.40	
12JUL17	S 2 PD12OCT17	9230.00	11060.20	USD	-21,962.40	
12JUL17	B 7 PD12OCT17	9230.00	11060.20	USD	76,868.40	
12JUL17	B 4 PD12OCT17	9240.00	11060.20	USD	43,684.80	
12JUL17	B 9 PD12OCT17	9225.00	11060.20	USD	99,100.80	
12JUL17	B 4 PD12OCT17	9220.00	11060.20	USD	44,164.80	
12JUL17	B 9 PD12OCT17	9235.00	11060.20	USD	98,560.80	
12JUL17	S 5 PD12OCT17	9225.00	11060.20	USD	-55,056.00	
12JUL17	S 12 PD12OCT17	9215.00	11060.20	USD	-132,854.40	
12JUL17	S 5 PD12OCT17	9220.00	11060.20	USD	-55,206.00	
12JUL17	S 3 PD12OCT17	9210.00	11060.20	USD	-33,303.60	
12JUL17	B 7 PD12OCT17	9210.00	11060.20	USD	77,708.40	
12JUL17	B 2 PD12OCT17	9206.00	11060.20	USD	22,250.40	
12JUL17	S 2 PD12OCT17	9200.00	11060.20	USD	-22,322.40	
19JUL17	S 7 PD12OCT17	9647.93	11060.20	USD	-59,315.34	
27JUL17	S 11 PD12OCT17	10126.57	11060.20	USD	-61,619.58	
28JUL17	S 1 PD12OCT17	10194.96	11060.20	USD	-5,191.44	
28JUL17	S 5 PD12OCT17	10195.96	11060.20	USD	-25,927.20	
03AUG17	S 8 PD12OCT17	10367.32	11060.20	USD	-33,258.24	
10AUG17	S 9 PD12OCT17	10966.72	11060.20	USD	-5,047.92	
17AUG17	S 2 PD12OCT17	10703.61	11060.20	USD	-4,279.08	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0AVG	BGHT	9223.52	SOLD	9741.38		279,640.80	0.00
13JUL17	S	2	PD130CT17		9205.00	11061.00	USD	-22,272.00	
13JUL17	B	1	PD130CT17		9255.00	11061.00	USD	10,836.00	
13JUL17	B	2	PD130CT17		9270.00	11061.00	USD	21,492.00	
13JUL17	S	1	PD130CT17		9265.00	11061.00	USD	-10,776.00	
13JUL17	B	1	PD130CT17		9240.00	11061.00	USD	10,926.00	
13JUL17	S	1	PD130CT17		9265.00	11061.00	USD	-10,776.00	
13JUL17	B	4	PD130CT17		9230.00	11061.00	USD	43,944.00	
13JUL17	B	3	PD130CT17		9235.00	11061.00	USD	32,868.00	
13JUL17	S	5	PD130CT17		9245.00	11061.00	USD	-54,480.00	
13JUL17	S	1	PD130CT17		9240.00	11061.00	USD	-10,926.00	
13JUL17	S	1	PD130CT17		9260.00	11061.00	USD	-10,806.00	
13JUL17	S	1	PD130CT17		9230.00	11061.00	USD	-10,986.00	
13JUL17	S	3	PD130CT17		9220.00	11061.00	USD	-33,138.00	
13JUL17	S	1	PD130CT17		9210.00	11061.00	USD	-11,106.00	
13JUL17	S	7	PD130CT17		9215.00	11061.00	USD	-77,532.00	
13JUL17	B	1	PD130CT17		9200.00	11061.00	USD	11,166.00	
13JUL17	S	5	PD130CT17		9160.00	11061.00	USD	-57,030.00	
13JUL17	S	2	PD130CT17		9200.00	11061.00	USD	-22,332.00	
13JUL17	B	1	PD130CT17		9220.00	11061.00	USD	11,046.00	
13JUL17	B	2	PD130CT17		9215.00	11061.00	USD	22,152.00	
13JUL17	B	3	PD130CT17		9205.00	11061.00	USD	33,408.00	
13JUL17	B	1	PD130CT17		9210.00	11061.00	USD	11,106.00	
13JUL17	S	4	PD130CT17		9225.00	11061.00	USD	-44,064.00	
13JUL17	B	2	PD130CT17		9195.00	11061.00	USD	22,392.00	
13JUL17	S	1	PD130CT17		9180.00	11061.00	USD	-11,286.00	
13JUL17	S	1	PD130CT17		9195.00	11061.00	USD	-11,196.00	
13JUL17	S	2	PD130CT17		9185.00	11061.00	USD	-22,512.00	
13JUL17	B	3	PD130CT17		9185.00	11061.00	USD	33,768.00	
13JUL17	B	2	PD130CT17		9211.00	11061.00	USD	22,200.00	
14JUL17	B	2	PD130CT17		9250.00	11061.00	USD	21,732.00	
14JUL17	S	1	PD130CT17		9280.00	11061.00	USD	-10,686.00	
14JUL17	B	3	PD130CT17		9280.00	11061.00	USD	32,058.00	
14JUL17	S	1	PD130CT17		9290.00	11061.00	USD	-10,626.00	
14JUL17	S	7	PD130CT17		9295.00	11061.00	USD	-74,172.00	
14JUL17	B	2	PD130CT17		9325.00	11061.00	USD	20,832.00	
14JUL17	B	1	PD130CT17		9305.00	11061.00	USD	10,536.00	
14JUL17	S	2	PD130CT17		9310.00	11061.00	USD	-21,012.00	
14JUL17	S	3	PD130CT17		9305.00	11061.00	USD	-31,608.00	
14JUL17	B	7	PD130CT17		9295.00	11061.00	USD	74,172.00	
14JUL17	B	4	PD130CT17		9290.00	11061.00	USD	42,504.00	
14JUL17	S	1	PD130CT17		9400.00	11061.00	USD	-9,966.00	
14JUL17	S	2	PD130CT17		9405.00	11061.00	USD	-19,872.00	
14JUL17	B	1	PD130CT17		9395.00	11061.00	USD	9,996.00	
14JUL17	B	1	PD130CT17		9315.00	11061.00	USD	10,476.00	
14JUL17	S	1	PD130CT17		9375.00	11061.00	USD	-10,116.00	
14JUL17	B	2	PD130CT17		9375.00	11061.00	USD	20,232.00	
14JUL17	S	2	PD130CT17		9365.00	11061.00	USD	-20,352.00	
14JUL17	B	4	PD130CT17		9370.00	11061.00	USD	40,584.00	
14JUL17	B	1	PD130CT17		9360.00	11061.00	USD	10,206.00	
14JUL17	B	1	PD130CT17		9380.00	11061.00	USD	10,086.00	
14JUL17	S	3	PD130CT17		9385.00	11061.00	USD	-30,168.00	
14JUL17	S	6	PD130CT17		9390.00	11061.00	USD	-60,156.00	
14JUL17	S	3	PD130CT17		9395.00	11061.00	USD	-29,988.00	
14JUL17	S	1	PD130CT17		9380.00	11061.00	USD	-10,086.00	
14JUL17	S	2	PD130CT17		9420.00	11061.00	USD	-19,692.00	
14JUL17	S	1	PD130CT17		9435.00	11061.00	USD	-9,756.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	B	1	PD130CT17	9450.00	11061.00	USD	9,666.00	
14JUL17	B	5	PD130CT17	9445.00	11061.00	USD	48,480.00	
14JUL17	S	1	PD130CT17	9450.00	11061.00	USD	-9,666.00	
14JUL17	B	1	PD130CT17	9460.00	11061.00	USD	9,606.00	
14JUL17	S	1	PD130CT17	9485.00	11061.00	USD	-9,456.00	
14JUL17	B	1	PD130CT17	9370.00	11061.00	USD	10,146.00	
14JUL17	S	1	PD130CT17	9480.00	11061.00	USD	-9,486.00	
14JUL17	S	2	PD130CT17	9490.00	11061.00	USD	-18,852.00	
14JUL17	S	1	PD130CT17	9505.00	11061.00	USD	-9,336.00	
14JUL17	S	1	PD130CT17	9535.00	11061.00	USD	-9,156.00	
14JUL17	B	2	PD130CT17	9535.00	11061.00	USD	18,312.00	
14JUL17	S	2	PD130CT17	9550.00	11061.00	USD	-18,132.00	
14JUL17	S	1	PD130CT17	9565.00	11061.00	USD	-8,976.00	
14JUL17	S	10	PD130CT17	9560.00	11061.00	USD	-90,060.00	
14JUL17	B	7	PD130CT17	9576.00	11061.00	USD	62,370.00	
14JUL17	S	1	PD130CT17	9560.00	11061.00	USD	-9,006.00	
14JUL17	B	1	PD130CT17	9555.00	11061.00	USD	9,036.00	
14JUL17	B	1	PD130CT17	9570.00	11061.00	USD	8,946.00	
14JUL17	B	1	PD130CT17	9565.00	11061.00	USD	8,976.00	
19JUL17	B	23	PD130CT17	9649.61	11061.00	USD	194,771.82	
26JUL17	B	5	PD130CT17	10040.14	11061.00	USD	30,625.80	
03AUG17	S	2	PD130CT17	10368.02	11061.00	USD	-8,315.76	
10AUG17	S	6	PD130CT17	10967.52	11061.00	USD	-3,365.28	
	NET	0AVG BGHT 9440.20	SOLD 9453.75				8,372.58	0.00
17JUL17	B	1	PD170CT17	9530.00	11064.20	USD	9,205.20	
17JUL17	B	1	PD170CT17	9555.00	11064.20	USD	9,055.20	
17JUL17	B	1	PD170CT17	9545.00	11064.20	USD	9,115.20	
17JUL17	S	6	PD170CT17	9595.00	11064.20	USD	-52,891.20	
17JUL17	S	2	PD170CT17	9565.00	11064.20	USD	-17,990.40	
17JUL17	B	2	PD170CT17	9580.00	11064.20	USD	17,810.40	
17JUL17	B	1	PD170CT17	9525.00	11064.20	USD	9,235.20	
17JUL17	B	2	PD170CT17	9590.00	11064.20	USD	17,690.40	
17JUL17	S	8	PD170CT17	9585.00	11064.20	USD	-71,001.60	
17JUL17	S	5	PD170CT17	9580.00	11064.20	USD	-44,526.00	
17JUL17	B	10	PD170CT17	9595.00	11064.20	USD	88,152.00	
17JUL17	B	1	PD170CT17	9560.00	11064.20	USD	9,025.20	
17JUL17	S	1	PD170CT17	9550.00	11064.20	USD	-9,085.20	
17JUL17	B	1	PD170CT17	9550.00	11064.20	USD	9,085.20	
17JUL17	S	7	PD170CT17	9560.00	11064.20	USD	-63,176.40	
17JUL17	S	1	PD170CT17	9555.00	11064.20	USD	-9,055.20	
17JUL17	B	2	PD170CT17	9570.00	11064.20	USD	17,930.40	
17JUL17	S	9	PD170CT17	9570.00	11064.20	USD	-80,686.80	
17JUL17	B	3	PD170CT17	9615.00	11064.20	USD	26,085.60	
17JUL17	B	4	PD170CT17	9585.00	11064.20	USD	35,500.80	
17JUL17	S	17	PD170CT17	9600.00	11064.20	USD	-149,348.40	
17JUL17	S	19	PD170CT17	9605.00	11064.20	USD	-166,348.80	
17JUL17	B	2	PD170CT17	9615.00	11064.20	USD	17,390.40	
17JUL17	B	1	PD170CT17	9655.00	11064.20	USD	8,455.20	
17JUL17	B	1	PD170CT17	9685.00	11064.20	USD	8,275.20	
17JUL17	B	1	PD170CT17	9695.00	11064.20	USD	8,215.20	
17JUL17	B	1	PD170CT17	9690.00	11064.20	USD	8,245.20	
17JUL17	B	1	PD170CT17	9675.00	11064.20	USD	8,335.20	
17JUL17	B	5	PD170CT17	9610.00	11064.20	USD	43,626.00	
17JUL17	S	9	PD170CT17	9620.00	11064.20	USD	-77,986.80	
17JUL17	B	3	PD170CT17	9620.00	11064.20	USD	25,995.60	
17JUL17	S	4	PD170CT17	9625.00	11064.20	USD	-34,540.80	
17JUL17	S	8	PD170CT17	9615.00	11064.20	USD	-69,561.60	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	8	PD17OCT17	9610.00	11064.20	USD	-69,801.60	
17JUL17	B	1	PD17OCT17	9625.00	11064.20	USD	8,635.20	
17JUL17	B	2	PD17OCT17	9601.00	11064.20	USD	17,558.40	
17JUL17	S	1	PD17OCT17	9615.00	11064.20	USD	-8,695.20	
19JUL17	B	1	PD17OCT17	9651.32	11064.20	USD	8,477.28	
19JUL17	B	54	PD17OCT17	9652.32	11064.20	USD	457,449.12	
27JUL17	S	1	PD17OCT17	10129.43	11064.20	USD	-5,608.62	
28JUL17	S	1	PD17OCT17	10197.91	11064.20	USD	-5,197.74	
03AUG17	B	3	PD17OCT17	10371.80	11064.20	USD	12,463.20	
15AUG17	B	2	PD17OCT17	10332.72	11064.20	USD	8,777.76	
	NET	0 AVG BGHT 9662.66	SOLD 9607.03				-35,712.60	0.00
18JUL17	B	1	PD18OCT17	9635.00	11065.00	USD	8,580.00	
18JUL17	B	4	PD18OCT17	9640.00	11065.00	USD	34,200.00	
18JUL17	B	2	PD18OCT17	9630.00	11065.00	USD	17,220.00	
18JUL17	B	1	PD18OCT17	9660.00	11065.00	USD	8,430.00	
18JUL17	S	2	PD18OCT17	9590.00	11065.00	USD	-17,700.00	
18JUL17	S	1	PD18OCT17	9640.00	11065.00	USD	-8,550.00	
18JUL17	B	2	PD18OCT17	9670.00	11065.00	USD	16,740.00	
18JUL17	B	1	PD18OCT17	9680.00	11065.00	USD	8,310.00	
18JUL17	B	1	PD18OCT17	9675.00	11065.00	USD	8,340.00	
18JUL17	S	1	PD18OCT17	9740.00	11065.00	USD	-7,950.00	
18JUL17	S	1	PD18OCT17	9770.00	11065.00	USD	-7,770.00	
18JUL17	S	3	PD18OCT17	9765.00	11065.00	USD	-23,400.00	
18JUL17	S	3	PD18OCT17	9715.00	11065.00	USD	-24,300.00	
18JUL17	S	1	PD18OCT17	9730.00	11065.00	USD	-8,010.00	
18JUL17	B	2	PD18OCT17	9781.00	11065.00	USD	15,408.00	
19JUL17	S	1	PD18OCT17	9651.00	11065.00	USD	-8,484.00	
28JUL17	S	2	PD18OCT17	10199.50	11065.00	USD	-10,386.00	
28JUL17	B	3	PD18OCT17	10200.50	11065.00	USD	15,561.00	
03AUG17	S	5	PD18OCT17	10371.50	11065.00	USD	-20,805.00	
10AUG17	B	3	PD18OCT17	10972.50	11065.00	USD	1,665.00	
	NET	0 AVG BGHT 9944.55	SOLD 9920.38				-2,901.00	0.00
19JUL17	S	1	PD19OCT17	9710.00	11065.96	USD	-8,135.76	
19JUL17	S	4	PD19OCT17	9695.00	11065.96	USD	-32,903.04	
19JUL17	S	1	PD19OCT17	9705.00	11065.96	USD	-8,165.76	
19JUL17	S	1	PD19OCT17	9720.00	11065.96	USD	-8,075.76	
19JUL17	S	3	PD19OCT17	9715.00	11065.96	USD	-24,317.28	
19JUL17	S	1	PD19OCT17	9765.00	11065.96	USD	-7,805.76	
19JUL17	S	2	PD19OCT17	9770.00	11065.96	USD	-15,551.52	
19JUL17	S	1	PD19OCT17	9775.00	11065.96	USD	-7,745.76	
19JUL17	B	1	PD19OCT17	9765.00	11065.96	USD	7,805.76	
19JUL17	B	1	PD19OCT17	9735.00	11065.96	USD	7,985.76	
19JUL17	S	3	PD19OCT17	9735.00	11065.96	USD	-23,957.28	
19JUL17	B	1	PD19OCT17	9710.00	11065.96	USD	8,135.76	
19JUL17	S	2	PD19OCT17	9690.00	11065.96	USD	-16,511.52	
19JUL17	S	1	PD19OCT17	9700.00	11065.96	USD	-8,195.76	
19JUL17	B	1	PD19OCT17	9720.00	11065.96	USD	8,075.76	
19JUL17	B	3	PD19OCT17	9730.00	11065.96	USD	24,047.28	
19JUL17	S	6	PD19OCT17	9680.00	11065.96	USD	-49,894.56	
19JUL17	B	1	PD19OCT17	9670.00	11065.96	USD	8,375.76	
19JUL17	S	1	PD19OCT17	9670.00	11065.96	USD	-8,375.76	
19JUL17	S	3	PD19OCT17	9655.00	11065.96	USD	-25,397.28	
19JUL17	S	2	PD19OCT17	9650.00	11065.96	USD	-16,991.52	
19JUL17	S	1	PD19OCT17	9650.00	11065.96	USD	-8,495.76	
19JUL17	S	1	PD19OCT17	9655.00	11065.96	USD	-8,465.76	
27JUL17	S	4	PD19OCT17	10130.00	11065.96	USD	-22,463.04	
27JUL17	B	9	PD19OCT17	10131.00	11065.96	USD	50,487.84	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	4	PD19OCT17	10200.56	11065.96	USD	20,769.60	
03AUG17	B	5	PD19OCT17	10373.28	11065.96	USD	20,780.40	
10AUG17	B	12	PD19OCT17	10973.09	11065.96	USD	6,686.64	
	NET	0AVG	BGHT 10350.39				-138,298.32	0.00
			SOLD 9743.82					
20JUL17	B	1	PD20OCT17	9665.00	11066.93	USD	8,411.58	
20JUL17	B	1	PD20OCT17	9600.00	11066.93	USD	8,801.58	
20JUL17	S	1	PD20OCT17	9665.00	11066.93	USD	-8,411.58	
20JUL17	S	1	PD20OCT17	9610.00	11066.93	USD	-8,741.58	
20JUL17	S	3	PD20OCT17	9625.00	11066.93	USD	-25,954.74	
20JUL17	B	1	PD20OCT17	9595.00	11066.93	USD	8,831.58	
20JUL17	S	2	PD20OCT17	9560.00	11066.93	USD	-18,083.16	
20JUL17	B	1	PD20OCT17	9565.00	11066.93	USD	9,011.58	
20JUL17	B	2	PD20OCT17	9580.00	11066.93	USD	17,843.16	
20JUL17	S	4	PD20OCT17	9570.00	11066.93	USD	-35,926.32	
20JUL17	B	3	PD20OCT17	9570.00	11066.93	USD	26,944.74	
20JUL17	B	1	PD20OCT17	9575.00	11066.93	USD	8,951.58	
20JUL17	S	2	PD20OCT17	9555.00	11066.93	USD	-18,143.16	
20JUL17	S	1	PD20OCT17	9530.00	11066.93	USD	-9,221.58	
20JUL17	S	2	PD20OCT17	9520.00	11066.93	USD	-18,563.16	
20JUL17	S	5	PD20OCT17	9515.00	11066.93	USD	-46,557.90	
20JUL17	S	1	PD20OCT17	9525.00	11066.93	USD	-9,251.58	
20JUL17	B	2	PD20OCT17	9525.00	11066.93	USD	18,503.16	
20JUL17	B	2	PD20OCT17	9520.00	11066.93	USD	18,563.16	
20JUL17	B	1	PD20OCT17	9480.00	11066.93	USD	9,521.58	
20JUL17	B	3	PD20OCT17	9470.00	11066.93	USD	28,744.74	
20JUL17	S	2	PD20OCT17	9500.00	11066.93	USD	-18,803.16	
20JUL17	B	1	PD20OCT17	9500.00	11066.93	USD	9,401.58	
20JUL17	B	1	PD20OCT17	9495.00	11066.93	USD	9,431.58	
20JUL17	S	2	PD20OCT17	9495.00	11066.93	USD	-18,863.16	
20JUL17	B	1	PD20OCT17	9505.00	11066.93	USD	9,371.58	
20JUL17	B	1	PD20OCT17	9510.00	11066.93	USD	9,341.58	
20JUL17	B	2	PD20OCT17	9490.00	11066.93	USD	18,923.16	
21JUL17	S	1	PD20OCT17	9500.00	11066.93	USD	-9,401.58	
21JUL17	S	1	PD20OCT17	9510.00	11066.93	USD	-9,341.58	
21JUL17	B	1	PD20OCT17	9555.00	11066.93	USD	9,071.58	
21JUL17	B	4	PD20OCT17	9560.00	11066.93	USD	36,166.32	
21JUL17	S	2	PD20OCT17	9585.00	11066.93	USD	-17,783.16	
21JUL17	B	1	PD20OCT17	9585.00	11066.93	USD	8,891.58	
21JUL17	S	1	PD20OCT17	9590.00	11066.93	USD	-8,861.58	
21JUL17	S	1	PD20OCT17	9570.00	11066.93	USD	-8,981.58	
21JUL17	S	3	PD20OCT17	9575.00	11066.93	USD	-26,854.74	
21JUL17	B	1	PD20OCT17	9610.00	11066.93	USD	8,741.58	
21JUL17	S	1	PD20OCT17	9525.00	11066.93	USD	-9,251.58	
21JUL17	S	1	PD20OCT17	9530.00	11066.93	USD	-9,221.58	
21JUL17	B	1	PD20OCT17	9560.00	11066.93	USD	9,041.58	
21JUL17	S	2	PD20OCT17	9620.00	11066.93	USD	-17,363.16	
21JUL17	B	4	PD20OCT17	9615.00	11066.93	USD	34,846.32	
21JUL17	S	3	PD20OCT17	9615.00	11066.93	USD	-26,134.74	
21JUL17	B	1	PD20OCT17	9625.00	11066.93	USD	8,651.58	
21JUL17	B	1	PD20OCT17	9620.00	11066.93	USD	8,681.58	
21JUL17	S	1	PD20OCT17	9655.00	11066.93	USD	-8,471.58	
21JUL17	S	1	PD20OCT17	9675.00	11066.93	USD	-8,351.58	
21JUL17	B	2	PD20OCT17	9660.00	11066.93	USD	16,883.16	
21JUL17	S	2	PD20OCT17	9625.00	11066.93	USD	-17,303.16	
21JUL17	S	4	PD20OCT17	9610.00	11066.93	USD	-34,966.32	
21JUL17	S	1	PD20OCT17	9605.00	11066.93	USD	-8,771.58	
21JUL17	S	2	PD20OCT17	9565.00	11066.93	USD	-18,023.16	

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21JUL17	B	4	PD200CT17	9565.00	11066.93	USD	36,046.32	
21JUL17	S	3	PD200CT17	9555.00	11066.93	USD	-27,214.74	
21JUL17	B	3	PD200CT17	9535.00	11066.93	USD	27,574.74	
21JUL17	S	5	PD200CT17	9545.00	11066.93	USD	-45,657.90	
21JUL17	S	1	PD200CT17	9530.00	11066.93	USD	-9,221.58	
21JUL17	S	1	PD200CT17	9515.00	11066.93	USD	-9,311.58	
21JUL17	S	3	PD200CT17	9525.00	11066.93	USD	-27,754.74	
21JUL17	B	7	PD200CT17	9525.00	11066.93	USD	64,761.06	
21JUL17	B	1	PD200CT17	9520.00	11066.93	USD	9,281.58	
21JUL17	S	1	PD200CT17	9540.00	11066.93	USD	-9,161.58	
27JUL17	B	8	PD200CT17	10131.00	11066.93	USD	44,924.64	
27JUL17	S	6	PD200CT17	10130.00	11066.93	USD	-33,729.48	
28JUL17	S	2	PD200CT17	10199.61	11066.93	USD	-10,407.84	
28JUL17	B	3	PD200CT17	10200.61	11066.93	USD	15,593.76	
03AUG17	B	2	PD200CT17	10374.06	11066.93	USD	8,314.44	
10AUG17	B	6	PD200CT17	10973.67	11066.93	USD	3,357.36	
15AUG17	B	1	PD200CT17	10334.75	11066.93	USD	4,393.08	
	NET	0AVG	BGHT 9787.33	SOLD 9626.79			-72,243.00	0.00
24JUL17	B	2	PD240CT17	9600.00	11070.79	USD	17,649.48	
24JUL17	B	1	PD240CT17	9555.00	11070.79	USD	9,094.74	
24JUL17	S	1	PD240CT17	9595.00	11070.79	USD	-8,854.74	
24JUL17	B	2	PD240CT17	9635.00	11070.79	USD	17,229.48	
24JUL17	S	3	PD240CT17	9615.00	11070.79	USD	-26,204.22	
24JUL17	S	4	PD240CT17	9620.00	11070.79	USD	-34,818.96	
24JUL17	B	2	PD240CT17	9630.00	11070.79	USD	17,289.48	
24JUL17	B	1	PD240CT17	9615.00	11070.79	USD	8,734.74	
24JUL17	B	5	PD240CT17	9620.00	11070.79	USD	43,523.70	
24JUL17	B	5	PD240CT17	9615.00	11070.79	USD	43,673.70	
24JUL17	B	2	PD240CT17	9610.00	11070.79	USD	17,529.48	
24JUL17	B	6	PD240CT17	9625.00	11070.79	USD	52,048.44	
24JUL17	S	7	PD240CT17	9625.00	11070.79	USD	-60,723.18	
24JUL17	S	3	PD240CT17	9630.00	11070.79	USD	-25,934.22	
24JUL17	S	4	PD240CT17	9635.00	11070.79	USD	-34,458.96	
24JUL17	B	6	PD240CT17	9640.00	11070.79	USD	51,508.44	
24JUL17	B	2	PD240CT17	9645.00	11070.79	USD	17,109.48	
24JUL17	B	3	PD240CT17	9650.00	11070.79	USD	25,574.22	
24JUL17	S	1	PD240CT17	9660.00	11070.79	USD	-8,464.74	
24JUL17	B	7	PD240CT17	9665.00	11070.79	USD	59,043.18	
24JUL17	B	2	PD240CT17	9655.00	11070.79	USD	16,989.48	
24JUL17	B	2	PD240CT17	9680.00	11070.79	USD	16,689.48	
24JUL17	B	2	PD240CT17	9670.00	11070.79	USD	16,809.48	
24JUL17	B	2	PD240CT17	9660.00	11070.79	USD	16,929.48	
24JUL17	S	1	PD240CT17	9710.00	11070.79	USD	-8,164.74	
24JUL17	S	4	PD240CT17	9720.00	11070.79	USD	-32,418.96	
24JUL17	S	1	PD240CT17	9715.00	11070.79	USD	-8,134.74	
24JUL17	S	1	PD240CT17	9630.00	11070.79	USD	-8,644.74	
24JUL17	S	1	PD240CT17	9730.00	11070.79	USD	-8,044.74	
24JUL17	B	4	PD240CT17	9720.00	11070.79	USD	32,418.96	
24JUL17	B	4	PD240CT17	9750.00	11070.79	USD	31,698.96	
24JUL17	S	1	PD240CT17	9750.00	11070.79	USD	-7,924.74	
24JUL17	B	3	PD240CT17	9760.00	11070.79	USD	23,594.22	
24JUL17	B	2	PD240CT17	9755.00	11070.79	USD	15,789.48	
24JUL17	B	2	PD240CT17	9765.00	11070.79	USD	15,669.48	
24JUL17	S	1	PD240CT17	9760.00	11070.79	USD	-7,864.74	
24JUL17	S	1	PD240CT17	9775.00	11070.79	USD	-7,774.74	
24JUL17	S	2	PD240CT17	9770.00	11070.79	USD	-15,609.48	
24JUL17	S	1	PD240CT17	9765.00	11070.79	USD	-7,834.74	

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24JUL17	S	5	PD240CT17	9755.00	11070.79	USD	-39,473.70	
24JUL17	B	2	PD240CT17	9781.00	11070.79	USD	15,477.48	
24JUL17	S	1	PD240CT17	9760.00	11070.79	USD	-7,864.74	
27JUL17	S	10	PD240CT17	10130.00	11070.79	USD	-56,447.40	
28JUL17	B	1	PD240CT17	10200.83	11070.79	USD	5,219.76	
28JUL17	S	3	PD240CT17	10199.83	11070.79	USD	-15,677.28	
03AUG17	S	4	PD240CT17	10376.17	11070.79	USD	-16,670.88	
10AUG17	S	12	PD240CT17	10975.02	11070.79	USD	-6,895.44	
15AUG17	B	2	PD240CT17	10337.25	11070.79	USD	8,802.48	
	NET	0AVG BGHT 9690.94	SOLD 10017.77				141,192.48	0.00
25JUL17	S	1	PD250CT17	9745.00	11071.75	USD	-7,960.50	
25JUL17	S	2	PD250CT17	9760.00	11071.75	USD	-15,741.00	
25JUL17	B	2	PD250CT17	9795.00	11071.75	USD	15,321.00	
25JUL17	B	1	PD250CT17	9790.00	11071.75	USD	7,690.50	
25JUL17	B	1	PD250CT17	9800.00	11071.75	USD	7,630.50	
25JUL17	B	4	PD250CT17	9815.00	11071.75	USD	30,162.00	
25JUL17	B	1	PD250CT17	9820.00	11071.75	USD	7,510.50	
25JUL17	S	3	PD250CT17	9845.00	11071.75	USD	-22,081.50	
25JUL17	B	1	PD250CT17	9840.00	11071.75	USD	7,390.50	
25JUL17	B	4	PD250CT17	9855.00	11071.75	USD	29,202.00	
25JUL17	S	5	PD250CT17	9840.00	11071.75	USD	-36,952.50	
25JUL17	B	4	PD250CT17	9835.00	11071.75	USD	29,682.00	
25JUL17	B	1	PD250CT17	9850.00	11071.75	USD	7,330.50	
25JUL17	B	4	PD250CT17	9860.00	11071.75	USD	29,082.00	
25JUL17	S	6	PD250CT17	9875.00	11071.75	USD	-43,083.00	
25JUL17	S	2	PD250CT17	9880.00	11071.75	USD	-14,301.00	
25JUL17	B	2	PD250CT17	9870.00	11071.75	USD	14,421.00	
25JUL17	B	1	PD250CT17	9875.00	11071.75	USD	7,180.50	
25JUL17	B	1	PD250CT17	9890.00	11071.75	USD	7,090.50	
25JUL17	B	5	PD250CT17	9905.00	11071.75	USD	35,002.50	
25JUL17	S	1	PD250CT17	9940.00	11071.75	USD	-6,790.50	
25JUL17	S	1	PD250CT17	9835.00	11071.75	USD	-7,420.50	
25JUL17	S	1	PD250CT17	9895.00	11071.75	USD	-7,060.50	
25JUL17	B	1	PD250CT17	9895.00	11071.75	USD	7,060.50	
25JUL17	B	1	PD250CT17	9900.00	11071.75	USD	7,030.50	
25JUL17	S	5	PD250CT17	9925.00	11071.75	USD	-34,402.50	
25JUL17	B	2	PD250CT17	9915.00	11071.75	USD	13,881.00	
25JUL17	S	1	PD250CT17	9915.00	11071.75	USD	-6,940.50	
25JUL17	B	1	PD250CT17	9925.00	11071.75	USD	6,880.50	
25JUL17	S	1	PD250CT17	9930.00	11071.75	USD	-6,850.50	
25JUL17	S	4	PD250CT17	9920.00	11071.75	USD	-27,642.00	
25JUL17	B	1	PD250CT17	9945.00	11071.75	USD	6,760.50	
25JUL17	B	1	PD250CT17	9930.00	11071.75	USD	6,850.50	
25JUL17	S	6	PD250CT17	9945.00	11071.75	USD	-40,563.00	
25JUL17	B	4	PD250CT17	9950.00	11071.75	USD	26,922.00	
25JUL17	S	6	PD250CT17	9950.00	11071.75	USD	-40,383.00	
25JUL17	S	4	PD250CT17	9960.00	11071.75	USD	-26,682.00	
25JUL17	S	9	PD250CT17	9955.00	11071.75	USD	-60,304.50	
25JUL17	S	2	PD250CT17	9975.00	11071.75	USD	-13,161.00	
25JUL17	S	1	PD250CT17	9970.00	11071.75	USD	-6,610.50	
25JUL17	S	2	PD250CT17	9985.00	11071.75	USD	-13,041.00	
25JUL17	S	1	PD250CT17	10000.00	11071.75	USD	-6,430.50	
25JUL17	S	2	PD250CT17	10005.00	11071.75	USD	-12,801.00	
25JUL17	S	3	PD250CT17	9995.00	11071.75	USD	-19,381.50	
25JUL17	S	1	PD250CT17	10015.00	11071.75	USD	-6,340.50	
25JUL17	S	1	PD250CT17	10005.00	11071.75	USD	-6,400.50	
27JUL17	S	2	PD250CT17	10130.00	11071.75	USD	-11,301.00	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	10	PD250CT17	10131.00	11071.75	USD	56,445.00	
28JUL17	B	5	PD250CT17	10200.89	11071.75	USD	26,125.80	
03AUG17	B	5	PD250CT17	10377.94	11071.75	USD	20,814.30	
09AUG17	B	2	PD250CT17	10753.50	11071.75	USD	3,819.00	
09AUG17	S	3	PD250CT17	10752.50	11071.75	USD	-5,746.50	
10AUG17	B	12	PD250CT17	10976.61	11071.75	USD	6,850.08	
17AUG17	S	1	PD250CT17	10712.87	11071.75	USD	-2,153.28	
	NET	0AVG	BGHT 10153.71				-84,390.60	0.00
			SOLD 9971.04					
26JUL17	S	1	PD260CT17	9925.00	11072.71	USD	-6,886.26	
26JUL17	S	1	PD260CT17	10035.00	11072.71	USD	-6,226.26	
26JUL17	B	1	PD260CT17	10025.00	11072.71	USD	6,286.26	
26JUL17	S	1	PD260CT17	10070.00	11072.71	USD	-6,016.26	
26JUL17	S	3	PD260CT17	10060.00	11072.71	USD	-18,228.78	
26JUL17	S	1	PD260CT17	10030.00	11072.71	USD	-6,256.26	
26JUL17	B	4	PD260CT17	9925.00	11072.71	USD	27,545.04	
26JUL17	B	2	PD260CT17	9915.00	11072.71	USD	13,892.52	
26JUL17	S	3	PD260CT17	9910.00	11072.71	USD	-20,928.78	
26JUL17	B	1	PD260CT17	9895.00	11072.71	USD	7,066.26	
26JUL17	S	1	PD260CT17	9900.00	11072.71	USD	-7,036.26	
26JUL17	S	4	PD260CT17	9965.00	11072.71	USD	-26,585.04	
26JUL17	S	1	PD260CT17	9985.00	11072.71	USD	-6,526.26	
26JUL17	B	4	PD260CT17	9940.00	11072.71	USD	27,185.04	
26JUL17	S	1	PD260CT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	B	4	PD260CT17	9945.00	11072.71	USD	27,065.04	
26JUL17	B	3	PD260CT17	9960.00	11072.71	USD	20,028.78	
26JUL17	B	3	PD260CT17	9950.00	11072.71	USD	20,208.78	
26JUL17	B	3	PD260CT17	9955.00	11072.71	USD	20,118.78	
26JUL17	S	8	PD260CT17	9960.00	11072.71	USD	-53,410.08	
26JUL17	B	1	PD260CT17	9935.00	11072.71	USD	6,826.26	
26JUL17	S	5	PD260CT17	9945.00	11072.71	USD	-33,831.30	
26JUL17	S	3	PD260CT17	9930.00	11072.71	USD	-20,568.78	
26JUL17	S	4	PD260CT17	9935.00	11072.71	USD	-27,305.04	
26JUL17	S	1	PD260CT17	9950.00	11072.71	USD	-6,736.26	
26JUL17	S	3	PD260CT17	9955.00	11072.71	USD	-20,118.78	
26JUL17	B	2	PD260CT17	9985.00	11072.71	USD	13,052.52	
26JUL17	S	2	PD260CT17	9990.00	11072.71	USD	-12,992.52	
26JUL17	S	4	PD260CT17	9995.00	11072.71	USD	-25,865.04	
26JUL17	B	2	PD260CT17	10035.00	11072.71	USD	12,452.52	
26JUL17	B	1	PD260CT17	10040.00	11072.71	USD	6,196.26	
26JUL17	S	1	PD260CT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	B	2	PD260CT17	9940.00	11072.71	USD	13,592.52	
26JUL17	S	1	PD260CT17	9930.00	11072.71	USD	-6,856.26	
26JUL17	S	6	PD260CT17	10015.00	11072.71	USD	-38,077.56	
26JUL17	S	2	PD260CT17	10045.00	11072.71	USD	-12,332.52	
26JUL17	B	1	PD260CT17	10020.00	11072.71	USD	6,316.26	
26JUL17	B	2	PD260CT17	10015.00	11072.71	USD	12,692.52	
26JUL17	B	2	PD260CT17	10010.00	11072.71	USD	12,752.52	
26JUL17	B	1	PD260CT17	10005.00	11072.71	USD	6,406.26	
26JUL17	B	3	PD260CT17	10000.00	11072.71	USD	19,308.78	
26JUL17	B	1	PD260CT17	9995.00	11072.71	USD	6,466.26	
26JUL17	S	5	PD260CT17	9975.00	11072.71	USD	-32,931.30	
26JUL17	S	5	PD260CT17	9980.00	11072.71	USD	-32,781.30	
26JUL17	S	12	PD260CT17	9970.00	11072.71	USD	-79,395.12	
26JUL17	S	1	PD260CT17	9980.00	11072.71	USD	-6,556.26	
27JUL17	B	14	PD260CT17	10131.00	11072.71	USD	79,103.64	
28JUL17	B	3	PD260CT17	10200.94	11072.71	USD	15,691.86	
28JUL17	S	2	PD260CT17	10199.94	11072.71	USD	-10,473.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	2	PD260CT17	10754.00	11072.71	USD	3,824.52	
10AUG17	B	5	PD260CT17	10977.20	11072.71	USD	2,865.30	
10AUG17	B	12	PD260CT17	10976.20	11072.71	USD	6,948.72	
15AUG17	B	2	PD260CT17	10338.50	11072.71	USD	8,810.52	
17AUG17	B	1	PD260CT17	10714.54	11072.71	USD	2,149.02	
	NET	0AVG BGHT 10249.84	SOLD 9978.17				-133,661.28	0.00
27JUL17	B	5	PD270CT17	10025.00	11073.68	USD	31,460.40	
27JUL17	B	1	PD270CT17	10005.00	11073.68	USD	6,412.08	
27JUL17	B	9	PD270CT17	10020.00	11073.68	USD	56,898.72	
27JUL17	B	5	PD270CT17	10010.00	11073.68	USD	31,910.40	
27JUL17	B	3	PD270CT17	9995.00	11073.68	USD	19,416.24	
27JUL17	B	1	PD270CT17	9985.00	11073.68	USD	6,532.08	
27JUL17	B	7	PD270CT17	10000.00	11073.68	USD	45,094.56	
27JUL17	B	8	PD270CT17	10015.00	11073.68	USD	50,816.64	
27JUL17	B	3	PD270CT17	10040.00	11073.68	USD	18,606.24	
27JUL17	S	3	PD270CT17	10045.00	11073.68	USD	-18,516.24	
27JUL17	S	3	PD270CT17	10050.00	11073.68	USD	-18,426.24	
27JUL17	S	1	PD270CT17	10060.00	11073.68	USD	-6,082.08	
27JUL17	S	1	PD270CT17	10075.00	11073.68	USD	-5,992.08	
27JUL17	S	4	PD270CT17	10090.00	11073.68	USD	-23,608.32	
27JUL17	B	1	PD270CT17	10080.00	11073.68	USD	5,962.08	
27JUL17	S	1	PD270CT17	10095.00	11073.68	USD	-5,872.08	
27JUL17	B	1	PD270CT17	10115.00	11073.68	USD	5,752.08	
27JUL17	B	1	PD270CT17	10110.00	11073.68	USD	5,782.08	
27JUL17	S	1	PD270CT17	10115.00	11073.68	USD	-5,752.08	
27JUL17	S	1	PD270CT17	10165.00	11073.68	USD	-5,452.08	
27JUL17	B	3	PD270CT17	10180.00	11073.68	USD	16,086.24	
27JUL17	B	1	PD270CT17	10165.00	11073.68	USD	5,452.08	
27JUL17	S	1	PD270CT17	10170.00	11073.68	USD	-5,422.08	
27JUL17	S	2	PD270CT17	9995.00	11073.68	USD	-12,944.16	
27JUL17	S	3	PD270CT17	10040.00	11073.68	USD	-18,606.24	
27JUL17	S	3	PD270CT17	10145.00	11073.68	USD	-16,716.24	
27JUL17	S	3	PD270CT17	10150.00	11073.68	USD	-16,626.24	
27JUL17	B	5	PD270CT17	10145.00	11073.68	USD	27,860.40	
27JUL17	S	1	PD270CT17	10135.00	11073.68	USD	-5,632.08	
27JUL17	B	1	PD270CT17	10135.00	11073.68	USD	5,632.08	
27JUL17	S	1	PD270CT17	10130.00	11073.68	USD	-5,662.08	
27JUL17	S	4	PD270CT17	10140.00	11073.68	USD	-22,408.32	
27JUL17	S	1	PD270CT17	10160.00	11073.68	USD	-5,482.08	
27JUL17	B	9	PD270CT17	10185.00	11073.68	USD	47,988.72	
27JUL17	S	2	PD270CT17	10210.00	11073.68	USD	-10,364.16	
27JUL17	B	4	PD270CT17	10190.00	11073.68	USD	21,208.32	
27JUL17	B	2	PD270CT17	10200.00	11073.68	USD	10,484.16	
27JUL17	B	6	PD270CT17	10140.00	11073.68	USD	33,612.48	
27JUL17	B	1	PD270CT17	10150.00	11073.68	USD	5,542.08	
27JUL17	S	3	PD270CT17	10140.00	11073.68	USD	-16,806.24	
27JUL17	S	1	PD270CT17	10125.00	11073.68	USD	-5,692.08	
27JUL17	S	2	PD270CT17	10150.00	11073.68	USD	-11,084.16	
27JUL17	S	2	PD270CT17	10155.00	11073.68	USD	-11,024.16	
27JUL17	S	3	PD270CT17	10185.00	11073.68	USD	-15,996.24	
27JUL17	S	10	PD270CT17	10190.00	11073.68	USD	-53,020.80	
27JUL17	S	10	PD270CT17	10185.00	11073.68	USD	-53,320.80	
27JUL17	S	8	PD270CT17	10170.00	11073.68	USD	-43,376.64	
27JUL17	S	5	PD270CT17	10175.00	11073.68	USD	-26,960.40	
27JUL17	B	1	PD270CT17	10155.00	11073.68	USD	5,512.08	
27JUL17	B	2	PD270CT17	10130.00	11073.68	USD	11,324.16	
27JUL17	S	3	PD270CT17	10180.00	11073.68	USD	-16,086.24	

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27JUL17	S	7	PD27OCT17	10190.00	11073.68	USD	-37,114.56	
27JUL17	S	3	PD27OCT17	10155.00	11073.68	USD	-16,536.24	
27JUL17	B	5	PD27OCT17	10160.00	11073.68	USD	27,410.40	
28JUL17	B	1	PD27OCT17	10150.00	11073.68	USD	5,542.08	
28JUL17	B	2	PD27OCT17	10155.00	11073.68	USD	11,024.16	
28JUL17	S	1	PD27OCT17	10150.00	11073.68	USD	-5,542.08	
28JUL17	S	1	PD27OCT17	10090.00	11073.68	USD	-5,902.08	
28JUL17	B	1	PD27OCT17	10095.00	11073.68	USD	5,872.08	
28JUL17	S	2	PD27OCT17	10060.00	11073.68	USD	-12,164.16	
28JUL17	B	3	PD27OCT17	10045.00	11073.68	USD	18,516.24	
28JUL17	B	2	PD27OCT17	10055.00	11073.68	USD	12,224.16	
28JUL17	S	1	PD27OCT17	10025.00	11073.68	USD	-6,292.08	
28JUL17	B	1	PD27OCT17	10035.00	11073.68	USD	6,232.08	
28JUL17	B	4	PD27OCT17	10050.00	11073.68	USD	24,568.32	
28JUL17	B	1	PD27OCT17	10110.00	11073.68	USD	5,782.08	
28JUL17	B	1	PD27OCT17	10120.00	11073.68	USD	5,722.08	
28JUL17	B	1	PD27OCT17	10130.00	11073.68	USD	5,662.08	
28JUL17	S	1	PD27OCT17	10120.00	11073.68	USD	-5,722.08	
28JUL17	S	2	PD27OCT17	10105.00	11073.68	USD	-11,624.16	
28JUL17	B	1	PD27OCT17	10145.00	11073.68	USD	5,572.08	
28JUL17	B	2	PD27OCT17	10140.00	11073.68	USD	11,204.16	
28JUL17	B	1	PD27OCT17	10160.00	11073.68	USD	5,482.08	
28JUL17	S	1	PD27OCT17	10155.00	11073.68	USD	-5,512.08	
28JUL17	B	1	PD27OCT17	10155.00	11073.68	USD	5,512.08	
28JUL17	B	1	PD27OCT17	10130.00	11073.68	USD	5,662.08	
28JUL17	B	1	PD27OCT17	10145.00	11073.68	USD	5,572.08	
28JUL17	S	2	PD27OCT17	10215.00	11073.68	USD	-10,304.16	
28JUL17	S	2	PD27OCT17	10230.00	11073.68	USD	-10,124.16	
28JUL17	S	2	PD27OCT17	10240.00	11073.68	USD	-10,004.16	
28JUL17	B	3	PD27OCT17	10245.00	11073.68	USD	14,916.24	
28JUL17	B	7	PD27OCT17	10255.00	11073.68	USD	34,384.56	
28JUL17	S	2	PD27OCT17	10245.00	11073.68	USD	-9,944.16	
28JUL17	B	2	PD27OCT17	10250.00	11073.68	USD	9,884.16	
28JUL17	S	4	PD27OCT17	10285.00	11073.68	USD	-18,928.32	
28JUL17	S	7	PD27OCT17	10280.00	11073.68	USD	-33,334.56	
28JUL17	B	1	PD27OCT17	10270.00	11073.68	USD	4,822.08	
28JUL17	B	1	PD27OCT17	10265.00	11073.68	USD	4,852.08	
28JUL17	B	2	PD27OCT17	10240.00	11073.68	USD	10,004.16	
28JUL17	S	5	PD27OCT17	10220.00	11073.68	USD	-25,610.40	
28JUL17	B	2	PD27OCT17	10200.00	11073.68	USD	10,484.16	
28JUL17	B	1	PD27OCT17	10195.00	11073.68	USD	5,272.08	
28JUL17	S	1	PD27OCT17	10185.00	11073.68	USD	-5,332.08	
28JUL17	B	1	PD27OCT17	10210.00	11073.68	USD	5,182.08	
28JUL17	B	1	PD27OCT17	10245.00	11073.68	USD	4,972.08	
28JUL17	S	3	PD27OCT17	10245.00	11073.68	USD	-14,916.24	
28JUL17	B	1	PD27OCT17	10255.00	11073.68	USD	4,912.08	
28JUL17	S	2	PD27OCT17	10225.00	11073.68	USD	-10,184.16	
28JUL17	S	6	PD27OCT17	10225.00	11073.68	USD	-30,552.48	
28JUL17	S	6	PD27OCT17	10255.00	11073.68	USD	-29,472.48	
28JUL17	S	4	PD27OCT17	10275.00	11073.68	USD	-19,168.32	
28JUL17	B	2	PD27OCT17	10235.00	11073.68	USD	10,064.16	
28JUL17	B	2	PD27OCT17	10230.00	11073.68	USD	10,124.16	
28JUL17	B	2	PD27OCT17	10225.00	11073.68	USD	10,184.16	
28JUL17	B	1	PD27OCT17	10220.00	11073.68	USD	5,122.08	
28JUL17	B	1	PD27OCT17	10215.00	11073.68	USD	5,152.08	
28JUL17	B	3	PD27OCT17	10205.00	11073.68	USD	15,636.24	
28JUL17	S	3	PD27OCT17	10250.00	11073.68	USD	-14,826.24	

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28JUL17	S	1	PD27OCT17	10260.00	11073.68	USD	-4,882.08	
28JUL17	S	2	PD27OCT17	10265.00	11073.68	USD	-9,704.16	
28JUL17	B	5	PD27OCT17	10201.00	11073.68	USD	26,180.40	
03AUG17	B	7	PD27OCT17	10379.50	11073.68	USD	29,155.56	
07AUG17	B	1	PD27OCT17	10395.05	11073.68	USD	4,071.78	
09AUG17	B	2	PD27OCT17	10754.50	11073.68	USD	3,830.16	
10AUG17	S	2	PD27OCT17	10976.78	11073.68	USD	-1,162.80	
10AUG17	S	6	PD27OCT17	10975.78	11073.68	USD	-3,524.40	
14AUG17	B	8	PD27OCT17	10439.33	11073.68	USD	30,448.80	
15AUG17	S	3	PD27OCT17	10338.13	11073.68	USD	-13,239.90	
05SEP17	B	2	PD27OCT17	12055.65	11073.68	USD	-11,783.64	
12SEP17	S	2	PD27OCT17	11949.00	11073.68	USD	10,503.84	
	NET	0	AVG BGHT 10184.68				56,724.84	0.00
			SOLD 10241.29					
31JUL17	B	1	PD31OCT17	10300.00	11077.54	USD	4,665.24	
31JUL17	B	2	PD31OCT17	10350.00	11077.54	USD	8,730.48	
31JUL17	B	1	PD31OCT17	10305.00	11077.54	USD	4,635.24	
31JUL17	B	3	PD31OCT17	10325.00	11077.54	USD	13,545.72	
31JUL17	B	3	PD31OCT17	10320.00	11077.54	USD	13,635.72	
31JUL17	B	1	PD31OCT17	10285.00	11077.54	USD	4,755.24	
31JUL17	S	3	PD31OCT17	10300.00	11077.54	USD	-13,995.72	
31JUL17	S	4	PD31OCT17	10315.00	11077.54	USD	-18,300.96	
31JUL17	B	3	PD31OCT17	10315.00	11077.54	USD	13,725.72	
31JUL17	B	4	PD31OCT17	10290.00	11077.54	USD	18,900.96	
31JUL17	B	2	PD31OCT17	10295.00	11077.54	USD	9,390.48	
31JUL17	S	3	PD31OCT17	10230.00	11077.54	USD	-15,255.72	
31JUL17	S	2	PD31OCT17	10265.00	11077.54	USD	-9,750.48	
31JUL17	S	3	PD31OCT17	10270.00	11077.54	USD	-14,535.72	
31JUL17	B	1	PD31OCT17	10320.00	11077.54	USD	4,545.24	
31JUL17	S	1	PD31OCT17	10320.00	11077.54	USD	-4,545.24	
31JUL17	B	2	PD31OCT17	10275.00	11077.54	USD	9,630.48	
31JUL17	S	1	PD31OCT17	10250.00	11077.54	USD	-4,965.24	
31JUL17	S	2	PD31OCT17	10245.00	11077.54	USD	-9,990.48	
31JUL17	S	3	PD31OCT17	10260.00	11077.54	USD	-14,715.72	
31JUL17	S	4	PD31OCT17	10240.00	11077.54	USD	-20,100.96	
31JUL17	B	2	PD31OCT17	10180.00	11077.54	USD	10,770.48	
31JUL17	S	2	PD31OCT17	10280.00	11077.54	USD	-9,570.48	
31JUL17	S	4	PD31OCT17	10275.00	11077.54	USD	-19,260.96	
31JUL17	B	8	PD31OCT17	10280.00	11077.54	USD	38,281.92	
31JUL17	B	14	PD31OCT17	10275.00	11077.54	USD	67,413.36	
31JUL17	B	2	PD31OCT17	10270.00	11077.54	USD	9,690.48	
31JUL17	B	3	PD31OCT17	10255.00	11077.54	USD	14,805.72	
31JUL17	B	5	PD31OCT17	10250.00	11077.54	USD	24,826.20	
31JUL17	B	3	PD31OCT17	10245.00	11077.54	USD	14,985.72	
31JUL17	B	1	PD31OCT17	10240.00	11077.54	USD	5,025.24	
31JUL17	B	4	PD31OCT17	10225.00	11077.54	USD	20,460.96	
31JUL17	B	1	PD31OCT17	10220.00	11077.54	USD	5,145.24	
31JUL17	B	5	PD31OCT17	10230.00	11077.54	USD	25,426.20	
31JUL17	S	1	PD31OCT17	10265.00	11077.54	USD	-4,875.24	
31JUL17	B	5	PD31OCT17	10235.00	11077.54	USD	25,276.20	
31JUL17	B	3	PD31OCT17	10215.00	11077.54	USD	15,525.72	
31JUL17	S	2	PD31OCT17	10225.00	11077.54	USD	-10,230.48	
31JUL17	S	3	PD31OCT17	10220.00	11077.54	USD	-15,435.72	
31JUL17	S	2	PD31OCT17	10225.00	11077.54	USD	-10,230.48	
03AUG17	S	2	PD31OCT17	10379.36	11077.54	USD	-8,378.16	
07AUG17	S	11	PD31OCT17	10396.85	11077.54	USD	-44,925.54	
08AUG17	S	2	PD31OCT17	10625.76	11077.54	USD	-5,421.36	
08AUG17	S	2	PD31OCT17	10626.76	11077.54	USD	-5,409.36	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	6	PD31OCT17	10755.50	11077.54	USD	-11,593.44	
10AUG17	S	6	PD31OCT17	10978.13	11077.54	USD	-3,578.76	
10AUG17	S	8	PD31OCT17	10979.13	11077.54	USD	-4,723.68	
22AUG17	S	1	PD31OCT17	11404.12	11077.54	USD	1,959.48	
	NET	+1AVG BGHT 10267.85	SOLD 10483.89				105,963.54	0.00
01AUG17	B	2	PD01NOV17	10205.00	11078.50	USD	10,482.00	
01AUG17	S	4	PD01NOV17	10125.00	11078.50	USD	-22,884.00	
01AUG17	S	2	PD01NOV17	10110.00	11078.50	USD	-11,622.00	
01AUG17	B	1	PD01NOV17	10105.00	11078.50	USD	5,841.00	
01AUG17	B	2	PD01NOV17	10120.00	11078.50	USD	11,502.00	
01AUG17	B	4	PD01NOV17	10130.00	11078.50	USD	22,764.00	
01AUG17	B	2	PD01NOV17	10150.00	11078.50	USD	11,142.00	
01AUG17	S	2	PD01NOV17	10105.00	11078.50	USD	-11,682.00	
01AUG17	S	4	PD01NOV17	10095.00	11078.50	USD	-23,604.00	
01AUG17	S	1	PD01NOV17	10115.00	11078.50	USD	-5,781.00	
01AUG17	B	1	PD01NOV17	10105.00	11078.50	USD	5,841.00	
01AUG17	S	2	PD01NOV17	10115.00	11078.50	USD	-11,562.00	
01AUG17	B	1	PD01NOV17	10150.00	11078.50	USD	5,571.00	
01AUG17	S	1	PD01NOV17	10215.00	11078.50	USD	-5,181.00	
01AUG17	S	10	PD01NOV17	10210.00	11078.50	USD	-52,110.00	
01AUG17	S	16	PD01NOV17	10205.00	11078.50	USD	-83,856.00	
01AUG17	S	10	PD01NOV17	10200.00	11078.50	USD	-52,710.00	
01AUG17	B	9	PD01NOV17	10195.00	11078.50	USD	47,709.00	
01AUG17	S	6	PD01NOV17	10190.00	11078.50	USD	-31,986.00	
01AUG17	B	2	PD01NOV17	10190.00	11078.50	USD	10,662.00	
01AUG17	S	4	PD01NOV17	10175.00	11078.50	USD	-21,684.00	
01AUG17	S	3	PD01NOV17	10195.00	11078.50	USD	-15,903.00	
01AUG17	S	1	PD01NOV17	10180.00	11078.50	USD	-5,391.00	
01AUG17	S	4	PD01NOV17	10185.00	11078.50	USD	-21,444.00	
01AUG17	S	1	PD01NOV17	10240.00	11078.50	USD	-5,031.00	
01AUG17	S	2	PD01NOV17	10200.00	11078.50	USD	-10,542.00	
01AUG17	S	2	PD01NOV17	10190.00	11078.50	USD	-10,662.00	
01AUG17	B	1	PD01NOV17	10195.00	11078.50	USD	5,301.00	
01AUG17	S	2	PD01NOV17	10295.00	11078.50	USD	-9,402.00	
01AUG17	S	2	PD01NOV17	10300.00	11078.50	USD	-9,342.00	
01AUG17	S	1	PD01NOV17	10245.00	11078.50	USD	-5,001.00	
01AUG17	S	2	PD01NOV17	10255.00	11078.50	USD	-9,882.00	
01AUG17	S	2	PD01NOV17	10260.00	11078.50	USD	-9,822.00	
01AUG17	S	5	PD01NOV17	10265.00	11078.50	USD	-24,405.00	
01AUG17	S	4	PD01NOV17	10280.00	11078.50	USD	-19,164.00	
01AUG17	B	1	PD01NOV17	10255.00	11078.50	USD	4,941.00	
03AUG17	B	2	PD01NOV17	10380.57	11078.50	USD	8,375.16	
07AUG17	B	11	PD01NOV17	10398.30	11078.50	USD	44,893.20	
08AUG17	B	2	PD01NOV17	10628.17	11078.50	USD	5,403.96	
08AUG17	B	5	PD01NOV17	10627.17	11078.50	USD	13,539.90	
09AUG17	B	11	PD01NOV17	10757.00	11078.50	USD	21,219.00	
09AUG17	B	5	PD01NOV17	10756.00	11078.50	USD	9,675.00	
10AUG17	B	8	PD01NOV17	10980.72	11078.50	USD	4,693.44	
10AUG17	B	5	PD01NOV17	10979.72	11078.50	USD	2,963.40	
14AUG17	B	8	PD01NOV17	10442.57	11078.50	USD	30,524.64	
15AUG17	B	3	PD01NOV17	10342.25	11078.50	USD	13,252.50	
12SEP17	B	7	PD01NOV17	11954.00	11078.50	USD	-36,771.00	
	NET	0AVG BGHT 10613.40	SOLD 10199.19				-231,127.80	0.00
02AUG17	B	1	PD02NOV17	10185.00	11079.46	USD	5,366.76	
02AUG17	S	2	PD02NOV17	10150.00	11079.46	USD	-11,153.52	
02AUG17	B	2	PD02NOV17	10145.00	11079.46	USD	11,213.52	
02AUG17	B	3	PD02NOV17	10205.00	11079.46	USD	15,740.28	

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02AUG17	S	2	PD02NOV17	10190.00	11079.46	USD	-10,673.52	
02AUG17	B	1	PD02NOV17	10170.00	11079.46	USD	5,456.76	
02AUG17	S	1	PD02NOV17	10200.00	11079.46	USD	-5,276.76	
02AUG17	S	2	PD02NOV17	10255.00	11079.46	USD	-9,893.52	
02AUG17	S	2	PD02NOV17	10260.00	11079.46	USD	-9,833.52	
02AUG17	S	2	PD02NOV17	10265.00	11079.46	USD	-9,773.52	
02AUG17	B	1	PD02NOV17	10245.00	11079.46	USD	5,006.76	
02AUG17	S	3	PD02NOV17	10240.00	11079.46	USD	-15,110.28	
02AUG17	S	5	PD02NOV17	10245.00	11079.46	USD	-25,033.80	
02AUG17	B	10	PD02NOV17	10215.00	11079.46	USD	51,867.60	
02AUG17	B	5	PD02NOV17	10220.00	11079.46	USD	25,783.80	
02AUG17	B	1	PD02NOV17	10250.00	11079.46	USD	4,976.76	
02AUG17	B	2	PD02NOV17	10265.00	11079.46	USD	9,773.52	
02AUG17	S	2	PD02NOV17	10285.00	11079.46	USD	-9,533.52	
02AUG17	B	2	PD02NOV17	10270.00	11079.46	USD	9,713.52	
02AUG17	B	1	PD02NOV17	10275.00	11079.46	USD	4,826.76	
02AUG17	S	2	PD02NOV17	10310.00	11079.46	USD	-9,233.52	
02AUG17	S	1	PD02NOV17	10335.00	11079.46	USD	-4,466.76	
02AUG17	B	2	PD02NOV17	10330.00	11079.46	USD	8,993.52	
02AUG17	B	1	PD02NOV17	10325.00	11079.46	USD	4,526.76	
02AUG17	B	1	PD02NOV17	10335.00	11079.46	USD	4,466.76	
08AUG17	S	2	PD02NOV17	10626.57	11079.46	USD	-5,434.68	
09AUG17	S	3	PD02NOV17	10755.50	11079.46	USD	-5,831.28	
09AUG17	S	2	PD02NOV17	10756.50	11079.46	USD	-3,875.52	
10AUG17	S	1	PD02NOV17	10979.30	11079.46	USD	-600.96	
17AUG17	S	1	PD02NOV17	10718.25	11079.46	USD	-2,167.26	
	NET	0AVG BGHT 10232.42	SOLD 10383.04				29,821.14	0.00
03AUG17	S	1	PD03NOV17	10445.00	11080.43	USD	-3,812.58	
03AUG17	B	2	PD03NOV17	10425.00	11080.43	USD	7,865.16	
03AUG17	S	2	PD03NOV17	10430.00	11080.43	USD	-7,805.16	
03AUG17	S	2	PD03NOV17	10425.00	11080.43	USD	-7,865.16	
03AUG17	B	2	PD03NOV17	10435.00	11080.43	USD	7,745.16	
03AUG17	B	1	PD03NOV17	10430.00	11080.43	USD	3,902.58	
03AUG17	S	1	PD03NOV17	10435.00	11080.43	USD	-3,872.58	
03AUG17	S	2	PD03NOV17	10395.00	11080.43	USD	-8,225.16	
03AUG17	S	1	PD03NOV17	10335.00	11080.43	USD	-4,472.58	
03AUG17	S	3	PD03NOV17	10315.00	11080.43	USD	-13,777.74	
03AUG17	S	3	PD03NOV17	10275.00	11080.43	USD	-14,497.74	
03AUG17	S	1	PD03NOV17	10265.00	11080.43	USD	-4,892.58	
03AUG17	B	1	PD03NOV17	10260.00	11080.43	USD	4,922.58	
03AUG17	B	1	PD03NOV17	10270.00	11080.43	USD	4,862.58	
03AUG17	S	1	PD03NOV17	10425.00	11080.43	USD	-3,932.58	
03AUG17	S	1	PD03NOV17	10280.00	11080.43	USD	-4,802.58	
03AUG17	B	1	PD03NOV17	10270.00	11080.43	USD	4,862.58	
03AUG17	B	2	PD03NOV17	10300.00	11080.43	USD	9,365.16	
03AUG17	S	4	PD03NOV17	10300.00	11080.43	USD	-18,730.32	
03AUG17	S	3	PD03NOV17	10305.00	11080.43	USD	-13,957.74	
03AUG17	S	4	PD03NOV17	10295.00	11080.43	USD	-18,850.32	
03AUG17	S	1	PD03NOV17	10285.00	11080.43	USD	-4,772.58	
03AUG17	B	5	PD03NOV17	10290.00	11080.43	USD	23,712.90	
03AUG17	B	4	PD03NOV17	10285.00	11080.43	USD	19,090.32	
03AUG17	B	1	PD03NOV17	10280.00	11080.43	USD	4,802.58	
03AUG17	B	1	PD03NOV17	10325.00	11080.43	USD	4,532.58	
03AUG17	S	1	PD03NOV17	10350.00	11080.43	USD	-4,382.58	
03AUG17	B	1	PD03NOV17	10375.00	11080.43	USD	4,232.58	
04AUG17	B	2	PD03NOV17	10325.00	11080.43	USD	9,065.16	
04AUG17	B	1	PD03NOV17	10375.00	11080.43	USD	4,232.58	

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04AUG17	B	1	PD03NOV17	10400.00	11080.43	USD	4,082.58	
04AUG17	B	1	PD03NOV17	10395.00	11080.43	USD	4,112.58	
04AUG17	S	1	PD03NOV17	10380.00	11080.43	USD	-4,202.58	
04AUG17	S	2	PD03NOV17	10385.00	11080.43	USD	-8,345.16	
04AUG17	B	5	PD03NOV17	10380.00	11080.43	USD	21,012.90	
04AUG17	B	4	PD03NOV17	10390.00	11080.43	USD	16,570.32	
04AUG17	B	2	PD03NOV17	10385.00	11080.43	USD	8,345.16	
04AUG17	B	1	PD03NOV17	10365.00	11080.43	USD	4,292.58	
04AUG17	S	4	PD03NOV17	10310.00	11080.43	USD	-18,490.32	
04AUG17	B	1	PD03NOV17	10305.00	11080.43	USD	4,652.58	
04AUG17	S	1	PD03NOV17	10325.00	11080.43	USD	-4,532.58	
04AUG17	B	1	PD03NOV17	10345.00	11080.43	USD	4,412.58	
04AUG17	B	2	PD03NOV17	10355.00	11080.43	USD	8,705.16	
04AUG17	S	1	PD03NOV17	10340.00	11080.43	USD	-4,442.58	
04AUG17	S	1	PD03NOV17	10300.00	11080.43	USD	-4,682.58	
04AUG17	S	5	PD03NOV17	10315.00	11080.43	USD	-22,962.90	
04AUG17	B	2	PD03NOV17	10290.00	11080.43	USD	9,485.16	
04AUG17	B	1	PD03NOV17	10250.00	11080.43	USD	4,982.58	
04AUG17	B	1	PD03NOV17	10245.00	11080.43	USD	5,012.58	
04AUG17	B	1	PD03NOV17	10235.00	11080.43	USD	5,072.58	
04AUG17	B	1	PD03NOV17	10240.00	11080.43	USD	5,042.58	
04AUG17	B	1	PD03NOV17	10205.00	11080.43	USD	5,252.58	
04AUG17	S	2	PD03NOV17	10205.00	11080.43	USD	-10,505.16	
04AUG17	B	2	PD03NOV17	10215.00	11080.43	USD	10,385.16	
04AUG17	B	1	PD03NOV17	10200.00	11080.43	USD	5,282.58	
04AUG17	S	1	PD03NOV17	10275.00	11080.43	USD	-4,832.58	
04AUG17	B	2	PD03NOV17	10200.00	11080.43	USD	10,565.16	
04AUG17	S	1	PD03NOV17	10295.00	11080.43	USD	-4,712.58	
04AUG17	S	2	PD03NOV17	10285.00	11080.43	USD	-9,545.16	
04AUG17	S	3	PD03NOV17	10290.00	11080.43	USD	-14,227.74	
04AUG17	B	1	PD03NOV17	10280.00	11080.43	USD	4,802.58	
04AUG17	B	3	PD03NOV17	10270.00	11080.43	USD	14,587.74	
04AUG17	B	1	PD03NOV17	10265.00	11080.43	USD	4,892.58	
04AUG17	B	2	PD03NOV17	10320.00	11080.43	USD	9,125.16	
04AUG17	B	2	PD03NOV17	10310.00	11080.43	USD	9,245.16	
04AUG17	S	4	PD03NOV17	10305.00	11080.43	USD	-18,610.32	
04AUG17	B	1	PD03NOV17	10275.00	11080.43	USD	4,832.58	
07AUG17	S	1	PD03NOV17	10397.20	11080.43	USD	-4,099.38	
08AUG17	S	2	PD03NOV17	10626.98	11080.43	USD	-5,441.40	
09AUG17	B	1	PD03NOV17	10758.00	11080.43	USD	1,934.58	
10AUG17	B	2	PD03NOV17	10981.89	11080.43	USD	1,182.48	
17AUG17	S	5	PD03NOV17	10718.92	11080.43	USD	-10,845.30	
22AUG17	B	1	PD03NOV17	11406.19	11080.43	USD	-1,954.56	
12SEP17	S	2	PD03NOV17	11955.00	11080.43	USD	10,494.84	
	NET	0AVG	BGHT 10357.94	SOLD 10407.40			20,476.74	0.00
07AUG17	S	2	PD07NOV17	10290.00	11084.29	USD	-9,531.48	
07AUG17	S	2	PD07NOV17	10355.00	11084.29	USD	-8,751.48	
07AUG17	S	1	PD07NOV17	10330.00	11084.29	USD	-4,525.74	
07AUG17	B	1	PD07NOV17	10400.00	11084.29	USD	4,105.74	
07AUG17	S	2	PD07NOV17	10300.00	11084.29	USD	-9,411.48	
07AUG17	S	3	PD07NOV17	10335.00	11084.29	USD	-13,487.22	
07AUG17	S	1	PD07NOV17	10410.00	11084.29	USD	-4,045.74	
07AUG17	S	1	PD07NOV17	10295.00	11084.29	USD	-4,735.74	
07AUG17	B	2	PD07NOV17	10375.00	11084.29	USD	8,511.48	
07AUG17	B	5	PD07NOV17	10345.00	11084.29	USD	22,178.70	
07AUG17	S	1	PD07NOV17	10360.00	11084.29	USD	-4,345.74	
07AUG17	B	3	PD07NOV17	10340.00	11084.29	USD	13,397.22	

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07AUG17	B	3	PD07NOV17		10370.00	11084.29	USD	12,857.22	
07AUG17	B	1	PD07NOV17		10390.00	11084.29	USD	4,165.74	
07AUG17	B	7	PD07NOV17		10350.00	11084.29	USD	30,840.18	
07AUG17	B	1	PD07NOV17		10355.00	11084.29	USD	4,375.74	
07AUG17	B	4	PD07NOV17		10385.00	11084.29	USD	16,782.96	
07AUG17	B	3	PD07NOV17		10380.00	11084.29	USD	12,677.22	
07AUG17	B	3	PD07NOV17		10365.00	11084.29	USD	12,947.22	
07AUG17	B	6	PD07NOV17		10360.00	11084.29	USD	26,074.44	
07AUG17	S	3	PD07NOV17		10380.00	11084.29	USD	-12,677.22	
07AUG17	S	1	PD07NOV17		10385.00	11084.29	USD	-4,195.74	
08AUG17	S	1	PD07NOV17		10628.60	11084.29	USD	-2,734.14	
09AUG17	S	4	PD07NOV17		10759.00	11084.29	USD	-7,806.96	
09AUG17	S	2	PD07NOV17		10758.00	11084.29	USD	-3,915.48	
10AUG17	S	4	PD07NOV17		10982.24	11084.29	USD	-2,449.20	
22AUG17	S	2	PD07NOV17		11408.96	11084.29	USD	3,896.04	
05SEP17	S	1	PD07NOV17		12063.54	11084.29	USD	5,875.50	
11SEP17	S	4	PD07NOV17		11740.62	11084.29	USD	15,751.92	
12SEP17	S	4	PD07NOV17		11959.00	11084.29	USD	20,993.04	
	NET	0 AVG	BGHT 10362.44	SOLD 10887.29				122,817.00	0.00
08AUG17	B	2	PD08NOV17		10355.00	11085.25	USD	8,763.00	
08AUG17	B	2	PD08NOV17		10360.00	11085.25	USD	8,703.00	
08AUG17	B	1	PD08NOV17		10375.00	11085.25	USD	4,261.50	
08AUG17	S	2	PD08NOV17		10290.00	11085.25	USD	-9,543.00	
08AUG17	B	2	PD08NOV17		10295.00	11085.25	USD	9,483.00	
08AUG17	B	1	PD08NOV17		10340.00	11085.25	USD	4,471.50	
08AUG17	B	3	PD08NOV17		10310.00	11085.25	USD	13,954.50	
08AUG17	B	8	PD08NOV17		10290.00	11085.25	USD	38,172.00	
08AUG17	S	1	PD08NOV17		10280.00	11085.25	USD	-4,831.50	
08AUG17	S	10	PD08NOV17		10295.00	11085.25	USD	-47,415.00	
08AUG17	B	1	PD08NOV17		10305.00	11085.25	USD	4,681.50	
08AUG17	B	1	PD08NOV17		10295.00	11085.25	USD	4,741.50	
08AUG17	S	1	PD08NOV17		10330.00	11085.25	USD	-4,531.50	
08AUG17	B	3	PD08NOV17		10330.00	11085.25	USD	13,594.50	
08AUG17	S	2	PD08NOV17		10335.00	11085.25	USD	-9,003.00	
08AUG17	S	6	PD08NOV17		10330.00	11085.25	USD	-27,189.00	
08AUG17	S	10	PD08NOV17		10325.00	11085.25	USD	-45,615.00	
08AUG17	B	1	PD08NOV17		10365.00	11085.25	USD	4,321.50	
08AUG17	S	1	PD08NOV17		10370.00	11085.25	USD	-4,291.50	
08AUG17	S	5	PD08NOV17		10340.00	11085.25	USD	-22,357.50	
08AUG17	B	3	PD08NOV17		10350.00	11085.25	USD	13,234.50	
08AUG17	S	1	PD08NOV17		10350.00	11085.25	USD	-4,411.50	
08AUG17	S	1	PD08NOV17		10355.00	11085.25	USD	-4,381.50	
08AUG17	S	4	PD08NOV17		10360.00	11085.25	USD	-17,406.00	
08AUG17	S	3	PD08NOV17		10365.00	11085.25	USD	-12,964.50	
08AUG17	B	3	PD08NOV17		10395.00	11085.25	USD	12,424.50	
08AUG17	B	1	PD08NOV17		10425.00	11085.25	USD	3,961.50	
08AUG17	B	2	PD08NOV17		10445.00	11085.25	USD	7,683.00	
08AUG17	B	2	PD08NOV17		10480.00	11085.25	USD	7,263.00	
08AUG17	B	2	PD08NOV17		10475.00	11085.25	USD	7,323.00	
08AUG17	S	1	PD08NOV17		10470.00	11085.25	USD	-3,691.50	
08AUG17	B	2	PD08NOV17		10490.00	11085.25	USD	7,143.00	
08AUG17	B	1	PD08NOV17		10515.00	11085.25	USD	3,421.50	
08AUG17	B	1	PD08NOV17		10530.00	11085.25	USD	3,331.50	
08AUG17	B	1	PD08NOV17		10525.00	11085.25	USD	3,361.50	
08AUG17	S	2	PD08NOV17		10535.00	11085.25	USD	-6,603.00	
08AUG17	B	2	PD08NOV17		10585.00	11085.25	USD	6,003.00	
08AUG17	S	2	PD08NOV17		10595.00	11085.25	USD	-5,883.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	1	PD08NOV17	10605.00	11085.25	USD	-2,881.50	
08AUG17	B	2	PD08NOV17	10565.00	11085.25	USD	6,243.00	
08AUG17	B	1	PD08NOV17	10580.00	11085.25	USD	3,031.50	
08AUG17	S	2	PD08NOV17	10630.00	11085.25	USD	-5,463.00	
08AUG17	B	1	PD08NOV17	10625.00	11085.25	USD	2,761.50	
08AUG17	B	1	PD08NOV17	10610.00	11085.25	USD	2,851.50	
08AUG17	B	2	PD08NOV17	10605.00	11085.25	USD	5,763.00	
08AUG17	S	1	PD08NOV17	10600.00	11085.25	USD	-2,911.50	
08AUG17	S	1	PD08NOV17	10625.00	11085.25	USD	-2,761.50	
08AUG17	S	1	PD08NOV17	10620.00	11085.25	USD	-2,791.50	
08AUG17	B	2	PD08NOV17	10640.00	11085.25	USD	5,343.00	
08AUG17	B	1	PD08NOV17	10645.00	11085.25	USD	2,641.50	
08AUG17	B	1	PD08NOV17	10650.00	11085.25	USD	2,611.50	
08AUG17	B	2	PD08NOV17	10670.00	11085.25	USD	4,983.00	
08AUG17	B	2	PD08NOV17	10680.00	11085.25	USD	4,863.00	
08AUG17	B	3	PD08NOV17	10690.00	11085.25	USD	7,114.50	
08AUG17	S	1	PD08NOV17	10695.00	11085.25	USD	-2,341.50	
08AUG17	S	1	PD08NOV17	10720.00	11085.25	USD	-2,191.50	
08AUG17	B	1	PD08NOV17	10735.00	11085.25	USD	2,101.50	
08AUG17	B	1	PD08NOV17	10760.00	11085.25	USD	1,951.50	
08AUG17	B	2	PD08NOV17	10755.00	11085.25	USD	3,963.00	
08AUG17	B	2	PD08NOV17	10745.00	11085.25	USD	4,083.00	
08AUG17	S	1	PD08NOV17	10715.00	11085.25	USD	-2,221.50	
09AUG17	B	6	PD08NOV17	10760.50	11085.25	USD	11,691.00	
17AUG17	S	1	PD08NOV17	10722.29	11085.25	USD	-2,177.76	
22AUG17	S	3	PD08NOV17	11409.65	11085.25	USD	5,839.20	
05SEP17	S	2	PD08NOV17	12064.35	11085.25	USD	11,749.20	
11SEP17	S	2	PD08NOV17	11741.54	11085.25	USD	7,875.48	
12SEP17	S	3	PD08NOV17	11961.00	11085.25	USD	15,763.50	
15SEP17	S	3	PD08NOV17	11059.25	11085.25	USD	-468.00	
	NET	0 AVG	BGHT 10502.37	SOLD 10607.25			47,194.62	0.00
09AUG17	S	2	PD09NOV17	10790.00	11086.21	USD	-3,554.52	
09AUG17	S	2	PD09NOV17	10750.00	11086.21	USD	-4,034.52	
09AUG17	S	1	PD09NOV17	10760.00	11086.21	USD	-1,957.26	
09AUG17	S	1	PD09NOV17	10690.00	11086.21	USD	-2,377.26	
09AUG17	S	2	PD09NOV17	10710.00	11086.21	USD	-4,514.52	
09AUG17	B	1	PD09NOV17	10785.00	11086.21	USD	1,807.26	
09AUG17	B	3	PD09NOV17	10810.00	11086.21	USD	4,971.78	
09AUG17	B	1	PD09NOV17	10835.00	11086.21	USD	1,507.26	
09AUG17	B	2	PD09NOV17	10805.00	11086.21	USD	3,374.52	
09AUG17	B	1	PD09NOV17	10795.00	11086.21	USD	1,747.26	
09AUG17	B	1	PD09NOV17	10750.00	11086.21	USD	2,017.26	
09AUG17	B	2	PD09NOV17	10800.00	11086.21	USD	3,434.52	
09AUG17	B	1	PD09NOV17	10725.00	11086.21	USD	2,167.26	
09AUG17	B	1	PD09NOV17	10690.00	11086.21	USD	2,377.26	
09AUG17	S	1	PD09NOV17	10690.00	11086.21	USD	-2,377.26	
09AUG17	S	3	PD09NOV17	10740.00	11086.21	USD	-6,231.78	
09AUG17	B	4	PD09NOV17	10770.00	11086.21	USD	7,589.04	
09AUG17	S	3	PD09NOV17	10765.00	11086.21	USD	-5,781.78	
09AUG17	S	1	PD09NOV17	10785.00	11086.21	USD	-1,807.26	
09AUG17	S	1	PD09NOV17	10795.00	11086.21	USD	-1,747.26	
09AUG17	S	3	PD09NOV17	10820.00	11086.21	USD	-4,791.78	
09AUG17	S	3	PD09NOV17	10730.00	11086.21	USD	-6,411.78	
09AUG17	S	2	PD09NOV17	10725.00	11086.21	USD	-4,334.52	
09AUG17	S	1	PD09NOV17	10755.00	11086.21	USD	-1,987.26	
09AUG17	S	2	PD09NOV17	10735.00	11086.21	USD	-4,214.52	
09AUG17	B	5	PD09NOV17	10755.00	11086.21	USD	9,936.30	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	10775.00	11086.21	USD	-1,867.26	
09AUG17	B	1	PD09NOV17	10735.00	11086.21	USD	2,107.26	
09AUG17	B	1	PD09NOV17	10745.00	11086.21	USD	2,047.26	
09AUG17	B	3	PD09NOV17	10740.00	11086.21	USD	6,231.78	
09AUG17	B	1	PD09NOV17	10730.00	11086.21	USD	2,137.26	
17AUG17	B	1	PD09NOV17	10722.96	11086.21	USD	2,179.50	
17AUG17	B	4	PD09NOV17	10723.96	11086.21	USD	8,694.00	
05SEP17	S	1	PD09NOV17	12065.15	11086.21	USD	5,873.64	
12SEP17	S	1	PD09NOV17	11961.00	11086.21	USD	5,248.74	
12SEP17	S	2	PD09NOV17	11962.00	11086.21	USD	10,509.48	
	NET	0AVG BGHT 10761.33	SOLD 10902.58				27,968.10	0.00
10AUG17	B	1	PD10NOV17	10765.00	11087.18	USD	1,933.08	
10AUG17	S	1	PD10NOV17	10770.00	11087.18	USD	-1,903.08	
10AUG17	S	5	PD10NOV17	10830.00	11087.18	USD	-7,715.40	
10AUG17	S	1	PD10NOV17	10815.00	11087.18	USD	-1,633.08	
10AUG17	S	1	PD10NOV17	10820.00	11087.18	USD	-1,603.08	
10AUG17	S	2	PD10NOV17	10800.00	11087.18	USD	-3,446.16	
10AUG17	S	2	PD10NOV17	10785.00	11087.18	USD	-3,626.16	
10AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
10AUG17	S	1	PD10NOV17	10795.00	11087.18	USD	-1,753.08	
10AUG17	B	1	PD10NOV17	10805.00	11087.18	USD	1,693.08	
10AUG17	S	1	PD10NOV17	10825.00	11087.18	USD	-1,573.08	
10AUG17	S	2	PD10NOV17	10810.00	11087.18	USD	-3,326.16	
10AUG17	B	1	PD10NOV17	10835.00	11087.18	USD	1,513.08	
10AUG17	B	2	PD10NOV17	10795.00	11087.18	USD	3,506.16	
10AUG17	S	2	PD10NOV17	10720.00	11087.18	USD	-4,406.16	
10AUG17	S	1	PD10NOV17	10735.00	11087.18	USD	-2,113.08	
10AUG17	S	1	PD10NOV17	10740.00	11087.18	USD	-2,083.08	
10AUG17	B	2	PD10NOV17	10770.00	11087.18	USD	3,806.16	
10AUG17	B	3	PD10NOV17	10760.00	11087.18	USD	5,889.24	
10AUG17	B	14	PD10NOV17	10780.00	11087.18	USD	25,803.12	
10AUG17	B	7	PD10NOV17	10775.00	11087.18	USD	13,111.56	
10AUG17	S	2	PD10NOV17	10790.00	11087.18	USD	-3,566.16	
10AUG17	B	2	PD10NOV17	10855.00	11087.18	USD	2,786.16	
10AUG17	B	3	PD10NOV17	10850.00	11087.18	USD	4,269.24	
10AUG17	B	2	PD10NOV17	10835.00	11087.18	USD	3,026.16	
10AUG17	S	5	PD10NOV17	10930.00	11087.18	USD	-4,715.40	
10AUG17	S	2	PD10NOV17	10925.00	11087.18	USD	-1,946.16	
10AUG17	S	3	PD10NOV17	10940.00	11087.18	USD	-2,649.24	
10AUG17	B	1	PD10NOV17	10955.00	11087.18	USD	793.08	
10AUG17	B	2	PD10NOV17	10950.00	11087.18	USD	1,646.16	
10AUG17	B	1	PD10NOV17	10930.00	11087.18	USD	943.08	
10AUG17	S	1	PD10NOV17	10910.00	11087.18	USD	-1,063.08	
10AUG17	S	3	PD10NOV17	10900.00	11087.18	USD	-3,369.24	
10AUG17	S	1	PD10NOV17	10885.00	11087.18	USD	-1,213.08	
10AUG17	B	1	PD10NOV17	10890.00	11087.18	USD	1,183.08	
11AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
11AUG17	S	2	PD10NOV17	10830.00	11087.18	USD	-3,086.16	
11AUG17	S	1	PD10NOV17	10840.00	11087.18	USD	-1,483.08	
11AUG17	S	2	PD10NOV17	10815.00	11087.18	USD	-3,266.16	
11AUG17	S	2	PD10NOV17	10810.00	11087.18	USD	-3,326.16	
11AUG17	S	2	PD10NOV17	10845.00	11087.18	USD	-2,906.16	
11AUG17	S	4	PD10NOV17	10835.00	11087.18	USD	-6,052.32	
11AUG17	S	4	PD10NOV17	10820.00	11087.18	USD	-6,412.32	
11AUG17	B	1	PD10NOV17	10820.00	11087.18	USD	1,603.08	
11AUG17	S	1	PD10NOV17	10740.00	11087.18	USD	-2,083.08	
11AUG17	B	1	PD10NOV17	10760.00	11087.18	USD	1,963.08	

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11AUG17	S	4	PD10NOV17	10735.00	11087.18	USD	-8,452.32	
11AUG17	B	1	PD10NOV17	10740.00	11087.18	USD	2,083.08	
11AUG17	B	1	PD10NOV17	10745.00	11087.18	USD	2,053.08	
11AUG17	B	1	PD10NOV17	10750.00	11087.18	USD	2,023.08	
11AUG17	S	3	PD10NOV17	10745.00	11087.18	USD	-6,159.24	
11AUG17	S	1	PD10NOV17	10750.00	11087.18	USD	-2,023.08	
11AUG17	S	1	PD10NOV17	10755.00	11087.18	USD	-1,993.08	
11AUG17	S	2	PD10NOV17	10715.00	11087.18	USD	-4,466.16	
11AUG17	B	2	PD10NOV17	10715.00	11087.18	USD	4,466.16	
11AUG17	B	1	PD10NOV17	10710.00	11087.18	USD	2,263.08	
11AUG17	S	1	PD10NOV17	10675.00	11087.18	USD	-2,473.08	
11AUG17	S	3	PD10NOV17	10665.00	11087.18	USD	-7,599.24	
11AUG17	S	2	PD10NOV17	10670.00	11087.18	USD	-5,006.16	
11AUG17	S	1	PD10NOV17	10620.00	11087.18	USD	-2,803.08	
11AUG17	S	4	PD10NOV17	10625.00	11087.18	USD	-11,092.32	
11AUG17	S	1	PD10NOV17	10635.00	11087.18	USD	-2,713.08	
11AUG17	S	9	PD10NOV17	10630.00	11087.18	USD	-24,687.72	
11AUG17	B	1	PD10NOV17	10600.00	11087.18	USD	2,923.08	
11AUG17	B	3	PD10NOV17	10665.00	11087.18	USD	7,599.24	
11AUG17	S	4	PD10NOV17	10640.00	11087.18	USD	-10,732.32	
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	B	1	PD10NOV17	10595.00	11087.18	USD	2,953.08	
11AUG17	S	2	PD10NOV17	10700.00	11087.18	USD	-4,646.16	
11AUG17	S	1	PD10NOV17	10745.00	11087.18	USD	-2,053.08	
11AUG17	S	1	PD10NOV17	10640.00	11087.18	USD	-2,683.08	
11AUG17	S	1	PD10NOV17	10630.00	11087.18	USD	-2,743.08	
11AUG17	S	1	PD10NOV17	10675.00	11087.18	USD	-2,473.08	
11AUG17	S	1	PD10NOV17	10670.00	11087.18	USD	-2,503.08	
11AUG17	B	2	PD10NOV17	10610.00	11087.18	USD	5,726.16	
11AUG17	B	1	PD10NOV17	10650.00	11087.18	USD	2,623.08	
11AUG17	S	1	PD10NOV17	10655.00	11087.18	USD	-2,593.08	
11AUG17	B	2	PD10NOV17	10695.00	11087.18	USD	4,706.16	
11AUG17	B	9	PD10NOV17	10685.00	11087.18	USD	21,717.72	
11AUG17	B	1	PD10NOV17	10675.00	11087.18	USD	2,473.08	
11AUG17	B	4	PD10NOV17	10670.00	11087.18	USD	10,012.32	
11AUG17	S	1	PD10NOV17	10660.00	11087.18	USD	-2,563.08	
11AUG17	B	5	PD10NOV17	10655.00	11087.18	USD	12,965.40	
11AUG17	B	1	PD10NOV17	10645.00	11087.18	USD	2,653.08	
11AUG17	B	1	PD10NOV17	10625.00	11087.18	USD	2,773.08	
11AUG17	B	1	PD10NOV17	10620.00	11087.18	USD	2,803.08	
11AUG17	B	1	PD10NOV17	10585.00	11087.18	USD	3,013.08	
11AUG17	B	1	PD10NOV17	10560.00	11087.18	USD	3,163.08	
11AUG17	B	1	PD10NOV17	10550.00	11087.18	USD	3,223.08	
11AUG17	B	1	PD10NOV17	10645.00	11087.18	USD	2,653.08	
11AUG17	B	1	PD10NOV17	10650.00	11087.18	USD	2,623.08	
11AUG17	B	1	PD10NOV17	10655.00	11087.18	USD	2,593.08	
11AUG17	B	2	PD10NOV17	10660.00	11087.18	USD	5,126.16	
11AUG17	B	1	PD10NOV17	10640.00	11087.18	USD	2,683.08	
11AUG17	B	2	PD10NOV17	10630.00	11087.18	USD	5,486.16	
17AUG17	B	4	PD10NOV17	10724.63	11087.18	USD	8,701.20	
17AUG17	S	1	PD10NOV17	10722.63	11087.18	USD	-2,187.30	
22AUG17	B	2	PD10NOV17	11412.04	11087.18	USD	-3,898.32	
23AUG17	S	1	PD10NOV17	11653.44	11087.18	USD	3,397.56	
05SEP17	S	2	PD10NOV17	12065.96	11087.18	USD	11,745.36	
11SEP17	B	4	PD10NOV17	11744.38	11087.18	USD	-15,772.80	
12SEP17	B	1	PD10NOV17	11963.00	11087.18	USD	-5,254.92	
	NET	0AVG BGHT 10792.62	SOLD 10795.97				2,129.22	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	10615.00	11091.04	USD	-2,856.24	
14AUG17	B	1	PD14NOV17	10610.00	11091.04	USD	2,886.24	
14AUG17	S	1	PD14NOV17	10635.00	11091.04	USD	-2,736.24	
14AUG17	B	1	PD14NOV17	10660.00	11091.04	USD	2,586.24	
14AUG17	S	6	PD14NOV17	10645.00	11091.04	USD	-16,057.44	
14AUG17	B	7	PD14NOV17	10650.00	11091.04	USD	18,523.68	
14AUG17	S	1	PD14NOV17	10640.00	11091.04	USD	-2,706.24	
14AUG17	S	3	PD14NOV17	10650.00	11091.04	USD	-7,938.72	
14AUG17	B	2	PD14NOV17	10600.00	11091.04	USD	5,892.48	
14AUG17	S	1	PD14NOV17	10640.00	11091.04	USD	-2,706.24	
14AUG17	S	1	PD14NOV17	10545.00	11091.04	USD	-3,276.24	
14AUG17	S	1	PD14NOV17	10550.00	11091.04	USD	-3,246.24	
14AUG17	S	3	PD14NOV17	10505.00	11091.04	USD	-10,548.72	
14AUG17	S	1	PD14NOV17	10510.00	11091.04	USD	-3,486.24	
14AUG17	S	6	PD14NOV17	10500.00	11091.04	USD	-21,277.44	
14AUG17	S	7	PD14NOV17	10495.00	11091.04	USD	-25,033.68	
14AUG17	S	2	PD14NOV17	10480.00	11091.04	USD	-7,332.48	
14AUG17	S	1	PD14NOV17	10485.00	11091.04	USD	-3,636.24	
14AUG17	B	1	PD14NOV17	10495.00	11091.04	USD	3,576.24	
14AUG17	B	1	PD14NOV17	10485.00	11091.04	USD	3,636.24	
14AUG17	B	5	PD14NOV17	10490.00	11091.04	USD	18,031.20	
14AUG17	B	2	PD14NOV17	10500.00	11091.04	USD	7,092.48	
14AUG17	B	1	PD14NOV17	10480.00	11091.04	USD	3,666.24	
14AUG17	B	1	PD14NOV17	10455.00	11091.04	USD	3,816.24	
14AUG17	B	1	PD14NOV17	10510.00	11091.04	USD	3,486.24	
14AUG17	B	1	PD14NOV17	10515.00	11091.04	USD	3,456.24	
14AUG17	S	5	PD14NOV17	10415.00	11091.04	USD	-20,281.20	
14AUG17	S	2	PD14NOV17	10410.00	11091.04	USD	-8,172.48	
14AUG17	B	6	PD14NOV17	10420.00	11091.04	USD	24,157.44	
14AUG17	S	1	PD14NOV17	10420.00	11091.04	USD	-4,026.24	
14AUG17	S	2	PD14NOV17	10430.00	11091.04	USD	-7,932.48	
14AUG17	S	1	PD14NOV17	10425.00	11091.04	USD	-3,996.24	
14AUG17	S	1	PD14NOV17	10435.00	11091.04	USD	-3,936.24	
14AUG17	S	3	PD14NOV17	10445.00	11091.04	USD	-11,628.72	
14AUG17	S	8	PD14NOV17	10440.00	11091.04	USD	-31,249.92	
14AUG17	B	1	PD14NOV17	10405.00	11091.04	USD	4,116.24	
14AUG17	B	2	PD14NOV17	10410.00	11091.04	USD	8,172.48	
14AUG17	B	3	PD14NOV17	10440.00	11091.04	USD	11,718.72	
14AUG17	B	2	PD14NOV17	10435.00	11091.04	USD	7,872.48	
14AUG17	S	1	PD14NOV17	10435.00	11091.04	USD	-3,936.24	
17AUG17	B	2	PD14NOV17	10726.33	11091.04	USD	4,376.52	
17AUG17	S	1	PD14NOV17	10726.33	11091.04	USD	-2,188.26	
17AUG17	B	5	PD14NOV17	10727.33	11091.04	USD	10,911.30	
22AUG17	B	2	PD14NOV17	11414.81	11091.04	USD	-3,885.24	
05SEP17	B	4	PD14NOV17	12070.19	11091.04	USD	-23,499.60	
11SEP17	B	1	PD14NOV17	11747.08	11091.04	USD	-3,936.24	
11SEP17	B	2	PD14NOV17	11748.08	11091.04	USD	-7,884.48	
12SEP17	B	2	PD14NOV17	11968.00	11091.04	USD	-10,523.52	
12SEP17	B	4	PD14NOV17	11967.00	11091.04	USD	-21,023.04	
	NET	0 AVG BGHT 10876.53	SOLD 10507.19				-132,963.60	0.00
15AUG17	S	2	PD15NOV17	10360.00	11092.00	USD	-8,784.00	
15AUG17	B	1	PD15NOV17	10430.00	11092.00	USD	3,972.00	
15AUG17	B	1	PD15NOV17	10460.00	11092.00	USD	3,792.00	
15AUG17	B	1	PD15NOV17	10450.00	11092.00	USD	3,852.00	
15AUG17	S	7	PD15NOV17	10405.00	11092.00	USD	-28,854.00	
15AUG17	B	2	PD15NOV17	10390.00	11092.00	USD	8,424.00	
15AUG17	S	1	PD15NOV17	10420.00	11092.00	USD	-4,032.00	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	B	3	PD15NOV17	10415.00	11092.00	USD	12,186.00	
15AUG17	S	6	PD15NOV17	10415.00	11092.00	USD	-24,372.00	
15AUG17	B	2	PD15NOV17	10420.00	11092.00	USD	8,064.00	
15AUG17	B	3	PD15NOV17	10405.00	11092.00	USD	12,366.00	
15AUG17	B	4	PD15NOV17	10410.00	11092.00	USD	16,368.00	
15AUG17	B	13	PD15NOV17	10400.00	11092.00	USD	53,976.00	
15AUG17	B	3	PD15NOV17	10395.00	11092.00	USD	12,546.00	
15AUG17	B	2	PD15NOV17	10385.00	11092.00	USD	8,484.00	
15AUG17	B	6	PD15NOV17	10380.00	11092.00	USD	25,632.00	
15AUG17	B	3	PD15NOV17	10350.00	11092.00	USD	13,356.00	
15AUG17	B	2	PD15NOV17	10360.00	11092.00	USD	8,784.00	
15AUG17	B	3	PD15NOV17	10370.00	11092.00	USD	12,996.00	
15AUG17	B	1	PD15NOV17	10365.00	11092.00	USD	4,362.00	
15AUG17	S	1	PD15NOV17	10365.00	11092.00	USD	-4,362.00	
15AUG17	S	6	PD15NOV17	10370.00	11092.00	USD	-25,992.00	
15AUG17	S	2	PD15NOV17	10355.00	11092.00	USD	-8,844.00	
15AUG17	B	2	PD15NOV17	10355.00	11092.00	USD	8,844.00	
15AUG17	S	1	PD15NOV17	10415.00	11092.00	USD	-4,062.00	
15AUG17	B	1	PD15NOV17	10415.00	11092.00	USD	4,062.00	
15AUG17	B	2	PD15NOV17	10360.00	11092.00	USD	8,784.00	
17AUG17	S	2	PD15NOV17	10726.00	11092.00	USD	-4,392.00	
17AUG17	S	11	PD15NOV17	10727.00	11092.00	USD	-24,090.00	
23AUG17	S	3	PD15NOV17	11657.00	11092.00	USD	10,170.00	
05SEP17	S	2	PD15NOV17	12070.00	11092.00	USD	11,736.00	
11SEP17	S	1	PD15NOV17	11747.00	11092.00	USD	3,930.00	
12SEP17	S	1	PD15NOV17	11967.00	11092.00	USD	5,250.00	
12SEP17	S	7	PD15NOV17	11968.00	11092.00	USD	36,792.00	
14SEP17	S	2	PD15NOV17	11177.00	11092.00	USD	1,020.00	
	NET	0 AVG BGHT 10392.45	SOLD 10883.25				161,964.00	0.00
16AUG17	B	1	PD16NOV17	10390.00	11092.77	USD	4,216.62	
16AUG17	S	3	PD16NOV17	10475.00	11092.77	USD	-11,119.86	
16AUG17	S	1	PD16NOV17	10555.00	11092.77	USD	-3,226.62	
16AUG17	S	1	PD16NOV17	10620.00	11092.77	USD	-2,836.62	
16AUG17	S	1	PD16NOV17	10590.00	11092.77	USD	-3,016.62	
16AUG17	S	2	PD16NOV17	10595.00	11092.77	USD	-5,973.24	
16AUG17	S	2	PD16NOV17	10605.00	11092.77	USD	-5,853.24	
16AUG17	S	3	PD16NOV17	10600.00	11092.77	USD	-8,869.86	
16AUG17	S	2	PD16NOV17	10585.00	11092.77	USD	-6,093.24	
16AUG17	S	10	PD16NOV17	10575.00	11092.77	USD	-31,066.20	
16AUG17	S	5	PD16NOV17	10565.00	11092.77	USD	-15,833.10	
16AUG17	S	6	PD16NOV17	10560.00	11092.77	USD	-19,179.72	
16AUG17	B	14	PD16NOV17	10565.00	11092.77	USD	44,332.68	
16AUG17	S	4	PD16NOV17	10570.00	11092.77	USD	-12,546.48	
16AUG17	B	1	PD16NOV17	10580.00	11092.77	USD	3,076.62	
16AUG17	B	1	PD16NOV17	10575.00	11092.77	USD	3,106.62	
16AUG17	S	1	PD16NOV17	10615.00	11092.77	USD	-2,866.62	
16AUG17	S	6	PD16NOV17	10610.00	11092.77	USD	-17,379.72	
16AUG17	S	3	PD16NOV17	10655.00	11092.77	USD	-7,879.86	
16AUG17	S	1	PD16NOV17	10670.00	11092.77	USD	-2,536.62	
16AUG17	B	3	PD16NOV17	10685.00	11092.77	USD	7,339.86	
16AUG17	B	1	PD16NOV17	10680.00	11092.77	USD	2,476.62	
16AUG17	B	1	PD16NOV17	10690.00	11092.77	USD	2,416.62	
16AUG17	S	4	PD16NOV17	10730.00	11092.77	USD	-8,706.48	
16AUG17	S	2	PD16NOV17	10720.00	11092.77	USD	-4,473.24	
16AUG17	S	3	PD16NOV17	10750.00	11092.77	USD	-6,169.86	
16AUG17	S	1	PD16NOV17	10755.00	11092.77	USD	-2,026.62	
16AUG17	B	3	PD16NOV17	10755.00	11092.77	USD	6,079.86	

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16AUG17	B	1	PD16NOV17	10745.00	11092.77	USD	2,086.62	
16AUG17	B	1	PD16NOV17	10740.00	11092.77	USD	2,116.62	
16AUG17	B	4	PD16NOV17	10715.00	11092.77	USD	9,066.48	
16AUG17	B	7	PD16NOV17	10730.00	11092.77	USD	15,236.34	
16AUG17	B	6	PD16NOV17	10725.00	11092.77	USD	13,239.72	
16AUG17	B	2	PD16NOV17	10720.00	11092.77	USD	4,473.24	
16AUG17	B	2	PD16NOV17	10565.00	11092.77	USD	6,333.24	
16AUG17	S	3	PD16NOV17	10565.00	11092.77	USD	-9,499.86	
16AUG17	B	1	PD16NOV17	10795.00	11092.77	USD	1,786.62	
16AUG17	S	1	PD16NOV17	10815.00	11092.77	USD	-1,666.62	
16AUG17	S	1	PD16NOV17	10825.00	11092.77	USD	-1,606.62	
16AUG17	S	4	PD16NOV17	10820.00	11092.77	USD	-6,546.48	
16AUG17	B	3	PD16NOV17	10770.00	11092.77	USD	5,809.86	
17AUG17	B	12	PD16NOV17	10726.00	11092.77	USD	26,407.44	
22AUG17	B	3	PD16NOV17	11413.50	11092.77	USD	-5,773.14	
05SEP17	B	1	PD16NOV17	12070.00	11092.77	USD	-5,863.38	
11SEP17	B	2	PD16NOV17	11748.65	11092.77	USD	-7,870.56	
	NET	0AVG BGHT 10759.21	SOLD 10623.79				-56,878.80	0.00
17AUG17	B	1	PD17NOV17	10755.00	11093.54	USD	2,031.24	
17AUG17	B	2	PD17NOV17	10785.00	11093.54	USD	3,702.48	
17AUG17	S	1	PD17NOV17	10770.00	11093.54	USD	-1,941.24	
17AUG17	B	1	PD17NOV17	10825.00	11093.54	USD	1,611.24	
17AUG17	S	1	PD17NOV17	10850.00	11093.54	USD	-1,461.24	
17AUG17	B	1	PD17NOV17	10850.00	11093.54	USD	1,461.24	
17AUG17	S	1	PD17NOV17	10870.00	11093.54	USD	-1,341.24	
17AUG17	S	7	PD17NOV17	10865.00	11093.54	USD	-9,598.68	
17AUG17	S	1	PD17NOV17	10835.00	11093.54	USD	-1,551.24	
17AUG17	S	6	PD17NOV17	10830.00	11093.54	USD	-9,487.44	
17AUG17	S	1	PD17NOV17	10820.00	11093.54	USD	-1,641.24	
17AUG17	S	1	PD17NOV17	10805.00	11093.54	USD	-1,731.24	
17AUG17	S	1	PD17NOV17	10800.00	11093.54	USD	-1,761.24	
17AUG17	S	3	PD17NOV17	10785.00	11093.54	USD	-5,553.72	
17AUG17	S	1	PD17NOV17	10795.00	11093.54	USD	-1,791.24	
17AUG17	S	7	PD17NOV17	10790.00	11093.54	USD	-12,748.68	
17AUG17	B	2	PD17NOV17	10800.00	11093.54	USD	3,522.48	
17AUG17	B	2	PD17NOV17	10725.00	11093.54	USD	4,422.48	
17AUG17	B	2	PD17NOV17	10795.00	11093.54	USD	3,582.48	
17AUG17	B	2	PD17NOV17	10800.00	11093.54	USD	3,522.48	
17AUG17	S	5	PD17NOV17	10810.00	11093.54	USD	-8,506.20	
17AUG17	S	3	PD17NOV17	10815.00	11093.54	USD	-5,013.72	
17AUG17	B	13	PD17NOV17	10770.00	11093.54	USD	25,236.12	
17AUG17	B	5	PD17NOV17	10760.00	11093.54	USD	10,006.20	
17AUG17	B	1	PD17NOV17	10725.00	11093.54	USD	2,211.24	
17AUG17	B	1	PD17NOV17	10720.00	11093.54	USD	2,241.24	
17AUG17	B	5	PD17NOV17	10780.00	11093.54	USD	9,406.20	
17AUG17	B	10	PD17NOV17	10775.00	11093.54	USD	19,112.40	
17AUG17	B	10	PD17NOV17	10765.00	11093.54	USD	19,712.40	
17AUG17	S	2	PD17NOV17	10750.00	11093.54	USD	-4,122.48	
17AUG17	B	11	PD17NOV17	10750.00	11093.54	USD	22,673.64	
17AUG17	B	1	PD17NOV17	10745.00	11093.54	USD	2,091.24	
17AUG17	B	7	PD17NOV17	10740.00	11093.54	USD	14,848.68	
17AUG17	B	9	PD17NOV17	10735.00	11093.54	USD	19,361.16	
17AUG17	S	1	PD17NOV17	10740.00	11093.54	USD	-2,121.24	
17AUG17	B	1	PD17NOV17	10685.00	11093.54	USD	2,451.24	
17AUG17	B	1	PD17NOV17	10665.00	11093.54	USD	2,571.24	
18AUG17	S	2	PD17NOV17	10630.00	11093.54	USD	-5,562.48	
18AUG17	S	2	PD17NOV17	10665.00	11093.54	USD	-5,142.48	

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18AUG17	S	1	PD17NOV17	10710.00	11093.54	USD	-2,301.24	
18AUG17	S	4	PD17NOV17	10810.00	11093.54	USD	-6,804.96	
18AUG17	S	3	PD17NOV17	10815.00	11093.54	USD	-5,013.72	
18AUG17	B	1	PD17NOV17	10865.00	11093.54	USD	1,371.24	
18AUG17	B	5	PD17NOV17	10870.00	11093.54	USD	6,706.20	
18AUG17	B	6	PD17NOV17	10875.00	11093.54	USD	7,867.44	
18AUG17	B	14	PD17NOV17	10880.00	11093.54	USD	17,937.36	
18AUG17	S	2	PD17NOV17	10920.00	11093.54	USD	-2,082.48	
18AUG17	B	3	PD17NOV17	10920.00	11093.54	USD	3,123.72	
18AUG17	B	2	PD17NOV17	10945.00	11093.54	USD	1,782.48	
18AUG17	B	1	PD17NOV17	10960.00	11093.54	USD	801.24	
18AUG17	B	3	PD17NOV17	10970.00	11093.54	USD	2,223.72	
18AUG17	B	3	PD17NOV17	10975.00	11093.54	USD	2,133.72	
18AUG17	S	1	PD17NOV17	10995.00	11093.54	USD	-591.24	
18AUG17	S	1	PD17NOV17	11000.00	11093.54	USD	-561.24	
18AUG17	S	2	PD17NOV17	11005.00	11093.54	USD	-1,062.48	
18AUG17	S	1	PD17NOV17	11050.00	11093.54	USD	-261.24	
18AUG17	B	1	PD17NOV17	10995.00	11093.54	USD	591.24	
18AUG17	B	3	PD17NOV17	10915.00	11093.54	USD	3,213.72	
18AUG17	B	7	PD17NOV17	10910.00	11093.54	USD	7,708.68	
18AUG17	B	7	PD17NOV17	10860.00	11093.54	USD	9,808.68	
18AUG17	S	1	PD17NOV17	10870.00	11093.54	USD	-1,341.24	
18AUG17	S	1	PD17NOV17	10895.00	11093.54	USD	-1,191.24	
18AUG17	S	3	PD17NOV17	10890.00	11093.54	USD	-3,663.72	
18AUG17	B	2	PD17NOV17	10850.00	11093.54	USD	2,922.48	
18AUG17	S	5	PD17NOV17	10855.00	11093.54	USD	-7,156.20	
18AUG17	B	2	PD17NOV17	10845.00	11093.54	USD	2,982.48	
18AUG17	S	2	PD17NOV17	10850.00	11093.54	USD	-2,922.48	
18AUG17	S	5	PD17NOV17	10900.00	11093.54	USD	-5,806.20	
18AUG17	B	1	PD17NOV17	10855.00	11093.54	USD	1,431.24	
18AUG17	S	3	PD17NOV17	10930.00	11093.54	USD	-2,943.72	
18AUG17	B	1	PD17NOV17	10990.00	11093.54	USD	621.24	
18AUG17	S	2	PD17NOV17	10940.00	11093.54	USD	-1,842.48	
18AUG17	S	1	PD17NOV17	10950.00	11093.54	USD	-861.24	
18AUG17	B	1	PD17NOV17	10860.00	11093.54	USD	1,401.24	
18AUG17	S	1	PD17NOV17	11025.00	11093.54	USD	-411.24	
18AUG17	S	3	PD17NOV17	11020.00	11093.54	USD	-1,323.72	
18AUG17	B	1	PD17NOV17	11005.00	11093.54	USD	531.24	
18AUG17	S	1	PD17NOV17	10990.00	11093.54	USD	-621.24	
18AUG17	S	4	PD17NOV17	10975.00	11093.54	USD	-2,844.96	
18AUG17	S	3	PD17NOV17	10970.00	11093.54	USD	-2,223.72	
18AUG17	S	5	PD17NOV17	10960.00	11093.54	USD	-4,006.20	
18AUG17	S	1	PD17NOV17	10960.00	11093.54	USD	-801.24	
23AUG17	S	8	PD17NOV17	11655.63	11093.54	USD	26,980.32	
05SEP17	S	5	PD17NOV17	12069.58	11093.54	USD	29,281.20	
11SEP17	S	2	PD17NOV17	11748.31	11093.54	USD	7,857.24	
11SEP17	S	13	PD17NOV17	11749.31	11093.54	USD	51,150.06	
12SEP17	S	4	PD17NOV17	11968.63	11093.54	USD	21,002.16	
12SEP17	S	7	PD17NOV17	11969.63	11093.54	USD	36,795.78	
15SEP17	S	7	PD17NOV17	11067.60	11093.54	USD	-1,089.48	
	NET	+4 AVG BGHT	10818.39	SOLD 11129.87			283,199.28	0.00
21AUG17	B	2	PD21NOV17	11030.00	11096.63	USD	799.56	
21AUG17	S	1	PD21NOV17	11080.00	11096.63	USD	-99.78	
21AUG17	S	1	PD21NOV17	11130.00	11096.63	USD	200.22	
21AUG17	S	3	PD21NOV17	11205.00	11096.63	USD	1,950.66	
21AUG17	S	1	PD21NOV17	11210.00	11096.63	USD	680.22	
21AUG17	S	2	PD21NOV17	11000.00	11096.63	USD	-1,159.56	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	3	PD21NOV17	11095.00	11096.63	USD	29.34	
21AUG17	S	1	PD21NOV17	11185.00	11096.63	USD	530.22	
21AUG17	S	1	PD21NOV17	11020.00	11096.63	USD	-459.78	
21AUG17	S	6	PD21NOV17	11125.00	11096.63	USD	1,021.32	
21AUG17	B	1	PD21NOV17	11150.00	11096.63	USD	-320.22	
21AUG17	B	3	PD21NOV17	11155.00	11096.63	USD	-1,050.66	
21AUG17	S	3	PD21NOV17	11120.00	11096.63	USD	420.66	
21AUG17	S	3	PD21NOV17	11015.00	11096.63	USD	-1,469.34	
21AUG17	S	4	PD21NOV17	11195.00	11096.63	USD	2,360.88	
21AUG17	S	2	PD21NOV17	11105.00	11096.63	USD	100.44	
21AUG17	S	1	PD21NOV17	11215.00	11096.63	USD	710.22	
21AUG17	B	1	PD21NOV17	11215.00	11096.63	USD	-710.22	
21AUG17	B	2	PD21NOV17	11170.00	11096.63	USD	-880.44	
21AUG17	S	1	PD21NOV17	11190.00	11096.63	USD	560.22	
21AUG17	B	1	PD21NOV17	11165.00	11096.63	USD	-410.22	
21AUG17	B	2	PD21NOV17	11025.00	11096.63	USD	859.56	
21AUG17	S	1	PD21NOV17	11260.00	11096.63	USD	980.22	
21AUG17	B	1	PD21NOV17	11275.00	11096.63	USD	-1,070.22	
21AUG17	B	5	PD21NOV17	11245.00	11096.63	USD	-4,451.10	
21AUG17	S	7	PD21NOV17	11250.00	11096.63	USD	6,441.54	
21AUG17	S	2	PD21NOV17	11255.00	11096.63	USD	1,900.44	
21AUG17	S	1	PD21NOV17	11235.00	11096.63	USD	830.22	
21AUG17	S	6	PD21NOV17	11240.00	11096.63	USD	5,161.32	
21AUG17	B	1	PD21NOV17	11240.00	11096.63	USD	-860.22	
21AUG17	S	6	PD21NOV17	11245.00	11096.63	USD	5,341.32	
21AUG17	S	2	PD21NOV17	11340.00	11096.63	USD	2,920.44	
21AUG17	S	1	PD21NOV17	11345.00	11096.63	USD	1,490.22	
21AUG17	S	3	PD21NOV17	11330.00	11096.63	USD	4,200.66	
21AUG17	B	1	PD21NOV17	11345.00	11096.63	USD	-1,490.22	
21AUG17	B	3	PD21NOV17	11315.00	11096.63	USD	-3,930.66	
21AUG17	B	1	PD21NOV17	11285.00	11096.63	USD	-1,130.22	
21AUG17	S	2	PD21NOV17	11315.00	11096.63	USD	2,620.44	
21AUG17	S	2	PD21NOV17	11245.00	11096.63	USD	1,780.44	
21AUG17	B	3	PD21NOV17	11310.00	11096.63	USD	-3,840.66	
21AUG17	B	3	PD21NOV17	11300.00	11096.63	USD	-3,660.66	
21AUG17	B	8	PD21NOV17	11290.00	11096.63	USD	-9,281.76	
21AUG17	B	5	PD21NOV17	11295.00	11096.63	USD	-5,951.10	
21AUG17	S	1	PD21NOV17	11305.00	11096.63	USD	1,250.22	
21AUG17	B	4	PD21NOV17	11265.00	11096.63	USD	-4,040.88	
21AUG17	S	1	PD21NOV17	11265.00	11096.63	USD	1,010.22	
21AUG17	S	3	PD21NOV17	11275.00	11096.63	USD	3,210.66	
21AUG17	S	2	PD21NOV17	11280.00	11096.63	USD	2,200.44	
21AUG17	S	2	PD21NOV17	11285.00	11096.63	USD	2,260.44	
21AUG17	S	4	PD21NOV17	11300.00	11096.63	USD	4,880.88	
21AUG17	B	2	PD21NOV17	11305.00	11096.63	USD	-2,500.44	
21AUG17	S	1	PD21NOV17	11335.00	11096.63	USD	1,430.22	
21AUG17	S	2	PD21NOV17	11280.00	11096.63	USD	2,200.44	
22AUG17	S	1	PD21NOV17	11413.58	11096.63	USD	1,901.70	
23AUG17	B	7	PD21NOV17	11659.13	11096.63	USD	-23,625.00	
05SEP17	B	3	PD21NOV17	12072.89	11096.63	USD	-17,572.68	
11SEP17	B	6	PD21NOV17	11752.92	11096.63	USD	-23,626.44	
12SEP17	B	10	PD21NOV17	11973.89	11096.63	USD	-52,635.60	
	NET	-2 AVG BGHT 11441.40	SOLD 11220.29				-101,992.08	0.00
22AUG17	S	1	PD22NOV17	11365.00	11097.40	USD	1,605.60	
22AUG17	B	1	PD22NOV17	11360.00	11097.40	USD	-1,575.60	
22AUG17	B	1	PD22NOV17	11350.00	11097.40	USD	-1,515.60	
22AUG17	B	1	PD22NOV17	11340.00	11097.40	USD	-1,455.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	11325.00	11097.40	USD	-1,365.60	
22AUG17	S	1	PD22NOV17	11270.00	11097.40	USD	1,035.60	
22AUG17	S	1	PD22NOV17	11275.00	11097.40	USD	1,065.60	
22AUG17	S	1	PD22NOV17	11235.00	11097.40	USD	825.60	
22AUG17	S	2	PD22NOV17	11245.00	11097.40	USD	1,771.20	
22AUG17	S	1	PD22NOV17	11265.00	11097.40	USD	1,005.60	
22AUG17	B	3	PD22NOV17	11305.00	11097.40	USD	-3,736.80	
22AUG17	S	1	PD22NOV17	11325.00	11097.40	USD	1,365.60	
22AUG17	S	1	PD22NOV17	11320.00	11097.40	USD	1,335.60	
22AUG17	B	2	PD22NOV17	11395.00	11097.40	USD	-3,571.20	
22AUG17	S	5	PD22NOV17	11355.00	11097.40	USD	7,728.00	
22AUG17	S	1	PD22NOV17	11445.00	11097.40	USD	2,085.60	
22AUG17	S	1	PD22NOV17	11365.00	11097.40	USD	1,605.60	
22AUG17	S	3	PD22NOV17	11470.00	11097.40	USD	6,706.80	
22AUG17	B	1	PD22NOV17	11535.00	11097.40	USD	-2,625.60	
22AUG17	S	1	PD22NOV17	11520.00	11097.40	USD	2,535.60	
22AUG17	B	1	PD22NOV17	11540.00	11097.40	USD	-2,655.60	
22AUG17	B	1	PD22NOV17	11550.00	11097.40	USD	-2,715.60	
22AUG17	S	1	PD22NOV17	11540.00	11097.40	USD	2,655.60	
22AUG17	S	1	PD22NOV17	11530.00	11097.40	USD	2,595.60	
22AUG17	S	1	PD22NOV17	11515.00	11097.40	USD	2,505.60	
22AUG17	B	7	PD22NOV17	11510.00	11097.40	USD	-17,329.20	
22AUG17	S	3	PD22NOV17	11510.00	11097.40	USD	7,426.80	
22AUG17	S	1	PD22NOV17	11505.00	11097.40	USD	2,445.60	
22AUG17	B	1	PD22NOV17	11505.00	11097.40	USD	-2,445.60	
22AUG17	S	4	PD22NOV17	11490.00	11097.40	USD	9,422.40	
22AUG17	S	4	PD22NOV17	11495.00	11097.40	USD	9,542.40	
22AUG17	S	1	PD22NOV17	11500.00	11097.40	USD	2,415.60	
22AUG17	S	4	PD22NOV17	11485.00	11097.40	USD	9,302.40	
22AUG17	S	5	PD22NOV17	11480.00	11097.40	USD	11,478.00	
22AUG17	S	2	PD22NOV17	11475.00	11097.40	USD	4,531.20	
22AUG17	S	5	PD22NOV17	11425.00	11097.40	USD	9,828.00	
22AUG17	B	1	PD22NOV17	11430.00	11097.40	USD	-1,995.60	
22AUG17	S	1	PD22NOV17	11455.00	11097.40	USD	2,145.60	
22AUG17	S	1	PD22NOV17	11465.00	11097.40	USD	2,205.60	
22AUG17	B	1	PD22NOV17	11490.00	11097.40	USD	-2,355.60	
22AUG17	B	4	PD22NOV17	11485.00	11097.40	USD	-9,302.40	
22AUG17	B	1	PD22NOV17	11475.00	11097.40	USD	-2,265.60	
22AUG17	B	2	PD22NOV17	11470.00	11097.40	USD	-4,471.20	
22AUG17	B	1	PD22NOV17	11455.00	11097.40	USD	-2,145.60	
22AUG17	B	2	PD22NOV17	11450.00	11097.40	USD	-4,231.20	
22AUG17	B	2	PD22NOV17	11440.00	11097.40	USD	-4,111.20	
22AUG17	B	1	PD22NOV17	11420.00	11097.40	USD	-1,935.60	
22AUG17	S	1	PD22NOV17	11435.00	11097.40	USD	2,025.60	
22AUG17	B	1	PD22NOV17	11410.00	11097.40	USD	-1,875.60	
22AUG17	B	8	PD22NOV17	11405.00	11097.40	USD	-14,764.80	
22AUG17	S	4	PD22NOV17	11430.00	11097.40	USD	7,982.40	
22AUG17	S	5	PD22NOV17	11420.00	11097.40	USD	9,678.00	
22AUG17	B	7	PD22NOV17	11415.00	11097.40	USD	-13,339.20	
22AUG17	B	8	PD22NOV17	11400.00	11097.40	USD	-14,524.80	
22AUG17	S	2	PD22NOV17	11375.00	11097.40	USD	3,331.20	
22AUG17	S	2	PD22NOV17	11345.00	11097.40	USD	2,971.20	
22AUG17	S	4	PD22NOV17	11330.00	11097.40	USD	5,582.40	
22AUG17	S	3	PD22NOV17	11335.00	11097.40	USD	4,276.80	
22AUG17	S	1	PD22NOV17	11525.00	11097.40	USD	2,565.60	
22AUG17	S	2	PD22NOV17	11380.00	11097.40	USD	3,391.20	
22AUG17	B	2	PD22NOV17	11365.00	11097.40	USD	-3,211.20	

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22AUG17	S	4	PD22NOV17		11385.00	11097.40	USD	6,902.40	
22AUG17	S	3	PD22NOV17		11390.00	11097.40	USD	5,266.80	
22AUG17	S	9	PD22NOV17		11400.00	11097.40	USD	16,340.40	
22AUG17	S	7	PD22NOV17		11405.00	11097.40	USD	12,919.20	
22AUG17	S	11	PD22NOV17		11410.00	11097.40	USD	20,631.60	
22AUG17	S	6	PD22NOV17		11395.00	11097.40	USD	10,713.60	
22AUG17	B	6	PD22NOV17		11445.00	11097.40	USD	-12,513.60	
23AUG17	B	5	PD22NOV17		11659.75	11097.40	USD	-16,870.50	
30AUG17	B	4	PD22NOV17		11579.00	11097.40	USD	-11,558.40	
05SEP17	B	3	PD22NOV17		12073.47	11097.40	USD	-17,569.26	
06SEP17	B	2	PD22NOV17		12156.60	11097.40	USD	-12,710.40	
11SEP17	B	3	PD22NOV17		11752.58	11097.40	USD	-11,793.24	
11SEP17	B	7	PD22NOV17		11753.58	11097.40	USD	-27,559.56	
12SEP17	B	8	PD22NOV17		11973.70	11097.40	USD	-42,062.40	
12SEP17	B	10	PD22NOV17		11974.70	11097.40	USD	-52,638.00	
14SEP17	B	1	PD22NOV17		11182.55	11097.40	USD	-510.90	
14SEP17	B	2	PD22NOV17		11183.55	11097.40	USD	-1,033.80	
15SEP17	B	4	PD22NOV17		11072.60	11097.40	USD	595.20	
	NET	-2 AVG	BGHT 11568.30	SOLD 11413.43				-103,995.66	0.00
23AUG17	S	2	PD24NOV17		11355.00	11098.94	USD	3,072.72	
23AUG17	B	2	PD24NOV17		11355.00	11098.94	USD	-3,072.72	
23AUG17	S	1	PD24NOV17		11320.00	11098.94	USD	1,326.36	
23AUG17	B	1	PD24NOV17		11350.00	11098.94	USD	-1,506.36	
23AUG17	S	3	PD24NOV17		11265.00	11098.94	USD	2,989.08	
23AUG17	B	2	PD24NOV17		11270.00	11098.94	USD	-2,052.72	
23AUG17	S	2	PD24NOV17		11275.00	11098.94	USD	2,112.72	
23AUG17	B	2	PD24NOV17		11305.00	11098.94	USD	-2,472.72	
23AUG17	S	2	PD24NOV17		11365.00	11098.94	USD	3,192.72	
23AUG17	B	2	PD24NOV17		11370.00	11098.94	USD	-3,252.72	
23AUG17	B	5	PD24NOV17		11360.00	11098.94	USD	-7,831.80	
23AUG17	B	2	PD24NOV17		11385.00	11098.94	USD	-3,432.72	
23AUG17	B	4	PD24NOV17		11415.00	11098.94	USD	-7,585.44	
23AUG17	B	1	PD24NOV17		11425.00	11098.94	USD	-1,956.36	
23AUG17	B	2	PD24NOV17		11420.00	11098.94	USD	-3,852.72	
23AUG17	S	1	PD24NOV17		11415.00	11098.94	USD	1,896.36	
23AUG17	B	3	PD24NOV17		11375.00	11098.94	USD	-4,969.08	
23AUG17	B	1	PD24NOV17		11365.00	11098.94	USD	-1,596.36	
23AUG17	S	1	PD24NOV17		11540.00	11098.94	USD	2,646.36	
23AUG17	B	1	PD24NOV17		11415.00	11098.94	USD	-1,896.36	
23AUG17	B	1	PD24NOV17		11345.00	11098.94	USD	-1,476.36	
23AUG17	S	1	PD24NOV17		11550.00	11098.94	USD	2,706.36	
23AUG17	S	1	PD24NOV17		11510.00	11098.94	USD	2,466.36	
23AUG17	B	1	PD24NOV17		11475.00	11098.94	USD	-2,256.36	
23AUG17	S	1	PD24NOV17		11485.00	11098.94	USD	2,316.36	
23AUG17	S	2	PD24NOV17		11480.00	11098.94	USD	4,572.72	
23AUG17	S	1	PD24NOV17		11470.00	11098.94	USD	2,226.36	
23AUG17	B	4	PD24NOV17		11450.00	11098.94	USD	-8,425.44	
23AUG17	S	2	PD24NOV17		11450.00	11098.94	USD	4,212.72	
23AUG17	S	7	PD24NOV17		11425.00	11098.94	USD	13,694.52	
23AUG17	S	1	PD24NOV17		11435.00	11098.94	USD	2,016.36	
23AUG17	B	1	PD24NOV17		11430.00	11098.94	USD	-1,986.36	
23AUG17	S	3	PD24NOV17		11455.00	11098.94	USD	6,409.08	
23AUG17	S	4	PD24NOV17		11460.00	11098.94	USD	8,665.44	
23AUG17	S	2	PD24NOV17		11490.00	11098.94	USD	4,692.72	
23AUG17	S	2	PD24NOV17		11475.00	11098.94	USD	4,512.72	
23AUG17	S	2	PD24NOV17		11495.00	11098.94	USD	4,752.72	
23AUG17	S	5	PD24NOV17		11500.00	11098.94	USD	12,031.80	

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23AUG17	B	1	PD24NOV17	11460.00	11098.94	USD	-2,166.36	
23AUG17	S	6	PD24NOV17	11580.00	11098.94	USD	17,318.16	
23AUG17	S	3	PD24NOV17	11585.00	11098.94	USD	8,749.08	
23AUG17	S	3	PD24NOV17	11570.00	11098.94	USD	8,479.08	
23AUG17	B	2	PD24NOV17	11555.00	11098.94	USD	-5,472.72	
23AUG17	B	2	PD24NOV17	11550.00	11098.94	USD	-5,412.72	
23AUG17	B	1	PD24NOV17	11540.00	11098.94	USD	-2,646.36	
23AUG17	B	1	PD24NOV17	11530.00	11098.94	USD	-2,586.36	
23AUG17	B	1	PD24NOV17	11520.00	11098.94	USD	-2,526.36	
23AUG17	B	2	PD24NOV17	11495.00	11098.94	USD	-4,752.72	
23AUG17	B	3	PD24NOV17	11490.00	11098.94	USD	-7,039.08	
23AUG17	B	1	PD24NOV17	11485.00	11098.94	USD	-2,316.36	
23AUG17	S	4	PD24NOV17	11455.00	11098.94	USD	8,545.44	
23AUG17	B	5	PD24NOV17	11455.00	11098.94	USD	-10,681.80	
23AUG17	S	2	PD24NOV17	11465.00	11098.94	USD	4,392.72	
23AUG17	B	1	PD24NOV17	11570.00	11098.94	USD	-2,826.36	
23AUG17	B	5	PD24NOV17	11585.00	11098.94	USD	-14,581.80	
23AUG17	B	2	PD24NOV17	11600.00	11098.94	USD	-6,012.72	
23AUG17	S	3	PD24NOV17	11615.00	11098.94	USD	9,289.08	
23AUG17	S	3	PD24NOV17	11685.00	11098.94	USD	10,549.08	
23AUG17	B	2	PD24NOV17	11650.00	11098.94	USD	-6,612.72	
23AUG17	S	4	PD24NOV17	11670.00	11098.94	USD	13,705.44	
23AUG17	S	2	PD24NOV17	11690.00	11098.94	USD	7,092.72	
23AUG17	S	1	PD24NOV17	11675.00	11098.94	USD	3,456.36	
23AUG17	B	6	PD24NOV17	11690.00	11098.94	USD	-21,278.16	
23AUG17	S	1	PD24NOV17	11700.00	11098.94	USD	3,606.36	
23AUG17	S	1	PD24NOV17	11720.00	11098.94	USD	3,726.36	
23AUG17	S	2	PD24NOV17	11725.00	11098.94	USD	7,512.72	
23AUG17	S	1	PD24NOV17	11735.00	11098.94	USD	3,816.36	
23AUG17	S	6	PD24NOV17	11730.00	11098.94	USD	22,718.16	
23AUG17	S	1	PD24NOV17	11740.00	11098.94	USD	3,846.36	
23AUG17	B	3	PD24NOV17	11720.00	11098.94	USD	-11,179.08	
23AUG17	S	1	PD24NOV17	11715.00	11098.94	USD	3,696.36	
23AUG17	S	1	PD24NOV17	11710.00	11098.94	USD	3,666.36	
23AUG17	B	1	PD24NOV17	11715.00	11098.94	USD	-3,696.36	
23AUG17	B	6	PD24NOV17	11725.00	11098.94	USD	-22,538.16	
23AUG17	S	1	PD24NOV17	11750.00	11098.94	USD	3,906.36	
23AUG17	S	2	PD24NOV17	11680.00	11098.94	USD	6,972.72	
23AUG17	S	4	PD24NOV17	11685.00	11098.94	USD	14,065.44	
23AUG17	B	1	PD24NOV17	11695.00	11098.94	USD	-3,576.36	
24AUG17	S	2	PD24NOV17	11760.00	11098.94	USD	7,932.72	
24AUG17	B	2	PD24NOV17	11720.00	11098.94	USD	-7,452.72	
24AUG17	S	2	PD24NOV17	11670.00	11098.94	USD	6,852.72	
24AUG17	S	3	PD24NOV17	11735.00	11098.94	USD	11,449.08	
24AUG17	S	2	PD24NOV17	11720.00	11098.94	USD	7,452.72	
24AUG17	B	2	PD24NOV17	11695.00	11098.94	USD	-7,152.72	
24AUG17	S	2	PD24NOV17	11705.00	11098.94	USD	7,272.72	
24AUG17	S	1	PD24NOV17	11680.00	11098.94	USD	3,486.36	
24AUG17	B	2	PD24NOV17	11640.00	11098.94	USD	-6,492.72	
24AUG17	B	4	PD24NOV17	11630.00	11098.94	USD	-12,745.44	
24AUG17	S	5	PD24NOV17	11625.00	11098.94	USD	15,781.80	
24AUG17	B	9	PD24NOV17	11635.00	11098.94	USD	-28,947.24	
24AUG17	S	3	PD24NOV17	11635.00	11098.94	USD	9,649.08	
24AUG17	S	5	PD24NOV17	11645.00	11098.94	USD	16,381.80	
24AUG17	S	3	PD24NOV17	11640.00	11098.94	USD	9,739.08	
24AUG17	S	3	PD24NOV17	11655.00	11098.94	USD	10,009.08	
24AUG17	B	1	PD24NOV17	11665.00	11098.94	USD	-3,396.36	

OPEN POSITION STATEMENT



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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	2	PD24NOV17	11710.00	11098.94	USD	7,332.72	
24AUG17	S	2	PD24NOV17	11695.00	11098.94	USD	7,152.72	
24AUG17	S	2	PD24NOV17	11700.00	11098.94	USD	7,212.72	
24AUG17	S	3	PD24NOV17	11685.00	11098.94	USD	10,549.08	
24AUG17	S	5	PD24NOV17	11665.00	11098.94	USD	16,981.80	
24AUG17	S	4	PD24NOV17	11660.00	11098.94	USD	13,465.44	
24AUG17	B	2	PD24NOV17	11700.00	11098.94	USD	-7,212.72	
24AUG17	B	1	PD24NOV17	11690.00	11098.94	USD	-3,546.36	
24AUG17	S	3	PD24NOV17	11690.00	11098.94	USD	10,639.08	
24AUG17	B	1	PD24NOV17	11670.00	11098.94	USD	-3,426.36	
24AUG17	B	3	PD24NOV17	11655.00	11098.94	USD	-10,009.08	
24AUG17	B	4	PD24NOV17	11645.00	11098.94	USD	-13,105.44	
24AUG17	B	3	PD24NOV17	11660.00	11098.94	USD	-10,099.08	
24AUG17	B	2	PD24NOV17	11705.00	11098.94	USD	-7,272.72	
24AUG17	S	1	PD24NOV17	11730.00	11098.94	USD	3,786.36	
24AUG17	S	1	PD24NOV17	11740.00	11098.94	USD	3,846.36	
24AUG17	S	1	PD24NOV17	11750.00	11098.94	USD	3,906.36	
24AUG17	S	6	PD24NOV17	11770.00	11098.94	USD	24,158.16	
24AUG17	S	1	PD24NOV17	11765.00	11098.94	USD	3,996.36	
24AUG17	B	3	PD24NOV17	11765.00	11098.94	USD	-11,989.08	
24AUG17	B	3	PD24NOV17	11710.00	11098.94	USD	-10,999.08	
24AUG17	B	5	PD24NOV17	11680.00	11098.94	USD	-17,431.80	
25AUG17	S	2	PD24NOV17	11665.00	11098.94	USD	6,792.72	
25AUG17	B	2	PD24NOV17	11630.00	11098.94	USD	-6,372.72	
25AUG17	B	1	PD24NOV17	11615.00	11098.94	USD	-3,096.36	
25AUG17	B	2	PD24NOV17	11610.00	11098.94	USD	-6,132.72	
25AUG17	B	3	PD24NOV17	11680.00	11098.94	USD	-10,459.08	
25AUG17	B	1	PD24NOV17	11705.00	11098.94	USD	-3,636.36	
25AUG17	B	6	PD24NOV17	11685.00	11098.94	USD	-21,098.16	
25AUG17	B	1	PD24NOV17	11675.00	11098.94	USD	-3,456.36	
25AUG17	B	1	PD24NOV17	11655.00	11098.94	USD	-3,336.36	
25AUG17	S	4	PD24NOV17	11655.00	11098.94	USD	13,345.44	
25AUG17	B	9	PD24NOV17	11650.00	11098.94	USD	-29,757.24	
25AUG17	S	1	PD24NOV17	11645.00	11098.94	USD	3,276.36	
25AUG17	S	3	PD24NOV17	11650.00	11098.94	USD	9,919.08	
25AUG17	B	6	PD24NOV17	11665.00	11098.94	USD	-20,378.16	
25AUG17	B	5	PD24NOV17	11670.00	11098.94	USD	-17,131.80	
25AUG17	B	8	PD24NOV17	11660.00	11098.94	USD	-26,930.88	
25AUG17	B	1	PD24NOV17	11645.00	11098.94	USD	-3,276.36	
25AUG17	B	3	PD24NOV17	11625.00	11098.94	USD	-9,469.08	
25AUG17	B	1	PD24NOV17	11595.00	11098.94	USD	-2,976.36	
25AUG17	B	1	PD24NOV17	11580.00	11098.94	USD	-2,886.36	
25AUG17	B	4	PD24NOV17	11505.00	11098.94	USD	-9,745.44	
25AUG17	S	2	PD24NOV17	11500.00	11098.94	USD	4,812.72	
25AUG17	S	2	PD24NOV17	11540.00	11098.94	USD	5,292.72	
25AUG17	B	3	PD24NOV17	11500.00	11098.94	USD	-7,219.08	
25AUG17	B	1	PD24NOV17	11525.00	11098.94	USD	-2,556.36	
25AUG17	B	4	PD24NOV17	11555.00	11098.94	USD	-10,945.44	
25AUG17	B	2	PD24NOV17	11520.00	11098.94	USD	-5,052.72	
25AUG17	S	2	PD24NOV17	11560.00	11098.94	USD	5,532.72	
25AUG17	B	1	PD24NOV17	11560.00	11098.94	USD	-2,766.36	
25AUG17	S	2	PD24NOV17	11530.00	11098.94	USD	5,172.72	
25AUG17	S	1	PD24NOV17	11510.00	11098.94	USD	2,466.36	
25AUG17	S	2	PD24NOV17	11515.00	11098.94	USD	4,992.72	
25AUG17	S	3	PD24NOV17	11550.00	11098.94	USD	8,119.08	
25AUG17	B	1	PD24NOV17	11465.00	11098.94	USD	-2,196.36	
25AUG17	B	2	PD24NOV17	11445.00	11098.94	USD	-4,152.72	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	1	PD24NOV17	11420.00	11098.94	USD	1,926.36	
25AUG17	S	8	PD24NOV17	11435.00	11098.94	USD	16,130.88	
25AUG17	S	3	PD24NOV17	11440.00	11098.94	USD	6,139.08	
25AUG17	S	2	PD24NOV17	11445.00	11098.94	USD	4,152.72	
25AUG17	S	1	PD24NOV17	11455.00	11098.94	USD	2,136.36	
25AUG17	S	1	PD24NOV17	11460.00	11098.94	USD	2,166.36	
25AUG17	S	3	PD24NOV17	11465.00	11098.94	USD	6,589.08	
25AUG17	B	1	PD24NOV17	11440.00	11098.94	USD	-2,046.36	
25AUG17	B	2	PD24NOV17	11425.00	11098.94	USD	-3,912.72	
25AUG17	B	1	PD24NOV17	11410.00	11098.94	USD	-1,866.36	
25AUG17	S	1	PD24NOV17	11425.00	11098.94	USD	1,956.36	
25AUG17	S	4	PD24NOV17	11410.00	11098.94	USD	7,465.44	
25AUG17	S	1	PD24NOV17	11475.00	11098.94	USD	2,256.36	
25AUG17	S	2	PD24NOV17	11445.00	11098.94	USD	4,152.72	
25AUG17	B	4	PD24NOV17	11445.00	11098.94	USD	-8,305.44	
30AUG17	B	1	PD24NOV17	11580.50	11098.94	USD	-2,889.36	
30AUG17	S	1	PD24NOV17	11579.50	11098.94	USD	2,883.36	
05SEP17	B	2	PD24NOV17	12074.63	11098.94	USD	-11,708.28	
06SEP17	B	3	PD24NOV17	12157.80	11098.94	USD	-19,059.48	
11SEP17	S	3	PD24NOV17	11752.88	11098.94	USD	11,770.92	
12SEP17	S	6	PD24NOV17	11974.33	11098.94	USD	31,514.04	
14SEP17	B	1	PD24NOV17	11184.14	11098.94	USD	-511.20	
15SEP17	B	6	PD24NOV17	11074.20	11098.94	USD	890.64	
25SEP17	B	3	PD24NOV17	10546.49	11098.94	USD	9,944.10	
	NET	0 AVG	BGHT 11561.46	SOLD 11590.36			38,322.90	0.00
29AUG17	B	1	PD29NOV17	11660.00	11102.80	USD	-3,343.20	
29AUG17	B	1	PD29NOV17	11635.00	11102.80	USD	-3,193.20	
29AUG17	B	7	PD29NOV17	11800.00	11102.80	USD	-29,282.40	
29AUG17	S	1	PD29NOV17	11615.00	11102.80	USD	3,073.20	
29AUG17	B	1	PD29NOV17	11665.00	11102.80	USD	-3,373.20	
29AUG17	B	1	PD29NOV17	11770.00	11102.80	USD	-4,003.20	
29AUG17	B	5	PD29NOV17	11835.00	11102.80	USD	-21,966.00	
29AUG17	B	1	PD29NOV17	11760.00	11102.80	USD	-3,943.20	
29AUG17	S	2	PD29NOV17	11605.00	11102.80	USD	6,026.40	
29AUG17	S	3	PD29NOV17	11610.00	11102.80	USD	9,129.60	
29AUG17	B	5	PD29NOV17	11750.00	11102.80	USD	-19,416.00	
29AUG17	B	1	PD29NOV17	11825.00	11102.80	USD	-4,333.20	
29AUG17	B	5	PD29NOV17	11805.00	11102.80	USD	-21,066.00	
29AUG17	B	1	PD29NOV17	11690.00	11102.80	USD	-3,523.20	
29AUG17	B	5	PD29NOV17	11830.00	11102.80	USD	-21,816.00	
29AUG17	B	2	PD29NOV17	11780.00	11102.80	USD	-8,126.40	
29AUG17	B	1	PD29NOV17	11775.00	11102.80	USD	-4,033.20	
29AUG17	B	2	PD29NOV17	11715.00	11102.80	USD	-7,346.40	
29AUG17	B	11	PD29NOV17	11820.00	11102.80	USD	-47,335.20	
29AUG17	B	5	PD29NOV17	11815.00	11102.80	USD	-21,366.00	
29AUG17	S	1	PD29NOV17	11645.00	11102.80	USD	3,253.20	
29AUG17	S	2	PD29NOV17	11635.00	11102.80	USD	6,386.40	
29AUG17	B	3	PD29NOV17	11720.00	11102.80	USD	-11,109.60	
29AUG17	S	1	PD29NOV17	11730.00	11102.80	USD	3,763.20	
29AUG17	S	1	PD29NOV17	11735.00	11102.80	USD	3,793.20	
29AUG17	S	3	PD29NOV17	11795.00	11102.80	USD	12,459.60	
29AUG17	B	1	PD29NOV17	11785.00	11102.80	USD	-4,093.20	
29AUG17	B	1	PD29NOV17	11800.00	11102.80	USD	-4,183.20	
29AUG17	B	3	PD29NOV17	11755.00	11102.80	USD	-11,739.60	
29AUG17	B	1	PD29NOV17	11730.00	11102.80	USD	-3,763.20	
29AUG17	B	1	PD29NOV17	11705.00	11102.80	USD	-3,613.20	
29AUG17	B	7	PD29NOV17	11810.00	11102.80	USD	-29,702.40	

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29AUG17	S	1	PD29NOV17	11845.00	11102.80	USD	4,453.20	
29AUG17	B	4	PD29NOV17	11840.00	11102.80	USD	-17,692.80	
29AUG17	S	1	PD29NOV17	11855.00	11102.80	USD	4,513.20	
29AUG17	S	4	PD29NOV17	11860.00	11102.80	USD	18,172.80	
29AUG17	S	4	PD29NOV17	11835.00	11102.80	USD	17,572.80	
29AUG17	S	7	PD29NOV17	11805.00	11102.80	USD	29,492.40	
29AUG17	S	1	PD29NOV17	11830.00	11102.80	USD	4,363.20	
29AUG17	S	2	PD29NOV17	11825.00	11102.80	USD	8,666.40	
29AUG17	S	6	PD29NOV17	11820.00	11102.80	USD	25,819.20	
29AUG17	S	5	PD29NOV17	11815.00	11102.80	USD	21,366.00	
29AUG17	S	2	PD29NOV17	11810.00	11102.80	USD	8,486.40	
29AUG17	S	2	PD29NOV17	11845.00	11102.80	USD	8,906.40	
29AUG17	S	2	PD29NOV17	11850.00	11102.80	USD	8,966.40	
29AUG17	S	1	PD29NOV17	11785.00	11102.80	USD	4,093.20	
29AUG17	B	3	PD29NOV17	11785.00	11102.80	USD	-12,279.60	
29AUG17	S	1	PD29NOV17	11745.00	11102.80	USD	3,853.20	
29AUG17	S	2	PD29NOV17	11675.00	11102.80	USD	6,866.40	
29AUG17	S	1	PD29NOV17	11690.00	11102.80	USD	3,523.20	
29AUG17	S	4	PD29NOV17	11685.00	11102.80	USD	13,972.80	
29AUG17	S	9	PD29NOV17	11710.00	11102.80	USD	32,788.80	
29AUG17	S	4	PD29NOV17	11725.00	11102.80	USD	14,932.80	
29AUG17	S	7	PD29NOV17	11680.00	11102.80	USD	24,242.40	
29AUG17	S	9	PD29NOV17	11700.00	11102.80	USD	32,248.80	
29AUG17	S	10	PD29NOV17	11705.00	11102.80	USD	36,132.00	
29AUG17	S	3	PD29NOV17	11715.00	11102.80	USD	11,019.60	
29AUG17	B	2	PD29NOV17	11685.00	11102.80	USD	-6,986.40	
29AUG17	B	8	PD29NOV17	11680.00	11102.80	USD	-27,705.60	
29AUG17	B	2	PD29NOV17	11675.00	11102.80	USD	-6,866.40	
29AUG17	B	2	PD29NOV17	11670.00	11102.80	USD	-6,806.40	
29AUG17	S	1	PD29NOV17	11655.00	11102.80	USD	3,313.20	
29AUG17	S	3	PD29NOV17	11660.00	11102.80	USD	10,029.60	
29AUG17	S	12	PD29NOV17	11695.00	11102.80	USD	42,638.40	
29AUG17	B	2	PD29NOV17	11695.00	11102.80	USD	-7,106.40	
29AUG17	B	4	PD29NOV17	11700.00	11102.80	USD	-14,332.80	
29AUG17	B	4	PD29NOV17	11705.00	11102.80	USD	-14,452.80	
29AUG17	B	4	PD29NOV17	11725.00	11102.80	USD	-14,932.80	
29AUG17	B	6	PD29NOV17	11730.00	11102.80	USD	-22,579.20	
29AUG17	B	1	PD29NOV17	11735.00	11102.80	USD	-3,793.20	
30AUG17	S	4	PD29NOV17	11583.25	11102.80	USD	11,530.80	
05SEP17	B	7	PD29NOV17	12076.53	11102.80	USD	-40,896.66	
11SEP17	B	4	PD29NOV17	11757.15	11102.80	USD	-15,704.40	
12SEP17	S	2	PD29NOV17	11978.41	11102.80	USD	10,507.32	
12SEP17	S	3	PD29NOV17	11979.41	11102.80	USD	15,778.98	
14SEP17	B	2	PD29NOV17	11188.10	11102.80	USD	-1,023.60	
	NET	0AVG	BGHT 11770.56	SOLD 11740.77			-22,694.76	0.00
30AUG17	S	1	PD30NOV17	11680.00	11103.57	USD	3,458.58	
30AUG17	S	1	PD30NOV17	11690.00	11103.57	USD	3,518.58	
30AUG17	S	3	PD30NOV17	11645.00	11103.57	USD	9,745.74	
30AUG17	B	2	PD30NOV17	11685.00	11103.57	USD	-6,977.16	
30AUG17	B	1	PD30NOV17	11680.00	11103.57	USD	-3,458.58	
30AUG17	B	4	PD30NOV17	11690.00	11103.57	USD	-14,074.32	
30AUG17	B	3	PD30NOV17	11710.00	11103.57	USD	-10,915.74	
30AUG17	B	5	PD30NOV17	11700.00	11103.57	USD	-17,892.90	
30AUG17	B	2	PD30NOV17	11715.00	11103.57	USD	-7,337.16	
30AUG17	B	1	PD30NOV17	11725.00	11103.57	USD	-3,728.58	
30AUG17	B	4	PD30NOV17	11730.00	11103.57	USD	-15,034.32	
30AUG17	B	4	PD30NOV17	11705.00	11103.57	USD	-14,434.32	

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	3	PD30NOV17	11720.00	11103.57	USD	-11,095.74	
30AUG17	B	6	PD30NOV17	11735.00	11103.57	USD	-22,731.48	
30AUG17	B	2	PD30NOV17	11755.00	11103.57	USD	-7,817.16	
30AUG17	B	5	PD30NOV17	11760.00	11103.57	USD	-19,692.90	
30AUG17	B	4	PD30NOV17	11775.00	11103.57	USD	-16,114.32	
30AUG17	B	3	PD30NOV17	11780.00	11103.57	USD	-12,175.74	
30AUG17	B	3	PD30NOV17	11790.00	11103.57	USD	-12,355.74	
30AUG17	S	2	PD30NOV17	11700.00	11103.57	USD	7,157.16	
30AUG17	S	1	PD30NOV17	11705.00	11103.57	USD	3,608.58	
30AUG17	S	2	PD30NOV17	11710.00	11103.57	USD	7,277.16	
30AUG17	S	9	PD30NOV17	11715.00	11103.57	USD	33,017.22	
30AUG17	B	1	PD30NOV17	11630.00	11103.57	USD	-3,158.58	
30AUG17	B	2	PD30NOV17	11640.00	11103.57	USD	-6,437.16	
30AUG17	S	2	PD30NOV17	11620.00	11103.57	USD	6,197.16	
30AUG17	S	6	PD30NOV17	11615.00	11103.57	USD	18,411.48	
30AUG17	B	4	PD30NOV17	11580.00	11103.57	USD	-11,434.32	
30AUG17	B	2	PD30NOV17	11575.00	11103.57	USD	-5,657.16	
30AUG17	B	6	PD30NOV17	11635.00	11103.57	USD	-19,131.48	
30AUG17	B	4	PD30NOV17	11625.00	11103.57	USD	-12,514.32	
30AUG17	B	7	PD30NOV17	11620.00	11103.57	USD	-21,690.06	
30AUG17	B	1	PD30NOV17	11600.00	11103.57	USD	-2,978.58	
30AUG17	B	7	PD30NOV17	11610.00	11103.57	USD	-21,270.06	
30AUG17	B	3	PD30NOV17	11645.00	11103.57	USD	-9,745.74	
30AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
30AUG17	B	1	PD30NOV17	11650.00	11103.57	USD	-3,278.58	
30AUG17	B	2	PD30NOV17	11605.00	11103.57	USD	-6,017.16	
30AUG17	S	4	PD30NOV17	11580.00	11103.57	USD	11,434.32	
30AUG17	B	2	PD30NOV17	11585.00	11103.57	USD	-5,777.16	
30AUG17	S	2	PD30NOV17	11600.00	11103.57	USD	5,957.16	
30AUG17	B	1	PD30NOV17	11595.00	11103.57	USD	-2,948.58	
30AUG17	B	1	PD30NOV17	11580.00	11103.57	USD	-2,858.58	
31AUG17	B	1	PD30NOV17	11615.00	11103.57	USD	-3,068.58	
31AUG17	B	1	PD30NOV17	11620.00	11103.57	USD	-3,098.58	
31AUG17	S	1	PD30NOV17	11620.00	11103.57	USD	3,098.58	
31AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
31AUG17	S	1	PD30NOV17	11685.00	11103.57	USD	3,488.58	
31AUG17	B	1	PD30NOV17	11645.00	11103.57	USD	-3,248.58	
31AUG17	S	1	PD30NOV17	11660.00	11103.57	USD	3,338.58	
31AUG17	B	5	PD30NOV17	11660.00	11103.57	USD	-16,692.90	
31AUG17	B	10	PD30NOV17	11665.00	11103.57	USD	-33,685.80	
31AUG17	B	2	PD30NOV17	11670.00	11103.57	USD	-6,797.16	
31AUG17	S	1	PD30NOV17	11650.00	11103.57	USD	3,278.58	
31AUG17	B	4	PD30NOV17	11650.00	11103.57	USD	-13,114.32	
31AUG17	B	3	PD30NOV17	11690.00	11103.57	USD	-10,555.74	
31AUG17	B	3	PD30NOV17	11695.00	11103.57	USD	-10,645.74	
31AUG17	S	3	PD30NOV17	11735.00	11103.57	USD	11,365.74	
31AUG17	S	2	PD30NOV17	11715.00	11103.57	USD	7,337.16	
31AUG17	B	1	PD30NOV17	11660.00	11103.57	USD	-3,338.58	
31AUG17	B	1	PD30NOV17	11695.00	11103.57	USD	-3,548.58	
31AUG17	S	8	PD30NOV17	11720.00	11103.57	USD	29,588.64	
31AUG17	S	1	PD30NOV17	11725.00	11103.57	USD	3,728.58	
31AUG17	S	3	PD30NOV17	11730.00	11103.57	USD	11,275.74	
31AUG17	S	2	PD30NOV17	11740.00	11103.57	USD	7,637.16	
31AUG17	B	1	PD30NOV17	11730.00	11103.57	USD	-3,758.58	
31AUG17	S	1	PD30NOV17	11750.00	11103.57	USD	3,878.58	
31AUG17	S	1	PD30NOV17	11755.00	11103.57	USD	3,908.58	
31AUG17	S	4	PD30NOV17	11770.00	11103.57	USD	15,994.32	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	3	PD30NOV17	11775.00	11103.57	USD	12,085.74	
31AUG17	S	3	PD30NOV17	11780.00	11103.57	USD	12,175.74	
31AUG17	S	1	PD30NOV17	11785.00	11103.57	USD	4,088.58	
31AUG17	S	8	PD30NOV17	11820.00	11103.57	USD	34,388.64	
31AUG17	S	1	PD30NOV17	11810.00	11103.57	USD	4,238.58	
31AUG17	B	1	PD30NOV17	11790.00	11103.57	USD	-4,118.58	
31AUG17	S	12	PD30NOV17	11790.00	11103.57	USD	49,422.96	
31AUG17	S	6	PD30NOV17	11795.00	11103.57	USD	24,891.48	
31AUG17	S	15	PD30NOV17	11800.00	11103.57	USD	62,678.70	
31AUG17	B	3	PD30NOV17	11770.00	11103.57	USD	-11,995.74	
31AUG17	B	2	PD30NOV17	11765.00	11103.57	USD	-7,937.16	
31AUG17	B	6	PD30NOV17	11775.00	11103.57	USD	-24,171.48	
31AUG17	S	1	PD30NOV17	11780.00	11103.57	USD	4,058.58	
05SEP17	S	7	PD30NOV17	12076.11	11103.57	USD	40,846.68	
06SEP17	B	5	PD30NOV17	12161.40	11103.57	USD	-31,734.90	
06SEP17	S	3	PD30NOV17	12160.40	11103.57	USD	19,022.94	
06SEP17	S	10	PD30NOV17	12161.40	11103.57	USD	63,469.80	
11SEP17	S	4	PD30NOV17	11756.81	11103.57	USD	15,677.76	
11SEP17	S	5	PD30NOV17	11757.81	11103.57	USD	19,627.20	
12SEP17	S	4	PD30NOV17	11980.22	11103.57	USD	21,039.60	
14SEP17	S	2	PD30NOV17	11187.90	11103.57	USD	1,011.96	
20SEP17	S	1	PD30NOV17	11364.57	11103.57	USD	1,566.00	
	NET	0 AVG BGHT 11699.14	SOLD 11788.25				79,131.06	0.00
01SEP17	B	2	PD01DEC17	11790.00	11104.34	USD	-8,227.92	
01SEP17	B	1	PD01DEC17	11800.00	11104.34	USD	-4,173.96	
01SEP17	B	1	PD01DEC17	11805.00	11104.34	USD	-4,203.96	
01SEP17	B	1	PD01DEC17	11810.00	11104.34	USD	-4,233.96	
01SEP17	B	1	PD01DEC17	11775.00	11104.34	USD	-4,023.96	
01SEP17	B	2	PD01DEC17	11765.00	11104.34	USD	-7,927.92	
01SEP17	S	2	PD01DEC17	11810.00	11104.34	USD	8,467.92	
01SEP17	B	2	PD01DEC17	11780.00	11104.34	USD	-8,107.92	
01SEP17	S	3	PD01DEC17	11830.00	11104.34	USD	13,061.88	
01SEP17	B	2	PD01DEC17	11885.00	11104.34	USD	-9,367.92	
01SEP17	B	2	PD01DEC17	11890.00	11104.34	USD	-9,427.92	
01SEP17	B	2	PD01DEC17	11915.00	11104.34	USD	-9,727.92	
01SEP17	S	1	PD01DEC17	11905.00	11104.34	USD	4,803.96	
01SEP17	S	1	PD01DEC17	11910.00	11104.34	USD	4,833.96	
01SEP17	B	2	PD01DEC17	11910.00	11104.34	USD	-9,667.92	
01SEP17	B	1	PD01DEC17	11950.00	11104.34	USD	-5,073.96	
01SEP17	S	1	PD01DEC17	12080.00	11104.34	USD	5,853.96	
01SEP17	B	1	PD01DEC17	11995.00	11104.34	USD	-5,343.96	
01SEP17	S	9	PD01DEC17	11990.00	11104.34	USD	47,825.64	
01SEP17	S	2	PD01DEC17	12005.00	11104.34	USD	10,807.92	
01SEP17	B	7	PD01DEC17	12050.00	11104.34	USD	-39,717.72	
01SEP17	S	2	PD01DEC17	12035.00	11104.34	USD	11,167.92	
01SEP17	S	16	PD01DEC17	12010.00	11104.34	USD	86,943.36	
01SEP17	S	3	PD01DEC17	12020.00	11104.34	USD	16,481.88	
01SEP17	B	6	PD01DEC17	12000.00	11104.34	USD	-32,243.76	
01SEP17	S	2	PD01DEC17	11975.00	11104.34	USD	10,447.92	
01SEP17	S	3	PD01DEC17	11985.00	11104.34	USD	15,851.88	
01SEP17	B	1	PD01DEC17	11980.00	11104.34	USD	-5,253.96	
01SEP17	S	6	PD01DEC17	11930.00	11104.34	USD	29,723.76	
01SEP17	S	2	PD01DEC17	11950.00	11104.34	USD	10,147.92	
01SEP17	S	3	PD01DEC17	11965.00	11104.34	USD	15,491.88	
01SEP17	S	11	PD01DEC17	12015.00	11104.34	USD	60,103.56	
01SEP17	B	2	PD01DEC17	12010.00	11104.34	USD	-10,867.92	
01SEP17	B	7	PD01DEC17	12060.00	11104.34	USD	-40,137.72	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	4	PD01DEC17	12055.00	11104.34	USD	-22,815.84	
01SEP17	B	2	PD01DEC17	12070.00	11104.34	USD	-11,587.92	
01SEP17	B	1	PD01DEC17	12075.00	11104.34	USD	-5,823.96	
01SEP17	S	3	PD01DEC17	12010.00	11104.34	USD	16,301.88	
01SEP17	S	4	PD01DEC17	12000.00	11104.34	USD	21,495.84	
01SEP17	S	6	PD01DEC17	12005.00	11104.34	USD	32,423.76	
01SEP17	S	1	PD01DEC17	12020.00	11104.34	USD	5,493.96	
01SEP17	S	1	PD01DEC17	12065.00	11104.34	USD	5,763.96	
01SEP17	B	3	PD01DEC17	12040.00	11104.34	USD	-16,841.88	
01SEP17	B	7	PD01DEC17	12045.00	11104.34	USD	-39,507.72	
01SEP17	B	2	PD01DEC17	12035.00	11104.34	USD	-11,167.92	
01SEP17	B	4	PD01DEC17	12030.00	11104.34	USD	-22,215.84	
01SEP17	B	4	PD01DEC17	12025.00	11104.34	USD	-22,095.84	
01SEP17	S	2	PD01DEC17	12045.00	11104.34	USD	11,287.92	
01SEP17	S	12	PD01DEC17	12040.00	11104.34	USD	67,367.52	
01SEP17	S	9	PD01DEC17	12050.00	11104.34	USD	51,065.64	
01SEP17	S	1	PD01DEC17	12055.00	11104.34	USD	5,703.96	
01SEP17	S	6	PD01DEC17	12060.00	11104.34	USD	34,403.76	
01SEP17	B	1	PD01DEC17	12080.00	11104.34	USD	-5,853.96	
01SEP17	B	1	PD01DEC17	12055.00	11104.34	USD	-5,703.96	
06SEP17	S	3	PD01DEC17	12161.00	11104.34	USD	19,019.88	
06SEP17	B	10	PD01DEC17	12163.00	11104.34	USD	-63,519.60	
06SEP17	B	7	PD01DEC17	12162.00	11104.34	USD	-44,421.72	
11SEP17	B	12	PD01DEC17	11758.46	11104.34	USD	-47,096.64	
12SEP17	B	5	PD01DEC17	11981.04	11104.34	USD	-26,301.00	
12SEP17	B	6	PD01DEC17	11982.04	11104.34	USD	-31,597.20	
14SEP17	B	2	PD01DEC17	11189.69	11104.34	USD	-1,024.20	
20SEP17	B	1	PD01DEC17	11365.34	11104.34	USD	-1,566.00	
	NET	0 AVG BGHT 11969.37	SOLD 12006.29				25,471.92	0.00
04SEP17	S	1	PD04DEC17	12370.00	11106.66	USD	7,580.04	
04SEP17	S	2	PD04DEC17	12350.00	11106.66	USD	14,920.08	
04SEP17	S	1	PD04DEC17	12355.00	11106.66	USD	7,490.04	
04SEP17	S	1	PD04DEC17	12270.00	11106.66	USD	6,980.04	
04SEP17	S	1	PD04DEC17	12280.00	11106.66	USD	7,040.04	
04SEP17	S	1	PD04DEC17	12310.00	11106.66	USD	7,220.04	
04SEP17	S	2	PD04DEC17	12340.00	11106.66	USD	14,800.08	
04SEP17	S	1	PD04DEC17	12345.00	11106.66	USD	7,430.04	
04SEP17	B	1	PD04DEC17	12260.00	11106.66	USD	-6,920.04	
04SEP17	B	2	PD04DEC17	12280.00	11106.66	USD	-14,080.08	
04SEP17	B	1	PD04DEC17	12325.00	11106.66	USD	-7,310.04	
04SEP17	B	1	PD04DEC17	12350.00	11106.66	USD	-7,460.04	
04SEP17	B	1	PD04DEC17	12345.00	11106.66	USD	-7,430.04	
04SEP17	B	1	PD04DEC17	12340.00	11106.66	USD	-7,400.04	
04SEP17	S	2	PD04DEC17	12305.00	11106.66	USD	14,380.08	
04SEP17	B	3	PD04DEC17	12305.00	11106.66	USD	-21,570.12	
04SEP17	B	6	PD04DEC17	12315.00	11106.66	USD	-43,500.24	
04SEP17	B	2	PD04DEC17	12330.00	11106.66	USD	-14,680.08	
04SEP17	B	5	PD04DEC17	12310.00	11106.66	USD	-36,100.20	
04SEP17	B	4	PD04DEC17	12300.00	11106.66	USD	-28,640.16	
04SEP17	B	4	PD04DEC17	12295.00	11106.66	USD	-28,520.16	
04SEP17	B	1	PD04DEC17	12290.00	11106.66	USD	-7,100.04	
04SEP17	B	2	PD04DEC17	12285.00	11106.66	USD	-14,140.08	
04SEP17	S	4	PD04DEC17	12295.00	11106.66	USD	28,520.16	
04SEP17	S	3	PD04DEC17	12300.00	11106.66	USD	21,480.12	
04SEP17	B	3	PD04DEC17	12250.00	11106.66	USD	-20,580.12	
04SEP17	B	2	PD04DEC17	12265.00	11106.66	USD	-13,900.08	
04SEP17	B	2	PD04DEC17	12225.00	11106.66	USD	-13,420.08	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	1	PD04DEC17	12160.00	11106.66	USD	6,320.04	
04SEP17	S	1	PD04DEC17	12300.00	11106.66	USD	7,160.04	
04SEP17	B	2	PD04DEC17	12185.00	11106.66	USD	-12,940.08	
04SEP17	B	2	PD04DEC17	12180.00	11106.66	USD	-12,880.08	
04SEP17	B	2	PD04DEC17	12175.00	11106.66	USD	-12,820.08	
04SEP17	B	2	PD04DEC17	12195.00	11106.66	USD	-13,060.08	
04SEP17	B	2	PD04DEC17	12200.00	11106.66	USD	-13,120.08	
04SEP17	S	1	PD04DEC17	12210.00	11106.66	USD	6,620.04	
04SEP17	B	3	PD04DEC17	12210.00	11106.66	USD	-19,860.12	
04SEP17	B	2	PD04DEC17	12215.00	11106.66	USD	-13,300.08	
04SEP17	B	5	PD04DEC17	12190.00	11106.66	USD	-32,500.20	
04SEP17	B	3	PD04DEC17	12205.00	11106.66	USD	-19,770.12	
04SEP17	B	1	PD04DEC17	12155.00	11106.66	USD	-6,290.04	
04SEP17	S	3	PD04DEC17	12175.00	11106.66	USD	19,230.12	
04SEP17	S	2	PD04DEC17	12215.00	11106.66	USD	13,300.08	
04SEP17	S	4	PD04DEC17	12220.00	11106.66	USD	26,720.16	
04SEP17	S	3	PD04DEC17	12225.00	11106.66	USD	20,130.12	
04SEP17	B	6	PD04DEC17	12230.00	11106.66	USD	-40,440.24	
04SEP17	B	12	PD04DEC17	12220.00	11106.66	USD	-80,160.48	
04SEP17	B	8	PD04DEC17	12240.00	11106.66	USD	-54,400.32	
04SEP17	B	10	PD04DEC17	12235.00	11106.66	USD	-67,700.40	
04SEP17	B	4	PD04DEC17	12245.00	11106.66	USD	-27,320.16	
04SEP17	B	4	PD04DEC17	12255.00	11106.66	USD	-27,560.16	
04SEP17	B	1	PD04DEC17	12205.00	11106.66	USD	-6,590.04	
04SEP17	S	1	PD04DEC17	12205.00	11106.66	USD	6,590.04	
04SEP17	S	2	PD04DEC17	12245.00	11106.66	USD	13,660.08	
04SEP17	S	4	PD04DEC17	12250.00	11106.66	USD	27,440.16	
04SEP17	S	2	PD04DEC17	12255.00	11106.66	USD	13,780.08	
04SEP17	S	10	PD04DEC17	12260.00	11106.66	USD	69,200.40	
04SEP17	S	1	PD04DEC17	12265.00	11106.66	USD	6,950.04	
04SEP17	S	1	PD04DEC17	12260.00	11106.66	USD	6,920.04	
06SEP17	S	11	PD04DEC17	12162.80	11106.66	USD	69,705.24	
06SEP17	S	5	PD04DEC17	12163.80	11106.66	USD	31,714.20	
11SEP17	S	12	PD04DEC17	11759.42	11106.66	USD	46,998.72	
11SEP17	S	5	PD04DEC17	11760.42	11106.66	USD	19,612.80	
12SEP17	S	5	PD04DEC17	11982.48	11106.66	USD	26,274.60	
14SEP17	B	1	PD04DEC17	11192.07	11106.66	USD	-512.46	
14SEP17	S	7	PD04DEC17	11192.07	11106.66	USD	3,587.22	
19SEP17	S	8	PD04DEC17	11128.53	11106.66	USD	1,049.76	
25SEP17	S	3	PD04DEC17	10554.91	11106.66	USD	-9,931.50	
	NET	0 AVG BGHT 12238.76	SOLD 11963.83				-183,103.62	0.00
05SEP17	S	1	PD05DEC17	12275.00	11107.43	USD	7,005.42	
05SEP17	S	3	PD05DEC17	12270.00	11107.43	USD	20,926.26	
05SEP17	S	2	PD05DEC17	12265.00	11107.43	USD	13,890.84	
05SEP17	S	1	PD05DEC17	12315.00	11107.43	USD	7,245.42	
05SEP17	S	1	PD05DEC17	12310.00	11107.43	USD	7,215.42	
05SEP17	S	4	PD05DEC17	12290.00	11107.43	USD	28,381.68	
05SEP17	S	2	PD05DEC17	12235.00	11107.43	USD	13,530.84	
05SEP17	S	1	PD05DEC17	12230.00	11107.43	USD	6,735.42	
05SEP17	S	9	PD05DEC17	12225.00	11107.43	USD	60,348.78	
05SEP17	S	2	PD05DEC17	12245.00	11107.43	USD	13,650.84	
05SEP17	B	4	PD05DEC17	12225.00	11107.43	USD	-26,821.68	
05SEP17	B	5	PD05DEC17	12215.00	11107.43	USD	-33,227.10	
05SEP17	B	6	PD05DEC17	12220.00	11107.43	USD	-40,052.52	
05SEP17	B	1	PD05DEC17	12205.00	11107.43	USD	-6,585.42	
05SEP17	B	1	PD05DEC17	12235.00	11107.43	USD	-6,765.42	
05SEP17	B	7	PD05DEC17	12230.00	11107.43	USD	-47,147.94	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	1	PD05DEC17	12200.00	11107.43	USD	6,555.42	
05SEP17	B	1	PD05DEC17	12155.00	11107.43	USD	-6,285.42	
05SEP17	B	5	PD05DEC17	12190.00	11107.43	USD	-32,477.10	
05SEP17	S	1	PD05DEC17	12240.00	11107.43	USD	6,795.42	
05SEP17	S	1	PD05DEC17	12195.00	11107.43	USD	6,525.42	
05SEP17	B	7	PD05DEC17	12210.00	11107.43	USD	-46,307.94	
05SEP17	B	2	PD05DEC17	12200.00	11107.43	USD	-13,110.84	
05SEP17	B	2	PD05DEC17	12185.00	11107.43	USD	-12,930.84	
05SEP17	B	4	PD05DEC17	12175.00	11107.43	USD	-25,621.68	
05SEP17	B	2	PD05DEC17	12195.00	11107.43	USD	-13,050.84	
05SEP17	B	1	PD05DEC17	12170.00	11107.43	USD	-6,375.42	
05SEP17	B	5	PD05DEC17	12165.00	11107.43	USD	-31,727.10	
05SEP17	B	1	PD05DEC17	12180.00	11107.43	USD	-6,435.42	
05SEP17	S	3	PD05DEC17	12210.00	11107.43	USD	19,846.26	
05SEP17	S	4	PD05DEC17	12215.00	11107.43	USD	26,581.68	
05SEP17	S	2	PD05DEC17	12220.00	11107.43	USD	13,350.84	
05SEP17	S	13	PD05DEC17	12240.00	11107.43	USD	88,340.46	
05SEP17	S	5	PD05DEC17	12255.00	11107.43	USD	34,427.10	
05SEP17	S	2	PD05DEC17	12260.00	11107.43	USD	13,830.84	
05SEP17	S	11	PD05DEC17	12250.00	11107.43	USD	75,409.62	
05SEP17	B	1	PD05DEC17	12210.00	11107.43	USD	-6,615.42	
05SEP17	S	1	PD05DEC17	12175.00	11107.43	USD	6,405.42	
05SEP17	S	2	PD05DEC17	12180.00	11107.43	USD	12,870.84	
05SEP17	B	1	PD05DEC17	12080.00	11107.43	USD	-5,835.42	
05SEP17	B	1	PD05DEC17	12075.00	11107.43	USD	-5,805.42	
05SEP17	B	4	PD05DEC17	12070.00	11107.43	USD	-23,101.68	
05SEP17	B	2	PD05DEC17	12085.00	11107.43	USD	-11,730.84	
05SEP17	S	1	PD05DEC17	12060.00	11107.43	USD	5,715.42	
05SEP17	S	5	PD05DEC17	12070.00	11107.43	USD	28,877.10	
05SEP17	S	6	PD05DEC17	12080.00	11107.43	USD	35,012.52	
05SEP17	S	12	PD05DEC17	12075.00	11107.43	USD	69,665.04	
05SEP17	B	1	PD05DEC17	12060.00	11107.43	USD	-5,715.42	
05SEP17	B	2	PD05DEC17	12035.00	11107.43	USD	-11,130.84	
05SEP17	B	4	PD05DEC17	12030.00	11107.43	USD	-22,141.68	
05SEP17	B	5	PD05DEC17	12040.00	11107.43	USD	-27,977.10	
05SEP17	B	4	PD05DEC17	12000.00	11107.43	USD	-21,421.68	
05SEP17	B	1	PD05DEC17	11985.00	11107.43	USD	-5,265.42	
05SEP17	B	6	PD05DEC17	11980.00	11107.43	USD	-31,412.52	
05SEP17	B	2	PD05DEC17	11975.00	11107.43	USD	-10,410.84	
05SEP17	B	1	PD05DEC17	11965.00	11107.43	USD	-5,145.42	
05SEP17	B	10	PD05DEC17	11955.00	11107.43	USD	-50,854.20	
05SEP17	S	2	PD05DEC17	11955.00	11107.43	USD	10,170.84	
05SEP17	B	1	PD05DEC17	11930.00	11107.43	USD	-4,935.42	
05SEP17	B	1	PD05DEC17	11935.00	11107.43	USD	-4,965.42	
05SEP17	S	1	PD05DEC17	11975.00	11107.43	USD	5,205.42	
05SEP17	S	1	PD05DEC17	11965.00	11107.43	USD	5,145.42	
05SEP17	S	1	PD05DEC17	11970.00	11107.43	USD	5,175.42	
05SEP17	S	1	PD05DEC17	12010.00	11107.43	USD	5,415.42	
05SEP17	B	1	PD05DEC17	11985.00	11107.43	USD	-5,265.42	
06SEP17	B	5	PD05DEC17	12165.40	11107.43	USD	-31,739.10	
11SEP17	B	5	PD05DEC17	11762.08	11107.43	USD	-19,639.50	
12SEP17	B	1	PD05DEC17	11985.30	11107.43	USD	-5,267.22	
14SEP17	S	5	PD05DEC17	11191.86	11107.43	USD	2,532.90	
20SEP17	S	1	PD05DEC17	11367.43	11107.43	USD	1,560.00	
20SEP17	S	5	PD05DEC17	11368.43	11107.43	USD	7,830.00	
	NET	0 AVG BGHT 12097.55	SOLD 12098.84				877.08	0.00
06SEP17	B	5	PD06DEC17	11995.00	11108.20	USD	-26,604.00	

OPEN POSITION STATEMENT



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SAP0094X

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	12020.00	11108.20	USD	5,470.80	
06SEP17	B	2	PD06DEC17	12025.00	11108.20	USD	-11,001.60	
06SEP17	S	1	PD06DEC17	12000.00	11108.20	USD	5,350.80	
06SEP17	B	4	PD06DEC17	12005.00	11108.20	USD	-21,523.20	
06SEP17	B	18	PD06DEC17	12010.00	11108.20	USD	-97,394.40	
06SEP17	S	2	PD06DEC17	12010.00	11108.20	USD	10,821.60	
06SEP17	S	1	PD06DEC17	12030.00	11108.20	USD	5,530.80	
06SEP17	S	1	PD06DEC17	12040.00	11108.20	USD	5,590.80	
06SEP17	B	2	PD06DEC17	12040.00	11108.20	USD	-11,181.60	
06SEP17	B	3	PD06DEC17	12035.00	11108.20	USD	-16,682.40	
06SEP17	B	1	PD06DEC17	12050.00	11108.20	USD	-5,650.80	
06SEP17	B	2	PD06DEC17	12055.00	11108.20	USD	-11,361.60	
06SEP17	B	2	PD06DEC17	12080.00	11108.20	USD	-11,661.60	
06SEP17	B	2	PD06DEC17	12010.00	11108.20	USD	-10,821.60	
06SEP17	B	3	PD06DEC17	12065.00	11108.20	USD	-17,222.40	
06SEP17	B	7	PD06DEC17	12015.00	11108.20	USD	-38,085.60	
06SEP17	B	2	PD06DEC17	12030.00	11108.20	USD	-11,061.60	
06SEP17	S	1	PD06DEC17	11985.00	11108.20	USD	5,260.80	
06SEP17	S	5	PD06DEC17	12015.00	11108.20	USD	27,204.00	
06SEP17	S	2	PD06DEC17	12005.00	11108.20	USD	10,761.60	
06SEP17	S	2	PD06DEC17	12055.00	11108.20	USD	11,361.60	
06SEP17	S	5	PD06DEC17	12070.00	11108.20	USD	28,854.00	
06SEP17	S	1	PD06DEC17	12090.00	11108.20	USD	5,890.80	
06SEP17	S	10	PD06DEC17	12065.00	11108.20	USD	57,408.00	
06SEP17	S	3	PD06DEC17	12060.00	11108.20	USD	17,132.40	
06SEP17	S	3	PD06DEC17	12045.00	11108.20	USD	16,862.40	
06SEP17	S	3	PD06DEC17	12080.00	11108.20	USD	17,492.40	
06SEP17	S	11	PD06DEC17	12075.00	11108.20	USD	63,808.80	
06SEP17	S	1	PD06DEC17	12085.00	11108.20	USD	5,860.80	
06SEP17	S	2	PD06DEC17	12095.00	11108.20	USD	11,841.60	
06SEP17	S	5	PD06DEC17	12105.00	11108.20	USD	29,904.00	
06SEP17	S	3	PD06DEC17	12110.00	11108.20	USD	18,032.40	
06SEP17	S	1	PD06DEC17	12115.00	11108.20	USD	6,040.80	
06SEP17	S	3	PD06DEC17	12135.00	11108.20	USD	18,482.40	
06SEP17	S	4	PD06DEC17	12130.00	11108.20	USD	24,523.20	
06SEP17	B	1	PD06DEC17	12150.00	11108.20	USD	-6,250.80	
06SEP17	S	1	PD06DEC17	12170.00	11108.20	USD	6,370.80	
06SEP17	S	9	PD06DEC17	12165.00	11108.20	USD	57,067.20	
06SEP17	S	3	PD06DEC17	12175.00	11108.20	USD	19,202.40	
06SEP17	S	1	PD06DEC17	12185.00	11108.20	USD	6,460.80	
06SEP17	S	8	PD06DEC17	12180.00	11108.20	USD	51,446.40	
06SEP17	S	1	PD06DEC17	12190.00	11108.20	USD	6,490.80	
06SEP17	B	1	PD06DEC17	12205.00	11108.20	USD	-6,580.80	
06SEP17	B	2	PD06DEC17	12210.00	11108.20	USD	-13,221.60	
06SEP17	S	1	PD06DEC17	12240.00	11108.20	USD	6,790.80	
06SEP17	S	1	PD06DEC17	12245.00	11108.20	USD	6,820.80	
06SEP17	S	2	PD06DEC17	12170.00	11108.20	USD	12,741.60	
06SEP17	S	1	PD06DEC17	12210.00	11108.20	USD	6,610.80	
11SEP17	B	5	PD06DEC17	11762.73	11108.20	USD	-19,635.90	
12SEP17	B	2	PD06DEC17	11985.11	11108.20	USD	-10,522.92	
14SEP17	B	2	PD06DEC17	11193.66	11108.20	USD	-1,025.52	
14SEP17	B	4	PD06DEC17	11194.66	11108.20	USD	-2,075.04	
19SEP17	B	5	PD06DEC17	11131.06	11108.20	USD	-685.80	
20SEP17	B	6	PD06DEC17	11370.20	11108.20	USD	-9,432.00	
20SEP17	B	18	PD06DEC17	11369.20	11108.20	USD	-28,188.00	
	NET	0 AVG BGHT 11761.18	SOLD 12100.61				201,618.42	0.00
07SEP17	B	2	PD07DEC17	12265.00	11108.97	USD	-13,872.36	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	12240.00	11108.97	USD	-13,572.36	
07SEP17	B	1	PD07DEC17	12235.00	11108.97	USD	-6,756.18	
07SEP17	B	14	PD07DEC17	12230.00	11108.97	USD	-94,166.52	
07SEP17	B	1	PD07DEC17	12260.00	11108.97	USD	-6,906.18	
07SEP17	B	1	PD07DEC17	12310.00	11108.97	USD	-7,206.18	
07SEP17	S	1	PD07DEC17	12285.00	11108.97	USD	7,056.18	
07SEP17	B	1	PD07DEC17	12275.00	11108.97	USD	-6,996.18	
07SEP17	B	1	PD07DEC17	12250.00	11108.97	USD	-6,846.18	
07SEP17	B	1	PD07DEC17	12220.00	11108.97	USD	-6,666.18	
07SEP17	S	1	PD07DEC17	12225.00	11108.97	USD	6,696.18	
07SEP17	S	1	PD07DEC17	12250.00	11108.97	USD	6,846.18	
07SEP17	S	1	PD07DEC17	12245.00	11108.97	USD	6,816.18	
07SEP17	B	6	PD07DEC17	12225.00	11108.97	USD	-40,177.08	
07SEP17	B	1	PD07DEC17	12160.00	11108.97	USD	-6,306.18	
07SEP17	B	6	PD07DEC17	12085.00	11108.97	USD	-35,137.08	
07SEP17	S	1	PD07DEC17	12075.00	11108.97	USD	5,796.18	
07SEP17	S	2	PD07DEC17	12095.00	11108.97	USD	11,832.36	
07SEP17	B	3	PD07DEC17	12095.00	11108.97	USD	-17,748.54	
07SEP17	B	4	PD07DEC17	12120.00	11108.97	USD	-24,264.72	
07SEP17	B	1	PD07DEC17	12070.00	11108.97	USD	-5,766.18	
07SEP17	B	6	PD07DEC17	12165.00	11108.97	USD	-38,017.08	
07SEP17	B	6	PD07DEC17	12170.00	11108.97	USD	-38,197.08	
07SEP17	B	3	PD07DEC17	12145.00	11108.97	USD	-18,648.54	
07SEP17	B	11	PD07DEC17	12175.00	11108.97	USD	-70,357.98	
07SEP17	B	1	PD07DEC17	12185.00	11108.97	USD	-6,456.18	
07SEP17	B	8	PD07DEC17	12155.00	11108.97	USD	-50,209.44	
07SEP17	B	10	PD07DEC17	12150.00	11108.97	USD	-62,461.80	
07SEP17	B	8	PD07DEC17	12105.00	11108.97	USD	-47,809.44	
07SEP17	B	3	PD07DEC17	12100.00	11108.97	USD	-17,838.54	
07SEP17	B	9	PD07DEC17	12115.00	11108.97	USD	-54,325.62	
07SEP17	B	24	PD07DEC17	12110.00	11108.97	USD	-144,148.32	
07SEP17	B	1	PD07DEC17	12065.00	11108.97	USD	-5,736.18	
07SEP17	B	1	PD07DEC17	12035.00	11108.97	USD	-5,556.18	
07SEP17	S	3	PD07DEC17	12035.00	11108.97	USD	16,668.54	
07SEP17	S	2	PD07DEC17	12005.00	11108.97	USD	10,752.36	
07SEP17	S	1	PD07DEC17	12020.00	11108.97	USD	5,466.18	
07SEP17	B	5	PD07DEC17	12080.00	11108.97	USD	-29,130.90	
07SEP17	B	1	PD07DEC17	12075.00	11108.97	USD	-5,796.18	
07SEP17	S	1	PD07DEC17	12120.00	11108.97	USD	6,066.18	
07SEP17	S	6	PD07DEC17	12135.00	11108.97	USD	36,937.08	
07SEP17	S	1	PD07DEC17	12155.00	11108.97	USD	6,276.18	
07SEP17	S	2	PD07DEC17	12015.00	11108.97	USD	10,872.36	
07SEP17	B	3	PD07DEC17	12130.00	11108.97	USD	-18,378.54	
07SEP17	S	2	PD07DEC17	12125.00	11108.97	USD	12,192.36	
07SEP17	S	8	PD07DEC17	12145.00	11108.97	USD	49,729.44	
07SEP17	S	1	PD07DEC17	12150.00	11108.97	USD	6,246.18	
07SEP17	S	4	PD07DEC17	12140.00	11108.97	USD	24,744.72	
07SEP17	S	4	PD07DEC17	12155.00	11108.97	USD	25,104.72	
07SEP17	S	15	PD07DEC17	12165.00	11108.97	USD	95,042.70	
07SEP17	S	8	PD07DEC17	12170.00	11108.97	USD	50,929.44	
07SEP17	S	1	PD07DEC17	12175.00	11108.97	USD	6,396.18	
07SEP17	B	5	PD07DEC17	12180.00	11108.97	USD	-32,130.90	
07SEP17	S	9	PD07DEC17	12205.00	11108.97	USD	59,185.62	
07SEP17	B	2	PD07DEC17	12200.00	11108.97	USD	-13,092.36	
07SEP17	B	5	PD07DEC17	12205.00	11108.97	USD	-32,880.90	
07SEP17	S	1	PD07DEC17	12145.00	11108.97	USD	6,216.18	
11SEP17	S	10	PD07DEC17	11762.38	11108.97	USD	39,204.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	2	PD07DEC17	11984.93	11108.97	USD	10,511.52	
14SEP17	S	2	PD07DEC17	11193.45	11108.97	USD	1,013.76	
14SEP17	S	6	PD07DEC17	11194.45	11108.97	USD	3,077.28	
15SEP17	S	1	PD07DEC17	11083.60	11108.97	USD	-152.22	
19SEP17	S	14	PD07DEC17	11130.82	11108.97	USD	1,835.40	
20SEP17	S	6	PD07DEC17	11368.97	11108.97	USD	9,360.00	
20SEP17	S	32	PD07DEC17	11369.97	11108.97	USD	50,112.00	
21SEP17	S	4	PD07DEC17	10993.73	11108.97	USD	-2,765.76	
25SEP17	S	4	PD07DEC17	10558.74	11108.97	USD	-13,205.52	
	NET	0 AVG	BGHT 12153.09				SOLD 11717.10	0.00
08SEP17	S	1	PD08DEC17	12240.00	11109.74	USD	6,781.56	
08SEP17	S	1	PD08DEC17	12245.00	11109.74	USD	6,811.56	
08SEP17	S	1	PD08DEC17	12270.00	11109.74	USD	6,961.56	
08SEP17	S	3	PD08DEC17	12280.00	11109.74	USD	21,064.68	
08SEP17	S	1	PD08DEC17	12285.00	11109.74	USD	7,051.56	
08SEP17	S	1	PD08DEC17	12290.00	11109.74	USD	7,081.56	
08SEP17	S	1	PD08DEC17	12295.00	11109.74	USD	7,111.56	
08SEP17	S	2	PD08DEC17	12275.00	11109.74	USD	13,983.12	
08SEP17	S	1	PD08DEC17	12255.00	11109.74	USD	6,871.56	
08SEP17	B	1	PD08DEC17	12275.00	11109.74	USD	-6,991.56	
08SEP17	B	1	PD08DEC17	12270.00	11109.74	USD	-6,961.56	
08SEP17	B	1	PD08DEC17	12300.00	11109.74	USD	-7,141.56	
08SEP17	B	1	PD08DEC17	12310.00	11109.74	USD	-7,201.56	
08SEP17	B	1	PD08DEC17	12350.00	11109.74	USD	-7,441.56	
08SEP17	B	4	PD08DEC17	12340.00	11109.74	USD	-29,526.24	
08SEP17	B	8	PD08DEC17	12335.00	11109.74	USD	-58,812.48	
08SEP17	B	5	PD08DEC17	12330.00	11109.74	USD	-36,607.80	
08SEP17	B	3	PD08DEC17	12315.00	11109.74	USD	-21,694.68	
08SEP17	B	16	PD08DEC17	12320.00	11109.74	USD	-116,184.96	
08SEP17	B	6	PD08DEC17	12325.00	11109.74	USD	-43,749.36	
08SEP17	S	8	PD08DEC17	12250.00	11109.74	USD	54,732.48	
08SEP17	S	9	PD08DEC17	12265.00	11109.74	USD	62,384.04	
08SEP17	S	1	PD08DEC17	12260.00	11109.74	USD	6,901.56	
08SEP17	S	4	PD08DEC17	12170.00	11109.74	USD	25,446.24	
08SEP17	S	2	PD08DEC17	12165.00	11109.74	USD	12,663.12	
08SEP17	S	1	PD08DEC17	12145.00	11109.74	USD	6,211.56	
08SEP17	S	9	PD08DEC17	12150.00	11109.74	USD	56,174.04	
08SEP17	S	1	PD08DEC17	12180.00	11109.74	USD	6,421.56	
08SEP17	S	5	PD08DEC17	12185.00	11109.74	USD	32,257.80	
08SEP17	S	13	PD08DEC17	12160.00	11109.74	USD	81,920.28	
08SEP17	S	4	PD08DEC17	12175.00	11109.74	USD	25,566.24	
08SEP17	S	3	PD08DEC17	12155.00	11109.74	USD	18,814.68	
08SEP17	S	4	PD08DEC17	12135.00	11109.74	USD	24,606.24	
08SEP17	S	1	PD08DEC17	12125.00	11109.74	USD	6,091.56	
08SEP17	S	6	PD08DEC17	12130.00	11109.74	USD	36,729.36	
08SEP17	S	2	PD08DEC17	12115.00	11109.74	USD	12,063.12	
08SEP17	S	4	PD08DEC17	12090.00	11109.74	USD	23,526.24	
08SEP17	S	4	PD08DEC17	12100.00	11109.74	USD	23,766.24	
08SEP17	S	1	PD08DEC17	12095.00	11109.74	USD	5,911.56	
08SEP17	S	1	PD08DEC17	12080.00	11109.74	USD	5,821.56	
08SEP17	S	1	PD08DEC17	12075.00	11109.74	USD	5,791.56	
08SEP17	S	3	PD08DEC17	12070.00	11109.74	USD	17,284.68	
08SEP17	S	9	PD08DEC17	12065.00	11109.74	USD	51,584.04	
08SEP17	S	2	PD08DEC17	12060.00	11109.74	USD	11,403.12	
08SEP17	S	6	PD08DEC17	12055.00	11109.74	USD	34,029.36	
08SEP17	S	3	PD08DEC17	12045.00	11109.74	USD	16,834.68	
08SEP17	S	9	PD08DEC17	12050.00	11109.74	USD	50,774.04	

OPEN POSITION STATEMENT



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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	12035.00	11109.74	USD	5,551.56	
08SEP17	S	1	PD08DEC17	12015.00	11109.74	USD	5,431.56	
08SEP17	S	4	PD08DEC17	11990.00	11109.74	USD	21,126.24	
08SEP17	S	1	PD08DEC17	12005.00	11109.74	USD	5,371.56	
08SEP17	S	10	PD08DEC17	12010.00	11109.74	USD	54,015.60	
08SEP17	B	1	PD08DEC17	11995.00	11109.74	USD	-5,311.56	
08SEP17	S	9	PD08DEC17	11955.00	11109.74	USD	45,644.04	
08SEP17	S	2	PD08DEC17	11965.00	11109.74	USD	10,263.12	
08SEP17	S	23	PD08DEC17	11960.00	11109.74	USD	117,335.88	
08SEP17	S	5	PD08DEC17	11995.00	11109.74	USD	26,557.80	
08SEP17	S	4	PD08DEC17	11985.00	11109.74	USD	21,006.24	
08SEP17	B	2	PD08DEC17	11980.00	11109.74	USD	-10,443.12	
08SEP17	B	13	PD08DEC17	11975.00	11109.74	USD	-67,490.28	
08SEP17	B	6	PD08DEC17	11970.00	11109.74	USD	-30,969.36	
08SEP17	B	1	PD08DEC17	11965.00	11109.74	USD	-5,131.56	
08SEP17	S	2	PD08DEC17	11950.00	11109.74	USD	10,083.12	
08SEP17	S	16	PD08DEC17	11935.00	11109.74	USD	79,224.96	
08SEP17	S	4	PD08DEC17	11945.00	11109.74	USD	20,046.24	
08SEP17	S	1	PD08DEC17	11930.00	11109.74	USD	4,921.56	
08SEP17	S	2	PD08DEC17	11920.00	11109.74	USD	9,723.12	
08SEP17	B	5	PD08DEC17	11935.00	11109.74	USD	-24,757.80	
08SEP17	B	4	PD08DEC17	11955.00	11109.74	USD	-20,286.24	
08SEP17	B	4	PD08DEC17	11945.00	11109.74	USD	-20,046.24	
08SEP17	B	6	PD08DEC17	11950.00	11109.74	USD	-30,249.36	
08SEP17	S	3	PD08DEC17	11970.00	11109.74	USD	15,484.68	
08SEP17	B	8	PD08DEC17	11960.00	11109.74	USD	-40,812.48	
08SEP17	S	13	PD08DEC17	11940.00	11109.74	USD	64,760.28	
08SEP17	B	4	PD08DEC17	11920.00	11109.74	USD	-19,446.24	
08SEP17	B	5	PD08DEC17	11925.00	11109.74	USD	-24,457.80	
08SEP17	B	5	PD08DEC17	11940.00	11109.74	USD	-24,907.80	
08SEP17	S	1	PD08DEC17	11925.00	11109.74	USD	4,891.56	
08SEP17	S	1	PD08DEC17	12255.00	11109.74	USD	6,871.56	
08SEP17	S	1	PD08DEC17	12170.00	11109.74	USD	6,361.56	
08SEP17	S	1	PD08DEC17	12150.00	11109.74	USD	6,241.56	
08SEP17	B	1	PD08DEC17	12150.00	11109.74	USD	-6,241.56	
08SEP17	S	1	PD08DEC17	12115.00	11109.74	USD	6,031.56	
08SEP17	B	1	PD08DEC17	12010.00	11109.74	USD	-5,401.56	
08SEP17	S	2	PD08DEC17	12010.00	11109.74	USD	10,803.12	
08SEP17	S	1	PD08DEC17	12005.00	11109.74	USD	5,371.56	
08SEP17	S	1	PD08DEC17	11970.00	11109.74	USD	5,161.56	
08SEP17	B	1	PD08DEC17	11970.00	11109.74	USD	-5,161.56	
08SEP17	B	1	PD08DEC17	11950.00	11109.74	USD	-5,041.56	
08SEP17	S	1	PD08DEC17	11935.00	11109.74	USD	4,951.56	
08SEP17	S	1	PD08DEC17	11930.00	11109.74	USD	4,921.56	
08SEP17	S	2	PD08DEC17	11880.00	11109.74	USD	9,243.12	
08SEP17	S	5	PD08DEC17	11865.00	11109.74	USD	22,657.80	
08SEP17	S	3	PD08DEC17	11860.00	11109.74	USD	13,504.68	
08SEP17	S	5	PD08DEC17	11855.00	11109.74	USD	22,357.80	
08SEP17	S	3	PD08DEC17	11850.00	11109.74	USD	13,324.68	
08SEP17	S	15	PD08DEC17	11845.00	11109.74	USD	66,173.40	
08SEP17	S	6	PD08DEC17	11885.00	11109.74	USD	27,909.36	
08SEP17	S	8	PD08DEC17	11840.00	11109.74	USD	35,052.48	
08SEP17	S	2	PD08DEC17	11835.00	11109.74	USD	8,703.12	
08SEP17	S	1	PD08DEC17	11830.00	11109.74	USD	4,321.56	
08SEP17	S	2	PD08DEC17	11825.00	11109.74	USD	8,583.12	
08SEP17	S	2	PD08DEC17	11800.00	11109.74	USD	8,283.12	
08SEP17	S	2	PD08DEC17	11805.00	11109.74	USD	8,343.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	11810.00	11109.74	USD	8,403.12	
08SEP17	S	1	PD08DEC17	11790.00	11109.74	USD	4,081.56	
08SEP17	B	1	PD08DEC17	11780.00	11109.74	USD	-4,021.56	
08SEP17	B	1	PD08DEC17	11775.00	11109.74	USD	-3,991.56	
08SEP17	S	2	PD08DEC17	11775.00	11109.74	USD	7,983.12	
08SEP17	S	1	PD08DEC17	11765.00	11109.74	USD	3,931.56	
08SEP17	B	4	PD08DEC17	11770.00	11109.74	USD	-15,846.24	
08SEP17	B	11	PD08DEC17	11785.00	11109.74	USD	-44,567.16	
08SEP17	B	3	PD08DEC17	11765.00	11109.74	USD	-11,794.68	
08SEP17	B	4	PD08DEC17	11740.00	11109.74	USD	-15,126.24	
08SEP17	B	3	PD08DEC17	11760.00	11109.74	USD	-11,704.68	
08SEP17	B	8	PD08DEC17	11755.00	11109.74	USD	-30,972.48	
08SEP17	B	5	PD08DEC17	11750.00	11109.74	USD	-19,207.80	
08SEP17	B	1	PD08DEC17	11720.00	11109.74	USD	-3,661.56	
08SEP17	B	5	PD08DEC17	11715.00	11109.74	USD	-18,157.80	
08SEP17	S	6	PD08DEC17	11750.00	11109.74	USD	23,049.36	
08SEP17	S	1	PD08DEC17	11760.00	11109.74	USD	3,901.56	
08SEP17	S	3	PD08DEC17	11725.00	11109.74	USD	11,074.68	
08SEP17	S	1	PD08DEC17	11720.00	11109.74	USD	3,661.56	
08SEP17	B	2	PD08DEC17	11710.00	11109.74	USD	-7,203.12	
08SEP17	S	6	PD08DEC17	11735.00	11109.74	USD	22,509.36	
08SEP17	S	1	PD08DEC17	11740.00	11109.74	USD	3,781.56	
08SEP17	B	2	PD08DEC17	11705.00	11109.74	USD	-7,143.12	
08SEP17	B	4	PD08DEC17	11700.00	11109.74	USD	-14,166.24	
08SEP17	B	2	PD08DEC17	11690.00	11109.74	USD	-6,963.12	
08SEP17	B	2	PD08DEC17	11685.00	11109.74	USD	-6,903.12	
08SEP17	B	1	PD08DEC17	11620.00	11109.74	USD	-3,061.56	
08SEP17	B	1	PD08DEC17	11535.00	11109.74	USD	-2,551.56	
08SEP17	B	2	PD08DEC17	11545.00	11109.74	USD	-5,223.12	
08SEP17	B	2	PD08DEC17	11540.00	11109.74	USD	-5,163.12	
08SEP17	S	1	PD08DEC17	11555.00	11109.74	USD	2,671.56	
08SEP17	B	5	PD08DEC17	11570.00	11109.74	USD	-13,807.80	
08SEP17	B	4	PD08DEC17	11505.00	11109.74	USD	-9,486.24	
08SEP17	B	2	PD08DEC17	11495.00	11109.74	USD	-4,623.12	
08SEP17	S	2	PD08DEC17	11535.00	11109.74	USD	5,103.12	
08SEP17	S	4	PD08DEC17	11550.00	11109.74	USD	10,566.24	
08SEP17	S	3	PD08DEC17	11565.00	11109.74	USD	8,194.68	
08SEP17	B	5	PD08DEC17	11560.00	11109.74	USD	-13,507.80	
08SEP17	B	4	PD08DEC17	11575.00	11109.74	USD	-11,166.24	
08SEP17	S	6	PD08DEC17	11575.00	11109.74	USD	16,749.36	
08SEP17	S	6	PD08DEC17	11580.00	11109.74	USD	16,929.36	
08SEP17	S	3	PD08DEC17	11595.00	11109.74	USD	8,734.68	
08SEP17	S	11	PD08DEC17	11590.00	11109.74	USD	31,697.16	
08SEP17	B	1	PD08DEC17	11590.00	11109.74	USD	-2,881.56	
08SEP17	S	3	PD08DEC17	11600.00	11109.74	USD	8,824.68	
08SEP17	S	1	PD08DEC17	11605.00	11109.74	USD	2,971.56	
08SEP17	S	5	PD08DEC17	11570.00	11109.74	USD	13,807.80	
08SEP17	S	1	PD08DEC17	11585.00	11109.74	USD	2,851.56	
08SEP17	S	6	PD08DEC17	11515.00	11109.74	USD	14,589.36	
08SEP17	B	8	PD08DEC17	11525.00	11109.74	USD	-19,932.48	
08SEP17	B	2	PD08DEC17	11520.00	11109.74	USD	-4,923.12	
08SEP17	S	1	PD08DEC17	11505.00	11109.74	USD	2,371.56	
08SEP17	B	10	PD08DEC17	11510.00	11109.74	USD	-24,015.60	
08SEP17	B	6	PD08DEC17	11515.00	11109.74	USD	-14,589.36	
08SEP17	S	2	PD08DEC17	11500.00	11109.74	USD	4,683.12	
08SEP17	S	1	PD08DEC17	11590.00	11109.74	USD	2,881.56	
08SEP17	B	1	PD08DEC17	11590.00	11109.74	USD	-2,881.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	10	PD08DEC17	11764.04	11109.74	USD	-39,258.00	
14SEP17	B	17	PD08DEC17	11195.24	11109.74	USD	-8,721.00	
14SEP17	B	7	PD08DEC17	11196.24	11109.74	USD	-3,633.00	
14SEP17	S	15	PD08DEC17	11195.24	11109.74	USD	7,695.00	
15SEP17	B	1	PD08DEC17	11085.40	11109.74	USD	146.04	
15SEP17	B	1	PD08DEC17	11084.40	11109.74	USD	152.04	
18SEP17	B	30	PD08DEC17	11227.42	11109.74	USD	-21,182.40	
19SEP17	B	17	PD08DEC17	11132.59	11109.74	USD	-2,330.70	
19SEP17	B	10	PD08DEC17	11131.59	11109.74	USD	-1,311.00	
20SEP17	B	18	PD08DEC17	11370.74	11109.74	USD	-28,188.00	
20SEP17	B	32	PD08DEC17	11371.74	11109.74	USD	-50,304.00	
25SEP17	B	4	PD08DEC17	10560.69	11109.74	USD	13,177.20	
25SEP17	B	6	PD08DEC17	10559.69	11109.74	USD	19,801.80	
	NET	-11 AVG BGHT 11622.62	SOLD 11910.99				710,376.42	0.00
11SEP17	S	1	PD11DEC17	11510.00	11112.06	USD	2,387.64	
11SEP17	S	1	PD11DEC17	11585.00	11112.06	USD	2,837.64	
11SEP17	S	1	PD11DEC17	11505.00	11112.06	USD	2,357.64	
11SEP17	B	3	PD11DEC17	11570.00	11112.06	USD	-8,242.92	
11SEP17	B	7	PD11DEC17	11485.00	11112.06	USD	-15,663.48	
11SEP17	B	4	PD11DEC17	11495.00	11112.06	USD	-9,190.56	
11SEP17	B	1	PD11DEC17	11520.00	11112.06	USD	-2,447.64	
11SEP17	B	3	PD11DEC17	11500.00	11112.06	USD	-6,982.92	
11SEP17	B	2	PD11DEC17	11505.00	11112.06	USD	-4,715.28	
11SEP17	B	1	PD11DEC17	11545.00	11112.06	USD	-2,597.64	
11SEP17	B	1	PD11DEC17	11560.00	11112.06	USD	-2,687.64	
11SEP17	B	5	PD11DEC17	11515.00	11112.06	USD	-12,088.20	
11SEP17	B	2	PD11DEC17	11510.00	11112.06	USD	-4,775.28	
11SEP17	B	1	PD11DEC17	11580.00	11112.06	USD	-2,807.64	
11SEP17	B	11	PD11DEC17	11535.00	11112.06	USD	-27,914.04	
11SEP17	B	2	PD11DEC17	11595.00	11112.06	USD	-5,795.28	
11SEP17	B	4	PD11DEC17	11590.00	11112.06	USD	-11,470.56	
11SEP17	B	2	PD11DEC17	11600.00	11112.06	USD	-5,855.28	
11SEP17	S	2	PD11DEC17	11595.00	11112.06	USD	5,795.28	
11SEP17	S	2	PD11DEC17	11580.00	11112.06	USD	5,615.28	
11SEP17	S	1	PD11DEC17	11570.00	11112.06	USD	2,747.64	
11SEP17	S	2	PD11DEC17	11575.00	11112.06	USD	5,555.28	
11SEP17	B	9	PD11DEC17	11575.00	11112.06	USD	-24,998.76	
11SEP17	B	2	PD11DEC17	11555.00	11112.06	USD	-5,315.28	
11SEP17	B	2	PD11DEC17	11565.00	11112.06	USD	-5,435.28	
11SEP17	B	16	PD11DEC17	11540.00	11112.06	USD	-41,082.24	
11SEP17	B	1	PD11DEC17	11595.00	11112.06	USD	-2,897.64	
11SEP17	S	2	PD11DEC17	11660.00	11112.06	USD	6,575.28	
11SEP17	B	1	PD11DEC17	11650.00	11112.06	USD	-3,227.64	
11SEP17	S	3	PD11DEC17	11655.00	11112.06	USD	9,772.92	
11SEP17	S	3	PD11DEC17	11685.00	11112.06	USD	10,312.92	
11SEP17	S	3	PD11DEC17	11715.00	11112.06	USD	10,852.92	
11SEP17	S	6	PD11DEC17	11710.00	11112.06	USD	21,525.84	
11SEP17	S	4	PD11DEC17	11705.00	11112.06	USD	14,230.56	
11SEP17	S	1	PD11DEC17	11700.00	11112.06	USD	3,527.64	
11SEP17	S	1	PD11DEC17	11730.00	11112.06	USD	3,707.64	
11SEP17	S	1	PD11DEC17	11745.00	11112.06	USD	3,797.64	
11SEP17	B	3	PD11DEC17	11745.00	11112.06	USD	-11,392.92	
11SEP17	B	6	PD11DEC17	11700.00	11112.06	USD	-21,165.84	
11SEP17	B	2	PD11DEC17	11685.00	11112.06	USD	-6,875.28	
11SEP17	B	1	PD11DEC17	11695.00	11112.06	USD	-3,497.64	
11SEP17	B	3	PD11DEC17	11705.00	11112.06	USD	-10,672.92	
11SEP17	B	1	PD11DEC17	11710.00	11112.06	USD	-3,587.64	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	11770.00	11112.06	USD	3,947.64	
11SEP17	B	1	PD11DEC17	11615.00	11112.06	USD	-3,017.64	
11SEP17	B	3	PD11DEC17	11655.00	11112.06	USD	-9,772.92	
11SEP17	B	3	PD11DEC17	11665.00	11112.06	USD	-9,952.92	
11SEP17	B	2	PD11DEC17	11725.00	11112.06	USD	-7,355.28	
11SEP17	B	6	PD11DEC17	11730.00	11112.06	USD	-22,245.84	
11SEP17	B	2	PD11DEC17	11770.00	11112.06	USD	-7,895.28	
11SEP17	S	5	PD11DEC17	11795.00	11112.06	USD	20,488.20	
11SEP17	S	1	PD11DEC17	11805.00	11112.06	USD	4,157.64	
11SEP17	S	1	PD11DEC17	11835.00	11112.06	USD	4,337.64	
11SEP17	S	1	PD11DEC17	11790.00	11112.06	USD	4,067.64	
11SEP17	S	15	PD11DEC17	11800.00	11112.06	USD	61,914.60	
11SEP17	B	1	PD11DEC17	11800.00	11112.06	USD	-4,127.64	
11SEP17	S	14	PD11DEC17	11820.00	11112.06	USD	59,466.96	
11SEP17	S	11	PD11DEC17	11825.00	11112.06	USD	47,054.04	
11SEP17	S	4	PD11DEC17	11830.00	11112.06	USD	17,230.56	
14SEP17	B	7	PD11DEC17	11197.62	11112.06	USD	-3,593.52	
14SEP17	S	4	PD11DEC17	11197.62	11112.06	USD	2,053.44	
14SEP17	B	2	PD11DEC17	11198.62	11112.06	USD	-1,038.72	
15SEP17	S	1	PD11DEC17	11085.80	11112.06	USD	-157.56	
19SEP17	S	5	PD11DEC17	11132.88	11112.06	USD	624.60	
20SEP17	S	10	PD11DEC17	11372.06	11112.06	USD	15,600.00	
25SEP17	S	6	PD11DEC17	10561.51	11112.06	USD	-19,819.80	
	NET	+10 AVG BGHT 11562.44	SOLD 11602.57				182.16	0.00
12SEP17	S	2	PD12DEC17	11755.00	11112.83	USD	7,706.04	
12SEP17	S	1	PD12DEC17	11695.00	11112.83	USD	3,493.02	
12SEP17	S	1	PD12DEC17	11690.00	11112.83	USD	3,463.02	
12SEP17	B	1	PD12DEC17	11665.00	11112.83	USD	-3,313.02	
12SEP17	B	3	PD12DEC17	11680.00	11112.83	USD	-10,209.06	
12SEP17	B	5	PD12DEC17	11660.00	11112.83	USD	-16,415.10	
12SEP17	B	30	PD12DEC17	11670.00	11112.83	USD	-100,290.60	
12SEP17	B	9	PD12DEC17	11650.00	11112.83	USD	-29,007.18	
12SEP17	S	2	PD12DEC17	11670.00	11112.83	USD	6,686.04	
12SEP17	B	8	PD12DEC17	11645.00	11112.83	USD	-25,544.16	
12SEP17	B	15	PD12DEC17	11675.00	11112.83	USD	-50,595.30	
12SEP17	B	2	PD12DEC17	11725.00	11112.83	USD	-7,346.04	
12SEP17	B	2	PD12DEC17	11710.00	11112.83	USD	-7,166.04	
12SEP17	B	8	PD12DEC17	11700.00	11112.83	USD	-28,184.16	
12SEP17	B	1	PD12DEC17	11620.00	11112.83	USD	-3,043.02	
12SEP17	S	1	PD12DEC17	11590.00	11112.83	USD	2,863.02	
12SEP17	B	3	PD12DEC17	11595.00	11112.83	USD	-8,679.06	
12SEP17	B	5	PD12DEC17	11635.00	11112.83	USD	-15,665.10	
12SEP17	B	6	PD12DEC17	11640.00	11112.83	USD	-18,978.12	
12SEP17	B	11	PD12DEC17	11625.00	11112.83	USD	-33,803.22	
12SEP17	B	1	PD12DEC17	11630.00	11112.83	USD	-3,103.02	
12SEP17	B	3	PD12DEC17	11685.00	11112.83	USD	-10,299.06	
12SEP17	S	2	PD12DEC17	11720.00	11112.83	USD	7,286.04	
12SEP17	S	2	PD12DEC17	11735.00	11112.83	USD	7,466.04	
12SEP17	S	3	PD12DEC17	11730.00	11112.83	USD	11,109.06	
12SEP17	S	1	PD12DEC17	11745.00	11112.83	USD	3,793.02	
12SEP17	B	3	PD12DEC17	11740.00	11112.83	USD	-11,289.06	
12SEP17	B	1	PD12DEC17	11760.00	11112.83	USD	-3,883.02	
12SEP17	B	4	PD12DEC17	11780.00	11112.83	USD	-16,012.08	
12SEP17	B	2	PD12DEC17	11770.00	11112.83	USD	-7,886.04	
12SEP17	S	4	PD12DEC17	11770.00	11112.83	USD	15,772.08	
12SEP17	S	1	PD12DEC17	11780.00	11112.83	USD	4,003.02	
12SEP17	S	1	PD12DEC17	11795.00	11112.83	USD	4,093.02	

OPEN POSITION STATEMENT



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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	11855.00	11112.83	USD	4,453.02	
12SEP17	S	6	PD12DEC17	11880.00	11112.83	USD	27,618.12	
12SEP17	S	5	PD12DEC17	11890.00	11112.83	USD	23,315.10	
12SEP17	S	9	PD12DEC17	11885.00	11112.83	USD	41,697.18	
12SEP17	S	2	PD12DEC17	11900.00	11112.83	USD	9,446.04	
12SEP17	B	2	PD12DEC17	11855.00	11112.83	USD	-8,906.04	
12SEP17	S	7	PD12DEC17	11925.00	11112.83	USD	34,111.14	
12SEP17	S	2	PD12DEC17	11940.00	11112.83	USD	9,926.04	
12SEP17	S	3	PD12DEC17	11935.00	11112.83	USD	14,799.06	
12SEP17	S	1	PD12DEC17	11950.00	11112.83	USD	5,023.02	
12SEP17	S	3	PD12DEC17	11955.00	11112.83	USD	15,159.06	
12SEP17	S	19	PD12DEC17	11960.00	11112.83	USD	96,577.38	
12SEP17	S	4	PD12DEC17	11975.00	11112.83	USD	20,692.08	
12SEP17	S	17	PD12DEC17	11965.00	11112.83	USD	86,921.34	
12SEP17	S	19	PD12DEC17	11970.00	11112.83	USD	97,717.38	
12SEP17	S	1	PD12DEC17	11940.00	11112.83	USD	4,963.02	
12SEP17	S	3	PD12DEC17	11955.00	11112.83	USD	15,159.06	
12SEP17	B	1	PD12DEC17	11930.00	11112.83	USD	-4,903.02	
12SEP17	B	2	PD12DEC17	11915.00	11112.83	USD	-9,626.04	
12SEP17	B	1	PD12DEC17	11905.00	11112.83	USD	-4,753.02	
12SEP17	B	6	PD12DEC17	11910.00	11112.83	USD	-28,698.12	
12SEP17	B	16	PD12DEC17	11925.00	11112.83	USD	-77,968.32	
12SEP17	B	4	PD12DEC17	11920.00	11112.83	USD	-19,372.08	
14SEP17	S	15	PD12DEC17	11197.41	11112.83	USD	7,612.20	
14SEP17	B	4	PD12DEC17	11199.41	11112.83	USD	-2,077.92	
19SEP17	S	5	PD12DEC17	11133.65	11112.83	USD	624.60	
19SEP17	S	1	PD12DEC17	11134.65	11112.83	USD	130.92	
20SEP17	S	12	PD12DEC17	11372.83	11112.83	USD	18,720.00	
21SEP17	S	4	PD12DEC17	10997.87	11112.83	USD	-2,759.04	
	NET	-1	AVG BGHT 11707.19	SOLD 11747.87			42,624.12	0.00
13SEP17	B	2	PD13DEC17	11895.00	11113.60	USD	-9,376.80	
13SEP17	B	3	PD13DEC17	11885.00	11113.60	USD	-13,885.20	
13SEP17	B	11	PD13DEC17	11890.00	11113.60	USD	-51,242.40	
13SEP17	B	2	PD13DEC17	11905.00	11113.60	USD	-9,496.80	
13SEP17	B	8	PD13DEC17	11920.00	11113.60	USD	-38,707.20	
13SEP17	B	6	PD13DEC17	11930.00	11113.60	USD	-29,390.40	
13SEP17	B	3	PD13DEC17	11900.00	11113.60	USD	-14,155.20	
13SEP17	B	1	PD13DEC17	11850.00	11113.60	USD	-4,418.40	
13SEP17	B	2	PD13DEC17	11860.00	11113.60	USD	-8,956.80	
13SEP17	B	2	PD13DEC17	11790.00	11113.60	USD	-8,116.80	
13SEP17	B	2	PD13DEC17	11795.00	11113.60	USD	-8,176.80	
13SEP17	B	16	PD13DEC17	11815.00	11113.60	USD	-67,334.40	
13SEP17	B	3	PD13DEC17	11830.00	11113.60	USD	-12,895.20	
13SEP17	B	7	PD13DEC17	11825.00	11113.60	USD	-29,878.80	
13SEP17	B	5	PD13DEC17	11770.00	11113.60	USD	-19,692.00	
13SEP17	B	1	PD13DEC17	11765.00	11113.60	USD	-3,908.40	
13SEP17	B	1	PD13DEC17	11735.00	11113.60	USD	-3,728.40	
13SEP17	B	1	PD13DEC17	11745.00	11113.60	USD	-3,788.40	
13SEP17	B	3	PD13DEC17	11710.00	11113.60	USD	-10,735.20	
13SEP17	B	3	PD13DEC17	11730.00	11113.60	USD	-11,095.20	
13SEP17	B	16	PD13DEC17	11740.00	11113.60	USD	-60,134.40	
13SEP17	B	2	PD13DEC17	11775.00	11113.60	USD	-7,936.80	
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
13SEP17	S	2	PD13DEC17	11760.00	11113.60	USD	7,756.80	
13SEP17	B	1	PD13DEC17	11725.00	11113.60	USD	-3,668.40	
13SEP17	B	1	PD13DEC17	11550.00	11113.60	USD	-2,618.40	
13SEP17	B	1	PD13DEC17	11560.00	11113.60	USD	-2,678.40	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	11755.00	11113.60	USD	3,848.40	
13SEP17	B	1	PD13DEC17	11755.00	11113.60	USD	-3,848.40	
13SEP17	S	1	PD13DEC17	11730.00	11113.60	USD	3,698.40	
13SEP17	B	1	PD13DEC17	11530.00	11113.60	USD	-2,498.40	
13SEP17	B	3	PD13DEC17	11535.00	11113.60	USD	-7,585.20	
13SEP17	B	1	PD13DEC17	11545.00	11113.60	USD	-2,588.40	
13SEP17	B	5	PD13DEC17	11560.00	11113.60	USD	-13,392.00	
13SEP17	B	11	PD13DEC17	11565.00	11113.60	USD	-29,792.40	
13SEP17	B	18	PD13DEC17	11555.00	11113.60	USD	-47,671.20	
13SEP17	S	5	PD13DEC17	11560.00	11113.60	USD	13,392.00	
13SEP17	S	1	PD13DEC17	11575.00	11113.60	USD	2,768.40	
13SEP17	S	13	PD13DEC17	11585.00	11113.60	USD	36,769.20	
13SEP17	S	4	PD13DEC17	11580.00	11113.60	USD	11,193.60	
13SEP17	B	3	PD13DEC17	11585.00	11113.60	USD	-8,485.20	
13SEP17	B	4	PD13DEC17	11590.00	11113.60	USD	-11,433.60	
13SEP17	B	2	PD13DEC17	11605.00	11113.60	USD	-5,896.80	
13SEP17	B	4	PD13DEC17	11595.00	11113.60	USD	-11,553.60	
13SEP17	B	19	PD13DEC17	11580.00	11113.60	USD	-53,169.60	
13SEP17	B	12	PD13DEC17	11575.00	11113.60	USD	-33,220.80	
13SEP17	B	4	PD13DEC17	11570.00	11113.60	USD	-10,953.60	
13SEP17	S	1	PD13DEC17	11400.00	11113.60	USD	1,718.40	
13SEP17	B	1	PD13DEC17	11420.00	11113.60	USD	-1,838.40	
13SEP17	B	4	PD13DEC17	11370.00	11113.60	USD	-6,153.60	
13SEP17	B	4	PD13DEC17	11400.00	11113.60	USD	-6,873.60	
13SEP17	S	4	PD13DEC17	11405.00	11113.60	USD	6,993.60	
13SEP17	B	5	PD13DEC17	11365.00	11113.60	USD	-7,542.00	
13SEP17	S	1	PD13DEC17	11370.00	11113.60	USD	1,538.40	
13SEP17	S	2	PD13DEC17	11365.00	11113.60	USD	3,016.80	
13SEP17	S	3	PD13DEC17	11355.00	11113.60	USD	4,345.20	
13SEP17	S	2	PD13DEC17	11340.00	11113.60	USD	2,716.80	
13SEP17	S	4	PD13DEC17	11335.00	11113.60	USD	5,313.60	
13SEP17	S	5	PD13DEC17	11360.00	11113.60	USD	7,392.00	
13SEP17	B	1	PD13DEC17	11355.00	11113.60	USD	-1,448.40	
13SEP17	B	3	PD13DEC17	11395.00	11113.60	USD	-5,065.20	
13SEP17	B	4	PD13DEC17	11380.00	11113.60	USD	-6,393.60	
13SEP17	B	1	PD13DEC17	11565.00	11113.60	USD	-2,708.40	
13SEP17	B	1	PD13DEC17	11580.00	11113.60	USD	-2,798.40	
13SEP17	S	1	PD13DEC17	11580.00	11113.60	USD	2,798.40	
13SEP17	B	1	PD13DEC17	11595.00	11113.60	USD	-2,888.40	
13SEP17	S	1	PD13DEC17	11585.00	11113.60	USD	2,828.40	
13SEP17	B	4	PD13DEC17	11570.00	11113.60	USD	-10,953.60	
13SEP17	B	5	PD13DEC17	11390.00	11113.60	USD	-8,292.00	
13SEP17	S	3	PD13DEC17	11490.00	11113.60	USD	6,775.20	
13SEP17	B	5	PD13DEC17	11455.00	11113.60	USD	-10,242.00	
13SEP17	S	3	PD13DEC17	11455.00	11113.60	USD	6,145.20	
13SEP17	B	2	PD13DEC17	11450.00	11113.60	USD	-4,036.80	
13SEP17	B	1	PD13DEC17	11375.00	11113.60	USD	-1,568.40	
13SEP17	B	3	PD13DEC17	11385.00	11113.60	USD	-4,885.20	
13SEP17	S	2	PD13DEC17	11435.00	11113.60	USD	3,856.80	
13SEP17	S	2	PD13DEC17	11410.00	11113.60	USD	3,556.80	
13SEP17	S	6	PD13DEC17	11425.00	11113.60	USD	11,210.40	
13SEP17	S	4	PD13DEC17	11430.00	11113.60	USD	7,593.60	
13SEP17	S	4	PD13DEC17	11420.00	11113.60	USD	7,353.60	
13SEP17	S	10	PD13DEC17	11415.00	11113.60	USD	18,084.00	
14SEP17	B	15	PD13DEC17	11200.21	11113.60	USD	-7,794.90	
15SEP17	S	3	PD13DEC17	11088.40	11113.60	USD	-453.60	
18SEP17	S	30	PD13DEC17	11230.21	11113.60	USD	20,989.80	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	23	PD13DEC17	11231.21	11113.60	USD	16,230.18	
19SEP17	S	18	PD13DEC17	11135.41	11113.60	USD	2,355.48	
20SEP17	S	8	PD13DEC17	11373.60	11113.60	USD	12,480.00	
20SEP17	S	39	PD13DEC17	11374.60	11113.60	USD	61,074.00	
21SEP17	S	22	PD13DEC17	10998.70	11113.60	USD	-15,166.80	
25SEP17	S	7	PD13DEC17	10564.40	11113.60	USD	-23,066.40	
	NET	+17 AVG BGHT 11624.99	SOLD 11295.95				-516,119.04	0.00
14SEP17	S	2	PD14DEC17	11435.00	11114.37	USD	3,847.56	
14SEP17	S	1	PD14DEC17	11445.00	11114.37	USD	1,983.78	
14SEP17	S	1	PD14DEC17	11465.00	11114.37	USD	2,103.78	
14SEP17	S	3	PD14DEC17	11495.00	11114.37	USD	6,851.34	
14SEP17	B	2	PD14DEC17	11500.00	11114.37	USD	-4,627.56	
14SEP17	S	2	PD14DEC17	11505.00	11114.37	USD	4,687.56	
14SEP17	S	4	PD14DEC17	11500.00	11114.37	USD	9,255.12	
14SEP17	S	3	PD14DEC17	11485.00	11114.37	USD	6,671.34	
14SEP17	B	1	PD14DEC17	11465.00	11114.37	USD	-2,103.78	
14SEP17	S	3	PD14DEC17	11475.00	11114.37	USD	6,491.34	
14SEP17	S	11	PD14DEC17	11470.00	11114.37	USD	23,471.58	
14SEP17	S	1	PD14DEC17	11440.00	11114.37	USD	1,953.78	
14SEP17	S	2	PD14DEC17	11455.00	11114.37	USD	4,087.56	
14SEP17	S	3	PD14DEC17	11450.00	11114.37	USD	6,041.34	
14SEP17	S	3	PD14DEC17	11380.00	11114.37	USD	4,781.34	
14SEP17	S	3	PD14DEC17	11375.00	11114.37	USD	4,691.34	
14SEP17	B	1	PD14DEC17	11305.00	11114.37	USD	-1,143.78	
14SEP17	B	1	PD14DEC17	11260.00	11114.37	USD	-873.78	
14SEP17	B	2	PD14DEC17	11270.00	11114.37	USD	-1,867.56	
14SEP17	B	1	PD14DEC17	11255.00	11114.37	USD	-843.78	
14SEP17	S	1	PD14DEC17	11195.00	11114.37	USD	483.78	
14SEP17	S	2	PD14DEC17	11230.00	11114.37	USD	1,387.56	
14SEP17	B	3	PD14DEC17	11185.00	11114.37	USD	-1,271.34	
14SEP17	B	3	PD14DEC17	11160.00	11114.37	USD	-821.34	
14SEP17	B	1	PD14DEC17	11155.00	11114.37	USD	-243.78	
14SEP17	B	6	PD14DEC17	11165.00	11114.37	USD	-1,822.68	
14SEP17	S	2	PD14DEC17	11165.00	11114.37	USD	607.56	
14SEP17	S	1	PD14DEC17	11155.00	11114.37	USD	243.78	
14SEP17	S	1	PD14DEC17	11160.00	11114.37	USD	273.78	
14SEP17	S	3	PD14DEC17	11150.00	11114.37	USD	641.34	
14SEP17	S	1	PD14DEC17	11145.00	11114.37	USD	183.78	
14SEP17	B	1	PD14DEC17	11235.00	11114.37	USD	-723.78	
14SEP17	S	2	PD14DEC17	11445.00	11114.37	USD	3,967.56	
14SEP17	S	2	PD14DEC17	11455.00	11114.37	USD	4,087.56	
14SEP17	S	1	PD14DEC17	11515.00	11114.37	USD	2,403.78	
14SEP17	S	1	PD14DEC17	11320.00	11114.37	USD	1,233.78	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	S	1	PD14DEC17	11180.00	11114.37	USD	393.78	
14SEP17	S	1	PD14DEC17	11185.00	11114.37	USD	423.78	
14SEP17	S	1	PD14DEC17	11320.00	11114.37	USD	1,233.78	
14SEP17	S	1	PD14DEC17	11405.00	11114.37	USD	1,743.78	
14SEP17	S	1	PD14DEC17	11350.00	11114.37	USD	1,413.78	
14SEP17	S	2	PD14DEC17	11330.00	11114.37	USD	2,587.56	
14SEP17	S	5	PD14DEC17	11335.00	11114.37	USD	6,618.90	
14SEP17	S	2	PD14DEC17	11355.00	11114.37	USD	2,887.56	
14SEP17	S	2	PD14DEC17	11345.00	11114.37	USD	2,767.56	
14SEP17	S	2	PD14DEC17	11340.00	11114.37	USD	2,707.56	
14SEP17	S	3	PD14DEC17	11325.00	11114.37	USD	3,791.34	
14SEP17	B	3	PD14DEC17	11300.00	11114.37	USD	-3,341.34	
14SEP17	S	14	PD14DEC17	11280.00	11114.37	USD	13,912.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	5	PD14DEC17	11315.00	11114.37	USD	6,018.90	
14SEP17	S	20	PD14DEC17	11300.00	11114.37	USD	22,275.60	
14SEP17	S	1	PD14DEC17	11305.00	11114.37	USD	1,143.78	
14SEP17	S	1	PD14DEC17	11250.00	11114.37	USD	813.78	
14SEP17	S	2	PD14DEC17	11255.00	11114.37	USD	1,687.56	
14SEP17	S	7	PD14DEC17	11270.00	11114.37	USD	6,536.46	
14SEP17	S	16	PD14DEC17	11275.00	11114.37	USD	15,420.48	
14SEP17	S	2	PD14DEC17	11220.00	11114.37	USD	1,267.56	
14SEP17	S	5	PD14DEC17	11225.00	11114.37	USD	3,318.90	
14SEP17	S	1	PD14DEC17	11215.00	11114.37	USD	603.78	
14SEP17	S	11	PD14DEC17	11295.00	11114.37	USD	11,921.58	
14SEP17	S	9	PD14DEC17	11285.00	11114.37	USD	9,214.02	
14SEP17	S	9	PD14DEC17	11290.00	11114.37	USD	9,484.02	
14SEP17	B	8	PD14DEC17	11290.00	11114.37	USD	-8,430.24	
14SEP17	B	12	PD14DEC17	11280.00	11114.37	USD	-11,925.36	
14SEP17	B	5	PD14DEC17	11275.00	11114.37	USD	-4,818.90	
14SEP17	B	4	PD14DEC17	11175.00	11114.37	USD	-1,455.12	
14SEP17	B	5	PD14DEC17	11180.00	11114.37	USD	-1,968.90	
14SEP17	S	1	PD14DEC17	11385.00	11114.37	USD	1,623.78	
14SEP17	S	2	PD14DEC17	11310.00	11114.37	USD	2,347.56	
14SEP17	B	1	PD14DEC17	11280.00	11114.37	USD	-993.78	
14SEP17	S	1	PD14DEC17	11275.00	11114.37	USD	963.78	
14SEP17	S	2	PD14DEC17	11280.00	11114.37	USD	1,987.56	
14SEP17	S	1	PD14DEC17	11290.00	11114.37	USD	1,053.78	
14SEP17	S	1	PD14DEC17	11225.00	11114.37	USD	663.78	
14SEP17	S	1	PD14DEC17	11240.00	11114.37	USD	753.78	
14SEP17	S	4	PD14DEC17	11265.00	11114.37	USD	3,615.12	
14SEP17	B	4	PD14DEC17	11245.00	11114.37	USD	-3,135.12	
14SEP17	B	10	PD14DEC17	11200.00	11114.37	USD	-5,137.80	
14SEP17	B	2	PD14DEC17	11210.00	11114.37	USD	-1,147.56	
14SEP17	B	5	PD14DEC17	11205.00	11114.37	USD	-2,718.90	
14SEP17	B	3	PD14DEC17	11225.00	11114.37	USD	-1,991.34	
14SEP17	B	4	PD14DEC17	11215.00	11114.37	USD	-2,415.12	
14SEP17	B	12	PD14DEC17	11220.00	11114.37	USD	-7,605.36	
14SEP17	S	9	PD14DEC17	11199.00	11114.37	USD	4,570.02	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
14SEP17	B	1	PD14DEC17	11195.00	11114.37	USD	-483.78	
14SEP17	B	3	PD14DEC17	11215.00	11114.37	USD	-1,811.34	
15SEP17	B	3	PD14DEC17	11090.20	11114.37	USD	435.06	
18SEP17	B	23	PD14DEC17	11232.97	11114.37	USD	-16,366.80	
19SEP17	B	11	PD14DEC17	11137.18	11114.37	USD	-1,505.46	
20SEP17	B	16	PD14DEC17	11376.37	11114.37	USD	-25,152.00	
20SEP17	B	8	PD14DEC17	11375.37	11114.37	USD	-12,528.00	
21SEP17	B	22	PD14DEC17	11000.53	11114.37	USD	15,026.88	
25SEP17	B	4	PD14DEC17	10566.34	11114.37	USD	13,152.72	
	NET	-14 AVG BGHT 11203.96	SOLD 11317.43				147,780.18	0.00
15SEP17	B	2	PD15DEC17	11220.00	11115.14	USD	-1,258.32	
15SEP17	B	1	PD15DEC17	11225.00	11115.14	USD	-659.16	
15SEP17	B	1	PD15DEC17	11210.00	11115.14	USD	-569.16	
15SEP17	S	2	PD15DEC17	11235.00	11115.14	USD	1,438.32	
15SEP17	S	1	PD15DEC17	11230.00	11115.14	USD	689.16	
15SEP17	S	1	PD15DEC17	11200.00	11115.14	USD	509.16	
15SEP17	S	1	PD15DEC17	11170.00	11115.14	USD	329.16	
15SEP17	B	1	PD15DEC17	11145.00	11115.14	USD	-179.16	
15SEP17	B	2	PD15DEC17	11155.00	11115.14	USD	-478.32	
15SEP17	B	8	PD15DEC17	11170.00	11115.14	USD	-2,633.28	
15SEP17	S	6	PD15DEC17	11165.00	11115.14	USD	1,794.96	

OPEN POSITION STATEMENT



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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	3	PD15DEC17	11190.00	11115.14	USD	1,347.48	
15SEP17	B	1	PD15DEC17	11075.00	11115.14	USD	240.84	
15SEP17	S	1	PD15DEC17	11085.00	11115.14	USD	-180.84	
15SEP17	S	2	PD15DEC17	11105.00	11115.14	USD	-121.68	
15SEP17	S	6	PD15DEC17	11120.00	11115.14	USD	174.96	
15SEP17	S	1	PD15DEC17	11130.00	11115.14	USD	89.16	
15SEP17	B	1	PD15DEC17	11090.00	11115.14	USD	150.84	
15SEP17	B	5	PD15DEC17	11070.00	11115.14	USD	1,354.20	
15SEP17	S	7	PD15DEC17	11115.00	11115.14	USD	-5.88	
15SEP17	B	2	PD15DEC17	11130.00	11115.14	USD	-178.32	
15SEP17	B	3	PD15DEC17	11140.00	11115.14	USD	-447.48	
15SEP17	B	3	PD15DEC17	11160.00	11115.14	USD	-807.48	
15SEP17	B	6	PD15DEC17	11135.00	11115.14	USD	-714.96	
15SEP17	S	1	PD15DEC17	11015.00	11115.14	USD	-600.84	
15SEP17	S	1	PD15DEC17	11005.00	11115.14	USD	-660.84	
15SEP17	B	2	PD15DEC17	10980.00	11115.14	USD	1,621.68	
15SEP17	S	2	PD15DEC17	10970.00	11115.14	USD	-1,741.68	
15SEP17	S	1	PD15DEC17	10945.00	11115.14	USD	-1,020.84	
15SEP17	S	1	PD15DEC17	10930.00	11115.14	USD	-1,110.84	
15SEP17	S	9	PD15DEC17	10935.00	11115.14	USD	-9,727.56	
15SEP17	S	5	PD15DEC17	10940.00	11115.14	USD	-5,254.20	
15SEP17	S	2	PD15DEC17	10890.00	11115.14	USD	-2,701.68	
15SEP17	S	2	PD15DEC17	10885.00	11115.14	USD	-2,761.68	
15SEP17	S	6	PD15DEC17	10880.00	11115.14	USD	-8,465.04	
15SEP17	S	3	PD15DEC17	10850.00	11115.14	USD	-4,772.52	
15SEP17	B	1	PD15DEC17	10970.00	11115.14	USD	870.84	
15SEP17	S	2	PD15DEC17	10995.00	11115.14	USD	-1,441.68	
15SEP17	S	2	PD15DEC17	10985.00	11115.14	USD	-1,561.68	
15SEP17	S	1	PD15DEC17	11010.00	11115.14	USD	-630.84	
15SEP17	S	1	PD15DEC17	11025.00	11115.14	USD	-540.84	
15SEP17	S	1	PD15DEC17	11030.00	11115.14	USD	-510.84	
15SEP17	S	6	PD15DEC17	11035.00	11115.14	USD	-2,885.04	
15SEP17	S	2	PD15DEC17	11040.00	11115.14	USD	-901.68	
15SEP17	S	9	PD15DEC17	11050.00	11115.14	USD	-3,517.56	
15SEP17	S	3	PD15DEC17	11045.00	11115.14	USD	-1,262.52	
15SEP17	B	1	PD15DEC17	11050.00	11115.14	USD	390.84	
15SEP17	B	2	PD15DEC17	11085.00	11115.14	USD	361.68	
15SEP17	B	2	PD15DEC17	11080.00	11115.14	USD	421.68	
15SEP17	S	1	PD15DEC17	11080.00	11115.14	USD	-210.84	
15SEP17	S	7	PD15DEC17	11090.00	11115.14	USD	-1,055.88	
15SEP17	S	4	PD15DEC17	11095.00	11115.14	USD	-483.36	
15SEP17	B	8	PD15DEC17	11125.00	11115.14	USD	-473.28	
15SEP17	B	6	PD15DEC17	11120.00	11115.14	USD	-174.96	
15SEP17	S	2	PD15DEC17	11150.00	11115.14	USD	418.32	
15SEP17	B	2	PD15DEC17	11110.00	11115.14	USD	61.68	
15SEP17	B	4	PD15DEC17	11100.00	11115.14	USD	363.36	
15SEP17	B	10	PD15DEC17	11105.00	11115.14	USD	608.40	
15SEP17	B	1	PD15DEC17	11110.00	11115.14	USD	30.84	
15SEP17	S	2	PD15DEC17	10995.00	11115.14	USD	-1,441.68	
15SEP17	S	6	PD15DEC17	11000.00	11115.14	USD	-4,145.04	
19SEP17	B	8	PD15DEC17	11137.94	11115.14	USD	-1,094.40	
20SEP17	B	2	PD15DEC17	11376.14	11115.14	USD	-3,132.00	
20SEP17	B	14	PD15DEC17	11377.14	11115.14	USD	-22,008.00	
21SEP17	B	8	PD15DEC17	11001.36	11115.14	USD	5,461.44	
21SEP17	B	2	PD15DEC17	11000.36	11115.14	USD	1,377.36	
25SEP17	B	2	PD15DEC17	10567.29	11115.14	USD	6,574.20	
	NET	-2 AVG BGHT	11137.54 SOLD 11037.08				-67,843.32	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	2	PD18DEC17	11155.00	11117.46	USD	-450.48	
18SEP17	S	1	PD18DEC17	11140.00	11117.46	USD	135.24	
18SEP17	B	2	PD18DEC17	11220.00	11117.46	USD	-1,230.48	
18SEP17	B	1	PD18DEC17	11225.00	11117.46	USD	-645.24	
18SEP17	B	5	PD18DEC17	11115.00	11117.46	USD	73.80	
18SEP17	B	5	PD18DEC17	11195.00	11117.46	USD	-2,326.20	
18SEP17	B	5	PD18DEC17	11110.00	11117.46	USD	223.80	
18SEP17	B	1	PD18DEC17	11100.00	11117.46	USD	104.76	
18SEP17	S	1	PD18DEC17	11185.00	11117.46	USD	405.24	
18SEP17	B	1	PD18DEC17	11185.00	11117.46	USD	-405.24	
18SEP17	S	5	PD18DEC17	11215.00	11117.46	USD	2,926.20	
18SEP17	S	1	PD18DEC17	11195.00	11117.46	USD	465.24	
18SEP17	B	1	PD18DEC17	11190.00	11117.46	USD	-435.24	
18SEP17	B	1	PD18DEC17	11185.00	11117.46	USD	-405.24	
18SEP17	S	2	PD18DEC17	11200.00	11117.46	USD	990.48	
18SEP17	S	3	PD18DEC17	11230.00	11117.46	USD	2,025.72	
18SEP17	S	1	PD18DEC17	11255.00	11117.46	USD	825.24	
18SEP17	S	1	PD18DEC17	11250.00	11117.46	USD	795.24	
18SEP17	B	1	PD18DEC17	11245.00	11117.46	USD	-765.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	B	1	PD18DEC17	11175.00	11117.46	USD	-345.24	
18SEP17	B	1	PD18DEC17	11150.00	11117.46	USD	-195.24	
18SEP17	B	2	PD18DEC17	11205.00	11117.46	USD	-1,050.48	
18SEP17	B	2	PD18DEC17	11190.00	11117.46	USD	-870.48	
18SEP17	S	1	PD18DEC17	11210.00	11117.46	USD	555.24	
18SEP17	S	2	PD18DEC17	11220.00	11117.46	USD	1,230.48	
18SEP17	S	3	PD18DEC17	11225.00	11117.46	USD	1,935.72	
18SEP17	S	1	PD18DEC17	11205.00	11117.46	USD	525.24	
18SEP17	S	2	PD18DEC17	11190.00	11117.46	USD	870.48	
18SEP17	S	3	PD18DEC17	11185.00	11117.46	USD	1,215.72	
18SEP17	S	7	PD18DEC17	11175.00	11117.46	USD	2,416.68	
18SEP17	S	11	PD18DEC17	11180.00	11117.46	USD	4,127.64	
18SEP17	S	2	PD18DEC17	11160.00	11117.46	USD	510.48	
20SEP17	B	9	PD18DEC17	11379.46	11117.46	USD	-14,148.00	
20SEP17	B	2	PD18DEC17	11378.46	11117.46	USD	-3,132.00	
21SEP17	B	5	PD18DEC17	11002.84	11117.46	USD	3,438.60	
21SEP17	S	2	PD18DEC17	11001.84	11117.46	USD	-1,387.44	
25SEP17	B	1	PD18DEC17	10570.11	11117.46	USD	3,284.10	
	NET	0AVG BGHT 11184.11	SOLD 11187.42				973.86	0.00
19SEP17	B	1	PD19DEC17	11225.00	11118.23	USD	-640.62	
19SEP17	B	1	PD19DEC17	11215.00	11118.23	USD	-580.62	
19SEP17	B	18	PD19DEC17	11140.00	11118.23	USD	-2,351.16	
19SEP17	B	6	PD19DEC17	11135.00	11118.23	USD	-603.72	
19SEP17	B	3	PD19DEC17	11110.00	11118.23	USD	148.14	
19SEP17	B	3	PD19DEC17	11115.00	11118.23	USD	58.14	
19SEP17	B	3	PD19DEC17	11080.00	11118.23	USD	688.14	
19SEP17	B	5	PD19DEC17	11100.00	11118.23	USD	546.90	
19SEP17	B	10	PD19DEC17	11095.00	11118.23	USD	1,393.80	
19SEP17	B	1	PD19DEC17	11090.00	11118.23	USD	169.38	
19SEP17	B	2	PD19DEC17	11060.00	11118.23	USD	698.76	
19SEP17	B	3	PD19DEC17	11050.00	11118.23	USD	1,228.14	
19SEP17	B	3	PD19DEC17	11040.00	11118.23	USD	1,408.14	
19SEP17	B	7	PD19DEC17	11035.00	11118.23	USD	3,495.66	
19SEP17	B	2	PD19DEC17	11030.00	11118.23	USD	1,058.76	
19SEP17	B	2	PD19DEC17	11045.00	11118.23	USD	878.76	
19SEP17	B	1	PD19DEC17	10995.00	11118.23	USD	739.38	
19SEP17	B	1	PD19DEC17	10965.00	11118.23	USD	919.38	

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19SEP17	S	2	PD19DEC17	11020.00	11118.23	USD	-1,178.76	
19SEP17	S	1	PD19DEC17	11040.00	11118.23	USD	-469.38	
19SEP17	S	2	PD19DEC17	11015.00	11118.23	USD	-1,238.76	
19SEP17	S	3	PD19DEC17	11000.00	11118.23	USD	-2,128.14	
19SEP17	S	4	PD19DEC17	11010.00	11118.23	USD	-2,597.52	
19SEP17	S	1	PD19DEC17	11015.00	11118.23	USD	-619.38	
19SEP17	S	1	PD19DEC17	11005.00	11118.23	USD	-679.38	
19SEP17	B	2	PD19DEC17	11145.00	11118.23	USD	-321.24	
19SEP17	B	1	PD19DEC17	11115.00	11118.23	USD	19.38	
19SEP17	B	12	PD19DEC17	11110.00	11118.23	USD	592.56	
19SEP17	B	1	PD19DEC17	11100.00	11118.23	USD	109.38	
19SEP17	S	1	PD19DEC17	10985.00	11118.23	USD	-799.38	
19SEP17	B	1	PD19DEC17	10970.00	11118.23	USD	889.38	
19SEP17	S	1	PD19DEC17	10985.00	11118.23	USD	-799.38	
19SEP17	S	4	PD19DEC17	10995.00	11118.23	USD	-2,957.52	
19SEP17	B	2	PD19DEC17	10900.00	11118.23	USD	2,618.76	
19SEP17	B	1	PD19DEC17	10935.00	11118.23	USD	1,099.38	
19SEP17	B	4	PD19DEC17	10930.00	11118.23	USD	4,517.52	
19SEP17	B	4	PD19DEC17	10990.00	11118.23	USD	3,077.52	
19SEP17	B	4	PD19DEC17	10985.00	11118.23	USD	3,197.52	
19SEP17	B	4	PD19DEC17	10980.00	11118.23	USD	3,317.52	
19SEP17	B	2	PD19DEC17	11020.00	11118.23	USD	1,178.76	
19SEP17	B	8	PD19DEC17	11005.00	11118.23	USD	5,435.04	
19SEP17	S	1	PD19DEC17	11025.00	11118.23	USD	-559.38	
19SEP17	S	1	PD19DEC17	11055.00	11118.23	USD	-379.38	
19SEP17	S	1	PD19DEC17	11065.00	11118.23	USD	-319.38	
19SEP17	S	1	PD19DEC17	11070.00	11118.23	USD	-289.38	
19SEP17	B	1	PD19DEC17	11025.00	11118.23	USD	559.38	
19SEP17	S	6	PD19DEC17	11005.00	11118.23	USD	-4,076.28	
19SEP17	B	2	PD19DEC17	11105.00	11118.23	USD	158.76	
19SEP17	S	2	PD19DEC17	11140.00	11118.23	USD	261.24	
19SEP17	S	1	PD19DEC17	11185.00	11118.23	USD	400.62	
19SEP17	S	1	PD19DEC17	11190.00	11118.23	USD	430.62	
19SEP17	S	1	PD19DEC17	11215.00	11118.23	USD	580.62	
19SEP17	S	3	PD19DEC17	11205.00	11118.23	USD	1,561.86	
19SEP17	S	11	PD19DEC17	11210.00	11118.23	USD	6,056.82	
19SEP17	S	1	PD19DEC17	11040.00	11118.23	USD	-469.38	
19SEP17	B	2	PD19DEC17	11150.00	11118.23	USD	-381.24	
19SEP17	B	5	PD19DEC17	11145.00	11118.23	USD	-803.10	
19SEP17	B	1	PD19DEC17	11160.00	11118.23	USD	-250.62	
19SEP17	S	1	PD19DEC17	11150.00	11118.23	USD	190.62	
19SEP17	S	3	PD19DEC17	11210.00	11118.23	USD	1,651.86	
19SEP17	B	1	PD19DEC17	11150.00	11118.23	USD	-190.62	
19SEP17	B	1	PD19DEC17	11170.00	11118.23	USD	-310.62	
19SEP17	B	4	PD19DEC17	11155.00	11118.23	USD	-882.48	
20SEP17	S	12	PD19DEC17	11378.23	11118.23	USD	18,720.00	
21SEP17	S	5	PD19DEC17	11002.67	11118.23	USD	-3,466.80	
21SEP17	S	12	PD19DEC17	11003.67	11118.23	USD	-8,248.32	
25SEP17	S	3	PD19DEC17	10570.06	11118.23	USD	-9,867.06	
03OCT17	S	10	PD19DEC17	10606.11	11118.23	USD	-30,727.20	
	NET	+39 AVG BGHT 11077.63	SOLD 11045.29				-9,129.60	0.00
20SEP17	S	1	PD20DEC17	11235.00	11119.00	USD	696.00	
20SEP17	S	1	PD20DEC17	11215.00	11119.00	USD	576.00	
20SEP17	S	1	PD20DEC17	11205.00	11119.00	USD	516.00	
20SEP17	B	3	PD20DEC17	11280.00	11119.00	USD	-2,898.00	
20SEP17	B	2	PD20DEC17	11295.00	11119.00	USD	-2,112.00	
20SEP17	B	4	PD20DEC17	11290.00	11119.00	USD	-4,104.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	1	PD20DEC17	11285.00	11119.00	USD	-996.00	
20SEP17	B	1	PD20DEC17	11380.00	11119.00	USD	-1,566.00	
20SEP17	B	2	PD20DEC17	11385.00	11119.00	USD	-3,192.00	
20SEP17	B	1	PD20DEC17	11390.00	11119.00	USD	-1,626.00	
20SEP17	S	1	PD20DEC17	11405.00	11119.00	USD	1,716.00	
20SEP17	S	1	PD20DEC17	11425.00	11119.00	USD	1,836.00	
20SEP17	S	1	PD20DEC17	11450.00	11119.00	USD	1,986.00	
20SEP17	S	1	PD20DEC17	11480.00	11119.00	USD	2,166.00	
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
20SEP17	B	1	PD20DEC17	11380.00	11119.00	USD	-1,566.00	
20SEP17	S	1	PD20DEC17	11445.00	11119.00	USD	1,956.00	
20SEP17	B	1	PD20DEC17	11435.00	11119.00	USD	-1,896.00	
20SEP17	S	1	PD20DEC17	11435.00	11119.00	USD	1,896.00	
20SEP17	S	1	PD20DEC17	11430.00	11119.00	USD	1,866.00	
20SEP17	S	2	PD20DEC17	11410.00	11119.00	USD	3,492.00	
20SEP17	S	1	PD20DEC17	11400.00	11119.00	USD	1,686.00	
20SEP17	S	1	PD20DEC17	11390.00	11119.00	USD	1,626.00	
20SEP17	S	2	PD20DEC17	11385.00	11119.00	USD	3,192.00	
20SEP17	B	1	PD20DEC17	11375.00	11119.00	USD	-1,536.00	
20SEP17	B	2	PD20DEC17	11365.00	11119.00	USD	-2,952.00	
20SEP17	B	4	PD20DEC17	11360.00	11119.00	USD	-5,784.00	
20SEP17	B	5	PD20DEC17	11355.00	11119.00	USD	-7,080.00	
20SEP17	S	3	PD20DEC17	11395.00	11119.00	USD	4,968.00	
20SEP17	S	8	PD20DEC17	11375.00	11119.00	USD	12,288.00	
20SEP17	B	2	PD20DEC17	11265.00	11119.00	USD	-1,752.00	
20SEP17	S	1	PD20DEC17	11310.00	11119.00	USD	1,146.00	
20SEP17	S	1	PD20DEC17	11385.00	11119.00	USD	1,596.00	
20SEP17	S	1	PD20DEC17	11365.00	11119.00	USD	1,476.00	
20SEP17	S	2	PD20DEC17	11325.00	11119.00	USD	2,472.00	
20SEP17	S	14	PD20DEC17	11330.00	11119.00	USD	17,724.00	
20SEP17	S	3	PD20DEC17	11335.00	11119.00	USD	3,888.00	
20SEP17	S	3	PD20DEC17	11340.00	11119.00	USD	3,978.00	
20SEP17	S	4	PD20DEC17	11345.00	11119.00	USD	5,424.00	
20SEP17	S	5	PD20DEC17	11350.00	11119.00	USD	6,930.00	
20SEP17	S	13	PD20DEC17	11355.00	11119.00	USD	18,408.00	
20SEP17	S	13	PD20DEC17	11360.00	11119.00	USD	18,798.00	
20SEP17	S	8	PD20DEC17	11380.00	11119.00	USD	12,528.00	
20SEP17	S	14	PD20DEC17	11365.00	11119.00	USD	20,664.00	
20SEP17	S	7	PD20DEC17	11370.00	11119.00	USD	10,542.00	
20SEP17	S	2	PD20DEC17	11325.00	11119.00	USD	2,472.00	
20SEP17	S	1	PD20DEC17	11340.00	11119.00	USD	1,326.00	
21SEP17	B	12	PD20DEC17	11005.50	11119.00	USD	8,172.00	
25SEP17	B	3	PD20DEC17	10572.00	11119.00	USD	9,846.00	
25SEP17	B	1	PD20DEC17	10571.00	11119.00	USD	3,288.00	
26SEP17	B	5	PD20DEC17	10471.00	11119.00	USD	19,440.00	
03OCT17	B	10	PD20DEC17	10608.00	11119.00	USD	30,660.00	
03OCT17	B	6	PD20DEC17	10607.00	11119.00	USD	18,432.00	
	NET	-53 AVG BGHT 10992.69	SOLD 11359.83				224,178.00	0.00
21SEP17	S	1	PD21DEC17	11285.00	11119.73	USD	991.62	
21SEP17	S	1	PD21DEC17	11280.00	11119.73	USD	961.62	
21SEP17	S	1	PD21DEC17	11260.00	11119.73	USD	841.62	
21SEP17	S	2	PD21DEC17	11200.00	11119.73	USD	963.24	
21SEP17	B	6	PD21DEC17	11200.00	11119.73	USD	-2,889.72	
21SEP17	B	10	PD21DEC17	11210.00	11119.73	USD	-5,416.20	
21SEP17	B	1	PD21DEC17	11215.00	11119.73	USD	-571.62	
21SEP17	S	1	PD21DEC17	11155.00	11119.73	USD	211.62	
21SEP17	S	4	PD21DEC17	11165.00	11119.73	USD	1,086.48	

OPEN POSITION STATEMENT



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SAP0094X

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	4	PD21DEC17	11110.00	11119.73	USD	-233.52	
21SEP17	B	1	PD21DEC17	11160.00	11119.73	USD	-241.62	
21SEP17	B	1	PD21DEC17	11150.00	11119.73	USD	-181.62	
21SEP17	B	9	PD21DEC17	11085.00	11119.73	USD	1,875.42	
21SEP17	B	8	PD21DEC17	11080.00	11119.73	USD	1,907.04	
21SEP17	B	1	PD21DEC17	11055.00	11119.73	USD	388.38	
21SEP17	B	2	PD21DEC17	11030.00	11119.73	USD	1,076.76	
21SEP17	B	5	PD21DEC17	11040.00	11119.73	USD	2,391.90	
21SEP17	S	1	PD21DEC17	11090.00	11119.73	USD	-178.38	
21SEP17	B	1	PD21DEC17	11160.00	11119.73	USD	-241.62	
21SEP17	B	2	PD21DEC17	10935.00	11119.73	USD	2,216.76	
21SEP17	B	4	PD21DEC17	10940.00	11119.73	USD	4,313.52	
21SEP17	B	1	PD21DEC17	10930.00	11119.73	USD	1,138.38	
21SEP17	B	4	PD21DEC17	10950.00	11119.73	USD	4,073.52	
21SEP17	B	3	PD21DEC17	10960.00	11119.73	USD	2,875.14	
21SEP17	B	4	PD21DEC17	10965.00	11119.73	USD	3,713.52	
21SEP17	B	1	PD21DEC17	10970.00	11119.73	USD	898.38	
21SEP17	S	1	PD21DEC17	10970.00	11119.73	USD	-898.38	
21SEP17	B	5	PD21DEC17	10975.00	11119.73	USD	4,341.90	
21SEP17	S	3	PD21DEC17	10995.00	11119.73	USD	-2,245.14	
21SEP17	S	4	PD21DEC17	11005.00	11119.73	USD	-2,753.52	
21SEP17	S	8	PD21DEC17	11000.00	11119.73	USD	-5,747.04	
21SEP17	B	10	PD21DEC17	11005.00	11119.73	USD	6,883.80	
21SEP17	S	3	PD21DEC17	10990.00	11119.73	USD	-2,335.14	
21SEP17	B	2	PD21DEC17	10990.00	11119.73	USD	1,556.76	
21SEP17	B	7	PD21DEC17	10995.00	11119.73	USD	5,238.66	
21SEP17	B	8	PD21DEC17	11000.00	11119.73	USD	5,747.04	
21SEP17	B	3	PD21DEC17	10945.00	11119.73	USD	3,145.14	
21SEP17	B	7	PD21DEC17	10980.00	11119.73	USD	5,868.66	
21SEP17	B	8	PD21DEC17	11070.00	11119.73	USD	2,387.04	
21SEP17	B	1	PD21DEC17	11120.00	11119.73	USD	-1.62	
21SEP17	S	1	PD21DEC17	11095.00	11119.73	USD	-148.38	
21SEP17	B	4	PD21DEC17	11095.00	11119.73	USD	593.52	
21SEP17	B	1	PD21DEC17	10945.00	11119.73	USD	1,048.38	
21SEP17	B	2	PD21DEC17	10960.00	11119.73	USD	1,916.76	
21SEP17	B	1	PD21DEC17	10980.00	11119.73	USD	838.38	
21SEP17	S	2	PD21DEC17	11005.00	11119.73	USD	-1,376.76	
21SEP17	S	5	PD21DEC17	11010.00	11119.73	USD	-3,291.90	
21SEP17	S	1	PD21DEC17	11000.00	11119.73	USD	-718.38	
21SEP17	B	2	PD21DEC17	11000.00	11119.73	USD	1,436.76	
21SEP17	B	3	PD21DEC17	11105.00	11119.73	USD	265.14	
21SEP17	B	5	PD21DEC17	11090.00	11119.73	USD	891.90	
21SEP17	B	6	PD21DEC17	11060.00	11119.73	USD	2,150.28	
21SEP17	B	2	PD21DEC17	11075.00	11119.73	USD	536.76	
21SEP17	B	2	PD21DEC17	11010.00	11119.73	USD	1,316.76	
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	B	1	PD21DEC17	10910.00	11119.73	USD	1,258.38	
21SEP17	S	2	PD21DEC17	10880.00	11119.73	USD	-2,876.76	
21SEP17	S	2	PD21DEC17	10890.00	11119.73	USD	-2,756.76	
21SEP17	B	1	PD21DEC17	10835.00	11119.73	USD	1,708.38	
21SEP17	S	2	PD21DEC17	10830.00	11119.73	USD	-3,476.76	
21SEP17	S	1	PD21DEC17	10810.00	11119.73	USD	-1,858.38	
21SEP17	S	1	PD21DEC17	10745.00	11119.73	USD	-2,248.38	
21SEP17	B	1	PD21DEC17	10735.00	11119.73	USD	2,308.38	
21SEP17	S	2	PD21DEC17	10720.00	11119.73	USD	-4,796.76	
21SEP17	B	1	PD21DEC17	10725.00	11119.73	USD	2,368.38	
21SEP17	S	2	PD21DEC17	10750.00	11119.73	USD	-4,436.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10685.00	11119.73	USD	2,608.38	
21SEP17	B	1	PD21DEC17	10715.00	11119.73	USD	2,428.38	
21SEP17	B	1	PD21DEC17	10635.00	11119.73	USD	2,908.38	
21SEP17	S	2	PD21DEC17	10650.00	11119.73	USD	-5,636.76	
21SEP17	S	1	PD21DEC17	10630.00	11119.73	USD	-2,938.38	
21SEP17	S	1	PD21DEC17	10695.00	11119.73	USD	-2,548.38	
21SEP17	B	2	PD21DEC17	10650.00	11119.73	USD	5,636.76	
21SEP17	S	1	PD21DEC17	10740.00	11119.73	USD	-2,278.38	
21SEP17	B	6	PD21DEC17	11110.00	11119.73	USD	350.28	
21SEP17	S	6	PD21DEC17	10830.00	11119.73	USD	-10,430.28	
21SEP17	S	2	PD21DEC17	10725.00	11119.73	USD	-4,736.76	
21SEP17	S	2	PD21DEC17	10815.00	11119.73	USD	-3,656.76	
21SEP17	S	2	PD21DEC17	10750.00	11119.73	USD	-4,436.76	
21SEP17	S	1	PD21DEC17	10630.00	11119.73	USD	-2,938.38	
25SEP17	S	1	PD21DEC17	10570.75	11119.73	USD	-3,293.88	
26SEP17	S	5	PD21DEC17	10470.75	11119.73	USD	-19,469.40	
26SEP17	S	15	PD21DEC17	10471.75	11119.73	USD	-58,318.20	
28SEP17	S	2	PD21DEC17	10431.00	11119.73	USD	-8,264.76	
03OCT17	S	6	PD21DEC17	10607.00	11119.73	USD	-18,458.28	
03OCT17	S	5	PD21DEC17	10608.00	11119.73	USD	-15,351.90	
10OCT17	S	4	PD21DEC17	11041.67	11119.73	USD	-1,873.44	
	NET	+48 AVG BGHT 11029.72	SOLD 10816.50				-116,079.18	0.00
22SEP17	S	3	PD22DEC17	10745.00	11120.45	USD	-6,758.10	
22SEP17	B	2	PD22DEC17	10745.00	11120.45	USD	4,505.40	
22SEP17	B	1	PD22DEC17	10730.00	11120.45	USD	2,342.70	
22SEP17	B	1	PD22DEC17	10725.00	11120.45	USD	2,372.70	
22SEP17	B	3	PD22DEC17	10735.00	11120.45	USD	6,938.10	
22SEP17	S	2	PD22DEC17	10670.00	11120.45	USD	-5,405.40	
22SEP17	B	1	PD22DEC17	10765.00	11120.45	USD	2,132.70	
22SEP17	B	1	PD22DEC17	10760.00	11120.45	USD	2,162.70	
22SEP17	B	5	PD22DEC17	10790.00	11120.45	USD	9,913.50	
22SEP17	B	1	PD22DEC17	10775.00	11120.45	USD	2,072.70	
22SEP17	S	1	PD22DEC17	10785.00	11120.45	USD	-2,012.70	
22SEP17	B	4	PD22DEC17	10770.00	11120.45	USD	8,410.80	
22SEP17	S	4	PD22DEC17	10695.00	11120.45	USD	-10,210.80	
22SEP17	S	2	PD22DEC17	10675.00	11120.45	USD	-5,345.40	
22SEP17	B	2	PD22DEC17	10660.00	11120.45	USD	5,525.40	
22SEP17	B	2	PD22DEC17	10655.00	11120.45	USD	5,585.40	
22SEP17	B	1	PD22DEC17	10650.00	11120.45	USD	2,822.70	
22SEP17	B	2	PD22DEC17	10610.00	11120.45	USD	6,125.40	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	B	2	PD22DEC17	10590.00	11120.45	USD	6,365.40	
22SEP17	B	1	PD22DEC17	10595.00	11120.45	USD	3,152.70	
22SEP17	S	1	PD22DEC17	10635.00	11120.45	USD	-2,912.70	
22SEP17	B	3	PD22DEC17	10630.00	11120.45	USD	8,828.10	
22SEP17	B	3	PD22DEC17	10635.00	11120.45	USD	8,738.10	
22SEP17	S	7	PD22DEC17	10585.00	11120.45	USD	-22,488.90	
22SEP17	S	3	PD22DEC17	10550.00	11120.45	USD	-10,268.10	
22SEP17	S	17	PD22DEC17	10535.00	11120.45	USD	-59,715.90	
22SEP17	B	1	PD22DEC17	10510.00	11120.45	USD	3,662.70	
22SEP17	S	1	PD22DEC17	10765.00	11120.45	USD	-2,132.70	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	S	1	PD22DEC17	10590.00	11120.45	USD	-3,182.70	
22SEP17	S	1	PD22DEC17	10565.00	11120.45	USD	-3,332.70	
22SEP17	S	1	PD22DEC17	10570.00	11120.45	USD	-3,302.70	
22SEP17	B	3	PD22DEC17	10580.00	11120.45	USD	9,728.10	
22SEP17	B	1	PD22DEC17	10460.00	11120.45	USD	3,962.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	10485.00	11120.45	USD	7,625.40	
22SEP17	B	6	PD22DEC17	10475.00	11120.45	USD	23,236.20	
22SEP17	B	1	PD22DEC17	10480.00	11120.45	USD	3,842.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	B	1	PD22DEC17	10440.00	11120.45	USD	4,082.70	
22SEP17	B	3	PD22DEC17	10435.00	11120.45	USD	12,338.10	
22SEP17	B	2	PD22DEC17	10445.00	11120.45	USD	8,105.40	
22SEP17	B	1	PD22DEC17	10490.00	11120.45	USD	3,782.70	
22SEP17	S	1	PD22DEC17	10510.00	11120.45	USD	-3,662.70	
22SEP17	S	4	PD22DEC17	10540.00	11120.45	USD	-13,930.80	
22SEP17	S	1	PD22DEC17	10525.00	11120.45	USD	-3,572.70	
22SEP17	S	1	PD22DEC17	10530.00	11120.45	USD	-3,542.70	
22SEP17	B	1	PD22DEC17	10555.00	11120.45	USD	3,392.70	
22SEP17	S	1	PD22DEC17	10595.00	11120.45	USD	-3,152.70	
22SEP17	S	1	PD22DEC17	10605.00	11120.45	USD	-3,092.70	
22SEP17	B	1	PD22DEC17	10625.00	11120.45	USD	2,972.70	
22SEP17	S	1	PD22DEC17	10530.00	11120.45	USD	-3,542.70	
26SEP17	S	6	PD22DEC17	10472.50	11120.45	USD	-23,326.20	
28SEP17	S	6	PD22DEC17	10432.00	11120.45	USD	-24,784.20	
03OCT17	S	3	PD22DEC17	10609.00	11120.45	USD	-9,206.10	
	NET	-8 AVG	BGHT 10614.67	SOLD 10567.59			-43,767.60	0.00
25SEP17	B	1	PD27DEC17	10635.00	11124.09	USD	2,934.54	
25SEP17	S	2	PD27DEC17	10515.00	11124.09	USD	-7,309.08	
25SEP17	B	1	PD27DEC17	10625.00	11124.09	USD	2,994.54	
25SEP17	B	2	PD27DEC17	10490.00	11124.09	USD	7,609.08	
25SEP17	S	2	PD27DEC17	10620.00	11124.09	USD	-6,049.08	
25SEP17	S	3	PD27DEC17	10610.00	11124.09	USD	-9,253.62	
25SEP17	B	2	PD27DEC17	10540.00	11124.09	USD	7,009.08	
25SEP17	S	1	PD27DEC17	10615.00	11124.09	USD	-3,054.54	
25SEP17	S	4	PD27DEC17	10525.00	11124.09	USD	-14,378.16	
25SEP17	S	2	PD27DEC17	10510.00	11124.09	USD	-7,369.08	
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	B	1	PD27DEC17	10495.00	11124.09	USD	3,774.54	
25SEP17	B	5	PD27DEC17	10525.00	11124.09	USD	17,972.70	
25SEP17	B	4	PD27DEC17	10500.00	11124.09	USD	14,978.16	
25SEP17	S	2	PD27DEC17	10505.00	11124.09	USD	-7,429.08	
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	S	3	PD27DEC17	10560.00	11124.09	USD	-10,153.62	
25SEP17	S	2	PD27DEC17	10575.00	11124.09	USD	-6,589.08	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
25SEP17	S	1	PD27DEC17	10640.00	11124.09	USD	-2,904.54	
26SEP17	S	1	PD27DEC17	10665.00	11124.09	USD	-2,754.54	
26SEP17	B	1	PD27DEC17	10660.00	11124.09	USD	2,784.54	
26SEP17	S	2	PD27DEC17	10660.00	11124.09	USD	-5,569.08	
26SEP17	S	1	PD27DEC17	10620.00	11124.09	USD	-3,024.54	
26SEP17	S	1	PD27DEC17	10640.00	11124.09	USD	-2,904.54	
26SEP17	B	1	PD27DEC17	10640.00	11124.09	USD	2,904.54	
26SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
26SEP17	B	1	PD27DEC17	10570.00	11124.09	USD	3,324.54	
26SEP17	B	1	PD27DEC17	10660.00	11124.09	USD	2,784.54	
26SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
26SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
26SEP17	B	3	PD27DEC17	10475.00	11124.09	USD	11,683.62	
26SEP17	B	5	PD27DEC17	10465.00	11124.09	USD	19,772.70	
26SEP17	B	1	PD27DEC17	10440.00	11124.09	USD	4,104.54	
26SEP17	B	2	PD27DEC17	10420.00	11124.09	USD	8,449.08	
26SEP17	B	2	PD27DEC17	10410.00	11124.09	USD	8,569.08	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	10415.00	11124.09	USD	4,254.54	
26SEP17	B	2	PD27DEC17	10455.00	11124.09	USD	8,029.08	
26SEP17	B	5	PD27DEC17	10445.00	11124.09	USD	20,372.70	
26SEP17	B	2	PD27DEC17	10550.00	11124.09	USD	6,889.08	
26SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
26SEP17	B	1	PD27DEC17	10505.00	11124.09	USD	3,714.54	
26SEP17	B	4	PD27DEC17	10500.00	11124.09	USD	14,978.16	
26SEP17	S	2	PD27DEC17	10500.00	11124.09	USD	-7,489.08	
26SEP17	S	1	PD27DEC17	10485.00	11124.09	USD	-3,834.54	
26SEP17	S	4	PD27DEC17	10490.00	11124.09	USD	-15,218.16	
26SEP17	S	3	PD27DEC17	10495.00	11124.09	USD	-11,323.62	
26SEP17	B	21	PD27DEC17	10481.00	11124.09	USD	81,029.34	
27SEP17	S	1	PD27DEC17	10590.00	11124.09	USD	-3,204.54	
27SEP17	S	3	PD27DEC17	10600.00	11124.09	USD	-9,433.62	
27SEP17	S	3	PD27DEC17	10595.00	11124.09	USD	-9,523.62	
27SEP17	S	2	PD27DEC17	10545.00	11124.09	USD	-6,949.08	
27SEP17	S	3	PD27DEC17	10570.00	11124.09	USD	-9,973.62	
27SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
27SEP17	S	6	PD27DEC17	10565.00	11124.09	USD	-20,127.24	
27SEP17	S	4	PD27DEC17	10555.00	11124.09	USD	-13,658.16	
27SEP17	S	4	PD27DEC17	10560.00	11124.09	USD	-13,538.16	
27SEP17	B	5	PD27DEC17	10555.00	11124.09	USD	17,072.70	
27SEP17	B	6	PD27DEC17	10550.00	11124.09	USD	20,667.24	
27SEP17	B	2	PD27DEC17	10545.00	11124.09	USD	6,949.08	
27SEP17	S	7	PD27DEC17	10550.00	11124.09	USD	-24,111.78	
27SEP17	B	2	PD27DEC17	10500.00	11124.09	USD	7,489.08	
27SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
27SEP17	B	2	PD27DEC17	10460.00	11124.09	USD	7,969.08	
27SEP17	S	1	PD27DEC17	10415.00	11124.09	USD	-4,254.54	
27SEP17	S	1	PD27DEC17	10390.00	11124.09	USD	-4,404.54	
27SEP17	S	1	PD27DEC17	10365.00	11124.09	USD	-4,554.54	
27SEP17	S	1	PD27DEC17	10355.00	11124.09	USD	-4,614.54	
27SEP17	S	1	PD27DEC17	10345.00	11124.09	USD	-4,674.54	
27SEP17	B	1	PD27DEC17	10335.00	11124.09	USD	4,734.54	
27SEP17	B	2	PD27DEC17	10355.00	11124.09	USD	9,229.08	
27SEP17	B	1	PD27DEC17	10380.00	11124.09	USD	4,464.54	
27SEP17	B	2	PD27DEC17	10385.00	11124.09	USD	8,869.08	
27SEP17	B	5	PD27DEC17	10375.00	11124.09	USD	22,472.70	
27SEP17	S	2	PD27DEC17	10375.00	11124.09	USD	-8,989.08	
27SEP17	S	2	PD27DEC17	10350.00	11124.09	USD	-9,289.08	
27SEP17	S	3	PD27DEC17	10560.00	11124.09	USD	-10,153.62	
27SEP17	S	2	PD27DEC17	10300.00	11124.09	USD	-9,889.08	
27SEP17	B	1	PD27DEC17	10305.00	11124.09	USD	4,914.54	
27SEP17	B	2	PD27DEC17	10295.00	11124.09	USD	9,949.08	
27SEP17	S	4	PD27DEC17	10265.00	11124.09	USD	-20,618.16	
27SEP17	B	1	PD27DEC17	10215.00	11124.09	USD	5,454.54	
27SEP17	B	5	PD27DEC17	10230.00	11124.09	USD	26,822.70	
27SEP17	S	1	PD27DEC17	10245.00	11124.09	USD	-5,274.54	
27SEP17	S	1	PD27DEC17	10250.00	11124.09	USD	-5,244.54	
27SEP17	S	2	PD27DEC17	10275.00	11124.09	USD	-10,189.08	
27SEP17	B	3	PD27DEC17	10360.00	11124.09	USD	13,753.62	
27SEP17	B	3	PD27DEC17	10370.00	11124.09	USD	13,573.62	
27SEP17	B	1	PD27DEC17	10345.00	11124.09	USD	4,674.54	
27SEP17	B	4	PD27DEC17	10365.00	11124.09	USD	18,218.16	
27SEP17	S	1	PD27DEC17	10335.00	11124.09	USD	-4,734.54	
27SEP17	S	1	PD27DEC17	10320.00	11124.09	USD	-4,824.54	
27SEP17	S	2	PD27DEC17	10325.00	11124.09	USD	-9,589.08	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	10280.00	11124.09	USD	-5,064.54	
27SEP17	S	2	PD27DEC17	10285.00	11124.09	USD	-10,069.08	
27SEP17	S	2	PD27DEC17	10290.00	11124.09	USD	-10,009.08	
27SEP17	S	2	PD27DEC17	10295.00	11124.09	USD	-9,949.08	
27SEP17	B	1	PD27DEC17	10315.00	11124.09	USD	4,854.54	
27SEP17	B	1	PD27DEC17	10330.00	11124.09	USD	4,764.54	
27SEP17	B	1	PD27DEC17	10325.00	11124.09	USD	4,794.54	
27SEP17	B	1	PD27DEC17	10285.00	11124.09	USD	5,034.54	
27SEP17	S	1	PD27DEC17	10320.00	11124.09	USD	-4,824.54	
28SEP17	B	8	PD27DEC17	10439.67	11124.09	USD	32,852.16	
03OCT17	B	3	PD27DEC17	10614.58	11124.09	USD	9,171.18	
	NET	+29 AVG BGHT 10456.77	SOLD 10488.84				136,899.06	0.00
28SEP17	B	3	PD28DEC17	10305.00	11124.82	USD	14,756.76	
28SEP17	B	2	PD28DEC17	10310.00	11124.82	USD	9,777.84	
28SEP17	S	1	PD28DEC17	10310.00	11124.82	USD	-4,888.92	
28SEP17	S	1	PD28DEC17	10305.00	11124.82	USD	-4,918.92	
28SEP17	S	1	PD28DEC17	10295.00	11124.82	USD	-4,978.92	
28SEP17	S	1	PD28DEC17	10285.00	11124.82	USD	-5,038.92	
28SEP17	S	1	PD28DEC17	10280.00	11124.82	USD	-5,068.92	
28SEP17	B	1	PD28DEC17	10325.00	11124.82	USD	4,798.92	
28SEP17	B	1	PD28DEC17	10300.00	11124.82	USD	4,948.92	
28SEP17	S	1	PD28DEC17	10320.00	11124.82	USD	-4,828.92	
28SEP17	B	1	PD28DEC17	10330.00	11124.82	USD	4,768.92	
28SEP17	B	2	PD28DEC17	10340.00	11124.82	USD	9,417.84	
28SEP17	B	1	PD28DEC17	10355.00	11124.82	USD	4,618.92	
28SEP17	S	1	PD28DEC17	10290.00	11124.82	USD	-5,008.92	
28SEP17	B	1	PD28DEC17	10285.00	11124.82	USD	5,038.92	
28SEP17	B	4	PD28DEC17	10275.00	11124.82	USD	20,395.68	
28SEP17	S	1	PD28DEC17	10255.00	11124.82	USD	-5,218.92	
28SEP17	S	2	PD28DEC17	10260.00	11124.82	USD	-10,377.84	
28SEP17	S	1	PD28DEC17	10245.00	11124.82	USD	-5,278.92	
28SEP17	S	2	PD28DEC17	10265.00	11124.82	USD	-10,317.84	
28SEP17	S	2	PD28DEC17	10280.00	11124.82	USD	-10,137.84	
28SEP17	B	2	PD28DEC17	10445.00	11124.82	USD	8,157.84	
28SEP17	S	2	PD28DEC17	10460.00	11124.82	USD	-7,977.84	
28SEP17	S	2	PD28DEC17	10495.00	11124.82	USD	-7,557.84	
28SEP17	B	1	PD28DEC17	10470.00	11124.82	USD	3,928.92	
	NET	0AVG BGHT 10330.00	SOLD 10321.32				-990.00	0.00
29SEP17	S	1	PD29DEC17	10495.00	11125.55	USD	-3,783.30	
03OCT17	B	5	PD29DEC17	10616.42	11125.55	USD	15,273.90	
10OCT17	B	4	PD29DEC17	11048.00	11125.55	USD	1,861.20	
	NET	+8AVG BGHT 10808.23	SOLD 10495.00				13,351.80	0.00
09OCT17	B	1	PD09JAN18	10785.00	11133.55	USD	2,091.30	
09OCT17	B	1	PD09JAN18	10710.00	11133.55	USD	2,541.30	
09OCT17	B	3	PD09JAN18	10795.00	11133.55	USD	6,093.90	
09OCT17	B	3	PD09JAN18	10800.00	11133.55	USD	6,003.90	
09OCT17	S	2	PD09JAN18	10775.00	11133.55	USD	-4,302.60	
09OCT17	S	2	PD09JAN18	10765.00	11133.55	USD	-4,422.60	
09OCT17	B	2	PD09JAN18	10770.00	11133.55	USD	4,362.60	
09OCT17	B	2	PD09JAN18	10780.00	11133.55	USD	4,242.60	
09OCT17	B	2	PD09JAN18	10760.00	11133.55	USD	4,482.60	
09OCT17	S	6	PD09JAN18	10770.00	11133.55	USD	-13,087.80	
09OCT17	S	2	PD09JAN18	10795.00	11133.55	USD	-4,062.60	
09OCT17	S	2	PD09JAN18	10865.00	11133.55	USD	-3,222.60	
09OCT17	S	2	PD09JAN18	10905.00	11133.55	USD	-2,742.60	
09OCT17	B	2	PD09JAN18	10885.00	11133.55	USD	2,982.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 10791.88 SOLD 10801.88				960.00	0.00
10OCT17	B 2 PD10JAN18	10950.00	11134.27	USD	2,211.24	
10OCT17	B 2 PD10JAN18	10945.00	11134.27	USD	2,271.24	
10OCT17	B 2 PD10JAN18	10940.00	11134.27	USD	2,331.24	
10OCT17	B 2 PD10JAN18	10970.00	11134.27	USD	1,971.24	
10OCT17	B 2 PD10JAN18	10910.00	11134.27	USD	2,691.24	
10OCT17	S 2 PD10JAN18	10900.00	11134.27	USD	-2,811.24	
10OCT17	S 2 PD10JAN18	11050.00	11134.27	USD	-1,011.24	
	NET +6AVG BGHT 10943.00 SOLD 10975.00				7,653.72	0.00
11OCT17	S 2 PD11JAN18	11005.00	11135.00	USD	-1,560.00	
11OCT17	S 2 PD11JAN18	10965.00	11135.00	USD	-2,040.00	
11OCT17	S 2 PD11JAN18	10895.00	11135.00	USD	-2,880.00	
11OCT17	B 2 PD11JAN18	11095.00	11135.00	USD	480.00	
11OCT17	B 2 PD11JAN18	11065.00	11135.00	USD	840.00	
11OCT17	B 2 PD11JAN18	11145.00	11135.00	USD	-120.00	
11OCT17	S 2 PD11JAN18	11145.00	11135.00	USD	120.00	
11OCT17	S 2 PD11JAN18	11230.00	11135.00	USD	1,140.00	
	NET -4AVG BGHT 11101.67 SOLD 11048.00				-4,020.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
10OCT17	S 1 DEC17	1724.5	1713.3	USD	560.00	
	NET -1AVG SOLD 1724.5				560.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	19920.00	20990.00	USD	5,350.00	
12JUL17	B 1 PD12OCT17	19900.00	20990.00	USD	5,450.00	
12JUL17	S 1 PD12OCT17	19925.00	20990.00	USD	-5,325.00	
12JUL17	B 2 PD12OCT17	19925.00	20990.00	USD	10,650.00	
27JUL17	S 1 PD12OCT17	20698.47	20990.00	USD	-1,457.65	
08AUG17	S 1 PD12OCT17	20230.16	20990.00	USD	-3,799.20	
10AUG17	S 1 PD12OCT17	20343.21	20990.00	USD	-3,233.95	
	NET 0AVG BGHT 19917.50 SOLD 20299.21				7,634.20	0.00
13JUL17	S 1 PD13OCT17	19890.00	20990.00	USD	-5,500.00	
13JUL17	S 2 PD13OCT17	19915.00	20990.00	USD	-10,750.00	
14JUL17	B 1 PD13OCT17	19830.00	20990.00	USD	5,800.00	
14JUL17	S 2 PD13OCT17	19830.00	20990.00	USD	-11,600.00	
14JUL17	S 2 PD13OCT17	19830.00	20990.00	USD	-11,600.00	
14JUL17	B 1 PD13OCT17	19830.00	20990.00	USD	5,800.00	
14JUL17	B 1 PD13OCT17	19815.00	20990.00	USD	5,875.00	
14JUL17	B 1 PD13OCT17	19860.00	20990.00	USD	5,650.00	
14JUL17	S 1 PD13OCT17	19890.00	20990.00	USD	-5,500.00	
14JUL17	B 2 PD13OCT17	19835.00	20990.00	USD	11,550.00	
14JUL17	B 1 PD13OCT17	19880.00	20990.00	USD	5,550.00	
26JUL17	B 1 PD13OCT17	20366.32	20990.00	USD	3,118.40	
	NET 0AVG BGHT 19906.42 SOLD 19866.25				-1,606.60	0.00
17JUL17	B 1 PD17OCT17	19955.00	20990.00	USD	5,175.00	
17JUL17	B 1 PD17OCT17	19960.00	20990.00	USD	5,150.00	
17JUL17	B 1 PD17OCT17	19985.00	20990.00	USD	5,025.00	
26JUL17	S 1 PD17OCT17	20361.11	20990.00	USD	-3,144.45	
27JUL17	S 1 PD17OCT17	20692.37	20990.00	USD	-1,488.15	
10AUG17	S 1 PD17OCT17	20341.05	20990.00	USD	-3,244.75	
	NET 0AVG BGHT 19966.67 SOLD 20464.84				7,472.65	0.00
18JUL17	B 1 PD18OCT17	19950.00	20990.00	USD	5,200.00	
18JUL17	B 1 PD18OCT17	19915.00	20990.00	USD	5,375.00	
18JUL17	B 2 PD18OCT17	19975.00	20990.00	USD	10,150.00	
18JUL17	B 2 PD18OCT17	20000.00	20990.00	USD	9,900.00	

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18JUL17	B	1	PD18OCT17	20045.00	20990.00	USD	4,725.00	
18JUL17	B	1	PD18OCT17	20030.00	20990.00	USD	4,800.00	
18JUL17	S	1	PD18OCT17	20045.00	20990.00	USD	-4,725.00	
18JUL17	B	2	PD18OCT17	20095.00	20990.00	USD	8,950.00	
18JUL17	B	1	PD18OCT17	19990.00	20990.00	USD	5,000.00	
18JUL17	B	1	PD18OCT17	20065.00	20990.00	USD	4,625.00	
18JUL17	B	1	PD18OCT17	20060.00	20990.00	USD	4,650.00	
18JUL17	B	1	PD18OCT17	20040.00	20990.00	USD	4,750.00	
18JUL17	B	2	PD18OCT17	20035.00	20990.00	USD	9,550.00	
18JUL17	S	1	PD18OCT17	20030.00	20990.00	USD	-4,800.00	
18JUL17	B	2	PD18OCT17	20050.00	20990.00	USD	9,400.00	
18JUL17	S	1	PD18OCT17	20000.00	20990.00	USD	-4,950.00	
18JUL17	S	1	PD18OCT17	20000.00	20990.00	USD	-4,950.00	
18JUL17	S	1	PD18OCT17	20005.00	20990.00	USD	-4,925.00	
27JUL17	S	4	PD18OCT17	20690.00	20990.00	USD	-6,000.00	
28JUL17	S	4	PD18OCT17	20625.00	20990.00	USD	-7,300.00	
08AUG17	S	2	PD18OCT17	20228.00	20990.00	USD	-7,620.00	
10AUG17	S	2	PD18OCT17	20340.00	20990.00	USD	-6,500.00	
17AUG17	S	1	PD18OCT17	20240.00	20990.00	USD	-3,750.00	
	NET	0 AVG BGHT 20022.50	SOLD 20373.11				31,555.00	0.00
19JUL17	S	1	PD19OCT17	20065.00	20988.00	USD	-4,615.00	
19JUL17	S	2	PD19OCT17	20050.00	20988.00	USD	-9,380.00	
19JUL17	S	1	PD19OCT17	20120.00	20988.00	USD	-4,340.00	
19JUL17	S	1	PD19OCT17	20030.00	20988.00	USD	-4,790.00	
19JUL17	S	1	PD19OCT17	20100.00	20988.00	USD	-4,440.00	
19JUL17	B	1	PD19OCT17	20065.00	20988.00	USD	4,615.00	
19JUL17	B	1	PD19OCT17	20070.00	20988.00	USD	4,590.00	
19JUL17	S	1	PD19OCT17	20120.00	20988.00	USD	-4,340.00	
19JUL17	B	1	PD19OCT17	20105.00	20988.00	USD	4,415.00	
27JUL17	B	1	PD19OCT17	20685.29	20988.00	USD	1,513.55	
28JUL17	B	1	PD19OCT17	20622.43	20988.00	USD	1,827.85	
08AUG17	B	1	PD19OCT17	20227.80	20988.00	USD	3,801.00	
10AUG17	B	1	PD19OCT17	20340.12	20988.00	USD	3,239.40	
	NET	0 AVG BGHT 20302.23	SOLD 20076.43				-7,903.20	0.00
20JUL17	S	1	PD20OCT17	20125.00	20986.00	USD	-4,305.00	
20JUL17	S	4	PD20OCT17	20095.00	20986.00	USD	-17,820.00	
20JUL17	S	2	PD20OCT17	20100.00	20986.00	USD	-8,860.00	
20JUL17	S	1	PD20OCT17	20115.00	20986.00	USD	-4,355.00	
20JUL17	S	4	PD20OCT17	20110.00	20986.00	USD	-17,520.00	
20JUL17	S	1	PD20OCT17	20105.00	20986.00	USD	-4,405.00	
20JUL17	B	1	PD20OCT17	20090.00	20986.00	USD	4,480.00	
20JUL17	S	1	PD20OCT17	20100.00	20986.00	USD	-4,430.00	
20JUL17	S	2	PD20OCT17	20105.00	20986.00	USD	-8,810.00	
20JUL17	S	1	PD20OCT17	20070.00	20986.00	USD	-4,580.00	
20JUL17	S	1	PD20OCT17	20080.00	20986.00	USD	-4,530.00	
21JUL17	B	1	PD20OCT17	20090.00	20986.00	USD	4,480.00	
21JUL17	B	1	PD20OCT17	20085.00	20986.00	USD	4,505.00	
21JUL17	B	1	PD20OCT17	20010.00	20986.00	USD	4,880.00	
21JUL17	B	1	PD20OCT17	20025.00	20986.00	USD	4,805.00	
21JUL17	B	1	PD20OCT17	20145.00	20986.00	USD	4,205.00	
21JUL17	B	1	PD20OCT17	20150.00	20986.00	USD	4,180.00	
21JUL17	S	1	PD20OCT17	20165.00	20986.00	USD	-4,105.00	
21JUL17	S	1	PD20OCT17	20185.00	20986.00	USD	-4,005.00	
21JUL17	S	1	PD20OCT17	20195.00	20986.00	USD	-3,955.00	
21JUL17	S	1	PD20OCT17	20180.00	20986.00	USD	-4,030.00	
21JUL17	S	1	PD20OCT17	20200.00	20986.00	USD	-3,930.00	
21JUL17	S	1	PD20OCT17	20225.00	20986.00	USD	-3,805.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	20215.00	20986.00	USD	-3,855.00	
21JUL17	S	1	PD200CT17	20185.00	20986.00	USD	-4,005.00	
27JUL17	B	2	PD200CT17	20678.57	20986.00	USD	3,074.30	
27JUL17	B	5	PD200CT17	20679.57	20986.00	USD	7,660.75	
28JUL17	B	3	PD200CT17	20618.86	20986.00	USD	5,507.10	
08AUG17	B	1	PD200CT17	20225.60	20986.00	USD	3,802.00	
08AUG17	B	1	PD200CT17	20226.60	20986.00	USD	3,797.00	
10AUG17	B	2	PD200CT17	20339.24	20986.00	USD	6,467.60	
10AUG17	B	1	PD200CT17	20338.24	20986.00	USD	3,238.80	
10AUG17	S	1	PD200CT17	20338.24	20986.00	USD	-3,238.80	
17AUG17	B	1	PD200CT17	20239.00	20986.00	USD	3,735.00	
17AUG17	B	1	PD200CT17	20238.00	20986.00	USD	3,740.00	
22AUG17	B	3	PD200CT17	20405.32	20986.00	USD	8,710.20	
	NET	0 AVG	BGHT 20384.02				-33,276.05	0.00
24JUL17	S	1	PD240CT17	20245.00	20982.00	USD	-3,685.00	
24JUL17	B	1	PD240CT17	20175.00	20982.00	USD	4,035.00	
24JUL17	B	1	PD240CT17	20150.00	20982.00	USD	4,160.00	
24JUL17	B	1	PD240CT17	20135.00	20982.00	USD	4,235.00	
24JUL17	B	1	PD240CT17	20140.00	20982.00	USD	4,210.00	
24JUL17	B	1	PD240CT17	20145.00	20982.00	USD	4,185.00	
24JUL17	B	3	PD240CT17	20255.00	20982.00	USD	10,905.00	
24JUL17	B	5	PD240CT17	20245.00	20982.00	USD	18,425.00	
24JUL17	B	1	PD240CT17	20225.00	20982.00	USD	3,785.00	
24JUL17	B	2	PD240CT17	20195.00	20982.00	USD	7,870.00	
24JUL17	B	2	PD240CT17	20130.00	20982.00	USD	8,520.00	
24JUL17	B	5	PD240CT17	20075.00	20982.00	USD	22,675.00	
24JUL17	B	1	PD240CT17	20140.00	20982.00	USD	4,210.00	
24JUL17	S	1	PD240CT17	20145.00	20982.00	USD	-4,185.00	
27JUL17	S	1	PD240CT17	20666.14	20982.00	USD	-1,579.30	
27JUL17	S	3	PD240CT17	20667.14	20982.00	USD	-4,722.90	
28JUL17	S	6	PD240CT17	20610.71	20982.00	USD	-11,138.70	
07AUG17	S	4	PD240CT17	20621.43	20982.00	USD	-7,211.40	
08AUG17	S	1	PD240CT17	20222.20	20982.00	USD	-3,799.00	
10AUG17	S	1	PD240CT17	20335.47	20982.00	USD	-3,232.65	
10AUG17	S	2	PD240CT17	20336.47	20982.00	USD	-6,455.30	
17AUG17	S	1	PD240CT17	20235.00	20982.00	USD	-3,735.00	
17AUG17	S	3	PD240CT17	20236.00	20982.00	USD	-11,190.00	
	NET	0 AVG	BGHT 20171.88				36,280.75	0.00
25JUL17	B	1	PD250CT17	20200.00	20980.00	USD	3,900.00	
25JUL17	S	1	PD250CT17	20160.00	20980.00	USD	-4,100.00	
25JUL17	B	1	PD250CT17	20200.00	20980.00	USD	3,900.00	
25JUL17	S	1	PD250CT17	20200.00	20980.00	USD	-3,900.00	
25JUL17	S	1	PD250CT17	20325.00	20980.00	USD	-3,275.00	
25JUL17	S	1	PD250CT17	20330.00	20980.00	USD	-3,250.00	
25JUL17	B	1	PD250CT17	20250.00	20980.00	USD	3,650.00	
25JUL17	S	1	PD250CT17	20345.00	20980.00	USD	-3,175.00	
25JUL17	S	1	PD250CT17	20355.00	20980.00	USD	-3,125.00	
27JUL17	S	1	PD250CT17	20660.43	20980.00	USD	-1,597.85	
27JUL17	B	2	PD250CT17	20662.43	20980.00	USD	3,175.70	
28JUL17	B	2	PD250CT17	20608.14	20980.00	USD	3,718.60	
10AUG17	S	1	PD250CT17	20335.59	20980.00	USD	-3,222.05	
17AUG17	B	1	PD250CT17	20236.00	20980.00	USD	3,720.00	
	NET	0 AVG	BGHT 20428.39				-3,580.60	0.00
26JUL17	S	1	PD260CT17	20290.00	20978.00	USD	-3,440.00	
26JUL17	S	2	PD260CT17	20325.00	20978.00	USD	-6,530.00	
26JUL17	S	2	PD260CT17	20320.00	20978.00	USD	-6,580.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD26OCT17	20330.00	20978.00	USD	-3,240.00	
26JUL17	S	1	PD26OCT17	20360.00	20978.00	USD	-3,090.00	
26JUL17	B	2	PD26OCT17	20370.00	20978.00	USD	6,080.00	
26JUL17	B	2	PD26OCT17	20375.00	20978.00	USD	6,030.00	
27JUL17	B	1	PD26OCT17	20656.71	20978.00	USD	1,606.45	
28JUL17	B	3	PD26OCT17	20604.57	20978.00	USD	5,601.45	
10AUG17	S	1	PD26OCT17	20334.71	20978.00	USD	-3,216.45	
	NET	0 AVG BGHT 20495.05	SOLD 20325.59				-6,778.55	0.00
27JUL17	B	1	PD27OCT17	20370.00	20976.00	USD	3,030.00	
27JUL17	S	1	PD27OCT17	20395.00	20976.00	USD	-2,905.00	
27JUL17	B	1	PD27OCT17	20395.00	20976.00	USD	2,905.00	
27JUL17	B	3	PD27OCT17	20410.00	20976.00	USD	8,490.00	
27JUL17	S	1	PD27OCT17	20480.00	20976.00	USD	-2,480.00	
27JUL17	S	1	PD27OCT17	20490.00	20976.00	USD	-2,430.00	
27JUL17	B	1	PD27OCT17	20560.00	20976.00	USD	2,080.00	
27JUL17	S	1	PD27OCT17	20690.00	20976.00	USD	-1,430.00	
27JUL17	B	1	PD27OCT17	20615.00	20976.00	USD	1,805.00	
27JUL17	B	1	PD27OCT17	20405.00	20976.00	USD	2,855.00	
27JUL17	S	1	PD27OCT17	20485.00	20976.00	USD	-2,455.00	
27JUL17	S	2	PD27OCT17	20560.00	20976.00	USD	-4,160.00	
27JUL17	B	1	PD27OCT17	20480.00	20976.00	USD	2,480.00	
27JUL17	B	1	PD27OCT17	20555.00	20976.00	USD	2,105.00	
27JUL17	S	1	PD27OCT17	20760.00	20976.00	USD	-1,080.00	
27JUL17	S	1	PD27OCT17	20675.00	20976.00	USD	-1,505.00	
27JUL17	B	1	PD27OCT17	20750.00	20976.00	USD	1,130.00	
27JUL17	S	1	PD27OCT17	20740.00	20976.00	USD	-1,180.00	
27JUL17	S	1	PD27OCT17	20745.00	20976.00	USD	-1,155.00	
27JUL17	S	1	PD27OCT17	20765.00	20976.00	USD	-1,055.00	
27JUL17	S	1	PD27OCT17	20735.00	20976.00	USD	-1,205.00	
27JUL17	S	1	PD27OCT17	20700.00	20976.00	USD	-1,380.00	
27JUL17	S	1	PD27OCT17	20665.00	20976.00	USD	-1,555.00	
27JUL17	S	1	PD27OCT17	20680.00	20976.00	USD	-1,480.00	
27JUL17	S	1	PD27OCT17	20655.00	20976.00	USD	-1,605.00	
27JUL17	S	2	PD27OCT17	20650.00	20976.00	USD	-3,260.00	
27JUL17	B	2	PD27OCT17	20580.00	20976.00	USD	3,960.00	
27JUL17	S	1	PD27OCT17	20635.00	20976.00	USD	-1,705.00	
27JUL17	S	1	PD27OCT17	20660.00	20976.00	USD	-1,580.00	
27JUL17	S	1	PD27OCT17	20620.00	20976.00	USD	-1,780.00	
27JUL17	S	2	PD27OCT17	20700.00	20976.00	USD	-2,760.00	
27JUL17	S	1	PD27OCT17	20655.00	20976.00	USD	-1,605.00	
27JUL17	S	1	PD27OCT17	20620.00	20976.00	USD	-1,780.00	
28JUL17	S	1	PD27OCT17	20580.00	20976.00	USD	-1,980.00	
28JUL17	S	1	PD27OCT17	20595.00	20976.00	USD	-1,905.00	
28JUL17	S	1	PD27OCT17	20585.00	20976.00	USD	-1,955.00	
28JUL17	B	1	PD27OCT17	20460.00	20976.00	USD	2,580.00	
28JUL17	S	1	PD27OCT17	20575.00	20976.00	USD	-2,005.00	
28JUL17	B	1	PD27OCT17	20695.00	20976.00	USD	1,405.00	
28JUL17	S	1	PD27OCT17	20730.00	20976.00	USD	-1,230.00	
28JUL17	S	1	PD27OCT17	20615.00	20976.00	USD	-1,805.00	
28JUL17	S	1	PD27OCT17	20565.00	20976.00	USD	-2,055.00	
28JUL17	S	2	PD27OCT17	20740.00	20976.00	USD	-2,360.00	
28JUL17	S	1	PD27OCT17	20745.00	20976.00	USD	-1,155.00	
28JUL17	S	1	PD27OCT17	20755.00	20976.00	USD	-1,105.00	
28JUL17	S	1	PD27OCT17	20750.00	20976.00	USD	-1,130.00	
28JUL17	B	1	PD27OCT17	20760.00	20976.00	USD	1,080.00	
28JUL17	S	1	PD27OCT17	20800.00	20976.00	USD	-880.00	
28JUL17	S	1	PD27OCT17	20850.00	20976.00	USD	-630.00	

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28JUL17	S	1	PD27OCT17	20865.00	20976.00	USD	-555.00	
28JUL17	S	1	PD27OCT17	20900.00	20976.00	USD	-380.00	
28JUL17	S	1	PD27OCT17	20845.00	20976.00	USD	-655.00	
28JUL17	S	1	PD27OCT17	20795.00	20976.00	USD	-905.00	
28JUL17	S	1	PD27OCT17	20825.00	20976.00	USD	-755.00	
28JUL17	B	2	PD27OCT17	20775.00	20976.00	USD	2,010.00	
28JUL17	B	1	PD27OCT17	20755.00	20976.00	USD	1,105.00	
28JUL17	B	1	PD27OCT17	20735.00	20976.00	USD	1,205.00	
28JUL17	B	1	PD27OCT17	20725.00	20976.00	USD	1,255.00	
28JUL17	B	3	PD27OCT17	20685.00	20976.00	USD	4,365.00	
28JUL17	B	2	PD27OCT17	20655.00	20976.00	USD	3,210.00	
28JUL17	B	1	PD27OCT17	20635.00	20976.00	USD	1,705.00	
28JUL17	B	1	PD27OCT17	20630.00	20976.00	USD	1,730.00	
28JUL17	B	8	PD27OCT17	20625.00	20976.00	USD	14,040.00	
28JUL17	S	1	PD27OCT17	20650.00	20976.00	USD	-1,630.00	
28JUL17	S	2	PD27OCT17	20635.00	20976.00	USD	-3,410.00	
28JUL17	S	2	PD27OCT17	20645.00	20976.00	USD	-3,310.00	
28JUL17	S	2	PD27OCT17	20660.00	20976.00	USD	-3,160.00	
28JUL17	S	2	PD27OCT17	20695.00	20976.00	USD	-2,810.00	
28JUL17	S	1	PD27OCT17	20680.00	20976.00	USD	-1,480.00	
28JUL17	B	1	PD27OCT17	20601.00	20976.00	USD	1,875.00	
28JUL17	B	1	PD27OCT17	20650.00	20976.00	USD	1,630.00	
28JUL17	B	1	PD27OCT17	20630.00	20976.00	USD	1,730.00	
28JUL17	S	1	PD27OCT17	20625.00	20976.00	USD	-1,755.00	
28JUL17	B	1	PD27OCT17	20575.00	20976.00	USD	2,005.00	
07AUG17	B	3	PD27OCT17	20616.00	20976.00	USD	5,400.00	
08AUG17	S	1	PD27OCT17	20219.60	20976.00	USD	-3,782.00	
10AUG17	B	5	PD27OCT17	20334.82	20976.00	USD	16,029.50	
10AUG17	B	3	PD27OCT17	20333.82	20976.00	USD	9,632.70	
17AUG17	B	2	PD27OCT17	20234.00	20976.00	USD	7,420.00	
17AUG17	B	2	PD27OCT17	20233.00	20976.00	USD	7,430.00	
22AUG17	B	2	PD27OCT17	20396.89	20976.00	USD	5,791.10	
	NET	0	AVG BGHT 20535.74 SOLD 20666.13				37,161.30	0.00
31JUL17	S	1	PD31OCT17	20720.00	20972.00	USD	-1,260.00	
31JUL17	S	1	PD31OCT17	20825.00	20972.00	USD	-735.00	
31JUL17	B	2	PD31OCT17	20795.00	20972.00	USD	1,770.00	
31JUL17	B	1	PD31OCT17	20775.00	20972.00	USD	985.00	
31JUL17	S	1	PD31OCT17	20740.00	20972.00	USD	-1,160.00	
31JUL17	S	1	PD31OCT17	20765.00	20972.00	USD	-1,035.00	
31JUL17	S	1	PD31OCT17	20805.00	20972.00	USD	-835.00	
31JUL17	S	4	PD31OCT17	20845.00	20972.00	USD	-2,540.00	
31JUL17	S	1	PD31OCT17	20810.00	20972.00	USD	-810.00	
31JUL17	S	1	PD31OCT17	20835.00	20972.00	USD	-685.00	
31JUL17	S	1	PD31OCT17	20840.00	20972.00	USD	-660.00	
31JUL17	S	1	PD31OCT17	20825.00	20972.00	USD	-735.00	
31JUL17	B	1	PD31OCT17	20725.00	20972.00	USD	1,235.00	
31JUL17	B	1	PD31OCT17	20710.00	20972.00	USD	1,310.00	
31JUL17	B	1	PD31OCT17	20680.00	20972.00	USD	1,460.00	
31JUL17	B	1	PD31OCT17	20655.00	20972.00	USD	1,585.00	
31JUL17	B	1	PD31OCT17	20655.00	20972.00	USD	1,585.00	
07AUG17	B	1	PD31OCT17	20611.71	20972.00	USD	1,801.45	
07AUG17	B	1	PD31OCT17	20610.71	20972.00	USD	1,806.45	
08AUG17	B	1	PD31OCT17	20218.20	20972.00	USD	3,769.00	
09AUG17	B	1	PD31OCT17	20215.94	20972.00	USD	3,780.30	
09AUG17	S	1	PD31OCT17	20215.94	20972.00	USD	-3,780.30	
10AUG17	B	1	PD31OCT17	20332.06	20972.00	USD	3,199.70	
12SEP17	B	1	PD31OCT17	20734.25	20972.00	USD	1,188.75	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20608.06	SOLD	20768.64		11,240.35	0.00
01AUG17	S	1	PD01NOV17		20645.00	20970.00	USD	-1,625.00	
01AUG17	S	1	PD01NOV17		20615.00	20970.00	USD	-1,775.00	
01AUG17	B	1	PD01NOV17		20630.00	20970.00	USD	1,700.00	
01AUG17	S	1	PD01NOV17		20620.00	20970.00	USD	-1,750.00	
01AUG17	S	2	PD01NOV17		20610.00	20970.00	USD	-3,600.00	
01AUG17	S	1	PD01NOV17		20555.00	20970.00	USD	-2,075.00	
01AUG17	S	1	PD01NOV17		20590.00	20970.00	USD	-1,900.00	
01AUG17	B	1	PD01NOV17		20550.00	20970.00	USD	2,100.00	
01AUG17	B	1	PD01NOV17		20515.00	20970.00	USD	2,275.00	
01AUG17	S	1	PD01NOV17		20615.00	20970.00	USD	-1,775.00	
01AUG17	S	1	PD01NOV17		20555.00	20970.00	USD	-2,075.00	
01AUG17	S	1	PD01NOV17		20580.00	20970.00	USD	-1,950.00	
01AUG17	B	1	PD01NOV17		20500.00	20970.00	USD	2,350.00	
01AUG17	B	3	PD01NOV17		20465.00	20970.00	USD	7,575.00	
01AUG17	B	1	PD01NOV17		20480.00	20970.00	USD	2,450.00	
01AUG17	B	1	PD01NOV17		20460.00	20970.00	USD	2,550.00	
01AUG17	S	1	PD01NOV17		20435.00	20970.00	USD	-2,675.00	
01AUG17	B	1	PD01NOV17		20385.00	20970.00	USD	2,925.00	
01AUG17	B	3	PD01NOV17		20380.00	20970.00	USD	8,850.00	
01AUG17	B	2	PD01NOV17		20390.00	20970.00	USD	5,800.00	
01AUG17	S	1	PD01NOV17		20445.00	20970.00	USD	-2,625.00	
01AUG17	B	1	PD01NOV17		20450.00	20970.00	USD	2,600.00	
01AUG17	B	1	PD01NOV17		20470.00	20970.00	USD	2,500.00	
01AUG17	B	1	PD01NOV17		20485.00	20970.00	USD	2,425.00	
01AUG17	S	1	PD01NOV17		20485.00	20970.00	USD	-2,425.00	
01AUG17	B	1	PD01NOV17		20490.00	20970.00	USD	2,400.00	
01AUG17	B	2	PD01NOV17		20500.00	20970.00	USD	4,700.00	
01AUG17	S	1	PD01NOV17		20520.00	20970.00	USD	-2,250.00	
01AUG17	S	3	PD01NOV17		20470.00	20970.00	USD	-7,500.00	
01AUG17	S	1	PD01NOV17		20525.00	20970.00	USD	-2,225.00	
01AUG17	S	1	PD01NOV17		20490.00	20970.00	USD	-2,400.00	
07AUG17	S	1	PD01NOV17		20607.57	20970.00	USD	-1,812.15	
09AUG17	B	1	PD01NOV17		20214.38	20970.00	USD	3,778.10	
09AUG17	S	1	PD01NOV17		20214.38	20970.00	USD	-3,778.10	
10AUG17	B	1	PD01NOV17		20331.18	20970.00	USD	3,194.10	
10AUG17	S	1	PD01NOV17		20330.18	20970.00	USD	-3,199.10	
17AUG17	S	2	PD01NOV17		20230.00	20970.00	USD	-7,400.00	
17AUG17	S	2	PD01NOV17		20229.00	20970.00	USD	-7,410.00	
22AUG17	B	3	PD01NOV17		20391.84	20970.00	USD	8,672.40	
	NET	0 AVG	BGHT	20440.43	SOLD	20475.97		4,620.25	0.00
02AUG17	S	1	PD02NOV17		20500.00	20968.00	USD	-2,340.00	
02AUG17	S	1	PD02NOV17		20485.00	20968.00	USD	-2,415.00	
02AUG17	S	1	PD02NOV17		20460.00	20968.00	USD	-2,540.00	
02AUG17	B	1	PD02NOV17		20395.00	20968.00	USD	2,865.00	
02AUG17	S	1	PD02NOV17		20540.00	20968.00	USD	-2,140.00	
02AUG17	S	1	PD02NOV17		20475.00	20968.00	USD	-2,465.00	
02AUG17	B	1	PD02NOV17		20495.00	20968.00	USD	2,365.00	
02AUG17	S	1	PD02NOV17		20515.00	20968.00	USD	-2,265.00	
02AUG17	S	1	PD02NOV17		20495.00	20968.00	USD	-2,365.00	
02AUG17	B	1	PD02NOV17		20425.00	20968.00	USD	2,715.00	
02AUG17	S	1	PD02NOV17		20530.00	20968.00	USD	-2,190.00	
02AUG17	B	1	PD02NOV17		20525.00	20968.00	USD	2,215.00	
02AUG17	B	1	PD02NOV17		20540.00	20968.00	USD	2,140.00	
02AUG17	B	1	PD02NOV17		20570.00	20968.00	USD	1,990.00	
08AUG17	B	1	PD02NOV17		20214.80	20968.00	USD	3,766.00	
09AUG17	B	1	PD02NOV17		20213.81	20968.00	USD	3,770.95	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20422.33	SOLD	20500.00		3,106.95	0.00
03AUG17	B	1	PD03NOV17		20645.00	20966.00	USD	1,605.00	
03AUG17	B	1	PD03NOV17		20590.00	20966.00	USD	1,880.00	
03AUG17	S	1	PD03NOV17		20565.00	20966.00	USD	-2,005.00	
03AUG17	S	1	PD03NOV17		20560.00	20966.00	USD	-2,030.00	
03AUG17	S	1	PD03NOV17		20575.00	20966.00	USD	-1,955.00	
03AUG17	S	1	PD03NOV17		20580.00	20966.00	USD	-1,930.00	
03AUG17	B	1	PD03NOV17		20645.00	20966.00	USD	1,605.00	
03AUG17	S	2	PD03NOV17		20565.00	20966.00	USD	-4,010.00	
03AUG17	S	1	PD03NOV17		20635.00	20966.00	USD	-1,655.00	
03AUG17	B	1	PD03NOV17		20640.00	20966.00	USD	1,630.00	
03AUG17	B	1	PD03NOV17		20600.00	20966.00	USD	1,830.00	
03AUG17	B	1	PD03NOV17		20625.00	20966.00	USD	1,705.00	
03AUG17	S	1	PD03NOV17		20690.00	20966.00	USD	-1,380.00	
03AUG17	S	1	PD03NOV17		20630.00	20966.00	USD	-1,680.00	
03AUG17	B	3	PD03NOV17		20625.00	20966.00	USD	5,115.00	
03AUG17	B	1	PD03NOV17		20655.00	20966.00	USD	1,555.00	
03AUG17	B	1	PD03NOV17		20610.00	20966.00	USD	1,780.00	
03AUG17	S	1	PD03NOV17		20665.00	20966.00	USD	-1,505.00	
03AUG17	S	2	PD03NOV17		20670.00	20966.00	USD	-2,960.00	
03AUG17	B	1	PD03NOV17		20600.00	20966.00	USD	1,830.00	
03AUG17	S	1	PD03NOV17		20655.00	20966.00	USD	-1,555.00	
04AUG17	S	2	PD03NOV17		20655.00	20966.00	USD	-3,110.00	
04AUG17	B	2	PD03NOV17		20695.00	20966.00	USD	2,710.00	
04AUG17	B	1	PD03NOV17		20700.00	20966.00	USD	1,330.00	
04AUG17	S	1	PD03NOV17		20660.00	20966.00	USD	-1,530.00	
04AUG17	B	1	PD03NOV17		20715.00	20966.00	USD	1,255.00	
04AUG17	S	1	PD03NOV17		20760.00	20966.00	USD	-1,030.00	
04AUG17	S	1	PD03NOV17		20770.00	20966.00	USD	-980.00	
04AUG17	S	2	PD03NOV17		20725.00	20966.00	USD	-2,410.00	
04AUG17	S	1	PD03NOV17		20730.00	20966.00	USD	-1,180.00	
04AUG17	S	1	PD03NOV17		20650.00	20966.00	USD	-1,580.00	
04AUG17	S	1	PD03NOV17		20640.00	20966.00	USD	-1,630.00	
04AUG17	S	1	PD03NOV17		20605.00	20966.00	USD	-1,805.00	
04AUG17	S	1	PD03NOV17		20635.00	20966.00	USD	-1,655.00	
04AUG17	B	1	PD03NOV17		20600.00	20966.00	USD	1,830.00	
04AUG17	B	1	PD03NOV17		20585.00	20966.00	USD	1,905.00	
04AUG17	S	1	PD03NOV17		20780.00	20966.00	USD	-930.00	
04AUG17	S	1	PD03NOV17		20730.00	20966.00	USD	-1,180.00	
04AUG17	S	1	PD03NOV17		20675.00	20966.00	USD	-1,455.00	
04AUG17	S	2	PD03NOV17		20685.00	20966.00	USD	-2,810.00	
04AUG17	S	1	PD03NOV17		20645.00	20966.00	USD	-1,605.00	
04AUG17	B	1	PD03NOV17		20560.00	20966.00	USD	2,030.00	
04AUG17	B	4	PD03NOV17		20550.00	20966.00	USD	8,320.00	
04AUG17	B	2	PD03NOV17		20540.00	20966.00	USD	4,260.00	
04AUG17	B	3	PD03NOV17		20525.00	20966.00	USD	6,615.00	
04AUG17	B	1	PD03NOV17		20530.00	20966.00	USD	2,180.00	
04AUG17	B	1	PD03NOV17		20545.00	20966.00	USD	2,105.00	
08AUG17	S	1	PD03NOV17		20212.60	20966.00	USD	-3,767.00	
09AUG17	B	1	PD03NOV17		20212.25	20966.00	USD	3,768.75	
10AUG17	B	1	PD03NOV17		20329.41	20966.00	USD	3,182.95	
	NET	0 AVG	BGHT	20578.33	SOLD	20645.24		10,704.70	0.00
07AUG17	B	1	PD07NOV17		20600.00	20962.00	USD	1,810.00	
07AUG17	B	2	PD07NOV17		20620.00	20962.00	USD	3,420.00	
07AUG17	S	1	PD07NOV17		20655.00	20962.00	USD	-1,535.00	
07AUG17	S	1	PD07NOV17		20695.00	20962.00	USD	-1,335.00	
07AUG17	S	1	PD07NOV17		20665.00	20962.00	USD	-1,485.00	

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07AUG17	S	1	PD07NOV17	20670.00	20962.00	USD	-1,460.00	
07AUG17	S	1	PD07NOV17	20605.00	20962.00	USD	-1,785.00	
07AUG17	B	1	PD07NOV17	20615.00	20962.00	USD	1,735.00	
07AUG17	S	1	PD07NOV17	20600.00	20962.00	USD	-1,810.00	
07AUG17	B	2	PD07NOV17	20605.00	20962.00	USD	3,570.00	
07AUG17	S	1	PD07NOV17	20615.00	20962.00	USD	-1,735.00	
07AUG17	B	1	PD07NOV17	20615.00	20962.00	USD	1,735.00	
07AUG17	B	2	PD07NOV17	20570.00	20962.00	USD	3,920.00	
07AUG17	B	1	PD07NOV17	20565.00	20962.00	USD	1,985.00	
07AUG17	B	2	PD07NOV17	20560.00	20962.00	USD	4,020.00	
07AUG17	S	1	PD07NOV17	20550.00	20962.00	USD	-2,060.00	
17AUG17	S	2	PD07NOV17	20226.00	20962.00	USD	-7,360.00	
22AUG17	S	1	PD07NOV17	20384.11	20962.00	USD	-2,889.45	
05SEP17	S	1	PD07NOV17	20774.00	20962.00	USD	-940.00	
	NET	0AVG	BGHT 20592.08				-2,199.45	0.00
			SOLD 20555.43					
08AUG17	B	1	PD08NOV17	20510.00	20960.00	USD	2,250.00	
08AUG17	S	1	PD08NOV17	20480.00	20960.00	USD	-2,400.00	
08AUG17	B	2	PD08NOV17	20470.00	20960.00	USD	4,900.00	
08AUG17	B	1	PD08NOV17	20400.00	20960.00	USD	2,800.00	
08AUG17	B	1	PD08NOV17	20440.00	20960.00	USD	2,600.00	
08AUG17	S	1	PD08NOV17	20530.00	20960.00	USD	-2,150.00	
08AUG17	B	1	PD08NOV17	20465.00	20960.00	USD	2,475.00	
08AUG17	B	1	PD08NOV17	20585.00	20960.00	USD	1,875.00	
08AUG17	B	1	PD08NOV17	20445.00	20960.00	USD	2,575.00	
08AUG17	B	2	PD08NOV17	20425.00	20960.00	USD	5,350.00	
08AUG17	B	2	PD08NOV17	20415.00	20960.00	USD	5,450.00	
08AUG17	B	2	PD08NOV17	20405.00	20960.00	USD	5,550.00	
08AUG17	B	1	PD08NOV17	20385.00	20960.00	USD	2,875.00	
08AUG17	B	1	PD08NOV17	20375.00	20960.00	USD	2,925.00	
08AUG17	B	2	PD08NOV17	20365.00	20960.00	USD	5,950.00	
08AUG17	B	3	PD08NOV17	20355.00	20960.00	USD	9,075.00	
08AUG17	B	2	PD08NOV17	20325.00	20960.00	USD	6,350.00	
08AUG17	B	3	PD08NOV17	20320.00	20960.00	USD	9,600.00	
08AUG17	B	3	PD08NOV17	20345.00	20960.00	USD	9,225.00	
08AUG17	B	1	PD08NOV17	20315.00	20960.00	USD	3,225.00	
08AUG17	B	1	PD08NOV17	20310.00	20960.00	USD	3,250.00	
08AUG17	B	2	PD08NOV17	20290.00	20960.00	USD	6,700.00	
08AUG17	S	1	PD08NOV17	20295.00	20960.00	USD	-3,325.00	
08AUG17	B	1	PD08NOV17	20250.00	20960.00	USD	3,550.00	
08AUG17	B	1	PD08NOV17	20245.00	20960.00	USD	3,575.00	
08AUG17	B	1	PD08NOV17	20210.00	20960.00	USD	3,750.00	
08AUG17	B	1	PD08NOV17	20215.00	20960.00	USD	3,725.00	
08AUG17	B	1	PD08NOV17	20195.00	20960.00	USD	3,825.00	
08AUG17	B	1	PD08NOV17	20185.00	20960.00	USD	3,875.00	
08AUG17	B	1	PD08NOV17	20190.00	20960.00	USD	3,850.00	
08AUG17	B	1	PD08NOV17	20165.00	20960.00	USD	3,975.00	
08AUG17	S	1	PD08NOV17	20230.00	20960.00	USD	-3,650.00	
08AUG17	B	1	PD08NOV17	20320.00	20960.00	USD	3,200.00	
08AUG17	B	2	PD08NOV17	20310.00	20960.00	USD	6,500.00	
08AUG17	B	1	PD08NOV17	20285.00	20960.00	USD	3,375.00	
08AUG17	B	1	PD08NOV17	20280.00	20960.00	USD	3,400.00	
08AUG17	B	1	PD08NOV17	20275.00	20960.00	USD	3,425.00	
08AUG17	B	1	PD08NOV17	20200.00	20960.00	USD	3,800.00	
08AUG17	B	1	PD08NOV17	20255.00	20960.00	USD	3,525.00	
09AUG17	S	2	PD08NOV17	20205.56	20960.00	USD	-7,544.40	
10AUG17	S	5	PD08NOV17	20325.76	20960.00	USD	-15,856.00	
17AUG17	S	19	PD08NOV17	20225.00	20960.00	USD	-69,825.00	

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22AUG17	S	6	PD08NOV17		20382.42	20960.00	USD	-17,327.40	
23AUG17	S	1	PD08NOV17		20565.77	20960.00	USD	-1,971.15	
05SEP17	S	5	PD08NOV17		20772.50	20960.00	USD	-4,687.50	
11SEP17	S	2	PD08NOV17		20795.00	20960.00	USD	-1,650.00	
12SEP17	S	5	PD08NOV17		20723.75	20960.00	USD	-5,906.25	
	NET	0AVG	BGHT 20338.16	SOLD 20403.70				16,057.30	0.00
09AUG17	S	1	PD09NOV17		20285.00	20958.00	USD	-3,365.00	
09AUG17	S	1	PD09NOV17		20365.00	20958.00	USD	-2,965.00	
09AUG17	B	1	PD09NOV17		20310.00	20958.00	USD	3,240.00	
09AUG17	B	1	PD09NOV17		20355.00	20958.00	USD	3,015.00	
09AUG17	B	1	PD09NOV17		20450.00	20958.00	USD	2,540.00	
09AUG17	B	1	PD09NOV17		20370.00	20958.00	USD	2,940.00	
09AUG17	S	2	PD09NOV17		20390.00	20958.00	USD	-5,680.00	
09AUG17	S	1	PD09NOV17		20490.00	20958.00	USD	-2,340.00	
09AUG17	B	1	PD09NOV17		20290.00	20958.00	USD	3,340.00	
09AUG17	B	1	PD09NOV17		20250.00	20958.00	USD	3,540.00	
09AUG17	B	2	PD09NOV17		20230.00	20958.00	USD	7,280.00	
09AUG17	B	1	PD09NOV17		20235.00	20958.00	USD	3,615.00	
22AUG17	S	1	PD09NOV17		20381.74	20958.00	USD	-2,881.30	
05SEP17	B	1	PD09NOV17		20772.00	20958.00	USD	930.00	
11SEP17	S	1	PD09NOV17		20793.00	20958.00	USD	-825.00	
12SEP17	S	2	PD09NOV17		20722.00	20958.00	USD	-2,360.00	
12SEP17	S	1	PD09NOV17		20721.00	20958.00	USD	-1,185.00	
	NET	0AVG	BGHT 20349.20	SOLD 20525.97				8,838.70	0.00
10AUG17	B	1	PD10NOV17		20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17		20400.00	20956.00	USD	-2,780.00	
10AUG17	S	1	PD10NOV17		20435.00	20956.00	USD	-2,605.00	
10AUG17	S	1	PD10NOV17		20355.00	20956.00	USD	-3,005.00	
10AUG17	B	1	PD10NOV17		20260.00	20956.00	USD	3,480.00	
10AUG17	B	1	PD10NOV17		20260.00	20956.00	USD	3,480.00	
10AUG17	B	2	PD10NOV17		20290.00	20956.00	USD	6,660.00	
10AUG17	S	1	PD10NOV17		20285.00	20956.00	USD	-3,355.00	
10AUG17	B	1	PD10NOV17		20280.00	20956.00	USD	3,380.00	
10AUG17	S	2	PD10NOV17		20245.00	20956.00	USD	-7,110.00	
10AUG17	B	1	PD10NOV17		20245.00	20956.00	USD	3,555.00	
10AUG17	B	1	PD10NOV17		20275.00	20956.00	USD	3,405.00	
10AUG17	B	1	PD10NOV17		20285.00	20956.00	USD	3,355.00	
10AUG17	S	1	PD10NOV17		20445.00	20956.00	USD	-2,555.00	
10AUG17	S	2	PD10NOV17		20450.00	20956.00	USD	-5,060.00	
10AUG17	B	1	PD10NOV17		20360.00	20956.00	USD	2,980.00	
10AUG17	B	1	PD10NOV17		20350.00	20956.00	USD	3,030.00	
10AUG17	B	1	PD10NOV17		20325.00	20956.00	USD	3,155.00	
10AUG17	B	3	PD10NOV17		20320.00	20956.00	USD	9,540.00	
10AUG17	B	1	PD10NOV17		20305.00	20956.00	USD	3,255.00	
10AUG17	S	1	PD10NOV17		20320.00	20956.00	USD	-3,180.00	
11AUG17	S	1	PD10NOV17		20240.00	20956.00	USD	-3,580.00	
11AUG17	S	2	PD10NOV17		20210.00	20956.00	USD	-7,460.00	
11AUG17	S	1	PD10NOV17		20225.00	20956.00	USD	-3,655.00	
11AUG17	S	1	PD10NOV17		20200.00	20956.00	USD	-3,780.00	
11AUG17	S	1	PD10NOV17		20220.00	20956.00	USD	-3,680.00	
11AUG17	S	1	PD10NOV17		20265.00	20956.00	USD	-3,455.00	
11AUG17	S	1	PD10NOV17		20270.00	20956.00	USD	-3,430.00	
11AUG17	S	2	PD10NOV17		20230.00	20956.00	USD	-7,260.00	
11AUG17	S	1	PD10NOV17		20220.00	20956.00	USD	-3,680.00	
11AUG17	S	1	PD10NOV17		20285.00	20956.00	USD	-3,355.00	
11AUG17	S	1	PD10NOV17		20235.00	20956.00	USD	-3,605.00	
11AUG17	S	1	PD10NOV17		20230.00	20956.00	USD	-3,630.00	

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11AUG17	S	1	PD10NOV17	20340.00	20956.00	USD	-3,080.00	
11AUG17	S	1	PD10NOV17	20335.00	20956.00	USD	-3,105.00	
11AUG17	S	1	PD10NOV17	20355.00	20956.00	USD	-3,005.00	
11AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
11AUG17	B	1	PD10NOV17	20305.00	20956.00	USD	3,255.00	
11AUG17	S	1	PD10NOV17	20290.00	20956.00	USD	-3,330.00	
11AUG17	S	1	PD10NOV17	20340.00	20956.00	USD	-3,080.00	
11AUG17	S	1	PD10NOV17	20370.00	20956.00	USD	-2,930.00	
11AUG17	S	2	PD10NOV17	20355.00	20956.00	USD	-6,010.00	
11AUG17	B	1	PD10NOV17	20345.00	20956.00	USD	3,055.00	
11AUG17	S	1	PD10NOV17	20315.00	20956.00	USD	-3,205.00	
11AUG17	S	1	PD10NOV17	20290.00	20956.00	USD	-3,330.00	
17AUG17	B	9	PD10NOV17	20224.00	20956.00	USD	32,940.00	
22AUG17	B	1	PD10NOV17	20381.05	20956.00	USD	2,874.75	
05SEP17	B	4	PD10NOV17	20770.50	20956.00	USD	3,710.00	
11SEP17	S	1	PD10NOV17	20791.00	20956.00	USD	-825.00	
12SEP17	B	4	PD10NOV17	20721.25	20956.00	USD	4,695.00	
	NET	0AVG BGHT 20383.31	SOLD 20316.14				-12,090.25	0.00
14AUG17	S	1	PD14NOV17	20230.00	20952.00	USD	-3,610.00	
14AUG17	S	1	PD14NOV17	20235.00	20952.00	USD	-3,585.00	
14AUG17	S	2	PD14NOV17	20250.00	20952.00	USD	-7,020.00	
14AUG17	S	2	PD14NOV17	20255.00	20952.00	USD	-6,970.00	
14AUG17	S	1	PD14NOV17	20295.00	20952.00	USD	-3,285.00	
14AUG17	S	1	PD14NOV17	20285.00	20952.00	USD	-3,335.00	
14AUG17	S	1	PD14NOV17	20380.00	20952.00	USD	-2,860.00	
14AUG17	S	1	PD14NOV17	20375.00	20952.00	USD	-2,885.00	
14AUG17	S	1	PD14NOV17	20260.00	20952.00	USD	-3,460.00	
14AUG17	S	1	PD14NOV17	20305.00	20952.00	USD	-3,235.00	
14AUG17	S	2	PD14NOV17	20340.00	20952.00	USD	-6,120.00	
14AUG17	S	1	PD14NOV17	20310.00	20952.00	USD	-3,210.00	
14AUG17	S	1	PD14NOV17	20275.00	20952.00	USD	-3,385.00	
14AUG17	S	1	PD14NOV17	20280.00	20952.00	USD	-3,360.00	
14AUG17	B	1	PD14NOV17	20255.00	20952.00	USD	3,485.00	
14AUG17	S	1	PD14NOV17	20265.00	20952.00	USD	-3,435.00	
14AUG17	B	1	PD14NOV17	20275.00	20952.00	USD	3,385.00	
14AUG17	S	1	PD14NOV17	20320.00	20952.00	USD	-3,160.00	
14AUG17	S	2	PD14NOV17	20290.00	20952.00	USD	-6,620.00	
14AUG17	S	1	PD14NOV17	20325.00	20952.00	USD	-3,135.00	
14AUG17	B	1	PD14NOV17	20320.00	20952.00	USD	3,160.00	
14AUG17	B	1	PD14NOV17	20300.00	20952.00	USD	3,260.00	
14AUG17	S	1	PD14NOV17	20300.00	20952.00	USD	-3,260.00	
17AUG17	B	14	PD14NOV17	20222.00	20952.00	USD	51,100.00	
17AUG17	B	1	PD14NOV17	20221.00	20952.00	USD	3,655.00	
05SEP17	B	1	PD14NOV17	20766.50	20952.00	USD	927.50	
05SEP17	B	1	PD14NOV17	20767.50	20952.00	USD	922.50	
11SEP17	B	3	PD14NOV17	20788.00	20952.00	USD	2,460.00	
12SEP17	S	1	PD14NOV17	20716.75	20952.00	USD	-1,176.25	
	NET	0AVG BGHT 20349.04	SOLD 20309.45				-4,751.25	0.00
15AUG17	S	1	PD15NOV17	20375.00	20950.00	USD	-2,875.00	
15AUG17	S	1	PD15NOV17	20255.00	20950.00	USD	-3,475.00	
15AUG17	B	1	PD15NOV17	20240.00	20950.00	USD	3,550.00	
15AUG17	B	1	PD15NOV17	20235.00	20950.00	USD	3,575.00	
15AUG17	B	1	PD15NOV17	20225.00	20950.00	USD	3,625.00	
15AUG17	B	1	PD15NOV17	20190.00	20950.00	USD	3,800.00	
15AUG17	B	1	PD15NOV17	20185.00	20950.00	USD	3,825.00	
15AUG17	B	1	PD15NOV17	20180.00	20950.00	USD	3,850.00	
15AUG17	B	1	PD15NOV17	20115.00	20950.00	USD	4,175.00	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	B	3	PD15NOV17	19640.00	20950.00	USD	19,650.00	
15AUG17	B	2	PD15NOV17	19320.00	20950.00	USD	16,300.00	
15AUG17	B	1	PD15NOV17	19310.00	20950.00	USD	8,200.00	
15AUG17	B	1	PD15NOV17	19305.00	20950.00	USD	8,225.00	
15AUG17	B	1	PD15NOV17	19210.00	20950.00	USD	8,700.00	
15AUG17	B	1	PD15NOV17	19650.00	20950.00	USD	6,500.00	
15AUG17	B	3	PD15NOV17	19670.00	20950.00	USD	19,200.00	
15AUG17	B	1	PD15NOV17	19700.00	20950.00	USD	6,250.00	
15AUG17	B	1	PD15NOV17	19710.00	20950.00	USD	6,200.00	
15AUG17	S	1	PD15NOV17	20140.00	20950.00	USD	-4,050.00	
15AUG17	S	1	PD15NOV17	19980.00	20950.00	USD	-4,850.00	
15AUG17	S	1	PD15NOV17	20035.00	20950.00	USD	-4,575.00	
15AUG17	B	1	PD15NOV17	19925.00	20950.00	USD	5,125.00	
15AUG17	B	1	PD15NOV17	19900.00	20950.00	USD	5,250.00	
15AUG17	B	1	PD15NOV17	19890.00	20950.00	USD	5,300.00	
15AUG17	S	1	PD15NOV17	19900.00	20950.00	USD	-5,250.00	
15AUG17	B	1	PD15NOV17	19845.00	20950.00	USD	5,525.00	
15AUG17	B	1	PD15NOV17	19835.00	20950.00	USD	5,575.00	
15AUG17	B	1	PD15NOV17	19805.00	20950.00	USD	5,725.00	
15AUG17	B	1	PD15NOV17	19770.00	20950.00	USD	5,900.00	
15AUG17	B	1	PD15NOV17	19750.00	20950.00	USD	6,000.00	
15AUG17	S	1	PD15NOV17	19825.00	20950.00	USD	-5,625.00	
15AUG17	B	1	PD15NOV17	19830.00	20950.00	USD	5,600.00	
15AUG17	S	1	PD15NOV17	19870.00	20950.00	USD	-5,400.00	
15AUG17	S	1	PD15NOV17	19925.00	20950.00	USD	-5,125.00	
15AUG17	S	2	PD15NOV17	19945.00	20950.00	USD	-10,050.00	
15AUG17	S	1	PD15NOV17	19950.00	20950.00	USD	-5,000.00	
15AUG17	B	1	PD15NOV17	19995.00	20950.00	USD	4,775.00	
15AUG17	S	1	PD15NOV17	19995.00	20950.00	USD	-4,775.00	
15AUG17	B	1	PD15NOV17	19800.00	20950.00	USD	5,750.00	
17AUG17	S	1	PD15NOV17	20219.00	20950.00	USD	-3,655.00	
22AUG17	S	4	PD15NOV17	20375.00	20950.00	USD	-11,500.00	
23AUG17	S	1	PD15NOV17	20560.00	20950.00	USD	-1,950.00	
05SEP17	S	3	PD15NOV17	20765.00	20950.00	USD	-2,775.00	
11SEP17	S	3	PD15NOV17	20785.00	20950.00	USD	-2,475.00	
12SEP17	S	6	PD15NOV17	20715.00	20950.00	USD	-7,050.00	
15SEP17	S	1	PD15NOV17	20580.00	20950.00	USD	-1,850.00	
	NET	0 AVG	BGHT 19786.56				93,845.00	0.00
16AUG17	S	1	PD16NOV17	19940.00	20947.92	USD	-5,039.60	
16AUG17	S	1	PD16NOV17	19985.00	20947.92	USD	-4,814.60	
16AUG17	S	1	PD16NOV17	19990.00	20947.92	USD	-4,789.60	
16AUG17	S	2	PD16NOV17	19970.00	20947.92	USD	-9,779.20	
16AUG17	B	1	PD16NOV17	19980.00	20947.92	USD	4,839.60	
16AUG17	B	1	PD16NOV17	19995.00	20947.92	USD	4,764.60	
16AUG17	B	1	PD16NOV17	20005.00	20947.92	USD	4,714.60	
16AUG17	B	2	PD16NOV17	20030.00	20947.92	USD	9,179.20	
16AUG17	B	1	PD16NOV17	20030.00	20947.92	USD	4,589.60	
16AUG17	B	1	PD16NOV17	20040.00	20947.92	USD	4,539.60	
16AUG17	B	1	PD16NOV17	20035.00	20947.92	USD	4,564.60	
22AUG17	B	1	PD16NOV17	20371.00	20947.92	USD	2,884.60	
23AUG17	S	1	PD16NOV17	20554.17	20947.92	USD	-1,968.75	
05SEP17	S	1	PD16NOV17	20761.31	20947.92	USD	-933.05	
12SEP17	S	2	PD16NOV17	20712.78	20947.92	USD	-2,351.40	
	NET	0 AVG	BGHT 20057.33				10,400.20	0.00
17AUG17	B	1	PD17NOV17	20095.00	20945.83	USD	4,254.15	
17AUG17	B	2	PD17NOV17	20100.00	20945.83	USD	8,458.30	
17AUG17	S	1	PD17NOV17	20145.00	20945.83	USD	-4,004.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	20195.00	20945.83	USD	-3,754.15	
17AUG17	S	2	PD17NOV17	20210.00	20945.83	USD	-7,358.30	
17AUG17	S	3	PD17NOV17	20230.00	20945.83	USD	-10,737.45	
17AUG17	B	4	PD17NOV17	20260.00	20945.83	USD	13,716.60	
17AUG17	S	2	PD17NOV17	20235.00	20945.83	USD	-7,108.30	
17AUG17	S	1	PD17NOV17	20320.00	20945.83	USD	-3,129.15	
17AUG17	S	1	PD17NOV17	20290.00	20945.83	USD	-3,279.15	
17AUG17	S	2	PD17NOV17	20270.00	20945.83	USD	-6,758.30	
17AUG17	S	2	PD17NOV17	20295.00	20945.83	USD	-6,508.30	
17AUG17	S	2	PD17NOV17	20255.00	20945.83	USD	-6,908.30	
17AUG17	S	1	PD17NOV17	20350.00	20945.83	USD	-2,979.15	
17AUG17	S	1	PD17NOV17	20345.00	20945.83	USD	-3,004.15	
17AUG17	B	1	PD17NOV17	20135.00	20945.83	USD	4,054.15	
17AUG17	B	1	PD17NOV17	20135.00	20945.83	USD	4,054.15	
17AUG17	B	2	PD17NOV17	20020.00	20945.83	USD	9,258.30	
17AUG17	S	1	PD17NOV17	20180.00	20945.83	USD	-3,829.15	
17AUG17	S	1	PD17NOV17	20185.00	20945.83	USD	-3,804.15	
17AUG17	S	1	PD17NOV17	20200.00	20945.83	USD	-3,729.15	
17AUG17	S	1	PD17NOV17	20215.00	20945.83	USD	-3,654.15	
17AUG17	S	1	PD17NOV17	20185.00	20945.83	USD	-3,804.15	
17AUG17	S	1	PD17NOV17	20215.00	20945.83	USD	-3,654.15	
17AUG17	S	1	PD17NOV17	20205.00	20945.83	USD	-3,704.15	
18AUG17	S	1	PD17NOV17	20170.00	20945.83	USD	-3,879.15	
18AUG17	S	1	PD17NOV17	20350.00	20945.83	USD	-2,979.15	
18AUG17	S	1	PD17NOV17	20140.00	20945.83	USD	-4,029.15	
18AUG17	B	1	PD17NOV17	20275.00	20945.83	USD	3,354.15	
18AUG17	B	4	PD17NOV17	20290.00	20945.83	USD	13,116.60	
18AUG17	S	2	PD17NOV17	20295.00	20945.83	USD	-6,508.30	
18AUG17	B	1	PD17NOV17	20150.00	20945.83	USD	3,979.15	
18AUG17	B	1	PD17NOV17	20160.00	20945.83	USD	3,929.15	
18AUG17	B	1	PD17NOV17	20145.00	20945.83	USD	4,004.15	
18AUG17	B	1	PD17NOV17	20155.00	20945.83	USD	3,954.15	
22AUG17	B	3	PD17NOV17	20366.00	20945.83	USD	8,697.45	
22AUG17	S	1	PD17NOV17	20364.00	20945.83	USD	-2,909.15	
23AUG17	B	2	PD17NOV17	20549.33	20945.83	USD	3,965.00	
05SEP17	B	2	PD17NOV17	20760.62	20945.83	USD	1,852.10	
12SEP17	B	5	PD17NOV17	20711.56	20945.83	USD	5,856.75	
	NET	0 AVG BGHT	20342.68	SOLD	20245.75		-15,508.50	0.00
21AUG17	B	1	PD21NOV17	20320.00	20941.67	USD	3,108.35	
21AUG17	S	1	PD21NOV17	20320.00	20941.67	USD	-3,108.35	
21AUG17	S	2	PD21NOV17	20360.00	20941.67	USD	-5,816.70	
21AUG17	S	2	PD21NOV17	20365.00	20941.67	USD	-5,766.70	
21AUG17	S	1	PD21NOV17	20275.00	20941.67	USD	-3,333.35	
21AUG17	B	1	PD21NOV17	20275.00	20941.67	USD	3,333.35	
21AUG17	S	2	PD21NOV17	20375.00	20941.67	USD	-5,666.70	
21AUG17	S	1	PD21NOV17	20395.00	20941.67	USD	-2,733.35	
21AUG17	S	1	PD21NOV17	20430.00	20941.67	USD	-2,558.35	
21AUG17	S	1	PD21NOV17	20395.00	20941.67	USD	-2,733.35	
21AUG17	S	1	PD21NOV17	20375.00	20941.67	USD	-2,833.35	
21AUG17	S	1	PD21NOV17	20385.00	20941.67	USD	-2,783.35	
21AUG17	S	1	PD21NOV17	20475.00	20941.67	USD	-2,333.35	
21AUG17	B	1	PD21NOV17	20480.00	20941.67	USD	2,308.35	
21AUG17	S	2	PD21NOV17	20480.00	20941.67	USD	-4,616.70	
21AUG17	S	1	PD21NOV17	20450.00	20941.67	USD	-2,458.35	
21AUG17	S	2	PD21NOV17	20465.00	20941.67	USD	-4,766.70	
23AUG17	B	1	PD21NOV17	20537.67	20941.67	USD	2,020.00	
05SEP17	B	1	PD21NOV17	20755.23	20941.67	USD	932.20	

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06SEP17	B	1	PD21NOV17	20811.43	20941.67	USD	651.20	
11SEP17	B	1	PD21NOV17	20777.76	20941.67	USD	819.55	
12SEP17	B	4	PD21NOV17	20707.11	20941.67	USD	4,691.20	
14SEP17	B	7	PD21NOV17	20559.00	20941.67	USD	13,393.45	
15SEP17	B	1	PD21NOV17	20573.38	20941.67	USD	1,841.45	
	NET	0AVG	BGHT 20593.26				-18,409.55	0.00
			SOLD 20399.47					
22AUG17	S	1	PD22NOV17	20435.00	20939.58	USD	-2,522.90	
22AUG17	S	2	PD22NOV17	20430.00	20939.58	USD	-5,095.80	
22AUG17	S	1	PD22NOV17	20425.00	20939.58	USD	-2,572.90	
22AUG17	B	1	PD22NOV17	20435.00	20939.58	USD	2,522.90	
22AUG17	S	1	PD22NOV17	20420.00	20939.58	USD	-2,597.90	
22AUG17	S	1	PD22NOV17	20430.00	20939.58	USD	-2,547.90	
22AUG17	S	1	PD22NOV17	20445.00	20939.58	USD	-2,472.90	
22AUG17	S	1	PD22NOV17	20425.00	20939.58	USD	-2,572.90	
22AUG17	B	1	PD22NOV17	20485.00	20939.58	USD	2,272.90	
22AUG17	S	2	PD22NOV17	20460.00	20939.58	USD	-4,795.80	
22AUG17	S	3	PD22NOV17	20450.00	20939.58	USD	-7,343.70	
22AUG17	S	1	PD22NOV17	20440.00	20939.58	USD	-2,497.90	
22AUG17	B	1	PD22NOV17	20270.00	20939.58	USD	3,347.90	
22AUG17	S	1	PD22NOV17	20270.00	20939.58	USD	-3,347.90	
22AUG17	S	1	PD22NOV17	20280.00	20939.58	USD	-3,297.90	
11SEP17	B	1	PD22NOV17	20775.71	20939.58	USD	819.35	
12SEP17	B	3	PD22NOV17	20704.89	20939.58	USD	3,520.35	
12SEP17	B	2	PD22NOV17	20703.89	20939.58	USD	2,356.90	
14SEP17	B	6	PD22NOV17	20557.50	20939.58	USD	11,462.40	
	NET	-1AVG	BGHT 20588.88				-15,363.70	0.00
			SOLD 20418.75					
23AUG17	S	1	PD24NOV17	20310.00	20937.50	USD	-3,137.50	
23AUG17	S	1	PD24NOV17	20335.00	20937.50	USD	-3,012.50	
23AUG17	S	1	PD24NOV17	20400.00	20937.50	USD	-2,687.50	
23AUG17	S	2	PD24NOV17	20350.00	20937.50	USD	-5,875.00	
23AUG17	S	1	PD24NOV17	20390.00	20937.50	USD	-2,737.50	
23AUG17	S	1	PD24NOV17	20375.00	20937.50	USD	-2,812.50	
23AUG17	B	1	PD24NOV17	20355.00	20937.50	USD	2,912.50	
23AUG17	B	1	PD24NOV17	20325.00	20937.50	USD	3,062.50	
23AUG17	B	1	PD24NOV17	20350.00	20937.50	USD	2,937.50	
23AUG17	B	1	PD24NOV17	20455.00	20937.50	USD	2,412.50	
23AUG17	S	1	PD24NOV17	20395.00	20937.50	USD	-2,712.50	
23AUG17	S	1	PD24NOV17	20425.00	20937.50	USD	-2,562.50	
23AUG17	S	1	PD24NOV17	20445.00	20937.50	USD	-2,462.50	
23AUG17	B	1	PD24NOV17	20470.00	20937.50	USD	2,337.50	
23AUG17	B	2	PD24NOV17	20515.00	20937.50	USD	4,225.00	
23AUG17	B	1	PD24NOV17	20540.00	20937.50	USD	1,987.50	
24AUG17	S	1	PD24NOV17	20530.00	20937.50	USD	-2,037.50	
24AUG17	B	1	PD24NOV17	20535.00	20937.50	USD	2,012.50	
24AUG17	B	1	PD24NOV17	20430.00	20937.50	USD	2,537.50	
24AUG17	B	1	PD24NOV17	20390.00	20937.50	USD	2,737.50	
24AUG17	S	1	PD24NOV17	20450.00	20937.50	USD	-2,437.50	
24AUG17	B	1	PD24NOV17	20510.00	20937.50	USD	2,137.50	
24AUG17	B	2	PD24NOV17	20465.00	20937.50	USD	4,725.00	
24AUG17	S	1	PD24NOV17	20455.00	20937.50	USD	-2,412.50	
24AUG17	B	1	PD24NOV17	20460.00	20937.50	USD	2,387.50	
24AUG17	B	1	PD24NOV17	20425.00	20937.50	USD	2,562.50	
24AUG17	B	1	PD24NOV17	20410.00	20937.50	USD	2,637.50	
24AUG17	B	1	PD24NOV17	20385.00	20937.50	USD	2,762.50	
24AUG17	B	2	PD24NOV17	20380.00	20937.50	USD	5,575.00	
24AUG17	B	3	PD24NOV17	20400.00	20937.50	USD	8,062.50	
24AUG17	S	2	PD24NOV17	20490.00	20937.50	USD	-4,475.00	

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SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	2	PD24NOV17	20450.00	20937.50	USD	4,875.00	
24AUG17	S	1	PD24NOV17	20445.00	20937.50	USD	-2,462.50	
24AUG17	S	1	PD24NOV17	20430.00	20937.50	USD	-2,537.50	
25AUG17	S	1	PD24NOV17	20410.00	20937.50	USD	-2,637.50	
25AUG17	S	1	PD24NOV17	20485.00	20937.50	USD	-2,262.50	
25AUG17	S	1	PD24NOV17	20475.00	20937.50	USD	-2,312.50	
25AUG17	S	1	PD24NOV17	20430.00	20937.50	USD	-2,537.50	
25AUG17	S	2	PD24NOV17	20425.00	20937.50	USD	-5,125.00	
25AUG17	S	1	PD24NOV17	20420.00	20937.50	USD	-2,587.50	
25AUG17	S	3	PD24NOV17	20405.00	20937.50	USD	-7,987.50	
25AUG17	S	1	PD24NOV17	20410.00	20937.50	USD	-2,637.50	
25AUG17	S	1	PD24NOV17	20375.00	20937.50	USD	-2,812.50	
05SEP17	B	2	PD24NOV17	20748.85	20937.50	USD	1,886.50	
11SEP17	B	1	PD24NOV17	20773.65	20937.50	USD	819.25	
14SEP17	B	1	PD24NOV17	20556.00	20937.50	USD	1,907.50	
20SEP17	B	1	PD24NOV17	20655.00	20937.50	USD	1,412.50	
	NET	+1AVG BGHT 20478.08	SOLD 20418.45				-6,349.25	0.00
29AUG17	B	1	PD29NOV17	20445.00	20931.25	USD	2,431.25	
29AUG17	S	1	PD29NOV17	20680.00	20931.25	USD	-1,256.25	
29AUG17	B	1	PD29NOV17	20450.00	20931.25	USD	2,406.25	
29AUG17	B	1	PD29NOV17	20535.00	20931.25	USD	1,981.25	
29AUG17	B	5	PD29NOV17	20550.00	20931.25	USD	9,531.25	
29AUG17	B	1	PD29NOV17	20530.00	20931.25	USD	2,006.25	
29AUG17	B	2	PD29NOV17	20415.00	20931.25	USD	5,162.50	
29AUG17	B	1	PD29NOV17	20505.00	20931.25	USD	2,131.25	
29AUG17	B	1	PD29NOV17	20435.00	20931.25	USD	2,481.25	
29AUG17	B	1	PD29NOV17	20430.00	20931.25	USD	2,506.25	
29AUG17	B	1	PD29NOV17	20545.00	20931.25	USD	1,931.25	
29AUG17	B	1	PD29NOV17	20640.00	20931.25	USD	1,456.25	
29AUG17	S	1	PD29NOV17	20465.00	20931.25	USD	-2,331.25	
29AUG17	B	1	PD29NOV17	20495.00	20931.25	USD	2,181.25	
29AUG17	B	1	PD29NOV17	20475.00	20931.25	USD	2,281.25	
29AUG17	B	2	PD29NOV17	20500.00	20931.25	USD	4,312.50	
29AUG17	B	1	PD29NOV17	20450.00	20931.25	USD	2,406.25	
29AUG17	B	1	PD29NOV17	20470.00	20931.25	USD	2,306.25	
29AUG17	S	1	PD29NOV17	20450.00	20931.25	USD	-2,406.25	
29AUG17	B	3	PD29NOV17	20490.00	20931.25	USD	6,618.75	
29AUG17	B	1	PD29NOV17	20465.00	20931.25	USD	2,331.25	
29AUG17	B	1	PD29NOV17	20420.00	20931.25	USD	2,556.25	
29AUG17	B	1	PD29NOV17	20370.00	20931.25	USD	2,806.25	
29AUG17	B	2	PD29NOV17	20400.00	20931.25	USD	5,312.50	
29AUG17	S	1	PD29NOV17	20520.00	20931.25	USD	-2,056.25	
29AUG17	B	1	PD29NOV17	20520.00	20931.25	USD	2,056.25	
29AUG17	B	4	PD29NOV17	20495.00	20931.25	USD	8,725.00	
29AUG17	B	1	PD29NOV17	20435.00	20931.25	USD	2,481.25	
29AUG17	B	1	PD29NOV17	20420.00	20931.25	USD	2,556.25	
05SEP17	S	2	PD29NOV17	20739.77	20931.25	USD	-1,914.80	
06SEP17	S	1	PD29NOV17	20799.71	20931.25	USD	-657.70	
11SEP17	S	3	PD29NOV17	20766.47	20931.25	USD	-2,471.70	
12SEP17	S	2	PD29NOV17	20694.00	20931.25	USD	-2,372.50	
12SEP17	S	10	PD29NOV17	20695.00	20931.25	USD	-11,812.50	
14SEP17	S	14	PD29NOV17	20550.50	20931.25	USD	-26,652.50	
14SEP17	S	1	PD29NOV17	20551.50	20931.25	USD	-1,898.75	
	NET	0AVG BGHT 20482.84	SOLD 20629.46				27,125.80	0.00
30AUG17	B	1	PD30NOV17	20450.00	20929.17	USD	2,395.85	
30AUG17	B	1	PD30NOV17	20430.00	20929.17	USD	2,495.85	
30AUG17	B	1	PD30NOV17	20445.00	20929.17	USD	2,420.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	20395.00	20929.17	USD	2,670.85	
30AUG17	S	1	PD30NOV17	20445.00	20929.17	USD	-2,420.85	
30AUG17	S	1	PD30NOV17	20450.00	20929.17	USD	-2,395.85	
30AUG17	S	2	PD30NOV17	20530.00	20929.17	USD	-3,991.70	
30AUG17	S	1	PD30NOV17	20535.00	20929.17	USD	-1,970.85	
30AUG17	S	1	PD30NOV17	20545.00	20929.17	USD	-1,920.85	
30AUG17	S	1	PD30NOV17	20650.00	20929.17	USD	-1,395.85	
30AUG17	S	1	PD30NOV17	20545.00	20929.17	USD	-1,920.85	
30AUG17	S	1	PD30NOV17	20635.00	20929.17	USD	-1,470.85	
31AUG17	B	1	PD30NOV17	20635.00	20929.17	USD	1,470.85	
31AUG17	S	1	PD30NOV17	20625.00	20929.17	USD	-1,520.85	
31AUG17	S	1	PD30NOV17	20600.00	20929.17	USD	-1,645.85	
05SEP17	B	2	PD30NOV17	20738.08	20929.17	USD	1,910.90	
11SEP17	B	2	PD30NOV17	20765.41	20929.17	USD	1,637.60	
12SEP17	B	2	PD30NOV17	20692.78	20929.17	USD	2,363.90	
	NET	0 AVG BGHT 20613.41	SOLD 20553.64				-3,287.70	0.00
01SEP17	S	1	PD01DEC17	20655.00	20927.08	USD	-1,360.40	
01SEP17	S	2	PD01DEC17	20650.00	20927.08	USD	-2,770.80	
01SEP17	S	2	PD01DEC17	20700.00	20927.08	USD	-2,270.80	
01SEP17	S	1	PD01DEC17	20715.00	20927.08	USD	-1,060.40	
01SEP17	B	1	PD01DEC17	20740.00	20927.08	USD	935.40	
01SEP17	B	1	PD01DEC17	20730.00	20927.08	USD	985.40	
01SEP17	B	1	PD01DEC17	20755.00	20927.08	USD	860.40	
01SEP17	S	1	PD01DEC17	20710.00	20927.08	USD	-1,085.40	
01SEP17	B	1	PD01DEC17	20750.00	20927.08	USD	885.40	
01SEP17	B	1	PD01DEC17	20700.00	20927.08	USD	1,135.40	
01SEP17	S	1	PD01DEC17	20770.00	20927.08	USD	-785.40	
01SEP17	B	1	PD01DEC17	20735.00	20927.08	USD	960.40	
01SEP17	B	2	PD01DEC17	20740.00	20927.08	USD	1,870.80	
01SEP17	B	1	PD01DEC17	20680.00	20927.08	USD	1,235.40	
01SEP17	B	1	PD01DEC17	20675.00	20927.08	USD	1,260.40	
01SEP17	B	1	PD01DEC17	20665.00	20927.08	USD	1,310.40	
01SEP17	B	1	PD01DEC17	20695.00	20927.08	USD	1,160.40	
01SEP17	B	1	PD01DEC17	20690.00	20927.08	USD	1,185.40	
01SEP17	B	1	PD01DEC17	20675.00	20927.08	USD	1,260.40	
05SEP17	S	2	PD01DEC17	20734.38	20927.08	USD	-1,927.00	
11SEP17	S	2	PD01DEC17	20762.35	20927.08	USD	-1,647.30	
12SEP17	S	2	PD01DEC17	20689.56	20927.08	USD	-2,375.20	
14SEP17	B	3	PD01DEC17	20548.50	20927.08	USD	5,678.70	
14SEP17	S	3	PD01DEC17	20548.50	20927.08	USD	-5,678.70	
	NET	0 AVG BGHT 20683.26	SOLD 20680.48				-237.10	0.00
04SEP17	B	1	PD04DEC17	20750.00	20925.00	USD	875.00	
04SEP17	B	1	PD04DEC17	20755.00	20925.00	USD	850.00	
04SEP17	B	2	PD04DEC17	20760.00	20925.00	USD	1,650.00	
04SEP17	B	1	PD04DEC17	20745.00	20925.00	USD	900.00	
04SEP17	B	1	PD04DEC17	20725.00	20925.00	USD	1,000.00	
04SEP17	S	1	PD04DEC17	20735.00	20925.00	USD	-950.00	
04SEP17	S	1	PD04DEC17	20675.00	20925.00	USD	-1,250.00	
04SEP17	S	1	PD04DEC17	20730.00	20925.00	USD	-975.00	
04SEP17	S	1	PD04DEC17	20750.00	20925.00	USD	-875.00	
11SEP17	S	1	PD04DEC17	20760.29	20925.00	USD	-823.55	
14SEP17	S	3	PD04DEC17	20546.00	20925.00	USD	-5,685.00	
14SEP17	B	3	PD04DEC17	20548.00	20925.00	USD	5,655.00	
15SEP17	S	1	PD04DEC17	20557.14	20925.00	USD	-1,839.30	
	NET	0 AVG BGHT 20682.11	SOLD 20649.49				-1,467.85	0.00
05SEP17	S	1	PD05DEC17	20770.00	20922.92	USD	-764.60	

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05SEP17	S	2	PD05DEC17	20700.00	20922.92	USD	-2,229.20	
05SEP17	S	2	PD05DEC17	20705.00	20922.92	USD	-2,179.20	
05SEP17	S	1	PD05DEC17	20685.00	20922.92	USD	-1,189.60	
05SEP17	B	1	PD05DEC17	20715.00	20922.92	USD	1,039.60	
05SEP17	S	1	PD05DEC17	20750.00	20922.92	USD	-864.60	
05SEP17	S	1	PD05DEC17	20780.00	20922.92	USD	-714.60	
05SEP17	S	1	PD05DEC17	20745.00	20922.92	USD	-889.60	
05SEP17	S	1	PD05DEC17	20735.00	20922.92	USD	-939.60	
05SEP17	S	1	PD05DEC17	20720.00	20922.92	USD	-1,014.60	
05SEP17	S	1	PD05DEC17	20715.00	20922.92	USD	-1,039.60	
05SEP17	B	1	PD05DEC17	20715.00	20922.92	USD	1,039.60	
05SEP17	S	1	PD05DEC17	20705.00	20922.92	USD	-1,089.60	
05SEP17	S	6	PD05DEC17	20710.00	20922.92	USD	-6,387.60	
05SEP17	S	1	PD05DEC17	20680.00	20922.92	USD	-1,214.60	
05SEP17	S	2	PD05DEC17	20730.00	20922.92	USD	-1,929.20	
05SEP17	S	4	PD05DEC17	20715.00	20922.92	USD	-4,158.40	
05SEP17	S	2	PD05DEC17	20710.00	20922.92	USD	-2,129.20	
05SEP17	S	1	PD05DEC17	20695.00	20922.92	USD	-1,139.60	
05SEP17	S	3	PD05DEC17	20700.00	20922.92	USD	-3,343.80	
11SEP17	B	2	PD05DEC17	20758.24	20922.92	USD	1,646.80	
11SEP17	B	4	PD05DEC17	20759.24	20922.92	USD	3,273.60	
12SEP17	B	8	PD05DEC17	20687.11	20922.92	USD	9,432.40	
14SEP17	B	5	PD05DEC17	20545.50	20922.92	USD	9,435.50	
15SEP17	B	1	PD05DEC17	20556.24	20922.92	USD	1,833.40	
18SEP17	B	1	PD05DEC17	20716.36	20922.92	USD	1,032.80	
19SEP17	B	2	PD05DEC17	20667.39	20922.92	USD	2,555.30	
21SEP17	B	7	PD05DEC17	20482.92	20922.92	USD	15,400.00	
	NET	0 AVG BGHT 20631.11	SOLD 20715.31				13,471.80	0.00
06SEP17	B	1	PD06DEC17	20630.00	20920.83	USD	1,454.15	
06SEP17	S	1	PD06DEC17	20640.00	20920.83	USD	-1,404.15	
06SEP17	S	1	PD06DEC17	20630.00	20920.83	USD	-1,454.15	
06SEP17	S	3	PD06DEC17	20635.00	20920.83	USD	-4,287.45	
06SEP17	S	1	PD06DEC17	20680.00	20920.83	USD	-1,204.15	
06SEP17	S	1	PD06DEC17	20760.00	20920.83	USD	-804.15	
06SEP17	S	2	PD06DEC17	20765.00	20920.83	USD	-1,558.30	
06SEP17	B	1	PD06DEC17	20775.00	20920.83	USD	729.15	
11SEP17	B	1	PD06DEC17	20757.18	20920.83	USD	818.25	
12SEP17	B	2	PD06DEC17	20684.89	20920.83	USD	2,359.40	
12SEP17	B	1	PD06DEC17	20683.89	20920.83	USD	1,184.70	
14SEP17	B	1	PD06DEC17	20545.00	20920.83	USD	1,879.15	
20SEP17	B	2	PD06DEC17	20641.67	20920.83	USD	2,791.60	
	NET	0 AVG BGHT 20671.58	SOLD 20682.78				504.05	0.00
07SEP17	B	1	PD07DEC17	20750.00	20918.75	USD	843.75	
07SEP17	S	1	PD07DEC17	20765.00	20918.75	USD	-768.75	
07SEP17	S	1	PD07DEC17	20740.00	20918.75	USD	-893.75	
07SEP17	B	2	PD07DEC17	20715.00	20918.75	USD	2,037.50	
07SEP17	B	1	PD07DEC17	20730.00	20918.75	USD	943.75	
07SEP17	B	2	PD07DEC17	20755.00	20918.75	USD	1,637.50	
07SEP17	S	1	PD07DEC17	20700.00	20918.75	USD	-1,093.75	
07SEP17	B	1	PD07DEC17	20775.00	20918.75	USD	718.75	
07SEP17	B	1	PD07DEC17	20785.00	20918.75	USD	668.75	
11SEP17	S	1	PD07DEC17	20753.12	20918.75	USD	-828.15	
12SEP17	S	1	PD07DEC17	20680.67	20918.75	USD	-1,190.40	
14SEP17	S	2	PD07DEC17	20541.50	20918.75	USD	-3,772.50	
20SEP17	S	1	PD07DEC17	20639.00	20918.75	USD	-1,398.75	
	NET	0 AVG BGHT 20747.50	SOLD 20670.10				-3,096.05	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	20790.00	20916.67	USD	1,266.70	
08SEP17	B	1	PD08DEC17	20795.00	20916.67	USD	608.35	
08SEP17	B	2	PD08DEC17	20800.00	20916.67	USD	1,166.70	
08SEP17	B	1	PD08DEC17	20810.00	20916.67	USD	533.35	
08SEP17	S	1	PD08DEC17	20800.00	20916.67	USD	-583.35	
08SEP17	B	1	PD08DEC17	20740.00	20916.67	USD	883.35	
08SEP17	B	4	PD08DEC17	20750.00	20916.67	USD	3,333.40	
08SEP17	S	1	PD08DEC17	20705.00	20916.67	USD	-1,058.35	
08SEP17	S	3	PD08DEC17	20680.00	20916.67	USD	-3,550.05	
08SEP17	S	1	PD08DEC17	20695.00	20916.67	USD	-1,108.35	
08SEP17	S	1	PD08DEC17	20675.00	20916.67	USD	-1,208.35	
08SEP17	S	1	PD08DEC17	20690.00	20916.67	USD	-1,133.35	
08SEP17	B	1	PD08DEC17	20785.00	20916.67	USD	658.35	
08SEP17	B	1	PD08DEC17	20790.00	20916.67	USD	633.35	
08SEP17	S	1	PD08DEC17	20755.00	20916.67	USD	-808.35	
08SEP17	B	1	PD08DEC17	20745.00	20916.67	USD	858.35	
08SEP17	S	1	PD08DEC17	20710.00	20916.67	USD	-1,033.35	
08SEP17	S	1	PD08DEC17	20750.00	20916.67	USD	-833.35	
08SEP17	S	2	PD08DEC17	20700.00	20916.67	USD	-2,166.70	
08SEP17	S	1	PD08DEC17	20665.00	20916.67	USD	-1,258.35	
08SEP17	B	1	PD08DEC17	20560.00	20916.67	USD	1,783.35	
08SEP17	B	1	PD08DEC17	20590.00	20916.67	USD	1,633.35	
08SEP17	B	2	PD08DEC17	20545.00	20916.67	USD	3,716.70	
08SEP17	B	1	PD08DEC17	20525.00	20916.67	USD	1,958.35	
08SEP17	S	1	PD08DEC17	20630.00	20916.67	USD	-1,433.35	
08SEP17	S	1	PD08DEC17	20620.00	20916.67	USD	-1,483.35	
08SEP17	S	1	PD08DEC17	20575.00	20916.67	USD	-1,708.35	
08SEP17	S	1	PD08DEC17	20570.00	20916.67	USD	-1,733.35	
08SEP17	S	1	PD08DEC17	20520.00	20916.67	USD	-1,983.35	
08SEP17	S	1	PD08DEC17	20675.00	20916.67	USD	-1,208.35	
08SEP17	S	1	PD08DEC17	20665.00	20916.67	USD	-1,258.35	
08SEP17	S	1	PD08DEC17	20525.00	20916.67	USD	-1,958.35	
08SEP17	B	1	PD08DEC17	20525.00	20916.67	USD	1,958.35	
08SEP17	S	2	PD08DEC17	20550.00	20916.67	USD	-3,666.70	
11SEP17	S	1	PD08DEC17	20751.06	20916.67	USD	-828.05	
12SEP17	S	1	PD08DEC17	20679.44	20916.67	USD	-1,186.15	
21SEP17	B	6	PD08DEC17	20476.67	20916.67	USD	13,200.00	
	NET	0 AVG BGHT 20653.65	SOLD 20661.37				1,002.40	0.00
11SEP17	S	1	PD11DEC17	20735.00	20914.58	USD	-897.90	
11SEP17	S	1	PD11DEC17	20795.00	20914.58	USD	-597.90	
11SEP17	B	1	PD11DEC17	20710.00	20914.58	USD	1,022.90	
11SEP17	S	1	PD11DEC17	20765.00	20914.58	USD	-747.90	
11SEP17	S	1	PD11DEC17	20685.00	20914.58	USD	-1,147.90	
11SEP17	S	1	PD11DEC17	20670.00	20914.58	USD	-1,222.90	
11SEP17	S	3	PD11DEC17	20660.00	20914.58	USD	-3,818.70	
11SEP17	S	1	PD11DEC17	20655.00	20914.58	USD	-1,297.90	
11SEP17	B	1	PD11DEC17	20665.00	20914.58	USD	1,247.90	
11SEP17	B	1	PD11DEC17	20680.00	20914.58	USD	1,172.90	
11SEP17	S	2	PD11DEC17	20650.00	20914.58	USD	-2,645.80	
11SEP17	S	2	PD11DEC17	20700.00	20914.58	USD	-2,145.80	
11SEP17	B	1	PD11DEC17	20730.00	20914.58	USD	922.90	
12SEP17	B	1	PD11DEC17	20678.22	20914.58	USD	1,181.80	
20SEP17	B	3	PD11DEC17	20636.67	20914.58	USD	4,168.65	
28SEP17	B	1	PD11DEC17	20653.75	20914.58	USD	1,304.15	
29SEP17	B	1	PD11DEC17	20734.58	20914.58	USD	900.00	
	NET	-3 AVG BGHT 20676.16	SOLD 20691.15				-2,601.50	0.00
12SEP17	S	1	PD12DEC17	20715.00	20912.50	USD	-987.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	20700.00	20912.50	USD	-1,062.50	
12SEP17	S	1	PD12DEC17	20690.00	20912.50	USD	-1,112.50	
12SEP17	S	3	PD12DEC17	20675.00	20912.50	USD	-3,562.50	
12SEP17	S	1	PD12DEC17	20695.00	20912.50	USD	-1,087.50	
12SEP17	B	1	PD12DEC17	20695.00	20912.50	USD	1,087.50	
12SEP17	B	1	PD12DEC17	20720.00	20912.50	USD	962.50	
12SEP17	B	2	PD12DEC17	20700.00	20912.50	USD	2,125.00	
12SEP17	S	1	PD12DEC17	20730.00	20912.50	USD	-912.50	
15SEP17	B	1	PD12DEC17	20545.71	20912.50	USD	1,833.95	
19SEP17	S	2	PD12DEC17	20657.70	20912.50	USD	-2,548.00	
	NET	-5 AVG BGHT 20672.14	SOLD 20687.04				-5,264.05	0.00
13SEP17	B	1	PD13DEC17	20695.00	20910.42	USD	1,077.10	
13SEP17	B	2	PD13DEC17	20700.00	20910.42	USD	2,104.20	
13SEP17	S	1	PD13DEC17	20660.00	20910.42	USD	-1,252.10	
13SEP17	B	1	PD13DEC17	20665.00	20910.42	USD	1,227.10	
13SEP17	S	1	PD13DEC17	20655.00	20910.42	USD	-1,277.10	
13SEP17	S	1	PD13DEC17	20650.00	20910.42	USD	-1,302.10	
13SEP17	S	1	PD13DEC17	20705.00	20910.42	USD	-1,027.10	
13SEP17	S	1	PD13DEC17	20720.00	20910.42	USD	-952.10	
13SEP17	B	1	PD13DEC17	20525.00	20910.42	USD	1,927.10	
13SEP17	S	3	PD13DEC17	20575.00	20910.42	USD	-5,031.30	
14SEP17	S	3	PD13DEC17	20535.50	20910.42	USD	-5,623.80	
21SEP17	B	2	PD13DEC17	20470.42	20910.42	USD	4,400.00	
	NET	-4 AVG BGHT 20603.69	SOLD 20611.05				-5,730.10	0.00
14SEP17	S	1	PD14DEC17	20630.00	20908.33	USD	-1,391.65	
14SEP17	B	1	PD14DEC17	20665.00	20908.33	USD	1,216.65	
14SEP17	B	1	PD14DEC17	20680.00	20908.33	USD	1,141.65	
14SEP17	B	4	PD14DEC17	20675.00	20908.33	USD	4,666.60	
14SEP17	S	1	PD14DEC17	20625.00	20908.33	USD	-1,416.65	
14SEP17	B	1	PD14DEC17	20620.00	20908.33	USD	1,441.65	
14SEP17	S	1	PD14DEC17	20600.00	20908.33	USD	-1,541.65	
14SEP17	S	1	PD14DEC17	20615.00	20908.33	USD	-1,466.65	
14SEP17	S	1	PD14DEC17	20600.00	20908.33	USD	-1,541.65	
14SEP17	B	1	PD14DEC17	20630.00	20908.33	USD	1,391.65	
14SEP17	S	1	PD14DEC17	20675.00	20908.33	USD	-1,166.65	
14SEP17	B	1	PD14DEC17	20615.00	20908.33	USD	1,466.65	
14SEP17	B	2	PD14DEC17	20600.00	20908.33	USD	3,083.30	
14SEP17	B	1	PD14DEC17	20595.00	20908.33	USD	1,566.65	
14SEP17	B	1	PD14DEC17	20665.00	20908.33	USD	1,216.65	
14SEP17	S	1	PD14DEC17	20655.00	20908.33	USD	-1,266.65	
14SEP17	B	1	PD14DEC17	20550.00	20908.33	USD	1,791.65	
14SEP17	S	1	PD14DEC17	20575.00	20908.33	USD	-1,666.65	
15SEP17	S	1	PD14DEC17	20540.90	20908.33	USD	-1,837.15	
18SEP17	S	1	PD14DEC17	20702.64	20908.33	USD	-1,028.45	
20SEP17	S	2	PD14DEC17	20630.67	20908.33	USD	-2,776.60	
21SEP17	S	1	PD14DEC17	20467.33	20908.33	USD	-2,205.00	
	NET	+1 AVG BGHT 20637.14	SOLD 20611.32				-322.30	0.00
15SEP17	S	1	PD15DEC17	20570.00	20906.25	USD	-1,681.25	
15SEP17	S	1	PD15DEC17	20555.00	20906.25	USD	-1,756.25	
15SEP17	S	1	PD15DEC17	20550.00	20906.25	USD	-1,781.25	
15SEP17	S	1	PD15DEC17	20515.00	20906.25	USD	-1,956.25	
15SEP17	S	1	PD15DEC17	20505.00	20906.25	USD	-2,006.25	
15SEP17	S	2	PD15DEC17	20500.00	20906.25	USD	-4,062.50	
15SEP17	S	1	PD15DEC17	20525.00	20906.25	USD	-1,906.25	
15SEP17	S	1	PD15DEC17	20530.00	20906.25	USD	-1,881.25	
15SEP17	S	1	PD15DEC17	20520.00	20906.25	USD	-1,931.25	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	20515.00	20906.25	USD	-1,956.25	
15SEP17	S	2	PD15DEC17	20540.00	20906.25	USD	-3,662.50	
15SEP17	S	1	PD15DEC17	20535.00	20906.25	USD	-1,856.25	
15SEP17	B	1	PD15DEC17	20475.00	20906.25	USD	2,156.25	
15SEP17	B	2	PD15DEC17	20440.00	20906.25	USD	4,662.50	
26SEP17	B	1	PD15DEC17	20743.50	20906.25	USD	813.75	
03OCT17	B	1	PD15DEC17	20843.75	20906.25	USD	312.50	
	NET	-9 AVG	BGHT 20588.45	SOLD 20528.57			-18,492.50	0.00
18SEP17	B	2	PD18DEC17	20600.00	20904.17	USD	3,041.70	
18SEP17	B	1	PD18DEC17	20590.00	20904.17	USD	1,570.85	
18SEP17	S	1	PD18DEC17	20685.00	20904.17	USD	-1,095.85	
18SEP17	B	1	PD18DEC17	20605.00	20904.17	USD	1,495.85	
18SEP17	S	1	PD18DEC17	20650.00	20904.17	USD	-1,270.85	
18SEP17	S	1	PD18DEC17	20670.00	20904.17	USD	-1,170.85	
18SEP17	S	1	PD18DEC17	20700.00	20904.17	USD	-1,020.85	
18SEP17	S	1	PD18DEC17	20680.00	20904.17	USD	-1,120.85	
18SEP17	S	1	PD18DEC17	20660.00	20904.17	USD	-1,220.85	
18SEP17	S	1	PD18DEC17	20655.00	20904.17	USD	-1,245.85	
18SEP17	S	1	PD18DEC17	20630.00	20904.17	USD	-1,370.85	
18SEP17	B	1	PD18DEC17	20640.00	20904.17	USD	1,320.85	
18SEP17	B	1	PD18DEC17	20645.00	20904.17	USD	1,295.85	
18SEP17	S	1	PD18DEC17	20645.00	20904.17	USD	-1,295.85	
18SEP17	S	1	PD18DEC17	20635.00	20904.17	USD	-1,345.85	
18SEP17	B	1	PD18DEC17	20635.00	20904.17	USD	1,345.85	
18SEP17	B	1	PD18DEC17	20670.00	20904.17	USD	1,170.85	
18SEP17	S	1	PD18DEC17	20670.00	20904.17	USD	-1,170.85	
18SEP17	S	1	PD18DEC17	20675.00	20904.17	USD	-1,145.85	
18SEP17	S	1	PD18DEC17	20655.00	20904.17	USD	-1,245.85	
18SEP17	B	1	PD18DEC17	20650.00	20904.17	USD	1,270.85	
26SEP17	B	1	PD18DEC17	20741.00	20904.17	USD	815.85	
	NET	-3 AVG	BGHT 20637.60	SOLD 20662.31			-2,392.55	0.00
19SEP17	S	3	PD19DEC17	20645.00	20902.08	USD	-3,856.20	
19SEP17	B	1	PD19DEC17	20670.00	20902.08	USD	1,160.40	
19SEP17	B	1	PD19DEC17	20695.00	20902.08	USD	1,035.40	
19SEP17	B	1	PD19DEC17	20695.00	20902.08	USD	1,035.40	
19SEP17	B	10	PD19DEC17	20700.00	20902.08	USD	10,104.00	
20SEP17	S	3	PD19DEC17	20625.67	20902.08	USD	-4,146.15	
21SEP17	S	5	PD19DEC17	20461.08	20902.08	USD	-11,025.00	
	NET	+2 AVG	BGHT 20696.92	SOLD 20556.13			-5,692.15	0.00
20SEP17	B	1	PD20DEC17	20720.00	20900.00	USD	900.00	
20SEP17	B	1	PD20DEC17	20705.00	20900.00	USD	975.00	
20SEP17	B	2	PD20DEC17	20715.00	20900.00	USD	1,850.00	
20SEP17	B	1	PD20DEC17	20745.00	20900.00	USD	775.00	
20SEP17	B	1	PD20DEC17	20770.00	20900.00	USD	650.00	
20SEP17	B	2	PD20DEC17	20765.00	20900.00	USD	1,350.00	
20SEP17	S	1	PD20DEC17	20720.00	20900.00	USD	-900.00	
20SEP17	B	1	PD20DEC17	20720.00	20900.00	USD	900.00	
20SEP17	B	2	PD20DEC17	20730.00	20900.00	USD	1,700.00	
20SEP17	B	1	PD20DEC17	20760.00	20900.00	USD	700.00	
20SEP17	B	2	PD20DEC17	20745.00	20900.00	USD	1,550.00	
20SEP17	S	1	PD20DEC17	20745.00	20900.00	USD	-775.00	
20SEP17	B	1	PD20DEC17	20750.00	20900.00	USD	750.00	
20SEP17	S	1	PD20DEC17	20730.00	20900.00	USD	-850.00	
20SEP17	B	2	PD20DEC17	20655.00	20900.00	USD	2,450.00	
20SEP17	B	2	PD20DEC17	20710.00	20900.00	USD	1,900.00	
20SEP17	B	1	PD20DEC17	20675.00	20900.00	USD	1,125.00	

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20SEP17	B	1	PD20DEC17		20670.00	20900.00	USD	1,150.00	
21SEP17	S	9	PD20DEC17		20459.00	20900.00	USD	-19,845.00	
	NET	+9 AVG	BGHT 20721.67	SOLD 20527.17				-3,645.00	0.00
21SEP17	S	1	PD21DEC17		20630.00	20897.69	USD	-1,338.45	
21SEP17	S	1	PD21DEC17		20595.00	20897.69	USD	-1,513.45	
21SEP17	S	1	PD21DEC17		20560.00	20897.69	USD	-1,688.45	
21SEP17	S	1	PD21DEC17		20535.00	20897.69	USD	-1,813.45	
21SEP17	S	1	PD21DEC17		20555.00	20897.69	USD	-1,713.45	
21SEP17	B	1	PD21DEC17		20580.00	20897.69	USD	1,588.45	
21SEP17	B	1	PD21DEC17		20570.00	20897.69	USD	1,638.45	
21SEP17	S	1	PD21DEC17		20630.00	20897.69	USD	-1,338.45	
21SEP17	S	1	PD21DEC17		20580.00	20897.69	USD	-1,588.45	
21SEP17	S	1	PD21DEC17		20535.00	20897.69	USD	-1,813.45	
21SEP17	B	1	PD21DEC17		20585.00	20897.69	USD	1,563.45	
21SEP17	S	1	PD21DEC17		20600.00	20897.69	USD	-1,488.45	
21SEP17	B	1	PD21DEC17		20500.00	20897.69	USD	1,988.45	
21SEP17	B	1	PD21DEC17		20480.00	20897.69	USD	2,088.45	
21SEP17	S	1	PD21DEC17		20410.00	20897.69	USD	-2,438.45	
21SEP17	S	1	PD21DEC17		20380.00	20897.69	USD	-2,588.45	
21SEP17	B	1	PD21DEC17		20395.00	20897.69	USD	2,513.45	
21SEP17	B	1	PD21DEC17		20420.00	20897.69	USD	2,388.45	
21SEP17	B	1	PD21DEC17		20400.00	20897.69	USD	2,488.45	
21SEP17	B	1	PD21DEC17		20385.00	20897.69	USD	2,563.45	
21SEP17	B	2	PD21DEC17		20390.00	20897.69	USD	5,076.90	
21SEP17	S	1	PD21DEC17		20400.00	20897.69	USD	-2,488.45	
21SEP17	B	1	PD21DEC17		20390.00	20897.69	USD	2,538.45	
26SEP17	S	2	PD21DEC17		20726.33	20897.69	USD	-1,713.60	
03OCT17	B	1	PD21DEC17		20833.40	20897.69	USD	321.45	
	NET	-1 AVG	BGHT 20486.03	SOLD 20561.62				3,232.85	0.00
22SEP17	S	1	PD22DEC17		20455.00	20895.38	USD	-2,201.90	
22SEP17	S	1	PD22DEC17		20470.00	20895.38	USD	-2,126.90	
22SEP17	S	2	PD22DEC17		20425.00	20895.38	USD	-4,703.80	
22SEP17	S	1	PD22DEC17		20565.00	20895.38	USD	-1,651.90	
22SEP17	B	1	PD22DEC17		20565.00	20895.38	USD	1,651.90	
22SEP17	B	1	PD22DEC17		20580.00	20895.38	USD	1,576.90	
22SEP17	S	1	PD22DEC17		20560.00	20895.38	USD	-1,676.90	
22SEP17	B	1	PD22DEC17		20575.00	20895.38	USD	1,601.90	
22SEP17	S	3	PD22DEC17		20570.00	20895.38	USD	-4,880.70	
22SEP17	B	1	PD22DEC17		20590.00	20895.38	USD	1,526.90	
22SEP17	S	1	PD22DEC17		20555.00	20895.38	USD	-1,701.90	
22SEP17	B	1	PD22DEC17		20595.00	20895.38	USD	1,501.90	
22SEP17	S	2	PD22DEC17		20580.00	20895.38	USD	-3,153.80	
22SEP17	S	1	PD22DEC17		20570.00	20895.38	USD	-1,626.90	
22SEP17	B	1	PD22DEC17		20570.00	20895.38	USD	1,626.90	
	NET	-7 AVG	BGHT 20579.17	SOLD 20530.38				-14,238.30	0.00
25SEP17	S	1	PD27DEC17		20635.00	20893.08	USD	-1,290.40	
25SEP17	B	1	PD27DEC17		20595.00	20893.08	USD	1,490.40	
25SEP17	B	2	PD27DEC17		20600.00	20893.08	USD	2,930.80	
25SEP17	B	1	PD27DEC17		20590.00	20893.08	USD	1,515.40	
25SEP17	S	1	PD27DEC17		20600.00	20893.08	USD	-1,465.40	
25SEP17	S	1	PD27DEC17		20640.00	20893.08	USD	-1,265.40	
25SEP17	S	1	PD27DEC17		20600.00	20893.08	USD	-1,465.40	
25SEP17	B	1	PD27DEC17		20655.00	20893.08	USD	1,190.40	
25SEP17	S	1	PD27DEC17		20705.00	20893.08	USD	-940.40	
25SEP17	S	1	PD27DEC17		20700.00	20893.08	USD	-965.40	
25SEP17	B	1	PD27DEC17		20645.00	20893.08	USD	1,240.40	

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26SEP17	B	1	PD27DEC17	20735.00	20893.08	USD	790.40	
26SEP17	S	2	PD27DEC17	20720.00	20893.08	USD	-1,730.80	
26SEP17	B	2	PD27DEC17	20730.00	20893.08	USD	1,630.80	
26SEP17	S	1	PD27DEC17	20655.00	20893.08	USD	-1,190.40	
27SEP17	B	1	PD27DEC17	20660.00	20893.08	USD	1,165.40	
27SEP17	B	1	PD27DEC17	20720.00	20893.08	USD	865.40	
27SEP17	S	1	PD27DEC17	20660.00	20893.08	USD	-1,165.40	
27SEP17	S	2	PD27DEC17	20650.00	20893.08	USD	-2,430.80	
27SEP17	S	2	PD27DEC17	20680.00	20893.08	USD	-2,130.80	
28SEP17	S	1	PD27DEC17	20610.25	20893.08	USD	-1,414.15	
	NET	-4 AVG	BGHT 20660.00				-4,635.35	0.00
28SEP17	S	1	PD28DEC17	20610.00	20890.77	USD	-1,403.85	
28SEP17	B	1	PD28DEC17	20680.00	20890.77	USD	1,053.85	
29SEP17	S	1	PD28DEC17	20683.00	20890.77	USD	-1,038.85	
03OCT17	S	1	PD28DEC17	20812.60	20890.77	USD	-390.85	
	NET	-2 AVG	BGHT 20680.00				-1,779.70	0.00
29SEP17	B	1	PD29DEC17	20720.00	20888.46	USD	842.30	
29SEP17	S	1	PD29DEC17	20675.00	20888.46	USD	-1,067.30	
03OCT17	S	1	PD29DEC17	20806.00	20888.46	USD	-412.30	
	NET	-1 AVG	BGHT 20720.00				-637.30	0.00
09OCT17	B	1	PD09JAN18	20720.00	20874.62	USD	773.10	
09OCT17	B	1	PD09JAN18	20700.00	20874.62	USD	873.10	
09OCT17	B	1	PD09JAN18	20690.00	20874.62	USD	923.10	
09OCT17	B	1	PD09JAN18	20745.00	20874.62	USD	648.10	
09OCT17	B	1	PD09JAN18	20725.00	20874.62	USD	748.10	
09OCT17	B	2	PD09JAN18	20740.00	20874.62	USD	1,346.20	
09OCT17	B	1	PD09JAN18	20765.00	20874.62	USD	548.10	
	NET	+8 AVG	BGHT 20728.13				5,859.80	0.00
11OCT17	S	1	PD11JAN18	20820.00	20870.00	USD	-250.00	
	NET	-1 AVG	SOLD 20820.00				-250.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2823.00	3299.33	USD	11,908.25	
12JUL17	B	1	PD12OCT17	2836.50	3299.33	USD	11,570.75	
12JUL17	S	1	PD12OCT17	2827.50	3299.33	USD	-11,795.75	
12JUL17	S	1	PD12OCT17	2819.50	3299.33	USD	-11,995.75	
12JUL17	S	1	PD12OCT17	2831.50	3299.33	USD	-11,695.75	
12JUL17	S	1	PD12OCT17	2831.00	3299.33	USD	-11,708.25	
12JUL17	S	1	PD12OCT17	2835.50	3299.33	USD	-11,595.75	
12JUL17	S	1	PD12OCT17	2839.00	3299.33	USD	-11,508.25	
12JUL17	S	1	PD12OCT17	2839.50	3299.33	USD	-11,495.75	
12JUL17	S	1	PD12OCT17	2840.50	3299.33	USD	-11,470.75	
12JUL17	S	2	PD12OCT17	2841.00	3299.33	USD	-22,916.50	
12JUL17	S	3	PD12OCT17	2847.00	3299.33	USD	-33,924.75	
12JUL17	B	1	PD12OCT17	2847.00	3299.33	USD	11,308.25	
12JUL17	S	1	PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	S	1	PD12OCT17	2820.50	3299.33	USD	-11,970.75	
12JUL17	B	1	PD12OCT17	2856.00	3299.33	USD	11,083.25	
12JUL17	B	1	PD12OCT17	2857.00	3299.33	USD	11,058.25	
12JUL17	S	2	PD12OCT17	2856.00	3299.33	USD	-22,166.50	
12JUL17	B	1	PD12OCT17	2848.50	3299.33	USD	11,270.75	
12JUL17	S	1	PD12OCT17	2845.50	3299.33	USD	-11,345.75	
12JUL17	S	1	PD12OCT17	2850.00	3299.33	USD	-11,233.25	
12JUL17	S	1	PD12OCT17	2846.50	3299.33	USD	-11,320.75	
12JUL17	S	1	PD12OCT17	2849.50	3299.33	USD	-11,245.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	2830.50	3299.33	USD	11,720.75	
12JUL17	B	1	PD120CT17	2849.00	3299.33	USD	11,258.25	
12JUL17	B	1	PD120CT17	2830.00	3299.33	USD	11,733.25	
12JUL17	B	1	PD120CT17	2828.50	3299.33	USD	11,770.75	
12JUL17	B	1	PD120CT17	2827.50	3299.33	USD	11,795.75	
12JUL17	B	1	PD120CT17	2824.50	3299.33	USD	11,870.75	
12JUL17	B	1	PD120CT17	2823.00	3299.33	USD	11,908.25	
19JUL17	B	1	PD120CT17	2746.46	3299.33	USD	13,821.75	
03AUG17	B	6	PD120CT17	2787.88	3299.33	USD	76,717.50	
08AUG17	B	1	PD120CT17	2923.46	3299.33	USD	9,396.75	
	NET	0 AVG BGHT 2822.77	SOLD 2841.38				9,770.00	0.00
13JUL17	S	1	PD130CT17	2814.50	3295.00	USD	-12,012.50	
13JUL17	B	1	PD130CT17	2823.50	3295.00	USD	11,787.50	
13JUL17	S	1	PD130CT17	2839.50	3295.00	USD	-11,387.50	
13JUL17	S	1	PD130CT17	2841.50	3295.00	USD	-11,337.50	
13JUL17	S	1	PD130CT17	2840.00	3295.00	USD	-11,375.00	
13JUL17	S	2	PD130CT17	2842.50	3295.00	USD	-22,625.00	
13JUL17	B	1	PD130CT17	2840.00	3295.00	USD	11,375.00	
13JUL17	B	1	PD130CT17	2828.50	3295.00	USD	11,662.50	
13JUL17	B	2	PD130CT17	2833.50	3295.00	USD	23,075.00	
13JUL17	S	1	PD130CT17	2830.50	3295.00	USD	-11,612.50	
13JUL17	S	2	PD130CT17	2831.00	3295.00	USD	-23,200.00	
13JUL17	S	2	PD130CT17	2831.50	3295.00	USD	-23,175.00	
13JUL17	S	1	PD130CT17	2832.50	3295.00	USD	-11,562.50	
13JUL17	S	1	PD130CT17	2807.00	3295.00	USD	-12,200.00	
13JUL17	S	1	PD130CT17	2810.00	3295.00	USD	-12,125.00	
13JUL17	B	1	PD130CT17	2804.50	3295.00	USD	12,262.50	
13JUL17	B	1	PD130CT17	2804.00	3295.00	USD	12,275.00	
13JUL17	S	1	PD130CT17	2804.00	3295.00	USD	-12,275.00	
14JUL17	S	1	PD130CT17	2785.50	3295.00	USD	-12,737.50	
14JUL17	B	1	PD130CT17	2793.00	3295.00	USD	12,550.00	
14JUL17	S	1	PD130CT17	2793.00	3295.00	USD	-12,550.00	
14JUL17	B	2	PD130CT17	2788.00	3295.00	USD	25,350.00	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	B	2	PD130CT17	2764.50	3295.00	USD	26,525.00	
14JUL17	B	2	PD130CT17	2763.50	3295.00	USD	26,575.00	
14JUL17	B	1	PD130CT17	2764.00	3295.00	USD	13,275.00	
14JUL17	B	1	PD130CT17	2766.50	3295.00	USD	13,212.50	
14JUL17	B	1	PD130CT17	2788.50	3295.00	USD	12,662.50	
14JUL17	B	1	PD130CT17	2774.00	3295.00	USD	13,025.00	
14JUL17	B	1	PD130CT17	2772.50	3295.00	USD	13,062.50	
14JUL17	B	1	PD130CT17	2773.00	3295.00	USD	13,050.00	
14JUL17	B	1	PD130CT17	2770.50	3295.00	USD	13,112.50	
14JUL17	B	1	PD130CT17	2780.50	3295.00	USD	12,862.50	
14JUL17	S	6	PD130CT17	2780.50	3295.00	USD	-77,175.00	
14JUL17	B	2	PD130CT17	2783.00	3295.00	USD	25,600.00	
14JUL17	S	1	PD130CT17	2781.00	3295.00	USD	-12,850.00	
14JUL17	B	1	PD130CT17	2780.00	3295.00	USD	12,875.00	
14JUL17	B	3	PD130CT17	2781.00	3295.00	USD	38,550.00	
14JUL17	B	4	PD130CT17	2782.00	3295.00	USD	51,300.00	
14JUL17	B	1	PD130CT17	2786.00	3295.00	USD	12,725.00	
14JUL17	B	1	PD130CT17	2782.50	3295.00	USD	12,812.50	
14JUL17	B	1	PD130CT17	2772.50	3295.00	USD	13,062.50	
14JUL17	B	1	PD130CT17	2773.00	3295.00	USD	13,050.00	
14JUL17	B	1	PD130CT17	2782.00	3295.00	USD	12,825.00	
14JUL17	B	1	PD130CT17	2794.50	3295.00	USD	12,512.50	
14JUL17	B	1	PD130CT17	2789.00	3295.00	USD	12,650.00	

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14JUL17	B	2	PD130CT17	2790.00	3295.00	USD	25,250.00	
14JUL17	B	1	PD130CT17	2789.50	3295.00	USD	12,637.50	
19JUL17	S	3	PD130CT17	2746.48	3295.00	USD	-41,139.00	
26JUL17	S	4	PD130CT17	2807.93	3295.00	USD	-48,707.00	
27JUL17	S	5	PD130CT17	2799.76	3295.00	USD	-61,905.00	
28JUL17	S	5	PD130CT17	2774.11	3295.00	USD	-65,111.25	
08AUG17	S	1	PD130CT17	2923.43	3295.00	USD	-9,289.25	
10AUG17	S	1	PD130CT17	2934.79	3295.00	USD	-9,005.25	
	NET	0AVG BGHT 2786.35	SOLD 2806.30				21,443.25	0.00
17JUL17	S	1	PD170CT17	2788.00	3286.33	USD	-12,458.25	
17JUL17	S	1	PD170CT17	2817.50	3286.33	USD	-11,720.75	
17JUL17	S	1	PD170CT17	2816.50	3286.33	USD	-11,745.75	
17JUL17	S	1	PD170CT17	2817.00	3286.33	USD	-11,733.25	
17JUL17	S	1	PD170CT17	2813.00	3286.33	USD	-11,833.25	
17JUL17	S	2	PD170CT17	2823.50	3286.33	USD	-23,141.50	
17JUL17	S	1	PD170CT17	2819.00	3286.33	USD	-11,683.25	
17JUL17	B	1	PD170CT17	2823.50	3286.33	USD	11,570.75	
17JUL17	B	3	PD170CT17	2783.00	3286.33	USD	37,749.75	
17JUL17	S	2	PD170CT17	2788.50	3286.33	USD	-24,891.50	
17JUL17	B	1	PD170CT17	2815.00	3286.33	USD	11,783.25	
17JUL17	S	1	PD170CT17	2809.50	3286.33	USD	-11,920.75	
17JUL17	S	1	PD170CT17	2818.50	3286.33	USD	-11,695.75	
17JUL17	S	2	PD170CT17	2804.50	3286.33	USD	-24,091.50	
17JUL17	S	1	PD170CT17	2823.00	3286.33	USD	-11,583.25	
17JUL17	B	1	PD170CT17	2828.50	3286.33	USD	11,445.75	
17JUL17	S	2	PD170CT17	2830.50	3286.33	USD	-22,791.50	
17JUL17	S	1	PD170CT17	2836.50	3286.33	USD	-11,245.75	
17JUL17	B	1	PD170CT17	2824.50	3286.33	USD	11,545.75	
17JUL17	S	1	PD170CT17	2843.00	3286.33	USD	-11,083.25	
17JUL17	S	1	PD170CT17	2843.50	3286.33	USD	-11,070.75	
17JUL17	B	1	PD170CT17	2824.00	3286.33	USD	11,558.25	
17JUL17	B	1	PD170CT17	2825.50	3286.33	USD	11,520.75	
17JUL17	S	1	PD170CT17	2822.00	3286.33	USD	-11,608.25	
17JUL17	B	1	PD170CT17	2835.00	3286.33	USD	11,283.25	
17JUL17	B	1	PD170CT17	2826.50	3286.33	USD	11,495.75	
17JUL17	S	1	PD170CT17	2829.00	3286.33	USD	-11,433.25	
17JUL17	B	1	PD170CT17	2832.50	3286.33	USD	11,345.75	
17JUL17	S	1	PD170CT17	2832.50	3286.33	USD	-11,345.75	
17JUL17	B	2	PD170CT17	2829.00	3286.33	USD	22,866.50	
17JUL17	B	1	PD170CT17	2830.50	3286.33	USD	11,395.75	
17JUL17	B	1	PD170CT17	2831.00	3286.33	USD	11,383.25	
17JUL17	S	2	PD170CT17	2836.00	3286.33	USD	-22,516.50	
17JUL17	S	1	PD170CT17	2831.00	3286.33	USD	-11,383.25	
17JUL17	B	1	PD170CT17	2833.50	3286.33	USD	11,320.75	
17JUL17	B	1	PD170CT17	2818.50	3286.33	USD	11,695.75	
17JUL17	S	1	PD170CT17	2818.00	3286.33	USD	-11,708.25	
17JUL17	B	2	PD170CT17	2817.00	3286.33	USD	23,466.50	
17JUL17	B	2	PD170CT17	2816.00	3286.33	USD	23,516.50	
17JUL17	B	1	PD170CT17	2812.00	3286.33	USD	11,858.25	
17JUL17	S	2	PD170CT17	2814.00	3286.33	USD	-23,616.50	
17JUL17	B	2	PD170CT17	2815.50	3286.33	USD	23,541.50	
17JUL17	S	1	PD170CT17	2820.00	3286.33	USD	-11,658.25	
17JUL17	S	2	PD170CT17	2819.00	3286.33	USD	-23,366.50	
19JUL17	B	1	PD170CT17	2747.54	3286.33	USD	13,469.75	
19JUL17	S	2	PD170CT17	2747.34	3286.33	USD	-26,949.50	
26JUL17	B	4	PD170CT17	2808.99	3286.33	USD	47,734.00	
26JUL17	B	1	PD170CT17	2808.79	3286.33	USD	11,938.50	

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27JUL17	S	1	PD17OCT17	2800.95	3286.33	USD	-12,134.50	
03AUG17	S	3	PD17OCT17	2789.03	3286.33	USD	-37,297.50	
08AUG17	B	1	PD17OCT17	2924.33	3286.33	USD	9,050.00	
10AUG17	B	1	PD17OCT17	2935.76	3286.33	USD	8,764.25	
10AUG17	B	5	PD17OCT17	2935.56	3286.33	USD	43,846.25	
	NET	0AVG BGHT 2836.70	SOLD 2812.95				-22,561.50	0.00
18JUL17	B	1	PD18OCT17	2825.00	3282.00	USD	11,425.00	
18JUL17	S	1	PD18OCT17	2799.00	3282.00	USD	-12,075.00	
18JUL17	B	1	PD18OCT17	2798.50	3282.00	USD	12,087.50	
18JUL17	S	1	PD18OCT17	2790.50	3282.00	USD	-12,287.50	
18JUL17	B	1	PD18OCT17	2797.00	3282.00	USD	12,125.00	
18JUL17	B	1	PD18OCT17	2792.50	3282.00	USD	12,237.50	
18JUL17	B	1	PD18OCT17	2774.50	3282.00	USD	12,687.50	
18JUL17	B	1	PD18OCT17	2797.50	3282.00	USD	12,112.50	
18JUL17	S	1	PD18OCT17	2801.50	3282.00	USD	-12,012.50	
18JUL17	S	1	PD18OCT17	2795.00	3282.00	USD	-12,175.00	
18JUL17	B	1	PD18OCT17	2776.00	3282.00	USD	12,650.00	
18JUL17	B	2	PD18OCT17	2778.00	3282.00	USD	25,200.00	
18JUL17	B	1	PD18OCT17	2786.00	3282.00	USD	12,400.00	
18JUL17	B	2	PD18OCT17	2785.50	3282.00	USD	24,825.00	
18JUL17	B	2	PD18OCT17	2783.00	3282.00	USD	24,950.00	
18JUL17	B	1	PD18OCT17	2780.00	3282.00	USD	12,550.00	
18JUL17	B	1	PD18OCT17	2781.50	3282.00	USD	12,512.50	
18JUL17	B	1	PD18OCT17	2782.00	3282.00	USD	12,500.00	
18JUL17	B	1	PD18OCT17	2780.50	3282.00	USD	12,537.50	
18JUL17	B	1	PD18OCT17	2784.50	3282.00	USD	12,437.50	
18JUL17	B	1	PD18OCT17	2784.00	3282.00	USD	12,450.00	
18JUL17	B	2	PD18OCT17	2788.00	3282.00	USD	24,700.00	
18JUL17	S	1	PD18OCT17	2788.50	3282.00	USD	-12,337.50	
18JUL17	S	3	PD18OCT17	2788.00	3282.00	USD	-37,050.00	
18JUL17	S	2	PD18OCT17	2787.50	3282.00	USD	-24,725.00	
18JUL17	B	1	PD18OCT17	2788.50	3282.00	USD	12,337.50	
18JUL17	S	3	PD18OCT17	2787.00	3282.00	USD	-37,125.00	
18JUL17	S	4	PD18OCT17	2786.50	3282.00	USD	-49,550.00	
18JUL17	S	1	PD18OCT17	2784.50	3282.00	USD	-12,437.50	
18JUL17	B	1	PD18OCT17	2792.00	3282.00	USD	12,250.00	
18JUL17	S	1	PD18OCT17	2791.50	3282.00	USD	-12,262.50	
18JUL17	S	5	PD18OCT17	2791.00	3282.00	USD	-61,375.00	
18JUL17	B	2	PD18OCT17	2783.50	3282.00	USD	24,925.00	
18JUL17	B	1	PD18OCT17	2784.00	3282.00	USD	12,450.00	
18JUL17	B	1	PD18OCT17	2782.00	3282.00	USD	12,500.00	
18JUL17	B	1	PD18OCT17	2784.50	3282.00	USD	12,437.50	
18JUL17	S	1	PD18OCT17	2787.00	3282.00	USD	-12,375.00	
18JUL17	S	2	PD18OCT17	2795.00	3282.00	USD	-24,350.00	
18JUL17	S	1	PD18OCT17	2793.00	3282.00	USD	-12,225.00	
19JUL17	S	1	PD18OCT17	2747.55	3282.00	USD	-13,361.25	
26JUL17	B	2	PD18OCT17	2809.00	3282.00	USD	23,650.00	
28JUL17	S	3	PD18OCT17	2775.75	3282.00	USD	-37,968.75	
03AUG17	B	2	PD18OCT17	2789.50	3282.00	USD	24,625.00	
10AUG17	S	1	PD18OCT17	2935.55	3282.00	USD	-8,661.25	
10AUG17	B	1	PD18OCT17	2935.95	3282.00	USD	8,651.25	
15AUG17	S	1	PD18OCT17	2957.50	3282.00	USD	-8,112.50	
	NET	0AVG BGHT 2792.34	SOLD 2796.75				3,747.50	0.00
19JUL17	B	1	PD19OCT17	2778.50	3277.50	USD	12,475.00	
19JUL17	B	1	PD19OCT17	2778.00	3277.50	USD	12,487.50	
19JUL17	S	1	PD19OCT17	2778.00	3277.50	USD	-12,487.50	
19JUL17	B	1	PD19OCT17	2790.00	3277.50	USD	12,187.50	

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19JUL17	S	1	PD19OCT17	2791.00	3277.50	USD	-12,162.50	
19JUL17	B	1	PD19OCT17	2794.50	3277.50	USD	12,075.00	
19JUL17	S	1	PD19OCT17	2798.00	3277.50	USD	-11,987.50	
19JUL17	B	1	PD19OCT17	2775.00	3277.50	USD	12,562.50	
19JUL17	B	2	PD19OCT17	2773.00	3277.50	USD	25,225.00	
19JUL17	B	1	PD19OCT17	2775.00	3277.50	USD	12,562.50	
19JUL17	B	1	PD19OCT17	2772.00	3277.50	USD	12,637.50	
19JUL17	B	3	PD19OCT17	2773.50	3277.50	USD	37,800.00	
19JUL17	S	3	PD19OCT17	2776.00	3277.50	USD	-37,612.50	
19JUL17	S	3	PD19OCT17	2774.00	3277.50	USD	-37,762.50	
19JUL17	S	3	PD19OCT17	2775.50	3277.50	USD	-37,650.00	
19JUL17	B	1	PD19OCT17	2776.50	3277.50	USD	12,525.00	
19JUL17	S	1	PD19OCT17	2773.50	3277.50	USD	-12,600.00	
19JUL17	B	2	PD19OCT17	2775.50	3277.50	USD	25,100.00	
19JUL17	B	1	PD19OCT17	2776.00	3277.50	USD	12,537.50	
19JUL17	B	1	PD19OCT17	2763.50	3277.50	USD	12,850.00	
19JUL17	S	1	PD19OCT17	2758.00	3277.50	USD	-12,987.50	
19JUL17	B	1	PD19OCT17	2748.00	3277.50	USD	13,237.50	
19JUL17	B	1	PD19OCT17	2773.50	3277.50	USD	12,600.00	
19JUL17	B	1	PD19OCT17	2774.00	3277.50	USD	12,587.50	
19JUL17	B	1	PD19OCT17	2777.00	3277.50	USD	12,512.50	
19JUL17	S	2	PD19OCT17	2774.00	3277.50	USD	-25,175.00	
19JUL17	B	2	PD19OCT17	2743.50	3277.50	USD	26,700.00	
19JUL17	B	1	PD19OCT17	2736.00	3277.50	USD	13,537.50	
19JUL17	S	1	PD19OCT17	2737.00	3277.50	USD	-13,512.50	
27JUL17	S	1	PD19OCT17	2800.13	3277.50	USD	-11,934.25	
03AUG17	S	3	PD19OCT17	2788.55	3277.50	USD	-36,671.25	
10AUG17	S	2	PD19OCT17	2934.80	3277.50	USD	-17,135.00	
15AUG17	S	1	PD19OCT17	2956.75	3277.50	USD	-8,018.75	
	NET	0	AVG BGHT 2770.50	SOLD 2798.01			16,503.25	0.00
20JUL17	S	1	PD20OCT17	2743.00	3273.00	USD	-13,250.00	
20JUL17	B	1	PD20OCT17	2745.00	3273.00	USD	13,200.00	
20JUL17	B	1	PD20OCT17	2745.50	3273.00	USD	13,187.50	
20JUL17	S	1	PD20OCT17	2736.50	3273.00	USD	-13,412.50	
20JUL17	S	1	PD20OCT17	2737.00	3273.00	USD	-13,400.00	
20JUL17	B	1	PD20OCT17	2732.00	3273.00	USD	13,525.00	
20JUL17	B	1	PD20OCT17	2729.50	3273.00	USD	13,587.50	
20JUL17	B	1	PD20OCT17	2736.00	3273.00	USD	13,425.00	
20JUL17	B	1	PD20OCT17	2746.50	3273.00	USD	13,162.50	
20JUL17	S	2	PD20OCT17	2749.00	3273.00	USD	-26,200.00	
20JUL17	B	1	PD20OCT17	2749.00	3273.00	USD	13,100.00	
20JUL17	S	1	PD20OCT17	2751.00	3273.00	USD	-13,050.00	
20JUL17	S	1	PD20OCT17	2752.00	3273.00	USD	-13,025.00	
20JUL17	B	1	PD20OCT17	2752.50	3273.00	USD	13,012.50	
20JUL17	S	1	PD20OCT17	2746.00	3273.00	USD	-13,175.00	
20JUL17	S	2	PD20OCT17	2747.50	3273.00	USD	-26,275.00	
20JUL17	B	1	PD20OCT17	2740.50	3273.00	USD	13,312.50	
20JUL17	B	2	PD20OCT17	2740.00	3273.00	USD	26,650.00	
20JUL17	B	2	PD20OCT17	2743.50	3273.00	USD	26,475.00	
20JUL17	S	3	PD20OCT17	2743.50	3273.00	USD	-39,712.50	
20JUL17	S	2	PD20OCT17	2744.00	3273.00	USD	-26,450.00	
20JUL17	B	1	PD20OCT17	2744.50	3273.00	USD	13,212.50	
20JUL17	S	1	PD20OCT17	2733.00	3273.00	USD	-13,500.00	
20JUL17	S	1	PD20OCT17	2728.00	3273.00	USD	-13,625.00	
20JUL17	B	1	PD20OCT17	2720.00	3273.00	USD	13,825.00	
20JUL17	B	2	PD20OCT17	2722.50	3273.00	USD	27,525.00	
20JUL17	S	1	PD20OCT17	2726.50	3273.00	USD	-13,662.50	

OPEN POSITION STATEMENT



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SAP0094X

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	2726.50	3273.00	USD	13,662.50	
20JUL17	B	1	PD200CT17	2729.00	3273.00	USD	13,600.00	
20JUL17	B	1	PD200CT17	2731.00	3273.00	USD	13,550.00	
20JUL17	B	1	PD200CT17	2727.50	3273.00	USD	13,637.50	
21JUL17	B	1	PD200CT17	2747.50	3273.00	USD	13,137.50	
21JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	1	PD200CT17	2747.50	3273.00	USD	-13,137.50	
21JUL17	S	1	PD200CT17	2757.00	3273.00	USD	-12,900.00	
21JUL17	B	1	PD200CT17	2764.00	3273.00	USD	12,725.00	
21JUL17	B	1	PD200CT17	2762.00	3273.00	USD	12,775.00	
21JUL17	B	1	PD200CT17	2757.50	3273.00	USD	12,887.50	
21JUL17	S	1	PD200CT17	2759.00	3273.00	USD	-12,850.00	
21JUL17	S	1	PD200CT17	2766.50	3273.00	USD	-12,662.50	
21JUL17	S	1	PD200CT17	2766.00	3273.00	USD	-12,675.00	
21JUL17	B	1	PD200CT17	2766.00	3273.00	USD	12,675.00	
21JUL17	S	1	PD200CT17	2775.00	3273.00	USD	-12,450.00	
21JUL17	S	1	PD200CT17	2773.50	3273.00	USD	-12,487.50	
21JUL17	S	2	PD200CT17	2771.50	3273.00	USD	-25,075.00	
21JUL17	S	1	PD200CT17	2771.00	3273.00	USD	-12,550.00	
21JUL17	S	2	PD200CT17	2773.00	3273.00	USD	-25,000.00	
21JUL17	S	1	PD200CT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	S	1	PD200CT17	2765.00	3273.00	USD	-12,700.00	
21JUL17	B	1	PD200CT17	2759.00	3273.00	USD	12,850.00	
21JUL17	B	1	PD200CT17	2759.50	3273.00	USD	12,837.50	
21JUL17	B	1	PD200CT17	2758.50	3273.00	USD	12,862.50	
21JUL17	B	1	PD200CT17	2745.00	3273.00	USD	13,200.00	
21JUL17	B	3	PD200CT17	2746.00	3273.00	USD	39,525.00	
21JUL17	S	1	PD200CT17	2750.00	3273.00	USD	-13,075.00	
21JUL17	B	1	PD200CT17	2753.50	3273.00	USD	12,987.50	
21JUL17	B	2	PD200CT17	2752.50	3273.00	USD	26,025.00	
21JUL17	B	1	PD200CT17	2752.00	3273.00	USD	13,025.00	
21JUL17	B	2	PD200CT17	2751.50	3273.00	USD	26,075.00	
21JUL17	B	3	PD200CT17	2754.00	3273.00	USD	38,925.00	
21JUL17	B	1	PD200CT17	2754.50	3273.00	USD	12,962.50	
21JUL17	B	2	PD200CT17	2753.70	3273.00	USD	25,965.00	
26JUL17	S	3	PD200CT17	2806.94	3273.00	USD	-34,954.50	
27JUL17	S	10	PD200CT17	2798.80	3273.00	USD	-118,550.00	
27JUL17	B	1	PD200CT17	2799.00	3273.00	USD	11,850.00	
27JUL17	B	4	PD200CT17	2799.20	3273.00	USD	47,380.00	
28JUL17	B	1	PD200CT17	2773.95	3273.00	USD	12,476.25	
08AUG17	S	1	PD200CT17	2922.80	3273.00	USD	-8,755.00	
10AUG17	S	2	PD200CT17	2934.25	3273.00	USD	-16,937.50	
10AUG17	S	2	PD200CT17	2934.05	3273.00	USD	-16,947.50	
15AUG17	B	1	PD200CT17	2956.20	3273.00	USD	7,920.00	
	NET	0	AVG BGHT 2755.53	SOLD 2782.16			34,621.75	0.00
24JUL17	S	1	PD240CT17	2773.50	3267.00	USD	-12,337.50	
24JUL17	B	1	PD240CT17	2745.00	3267.00	USD	13,050.00	
24JUL17	B	1	PD240CT17	2767.50	3267.00	USD	12,487.50	
24JUL17	B	1	PD240CT17	2779.00	3267.00	USD	12,200.00	
24JUL17	B	1	PD240CT17	2778.00	3267.00	USD	12,225.00	
24JUL17	B	1	PD240CT17	2771.50	3267.00	USD	12,387.50	
24JUL17	S	2	PD240CT17	2783.50	3267.00	USD	-24,175.00	
24JUL17	S	1	PD240CT17	2777.50	3267.00	USD	-12,237.50	
24JUL17	B	1	PD240CT17	2779.50	3267.00	USD	12,187.50	
24JUL17	B	1	PD240CT17	2777.00	3267.00	USD	12,250.00	
24JUL17	S	1	PD240CT17	2777.00	3267.00	USD	-12,250.00	
24JUL17	B	1	PD240CT17	2775.00	3267.00	USD	12,300.00	

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24JUL17	S	1	PD240CT17	2773.00	3267.00	USD	-12,350.00	
24JUL17	S	1	PD240CT17	2776.00	3267.00	USD	-12,275.00	
24JUL17	S	1	PD240CT17	2775.50	3267.00	USD	-12,287.50	
24JUL17	B	4	PD240CT17	2778.50	3267.00	USD	48,850.00	
24JUL17	B	1	PD240CT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	2	PD240CT17	2775.50	3267.00	USD	24,575.00	
24JUL17	S	3	PD240CT17	2784.50	3267.00	USD	-36,187.50	
24JUL17	S	3	PD240CT17	2785.50	3267.00	USD	-36,112.50	
24JUL17	S	4	PD240CT17	2786.00	3267.00	USD	-48,100.00	
24JUL17	S	1	PD240CT17	2787.00	3267.00	USD	-12,000.00	
24JUL17	B	4	PD240CT17	2784.00	3267.00	USD	48,300.00	
24JUL17	S	1	PD240CT17	2784.00	3267.00	USD	-12,075.00	
27JUL17	S	1	PD240CT17	2800.50	3267.00	USD	-11,662.50	
08AUG17	B	1	PD240CT17	2923.80	3267.00	USD	8,580.00	
10AUG17	B	2	PD240CT17	2934.96	3267.00	USD	16,602.00	
15AUG17	S	1	PD240CT17	2956.54	3267.00	USD	-7,761.50	
	NET	0 AVG BGHT 2797.49	SOLD 2790.98				-3,579.50	0.00
25JUL17	B	1	PD250CT17	2786.00	3264.00	USD	11,950.00	
25JUL17	B	1	PD250CT17	2785.50	3264.00	USD	11,962.50	
25JUL17	B	1	PD250CT17	2786.50	3264.00	USD	11,937.50	
25JUL17	S	1	PD250CT17	2781.50	3264.00	USD	-12,062.50	
25JUL17	S	1	PD250CT17	2778.50	3264.00	USD	-12,137.50	
25JUL17	S	1	PD250CT17	2777.50	3264.00	USD	-12,162.50	
25JUL17	S	1	PD250CT17	2791.50	3264.00	USD	-11,812.50	
25JUL17	S	1	PD250CT17	2793.00	3264.00	USD	-11,775.00	
25JUL17	S	1	PD250CT17	2805.50	3264.00	USD	-11,462.50	
25JUL17	S	1	PD250CT17	2801.00	3264.00	USD	-11,575.00	
25JUL17	S	1	PD250CT17	2809.00	3264.00	USD	-11,375.00	
25JUL17	S	2	PD250CT17	2805.00	3264.00	USD	-22,950.00	
25JUL17	S	1	PD250CT17	2815.00	3264.00	USD	-11,225.00	
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2824.50	3264.00	USD	-10,987.50	
25JUL17	B	2	PD250CT17	2787.00	3264.00	USD	23,850.00	
25JUL17	B	2	PD250CT17	2834.00	3264.00	USD	21,500.00	
25JUL17	S	1	PD250CT17	2823.00	3264.00	USD	-11,025.00	
25JUL17	S	1	PD250CT17	2831.50	3264.00	USD	-10,812.50	
25JUL17	B	2	PD250CT17	2831.00	3264.00	USD	21,650.00	
25JUL17	S	2	PD250CT17	2833.00	3264.00	USD	-21,550.00	
25JUL17	S	1	PD250CT17	2839.50	3264.00	USD	-10,612.50	
25JUL17	S	1	PD250CT17	2845.00	3264.00	USD	-10,475.00	
25JUL17	S	1	PD250CT17	2845.50	3264.00	USD	-10,462.50	
25JUL17	S	1	PD250CT17	2851.00	3264.00	USD	-10,325.00	
25JUL17	B	2	PD250CT17	2838.50	3264.00	USD	21,275.00	
25JUL17	B	2	PD250CT17	2838.00	3264.00	USD	21,300.00	
25JUL17	B	1	PD250CT17	2837.00	3264.00	USD	10,675.00	
25JUL17	B	1	PD250CT17	2836.50	3264.00	USD	10,687.50	
25JUL17	B	2	PD250CT17	2839.50	3264.00	USD	21,225.00	
25JUL17	B	1	PD250CT17	2845.00	3264.00	USD	10,475.00	
27JUL17	B	4	PD250CT17	2800.83	3264.00	USD	46,317.00	
28JUL17	B	1	PD250CT17	2775.70	3264.00	USD	12,207.50	
08AUG17	S	2	PD250CT17	2923.80	3264.00	USD	-17,010.00	
10AUG17	S	2	PD250CT17	2935.14	3264.00	USD	-16,443.00	
10AUG17	B	1	PD250CT17	2935.14	3264.00	USD	8,221.50	
15AUG17	B	1	PD250CT17	2956.87	3264.00	USD	7,678.25	
	NET	0 AVG BGHT 2827.34	SOLD 2832.96				3,508.75	0.00
26JUL17	S	1	PD260CT17	2844.50	3262.33	USD	-10,445.75	
26JUL17	S	1	PD260CT17	2847.50	3262.33	USD	-10,370.75	

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26JUL17	S	1	PD260CT17	2846.00	3262.33	USD	-10,408.25	
26JUL17	B	1	PD260CT17	2830.00	3262.33	USD	10,808.25	
26JUL17	B	1	PD260CT17	2836.00	3262.33	USD	10,658.25	
26JUL17	B	2	PD260CT17	2837.00	3262.33	USD	21,266.50	
26JUL17	B	1	PD260CT17	2832.50	3262.33	USD	10,745.75	
26JUL17	S	1	PD260CT17	2849.00	3262.33	USD	-10,333.25	
26JUL17	B	1	PD260CT17	2843.00	3262.33	USD	10,483.25	
26JUL17	B	2	PD260CT17	2845.00	3262.33	USD	20,866.50	
26JUL17	B	1	PD260CT17	2828.00	3262.33	USD	10,858.25	
26JUL17	B	2	PD260CT17	2819.00	3262.33	USD	22,166.50	
26JUL17	B	1	PD260CT17	2818.00	3262.33	USD	11,108.25	
26JUL17	B	2	PD260CT17	2817.00	3262.33	USD	22,266.50	
26JUL17	B	3	PD260CT17	2818.50	3262.33	USD	33,287.25	
26JUL17	B	1	PD260CT17	2820.00	3262.33	USD	11,058.25	
26JUL17	B	2	PD260CT17	2819.50	3262.33	USD	22,141.50	
26JUL17	B	1	PD260CT17	2825.00	3262.33	USD	10,933.25	
26JUL17	S	1	PD260CT17	2820.50	3262.33	USD	-11,045.75	
26JUL17	B	1	PD260CT17	2816.00	3262.33	USD	11,158.25	
26JUL17	S	1	PD260CT17	2819.50	3262.33	USD	-11,070.75	
26JUL17	S	1	PD260CT17	2820.00	3262.33	USD	-11,058.25	
26JUL17	S	1	PD260CT17	2825.50	3262.33	USD	-10,920.75	
26JUL17	S	2	PD260CT17	2825.00	3262.33	USD	-21,866.50	
26JUL17	B	1	PD260CT17	2820.00	3262.33	USD	11,058.25	
26JUL17	S	1	PD260CT17	2827.50	3262.33	USD	-10,870.75	
26JUL17	B	2	PD260CT17	2821.00	3262.33	USD	22,066.50	
26JUL17	B	1	PD260CT17	2825.50	3262.33	USD	10,920.75	
26JUL17	B	2	PD260CT17	2820.50	3262.33	USD	22,091.50	
26JUL17	B	2	PD260CT17	2826.00	3262.33	USD	21,816.50	
26JUL17	B	1	PD260CT17	2815.50	3262.33	USD	11,170.75	
26JUL17	B	1	PD260CT17	2813.00	3262.33	USD	11,233.25	
26JUL17	B	2	PD260CT17	2814.00	3262.33	USD	22,416.50	
26JUL17	B	1	PD260CT17	2813.50	3262.33	USD	11,220.75	
26JUL17	S	1	PD260CT17	2817.50	3262.33	USD	-11,120.75	
26JUL17	B	2	PD260CT17	2815.00	3262.33	USD	22,366.50	
26JUL17	B	1	PD260CT17	2811.00	3262.33	USD	11,283.25	
26JUL17	B	1	PD260CT17	2806.00	3262.33	USD	11,408.25	
26JUL17	B	1	PD260CT17	2805.50	3262.33	USD	11,420.75	
26JUL17	B	1	PD260CT17	2805.00	3262.33	USD	11,433.25	
27JUL17	S	1	PD260CT17	2800.55	3262.33	USD	-11,544.50	
03AUG17	S	20	PD260CT17	2789.80	3262.33	USD	-236,265.00	
07AUG17	S	2	PD260CT17	2856.50	3262.33	USD	-20,291.50	
10AUG17	S	3	PD260CT17	2935.12	3262.33	USD	-24,540.75	
10AUG17	S	3	PD260CT17	2935.32	3262.33	USD	-24,525.75	
	NET	0 AVG BGHT 2821.63	SOLD 2826.55				5,034.25	0.00
27JUL17	B	1	PD270CT17	2806.00	3260.67	USD	11,366.75	
27JUL17	B	1	PD270CT17	2805.50	3260.67	USD	11,379.25	
27JUL17	S	1	PD270CT17	2808.50	3260.67	USD	-11,304.25	
27JUL17	B	1	PD270CT17	2815.00	3260.67	USD	11,141.75	
27JUL17	S	1	PD270CT17	2816.00	3260.67	USD	-11,116.75	
27JUL17	B	1	PD270CT17	2808.00	3260.67	USD	11,316.75	
27JUL17	S	1	PD270CT17	2814.50	3260.67	USD	-11,154.25	
27JUL17	S	1	PD270CT17	2818.00	3260.67	USD	-11,066.75	
27JUL17	S	3	PD270CT17	2832.50	3260.67	USD	-32,112.75	
27JUL17	B	1	PD270CT17	2822.00	3260.67	USD	10,966.75	
27JUL17	S	1	PD270CT17	2808.50	3260.67	USD	-11,304.25	
27JUL17	B	2	PD270CT17	2808.50	3260.67	USD	22,608.50	
27JUL17	B	1	PD270CT17	2816.00	3260.67	USD	11,116.75	

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27JUL17	B	3	PD27OCT17	2804.50	3260.67	USD	34,212.75	
27JUL17	S	1	PD27OCT17	2797.50	3260.67	USD	-11,579.25	
27JUL17	S	1	PD27OCT17	2795.00	3260.67	USD	-11,641.75	
27JUL17	S	1	PD27OCT17	2798.50	3260.67	USD	-11,554.25	
27JUL17	S	1	PD27OCT17	2799.00	3260.67	USD	-11,541.75	
27JUL17	B	3	PD27OCT17	2799.00	3260.67	USD	34,625.25	
28JUL17	S	1	PD27OCT17	2805.00	3260.67	USD	-11,391.75	
28JUL17	S	1	PD27OCT17	2804.00	3260.67	USD	-11,416.75	
28JUL17	S	1	PD27OCT17	2786.00	3260.67	USD	-11,866.75	
28JUL17	S	3	PD27OCT17	2786.50	3260.67	USD	-35,562.75	
28JUL17	S	3	PD27OCT17	2787.50	3260.67	USD	-35,487.75	
28JUL17	B	2	PD27OCT17	2773.50	3260.67	USD	24,358.50	
28JUL17	B	1	PD27OCT17	2762.50	3260.67	USD	12,454.25	
28JUL17	B	1	PD27OCT17	2768.00	3260.67	USD	12,316.75	
28JUL17	B	1	PD27OCT17	2773.00	3260.67	USD	12,191.75	
28JUL17	S	1	PD27OCT17	2785.00	3260.67	USD	-11,891.75	
28JUL17	S	1	PD27OCT17	2772.00	3260.67	USD	-12,216.75	
28JUL17	B	2	PD27OCT17	2772.50	3260.67	USD	24,408.50	
28JUL17	B	2	PD27OCT17	2774.50	3260.67	USD	24,308.50	
28JUL17	B	1	PD27OCT17	2774.00	3260.67	USD	12,166.75	
28JUL17	B	1	PD27OCT17	2777.00	3260.67	USD	12,091.75	
28JUL17	S	1	PD27OCT17	2782.00	3260.67	USD	-11,966.75	
28JUL17	S	5	PD27OCT17	2783.00	3260.67	USD	-59,708.75	
28JUL17	S	1	PD27OCT17	2788.00	3260.67	USD	-11,816.75	
28JUL17	S	1	PD27OCT17	2785.50	3260.67	USD	-11,879.25	
28JUL17	B	1	PD27OCT17	2789.00	3260.67	USD	11,791.75	
28JUL17	B	1	PD27OCT17	2788.00	3260.67	USD	11,816.75	
28JUL17	B	1	PD27OCT17	2779.50	3260.67	USD	12,029.25	
28JUL17	B	1	PD27OCT17	2784.00	3260.67	USD	11,916.75	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	B	1	PD27OCT17	2778.50	3260.67	USD	12,054.25	
28JUL17	B	1	PD27OCT17	2776.20	3260.67	USD	12,111.75	
07AUG17	S	1	PD27OCT17	2856.50	3260.67	USD	-10,104.25	
09AUG17	B	2	PD27OCT17	2929.75	3260.67	USD	16,546.00	
10AUG17	S	2	PD27OCT17	2935.50	3260.67	USD	-16,258.50	
10AUG17	B	2	PD27OCT17	2935.70	3260.67	USD	16,248.50	
22AUG17	S	1	PD27OCT17	3116.94	3260.67	USD	-3,593.25	
14SEP17	S	1	PD27OCT17	3002.45	3260.67	USD	-6,455.50	
	NET	0AVG BGHT 2805.60	SOLD 2820.68				13,569.75	0.00
31JUL17	S	1	PD31OCT17	2810.50	3257.33	USD	-11,170.75	
31JUL17	B	1	PD31OCT17	2803.00	3257.33	USD	11,358.25	
31JUL17	B	1	PD31OCT17	2791.00	3257.33	USD	11,658.25	
31JUL17	B	1	PD31OCT17	2790.50	3257.33	USD	11,670.75	
31JUL17	S	1	PD31OCT17	2814.00	3257.33	USD	-11,083.25	
31JUL17	S	1	PD31OCT17	2824.00	3257.33	USD	-10,833.25	
31JUL17	S	1	PD31OCT17	2827.00	3257.33	USD	-10,758.25	
31JUL17	S	1	PD31OCT17	2821.00	3257.33	USD	-10,908.25	
31JUL17	S	1	PD31OCT17	2822.50	3257.33	USD	-10,870.75	
31JUL17	B	1	PD31OCT17	2794.00	3257.33	USD	11,583.25	
31JUL17	S	1	PD31OCT17	2813.50	3257.33	USD	-11,095.75	
31JUL17	B	1	PD31OCT17	2804.50	3257.33	USD	11,320.75	
31JUL17	B	1	PD31OCT17	2809.00	3257.33	USD	11,208.25	
31JUL17	B	1	PD31OCT17	2808.00	3257.33	USD	11,233.25	
31JUL17	B	1	PD31OCT17	2801.50	3257.33	USD	11,395.75	
31JUL17	B	1	PD31OCT17	2802.00	3257.33	USD	11,383.25	
31JUL17	B	3	PD31OCT17	2800.00	3257.33	USD	34,299.75	
31JUL17	S	2	PD31OCT17	2802.00	3257.33	USD	-22,766.50	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	2	PD31OCT17	2801.00	3257.33	USD	-22,816.50	
31JUL17	S	1	PD31OCT17	2800.50	3257.33	USD	-11,420.75	
31JUL17	S	3	PD31OCT17	2802.50	3257.33	USD	-34,112.25	
31JUL17	S	1	PD31OCT17	2804.50	3257.33	USD	-11,320.75	
31JUL17	B	1	PD31OCT17	2791.50	3257.33	USD	11,645.75	
31JUL17	B	1	PD31OCT17	2800.50	3257.33	USD	11,420.75	
31JUL17	B	1	PD31OCT17	2797.00	3257.33	USD	11,508.25	
31JUL17	B	1	PD31OCT17	2795.00	3257.33	USD	11,558.25	
31JUL17	B	1	PD31OCT17	2793.00	3257.33	USD	11,608.25	
31JUL17	B	1	PD31OCT17	2807.50	3257.33	USD	11,245.75	
07AUG17	B	1	PD31OCT17	2856.70	3257.33	USD	10,015.75	
09AUG17	S	2	PD31OCT17	2929.55	3257.33	USD	-16,389.00	
09AUG17	S	1	PD31OCT17	2929.75	3257.33	USD	-8,189.50	
	NET	0 AVG	BGHT 2802.35	SOLD 2828.41			12,378.75	0.00
01AUG17	B	1	PD01NOV17	2780.50	3255.67	USD	11,879.25	
01AUG17	B	1	PD01NOV17	2782.00	3255.67	USD	11,841.75	
01AUG17	S	1	PD01NOV17	2780.50	3255.67	USD	-11,879.25	
01AUG17	B	2	PD01NOV17	2786.00	3255.67	USD	23,483.50	
01AUG17	B	1	PD01NOV17	2774.00	3255.67	USD	12,041.75	
01AUG17	B	1	PD01NOV17	2773.50	3255.67	USD	12,054.25	
01AUG17	B	2	PD01NOV17	2771.00	3255.67	USD	24,233.50	
01AUG17	S	1	PD01NOV17	2772.00	3255.67	USD	-12,091.75	
01AUG17	S	1	PD01NOV17	2772.50	3255.67	USD	-12,079.25	
01AUG17	S	1	PD01NOV17	2765.50	3255.67	USD	-12,254.25	
01AUG17	S	2	PD01NOV17	2765.00	3255.67	USD	-24,533.50	
01AUG17	S	2	PD01NOV17	2766.50	3255.67	USD	-24,458.50	
01AUG17	S	1	PD01NOV17	2772.00	3255.67	USD	-12,091.75	
01AUG17	B	1	PD01NOV17	2770.50	3255.67	USD	12,129.25	
01AUG17	S	1	PD01NOV17	2779.50	3255.67	USD	-11,904.25	
01AUG17	S	1	PD01NOV17	2781.00	3255.67	USD	-11,866.75	
01AUG17	S	1	PD01NOV17	2781.50	3255.67	USD	-11,854.25	
01AUG17	S	2	PD01NOV17	2782.00	3255.67	USD	-23,683.50	
01AUG17	S	2	PD01NOV17	2778.00	3255.67	USD	-23,883.50	
01AUG17	B	2	PD01NOV17	2775.00	3255.67	USD	24,033.50	
01AUG17	B	3	PD01NOV17	2776.50	3255.67	USD	35,937.75	
01AUG17	S	1	PD01NOV17	2773.00	3255.67	USD	-12,066.75	
01AUG17	S	1	PD01NOV17	2778.50	3255.67	USD	-11,929.25	
01AUG17	S	1	PD01NOV17	2786.00	3255.67	USD	-11,741.75	
01AUG17	B	1	PD01NOV17	2788.00	3255.67	USD	11,691.75	
01AUG17	B	1	PD01NOV17	2788.50	3255.67	USD	11,679.25	
01AUG17	B	1	PD01NOV17	2790.00	3255.67	USD	11,641.75	
01AUG17	S	1	PD01NOV17	2775.00	3255.67	USD	-12,016.75	
01AUG17	S	1	PD01NOV17	2774.50	3255.67	USD	-12,029.25	
01AUG17	S	2	PD01NOV17	2774.00	3255.67	USD	-24,083.50	
01AUG17	S	1	PD01NOV17	2788.00	3255.67	USD	-11,691.75	
03AUG17	B	1	PD01NOV17	2791.50	3255.67	USD	11,604.25	
07AUG17	B	2	PD01NOV17	2856.91	3255.67	USD	19,938.00	
09AUG17	B	1	PD01NOV17	2930.14	3255.67	USD	8,138.25	
15AUG17	B	1	PD01NOV17	2957.82	3255.67	USD	7,446.25	
15AUG17	B	1	PD01NOV17	2957.62	3255.67	USD	7,451.25	
05SEP17	B	1	PD01NOV17	3137.58	3255.67	USD	2,952.25	
	NET	0 AVG	BGHT 2822.04	SOLD 2775.44			-27,962.00	0.00
02AUG17	B	1	PD02NOV17	2778.50	3254.00	USD	11,887.50	
02AUG17	S	1	PD02NOV17	2762.50	3254.00	USD	-12,287.50	
02AUG17	B	1	PD02NOV17	2771.00	3254.00	USD	12,075.00	
02AUG17	B	1	PD02NOV17	2770.00	3254.00	USD	12,100.00	
02AUG17	B	1	PD02NOV17	2772.50	3254.00	USD	12,037.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	2769.00	3254.00	USD	-12,125.00	
02AUG17	B	1	PD02NOV17	2762.00	3254.00	USD	12,300.00	
02AUG17	B	1	PD02NOV17	2764.50	3254.00	USD	12,237.50	
02AUG17	S	1	PD02NOV17	2768.50	3254.00	USD	-12,137.50	
02AUG17	B	1	PD02NOV17	2763.00	3254.00	USD	12,275.00	
02AUG17	S	1	PD02NOV17	2767.00	3254.00	USD	-12,175.00	
02AUG17	S	1	PD02NOV17	2770.50	3254.00	USD	-12,087.50	
02AUG17	S	1	PD02NOV17	2787.00	3254.00	USD	-11,675.00	
02AUG17	S	1	PD02NOV17	2785.50	3254.00	USD	-11,712.50	
02AUG17	S	1	PD02NOV17	2789.50	3254.00	USD	-11,612.50	
02AUG17	S	1	PD02NOV17	2799.00	3254.00	USD	-11,375.00	
02AUG17	S	1	PD02NOV17	2803.00	3254.00	USD	-11,275.00	
02AUG17	S	1	PD02NOV17	2804.00	3254.00	USD	-11,250.00	
02AUG17	S	1	PD02NOV17	2799.50	3254.00	USD	-11,362.50	
02AUG17	B	1	PD02NOV17	2801.50	3254.00	USD	11,312.50	
02AUG17	B	1	PD02NOV17	2805.00	3254.00	USD	11,225.00	
02AUG17	S	1	PD02NOV17	2806.50	3254.00	USD	-11,187.50	
02AUG17	S	3	PD02NOV17	2806.00	3254.00	USD	-33,600.00	
02AUG17	S	1	PD02NOV17	2805.00	3254.00	USD	-11,225.00	
02AUG17	B	1	PD02NOV17	2805.50	3254.00	USD	11,212.50	
02AUG17	B	1	PD02NOV17	2803.50	3254.00	USD	11,262.50	
02AUG17	B	1	PD02NOV17	2806.50	3254.00	USD	11,187.50	
03AUG17	S	1	PD02NOV17	2791.55	3254.00	USD	-11,561.25	
09AUG17	B	3	PD02NOV17	2930.14	3254.00	USD	24,289.50	
10AUG17	B	4	PD02NOV17	2936.77	3254.00	USD	31,723.00	
10AUG17	B	1	PD02NOV17	2936.57	3254.00	USD	7,935.75	
15AUG17	S	1	PD02NOV17	2957.55	3254.00	USD	-7,411.25	
15AUG17	S	1	PD02NOV17	2957.75	3254.00	USD	-7,406.25	
17AUG17	S	1	PD02NOV17	3060.86	3254.00	USD	-4,828.50	
12SEP17	B	1	PD02NOV17	3056.07	3254.00	USD	4,948.25	
	NET	0 AVG BGHT 2853.98	SOLD 2819.15				-18,285.75	0.00
03AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
03AUG17	B	1	PD03NOV17	2825.00	3252.89	USD	10,697.25	
03AUG17	B	1	PD03NOV17	2825.50	3252.89	USD	10,684.75	
03AUG17	S	1	PD03NOV17	2824.00	3252.89	USD	-10,722.25	
03AUG17	S	1	PD03NOV17	2826.50	3252.89	USD	-10,659.75	
03AUG17	S	1	PD03NOV17	2831.00	3252.89	USD	-10,547.25	
03AUG17	B	1	PD03NOV17	2835.50	3252.89	USD	10,434.75	
03AUG17	S	1	PD03NOV17	2842.50	3252.89	USD	-10,259.75	
03AUG17	S	1	PD03NOV17	2840.50	3252.89	USD	-10,309.75	
03AUG17	S	1	PD03NOV17	2838.50	3252.89	USD	-10,359.75	
03AUG17	B	1	PD03NOV17	2832.00	3252.89	USD	10,522.25	
03AUG17	B	3	PD03NOV17	2829.50	3252.89	USD	31,754.25	
03AUG17	S	1	PD03NOV17	2814.50	3252.89	USD	-10,959.75	
03AUG17	S	1	PD03NOV17	2815.00	3252.89	USD	-10,947.25	
03AUG17	S	2	PD03NOV17	2812.50	3252.89	USD	-22,019.50	
03AUG17	S	1	PD03NOV17	2813.50	3252.89	USD	-10,984.75	
03AUG17	B	1	PD03NOV17	2812.00	3252.89	USD	11,022.25	
03AUG17	B	1	PD03NOV17	2821.50	3252.89	USD	10,784.75	
03AUG17	B	1	PD03NOV17	2820.00	3252.89	USD	10,822.25	
03AUG17	B	1	PD03NOV17	2819.50	3252.89	USD	10,834.75	
03AUG17	B	1	PD03NOV17	2820.50	3252.89	USD	10,809.75	
03AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
03AUG17	B	1	PD03NOV17	2811.50	3252.89	USD	11,034.75	
03AUG17	B	2	PD03NOV17	2812.50	3252.89	USD	22,019.50	
03AUG17	B	1	PD03NOV17	2807.50	3252.89	USD	11,134.75	
03AUG17	S	1	PD03NOV17	2798.00	3252.89	USD	-11,372.25	

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03AUG17	B	1	PD03NOV17	2800.00	3252.89	USD	11,322.25	
03AUG17	S	1	PD03NOV17	2795.00	3252.89	USD	-11,447.25	
03AUG17	B	1	PD03NOV17	2803.50	3252.89	USD	11,234.75	
03AUG17	B	1	PD03NOV17	2795.50	3252.89	USD	11,434.75	
03AUG17	B	1	PD03NOV17	2796.50	3252.89	USD	11,409.75	
03AUG17	B	1	PD03NOV17	2793.50	3252.89	USD	11,484.75	
04AUG17	B	1	PD03NOV17	2797.50	3252.89	USD	11,384.75	
04AUG17	S	1	PD03NOV17	2823.00	3252.89	USD	-10,747.25	
04AUG17	S	1	PD03NOV17	2833.50	3252.89	USD	-10,484.75	
04AUG17	S	1	PD03NOV17	2833.00	3252.89	USD	-10,497.25	
04AUG17	B	1	PD03NOV17	2832.50	3252.89	USD	10,509.75	
04AUG17	S	1	PD03NOV17	2834.00	3252.89	USD	-10,472.25	
04AUG17	B	1	PD03NOV17	2825.00	3252.89	USD	10,697.25	
04AUG17	B	1	PD03NOV17	2828.00	3252.89	USD	10,622.25	
04AUG17	B	1	PD03NOV17	2814.50	3252.89	USD	10,959.75	
04AUG17	B	2	PD03NOV17	2815.50	3252.89	USD	21,869.50	
04AUG17	B	1	PD03NOV17	2816.00	3252.89	USD	10,922.25	
04AUG17	B	1	PD03NOV17	2818.50	3252.89	USD	10,859.75	
04AUG17	S	1	PD03NOV17	2821.50	3252.89	USD	-10,784.75	
04AUG17	B	1	PD03NOV17	2819.00	3252.89	USD	10,847.25	
04AUG17	S	1	PD03NOV17	2817.50	3252.89	USD	-10,884.75	
04AUG17	S	1	PD03NOV17	2816.00	3252.89	USD	-10,922.25	
04AUG17	S	1	PD03NOV17	2818.00	3252.89	USD	-10,872.25	
04AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
04AUG17	S	1	PD03NOV17	2808.50	3252.89	USD	-11,109.75	
04AUG17	B	1	PD03NOV17	2810.50	3252.89	USD	11,059.75	
04AUG17	B	1	PD03NOV17	2809.50	3252.89	USD	11,084.75	
04AUG17	S	1	PD03NOV17	2812.50	3252.89	USD	-11,009.75	
04AUG17	B	2	PD03NOV17	2813.00	3252.89	USD	21,994.50	
04AUG17	S	1	PD03NOV17	2804.00	3252.89	USD	-11,222.25	
04AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
04AUG17	B	1	PD03NOV17	2801.00	3252.89	USD	11,297.25	
04AUG17	B	1	PD03NOV17	2800.00	3252.89	USD	11,322.25	
04AUG17	S	1	PD03NOV17	2821.50	3252.89	USD	-10,784.75	
04AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
04AUG17	B	1	PD03NOV17	2813.50	3252.89	USD	10,984.75	
04AUG17	B	1	PD03NOV17	2814.00	3252.89	USD	10,972.25	
04AUG17	B	1	PD03NOV17	2809.00	3252.89	USD	11,097.25	
04AUG17	S	2	PD03NOV17	2815.00	3252.89	USD	-21,894.50	
09AUG17	S	2	PD03NOV17	2930.33	3252.89	USD	-16,128.00	
10AUG17	S	1	PD03NOV17	2936.55	3252.89	USD	-7,908.50	
15AUG17	S	2	PD03NOV17	2957.88	3252.89	USD	-14,750.50	
17AUG17	S	1	PD03NOV17	3060.89	3252.89	USD	-4,800.00	
14SEP17	S	2	PD03NOV17	3003.15	3252.89	USD	-12,487.00	
	NET	0AVG	BGHT 2814.96	SOLD 2850.14			35,179.00	0.00
07AUG17	S	2	PD07NOV17	2813.00	3250.67	USD	-21,883.50	
07AUG17	B	1	PD07NOV17	2791.00	3250.67	USD	11,491.75	
07AUG17	S	1	PD07NOV17	2813.50	3250.67	USD	-10,929.25	
07AUG17	B	1	PD07NOV17	2807.00	3250.67	USD	11,091.75	
07AUG17	S	1	PD07NOV17	2807.50	3250.67	USD	-11,079.25	
07AUG17	B	1	PD07NOV17	2805.50	3250.67	USD	11,129.25	
07AUG17	S	1	PD07NOV17	2816.50	3250.67	USD	-10,854.25	
07AUG17	S	1	PD07NOV17	2833.00	3250.67	USD	-10,441.75	
07AUG17	B	1	PD07NOV17	2833.00	3250.67	USD	10,441.75	
07AUG17	B	1	PD07NOV17	2835.00	3250.67	USD	10,391.75	
07AUG17	B	3	PD07NOV17	2835.50	3250.67	USD	31,137.75	
07AUG17	B	1	PD07NOV17	2837.00	3250.67	USD	10,341.75	

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07AUG17	S	1	PD07NOV17	2838.50	3250.67	USD	-10,304.25	
07AUG17	S	2	PD07NOV17	2851.00	3250.67	USD	-19,983.50	
07AUG17	S	2	PD07NOV17	2852.50	3250.67	USD	-19,908.50	
07AUG17	B	1	PD07NOV17	2865.00	3250.67	USD	9,641.75	
07AUG17	S	1	PD07NOV17	2865.50	3250.67	USD	-9,629.25	
07AUG17	S	1	PD07NOV17	2873.00	3250.67	USD	-9,441.75	
07AUG17	S	1	PD07NOV17	2874.50	3250.67	USD	-9,404.25	
07AUG17	S	1	PD07NOV17	2876.50	3250.67	USD	-9,354.25	
07AUG17	S	1	PD07NOV17	2878.50	3250.67	USD	-9,304.25	
07AUG17	B	2	PD07NOV17	2879.00	3250.67	USD	18,583.50	
07AUG17	B	2	PD07NOV17	2872.50	3250.67	USD	18,908.50	
07AUG17	S	1	PD07NOV17	2872.50	3250.67	USD	-9,454.25	
07AUG17	S	2	PD07NOV17	2867.00	3250.67	USD	-19,183.50	
07AUG17	S	2	PD07NOV17	2871.00	3250.67	USD	-18,983.50	
07AUG17	S	2	PD07NOV17	2872.00	3250.67	USD	-18,933.50	
07AUG17	S	1	PD07NOV17	2879.50	3250.67	USD	-9,279.25	
07AUG17	S	1	PD07NOV17	2881.50	3250.67	USD	-9,229.25	
07AUG17	S	2	PD07NOV17	2876.00	3250.67	USD	-18,733.50	
07AUG17	B	1	PD07NOV17	2883.50	3250.67	USD	9,179.25	
07AUG17	B	3	PD07NOV17	2880.00	3250.67	USD	27,800.25	
07AUG17	S	3	PD07NOV17	2874.00	3250.67	USD	-28,250.25	
07AUG17	S	1	PD07NOV17	2873.50	3250.67	USD	-9,429.25	
07AUG17	B	2	PD07NOV17	2871.00	3250.67	USD	18,983.50	
07AUG17	B	1	PD07NOV17	2866.00	3250.67	USD	9,616.75	
07AUG17	B	2	PD07NOV17	2870.50	3250.67	USD	19,008.50	
07AUG17	B	1	PD07NOV17	2869.50	3250.67	USD	9,529.25	
07AUG17	B	1	PD07NOV17	2868.50	3250.67	USD	9,554.25	
07AUG17	B	1	PD07NOV17	2868.00	3250.67	USD	9,566.75	
07AUG17	B	1	PD07NOV17	2867.00	3250.67	USD	9,591.75	
07AUG17	B	1	PD07NOV17	2864.00	3250.67	USD	9,666.75	
09AUG17	B	2	PD07NOV17	2931.31	3250.67	USD	15,968.00	
10AUG17	B	2	PD07NOV17	2937.66	3250.67	USD	15,650.50	
15AUG17	B	3	PD07NOV17	2958.62	3250.67	USD	21,903.75	
17AUG17	S	1	PD07NOV17	3061.00	3250.67	USD	-4,741.75	
11SEP17	B	2	PD07NOV17	3080.19	3250.67	USD	8,524.00	
14SEP17	S	5	PD07NOV17	3003.55	3250.67	USD	-30,890.00	
NET		0 AVG BGHT 2885.59	SOLD 2883.51				-1,923.25	0.00
08AUG17	S	1	PD08NOV17	2882.50	3249.56	USD	-9,176.50	
08AUG17	S	2	PD08NOV17	2877.50	3249.56	USD	-18,603.00	
08AUG17	B	2	PD08NOV17	2878.00	3249.56	USD	18,578.00	
08AUG17	S	1	PD08NOV17	2872.00	3249.56	USD	-9,439.00	
08AUG17	B	2	PD08NOV17	2863.00	3249.56	USD	19,328.00	
08AUG17	S	1	PD08NOV17	2879.00	3249.56	USD	-9,264.00	
08AUG17	S	1	PD08NOV17	2878.00	3249.56	USD	-9,289.00	
08AUG17	B	4	PD08NOV17	2872.00	3249.56	USD	37,756.00	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	B	1	PD08NOV17	2871.00	3249.56	USD	9,464.00	
08AUG17	B	1	PD08NOV17	2872.00	3249.56	USD	9,439.00	
08AUG17	B	1	PD08NOV17	2884.00	3249.56	USD	9,139.00	
08AUG17	B	2	PD08NOV17	2875.00	3249.56	USD	18,728.00	
08AUG17	B	1	PD08NOV17	2874.50	3249.56	USD	9,376.50	
08AUG17	S	2	PD08NOV17	2875.00	3249.56	USD	-18,728.00	
08AUG17	S	1	PD08NOV17	2871.50	3249.56	USD	-9,451.50	
08AUG17	S	1	PD08NOV17	2879.50	3249.56	USD	-9,251.50	
08AUG17	B	2	PD08NOV17	2883.00	3249.56	USD	18,328.00	
08AUG17	B	1	PD08NOV17	2876.50	3249.56	USD	9,326.50	
08AUG17	S	1	PD08NOV17	2894.00	3249.56	USD	-8,889.00	

OPEN POSITION STATEMENT



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SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	2	PD08NOV17	2897.00	3249.56	USD	17,628.00	
08AUG17	B	1	PD08NOV17	2898.50	3249.56	USD	8,776.50	
08AUG17	B	3	PD08NOV17	2896.50	3249.56	USD	26,479.50	
08AUG17	B	1	PD08NOV17	2899.00	3249.56	USD	8,764.00	
08AUG17	B	1	PD08NOV17	2915.50	3249.56	USD	8,351.50	
08AUG17	B	1	PD08NOV17	2914.50	3249.56	USD	8,376.50	
08AUG17	S	1	PD08NOV17	2910.00	3249.56	USD	-8,489.00	
08AUG17	S	1	PD08NOV17	2913.00	3249.56	USD	-8,414.00	
08AUG17	S	1	PD08NOV17	2918.00	3249.56	USD	-8,289.00	
08AUG17	S	1	PD08NOV17	2920.00	3249.56	USD	-8,239.00	
08AUG17	S	1	PD08NOV17	2921.00	3249.56	USD	-8,214.00	
08AUG17	S	2	PD08NOV17	2930.00	3249.56	USD	-15,978.00	
08AUG17	B	2	PD08NOV17	2927.00	3249.56	USD	16,128.00	
08AUG17	B	5	PD08NOV17	2924.00	3249.56	USD	40,695.00	
08AUG17	B	1	PD08NOV17	2935.00	3249.56	USD	7,864.00	
08AUG17	S	1	PD08NOV17	2936.50	3249.56	USD	-7,826.50	
08AUG17	B	1	PD08NOV17	2933.50	3249.56	USD	7,901.50	
08AUG17	B	1	PD08NOV17	2928.50	3249.56	USD	8,026.50	
09AUG17	S	3	PD08NOV17	2931.11	3249.56	USD	-23,883.75	
10AUG17	B	1	PD08NOV17	2937.84	3249.56	USD	7,793.00	
17AUG17	S	2	PD08NOV17	3061.31	3249.56	USD	-9,412.50	
22AUG17	S	10	PD08NOV17	3118.56	3249.56	USD	-32,750.00	
05SEP17	S	3	PD08NOV17	3138.21	3249.56	USD	-8,351.25	
12SEP17	B	2	PD08NOV17	3056.91	3249.56	USD	9,632.50	
12SEP17	S	1	PD08NOV17	3056.91	3249.56	USD	-4,816.25	
	NET	0 AVG BGHT 2905.07	SOLD 2987.05				79,935.75	0.00
09AUG17	S	1	PD09NOV17	2945.00	3248.44	USD	-7,586.00	
09AUG17	S	1	PD09NOV17	2969.00	3248.44	USD	-6,986.00	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	1	PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	S	2	PD09NOV17	2963.00	3248.44	USD	-14,272.00	
09AUG17	B	1	PD09NOV17	2948.00	3248.44	USD	7,511.00	
09AUG17	B	2	PD09NOV17	2956.50	3248.44	USD	14,597.00	
09AUG17	S	1	PD09NOV17	2951.50	3248.44	USD	-7,423.50	
09AUG17	S	1	PD09NOV17	2952.50	3248.44	USD	-7,398.50	
09AUG17	S	1	PD09NOV17	2954.00	3248.44	USD	-7,361.00	
09AUG17	B	1	PD09NOV17	2957.00	3248.44	USD	7,286.00	
09AUG17	B	6	PD09NOV17	2954.00	3248.44	USD	44,166.00	
09AUG17	B	1	PD09NOV17	2953.50	3248.44	USD	7,373.50	
09AUG17	B	1	PD09NOV17	2958.50	3248.44	USD	7,248.50	
09AUG17	S	1	PD09NOV17	2958.00	3248.44	USD	-7,261.00	
09AUG17	S	1	PD09NOV17	2961.00	3248.44	USD	-7,186.00	
09AUG17	S	1	PD09NOV17	2965.50	3248.44	USD	-7,073.50	
09AUG17	S	1	PD09NOV17	2965.00	3248.44	USD	-7,086.00	
09AUG17	S	2	PD09NOV17	2964.50	3248.44	USD	-14,197.00	
09AUG17	S	1	PD09NOV17	2974.50	3248.44	USD	-6,848.50	
09AUG17	S	1	PD09NOV17	2976.00	3248.44	USD	-6,811.00	
09AUG17	S	2	PD09NOV17	2973.00	3248.44	USD	-13,772.00	
09AUG17	S	1	PD09NOV17	2960.00	3248.44	USD	-7,211.00	
09AUG17	S	1	PD09NOV17	2952.50	3248.44	USD	-7,398.50	
09AUG17	B	1	PD09NOV17	2958.00	3248.44	USD	7,261.00	
09AUG17	S	1	PD09NOV17	2946.00	3248.44	USD	-7,561.00	
09AUG17	S	1	PD09NOV17	2944.00	3248.44	USD	-7,611.00	
09AUG17	S	1	PD09NOV17	2940.50	3248.44	USD	-7,698.50	
09AUG17	B	2	PD09NOV17	2932.50	3248.44	USD	15,797.00	
09AUG17	B	1	PD09NOV17	2935.00	3248.44	USD	7,836.00	
09AUG17	B	1	PD09NOV17	2934.50	3248.44	USD	7,848.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	2	PD09NOV17	2934.50	3248.44	USD	-15,697.00	
09AUG17	S	1	PD09NOV17	2935.00	3248.44	USD	-7,836.00	
17AUG17	B	5	PD09NOV17	3061.83	3248.44	USD	23,326.25	
17AUG17	B	2	PD09NOV17	3061.63	3248.44	USD	9,340.50	
23AUG17	B	1	PD09NOV17	3096.31	3248.44	USD	3,803.25	
05SEP17	B	3	PD09NOV17	3138.50	3248.44	USD	8,245.50	
11SEP17	B	1	PD09NOV17	3080.35	3248.44	USD	4,202.25	
12SEP17	B	1	PD09NOV17	3057.25	3248.44	USD	4,779.75	
12SEP17	B	4	PD09NOV17	3057.05	3248.44	USD	19,139.00	
14SEP17	S	7	PD09NOV17	3003.75	3248.44	USD	-42,820.75	
	NET	0AVG BGHT 3013.43	SOLD 2967.51				-39,031.75	0.00
10AUG17	B	1	PD10NOV17	2949.00	3247.33	USD	7,458.25	
10AUG17	B	1	PD10NOV17	2948.50	3247.33	USD	7,470.75	
10AUG17	B	1	PD10NOV17	2948.00	3247.33	USD	7,483.25	
10AUG17	B	1	PD10NOV17	2946.50	3247.33	USD	7,520.75	
10AUG17	S	1	PD10NOV17	2946.50	3247.33	USD	-7,520.75	
10AUG17	B	1	PD10NOV17	2936.50	3247.33	USD	7,770.75	
10AUG17	B	1	PD10NOV17	2924.00	3247.33	USD	8,083.25	
10AUG17	B	1	PD10NOV17	2910.50	3247.33	USD	8,420.75	
10AUG17	B	1	PD10NOV17	2912.00	3247.33	USD	8,383.25	
10AUG17	B	1	PD10NOV17	2906.50	3247.33	USD	8,520.75	
10AUG17	B	1	PD10NOV17	2907.00	3247.33	USD	8,508.25	
10AUG17	S	1	PD10NOV17	2913.50	3247.33	USD	-8,345.75	
10AUG17	B	1	PD10NOV17	2917.50	3247.33	USD	8,245.75	
10AUG17	S	1	PD10NOV17	2918.00	3247.33	USD	-8,233.25	
10AUG17	B	2	PD10NOV17	2938.00	3247.33	USD	15,466.50	
10AUG17	S	2	PD10NOV17	2938.50	3247.33	USD	-15,441.50	
10AUG17	S	1	PD10NOV17	2941.50	3247.33	USD	-7,645.75	
10AUG17	S	1	PD10NOV17	2949.00	3247.33	USD	-7,458.25	
10AUG17	S	1	PD10NOV17	2946.00	3247.33	USD	-7,533.25	
10AUG17	B	1	PD10NOV17	2943.50	3247.33	USD	7,595.75	
10AUG17	B	1	PD10NOV17	2939.00	3247.33	USD	7,708.25	
10AUG17	B	1	PD10NOV17	2940.00	3247.33	USD	7,683.25	
10AUG17	B	1	PD10NOV17	2937.50	3247.33	USD	7,745.75	
10AUG17	B	2	PD10NOV17	2936.00	3247.33	USD	15,566.50	
10AUG17	B	1	PD10NOV17	2932.00	3247.33	USD	7,883.25	
10AUG17	B	1	PD10NOV17	2933.50	3247.33	USD	7,845.75	
10AUG17	S	1	PD10NOV17	2930.00	3247.33	USD	-7,933.25	
10AUG17	S	1	PD10NOV17	2928.50	3247.33	USD	-7,970.75	
11AUG17	B	1	PD10NOV17	2923.50	3247.33	USD	8,095.75	
11AUG17	B	1	PD10NOV17	2919.00	3247.33	USD	8,208.25	
11AUG17	B	1	PD10NOV17	2918.50	3247.33	USD	8,220.75	
11AUG17	S	1	PD10NOV17	2918.50	3247.33	USD	-8,220.75	
11AUG17	S	1	PD10NOV17	2920.00	3247.33	USD	-8,183.25	
11AUG17	S	1	PD10NOV17	2926.50	3247.33	USD	-8,020.75	
11AUG17	S	1	PD10NOV17	2922.50	3247.33	USD	-8,120.75	
11AUG17	S	1	PD10NOV17	2923.50	3247.33	USD	-8,095.75	
11AUG17	S	1	PD10NOV17	2930.50	3247.33	USD	-7,920.75	
11AUG17	S	2	PD10NOV17	2932.00	3247.33	USD	-15,766.50	
11AUG17	S	2	PD10NOV17	2927.00	3247.33	USD	-16,016.50	
11AUG17	S	1	PD10NOV17	2923.00	3247.33	USD	-8,108.25	
11AUG17	B	2	PD10NOV17	2916.00	3247.33	USD	16,566.50	
11AUG17	S	1	PD10NOV17	2910.00	3247.33	USD	-8,433.25	
11AUG17	S	3	PD10NOV17	2908.00	3247.33	USD	-25,449.75	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	B	1	PD10NOV17	2891.00	3247.33	USD	8,908.25	
11AUG17	B	1	PD10NOV17	2889.50	3247.33	USD	8,945.75	

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11AUG17	B	1	PD10NOV17	2894.50	3247.33	USD	8,820.75	
11AUG17	S	1	PD10NOV17	2903.50	3247.33	USD	-8,595.75	
11AUG17	S	1	PD10NOV17	2906.50	3247.33	USD	-8,520.75	
11AUG17	S	1	PD10NOV17	2917.50	3247.33	USD	-8,245.75	
11AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
11AUG17	S	2	PD10NOV17	2922.00	3247.33	USD	-16,266.50	
11AUG17	S	2	PD10NOV17	2919.00	3247.33	USD	-16,416.50	
11AUG17	S	1	PD10NOV17	2917.00	3247.33	USD	-8,258.25	
11AUG17	S	1	PD10NOV17	2914.00	3247.33	USD	-8,333.25	
11AUG17	B	1	PD10NOV17	2903.00	3247.33	USD	8,608.25	
11AUG17	B	1	PD10NOV17	2904.50	3247.33	USD	8,570.75	
11AUG17	S	1	PD10NOV17	2909.00	3247.33	USD	-8,458.25	
11AUG17	S	1	PD10NOV17	2915.00	3247.33	USD	-8,308.25	
11AUG17	B	1	PD10NOV17	2910.50	3247.33	USD	8,420.75	
11AUG17	B	1	PD10NOV17	2910.00	3247.33	USD	8,433.25	
11AUG17	B	1	PD10NOV17	2911.50	3247.33	USD	8,395.75	
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11AUG17	B	2	PD10NOV17	2903.50	3247.33	USD	17,191.50	
11AUG17	B	2	PD10NOV17	2905.00	3247.33	USD	17,116.50	
11AUG17	B	1	PD10NOV17	2901.00	3247.33	USD	8,658.25	
11AUG17	S	2	PD10NOV17	2904.00	3247.33	USD	-17,166.50	
11AUG17	S	2	PD10NOV17	2902.50	3247.33	USD	-17,241.50	
11AUG17	S	1	PD10NOV17	2904.50	3247.33	USD	-8,570.75	
11AUG17	S	1	PD10NOV17	2905.50	3247.33	USD	-8,545.75	
15AUG17	B	2	PD10NOV17	2958.83	3247.33	USD	14,425.00	
17AUG17	S	2	PD10NOV17	3061.94	3247.33	USD	-9,269.50	
17AUG17	S	2	PD10NOV17	3061.74	3247.33	USD	-9,279.50	
22AUG17	B	6	PD10NOV17	3119.03	3247.33	USD	19,245.00	
05SEP17	B	1	PD10NOV17	3138.39	3247.33	USD	2,723.50	
11SEP17	S	1	PD10NOV17	3080.23	3247.33	USD	-4,177.50	
12SEP17	S	2	PD10NOV17	3056.99	3247.33	USD	-9,517.00	
	NET	0 AVG	BGHT 2949.68	SOLD 2940.24			-11,804.00	0.00
14AUG17	S	1	PD14NOV17	2915.50	3245.11	USD	-8,240.25	
14AUG17	B	1	PD14NOV17	2908.50	3245.11	USD	8,415.25	
14AUG17	B	1	PD14NOV17	2904.00	3245.11	USD	8,527.75	
14AUG17	B	1	PD14NOV17	2908.00	3245.11	USD	8,427.75	
14AUG17	B	1	PD14NOV17	2901.50	3245.11	USD	8,590.25	
14AUG17	B	1	PD14NOV17	2914.50	3245.11	USD	8,265.25	
14AUG17	B	1	PD14NOV17	2909.00	3245.11	USD	8,402.75	
14AUG17	S	2	PD14NOV17	2913.50	3245.11	USD	-16,580.50	
14AUG17	S	3	PD14NOV17	2916.00	3245.11	USD	-24,683.25	
14AUG17	S	6	PD14NOV17	2915.00	3245.11	USD	-49,516.50	
14AUG17	S	5	PD14NOV17	2914.00	3245.11	USD	-41,388.75	
15AUG17	S	2	PD14NOV17	2959.17	3245.11	USD	-14,297.00	
17AUG17	B	2	PD14NOV17	3063.39	3245.11	USD	9,086.00	
22AUG17	B	10	PD14NOV17	3119.57	3245.11	USD	31,385.00	
23AUG17	B	1	PD14NOV17	3096.88	3245.11	USD	3,705.75	
	NET	0 AVG	BGHT 3045.52	SOLD 2919.41			-59,900.50	0.00
15AUG17	S	1	PD15NOV17	2933.00	3244.00	USD	-7,775.00	
15AUG17	S	1	PD15NOV17	2939.00	3244.00	USD	-7,625.00	
15AUG17	S	1	PD15NOV17	2933.50	3244.00	USD	-7,762.50	
15AUG17	B	1	PD15NOV17	2945.50	3244.00	USD	7,462.50	
15AUG17	B	1	PD15NOV17	2949.00	3244.00	USD	7,375.00	
15AUG17	S	1	PD15NOV17	2949.50	3244.00	USD	-7,362.50	
15AUG17	S	1	PD15NOV17	2953.50	3244.00	USD	-7,262.50	
15AUG17	B	1	PD15NOV17	2939.00	3244.00	USD	7,625.00	
15AUG17	S	1	PD15NOV17	2940.00	3244.00	USD	-7,600.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	1	PD15NOV17	2937.00	3244.00	USD	-7,675.00	
15AUG17	B	1	PD15NOV17	2947.00	3244.00	USD	7,425.00	
15AUG17	B	1	PD15NOV17	2961.00	3244.00	USD	7,075.00	
15AUG17	S	2	PD15NOV17	2956.50	3244.00	USD	-14,375.00	
15AUG17	B	1	PD15NOV17	2944.00	3244.00	USD	7,500.00	
15AUG17	B	1	PD15NOV17	2940.00	3244.00	USD	7,600.00	
15AUG17	B	1	PD15NOV17	2939.50	3244.00	USD	7,612.50	
15AUG17	B	1	PD15NOV17	2934.50	3244.00	USD	7,737.50	
15AUG17	B	1	PD15NOV17	2931.50	3244.00	USD	7,812.50	
15AUG17	S	2	PD15NOV17	2944.50	3244.00	USD	-14,975.00	
15AUG17	S	2	PD15NOV17	2944.00	3244.00	USD	-15,000.00	
15AUG17	S	4	PD15NOV17	2947.50	3244.00	USD	-29,650.00	
15AUG17	S	1	PD15NOV17	2950.00	3244.00	USD	-7,350.00	
15AUG17	S	1	PD15NOV17	2955.50	3244.00	USD	-7,212.50	
15AUG17	S	1	PD15NOV17	2958.00	3244.00	USD	-7,150.00	
15AUG17	S	1	PD15NOV17	2964.00	3244.00	USD	-7,000.00	
15AUG17	B	1	PD15NOV17	2955.50	3244.00	USD	7,212.50	
15AUG17	B	1	PD15NOV17	2955.00	3244.00	USD	7,225.00	
15AUG17	B	1	PD15NOV17	2954.00	3244.00	USD	7,250.00	
15AUG17	B	1	PD15NOV17	2956.00	3244.00	USD	7,200.00	
17AUG17	B	2	PD15NOV17	3063.50	3244.00	USD	9,025.00	
23AUG17	B	3	PD15NOV17	3097.00	3244.00	USD	11,025.00	
05SEP17	B	1	PD15NOV17	3138.50	3244.00	USD	2,637.50	
12SEP17	B	2	PD15NOV17	3057.50	3244.00	USD	9,325.00	
12SEP17	S	1	PD15NOV17	3057.30	3244.00	USD	-4,667.50	
	NET	0 AVG BGHT 2996.50	SOLD 2952.29				-24,317.50	0.00
16AUG17	B	1	PD16NOV17	2952.00	3241.50	USD	7,237.50	
16AUG17	B	1	PD16NOV17	2954.00	3241.50	USD	7,187.50	
16AUG17	B	1	PD16NOV17	2955.00	3241.50	USD	7,162.50	
16AUG17	S	1	PD16NOV17	2970.00	3241.50	USD	-6,787.50	
16AUG17	S	1	PD16NOV17	2974.50	3241.50	USD	-6,675.00	
16AUG17	S	1	PD16NOV17	2977.00	3241.50	USD	-6,612.50	
16AUG17	S	2	PD16NOV17	2978.00	3241.50	USD	-13,175.00	
16AUG17	S	1	PD16NOV17	2981.00	3241.50	USD	-6,512.50	
16AUG17	S	1	PD16NOV17	2988.50	3241.50	USD	-6,325.00	
16AUG17	S	1	PD16NOV17	2988.00	3241.50	USD	-6,337.50	
16AUG17	S	1	PD16NOV17	2990.00	3241.50	USD	-6,287.50	
16AUG17	S	1	PD16NOV17	3004.50	3241.50	USD	-5,925.00	
16AUG17	S	2	PD16NOV17	3011.00	3241.50	USD	-11,525.00	
16AUG17	S	1	PD16NOV17	3021.50	3241.50	USD	-5,500.00	
16AUG17	S	1	PD16NOV17	3016.00	3241.50	USD	-5,637.50	
16AUG17	S	1	PD16NOV17	3023.00	3241.50	USD	-5,462.50	
16AUG17	S	1	PD16NOV17	3020.00	3241.50	USD	-5,537.50	
16AUG17	S	1	PD16NOV17	3032.00	3241.50	USD	-5,237.50	
16AUG17	S	1	PD16NOV17	3031.50	3241.50	USD	-5,250.00	
16AUG17	B	1	PD16NOV17	2979.50	3241.50	USD	6,550.00	
16AUG17	S	1	PD16NOV17	3025.50	3241.50	USD	-5,400.00	
16AUG17	S	1	PD16NOV17	3019.50	3241.50	USD	-5,550.00	
16AUG17	B	1	PD16NOV17	3023.00	3241.50	USD	5,462.50	
16AUG17	S	1	PD16NOV17	3024.50	3241.50	USD	-5,425.00	
16AUG17	S	1	PD16NOV17	3029.00	3241.50	USD	-5,312.50	
16AUG17	S	1	PD16NOV17	3028.00	3241.50	USD	-5,337.50	
16AUG17	B	3	PD16NOV17	3030.50	3241.50	USD	15,825.00	
16AUG17	B	1	PD16NOV17	3032.00	3241.50	USD	5,237.50	
16AUG17	B	1	PD16NOV17	3035.00	3241.50	USD	5,162.50	
16AUG17	B	1	PD16NOV17	3039.00	3241.50	USD	5,062.50	
16AUG17	S	1	PD16NOV17	3045.50	3241.50	USD	-4,900.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	3048.00	3241.50	USD	4,837.50	
16AUG17	B	1	PD16NOV17	3050.00	3241.50	USD	4,787.50	
16AUG17	B	2	PD16NOV17	3050.50	3241.50	USD	9,550.00	
16AUG17	B	1	PD16NOV17	3056.50	3241.50	USD	4,625.00	
16AUG17	S	1	PD16NOV17	3060.00	3241.50	USD	-4,537.50	
16AUG17	B	1	PD16NOV17	3063.00	3241.50	USD	4,462.50	
16AUG17	B	1	PD16NOV17	3067.50	3241.50	USD	4,350.00	
16AUG17	B	1	PD16NOV17	3067.00	3241.50	USD	4,362.50	
16AUG17	S	1	PD16NOV17	3068.50	3241.50	USD	-4,325.00	
16AUG17	S	1	PD16NOV17	3080.50	3241.50	USD	-4,025.00	
16AUG17	S	1	PD16NOV17	3085.00	3241.50	USD	-3,912.50	
16AUG17	B	1	PD16NOV17	3082.50	3241.50	USD	3,975.00	
16AUG17	B	1	PD16NOV17	3074.00	3241.50	USD	4,187.50	
16AUG17	B	1	PD16NOV17	3076.50	3241.50	USD	4,125.00	
16AUG17	B	1	PD16NOV17	3078.50	3241.50	USD	4,075.00	
16AUG17	B	1	PD16NOV17	3079.50	3241.50	USD	4,050.00	
16AUG17	B	2	PD16NOV17	3079.00	3241.50	USD	8,125.00	
16AUG17	B	1	PD16NOV17	3080.00	3241.50	USD	4,037.50	
16AUG17	B	1	PD16NOV17	3095.50	3241.50	USD	3,650.00	
16AUG17	S	1	PD16NOV17	3095.50	3241.50	USD	-3,650.00	
16AUG17	S	1	PD16NOV17	3095.00	3241.50	USD	-3,662.50	
16AUG17	B	1	PD16NOV17	3093.50	3241.50	USD	3,700.00	
16AUG17	S	1	PD16NOV17	3088.00	3241.50	USD	-3,837.50	
16AUG17	B	1	PD16NOV17	3103.00	3241.50	USD	3,462.50	
16AUG17	S	1	PD16NOV17	3121.50	3241.50	USD	-3,000.00	
16AUG17	S	1	PD16NOV17	3126.00	3241.50	USD	-2,887.50	
16AUG17	S	1	PD16NOV17	3129.00	3241.50	USD	-2,812.50	
16AUG17	S	1	PD16NOV17	3123.50	3241.50	USD	-2,950.00	
16AUG17	B	1	PD16NOV17	3027.00	3241.50	USD	5,362.50	
16AUG17	B	1	PD16NOV17	3107.50	3241.50	USD	3,350.00	
16AUG17	B	1	PD16NOV17	3118.00	3241.50	USD	3,087.50	
16AUG17	B	1	PD16NOV17	3115.50	3241.50	USD	3,150.00	
16AUG17	B	1	PD16NOV17	3115.00	3241.50	USD	3,162.50	
16AUG17	B	1	PD16NOV17	3118.50	3241.50	USD	3,075.00	
16AUG17	B	1	PD16NOV17	3117.00	3241.50	USD	3,112.50	
16AUG17	B	1	PD16NOV17	3119.50	3241.50	USD	3,050.00	
16AUG17	B	1	PD16NOV17	3113.50	3241.50	USD	3,200.00	
16AUG17	B	1	PD16NOV17	3110.00	3241.50	USD	3,287.50	
16AUG17	B	1	PD16NOV17	3109.00	3241.50	USD	3,312.50	
16AUG17	S	1	PD16NOV17	3120.00	3241.50	USD	-3,037.50	
17AUG17	S	2	PD16NOV17	3062.05	3241.50	USD	-8,972.50	
22AUG17	S	4	PD16NOV17	3117.50	3241.50	USD	-12,400.00	
05SEP17	B	4	PD16NOV17	3136.50	3241.50	USD	10,500.00	
12SEP17	B	3	PD16NOV17	3055.50	3241.50	USD	13,950.00	
12SEP17	S	3	PD16NOV17	3055.50	3241.50	USD	-13,950.00	
15SEP17	S	3	PD16NOV17	3026.50	3241.50	USD	-16,125.00	
	NET	0 AVG BGHT 3069.13	SOLD 3045.84				-27,947.50	0.00
17AUG17	B	1	PD17NOV17	3129.00	3239.00	USD	2,750.00	
17AUG17	B	1	PD17NOV17	3126.50	3239.00	USD	2,812.50	
17AUG17	S	1	PD17NOV17	3132.00	3239.00	USD	-2,675.00	
17AUG17	B	1	PD17NOV17	3140.50	3239.00	USD	2,462.50	
17AUG17	B	1	PD17NOV17	3141.50	3239.00	USD	2,437.50	
17AUG17	B	1	PD17NOV17	3127.50	3239.00	USD	2,787.50	
17AUG17	B	1	PD17NOV17	3116.50	3239.00	USD	3,062.50	
17AUG17	B	2	PD17NOV17	3131.00	3239.00	USD	5,400.00	
17AUG17	B	2	PD17NOV17	3135.50	3239.00	USD	5,175.00	
17AUG17	B	1	PD17NOV17	3135.00	3239.00	USD	2,600.00	

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17AUG17	B	1	PD17NOV17	3132.50	3239.00	USD	2,662.50	
17AUG17	S	1	PD17NOV17	3139.50	3239.00	USD	-2,487.50	
17AUG17	S	1	PD17NOV17	3143.50	3239.00	USD	-2,387.50	
17AUG17	B	1	PD17NOV17	3142.00	3239.00	USD	2,425.00	
17AUG17	B	1	PD17NOV17	3115.00	3239.00	USD	3,100.00	
17AUG17	B	1	PD17NOV17	3122.00	3239.00	USD	2,925.00	
17AUG17	B	1	PD17NOV17	3098.00	3239.00	USD	3,525.00	
17AUG17	S	1	PD17NOV17	3100.50	3239.00	USD	-3,462.50	
17AUG17	B	2	PD17NOV17	3100.00	3239.00	USD	6,950.00	
17AUG17	B	1	PD17NOV17	3090.50	3239.00	USD	3,712.50	
17AUG17	B	1	PD17NOV17	3090.00	3239.00	USD	3,725.00	
17AUG17	B	2	PD17NOV17	3091.00	3239.00	USD	7,400.00	
17AUG17	S	1	PD17NOV17	3129.50	3239.00	USD	-2,737.50	
17AUG17	B	1	PD17NOV17	3093.50	3239.00	USD	3,637.50	
17AUG17	B	2	PD17NOV17	3093.00	3239.00	USD	7,300.00	
17AUG17	B	2	PD17NOV17	3094.00	3239.00	USD	7,250.00	
17AUG17	S	3	PD17NOV17	3093.50	3239.00	USD	-10,912.50	
17AUG17	B	10	PD17NOV17	3095.00	3239.00	USD	36,000.00	
17AUG17	B	6	PD17NOV17	3094.50	3239.00	USD	21,675.00	
17AUG17	B	1	PD17NOV17	3097.00	3239.00	USD	3,550.00	
17AUG17	B	12	PD17NOV17	3096.50	3239.00	USD	42,750.00	
17AUG17	B	2	PD17NOV17	3095.50	3239.00	USD	7,175.00	
17AUG17	B	6	PD17NOV17	3097.50	3239.00	USD	21,225.00	
17AUG17	S	22	PD17NOV17	3096.00	3239.00	USD	-78,650.00	
17AUG17	S	8	PD17NOV17	3096.50	3239.00	USD	-28,500.00	
17AUG17	S	1	PD17NOV17	3095.50	3239.00	USD	-3,587.50	
17AUG17	B	2	PD17NOV17	3096.00	3239.00	USD	7,150.00	
17AUG17	B	2	PD17NOV17	3106.00	3239.00	USD	6,650.00	
17AUG17	B	1	PD17NOV17	3104.50	3239.00	USD	3,362.50	
17AUG17	S	1	PD17NOV17	3104.00	3239.00	USD	-3,375.00	
17AUG17	S	3	PD17NOV17	3092.00	3239.00	USD	-11,025.00	
17AUG17	S	2	PD17NOV17	3091.50	3239.00	USD	-7,375.00	
17AUG17	S	1	PD17NOV17	3085.00	3239.00	USD	-3,850.00	
17AUG17	S	1	PD17NOV17	3099.00	3239.00	USD	-3,500.00	
17AUG17	S	5	PD17NOV17	3097.00	3239.00	USD	-17,750.00	
17AUG17	B	1	PD17NOV17	3079.50	3239.00	USD	3,987.50	
17AUG17	S	1	PD17NOV17	3082.00	3239.00	USD	-3,925.00	
17AUG17	S	1	PD17NOV17	3081.50	3239.00	USD	-3,937.50	
17AUG17	S	1	PD17NOV17	3090.00	3239.00	USD	-3,725.00	
17AUG17	B	1	PD17NOV17	3094.50	3239.00	USD	3,612.50	
17AUG17	S	2	PD17NOV17	3096.00	3239.00	USD	-7,150.00	
17AUG17	S	2	PD17NOV17	3094.00	3239.00	USD	-7,250.00	
17AUG17	S	1	PD17NOV17	3077.00	3239.00	USD	-4,050.00	
17AUG17	B	1	PD17NOV17	3076.00	3239.00	USD	4,075.00	
17AUG17	B	1	PD17NOV17	3071.50	3239.00	USD	4,187.50	
17AUG17	B	1	PD17NOV17	3069.50	3239.00	USD	4,237.50	
17AUG17	B	1	PD17NOV17	3069.00	3239.00	USD	4,250.00	
17AUG17	B	1	PD17NOV17	3055.50	3239.00	USD	4,587.50	
17AUG17	B	1	PD17NOV17	3059.50	3239.00	USD	4,487.50	
17AUG17	S	1	PD17NOV17	3067.50	3239.00	USD	-4,287.50	
17AUG17	B	1	PD17NOV17	3056.00	3239.00	USD	4,575.00	
17AUG17	B	1	PD17NOV17	3050.50	3239.00	USD	4,712.50	
17AUG17	B	1	PD17NOV17	3067.00	3239.00	USD	4,300.00	
17AUG17	B	1	PD17NOV17	3058.00	3239.00	USD	4,525.00	
18AUG17	S	2	PD17NOV17	3078.50	3239.00	USD	-8,025.00	
18AUG17	S	2	PD17NOV17	3081.00	3239.00	USD	-7,900.00	
18AUG17	S	1	PD17NOV17	3080.00	3239.00	USD	-3,975.00	

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18AUG17	S	1	PD17NOV17	3083.00	3239.00	USD	-3,900.00	
18AUG17	S	1	PD17NOV17	3087.00	3239.00	USD	-3,800.00	
18AUG17	B	1	PD17NOV17	3104.50	3239.00	USD	3,362.50	
18AUG17	S	2	PD17NOV17	3111.00	3239.00	USD	-6,400.00	
18AUG17	S	1	PD17NOV17	3119.00	3239.00	USD	-3,000.00	
18AUG17	B	1	PD17NOV17	3121.00	3239.00	USD	2,950.00	
18AUG17	S	1	PD17NOV17	3116.00	3239.00	USD	-3,075.00	
18AUG17	S	2	PD17NOV17	3117.50	3239.00	USD	-6,075.00	
18AUG17	B	1	PD17NOV17	3118.50	3239.00	USD	3,012.50	
18AUG17	S	1	PD17NOV17	3123.50	3239.00	USD	-2,887.50	
18AUG17	B	1	PD17NOV17	3128.00	3239.00	USD	2,775.00	
18AUG17	S	1	PD17NOV17	3133.00	3239.00	USD	-2,650.00	
18AUG17	B	1	PD17NOV17	3110.50	3239.00	USD	3,212.50	
18AUG17	B	1	PD17NOV17	3112.00	3239.00	USD	3,175.00	
18AUG17	B	1	PD17NOV17	3113.00	3239.00	USD	3,150.00	
18AUG17	B	1	PD17NOV17	3119.50	3239.00	USD	2,987.50	
18AUG17	B	1	PD17NOV17	3120.50	3239.00	USD	2,962.50	
18AUG17	B	1	PD17NOV17	3121.50	3239.00	USD	2,937.50	
18AUG17	B	2	PD17NOV17	3114.00	3239.00	USD	6,250.00	
18AUG17	B	2	PD17NOV17	3117.00	3239.00	USD	6,100.00	
18AUG17	S	1	PD17NOV17	3118.50	3239.00	USD	-3,012.50	
18AUG17	S	1	PD17NOV17	3113.00	3239.00	USD	-3,150.00	
18AUG17	B	1	PD17NOV17	3133.50	3239.00	USD	2,637.50	
18AUG17	B	1	PD17NOV17	3112.50	3239.00	USD	3,162.50	
18AUG17	S	1	PD17NOV17	3114.00	3239.00	USD	-3,125.00	
18AUG17	B	2	PD17NOV17	3125.00	3239.00	USD	5,700.00	
18AUG17	B	1	PD17NOV17	3128.50	3239.00	USD	2,762.50	
18AUG17	B	1	PD17NOV17	3130.00	3239.00	USD	2,725.00	
18AUG17	S	1	PD17NOV17	3125.00	3239.00	USD	-2,850.00	
18AUG17	S	1	PD17NOV17	3131.00	3239.00	USD	-2,700.00	
18AUG17	S	2	PD17NOV17	3120.00	3239.00	USD	-5,950.00	
18AUG17	S	1	PD17NOV17	3116.50	3239.00	USD	-3,062.50	
18AUG17	B	1	PD17NOV17	3104.00	3239.00	USD	3,375.00	
18AUG17	B	1	PD17NOV17	3093.00	3239.00	USD	3,650.00	
18AUG17	S	1	PD17NOV17	3088.50	3239.00	USD	-3,762.50	
18AUG17	B	1	PD17NOV17	3079.50	3239.00	USD	3,987.50	
18AUG17	S	1	PD17NOV17	3079.00	3239.00	USD	-4,000.00	
18AUG17	S	1	PD17NOV17	3097.50	3239.00	USD	-3,537.50	
18AUG17	S	1	PD17NOV17	3103.00	3239.00	USD	-3,400.00	
18AUG17	S	2	PD17NOV17	3107.00	3239.00	USD	-6,600.00	
18AUG17	S	2	PD17NOV17	3115.00	3239.00	USD	-6,200.00	
18AUG17	B	2	PD17NOV17	3124.00	3239.00	USD	5,750.00	
18AUG17	B	1	PD17NOV17	3123.50	3239.00	USD	2,887.50	
18AUG17	S	2	PD17NOV17	3119.50	3239.00	USD	-5,975.00	
18AUG17	B	2	PD17NOV17	3113.50	3239.00	USD	6,275.00	
18AUG17	B	1	PD17NOV17	3109.00	3239.00	USD	3,250.00	
18AUG17	B	1	PD17NOV17	3106.00	3239.00	USD	3,325.00	
18AUG17	S	1	PD17NOV17	3122.00	3239.00	USD	-2,925.00	
18AUG17	S	1	PD17NOV17	3121.50	3239.00	USD	-2,937.50	
18AUG17	S	1	PD17NOV17	3123.00	3239.00	USD	-2,900.00	
18AUG17	B	2	PD17NOV17	3126.50	3239.00	USD	5,625.00	
18AUG17	B	1	PD17NOV17	3127.00	3239.00	USD	2,800.00	
18AUG17	B	1	PD17NOV17	3132.00	3239.00	USD	2,675.00	
18AUG17	S	1	PD17NOV17	3137.00	3239.00	USD	-2,550.00	
22AUG17	B	4	PD17NOV17	3117.20	3239.00	USD	12,180.00	
23AUG17	S	5	PD17NOV17	3094.30	3239.00	USD	-18,087.50	
23AUG17	S	4	PD17NOV17	3094.50	3239.00	USD	-14,450.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	7	PD17NOV17	3136.30	3239.00	USD	-17,972.50	
11SEP17	S	2	PD17NOV17	3078.48	3239.00	USD	-8,026.00	
11SEP17	S	2	PD17NOV17	3078.68	3239.00	USD	-8,016.00	
12SEP17	S	4	PD17NOV17	3055.51	3239.00	USD	-18,349.00	
12SEP17	B	3	PD17NOV17	3055.91	3239.00	USD	13,731.75	
	NET	0 AVG BGHT 3103.08	SOLD 3100.70				-7,276.75	0.00
21AUG17	B	1	PD21NOV17	3144.50	3237.00	USD	2,312.50	
21AUG17	S	1	PD21NOV17	3152.00	3237.00	USD	-2,125.00	
21AUG17	B	1	PD21NOV17	3136.50	3237.00	USD	2,512.50	
21AUG17	B	1	PD21NOV17	3151.00	3237.00	USD	2,150.00	
21AUG17	B	1	PD21NOV17	3137.50	3237.00	USD	2,487.50	
21AUG17	B	2	PD21NOV17	3127.00	3237.00	USD	5,500.00	
21AUG17	B	1	PD21NOV17	3141.00	3237.00	USD	2,400.00	
21AUG17	S	1	PD21NOV17	3139.50	3237.00	USD	-2,437.50	
21AUG17	S	1	PD21NOV17	3140.50	3237.00	USD	-2,412.50	
21AUG17	B	1	PD21NOV17	3116.00	3237.00	USD	3,025.00	
21AUG17	S	1	PD21NOV17	3114.50	3237.00	USD	-3,062.50	
21AUG17	S	1	PD21NOV17	3125.50	3237.00	USD	-2,787.50	
12SEP17	S	3	PD21NOV17	3056.36	3237.00	USD	-13,548.00	
	NET	0 AVG BGHT 3135.06	SOLD 3105.14				-5,985.50	0.00
22AUG17	B	1	PD22NOV17	3124.50	3236.00	USD	2,787.50	
22AUG17	S	1	PD22NOV17	3098.50	3236.00	USD	-3,437.50	
22AUG17	S	1	PD22NOV17	3104.00	3236.00	USD	-3,300.00	
22AUG17	S	1	PD22NOV17	3103.50	3236.00	USD	-3,312.50	
22AUG17	S	1	PD22NOV17	3111.50	3236.00	USD	-3,112.50	
22AUG17	B	1	PD22NOV17	3156.00	3236.00	USD	2,000.00	
22AUG17	S	1	PD22NOV17	3149.00	3236.00	USD	-2,175.00	
22AUG17	S	1	PD22NOV17	3129.50	3236.00	USD	-2,662.50	
22AUG17	S	1	PD22NOV17	3125.50	3236.00	USD	-2,762.50	
22AUG17	B	1	PD22NOV17	3122.00	3236.00	USD	2,850.00	
22AUG17	B	1	PD22NOV17	3133.00	3236.00	USD	2,575.00	
22AUG17	S	1	PD22NOV17	3124.00	3236.00	USD	-2,800.00	
22AUG17	B	1	PD22NOV17	3127.00	3236.00	USD	2,725.00	
22AUG17	S	1	PD22NOV17	3122.00	3236.00	USD	-2,850.00	
22AUG17	S	3	PD22NOV17	3122.50	3236.00	USD	-8,512.50	
22AUG17	S	1	PD22NOV17	3117.00	3236.00	USD	-2,975.00	
22AUG17	S	1	PD22NOV17	3125.00	3236.00	USD	-2,775.00	
22AUG17	S	4	PD22NOV17	3114.50	3236.00	USD	-12,150.00	
22AUG17	S	1	PD22NOV17	3119.00	3236.00	USD	-2,925.00	
22AUG17	S	4	PD22NOV17	3121.00	3236.00	USD	-11,500.00	
22AUG17	B	1	PD22NOV17	3112.50	3236.00	USD	3,087.50	
22AUG17	S	1	PD22NOV17	3118.00	3236.00	USD	-2,950.00	
22AUG17	S	1	PD22NOV17	3102.00	3236.00	USD	-3,350.00	
22AUG17	B	1	PD22NOV17	3108.50	3236.00	USD	3,187.50	
22AUG17	B	3	PD22NOV17	3109.00	3236.00	USD	9,525.00	
22AUG17	B	2	PD22NOV17	3109.50	3236.00	USD	6,325.00	
22AUG17	B	4	PD22NOV17	3110.00	3236.00	USD	12,600.00	
22AUG17	S	1	PD22NOV17	3127.50	3236.00	USD	-2,712.50	
22AUG17	B	2	PD22NOV17	3118.50	3236.00	USD	5,875.00	
22AUG17	B	1	PD22NOV17	3119.50	3236.00	USD	2,912.50	
23AUG17	B	4	PD22NOV17	3096.13	3236.00	USD	13,987.00	
06SEP17	B	2	PD22NOV17	3092.61	3236.00	USD	7,169.50	
11SEP17	B	1	PD22NOV17	3079.78	3236.00	USD	3,905.50	
12SEP17	S	2	PD22NOV17	3056.57	3236.00	USD	-8,971.50	
14SEP17	B	2	PD22NOV17	3003.06	3236.00	USD	11,647.00	
	NET	0 AVG BGHT 3102.92	SOLD 3114.24				7,925.00	0.00

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23AUG17	S	1	PD24NOV17	3108.00	3235.00	USD	-3,175.00	
23AUG17	S	1	PD24NOV17	3115.50	3235.00	USD	-2,987.50	
23AUG17	B	1	PD24NOV17	3122.00	3235.00	USD	2,825.00	
23AUG17	S	1	PD24NOV17	3125.00	3235.00	USD	-2,750.00	
23AUG17	S	1	PD24NOV17	3124.50	3235.00	USD	-2,762.50	
23AUG17	S	3	PD24NOV17	3126.00	3235.00	USD	-8,175.00	
23AUG17	S	3	PD24NOV17	3127.00	3235.00	USD	-8,100.00	
23AUG17	S	3	PD24NOV17	3127.50	3235.00	USD	-8,062.50	
23AUG17	S	2	PD24NOV17	3125.50	3235.00	USD	-5,475.00	
23AUG17	B	1	PD24NOV17	3098.50	3235.00	USD	3,412.50	
23AUG17	B	1	PD24NOV17	3093.00	3235.00	USD	3,550.00	
23AUG17	B	1	PD24NOV17	3094.50	3235.00	USD	3,512.50	
23AUG17	B	1	PD24NOV17	3090.00	3235.00	USD	3,625.00	
23AUG17	S	1	PD24NOV17	3104.50	3235.00	USD	-3,262.50	
23AUG17	S	1	PD24NOV17	3107.50	3235.00	USD	-3,187.50	
23AUG17	B	1	PD24NOV17	3112.00	3235.00	USD	3,075.00	
23AUG17	B	1	PD24NOV17	3103.50	3235.00	USD	3,287.50	
23AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
23AUG17	S	1	PD24NOV17	3106.00	3235.00	USD	-3,225.00	
23AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
23AUG17	S	1	PD24NOV17	3106.00	3235.00	USD	-3,225.00	
23AUG17	B	1	PD24NOV17	3096.00	3235.00	USD	3,475.00	
23AUG17	B	2	PD24NOV17	3098.00	3235.00	USD	6,850.00	
23AUG17	S	1	PD24NOV17	3099.00	3235.00	USD	-3,400.00	
23AUG17	B	1	PD24NOV17	3105.50	3235.00	USD	3,237.50	
23AUG17	S	1	PD24NOV17	3105.00	3235.00	USD	-3,250.00	
23AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
23AUG17	B	1	PD24NOV17	3099.50	3235.00	USD	3,387.50	
24AUG17	B	1	PD24NOV17	3113.50	3235.00	USD	3,037.50	
24AUG17	S	1	PD24NOV17	3138.00	3235.00	USD	-2,425.00	
24AUG17	B	2	PD24NOV17	3109.50	3235.00	USD	6,275.00	
24AUG17	B	1	PD24NOV17	3114.50	3235.00	USD	3,012.50	
24AUG17	B	1	PD24NOV17	3117.00	3235.00	USD	2,950.00	
24AUG17	B	1	PD24NOV17	3113.00	3235.00	USD	3,050.00	
24AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
24AUG17	S	1	PD24NOV17	3104.00	3235.00	USD	-3,275.00	
24AUG17	S	1	PD24NOV17	3111.50	3235.00	USD	-3,087.50	
24AUG17	S	1	PD24NOV17	3111.00	3235.00	USD	-3,100.00	
24AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
24AUG17	S	1	PD24NOV17	3104.50	3235.00	USD	-3,262.50	
24AUG17	S	2	PD24NOV17	3105.00	3235.00	USD	-6,500.00	
24AUG17	B	1	PD24NOV17	3115.00	3235.00	USD	3,000.00	
24AUG17	B	1	PD24NOV17	3114.00	3235.00	USD	3,025.00	
24AUG17	B	1	PD24NOV17	3125.00	3235.00	USD	2,750.00	
24AUG17	B	1	PD24NOV17	3126.00	3235.00	USD	2,725.00	
24AUG17	B	1	PD24NOV17	3119.00	3235.00	USD	2,900.00	
24AUG17	B	1	PD24NOV17	3111.00	3235.00	USD	3,100.00	
24AUG17	S	1	PD24NOV17	3116.50	3235.00	USD	-2,962.50	
24AUG17	S	2	PD24NOV17	3112.00	3235.00	USD	-6,150.00	
25AUG17	B	1	PD24NOV17	3117.00	3235.00	USD	2,950.00	
25AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
25AUG17	S	1	PD24NOV17	3105.50	3235.00	USD	-3,237.50	
25AUG17	S	1	PD24NOV17	3104.50	3235.00	USD	-3,262.50	
25AUG17	B	1	PD24NOV17	3129.50	3235.00	USD	2,637.50	
25AUG17	S	2	PD24NOV17	3127.00	3235.00	USD	-5,400.00	
25AUG17	B	1	PD24NOV17	3126.00	3235.00	USD	2,725.00	
25AUG17	B	1	PD24NOV17	3130.50	3235.00	USD	2,612.50	

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25AUG17	S	2	PD24NOV17	3129.50	3235.00	USD	-5,275.00	
25AUG17	B	1	PD24NOV17	3134.00	3235.00	USD	2,525.00	
25AUG17	S	1	PD24NOV17	3123.50	3235.00	USD	-2,787.50	
25AUG17	B	1	PD24NOV17	3104.00	3235.00	USD	3,275.00	
25AUG17	S	1	PD24NOV17	3089.50	3235.00	USD	-3,637.50	
25AUG17	B	1	PD24NOV17	3081.50	3235.00	USD	3,837.50	
25AUG17	S	1	PD24NOV17	3075.00	3235.00	USD	-4,000.00	
25AUG17	B	1	PD24NOV17	3070.00	3235.00	USD	4,125.00	
25AUG17	S	1	PD24NOV17	3070.00	3235.00	USD	-4,125.00	
25AUG17	B	1	PD24NOV17	3065.00	3235.00	USD	4,250.00	
25AUG17	S	1	PD24NOV17	3079.50	3235.00	USD	-3,887.50	
25AUG17	S	1	PD24NOV17	3069.50	3235.00	USD	-4,137.50	
25AUG17	S	1	PD24NOV17	3081.00	3235.00	USD	-3,850.00	
25AUG17	S	1	PD24NOV17	3090.00	3235.00	USD	-3,625.00	
25AUG17	B	1	PD24NOV17	3122.00	3235.00	USD	2,825.00	
25AUG17	S	2	PD24NOV17	3065.50	3235.00	USD	-8,475.00	
25AUG17	S	2	PD24NOV17	3068.00	3235.00	USD	-8,350.00	
25AUG17	S	1	PD24NOV17	3064.00	3235.00	USD	-4,275.00	
25AUG17	S	1	PD24NOV17	3068.50	3235.00	USD	-4,162.50	
30AUG17	B	3	PD24NOV17	3094.00	3235.00	USD	10,575.00	
06SEP17	B	1	PD24NOV17	3093.25	3235.00	USD	3,543.75	
11SEP17	S	1	PD24NOV17	3079.94	3235.00	USD	-3,876.50	
12SEP17	B	1	PD24NOV17	3057.19	3235.00	USD	4,445.25	
14SEP17	B	10	PD24NOV17	3003.34	3235.00	USD	57,915.00	
15SEP17	B	1	PD24NOV17	3027.94	3235.00	USD	5,176.50	
	NET	0 AVG BGHT 3085.19	SOLD 3105.80				27,829.00	0.00
29AUG17	S	1	PD29NOV17	3119.00	3234.00	USD	-2,875.00	
29AUG17	S	1	PD29NOV17	3116.00	3234.00	USD	-2,950.00	
29AUG17	S	1	PD29NOV17	3116.50	3234.00	USD	-2,937.50	
29AUG17	B	1	PD29NOV17	3111.00	3234.00	USD	3,075.00	
29AUG17	B	1	PD29NOV17	3094.00	3234.00	USD	3,500.00	
29AUG17	S	1	PD29NOV17	3120.00	3234.00	USD	-2,850.00	
29AUG17	B	1	PD29NOV17	3115.00	3234.00	USD	2,975.00	
29AUG17	S	1	PD29NOV17	3119.50	3234.00	USD	-2,862.50	
29AUG17	B	1	PD29NOV17	3105.50	3234.00	USD	3,212.50	
29AUG17	S	2	PD29NOV17	3110.00	3234.00	USD	-6,200.00	
29AUG17	B	1	PD29NOV17	3091.50	3234.00	USD	3,562.50	
29AUG17	B	1	PD29NOV17	3114.00	3234.00	USD	3,000.00	
29AUG17	B	1	PD29NOV17	3111.50	3234.00	USD	3,062.50	
29AUG17	S	1	PD29NOV17	3117.00	3234.00	USD	-2,925.00	
29AUG17	B	2	PD29NOV17	3120.50	3234.00	USD	5,675.00	
29AUG17	S	1	PD29NOV17	3118.50	3234.00	USD	-2,887.50	
29AUG17	B	1	PD29NOV17	3119.00	3234.00	USD	2,875.00	
29AUG17	B	1	PD29NOV17	3100.00	3234.00	USD	3,350.00	
29AUG17	S	1	PD29NOV17	3103.00	3234.00	USD	-3,275.00	
30AUG17	S	3	PD29NOV17	3094.63	3234.00	USD	-10,452.75	
06SEP17	B	2	PD29NOV17	3093.25	3234.00	USD	7,037.50	
11SEP17	B	1	PD29NOV17	3081.04	3234.00	USD	3,824.00	
12SEP17	S	1	PD29NOV17	3058.05	3234.00	USD	-4,398.75	
	NET	0 AVG BGHT 3105.00	SOLD 3106.53				535.00	0.00
30AUG17	B	1	PD30NOV17	3099.00	3233.67	USD	3,366.75	
30AUG17	B	1	PD30NOV17	3126.00	3233.67	USD	2,691.75	
30AUG17	B	1	PD30NOV17	3125.50	3233.67	USD	2,704.25	
30AUG17	B	1	PD30NOV17	3135.00	3233.67	USD	2,466.75	
30AUG17	S	2	PD30NOV17	3137.00	3233.67	USD	-4,833.50	
30AUG17	B	1	PD30NOV17	3149.00	3233.67	USD	2,116.75	
30AUG17	S	1	PD30NOV17	3151.50	3233.67	USD	-2,054.25	

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30AUG17	S	1	PD30NOV17	3150.00	3233.67	USD	-2,091.75	
30AUG17	B	1	PD30NOV17	3124.50	3233.67	USD	2,729.25	
30AUG17	B	1	PD30NOV17	3123.00	3233.67	USD	2,766.75	
30AUG17	B	2	PD30NOV17	3121.50	3233.67	USD	5,608.50	
30AUG17	B	1	PD30NOV17	3119.00	3233.67	USD	2,866.75	
30AUG17	B	1	PD30NOV17	3117.50	3233.67	USD	2,904.25	
30AUG17	S	1	PD30NOV17	3116.50	3233.67	USD	-2,929.25	
30AUG17	S	3	PD30NOV17	3116.00	3233.67	USD	-8,825.25	
30AUG17	S	1	PD30NOV17	3115.00	3233.67	USD	-2,966.75	
30AUG17	B	1	PD30NOV17	3115.50	3233.67	USD	2,954.25	
30AUG17	S	3	PD30NOV17	3114.50	3233.67	USD	-8,937.75	
30AUG17	B	1	PD30NOV17	3106.50	3233.67	USD	3,179.25	
30AUG17	B	1	PD30NOV17	3104.50	3233.67	USD	3,229.25	
30AUG17	B	1	PD30NOV17	3105.00	3233.67	USD	3,216.75	
30AUG17	B	1	PD30NOV17	3095.50	3233.67	USD	3,454.25	
30AUG17	B	1	PD30NOV17	3108.00	3233.67	USD	3,141.75	
30AUG17	B	1	PD30NOV17	3122.00	3233.67	USD	2,791.75	
30AUG17	B	1	PD30NOV17	3117.00	3233.67	USD	2,916.75	
30AUG17	B	1	PD30NOV17	3090.00	3233.67	USD	3,591.75	
31AUG17	S	1	PD30NOV17	3119.00	3233.67	USD	-2,866.75	
31AUG17	S	2	PD30NOV17	3140.50	3233.67	USD	-4,658.50	
31AUG17	S	1	PD30NOV17	3139.50	3233.67	USD	-2,354.25	
31AUG17	S	1	PD30NOV17	3138.50	3233.67	USD	-2,379.25	
31AUG17	S	1	PD30NOV17	3136.50	3233.67	USD	-2,429.25	
31AUG17	B	1	PD30NOV17	3133.00	3233.67	USD	2,516.75	
31AUG17	B	4	PD30NOV17	3132.50	3233.67	USD	10,117.00	
31AUG17	S	1	PD30NOV17	3134.50	3233.67	USD	-2,479.25	
31AUG17	S	1	PD30NOV17	3139.00	3233.67	USD	-2,366.75	
31AUG17	B	1	PD30NOV17	3148.50	3233.67	USD	2,129.25	
31AUG17	S	1	PD30NOV17	3152.50	3233.67	USD	-2,029.25	
31AUG17	B	1	PD30NOV17	3154.50	3233.67	USD	1,979.25	
31AUG17	B	1	PD30NOV17	3136.00	3233.67	USD	2,441.75	
05SEP17	B	1	PD30NOV17	3139.43	3233.67	USD	2,356.00	
06SEP17	S	5	PD30NOV17	3093.30	3233.67	USD	-17,546.25	
06SEP17	S	4	PD30NOV17	3093.50	3233.67	USD	-14,017.00	
11SEP17	S	1	PD30NOV17	3081.02	3233.67	USD	-3,816.25	
12SEP17	S	2	PD30NOV17	3058.46	3233.67	USD	-8,760.50	
20SEP17	B	4	PD30NOV17	3127.84	3233.67	USD	10,583.00	
	NET	0AVG BGHT 3123.58	SOLD 3114.47				-7,521.25	0.00
01SEP17	B	1	PD01DEC17	3159.00	3233.33	USD	1,858.25	
01SEP17	B	1	PD01DEC17	3157.00	3233.33	USD	1,908.25	
01SEP17	B	1	PD01DEC17	3144.00	3233.33	USD	2,233.25	
01SEP17	B	2	PD01DEC17	3144.50	3233.33	USD	4,441.50	
01SEP17	B	2	PD01DEC17	3148.50	3233.33	USD	4,241.50	
01SEP17	B	1	PD01DEC17	3147.50	3233.33	USD	2,145.75	
01SEP17	S	5	PD01DEC17	3155.50	3233.33	USD	-9,728.75	
01SEP17	S	2	PD01DEC17	3153.00	3233.33	USD	-4,016.50	
01SEP17	B	1	PD01DEC17	3173.50	3233.33	USD	1,495.75	
01SEP17	B	1	PD01DEC17	3179.00	3233.33	USD	1,358.25	
01SEP17	B	2	PD01DEC17	3148.50	3233.33	USD	4,241.50	
01SEP17	S	1	PD01DEC17	3184.50	3233.33	USD	-1,220.75	
01SEP17	S	1	PD01DEC17	3202.00	3233.33	USD	-783.25	
01SEP17	B	1	PD01DEC17	3203.00	3233.33	USD	758.25	
01SEP17	S	1	PD01DEC17	3203.50	3233.33	USD	-745.75	
01SEP17	B	1	PD01DEC17	3205.00	3233.33	USD	708.25	
05SEP17	S	1	PD01DEC17	3139.35	3233.33	USD	-2,349.50	
06SEP17	S	2	PD01DEC17	3093.75	3233.33	USD	-6,979.00	

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD01DEC17	3081.20	3233.33	USD	-3,803.25	
12SEP17	B	1	PD01DEC17	3058.87	3233.33	USD	4,361.50	
15SEP17	S	1	PD01DEC17	3028.83	3233.33	USD	-5,112.50	
19SEP17	B	2	PD01DEC17	3103.52	3233.33	USD	6,490.50	
20SEP17	S	2	PD01DEC17	3127.60	3233.33	USD	-5,286.50	
	NET	0AVG BGHT 3148.05	SOLD 3139.15				-3,783.25	0.00
04SEP17	S	1	PD04DEC17	3210.00	3233.00	USD	-575.00	
04SEP17	S	2	PD04DEC17	3210.50	3233.00	USD	-1,125.00	
04SEP17	B	1	PD04DEC17	3193.50	3233.00	USD	987.50	
04SEP17	B	1	PD04DEC17	3185.50	3233.00	USD	1,187.50	
04SEP17	S	1	PD04DEC17	3183.50	3233.00	USD	-1,237.50	
04SEP17	S	1	PD04DEC17	3194.50	3233.00	USD	-962.50	
04SEP17	S	1	PD04DEC17	3190.00	3233.00	USD	-1,075.00	
04SEP17	S	1	PD04DEC17	3197.00	3233.00	USD	-900.00	
06SEP17	B	4	PD04DEC17	3094.70	3233.00	USD	13,830.00	
11SEP17	B	1	PD04DEC17	3081.94	3233.00	USD	3,776.50	
12SEP17	B	1	PD04DEC17	3059.51	3233.00	USD	4,337.25	
15SEP17	B	1	PD04DEC17	3029.49	3233.00	USD	5,087.75	
20SEP17	S	2	PD04DEC17	3127.55	3233.00	USD	-5,272.50	
	NET	0AVG BGHT 3103.19	SOLD 3183.46				18,059.00	0.00
05SEP17	S	1	PD05DEC17	3201.50	3232.67	USD	-779.25	
05SEP17	S	1	PD05DEC17	3181.50	3232.67	USD	-1,279.25	
05SEP17	S	1	PD05DEC17	3189.00	3232.67	USD	-1,091.75	
05SEP17	S	1	PD05DEC17	3176.50	3232.67	USD	-1,404.25	
05SEP17	S	1	PD05DEC17	3172.50	3232.67	USD	-1,504.25	
05SEP17	S	1	PD05DEC17	3160.00	3232.67	USD	-1,816.75	
05SEP17	S	1	PD05DEC17	3145.50	3232.67	USD	-2,179.25	
05SEP17	B	1	PD05DEC17	3140.00	3232.67	USD	2,316.75	
05SEP17	S	1	PD05DEC17	3144.50	3232.67	USD	-2,204.25	
05SEP17	S	1	PD05DEC17	3125.00	3232.67	USD	-2,691.75	
05SEP17	B	1	PD05DEC17	3102.00	3232.67	USD	3,266.75	
05SEP17	S	1	PD05DEC17	3092.00	3232.67	USD	-3,516.75	
05SEP17	B	1	PD05DEC17	3100.50	3232.67	USD	3,304.25	
05SEP17	S	1	PD05DEC17	3100.00	3232.67	USD	-3,316.75	
05SEP17	B	2	PD05DEC17	3101.00	3232.67	USD	6,583.50	
05SEP17	B	1	PD05DEC17	3103.50	3232.67	USD	3,229.25	
05SEP17	B	1	PD05DEC17	3101.50	3232.67	USD	3,279.25	
05SEP17	S	3	PD05DEC17	3101.50	3232.67	USD	-9,837.75	
05SEP17	S	1	PD05DEC17	3102.50	3232.67	USD	-3,254.25	
05SEP17	S	1	PD05DEC17	3100.50	3232.67	USD	-3,304.25	
05SEP17	S	1	PD05DEC17	3125.00	3232.67	USD	-2,691.75	
06SEP17	B	2	PD05DEC17	3094.95	3232.67	USD	6,886.00	
11SEP17	B	2	PD05DEC17	3081.92	3232.67	USD	7,537.50	
11SEP17	B	2	PD05DEC17	3082.12	3232.67	USD	7,527.50	
14SEP17	B	3	PD05DEC17	3004.71	3232.67	USD	17,097.00	
15SEP17	B	2	PD05DEC17	3029.65	3232.67	USD	10,151.00	
19SEP17	S	1	PD05DEC17	3103.51	3232.67	USD	-3,229.00	
	NET	0AVG BGHT 3074.50	SOLD 3134.67				27,077.50	0.00
06SEP17	B	1	PD06DEC17	3102.50	3232.33	USD	3,245.75	
06SEP17	B	1	PD06DEC17	3101.50	3232.33	USD	3,270.75	
06SEP17	B	1	PD06DEC17	3107.00	3232.33	USD	3,133.25	
06SEP17	B	2	PD06DEC17	3102.00	3232.33	USD	6,516.50	
06SEP17	B	1	PD06DEC17	3111.00	3232.33	USD	3,033.25	
06SEP17	B	2	PD06DEC17	3101.00	3232.33	USD	6,566.50	
06SEP17	S	2	PD06DEC17	3090.00	3232.33	USD	-7,116.50	
06SEP17	B	1	PD06DEC17	3075.00	3232.33	USD	3,933.25	

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06SEP17	B	1	PD06DEC17	3081.00	3232.33	USD	3,783.25	
06SEP17	S	1	PD06DEC17	3088.00	3232.33	USD	-3,608.25	
06SEP17	B	1	PD06DEC17	3100.00	3232.33	USD	3,308.25	
06SEP17	B	1	PD06DEC17	3083.00	3232.33	USD	3,733.25	
06SEP17	S	1	PD06DEC17	3103.00	3232.33	USD	-3,233.25	
11SEP17	S	2	PD06DEC17	3081.90	3232.33	USD	-7,521.50	
14SEP17	S	3	PD06DEC17	3004.66	3232.33	USD	-17,075.25	
15SEP17	S	2	PD06DEC17	3029.60	3232.33	USD	-10,136.50	
19SEP17	S	1	PD06DEC17	3103.73	3232.33	USD	-3,215.00	
	NET	0 AVG BGHT 3097.25	SOLD 3059.31				-11,382.25	0.00
07SEP17	S	1	PD07DEC17	3107.50	3232.00	USD	-3,112.50	
07SEP17	B	1	PD07DEC17	3112.50	3232.00	USD	2,987.50	
07SEP17	B	1	PD07DEC17	3076.50	3232.00	USD	3,887.50	
07SEP17	S	1	PD07DEC17	3077.50	3232.00	USD	-3,862.50	
07SEP17	S	1	PD07DEC17	3096.50	3232.00	USD	-3,387.50	
07SEP17	S	1	PD07DEC17	3115.00	3232.00	USD	-2,925.00	
07SEP17	S	1	PD07DEC17	3137.00	3232.00	USD	-2,375.00	
07SEP17	B	1	PD07DEC17	3124.00	3232.00	USD	2,700.00	
07SEP17	S	1	PD07DEC17	3129.00	3232.00	USD	-2,575.00	
07SEP17	S	1	PD07DEC17	3131.50	3232.00	USD	-2,512.50	
07SEP17	S	1	PD07DEC17	3130.50	3232.00	USD	-2,537.50	
07SEP17	S	1	PD07DEC17	3134.50	3232.00	USD	-2,437.50	
14SEP17	B	1	PD07DEC17	3005.00	3232.00	USD	5,675.00	
14SEP17	B	3	PD07DEC17	3005.20	3232.00	USD	17,010.00	
15SEP17	B	1	PD07DEC17	3029.96	3232.00	USD	5,051.00	
19SEP17	B	1	PD07DEC17	3104.14	3232.00	USD	3,196.50	
	NET	0 AVG BGHT 3051.97	SOLD 3117.67				14,782.50	0.00
08SEP17	S	1	PD08DEC17	3142.50	3231.67	USD	-2,229.25	
08SEP17	S	1	PD08DEC17	3132.00	3231.67	USD	-2,491.75	
08SEP17	S	2	PD08DEC17	3139.00	3231.67	USD	-4,633.50	
08SEP17	B	1	PD08DEC17	3144.00	3231.67	USD	2,191.75	
08SEP17	B	1	PD08DEC17	3146.00	3231.67	USD	2,141.75	
08SEP17	B	1	PD08DEC17	3147.00	3231.67	USD	2,116.75	
08SEP17	B	1	PD08DEC17	3146.50	3231.67	USD	2,129.25	
08SEP17	B	1	PD08DEC17	3153.00	3231.67	USD	1,966.75	
08SEP17	S	1	PD08DEC17	3120.00	3231.67	USD	-2,791.75	
08SEP17	S	1	PD08DEC17	3116.00	3231.67	USD	-2,891.75	
08SEP17	S	1	PD08DEC17	3115.00	3231.67	USD	-2,916.75	
08SEP17	S	1	PD08DEC17	3106.00	3231.67	USD	-3,141.75	
08SEP17	S	1	PD08DEC17	3102.00	3231.67	USD	-3,241.75	
08SEP17	S	1	PD08DEC17	3092.50	3231.67	USD	-3,479.25	
08SEP17	B	1	PD08DEC17	3085.50	3231.67	USD	3,654.25	
08SEP17	B	1	PD08DEC17	3088.50	3231.67	USD	3,579.25	
08SEP17	B	1	PD08DEC17	3086.50	3231.67	USD	3,629.25	
08SEP17	S	1	PD08DEC17	3103.50	3231.67	USD	-3,204.25	
08SEP17	S	1	PD08DEC17	3105.00	3231.67	USD	-3,166.75	
08SEP17	S	1	PD08DEC17	3093.50	3231.67	USD	-3,454.25	
08SEP17	B	1	PD08DEC17	3072.50	3231.67	USD	3,979.25	
08SEP17	B	2	PD08DEC17	3069.50	3231.67	USD	8,108.50	
08SEP17	B	1	PD08DEC17	3059.50	3231.67	USD	4,304.25	
08SEP17	B	1	PD08DEC17	3056.50	3231.67	USD	4,379.25	
08SEP17	B	1	PD08DEC17	3055.50	3231.67	USD	4,404.25	
08SEP17	S	1	PD08DEC17	3054.50	3231.67	USD	-4,429.25	
08SEP17	S	1	PD08DEC17	3053.00	3231.67	USD	-4,466.75	
08SEP17	S	1	PD08DEC17	3032.50	3231.67	USD	-4,979.25	
08SEP17	B	1	PD08DEC17	3031.50	3231.67	USD	5,004.25	
14SEP17	B	1	PD08DEC17	3005.14	3231.67	USD	5,663.25	

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14SEP17	S	1	PD08DEC17	3004.94	3231.67	USD	-5,668.25	
15SEP17	B	1	PD08DEC17	3030.11	3231.67	USD	5,039.00	
19SEP17	S	1	PD08DEC17	3104.16	3231.67	USD	-3,187.75	
20SEP17	B	1	PD08DEC17	3128.81	3231.67	USD	2,571.50	
	NET	0 AVG BGHT 3087.53	SOLD 3097.51				4,488.50	0.00
11SEP17	B	1	PD11DEC17	3056.00	3231.33	USD	4,383.25	
11SEP17	S	1	PD11DEC17	3053.00	3231.33	USD	-4,458.25	
11SEP17	B	2	PD11DEC17	3057.00	3231.33	USD	8,716.50	
11SEP17	S	1	PD11DEC17	3063.00	3231.33	USD	-4,208.25	
11SEP17	B	1	PD11DEC17	3064.50	3231.33	USD	4,170.75	
11SEP17	S	1	PD11DEC17	3083.00	3231.33	USD	-3,708.25	
11SEP17	B	1	PD11DEC17	3098.00	3231.33	USD	3,333.25	
11SEP17	B	1	PD11DEC17	3099.50	3231.33	USD	3,295.75	
11SEP17	S	1	PD11DEC17	3088.00	3231.33	USD	-3,583.25	
11SEP17	B	1	PD11DEC17	3078.00	3231.33	USD	3,833.25	
11SEP17	B	1	PD11DEC17	3055.00	3231.33	USD	4,408.25	
11SEP17	B	1	PD11DEC17	3071.50	3231.33	USD	3,995.75	
11SEP17	B	2	PD11DEC17	3065.00	3231.33	USD	8,316.50	
11SEP17	B	1	PD11DEC17	3063.00	3231.33	USD	4,208.25	
11SEP17	B	1	PD11DEC17	3052.00	3231.33	USD	4,483.25	
11SEP17	S	1	PD11DEC17	3085.00	3231.33	USD	-3,658.25	
11SEP17	B	1	PD11DEC17	3087.50	3231.33	USD	3,595.75	
11SEP17	B	1	PD11DEC17	3090.00	3231.33	USD	3,533.25	
14SEP17	S	2	PD11DEC17	3005.57	3231.33	USD	-11,288.00	
15SEP17	S	1	PD11DEC17	3030.38	3231.33	USD	-5,023.75	
18SEP17	S	3	PD11DEC17	3097.19	3231.33	USD	-10,060.50	
20SEP17	S	1	PD11DEC17	3129.41	3231.33	USD	-2,548.00	
20SEP17	S	1	PD11DEC17	3129.61	3231.33	USD	-2,543.00	
	NET	+2 AVG BGHT 3070.60	SOLD 3074.16				9,194.25	0.00
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	B	1	PD12DEC17	3091.50	3231.00	USD	3,487.50	
12SEP17	B	1	PD12DEC17	3093.50	3231.00	USD	3,437.50	
12SEP17	B	1	PD12DEC17	3088.00	3231.00	USD	3,575.00	
12SEP17	B	1	PD12DEC17	3092.00	3231.00	USD	3,475.00	
12SEP17	B	1	PD12DEC17	3053.50	3231.00	USD	4,437.50	
12SEP17	B	1	PD12DEC17	3054.00	3231.00	USD	4,425.00	
12SEP17	S	1	PD12DEC17	3055.50	3231.00	USD	-4,387.50	
12SEP17	S	1	PD12DEC17	3056.50	3231.00	USD	-4,362.50	
12SEP17	B	2	PD12DEC17	3059.50	3231.00	USD	8,575.00	
12SEP17	B	1	PD12DEC17	3077.00	3231.00	USD	3,850.00	
12SEP17	B	1	PD12DEC17	3069.00	3231.00	USD	4,050.00	
12SEP17	B	1	PD12DEC17	3063.00	3231.00	USD	4,200.00	
12SEP17	B	1	PD12DEC17	3054.50	3231.00	USD	4,412.50	
14SEP17	S	2	PD12DEC17	3005.71	3231.00	USD	-11,264.50	
18SEP17	S	1	PD12DEC17	3097.31	3231.00	USD	-3,342.25	
19SEP17	S	2	PD12DEC17	3105.21	3231.00	USD	-6,289.50	
20SEP17	S	1	PD12DEC17	3129.88	3231.00	USD	-2,528.00	
21SEP17	S	1	PD12DEC17	3099.90	3231.00	USD	-3,277.50	
	NET	+2 AVG BGHT 3071.25	SOLD 3074.29				8,748.25	0.00
13SEP17	B	1	PD13DEC17	3067.50	3230.67	USD	4,079.25	
13SEP17	S	1	PD13DEC17	3057.50	3230.67	USD	-4,329.25	
13SEP17	S	3	PD13DEC17	3056.00	3230.67	USD	-13,100.25	
13SEP17	S	1	PD13DEC17	3055.00	3230.67	USD	-4,391.75	
13SEP17	S	1	PD13DEC17	3052.50	3230.67	USD	-4,454.25	
13SEP17	S	1	PD13DEC17	3061.00	3230.67	USD	-4,241.75	
13SEP17	S	1	PD13DEC17	3061.50	3230.67	USD	-4,229.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	3048.50	3230.67	USD	4,554.25	
13SEP17	S	1	PD13DEC17	3043.50	3230.67	USD	-4,679.25	
14SEP17	S	1	PD13DEC17	3005.66	3230.67	USD	-5,625.25	
14SEP17	B	4	PD13DEC17	3006.06	3230.67	USD	22,461.00	
15SEP17	B	1	PD13DEC17	3030.89	3230.67	USD	4,994.50	
18SEP17	B	2	PD13DEC17	3097.62	3230.67	USD	6,652.50	
20SEP17	B	1	PD13DEC17	3130.34	3230.67	USD	2,508.25	
	NET	0AVG BGHT 3049.67	SOLD 3050.47				198.75	0.00
14SEP17	B	1	PD14DEC17	3035.00	3230.33	USD	4,883.25	
14SEP17	S	1	PD14DEC17	3044.00	3230.33	USD	-4,658.25	
14SEP17	B	1	PD14DEC17	3038.50	3230.33	USD	4,795.75	
14SEP17	S	1	PD14DEC17	3031.50	3230.33	USD	-4,970.75	
14SEP17	B	1	PD14DEC17	3031.00	3230.33	USD	4,983.25	
14SEP17	S	1	PD14DEC17	3030.00	3230.33	USD	-5,008.25	
14SEP17	S	1	PD14DEC17	3026.00	3230.33	USD	-5,108.25	
14SEP17	B	1	PD14DEC17	3012.00	3230.33	USD	5,458.25	
14SEP17	S	1	PD14DEC17	3010.00	3230.33	USD	-5,508.25	
14SEP17	B	1	PD14DEC17	3002.50	3230.33	USD	5,695.75	
14SEP17	B	1	PD14DEC17	2982.50	3230.33	USD	6,195.75	
14SEP17	S	1	PD14DEC17	2996.50	3230.33	USD	-5,845.75	
14SEP17	S	1	PD14DEC17	2996.00	3230.33	USD	-5,858.25	
14SEP17	B	1	PD14DEC17	2998.50	3230.33	USD	5,795.75	
14SEP17	B	1	PD14DEC17	2998.00	3230.33	USD	5,808.25	
14SEP17	B	1	PD14DEC17	3003.50	3230.33	USD	5,670.75	
14SEP17	S	1	PD14DEC17	3004.00	3230.33	USD	-5,658.25	
14SEP17	B	2	PD14DEC17	3013.50	3230.33	USD	10,841.50	
14SEP17	B	1	PD14DEC17	3014.50	3230.33	USD	5,395.75	
14SEP17	B	1	PD14DEC17	3004.50	3230.33	USD	5,645.75	
14SEP17	B	1	PD14DEC17	3010.00	3230.33	USD	5,508.25	
14SEP17	B	1	PD14DEC17	3009.50	3230.33	USD	5,520.75	
14SEP17	B	1	PD14DEC17	3006.00	3230.33	USD	5,608.25	
20SEP17	S	1	PD14DEC17	3130.41	3230.33	USD	-2,498.00	
21SEP17	S	5	PD14DEC17	3100.43	3230.33	USD	-16,237.50	
	NET	+2AVG BGHT 3010.81	SOLD 3055.04				26,455.50	0.00
15SEP17	B	1	PD15DEC17	3032.00	3230.00	USD	4,950.00	
15SEP17	S	1	PD15DEC17	3037.00	3230.00	USD	-4,825.00	
15SEP17	S	1	PD15DEC17	3035.00	3230.00	USD	-4,875.00	
15SEP17	S	2	PD15DEC17	3033.50	3230.00	USD	-9,825.00	
15SEP17	S	1	PD15DEC17	3032.00	3230.00	USD	-4,950.00	
15SEP17	S	1	PD15DEC17	3027.50	3230.00	USD	-5,062.50	
15SEP17	S	1	PD15DEC17	3022.00	3230.00	USD	-5,200.00	
15SEP17	S	1	PD15DEC17	3016.00	3230.00	USD	-5,350.00	
15SEP17	B	1	PD15DEC17	3019.50	3230.00	USD	5,262.50	
15SEP17	B	1	PD15DEC17	3018.00	3230.00	USD	5,300.00	
15SEP17	S	1	PD15DEC17	3021.00	3230.00	USD	-5,225.00	
15SEP17	S	1	PD15DEC17	3012.50	3230.00	USD	-5,437.50	
15SEP17	S	1	PD15DEC17	3004.00	3230.00	USD	-5,650.00	
15SEP17	S	1	PD15DEC17	3007.50	3230.00	USD	-5,562.50	
15SEP17	B	1	PD15DEC17	3031.00	3230.00	USD	4,975.00	
15SEP17	S	1	PD15DEC17	3042.00	3230.00	USD	-4,700.00	
15SEP17	S	1	PD15DEC17	3040.50	3230.00	USD	-4,737.50	
15SEP17	S	2	PD15DEC17	3039.50	3230.00	USD	-9,525.00	
15SEP17	S	1	PD15DEC17	3036.00	3230.00	USD	-4,850.00	
15SEP17	S	1	PD15DEC17	3031.50	3230.00	USD	-4,962.50	
15SEP17	S	1	PD15DEC17	3026.00	3230.00	USD	-5,100.00	
15SEP17	S	1	PD15DEC17	3034.00	3230.00	USD	-4,900.00	
15SEP17	S	1	PD15DEC17	3018.50	3230.00	USD	-5,287.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	3019.50	3230.00	USD	-10,525.00	
15SEP17	S	1	PD15DEC17	3011.50	3230.00	USD	-5,462.50	
15SEP17	S	1	PD15DEC17	2993.00	3230.00	USD	-5,925.00	
15SEP17	S	1	PD15DEC17	2990.00	3230.00	USD	-6,000.00	
15SEP17	S	2	PD15DEC17	2994.00	3230.00	USD	-11,800.00	
15SEP17	S	1	PD15DEC17	3003.50	3230.00	USD	-5,662.50	
15SEP17	S	1	PD15DEC17	2996.50	3230.00	USD	-5,837.50	
15SEP17	B	1	PD15DEC17	3029.00	3230.00	USD	5,025.00	
15SEP17	B	1	PD15DEC17	3024.00	3230.00	USD	5,150.00	
15SEP17	B	1	PD15DEC17	3034.00	3230.00	USD	4,900.00	
15SEP17	B	1	PD15DEC17	3031.00	3230.00	USD	4,975.00	
15SEP17	B	7	PD15DEC17	3029.50	3230.00	USD	35,087.50	
15SEP17	B	1	PD15DEC17	3033.00	3230.00	USD	4,925.00	
15SEP17	S	1	PD15DEC17	2991.00	3230.00	USD	-5,975.00	
18SEP17	B	2	PD15DEC17	3097.85	3230.00	USD	6,607.50	
19SEP17	B	2	PD15DEC17	3106.06	3230.00	USD	6,197.00	
20SEP17	B	2	PD15DEC17	3130.87	3230.00	USD	4,956.50	
21SEP17	B	5	PD15DEC17	3100.89	3230.00	USD	16,138.75	
	NET	-4 AVG BGHT 3060.44	SOLD 3019.40				-48,762.75	0.00
18SEP17	B	1	PD18DEC17	3046.50	3229.67	USD	4,579.25	
18SEP17	B	1	PD18DEC17	3073.00	3229.67	USD	3,916.75	
18SEP17	B	1	PD18DEC17	3068.50	3229.67	USD	4,029.25	
18SEP17	B	2	PD18DEC17	3060.00	3229.67	USD	8,483.50	
18SEP17	B	1	PD18DEC17	3073.50	3229.67	USD	3,904.25	
18SEP17	B	1	PD18DEC17	3077.50	3229.67	USD	3,804.25	
18SEP17	S	1	PD18DEC17	3039.00	3229.67	USD	-4,766.75	
18SEP17	B	1	PD18DEC17	3075.00	3229.67	USD	3,866.75	
18SEP17	B	1	PD18DEC17	3082.50	3229.67	USD	3,679.25	
18SEP17	B	1	PD18DEC17	3063.00	3229.67	USD	4,166.75	
18SEP17	B	1	PD18DEC17	3050.50	3229.67	USD	4,479.25	
18SEP17	B	2	PD18DEC17	3086.00	3229.67	USD	7,183.50	
18SEP17	B	1	PD18DEC17	3069.50	3229.67	USD	4,004.25	
18SEP17	B	3	PD18DEC17	3065.00	3229.67	USD	12,350.25	
18SEP17	B	1	PD18DEC17	3073.50	3229.67	USD	3,904.25	
18SEP17	S	1	PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	S	1	PD18DEC17	3088.00	3229.67	USD	-3,541.75	
18SEP17	B	1	PD18DEC17	3089.00	3229.67	USD	3,516.75	
18SEP17	S	1	PD18DEC17	3085.50	3229.67	USD	-3,604.25	
18SEP17	S	2	PD18DEC17	3086.50	3229.67	USD	-7,158.50	
18SEP17	B	1	PD18DEC17	3090.00	3229.67	USD	3,491.75	
18SEP17	S	1	PD18DEC17	3086.00	3229.67	USD	-3,591.75	
18SEP17	S	1	PD18DEC17	3089.00	3229.67	USD	-3,516.75	
18SEP17	S	1	PD18DEC17	3088.50	3229.67	USD	-3,529.25	
18SEP17	S	2	PD18DEC17	3087.50	3229.67	USD	-7,108.50	
18SEP17	S	1	PD18DEC17	3083.00	3229.67	USD	-3,666.75	
18SEP17	S	1	PD18DEC17	3084.50	3229.67	USD	-3,629.25	
18SEP17	S	1	PD18DEC17	3085.00	3229.67	USD	-3,616.75	
18SEP17	S	1	PD18DEC17	3083.50	3229.67	USD	-3,654.25	
18SEP17	S	2	PD18DEC17	3084.00	3229.67	USD	-7,283.50	
18SEP17	S	1	PD18DEC17	3082.00	3229.67	USD	-3,691.75	
18SEP17	B	4	PD18DEC17	3081.00	3229.67	USD	14,867.00	
18SEP17	B	1	PD18DEC17	3079.50	3229.67	USD	3,754.25	
18SEP17	S	2	PD18DEC17	3070.00	3229.67	USD	-7,983.50	
18SEP17	S	1	PD18DEC17	3077.50	3229.67	USD	-3,804.25	
18SEP17	S	2	PD18DEC17	3072.00	3229.67	USD	-7,883.50	
18SEP17	S	1	PD18DEC17	3071.50	3229.67	USD	-3,954.25	
18SEP17	B	5	PD18DEC17	3080.00	3229.67	USD	18,708.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	3079.00	3229.67	USD	-3,766.75	
18SEP17	B	6	PD18DEC17	3081.50	3229.67	USD	22,225.50	
18SEP17	B	1	PD18DEC17	3089.50	3229.67	USD	3,504.25	
18SEP17	B	1	PD18DEC17	3091.00	3229.67	USD	3,466.75	
18SEP17	B	2	PD18DEC17	3093.50	3229.67	USD	6,808.50	
18SEP17	S	1	PD18DEC17	3105.50	3229.67	USD	-3,104.25	
18SEP17	S	1	PD18DEC17	3110.50	3229.67	USD	-2,979.25	
18SEP17	B	1	PD18DEC17	3110.50	3229.67	USD	2,979.25	
18SEP17	B	1	PD18DEC17	3086.00	3229.67	USD	3,591.75	
18SEP17	B	1	PD18DEC17	3090.00	3229.67	USD	3,491.75	
18SEP17	B	1	PD18DEC17	3093.50	3229.67	USD	3,404.25	
21SEP17	S	7	PD18DEC17	3101.48	3229.67	USD	-22,433.25	
25SEP17	S	1	PD18DEC17	3101.26	3229.67	USD	-3,210.25	
26SEP17	S	2	PD18DEC17	3119.00	3229.67	USD	-5,533.50	
03OCT17	S	1	PD18DEC17	3267.29	3229.67	USD	940.50	
	NET	+6 AVG BGHT 3078.61	SOLD 3093.21				36,523.25	0.00
19SEP17	S	1	PD19DEC17	3114.00	3229.33	USD	-2,883.25	
19SEP17	S	1	PD19DEC17	3109.50	3229.33	USD	-2,995.75	
19SEP17	S	1	PD19DEC17	3109.00	3229.33	USD	-3,008.25	
19SEP17	S	1	PD19DEC17	3115.00	3229.33	USD	-2,858.25	
19SEP17	S	1	PD19DEC17	3115.50	3229.33	USD	-2,845.75	
19SEP17	S	1	PD19DEC17	3113.50	3229.33	USD	-2,895.75	
19SEP17	B	1	PD19DEC17	3102.50	3229.33	USD	3,170.75	
19SEP17	B	1	PD19DEC17	3101.00	3229.33	USD	3,208.25	
19SEP17	S	1	PD19DEC17	3102.50	3229.33	USD	-3,170.75	
19SEP17	S	1	PD19DEC17	3102.00	3229.33	USD	-3,183.25	
19SEP17	S	1	PD19DEC17	3112.00	3229.33	USD	-2,933.25	
19SEP17	B	1	PD19DEC17	3086.50	3229.33	USD	3,570.75	
19SEP17	B	1	PD19DEC17	3089.50	3229.33	USD	3,495.75	
19SEP17	B	1	PD19DEC17	3088.00	3229.33	USD	3,533.25	
19SEP17	B	1	PD19DEC17	3088.50	3229.33	USD	3,520.75	
19SEP17	B	4	PD19DEC17	3089.00	3229.33	USD	14,033.00	
19SEP17	S	1	PD19DEC17	3087.00	3229.33	USD	-3,558.25	
19SEP17	S	1	PD19DEC17	3084.50	3229.33	USD	-3,620.75	
19SEP17	S	2	PD19DEC17	3084.00	3229.33	USD	-7,266.50	
19SEP17	S	2	PD19DEC17	3086.00	3229.33	USD	-7,166.50	
19SEP17	S	1	PD19DEC17	3087.50	3229.33	USD	-3,545.75	
19SEP17	S	1	PD19DEC17	3069.50	3229.33	USD	-3,995.75	
19SEP17	S	1	PD19DEC17	3075.00	3229.33	USD	-3,858.25	
19SEP17	B	1	PD19DEC17	3100.50	3229.33	USD	3,220.75	
19SEP17	B	1	PD19DEC17	3105.50	3229.33	USD	3,095.75	
19SEP17	B	1	PD19DEC17	3109.50	3229.33	USD	2,995.75	
19SEP17	B	1	PD19DEC17	3112.50	3229.33	USD	2,920.75	
19SEP17	B	1	PD19DEC17	3108.50	3229.33	USD	3,020.75	
19SEP17	S	1	PD19DEC17	3085.50	3229.33	USD	-3,595.75	
19SEP17	B	1	PD19DEC17	3109.00	3229.33	USD	3,008.25	
21SEP17	B	1	PD19DEC17	3101.94	3229.33	USD	3,184.75	
	NET	-2 AVG BGHT 3097.61	SOLD 3095.89				-7,402.50	0.00
20SEP17	B	1	PD20DEC17	3109.50	3229.00	USD	2,987.50	
20SEP17	B	1	PD20DEC17	3100.00	3229.00	USD	3,225.00	
20SEP17	B	2	PD20DEC17	3123.50	3229.00	USD	5,275.00	
20SEP17	B	1	PD20DEC17	3119.50	3229.00	USD	2,737.50	
20SEP17	S	2	PD20DEC17	3151.00	3229.00	USD	-3,900.00	
20SEP17	S	1	PD20DEC17	3149.50	3229.00	USD	-1,987.50	
20SEP17	S	1	PD20DEC17	3148.00	3229.00	USD	-2,025.00	
20SEP17	S	1	PD20DEC17	3147.50	3229.00	USD	-2,037.50	
20SEP17	S	1	PD20DEC17	3146.50	3229.00	USD	-2,062.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD20DEC17	3147.00	3229.00	USD	-2,050.00	
20SEP17	S	3	PD20DEC17	3146.00	3229.00	USD	-6,225.00	
20SEP17	S	3	PD20DEC17	3145.00	3229.00	USD	-6,300.00	
20SEP17	S	1	PD20DEC17	3144.50	3229.00	USD	-2,112.50	
20SEP17	S	1	PD20DEC17	3144.00	3229.00	USD	-2,125.00	
20SEP17	B	5	PD20DEC17	3141.00	3229.00	USD	11,000.00	
20SEP17	B	1	PD20DEC17	3142.00	3229.00	USD	2,175.00	
20SEP17	S	1	PD20DEC17	3141.00	3229.00	USD	-2,200.00	
20SEP17	B	7	PD20DEC17	3141.50	3229.00	USD	15,312.50	
20SEP17	S	1	PD20DEC17	3140.50	3229.00	USD	-2,212.50	
20SEP17	S	1	PD20DEC17	3139.00	3229.00	USD	-2,250.00	
20SEP17	S	8	PD20DEC17	3136.50	3229.00	USD	-18,500.00	
20SEP17	S	1	PD20DEC17	3140.00	3229.00	USD	-2,225.00	
20SEP17	S	1	PD20DEC17	3133.50	3229.00	USD	-2,387.50	
20SEP17	S	1	PD20DEC17	3136.00	3229.00	USD	-2,325.00	
20SEP17	S	1	PD20DEC17	3141.50	3229.00	USD	-2,187.50	
20SEP17	S	2	PD20DEC17	3135.00	3229.00	USD	-4,700.00	
20SEP17	S	4	PD20DEC17	3137.00	3229.00	USD	-9,200.00	
20SEP17	S	1	PD20DEC17	3128.50	3229.00	USD	-2,512.50	
20SEP17	S	1	PD20DEC17	3124.50	3229.00	USD	-2,612.50	
20SEP17	S	1	PD20DEC17	3132.00	3229.00	USD	-2,425.00	
20SEP17	S	1	PD20DEC17	3126.50	3229.00	USD	-2,562.50	
20SEP17	S	1	PD20DEC17	3119.50	3229.00	USD	-2,737.50	
21SEP17	B	7	PD20DEC17	3102.20	3229.00	USD	22,190.00	
25SEP17	B	1	PD20DEC17	3101.70	3229.00	USD	3,182.50	
28SEP17	B	8	PD20DEC17	3151.00	3229.00	USD	15,600.00	
29SEP17	B	2	PD20DEC17	3166.00	3229.00	USD	3,150.00	
	NET	-5 AVG BGHT 3132.52	SOLD 3139.38				-5,027.50	0.00
21SEP17	S	2	PD21DEC17	3122.50	3225.00	USD	-5,125.00	
21SEP17	B	1	PD21DEC17	3119.50	3225.00	USD	2,637.50	
21SEP17	B	1	PD21DEC17	3120.50	3225.00	USD	2,612.50	
21SEP17	B	1	PD21DEC17	3093.00	3225.00	USD	3,300.00	
21SEP17	S	1	PD21DEC17	3092.00	3225.00	USD	-3,325.00	
21SEP17	S	1	PD21DEC17	3068.00	3225.00	USD	-3,925.00	
21SEP17	S	1	PD21DEC17	3076.50	3225.00	USD	-3,712.50	
21SEP17	B	1	PD21DEC17	3105.50	3225.00	USD	2,987.50	
21SEP17	S	1	PD21DEC17	3077.50	3225.00	USD	-3,687.50	
21SEP17	S	2	PD21DEC17	3058.50	3225.00	USD	-8,325.00	
21SEP17	B	1	PD21DEC17	3040.50	3225.00	USD	4,612.50	
21SEP17	B	1	PD21DEC17	3035.50	3225.00	USD	4,737.50	
26SEP17	B	2	PD21DEC17	3118.20	3225.00	USD	5,340.00	
03OCT17	B	1	PD21DEC17	3264.20	3225.00	USD	-980.00	
	NET	+1 AVG BGHT 3112.79	SOLD 3084.50				-2,852.50	0.00
22SEP17	S	1	PD22DEC17	3040.50	3224.50	USD	-4,600.00	
22SEP17	S	1	PD22DEC17	3011.50	3224.50	USD	-5,325.00	
22SEP17	S	1	PD22DEC17	3075.00	3224.50	USD	-3,737.50	
22SEP17	S	1	PD22DEC17	3015.50	3224.50	USD	-5,225.00	
22SEP17	S	1	PD22DEC17	3072.00	3224.50	USD	-3,812.50	
22SEP17	S	1	PD22DEC17	3064.00	3224.50	USD	-4,012.50	
22SEP17	S	1	PD22DEC17	3069.50	3224.50	USD	-3,875.00	
22SEP17	S	1	PD22DEC17	3065.00	3224.50	USD	-3,987.50	
22SEP17	S	1	PD22DEC17	3064.50	3224.50	USD	-4,000.00	
22SEP17	S	2	PD22DEC17	3066.00	3224.50	USD	-7,925.00	
22SEP17	S	2	PD22DEC17	3061.50	3224.50	USD	-8,150.00	
22SEP17	B	1	PD22DEC17	3062.00	3224.50	USD	4,062.50	
22SEP17	B	2	PD22DEC17	3062.50	3224.50	USD	8,100.00	
22SEP17	B	2	PD22DEC17	3060.50	3224.50	USD	8,200.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	4	PD22DEC17	3061.00	3224.50	USD	16,350.00	
22SEP17	B	3	PD22DEC17	3060.00	3224.50	USD	12,337.50	
22SEP17	S	9	PD22DEC17	3055.00	3224.50	USD	-38,137.50	
22SEP17	S	1	PD22DEC17	3063.50	3224.50	USD	-4,025.00	
22SEP17	S	1	PD22DEC17	3061.00	3224.50	USD	-4,087.50	
22SEP17	B	1	PD22DEC17	3072.50	3224.50	USD	3,800.00	
22SEP17	B	1	PD22DEC17	3069.50	3224.50	USD	3,875.00	
22SEP17	S	1	PD22DEC17	3013.00	3224.50	USD	-5,287.50	
22SEP17	S	1	PD22DEC17	3072.00	3224.50	USD	-3,812.50	
28SEP17	B	1	PD22DEC17	3147.50	3224.50	USD	1,925.00	
29SEP17	B	9	PD22DEC17	3162.56	3224.50	USD	13,936.50	
10OCT17	B	1	PD22DEC17	3284.45	3224.50	USD	-1,498.75	
	NET	-1 AVG BGHT 3110.76	SOLD 3055.27				-38,912.25	0.00
25SEP17	B	1	PD27DEC17	3075.00	3224.00	USD	3,725.00	
25SEP17	B	1	PD27DEC17	3101.00	3224.00	USD	3,075.00	
25SEP17	S	1	PD27DEC17	3098.50	3224.00	USD	-3,137.50	
25SEP17	S	2	PD27DEC17	3095.50	3224.00	USD	-6,425.00	
25SEP17	S	1	PD27DEC17	3094.50	3224.00	USD	-3,237.50	
25SEP17	S	1	PD27DEC17	3092.50	3224.00	USD	-3,287.50	
25SEP17	S	1	PD27DEC17	3093.00	3224.00	USD	-3,275.00	
25SEP17	S	4	PD27DEC17	3095.00	3224.00	USD	-12,900.00	
25SEP17	S	6	PD27DEC17	3096.50	3224.00	USD	-19,125.00	
25SEP17	S	1	PD27DEC17	3093.50	3224.00	USD	-3,262.50	
25SEP17	S	3	PD27DEC17	3094.00	3224.00	USD	-9,750.00	
25SEP17	B	2	PD27DEC17	3091.00	3224.00	USD	6,650.00	
25SEP17	B	6	PD27DEC17	3090.50	3224.00	USD	20,025.00	
25SEP17	B	11	PD27DEC17	3091.50	3224.00	USD	36,437.50	
25SEP17	B	1	PD27DEC17	3095.00	3224.00	USD	3,225.00	
25SEP17	B	1	PD27DEC17	3098.50	3224.00	USD	3,137.50	
25SEP17	B	1	PD27DEC17	3104.00	3224.00	USD	3,000.00	
25SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
25SEP17	S	1	PD27DEC17	3114.00	3224.00	USD	-2,750.00	
26SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
26SEP17	B	1	PD27DEC17	3109.00	3224.00	USD	2,875.00	
26SEP17	B	1	PD27DEC17	3124.00	3224.00	USD	2,500.00	
26SEP17	B	1	PD27DEC17	3127.50	3224.00	USD	2,412.50	
26SEP17	S	1	PD27DEC17	3125.50	3224.00	USD	-2,462.50	
26SEP17	S	1	PD27DEC17	3124.00	3224.00	USD	-2,500.00	
26SEP17	S	1	PD27DEC17	3127.00	3224.00	USD	-2,425.00	
26SEP17	S	1	PD27DEC17	3129.00	3224.00	USD	-2,375.00	
26SEP17	B	1	PD27DEC17	3126.50	3224.00	USD	2,437.50	
26SEP17	S	2	PD27DEC17	3147.00	3224.00	USD	-3,850.00	
26SEP17	S	1	PD27DEC17	3142.00	3224.00	USD	-2,050.00	
26SEP17	S	1	PD27DEC17	3138.50	3224.00	USD	-2,137.50	
26SEP17	B	1	PD27DEC17	3133.00	3224.00	USD	2,275.00	
26SEP17	B	1	PD27DEC17	3132.50	3224.00	USD	2,287.50	
26SEP17	B	1	PD27DEC17	3122.00	3224.00	USD	2,550.00	
26SEP17	B	1	PD27DEC17	3120.50	3224.00	USD	2,587.50	
26SEP17	B	1	PD27DEC17	3121.00	3224.00	USD	2,575.00	
26SEP17	B	1	PD27DEC17	3128.50	3224.00	USD	2,387.50	
26SEP17	B	1	PD27DEC17	3122.50	3224.00	USD	2,537.50	
26SEP17	B	1	PD27DEC17	3112.00	3224.00	USD	2,800.00	
26SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
27SEP17	B	1	PD27DEC17	3108.00	3224.00	USD	2,900.00	
27SEP17	B	1	PD27DEC17	3108.50	3224.00	USD	2,887.50	
27SEP17	S	1	PD27DEC17	3106.00	3224.00	USD	-2,950.00	
27SEP17	S	1	PD27DEC17	3107.50	3224.00	USD	-2,912.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	S	1	PD27DEC17		3109.00	3224.00	USD	-2,875.00	
27SEP17	B	1	PD27DEC17		3109.00	3224.00	USD	2,875.00	
27SEP17	B	1	PD27DEC17		3136.00	3224.00	USD	2,200.00	
27SEP17	B	1	PD27DEC17		3145.00	3224.00	USD	1,975.00	
27SEP17	B	1	PD27DEC17		3140.50	3224.00	USD	2,087.50	
27SEP17	S	1	PD27DEC17		3142.50	3224.00	USD	-2,037.50	
27SEP17	B	2	PD27DEC17		3139.00	3224.00	USD	4,250.00	
27SEP17	B	1	PD27DEC17		3144.50	3224.00	USD	1,987.50	
27SEP17	S	1	PD27DEC17		3115.00	3224.00	USD	-2,725.00	
27SEP17	B	1	PD27DEC17		3124.00	3224.00	USD	2,500.00	
27SEP17	S	1	PD27DEC17		3113.50	3224.00	USD	-2,762.50	
27SEP17	S	1	PD27DEC17		3114.00	3224.00	USD	-2,750.00	
27SEP17	S	1	PD27DEC17		3108.50	3224.00	USD	-2,887.50	
27SEP17	S	1	PD27DEC17		3102.50	3224.00	USD	-3,037.50	
27SEP17	B	1	PD27DEC17		3109.50	3224.00	USD	2,862.50	
27SEP17	S	1	PD27DEC17		3121.50	3224.00	USD	-2,562.50	
27SEP17	B	1	PD27DEC17		3121.50	3224.00	USD	2,562.50	
28SEP17	S	9	PD27DEC17		3147.05	3224.00	USD	-17,313.75	
	NET	+1 AVG BGHT 3108.55	SOLD 3115.81					11,773.75	0.00
28SEP17	B	1	PD28DEC17		3120.00	3223.50	USD	2,587.50	
28SEP17	B	1	PD28DEC17		3117.00	3223.50	USD	2,662.50	
28SEP17	S	1	PD28DEC17		3113.50	3223.50	USD	-2,750.00	
28SEP17	B	1	PD28DEC17		3114.00	3223.50	USD	2,737.50	
28SEP17	S	1	PD28DEC17		3107.50	3223.50	USD	-2,900.00	
28SEP17	B	1	PD28DEC17		3136.00	3223.50	USD	2,187.50	
28SEP17	B	1	PD28DEC17		3138.50	3223.50	USD	2,125.00	
28SEP17	B	1	PD28DEC17		3137.50	3223.50	USD	2,150.00	
28SEP17	B	2	PD28DEC17		3138.00	3223.50	USD	4,275.00	
28SEP17	B	1	PD28DEC17		3139.50	3223.50	USD	2,100.00	
28SEP17	B	3	PD28DEC17		3142.00	3223.50	USD	6,112.50	
28SEP17	B	3	PD28DEC17		3143.00	3223.50	USD	6,037.50	
28SEP17	B	3	PD28DEC17		3141.50	3223.50	USD	6,150.00	
28SEP17	S	1	PD28DEC17		3147.00	3223.50	USD	-1,912.50	
28SEP17	S	2	PD28DEC17		3144.00	3223.50	USD	-3,975.00	
28SEP17	S	3	PD28DEC17		3144.50	3223.50	USD	-5,925.00	
28SEP17	S	1	PD28DEC17		3135.50	3223.50	USD	-2,200.00	
28SEP17	B	1	PD28DEC17		3150.00	3223.50	USD	1,837.50	
28SEP17	B	2	PD28DEC17		3146.50	3223.50	USD	3,850.00	
29SEP17	S	11	PD28DEC17		3161.99	3223.50	USD	-16,915.25	
	NET	+1 AVG BGHT 3138.14	SOLD 3150.34					8,234.75	0.00
29SEP17	S	1	PD29DEC17		3139.00	3223.00	USD	-2,100.00	
29SEP17	B	2	PD29DEC17		3163.50	3223.00	USD	2,975.00	
29SEP17	B	3	PD29DEC17		3163.00	3223.00	USD	4,500.00	
10OCT17	S	1	PD29DEC17		3282.62	3223.00	USD	1,490.50	
	NET	+3 AVG BGHT 3163.20	SOLD 3210.81					6,865.50	0.00
11OCT17	S	1	PD11JAN18		3221.00	3219.00	USD	50.00	
	NET	-1 AVG SOLD 3221.00						50.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	2,014,180.25
LME Copper Grade A 25	FUTURES	-4,469,244.00
CMX Copper	FUTURES	-2,435,050.00
CMX Gold	FUTURES	-5,476,110.00
LME Lead 25 tonnes. This is an	FUTURES	-577,494.50
LME Nickel 6 tonnes. This is	FUTURES	-3,888,266.64
CMX Silver	FUTURES	86,225.00
LME Tin 5 tonnes. This is an	FUTURES	2,219,962.50
LME Zinc 25 tonnes. This is	FUTURES	-335,229.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	118,737.50	118,737.50		
GOLD - Futures USD	-62,730.00	-62,730.00		
SILV - Futures USD	560.00	560.00	56,567.50	56,567.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	472,931.50	472,931.50		
COPL - Futures USD	-566,919.00	-566,919.00		
LEAD - Futures USD	-128,943.50	-128,943.50		
NKL - Futures USD	449,253.66	449,253.66		
TIN - Futures USD	128,527.60	128,527.60		
ZINC - Futures USD	68,212.75	68,212.75	423,063.01	423,063.01

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	673,579.59	673,579.59
Variation Margins - Futures	56,567.50	56,567.50
- Options	0.00	0.00
TOTAL EQUITY	730,147.09	730,147.09
Initial Margins	-1,007,479.99	-1,007,479.99
Excess/(Shortage)	-277,332.90	-277,332.90
FOR INFORMATION ONLY		
Forward Unrealised P&L	423,063.01	423,063.01
Net Liquidating Value	1,153,210.10	1,153,210.10

OPEN POSITION STATEMENT



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SAP094AA

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-170.00	-170.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-170.00	-170.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-170.00	-170.00
FOR INFORMATION ONLY			
Net Liquidating Value		-170.00	-170.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25JUL17	B	2	PD25OCT17	1920.50	2110.75	USD	9,512.50	
25JUL17	B	2	PD25OCT17	1920.00	2110.75	USD	9,537.50	
25JUL17	B	1	PD25OCT17	1919.00	2110.75	USD	4,793.75	
25JUL17	S	3	PD25OCT17	1947.50	2110.75	USD	-12,243.75	
25JUL17	S	2	PD25OCT17	1947.00	2110.75	USD	-8,187.50	
	NET	0 AVG	BGHT 1920.00	SOLD 1947.30			3,412.50	0.00
02AUG17	B	11	PD02NOV17	1920.00	2111.13	USD	52,560.75	
02AUG17	B	2	PD02NOV17	1921.00	2111.13	USD	9,506.50	
02AUG17	B	7	PD02NOV17	1920.50	2111.13	USD	33,360.25	
02AUG17	B	8	PD02NOV17	1922.50	2111.13	USD	37,726.00	
02AUG17	B	9	PD02NOV17	1923.00	2111.13	USD	42,329.25	
02AUG17	B	4	PD02NOV17	1924.50	2111.13	USD	18,663.00	
02AUG17	B	5	PD02NOV17	1924.00	2111.13	USD	23,391.25	
02AUG17	B	9	PD02NOV17	1923.50	2111.13	USD	42,216.75	
02AUG17	B	5	PD02NOV17	1928.50	2111.13	USD	22,828.75	
02AUG17	B	1	PD02NOV17	1927.50	2111.13	USD	4,590.75	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
02AUG17	B	5	PD02NOV17	1927.00	2111.13	USD	23,016.25	
22AUG17	S	65	PD02NOV17	2071.13	2111.13	USD	-65,000.00	
	NET	0 AVG	BGHT 1923.14	SOLD 2068.96			240,611.25	0.00
08AUG17	S	1	PD08NOV17	1994.00	2113.00	USD	-2,975.00	
08AUG17	B	1	PD08NOV17	1997.00	2113.00	USD	2,900.00	
08AUG17	B	1	PD08NOV17	1998.00	2113.00	USD	2,875.00	
08AUG17	B	3	PD08NOV17	1998.50	2113.00	USD	8,587.50	
08AUG17	B	1	PD08NOV17	1999.50	2113.00	USD	2,837.50	
08AUG17	B	10	PD08NOV17	2000.00	2113.00	USD	28,250.00	
08AUG17	B	8	PD08NOV17	2010.00	2113.00	USD	20,600.00	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	2	PD08NOV17	2017.00	2113.00	USD	4,800.00	
08AUG17	B	1	PD08NOV17	2019.50	2113.00	USD	2,337.50	
08AUG17	B	6	PD08NOV17	2020.00	2113.00	USD	13,950.00	
08AUG17	B	7	PD08NOV17	2020.50	2113.00	USD	16,187.50	
08AUG17	B	23	PD08NOV17	2021.00	2113.00	USD	52,900.00	
08AUG17	B	6	PD08NOV17	2021.00	2113.00	USD	13,800.00	
08AUG17	B	2	PD08NOV17	2020.50	2113.00	USD	4,625.00	
22AUG17	S	6	PD08NOV17	2072.50	2113.00	USD	-6,075.00	
11SEP17	S	65	PD08NOV17	2114.50	2113.00	USD	2,437.50	
	NET	0 AVG	BGHT 2014.45	SOLD 2109.33			170,775.00	0.00
10AUG17	B	2	PD10NOV17	2024.00	2113.93	USD	4,496.50	
10AUG17	B	3	PD10NOV17	2024.50	2113.93	USD	6,707.25	
10AUG17	B	6	PD10NOV17	2042.00	2113.93	USD	10,789.50	
10AUG17	B	4	PD10NOV17	2042.50	2113.93	USD	7,143.00	
10AUG17	B	12	PD10NOV17	2040.00	2113.93	USD	22,179.00	
10AUG17	B	12	PD10NOV17	2039.50	2113.93	USD	22,329.00	
10AUG17	B	2	PD10NOV17	2038.50	2113.93	USD	3,771.50	
10AUG17	B	7	PD10NOV17	2039.00	2113.93	USD	13,112.75	
10AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
10AUG17	B	4	PD10NOV17	2038.00	2113.93	USD	7,593.00	
10AUG17	B	1	PD10NOV17	2038.00	2113.93	USD	1,898.25	
11AUG17	S	2	PD10NOV17	2028.00	2113.93	USD	-4,296.50	
11AUG17	S	2	PD10NOV17	2026.00	2113.93	USD	-4,396.50	
11AUG17	S	1	PD10NOV17	2025.50	2113.93	USD	-2,210.75	
11AUG17	B	1	PD10NOV17	2024.00	2113.93	USD	2,248.25	
11AUG17	B	2	PD10NOV17	2025.00	2113.93	USD	4,446.50	
11AUG17	B	4	PD10NOV17	2024.50	2113.93	USD	8,943.00	
11AUG17	B	2	PD10NOV17	2025.50	2113.93	USD	4,421.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11AUG17	B	1	PD10NOV17	2026.00	2113.93	USD	2,198.25	
11AUG17	S	1	PD10NOV17	2040.00	2113.93	USD	-1,848.25	
11AUG17	S	2	PD10NOV17	2037.50	2113.93	USD	-3,821.50	
11AUG17	S	2	PD10NOV17	2043.00	2113.93	USD	-3,546.50	
11AUG17	S	2	PD10NOV17	2041.00	2113.93	USD	-3,646.50	
11AUG17	S	1	PD10NOV17	2041.50	2113.93	USD	-1,810.75	
11AUG17	S	5	PD10NOV17	2037.00	2113.93	USD	-9,616.25	
11AUG17	S	2	PD10NOV17	2038.00	2113.93	USD	-3,796.50	
11SEP17	S	44	PD10NOV17	2115.07	2113.93	USD	1,254.00	
	NET	0 AVG BGHT 2036.31	SOLD 2090.35				86,452.00	0.00
15AUG17	S	5	PD15NOV17	2024.00	2116.25	USD	-11,531.25	
15AUG17	S	15	PD15NOV17	2024.50	2116.25	USD	-34,406.25	
15AUG17	S	3	PD15NOV17	2026.00	2116.25	USD	-6,768.75	
15AUG17	S	1	PD15NOV17	2024.00	2116.25	USD	-2,306.25	
15AUG17	S	5	PD15NOV17	2025.00	2116.25	USD	-11,406.25	
15AUG17	S	6	PD15NOV17	2025.50	2116.25	USD	-13,612.50	
15AUG17	S	5	PD15NOV17	2024.50	2116.25	USD	-11,468.75	
22AUG17	B	40	PD15NOV17	2074.45	2116.25	USD	41,800.00	
	NET	0 AVG BGHT 2074.45	SOLD 2024.75				-49,700.00	0.00
16AUG17	S	1	PD16NOV17	2091.00	2116.56	USD	-639.00	
16AUG17	B	1	PD16NOV17	2088.00	2116.56	USD	714.00	
16AUG17	B	1	PD16NOV17	2095.00	2116.56	USD	539.00	
16AUG17	B	2	PD16NOV17	2098.00	2116.56	USD	928.00	
16AUG17	B	1	PD16NOV17	2104.00	2116.56	USD	314.00	
16AUG17	B	1	PD16NOV17	2093.50	2116.56	USD	576.50	
16AUG17	S	1	PD16NOV17	2085.50	2116.56	USD	-776.50	
16AUG17	S	2	PD16NOV17	2086.00	2116.56	USD	-1,528.00	
12SEP17	S	2	PD16NOV17	2129.88	2116.56	USD	666.00	
	NET	0 AVG BGHT 2096.08	SOLD 2101.38				794.00	0.00
17AUG17	B	2	PD17NOV17	2097.50	2116.87	USD	968.50	
17AUG17	B	3	PD17NOV17	2096.50	2116.87	USD	1,527.75	
17AUG17	B	3	PD17NOV17	2106.00	2116.87	USD	815.25	
17AUG17	B	2	PD17NOV17	2106.50	2116.87	USD	518.50	
17AUG17	S	2	PD17NOV17	2100.50	2116.87	USD	-818.50	
17AUG17	S	8	PD17NOV17	2101.00	2116.87	USD	-3,174.00	
17AUG17	S	1	PD17NOV17	2073.00	2116.87	USD	-1,096.75	
18AUG17	S	4	PD17NOV17	2073.50	2116.87	USD	-4,337.00	
18AUG17	S	1	PD17NOV17	2075.00	2116.87	USD	-1,046.75	
18AUG17	S	1	PD17NOV17	2074.50	2116.87	USD	-1,059.25	
18AUG17	S	1	PD17NOV17	2084.50	2116.87	USD	-809.25	
18AUG17	S	13	PD17NOV17	2084.00	2116.87	USD	-10,682.75	
18AUG17	S	2	PD17NOV17	2087.50	2116.87	USD	-1,468.50	
18AUG17	S	5	PD17NOV17	2088.00	2116.87	USD	-3,608.75	
18AUG17	S	3	PD17NOV17	2080.00	2116.87	USD	-2,765.25	
22AUG17	B	31	PD17NOV17	2074.16	2116.87	USD	33,100.25	
	NET	0 AVG BGHT 2080.84	SOLD 2086.76				6,063.50	0.00
22AUG17	S	6	PD22NOV17	2080.00	2118.41	USD	-5,761.50	
22AUG17	S	3	PD22NOV17	2080.50	2118.41	USD	-2,843.25	
22AUG17	S	1	PD22NOV17	2080.50	2118.41	USD	-947.75	
22AUG17	S	2	PD22NOV17	2083.00	2118.41	USD	-1,770.50	
22AUG17	S	4	PD22NOV17	2083.50	2118.41	USD	-3,491.00	
22AUG17	S	3	PD22NOV17	2084.00	2118.41	USD	-2,580.75	
11SEP17	B	19	PD22NOV17	2116.86	2118.41	USD	736.25	
	NET	0 AVG BGHT 2116.86	SOLD 2081.79				-16,658.50	0.00
23AUG17	S	1	PD24NOV17	2076.00	2119.03	USD	-1,075.75	
23AUG17	S	2	PD24NOV17	2072.00	2119.03	USD	-2,351.50	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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23AUG17	S	9	PD24NOV17	2072.50	2119.03	USD	-10,469.25	
23AUG17	S	6	PD24NOV17	2071.50	2119.03	USD	-7,129.50	
23AUG17	B	1	PD24NOV17	2090.50	2119.03	USD	713.25	
23AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
23AUG17	S	1	PD24NOV17	2089.00	2119.03	USD	-750.75	
24AUG17	S	1	PD24NOV17	2097.00	2119.03	USD	-550.75	
24AUG17	S	3	PD24NOV17	2101.50	2119.03	USD	-1,314.75	
24AUG17	S	3	PD24NOV17	2121.00	2119.03	USD	147.75	
24AUG17	S	3	PD24NOV17	2121.50	2119.03	USD	185.25	
24AUG17	S	3	PD24NOV17	2117.50	2119.03	USD	-114.75	
24AUG17	S	6	PD24NOV17	2118.00	2119.03	USD	-154.50	
24AUG17	S	3	PD24NOV17	2118.50	2119.03	USD	-39.75	
24AUG17	S	3	PD24NOV17	2119.50	2119.03	USD	35.25	
24AUG17	S	3	PD24NOV17	2119.00	2119.03	USD	-2.25	
24AUG17	S	8	PD24NOV17	2120.00	2119.03	USD	194.00	
24AUG17	S	4	PD24NOV17	2120.50	2119.03	USD	147.00	
24AUG17	B	1	PD24NOV17	2105.00	2119.03	USD	350.75	
24AUG17	B	4	PD24NOV17	2105.50	2119.03	USD	1,353.00	
25AUG17	B	3	PD24NOV17	2110.00	2119.03	USD	677.25	
25AUG17	B	1	PD24NOV17	2111.50	2119.03	USD	188.25	
25AUG17	B	6	PD24NOV17	2112.00	2119.03	USD	1,054.50	
25AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
25AUG17	B	1	PD24NOV17	2098.50	2119.03	USD	513.25	
25AUG17	S	1	PD24NOV17	2097.50	2119.03	USD	-538.25	
25AUG17	B	14	PD24NOV17	2097.00	2119.03	USD	7,710.50	
25AUG17	B	1	PD24NOV17	2094.50	2119.03	USD	613.25	
25AUG17	B	2	PD24NOV17	2093.50	2119.03	USD	1,276.50	
25AUG17	B	2	PD24NOV17	2092.50	2119.03	USD	1,326.50	
25AUG17	B	2	PD24NOV17	2096.00	2119.03	USD	1,151.50	
25AUG17	B	2	PD24NOV17	2096.50	2119.03	USD	1,126.50	
25AUG17	B	1	PD24NOV17	2095.50	2119.03	USD	588.25	
25AUG17	S	6	PD24NOV17	2094.00	2119.03	USD	-3,754.50	
25AUG17	S	3	PD24NOV17	2091.00	2119.03	USD	-2,102.25	
25AUG17	S	3	PD24NOV17	2090.50	2119.03	USD	-2,139.75	
25AUG17	S	1	PD24NOV17	2090.00	2119.03	USD	-725.75	
25AUG17	S	2	PD24NOV17	2089.00	2119.03	USD	-1,501.50	
25AUG17	S	3	PD24NOV17	2088.50	2119.03	USD	-2,289.75	
25AUG17	S	3	PD24NOV17	2087.50	2119.03	USD	-2,364.75	
25AUG17	S	2	PD24NOV17	2086.50	2119.03	USD	-1,626.50	
25AUG17	S	2	PD24NOV17	2086.00	2119.03	USD	-1,651.50	
05SEP17	B	35	PD24NOV17	2093.60	2119.03	USD	22,251.25	
11SEP17	B	7	PD24NOV17	2117.42	2119.03	USD	281.75	
	NET	0AVG BGHT 2099.08	SOLD 2099.29				464.00	0.00
29AUG17	B	2	PD29NOV17	2076.00	2120.57	USD	2,228.50	
29AUG17	B	3	PD29NOV17	2075.50	2120.57	USD	3,380.25	
29AUG17	B	3	PD29NOV17	2076.50	2120.57	USD	3,305.25	
29AUG17	B	12	PD29NOV17	2077.00	2120.57	USD	13,071.00	
29AUG17	S	3	PD29NOV17	2096.00	2120.57	USD	-1,842.75	
29AUG17	S	4	PD29NOV17	2096.50	2120.57	USD	-2,407.00	
29AUG17	S	2	PD29NOV17	2097.00	2120.57	USD	-1,178.50	
29AUG17	S	2	PD29NOV17	2097.50	2120.57	USD	-1,153.50	
29AUG17	S	4	PD29NOV17	2098.00	2120.57	USD	-2,257.00	
29AUG17	S	1	PD29NOV17	2098.50	2120.57	USD	-551.75	
29AUG17	S	3	PD29NOV17	2099.00	2120.57	USD	-1,617.75	
29AUG17	S	2	PD29NOV17	2103.00	2120.57	USD	-878.50	
29AUG17	S	2	PD29NOV17	2103.50	2120.57	USD	-853.50	
29AUG17	S	6	PD29NOV17	2101.00	2120.57	USD	-2,935.50	

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29AUG17	S	3	PD29NOV17	2104.00	2120.57	USD	-1,242.75	
29AUG17	S	3	PD29NOV17	2102.00	2120.57	USD	-1,392.75	
29AUG17	S	3	PD29NOV17	2097.00	2120.57	USD	-1,767.75	
29AUG17	S	2	PD29NOV17	2098.00	2120.57	USD	-1,128.50	
29AUG17	S	2	PD29NOV17	2093.50	2120.57	USD	-1,353.50	
29AUG17	S	5	PD29NOV17	2092.50	2120.57	USD	-3,508.75	
29AUG17	S	4	PD29NOV17	2092.00	2120.57	USD	-2,857.00	
29AUG17	S	1	PD29NOV17	2091.50	2120.57	USD	-726.75	
29AUG17	S	2	PD29NOV17	2093.00	2120.57	USD	-1,378.50	
29AUG17	S	1	PD29NOV17	2092.50	2120.57	USD	-701.75	
11SEP17	B	35	PD29NOV17	2118.83	2120.57	USD	1,522.50	
	NET	0AVG BGHT 2103.47	SOLD 2097.49				-8,226.25	0.00
31AUG17	B	1	PD30NOV17	2096.00	2120.88	USD	622.00	
31AUG17	B	1	PD30NOV17	2098.00	2120.88	USD	572.00	
31AUG17	B	6	PD30NOV17	2099.00	2120.88	USD	3,282.00	
31AUG17	B	3	PD30NOV17	2099.50	2120.88	USD	1,603.50	
31AUG17	B	2	PD30NOV17	2102.50	2120.88	USD	919.00	
31AUG17	B	3	PD30NOV17	2103.50	2120.88	USD	1,303.50	
31AUG17	B	2	PD30NOV17	2100.50	2120.88	USD	1,019.00	
31AUG17	B	1	PD30NOV17	2095.50	2120.88	USD	634.50	
31AUG17	B	6	PD30NOV17	2094.50	2120.88	USD	3,957.00	
31AUG17	B	1	PD30NOV17	2095.00	2120.88	USD	647.00	
31AUG17	S	1	PD30NOV17	2129.50	2120.88	USD	215.50	
31AUG17	S	13	PD30NOV17	2130.00	2120.88	USD	2,964.00	
31AUG17	S	2	PD30NOV17	2130.50	2120.88	USD	481.00	
31AUG17	S	4	PD30NOV17	2132.00	2120.88	USD	1,112.00	
31AUG17	S	1	PD30NOV17	2131.50	2120.88	USD	265.50	
31AUG17	S	7	PD30NOV17	2128.00	2120.88	USD	1,246.00	
31AUG17	S	3	PD30NOV17	2127.50	2120.88	USD	496.50	
31AUG17	B	1	PD30NOV17	2123.00	2120.88	USD	-53.00	
31AUG17	B	3	PD30NOV17	2118.00	2120.88	USD	216.00	
31AUG17	B	3	PD30NOV17	2117.50	2120.88	USD	253.50	
31AUG17	B	6	PD30NOV17	2119.00	2120.88	USD	282.00	
31AUG17	B	3	PD30NOV17	2116.00	2120.88	USD	366.00	
12SEP17	S	11	PD30NOV17	2133.28	2120.88	USD	3,410.00	
	NET	0AVG BGHT 2106.00	SOLD 2130.59				25,814.50	0.00
01SEP17	B	3	PD01DEC17	2124.50	2121.19	USD	-248.25	
01SEP17	B	3	PD01DEC17	2125.50	2121.19	USD	-323.25	
01SEP17	B	15	PD01DEC17	2127.00	2121.19	USD	-2,178.75	
01SEP17	B	16	PD01DEC17	2128.00	2121.19	USD	-2,724.00	
01SEP17	B	12	PD01DEC17	2129.00	2121.19	USD	-2,343.00	
01SEP17	B	6	PD01DEC17	2128.50	2121.19	USD	-1,096.50	
01SEP17	B	10	PD01DEC17	2126.00	2121.19	USD	-1,202.50	
01SEP17	B	1	PD01DEC17	2130.50	2121.19	USD	-232.75	
12SEP17	S	66	PD01DEC17	2133.59	2121.19	USD	20,460.00	
	NET	0AVG BGHT 2127.46	SOLD 2133.59				10,111.00	0.00
04SEP17	S	1	PD04DEC17	2124.00	2122.12	USD	47.00	
04SEP17	S	6	PD04DEC17	2122.00	2122.12	USD	-18.00	
04SEP17	S	2	PD04DEC17	2123.50	2122.12	USD	69.00	
04SEP17	S	2	PD04DEC17	2123.00	2122.12	USD	44.00	
04SEP17	S	6	PD04DEC17	2124.50	2122.12	USD	357.00	
04SEP17	S	2	PD04DEC17	2125.00	2122.12	USD	144.00	
04SEP17	S	1	PD04DEC17	2123.50	2122.12	USD	34.50	
04SEP17	S	1	PD04DEC17	2123.00	2122.12	USD	22.00	
04SEP17	B	7	PD04DEC17	2117.50	2122.12	USD	808.50	
04SEP17	B	4	PD04DEC17	2117.00	2122.12	USD	512.00	

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04SEP17	B	4	PD04DEC17	2114.00	2122.12	USD	812.00	
04SEP17	B	5	PD04DEC17	2114.50	2122.12	USD	952.50	
04SEP17	B	1	PD04DEC17	2112.50	2122.12	USD	240.50	
	NET	0 AVG BGHT 2115.79	SOLD 2123.45				4,025.00	0.00
05SEP17	B	1	PD05DEC17	2114.00	2122.43	USD	210.75	
05SEP17	S	1	PD05DEC17	2106.50	2122.43	USD	-398.25	
05SEP17	S	5	PD05DEC17	2098.00	2122.43	USD	-3,053.75	
05SEP17	S	2	PD05DEC17	2098.50	2122.43	USD	-1,196.50	
05SEP17	S	3	PD05DEC17	2100.00	2122.43	USD	-1,682.25	
05SEP17	S	1	PD05DEC17	2103.00	2122.43	USD	-485.75	
11SEP17	B	11	PD05DEC17	2120.51	2122.43	USD	528.00	
	NET	0 AVG BGHT 2119.97	SOLD 2099.71				-6,077.75	0.00
06SEP17	B	6	PD06DEC17	2093.00	2122.74	USD	4,461.00	
06SEP17	B	1	PD06DEC17	2096.00	2122.74	USD	668.50	
06SEP17	B	4	PD06DEC17	2095.50	2122.74	USD	2,724.00	
06SEP17	S	1	PD06DEC17	2088.50	2122.74	USD	-856.00	
06SEP17	S	2	PD06DEC17	2085.00	2122.74	USD	-1,887.00	
06SEP17	S	1	PD06DEC17	2086.00	2122.74	USD	-918.50	
06SEP17	S	6	PD06DEC17	2085.50	2122.74	USD	-5,586.00	
06SEP17	S	9	PD06DEC17	2086.50	2122.74	USD	-8,154.00	
06SEP17	S	2	PD06DEC17	2087.00	2122.74	USD	-1,787.00	
06SEP17	S	6	PD06DEC17	2109.00	2122.74	USD	-2,061.00	
06SEP17	S	10	PD06DEC17	2108.00	2122.74	USD	-3,685.00	
06SEP17	S	1	PD06DEC17	2108.50	2122.74	USD	-356.00	
06SEP17	S	2	PD06DEC17	2110.00	2122.74	USD	-637.00	
06SEP17	S	1	PD06DEC17	2110.50	2122.74	USD	-306.00	
11SEP17	B	30	PD06DEC17	2120.79	2122.74	USD	1,462.50	
	NET	0 AVG BGHT 2113.65	SOLD 2097.15				-16,917.50	0.00
07SEP17	S	1	PD07DEC17	2092.00	2123.04	USD	-776.00	
07SEP17	S	4	PD07DEC17	2091.50	2123.04	USD	-3,154.00	
07SEP17	S	4	PD07DEC17	2091.00	2123.04	USD	-3,204.00	
07SEP17	S	6	PD07DEC17	2093.00	2123.04	USD	-4,506.00	
07SEP17	S	5	PD07DEC17	2092.50	2123.04	USD	-3,817.50	
07SEP17	S	1	PD07DEC17	2091.50	2123.04	USD	-788.50	
07SEP17	S	2	PD07DEC17	2107.00	2123.04	USD	-802.00	
07SEP17	S	7	PD07DEC17	2106.50	2123.04	USD	-2,894.50	
07SEP17	S	9	PD07DEC17	2106.00	2123.04	USD	-3,834.00	
07SEP17	S	2	PD07DEC17	2111.00	2123.04	USD	-602.00	
07SEP17	S	8	PD07DEC17	2110.50	2123.04	USD	-2,508.00	
07SEP17	S	2	PD07DEC17	2109.50	2123.04	USD	-677.00	
07SEP17	S	14	PD07DEC17	2109.00	2123.04	USD	-4,914.00	
07SEP17	S	22	PD07DEC17	2108.50	2123.04	USD	-7,997.00	
11SEP17	B	7	PD07DEC17	2121.08	2123.04	USD	343.00	
12SEP17	B	79	PD07DEC17	2135.65	2123.04	USD	-24,904.75	
14SEP17	B	1	PD07DEC17	2096.13	2123.04	USD	672.75	
	NET	0 AVG BGHT 2134.02	SOLD 2104.43				-64,363.50	0.00
08SEP17	B	10	PD08DEC17	2119.50	2123.35	USD	962.50	
08SEP17	S	1	PD08DEC17	2111.00	2123.35	USD	-308.75	
08SEP17	S	4	PD08DEC17	2110.00	2123.35	USD	-1,335.00	
08SEP17	S	3	PD08DEC17	2109.00	2123.35	USD	-1,076.25	
08SEP17	S	2	PD08DEC17	2108.50	2123.35	USD	-742.50	
08SEP17	S	1	PD08DEC17	2100.00	2123.35	USD	-583.75	
08SEP17	B	1	PD08DEC17	2095.00	2123.35	USD	708.75	
08SEP17	B	1	PD08DEC17	2094.50	2123.35	USD	721.25	
14SEP17	S	1	PD08DEC17	2096.20	2123.35	USD	-678.75	
	NET	0 AVG BGHT 2115.38	SOLD 2107.60				-2,332.50	0.00

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11SEP17	B	1	PD11DEC17	2103.00	2124.28	USD	532.00	
11SEP17	B	1	PD11DEC17	2123.50	2124.28	USD	19.50	
11SEP17	B	1	PD11DEC17	2124.50	2124.28	USD	-5.50	
11SEP17	B	4	PD11DEC17	2116.50	2124.28	USD	778.00	
11SEP17	B	1	PD11DEC17	2117.50	2124.28	USD	169.50	
11SEP17	B	6	PD11DEC17	2117.00	2124.28	USD	1,092.00	
11SEP17	B	1	PD11DEC17	2115.50	2124.28	USD	219.50	
11SEP17	B	1	PD11DEC17	2120.50	2124.28	USD	94.50	
11SEP17	B	1	PD11DEC17	2118.00	2124.28	USD	157.00	
11SEP17	B	1	PD11DEC17	2119.50	2124.28	USD	119.50	
11SEP17	S	1	PD11DEC17	2127.00	2124.28	USD	68.00	
11SEP17	B	7	PD11DEC17	2118.50	2124.28	USD	1,011.50	
14SEP17	S	12	PD11DEC17	2097.20	2124.28	USD	-8,124.00	
19SEP17	S	12	PD11DEC17	2122.71	2124.28	USD	-471.00	
	NET	0AVG BGHT 2117.58	SOLD 2110.64				-4,339.50	0.00
12SEP17	S	1	PD12DEC17	2119.50	2124.59	USD	-127.25	
12SEP17	S	1	PD12DEC17	2118.00	2124.59	USD	-164.75	
12SEP17	S	10	PD12DEC17	2115.00	2124.59	USD	-2,397.50	
12SEP17	B	3	PD12DEC17	2115.50	2124.59	USD	681.75	
12SEP17	B	7	PD12DEC17	2116.00	2124.59	USD	1,503.25	
12SEP17	B	1	PD12DEC17	2121.00	2124.59	USD	89.75	
12SEP17	S	1	PD12DEC17	2140.00	2124.59	USD	385.25	
19SEP17	B	2	PD12DEC17	2123.19	2124.59	USD	70.00	
	NET	0AVG BGHT 2117.38	SOLD 2117.50				40.50	0.00
13SEP17	S	1	PD13DEC17	2134.50	2124.90	USD	240.00	
13SEP17	S	1	PD13DEC17	2134.00	2124.90	USD	227.50	
13SEP17	S	1	PD13DEC17	2133.50	2124.90	USD	215.00	
13SEP17	S	3	PD13DEC17	2132.00	2124.90	USD	532.50	
13SEP17	S	5	PD13DEC17	2131.50	2124.90	USD	825.00	
13SEP17	S	9	PD13DEC17	2128.00	2124.90	USD	697.50	
13SEP17	S	2	PD13DEC17	2128.00	2124.90	USD	155.00	
13SEP17	B	3	PD13DEC17	2116.00	2124.90	USD	667.50	
13SEP17	B	7	PD13DEC17	2117.00	2124.90	USD	1,382.50	
13SEP17	S	3	PD13DEC17	2114.00	2124.90	USD	-817.50	
13SEP17	S	3	PD13DEC17	2111.00	2124.90	USD	-1,042.50	
13SEP17	S	4	PD13DEC17	2111.50	2124.90	USD	-1,340.00	
13SEP17	B	6	PD13DEC17	2112.00	2124.90	USD	1,935.00	
13SEP17	B	4	PD13DEC17	2111.00	2124.90	USD	1,390.00	
14SEP17	B	12	PD13DEC17	2097.93	2124.90	USD	8,091.00	
	NET	0AVG BGHT 2108.07	SOLD 2124.52				13,158.50	0.00
14SEP17	B	1	PD14DEC17	2120.00	2125.21	USD	130.25	
14SEP17	B	7	PD14DEC17	2099.50	2125.21	USD	4,499.25	
14SEP17	B	1	PD14DEC17	2100.50	2125.21	USD	617.75	
14SEP17	B	6	PD14DEC17	2101.00	2125.21	USD	3,631.50	
14SEP17	B	15	PD14DEC17	2100.00	2125.21	USD	9,453.75	
14SEP17	B	1	PD14DEC17	2096.00	2125.21	USD	730.25	
14SEP17	B	1	PD14DEC17	2095.00	2125.21	USD	755.25	
14SEP17	B	2	PD14DEC17	2094.00	2125.21	USD	1,560.50	
14SEP17	B	1	PD14DEC17	2099.50	2125.21	USD	642.75	
14SEP17	B	3	PD14DEC17	2097.50	2125.21	USD	2,078.25	
14SEP17	B	3	PD14DEC17	2098.50	2125.21	USD	2,003.25	
19SEP17	S	31	PD14DEC17	2123.57	2125.21	USD	-1,271.00	
21SEP17	S	10	PD14DEC17	2169.83	2125.21	USD	11,155.00	
	NET	0AVG BGHT 2099.74	SOLD 2134.85				35,986.75	0.00
15SEP17	B	1	PD15DEC17	2104.50	2125.51	USD	525.25	
15SEP17	B	2	PD15DEC17	2105.50	2125.51	USD	1,000.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15SEP17	B	4	PD15DEC17	2107.50	2125.51	USD	1,801.00	
15SEP17	B	19	PD15DEC17	2107.00	2125.51	USD	8,792.25	
15SEP17	B	11	PD15DEC17	2106.50	2125.51	USD	5,227.75	
15SEP17	S	1	PD15DEC17	2105.00	2125.51	USD	-512.75	
15SEP17	S	5	PD15DEC17	2093.00	2125.51	USD	-4,063.75	
15SEP17	S	5	PD15DEC17	2092.50	2125.51	USD	-4,126.25	
15SEP17	S	28	PD15DEC17	2092.00	2125.51	USD	-23,457.00	
15SEP17	S	5	PD15DEC17	2088.00	2125.51	USD	-4,688.75	
15SEP17	S	5	PD15DEC17	2088.50	2125.51	USD	-4,626.25	
15SEP17	S	10	PD15DEC17	2087.50	2125.51	USD	-9,502.50	
15SEP17	S	4	PD15DEC17	2095.00	2125.51	USD	-3,051.00	
15SEP17	S	7	PD15DEC17	2094.00	2125.51	USD	-5,514.25	
15SEP17	S	4	PD15DEC17	2090.50	2125.51	USD	-3,501.00	
15SEP17	S	5	PD15DEC17	2090.00	2125.51	USD	-4,438.75	
15SEP17	B	1	PD15DEC17	2106.50	2125.51	USD	475.25	
15SEP17	S	1	PD15DEC17	2092.50	2125.51	USD	-825.25	
15SEP17	B	1	PD15DEC17	2073.00	2125.51	USD	1,312.75	
19SEP17	B	41	PD15DEC17	2124.05	2125.51	USD	1,496.50	
	NET	0AVG BGHT 2115.19	SOLD 2091.36				-47,676.25	0.00
18SEP17	S	1	PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	S	4	PD18DEC17	2095.00	2126.44	USD	-3,144.00	
18SEP17	S	2	PD18DEC17	2092.00	2126.44	USD	-1,722.00	
18SEP17	S	5	PD18DEC17	2091.50	2126.44	USD	-4,367.50	
18SEP17	B	3	PD18DEC17	2099.00	2126.44	USD	2,058.00	
18SEP17	B	9	PD18DEC17	2101.00	2126.44	USD	5,724.00	
18SEP17	B	3	PD18DEC17	2100.00	2126.44	USD	1,983.00	
18SEP17	S	4	PD18DEC17	2094.50	2126.44	USD	-3,194.00	
18SEP17	S	2	PD18DEC17	2095.50	2126.44	USD	-1,547.00	
18SEP17	B	1	PD18DEC17	2098.50	2126.44	USD	698.50	
18SEP17	B	4	PD18DEC17	2098.00	2126.44	USD	2,844.00	
18SEP17	S	2	PD18DEC17	2093.00	2126.44	USD	-1,672.00	
18SEP17	B	8	PD18DEC17	2100.50	2126.44	USD	5,188.00	
18SEP17	B	2	PD18DEC17	2100.00	2126.44	USD	1,322.00	
18SEP17	B	2	PD18DEC17	2090.00	2126.44	USD	1,822.00	
21SEP17	S	12	PD18DEC17	2170.94	2126.44	USD	13,350.00	
	NET	0AVG BGHT 2099.39	SOLD 2122.46				18,457.00	0.00
19SEP17	S	5	PD19DEC17	2124.50	2126.75	USD	-281.25	
19SEP17	S	1	PD19DEC17	2123.00	2126.75	USD	-93.75	
19SEP17	S	2	PD19DEC17	2123.50	2126.75	USD	-162.50	
19SEP17	B	6	PD19DEC17	2127.50	2126.75	USD	-112.50	
19SEP17	B	2	PD19DEC17	2118.00	2126.75	USD	437.50	
19SEP17	B	2	PD19DEC17	2121.00	2126.75	USD	287.50	
19SEP17	S	4	PD19DEC17	2124.00	2126.75	USD	-275.00	
19SEP17	B	3	PD19DEC17	2127.00	2126.75	USD	-18.75	
19SEP17	B	10	PD19DEC17	2126.00	2126.75	USD	187.50	
19SEP17	B	5	PD19DEC17	2126.50	2126.75	USD	31.25	
19SEP17	B	2	PD19DEC17	2125.50	2126.75	USD	62.50	
25SEP17	S	18	PD19DEC17	2147.75	2126.75	USD	9,450.00	
	NET	0AVG BGHT 2125.58	SOLD 2138.27				9,512.50	0.00
20SEP17	B	21	PD20DEC17	2130.00	2126.75	USD	-1,706.25	
20SEP17	S	11	PD20DEC17	2137.00	2126.75	USD	2,818.75	
20SEP17	B	1	PD20DEC17	2151.50	2126.75	USD	-618.75	
20SEP17	B	4	PD20DEC17	2152.50	2126.75	USD	-2,575.00	
20SEP17	B	1	PD20DEC17	2156.00	2126.75	USD	-731.25	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	B	5	PD20DEC17	2165.00	2126.75	USD	-4,781.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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20SEP17	B	1	PD20DEC17		2166.00	2126.75	USD	-981.25	
20SEP17	B	4	PD20DEC17		2166.50	2126.75	USD	-3,975.00	
20SEP17	B	2	PD20DEC17		2157.50	2126.75	USD	-1,537.50	
20SEP17	S	2	PD20DEC17		2175.50	2126.75	USD	2,437.50	
20SEP17	B	5	PD20DEC17		2148.50	2126.75	USD	-2,718.75	
20SEP17	S	7	PD20DEC17		2180.00	2126.75	USD	9,318.75	
20SEP17	B	10	PD20DEC17		2186.50	2126.75	USD	-14,937.50	
20SEP17	B	10	PD20DEC17		2186.00	2126.75	USD	-14,812.50	
20SEP17	S	1	PD20DEC17		2177.00	2126.75	USD	1,256.25	
20SEP17	S	5	PD20DEC17		2176.50	2126.75	USD	6,218.75	
20SEP17	S	6	PD20DEC17		2176.00	2126.75	USD	7,387.50	
25SEP17	S	33	PD20DEC17		2147.50	2126.75	USD	17,118.75	
	NET	0	AVG BGHT 2157.69	SOLD 2155.40				-3,725.00	0.00
21SEP17	S	2	PD21DEC17		2176.00	2126.75	USD	2,462.50	
21SEP17	S	3	PD21DEC17		2172.50	2126.75	USD	3,431.25	
21SEP17	S	1	PD21DEC17		2173.50	2126.75	USD	1,168.75	
21SEP17	S	5	PD21DEC17		2173.00	2126.75	USD	5,781.25	
21SEP17	S	8	PD21DEC17		2170.50	2126.75	USD	8,750.00	
21SEP17	S	1	PD21DEC17		2175.00	2126.75	USD	1,206.25	
21SEP17	S	1	PD21DEC17		2161.50	2126.75	USD	868.75	
21SEP17	S	1	PD21DEC17		2161.00	2126.75	USD	856.25	
21SEP17	S	1	PD21DEC17		2177.50	2126.75	USD	1,268.75	
21SEP17	S	2	PD21DEC17		2181.50	2126.75	USD	2,737.50	
21SEP17	S	2	PD21DEC17		2180.50	2126.75	USD	2,687.50	
21SEP17	B	5	PD21DEC17		2193.50	2126.75	USD	-8,343.75	
21SEP17	S	1	PD21DEC17		2181.50	2126.75	USD	1,368.75	
21SEP17	B	4	PD21DEC17		2179.00	2126.75	USD	-5,225.00	
21SEP17	S	5	PD21DEC17		2180.00	2126.75	USD	6,656.25	
21SEP17	B	2	PD21DEC17		2175.00	2126.75	USD	-2,412.50	
21SEP17	B	2	PD21DEC17		2174.00	2126.75	USD	-2,362.50	
21SEP17	B	9	PD21DEC17		2173.50	2126.75	USD	-10,518.75	
21SEP17	S	5	PD21DEC17		2170.00	2126.75	USD	5,406.25	
21SEP17	S	22	PD21DEC17		2168.00	2126.75	USD	22,687.50	
21SEP17	S	3	PD21DEC17		2167.50	2126.75	USD	3,056.25	
21SEP17	S	5	PD21DEC17		2167.00	2126.75	USD	5,031.25	
21SEP17	S	1	PD21DEC17		2171.00	2126.75	USD	1,106.25	
21SEP17	S	1	PD21DEC17		2163.00	2126.75	USD	906.25	
21SEP17	S	4	PD21DEC17		2164.00	2126.75	USD	3,725.00	
21SEP17	S	19	PD21DEC17		2162.00	2126.75	USD	16,743.75	
21SEP17	S	5	PD21DEC17		2160.50	2126.75	USD	4,218.75	
21SEP17	S	5	PD21DEC17		2160.00	2126.75	USD	4,156.25	
21SEP17	S	2	PD21DEC17		2149.00	2126.75	USD	1,112.50	
21SEP17	B	22	PD21DEC17		2171.20	2126.75	USD	-24,447.50	
25SEP17	B	51	PD21DEC17		2146.70	2126.75	USD	-25,436.25	
28SEP17	B	8	PD21DEC17		2129.03	2126.75	USD	-456.00	
10OCT17	B	2	PD21DEC17		2159.75	2126.75	USD	-1,650.00	
	NET	0	AVG BGHT 2157.55	SOLD 2167.66				26,541.50	0.00
22SEP17	B	1	PD22DEC17		2139.50	2126.75	USD	-318.75	
22SEP17	B	4	PD22DEC17		2138.50	2126.75	USD	-1,175.00	
22SEP17	B	2	PD22DEC17		2138.00	2126.75	USD	-562.50	
22SEP17	B	9	PD22DEC17		2140.00	2126.75	USD	-2,981.25	
22SEP17	B	4	PD22DEC17		2140.50	2126.75	USD	-1,375.00	
22SEP17	S	6	PD22DEC17		2144.00	2126.75	USD	2,587.50	
22SEP17	S	6	PD22DEC17		2141.00	2126.75	USD	2,137.50	
22SEP17	S	4	PD22DEC17		2141.50	2126.75	USD	1,475.00	
22SEP17	S	2	PD22DEC17		2142.50	2126.75	USD	787.50	
22SEP17	S	12	PD22DEC17		2142.00	2126.75	USD	4,575.00	

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11OCT17	B	10	PD22DEC17	2126.75	2126.75	USD	0.00	
	NET	0 AVG	BGHT 2135.30				5,150.00	0.00
25SEP17	S	1	PD27DEC17	2152.00	2127.29	USD	617.75	
25SEP17	B	1	PD27DEC17	2153.50	2127.29	USD	-655.25	
26SEP17	B	9	PD27DEC17	2152.00	2127.29	USD	-5,559.75	
26SEP17	B	1	PD27DEC17	2152.50	2127.29	USD	-630.25	
26SEP17	B	5	PD27DEC17	2145.00	2127.29	USD	-2,213.75	
26SEP17	B	2	PD27DEC17	2143.50	2127.29	USD	-810.50	
26SEP17	B	3	PD27DEC17	2128.00	2127.29	USD	-53.25	
27SEP17	S	2	PD27DEC17	2127.50	2127.29	USD	10.50	
27SEP17	S	3	PD27DEC17	2140.50	2127.29	USD	990.75	
27SEP17	S	5	PD27DEC17	2140.00	2127.29	USD	1,588.75	
27SEP17	S	1	PD27DEC17	2138.00	2127.29	USD	267.75	
27SEP17	S	2	PD27DEC17	2140.50	2127.29	USD	660.50	
27SEP17	S	1	PD27DEC17	2127.00	2127.29	USD	-7.25	
27SEP17	B	1	PD27DEC17	2127.00	2127.29	USD	7.25	
27SEP17	B	1	PD27DEC17	2130.00	2127.29	USD	-67.75	
28SEP17	S	8	PD27DEC17	2130.52	2127.29	USD	646.00	
	NET	0 AVG	BGHT 2144.65				-5,208.50	0.00
28SEP17	B	5	PD28DEC17	2138.00	2127.39	USD	-1,326.25	
28SEP17	S	1	PD28DEC17	2131.00	2127.39	USD	90.25	
28SEP17	S	2	PD28DEC17	2130.50	2127.39	USD	155.50	
28SEP17	S	3	PD28DEC17	2129.50	2127.39	USD	158.25	
28SEP17	B	1	PD28DEC17	2130.50	2127.39	USD	-77.75	
28SEP17	S	1	PD28DEC17	2127.50	2127.39	USD	2.75	
28SEP17	S	1	PD28DEC17	2129.00	2127.39	USD	40.25	
28SEP17	B	2	PD28DEC17	2131.50	2127.39	USD	-205.50	
	NET	0 AVG	BGHT 2135.44				-1,162.50	0.00
29SEP17	B	2	PD29DEC17	2135.00	2127.50	USD	-375.00	
10OCT17	S	2	PD29DEC17	2160.30	2127.50	USD	1,640.00	
	NET	0 AVG	BGHT 2135.00				1,265.00	0.00
09OCT17	B	2	PD09JAN18	2156.50	2129.62	USD	-1,344.00	
09OCT17	B	10	PD09JAN18	2146.00	2129.62	USD	-4,095.00	
09OCT17	B	2	PD09JAN18	2145.00	2129.62	USD	-769.00	
11OCT17	S	10	PD09JAN18	2129.42	2129.62	USD	-50.00	
	NET	+4 AVG	BGHT 2147.36				-6,258.00	0.00
11OCT17	S	1	PD11JAN18	2156.50	2130.00	USD	662.50	
11OCT17	S	1	PD11JAN18	2150.50	2130.00	USD	512.50	
11OCT17	B	2	PD11JAN18	2150.00	2130.00	USD	-1,000.00	
	NET	0 AVG	BGHT 2150.00				175.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD20OCT17	5987.50	6761.75	USD	-19,356.25	
21JUL17	B	1	PD20OCT17	5984.50	6761.75	USD	19,431.25	
21JUL17	B	1	PD20OCT17	5996.50	6761.75	USD	19,131.25	
21JUL17	B	1	PD20OCT17	5998.00	6761.75	USD	19,093.75	
21JUL17	S	1	PD20OCT17	6002.50	6761.75	USD	-18,981.25	
21JUL17	S	1	PD20OCT17	6008.00	6761.75	USD	-18,843.75	
21JUL17	S	1	PD20OCT17	6013.00	6761.75	USD	-18,718.75	
21JUL17	B	1	PD20OCT17	5985.00	6761.75	USD	19,418.75	
21JUL17	S	1	PD20OCT17	6000.00	6761.75	USD	-19,043.75	
03AUG17	B	1	PD20OCT17	6347.00	6761.75	USD	10,368.75	
	NET	0 AVG	BGHT 6062.20				-7,500.00	0.00
24JUL17	S	1	PD24OCT17	5996.00	6765.25	USD	-19,231.25	
24JUL17	S	1	PD24OCT17	5996.50	6765.25	USD	-19,218.75	

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24JUL17	S	1	PD240CT17	5997.50	6765.25	USD	-19,193.75	
24JUL17	S	1	PD240CT17	6019.50	6765.25	USD	-18,643.75	
24JUL17	S	1	PD240CT17	6026.50	6765.25	USD	-18,468.75	
24JUL17	S	1	PD240CT17	6023.50	6765.25	USD	-18,543.75	
24JUL17	S	2	PD240CT17	6025.00	6765.25	USD	-37,012.50	
24JUL17	S	7	PD240CT17	6025.50	6765.25	USD	-129,456.25	
24JUL17	S	3	PD240CT17	6026.00	6765.25	USD	-55,443.75	
24JUL17	S	3	PD240CT17	6027.00	6765.25	USD	-55,368.75	
24JUL17	S	1	PD240CT17	6026.50	6765.25	USD	-18,468.75	
24JUL17	S	1	PD240CT17	6025.50	6765.25	USD	-18,493.75	
03AUG17	B	23	PD240CT17	6348.71	6765.25	USD	239,510.50	
	NET	0AVG	BGHT 6348.71	SOLD 6021.70			-188,033.25	0.00
25JUL17	S	1	PD250CT17	6091.50	6765.68	USD	-16,854.50	
25JUL17	B	1	PD250CT17	6101.00	6765.68	USD	16,617.00	
25JUL17	S	1	PD250CT17	6174.50	6765.68	USD	-14,779.50	
25JUL17	B	1	PD250CT17	6181.00	6765.68	USD	14,617.00	
25JUL17	B	1	PD250CT17	6190.50	6765.68	USD	14,379.50	
25JUL17	S	1	PD250CT17	6221.50	6765.68	USD	-13,604.50	
25JUL17	S	1	PD250CT17	6227.50	6765.68	USD	-13,454.50	
25JUL17	S	2	PD250CT17	6229.00	6765.68	USD	-26,834.00	
25JUL17	S	3	PD250CT17	6230.50	6765.68	USD	-40,138.50	
25JUL17	S	1	PD250CT17	6231.00	6765.68	USD	-13,367.00	
25JUL17	S	1	PD250CT17	6210.00	6765.68	USD	-13,892.00	
25JUL17	S	2	PD250CT17	6209.00	6765.68	USD	-27,834.00	
25JUL17	S	2	PD250CT17	6211.50	6765.68	USD	-27,709.00	
25JUL17	S	3	PD250CT17	6210.50	6765.68	USD	-41,638.50	
25JUL17	S	1	PD250CT17	6211.00	6765.68	USD	-13,867.00	
25JUL17	S	2	PD250CT17	6212.50	6765.68	USD	-27,659.00	
25JUL17	S	1	PD250CT17	6175.00	6765.68	USD	-14,767.00	
25JUL17	S	1	PD250CT17	6209.50	6765.68	USD	-13,904.50	
03AUG17	B	20	PD250CT17	6349.14	6765.68	USD	208,270.00	
	NET	0AVG	BGHT 6324.14	SOLD 6208.63			-66,420.00	0.00
26JUL17	S	1	PD260CT17	6262.50	6766.11	USD	-12,590.25	
26JUL17	S	5	PD260CT17	6243.00	6766.11	USD	-65,388.75	
26JUL17	S	5	PD260CT17	6238.00	6766.11	USD	-66,013.75	
26JUL17	S	6	PD260CT17	6235.00	6766.11	USD	-79,666.50	
26JUL17	S	1	PD260CT17	6236.50	6766.11	USD	-13,240.25	
26JUL17	S	1	PD260CT17	6251.50	6766.11	USD	-12,865.25	
26JUL17	S	1	PD260CT17	6275.00	6766.11	USD	-12,277.75	
26JUL17	S	1	PD260CT17	6276.00	6766.11	USD	-12,252.75	
26JUL17	S	2	PD260CT17	6278.00	6766.11	USD	-24,405.50	
26JUL17	S	2	PD260CT17	6277.00	6766.11	USD	-24,455.50	
26JUL17	S	5	PD260CT17	6287.50	6766.11	USD	-59,826.25	
26JUL17	S	1	PD260CT17	6391.00	6766.11	USD	-9,377.75	
26JUL17	S	1	PD260CT17	6385.50	6766.11	USD	-9,515.25	
26JUL17	S	4	PD260CT17	6385.00	6766.11	USD	-38,111.00	
26JUL17	S	1	PD260CT17	6388.00	6766.11	USD	-9,452.75	
26JUL17	S	6	PD260CT17	6375.00	6766.11	USD	-58,666.50	
26JUL17	S	1	PD260CT17	6347.00	6766.11	USD	-10,477.75	
26JUL17	S	1	PD260CT17	6349.50	6766.11	USD	-10,415.25	
26JUL17	S	1	PD260CT17	6351.50	6766.11	USD	-10,365.25	
26JUL17	S	1	PD260CT17	6326.00	6766.11	USD	-11,002.75	
26JUL17	S	1	PD260CT17	6323.50	6766.11	USD	-11,065.25	
26JUL17	S	2	PD260CT17	6325.00	6766.11	USD	-22,055.50	
26JUL17	S	1	PD260CT17	6326.50	6766.11	USD	-10,990.25	
26JUL17	S	1	PD260CT17	6323.00	6766.11	USD	-11,077.75	
26JUL17	S	2	PD260CT17	6322.50	6766.11	USD	-22,180.50	

OPEN POSITION STATEMENT



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11th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	6321.50	6766.11	USD	-11,115.25	
26JUL17	B	1	PD260CT17	6293.00	6766.11	USD	11,827.75	
26JUL17	S	1	PD260CT17	6297.00	6766.11	USD	-11,727.75	
26JUL17	B	2	PD260CT17	6296.50	6766.11	USD	23,480.50	
26JUL17	B	2	PD260CT17	6297.00	6766.11	USD	23,455.50	
26JUL17	B	1	PD260CT17	6298.50	6766.11	USD	11,690.25	
26JUL17	B	6	PD260CT17	6302.00	6766.11	USD	69,616.50	
26JUL17	B	3	PD260CT17	6303.50	6766.11	USD	34,695.75	
26JUL17	B	2	PD260CT17	6312.50	6766.11	USD	22,680.50	
26JUL17	B	2	PD260CT17	6317.00	6766.11	USD	22,455.50	
26JUL17	B	2	PD260CT17	6316.00	6766.11	USD	22,505.50	
26JUL17	B	2	PD260CT17	6314.50	6766.11	USD	22,580.50	
26JUL17	B	2	PD260CT17	6315.50	6766.11	USD	22,530.50	
26JUL17	B	1	PD260CT17	6297.00	6766.11	USD	11,727.75	
03AUG17	B	29	PD260CT17	6349.57	6766.11	USD	301,991.50	
22AUG17	B	1	PD260CT17	6571.50	6766.11	USD	4,865.25	
	NET	0 AVG BGHT 6333.18	SOLD 6301.41				-44,475.75	0.00
27JUL17	B	3	PD270CT17	6360.00	6766.54	USD	30,490.50	
27JUL17	B	3	PD270CT17	6360.50	6766.54	USD	30,453.00	
27JUL17	B	2	PD270CT17	6358.00	6766.54	USD	20,427.00	
27JUL17	B	3	PD270CT17	6353.00	6766.54	USD	31,015.50	
27JUL17	B	3	PD270CT17	6350.50	6766.54	USD	31,203.00	
27JUL17	B	1	PD270CT17	6358.00	6766.54	USD	10,213.50	
03AUG17	S	15	PD270CT17	6349.80	6766.54	USD	-156,277.50	
	NET	0 AVG BGHT 6356.40	SOLD 6349.80				-2,475.00	0.00
31JUL17	B	4	PD310CT17	6386.50	6768.25	USD	38,175.00	
31JUL17	B	5	PD310CT17	6383.50	6768.25	USD	48,093.75	
31JUL17	S	5	PD310CT17	6346.00	6768.25	USD	-52,781.25	
31JUL17	B	4	PD310CT17	6383.00	6768.25	USD	38,525.00	
31JUL17	B	1	PD310CT17	6384.50	6768.25	USD	9,593.75	
31JUL17	S	5	PD310CT17	6347.00	6768.25	USD	-52,656.25	
31JUL17	B	4	PD310CT17	6385.00	6768.25	USD	38,325.00	
31JUL17	S	5	PD310CT17	6345.00	6768.25	USD	-52,906.25	
31JUL17	B	2	PD310CT17	6402.00	6768.25	USD	18,312.50	
31JUL17	B	3	PD310CT17	6401.00	6768.25	USD	27,543.75	
31JUL17	B	1	PD310CT17	6403.50	6768.25	USD	9,118.75	
31JUL17	B	2	PD310CT17	6418.50	6768.25	USD	17,487.50	
31JUL17	B	1	PD310CT17	6419.00	6768.25	USD	8,731.25	
31JUL17	B	1	PD310CT17	6419.50	6768.25	USD	8,718.75	
31JUL17	B	5	PD310CT17	6418.00	6768.25	USD	43,781.25	
31JUL17	B	2	PD310CT17	6417.00	6768.25	USD	17,562.50	
31JUL17	B	2	PD310CT17	6406.00	6768.25	USD	18,112.50	
31JUL17	S	1	PD310CT17	6408.50	6768.25	USD	-8,993.75	
31JUL17	S	1	PD310CT17	6407.00	6768.25	USD	-9,031.25	
31JUL17	B	1	PD310CT17	6386.50	6768.25	USD	9,543.75	
31JUL17	B	1	PD310CT17	6383.00	6768.25	USD	9,631.25	
31JUL17	B	1	PD310CT17	6382.00	6768.25	USD	9,656.25	
31JUL17	B	1	PD310CT17	6389.00	6768.25	USD	9,481.25	
31JUL17	B	8	PD310CT17	6381.50	6768.25	USD	77,350.00	
31JUL17	B	1	PD310CT17	6374.00	6768.25	USD	9,856.25	
31JUL17	B	1	PD310CT17	6373.50	6768.25	USD	9,868.75	
31JUL17	B	3	PD310CT17	6376.50	6768.25	USD	29,381.25	
31JUL17	S	1	PD310CT17	6373.00	6768.25	USD	-9,881.25	
31JUL17	S	1	PD310CT17	6373.50	6768.25	USD	-9,868.75	
31JUL17	S	1	PD310CT17	6369.50	6768.25	USD	-9,968.75	
31JUL17	S	1	PD310CT17	6375.00	6768.25	USD	-9,831.25	
31JUL17	B	1	PD310CT17	6377.00	6768.25	USD	9,781.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	4	PD31OCT17	6376.00	6768.25	USD	39,225.00	
31JUL17	B	5	PD31OCT17	6347.50	6768.25	USD	52,593.75	
31JUL17	S	5	PD31OCT17	6371.50	6768.25	USD	-49,593.75	
31JUL17	B	5	PD31OCT17	6365.50	6768.25	USD	50,343.75	
03AUG17	S	43	PD31OCT17	6350.94	6768.25	USD	-448,608.25	
	NET	0 AVG	BGHT 6386.34	SOLD 6354.27			-55,327.00	0.00
01AUG17	B	3	PD01NOV17	6342.00	6769.18	USD	32,038.50	
01AUG17	B	1	PD01NOV17	6341.50	6769.18	USD	10,692.00	
01AUG17	S	2	PD01NOV17	6341.50	6769.18	USD	-21,384.00	
01AUG17	S	1	PD01NOV17	6344.00	6769.18	USD	-10,629.50	
01AUG17	S	1	PD01NOV17	6342.50	6769.18	USD	-10,667.00	
	NET	0 AVG	BGHT 6341.88	SOLD 6342.38			50.00	0.00
02AUG17	B	5	PD02NOV17	6343.50	6770.10	USD	53,325.00	
02AUG17	B	5	PD02NOV17	6346.50	6770.10	USD	52,950.00	
02AUG17	B	5	PD02NOV17	6353.00	6770.10	USD	52,137.50	
02AUG17	S	2	PD02NOV17	6315.00	6770.10	USD	-22,755.00	
02AUG17	B	2	PD02NOV17	6326.50	6770.10	USD	22,180.00	
03AUG17	S	15	PD02NOV17	6351.51	6770.10	USD	-156,971.25	
	NET	0 AVG	BGHT 6345.18	SOLD 6347.21			866.25	0.00
03AUG17	B	1	PD03NOV17	6362.50	6771.03	USD	10,213.25	
03AUG17	B	1	PD03NOV17	6363.00	6771.03	USD	10,200.75	
03AUG17	B	1	PD03NOV17	6361.50	6771.03	USD	10,238.25	
03AUG17	B	1	PD03NOV17	6357.50	6771.03	USD	10,338.25	
03AUG17	B	1	PD03NOV17	6356.50	6771.03	USD	10,363.25	
04AUG17	B	2	PD03NOV17	6350.50	6771.03	USD	21,026.50	
04AUG17	B	1	PD03NOV17	6350.00	6771.03	USD	10,525.75	
04AUG17	B	1	PD03NOV17	6357.00	6771.03	USD	10,350.75	
04AUG17	B	1	PD03NOV17	6355.50	6771.03	USD	10,388.25	
22AUG17	S	10	PD03NOV17	6575.65	6771.03	USD	-48,845.00	
	NET	0 AVG	BGHT 6356.45	SOLD 6575.65			54,800.00	0.00
08AUG17	S	1	PD08NOV17	6408.50	6775.65	USD	-9,178.75	
08AUG17	B	1	PD08NOV17	6398.50	6775.65	USD	9,428.75	
	NET	0 AVG	BGHT 6398.50	SOLD 6408.50			250.00	0.00
10AUG17	S	8	PD10NOV17	6417.50	6777.50	USD	-72,000.00	
10AUG17	S	1	PD10NOV17	6416.50	6777.50	USD	-9,025.00	
11AUG17	S	2	PD10NOV17	6353.50	6777.50	USD	-21,200.00	
11AUG17	S	1	PD10NOV17	6353.00	6777.50	USD	-10,612.50	
11AUG17	S	3	PD10NOV17	6343.00	6777.50	USD	-32,587.50	
11AUG17	S	2	PD10NOV17	6349.00	6777.50	USD	-21,425.00	
11AUG17	S	1	PD10NOV17	6350.00	6777.50	USD	-10,687.50	
11AUG17	S	1	PD10NOV17	6349.50	6777.50	USD	-10,700.00	
11AUG17	S	1	PD10NOV17	6420.50	6777.50	USD	-8,925.00	
11AUG17	S	1	PD10NOV17	6411.50	6777.50	USD	-9,150.00	
11AUG17	S	2	PD10NOV17	6415.00	6777.50	USD	-18,125.00	
11AUG17	S	4	PD10NOV17	6423.00	6777.50	USD	-35,450.00	
11AUG17	S	1	PD10NOV17	6424.50	6777.50	USD	-8,825.00	
11AUG17	S	1	PD10NOV17	6425.50	6777.50	USD	-8,800.00	
22AUG17	B	10	PD10NOV17	6579.45	6777.50	USD	49,512.50	
22AUG17	B	19	PD10NOV17	6579.25	6777.50	USD	94,168.75	
	NET	0 AVG	BGHT 6579.32	SOLD 6394.72			-133,831.25	0.00
14AUG17	S	10	PD14NOV17	6418.50	6776.83	USD	-89,582.50	
14AUG17	S	5	PD14NOV17	6412.50	6776.83	USD	-45,541.25	
14AUG17	B	5	PD14NOV17	6395.00	6776.83	USD	47,728.75	
14AUG17	S	4	PD14NOV17	6405.00	6776.83	USD	-37,183.00	
14AUG17	S	1	PD14NOV17	6411.00	6776.83	USD	-9,145.75	
22AUG17	B	15	PD14NOV17	6580.75	6776.83	USD	73,530.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6534.31	SOLD	6413.93		-60,193.75	0.00
15AUG17	B	1	PD15NOV17		6416.50	6776.50	USD	9,000.00	
15AUG17	B	2	PD15NOV17		6416.00	6776.50	USD	18,025.00	
15AUG17	B	3	PD15NOV17		6420.00	6776.50	USD	26,737.50	
15AUG17	B	1	PD15NOV17		6419.50	6776.50	USD	8,925.00	
15AUG17	B	1	PD15NOV17		6414.00	6776.50	USD	9,062.50	
22AUG17	S	8	PD15NOV17		6580.55	6776.50	USD	-39,190.00	
	NET	0 AVG	BGHT	6417.75	SOLD	6580.55		32,560.00	0.00
16AUG17	B	1	PD16NOV17		6390.00	6776.25	USD	9,656.25	
16AUG17	S	1	PD16NOV17		6485.50	6776.25	USD	-7,268.75	
16AUG17	S	1	PD16NOV17		6487.50	6776.25	USD	-7,218.75	
16AUG17	S	1	PD16NOV17		6490.00	6776.25	USD	-7,156.25	
16AUG17	B	1	PD16NOV17		6489.00	6776.25	USD	7,181.25	
16AUG17	B	1	PD16NOV17		6494.50	6776.25	USD	7,043.75	
16AUG17	S	1	PD16NOV17		6504.50	6776.25	USD	-6,793.75	
16AUG17	B	1	PD16NOV17		6509.50	6776.25	USD	6,668.75	
16AUG17	B	1	PD16NOV17		6524.50	6776.25	USD	6,293.75	
16AUG17	B	1	PD16NOV17		6526.50	6776.25	USD	6,243.75	
16AUG17	B	2	PD16NOV17		6521.00	6776.25	USD	12,762.50	
16AUG17	S	1	PD16NOV17		6527.00	6776.25	USD	-6,231.25	
16AUG17	S	2	PD16NOV17		6524.00	6776.25	USD	-12,612.50	
16AUG17	S	5	PD16NOV17		6536.00	6776.25	USD	-30,031.25	
16AUG17	B	5	PD16NOV17		6543.50	6776.25	USD	29,093.75	
16AUG17	B	1	PD16NOV17		6530.00	6776.25	USD	6,156.25	
16AUG17	S	1	PD16NOV17		6537.50	6776.25	USD	-5,968.75	
22AUG17	S	1	PD16NOV17		6578.55	6776.25	USD	-4,942.50	
	NET	0 AVG	BGHT	6515.96	SOLD	6524.18		2,876.25	0.00
17AUG17	B	1	PD17NOV17		6555.50	6776.78	USD	5,532.00	
17AUG17	B	5	PD17NOV17		6580.00	6776.78	USD	24,597.50	
17AUG17	S	1	PD17NOV17		6545.00	6776.78	USD	-5,794.50	
17AUG17	S	1	PD17NOV17		6545.50	6776.78	USD	-5,782.00	
17AUG17	S	1	PD17NOV17		6546.00	6776.78	USD	-5,769.50	
17AUG17	S	1	PD17NOV17		6534.50	6776.78	USD	-6,057.00	
17AUG17	S	1	PD17NOV17		6532.00	6776.78	USD	-6,119.50	
17AUG17	S	2	PD17NOV17		6528.50	6776.78	USD	-12,414.00	
17AUG17	B	1	PD17NOV17		6542.50	6776.78	USD	5,857.00	
17AUG17	B	1	PD17NOV17		6541.50	6776.78	USD	5,882.00	
17AUG17	B	1	PD17NOV17		6543.00	6776.78	USD	5,844.50	
17AUG17	B	1	PD17NOV17		6547.50	6776.78	USD	5,732.00	
17AUG17	S	4	PD17NOV17		6545.00	6776.78	USD	-23,178.00	
17AUG17	B	1	PD17NOV17		6547.00	6776.78	USD	5,744.50	
17AUG17	B	1	PD17NOV17		6510.00	6776.78	USD	6,669.50	
17AUG17	B	5	PD17NOV17		6515.50	6776.78	USD	32,660.00	
17AUG17	B	5	PD17NOV17		6506.50	6776.78	USD	33,785.00	
17AUG17	B	1	PD17NOV17		6492.00	6776.78	USD	7,119.50	
17AUG17	B	1	PD17NOV17		6495.00	6776.78	USD	7,044.50	
17AUG17	B	2	PD17NOV17		6494.50	6776.78	USD	14,114.00	
17AUG17	B	2	PD17NOV17		6498.50	6776.78	USD	13,914.00	
17AUG17	B	1	PD17NOV17		6483.50	6776.78	USD	7,332.00	
18AUG17	S	1	PD17NOV17		6462.00	6776.78	USD	-7,869.50	
18AUG17	B	2	PD17NOV17		6529.00	6776.78	USD	12,389.00	
18AUG17	B	2	PD17NOV17		6516.00	6776.78	USD	13,039.00	
18AUG17	B	2	PD17NOV17		6513.00	6776.78	USD	13,189.00	
18AUG17	B	2	PD17NOV17		6512.50	6776.78	USD	13,214.00	
18AUG17	B	1	PD17NOV17		6504.50	6776.78	USD	6,807.00	
18AUG17	B	1	PD17NOV17		6475.00	6776.78	USD	7,544.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6490.50	6776.78	USD	7,157.00	
22AUG17	S	26	PD17NOV17	6578.76	6776.78	USD	-128,713.00	
11SEP17	S	2	PD17NOV17	6736.93	6776.78	USD	-1,992.50	
	NET	0AVG BGHT 6521.61	SOLD 6573.09				51,478.00	0.00
21AUG17	B	3	PD21NOV17	6491.50	6778.92	USD	21,556.50	
21AUG17	B	3	PD21NOV17	6530.00	6778.92	USD	18,669.00	
21AUG17	B	3	PD21NOV17	6565.00	6778.92	USD	16,044.00	
21AUG17	B	3	PD21NOV17	6559.00	6778.92	USD	16,494.00	
21AUG17	B	2	PD21NOV17	6557.50	6778.92	USD	11,071.00	
21AUG17	B	3	PD21NOV17	6577.00	6778.92	USD	15,144.00	
21AUG17	B	2	PD21NOV17	6578.50	6778.92	USD	10,021.00	
21AUG17	B	1	PD21NOV17	6579.50	6778.92	USD	4,985.50	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	B	2	PD21NOV17	6584.50	6778.92	USD	9,721.00	
21AUG17	B	2	PD21NOV17	6564.50	6778.92	USD	10,721.00	
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	2	PD21NOV17	6563.50	6778.92	USD	10,771.00	
21AUG17	B	2	PD21NOV17	6564.00	6778.92	USD	10,746.00	
21AUG17	B	8	PD21NOV17	6560.00	6778.92	USD	43,784.00	
21AUG17	B	1	PD21NOV17	6574.50	6778.92	USD	5,110.50	
21AUG17	B	2	PD21NOV17	6589.00	6778.92	USD	9,496.00	
21AUG17	B	2	PD21NOV17	6590.00	6778.92	USD	9,446.00	
21AUG17	B	1	PD21NOV17	6591.00	6778.92	USD	4,698.00	
21AUG17	B	1	PD21NOV17	6590.50	6778.92	USD	4,710.50	
21AUG17	B	1	PD21NOV17	6566.00	6778.92	USD	5,323.00	
21AUG17	B	3	PD21NOV17	6599.50	6778.92	USD	13,456.50	
21AUG17	B	3	PD21NOV17	6600.00	6778.92	USD	13,419.00	
21AUG17	B	3	PD21NOV17	6600.50	6778.92	USD	13,381.50	
21AUG17	B	3	PD21NOV17	6619.50	6778.92	USD	11,956.50	
21AUG17	B	6	PD21NOV17	6620.00	6778.92	USD	23,838.00	
21AUG17	B	1	PD21NOV17	6621.00	6778.92	USD	3,948.00	
21AUG17	B	2	PD21NOV17	6622.00	6778.92	USD	7,846.00	
11SEP17	S	67	PD21NOV17	6738.67	6778.92	USD	-67,418.75	
	NET	0AVG BGHT 6577.89	SOLD 6738.67				269,309.75	0.00
22AUG17	S	1	PD22NOV17	6552.00	6779.45	USD	-5,686.25	
22AUG17	S	3	PD22NOV17	6576.00	6779.45	USD	-15,258.75	
22AUG17	B	1	PD22NOV17	6608.50	6779.45	USD	4,273.75	
22AUG17	B	3	PD22NOV17	6639.50	6779.45	USD	10,496.25	
22AUG17	S	1	PD22NOV17	6597.00	6779.45	USD	-4,561.25	
22AUG17	B	1	PD22NOV17	6582.50	6779.45	USD	4,923.75	
22AUG17	S	1	PD22NOV17	6593.50	6779.45	USD	-4,648.75	
22AUG17	B	1	PD22NOV17	6589.50	6779.45	USD	4,748.75	
22AUG17	B	1	PD22NOV17	6584.00	6779.45	USD	4,886.25	
22AUG17	S	1	PD22NOV17	6555.00	6779.45	USD	-5,611.25	
	NET	0AVG BGHT 6611.86	SOLD 6575.07				-6,437.50	0.00
23AUG17	S	3	PD24NOV17	6575.50	6780.52	USD	-15,376.50	
23AUG17	B	1	PD24NOV17	6553.00	6780.52	USD	5,688.00	
23AUG17	B	1	PD24NOV17	6575.00	6780.52	USD	5,138.00	
24AUG17	S	1	PD24NOV17	6615.50	6780.52	USD	-4,125.50	
24AUG17	S	1	PD24NOV17	6610.00	6780.52	USD	-4,263.00	
24AUG17	S	1	PD24NOV17	6617.50	6780.52	USD	-4,075.50	
24AUG17	S	6	PD24NOV17	6627.50	6780.52	USD	-22,953.00	
24AUG17	S	3	PD24NOV17	6628.00	6780.52	USD	-11,439.00	
24AUG17	S	3	PD24NOV17	6632.00	6780.52	USD	-11,139.00	
24AUG17	S	2	PD24NOV17	6635.00	6780.52	USD	-7,276.00	
24AUG17	S	1	PD24NOV17	6633.00	6780.52	USD	-3,688.00	

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24AUG17	S	3	PD24NOV17	6630.50	6780.52	USD	-11,251.50	
24AUG17	S	3	PD24NOV17	6631.00	6780.52	USD	-11,214.00	
24AUG17	S	4	PD24NOV17	6630.00	6780.52	USD	-15,052.00	
24AUG17	S	1	PD24NOV17	6631.50	6780.52	USD	-3,725.50	
24AUG17	B	1	PD24NOV17	6660.00	6780.52	USD	3,013.00	
24AUG17	S	1	PD24NOV17	6670.50	6780.52	USD	-2,750.50	
24AUG17	S	3	PD24NOV17	6700.00	6780.52	USD	-6,039.00	
24AUG17	S	3	PD24NOV17	6709.00	6780.52	USD	-5,364.00	
24AUG17	S	3	PD24NOV17	6710.50	6780.52	USD	-5,251.50	
24AUG17	S	3	PD24NOV17	6710.00	6780.52	USD	-5,289.00	
24AUG17	S	1	PD24NOV17	6712.50	6780.52	USD	-1,700.50	
24AUG17	S	2	PD24NOV17	6709.50	6780.52	USD	-3,551.00	
24AUG17	S	3	PD24NOV17	6704.00	6780.52	USD	-5,739.00	
24AUG17	S	2	PD24NOV17	6704.50	6780.52	USD	-3,801.00	
25AUG17	S	3	PD24NOV17	6698.50	6780.52	USD	-6,151.50	
25AUG17	S	1	PD24NOV17	6704.00	6780.52	USD	-1,913.00	
25AUG17	S	3	PD24NOV17	6703.00	6780.52	USD	-5,814.00	
25AUG17	S	1	PD24NOV17	6702.00	6780.52	USD	-1,963.00	
25AUG17	S	3	PD24NOV17	6724.00	6780.52	USD	-4,239.00	
25AUG17	S	2	PD24NOV17	6723.00	6780.52	USD	-2,876.00	
25AUG17	S	3	PD24NOV17	6722.50	6780.52	USD	-4,351.50	
25AUG17	S	1	PD24NOV17	6738.50	6780.52	USD	-1,050.50	
25AUG17	S	1	PD24NOV17	6730.00	6780.52	USD	-1,263.00	
25AUG17	S	1	PD24NOV17	6728.50	6780.52	USD	-1,300.50	
25AUG17	B	3	PD24NOV17	6706.00	6780.52	USD	5,589.00	
25AUG17	S	1	PD24NOV17	6695.00	6780.52	USD	-2,138.00	
25AUG17	S	1	PD24NOV17	6687.00	6780.52	USD	-2,338.00	
25AUG17	S	1	PD24NOV17	6685.00	6780.52	USD	-2,388.00	
25AUG17	B	1	PD24NOV17	6705.00	6780.52	USD	1,888.00	
25AUG17	B	3	PD24NOV17	6707.00	6780.52	USD	5,514.00	
25AUG17	B	3	PD24NOV17	6702.50	6780.52	USD	5,851.50	
25AUG17	B	3	PD24NOV17	6692.00	6780.52	USD	6,639.00	
25AUG17	B	8	PD24NOV17	6693.00	6780.52	USD	17,504.00	
25AUG17	B	1	PD24NOV17	6695.00	6780.52	USD	2,138.00	
25AUG17	S	1	PD24NOV17	6670.00	6780.52	USD	-2,763.00	
25AUG17	S	3	PD24NOV17	6676.50	6780.52	USD	-7,801.50	
25AUG17	S	1	PD24NOV17	6697.00	6780.52	USD	-2,088.00	
25AUG17	S	2	PD24NOV17	6696.50	6780.52	USD	-4,201.00	
25AUG17	S	1	PD24NOV17	6693.00	6780.52	USD	-2,188.00	
25AUG17	B	1	PD24NOV17	6693.00	6780.52	USD	2,188.00	
11SEP17	B	57	PD24NOV17	6740.17	6780.52	USD	57,498.75	
	NET	0AVG BGHT 6723.34	SOLD 6673.58				-103,242.25	0.00
29AUG17	S	3	PD29NOV17	6830.00	6783.18	USD	3,511.50	
29AUG17	S	3	PD29NOV17	6835.50	6783.18	USD	3,924.00	
29AUG17	S	3	PD29NOV17	6794.50	6783.18	USD	849.00	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
29AUG17	S	1	PD29NOV17	6804.00	6783.18	USD	520.50	
29AUG17	B	1	PD29NOV17	6789.50	6783.18	USD	-158.00	
11SEP17	B	10	PD29NOV17	6742.33	6783.18	USD	10,212.50	
	NET	0AVG BGHT 6746.62	SOLD 6817.05				19,367.50	0.00
30AUG17	B	2	PD30NOV17	6778.00	6783.72	USD	286.00	
31AUG17	S	1	PD30NOV17	6800.00	6783.72	USD	407.00	
31AUG17	B	1	PD30NOV17	6800.00	6783.72	USD	-407.00	
31AUG17	B	1	PD30NOV17	6794.00	6783.72	USD	-257.00	
31AUG17	B	1	PD30NOV17	6796.00	6783.72	USD	-307.00	
31AUG17	B	1	PD30NOV17	6783.00	6783.72	USD	18.00	
31AUG17	B	8	PD30NOV17	6788.00	6783.72	USD	-856.00	

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31AUG17	B	3	PD30NOV17	6790.00	6783.72	USD	-471.00	
31AUG17	B	3	PD30NOV17	6789.50	6783.72	USD	-433.50	
11SEP17	S	19	PD30NOV17	6742.57	6783.72	USD	-19,546.25	
	NET	0 AVG BGHT 6788.58	SOLD 6745.44				-21,566.75	0.00
01SEP17	B	1	PD01DEC17	6805.00	6784.25	USD	-518.75	
01SEP17	B	1	PD01DEC17	6799.00	6784.25	USD	-368.75	
01SEP17	B	7	PD01DEC17	6811.00	6784.25	USD	-4,681.25	
01SEP17	B	8	PD01DEC17	6812.00	6784.25	USD	-5,550.00	
01SEP17	B	3	PD01DEC17	6812.50	6784.25	USD	-2,118.75	
01SEP17	B	6	PD01DEC17	6811.50	6784.25	USD	-4,087.50	
01SEP17	B	4	PD01DEC17	6816.50	6784.25	USD	-3,225.00	
11SEP17	S	10	PD01DEC17	6743.00	6784.25	USD	-10,312.50	
14SEP17	S	20	PD01DEC17	6492.66	6784.25	USD	-145,795.00	
	NET	0 AVG BGHT 6811.65	SOLD 6576.11				-176,657.50	0.00
04SEP17	S	1	PD04DEC17	6870.50	6785.75	USD	2,118.75	
04SEP17	S	3	PD04DEC17	6869.00	6785.75	USD	6,243.75	
04SEP17	S	4	PD04DEC17	6909.50	6785.75	USD	12,375.00	
04SEP17	B	1	PD04DEC17	6915.00	6785.75	USD	-3,231.25	
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
04SEP17	B	2	PD04DEC17	6919.50	6785.75	USD	-6,687.50	
11SEP17	B	2	PD04DEC17	6744.70	6785.75	USD	2,052.50	
14SEP17	B	2	PD04DEC17	6493.89	6785.75	USD	14,593.00	
	NET	0 AVG BGHT 6768.52	SOLD 6889.44				24,183.00	0.00
05SEP17	S	2	PD05DEC17	6927.50	6786.25	USD	7,062.50	
05SEP17	B	2	PD05DEC17	6941.50	6786.25	USD	-7,762.50	
05SEP17	S	2	PD05DEC17	6932.50	6786.25	USD	7,312.50	
05SEP17	S	8	PD05DEC17	6932.00	6786.25	USD	29,150.00	
05SEP17	S	2	PD05DEC17	6941.00	6786.25	USD	7,737.50	
05SEP17	S	2	PD05DEC17	6940.50	6786.25	USD	7,712.50	
05SEP17	B	2	PD05DEC17	6963.50	6786.25	USD	-8,862.50	
05SEP17	B	8	PD05DEC17	6963.00	6786.25	USD	-35,350.00	
05SEP17	B	6	PD05DEC17	6960.00	6786.25	USD	-26,062.50	
05SEP17	B	1	PD05DEC17	6959.50	6786.25	USD	-4,331.25	
05SEP17	B	5	PD05DEC17	6959.50	6786.25	USD	-21,656.25	
05SEP17	B	1	PD05DEC17	6900.50	6786.25	USD	-2,856.25	
05SEP17	B	2	PD05DEC17	6898.00	6786.25	USD	-5,587.50	
05SEP17	B	2	PD05DEC17	6885.00	6786.25	USD	-4,937.50	
05SEP17	B	4	PD05DEC17	6886.50	6786.25	USD	-10,025.00	
05SEP17	B	8	PD05DEC17	6886.00	6786.25	USD	-19,950.00	
05SEP17	B	2	PD05DEC17	6854.00	6786.25	USD	-3,387.50	
05SEP17	B	2	PD05DEC17	6853.50	6786.25	USD	-3,362.50	
05SEP17	S	2	PD05DEC17	6847.50	6786.25	USD	3,062.50	
05SEP17	B	3	PD05DEC17	6838.00	6786.25	USD	-3,881.25	
05SEP17	S	2	PD05DEC17	6853.50	6786.25	USD	3,362.50	
05SEP17	S	1	PD05DEC17	6855.00	6786.25	USD	1,718.75	
19SEP17	S	26	PD05DEC17	6533.08	6786.25	USD	-164,560.50	
21SEP17	S	1	PD05DEC17	6476.92	6786.25	USD	-7,733.25	
	NET	0 AVG BGHT 6917.93	SOLD 6698.60				-263,187.50	0.00
06SEP17	S	2	PD06DEC17	6886.50	6786.75	USD	4,987.50	
06SEP17	S	2	PD06DEC17	6904.00	6786.75	USD	5,862.50	
06SEP17	S	1	PD06DEC17	6908.50	6786.75	USD	3,043.75	
06SEP17	S	3	PD06DEC17	6909.00	6786.75	USD	9,168.75	
06SEP17	S	3	PD06DEC17	6911.00	6786.75	USD	9,318.75	
06SEP17	S	4	PD06DEC17	6911.50	6786.75	USD	12,475.00	
06SEP17	S	6	PD06DEC17	6910.50	6786.75	USD	18,562.50	
06SEP17	S	1	PD06DEC17	6912.50	6786.75	USD	3,143.75	

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06SEP17	S	6	PD06DEC17	6910.00	6786.75	USD	18,487.50	
06SEP17	S	8	PD06DEC17	6908.00	6786.75	USD	24,250.00	
06SEP17	S	5	PD06DEC17	6907.00	6786.75	USD	15,031.25	
06SEP17	S	5	PD06DEC17	6907.50	6786.75	USD	15,093.75	
06SEP17	S	2	PD06DEC17	6909.50	6786.75	USD	6,137.50	
06SEP17	B	7	PD06DEC17	6895.00	6786.75	USD	-18,943.75	
06SEP17	B	3	PD06DEC17	6893.00	6786.75	USD	-7,968.75	
06SEP17	B	2	PD06DEC17	6888.00	6786.75	USD	-5,062.50	
06SEP17	B	2	PD06DEC17	6877.50	6786.75	USD	-4,537.50	
06SEP17	B	2	PD06DEC17	6881.00	6786.75	USD	-4,712.50	
06SEP17	B	2	PD06DEC17	6880.00	6786.75	USD	-4,662.50	
06SEP17	B	1	PD06DEC17	6879.50	6786.75	USD	-2,318.75	
06SEP17	B	5	PD06DEC17	6881.50	6786.75	USD	-11,843.75	
11SEP17	B	24	PD06DEC17	6745.70	6786.75	USD	24,630.00	
	NET	0AVG BGHT 6816.27	SOLD 6908.05				110,142.50	0.00
07SEP17	S	2	PD07DEC17	6869.00	6787.23	USD	4,088.50	
07SEP17	B	2	PD07DEC17	6903.00	6787.23	USD	-5,788.50	
07SEP17	B	5	PD07DEC17	6900.50	6787.23	USD	-14,158.75	
07SEP17	S	1	PD07DEC17	6872.00	6787.23	USD	2,119.25	
07SEP17	S	2	PD07DEC17	6870.00	6787.23	USD	4,138.50	
07SEP17	S	2	PD07DEC17	6879.50	6787.23	USD	4,613.50	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	4	PD07DEC17	6884.50	6787.23	USD	9,727.00	
07SEP17	S	3	PD07DEC17	6901.00	6787.23	USD	8,532.75	
07SEP17	B	3	PD07DEC17	6903.00	6787.23	USD	-8,682.75	
07SEP17	B	1	PD07DEC17	6896.50	6787.23	USD	-2,731.75	
11SEP17	B	5	PD07DEC17	6746.20	6787.23	USD	5,128.75	
	NET	0AVG BGHT 6852.81	SOLD 6881.75				11,575.00	0.00
08SEP17	S	2	PD08DEC17	6907.00	6787.71	USD	5,964.50	
08SEP17	S	1	PD08DEC17	6906.00	6787.71	USD	2,957.25	
08SEP17	S	3	PD08DEC17	6906.50	6787.71	USD	8,909.25	
08SEP17	S	1	PD08DEC17	6905.50	6787.71	USD	2,944.75	
08SEP17	S	1	PD08DEC17	6904.50	6787.71	USD	2,919.75	
08SEP17	S	2	PD08DEC17	6902.00	6787.71	USD	5,714.50	
08SEP17	S	1	PD08DEC17	6898.50	6787.71	USD	2,769.75	
08SEP17	S	1	PD08DEC17	6899.00	6787.71	USD	2,782.25	
08SEP17	S	6	PD08DEC17	6899.50	6787.71	USD	16,768.50	
08SEP17	S	2	PD08DEC17	6900.00	6787.71	USD	5,614.50	
08SEP17	B	3	PD08DEC17	6928.50	6787.71	USD	-10,559.25	
08SEP17	B	2	PD08DEC17	6929.50	6787.71	USD	-7,089.50	
08SEP17	B	5	PD08DEC17	6930.50	6787.71	USD	-17,848.75	
08SEP17	B	5	PD08DEC17	6947.50	6787.71	USD	-19,973.75	
08SEP17	S	3	PD08DEC17	6924.50	6787.71	USD	10,259.25	
08SEP17	S	2	PD08DEC17	6924.00	6787.71	USD	6,814.50	
08SEP17	S	4	PD08DEC17	6919.00	6787.71	USD	13,129.00	
08SEP17	S	1	PD08DEC17	6911.00	6787.71	USD	3,082.25	
08SEP17	S	5	PD08DEC17	6901.50	6787.71	USD	14,223.75	
08SEP17	S	5	PD08DEC17	6894.50	6787.71	USD	13,348.75	
08SEP17	S	5	PD08DEC17	6898.00	6787.71	USD	13,786.25	
08SEP17	S	8	PD08DEC17	6875.00	6787.71	USD	17,458.00	
08SEP17	S	5	PD08DEC17	6873.50	6787.71	USD	10,723.75	
08SEP17	S	2	PD08DEC17	6870.50	6787.71	USD	4,139.50	
08SEP17	S	1	PD08DEC17	6860.50	6787.71	USD	1,819.75	
08SEP17	S	2	PD08DEC17	6861.50	6787.71	USD	3,689.50	
08SEP17	S	3	PD08DEC17	6861.00	6787.71	USD	5,496.75	
08SEP17	S	2	PD08DEC17	6862.00	6787.71	USD	3,714.50	
08SEP17	S	5	PD08DEC17	6856.00	6787.71	USD	8,536.25	

OPEN POSITION STATEMENT



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SAP094AB

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6855.50	6787.71	USD	1,694.75	
08SEP17	S	1	PD08DEC17	6855.00	6787.71	USD	1,682.25	
08SEP17	S	2	PD08DEC17	6857.00	6787.71	USD	3,464.50	
08SEP17	S	1	PD08DEC17	6859.00	6787.71	USD	1,782.25	
08SEP17	S	2	PD08DEC17	6858.50	6787.71	USD	3,539.50	
08SEP17	S	1	PD08DEC17	6844.50	6787.71	USD	1,419.75	
08SEP17	S	3	PD08DEC17	6844.00	6787.71	USD	4,221.75	
08SEP17	S	5	PD08DEC17	6821.50	6787.71	USD	4,223.75	
08SEP17	B	1	PD08DEC17	6829.50	6787.71	USD	-1,044.75	
08SEP17	S	7	PD08DEC17	6870.00	6787.71	USD	14,400.75	
08SEP17	S	1	PD08DEC17	6844.00	6787.71	USD	1,407.25	
08SEP17	B	5	PD08DEC17	6801.00	6787.71	USD	-1,661.25	
08SEP17	B	5	PD08DEC17	6788.00	6787.71	USD	-36.25	
08SEP17	S	5	PD08DEC17	6755.00	6787.71	USD	-4,088.75	
08SEP17	S	2	PD08DEC17	6753.50	6787.71	USD	-1,710.50	
08SEP17	S	3	PD08DEC17	6745.50	6787.71	USD	-3,165.75	
08SEP17	B	3	PD08DEC17	6736.50	6787.71	USD	3,840.75	
08SEP17	B	2	PD08DEC17	6748.00	6787.71	USD	1,985.50	
08SEP17	B	3	PD08DEC17	6739.00	6787.71	USD	3,653.25	
08SEP17	B	1	PD08DEC17	6741.00	6787.71	USD	1,167.75	
08SEP17	B	2	PD08DEC17	6744.50	6787.71	USD	2,160.50	
08SEP17	B	1	PD08DEC17	6738.50	6787.71	USD	1,230.25	
08SEP17	S	2	PD08DEC17	6747.50	6787.71	USD	-2,010.50	
08SEP17	S	1	PD08DEC17	6743.50	6787.71	USD	-1,105.25	
08SEP17	S	1	PD08DEC17	6743.00	6787.71	USD	-1,117.75	
08SEP17	B	1	PD08DEC17	6729.50	6787.71	USD	1,455.25	
08SEP17	S	1	PD08DEC17	6702.50	6787.71	USD	-2,130.25	
08SEP17	S	5	PD08DEC17	6702.00	6787.71	USD	-10,713.75	
08SEP17	S	2	PD08DEC17	6701.00	6787.71	USD	-4,335.50	
08SEP17	B	3	PD08DEC17	6708.50	6787.71	USD	5,940.75	
08SEP17	S	1	PD08DEC17	6702.50	6787.71	USD	-2,130.25	
08SEP17	B	2	PD08DEC17	6699.50	6787.71	USD	4,410.50	
08SEP17	B	2	PD08DEC17	6697.00	6787.71	USD	4,535.50	
08SEP17	B	2	PD08DEC17	6694.50	6787.71	USD	4,660.50	
08SEP17	B	2	PD08DEC17	6687.00	6787.71	USD	5,035.50	
14SEP17	B	20	PD08DEC17	6495.74	6787.71	USD	145,985.00	
14SEP17	S	2	PD08DEC17	6495.34	6787.71	USD	-14,618.50	
14SEP17	B	39	PD08DEC17	6495.54	6787.71	USD	284,865.75	
19SEP17	B	13	PD08DEC17	6534.85	6787.71	USD	82,179.50	
	NET	0 AVG BGHT 6625.45	SOLD 6846.16				673,169.25	0.00
11SEP17	S	1	PD11DEC17	6744.00	6789.15	USD	-1,128.75	
11SEP17	S	4	PD11DEC17	6742.50	6789.15	USD	-4,665.00	
11SEP17	B	5	PD11DEC17	6747.50	6789.15	USD	5,206.25	
11SEP17	S	6	PD11DEC17	6719.00	6789.15	USD	-10,522.50	
11SEP17	B	1	PD11DEC17	6723.00	6789.15	USD	1,653.75	
11SEP17	B	5	PD11DEC17	6722.50	6789.15	USD	8,331.25	
11SEP17	B	2	PD11DEC17	6745.50	6789.15	USD	2,182.50	
11SEP17	S	2	PD11DEC17	6745.50	6789.15	USD	-2,182.50	
	NET	0 AVG BGHT 6735.69	SOLD 6732.23				-1,125.00	0.00
12SEP17	S	4	PD12DEC17	6734.00	6789.63	USD	-5,563.00	
12SEP17	S	2	PD12DEC17	6736.00	6789.63	USD	-2,681.50	
12SEP17	S	4	PD12DEC17	6708.50	6789.63	USD	-8,113.00	
12SEP17	B	4	PD12DEC17	6719.50	6789.63	USD	7,013.00	
12SEP17	B	2	PD12DEC17	6720.00	6789.63	USD	3,481.50	
12SEP17	B	4	PD12DEC17	6729.50	6789.63	USD	6,013.00	
12SEP17	B	2	PD12DEC17	6737.50	6789.63	USD	2,606.50	
12SEP17	B	1	PD12DEC17	6649.00	6789.63	USD	3,515.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	6645.00	6789.63	USD	3,615.75	
12SEP17	B	1	PD12DEC17	6637.00	6789.63	USD	3,815.75	
12SEP17	S	2	PD12DEC17	6641.50	6789.63	USD	-7,406.50	
12SEP17	S	2	PD12DEC17	6647.00	6789.63	USD	-7,131.50	
12SEP17	B	12	PD12DEC17	6654.00	6789.63	USD	40,689.00	
12SEP17	B	4	PD12DEC17	6660.50	6789.63	USD	12,913.00	
12SEP17	B	2	PD12DEC17	6657.50	6789.63	USD	6,606.50	
12SEP17	B	2	PD12DEC17	6671.00	6789.63	USD	5,931.50	
12SEP17	B	5	PD12DEC17	6672.00	6789.63	USD	14,703.75	
12SEP17	B	4	PD12DEC17	6670.00	6789.63	USD	11,963.00	
12SEP17	B	1	PD12DEC17	6641.50	6789.63	USD	3,703.25	
12SEP17	B	1	PD12DEC17	6660.50	6789.63	USD	3,228.25	
12SEP17	B	1	PD12DEC17	6657.50	6789.63	USD	3,303.25	
12SEP17	B	3	PD12DEC17	6670.50	6789.63	USD	8,934.75	
12SEP17	B	3	PD12DEC17	6668.00	6789.63	USD	9,122.25	
14SEP17	S	39	PD12DEC17	6496.98	6789.63	USD	-285,333.75	
	NET	0 AVG BGHT 6675.55	SOLD 6550.97				-165,069.50	0.00
13SEP17	B	1	PD13DEC17	6559.50	6790.10	USD	5,765.00	
13SEP17	B	6	PD13DEC17	6564.00	6790.10	USD	33,915.00	
13SEP17	B	4	PD13DEC17	6564.50	6790.10	USD	22,560.00	
13SEP17	B	5	PD13DEC17	6570.50	6790.10	USD	27,450.00	
13SEP17	S	1	PD13DEC17	6555.00	6790.10	USD	-5,877.50	
13SEP17	S	1	PD13DEC17	6556.00	6790.10	USD	-5,852.50	
13SEP17	S	1	PD13DEC17	6544.50	6790.10	USD	-6,140.00	
13SEP17	S	2	PD13DEC17	6564.50	6790.10	USD	-11,280.00	
13SEP17	B	1	PD13DEC17	6564.00	6790.10	USD	5,652.50	
13SEP17	S	1	PD13DEC17	6550.00	6790.10	USD	-6,002.50	
13SEP17	B	2	PD13DEC17	6551.50	6790.10	USD	11,930.00	
13SEP17	B	3	PD13DEC17	6558.00	6790.10	USD	17,407.50	
13SEP17	B	1	PD13DEC17	6554.00	6790.10	USD	5,902.50	
13SEP17	B	1	PD13DEC17	6552.50	6790.10	USD	5,940.00	
13SEP17	B	1	PD13DEC17	6551.00	6790.10	USD	5,977.50	
19SEP17	S	19	PD13DEC17	6536.63	6790.10	USD	-120,398.25	
	NET	0 AVG BGHT 6562.10	SOLD 6541.22				-13,050.75	0.00
14SEP17	B	2	PD14DEC17	6564.50	6790.58	USD	11,304.00	
14SEP17	B	2	PD14DEC17	6564.00	6790.58	USD	11,329.00	
14SEP17	B	2	PD14DEC17	6565.50	6790.58	USD	11,254.00	
14SEP17	B	2	PD14DEC17	6563.00	6790.58	USD	11,379.00	
14SEP17	B	2	PD14DEC17	6563.50	6790.58	USD	11,354.00	
14SEP17	B	2	PD14DEC17	6533.00	6790.58	USD	12,879.00	
14SEP17	B	1	PD14DEC17	6523.00	6790.58	USD	6,689.50	
14SEP17	B	2	PD14DEC17	6522.50	6790.58	USD	13,404.00	
14SEP17	B	2	PD14DEC17	6567.00	6790.58	USD	11,179.00	
14SEP17	S	1	PD14DEC17	6519.50	6790.58	USD	-6,777.00	
14SEP17	B	1	PD14DEC17	6506.50	6790.58	USD	7,102.00	
14SEP17	B	1	PD14DEC17	6498.00	6790.58	USD	7,314.50	
14SEP17	B	2	PD14DEC17	6499.50	6790.58	USD	14,554.00	
14SEP17	B	2	PD14DEC17	6502.50	6790.58	USD	14,404.00	
14SEP17	B	2	PD14DEC17	6503.00	6790.58	USD	14,379.00	
14SEP17	B	4	PD14DEC17	6501.00	6790.58	USD	28,958.00	
14SEP17	B	4	PD14DEC17	6500.50	6790.58	USD	29,008.00	
14SEP17	B	1	PD14DEC17	6498.50	6790.58	USD	7,302.00	
14SEP17	B	2	PD14DEC17	6500.00	6790.58	USD	14,529.00	
14SEP17	S	1	PD14DEC17	6501.00	6790.58	USD	-7,239.50	
21SEP17	S	29	PD14DEC17	6480.33	6790.58	USD	-224,931.25	
25SEP17	S	5	PD14DEC17	6446.46	6790.58	USD	-43,015.00	
	NET	0 AVG BGHT 6525.78	SOLD 6477.29				-43,640.75	0.00

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15SEP17	S	2	PD15DEC17	6525.50	6791.06	USD	-13,278.00	
15SEP17	B	2	PD15DEC17	6490.00	6791.06	USD	15,053.00	
15SEP17	B	2	PD15DEC17	6489.50	6791.06	USD	15,078.00	
15SEP17	S	1	PD15DEC17	6494.00	6791.06	USD	-7,426.50	
15SEP17	B	1	PD15DEC17	6487.50	6791.06	USD	7,589.00	
15SEP17	B	3	PD15DEC17	6488.00	6791.06	USD	22,729.50	
15SEP17	B	3	PD15DEC17	6489.00	6791.06	USD	22,654.50	
15SEP17	B	2	PD15DEC17	6490.50	6791.06	USD	15,028.00	
15SEP17	B	1	PD15DEC17	6476.00	6791.06	USD	7,876.50	
15SEP17	B	2	PD15DEC17	6478.50	6791.06	USD	15,628.00	
15SEP17	S	1	PD15DEC17	6467.00	6791.06	USD	-8,101.50	
15SEP17	S	1	PD15DEC17	6494.00	6791.06	USD	-7,426.50	
15SEP17	B	2	PD15DEC17	6488.00	6791.06	USD	15,153.00	
15SEP17	B	1	PD15DEC17	6489.00	6791.06	USD	7,551.50	
15SEP17	B	4	PD15DEC17	6493.50	6791.06	USD	29,756.00	
15SEP17	B	4	PD15DEC17	6501.50	6791.06	USD	28,956.00	
15SEP17	B	2	PD15DEC17	6501.00	6791.06	USD	14,503.00	
15SEP17	B	1	PD15DEC17	6512.50	6791.06	USD	6,964.00	
15SEP17	B	1	PD15DEC17	6513.00	6791.06	USD	6,951.50	
15SEP17	B	3	PD15DEC17	6508.00	6791.06	USD	21,229.50	
15SEP17	B	1	PD15DEC17	6506.50	6791.06	USD	7,114.00	
15SEP17	B	2	PD15DEC17	6503.00	6791.06	USD	14,403.00	
15SEP17	B	1	PD15DEC17	6512.00	6791.06	USD	6,976.50	
25SEP17	S	21	PD15DEC17	6446.92	6791.06	USD	-180,673.50	
28SEP17	S	12	PD15DEC17	6517.67	6791.06	USD	-82,017.00	
	NET	0AVG BGHT 6495.07	SOLD 6476.40				-17,728.50	0.00
18SEP17	S	1	PD18DEC17	6555.00	6792.50	USD	-5,937.50	
18SEP17	B	1	PD18DEC17	6564.00	6792.50	USD	5,712.50	
18SEP17	B	1	PD18DEC17	6563.50	6792.50	USD	5,725.00	
18SEP17	B	2	PD18DEC17	6565.50	6792.50	USD	11,350.00	
18SEP17	S	4	PD18DEC17	6562.50	6792.50	USD	-23,000.00	
18SEP17	S	3	PD18DEC17	6562.00	6792.50	USD	-17,287.50	
18SEP17	B	1	PD18DEC17	6564.50	6792.50	USD	5,700.00	
18SEP17	B	2	PD18DEC17	6566.50	6792.50	USD	11,300.00	
18SEP17	S	1	PD18DEC17	6547.00	6792.50	USD	-6,137.50	
18SEP17	S	1	PD18DEC17	6551.50	6792.50	USD	-6,025.00	
18SEP17	S	3	PD18DEC17	6535.00	6792.50	USD	-19,312.50	
18SEP17	S	9	PD18DEC17	6535.50	6792.50	USD	-57,825.00	
18SEP17	S	4	PD18DEC17	6534.00	6792.50	USD	-25,850.00	
18SEP17	S	4	PD18DEC17	6526.00	6792.50	USD	-26,650.00	
18SEP17	S	4	PD18DEC17	6525.50	6792.50	USD	-26,700.00	
18SEP17	B	1	PD18DEC17	6540.00	6792.50	USD	6,312.50	
18SEP17	S	1	PD18DEC17	6538.50	6792.50	USD	-6,350.00	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	B	1	PD18DEC17	6525.00	6792.50	USD	6,687.50	
18SEP17	S	1	PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S	1	PD18DEC17	6536.50	6792.50	USD	-6,400.00	
18SEP17	S	1	PD18DEC17	6536.50	6792.50	USD	-6,400.00	
18SEP17	S	1	PD18DEC17	6535.00	6792.50	USD	-6,437.50	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
19SEP17	B	32	PD18DEC17	6538.80	6792.50	USD	202,960.00	
	NET	0AVG BGHT 6542.99	SOLD 6539.33				-3,752.50	0.00
19SEP17	S	2	PD19DEC17	6554.00	6792.50	USD	-11,925.00	
19SEP17	S	2	PD19DEC17	6547.50	6792.50	USD	-12,250.00	
19SEP17	S	4	PD19DEC17	6544.50	6792.50	USD	-24,800.00	
19SEP17	S	2	PD19DEC17	6542.00	6792.50	USD	-12,525.00	

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19SEP17	S	3	PD19DEC17	6532.00	6792.50	USD	-19,537.50	
19SEP17	S	1	PD19DEC17	6535.00	6792.50	USD	-6,437.50	
19SEP17	S	1	PD19DEC17	6535.50	6792.50	USD	-6,425.00	
19SEP17	S	1	PD19DEC17	6536.50	6792.50	USD	-6,400.00	
19SEP17	S	3	PD19DEC17	6537.00	6792.50	USD	-19,162.50	
19SEP17	S	1	PD19DEC17	6531.50	6792.50	USD	-6,525.00	
21SEP17	B	20	PD19DEC17	6482.20	6792.50	USD	155,150.00	
	NET	0 AVG BGHT 6482.20	SOLD 6540.53				29,162.50	0.00
20SEP17	S	5	PD20DEC17	6548.00	6792.50	USD	-30,562.50	
20SEP17	S	5	PD20DEC17	6549.50	6792.50	USD	-30,375.00	
21SEP17	B	10	PD20DEC17	6482.20	6792.50	USD	77,575.00	
	NET	0 AVG BGHT 6482.20	SOLD 6548.75				16,637.50	0.00
21SEP17	B	1	PD21DEC17	6442.00	6791.50	USD	8,737.50	
21SEP17	B	1	PD21DEC17	6472.00	6791.50	USD	7,987.50	
21SEP17	S	1	PD21DEC17	6427.00	6791.50	USD	-9,112.50	
21SEP17	S	1	PD21DEC17	6426.50	6791.50	USD	-9,125.00	
21SEP17	S	1	PD21DEC17	6427.50	6791.50	USD	-9,100.00	
21SEP17	S	1	PD21DEC17	6428.50	6791.50	USD	-9,075.00	
21SEP17	S	1	PD21DEC17	6428.00	6791.50	USD	-9,087.50	
25SEP17	B	3	PD21DEC17	6446.95	6791.50	USD	25,841.25	
	NET	0 AVG BGHT 6450.97	SOLD 6427.50				-2,933.75	0.00
22SEP17	S	3	PD22DEC17	6384.00	6790.50	USD	-30,487.50	
22SEP17	S	3	PD22DEC17	6385.00	6790.50	USD	-30,412.50	
22SEP17	S	5	PD22DEC17	6383.00	6790.50	USD	-50,937.50	
22SEP17	S	1	PD22DEC17	6379.00	6790.50	USD	-10,287.50	
22SEP17	S	1	PD22DEC17	6379.50	6790.50	USD	-10,275.00	
25SEP17	B	13	PD22DEC17	6447.49	6790.50	USD	111,478.25	
	NET	0 AVG BGHT 6447.49	SOLD 6383.12				-20,921.75	0.00
25SEP17	S	2	PD27DEC17	6477.00	6793.00	USD	-15,800.00	
25SEP17	S	2	PD27DEC17	6473.00	6793.00	USD	-16,000.00	
25SEP17	S	2	PD27DEC17	6474.50	6793.00	USD	-15,925.00	
25SEP17	S	2	PD27DEC17	6478.50	6793.00	USD	-15,725.00	
25SEP17	S	1	PD27DEC17	6473.50	6793.00	USD	-7,987.50	
25SEP17	S	3	PD27DEC17	6472.00	6793.00	USD	-24,075.00	
25SEP17	S	5	PD27DEC17	6457.50	6793.00	USD	-41,937.50	
25SEP17	S	1	PD27DEC17	6446.50	6793.00	USD	-8,662.50	
25SEP17	B	10	PD27DEC17	6450.20	6793.00	USD	85,700.00	
26SEP17	S	3	PD27DEC17	6473.00	6793.00	USD	-24,000.00	
26SEP17	S	4	PD27DEC17	6514.50	6793.00	USD	-27,850.00	
26SEP17	B	1	PD27DEC17	6442.50	6793.00	USD	8,762.50	
26SEP17	B	1	PD27DEC17	6438.50	6793.00	USD	8,862.50	
26SEP17	B	1	PD27DEC17	6417.50	6793.00	USD	9,387.50	
26SEP17	B	2	PD27DEC17	6418.50	6793.00	USD	18,725.00	
26SEP17	B	4	PD27DEC17	6416.50	6793.00	USD	37,650.00	
27SEP17	B	2	PD27DEC17	6473.00	6793.00	USD	16,000.00	
27SEP17	S	1	PD27DEC17	6457.50	6793.00	USD	-8,387.50	
27SEP17	S	1	PD27DEC17	6454.00	6793.00	USD	-8,475.00	
27SEP17	S	4	PD27DEC17	6453.50	6793.00	USD	-33,950.00	
27SEP17	S	5	PD27DEC17	6448.50	6793.00	USD	-43,062.50	
27SEP17	S	5	PD27DEC17	6437.00	6793.00	USD	-44,500.00	
27SEP17	S	1	PD27DEC17	6449.00	6793.00	USD	-8,600.00	
27SEP17	S	1	PD27DEC17	6436.50	6793.00	USD	-8,912.50	
27SEP17	S	1	PD27DEC17	6432.50	6793.00	USD	-9,012.50	
28SEP17	B	12	PD27DEC17	6521.53	6793.00	USD	81,441.00	
29SEP17	B	10	PD27DEC17	6479.93	6793.00	USD	78,267.50	
10OCT17	B	1	PD27DEC17	6752.50	6793.00	USD	1,012.50	

OPEN POSITION STATEMENT



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SAP094AB

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6478.63	SOLD 6463.13				-17,054.00	0.00
28SEP17	B	2 PD28DEC17		6467.50	6793.47	USD	16,298.50	
28SEP17	B	2 PD28DEC17		6464.00	6793.47	USD	16,473.50	
28SEP17	B	1 PD28DEC17		6462.50	6793.47	USD	8,274.25	
28SEP17	B	1 PD28DEC17		6471.00	6793.47	USD	8,061.75	
28SEP17	B	1 PD28DEC17		6489.00	6793.47	USD	7,611.75	
28SEP17	B	5 PD28DEC17		6514.00	6793.47	USD	34,933.75	
28SEP17	B	1 PD28DEC17		6514.50	6793.47	USD	6,974.25	
28SEP17	S	1 PD28DEC17		6517.50	6793.47	USD	-6,899.25	
28SEP17	S	1 PD28DEC17		6512.00	6793.47	USD	-7,036.75	
28SEP17	S	1 PD28DEC17		6525.50	6793.47	USD	-6,699.25	
29SEP17	S	10 PD28DEC17		6480.26	6793.47	USD	-78,302.50	
	NET	0 AVG BGHT 6490.00	SOLD 6489.05				-310.00	0.00
29SEP17	S	2 PD29DEC17		6537.50	6793.93	USD	-12,821.50	
29SEP17	S	3 PD29DEC17		6535.50	6793.93	USD	-19,382.25	
29SEP17	B	1 PD29DEC17		6546.50	6793.93	USD	6,185.75	
29SEP17	S	1 PD29DEC17		6525.50	6793.93	USD	-6,710.75	
29SEP17	B	4 PD29DEC17		6570.50	6793.93	USD	22,343.00	
29SEP17	B	1 PD29DEC17		6570.00	6793.93	USD	5,598.25	
29SEP17	B	5 PD29DEC17		6572.00	6793.93	USD	27,741.25	
29SEP17	B	1 PD29DEC17		6567.50	6793.93	USD	5,660.75	
29SEP17	B	1 PD29DEC17		6570.00	6793.93	USD	5,598.25	
10OCT17	S	1 PD29DEC17		6753.37	6793.93	USD	-1,014.00	
11OCT17	S	6 PD29DEC17		6793.93	6793.93	USD	0.00	
	NET	0 AVG BGHT 6568.92	SOLD 6671.07				33,198.75	0.00
09OCT17	S	2 PD09JAN18		6680.00	6799.07	USD	-5,953.50	
09OCT17	S	2 PD09JAN18		6682.50	6799.07	USD	-5,828.50	
09OCT17	S	10 PD09JAN18		6637.00	6799.07	USD	-40,517.50	
09OCT17	S	2 PD09JAN18		6668.00	6799.07	USD	-6,553.50	
09OCT17	S	2 PD09JAN18		6662.00	6799.07	USD	-6,853.50	
09OCT17	B	2 PD09JAN18		6663.00	6799.07	USD	6,803.50	
09OCT17	S	2 PD09JAN18		6681.00	6799.07	USD	-5,903.50	
09OCT17	B	2 PD09JAN18		6665.50	6799.07	USD	6,678.50	
09OCT17	B	2 PD09JAN18		6680.50	6799.07	USD	5,928.50	
09OCT17	B	2 PD09JAN18		6678.00	6799.07	USD	6,053.50	
09OCT17	B	2 PD09JAN18		6682.00	6799.07	USD	5,853.50	
09OCT17	S	5 PD09JAN18		6641.50	6799.07	USD	-19,696.25	
09OCT17	B	2 PD09JAN18		6676.50	6799.07	USD	6,128.50	
09OCT17	S	5 PD09JAN18		6655.00	6799.07	USD	-18,008.75	
09OCT17	S	2 PD09JAN18		6679.50	6799.07	USD	-5,978.50	
09OCT17	S	4 PD09JAN18		6678.00	6799.07	USD	-12,107.00	
09OCT17	S	2 PD09JAN18		6677.00	6799.07	USD	-6,103.50	
09OCT17	B	4 PD09JAN18		6678.50	6799.07	USD	12,057.00	
09OCT17	S	2 PD09JAN18		6675.00	6799.07	USD	-6,203.50	
09OCT17	B	2 PD09JAN18		6668.00	6799.07	USD	6,553.50	
11OCT17	B	6 PD09JAN18		6799.27	6799.07	USD	-30.00	
11OCT17	B	16 PD09JAN18		6799.07	6799.07	USD	0.00	
	NET	0 AVG BGHT 6743.04	SOLD 6659.36				-83,681.00	0.00
10OCT17	B	2 PD10JAN18		6723.00	6799.53	USD	3,826.50	
10OCT17	B	2 PD10JAN18		6725.50	6799.53	USD	3,701.50	
10OCT17	B	2 PD10JAN18		6727.50	6799.53	USD	3,601.50	
10OCT17	S	1 PD10JAN18		6723.50	6799.53	USD	-1,900.75	
10OCT17	S	2 PD10JAN18		6725.00	6799.53	USD	-3,726.50	
10OCT17	S	1 PD10JAN18		6717.00	6799.53	USD	-2,063.25	
10OCT17	S	1 PD10JAN18		6716.00	6799.53	USD	-2,088.25	
10OCT17	S	1 PD10JAN18		6713.50	6799.53	USD	-2,150.75	

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10OCT17	S	1	PD10JAN18	6687.00	6799.53	USD	-2,813.25	
10OCT17	B	1	PD10JAN18	6694.00	6799.53	USD	2,638.25	
10OCT17	B	1	PD10JAN18	6692.00	6799.53	USD	2,688.25	
10OCT17	S	1	PD10JAN18	6693.50	6799.53	USD	-2,650.75	
10OCT17	B	2	PD10JAN18	6689.50	6799.53	USD	5,501.50	
10OCT17	B	2	PD10JAN18	6691.00	6799.53	USD	5,426.50	
10OCT17	B	1	PD10JAN18	6696.00	6799.53	USD	2,588.25	
10OCT17	B	1	PD10JAN18	6693.00	6799.53	USD	2,663.25	
10OCT17	B	1	PD10JAN18	6752.50	6799.53	USD	1,175.75	
10OCT17	B	1	PD10JAN18	6746.50	6799.53	USD	1,325.75	
10OCT17	B	1	PD10JAN18	6755.50	6799.53	USD	1,100.75	
10OCT17	B	1	PD10JAN18	6756.00	6799.53	USD	1,088.25	
10OCT17	B	2	PD10JAN18	6758.50	6799.53	USD	2,051.50	
10OCT17	B	3	PD10JAN18	6758.00	6799.53	USD	3,114.75	
10OCT17	B	2	PD10JAN18	6760.00	6799.53	USD	1,976.50	
10OCT17	B	1	PD10JAN18	6759.00	6799.53	USD	1,013.25	
10OCT17	B	1	PD10JAN18	6753.50	6799.53	USD	1,150.75	
11OCT17	S	16	PD10JAN18	6799.33	6799.53	USD	-80.00	
	NET	+32 AVG BGHT 6730.44	SOLD 6770.41				29,159.25	0.00
11OCT17	B	4	PD11JAN18	6738.00	6800.00	USD	6,200.00	
11OCT17	S	1	PD11JAN18	6757.00	6800.00	USD	-1,075.00	
11OCT17	S	1	PD11JAN18	6760.50	6800.00	USD	-987.50	
11OCT17	B	1	PD11JAN18	6760.00	6800.00	USD	1,000.00	
11OCT17	B	3	PD11JAN18	6767.00	6800.00	USD	2,475.00	
11OCT17	B	1	PD11JAN18	6768.00	6800.00	USD	800.00	
11OCT17	B	2	PD11JAN18	6767.50	6800.00	USD	1,625.00	
11OCT17	B	1	PD11JAN18	6773.50	6800.00	USD	662.50	
11OCT17	B	1	PD11JAN18	6793.00	6800.00	USD	175.00	
11OCT17	S	1	PD11JAN18	6779.00	6800.00	USD	-525.00	
11OCT17	S	1	PD11JAN18	6773.50	6800.00	USD	-662.50	
11OCT17	S	3	PD11JAN18	6774.00	6800.00	USD	-1,950.00	
11OCT17	B	1	PD11JAN18	6782.00	6800.00	USD	450.00	
11OCT17	B	3	PD11JAN18	6792.00	6800.00	USD	600.00	
11OCT17	B	3	PD11JAN18	6795.00	6800.00	USD	375.00	
11OCT17	B	2	PD11JAN18	6796.50	6800.00	USD	175.00	
11OCT17	B	1	PD11JAN18	6807.00	6800.00	USD	-175.00	
11OCT17	B	4	PD11JAN18	6810.00	6800.00	USD	-1,000.00	
11OCT17	B	1	PD11JAN18	6812.00	6800.00	USD	-300.00	
11OCT17	B	1	PD11JAN18	6801.50	6800.00	USD	-37.50	
11OCT17	B	2	PD11JAN18	6808.50	6800.00	USD	-425.00	
11OCT17	B	3	PD11JAN18	6809.00	6800.00	USD	-675.00	
11OCT17	B	1	PD11JAN18	6807.50	6800.00	USD	-187.50	
11OCT17	B	1	PD11JAN18	6813.00	6800.00	USD	-325.00	
11OCT17	B	3	PD11JAN18	6809.50	6800.00	USD	-712.50	
	NET	+32 AVG BGHT 6789.03	SOLD 6770.29				5,500.00	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	3	DEC17	1285.0	1288.9	USD	1,170.00	
10OCT17	B	1	DEC17	1289.0	1288.9	USD	-10.00	
10OCT17	B	1	DEC17	1290.1	1288.9	USD	-120.00	
	NET	+5 AVG BGHT 1286.8					1,040.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	2314.50	2555.89	USD	6,034.75	
26JUL17	B	1	PD26OCT17	2314.00	2555.89	USD	6,047.25	
26JUL17	B	1	PD26OCT17	2313.00	2555.89	USD	6,072.25	
26JUL17	B	1	PD26OCT17	2313.50	2555.89	USD	6,059.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
26JUL17	B	1	PD26OCT17		2312.00	2555.89	USD	6,097.25	
22AUG17	S	5	PD26OCT17		2409.75	2555.89	USD	-18,267.50	
	NET	0AVG	BGHT 2313.40	SOLD 2409.75				12,043.75	0.00
27JUL17	B	1	PD27OCT17		2329.00	2556.04	USD	5,676.00	
27JUL17	B	4	PD27OCT17		2328.50	2556.04	USD	22,754.00	
27JUL17	B	1	PD27OCT17		2321.00	2556.04	USD	5,876.00	
27JUL17	B	8	PD27OCT17		2323.50	2556.04	USD	46,508.00	
27JUL17	B	1	PD27OCT17		2319.00	2556.04	USD	5,926.00	
27JUL17	B	8	PD27OCT17		2320.50	2556.04	USD	47,108.00	
27JUL17	B	3	PD27OCT17		2322.00	2556.04	USD	17,553.00	
27JUL17	B	4	PD27OCT17		2320.00	2556.04	USD	23,604.00	
27JUL17	B	5	PD27OCT17		2322.50	2556.04	USD	29,192.50	
27JUL17	B	1	PD27OCT17		2323.00	2556.04	USD	5,826.00	
27JUL17	B	6	PD27OCT17		2324.00	2556.04	USD	34,806.00	
27JUL17	B	3	PD27OCT17		2324.50	2556.04	USD	17,365.50	
22AUG17	S	30	PD27OCT17		2410.00	2556.04	USD	-109,530.00	
11SEP17	S	15	PD27OCT17		2260.78	2556.04	USD	-110,722.50	
	NET	0AVG	BGHT 2322.98	SOLD 2360.26				41,942.50	0.00
31JUL17	B	1	PD31OCT17		2327.50	2556.61	USD	5,727.75	
31JUL17	B	2	PD31OCT17		2328.00	2556.61	USD	11,430.50	
31JUL17	S	2	PD31OCT17		2327.50	2556.61	USD	-11,455.50	
31JUL17	S	1	PD31OCT17		2327.00	2556.61	USD	-5,740.25	
	NET	0AVG	BGHT 2327.83	SOLD 2327.33				-37.50	0.00
07AUG17	S	2	PD07NOV17		2362.00	2557.61	USD	-9,780.50	
07AUG17	S	7	PD07NOV17		2359.50	2557.61	USD	-34,669.25	
07AUG17	S	10	PD07NOV17		2360.50	2557.61	USD	-49,277.50	
07AUG17	S	5	PD07NOV17		2360.00	2557.61	USD	-24,701.25	
07AUG17	S	1	PD07NOV17		2358.00	2557.61	USD	-4,990.25	
22AUG17	B	25	PD07NOV17		2412.95	2557.61	USD	90,412.50	
	NET	0AVG	BGHT 2412.95	SOLD 2360.14				-33,006.25	0.00
10AUG17	B	1	PD10NOV17		2377.00	2558.04	USD	4,526.00	
10AUG17	B	3	PD10NOV17		2377.50	2558.04	USD	13,540.50	
10AUG17	B	4	PD10NOV17		2376.50	2558.04	USD	18,154.00	
10AUG17	B	2	PD10NOV17		2372.50	2558.04	USD	9,277.00	
10AUG17	S	4	PD10NOV17		2356.50	2558.04	USD	-20,154.00	
10AUG17	S	4	PD10NOV17		2354.00	2558.04	USD	-20,404.00	
10AUG17	S	1	PD10NOV17		2354.50	2558.04	USD	-5,088.50	
10AUG17	S	1	PD10NOV17		2354.50	2558.04	USD	-5,088.50	
11AUG17	B	2	PD10NOV17		2348.00	2558.04	USD	10,502.00	
11AUG17	B	1	PD10NOV17		2345.50	2558.04	USD	5,313.50	
11AUG17	B	1	PD10NOV17		2346.00	2558.04	USD	5,301.00	
11AUG17	B	1	PD10NOV17		2344.00	2558.04	USD	5,351.00	
11AUG17	B	5	PD10NOV17		2345.00	2558.04	USD	26,630.00	
11SEP17	S	10	PD10NOV17		2266.84	2558.04	USD	-72,800.00	
	NET	0AVG	BGHT 2360.85	SOLD 2310.97				-24,940.00	0.00
14AUG17	S	2	PD14NOV17		2338.00	2558.61	USD	-11,030.50	
14AUG17	S	2	PD14NOV17		2339.00	2558.61	USD	-10,980.50	
14AUG17	B	1	PD14NOV17		2341.50	2558.61	USD	5,427.75	
14AUG17	B	1	PD14NOV17		2343.00	2558.61	USD	5,390.25	
14AUG17	S	4	PD14NOV17		2333.50	2558.61	USD	-22,511.00	
22AUG17	B	6	PD14NOV17		2414.70	2558.61	USD	21,586.50	
	NET	0AVG	BGHT 2396.59	SOLD 2336.00				-12,117.50	0.00
15AUG17	S	2	PD15NOV17		2366.00	2558.75	USD	-9,637.50	
15AUG17	S	2	PD15NOV17		2365.00	2558.75	USD	-9,687.50	
22AUG17	B	4	PD15NOV17		2414.95	2558.75	USD	14,380.00	
	NET	0AVG	BGHT 2414.95	SOLD 2365.50					0.00

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							-4,945.00	
21AUG17	B	1	PD21NOV17	2365.00	2559.32	USD	4,858.00	
21AUG17	B	1	PD21NOV17	2364.00	2559.32	USD	4,883.00	
21AUG17	B	1	PD21NOV17	2364.50	2559.32	USD	4,870.50	
21AUG17	B	6	PD21NOV17	2369.00	2559.32	USD	28,548.00	
21AUG17	B	6	PD21NOV17	2367.00	2559.32	USD	28,848.00	
21AUG17	B	4	PD21NOV17	2366.00	2559.32	USD	19,332.00	
21AUG17	B	7	PD21NOV17	2368.50	2559.32	USD	33,393.50	
21AUG17	B	9	PD21NOV17	2368.00	2559.32	USD	43,047.00	
21AUG17	B	3	PD21NOV17	2365.50	2559.32	USD	14,536.50	
21AUG17	B	1	PD21NOV17	2368.50	2559.32	USD	4,770.50	
21AUG17	B	1	PD21NOV17	2367.50	2559.32	USD	4,795.50	
11SEP17	S	30	PD21NOV17	2270.60	2559.32	USD	-216,540.00	
14SEP17	S	10	PD21NOV17	2300.46	2559.32	USD	-64,715.00	
	NET	0 AVG	BGHT 2367.44	SOLD 2278.07			-89,372.50	0.00
23AUG17	B	3	PD24NOV17	2389.50	2559.75	USD	12,768.75	
23AUG17	B	3	PD24NOV17	2393.00	2559.75	USD	12,506.25	
23AUG17	B	3	PD24NOV17	2392.50	2559.75	USD	12,543.75	
23AUG17	B	3	PD24NOV17	2392.00	2559.75	USD	12,581.25	
23AUG17	B	1	PD24NOV17	2389.00	2559.75	USD	4,268.75	
23AUG17	B	3	PD24NOV17	2390.50	2559.75	USD	12,693.75	
23AUG17	B	5	PD24NOV17	2390.00	2559.75	USD	21,218.75	
23AUG17	B	5	PD24NOV17	2395.50	2559.75	USD	20,531.25	
23AUG17	B	2	PD24NOV17	2396.50	2559.75	USD	8,162.50	
23AUG17	B	2	PD24NOV17	2395.00	2559.75	USD	8,237.50	
14SEP17	S	30	PD24NOV17	2301.31	2559.75	USD	-193,830.00	
	NET	0 AVG	BGHT 2392.40	SOLD 2301.31			-68,317.50	0.00
01SEP17	B	2	PD01DEC17	2392.50	2560.39	USD	8,394.50	
01SEP17	B	2	PD01DEC17	2399.50	2560.39	USD	8,044.50	
01SEP17	B	1	PD01DEC17	2398.50	2560.39	USD	4,047.25	
01SEP17	S	1	PD01DEC17	2402.50	2560.39	USD	-3,947.25	
01SEP17	B	1	PD01DEC17	2403.50	2560.39	USD	3,922.25	
19SEP17	S	5	PD01DEC17	2416.75	2560.39	USD	-17,955.00	
	NET	0 AVG	BGHT 2397.67	SOLD 2414.38			2,506.25	0.00
04SEP17	B	3	PD04DEC17	2393.50	2560.66	USD	12,537.00	
04SEP17	B	4	PD04DEC17	2392.50	2560.66	USD	16,816.00	
04SEP17	B	3	PD04DEC17	2397.00	2560.66	USD	12,274.50	
04SEP17	B	3	PD04DEC17	2400.00	2560.66	USD	12,049.50	
04SEP17	B	1	PD04DEC17	2393.50	2560.66	USD	4,179.00	
04SEP17	B	1	PD04DEC17	2396.50	2560.66	USD	4,104.00	
19SEP17	S	15	PD04DEC17	2417.50	2560.66	USD	-53,685.00	
	NET	0 AVG	BGHT 2395.43	SOLD 2417.50			8,275.00	0.00
07SEP17	S	2	PD07DEC17	2336.00	2561.25	USD	-11,262.50	
07SEP17	S	1	PD07DEC17	2336.50	2561.25	USD	-5,618.75	
07SEP17	S	1	PD07DEC17	2335.50	2561.25	USD	-5,643.75	
07SEP17	S	1	PD07DEC17	2335.00	2561.25	USD	-5,656.25	
07SEP17	S	20	PD07DEC17	2348.00	2561.25	USD	-106,625.00	
07SEP17	S	5	PD07DEC17	2348.50	2561.25	USD	-26,593.75	
07SEP17	S	1	PD07DEC17	2339.50	2561.25	USD	-5,543.75	
07SEP17	S	4	PD07DEC17	2339.00	2561.25	USD	-22,225.00	
07SEP17	S	9	PD07DEC17	2340.50	2561.25	USD	-49,668.75	
07SEP17	S	10	PD07DEC17	2341.00	2561.25	USD	-55,062.50	
07SEP17	S	1	PD07DEC17	2340.00	2561.25	USD	-5,531.25	
11SEP17	B	55	PD07DEC17	2275.92	2561.25	USD	392,328.75	
	NET	0 AVG	BGHT 2275.92	SOLD 2343.48			92,897.50	0.00
08SEP17	S	1	PD08DEC17	2327.50	2561.50	USD	-5,850.00	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	2327.00	2561.50	USD	-11,725.00	
08SEP17	S	3	PD08DEC17	2326.50	2561.50	USD	-17,625.00	
08SEP17	S	1	PD08DEC17	2325.50	2561.50	USD	-5,900.00	
08SEP17	S	1	PD08DEC17	2326.00	2561.50	USD	-5,887.50	
08SEP17	S	3	PD08DEC17	2325.00	2561.50	USD	-17,737.50	
08SEP17	S	3	PD08DEC17	2323.50	2561.50	USD	-17,850.00	
08SEP17	S	3	PD08DEC17	2321.00	2561.50	USD	-18,037.50	
08SEP17	S	3	PD08DEC17	2322.50	2561.50	USD	-17,925.00	
08SEP17	S	4	PD08DEC17	2321.50	2561.50	USD	-24,000.00	
08SEP17	S	1	PD08DEC17	2320.50	2561.50	USD	-6,025.00	
08SEP17	S	1	PD08DEC17	2318.00	2561.50	USD	-6,087.50	
08SEP17	S	1	PD08DEC17	2317.50	2561.50	USD	-6,100.00	
08SEP17	S	1	PD08DEC17	2316.00	2561.50	USD	-6,137.50	
08SEP17	S	1	PD08DEC17	2315.50	2561.50	USD	-6,150.00	
08SEP17	S	5	PD08DEC17	2313.50	2561.50	USD	-31,000.00	
08SEP17	S	1	PD08DEC17	2302.50	2561.50	USD	-6,475.00	
08SEP17	S	1	PD08DEC17	2326.00	2561.50	USD	-5,887.50	
08SEP17	S	2	PD08DEC17	2321.50	2561.50	USD	-12,000.00	
08SEP17	S	2	PD08DEC17	2320.50	2561.50	USD	-12,050.00	
08SEP17	S	1	PD08DEC17	2318.00	2561.50	USD	-6,087.50	
14SEP17	B	40	PD08DEC17	2305.49	2561.50	USD	256,010.00	
21SEP17	B	1	PD08DEC17	2517.83	2561.50	USD	1,091.75	
	NET	0 AVG BGHT 2310.67	SOLD 2320.98				10,564.25	0.00
12SEP17	S	1	PD12DEC17	2303.00	2562.50	USD	-6,487.50	
12SEP17	S	4	PD12DEC17	2302.00	2562.50	USD	-26,050.00	
12SEP17	S	3	PD12DEC17	2302.50	2562.50	USD	-19,500.00	
12SEP17	S	3	PD12DEC17	2303.50	2562.50	USD	-19,425.00	
12SEP17	S	9	PD12DEC17	2304.00	2562.50	USD	-58,162.50	
19SEP17	B	20	PD12DEC17	2419.70	2562.50	USD	71,400.00	
	NET	0 AVG BGHT 2419.70	SOLD 2303.25				-58,225.00	0.00
13SEP17	S	1	PD13DEC17	2292.50	2562.75	USD	-6,756.25	
13SEP17	S	1	PD13DEC17	2293.00	2562.75	USD	-6,743.75	
13SEP17	S	1	PD13DEC17	2294.00	2562.75	USD	-6,718.75	
13SEP17	S	1	PD13DEC17	2295.00	2562.75	USD	-6,693.75	
21SEP17	B	4	PD13DEC17	2517.90	2562.75	USD	4,485.00	
	NET	0 AVG BGHT 2517.90	SOLD 2293.63				-22,427.50	0.00
15SEP17	B	2	PD15DEC17	2333.00	2563.25	USD	11,512.50	
15SEP17	B	1	PD15DEC17	2333.50	2563.25	USD	5,743.75	
15SEP17	B	4	PD15DEC17	2334.00	2563.25	USD	22,925.00	
15SEP17	B	1	PD15DEC17	2334.50	2563.25	USD	5,718.75	
15SEP17	B	1	PD15DEC17	2335.00	2563.25	USD	5,706.25	
15SEP17	B	1	PD15DEC17	2337.00	2563.25	USD	5,656.25	
15SEP17	S	4	PD15DEC17	2327.00	2563.25	USD	-23,625.00	
15SEP17	S	2	PD15DEC17	2324.00	2563.25	USD	-11,962.50	
15SEP17	S	3	PD15DEC17	2325.00	2563.25	USD	-17,868.75	
15SEP17	S	1	PD15DEC17	2324.50	2563.25	USD	-5,968.75	
15SEP17	S	1	PD15DEC17	2350.00	2563.25	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	2348.00	2563.25	USD	-5,381.25	
15SEP17	S	1	PD15DEC17	2338.00	2563.25	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	2337.00	2563.25	USD	-5,656.25	
15SEP17	S	1	PD15DEC17	2350.50	2563.25	USD	-5,318.75	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
15SEP17	S	2	PD15DEC17	2361.00	2563.25	USD	-10,112.50	
21SEP17	B	8	PD15DEC17	2517.93	2563.25	USD	9,064.00	
	NET	0 AVG BGHT 2415.86	SOLD 2336.08				-35,898.50	0.00
19SEP17	B	2	PD19DEC17	2423.00	2564.25	USD	7,062.50	

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19SEP17	B	3	PD19DEC17	2423.50	2564.25	USD	10,556.25	
19SEP17	B	4	PD19DEC17	2421.00	2564.25	USD	14,325.00	
19SEP17	B	1	PD19DEC17	2421.00	2564.25	USD	3,581.25	
21SEP17	S	13	PD19DEC17	2517.79	2564.25	USD	-15,099.50	
28SEP17	B	3	PD19DEC17	2473.64	2564.25	USD	6,795.75	
	NET	0 AVG BGHT 2434.03	SOLD 2517.79				27,221.25	0.00
20SEP17	B	1	PD20DEC17	2444.50	2564.50	USD	3,000.00	
20SEP17	B	1	PD20DEC17	2451.00	2564.50	USD	2,837.50	
20SEP17	S	1	PD20DEC17	2458.00	2564.50	USD	-2,662.50	
20SEP17	S	1	PD20DEC17	2459.00	2564.50	USD	-2,637.50	
	NET	0 AVG BGHT 2447.75	SOLD 2458.50				537.50	0.00
21SEP17	B	1	PD21DEC17	2448.00	2563.50	USD	2,887.50	
21SEP17	S	1	PD21DEC17	2439.00	2563.50	USD	-3,112.50	
21SEP17	B	1	PD21DEC17	2440.50	2563.50	USD	3,075.00	
21SEP17	S	1	PD21DEC17	2433.50	2563.50	USD	-3,250.00	
21SEP17	B	1	PD21DEC17	2428.50	2563.50	USD	3,375.00	
21SEP17	B	1	PD21DEC17	2430.00	2563.50	USD	3,337.50	
21SEP17	S	1	PD21DEC17	2435.00	2563.50	USD	-3,212.50	
21SEP17	S	1	PD21DEC17	2479.00	2563.50	USD	-2,112.50	
21SEP17	S	1	PD21DEC17	2478.50	2563.50	USD	-2,125.00	
21SEP17	S	1	PD21DEC17	2483.50	2563.50	USD	-2,000.00	
21SEP17	S	4	PD21DEC17	2483.00	2563.50	USD	-8,050.00	
21SEP17	S	1	PD21DEC17	2479.50	2563.50	USD	-2,100.00	
21SEP17	S	2	PD21DEC17	2479.00	2563.50	USD	-4,225.00	
29SEP17	B	1	PD21DEC17	2489.00	2563.50	USD	1,862.50	
10OCT17	B	1	PD21DEC17	2554.75	2563.50	USD	218.75	
	NET	-7 AVG BGHT 2465.13	SOLD 2470.62				-15,431.25	0.00
22SEP17	S	4	PD22DEC17	2472.00	2563.50	USD	-9,150.00	
28SEP17	B	4	PD22DEC17	2471.95	2563.50	USD	9,155.00	
	NET	0 AVG BGHT 2471.95	SOLD 2472.00				5.00	0.00
25SEP17	S	2	PD27DEC17	2489.00	2563.50	USD	-3,725.00	
25SEP17	S	2	PD27DEC17	2489.50	2563.50	USD	-3,700.00	
25SEP17	S	1	PD27DEC17	2504.00	2563.50	USD	-1,487.50	
25SEP17	B	1	PD27DEC17	2480.00	2563.50	USD	2,087.50	
26SEP17	B	2	PD27DEC17	2489.50	2563.50	USD	3,700.00	
26SEP17	S	1	PD27DEC17	2477.00	2563.50	USD	-2,162.50	
26SEP17	S	1	PD27DEC17	2484.50	2563.50	USD	-1,975.00	
26SEP17	B	1	PD27DEC17	2485.00	2563.50	USD	1,962.50	
27SEP17	B	1	PD27DEC17	2469.50	2563.50	USD	2,350.00	
27SEP17	S	1	PD27DEC17	2457.50	2563.50	USD	-2,650.00	
28SEP17	B	3	PD27DEC17	2471.08	2563.50	USD	6,931.50	
	NET	0 AVG BGHT 2478.34	SOLD 2485.00				1,331.50	0.00
28SEP17	B	1	PD28DEC17	2466.00	2563.60	USD	2,440.00	
28SEP17	S	1	PD28DEC17	2457.50	2563.60	USD	-2,652.50	
28SEP17	B	1	PD28DEC17	2460.50	2563.60	USD	2,577.50	
28SEP17	B	1	PD28DEC17	2488.50	2563.60	USD	1,877.50	
28SEP17	S	1	PD28DEC17	2482.00	2563.60	USD	-2,040.00	
28SEP17	S	1	PD28DEC17	2460.00	2563.60	USD	-2,590.00	
28SEP17	B	1	PD28DEC17	2463.50	2563.60	USD	2,502.50	
29SEP17	S	1	PD28DEC17	2485.80	2563.60	USD	-1,945.00	
	NET	0 AVG BGHT 2469.63	SOLD 2471.33				170.00	0.00
29SEP17	B	1	PD29DEC17	2505.50	2563.70	USD	1,455.00	
10OCT17	S	1	PD29DEC17	2554.23	2563.70	USD	-236.75	
	NET	0 AVG BGHT 2505.50	SOLD 2554.23				1,218.25	0.00
09OCT17	S	1	PD09JAN18	2531.00	2564.80	USD	-845.00	

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09OCT17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 1 PD09JAN18 NET -2AVG SOLD 2529.25 <u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>	2527.50	2564.80	USD	-932.50 -1,777.50	0.00
21JUL17	B 1 PD20OCT17	9535.00	11066.93	USD	9,191.58	
21JUL17	S 1 PD20OCT17	9530.00	11066.93	USD	-9,221.58	
21JUL17	S 1 PD20OCT17	9555.00	11066.93	USD	-9,071.58	
22AUG17	B 1 PD20OCT17	11396.50	11066.93	USD	-1,977.42	
	NET 0AVG BGHT 10465.75 SOLD 9542.50				-11,079.00	0.00
26JUL17	S 1 PD26OCT17	9955.00	11072.71	USD	-6,706.26	
26JUL17	S 1 PD26OCT17	9935.00	11072.71	USD	-6,826.26	
26JUL17	S 1 PD26OCT17	9940.00	11072.71	USD	-6,796.26	
22AUG17	B 3 PD26OCT17	11400.65	11072.71	USD	-5,902.92	
	NET 0AVG BGHT 11400.65 SOLD 9943.33				-26,231.70	0.00
27JUL17	S 1 PD27OCT17	10130.00	11073.68	USD	-5,662.08	
22AUG17	B 1 PD27OCT17	11401.35	11073.68	USD	-1,966.02	
	NET 0AVG BGHT 11401.35 SOLD 10130.00				-7,628.10	0.00
04AUG17	S 1 PD03NOV17	10360.00	11080.43	USD	-4,322.58	
04AUG17	B 1 PD03NOV17	10325.00	11080.43	USD	4,532.58	
04AUG17	S 2 PD03NOV17	10325.00	11080.43	USD	-9,065.16	
04AUG17	B 2 PD03NOV17	10330.00	11080.43	USD	9,005.16	
04AUG17	S 2 PD03NOV17	10300.00	11080.43	USD	-9,365.16	
04AUG17	B 1 PD03NOV17	10280.00	11080.43	USD	4,802.58	
04AUG17	S 1 PD03NOV17	10260.00	11080.43	USD	-4,922.58	
04AUG17	B 1 PD03NOV17	10200.00	11080.43	USD	5,282.58	
04AUG17	B 1 PD03NOV17	10290.00	11080.43	USD	4,742.58	
	NET 0AVG BGHT 10292.50 SOLD 10311.67				690.00	0.00
07AUG17	S 2 PD07NOV17	10260.00	11084.29	USD	-9,891.48	
07AUG17	S 2 PD07NOV17	10280.00	11084.29	USD	-9,651.48	
07AUG17	B 4 PD07NOV17	10300.00	11084.29	USD	18,822.96	
07AUG17	B 2 PD07NOV17	10365.00	11084.29	USD	8,631.48	
07AUG17	B 2 PD07NOV17	10345.00	11084.29	USD	8,871.48	
22AUG17	S 4 PD07NOV17	11407.96	11084.29	USD	7,768.08	
	NET 0AVG BGHT 10327.50 SOLD 10838.98				24,551.04	0.00
08AUG17	B 1 PD08NOV17	10320.00	11085.25	USD	4,591.50	
08AUG17	S 2 PD08NOV17	10330.00	11085.25	USD	-9,063.00	
08AUG17	S 1 PD08NOV17	10305.00	11085.25	USD	-4,681.50	
08AUG17	B 1 PD08NOV17	10340.00	11085.25	USD	4,471.50	
08AUG17	B 1 PD08NOV17	10410.00	11085.25	USD	4,051.50	
08AUG17	B 1 PD08NOV17	10490.00	11085.25	USD	3,571.50	
08AUG17	S 1 PD08NOV17	10560.00	11085.25	USD	-3,151.50	
08AUG17	S 1 PD08NOV17	10610.00	11085.25	USD	-2,851.50	
08AUG17	B 1 PD08NOV17	10580.00	11085.25	USD	3,031.50	
08AUG17	B 1 PD08NOV17	10655.00	11085.25	USD	2,581.50	
22AUG17	S 1 PD08NOV17	11408.65	11085.25	USD	1,940.40	
	NET 0AVG BGHT 10465.83 SOLD 10590.61				4,491.90	0.00
09AUG17	S 1 PD09NOV17	10750.00	11086.21	USD	-2,017.26	
09AUG17	S 1 PD09NOV17	10735.00	11086.21	USD	-2,107.26	
09AUG17	B 1 PD09NOV17	10790.00	11086.21	USD	1,777.26	
09AUG17	B 1 PD09NOV17	10750.00	11086.21	USD	2,017.26	
09AUG17	S 1 PD09NOV17	10800.00	11086.21	USD	-1,717.26	
09AUG17	S 1 PD09NOV17	10805.00	11086.21	USD	-1,687.26	
09AUG17	S 1 PD09NOV17	10740.00	11086.21	USD	-2,077.26	
09AUG17	B 2 PD09NOV17	10770.00	11086.21	USD	3,794.52	
22AUG17	B 1 PD09NOV17	11410.35	11086.21	USD	-1,944.84	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10898.07	SOLD 10766.00				-3,962.10	0.00
10AUG17	B	1 PD10NOV17		10780.00	11087.18	USD	1,843.08	
10AUG17	S	1 PD10NOV17		10810.00	11087.18	USD	-1,663.08	
10AUG17	B	2 PD10NOV17		10770.00	11087.18	USD	3,806.16	
10AUG17	S	2 PD10NOV17		10930.00	11087.18	USD	-1,886.16	
10AUG17	S	2 PD10NOV17		10940.00	11087.18	USD	-1,766.16	
10AUG17	B	2 PD10NOV17		10920.00	11087.18	USD	2,006.16	
11AUG17	S	2 PD10NOV17		10860.00	11087.18	USD	-2,726.16	
11AUG17	S	2 PD10NOV17		10815.00	11087.18	USD	-3,266.16	
11AUG17	S	2 PD10NOV17		10820.00	11087.18	USD	-3,206.16	
11AUG17	B	2 PD10NOV17		10825.00	11087.18	USD	3,146.16	
11AUG17	S	2 PD10NOV17		10745.00	11087.18	USD	-4,106.16	
11AUG17	B	2 PD10NOV17		10770.00	11087.18	USD	3,806.16	
11AUG17	S	2 PD10NOV17		10750.00	11087.18	USD	-4,046.16	
11AUG17	B	3 PD10NOV17		10665.00	11087.18	USD	7,599.24	
11AUG17	B	1 PD10NOV17		10670.00	11087.18	USD	2,503.08	
11AUG17	S	1 PD10NOV17		10650.00	11087.18	USD	-2,623.08	
11AUG17	B	1 PD10NOV17		10675.00	11087.18	USD	2,473.08	
11AUG17	B	1 PD10NOV17		10660.00	11087.18	USD	2,563.08	
22AUG17	B	1 PD10NOV17		11411.04	11087.18	USD	-1,943.16	
	NET	0 AVG BGHT 10797.57	SOLD 10823.75				2,513.76	0.00
14AUG17	S	1 PD14NOV17		10655.00	11091.04	USD	-2,616.24	
14AUG17	B	1 PD14NOV17		10660.00	11091.04	USD	2,586.24	
14AUG17	S	1 PD14NOV17		10530.00	11091.04	USD	-3,366.24	
14AUG17	B	1 PD14NOV17		10490.00	11091.04	USD	3,606.24	
14AUG17	S	1 PD14NOV17		10410.00	11091.04	USD	-4,086.24	
14AUG17	S	1 PD14NOV17		10440.00	11091.04	USD	-3,906.24	
14AUG17	B	1 PD14NOV17		10405.00	11091.04	USD	4,116.24	
22AUG17	B	1 PD14NOV17		11413.81	11091.04	USD	-1,936.62	
	NET	0 AVG BGHT 10742.20	SOLD 10508.75				-5,602.86	0.00
15AUG17	B	1 PD15NOV17		10400.00	11092.00	USD	4,152.00	
15AUG17	B	2 PD15NOV17		10375.00	11092.00	USD	8,604.00	
15AUG17	B	2 PD15NOV17		10395.00	11092.00	USD	8,364.00	
22AUG17	S	3 PD15NOV17		11413.50	11092.00	USD	5,787.00	
22AUG17	S	2 PD15NOV17		11414.50	11092.00	USD	3,870.00	
	NET	0 AVG BGHT 10388.00	SOLD 11413.90				30,777.00	0.00
16AUG17	S	5 PD16NOV17		10565.00	11092.77	USD	-15,833.10	
16AUG17	S	1 PD16NOV17		10655.00	11092.77	USD	-2,626.62	
16AUG17	B	1 PD16NOV17		10670.00	11092.77	USD	2,536.62	
16AUG17	S	1 PD16NOV17		10675.00	11092.77	USD	-2,506.62	
16AUG17	B	2 PD16NOV17		10680.00	11092.77	USD	4,953.24	
16AUG17	B	2 PD16NOV17		10685.00	11092.77	USD	4,893.24	
16AUG17	S	3 PD16NOV17		10730.00	11092.77	USD	-6,529.86	
16AUG17	B	3 PD16NOV17		10750.00	11092.77	USD	6,169.86	
16AUG17	B	2 PD16NOV17		10745.00	11092.77	USD	4,173.24	
16AUG17	B	2 PD16NOV17		10730.00	11092.77	USD	4,353.24	
16AUG17	B	2 PD16NOV17		10725.00	11092.77	USD	4,413.24	
16AUG17	S	2 PD16NOV17		10755.00	11092.77	USD	-4,053.24	
16AUG17	S	1 PD16NOV17		10810.00	11092.77	USD	-1,696.62	
16AUG17	S	2 PD16NOV17		10815.00	11092.77	USD	-3,333.24	
16AUG17	B	1 PD16NOV17		10765.00	11092.77	USD	1,966.62	
16AUG17	S	1 PD16NOV17		10760.00	11092.77	USD	-1,996.62	
16AUG17	B	2 PD16NOV17		10780.00	11092.77	USD	3,753.24	
11SEP17	S	1 PD16NOV17		11748.65	11092.77	USD	3,935.28	
	NET	0 AVG BGHT 10727.94	SOLD 10753.16				2,571.90	0.00
17AUG17	B	1 PD17NOV17		10815.00	11093.54	USD	1,671.24	

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	10820.00	11093.54	USD	1,641.24	
17AUG17	S	3	PD17NOV17	10785.00	11093.54	USD	-5,553.72	
17AUG17	S	1	PD17NOV17	10795.00	11093.54	USD	-1,791.24	
17AUG17	S	2	PD17NOV17	10870.00	11093.54	USD	-2,682.48	
17AUG17	S	2	PD17NOV17	10860.00	11093.54	USD	-2,802.48	
17AUG17	S	1	PD17NOV17	10790.00	11093.54	USD	-1,821.24	
17AUG17	S	1	PD17NOV17	10805.00	11093.54	USD	-1,731.24	
17AUG17	S	1	PD17NOV17	10800.00	11093.54	USD	-1,761.24	
17AUG17	B	4	PD17NOV17	10725.00	11093.54	USD	8,844.96	
17AUG17	B	4	PD17NOV17	10750.00	11093.54	USD	8,244.96	
17AUG17	B	1	PD17NOV17	10740.00	11093.54	USD	2,121.24	
17AUG17	B	1	PD17NOV17	10800.00	11093.54	USD	1,761.24	
17AUG17	S	1	PD17NOV17	10810.00	11093.54	USD	-1,701.24	
17AUG17	S	1	PD17NOV17	10780.00	11093.54	USD	-1,881.24	
17AUG17	B	3	PD17NOV17	10760.00	11093.54	USD	6,003.72	
17AUG17	B	1	PD17NOV17	10725.00	11093.54	USD	2,211.24	
17AUG17	B	3	PD17NOV17	10765.00	11093.54	USD	5,913.72	
17AUG17	B	2	PD17NOV17	10735.00	11093.54	USD	4,302.48	
17AUG17	S	2	PD17NOV17	10645.00	11093.54	USD	-5,382.48	
18AUG17	S	2	PD17NOV17	10665.00	11093.54	USD	-5,142.48	
11SEP17	S	4	PD17NOV17	11749.31	11093.54	USD	15,738.48	
	NET	0AVG BGHT 10754.52	SOLD 10962.49				26,203.44	0.00
21AUG17	S	2	PD21NOV17	11090.00	11096.63	USD	-79.56	
21AUG17	S	1	PD21NOV17	11195.00	11096.63	USD	590.22	
21AUG17	B	1	PD21NOV17	11315.00	11096.63	USD	-1,310.22	
21AUG17	B	1	PD21NOV17	11295.00	11096.63	USD	-1,190.22	
21AUG17	S	1	PD21NOV17	11280.00	11096.63	USD	1,100.22	
22AUG17	B	2	PD21NOV17	11415.58	11096.63	USD	-3,827.40	
	NET	0AVG BGHT 11360.29	SOLD 11163.75				-4,716.96	0.00
22AUG17	S	1	PD22NOV17	11365.00	11097.40	USD	1,605.60	
22AUG17	S	1	PD22NOV17	11345.00	11097.40	USD	1,485.60	
22AUG17	S	2	PD22NOV17	11485.00	11097.40	USD	4,651.20	
22AUG17	B	2	PD22NOV17	11470.00	11097.40	USD	-4,471.20	
22AUG17	B	1	PD22NOV17	11440.00	11097.40	USD	-2,055.60	
22AUG17	B	3	PD22NOV17	11405.00	11097.40	USD	-5,536.80	
22AUG17	S	1	PD22NOV17	11410.00	11097.40	USD	1,875.60	
22AUG17	S	3	PD22NOV17	11430.00	11097.40	USD	5,986.80	
22AUG17	S	3	PD22NOV17	11420.00	11097.40	USD	5,806.80	
22AUG17	B	1	PD22NOV17	11415.00	11097.40	USD	-1,905.60	
22AUG17	B	2	PD22NOV17	11410.00	11097.40	USD	-3,751.20	
22AUG17	S	2	PD22NOV17	11375.00	11097.40	USD	3,331.20	
22AUG17	S	2	PD22NOV17	11395.00	11097.40	USD	3,571.20	
23AUG17	B	5	PD22NOV17	11659.75	11097.40	USD	-16,870.50	
11SEP17	B	1	PD22NOV17	11753.58	11097.40	USD	-3,937.08	
	NET	0AVG BGHT 11525.49	SOLD 11412.00				-10,213.98	0.00
23AUG17	B	2	PD24NOV17	11380.00	11098.94	USD	-3,372.72	
23AUG17	S	2	PD24NOV17	11455.00	11098.94	USD	4,272.72	
23AUG17	S	2	PD24NOV17	11425.00	11098.94	USD	3,912.72	
23AUG17	S	1	PD24NOV17	11495.00	11098.94	USD	2,376.72	
23AUG17	S	3	PD24NOV17	11580.00	11098.94	USD	8,659.08	
23AUG17	B	2	PD24NOV17	11555.00	11098.94	USD	-5,472.72	
23AUG17	B	1	PD24NOV17	11550.00	11098.94	USD	-2,706.36	
23AUG17	B	2	PD24NOV17	11585.00	11098.94	USD	-5,832.72	
23AUG17	S	2	PD24NOV17	11685.00	11098.94	USD	7,032.72	
23AUG17	B	2	PD24NOV17	11715.00	11098.94	USD	-7,392.72	
24AUG17	S	2	PD24NOV17	11750.00	11098.94	USD	7,812.72	

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24AUG17	B	1	PD24NOV17		11625.00	11098.94	USD	-3,156.36	
24AUG17	S	1	PD24NOV17		11615.00	11098.94	USD	3,096.36	
24AUG17	S	1	PD24NOV17		11645.00	11098.94	USD	3,276.36	
24AUG17	B	1	PD24NOV17		11655.00	11098.94	USD	-3,336.36	
24AUG17	S	1	PD24NOV17		11770.00	11098.94	USD	4,026.36	
25AUG17	B	1	PD24NOV17		11680.00	11098.94	USD	-3,486.36	
25AUG17	B	1	PD24NOV17		11650.00	11098.94	USD	-3,306.36	
25AUG17	B	1	PD24NOV17		11665.00	11098.94	USD	-3,396.36	
25AUG17	B	1	PD24NOV17		11520.00	11098.94	USD	-2,526.36	
25AUG17	S	1	PD24NOV17		11520.00	11098.94	USD	2,526.36	
25AUG17	S	2	PD24NOV17		11550.00	11098.94	USD	5,412.72	
25AUG17	S	1	PD24NOV17		11455.00	11098.94	USD	2,136.36	
11SEP17	B	4	PD24NOV17		11754.88	11098.94	USD	-15,742.56	
	NET	0 AVG	BGHT 11622.87	SOLD 11577.37				-5,187.12	0.00
29AUG17	S	4	PD29NOV17		11615.00	11102.80	USD	12,292.80	
29AUG17	S	2	PD29NOV17		11625.00	11102.80	USD	6,266.40	
29AUG17	S	2	PD29NOV17		11775.00	11102.80	USD	8,066.40	
29AUG17	S	2	PD29NOV17		11735.00	11102.80	USD	7,586.40	
29AUG17	S	1	PD29NOV17		11730.00	11102.80	USD	3,763.20	
29AUG17	S	4	PD29NOV17		11695.00	11102.80	USD	14,212.80	
29AUG17	S	1	PD29NOV17		11760.00	11102.80	USD	3,943.20	
29AUG17	S	1	PD29NOV17		11765.00	11102.80	USD	3,973.20	
29AUG17	S	1	PD29NOV17		11710.00	11102.80	USD	3,643.20	
29AUG17	S	2	PD29NOV17		11610.00	11102.80	USD	6,086.40	
29AUG17	S	5	PD29NOV17		11750.00	11102.80	USD	19,416.00	
29AUG17	S	4	PD29NOV17		11770.00	11102.80	USD	16,012.80	
29AUG17	S	9	PD29NOV17		11755.00	11102.80	USD	35,218.80	
29AUG17	S	8	PD29NOV17		11645.00	11102.80	USD	26,025.60	
29AUG17	S	5	PD29NOV17		11640.00	11102.80	USD	16,116.00	
29AUG17	S	3	PD29NOV17		11630.00	11102.80	USD	9,489.60	
29AUG17	S	4	PD29NOV17		11635.00	11102.80	USD	12,772.80	
29AUG17	S	2	PD29NOV17		11815.00	11102.80	USD	8,546.40	
29AUG17	S	3	PD29NOV17		11755.00	11102.80	USD	11,739.60	
29AUG17	S	3	PD29NOV17		11750.00	11102.80	USD	11,649.60	
29AUG17	S	1	PD29NOV17		11730.00	11102.80	USD	3,763.20	
29AUG17	S	1	PD29NOV17		11705.00	11102.80	USD	3,613.20	
29AUG17	S	4	PD29NOV17		11870.00	11102.80	USD	18,412.80	
29AUG17	S	2	PD29NOV17		11875.00	11102.80	USD	9,266.40	
29AUG17	S	2	PD29NOV17		11835.00	11102.80	USD	8,786.40	
29AUG17	S	2	PD29NOV17		11840.00	11102.80	USD	8,846.40	
29AUG17	S	2	PD29NOV17		11860.00	11102.80	USD	9,086.40	
29AUG17	S	2	PD29NOV17		11810.00	11102.80	USD	8,486.40	
29AUG17	S	2	PD29NOV17		11795.00	11102.80	USD	8,306.40	
29AUG17	S	16	PD29NOV17		11805.00	11102.80	USD	67,411.20	
29AUG17	S	18	PD29NOV17		11815.00	11102.80	USD	76,917.60	
29AUG17	S	1	PD29NOV17		11825.00	11102.80	USD	4,333.20	
29AUG17	S	3	PD29NOV17		11820.00	11102.80	USD	12,909.60	
29AUG17	S	2	PD29NOV17		11865.00	11102.80	USD	9,146.40	
29AUG17	S	1	PD29NOV17		11680.00	11102.80	USD	3,463.20	
29AUG17	S	1	PD29NOV17		11715.00	11102.80	USD	3,673.20	
29AUG17	B	1	PD29NOV17		11685.00	11102.80	USD	-3,493.20	
05SEP17	B	123	PD29NOV17		12077.53	11102.80	USD	-719,350.74	
11SEP17	B	2	PD29NOV17		11757.15	11102.80	USD	-7,852.20	
	NET	0 AVG	BGHT 12069.33	SOLD 11755.24				-237,452.94	0.00
30AUG17	B	1	PD30NOV17		11695.00	11103.57	USD	-3,548.58	
30AUG17	B	1	PD30NOV17		11720.00	11103.57	USD	-3,698.58	
30AUG17	B	1	PD30NOV17		11780.00	11103.57	USD	-4,058.58	

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30AUG17	S	1	PD30NOV17	11715.00	11103.57	USD	3,668.58	
30AUG17	B	2	PD30NOV17	11610.00	11103.57	USD	-6,077.16	
30AUG17	B	2	PD30NOV17	11605.00	11103.57	USD	-6,017.16	
30AUG17	B	3	PD30NOV17	11655.00	11103.57	USD	-9,925.74	
30AUG17	B	6	PD30NOV17	11650.00	11103.57	USD	-19,671.48	
30AUG17	B	2	PD30NOV17	11645.00	11103.57	USD	-6,497.16	
30AUG17	B	5	PD30NOV17	11620.00	11103.57	USD	-15,492.90	
30AUG17	S	1	PD30NOV17	11580.00	11103.57	USD	2,858.58	
31AUG17	B	1	PD30NOV17	11620.00	11103.57	USD	-3,098.58	
31AUG17	B	2	PD30NOV17	11660.00	11103.57	USD	-6,677.16	
31AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
31AUG17	B	1	PD30NOV17	11670.00	11103.57	USD	-3,398.58	
31AUG17	S	1	PD30NOV17	11720.00	11103.57	USD	3,698.58	
31AUG17	S	1	PD30NOV17	11725.00	11103.57	USD	3,728.58	
31AUG17	S	1	PD30NOV17	11820.00	11103.57	USD	4,298.58	
31AUG17	S	6	PD30NOV17	11790.00	11103.57	USD	24,711.48	
31AUG17	B	1	PD30NOV17	11765.00	11103.57	USD	-3,968.58	
31AUG17	S	9	PD30NOV17	11785.00	11103.57	USD	36,797.22	
31AUG17	S	5	PD30NOV17	11795.00	11103.57	USD	20,742.90	
31AUG17	S	1	PD30NOV17	11800.00	11103.57	USD	4,178.58	
31AUG17	S	1	PD30NOV17	11805.00	11103.57	USD	4,208.58	
31AUG17	B	1	PD30NOV17	11775.00	11103.57	USD	-4,028.58	
31AUG17	B	1	PD30NOV17	11770.00	11103.57	USD	-3,998.58	
11SEP17	S	2	PD30NOV17	11756.81	11103.57	USD	7,838.88	
11SEP17	S	2	PD30NOV17	11757.81	11103.57	USD	7,850.88	
	NET	0	AVG BGHT 11659.84	SOLD 11773.36			21,115.44	0.00
01SEP17	B	1	PD01DEC17	11775.00	11104.34	USD	-4,023.96	
01SEP17	S	1	PD01DEC17	11830.00	11104.34	USD	4,353.96	
01SEP17	S	2	PD01DEC17	12045.00	11104.34	USD	11,287.92	
01SEP17	S	2	PD01DEC17	11980.00	11104.34	USD	10,507.92	
01SEP17	B	2	PD01DEC17	11980.00	11104.34	USD	-10,507.92	
01SEP17	S	1	PD01DEC17	12015.00	11104.34	USD	5,463.96	
01SEP17	B	1	PD01DEC17	12060.00	11104.34	USD	-5,733.96	
01SEP17	S	2	PD01DEC17	12055.00	11104.34	USD	11,407.92	
01SEP17	B	3	PD01DEC17	12045.00	11104.34	USD	-16,931.88	
01SEP17	B	2	PD01DEC17	12030.00	11104.34	USD	-11,107.92	
01SEP17	S	2	PD01DEC17	12040.00	11104.34	USD	11,227.92	
01SEP17	S	1	PD01DEC17	12050.00	11104.34	USD	5,673.96	
11SEP17	B	2	PD01DEC17	11759.46	11104.34	USD	-7,861.44	
	NET	0	AVG BGHT 11955.36	SOLD 12012.27			3,756.48	0.00
04SEP17	S	6	PD04DEC17	12270.00	11106.66	USD	41,880.24	
04SEP17	S	2	PD04DEC17	12275.00	11106.66	USD	14,020.08	
04SEP17	S	1	PD04DEC17	12280.00	11106.66	USD	7,040.04	
04SEP17	B	2	PD04DEC17	12285.00	11106.66	USD	-14,140.08	
04SEP17	B	2	PD04DEC17	12320.00	11106.66	USD	-14,560.08	
04SEP17	B	1	PD04DEC17	12335.00	11106.66	USD	-7,370.04	
04SEP17	B	2	PD04DEC17	12350.00	11106.66	USD	-14,920.08	
04SEP17	S	2	PD04DEC17	12295.00	11106.66	USD	14,260.08	
04SEP17	S	2	PD04DEC17	12305.00	11106.66	USD	14,380.08	
04SEP17	B	3	PD04DEC17	12310.00	11106.66	USD	-21,660.12	
04SEP17	B	3	PD04DEC17	12305.00	11106.66	USD	-21,570.12	
04SEP17	B	2	PD04DEC17	12295.00	11106.66	USD	-14,260.08	
04SEP17	B	2	PD04DEC17	12300.00	11106.66	USD	-14,320.08	
04SEP17	B	1	PD04DEC17	12240.00	11106.66	USD	-6,800.04	
04SEP17	B	1	PD04DEC17	12200.00	11106.66	USD	-6,560.04	
04SEP17	B	1	PD04DEC17	12220.00	11106.66	USD	-6,680.04	
04SEP17	S	1	PD04DEC17	12175.00	11106.66	USD	6,410.04	

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04SEP17	B	3	PD04DEC17	12255.00	11106.66	USD	-20,670.12	
04SEP17	S	1	PD04DEC17	12250.00	11106.66	USD	6,860.04	
04SEP17	S	1	PD04DEC17	12265.00	11106.66	USD	6,950.04	
04SEP17	S	1	PD04DEC17	12255.00	11106.66	USD	6,890.04	
11SEP17	S	6	PD04DEC17	11760.42	11106.66	USD	23,535.36	
	NET	0AVG BGHT 12291.52	SOLD 12137.28				-21,284.88	0.00
05SEP17	S	2	PD05DEC17	12275.00	11107.43	USD	14,010.84	
05SEP17	S	2	PD05DEC17	12265.00	11107.43	USD	13,890.84	
05SEP17	S	2	PD05DEC17	12320.00	11107.43	USD	14,550.84	
05SEP17	S	1	PD05DEC17	12225.00	11107.43	USD	6,705.42	
05SEP17	B	1	PD05DEC17	12235.00	11107.43	USD	-6,765.42	
05SEP17	B	2	PD05DEC17	12220.00	11107.43	USD	-13,350.84	
05SEP17	B	2	PD05DEC17	12215.00	11107.43	USD	-13,290.84	
05SEP17	B	1	PD05DEC17	12205.00	11107.43	USD	-6,585.42	
05SEP17	B	1	PD05DEC17	12190.00	11107.43	USD	-6,495.42	
05SEP17	B	1	PD05DEC17	12165.00	11107.43	USD	-6,345.42	
05SEP17	S	1	PD05DEC17	12240.00	11107.43	USD	6,795.42	
05SEP17	S	1	PD05DEC17	12235.00	11107.43	USD	6,765.42	
05SEP17	S	2	PD05DEC17	12255.00	11107.43	USD	13,770.84	
05SEP17	B	2	PD05DEC17	12110.00	11107.43	USD	-12,030.84	
05SEP17	S	2	PD05DEC17	12070.00	11107.43	USD	11,550.84	
05SEP17	B	2	PD05DEC17	12040.00	11107.43	USD	-11,190.84	
11SEP17	B	1	PD05DEC17	11762.08	11107.43	USD	-3,927.90	
	NET	0AVG BGHT 12132.85	SOLD 12236.15				8,057.52	0.00
06SEP17	B	1	PD06DEC17	11990.00	11108.20	USD	-5,290.80	
06SEP17	B	2	PD06DEC17	12000.00	11108.20	USD	-10,701.60	
06SEP17	B	2	PD06DEC17	12015.00	11108.20	USD	-10,881.60	
06SEP17	B	1	PD06DEC17	12070.00	11108.20	USD	-5,770.80	
06SEP17	B	1	PD06DEC17	12065.00	11108.20	USD	-5,740.80	
06SEP17	B	1	PD06DEC17	12055.00	11108.20	USD	-5,680.80	
06SEP17	B	2	PD06DEC17	12030.00	11108.20	USD	-11,061.60	
06SEP17	S	2	PD06DEC17	11985.00	11108.20	USD	10,521.60	
06SEP17	S	1	PD06DEC17	12015.00	11108.20	USD	5,440.80	
06SEP17	S	3	PD06DEC17	12070.00	11108.20	USD	17,312.40	
06SEP17	S	2	PD06DEC17	12110.00	11108.20	USD	12,021.60	
06SEP17	S	2	PD06DEC17	12130.00	11108.20	USD	12,261.60	
06SEP17	S	2	PD06DEC17	12170.00	11108.20	USD	12,741.60	
11SEP17	B	2	PD06DEC17	11762.73	11108.20	USD	-7,854.36	
	NET	0AVG BGHT 11982.96	SOLD 12084.58				7,317.24	0.00
07SEP17	B	2	PD07DEC17	12225.00	11108.97	USD	-13,392.36	
07SEP17	B	1	PD07DEC17	12230.00	11108.97	USD	-6,726.18	
07SEP17	S	1	PD07DEC17	12225.00	11108.97	USD	6,696.18	
07SEP17	B	1	PD07DEC17	12180.00	11108.97	USD	-6,426.18	
07SEP17	S	1	PD07DEC17	12180.00	11108.97	USD	6,426.18	
07SEP17	B	1	PD07DEC17	12155.00	11108.97	USD	-6,276.18	
07SEP17	B	1	PD07DEC17	12110.00	11108.97	USD	-6,006.18	
07SEP17	B	1	PD07DEC17	12150.00	11108.97	USD	-6,246.18	
07SEP17	S	2	PD07DEC17	12015.00	11108.97	USD	10,872.36	
07SEP17	B	2	PD07DEC17	12070.00	11108.97	USD	-11,532.36	
07SEP17	S	1	PD07DEC17	12135.00	11108.97	USD	6,156.18	
07SEP17	B	3	PD07DEC17	12160.00	11108.97	USD	-18,918.54	
07SEP17	S	1	PD07DEC17	12145.00	11108.97	USD	6,216.18	
07SEP17	S	1	PD07DEC17	12170.00	11108.97	USD	6,366.18	
07SEP17	B	2	PD07DEC17	12200.00	11108.97	USD	-13,092.36	
07SEP17	S	1	PD07DEC17	12145.00	11108.97	USD	6,216.18	
14SEP17	S	6	PD07DEC17	11194.45	11108.97	USD	3,077.28	

OPEN POSITION STATEMENT



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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0AVG	BGHT	12163.93	SOLD	11728.34		-36,589.80	0.00
08SEP17	S	2	PD08DEC17		12285.00	11109.74	USD	14,103.12	
08SEP17	S	1	PD08DEC17		12265.00	11109.74	USD	6,931.56	
08SEP17	B	3	PD08DEC17		12330.00	11109.74	USD	-21,964.68	
08SEP17	B	1	PD08DEC17		12320.00	11109.74	USD	-7,261.56	
08SEP17	B	3	PD08DEC17		12315.00	11109.74	USD	-21,694.68	
08SEP17	S	1	PD08DEC17		12180.00	11109.74	USD	6,421.56	
08SEP17	S	2	PD08DEC17		12175.00	11109.74	USD	12,783.12	
08SEP17	S	2	PD08DEC17		12160.00	11109.74	USD	12,603.12	
08SEP17	S	1	PD08DEC17		12130.00	11109.74	USD	6,121.56	
08SEP17	S	2	PD08DEC17		12100.00	11109.74	USD	11,883.12	
08SEP17	S	1	PD08DEC17		12095.00	11109.74	USD	5,911.56	
08SEP17	S	2	PD08DEC17		12070.00	11109.74	USD	11,523.12	
08SEP17	S	2	PD08DEC17		11935.00	11109.74	USD	9,903.12	
08SEP17	S	2	PD08DEC17		11965.00	11109.74	USD	10,263.12	
08SEP17	B	2	PD08DEC17		11980.00	11109.74	USD	-10,443.12	
08SEP17	B	2	PD08DEC17		11935.00	11109.74	USD	-9,903.12	
08SEP17	S	1	PD08DEC17		12150.00	11109.74	USD	6,241.56	
08SEP17	S	2	PD08DEC17		11855.00	11109.74	USD	8,943.12	
08SEP17	S	1	PD08DEC17		11860.00	11109.74	USD	4,501.56	
08SEP17	S	2	PD08DEC17		11850.00	11109.74	USD	8,883.12	
08SEP17	S	1	PD08DEC17		11845.00	11109.74	USD	4,411.56	
08SEP17	S	1	PD08DEC17		11840.00	11109.74	USD	4,381.56	
08SEP17	S	1	PD08DEC17		11805.00	11109.74	USD	4,171.56	
08SEP17	B	2	PD08DEC17		11775.00	11109.74	USD	-7,983.12	
08SEP17	B	2	PD08DEC17		11740.00	11109.74	USD	-7,563.12	
08SEP17	B	2	PD08DEC17		11705.00	11109.74	USD	-7,143.12	
08SEP17	B	1	PD08DEC17		11765.00	11109.74	USD	-3,931.56	
08SEP17	B	2	PD08DEC17		11720.00	11109.74	USD	-7,323.12	
08SEP17	B	2	PD08DEC17		11715.00	11109.74	USD	-7,263.12	
08SEP17	S	4	PD08DEC17		11750.00	11109.74	USD	15,366.24	
08SEP17	S	2	PD08DEC17		11720.00	11109.74	USD	7,323.12	
08SEP17	B	2	PD08DEC17		11730.00	11109.74	USD	-7,443.12	
08SEP17	B	2	PD08DEC17		11685.00	11109.74	USD	-6,903.12	
08SEP17	B	2	PD08DEC17		11510.00	11109.74	USD	-4,803.12	
08SEP17	B	1	PD08DEC17		11505.00	11109.74	USD	-2,371.56	
08SEP17	S	2	PD08DEC17		11550.00	11109.74	USD	5,283.12	
08SEP17	S	2	PD08DEC17		11590.00	11109.74	USD	5,763.12	
11SEP17	B	3	PD08DEC17		11764.04	11109.74	USD	-11,777.40	
14SEP17	B	5	PD08DEC17		11196.24	11109.74	USD	-2,595.00	
	NET	0AVG	BGHT	11778.06	SOLD	11937.30		35,350.08	0.00
11SEP17	B	2	PD11DEC17		11700.00	11112.06	USD	-7,055.28	
11SEP17	B	1	PD11DEC17		11705.00	11112.06	USD	-3,557.64	
11SEP17	B	1	PD11DEC17		11665.00	11112.06	USD	-3,317.64	
11SEP17	S	3	PD11DEC17		11755.00	11112.06	USD	11,572.92	
11SEP17	B	3	PD11DEC17		11755.00	11112.06	USD	-11,572.92	
11SEP17	S	3	PD11DEC17		11790.00	11112.06	USD	12,202.92	
11SEP17	S	1	PD11DEC17		11795.00	11112.06	USD	4,097.64	
11SEP17	S	1	PD11DEC17		11820.00	11112.06	USD	4,247.64	
11SEP17	B	1	PD11DEC17		11810.00	11112.06	USD	-4,187.64	
	NET	0AVG	BGHT	11730.63	SOLD	11781.25		2,430.00	0.00
12SEP17	S	2	PD12DEC17		11740.00	11112.83	USD	7,526.04	
12SEP17	B	3	PD12DEC17		11665.00	11112.83	USD	-9,939.06	
12SEP17	S	1	PD12DEC17		11635.00	11112.83	USD	3,133.02	
12SEP17	B	4	PD12DEC17		11670.00	11112.83	USD	-13,372.08	
12SEP17	B	1	PD12DEC17		11635.00	11112.83	USD	-3,133.02	

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12SEP17	S	3	PD12DEC17	11680.00	11112.83	USD	10,209.06	
12SEP17	B	1	PD12DEC17	11660.00	11112.83	USD	-3,283.02	
12SEP17	B	1	PD12DEC17	11725.00	11112.83	USD	-3,673.02	
12SEP17	B	1	PD12DEC17	11730.00	11112.83	USD	-3,703.02	
12SEP17	B	1	PD12DEC17	11700.00	11112.83	USD	-3,523.02	
12SEP17	S	1	PD12DEC17	11690.00	11112.83	USD	3,463.02	
12SEP17	S	1	PD12DEC17	11710.00	11112.83	USD	3,583.02	
12SEP17	B	1	PD12DEC17	11740.00	11112.83	USD	-3,763.02	
12SEP17	S	1	PD12DEC17	11775.00	11112.83	USD	3,973.02	
12SEP17	S	1	PD12DEC17	11760.00	11112.83	USD	3,883.02	
12SEP17	S	1	PD12DEC17	11850.00	11112.83	USD	4,423.02	
12SEP17	S	3	PD12DEC17	11885.00	11112.83	USD	13,899.06	
12SEP17	S	1	PD12DEC17	11900.00	11112.83	USD	4,723.02	
12SEP17	B	2	PD12DEC17	11885.00	11112.83	USD	-9,266.04	
12SEP17	S	2	PD12DEC17	11905.00	11112.83	USD	9,506.04	
12SEP17	S	2	PD12DEC17	11910.00	11112.83	USD	9,566.04	
12SEP17	S	2	PD12DEC17	11970.00	11112.83	USD	10,286.04	
12SEP17	S	1	PD12DEC17	11965.00	11112.83	USD	5,113.02	
12SEP17	S	2	PD12DEC17	11950.00	11112.83	USD	10,046.04	
12SEP17	S	2	PD12DEC17	11955.00	11112.83	USD	10,106.04	
12SEP17	B	2	PD12DEC17	11920.00	11112.83	USD	-9,686.04	
14SEP17	B	8	PD12DEC17	11198.41	11112.83	USD	-4,107.84	
14SEP17	B	1	PD12DEC17	11199.41	11112.83	USD	-519.48	
	NET	0AVG BGHT 11548.53	SOLD 11840.00				45,469.86	0.00
13SEP17	B	3	PD13DEC17	11885.00	11113.60	USD	-13,885.20	
13SEP17	B	1	PD13DEC17	11890.00	11113.60	USD	-4,658.40	
13SEP17	S	2	PD13DEC17	11855.00	11113.60	USD	8,896.80	
13SEP17	B	1	PD13DEC17	11840.00	11113.60	USD	-4,358.40	
13SEP17	B	1	PD13DEC17	11800.00	11113.60	USD	-4,118.40	
13SEP17	B	4	PD13DEC17	11815.00	11113.60	USD	-16,833.60	
13SEP17	B	1	PD13DEC17	11740.00	11113.60	USD	-3,758.40	
13SEP17	B	1	PD13DEC17	11580.00	11113.60	USD	-2,798.40	
13SEP17	B	1	PD13DEC17	11575.00	11113.60	USD	-2,768.40	
13SEP17	B	2	PD13DEC17	11465.00	11113.60	USD	-4,216.80	
13SEP17	B	1	PD13DEC17	11455.00	11113.60	USD	-2,048.40	
13SEP17	S	1	PD13DEC17	11415.00	11113.60	USD	1,808.40	
13SEP17	B	2	PD13DEC17	11370.00	11113.60	USD	-3,076.80	
13SEP17	S	1	PD13DEC17	11350.00	11113.60	USD	1,418.40	
13SEP17	S	1	PD13DEC17	11360.00	11113.60	USD	1,478.40	
13SEP17	B	1	PD13DEC17	11395.00	11113.60	USD	-1,688.40	
13SEP17	B	1	PD13DEC17	11365.00	11113.60	USD	-1,508.40	
13SEP17	B	1	PD13DEC17	11580.00	11113.60	USD	-2,798.40	
13SEP17	B	1	PD13DEC17	11445.00	11113.60	USD	-1,988.40	
13SEP17	B	1	PD13DEC17	11430.00	11113.60	USD	-1,898.40	
13SEP17	B	1	PD13DEC17	11380.00	11113.60	USD	-1,598.40	
13SEP17	B	1	PD13DEC17	11385.00	11113.60	USD	-1,628.40	
13SEP17	S	2	PD13DEC17	11410.00	11113.60	USD	3,556.80	
14SEP17	S	8	PD13DEC17	11198.21	11113.60	USD	4,061.28	
19SEP17	S	10	PD13DEC17	11135.41	11113.60	USD	1,308.60	
	NET	0AVG BGHT 11617.80	SOLD 11263.79				-53,101.32	0.00
14SEP17	S	1	PD14DEC17	11435.00	11114.37	USD	1,923.78	
14SEP17	S	2	PD14DEC17	11470.00	11114.37	USD	4,267.56	
14SEP17	S	1	PD14DEC17	11500.00	11114.37	USD	2,313.78	
14SEP17	S	1	PD14DEC17	11490.00	11114.37	USD	2,253.78	
14SEP17	S	2	PD14DEC17	11475.00	11114.37	USD	4,327.56	
14SEP17	S	3	PD14DEC17	11455.00	11114.37	USD	6,131.34	
14SEP17	B	1	PD14DEC17	11240.00	11114.37	USD	-753.78	

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14SEP17	S	1	PD14DEC17	11270.00	11114.37	USD	933.78	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	B	2	PD14DEC17	11180.00	11114.37	USD	-787.56	
14SEP17	B	3	PD14DEC17	11185.00	11114.37	USD	-1,271.34	
14SEP17	B	1	PD14DEC17	11190.00	11114.37	USD	-453.78	
14SEP17	S	1	PD14DEC17	11455.00	11114.37	USD	2,043.78	
14SEP17	S	1	PD14DEC17	11270.00	11114.37	USD	933.78	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	S	1	PD14DEC17	11275.00	11114.37	USD	963.78	
14SEP17	S	4	PD14DEC17	11280.00	11114.37	USD	3,975.12	
14SEP17	S	1	PD14DEC17	11290.00	11114.37	USD	1,053.78	
14SEP17	S	7	PD14DEC17	11300.00	11114.37	USD	7,796.46	
14SEP17	S	1	PD14DEC17	11305.00	11114.37	USD	1,143.78	
14SEP17	S	7	PD14DEC17	11260.00	11114.37	USD	6,116.46	
14SEP17	S	2	PD14DEC17	11265.00	11114.37	USD	1,807.56	
14SEP17	B	2	PD14DEC17	11275.00	11114.37	USD	-1,927.56	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
14SEP17	S	1	PD14DEC17	11255.00	11114.37	USD	843.78	
14SEP17	B	5	PD14DEC17	11200.00	11114.37	USD	-2,568.90	
14SEP17	B	2	PD14DEC17	11220.00	11114.37	USD	-1,267.56	
19SEP17	B	10	PD14DEC17	11137.18	11114.37	USD	-1,368.60	
19SEP17	B	11	PD14DEC17	11136.18	11114.37	USD	-1,439.46	
	NET	0AVG BGHT 11168.58	SOLD 11329.74				37,711.32	0.00
15SEP17	B	1	PD15DEC17	11220.00	11115.14	USD	-629.16	
15SEP17	B	1	PD15DEC17	11255.00	11115.14	USD	-839.16	
15SEP17	B	2	PD15DEC17	11170.00	11115.14	USD	-658.32	
15SEP17	S	2	PD15DEC17	11150.00	11115.14	USD	418.32	
15SEP17	S	1	PD15DEC17	11180.00	11115.14	USD	389.16	
15SEP17	S	1	PD15DEC17	11130.00	11115.14	USD	89.16	
15SEP17	B	2	PD15DEC17	11160.00	11115.14	USD	-538.32	
15SEP17	S	2	PD15DEC17	11010.00	11115.14	USD	-1,261.68	
15SEP17	S	1	PD15DEC17	10970.00	11115.14	USD	-870.84	
15SEP17	S	1	PD15DEC17	10880.00	11115.14	USD	-1,410.84	
15SEP17	S	1	PD15DEC17	11025.00	11115.14	USD	-540.84	
15SEP17	S	3	PD15DEC17	11050.00	11115.14	USD	-1,172.52	
15SEP17	B	3	PD15DEC17	11105.00	11115.14	USD	182.52	
15SEP17	B	1	PD15DEC17	11095.00	11115.14	USD	120.84	
15SEP17	S	1	PD15DEC17	11090.00	11115.14	USD	-150.84	
15SEP17	S	2	PD15DEC17	10995.00	11115.14	USD	-1,441.68	
15SEP17	S	2	PD15DEC17	11000.00	11115.14	USD	-1,381.68	
19SEP17	B	7	PD15DEC17	11136.94	11115.14	USD	-915.60	
	NET	0AVG BGHT 11147.27	SOLD 11043.24				-10,611.48	0.00
18SEP17	B	1	PD18DEC17	11195.00	11117.46	USD	-465.24	
18SEP17	B	1	PD18DEC17	11225.00	11117.46	USD	-645.24	
18SEP17	S	1	PD18DEC17	11200.00	11117.46	USD	495.24	
18SEP17	B	1	PD18DEC17	11110.00	11117.46	USD	44.76	
18SEP17	B	1	PD18DEC17	11205.00	11117.46	USD	-525.24	
18SEP17	B	1	PD18DEC17	11105.00	11117.46	USD	74.76	
18SEP17	B	3	PD18DEC17	11115.00	11117.46	USD	44.28	
18SEP17	B	1	PD18DEC17	11165.00	11117.46	USD	-285.24	
18SEP17	S	2	PD18DEC17	11165.00	11117.46	USD	570.48	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	S	1	PD18DEC17	11160.00	11117.46	USD	255.24	
18SEP17	S	2	PD18DEC17	11215.00	11117.46	USD	1,170.48	
18SEP17	S	1	PD18DEC17	11205.00	11117.46	USD	525.24	
18SEP17	B	2	PD18DEC17	11150.00	11117.46	USD	-390.48	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	2	PD18DEC17	11215.00	11117.46	USD	1,170.48	
18SEP17	S	1	PD18DEC17	11175.00	11117.46	USD	345.24	
18SEP17	S	4	PD18DEC17	11185.00	11117.46	USD	1,620.96	
18SEP17	B	5	PD18DEC17	11190.00	11117.46	USD	-2,176.20	
19SEP17	S	3	PD18DEC17	11138.24	11117.46	USD	374.04	
	NET	0AVG BGHT 11162.94	SOLD 11181.45				1,888.32	0.00
19SEP17	S	1	PD19DEC17	11225.00	11118.23	USD	640.62	
19SEP17	B	1	PD19DEC17	11215.00	11118.23	USD	-580.62	
19SEP17	B	2	PD19DEC17	11150.00	11118.23	USD	-381.24	
19SEP17	B	1	PD19DEC17	11145.00	11118.23	USD	-160.62	
19SEP17	B	2	PD19DEC17	11140.00	11118.23	USD	-261.24	
19SEP17	B	1	PD19DEC17	11100.00	11118.23	USD	109.38	
19SEP17	B	1	PD19DEC17	11095.00	11118.23	USD	139.38	
19SEP17	B	1	PD19DEC17	11090.00	11118.23	USD	169.38	
19SEP17	B	4	PD19DEC17	10995.00	11118.23	USD	2,957.52	
19SEP17	B	2	PD19DEC17	11155.00	11118.23	USD	-441.24	
19SEP17	B	2	PD19DEC17	11145.00	11118.23	USD	-321.24	
19SEP17	B	1	PD19DEC17	11110.00	11118.23	USD	49.38	
19SEP17	B	2	PD19DEC17	10990.00	11118.23	USD	1,538.76	
19SEP17	B	2	PD19DEC17	10985.00	11118.23	USD	1,598.76	
19SEP17	B	4	PD19DEC17	10980.00	11118.23	USD	3,317.52	
19SEP17	B	2	PD19DEC17	11030.00	11118.23	USD	1,058.76	
19SEP17	B	4	PD19DEC17	11020.00	11118.23	USD	2,357.52	
19SEP17	B	3	PD19DEC17	11005.00	11118.23	USD	2,038.14	
19SEP17	B	1	PD19DEC17	11000.00	11118.23	USD	709.38	
19SEP17	S	1	PD19DEC17	11020.00	11118.23	USD	-589.38	
19SEP17	S	1	PD19DEC17	11065.00	11118.23	USD	-319.38	
19SEP17	B	3	PD19DEC17	11025.00	11118.23	USD	1,678.14	
19SEP17	B	1	PD19DEC17	11110.00	11118.23	USD	49.38	
19SEP17	S	3	PD19DEC17	11210.00	11118.23	USD	1,651.86	
19SEP17	S	1	PD19DEC17	11180.00	11118.23	USD	370.62	
19SEP17	S	15	PD19DEC17	11139.00	11118.23	USD	1,869.30	
21SEP17	S	18	PD19DEC17	11003.67	11118.23	USD	-12,372.48	
	NET	0AVG BGHT 11053.13	SOLD 11081.78				6,876.36	0.00
20SEP17	B	2	PD20DEC17	11280.00	11119.00	USD	-1,932.00	
20SEP17	B	1	PD20DEC17	11385.00	11119.00	USD	-1,596.00	
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
20SEP17	S	5	PD20DEC17	11360.00	11119.00	USD	7,230.00	
20SEP17	S	8	PD20DEC17	11355.00	11119.00	USD	11,328.00	
20SEP17	S	2	PD20DEC17	11310.00	11119.00	USD	2,292.00	
20SEP17	S	3	PD20DEC17	11330.00	11119.00	USD	3,798.00	
20SEP17	S	3	PD20DEC17	11335.00	11119.00	USD	3,888.00	
20SEP17	S	4	PD20DEC17	11345.00	11119.00	USD	5,424.00	
20SEP17	S	4	PD20DEC17	11350.00	11119.00	USD	5,544.00	
20SEP17	S	1	PD20DEC17	11330.00	11119.00	USD	1,266.00	
20SEP17	S	1	PD20DEC17	11340.00	11119.00	USD	1,326.00	
21SEP17	B	18	PD20DEC17	11005.50	11119.00	USD	12,258.00	
29SEP17	B	11	PD20DEC17	10489.50	11119.00	USD	41,547.00	
	NET	0AVG BGHT 10857.14	SOLD 11346.41				93,939.00	0.00
21SEP17	B	2	PD21DEC17	11200.00	11119.73	USD	-963.24	
21SEP17	B	3	PD21DEC17	11205.00	11119.73	USD	-1,534.86	
21SEP17	B	3	PD21DEC17	11210.00	11119.73	USD	-1,624.86	
21SEP17	S	1	PD21DEC17	11110.00	11119.73	USD	-58.38	
21SEP17	S	4	PD21DEC17	11100.00	11119.73	USD	-473.52	
21SEP17	S	2	PD21DEC17	11095.00	11119.73	USD	-296.76	
21SEP17	B	2	PD21DEC17	11080.00	11119.73	USD	476.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	B	1	PD21DEC17		11160.00	11119.73	USD	-241.62	
21SEP17	B	1	PD21DEC17		11110.00	11119.73	USD	58.38	
21SEP17	B	6	PD21DEC17		11085.00	11119.73	USD	1,250.28	
21SEP17	S	3	PD21DEC17		11025.00	11119.73	USD	-1,705.14	
21SEP17	S	1	PD21DEC17		11105.00	11119.73	USD	-88.38	
21SEP17	B	6	PD21DEC17		11010.00	11119.73	USD	3,950.28	
21SEP17	B	4	PD21DEC17		11000.00	11119.73	USD	2,873.52	
21SEP17	B	4	PD21DEC17		10930.00	11119.73	USD	4,553.52	
21SEP17	B	2	PD21DEC17		10925.00	11119.73	USD	2,336.76	
21SEP17	B	1	PD21DEC17		10955.00	11119.73	USD	988.38	
21SEP17	B	3	PD21DEC17		10950.00	11119.73	USD	3,055.14	
21SEP17	B	1	PD21DEC17		10985.00	11119.73	USD	808.38	
21SEP17	B	2	PD21DEC17		10980.00	11119.73	USD	1,676.76	
21SEP17	B	1	PD21DEC17		10990.00	11119.73	USD	778.38	
21SEP17	B	7	PD21DEC17		11005.00	11119.73	USD	4,818.66	
21SEP17	B	1	PD21DEC17		11030.00	11119.73	USD	538.38	
21SEP17	B	1	PD21DEC17		11025.00	11119.73	USD	568.38	
21SEP17	B	2	PD21DEC17		11070.00	11119.73	USD	596.76	
21SEP17	B	1	PD21DEC17		11135.00	11119.73	USD	-91.62	
21SEP17	B	2	PD21DEC17		11140.00	11119.73	USD	-243.24	
21SEP17	S	2	PD21DEC17		11090.00	11119.73	USD	-356.76	
21SEP17	B	8	PD21DEC17		11105.00	11119.73	USD	707.04	
21SEP17	B	3	PD21DEC17		11095.00	11119.73	USD	445.14	
21SEP17	B	3	PD21DEC17		11090.00	11119.73	USD	535.14	
21SEP17	S	1	PD21DEC17		10890.00	11119.73	USD	-1,378.38	
21SEP17	S	1	PD21DEC17		10755.00	11119.73	USD	-2,188.38	
21SEP17	S	1	PD21DEC17		10720.00	11119.73	USD	-2,398.38	
21SEP17	B	4	PD21DEC17		11110.00	11119.73	USD	233.52	
21SEP17	S	4	PD21DEC17		10830.00	11119.73	USD	-6,953.52	
21SEP17	S	1	PD21DEC17		10755.00	11119.73	USD	-2,188.38	
28SEP17	S	7	PD21DEC17		10431.00	11119.73	USD	-28,926.66	
29SEP17	S	11	PD21DEC17		10489.50	11119.73	USD	-41,595.18	
29SEP17	S	3	PD21DEC17		10490.50	11119.73	USD	-11,326.14	
11OCT17	S	9	PD21DEC17		11119.73	11119.73	USD	0.00	
	NET	+23 AVG	BGHT 11059.93	SOLD 10793.15				-73,383.84	0.00
22SEP17	B	1	PD22DEC17		10745.00	11120.45	USD	2,252.70	
22SEP17	B	1	PD22DEC17		10790.00	11120.45	USD	1,982.70	
22SEP17	B	8	PD22DEC17		10785.00	11120.45	USD	16,101.60	
22SEP17	B	2	PD22DEC17		10795.00	11120.45	USD	3,905.40	
22SEP17	S	2	PD22DEC17		10710.00	11120.45	USD	-4,925.40	
22SEP17	S	2	PD22DEC17		10715.00	11120.45	USD	-4,865.40	
22SEP17	S	4	PD22DEC17		10695.00	11120.45	USD	-10,210.80	
22SEP17	S	2	PD22DEC17		10675.00	11120.45	USD	-5,345.40	
22SEP17	S	2	PD22DEC17		10680.00	11120.45	USD	-5,285.40	
22SEP17	B	2	PD22DEC17		10690.00	11120.45	USD	5,165.40	
22SEP17	B	2	PD22DEC17		10680.00	11120.45	USD	5,285.40	
22SEP17	B	1	PD22DEC17		10655.00	11120.45	USD	2,792.70	
22SEP17	B	3	PD22DEC17		10640.00	11120.45	USD	8,648.10	
22SEP17	B	1	PD22DEC17		10600.00	11120.45	USD	3,122.70	
22SEP17	B	1	PD22DEC17		10595.00	11120.45	USD	3,152.70	
22SEP17	S	2	PD22DEC17		10655.00	11120.45	USD	-5,585.40	
22SEP17	S	1	PD22DEC17		10610.00	11120.45	USD	-3,062.70	
22SEP17	S	3	PD22DEC17		10605.00	11120.45	USD	-9,278.10	
22SEP17	B	2	PD22DEC17		10630.00	11120.45	USD	5,885.40	
22SEP17	S	6	PD22DEC17		10620.00	11120.45	USD	-18,016.20	
22SEP17	S	2	PD22DEC17		10575.00	11120.45	USD	-6,545.40	
22SEP17	S	1	PD22DEC17		10555.00	11120.45	USD	-3,392.70	

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22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	B	1	PD22DEC17	10515.00	11120.45	USD	3,632.70	
22SEP17	B	1	PD22DEC17	10545.00	11120.45	USD	3,452.70	
22SEP17	B	2	PD22DEC17	10530.00	11120.45	USD	7,085.40	
22SEP17	B	2	PD22DEC17	10550.00	11120.45	USD	6,845.40	
22SEP17	S	2	PD22DEC17	10515.00	11120.45	USD	-7,265.40	
22SEP17	B	4	PD22DEC17	10475.00	11120.45	USD	15,490.80	
22SEP17	B	2	PD22DEC17	10495.00	11120.45	USD	7,505.40	
22SEP17	S	1	PD22DEC17	10450.00	11120.45	USD	-4,022.70	
22SEP17	B	2	PD22DEC17	10480.00	11120.45	USD	7,685.40	
22SEP17	B	2	PD22DEC17	10470.00	11120.45	USD	7,805.40	
22SEP17	B	2	PD22DEC17	10460.00	11120.45	USD	7,925.40	
22SEP17	B	5	PD22DEC17	10455.00	11120.45	USD	19,963.50	
22SEP17	B	2	PD22DEC17	10440.00	11120.45	USD	8,165.40	
22SEP17	S	2	PD22DEC17	10520.00	11120.45	USD	-7,205.40	
22SEP17	S	2	PD22DEC17	10495.00	11120.45	USD	-7,505.40	
22SEP17	S	6	PD22DEC17	10510.00	11120.45	USD	-21,976.20	
22SEP17	S	6	PD22DEC17	10540.00	11120.45	USD	-20,896.20	
	NET	+2 AVG	BGHT 10597.14				5,045.40	0.00
			SOLD 10592.77					
25SEP17	B	2	PD27DEC17	10490.00	11124.09	USD	7,609.08	
25SEP17	S	2	PD27DEC17	10625.00	11124.09	USD	-5,989.08	
25SEP17	S	4	PD27DEC17	10610.00	11124.09	USD	-12,338.16	
25SEP17	B	4	PD27DEC17	10505.00	11124.09	USD	14,858.16	
25SEP17	B	2	PD27DEC17	10530.00	11124.09	USD	7,129.08	
25SEP17	B	2	PD27DEC17	10535.00	11124.09	USD	7,069.08	
25SEP17	B	4	PD27DEC17	10520.00	11124.09	USD	14,498.16	
25SEP17	B	2	PD27DEC17	10495.00	11124.09	USD	7,549.08	
25SEP17	B	7	PD27DEC17	10525.00	11124.09	USD	25,161.78	
25SEP17	S	3	PD27DEC17	10490.00	11124.09	USD	-11,413.62	
25SEP17	S	4	PD27DEC17	10505.00	11124.09	USD	-14,858.16	
25SEP17	S	2	PD27DEC17	10515.00	11124.09	USD	-7,309.08	
25SEP17	S	4	PD27DEC17	10550.00	11124.09	USD	-13,778.16	
25SEP17	S	2	PD27DEC17	10565.00	11124.09	USD	-6,709.08	
25SEP17	S	2	PD27DEC17	10570.00	11124.09	USD	-6,649.08	
25SEP17	B	2	PD27DEC17	10575.00	11124.09	USD	6,589.08	
25SEP17	B	2	PD27DEC17	10625.00	11124.09	USD	5,989.08	
25SEP17	B	2	PD27DEC17	10600.00	11124.09	USD	6,289.08	
25SEP17	B	2	PD27DEC17	10635.00	11124.09	USD	5,869.08	
25SEP17	S	4	PD27DEC17	10605.00	11124.09	USD	-12,458.16	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
25SEP17	B	2	PD27DEC17	10590.00	11124.09	USD	6,409.08	
25SEP17	B	2	PD27DEC17	10585.00	11124.09	USD	6,469.08	
25SEP17	B	1	PD27DEC17	10640.00	11124.09	USD	2,904.54	
26SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
26SEP17	B	3	PD27DEC17	10615.00	11124.09	USD	9,163.62	
26SEP17	S	2	PD27DEC17	10645.00	11124.09	USD	-5,749.08	
26SEP17	S	1	PD27DEC17	10665.00	11124.09	USD	-2,754.54	
26SEP17	S	4	PD27DEC17	10660.00	11124.09	USD	-11,138.16	
26SEP17	B	2	PD27DEC17	10630.00	11124.09	USD	5,929.08	
26SEP17	S	6	PD27DEC17	10555.00	11124.09	USD	-20,487.24	
26SEP17	S	4	PD27DEC17	10570.00	11124.09	USD	-13,298.16	
26SEP17	S	2	PD27DEC17	10565.00	11124.09	USD	-6,709.08	
26SEP17	S	1	PD27DEC17	10660.00	11124.09	USD	-2,784.54	
26SEP17	B	1	PD27DEC17	10435.00	11124.09	USD	4,134.54	
26SEP17	B	2	PD27DEC17	10485.00	11124.09	USD	7,669.08	
26SEP17	B	4	PD27DEC17	10490.00	11124.09	USD	15,218.16	
26SEP17	B	2	PD27DEC17	10465.00	11124.09	USD	7,909.08	

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26SEP17	B	8	PD27DEC17	10475.00	11124.09	USD	31,156.32	
26SEP17	B	2	PD27DEC17	10470.00	11124.09	USD	7,849.08	
26SEP17	B	1	PD27DEC17	10450.00	11124.09	USD	4,044.54	
26SEP17	S	1	PD27DEC17	10455.00	11124.09	USD	-4,014.54	
26SEP17	B	1	PD27DEC17	10445.00	11124.09	USD	4,074.54	
26SEP17	B	6	PD27DEC17	10440.00	11124.09	USD	24,627.24	
26SEP17	S	1	PD27DEC17	10440.00	11124.09	USD	-4,104.54	
26SEP17	B	1	PD27DEC17	10540.00	11124.09	USD	3,504.54	
26SEP17	B	1	PD27DEC17	10525.00	11124.09	USD	3,594.54	
26SEP17	B	2	PD27DEC17	10510.00	11124.09	USD	7,369.08	
26SEP17	B	2	PD27DEC17	10500.00	11124.09	USD	7,489.08	
26SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	
26SEP17	B	5	PD27DEC17	10480.00	11124.09	USD	19,322.70	
26SEP17	S	2	PD27DEC17	10495.00	11124.09	USD	-7,549.08	
26SEP17	S	3	PD27DEC17	10490.00	11124.09	USD	-11,413.62	
26SEP17	S	5	PD27DEC17	10485.00	11124.09	USD	-19,172.70	
27SEP17	S	2	PD27DEC17	10470.00	11124.09	USD	-7,849.08	
27SEP17	S	2	PD27DEC17	10465.00	11124.09	USD	-7,909.08	
27SEP17	S	1	PD27DEC17	10480.00	11124.09	USD	-3,864.54	
27SEP17	S	2	PD27DEC17	10505.00	11124.09	USD	-7,429.08	
27SEP17	S	1	PD27DEC17	10515.00	11124.09	USD	-3,654.54	
27SEP17	S	1	PD27DEC17	10555.00	11124.09	USD	-3,414.54	
27SEP17	S	1	PD27DEC17	10595.00	11124.09	USD	-3,174.54	
27SEP17	B	1	PD27DEC17	10555.00	11124.09	USD	3,414.54	
27SEP17	S	2	PD27DEC17	10460.00	11124.09	USD	-7,969.08	
27SEP17	S	1	PD27DEC17	10485.00	11124.09	USD	-3,834.54	
27SEP17	S	3	PD27DEC17	10530.00	11124.09	USD	-10,693.62	
27SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
27SEP17	S	2	PD27DEC17	10540.00	11124.09	USD	-7,009.08	
27SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
27SEP17	B	2	PD27DEC17	10500.00	11124.09	USD	7,489.08	
27SEP17	B	1	PD27DEC17	10495.00	11124.09	USD	3,774.54	
27SEP17	B	2	PD27DEC17	10460.00	11124.09	USD	7,969.08	
27SEP17	S	1	PD27DEC17	10390.00	11124.09	USD	-4,404.54	
27SEP17	S	1	PD27DEC17	10380.00	11124.09	USD	-4,464.54	
27SEP17	S	2	PD27DEC17	10365.00	11124.09	USD	-9,109.08	
27SEP17	B	1	PD27DEC17	10345.00	11124.09	USD	4,674.54	
27SEP17	B	3	PD27DEC17	10355.00	11124.09	USD	13,843.62	
27SEP17	S	1	PD27DEC17	10355.00	11124.09	USD	-4,614.54	
27SEP17	S	1	PD27DEC17	10345.00	11124.09	USD	-4,674.54	
27SEP17	S	1	PD27DEC17	10335.00	11124.09	USD	-4,734.54	
27SEP17	S	1	PD27DEC17	10315.00	11124.09	USD	-4,854.54	
27SEP17	B	3	PD27DEC17	10360.00	11124.09	USD	13,753.62	
27SEP17	B	1	PD27DEC17	10385.00	11124.09	USD	4,434.54	
27SEP17	B	1	PD27DEC17	10395.00	11124.09	USD	4,374.54	
27SEP17	S	1	PD27DEC17	10370.00	11124.09	USD	-4,524.54	
27SEP17	S	4	PD27DEC17	10375.00	11124.09	USD	-17,978.16	
27SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
27SEP17	B	1	PD27DEC17	10305.00	11124.09	USD	4,914.54	
27SEP17	S	2	PD27DEC17	10295.00	11124.09	USD	-9,949.08	
27SEP17	B	3	PD27DEC17	10310.00	11124.09	USD	14,653.62	
27SEP17	B	4	PD27DEC17	10295.00	11124.09	USD	19,898.16	
27SEP17	S	2	PD27DEC17	10270.00	11124.09	USD	-10,249.08	
27SEP17	S	2	PD27DEC17	10265.00	11124.09	USD	-10,309.08	
27SEP17	B	1	PD27DEC17	10235.00	11124.09	USD	5,334.54	
27SEP17	B	1	PD27DEC17	10215.00	11124.09	USD	5,454.54	
27SEP17	B	3	PD27DEC17	10220.00	11124.09	USD	16,273.62	

OPEN POSITION STATEMENT



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SAP094AB

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	4	PD27DEC17	10225.00	11124.09	USD	21,578.16	
27SEP17	B	4	PD27DEC17	10230.00	11124.09	USD	21,458.16	
27SEP17	S	1	PD27DEC17	10245.00	11124.09	USD	-5,274.54	
27SEP17	S	4	PD27DEC17	10250.00	11124.09	USD	-20,978.16	
27SEP17	S	1	PD27DEC17	10235.00	11124.09	USD	-5,334.54	
27SEP17	S	4	PD27DEC17	10240.00	11124.09	USD	-21,218.16	
27SEP17	S	2	PD27DEC17	10255.00	11124.09	USD	-10,429.08	
27SEP17	S	3	PD27DEC17	10275.00	11124.09	USD	-15,283.62	
27SEP17	B	4	PD27DEC17	10350.00	11124.09	USD	18,578.16	
27SEP17	B	3	PD27DEC17	10380.00	11124.09	USD	13,393.62	
27SEP17	B	1	PD27DEC17	10375.00	11124.09	USD	4,494.54	
27SEP17	B	3	PD27DEC17	10370.00	11124.09	USD	13,573.62	
27SEP17	B	6	PD27DEC17	10365.00	11124.09	USD	27,327.24	
27SEP17	S	2	PD27DEC17	10350.00	11124.09	USD	-9,289.08	
27SEP17	B	3	PD27DEC17	10320.00	11124.09	USD	14,473.62	
27SEP17	S	1	PD27DEC17	10320.00	11124.09	USD	-4,824.54	
27SEP17	S	1	PD27DEC17	10325.00	11124.09	USD	-4,794.54	
27SEP17	S	4	PD27DEC17	10285.00	11124.09	USD	-20,138.16	
27SEP17	S	4	PD27DEC17	10280.00	11124.09	USD	-20,258.16	
27SEP17	S	4	PD27DEC17	10300.00	11124.09	USD	-19,778.16	
27SEP17	S	8	PD27DEC17	10290.00	11124.09	USD	-40,036.32	
27SEP17	S	3	PD27DEC17	10340.00	11124.09	USD	-14,113.62	
27SEP17	S	1	PD27DEC17	10280.00	11124.09	USD	-5,064.54	
27SEP17	B	2	PD27DEC17	10225.00	11124.09	USD	10,789.08	
27SEP17	B	1	PD27DEC17	10370.00	11124.09	USD	4,524.54	
28SEP17	B	7	PD27DEC17	10439.67	11124.09	USD	28,745.64	
	NET	0 AVG	BGHT 10438.29				1,933.86	0.00
28SEP17	S	1	PD28DEC17	10295.00	11124.82	USD	-4,978.92	
28SEP17	S	1	PD28DEC17	10335.00	11124.82	USD	-4,738.92	
28SEP17	B	1	PD28DEC17	10275.00	11124.82	USD	5,098.92	
28SEP17	S	3	PD28DEC17	10290.00	11124.82	USD	-15,026.76	
28SEP17	S	1	PD28DEC17	10280.00	11124.82	USD	-5,068.92	
28SEP17	S	7	PD28DEC17	10250.00	11124.82	USD	-36,742.44	
28SEP17	S	9	PD28DEC17	10255.00	11124.82	USD	-46,970.28	
28SEP17	S	7	PD28DEC17	10260.00	11124.82	USD	-36,322.44	
28SEP17	S	2	PD28DEC17	10245.00	11124.82	USD	-10,557.84	
28SEP17	S	3	PD28DEC17	10235.00	11124.82	USD	-16,016.76	
28SEP17	S	4	PD28DEC17	10270.00	11124.82	USD	-20,515.68	
28SEP17	B	1	PD28DEC17	10270.00	11124.82	USD	5,128.92	
28SEP17	B	1	PD28DEC17	10265.00	11124.82	USD	5,158.92	
28SEP17	B	6	PD28DEC17	10280.00	11124.82	USD	30,413.52	
28SEP17	B	7	PD28DEC17	10285.00	11124.82	USD	35,272.44	
28SEP17	B	2	PD28DEC17	10335.00	11124.82	USD	9,477.84	
28SEP17	B	1	PD28DEC17	10280.00	11124.82	USD	5,068.92	
28SEP17	S	1	PD28DEC17	10250.00	11124.82	USD	-5,248.92	
28SEP17	B	5	PD28DEC17	10290.00	11124.82	USD	25,044.60	
28SEP17	B	3	PD28DEC17	10315.00	11124.82	USD	14,576.76	
28SEP17	B	3	PD28DEC17	10300.00	11124.82	USD	14,846.76	
28SEP17	B	3	PD28DEC17	10325.00	11124.82	USD	14,396.76	
28SEP17	B	4	PD28DEC17	10340.00	11124.82	USD	18,835.68	
28SEP17	S	1	PD28DEC17	10360.00	11124.82	USD	-4,588.92	
28SEP17	S	3	PD28DEC17	10370.00	11124.82	USD	-13,586.76	
28SEP17	S	3	PD28DEC17	10380.00	11124.82	USD	-13,406.76	
28SEP17	B	2	PD28DEC17	10385.00	11124.82	USD	8,877.84	
28SEP17	B	2	PD28DEC17	10375.00	11124.82	USD	8,997.84	
28SEP17	B	2	PD28DEC17	10350.00	11124.82	USD	9,297.84	
28SEP17	B	1	PD28DEC17	10345.00	11124.82	USD	4,678.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	10340.00	11124.82	USD	-4,708.92	
28SEP17	S	2	PD28DEC17	10310.00	11124.82	USD	-9,777.84	
28SEP17	B	4	PD28DEC17	10310.00	11124.82	USD	19,555.68	
28SEP17	B	4	PD28DEC17	10335.00	11124.82	USD	18,955.68	
28SEP17	B	3	PD28DEC17	10330.00	11124.82	USD	14,306.76	
28SEP17	B	2	PD28DEC17	10320.00	11124.82	USD	9,657.84	
28SEP17	B	1	PD28DEC17	10455.00	11124.82	USD	4,018.92	
28SEP17	B	2	PD28DEC17	10450.00	11124.82	USD	8,097.84	
28SEP17	S	3	PD28DEC17	10460.00	11124.82	USD	-11,966.76	
28SEP17	S	2	PD28DEC17	10455.00	11124.82	USD	-8,037.84	
28SEP17	S	2	PD28DEC17	10490.00	11124.82	USD	-7,617.84	
28SEP17	S	1	PD28DEC17	10495.00	11124.82	USD	-3,778.92	
28SEP17	B	2	PD28DEC17	10485.00	11124.82	USD	7,677.84	
28SEP17	B	1	PD28DEC17	10440.00	11124.82	USD	4,108.92	
28SEP17	B	4	PD28DEC17	10445.00	11124.82	USD	16,315.68	
28SEP17	S	2	PD28DEC17	10465.00	11124.82	USD	-7,917.84	
28SEP17	S	4	PD28DEC17	10470.00	11124.82	USD	-15,715.68	
28SEP17	S	6	PD28DEC17	10480.00	11124.82	USD	-23,213.52	
28SEP17	S	1	PD28DEC17	10450.00	11124.82	USD	-4,048.92	
28SEP17	S	2	PD28DEC17	10475.00	11124.82	USD	-7,797.84	
28SEP17	B	2	PD28DEC17	10470.00	11124.82	USD	7,857.84	
28SEP17	B	3	PD28DEC17	10475.00	11124.82	USD	11,696.76	
28SEP17	S	4	PD28DEC17	10485.00	11124.82	USD	-15,355.68	
28SEP17	B	1	PD28DEC17	10450.00	11124.82	USD	4,048.92	
29SEP17	B	3	PD28DEC17	10499.79	11124.82	USD	11,250.54	
	NET	0 AVG BGHT 10351.31	SOLD 10349.14				-986.22	0.00
29SEP17	S	3	PD29DEC17	10515.00	11125.55	USD	-10,989.90	
29SEP17	S	1	PD29DEC17	10475.00	11125.55	USD	-3,903.30	
29SEP17	S	1	PD29DEC17	10480.00	11125.55	USD	-3,873.30	
29SEP17	B	5	PD29DEC17	10455.00	11125.55	USD	20,116.50	
29SEP17	B	2	PD29DEC17	10450.00	11125.55	USD	8,106.60	
29SEP17	B	2	PD29DEC17	10445.00	11125.55	USD	8,166.60	
29SEP17	B	1	PD29DEC17	10435.00	11125.55	USD	4,143.30	
29SEP17	S	1	PD29DEC17	10385.00	11125.55	USD	-4,443.30	
29SEP17	B	1	PD29DEC17	10430.00	11125.55	USD	4,173.30	
29SEP17	B	2	PD29DEC17	10480.00	11125.55	USD	7,746.60	
29SEP17	B	2	PD29DEC17	10475.00	11125.55	USD	7,806.60	
29SEP17	B	1	PD29DEC17	10470.00	11125.55	USD	3,933.30	
29SEP17	S	1	PD29DEC17	10490.00	11125.55	USD	-3,813.30	
29SEP17	S	2	PD29DEC17	10495.00	11125.55	USD	-7,566.60	
29SEP17	S	1	PD29DEC17	10500.00	11125.55	USD	-3,753.30	
29SEP17	S	1	PD29DEC17	10500.00	11125.55	USD	-3,753.30	
	NET	+5 AVG BGHT 10456.88	SOLD 10487.73				22,096.50	0.00
09OCT17	S	1	PD09JAN18	10715.00	11133.55	USD	-2,511.30	
09OCT17	B	1	PD09JAN18	10800.00	11133.55	USD	2,001.30	
09OCT17	S	1	PD09JAN18	10705.00	11133.55	USD	-2,571.30	
09OCT17	S	1	PD09JAN18	10720.00	11133.55	USD	-2,481.30	
09OCT17	B	1	PD09JAN18	10745.00	11133.55	USD	2,331.30	
09OCT17	B	2	PD09JAN18	10770.00	11133.55	USD	4,362.60	
09OCT17	B	1	PD09JAN18	10795.00	11133.55	USD	2,031.30	
09OCT17	B	1	PD09JAN18	10715.00	11133.55	USD	2,511.30	
09OCT17	B	2	PD09JAN18	10735.00	11133.55	USD	4,782.60	
09OCT17	S	2	PD09JAN18	10730.00	11133.55	USD	-4,842.60	
09OCT17	S	4	PD09JAN18	10700.00	11133.55	USD	-10,405.20	
09OCT17	S	2	PD09JAN18	10785.00	11133.55	USD	-4,182.60	
09OCT17	S	2	PD09JAN18	10710.00	11133.55	USD	-5,082.60	
09OCT17	S	3	PD09JAN18	10790.00	11133.55	USD	-6,183.90	

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09OCT17	S	1	PD09JAN18	10765.00	11133.55	USD	-2,211.30	
09OCT17	S	1	PD09JAN18	10770.00	11133.55	USD	-2,181.30	
09OCT17	S	1	PD09JAN18	10775.00	11133.55	USD	-2,151.30	
09OCT17	B	1	PD09JAN18	10760.00	11133.55	USD	2,241.30	
09OCT17	S	1	PD09JAN18	10790.00	11133.55	USD	-2,061.30	
09OCT17	B	1	PD09JAN18	10860.00	11133.55	USD	1,641.30	
09OCT17	B	1	PD09JAN18	10870.00	11133.55	USD	1,581.30	
09OCT17	B	1	PD09JAN18	10910.00	11133.55	USD	1,341.30	
09OCT17	B	1	PD09JAN18	10885.00	11133.55	USD	1,491.30	
09OCT17	B	1	PD09JAN18	10880.00	11133.55	USD	1,521.30	
09OCT17	B	1	PD09JAN18	10935.00	11133.55	USD	1,191.30	
09OCT17	S	1	PD09JAN18	10985.00	11133.55	USD	-891.30	
09OCT17	S	2	PD09JAN18	10970.00	11133.55	USD	-1,962.60	
09OCT17	S	1	PD09JAN18	10950.00	11133.55	USD	-1,101.30	
11OCT17	B	9	PD09JAN18	11134.55	11133.55	USD	-54.00	
	NET	0 AVG BGHT 10932.33	SOLD 10780.63				-21,845.70	0.00
10OCT17	B	3	PD10JAN18	10965.00	11134.27	USD	3,046.86	
10OCT17	B	3	PD10JAN18	10970.00	11134.27	USD	2,956.86	
10OCT17	B	4	PD10JAN18	10960.00	11134.27	USD	4,182.48	
10OCT17	B	1	PD10JAN18	10955.00	11134.27	USD	1,075.62	
10OCT17	B	1	PD10JAN18	10935.00	11134.27	USD	1,195.62	
10OCT17	B	3	PD10JAN18	10945.00	11134.27	USD	3,406.86	
10OCT17	B	1	PD10JAN18	10940.00	11134.27	USD	1,165.62	
10OCT17	B	2	PD10JAN18	10920.00	11134.27	USD	2,571.24	
10OCT17	B	4	PD10JAN18	10905.00	11134.27	USD	5,502.48	
10OCT17	B	5	PD10JAN18	10910.00	11134.27	USD	6,728.10	
10OCT17	B	12	PD10JAN18	10950.00	11134.27	USD	13,267.44	
10OCT17	S	1	PD10JAN18	10900.00	11134.27	USD	-1,405.62	
10OCT17	S	1	PD10JAN18	10920.00	11134.27	USD	-1,285.62	
10OCT17	S	1	PD10JAN18	10910.00	11134.27	USD	-1,345.62	
10OCT17	S	1	PD10JAN18	10915.00	11134.27	USD	-1,315.62	
10OCT17	S	1	PD10JAN18	10905.00	11134.27	USD	-1,375.62	
10OCT17	S	2	PD10JAN18	10880.00	11134.27	USD	-3,051.24	
10OCT17	S	5	PD10JAN18	10885.00	11134.27	USD	-7,478.10	
10OCT17	S	12	PD10JAN18	10875.00	11134.27	USD	-18,667.44	
10OCT17	S	1	PD10JAN18	10880.00	11134.27	USD	-1,525.62	
	NET	+14 AVG BGHT 10941.54	SOLD 10884.60				7,648.68	0.00
11OCT17	B	2	PD11JAN18	10955.00	11135.00	USD	2,160.00	
11OCT17	B	2	PD11JAN18	10995.00	11135.00	USD	1,680.00	
11OCT17	B	5	PD11JAN18	10985.00	11135.00	USD	4,500.00	
11OCT17	B	3	PD11JAN18	10975.00	11135.00	USD	2,880.00	
11OCT17	S	5	PD11JAN18	10940.00	11135.00	USD	-5,850.00	
11OCT17	S	5	PD11JAN18	10930.00	11135.00	USD	-6,150.00	
11OCT17	B	1	PD11JAN18	10965.00	11135.00	USD	1,020.00	
11OCT17	B	3	PD11JAN18	10980.00	11135.00	USD	2,790.00	
11OCT17	S	1	PD11JAN18	11010.00	11135.00	USD	-750.00	
11OCT17	S	4	PD11JAN18	10990.00	11135.00	USD	-3,480.00	
11OCT17	S	2	PD11JAN18	10965.00	11135.00	USD	-2,040.00	
11OCT17	B	2	PD11JAN18	11085.00	11135.00	USD	600.00	
11OCT17	B	2	PD11JAN18	11065.00	11135.00	USD	840.00	
11OCT17	B	2	PD11JAN18	11120.00	11135.00	USD	180.00	
11OCT17	B	2	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	B	2	PD11JAN18	11115.00	11135.00	USD	240.00	
11OCT17	B	6	PD11JAN18	11140.00	11135.00	USD	-180.00	
11OCT17	S	5	PD11JAN18	11155.00	11135.00	USD	600.00	
11OCT17	S	2	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	S	1	PD11JAN18	11140.00	11135.00	USD	30.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	S 4 PD11JAN18	11120.00	11135.00	USD	-360.00	
11OCT17	S 3 PD11JAN18	11010.00	11135.00	USD	-2,250.00	
11OCT17	S 1 PD11JAN18	11015.00	11135.00	USD	-720.00	
11OCT17	B 2 PD11JAN18	11205.00	11135.00	USD	-840.00	
11OCT17	S 7 PD11JAN18	11235.00	11135.00	USD	4,200.00	
11OCT17	B 1 PD11JAN18	11175.00	11135.00	USD	-240.00	
11OCT17	B 4 PD11JAN18	11160.00	11135.00	USD	-600.00	
11OCT17	B 1 PD11JAN18	11175.00	11135.00	USD	-240.00	
	NET 0AVG BGHT 11073.38 SOLD 11065.13				-1,980.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
20SEP17	S 5 DEC17	1727.0	1713.3	USD	3,425.00	
10OCT17	S 1 DEC17	1717.5	1713.3	USD	210.00	
	NET -6AVG SOLD 1725.4				3,635.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	S 1 PD20OCT17	20085.00	20986.00	USD	-4,505.00	
03AUG17	B 1 PD20OCT17	20679.17	20986.00	USD	1,534.15	
	NET 0AVG BGHT 20679.17 SOLD 20085.00				-2,970.85	0.00
01AUG17	S 1 PD01NOV17	20420.00	20970.00	USD	-2,750.00	
01AUG17	S 1 PD01NOV17	20430.00	20970.00	USD	-2,700.00	
03AUG17	B 1 PD01NOV17	20655.83	20970.00	USD	1,570.85	
22AUG17	B 1 PD01NOV17	20391.84	20970.00	USD	2,890.80	
	NET 0AVG BGHT 20523.84 SOLD 20425.00				-988.35	0.00
02AUG17	B 1 PD02NOV17	20460.00	20968.00	USD	2,540.00	
02AUG17	B 1 PD02NOV17	20455.00	20968.00	USD	2,565.00	
03AUG17	S 2 PD02NOV17	20651.92	20968.00	USD	-3,160.80	
	NET 0AVG BGHT 20457.50 SOLD 20651.92				1,944.20	0.00
03AUG17	B 1 PD03NOV17	20600.00	20966.00	USD	1,830.00	
22AUG17	S 1 PD03NOV17	20387.47	20966.00	USD	-2,892.65	
	NET 0AVG BGHT 20600.00 SOLD 20387.47				-1,062.65	0.00
08AUG17	B 1 PD08NOV17	20590.00	20960.00	USD	1,850.00	
08AUG17	S 1 PD08NOV17	20545.00	20960.00	USD	-2,075.00	
08AUG17	B 1 PD08NOV17	20340.00	20960.00	USD	3,100.00	
22AUG17	S 1 PD08NOV17	20383.42	20960.00	USD	-2,882.90	
	NET 0AVG BGHT 20465.00 SOLD 20464.21				-7.90	0.00
14AUG17	S 1 PD14NOV17	20335.00	20952.00	USD	-3,085.00	
22AUG17	B 1 PD14NOV17	20377.68	20952.00	USD	2,871.60	
	NET 0AVG BGHT 20377.68 SOLD 20335.00				-213.40	0.00
15AUG17	S 1 PD15NOV17	20310.00	20950.00	USD	-3,200.00	
15AUG17	B 1 PD15NOV17	20220.00	20950.00	USD	3,650.00	
15AUG17	B 1 PD15NOV17	20115.00	20950.00	USD	4,175.00	
15AUG17	B 1 PD15NOV17	19770.00	20950.00	USD	5,900.00	
15AUG17	S 1 PD15NOV17	19860.00	20950.00	USD	-5,450.00	
15AUG17	S 1 PD15NOV17	19935.00	20950.00	USD	-5,075.00	
15AUG17	B 1 PD15NOV17	19740.00	20950.00	USD	6,050.00	
11SEP17	S 1 PD15NOV17	20785.00	20950.00	USD	-825.00	
	NET 0AVG BGHT 19961.25 SOLD 20222.50				5,225.00	0.00
22AUG17	S 1 PD22NOV17	20420.00	20939.58	USD	-2,597.90	
11SEP17	B 1 PD22NOV17	20775.71	20939.58	USD	819.35	
	NET 0AVG BGHT 20775.71 SOLD 20420.00				-1,778.55	0.00
05SEP17	S 1 PD05DEC17	20710.00	20922.92	USD	-1,064.60	
11SEP17	B 1 PD05DEC17	20758.24	20922.92	USD	823.40	
	NET 0AVG BGHT 20758.24 SOLD 20710.00				-241.20	0.00

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SAP094AB

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	20770.00	20920.83	USD	-754.15	
06SEP17	S	1	PD06DEC17	20750.00	20920.83	USD	-854.15	
11SEP17	B	1	PD06DEC17	20756.18	20920.83	USD	823.25	
21SEP17	B	1	PD06DEC17	20480.83	20920.83	USD	2,200.00	
	NET	0 AVG	BGHT 20618.51				1,414.95	0.00
07SEP17	B	1	PD07DEC17	20755.00	20918.75	USD	818.75	
07SEP17	B	1	PD07DEC17	20735.00	20918.75	USD	918.75	
11SEP17	S	2	PD07DEC17	20753.12	20918.75	USD	-1,656.30	
	NET	0 AVG	BGHT 20745.00				81.20	0.00
08SEP17	B	1	PD08DEC17	20790.00	20916.67	USD	633.35	
08SEP17	S	1	PD08DEC17	20680.00	20916.67	USD	-1,183.35	
	NET	0 AVG	BGHT 20790.00				-550.00	0.00
11SEP17	S	1	PD11DEC17	20680.00	20914.58	USD	-1,172.90	
21SEP17	B	1	PD11DEC17	20474.58	20914.58	USD	2,200.00	
	NET	0 AVG	BGHT 20474.58				1,027.10	0.00
14SEP17	B	1	PD14DEC17	20680.00	20908.33	USD	1,141.65	
21SEP17	S	1	PD14DEC17	20467.33	20908.33	USD	-2,205.00	
	NET	0 AVG	BGHT 20680.00				-1,063.35	0.00
15SEP17	S	1	PD15DEC17	20515.00	20906.25	USD	-1,956.25	
15SEP17	S	1	PD15DEC17	20550.00	20906.25	USD	-1,781.25	
15SEP17	B	1	PD15DEC17	20485.00	20906.25	USD	2,106.25	
21SEP17	B	1	PD15DEC17	20466.25	20906.25	USD	2,200.00	
	NET	0 AVG	BGHT 20475.63				568.75	0.00
18SEP17	S	1	PD18DEC17	20635.00	20904.17	USD	-1,345.85	
21SEP17	B	1	PD18DEC17	20464.17	20904.17	USD	2,200.00	
	NET	0 AVG	BGHT 20464.17				854.15	0.00
19SEP17	B	1	PD19DEC17	20690.00	20902.08	USD	1,060.40	
21SEP17	S	1	PD19DEC17	20461.08	20902.08	USD	-2,205.00	
	NET	0 AVG	BGHT 20690.00				-1,144.60	0.00
20SEP17	B	1	PD20DEC17	20765.00	20900.00	USD	675.00	
20SEP17	B	1	PD20DEC17	20630.00	20900.00	USD	1,350.00	
21SEP17	S	2	PD20DEC17	20459.00	20900.00	USD	-4,410.00	
	NET	0 AVG	BGHT 20697.50				-2,385.00	0.00
21SEP17	S	1	PD21DEC17	20560.00	20897.69	USD	-1,688.45	
21SEP17	B	1	PD21DEC17	20500.00	20897.69	USD	1,988.45	
	NET	0 AVG	BGHT 20500.00				300.00	0.00
22SEP17	B	1	PD22DEC17	20365.00	20895.38	USD	2,651.90	
22SEP17	S	2	PD22DEC17	20470.00	20895.38	USD	-4,253.80	
22SEP17	S	1	PD22DEC17	20455.00	20895.38	USD	-2,201.90	
22SEP17	S	1	PD22DEC17	20440.00	20895.38	USD	-2,276.90	
22SEP17	B	1	PD22DEC17	20445.00	20895.38	USD	2,251.90	
22SEP17	S	1	PD22DEC17	20405.00	20895.38	USD	-2,451.90	
22SEP17	S	1	PD22DEC17	20575.00	20895.38	USD	-1,601.90	
	NET	-4 AVG	BGHT 20405.00				-7,882.60	0.00
25SEP17	S	1	PD27DEC17	20605.00	20893.08	USD	-1,440.40	
25SEP17	S	1	PD27DEC17	20610.00	20893.08	USD	-1,415.40	
25SEP17	B	1	PD27DEC17	20600.00	20893.08	USD	1,465.40	
25SEP17	B	1	PD27DEC17	20590.00	20893.08	USD	1,515.40	
25SEP17	B	1	PD27DEC17	20580.00	20893.08	USD	1,565.40	
25SEP17	S	1	PD27DEC17	20585.00	20893.08	USD	-1,540.40	
25SEP17	B	1	PD27DEC17	20630.00	20893.08	USD	1,315.40	
25SEP17	S	1	PD27DEC17	20685.00	20893.08	USD	-1,040.40	
25SEP17	S	1	PD27DEC17	20705.00	20893.08	USD	-940.40	
26SEP17	B	1	PD27DEC17	20720.00	20893.08	USD	865.40	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	20735.00	20893.08	USD	790.40	
26SEP17	B	1	PD27DEC17	20730.00	20893.08	USD	815.40	
26SEP17	S	1	PD27DEC17	20705.00	20893.08	USD	-940.40	
26SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
27SEP17	B	1	PD27DEC17	20720.00	20893.08	USD	865.40	
27SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
27SEP17	S	1	PD27DEC17	20685.00	20893.08	USD	-1,040.40	
27SEP17	S	1	PD27DEC17	20680.00	20893.08	USD	-1,065.40	
	NET	-2 AVG	BGHT 20663.13				-2,405.80	0.00
28SEP17	S	1	PD28DEC17	20625.00	20890.77	USD	-1,328.85	
28SEP17	B	1	PD28DEC17	20720.00	20890.77	USD	853.85	
	NET	0 AVG	BGHT 20720.00				-475.00	0.00
09OCT17	B	2	PD09JAN18	20690.00	20874.62	USD	1,846.20	
09OCT17	B	2	PD09JAN18	20680.00	20874.62	USD	1,946.20	
09OCT17	B	2	PD09JAN18	20650.00	20874.62	USD	2,246.20	
	NET	+6 AVG	BGHT 20673.33				6,038.60	0.00
11OCT17	S	1	PD11JAN18	20750.00	20870.00	USD	-600.00	
11OCT17	S	1	PD11JAN18	20810.00	20870.00	USD	-300.00	
	NET	-2 AVG	SOLD 20780.00				-900.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	2820.00	3260.67	USD	11,016.75	
27JUL17	B	2	PD27OCT17	2817.50	3260.67	USD	22,158.50	
27JUL17	B	2	PD27OCT17	2818.50	3260.67	USD	22,108.50	
27JUL17	B	1	PD27OCT17	2819.00	3260.67	USD	11,041.75	
27JUL17	B	1	PD27OCT17	2810.00	3260.67	USD	11,266.75	
27JUL17	B	1	PD27OCT17	2810.50	3260.67	USD	11,254.25	
27JUL17	B	2	PD27OCT17	2810.00	3260.67	USD	22,533.50	
27JUL17	S	1	PD27OCT17	2795.00	3260.67	USD	-11,641.75	
28JUL17	S	1	PD27OCT17	2790.50	3260.67	USD	-11,754.25	
28JUL17	S	1	PD27OCT17	2787.50	3260.67	USD	-11,829.25	
28JUL17	S	3	PD27OCT17	2788.00	3260.67	USD	-35,450.25	
28JUL17	B	2	PD27OCT17	2788.50	3260.67	USD	23,608.50	
28JUL17	B	3	PD27OCT17	2788.00	3260.67	USD	35,450.25	
03AUG17	S	5	PD27OCT17	2790.25	3260.67	USD	-58,802.50	
22AUG17	S	4	PD27OCT17	3116.94	3260.67	USD	-14,373.00	
	NET	0 AVG	BGHT 2806.17				26,587.75	0.00
31JUL17	B	1	PD31OCT17	2808.00	3257.33	USD	11,233.25	
31JUL17	B	3	PD31OCT17	2801.00	3257.33	USD	34,224.75	
31JUL17	S	2	PD31OCT17	2801.50	3257.33	USD	-22,791.50	
31JUL17	S	1	PD31OCT17	2799.50	3257.33	USD	-11,445.75	
22AUG17	S	1	PD31OCT17	3117.48	3257.33	USD	-3,496.25	
	NET	0 AVG	BGHT 2802.75				7,724.50	0.00
01AUG17	S	1	PD01NOV17	2799.00	3255.67	USD	-11,416.75	
01AUG17	S	2	PD01NOV17	2782.00	3255.67	USD	-23,683.50	
01AUG17	S	1	PD01NOV17	2772.50	3255.67	USD	-12,079.25	
01AUG17	S	1	PD01NOV17	2773.00	3255.67	USD	-12,066.75	
03AUG17	B	5	PD01NOV17	2791.70	3255.67	USD	57,996.25	
	NET	0 AVG	BGHT 2791.70				-1,250.00	0.00
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
04AUG17	B	1	PD03NOV17	2831.00	3252.89	USD	10,547.25	
04AUG17	S	1	PD03NOV17	2817.50	3252.89	USD	-10,884.75	
04AUG17	B	1	PD03NOV17	2808.00	3252.89	USD	11,122.25	
04AUG17	S	1	PD03NOV17	2814.50	3252.89	USD	-10,959.75	
11SEP17	S	1	PD03NOV17	3079.87	3252.89	USD	-4,325.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2811.00	SOLD	2903.96		6,971.75	0.00
07AUG17	S	1	PD07NOV17		2805.00	3250.67	USD	-11,141.75	
07AUG17	S	1	PD07NOV17		2805.50	3250.67	USD	-11,129.25	
07AUG17	S	1	PD07NOV17		2801.50	3250.67	USD	-11,229.25	
07AUG17	S	1	PD07NOV17		2802.00	3250.67	USD	-11,216.75	
07AUG17	S	1	PD07NOV17		2797.00	3250.67	USD	-11,341.75	
22AUG17	B	5	PD07NOV17		3118.62	3250.67	USD	16,506.25	
	NET	0 AVG	BGHT	3118.62	SOLD	2802.20		-39,552.50	0.00
11AUG17	B	1	PD10NOV17		2922.50	3247.33	USD	8,120.75	
11AUG17	B	1	PD10NOV17		2922.00	3247.33	USD	8,133.25	
11AUG17	B	1	PD10NOV17		2921.50	3247.33	USD	8,145.75	
11AUG17	B	1	PD10NOV17		2919.00	3247.33	USD	8,208.25	
11AUG17	B	1	PD10NOV17		2920.50	3247.33	USD	8,170.75	
11AUG17	B	3	PD10NOV17		2923.00	3247.33	USD	24,324.75	
11AUG17	S	2	PD10NOV17		2907.00	3247.33	USD	-17,016.50	
11SEP17	S	6	PD10NOV17		3080.43	3247.33	USD	-25,035.00	
	NET	0 AVG	BGHT	2921.81	SOLD	3037.07		23,052.00	0.00
16AUG17	B	1	PD16NOV17		3039.50	3241.50	USD	5,050.00	
16AUG17	B	3	PD16NOV17		3039.00	3241.50	USD	15,187.50	
16AUG17	B	2	PD16NOV17		3042.00	3241.50	USD	9,975.00	
16AUG17	B	1	PD16NOV17		3044.00	3241.50	USD	4,937.50	
16AUG17	B	1	PD16NOV17		3044.50	3241.50	USD	4,925.00	
16AUG17	B	1	PD16NOV17		3096.00	3241.50	USD	3,637.50	
16AUG17	B	2	PD16NOV17		3042.00	3241.50	USD	9,975.00	
16AUG17	B	1	PD16NOV17		3107.00	3241.50	USD	3,362.50	
16AUG17	S	1	PD16NOV17		3125.50	3241.50	USD	-2,900.00	
11SEP17	S	11	PD16NOV17		3078.50	3241.50	USD	-44,825.00	
	NET	0 AVG	BGHT	3051.33	SOLD	3082.42		9,325.00	0.00
17AUG17	B	1	PD17NOV17		3094.00	3239.00	USD	3,625.00	
17AUG17	B	1	PD17NOV17		3096.50	3239.00	USD	3,562.50	
17AUG17	B	2	PD17NOV17		3097.00	3239.00	USD	7,100.00	
17AUG17	S	1	PD17NOV17		3096.50	3239.00	USD	-3,562.50	
17AUG17	B	1	PD17NOV17		3106.00	3239.00	USD	3,325.00	
17AUG17	B	1	PD17NOV17		3087.50	3239.00	USD	3,787.50	
17AUG17	B	4	PD17NOV17		3084.50	3239.00	USD	15,450.00	
17AUG17	B	1	PD17NOV17		3084.00	3239.00	USD	3,875.00	
17AUG17	S	1	PD17NOV17		3077.00	3239.00	USD	-4,050.00	
17AUG17	S	1	PD17NOV17		3076.00	3239.00	USD	-4,075.00	
17AUG17	B	1	PD17NOV17		3097.00	3239.00	USD	3,550.00	
17AUG17	B	1	PD17NOV17		3084.00	3239.00	USD	3,875.00	
17AUG17	B	1	PD17NOV17		3086.50	3239.00	USD	3,812.50	
11SEP17	S	11	PD17NOV17		3078.68	3239.00	USD	-44,088.00	
	NET	0 AVG	BGHT	3090.54	SOLD	3079.64		-3,813.00	0.00
22AUG17	S	1	PD22NOV17		3132.00	3236.00	USD	-2,600.00	
11SEP17	B	1	PD22NOV17		3079.78	3236.00	USD	3,905.50	
	NET	0 AVG	BGHT	3079.78	SOLD	3132.00		1,305.50	0.00
24AUG17	B	3	PD24NOV17		3119.50	3235.00	USD	8,662.50	
24AUG17	B	3	PD24NOV17		3119.00	3235.00	USD	8,700.00	
25AUG17	B	3	PD24NOV17		3118.50	3235.00	USD	8,737.50	
25AUG17	S	3	PD24NOV17		3080.50	3235.00	USD	-11,587.50	
25AUG17	S	3	PD24NOV17		3075.00	3235.00	USD	-12,000.00	
25AUG17	S	3	PD24NOV17		3073.50	3235.00	USD	-12,112.50	
25AUG17	S	1	PD24NOV17		3068.00	3235.00	USD	-4,175.00	
11SEP17	B	1	PD24NOV17		3080.14	3235.00	USD	3,871.50	
	NET	0 AVG	BGHT	3115.11	SOLD	3075.50		-9,903.50	0.00

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29AUG17	S	3	PD29NOV17	3108.50	3234.00	USD	-9,412.50	
29AUG17	S	6	PD29NOV17	3108.00	3234.00	USD	-18,900.00	
29AUG17	S	1	PD29NOV17	3109.00	3234.00	USD	-3,125.00	
05SEP17	B	10	PD29NOV17	3139.52	3234.00	USD	23,620.00	
	NET	0 AVG BGHT 3139.52	SOLD 3108.25				-7,817.50	0.00
01SEP17	B	4	PD01DEC17	3167.00	3233.33	USD	6,633.00	
01SEP17	B	4	PD01DEC17	3173.50	3233.33	USD	5,983.00	
01SEP17	B	2	PD01DEC17	3183.00	3233.33	USD	2,516.50	
11SEP17	S	10	PD01DEC17	3081.20	3233.33	USD	-38,032.50	
	NET	0 AVG BGHT 3172.80	SOLD 3081.20				-22,900.00	0.00
04SEP17	B	2	PD04DEC17	3190.50	3233.00	USD	2,125.00	
04SEP17	B	1	PD04DEC17	3189.00	3233.00	USD	1,100.00	
04SEP17	B	3	PD04DEC17	3190.00	3233.00	USD	3,225.00	
04SEP17	B	2	PD04DEC17	3194.00	3233.00	USD	1,950.00	
04SEP17	B	2	PD04DEC17	3200.00	3233.00	USD	1,650.00	
04SEP17	B	5	PD04DEC17	3199.00	3233.00	USD	4,250.00	
04SEP17	B	3	PD04DEC17	3212.00	3233.00	USD	1,575.00	
04SEP17	B	3	PD04DEC17	3211.50	3233.00	USD	1,612.50	
04SEP17	B	3	PD04DEC17	3209.50	3233.00	USD	1,762.50	
04SEP17	B	6	PD04DEC17	3210.50	3233.00	USD	3,375.00	
11SEP17	S	30	PD04DEC17	3081.74	3233.00	USD	-113,445.00	
	NET	0 AVG BGHT 3202.83	SOLD 3081.74				-90,820.00	0.00
06SEP17	B	1	PD06DEC17	3088.50	3232.33	USD	3,595.75	
06SEP17	B	1	PD06DEC17	3088.00	3232.33	USD	3,608.25	
19SEP17	S	2	PD06DEC17	3103.93	3232.33	USD	-6,420.00	
	NET	0 AVG BGHT 3088.25	SOLD 3103.93				784.00	0.00
07SEP17	S	4	PD07DEC17	3108.50	3232.00	USD	-12,350.00	
07SEP17	S	4	PD07DEC17	3110.50	3232.00	USD	-12,150.00	
07SEP17	S	1	PD07DEC17	3113.50	3232.00	USD	-2,962.50	
07SEP17	S	1	PD07DEC17	3112.00	3232.00	USD	-3,000.00	
07SEP17	S	5	PD07DEC17	3118.00	3232.00	USD	-14,250.00	
07SEP17	S	5	PD07DEC17	3115.50	3232.00	USD	-14,562.50	
07SEP17	S	2	PD07DEC17	3122.50	3232.00	USD	-5,475.00	
07SEP17	S	4	PD07DEC17	3122.00	3232.00	USD	-11,000.00	
07SEP17	S	2	PD07DEC17	3123.00	3232.00	USD	-5,450.00	
07SEP17	S	2	PD07DEC17	3123.00	3232.00	USD	-5,450.00	
07SEP17	S	4	PD07DEC17	3125.00	3232.00	USD	-10,700.00	
07SEP17	S	6	PD07DEC17	3124.50	3232.00	USD	-16,125.00	
07SEP17	S	4	PD07DEC17	3124.00	3232.00	USD	-10,800.00	
07SEP17	S	1	PD07DEC17	3132.50	3232.00	USD	-2,487.50	
07SEP17	S	6	PD07DEC17	3133.00	3232.00	USD	-14,850.00	
07SEP17	S	3	PD07DEC17	3133.50	3232.00	USD	-7,387.50	
07SEP17	S	2	PD07DEC17	3134.00	3232.00	USD	-4,900.00	
07SEP17	S	1	PD07DEC17	3134.00	3232.00	USD	-2,450.00	
11SEP17	B	57	PD07DEC17	3082.48	3232.00	USD	213,066.00	
	NET	0 AVG BGHT 3082.48	SOLD 3122.28				56,716.00	0.00
08SEP17	S	8	PD08DEC17	3103.50	3231.67	USD	-25,634.00	
08SEP17	S	1	PD08DEC17	3104.00	3231.67	USD	-3,191.75	
08SEP17	S	1	PD08DEC17	3103.50	3231.67	USD	-3,204.25	
11SEP17	B	10	PD08DEC17	3082.66	3231.67	USD	37,252.50	
	NET	0 AVG BGHT 3082.66	SOLD 3103.55				5,222.50	0.00
12SEP17	B	3	PD12DEC17	3082.50	3231.00	USD	11,137.50	
12SEP17	B	2	PD12DEC17	3088.00	3231.00	USD	7,150.00	
12SEP17	B	2	PD12DEC17	3088.50	3231.00	USD	7,125.00	
12SEP17	B	2	PD12DEC17	3082.50	3231.00	USD	7,425.00	

OPEN POSITION STATEMENT



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SAP094AB

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12SEP17	B	1	PD12DEC17		3082.00	3231.00	USD	3,725.00	
19SEP17	S	10	PD12DEC17		3105.21	3231.00	USD	-31,447.50	
	NET	0AVG	BGHT	3084.75				5,115.00	0.00
				SOLD	3105.21				
14SEP17	B	1	PD14DEC17		3016.00	3230.33	USD	5,358.25	
14SEP17	B	4	PD14DEC17		3016.50	3230.33	USD	21,383.00	
14SEP17	B	1	PD14DEC17		3017.50	3230.33	USD	5,320.75	
14SEP17	B	1	PD14DEC17		3005.00	3230.33	USD	5,633.25	
14SEP17	B	2	PD14DEC17		3013.50	3230.33	USD	10,841.50	
14SEP17	B	8	PD14DEC17		3013.00	3230.33	USD	43,466.00	
14SEP17	B	3	PD14DEC17		3012.50	3230.33	USD	16,337.25	
14SEP17	B	11	PD14DEC17		3014.50	3230.33	USD	59,353.25	
14SEP17	B	1	PD14DEC17		3019.00	3230.33	USD	5,283.25	
14SEP17	B	1	PD14DEC17		3006.50	3230.33	USD	5,595.75	
19SEP17	S	1	PD14DEC17		3105.64	3230.33	USD	-3,117.25	
21SEP17	S	10	PD14DEC17		3100.43	3230.33	USD	-32,475.00	
25SEP17	S	22	PD14DEC17		3100.79	3230.33	USD	-71,247.00	
	NET	0AVG	BGHT	3013.88				71,733.00	0.00
				SOLD	3100.83				
15SEP17	B	2	PD15DEC17		3032.00	3230.00	USD	9,900.00	
15SEP17	B	2	PD15DEC17		3030.50	3230.00	USD	9,975.00	
15SEP17	B	6	PD15DEC17		3037.00	3230.00	USD	28,950.00	
15SEP17	B	4	PD15DEC17		3040.00	3230.00	USD	19,000.00	
15SEP17	S	7	PD15DEC17		3045.50	3230.00	USD	-32,287.50	
15SEP17	S	6	PD15DEC17		3039.50	3230.00	USD	-28,575.00	
15SEP17	S	7	PD15DEC17		3032.00	3230.00	USD	-34,650.00	
15SEP17	B	1	PD15DEC17		3032.00	3230.00	USD	4,950.00	
15SEP17	B	5	PD15DEC17		3032.50	3230.00	USD	24,687.50	
15SEP17	S	2	PD15DEC17		3015.50	3230.00	USD	-10,725.00	
15SEP17	S	4	PD15DEC17		3008.00	3230.00	USD	-22,200.00	
15SEP17	S	4	PD15DEC17		3008.50	3230.00	USD	-22,150.00	
15SEP17	S	2	PD15DEC17		3007.50	3230.00	USD	-11,125.00	
15SEP17	S	1	PD15DEC17		3006.00	3230.00	USD	-5,600.00	
15SEP17	S	1	PD15DEC17		3016.00	3230.00	USD	-5,350.00	
15SEP17	S	5	PD15DEC17		3000.50	3230.00	USD	-28,687.50	
15SEP17	S	5	PD15DEC17		2993.50	3230.00	USD	-29,562.50	
15SEP17	B	1	PD15DEC17		3027.00	3230.00	USD	5,075.00	
15SEP17	B	2	PD15DEC17		3029.50	3230.00	USD	10,025.00	
15SEP17	B	4	PD15DEC17		3030.00	3230.00	USD	20,000.00	
15SEP17	B	2	PD15DEC17		3028.50	3230.00	USD	10,075.00	
15SEP17	B	1	PD15DEC17		3031.00	3230.00	USD	4,975.00	
15SEP17	B	1	PD15DEC17		3029.00	3230.00	USD	5,025.00	
19SEP17	B	13	PD15DEC17		3106.06	3230.00	USD	40,280.50	
	NET	0AVG	BGHT	3054.62				-37,994.50	0.00
				SOLD	3020.08				
18SEP17	B	10	PD18DEC17		3060.00	3229.67	USD	42,417.50	
18SEP17	B	2	PD18DEC17		3100.50	3229.67	USD	6,458.50	
18SEP17	B	2	PD18DEC17		3099.50	3229.67	USD	6,508.50	
18SEP17	B	2	PD18DEC17		3095.00	3229.67	USD	6,733.50	
18SEP17	B	2	PD18DEC17		3098.00	3229.67	USD	6,583.50	
25SEP17	S	18	PD18DEC17		3101.26	3229.67	USD	-57,784.50	
	NET	0AVG	BGHT	3077.00				10,917.00	0.00
				SOLD	3101.26				
19SEP17	S	4	PD19DEC17		3111.00	3229.33	USD	-11,833.00	
19SEP17	S	4	PD19DEC17		3112.00	3229.33	USD	-11,733.00	
19SEP17	B	2	PD19DEC17		3085.00	3229.33	USD	7,216.50	
19SEP17	B	9	PD19DEC17		3086.00	3229.33	USD	32,249.25	
19SEP17	B	6	PD19DEC17		3086.50	3229.33	USD	21,424.50	
19SEP17	B	4	PD19DEC17		3087.00	3229.33	USD	14,233.00	
19SEP17	B	5	PD19DEC17		3088.50	3229.33	USD	17,603.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	8	PD19DEC17	3085.50	3229.33	USD	28,766.00	
19SEP17	B	2	PD19DEC17	3084.00	3229.33	USD	7,266.50	
19SEP17	B	2	PD19DEC17	3080.50	3229.33	USD	7,441.50	
19SEP17	B	1	PD19DEC17	3081.00	3229.33	USD	3,708.25	
19SEP17	B	4	PD19DEC17	3079.00	3229.33	USD	15,033.00	
19SEP17	B	6	PD19DEC17	3077.50	3229.33	USD	22,774.50	
19SEP17	B	2	PD19DEC17	3105.50	3229.33	USD	6,191.50	
19SEP17	B	4	PD19DEC17	3106.50	3229.33	USD	12,283.00	
19SEP17	B	4	PD19DEC17	3107.00	3229.33	USD	12,233.00	
19SEP17	B	1	PD19DEC17	3109.00	3229.33	USD	3,008.25	
19SEP17	B	3	PD19DEC17	3110.00	3229.33	USD	8,949.75	
19SEP17	B	6	PD19DEC17	3109.50	3229.33	USD	17,974.50	
19SEP17	B	2	PD19DEC17	3108.00	3229.33	USD	6,066.50	
19SEP17	B	8	PD19DEC17	3108.50	3229.33	USD	24,166.00	
19SEP17	B	1	PD19DEC17	3085.50	3229.33	USD	3,595.75	
25SEP17	S	30	PD19DEC17	3101.38	3229.33	USD	-95,962.50	
28SEP17	S	9	PD19DEC17	3151.00	3229.33	USD	-17,624.25	
29SEP17	S	33	PD19DEC17	3165.94	3229.33	USD	-52,296.75	
	NET	0AVG BGHT 3093.24	SOLD 3134.61				82,735.50	0.00
20SEP17	B	4	PD20DEC17	3123.50	3229.00	USD	10,550.00	
20SEP17	B	4	PD20DEC17	3125.00	3229.00	USD	10,400.00	
20SEP17	B	2	PD20DEC17	3126.50	3229.00	USD	5,125.00	
20SEP17	S	3	PD20DEC17	3138.00	3229.00	USD	-6,825.00	
20SEP17	S	6	PD20DEC17	3137.00	3229.00	USD	-13,800.00	
20SEP17	S	2	PD20DEC17	3127.50	3229.00	USD	-5,075.00	
20SEP17	S	2	PD20DEC17	3128.00	3229.00	USD	-5,050.00	
20SEP17	S	2	PD20DEC17	3130.00	3229.00	USD	-4,950.00	
20SEP17	S	2	PD20DEC17	3131.00	3229.00	USD	-4,900.00	
20SEP17	S	2	PD20DEC17	3133.50	3229.00	USD	-4,775.00	
20SEP17	S	1	PD20DEC17	3137.00	3229.00	USD	-2,300.00	
21SEP17	B	10	PD20DEC17	3102.20	3229.00	USD	31,700.00	
	NET	0AVG BGHT 3113.45	SOLD 3133.65				10,100.00	0.00
21SEP17	S	1	PD21DEC17	3088.50	3225.00	USD	-3,412.50	
21SEP17	S	4	PD21DEC17	3062.00	3225.00	USD	-16,300.00	
21SEP17	S	2	PD21DEC17	3059.50	3225.00	USD	-8,275.00	
21SEP17	S	1	PD21DEC17	3058.50	3225.00	USD	-4,162.50	
21SEP17	S	3	PD21DEC17	3057.50	3225.00	USD	-12,562.50	
21SEP17	B	5	PD21DEC17	3035.50	3225.00	USD	23,687.50	
21SEP17	B	5	PD21DEC17	3036.00	3225.00	USD	23,625.00	
21SEP17	S	1	PD21DEC17	3036.00	3225.00	USD	-4,725.00	
29SEP17	B	2	PD21DEC17	3162.95	3225.00	USD	3,102.50	
	NET	0AVG BGHT 3056.95	SOLD 3060.21				977.50	0.00
22SEP17	B	1	PD22DEC17	3039.00	3224.50	USD	4,637.50	
22SEP17	B	1	PD22DEC17	3036.50	3224.50	USD	4,700.00	
22SEP17	S	1	PD22DEC17	3038.00	3224.50	USD	-4,662.50	
22SEP17	S	3	PD22DEC17	3036.50	3224.50	USD	-14,100.00	
22SEP17	S	4	PD22DEC17	3028.00	3224.50	USD	-19,650.00	
22SEP17	S	2	PD22DEC17	3026.50	3224.50	USD	-9,900.00	
22SEP17	S	3	PD22DEC17	3052.00	3224.50	USD	-12,937.50	
22SEP17	S	4	PD22DEC17	3055.50	3224.50	USD	-16,900.00	
22SEP17	S	10	PD22DEC17	3051.00	3224.50	USD	-43,375.00	
22SEP17	S	5	PD22DEC17	3053.00	3224.50	USD	-21,437.50	
22SEP17	S	9	PD22DEC17	3054.00	3224.50	USD	-38,362.50	
22SEP17	S	4	PD22DEC17	3054.50	3224.50	USD	-17,000.00	
22SEP17	S	7	PD22DEC17	3052.50	3224.50	USD	-30,100.00	
22SEP17	S	10	PD22DEC17	3053.50	3224.50	USD	-42,750.00	

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22SEP17	S	6	PD22DEC17	3055.00	3224.50	USD	-25,425.00	
22SEP17	S	17	PD22DEC17	3056.00	3224.50	USD	-71,612.50	
22SEP17	S	5	PD22DEC17	3046.00	3224.50	USD	-22,312.50	
22SEP17	S	2	PD22DEC17	3039.50	3224.50	USD	-9,250.00	
22SEP17	S	1	PD22DEC17	3021.50	3224.50	USD	-5,075.00	
22SEP17	B	1	PD22DEC17	3074.50	3224.50	USD	3,750.00	
25SEP17	B	70	PD22DEC17	3097.70	3224.50	USD	221,900.00	
29SEP17	B	20	PD22DEC17	3162.76	3224.50	USD	30,870.00	
	NET	0 AVG BGHT 3110.15	SOLD 3050.37				-138,992.50	0.00
25SEP17	S	1	PD27DEC17	3086.50	3224.00	USD	-3,437.50	
25SEP17	S	1	PD27DEC17	3092.00	3224.00	USD	-3,300.00	
26SEP17	S	1	PD27DEC17	3125.00	3224.00	USD	-2,475.00	
26SEP17	S	1	PD27DEC17	3112.50	3224.00	USD	-2,787.50	
26SEP17	S	1	PD27DEC17	3109.00	3224.00	USD	-2,875.00	
27SEP17	B	1	PD27DEC17	3105.50	3224.00	USD	2,962.50	
27SEP17	S	1	PD27DEC17	3120.00	3224.00	USD	-2,600.00	
27SEP17	S	1	PD27DEC17	3115.50	3224.00	USD	-2,712.50	
27SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
27SEP17	S	1	PD27DEC17	3117.50	3224.00	USD	-2,662.50	
27SEP17	S	1	PD27DEC17	3107.00	3224.00	USD	-2,925.00	
28SEP17	B	9	PD27DEC17	3147.45	3224.00	USD	17,223.75	
	NET	0 AVG BGHT 3143.26	SOLD 3109.80				-8,363.75	0.00
28SEP17	S	1	PD28DEC17	3112.00	3223.50	USD	-2,787.50	
28SEP17	B	1	PD28DEC17	3137.50	3223.50	USD	2,150.00	
28SEP17	B	1	PD28DEC17	3139.00	3223.50	USD	2,112.50	
28SEP17	S	1	PD28DEC17	3142.00	3223.50	USD	-2,037.50	
28SEP17	S	4	PD28DEC17	3144.00	3223.50	USD	-7,950.00	
28SEP17	S	1	PD28DEC17	3143.50	3223.50	USD	-2,000.00	
28SEP17	S	1	PD28DEC17	3141.00	3223.50	USD	-2,062.50	
28SEP17	S	1	PD28DEC17	3140.00	3223.50	USD	-2,087.50	
28SEP17	B	1	PD28DEC17	3149.50	3223.50	USD	1,850.00	
28SEP17	S	4	PD28DEC17	3143.00	3223.50	USD	-8,050.00	
28SEP17	S	1	PD28DEC17	3153.50	3223.50	USD	-1,750.00	
29SEP17	B	11	PD28DEC17	3162.39	3223.50	USD	16,805.25	
	NET	0 AVG BGHT 3158.02	SOLD 3141.43				-5,807.25	0.00
29SEP17	B	1	PD29DEC17	3162.00	3223.00	USD	1,525.00	
29SEP17	B	1	PD29DEC17	3156.50	3223.00	USD	1,662.50	
29SEP17	B	1	PD29DEC17	3162.50	3223.00	USD	1,512.50	
29SEP17	B	1	PD29DEC17	3164.00	3223.00	USD	1,475.00	
	NET	+4 AVG BGHT 3161.25					6,175.00	0.00
09OCT17	S	2	PD09JAN18	3264.50	3220.00	USD	2,225.00	
09OCT17	S	2	PD09JAN18	3263.00	3220.00	USD	2,150.00	
09OCT17	B	2	PD09JAN18	3259.00	3220.00	USD	-1,950.00	
09OCT17	B	2	PD09JAN18	3268.00	3220.00	USD	-2,400.00	
09OCT17	S	1	PD09JAN18	3267.50	3220.00	USD	1,187.50	
09OCT17	S	2	PD09JAN18	3260.50	3220.00	USD	2,025.00	
09OCT17	S	1	PD09JAN18	3266.50	3220.00	USD	1,162.50	
09OCT17	B	2	PD09JAN18	3266.50	3220.00	USD	-2,325.00	
09OCT17	B	4	PD09JAN18	3267.00	3220.00	USD	-4,700.00	
	NET	+2 AVG BGHT 3265.50	SOLD 3263.75				-2,625.00	0.00
11OCT17	S	2	PD11JAN18	3216.00	3219.00	USD	-150.00	
11OCT17	S	3	PD11JAN18	3211.50	3219.00	USD	-562.50	
11OCT17	S	7	PD11JAN18	3212.00	3219.00	USD	-1,225.00	
11OCT17	B	4	PD11JAN18	3221.50	3219.00	USD	-250.00	
11OCT17	B	4	PD11JAN18	3218.50	3219.00	USD	50.00	
	NET	-4 AVG BGHT 3220.00	SOLD 3212.54				-2,137.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	213,201.75
LME Copper Grade A 25	FUTURES	-6,084,294.25
CMX Gold	FUTURES	-643,410.00
LME Lead 25 tonnes. This is an	FUTURES	409,069.25
LME Nickel 6 tonnes. This is	FUTURES	-3,076,436.22
CMX Silver	FUTURES	517,625.00
LME Tin 5 tonnes. This is an	FUTURES	202,684.50
LME Zinc 25 tonnes. This is	FUTURES	-207,935.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	1,040.00	1,040.00		
SILV - Futures USD	3,635.00	3,635.00	4,675.00	4,675.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	426,163.75	426,163.75		
COPL - Futures USD	-134,329.50	-134,329.50		
LEAD - Futures USD	-167,783.25	-167,783.25		
NKL - Futures USD	-139,422.90	-139,422.90		
TIN - Futures USD	-6,615.30	-6,615.30		
ZINC - Futures USD	-46,535.00	-46,535.00	-68,522.20	-68,522.20

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	116,697.99	116,697.99
- Options	4,675.00	4,675.00
	0.00	0.00
TOTAL EQUITY	121,372.99	121,372.99
Initial Margins	-907,471.20	-907,471.20
Excess/(Shortage)	-786,098.21	-786,098.21
FOR INFORMATION ONLY		
Forward Unrealised P&L	-68,522.20	-68,522.20
Net Liquidating Value	52,850.79	52,850.79

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ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	553.00	-64,191.24	-63,461.89
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	553.00	-64,191.24	-63,461.89
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	553.00	-64,191.24	-63,461.89
FOR INFORMATION ONLY			
Net Liquidating Value	553.00	-64,191.24	-63,461.89

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ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	7,005.00	-249,273.83	-240,034.94
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	7,005.00	-249,273.83	-240,034.94
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	7,005.00	-249,273.83	-240,034.94
FOR INFORMATION ONLY			
Net Liquidating Value	7,005.00	-249,273.83	-240,034.94

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AE

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-460.00	-460.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-460.00	-460.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-460.00	-460.00
FOR INFORMATION ONLY		
Net Liquidating Value	-460.00	-460.00

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(112.27000)	(1.00000)	(1.00000)
CASH BALANCE	-100	0.90	0.01
Variation Margins	0	0.00	0.00
- Futures	0	0.00	0.00
- Options	0	0.00	0.00
TOTAL EQUITY	-100	0.90	0.01
Initial Margins	0	0.00	0.00
Excess/(Shortage)	-100	0.90	0.01
FOR INFORMATION ONLY			
Net Liquidating Value	-100	0.90	0.01

OPEN POSITION STATEMENT



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SAP094AG

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-320.00	-320.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-320.00	-320.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-320.00	-320.00
FOR INFORMATION ONLY		
Net Liquidating Value	-320.00	-320.00

OPEN POSITION STATEMENT



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SAP094AH

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-352.50	-352.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-352.50	-352.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-352.50	-352.50
FOR INFORMATION ONLY		
Net Liquidating Value	-352.50	-352.50

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>					
05OCT17	B 1 NOV17	2.93300	2.88900	USD	-440.00	
06OCT17	B 1 NOV17	2.90300	2.88900	USD	-140.00	
09OCT17	B 1 NOV17	2.87300	2.88900	USD	160.00	
09OCT17	B 2 NOV17	2.85900	2.88900	USD	600.00	
	NET +5 AVG BGHT 2.88540				180.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME</u>					
	<u>REGISTERED CLIENT CONTRACT</u>					
09OCT17	B 1 PD09JAN18	3243.00	3220.00	USD	-575.00	
09OCT17	S 1 PD09JAN18	3245.00	3220.00	USD	625.00	
	NET 0 AVG BGHT 3243.00 SOLD 3245.00				50.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
NYM Henry Hub Natural Gas	FUTURES	-144,270.00
LME Zinc 25 tonnes. This is	FUTURES	50.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
HGAS - Futures USD	180.00	180.00	180.00	180.00
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	50.00	50.00	50.00	50.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	21,415.45	21,415.45
- Options	180.00	180.00
	0.00	0.00
TOTAL EQUITY	21,595.45	21,595.45
Initial Margins	-9,900.00	-9,900.00
Excess/(Shortage)	11,695.45	11,695.45
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	50.00	50.00
Net Liquidating Value	21,645.45	21,645.45

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
01SEP17	S	1	PD01DEC17	2140.50	2121.19	USD	482.75	
01SEP17	S	1	PD01DEC17	2141.00	2121.19	USD	495.25	
10OCT17	B	2	PD01DEC17	2154.19	2121.19	USD	-1,650.00	
	NET	0 AVG BGHT 2154.19	SOLD 2140.75				-672.00	0.00
06SEP17	B	1	PD06DEC17	2077.00	2122.74	USD	1,143.50	
10OCT17	S	1	PD06DEC17	2155.54	2122.74	USD	820.00	
	NET	0 AVG BGHT 2077.00	SOLD 2155.54				1,963.50	0.00
07SEP17	B	1	PD07DEC17	2094.00	2123.04	USD	726.00	
07SEP17	B	1	PD07DEC17	2092.50	2123.04	USD	763.50	
07SEP17	S	1	PD07DEC17	2112.00	2123.04	USD	-276.00	
10OCT17	S	1	PD07DEC17	2155.84	2123.04	USD	820.00	
	NET	0 AVG BGHT 2093.25	SOLD 2133.92				2,033.50	0.00
12SEP17	B	1	PD12DEC17	2120.00	2124.59	USD	114.75	
12SEP17	S	1	PD12DEC17	2119.50	2124.59	USD	-127.25	
12SEP17	S	1	PD12DEC17	2122.00	2124.59	USD	-64.75	
10OCT17	B	1	PD12DEC17	2157.59	2124.59	USD	-825.00	
	NET	0 AVG BGHT 2138.80	SOLD 2120.75				-902.25	0.00
14SEP17	B	1	PD14DEC17	2082.50	2125.21	USD	1,067.75	
10OCT17	S	1	PD14DEC17	2158.01	2125.21	USD	820.00	
	NET	0 AVG BGHT 2082.50	SOLD 2158.01				1,887.75	0.00
15SEP17	B	1	PD15DEC17	2086.50	2125.51	USD	975.25	
15SEP17	S	1	PD15DEC17	2101.00	2125.51	USD	-612.75	
15SEP17	B	1	PD15DEC17	2079.00	2125.51	USD	1,162.75	
15SEP17	S	1	PD15DEC17	2089.00	2125.51	USD	-912.75	
	NET	0 AVG BGHT 2082.75	SOLD 2095.00				612.50	0.00
18SEP17	B	1	PD18DEC17	2097.50	2126.44	USD	723.50	
18SEP17	S	1	PD18DEC17	2105.00	2126.44	USD	-536.00	
	NET	0 AVG BGHT 2097.50	SOLD 2105.00				187.50	0.00
22SEP17	S	1	PD22DEC17	2133.50	2126.75	USD	168.75	
22SEP17	S	1	PD22DEC17	2162.00	2126.75	USD	881.25	
10OCT17	B	2	PD22DEC17	2159.75	2126.75	USD	-1,650.00	
	NET	0 AVG BGHT 2159.75	SOLD 2147.75				-600.00	0.00
25SEP17	B	1	PD27DEC17	2155.50	2127.29	USD	-705.25	
26SEP17	B	1	PD27DEC17	2150.00	2127.29	USD	-567.75	
10OCT17	S	2	PD27DEC17	2160.09	2127.29	USD	1,640.00	
	NET	0 AVG BGHT 2152.75	SOLD 2160.09				367.00	0.00
05OCT17	B	1	PD05JAN18	2148.50	2128.85	USD	-491.25	
05OCT17	S	1	PD05JAN18	2166.00	2128.85	USD	928.75	
06OCT17	B	1	PD05JAN18	2157.50	2128.85	USD	-716.25	
10OCT17	S	1	PD05JAN18	2161.96	2128.85	USD	827.75	
	NET	0 AVG BGHT 2153.00	SOLD 2163.98				549.00	0.00
09OCT17	S	1	PD09JAN18	2156.00	2129.62	USD	659.50	
09OCT17	S	1	PD09JAN18	2173.00	2129.62	USD	1,084.50	
09OCT17	B	1	PD09JAN18	2169.00	2129.62	USD	-984.50	
10OCT17	B	1	PD09JAN18	2162.99	2129.62	USD	-834.25	
	NET	0 AVG BGHT 2166.00	SOLD 2164.50				-74.75	0.00
11OCT17	B	1	PD11JAN18	2144.00	2130.00	USD	-350.00	
	NET	+1 AVG BGHT 2144.00					-350.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	2393.50	2564.25	USD	-4,268.75	
19SEP17	B	1	PD19DEC17	2398.00	2564.25	USD	4,156.25	
19SEP17	S	1	PD19DEC17	2399.50	2564.25	USD	-4,118.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	2425.00	2564.25	USD	3,481.25	
	NET	0 AVG	BGHT 2411.50				-750.00	0.00
20SEP17	S	1	PD20DEC17	2455.00	2564.50	USD	-2,737.50	
20SEP17	S	1	PD20DEC17	2466.50	2564.50	USD	-2,450.00	
20SEP17	B	1	PD20DEC17	2455.00	2564.50	USD	2,737.50	
20SEP17	B	1	PD20DEC17	2455.50	2564.50	USD	2,725.00	
	NET	0 AVG	BGHT 2455.25				275.00	0.00
21SEP17	B	2	PD21DEC17	2437.00	2563.50	USD	6,325.00	
21SEP17	S	2	PD21DEC17	2437.50	2563.50	USD	-6,300.00	
21SEP17	S	1	PD21DEC17	2444.50	2563.50	USD	-2,975.00	
21SEP17	S	1	PD21DEC17	2446.50	2563.50	USD	-2,925.00	
21SEP17	S	1	PD21DEC17	2492.50	2563.50	USD	-1,775.00	
21SEP17	B	1	PD21DEC17	2504.00	2563.50	USD	1,487.50	
21SEP17	B	1	PD21DEC17	2481.50	2563.50	USD	2,050.00	
21SEP17	B	1	PD21DEC17	2491.00	2563.50	USD	1,812.50	
	NET	0 AVG	BGHT 2470.10				-2,300.00	0.00
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	B	1	PD22DEC17	2466.00	2563.50	USD	2,437.50	
	NET	0 AVG	BGHT 2466.00				412.50	0.00
03OCT17	S	1	PD03JAN18	2589.00	2564.20	USD	620.00	
03OCT17	S	1	PD03JAN18	2588.00	2564.20	USD	595.00	
10OCT17	B	2	PD03JAN18	2555.50	2564.20	USD	435.00	
	NET	0 AVG	BGHT 2555.50				1,650.00	0.00
04OCT17	B	1	PD04JAN18	2589.50	2564.30	USD	-630.00	
04OCT17	B	1	PD04JAN18	2590.00	2564.30	USD	-642.50	
10OCT17	S	2	PD04JAN18	2555.51	2564.30	USD	-439.50	
	NET	0 AVG	BGHT 2589.75				-1,712.00	0.00
11OCT17	B	1	PD11JAN18	2518.50	2565.00	USD	1,162.50	
11OCT17	B	1	PD11JAN18	2522.00	2565.00	USD	1,075.00	
11OCT17	S	1	PD11JAN18	2557.00	2565.00	USD	-200.00	
11OCT17	S	1	PD11JAN18	2560.50	2565.00	USD	-112.50	
	NET	0 AVG	BGHT 2520.25				1,925.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	12230.00	11106.66	USD	-6,740.04	
04SEP17	S	1	PD04DEC17	12225.00	11106.66	USD	6,710.04	
	NET	0 AVG	BGHT 12230.00				-30.00	0.00
13SEP17	B	1	PD13DEC17	11725.00	11113.60	USD	-3,668.40	
13SEP17	B	1	PD13DEC17	11680.00	11113.60	USD	-3,398.40	
10OCT17	S	2	PD13DEC17	11035.80	11113.60	USD	-933.60	
	NET	0 AVG	BGHT 11702.50				-8,000.40	0.00
14SEP17	B	1	PD14DEC17	11140.00	11114.37	USD	-153.78	
14SEP17	B	1	PD14DEC17	11145.00	11114.37	USD	-183.78	
14SEP17	S	1	PD14DEC17	11135.00	11114.37	USD	123.78	
14SEP17	S	1	PD14DEC17	11155.00	11114.37	USD	243.78	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
14SEP17	S	1	PD14DEC17	11230.00	11114.37	USD	693.78	
	NET	0 AVG	BGHT 11163.33				180.00	0.00
15SEP17	B	1	PD15DEC17	11155.00	11115.14	USD	-239.16	
15SEP17	S	1	PD15DEC17	11145.00	11115.14	USD	179.16	
	NET	0 AVG	BGHT 11155.00				-60.00	0.00
20SEP17	S	1	PD20DEC17	11370.00	11119.00	USD	1,506.00	
10OCT17	B	1	PD20DEC17	11042.00	11119.00	USD	462.00	
	NET	0 AVG	BGHT 11042.00					0.00

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							1,968.00	
21SEP17	S	1	PD21DEC17		11065.00	11119.73	USD	-328.38	
10OCT17	B	1	PD21DEC17		11042.67	11119.73	USD	462.36	
	NET	0AVG	BGHT 11042.67	SOLD 11065.00				133.98	0.00
29SEP17	B	1	PD29DEC17		10435.00	11125.55	USD	4,143.30	
29SEP17	B	1	PD29DEC17		10445.00	11125.55	USD	4,083.30	
29SEP17	B	1	PD29DEC17		10415.00	11125.55	USD	4,263.30	
29SEP17	S	1	PD29DEC17		10660.00	11125.55	USD	-2,793.30	
29SEP17	B	1	PD29DEC17		10665.00	11125.55	USD	2,763.30	
10OCT17	S	3	PD29DEC17		11047.00	11125.55	USD	-1,413.90	
	NET	0AVG	BGHT 10490.00	SOLD 10950.25				11,046.00	0.00
03OCT17	S	1	PD03JAN18		10665.00	11129.18	USD	-2,785.08	
03OCT17	B	1	PD03JAN18		10730.00	11129.18	USD	2,395.08	
03OCT17	S	1	PD03JAN18		10720.00	11129.18	USD	-2,455.08	
03OCT17	S	1	PD03JAN18		10660.00	11129.18	USD	-2,815.08	
03OCT17	S	1	PD03JAN18		10700.00	11129.18	USD	-2,575.08	
10OCT17	B	3	PD03JAN18		11051.33	11129.18	USD	1,401.30	
	NET	0AVG	BGHT 10971.00	SOLD 10686.25				-6,833.94	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29AUG17	S	1	PD29NOV17		3121.00	3234.00	USD	-2,825.00	
29AUG17	B	1	PD29NOV17		3108.00	3234.00	USD	3,150.00	
	NET	0AVG	BGHT 3108.00	SOLD 3121.00				325.00	0.00
30AUG17	S	1	PD30NOV17		3124.00	3233.67	USD	-2,741.75	
30AUG17	S	1	PD30NOV17		3094.00	3233.67	USD	-3,491.75	
30AUG17	S	1	PD30NOV17		3094.50	3233.67	USD	-3,479.25	
10OCT17	B	3	PD30NOV17		3292.11	3233.67	USD	-4,383.00	
	NET	0AVG	BGHT 3292.11	SOLD 3104.17				-14,095.75	0.00
01SEP17	S	1	PD01DEC17		3183.00	3233.33	USD	-1,258.25	
10OCT17	B	1	PD01DEC17		3291.89	3233.33	USD	-1,464.00	
	NET	0AVG	BGHT 3291.89	SOLD 3183.00				-2,722.25	0.00
04SEP17	B	1	PD04DEC17		3200.00	3233.00	USD	825.00	
04SEP17	B	1	PD04DEC17		3200.50	3233.00	USD	812.50	
04SEP17	B	2	PD04DEC17		3201.00	3233.00	USD	1,600.00	
04SEP17	B	1	PD04DEC17		3182.50	3233.00	USD	1,262.50	
04SEP17	S	1	PD04DEC17		3199.00	3233.00	USD	-850.00	
10OCT17	S	4	PD04DEC17		3291.47	3233.00	USD	5,847.00	
	NET	0AVG	BGHT 3197.00	SOLD 3272.98				9,497.00	0.00
08SEP17	B	1	PD08DEC17		3032.50	3231.67	USD	4,979.25	
10OCT17	S	1	PD08DEC17		3290.78	3231.67	USD	1,477.75	
	NET	0AVG	BGHT 3032.50	SOLD 3290.78				6,457.00	0.00
11SEP17	S	1	PD11DEC17		3090.00	3231.33	USD	-3,533.25	
11SEP17	B	1	PD11DEC17		3099.50	3231.33	USD	3,295.75	
11SEP17	S	1	PD11DEC17		3100.00	3231.33	USD	-3,283.25	
10OCT17	B	1	PD11DEC17		3290.76	3231.33	USD	-1,485.75	
	NET	0AVG	BGHT 3195.13	SOLD 3095.00				-5,006.50	0.00
13SEP17	S	1	PD13DEC17		3052.00	3230.67	USD	-4,466.75	
13SEP17	B	1	PD13DEC17		3045.50	3230.67	USD	4,629.25	
	NET	0AVG	BGHT 3045.50	SOLD 3052.00				162.50	0.00
18SEP17	B	1	PD18DEC17		3060.50	3229.67	USD	4,229.25	
10OCT17	S	1	PD18DEC17		3289.44	3229.67	USD	1,494.25	
	NET	0AVG	BGHT 3060.50	SOLD 3289.44				5,723.50	0.00
19SEP17	B	1	PD19DEC17		3102.00	3229.33	USD	3,183.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	3102.50	3229.33	USD	3,170.75	
19SEP17	S	1	PD19DEC17	3099.50	3229.33	USD	-3,245.75	
19SEP17	S	1	PD19DEC17	3102.00	3229.33	USD	-3,183.25	
	NET	0 AVG BGHT 3102.25	SOLD 3100.75				-75.00	0.00
20SEP17	S	1	PD20DEC17	3125.00	3229.00	USD	-2,600.00	
10OCT17	B	1	PD20DEC17	3289.20	3229.00	USD	-1,505.00	
	NET	0 AVG BGHT 3289.20	SOLD 3125.00				-4,105.00	0.00
11OCT17	B	1	PD11JAN18	3222.00	3219.00	USD	-75.00	
11OCT17	B	1	PD11JAN18	3229.00	3219.00	USD	-250.00	
	NET	+2 AVG BGHT 3225.50					-325.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-48,248.25
LME Lead 25 tonnes. This is an	FUTURES	-499.50
LME Nickel 6 tonnes. This is	FUTURES	-1,596.36
LME Zinc 25 tonnes. This is	FUTURES	-165,114.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	5,001.75	5,001.75		
LEAD - Futures USD	-499.50	-499.50		
NKL - Futures USD	-1,596.36	-1,596.36		
ZINC - Futures USD	-4,164.50	-4,164.50	-1,258.61	-1,258.61

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-9,477.10	-9,477.10
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-9,477.10	-9,477.10
Initial Margins	-15,414.61	-15,414.61
Excess/(Shortage)	-24,891.71	-24,891.71
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,258.61	-1,258.61
Net Liquidating Value	-10,735.71	-10,735.71

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AM

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-53.36	-53.36
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-53.36	-53.36
Initial Margins	0.00	0.00
Excess/(Shortage)	-53.36	-53.36
FOR INFORMATION ONLY		
Net Liquidating Value	-53.36	-53.36

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AW

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-510.00	-510.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-510.00	-510.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-510.00	-510.00
FOR INFORMATION ONLY		
Net Liquidating Value	-510.00	-510.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AX

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
28SEP17	S 11 PD02NOV17	2113.86	2111.13	USD	750.75	
	NET -11 AVG SOLD 2113.86				750.75	0.00
07SEP17	S 1 PD07DEC17	2099.00	2123.04	USD	-601.00	
07SEP17	S 1 PD07DEC17	2100.00	2123.04	USD	-576.00	
07SEP17	B 1 PD07DEC17	2109.50	2123.04	USD	338.50	
27SEP17	B 1 PD07DEC17	2125.60	2123.04	USD	-64.00	
	NET 0 AVG BGHT 2117.55 SOLD 2099.50				-902.50	0.00
08SEP17	B 2 PD08DEC17	2098.00	2123.35	USD	1,267.50	
08SEP17	B 2 PD08DEC17	2094.50	2123.35	USD	1,442.50	
08SEP17	B 2 PD08DEC17	2091.50	2123.35	USD	1,592.50	
08SEP17	B 1 PD08DEC17	2093.50	2123.35	USD	746.25	
08SEP17	B 1 PD08DEC17	2089.00	2123.35	USD	858.75	
08SEP17	B 1 PD08DEC17	2090.00	2123.35	USD	833.75	
27SEP17	S 1 PD08DEC17	2125.70	2123.35	USD	58.75	
27SEP17	S 8 PD08DEC17	2125.90	2123.35	USD	510.00	
	NET 0 AVG BGHT 2093.39 SOLD 2125.88				7,310.00	0.00
11SEP17	S 1 PD11DEC17	2100.50	2124.28	USD	-594.50	
11SEP17	S 1 PD11DEC17	2103.00	2124.28	USD	-532.00	
11SEP17	S 1 PD11DEC17	2103.50	2124.28	USD	-519.50	
11SEP17	S 1 PD11DEC17	2104.50	2124.28	USD	-494.50	
11SEP17	S 1 PD11DEC17	2110.50	2124.28	USD	-344.50	
11SEP17	S 1 PD11DEC17	2125.00	2124.28	USD	18.00	
11SEP17	S 1 PD11DEC17	2127.50	2124.28	USD	80.50	
11SEP17	B 1 PD11DEC17	2115.00	2124.28	USD	232.00	
11SEP17	B 1 PD11DEC17	2116.50	2124.28	USD	194.50	
27SEP17	B 5 PD11DEC17	2127.00	2124.28	USD	-340.00	
	NET 0 AVG BGHT 2123.79 SOLD 2110.64				-2,300.00	0.00
14SEP17	S 1 PD14DEC17	2124.50	2125.21	USD	-17.75	
14SEP17	B 1 PD14DEC17	2093.50	2125.21	USD	792.75	
	NET 0 AVG BGHT 2093.50 SOLD 2124.50				775.00	0.00
18SEP17	B 1 PD18DEC17	2093.00	2126.44	USD	836.00	
18SEP17	B 1 PD18DEC17	2089.50	2126.44	USD	923.50	
18SEP17	S 1 PD18DEC17	2091.00	2126.44	USD	-886.00	
18SEP17	S 1 PD18DEC17	2090.50	2126.44	USD	-898.50	
18SEP17	S 2 PD18DEC17	2093.50	2126.44	USD	-1,647.00	
18SEP17	S 1 PD18DEC17	2094.00	2126.44	USD	-811.00	
27SEP17	B 3 PD18DEC17	2129.10	2126.44	USD	-199.50	
	NET 0 AVG BGHT 2113.96 SOLD 2092.50				-2,682.50	0.00
19SEP17	S 5 PD19DEC17	2105.50	2126.75	USD	-2,656.25	
19SEP17	S 1 PD19DEC17	2123.00	2126.75	USD	-93.75	
19SEP17	S 2 PD19DEC17	2124.00	2126.75	USD	-137.50	
19SEP17	S 1 PD19DEC17	2128.50	2126.75	USD	43.75	
28SEP17	B 9 PD19DEC17	2128.65	2126.75	USD	-427.50	
	NET 0 AVG BGHT 2128.65 SOLD 2114.11				-3,271.25	0.00
27SEP17	S 3 PD27DEC17	2141.50	2127.29	USD	1,065.75	
27SEP17	S 2 PD27DEC17	2141.00	2127.29	USD	685.50	
27SEP17	S 1 PD27DEC17	2143.50	2127.29	USD	405.25	
27SEP17	S 1 PD27DEC17	2139.00	2127.29	USD	292.75	
28SEP17	B 2 PD27DEC17	2130.92	2127.29	USD	-181.50	
10OCT17	B 5 PD27DEC17	2160.29	2127.29	USD	-4,125.00	
	NET 0 AVG BGHT 2151.90 SOLD 2141.29				-1,857.25	0.00
29SEP17	B 1 PD29DEC17	2104.50	2127.50	USD	575.00	
29SEP17	B 1 PD29DEC17	2105.00	2127.50	USD	562.50	
29SEP17	B 2 PD29DEC17	2106.00	2127.50	USD	1,075.00	
29SEP17	B 1 PD29DEC17	2108.00	2127.50	USD	487.50	

OPEN POSITION STATEMENT



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SAP094AX

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
29SEP17	B	1	PD29DEC17	2107.00	2127.50	USD	512.50	
29SEP17	B	1	PD29DEC17	2106.50	2127.50	USD	525.00	
10OCT17	S	5	PD29DEC17	2160.30	2127.50	USD	4,100.00	
	NET	+2 AVG BGHT	2106.14				7,837.50	0.00
10OCT17	B	2	PD10JAN18	2158.50	2129.81	USD	-1,434.50	
10OCT17	B	2	PD10JAN18	2159.50	2129.81	USD	-1,484.50	
10OCT17	B	2	PD10JAN18	2162.00	2129.81	USD	-1,609.50	
10OCT17	B	1	PD10JAN18	2162.50	2129.81	USD	-817.25	
10OCT17	B	1	PD10JAN18	2163.00	2129.81	USD	-829.75	
10OCT17	B	1	PD10JAN18	2165.00	2129.81	USD	-879.75	
	NET	+9 AVG BGHT	2161.17				-7,055.25	0.00
11OCT17	B	1	PD11JAN18	2133.00	2130.00	USD	-75.00	
11OCT17	B	1	PD11JAN18	2135.00	2130.00	USD	-125.00	
11OCT17	B	3	PD11JAN18	2135.50	2130.00	USD	-412.50	
11OCT17	B	1	PD11JAN18	2147.00	2130.00	USD	-425.00	
11OCT17	B	1	PD11JAN18	2152.50	2130.00	USD	-562.50	
11OCT17	B	1	PD11JAN18	2153.50	2130.00	USD	-587.50	
11OCT17	B	1	PD11JAN18	2154.00	2130.00	USD	-600.00	
	NET	+9 AVG BGHT	2142.39				-2,787.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	10	PD02NOV17	2492.15	2556.89	USD	-16,185.00	
	NET	-10 AVG SOLD	2492.15				-16,185.00	0.00
07SEP17	B	1	PD07DEC17	2332.50	2561.25	USD	5,718.75	
07SEP17	B	2	PD07DEC17	2333.00	2561.25	USD	11,412.50	
07SEP17	B	1	PD07DEC17	2352.00	2561.25	USD	5,231.25	
07SEP17	S	1	PD07DEC17	2352.00	2561.25	USD	-5,231.25	
10OCT17	S	3	PD07DEC17	2553.00	2561.25	USD	-618.75	
	NET	0 AVG BGHT	2337.63				16,512.50	0.00
08SEP17	B	1	PD08DEC17	2354.50	2561.50	USD	5,175.00	
08SEP17	S	1	PD08DEC17	2332.50	2561.50	USD	-5,725.00	
08SEP17	B	1	PD08DEC17	2302.00	2561.50	USD	6,487.50	
08SEP17	B	1	PD08DEC17	2313.00	2561.50	USD	6,212.50	
08SEP17	B	1	PD08DEC17	2315.00	2561.50	USD	6,162.50	
08SEP17	B	1	PD08DEC17	2301.00	2561.50	USD	6,512.50	
08SEP17	B	2	PD08DEC17	2298.00	2561.50	USD	13,175.00	
08SEP17	B	1	PD08DEC17	2295.50	2561.50	USD	6,650.00	
08SEP17	B	1	PD08DEC17	2294.50	2561.50	USD	6,675.00	
10OCT17	S	8	PD08DEC17	2553.21	2561.50	USD	-1,658.00	
	NET	0 AVG BGHT	2307.94				49,667.00	0.00
11SEP17	S	1	PD11DEC17	2293.00	2562.25	USD	-6,731.25	
11SEP17	S	1	PD11DEC17	2294.50	2562.25	USD	-6,693.75	
10OCT17	B	2	PD11DEC17	2554.05	2562.25	USD	410.00	
	NET	0 AVG BGHT	2554.05				-13,015.00	0.00
14SEP17	S	1	PD14DEC17	2294.50	2563.00	USD	-6,712.50	
14SEP17	S	1	PD14DEC17	2298.00	2563.00	USD	-6,625.00	
14SEP17	S	1	PD14DEC17	2287.00	2563.00	USD	-6,900.00	
14SEP17	S	1	PD14DEC17	2291.00	2563.00	USD	-6,800.00	
14SEP17	S	1	PD14DEC17	2292.50	2563.00	USD	-6,762.50	
14SEP17	S	1	PD14DEC17	2289.50	2563.00	USD	-6,837.50	
14SEP17	S	2	PD14DEC17	2297.50	2563.00	USD	-13,275.00	
14SEP17	S	1	PD14DEC17	2297.00	2563.00	USD	-6,650.00	
10OCT17	B	9	PD14DEC17	2554.68	2563.00	USD	1,872.00	
	NET	0 AVG BGHT	2554.68				-58,690.50	0.00
21SEP17	S	1	PD21DEC17	2464.50	2563.50	USD	-2,475.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	2	PD21DEC17	2464.00	2563.50	USD	-4,975.00	
21SEP17	S	2	PD21DEC17	2465.50	2563.50	USD	-4,900.00	
21SEP17	S	1	PD21DEC17	2467.50	2563.50	USD	-2,400.00	
21SEP17	S	4	PD21DEC17	2465.00	2563.50	USD	-9,850.00	
21SEP17	S	1	PD21DEC17	2466.50	2563.50	USD	-2,425.00	
21SEP17	B	10	PD21DEC17	2491.65	2563.50	USD	17,962.50	
10OCT17	B	1	PD21DEC17	2554.75	2563.50	USD	218.75	
	NET	0 AVG BGHT 2497.39	SOLD 2465.23				-8,843.75	0.00
26SEP17	B	1	PD27DEC17	2503.00	2563.50	USD	1,512.50	
10OCT17	S	1	PD27DEC17	2553.80	2563.50	USD	-242.50	
	NET	0 AVG BGHT 2503.00	SOLD 2553.80				1,270.00	0.00
29SEP17	S	1	PD29DEC17	2508.00	2563.70	USD	-1,392.50	
29SEP17	S	1	PD29DEC17	2511.00	2563.70	USD	-1,317.50	
29SEP17	S	1	PD29DEC17	2513.00	2563.70	USD	-1,267.50	
29SEP17	B	1	PD29DEC17	2467.00	2563.70	USD	2,417.50	
10OCT17	B	1	PD29DEC17	2554.43	2563.70	USD	231.75	
	NET	-1 AVG BGHT 2510.72	SOLD 2510.67				-1,328.25	0.00
09OCT17	B	1	PD09JAN18	2497.50	2564.80	USD	1,682.50	
10OCT17	S	1	PD09JAN18	2556.59	2564.80	USD	-205.25	
	NET	0 AVG BGHT 2497.50	SOLD 2556.59				1,477.25	0.00
10OCT17	B	4	PD10JAN18	2487.00	2564.90	USD	7,790.00	
10OCT17	B	1	PD10JAN18	2487.50	2564.90	USD	1,935.00	
10OCT17	B	2	PD10JAN18	2489.00	2564.90	USD	3,795.00	
10OCT17	B	1	PD10JAN18	2495.00	2564.90	USD	1,747.50	
10OCT17	B	1	PD10JAN18	2498.00	2564.90	USD	1,672.50	
10OCT17	S	1	PD10JAN18	2537.00	2564.90	USD	-697.50	
10OCT17	S	1	PD10JAN18	2537.50	2564.90	USD	-685.00	
10OCT17	S	3	PD10JAN18	2538.50	2564.90	USD	-1,980.00	
10OCT17	S	1	PD10JAN18	2539.50	2564.90	USD	-635.00	
	NET	+3 AVG BGHT 2489.61	SOLD 2538.25				12,942.50	0.00
11OCT17	B	1	PD11JAN18	2543.00	2565.00	USD	550.00	
11OCT17	S	1	PD11JAN18	2541.00	2565.00	USD	-600.00	
11OCT17	S	1	PD11JAN18	2541.50	2565.00	USD	-587.50	
11OCT17	S	1	PD11JAN18	2557.50	2565.00	USD	-187.50	
11OCT17	S	1	PD11JAN18	2559.50	2565.00	USD	-137.50	
11OCT17	B	1	PD11JAN18	2558.50	2565.00	USD	162.50	
11OCT17	S	2	PD11JAN18	2561.50	2565.00	USD	-175.00	
11OCT17	S	1	PD11JAN18	2562.00	2565.00	USD	-75.00	
11OCT17	S	1	PD11JAN18	2560.00	2565.00	USD	-125.00	
11OCT17	S	2	PD11JAN18	2560.50	2565.00	USD	-225.00	
	NET	-8 AVG BGHT 2550.75	SOLD 2556.55				-1,400.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	8	PD02NOV17	10446.50	11079.46	USD	30,382.08	
	NET	+8 AVG BGHT 10446.50					30,382.08	0.00
08SEP17	B	1	PD08DEC17	11505.00	11109.74	USD	-2,371.56	
08SEP17	B	1	PD08DEC17	11555.00	11109.74	USD	-2,671.56	
08SEP17	B	1	PD08DEC17	11515.00	11109.74	USD	-2,431.56	
08SEP17	B	1	PD08DEC17	11525.00	11109.74	USD	-2,491.56	
14SEP17	S	4	PD08DEC17	11194.24	11109.74	USD	2,028.00	
	NET	0 AVG BGHT 11525.00	SOLD 11194.24				-7,938.24	0.00
14SEP17	B	1	PD14DEC17	11195.00	11114.37	USD	-483.78	
14SEP17	B	1	PD14DEC17	11180.00	11114.37	USD	-393.78	
14SEP17	B	1	PD14DEC17	11205.00	11114.37	USD	-543.78	
14SEP17	B	2	PD14DEC17	11170.00	11114.37	USD	-667.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	11270.00	11114.37	USD	-933.78	
14SEP17	B	1	PD14DEC17	11280.00	11114.37	USD	-993.78	
14SEP17	S	4	PD14DEC17	11199.00	11114.37	USD	2,031.12	
	NET	+3 AVG BGHT 11210.00	SOLD 11199.00				-1,985.34	0.00
15SEP17	B	1	PD15DEC17	11085.00	11115.14	USD	180.84	
15SEP17	B	1	PD15DEC17	11070.00	11115.14	USD	270.84	
15SEP17	B	1	PD15DEC17	11075.00	11115.14	USD	240.84	
15SEP17	B	2	PD15DEC17	11090.00	11115.14	USD	301.68	
15SEP17	B	2	PD15DEC17	11060.00	11115.14	USD	661.68	
15SEP17	B	2	PD15DEC17	11065.00	11115.14	USD	601.68	
	NET	+9 AVG BGHT 11073.33					2,257.56	0.00
18SEP17	B	4	PD18DEC17	11190.00	11117.46	USD	-1,740.96	
18SEP17	B	1	PD18DEC17	11185.00	11117.46	USD	-405.24	
	NET	+5 AVG BGHT 11189.00					-2,146.20	0.00
29SEP17	S	1	PD29DEC17	10405.00	11125.55	USD	-4,323.30	
29SEP17	S	1	PD29DEC17	10385.00	11125.55	USD	-4,443.30	
29SEP17	S	1	PD29DEC17	10390.00	11125.55	USD	-4,413.30	
29SEP17	S	1	PD29DEC17	10485.00	11125.55	USD	-3,843.30	
29SEP17	S	1	PD29DEC17	10490.00	11125.55	USD	-3,813.30	
29SEP17	S	1	PD29DEC17	10495.00	11125.55	USD	-3,783.30	
29SEP17	S	1	PD29DEC17	10500.00	11125.55	USD	-3,753.30	
29SEP17	S	2	PD29DEC17	10480.00	11125.55	USD	-7,746.60	
29SEP17	S	1	PD29DEC17	10430.00	11125.55	USD	-4,173.30	
29SEP17	B	2	PD29DEC17	10450.00	11125.55	USD	8,106.60	
29SEP17	B	1	PD29DEC17	10480.00	11125.55	USD	3,873.30	
29SEP17	B	1	PD29DEC17	10490.00	11125.55	USD	3,813.30	
29SEP17	B	2	PD29DEC17	10495.00	11125.55	USD	7,566.60	
29SEP17	B	1	PD29DEC17	10505.00	11125.55	USD	3,723.30	
	NET	-3 AVG BGHT 10480.71	SOLD 10454.00				-13,209.90	0.00
	<u>CMX SILVER 5,000 CMX</u>							
15SEP17	B	3	DEC17	1784.5	1713.3	USD	-10,680.00	
29SEP17	B	1	DEC17	1672.0	1713.3	USD	2,065.00	
06OCT17	B	1	DEC17	1659.5	1713.3	USD	2,690.00	
06OCT17	B	1	DEC17	1657.5	1713.3	USD	2,790.00	
	NET	+6 AVG BGHT 1723.8					-3,135.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	S	13	PD02NOV17	3242.00	3254.00	USD	-3,900.00	
	NET	-13 AVG SOLD 3242.00					-3,900.00	0.00
07SEP17	S	1	PD07DEC17	3137.50	3232.00	USD	-2,362.50	
07SEP17	S	1	PD07DEC17	3139.50	3232.00	USD	-2,312.50	
07SEP17	S	1	PD07DEC17	3141.00	3232.00	USD	-2,275.00	
07SEP17	B	1	PD07DEC17	3134.00	3232.00	USD	2,450.00	
07SEP17	B	3	PD07DEC17	3135.00	3232.00	USD	7,275.00	
07SEP17	S	1	PD07DEC17	3134.50	3232.00	USD	-2,437.50	
	NET	0 AVG BGHT 3134.75	SOLD 3138.13				337.50	0.00
08SEP17	B	1	PD08DEC17	3085.50	3231.67	USD	3,654.25	
08SEP17	B	1	PD08DEC17	3086.00	3231.67	USD	3,641.75	
08SEP17	B	1	PD08DEC17	3090.50	3231.67	USD	3,529.25	
08SEP17	B	1	PD08DEC17	3083.00	3231.67	USD	3,716.75	
10OCT17	S	4	PD08DEC17	3290.78	3231.67	USD	5,911.00	
	NET	0 AVG BGHT 3086.25	SOLD 3290.78				20,453.00	0.00
11SEP17	S	1	PD11DEC17	3054.00	3231.33	USD	-4,433.25	
11SEP17	S	1	PD11DEC17	3067.50	3231.33	USD	-4,095.75	

OPEN POSITION STATEMENT



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SAP094AX

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	3076.00	3231.33	USD	-3,883.25	
11SEP17	S	1	PD11DEC17	3093.00	3231.33	USD	-3,458.25	
100CT17	B	4	PD11DEC17	3290.76	3231.33	USD	-5,943.00	
	NET	0AVG BGHT 3290.76	SOLD 3072.63				-21,813.50	0.00
15SEP17	S	1	PD15DEC17	3012.00	3230.00	USD	-5,450.00	
15SEP17	S	1	PD15DEC17	3012.50	3230.00	USD	-5,437.50	
15SEP17	S	1	PD15DEC17	3027.00	3230.00	USD	-5,075.00	
15SEP17	S	1	PD15DEC17	3034.00	3230.00	USD	-4,900.00	
02OCT17	B	3	PD15DEC17	3238.37	3230.00	USD	-627.75	
100CT17	B	1	PD15DEC17	3289.67	3230.00	USD	-1,491.75	
	NET	0AVG BGHT 3251.20	SOLD 3021.38				-22,982.00	0.00
18SEP17	S	1	PD18DEC17	3092.50	3229.67	USD	-3,429.25	
100CT17	B	1	PD18DEC17	3289.44	3229.67	USD	-1,494.25	
	NET	0AVG BGHT 3289.44	SOLD 3092.50				-4,923.50	0.00
19SEP17	B	1	PD19DEC17	3098.50	3229.33	USD	3,270.75	
19SEP17	B	1	PD19DEC17	3094.00	3229.33	USD	3,383.25	
19SEP17	S	1	PD19DEC17	3094.50	3229.33	USD	-3,370.75	
19SEP17	B	1	PD19DEC17	3101.50	3229.33	USD	3,195.75	
19SEP17	S	1	PD19DEC17	3092.00	3229.33	USD	-3,433.25	
19SEP17	B	1	PD19DEC17	3089.00	3229.33	USD	3,508.25	
100CT17	S	2	PD19DEC17	3289.02	3229.33	USD	2,984.50	
	NET	0AVG BGHT 3095.75	SOLD 3191.14				9,538.50	0.00
26SEP17	S	1	PD27DEC17	3123.00	3224.00	USD	-2,525.00	
26SEP17	S	1	PD27DEC17	3136.00	3224.00	USD	-2,200.00	
02OCT17	B	2	PD27DEC17	3236.20	3224.00	USD	-610.00	
	NET	0AVG BGHT 3236.20	SOLD 3129.50				-5,335.00	0.00
29SEP17	B	1	PD29DEC17	3148.50	3223.00	USD	1,862.50	
29SEP17	B	1	PD29DEC17	3149.00	3223.00	USD	1,850.00	
29SEP17	B	1	PD29DEC17	3151.00	3223.00	USD	1,800.00	
29SEP17	S	1	PD29DEC17	3165.00	3223.00	USD	-1,450.00	
29SEP17	S	2	PD29DEC17	3168.00	3223.00	USD	-2,750.00	
29SEP17	S	1	PD29DEC17	3170.00	3223.00	USD	-1,325.00	
29SEP17	S	1	PD29DEC17	3171.00	3223.00	USD	-1,300.00	
29SEP17	B	1	PD29DEC17	3150.00	3223.00	USD	1,825.00	
29SEP17	B	1	PD29DEC17	3156.50	3223.00	USD	1,662.50	
29SEP17	S	1	PD29DEC17	3162.00	3223.00	USD	-1,525.00	
29SEP17	S	1	PD29DEC17	3157.00	3223.00	USD	-1,650.00	
29SEP17	S	3	PD29DEC17	3156.50	3223.00	USD	-4,987.50	
29SEP17	S	2	PD29DEC17	3157.50	3223.00	USD	-3,275.00	
29SEP17	S	1	PD29DEC17	3164.00	3223.00	USD	-1,475.00	
02OCT17	B	8	PD29DEC17	3236.20	3223.00	USD	-2,640.00	
	NET	0AVG BGHT 3203.43	SOLD 3162.27				-13,377.50	0.00
100CT17	B	1	PD10JAN18	3227.50	3219.50	USD	-200.00	
100CT17	B	1	PD10JAN18	3228.00	3219.50	USD	-212.50	
100CT17	B	1	PD10JAN18	3228.50	3219.50	USD	-225.00	
100CT17	B	1	PD10JAN18	3234.00	3219.50	USD	-362.50	
100CT17	B	1	PD10JAN18	3235.50	3219.50	USD	-400.00	
100CT17	S	1	PD10JAN18	3222.00	3219.50	USD	62.50	
100CT17	S	1	PD10JAN18	3226.00	3219.50	USD	162.50	
100CT17	S	1	PD10JAN18	3239.50	3219.50	USD	500.00	
100CT17	S	1	PD10JAN18	3241.00	3219.50	USD	537.50	
100CT17	S	1	PD10JAN18	3242.00	3219.50	USD	562.50	
100CT17	S	1	PD10JAN18	3242.50	3219.50	USD	575.00	
100CT17	S	1	PD10JAN18	3243.50	3219.50	USD	600.00	
100CT17	S	1	PD10JAN18	3247.00	3219.50	USD	687.50	

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10OCT17	S 1 PD10JAN18	3247.50	3219.50	USD	700.00	
10OCT17	S 3 PD10JAN18	3248.00	3219.50	USD	2,137.50	
10OCT17	S 1 PD10JAN18	3249.00	3219.50	USD	737.50	
10OCT17	S 1 PD10JAN18	3249.50	3219.50	USD	750.00	
10OCT17	S 1 PD10JAN18	3250.00	3219.50	USD	762.50	
10OCT17	S 1 PD10JAN18	3251.00	3219.50	USD	787.50	
10OCT17	S 1 PD10JAN18	3253.00	3219.50	USD	837.50	
10OCT17	S 2 PD10JAN18	3253.50	3219.50	USD	1,700.00	
10OCT17	S 1 PD10JAN18	3254.00	3219.50	USD	862.50	
10OCT17	S 1 PD10JAN18	3256.00	3219.50	USD	912.50	
	NET -16 AVG BGHT 3230.70 SOLD 3245.93				12,475.00	0.00
11OCT17	B 1 PD11JAN18	3232.50	3219.00	USD	-337.50	
11OCT17	B 1 PD11JAN18	3235.00	3219.00	USD	-400.00	
	NET +2 AVG BGHT 3233.75				-737.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-488,454.50
LME Lead 25 tonnes. This is an	FUTURES	1,006,354.25
LME Nickel 6 tonnes. This is	FUTURES	-1,457,994.24
CMX Silver	FUTURES	-517,125.00
LME Zinc 25 tonnes. This is	FUTURES	2,154,135.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	-3,135.00	-3,135.00	-3,135.00	-3,135.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-4,183.00	-4,183.00		
LEAD - Futures USD	-17,593.25	-17,593.25		
NKL - Futures USD	7,359.96	7,359.96		
ZINC - Futures USD	-30,265.00	-30,265.00	-44,681.29	-44,681.29

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-38,901.93	-38,901.93
Variation Margins		
- Futures	-3,135.00	-3,135.00
- Options	0.00	0.00
TOTAL EQUITY	-42,036.93	-42,036.93
Initial Margins	-490,639.29	-490,639.29
Excess/(Shortage)	-532,676.22	-532,676.22
FOR INFORMATION ONLY		
Forward Unrealised P&L	-44,681.29	-44,681.29
Net Liquidating Value	-86,718.22	-86,718.22

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18SEP17	B	1	PD18DEC17	2093.00	2126.44	USD	836.00	
26SEP17	S	1	PD18DEC17	2123.64	2126.44	USD	-70.00	
	NET	0AVG	BGHT 2093.00				766.00	0.00
19SEP17	B	1	PD19DEC17	2105.00	2126.75	USD	543.75	
19SEP17	S	1	PD19DEC17	2127.00	2126.75	USD	6.25	
19SEP17	S	1	PD19DEC17	2124.00	2126.75	USD	-68.75	
19SEP17	B	1	PD19DEC17	2126.00	2126.75	USD	18.75	
	NET	0AVG	BGHT 2115.50				500.00	0.00
20SEP17	B	1	PD20DEC17	2127.50	2126.75	USD	-18.75	
20SEP17	B	2	PD20DEC17	2127.00	2126.75	USD	-12.50	
20SEP17	S	1	PD20DEC17	2126.50	2126.75	USD	-6.25	
20SEP17	B	1	PD20DEC17	2129.00	2126.75	USD	-56.25	
20SEP17	B	1	PD20DEC17	2151.00	2126.75	USD	-606.25	
20SEP17	B	1	PD20DEC17	2152.00	2126.75	USD	-631.25	
20SEP17	B	1	PD20DEC17	2161.50	2126.75	USD	-868.75	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	B	1	PD20DEC17	2161.00	2126.75	USD	-856.25	
20SEP17	B	2	PD20DEC17	2159.00	2126.75	USD	-1,612.50	
20SEP17	B	1	PD20DEC17	2158.00	2126.75	USD	-781.25	
20SEP17	S	1	PD20DEC17	2176.00	2126.75	USD	1,231.25	
20SEP17	S	1	PD20DEC17	2180.00	2126.75	USD	1,331.25	
20SEP17	B	1	PD20DEC17	2156.00	2126.75	USD	-731.25	
20SEP17	B	5	PD20DEC17	2183.50	2126.75	USD	-7,093.75	
20SEP17	B	7	PD20DEC17	2184.00	2126.75	USD	-10,018.75	
20SEP17	B	3	PD20DEC17	2184.50	2126.75	USD	-4,331.25	
20SEP17	B	4	PD20DEC17	2177.00	2126.75	USD	-5,025.00	
20SEP17	B	2	PD20DEC17	2179.50	2126.75	USD	-2,637.50	
20SEP17	B	2	PD20DEC17	2178.00	2126.75	USD	-2,562.50	
20SEP17	B	2	PD20DEC17	2175.50	2126.75	USD	-2,437.50	
20SEP17	S	1	PD20DEC17	2182.50	2126.75	USD	1,393.75	
20SEP17	S	1	PD20DEC17	2173.00	2126.75	USD	1,156.25	
20SEP17	S	1	PD20DEC17	2171.00	2126.75	USD	1,106.25	
20SEP17	S	1	PD20DEC17	2164.00	2126.75	USD	931.25	
20SEP17	S	1	PD20DEC17	2175.00	2126.75	USD	1,206.25	
26SEP17	S	2	PD20DEC17	2124.25	2126.75	USD	-125.00	
	NET	+28AVG	BGHT 2170.11				-32,962.50	0.00
21SEP17	S	1	PD21DEC17	2178.50	2126.75	USD	1,293.75	
21SEP17	S	1	PD21DEC17	2177.00	2126.75	USD	1,256.25	
21SEP17	S	1	PD21DEC17	2173.00	2126.75	USD	1,156.25	
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	S	3	PD21DEC17	2175.00	2126.75	USD	3,618.75	
21SEP17	S	1	PD21DEC17	2168.00	2126.75	USD	1,031.25	
21SEP17	S	1	PD21DEC17	2163.50	2126.75	USD	918.75	
21SEP17	S	4	PD21DEC17	2181.50	2126.75	USD	5,475.00	
21SEP17	S	1	PD21DEC17	2180.00	2126.75	USD	1,331.25	
21SEP17	B	6	PD21DEC17	2194.00	2126.75	USD	-10,087.50	
21SEP17	B	4	PD21DEC17	2193.50	2126.75	USD	-6,675.00	
21SEP17	B	2	PD21DEC17	2191.00	2126.75	USD	-3,212.50	
21SEP17	B	4	PD21DEC17	2192.00	2126.75	USD	-6,525.00	
21SEP17	B	4	PD21DEC17	2191.50	2126.75	USD	-6,475.00	
21SEP17	B	4	PD21DEC17	2182.00	2126.75	USD	-5,525.00	
21SEP17	B	1	PD21DEC17	2181.50	2126.75	USD	-1,368.75	
21SEP17	B	2	PD21DEC17	2183.00	2126.75	USD	-2,812.50	
21SEP17	B	4	PD21DEC17	2184.00	2126.75	USD	-5,725.00	
21SEP17	B	6	PD21DEC17	2173.50	2126.75	USD	-7,012.50	
21SEP17	B	1	PD21DEC17	2173.00	2126.75	USD	-1,156.25	

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21SEP17	B	1	PD21DEC17	2172.00	2126.75	USD	-1,131.25	
21SEP17	S	1	PD21DEC17	2171.00	2126.75	USD	1,106.25	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	S	2	PD21DEC17	2167.00	2126.75	USD	2,012.50	
21SEP17	S	9	PD21DEC17	2166.50	2126.75	USD	8,943.75	
21SEP17	S	5	PD21DEC17	2166.00	2126.75	USD	4,906.25	
21SEP17	S	1	PD21DEC17	2164.50	2126.75	USD	943.75	
21SEP17	S	2	PD21DEC17	2162.50	2126.75	USD	1,787.50	
21SEP17	S	4	PD21DEC17	2162.00	2126.75	USD	3,525.00	
21SEP17	B	2	PD21DEC17	2157.00	2126.75	USD	-1,512.50	
21SEP17	S	1	PD21DEC17	2154.00	2126.75	USD	681.25	
21SEP17	S	1	PD21DEC17	2151.00	2126.75	USD	606.25	
21SEP17	B	2	PD21DEC17	2146.50	2126.75	USD	-987.50	
21SEP17	S	7	PD21DEC17	2145.00	2126.75	USD	3,193.75	
21SEP17	S	1	PD21DEC17	2144.50	2126.75	USD	443.75	
21SEP17	B	1	PD21DEC17	2150.00	2126.75	USD	-581.25	
21SEP17	B	2	PD21DEC17	2148.50	2126.75	USD	-1,087.50	
21SEP17	B	1	PD21DEC17	2144.00	2126.75	USD	-431.25	
21SEP17	B	5	PD21DEC17	2149.00	2126.75	USD	-2,781.25	
21SEP17	B	1	PD21DEC17	2147.00	2126.75	USD	-506.25	
21SEP17	B	5	PD21DEC17	2149.50	2126.75	USD	-2,843.75	
21SEP17	B	8	PD21DEC17	2146.50	2126.75	USD	-3,950.00	
26SEP17	B	3	PD21DEC17	2124.70	2126.75	USD	153.75	
	NET	+20 AVG BGHT 2168.62	SOLD 2164.74				-25,690.00	0.00
22SEP17	B	1	PD22DEC17	2151.00	2126.75	USD	-606.25	
22SEP17	S	1	PD22DEC17	2125.00	2126.75	USD	-43.75	
22SEP17	B	1	PD22DEC17	2119.00	2126.75	USD	193.75	
22SEP17	B	1	PD22DEC17	2115.50	2126.75	USD	281.25	
22SEP17	S	1	PD22DEC17	2142.50	2126.75	USD	393.75	
22SEP17	S	1	PD22DEC17	2156.50	2126.75	USD	743.75	
22SEP17	S	1	PD22DEC17	2157.00	2126.75	USD	756.25	
22SEP17	S	1	PD22DEC17	2166.00	2126.75	USD	981.25	
26SEP17	B	1	PD22DEC17	2124.75	2126.75	USD	50.00	
	NET	-1 AVG BGHT 2127.56	SOLD 2149.40				2,750.00	0.00
25SEP17	B	1	PD27DEC17	2150.50	2127.29	USD	-580.25	
25SEP17	S	1	PD27DEC17	2152.00	2127.29	USD	617.75	
25SEP17	B	1	PD27DEC17	2164.50	2127.29	USD	-930.25	
25SEP17	S	8	PD27DEC17	2149.50	2127.29	USD	4,442.00	
25SEP17	S	2	PD27DEC17	2146.50	2127.29	USD	960.50	
25SEP17	B	5	PD27DEC17	2147.50	2127.29	USD	-2,526.25	
25SEP17	B	5	PD27DEC17	2148.00	2127.29	USD	-2,588.75	
25SEP17	S	2	PD27DEC17	2160.00	2127.29	USD	1,635.50	
25SEP17	B	2	PD27DEC17	2159.50	2127.29	USD	-1,610.50	
25SEP17	B	1	PD27DEC17	2143.50	2127.29	USD	-405.25	
25SEP17	B	1	PD27DEC17	2146.00	2127.29	USD	-467.75	
25SEP17	B	1	PD27DEC17	2151.00	2127.29	USD	-592.75	
25SEP17	B	1	PD27DEC17	2151.50	2127.29	USD	-605.25	
26SEP17	S	8	PD27DEC17	2149.50	2127.29	USD	4,442.00	
26SEP17	S	2	PD27DEC17	2147.00	2127.29	USD	985.50	
26SEP17	S	7	PD27DEC17	2156.00	2127.29	USD	5,024.25	
26SEP17	S	3	PD27DEC17	2155.50	2127.29	USD	2,115.75	
26SEP17	S	1	PD27DEC17	2153.50	2127.29	USD	655.25	
26SEP17	S	2	PD27DEC17	2134.50	2127.29	USD	360.50	
26SEP17	S	5	PD27DEC17	2137.00	2127.29	USD	1,213.75	
26SEP17	S	1	PD27DEC17	2132.50	2127.29	USD	130.25	
26SEP17	S	1	PD27DEC17	2133.50	2127.29	USD	155.25	
26SEP17	S	5	PD27DEC17	2133.00	2127.29	USD	713.75	

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26SEP17	S	2	PD27DEC17	2134.00	2127.29	USD	335.50	
26SEP17	B	2	PD27DEC17	2132.00	2127.29	USD	-235.50	
26SEP17	B	1	PD27DEC17	2135.50	2127.29	USD	-205.25	
26SEP17	S	2	PD27DEC17	2135.50	2127.29	USD	410.50	
26SEP17	B	4	PD27DEC17	2137.00	2127.29	USD	-971.00	
26SEP17	B	1	PD27DEC17	2132.50	2127.29	USD	-130.25	
26SEP17	B	3	PD27DEC17	2125.00	2127.29	USD	171.75	
26SEP17	B	2	PD27DEC17	2126.00	2127.29	USD	64.50	
26SEP17	S	1	PD27DEC17	2125.80	2127.29	USD	-37.25	
27SEP17	B	1	PD27DEC17	2132.00	2127.29	USD	-117.75	
27SEP17	S	1	PD27DEC17	2141.00	2127.29	USD	342.75	
27SEP17	S	2	PD27DEC17	2140.00	2127.29	USD	635.50	
27SEP17	S	1	PD27DEC17	2138.00	2127.29	USD	267.75	
27SEP17	S	1	PD27DEC17	2134.50	2127.29	USD	180.25	
27SEP17	S	1	PD27DEC17	2134.00	2127.29	USD	167.75	
27SEP17	S	1	PD27DEC17	2133.00	2127.29	USD	142.75	
27SEP17	S	1	PD27DEC17	2135.00	2127.29	USD	192.75	
27SEP17	S	1	PD27DEC17	2131.50	2127.29	USD	105.25	
27SEP17	S	1	PD27DEC17	2127.00	2127.29	USD	-7.25	
27SEP17	B	1	PD27DEC17	2125.50	2127.29	USD	44.75	
27SEP17	B	1	PD27DEC17	2126.50	2127.29	USD	19.75	
27SEP17	B	1	PD27DEC17	2126.00	2127.29	USD	32.25	
	NET	-28 AVG BGHT 2140.59	SOLD 2143.92				14,554.50	0.00
28SEP17	B	1	PD28DEC17	2134.00	2127.39	USD	-165.25	
28SEP17	S	2	PD28DEC17	2132.50	2127.39	USD	255.50	
28SEP17	B	1	PD28DEC17	2128.00	2127.39	USD	-15.25	
28SEP17	S	2	PD28DEC17	2126.00	2127.39	USD	-69.50	
28SEP17	S	1	PD28DEC17	2133.00	2127.39	USD	140.25	
28SEP17	S	1	PD28DEC17	2133.50	2127.39	USD	152.75	
28SEP17	S	5	PD28DEC17	2131.00	2127.39	USD	451.25	
28SEP17	S	1	PD28DEC17	2130.00	2127.39	USD	65.25	
28SEP17	S	1	PD28DEC17	2130.50	2127.39	USD	77.75	
28SEP17	B	3	PD28DEC17	2130.00	2127.39	USD	-195.75	
28SEP17	B	5	PD28DEC17	2130.50	2127.39	USD	-388.75	
28SEP17	S	1	PD28DEC17	2125.00	2127.39	USD	-59.75	
28SEP17	S	3	PD28DEC17	2125.50	2127.39	USD	-141.75	
28SEP17	S	1	PD28DEC17	2143.50	2127.39	USD	402.75	
11OCT17	B	6	PD28DEC17	2127.39	2127.39	USD	0.00	
	NET	-2 AVG BGHT 2129.30	SOLD 2130.22				509.50	0.00
29SEP17	B	1	PD29DEC17	2137.00	2127.50	USD	-237.50	
	NET	+1 AVG BGHT 2137.00					-237.50	0.00
04OCT17	B	1	PD04JAN18	2145.00	2128.65	USD	-408.75	
04OCT17	S	1	PD04JAN18	2151.50	2128.65	USD	571.25	
04OCT17	B	1	PD04JAN18	2166.00	2128.65	USD	-933.75	
04OCT17	B	1	PD04JAN18	2154.00	2128.65	USD	-633.75	
04OCT17	S	1	PD04JAN18	2159.00	2128.65	USD	758.75	
04OCT17	S	1	PD04JAN18	2165.00	2128.65	USD	908.75	
	NET	0 AVG BGHT 2155.00	SOLD 2158.50				262.50	0.00
05OCT17	S	1	PD05JAN18	2159.00	2128.85	USD	753.75	
05OCT17	B	1	PD05JAN18	2149.00	2128.85	USD	-503.75	
05OCT17	B	1	PD05JAN18	2169.50	2128.85	USD	-1,016.25	
06OCT17	S	1	PD05JAN18	2166.50	2128.85	USD	941.25	
06OCT17	S	1	PD05JAN18	2141.50	2128.85	USD	316.25	
06OCT17	B	1	PD05JAN18	2145.50	2128.85	USD	-416.25	
	NET	0 AVG BGHT 2154.67	SOLD 2155.67				75.00	0.00
09OCT17	B	1	PD09JAN18	2150.00	2129.62	USD	-509.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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09OCT17	B	1	PD09JAN18	2151.00	2129.62	USD	-534.50	
09OCT17	B	3	PD09JAN18	2155.50	2129.62	USD	-1,941.00	
09OCT17	B	2	PD09JAN18	2156.00	2129.62	USD	-1,319.00	
09OCT17	B	1	PD09JAN18	2149.00	2129.62	USD	-484.50	
09OCT17	B	1	PD09JAN18	2148.50	2129.62	USD	-472.00	
09OCT17	B	1	PD09JAN18	2155.00	2129.62	USD	-634.50	
09OCT17	B	1	PD09JAN18	2150.00	2129.62	USD	-509.50	
09OCT17	B	1	PD09JAN18	2157.50	2129.62	USD	-697.00	
09OCT17	B	1	PD09JAN18	2167.00	2129.62	USD	-934.50	
09OCT17	B	1	PD09JAN18	2166.00	2129.62	USD	-909.50	
11OCT17	S	6	PD09JAN18	2129.42	2129.62	USD	-30.00	
	NET	+8 AVG BGHT 2155.18	SOLD 2129.42				-8,975.50	0.00
10OCT17	B	1	PD10JAN18	2157.50	2129.81	USD	-692.25	
10OCT17	B	1	PD10JAN18	2158.00	2129.81	USD	-704.75	
10OCT17	B	1	PD10JAN18	2156.50	2129.81	USD	-667.25	
10OCT17	B	7	PD10JAN18	2157.00	2129.81	USD	-4,758.25	
10OCT17	S	2	PD10JAN18	2163.00	2129.81	USD	1,659.50	
10OCT17	S	1	PD10JAN18	2166.50	2129.81	USD	917.25	
10OCT17	S	1	PD10JAN18	2165.50	2129.81	USD	892.25	
10OCT17	S	3	PD10JAN18	2165.00	2129.81	USD	2,639.25	
10OCT17	S	3	PD10JAN18	2168.00	2129.81	USD	2,864.25	
10OCT17	S	1	PD10JAN18	2164.00	2129.81	USD	854.75	
10OCT17	S	1	PD10JAN18	2160.00	2129.81	USD	754.75	
10OCT17	S	2	PD10JAN18	2162.00	2129.81	USD	1,609.50	
10OCT17	S	11	PD10JAN18	2161.50	2129.81	USD	8,714.75	
10OCT17	S	1	PD10JAN18	2160.50	2129.81	USD	767.25	
10OCT17	S	1	PD10JAN18	2165.00	2129.81	USD	879.75	
10OCT17	B	1	PD10JAN18	2154.50	2129.81	USD	-617.25	
10OCT17	B	1	PD10JAN18	2152.00	2129.81	USD	-554.75	
10OCT17	B	1	PD10JAN18	2162.50	2129.81	USD	-817.25	
	NET	-14 AVG BGHT 2156.92	SOLD 2163.22				13,741.50	0.00
11OCT17	S	2	PD11JAN18	2156.00	2130.00	USD	1,300.00	
11OCT17	S	6	PD11JAN18	2157.00	2130.00	USD	4,050.00	
11OCT17	S	5	PD11JAN18	2156.50	2130.00	USD	3,312.50	
11OCT17	S	1	PD11JAN18	2151.50	2130.00	USD	537.50	
11OCT17	S	2	PD11JAN18	2151.00	2130.00	USD	1,050.00	
11OCT17	S	2	PD11JAN18	2150.50	2130.00	USD	1,025.00	
11OCT17	S	2	PD11JAN18	2159.00	2130.00	USD	1,450.00	
11OCT17	B	1	PD11JAN18	2141.50	2130.00	USD	-287.50	
11OCT17	S	1	PD11JAN18	2138.50	2130.00	USD	212.50	
11OCT17	S	1	PD11JAN18	2141.50	2130.00	USD	287.50	
11OCT17	B	1	PD11JAN18	2143.50	2130.00	USD	-337.50	
11OCT17	S	1	PD11JAN18	2151.00	2130.00	USD	525.00	
11OCT17	B	1	PD11JAN18	2147.50	2130.00	USD	-437.50	
11OCT17	S	1	PD11JAN18	2149.50	2130.00	USD	487.50	
11OCT17	S	1	PD11JAN18	2147.00	2130.00	USD	425.00	
11OCT17	S	1	PD11JAN18	2143.50	2130.00	USD	337.50	
11OCT17	B	1	PD11JAN18	2146.00	2130.00	USD	-400.00	
11OCT17	B	1	PD11JAN18	2156.50	2130.00	USD	-662.50	
11OCT17	B	1	PD11JAN18	2151.00	2130.00	USD	-525.00	
11OCT17	B	1	PD11JAN18	2150.50	2130.00	USD	-512.50	
11OCT17	B	3	PD11JAN18	2150.00	2130.00	USD	-1,500.00	
11OCT17	B	1	PD11JAN18	2148.50	2130.00	USD	-462.50	
11OCT17	B	1	PD11JAN18	2148.00	2130.00	USD	-450.00	
11OCT17	B	1	PD11JAN18	2144.50	2130.00	USD	-362.50	
11OCT17	B	1	PD11JAN18	2133.00	2130.00	USD	-75.00	
11OCT17	B	2	PD11JAN18	2133.50	2130.00	USD	-175.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11OCT17	B	1	PD11JAN18	2135.00	2130.00	USD	-125.00	
11OCT17	B	1	PD11JAN18	2134.50	2130.00	USD	-112.50	
11OCT17	B	7	PD11JAN18	2132.00	2130.00	USD	-350.00	
	NET	-1AVG BGHT 2140.84	SOLD 2153.08				8,225.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
26SEP17	S	1	PD19DEC17	6409.93	6792.50	USD	-9,564.25	
	NET	0AVG BGHT 6542.00	SOLD 6409.93				-3,301.75	0.00
21SEP17	S	1	PD21DEC17	6443.00	6791.50	USD	-8,712.50	
21SEP17	S	1	PD21DEC17	6426.50	6791.50	USD	-9,125.00	
21SEP17	B	1	PD21DEC17	6445.50	6791.50	USD	8,650.00	
21SEP17	S	1	PD21DEC17	6427.00	6791.50	USD	-9,112.50	
26SEP17	B	1	PD21DEC17	6409.20	6791.50	USD	9,557.50	
	NET	-1AVG BGHT 6427.35	SOLD 6432.17				-8,742.50	0.00
22SEP17	S	1	PD22DEC17	6426.50	6790.50	USD	-9,100.00	
22SEP17	B	1	PD22DEC17	6429.50	6790.50	USD	9,025.00	
22SEP17	B	2	PD22DEC17	6441.00	6790.50	USD	17,475.00	
22SEP17	B	1	PD22DEC17	6442.50	6790.50	USD	8,700.00	
22SEP17	B	1	PD22DEC17	6431.00	6790.50	USD	8,987.50	
22SEP17	S	1	PD22DEC17	6407.00	6790.50	USD	-9,587.50	
22SEP17	S	1	PD22DEC17	6400.50	6790.50	USD	-9,750.00	
22SEP17	S	1	PD22DEC17	6400.00	6790.50	USD	-9,762.50	
22SEP17	S	2	PD22DEC17	6387.00	6790.50	USD	-20,175.00	
22SEP17	B	1	PD22DEC17	6452.50	6790.50	USD	8,450.00	
22SEP17	S	1	PD22DEC17	6462.00	6790.50	USD	-8,212.50	
22SEP17	B	2	PD22DEC17	6478.50	6790.50	USD	15,600.00	
22SEP17	S	2	PD22DEC17	6477.00	6790.50	USD	-15,675.00	
	NET	-1AVG BGHT 6449.31	SOLD 6424.89				-14,025.00	0.00
25SEP17	S	2	PD27DEC17	6473.00	6793.00	USD	-16,000.00	
25SEP17	S	3	PD27DEC17	6457.00	6793.00	USD	-25,200.00	
26SEP17	S	1	PD27DEC17	6478.50	6793.00	USD	-7,862.50	
26SEP17	S	1	PD27DEC17	6479.00	6793.00	USD	-7,850.00	
26SEP17	B	2	PD27DEC17	6499.50	6793.00	USD	14,675.00	
26SEP17	B	1	PD27DEC17	6506.50	6793.00	USD	7,162.50	
26SEP17	B	1	PD27DEC17	6482.50	6793.00	USD	7,762.50	
26SEP17	B	1	PD27DEC17	6460.00	6793.00	USD	8,325.00	
26SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
26SEP17	S	1	PD27DEC17	6456.00	6793.00	USD	-8,425.00	
26SEP17	B	3	PD27DEC17	6437.50	6793.00	USD	26,662.50	
26SEP17	B	1	PD27DEC17	6442.50	6793.00	USD	8,762.50	
26SEP17	B	1	PD27DEC17	6439.50	6793.00	USD	8,837.50	
26SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
26SEP17	B	1	PD27DEC17	6435.00	6793.00	USD	8,950.00	
26SEP17	B	1	PD27DEC17	6433.50	6793.00	USD	8,987.50	
26SEP17	B	2	PD27DEC17	6434.50	6793.00	USD	17,925.00	
26SEP17	B	3	PD27DEC17	6427.00	6793.00	USD	27,450.00	
26SEP17	B	1	PD27DEC17	6425.00	6793.00	USD	9,200.00	
26SEP17	B	2	PD27DEC17	6424.00	6793.00	USD	18,450.00	
26SEP17	B	1	PD27DEC17	6422.50	6793.00	USD	9,262.50	
26SEP17	B	1	PD27DEC17	6415.00	6793.00	USD	9,450.00	
26SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
26SEP17	B	1	PD27DEC17	6417.50	6793.00	USD	9,387.50	
26SEP17	B	1	PD27DEC17	6418.50	6793.00	USD	9,362.50	
26SEP17	S	1	PD27DEC17	6411.50	6793.00	USD	-9,537.50	
26SEP17	S	2	PD27DEC17	6412.50	6793.00	USD	-19,025.00	

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26SEP17	S	1	PD27DEC17	6417.00	6793.00	USD	-9,400.00	
26SEP17	S	1	PD27DEC17	6416.50	6793.00	USD	-9,412.50	
27SEP17	S	1	PD27DEC17	6426.00	6793.00	USD	-9,175.00	
27SEP17	S	2	PD27DEC17	6440.50	6793.00	USD	-17,625.00	
27SEP17	B	1	PD27DEC17	6463.50	6793.00	USD	8,237.50	
27SEP17	B	1	PD27DEC17	6470.50	6793.00	USD	8,062.50	
27SEP17	B	2	PD27DEC17	6464.50	6793.00	USD	16,425.00	
27SEP17	S	2	PD27DEC17	6456.00	6793.00	USD	-16,850.00	
27SEP17	S	1	PD27DEC17	6454.50	6793.00	USD	-8,462.50	
27SEP17	B	2	PD27DEC17	6456.00	6793.00	USD	16,850.00	
27SEP17	S	1	PD27DEC17	6452.50	6793.00	USD	-8,512.50	
27SEP17	S	1	PD27DEC17	6448.00	6793.00	USD	-8,625.00	
27SEP17	S	1	PD27DEC17	6445.00	6793.00	USD	-8,700.00	
27SEP17	S	1	PD27DEC17	6447.50	6793.00	USD	-8,637.50	
27SEP17	S	1	PD27DEC17	6444.50	6793.00	USD	-8,712.50	
27SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
27SEP17	S	4	PD27DEC17	6437.00	6793.00	USD	-35,600.00	
27SEP17	S	3	PD27DEC17	6427.50	6793.00	USD	-27,412.50	
27SEP17	S	1	PD27DEC17	6433.50	6793.00	USD	-8,987.50	
27SEP17	S	2	PD27DEC17	6432.50	6793.00	USD	-18,025.00	
27SEP17	S	2	PD27DEC17	6430.50	6793.00	USD	-18,125.00	
27SEP17	S	1	PD27DEC17	6415.00	6793.00	USD	-9,450.00	
27SEP17	S	2	PD27DEC17	6425.50	6793.00	USD	-18,375.00	
	NET	-9 AVG BGHT 6445.72	SOLD 6440.52				-83,462.50	0.00
28SEP17	B	1	PD28DEC17	6457.50	6793.47	USD	8,399.25	
28SEP17	B	1	PD28DEC17	6425.00	6793.47	USD	9,211.75	
28SEP17	S	1	PD28DEC17	6411.50	6793.47	USD	-9,549.25	
28SEP17	S	1	PD28DEC17	6400.50	6793.47	USD	-9,824.25	
28SEP17	S	2	PD28DEC17	6424.50	6793.47	USD	-18,448.50	
28SEP17	B	2	PD28DEC17	6424.50	6793.47	USD	18,448.50	
28SEP17	S	1	PD28DEC17	6408.00	6793.47	USD	-9,636.75	
28SEP17	S	2	PD28DEC17	6408.50	6793.47	USD	-19,248.50	
28SEP17	S	2	PD28DEC17	6413.00	6793.47	USD	-19,023.50	
28SEP17	S	2	PD28DEC17	6427.00	6793.47	USD	-18,323.50	
28SEP17	B	1	PD28DEC17	6429.50	6793.47	USD	9,099.25	
28SEP17	B	1	PD28DEC17	6432.50	6793.47	USD	9,024.25	
28SEP17	B	1	PD28DEC17	6433.00	6793.47	USD	9,011.75	
28SEP17	B	1	PD28DEC17	6427.50	6793.47	USD	9,149.25	
28SEP17	B	1	PD28DEC17	6440.00	6793.47	USD	8,836.75	
28SEP17	B	1	PD28DEC17	6438.00	6793.47	USD	8,886.75	
28SEP17	B	1	PD28DEC17	6436.00	6793.47	USD	8,936.75	
28SEP17	B	1	PD28DEC17	6434.00	6793.47	USD	8,986.75	
28SEP17	B	1	PD28DEC17	6467.00	6793.47	USD	8,161.75	
28SEP17	B	1	PD28DEC17	6475.50	6793.47	USD	7,949.25	
28SEP17	B	1	PD28DEC17	6478.50	6793.47	USD	7,874.25	
28SEP17	B	1	PD28DEC17	6479.00	6793.47	USD	7,861.75	
28SEP17	B	1	PD28DEC17	6477.50	6793.47	USD	7,899.25	
28SEP17	B	2	PD28DEC17	6470.00	6793.47	USD	16,173.50	
28SEP17	B	1	PD28DEC17	6469.00	6793.47	USD	8,111.75	
28SEP17	B	1	PD28DEC17	6461.50	6793.47	USD	8,299.25	
28SEP17	B	2	PD28DEC17	6463.50	6793.47	USD	16,498.50	
28SEP17	B	2	PD28DEC17	6465.50	6793.47	USD	16,398.50	
28SEP17	B	1	PD28DEC17	6486.00	6793.47	USD	7,686.75	
28SEP17	B	1	PD28DEC17	6489.00	6793.47	USD	7,611.75	
28SEP17	B	2	PD28DEC17	6489.50	6793.47	USD	15,198.50	
28SEP17	B	1	PD28DEC17	6515.00	6793.47	USD	6,961.75	
28SEP17	B	4	PD28DEC17	6514.00	6793.47	USD	27,947.00	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	B	1	PD28DEC17		6522.50	6793.47	USD	6,774.25	
28SEP17	S	1	PD28DEC17		6514.50	6793.47	USD	-6,974.25	
28SEP17	S	1	PD28DEC17		6515.00	6793.47	USD	-6,961.75	
28SEP17	S	1	PD28DEC17		6534.00	6793.47	USD	-6,486.75	
28SEP17	S	1	PD28DEC17		6542.00	6793.47	USD	-6,286.75	
28SEP17	S	1	PD28DEC17		6565.00	6793.47	USD	-5,711.75	
28SEP17	S	1	PD28DEC17		6572.00	6793.47	USD	-5,536.75	
28SEP17	S	1	PD28DEC17		6554.50	6793.47	USD	-5,974.25	
28SEP17	S	2	PD28DEC17		6557.00	6793.47	USD	-11,823.50	
28SEP17	S	1	PD28DEC17		6558.00	6793.47	USD	-5,886.75	
28SEP17	S	1	PD28DEC17		6556.00	6793.47	USD	-5,936.75	
28SEP17	S	1	PD28DEC17		6557.50	6793.47	USD	-5,899.25	
28SEP17	B	1	PD28DEC17		6557.50	6793.47	USD	5,899.25	
28SEP17	B	1	PD28DEC17		6555.00	6793.47	USD	5,961.75	
	NET	+14 AVG	BGHT 6472.11	SOLD 6484.72				119,727.00	0.00
29SEP17	S	2	PD29DEC17		6535.50	6793.93	USD	-12,921.50	
29SEP17	S	1	PD29DEC17		6540.00	6793.93	USD	-6,348.25	
29SEP17	S	2	PD29DEC17		6540.50	6793.93	USD	-12,671.50	
29SEP17	S	3	PD29DEC17		6539.50	6793.93	USD	-19,082.25	
29SEP17	S	2	PD29DEC17		6534.50	6793.93	USD	-12,971.50	
29SEP17	S	2	PD29DEC17		6531.00	6793.93	USD	-13,146.50	
29SEP17	S	2	PD29DEC17		6528.50	6793.93	USD	-13,271.50	
29SEP17	S	1	PD29DEC17		6538.00	6793.93	USD	-6,398.25	
29SEP17	S	3	PD29DEC17		6535.00	6793.93	USD	-19,419.75	
29SEP17	S	3	PD29DEC17		6532.00	6793.93	USD	-19,644.75	
29SEP17	S	1	PD29DEC17		6525.00	6793.93	USD	-6,723.25	
29SEP17	S	2	PD29DEC17		6525.50	6793.93	USD	-13,421.50	
29SEP17	S	1	PD29DEC17		6527.00	6793.93	USD	-6,673.25	
29SEP17	B	2	PD29DEC17		6553.50	6793.93	USD	12,021.50	
29SEP17	B	1	PD29DEC17		6553.00	6793.93	USD	6,023.25	
29SEP17	B	2	PD29DEC17		6564.00	6793.93	USD	11,496.50	
29SEP17	B	2	PD29DEC17		6568.00	6793.93	USD	11,296.50	
29SEP17	B	3	PD29DEC17		6567.50	6793.93	USD	16,982.25	
29SEP17	B	1	PD29DEC17		6565.50	6793.93	USD	5,710.75	
29SEP17	B	1	PD29DEC17		6567.00	6793.93	USD	5,673.25	
29SEP17	B	2	PD29DEC17		6569.50	6793.93	USD	11,221.50	
29SEP17	B	1	PD29DEC17		6563.00	6793.93	USD	5,773.25	
29SEP17	B	2	PD29DEC17		6562.50	6793.93	USD	11,571.50	
29SEP17	B	1	PD29DEC17		6562.00	6793.93	USD	5,798.25	
29SEP17	B	1	PD29DEC17		6561.00	6793.93	USD	5,823.25	
29SEP17	B	2	PD29DEC17		6566.50	6793.93	USD	11,371.50	
29SEP17	B	6	PD29DEC17		6570.00	6793.93	USD	33,589.50	
29SEP17	B	1	PD29DEC17		6574.50	6793.93	USD	5,485.75	
29SEP17	B	2	PD29DEC17		6574.00	6793.93	USD	10,996.50	
29SEP17	B	2	PD29DEC17		6573.50	6793.93	USD	11,021.50	
29SEP17	B	3	PD29DEC17		6571.00	6793.93	USD	16,719.75	
29SEP17	B	1	PD29DEC17		6578.50	6793.93	USD	5,385.75	
29SEP17	S	1	PD29DEC17		6578.50	6793.93	USD	-5,385.75	
29SEP17	B	1	PD29DEC17		6578.00	6793.93	USD	5,398.25	
29SEP17	B	1	PD29DEC17		6576.00	6793.93	USD	5,448.25	
29SEP17	B	1	PD29DEC17		6575.00	6793.93	USD	5,473.25	
29SEP17	S	1	PD29DEC17		6566.00	6793.93	USD	-5,698.25	
29SEP17	B	1	PD29DEC17		6561.50	6793.93	USD	5,810.75	
29SEP17	S	1	PD29DEC17		6563.50	6793.93	USD	-5,760.75	
11OCT17	S	12	PD29DEC17		6793.93	6793.93	USD	0.00	
	NET	0 AVG	BGHT 6567.84	SOLD 6614.39				46,554.00	0.00
09OCT17	B	1	PD09JAN18		6678.00	6799.07	USD	3,026.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	6680.50	6799.07	USD	2,964.25	
09OCT17	B	2	PD09JAN18	6675.50	6799.07	USD	6,178.50	
09OCT17	S	1	PD09JAN18	6630.00	6799.07	USD	-4,226.75	
09OCT17	B	1	PD09JAN18	6658.50	6799.07	USD	3,514.25	
09OCT17	B	1	PD09JAN18	6677.50	6799.07	USD	3,039.25	
09OCT17	S	1	PD09JAN18	6635.50	6799.07	USD	-4,089.25	
09OCT17	B	1	PD09JAN18	6680.00	6799.07	USD	2,976.75	
09OCT17	S	1	PD09JAN18	6630.50	6799.07	USD	-4,214.25	
09OCT17	S	1	PD09JAN18	6650.00	6799.07	USD	-3,726.75	
09OCT17	S	3	PD09JAN18	6662.00	6799.07	USD	-10,280.25	
09OCT17	B	2	PD09JAN18	6675.00	6799.07	USD	6,203.50	
09OCT17	S	1	PD09JAN18	6636.00	6799.07	USD	-4,076.75	
09OCT17	B	1	PD09JAN18	6678.50	6799.07	USD	3,014.25	
09OCT17	S	1	PD09JAN18	6659.00	6799.07	USD	-3,501.75	
09OCT17	S	1	PD09JAN18	6657.00	6799.07	USD	-3,551.75	
09OCT17	S	2	PD09JAN18	6639.50	6799.07	USD	-7,978.50	
09OCT17	S	3	PD09JAN18	6638.00	6799.07	USD	-12,080.25	
09OCT17	B	2	PD09JAN18	6682.00	6799.07	USD	5,853.50	
09OCT17	S	6	PD09JAN18	6655.00	6799.07	USD	-21,610.50	
09OCT17	B	1	PD09JAN18	6682.50	6799.07	USD	2,914.25	
09OCT17	S	2	PD09JAN18	6638.50	6799.07	USD	-8,028.50	
09OCT17	S	1	PD09JAN18	6678.00	6799.07	USD	-3,026.75	
09OCT17	S	4	PD09JAN18	6660.00	6799.07	USD	-13,907.00	
09OCT17	S	1	PD09JAN18	6656.00	6799.07	USD	-3,576.75	
09OCT17	S	1	PD09JAN18	6645.00	6799.07	USD	-3,851.75	
09OCT17	S	2	PD09JAN18	6677.50	6799.07	USD	-6,078.50	
09OCT17	S	1	PD09JAN18	6629.50	6799.07	USD	-4,239.25	
09OCT17	S	2	PD09JAN18	6637.00	6799.07	USD	-8,103.50	
09OCT17	S	3	PD09JAN18	6632.00	6799.07	USD	-12,530.25	
09OCT17	S	3	PD09JAN18	6637.50	6799.07	USD	-12,117.75	
09OCT17	S	1	PD09JAN18	6675.50	6799.07	USD	-3,089.25	
09OCT17	S	1	PD09JAN18	6676.50	6799.07	USD	-3,064.25	
09OCT17	S	1	PD09JAN18	6671.00	6799.07	USD	-3,201.75	
09OCT17	S	1	PD09JAN18	6670.50	6799.07	USD	-3,214.25	
09OCT17	S	1	PD09JAN18	6638.00	6799.07	USD	-4,026.75	
09OCT17	S	1	PD09JAN18	6636.00	6799.07	USD	-4,076.75	
09OCT17	B	1	PD09JAN18	6677.00	6799.07	USD	3,051.75	
09OCT17	B	2	PD09JAN18	6662.50	6799.07	USD	6,828.50	
09OCT17	B	3	PD09JAN18	6660.00	6799.07	USD	10,430.25	
09OCT17	B	2	PD09JAN18	6660.50	6799.07	USD	6,928.50	
09OCT17	B	5	PD09JAN18	6659.50	6799.07	USD	17,446.25	
09OCT17	S	9	PD09JAN18	6661.00	6799.07	USD	-31,065.75	
09OCT17	S	2	PD09JAN18	6661.50	6799.07	USD	-6,878.50	
09OCT17	S	1	PD09JAN18	6663.00	6799.07	USD	-3,401.75	
09OCT17	B	1	PD09JAN18	6666.50	6799.07	USD	3,314.25	
09OCT17	B	1	PD09JAN18	6665.50	6799.07	USD	3,339.25	
09OCT17	B	1	PD09JAN18	6669.00	6799.07	USD	3,251.75	
09OCT17	S	1	PD09JAN18	6657.50	6799.07	USD	-3,539.25	
09OCT17	B	1	PD09JAN18	6669.50	6799.07	USD	3,239.25	
11OCT17	B	12	PD09JAN18	6799.27	6799.07	USD	-60.00	
	NET	-18 AVG BGHT 6706.26	SOLD 6652.17				-122,900.00	0.00
10OCT17	B	1	PD10JAN18	6688.00	6799.53	USD	2,788.25	
10OCT17	B	3	PD10JAN18	6693.50	6799.53	USD	7,952.25	
10OCT17	B	1	PD10JAN18	6689.50	6799.53	USD	2,750.75	
10OCT17	B	1	PD10JAN18	6720.00	6799.53	USD	1,988.25	
10OCT17	B	1	PD10JAN18	6723.50	6799.53	USD	1,900.75	
10OCT17	B	1	PD10JAN18	6728.00	6799.53	USD	1,788.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	6724.50	6799.53	USD	-1,875.75	
10OCT17	S	1	PD10JAN18	6705.00	6799.53	USD	-2,363.25	
10OCT17	S	1	PD10JAN18	6707.00	6799.53	USD	-2,313.25	
10OCT17	S	1	PD10JAN18	6702.00	6799.53	USD	-2,438.25	
10OCT17	S	1	PD10JAN18	6686.50	6799.53	USD	-2,825.75	
10OCT17	B	1	PD10JAN18	6700.00	6799.53	USD	2,488.25	
10OCT17	S	2	PD10JAN18	6690.50	6799.53	USD	-5,451.50	
10OCT17	S	9	PD10JAN18	6691.00	6799.53	USD	-24,419.25	
10OCT17	S	2	PD10JAN18	6689.50	6799.53	USD	-5,501.50	
10OCT17	S	2	PD10JAN18	6689.00	6799.53	USD	-5,526.50	
10OCT17	S	4	PD10JAN18	6687.00	6799.53	USD	-11,253.00	
10OCT17	S	1	PD10JAN18	6687.50	6799.53	USD	-2,800.75	
10OCT17	B	6	PD10JAN18	6695.00	6799.53	USD	15,679.50	
10OCT17	B	1	PD10JAN18	6701.00	6799.53	USD	2,463.25	
10OCT17	B	9	PD10JAN18	6694.00	6799.53	USD	23,744.25	
10OCT17	S	1	PD10JAN18	6716.50	6799.53	USD	-2,075.75	
10OCT17	S	1	PD10JAN18	6723.00	6799.53	USD	-1,913.25	
10OCT17	S	5	PD10JAN18	6722.00	6799.53	USD	-9,691.25	
10OCT17	B	1	PD10JAN18	6736.50	6799.53	USD	1,575.75	
10OCT17	B	1	PD10JAN18	6739.00	6799.53	USD	1,513.25	
10OCT17	B	1	PD10JAN18	6697.00	6799.53	USD	2,563.25	
10OCT17	B	1	PD10JAN18	6740.00	6799.53	USD	1,488.25	
10OCT17	B	5	PD10JAN18	6747.50	6799.53	USD	6,503.75	
10OCT17	B	1	PD10JAN18	6749.00	6799.53	USD	1,263.25	
10OCT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
10OCT17	B	3	PD10JAN18	6748.00	6799.53	USD	3,864.75	
10OCT17	B	2	PD10JAN18	6742.00	6799.53	USD	2,876.50	
10OCT17	B	1	PD10JAN18	6755.00	6799.53	USD	1,113.25	
10OCT17	B	1	PD10JAN18	6756.00	6799.53	USD	1,088.25	
10OCT17	B	1	PD10JAN18	6759.00	6799.53	USD	1,013.25	
10OCT17	B	1	PD10JAN18	6756.50	6799.53	USD	1,075.75	
10OCT17	B	1	PD10JAN18	6759.50	6799.53	USD	1,000.75	
10OCT17	B	1	PD10JAN18	6758.50	6799.53	USD	1,025.75	
10OCT17	B	1	PD10JAN18	6753.50	6799.53	USD	1,150.75	
10OCT17	B	2	PD10JAN18	6752.50	6799.53	USD	2,351.50	
10OCT17	B	2	PD10JAN18	6751.00	6799.53	USD	2,426.50	
10OCT17	B	2	PD10JAN18	6751.50	6799.53	USD	2,401.50	
10OCT17	B	1	PD10JAN18	6753.00	6799.53	USD	1,163.25	
10OCT17	S	1	PD10JAN18	6750.00	6799.53	USD	-1,238.25	
10OCT17	B	2	PD10JAN18	6747.00	6799.53	USD	2,626.50	
10OCT17	B	1	PD10JAN18	6743.00	6799.53	USD	1,413.25	
10OCT17	B	2	PD10JAN18	6743.50	6799.53	USD	2,801.50	
10OCT17	B	2	PD10JAN18	6742.50	6799.53	USD	2,851.50	
10OCT17	B	2	PD10JAN18	6741.50	6799.53	USD	2,901.50	
	NET	+31 AVG BGHT 6727.73	SOLD 6700.52				33,185.75	0.00
11OCT17	B	1	PD11JAN18	6748.50	6800.00	USD	1,287.50	
11OCT17	B	6	PD11JAN18	6738.00	6800.00	USD	9,300.00	
11OCT17	B	2	PD11JAN18	6739.50	6800.00	USD	3,025.00	
11OCT17	B	1	PD11JAN18	6740.00	6800.00	USD	1,500.00	
11OCT17	S	1	PD11JAN18	6734.50	6800.00	USD	-1,637.50	
11OCT17	S	1	PD11JAN18	6722.50	6800.00	USD	-1,937.50	
11OCT17	S	1	PD11JAN18	6723.50	6800.00	USD	-1,912.50	
11OCT17	S	2	PD11JAN18	6722.00	6800.00	USD	-3,900.00	
11OCT17	S	1	PD11JAN18	6727.00	6800.00	USD	-1,825.00	
11OCT17	B	1	PD11JAN18	6727.00	6800.00	USD	1,825.00	
11OCT17	B	1	PD11JAN18	6726.50	6800.00	USD	1,837.50	
11OCT17	B	1	PD11JAN18	6729.00	6800.00	USD	1,775.00	

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11OCT17	S	1	PD11JAN18	6741.50	6800.00	USD	-1,462.50	
11OCT17	S	1	PD11JAN18	6750.00	6800.00	USD	-1,250.00	
11OCT17	S	1	PD11JAN18	6750.50	6800.00	USD	-1,237.50	
11OCT17	S	2	PD11JAN18	6752.50	6800.00	USD	-2,375.00	
11OCT17	S	2	PD11JAN18	6753.00	6800.00	USD	-2,350.00	
11OCT17	S	1	PD11JAN18	6761.00	6800.00	USD	-975.00	
11OCT17	S	1	PD11JAN18	6758.50	6800.00	USD	-1,037.50	
11OCT17	S	2	PD11JAN18	6759.00	6800.00	USD	-2,050.00	
11OCT17	S	3	PD11JAN18	6760.00	6800.00	USD	-3,000.00	
11OCT17	B	2	PD11JAN18	6761.00	6800.00	USD	1,950.00	
11OCT17	B	1	PD11JAN18	6772.50	6800.00	USD	687.50	
11OCT17	B	1	PD11JAN18	6772.00	6800.00	USD	700.00	
11OCT17	B	1	PD11JAN18	6771.00	6800.00	USD	725.00	
11OCT17	B	2	PD11JAN18	6770.00	6800.00	USD	1,500.00	
11OCT17	B	1	PD11JAN18	6768.00	6800.00	USD	800.00	
11OCT17	B	2	PD11JAN18	6766.50	6800.00	USD	1,675.00	
11OCT17	B	2	PD11JAN18	6769.50	6800.00	USD	1,525.00	
11OCT17	B	4	PD11JAN18	6765.50	6800.00	USD	3,450.00	
11OCT17	B	1	PD11JAN18	6770.50	6800.00	USD	737.50	
11OCT17	B	1	PD11JAN18	6765.00	6800.00	USD	875.00	
11OCT17	B	6	PD11JAN18	6767.50	6800.00	USD	4,875.00	
11OCT17	B	2	PD11JAN18	6766.00	6800.00	USD	1,700.00	
11OCT17	B	1	PD11JAN18	6769.00	6800.00	USD	775.00	
11OCT17	B	2	PD11JAN18	6768.50	6800.00	USD	1,575.00	
11OCT17	B	6	PD11JAN18	6773.50	6800.00	USD	3,975.00	
11OCT17	B	6	PD11JAN18	6771.50	6800.00	USD	4,275.00	
11OCT17	B	2	PD11JAN18	6774.00	6800.00	USD	1,300.00	
11OCT17	B	1	PD11JAN18	6775.00	6800.00	USD	625.00	
11OCT17	B	1	PD11JAN18	6784.00	6800.00	USD	400.00	
11OCT17	B	2	PD11JAN18	6783.50	6800.00	USD	825.00	
11OCT17	B	1	PD11JAN18	6787.00	6800.00	USD	325.00	
11OCT17	B	1	PD11JAN18	6788.00	6800.00	USD	300.00	
11OCT17	B	1	PD11JAN18	6793.00	6800.00	USD	175.00	
11OCT17	B	2	PD11JAN18	6792.00	6800.00	USD	400.00	
11OCT17	B	1	PD11JAN18	6791.50	6800.00	USD	212.50	
11OCT17	B	1	PD11JAN18	6790.00	6800.00	USD	250.00	
11OCT17	B	2	PD11JAN18	6788.50	6800.00	USD	575.00	
11OCT17	B	1	PD11JAN18	6785.50	6800.00	USD	362.50	
11OCT17	B	2	PD11JAN18	6791.00	6800.00	USD	450.00	
11OCT17	B	1	PD11JAN18	6789.50	6800.00	USD	262.50	
11OCT17	S	2	PD11JAN18	6764.50	6800.00	USD	-1,775.00	
11OCT17	S	2	PD11JAN18	6764.00	6800.00	USD	-1,800.00	
11OCT17	S	2	PD11JAN18	6763.00	6800.00	USD	-1,850.00	
11OCT17	S	4	PD11JAN18	6763.50	6800.00	USD	-3,650.00	
11OCT17	B	1	PD11JAN18	6778.00	6800.00	USD	550.00	
11OCT17	B	1	PD11JAN18	6777.50	6800.00	USD	562.50	
11OCT17	B	1	PD11JAN18	6781.50	6800.00	USD	462.50	
11OCT17	B	1	PD11JAN18	6781.00	6800.00	USD	475.00	
11OCT17	B	1	PD11JAN18	6779.50	6800.00	USD	512.50	
11OCT17	B	3	PD11JAN18	6782.50	6800.00	USD	1,312.50	
11OCT17	B	3	PD11JAN18	6783.00	6800.00	USD	1,275.00	
11OCT17	B	8	PD11JAN18	6792.50	6800.00	USD	1,500.00	
11OCT17	B	5	PD11JAN18	6799.00	6800.00	USD	125.00	
11OCT17	B	3	PD11JAN18	6797.50	6800.00	USD	187.50	
11OCT17	B	7	PD11JAN18	6800.00	6800.00	USD	0.00	
11OCT17	B	1	PD11JAN18	6800.50	6800.00	USD	-12.50	
11OCT17	B	3	PD11JAN18	6802.00	6800.00	USD	-150.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6802.50	6800.00	USD	-125.00	
11OCT17	B	1	PD11JAN18	6803.50	6800.00	USD	-87.50	
11OCT17	B	4	PD11JAN18	6804.50	6800.00	USD	-450.00	
11OCT17	B	1	PD11JAN18	6806.50	6800.00	USD	-162.50	
11OCT17	B	1	PD11JAN18	6807.50	6800.00	USD	-187.50	
11OCT17	B	2	PD11JAN18	6805.00	6800.00	USD	-250.00	
11OCT17	B	2	PD11JAN18	6812.00	6800.00	USD	-600.00	
11OCT17	B	1	PD11JAN18	6804.00	6800.00	USD	-100.00	
11OCT17	B	6	PD11JAN18	6795.00	6800.00	USD	750.00	
11OCT17	B	4	PD11JAN18	6794.50	6800.00	USD	550.00	
11OCT17	B	3	PD11JAN18	6794.00	6800.00	USD	450.00	
11OCT17	B	4	PD11JAN18	6796.00	6800.00	USD	400.00	
11OCT17	B	1	PD11JAN18	6796.50	6800.00	USD	87.50	
11OCT17	B	2	PD11JAN18	6798.00	6800.00	USD	100.00	
11OCT17	B	5	PD11JAN18	6797.00	6800.00	USD	375.00	
11OCT17	B	3	PD11JAN18	6801.00	6800.00	USD	-75.00	
11OCT17	B	4	PD11JAN18	6801.50	6800.00	USD	-150.00	
11OCT17	B	2	PD11JAN18	6818.00	6800.00	USD	-900.00	
11OCT17	B	1	PD11JAN18	6817.50	6800.00	USD	-437.50	
11OCT17	B	1	PD11JAN18	6819.50	6800.00	USD	-487.50	
11OCT17	B	1	PD11JAN18	6822.00	6800.00	USD	-550.00	
11OCT17	S	2	PD11JAN18	6767.50	6800.00	USD	-1,625.00	
11OCT17	S	1	PD11JAN18	6785.50	6800.00	USD	-362.50	
11OCT17	S	1	PD11JAN18	6779.00	6800.00	USD	-525.00	
11OCT17	S	2	PD11JAN18	6779.50	6800.00	USD	-1,025.00	
11OCT17	S	3	PD11JAN18	6778.00	6800.00	USD	-1,650.00	
11OCT17	S	1	PD11JAN18	6776.50	6800.00	USD	-587.50	
11OCT17	S	4	PD11JAN18	6776.00	6800.00	USD	-2,400.00	
11OCT17	S	1	PD11JAN18	6773.50	6800.00	USD	-662.50	
11OCT17	S	1	PD11JAN18	6775.00	6800.00	USD	-625.00	
11OCT17	S	2	PD11JAN18	6774.50	6800.00	USD	-1,275.00	
11OCT17	S	1	PD11JAN18	6774.00	6800.00	USD	-650.00	
11OCT17	S	3	PD11JAN18	6773.00	6800.00	USD	-2,025.00	
11OCT17	S	1	PD11JAN18	6780.00	6800.00	USD	-500.00	
11OCT17	S	4	PD11JAN18	6779.00	6800.00	USD	-2,100.00	
11OCT17	S	1	PD11JAN18	6778.50	6800.00	USD	-537.50	
11OCT17	S	1	PD11JAN18	6807.00	6800.00	USD	175.00	
11OCT17	S	1	PD11JAN18	6807.50	6800.00	USD	187.50	
11OCT17	S	2	PD11JAN18	6810.50	6800.00	USD	525.00	
11OCT17	S	1	PD11JAN18	6814.50	6800.00	USD	362.50	
11OCT17	S	1	PD11JAN18	6818.00	6800.00	USD	450.00	
11OCT17	S	1	PD11JAN18	6815.50	6800.00	USD	387.50	
11OCT17	S	3	PD11JAN18	6817.00	6800.00	USD	1,275.00	
11OCT17	B	1	PD11JAN18	6801.00	6800.00	USD	-25.00	
11OCT17	B	1	PD11JAN18	6802.00	6800.00	USD	-50.00	
11OCT17	S	1	PD11JAN18	6818.50	6800.00	USD	462.50	
	NET	+95 AVG BGHT 6784.47		SOLD 6771.74			14,937.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
27SEP17	S	1	DEC17	292.800	309.550	USD	-4,187.50	
27SEP17	S	2	DEC17	292.900	309.550	USD	-8,325.00	
27SEP17	S	2	DEC17	292.850	309.550	USD	-8,350.00	
05OCT17	S	1	DEC17	302.450	309.550	USD	-1,775.00	
09OCT17	S	8	DEC17	302.550	309.550	USD	-14,000.00	
09OCT17	S	1	DEC17	302.800	309.550	USD	-1,687.50	
	NET	-15 AVG SOLD 299.330					-38,325.00	0.00
	<u>CMX GOLD 100 CMX</u>							

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	CMX GOLD 100 CMX					
09OCT17	B 1 DEC17	1282.9	1288.9	USD	600.00	
09OCT17	B 1 DEC17	1282.1	1288.9	USD	680.00	
09OCT17	B 1 DEC17	1283.7	1288.9	USD	520.00	
09OCT17	B 2 DEC17	1283.3	1288.9	USD	1,120.00	
09OCT17	B 2 DEC17	1283.2	1288.9	USD	1,140.00	
09OCT17	B 1 DEC17	1283.1	1288.9	USD	580.00	
09OCT17	B 1 DEC17	1282.7	1288.9	USD	620.00	
09OCT17	B 2 DEC17	1282.6	1288.9	USD	1,260.00	
09OCT17	B 4 DEC17	1284.3	1288.9	USD	1,840.00	
09OCT17	B 1 DEC17	1285.3	1288.9	USD	360.00	
09OCT17	B 2 DEC17	1285.2	1288.9	USD	740.00	
09OCT17	B 4 DEC17	1284.9	1288.9	USD	1,600.00	
09OCT17	B 5 DEC17	1285.1	1288.9	USD	1,900.00	
10OCT17	B 5 DEC17	1288.4	1288.9	USD	250.00	
	NET +32 AVG BGHT 1284.8				13,210.00	0.00
	LME LEAD 25 TONNES. THIS IS AN					
	LME REGISTERED CLIENT CONTRACT					
11OCT17	S 1 PD15DEC17	2563.25	2563.25	USD	0.00	
	NET -1 AVG SOLD 2563.25				0.00	0.00
18SEP17	B 1 PD18DEC17	2390.00	2564.00	USD	4,350.00	
18SEP17	S 1 PD18DEC17	2400.00	2564.00	USD	-4,100.00	
11OCT17	S 1 PD18DEC17	2564.00	2564.00	USD	0.00	
	NET -1 AVG BGHT 2390.00 SOLD 2482.00				250.00	0.00
19SEP17	B 1 PD19DEC17	2385.00	2564.25	USD	4,481.25	
19SEP17	S 1 PD19DEC17	2410.50	2564.25	USD	-3,843.75	
19SEP17	B 1 PD19DEC17	2427.50	2564.25	USD	3,418.75	
	NET +1 AVG BGHT 2406.25 SOLD 2410.50				4,056.25	0.00
20SEP17	B 1 PD20DEC17	2433.50	2564.50	USD	3,275.00	
20SEP17	B 1 PD20DEC17	2436.00	2564.50	USD	3,212.50	
20SEP17	B 1 PD20DEC17	2458.00	2564.50	USD	2,662.50	
20SEP17	S 1 PD20DEC17	2456.50	2564.50	USD	-2,700.00	
11OCT17	S 1 PD20DEC17	2564.50	2564.50	USD	0.00	
	NET +1 AVG BGHT 2442.50 SOLD 2510.50				6,450.00	0.00
21SEP17	B 1 PD21DEC17	2446.50	2563.50	USD	2,925.00	
21SEP17	S 1 PD21DEC17	2479.50	2563.50	USD	-2,100.00	
21SEP17	B 1 PD21DEC17	2481.50	2563.50	USD	2,050.00	
21SEP17	S 1 PD21DEC17	2483.50	2563.50	USD	-2,000.00	
21SEP17	S 1 PD21DEC17	2504.00	2563.50	USD	-1,487.50	
21SEP17	S 1 PD21DEC17	2491.00	2563.50	USD	-1,812.50	
21SEP17	S 1 PD21DEC17	2482.50	2563.50	USD	-2,025.00	
21SEP17	S 2 PD21DEC17	2464.50	2563.50	USD	-4,950.00	
21SEP17	S 1 PD21DEC17	2449.50	2563.50	USD	-2,850.00	
21SEP17	B 1 PD21DEC17	2451.00	2563.50	USD	2,812.50	
21SEP17	S 1 PD21DEC17	2473.50	2563.50	USD	-2,250.00	
	NET -6 AVG BGHT 2459.67 SOLD 2476.94				-11,687.50	0.00
22SEP17	B 1 PD22DEC17	2435.00	2563.50	USD	3,212.50	
22SEP17	B 1 PD22DEC17	2438.00	2563.50	USD	3,137.50	
22SEP17	B 1 PD22DEC17	2421.50	2563.50	USD	3,550.00	
22SEP17	B 1 PD22DEC17	2403.50	2563.50	USD	4,000.00	
22SEP17	S 1 PD22DEC17	2426.50	2563.50	USD	-3,425.00	
22SEP17	B 1 PD22DEC17	2381.50	2563.50	USD	4,550.00	
22SEP17	B 1 PD22DEC17	2381.00	2563.50	USD	4,562.50	
22SEP17	B 1 PD22DEC17	2385.00	2563.50	USD	4,462.50	
22SEP17	S 1 PD22DEC17	2455.00	2563.50	USD	-2,712.50	
22SEP17	S 1 PD22DEC17	2455.50	2563.50	USD	-2,700.00	
22SEP17	S 1 PD22DEC17	2482.00	2563.50	USD	-2,037.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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22SEP17	S	1	PD22DEC17		2461.00	2563.50	USD	-2,562.50	
22SEP17	S	1	PD22DEC17		2457.50	2563.50	USD	-2,650.00	
22SEP17	B	1	PD22DEC17		2474.50	2563.50	USD	2,225.00	
22SEP17	S	1	PD22DEC17		2475.00	2563.50	USD	-2,212.50	
22SEP17	S	1	PD22DEC17		2483.50	2563.50	USD	-2,000.00	
22SEP17	S	1	PD22DEC17		2481.00	2563.50	USD	-2,062.50	
22SEP17	B	2	PD22DEC17		2489.00	2563.50	USD	3,725.00	
22SEP17	S	1	PD22DEC17		2476.50	2563.50	USD	-2,175.00	
	NET	0 AVG	BGHT 2429.80	SOLD 2465.35				8,887.50	0.00
25SEP17	S	1	PD27DEC17		2475.50	2563.50	USD	-2,200.00	
25SEP17	B	2	PD27DEC17		2501.00	2563.50	USD	3,125.00	
25SEP17	B	1	PD27DEC17		2506.00	2563.50	USD	1,437.50	
25SEP17	B	1	PD27DEC17		2508.00	2563.50	USD	1,387.50	
25SEP17	B	1	PD27DEC17		2506.50	2563.50	USD	1,425.00	
25SEP17	S	1	PD27DEC17		2503.50	2563.50	USD	-1,500.00	
25SEP17	S	1	PD27DEC17		2486.00	2563.50	USD	-1,937.50	
25SEP17	S	1	PD27DEC17		2488.50	2563.50	USD	-1,875.00	
25SEP17	S	1	PD27DEC17		2501.50	2563.50	USD	-1,550.00	
25SEP17	S	2	PD27DEC17		2503.00	2563.50	USD	-3,025.00	
25SEP17	S	1	PD27DEC17		2486.50	2563.50	USD	-1,925.00	
25SEP17	B	1	PD27DEC17		2494.50	2563.50	USD	1,725.00	
25SEP17	B	1	PD27DEC17		2493.50	2563.50	USD	1,750.00	
25SEP17	B	1	PD27DEC17		2477.00	2563.50	USD	2,162.50	
26SEP17	B	1	PD27DEC17		2490.00	2563.50	USD	1,837.50	
27SEP17	B	1	PD27DEC17		2481.00	2563.50	USD	2,062.50	
27SEP17	S	1	PD27DEC17		2460.00	2563.50	USD	-2,587.50	
27SEP17	S	1	PD27DEC17		2463.00	2563.50	USD	-2,512.50	
27SEP17	B	1	PD27DEC17		2466.00	2563.50	USD	2,437.50	
	NET	+1 AVG	BGHT 2493.14	SOLD 2487.05				237.50	0.00
28SEP17	B	1	PD28DEC17		2487.00	2563.60	USD	1,915.00	
28SEP17	S	2	PD28DEC17		2475.00	2563.60	USD	-4,430.00	
28SEP17	S	1	PD28DEC17		2474.50	2563.60	USD	-2,227.50	
28SEP17	B	1	PD28DEC17		2472.00	2563.60	USD	2,290.00	
28SEP17	B	1	PD28DEC17		2470.00	2563.60	USD	2,340.00	
28SEP17	S	1	PD28DEC17		2461.00	2563.60	USD	-2,565.00	
28SEP17	B	1	PD28DEC17		2488.00	2563.60	USD	1,890.00	
28SEP17	S	1	PD28DEC17		2459.00	2563.60	USD	-2,615.00	
28SEP17	B	1	PD28DEC17		2471.50	2563.60	USD	2,302.50	
28SEP17	S	1	PD28DEC17		2485.50	2563.60	USD	-1,952.50	
	NET	-1 AVG	BGHT 2477.70	SOLD 2471.67				-3,052.50	0.00
29SEP17	B	1	PD29DEC17		2488.50	2563.70	USD	1,880.00	
29SEP17	B	1	PD29DEC17		2499.00	2563.70	USD	1,617.50	
29SEP17	B	1	PD29DEC17		2503.50	2563.70	USD	1,505.00	
	NET	+3 AVG	BGHT 2497.00					5,002.50	0.00
04OCT17	S	1	PD04JAN18		2605.50	2564.30	USD	1,030.00	
04OCT17	S	1	PD04JAN18		2608.00	2564.30	USD	1,092.50	
04OCT17	B	2	PD04JAN18		2586.50	2564.30	USD	-1,110.00	
04OCT17	S	1	PD04JAN18		2587.00	2564.30	USD	567.50	
04OCT17	S	2	PD04JAN18		2588.50	2564.30	USD	1,210.00	
04OCT17	S	1	PD04JAN18		2594.00	2564.30	USD	742.50	
04OCT17	B	1	PD04JAN18		2567.00	2564.30	USD	-67.50	
04OCT17	B	1	PD04JAN18		2565.50	2564.30	USD	-30.00	
04OCT17	B	1	PD04JAN18		2551.50	2564.30	USD	320.00	
04OCT17	B	1	PD04JAN18		2548.50	2564.30	USD	395.00	
	NET	0 AVG	BGHT 2567.58	SOLD 2595.25				4,150.00	0.00
05OCT17	S	1	PD05JAN18		2568.00	2564.40	USD	90.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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05OCT17	S	1	PD05JAN18	2590.50	2564.40	USD	652.50	
05OCT17	S	1	PD05JAN18	2594.50	2564.40	USD	752.50	
06OCT17	S	1	PD05JAN18	2580.50	2564.40	USD	402.50	
06OCT17	B	1	PD05JAN18	2555.00	2564.40	USD	235.00	
06OCT17	B	1	PD05JAN18	2582.00	2564.40	USD	-440.00	
06OCT17	B	1	PD05JAN18	2547.50	2564.40	USD	422.50	
06OCT17	S	1	PD05JAN18	2548.50	2564.40	USD	-397.50	
06OCT17	B	1	PD05JAN18	2530.50	2564.40	USD	847.50	
06OCT17	B	1	PD05JAN18	2518.00	2564.40	USD	1,160.00	
06OCT17	S	1	PD05JAN18	2537.50	2564.40	USD	-672.50	
06OCT17	S	1	PD05JAN18	2543.50	2564.40	USD	-522.50	
06OCT17	B	1	PD05JAN18	2536.50	2564.40	USD	697.50	
06OCT17	B	1	PD05JAN18	2534.50	2564.40	USD	747.50	
	NET	0 AVG BGHT 2543.43	SOLD 2566.14				3,975.00	0.00
09OCT17	S	1	PD09JAN18	2516.00	2564.80	USD	-1,220.00	
09OCT17	B	1	PD09JAN18	2538.00	2564.80	USD	670.00	
09OCT17	B	1	PD09JAN18	2533.00	2564.80	USD	795.00	
09OCT17	B	1	PD09JAN18	2528.00	2564.80	USD	920.00	
09OCT17	S	1	PD09JAN18	2519.00	2564.80	USD	-1,145.00	
09OCT17	S	1	PD09JAN18	2520.50	2564.80	USD	-1,107.50	
09OCT17	B	1	PD09JAN18	2529.00	2564.80	USD	895.00	
09OCT17	B	1	PD09JAN18	2528.50	2564.80	USD	907.50	
09OCT17	S	1	PD09JAN18	2519.50	2564.80	USD	-1,132.50	
09OCT17	S	2	PD09JAN18	2528.00	2564.80	USD	-1,840.00	
09OCT17	S	1	PD09JAN18	2531.50	2564.80	USD	-832.50	
09OCT17	B	1	PD09JAN18	2510.50	2564.80	USD	1,357.50	
09OCT17	B	1	PD09JAN18	2508.50	2564.80	USD	1,407.50	
09OCT17	B	1	PD09JAN18	2508.00	2564.80	USD	1,420.00	
09OCT17	S	1	PD09JAN18	2496.00	2564.80	USD	-1,720.00	
09OCT17	S	1	PD09JAN18	2503.50	2564.80	USD	-1,532.50	
09OCT17	S	1	PD09JAN18	2498.50	2564.80	USD	-1,657.50	
09OCT17	S	1	PD09JAN18	2495.00	2564.80	USD	-1,745.00	
09OCT17	B	2	PD09JAN18	2487.50	2564.80	USD	3,865.00	
09OCT17	S	1	PD09JAN18	2514.50	2564.80	USD	-1,257.50	
09OCT17	S	1	PD09JAN18	2517.00	2564.80	USD	-1,195.00	
09OCT17	S	1	PD09JAN18	2518.00	2564.80	USD	-1,170.00	
	NET	-4 AVG BGHT 2515.85	SOLD 2514.64				-5,317.50	0.00
10OCT17	B	1	PD10JAN18	2520.50	2564.90	USD	1,110.00	
10OCT17	B	1	PD10JAN18	2523.00	2564.90	USD	1,047.50	
10OCT17	B	1	PD10JAN18	2522.50	2564.90	USD	1,060.00	
10OCT17	B	2	PD10JAN18	2519.50	2564.90	USD	2,270.00	
10OCT17	B	1	PD10JAN18	2518.00	2564.90	USD	1,172.50	
10OCT17	S	1	PD10JAN18	2497.50	2564.90	USD	-1,685.00	
10OCT17	S	1	PD10JAN18	2498.00	2564.90	USD	-1,672.50	
10OCT17	S	1	PD10JAN18	2493.00	2564.90	USD	-1,797.50	
10OCT17	S	1	PD10JAN18	2519.50	2564.90	USD	-1,135.00	
10OCT17	S	3	PD10JAN18	2503.50	2564.90	USD	-4,605.00	
10OCT17	S	1	PD10JAN18	2503.00	2564.90	USD	-1,547.50	
10OCT17	B	1	PD10JAN18	2504.00	2564.90	USD	1,522.50	
10OCT17	S	1	PD10JAN18	2506.50	2564.90	USD	-1,460.00	
10OCT17	S	1	PD10JAN18	2517.00	2564.90	USD	-1,197.50	
10OCT17	S	2	PD10JAN18	2508.00	2564.90	USD	-2,845.00	
10OCT17	B	1	PD10JAN18	2511.50	2564.90	USD	1,335.00	
10OCT17	B	1	PD10JAN18	2511.00	2564.90	USD	1,347.50	
10OCT17	B	1	PD10JAN18	2512.00	2564.90	USD	1,322.50	
10OCT17	S	1	PD10JAN18	2536.00	2564.90	USD	-722.50	
10OCT17	S	1	PD10JAN18	2534.50	2564.90	USD	-760.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	2535.00	2564.90	USD	-747.50	
10OCT17	S	1	PD10JAN18	2536.50	2564.90	USD	-710.00	
10OCT17	S	1	PD10JAN18	2503.00	2564.90	USD	-1,547.50	
11OCT17	B	3	PD10JAN18	2565.10	2564.90	USD	-15.00	
	NET	-4 AVG BGHT 2527.45	SOLD 2512.12				-10,260.00	0.00
11OCT17	S	1	PD11JAN18	2515.00	2565.00	USD	-1,250.00	
11OCT17	S	1	PD11JAN18	2535.50	2565.00	USD	-737.50	
11OCT17	S	1	PD11JAN18	2537.00	2565.00	USD	-700.00	
11OCT17	S	1	PD11JAN18	2515.50	2565.00	USD	-1,237.50	
11OCT17	B	1	PD11JAN18	2520.00	2565.00	USD	1,125.00	
11OCT17	B	1	PD11JAN18	2523.00	2565.00	USD	1,050.00	
11OCT17	B	1	PD11JAN18	2518.00	2565.00	USD	1,175.00	
	NET	-1 AVG BGHT 2520.33	SOLD 2525.75				-575.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	2	PD18DEC17	11225.00	11117.46	USD	1,290.48	
18SEP17	S	2	PD18DEC17	11175.00	11117.46	USD	690.48	
26SEP17	B	4	PD18DEC17	10469.17	11117.46	USD	15,558.96	
	NET	0 AVG BGHT 10469.17	SOLD 11200.00				17,539.92	0.00
19SEP17	B	1	PD19DEC17	11135.00	11118.23	USD	-100.62	
19SEP17	B	1	PD19DEC17	11145.00	11118.23	USD	-160.62	
19SEP17	B	4	PD19DEC17	11140.00	11118.23	USD	-522.48	
19SEP17	B	2	PD19DEC17	11100.00	11118.23	USD	218.76	
19SEP17	B	2	PD19DEC17	11095.00	11118.23	USD	278.76	
19SEP17	B	2	PD19DEC17	11035.00	11118.23	USD	998.76	
19SEP17	S	1	PD19DEC17	10995.00	11118.23	USD	-739.38	
19SEP17	S	1	PD19DEC17	10980.00	11118.23	USD	-829.38	
19SEP17	B	2	PD19DEC17	10970.00	11118.23	USD	1,778.76	
19SEP17	B	2	PD19DEC17	10995.00	11118.23	USD	1,478.76	
19SEP17	B	2	PD19DEC17	11110.00	11118.23	USD	98.76	
26SEP17	S	16	PD19DEC17	10470.09	11118.23	USD	-62,221.44	
	NET	0 AVG BGHT 11080.56	SOLD 10527.58				-59,721.36	0.00
20SEP17	B	2	PD20DEC17	11350.00	11119.00	USD	-2,772.00	
20SEP17	S	8	PD20DEC17	11355.00	11119.00	USD	11,328.00	
20SEP17	S	2	PD20DEC17	11325.00	11119.00	USD	2,472.00	
20SEP17	S	2	PD20DEC17	11345.00	11119.00	USD	2,712.00	
20SEP17	S	4	PD20DEC17	11350.00	11119.00	USD	5,544.00	
20SEP17	S	2	PD20DEC17	11365.00	11119.00	USD	2,952.00	
26SEP17	B	16	PD20DEC17	10472.00	11119.00	USD	62,112.00	
	NET	0 AVG BGHT 10569.56	SOLD 11350.56				84,348.00	0.00
21SEP17	S	1	PD21DEC17	11275.00	11119.73	USD	931.62	
21SEP17	B	2	PD21DEC17	11190.00	11119.73	USD	-843.24	
21SEP17	B	2	PD21DEC17	11205.00	11119.73	USD	-1,023.24	
21SEP17	B	4	PD21DEC17	11200.00	11119.73	USD	-1,926.48	
21SEP17	B	3	PD21DEC17	11210.00	11119.73	USD	-1,624.86	
21SEP17	B	2	PD21DEC17	11165.00	11119.73	USD	-543.24	
21SEP17	S	1	PD21DEC17	11115.00	11119.73	USD	-28.38	
21SEP17	B	4	PD21DEC17	11075.00	11119.73	USD	1,073.52	
21SEP17	S	2	PD21DEC17	11020.00	11119.73	USD	-1,196.76	
21SEP17	B	2	PD21DEC17	11040.00	11119.73	USD	956.76	
21SEP17	S	1	PD21DEC17	11020.00	11119.73	USD	-598.38	
21SEP17	B	1	PD21DEC17	10970.00	11119.73	USD	898.38	
21SEP17	B	9	PD21DEC17	10975.00	11119.73	USD	7,815.42	
21SEP17	B	13	PD21DEC17	11000.00	11119.73	USD	9,338.94	
21SEP17	B	6	PD21DEC17	11005.00	11119.73	USD	4,130.28	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	2	PD21DEC17	10995.00	11119.73	USD	1,496.76	
21SEP17	B	1	PD21DEC17	10950.00	11119.73	USD	1,018.38	
21SEP17	B	3	PD21DEC17	10955.00	11119.73	USD	2,965.14	
21SEP17	B	7	PD21DEC17	10980.00	11119.73	USD	5,868.66	
21SEP17	B	2	PD21DEC17	10985.00	11119.73	USD	1,616.76	
21SEP17	B	2	PD21DEC17	11085.00	11119.73	USD	416.76	
21SEP17	B	2	PD21DEC17	11095.00	11119.73	USD	296.76	
21SEP17	B	1	PD21DEC17	11100.00	11119.73	USD	118.38	
21SEP17	B	3	PD21DEC17	11070.00	11119.73	USD	895.14	
21SEP17	B	1	PD21DEC17	11060.00	11119.73	USD	358.38	
21SEP17	B	2	PD21DEC17	11105.00	11119.73	USD	176.76	
21SEP17	B	3	PD21DEC17	11110.00	11119.73	USD	175.14	
21SEP17	S	1	PD21DEC17	11095.00	11119.73	USD	-148.38	
21SEP17	S	3	PD21DEC17	11110.00	11119.73	USD	-175.14	
21SEP17	B	4	PD21DEC17	11080.00	11119.73	USD	953.52	
21SEP17	B	1	PD21DEC17	11005.00	11119.73	USD	688.38	
21SEP17	B	2	PD21DEC17	11010.00	11119.73	USD	1,316.76	
21SEP17	B	1	PD21DEC17	10995.00	11119.73	USD	748.38	
21SEP17	B	2	PD21DEC17	10990.00	11119.73	USD	1,556.76	
21SEP17	B	7	PD21DEC17	11090.00	11119.73	USD	1,248.66	
21SEP17	B	3	PD21DEC17	11010.00	11119.73	USD	1,975.14	
21SEP17	S	1	PD21DEC17	10905.00	11119.73	USD	-1,288.38	
21SEP17	S	1	PD21DEC17	10915.00	11119.73	USD	-1,228.38	
21SEP17	S	2	PD21DEC17	10880.00	11119.73	USD	-2,876.76	
21SEP17	S	1	PD21DEC17	10875.00	11119.73	USD	-1,468.38	
21SEP17	S	1	PD21DEC17	10885.00	11119.73	USD	-1,408.38	
21SEP17	S	2	PD21DEC17	10890.00	11119.73	USD	-2,756.76	
21SEP17	S	3	PD21DEC17	10895.00	11119.73	USD	-4,045.14	
21SEP17	S	1	PD21DEC17	10855.00	11119.73	USD	-1,588.38	
21SEP17	S	3	PD21DEC17	10830.00	11119.73	USD	-5,215.14	
21SEP17	S	7	PD21DEC17	10825.00	11119.73	USD	-12,378.66	
21SEP17	S	1	PD21DEC17	10810.00	11119.73	USD	-1,858.38	
21SEP17	S	1	PD21DEC17	10800.00	11119.73	USD	-1,918.38	
21SEP17	S	6	PD21DEC17	10805.00	11119.73	USD	-11,330.28	
21SEP17	S	2	PD21DEC17	10725.00	11119.73	USD	-4,736.76	
21SEP17	S	1	PD21DEC17	10720.00	11119.73	USD	-2,398.38	
21SEP17	S	1	PD21DEC17	10745.00	11119.73	USD	-2,248.38	
21SEP17	S	3	PD21DEC17	10715.00	11119.73	USD	-7,285.14	
21SEP17	S	3	PD21DEC17	10625.00	11119.73	USD	-8,905.14	
21SEP17	B	1	PD21DEC17	10685.00	11119.73	USD	2,608.38	
21SEP17	S	3	PD21DEC17	10830.00	11119.73	USD	-5,215.14	
21SEP17	S	2	PD21DEC17	10750.00	11119.73	USD	-4,436.76	
21SEP17	S	1	PD21DEC17	10725.00	11119.73	USD	-2,368.38	
21SEP17	S	1	PD21DEC17	10890.00	11119.73	USD	-1,378.38	
21SEP17	S	1	PD21DEC17	10860.00	11119.73	USD	-1,558.38	
21SEP17	S	1	PD21DEC17	10815.00	11119.73	USD	-1,828.38	
21SEP17	S	1	PD21DEC17	10635.00	11119.73	USD	-2,908.38	
26SEP17	S	4	PD21DEC17	10470.75	11119.73	USD	-15,575.52	
26SEP17	S	10	PD21DEC17	10471.75	11119.73	USD	-38,878.80	
	NET	+25 AVG BGHT 11043.62	SOLD 10776.58				-105,547.50	0.00
22SEP17	B	1	PD22DEC17	10735.00	11120.45	USD	2,312.70	
22SEP17	B	1	PD22DEC17	10730.00	11120.45	USD	2,342.70	
22SEP17	B	1	PD22DEC17	10700.00	11120.45	USD	2,522.70	
22SEP17	B	1	PD22DEC17	10760.00	11120.45	USD	2,162.70	
22SEP17	B	1	PD22DEC17	10765.00	11120.45	USD	2,132.70	
22SEP17	B	1	PD22DEC17	10755.00	11120.45	USD	2,192.70	
22SEP17	B	6	PD22DEC17	10790.00	11120.45	USD	11,896.20	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	10775.00	11120.45	USD	4,145.40	
22SEP17	B	6	PD22DEC17	10785.00	11120.45	USD	12,076.20	
22SEP17	B	1	PD22DEC17	10810.00	11120.45	USD	1,862.70	
22SEP17	B	1	PD22DEC17	10795.00	11120.45	USD	1,952.70	
22SEP17	B	8	PD22DEC17	10770.00	11120.45	USD	16,821.60	
22SEP17	S	1	PD22DEC17	10700.00	11120.45	USD	-2,522.70	
22SEP17	S	2	PD22DEC17	10685.00	11120.45	USD	-5,225.40	
22SEP17	S	1	PD22DEC17	10705.00	11120.45	USD	-2,492.70	
22SEP17	S	5	PD22DEC17	10695.00	11120.45	USD	-12,763.50	
22SEP17	B	2	PD22DEC17	10665.00	11120.45	USD	5,465.40	
22SEP17	B	1	PD22DEC17	10660.00	11120.45	USD	2,762.70	
22SEP17	B	1	PD22DEC17	10655.00	11120.45	USD	2,792.70	
22SEP17	B	1	PD22DEC17	10650.00	11120.45	USD	2,822.70	
22SEP17	B	2	PD22DEC17	10645.00	11120.45	USD	5,705.40	
22SEP17	B	2	PD22DEC17	10635.00	11120.45	USD	5,825.40	
22SEP17	B	2	PD22DEC17	10610.00	11120.45	USD	6,125.40	
22SEP17	S	2	PD22DEC17	10645.00	11120.45	USD	-5,705.40	
22SEP17	B	2	PD22DEC17	10625.00	11120.45	USD	5,945.40	
22SEP17	S	2	PD22DEC17	10600.00	11120.45	USD	-6,245.40	
22SEP17	B	3	PD22DEC17	10640.00	11120.45	USD	8,648.10	
22SEP17	S	1	PD22DEC17	10615.00	11120.45	USD	-3,032.70	
22SEP17	S	4	PD22DEC17	10610.00	11120.45	USD	-12,250.80	
22SEP17	S	2	PD22DEC17	10605.00	11120.45	USD	-6,185.40	
22SEP17	S	4	PD22DEC17	10590.00	11120.45	USD	-12,730.80	
22SEP17	S	2	PD22DEC17	10585.00	11120.45	USD	-6,425.40	
22SEP17	S	3	PD22DEC17	10565.00	11120.45	USD	-9,998.10	
22SEP17	S	4	PD22DEC17	10550.00	11120.45	USD	-13,690.80	
22SEP17	B	1	PD22DEC17	10615.00	11120.45	USD	3,032.70	
22SEP17	S	1	PD22DEC17	10615.00	11120.45	USD	-3,032.70	
22SEP17	S	1	PD22DEC17	10570.00	11120.45	USD	-3,302.70	
22SEP17	S	1	PD22DEC17	10555.00	11120.45	USD	-3,392.70	
22SEP17	S	1	PD22DEC17	10540.00	11120.45	USD	-3,482.70	
22SEP17	B	10	PD22DEC17	10580.00	11120.45	USD	32,427.00	
22SEP17	B	5	PD22DEC17	10595.00	11120.45	USD	15,763.50	
22SEP17	B	5	PD22DEC17	10600.00	11120.45	USD	15,613.50	
22SEP17	B	2	PD22DEC17	10590.00	11120.45	USD	6,365.40	
22SEP17	B	3	PD22DEC17	10585.00	11120.45	USD	9,638.10	
22SEP17	B	9	PD22DEC17	10575.00	11120.45	USD	29,454.30	
22SEP17	S	14	PD22DEC17	10535.00	11120.45	USD	-49,177.80	
22SEP17	B	5	PD22DEC17	10535.00	11120.45	USD	17,563.50	
22SEP17	B	9	PD22DEC17	10570.00	11120.45	USD	29,724.30	
22SEP17	B	10	PD22DEC17	10540.00	11120.45	USD	34,827.00	
22SEP17	B	1	PD22DEC17	10515.00	11120.45	USD	3,632.70	
22SEP17	B	6	PD22DEC17	10565.00	11120.45	USD	19,996.20	
22SEP17	B	4	PD22DEC17	10555.00	11120.45	USD	13,570.80	
22SEP17	B	9	PD22DEC17	10550.00	11120.45	USD	30,804.30	
22SEP17	B	8	PD22DEC17	10545.00	11120.45	USD	27,621.60	
22SEP17	B	10	PD22DEC17	10530.00	11120.45	USD	35,427.00	
22SEP17	B	2	PD22DEC17	10465.00	11120.45	USD	7,865.40	
22SEP17	B	1	PD22DEC17	10525.00	11120.45	USD	3,572.70	
22SEP17	B	3	PD22DEC17	10485.00	11120.45	USD	11,438.10	
22SEP17	B	2	PD22DEC17	10480.00	11120.45	USD	7,685.40	
22SEP17	B	8	PD22DEC17	10475.00	11120.45	USD	30,981.60	
22SEP17	B	2	PD22DEC17	10470.00	11120.45	USD	7,805.40	
22SEP17	B	1	PD22DEC17	10460.00	11120.45	USD	3,962.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	B	6	PD22DEC17	10440.00	11120.45	USD	24,496.20	

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22SEP17	B	3	PD22DEC17	10430.00	11120.45	USD	12,428.10	
22SEP17	B	3	PD22DEC17	10445.00	11120.45	USD	12,158.10	
22SEP17	B	2	PD22DEC17	10450.00	11120.45	USD	8,045.40	
22SEP17	B	2	PD22DEC17	10490.00	11120.45	USD	7,565.40	
22SEP17	S	1	PD22DEC17	10490.00	11120.45	USD	-3,782.70	
22SEP17	S	1	PD22DEC17	10495.00	11120.45	USD	-3,752.70	
22SEP17	S	8	PD22DEC17	10510.00	11120.45	USD	-29,301.60	
22SEP17	S	7	PD22DEC17	10545.00	11120.45	USD	-24,168.90	
22SEP17	S	10	PD22DEC17	10540.00	11120.45	USD	-34,827.00	
22SEP17	S	4	PD22DEC17	10555.00	11120.45	USD	-13,570.80	
22SEP17	S	9	PD22DEC17	10620.00	11120.45	USD	-27,024.30	
22SEP17	S	1	PD22DEC17	10650.00	11120.45	USD	-2,822.70	
22SEP17	S	2	PD22DEC17	10625.00	11120.45	USD	-5,945.40	
22SEP17	B	1	PD22DEC17	10450.00	11120.45	USD	4,022.70	
22SEP17	B	1	PD22DEC17	10470.00	11120.45	USD	3,902.70	
22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	B	1	PD22DEC17	10550.00	11120.45	USD	3,422.70	
	NET	+87 AVG BGHT 10582.61	SOLD 10576.11				277,044.90	0.00
25SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
25SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
25SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
25SEP17	S	3	PD27DEC17	10580.00	11124.09	USD	-9,793.62	
25SEP17	S	10	PD27DEC17	10625.00	11124.09	USD	-29,945.40	
25SEP17	S	11	PD27DEC17	10620.00	11124.09	USD	-33,269.94	
25SEP17	S	7	PD27DEC17	10610.00	11124.09	USD	-21,591.78	
25SEP17	S	4	PD27DEC17	10615.00	11124.09	USD	-12,218.16	
25SEP17	S	2	PD27DEC17	10600.00	11124.09	USD	-6,289.08	
25SEP17	S	3	PD27DEC17	10520.00	11124.09	USD	-10,873.62	
25SEP17	S	1	PD27DEC17	10475.00	11124.09	USD	-3,894.54	
25SEP17	B	5	PD27DEC17	10490.00	11124.09	USD	19,022.70	
25SEP17	B	5	PD27DEC17	10500.00	11124.09	USD	18,722.70	
25SEP17	B	3	PD27DEC17	10530.00	11124.09	USD	10,693.62	
25SEP17	B	1	PD27DEC17	10535.00	11124.09	USD	3,534.54	
25SEP17	S	1	PD27DEC17	10545.00	11124.09	USD	-3,474.54	
25SEP17	B	8	PD27DEC17	10515.00	11124.09	USD	29,236.32	
25SEP17	B	13	PD27DEC17	10520.00	11124.09	USD	47,119.02	
25SEP17	B	4	PD27DEC17	10510.00	11124.09	USD	14,738.16	
25SEP17	B	10	PD27DEC17	10505.00	11124.09	USD	37,145.40	
25SEP17	B	7	PD27DEC17	10495.00	11124.09	USD	26,421.78	
25SEP17	B	10	PD27DEC17	10525.00	11124.09	USD	35,945.40	
25SEP17	S	7	PD27DEC17	10525.00	11124.09	USD	-25,161.78	
25SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
25SEP17	S	4	PD27DEC17	10500.00	11124.09	USD	-14,978.16	
25SEP17	S	2	PD27DEC17	10515.00	11124.09	USD	-7,309.08	
25SEP17	S	1	PD27DEC17	10555.00	11124.09	USD	-3,414.54	
25SEP17	S	15	PD27DEC17	10560.00	11124.09	USD	-50,768.10	
25SEP17	S	9	PD27DEC17	10565.00	11124.09	USD	-30,190.86	
25SEP17	S	9	PD27DEC17	10595.00	11124.09	USD	-28,570.86	
25SEP17	S	8	PD27DEC17	10590.00	11124.09	USD	-25,636.32	
25SEP17	S	3	PD27DEC17	10585.00	11124.09	USD	-9,703.62	
25SEP17	S	2	PD27DEC17	10575.00	11124.09	USD	-6,589.08	
25SEP17	S	8	PD27DEC17	10570.00	11124.09	USD	-26,596.32	
25SEP17	B	3	PD27DEC17	10635.00	11124.09	USD	8,803.62	
25SEP17	S	8	PD27DEC17	10605.00	11124.09	USD	-24,916.32	
25SEP17	B	3	PD27DEC17	10585.00	11124.09	USD	9,703.62	
25SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
25SEP17	S	6	PD27DEC17	10550.00	11124.09	USD	-20,667.24	

OPEN POSITION STATEMENT



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SAP094AY

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
25SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
25SEP17	B	1	PD27DEC17	10635.00	11124.09	USD	2,934.54	
26SEP17	B	2	PD27DEC17	10590.00	11124.09	USD	6,409.08	
26SEP17	B	2	PD27DEC17	10645.00	11124.09	USD	5,749.08	
26SEP17	S	4	PD27DEC17	10665.00	11124.09	USD	-11,018.16	
26SEP17	S	3	PD27DEC17	10660.00	11124.09	USD	-8,353.62	
26SEP17	S	7	PD27DEC17	10655.00	11124.09	USD	-19,701.78	
26SEP17	S	5	PD27DEC17	10650.00	11124.09	USD	-14,222.70	
26SEP17	S	3	PD27DEC17	10630.00	11124.09	USD	-8,893.62	
26SEP17	S	6	PD27DEC17	10620.00	11124.09	USD	-18,147.24	
26SEP17	B	4	PD27DEC17	10630.00	11124.09	USD	11,858.16	
26SEP17	S	3	PD27DEC17	10640.00	11124.09	USD	-8,713.62	
26SEP17	S	1	PD27DEC17	10555.00	11124.09	USD	-3,414.54	
26SEP17	S	5	PD27DEC17	10565.00	11124.09	USD	-16,772.70	
26SEP17	S	5	PD27DEC17	10570.00	11124.09	USD	-16,622.70	
26SEP17	S	2	PD27DEC17	10575.00	11124.09	USD	-6,589.08	
26SEP17	B	3	PD27DEC17	10570.00	11124.09	USD	9,973.62	
26SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
26SEP17	S	4	PD27DEC17	10615.00	11124.09	USD	-12,218.16	
26SEP17	B	4	PD27DEC17	10490.00	11124.09	USD	15,218.16	
26SEP17	B	4	PD27DEC17	10485.00	11124.09	USD	15,338.16	
26SEP17	B	20	PD27DEC17	10475.00	11124.09	USD	77,890.80	
26SEP17	B	22	PD27DEC17	10480.00	11124.09	USD	85,019.88	
26SEP17	B	8	PD27DEC17	10470.00	11124.09	USD	31,396.32	
26SEP17	B	9	PD27DEC17	10465.00	11124.09	USD	35,590.86	
26SEP17	B	2	PD27DEC17	10455.00	11124.09	USD	8,029.08	
26SEP17	B	2	PD27DEC17	10445.00	11124.09	USD	8,149.08	
26SEP17	B	7	PD27DEC17	10450.00	11124.09	USD	28,311.78	
26SEP17	B	1	PD27DEC17	10460.00	11124.09	USD	3,984.54	
26SEP17	B	1	PD27DEC17	10410.00	11124.09	USD	4,284.54	
26SEP17	B	1	PD27DEC17	10405.00	11124.09	USD	4,314.54	
26SEP17	B	2	PD27DEC17	10415.00	11124.09	USD	8,509.08	
26SEP17	B	2	PD27DEC17	10420.00	11124.09	USD	8,449.08	
26SEP17	B	3	PD27DEC17	10435.00	11124.09	USD	12,403.62	
26SEP17	B	2	PD27DEC17	10440.00	11124.09	USD	8,209.08	
26SEP17	B	5	PD27DEC17	10500.00	11124.09	USD	18,722.70	
26SEP17	B	3	PD27DEC17	10540.00	11124.09	USD	10,513.62	
26SEP17	B	3	PD27DEC17	10550.00	11124.09	USD	10,333.62	
26SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
26SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
26SEP17	B	7	PD27DEC17	10495.00	11124.09	USD	26,421.78	
26SEP17	S	1	PD27DEC17	10475.00	11124.09	USD	-3,894.54	
26SEP17	S	2	PD27DEC17	10495.00	11124.09	USD	-7,549.08	
26SEP17	S	4	PD27DEC17	10490.00	11124.09	USD	-15,218.16	
26SEP17	B	10	PD27DEC17	10481.00	11124.09	USD	38,585.40	
26SEP17	S	1	PD27DEC17	10480.00	11124.09	USD	-3,864.54	
26SEP17	S	1	PD27DEC17	10500.00	11124.09	USD	-3,744.54	
27SEP17	S	1	PD27DEC17	10455.00	11124.09	USD	-4,014.54	
27SEP17	S	2	PD27DEC17	10475.00	11124.09	USD	-7,789.08	
27SEP17	S	1	PD27DEC17	10465.00	11124.09	USD	-3,954.54	
27SEP17	S	1	PD27DEC17	10460.00	11124.09	USD	-3,984.54	
27SEP17	S	8	PD27DEC17	10470.00	11124.09	USD	-31,396.32	
27SEP17	S	2	PD27DEC17	10480.00	11124.09	USD	-7,729.08	
27SEP17	S	1	PD27DEC17	10490.00	11124.09	USD	-3,804.54	
27SEP17	S	6	PD27DEC17	10505.00	11124.09	USD	-22,287.24	
27SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	3	PD27DEC17	10515.00	11124.09	USD	-10,963.62	
27SEP17	S	3	PD27DEC17	10520.00	11124.09	USD	-10,873.62	
27SEP17	S	5	PD27DEC17	10525.00	11124.09	USD	-17,972.70	
27SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
27SEP17	S	14	PD27DEC17	10555.00	11124.09	USD	-47,803.56	
27SEP17	S	2	PD27DEC17	10580.00	11124.09	USD	-6,529.08	
27SEP17	S	2	PD27DEC17	10590.00	11124.09	USD	-6,409.08	
27SEP17	S	2	PD27DEC17	10600.00	11124.09	USD	-6,289.08	
27SEP17	S	6	PD27DEC17	10595.00	11124.09	USD	-19,047.24	
27SEP17	B	2	PD27DEC17	10565.00	11124.09	USD	6,709.08	
27SEP17	S	2	PD27DEC17	10570.00	11124.09	USD	-6,649.08	
27SEP17	S	1	PD27DEC17	10450.00	11124.09	USD	-4,044.54	
27SEP17	S	1	PD27DEC17	10465.00	11124.09	USD	-3,954.54	
27SEP17	S	1	PD27DEC17	10460.00	11124.09	USD	-3,984.54	
27SEP17	S	3	PD27DEC17	10485.00	11124.09	USD	-11,503.62	
27SEP17	S	3	PD27DEC17	10495.00	11124.09	USD	-11,323.62	
27SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
27SEP17	S	1	PD27DEC17	10525.00	11124.09	USD	-3,594.54	
27SEP17	S	3	PD27DEC17	10530.00	11124.09	USD	-10,693.62	
27SEP17	S	2	PD27DEC17	10535.00	11124.09	USD	-7,069.08	
27SEP17	S	2	PD27DEC17	10540.00	11124.09	USD	-7,009.08	
27SEP17	S	13	PD27DEC17	10560.00	11124.09	USD	-43,999.02	
27SEP17	S	3	PD27DEC17	10545.00	11124.09	USD	-10,423.62	
27SEP17	S	10	PD27DEC17	10550.00	11124.09	USD	-34,445.40	
27SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
27SEP17	S	4	PD27DEC17	10540.00	11124.09	USD	-14,018.16	
27SEP17	B	3	PD27DEC17	10490.00	11124.09	USD	11,413.62	
27SEP17	B	3	PD27DEC17	10480.00	11124.09	USD	11,593.62	
27SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
27SEP17	B	2	PD27DEC17	10460.00	11124.09	USD	7,969.08	
27SEP17	B	2	PD27DEC17	10455.00	11124.09	USD	8,029.08	
27SEP17	S	2	PD27DEC17	10370.00	11124.09	USD	-9,049.08	
27SEP17	S	2	PD27DEC17	10365.00	11124.09	USD	-9,109.08	
27SEP17	B	4	PD27DEC17	10350.00	11124.09	USD	18,578.16	
27SEP17	B	2	PD27DEC17	10385.00	11124.09	USD	8,869.08	
27SEP17	B	2	PD27DEC17	10395.00	11124.09	USD	8,749.08	
27SEP17	S	8	PD27DEC17	10350.00	11124.09	USD	-37,156.32	
27SEP17	S	13	PD27DEC17	10560.00	11124.09	USD	-43,999.02	
27SEP17	S	7	PD27DEC17	10300.00	11124.09	USD	-34,611.78	
27SEP17	S	1	PD27DEC17	10280.00	11124.09	USD	-5,064.54	
27SEP17	B	1	PD27DEC17	10295.00	11124.09	USD	4,974.54	
27SEP17	S	4	PD27DEC17	10270.00	11124.09	USD	-20,498.16	
27SEP17	S	1	PD27DEC17	10265.00	11124.09	USD	-5,154.54	
27SEP17	S	7	PD27DEC17	10260.00	11124.09	USD	-36,291.78	
27SEP17	S	3	PD27DEC17	10250.00	11124.09	USD	-15,733.62	
27SEP17	B	1	PD27DEC17	10215.00	11124.09	USD	5,454.54	
27SEP17	B	6	PD27DEC17	10225.00	11124.09	USD	32,367.24	
27SEP17	B	11	PD27DEC17	10230.00	11124.09	USD	59,009.94	
27SEP17	S	1	PD27DEC17	10235.00	11124.09	USD	-5,334.54	
27SEP17	S	4	PD27DEC17	10255.00	11124.09	USD	-20,858.16	
27SEP17	S	5	PD27DEC17	10275.00	11124.09	USD	-25,472.70	
27SEP17	B	10	PD27DEC17	10380.00	11124.09	USD	44,645.40	
27SEP17	B	20	PD27DEC17	10360.00	11124.09	USD	91,690.80	
27SEP17	B	24	PD27DEC17	10365.00	11124.09	USD	109,308.96	
27SEP17	S	10	PD27DEC17	10390.00	11124.09	USD	-44,045.40	
27SEP17	S	1	PD27DEC17	10395.00	11124.09	USD	-4,374.54	
27SEP17	B	12	PD27DEC17	10390.00	11124.09	USD	52,854.48	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	7	PD27DEC17	10375.00	11124.09	USD	31,461.78	
27SEP17	B	11	PD27DEC17	10370.00	11124.09	USD	49,769.94	
27SEP17	B	2	PD27DEC17	10355.00	11124.09	USD	9,229.08	
27SEP17	B	1	PD27DEC17	10340.00	11124.09	USD	4,704.54	
27SEP17	S	12	PD27DEC17	10345.00	11124.09	USD	-56,094.48	
27SEP17	B	1	PD27DEC17	10325.00	11124.09	USD	4,794.54	
27SEP17	B	8	PD27DEC17	10320.00	11124.09	USD	38,596.32	
27SEP17	S	14	PD27DEC17	10285.00	11124.09	USD	-70,483.56	
27SEP17	S	2	PD27DEC17	10290.00	11124.09	USD	-10,009.08	
27SEP17	S	9	PD27DEC17	10295.00	11124.09	USD	-44,770.86	
27SEP17	S	3	PD27DEC17	10325.00	11124.09	USD	-14,383.62	
27SEP17	S	3	PD27DEC17	10320.00	11124.09	USD	-14,473.62	
27SEP17	S	1	PD27DEC17	10340.00	11124.09	USD	-4,704.54	
27SEP17	S	1	PD27DEC17	10255.00	11124.09	USD	-5,214.54	
27SEP17	B	1	PD27DEC17	10345.00	11124.09	USD	4,674.54	
	NET	-61 AVG BGHT	10443.94	SOLD	10502.25		-105,836.94	0.00
28SEP17	B	2	PD28DEC17	10310.00	11124.82	USD	9,777.84	
28SEP17	S	6	PD28DEC17	10295.00	11124.82	USD	-29,873.52	
28SEP17	B	2	PD28DEC17	10360.00	11124.82	USD	9,177.84	
28SEP17	S	3	PD28DEC17	10300.00	11124.82	USD	-14,846.76	
28SEP17	S	5	PD28DEC17	10250.00	11124.82	USD	-26,244.60	
28SEP17	S	2	PD28DEC17	10245.00	11124.82	USD	-10,557.84	
28SEP17	S	8	PD28DEC17	10255.00	11124.82	USD	-41,751.36	
28SEP17	S	9	PD28DEC17	10260.00	11124.82	USD	-46,700.28	
28SEP17	S	8	PD28DEC17	10265.00	11124.82	USD	-41,271.36	
28SEP17	S	10	PD28DEC17	10270.00	11124.82	USD	-51,289.20	
28SEP17	S	4	PD28DEC17	10275.00	11124.82	USD	-20,395.68	
28SEP17	B	2	PD28DEC17	10335.00	11124.82	USD	9,477.84	
28SEP17	B	1	PD28DEC17	10280.00	11124.82	USD	5,068.92	
28SEP17	S	1	PD28DEC17	10305.00	11124.82	USD	-4,918.92	
28SEP17	B	2	PD28DEC17	10260.00	11124.82	USD	10,377.84	
28SEP17	B	8	PD28DEC17	10270.00	11124.82	USD	41,031.36	
28SEP17	B	2	PD28DEC17	10265.00	11124.82	USD	10,317.84	
28SEP17	B	7	PD28DEC17	10275.00	11124.82	USD	35,692.44	
28SEP17	B	5	PD28DEC17	10280.00	11124.82	USD	25,344.60	
28SEP17	B	6	PD28DEC17	10295.00	11124.82	USD	29,873.52	
28SEP17	B	15	PD28DEC17	10285.00	11124.82	USD	75,583.80	
28SEP17	S	6	PD28DEC17	10285.00	11124.82	USD	-30,233.52	
28SEP17	S	10	PD28DEC17	10280.00	11124.82	USD	-50,689.20	
28SEP17	S	9	PD28DEC17	10290.00	11124.82	USD	-45,080.28	
28SEP17	B	9	PD28DEC17	10290.00	11124.82	USD	45,080.28	
28SEP17	B	3	PD28DEC17	10300.00	11124.82	USD	14,846.76	
28SEP17	B	1	PD28DEC17	10305.00	11124.82	USD	4,918.92	
28SEP17	S	3	PD28DEC17	10330.00	11124.82	USD	-14,306.76	
28SEP17	S	12	PD28DEC17	10335.00	11124.82	USD	-56,867.04	
28SEP17	B	5	PD28DEC17	10345.00	11124.82	USD	23,394.60	
28SEP17	S	2	PD28DEC17	10360.00	11124.82	USD	-9,177.84	
28SEP17	S	2	PD28DEC17	10370.00	11124.82	USD	-9,057.84	
28SEP17	S	1	PD28DEC17	10380.00	11124.82	USD	-4,468.92	
28SEP17	S	3	PD28DEC17	10390.00	11124.82	USD	-13,226.76	
28SEP17	S	2	PD28DEC17	10365.00	11124.82	USD	-9,117.84	
28SEP17	S	3	PD28DEC17	10345.00	11124.82	USD	-14,036.76	
28SEP17	B	2	PD28DEC17	10315.00	11124.82	USD	9,717.84	
28SEP17	B	3	PD28DEC17	10320.00	11124.82	USD	14,486.76	
28SEP17	S	1	PD28DEC17	10310.00	11124.82	USD	-4,888.92	
28SEP17	B	26	PD28DEC17	10340.00	11124.82	USD	122,431.92	
28SEP17	B	17	PD28DEC17	10335.00	11124.82	USD	80,561.64	

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28SEP17	B	14	PD28DEC17	10330.00	11124.82	USD	66,764.88	
28SEP17	B	7	PD28DEC17	10325.00	11124.82	USD	33,592.44	
28SEP17	B	5	PD28DEC17	10410.00	11124.82	USD	21,444.60	
28SEP17	S	1	PD28DEC17	10445.00	11124.82	USD	-4,078.92	
28SEP17	S	1	PD28DEC17	10450.00	11124.82	USD	-4,048.92	
28SEP17	B	1	PD28DEC17	10285.00	11124.82	USD	5,038.92	
28SEP17	B	2	PD28DEC17	10295.00	11124.82	USD	9,957.84	
28SEP17	B	1	PD28DEC17	10340.00	11124.82	USD	4,708.92	
28SEP17	B	1	PD28DEC17	10320.00	11124.82	USD	4,828.92	
28SEP17	B	5	PD28DEC17	10455.00	11124.82	USD	20,094.60	
28SEP17	S	5	PD28DEC17	10460.00	11124.82	USD	-19,944.60	
28SEP17	S	3	PD28DEC17	10490.00	11124.82	USD	-11,426.76	
28SEP17	S	2	PD28DEC17	10495.00	11124.82	USD	-7,557.84	
28SEP17	B	2	PD28DEC17	10505.00	11124.82	USD	7,437.84	
28SEP17	B	1	PD28DEC17	10465.00	11124.82	USD	3,958.92	
28SEP17	B	1	PD28DEC17	10440.00	11124.82	USD	4,108.92	
28SEP17	B	8	PD28DEC17	10445.00	11124.82	USD	32,631.36	
28SEP17	S	10	PD28DEC17	10465.00	11124.82	USD	-39,589.20	
28SEP17	S	8	PD28DEC17	10470.00	11124.82	USD	-31,431.36	
28SEP17	B	2	PD28DEC17	10485.00	11124.82	USD	7,677.84	
28SEP17	S	10	PD28DEC17	10455.00	11124.82	USD	-40,189.20	
28SEP17	S	9	PD28DEC17	10475.00	11124.82	USD	-35,090.28	
28SEP17	B	3	PD28DEC17	10470.00	11124.82	USD	11,786.76	
28SEP17	S	5	PD28DEC17	10480.00	11124.82	USD	-19,344.60	
28SEP17	B	4	PD28DEC17	10480.00	11124.82	USD	15,475.68	
28SEP17	B	8	PD28DEC17	10475.00	11124.82	USD	31,191.36	
28SEP17	S	3	PD28DEC17	10485.00	11124.82	USD	-11,516.76	
28SEP17	B	1	PD28DEC17	10460.00	11124.82	USD	3,988.92	
28SEP17	B	2	PD28DEC17	10450.00	11124.82	USD	8,097.84	
28SEP17	S	2	PD28DEC17	10485.00	11124.82	USD	-7,677.84	
	NET	+17 AVG BGHT 10345.30	SOLD 10354.70				89,051.64	0.00
29SEP17	S	3	PD29DEC17	10515.00	11125.55	USD	-10,989.90	
29SEP17	S	2	PD29DEC17	10485.00	11125.55	USD	-7,686.60	
29SEP17	S	10	PD29DEC17	10480.00	11125.55	USD	-38,733.00	
29SEP17	B	1	PD29DEC17	10460.00	11125.55	USD	3,993.30	
29SEP17	B	3	PD29DEC17	10455.00	11125.55	USD	12,069.90	
29SEP17	B	4	PD29DEC17	10450.00	11125.55	USD	16,213.20	
29SEP17	B	5	PD29DEC17	10445.00	11125.55	USD	20,416.50	
29SEP17	B	1	PD29DEC17	10440.00	11125.55	USD	4,113.30	
29SEP17	B	1	PD29DEC17	10425.00	11125.55	USD	4,203.30	
29SEP17	B	1	PD29DEC17	10420.00	11125.55	USD	4,233.30	
29SEP17	S	2	PD29DEC17	10385.00	11125.55	USD	-8,886.60	
29SEP17	S	1	PD29DEC17	10380.00	11125.55	USD	-4,473.30	
29SEP17	B	1	PD29DEC17	10375.00	11125.55	USD	4,503.30	
29SEP17	S	1	PD29DEC17	10405.00	11125.55	USD	-4,323.30	
29SEP17	B	7	PD29DEC17	10435.00	11125.55	USD	29,003.10	
29SEP17	B	3	PD29DEC17	10430.00	11125.55	USD	12,519.90	
29SEP17	B	1	PD29DEC17	10465.00	11125.55	USD	3,963.30	
29SEP17	B	1	PD29DEC17	10485.00	11125.55	USD	3,843.30	
29SEP17	B	9	PD29DEC17	10475.00	11125.55	USD	35,129.70	
29SEP17	B	1	PD29DEC17	10490.00	11125.55	USD	3,813.30	
29SEP17	B	9	PD29DEC17	10480.00	11125.55	USD	34,859.70	
29SEP17	S	2	PD29DEC17	10490.00	11125.55	USD	-7,626.60	
29SEP17	S	2	PD29DEC17	10510.00	11125.55	USD	-7,386.60	
29SEP17	S	2	PD29DEC17	10505.00	11125.55	USD	-7,446.60	
29SEP17	S	3	PD29DEC17	10495.00	11125.55	USD	-11,349.90	
29SEP17	S	9	PD29DEC17	10500.00	11125.55	USD	-33,779.70	

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	5	PD29DEC17	10470.00	11125.55	USD	19,666.50	
29SEP17	S	18	PD29DEC17	10475.00	11125.55	USD	-70,259.40	
29SEP17	S	7	PD29DEC17	10470.00	11125.55	USD	-27,533.10	
29SEP17	S	1	PD29DEC17	10480.00	11125.55	USD	-3,873.30	
29SEP17	S	1	PD29DEC17	10475.00	11125.55	USD	-3,903.30	
29SEP17	B	4	PD29DEC17	10440.00	11125.55	USD	16,453.20	
29SEP17	S	1	PD29DEC17	10490.00	11125.55	USD	-3,813.30	
29SEP17	S	1	PD29DEC17	10470.00	11125.55	USD	-3,933.30	
29SEP17	S	1	PD29DEC17	10805.00	11125.55	USD	-1,923.30	
	NET	-10 AVG BGHT 10455.96	SOLD 10483.96				-28,923.00	0.00
03OCT17	S	1	PD03JAN18	10660.00	11129.18	USD	-2,815.08	
	NET	-1 AVG SOLD 10660.00					-2,815.08	0.00
05OCT17	B	1	PD05JAN18	10545.00	11130.64	USD	3,513.84	
05OCT17	B	2	PD05JAN18	10620.00	11130.64	USD	6,127.68	
06OCT17	S	3	PD05JAN18	10650.00	11130.64	USD	-8,651.52	
06OCT17	S	1	PD05JAN18	10560.00	11130.64	USD	-3,423.84	
06OCT17	S	1	PD05JAN18	10555.00	11130.64	USD	-3,453.84	
06OCT17	S	1	PD05JAN18	10565.00	11130.64	USD	-3,393.84	
06OCT17	S	1	PD05JAN18	10590.00	11130.64	USD	-3,243.84	
	NET	-4 AVG BGHT 10595.00	SOLD 10602.86				-12,525.36	0.00
09OCT17	S	1	PD09JAN18	10710.00	11133.55	USD	-2,541.30	
09OCT17	B	1	PD09JAN18	10755.00	11133.55	USD	2,271.30	
09OCT17	B	1	PD09JAN18	10775.00	11133.55	USD	2,151.30	
09OCT17	B	5	PD09JAN18	10750.00	11133.55	USD	11,506.50	
09OCT17	B	1	PD09JAN18	10625.00	11133.55	USD	3,051.30	
09OCT17	S	1	PD09JAN18	10705.00	11133.55	USD	-2,571.30	
09OCT17	B	3	PD09JAN18	10665.00	11133.55	USD	8,433.90	
09OCT17	B	2	PD09JAN18	10710.00	11133.55	USD	5,082.60	
09OCT17	S	6	PD09JAN18	10605.00	11133.55	USD	-19,027.80	
09OCT17	B	2	PD09JAN18	10575.00	11133.55	USD	6,702.60	
09OCT17	S	2	PD09JAN18	10610.00	11133.55	USD	-6,282.60	
09OCT17	B	2	PD09JAN18	10725.00	11133.55	USD	4,902.60	
09OCT17	B	1	PD09JAN18	10600.00	11133.55	USD	3,201.30	
09OCT17	S	1	PD09JAN18	10730.00	11133.55	USD	-2,421.30	
09OCT17	S	2	PD09JAN18	10620.00	11133.55	USD	-6,162.60	
09OCT17	B	2	PD09JAN18	10800.00	11133.55	USD	4,002.60	
09OCT17	B	1	PD09JAN18	10795.00	11133.55	USD	2,031.30	
09OCT17	B	1	PD09JAN18	10590.00	11133.55	USD	3,261.30	
09OCT17	B	10	PD09JAN18	10730.00	11133.55	USD	24,213.00	
09OCT17	S	4	PD09JAN18	10600.00	11133.55	USD	-12,805.20	
09OCT17	B	1	PD09JAN18	10685.00	11133.55	USD	2,691.30	
09OCT17	B	2	PD09JAN18	10585.00	11133.55	USD	6,582.60	
09OCT17	B	10	PD09JAN18	10580.00	11133.55	USD	33,213.00	
09OCT17	B	8	PD09JAN18	10715.00	11133.55	USD	20,090.40	
09OCT17	B	3	PD09JAN18	10615.00	11133.55	USD	9,333.90	
09OCT17	B	1	PD09JAN18	10605.00	11133.55	USD	3,171.30	
09OCT17	B	4	PD09JAN18	10610.00	11133.55	USD	12,565.20	
09OCT17	B	8	PD09JAN18	10745.00	11133.55	USD	18,650.40	
09OCT17	B	2	PD09JAN18	10790.00	11133.55	USD	4,122.60	
09OCT17	B	5	PD09JAN18	10735.00	11133.55	USD	11,956.50	
09OCT17	B	7	PD09JAN18	10720.00	11133.55	USD	17,369.10	
09OCT17	S	8	PD09JAN18	10735.00	11133.55	USD	-19,130.40	
09OCT17	B	23	PD09JAN18	10740.00	11133.55	USD	54,309.90	
09OCT17	S	9	PD09JAN18	10770.00	11133.55	USD	-19,631.70	
09OCT17	S	2	PD09JAN18	10775.00	11133.55	USD	-4,302.60	
09OCT17	B	5	PD09JAN18	10770.00	11133.55	USD	10,906.50	

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09OCT17	B	2	PD09JAN18	10765.00	11133.55	USD	4,422.60	
09OCT17	B	2	PD09JAN18	10785.00	11133.55	USD	4,182.60	
09OCT17	S	4	PD09JAN18	10790.00	11133.55	USD	-8,245.20	
09OCT17	B	2	PD09JAN18	10780.00	11133.55	USD	4,242.60	
09OCT17	B	1	PD09JAN18	10775.00	11133.55	USD	2,151.30	
09OCT17	B	3	PD09JAN18	10760.00	11133.55	USD	6,723.90	
09OCT17	B	1	PD09JAN18	10765.00	11133.55	USD	2,211.30	
09OCT17	S	1	PD09JAN18	10710.00	11133.55	USD	-2,541.30	
09OCT17	B	1	PD09JAN18	10735.00	11133.55	USD	2,391.30	
09OCT17	S	2	PD09JAN18	10735.00	11133.55	USD	-4,782.60	
09OCT17	B	4	PD09JAN18	10795.00	11133.55	USD	8,125.20	
09OCT17	B	1	PD09JAN18	10785.00	11133.55	USD	2,091.30	
09OCT17	S	2	PD09JAN18	10845.00	11133.55	USD	-3,462.60	
09OCT17	S	1	PD09JAN18	10835.00	11133.55	USD	-1,791.30	
09OCT17	S	2	PD09JAN18	10840.00	11133.55	USD	-3,522.60	
09OCT17	S	3	PD09JAN18	10830.00	11133.55	USD	-5,463.90	
09OCT17	S	2	PD09JAN18	10820.00	11133.55	USD	-3,762.60	
09OCT17	S	2	PD09JAN18	10805.00	11133.55	USD	-3,942.60	
09OCT17	S	2	PD09JAN18	10795.00	11133.55	USD	-4,062.60	
09OCT17	S	3	PD09JAN18	10780.00	11133.55	USD	-6,363.90	
09OCT17	S	5	PD09JAN18	10760.00	11133.55	USD	-11,206.50	
09OCT17	S	8	PD09JAN18	10765.00	11133.55	USD	-17,690.40	
09OCT17	S	5	PD09JAN18	10785.00	11133.55	USD	-10,456.50	
09OCT17	B	1	PD09JAN18	10860.00	11133.55	USD	1,641.30	
09OCT17	B	1	PD09JAN18	10865.00	11133.55	USD	1,611.30	
09OCT17	B	3	PD09JAN18	10870.00	11133.55	USD	4,743.90	
09OCT17	S	1	PD09JAN18	10875.00	11133.55	USD	-1,551.30	
09OCT17	S	5	PD09JAN18	10860.00	11133.55	USD	-8,206.50	
09OCT17	S	2	PD09JAN18	10855.00	11133.55	USD	-3,342.60	
09OCT17	S	4	PD09JAN18	10865.00	11133.55	USD	-6,445.20	
09OCT17	S	8	PD09JAN18	10900.00	11133.55	USD	-11,210.40	
09OCT17	S	2	PD09JAN18	10890.00	11133.55	USD	-2,922.60	
09OCT17	S	12	PD09JAN18	10895.00	11133.55	USD	-17,175.60	
09OCT17	S	5	PD09JAN18	10910.00	11133.55	USD	-6,706.50	
09OCT17	S	10	PD09JAN18	10905.00	11133.55	USD	-13,713.00	
09OCT17	S	1	PD09JAN18	10925.00	11133.55	USD	-1,251.30	
09OCT17	S	4	PD09JAN18	10920.00	11133.55	USD	-5,125.20	
09OCT17	S	1	PD09JAN18	10930.00	11133.55	USD	-1,221.30	
09OCT17	S	17	PD09JAN18	10915.00	11133.55	USD	-22,292.10	
09OCT17	S	2	PD09JAN18	10910.00	11133.55	USD	-2,682.60	
09OCT17	B	4	PD09JAN18	10890.00	11133.55	USD	5,845.20	
09OCT17	B	4	PD09JAN18	10885.00	11133.55	USD	5,965.20	
09OCT17	B	1	PD09JAN18	10895.00	11133.55	USD	1,431.30	
09OCT17	B	3	PD09JAN18	10900.00	11133.55	USD	4,203.90	
09OCT17	B	5	PD09JAN18	10945.00	11133.55	USD	5,656.50	
09OCT17	S	2	PD09JAN18	10945.00	11133.55	USD	-2,262.60	
09OCT17	S	3	PD09JAN18	10960.00	11133.55	USD	-3,123.90	
09OCT17	S	3	PD09JAN18	10965.00	11133.55	USD	-3,033.90	
09OCT17	S	1	PD09JAN18	10975.00	11133.55	USD	-951.30	
09OCT17	S	2	PD09JAN18	10995.00	11133.55	USD	-1,662.60	
09OCT17	S	10	PD09JAN18	10990.00	11133.55	USD	-8,613.00	
09OCT17	S	12	PD09JAN18	10985.00	11133.55	USD	-10,695.60	
09OCT17	B	1	PD09JAN18	10970.00	11133.55	USD	981.30	
09OCT17	S	5	PD09JAN18	10970.00	11133.55	USD	-4,906.50	
09OCT17	B	1	PD09JAN18	10975.00	11133.55	USD	951.30	
09OCT17	B	5	PD09JAN18	10980.00	11133.55	USD	4,606.50	
09OCT17	S	3	PD09JAN18	10950.00	11133.55	USD	-3,303.90	

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09OCT17	S	1	PD09JAN18		10965.00	11133.55	USD	-1,011.30	
09OCT17	S	3	PD09JAN18		10975.00	11133.55	USD	-2,853.90	
	NET	-40 AVG BGHT 10751.43	SOLD 10855.69					31,518.00	0.00
10OCT17	B	19	PD10JAN18		10965.00	11134.27	USD	19,296.78	
10OCT17	B	27	PD10JAN18		10960.00	11134.27	USD	28,231.74	
10OCT17	B	4	PD10JAN18		10955.00	11134.27	USD	4,302.48	
10OCT17	B	14	PD10JAN18		10930.00	11134.27	USD	17,158.68	
10OCT17	S	1	PD10JAN18		10960.00	11134.27	USD	-1,045.62	
10OCT17	B	3	PD10JAN18		10940.00	11134.27	USD	3,496.86	
10OCT17	B	4	PD10JAN18		10935.00	11134.27	USD	4,782.48	
10OCT17	S	2	PD10JAN18		10890.00	11134.27	USD	-2,931.24	
10OCT17	B	3	PD10JAN18		10905.00	11134.27	USD	4,126.86	
10OCT17	S	1	PD10JAN18		10990.00	11134.27	USD	-865.62	
10OCT17	S	1	PD10JAN18		10980.00	11134.27	USD	-925.62	
10OCT17	S	5	PD10JAN18		10955.00	11134.27	USD	-5,378.10	
10OCT17	B	8	PD10JAN18		10945.00	11134.27	USD	9,084.96	
10OCT17	B	20	PD10JAN18		10950.00	11134.27	USD	22,112.40	
10OCT17	S	4	PD10JAN18		10950.00	11134.27	USD	-4,422.48	
10OCT17	S	1	PD10JAN18		10970.00	11134.27	USD	-985.62	
10OCT17	S	7	PD10JAN18		10965.00	11134.27	USD	-7,109.34	
10OCT17	B	6	PD10JAN18		10970.00	11134.27	USD	5,913.72	
10OCT17	S	1	PD10JAN18		10920.00	11134.27	USD	-1,285.62	
10OCT17	S	6	PD10JAN18		10900.00	11134.27	USD	-8,433.72	
10OCT17	S	10	PD10JAN18		10905.00	11134.27	USD	-13,756.20	
10OCT17	S	6	PD10JAN18		10885.00	11134.27	USD	-8,973.72	
10OCT17	S	4	PD10JAN18		10830.00	11134.27	USD	-7,302.48	
10OCT17	S	3	PD10JAN18		10865.00	11134.27	USD	-4,846.86	
10OCT17	S	2	PD10JAN18		10870.00	11134.27	USD	-3,171.24	
10OCT17	B	2	PD10JAN18		10885.00	11134.27	USD	2,991.24	
10OCT17	B	7	PD10JAN18		10925.00	11134.27	USD	8,789.34	
10OCT17	B	2	PD10JAN18		10910.00	11134.27	USD	2,691.24	
10OCT17	B	10	PD10JAN18		10975.00	11134.27	USD	9,556.20	
10OCT17	B	3	PD10JAN18		10980.00	11134.27	USD	2,776.86	
10OCT17	B	1	PD10JAN18		10995.00	11134.27	USD	835.62	
10OCT17	B	2	PD10JAN18		10990.00	11134.27	USD	1,731.24	
10OCT17	B	3	PD10JAN18		10985.00	11134.27	USD	2,686.86	
10OCT17	S	2	PD10JAN18		10985.00	11134.27	USD	-1,791.24	
10OCT17	S	1	PD10JAN18		10875.00	11134.27	USD	-1,555.62	
10OCT17	S	1	PD10JAN18		10850.00	11134.27	USD	-1,705.62	
10OCT17	S	2	PD10JAN18		11025.00	11134.27	USD	-1,311.24	
10OCT17	S	1	PD10JAN18		11015.00	11134.27	USD	-715.62	
10OCT17	S	1	PD10JAN18		11020.00	11134.27	USD	-685.62	
10OCT17	S	2	PD10JAN18		11040.00	11134.27	USD	-1,131.24	
10OCT17	S	3	PD10JAN18		11050.00	11134.27	USD	-1,516.86	
10OCT17	B	1	PD10JAN18		11015.00	11134.27	USD	715.62	
10OCT17	B	2	PD10JAN18		11010.00	11134.27	USD	1,491.24	
10OCT17	S	1	PD10JAN18		11035.00	11134.27	USD	-595.62	
10OCT17	S	9	PD10JAN18		11045.00	11134.27	USD	-4,820.58	
	NET	+64 AVG BGHT 10953.69	SOLD 10945.39					65,509.68	0.00
11OCT17	B	1	PD11JAN18		11010.00	11135.00	USD	750.00	
11OCT17	B	1	PD11JAN18		11015.00	11135.00	USD	720.00	
11OCT17	B	1	PD11JAN18		11000.00	11135.00	USD	810.00	
11OCT17	B	4	PD11JAN18		10995.00	11135.00	USD	3,360.00	
11OCT17	B	1	PD11JAN18		10975.00	11135.00	USD	960.00	
11OCT17	S	1	PD11JAN18		10935.00	11135.00	USD	-1,200.00	
11OCT17	S	2	PD11JAN18		10930.00	11135.00	USD	-2,460.00	
11OCT17	B	1	PD11JAN18		10955.00	11135.00	USD	1,080.00	

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11OCT17	B	2	PD11JAN18	10970.00	11135.00	USD	1,980.00	
11OCT17	S	2	PD11JAN18	11025.00	11135.00	USD	-1,320.00	
11OCT17	S	1	PD11JAN18	11030.00	11135.00	USD	-630.00	
11OCT17	S	8	PD11JAN18	11000.00	11135.00	USD	-6,480.00	
11OCT17	S	4	PD11JAN18	11005.00	11135.00	USD	-3,120.00	
11OCT17	B	11	PD11JAN18	11005.00	11135.00	USD	8,580.00	
11OCT17	S	6	PD11JAN18	11010.00	11135.00	USD	-4,500.00	
11OCT17	S	5	PD11JAN18	11020.00	11135.00	USD	-3,450.00	
11OCT17	S	13	PD11JAN18	11015.00	11135.00	USD	-9,360.00	
11OCT17	S	2	PD11JAN18	10990.00	11135.00	USD	-1,740.00	
11OCT17	S	3	PD11JAN18	10970.00	11135.00	USD	-2,970.00	
11OCT17	S	3	PD11JAN18	10975.00	11135.00	USD	-2,880.00	
11OCT17	S	1	PD11JAN18	10980.00	11135.00	USD	-930.00	
11OCT17	S	1	PD11JAN18	10985.00	11135.00	USD	-900.00	
11OCT17	S	14	PD11JAN18	10965.00	11135.00	USD	-14,280.00	
11OCT17	S	1	PD11JAN18	10960.00	11135.00	USD	-1,050.00	
11OCT17	S	4	PD11JAN18	10950.00	11135.00	USD	-4,440.00	
11OCT17	S	8	PD11JAN18	10940.00	11135.00	USD	-9,360.00	
11OCT17	S	6	PD11JAN18	10895.00	11135.00	USD	-8,640.00	
11OCT17	S	7	PD11JAN18	10900.00	11135.00	USD	-9,870.00	
11OCT17	S	5	PD11JAN18	10905.00	11135.00	USD	-6,900.00	
11OCT17	S	1	PD11JAN18	10910.00	11135.00	USD	-1,350.00	
11OCT17	S	4	PD11JAN18	10945.00	11135.00	USD	-4,560.00	
11OCT17	B	2	PD11JAN18	11030.00	11135.00	USD	1,260.00	
11OCT17	B	1	PD11JAN18	11090.00	11135.00	USD	270.00	
11OCT17	B	14	PD11JAN18	11065.00	11135.00	USD	5,880.00	
11OCT17	B	1	PD11JAN18	11070.00	11135.00	USD	390.00	
11OCT17	B	8	PD11JAN18	11075.00	11135.00	USD	2,880.00	
11OCT17	B	5	PD11JAN18	11080.00	11135.00	USD	1,650.00	
11OCT17	B	5	PD11JAN18	11100.00	11135.00	USD	1,050.00	
11OCT17	B	6	PD11JAN18	11085.00	11135.00	USD	1,800.00	
11OCT17	S	1	PD11JAN18	11110.00	11135.00	USD	-150.00	
11OCT17	B	3	PD11JAN18	11115.00	11135.00	USD	360.00	
11OCT17	B	1	PD11JAN18	11125.00	11135.00	USD	60.00	
11OCT17	B	10	PD11JAN18	11145.00	11135.00	USD	-600.00	
11OCT17	B	2	PD11JAN18	11150.00	11135.00	USD	-180.00	
11OCT17	S	1	PD11JAN18	11165.00	11135.00	USD	180.00	
11OCT17	S	3	PD11JAN18	11160.00	11135.00	USD	450.00	
11OCT17	S	3	PD11JAN18	11155.00	11135.00	USD	360.00	
11OCT17	S	1	PD11JAN18	11140.00	11135.00	USD	30.00	
11OCT17	S	6	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	S	3	PD11JAN18	11125.00	11135.00	USD	-180.00	
11OCT17	S	1	PD11JAN18	11115.00	11135.00	USD	-120.00	
11OCT17	S	1	PD11JAN18	11100.00	11135.00	USD	-210.00	
11OCT17	S	5	PD11JAN18	11105.00	11135.00	USD	-900.00	
11OCT17	S	4	PD11JAN18	11120.00	11135.00	USD	-360.00	
11OCT17	S	2	PD11JAN18	11000.00	11135.00	USD	-1,620.00	
11OCT17	B	1	PD11JAN18	11000.00	11135.00	USD	810.00	
11OCT17	S	2	PD11JAN18	11010.00	11135.00	USD	-1,500.00	
11OCT17	B	5	PD11JAN18	11010.00	11135.00	USD	3,750.00	
11OCT17	B	5	PD11JAN18	11015.00	11135.00	USD	3,600.00	
11OCT17	S	2	PD11JAN18	11115.00	11135.00	USD	-240.00	
11OCT17	S	4	PD11JAN18	11015.00	11135.00	USD	-2,880.00	
11OCT17	B	5	PD11JAN18	11195.00	11135.00	USD	-1,800.00	
11OCT17	B	3	PD11JAN18	11205.00	11135.00	USD	-1,260.00	
11OCT17	S	1	PD11JAN18	11245.00	11135.00	USD	660.00	
11OCT17	S	3	PD11JAN18	11210.00	11135.00	USD	1,350.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	3	PD11JAN18	11190.00	11135.00	USD	-990.00	
11OCT17	S	5	PD11JAN18	11230.00	11135.00	USD	2,850.00	
11OCT17	S	5	PD11JAN18	11235.00	11135.00	USD	3,000.00	
11OCT17	S	13	PD11JAN18	11215.00	11135.00	USD	6,240.00	
11OCT17	B	4	PD11JAN18	11170.00	11135.00	USD	-840.00	
11OCT17	B	1	PD11JAN18	11165.00	11135.00	USD	-180.00	
11OCT17	B	1	PD11JAN18	11185.00	11135.00	USD	-300.00	
11OCT17	B	8	PD11JAN18	11180.00	11135.00	USD	-2,160.00	
11OCT17	B	2	PD11JAN18	11175.00	11135.00	USD	-480.00	
11OCT17	B	1	PD11JAN18	11160.00	11135.00	USD	-150.00	
11OCT17	S	1	PD11JAN18	11200.00	11135.00	USD	390.00	
11OCT17	S	1	PD11JAN18	11195.00	11135.00	USD	360.00	
11OCT17	S	5	PD11JAN18	11205.00	11135.00	USD	2,100.00	
11OCT17	S	1	PD11JAN18	11180.00	11135.00	USD	270.00	
11OCT17	S	1	PD11JAN18	11185.00	11135.00	USD	300.00	
	NET	-58 AVG BGHT 11088.70 SOLD 11048.36					-58,950.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	3	PD12DEC17	20912.50	20912.50	USD	0.00	
	NET	+3 AVG BGHT 20912.50					0.00	0.00
11OCT17	B	1	PD15DEC17	20906.25	20906.25	USD	0.00	
	NET	+1 AVG BGHT 20906.25					0.00	0.00
11OCT17	B	1	PD18DEC17	20904.17	20904.17	USD	0.00	
	NET	+1 AVG BGHT 20904.17					0.00	0.00
21SEP17	S	1	PD21DEC17	20560.00	20897.69	USD	-1,688.45	
21SEP17	B	1	PD21DEC17	20570.00	20897.69	USD	1,638.45	
21SEP17	S	1	PD21DEC17	20565.00	20897.69	USD	-1,663.45	
21SEP17	S	1	PD21DEC17	20595.00	20897.69	USD	-1,513.45	
21SEP17	S	1	PD21DEC17	20620.00	20897.69	USD	-1,388.45	
21SEP17	S	1	PD21DEC17	20600.00	20897.69	USD	-1,488.45	
21SEP17	B	1	PD21DEC17	20500.00	20897.69	USD	1,988.45	
21SEP17	B	1	PD21DEC17	20385.00	20897.69	USD	2,563.45	
26SEP17	B	1	PD21DEC17	20727.33	20897.69	USD	851.80	
11OCT17	B	1	PD21DEC17	20897.69	20897.69	USD	0.00	
	NET	0 AVG BGHT 20616.00 SOLD 20588.00					-700.10	0.00
22SEP17	S	3	PD22DEC17	20470.00	20895.38	USD	-6,380.70	
22SEP17	S	1	PD22DEC17	20440.00	20895.38	USD	-2,276.90	
22SEP17	B	1	PD22DEC17	20445.00	20895.38	USD	2,251.90	
22SEP17	S	1	PD22DEC17	20575.00	20895.38	USD	-1,601.90	
22SEP17	S	1	PD22DEC17	20560.00	20895.38	USD	-1,676.90	
22SEP17	B	1	PD22DEC17	20595.00	20895.38	USD	1,501.90	
22SEP17	B	1	PD22DEC17	20600.00	20895.38	USD	1,476.90	
22SEP17	B	1	PD22DEC17	20560.00	20895.38	USD	1,676.90	
22SEP17	S	1	PD22DEC17	20575.00	20895.38	USD	-1,601.90	
11OCT17	B	2	PD22DEC17	20895.38	20895.38	USD	0.00	
	NET	-1 AVG BGHT 20665.13 SOLD 20508.57					-6,630.70	0.00
25SEP17	B	2	PD27DEC17	20625.00	20893.08	USD	2,680.80	
25SEP17	B	2	PD27DEC17	20600.00	20893.08	USD	2,930.80	
25SEP17	S	1	PD27DEC17	20650.00	20893.08	USD	-1,215.40	
26SEP17	B	1	PD27DEC17	20730.00	20893.08	USD	815.40	
26SEP17	B	1	PD27DEC17	20715.00	20893.08	USD	890.40	
26SEP17	S	1	PD27DEC17	20645.00	20893.08	USD	-1,240.40	
26SEP17	S	1	PD27DEC17	20709.00	20893.08	USD	-920.40	
27SEP17	B	1	PD27DEC17	20725.00	20893.08	USD	840.40	
27SEP17	B	2	PD27DEC17	20720.00	20893.08	USD	1,730.80	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	2	PD27DEC17	20685.00	20893.08	USD	-2,080.80	
27SEP17	S	1	PD27DEC17	20640.00	20893.08	USD	-1,265.40	
27SEP17	S	1	PD27DEC17	20655.00	20893.08	USD	-1,190.40	
27SEP17	S	1	PD27DEC17	20645.00	20893.08	USD	-1,240.40	
27SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
27SEP17	B	1	PD27DEC17	20685.00	20893.08	USD	1,040.40	
27SEP17	S	1	PD27DEC17	20650.00	20893.08	USD	-1,215.40	
	NET	0 AVG BGHT 20674.50	SOLD 20663.90				-530.00	0.00
28SEP17	S	1	PD28DEC17	20625.00	20890.77	USD	-1,328.85	
28SEP17	S	1	PD28DEC17	20610.00	20890.77	USD	-1,403.85	
28SEP17	B	1	PD28DEC17	20630.00	20890.77	USD	1,303.85	
28SEP17	B	1	PD28DEC17	20625.00	20890.77	USD	1,328.85	
28SEP17	S	1	PD28DEC17	20625.00	20890.77	USD	-1,328.85	
28SEP17	B	1	PD28DEC17	20650.00	20890.77	USD	1,203.85	
	NET	0 AVG BGHT 20635.00	SOLD 20620.00				-225.00	0.00
29SEP17	B	1	PD29DEC17	20670.00	20888.46	USD	1,092.30	
29SEP17	S	1	PD29DEC17	20660.00	20888.46	USD	-1,142.30	
29SEP17	B	1	PD29DEC17	20720.00	20888.46	USD	842.30	
29SEP17	S	1	PD29DEC17	20685.00	20888.46	USD	-1,017.30	
29SEP17	B	1	PD29DEC17	20765.00	20888.46	USD	617.30	
	NET	+1 AVG BGHT 20718.33	SOLD 20672.50				392.30	0.00
03OCT17	B	1	PD03JAN18	20700.00	20883.85	USD	919.25	
	NET	+1 AVG BGHT 20700.00					919.25	0.00
05OCT17	S	1	PD05JAN18	20970.00	20879.23	USD	453.85	
05OCT17	S	1	PD05JAN18	21075.00	20879.23	USD	978.85	
05OCT17	S	1	PD05JAN18	21175.00	20879.23	USD	1,478.85	
06OCT17	B	1	PD05JAN18	20980.00	20879.23	USD	-503.85	
06OCT17	B	1	PD05JAN18	20985.00	20879.23	USD	-528.85	
06OCT17	B	1	PD05JAN18	20975.00	20879.23	USD	-478.85	
06OCT17	B	1	PD05JAN18	20685.00	20879.23	USD	971.15	
06OCT17	B	1	PD05JAN18	20600.00	20879.23	USD	1,396.15	
06OCT17	B	1	PD05JAN18	20595.00	20879.23	USD	1,421.15	
06OCT17	B	1	PD05JAN18	20595.00	20879.23	USD	1,421.15	
	NET	+4 AVG BGHT 20773.57	SOLD 21073.33				6,609.60	0.00
09OCT17	B	1	PD09JAN18	20700.00	20874.62	USD	873.10	
09OCT17	B	2	PD09JAN18	20690.00	20874.62	USD	1,846.20	
09OCT17	B	1	PD09JAN18	20730.00	20874.62	USD	723.10	
09OCT17	B	1	PD09JAN18	20735.00	20874.62	USD	698.10	
09OCT17	B	3	PD09JAN18	20650.00	20874.62	USD	3,369.30	
09OCT17	B	1	PD09JAN18	20605.00	20874.62	USD	1,348.10	
09OCT17	S	1	PD09JAN18	20860.00	20874.62	USD	-73.10	
09OCT17	B	1	PD09JAN18	20575.00	20874.62	USD	1,498.10	
09OCT17	B	1	PD09JAN18	20625.00	20874.62	USD	1,248.10	
09OCT17	S	1	PD09JAN18	20625.00	20874.62	USD	-1,248.10	
09OCT17	S	1	PD09JAN18	20690.00	20874.62	USD	-923.10	
09OCT17	B	1	PD09JAN18	20730.00	20874.62	USD	723.10	
09OCT17	B	2	PD09JAN18	20740.00	20874.62	USD	1,346.20	
09OCT17	B	1	PD09JAN18	20770.00	20874.62	USD	523.10	
09OCT17	B	2	PD09JAN18	20765.00	20874.62	USD	1,096.20	
09OCT17	B	1	PD09JAN18	20705.00	20874.62	USD	848.10	
09OCT17	B	1	PD09JAN18	20815.00	20874.62	USD	298.10	
09OCT17	B	1	PD09JAN18	20755.00	20874.62	USD	598.10	
11OCT17	S	6	PD09JAN18	20873.62	20874.62	USD	-30.00	
	NET	+11 AVG BGHT 20704.25	SOLD 20824.08				14,762.70	0.00
10OCT17	S	1	PD10JAN18	20810.00	20872.31	USD	-311.55	
10OCT17	S	1	PD10JAN18	20815.00	20872.31	USD	-286.55	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	20790.00	20872.31	USD	411.55	
10OCT17	B	1	PD10JAN18	20805.00	20872.31	USD	336.55	
10OCT17	B	1	PD10JAN18	20810.00	20872.31	USD	311.55	
10OCT17	B	2	PD10JAN18	20780.00	20872.31	USD	923.10	
10OCT17	B	1	PD10JAN18	20795.00	20872.31	USD	386.55	
11OCT17	S	2	PD10JAN18	20871.31	20872.31	USD	-10.00	
	NET	+2 AVG BGHT	20793.33				1,761.20	0.00
	SOLD	20841.91						
11OCT17	S	1	PD11JAN18	20765.00	20870.00	USD	-525.00	
11OCT17	S	1	PD11JAN18	20750.00	20870.00	USD	-600.00	
11OCT17	S	2	PD11JAN18	20755.00	20870.00	USD	-1,150.00	
11OCT17	S	1	PD11JAN18	20795.00	20870.00	USD	-375.00	
11OCT17	S	1	PD11JAN18	20735.00	20870.00	USD	-675.00	
11OCT17	S	1	PD11JAN18	20745.00	20870.00	USD	-625.00	
11OCT17	S	1	PD11JAN18	20735.00	20870.00	USD	-675.00	
11OCT17	B	1	PD11JAN18	20745.00	20870.00	USD	625.00	
11OCT17	B	1	PD11JAN18	20760.00	20870.00	USD	550.00	
11OCT17	S	1	PD11JAN18	20760.00	20870.00	USD	-550.00	
11OCT17	S	1	PD11JAN18	20755.00	20870.00	USD	-575.00	
11OCT17	S	1	PD11JAN18	20770.00	20870.00	USD	-500.00	
11OCT17	S	2	PD11JAN18	20780.00	20870.00	USD	-900.00	
11OCT17	S	2	PD11JAN18	20800.00	20870.00	USD	-700.00	
11OCT17	S	2	PD11JAN18	20820.00	20870.00	USD	-500.00	
11OCT17	S	1	PD11JAN18	20775.00	20870.00	USD	-475.00	
11OCT17	S	1	PD11JAN18	20785.00	20870.00	USD	-425.00	
11OCT17	S	1	PD11JAN18	20815.00	20870.00	USD	-275.00	
11OCT17	S	2	PD11JAN18	20770.00	20870.00	USD	-1,000.00	
	NET	-20 AVG BGHT	20752.50				-9,350.00	0.00
	SOLD	20774.32						
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	3101.50	3229.33	USD	3,195.75	
19SEP17	B	1	PD19DEC17	3110.00	3229.33	USD	2,983.25	
26SEP17	S	2	PD19DEC17	3119.00	3229.33	USD	-5,516.50	
	NET	0 AVG BGHT	3105.75				662.50	0.00
	SOLD	3119.00						
21SEP17	B	1	PD21DEC17	3106.50	3225.00	USD	2,962.50	
21SEP17	B	2	PD21DEC17	3104.00	3225.00	USD	6,050.00	
21SEP17	S	1	PD21DEC17	3120.50	3225.00	USD	-2,612.50	
21SEP17	S	1	PD21DEC17	3121.50	3225.00	USD	-2,587.50	
21SEP17	S	1	PD21DEC17	3077.00	3225.00	USD	-3,700.00	
21SEP17	S	1	PD21DEC17	3067.50	3225.00	USD	-3,937.50	
21SEP17	S	2	PD21DEC17	3067.00	3225.00	USD	-7,900.00	
21SEP17	S	1	PD21DEC17	3059.00	3225.00	USD	-4,150.00	
26SEP17	B	2	PD21DEC17	3118.20	3225.00	USD	5,340.00	
	NET	-2 AVG BGHT	3110.18				-10,535.00	0.00
	SOLD	3082.79						
22SEP17	B	1	PD22DEC17	3061.00	3224.50	USD	4,087.50	
22SEP17	S	1	PD22DEC17	3020.50	3224.50	USD	-5,100.00	
22SEP17	S	1	PD22DEC17	3024.00	3224.50	USD	-5,012.50	
	NET	-1 AVG BGHT	3061.00				-6,025.00	0.00
	SOLD	3022.25						
25SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
25SEP17	B	1	PD27DEC17	3104.00	3224.00	USD	3,000.00	
26SEP17	B	1	PD27DEC17	3124.50	3224.00	USD	2,487.50	
26SEP17	B	1	PD27DEC17	3124.00	3224.00	USD	2,500.00	
26SEP17	B	1	PD27DEC17	3127.50	3224.00	USD	2,412.50	
26SEP17	B	1	PD27DEC17	3126.00	3224.00	USD	2,450.00	
26SEP17	S	1	PD27DEC17	3112.50	3224.00	USD	-2,787.50	
26SEP17	S	2	PD27DEC17	3111.00	3224.00	USD	-5,650.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	3	PD27DEC17	3115.00	3224.00	USD	-8,175.00	
26SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
26SEP17	B	1	PD27DEC17	3108.50	3224.00	USD	2,887.50	
26SEP17	B	1	PD27DEC17	3110.50	3224.00	USD	2,837.50	
27SEP17	B	1	PD27DEC17	3110.00	3224.00	USD	2,850.00	
27SEP17	B	1	PD27DEC17	3108.50	3224.00	USD	2,887.50	
27SEP17	B	1	PD27DEC17	3106.50	3224.00	USD	2,937.50	
27SEP17	B	1	PD27DEC17	3107.50	3224.00	USD	2,912.50	
27SEP17	B	1	PD27DEC17	3117.00	3224.00	USD	2,675.00	
27SEP17	B	1	PD27DEC17	3141.00	3224.00	USD	2,075.00	
27SEP17	B	1	PD27DEC17	3146.00	3224.00	USD	1,950.00	
27SEP17	B	1	PD27DEC17	3145.00	3224.00	USD	1,975.00	
27SEP17	S	1	PD27DEC17	3140.00	3224.00	USD	-2,100.00	
27SEP17	S	1	PD27DEC17	3139.00	3224.00	USD	-2,125.00	
27SEP17	B	1	PD27DEC17	3142.00	3224.00	USD	2,050.00	
27SEP17	B	1	PD27DEC17	3123.00	3224.00	USD	2,525.00	
27SEP17	B	2	PD27DEC17	3127.50	3224.00	USD	4,825.00	
27SEP17	B	2	PD27DEC17	3128.00	3224.00	USD	4,800.00	
27SEP17	S	1	PD27DEC17	3108.50	3224.00	USD	-2,887.50	
27SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
27SEP17	B	1	PD27DEC17	3118.00	3224.00	USD	2,650.00	
27SEP17	S	3	PD27DEC17	3113.50	3224.00	USD	-8,287.50	
27SEP17	S	1	PD27DEC17	3102.00	3224.00	USD	-3,050.00	
27SEP17	S	1	PD27DEC17	3101.50	3224.00	USD	-3,062.50	
27SEP17	S	2	PD27DEC17	3105.50	3224.00	USD	-5,925.00	
27SEP17	S	1	PD27DEC17	3111.50	3224.00	USD	-2,812.50	
27SEP17	S	2	PD27DEC17	3112.50	3224.00	USD	-5,575.00	
27SEP17	S	1	PD27DEC17	3099.00	3224.00	USD	-3,125.00	
27SEP17	S	1	PD27DEC17	3104.50	3224.00	USD	-2,987.50	
27SEP17	B	1	PD27DEC17	3111.50	3224.00	USD	2,812.50	
27SEP17	B	1	PD27DEC17	3116.50	3224.00	USD	2,687.50	
27SEP17	S	1	PD27DEC17	3102.50	3224.00	USD	-3,037.50	
	NET	+1AVG BGHT 3121.06	SOLD 3112.17				-2,762.50	0.00
28SEP17	S	1	PD28DEC17	3111.00	3223.50	USD	-2,812.50	
28SEP17	B	1	PD28DEC17	3115.50	3223.50	USD	2,700.00	
28SEP17	B	1	PD28DEC17	3118.00	3223.50	USD	2,637.50	
28SEP17	B	1	PD28DEC17	3120.00	3223.50	USD	2,587.50	
28SEP17	S	1	PD28DEC17	3110.50	3223.50	USD	-2,825.00	
28SEP17	S	2	PD28DEC17	3106.50	3223.50	USD	-5,850.00	
28SEP17	S	1	PD28DEC17	3130.50	3223.50	USD	-2,325.00	
28SEP17	B	2	PD28DEC17	3137.50	3223.50	USD	4,300.00	
28SEP17	B	1	PD28DEC17	3136.50	3223.50	USD	2,175.00	
28SEP17	B	3	PD28DEC17	3137.00	3223.50	USD	6,487.50	
28SEP17	B	1	PD28DEC17	3138.50	3223.50	USD	2,125.00	
28SEP17	B	2	PD28DEC17	3138.00	3223.50	USD	4,275.00	
28SEP17	B	1	PD28DEC17	3139.00	3223.50	USD	2,112.50	
28SEP17	B	2	PD28DEC17	3139.50	3223.50	USD	4,200.00	
28SEP17	S	1	PD28DEC17	3140.50	3223.50	USD	-2,075.00	
28SEP17	S	1	PD28DEC17	3141.50	3223.50	USD	-2,050.00	
28SEP17	S	2	PD28DEC17	3144.00	3223.50	USD	-3,975.00	
28SEP17	S	2	PD28DEC17	3140.00	3223.50	USD	-4,175.00	
28SEP17	S	1	PD28DEC17	3136.00	3223.50	USD	-2,187.50	
28SEP17	S	1	PD28DEC17	3135.50	3223.50	USD	-2,200.00	
28SEP17	S	1	PD28DEC17	3132.00	3223.50	USD	-2,287.50	
28SEP17	S	1	PD28DEC17	3129.50	3223.50	USD	-2,350.00	
28SEP17	S	1	PD28DEC17	3128.50	3223.50	USD	-2,375.00	
28SEP17	S	2	PD28DEC17	3125.00	3223.50	USD	-4,925.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	3126.00	3223.50	USD	-2,437.50	
28SEP17	S	1	PD28DEC17	3122.00	3223.50	USD	-2,537.50	
28SEP17	B	1	PD28DEC17	3119.50	3223.50	USD	2,600.00	
28SEP17	B	1	PD28DEC17	3120.50	3223.50	USD	2,575.00	
28SEP17	S	1	PD28DEC17	3123.00	3223.50	USD	-2,512.50	
28SEP17	B	2	PD28DEC17	3150.00	3223.50	USD	3,675.00	
28SEP17	B	4	PD28DEC17	3146.00	3223.50	USD	7,750.00	
28SEP17	B	4	PD28DEC17	3146.50	3223.50	USD	7,700.00	
28SEP17	B	1	PD28DEC17	3144.00	3223.50	USD	1,987.50	
28SEP17	S	1	PD28DEC17	3143.00	3223.50	USD	-2,012.50	
28SEP17	S	1	PD28DEC17	3157.00	3223.50	USD	-1,662.50	
28SEP17	S	1	PD28DEC17	3155.50	3223.50	USD	-1,700.00	
28SEP17	S	1	PD28DEC17	3153.50	3223.50	USD	-1,750.00	
28SEP17	S	2	PD28DEC17	3155.00	3223.50	USD	-3,425.00	
28SEP17	S	2	PD28DEC17	3159.00	3223.50	USD	-3,225.00	
28SEP17	S	1	PD28DEC17	3152.00	3223.50	USD	-1,787.50	
28SEP17	B	1	PD28DEC17	3147.50	3223.50	USD	1,900.00	
28SEP17	S	1	PD28DEC17	3154.00	3223.50	USD	-1,737.50	
	NET	-2 AVG BGHT 3138.28	SOLD 3136.79				-5,412.50	0.00
29SEP17	B	1	PD29DEC17	3138.50	3223.00	USD	2,112.50	
29SEP17	S	1	PD29DEC17	3137.00	3223.00	USD	-2,150.00	
29SEP17	B	1	PD29DEC17	3152.50	3223.00	USD	1,762.50	
29SEP17	B	2	PD29DEC17	3153.50	3223.00	USD	3,475.00	
29SEP17	B	1	PD29DEC17	3162.50	3223.00	USD	1,512.50	
29SEP17	B	1	PD29DEC17	3161.50	3223.00	USD	1,537.50	
29SEP17	B	2	PD29DEC17	3163.00	3223.00	USD	3,000.00	
29SEP17	B	1	PD29DEC17	3165.50	3223.00	USD	1,437.50	
29SEP17	B	1	PD29DEC17	3159.50	3223.00	USD	1,587.50	
29SEP17	S	1	PD29DEC17	3161.00	3223.00	USD	-1,550.00	
29SEP17	S	1	PD29DEC17	3164.00	3223.00	USD	-1,475.00	
29SEP17	S	1	PD29DEC17	3163.00	3223.00	USD	-1,500.00	
29SEP17	S	1	PD29DEC17	3169.00	3223.00	USD	-1,350.00	
29SEP17	B	1	PD29DEC17	3162.50	3223.00	USD	1,512.50	
29SEP17	B	1	PD29DEC17	3163.00	3223.00	USD	1,500.00	
	NET	+7 AVG BGHT 3158.21	SOLD 3158.80				11,412.50	0.00
04OCT17	B	1	PD04JAN18	3279.50	3221.50	USD	-1,450.00	
04OCT17	B	1	PD04JAN18	3299.50	3221.50	USD	-1,950.00	
04OCT17	B	1	PD04JAN18	3295.00	3221.50	USD	-1,837.50	
04OCT17	S	2	PD04JAN18	3283.00	3221.50	USD	3,075.00	
04OCT17	S	1	PD04JAN18	3284.50	3221.50	USD	1,575.00	
	NET	0 AVG BGHT 3291.33	SOLD 3283.50				-587.50	0.00
05OCT17	S	1	PD05JAN18	3289.00	3221.00	USD	1,700.00	
05OCT17	B	1	PD05JAN18	3277.00	3221.00	USD	-1,400.00	
05OCT17	B	1	PD05JAN18	3288.00	3221.00	USD	-1,675.00	
05OCT17	B	1	PD05JAN18	3301.50	3221.00	USD	-2,012.50	
06OCT17	B	1	PD05JAN18	3290.50	3221.00	USD	-1,737.50	
06OCT17	S	1	PD05JAN18	3281.00	3221.00	USD	1,500.00	
06OCT17	S	1	PD05JAN18	3277.00	3221.00	USD	1,400.00	
06OCT17	B	1	PD05JAN18	3286.00	3221.00	USD	-1,625.00	
06OCT17	S	1	PD05JAN18	3286.00	3221.00	USD	1,625.00	
06OCT17	S	1	PD05JAN18	3280.50	3221.00	USD	1,487.50	
06OCT17	B	1	PD05JAN18	3252.00	3221.00	USD	-775.00	
06OCT17	S	1	PD05JAN18	3258.00	3221.00	USD	925.00	
06OCT17	B	1	PD05JAN18	3251.50	3221.00	USD	-762.50	
06OCT17	S	1	PD05JAN18	3246.50	3221.00	USD	637.50	
06OCT17	B	1	PD05JAN18	3243.50	3221.00	USD	-562.50	

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06OCT17	S	1	PD05JAN18		3245.00	3221.00	USD	600.00	
06OCT17	S	1	PD05JAN18		3244.50	3221.00	USD	587.50	
	NET	-1AVG	BGHT 3273.75	SOLD 3267.50				-87.50	0.00
09OCT17	S	3	PD09JAN18		3233.00	3220.00	USD	975.00	
09OCT17	S	1	PD09JAN18		3222.50	3220.00	USD	62.50	
09OCT17	B	1	PD09JAN18		3266.50	3220.00	USD	-1,162.50	
09OCT17	B	2	PD09JAN18		3244.50	3220.00	USD	-1,225.00	
09OCT17	B	1	PD09JAN18		3258.00	3220.00	USD	-950.00	
09OCT17	B	1	PD09JAN18		3247.50	3220.00	USD	-687.50	
09OCT17	S	1	PD09JAN18		3240.00	3220.00	USD	500.00	
09OCT17	S	1	PD09JAN18		3227.50	3220.00	USD	187.50	
09OCT17	S	2	PD09JAN18		3229.00	3220.00	USD	450.00	
09OCT17	B	1	PD09JAN18		3267.00	3220.00	USD	-1,175.00	
09OCT17	B	1	PD09JAN18		3262.00	3220.00	USD	-1,050.00	
09OCT17	S	1	PD09JAN18		3267.00	3220.00	USD	1,175.00	
09OCT17	S	1	PD09JAN18		3243.00	3220.00	USD	575.00	
09OCT17	S	1	PD09JAN18		3242.50	3220.00	USD	562.50	
09OCT17	S	1	PD09JAN18		3240.00	3220.00	USD	500.00	
09OCT17	S	1	PD09JAN18		3228.50	3220.00	USD	212.50	
09OCT17	B	1	PD09JAN18		3231.50	3220.00	USD	-287.50	
09OCT17	B	1	PD09JAN18		3232.50	3220.00	USD	-312.50	
09OCT17	B	1	PD09JAN18		3231.00	3220.00	USD	-275.00	
09OCT17	B	1	PD09JAN18		3259.00	3220.00	USD	-975.00	
09OCT17	B	1	PD09JAN18		3255.00	3220.00	USD	-875.00	
09OCT17	B	1	PD09JAN18		3254.00	3220.00	USD	-850.00	
09OCT17	B	2	PD09JAN18		3256.00	3220.00	USD	-1,800.00	
09OCT17	B	2	PD09JAN18		3255.50	3220.00	USD	-1,775.00	
09OCT17	B	2	PD09JAN18		3257.50	3220.00	USD	-1,875.00	
09OCT17	S	3	PD09JAN18		3257.50	3220.00	USD	2,812.50	
09OCT17	S	1	PD09JAN18		3258.00	3220.00	USD	950.00	
09OCT17	S	1	PD09JAN18		3239.50	3220.00	USD	487.50	
09OCT17	S	2	PD09JAN18		3238.00	3220.00	USD	900.00	
09OCT17	B	1	PD09JAN18		3233.00	3220.00	USD	-325.00	
09OCT17	B	1	PD09JAN18		3233.50	3220.00	USD	-337.50	
09OCT17	B	4	PD09JAN18		3230.00	3220.00	USD	-1,000.00	
09OCT17	S	1	PD09JAN18		3259.00	3220.00	USD	975.00	
09OCT17	B	1	PD09JAN18		3253.50	3220.00	USD	-837.50	
	NET	+5AVG	BGHT 3247.35	SOLD 3241.57				-6,450.00	0.00
10OCT17	B	1	PD10JAN18		3261.50	3219.50	USD	-1,050.00	
10OCT17	B	1	PD10JAN18		3265.50	3219.50	USD	-1,150.00	
10OCT17	B	1	PD10JAN18		3263.50	3219.50	USD	-1,100.00	
10OCT17	B	1	PD10JAN18		3258.00	3219.50	USD	-962.50	
10OCT17	B	1	PD10JAN18		3262.00	3219.50	USD	-1,062.50	
10OCT17	B	1	PD10JAN18		3260.00	3219.50	USD	-1,012.50	
10OCT17	S	1	PD10JAN18		3241.00	3219.50	USD	537.50	
10OCT17	B	1	PD10JAN18		3241.50	3219.50	USD	-550.00	
10OCT17	S	3	PD10JAN18		3240.00	3219.50	USD	1,537.50	
10OCT17	S	1	PD10JAN18		3251.50	3219.50	USD	800.00	
10OCT17	S	1	PD10JAN18		3247.50	3219.50	USD	700.00	
10OCT17	S	1	PD10JAN18		3247.00	3219.50	USD	687.50	
10OCT17	S	2	PD10JAN18		3236.00	3219.50	USD	825.00	
10OCT17	S	1	PD10JAN18		3227.50	3219.50	USD	200.00	
10OCT17	B	1	PD10JAN18		3226.50	3219.50	USD	-175.00	
10OCT17	B	1	PD10JAN18		3213.50	3219.50	USD	150.00	
10OCT17	S	1	PD10JAN18		3214.50	3219.50	USD	-125.00	
10OCT17	S	1	PD10JAN18		3217.00	3219.50	USD	-62.50	
10OCT17	S	1	PD10JAN18		3217.50	3219.50	USD	-50.00	

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10OCT17	S	1	PD10JAN18	3222.00	3219.50	USD	62.50	
10OCT17	S	1	PD10JAN18	3221.50	3219.50	USD	50.00	
10OCT17	B	1	PD10JAN18	3233.00	3219.50	USD	-337.50	
10OCT17	S	1	PD10JAN18	3228.00	3219.50	USD	212.50	
10OCT17	S	1	PD10JAN18	3227.50	3219.50	USD	200.00	
10OCT17	S	1	PD10JAN18	3214.50	3219.50	USD	-125.00	
10OCT17	S	1	PD10JAN18	3232.00	3219.50	USD	312.50	
10OCT17	B	1	PD10JAN18	3254.00	3219.50	USD	-862.50	
10OCT17	B	1	PD10JAN18	3255.00	3219.50	USD	-887.50	
10OCT17	B	1	PD10JAN18	3280.50	3219.50	USD	-1,525.00	
10OCT17	B	1	PD10JAN18	3280.00	3219.50	USD	-1,512.50	
10OCT17	B	1	PD10JAN18	3279.50	3219.50	USD	-1,500.00	
10OCT17	B	1	PD10JAN18	3269.00	3219.50	USD	-1,237.50	
10OCT17	B	1	PD10JAN18	3250.00	3219.50	USD	-762.50	
10OCT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	
10OCT17	S	1	PD10JAN18	3291.50	3219.50	USD	1,800.00	
10OCT17	S	2	PD10JAN18	3288.50	3219.50	USD	3,450.00	
10OCT17	S	1	PD10JAN18	3291.50	3219.50	USD	1,800.00	
10OCT17	S	1	PD10JAN18	3292.00	3219.50	USD	1,812.50	
	NET	-8 AVG BGHT 3256.06	SOLD 3245.42				662.50	0.00
11OCT17	B	1	PD11JAN18	3286.00	3219.00	USD	-1,675.00	
11OCT17	B	1	PD11JAN18	3285.00	3219.00	USD	-1,650.00	
11OCT17	S	1	PD11JAN18	3276.00	3219.00	USD	1,425.00	
11OCT17	B	1	PD11JAN18	3249.00	3219.00	USD	-750.00	
11OCT17	S	1	PD11JAN18	3254.00	3219.00	USD	875.00	
11OCT17	B	1	PD11JAN18	3250.00	3219.00	USD	-775.00	
11OCT17	B	1	PD11JAN18	3225.00	3219.00	USD	-150.00	
11OCT17	B	1	PD11JAN18	3216.50	3219.00	USD	62.50	
11OCT17	B	1	PD11JAN18	3222.50	3219.00	USD	-87.50	
11OCT17	B	1	PD11JAN18	3228.00	3219.00	USD	-225.00	
11OCT17	S	1	PD11JAN18	3252.00	3219.00	USD	825.00	
11OCT17	B	1	PD11JAN18	3229.00	3219.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	3233.00	3219.00	USD	-350.00	
11OCT17	B	1	PD11JAN18	3234.50	3219.00	USD	-387.50	
11OCT17	B	1	PD11JAN18	3232.50	3219.00	USD	-337.50	
11OCT17	B	2	PD11JAN18	3231.00	3219.00	USD	-600.00	
11OCT17	B	1	PD11JAN18	3235.50	3219.00	USD	-412.50	
11OCT17	S	3	PD11JAN18	3236.00	3219.00	USD	1,275.00	
11OCT17	S	5	PD11JAN18	3235.50	3219.00	USD	2,062.50	
11OCT17	B	1	PD11JAN18	3236.00	3219.00	USD	-425.00	
11OCT17	S	1	PD11JAN18	3223.00	3219.00	USD	100.00	
11OCT17	S	1	PD11JAN18	3222.50	3219.00	USD	87.50	
11OCT17	S	1	PD11JAN18	3219.00	3219.00	USD	0.00	
11OCT17	S	1	PD11JAN18	3210.50	3219.00	USD	-212.50	
11OCT17	S	1	PD11JAN18	3209.50	3219.00	USD	-237.50	
11OCT17	B	1	PD11JAN18	3202.50	3219.00	USD	412.50	
11OCT17	B	1	PD11JAN18	3200.50	3219.00	USD	462.50	
11OCT17	S	2	PD11JAN18	3236.00	3219.00	USD	850.00	
11OCT17	B	1	PD11JAN18	3230.00	3219.00	USD	-275.00	
11OCT17	B	1	PD11JAN18	3232.50	3219.00	USD	-337.50	
11OCT17	S	1	PD11JAN18	3220.50	3219.00	USD	37.50	
11OCT17	S	1	PD11JAN18	3226.50	3219.00	USD	187.50	
11OCT17	S	1	PD11JAN18	3224.00	3219.00	USD	125.00	
11OCT17	S	2	PD11JAN18	3215.00	3219.00	USD	-200.00	
11OCT17	B	1	PD11JAN18	3217.50	3219.00	USD	37.50	
	NET	-2 AVG BGHT 3233.69	SOLD 3231.52				-512.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-610,368.25
LME Copper Grade A 25	FUTURES	-18,887,821.25
CMX Copper	FUTURES	1,122,487.50
CMX Gold	FUTURES	-4,111,270.00
LME Lead 25 tonnes. This is an	FUTURES	771,423.75
LME Nickel 6 tonnes. This is	FUTURES	-1,072,540.44
LME Tin 5 tonnes. This is an	FUTURES	-307,526.80
LME Zinc 25 tonnes. This is	FUTURES	221,652.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-38,325.00	-38,325.00		
GOLD - Futures USD	13,210.00	13,210.00	-25,115.00	-25,115.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-26,481.50	-26,481.50		
COPL - Futures USD	-18,027.50	-18,027.50		
LEAD - Futures USD	2,116.25	2,116.25		
NKL - Futures USD	190,692.90	190,692.90		
TIN - Futures USD	7,009.25	7,009.25		
ZINC - Futures USD	-19,635.00	-19,635.00	135,674.40	135,674.40

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-3,880.82	-3,880.82
- Options	-25,115.00	-25,115.00
	0.00	0.00
TOTAL EQUITY	-28,995.82	-28,995.82
Initial Margins	-1,790,155.60	-1,790,155.60
Excess/(Shortage)	-1,819,151.42	-1,819,151.42
FOR INFORMATION ONLY		
Forward Unrealised P&L	135,674.40	135,674.40
Net Liquidating Value	106,678.58	106,678.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0094**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18440)	(1.31890)	(112.27000)
CASH BALANCE	-330.00	7,558.00	54,868,475
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	-330.00	7,558.00	54,868,475
Initial Margins	0.00	0.00	0
Excess/(Shortage)	-330.00	7,558.00	54,868,475
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-330.00	7,558.00	54,868,475

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	7,718,843.44	8,217,139.78
Variation Margins	- Futures 67,945.00	67,945.00
	- Options 0.00	0.00
TOTAL EQUITY	7,786,788.44	8,285,084.78
Initial Margins	-6,472,684.63	-6,472,684.63
Excess/(Shortage)	1,314,103.81	1,812,400.15
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-258,999.63	-258,999.63
Net Liquidating Value	7,527,788.81	8,026,085.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,543,979.23	1,543,979.23
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,543,979.23	1,543,979.23
Initial Margins	0.00	0.00
Excess/(Shortage)	1,543,979.23	1,543,979.23
FOR INFORMATION ONLY		
Net Liquidating Value	1,543,979.23	1,543,979.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
19SEP17	CMX SILVER 5,000 CMX B 2 DEC17 NET +2AVG BGHT 1718.5	1718.5	1713.3	USD	-520.00 -520.00	 0.00

OPEN POSITION VALUE

CMX Silver	FUTURES	USD -171,850.00
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	-520.00	-520.00	-520.00	-520.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	5,090.00	5,090.00
- Options	-520.00	-520.00
	0.00	0.00
TOTAL EQUITY	4,570.00	4,570.00
Initial Margins	-11,800.00	-11,800.00
Excess/(Shortage)	-7,230.00	-7,230.00
FOR INFORMATION ONLY		
Net Liquidating Value	4,570.00	4,570.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	4	PD12OCT17	2323.50	2552.35	USD	-22,885.00	
12JUL17	B	2	PD12OCT17	2317.00	2552.35	USD	11,767.50	
12JUL17	B	2	PD12OCT17	2318.00	2552.35	USD	11,717.50	
	NET	0 AVG BGHT 2317.50	SOLD 2323.50				600.00	0.00
14JUL17	B	1	PD13OCT17	2283.00	2552.75	USD	6,743.75	
14JUL17	B	1	PD13OCT17	2279.00	2552.75	USD	6,843.75	
14JUL17	B	1	PD13OCT17	2271.00	2552.75	USD	7,043.75	
26SEP17	S	3	PD13OCT17	2481.09	2552.75	USD	-5,374.50	
	NET	0 AVG BGHT 2277.67	SOLD 2481.09				15,256.75	0.00
17JUL17	B	1	PD17OCT17	2312.00	2554.35	USD	6,058.75	
26SEP17	S	1	PD17OCT17	2481.86	2554.35	USD	-1,812.25	
	NET	0 AVG BGHT 2312.00	SOLD 2481.86				4,246.50	0.00
16AUG17	S	4	PD16NOV17	2390.50	2558.75	USD	-16,825.00	
16AUG17	S	1	PD16NOV17	2392.00	2558.75	USD	-4,168.75	
26SEP17	B	5	PD16NOV17	2486.08	2558.75	USD	9,083.75	
	NET	0 AVG BGHT 2486.08	SOLD 2390.80				-11,910.00	0.00
01SEP17	B	5	PD01DEC17	2399.00	2560.39	USD	20,173.75	
26SEP17	S	5	PD01DEC17	2487.26	2560.39	USD	-9,141.25	
	NET	0 AVG BGHT 2399.00	SOLD 2487.26				11,032.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	4	PD12OCT17	2840.50	3299.33	USD	-45,883.00	
12JUL17	S	4	PD12OCT17	2841.00	3299.33	USD	-45,833.00	
12JUL17	B	1	PD12OCT17	2835.00	3299.33	USD	11,608.25	
12JUL17	B	1	PD12OCT17	2830.00	3299.33	USD	11,733.25	
12JUL17	B	1	PD12OCT17	2825.00	3299.33	USD	11,858.25	
12JUL17	B	1	PD12OCT17	2820.50	3299.33	USD	11,970.75	
12JUL17	B	2	PD12OCT17	2820.00	3299.33	USD	23,966.50	
12JUL17	B	2	PD12OCT17	2819.50	3299.33	USD	23,991.50	
	NET	0 AVG BGHT 2823.69	SOLD 2840.75				3,412.50	0.00
14JUL17	B	1	PD13OCT17	2772.00	3295.00	USD	13,075.00	
14JUL17	B	1	PD13OCT17	2760.00	3295.00	USD	13,375.00	
14JUL17	S	1	PD13OCT17	2779.00	3295.00	USD	-12,900.00	
14JUL17	S	1	PD13OCT17	2799.00	3295.00	USD	-12,400.00	
	NET	0 AVG BGHT 2766.00	SOLD 2789.00				1,150.00	0.00
18JUL17	S	1	PD18OCT17	2773.50	3282.00	USD	-12,712.50	
18JUL17	B	1	PD18OCT17	2772.00	3282.00	USD	12,750.00	
	NET	0 AVG BGHT 2772.00	SOLD 2773.50				37.50	0.00
19JUL17	B	1	PD19OCT17	2770.50	3277.50	USD	12,675.00	
19JUL17	B	1	PD19OCT17	2760.50	3277.50	USD	12,925.00	
19JUL17	B	1	PD19OCT17	2750.50	3277.50	USD	13,175.00	
19JUL17	B	1	PD19OCT17	2740.50	3277.50	USD	13,425.00	
26SEP17	S	4	PD19OCT17	3127.30	3277.50	USD	-15,020.00	
	NET	0 AVG BGHT 2755.50	SOLD 3127.30				37,180.00	0.00
20JUL17	B	1	PD20OCT17	2720.50	3273.00	USD	13,812.50	
26SEP17	S	1	PD20OCT17	3126.80	3273.00	USD	-3,655.00	
	NET	0 AVG BGHT 2720.50	SOLD 3126.80				10,157.50	0.00
16AUG17	S	4	PD16NOV17	2978.00	3241.50	USD	-26,350.00	
16AUG17	S	1	PD16NOV17	2979.50	3241.50	USD	-6,550.00	
26SEP17	B	5	PD16NOV17	3118.96	3241.50	USD	15,317.50	
	NET	0 AVG BGHT 3118.96	SOLD 2978.30				-17,582.50	0.00
01SEP17	S	4	PD01DEC17	3177.50	3233.33	USD	-5,583.00	
01SEP17	S	1	PD01DEC17	3178.00	3233.33	USD	-1,383.25	
01SEP17	S	5	PD01DEC17	3180.00	3233.33	USD	-6,666.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

11th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	5	PD01DEC17	3183.00	3233.33	USD	-6,291.25	
01SEP17	B	5	PD01DEC17	3191.50	3233.33	USD	5,228.75	
01SEP17	B	1	PD01DEC17	3192.00	3233.33	USD	1,033.25	
01SEP17	B	5	PD01DEC17	3192.50	3233.33	USD	5,103.75	
01SEP17	B	6	PD01DEC17	3196.00	3233.33	USD	5,599.50	
01SEP17	B	3	PD01DEC17	3197.00	3233.33	USD	2,724.75	
26SEP17	S	5	PD01DEC17	3118.38	3233.33	USD	-14,368.75	
	NET	0 AVG BGHT 3193.95	SOLD 3164.75				-14,602.50	0.00
14SEP17	B	5	PD14DEC17	3006.50	3230.33	USD	27,978.75	
14SEP17	B	5	PD14DEC17	3007.50	3230.33	USD	27,853.75	
14SEP17	B	10	PD14DEC17	3008.50	3230.33	USD	55,457.50	
14SEP17	S	1	PD14DEC17	3016.00	3230.33	USD	-5,358.25	
14SEP17	S	1	PD14DEC17	3020.00	3230.33	USD	-5,258.25	
26SEP17	S	18	PD14DEC17	3119.00	3230.33	USD	-50,098.50	
	NET	0 AVG BGHT 3007.75	SOLD 3108.90				50,575.00	0.00
15SEP17	S	1	PD15DEC17	3025.00	3230.00	USD	-5,125.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	S	1	PD15DEC17	3035.00	3230.00	USD	-4,875.00	
15SEP17	S	1	PD15DEC17	3040.00	3230.00	USD	-4,750.00	
26SEP17	B	4	PD15DEC17	3119.20	3230.00	USD	11,080.00	
	NET	0 AVG BGHT 3119.20	SOLD 3032.50				-8,670.00	0.00
18SEP17	S	5	PD18DEC17	3067.50	3229.67	USD	-20,271.25	
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	
18SEP17	S	2	PD18DEC17	3066.50	3229.67	USD	-8,158.50	
18SEP17	S	2	PD18DEC17	3067.00	3229.67	USD	-8,133.50	
18SEP17	S	4	PD18DEC17	3070.50	3229.67	USD	-15,917.00	
18SEP17	S	4	PD18DEC17	3071.50	3229.67	USD	-15,817.00	
18SEP17	B	1	PD18DEC17	3100.00	3229.67	USD	3,241.75	
26SEP17	B	14	PD18DEC17	3119.20	3229.67	USD	38,664.50	
26SEP17	B	3	PD18DEC17	3119.00	3229.67	USD	8,300.25	
	NET	0 AVG BGHT 3118.10	SOLD 3068.81				-22,182.50	0.00
19SEP17	B	1	PD19DEC17	3099.50	3229.33	USD	3,245.75	
19SEP17	B	1	PD19DEC17	3105.00	3229.33	USD	3,108.25	
19SEP17	B	1	PD19DEC17	3106.00	3229.33	USD	3,083.25	
26SEP17	S	3	PD19DEC17	3118.80	3229.33	USD	-8,289.75	
	NET	0 AVG BGHT 3103.50	SOLD 3118.80				1,147.50	0.00
21SEP17	B	5	PD21DEC17	3035.50	3225.00	USD	23,687.50	
21SEP17	S	1	PD21DEC17	3040.00	3225.00	USD	-4,625.00	
21SEP17	S	2	PD21DEC17	3041.00	3225.00	USD	-9,200.00	
21SEP17	S	1	PD21DEC17	3040.50	3225.00	USD	-4,612.50	
21SEP17	S	1	PD21DEC17	3045.00	3225.00	USD	-4,500.00	
	NET	0 AVG BGHT 3035.50	SOLD 3041.50				750.00	0.00
28SEP17	B	5	PD28DEC17	3145.00	3223.50	USD	9,812.50	
28SEP17	B	5	PD28DEC17	3145.50	3223.50	USD	9,750.00	
28SEP17	B	5	PD28DEC17	3146.00	3223.50	USD	9,687.50	
28SEP17	B	5	PD28DEC17	3147.00	3223.50	USD	9,562.50	
28SEP17	S	5	PD28DEC17	3156.00	3223.50	USD	-8,437.50	
28SEP17	S	5	PD28DEC17	3160.50	3223.50	USD	-7,875.00	
28SEP17	S	5	PD28DEC17	3159.00	3223.50	USD	-8,062.50	
	NET	+5 AVG BGHT 3145.88	SOLD 3158.50				14,437.50	0.00
29SEP17	S	1	PD29DEC17	3158.50	3223.00	USD	-1,612.50	
29SEP17	S	1	PD29DEC17	3166.50	3223.00	USD	-1,412.50	
29SEP17	S	3	PD29DEC17	3159.00	3223.00	USD	-4,800.00	
	NET	-5 AVG SOLD 3160.40					-7,825.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	19,225.75
LME Zinc 25 tonnes. This is FUTURES	47,922.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	19,225.75	19,225.75		
ZINC - Futures USD	47,985.00	47,985.00	67,210.75	67,210.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-67,172.00	-67,172.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-67,172.00	-67,172.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	67,210.75	67,210.75
Net Liquidating Value	38.75	38.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	S	1	PD120CT17	1901.00	2104.25	USD	-5,081.25	
24JUL17	B	1	PD120CT17	1910.52	2104.25	USD	4,843.25	
	NET	0 AVG	BGHT 1910.52				-238.00	0.00
13JUL17	S	1	PD130CT17	1937.00	2104.75	USD	-4,193.75	
13JUL17	S	1	PD130CT17	1929.50	2104.75	USD	-4,381.25	
13JUL17	S	1	PD130CT17	1929.00	2104.75	USD	-4,393.75	
24JUL17	B	3	PD130CT17	1910.71	2104.75	USD	14,553.00	
	NET	0 AVG	BGHT 1910.71				1,584.25	0.00
17JUL17	B	2	PD170CT17	1939.00	2106.75	USD	8,387.50	
17JUL17	B	1	PD170CT17	1923.00	2106.75	USD	4,593.75	
18SEP17	S	3	PD170CT17	2068.08	2106.75	USD	-2,900.25	
	NET	0 AVG	BGHT 1933.67				10,081.00	0.00
25JUL17	B	2	PD250CT17	1919.00	2110.75	USD	9,587.50	
18SEP17	S	2	PD250CT17	2071.13	2110.75	USD	-1,981.00	
	NET	0 AVG	BGHT 1919.00				7,606.50	0.00
26JUL17	S	2	PD260CT17	1958.00	2111.25	USD	-7,662.50	
26JUL17	S	2	PD260CT17	1961.00	2111.25	USD	-7,512.50	
26JUL17	B	1	PD260CT17	1943.50	2111.25	USD	4,193.75	
18SEP17	B	3	PD260CT17	2071.74	2111.25	USD	2,963.25	
	NET	0 AVG	BGHT 2039.68				-8,018.00	0.00
28JUL17	B	1	PD270CT17	1935.50	2111.00	USD	4,387.50	
18SEP17	S	1	PD270CT17	2071.95	2111.00	USD	-976.25	
	NET	0 AVG	BGHT 1935.50				3,411.25	0.00
31JUL17	B	2	PD310CT17	1919.50	2110.50	USD	9,550.00	
18SEP17	S	2	PD310CT17	2073.59	2110.50	USD	-1,845.50	
	NET	0 AVG	BGHT 1919.50				7,704.50	0.00
01AUG17	B	3	PD01NOV17	1907.00	2110.81	USD	15,285.75	
01AUG17	S	4	PD01NOV17	1909.50	2110.81	USD	-20,131.00	
18SEP17	B	1	PD01NOV17	2074.20	2110.81	USD	915.25	
	NET	0 AVG	BGHT 1948.80				-3,930.00	0.00
02AUG17	S	1	PD02NOV17	1936.50	2111.13	USD	-4,365.75	
02AUG17	B	1	PD02NOV17	1928.00	2111.13	USD	4,578.25	
	NET	0 AVG	BGHT 1928.00				212.50	0.00
03AUG17	B	1	PD03NOV17	1924.00	2111.44	USD	4,686.00	
04AUG17	B	2	PD03NOV17	1911.00	2111.44	USD	10,022.00	
04AUG17	S	5	PD03NOV17	1910.00	2111.44	USD	-25,180.00	
18SEP17	B	2	PD03NOV17	2075.02	2111.44	USD	1,821.00	
	NET	0 AVG	BGHT 1979.21				-8,651.00	0.00
08AUG17	S	1	PD08NOV17	1974.00	2113.00	USD	-3,475.00	
08AUG17	S	1	PD08NOV17	1996.50	2113.00	USD	-2,912.50	
18SEP17	B	2	PD08NOV17	2077.08	2113.00	USD	1,796.00	
	NET	0 AVG	BGHT 2077.08				-4,591.50	0.00
14AUG17	B	1	PD14NOV17	2034.00	2115.79	USD	2,044.75	
18SEP17	S	1	PD14NOV17	2079.34	2115.79	USD	-911.25	
	NET	0 AVG	BGHT 2034.00				1,133.50	0.00
16AUG17	B	1	PD16NOV17	2087.50	2116.56	USD	726.50	
18SEP17	S	1	PD16NOV17	2080.05	2116.56	USD	-912.75	
	NET	0 AVG	BGHT 2087.50				-186.25	0.00
17AUG17	S	2	PD17NOV17	2073.50	2116.87	USD	-2,168.50	
18SEP17	B	2	PD17NOV17	2080.54	2116.87	USD	1,816.50	
	NET	0 AVG	BGHT 2080.54				-352.00	0.00
22AUG17	B	1	PD22NOV17	2082.50	2118.41	USD	897.75	
22AUG17	B	1	PD22NOV17	2080.00	2118.41	USD	960.25	

OPEN POSITION STATEMENT



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11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22AUG17	B	2	PD22NOV17	2077.00	2118.41	USD	2,070.50	
18SEP17	S	4	PD22NOV17	2081.82	2118.41	USD	-3,659.00	
	NET	0 AVG	BGHT 2079.13				269.50	0.00
23AUG17	S	1	PD24NOV17	2089.50	2119.03	USD	-738.25	
23AUG17	S	1	PD24NOV17	2090.50	2119.03	USD	-713.25	
23AUG17	S	2	PD24NOV17	2091.50	2119.03	USD	-1,376.50	
23AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
23AUG17	S	1	PD24NOV17	2096.00	2119.03	USD	-575.75	
24AUG17	B	1	PD24NOV17	2101.50	2119.03	USD	438.25	
24AUG17	B	1	PD24NOV17	2101.00	2119.03	USD	450.75	
25AUG17	B	2	PD24NOV17	2070.50	2119.03	USD	2,426.50	
18SEP17	B	2	PD24NOV17	2082.61	2119.03	USD	1,821.00	
	NET	0 AVG	BGHT 2084.79				1,169.50	0.00
29AUG17	S	1	PD29NOV17	2100.00	2120.57	USD	-514.25	
29AUG17	S	1	PD29NOV17	2102.00	2120.57	USD	-464.25	
18SEP17	B	2	PD29NOV17	2084.09	2120.57	USD	1,824.00	
	NET	0 AVG	BGHT 2084.09				845.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	5979.50	6759.20	USD	-38,985.00	
17JUL17	S	1	PD17OCT17	6014.00	6759.20	USD	-18,630.00	
18SEP17	B	3	PD17OCT17	6495.75	6759.20	USD	19,758.75	
	NET	0 AVG	BGHT 6495.75				-37,856.25	0.00
25JUL17	S	1	PD25OCT17	6222.50	6765.68	USD	-13,579.50	
25JUL17	S	1	PD25OCT17	6221.00	6765.68	USD	-13,617.00	
18SEP17	B	2	PD25OCT17	6499.11	6765.68	USD	13,328.50	
	NET	0 AVG	BGHT 6499.11				-13,868.00	0.00
26JUL17	B	1	PD26OCT17	6347.50	6766.11	USD	10,465.25	
26JUL17	B	1	PD26OCT17	6354.50	6766.11	USD	10,290.25	
26JUL17	B	1	PD26OCT17	6391.00	6766.11	USD	9,377.75	
26JUL17	B	1	PD26OCT17	6343.50	6766.11	USD	10,565.25	
18SEP17	S	4	PD26OCT17	6499.26	6766.11	USD	-26,685.00	
	NET	0 AVG	BGHT 6359.13				14,013.50	0.00
28JUL17	S	1	PD27OCT17	6299.50	6766.54	USD	-11,676.00	
28JUL17	B	1	PD27OCT17	6320.50	6766.54	USD	11,151.00	
	NET	0 AVG	BGHT 6320.50				-525.00	0.00
04AUG17	B	1	PD03NOV17	6348.50	6771.03	USD	10,563.25	
18SEP17	S	1	PD03NOV17	6503.35	6771.03	USD	-6,692.00	
	NET	0 AVG	BGHT 6348.50				3,871.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	2	PD13OCT17	2322.00	2552.75	USD	11,537.50	
14JUL17	S	1	PD13OCT17	2315.50	2552.75	USD	-5,931.25	
14JUL17	S	1	PD13OCT17	2311.00	2552.75	USD	-6,043.75	
	NET	0 AVG	BGHT 2322.00				-437.50	0.00
17JUL17	B	1	PD17OCT17	2325.00	2554.35	USD	5,733.75	
17JUL17	B	1	PD17OCT17	2329.50	2554.35	USD	5,621.25	
18SEP17	S	2	PD17OCT17	2372.93	2554.35	USD	-9,071.00	
	NET	0 AVG	BGHT 2327.25				2,284.00	0.00
19JUL17	B	1	PD19OCT17	2241.50	2554.89	USD	7,834.75	
18SEP17	S	1	PD19OCT17	2373.54	2554.89	USD	-4,533.75	
	NET	0 AVG	BGHT 2241.50				3,301.00	0.00
21JUL17	S	1	PD20OCT17	2246.00	2555.04	USD	-7,726.00	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD20OCT17	2251.00	2555.04	USD	-7,601.00	
24JUL17	B	2	PD20OCT17	2259.70	2555.04	USD	14,767.00	
	NET	0 AVG	BGHT 2259.70				-560.00	0.00
26JUL17	S	1	PD26OCT17	2332.00	2555.89	USD	-5,597.25	
26JUL17	B	1	PD26OCT17	2312.50	2555.89	USD	6,084.75	
	NET	0 AVG	BGHT 2312.50				487.50	0.00
28JUL17	S	1	PD27OCT17	2302.50	2556.04	USD	-6,338.50	
18SEP17	B	1	PD27OCT17	2376.02	2556.04	USD	4,500.50	
	NET	0 AVG	BGHT 2376.02				-1,838.00	0.00
01AUG17	B	1	PD01NOV17	2338.50	2556.75	USD	5,456.25	
01AUG17	S	2	PD01NOV17	2337.00	2556.75	USD	-10,987.50	
01AUG17	S	2	PD01NOV17	2338.50	2556.75	USD	-10,912.50	
18SEP17	B	3	PD01NOV17	2377.45	2556.75	USD	13,447.50	
	NET	0 AVG	BGHT 2367.71				-2,996.25	0.00
09AUG17	B	1	PD09NOV17	2413.50	2557.89	USD	3,609.75	
09AUG17	B	1	PD09NOV17	2415.50	2557.89	USD	3,559.75	
09AUG17	B	1	PD09NOV17	2385.50	2557.89	USD	4,309.75	
09AUG17	B	1	PD09NOV17	2389.00	2557.89	USD	4,222.25	
09AUG17	B	1	PD09NOV17	2389.50	2557.89	USD	4,209.75	
09AUG17	B	1	PD09NOV17	2391.00	2557.89	USD	4,172.25	
09AUG17	S	1	PD09NOV17	2389.00	2557.89	USD	-4,222.25	
18SEP17	S	5	PD09NOV17	2379.54	2557.89	USD	-22,293.75	
	NET	0 AVG	BGHT 2397.33				-2,432.50	0.00
17AUG17	S	1	PD17NOV17	2413.00	2558.75	USD	-3,643.75	
17AUG17	S	1	PD17NOV17	2414.00	2558.75	USD	-3,618.75	
18AUG17	S	1	PD17NOV17	2411.00	2558.75	USD	-3,693.75	
18AUG17	S	1	PD17NOV17	2359.50	2558.75	USD	-4,981.25	
18SEP17	B	4	PD17NOV17	2381.98	2558.75	USD	17,677.00	
	NET	0 AVG	BGHT 2381.98				1,739.50	0.00
21AUG17	S	1	PD21NOV17	2350.50	2559.32	USD	-5,220.50	
18SEP17	B	1	PD21NOV17	2383.04	2559.32	USD	4,407.00	
	NET	0 AVG	BGHT 2383.04				-813.50	0.00
22AUG17	S	1	PD22NOV17	2417.50	2559.46	USD	-3,549.00	
22AUG17	S	1	PD22NOV17	2402.00	2559.46	USD	-3,936.50	
18SEP17	B	2	PD22NOV17	2383.11	2559.46	USD	8,817.50	
	NET	0 AVG	BGHT 2383.11				1,332.00	0.00
23AUG17	B	1	PD24NOV17	2399.00	2559.75	USD	4,018.75	
23AUG17	B	1	PD24NOV17	2388.50	2559.75	USD	4,281.25	
23AUG17	S	1	PD24NOV17	2383.50	2559.75	USD	-4,406.25	
23AUG17	S	1	PD24NOV17	2386.50	2559.75	USD	-4,331.25	
24AUG17	B	1	PD24NOV17	2352.00	2559.75	USD	5,193.75	
24AUG17	B	1	PD24NOV17	2355.50	2559.75	USD	5,106.25	
25AUG17	B	1	PD24NOV17	2353.00	2559.75	USD	5,168.75	
18SEP17	S	2	PD24NOV17	2383.44	2559.75	USD	-8,815.50	
18SEP17	S	1	PD24NOV17	2383.64	2559.75	USD	-4,402.75	
	NET	0 AVG	BGHT 2369.60				1,813.00	0.00
29AUG17	S	1	PD29NOV17	2399.00	2560.20	USD	-4,030.00	
18SEP17	B	1	PD29NOV17	2385.16	2560.20	USD	4,376.00	
	NET	0 AVG	BGHT 2385.16				346.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	2	PD12OCT17	9225.00	11060.20	USD	-22,022.40	
24JUL17	B	2	PD12OCT17	9775.04	11060.20	USD	15,421.92	
	NET	0 AVG	BGHT 9775.04				-6,600.48	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
13JUL17	B	1	PD130CT17		9190.00	11061.00	USD	11,226.00	
14JUL17	S	1	PD130CT17		9490.00	11061.00	USD	-9,426.00	
	NET	0 AVG	BGHT 9190.00	SOLD 9490.00				1,800.00	0.00
17JUL17	S	1	PD170CT17		9660.00	11064.20	USD	-8,425.20	
17JUL17	S	1	PD170CT17		9690.00	11064.20	USD	-8,245.20	
17JUL17	S	1	PD170CT17		9715.00	11064.20	USD	-8,095.20	
24JUL17	B	3	PD170CT17		9778.34	11064.20	USD	23,145.48	
	NET	0 AVG	BGHT 9778.34	SOLD 9688.33				-1,620.12	0.00
19JUL17	S	2	PD190CT17		9700.00	11065.96	USD	-16,391.52	
19JUL17	S	1	PD190CT17		9650.00	11065.96	USD	-8,495.76	
24JUL17	B	1	PD190CT17		9777.00	11065.96	USD	7,733.76	
18SEP17	B	2	PD190CT17		11186.86	11065.96	USD	-1,450.80	
	NET	0 AVG	BGHT 10716.91	SOLD 9683.33				-18,604.32	0.00
20JUL17	B	1	PD200CT17		9500.00	11066.93	USD	9,401.58	
24JUL17	S	1	PD200CT17		9776.60	11066.93	USD	-7,741.98	
	NET	0 AVG	BGHT 9500.00	SOLD 9776.60				1,659.60	0.00
24JUL17	S	1	PD240CT17		9725.00	11070.79	USD	-8,074.74	
24JUL17	S	1	PD240CT17		9770.00	11070.79	USD	-7,804.74	
18SEP17	B	2	PD240CT17		11191.14	11070.79	USD	-1,444.20	
	NET	0 AVG	BGHT 11191.14	SOLD 9747.50				-17,323.68	0.00
26JUL17	B	1	PD260CT17		9940.00	11072.71	USD	6,796.26	
18SEP17	S	1	PD260CT17		11191.86	11072.71	USD	714.90	
	NET	0 AVG	BGHT 9940.00	SOLD 11191.86				7,511.16	0.00
28JUL17	B	1	PD270CT17		10230.00	11073.68	USD	5,062.08	
28JUL17	B	1	PD270CT17		10190.00	11073.68	USD	5,302.08	
28JUL17	B	1	PD270CT17		10205.00	11073.68	USD	5,212.08	
28JUL17	B	1	PD270CT17		10255.00	11073.68	USD	4,912.08	
28JUL17	S	1	PD270CT17		10255.00	11073.68	USD	-4,912.08	
28JUL17	S	1	PD270CT17		10260.00	11073.68	USD	-4,882.08	
18SEP17	S	2	PD270CT17		11192.71	11073.68	USD	1,428.36	
	NET	0 AVG	BGHT 10220.00	SOLD 10725.11				12,122.52	0.00
31JUL17	B	1	PD310CT17		10315.00	11077.54	USD	4,575.24	
18SEP17	S	1	PD310CT17		11196.14	11077.54	USD	711.60	
	NET	0 AVG	BGHT 10315.00	SOLD 11196.14				5,286.84	0.00
01AUG17	S	2	PD01NOV17		10180.00	11078.50	USD	-10,782.00	
05OCT17	B	2	PD01NOV17		10473.50	11078.50	USD	7,260.00	
	NET	0 AVG	BGHT 10473.50	SOLD 10180.00				-3,522.00	0.00
05OCT17	S	2	PD02NOV17		10473.54	11079.46	USD	-7,271.04	
	NET	-2 AVG	SOLD 10473.54					-7,271.04	0.00
07AUG17	B	1	PD07NOV17		10345.00	11084.29	USD	4,435.74	
07AUG17	B	1	PD07NOV17		10360.00	11084.29	USD	4,345.74	
	NET	+2 AVG	BGHT 10352.50					8,781.48	0.00
09AUG17	S	1	PD09NOV17		10730.00	11086.21	USD	-2,137.26	
05OCT17	B	1	PD09NOV17		10481.79	11086.21	USD	3,626.52	
	NET	0 AVG	BGHT 10481.79	SOLD 10730.00				1,489.26	0.00
11AUG17	B	1	PD10NOV17		10615.00	11087.18	USD	2,833.08	
05OCT17	S	1	PD10NOV17		10481.82	11087.18	USD	-3,632.16	
	NET	0 AVG	BGHT 10615.00	SOLD 10481.82				-799.08	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12JUL17	B	2	PD120CT17		19945.00	20990.00	USD	10,450.00	
24JUL17	S	2	PD120CT17		20205.58	20990.00	USD	-7,844.20	
	NET	0 AVG	BGHT 19945.00	SOLD 20205.58				2,605.80	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD130CT17	19875.00	20990.00	USD	-5,575.00	
14JUL17	B	1	PD130CT17	19890.00	20990.00	USD	5,500.00	
	NET	0AVG	BGHT 19890.00	SOLD 19875.00			-75.00	0.00
17JUL17	B	1	PD170CT17	19970.00	20990.00	USD	5,100.00	
17JUL17	B	2	PD170CT17	19975.00	20990.00	USD	10,150.00	
24JUL17	S	3	PD170CT17	20196.89	20990.00	USD	-11,896.65	
	NET	0AVG	BGHT 19973.33	SOLD 20196.89			3,353.35	0.00
19JUL17	B	1	PD190CT17	20110.00	20988.00	USD	4,390.00	
19JUL17	B	1	PD190CT17	20120.00	20988.00	USD	4,340.00	
19JUL17	B	1	PD190CT17	20085.00	20988.00	USD	4,515.00	
24JUL17	S	1	PD190CT17	20180.00	20988.00	USD	-4,040.00	
05OCT17	S	2	PD190CT17	21114.00	20988.00	USD	1,260.00	
	NET	0AVG	BGHT 20105.00	SOLD 20802.67			10,465.00	0.00
20JUL17	S	1	PD200CT17	20090.00	20986.00	USD	-4,480.00	
24JUL17	B	1	PD200CT17	20166.00	20986.00	USD	4,100.00	
	NET	0AVG	BGHT 20166.00	SOLD 20090.00			-380.00	0.00
24JUL17	B	1	PD240CT17	20260.00	20982.00	USD	3,610.00	
24JUL17	B	1	PD240CT17	20155.00	20982.00	USD	4,135.00	
05OCT17	S	2	PD240CT17	21096.00	20982.00	USD	1,140.00	
	NET	0AVG	BGHT 20207.50	SOLD 21096.00			8,885.00	0.00
26JUL17	S	1	PD260CT17	20350.00	20978.00	USD	-3,140.00	
05OCT17	B	1	PD260CT17	21085.00	20978.00	USD	-535.00	
	NET	0AVG	BGHT 21085.00	SOLD 20350.00			-3,675.00	0.00
28JUL17	S	1	PD270CT17	20850.00	20976.00	USD	-630.00	
28JUL17	S	1	PD270CT17	20835.00	20976.00	USD	-705.00	
28JUL17	S	1	PD270CT17	20855.00	20976.00	USD	-605.00	
28JUL17	B	2	PD270CT17	20645.00	20976.00	USD	3,310.00	
28JUL17	S	1	PD270CT17	20645.00	20976.00	USD	-1,655.00	
05OCT17	B	2	PD270CT17	21079.00	20976.00	USD	-1,030.00	
	NET	0AVG	BGHT 20862.00	SOLD 20796.25			-1,315.00	0.00
31JUL17	S	1	PD310CT17	20845.00	20972.00	USD	-635.00	
05OCT17	B	1	PD310CT17	21067.00	20972.00	USD	-475.00	
	NET	0AVG	BGHT 21067.00	SOLD 20845.00			-1,110.00	0.00
01AUG17	B	2	PD01NOV17	20400.00	20970.00	USD	5,700.00	
05OCT17	S	2	PD01NOV17	21060.00	20970.00	USD	900.00	
	NET	0AVG	BGHT 20400.00	SOLD 21060.00			6,600.00	0.00
07AUG17	S	1	PD07NOV17	20660.00	20962.00	USD	-1,510.00	
07AUG17	S	1	PD07NOV17	20615.00	20962.00	USD	-1,735.00	
05OCT17	B	2	PD07NOV17	21055.00	20962.00	USD	-930.00	
	NET	0AVG	BGHT 21055.00	SOLD 20637.50			-4,175.00	0.00
09AUG17	B	1	PD09NOV17	20250.00	20958.00	USD	3,540.00	
05OCT17	S	1	PD09NOV17	21051.00	20958.00	USD	465.00	
	NET	0AVG	BGHT 20250.00	SOLD 21051.00			4,005.00	0.00
11AUG17	S	1	PD10NOV17	20310.00	20956.00	USD	-3,230.00	
05OCT17	B	1	PD10NOV17	21050.50	20956.00	USD	-472.50	
	NET	0AVG	BGHT 21050.50	SOLD 20310.00			-3,702.50	0.00
23AUG17	B	1	PD24NOV17	20545.00	20937.50	USD	1,962.50	
25AUG17	S	1	PD24NOV17	20405.00	20937.50	USD	-2,662.50	
	NET	0AVG	BGHT 20545.00	SOLD 20405.00			-700.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	2861.00	3299.33	USD	10,958.25	
24JUL17	S	1	PD120CT17	2787.94	3299.33	USD	-12,784.75	

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	NET	0 AVG BGHT 2861.00	SOLD 2787.94				-1,826.50	0.00
13JUL17	B	1 PD130CT17		2838.50	3295.00	USD	11,412.50	
13JUL17	S	2 PD130CT17		2836.50	3295.00	USD	-22,925.00	
13JUL17	B	1 PD130CT17		2828.50	3295.00	USD	11,662.50	
13JUL17	B	1 PD130CT17		2827.50	3295.00	USD	11,687.50	
14JUL17	B	1 PD130CT17		2790.00	3295.00	USD	12,625.00	
14JUL17	B	1 PD130CT17		2791.00	3295.00	USD	12,600.00	
24JUL17	S	3 PD130CT17		2788.09	3295.00	USD	-38,018.25	
	NET	0 AVG BGHT 2815.10	SOLD 2807.45				-955.75	0.00
17JUL17	S	1 PD170CT17		2829.50	3286.33	USD	-11,420.75	
17JUL17	S	1 PD170CT17		2840.50	3286.33	USD	-11,145.75	
05OCT17	B	2 PD170CT17		3335.17	3286.33	USD	-2,442.00	
	NET	0 AVG BGHT 3335.17	SOLD 2835.00				-25,008.50	0.00
19JUL17	S	1 PD190CT17		2773.00	3277.50	USD	-12,612.50	
05OCT17	B	1 PD190CT17		3327.50	3277.50	USD	-1,250.00	
	NET	0 AVG BGHT 3327.50	SOLD 2773.00				-13,862.50	0.00
21JUL17	B	1 PD200CT17		2764.00	3273.00	USD	12,725.00	
21JUL17	B	1 PD200CT17		2765.00	3273.00	USD	12,700.00	
24JUL17	S	2 PD200CT17		2787.40	3273.00	USD	-24,280.00	
	NET	0 AVG BGHT 2764.50	SOLD 2787.40				1,145.00	0.00
26JUL17	B	1 PD260CT17		2846.50	3262.33	USD	10,395.75	
26JUL17	S	1 PD260CT17		2808.50	3262.33	USD	-11,345.75	
26JUL17	S	1 PD260CT17		2810.00	3262.33	USD	-11,308.25	
05OCT17	B	1 PD260CT17		3314.83	3262.33	USD	-1,312.50	
	NET	0 AVG BGHT 3080.67	SOLD 2809.25				-13,570.75	0.00
28JUL17	S	1 PD270CT17		2784.50	3260.67	USD	-11,904.25	
28JUL17	B	1 PD270CT17		2769.00	3260.67	USD	12,291.75	
	NET	0 AVG BGHT 2769.00	SOLD 2784.50				387.50	0.00
31JUL17	S	2 PD310CT17		2819.50	3257.33	USD	-21,891.50	
05OCT17	B	2 PD310CT17		3309.83	3257.33	USD	-2,625.00	
	NET	0 AVG BGHT 3309.83	SOLD 2819.50				-24,516.50	0.00
01AUG17	B	4 PD01NOV17		2781.50	3255.67	USD	47,417.00	
05OCT17	S	4 PD01NOV17		3307.97	3255.67	USD	5,230.00	
	NET	0 AVG BGHT 2781.50	SOLD 3307.97				52,647.00	0.00
02AUG17	B	1 PD02NOV17		2775.00	3254.00	USD	11,975.00	
02AUG17	S	1 PD02NOV17		2785.00	3254.00	USD	-11,725.00	
	NET	0 AVG BGHT 2775.00	SOLD 2785.00				250.00	0.00
03AUG17	S	1 PD03NOV17		2828.50	3252.89	USD	-10,609.75	
04AUG17	B	3 PD03NOV17		2815.50	3252.89	USD	32,804.25	
04AUG17	S	2 PD03NOV17		2815.00	3252.89	USD	-21,894.50	
04AUG17	B	1 PD03NOV17		2814.50	3252.89	USD	10,959.75	
05OCT17	S	1 PD03NOV17		3305.74	3252.89	USD	1,321.25	
	NET	0 AVG BGHT 2815.25	SOLD 2941.06				12,581.00	0.00
08AUG17	B	1 PD08NOV17		2868.00	3249.56	USD	9,539.00	
08AUG17	B	1 PD08NOV17		2870.00	3249.56	USD	9,489.00	
05OCT17	S	2 PD08NOV17		3304.08	3249.56	USD	2,726.00	
	NET	0 AVG BGHT 2869.00	SOLD 3304.08				21,754.00	0.00
09AUG17	S	1 PD09NOV17		2973.50	3248.44	USD	-6,873.50	
09AUG17	S	1 PD09NOV17		2975.00	3248.44	USD	-6,836.00	
09AUG17	B	1 PD09NOV17		2959.00	3248.44	USD	7,236.00	
09AUG17	S	1 PD09NOV17		2958.00	3248.44	USD	-7,261.00	
09AUG17	S	1 PD09NOV17		2958.50	3248.44	USD	-7,248.50	
09AUG17	S	1 PD09NOV17		2961.00	3248.44	USD	-7,186.00	
09AUG17	S	1 PD09NOV17		2950.50	3248.44	USD	-7,448.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	5	PD09NOV17	3303.72	3248.44	USD	-6,910.00	
	NET	0 AVG	BGHT 3246.27				-42,527.50	0.00
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
05OCT17	B	1	PD14NOV17	3302.06	3245.11	USD	-1,423.75	
	NET	0 AVG	BGHT 3302.06				-9,714.00	0.00
16AUG17	S	1	PD16NOV17	3095.00	3241.50	USD	-3,662.50	
05OCT17	B	1	PD16NOV17	3298.75	3241.50	USD	-1,431.25	
	NET	0 AVG	BGHT 3298.75				-5,093.75	0.00
17AUG17	B	1	PD17NOV17	3091.50	3239.00	USD	3,687.50	
17AUG17	B	1	PD17NOV17	3060.50	3239.00	USD	4,462.50	
17AUG17	B	1	PD17NOV17	3061.00	3239.00	USD	4,450.00	
17AUG17	B	1	PD17NOV17	3064.00	3239.00	USD	4,375.00	
17AUG17	B	1	PD17NOV17	3060.00	3239.00	USD	4,475.00	
18AUG17	B	2	PD17NOV17	3128.50	3239.00	USD	5,525.00	
05OCT17	S	7	PD17NOV17	3298.00	3239.00	USD	10,325.00	
	NET	0 AVG	BGHT 3084.86				37,300.00	0.00
22AUG17	S	1	PD22NOV17	3124.00	3236.00	USD	-2,800.00	
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
05OCT17	B	2	PD22NOV17	3296.55	3236.00	USD	-3,027.50	
	NET	0 AVG	BGHT 3296.55				-8,727.50	0.00
23AUG17	B	1	PD24NOV17	3101.50	3235.00	USD	3,337.50	
23AUG17	B	2	PD24NOV17	3102.00	3235.00	USD	6,650.00	
23AUG17	B	2	PD24NOV17	3100.00	3235.00	USD	6,750.00	
23AUG17	B	2	PD24NOV17	3100.50	3235.00	USD	6,725.00	
23AUG17	S	1	PD24NOV17	3099.50	3235.00	USD	-3,387.50	
24AUG17	S	1	PD24NOV17	3102.50	3235.00	USD	-3,312.50	
24AUG17	S	1	PD24NOV17	3104.00	3235.00	USD	-3,275.00	
24AUG17	S	1	PD24NOV17	3105.00	3235.00	USD	-3,250.00	
24AUG17	S	1	PD24NOV17	3106.50	3235.00	USD	-3,212.50	
25AUG17	S	1	PD24NOV17	3089.50	3235.00	USD	-3,637.50	
25AUG17	S	2	PD24NOV17	3064.00	3235.00	USD	-8,550.00	
05OCT17	B	1	PD24NOV17	3296.00	3235.00	USD	-1,525.00	
	NET	0 AVG	BGHT 3125.31				-6,687.50	0.00
29AUG17	B	2	PD29NOV17	3122.00	3234.00	USD	5,600.00	
29AUG17	B	1	PD29NOV17	3121.00	3234.00	USD	2,825.00	
05OCT17	S	3	PD29NOV17	3295.55	3234.00	USD	4,616.25	
	NET	0 AVG	BGHT 3121.67				13,041.25	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	8,051.25
LME Copper Grade A 25	FUTURES	-34,364.50
LME Lead 25 tonnes. This is an	FUTURES	2,225.25
LME Nickel 6 tonnes. This is	FUTURES	-17,147.82
LME Tin 5 tonnes. This is an	FUTURES	20,781.65
LME Zinc 25 tonnes. This is	FUTURES	-13,385.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	8,051.25	8,051.25		
COPL	- Futures	USD	-34,364.50	-34,364.50		
LEAD	- Futures	USD	2,225.25	2,225.25		
NKL	- Futures	USD	-17,089.86	-17,089.86		
TIN	- Futures	USD	20,781.65	20,781.65		
ZINC	- Futures	USD	-13,385.00	-13,385.00	-33,781.21	-33,781.21

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	32,316.03	32,316.03
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	32,316.03	32,316.03
Initial Margins	-33,903.21	-33,903.21
Excess/(Shortage)	-1,587.18	-1,587.18
FOR INFORMATION ONLY		
Forward Unrealised P&L	-33,781.21	-33,781.21
Net Liquidating Value	-1,465.18	-1,465.18

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	2	PD11DEC17	11485.00	11112.06	USD	-4,475.28	
11SEP17	B	3	PD11DEC17	11500.00	11112.06	USD	-6,982.92	
11SEP17	B	3	PD11DEC17	11490.00	11112.06	USD	-6,802.92	
11SEP17	B	2	PD11DEC17	11495.00	11112.06	USD	-4,595.28	
22SEP17	S	10	PD11DEC17	10410.03	11112.06	USD	-42,121.80	
	NET	0 AVG BGHT	11493.00	SOLD	10410.03		-64,978.20	0.00
20SEP17	S	10	PD20DEC17	11360.00	11119.00	USD	14,460.00	
22SEP17	B	10	PD20DEC17	10419.00	11119.00	USD	42,000.00	
	NET	0 AVG BGHT	10419.00	SOLD	11360.00		56,460.00	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD
-8,518.20

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-8,518.20	-8,518.20	-8,518.20	-8,518.20

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	8,518.20	8,518.20
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	8,518.20	8,518.20
Initial Margins	-8,518.20	-8,518.20
Excess/(Shortage)	0.00	0.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-8,518.20	-8,518.20
Net Liquidating Value	0.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
13JUL17	S 1 PD130CT17	1923.50	2104.75	USD	-4,531.25	
05OCT17	B 1 PD130CT17	2149.67	2104.75	USD	-1,123.00	
	NET 0AVG BGHT 2149.67 SOLD 1923.50				-5,654.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD120CT17	2314.00	2552.35	USD	-5,958.75	
30AUG17	B 1 PD120CT17	2357.08	2552.35	USD	4,881.75	
	NET 0AVG BGHT 2357.08 SOLD 2314.00				-1,077.00	0.00
13JUL17	B 1 PD130CT17	2323.50	2552.75	USD	5,731.25	
14JUL17	S 1 PD130CT17	2277.00	2552.75	USD	-6,893.75	
	NET 0AVG BGHT 2323.50 SOLD 2277.00				-1,162.50	0.00
17JUL17	B 1 PD170CT17	2319.50	2554.35	USD	5,871.25	
30AUG17	S 1 PD170CT17	2358.86	2554.35	USD	-4,887.25	
	NET 0AVG BGHT 2319.50 SOLD 2358.86				984.00	0.00
26JUL17	S 1 PD260CT17	2343.50	2555.89	USD	-5,309.75	
26JUL17	S 1 PD260CT17	2329.00	2555.89	USD	-5,672.25	
30AUG17	B 2 PD260CT17	2360.74	2555.89	USD	9,757.50	
	NET 0AVG BGHT 2360.74 SOLD 2336.25				-1,224.50	0.00
11AUG17	B 1 PD10NOV17	2331.00	2558.04	USD	5,676.00	
11AUG17	B 1 PD10NOV17	2330.00	2558.04	USD	5,701.00	
30AUG17	S 2 PD10NOV17	2365.01	2558.04	USD	-9,651.50	
	NET 0AVG BGHT 2330.50 SOLD 2365.01				1,725.50	0.00
14AUG17	S 1 PD14NOV17	2336.50	2558.61	USD	-5,552.75	
14AUG17	S 1 PD14NOV17	2331.00	2558.61	USD	-5,690.25	
30AUG17	B 2 PD14NOV17	2366.40	2558.61	USD	9,610.50	
	NET 0AVG BGHT 2366.40 SOLD 2333.75				-1,632.50	0.00
17AUG17	B 1 PD17NOV17	2451.00	2558.75	USD	2,693.75	
17AUG17	S 1 PD17NOV17	2447.00	2558.75	USD	-2,793.75	
	NET 0AVG BGHT 2451.00 SOLD 2447.00				-100.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 1 PD22DEC17	10450.00	11120.45	USD	4,022.70	
22SEP17	S 1 PD22DEC17	10620.00	11120.45	USD	-3,002.70	
	NET 0AVG BGHT 10450.00 SOLD 10620.00				1,020.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	S 1 PD22DEC17	20570.00	20895.38	USD	-1,626.90	
22SEP17	B 1 PD22DEC17	20595.00	20895.38	USD	1,501.90	
	NET 0AVG BGHT 20595.00 SOLD 20570.00				-125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD120CT17	2830.50	3299.33	USD	11,720.75	
30AUG17	S 1 PD120CT17	3090.30	3299.33	USD	-5,225.75	
	NET 0AVG BGHT 2830.50 SOLD 3090.30				6,495.00	0.00
14JUL17	B 1 PD130CT17	2765.00	3295.00	USD	13,250.00	
30AUG17	S 1 PD130CT17	3090.55	3295.00	USD	-5,111.25	
	NET 0AVG BGHT 2765.00 SOLD 3090.55				8,138.75	0.00
17JUL17	S 1 PD170CT17	2816.00	3286.33	USD	-11,758.25	
30AUG17	B 1 PD170CT17	3091.75	3286.33	USD	4,864.50	
	NET 0AVG BGHT 3091.75 SOLD 2816.00				-6,893.75	0.00
26JUL17	B 1 PD260CT17	2848.00	3262.33	USD	10,358.25	
26JUL17	B 1 PD260CT17	2825.00	3262.33	USD	10,933.25	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
30AUG17	S 2 PD26OCT17	3091.20	3262.33	USD	-8,556.50	
	NET 0 AVG BGHT 2836.50 SOLD 3091.20				12,735.00	0.00
11AUG17	S 1 PD10NOV17	2904.50	3247.33	USD	-8,570.75	
11AUG17	S 1 PD10NOV17	2905.50	3247.33	USD	-8,545.75	
30AUG17	B 2 PD10NOV17	3093.65	3247.33	USD	7,684.00	
	NET 0 AVG BGHT 3093.65 SOLD 2905.00				-9,432.50	0.00
14AUG17	B 1 PD14NOV17	2910.00	3245.11	USD	8,377.75	
14AUG17	B 1 PD14NOV17	2903.00	3245.11	USD	8,552.75	
30AUG17	S 2 PD14NOV17	3094.05	3245.11	USD	-7,553.00	
	NET 0 AVG BGHT 2906.50 SOLD 3094.05				9,377.50	0.00
17AUG17	B 1 PD17NOV17	3097.00	3239.00	USD	3,550.00	
17AUG17	S 1 PD17NOV17	3096.50	3239.00	USD	-3,562.50	
	NET 0 AVG BGHT 3097.00 SOLD 3096.50				-12.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-5,654.25
LME Lead 25 tonnes. This is an	FUTURES	-2,487.00
LME Nickel 6 tonnes. This is	FUTURES	1,020.00
LME Tin 5 tonnes. This is an	FUTURES	-125.00
LME Zinc 25 tonnes. This is	FUTURES	20,407.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -5,654.25	-5,654.25		
LEAD - Futures	USD -2,487.00	-2,487.00		
NKL - Futures	USD 1,020.00	1,020.00		
TIN - Futures	USD -125.00	-125.00		
ZINC - Futures	USD 20,407.50	20,407.50	13,161.25	13,161.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-13,197.15	-13,197.15
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-13,197.15	-13,197.15
Initial Margins	0.00	0.00
Excess/(Shortage)	-13,197.15	-13,197.15
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	13,161.25	13,161.25
Net Liquidating Value	-35.90	-35.90

OPEN POSITION STATEMENT



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SAP0096Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	EUR	NETT USD
	(1.18440)	(1.00000)
CASH BALANCE	-46.40	-54.96
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46.40	-54.96
Initial Margins	0.00	0.00
Excess/(Shortage)	-46.40	-54.96
FOR INFORMATION ONLY		
Net Liquidating Value	-46.40	-54.96

OPEN POSITION STATEMENT



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SAP0096R

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
28SEP17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> B 2 PD28DEC17 NET +2AVG BGHT 2470.20	2470.20	2563.60	USD	4,670.00 4,670.00	0.00
06SEP17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 1 PD06DEC17 NET -1AVG SOLD 3094.80	3094.80	3232.33	USD	-3,438.25 -3,438.25	0.00
28SEP17	S 1 PD28DEC17 NET -1AVG SOLD 3146.80	3146.80	3223.50	USD	-1,917.50 -1,917.50	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	-123,510.00
LME Zinc 25 tonnes. This is FUTURES	156,040.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	4,670.00	4,670.00		
ZINC - Futures USD	-5,355.75	-5,355.75	-685.75	-685.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-10,855.70	-10,855.70
Initial Margins	-21,485.75	-21,485.75
Excess/(Shortage)	-32,341.45	-32,341.45
FOR INFORMATION ONLY		
Forward Unrealised P&L	-685.75	-685.75
Net Liquidating Value	-11,541.45	-11,541.45

OPEN POSITION STATEMENT



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SAP0096S

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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	2286.50	2552.75	USD	-6,656.25	
14JUL17	S	1	PD130CT17	2298.00	2552.75	USD	-6,368.75	
26SEP17	B	2	PD130CT17	2481.29	2552.75	USD	3,573.00	
	NET	0 AVG BGHT 2481.29	SOLD 2292.25				-9,452.00	0.00
17JUL17	S	1	PD170CT17	2328.00	2554.35	USD	-5,658.75	
26SEP17	B	1	PD170CT17	2482.06	2554.35	USD	1,807.25	
	NET	0 AVG BGHT 2482.06	SOLD 2328.00				-3,851.50	0.00
19JUL17	B	1	PD190CT17	2250.00	2554.89	USD	7,622.25	
19JUL17	B	1	PD190CT17	2240.00	2554.89	USD	7,872.25	
19JUL17	B	1	PD190CT17	2220.50	2554.89	USD	8,359.75	
26SEP17	S	3	PD190CT17	2482.18	2554.89	USD	-5,453.25	
	NET	0 AVG BGHT 2236.83	SOLD 2482.18				18,401.00	0.00
21JUL17	S	1	PD200CT17	2239.00	2555.04	USD	-7,901.00	
26SEP17	B	1	PD200CT17	2482.52	2555.04	USD	1,813.00	
	NET	0 AVG BGHT 2482.52	SOLD 2239.00				-6,088.00	0.00
25JUL17	S	1	PD250CT17	2301.00	2555.75	USD	-6,368.75	
25JUL17	S	1	PD250CT17	2300.50	2555.75	USD	-6,381.25	
25JUL17	S	1	PD250CT17	2304.00	2555.75	USD	-6,293.75	
25JUL17	S	1	PD250CT17	2314.00	2555.75	USD	-6,043.75	
26SEP17	B	4	PD250CT17	2483.19	2555.75	USD	7,256.00	
	NET	0 AVG BGHT 2483.19	SOLD 2304.88				-17,831.50	0.00
01SEP17	B	5	PD01DEC17	2400.00	2560.39	USD	20,048.75	
26SEP17	S	5	PD01DEC17	2487.26	2560.39	USD	-9,141.25	
	NET	0 AVG BGHT 2400.00	SOLD 2487.26				10,907.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	2769.00	3273.00	USD	-12,600.00	
21JUL17	B	1	PD200CT17	2758.50	3273.00	USD	12,862.50	
	NET	0 AVG BGHT 2758.50	SOLD 2769.00				262.50	0.00
25JUL17	S	1	PD250CT17	2835.50	3264.00	USD	-10,712.50	
25JUL17	S	1	PD250CT17	2838.00	3264.00	USD	-10,650.00	
25JUL17	S	1	PD250CT17	2836.00	3264.00	USD	-10,700.00	
25JUL17	S	1	PD250CT17	2840.00	3264.00	USD	-10,600.00	
25JUL17	S	1	PD250CT17	2838.50	3264.00	USD	-10,637.50	
25JUL17	S	1	PD250CT17	2839.00	3264.00	USD	-10,625.00	
26SEP17	B	6	PD250CT17	3125.50	3264.00	USD	20,775.00	
	NET	0 AVG BGHT 3125.50	SOLD 2837.83				-43,150.00	0.00
26JUL17	S	1	PD260CT17	2819.00	3262.33	USD	-11,083.25	
26SEP17	B	1	PD260CT17	3125.00	3262.33	USD	3,433.25	
	NET	0 AVG BGHT 3125.00	SOLD 2819.00				-7,650.00	0.00
21AUG17	S	1	PD21NOV17	3162.00	3237.00	USD	-1,875.00	
21AUG17	S	1	PD21NOV17	3161.00	3237.00	USD	-1,900.00	
21AUG17	B	1	PD21NOV17	3138.00	3237.00	USD	2,475.00	
21AUG17	B	1	PD21NOV17	3141.50	3237.00	USD	2,387.50	
	NET	0 AVG BGHT 3139.75	SOLD 3161.50				1,087.50	0.00
24AUG17	S	1	PD24NOV17	3113.00	3235.00	USD	-3,050.00	
24AUG17	S	1	PD24NOV17	3113.50	3235.00	USD	-3,037.50	
24AUG17	S	1	PD24NOV17	3114.00	3235.00	USD	-3,025.00	
24AUG17	B	3	PD24NOV17	3111.50	3235.00	USD	9,262.50	
	NET	0 AVG BGHT 3111.50	SOLD 3113.50				150.00	0.00
29AUG17	S	1	PD29NOV17	3105.00	3234.00	USD	-3,225.00	
29AUG17	S	1	PD29NOV17	3103.50	3234.00	USD	-3,262.50	
29AUG17	B	1	PD29NOV17	3110.50	3234.00	USD	3,087.50	
29AUG17	B	1	PD29NOV17	3111.00	3234.00	USD	3,075.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	3110.75	SOLD	3104.25		-325.00	0.00
31AUG17	B	1	PD30NOV17		3098.00	3233.67	USD	3,391.75	
31AUG17	B	2	PD30NOV17		3093.00	3233.67	USD	7,033.50	
31AUG17	B	2	PD30NOV17		3095.00	3233.67	USD	6,933.50	
31AUG17	B	1	PD30NOV17		3097.00	3233.67	USD	3,416.75	
31AUG17	S	9	PD30NOV17		3101.00	3233.67	USD	-29,850.75	
31AUG17	S	1	PD30NOV17		3101.50	3233.67	USD	-3,304.25	
31AUG17	S	1	PD30NOV17		3103.00	3233.67	USD	-3,266.75	
31AUG17	S	1	PD30NOV17		3111.50	3233.67	USD	-3,054.25	
31AUG17	S	1	PD30NOV17		3116.50	3233.67	USD	-2,929.25	
31AUG17	S	1	PD30NOV17		3119.00	3233.67	USD	-2,866.75	
31AUG17	S	1	PD30NOV17		3103.50	3233.67	USD	-3,254.25	
31AUG17	S	1	PD30NOV17		3108.50	3233.67	USD	-3,129.25	
26SEP17	B	10	PD30NOV17		3118.62	3233.67	USD	28,762.50	
	NET	0 AVG	BGHT	3109.83	SOLD	3104.53		-2,117.50	0.00
01SEP17	B	10	PD01DEC17		3194.00	3233.33	USD	9,832.50	
01SEP17	B	10	PD01DEC17		3195.00	3233.33	USD	9,582.50	
26SEP17	S	20	PD01DEC17		3118.38	3233.33	USD	-57,475.00	
	NET	0 AVG	BGHT	3194.50	SOLD	3118.38		-38,060.00	0.00
05SEP17	B	1	PD05DEC17		3203.00	3232.67	USD	741.75	
05SEP17	B	2	PD05DEC17		3195.50	3232.67	USD	1,858.50	
05SEP17	B	5	PD05DEC17		3190.00	3232.67	USD	5,333.75	
05SEP17	B	7	PD05DEC17		3200.00	3232.67	USD	5,717.25	
26SEP17	S	3	PD05DEC17		3118.50	3232.67	USD	-8,562.75	
27SEP17	S	10	PD05DEC17		3108.09	3232.67	USD	-31,145.00	
02OCT17	S	2	PD05DEC17		3237.42	3232.67	USD	237.50	
	NET	0 AVG	BGHT	3196.27	SOLD	3127.42		-25,819.00	0.00
26SEP17	S	6	PD06DEC17		3118.58	3232.33	USD	-17,062.50	
27SEP17	B	6	PD06DEC17		3108.35	3232.33	USD	18,597.00	
	NET	0 AVG	BGHT	3108.35	SOLD	3118.58		1,534.50	0.00
14SEP17	B	1	PD14DEC17		3008.00	3230.33	USD	5,558.25	
14SEP17	B	4	PD14DEC17		3008.50	3230.33	USD	22,183.00	
02OCT17	S	5	PD14DEC17		3238.00	3230.33	USD	958.75	
	NET	0 AVG	BGHT	3008.40	SOLD	3238.00		28,700.00	0.00
20SEP17	S	2	PD20DEC17		3131.50	3229.00	USD	-4,875.00	
20SEP17	S	1	PD20DEC17		3145.00	3229.00	USD	-2,100.00	
26SEP17	B	3	PD20DEC17		3119.20	3229.00	USD	8,235.00	
	NET	0 AVG	BGHT	3119.20	SOLD	3136.00		1,260.00	0.00
21SEP17	B	3	PD21DEC17		3071.00	3225.00	USD	11,550.00	
21SEP17	S	1	PD21DEC17		3080.50	3225.00	USD	-3,612.50	
21SEP17	S	1	PD21DEC17		3086.50	3225.00	USD	-3,462.50	
21SEP17	S	1	PD21DEC17		3094.00	3225.00	USD	-3,275.00	
21SEP17	B	3	PD21DEC17		3046.00	3225.00	USD	13,425.00	
02OCT17	S	3	PD21DEC17		3236.00	3225.00	USD	825.00	
	NET	0 AVG	BGHT	3058.50	SOLD	3161.50		15,450.00	0.00
22SEP17	B	1	PD22DEC17		3007.50	3224.50	USD	5,425.00	
22SEP17	B	1	PD22DEC17		3011.00	3224.50	USD	5,337.50	
22SEP17	B	1	PD22DEC17		3015.00	3224.50	USD	5,237.50	
22SEP17	B	1	PD22DEC17		3018.00	3224.50	USD	5,162.50	
22SEP17	S	2	PD22DEC17		3028.50	3224.50	USD	-9,800.00	
22SEP17	B	1	PD22DEC17		3002.50	3224.50	USD	5,550.00	
22SEP17	S	1	PD22DEC17		3012.50	3224.50	USD	-5,300.00	
22SEP17	S	1	PD22DEC17		3018.00	3224.50	USD	-5,162.50	
22SEP17	S	1	PD22DEC17		3021.00	3224.50	USD	-5,087.50	
22SEP17	S	1	PD22DEC17		3025.00	3224.50	USD	-4,987.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	4	PD22DEC17	3028.00	3224.50	USD	-19,650.00	
22SEP17	S	1	PD22DEC17	3055.00	3224.50	USD	-4,237.50	
22SEP17	S	1	PD22DEC17	3065.50	3224.50	USD	-3,975.00	
22SEP17	S	1	PD22DEC17	3078.00	3224.50	USD	-3,662.50	
22SEP17	B	1	PD22DEC17	3060.50	3224.50	USD	4,100.00	
22SEP17	B	1	PD22DEC17	3050.50	3224.50	USD	4,350.00	
22SEP17	S	1	PD22DEC17	3060.50	3224.50	USD	-4,100.00	
22SEP17	B	1	PD22DEC17	3052.50	3224.50	USD	4,300.00	
22SEP17	B	1	PD22DEC17	3054.50	3224.50	USD	4,250.00	
22SEP17	B	1	PD22DEC17	3010.00	3224.50	USD	5,362.50	
22SEP17	S	1	PD22DEC17	3021.50	3224.50	USD	-5,075.00	
22SEP17	S	1	PD22DEC17	3024.00	3224.50	USD	-5,012.50	
22SEP17	B	1	PD22DEC17	3012.00	3224.50	USD	5,312.50	
22SEP17	S	1	PD22DEC17	3074.50	3224.50	USD	-3,750.00	
26SEP17	B	6	PD22DEC17	3117.20	3224.50	USD	16,095.00	
	NET	0 AVG BGHT 3058.66	SOLD 3036.74				-9,317.50	0.00
25SEP17	B	3	PD27DEC17	3092.00	3224.00	USD	9,900.00	
25SEP17	B	3	PD27DEC17	3092.50	3224.00	USD	9,862.50	
25SEP17	S	2	PD27DEC17	3106.50	3224.00	USD	-5,875.00	
25SEP17	S	2	PD27DEC17	3112.50	3224.00	USD	-5,575.00	
25SEP17	S	2	PD27DEC17	3109.50	3224.00	USD	-5,725.00	
26SEP17	S	4	PD27DEC17	3147.50	3224.00	USD	-7,650.00	
27SEP17	B	4	PD27DEC17	3105.20	3224.00	USD	11,880.00	
	NET	0 AVG BGHT 3097.43	SOLD 3124.70				6,817.50	0.00
28SEP17	B	9	PD28DEC17	3145.50	3223.50	USD	17,550.00	
28SEP17	B	1	PD28DEC17	3146.00	3223.50	USD	1,937.50	
28SEP17	S	1	PD28DEC17	3161.00	3223.50	USD	-1,562.50	
02OCT17	S	9	PD28DEC17	3236.00	3223.50	USD	2,812.50	
	NET	0 AVG BGHT 3145.55	SOLD 3228.50				20,737.50	0.00
29SEP17	S	4	PD29DEC17	3147.00	3223.00	USD	-7,600.00	
29SEP17	S	1	PD29DEC17	3158.00	3223.00	USD	-1,625.00	
29SEP17	S	1	PD29DEC17	3167.00	3223.00	USD	-1,400.00	
29SEP17	B	1	PD29DEC17	3148.50	3223.00	USD	1,862.50	
29SEP17	S	1	PD29DEC17	3163.00	3223.00	USD	-1,500.00	
29SEP17	S	1	PD29DEC17	3173.00	3223.00	USD	-1,250.00	
29SEP17	S	3	PD29DEC17	3156.50	3223.00	USD	-4,987.50	
29SEP17	S	3	PD29DEC17	3160.00	3223.00	USD	-4,725.00	
29SEP17	S	6	PD29DEC17	3161.50	3223.00	USD	-9,225.00	
02OCT17	B	19	PD29DEC17	3236.20	3223.00	USD	-6,270.00	
	NET	0 AVG BGHT 3231.82	SOLD 3158.38				-36,720.00	0.00

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	-7,914.50
LME Zinc 25 tonnes. This is FUTURES	-87,159.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096S

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Suite A, 105 Piccadilly
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	-7,914.50	-7,914.50		
ZINC - Futures USD	-87,159.50	-87,159.50	-95,074.00	-95,074.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	94,491.65	94,491.65
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	94,491.65	94,491.65
Initial Margins	-95,074.00	-95,074.00
Excess/(Shortage)	-582.35	-582.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	-95,074.00	-95,074.00
Net Liquidating Value	-582.35	-582.35

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	CMX GOLD 100 CMX B 2 DEC17 NET +2 AVG BGHT 1330.6	1330.6	1288.9	USD	-8,340.00 -8,340.00	 0.00

OPEN POSITION VALUE

CMX Gold	FUTURES	USD -266,120.00
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-8,340.00	-8,340.00	-8,340.00	-8,340.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-3,976.00	-3,976.00
- Options	-8,340.00	-8,340.00
	0.00	0.00
TOTAL EQUITY	-12,316.00	-12,316.00
Initial Margins	-9,800.00	-9,800.00
Excess/(Shortage)	-22,116.00	-22,116.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-12,316.00	-12,316.00

OPEN POSITION STATEMENT



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Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
31AUG17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD30NOV17	2393.80	2560.30	USD	-4,162.50	
	NET -1AVG SOLD 2393.80				-4,162.50	0.00
19SEP17	S 1 PD19DEC17	2420.80	2564.25	USD	-3,586.25	
	NET -1AVG SOLD 2420.80				-3,586.25	0.00
19SEP17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD19DEC17	3106.30	3229.33	USD	-3,075.75	
	NET -1AVG SOLD 3106.30				-3,075.75	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	120,365.00
LME Zinc 25 tonnes. This is FUTURES	77,657.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	-7,748.75	-7,748.75		
ZINC - Futures USD	-3,075.75	-3,075.75	-10,824.50	-10,824.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	9,829.44	9,829.44
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,829.44	9,829.44
Initial Margins	-26,218.50	-26,218.50
Excess/(Shortage)	-16,389.06	-16,389.06
FOR INFORMATION ONLY		
Forward Unrealised P&L	-10,824.50	-10,824.50
Net Liquidating Value	-995.06	-995.06

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	3	PD12OCT17	1904.00	2104.25	USD	15,018.75	
12JUL17	B	2	PD12OCT17	1904.50	2104.25	USD	9,987.50	
12JUL17	B	2	PD12OCT17	1884.50	2104.25	USD	10,987.50	
12JUL17	B	3	PD12OCT17	1885.50	2104.25	USD	16,406.25	
13JUL17	S	10	PD12OCT17	1922.69	2104.25	USD	-45,390.00	
	NET	0AVG BGHT 1894.65	SOLD 1922.69				7,010.00	0.00
17JUL17	B	2	PD17OCT17	1929.00	2106.75	USD	8,887.50	
17JUL17	B	1	PD17OCT17	1924.50	2106.75	USD	4,556.25	
17JUL17	B	3	PD17OCT17	1926.50	2106.75	USD	13,518.75	
17JUL17	B	1	PD17OCT17	1922.00	2106.75	USD	4,618.75	
17JUL17	B	2	PD17OCT17	1922.50	2106.75	USD	9,212.50	
17JUL17	B	1	PD17OCT17	1919.50	2106.75	USD	4,681.25	
17JUL17	B	2	PD17OCT17	1919.00	2106.75	USD	9,387.50	
17JUL17	B	3	PD17OCT17	1913.50	2106.75	USD	14,493.75	
17JUL17	B	3	PD17OCT17	1908.00	2106.75	USD	14,906.25	
18JUL17	S	18	PD17OCT17	1930.59	2106.75	USD	-79,272.00	
	NET	0AVG BGHT 1919.50	SOLD 1930.59				4,990.50	0.00
18JUL17	S	3	PD18OCT17	1927.00	2107.25	USD	-13,518.75	
18JUL17	B	2	PD18OCT17	1924.50	2107.25	USD	9,137.50	
18JUL17	B	1	PD18OCT17	1926.00	2107.25	USD	4,531.25	
18JUL17	B	2	PD18OCT17	1925.50	2107.25	USD	9,087.50	
19JUL17	S	2	PD18OCT17	1920.05	2107.25	USD	-9,360.00	
	NET	0AVG BGHT 1925.20	SOLD 1924.22				-122.50	0.00
28SEP17	S	1	PD28DEC17	2131.00	2127.39	USD	90.25	
28SEP17	S	1	PD28DEC17	2131.50	2127.39	USD	102.75	
28SEP17	S	1	PD28DEC17	2124.50	2127.39	USD	-72.25	
28SEP17	S	1	PD28DEC17	2123.50	2127.39	USD	-97.25	
	NET	-4AVG SOLD 2127.63					23.50	0.00
29SEP17	S	1	PD29DEC17	2133.50	2127.50	USD	150.00	
29SEP17	S	1	PD29DEC17	2132.50	2127.50	USD	125.00	
	NET	-2AVG SOLD 2133.00					275.00	0.00
09OCT17	B	2	PD09JAN18	2156.00	2129.62	USD	-1,319.00	
09OCT17	B	2	PD09JAN18	2155.50	2129.62	USD	-1,294.00	
09OCT17	B	2	PD09JAN18	2153.50	2129.62	USD	-1,194.00	
	NET	+6AVG BGHT 2155.00					-3,807.00	0.00
11OCT17	S	1	PD11JAN18	2156.50	2130.00	USD	662.50	
11OCT17	S	1	PD11JAN18	2156.00	2130.00	USD	650.00	
11OCT17	S	2	PD11JAN18	2150.50	2130.00	USD	1,025.00	
11OCT17	B	2	PD11JAN18	2150.50	2130.00	USD	-1,025.00	
11OCT17	B	2	PD11JAN18	2131.00	2130.00	USD	-50.00	
	NET	0AVG BGHT 2140.75	SOLD 2153.38				1,262.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	5914.50	6755.20	USD	-21,017.50	
12JUL17	S	1	PD12OCT17	5894.00	6755.20	USD	-21,530.00	
12JUL17	S	1	PD12OCT17	5898.50	6755.20	USD	-21,417.50	
12JUL17	S	1	PD12OCT17	5899.00	6755.20	USD	-21,405.00	
13JUL17	B	2	PD12OCT17	5875.88	6755.20	USD	43,966.00	
14JUL17	B	2	PD12OCT17	5925.64	6755.20	USD	41,478.00	
	NET	0AVG BGHT 5900.76	SOLD 5901.50				74.00	0.00
13JUL17	B	1	PD13OCT17	5884.00	6756.00	USD	21,800.00	
13JUL17	B	1	PD13OCT17	5877.50	6756.00	USD	21,962.50	
14JUL17	S	2	PD13OCT17	5925.80	6756.00	USD	-41,510.00	
	NET	0AVG BGHT 5880.75	SOLD 5925.80				2,252.50	0.00

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SAP0096Y

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	2	PD28DEC17	6476.00	6793.47	USD	-15,873.50	
28SEP17	B	2	PD28DEC17	6471.00	6793.47	USD	16,123.50	
28SEP17	B	1	PD28DEC17	6469.00	6793.47	USD	8,111.75	
28SEP17	B	1	PD28DEC17	6468.00	6793.47	USD	8,136.75	
28SEP17	B	1	PD28DEC17	6463.50	6793.47	USD	8,249.25	
28SEP17	B	3	PD28DEC17	6473.00	6793.47	USD	24,035.25	
28SEP17	B	3	PD28DEC17	6479.50	6793.47	USD	23,547.75	
28SEP17	B	1	PD28DEC17	6484.00	6793.47	USD	7,736.75	
28SEP17	B	1	PD28DEC17	6483.00	6793.47	USD	7,761.75	
28SEP17	B	1	PD28DEC17	6482.50	6793.47	USD	7,774.25	
28SEP17	B	1	PD28DEC17	6489.00	6793.47	USD	7,611.75	
28SEP17	B	2	PD28DEC17	6511.00	6793.47	USD	14,123.50	
28SEP17	B	3	PD28DEC17	6521.50	6793.47	USD	20,397.75	
28SEP17	B	1	PD28DEC17	6522.00	6793.47	USD	6,786.75	
28SEP17	B	1	PD28DEC17	6527.50	6793.47	USD	6,649.25	
28SEP17	B	1	PD28DEC17	6522.00	6793.47	USD	6,786.75	
	NET	+21 AVG BGHT 6491.15	SOLD 6476.00				157,959.25	0.00
29SEP17	S	5	PD29DEC17	6530.00	6793.93	USD	-32,991.25	
29SEP17	S	4	PD29DEC17	6530.50	6793.93	USD	-26,343.00	
29SEP17	S	4	PD29DEC17	6531.50	6793.93	USD	-26,243.00	
29SEP17	S	2	PD29DEC17	6531.00	6793.93	USD	-13,146.50	
29SEP17	S	2	PD29DEC17	6529.00	6793.93	USD	-13,246.50	
29SEP17	S	4	PD29DEC17	6527.50	6793.93	USD	-26,643.00	
29SEP17	B	2	PD29DEC17	6559.50	6793.93	USD	11,721.50	
29SEP17	B	1	PD29DEC17	6563.50	6793.93	USD	5,760.75	
29SEP17	B	2	PD29DEC17	6567.50	6793.93	USD	11,321.50	
29SEP17	B	4	PD29DEC17	6568.00	6793.93	USD	22,593.00	
29SEP17	B	1	PD29DEC17	6570.50	6793.93	USD	5,585.75	
29SEP17	B	2	PD29DEC17	6571.00	6793.93	USD	11,146.50	
29SEP17	B	2	PD29DEC17	6569.00	6793.93	USD	11,246.50	
29SEP17	B	3	PD29DEC17	6564.00	6793.93	USD	17,244.75	
29SEP17	B	1	PD29DEC17	6564.50	6793.93	USD	5,735.75	
29SEP17	B	1	PD29DEC17	6567.00	6793.93	USD	5,673.25	
29SEP17	B	2	PD29DEC17	6565.00	6793.93	USD	11,446.50	
29SEP17	B	3	PD29DEC17	6570.00	6793.93	USD	16,794.75	
29SEP17	B	1	PD29DEC17	6574.00	6793.93	USD	5,498.25	
29SEP17	B	2	PD29DEC17	6573.00	6793.93	USD	11,046.50	
29SEP17	B	2	PD29DEC17	6571.50	6793.93	USD	11,121.50	
29SEP17	B	5	PD29DEC17	6573.50	6793.93	USD	27,553.75	
29SEP17	B	6	PD29DEC17	6575.00	6793.93	USD	32,839.50	
	NET	+19 AVG BGHT 6569.60	SOLD 6529.90				85,716.75	0.00
04OCT17	S	1	PD04JAN18	6505.50	6796.73	USD	-7,280.75	
	NET	-1 AVG SOLD 6505.50					-7,280.75	0.00
09OCT17	S	1	PD09JAN18	6663.00	6799.07	USD	-3,401.75	
09OCT17	S	1	PD09JAN18	6661.00	6799.07	USD	-3,451.75	
09OCT17	S	2	PD09JAN18	6656.00	6799.07	USD	-7,153.50	
09OCT17	S	4	PD09JAN18	6660.00	6799.07	USD	-13,907.00	
09OCT17	S	2	PD09JAN18	6658.50	6799.07	USD	-7,028.50	
09OCT17	B	1	PD09JAN18	6682.50	6799.07	USD	2,914.25	
09OCT17	S	3	PD09JAN18	6658.00	6799.07	USD	-10,580.25	
09OCT17	S	2	PD09JAN18	6657.50	6799.07	USD	-7,078.50	
09OCT17	S	7	PD09JAN18	6657.00	6799.07	USD	-24,862.25	
09OCT17	S	2	PD09JAN18	6662.50	6799.07	USD	-6,828.50	
09OCT17	S	2	PD09JAN18	6659.00	6799.07	USD	-7,003.50	
09OCT17	B	1	PD09JAN18	6680.50	6799.07	USD	2,964.25	
09OCT17	B	1	PD09JAN18	6682.00	6799.07	USD	2,926.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	2	PD09JAN18	6670.00	6799.07	USD	-6,453.50	
09OCT17	S	4	PD09JAN18	6673.00	6799.07	USD	-12,607.00	
09OCT17	S	2	PD09JAN18	6674.50	6799.07	USD	-6,228.50	
09OCT17	S	2	PD09JAN18	6672.00	6799.07	USD	-6,353.50	
	NET	-33 AVG BGHT 6681.67	SOLD 6662.47				-114,132.75	0.00
10OCT17	B	1	PD10JAN18	6725.00	6799.53	USD	1,863.25	
10OCT17	S	2	PD10JAN18	6687.50	6799.53	USD	-5,601.50	
10OCT17	B	2	PD10JAN18	6747.00	6799.53	USD	2,626.50	
10OCT17	B	1	PD10JAN18	6748.50	6799.53	USD	1,275.75	
10OCT17	B	1	PD10JAN18	6748.00	6799.53	USD	1,288.25	
10OCT17	B	2	PD10JAN18	6754.00	6799.53	USD	2,276.50	
10OCT17	B	2	PD10JAN18	6756.00	6799.53	USD	2,176.50	
10OCT17	B	2	PD10JAN18	6759.00	6799.53	USD	2,026.50	
10OCT17	B	1	PD10JAN18	6758.00	6799.53	USD	1,038.25	
10OCT17	B	3	PD10JAN18	6752.00	6799.53	USD	3,564.75	
10OCT17	B	1	PD10JAN18	6760.00	6799.53	USD	988.25	
10OCT17	B	3	PD10JAN18	6759.50	6799.53	USD	3,002.25	
10OCT17	B	1	PD10JAN18	6753.00	6799.53	USD	1,163.25	
10OCT17	B	3	PD10JAN18	6751.00	6799.53	USD	3,639.75	
	NET	+21 AVG BGHT 6752.70	SOLD 6687.50				21,328.25	0.00
11OCT17	B	2	PD11JAN18	6729.00	6800.00	USD	3,550.00	
11OCT17	B	1	PD11JAN18	6729.50	6800.00	USD	1,762.50	
11OCT17	B	1	PD11JAN18	6732.00	6800.00	USD	1,700.00	
11OCT17	B	2	PD11JAN18	6731.00	6800.00	USD	3,450.00	
11OCT17	B	1	PD11JAN18	6730.50	6800.00	USD	1,737.50	
11OCT17	B	2	PD11JAN18	6732.50	6800.00	USD	3,375.00	
11OCT17	B	1	PD11JAN18	6737.50	6800.00	USD	1,562.50	
11OCT17	B	1	PD11JAN18	6740.00	6800.00	USD	1,500.00	
11OCT17	S	2	PD11JAN18	6760.00	6800.00	USD	-2,000.00	
11OCT17	S	2	PD11JAN18	6756.50	6800.00	USD	-2,175.00	
11OCT17	B	1	PD11JAN18	6766.00	6800.00	USD	850.00	
11OCT17	B	1	PD11JAN18	6766.50	6800.00	USD	837.50	
11OCT17	B	2	PD11JAN18	6770.50	6800.00	USD	1,475.00	
11OCT17	B	2	PD11JAN18	6764.50	6800.00	USD	1,775.00	
11OCT17	B	2	PD11JAN18	6773.50	6800.00	USD	1,325.00	
11OCT17	B	2	PD11JAN18	6771.00	6800.00	USD	1,450.00	
11OCT17	B	2	PD11JAN18	6781.50	6800.00	USD	925.00	
11OCT17	B	1	PD11JAN18	6788.50	6800.00	USD	287.50	
11OCT17	B	1	PD11JAN18	6786.00	6800.00	USD	350.00	
11OCT17	B	1	PD11JAN18	6789.00	6800.00	USD	275.00	
11OCT17	B	3	PD11JAN18	6793.00	6800.00	USD	525.00	
11OCT17	B	1	PD11JAN18	6790.00	6800.00	USD	250.00	
11OCT17	B	2	PD11JAN18	6794.50	6800.00	USD	275.00	
11OCT17	S	1	PD11JAN18	6768.50	6800.00	USD	-787.50	
11OCT17	S	1	PD11JAN18	6765.50	6800.00	USD	-862.50	
11OCT17	S	3	PD11JAN18	6766.50	6800.00	USD	-2,512.50	
11OCT17	S	2	PD11JAN18	6766.00	6800.00	USD	-1,700.00	
11OCT17	S	4	PD11JAN18	6763.00	6800.00	USD	-3,700.00	
11OCT17	B	1	PD11JAN18	6779.50	6800.00	USD	512.50	
11OCT17	B	3	PD11JAN18	6780.50	6800.00	USD	1,462.50	
11OCT17	B	1	PD11JAN18	6789.00	6800.00	USD	275.00	
11OCT17	S	2	PD11JAN18	6768.00	6800.00	USD	-1,600.00	
11OCT17	S	1	PD11JAN18	6766.00	6800.00	USD	-850.00	
11OCT17	S	2	PD11JAN18	6766.50	6800.00	USD	-1,675.00	
11OCT17	B	2	PD11JAN18	6779.50	6800.00	USD	1,025.00	
11OCT17	B	1	PD11JAN18	6782.00	6800.00	USD	450.00	
11OCT17	B	1	PD11JAN18	6782.50	6800.00	USD	437.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	4	PD11JAN18	6794.00	6800.00	USD	600.00	
11OCT17	B	2	PD11JAN18	6795.00	6800.00	USD	250.00	
11OCT17	B	2	PD11JAN18	6800.50	6800.00	USD	-25.00	
11OCT17	B	2	PD11JAN18	6801.50	6800.00	USD	-75.00	
11OCT17	B	2	PD11JAN18	6806.50	6800.00	USD	-325.00	
11OCT17	B	1	PD11JAN18	6807.50	6800.00	USD	-187.50	
11OCT17	S	1	PD11JAN18	6807.00	6800.00	USD	175.00	
	NET	+33 AVG	BGHT 6775.08				15,950.00	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	2	DEC17	1282.2	1288.9	USD	1,340.00	
09OCT17	B	2	DEC17	1282.9	1288.9	USD	1,200.00	
09OCT17	B	2	DEC17	1283.0	1288.9	USD	1,180.00	
09OCT17	B	1	DEC17	1282.5	1288.9	USD	640.00	
09OCT17	B	2	DEC17	1282.4	1288.9	USD	1,300.00	
09OCT17	B	3	DEC17	1282.8	1288.9	USD	1,830.00	
09OCT17	B	2	DEC17	1283.4	1288.9	USD	1,100.00	
09OCT17	B	4	DEC17	1283.6	1288.9	USD	2,120.00	
09OCT17	B	2	DEC17	1283.2	1288.9	USD	1,140.00	
09OCT17	B	2	DEC17	1283.1	1288.9	USD	1,160.00	
	NET	+22 AVG	BGHT 1283.0				13,010.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	5	PD12OCT17	9190.00	11060.20	USD	56,106.00	
12JUL17	B	5	PD12OCT17	9225.00	11060.20	USD	55,056.00	
27JUL17	S	10	PD12OCT17	10126.57	11060.20	USD	-56,017.80	
	NET	0 AVG	BGHT 9207.50				55,144.20	0.00
14JUL17	S	5	PD13OCT17	9560.00	11061.00	USD	-45,030.00	
18JUL17	B	5	PD13OCT17	9778.44	11061.00	USD	38,476.80	
	NET	0 AVG	BGHT 9778.44				-6,553.20	0.00
17JUL17	B	1	PD17OCT17	9595.00	11064.20	USD	8,815.20	
17JUL17	B	1	PD17OCT17	9600.00	11064.20	USD	8,785.20	
17JUL17	B	2	PD17OCT17	9590.00	11064.20	USD	17,690.40	
17JUL17	B	2	PD17OCT17	9605.00	11064.20	USD	17,510.40	
27JUL17	S	6	PD17OCT17	10129.43	11064.20	USD	-33,651.72	
	NET	0 AVG	BGHT 9597.50				19,149.48	0.00
19JUL17	S	4	PD19OCT17	9725.00	11065.96	USD	-32,183.04	
19JUL17	S	1	PD19OCT17	9705.00	11065.96	USD	-8,165.76	
19JUL17	S	1	PD19OCT17	9710.00	11065.96	USD	-8,135.76	
19JUL17	S	1	PD19OCT17	9645.00	11065.96	USD	-8,525.76	
20JUL17	B	7	PD19OCT17	9496.00	11065.96	USD	65,938.32	
	NET	0 AVG	BGHT 9496.00				8,928.00	0.00
20JUL17	S	1	PD20OCT17	9670.00	11066.93	USD	-8,381.58	
20JUL17	S	2	PD20OCT17	9560.00	11066.93	USD	-18,083.16	
21JUL17	S	2	PD20OCT17	9575.00	11066.93	USD	-17,903.16	
21JUL17	S	2	PD20OCT17	9655.00	11066.93	USD	-16,943.16	
21JUL17	S	3	PD20OCT17	9545.00	11066.93	USD	-27,394.74	
21JUL17	B	5	PD20OCT17	9530.00	11066.93	USD	46,107.90	
21JUL17	S	7	PD20OCT17	9530.00	11066.93	USD	-64,551.06	
24JUL17	B	12	PD20OCT17	9778.60	11066.93	USD	92,759.76	
	NET	0 AVG	BGHT 9705.48				-14,389.20	0.00
25JUL17	S	4	PD25OCT17	9840.00	11071.75	USD	-29,562.00	
25JUL17	S	4	PD25OCT17	9925.00	11071.75	USD	-27,522.00	
25JUL17	S	4	PD25OCT17	9955.00	11071.75	USD	-26,802.00	
27JUL17	B	12	PD25OCT17	10131.00	11071.75	USD	67,734.00	
	NET	0 AVG	BGHT 10131.00				-16,152.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	8	PD26OCT17	9955.00	11072.71	USD	-53,650.08	
26JUL17	S	1	PD26OCT17	9955.00	11072.71	USD	-6,706.26	
27JUL17	B	9	PD26OCT17	10131.00	11072.71	USD	50,852.34	
	NET	0 AVG	BGHT 10131.00	SOLD 9955.00			-9,504.00	0.00
27JUL17	S	1	PD27OCT17	10045.00	11073.68	USD	-6,172.08	
27JUL17	B	1	PD27OCT17	10131.00	11073.68	USD	5,656.08	
	NET	0 AVG	BGHT 10131.00	SOLD 10045.00			-516.00	0.00
28SEP17	B	1	PD28DEC17	10285.00	11124.82	USD	5,038.92	
28SEP17	B	2	PD28DEC17	10315.00	11124.82	USD	9,717.84	
28SEP17	B	3	PD28DEC17	10305.00	11124.82	USD	14,756.76	
28SEP17	B	2	PD28DEC17	10325.00	11124.82	USD	9,597.84	
28SEP17	B	1	PD28DEC17	10330.00	11124.82	USD	4,768.92	
28SEP17	B	1	PD28DEC17	10340.00	11124.82	USD	4,708.92	
	NET	+10 AVG	BGHT 10315.00				48,589.20	0.00
29SEP17	B	2	PD29DEC17	10430.00	11125.55	USD	8,346.60	
29SEP17	B	2	PD29DEC17	10435.00	11125.55	USD	8,286.60	
29SEP17	B	2	PD29DEC17	10395.00	11125.55	USD	8,766.60	
29SEP17	B	2	PD29DEC17	10425.00	11125.55	USD	8,406.60	
29SEP17	B	2	PD29DEC17	10415.00	11125.55	USD	8,526.60	
29SEP17	B	1	PD29DEC17	10475.00	11125.55	USD	3,903.30	
29SEP17	B	9	PD29DEC17	10480.00	11125.55	USD	34,859.70	
29SEP17	B	5	PD29DEC17	10485.00	11125.55	USD	19,216.50	
29SEP17	B	1	PD29DEC17	10495.00	11125.55	USD	3,783.30	
29SEP17	B	2	PD29DEC17	10490.00	11125.55	USD	7,626.60	
29SEP17	B	4	PD29DEC17	10470.00	11125.55	USD	15,733.20	
	NET	+32 AVG	BGHT 10461.72				127,455.60	0.00
09OCT17	B	1	PD09JAN18	10740.00	11133.55	USD	2,361.30	
09OCT17	B	2	PD09JAN18	10665.00	11133.55	USD	5,622.60	
09OCT17	B	2	PD09JAN18	10770.00	11133.55	USD	4,362.60	
09OCT17	B	1	PD09JAN18	10730.00	11133.55	USD	2,421.30	
09OCT17	B	2	PD09JAN18	10690.00	11133.55	USD	5,322.60	
09OCT17	B	2	PD09JAN18	10760.00	11133.55	USD	4,482.60	
09OCT17	S	1	PD09JAN18	10735.00	11133.55	USD	-2,391.30	
09OCT17	B	2	PD09JAN18	10750.00	11133.55	USD	4,602.60	
09OCT17	B	5	PD09JAN18	10735.00	11133.55	USD	11,956.50	
09OCT17	B	4	PD09JAN18	10750.00	11133.55	USD	9,205.20	
09OCT17	B	4	PD09JAN18	10760.00	11133.55	USD	8,965.20	
09OCT17	S	2	PD09JAN18	10770.00	11133.55	USD	-4,362.60	
09OCT17	S	2	PD09JAN18	10785.00	11133.55	USD	-4,182.60	
09OCT17	S	2	PD09JAN18	10810.00	11133.55	USD	-3,882.60	
09OCT17	S	2	PD09JAN18	10910.00	11133.55	USD	-2,682.60	
09OCT17	S	2	PD09JAN18	10880.00	11133.55	USD	-3,042.60	
09OCT17	S	7	PD09JAN18	10895.00	11133.55	USD	-10,019.10	
09OCT17	S	1	PD09JAN18	10900.00	11133.55	USD	-1,401.30	
09OCT17	S	1	PD09JAN18	10915.00	11133.55	USD	-1,311.30	
09OCT17	S	1	PD09JAN18	10905.00	11133.55	USD	-1,371.30	
09OCT17	S	4	PD09JAN18	10885.00	11133.55	USD	-5,965.20	
09OCT17	S	6	PD09JAN18	10890.00	11133.55	USD	-8,767.80	
09OCT17	S	1	PD09JAN18	10915.00	11133.55	USD	-1,311.30	
09OCT17	S	1	PD09JAN18	10900.00	11133.55	USD	-1,401.30	
09OCT17	S	2	PD09JAN18	10990.00	11133.55	USD	-1,722.60	
	NET	-10 AVG	BGHT 10738.20	SOLD 10877.29			5,487.00	0.00
10OCT17	B	8	PD10JAN18	10955.00	11134.27	USD	8,604.96	
10OCT17	B	2	PD10JAN18	10945.00	11134.27	USD	2,271.24	
10OCT17	B	1	PD10JAN18	10920.00	11134.27	USD	1,285.62	

OPEN POSITION STATEMENT



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SAP0096Y

11th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	9	PD10JAN18	10950.00	11134.27	USD	9,950.58	
10OCT17	S	2	PD10JAN18	10905.00	11134.27	USD	-2,751.24	
10OCT17	S	2	PD10JAN18	10910.00	11134.27	USD	-2,691.24	
10OCT17	S	4	PD10JAN18	10870.00	11134.27	USD	-6,342.48	
10OCT17	S	2	PD10JAN18	10875.00	11134.27	USD	-3,111.24	
10OCT17	B	1	PD10JAN18	10980.00	11134.27	USD	925.62	
10OCT17	B	2	PD10JAN18	11000.00	11134.27	USD	1,611.24	
10OCT17	B	2	PD10JAN18	11010.00	11134.27	USD	1,491.24	
	NET	+15 AVG BGHT	10960.00				11,244.30	0.00
11OCT17	S	11	PD11JAN18	10930.00	11135.00	USD	-13,530.00	
11OCT17	S	8	PD11JAN18	10975.00	11135.00	USD	-7,680.00	
11OCT17	S	2	PD11JAN18	10910.00	11135.00	USD	-2,700.00	
11OCT17	S	6	PD11JAN18	10915.00	11135.00	USD	-7,920.00	
11OCT17	S	5	PD11JAN18	10935.00	11135.00	USD	-6,000.00	
11OCT17	S	2	PD11JAN18	10940.00	11135.00	USD	-2,340.00	
11OCT17	S	2	PD11JAN18	10950.00	11135.00	USD	-2,220.00	
11OCT17	S	3	PD11JAN18	10945.00	11135.00	USD	-3,420.00	
11OCT17	S	1	PD11JAN18	10955.00	11135.00	USD	-1,080.00	
11OCT17	S	3	PD11JAN18	10980.00	11135.00	USD	-2,790.00	
11OCT17	B	2	PD11JAN18	11115.00	11135.00	USD	240.00	
11OCT17	B	8	PD11JAN18	11140.00	11135.00	USD	-240.00	
11OCT17	S	2	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	S	1	PD11JAN18	11115.00	11135.00	USD	-120.00	
11OCT17	S	2	PD11JAN18	11120.00	11135.00	USD	-180.00	
11OCT17	S	1	PD11JAN18	11110.00	11135.00	USD	-150.00	
11OCT17	S	2	PD11JAN18	11100.00	11135.00	USD	-420.00	
11OCT17	B	2	PD11JAN18	11200.00	11135.00	USD	-780.00	
11OCT17	S	2	PD11JAN18	11235.00	11135.00	USD	1,200.00	
11OCT17	B	2	PD11JAN18	11175.00	11135.00	USD	-480.00	
11OCT17	B	2	PD11JAN18	11160.00	11135.00	USD	-300.00	
11OCT17	B	2	PD11JAN18	11155.00	11135.00	USD	-240.00	
11OCT17	S	1	PD11JAN18	11165.00	11135.00	USD	180.00	
11OCT17	S	3	PD11JAN18	11150.00	11135.00	USD	270.00	
	NET	-39 AVG BGHT	11151.67				-50,700.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	19920.00	20990.00	USD	5,350.00	
13JUL17	S	1	PD12OCT17	19805.25	20990.00	USD	-5,923.75	
	NET	0 AVG BGHT	19920.00				-573.75	0.00
14JUL17	S	1	PD13OCT17	19830.00	20990.00	USD	-5,800.00	
14JUL17	S	1	PD13OCT17	19835.00	20990.00	USD	-5,775.00	
14JUL17	B	1	PD13OCT17	19855.00	20990.00	USD	5,675.00	
14JUL17	B	1	PD13OCT17	19850.00	20990.00	USD	5,700.00	
	NET	0 AVG BGHT	19852.50				-200.00	0.00
17JUL17	B	1	PD17OCT17	19985.00	20990.00	USD	5,025.00	
18JUL17	S	1	PD17OCT17	20000.84	20990.00	USD	-4,945.80	
	NET	0 AVG BGHT	19985.00				79.20	0.00
18JUL17	B	1	PD18OCT17	19990.00	20990.00	USD	5,000.00	
18JUL17	S	1	PD18OCT17	20000.00	20990.00	USD	-4,950.00	
18JUL17	S	1	PD18OCT17	20005.00	20990.00	USD	-4,925.00	
27JUL17	B	1	PD18OCT17	20690.00	20990.00	USD	1,500.00	
	NET	0 AVG BGHT	20340.00				-3,375.00	0.00
24JUL17	B	1	PD24OCT17	20255.00	20982.00	USD	3,635.00	
27JUL17	S	1	PD24OCT17	20666.14	20982.00	USD	-1,579.30	
	NET	0 AVG BGHT	20255.00				2,055.70	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	S 2 PD28DEC17	20705.00	20890.77	USD	-1,857.70	
	NET -2AVG SOLD 20705.00				-1,857.70	0.00
09OCT17	B 2 PD09JAN18	20750.00	20874.62	USD	1,246.20	
	NET +2AVG BGHT 20750.00				1,246.20	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2849.00	3299.33	USD	-11,258.25	
13JUL17	B 1 PD12OCT17	2803.95	3299.33	USD	12,384.50	
	NET 0AVG BGHT 2803.95 SOLD 2849.00				1,126.25	0.00
13JUL17	S 1 PD13OCT17	2838.50	3295.00	USD	-11,412.50	
13JUL17	S 1 PD13OCT17	2840.50	3295.00	USD	-11,362.50	
13JUL17	S 1 PD13OCT17	2831.00	3295.00	USD	-11,600.00	
14JUL17	B 3 PD13OCT17	2786.20	3295.00	USD	38,160.00	
	NET 0AVG BGHT 2786.20 SOLD 2836.67				3,785.00	0.00
28SEP17	S 2 PD28DEC17	3121.00	3223.50	USD	-5,125.00	
28SEP17	B 2 PD28DEC17	3146.00	3223.50	USD	3,875.00	
28SEP17	S 1 PD28DEC17	3143.00	3223.50	USD	-2,012.50	
28SEP17	B 1 PD28DEC17	3145.00	3223.50	USD	1,962.50	
28SEP17	B 2 PD28DEC17	3144.00	3223.50	USD	3,975.00	
28SEP17	S 2 PD28DEC17	3159.00	3223.50	USD	-3,225.00	
	NET 0AVG BGHT 3145.00 SOLD 3140.60				-550.00	0.00
09OCT17	B 1 PD09JAN18	3253.50	3220.00	USD	-837.50	
09OCT17	B 1 PD09JAN18	3259.50	3220.00	USD	-987.50	
	NET +2AVG BGHT 3256.50				-1,825.00	0.00
10OCT17	S 2 PD10JAN18	3219.00	3219.50	USD	-25.00	
10OCT17	B 1 PD10JAN18	3235.00	3219.50	USD	-387.50	
	NET -1AVG BGHT 3235.00 SOLD 3219.00				-412.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	9,303.00
LME Copper Grade A 25	FUTURES	-10,032,423.50
CMX Gold	FUTURES	-2,822,570.00
LME Nickel 6 tonnes. This is	FUTURES	-353,892.72
LME Tin 5 tonnes. This is an	FUTURES	-2,463.85
LME Zinc 25 tonnes. This is	FUTURES	-78,388.75

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-25,365.58	-25,365.58
Variation Margins	- Futures	13,010.00	13,010.00
	- Options	0.00	0.00
TOTAL EQUITY		-12,355.58	-12,355.58
Initial Margins		-583,799.97	-583,799.97
Excess/(Shortage)		-596,155.55	-596,155.55
FOR INFORMATION ONLY			
Forward Unrealised P&L		349,181.03	349,181.03
Net Liquidating Value		336,825.45	336,825.45

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	1	PD120CT17	1896.00	2104.25	USD	5,206.25	
12JUL17	S	1	PD120CT17	1896.00	2104.25	USD	-5,206.25	
12JUL17	B	2	PD120CT17	1894.00	2104.25	USD	10,512.50	
12JUL17	S	2	PD120CT17	1886.00	2104.25	USD	-10,912.50	
	NET	0 AVG	BGHT 1894.67				-400.00	0.00
13JUL17	S	1	PD130CT17	1934.00	2104.75	USD	-4,268.75	
13JUL17	B	1	PD130CT17	1920.50	2104.75	USD	4,606.25	
	NET	0 AVG	BGHT 1920.50				337.50	0.00
18JUL17	S	4	PD180CT17	1924.00	2107.25	USD	-18,325.00	
18JUL17	S	2	PD180CT17	1931.00	2107.25	USD	-8,812.50	
26JUL17	B	6	PD180CT17	1941.00	2107.25	USD	24,937.50	
	NET	0 AVG	BGHT 1941.00				-2,200.00	0.00
19JUL17	B	2	PD190CT17	1931.00	2107.75	USD	8,837.50	
26JUL17	S	2	PD190CT17	1940.30	2107.75	USD	-8,372.50	
	NET	0 AVG	BGHT 1931.00				465.00	0.00
20JUL17	S	1	PD200CT17	1918.00	2108.25	USD	-4,756.25	
20JUL17	S	1	PD200CT17	1918.50	2108.25	USD	-4,743.75	
21JUL17	B	2	PD200CT17	1923.50	2108.25	USD	9,237.50	
	NET	0 AVG	BGHT 1923.50				-262.50	0.00
24JUL17	B	2	PD240CT17	1923.00	2110.25	USD	9,362.50	
24JUL17	B	1	PD240CT17	1916.50	2110.25	USD	4,843.75	
24JUL17	B	1	PD240CT17	1911.00	2110.25	USD	4,981.25	
26JUL17	S	4	PD240CT17	1941.37	2110.25	USD	-16,888.00	
	NET	0 AVG	BGHT 1918.38				2,299.50	0.00
01AUG17	B	1	PD01NOV17	1909.00	2110.81	USD	5,045.25	
01AUG17	B	1	PD01NOV17	1907.50	2110.81	USD	5,082.75	
16AUG17	S	2	PD01NOV17	2091.30	2110.81	USD	-975.50	
	NET	0 AVG	BGHT 1908.25				9,152.50	0.00
04AUG17	B	1	PD03NOV17	1906.50	2111.44	USD	5,123.50	
04AUG17	B	1	PD03NOV17	1907.50	2111.44	USD	5,098.50	
16AUG17	S	2	PD03NOV17	2091.62	2111.44	USD	-991.00	
	NET	0 AVG	BGHT 1907.00				9,231.00	0.00
07AUG17	S	2	PD07NOV17	1928.00	2112.69	USD	-9,234.50	
07AUG17	S	2	PD07NOV17	1929.50	2112.69	USD	-9,159.50	
16AUG17	B	4	PD07NOV17	2092.45	2112.69	USD	2,024.00	
	NET	0 AVG	BGHT 2092.45				-16,370.00	0.00
08AUG17	S	2	PD08NOV17	1991.50	2113.00	USD	-6,075.00	
08AUG17	S	2	PD08NOV17	1992.00	2113.00	USD	-6,050.00	
16AUG17	B	4	PD08NOV17	2092.50	2113.00	USD	2,050.00	
	NET	0 AVG	BGHT 2092.50				-10,075.00	0.00
14AUG17	B	4	PD14NOV17	2025.00	2115.79	USD	9,079.00	
16AUG17	S	4	PD14NOV17	2093.80	2115.79	USD	-2,199.00	
	NET	0 AVG	BGHT 2025.00				6,880.00	0.00
31AUG17	S	1	PD30NOV17	2130.50	2120.88	USD	240.50	
14SEP17	B	1	PD30NOV17	2094.26	2120.88	USD	665.50	
	NET	0 AVG	BGHT 2094.26				906.00	0.00
01SEP17	S	1	PD01DEC17	2135.50	2121.19	USD	357.75	
01SEP17	S	1	PD01DEC17	2136.00	2121.19	USD	370.25	
14SEP17	B	2	PD01DEC17	2094.53	2121.19	USD	1,333.00	
	NET	0 AVG	BGHT 2094.53				2,061.00	0.00
13SEP17	B	2	PD13DEC17	2126.50	2124.90	USD	-80.00	
13SEP17	B	1	PD13DEC17	2128.00	2124.90	USD	-77.50	
14SEP17	S	3	PD13DEC17	2097.53	2124.90	USD	-2,052.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT	2127.00	SOLD	2097.53		-2,210.25	0.00
29SEP17	B	1	PD29DEC17	2126.50	2127.50	USD	25.00	
29SEP17	B	1	PD29DEC17	2125.50	2127.50	USD	50.00	
29SEP17	B	1	PD29DEC17	2127.50	2127.50	USD	0.00	
29SEP17	S	1	PD29DEC17	2127.00	2127.50	USD	-12.50	
29SEP17	S	1	PD29DEC17	2131.00	2127.50	USD	87.50	
29SEP17	S	1	PD29DEC17	2133.00	2127.50	USD	137.50	
	NET	0 AVG BGHT	2126.50	SOLD	2130.33		287.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	6399.00	6776.83	USD	-9,445.75	
14AUG17	S	1	PD14NOV17	6400.00	6776.83	USD	-9,420.75	
17AUG17	B	2	PD14NOV17	6491.75	6776.83	USD	14,254.00	
	NET	0 AVG BGHT	6491.75	SOLD	6399.50		-4,612.50	0.00
16AUG17	B	1	PD16NOV17	6461.00	6776.25	USD	7,881.25	
16AUG17	B	1	PD16NOV17	6538.00	6776.25	USD	5,956.25	
17AUG17	S	2	PD16NOV17	6489.80	6776.25	USD	-14,322.50	
	NET	0 AVG BGHT	6499.50	SOLD	6489.80		-485.00	0.00
31AUG17	S	1	PD30NOV17	6829.00	6783.72	USD	1,132.00	
13SEP17	B	1	PD30NOV17	6548.02	6783.72	USD	5,892.50	
	NET	0 AVG BGHT	6548.02	SOLD	6829.00		7,024.50	0.00
01SEP17	S	1	PD01DEC17	6821.00	6784.25	USD	918.75	
13SEP17	B	1	PD01DEC17	6548.45	6784.25	USD	5,895.00	
	NET	0 AVG BGHT	6548.45	SOLD	6821.00		6,813.75	0.00
04SEP17	S	1	PD04DEC17	6909.00	6785.75	USD	3,081.25	
13SEP17	B	1	PD04DEC17	6549.74	6785.75	USD	5,900.25	
	NET	0 AVG BGHT	6549.74	SOLD	6909.00		8,981.50	0.00
05SEP17	B	1	PD05DEC17	6855.00	6786.25	USD	-1,718.75	
13SEP17	S	1	PD05DEC17	6549.97	6786.25	USD	-5,907.00	
	NET	0 AVG BGHT	6855.00	SOLD	6549.97		-7,625.75	0.00
06SEP17	B	1	PD06DEC17	6885.00	6786.75	USD	-2,456.25	
06SEP17	S	1	PD06DEC17	6920.00	6786.75	USD	3,331.25	
06SEP17	S	1	PD06DEC17	6925.00	6786.75	USD	3,456.25	
06SEP17	B	1	PD06DEC17	6884.50	6786.75	USD	-2,443.75	
06SEP17	B	1	PD06DEC17	6886.50	6786.75	USD	-2,493.75	
06SEP17	S	1	PD06DEC17	6910.00	6786.75	USD	3,081.25	
06SEP17	S	1	PD06DEC17	6874.50	6786.75	USD	2,193.75	
06SEP17	S	1	PD06DEC17	6900.00	6786.75	USD	2,831.25	
06SEP17	S	1	PD06DEC17	6884.50	6786.75	USD	2,443.75	
06SEP17	B	1	PD06DEC17	6878.50	6786.75	USD	-2,293.75	
06SEP17	S	1	PD06DEC17	6879.50	6786.75	USD	2,318.75	
13SEP17	B	3	PD06DEC17	6550.60	6786.75	USD	17,711.25	
	NET	0 AVG BGHT	6740.90	SOLD	6899.07		27,680.00	0.00
07SEP17	B	1	PD07DEC17	6863.00	6787.23	USD	-1,894.25	
07SEP17	B	1	PD07DEC17	6859.00	6787.23	USD	-1,794.25	
07SEP17	S	1	PD07DEC17	6897.00	6787.23	USD	2,744.25	
07SEP17	S	1	PD07DEC17	6899.00	6787.23	USD	2,794.25	
	NET	0 AVG BGHT	6861.00	SOLD	6898.00		1,850.00	0.00
08SEP17	B	1	PD08DEC17	6851.00	6787.71	USD	-1,582.25	
08SEP17	B	1	PD08DEC17	6855.00	6787.71	USD	-1,682.25	
08SEP17	B	1	PD08DEC17	6842.00	6787.71	USD	-1,357.25	
08SEP17	B	1	PD08DEC17	6695.00	6787.71	USD	2,317.75	
13SEP17	S	4	PD08DEC17	6551.26	6787.71	USD	-23,645.00	
	NET	0 AVG BGHT	6810.75	SOLD	6551.26		-25,949.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD11DEC17	6756.00	6789.15	USD	828.75	
13SEP17	S	1	PD11DEC17	6552.55	6789.15	USD	-5,915.00	
	NET	0AVG	BGHT 6756.00				-5,086.25	0.00
13SEP17	B	2	PD13DEC17	6569.00	6790.10	USD	11,055.00	
13SEP17	B	1	PD13DEC17	6572.00	6790.10	USD	5,452.50	
13SEP17	B	1	PD13DEC17	6567.50	6790.10	USD	5,565.00	
13SEP17	S	1	PD13DEC17	6547.00	6790.10	USD	-6,077.50	
13SEP17	S	1	PD13DEC17	6552.00	6790.10	USD	-5,952.50	
13SEP17	S	1	PD13DEC17	6554.00	6790.10	USD	-5,902.50	
13SEP17	S	1	PD13DEC17	6575.00	6790.10	USD	-5,377.50	
	NET	0AVG	BGHT 6569.38				-1,237.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD26OCT17	2314.50	2555.89	USD	-6,034.75	
26JUL17	S	1	PD26OCT17	2330.00	2555.89	USD	-5,647.25	
16AUG17	B	2	PD26OCT17	2514.60	2555.89	USD	2,064.50	
	NET	0AVG	BGHT 2514.60				-9,617.50	0.00
27JUL17	S	1	PD27OCT17	2331.00	2556.04	USD	-5,626.00	
28JUL17	B	1	PD27OCT17	2298.50	2556.04	USD	6,438.50	
28JUL17	B	1	PD27OCT17	2294.00	2556.04	USD	6,551.00	
28JUL17	B	1	PD27OCT17	2295.00	2556.04	USD	6,526.00	
16AUG17	S	2	PD27OCT17	2514.58	2556.04	USD	-2,073.00	
	NET	0AVG	BGHT 2295.83				11,816.50	0.00
03AUG17	S	2	PD03NOV17	2365.50	2557.04	USD	-9,577.00	
03AUG17	S	1	PD03NOV17	2364.00	2557.04	USD	-4,826.00	
03AUG17	S	1	PD03NOV17	2365.00	2557.04	USD	-4,801.00	
04AUG17	B	2	PD03NOV17	2351.50	2557.04	USD	10,277.00	
04AUG17	B	2	PD03NOV17	2352.00	2557.04	USD	10,252.00	
	NET	0AVG	BGHT 2351.75				1,325.00	0.00
16AUG17	S	2	PD16NOV17	2394.00	2558.75	USD	-8,237.50	
16AUG17	S	2	PD16NOV17	2397.00	2558.75	USD	-8,087.50	
16AUG17	S	1	PD16NOV17	2399.50	2558.75	USD	-3,981.25	
16AUG17	S	2	PD16NOV17	2423.00	2558.75	USD	-6,787.50	
16AUG17	S	2	PD16NOV17	2396.00	2558.75	USD	-8,137.50	
16AUG17	B	9	PD16NOV17	2517.20	2558.75	USD	9,348.75	
	NET	0AVG	BGHT 2517.20				-25,882.50	0.00
17AUG17	B	2	PD17NOV17	2515.00	2558.75	USD	2,187.50	
17AUG17	B	1	PD17NOV17	2495.00	2558.75	USD	1,593.75	
17AUG17	B	1	PD17NOV17	2487.00	2558.75	USD	1,793.75	
17AUG17	B	1	PD17NOV17	2484.00	2558.75	USD	1,868.75	
17AUG17	B	1	PD17NOV17	2486.50	2558.75	USD	1,806.25	
17AUG17	B	2	PD17NOV17	2485.00	2558.75	USD	3,687.50	
17AUG17	B	1	PD17NOV17	2465.00	2558.75	USD	2,343.75	
17AUG17	S	9	PD17NOV17	2413.30	2558.75	USD	-32,726.25	
	NET	0AVG	BGHT 2490.83				-17,445.00	0.00
30AUG17	S	1	PD30NOV17	2403.00	2560.30	USD	-3,932.50	
30AUG17	S	1	PD30NOV17	2404.00	2560.30	USD	-3,907.50	
30AUG17	S	1	PD30NOV17	2404.50	2560.30	USD	-3,895.00	
30AUG17	S	1	PD30NOV17	2405.50	2560.30	USD	-3,870.00	
30AUG17	B	3	PD30NOV17	2378.50	2560.30	USD	13,635.00	
31AUG17	B	1	PD30NOV17	2389.00	2560.30	USD	4,282.50	
	NET	0AVG	BGHT 2381.13				2,312.50	0.00
21SEP17	S	1	PD21DEC17	2490.00	2563.50	USD	-1,837.50	
21SEP17	S	3	PD21DEC17	2491.00	2563.50	USD	-5,437.50	
21SEP17	S	1	PD21DEC17	2491.50	2563.50	USD	-1,800.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	S	1	PD21DEC17		2492.50	2563.50	USD	-1,775.00	
21SEP17	B	6	PD21DEC17		2513.20	2563.50	USD	7,545.00	
	NET	0AVG	BGHT 2513.20	SOLD 2491.17				-3,305.00	0.00
25SEP17	B	1	PD27DEC17		2480.00	2563.50	USD	2,087.50	
25SEP17	B	1	PD27DEC17		2494.00	2563.50	USD	1,737.50	
25SEP17	B	1	PD27DEC17		2485.00	2563.50	USD	1,962.50	
25SEP17	S	1	PD27DEC17		2494.00	2563.50	USD	-1,737.50	
25SEP17	S	1	PD27DEC17		2495.00	2563.50	USD	-1,712.50	
25SEP17	S	1	PD27DEC17		2501.50	2563.50	USD	-1,550.00	
	NET	0AVG	BGHT 2486.33	SOLD 2496.83				787.50	0.00
29SEP17	B	1	PD29DEC17		2494.00	2563.70	USD	1,742.50	
29SEP17	B	3	PD29DEC17		2495.00	2563.70	USD	5,152.50	
29SEP17	B	1	PD29DEC17		2495.50	2563.70	USD	1,705.00	
29SEP17	B	1	PD29DEC17		2497.00	2563.70	USD	1,667.50	
29SEP17	B	2	PD29DEC17		2485.50	2563.70	USD	3,910.00	
29SEP17	B	1	PD29DEC17		2486.00	2563.70	USD	1,942.50	
29SEP17	S	1	PD29DEC17		2504.50	2563.70	USD	-1,480.00	
29SEP17	S	2	PD29DEC17		2511.00	2563.70	USD	-2,635.00	
29SEP17	S	6	PD29DEC17		2489.10	2563.70	USD	-11,190.00	
	NET	0AVG	BGHT 2492.06	SOLD 2495.68				815.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17JUL17	S	1	PD17OCT17		9605.00	11064.20	USD	-8,755.20	
17JUL17	S	1	PD17OCT17		9620.00	11064.20	USD	-8,665.20	
17JUL17	S	1	PD17OCT17		9625.00	11064.20	USD	-8,635.20	
17JUL17	S	1	PD17OCT17		9640.00	11064.20	USD	-8,545.20	
17JUL17	B	1	PD17OCT17		9650.00	11064.20	USD	8,485.20	
17JUL17	S	1	PD17OCT17		9645.00	11064.20	USD	-8,515.20	
17JUL17	S	1	PD17OCT17		9660.00	11064.20	USD	-8,425.20	
26JUL17	B	5	PD17OCT17		10042.43	11064.20	USD	30,653.10	
	NET	0AVG	BGHT 9977.03	SOLD 9632.50				-12,402.90	0.00
18JUL17	S	1	PD18OCT17		9630.00	11065.00	USD	-8,610.00	
18JUL17	S	3	PD18OCT17		9635.00	11065.00	USD	-25,740.00	
18JUL17	S	1	PD18OCT17		9640.00	11065.00	USD	-8,550.00	
26JUL17	B	5	PD18OCT17		10043.00	11065.00	USD	30,660.00	
	NET	0AVG	BGHT 10043.00	SOLD 9635.00				-12,240.00	0.00
24JUL17	B	1	PD24OCT17		9635.00	11070.79	USD	8,614.74	
24JUL17	B	1	PD24OCT17		9725.00	11070.79	USD	8,074.74	
24JUL17	S	1	PD24OCT17		9720.00	11070.79	USD	-8,104.74	
24JUL17	S	1	PD24OCT17		9760.00	11070.79	USD	-7,864.74	
	NET	0AVG	BGHT 9680.00	SOLD 9740.00				720.00	0.00
26JUL17	S	1	PD26OCT17		9945.00	11072.71	USD	-6,766.26	
26JUL17	S	1	PD26OCT17		9980.00	11072.71	USD	-6,556.26	
26JUL17	B	1	PD26OCT17		9980.00	11072.71	USD	6,556.26	
26JUL17	S	1	PD26OCT17		9960.00	11072.71	USD	-6,676.26	
16AUG17	B	2	PD26OCT17		10747.15	11072.71	USD	3,906.72	
	NET	0AVG	BGHT 10491.43	SOLD 9961.67				-9,535.80	0.00
28JUL17	B	1	PD27OCT17		10205.00	11073.68	USD	5,212.08	
28JUL17	B	1	PD27OCT17		10210.00	11073.68	USD	5,182.08	
16AUG17	S	2	PD27OCT17		10746.85	11073.68	USD	-3,921.96	
	NET	0AVG	BGHT 10207.50	SOLD 10746.85				6,472.20	0.00
31JUL17	B	1	PD31OCT17		10270.00	11077.54	USD	4,845.24	
31JUL17	B	2	PD31OCT17		10285.00	11077.54	USD	9,510.48	
31JUL17	B	3	PD31OCT17		10280.00	11077.54	USD	14,355.72	
16AUG17	S	6	PD31OCT17		10750.62	11077.54	USD	-11,769.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	10280.00	SOLD	10750.62		16,942.32	0.00
02AUG17	S	2	PD02NOV17		10230.00	11079.46	USD	-10,193.52	
02AUG17	S	1	PD02NOV17		10255.00	11079.46	USD	-4,946.76	
02AUG17	S	2	PD02NOV17		10265.00	11079.46	USD	-9,773.52	
02AUG17	S	1	PD02NOV17		10270.00	11079.46	USD	-4,856.76	
16AUG17	B	6	PD02NOV17		10753.00	11079.46	USD	11,752.56	
	NET	0 AVG	BGHT	10753.00	SOLD	10252.50		-18,018.00	0.00
10AUG17	B	2	PD10NOV17		10880.00	11087.18	USD	2,486.16	
11AUG17	B	1	PD10NOV17		10630.00	11087.18	USD	2,743.08	
17AUG17	S	3	PD10NOV17		10723.63	11087.18	USD	-6,543.90	
	NET	0 AVG	BGHT	10796.67	SOLD	10723.63		-1,314.66	0.00
14AUG17	B	1	PD14NOV17		10635.00	11091.04	USD	2,736.24	
14AUG17	B	1	PD14NOV17		10570.00	11091.04	USD	3,126.24	
14AUG17	B	1	PD14NOV17		10545.00	11091.04	USD	3,276.24	
17AUG17	S	3	PD14NOV17		10726.33	11091.04	USD	-6,564.78	
	NET	0 AVG	BGHT	10583.33	SOLD	10726.33		2,573.94	0.00
16AUG17	S	1	PD16NOV17		10570.00	11092.77	USD	-3,136.62	
16AUG17	S	1	PD16NOV17		10590.00	11092.77	USD	-3,016.62	
16AUG17	S	2	PD16NOV17		10620.00	11092.77	USD	-5,673.24	
16AUG17	S	1	PD16NOV17		10630.00	11092.77	USD	-2,776.62	
16AUG17	S	1	PD16NOV17		10640.00	11092.77	USD	-2,716.62	
17AUG17	B	6	PD16NOV17		10726.00	11092.77	USD	13,203.72	
	NET	0 AVG	BGHT	10726.00	SOLD	10611.67		-4,116.00	0.00
29AUG17	B	1	PD29NOV17		11735.00	11102.80	USD	-3,793.20	
29AUG17	B	1	PD29NOV17		11815.00	11102.80	USD	-4,273.20	
29AUG17	S	1	PD29NOV17		11770.00	11102.80	USD	4,003.20	
29AUG17	S	1	PD29NOV17		11710.00	11102.80	USD	3,643.20	
	NET	0 AVG	BGHT	11775.00	SOLD	11740.00		-420.00	0.00
30AUG17	B	2	PD30NOV17		11595.00	11103.57	USD	-5,897.16	
30AUG17	B	1	PD30NOV17		11590.00	11103.57	USD	-2,918.58	
31AUG17	S	1	PD30NOV17		11665.00	11103.57	USD	3,368.58	
31AUG17	S	1	PD30NOV17		11685.00	11103.57	USD	3,488.58	
31AUG17	S	1	PD30NOV17		11695.00	11103.57	USD	3,548.58	
31AUG17	S	1	PD30NOV17		11725.00	11103.57	USD	3,728.58	
31AUG17	B	1	PD30NOV17		11680.00	11103.57	USD	-3,458.58	
31AUG17	B	1	PD30NOV17		11660.00	11103.57	USD	-3,338.58	
31AUG17	B	3	PD30NOV17		11665.00	11103.57	USD	-10,105.74	
31AUG17	S	3	PD30NOV17		11675.00	11103.57	USD	10,285.74	
31AUG17	B	3	PD30NOV17		11675.00	11103.57	USD	-10,285.74	
31AUG17	B	1	PD30NOV17		11670.00	11103.57	USD	-3,398.58	
31AUG17	S	1	PD30NOV17		11670.00	11103.57	USD	3,398.58	
31AUG17	S	2	PD30NOV17		11680.00	11103.57	USD	6,917.16	
31AUG17	S	1	PD30NOV17		11770.00	11103.57	USD	3,998.58	
31AUG17	S	1	PD30NOV17		11800.00	11103.57	USD	4,178.58	
	NET	0 AVG	BGHT	11650.83	SOLD	11699.58		3,510.00	0.00
08SEP17	B	3	PD08DEC17		11750.00	11109.74	USD	-11,524.68	
08SEP17	B	1	PD08DEC17		11745.00	11109.74	USD	-3,811.56	
08SEP17	B	1	PD08DEC17		11605.00	11109.74	USD	-2,971.56	
08SEP17	B	1	PD08DEC17		11655.00	11109.74	USD	-3,271.56	
08SEP17	B	1	PD08DEC17		11555.00	11109.74	USD	-2,671.56	
08SEP17	B	1	PD08DEC17		11505.00	11109.74	USD	-2,371.56	
13SEP17	S	8	PD08DEC17		11356.46	11109.74	USD	11,842.56	
	NET	0 AVG	BGHT	11664.38	SOLD	11356.46		-14,779.92	0.00
11SEP17	S	1	PD11DEC17		11725.00	11112.06	USD	3,677.64	
11SEP17	S	1	PD11DEC17		11755.00	11112.06	USD	3,857.64	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	11715.00	11112.06	USD	3,617.64	
11SEP17	S	2	PD11DEC17	11655.00	11112.06	USD	6,515.28	
11SEP17	S	1	PD11DEC17	11645.00	11112.06	USD	3,197.64	
11SEP17	S	1	PD11DEC17	11660.00	11112.06	USD	3,287.64	
11SEP17	S	1	PD11DEC17	11740.00	11112.06	USD	3,767.64	
13SEP17	B	8	PD11DEC17	11360.00	11112.06	USD	-11,901.12	
	NET	0 AVG BGHT	11360.00	SOLD	11693.75		16,020.00	0.00
25SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
25SEP17	S	1	PD27DEC17	10485.00	11124.09	USD	-3,834.54	
	NET	0 AVG BGHT	10500.00	SOLD	10485.00		-90.00	0.00
29SEP17	S	1	PD29DEC17	10425.00	11125.55	USD	-4,203.30	
29SEP17	B	1	PD29DEC17	10620.00	11125.55	USD	3,033.30	
	NET	0 AVG BGHT	10620.00	SOLD	10425.00		-1,170.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD13OCT17	2829.50	3295.00	USD	-11,637.50	
14JUL17	S	2	PD13OCT17	2791.50	3295.00	USD	-25,175.00	
26JUL17	B	3	PD13OCT17	2807.93	3295.00	USD	36,530.25	
	NET	0 AVG BGHT	2807.93	SOLD	2804.17		-282.25	0.00
17JUL17	B	1	PD17OCT17	2840.00	3286.33	USD	11,158.25	
17JUL17	S	2	PD17OCT17	2835.50	3286.33	USD	-22,541.50	
17JUL17	S	2	PD17OCT17	2839.50	3286.33	USD	-22,341.50	
17JUL17	B	3	PD17OCT17	2818.50	3286.33	USD	35,087.25	
17JUL17	B	2	PD17OCT17	2819.00	3286.33	USD	23,366.50	
17JUL17	S	1	PD17OCT17	2843.00	3286.33	USD	-11,083.25	
17JUL17	S	2	PD17OCT17	2844.00	3286.33	USD	-22,116.50	
17JUL17	S	1	PD17OCT17	2844.50	3286.33	USD	-11,045.75	
17JUL17	S	1	PD17OCT17	2840.00	3286.33	USD	-11,158.25	
17JUL17	S	1	PD17OCT17	2841.00	3286.33	USD	-11,133.25	
26JUL17	B	4	PD17OCT17	2808.79	3286.33	USD	47,754.00	
	NET	0 AVG BGHT	2816.87	SOLD	2840.65		5,946.00	0.00
18JUL17	B	2	PD18OCT17	2770.50	3282.00	USD	25,575.00	
18JUL17	B	3	PD18OCT17	2771.00	3282.00	USD	38,325.00	
18JUL17	B	3	PD18OCT17	2771.50	3282.00	USD	38,287.50	
18JUL17	B	1	PD18OCT17	2773.50	3282.00	USD	12,712.50	
18JUL17	B	1	PD18OCT17	2775.50	3282.00	USD	12,662.50	
18JUL17	B	2	PD18OCT17	2776.00	3282.00	USD	25,300.00	
18JUL17	B	1	PD18OCT17	2772.50	3282.00	USD	12,737.50	
18JUL17	B	1	PD18OCT17	2773.00	3282.00	USD	12,725.00	
18JUL17	B	1	PD18OCT17	2774.00	3282.00	USD	12,700.00	
18JUL17	B	3	PD18OCT17	2774.50	3282.00	USD	38,062.50	
18JUL17	S	1	PD18OCT17	2784.50	3282.00	USD	-12,437.50	
18JUL17	S	2	PD18OCT17	2788.50	3282.00	USD	-24,675.00	
18JUL17	S	1	PD18OCT17	2793.00	3282.00	USD	-12,225.00	
18JUL17	S	1	PD18OCT17	2794.50	3282.00	USD	-12,187.50	
18JUL17	S	2	PD18OCT17	2794.00	3282.00	USD	-24,400.00	
18JUL17	S	3	PD18OCT17	2793.50	3282.00	USD	-36,637.50	
18JUL17	S	5	PD18OCT17	2792.00	3282.00	USD	-61,250.00	
18JUL17	S	4	PD18OCT17	2792.50	3282.00	USD	-48,950.00	
18JUL17	S	1	PD18OCT17	2789.00	3282.00	USD	-12,325.00	
18JUL17	S	1	PD18OCT17	2790.00	3282.00	USD	-12,300.00	
26JUL17	B	3	PD18OCT17	2809.00	3282.00	USD	35,475.00	
	NET	0 AVG BGHT	2778.07	SOLD	2791.74		7,175.00	0.00
20JUL17	B	1	PD20OCT17	2738.50	3273.00	USD	13,362.50	
20JUL17	B	1	PD20OCT17	2740.50	3273.00	USD	13,312.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AA

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD200CT17	2735.50	3273.00	USD	26,875.00	
20JUL17	B	1	PD200CT17	2730.00	3273.00	USD	13,575.00	
20JUL17	B	2	PD200CT17	2728.00	3273.00	USD	27,250.00	
20JUL17	B	2	PD200CT17	2725.50	3273.00	USD	27,375.00	
20JUL17	B	6	PD200CT17	2726.50	3273.00	USD	81,975.00	
21JUL17	B	1	PD200CT17	2754.00	3273.00	USD	12,975.00	
21JUL17	B	1	PD200CT17	2767.50	3273.00	USD	12,637.50	
21JUL17	B	1	PD200CT17	2763.00	3273.00	USD	12,750.00	
21JUL17	B	1	PD200CT17	2770.50	3273.00	USD	12,562.50	
21JUL17	B	1	PD200CT17	2766.50	3273.00	USD	12,662.50	
21JUL17	B	1	PD200CT17	2767.00	3273.00	USD	12,650.00	
21JUL17	B	1	PD200CT17	2755.00	3273.00	USD	12,950.00	
21JUL17	B	3	PD200CT17	2743.00	3273.00	USD	39,750.00	
21JUL17	B	3	PD200CT17	2743.50	3273.00	USD	39,712.50	
26JUL17	S	7	PD200CT17	2807.14	3273.00	USD	-81,525.50	
26JUL17	S	21	PD200CT17	2806.94	3273.00	USD	-244,681.50	
	NET	0 AVG BGHT 2741.04	SOLD 2806.99				46,168.00	0.00
24JUL17	B	1	PD240CT17	2778.00	3267.00	USD	12,225.00	
24JUL17	B	1	PD240CT17	2778.50	3267.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2776.00	3267.00	USD	12,275.00	
24JUL17	B	3	PD240CT17	2775.50	3267.00	USD	36,862.50	
24JUL17	B	1	PD240CT17	2780.00	3267.00	USD	12,175.00	
24JUL17	B	3	PD240CT17	2777.50	3267.00	USD	36,712.50	
24JUL17	B	1	PD240CT17	2774.00	3267.00	USD	12,325.00	
24JUL17	B	2	PD240CT17	2784.00	3267.00	USD	24,150.00	
24JUL17	B	2	PD240CT17	2783.00	3267.00	USD	24,200.00	
24JUL17	B	2	PD240CT17	2783.50	3267.00	USD	24,175.00	
24JUL17	S	2	PD240CT17	2787.00	3267.00	USD	-24,000.00	
24JUL17	S	2	PD240CT17	2787.50	3267.00	USD	-23,975.00	
16AUG17	S	13	PD240CT17	3117.96	3267.00	USD	-48,438.00	
	NET	0 AVG BGHT 2779.21	SOLD 3040.15				110,899.50	0.00
25JUL17	S	1	PD250CT17	2832.00	3264.00	USD	-10,800.00	
25JUL17	S	3	PD250CT17	2831.50	3264.00	USD	-32,437.50	
25JUL17	S	2	PD250CT17	2832.50	3264.00	USD	-21,575.00	
25JUL17	S	2	PD250CT17	2838.00	3264.00	USD	-21,300.00	
25JUL17	S	1	PD250CT17	2845.50	3264.00	USD	-10,462.50	
25JUL17	S	1	PD250CT17	2846.50	3264.00	USD	-10,437.50	
25JUL17	B	2	PD250CT17	2837.50	3264.00	USD	21,325.00	
25JUL17	S	1	PD250CT17	2842.00	3264.00	USD	-10,550.00	
26JUL17	B	7	PD250CT17	2808.06	3264.00	USD	79,789.50	
16AUG17	B	2	PD250CT17	3118.28	3264.00	USD	7,286.00	
	NET	0 AVG BGHT 2869.82	SOLD 2836.50				-9,162.00	0.00
27JUL17	S	1	PD270CT17	2822.00	3260.67	USD	-10,966.75	
27JUL17	S	2	PD270CT17	2825.00	3260.67	USD	-21,783.50	
27JUL17	S	1	PD270CT17	2830.50	3260.67	USD	-10,754.25	
27JUL17	S	1	PD270CT17	2830.00	3260.67	USD	-10,766.75	
27JUL17	S	1	PD270CT17	2824.00	3260.67	USD	-10,916.75	
28JUL17	S	2	PD270CT17	2789.00	3260.67	USD	-23,583.50	
28JUL17	S	1	PD270CT17	2793.00	3260.67	USD	-11,691.75	
28JUL17	S	1	PD270CT17	2794.00	3260.67	USD	-11,666.75	
28JUL17	S	1	PD270CT17	2783.00	3260.67	USD	-11,941.75	
28JUL17	S	4	PD270CT17	2792.00	3260.67	USD	-46,867.00	
28JUL17	S	2	PD270CT17	2795.00	3260.67	USD	-23,283.50	
16AUG17	B	17	PD270CT17	3118.51	3260.67	USD	60,418.00	
	NET	0 AVG BGHT 3118.51	SOLD 2803.68				-133,804.25	0.00
07AUG17	S	1	PD07NOV17	2832.50	3250.67	USD	-10,454.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2833.00	3250.67	USD	-10,441.75	
16AUG17	B	2	PD07NOV17	3119.78	3250.67	USD	6,544.50	
	NET	0AVG BGHT 3119.78	SOLD 2832.75				-14,351.50	0.00
16AUG17	S	1	PD16NOV17	3032.00	3241.50	USD	-5,237.50	
16AUG17	S	2	PD16NOV17	3032.50	3241.50	USD	-10,450.00	
17AUG17	B	3	PD16NOV17	3062.45	3241.50	USD	13,428.75	
	NET	0AVG BGHT 3062.45	SOLD 3032.33				-2,258.75	0.00
29AUG17	B	1	PD29NOV17	3093.00	3234.00	USD	3,525.00	
29AUG17	B	1	PD29NOV17	3110.00	3234.00	USD	3,100.00	
29AUG17	B	1	PD29NOV17	3095.50	3234.00	USD	3,462.50	
29AUG17	B	2	PD29NOV17	3111.00	3234.00	USD	6,150.00	
29AUG17	B	1	PD29NOV17	3097.50	3234.00	USD	3,412.50	
29AUG17	B	1	PD29NOV17	3099.00	3234.00	USD	3,375.00	
29AUG17	B	1	PD29NOV17	3090.50	3234.00	USD	3,587.50	
29AUG17	B	1	PD29NOV17	3091.50	3234.00	USD	3,562.50	
29AUG17	B	1	PD29NOV17	3117.00	3234.00	USD	2,925.00	
29AUG17	B	1	PD29NOV17	3118.00	3234.00	USD	2,900.00	
29AUG17	B	1	PD29NOV17	3122.00	3234.00	USD	2,800.00	
29AUG17	B	4	PD29NOV17	3113.50	3234.00	USD	12,050.00	
29AUG17	B	2	PD29NOV17	3115.00	3234.00	USD	5,950.00	
29AUG17	B	3	PD29NOV17	3114.50	3234.00	USD	8,962.50	
29AUG17	B	2	PD29NOV17	3119.00	3234.00	USD	5,750.00	
29AUG17	B	1	PD29NOV17	3119.50	3234.00	USD	2,862.50	
29AUG17	B	1	PD29NOV17	3122.50	3234.00	USD	2,787.50	
29AUG17	S	25	PD29NOV17	3109.80	3234.00	USD	-77,625.00	
	NET	0AVG BGHT 3110.54	SOLD 3109.80				-462.50	0.00
30AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
30AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
30AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
30AUG17	S	1	PD30NOV17	3124.00	3233.67	USD	-2,741.75	
30AUG17	S	2	PD30NOV17	3083.00	3233.67	USD	-7,533.50	
30AUG17	S	1	PD30NOV17	3085.00	3233.67	USD	-3,716.75	
31AUG17	S	1	PD30NOV17	3119.00	3233.67	USD	-2,866.75	
31AUG17	S	1	PD30NOV17	3119.50	3233.67	USD	-2,854.25	
31AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
31AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
31AUG17	S	1	PD30NOV17	3135.50	3233.67	USD	-2,454.25	
31AUG17	S	1	PD30NOV17	3136.00	3233.67	USD	-2,441.75	
31AUG17	S	1	PD30NOV17	3141.00	3233.67	USD	-2,316.75	
05SEP17	B	14	PD30NOV17	3139.63	3233.67	USD	32,914.00	
	NET	0AVG BGHT 3139.63	SOLD 3118.64				-7,345.50	0.00
08SEP17	S	1	PD08DEC17	3092.00	3231.67	USD	-3,491.75	
08SEP17	S	1	PD08DEC17	3095.00	3231.67	USD	-3,416.75	
08SEP17	B	2	PD08DEC17	3071.50	3231.67	USD	8,008.50	
08SEP17	S	2	PD08DEC17	3069.50	3231.67	USD	-8,108.50	
08SEP17	S	2	PD08DEC17	3074.00	3231.67	USD	-7,883.50	
08SEP17	B	2	PD08DEC17	3031.00	3231.67	USD	10,033.50	
08SEP17	B	1	PD08DEC17	3032.00	3231.67	USD	4,991.75	
08SEP17	B	1	PD08DEC17	3033.00	3231.67	USD	4,966.75	
08SEP17	B	4	PD08DEC17	3029.00	3231.67	USD	20,267.00	
08SEP17	B	5	PD08DEC17	3028.00	3231.67	USD	25,458.75	
13SEP17	S	9	PD08DEC17	3023.55	3231.67	USD	-46,827.00	
	NET	0AVG BGHT 3035.07	SOLD 3045.73				3,998.75	0.00
11SEP17	S	2	PD11DEC17	3073.00	3231.33	USD	-7,916.50	
11SEP17	S	2	PD11DEC17	3074.00	3231.33	USD	-7,866.50	
11SEP17	S	2	PD11DEC17	3076.50	3231.33	USD	-7,741.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	3	PD11DEC17	3093.00	3231.33	USD	-10,374.75	
14SEP17	B	9	PD11DEC17	3005.57	3231.33	USD	50,796.00	
	NET	0 AVG	BGHT 3005.57				16,896.75	0.00
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	
12SEP17	S	1	PD12DEC17	3081.00	3231.00	USD	-3,750.00	
12SEP17	S	1	PD12DEC17	3086.00	3231.00	USD	-3,625.00	
12SEP17	S	2	PD12DEC17	3080.00	3231.00	USD	-7,550.00	
12SEP17	S	1	PD12DEC17	3088.50	3231.00	USD	-3,562.50	
12SEP17	B	2	PD12DEC17	3068.00	3231.00	USD	8,150.00	
12SEP17	B	2	PD12DEC17	3069.00	3231.00	USD	8,100.00	
12SEP17	B	2	PD12DEC17	3064.00	3231.00	USD	8,350.00	
12SEP17	S	2	PD12DEC17	3082.50	3231.00	USD	-7,425.00	
12SEP17	B	2	PD12DEC17	3062.50	3231.00	USD	8,425.00	
12SEP17	B	1	PD12DEC17	3063.00	3231.00	USD	4,200.00	
12SEP17	B	1	PD12DEC17	3063.50	3231.00	USD	4,187.50	
12SEP17	B	1	PD12DEC17	3065.00	3231.00	USD	4,150.00	
12SEP17	B	4	PD12DEC17	3064.50	3231.00	USD	16,650.00	
12SEP17	S	2	PD12DEC17	3064.00	3231.00	USD	-8,350.00	
14SEP17	S	5	PD12DEC17	3005.51	3231.00	USD	-28,186.25	
	NET	0 AVG	BGHT 3065.10				-3,961.25	0.00
13SEP17	B	1	PD13DEC17	3035.00	3230.67	USD	4,891.75	
13SEP17	B	1	PD13DEC17	3036.00	3230.67	USD	4,866.75	
13SEP17	B	2	PD13DEC17	3033.00	3230.67	USD	9,883.50	
13SEP17	S	2	PD13DEC17	3039.50	3230.67	USD	-9,558.50	
13SEP17	S	1	PD13DEC17	3038.50	3230.67	USD	-4,804.25	
13SEP17	S	1	PD13DEC17	3039.00	3230.67	USD	-4,791.75	
	NET	0 AVG	BGHT 3034.25				487.50	0.00
14SEP17	B	3	PD14DEC17	2998.00	3230.33	USD	17,424.75	
14SEP17	B	3	PD14DEC17	2997.00	3230.33	USD	17,499.75	
14SEP17	B	3	PD14DEC17	2996.00	3230.33	USD	17,574.75	
14SEP17	S	9	PD14DEC17	3005.80	3230.33	USD	-50,519.25	
	NET	0 AVG	BGHT 2997.00				1,980.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	102.25
LME Copper Grade A 25	FUTURES	7,353.75
LME Lead 25 tonnes. This is an	FUTURES	-39,193.50
LME Nickel 6 tonnes. This is	FUTURES	-27,848.82
LME Zinc 25 tonnes. This is	FUTURES	21,923.50

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	37,662.82	37,662.82
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	37,662.82	37,662.82
Initial Margins	-37,662.82	-37,662.82
Excess/(Shortage)	0.00	0.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-37,662.82	-37,662.82
Net Liquidating Value	0.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD120CT17	2317.00	2552.35	USD	5,883.75	
12JUL17	S 1 PD120CT17	2322.00	2552.35	USD	-5,758.75	
	NET 0AVG BGHT 2317.00 SOLD 2322.00				125.00	0.00
13JUL17	B 1 PD130CT17	2314.00	2552.75	USD	5,968.75	
14JUL17	S 1 PD130CT17	2282.50	2552.75	USD	-6,756.25	
	NET 0AVG BGHT 2314.00 SOLD 2282.50				-787.50	0.00
17JUL17	B 1 PD170CT17	2326.00	2554.35	USD	5,708.75	
27JUL17	S 1 PD170CT17	2308.32	2554.35	USD	-6,150.75	
	NET 0AVG BGHT 2326.00 SOLD 2308.32				-442.00	0.00
18JUL17	S 1 PD180CT17	2293.00	2554.75	USD	-6,543.75	
18JUL17	B 1 PD180CT17	2270.00	2554.75	USD	7,118.75	
	NET 0AVG BGHT 2270.00 SOLD 2293.00				575.00	0.00
20JUL17	S 1 PD200CT17	2213.50	2555.04	USD	-8,538.50	
27JUL17	B 1 PD200CT17	2308.11	2555.04	USD	6,173.25	
	NET 0AVG BGHT 2308.11 SOLD 2213.50				-2,365.25	0.00
24JUL17	B 1 PD240CT17	2248.50	2555.61	USD	7,677.75	
24JUL17	S 1 PD240CT17	2255.00	2555.61	USD	-7,515.25	
	NET 0AVG BGHT 2248.50 SOLD 2255.00				162.50	0.00
25JUL17	B 1 PD250CT17	2276.00	2555.75	USD	6,993.75	
25JUL17	S 1 PD250CT17	2313.00	2555.75	USD	-6,068.75	
	NET 0AVG BGHT 2276.00 SOLD 2313.00				925.00	0.00
23AUG17	B 3 PD24NOV17	2416.00	2559.75	USD	10,781.25	
	NET +3AVG BGHT 2416.00				10,781.25	0.00
21SEP17	S 1 PD21DEC17	2468.50	2563.50	USD	-2,375.00	
21SEP17	S 2 PD21DEC17	2470.00	2563.50	USD	-4,675.00	
	NET -3AVG SOLD 2469.50				-7,050.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD130CT17	9310.00	11061.00	USD	-10,506.00	
27JUL17	B 1 PD130CT17	10128.14	11061.00	USD	5,597.16	
	NET 0AVG BGHT 10128.14 SOLD 9310.00				-4,908.84	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 2 PD120CT17	2823.50	3299.33	USD	-23,791.50	
12JUL17	S 1 PD120CT17	2830.00	3299.33	USD	-11,733.25	
12JUL17	S 1 PD120CT17	2842.00	3299.33	USD	-11,433.25	
12JUL17	S 1 PD120CT17	2857.50	3299.33	USD	-11,045.75	
12JUL17	S 1 PD120CT17	2860.50	3299.33	USD	-10,970.75	
12JUL17	S 1 PD120CT17	2861.50	3299.33	USD	-10,945.75	
12JUL17	S 1 PD120CT17	2859.00	3299.33	USD	-11,008.25	
12JUL17	B 1 PD120CT17	2844.00	3299.33	USD	11,383.25	
12JUL17	B 1 PD120CT17	2824.50	3299.33	USD	11,870.75	
27JUL17	B 6 PD120CT17	2799.46	3299.33	USD	74,980.50	
	NET 0AVG BGHT 2808.16 SOLD 2844.69				7,306.00	0.00
13JUL17	S 1 PD130CT17	2834.00	3295.00	USD	-11,525.00	
13JUL17	B 1 PD130CT17	2832.00	3295.00	USD	11,575.00	
13JUL17	B 1 PD130CT17	2833.50	3295.00	USD	11,537.50	
13JUL17	B 2 PD130CT17	2835.50	3295.00	USD	22,975.00	
13JUL17	B 2 PD130CT17	2801.00	3295.00	USD	24,700.00	
13JUL17	B 2 PD130CT17	2805.00	3295.00	USD	24,500.00	
14JUL17	B 1 PD130CT17	2782.50	3295.00	USD	12,812.50	
14JUL17	S 2 PD130CT17	2779.50	3295.00	USD	-25,775.00	
27JUL17	S 6 PD130CT17	2799.56	3295.00	USD	-74,316.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2814.56	SOLD 2798.93				-3,516.00	0.00
17JUL17	S	1 PD17OCT17		2832.50	3286.33	USD	-11,345.75	
17JUL17	S	1 PD17OCT17		2836.50	3286.33	USD	-11,245.75	
17JUL17	S	1 PD17OCT17		2840.00	3286.33	USD	-11,158.25	
17JUL17	S	2 PD17OCT17		2828.50	3286.33	USD	-22,891.50	
27JUL17	B	5 PD17OCT17		2800.95	3286.33	USD	60,672.50	
	NET	0 AVG BGHT 2800.95	SOLD 2833.20				4,031.25	0.00
18JUL17	B	1 PD18OCT17		2798.00	3282.00	USD	12,100.00	
18JUL17	B	2 PD18OCT17		2780.00	3282.00	USD	25,100.00	
18JUL17	S	1 PD18OCT17		2784.00	3282.00	USD	-12,450.00	
27JUL17	S	2 PD18OCT17		2801.05	3282.00	USD	-24,047.50	
	NET	0 AVG BGHT 2786.00	SOLD 2795.37				702.50	0.00
20JUL17	B	1 PD20OCT17		2724.50	3273.00	USD	13,712.50	
20JUL17	B	2 PD20OCT17		2729.00	3273.00	USD	27,200.00	
27JUL17	S	3 PD20OCT17		2798.80	3273.00	USD	-35,565.00	
	NET	0 AVG BGHT 2727.50	SOLD 2798.80				5,347.50	0.00
24JUL17	S	1 PD24OCT17		2780.00	3267.00	USD	-12,175.00	
24JUL17	S	1 PD24OCT17		2776.00	3267.00	USD	-12,275.00	
24JUL17	B	2 PD24OCT17		2777.00	3267.00	USD	24,500.00	
	NET	0 AVG BGHT 2777.00	SOLD 2778.00				50.00	0.00
25JUL17	S	1 PD25OCT17		2807.00	3264.00	USD	-11,425.00	
25JUL17	B	1 PD25OCT17		2836.50	3264.00	USD	10,687.50	
	NET	0 AVG BGHT 2836.50	SOLD 2807.00				-737.50	0.00
20SEP17	S	3 PD20DEC17		3146.50	3229.00	USD	-6,187.50	
	NET	-3 AVG SOLD 3146.50					-6,187.50	0.00
21SEP17	B	1 PD21DEC17		3079.50	3225.00	USD	3,637.50	
21SEP17	B	1 PD21DEC17		3082.00	3225.00	USD	3,575.00	
21SEP17	B	1 PD21DEC17		3082.50	3225.00	USD	3,562.50	
	NET	+3 AVG BGHT 3081.33					10,775.00	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	2,205.25
LME Nickel 6 tonnes. This is FUTURES	-4,908.84
LME Zinc 25 tonnes. This is FUTURES	18,071.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	1,924.00	1,924.00		
NKL - Futures USD	-4,908.84	-4,908.84		
ZINC - Futures USD	17,771.25	17,771.25	14,786.41	14,786.41

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AB

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-15,255.16	-15,255.16
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-15,255.16	-15,255.16
Initial Margins	0.00	0.00
Excess/(Shortage)	-15,255.16	-15,255.16
FOR INFORMATION ONLY		
Forward Unrealised P&L	14,786.41	14,786.41
Net Liquidating Value	-468.75	-468.75

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD13OCT17	1941.00	2104.75	USD	-4,093.75	
13JUL17	S	1	PD13OCT17	1934.00	2104.75	USD	-4,268.75	
20JUL17	B	2	PD13OCT17	1916.02	2104.75	USD	9,436.50	
	NET	0 AVG BGHT	1916.02				1,074.00	0.00
01AUG17	B	1	PD01NOV17	1906.00	2110.81	USD	5,120.25	
	NET	+1 AVG BGHT	1906.00				5,120.25	0.00
02AUG17	S	1	PD02NOV17	1912.00	2111.13	USD	-4,978.25	
02AUG17	B	1	PD02NOV17	1926.50	2111.13	USD	4,615.75	
	NET	0 AVG BGHT	1926.50				-362.50	0.00
07AUG17	S	1	PD07NOV17	1909.50	2112.69	USD	-5,079.75	
07AUG17	B	1	PD07NOV17	1924.50	2112.69	USD	4,704.75	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.25	
07AUG17	B	1	PD07NOV17	1934.00	2112.69	USD	4,467.25	
07AUG17	S	1	PD07NOV17	1944.00	2112.69	USD	-4,217.25	
07AUG17	B	1	PD07NOV17	1955.00	2112.69	USD	3,942.25	
07AUG17	S	1	PD07NOV17	1965.00	2112.69	USD	-3,692.25	
	NET	-1 AVG BGHT	1937.83				-4,467.25	0.00
09AUG17	B	1	PD09NOV17	2036.00	2113.46	USD	1,936.50	
09AUG17	S	1	PD09NOV17	2034.00	2113.46	USD	-1,986.50	
	NET	0 AVG BGHT	2036.00				-50.00	0.00
16AUG17	B	1	PD16NOV17	2060.50	2116.56	USD	1,401.50	
16AUG17	S	1	PD16NOV17	2083.50	2116.56	USD	-826.50	
	NET	0 AVG BGHT	2060.50				575.00	0.00
17AUG17	B	1	PD17NOV17	2097.50	2116.87	USD	484.25	
18AUG17	B	2	PD17NOV17	2068.00	2116.87	USD	2,443.50	
18AUG17	S	3	PD17NOV17	2064.00	2116.87	USD	-3,965.25	
	NET	0 AVG BGHT	2077.83				-1,037.50	0.00
23AUG17	B	1	PD24NOV17	2073.00	2119.03	USD	1,150.75	
23AUG17	S	1	PD24NOV17	2073.00	2119.03	USD	-1,150.75	
	NET	0 AVG BGHT	2073.00				0.00	0.00
30AUG17	B	1	PD30NOV17	2093.00	2120.88	USD	697.00	
30AUG17	S	1	PD30NOV17	2094.00	2120.88	USD	-672.00	
31AUG17	B	1	PD30NOV17	2118.50	2120.88	USD	59.50	
	NET	+1 AVG BGHT	2105.75				84.50	0.00
01SEP17	S	1	PD01DEC17	2127.00	2121.19	USD	145.25	
	NET	-1 AVG SOLD	2127.00				145.25	0.00
04SEP17	B	1	PD04DEC17	2117.50	2122.12	USD	115.50	
	NET	+1 AVG BGHT	2117.50				115.50	0.00
05SEP17	S	1	PD05DEC17	2104.00	2122.43	USD	-460.75	
	NET	-1 AVG SOLD	2104.00				-460.75	0.00
18SEP17	B	1	PD18DEC17	2092.50	2126.44	USD	848.50	
18SEP17	S	1	PD18DEC17	2090.00	2126.44	USD	-911.00	
18SEP17	B	1	PD18DEC17	2092.00	2126.44	USD	861.00	
	NET	+1 AVG BGHT	2092.25				798.50	0.00
19SEP17	S	1	PD19DEC17	2106.50	2126.75	USD	-506.25	
	NET	-1 AVG SOLD	2106.50				-506.25	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6805.00	6783.72	USD	-532.00	
31AUG17	S	1	PD30NOV17	6864.50	6783.72	USD	2,019.50	
31AUG17	B	1	PD30NOV17	6837.00	6783.72	USD	-1,332.00	
31AUG17	S	1	PD30NOV17	6820.00	6783.72	USD	907.00	
	NET	0 AVG BGHT	6821.00				1,062.50	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
07SEP17	B 1 PD07DEC17	6868.00	6787.23	USD	-2,019.25	
07SEP17	S 1 PD07DEC17	6875.00	6787.23	USD	2,194.25	
	NET 0 AVG BGHT 6868.00 SOLD 6875.00				175.00	0.00
12SEP17	B 1 PD12DEC17	6690.50	6789.63	USD	2,478.25	
12SEP17	S 1 PD12DEC17	6643.00	6789.63	USD	-3,665.75	
	NET 0 AVG BGHT 6690.50 SOLD 6643.00				-1,187.50	0.00
20SEP17	B 1 PD20DEC17	6555.50	6792.50	USD	5,925.00	
	NET +1 AVG BGHT 6555.50				5,925.00	0.00
10OCT17	S 1 PD10JAN18	6698.00	6799.53	USD	-2,538.25	
	NET -1 AVG SOLD 6698.00				-2,538.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2325.00	2554.35	USD	5,733.75	
17JUL17	B 1 PD17OCT17	2332.00	2554.35	USD	5,558.75	
17JUL17	S 1 PD17OCT17	2319.50	2554.35	USD	-5,871.25	
06OCT17	S 1 PD17OCT17	2519.13	2554.35	USD	-880.50	
	NET 0 AVG BGHT 2328.50 SOLD 2419.32				4,540.75	0.00
18JUL17	S 1 PD18OCT17	2285.00	2554.75	USD	-6,743.75	
18JUL17	B 1 PD18OCT17	2273.00	2554.75	USD	7,043.75	
	NET 0 AVG BGHT 2273.00 SOLD 2285.00				300.00	0.00
20JUL17	S 1 PD20OCT17	2214.00	2555.04	USD	-8,526.00	
21JUL17	B 1 PD20OCT17	2242.00	2555.04	USD	7,826.00	
21JUL17	S 1 PD20OCT17	2229.00	2555.04	USD	-8,151.00	
06OCT17	B 1 PD20OCT17	2520.11	2555.04	USD	873.25	
	NET 0 AVG BGHT 2381.06 SOLD 2221.50				-7,977.75	0.00
24JUL17	B 1 PD24OCT17	2244.00	2555.61	USD	7,790.25	
24JUL17	S 1 PD24OCT17	2256.00	2555.61	USD	-7,490.25	
	NET 0 AVG BGHT 2244.00 SOLD 2256.00				300.00	0.00
25JUL17	B 1 PD25OCT17	2275.00	2555.75	USD	7,018.75	
25JUL17	S 1 PD25OCT17	2313.00	2555.75	USD	-6,068.75	
	NET 0 AVG BGHT 2275.00 SOLD 2313.00				950.00	0.00
26JUL17	S 1 PD26OCT17	2323.00	2555.89	USD	-5,822.25	
06OCT17	B 1 PD26OCT17	2521.34	2555.89	USD	863.75	
	NET 0 AVG BGHT 2521.34 SOLD 2323.00				-4,958.50	0.00
01AUG17	S 1 PD01NOV17	2335.00	2556.75	USD	-5,543.75	
	NET -1 AVG SOLD 2335.00				-5,543.75	0.00
02AUG17	B 1 PD02NOV17	2328.00	2556.89	USD	5,722.25	
	NET +1 AVG BGHT 2328.00				5,722.25	0.00
08AUG17	B 1 PD08NOV17	2356.00	2557.75	USD	5,043.75	
	NET +1 AVG BGHT 2356.00				5,043.75	0.00
09AUG17	B 1 PD09NOV17	2397.00	2557.89	USD	4,022.25	
	NET +1 AVG BGHT 2397.00				4,022.25	0.00
10AUG17	S 1 PD10NOV17	2388.50	2558.04	USD	-4,238.50	
11AUG17	B 1 PD10NOV17	2351.00	2558.04	USD	5,176.00	
11AUG17	S 1 PD10NOV17	2350.50	2558.04	USD	-5,188.50	
11AUG17	B 1 PD10NOV17	2347.00	2558.04	USD	5,276.00	
	NET 0 AVG BGHT 2349.00 SOLD 2369.50				1,025.00	0.00
16AUG17	B 1 PD16NOV17	2448.50	2558.75	USD	2,756.25	
16AUG17	S 1 PD16NOV17	2444.50	2558.75	USD	-2,856.25	
	NET 0 AVG BGHT 2448.50 SOLD 2444.50				-100.00	0.00
18AUG17	S 1 PD17NOV17	2430.00	2558.75	USD	-3,218.75	
18AUG17	S 1 PD17NOV17	2371.00	2558.75	USD	-4,693.75	

OPEN POSITION STATEMENT



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SAP096AC

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	B 4 PD17NOV17	2366.00	2558.75	USD	19,275.00	
18AUG17	B 2 PD17NOV17	2364.00	2558.75	USD	9,737.50	
18AUG17	B 4 PD17NOV17	2371.00	2558.75	USD	18,775.00	
	NET +8 AVG BGHT 2367.60 SOLD 2400.50				39,875.00	0.00
21AUG17	B 1 PD21NOV17	2345.00	2559.32	USD	5,358.00	
	NET +1 AVG BGHT 2345.00				5,358.00	0.00
22AUG17	S 1 PD22NOV17	2365.50	2559.46	USD	-4,849.00	
22AUG17	B 1 PD22NOV17	2329.00	2559.46	USD	5,761.50	
22AUG17	S 1 PD22NOV17	2357.00	2559.46	USD	-5,061.50	
22AUG17	S 3 PD22NOV17	2391.00	2559.46	USD	-12,634.50	
	NET -4 AVG BGHT 2329.00 SOLD 2379.10				-16,783.50	0.00
23AUG17	S 3 PD24NOV17	2416.00	2559.75	USD	-10,781.25	
24AUG17	B 1 PD24NOV17	2362.50	2559.75	USD	4,931.25	
24AUG17	S 1 PD24NOV17	2355.00	2559.75	USD	-5,118.75	
	NET -3 AVG BGHT 2362.50 SOLD 2400.75				-10,968.75	0.00
29AUG17	S 1 PD29NOV17	2402.00	2560.20	USD	-3,955.00	
29AUG17	B 1 PD29NOV17	2392.50	2560.20	USD	4,192.50	
29AUG17	S 1 PD29NOV17	2397.00	2560.20	USD	-4,080.00	
29AUG17	B 1 PD29NOV17	2383.00	2560.20	USD	4,430.00	
	NET 0 AVG BGHT 2387.75 SOLD 2399.50				587.50	0.00
31AUG17	B 1 PD30NOV17	2390.00	2560.30	USD	4,257.50	
31AUG17	S 1 PD30NOV17	2388.50	2560.30	USD	-4,295.00	
	NET 0 AVG BGHT 2390.00 SOLD 2388.50				-37.50	0.00
07SEP17	B 1 PD07DEC17	2332.00	2561.25	USD	5,731.25	
07SEP17	S 1 PD07DEC17	2345.00	2561.25	USD	-5,406.25	
	NET 0 AVG BGHT 2332.00 SOLD 2345.00				325.00	0.00
14SEP17	S 2 PD14DEC17	2313.00	2563.00	USD	-12,500.00	
	NET -2 AVG SOLD 2313.00				-12,500.00	0.00
21SEP17	S 2 PD21DEC17	2469.00	2563.50	USD	-4,725.00	
	NET -2 AVG SOLD 2469.00				-4,725.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD13OCT17	9400.00	11061.00	USD	-9,966.00	
14JUL17	B 1 PD13OCT17	9425.00	11061.00	USD	9,816.00	
	NET 0 AVG BGHT 9425.00 SOLD 9400.00				-150.00	0.00
23AUG17	S 1 PD24NOV17	11385.00	11098.94	USD	1,716.36	
23AUG17	B 1 PD24NOV17	11400.00	11098.94	USD	-1,806.36	
	NET 0 AVG BGHT 11400.00 SOLD 11385.00				-90.00	0.00
29AUG17	S 1 PD29NOV17	11795.00	11102.80	USD	4,153.20	
29AUG17	B 1 PD29NOV17	11810.00	11102.80	USD	-4,243.20	
	NET 0 AVG BGHT 11810.00 SOLD 11795.00				-90.00	0.00
07SEP17	B 1 PD07DEC17	12105.00	11108.97	USD	-5,976.18	
07SEP17	S 1 PD07DEC17	12090.00	11108.97	USD	5,886.18	
	NET 0 AVG BGHT 12105.00 SOLD 12090.00				-90.00	0.00
08SEP17	B 1 PD08DEC17	11930.00	11109.74	USD	-4,921.56	
08SEP17	S 1 PD08DEC17	11925.00	11109.74	USD	4,891.56	
	NET 0 AVG BGHT 11930.00 SOLD 11925.00				-30.00	0.00
22SEP17	B 1 PD22DEC17	10670.00	11120.45	USD	2,702.70	
22SEP17	S 1 PD22DEC17	10595.00	11120.45	USD	-3,152.70	
	NET 0 AVG BGHT 10670.00 SOLD 10595.00				-450.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12JUL17	B	1	PD120CT17		19850.00	20990.00	USD	5,700.00	
20JUL17	S	1	PD120CT17		20095.32	20990.00	USD	-4,473.40	
	NET	0AVG	BGHT 19850.00	SOLD 20095.32				1,226.60	0.00
13JUL17	S	1	PD130CT17		19900.00	20990.00	USD	-5,450.00	
13JUL17	B	1	PD130CT17		19925.00	20990.00	USD	5,325.00	
14JUL17	S	1	PD130CT17		19850.00	20990.00	USD	-5,700.00	
14JUL17	S	1	PD130CT17		19870.00	20990.00	USD	-5,600.00	
14JUL17	B	1	PD130CT17		19830.00	20990.00	USD	5,800.00	
14JUL17	B	1	PD130CT17		19820.00	20990.00	USD	5,850.00	
	NET	0AVG	BGHT 19858.33	SOLD 19873.33				225.00	0.00
17JUL17	B	1	PD170CT17		19885.00	20990.00	USD	5,525.00	
20JUL17	S	1	PD170CT17		20091.58	20990.00	USD	-4,492.10	
	NET	0AVG	BGHT 19885.00	SOLD 20091.58				1,032.90	0.00
18JUL17	S	1	PD180CT17		19960.00	20990.00	USD	-5,150.00	
20JUL17	B	1	PD180CT17		20091.00	20990.00	USD	4,495.00	
	NET	0AVG	BGHT 20091.00	SOLD 19960.00				-655.00	0.00
19JUL17	B	1	PD190CT17		20100.00	20988.00	USD	4,440.00	
19JUL17	S	1	PD190CT17		20090.00	20988.00	USD	-4,490.00	
19JUL17	S	1	PD190CT17		20105.00	20988.00	USD	-4,415.00	
19JUL17	B	1	PD190CT17		20055.00	20988.00	USD	4,665.00	
19JUL17	S	1	PD190CT17		20095.00	20988.00	USD	-4,465.00	
06OCT17	B	1	PD190CT17		20656.50	20988.00	USD	1,657.50	
	NET	0AVG	BGHT 20270.50	SOLD 20096.67				-2,607.50	0.00
20JUL17	B	1	PD200CT17		20105.00	20986.00	USD	4,405.00	
20JUL17	S	1	PD200CT17		20095.00	20986.00	USD	-4,455.00	
20JUL17	B	1	PD200CT17		20100.00	20986.00	USD	4,430.00	
06OCT17	S	1	PD200CT17		20652.00	20986.00	USD	-1,670.00	
	NET	0AVG	BGHT 20102.50	SOLD 20373.50				2,710.00	0.00
25JUL17	S	1	PD250CT17		20340.00	20980.00	USD	-3,200.00	
06OCT17	B	1	PD250CT17		20642.50	20980.00	USD	1,687.50	
	NET	0AVG	BGHT 20642.50	SOLD 20340.00				-1,512.50	0.00
26JUL17	B	1	PD260CT17		20225.00	20978.00	USD	3,765.00	
26JUL17	B	1	PD260CT17		20350.00	20978.00	USD	3,140.00	
26JUL17	S	1	PD260CT17		20330.00	20978.00	USD	-3,240.00	
26JUL17	S	1	PD260CT17		20360.00	20978.00	USD	-3,090.00	
26JUL17	B	1	PD260CT17		20375.00	20978.00	USD	3,015.00	
06OCT17	S	1	PD260CT17		20638.00	20978.00	USD	-1,700.00	
	NET	0AVG	BGHT 20316.67	SOLD 20442.67				1,890.00	0.00
27JUL17	S	1	PD270CT17		20695.00	20976.00	USD	-1,405.00	
28JUL17	B	1	PD270CT17		20580.00	20976.00	USD	1,980.00	
28JUL17	B	1	PD270CT17		20640.00	20976.00	USD	1,680.00	
28JUL17	S	1	PD270CT17		20670.00	20976.00	USD	-1,530.00	
	NET	0AVG	BGHT 20610.00	SOLD 20682.50				725.00	0.00
04AUG17	B	1	PD03NOV17		20525.00	20966.00	USD	2,205.00	
04AUG17	S	1	PD03NOV17		20595.00	20966.00	USD	-1,855.00	
	NET	0AVG	BGHT 20525.00	SOLD 20595.00				350.00	0.00
15AUG17	B	1	PD15NOV17		19905.00	20950.00	USD	5,225.00	
	NET	+1AVG	BGHT 19905.00					5,225.00	0.00
16AUG17	S	1	PD16NOV17		19970.00	20947.92	USD	-4,889.60	
	NET	-1AVG	SOLD 19970.00					-4,889.60	0.00
21AUG17	B	1	PD21NOV17		20430.00	20941.67	USD	2,558.35	
21AUG17	S	1	PD21NOV17		20380.00	20941.67	USD	-2,808.35	
21AUG17	S	1	PD21NOV17		20415.00	20941.67	USD	-2,633.35	
21AUG17	B	1	PD21NOV17		20340.00	20941.67	USD	3,008.35	

OPEN POSITION STATEMENT



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SAP096AC

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	1	PD21NOV17	20430.00	20941.67	USD	-2,558.35	
21AUG17	B	1	PD21NOV17	20450.00	20941.67	USD	2,458.35	
	NET	0AVG	BGHT 20406.67				25.00	0.00
23AUG17	B	2	PD24NOV17	20285.00	20937.50	USD	6,525.00	
23AUG17	S	1	PD24NOV17	20300.00	20937.50	USD	-3,187.50	
23AUG17	S	1	PD24NOV17	20325.00	20937.50	USD	-3,062.50	
24AUG17	B	1	PD24NOV17	20510.00	20937.50	USD	2,137.50	
24AUG17	S	1	PD24NOV17	20535.00	20937.50	USD	-2,012.50	
25AUG17	B	1	PD24NOV17	20435.00	20937.50	USD	2,512.50	
25AUG17	S	1	PD24NOV17	20395.00	20937.50	USD	-2,712.50	
	NET	0AVG	BGHT 20378.75				200.00	0.00
29AUG17	B	2	PD29NOV17	20545.00	20931.25	USD	3,862.50	
29AUG17	S	2	PD29NOV17	20570.00	20931.25	USD	-3,612.50	
29AUG17	B	2	PD29NOV17	20400.00	20931.25	USD	5,312.50	
29AUG17	S	2	PD29NOV17	20450.00	20931.25	USD	-4,812.50	
29AUG17	B	1	PD29NOV17	20365.00	20931.25	USD	2,831.25	
	NET	+1AVG	BGHT 20451.00				3,581.25	0.00
30AUG17	S	1	PD30NOV17	20410.00	20929.17	USD	-2,595.85	
30AUG17	S	1	PD30NOV17	20640.00	20929.17	USD	-1,445.85	
31AUG17	B	1	PD30NOV17	20595.00	20929.17	USD	1,670.85	
31AUG17	S	1	PD30NOV17	20680.00	20929.17	USD	-1,245.85	
31AUG17	B	1	PD30NOV17	20700.00	20929.17	USD	1,145.85	
	NET	-1AVG	BGHT 20647.50				-2,470.85	0.00
01SEP17	S	1	PD01DEC17	20735.00	20927.08	USD	-960.40	
	NET	-1AVG	SOLD 20735.00				-960.40	0.00
04SEP17	B	1	PD04DEC17	20715.00	20925.00	USD	1,050.00	
04SEP17	S	1	PD04DEC17	20780.00	20925.00	USD	-725.00	
	NET	0AVG	BGHT 20715.00				325.00	0.00
05SEP17	B	1	PD05DEC17	20715.00	20922.92	USD	1,039.60	
05SEP17	S	1	PD05DEC17	20750.00	20922.92	USD	-864.60	
05SEP17	B	1	PD05DEC17	20685.00	20922.92	USD	1,189.60	
	NET	+1AVG	BGHT 20700.00				1,364.60	0.00
06SEP17	B	1	PD06DEC17	20590.00	20920.83	USD	1,654.15	
06SEP17	B	1	PD06DEC17	20645.00	20920.83	USD	1,379.15	
06SEP17	S	2	PD06DEC17	20630.00	20920.83	USD	-2,908.30	
	NET	0AVG	BGHT 20617.50				125.00	0.00
08SEP17	B	1	PD08DEC17	20620.00	20916.67	USD	1,483.35	
08SEP17	S	1	PD08DEC17	20520.00	20916.67	USD	-1,983.35	
	NET	0AVG	BGHT 20620.00				-500.00	0.00
11SEP17	S	1	PD11DEC17	20715.00	20914.58	USD	-997.90	
11SEP17	B	1	PD11DEC17	20725.00	20914.58	USD	947.90	
	NET	0AVG	BGHT 20725.00				-50.00	0.00
13SEP17	B	1	PD13DEC17	20580.00	20910.42	USD	1,652.10	
13SEP17	S	1	PD13DEC17	20510.00	20910.42	USD	-2,002.10	
	NET	0AVG	BGHT 20580.00				-350.00	0.00
14SEP17	S	1	PD14DEC17	20590.00	20908.33	USD	-1,591.65	
14SEP17	B	1	PD14DEC17	20630.00	20908.33	USD	1,391.65	
	NET	0AVG	BGHT 20630.00				-200.00	0.00
15SEP17	S	1	PD15DEC17	20590.00	20906.25	USD	-1,581.25	
15SEP17	B	1	PD15DEC17	20520.00	20906.25	USD	1,931.25	
	NET	0AVG	BGHT 20520.00				350.00	0.00
18SEP17	S	1	PD18DEC17	20680.00	20904.17	USD	-1,120.85	
18SEP17	B	1	PD18DEC17	20630.00	20904.17	USD	1,370.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG BGHT	20630.00	SOLD 20680.00				250.00	0.00
19SEP17	S	1	PD19DEC17		20700.00	20902.08	USD	-1,010.40	
19SEP17	B	1	PD19DEC17		20735.00	20902.08	USD	835.40	
	NET	0 AVG BGHT	20735.00	SOLD 20700.00				-175.00	0.00
20SEP17	B	1	PD20DEC17		20650.00	20900.00	USD	1,250.00	
20SEP17	S	1	PD20DEC17		20665.00	20900.00	USD	-1,175.00	
	NET	0 AVG BGHT	20650.00	SOLD 20665.00				75.00	0.00
21SEP17	S	1	PD21DEC17		20580.00	20897.69	USD	-1,588.45	
21SEP17	B	1	PD21DEC17		20525.00	20897.69	USD	1,863.45	
	NET	0 AVG BGHT	20525.00	SOLD 20580.00				275.00	0.00
22SEP17	S	1	PD22DEC17		20370.00	20895.38	USD	-2,626.90	
22SEP17	S	1	PD22DEC17		20455.00	20895.38	USD	-2,201.90	
22SEP17	B	1	PD22DEC17		20450.00	20895.38	USD	2,226.90	
22SEP17	S	1	PD22DEC17		20515.00	20895.38	USD	-1,901.90	
22SEP17	S	1	PD22DEC17		20625.00	20895.38	USD	-1,351.90	
22SEP17	B	1	PD22DEC17		20445.00	20895.38	USD	2,251.90	
22SEP17	B	1	PD22DEC17		20565.00	20895.38	USD	1,651.90	
22SEP17	S	1	PD22DEC17		20565.00	20895.38	USD	-1,651.90	
22SEP17	B	2	PD22DEC17		20595.00	20895.38	USD	3,003.80	
	NET	0 AVG BGHT	20530.00	SOLD 20506.00				-600.00	0.00
28SEP17	B	2	PD28DEC17		20720.00	20890.77	USD	1,707.70	
28SEP17	S	2	PD28DEC17		20715.00	20890.77	USD	-1,757.70	
28SEP17	S	2	PD28DEC17		20695.00	20890.77	USD	-1,957.70	
28SEP17	B	2	PD28DEC17		20715.00	20890.77	USD	1,757.70	
	NET	0 AVG BGHT	20717.50	SOLD 20705.00				-250.00	0.00
06OCT17	B	1	PD05JAN18		20860.00	20879.23	USD	96.15	
06OCT17	S	1	PD05JAN18		20810.00	20879.23	USD	-346.15	
06OCT17	B	1	PD05JAN18		20600.00	20879.23	USD	1,396.15	
06OCT17	S	1	PD05JAN18		20595.00	20879.23	USD	-1,421.15	
	NET	0 AVG BGHT	20730.00	SOLD 20702.50				-275.00	0.00
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13JUL17	B	1	PD13OCT17		2841.00	3295.00	USD	11,350.00	
13JUL17	B	1	PD13OCT17		2803.50	3295.00	USD	12,287.50	
20JUL17	S	2	PD13OCT17		2727.09	3295.00	USD	-28,395.50	
	NET	0 AVG BGHT	2822.25	SOLD 2727.09				-4,758.00	0.00
17JUL17	S	1	PD17OCT17		2835.00	3286.33	USD	-11,283.25	
17JUL17	B	1	PD17OCT17		2814.00	3286.33	USD	11,808.25	
17JUL17	S	1	PD17OCT17		2842.50	3286.33	USD	-11,095.75	
06OCT17	B	1	PD17OCT17		3278.33	3286.33	USD	200.00	
	NET	0 AVG BGHT	3046.17	SOLD 2838.75				-10,370.75	0.00
18JUL17	B	1	PD18OCT17		2785.50	3282.00	USD	12,412.50	
18JUL17	S	1	PD18OCT17		2787.50	3282.00	USD	-12,362.50	
	NET	0 AVG BGHT	2785.50	SOLD 2787.50				50.00	0.00
20JUL17	B	1	PD20OCT17		2724.50	3273.00	USD	13,712.50	
21JUL17	S	1	PD20OCT17		2771.50	3273.00	USD	-12,537.50	
21JUL17	B	1	PD20OCT17		2743.50	3273.00	USD	13,237.50	
06OCT17	S	1	PD20OCT17		3266.30	3273.00	USD	-167.50	
	NET	0 AVG BGHT	2734.00	SOLD 3018.90				14,245.00	0.00
24JUL17	S	1	PD24OCT17		2774.50	3267.00	USD	-12,312.50	
24JUL17	B	1	PD24OCT17		2776.00	3267.00	USD	12,275.00	
	NET	0 AVG BGHT	2776.00	SOLD 2774.50				-37.50	0.00
25JUL17	S	1	PD25OCT17		2807.00	3264.00	USD	-11,425.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	2838.00	3264.00	USD	10,650.00	
	NET 0 AVG BGHT 2838.00 SOLD 2807.00				-775.00	0.00
26JUL17	B 1 PD26OCT17	2815.50	3262.33	USD	11,170.75	
06OCT17	S 1 PD26OCT17	3257.63	3262.33	USD	-117.50	
	NET 0 AVG BGHT 2815.50 SOLD 3257.63				11,053.25	0.00
02AUG17	S 1 PD02NOV17	2804.50	3254.00	USD	-11,237.50	
	NET -1 AVG SOLD 2804.50				-11,237.50	0.00
07AUG17	B 1 PD07NOV17	2790.50	3250.67	USD	11,504.25	
07AUG17	S 1 PD07NOV17	2824.50	3250.67	USD	-10,654.25	
07AUG17	B 1 PD07NOV17	2819.00	3250.67	USD	10,791.75	
07AUG17	S 1 PD07NOV17	2833.50	3250.67	USD	-10,429.25	
07AUG17	B 1 PD07NOV17	2834.50	3250.67	USD	10,404.25	
07AUG17	S 1 PD07NOV17	2864.00	3250.67	USD	-9,666.75	
07AUG17	B 1 PD07NOV17	2867.50	3250.67	USD	9,579.25	
	NET +1 AVG BGHT 2827.88 SOLD 2840.67				11,529.25	0.00
08AUG17	S 1 PD08NOV17	2875.50	3249.56	USD	-9,351.50	
	NET -1 AVG SOLD 2875.50				-9,351.50	0.00
09AUG17	S 1 PD09NOV17	2973.00	3248.44	USD	-6,886.00	
09AUG17	B 1 PD09NOV17	2956.00	3248.44	USD	7,311.00	
09AUG17	S 1 PD09NOV17	2969.00	3248.44	USD	-6,986.00	
	NET -1 AVG BGHT 2956.00 SOLD 2971.00				-6,561.00	0.00
10AUG17	B 1 PD10NOV17	2942.00	3247.33	USD	7,633.25	
11AUG17	S 1 PD10NOV17	2922.50	3247.33	USD	-8,120.75	
11AUG17	B 1 PD10NOV17	2910.50	3247.33	USD	8,420.75	
11AUG17	S 1 PD10NOV17	2904.00	3247.33	USD	-8,583.25	
	NET 0 AVG BGHT 2926.25 SOLD 2913.25				-650.00	0.00
16AUG17	S 1 PD16NOV17	2989.00	3241.50	USD	-6,312.50	
16AUG17	B 1 PD16NOV17	3054.00	3241.50	USD	4,687.50	
	NET 0 AVG BGHT 3054.00 SOLD 2989.00				-1,625.00	0.00
17AUG17	S 1 PD17NOV17	3128.00	3239.00	USD	-2,775.00	
18AUG17	B 2 PD17NOV17	3117.50	3239.00	USD	6,075.00	
18AUG17	B 1 PD17NOV17	3123.00	3239.00	USD	2,900.00	
18AUG17	S 4 PD17NOV17	3129.50	3239.00	USD	-10,950.00	
18AUG17	S 2 PD17NOV17	3130.50	3239.00	USD	-5,425.00	
18AUG17	S 4 PD17NOV17	3132.00	3239.00	USD	-10,700.00	
	NET -8 AVG BGHT 3119.33 SOLD 3130.45				-20,875.00	0.00
21AUG17	S 1 PD21NOV17	3127.00	3237.00	USD	-2,750.00	
	NET -1 AVG SOLD 3127.00				-2,750.00	0.00
22AUG17	S 1 PD22NOV17	3109.50	3236.00	USD	-3,162.50	
22AUG17	B 1 PD22NOV17	3157.00	3236.00	USD	1,975.00	
22AUG17	B 1 PD22NOV17	3135.50	3236.00	USD	2,512.50	
22AUG17	B 2 PD22NOV17	3134.00	3236.00	USD	5,100.00	
22AUG17	B 1 PD22NOV17	3132.00	3236.00	USD	2,600.00	
	NET +4 AVG BGHT 3138.50 SOLD 3109.50				9,025.00	0.00
23AUG17	B 1 PD24NOV17	3117.50	3235.00	USD	2,937.50	
23AUG17	B 1 PD24NOV17	3118.50	3235.00	USD	2,912.50	
23AUG17	S 1 PD24NOV17	3143.50	3235.00	USD	-2,287.50	
23AUG17	B 2 PD24NOV17	3119.00	3235.00	USD	5,800.00	
23AUG17	B 1 PD24NOV17	3096.00	3235.00	USD	3,475.00	
24AUG17	S 1 PD24NOV17	3105.00	3235.00	USD	-3,250.00	
24AUG17	B 1 PD24NOV17	3106.00	3235.00	USD	3,225.00	
24AUG17	S 1 PD24NOV17	3102.00	3235.00	USD	-3,325.00	
24AUG17	B 1 PD24NOV17	3113.00	3235.00	USD	3,050.00	
	NET +4 AVG BGHT 3112.71 SOLD 3116.83				12,537.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
29AUG17	B 1 PD29NOV17	3123.50	3234.00	USD	2,762.50	
29AUG17	B 1 PD29NOV17	3116.50	3234.00	USD	2,937.50	
29AUG17	S 1 PD29NOV17	3121.50	3234.00	USD	-2,812.50	
29AUG17	S 1 PD29NOV17	3095.00	3234.00	USD	-3,475.00	
	NET 0 AVG BGHT 3120.00 SOLD 3108.25				-587.50	0.00
30AUG17	S 1 PD30NOV17	3132.50	3233.67	USD	-2,529.25	
30AUG17	B 1 PD30NOV17	3124.00	3233.67	USD	2,741.75	
31AUG17	S 2 PD30NOV17	3107.00	3233.67	USD	-6,333.50	
31AUG17	B 2 PD30NOV17	3119.00	3233.67	USD	5,733.50	
31AUG17	S 2 PD30NOV17	3124.50	3233.67	USD	-5,458.50	
31AUG17	B 2 PD30NOV17	3126.00	3233.67	USD	5,383.50	
31AUG17	S 1 PD30NOV17	3136.50	3233.67	USD	-2,429.25	
31AUG17	B 1 PD30NOV17	3156.00	3233.67	USD	1,941.75	
31AUG17	S 1 PD30NOV17	3156.00	3233.67	USD	-1,941.75	
	NET -1 AVG BGHT 3128.33 SOLD 3126.86				-2,891.75	0.00
01SEP17	B 1 PD01DEC17	3144.50	3233.33	USD	2,220.75	
	NET +1 AVG BGHT 3144.50				2,220.75	0.00
04SEP17	S 1 PD04DEC17	3204.00	3233.00	USD	-725.00	
	NET -1 AVG SOLD 3204.00				-725.00	0.00
05SEP17	B 1 PD05DEC17	3176.00	3232.67	USD	1,416.75	
	NET +1 AVG BGHT 3176.00				1,416.75	0.00
07SEP17	S 1 PD07DEC17	3099.50	3232.00	USD	-3,312.50	
07SEP17	B 1 PD07DEC17	3136.50	3232.00	USD	2,387.50	
	NET 0 AVG BGHT 3136.50 SOLD 3099.50				-925.00	0.00
14SEP17	B 2 PD14DEC17	3007.50	3230.33	USD	11,141.50	
	NET +2 AVG BGHT 3007.50				11,141.50	0.00
18SEP17	S 1 PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	B 1 PD18DEC17	3065.00	3229.67	USD	4,116.75	
18SEP17	S 1 PD18DEC17	3111.00	3229.67	USD	-2,966.75	
	NET -1 AVG BGHT 3065.00 SOLD 3099.00				-2,416.75	0.00
19SEP17	B 1 PD19DEC17	3105.50	3229.33	USD	3,095.75	
	NET +1 AVG BGHT 3105.50				3,095.75	0.00
20SEP17	S 1 PD20DEC17	3146.00	3229.00	USD	-2,075.00	
20SEP17	S 1 PD20DEC17	3146.50	3229.00	USD	-2,062.50	
20SEP17	S 1 PD20DEC17	3145.00	3229.00	USD	-2,100.00	
	NET -3 AVG SOLD 3145.83				-6,237.50	0.00
21SEP17	B 2 PD21DEC17	3082.00	3225.00	USD	7,150.00	
	NET +2 AVG BGHT 3082.00				7,150.00	0.00
10OCT17	B 1 PD10JAN18	3240.00	3219.50	USD	-512.50	
10OCT17	B 1 PD10JAN18	3241.00	3219.50	USD	-537.50	
	NET +2 AVG BGHT 3240.50				-1,050.00	0.00

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OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	1,099.00
LME Copper Grade A 25	FUTURES	3,612.50
LME Lead 25 tonnes. This is an	FUTURES	5,079.50
LME Nickel 6 tonnes. This is	FUTURES	-900.00
LME Tin 5 tonnes. This is an	FUTURES	4,459.50
LME Zinc 25 tonnes. This is	FUTURES	2,257.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	1,028.75	1,028.75		
COPL	- Futures	USD	3,436.75	3,436.75		
LEAD	- Futures	USD	4,454.75	4,454.75		
NKL	- Futures	USD	-900.00	-900.00		
TIN	- Futures	USD	4,459.50	4,459.50		
ZINC	- Futures	USD	-360.00	-360.00	12,119.75	12,119.75

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-14,705.15	-14,705.15
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-14,705.15	-14,705.15
Initial Margins		0.00	0.00
Excess/(Shortage)		-14,705.15	-14,705.15
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		12,119.75	12,119.75
Net Liquidating Value		-2,585.40	-2,585.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	S	3	PD12OCT17	1900.50	2104.25	USD	-15,281.25	
20JUL17	B	3	PD12OCT17	1915.78	2104.25	USD	14,135.25	
	NET	0 AVG	BGHT 1915.78	SOLD 1900.50			-1,146.00	0.00
02AUG17	B	1	PD02NOV17	1927.00	2111.13	USD	4,603.25	
	NET	+1 AVG	BGHT 1927.00				4,603.25	0.00
03AUG17	S	1	PD03NOV17	1929.00	2111.44	USD	-4,561.00	
04AUG17	B	2	PD03NOV17	1914.00	2111.44	USD	9,872.00	
04AUG17	B	1	PD03NOV17	1906.50	2111.44	USD	5,123.50	
04AUG17	B	2	PD03NOV17	1907.00	2111.44	USD	10,222.00	
04AUG17	S	2	PD03NOV17	1909.00	2111.44	USD	-10,122.00	
04AUG17	S	1	PD03NOV17	1908.50	2111.44	USD	-5,073.50	
04AUG17	S	1	PD03NOV17	1912.00	2111.44	USD	-4,986.00	
04AUG17	S	1	PD03NOV17	1914.00	2111.44	USD	-4,936.00	
	NET	-1 AVG	BGHT 1909.70	SOLD 1913.58			-4,461.00	0.00
07AUG17	B	1	PD07NOV17	1951.00	2112.69	USD	4,042.25	
07AUG17	B	1	PD07NOV17	1953.00	2112.69	USD	3,992.25	
07AUG17	S	1	PD07NOV17	1952.50	2112.69	USD	-4,004.75	
07AUG17	S	1	PD07NOV17	1954.00	2112.69	USD	-3,967.25	
	NET	0 AVG	BGHT 1952.00	SOLD 1953.25			62.50	0.00
08AUG17	B	2	PD08NOV17	2011.50	2113.00	USD	5,075.00	
08AUG17	B	1	PD08NOV17	2012.00	2113.00	USD	2,525.00	
08AUG17	S	3	PD08NOV17	2012.00	2113.00	USD	-7,575.00	
	NET	0 AVG	BGHT 2011.67	SOLD 2012.00			25.00	0.00
09AUG17	B	2	PD09NOV17	2035.00	2113.46	USD	3,923.00	
09AUG17	S	1	PD09NOV17	2019.00	2113.46	USD	-2,361.50	
09AUG17	S	1	PD09NOV17	2020.00	2113.46	USD	-2,336.50	
	NET	0 AVG	BGHT 2035.00	SOLD 2019.50			-775.00	0.00
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
10AUG17	B	1	PD10NOV17	2039.00	2113.93	USD	1,873.25	
11AUG17	S	1	PD10NOV17	2022.00	2113.93	USD	-2,298.25	
11AUG17	B	1	PD10NOV17	2024.00	2113.93	USD	2,248.25	
	NET	0 AVG	BGHT 2031.50	SOLD 2030.25			-62.50	0.00
15AUG17	B	1	PD15NOV17	2047.00	2116.25	USD	1,731.25	
15AUG17	B	1	PD15NOV17	2043.00	2116.25	USD	1,831.25	
15AUG17	S	2	PD15NOV17	2041.00	2116.25	USD	-3,762.50	
	NET	0 AVG	BGHT 2045.00	SOLD 2041.00			-200.00	0.00
16AUG17	B	1	PD16NOV17	2066.00	2116.56	USD	1,264.00	
16AUG17	B	1	PD16NOV17	2067.00	2116.56	USD	1,239.00	
16AUG17	S	1	PD16NOV17	2070.00	2116.56	USD	-1,164.00	
16AUG17	B	1	PD16NOV17	2071.50	2116.56	USD	1,126.50	
16AUG17	S	1	PD16NOV17	2075.00	2116.56	USD	-1,039.00	
16AUG17	S	1	PD16NOV17	2076.00	2116.56	USD	-1,014.00	
16AUG17	B	2	PD16NOV17	2086.50	2116.56	USD	1,503.00	
16AUG17	S	2	PD16NOV17	2086.50	2116.56	USD	-1,503.00	
	NET	0 AVG	BGHT 2075.50	SOLD 2078.80			412.50	0.00
31AUG17	B	2	PD30NOV17	2118.00	2120.88	USD	144.00	
31AUG17	S	2	PD30NOV17	2119.00	2120.88	USD	-94.00	
	NET	0 AVG	BGHT 2118.00	SOLD 2119.00			50.00	0.00
15SEP17	B	1	PD15DEC17	2084.50	2125.51	USD	1,025.25	
15SEP17	S	1	PD15DEC17	2082.50	2125.51	USD	-1,075.25	
	NET	0 AVG	BGHT 2084.50	SOLD 2082.50			-50.00	0.00
21SEP17	B	1	PD21DEC17	2174.50	2126.75	USD	-1,193.75	
21SEP17	B	1	PD21DEC17	2175.00	2126.75	USD	-1,206.25	
21SEP17	S	1	PD21DEC17	2195.00	2126.75	USD	1,706.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21SEP17	S	1	PD21DEC17	2196.00	2126.75	USD	1,731.25	
21SEP17	B	1	PD21DEC17	2183.50	2126.75	USD	-1,418.75	
21SEP17	B	1	PD21DEC17	2184.00	2126.75	USD	-1,431.25	
21SEP17	S	2	PD21DEC17	2185.00	2126.75	USD	2,912.50	
21SEP17	B	2	PD21DEC17	2176.00	2126.75	USD	-2,462.50	
21SEP17	S	2	PD21DEC17	2175.00	2126.75	USD	2,412.50	
	NET	0 AVG BGHT 2178.17	SOLD 2185.17				1,050.00	0.00
26SEP17	B	1	PD27DEC17	2143.50	2127.29	USD	-405.25	
26SEP17	B	2	PD27DEC17	2144.00	2127.29	USD	-835.50	
26SEP17	S	3	PD27DEC17	2138.00	2127.29	USD	803.25	
27SEP17	S	1	PD27DEC17	2142.00	2127.29	USD	367.75	
27SEP17	B	1	PD27DEC17	2128.50	2127.29	USD	-30.25	
	NET	0 AVG BGHT 2140.00	SOLD 2139.00				-100.00	0.00
11OCT17	B	1	PD11JAN18	2133.00	2130.00	USD	-75.00	
	NET	+1 AVG BGHT 2133.00					-75.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	2	PD13OCT17	5925.00	6756.00	USD	41,550.00	
13JUL17	S	2	PD13OCT17	5920.00	6756.00	USD	-41,800.00	
	NET	0 AVG BGHT 5925.00	SOLD 5920.00				-250.00	0.00
17JUL17	B	1	PD17OCT17	6010.00	6759.20	USD	18,730.00	
17JUL17	S	1	PD17OCT17	6007.00	6759.20	USD	-18,805.00	
	NET	0 AVG BGHT 6010.00	SOLD 6007.00				-75.00	0.00
21JUL17	S	1	PD20OCT17	6046.00	6761.75	USD	-17,893.75	
21JUL17	S	1	PD20OCT17	6049.00	6761.75	USD	-17,818.75	
21JUL17	B	1	PD20OCT17	6031.50	6761.75	USD	18,256.25	
21JUL17	B	1	PD20OCT17	6032.50	6761.75	USD	18,231.25	
	NET	0 AVG BGHT 6032.00	SOLD 6047.50				775.00	0.00
24JUL17	S	1	PD24OCT17	6021.00	6765.25	USD	-18,606.25	
24JUL17	B	1	PD24OCT17	6024.00	6765.25	USD	18,531.25	
	NET	0 AVG BGHT 6024.00	SOLD 6021.00				-75.00	0.00
25JUL17	S	1	PD25OCT17	6033.00	6765.68	USD	-18,317.00	
25JUL17	B	1	PD25OCT17	6027.00	6765.68	USD	18,467.00	
25JUL17	S	1	PD25OCT17	6161.00	6765.68	USD	-15,117.00	
25JUL17	B	1	PD25OCT17	6166.00	6765.68	USD	14,992.00	
25JUL17	S	2	PD25OCT17	6184.00	6765.68	USD	-29,084.00	
25JUL17	B	1	PD25OCT17	6197.50	6765.68	USD	14,204.50	
25JUL17	B	1	PD25OCT17	6199.00	6765.68	USD	14,167.00	
	NET	0 AVG BGHT 6147.38	SOLD 6140.50				-687.50	0.00
26JUL17	S	1	PD26OCT17	6341.50	6766.11	USD	-10,615.25	
26JUL17	S	1	PD26OCT17	6343.50	6766.11	USD	-10,565.25	
26JUL17	B	1	PD26OCT17	6347.50	6766.11	USD	10,465.25	
26JUL17	B	1	PD26OCT17	6353.00	6766.11	USD	10,327.75	
26JUL17	S	2	PD26OCT17	6318.50	6766.11	USD	-22,380.50	
26JUL17	B	2	PD26OCT17	6327.50	6766.11	USD	21,930.50	
	NET	0 AVG BGHT 6338.88	SOLD 6330.50				-837.50	0.00
03AUG17	B	1	PD03NOV17	6346.50	6771.03	USD	10,613.25	
03AUG17	S	1	PD03NOV17	6343.00	6771.03	USD	-10,700.75	
03AUG17	B	1	PD03NOV17	6329.00	6771.03	USD	11,050.75	
03AUG17	B	1	PD03NOV17	6334.50	6771.03	USD	10,913.25	
03AUG17	S	2	PD03NOV17	6332.00	6771.03	USD	-21,951.50	
04AUG17	B	1	PD03NOV17	6324.50	6771.03	USD	11,163.25	
04AUG17	S	1	PD03NOV17	6321.50	6771.03	USD	-11,238.25	
	NET	0 AVG BGHT 6333.63	SOLD 6332.13				-150.00	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	6383.00	6774.73	USD	9,793.25	
07AUG17	S	1	PD07NOV17	6384.50	6774.73	USD	-9,755.75	
	NET	0 AVG	BGHT 6383.00				37.50	0.00
16AUG17	B	1	PD16NOV17	6469.00	6776.25	USD	7,681.25	
16AUG17	B	1	PD16NOV17	6470.00	6776.25	USD	7,656.25	
16AUG17	S	2	PD16NOV17	6467.00	6776.25	USD	-15,462.50	
16AUG17	S	1	PD16NOV17	6460.00	6776.25	USD	-7,906.25	
16AUG17	S	1	PD16NOV17	6457.50	6776.25	USD	-7,968.75	
16AUG17	B	1	PD16NOV17	6450.50	6776.25	USD	8,143.75	
16AUG17	B	1	PD16NOV17	6465.00	6776.25	USD	7,781.25	
	NET	0 AVG	BGHT 6463.63				-75.00	0.00
31AUG17	B	1	PD30NOV17	6837.00	6783.72	USD	-1,332.00	
31AUG17	S	1	PD30NOV17	6820.50	6783.72	USD	919.50	
	NET	0 AVG	BGHT 6837.00				-412.50	0.00
06SEP17	B	1	PD06DEC17	6898.50	6786.75	USD	-2,793.75	
06SEP17	S	1	PD06DEC17	6909.00	6786.75	USD	3,056.25	
	NET	0 AVG	BGHT 6898.50				262.50	0.00
07SEP17	B	1	PD07DEC17	6868.50	6787.23	USD	-2,031.75	
07SEP17	S	1	PD07DEC17	6866.50	6787.23	USD	1,981.75	
07SEP17	B	1	PD07DEC17	6871.00	6787.23	USD	-2,094.25	
07SEP17	S	2	PD07DEC17	6875.00	6787.23	USD	4,388.50	
07SEP17	B	1	PD07DEC17	6868.00	6787.23	USD	-2,019.25	
	NET	0 AVG	BGHT 6869.17				225.00	0.00
08SEP17	B	1	PD08DEC17	6815.00	6787.71	USD	-682.25	
08SEP17	S	1	PD08DEC17	6809.00	6787.71	USD	532.25	
08SEP17	B	1	PD08DEC17	6806.50	6787.71	USD	-469.75	
08SEP17	S	1	PD08DEC17	6804.00	6787.71	USD	407.25	
	NET	0 AVG	BGHT 6810.75				-212.50	0.00
11SEP17	S	2	PD11DEC17	6709.00	6789.15	USD	-4,007.50	
11SEP17	B	1	PD11DEC17	6709.50	6789.15	USD	1,991.25	
11SEP17	B	1	PD11DEC17	6710.00	6789.15	USD	1,978.75	
	NET	0 AVG	BGHT 6709.75				-37.50	0.00
12SEP17	B	1	PD12DEC17	6690.00	6789.63	USD	2,490.75	
12SEP17	S	2	PD12DEC17	6673.50	6789.63	USD	-5,806.50	
12SEP17	B	1	PD12DEC17	6643.00	6789.63	USD	3,665.75	
	NET	0 AVG	BGHT 6666.50				350.00	0.00
13SEP17	B	1	PD13DEC17	6553.00	6790.10	USD	5,927.50	
13SEP17	S	1	PD13DEC17	6567.50	6790.10	USD	-5,565.00	
	NET	0 AVG	BGHT 6553.00				362.50	0.00
14SEP17	B	1	PD14DEC17	6520.50	6790.58	USD	6,752.00	
14SEP17	B	1	PD14DEC17	6524.00	6790.58	USD	6,664.50	
14SEP17	S	2	PD14DEC17	6520.00	6790.58	USD	-13,529.00	
	NET	0 AVG	BGHT 6522.25				-112.50	0.00
20SEP17	S	1	PD20DEC17	6558.50	6792.50	USD	-5,850.00	
20SEP17	B	1	PD20DEC17	6551.00	6792.50	USD	6,037.50	
20SEP17	S	1	PD20DEC17	6555.00	6792.50	USD	-5,937.50	
20SEP17	S	1	PD20DEC17	6551.50	6792.50	USD	-6,025.00	
20SEP17	B	2	PD20DEC17	6543.50	6792.50	USD	12,450.00	
	NET	0 AVG	BGHT 6546.00				675.00	0.00
21SEP17	B	1	PD21DEC17	6465.00	6791.50	USD	8,162.50	
21SEP17	S	1	PD21DEC17	6460.00	6791.50	USD	-8,287.50	
21SEP17	B	1	PD21DEC17	6474.50	6791.50	USD	7,925.00	
21SEP17	S	1	PD21DEC17	6479.00	6791.50	USD	-7,812.50	
	NET	0 AVG	BGHT 6469.75				-12.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6400.00	6790.50	USD	-19,525.00	
22SEP17	B	1	PD22DEC17	6407.00	6790.50	USD	9,587.50	
22SEP17	B	1	PD22DEC17	6409.50	6790.50	USD	9,525.00	
	NET	0 AVG BGHT 6408.25	SOLD 6400.00				-412.50	0.00
25SEP17	S	1	PD27DEC17	6472.00	6793.00	USD	-8,025.00	
25SEP17	B	1	PD27DEC17	6475.50	6793.00	USD	7,937.50	
25SEP17	B	1	PD27DEC17	6447.00	6793.00	USD	8,650.00	
25SEP17	S	1	PD27DEC17	6454.50	6793.00	USD	-8,462.50	
25SEP17	B	1	PD27DEC17	6443.50	6793.00	USD	8,737.50	
25SEP17	S	1	PD27DEC17	6450.00	6793.00	USD	-8,575.00	
26SEP17	B	1	PD27DEC17	6501.00	6793.00	USD	7,300.00	
26SEP17	S	1	PD27DEC17	6500.00	6793.00	USD	-7,325.00	
	NET	0 AVG BGHT 6466.75	SOLD 6469.13				237.50	0.00
28SEP17	B	3	PD28DEC17	6572.00	6793.47	USD	16,610.25	
28SEP17	S	1	PD28DEC17	6562.50	6793.47	USD	-5,774.25	
28SEP17	S	1	PD28DEC17	6563.50	6793.47	USD	-5,749.25	
28SEP17	S	1	PD28DEC17	6565.00	6793.47	USD	-5,711.75	
	NET	0 AVG BGHT 6572.00	SOLD 6563.67				-625.00	0.00
02OCT17	B	1	PD02JAN18	6501.00	6795.80	USD	7,370.00	
02OCT17	S	1	PD02JAN18	6482.00	6795.80	USD	-7,845.00	
	NET	0 AVG BGHT 6501.00	SOLD 6482.00				-475.00	0.00
09OCT17	S	1	PD09JAN18	6639.00	6799.07	USD	-4,001.75	
09OCT17	B	1	PD09JAN18	6636.00	6799.07	USD	4,076.75	
	NET	0 AVG BGHT 6636.00	SOLD 6639.00				75.00	0.00
11OCT17	B	1	PD11JAN18	6746.50	6800.00	USD	1,337.50	
11OCT17	S	1	PD11JAN18	6743.00	6800.00	USD	-1,425.00	
	NET	0 AVG BGHT 6746.50	SOLD 6743.00				-87.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2338.50	2552.35	USD	5,346.25	
12JUL17	B	1	PD12OCT17	2335.50	2552.35	USD	5,421.25	
12JUL17	B	1	PD12OCT17	2336.00	2552.35	USD	5,408.75	
12JUL17	S	3	PD12OCT17	2335.00	2552.35	USD	-16,301.25	
	NET	0 AVG BGHT 2336.67	SOLD 2335.00				-125.00	0.00
13JUL17	B	2	PD13OCT17	2322.00	2552.75	USD	11,537.50	
13JUL17	B	1	PD13OCT17	2307.50	2552.75	USD	6,131.25	
14JUL17	S	1	PD13OCT17	2284.00	2552.75	USD	-6,718.75	
06OCT17	S	2	PD13OCT17	2517.63	2552.75	USD	-1,756.00	
	NET	0 AVG BGHT 2317.17	SOLD 2439.75				9,194.00	0.00
17JUL17	B	1	PD17OCT17	2324.00	2554.35	USD	5,758.75	
17JUL17	S	2	PD17OCT17	2329.50	2554.35	USD	-11,242.50	
17JUL17	B	1	PD17OCT17	2332.50	2554.35	USD	5,546.25	
17JUL17	B	1	PD17OCT17	2321.50	2554.35	USD	5,821.25	
17JUL17	S	1	PD17OCT17	2323.00	2554.35	USD	-5,783.75	
	NET	0 AVG BGHT 2326.00	SOLD 2327.33				100.00	0.00
18JUL17	S	1	PD18OCT17	2285.00	2554.75	USD	-6,743.75	
18JUL17	B	1	PD18OCT17	2270.00	2554.75	USD	7,118.75	
	NET	0 AVG BGHT 2270.00	SOLD 2285.00				375.00	0.00
19JUL17	B	1	PD19OCT17	2228.50	2554.89	USD	8,159.75	
19JUL17	B	2	PD19OCT17	2230.00	2554.89	USD	16,244.50	
19JUL17	S	2	PD19OCT17	2229.50	2554.89	USD	-16,269.50	
19JUL17	B	2	PD19OCT17	2213.00	2554.89	USD	17,094.50	
19JUL17	S	2	PD19OCT17	2219.00	2554.89	USD	-16,794.50	
06OCT17	S	1	PD19OCT17	2519.71	2554.89	USD	-879.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 2222.90	SOLD 2283.34				7,555.25	0.00
20JUL17	S	1 PD200CT17		2224.50	2555.04	USD	-8,263.50	
20JUL17	S	2 PD200CT17		2216.00	2555.04	USD	-16,952.00	
21JUL17	S	1 PD200CT17		2249.00	2555.04	USD	-7,651.00	
21JUL17	B	1 PD200CT17		2248.50	2555.04	USD	7,663.50	
21JUL17	B	1 PD200CT17		2240.50	2555.04	USD	7,863.50	
21JUL17	B	1 PD200CT17		2236.50	2555.04	USD	7,963.50	
21JUL17	B	1 PD200CT17		2237.00	2555.04	USD	7,951.00	
21JUL17	S	1 PD200CT17		2237.50	2555.04	USD	-7,938.50	
21JUL17	S	2 PD200CT17		2239.00	2555.04	USD	-15,802.00	
21JUL17	S	1 PD200CT17		2234.00	2555.04	USD	-8,026.00	
21JUL17	S	1 PD200CT17		2227.00	2555.04	USD	-8,201.00	
21JUL17	B	2 PD200CT17		2234.50	2555.04	USD	16,027.00	
06OCT17	B	3 PD200CT17		2520.11	2555.04	USD	2,619.75	
	NET	0 AVG BGHT 2332.43	SOLD 2231.33				-22,745.75	0.00
24JUL17	B	1 PD240CT17		2258.50	2555.61	USD	7,427.75	
24JUL17	B	2 PD240CT17		2250.50	2555.61	USD	15,255.50	
24JUL17	B	1 PD240CT17		2253.00	2555.61	USD	7,565.25	
24JUL17	S	1 PD240CT17		2251.50	2555.61	USD	-7,602.75	
24JUL17	B	2 PD240CT17		2243.00	2555.61	USD	15,630.50	
24JUL17	S	1 PD240CT17		2249.00	2555.61	USD	-7,665.25	
24JUL17	S	1 PD240CT17		2256.50	2555.61	USD	-7,477.75	
24JUL17	S	1 PD240CT17		2253.50	2555.61	USD	-7,552.75	
06OCT17	S	2 PD240CT17		2520.73	2555.61	USD	-1,744.00	
	NET	0 AVG BGHT 2249.75	SOLD 2341.99				13,836.50	0.00
25JUL17	B	1 PD250CT17		2254.00	2555.75	USD	7,543.75	
25JUL17	S	1 PD250CT17		2265.00	2555.75	USD	-7,268.75	
25JUL17	S	2 PD250CT17		2269.50	2555.75	USD	-14,312.50	
06OCT17	B	2 PD250CT17		2521.14	2555.75	USD	1,730.50	
	NET	0 AVG BGHT 2432.09	SOLD 2268.00				-12,307.00	0.00
07AUG17	B	1 PD07NOV17		2375.50	2557.61	USD	4,552.75	
07AUG17	B	2 PD07NOV17		2376.00	2557.61	USD	9,080.50	
07AUG17	S	1 PD07NOV17		2382.50	2557.61	USD	-4,377.75	
07AUG17	B	1 PD07NOV17		2365.00	2557.61	USD	4,815.25	
07AUG17	B	2 PD07NOV17		2381.00	2557.61	USD	8,830.50	
07AUG17	S	1 PD07NOV17		2365.00	2557.61	USD	-4,815.25	
07AUG17	S	2 PD07NOV17		2363.50	2557.61	USD	-9,705.50	
	NET	+2 AVG BGHT 2375.75	SOLD 2368.63				8,380.50	0.00
08AUG17	B	1 PD08NOV17		2372.50	2557.75	USD	4,631.25	
08AUG17	B	4 PD08NOV17		2385.00	2557.75	USD	17,275.00	
08AUG17	S	5 PD08NOV17		2384.00	2557.75	USD	-21,718.75	
08AUG17	B	3 PD08NOV17		2387.00	2557.75	USD	12,806.25	
08AUG17	B	5 PD08NOV17		2394.00	2557.75	USD	20,468.75	
08AUG17	S	5 PD08NOV17		2393.50	2557.75	USD	-20,531.25	
08AUG17	B	3 PD08NOV17		2393.00	2557.75	USD	12,356.25	
08AUG17	S	5 PD08NOV17		2394.50	2557.75	USD	-20,406.25	
	NET	+1 AVG BGHT 2388.91	SOLD 2390.67				4,881.25	0.00
09AUG17	S	3 PD09NOV17		2390.00	2557.89	USD	-12,591.75	
09AUG17	S	1 PD09NOV17		2353.50	2557.89	USD	-5,109.75	
09AUG17	S	2 PD09NOV17		2355.00	2557.89	USD	-10,144.50	
09AUG17	B	3 PD09NOV17		2365.00	2557.89	USD	14,466.75	
	NET	-3 AVG BGHT 2365.00	SOLD 2372.25				-13,379.25	0.00
11AUG17	B	1 PD10NOV17		2338.00	2558.04	USD	5,501.00	
11AUG17	S	1 PD10NOV17		2339.00	2558.04	USD	-5,476.00	
11AUG17	B	2 PD10NOV17		2336.00	2558.04	USD	11,102.00	

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11AUG17	S	2	PD10NOV17	2343.00	2558.04	USD	-10,752.00	
11AUG17	B	1	PD10NOV17	2342.50	2558.04	USD	5,388.50	
11AUG17	B	1	PD10NOV17	2343.50	2558.04	USD	5,363.50	
11AUG17	B	2	PD10NOV17	2332.00	2558.04	USD	11,302.00	
11AUG17	B	1	PD10NOV17	2333.00	2558.04	USD	5,626.00	
11AUG17	S	1	PD10NOV17	2334.50	2558.04	USD	-5,588.50	
11AUG17	S	1	PD10NOV17	2336.00	2558.04	USD	-5,551.00	
11AUG17	S	1	PD10NOV17	2329.00	2558.04	USD	-5,726.00	
	NET	+2 AVG BGHT 2336.63	SOLD 2337.42				11,189.50	0.00
14AUG17	S	1	PD14NOV17	2337.00	2558.61	USD	-5,540.25	
14AUG17	B	3	PD14NOV17	2340.00	2558.61	USD	16,395.75	
14AUG17	B	1	PD14NOV17	2343.00	2558.61	USD	5,390.25	
14AUG17	S	1	PD14NOV17	2340.50	2558.61	USD	-5,452.75	
14AUG17	S	4	PD14NOV17	2341.50	2558.61	USD	-21,711.00	
14AUG17	B	1	PD14NOV17	2333.50	2558.61	USD	5,627.75	
14AUG17	B	1	PD14NOV17	2338.50	2558.61	USD	5,502.75	
14AUG17	S	2	PD14NOV17	2338.00	2558.61	USD	-11,030.50	
	NET	-2 AVG BGHT 2339.17	SOLD 2339.94				-10,818.00	0.00
16AUG17	B	3	PD16NOV17	2422.00	2558.75	USD	10,256.25	
16AUG17	B	2	PD16NOV17	2421.50	2558.75	USD	6,862.50	
16AUG17	S	2	PD16NOV17	2423.00	2558.75	USD	-6,787.50	
16AUG17	S	3	PD16NOV17	2429.50	2558.75	USD	-9,693.75	
16AUG17	B	1	PD16NOV17	2431.50	2558.75	USD	3,181.25	
16AUG17	S	1	PD16NOV17	2435.00	2558.75	USD	-3,093.75	
16AUG17	B	2	PD16NOV17	2508.00	2558.75	USD	2,537.50	
16AUG17	S	2	PD16NOV17	2499.50	2558.75	USD	-2,962.50	
	NET	0 AVG BGHT 2444.56	SOLD 2446.06				300.00	0.00
17AUG17	B	2	PD17NOV17	2481.50	2558.75	USD	3,862.50	
17AUG17	B	3	PD17NOV17	2468.50	2558.75	USD	6,768.75	
17AUG17	B	1	PD17NOV17	2465.00	2558.75	USD	2,343.75	
17AUG17	B	1	PD17NOV17	2464.00	2558.75	USD	2,368.75	
17AUG17	S	1	PD17NOV17	2458.00	2558.75	USD	-2,518.75	
17AUG17	S	1	PD17NOV17	2460.00	2558.75	USD	-2,468.75	
17AUG17	S	1	PD17NOV17	2462.00	2558.75	USD	-2,418.75	
17AUG17	S	4	PD17NOV17	2450.00	2558.75	USD	-10,875.00	
17AUG17	B	1	PD17NOV17	2449.50	2558.75	USD	2,731.25	
17AUG17	B	1	PD17NOV17	2454.50	2558.75	USD	2,606.25	
17AUG17	B	2	PD17NOV17	2455.00	2558.75	USD	5,187.50	
17AUG17	S	2	PD17NOV17	2481.00	2558.75	USD	-3,887.50	
17AUG17	S	2	PD17NOV17	2468.00	2558.75	USD	-4,537.50	
18AUG17	B	1	PD17NOV17	2430.00	2558.75	USD	3,218.75	
18AUG17	B	1	PD17NOV17	2431.00	2558.75	USD	3,193.75	
18AUG17	S	2	PD17NOV17	2428.00	2558.75	USD	-6,537.50	
18AUG17	B	2	PD17NOV17	2439.00	2558.75	USD	5,987.50	
18AUG17	S	2	PD17NOV17	2420.00	2558.75	USD	-6,937.50	
	NET	0 AVG BGHT 2456.70	SOLD 2451.60				-1,912.50	0.00
23AUG17	B	1	PD24NOV17	2400.00	2559.75	USD	3,993.75	
23AUG17	S	1	PD24NOV17	2403.50	2559.75	USD	-3,906.25	
24AUG17	B	2	PD24NOV17	2367.50	2559.75	USD	9,612.50	
24AUG17	S	1	PD24NOV17	2355.00	2559.75	USD	-5,118.75	
24AUG17	S	2	PD24NOV17	2355.50	2559.75	USD	-10,212.50	
24AUG17	B	1	PD24NOV17	2356.50	2559.75	USD	5,081.25	
	NET	0 AVG BGHT 2372.88	SOLD 2367.38				-550.00	0.00
31AUG17	B	2	PD30NOV17	2391.50	2560.30	USD	8,440.00	
31AUG17	S	1	PD30NOV17	2380.50	2560.30	USD	-4,495.00	
31AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	

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	NET	0 AVG BGHT 2391.50	SOLD 2380.00				-575.00	0.00
07SEP17	B	2 PD07DEC17		2333.50	2561.25	USD	11,387.50	
07SEP17	S	2 PD07DEC17		2338.00	2561.25	USD	-11,162.50	
	NET	0 AVG BGHT 2333.50	SOLD 2338.00				225.00	0.00
11SEP17	B	1 PD11DEC17		2289.00	2562.25	USD	6,831.25	
11SEP17	S	2 PD11DEC17		2288.00	2562.25	USD	-13,712.50	
11SEP17	B	1 PD11DEC17		2291.00	2562.25	USD	6,781.25	
	NET	0 AVG BGHT 2290.00	SOLD 2288.00				-100.00	0.00
21SEP17	B	2 PD21DEC17		2468.00	2563.50	USD	4,775.00	
21SEP17	S	5 PD21DEC17		2466.00	2563.50	USD	-12,187.50	
21SEP17	S	1 PD21DEC17		2474.00	2563.50	USD	-2,237.50	
21SEP17	S	1 PD21DEC17		2475.00	2563.50	USD	-2,212.50	
21SEP17	B	1 PD21DEC17		2472.50	2563.50	USD	2,275.00	
21SEP17	B	1 PD21DEC17		2474.00	2563.50	USD	2,237.50	
21SEP17	B	2 PD21DEC17		2475.00	2563.50	USD	4,425.00	
21SEP17	S	2 PD21DEC17		2483.00	2563.50	USD	-4,025.00	
21SEP17	B	1 PD21DEC17		2490.00	2563.50	USD	1,837.50	
21SEP17	B	1 PD21DEC17		2490.50	2563.50	USD	1,825.00	
21SEP17	B	1 PD21DEC17		2466.00	2563.50	USD	2,437.50	
21SEP17	S	2 PD21DEC17		2501.00	2563.50	USD	-3,125.00	
21SEP17	B	2 PD21DEC17		2508.50	2563.50	USD	2,750.00	
21SEP17	S	1 PD21DEC17		2508.50	2563.50	USD	-1,375.00	
21SEP17	S	1 PD21DEC17		2509.50	2563.50	USD	-1,350.00	
21SEP17	B	1 PD21DEC17		2506.50	2563.50	USD	1,425.00	
21SEP17	B	2 PD21DEC17		2510.00	2563.50	USD	2,675.00	
21SEP17	B	1 PD21DEC17		2509.50	2563.50	USD	1,350.00	
21SEP17	S	2 PD21DEC17		2509.00	2563.50	USD	-2,725.00	
	NET	0 AVG BGHT 2488.80	SOLD 2485.53				-1,225.00	0.00
27SEP17	B	2 PD27DEC17		2460.50	2563.50	USD	5,150.00	
27SEP17	S	1 PD27DEC17		2455.00	2563.50	USD	-2,712.50	
27SEP17	S	1 PD27DEC17		2457.50	2563.50	USD	-2,650.00	
	NET	0 AVG BGHT 2460.50	SOLD 2456.25				-212.50	0.00
04OCT17	B	2 PD04JAN18		2567.50	2564.30	USD	-160.00	
04OCT17	S	2 PD04JAN18		2567.50	2564.30	USD	160.00	
04OCT17	B	2 PD04JAN18		2576.00	2564.30	USD	-585.00	
04OCT17	B	1 PD04JAN18		2577.50	2564.30	USD	-330.00	
04OCT17	B	1 PD04JAN18		2584.50	2564.30	USD	-505.00	
04OCT17	B	1 PD04JAN18		2585.50	2564.30	USD	-530.00	
04OCT17	S	3 PD04JAN18		2577.00	2564.30	USD	952.50	
04OCT17	S	3 PD04JAN18		2582.00	2564.30	USD	1,327.50	
04OCT17	S	1 PD04JAN18		2585.00	2564.30	USD	517.50	
04OCT17	B	2 PD04JAN18		2583.50	2564.30	USD	-960.00	
04OCT17	B	1 PD04JAN18		2586.50	2564.30	USD	-555.00	
04OCT17	S	2 PD04JAN18		2589.00	2564.30	USD	1,235.00	
04OCT17	S	2 PD04JAN18		2590.50	2564.30	USD	1,310.00	
04OCT17	B	1 PD04JAN18		2584.00	2564.30	USD	-492.50	
04OCT17	B	3 PD04JAN18		2588.00	2564.30	USD	-1,777.50	
04OCT17	B	1 PD04JAN18		2588.50	2564.30	USD	-605.00	
04OCT17	B	2 PD04JAN18		2592.00	2564.30	USD	-1,385.00	
04OCT17	B	1 PD04JAN18		2592.50	2564.30	USD	-705.00	
04OCT17	S	1 PD04JAN18		2587.00	2564.30	USD	567.50	
04OCT17	S	1 PD04JAN18		2588.00	2564.30	USD	592.50	
04OCT17	S	1 PD04JAN18		2588.50	2564.30	USD	605.00	
04OCT17	S	4 PD04JAN18		2590.00	2564.30	USD	2,570.00	
04OCT17	B	2 PD04JAN18		2590.00	2564.30	USD	-1,285.00	
04OCT17	B	1 PD04JAN18		2559.00	2564.30	USD	132.50	

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04OCT17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD04JAN18	2556.50	2564.30	USD	-195.00	
	NET 0AVG BGHT 2582.86 SOLD 2582.67				-100.00	0.00
11OCT17	B 2 PD11JAN18	2562.00	2565.00	USD	150.00	
11OCT17	S 1 PD11JAN18	2553.00	2565.00	USD	-300.00	
11OCT17	S 3 PD11JAN18	2560.00	2565.00	USD	-375.00	
11OCT17	B 1 PD11JAN18	2561.50	2565.00	USD	87.50	
	NET -1AVG BGHT 2561.83 SOLD 2558.25				-437.50	0.00
14JUL17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 2 PD13OCT17	9400.00	11061.00	USD	-19,932.00	
14JUL17	B 1 PD13OCT17	9410.00	11061.00	USD	9,906.00	
14JUL17	B 1 PD13OCT17	9420.00	11061.00	USD	9,846.00	
14JUL17	B 2 PD13OCT17	9450.00	11061.00	USD	19,332.00	
14JUL17	S 2 PD13OCT17	9485.00	11061.00	USD	-18,912.00	
	NET 0AVG BGHT 9432.50 SOLD 9442.50				240.00	0.00
18JUL17	S 1 PD18OCT17	9645.00	11065.00	USD	-8,520.00	
18JUL17	B 1 PD18OCT17	9630.00	11065.00	USD	8,610.00	
	NET 0AVG BGHT 9630.00 SOLD 9645.00				90.00	0.00
21JUL17	S 1 PD20OCT17	9585.00	11066.93	USD	-8,891.58	
21JUL17	B 1 PD20OCT17	9580.00	11066.93	USD	8,921.58	
21JUL17	S 2 PD20OCT17	9635.00	11066.93	USD	-17,183.16	
21JUL17	B 2 PD20OCT17	9600.00	11066.93	USD	17,603.16	
21JUL17	S 1 PD20OCT17	9620.00	11066.93	USD	-8,681.58	
21JUL17	B 1 PD20OCT17	9670.00	11066.93	USD	8,381.58	
	NET 0AVG BGHT 9612.50 SOLD 9618.75				150.00	0.00
24JUL17	S 2 PD24OCT17	9620.00	11070.79	USD	-17,409.48	
24JUL17	B 2 PD24OCT17	9640.00	11070.79	USD	17,169.48	
24JUL17	S 1 PD24OCT17	9660.00	11070.79	USD	-8,464.74	
24JUL17	B 1 PD24OCT17	9685.00	11070.79	USD	8,314.74	
24JUL17	B 1 PD24OCT17	9695.00	11070.79	USD	8,254.74	
24JUL17	S 1 PD24OCT17	9685.00	11070.79	USD	-8,314.74	
24JUL17	S 1 PD24OCT17	9725.00	11070.79	USD	-8,074.74	
24JUL17	S 1 PD24OCT17	9735.00	11070.79	USD	-8,014.74	
24JUL17	S 1 PD24OCT17	9760.00	11070.79	USD	-7,864.74	
24JUL17	B 1 PD24OCT17	9760.00	11070.79	USD	7,864.74	
24JUL17	S 1 PD24OCT17	9770.00	11070.79	USD	-7,804.74	
06OCT17	B 3 PD24OCT17	10530.32	11070.79	USD	9,728.46	
	NET 0AVG BGHT 10001.37 SOLD 9696.88				-14,615.76	0.00
25JUL17	B 3 PD25OCT17	9750.00	11071.75	USD	23,791.50	
25JUL17	B 1 PD25OCT17	9845.00	11071.75	USD	7,360.50	
25JUL17	B 2 PD25OCT17	9850.00	11071.75	USD	14,661.00	
25JUL17	S 3 PD25OCT17	9840.00	11071.75	USD	-22,171.50	
25JUL17	S 2 PD25OCT17	9910.00	11071.75	USD	-13,941.00	
25JUL17	B 2 PD25OCT17	9900.00	11071.75	USD	14,061.00	
25JUL17	B 2 PD25OCT17	9980.00	11071.75	USD	13,101.00	
25JUL17	B 2 PD25OCT17	9950.00	11071.75	USD	13,461.00	
25JUL17	S 1 PD25OCT17	9935.00	11071.75	USD	-6,820.50	
25JUL17	S 1 PD25OCT17	9945.00	11071.75	USD	-6,760.50	
25JUL17	S 2 PD25OCT17	9950.00	11071.75	USD	-13,461.00	
06OCT17	S 3 PD25OCT17	10530.38	11071.75	USD	-9,744.66	
	NET 0AVG BGHT 9871.25 SOLD 10059.26				13,536.84	0.00
26JUL17	B 2 PD26OCT17	9935.00	11072.71	USD	13,652.52	
26JUL17	S 2 PD26OCT17	9935.00	11072.71	USD	-13,652.52	
26JUL17	S 3 PD26OCT17	9920.00	11072.71	USD	-20,748.78	
26JUL17	B 3 PD26OCT17	9925.00	11072.71	USD	20,658.78	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 9929.00	SOLD 9926.00				-90.00	0.00
27JUL17	S	2 PD27OCT17		10180.00	11073.68	USD	-10,724.16	
27JUL17	B	1 PD27OCT17		10220.00	11073.68	USD	5,122.08	
27JUL17	S	3 PD27OCT17		10205.00	11073.68	USD	-15,636.24	
27JUL17	B	1 PD27OCT17		10175.00	11073.68	USD	5,392.08	
27JUL17	B	1 PD27OCT17		10190.00	11073.68	USD	5,302.08	
27JUL17	B	1 PD27OCT17		10195.00	11073.68	USD	5,272.08	
27JUL17	S	2 PD27OCT17		10195.00	11073.68	USD	-10,544.16	
27JUL17	B	2 PD27OCT17		10200.00	11073.68	USD	10,484.16	
27JUL17	B	1 PD27OCT17		10165.00	11073.68	USD	5,452.08	
27JUL17	S	2 PD27OCT17		10160.00	11073.68	USD	-10,964.16	
27JUL17	B	2 PD27OCT17		10185.00	11073.68	USD	10,664.16	
27JUL17	S	1 PD27OCT17		10190.00	11073.68	USD	-5,302.08	
27JUL17	B	1 PD27OCT17		10155.00	11073.68	USD	5,512.08	
	NET	0 AVG BGHT 10187.00	SOLD 10187.50				30.00	0.00
03AUG17	B	1 PD03NOV17		10265.00	11080.43	USD	4,892.58	
03AUG17	B	2 PD03NOV17		10275.00	11080.43	USD	9,665.16	
03AUG17	B	1 PD03NOV17		10305.00	11080.43	USD	4,652.58	
03AUG17	S	4 PD03NOV17		10305.00	11080.43	USD	-18,610.32	
04AUG17	B	2 PD03NOV17		10195.00	11080.43	USD	10,625.16	
04AUG17	S	2 PD03NOV17		10215.00	11080.43	USD	-10,385.16	
04AUG17	B	1 PD03NOV17		10205.00	11080.43	USD	5,252.58	
04AUG17	S	1 PD03NOV17		10210.00	11080.43	USD	-5,222.58	
04AUG17	B	1 PD03NOV17		10295.00	11080.43	USD	4,712.58	
04AUG17	S	1 PD03NOV17		10290.00	11080.43	USD	-4,742.58	
	NET	0 AVG BGHT 10251.25	SOLD 10268.75				840.00	0.00
08AUG17	S	2 PD08NOV17		10340.00	11085.25	USD	-8,943.00	
08AUG17	B	1 PD08NOV17		10280.00	11085.25	USD	4,831.50	
08AUG17	B	1 PD08NOV17		10290.00	11085.25	USD	4,771.50	
08AUG17	S	1 PD08NOV17		10325.00	11085.25	USD	-4,561.50	
08AUG17	B	1 PD08NOV17		10325.00	11085.25	USD	4,561.50	
08AUG17	B	1 PD08NOV17		10305.00	11085.25	USD	4,681.50	
08AUG17	B	1 PD08NOV17		10310.00	11085.25	USD	4,651.50	
08AUG17	S	2 PD08NOV17		10300.00	11085.25	USD	-9,423.00	
08AUG17	B	1 PD08NOV17		10500.00	11085.25	USD	3,511.50	
08AUG17	S	1 PD08NOV17		10470.00	11085.25	USD	-3,691.50	
08AUG17	B	1 PD08NOV17		10560.00	11085.25	USD	3,151.50	
08AUG17	S	1 PD08NOV17		10590.00	11085.25	USD	-2,971.50	
08AUG17	S	1 PD08NOV17		10595.00	11085.25	USD	-2,941.50	
08AUG17	B	1 PD08NOV17		10610.00	11085.25	USD	2,851.50	
	NET	0 AVG BGHT 10397.50	SOLD 10407.50				480.00	0.00
09AUG17	S	1 PD09NOV17		10770.00	11086.21	USD	-1,897.26	
09AUG17	B	4 PD09NOV17		10800.00	11086.21	USD	6,869.04	
09AUG17	S	3 PD09NOV17		10795.00	11086.21	USD	-5,241.78	
	NET	0 AVG BGHT 10800.00	SOLD 10788.75				-270.00	0.00
10AUG17	S	1 PD10NOV17		10830.00	11087.18	USD	-1,543.08	
10AUG17	B	1 PD10NOV17		10845.00	11087.18	USD	1,453.08	
10AUG17	B	1 PD10NOV17		10870.00	11087.18	USD	1,303.08	
10AUG17	B	1 PD10NOV17		10885.00	11087.18	USD	1,213.08	
10AUG17	B	1 PD10NOV17		10900.00	11087.18	USD	1,123.08	
10AUG17	S	3 PD10NOV17		10855.00	11087.18	USD	-4,179.24	
11AUG17	B	1 PD10NOV17		10765.00	11087.18	USD	1,933.08	
11AUG17	B	2 PD10NOV17		10735.00	11087.18	USD	4,226.16	
11AUG17	B	2 PD10NOV17		10750.00	11087.18	USD	4,046.16	
11AUG17	S	3 PD10NOV17		10730.00	11087.18	USD	-6,429.24	
11AUG17	S	2 PD10NOV17		10705.00	11087.18	USD	-4,586.16	

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11AUG17	S	2	PD10NOV17	10720.00	11087.18	USD	-4,406.16	
11AUG17	B	2	PD10NOV17	10660.00	11087.18	USD	5,126.16	
11AUG17	B	2	PD10NOV17	10625.00	11087.18	USD	5,546.16	
11AUG17	S	1	PD10NOV17	10620.00	11087.18	USD	-2,803.08	
11AUG17	S	1	PD10NOV17	10655.00	11087.18	USD	-2,593.08	
	NET	0 AVG	BGHT 10754.23	SOLD 10746.92			-570.00	0.00
15AUG17	S	1	PD15NOV17	10490.00	11092.00	USD	-3,612.00	
15AUG17	S	1	PD15NOV17	10500.00	11092.00	USD	-3,552.00	
15AUG17	B	1	PD15NOV17	10450.00	11092.00	USD	3,852.00	
15AUG17	B	1	PD15NOV17	10460.00	11092.00	USD	3,792.00	
15AUG17	B	3	PD15NOV17	10435.00	11092.00	USD	11,826.00	
15AUG17	S	3	PD15NOV17	10450.00	11092.00	USD	-11,556.00	
	NET	0 AVG	BGHT 10443.00	SOLD 10468.00			750.00	0.00
16AUG17	B	1	PD16NOV17	10535.00	11092.77	USD	3,346.62	
16AUG17	B	1	PD16NOV17	10540.00	11092.77	USD	3,316.62	
16AUG17	B	1	PD16NOV17	10545.00	11092.77	USD	3,286.62	
16AUG17	S	3	PD16NOV17	10535.00	11092.77	USD	-10,039.86	
16AUG17	B	2	PD16NOV17	10580.00	11092.77	USD	6,153.24	
16AUG17	S	2	PD16NOV17	10555.00	11092.77	USD	-6,453.24	
16AUG17	S	2	PD16NOV17	10585.00	11092.77	USD	-6,093.24	
16AUG17	B	1	PD16NOV17	10595.00	11092.77	USD	2,986.62	
16AUG17	B	1	PD16NOV17	10605.00	11092.77	USD	2,926.62	
16AUG17	S	3	PD16NOV17	10625.00	11092.77	USD	-8,419.86	
16AUG17	S	1	PD16NOV17	10635.00	11092.77	USD	-2,746.62	
16AUG17	B	1	PD16NOV17	10640.00	11092.77	USD	2,716.62	
16AUG17	S	1	PD16NOV17	10640.00	11092.77	USD	-2,716.62	
16AUG17	B	1	PD16NOV17	10590.00	11092.77	USD	3,016.62	
16AUG17	B	2	PD16NOV17	10690.00	11092.77	USD	4,833.24	
16AUG17	S	2	PD16NOV17	10680.00	11092.77	USD	-4,953.24	
16AUG17	S	1	PD16NOV17	10735.00	11092.77	USD	-2,146.62	
16AUG17	S	2	PD16NOV17	10745.00	11092.77	USD	-4,173.24	
16AUG17	B	3	PD16NOV17	10635.00	11092.77	USD	8,239.86	
16AUG17	S	4	PD16NOV17	10770.00	11092.77	USD	-7,746.48	
16AUG17	B	7	PD16NOV17	10755.00	11092.77	USD	14,186.34	
	NET	0 AVG	BGHT 10656.19	SOLD 10652.38			-480.00	0.00
18AUG17	B	1	PD17NOV17	10805.00	11093.54	USD	1,731.24	
18AUG17	B	3	PD17NOV17	10825.00	11093.54	USD	4,833.24	
18AUG17	B	1	PD17NOV17	10835.00	11093.54	USD	1,551.24	
18AUG17	B	1	PD17NOV17	10845.00	11093.54	USD	1,491.24	
18AUG17	S	1	PD17NOV17	10800.00	11093.54	USD	-1,761.24	
18AUG17	S	2	PD17NOV17	10805.00	11093.54	USD	-3,462.48	
18AUG17	S	1	PD17NOV17	10810.00	11093.54	USD	-1,701.24	
18AUG17	S	2	PD17NOV17	10855.00	11093.54	USD	-2,862.48	
18AUG17	S	1	PD17NOV17	11010.00	11093.54	USD	-501.24	
18AUG17	B	1	PD17NOV17	10960.00	11093.54	USD	801.24	
	NET	0 AVG	BGHT 10845.71	SOLD 10848.57			120.00	0.00
21AUG17	B	1	PD21NOV17	11155.00	11096.63	USD	-350.22	
21AUG17	S	1	PD21NOV17	11155.00	11096.63	USD	350.22	
21AUG17	B	1	PD21NOV17	11280.00	11096.63	USD	-1,100.22	
21AUG17	S	1	PD21NOV17	11265.00	11096.63	USD	1,010.22	
21AUG17	B	2	PD21NOV17	11320.00	11096.63	USD	-2,680.44	
21AUG17	B	1	PD21NOV17	11350.00	11096.63	USD	-1,520.22	
21AUG17	B	1	PD21NOV17	11355.00	11096.63	USD	-1,550.22	
21AUG17	S	2	PD21NOV17	11330.00	11096.63	USD	2,800.44	
21AUG17	S	2	PD21NOV17	11345.00	11096.63	USD	2,980.44	
	NET	0 AVG	BGHT 11296.67	SOLD 11295.00			-60.00	0.00

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23AUG17	B	2	PD24NOV17	11400.00	11098.94	USD	-3,612.72	
23AUG17	B	1	PD24NOV17	11420.00	11098.94	USD	-1,926.36	
23AUG17	B	2	PD24NOV17	11440.00	11098.94	USD	-4,092.72	
23AUG17	B	2	PD24NOV17	11470.00	11098.94	USD	-4,452.72	
23AUG17	S	3	PD24NOV17	11395.00	11098.94	USD	5,329.08	
23AUG17	S	3	PD24NOV17	11435.00	11098.94	USD	6,049.08	
23AUG17	S	1	PD24NOV17	11440.00	11098.94	USD	2,046.36	
23AUG17	S	3	PD24NOV17	11470.00	11098.94	USD	6,679.08	
23AUG17	B	1	PD24NOV17	11495.00	11098.94	USD	-2,376.36	
23AUG17	S	1	PD24NOV17	11550.00	11098.94	USD	2,706.36	
23AUG17	B	3	PD24NOV17	11490.00	11098.94	USD	-7,039.08	
23AUG17	B	1	PD24NOV17	11685.00	11098.94	USD	-3,516.36	
23AUG17	S	1	PD24NOV17	11670.00	11098.94	USD	3,426.36	
23AUG17	S	1	PD24NOV17	11795.00	11098.94	USD	4,176.36	
23AUG17	S	1	PD24NOV17	11815.00	11098.94	USD	4,296.36	
23AUG17	B	1	PD24NOV17	11755.00	11098.94	USD	-3,936.36	
23AUG17	S	1	PD24NOV17	11785.00	11098.94	USD	4,116.36	
23AUG17	B	1	PD24NOV17	11785.00	11098.94	USD	-4,116.36	
24AUG17	B	1	PD24NOV17	11700.00	11098.94	USD	-3,606.36	
24AUG17	B	2	PD24NOV17	11710.00	11098.94	USD	-7,332.72	
24AUG17	B	1	PD24NOV17	11720.00	11098.94	USD	-3,726.36	
24AUG17	B	1	PD24NOV17	11725.00	11098.94	USD	-3,756.36	
24AUG17	S	4	PD24NOV17	11710.00	11098.94	USD	14,665.44	
25AUG17	B	1	PD24NOV17	11515.00	11098.94	USD	-2,496.36	
25AUG17	S	1	PD24NOV17	11515.00	11098.94	USD	2,496.36	
25AUG17	B	2	PD24NOV17	11420.00	11098.94	USD	-3,852.72	
25AUG17	B	1	PD24NOV17	11445.00	11098.94	USD	-2,076.36	
25AUG17	S	2	PD24NOV17	11460.00	11098.94	USD	4,332.72	
25AUG17	S	1	PD24NOV17	11440.00	11098.94	USD	2,046.36	
	NET	0AVG BGHT	11547.61	SOLD	11550.87		450.00	0.00
29AUG17	B	1	PD29NOV17	11805.00	11102.80	USD	-4,213.20	
29AUG17	B	1	PD29NOV17	11815.00	11102.80	USD	-4,273.20	
29AUG17	S	2	PD29NOV17	11835.00	11102.80	USD	8,786.40	
29AUG17	B	1	PD29NOV17	11825.00	11102.80	USD	-4,333.20	
29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	S	3	PD29NOV17	11800.00	11102.80	USD	12,549.60	
29AUG17	B	2	PD29NOV17	11800.00	11102.80	USD	-8,366.40	
29AUG17	B	1	PD29NOV17	11810.00	11102.80	USD	-4,243.20	
29AUG17	B	1	PD29NOV17	11670.00	11102.80	USD	-3,403.20	
29AUG17	B	2	PD29NOV17	11705.00	11102.80	USD	-7,226.40	
29AUG17	S	2	PD29NOV17	11695.00	11102.80	USD	7,106.40	
29AUG17	S	1	PD29NOV17	11675.00	11102.80	USD	3,433.20	
	NET	0AVG BGHT	11770.56	SOLD	11772.78		120.00	0.00
01SEP17	B	1	PD01DEC17	11855.00	11104.34	USD	-4,503.96	
01SEP17	B	1	PD01DEC17	11895.00	11104.34	USD	-4,743.96	
01SEP17	S	1	PD01DEC17	11885.00	11104.34	USD	4,683.96	
01SEP17	S	1	PD01DEC17	11890.00	11104.34	USD	4,713.96	
01SEP17	B	2	PD01DEC17	12000.00	11104.34	USD	-10,747.92	
01SEP17	S	2	PD01DEC17	12010.00	11104.34	USD	10,867.92	
01SEP17	S	1	PD01DEC17	12070.00	11104.34	USD	5,793.96	
01SEP17	S	1	PD01DEC17	12075.00	11104.34	USD	5,823.96	
01SEP17	B	3	PD01DEC17	12080.00	11104.34	USD	-17,561.88	
01SEP17	B	2	PD01DEC17	12070.00	11104.34	USD	-11,587.92	
01SEP17	B	1	PD01DEC17	12025.00	11104.34	USD	-5,523.96	
01SEP17	B	1	PD01DEC17	12035.00	11104.34	USD	-5,583.96	
01SEP17	B	1	PD01DEC17	12040.00	11104.34	USD	-5,613.96	
01SEP17	S	5	PD01DEC17	12060.00	11104.34	USD	28,669.80	

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01SEP17	S	1	PD01DEC17		12055.00	11104.34	USD	5,703.96	
	NET	0 AVG	BGHT 12019.17	SOLD 12024.58				390.00	0.00
05SEP17	B	1	PD05DEC17		12030.00	11107.43	USD	-5,535.42	
05SEP17	B	1	PD05DEC17		12035.00	11107.43	USD	-5,565.42	
05SEP17	S	2	PD05DEC17		12020.00	11107.43	USD	10,950.84	
05SEP17	B	1	PD05DEC17		11930.00	11107.43	USD	-4,935.42	
05SEP17	B	1	PD05DEC17		11975.00	11107.43	USD	-5,205.42	
05SEP17	B	2	PD05DEC17		11980.00	11107.43	USD	-10,470.84	
05SEP17	S	1	PD05DEC17		11970.00	11107.43	USD	5,175.42	
05SEP17	S	3	PD05DEC17		11975.00	11107.43	USD	15,616.26	
	NET	0 AVG	BGHT 11988.33	SOLD 11989.17				30.00	0.00
06SEP17	B	1	PD06DEC17		11930.00	11108.20	USD	-4,930.80	
06SEP17	B	1	PD06DEC17		11965.00	11108.20	USD	-5,140.80	
06SEP17	S	2	PD06DEC17		11975.00	11108.20	USD	10,401.60	
06SEP17	B	2	PD06DEC17		12005.00	11108.20	USD	-10,761.60	
06SEP17	S	2	PD06DEC17		12030.00	11108.20	USD	11,061.60	
06SEP17	S	1	PD06DEC17		12045.00	11108.20	USD	5,620.80	
06SEP17	B	1	PD06DEC17		12035.00	11108.20	USD	-5,560.80	
06SEP17	B	1	PD06DEC17		12055.00	11108.20	USD	-5,680.80	
06SEP17	S	2	PD06DEC17		12055.00	11108.20	USD	11,361.60	
06SEP17	B	1	PD06DEC17		12015.00	11108.20	USD	-5,440.80	
06SEP17	B	2	PD06DEC17		11985.00	11108.20	USD	-10,521.60	
06SEP17	S	2	PD06DEC17		12015.00	11108.20	USD	10,881.60	
06SEP17	B	2	PD06DEC17		12075.00	11108.20	USD	-11,601.60	
06SEP17	B	1	PD06DEC17		12085.00	11108.20	USD	-5,860.80	
06SEP17	S	3	PD06DEC17		12075.00	11108.20	USD	17,402.40	
	NET	0 AVG	BGHT 12017.92	SOLD 12035.00				1,230.00	0.00
07SEP17	S	1	PD07DEC17		12125.00	11108.97	USD	6,096.18	
07SEP17	S	2	PD07DEC17		12145.00	11108.97	USD	12,432.36	
07SEP17	B	1	PD07DEC17		12150.00	11108.97	USD	-6,246.18	
07SEP17	B	2	PD07DEC17		12155.00	11108.97	USD	-12,552.36	
07SEP17	S	1	PD07DEC17		12150.00	11108.97	USD	6,246.18	
07SEP17	B	2	PD07DEC17		12105.00	11108.97	USD	-11,952.36	
07SEP17	B	1	PD07DEC17		12075.00	11108.97	USD	-5,796.18	
07SEP17	S	2	PD07DEC17		12095.00	11108.97	USD	11,832.36	
07SEP17	B	2	PD07DEC17		12100.00	11108.97	USD	-11,892.36	
07SEP17	B	2	PD07DEC17		12095.00	11108.97	USD	-11,832.36	
07SEP17	S	1	PD07DEC17		12090.00	11108.97	USD	5,886.18	
07SEP17	S	1	PD07DEC17		12100.00	11108.97	USD	5,946.18	
07SEP17	S	2	PD07DEC17		12080.00	11108.97	USD	11,652.36	
07SEP17	B	2	PD07DEC17		12065.00	11108.97	USD	-11,472.36	
07SEP17	B	2	PD07DEC17		12130.00	11108.97	USD	-12,252.36	
07SEP17	S	2	PD07DEC17		12105.00	11108.97	USD	11,952.36	
07SEP17	S	2	PD07DEC17		12140.00	11108.97	USD	12,372.36	
	NET	0 AVG	BGHT 12108.93	SOLD 12113.93				420.00	0.00
08SEP17	B	2	PD08DEC17		11925.00	11109.74	USD	-9,783.12	
08SEP17	S	1	PD08DEC17		11960.00	11109.74	USD	5,101.56	
08SEP17	B	6	PD08DEC17		11945.00	11109.74	USD	-30,069.36	
08SEP17	B	1	PD08DEC17		11960.00	11109.74	USD	-5,101.56	
08SEP17	S	2	PD08DEC17		11940.00	11109.74	USD	9,963.12	
08SEP17	S	2	PD08DEC17		11945.00	11109.74	USD	10,023.12	
08SEP17	S	1	PD08DEC17		11965.00	11109.74	USD	5,131.56	
08SEP17	B	2	PD08DEC17		11930.00	11109.74	USD	-9,843.12	
08SEP17	B	1	PD08DEC17		11935.00	11109.74	USD	-4,951.56	
08SEP17	S	2	PD08DEC17		11925.00	11109.74	USD	9,783.12	
08SEP17	S	4	PD08DEC17		11935.00	11109.74	USD	19,806.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	11900.00	11109.74	USD	4,741.56	
08SEP17	S	1	PD08DEC17	11905.00	11109.74	USD	4,771.56	
08SEP17	B	2	PD08DEC17	11910.00	11109.74	USD	-9,603.12	
08SEP17	B	1	PD08DEC17	11730.00	11109.74	USD	-3,721.56	
08SEP17	B	1	PD08DEC17	11750.00	11109.74	USD	-3,841.56	
08SEP17	B	2	PD08DEC17	11765.00	11109.74	USD	-7,863.12	
08SEP17	S	1	PD08DEC17	11720.00	11109.74	USD	3,661.56	
08SEP17	S	1	PD08DEC17	11800.00	11109.74	USD	4,141.56	
08SEP17	S	1	PD08DEC17	11805.00	11109.74	USD	4,171.56	
08SEP17	B	3	PD08DEC17	11760.00	11109.74	USD	-11,704.68	
08SEP17	S	1	PD08DEC17	11750.00	11109.74	USD	3,841.56	
08SEP17	S	3	PD08DEC17	11755.00	11109.74	USD	11,614.68	
08SEP17	B	2	PD08DEC17	11725.00	11109.74	USD	-7,383.12	
08SEP17	S	2	PD08DEC17	11710.00	11109.74	USD	7,203.12	
08SEP17	B	2	PD08DEC17	11550.00	11109.74	USD	-5,283.12	
08SEP17	S	1	PD08DEC17	11505.00	11109.74	USD	2,371.56	
08SEP17	S	1	PD08DEC17	11535.00	11109.74	USD	2,551.56	
08SEP17	B	2	PD08DEC17	11515.00	11109.74	USD	-4,863.12	
08SEP17	S	1	PD08DEC17	11575.00	11109.74	USD	2,791.56	
08SEP17	S	1	PD08DEC17	11565.00	11109.74	USD	2,731.56	
08SEP17	B	3	PD08DEC17	11495.00	11109.74	USD	-6,934.68	
08SEP17	S	3	PD08DEC17	11480.00	11109.74	USD	6,664.68	
	NET	0AVG BGHT 11781.67	SOLD 11782.33				120.00	0.00
11SEP17	B	1	PD11DEC17	11590.00	11112.06	USD	-2,867.64	
11SEP17	B	1	PD11DEC17	11595.00	11112.06	USD	-2,897.64	
11SEP17	B	2	PD11DEC17	11600.00	11112.06	USD	-5,855.28	
11SEP17	S	3	PD11DEC17	11600.00	11112.06	USD	8,782.92	
11SEP17	S	1	PD11DEC17	11595.00	11112.06	USD	2,897.64	
11SEP17	B	1	PD11DEC17	11775.00	11112.06	USD	-3,977.64	
11SEP17	S	3	PD11DEC17	11770.00	11112.06	USD	11,842.92	
11SEP17	B	1	PD11DEC17	11750.00	11112.06	USD	-3,827.64	
11SEP17	B	1	PD11DEC17	11755.00	11112.06	USD	-3,857.64	
	NET	0AVG BGHT 11666.43	SOLD 11672.14				240.00	0.00
12SEP17	B	2	PD12DEC17	11635.00	11112.83	USD	-6,266.04	
12SEP17	S	1	PD12DEC17	11625.00	11112.83	USD	3,073.02	
12SEP17	S	1	PD12DEC17	11645.00	11112.83	USD	3,193.02	
12SEP17	S	2	PD12DEC17	11785.00	11112.83	USD	8,066.04	
12SEP17	B	2	PD12DEC17	11830.00	11112.83	USD	-8,606.04	
12SEP17	B	1	PD12DEC17	11915.00	11112.83	USD	-4,813.02	
12SEP17	S	3	PD12DEC17	11915.00	11112.83	USD	14,439.06	
12SEP17	S	1	PD12DEC17	11910.00	11112.83	USD	4,783.02	
12SEP17	B	2	PD12DEC17	11940.00	11112.83	USD	-9,926.04	
12SEP17	B	1	PD12DEC17	11920.00	11112.83	USD	-4,843.02	
	NET	0AVG BGHT 11830.63	SOLD 11811.88				-900.00	0.00
13SEP17	B	2	PD13DEC17	11770.00	11113.60	USD	-7,876.80	
13SEP17	S	1	PD13DEC17	11770.00	11113.60	USD	3,938.40	
13SEP17	S	1	PD13DEC17	11775.00	11113.60	USD	3,968.40	
13SEP17	B	1	PD13DEC17	11525.00	11113.60	USD	-2,468.40	
13SEP17	S	3	PD13DEC17	11520.00	11113.60	USD	7,315.20	
13SEP17	B	1	PD13DEC17	11515.00	11113.60	USD	-2,408.40	
13SEP17	B	1	PD13DEC17	11530.00	11113.60	USD	-2,498.40	
13SEP17	B	2	PD13DEC17	11545.00	11113.60	USD	-5,176.80	
13SEP17	S	1	PD13DEC17	11540.00	11113.60	USD	2,558.40	
13SEP17	S	1	PD13DEC17	11575.00	11113.60	USD	2,768.40	
13SEP17	B	2	PD13DEC17	11595.00	11113.60	USD	-5,776.80	
13SEP17	S	2	PD13DEC17	11590.00	11113.60	USD	5,716.80	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
13SEP17	B	1	PD13DEC17		11345.00	11113.60	USD	-1,388.40	
13SEP17	B	3	PD13DEC17		11405.00	11113.60	USD	-5,245.20	
13SEP17	S	1	PD13DEC17		11350.00	11113.60	USD	1,418.40	
13SEP17	S	3	PD13DEC17		11400.00	11113.60	USD	5,155.20	
13SEP17	B	2	PD13DEC17		11370.00	11113.60	USD	-3,076.80	
13SEP17	B	1	PD13DEC17		11380.00	11113.60	USD	-1,598.40	
13SEP17	S	2	PD13DEC17		11390.00	11113.60	USD	3,316.80	
13SEP17	S	1	PD13DEC17		11395.00	11113.60	USD	1,688.40	
	NET	0	AVG BGHT 11504.38	SOLD 11507.81				330.00	0.00
14SEP17	B	2	PD14DEC17		11460.00	11114.37	USD	-4,147.56	
14SEP17	B	1	PD14DEC17		11465.00	11114.37	USD	-2,103.78	
14SEP17	S	3	PD14DEC17		11465.00	11114.37	USD	6,311.34	
14SEP17	B	1	PD14DEC17		11350.00	11114.37	USD	-1,413.78	
14SEP17	B	1	PD14DEC17		11370.00	11114.37	USD	-1,533.78	
14SEP17	B	2	PD14DEC17		11375.00	11114.37	USD	-3,127.56	
14SEP17	S	6	PD14DEC17		11365.00	11114.37	USD	9,022.68	
14SEP17	B	2	PD14DEC17		11220.00	11114.37	USD	-1,267.56	
14SEP17	B	2	PD14DEC17		11285.00	11114.37	USD	-2,047.56	
14SEP17	S	1	PD14DEC17		11290.00	11114.37	USD	1,053.78	
14SEP17	S	1	PD14DEC17		11300.00	11114.37	USD	1,113.78	
14SEP17	B	1	PD14DEC17		11135.00	11114.37	USD	-123.78	
14SEP17	B	2	PD14DEC17		11140.00	11114.37	USD	-307.56	
14SEP17	B	2	PD14DEC17		11150.00	11114.37	USD	-427.56	
14SEP17	B	2	PD14DEC17		11200.00	11114.37	USD	-1,027.56	
14SEP17	S	3	PD14DEC17		11135.00	11114.37	USD	371.34	
14SEP17	S	4	PD14DEC17		11195.00	11114.37	USD	1,935.12	
14SEP17	B	1	PD14DEC17		11165.00	11114.37	USD	-303.78	
14SEP17	S	1	PD14DEC17		11155.00	11114.37	USD	243.78	
14SEP17	B	2	PD14DEC17		11320.00	11114.37	USD	-2,467.56	
14SEP17	S	2	PD14DEC17		11180.00	11114.37	USD	787.56	
14SEP17	B	2	PD14DEC17		11245.00	11114.37	USD	-1,567.56	
14SEP17	S	2	PD14DEC17		11220.00	11114.37	USD	1,267.56	
14SEP17	B	1	PD14DEC17		11330.00	11114.37	USD	-1,293.78	
14SEP17	B	1	PD14DEC17		11335.00	11114.37	USD	-1,323.78	
14SEP17	B	1	PD14DEC17		11345.00	11114.37	USD	-1,383.78	
14SEP17	S	3	PD14DEC17		11330.00	11114.37	USD	3,881.34	
14SEP17	S	2	PD14DEC17		11410.00	11114.37	USD	3,547.56	
14SEP17	S	1	PD14DEC17		11415.00	11114.37	USD	1,803.78	
14SEP17	B	3	PD14DEC17		11385.00	11114.37	USD	-4,871.34	
	NET	0	AVG BGHT 11291.03	SOLD 11294.48				600.00	0.00
15SEP17	B	2	PD15DEC17		11095.00	11115.14	USD	241.68	
15SEP17	S	1	PD15DEC17		11065.00	11115.14	USD	-300.84	
15SEP17	B	1	PD15DEC17		11065.00	11115.14	USD	300.84	
15SEP17	S	1	PD15DEC17		11125.00	11115.14	USD	59.16	
15SEP17	S	2	PD15DEC17		11090.00	11115.14	USD	-301.68	
15SEP17	B	1	PD15DEC17		11075.00	11115.14	USD	240.84	
15SEP17	B	1	PD15DEC17		11140.00	11115.14	USD	-149.16	
15SEP17	S	1	PD15DEC17		11095.00	11115.14	USD	-120.84	
15SEP17	S	1	PD15DEC17		11130.00	11115.14	USD	89.16	
15SEP17	B	1	PD15DEC17		11015.00	11115.14	USD	600.84	
15SEP17	B	1	PD15DEC17		11030.00	11115.14	USD	510.84	
15SEP17	S	2	PD15DEC17		11005.00	11115.14	USD	-1,321.68	
15SEP17	S	1	PD15DEC17		10900.00	11115.14	USD	-1,290.84	
15SEP17	B	1	PD15DEC17		10905.00	11115.14	USD	1,260.84	
15SEP17	S	1	PD15DEC17		10860.00	11115.14	USD	-1,530.84	
15SEP17	S	1	PD15DEC17		11135.00	11115.14	USD	119.16	
15SEP17	B	2	PD15DEC17		11115.00	11115.14	USD	1.68	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	2	PD15DEC17	10890.00	11115.14	USD	2,701.68	
15SEP17	S	1	PD15DEC17	10895.00	11115.14	USD	-1,320.84	
	NET	0AVG BGHT 11035.83	SOLD 11032.92				-210.00	0.00
18SEP17	S	1	PD18DEC17	11115.00	11117.46	USD	-14.76	
18SEP17	B	1	PD18DEC17	11115.00	11117.46	USD	14.76	
18SEP17	S	2	PD18DEC17	11170.00	11117.46	USD	630.48	
18SEP17	B	1	PD18DEC17	11185.00	11117.46	USD	-405.24	
18SEP17	B	1	PD18DEC17	11190.00	11117.46	USD	-435.24	
18SEP17	B	2	PD18DEC17	11225.00	11117.46	USD	-1,290.48	
18SEP17	S	2	PD18DEC17	11215.00	11117.46	USD	1,170.48	
	NET	0AVG BGHT 11188.00	SOLD 11177.00				-330.00	0.00
19SEP17	B	4	PD19DEC17	11040.00	11118.23	USD	1,877.52	
19SEP17	S	4	PD19DEC17	11040.00	11118.23	USD	-1,877.52	
19SEP17	B	1	PD19DEC17	10955.00	11118.23	USD	979.38	
19SEP17	B	1	PD19DEC17	10970.00	11118.23	USD	889.38	
19SEP17	B	2	PD19DEC17	10990.00	11118.23	USD	1,538.76	
19SEP17	B	2	PD19DEC17	10960.00	11118.23	USD	1,898.76	
19SEP17	S	4	PD19DEC17	11020.00	11118.23	USD	-2,357.52	
19SEP17	S	1	PD19DEC17	11015.00	11118.23	USD	-619.38	
19SEP17	S	1	PD19DEC17	10960.00	11118.23	USD	-949.38	
19SEP17	B	1	PD19DEC17	11190.00	11118.23	USD	-430.62	
19SEP17	B	1	PD19DEC17	11200.00	11118.23	USD	-490.62	
19SEP17	S	1	PD19DEC17	11165.00	11118.23	USD	280.62	
19SEP17	S	3	PD19DEC17	11185.00	11118.23	USD	1,201.86	
19SEP17	B	2	PD19DEC17	11205.00	11118.23	USD	-1,041.24	
	NET	0AVG BGHT 11056.07	SOLD 11066.79				900.00	0.00
20SEP17	B	2	PD20DEC17	11280.00	11119.00	USD	-1,932.00	
20SEP17	B	1	PD20DEC17	11310.00	11119.00	USD	-1,146.00	
20SEP17	S	1	PD20DEC17	11270.00	11119.00	USD	906.00	
20SEP17	S	1	PD20DEC17	11280.00	11119.00	USD	966.00	
20SEP17	S	3	PD20DEC17	11285.00	11119.00	USD	2,988.00	
20SEP17	S	1	PD20DEC17	11295.00	11119.00	USD	1,056.00	
20SEP17	S	2	PD20DEC17	11345.00	11119.00	USD	2,712.00	
20SEP17	B	1	PD20DEC17	11355.00	11119.00	USD	-1,416.00	
20SEP17	S	1	PD20DEC17	11380.00	11119.00	USD	1,566.00	
20SEP17	B	1	PD20DEC17	11330.00	11119.00	USD	-1,266.00	
20SEP17	S	2	PD20DEC17	11355.00	11119.00	USD	2,832.00	
20SEP17	B	3	PD20DEC17	11325.00	11119.00	USD	-3,708.00	
20SEP17	B	1	PD20DEC17	11405.00	11119.00	USD	-1,716.00	
20SEP17	S	1	PD20DEC17	11390.00	11119.00	USD	1,626.00	
20SEP17	B	3	PD20DEC17	11295.00	11119.00	USD	-3,168.00	
	NET	0AVG BGHT 11318.33	SOLD 11322.50				300.00	0.00
21SEP17	B	1	PD21DEC17	11155.00	11119.73	USD	-211.62	
21SEP17	B	1	PD21DEC17	11170.00	11119.73	USD	-301.62	
21SEP17	B	3	PD21DEC17	11090.00	11119.73	USD	535.14	
21SEP17	B	1	PD21DEC17	11140.00	11119.73	USD	-121.62	
21SEP17	B	2	PD21DEC17	11160.00	11119.73	USD	-483.24	
21SEP17	S	1	PD21DEC17	11085.00	11119.73	USD	-208.38	
21SEP17	S	2	PD21DEC17	11090.00	11119.73	USD	-356.76	
21SEP17	S	1	PD21DEC17	11100.00	11119.73	USD	-118.38	
21SEP17	S	3	PD21DEC17	11150.00	11119.73	USD	544.86	
21SEP17	S	1	PD21DEC17	11155.00	11119.73	USD	211.62	
21SEP17	B	1	PD21DEC17	11060.00	11119.73	USD	358.38	
21SEP17	S	1	PD21DEC17	11040.00	11119.73	USD	-478.38	
21SEP17	B	3	PD21DEC17	11020.00	11119.73	USD	1,795.14	
21SEP17	S	1	PD21DEC17	10980.00	11119.73	USD	-838.38	

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21SEP17	S	2	PD21DEC17	11005.00	11119.73	USD	-1,376.76	
21SEP17	B	1	PD21DEC17	10650.00	11119.73	USD	2,818.38	
	NET	+1AVG BGHT 11063.46	SOLD 11083.33				1,768.38	0.00
22SEP17	B	2	PD22DEC17	10745.00	11120.45	USD	4,505.40	
22SEP17	B	1	PD22DEC17	10705.00	11120.45	USD	2,492.70	
22SEP17	S	1	PD22DEC17	10695.00	11120.45	USD	-2,552.70	
22SEP17	B	1	PD22DEC17	10555.00	11120.45	USD	3,392.70	
22SEP17	B	1	PD22DEC17	10560.00	11120.45	USD	3,362.70	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	S	5	PD22DEC17	10550.00	11120.45	USD	-17,113.50	
22SEP17	B	3	PD22DEC17	10510.00	11120.45	USD	10,988.10	
22SEP17	S	3	PD22DEC17	10515.00	11120.45	USD	-10,898.10	
22SEP17	S	1	PD22DEC17	10610.00	11120.45	USD	-3,062.70	
22SEP17	B	1	PD22DEC17	10620.00	11120.45	USD	3,002.70	
22SEP17	B	2	PD22DEC17	10630.00	11120.45	USD	5,885.40	
22SEP17	S	1	PD22DEC17	10630.00	11120.45	USD	-2,942.70	
22SEP17	S	2	PD22DEC17	10615.00	11120.45	USD	-6,065.40	
22SEP17	S	1	PD22DEC17	10635.00	11120.45	USD	-2,912.70	
22SEP17	S	1	PD22DEC17	10605.00	11120.45	USD	-3,092.70	
22SEP17	B	3	PD22DEC17	10550.00	11120.45	USD	10,268.10	
22SEP17	S	1	PD22DEC17	10535.00	11120.45	USD	-3,512.70	
22SEP17	B	1	PD22DEC17	10500.00	11120.45	USD	3,722.70	
22SEP17	S	2	PD22DEC17	10495.00	11120.45	USD	-7,505.40	
22SEP17	B	1	PD22DEC17	10490.00	11120.45	USD	3,782.70	
	NET	-1AVG BGHT 10584.12	SOLD 10568.06				-4,952.70	0.00
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
25SEP17	S	2	PD27DEC17	10495.00	11124.09	USD	-7,549.08	
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	S	2	PD27DEC17	10535.00	11124.09	USD	-7,069.08	
25SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
25SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
26SEP17	B	1	PD27DEC17	10485.00	11124.09	USD	3,834.54	
26SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
26SEP17	B	1	PD27DEC17	10495.00	11124.09	USD	3,774.54	
26SEP17	S	3	PD27DEC17	10500.00	11124.09	USD	-11,233.62	
27SEP17	B	1	PD27DEC17	10255.00	11124.09	USD	5,214.54	
27SEP17	B	1	PD27DEC17	10360.00	11124.09	USD	4,584.54	
27SEP17	B	1	PD27DEC17	10395.00	11124.09	USD	4,374.54	
27SEP17	B	1	PD27DEC17	10400.00	11124.09	USD	4,344.54	
27SEP17	S	2	PD27DEC17	10390.00	11124.09	USD	-8,809.08	
27SEP17	S	1	PD27DEC17	10395.00	11124.09	USD	-4,374.54	
27SEP17	S	1	PD27DEC17	10225.00	11124.09	USD	-5,394.54	
	NET	0AVG BGHT 10449.17	SOLD 10459.17				720.00	0.00
28SEP17	B	2	PD28DEC17	10490.00	11124.82	USD	7,617.84	
28SEP17	S	2	PD28DEC17	10485.00	11124.82	USD	-7,677.84	
	NET	0AVG BGHT 10490.00	SOLD 10485.00				-60.00	0.00
29SEP17	B	1	PD29DEC17	10675.00	11125.55	USD	2,703.30	
29SEP17	B	1	PD29DEC17	10685.00	11125.55	USD	2,643.30	
29SEP17	S	1	PD29DEC17	10645.00	11125.55	USD	-2,883.30	
29SEP17	S	3	PD29DEC17	10700.00	11125.55	USD	-7,659.90	
29SEP17	S	3	PD29DEC17	10690.00	11125.55	USD	-7,839.90	
29SEP17	B	1	PD29DEC17	10660.00	11125.55	USD	2,793.30	
29SEP17	B	3	PD29DEC17	10635.00	11125.55	USD	8,829.90	
29SEP17	B	2	PD29DEC17	10645.00	11125.55	USD	5,766.60	
29SEP17	S	3	PD29DEC17	10630.00	11125.55	USD	-8,919.90	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29SEP17	B	1	PD29DEC17		10655.00	11125.55	USD	2,823.30	
29SEP17	B	1	PD29DEC17		10670.00	11125.55	USD	2,733.30	
	NET	0AVG	BGHT 10654.00	SOLD 10670.50				990.00	0.00
04OCT17	B	1	PD04JAN18		10675.00	11129.91	USD	2,729.46	
04OCT17	S	1	PD04JAN18		10675.00	11129.91	USD	-2,729.46	
04OCT17	B	3	PD04JAN18		10645.00	11129.91	USD	8,728.38	
04OCT17	S	2	PD04JAN18		10650.00	11129.91	USD	-5,758.92	
04OCT17	B	2	PD04JAN18		10650.00	11129.91	USD	5,758.92	
04OCT17	B	3	PD04JAN18		10695.00	11129.91	USD	7,828.38	
04OCT17	B	2	PD04JAN18		10700.00	11129.91	USD	5,158.92	
04OCT17	S	5	PD04JAN18		10695.00	11129.91	USD	-13,047.30	
04OCT17	S	1	PD04JAN18		10735.00	11129.91	USD	-2,369.46	
04OCT17	S	2	PD04JAN18		10795.00	11129.91	USD	-4,018.92	
04OCT17	S	3	PD04JAN18		10800.00	11129.91	USD	-5,938.38	
04OCT17	B	1	PD04JAN18		10805.00	11129.91	USD	1,949.46	
04OCT17	B	4	PD04JAN18		10800.00	11129.91	USD	7,917.84	
04OCT17	B	2	PD04JAN18		10725.00	11129.91	USD	4,858.92	
04OCT17	S	4	PD04JAN18		10720.00	11129.91	USD	-9,837.84	
04OCT17	B	2	PD04JAN18		10605.00	11129.91	USD	6,298.92	
04OCT17	S	2	PD04JAN18		10595.00	11129.91	USD	-6,418.92	
	NET	0AVG	BGHT 10703.00	SOLD 10712.25				1,110.00	0.00
05OCT17	B	3	PD05JAN18		10625.00	11130.64	USD	9,101.52	
05OCT17	S	2	PD05JAN18		10615.00	11130.64	USD	-6,187.68	
05OCT17	B	2	PD05JAN18		10565.00	11130.64	USD	6,787.68	
05OCT17	S	2	PD05JAN18		10570.00	11130.64	USD	-6,727.68	
05OCT17	S	1	PD05JAN18		10590.00	11130.64	USD	-3,243.84	
05OCT17	B	1	PD05JAN18		10595.00	11130.64	USD	3,213.84	
05OCT17	S	1	PD05JAN18		10620.00	11130.64	USD	-3,063.84	
05OCT17	B	3	PD05JAN18		10685.00	11130.64	USD	8,021.52	
05OCT17	S	2	PD05JAN18		10680.00	11130.64	USD	-5,407.68	
05OCT17	S	1	PD05JAN18		10685.00	11130.64	USD	-2,673.84	
05OCT17	B	1	PD05JAN18		10525.00	11130.64	USD	3,633.84	
05OCT17	S	1	PD05JAN18		10565.00	11130.64	USD	-3,393.84	
06OCT17	B	1	PD05JAN18		10605.00	11130.64	USD	3,153.84	
06OCT17	S	2	PD05JAN18		10595.00	11130.64	USD	-6,427.68	
06OCT17	B	1	PD05JAN18		10620.00	11130.64	USD	3,063.84	
	NET	0AVG	BGHT 10617.08	SOLD 10615.00				-150.00	0.00
09OCT17	S	1	PD09JAN18		10760.00	11133.55	USD	-2,241.30	
09OCT17	B	1	PD09JAN18		10775.00	11133.55	USD	2,151.30	
09OCT17	B	1	PD09JAN18		10580.00	11133.55	USD	3,321.30	
09OCT17	B	1	PD09JAN18		10740.00	11133.55	USD	2,361.30	
09OCT17	B	1	PD09JAN18		10615.00	11133.55	USD	3,111.30	
09OCT17	B	1	PD09JAN18		10715.00	11133.55	USD	2,511.30	
09OCT17	S	3	PD09JAN18		10695.00	11133.55	USD	-7,893.90	
09OCT17	S	1	PD09JAN18		10735.00	11133.55	USD	-2,391.30	
09OCT17	S	3	PD09JAN18		10790.00	11133.55	USD	-6,183.90	
09OCT17	B	1	PD09JAN18		10735.00	11133.55	USD	2,391.30	
09OCT17	S	2	PD09JAN18		10585.00	11133.55	USD	-6,582.60	
09OCT17	B	1	PD09JAN18		10785.00	11133.55	USD	2,091.30	
09OCT17	B	2	PD09JAN18		10800.00	11133.55	USD	4,002.60	
09OCT17	B	1	PD09JAN18		10720.00	11133.55	USD	2,481.30	
09OCT17	S	2	PD09JAN18		10785.00	11133.55	USD	-4,182.60	
09OCT17	S	2	PD09JAN18		10805.00	11133.55	USD	-3,942.60	
09OCT17	S	1	PD09JAN18		10815.00	11133.55	USD	-1,911.30	
09OCT17	B	3	PD09JAN18		10830.00	11133.55	USD	5,463.90	
09OCT17	S	3	PD09JAN18		10840.00	11133.55	USD	-5,283.90	

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09OCT17	B	2	PD09JAN18	10860.00	11133.55	USD	3,282.60	
09OCT17	S	2	PD09JAN18	10855.00	11133.55	USD	-3,342.60	
09OCT17	S	2	PD09JAN18	10765.00	11133.55	USD	-4,422.60	
09OCT17	B	2	PD09JAN18	10710.00	11133.55	USD	5,082.60	
09OCT17	B	4	PD09JAN18	10790.00	11133.55	USD	8,245.20	
09OCT17	S	2	PD09JAN18	10795.00	11133.55	USD	-4,062.60	
09OCT17	B	2	PD09JAN18	10855.00	11133.55	USD	3,342.60	
09OCT17	B	1	PD09JAN18	10905.00	11133.55	USD	1,371.30	
09OCT17	S	2	PD09JAN18	10890.00	11133.55	USD	-2,922.60	
09OCT17	S	2	PD09JAN18	10895.00	11133.55	USD	-2,862.60	
09OCT17	S	3	PD09JAN18	10900.00	11133.55	USD	-4,203.90	
09OCT17	B	6	PD09JAN18	10900.00	11133.55	USD	8,407.80	
09OCT17	B	1	PD09JAN18	10915.00	11133.55	USD	1,311.30	
	NET	0 AVG BGHT 10805.97	SOLD 10797.90				-1,500.00	0.00
11OCT17	B	1	PD11JAN18	11010.00	11135.00	USD	750.00	
11OCT17	B	1	PD11JAN18	11165.00	11135.00	USD	-180.00	
11OCT17	S	1	PD11JAN18	11000.00	11135.00	USD	-810.00	
11OCT17	S	2	PD11JAN18	11160.00	11135.00	USD	300.00	
11OCT17	B	1	PD11JAN18	11110.00	11135.00	USD	150.00	
11OCT17	B	1	PD11JAN18	11115.00	11135.00	USD	120.00	
11OCT17	S	1	PD11JAN18	11145.00	11135.00	USD	60.00	
	NET	0 AVG BGHT 11100.00	SOLD 11116.25				390.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	2	PD12OCT17	19890.00	20990.00	USD	-11,000.00	
12JUL17	B	1	PD12OCT17	19900.00	20990.00	USD	5,450.00	
12JUL17	S	1	PD12OCT17	19940.00	20990.00	USD	-5,250.00	
12JUL17	S	1	PD12OCT17	19920.00	20990.00	USD	-5,350.00	
12JUL17	B	3	PD12OCT17	19950.00	20990.00	USD	15,600.00	
12JUL17	B	1	PD12OCT17	19910.00	20990.00	USD	5,400.00	
12JUL17	S	2	PD12OCT17	19930.00	20990.00	USD	-10,600.00	
12JUL17	B	2	PD12OCT17	19905.00	20990.00	USD	10,850.00	
12JUL17	S	1	PD12OCT17	19900.00	20990.00	USD	-5,450.00	
	NET	0 AVG BGHT 19924.29	SOLD 19914.29				-350.00	0.00
13JUL17	B	2	PD13OCT17	19955.00	20990.00	USD	10,350.00	
13JUL17	S	1	PD13OCT17	19950.00	20990.00	USD	-5,200.00	
13JUL17	S	1	PD13OCT17	19970.00	20990.00	USD	-5,100.00	
13JUL17	S	4	PD13OCT17	19980.00	20990.00	USD	-20,200.00	
13JUL17	B	1	PD13OCT17	19950.00	20990.00	USD	5,200.00	
13JUL17	B	2	PD13OCT17	19935.00	20990.00	USD	10,550.00	
13JUL17	S	1	PD13OCT17	19960.00	20990.00	USD	-5,150.00	
13JUL17	S	3	PD13OCT17	19900.00	20990.00	USD	-16,350.00	
13JUL17	B	4	PD13OCT17	19920.00	20990.00	USD	21,400.00	
13JUL17	B	1	PD13OCT17	19925.00	20990.00	USD	5,325.00	
13JUL17	S	2	PD13OCT17	19965.00	20990.00	USD	-10,250.00	
13JUL17	S	1	PD13OCT17	19920.00	20990.00	USD	-5,350.00	
13JUL17	S	1	PD13OCT17	19925.00	20990.00	USD	-5,325.00	
13JUL17	S	1	PD13OCT17	19915.00	20990.00	USD	-5,375.00	
13JUL17	B	2	PD13OCT17	19930.00	20990.00	USD	10,600.00	
13JUL17	S	4	PD13OCT17	19935.00	20990.00	USD	-21,100.00	
13JUL17	B	1	PD13OCT17	19835.00	20990.00	USD	5,775.00	
13JUL17	B	1	PD13OCT17	19885.00	20990.00	USD	5,525.00	
13JUL17	S	1	PD13OCT17	19875.00	20990.00	USD	-5,575.00	
13JUL17	B	4	PD13OCT17	19870.00	20990.00	USD	22,400.00	
13JUL17	B	3	PD13OCT17	19900.00	20990.00	USD	16,350.00	
13JUL17	S	2	PD13OCT17	19895.00	20990.00	USD	-10,950.00	

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13JUL17	B	3	PD130CT17	19915.00	20990.00	USD	16,125.00	
13JUL17	S	2	PD130CT17	19865.00	20990.00	USD	-11,250.00	
14JUL17	B	2	PD130CT17	19830.00	20990.00	USD	11,600.00	
14JUL17	B	2	PD130CT17	19835.00	20990.00	USD	11,550.00	
14JUL17	S	1	PD130CT17	19855.00	20990.00	USD	-5,675.00	
14JUL17	S	1	PD130CT17	19845.00	20990.00	USD	-5,725.00	
14JUL17	S	1	PD130CT17	19815.00	20990.00	USD	-5,875.00	
14JUL17	S	3	PD130CT17	19870.00	20990.00	USD	-16,800.00	
14JUL17	S	2	PD130CT17	19860.00	20990.00	USD	-11,300.00	
14JUL17	B	3	PD130CT17	19870.00	20990.00	USD	16,800.00	
14JUL17	S	1	PD130CT17	19830.00	20990.00	USD	-5,800.00	
14JUL17	S	1	PD130CT17	19865.00	20990.00	USD	-5,625.00	
14JUL17	B	1	PD130CT17	19895.00	20990.00	USD	5,475.00	
14JUL17	S	1	PD130CT17	19890.00	20990.00	USD	-5,500.00	
14JUL17	B	1	PD130CT17	19860.00	20990.00	USD	5,650.00	
14JUL17	B	1	PD130CT17	19845.00	20990.00	USD	5,725.00	
14JUL17	B	2	PD130CT17	19865.00	20990.00	USD	11,250.00	
14JUL17	S	2	PD130CT17	19835.00	20990.00	USD	-11,550.00	
14JUL17	S	1	PD130CT17	19880.00	20990.00	USD	-5,550.00	
14JUL17	B	2	PD130CT17	19890.00	20990.00	USD	11,000.00	
	NET	0AVG BGHT	19891.84	SOLD	19902.76		2,075.00	0.00
17JUL17	S	1	PD170CT17	20005.00	20990.00	USD	-4,925.00	
17JUL17	B	1	PD170CT17	19955.00	20990.00	USD	5,175.00	
17JUL17	B	5	PD170CT17	20000.00	20990.00	USD	24,750.00	
17JUL17	B	2	PD170CT17	20050.00	20990.00	USD	9,400.00	
17JUL17	S	1	PD170CT17	20065.00	20990.00	USD	-4,625.00	
17JUL17	S	1	PD170CT17	20070.00	20990.00	USD	-4,600.00	
17JUL17	B	2	PD170CT17	19925.00	20990.00	USD	10,650.00	
17JUL17	B	1	PD170CT17	19950.00	20990.00	USD	5,200.00	
17JUL17	B	1	PD170CT17	19995.00	20990.00	USD	4,975.00	
17JUL17	S	1	PD170CT17	20010.00	20990.00	USD	-4,900.00	
17JUL17	S	1	PD170CT17	19970.00	20990.00	USD	-5,100.00	
17JUL17	S	6	PD170CT17	19995.00	20990.00	USD	-29,850.00	
17JUL17	S	2	PD170CT17	19985.00	20990.00	USD	-10,050.00	
17JUL17	B	3	PD170CT17	19975.00	20990.00	USD	15,225.00	
17JUL17	B	1	PD170CT17	19910.00	20990.00	USD	5,400.00	
17JUL17	B	2	PD170CT17	19945.00	20990.00	USD	10,450.00	
17JUL17	S	1	PD170CT17	19900.00	20990.00	USD	-5,450.00	
17JUL17	S	1	PD170CT17	19880.00	20990.00	USD	-5,550.00	
17JUL17	S	4	PD170CT17	19910.00	20990.00	USD	-21,600.00	
20JUL17	B	1	PD170CT17	20091.58	20990.00	USD	4,492.10	
	NET	0AVG BGHT	19982.45	SOLD	19972.63		-932.90	0.00
18JUL17	B	3	PD180CT17	19920.00	20990.00	USD	16,050.00	
18JUL17	S	1	PD180CT17	19915.00	20990.00	USD	-5,375.00	
18JUL17	S	2	PD180CT17	19925.00	20990.00	USD	-10,650.00	
18JUL17	B	1	PD180CT17	19910.00	20990.00	USD	5,400.00	
18JUL17	S	1	PD180CT17	19950.00	20990.00	USD	-5,200.00	
18JUL17	S	1	PD180CT17	19960.00	20990.00	USD	-5,150.00	
18JUL17	B	1	PD180CT17	19950.00	20990.00	USD	5,200.00	
18JUL17	S	2	PD180CT17	19995.00	20990.00	USD	-9,950.00	
18JUL17	B	1	PD180CT17	19970.00	20990.00	USD	5,100.00	
18JUL17	B	1	PD180CT17	19980.00	20990.00	USD	5,050.00	
18JUL17	B	1	PD180CT17	20000.00	20990.00	USD	4,950.00	
18JUL17	B	1	PD180CT17	20050.00	20990.00	USD	4,700.00	
18JUL17	S	4	PD180CT17	20040.00	20990.00	USD	-19,000.00	
18JUL17	B	1	PD180CT17	20045.00	20990.00	USD	4,725.00	
18JUL17	S	3	PD180CT17	20050.00	20990.00	USD	-14,100.00	

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18JUL17	B	2	PD18OCT17	20100.00	20990.00	USD	8,900.00	
18JUL17	B	1	PD18OCT17	20030.00	20990.00	USD	4,800.00	
18JUL17	S	2	PD18OCT17	20045.00	20990.00	USD	-9,450.00	
18JUL17	B	3	PD18OCT17	20010.00	20990.00	USD	14,700.00	
18JUL17	B	1	PD18OCT17	20005.00	20990.00	USD	4,925.00	
20JUL17	S	1	PD18OCT17	20089.00	20990.00	USD	-4,505.00	
	NET	0	AVG BGHT 19995.88	SOLD 20009.06			1,120.00	0.00
19JUL17	B	2	PD19OCT17	20060.00	20988.00	USD	9,280.00	
19JUL17	B	2	PD19OCT17	20100.00	20988.00	USD	8,880.00	
19JUL17	B	2	PD19OCT17	20070.00	20988.00	USD	9,180.00	
19JUL17	S	1	PD19OCT17	20070.00	20988.00	USD	-4,590.00	
19JUL17	S	1	PD19OCT17	20055.00	20988.00	USD	-4,665.00	
19JUL17	S	1	PD19OCT17	20050.00	20988.00	USD	-4,690.00	
19JUL17	B	7	PD19OCT17	20055.00	20988.00	USD	32,655.00	
19JUL17	S	2	PD19OCT17	20040.00	20988.00	USD	-9,480.00	
19JUL17	S	1	PD19OCT17	20100.00	20988.00	USD	-4,440.00	
19JUL17	B	2	PD19OCT17	20115.00	20988.00	USD	8,730.00	
19JUL17	S	2	PD19OCT17	20110.00	20988.00	USD	-8,780.00	
19JUL17	S	1	PD19OCT17	20130.00	20988.00	USD	-4,290.00	
19JUL17	B	1	PD19OCT17	20125.00	20988.00	USD	4,315.00	
19JUL17	S	2	PD19OCT17	20075.00	20988.00	USD	-9,130.00	
19JUL17	B	1	PD19OCT17	20045.00	20988.00	USD	4,715.00	
19JUL17	B	1	PD19OCT17	20075.00	20988.00	USD	4,565.00	
19JUL17	B	1	PD19OCT17	20095.00	20988.00	USD	4,465.00	
19JUL17	S	2	PD19OCT17	20145.00	20988.00	USD	-8,430.00	
19JUL17	B	1	PD19OCT17	20090.00	20988.00	USD	4,490.00	
19JUL17	B	2	PD19OCT17	20135.00	20988.00	USD	8,530.00	
19JUL17	S	1	PD19OCT17	20085.00	20988.00	USD	-4,515.00	
19JUL17	S	5	PD19OCT17	20095.00	20988.00	USD	-22,325.00	
19JUL17	S	2	PD19OCT17	20115.00	20988.00	USD	-8,730.00	
19JUL17	S	6	PD19OCT17	20105.00	20988.00	USD	-26,490.00	
06OCT17	B	5	PD19OCT17	20656.50	20988.00	USD	8,287.50	
	NET	0	AVG BGHT 20187.31	SOLD 20095.00			-12,462.50	0.00
20JUL17	B	1	PD20OCT17	20105.00	20986.00	USD	4,405.00	
20JUL17	B	1	PD20OCT17	20115.00	20986.00	USD	4,355.00	
20JUL17	S	1	PD20OCT17	20100.00	20986.00	USD	-4,430.00	
20JUL17	S	1	PD20OCT17	20125.00	20986.00	USD	-4,305.00	
20JUL17	S	7	PD20OCT17	20095.00	20986.00	USD	-31,185.00	
20JUL17	B	6	PD20OCT17	20100.00	20986.00	USD	26,580.00	
20JUL17	S	1	PD20OCT17	20110.00	20986.00	USD	-4,380.00	
20JUL17	B	7	PD20OCT17	20110.00	20986.00	USD	30,660.00	
21JUL17	S	1	PD20OCT17	20080.00	20986.00	USD	-4,530.00	
21JUL17	S	1	PD20OCT17	20090.00	20986.00	USD	-4,480.00	
21JUL17	S	1	PD20OCT17	20115.00	20986.00	USD	-4,355.00	
21JUL17	B	1	PD20OCT17	20110.00	20986.00	USD	4,380.00	
21JUL17	B	1	PD20OCT17	20115.00	20986.00	USD	4,355.00	
21JUL17	B	1	PD20OCT17	20100.00	20986.00	USD	4,430.00	
21JUL17	B	1	PD20OCT17	20160.00	20986.00	USD	4,130.00	
21JUL17	S	1	PD20OCT17	20150.00	20986.00	USD	-4,180.00	
21JUL17	S	2	PD20OCT17	20170.00	20986.00	USD	-8,160.00	
21JUL17	B	1	PD20OCT17	20135.00	20986.00	USD	4,255.00	
21JUL17	S	1	PD20OCT17	20160.00	20986.00	USD	-4,130.00	
21JUL17	S	3	PD20OCT17	20130.00	20986.00	USD	-12,840.00	
21JUL17	S	2	PD20OCT17	20140.00	20986.00	USD	-8,460.00	
21JUL17	B	3	PD20OCT17	20155.00	20986.00	USD	12,465.00	
21JUL17	B	4	PD20OCT17	20150.00	20986.00	USD	16,720.00	
21JUL17	B	1	PD20OCT17	20190.00	20986.00	USD	3,980.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	2	PD200CT17	20200.00	20986.00	USD	7,860.00	
21JUL17	S	3	PD200CT17	20180.00	20986.00	USD	-12,090.00	
21JUL17	S	1	PD200CT17	20210.00	20986.00	USD	-3,880.00	
21JUL17	B	3	PD200CT17	20215.00	20986.00	USD	11,565.00	
21JUL17	S	2	PD200CT17	20215.00	20986.00	USD	-7,710.00	
06OCT17	S	5	PD200CT17	20652.00	20986.00	USD	-8,350.00	
	NET	0	AVG BGHT 20136.67				12,675.00	0.00
24JUL17	B	1	PD240CT17	20260.00	20982.00	USD	3,610.00	
24JUL17	B	1	PD240CT17	20255.00	20982.00	USD	3,635.00	
24JUL17	S	1	PD240CT17	20250.00	20982.00	USD	-3,660.00	
24JUL17	B	1	PD240CT17	20170.00	20982.00	USD	4,060.00	
24JUL17	B	2	PD240CT17	20175.00	20982.00	USD	8,070.00	
24JUL17	S	2	PD240CT17	20150.00	20982.00	USD	-8,320.00	
24JUL17	S	3	PD240CT17	20125.00	20982.00	USD	-12,855.00	
24JUL17	S	2	PD240CT17	20135.00	20982.00	USD	-8,470.00	
24JUL17	B	5	PD240CT17	20155.00	20982.00	USD	20,675.00	
24JUL17	B	2	PD240CT17	20160.00	20982.00	USD	8,220.00	
24JUL17	B	2	PD240CT17	20200.00	20982.00	USD	7,820.00	
24JUL17	S	2	PD240CT17	20180.00	20982.00	USD	-8,020.00	
24JUL17	S	3	PD240CT17	20130.00	20982.00	USD	-12,780.00	
24JUL17	B	1	PD240CT17	20180.00	20982.00	USD	4,010.00	
24JUL17	S	1	PD240CT17	20140.00	20982.00	USD	-4,210.00	
24JUL17	B	2	PD240CT17	20245.00	20982.00	USD	7,370.00	
24JUL17	B	8	PD240CT17	20130.00	20982.00	USD	34,080.00	
24JUL17	S	3	PD240CT17	20160.00	20982.00	USD	-12,330.00	
24JUL17	S	9	PD240CT17	20220.00	20982.00	USD	-34,290.00	
24JUL17	B	1	PD240CT17	20125.00	20982.00	USD	4,285.00	
	NET	0	AVG BGHT 20167.88				900.00	0.00
25JUL17	B	8	PD250CT17	20200.00	20980.00	USD	31,200.00	
25JUL17	S	1	PD250CT17	20225.00	20980.00	USD	-3,775.00	
25JUL17	S	4	PD250CT17	20255.00	20980.00	USD	-14,500.00	
25JUL17	S	1	PD250CT17	20245.00	20980.00	USD	-3,675.00	
25JUL17	B	1	PD250CT17	20230.00	20980.00	USD	3,750.00	
25JUL17	S	3	PD250CT17	20200.00	20980.00	USD	-11,700.00	
25JUL17	B	4	PD250CT17	20300.00	20980.00	USD	13,600.00	
25JUL17	S	1	PD250CT17	20325.00	20980.00	USD	-3,275.00	
25JUL17	S	4	PD250CT17	20300.00	20980.00	USD	-13,600.00	
25JUL17	S	5	PD250CT17	20320.00	20980.00	USD	-16,500.00	
25JUL17	B	1	PD250CT17	20325.00	20980.00	USD	3,275.00	
25JUL17	B	6	PD250CT17	20330.00	20980.00	USD	19,500.00	
25JUL17	B	1	PD250CT17	20295.00	20980.00	USD	3,425.00	
25JUL17	B	1	PD250CT17	20335.00	20980.00	USD	3,225.00	
25JUL17	S	4	PD250CT17	20330.00	20980.00	USD	-13,000.00	
25JUL17	S	1	PD250CT17	20335.00	20980.00	USD	-3,225.00	
25JUL17	S	3	PD250CT17	20350.00	20980.00	USD	-9,450.00	
25JUL17	B	1	PD250CT17	20350.00	20980.00	USD	3,150.00	
06OCT17	B	4	PD250CT17	20642.50	20980.00	USD	6,750.00	
	NET	0	AVG BGHT 20329.07				-4,825.00	0.00
26JUL17	B	1	PD260CT17	20320.00	20978.00	USD	3,290.00	
26JUL17	S	2	PD260CT17	20275.00	20978.00	USD	-7,030.00	
26JUL17	B	2	PD260CT17	20325.00	20978.00	USD	6,530.00	
26JUL17	B	5	PD260CT17	20350.00	20978.00	USD	15,700.00	
26JUL17	S	1	PD260CT17	20330.00	20978.00	USD	-3,240.00	
26JUL17	S	1	PD260CT17	20370.00	20978.00	USD	-3,040.00	
26JUL17	S	8	PD260CT17	20360.00	20978.00	USD	-24,720.00	
26JUL17	B	4	PD260CT17	20310.00	20978.00	USD	13,360.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
26JUL17	S	1	PD260CT17		20255.00	20978.00	USD	-3,615.00	
26JUL17	B	2	PD260CT17		20370.00	20978.00	USD	6,080.00	
26JUL17	B	1	PD260CT17		20365.00	20978.00	USD	3,065.00	
26JUL17	B	2	PD260CT17		20375.00	20978.00	USD	6,030.00	
06OCT17	S	4	PD260CT17		20638.00	20978.00	USD	-6,800.00	
	NET	0AVG	BGHT 20342.06	SOLD 20408.06				5,610.00	0.00
27JUL17	S	1	PD270CT17		20480.00	20976.00	USD	-2,480.00	
27JUL17	B	2	PD270CT17		20550.00	20976.00	USD	4,260.00	
27JUL17	S	1	PD270CT17		20535.00	20976.00	USD	-2,205.00	
27JUL17	B	3	PD270CT17		20500.00	20976.00	USD	7,140.00	
27JUL17	S	1	PD270CT17		20450.00	20976.00	USD	-2,630.00	
27JUL17	S	2	PD270CT17		20490.00	20976.00	USD	-4,860.00	
27JUL17	S	1	PD270CT17		20500.00	20976.00	USD	-2,380.00	
27JUL17	S	1	PD270CT17		20530.00	20976.00	USD	-2,230.00	
27JUL17	B	1	PD270CT17		20570.00	20976.00	USD	2,030.00	
27JUL17	B	4	PD270CT17		20600.00	20976.00	USD	7,520.00	
27JUL17	S	1	PD270CT17		20540.00	20976.00	USD	-2,180.00	
27JUL17	S	1	PD270CT17		20545.00	20976.00	USD	-2,155.00	
27JUL17	S	1	PD270CT17		20580.00	20976.00	USD	-1,980.00	
27JUL17	S	4	PD270CT17		20585.00	20976.00	USD	-7,820.00	
27JUL17	S	1	PD270CT17		20590.00	20976.00	USD	-1,930.00	
27JUL17	B	2	PD270CT17		20705.00	20976.00	USD	2,710.00	
27JUL17	S	2	PD270CT17		20675.00	20976.00	USD	-3,010.00	
27JUL17	S	2	PD270CT17		20730.00	20976.00	USD	-2,460.00	
27JUL17	S	3	PD270CT17		20665.00	20976.00	USD	-4,665.00	
27JUL17	S	3	PD270CT17		20710.00	20976.00	USD	-3,990.00	
27JUL17	B	3	PD270CT17		20560.00	20976.00	USD	6,240.00	
27JUL17	S	4	PD270CT17		20670.00	20976.00	USD	-6,120.00	
27JUL17	B	1	PD270CT17		20495.00	20976.00	USD	2,405.00	
27JUL17	B	1	PD270CT17		20610.00	20976.00	USD	1,830.00	
27JUL17	B	10	PD270CT17		20650.00	20976.00	USD	16,300.00	
27JUL17	B	1	PD270CT17		20695.00	20976.00	USD	1,405.00	
27JUL17	B	1	PD270CT17		20710.00	20976.00	USD	1,330.00	
27JUL17	S	1	PD270CT17		20640.00	20976.00	USD	-1,680.00	
27JUL17	S	1	PD270CT17		20735.00	20976.00	USD	-1,205.00	
27JUL17	S	1	PD270CT17		20740.00	20976.00	USD	-1,180.00	
27JUL17	B	2	PD270CT17		20760.00	20976.00	USD	2,160.00	
27JUL17	B	1	PD270CT17		20770.00	20976.00	USD	1,030.00	
27JUL17	S	4	PD270CT17		20750.00	20976.00	USD	-4,520.00	
27JUL17	S	2	PD270CT17		20765.00	20976.00	USD	-2,110.00	
27JUL17	B	5	PD270CT17		20670.00	20976.00	USD	7,650.00	
27JUL17	S	1	PD270CT17		20700.00	20976.00	USD	-1,380.00	
27JUL17	B	1	PD270CT17		20665.00	20976.00	USD	1,555.00	
27JUL17	B	2	PD270CT17		20700.00	20976.00	USD	2,760.00	
27JUL17	B	1	PD270CT17		20755.00	20976.00	USD	1,105.00	
27JUL17	B	1	PD270CT17		20765.00	20976.00	USD	1,055.00	
27JUL17	S	1	PD270CT17		20720.00	20976.00	USD	-1,280.00	
27JUL17	S	2	PD270CT17		20645.00	20976.00	USD	-3,310.00	
27JUL17	S	1	PD270CT17		20650.00	20976.00	USD	-1,630.00	
27JUL17	B	2	PD270CT17		20580.00	20976.00	USD	3,960.00	
27JUL17	B	1	PD270CT17		20615.00	20976.00	USD	1,805.00	
27JUL17	S	2	PD270CT17		20610.00	20976.00	USD	-3,660.00	
27JUL17	B	4	PD270CT17		20640.00	20976.00	USD	6,720.00	
27JUL17	S	1	PD270CT17		20630.00	20976.00	USD	-1,730.00	
27JUL17	S	3	PD270CT17		20680.00	20976.00	USD	-4,440.00	
27JUL17	S	7	PD270CT17		20695.00	20976.00	USD	-9,835.00	
27JUL17	B	1	PD270CT17		20635.00	20976.00	USD	1,705.00	

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27JUL17	B	1	PD27OCT17	20655.00	20976.00	USD	1,605.00	
27JUL17	S	1	PD27OCT17	20615.00	20976.00	USD	-1,805.00	
27JUL17	B	1	PD27OCT17	20680.00	20976.00	USD	1,480.00	
28JUL17	B	2	PD27OCT17	20585.00	20976.00	USD	3,910.00	
28JUL17	B	1	PD27OCT17	20630.00	20976.00	USD	1,730.00	
28JUL17	B	1	PD27OCT17	20690.00	20976.00	USD	1,430.00	
28JUL17	S	1	PD27OCT17	20740.00	20976.00	USD	-1,180.00	
28JUL17	B	1	PD27OCT17	20565.00	20976.00	USD	2,055.00	
28JUL17	S	1	PD27OCT17	20550.00	20976.00	USD	-2,130.00	
28JUL17	S	2	PD27OCT17	20690.00	20976.00	USD	-2,860.00	
28JUL17	S	2	PD27OCT17	20705.00	20976.00	USD	-2,710.00	
28JUL17	S	1	PD27OCT17	20720.00	20976.00	USD	-1,280.00	
28JUL17	B	4	PD27OCT17	20670.00	20976.00	USD	6,120.00	
28JUL17	B	2	PD27OCT17	20740.00	20976.00	USD	2,360.00	
28JUL17	S	1	PD27OCT17	20750.00	20976.00	USD	-1,130.00	
28JUL17	S	4	PD27OCT17	20710.00	20976.00	USD	-5,320.00	
28JUL17	B	2	PD27OCT17	20710.00	20976.00	USD	2,660.00	
28JUL17	B	1	PD27OCT17	20705.00	20976.00	USD	1,355.00	
28JUL17	S	2	PD27OCT17	20725.00	20976.00	USD	-2,510.00	
28JUL17	S	2	PD27OCT17	20745.00	20976.00	USD	-2,310.00	
28JUL17	B	4	PD27OCT17	20785.00	20976.00	USD	3,820.00	
28JUL17	B	1	PD27OCT17	20810.00	20976.00	USD	830.00	
28JUL17	B	1	PD27OCT17	20815.00	20976.00	USD	805.00	
28JUL17	S	1	PD27OCT17	20800.00	20976.00	USD	-880.00	
28JUL17	S	1	PD27OCT17	20810.00	20976.00	USD	-830.00	
28JUL17	B	1	PD27OCT17	20820.00	20976.00	USD	780.00	
28JUL17	S	2	PD27OCT17	20835.00	20976.00	USD	-1,410.00	
28JUL17	S	2	PD27OCT17	20900.00	20976.00	USD	-760.00	
28JUL17	B	1	PD27OCT17	20850.00	20976.00	USD	630.00	
28JUL17	S	3	PD27OCT17	20840.00	20976.00	USD	-2,040.00	
28JUL17	B	1	PD27OCT17	20765.00	20976.00	USD	1,055.00	
28JUL17	B	1	PD27OCT17	20855.00	20976.00	USD	605.00	
28JUL17	S	1	PD27OCT17	20805.00	20976.00	USD	-855.00	
28JUL17	B	3	PD27OCT17	20780.00	20976.00	USD	2,940.00	
28JUL17	S	1	PD27OCT17	20815.00	20976.00	USD	-805.00	
28JUL17	B	1	PD27OCT17	20745.00	20976.00	USD	1,155.00	
28JUL17	B	1	PD27OCT17	20750.00	20976.00	USD	1,130.00	
28JUL17	B	4	PD27OCT17	20625.00	20976.00	USD	7,020.00	
28JUL17	B	2	PD27OCT17	20700.00	20976.00	USD	2,760.00	
28JUL17	B	3	PD27OCT17	20715.00	20976.00	USD	3,915.00	
28JUL17	B	3	PD27OCT17	20725.00	20976.00	USD	3,765.00	
28JUL17	S	2	PD27OCT17	20660.00	20976.00	USD	-3,160.00	
28JUL17	B	1	PD27OCT17	20655.00	20976.00	USD	1,605.00	
28JUL17	S	1	PD27OCT17	20600.00	20976.00	USD	-1,880.00	
28JUL17	S	3	PD27OCT17	20645.00	20976.00	USD	-4,965.00	
28JUL17	S	1	PD27OCT17	20635.00	20976.00	USD	-1,705.00	
28JUL17	S	2	PD27OCT17	20650.00	20976.00	USD	-3,260.00	
28JUL17	S	1	PD27OCT17	20695.00	20976.00	USD	-1,405.00	
28JUL17	S	2	PD27OCT17	20775.00	20976.00	USD	-2,010.00	
28JUL17	B	1	PD27OCT17	20660.00	20976.00	USD	1,580.00	
28JUL17	B	1	PD27OCT17	20675.00	20976.00	USD	1,505.00	
	NET	0AVG BGHT 20673.33	SOLD 20683.80				5,025.00	0.00
02AUG17	B	1	PD02NOV17	20495.00	20968.00	USD	2,365.00	
02AUG17	B	2	PD02NOV17	20500.00	20968.00	USD	4,680.00	
02AUG17	S	2	PD02NOV17	20500.00	20968.00	USD	-4,680.00	
02AUG17	S	2	PD02NOV17	20425.00	20968.00	USD	-5,430.00	
02AUG17	B	1	PD02NOV17	20385.00	20968.00	USD	2,915.00	

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02AUG17	S	1	PD02NOV17	20385.00	20968.00	USD	-2,915.00	
02AUG17	B	1	PD02NOV17	20475.00	20968.00	USD	2,465.00	
02AUG17	S	1	PD02NOV17	20545.00	20968.00	USD	-2,115.00	
02AUG17	B	1	PD02NOV17	20535.00	20968.00	USD	2,165.00	
02AUG17	S	1	PD02NOV17	20530.00	20968.00	USD	-2,190.00	
02AUG17	S	1	PD02NOV17	20555.00	20968.00	USD	-2,065.00	
02AUG17	S	1	PD02NOV17	20560.00	20968.00	USD	-2,040.00	
02AUG17	S	1	PD02NOV17	20565.00	20968.00	USD	-2,015.00	
02AUG17	B	3	PD02NOV17	20570.00	20968.00	USD	5,970.00	
02AUG17	B	1	PD02NOV17	20580.00	20968.00	USD	1,940.00	
	NET	0 AVG BGHT 20518.00	SOLD 20499.00				-950.00	0.00
03AUG17	B	1	PD03NOV17	20595.00	20966.00	USD	1,855.00	
03AUG17	B	2	PD03NOV17	20565.00	20966.00	USD	4,010.00	
03AUG17	S	2	PD03NOV17	20610.00	20966.00	USD	-3,560.00	
03AUG17	B	2	PD03NOV17	20625.00	20966.00	USD	3,410.00	
03AUG17	S	2	PD03NOV17	20590.00	20966.00	USD	-3,760.00	
03AUG17	B	1	PD03NOV17	20645.00	20966.00	USD	1,605.00	
03AUG17	S	2	PD03NOV17	20595.00	20966.00	USD	-3,710.00	
03AUG17	B	1	PD03NOV17	20635.00	20966.00	USD	1,655.00	
03AUG17	B	1	PD03NOV17	20640.00	20966.00	USD	1,630.00	
03AUG17	S	1	PD03NOV17	20620.00	20966.00	USD	-1,730.00	
03AUG17	S	1	PD03NOV17	20600.00	20966.00	USD	-1,830.00	
04AUG17	S	1	PD03NOV17	20690.00	20966.00	USD	-1,380.00	
04AUG17	S	2	PD03NOV17	20715.00	20966.00	USD	-2,510.00	
04AUG17	B	2	PD03NOV17	20800.00	20966.00	USD	1,660.00	
04AUG17	S	2	PD03NOV17	20795.00	20966.00	USD	-1,710.00	
04AUG17	S	4	PD03NOV17	20775.00	20966.00	USD	-3,820.00	
04AUG17	S	1	PD03NOV17	20780.00	20966.00	USD	-930.00	
04AUG17	B	5	PD03NOV17	20700.00	20966.00	USD	6,650.00	
04AUG17	S	1	PD03NOV17	20700.00	20966.00	USD	-1,330.00	
04AUG17	S	1	PD03NOV17	20650.00	20966.00	USD	-1,580.00	
04AUG17	B	1	PD03NOV17	20575.00	20966.00	USD	1,955.00	
04AUG17	B	1	PD03NOV17	20665.00	20966.00	USD	1,505.00	
04AUG17	B	1	PD03NOV17	20675.00	20966.00	USD	1,455.00	
04AUG17	B	2	PD03NOV17	20685.00	20966.00	USD	2,810.00	
04AUG17	B	1	PD03NOV17	20730.00	20966.00	USD	1,180.00	
04AUG17	S	1	PD03NOV17	20590.00	20966.00	USD	-1,880.00	
04AUG17	B	1	PD03NOV17	20565.00	20966.00	USD	2,005.00	
04AUG17	S	1	PD03NOV17	20575.00	20966.00	USD	-1,955.00	
04AUG17	B	1	PD03NOV17	20540.00	20966.00	USD	2,130.00	
04AUG17	B	2	PD03NOV17	20545.00	20966.00	USD	4,210.00	
04AUG17	S	1	PD03NOV17	20560.00	20966.00	USD	-2,030.00	
04AUG17	S	2	PD03NOV17	20570.00	20966.00	USD	-3,960.00	
	NET	0 AVG BGHT 20648.20	SOLD 20664.60				2,050.00	0.00
07AUG17	S	1	PD07NOV17	20640.00	20962.00	USD	-1,610.00	
07AUG17	B	3	PD07NOV17	20650.00	20962.00	USD	4,680.00	
07AUG17	S	1	PD07NOV17	20585.00	20962.00	USD	-1,885.00	
07AUG17	B	1	PD07NOV17	20590.00	20962.00	USD	1,860.00	
07AUG17	S	3	PD07NOV17	20575.00	20962.00	USD	-5,805.00	
07AUG17	B	2	PD07NOV17	20580.00	20962.00	USD	3,820.00	
07AUG17	S	3	PD07NOV17	20655.00	20962.00	USD	-4,605.00	
07AUG17	S	2	PD07NOV17	20695.00	20962.00	USD	-2,670.00	
07AUG17	S	1	PD07NOV17	20675.00	20962.00	USD	-1,435.00	
07AUG17	S	1	PD07NOV17	20690.00	20962.00	USD	-1,360.00	
07AUG17	B	1	PD07NOV17	20670.00	20962.00	USD	1,460.00	
07AUG17	S	2	PD07NOV17	20650.00	20962.00	USD	-3,120.00	
07AUG17	S	1	PD07NOV17	20660.00	20962.00	USD	-1,510.00	

OPEN POSITION STATEMENT



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SAP096AD

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	20620.00	20962.00	USD	-3,420.00	
07AUG17	B	2	PD07NOV17	20620.00	20962.00	USD	3,420.00	
07AUG17	B	1	PD07NOV17	20615.00	20962.00	USD	1,735.00	
07AUG17	B	1	PD07NOV17	20645.00	20962.00	USD	1,585.00	
07AUG17	B	1	PD07NOV17	20690.00	20962.00	USD	1,360.00	
07AUG17	S	1	PD07NOV17	20605.00	20962.00	USD	-1,785.00	
07AUG17	S	1	PD07NOV17	20645.00	20962.00	USD	-1,585.00	
07AUG17	B	4	PD07NOV17	20625.00	20962.00	USD	6,740.00	
07AUG17	B	3	PD07NOV17	20605.00	20962.00	USD	5,355.00	
	NET	0 AVG BGHT 20625.00	SOLD 20637.89				1,225.00	0.00
08AUG17	S	1	PD08NOV17	20510.00	20960.00	USD	-2,250.00	
08AUG17	S	1	PD08NOV17	20395.00	20960.00	USD	-2,825.00	
08AUG17	S	1	PD08NOV17	20400.00	20960.00	USD	-2,800.00	
08AUG17	S	1	PD08NOV17	20455.00	20960.00	USD	-2,525.00	
08AUG17	S	1	PD08NOV17	20480.00	20960.00	USD	-2,400.00	
08AUG17	B	4	PD08NOV17	20470.00	20960.00	USD	9,800.00	
08AUG17	S	1	PD08NOV17	20490.00	20960.00	USD	-2,350.00	
08AUG17	B	2	PD08NOV17	20425.00	20960.00	USD	5,350.00	
08AUG17	S	1	PD08NOV17	20460.00	20960.00	USD	-2,500.00	
08AUG17	B	1	PD08NOV17	20430.00	20960.00	USD	2,650.00	
08AUG17	B	1	PD08NOV17	20435.00	20960.00	USD	2,625.00	
08AUG17	B	1	PD08NOV17	20440.00	20960.00	USD	2,600.00	
08AUG17	B	1	PD08NOV17	20415.00	20960.00	USD	2,725.00	
08AUG17	S	1	PD08NOV17	20365.00	20960.00	USD	-2,975.00	
08AUG17	B	1	PD08NOV17	20350.00	20960.00	USD	3,050.00	
08AUG17	B	3	PD08NOV17	20310.00	20960.00	USD	9,750.00	
08AUG17	B	2	PD08NOV17	20320.00	20960.00	USD	6,400.00	
08AUG17	B	3	PD08NOV17	20340.00	20960.00	USD	9,300.00	
08AUG17	B	3	PD08NOV17	20315.00	20960.00	USD	9,675.00	
08AUG17	S	4	PD08NOV17	20305.00	20960.00	USD	-13,100.00	
08AUG17	B	8	PD08NOV17	20335.00	20960.00	USD	25,000.00	
08AUG17	S	3	PD08NOV17	20330.00	20960.00	USD	-9,450.00	
08AUG17	S	2	PD08NOV17	20285.00	20960.00	USD	-6,750.00	
08AUG17	B	1	PD08NOV17	20235.00	20960.00	USD	3,625.00	
08AUG17	S	2	PD08NOV17	20260.00	20960.00	USD	-7,000.00	
08AUG17	S	1	PD08NOV17	20270.00	20960.00	USD	-3,450.00	
08AUG17	S	1	PD08NOV17	20275.00	20960.00	USD	-3,425.00	
08AUG17	S	4	PD08NOV17	20225.00	20960.00	USD	-14,700.00	
08AUG17	B	2	PD08NOV17	20155.00	20960.00	USD	8,050.00	
08AUG17	B	2	PD08NOV17	20220.00	20960.00	USD	7,400.00	
08AUG17	B	2	PD08NOV17	20250.00	20960.00	USD	7,100.00	
08AUG17	S	1	PD08NOV17	20250.00	20960.00	USD	-3,550.00	
08AUG17	B	2	PD08NOV17	20165.00	20960.00	USD	7,950.00	
08AUG17	B	2	PD08NOV17	20170.00	20960.00	USD	7,900.00	
08AUG17	B	1	PD08NOV17	20185.00	20960.00	USD	3,875.00	
08AUG17	B	4	PD08NOV17	20195.00	20960.00	USD	15,300.00	
08AUG17	B	3	PD08NOV17	20240.00	20960.00	USD	10,800.00	
08AUG17	B	2	PD08NOV17	20175.00	20960.00	USD	7,850.00	
08AUG17	S	8	PD08NOV17	20215.00	20960.00	USD	-29,800.00	
08AUG17	B	3	PD08NOV17	20205.00	20960.00	USD	11,325.00	
08AUG17	B	2	PD08NOV17	20225.00	20960.00	USD	7,350.00	
08AUG17	B	1	PD08NOV17	20230.00	20960.00	USD	3,650.00	
08AUG17	S	3	PD08NOV17	20230.00	20960.00	USD	-10,950.00	
08AUG17	S	5	PD08NOV17	20235.00	20960.00	USD	-18,125.00	
08AUG17	B	1	PD08NOV17	20210.00	20960.00	USD	3,750.00	
08AUG17	B	3	PD08NOV17	20215.00	20960.00	USD	11,175.00	
08AUG17	S	3	PD08NOV17	20350.00	20960.00	USD	-9,150.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	3	PD08NOV17	20340.00	20960.00	USD	-9,300.00	
08AUG17	S	3	PD08NOV17	20310.00	20960.00	USD	-9,750.00	
08AUG17	S	7	PD08NOV17	20245.00	20960.00	USD	-25,025.00	
08AUG17	B	2	PD08NOV17	20245.00	20960.00	USD	7,150.00	
08AUG17	S	2	PD08NOV17	20220.00	20960.00	USD	-7,400.00	
08AUG17	B	1	PD08NOV17	20255.00	20960.00	USD	3,525.00	
08AUG17	S	1	PD08NOV17	20255.00	20960.00	USD	-3,525.00	
08AUG17	S	1	PD08NOV17	20325.00	20960.00	USD	-3,175.00	
08AUG17	S	1	PD08NOV17	20410.00	20960.00	USD	-2,750.00	
08AUG17	S	1	PD08NOV17	20430.00	20960.00	USD	-2,650.00	
	NET	0 AVG BGHT 20282.81	SOLD 20292.34				3,050.00	0.00
09AUG17	S	1	PD09NOV17	20370.00	20958.00	USD	-2,940.00	
09AUG17	B	1	PD09NOV17	20345.00	20958.00	USD	3,065.00	
09AUG17	B	1	PD09NOV17	20305.00	20958.00	USD	3,265.00	
09AUG17	B	1	PD09NOV17	20285.00	20958.00	USD	3,365.00	
09AUG17	B	2	PD09NOV17	20300.00	20958.00	USD	6,580.00	
09AUG17	S	1	PD09NOV17	20365.00	20958.00	USD	-2,965.00	
09AUG17	B	1	PD09NOV17	20340.00	20958.00	USD	3,090.00	
09AUG17	S	1	PD09NOV17	20310.00	20958.00	USD	-3,240.00	
09AUG17	S	1	PD09NOV17	20340.00	20958.00	USD	-3,090.00	
09AUG17	S	3	PD09NOV17	20355.00	20958.00	USD	-9,045.00	
09AUG17	S	1	PD09NOV17	20480.00	20958.00	USD	-2,390.00	
09AUG17	B	1	PD09NOV17	20435.00	20958.00	USD	2,615.00	
09AUG17	B	2	PD09NOV17	20330.00	20958.00	USD	6,280.00	
09AUG17	S	3	PD09NOV17	20295.00	20958.00	USD	-9,945.00	
09AUG17	B	1	PD09NOV17	20280.00	20958.00	USD	3,390.00	
09AUG17	B	1	PD09NOV17	20265.00	20958.00	USD	3,465.00	
09AUG17	S	1	PD09NOV17	20230.00	20958.00	USD	-3,640.00	
09AUG17	S	1	PD09NOV17	20240.00	20958.00	USD	-3,590.00	
09AUG17	S	2	PD09NOV17	20245.00	20958.00	USD	-7,130.00	
09AUG17	S	1	PD09NOV17	20255.00	20958.00	USD	-3,515.00	
09AUG17	B	4	PD09NOV17	20250.00	20958.00	USD	14,160.00	
09AUG17	B	2	PD09NOV17	20275.00	20958.00	USD	6,830.00	
09AUG17	S	1	PD09NOV17	20275.00	20958.00	USD	-3,415.00	
	NET	0 AVG BGHT 20297.94	SOLD 20312.06				1,200.00	0.00
10AUG17	B	1	PD10NOV17	20400.00	20956.00	USD	2,780.00	
10AUG17	S	1	PD10NOV17	20360.00	20956.00	USD	-2,980.00	
10AUG17	S	1	PD10NOV17	20370.00	20956.00	USD	-2,930.00	
10AUG17	S	1	PD10NOV17	20380.00	20956.00	USD	-2,880.00	
10AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
10AUG17	S	2	PD10NOV17	20310.00	20956.00	USD	-6,460.00	
10AUG17	S	2	PD10NOV17	20260.00	20956.00	USD	-6,960.00	
10AUG17	B	1	PD10NOV17	20285.00	20956.00	USD	3,355.00	
10AUG17	S	4	PD10NOV17	20300.00	20956.00	USD	-13,120.00	
10AUG17	B	1	PD10NOV17	20375.00	20956.00	USD	2,905.00	
10AUG17	S	1	PD10NOV17	20325.00	20956.00	USD	-3,155.00	
10AUG17	B	7	PD10NOV17	20320.00	20956.00	USD	22,260.00	
10AUG17	S	1	PD10NOV17	20315.00	20956.00	USD	-3,205.00	
10AUG17	B	4	PD10NOV17	20260.00	20956.00	USD	13,920.00	
10AUG17	B	2	PD10NOV17	20265.00	20956.00	USD	6,910.00	
10AUG17	S	5	PD10NOV17	20295.00	20956.00	USD	-16,525.00	
10AUG17	B	1	PD10NOV17	20340.00	20956.00	USD	3,080.00	
10AUG17	B	5	PD10NOV17	20450.00	20956.00	USD	12,650.00	
10AUG17	S	5	PD10NOV17	20440.00	20956.00	USD	-12,900.00	
10AUG17	B	1	PD10NOV17	20430.00	20956.00	USD	2,630.00	
10AUG17	B	1	PD10NOV17	20445.00	20956.00	USD	2,555.00	
10AUG17	B	2	PD10NOV17	20355.00	20956.00	USD	6,010.00	

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10AUG17	B	3	PD10NOV17	20325.00	20956.00	USD	9,465.00	
10AUG17	S	6	PD10NOV17	20290.00	20956.00	USD	-19,980.00	
10AUG17	S	1	PD10NOV17	20320.00	20956.00	USD	-3,180.00	
11AUG17	S	1	PD10NOV17	20225.00	20956.00	USD	-3,655.00	
11AUG17	S	4	PD10NOV17	20270.00	20956.00	USD	-13,720.00	
11AUG17	B	3	PD10NOV17	20225.00	20956.00	USD	10,965.00	
11AUG17	S	1	PD10NOV17	20230.00	20956.00	USD	-3,630.00	
11AUG17	S	1	PD10NOV17	20260.00	20956.00	USD	-3,480.00	
11AUG17	B	3	PD10NOV17	20255.00	20956.00	USD	10,515.00	
11AUG17	B	3	PD10NOV17	20270.00	20956.00	USD	10,290.00	
11AUG17	B	1	PD10NOV17	20275.00	20956.00	USD	3,405.00	
11AUG17	S	3	PD10NOV17	20245.00	20956.00	USD	-10,665.00	
11AUG17	B	1	PD10NOV17	20260.00	20956.00	USD	3,480.00	
11AUG17	B	4	PD10NOV17	20220.00	20956.00	USD	14,720.00	
11AUG17	S	4	PD10NOV17	20305.00	20956.00	USD	-13,020.00	
11AUG17	B	2	PD10NOV17	20295.00	20956.00	USD	6,610.00	
11AUG17	B	1	PD10NOV17	20205.00	20956.00	USD	3,755.00	
11AUG17	B	1	PD10NOV17	20240.00	20956.00	USD	3,580.00	
11AUG17	B	1	PD10NOV17	20285.00	20956.00	USD	3,355.00	
11AUG17	B	1	PD10NOV17	20310.00	20956.00	USD	3,230.00	
11AUG17	S	1	PD10NOV17	20220.00	20956.00	USD	-3,680.00	
11AUG17	S	1	PD10NOV17	20240.00	20956.00	USD	-3,580.00	
11AUG17	S	1	PD10NOV17	20285.00	20956.00	USD	-3,355.00	
11AUG17	S	1	PD10NOV17	20310.00	20956.00	USD	-3,230.00	
11AUG17	S	6	PD10NOV17	20340.00	20956.00	USD	-18,480.00	
11AUG17	B	1	PD10NOV17	20365.00	20956.00	USD	2,955.00	
11AUG17	B	4	PD10NOV17	20370.00	20956.00	USD	11,720.00	
11AUG17	B	1	PD10NOV17	20390.00	20956.00	USD	2,830.00	
11AUG17	S	1	PD10NOV17	20350.00	20956.00	USD	-3,030.00	
11AUG17	S	3	PD10NOV17	20375.00	20956.00	USD	-8,715.00	
11AUG17	S	1	PD10NOV17	20380.00	20956.00	USD	-2,880.00	
11AUG17	S	1	PD10NOV17	20320.00	20956.00	USD	-3,180.00	
11AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
11AUG17	B	2	PD10NOV17	20290.00	20956.00	USD	6,660.00	
11AUG17	S	4	PD10NOV17	20325.00	20956.00	USD	-12,620.00	
11AUG17	B	1	PD10NOV17	20315.00	20956.00	USD	3,205.00	
11AUG17	B	1	PD10NOV17	20330.00	20956.00	USD	3,130.00	
11AUG17	B	1	PD10NOV17	20340.00	20956.00	USD	3,080.00	
11AUG17	B	1	PD10NOV17	20350.00	20956.00	USD	3,030.00	
11AUG17	B	1	PD10NOV17	20355.00	20956.00	USD	3,005.00	
11AUG17	B	2	PD10NOV17	20305.00	20956.00	USD	6,510.00	
11AUG17	S	1	PD10NOV17	20335.00	20956.00	USD	-3,105.00	
	NET	0AVG	BGHT 20314.09	SOLD 20315.53			475.00	0.00
14AUG17	S	6	PD14NOV17	20230.00	20952.00	USD	-21,660.00	
14AUG17	B	1	PD14NOV17	20250.00	20952.00	USD	3,510.00	
14AUG17	B	4	PD14NOV17	20300.00	20952.00	USD	13,040.00	
14AUG17	S	4	PD14NOV17	20285.00	20952.00	USD	-13,340.00	
14AUG17	S	1	PD14NOV17	20390.00	20952.00	USD	-2,810.00	
14AUG17	B	1	PD14NOV17	20340.00	20952.00	USD	3,060.00	
14AUG17	S	2	PD14NOV17	20350.00	20952.00	USD	-6,020.00	
14AUG17	S	2	PD14NOV17	20370.00	20952.00	USD	-5,820.00	
14AUG17	B	2	PD14NOV17	20400.00	20952.00	USD	5,520.00	
14AUG17	B	4	PD14NOV17	20260.00	20952.00	USD	13,840.00	
14AUG17	B	1	PD14NOV17	20305.00	20952.00	USD	3,235.00	
14AUG17	B	1	PD14NOV17	20345.00	20952.00	USD	3,035.00	
14AUG17	B	1	PD14NOV17	20350.00	20952.00	USD	3,010.00	
14AUG17	S	1	PD14NOV17	20345.00	20952.00	USD	-3,035.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
14AUG17	S	2	PD14NOV17		20340.00	20952.00	USD	-6,120.00	
14AUG17	B	1	PD14NOV17		20255.00	20952.00	USD	3,485.00	
14AUG17	B	3	PD14NOV17		20320.00	20952.00	USD	9,480.00	
14AUG17	S	1	PD14NOV17		20330.00	20952.00	USD	-3,110.00	
14AUG17	S	1	PD14NOV17		20315.00	20952.00	USD	-3,185.00	
14AUG17	S	1	PD14NOV17		20290.00	20952.00	USD	-3,310.00	
14AUG17	B	1	PD14NOV17		20330.00	20952.00	USD	3,110.00	
14AUG17	B	1	PD14NOV17		20295.00	20952.00	USD	3,285.00	
	NET	0AVG	BGHT 20308.10	SOLD 20300.48				-800.00	0.00
15AUG17	S	2	PD15NOV17		20370.00	20950.00	USD	-5,800.00	
15AUG17	B	2	PD15NOV17		20305.00	20950.00	USD	6,450.00	
15AUG17	S	5	PD15NOV17		20250.00	20950.00	USD	-17,500.00	
15AUG17	B	3	PD15NOV17		20210.00	20950.00	USD	11,100.00	
15AUG17	B	1	PD15NOV17		20220.00	20950.00	USD	3,650.00	
15AUG17	S	1	PD15NOV17		20200.00	20950.00	USD	-3,750.00	
15AUG17	B	1	PD15NOV17		20215.00	20950.00	USD	3,675.00	
15AUG17	B	1	PD15NOV17		20240.00	20950.00	USD	3,550.00	
15AUG17	S	2	PD15NOV17		20240.00	20950.00	USD	-7,100.00	
15AUG17	B	1	PD15NOV17		20230.00	20950.00	USD	3,600.00	
15AUG17	S	1	PD15NOV17		20225.00	20950.00	USD	-3,625.00	
15AUG17	S	1	PD15NOV17		20230.00	20950.00	USD	-3,600.00	
15AUG17	B	1	PD15NOV17		20270.00	20950.00	USD	3,400.00	
15AUG17	S	1	PD15NOV17		20260.00	20950.00	USD	-3,450.00	
15AUG17	B	2	PD15NOV17		20265.00	20950.00	USD	6,850.00	
15AUG17	B	1	PD15NOV17		20300.00	20950.00	USD	3,250.00	
15AUG17	B	2	PD15NOV17		20310.00	20950.00	USD	6,400.00	
15AUG17	B	1	PD15NOV17		20315.00	20950.00	USD	3,175.00	
15AUG17	S	1	PD15NOV17		20300.00	20950.00	USD	-3,250.00	
15AUG17	S	3	PD15NOV17		20305.00	20950.00	USD	-9,675.00	
15AUG17	S	3	PD15NOV17		20310.00	20950.00	USD	-9,600.00	
15AUG17	B	3	PD15NOV17		20325.00	20950.00	USD	9,375.00	
15AUG17	B	1	PD15NOV17		20260.00	20950.00	USD	3,450.00	
15AUG17	B	1	PD15NOV17		20275.00	20950.00	USD	3,375.00	
15AUG17	S	1	PD15NOV17		20275.00	20950.00	USD	-3,375.00	
15AUG17	S	2	PD15NOV17		20325.00	20950.00	USD	-6,250.00	
15AUG17	B	2	PD15NOV17		20245.00	20950.00	USD	7,050.00	
15AUG17	B	3	PD15NOV17		19320.00	20950.00	USD	24,450.00	
15AUG17	B	2	PD15NOV17		19900.00	20950.00	USD	10,500.00	
15AUG17	B	2	PD15NOV17		20170.00	20950.00	USD	7,800.00	
15AUG17	S	1	PD15NOV17		19680.00	20950.00	USD	-6,350.00	
15AUG17	S	3	PD15NOV17		19970.00	20950.00	USD	-14,700.00	
15AUG17	B	2	PD15NOV17		19805.00	20950.00	USD	11,450.00	
15AUG17	B	4	PD15NOV17		19830.00	20950.00	USD	22,400.00	
15AUG17	B	2	PD15NOV17		19845.00	20950.00	USD	11,050.00	
15AUG17	S	1	PD15NOV17		19805.00	20950.00	USD	-5,725.00	
15AUG17	S	3	PD15NOV17		19850.00	20950.00	USD	-16,500.00	
15AUG17	S	1	PD15NOV17		20160.00	20950.00	USD	-3,950.00	
15AUG17	S	3	PD15NOV17		19800.00	20950.00	USD	-17,250.00	
15AUG17	B	1	PD15NOV17		19980.00	20950.00	USD	4,850.00	
15AUG17	S	1	PD15NOV17		19740.00	20950.00	USD	-6,050.00	
15AUG17	S	2	PD15NOV17		19210.00	20950.00	USD	-17,400.00	
15AUG17	S	1	PD15NOV17		19225.00	20950.00	USD	-8,625.00	
	NET	0AVG	BGHT 20073.85	SOLD 20060.13				-2,675.00	0.00
16AUG17	S	1	PD16NOV17		19970.00	20947.92	USD	-4,889.60	
16AUG17	B	1	PD16NOV17		20075.00	20947.92	USD	4,364.60	
16AUG17	B	1	PD16NOV17		20080.00	20947.92	USD	4,339.60	
16AUG17	B	2	PD16NOV17		20100.00	20947.92	USD	8,479.20	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	2	PD16NOV17	20075.00	20947.92	USD	-8,729.20	
16AUG17	S	1	PD16NOV17	20080.00	20947.92	USD	-4,339.60	
16AUG17	S	1	PD16NOV17	20060.00	20947.92	USD	-4,439.60	
16AUG17	S	3	PD16NOV17	20110.00	20947.92	USD	-12,568.80	
16AUG17	B	1	PD16NOV17	20110.00	20947.92	USD	4,189.60	
16AUG17	B	2	PD16NOV17	19965.00	20947.92	USD	9,829.20	
16AUG17	B	4	PD16NOV17	19975.00	20947.92	USD	19,458.40	
16AUG17	S	1	PD16NOV17	20005.00	20947.92	USD	-4,714.60	
16AUG17	B	1	PD16NOV17	19955.00	20947.92	USD	4,964.60	
16AUG17	B	1	PD16NOV17	19970.00	20947.92	USD	4,889.60	
16AUG17	S	1	PD16NOV17	19955.00	20947.92	USD	-4,964.60	
16AUG17	B	1	PD16NOV17	19990.00	20947.92	USD	4,789.60	
16AUG17	S	1	PD16NOV17	20015.00	20947.92	USD	-4,664.60	
16AUG17	S	1	PD16NOV17	20020.00	20947.92	USD	-4,639.60	
16AUG17	S	2	PD16NOV17	20025.00	20947.92	USD	-9,229.20	
16AUG17	S	1	PD16NOV17	20035.00	20947.92	USD	-4,564.60	
16AUG17	B	5	PD16NOV17	20005.00	20947.92	USD	23,573.00	
16AUG17	B	5	PD16NOV17	20010.00	20947.92	USD	23,448.00	
16AUG17	S	3	PD16NOV17	20050.00	20947.92	USD	-13,468.80	
16AUG17	B	1	PD16NOV17	20030.00	20947.92	USD	4,589.60	
16AUG17	S	1	PD16NOV17	20065.00	20947.92	USD	-4,414.60	
16AUG17	S	2	PD16NOV17	19985.00	20947.92	USD	-9,629.20	
16AUG17	B	3	PD16NOV17	19985.00	20947.92	USD	14,443.80	
16AUG17	B	1	PD16NOV17	20040.00	20947.92	USD	4,539.60	
16AUG17	B	1	PD16NOV17	20045.00	20947.92	USD	4,514.60	
16AUG17	B	2	PD16NOV17	20050.00	20947.92	USD	8,979.20	
16AUG17	B	5	PD16NOV17	20060.00	20947.92	USD	22,198.00	
16AUG17	S	4	PD16NOV17	20030.00	20947.92	USD	-18,358.40	
16AUG17	S	8	PD16NOV17	20045.00	20947.92	USD	-36,116.80	
16AUG17	S	4	PD16NOV17	20040.00	20947.92	USD	-18,158.40	
	NET	0	AVG BGHT 20020.41	SOLD 20040.41			3,700.00	0.00
17AUG17	B	2	PD17NOV17	20225.00	20945.83	USD	7,208.30	
17AUG17	B	3	PD17NOV17	20250.00	20945.83	USD	10,437.45	
17AUG17	S	5	PD17NOV17	20225.00	20945.83	USD	-18,020.75	
17AUG17	S	1	PD17NOV17	20245.00	20945.83	USD	-3,504.15	
17AUG17	B	1	PD17NOV17	20255.00	20945.83	USD	3,454.15	
17AUG17	S	1	PD17NOV17	20185.00	20945.83	USD	-3,804.15	
17AUG17	B	1	PD17NOV17	20150.00	20945.83	USD	3,979.15	
17AUG17	B	1	PD17NOV17	20180.00	20945.83	USD	3,829.15	
17AUG17	S	1	PD17NOV17	20175.00	20945.83	USD	-3,854.15	
17AUG17	B	1	PD17NOV17	20135.00	20945.83	USD	4,054.15	
17AUG17	S	2	PD17NOV17	20125.00	20945.83	USD	-8,208.30	
17AUG17	B	1	PD17NOV17	20100.00	20945.83	USD	4,229.15	
17AUG17	S	1	PD17NOV17	20135.00	20945.83	USD	-4,054.15	
17AUG17	S	2	PD17NOV17	20130.00	20945.83	USD	-8,158.30	
17AUG17	B	1	PD17NOV17	20175.00	20945.83	USD	3,854.15	
17AUG17	B	2	PD17NOV17	20210.00	20945.83	USD	7,358.30	
17AUG17	S	3	PD17NOV17	20150.00	20945.83	USD	-11,937.45	
17AUG17	S	1	PD17NOV17	20160.00	20945.83	USD	-3,929.15	
17AUG17	S	1	PD17NOV17	20190.00	20945.83	USD	-3,779.15	
17AUG17	S	1	PD17NOV17	20200.00	20945.83	USD	-3,729.15	
17AUG17	S	1	PD17NOV17	20205.00	20945.83	USD	-3,704.15	
17AUG17	B	2	PD17NOV17	20090.00	20945.83	USD	8,558.30	
17AUG17	B	3	PD17NOV17	20020.00	20945.83	USD	13,887.45	
17AUG17	B	2	PD17NOV17	20185.00	20945.83	USD	7,608.30	
17AUG17	B	1	PD17NOV17	20155.00	20945.83	USD	3,954.15	
17AUG17	B	1	PD17NOV17	20205.00	20945.83	USD	3,704.15	

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17AUG17	B	1	PD17NOV17	20215.00	20945.83	USD	3,654.15	
17AUG17	S	1	PD17NOV17	20020.00	20945.83	USD	-4,629.15	
17AUG17	S	1	PD17NOV17	20090.00	20945.83	USD	-4,279.15	
17AUG17	S	1	PD17NOV17	20155.00	20945.83	USD	-3,954.15	
18AUG17	S	2	PD17NOV17	20275.00	20945.83	USD	-6,708.30	
18AUG17	S	1	PD17NOV17	20280.00	20945.83	USD	-3,329.15	
18AUG17	S	1	PD17NOV17	20250.00	20945.83	USD	-3,479.15	
18AUG17	B	1	PD17NOV17	20280.00	20945.83	USD	3,329.15	
18AUG17	S	1	PD17NOV17	20350.00	20945.83	USD	-2,979.15	
18AUG17	B	1	PD17NOV17	20255.00	20945.83	USD	3,454.15	
18AUG17	B	1	PD17NOV17	20285.00	20945.83	USD	3,304.15	
18AUG17	B	2	PD17NOV17	20295.00	20945.83	USD	6,508.30	
18AUG17	S	1	PD17NOV17	20200.00	20945.83	USD	-3,729.15	
18AUG17	B	2	PD17NOV17	20230.00	20945.83	USD	7,158.30	
18AUG17	B	1	PD17NOV17	20265.00	20945.83	USD	3,404.15	
18AUG17	B	1	PD17NOV17	20150.00	20945.83	USD	3,979.15	
18AUG17	B	1	PD17NOV17	20155.00	20945.83	USD	3,954.15	
18AUG17	S	1	PD17NOV17	20150.00	20945.83	USD	-3,979.15	
18AUG17	S	2	PD17NOV17	20260.00	20945.83	USD	-6,858.30	
18AUG17	B	3	PD17NOV17	20160.00	20945.83	USD	11,787.45	
18AUG17	S	3	PD17NOV17	20240.00	20945.83	USD	-10,587.45	
18AUG17	B	2	PD17NOV17	20205.00	20945.83	USD	7,408.30	
18AUG17	B	1	PD17NOV17	20125.00	20945.83	USD	4,104.15	
18AUG17	S	1	PD17NOV17	20125.00	20945.83	USD	-4,104.15	
18AUG17	S	1	PD17NOV17	20145.00	20945.83	USD	-4,004.15	
18AUG17	S	1	PD17NOV17	20175.00	20945.83	USD	-3,854.15	
18AUG17	S	1	PD17NOV17	20205.00	20945.83	USD	-3,704.15	
18AUG17	B	2	PD17NOV17	20180.00	20945.83	USD	7,658.30	
18AUG17	S	2	PD17NOV17	20230.00	20945.83	USD	-7,158.30	
	NET	0	AVG BGHT 20185.73	SOLD 20194.51			1,800.00	0.00
21AUG17	B	1	PD21NOV17	20325.00	20941.67	USD	3,083.35	
21AUG17	S	2	PD21NOV17	20370.00	20941.67	USD	-5,716.70	
21AUG17	S	3	PD21NOV17	20365.00	20941.67	USD	-8,650.05	
21AUG17	B	6	PD21NOV17	20340.00	20941.67	USD	18,050.10	
21AUG17	S	1	PD21NOV17	20355.00	20941.67	USD	-2,933.35	
21AUG17	S	2	PD21NOV17	20360.00	20941.67	USD	-5,816.70	
21AUG17	S	1	PD21NOV17	20335.00	20941.67	USD	-3,033.35	
21AUG17	S	2	PD21NOV17	20340.00	20941.67	USD	-6,016.70	
21AUG17	B	4	PD21NOV17	20305.00	20941.67	USD	12,733.40	
21AUG17	S	3	PD21NOV17	20420.00	20941.67	USD	-7,825.05	
21AUG17	S	1	PD21NOV17	20405.00	20941.67	USD	-2,683.35	
21AUG17	B	1	PD21NOV17	20355.00	20941.67	USD	2,933.35	
21AUG17	S	2	PD21NOV17	20430.00	20941.67	USD	-5,116.70	
21AUG17	B	4	PD21NOV17	20425.00	20941.67	USD	10,333.40	
21AUG17	B	1	PD21NOV17	20375.00	20941.67	USD	2,833.35	
21AUG17	B	1	PD21NOV17	20385.00	20941.67	USD	2,783.35	
21AUG17	B	1	PD21NOV17	20395.00	20941.67	USD	2,733.35	
21AUG17	S	1	PD21NOV17	20415.00	20941.67	USD	-2,633.35	
21AUG17	S	3	PD21NOV17	20425.00	20941.67	USD	-7,750.05	
21AUG17	B	2	PD21NOV17	20450.00	20941.67	USD	4,916.70	
21AUG17	B	4	PD21NOV17	20480.00	20941.67	USD	9,233.40	
21AUG17	S	1	PD21NOV17	20450.00	20941.67	USD	-2,458.35	
21AUG17	B	1	PD21NOV17	20500.00	20941.67	USD	2,208.35	
21AUG17	S	4	PD21NOV17	20400.00	20941.67	USD	-10,833.40	
21AUG17	B	2	PD21NOV17	20400.00	20941.67	USD	5,416.70	
21AUG17	S	2	PD21NOV17	20495.00	20941.67	USD	-4,466.70	
21AUG17	B	1	PD21NOV17	20485.00	20941.67	USD	2,283.35	

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21AUG17	S	2	PD21NOV17		20465.00	20941.67	USD	-4,766.70	
21AUG17	B	1	PD21NOV17		20495.00	20941.67	USD	2,233.35	
	NET	0AVG	BGHT 20396.50	SOLD 20403.67				1,075.00	0.00
22AUG17	S	1	PD22NOV17		20430.00	20939.58	USD	-2,547.90	
22AUG17	S	1	PD22NOV17		20465.00	20939.58	USD	-2,372.90	
22AUG17	B	1	PD22NOV17		20460.00	20939.58	USD	2,397.90	
22AUG17	B	1	PD22NOV17		20490.00	20939.58	USD	2,247.90	
22AUG17	S	4	PD22NOV17		20495.00	20939.58	USD	-8,891.60	
22AUG17	B	1	PD22NOV17		20445.00	20939.58	USD	2,472.90	
22AUG17	B	2	PD22NOV17		20375.00	20939.58	USD	5,645.80	
22AUG17	B	1	PD22NOV17		20395.00	20939.58	USD	2,722.90	
22AUG17	S	1	PD22NOV17		20360.00	20939.58	USD	-2,897.90	
22AUG17	S	2	PD22NOV17		20375.00	20939.58	USD	-5,645.80	
22AUG17	S	1	PD22NOV17		20425.00	20939.58	USD	-2,572.90	
22AUG17	B	3	PD22NOV17		20300.00	20939.58	USD	9,593.70	
22AUG17	B	1	PD22NOV17		20345.00	20939.58	USD	2,972.90	
22AUG17	S	1	PD22NOV17		20245.00	20939.58	USD	-3,472.90	
22AUG17	S	2	PD22NOV17		20295.00	20939.58	USD	-6,445.80	
22AUG17	B	3	PD22NOV17		20495.00	20939.58	USD	6,668.70	
22AUG17	B	2	PD22NOV17		20260.00	20939.58	USD	6,795.80	
22AUG17	S	1	PD22NOV17		20290.00	20939.58	USD	-3,247.90	
22AUG17	B	2	PD22NOV17		20280.00	20939.58	USD	6,595.80	
22AUG17	S	1	PD22NOV17		20340.00	20939.58	USD	-2,997.90	
22AUG17	S	1	PD22NOV17		20330.00	20939.58	USD	-3,047.90	
22AUG17	S	2	PD22NOV17		20335.00	20939.58	USD	-6,045.80	
22AUG17	B	1	PD22NOV17		20335.00	20939.58	USD	3,022.90	
	NET	0AVG	BGHT 20371.39	SOLD 20381.94				950.00	0.00
23AUG17	S	1	PD24NOV17		20395.00	20937.50	USD	-2,712.50	
23AUG17	B	1	PD24NOV17		20340.00	20937.50	USD	2,987.50	
23AUG17	B	2	PD24NOV17		20285.00	20937.50	USD	6,525.00	
23AUG17	S	2	PD24NOV17		20345.00	20937.50	USD	-5,925.00	
23AUG17	B	4	PD24NOV17		20350.00	20937.50	USD	11,750.00	
23AUG17	S	1	PD24NOV17		20435.00	20937.50	USD	-2,512.50	
23AUG17	B	1	PD24NOV17		20315.00	20937.50	USD	3,112.50	
23AUG17	S	1	PD24NOV17		20315.00	20937.50	USD	-3,112.50	
23AUG17	B	1	PD24NOV17		20370.00	20937.50	USD	2,837.50	
23AUG17	S	1	PD24NOV17		20370.00	20937.50	USD	-2,837.50	
23AUG17	S	1	PD24NOV17		20400.00	20937.50	USD	-2,687.50	
23AUG17	S	1	PD24NOV17		20380.00	20937.50	USD	-2,787.50	
23AUG17	S	2	PD24NOV17		20415.00	20937.50	USD	-5,225.00	
23AUG17	S	5	PD24NOV17		20430.00	20937.50	USD	-12,687.50	
23AUG17	B	1	PD24NOV17		20440.00	20937.50	USD	2,487.50	
23AUG17	B	4	PD24NOV17		20455.00	20937.50	USD	9,650.00	
23AUG17	S	1	PD24NOV17		20460.00	20937.50	USD	-2,387.50	
23AUG17	S	3	PD24NOV17		20445.00	20937.50	USD	-7,387.50	
23AUG17	B	1	PD24NOV17		20360.00	20937.50	USD	2,887.50	
23AUG17	B	1	PD24NOV17		20395.00	20937.50	USD	2,712.50	
23AUG17	B	1	PD24NOV17		20420.00	20937.50	USD	2,587.50	
23AUG17	B	1	PD24NOV17		20425.00	20937.50	USD	2,562.50	
23AUG17	B	1	PD24NOV17		20445.00	20937.50	USD	2,462.50	
23AUG17	S	1	PD24NOV17		20420.00	20937.50	USD	-2,587.50	
23AUG17	B	2	PD24NOV17		20450.00	20937.50	USD	4,875.00	
23AUG17	S	4	PD24NOV17		20450.00	20937.50	USD	-9,750.00	
23AUG17	S	1	PD24NOV17		20455.00	20937.50	USD	-2,412.50	
23AUG17	B	1	PD24NOV17		20500.00	20937.50	USD	2,187.50	
23AUG17	S	1	PD24NOV17		20530.00	20937.50	USD	-2,037.50	
23AUG17	B	1	PD24NOV17		20510.00	20937.50	USD	2,137.50	

OPEN POSITION STATEMENT



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SAP096AD

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	20500.00	20937.50	USD	-2,187.50	
23AUG17	B	4	PD24NOV17	20460.00	20937.50	USD	9,550.00	
24AUG17	B	1	PD24NOV17	20550.00	20937.50	USD	1,937.50	
24AUG17	S	1	PD24NOV17	20565.00	20937.50	USD	-1,862.50	
24AUG17	B	2	PD24NOV17	20515.00	20937.50	USD	4,225.00	
24AUG17	B	1	PD24NOV17	20490.00	20937.50	USD	2,237.50	
24AUG17	B	2	PD24NOV17	20525.00	20937.50	USD	4,125.00	
24AUG17	B	1	PD24NOV17	20510.00	20937.50	USD	2,137.50	
24AUG17	S	1	PD24NOV17	20515.00	20937.50	USD	-2,112.50	
24AUG17	B	1	PD24NOV17	20440.00	20937.50	USD	2,487.50	
24AUG17	S	1	PD24NOV17	20460.00	20937.50	USD	-2,387.50	
24AUG17	S	4	PD24NOV17	20465.00	20937.50	USD	-9,450.00	
24AUG17	B	4	PD24NOV17	20500.00	20937.50	USD	8,750.00	
24AUG17	B	1	PD24NOV17	20530.00	20937.50	USD	2,037.50	
24AUG17	S	1	PD24NOV17	20500.00	20937.50	USD	-2,187.50	
24AUG17	B	1	PD24NOV17	20475.00	20937.50	USD	2,312.50	
24AUG17	S	1	PD24NOV17	20475.00	20937.50	USD	-2,312.50	
24AUG17	B	1	PD24NOV17	20460.00	20937.50	USD	2,387.50	
24AUG17	B	5	PD24NOV17	20465.00	20937.50	USD	11,812.50	
24AUG17	S	2	PD24NOV17	20455.00	20937.50	USD	-4,825.00	
24AUG17	B	2	PD24NOV17	20410.00	20937.50	USD	5,275.00	
24AUG17	S	2	PD24NOV17	20470.00	20937.50	USD	-4,675.00	
24AUG17	S	4	PD24NOV17	20490.00	20937.50	USD	-8,950.00	
24AUG17	B	2	PD24NOV17	20470.00	20937.50	USD	4,675.00	
24AUG17	B	2	PD24NOV17	20485.00	20937.50	USD	4,525.00	
24AUG17	S	7	PD24NOV17	20485.00	20937.50	USD	-15,837.50	
24AUG17	S	5	PD24NOV17	20450.00	20937.50	USD	-12,187.50	
24AUG17	B	3	PD24NOV17	20445.00	20937.50	USD	7,387.50	
24AUG17	B	1	PD24NOV17	20450.00	20937.50	USD	2,437.50	
24AUG17	S	1	PD24NOV17	20395.00	20937.50	USD	-2,712.50	
25AUG17	B	1	PD24NOV17	20420.00	20937.50	USD	2,587.50	
25AUG17	B	1	PD24NOV17	20380.00	20937.50	USD	2,787.50	
25AUG17	S	2	PD24NOV17	20405.00	20937.50	USD	-5,325.00	
25AUG17	S	1	PD24NOV17	20445.00	20937.50	USD	-2,462.50	
25AUG17	S	1	PD24NOV17	20440.00	20937.50	USD	-2,487.50	
25AUG17	S	2	PD24NOV17	20390.00	20937.50	USD	-5,475.00	
25AUG17	B	3	PD24NOV17	20410.00	20937.50	USD	7,912.50	
25AUG17	B	4	PD24NOV17	20385.00	20937.50	USD	11,050.00	
25AUG17	B	2	PD24NOV17	20350.00	20937.50	USD	5,875.00	
25AUG17	S	2	PD24NOV17	20320.00	20937.50	USD	-6,175.00	
25AUG17	S	2	PD24NOV17	20345.00	20937.50	USD	-5,925.00	
25AUG17	S	1	PD24NOV17	20325.00	20937.50	USD	-3,062.50	
	NET	0	AVG BGHT 20436.69	SOLD 20438.53			625.00	0.00
29AUG17	B	2	PD29NOV17	20605.00	20931.25	USD	3,262.50	
29AUG17	S	1	PD29NOV17	20615.00	20931.25	USD	-1,581.25	
29AUG17	B	1	PD29NOV17	20645.00	20931.25	USD	1,431.25	
29AUG17	S	1	PD29NOV17	20620.00	20931.25	USD	-1,556.25	
29AUG17	S	1	PD29NOV17	20645.00	20931.25	USD	-1,431.25	
29AUG17	S	2	PD29NOV17	20585.00	20931.25	USD	-3,462.50	
29AUG17	B	3	PD29NOV17	20550.00	20931.25	USD	5,718.75	
29AUG17	B	1	PD29NOV17	20530.00	20931.25	USD	2,006.25	
29AUG17	S	1	PD29NOV17	20550.00	20931.25	USD	-1,906.25	
29AUG17	B	3	PD29NOV17	20535.00	20931.25	USD	5,943.75	
29AUG17	S	8	PD29NOV17	20570.00	20931.25	USD	-14,450.00	
29AUG17	B	1	PD29NOV17	20590.00	20931.25	USD	1,706.25	
29AUG17	S	1	PD29NOV17	20590.00	20931.25	USD	-1,706.25	
29AUG17	B	2	PD29NOV17	20430.00	20931.25	USD	5,012.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	4	PD29NOV17	20545.00	20931.25	USD	7,725.00	
29AUG17	S	2	PD29NOV17	20425.00	20931.25	USD	-5,062.50	
29AUG17	S	3	PD29NOV17	20545.00	20931.25	USD	-5,793.75	
29AUG17	B	4	PD29NOV17	20570.00	20931.25	USD	7,225.00	
29AUG17	S	1	PD29NOV17	20530.00	20931.25	USD	-2,006.25	
29AUG17	B	1	PD29NOV17	20370.00	20931.25	USD	2,806.25	
29AUG17	S	2	PD29NOV17	20475.00	20931.25	USD	-4,562.50	
29AUG17	B	3	PD29NOV17	20475.00	20931.25	USD	6,843.75	
29AUG17	S	1	PD29NOV17	20540.00	20931.25	USD	-1,956.25	
29AUG17	S	2	PD29NOV17	20470.00	20931.25	USD	-4,612.50	
29AUG17	S	1	PD29NOV17	20465.00	20931.25	USD	-2,331.25	
29AUG17	B	2	PD29NOV17	20410.00	20931.25	USD	5,212.50	
29AUG17	B	3	PD29NOV17	20365.00	20931.25	USD	8,493.75	
29AUG17	B	5	PD29NOV17	20420.00	20931.25	USD	12,781.25	
29AUG17	S	2	PD29NOV17	20400.00	20931.25	USD	-5,312.50	
29AUG17	B	1	PD29NOV17	20445.00	20931.25	USD	2,431.25	
29AUG17	B	1	PD29NOV17	20455.00	20931.25	USD	2,381.25	
29AUG17	B	1	PD29NOV17	20490.00	20931.25	USD	2,206.25	
29AUG17	S	2	PD29NOV17	20420.00	20931.25	USD	-5,112.50	
29AUG17	S	1	PD29NOV17	20445.00	20931.25	USD	-2,431.25	
29AUG17	S	1	PD29NOV17	20455.00	20931.25	USD	-2,381.25	
29AUG17	S	1	PD29NOV17	20490.00	20931.25	USD	-2,206.25	
29AUG17	S	3	PD29NOV17	20495.00	20931.25	USD	-6,543.75	
	NET	+1 AVG BGHT	20493.42	SOLD	20518.24		6,781.25	0.00
30AUG17	S	1	PD30NOV17	20430.00	20929.17	USD	-2,495.85	
30AUG17	S	4	PD30NOV17	20350.00	20929.17	USD	-11,583.40	
30AUG17	S	1	PD30NOV17	20355.00	20929.17	USD	-2,870.85	
30AUG17	B	3	PD30NOV17	20370.00	20929.17	USD	8,387.55	
30AUG17	B	2	PD30NOV17	20365.00	20929.17	USD	5,641.70	
30AUG17	S	3	PD30NOV17	20525.00	20929.17	USD	-6,062.55	
30AUG17	B	1	PD30NOV17	20515.00	20929.17	USD	2,070.85	
30AUG17	B	1	PD30NOV17	20520.00	20929.17	USD	2,045.85	
30AUG17	B	1	PD30NOV17	20535.00	20929.17	USD	1,970.85	
30AUG17	B	1	PD30NOV17	20545.00	20929.17	USD	1,920.85	
30AUG17	S	2	PD30NOV17	20535.00	20929.17	USD	-3,941.70	
30AUG17	B	1	PD30NOV17	20550.00	20929.17	USD	1,895.85	
30AUG17	B	1	PD30NOV17	20650.00	20929.17	USD	1,395.85	
30AUG17	B	1	PD30NOV17	20645.00	20929.17	USD	1,420.85	
30AUG17	S	2	PD30NOV17	20635.00	20929.17	USD	-2,941.70	
31AUG17	S	1	PD30NOV17	20565.00	20929.17	USD	-1,820.85	
31AUG17	B	1	PD30NOV17	20600.00	20929.17	USD	1,645.85	
31AUG17	B	2	PD30NOV17	20625.00	20929.17	USD	3,041.70	
31AUG17	S	4	PD30NOV17	20625.00	20929.17	USD	-6,083.40	
31AUG17	B	2	PD30NOV17	20605.00	20929.17	USD	3,241.70	
31AUG17	S	2	PD30NOV17	20595.00	20929.17	USD	-3,341.70	
31AUG17	B	1	PD30NOV17	20575.00	20929.17	USD	1,770.85	
31AUG17	B	2	PD30NOV17	20630.00	20929.17	USD	2,991.70	
31AUG17	S	1	PD30NOV17	20575.00	20929.17	USD	-1,770.85	
31AUG17	S	2	PD30NOV17	20630.00	20929.17	USD	-2,991.70	
31AUG17	B	1	PD30NOV17	20620.00	20929.17	USD	1,545.85	
31AUG17	S	1	PD30NOV17	20615.00	20929.17	USD	-1,570.85	
31AUG17	B	3	PD30NOV17	20640.00	20929.17	USD	4,337.55	
31AUG17	S	5	PD30NOV17	20645.00	20929.17	USD	-7,104.25	
31AUG17	B	3	PD30NOV17	20650.00	20929.17	USD	4,187.55	
31AUG17	B	1	PD30NOV17	20645.00	20929.17	USD	1,420.85	
31AUG17	S	1	PD30NOV17	20675.00	20929.17	USD	-1,270.85	
31AUG17	B	1	PD30NOV17	20685.00	20929.17	USD	1,220.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	-1 AVG	BGHT	20569.48	SOLD	20556.83		-3,695.85	0.00
01SEP17	B	1	PD01DEC17		20725.00	20927.08	USD	1,010.40	
01SEP17	S	1	PD01DEC17		20710.00	20927.08	USD	-1,085.40	
01SEP17	B	2	PD01DEC17		20765.00	20927.08	USD	1,620.80	
01SEP17	S	2	PD01DEC17		20775.00	20927.08	USD	-1,520.80	
01SEP17	S	2	PD01DEC17		20690.00	20927.08	USD	-2,370.80	
01SEP17	S	1	PD01DEC17		20695.00	20927.08	USD	-1,160.40	
01SEP17	S	1	PD01DEC17		20760.00	20927.08	USD	-835.40	
01SEP17	S	1	PD01DEC17		20735.00	20927.08	USD	-960.40	
01SEP17	B	2	PD01DEC17		20780.00	20927.08	USD	1,470.80	
01SEP17	S	1	PD01DEC17		20770.00	20927.08	USD	-785.40	
01SEP17	B	2	PD01DEC17		20670.00	20927.08	USD	2,570.80	
01SEP17	B	1	PD01DEC17		20675.00	20927.08	USD	1,260.40	
01SEP17	B	1	PD01DEC17		20715.00	20927.08	USD	1,060.40	
01SEP17	S	5	PD01DEC17		20680.00	20927.08	USD	-6,177.00	
01SEP17	S	2	PD01DEC17		20740.00	20927.08	USD	-1,870.80	
01SEP17	B	3	PD01DEC17		20710.00	20927.08	USD	3,256.20	
01SEP17	B	2	PD01DEC17		20735.00	20927.08	USD	1,920.80	
01SEP17	B	1	PD01DEC17		20700.00	20927.08	USD	1,135.40	
	NET	-1 AVG	BGHT	20723.00	SOLD	20717.50		-1,460.40	0.00
04SEP17	B	1	PD04DEC17		20735.00	20925.00	USD	950.00	
04SEP17	S	4	PD04DEC17		20780.00	20925.00	USD	-2,900.00	
04SEP17	B	1	PD04DEC17		20785.00	20925.00	USD	700.00	
04SEP17	B	1	PD04DEC17		20790.00	20925.00	USD	675.00	
	NET	-1 AVG	BGHT	20770.00	SOLD	20780.00		-575.00	0.00
05SEP17	B	3	PD05DEC17		20800.00	20922.92	USD	1,843.80	
05SEP17	S	3	PD05DEC17		20750.00	20922.92	USD	-2,593.80	
05SEP17	S	2	PD05DEC17		20760.00	20922.92	USD	-1,629.20	
05SEP17	B	1	PD05DEC17		20710.00	20922.92	USD	1,064.60	
05SEP17	B	1	PD05DEC17		20720.00	20922.92	USD	1,014.60	
05SEP17	B	2	PD05DEC17		20700.00	20922.92	USD	2,229.20	
05SEP17	B	4	PD05DEC17		20680.00	20922.92	USD	4,858.40	
05SEP17	B	2	PD05DEC17		20725.00	20922.92	USD	1,979.20	
05SEP17	B	2	PD05DEC17		20730.00	20922.92	USD	1,929.20	
05SEP17	S	1	PD05DEC17		20725.00	20922.92	USD	-989.60	
05SEP17	S	1	PD05DEC17		20735.00	20922.92	USD	-939.60	
05SEP17	S	1	PD05DEC17		20700.00	20922.92	USD	-1,114.60	
05SEP17	S	1	PD05DEC17		20710.00	20922.92	USD	-1,064.60	
05SEP17	S	2	PD05DEC17		20680.00	20922.92	USD	-2,429.20	
05SEP17	S	2	PD05DEC17		20695.00	20922.92	USD	-2,279.20	
05SEP17	B	3	PD05DEC17		20690.00	20922.92	USD	3,493.80	
05SEP17	S	2	PD05DEC17		20685.00	20922.92	USD	-2,379.20	
05SEP17	S	1	PD05DEC17		20690.00	20922.92	USD	-1,164.60	
	NET	+2 AVG	BGHT	20718.33	SOLD	20715.63		1,829.20	0.00
06SEP17	B	2	PD06DEC17		20590.00	20920.83	USD	3,308.30	
06SEP17	B	8	PD06DEC17		20600.00	20920.83	USD	12,833.20	
06SEP17	S	1	PD06DEC17		20625.00	20920.83	USD	-1,479.15	
06SEP17	S	1	PD06DEC17		20600.00	20920.83	USD	-1,604.15	
06SEP17	B	1	PD06DEC17		20625.00	20920.83	USD	1,479.15	
06SEP17	S	3	PD06DEC17		20650.00	20920.83	USD	-4,062.45	
06SEP17	S	1	PD06DEC17		20645.00	20920.83	USD	-1,379.15	
06SEP17	S	4	PD06DEC17		20590.00	20920.83	USD	-6,616.60	
06SEP17	B	1	PD06DEC17		20610.00	20920.83	USD	1,554.15	
06SEP17	S	1	PD06DEC17		20595.00	20920.83	USD	-1,629.15	
06SEP17	S	2	PD06DEC17		20640.00	20920.83	USD	-2,808.30	
06SEP17	B	1	PD06DEC17		20645.00	20920.83	USD	1,379.15	

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06SEP17	S	2	PD06DEC17	20630.00	20920.83	USD	-2,908.30	
06SEP17	B	2	PD06DEC17	20640.00	20920.83	USD	2,808.30	
06SEP17	S	1	PD06DEC17	20685.00	20920.83	USD	-1,179.15	
06SEP17	B	1	PD06DEC17	20750.00	20920.83	USD	854.15	
	NET	0AVG BGHT 20618.13	SOLD 20625.00				550.00	0.00
08SEP17	S	1	PD08DEC17	20790.00	20916.67	USD	-633.35	
08SEP17	B	1	PD08DEC17	20740.00	20916.67	USD	883.35	
08SEP17	S	3	PD08DEC17	20745.00	20916.67	USD	-2,575.05	
08SEP17	S	2	PD08DEC17	20750.00	20916.67	USD	-1,666.70	
08SEP17	B	1	PD08DEC17	20750.00	20916.67	USD	833.35	
08SEP17	B	5	PD08DEC17	20705.00	20916.67	USD	5,291.75	
08SEP17	S	2	PD08DEC17	20695.00	20916.67	USD	-2,216.70	
08SEP17	S	1	PD08DEC17	20710.00	20916.67	USD	-1,033.35	
08SEP17	B	1	PD08DEC17	20695.00	20916.67	USD	1,108.35	
08SEP17	B	1	PD08DEC17	20700.00	20916.67	USD	1,083.35	
08SEP17	B	1	PD08DEC17	20665.00	20916.67	USD	1,258.35	
08SEP17	S	2	PD08DEC17	20685.00	20916.67	USD	-2,316.70	
08SEP17	B	3	PD08DEC17	20620.00	20916.67	USD	4,450.05	
08SEP17	B	1	PD08DEC17	20635.00	20916.67	USD	1,408.35	
08SEP17	B	1	PD08DEC17	20650.00	20916.67	USD	1,333.35	
08SEP17	S	1	PD08DEC17	20525.00	20916.67	USD	-1,958.35	
08SEP17	S	1	PD08DEC17	20595.00	20916.67	USD	-1,608.35	
08SEP17	S	2	PD08DEC17	20560.00	20916.67	USD	-3,566.70	
08SEP17	B	1	PD08DEC17	20565.00	20916.67	USD	1,758.35	
08SEP17	S	3	PD08DEC17	20655.00	20916.67	USD	-3,925.05	
08SEP17	B	1	PD08DEC17	20505.00	20916.67	USD	2,058.35	
08SEP17	B	1	PD08DEC17	20525.00	20916.67	USD	1,958.35	
08SEP17	B	2	PD08DEC17	20655.00	20916.67	USD	2,616.70	
08SEP17	B	1	PD08DEC17	20675.00	20916.67	USD	1,208.35	
08SEP17	S	2	PD08DEC17	20665.00	20916.67	USD	-2,516.70	
08SEP17	B	1	PD08DEC17	20530.00	20916.67	USD	1,933.35	
08SEP17	S	2	PD08DEC17	20550.00	20916.67	USD	-3,666.70	
08SEP17	B	1	PD08DEC17	20515.00	20916.67	USD	2,008.35	
08SEP17	S	1	PD08DEC17	20515.00	20916.67	USD	-2,008.35	
	NET	0AVG BGHT 20645.43	SOLD 20658.48				1,500.00	0.00
11SEP17	B	2	PD11DEC17	20630.00	20914.58	USD	2,845.80	
11SEP17	B	2	PD11DEC17	20675.00	20914.58	USD	2,395.80	
11SEP17	S	4	PD11DEC17	20735.00	20914.58	USD	-3,591.60	
11SEP17	B	1	PD11DEC17	20815.00	20914.58	USD	497.90	
11SEP17	S	3	PD11DEC17	20705.00	20914.58	USD	-3,143.70	
11SEP17	B	1	PD11DEC17	20705.00	20914.58	USD	1,047.90	
11SEP17	B	1	PD11DEC17	20765.00	20914.58	USD	747.90	
11SEP17	S	2	PD11DEC17	20730.00	20914.58	USD	-1,845.80	
11SEP17	B	1	PD11DEC17	20710.00	20914.58	USD	1,022.90	
11SEP17	B	1	PD11DEC17	20730.00	20914.58	USD	922.90	
	NET	0AVG BGHT 20703.89	SOLD 20723.89				900.00	0.00
12SEP17	B	2	PD12DEC17	20715.00	20912.50	USD	1,975.00	
12SEP17	S	2	PD12DEC17	20685.00	20912.50	USD	-2,275.00	
	NET	0AVG BGHT 20715.00	SOLD 20685.00				-300.00	0.00
13SEP17	S	1	PD13DEC17	20735.00	20910.42	USD	-877.10	
13SEP17	S	1	PD13DEC17	20745.00	20910.42	USD	-827.10	
13SEP17	S	3	PD13DEC17	20680.00	20910.42	USD	-3,456.30	
13SEP17	B	2	PD13DEC17	20705.00	20910.42	USD	2,054.20	
13SEP17	B	3	PD13DEC17	20655.00	20910.42	USD	3,831.30	
13SEP17	S	3	PD13DEC17	20650.00	20910.42	USD	-3,906.30	
13SEP17	S	1	PD13DEC17	20685.00	20910.42	USD	-1,127.10	

OPEN POSITION STATEMENT



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SAP096AD

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	20630.00	20910.42	USD	1,402.10	
13SEP17	B	3	PD13DEC17	20650.00	20910.42	USD	3,906.30	
13SEP17	B	1	PD13DEC17	20640.00	20910.42	USD	1,352.10	
13SEP17	S	1	PD13DEC17	20640.00	20910.42	USD	-1,352.10	
13SEP17	B	3	PD13DEC17	20580.00	20910.42	USD	4,956.30	
13SEP17	B	2	PD13DEC17	20605.00	20910.42	USD	3,054.20	
13SEP17	S	1	PD13DEC17	20585.00	20910.42	USD	-1,627.10	
13SEP17	S	2	PD13DEC17	20605.00	20910.42	USD	-3,054.20	
13SEP17	S	2	PD13DEC17	20550.00	20910.42	USD	-3,604.20	
	NET	0 AVG BGHT 20636.33	SOLD 20646.00				725.00	0.00
14SEP17	S	3	PD14DEC17	20650.00	20908.33	USD	-3,874.95	
14SEP17	B	1	PD14DEC17	20615.00	20908.33	USD	1,466.65	
14SEP17	B	2	PD14DEC17	20560.00	20908.33	USD	3,483.30	
14SEP17	S	1	PD14DEC17	20530.00	20908.33	USD	-1,891.65	
14SEP17	B	1	PD14DEC17	20545.00	20908.33	USD	1,816.65	
14SEP17	S	1	PD14DEC17	20575.00	20908.33	USD	-1,666.65	
14SEP17	B	1	PD14DEC17	20570.00	20908.33	USD	1,691.65	
14SEP17	B	3	PD14DEC17	20580.00	20908.33	USD	4,924.95	
14SEP17	S	5	PD14DEC17	20590.00	20908.33	USD	-7,958.25	
14SEP17	B	2	PD14DEC17	20630.00	20908.33	USD	2,783.30	
14SEP17	B	1	PD14DEC17	20670.00	20908.33	USD	1,191.65	
14SEP17	S	2	PD14DEC17	20665.00	20908.33	USD	-2,433.30	
14SEP17	B	1	PD14DEC17	20610.00	20908.33	USD	1,491.65	
	NET	0 AVG BGHT 20594.17	SOLD 20611.25				1,025.00	0.00
15SEP17	B	3	PD15DEC17	20520.00	20906.25	USD	5,793.75	
15SEP17	B	1	PD15DEC17	20530.00	20906.25	USD	1,881.25	
15SEP17	S	1	PD15DEC17	20615.00	20906.25	USD	-1,456.25	
15SEP17	S	1	PD15DEC17	20625.00	20906.25	USD	-1,406.25	
15SEP17	S	1	PD15DEC17	20520.00	20906.25	USD	-1,931.25	
15SEP17	S	2	PD15DEC17	20580.00	20906.25	USD	-3,262.50	
15SEP17	S	2	PD15DEC17	20570.00	20906.25	USD	-3,362.50	
15SEP17	B	3	PD15DEC17	20580.00	20906.25	USD	4,893.75	
15SEP17	B	1	PD15DEC17	20585.00	20906.25	USD	1,606.25	
15SEP17	S	1	PD15DEC17	20560.00	20906.25	USD	-1,731.25	
15SEP17	B	2	PD15DEC17	20425.00	20906.25	USD	4,812.50	
15SEP17	S	2	PD15DEC17	20480.00	20906.25	USD	-4,262.50	
15SEP17	B	1	PD15DEC17	20435.00	20906.25	USD	2,356.25	
15SEP17	S	1	PD15DEC17	20515.00	20906.25	USD	-1,956.25	
	NET	0 AVG BGHT 20518.18	SOLD 20554.09				1,975.00	0.00
18SEP17	B	1	PD18DEC17	20680.00	20904.17	USD	1,120.85	
18SEP17	S	2	PD18DEC17	20675.00	20904.17	USD	-2,291.70	
18SEP17	B	1	PD18DEC17	20685.00	20904.17	USD	1,095.85	
18SEP17	B	1	PD18DEC17	20715.00	20904.17	USD	945.85	
18SEP17	S	1	PD18DEC17	20685.00	20904.17	USD	-1,095.85	
18SEP17	S	1	PD18DEC17	20695.00	20904.17	USD	-1,045.85	
18SEP17	S	5	PD18DEC17	20680.00	20904.17	USD	-5,604.25	
18SEP17	B	2	PD18DEC17	20600.00	20904.17	USD	3,041.70	
18SEP17	B	1	PD18DEC17	20630.00	20904.17	USD	1,370.85	
18SEP17	B	1	PD18DEC17	20580.00	20904.17	USD	1,620.85	
18SEP17	B	1	PD18DEC17	20735.00	20904.17	USD	845.85	
18SEP17	S	3	PD18DEC17	20670.00	20904.17	USD	-3,512.55	
18SEP17	B	1	PD18DEC17	20650.00	20904.17	USD	1,270.85	
18SEP17	B	1	PD18DEC17	20665.00	20904.17	USD	1,195.85	
18SEP17	B	1	PD18DEC17	20660.00	20904.17	USD	1,220.85	
18SEP17	B	1	PD18DEC17	20605.00	20904.17	USD	1,495.85	
18SEP17	S	2	PD18DEC17	20650.00	20904.17	USD	-2,541.70	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	20620.00	20904.17	USD	1,420.85	
18SEP17	B	1	PD18DEC17	20635.00	20904.17	USD	1,345.85	
18SEP17	S	1	PD18DEC17	20635.00	20904.17	USD	-1,345.85	
18SEP17	B	1	PD18DEC17	20615.00	20904.17	USD	1,445.85	
18SEP17	B	2	PD18DEC17	20640.00	20904.17	USD	2,641.70	
18SEP17	S	1	PD18DEC17	20620.00	20904.17	USD	-1,420.85	
18SEP17	S	1	PD18DEC17	20640.00	20904.17	USD	-1,320.85	
	NET	0 AVG BGHT 20644.41	SOLD 20666.76				1,900.00	0.00
19SEP17	B	1	PD19DEC17	20700.00	20902.08	USD	1,010.40	
19SEP17	S	4	PD19DEC17	20675.00	20902.08	USD	-4,541.60	
19SEP17	B	1	PD19DEC17	20675.00	20902.08	USD	1,135.40	
19SEP17	S	2	PD19DEC17	20700.00	20902.08	USD	-2,020.80	
19SEP17	B	1	PD19DEC17	20710.00	20902.08	USD	960.40	
19SEP17	B	2	PD19DEC17	20725.00	20902.08	USD	1,770.80	
19SEP17	S	1	PD19DEC17	20710.00	20902.08	USD	-960.40	
19SEP17	S	2	PD19DEC17	20715.00	20902.08	USD	-1,870.80	
19SEP17	S	1	PD19DEC17	20650.00	20902.08	USD	-1,260.40	
19SEP17	B	4	PD19DEC17	20730.00	20902.08	USD	3,441.60	
19SEP17	B	2	PD19DEC17	20705.00	20902.08	USD	1,970.80	
19SEP17	S	1	PD19DEC17	20685.00	20902.08	USD	-1,085.40	
19SEP17	B	1	PD19DEC17	20685.00	20902.08	USD	1,085.40	
19SEP17	S	1	PD19DEC17	20720.00	20902.08	USD	-910.40	
	NET	0 AVG BGHT 20712.50	SOLD 20691.25				-1,275.00	0.00
20SEP17	B	2	PD20DEC17	20745.00	20900.00	USD	1,550.00	
20SEP17	S	1	PD20DEC17	20760.00	20900.00	USD	-700.00	
20SEP17	S	1	PD20DEC17	20765.00	20900.00	USD	-675.00	
20SEP17	S	3	PD20DEC17	20740.00	20900.00	USD	-2,400.00	
20SEP17	B	3	PD20DEC17	20740.00	20900.00	USD	2,400.00	
20SEP17	S	1	PD20DEC17	20725.00	20900.00	USD	-875.00	
20SEP17	B	3	PD20DEC17	20660.00	20900.00	USD	3,600.00	
20SEP17	S	2	PD20DEC17	20670.00	20900.00	USD	-2,300.00	
	NET	0 AVG BGHT 20711.25	SOLD 20726.25				600.00	0.00
21SEP17	B	2	PD21DEC17	20510.00	20897.69	USD	3,876.90	
21SEP17	B	1	PD21DEC17	20540.00	20897.69	USD	1,788.45	
21SEP17	S	1	PD21DEC17	20520.00	20897.69	USD	-1,888.45	
21SEP17	S	3	PD21DEC17	20540.00	20897.69	USD	-5,365.35	
21SEP17	S	5	PD21DEC17	20565.00	20897.69	USD	-8,317.25	
21SEP17	B	2	PD21DEC17	20555.00	20897.69	USD	3,426.90	
21SEP17	B	1	PD21DEC17	20565.00	20897.69	USD	1,663.45	
21SEP17	B	2	PD21DEC17	20570.00	20897.69	USD	3,276.90	
21SEP17	B	1	PD21DEC17	20580.00	20897.69	USD	1,588.45	
21SEP17	B	1	PD21DEC17	20595.00	20897.69	USD	1,513.45	
21SEP17	S	1	PD21DEC17	20550.00	20897.69	USD	-1,738.45	
21SEP17	S	1	PD21DEC17	20560.00	20897.69	USD	-1,688.45	
21SEP17	S	1	PD21DEC17	20595.00	20897.69	USD	-1,513.45	
21SEP17	B	1	PD21DEC17	20465.00	20897.69	USD	2,163.45	
21SEP17	B	3	PD21DEC17	20500.00	20897.69	USD	5,965.35	
21SEP17	S	2	PD21DEC17	20495.00	20897.69	USD	-4,026.90	
21SEP17	S	1	PD21DEC17	20380.00	20897.69	USD	-2,588.45	
	NET	-1 AVG BGHT 20536.79	SOLD 20536.00				-1,863.45	0.00
22SEP17	S	1	PD22DEC17	20325.00	20895.38	USD	-2,851.90	
22SEP17	S	2	PD22DEC17	20330.00	20895.38	USD	-5,653.80	
22SEP17	B	2	PD22DEC17	20400.00	20895.38	USD	4,953.80	
22SEP17	B	1	PD22DEC17	20470.00	20895.38	USD	2,126.90	
22SEP17	S	4	PD22DEC17	20425.00	20895.38	USD	-9,407.60	
22SEP17	S	2	PD22DEC17	20455.00	20895.38	USD	-4,403.80	

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22SEP17	B	4	PD22DEC17	20440.00	20895.38	USD	9,107.60	
22SEP17	B	7	PD22DEC17	20445.00	20895.38	USD	15,763.30	
22SEP17	S	2	PD22DEC17	20430.00	20895.38	USD	-4,653.80	
22SEP17	S	1	PD22DEC17	20505.00	20895.38	USD	-1,951.90	
22SEP17	S	1	PD22DEC17	20525.00	20895.38	USD	-1,851.90	
22SEP17	B	1	PD22DEC17	20525.00	20895.38	USD	1,851.90	
22SEP17	S	1	PD22DEC17	20565.00	20895.38	USD	-1,651.90	
22SEP17	B	1	PD22DEC17	20625.00	20895.38	USD	1,351.90	
22SEP17	B	1	PD22DEC17	20630.00	20895.38	USD	1,326.90	
22SEP17	S	2	PD22DEC17	20630.00	20895.38	USD	-2,653.80	
22SEP17	S	1	PD22DEC17	20600.00	20895.38	USD	-1,476.90	
22SEP17	B	1	PD22DEC17	20615.00	20895.38	USD	1,401.90	
22SEP17	B	1	PD22DEC17	20600.00	20895.38	USD	1,476.90	
22SEP17	B	1	PD22DEC17	20465.00	20895.38	USD	2,151.90	
22SEP17	S	1	PD22DEC17	20445.00	20895.38	USD	-2,251.90	
22SEP17	S	1	PD22DEC17	20605.00	20895.38	USD	-1,451.90	
22SEP17	S	5	PD22DEC17	20580.00	20895.38	USD	-7,884.50	
22SEP17	S	2	PD22DEC17	20520.00	20895.38	USD	-3,753.80	
22SEP17	B	2	PD22DEC17	20515.00	20895.38	USD	3,803.80	
22SEP17	B	1	PD22DEC17	20520.00	20895.38	USD	1,876.90	
22SEP17	S	1	PD22DEC17	20515.00	20895.38	USD	-1,901.90	
22SEP17	S	1	PD22DEC17	20570.00	20895.38	USD	-1,626.90	
22SEP17	S	3	PD22DEC17	20575.00	20895.38	USD	-4,805.70	
22SEP17	B	7	PD22DEC17	20580.00	20895.38	USD	11,038.30	
22SEP17	B	2	PD22DEC17	20575.00	20895.38	USD	3,203.80	
	NET	+1 AVG BGHT 20511.41	SOLD 20506.77				1,201.90	0.00
25SEP17	S	2	PD27DEC17	20620.00	20893.08	USD	-2,730.80	
25SEP17	B	2	PD27DEC17	20645.00	20893.08	USD	2,480.80	
25SEP17	S	2	PD27DEC17	20605.00	20893.08	USD	-2,880.80	
25SEP17	B	2	PD27DEC17	20595.00	20893.08	USD	2,980.80	
25SEP17	B	1	PD27DEC17	20545.00	20893.08	USD	1,740.40	
25SEP17	B	2	PD27DEC17	20585.00	20893.08	USD	3,080.80	
25SEP17	B	1	PD27DEC17	20590.00	20893.08	USD	1,515.40	
25SEP17	S	1	PD27DEC17	20625.00	20893.08	USD	-1,340.40	
25SEP17	S	2	PD27DEC17	20585.00	20893.08	USD	-3,080.80	
25SEP17	B	1	PD27DEC17	20615.00	20893.08	USD	1,390.40	
25SEP17	S	5	PD27DEC17	20610.00	20893.08	USD	-7,077.00	
25SEP17	S	1	PD27DEC17	20615.00	20893.08	USD	-1,390.40	
25SEP17	B	1	PD27DEC17	20610.00	20893.08	USD	1,415.40	
25SEP17	B	1	PD27DEC17	20620.00	20893.08	USD	1,365.40	
25SEP17	B	1	PD27DEC17	20630.00	20893.08	USD	1,315.40	
25SEP17	B	1	PD27DEC17	20600.00	20893.08	USD	1,465.40	
25SEP17	B	3	PD27DEC17	20640.00	20893.08	USD	3,796.20	
25SEP17	B	1	PD27DEC17	20660.00	20893.08	USD	1,165.40	
25SEP17	S	1	PD27DEC17	20665.00	20893.08	USD	-1,140.40	
25SEP17	S	3	PD27DEC17	20645.00	20893.08	USD	-3,721.20	
26SEP17	S	1	PD27DEC17	20745.00	20893.08	USD	-740.40	
26SEP17	B	1	PD27DEC17	20715.00	20893.08	USD	890.40	
26SEP17	S	3	PD27DEC17	20705.00	20893.08	USD	-2,821.20	
26SEP17	B	1	PD27DEC17	20625.00	20893.08	USD	1,340.40	
26SEP17	S	1	PD27DEC17	20655.00	20893.08	USD	-1,190.40	
26SEP17	B	2	PD27DEC17	20655.00	20893.08	USD	2,380.80	
26SEP17	B	1	PD27DEC17	20660.00	20893.08	USD	1,165.40	
27SEP17	S	3	PD27DEC17	20710.00	20893.08	USD	-2,746.20	
27SEP17	B	1	PD27DEC17	20710.00	20893.08	USD	915.40	
27SEP17	B	1	PD27DEC17	20720.00	20893.08	USD	865.40	
27SEP17	B	1	PD27DEC17	20700.00	20893.08	USD	965.40	

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27SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
27SEP17	S	1	PD27DEC17	20655.00	20893.08	USD	-1,190.40	
27SEP17	S	2	PD27DEC17	20645.00	20893.08	USD	-2,480.80	
27SEP17	S	3	PD27DEC17	20650.00	20893.08	USD	-3,646.20	
27SEP17	B	1	PD27DEC17	20675.00	20893.08	USD	1,090.40	
27SEP17	B	3	PD27DEC17	20665.00	20893.08	USD	3,421.20	
27SEP17	B	1	PD27DEC17	20670.00	20893.08	USD	1,115.40	
	NET	0 AVG BGHT 20641.13	SOLD 20646.77				875.00	0.00
28SEP17	S	1	PD28DEC17	20700.00	20890.77	USD	-953.85	
28SEP17	S	5	PD28DEC17	20710.00	20890.77	USD	-4,519.25	
28SEP17	B	5	PD28DEC17	20700.00	20890.77	USD	4,769.25	
28SEP17	S	5	PD28DEC17	20695.00	20890.77	USD	-4,894.25	
28SEP17	B	1	PD28DEC17	20710.00	20890.77	USD	903.85	
28SEP17	B	2	PD28DEC17	20695.00	20890.77	USD	1,957.70	
28SEP17	B	3	PD28DEC17	20720.00	20890.77	USD	2,561.55	
28SEP17	S	3	PD28DEC17	20620.00	20890.77	USD	-4,061.55	
28SEP17	S	2	PD28DEC17	20625.00	20890.77	USD	-2,657.70	
28SEP17	B	2	PD28DEC17	20625.00	20890.77	USD	2,657.70	
28SEP17	B	2	PD28DEC17	20630.00	20890.77	USD	2,607.70	
28SEP17	B	2	PD28DEC17	20640.00	20890.77	USD	2,507.70	
28SEP17	S	1	PD28DEC17	20635.00	20890.77	USD	-1,278.85	
	NET	0 AVG BGHT 20679.41	SOLD 20674.71				-400.00	0.00
04OCT17	S	5	PD04JAN18	20785.00	20881.54	USD	-2,413.50	
04OCT17	B	2	PD04JAN18	20770.00	20881.54	USD	1,115.40	
04OCT17	B	3	PD04JAN18	20780.00	20881.54	USD	1,523.10	
	NET	0 AVG BGHT 20776.00	SOLD 20785.00				225.00	0.00
05OCT17	B	1	PD05JAN18	20850.00	20879.23	USD	146.15	
05OCT17	B	2	PD05JAN18	20875.00	20879.23	USD	42.30	
05OCT17	B	1	PD05JAN18	20880.00	20879.23	USD	-3.85	
05OCT17	S	2	PD05JAN18	20940.00	20879.23	USD	607.70	
05OCT17	S	8	PD05JAN18	20960.00	20879.23	USD	3,230.80	
05OCT17	B	5	PD05JAN18	20975.00	20879.23	USD	-2,394.25	
05OCT17	B	1	PD05JAN18	20865.00	20879.23	USD	71.15	
05OCT17	B	2	PD05JAN18	20965.00	20879.23	USD	-857.70	
05OCT17	B	3	PD05JAN18	20970.00	20879.23	USD	-1,361.55	
05OCT17	S	3	PD05JAN18	20965.00	20879.23	USD	1,286.55	
05OCT17	S	2	PD05JAN18	20970.00	20879.23	USD	907.70	
05OCT17	B	1	PD05JAN18	21125.00	20879.23	USD	-1,228.85	
05OCT17	S	1	PD05JAN18	21115.00	20879.23	USD	1,178.85	
05OCT17	S	1	PD05JAN18	21140.00	20879.23	USD	1,303.85	
05OCT17	S	1	PD05JAN18	21075.00	20879.23	USD	978.85	
05OCT17	B	2	PD05JAN18	21110.00	20879.23	USD	-2,307.70	
05OCT17	S	2	PD05JAN18	21095.00	20879.23	USD	2,157.70	
05OCT17	S	1	PD05JAN18	21165.00	20879.23	USD	1,428.85	
05OCT17	S	3	PD05JAN18	21175.00	20879.23	USD	4,436.55	
05OCT17	B	3	PD05JAN18	21150.00	20879.23	USD	-4,061.55	
05OCT17	S	3	PD05JAN18	21135.00	20879.23	USD	3,836.55	
05OCT17	S	1	PD05JAN18	21120.00	20879.23	USD	1,203.85	
05OCT17	B	4	PD05JAN18	21145.00	20879.23	USD	-5,315.40	
05OCT17	S	1	PD05JAN18	21030.00	20879.23	USD	753.85	
05OCT17	S	1	PD05JAN18	21090.00	20879.23	USD	1,053.85	
05OCT17	B	1	PD05JAN18	20980.00	20879.23	USD	-503.85	
05OCT17	B	5	PD05JAN18	21000.00	20879.23	USD	-3,019.25	
05OCT17	B	1	PD05JAN18	21040.00	20879.23	USD	-803.85	
05OCT17	B	1	PD05JAN18	21085.00	20879.23	USD	-1,028.85	
05OCT17	B	3	PD05JAN18	21090.00	20879.23	USD	-3,161.55	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	3	PD05JAN18	21095.00	20879.23	USD	-3,236.55	
05OCT17	S	5	PD05JAN18	21150.00	20879.23	USD	6,769.25	
05OCT17	S	1	PD05JAN18	21155.00	20879.23	USD	1,378.85	
05OCT17	B	3	PD05JAN18	21030.00	20879.23	USD	-2,261.55	
05OCT17	S	1	PD05JAN18	21060.00	20879.23	USD	903.85	
05OCT17	B	1	PD05JAN18	21025.00	20879.23	USD	-728.85	
05OCT17	S	7	PD05JAN18	21050.00	20879.23	USD	5,976.95	
05OCT17	B	1	PD05JAN18	21050.00	20879.23	USD	-853.85	
06OCT17	B	5	PD05JAN18	21040.00	20879.23	USD	-4,019.25	
06OCT17	S	1	PD05JAN18	21020.00	20879.23	USD	703.85	
06OCT17	S	2	PD05JAN18	21035.00	20879.23	USD	1,557.70	
06OCT17	S	2	PD05JAN18	21030.00	20879.23	USD	1,507.70	
06OCT17	S	1	PD05JAN18	21025.00	20879.23	USD	728.85	
06OCT17	B	1	PD05JAN18	20970.00	20879.23	USD	-453.85	
06OCT17	S	2	PD05JAN18	21010.00	20879.23	USD	1,307.70	
06OCT17	B	3	PD05JAN18	20940.00	20879.23	USD	-911.55	
06OCT17	B	3	PD05JAN18	20850.00	20879.23	USD	438.45	
06OCT17	B	6	PD05JAN18	20860.00	20879.23	USD	576.90	
06OCT17	S	1	PD05JAN18	20855.00	20879.23	USD	-121.15	
06OCT17	B	1	PD05JAN18	20855.00	20879.23	USD	121.15	
06OCT17	S	2	PD05JAN18	20845.00	20879.23	USD	-342.30	
06OCT17	S	3	PD05JAN18	20850.00	20879.23	USD	-438.45	
06OCT17	S	2	PD05JAN18	20800.00	20879.23	USD	-792.30	
06OCT17	B	1	PD05JAN18	20795.00	20879.23	USD	421.15	
06OCT17	B	1	PD05JAN18	20800.00	20879.23	USD	396.15	
06OCT17	S	3	PD05JAN18	20980.00	20879.23	USD	1,511.55	
06OCT17	S	2	PD05JAN18	20990.00	20879.23	USD	1,107.70	
06OCT17	B	1	PD05JAN18	20980.00	20879.23	USD	-503.85	
06OCT17	B	1	PD05JAN18	20990.00	20879.23	USD	-553.85	
06OCT17	B	1	PD05JAN18	21015.00	20879.23	USD	-678.85	
06OCT17	S	1	PD05JAN18	20810.00	20879.23	USD	-346.15	
06OCT17	S	1	PD05JAN18	20940.00	20879.23	USD	303.85	
06OCT17	S	1	PD05JAN18	21015.00	20879.23	USD	678.85	
06OCT17	S	1	PD05JAN18	20775.00	20879.23	USD	-521.15	
06OCT17	B	1	PD05JAN18	20595.00	20879.23	USD	1,421.15	
06OCT17	B	4	PD05JAN18	20600.00	20879.23	USD	5,584.60	
06OCT17	B	1	PD05JAN18	20705.00	20879.23	USD	871.15	
06OCT17	S	1	PD05JAN18	20610.00	20879.23	USD	-1,346.15	
06OCT17	B	4	PD05JAN18	20630.00	20879.23	USD	4,984.60	
06OCT17	S	2	PD05JAN18	20615.00	20879.23	USD	-2,642.30	
06OCT17	S	1	PD05JAN18	20620.00	20879.23	USD	-1,296.15	
06OCT17	S	3	PD05JAN18	20595.00	20879.23	USD	-4,263.45	
06OCT17	B	1	PD05JAN18	20645.00	20879.23	USD	1,171.15	
06OCT17	S	2	PD05JAN18	20640.00	20879.23	USD	-2,392.30	
06OCT17	S	1	PD05JAN18	20645.00	20879.23	USD	-1,171.15	
	NET	0AVG BGHT 20940.00	SOLD 20963.10				9,125.00	0.00
09OCT17	B	1	PD09JAN18	20685.00	20874.62	USD	948.10	
09OCT17	B	1	PD09JAN18	20720.00	20874.62	USD	773.10	
09OCT17	S	1	PD09JAN18	20745.00	20874.62	USD	-648.10	
09OCT17	S	1	PD09JAN18	20755.00	20874.62	USD	-598.10	
09OCT17	B	3	PD09JAN18	20845.00	20874.62	USD	444.30	
09OCT17	B	1	PD09JAN18	20925.00	20874.62	USD	-251.90	
09OCT17	S	2	PD09JAN18	20885.00	20874.62	USD	103.80	
09OCT17	S	1	PD09JAN18	20990.00	20874.62	USD	576.90	
09OCT17	B	2	PD09JAN18	20850.00	20874.62	USD	246.20	
09OCT17	S	2	PD09JAN18	20915.00	20874.62	USD	403.80	
09OCT17	B	1	PD09JAN18	20810.00	20874.62	USD	323.10	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	20860.00	20874.62	USD	-73.10	
09OCT17	B	3	PD09JAN18	20820.00	20874.62	USD	819.30	
09OCT17	B	2	PD09JAN18	20805.00	20874.62	USD	696.20	
09OCT17	S	3	PD09JAN18	20790.00	20874.62	USD	-1,269.30	
09OCT17	S	3	PD09JAN18	20770.00	20874.62	USD	-1,569.30	
09OCT17	B	1	PD09JAN18	20755.00	20874.62	USD	598.10	
09OCT17	S	5	PD09JAN18	20725.00	20874.62	USD	-3,740.50	
09OCT17	B	2	PD09JAN18	20725.00	20874.62	USD	1,496.20	
09OCT17	B	5	PD09JAN18	20750.00	20874.62	USD	3,115.50	
09OCT17	B	1	PD09JAN18	20695.00	20874.62	USD	898.10	
09OCT17	B	2	PD09JAN18	20745.00	20874.62	USD	1,296.20	
09OCT17	B	2	PD09JAN18	20770.00	20874.62	USD	1,046.20	
09OCT17	S	1	PD09JAN18	20695.00	20874.62	USD	-898.10	
09OCT17	S	1	PD09JAN18	20700.00	20874.62	USD	-873.10	
09OCT17	S	1	PD09JAN18	20730.00	20874.62	USD	-723.10	
09OCT17	S	1	PD09JAN18	20820.00	20874.62	USD	-273.10	
09OCT17	B	3	PD09JAN18	20800.00	20874.62	USD	1,119.30	
09OCT17	S	3	PD09JAN18	20855.00	20874.62	USD	-294.30	
09OCT17	S	1	PD09JAN18	20895.00	20874.62	USD	101.90	
09OCT17	B	3	PD09JAN18	20700.00	20874.62	USD	2,619.30	
09OCT17	S	1	PD09JAN18	20710.00	20874.62	USD	-823.10	
09OCT17	B	4	PD09JAN18	20765.00	20874.62	USD	2,192.40	
09OCT17	S	4	PD09JAN18	20740.00	20874.62	USD	-2,692.40	
09OCT17	S	2	PD09JAN18	20750.00	20874.62	USD	-1,246.20	
09OCT17	S	1	PD09JAN18	20775.00	20874.62	USD	-498.10	
09OCT17	S	1	PD09JAN18	20805.00	20874.62	USD	-348.10	
09OCT17	S	1	PD09JAN18	20795.00	20874.62	USD	-398.10	
09OCT17	B	3	PD09JAN18	20815.00	20874.62	USD	894.30	
09OCT17	S	3	PD09JAN18	20815.00	20874.62	USD	-894.30	
	NET	0AVG BGHT 20778.25	SOLD 20791.25				2,600.00	0.00
10OCT17	B	1	PD10JAN18	20790.00	20872.31	USD	411.55	
10OCT17	B	1	PD10JAN18	20805.00	20872.31	USD	336.55	
10OCT17	S	1	PD10JAN18	20895.00	20872.31	USD	113.45	
10OCT17	S	1	PD10JAN18	20920.00	20872.31	USD	238.45	
10OCT17	B	1	PD10JAN18	20855.00	20872.31	USD	86.55	
10OCT17	S	1	PD10JAN18	20870.00	20872.31	USD	-11.55	
10OCT17	S	2	PD10JAN18	20900.00	20872.31	USD	276.90	
10OCT17	B	1	PD10JAN18	20870.00	20872.31	USD	11.55	
10OCT17	S	2	PD10JAN18	20910.00	20872.31	USD	376.90	
10OCT17	S	1	PD10JAN18	20855.00	20872.31	USD	-86.55	
10OCT17	S	1	PD10JAN18	20825.00	20872.31	USD	-236.55	
10OCT17	S	2	PD10JAN18	20885.00	20872.31	USD	126.90	
10OCT17	S	1	PD10JAN18	20865.00	20872.31	USD	-36.55	
10OCT17	S	1	PD10JAN18	20880.00	20872.31	USD	38.45	
10OCT17	B	1	PD10JAN18	20845.00	20872.31	USD	136.55	
10OCT17	B	5	PD10JAN18	20850.00	20872.31	USD	557.75	
10OCT17	S	4	PD10JAN18	20860.00	20872.31	USD	-246.20	
10OCT17	B	4	PD10JAN18	20860.00	20872.31	USD	246.20	
10OCT17	B	3	PD10JAN18	20885.00	20872.31	USD	-190.35	
10OCT17	S	3	PD10JAN18	20875.00	20872.31	USD	40.35	
10OCT17	B	3	PD10JAN18	20875.00	20872.31	USD	-40.35	
	NET	0AVG BGHT 20856.75	SOLD 20878.25				2,150.00	0.00
11OCT17	B	1	PD11JAN18	20705.00	20870.00	USD	825.00	
11OCT17	B	1	PD11JAN18	20710.00	20870.00	USD	800.00	
11OCT17	B	3	PD11JAN18	20715.00	20870.00	USD	2,325.00	
11OCT17	B	2	PD11JAN18	20735.00	20870.00	USD	1,350.00	
11OCT17	B	2	PD11JAN18	20740.00	20870.00	USD	1,300.00	

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11OCT17	B	3	PD11JAN18	20745.00	20870.00	USD	1,875.00	
11OCT17	B	3	PD11JAN18	20760.00	20870.00	USD	1,650.00	
11OCT17	B	2	PD11JAN18	20765.00	20870.00	USD	1,050.00	
11OCT17	B	1	PD11JAN18	20775.00	20870.00	USD	475.00	
11OCT17	B	1	PD11JAN18	20780.00	20870.00	USD	450.00	
11OCT17	B	1	PD11JAN18	20790.00	20870.00	USD	400.00	
11OCT17	B	2	PD11JAN18	20795.00	20870.00	USD	750.00	
11OCT17	B	4	PD11JAN18	20800.00	20870.00	USD	1,400.00	
11OCT17	B	1	PD11JAN18	20810.00	20870.00	USD	300.00	
11OCT17	S	2	PD11JAN18	20695.00	20870.00	USD	-1,750.00	
11OCT17	S	1	PD11JAN18	20730.00	20870.00	USD	-700.00	
11OCT17	S	2	PD11JAN18	20740.00	20870.00	USD	-1,300.00	
11OCT17	S	4	PD11JAN18	20745.00	20870.00	USD	-2,500.00	
11OCT17	S	1	PD11JAN18	20755.00	20870.00	USD	-575.00	
11OCT17	S	2	PD11JAN18	20775.00	20870.00	USD	-950.00	
11OCT17	S	4	PD11JAN18	20780.00	20870.00	USD	-1,800.00	
11OCT17	S	1	PD11JAN18	20790.00	20870.00	USD	-400.00	
11OCT17	S	2	PD11JAN18	20800.00	20870.00	USD	-700.00	
11OCT17	S	2	PD11JAN18	20805.00	20870.00	USD	-650.00	
11OCT17	S	2	PD11JAN18	20820.00	20870.00	USD	-500.00	
11OCT17	S	4	PD11JAN18	20825.00	20870.00	USD	-900.00	
11OCT17	S	1	PD11JAN18	20830.00	20870.00	USD	-200.00	
11OCT17	S	2	PD11JAN18	20840.00	20870.00	USD	-300.00	
11OCT17	B	6	PD11JAN18	20750.00	20870.00	USD	3,600.00	
11OCT17	B	8	PD11JAN18	20755.00	20870.00	USD	4,600.00	
11OCT17	S	1	PD11JAN18	20735.00	20870.00	USD	-675.00	
11OCT17	S	2	PD11JAN18	20750.00	20870.00	USD	-1,200.00	
11OCT17	S	3	PD11JAN18	20760.00	20870.00	USD	-1,650.00	
11OCT17	S	5	PD11JAN18	20765.00	20870.00	USD	-2,625.00	
11OCT17	S	3	PD11JAN18	20770.00	20870.00	USD	-1,500.00	
11OCT17	B	2	PD11JAN18	20785.00	20870.00	USD	850.00	
11OCT17	B	1	PD11JAN18	20820.00	20870.00	USD	250.00	
	NET	0AVG BGHT 20759.77 SOLD 20775.11					3,375.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2846.50	3299.33	USD	11,320.75	
12JUL17	B	2	PD12OCT17	2847.50	3299.33	USD	22,591.50	
20JUL17	S	3	PD12OCT17	2726.94	3299.33	USD	-42,929.25	
	NET	0AVG BGHT 2847.17 SOLD 2726.94					-9,017.00	0.00
13JUL17	S	2	PD13OCT17	2840.00	3295.00	USD	-22,750.00	
13JUL17	S	1	PD13OCT17	2826.50	3295.00	USD	-11,712.50	
14JUL17	B	1	PD13OCT17	2777.00	3295.00	USD	12,950.00	
14JUL17	B	1	PD13OCT17	2777.50	3295.00	USD	12,937.50	
14JUL17	S	1	PD13OCT17	2773.00	3295.00	USD	-13,050.00	
06OCT17	B	2	PD13OCT17	3286.00	3295.00	USD	450.00	
	NET	0AVG BGHT 3031.63 SOLD 2819.88					-21,175.00	0.00
17JUL17	B	1	PD17OCT17	2817.00	3286.33	USD	11,733.25	
17JUL17	B	1	PD17OCT17	2817.50	3286.33	USD	11,720.75	
17JUL17	S	1	PD17OCT17	2833.50	3286.33	USD	-11,320.75	
17JUL17	B	1	PD17OCT17	2820.00	3286.33	USD	11,658.25	
17JUL17	S	1	PD17OCT17	2829.00	3286.33	USD	-11,433.25	
17JUL17	S	1	PD17OCT17	2843.00	3286.33	USD	-11,083.25	
17JUL17	S	2	PD17OCT17	2840.00	3286.33	USD	-22,316.50	
17JUL17	B	1	PD17OCT17	2827.00	3286.33	USD	11,483.25	
17JUL17	S	2	PD17OCT17	2817.50	3286.33	USD	-23,441.50	
17JUL17	B	1	PD17OCT17	2846.00	3286.33	USD	11,008.25	

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17JUL17	B	1	PD17OCT17	2846.50	3286.33	USD	10,995.75	
17JUL17	B	1	PD17OCT17	2823.00	3286.33	USD	11,583.25	
	NET	0AVG	BGHT 2828.14				587.50	0.00
18JUL17	B	1	PD18OCT17	2785.50	3282.00	USD	12,412.50	
18JUL17	S	1	PD18OCT17	2784.50	3282.00	USD	-12,437.50	
	NET	0AVG	BGHT 2785.50				-25.00	0.00
19JUL17	S	1	PD19OCT17	2758.50	3277.50	USD	-12,975.00	
06OCT17	B	1	PD19OCT17	3270.50	3277.50	USD	175.00	
	NET	0AVG	BGHT 3270.50				-12,800.00	0.00
20JUL17	B	1	PD20OCT17	2734.50	3273.00	USD	13,462.50	
20JUL17	B	1	PD20OCT17	2726.00	3273.00	USD	13,675.00	
20JUL17	B	1	PD20OCT17	2726.50	3273.00	USD	13,662.50	
21JUL17	S	2	PD20OCT17	2763.50	3273.00	USD	-25,475.00	
21JUL17	B	1	PD20OCT17	2764.00	3273.00	USD	12,725.00	
21JUL17	S	1	PD20OCT17	2771.50	3273.00	USD	-12,537.50	
21JUL17	B	2	PD20OCT17	2766.00	3273.00	USD	25,350.00	
21JUL17	S	4	PD20OCT17	2764.00	3273.00	USD	-50,900.00	
21JUL17	B	1	PD20OCT17	2765.00	3273.00	USD	12,700.00	
21JUL17	B	1	PD20OCT17	2759.00	3273.00	USD	12,850.00	
21JUL17	B	1	PD20OCT17	2759.50	3273.00	USD	12,837.50	
21JUL17	B	1	PD20OCT17	2744.00	3273.00	USD	13,225.00	
06OCT17	S	3	PD20OCT17	3266.30	3273.00	USD	-502.50	
	NET	0AVG	BGHT 2751.05				41,072.50	0.00
24JUL17	S	2	PD24OCT17	2778.00	3267.00	USD	-24,450.00	
24JUL17	B	1	PD24OCT17	2777.00	3267.00	USD	12,250.00	
24JUL17	S	1	PD24OCT17	2789.00	3267.00	USD	-11,950.00	
24JUL17	S	1	PD24OCT17	2782.50	3267.00	USD	-12,112.50	
24JUL17	S	1	PD24OCT17	2784.00	3267.00	USD	-12,075.00	
24JUL17	S	1	PD24OCT17	2773.50	3267.00	USD	-12,337.50	
24JUL17	S	1	PD24OCT17	2774.00	3267.00	USD	-12,325.00	
24JUL17	B	1	PD24OCT17	2772.00	3267.00	USD	12,375.00	
24JUL17	B	1	PD24OCT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	2	PD24OCT17	2778.00	3267.00	USD	24,450.00	
24JUL17	S	1	PD24OCT17	2775.00	3267.00	USD	-12,300.00	
24JUL17	S	1	PD24OCT17	2776.00	3267.00	USD	-12,275.00	
24JUL17	B	1	PD24OCT17	2776.50	3267.00	USD	12,262.50	
24JUL17	B	1	PD24OCT17	2773.50	3267.00	USD	12,337.50	
06OCT17	B	2	PD24OCT17	3261.83	3267.00	USD	258.50	
	NET	0AVG	BGHT 2884.02				-23,654.00	0.00
25JUL17	S	1	PD25OCT17	2783.50	3264.00	USD	-12,012.50	
25JUL17	B	1	PD25OCT17	2797.00	3264.00	USD	11,675.00	
25JUL17	B	2	PD25OCT17	2801.50	3264.00	USD	23,125.00	
06OCT17	S	2	PD25OCT17	3259.30	3264.00	USD	-235.00	
	NET	0AVG	BGHT 2800.00				22,552.50	0.00
28JUL17	B	2	PD27OCT17	2783.50	3260.67	USD	23,858.50	
28JUL17	S	2	PD27OCT17	2785.50	3260.67	USD	-23,758.50	
	NET	0AVG	BGHT 2783.50				100.00	0.00
02AUG17	S	1	PD02NOV17	2806.00	3254.00	USD	-11,200.00	
	NET	-1AVG	SOLD 2806.00				-11,200.00	0.00
03AUG17	B	1	PD03NOV17	2834.00	3252.89	USD	10,472.25	
04AUG17	S	2	PD03NOV17	2834.00	3252.89	USD	-20,944.50	
04AUG17	S	2	PD03NOV17	2825.00	3252.89	USD	-21,394.50	
04AUG17	S	1	PD03NOV17	2826.00	3252.89	USD	-10,672.25	
04AUG17	B	2	PD03NOV17	2824.50	3252.89	USD	21,419.50	
04AUG17	B	1	PD03NOV17	2823.00	3252.89	USD	10,747.25	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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04AUG17	B	1	PD03NOV17		2813.50	3252.89	USD	10,984.75	
04AUG17	B	1	PD03NOV17		2815.50	3252.89	USD	10,934.75	
	NET	+1 AVG	BGHT 2822.50	SOLD 2828.80				11,547.25	0.00
07AUG17	B	1	PD07NOV17		2873.50	3250.67	USD	9,429.25	
07AUG17	B	1	PD07NOV17		2874.00	3250.67	USD	9,416.75	
07AUG17	S	1	PD07NOV17		2865.00	3250.67	USD	-9,641.75	
07AUG17	S	1	PD07NOV17		2872.00	3250.67	USD	-9,466.75	
07AUG17	S	2	PD07NOV17		2872.50	3250.67	USD	-18,908.50	
07AUG17	B	2	PD07NOV17		2873.00	3250.67	USD	18,883.50	
07AUG17	S	3	PD07NOV17		2873.00	3250.67	USD	-28,325.25	
07AUG17	S	2	PD07NOV17		2874.50	3250.67	USD	-18,808.50	
07AUG17	B	2	PD07NOV17		2877.50	3250.67	USD	18,658.50	
07AUG17	B	1	PD07NOV17		2884.00	3250.67	USD	9,166.75	
07AUG17	B	1	PD07NOV17		2880.00	3250.67	USD	9,266.75	
07AUG17	S	2	PD07NOV17		2878.00	3250.67	USD	-18,633.50	
07AUG17	S	1	PD07NOV17		2881.00	3250.67	USD	-9,241.75	
07AUG17	B	3	PD07NOV17		2880.50	3250.67	USD	27,762.75	
07AUG17	S	1	PD07NOV17		2880.00	3250.67	USD	-9,266.75	
07AUG17	B	1	PD07NOV17		2862.50	3250.67	USD	9,704.25	
07AUG17	B	1	PD07NOV17		2862.00	3250.67	USD	9,716.75	
07AUG17	S	2	PD07NOV17		2881.50	3250.67	USD	-18,458.50	
	NET	-2 AVG	BGHT 2875.27	SOLD 2875.33				-18,746.00	0.00
08AUG17	S	1	PD08NOV17		2902.50	3249.56	USD	-8,676.50	
08AUG17	B	1	PD08NOV17		2917.00	3249.56	USD	8,314.00	
08AUG17	B	1	PD08NOV17		2919.00	3249.56	USD	8,264.00	
08AUG17	B	2	PD08NOV17		2922.00	3249.56	USD	16,378.00	
08AUG17	S	2	PD08NOV17		2913.50	3249.56	USD	-16,803.00	
08AUG17	S	2	PD08NOV17		2917.50	3249.56	USD	-16,603.00	
08AUG17	S	2	PD08NOV17		2919.50	3249.56	USD	-16,503.00	
08AUG17	B	2	PD08NOV17		2934.00	3249.56	USD	15,778.00	
08AUG17	B	2	PD08NOV17		2932.50	3249.56	USD	15,853.00	
08AUG17	S	2	PD08NOV17		2932.00	3249.56	USD	-15,878.00	
	NET	-1 AVG	BGHT 2926.63	SOLD 2918.61				-9,876.50	0.00
09AUG17	B	3	PD09NOV17		2968.00	3248.44	USD	21,033.00	
09AUG17	B	1	PD09NOV17		2979.00	3248.44	USD	6,736.00	
09AUG17	B	2	PD09NOV17		2979.50	3248.44	USD	13,447.00	
09AUG17	S	1	PD09NOV17		2965.00	3248.44	USD	-7,086.00	
09AUG17	S	3	PD09NOV17		2968.00	3248.44	USD	-21,033.00	
09AUG17	S	4	PD09NOV17		2979.00	3248.44	USD	-26,944.00	
09AUG17	B	3	PD09NOV17		2938.50	3248.44	USD	23,245.50	
09AUG17	B	3	PD09NOV17		2970.50	3248.44	USD	20,845.50	
09AUG17	S	3	PD09NOV17		2970.00	3248.44	USD	-20,883.00	
09AUG17	B	1	PD09NOV17		2936.50	3248.44	USD	7,798.50	
09AUG17	B	3	PD09NOV17		2941.00	3248.44	USD	23,058.00	
09AUG17	S	2	PD09NOV17		2940.00	3248.44	USD	-15,422.00	
09AUG17	S	3	PD09NOV17		2942.00	3248.44	USD	-22,983.00	
09AUG17	B	3	PD09NOV17		2932.50	3248.44	USD	23,695.50	
	NET	+3 AVG	BGHT 2954.00	SOLD 2962.56				25,508.00	0.00
10AUG17	B	1	PD10NOV17		2948.00	3247.33	USD	7,483.25	
10AUG17	S	1	PD10NOV17		2949.00	3247.33	USD	-7,458.25	
10AUG17	B	2	PD10NOV17		2945.50	3247.33	USD	15,091.50	
10AUG17	S	2	PD10NOV17		2947.50	3247.33	USD	-14,991.50	
11AUG17	B	1	PD10NOV17		2890.00	3247.33	USD	8,933.25	
11AUG17	S	1	PD10NOV17		2908.00	3247.33	USD	-8,483.25	
11AUG17	S	1	PD10NOV17		2911.00	3247.33	USD	-8,408.25	
11AUG17	B	2	PD10NOV17		2914.50	3247.33	USD	16,641.50	

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11AUG17	B	1	PD10NOV17	2915.00	3247.33	USD	8,308.25	
11AUG17	S	3	PD10NOV17	2911.50	3247.33	USD	-25,187.25	
11AUG17	S	1	PD10NOV17	2912.50	3247.33	USD	-8,370.75	
11AUG17	S	3	PD10NOV17	2903.00	3247.33	USD	-25,824.75	
11AUG17	S	3	PD10NOV17	2904.00	3247.33	USD	-25,749.75	
11AUG17	B	3	PD10NOV17	2904.00	3247.33	USD	25,749.75	
11AUG17	B	1	PD10NOV17	2905.50	3247.33	USD	8,545.75	
11AUG17	B	1	PD10NOV17	2907.00	3247.33	USD	8,508.25	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
	NET	-2 AVG	BGHT 2915.15	SOLD 2915.40			-16,516.50	0.00
14AUG17	B	1	PD14NOV17	2907.50	3245.11	USD	8,440.25	
14AUG17	S	4	PD14NOV17	2908.00	3245.11	USD	-33,711.00	
14AUG17	B	5	PD14NOV17	2913.00	3245.11	USD	41,513.75	
14AUG17	S	1	PD14NOV17	2908.50	3245.11	USD	-8,415.25	
14AUG17	S	1	PD14NOV17	2910.00	3245.11	USD	-8,377.75	
14AUG17	B	2	PD14NOV17	2908.00	3245.11	USD	16,855.50	
	NET	+2 AVG	BGHT 2911.06	SOLD 2908.42			16,305.50	0.00
15AUG17	B	1	PD15NOV17	2940.00	3244.00	USD	7,600.00	
15AUG17	S	1	PD15NOV17	2938.50	3244.00	USD	-7,637.50	
15AUG17	S	1	PD15NOV17	2951.00	3244.00	USD	-7,325.00	
15AUG17	S	1	PD15NOV17	2960.50	3244.00	USD	-7,087.50	
15AUG17	B	1	PD15NOV17	2957.00	3244.00	USD	7,175.00	
15AUG17	B	1	PD15NOV17	2957.50	3244.00	USD	7,162.50	
	NET	0 AVG	BGHT 2951.50	SOLD 2950.00			-112.50	0.00
16AUG17	S	2	PD16NOV17	3010.00	3241.50	USD	-11,575.00	
16AUG17	S	3	PD16NOV17	3022.00	3241.50	USD	-16,462.50	
16AUG17	B	2	PD16NOV17	3023.00	3241.50	USD	10,925.00	
16AUG17	S	2	PD16NOV17	3022.50	3241.50	USD	-10,950.00	
16AUG17	B	1	PD16NOV17	3027.50	3241.50	USD	5,350.00	
16AUG17	B	4	PD16NOV17	3029.50	3241.50	USD	21,200.00	
16AUG17	S	1	PD16NOV17	3027.50	3241.50	USD	-5,350.00	
16AUG17	S	2	PD16NOV17	3031.50	3241.50	USD	-10,500.00	
16AUG17	B	3	PD16NOV17	3035.00	3241.50	USD	15,487.50	
16AUG17	B	1	PD16NOV17	3050.50	3241.50	USD	4,775.00	
16AUG17	S	1	PD16NOV17	3033.00	3241.50	USD	-5,212.50	
16AUG17	B	1	PD16NOV17	3084.00	3241.50	USD	3,937.50	
16AUG17	B	1	PD16NOV17	3084.50	3241.50	USD	3,925.00	
16AUG17	B	1	PD16NOV17	3089.50	3241.50	USD	3,800.00	
16AUG17	B	1	PD16NOV17	3090.00	3241.50	USD	3,787.50	
16AUG17	S	3	PD16NOV17	3078.00	3241.50	USD	-12,262.50	
16AUG17	S	1	PD16NOV17	3079.00	3241.50	USD	-4,062.50	
16AUG17	S	1	PD16NOV17	3080.00	3241.50	USD	-4,037.50	
16AUG17	B	1	PD16NOV17	3099.50	3241.50	USD	3,550.00	
16AUG17	B	1	PD16NOV17	3102.00	3241.50	USD	3,487.50	
16AUG17	B	1	PD16NOV17	3105.50	3241.50	USD	3,400.00	
16AUG17	S	5	PD16NOV17	3098.00	3241.50	USD	-17,937.50	
16AUG17	B	1	PD16NOV17	3123.50	3241.50	USD	2,950.00	
16AUG17	S	1	PD16NOV17	3118.00	3241.50	USD	-3,087.50	
16AUG17	B	1	PD16NOV17	3083.00	3241.50	USD	3,962.50	
16AUG17	B	2	PD16NOV17	3104.00	3241.50	USD	6,875.00	
	NET	0 AVG	BGHT 3064.39	SOLD 3057.07			-4,025.00	0.00
17AUG17	B	2	PD17NOV17	3091.00	3239.00	USD	7,400.00	
17AUG17	S	1	PD17NOV17	3090.50	3239.00	USD	-3,712.50	
17AUG17	S	2	PD17NOV17	3091.00	3239.00	USD	-7,400.00	
17AUG17	S	1	PD17NOV17	3094.50	3239.00	USD	-3,612.50	
17AUG17	B	3	PD17NOV17	3097.50	3239.00	USD	10,612.50	

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17AUG17	S	1	PD17NOV17	3094.00	3239.00	USD	-3,625.00	
17AUG17	B	3	PD17NOV17	3083.00	3239.00	USD	11,700.00	
17AUG17	S	1	PD17NOV17	3089.50	3239.00	USD	-3,737.50	
17AUG17	S	2	PD17NOV17	3090.00	3239.00	USD	-7,450.00	
18AUG17	B	1	PD17NOV17	3115.50	3239.00	USD	3,087.50	
18AUG17	B	1	PD17NOV17	3117.00	3239.00	USD	3,050.00	
18AUG17	B	1	PD17NOV17	3117.50	3239.00	USD	3,037.50	
18AUG17	B	2	PD17NOV17	3119.00	3239.00	USD	6,000.00	
18AUG17	B	1	PD17NOV17	3120.00	3239.00	USD	2,975.00	
18AUG17	S	1	PD17NOV17	3117.00	3239.00	USD	-3,050.00	
18AUG17	S	4	PD17NOV17	3117.50	3239.00	USD	-12,150.00	
18AUG17	S	1	PD17NOV17	3119.50	3239.00	USD	-2,987.50	
18AUG17	S	1	PD17NOV17	3128.00	3239.00	USD	-2,775.00	
18AUG17	S	4	PD17NOV17	3135.00	3239.00	USD	-10,400.00	
18AUG17	B	1	PD17NOV17	3127.00	3239.00	USD	2,800.00	
18AUG17	B	1	PD17NOV17	3131.50	3239.00	USD	2,687.50	
18AUG17	B	1	PD17NOV17	3132.00	3239.00	USD	2,675.00	
18AUG17	B	3	PD17NOV17	3118.50	3239.00	USD	9,037.50	
18AUG17	B	2	PD17NOV17	3121.00	3239.00	USD	5,900.00	
18AUG17	S	3	PD17NOV17	3119.00	3239.00	USD	-9,000.00	
	NET	0AVG BGHT 3109.98	SOLD 3111.91				1,062.50	0.00
22AUG17	B	2	PD22NOV17	3127.00	3236.00	USD	5,450.00	
22AUG17	B	1	PD22NOV17	3128.00	3236.00	USD	2,700.00	
22AUG17	S	3	PD22NOV17	3127.00	3236.00	USD	-8,175.00	
22AUG17	B	3	PD22NOV17	3123.00	3236.00	USD	8,475.00	
22AUG17	S	3	PD22NOV17	3122.00	3236.00	USD	-8,550.00	
22AUG17	B	3	PD22NOV17	3120.50	3236.00	USD	8,662.50	
22AUG17	S	3	PD22NOV17	3114.50	3236.00	USD	-9,112.50	
	NET	0AVG BGHT 3123.61	SOLD 3121.17				-550.00	0.00
23AUG17	S	1	PD24NOV17	3117.50	3235.00	USD	-2,937.50	
23AUG17	S	1	PD24NOV17	3118.00	3235.00	USD	-2,925.00	
23AUG17	S	1	PD24NOV17	3123.50	3235.00	USD	-2,787.50	
23AUG17	S	2	PD24NOV17	3119.00	3235.00	USD	-5,800.00	
23AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
24AUG17	S	2	PD24NOV17	3105.00	3235.00	USD	-6,500.00	
24AUG17	B	2	PD24NOV17	3115.00	3235.00	USD	6,000.00	
25AUG17	B	2	PD24NOV17	3070.50	3235.00	USD	8,225.00	
25AUG17	S	1	PD24NOV17	3074.50	3235.00	USD	-4,012.50	
25AUG17	S	1	PD24NOV17	3079.50	3235.00	USD	-3,887.50	
	NET	-4AVG BGHT 3095.20	SOLD 3106.78				-11,375.00	0.00
31AUG17	S	2	PD30NOV17	3125.00	3233.67	USD	-5,433.50	
31AUG17	B	2	PD30NOV17	3127.00	3233.67	USD	5,333.50	
31AUG17	S	2	PD30NOV17	3135.00	3233.67	USD	-4,933.50	
31AUG17	B	1	PD30NOV17	3126.50	3233.67	USD	2,679.25	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	B	2	PD30NOV17	3127.50	3233.67	USD	5,308.50	
31AUG17	S	2	PD30NOV17	3137.00	3233.67	USD	-4,833.50	
31AUG17	B	1	PD30NOV17	3138.00	3233.67	USD	2,391.75	
31AUG17	B	1	PD30NOV17	3139.00	3233.67	USD	2,366.75	
	NET	0AVG BGHT 3130.36	SOLD 3131.21				150.00	0.00
07SEP17	S	2	PD07DEC17	3104.00	3232.00	USD	-6,400.00	
07SEP17	B	1	PD07DEC17	3121.00	3232.00	USD	2,775.00	
07SEP17	B	1	PD07DEC17	3125.00	3232.00	USD	2,675.00	
	NET	0AVG BGHT 3123.00	SOLD 3104.00				-950.00	0.00
15SEP17	S	1	PD15DEC17	3034.50	3230.00	USD	-4,887.50	
15SEP17	B	1	PD15DEC17	3032.50	3230.00	USD	4,937.50	

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11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3032.50	SOLD 3034.50				50.00	0.00
20SEP17	B	1 PD20DEC17		3145.50	3229.00	USD	2,087.50	
20SEP17	B	1 PD20DEC17		3148.50	3229.00	USD	2,012.50	
20SEP17	B	1 PD20DEC17		3146.50	3229.00	USD	2,062.50	
20SEP17	B	1 PD20DEC17		3149.00	3229.00	USD	2,000.00	
	NET	+4 AVG BGHT 3147.38					8,162.50	0.00
21SEP17	B	1 PD21DEC17		3075.50	3225.00	USD	3,737.50	
21SEP17	S	1 PD21DEC17		3074.50	3225.00	USD	-3,762.50	
21SEP17	B	1 PD21DEC17		3072.00	3225.00	USD	3,825.00	
21SEP17	S	1 PD21DEC17		3071.50	3225.00	USD	-3,837.50	
21SEP17	B	2 PD21DEC17		3068.50	3225.00	USD	7,825.00	
21SEP17	S	1 PD21DEC17		3085.50	3225.00	USD	-3,487.50	
21SEP17	S	1 PD21DEC17		3089.00	3225.00	USD	-3,400.00	
21SEP17	B	1 PD21DEC17		3106.50	3225.00	USD	2,962.50	
21SEP17	B	1 PD21DEC17		3110.00	3225.00	USD	2,875.00	
21SEP17	S	2 PD21DEC17		3104.50	3225.00	USD	-6,025.00	
21SEP17	S	2 PD21DEC17		3105.00	3225.00	USD	-6,000.00	
21SEP17	B	2 PD21DEC17		3111.50	3225.00	USD	5,675.00	
	NET	0 AVG BGHT 3090.50	SOLD 3092.44				387.50	0.00
25SEP17	S	2 PD27DEC17		3094.50	3224.00	USD	-6,475.00	
25SEP17	B	2 PD27DEC17		3085.00	3224.00	USD	6,950.00	
25SEP17	S	2 PD27DEC17		3098.00	3224.00	USD	-6,300.00	
25SEP17	B	2 PD27DEC17		3090.50	3224.00	USD	6,675.00	
26SEP17	B	2 PD27DEC17		3137.50	3224.00	USD	4,325.00	
26SEP17	B	1 PD27DEC17		3138.00	3224.00	USD	2,150.00	
26SEP17	S	5 PD27DEC17		3134.00	3224.00	USD	-11,250.00	
26SEP17	B	2 PD27DEC17		3136.50	3224.00	USD	4,375.00	
26SEP17	S	2 PD27DEC17		3139.50	3224.00	USD	-4,225.00	
26SEP17	B	2 PD27DEC17		3150.00	3224.00	USD	3,700.00	
26SEP17	S	2 PD27DEC17		3146.00	3224.00	USD	-3,900.00	
26SEP17	S	2 PD27DEC17		3147.50	3224.00	USD	-3,825.00	
26SEP17	S	1 PD27DEC17		3148.50	3224.00	USD	-1,887.50	
26SEP17	B	3 PD27DEC17		3148.50	3224.00	USD	5,662.50	
26SEP17	S	3 PD27DEC17		3144.50	3224.00	USD	-5,962.50	
26SEP17	B	5 PD27DEC17		3140.00	3224.00	USD	10,500.00	
27SEP17	B	1 PD27DEC17		3128.00	3224.00	USD	2,400.00	
27SEP17	S	1 PD27DEC17		3130.50	3224.00	USD	-2,337.50	
	NET	0 AVG BGHT 3130.53	SOLD 3131.68				575.00	0.00
02OCT17	S	2 PD02JAN18		3197.50	3222.50	USD	-1,250.00	
02OCT17	B	2 PD02JAN18		3211.00	3222.50	USD	575.00	
	NET	0 AVG BGHT 3211.00	SOLD 3197.50				-675.00	0.00

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-666.25	-666.25		
COPL	- Futures	USD	-1,537.50	-1,537.50		
LEAD	- Futures	USD	-8,450.50	-8,450.50		
NKL	- Futures	USD	2,156.76	2,156.76		
TIN	- Futures	USD	48,327.25	48,327.25		
ZINC	- Futures	USD	-12,636.75	-12,636.75	27,193.01	27,193.01

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-16,047.73	-16,047.73
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-16,047.73	-16,047.73
Initial Margins	0.00	0.00
Excess/(Shortage)	-16,047.73	-16,047.73
FOR INFORMATION ONLY		
Forward Unrealised P&L	27,193.01	27,193.01
Net Liquidating Value	11,145.28	11,145.28

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
12JUL17	S 1 PD12OCT17	1900.50	2104.25	USD	-5,093.75	
20JUL17	B 1 PD12OCT17	1915.78	2104.25	USD	4,711.75	
	NET 0AVG BGHT 1915.78 SOLD 1900.50				-382.00	0.00
07AUG17	B 1 PD07NOV17	1952.00	2112.69	USD	4,017.25	
07AUG17	S 1 PD07NOV17	1964.50	2112.69	USD	-3,704.75	
	NET 0AVG BGHT 1952.00 SOLD 1964.50				312.50	0.00
09AUG17	B 1 PD09NOV17	2034.50	2113.46	USD	1,974.00	
09AUG17	S 1 PD09NOV17	2035.00	2113.46	USD	-1,961.50	
	NET 0AVG BGHT 2034.50 SOLD 2035.00				12.50	0.00
21SEP17	B 1 PD21DEC17	2188.00	2126.75	USD	-1,531.25	
21SEP17	S 1 PD21DEC17	2186.00	2126.75	USD	1,481.25	
	NET 0AVG BGHT 2188.00 SOLD 2186.00				-50.00	0.00
27SEP17	S 1 PD27DEC17	2141.00	2127.29	USD	342.75	
27SEP17	B 1 PD27DEC17	2130.00	2127.29	USD	-67.75	
	NET 0AVG BGHT 2130.00 SOLD 2141.00				275.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	6007.00	6759.20	USD	18,805.00	
17JUL17	S 1 PD17OCT17	6002.00	6759.20	USD	-18,930.00	
	NET 0AVG BGHT 6007.00 SOLD 6002.00				-125.00	0.00
25JUL17	B 1 PD25OCT17	6161.50	6765.68	USD	15,104.50	
25JUL17	S 1 PD25OCT17	6162.50	6765.68	USD	-15,079.50	
25JUL17	S 1 PD25OCT17	6162.00	6765.68	USD	-15,092.00	
25JUL17	B 1 PD25OCT17	6167.00	6765.68	USD	14,967.00	
	NET 0AVG BGHT 6164.25 SOLD 6162.25				-100.00	0.00
14SEP17	B 1 PD14DEC17	6520.00	6790.58	USD	6,764.50	
14SEP17	S 1 PD14DEC17	6519.50	6790.58	USD	-6,777.00	
14SEP17	B 1 PD14DEC17	6510.00	6790.58	USD	7,014.50	
14SEP17	S 1 PD14DEC17	6513.00	6790.58	USD	-6,939.50	
	NET 0AVG BGHT 6515.00 SOLD 6516.25				62.50	0.00
20SEP17	B 1 PD20DEC17	6548.50	6792.50	USD	6,100.00	
20SEP17	S 1 PD20DEC17	6555.00	6792.50	USD	-5,937.50	
	NET 0AVG BGHT 6548.50 SOLD 6555.00				162.50	0.00
26SEP17	B 1 PD27DEC17	6420.50	6793.00	USD	9,312.50	
26SEP17	S 1 PD27DEC17	6416.00	6793.00	USD	-9,425.00	
	NET 0AVG BGHT 6420.50 SOLD 6416.00				-112.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	B 1 PD13OCT17	2314.00	2552.75	USD	5,968.75	
14JUL17	S 1 PD13OCT17	2288.00	2552.75	USD	-6,618.75	
	NET 0AVG BGHT 2314.00 SOLD 2288.00				-650.00	0.00
20JUL17	S 1 PD20OCT17	2216.00	2555.04	USD	-8,476.00	
21JUL17	B 1 PD20OCT17	2235.00	2555.04	USD	8,001.00	
21JUL17	S 1 PD20OCT17	2227.00	2555.04	USD	-8,201.00	
06OCT17	B 1 PD20OCT17	2520.11	2555.04	USD	873.25	
	NET 0AVG BGHT 2377.56 SOLD 2221.50				-7,802.75	0.00
24JUL17	B 1 PD24OCT17	2263.00	2555.61	USD	7,315.25	
24JUL17	S 1 PD24OCT17	2253.50	2555.61	USD	-7,552.75	
24JUL17	B 1 PD24OCT17	2247.50	2555.61	USD	7,702.75	
06OCT17	B 1 PD24OCT17	2520.73	2555.61	USD	872.00	
	NET +2AVG BGHT 2343.74 SOLD 2253.50				8,337.25	0.00
25JUL17	S 1 PD25OCT17	2273.50	2555.75	USD	-7,056.25	
06OCT17	S 1 PD25OCT17	2520.74	2555.75	USD	-875.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -2 AVG SOLD 2397.12				-7,931.50	0.00
07AUG17	B 1 PD07NOV17	2381.50	2557.61	USD	4,402.75	
	NET +1 AVG BGHT 2381.50				4,402.75	0.00
18AUG17	S 1 PD17NOV17	2418.00	2558.75	USD	-3,518.75	
	NET -1 AVG SOLD 2418.00				-3,518.75	0.00
22AUG17	B 1 PD22NOV17	2328.50	2559.46	USD	5,774.00	
22AUG17	S 1 PD22NOV17	2331.50	2559.46	USD	-5,699.00	
	NET 0 AVG BGHT 2328.50 SOLD 2331.50				75.00	0.00
23AUG17	B 1 PD24NOV17	2416.00	2559.75	USD	3,593.75	
23AUG17	S 1 PD24NOV17	2402.00	2559.75	USD	-3,943.75	
24AUG17	B 1 PD24NOV17	2366.00	2559.75	USD	4,843.75	
24AUG17	B 1 PD24NOV17	2350.00	2559.75	USD	5,243.75	
24AUG17	B 1 PD24NOV17	2351.00	2559.75	USD	5,218.75	
24AUG17	S 2 PD24NOV17	2350.00	2559.75	USD	-10,487.50	
24AUG17	S 1 PD24NOV17	2354.00	2559.75	USD	-5,143.75	
	NET 0 AVG BGHT 2370.75 SOLD 2364.00				-675.00	0.00
21SEP17	S 1 PD21DEC17	2475.00	2563.50	USD	-2,212.50	
21SEP17	S 1 PD21DEC17	2479.00	2563.50	USD	-2,112.50	
21SEP17	B 2 PD21DEC17	2480.00	2563.50	USD	4,175.00	
21SEP17	S 1 PD21DEC17	2470.00	2563.50	USD	-2,337.50	
21SEP17	B 1 PD21DEC17	2474.50	2563.50	USD	2,225.00	
21SEP17	S 1 PD21DEC17	2488.00	2563.50	USD	-1,887.50	
21SEP17	B 1 PD21DEC17	2491.00	2563.50	USD	1,812.50	
	NET 0 AVG BGHT 2481.38 SOLD 2478.00				-337.50	0.00
27SEP17	B 1 PD27DEC17	2459.00	2563.50	USD	2,612.50	
27SEP17	S 1 PD27DEC17	2466.00	2563.50	USD	-2,437.50	
	NET 0 AVG BGHT 2459.00 SOLD 2466.00				175.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	B 1 PD13OCT17	9405.00	11061.00	USD	9,936.00	
14JUL17	S 1 PD13OCT17	9405.00	11061.00	USD	-9,936.00	
14JUL17	B 1 PD13OCT17	9400.00	11061.00	USD	9,966.00	
14JUL17	S 1 PD13OCT17	9395.00	11061.00	USD	-9,996.00	
	NET 0 AVG BGHT 9402.50 SOLD 9400.00				-30.00	0.00
18JUL17	B 1 PD18OCT17	9765.00	11065.00	USD	7,800.00	
18JUL17	S 1 PD18OCT17	9735.00	11065.00	USD	-7,980.00	
	NET 0 AVG BGHT 9765.00 SOLD 9735.00				-180.00	0.00
19JUL17	B 1 PD19OCT17	9775.00	11065.96	USD	7,745.76	
19JUL17	S 1 PD19OCT17	9775.00	11065.96	USD	-7,745.76	
	NET 0 AVG BGHT 9775.00 SOLD 9775.00				0.00	0.00
24JUL17	S 2 PD24OCT17	9770.00	11070.79	USD	-15,609.48	
24JUL17	B 2 PD24OCT17	9750.00	11070.79	USD	15,849.48	
	NET 0 AVG BGHT 9750.00 SOLD 9770.00				240.00	0.00
27JUL17	S 1 PD27OCT17	10205.00	11073.68	USD	-5,212.08	
27JUL17	B 1 PD27OCT17	10210.00	11073.68	USD	5,182.08	
	NET 0 AVG BGHT 10210.00 SOLD 10205.00				-30.00	0.00
01AUG17	S 1 PD01NOV17	10200.00	11078.50	USD	-5,271.00	
01AUG17	B 1 PD01NOV17	10165.00	11078.50	USD	5,481.00	
	NET 0 AVG BGHT 10165.00 SOLD 10200.00				210.00	0.00
04AUG17	B 1 PD03NOV17	10275.00	11080.43	USD	4,832.58	
04AUG17	S 1 PD03NOV17	10285.00	11080.43	USD	-4,772.58	
	NET 0 AVG BGHT 10275.00 SOLD 10285.00				60.00	0.00
09AUG17	B 1 PD09NOV17	10750.00	11086.21	USD	2,017.26	

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<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
09AUG17	S 1 PD09NOV17	10750.00	11086.21	USD	-2,017.26	
	NET 0 AVG BGHT 10750.00 SOLD 10750.00				0.00	0.00
10AUG17	B 1 PD10NOV17	10825.00	11087.18	USD	1,573.08	
10AUG17	S 2 PD10NOV17	10830.00	11087.18	USD	-3,086.16	
10AUG17	B 1 PD10NOV17	10845.00	11087.18	USD	1,453.08	
	NET 0 AVG BGHT 10835.00 SOLD 10830.00				-60.00	0.00
15AUG17	S 1 PD15NOV17	10455.00	11092.00	USD	-3,822.00	
15AUG17	B 1 PD15NOV17	10450.00	11092.00	USD	3,852.00	
	NET 0 AVG BGHT 10450.00 SOLD 10455.00				30.00	0.00
16AUG17	B 1 PD16NOV17	10625.00	11092.77	USD	2,806.62	
16AUG17	S 1 PD16NOV17	10625.00	11092.77	USD	-2,806.62	
16AUG17	S 1 PD16NOV17	10635.00	11092.77	USD	-2,746.62	
16AUG17	B 1 PD16NOV17	10610.00	11092.77	USD	2,896.62	
	NET 0 AVG BGHT 10617.50 SOLD 10630.00				150.00	0.00
17AUG17	B 1 PD17NOV17	10650.00	11093.54	USD	2,661.24	
18AUG17	S 1 PD17NOV17	10660.00	11093.54	USD	-2,601.24	
	NET 0 AVG BGHT 10650.00 SOLD 10660.00				60.00	0.00
21AUG17	B 1 PD21NOV17	11350.00	11096.63	USD	-1,520.22	
21AUG17	S 1 PD21NOV17	11345.00	11096.63	USD	1,490.22	
	NET 0 AVG BGHT 11350.00 SOLD 11345.00				-30.00	0.00
23AUG17	B 1 PD24NOV17	11470.00	11098.94	USD	-2,226.36	
23AUG17	S 1 PD24NOV17	11445.00	11098.94	USD	2,076.36	
25AUG17	B 1 PD24NOV17	11440.00	11098.94	USD	-2,046.36	
25AUG17	S 1 PD24NOV17	11445.00	11098.94	USD	2,076.36	
	NET 0 AVG BGHT 11455.00 SOLD 11445.00				-120.00	0.00
07SEP17	S 1 PD07DEC17	12145.00	11108.97	USD	6,216.18	
07SEP17	B 1 PD07DEC17	12180.00	11108.97	USD	-6,426.18	
	NET 0 AVG BGHT 12180.00 SOLD 12145.00				-210.00	0.00
08SEP17	B 1 PD08DEC17	11920.00	11109.74	USD	-4,861.56	
08SEP17	S 1 PD08DEC17	11960.00	11109.74	USD	5,101.56	
08SEP17	B 1 PD08DEC17	11930.00	11109.74	USD	-4,921.56	
08SEP17	S 1 PD08DEC17	11925.00	11109.74	USD	4,891.56	
	NET 0 AVG BGHT 11925.00 SOLD 11942.50				210.00	0.00
13SEP17	B 1 PD13DEC17	11560.00	11113.60	USD	-2,678.40	
13SEP17	S 1 PD13DEC17	11555.00	11113.60	USD	2,648.40	
13SEP17	B 1 PD13DEC17	11555.00	11113.60	USD	-2,648.40	
13SEP17	S 1 PD13DEC17	11585.00	11113.60	USD	2,828.40	
	NET 0 AVG BGHT 11557.50 SOLD 11570.00				150.00	0.00
20SEP17	B 1 PD20DEC17	11405.00	11119.00	USD	-1,716.00	
20SEP17	S 1 PD20DEC17	11390.00	11119.00	USD	1,626.00	
	NET 0 AVG BGHT 11405.00 SOLD 11390.00				-90.00	0.00
21SEP17	B 1 PD21DEC17	11140.00	11119.73	USD	-121.62	
21SEP17	S 1 PD21DEC17	11135.00	11119.73	USD	91.62	
	NET 0 AVG BGHT 11140.00 SOLD 11135.00				-30.00	0.00
22SEP17	B 1 PD22DEC17	10550.00	11120.45	USD	3,422.70	
22SEP17	S 1 PD22DEC17	10530.00	11120.45	USD	-3,542.70	
	NET 0 AVG BGHT 10550.00 SOLD 10530.00				-120.00	0.00
<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
12JUL17	B 1 PD12OCT17	19890.00	20990.00	USD	5,500.00	
12JUL17	S 1 PD12OCT17	19915.00	20990.00	USD	-5,375.00	
12JUL17	B 1 PD12OCT17	19935.00	20990.00	USD	5,275.00	
12JUL17	S 1 PD12OCT17	19935.00	20990.00	USD	-5,275.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	19940.00	20990.00	USD	-5,250.00	
12JUL17	B	1	PD12OCT17	19950.00	20990.00	USD	5,200.00	
	NET	0AVG	BGHT 19925.00				75.00	0.00
13JUL17	S	1	PD13OCT17	19960.00	20990.00	USD	-5,150.00	
13JUL17	B	1	PD13OCT17	19915.00	20990.00	USD	5,375.00	
13JUL17	S	1	PD13OCT17	19900.00	20990.00	USD	-5,450.00	
13JUL17	B	1	PD13OCT17	19925.00	20990.00	USD	5,325.00	
13JUL17	S	1	PD13OCT17	19965.00	20990.00	USD	-5,125.00	
13JUL17	B	1	PD13OCT17	19920.00	20990.00	USD	5,350.00	
13JUL17	S	1	PD13OCT17	19905.00	20990.00	USD	-5,425.00	
13JUL17	B	1	PD13OCT17	19910.00	20990.00	USD	5,400.00	
13JUL17	S	1	PD13OCT17	19935.00	20990.00	USD	-5,275.00	
13JUL17	B	2	PD13OCT17	19830.00	20990.00	USD	11,600.00	
13JUL17	B	1	PD13OCT17	19850.00	20990.00	USD	5,700.00	
13JUL17	B	1	PD13OCT17	19875.00	20990.00	USD	5,575.00	
13JUL17	S	1	PD13OCT17	19850.00	20990.00	USD	-5,700.00	
13JUL17	S	1	PD13OCT17	19860.00	20990.00	USD	-5,650.00	
13JUL17	S	1	PD13OCT17	19915.00	20990.00	USD	-5,375.00	
14JUL17	S	1	PD13OCT17	19850.00	20990.00	USD	-5,700.00	
14JUL17	B	1	PD13OCT17	19830.00	20990.00	USD	5,800.00	
14JUL17	S	2	PD13OCT17	19885.00	20990.00	USD	-11,050.00	
14JUL17	B	2	PD13OCT17	19855.00	20990.00	USD	11,350.00	
	NET	0AVG	BGHT 19872.27				1,575.00	0.00
17JUL17	B	1	PD17OCT17	20050.00	20990.00	USD	4,700.00	
17JUL17	S	1	PD17OCT17	20070.00	20990.00	USD	-4,600.00	
17JUL17	B	2	PD17OCT17	19945.00	20990.00	USD	10,450.00	
17JUL17	S	1	PD17OCT17	19875.00	20990.00	USD	-5,575.00	
17JUL17	S	1	PD17OCT17	19910.00	20990.00	USD	-5,400.00	
	NET	0AVG	BGHT 19980.00				-425.00	0.00
18JUL17	B	1	PD18OCT17	20050.00	20990.00	USD	4,700.00	
18JUL17	S	1	PD18OCT17	20045.00	20990.00	USD	-4,725.00	
18JUL17	S	1	PD18OCT17	20040.00	20990.00	USD	-4,750.00	
18JUL17	B	1	PD18OCT17	19930.00	20990.00	USD	5,300.00	
18JUL17	S	1	PD18OCT17	19965.00	20990.00	USD	-5,125.00	
18JUL17	B	1	PD18OCT17	20030.00	20990.00	USD	4,800.00	
	NET	0AVG	BGHT 20003.33				200.00	0.00
19JUL17	B	1	PD19OCT17	20045.00	20988.00	USD	4,715.00	
19JUL17	S	2	PD19OCT17	20105.00	20988.00	USD	-8,830.00	
19JUL17	B	1	PD19OCT17	20055.00	20988.00	USD	4,665.00	
19JUL17	S	1	PD19OCT17	20100.00	20988.00	USD	-4,440.00	
19JUL17	B	1	PD19OCT17	20100.00	20988.00	USD	4,440.00	
19JUL17	S	1	PD19OCT17	20135.00	20988.00	USD	-4,265.00	
19JUL17	B	1	PD19OCT17	20110.00	20988.00	USD	4,390.00	
19JUL17	S	2	PD19OCT17	20145.00	20988.00	USD	-8,430.00	
06OCT17	B	2	PD19OCT17	20656.50	20988.00	USD	3,315.00	
	NET	0AVG	BGHT 20270.50				-4,440.00	0.00
20JUL17	B	2	PD20OCT17	20100.00	20986.00	USD	8,860.00	
20JUL17	B	1	PD20OCT17	20110.00	20986.00	USD	4,380.00	
20JUL17	B	1	PD20OCT17	20085.00	20986.00	USD	4,505.00	
20JUL17	S	2	PD20OCT17	20095.00	20986.00	USD	-8,910.00	
20JUL17	B	1	PD20OCT17	20090.00	20986.00	USD	4,480.00	
20JUL17	S	1	PD20OCT17	20075.00	20986.00	USD	-4,555.00	
06OCT17	S	2	PD20OCT17	20652.00	20986.00	USD	-3,340.00	
	NET	0AVG	BGHT 20097.00				5,420.00	0.00
24JUL17	S	2	PD24OCT17	20125.00	20982.00	USD	-8,570.00	
24JUL17	S	1	PD24OCT17	20225.00	20982.00	USD	-3,785.00	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD240CT17	20235.00	20982.00	USD	3,735.00	
24JUL17	B	2	PD240CT17	20130.00	20982.00	USD	8,520.00	
24JUL17	S	1	PD240CT17	20165.00	20982.00	USD	-4,085.00	
24JUL17	B	1	PD240CT17	20145.00	20982.00	USD	4,185.00	
	NET	0 AVG BGHT 20160.00	SOLD 20160.00				0.00	0.00
25JUL17	S	1	PD250CT17	20245.00	20980.00	USD	-3,675.00	
25JUL17	B	1	PD250CT17	20275.00	20980.00	USD	3,525.00	
25JUL17	S	1	PD250CT17	20300.00	20980.00	USD	-3,400.00	
25JUL17	B	1	PD250CT17	20330.00	20980.00	USD	3,250.00	
25JUL17	S	1	PD250CT17	20335.00	20980.00	USD	-3,225.00	
25JUL17	B	3	PD250CT17	20300.00	20980.00	USD	10,200.00	
25JUL17	S	2	PD250CT17	20330.00	20980.00	USD	-6,500.00	
25JUL17	S	1	PD250CT17	20350.00	20980.00	USD	-3,150.00	
06OCT17	B	1	PD250CT17	20642.50	20980.00	USD	1,687.50	
	NET	0 AVG BGHT 20357.92	SOLD 20315.00				-1,287.50	0.00
26JUL17	S	1	PD260CT17	20360.00	20978.00	USD	-3,090.00	
26JUL17	B	1	PD260CT17	20255.00	20978.00	USD	3,615.00	
26JUL17	B	1	PD260CT17	20350.00	20978.00	USD	3,140.00	
26JUL17	S	1	PD260CT17	20365.00	20978.00	USD	-3,065.00	
26JUL17	B	1	PD260CT17	20375.00	20978.00	USD	3,015.00	
26JUL17	B	1	PD260CT17	20310.00	20978.00	USD	3,340.00	
26JUL17	B	1	PD260CT17	20305.00	20978.00	USD	3,365.00	
06OCT17	S	1	PD260CT17	20638.00	20978.00	USD	-1,700.00	
06OCT17	S	2	PD260CT17	20639.00	20978.00	USD	-3,390.00	
	NET	0 AVG BGHT 20319.00	SOLD 20528.20				5,230.00	0.00
27JUL17	B	1	PD270CT17	20600.00	20976.00	USD	1,880.00	
27JUL17	S	1	PD270CT17	20590.00	20976.00	USD	-1,930.00	
27JUL17	B	1	PD270CT17	20645.00	20976.00	USD	1,655.00	
27JUL17	S	1	PD270CT17	20670.00	20976.00	USD	-1,530.00	
27JUL17	B	1	PD270CT17	20520.00	20976.00	USD	2,280.00	
27JUL17	B	1	PD270CT17	20640.00	20976.00	USD	1,680.00	
27JUL17	S	1	PD270CT17	20520.00	20976.00	USD	-2,280.00	
27JUL17	S	1	PD270CT17	20625.00	20976.00	USD	-1,755.00	
27JUL17	S	1	PD270CT17	20695.00	20976.00	USD	-1,405.00	
27JUL17	S	2	PD270CT17	20750.00	20976.00	USD	-2,260.00	
27JUL17	B	2	PD270CT17	20760.00	20976.00	USD	2,160.00	
27JUL17	S	1	PD270CT17	20760.00	20976.00	USD	-1,080.00	
27JUL17	S	1	PD270CT17	20765.00	20976.00	USD	-1,055.00	
27JUL17	B	1	PD270CT17	20755.00	20976.00	USD	1,105.00	
27JUL17	B	1	PD270CT17	20765.00	20976.00	USD	1,055.00	
27JUL17	S	1	PD270CT17	20595.00	20976.00	USD	-1,905.00	
27JUL17	S	1	PD270CT17	20680.00	20976.00	USD	-1,480.00	
27JUL17	S	1	PD270CT17	20700.00	20976.00	USD	-1,380.00	
28JUL17	B	1	PD270CT17	20585.00	20976.00	USD	1,955.00	
28JUL17	B	1	PD270CT17	20720.00	20976.00	USD	1,280.00	
28JUL17	S	1	PD270CT17	20735.00	20976.00	USD	-1,205.00	
28JUL17	B	1	PD270CT17	20715.00	20976.00	USD	1,305.00	
28JUL17	S	1	PD270CT17	20745.00	20976.00	USD	-1,155.00	
28JUL17	B	1	PD270CT17	20670.00	20976.00	USD	1,530.00	
28JUL17	B	1	PD270CT17	20685.00	20976.00	USD	1,455.00	
28JUL17	S	1	PD270CT17	20685.00	20976.00	USD	-1,455.00	
28JUL17	S	3	PD270CT17	20715.00	20976.00	USD	-3,915.00	
28JUL17	S	1	PD270CT17	20720.00	20976.00	USD	-1,280.00	
28JUL17	S	1	PD270CT17	20750.00	20976.00	USD	-1,130.00	
28JUL17	B	1	PD270CT17	20780.00	20976.00	USD	980.00	
28JUL17	B	1	PD270CT17	20800.00	20976.00	USD	880.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	20830.00	20976.00	USD	-730.00	
28JUL17	B	4	PD27OCT17	20755.00	20976.00	USD	4,420.00	
28JUL17	B	1	PD27OCT17	20630.00	20976.00	USD	1,730.00	
28JUL17	B	1	PD27OCT17	20700.00	20976.00	USD	1,380.00	
28JUL17	S	1	PD27OCT17	20670.00	20976.00	USD	-1,530.00	
28JUL17	S	1	PD27OCT17	20625.00	20976.00	USD	-1,755.00	
06OCT17	B	2	PD27OCT17	20636.50	20976.00	USD	3,395.00	
	NET	0 AVG	BGHT 20696.65				-90.00	0.00
01AUG17	S	1	PD01NOV17	20565.00	20970.00	USD	-2,025.00	
01AUG17	B	1	PD01NOV17	20570.00	20970.00	USD	2,000.00	
01AUG17	S	1	PD01NOV17	20545.00	20970.00	USD	-2,125.00	
01AUG17	B	1	PD01NOV17	20550.00	20970.00	USD	2,100.00	
01AUG17	S	1	PD01NOV17	20455.00	20970.00	USD	-2,575.00	
01AUG17	S	1	PD01NOV17	20380.00	20970.00	USD	-2,950.00	
01AUG17	B	1	PD01NOV17	20380.00	20970.00	USD	2,950.00	
01AUG17	B	1	PD01NOV17	20430.00	20970.00	USD	2,700.00	
	NET	0 AVG	BGHT 20482.50				75.00	0.00
03AUG17	S	1	PD03NOV17	20670.00	20966.00	USD	-1,480.00	
03AUG17	S	1	PD03NOV17	20655.00	20966.00	USD	-1,555.00	
04AUG17	B	1	PD03NOV17	20660.00	20966.00	USD	1,530.00	
04AUG17	S	1	PD03NOV17	20735.00	20966.00	USD	-1,155.00	
04AUG17	B	1	PD03NOV17	20655.00	20966.00	USD	1,555.00	
04AUG17	B	1	PD03NOV17	20780.00	20966.00	USD	930.00	
04AUG17	B	1	PD03NOV17	20525.00	20966.00	USD	2,205.00	
04AUG17	S	1	PD03NOV17	20520.00	20966.00	USD	-2,230.00	
04AUG17	S	1	PD03NOV17	20570.00	20966.00	USD	-1,980.00	
04AUG17	S	1	PD03NOV17	20575.00	20966.00	USD	-1,955.00	
04AUG17	B	2	PD03NOV17	20570.00	20966.00	USD	3,960.00	
	NET	0 AVG	BGHT 20626.67				-175.00	0.00
07AUG17	S	1	PD07NOV17	20605.00	20962.00	USD	-1,785.00	
07AUG17	B	2	PD07NOV17	20570.00	20962.00	USD	3,920.00	
07AUG17	S	1	PD07NOV17	20590.00	20962.00	USD	-1,860.00	
07AUG17	S	1	PD07NOV17	20650.00	20962.00	USD	-1,560.00	
07AUG17	B	1	PD07NOV17	20600.00	20962.00	USD	1,810.00	
	NET	0 AVG	BGHT 20580.00				525.00	0.00
08AUG17	B	1	PD08NOV17	20425.00	20960.00	USD	2,675.00	
08AUG17	B	1	PD08NOV17	20435.00	20960.00	USD	2,625.00	
08AUG17	S	1	PD08NOV17	20425.00	20960.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	20530.00	20960.00	USD	-2,150.00	
08AUG17	B	1	PD08NOV17	20550.00	20960.00	USD	2,050.00	
08AUG17	B	1	PD08NOV17	20470.00	20960.00	USD	2,450.00	
08AUG17	S	1	PD08NOV17	20460.00	20960.00	USD	-2,500.00	
08AUG17	S	2	PD08NOV17	20415.00	20960.00	USD	-5,450.00	
08AUG17	B	1	PD08NOV17	20350.00	20960.00	USD	3,050.00	
08AUG17	B	1	PD08NOV17	20310.00	20960.00	USD	3,250.00	
08AUG17	S	1	PD08NOV17	20305.00	20960.00	USD	-3,275.00	
08AUG17	B	1	PD08NOV17	20335.00	20960.00	USD	3,125.00	
08AUG17	S	1	PD08NOV17	20275.00	20960.00	USD	-3,425.00	
08AUG17	S	1	PD08NOV17	20205.00	20960.00	USD	-3,775.00	
08AUG17	B	1	PD08NOV17	20160.00	20960.00	USD	4,000.00	
08AUG17	B	1	PD08NOV17	20410.00	20960.00	USD	2,750.00	
08AUG17	S	1	PD08NOV17	20350.00	20960.00	USD	-3,050.00	
	NET	0 AVG	BGHT 20382.78				-325.00	0.00
09AUG17	S	1	PD09NOV17	20370.00	20958.00	USD	-2,940.00	
09AUG17	B	1	PD09NOV17	20305.00	20958.00	USD	3,265.00	
09AUG17	B	1	PD09NOV17	20405.00	20958.00	USD	2,765.00	

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09AUG17	S	1	PD09NOV17	20400.00	20958.00	USD	-2,790.00	
09AUG17	S	1	PD09NOV17	20490.00	20958.00	USD	-2,340.00	
09AUG17	S	1	PD09NOV17	20410.00	20958.00	USD	-2,740.00	
09AUG17	S	1	PD09NOV17	20430.00	20958.00	USD	-2,640.00	
09AUG17	B	2	PD09NOV17	20420.00	20958.00	USD	5,380.00	
09AUG17	B	1	PD09NOV17	20490.00	20958.00	USD	2,340.00	
09AUG17	B	1	PD09NOV17	20240.00	20958.00	USD	3,590.00	
09AUG17	S	1	PD09NOV17	20235.00	20958.00	USD	-3,615.00	
	NET	0 AVG	BGHT 20380.00				275.00	0.00
10AUG17	B	1	PD10NOV17	20355.00	20956.00	USD	3,005.00	
10AUG17	B	1	PD10NOV17	20400.00	20956.00	USD	2,780.00	
10AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
10AUG17	S	1	PD10NOV17	20380.00	20956.00	USD	-2,880.00	
10AUG17	S	1	PD10NOV17	20315.00	20956.00	USD	-3,205.00	
10AUG17	S	3	PD10NOV17	20260.00	20956.00	USD	-10,440.00	
10AUG17	S	1	PD10NOV17	20265.00	20956.00	USD	-3,455.00	
10AUG17	B	1	PD10NOV17	20250.00	20956.00	USD	3,530.00	
10AUG17	B	3	PD10NOV17	20300.00	20956.00	USD	9,840.00	
10AUG17	S	1	PD10NOV17	20255.00	20956.00	USD	-3,505.00	
10AUG17	S	1	PD10NOV17	20305.00	20956.00	USD	-3,255.00	
10AUG17	S	3	PD10NOV17	20290.00	20956.00	USD	-9,990.00	
10AUG17	B	2	PD10NOV17	20260.00	20956.00	USD	6,960.00	
10AUG17	B	3	PD10NOV17	20320.00	20956.00	USD	9,540.00	
10AUG17	B	1	PD10NOV17	20445.00	20956.00	USD	2,555.00	
11AUG17	S	1	PD10NOV17	20255.00	20956.00	USD	-3,505.00	
11AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
11AUG17	B	2	PD10NOV17	20270.00	20956.00	USD	6,860.00	
11AUG17	S	1	PD10NOV17	20270.00	20956.00	USD	-3,430.00	
11AUG17	S	1	PD10NOV17	20295.00	20956.00	USD	-3,305.00	
11AUG17	S	1	PD10NOV17	20335.00	20956.00	USD	-3,105.00	
11AUG17	B	1	PD10NOV17	20350.00	20956.00	USD	3,030.00	
11AUG17	S	1	PD10NOV17	20365.00	20956.00	USD	-2,955.00	
11AUG17	S	1	PD10NOV17	20375.00	20956.00	USD	-2,905.00	
11AUG17	B	2	PD10NOV17	20370.00	20956.00	USD	5,860.00	
11AUG17	B	1	PD10NOV17	20355.00	20956.00	USD	3,005.00	
11AUG17	S	1	PD10NOV17	20325.00	20956.00	USD	-3,155.00	
11AUG17	B	1	PD10NOV17	20310.00	20956.00	USD	3,230.00	
11AUG17	S	1	PD10NOV17	20355.00	20956.00	USD	-3,005.00	
	NET	0 AVG	BGHT 20321.25				-1,675.00	0.00
14AUG17	S	1	PD14NOV17	20370.00	20952.00	USD	-2,910.00	
14AUG17	B	1	PD14NOV17	20320.00	20952.00	USD	3,160.00	
	NET	0 AVG	BGHT 20320.00				250.00	0.00
15AUG17	S	1	PD15NOV17	20235.00	20950.00	USD	-3,575.00	
15AUG17	B	1	PD15NOV17	20205.00	20950.00	USD	3,725.00	
15AUG17	B	1	PD15NOV17	20250.00	20950.00	USD	3,500.00	
15AUG17	S	1	PD15NOV17	20250.00	20950.00	USD	-3,500.00	
	NET	0 AVG	BGHT 20227.50				150.00	0.00
16AUG17	S	1	PD16NOV17	20055.00	20947.92	USD	-4,464.60	
16AUG17	B	1	PD16NOV17	19975.00	20947.92	USD	4,864.60	
16AUG17	B	1	PD16NOV17	19960.00	20947.92	USD	4,939.60	
16AUG17	B	1	PD16NOV17	20000.00	20947.92	USD	4,739.60	
16AUG17	S	1	PD16NOV17	19995.00	20947.92	USD	-4,764.60	
16AUG17	S	1	PD16NOV17	20010.00	20947.92	USD	-4,689.60	
	NET	0 AVG	BGHT 19978.33				625.00	0.00
17AUG17	S	1	PD17NOV17	20230.00	20945.83	USD	-3,579.15	
17AUG17	B	1	PD17NOV17	20260.00	20945.83	USD	3,429.15	

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17AUG17	S	1	PD17NOV17	20320.00	20945.83	USD	-3,129.15	
17AUG17	B	1	PD17NOV17	20350.00	20945.83	USD	2,979.15	
17AUG17	S	1	PD17NOV17	20140.00	20945.83	USD	-4,029.15	
17AUG17	B	1	PD17NOV17	20105.00	20945.83	USD	4,204.15	
17AUG17	S	1	PD17NOV17	20180.00	20945.83	USD	-3,829.15	
17AUG17	B	1	PD17NOV17	20200.00	20945.83	USD	3,729.15	
17AUG17	S	1	PD17NOV17	20150.00	20945.83	USD	-3,979.15	
18AUG17	S	1	PD17NOV17	20285.00	20945.83	USD	-3,304.15	
18AUG17	B	1	PD17NOV17	20140.00	20945.83	USD	4,029.15	
18AUG17	B	1	PD17NOV17	20275.00	20945.83	USD	3,354.15	
18AUG17	S	1	PD17NOV17	20235.00	20945.83	USD	-3,554.15	
18AUG17	B	1	PD17NOV17	20205.00	20945.83	USD	3,704.15	
18AUG17	S	1	PD17NOV17	20200.00	20945.83	USD	-3,729.15	
18AUG17	B	1	PD17NOV17	20245.00	20945.83	USD	3,504.15	
	NET	0AVG BGHT 20222.50	SOLD 20217.50				-200.00	0.00
21AUG17	S	1	PD21NOV17	20375.00	20941.67	USD	-2,833.35	
21AUG17	B	1	PD21NOV17	20395.00	20941.67	USD	2,733.35	
21AUG17	S	1	PD21NOV17	20340.00	20941.67	USD	-3,008.35	
21AUG17	B	1	PD21NOV17	20315.00	20941.67	USD	3,133.35	
21AUG17	B	1	PD21NOV17	20335.00	20941.67	USD	3,033.35	
21AUG17	S	1	PD21NOV17	20335.00	20941.67	USD	-3,033.35	
21AUG17	B	1	PD21NOV17	20430.00	20941.67	USD	2,558.35	
21AUG17	S	1	PD21NOV17	20400.00	20941.67	USD	-2,708.35	
21AUG17	B	1	PD21NOV17	20365.00	20941.67	USD	2,883.35	
21AUG17	B	1	PD21NOV17	20355.00	20941.67	USD	2,933.35	
21AUG17	S	1	PD21NOV17	20380.00	20941.67	USD	-2,808.35	
21AUG17	S	2	PD21NOV17	20420.00	20941.67	USD	-5,216.70	
21AUG17	S	1	PD21NOV17	20430.00	20941.67	USD	-2,558.35	
21AUG17	B	2	PD21NOV17	20450.00	20941.67	USD	4,916.70	
	NET	0AVG BGHT 20386.88	SOLD 20387.50				25.00	0.00
22AUG17	S	1	PD22NOV17	20465.00	20939.58	USD	-2,372.90	
22AUG17	B	1	PD22NOV17	20485.00	20939.58	USD	2,272.90	
22AUG17	B	1	PD22NOV17	20460.00	20939.58	USD	2,397.90	
22AUG17	S	2	PD22NOV17	20490.00	20939.58	USD	-4,495.80	
22AUG17	B	1	PD22NOV17	20470.00	20939.58	USD	2,347.90	
	NET	0AVG BGHT 20471.67	SOLD 20481.67				150.00	0.00
23AUG17	B	1	PD24NOV17	20310.00	20937.50	USD	3,137.50	
23AUG17	S	1	PD24NOV17	20300.00	20937.50	USD	-3,187.50	
23AUG17	S	1	PD24NOV17	20455.00	20937.50	USD	-2,412.50	
23AUG17	B	1	PD24NOV17	20515.00	20937.50	USD	2,112.50	
23AUG17	B	1	PD24NOV17	20460.00	20937.50	USD	2,387.50	
23AUG17	S	1	PD24NOV17	20530.00	20937.50	USD	-2,037.50	
24AUG17	B	1	PD24NOV17	20555.00	20937.50	USD	1,912.50	
24AUG17	S	1	PD24NOV17	20520.00	20937.50	USD	-2,087.50	
24AUG17	S	1	PD24NOV17	20495.00	20937.50	USD	-2,212.50	
24AUG17	B	1	PD24NOV17	20500.00	20937.50	USD	2,187.50	
25AUG17	S	1	PD24NOV17	20420.00	20937.50	USD	-2,587.50	
	NET	-1AVG BGHT 20468.00	SOLD 20453.33				-2,787.50	0.00
29AUG17	S	1	PD29NOV17	20640.00	20931.25	USD	-1,456.25	
29AUG17	B	1	PD29NOV17	20450.00	20931.25	USD	2,406.25	
29AUG17	B	1	PD29NOV17	20605.00	20931.25	USD	1,631.25	
29AUG17	B	2	PD29NOV17	20555.00	20931.25	USD	3,762.50	
29AUG17	S	1	PD29NOV17	20550.00	20931.25	USD	-1,906.25	
29AUG17	B	1	PD29NOV17	20550.00	20931.25	USD	1,906.25	
29AUG17	S	2	PD29NOV17	20545.00	20931.25	USD	-3,862.50	
29AUG17	S	1	PD29NOV17	20570.00	20931.25	USD	-1,806.25	

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SAP096AE

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	20570.00	20931.25	USD	1,806.25	
29AUG17	B	1	PD29NOV17	20530.00	20931.25	USD	2,006.25	
29AUG17	S	1	PD29NOV17	20530.00	20931.25	USD	-2,006.25	
29AUG17	S	1	PD29NOV17	20515.00	20931.25	USD	-2,081.25	
29AUG17	B	1	PD29NOV17	20500.00	20931.25	USD	2,156.25	
	NET	+1AVG BGHT 20539.38	SOLD 20556.43				2,556.25	0.00
30AUG17	B	1	PD30NOV17	20550.00	20929.17	USD	1,895.85	
30AUG17	S	1	PD30NOV17	20530.00	20929.17	USD	-1,995.85	
30AUG17	S	1	PD30NOV17	20640.00	20929.17	USD	-1,445.85	
31AUG17	S	1	PD30NOV17	20650.00	20929.17	USD	-1,395.85	
31AUG17	S	2	PD30NOV17	20625.00	20929.17	USD	-3,041.70	
31AUG17	S	1	PD30NOV17	20595.00	20929.17	USD	-1,670.85	
31AUG17	B	2	PD30NOV17	20600.00	20929.17	USD	3,291.70	
31AUG17	B	1	PD30NOV17	20630.00	20929.17	USD	1,495.85	
31AUG17	B	1	PD30NOV17	20650.00	20929.17	USD	1,395.85	
31AUG17	B	2	PD30NOV17	20645.00	20929.17	USD	2,841.70	
31AUG17	S	1	PD30NOV17	20645.00	20929.17	USD	-1,420.85	
31AUG17	S	1	PD30NOV17	20680.00	20929.17	USD	-1,245.85	
31AUG17	B	1	PD30NOV17	20680.00	20929.17	USD	1,245.85	
	NET	0AVG BGHT 20625.00	SOLD 20623.75				-50.00	0.00
01SEP17	S	1	PD01DEC17	20705.00	20927.08	USD	-1,110.40	
01SEP17	B	1	PD01DEC17	20710.00	20927.08	USD	1,085.40	
01SEP17	S	1	PD01DEC17	20710.00	20927.08	USD	-1,085.40	
01SEP17	B	1	PD01DEC17	20715.00	20927.08	USD	1,060.40	
01SEP17	B	1	PD01DEC17	20660.00	20927.08	USD	1,335.40	
01SEP17	S	1	PD01DEC17	20660.00	20927.08	USD	-1,335.40	
01SEP17	B	1	PD01DEC17	20700.00	20927.08	USD	1,135.40	
01SEP17	S	1	PD01DEC17	20690.00	20927.08	USD	-1,185.40	
	NET	0AVG BGHT 20696.25	SOLD 20691.25				-100.00	0.00
05SEP17	B	1	PD05DEC17	20715.00	20922.92	USD	1,039.60	
05SEP17	S	1	PD05DEC17	20750.00	20922.92	USD	-864.60	
05SEP17	S	1	PD05DEC17	20760.00	20922.92	USD	-814.60	
05SEP17	B	1	PD05DEC17	20720.00	20922.92	USD	1,014.60	
05SEP17	B	1	PD05DEC17	20680.00	20922.92	USD	1,214.60	
05SEP17	S	1	PD05DEC17	20710.00	20922.92	USD	-1,064.60	
	NET	0AVG BGHT 20705.00	SOLD 20740.00				525.00	0.00
06SEP17	B	1	PD06DEC17	20620.00	20920.83	USD	1,504.15	
06SEP17	B	3	PD06DEC17	20625.00	20920.83	USD	4,437.45	
06SEP17	S	3	PD06DEC17	20650.00	20920.83	USD	-4,062.45	
06SEP17	B	2	PD06DEC17	20650.00	20920.83	USD	2,708.30	
06SEP17	S	1	PD06DEC17	20590.00	20920.83	USD	-1,654.15	
06SEP17	B	1	PD06DEC17	20640.00	20920.83	USD	1,404.15	
06SEP17	S	3	PD06DEC17	20630.00	20920.83	USD	-4,362.45	
	NET	0AVG BGHT 20633.57	SOLD 20632.86				-25.00	0.00
07SEP17	B	1	PD07DEC17	20705.00	20918.75	USD	1,068.75	
07SEP17	S	1	PD07DEC17	20725.00	20918.75	USD	-968.75	
	NET	0AVG BGHT 20705.00	SOLD 20725.00				100.00	0.00
08SEP17	S	1	PD08DEC17	20655.00	20916.67	USD	-1,308.35	
08SEP17	B	2	PD08DEC17	20620.00	20916.67	USD	2,966.70	
08SEP17	S	2	PD08DEC17	20595.00	20916.67	USD	-3,216.70	
08SEP17	B	1	PD08DEC17	20665.00	20916.67	USD	1,258.35	
	NET	0AVG BGHT 20635.00	SOLD 20615.00				-300.00	0.00
11SEP17	S	1	PD11DEC17	20735.00	20914.58	USD	-897.90	
11SEP17	B	1	PD11DEC17	20800.00	20914.58	USD	572.90	
11SEP17	B	1	PD11DEC17	20730.00	20914.58	USD	922.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	20715.00	20914.58	USD	-997.90	
	NET	0 AVG BGHT 20765.00		SOLD 20725.00			-400.00	0.00
12SEP17	S	1	PD12DEC17	20685.00	20912.50	USD	-1,137.50	
12SEP17	B	1	PD12DEC17	20690.00	20912.50	USD	1,112.50	
12SEP17	S	1	PD12DEC17	20690.00	20912.50	USD	-1,112.50	
12SEP17	B	1	PD12DEC17	20680.00	20912.50	USD	1,162.50	
	NET	0 AVG BGHT 20685.00		SOLD 20687.50			25.00	0.00
13SEP17	B	1	PD13DEC17	20585.00	20910.42	USD	1,627.10	
13SEP17	S	1	PD13DEC17	20585.00	20910.42	USD	-1,627.10	
13SEP17	B	1	PD13DEC17	20580.00	20910.42	USD	1,652.10	
13SEP17	S	1	PD13DEC17	20605.00	20910.42	USD	-1,527.10	
	NET	0 AVG BGHT 20582.50		SOLD 20595.00			125.00	0.00
14SEP17	B	1	PD14DEC17	20560.00	20908.33	USD	1,741.65	
14SEP17	S	1	PD14DEC17	20530.00	20908.33	USD	-1,891.65	
14SEP17	S	1	PD14DEC17	20580.00	20908.33	USD	-1,641.65	
14SEP17	B	2	PD14DEC17	20545.00	20908.33	USD	3,633.30	
14SEP17	S	1	PD14DEC17	20545.00	20908.33	USD	-1,816.65	
14SEP17	S	1	PD14DEC17	20555.00	20908.33	USD	-1,766.65	
14SEP17	B	1	PD14DEC17	20605.00	20908.33	USD	1,516.65	
14SEP17	B	1	PD14DEC17	20610.00	20908.33	USD	1,491.65	
14SEP17	S	1	PD14DEC17	20610.00	20908.33	USD	-1,491.65	
14SEP17	B	1	PD14DEC17	20550.00	20908.33	USD	1,791.65	
14SEP17	S	1	PD14DEC17	20550.00	20908.33	USD	-1,791.65	
	NET	0 AVG BGHT 20569.17		SOLD 20561.67			-225.00	0.00
15SEP17	B	1	PD15DEC17	20520.00	20906.25	USD	1,931.25	
15SEP17	S	2	PD15DEC17	20595.00	20906.25	USD	-3,112.50	
15SEP17	B	1	PD15DEC17	20595.00	20906.25	USD	1,556.25	
15SEP17	S	1	PD15DEC17	20570.00	20906.25	USD	-1,681.25	
15SEP17	B	2	PD15DEC17	20580.00	20906.25	USD	3,262.50	
15SEP17	S	1	PD15DEC17	20615.00	20906.25	USD	-1,456.25	
	NET	0 AVG BGHT 20568.75		SOLD 20593.75			500.00	0.00
18SEP17	S	1	PD18DEC17	20685.00	20904.17	USD	-1,095.85	
18SEP17	S	1	PD18DEC17	20680.00	20904.17	USD	-1,120.85	
18SEP17	B	1	PD18DEC17	20630.00	20904.17	USD	1,370.85	
18SEP17	B	1	PD18DEC17	20585.00	20904.17	USD	1,595.85	
18SEP17	B	1	PD18DEC17	20580.00	20904.17	USD	1,620.85	
18SEP17	S	1	PD18DEC17	20580.00	20904.17	USD	-1,620.85	
	NET	0 AVG BGHT 20598.33		SOLD 20648.33			750.00	0.00
19SEP17	S	2	PD19DEC17	20695.00	20902.08	USD	-2,070.80	
19SEP17	S	2	PD19DEC17	20710.00	20902.08	USD	-1,920.80	
19SEP17	B	1	PD19DEC17	20730.00	20902.08	USD	860.40	
19SEP17	B	1	PD19DEC17	20690.00	20902.08	USD	1,060.40	
19SEP17	B	2	PD19DEC17	20720.00	20902.08	USD	1,820.80	
	NET	0 AVG BGHT 20715.00		SOLD 20702.50			-250.00	0.00
20SEP17	S	1	PD20DEC17	20720.00	20900.00	USD	-900.00	
20SEP17	B	1	PD20DEC17	20740.00	20900.00	USD	800.00	
20SEP17	S	1	PD20DEC17	20750.00	20900.00	USD	-750.00	
20SEP17	B	1	PD20DEC17	20750.00	20900.00	USD	750.00	
20SEP17	B	1	PD20DEC17	20655.00	20900.00	USD	1,225.00	
20SEP17	S	1	PD20DEC17	20690.00	20900.00	USD	-1,050.00	
	NET	0 AVG BGHT 20715.00		SOLD 20720.00			75.00	0.00
21SEP17	B	1	PD21DEC17	20500.00	20897.69	USD	1,988.45	
21SEP17	S	1	PD21DEC17	20550.00	20897.69	USD	-1,738.45	
21SEP17	B	1	PD21DEC17	20565.00	20897.69	USD	1,663.45	
21SEP17	S	1	PD21DEC17	20575.00	20897.69	USD	-1,613.45	

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	NET	0 AVG	BGHT	20532.50	SOLD	20562.50		300.00	0.00
22SEP17	S	1	PD22DEC17		20470.00	20895.38	USD	-2,126.90	
22SEP17	B	1	PD22DEC17		20445.00	20895.38	USD	2,251.90	
22SEP17	B	1	PD22DEC17		20500.00	20895.38	USD	1,976.90	
22SEP17	S	1	PD22DEC17		20515.00	20895.38	USD	-1,901.90	
22SEP17	S	1	PD22DEC17		20580.00	20895.38	USD	-1,576.90	
22SEP17	S	1	PD22DEC17		20630.00	20895.38	USD	-1,326.90	
22SEP17	B	1	PD22DEC17		20595.00	20895.38	USD	1,501.90	
22SEP17	B	1	PD22DEC17		20380.00	20895.38	USD	2,576.90	
22SEP17	B	1	PD22DEC17		20545.00	20895.38	USD	1,751.90	
22SEP17	S	1	PD22DEC17		20380.00	20895.38	USD	-2,576.90	
22SEP17	S	1	PD22DEC17		20545.00	20895.38	USD	-1,751.90	
22SEP17	S	2	PD22DEC17		20555.00	20895.38	USD	-3,403.80	
22SEP17	B	1	PD22DEC17		20560.00	20895.38	USD	1,676.90	
22SEP17	S	1	PD22DEC17		20560.00	20895.38	USD	-1,676.90	
22SEP17	B	3	PD22DEC17		20570.00	20895.38	USD	4,880.70	
	NET	0 AVG	BGHT	20526.11	SOLD	20532.22		275.00	0.00
25SEP17	S	1	PD27DEC17		20610.00	20893.08	USD	-1,415.40	
25SEP17	B	1	PD27DEC17		20620.00	20893.08	USD	1,365.40	
26SEP17	B	1	PD27DEC17		20750.00	20893.08	USD	715.40	
26SEP17	S	1	PD27DEC17		20740.00	20893.08	USD	-765.40	
26SEP17	B	1	PD27DEC17		20665.00	20893.08	USD	1,140.40	
26SEP17	S	1	PD27DEC17		20660.00	20893.08	USD	-1,165.40	
26SEP17	S	1	PD27DEC17		20705.00	20893.08	USD	-940.40	
26SEP17	B	1	PD27DEC17		20635.00	20893.08	USD	1,290.40	
27SEP17	S	1	PD27DEC17		20710.00	20893.08	USD	-915.40	
27SEP17	B	1	PD27DEC17		20705.00	20893.08	USD	940.40	
27SEP17	S	1	PD27DEC17		20665.00	20893.08	USD	-1,140.40	
27SEP17	S	2	PD27DEC17		20685.00	20893.08	USD	-2,080.80	
27SEP17	B	3	PD27DEC17		20680.00	20893.08	USD	3,196.20	
	NET	0 AVG	BGHT	20676.88	SOLD	20682.50		225.00	0.00
28SEP17	B	1	PD28DEC17		20640.00	20890.77	USD	1,253.85	
28SEP17	S	1	PD28DEC17		20620.00	20890.77	USD	-1,353.85	
	NET	0 AVG	BGHT	20640.00	SOLD	20620.00		-100.00	0.00
05OCT17	B	1	PD05JAN18		21170.00	20879.23	USD	-1,453.85	
05OCT17	B	1	PD05JAN18		21180.00	20879.23	USD	-1,503.85	
05OCT17	S	2	PD05JAN18		21175.00	20879.23	USD	2,957.70	
05OCT17	B	1	PD05JAN18		21000.00	20879.23	USD	-603.85	
05OCT17	S	2	PD05JAN18		21050.00	20879.23	USD	1,707.70	
05OCT17	B	1	PD05JAN18		21050.00	20879.23	USD	-853.85	
06OCT17	B	1	PD05JAN18		20990.00	20879.23	USD	-553.85	
06OCT17	S	1	PD05JAN18		21035.00	20879.23	USD	778.85	
06OCT17	S	1	PD05JAN18		21000.00	20879.23	USD	603.85	
06OCT17	B	1	PD05JAN18		20850.00	20879.23	USD	146.15	
06OCT17	B	1	PD05JAN18		20860.00	20879.23	USD	96.15	
06OCT17	S	1	PD05JAN18		20850.00	20879.23	USD	-146.15	
06OCT17	B	1	PD05JAN18		20600.00	20879.23	USD	1,396.15	
06OCT17	B	2	PD05JAN18		20605.00	20879.23	USD	2,742.30	
06OCT17	S	1	PD05JAN18		20615.00	20879.23	USD	-1,321.15	
06OCT17	B	1	PD05JAN18		20610.00	20879.23	USD	1,346.15	
06OCT17	S	1	PD05JAN18		20585.00	20879.23	USD	-1,471.15	
06OCT17	S	1	PD05JAN18		20590.00	20879.23	USD	-1,446.15	
06OCT17	S	1	PD05JAN18		20620.00	20879.23	USD	-1,296.15	
	NET	0 AVG	BGHT	20865.45	SOLD	20885.91		1,125.00	0.00
09OCT17	B	2	PD09JAN18		20750.00	20874.62	USD	1,246.20	
09OCT17	B	1	PD09JAN18		20720.00	20874.62	USD	773.10	

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09OCT17	B	1	PD09JAN18	20755.00	20874.62	USD	598.10	
09OCT17	S	2	PD09JAN18	20725.00	20874.62	USD	-1,496.20	
09OCT17	B	1	PD09JAN18	20895.00	20874.62	USD	-101.90	
09OCT17	S	1	PD09JAN18	20885.00	20874.62	USD	51.90	
09OCT17	B	1	PD09JAN18	20770.00	20874.62	USD	523.10	
09OCT17	S	2	PD09JAN18	20740.00	20874.62	USD	-1,346.20	
09OCT17	S	1	PD09JAN18	20750.00	20874.62	USD	-623.10	
	NET	0 AVG	BGHT 20773.33				-375.00	0.00
10OCT17	B	1	PD10JAN18	20805.00	20872.31	USD	336.55	
10OCT17	S	1	PD10JAN18	20920.00	20872.31	USD	238.45	
10OCT17	B	1	PD10JAN18	20850.00	20872.31	USD	111.55	
10OCT17	S	1	PD10JAN18	20865.00	20872.31	USD	-36.55	
10OCT17	S	1	PD10JAN18	20880.00	20872.31	USD	38.45	
10OCT17	S	1	PD10JAN18	20885.00	20872.31	USD	63.45	
10OCT17	B	1	PD10JAN18	20840.00	20872.31	USD	161.55	
10OCT17	B	1	PD10JAN18	20835.00	20872.31	USD	186.55	
	NET	0 AVG	BGHT 20832.50				1,100.00	0.00
11OCT17	B	1	PD11JAN18	20715.00	20870.00	USD	775.00	
11OCT17	B	1	PD11JAN18	20720.00	20870.00	USD	750.00	
11OCT17	B	2	PD11JAN18	20725.00	20870.00	USD	1,450.00	
11OCT17	B	1	PD11JAN18	20750.00	20870.00	USD	600.00	
11OCT17	B	1	PD11JAN18	20755.00	20870.00	USD	575.00	
11OCT17	S	1	PD11JAN18	20700.00	20870.00	USD	-850.00	
11OCT17	S	1	PD11JAN18	20725.00	20870.00	USD	-725.00	
11OCT17	S	2	PD11JAN18	20745.00	20870.00	USD	-1,250.00	
11OCT17	S	2	PD11JAN18	20750.00	20870.00	USD	-1,200.00	
11OCT17	B	1	PD11JAN18	20775.00	20870.00	USD	475.00	
11OCT17	B	1	PD11JAN18	20805.00	20870.00	USD	325.00	
11OCT17	S	1	PD11JAN18	20800.00	20870.00	USD	-350.00	
11OCT17	B	1	PD11JAN18	20810.00	20870.00	USD	300.00	
11OCT17	S	1	PD11JAN18	20775.00	20870.00	USD	-475.00	
11OCT17	S	1	PD11JAN18	20780.00	20870.00	USD	-450.00	
	NET	0 AVG	BGHT 20753.33				-50.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2831.00	3299.33	USD	11,708.25	
12JUL17	S	1	PD12OCT17	2832.00	3299.33	USD	-11,683.25	
12JUL17	B	1	PD12OCT17	2866.00	3299.33	USD	10,833.25	
20JUL17	S	1	PD12OCT17	2726.94	3299.33	USD	-14,309.75	
	NET	0 AVG	BGHT 2848.50				-3,451.50	0.00
13JUL17	S	1	PD13OCT17	2833.50	3295.00	USD	-11,537.50	
14JUL17	B	1	PD13OCT17	2790.00	3295.00	USD	12,625.00	
	NET	0 AVG	BGHT 2790.00				1,087.50	0.00
17JUL17	S	1	PD17OCT17	2828.00	3286.33	USD	-11,458.25	
17JUL17	B	1	PD17OCT17	2832.50	3286.33	USD	11,345.75	
17JUL17	B	1	PD17OCT17	2846.00	3286.33	USD	11,008.25	
17JUL17	S	1	PD17OCT17	2832.00	3286.33	USD	-11,358.25	
	NET	0 AVG	BGHT 2839.25				-462.50	0.00
20JUL17	B	1	PD20OCT17	2728.00	3273.00	USD	13,625.00	
21JUL17	S	1	PD20OCT17	2768.00	3273.00	USD	-12,625.00	
21JUL17	B	1	PD20OCT17	2743.50	3273.00	USD	13,237.50	
06OCT17	S	1	PD20OCT17	3266.30	3273.00	USD	-167.50	
	NET	0 AVG	BGHT 2735.75				14,070.00	0.00
24JUL17	S	1	PD24OCT17	2792.50	3267.00	USD	-11,862.50	
24JUL17	S	1	PD24OCT17	2775.00	3267.00	USD	-12,300.00	

OPEN POSITION STATEMENT



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SAP096AE

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
24JUL17	B 1 PD24OCT17	2773.00	3267.00	USD	12,350.00	
06OCT17	B 1 PD24OCT17	3261.83	3267.00	USD	129.25	
	NET 0AVG BGHT 3017.42 SOLD 2783.75				-11,683.25	0.00
25JUL17	B 1 PD25OCT17	2803.50	3264.00	USD	11,512.50	
06OCT17	S 1 PD25OCT17	3259.30	3264.00	USD	-117.50	
	NET 0AVG BGHT 2803.50 SOLD 3259.30				11,395.00	0.00
07AUG17	S 1 PD07NOV17	2872.50	3250.67	USD	-9,454.25	
07AUG17	S 1 PD07NOV17	2870.50	3250.67	USD	-9,504.25	
07AUG17	B 1 PD07NOV17	2871.50	3250.67	USD	9,479.25	
	NET -1AVG BGHT 2871.50 SOLD 2871.50				-9,479.25	0.00
09AUG17	B 1 PD09NOV17	2977.00	3248.44	USD	6,786.00	
09AUG17	S 1 PD09NOV17	2967.00	3248.44	USD	-7,036.00	
	NET 0AVG BGHT 2977.00 SOLD 2967.00				-250.00	0.00
18AUG17	B 2 PD17NOV17	3093.00	3239.00	USD	7,300.00	
18AUG17	S 2 PD17NOV17	3093.00	3239.00	USD	-7,300.00	
18AUG17	B 1 PD17NOV17	3121.00	3239.00	USD	2,950.00	
	NET +1AVG BGHT 3102.33 SOLD 3093.00				2,950.00	0.00
22AUG17	B 1 PD22NOV17	3109.00	3236.00	USD	3,175.00	
22AUG17	S 1 PD22NOV17	3100.00	3236.00	USD	-3,400.00	
	NET 0AVG BGHT 3109.00 SOLD 3100.00				-225.00	0.00
23AUG17	S 1 PD24NOV17	3143.00	3235.00	USD	-2,300.00	
23AUG17	B 1 PD24NOV17	3103.00	3235.00	USD	3,300.00	
24AUG17	S 1 PD24NOV17	3102.50	3235.00	USD	-3,312.50	
24AUG17	S 1 PD24NOV17	3107.00	3235.00	USD	-3,200.00	
24AUG17	B 1 PD24NOV17	3112.00	3235.00	USD	3,075.00	
24AUG17	B 1 PD24NOV17	3117.00	3235.00	USD	2,950.00	
25AUG17	B 1 PD24NOV17	3121.00	3235.00	USD	2,850.00	
25AUG17	S 1 PD24NOV17	3121.00	3235.00	USD	-2,850.00	
	NET 0AVG BGHT 3113.25 SOLD 3118.38				512.50	0.00
21SEP17	B 1 PD21DEC17	3072.00	3225.00	USD	3,825.00	
21SEP17	S 1 PD21DEC17	3085.00	3225.00	USD	-3,500.00	
	NET 0AVG BGHT 3072.00 SOLD 3085.00				325.00	0.00
26SEP17	S 2 PD27DEC17	3133.00	3224.00	USD	-4,550.00	
26SEP17	B 2 PD27DEC17	3112.00	3224.00	USD	5,600.00	
	NET 0AVG BGHT 3112.00 SOLD 3133.00				1,050.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	168.00
LME Copper Grade A 25	FUTURES	-112.50
LME Lead 25 tonnes. This is an	FUTURES	-7,890.00
LME Nickel 6 tonnes. This is	FUTURES	210.00
LME Tin 5 tonnes. This is an	FUTURES	9,007.50
LME Zinc 25 tonnes. This is	FUTURES	6,130.25

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	168.00	168.00		
COPL	- Futures	USD	-112.50	-112.50		
LEAD	- Futures	USD	-7,925.50	-7,925.50		
NKL	- Futures	USD	210.00	210.00		
TIN	- Futures	USD	8,976.25	8,976.25		
ZINC	- Futures	USD	5,838.50	5,838.50	7,154.75	7,154.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-6,300.78	-6,300.78
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-6,300.78	-6,300.78
Initial Margins	0.00	0.00
Excess/(Shortage)	-6,300.78	-6,300.78
FOR INFORMATION ONLY		
Forward Unrealised P&L	7,154.75	7,154.75
Net Liquidating Value	853.97	853.97

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
02AUG17	B 1 PD02NOV17	1908.50	2111.13	USD	5,065.75	
02AUG17	S 1 PD02NOV17	1912.00	2111.13	USD	-4,978.25	
	NET 0AVG BGHT 1908.50 SOLD 1912.00				87.50	0.00
04AUG17	B 1 PD03NOV17	1908.00	2111.44	USD	5,086.00	
04AUG17	S 1 PD03NOV17	1912.50	2111.44	USD	-4,973.50	
	NET 0AVG BGHT 1908.00 SOLD 1912.50				112.50	0.00
29SEP17	B 1 PD29DEC17	2105.50	2127.50	USD	550.00	
	NET +1AVG BGHT 2105.50				550.00	0.00
02OCT17	S 1 PD02JAN18	2107.00	2128.27	USD	-531.75	
	NET -1AVG SOLD 2107.00				-531.75	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	5967.50	6760.88	USD	19,834.50	
19JUL17	S 1 PD19OCT17	5964.50	6760.88	USD	-19,909.50	
	NET 0AVG BGHT 5967.50 SOLD 5964.50				-75.00	0.00
24JUL17	S 1 PD24OCT17	6033.50	6765.25	USD	-18,293.75	
06OCT17	B 1 PD24OCT17	6631.25	6765.25	USD	3,350.00	
	NET 0AVG BGHT 6631.25 SOLD 6033.50				-14,943.75	0.00
25JUL17	B 1 PD25OCT17	6032.50	6765.68	USD	18,329.50	
06OCT17	S 1 PD25OCT17	6631.55	6765.68	USD	-3,353.25	
	NET 0AVG BGHT 6032.50 SOLD 6631.55				14,976.25	0.00
25AUG17	B 1 PD24NOV17	6690.00	6780.52	USD	2,263.00	
25AUG17	S 1 PD24NOV17	6696.00	6780.52	USD	-2,113.00	
	NET 0AVG BGHT 6690.00 SOLD 6696.00				150.00	0.00
31AUG17	S 1 PD30NOV17	6804.00	6783.72	USD	507.00	
31AUG17	B 1 PD30NOV17	6811.00	6783.72	USD	-682.00	
	NET 0AVG BGHT 6811.00 SOLD 6804.00				-175.00	0.00
06SEP17	B 1 PD06DEC17	6920.50	6786.75	USD	-3,343.75	
06SEP17	S 1 PD06DEC17	6918.50	6786.75	USD	3,293.75	
	NET 0AVG BGHT 6920.50 SOLD 6918.50				-50.00	0.00
07SEP17	S 1 PD07DEC17	6914.50	6787.23	USD	3,181.75	
	NET -1AVG SOLD 6914.50				3,181.75	0.00
08SEP17	B 1 PD08DEC17	6918.50	6787.71	USD	-3,269.75	
	NET +1AVG BGHT 6918.50				-3,269.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 2 PD18OCT17	2274.50	2554.75	USD	14,012.50	
06OCT17	S 2 PD18OCT17	2519.50	2554.75	USD	-1,762.50	
	NET 0AVG BGHT 2274.50 SOLD 2519.50				12,250.00	0.00
20JUL17	S 1 PD20OCT17	2215.50	2555.04	USD	-8,488.50	
20JUL17	S 1 PD20OCT17	2218.00	2555.04	USD	-8,426.00	
06OCT17	B 2 PD20OCT17	2520.11	2555.04	USD	1,746.50	
	NET 0AVG BGHT 2520.11 SOLD 2216.75				-15,168.00	0.00
25JUL17	B 1 PD25OCT17	2302.00	2555.75	USD	6,343.75	
25JUL17	S 1 PD25OCT17	2321.50	2555.75	USD	-5,856.25	
	NET 0AVG BGHT 2302.00 SOLD 2321.50				487.50	0.00
01AUG17	S 1 PD01NOV17	2337.50	2556.75	USD	-5,481.25	
	NET -1AVG SOLD 2337.50				-5,481.25	0.00
02AUG17	B 1 PD02NOV17	2323.00	2556.89	USD	5,847.25	
	NET +1AVG BGHT 2323.00				5,847.25	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>					

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD130CT17	9175.00	11061.00	USD	11,316.00	
13JUL17	S	1	PD130CT17	9185.00	11061.00	USD	-11,256.00	
14JUL17	S	1	PD130CT17	9505.00	11061.00	USD	-9,336.00	
14JUL17	B	1	PD130CT17	9560.00	11061.00	USD	9,006.00	
14JUL17	S	1	PD130CT17	9565.00	11061.00	USD	-8,976.00	
14JUL17	B	1	PD130CT17	9545.00	11061.00	USD	9,096.00	
14JUL17	B	1	PD130CT17	9550.00	11061.00	USD	9,066.00	
14JUL17	S	1	PD130CT17	9570.00	11061.00	USD	-8,946.00	
	NET	0 AVG BGHT 9457.50	SOLD 9456.25				-30.00	0.00
17JUL17	B	1	PD170CT17	9615.00	11064.20	USD	8,695.20	
20JUL17	S	1	PD170CT17	9495.34	11064.20	USD	-9,413.16	
	NET	0 AVG BGHT 9615.00	SOLD 9495.34				-717.96	0.00
18JUL17	S	1	PD180CT17	9640.00	11065.00	USD	-8,550.00	
18JUL17	S	1	PD180CT17	9725.00	11065.00	USD	-8,040.00	
18JUL17	B	1	PD180CT17	9755.00	11065.00	USD	7,860.00	
20JUL17	B	1	PD180CT17	9497.00	11065.00	USD	9,408.00	
	NET	0 AVG BGHT 9626.00	SOLD 9682.50				678.00	0.00
24JUL17	S	1	PD240CT17	9765.00	11070.79	USD	-7,834.74	
24JUL17	S	1	PD240CT17	9775.00	11070.79	USD	-7,774.74	
06OCT17	B	2	PD240CT17	10530.32	11070.79	USD	6,485.64	
	NET	0 AVG BGHT 10530.32	SOLD 9770.00				-9,123.84	0.00
25JUL17	B	1	PD250CT17	9800.00	11071.75	USD	7,630.50	
25JUL17	B	1	PD250CT17	9815.00	11071.75	USD	7,540.50	
06OCT17	S	2	PD250CT17	10530.38	11071.75	USD	-6,496.44	
	NET	0 AVG BGHT 9807.50	SOLD 10530.38				8,674.56	0.00
26JUL17	S	1	PD260CT17	10050.00	11072.71	USD	-6,136.26	
26JUL17	B	1	PD260CT17	10020.00	11072.71	USD	6,316.26	
26JUL17	B	1	PD260CT17	9990.00	11072.71	USD	6,496.26	
06OCT17	S	1	PD260CT17	10532.43	11072.71	USD	-3,241.68	
	NET	0 AVG BGHT 10005.00	SOLD 10291.22				3,434.58	0.00
27JUL17	S	1	PD270CT17	10020.00	11073.68	USD	-6,322.08	
27JUL17	S	1	PD270CT17	10190.00	11073.68	USD	-5,302.08	
27JUL17	B	1	PD270CT17	10160.00	11073.68	USD	5,482.08	
28JUL17	S	1	PD270CT17	10280.00	11073.68	USD	-4,762.08	
06OCT17	B	1	PD270CT17	10534.48	11073.68	USD	3,235.20	
06OCT17	B	1	PD270CT17	10533.48	11073.68	USD	3,241.20	
	NET	0 AVG BGHT 10409.32	SOLD 10163.33				-4,427.76	0.00
31JUL17	B	1	PD310CT17	10330.00	11077.54	USD	4,485.24	
06OCT17	S	1	PD310CT17	10536.70	11077.54	USD	-3,245.04	
	NET	0 AVG BGHT 10330.00	SOLD 10536.70				1,240.20	0.00
02AUG17	S	1	PD02NOV17	10325.00	11079.46	USD	-4,526.76	
02AUG17	B	1	PD02NOV17	10335.00	11079.46	USD	4,466.76	
02AUG17	S	1	PD02NOV17	10345.00	11079.46	USD	-4,406.76	
02AUG17	S	3	PD02NOV17	10350.00	11079.46	USD	-13,130.28	
02AUG17	B	3	PD02NOV17	10345.00	11079.46	USD	13,220.28	
	NET	-1 AVG BGHT 10342.50	SOLD 10344.00				-4,376.76	0.00
03AUG17	B	1	PD03NOV17	10315.00	11080.43	USD	4,592.58	
04AUG17	B	1	PD03NOV17	10310.00	11080.43	USD	4,622.58	
04AUG17	S	2	PD03NOV17	10335.00	11080.43	USD	-8,945.16	
	NET	0 AVG BGHT 10312.50	SOLD 10335.00				270.00	0.00
07AUG17	B	1	PD07NOV17	10320.00	11084.29	USD	4,585.74	
07AUG17	S	1	PD07NOV17	10390.00	11084.29	USD	-4,165.74	
07AUG17	B	1	PD07NOV17	10380.00	11084.29	USD	4,225.74	
07AUG17	S	1	PD07NOV17	10385.00	11084.29	USD	-4,195.74	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	10350.00	SOLD	10387.50		450.00	0.00
08AUG17	B	1	PD08NOV17		10340.00	11085.25	USD	4,471.50	
08AUG17	S	1	PD08NOV17		10635.00	11085.25	USD	-2,701.50	
08AUG17	S	1	PD08NOV17		10640.00	11085.25	USD	-2,671.50	
08AUG17	S	1	PD08NOV17		10730.00	11085.25	USD	-2,131.50	
08AUG17	B	1	PD08NOV17		10750.00	11085.25	USD	2,011.50	
08AUG17	B	1	PD08NOV17		10635.00	11085.25	USD	2,701.50	
08AUG17	B	1	PD08NOV17		10650.00	11085.25	USD	2,611.50	
	NET	+1 AVG	BGHT	10593.75	SOLD	10668.33		4,291.50	0.00
09AUG17	B	1	PD09NOV17		10780.00	11086.21	USD	1,837.26	
09AUG17	S	1	PD09NOV17		10770.00	11086.21	USD	-1,897.26	
	NET	0 AVG	BGHT	10780.00	SOLD	10770.00		-60.00	0.00
11AUG17	B	1	PD10NOV17		10640.00	11087.18	USD	2,683.08	
11AUG17	S	1	PD10NOV17		10645.00	11087.18	USD	-2,653.08	
	NET	0 AVG	BGHT	10640.00	SOLD	10645.00		30.00	0.00
21AUG17	B	1	PD21NOV17		11355.00	11096.63	USD	-1,550.22	
21AUG17	S	1	PD21NOV17		11330.00	11096.63	USD	1,400.22	
21AUG17	S	1	PD21NOV17		11300.00	11096.63	USD	1,220.22	
21AUG17	B	1	PD21NOV17		11285.00	11096.63	USD	-1,130.22	
21AUG17	S	1	PD21NOV17		11325.00	11096.63	USD	1,370.22	
	NET	-1 AVG	BGHT	11320.00	SOLD	11318.33		1,310.22	0.00
22AUG17	B	1	PD22NOV17		11395.00	11097.40	USD	-1,785.60	
22AUG17	B	1	PD22NOV17		11410.00	11097.40	USD	-1,875.60	
22AUG17	B	1	PD22NOV17		11425.00	11097.40	USD	-1,965.60	
22AUG17	S	1	PD22NOV17		11445.00	11097.40	USD	2,085.60	
22AUG17	S	1	PD22NOV17		11470.00	11097.40	USD	2,235.60	
	NET	+1 AVG	BGHT	11410.00	SOLD	11457.50		-1,305.60	0.00
23AUG17	B	1	PD24NOV17		11670.00	11098.94	USD	-3,426.36	
23AUG17	S	1	PD24NOV17		11685.00	11098.94	USD	3,516.36	
23AUG17	B	1	PD24NOV17		11700.00	11098.94	USD	-3,606.36	
23AUG17	B	1	PD24NOV17		11755.00	11098.94	USD	-3,936.36	
23AUG17	B	1	PD24NOV17		11790.00	11098.94	USD	-4,146.36	
23AUG17	S	1	PD24NOV17		11795.00	11098.94	USD	4,176.36	
23AUG17	S	1	PD24NOV17		11695.00	11098.94	USD	3,576.36	
23AUG17	S	1	PD24NOV17		11755.00	11098.94	USD	3,936.36	
24AUG17	S	1	PD24NOV17		11745.00	11098.94	USD	3,876.36	
25AUG17	B	1	PD24NOV17		11710.00	11098.94	USD	-3,666.36	
25AUG17	B	1	PD24NOV17		11405.00	11098.94	USD	-1,836.36	
25AUG17	B	1	PD24NOV17		11425.00	11098.94	USD	-1,956.36	
25AUG17	S	2	PD24NOV17		11395.00	11098.94	USD	3,552.72	
	NET	0 AVG	BGHT	11636.43	SOLD	11637.86		60.00	0.00
31AUG17	B	1	PD30NOV17		11795.00	11103.57	USD	-4,148.58	
31AUG17	S	1	PD30NOV17		11795.00	11103.57	USD	4,148.58	
	NET	0 AVG	BGHT	11795.00	SOLD	11795.00		0.00	0.00
01SEP17	B	1	PD01DEC17		12135.00	11104.34	USD	-6,183.96	
01SEP17	S	1	PD01DEC17		12090.00	11104.34	USD	5,913.96	
	NET	0 AVG	BGHT	12135.00	SOLD	12090.00		-270.00	0.00
06SEP17	B	1	PD06DEC17		12180.00	11108.20	USD	-6,430.80	
06SEP17	S	1	PD06DEC17		12175.00	11108.20	USD	6,400.80	
	NET	0 AVG	BGHT	12180.00	SOLD	12175.00		-30.00	0.00
11SEP17	S	1	PD11DEC17		11835.00	11112.06	USD	4,337.64	
	NET	-1 AVG	SOLD	11835.00				4,337.64	0.00
12SEP17	B	1	PD12DEC17		11815.00	11112.83	USD	-4,213.02	
	NET	+1 AVG	BGHT	11815.00				-4,213.02	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	11370.00	11114.37	USD	1,533.78	
14SEP17	S	1	PD14DEC17	11240.00	11114.37	USD	753.78	
14SEP17	B	1	PD14DEC17	11200.00	11114.37	USD	-513.78	
14SEP17	B	2	PD14DEC17	11210.00	11114.37	USD	-1,147.56	
14SEP17	S	1	PD14DEC17	11210.00	11114.37	USD	573.78	
	NET	0 AVG	BGHT 11206.67				1,200.00	0.00
15SEP17	B	1	PD15DEC17	11150.00	11115.14	USD	-209.16	
15SEP17	S	1	PD15DEC17	11130.00	11115.14	USD	89.16	
	NET	0 AVG	BGHT 11150.00				-120.00	0.00
21SEP17	B	1	PD21DEC17	10860.00	11119.73	USD	1,558.38	
21SEP17	S	1	PD21DEC17	10880.00	11119.73	USD	-1,438.38	
21SEP17	B	1	PD21DEC17	10805.00	11119.73	USD	1,888.38	
21SEP17	S	1	PD21DEC17	10835.00	11119.73	USD	-1,708.38	
	NET	0 AVG	BGHT 10832.50				300.00	0.00
22SEP17	B	1	PD22DEC17	10540.00	11120.45	USD	3,482.70	
22SEP17	S	1	PD22DEC17	10530.00	11120.45	USD	-3,542.70	
	NET	0 AVG	BGHT 10540.00				-60.00	0.00
03OCT17	S	1	PD03JAN18	10700.00	11129.18	USD	-2,575.08	
03OCT17	B	1	PD03JAN18	10700.00	11129.18	USD	2,575.08	
03OCT17	S	1	PD03JAN18	10730.00	11129.18	USD	-2,395.08	
	NET	-1 AVG	BGHT 10700.00				-2,395.08	0.00
04OCT17	B	1	PD04JAN18	10745.00	11129.91	USD	2,309.46	
	NET	+1 AVG	BGHT 10745.00				2,309.46	0.00
09OCT17	B	1	PD09JAN18	10980.00	11133.55	USD	921.30	
09OCT17	S	1	PD09JAN18	10945.00	11133.55	USD	-1,131.30	
	NET	0 AVG	BGHT 10980.00				-210.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD13OCT17	19940.00	20990.00	USD	-5,250.00	
13JUL17	B	1	PD13OCT17	20025.00	20990.00	USD	4,825.00	
13JUL17	B	1	PD13OCT17	19830.00	20990.00	USD	5,800.00	
13JUL17	S	1	PD13OCT17	19860.00	20990.00	USD	-5,650.00	
13JUL17	S	1	PD13OCT17	19875.00	20990.00	USD	-5,575.00	
13JUL17	B	1	PD13OCT17	19865.00	20990.00	USD	5,625.00	
13JUL17	B	1	PD13OCT17	19870.00	20990.00	USD	5,600.00	
13JUL17	S	1	PD13OCT17	19910.00	20990.00	USD	-5,400.00	
13JUL17	S	1	PD13OCT17	19895.00	20990.00	USD	-5,475.00	
14JUL17	B	1	PD13OCT17	19840.00	20990.00	USD	5,750.00	
	NET	0 AVG	BGHT 19886.00				250.00	0.00
18JUL17	S	1	PD18OCT17	20025.00	20990.00	USD	-4,825.00	
18JUL17	B	1	PD18OCT17	20000.00	20990.00	USD	4,950.00	
18JUL17	B	1	PD18OCT17	20005.00	20990.00	USD	4,925.00	
06OCT17	S	1	PD18OCT17	20660.00	20990.00	USD	-1,650.00	
	NET	0 AVG	BGHT 20002.50				3,400.00	0.00
19JUL17	S	1	PD19OCT17	19990.00	20988.00	USD	-4,990.00	
19JUL17	S	1	PD19OCT17	20140.00	20988.00	USD	-4,240.00	
19JUL17	S	1	PD19OCT17	20095.00	20988.00	USD	-4,465.00	
19JUL17	B	1	PD19OCT17	20100.00	20988.00	USD	4,440.00	
19JUL17	B	1	PD19OCT17	20115.00	20988.00	USD	4,365.00	
06OCT17	B	1	PD19OCT17	20657.50	20988.00	USD	1,652.50	
	NET	0 AVG	BGHT 20290.83				-3,237.50	0.00
21JUL17	S	1	PD20OCT17	20240.00	20986.00	USD	-3,730.00	
21JUL17	B	1	PD20OCT17	20220.00	20986.00	USD	3,830.00	
	NET	0 AVG	BGHT 20220.00				100.00	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD24OCT17	20130.00	20982.00	USD	4,260.00	
24JUL17	S	1	PD24OCT17	20205.00	20982.00	USD	-3,885.00	
	NET	0 AVG	BGHT 20130.00				375.00	0.00
26JUL17	S	1	PD26OCT17	20345.00	20978.00	USD	-3,165.00	
26JUL17	B	1	PD26OCT17	20325.00	20978.00	USD	3,265.00	
26JUL17	S	1	PD26OCT17	20340.00	20978.00	USD	-3,190.00	
26JUL17	B	1	PD26OCT17	20345.00	20978.00	USD	3,165.00	
	NET	0 AVG	BGHT 20335.00				75.00	0.00
27JUL17	S	1	PD27OCT17	20680.00	20976.00	USD	-1,480.00	
27JUL17	B	1	PD27OCT17	20700.00	20976.00	USD	1,380.00	
28JUL17	B	1	PD27OCT17	20575.00	20976.00	USD	2,005.00	
28JUL17	B	2	PD27OCT17	20605.00	20976.00	USD	3,710.00	
28JUL17	S	1	PD27OCT17	20640.00	20976.00	USD	-1,680.00	
28JUL17	S	1	PD27OCT17	20585.00	20976.00	USD	-1,955.00	
28JUL17	S	1	PD27OCT17	20630.00	20976.00	USD	-1,730.00	
	NET	0 AVG	BGHT 20621.25				250.00	0.00
03AUG17	S	1	PD03NOV17	20670.00	20966.00	USD	-1,480.00	
03AUG17	B	1	PD03NOV17	20650.00	20966.00	USD	1,580.00	
	NET	0 AVG	BGHT 20650.00				100.00	0.00
07AUG17	B	1	PD07NOV17	20560.00	20962.00	USD	2,010.00	
	NET	+1 AVG	BGHT 20560.00				2,010.00	0.00
08AUG17	S	1	PD08NOV17	20515.00	20960.00	USD	-2,225.00	
	NET	-1 AVG	SOLD 20515.00				-2,225.00	0.00
09AUG17	B	1	PD09NOV17	20250.00	20958.00	USD	3,540.00	
09AUG17	S	1	PD09NOV17	20265.00	20958.00	USD	-3,465.00	
	NET	0 AVG	BGHT 20250.00				75.00	0.00
10AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
10AUG17	B	1	PD10NOV17	20325.00	20956.00	USD	3,155.00	
	NET	0 AVG	BGHT 20325.00				100.00	0.00
14AUG17	S	1	PD14NOV17	20325.00	20952.00	USD	-3,135.00	
14AUG17	S	1	PD14NOV17	20345.00	20952.00	USD	-3,035.00	
14AUG17	B	1	PD14NOV17	20305.00	20952.00	USD	3,235.00	
14AUG17	B	1	PD14NOV17	20285.00	20952.00	USD	3,335.00	
14AUG17	S	1	PD14NOV17	20285.00	20952.00	USD	-3,335.00	
	NET	-1 AVG	BGHT 20295.00				-2,935.00	0.00
15AUG17	B	1	PD15NOV17	20290.00	20950.00	USD	3,300.00	
15AUG17	B	1	PD15NOV17	20070.00	20950.00	USD	4,400.00	
15AUG17	S	2	PD15NOV17	19300.00	20950.00	USD	-16,500.00	
15AUG17	B	1	PD15NOV17	19830.00	20950.00	USD	5,600.00	
	NET	+1 AVG	BGHT 20063.33				-3,200.00	0.00
21AUG17	S	1	PD21NOV17	20445.00	20941.67	USD	-2,483.35	
21AUG17	B	1	PD21NOV17	20480.00	20941.67	USD	2,308.35	
21AUG17	S	2	PD21NOV17	20495.00	20941.67	USD	-4,466.70	
	NET	-2 AVG	BGHT 20480.00				-4,641.70	0.00
22AUG17	B	1	PD22NOV17	20480.00	20939.58	USD	2,297.90	
22AUG17	B	1	PD22NOV17	20450.00	20939.58	USD	2,447.90	
	NET	+2 AVG	BGHT 20465.00				4,745.80	0.00
23AUG17	B	1	PD24NOV17	20495.00	20937.50	USD	2,212.50	
23AUG17	S	1	PD24NOV17	20525.00	20937.50	USD	-2,062.50	
	NET	0 AVG	BGHT 20495.00				150.00	0.00
31AUG17	S	2	PD30NOV17	20695.00	20929.17	USD	-2,341.70	
31AUG17	B	2	PD30NOV17	20680.00	20929.17	USD	2,491.70	
	NET	0 AVG	BGHT 20680.00				150.00	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
05SEP17	S 1 PD05DEC17	20730.00	20922.92	USD	-964.60	
05SEP17	B 1 PD05DEC17	20710.00	20922.92	USD	1,064.60	
	NET 0AVG BGHT 20710.00 SOLD 20730.00				100.00	0.00
06SEP17	S 1 PD06DEC17	20775.00	20920.83	USD	-729.15	
	NET -1AVG SOLD 20775.00				-729.15	0.00
07SEP17	B 1 PD07DEC17	20765.00	20918.75	USD	768.75	
07SEP17	B 1 PD07DEC17	20740.00	20918.75	USD	893.75	
07SEP17	S 1 PD07DEC17	20785.00	20918.75	USD	-668.75	
	NET +1AVG BGHT 20752.50 SOLD 20785.00				993.75	0.00
11SEP17	B 1 PD11DEC17	20715.00	20914.58	USD	997.90	
11SEP17	S 1 PD11DEC17	20735.00	20914.58	USD	-897.90	
	NET 0AVG BGHT 20715.00 SOLD 20735.00				100.00	0.00
13SEP17	S 1 PD13DEC17	20570.00	20910.42	USD	-1,702.10	
13SEP17	B 1 PD13DEC17	20555.00	20910.42	USD	1,777.10	
13SEP17	S 1 PD13DEC17	20615.00	20910.42	USD	-1,477.10	
	NET -1AVG BGHT 20555.00 SOLD 20592.50				-1,402.10	0.00
14SEP17	S 1 PD14DEC17	20635.00	20908.33	USD	-1,366.65	
14SEP17	B 2 PD14DEC17	20615.00	20908.33	USD	2,933.30	
14SEP17	S 1 PD14DEC17	20615.00	20908.33	USD	-1,466.65	
14SEP17	B 1 PD14DEC17	20655.00	20908.33	USD	1,266.65	
	NET +1AVG BGHT 20628.33 SOLD 20625.00				1,366.65	0.00
15SEP17	S 1 PD15DEC17	20520.00	20906.25	USD	-1,931.25	
15SEP17	B 1 PD15DEC17	20580.00	20906.25	USD	1,631.25	
15SEP17	S 1 PD15DEC17	20575.00	20906.25	USD	-1,656.25	
15SEP17	B 1 PD15DEC17	20565.00	20906.25	USD	1,706.25	
	NET 0AVG BGHT 20572.50 SOLD 20547.50				-250.00	0.00
18SEP17	S 1 PD18DEC17	20690.00	20904.17	USD	-1,070.85	
	NET -1AVG SOLD 20690.00				-1,070.85	0.00
19SEP17	B 1 PD19DEC17	20700.00	20902.08	USD	1,010.40	
	NET +1AVG BGHT 20700.00				1,010.40	0.00
22SEP17	B 1 PD22DEC17	20575.00	20895.38	USD	1,601.90	
22SEP17	S 2 PD22DEC17	20595.00	20895.38	USD	-3,003.80	
	NET -1AVG BGHT 20575.00 SOLD 20595.00				-1,401.90	0.00
25SEP17	B 1 PD27DEC17	20590.00	20893.08	USD	1,515.40	
25SEP17	S 1 PD27DEC17	20695.00	20893.08	USD	-990.40	
25SEP17	B 1 PD27DEC17	20705.00	20893.08	USD	940.40	
26SEP17	B 1 PD27DEC17	20660.00	20893.08	USD	1,165.40	
26SEP17	S 1 PD27DEC17	20700.00	20893.08	USD	-965.40	
27SEP17	B 1 PD27DEC17	20700.00	20893.08	USD	965.40	
27SEP17	S 1 PD27DEC17	20695.00	20893.08	USD	-990.40	
27SEP17	B 1 PD27DEC17	20675.00	20893.08	USD	1,090.40	
27SEP17	S 1 PD27DEC17	20685.00	20893.08	USD	-1,040.40	
	NET +1AVG BGHT 20666.00 SOLD 20693.75				1,690.40	0.00
28SEP17	S 1 PD28DEC17	20735.00	20890.77	USD	-778.85	
	NET -1AVG SOLD 20735.00				-778.85	0.00
29SEP17	B 1 PD29DEC17	20725.00	20888.46	USD	817.30	
29SEP17	S 1 PD29DEC17	20720.00	20888.46	USD	-842.30	
	NET 0AVG BGHT 20725.00 SOLD 20720.00				-25.00	0.00
02OCT17	B 1 PD02JAN18	20715.00	20886.15	USD	855.75	
02OCT17	B 1 PD02JAN18	20670.00	20886.15	USD	1,080.75	
02OCT17	S 1 PD02JAN18	20700.00	20886.15	USD	-930.75	
	NET +1AVG BGHT 20692.50 SOLD 20700.00				1,005.75	0.00
06OCT17	B 1 PD05JAN18	20605.00	20879.23	USD	1,371.15	

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06OCT17	B 1 PD05JAN18	20575.00	20879.23	USD	1,521.15	
06OCT17	S 1 PD05JAN18	20610.00	20879.23	USD	-1,346.15	
06OCT17	B 1 PD05JAN18	20595.00	20879.23	USD	1,421.15	
06OCT17	S 1 PD05JAN18	20590.00	20879.23	USD	-1,446.15	
06OCT17	S 1 PD05JAN18	20600.00	20879.23	USD	-1,396.15	
	NET 0 AVG BGHT 20591.67 SOLD 20600.00				125.00	0.00
11OCT17	B 1 PD11JAN18	20770.00	20870.00	USD	500.00	
11OCT17	S 1 PD11JAN18	20800.00	20870.00	USD	-350.00	
11OCT17	S 1 PD11JAN18	20840.00	20870.00	USD	-150.00	
11OCT17	B 1 PD11JAN18	20805.00	20870.00	USD	325.00	
	NET 0 AVG BGHT 20787.50 SOLD 20820.00				325.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	S 2 PD18OCT17	2794.50	3282.00	USD	-24,375.00	
06OCT17	B 2 PD18OCT17	3274.50	3282.00	USD	375.00	
	NET 0 AVG BGHT 3274.50 SOLD 2794.50				-24,000.00	0.00
20JUL17	B 1 PD20OCT17	2727.50	3273.00	USD	13,637.50	
20JUL17	B 1 PD20OCT17	2728.00	3273.00	USD	13,625.00	
06OCT17	S 2 PD20OCT17	3266.30	3273.00	USD	-335.00	
	NET 0 AVG BGHT 2727.75 SOLD 3266.30				26,927.50	0.00
25JUL17	B 1 PD25OCT17	2840.00	3264.00	USD	10,600.00	
25JUL17	S 1 PD25OCT17	2837.50	3264.00	USD	-10,662.50	
25JUL17	S 1 PD25OCT17	2842.00	3264.00	USD	-10,550.00	
25JUL17	B 1 PD25OCT17	2846.00	3264.00	USD	10,450.00	
	NET 0 AVG BGHT 2843.00 SOLD 2839.75				-162.50	0.00
04AUG17	S 1 PD03NOV17	2825.00	3252.89	USD	-10,697.25	
04AUG17	B 1 PD03NOV17	2814.50	3252.89	USD	10,959.75	
	NET 0 AVG BGHT 2814.50 SOLD 2825.00				262.50	0.00
29SEP17	B 1 PD29DEC17	3161.00	3223.00	USD	1,550.00	
29SEP17	S 1 PD29DEC17	3164.50	3223.00	USD	-1,462.50	
29SEP17	S 1 PD29DEC17	3168.00	3223.00	USD	-1,375.00	
	NET -1 AVG BGHT 3161.00 SOLD 3166.25				-1,287.50	0.00
02OCT17	B 1 PD02JAN18	3172.00	3222.50	USD	1,262.50	
	NET +1 AVG BGHT 3172.00				1,262.50	0.00
10OCT17	B 1 PD10JAN18	3288.00	3219.50	USD	-1,712.50	
10OCT17	S 1 PD10JAN18	3289.50	3219.50	USD	1,750.00	
	NET 0 AVG BGHT 3288.00 SOLD 3289.50				37.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	237.50
LME Copper Grade A 25	FUTURES	-217.50
LME Lead 25 tonnes. This is an	FUTURES	-2,068.00
LME Nickel 6 tonnes. This is	FUTURES	1,197.78
LME Tin 5 tonnes. This is an	FUTURES	-3,312.50
LME Zinc 25 tonnes. This is	FUTURES	3,052.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AF

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	218.25	218.25		
COPL	- Futures	USD	-205.50	-205.50		
LEAD	- Futures	USD	-2,064.50	-2,064.50		
NKL	- Futures	USD	1,246.14	1,246.14		
TIN	- Futures	USD	-3,399.30	-3,399.30		
ZINC	- Futures	USD	3,040.00	3,040.00	-1,164.91	-1,164.91

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	849.69	849.69
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	849.69	849.69
Initial Margins	-6,046.91	-6,046.91
Excess/(Shortage)	-5,197.22	-5,197.22
FOR INFORMATION ONLY		
Forward Unrealised P&L	-1,164.91	-1,164.91
Net Liquidating Value	-315.22	-315.22

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	2	PD130CT17	1901.50	2104.75	USD	-10,162.50	
13JUL17	S	3	PD130CT17	1899.00	2104.75	USD	-15,431.25	
13JUL17	S	1	PD130CT17	1930.00	2104.75	USD	-4,368.75	
13JUL17	S	1	PD130CT17	1928.50	2104.75	USD	-4,406.25	
13JUL17	S	2	PD130CT17	1927.50	2104.75	USD	-8,862.50	
13JUL17	S	2	PD130CT17	1927.00	2104.75	USD	-8,887.50	
13JUL17	S	5	PD130CT17	1932.00	2104.75	USD	-21,593.75	
13JUL17	S	3	PD130CT17	1930.00	2104.75	USD	-13,106.25	
13JUL17	S	1	PD130CT17	1928.00	2104.75	USD	-4,418.75	
13JUL17	B	1	PD130CT17	1926.50	2104.75	USD	4,456.25	
13JUL17	B	2	PD130CT17	1923.50	2104.75	USD	9,062.50	
13JUL17	B	2	PD130CT17	1923.00	2104.75	USD	9,087.50	
14JUL17	S	5	PD130CT17	1928.00	2104.75	USD	-22,093.75	
14JUL17	S	1	PD130CT17	1927.00	2104.75	USD	-4,443.75	
14JUL17	S	1	PD130CT17	1927.50	2104.75	USD	-4,431.25	
14JUL17	S	2	PD130CT17	1925.50	2104.75	USD	-8,962.50	
14JUL17	S	2	PD130CT17	1926.00	2104.75	USD	-8,937.50	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
18JUL17	B	6	PD130CT17	1929.95	2104.75	USD	26,220.00	
20JUL17	B	5	PD130CT17	1915.82	2104.75	USD	23,616.25	
24JUL17	B	14	PD130CT17	1910.51	2104.75	USD	67,984.00	
	NET	0 AVG BGHT 1917.63	SOLD 1923.97				4,914.00	0.00
17JUL17	B	3	PD170CT17	1905.00	2106.75	USD	15,131.25	
17JUL17	B	3	PD170CT17	1901.00	2106.75	USD	15,431.25	
17JUL17	B	1	PD170CT17	1914.50	2106.75	USD	4,806.25	
17JUL17	B	1	PD170CT17	1915.00	2106.75	USD	4,793.75	
17JUL17	S	3	PD170CT17	1914.00	2106.75	USD	-14,456.25	
17JUL17	B	1	PD170CT17	1914.50	2106.75	USD	4,806.25	
18JUL17	S	6	PD170CT17	1930.59	2106.75	USD	-26,424.00	
	NET	0 AVG BGHT 1906.89	SOLD 1925.06				4,088.50	0.00
18JUL17	S	3	PD180CT17	1914.00	2107.25	USD	-14,493.75	
18JUL17	S	6	PD180CT17	1916.50	2107.25	USD	-28,612.50	
18JUL17	B	1	PD180CT17	1926.00	2107.25	USD	4,531.25	
18JUL17	B	2	PD180CT17	1925.50	2107.25	USD	9,087.50	
24JUL17	B	6	PD180CT17	1911.50	2107.25	USD	29,362.50	
	NET	0 AVG BGHT 1916.22	SOLD 1915.67				-125.00	0.00
19JUL17	B	1	PD190CT17	1926.50	2107.75	USD	4,531.25	
19JUL17	B	3	PD190CT17	1928.00	2107.75	USD	13,481.25	
19JUL17	B	1	PD190CT17	1927.00	2107.75	USD	4,518.75	
20JUL17	S	5	PD190CT17	1916.80	2107.75	USD	-23,868.75	
	NET	0 AVG BGHT 1927.50	SOLD 1916.80				-1,337.50	0.00
20JUL17	B	1	PD200CT17	1928.50	2108.25	USD	4,493.75	
20JUL17	B	5	PD200CT17	1929.00	2108.25	USD	22,406.25	
20JUL17	B	6	PD200CT17	1927.50	2108.25	USD	27,112.50	
20JUL17	B	8	PD200CT17	1928.00	2108.25	USD	36,050.00	
24JUL17	S	20	PD200CT17	1911.47	2108.25	USD	-98,390.00	
	NET	0 AVG BGHT 1928.13	SOLD 1911.47				-8,327.50	0.00
26JUL17	S	1	PD260CT17	1953.50	2111.25	USD	-3,943.75	
26JUL17	B	2	PD260CT17	1940.00	2111.25	USD	8,562.50	
26JUL17	S	1	PD260CT17	1955.50	2111.25	USD	-3,893.75	
26JUL17	S	2	PD260CT17	1956.50	2111.25	USD	-7,737.50	
26JUL17	S	1	PD260CT17	1957.50	2111.25	USD	-3,843.75	
26JUL17	B	1	PD260CT17	1945.00	2111.25	USD	4,156.25	
26JUL17	B	1	PD260CT17	1942.00	2111.25	USD	4,231.25	
26JUL17	B	1	PD260CT17	1939.00	2111.25	USD	4,306.25	
	NET	0 AVG BGHT 1941.20	SOLD 1955.90				1,837.50	0.00

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27JUL17	S	1	PD27OCT17	1955.50	2111.00	USD	-3,887.50	
27JUL17	B	1	PD27OCT17	1944.50	2111.00	USD	4,162.50	
	NET	0AVG BGHT 1944.50	SOLD 1955.50				275.00	0.00
02AUG17	B	2	PD02NOV17	1923.00	2111.13	USD	9,406.50	
02AUG17	B	1	PD02NOV17	1924.00	2111.13	USD	4,678.25	
02AUG17	B	2	PD02NOV17	1923.00	2111.13	USD	9,406.50	
02AUG17	B	13	PD02NOV17	1925.00	2111.13	USD	60,492.25	
11AUG17	S	16	PD02NOV17	2041.41	2111.13	USD	-27,888.00	
15AUG17	S	2	PD02NOV17	2046.47	2111.13	USD	-3,233.00	
	NET	0AVG BGHT 1924.50	SOLD 2041.97				52,862.50	0.00
07AUG17	B	1	PD07NOV17	1918.50	2112.69	USD	4,854.75	
07AUG17	B	1	PD07NOV17	1916.00	2112.69	USD	4,917.25	
07AUG17	B	4	PD07NOV17	1916.50	2112.69	USD	19,619.00	
07AUG17	B	6	PD07NOV17	1917.00	2112.69	USD	29,353.50	
07AUG17	S	1	PD07NOV17	1922.50	2112.69	USD	-4,754.75	
07AUG17	S	3	PD07NOV17	1924.00	2112.69	USD	-14,151.75	
07AUG17	B	1	PD07NOV17	1919.00	2112.69	USD	4,842.25	
07AUG17	S	7	PD07NOV17	1942.00	2112.69	USD	-29,870.75	
07AUG17	S	5	PD07NOV17	1942.50	2112.69	USD	-21,273.75	
07AUG17	S	3	PD07NOV17	1943.00	2112.69	USD	-12,726.75	
07AUG17	S	6	PD07NOV17	1967.00	2112.69	USD	-21,853.50	
11AUG17	B	12	PD07NOV17	2042.29	2112.69	USD	21,120.00	
	NET	0AVG BGHT 1977.16	SOLD 1945.28				-19,924.50	0.00
08AUG17	B	1	PD08NOV17	1986.00	2113.00	USD	3,175.00	
08AUG17	B	1	PD08NOV17	1981.00	2113.00	USD	3,300.00	
08AUG17	S	1	PD08NOV17	1996.00	2113.00	USD	-2,925.00	
08AUG17	B	1	PD08NOV17	1998.50	2113.00	USD	2,862.50	
08AUG17	B	2	PD08NOV17	2000.00	2113.00	USD	5,650.00	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	1	PD08NOV17	2002.00	2113.00	USD	2,775.00	
08AUG17	B	1	PD08NOV17	2005.00	2113.00	USD	2,700.00	
08AUG17	B	1	PD08NOV17	2016.00	2113.00	USD	2,425.00	
08AUG17	B	8	PD08NOV17	2018.00	2113.00	USD	19,000.00	
08AUG17	B	2	PD08NOV17	2020.00	2113.00	USD	4,650.00	
08AUG17	B	1	PD08NOV17	2021.00	2113.00	USD	2,300.00	
08AUG17	B	3	PD08NOV17	2021.50	2113.00	USD	6,862.50	
08AUG17	B	1	PD08NOV17	2022.00	2113.00	USD	2,275.00	
08AUG17	S	1	PD08NOV17	2028.00	2113.00	USD	-2,125.00	
08AUG17	S	1	PD08NOV17	2029.00	2113.00	USD	-2,100.00	
08AUG17	S	1	PD08NOV17	2030.00	2113.00	USD	-2,075.00	
15AUG17	S	3	PD08NOV17	2047.64	2113.00	USD	-4,902.00	
18AUG17	S	4	PD08NOV17	2060.50	2113.00	USD	-5,250.00	
23AUG17	S	2	PD08NOV17	2094.56	2113.00	USD	-922.00	
24AUG17	S	3	PD08NOV17	2103.36	2113.00	USD	-723.00	
25AUG17	S	8	PD08NOV17	2067.34	2113.00	USD	-9,132.00	
	NET	0AVG BGHT 2011.81	SOLD 2062.74				30,558.50	0.00
09AUG17	S	1	PD09NOV17	2032.00	2113.46	USD	-2,036.50	
09AUG17	S	3	PD09NOV17	2030.00	2113.46	USD	-6,259.50	
09AUG17	B	2	PD09NOV17	2035.00	2113.46	USD	3,923.00	
09AUG17	B	2	PD09NOV17	2035.50	2113.46	USD	3,898.00	
09AUG17	B	1	PD09NOV17	2037.00	2113.46	USD	1,911.50	
09AUG17	B	1	PD09NOV17	2036.50	2113.46	USD	1,924.00	
09AUG17	S	2	PD09NOV17	2036.00	2113.46	USD	-3,873.00	
09AUG17	S	1	PD09NOV17	2040.50	2113.46	USD	-1,824.00	
09AUG17	S	1	PD09NOV17	2039.00	2113.46	USD	-1,861.50	
09AUG17	S	1	PD09NOV17	2038.50	2113.46	USD	-1,874.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
09AUG17	S	1	PD09NOV17	2036.50	2113.46	USD	-1,924.00	
11AUG17	B	4	PD09NOV17	2042.56	2113.46	USD	7,090.00	
	NET	0 AVG	BGHT 2038.47				-906.00	0.00
11AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
15AUG17	B	1	PD10NOV17	2048.23	2113.93	USD	1,642.50	
	NET	0 AVG	BGHT 2048.23				-243.25	0.00
14AUG17	S	1	PD14NOV17	2029.00	2115.79	USD	-2,169.75	
14AUG17	S	1	PD14NOV17	2033.00	2115.79	USD	-2,069.75	
14AUG17	S	2	PD14NOV17	2033.50	2115.79	USD	-4,114.50	
15AUG17	B	4	PD14NOV17	2049.01	2115.79	USD	6,678.00	
	NET	0 AVG	BGHT 2049.01				-1,676.00	0.00
15AUG17	S	2	PD15NOV17	2049.00	2116.25	USD	-3,362.50	
18AUG17	B	2	PD15NOV17	2062.45	2116.25	USD	2,690.00	
	NET	0 AVG	BGHT 2062.45				-672.50	0.00
16AUG17	B	2	PD16NOV17	2098.00	2116.56	USD	928.00	
16AUG17	B	1	PD16NOV17	2095.00	2116.56	USD	539.00	
16AUG17	B	1	PD16NOV17	2096.00	2116.56	USD	514.00	
16AUG17	B	2	PD16NOV17	2094.00	2116.56	USD	1,128.00	
08SEP17	S	6	PD16NOV17	2094.38	2116.56	USD	-3,327.00	
	NET	0 AVG	BGHT 2095.83				-218.00	0.00
17AUG17	B	2	PD17NOV17	2096.00	2116.87	USD	1,043.50	
17AUG17	S	2	PD17NOV17	2101.00	2116.87	USD	-793.50	
17AUG17	S	2	PD17NOV17	2106.00	2116.87	USD	-543.50	
17AUG17	B	1	PD17NOV17	2067.50	2116.87	USD	1,234.25	
17AUG17	S	1	PD17NOV17	2071.00	2116.87	USD	-1,146.75	
18AUG17	S	2	PD17NOV17	2071.00	2116.87	USD	-2,293.50	
18AUG17	B	2	PD17NOV17	2062.20	2116.87	USD	2,733.50	
23AUG17	B	2	PD17NOV17	2096.11	2116.87	USD	1,038.00	
	NET	0 AVG	BGHT 2082.30				1,272.00	0.00
23AUG17	S	1	PD24NOV17	2067.50	2119.03	USD	-1,288.25	
23AUG17	S	1	PD24NOV17	2068.50	2119.03	USD	-1,263.25	
23AUG17	S	1	PD24NOV17	2068.00	2119.03	USD	-1,275.75	
24AUG17	S	4	PD24NOV17	2095.00	2119.03	USD	-2,403.00	
24AUG17	S	1	PD24NOV17	2102.00	2119.03	USD	-425.75	
24AUG17	S	3	PD24NOV17	2101.50	2119.03	USD	-1,314.75	
24AUG17	B	3	PD24NOV17	2106.20	2119.03	USD	962.25	
25AUG17	B	8	PD24NOV17	2070.20	2119.03	USD	9,766.00	
	NET	0 AVG	BGHT 2080.02				2,757.50	0.00
01SEP17	B	2	PD01DEC17	2140.00	2121.19	USD	-940.50	
01SEP17	B	2	PD01DEC17	2130.00	2121.19	USD	-440.50	
08SEP17	S	4	PD01DEC17	2097.25	2121.19	USD	-2,394.00	
	NET	0 AVG	BGHT 2135.00				-3,775.00	0.00
04SEP17	S	2	PD04DEC17	2125.00	2122.12	USD	144.00	
08SEP17	B	2	PD04DEC17	2098.20	2122.12	USD	1,196.00	
	NET	0 AVG	BGHT 2098.20				1,340.00	0.00
06SEP17	S	1	PD06DEC17	2108.00	2122.74	USD	-368.50	
06SEP17	S	1	PD06DEC17	2108.50	2122.74	USD	-356.00	
08SEP17	B	2	PD06DEC17	2098.70	2122.74	USD	1,202.00	
	NET	0 AVG	BGHT 2098.70				477.50	0.00
07SEP17	S	1	PD07DEC17	2091.00	2123.04	USD	-801.00	
07SEP17	S	1	PD07DEC17	2091.50	2123.04	USD	-788.50	
07SEP17	S	2	PD07DEC17	2110.00	2123.04	USD	-652.00	
07SEP17	S	2	PD07DEC17	2109.50	2123.04	USD	-677.00	
08SEP17	B	6	PD07DEC17	2098.95	2123.04	USD	3,613.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 2098.95	SOLD 2103.58				695.00	0.00
08SEP17	S	2 PD08DEC17		2097.50	2123.35	USD	-1,292.50	
08SEP17	S	2 PD08DEC17		2090.00	2123.35	USD	-1,667.50	
13SEP17	B	4 PD08DEC17		2108.66	2123.35	USD	1,469.00	
	NET	0 AVG BGHT 2108.66	SOLD 2093.75				-1,491.00	0.00
11SEP17	B	1 PD11DEC17		2103.00	2124.28	USD	532.00	
11SEP17	B	2 PD11DEC17		2102.00	2124.28	USD	1,114.00	
11SEP17	B	3 PD11DEC17		2102.50	2124.28	USD	1,633.50	
11SEP17	B	1 PD11DEC17		2109.00	2124.28	USD	382.00	
11SEP17	B	1 PD11DEC17		2109.50	2124.28	USD	369.50	
11SEP17	B	1 PD11DEC17		2123.00	2124.28	USD	32.00	
11SEP17	B	1 PD11DEC17		2122.50	2124.28	USD	44.50	
13SEP17	S	4 PD11DEC17		2109.26	2124.28	USD	-1,502.00	
15SEP17	S	5 PD11DEC17		2085.00	2124.28	USD	-4,910.00	
20SEP17	S	1 PD11DEC17		2174.49	2124.28	USD	1,255.25	
	NET	0 AVG BGHT 2107.85	SOLD 2103.65				-1,049.25	0.00
12SEP17	B	2 PD12DEC17		2116.00	2124.59	USD	429.50	
12SEP17	B	3 PD12DEC17		2115.50	2124.59	USD	681.75	
20SEP17	S	5 PD12DEC17		2174.77	2124.59	USD	6,272.50	
	NET	0 AVG BGHT 2115.70	SOLD 2174.77				7,383.75	0.00
13SEP17	S	5 PD13DEC17		2131.00	2124.90	USD	762.50	
15SEP17	B	5 PD13DEC17		2085.70	2124.90	USD	4,900.00	
	NET	0 AVG BGHT 2085.70	SOLD 2131.00				5,662.50	0.00
14SEP17	B	1 PD14DEC17		2116.00	2125.21	USD	230.25	
14SEP17	B	3 PD14DEC17		2115.50	2125.21	USD	728.25	
14SEP17	B	1 PD14DEC17		2115.00	2125.21	USD	255.25	
20SEP17	S	5 PD14DEC17		2175.33	2125.21	USD	6,265.00	
	NET	0 AVG BGHT 2115.50	SOLD 2175.33				7,478.75	0.00
15SEP17	S	3 PD15DEC17		2092.00	2125.51	USD	-2,513.25	
15SEP17	S	2 PD15DEC17		2087.00	2125.51	USD	-1,925.50	
15SEP17	S	1 PD15DEC17		2084.50	2125.51	USD	-1,025.25	
15SEP17	B	1 PD15DEC17		2086.00	2125.51	USD	987.75	
15SEP17	S	2 PD15DEC17		2090.00	2125.51	USD	-1,775.50	
15SEP17	S	1 PD15DEC17		2090.50	2125.51	USD	-875.25	
15SEP17	S	1 PD15DEC17		2096.00	2125.51	USD	-737.75	
15SEP17	S	2 PD15DEC17		2095.50	2125.51	USD	-1,500.50	
20SEP17	B	11 PD15DEC17		2175.81	2125.51	USD	-13,832.50	
	NET	0 AVG BGHT 2168.33	SOLD 2091.00				-23,197.75	0.00
18SEP17	B	1 PD18DEC17		2092.00	2126.44	USD	861.00	
18SEP17	B	2 PD18DEC17		2091.50	2126.44	USD	1,747.00	
18SEP17	B	1 PD18DEC17		2092.50	2126.44	USD	848.50	
20SEP17	S	4 PD18DEC17		2176.44	2126.44	USD	5,000.00	
	NET	0 AVG BGHT 2091.88	SOLD 2176.44				8,456.50	0.00
19SEP17	S	1 PD19DEC17		2127.00	2126.75	USD	6.25	
19SEP17	S	3 PD19DEC17		2126.50	2126.75	USD	-18.75	
19SEP17	S	1 PD19DEC17		2124.00	2126.75	USD	-68.75	
19SEP17	B	1 PD19DEC17		2124.00	2126.75	USD	68.75	
20SEP17	B	4 PD19DEC17		2176.92	2126.75	USD	-5,017.00	
	NET	0 AVG BGHT 2166.34	SOLD 2126.10				-5,029.50	0.00
20SEP17	B	3 PD20DEC17		2127.00	2126.75	USD	-18.75	
20SEP17	B	2 PD20DEC17		2127.50	2126.75	USD	-37.50	
20SEP17	B	1 PD20DEC17		2149.00	2126.75	USD	-556.25	
20SEP17	B	1 PD20DEC17		2150.00	2126.75	USD	-581.25	
20SEP17	B	1 PD20DEC17		2153.00	2126.75	USD	-656.25	

OPEN POSITION STATEMENT



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SAP096AG

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
20SEP17	B	1	PD20DEC17	2157.00	2126.75	USD	-756.25	
20SEP17	B	1	PD20DEC17	2158.50	2126.75	USD	-793.75	
20SEP17	B	3	PD20DEC17	2163.00	2126.75	USD	-2,718.75	
20SEP17	B	1	PD20DEC17	2163.50	2126.75	USD	-918.75	
20SEP17	B	1	PD20DEC17	2164.00	2126.75	USD	-931.25	
20SEP17	B	1	PD20DEC17	2165.00	2126.75	USD	-956.25	
20SEP17	S	2	PD20DEC17	2163.00	2126.75	USD	1,812.50	
20SEP17	S	4	PD20DEC17	2162.50	2126.75	USD	3,575.00	
22SEP17	S	10	PD20DEC17	2158.75	2126.75	USD	8,000.00	
	NET	0 AVG BGHT 2149.06	SOLD 2160.22				4,462.50	0.00
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	S	4	PD21DEC17	2177.00	2126.75	USD	5,025.00	
21SEP17	S	1	PD21DEC17	2180.50	2126.75	USD	1,343.75	
21SEP17	S	2	PD21DEC17	2177.50	2126.75	USD	2,537.50	
21SEP17	S	1	PD21DEC17	2178.00	2126.75	USD	1,281.25	
21SEP17	B	1	PD21DEC17	2179.00	2126.75	USD	-1,306.25	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	S	1	PD21DEC17	2178.50	2126.75	USD	1,293.75	
21SEP17	B	2	PD21DEC17	2172.00	2126.75	USD	-2,262.50	
21SEP17	B	1	PD21DEC17	2172.50	2126.75	USD	-1,143.75	
21SEP17	S	1	PD21DEC17	2168.50	2126.75	USD	1,043.75	
21SEP17	S	1	PD21DEC17	2165.50	2126.75	USD	968.75	
21SEP17	S	1	PD21DEC17	2165.00	2126.75	USD	956.25	
21SEP17	S	2	PD21DEC17	2164.00	2126.75	USD	1,862.50	
22SEP17	B	10	PD21DEC17	2157.95	2126.75	USD	-7,800.00	
	NET	0 AVG BGHT 2163.80	SOLD 2173.57				3,662.50	0.00
27SEP17	S	2	PD27DEC17	2139.00	2127.29	USD	585.50	
28SEP17	B	2	PD27DEC17	2130.72	2127.29	USD	-171.50	
	NET	0 AVG BGHT 2130.72	SOLD 2139.00				414.00	0.00
28SEP17	B	1	PD28DEC17	2128.00	2127.39	USD	-15.25	
28SEP17	B	1	PD28DEC17	2121.00	2127.39	USD	159.75	
28SEP17	S	2	PD28DEC17	2130.80	2127.39	USD	170.50	
	NET	0 AVG BGHT 2124.50	SOLD 2130.80				315.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD13OCT17	5922.00	6756.00	USD	20,850.00	
13JUL17	B	1	PD13OCT17	5876.50	6756.00	USD	21,987.50	
13JUL17	B	1	PD13OCT17	5872.50	6756.00	USD	22,087.50	
14JUL17	B	1	PD13OCT17	5882.50	6756.00	USD	21,837.50	
14JUL17	B	1	PD13OCT17	5883.50	6756.00	USD	21,812.50	
14JUL17	B	1	PD13OCT17	5886.50	6756.00	USD	21,737.50	
14JUL17	S	1	PD13OCT17	5886.50	6756.00	USD	-21,737.50	
14JUL17	B	1	PD13OCT17	5889.00	6756.00	USD	21,675.00	
14JUL17	B	1	PD13OCT17	5891.00	6756.00	USD	21,625.00	
14JUL17	S	1	PD13OCT17	5929.00	6756.00	USD	-20,675.00	
14JUL17	S	1	PD13OCT17	5930.50	6756.00	USD	-20,637.50	
18JUL17	S	5	PD13OCT17	6004.93	6756.00	USD	-93,883.75	
	NET	0 AVG BGHT 5887.94	SOLD 5971.33				16,678.75	0.00
17JUL17	B	3	PD17OCT17	5950.50	6759.20	USD	60,652.50	
17JUL17	S	3	PD17OCT17	5981.00	6759.20	USD	-58,365.00	
17JUL17	S	1	PD17OCT17	5921.50	6759.20	USD	-20,942.50	
17JUL17	S	1	PD17OCT17	5981.50	6759.20	USD	-19,442.50	
17JUL17	S	1	PD17OCT17	5980.00	6759.20	USD	-19,480.00	
17JUL17	S	2	PD17OCT17	5917.50	6759.20	USD	-42,085.00	
17JUL17	S	1	PD17OCT17	5964.50	6759.20	USD	-19,867.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	6019.00	6759.20	USD	-18,505.00	
18JUL17	B	5	PD17OCT17	6006.79	6759.20	USD	94,051.25	
20JUL17	B	2	PD17OCT17	5960.08	6759.20	USD	39,956.00	
	NET	0 AVG BGHT 5980.56	SOLD 5964.45				-4,027.75	0.00
19JUL17	B	1	PD19OCT17	6000.00	6760.88	USD	19,022.00	
19JUL17	B	1	PD19OCT17	5993.00	6760.88	USD	19,197.00	
19JUL17	B	1	PD19OCT17	5995.50	6760.88	USD	19,134.50	
19JUL17	B	1	PD19OCT17	5997.50	6760.88	USD	19,084.50	
20JUL17	S	2	PD19OCT17	5959.30	6760.88	USD	-40,079.00	
24JUL17	S	2	PD19OCT17	6026.50	6760.88	USD	-36,719.00	
	NET	0 AVG BGHT 5996.50	SOLD 5992.90				-360.00	0.00
21JUL17	S	2	PD20OCT17	6015.00	6761.75	USD	-37,337.50	
24JUL17	B	2	PD20OCT17	6025.70	6761.75	USD	36,802.50	
	NET	0 AVG BGHT 6025.70	SOLD 6015.00				-535.00	0.00
24JUL17	S	2	PD24OCT17	6024.50	6765.25	USD	-37,037.50	
11AUG17	B	2	PD24OCT17	6404.52	6765.25	USD	18,036.50	
	NET	0 AVG BGHT 6404.52	SOLD 6024.50				-19,001.00	0.00
25JUL17	S	1	PD25OCT17	6050.00	6765.68	USD	-17,892.00	
25JUL17	S	1	PD25OCT17	6232.00	6765.68	USD	-13,342.00	
25JUL17	S	1	PD25OCT17	6227.50	6765.68	USD	-13,454.50	
25JUL17	S	1	PD25OCT17	6279.50	6765.68	USD	-12,154.50	
25JUL17	B	1	PD25OCT17	6265.00	6765.68	USD	12,517.00	
11AUG17	B	3	PD25OCT17	6404.90	6765.68	USD	27,058.50	
	NET	0 AVG BGHT 6369.93	SOLD 6197.25				-17,267.50	0.00
26JUL17	S	1	PD26OCT17	6366.00	6766.11	USD	-10,002.75	
26JUL17	B	1	PD26OCT17	6296.50	6766.11	USD	11,740.25	
	NET	0 AVG BGHT 6296.50	SOLD 6366.00				1,737.50	0.00
07AUG17	B	1	PD07NOV17	6425.50	6774.73	USD	8,730.75	
11AUG17	S	1	PD07NOV17	6409.66	6774.73	USD	-9,126.75	
	NET	0 AVG BGHT 6425.50	SOLD 6409.66				-396.00	0.00
08AUG17	B	1	PD08NOV17	6436.00	6775.65	USD	8,491.25	
08AUG17	B	1	PD08NOV17	6409.50	6775.65	USD	9,153.75	
08AUG17	B	1	PD08NOV17	6418.00	6775.65	USD	8,941.25	
08AUG17	B	1	PD08NOV17	6432.00	6775.65	USD	8,591.25	
11AUG17	S	4	PD08NOV17	6410.04	6775.65	USD	-36,561.00	
	NET	0 AVG BGHT 6423.88	SOLD 6410.04				-1,383.50	0.00
09AUG17	B	4	PD09NOV17	6486.50	6776.58	USD	29,008.00	
09AUG17	B	1	PD09NOV17	6509.50	6776.58	USD	6,677.00	
09AUG17	S	1	PD09NOV17	6439.50	6776.58	USD	-8,427.00	
15AUG17	S	4	PD09NOV17	6377.57	6776.58	USD	-39,901.00	
	NET	0 AVG BGHT 6491.10	SOLD 6389.96				-12,643.00	0.00
10AUG17	B	1	PD10NOV17	6458.50	6777.50	USD	7,975.00	
10AUG17	S	1	PD10NOV17	6458.00	6777.50	USD	-7,987.50	
11AUG17	B	1	PD10NOV17	6389.00	6777.50	USD	9,712.50	
11AUG17	B	1	PD10NOV17	6387.50	6777.50	USD	9,750.00	
11AUG17	S	1	PD10NOV17	6361.00	6777.50	USD	-10,412.50	
11AUG17	S	1	PD10NOV17	6360.50	6777.50	USD	-10,425.00	
11AUG17	S	1	PD10NOV17	6360.00	6777.50	USD	-10,437.50	
11AUG17	S	1	PD10NOV17	6361.50	6777.50	USD	-10,400.00	
11AUG17	S	1	PD10NOV17	6350.00	6777.50	USD	-10,687.50	
11AUG17	S	1	PD10NOV17	6346.50	6777.50	USD	-10,775.00	
11AUG17	S	1	PD10NOV17	6348.50	6777.50	USD	-10,725.00	
11AUG17	S	2	PD10NOV17	6363.50	6777.50	USD	-20,700.00	
11AUG17	S	1	PD10NOV17	6369.00	6777.50	USD	-10,212.50	
11AUG17	S	1	PD10NOV17	6365.00	6777.50	USD	-10,312.50	

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11AUG17	S	1	PD10NOV17	6366.00	6777.50	USD	-10,287.50	
11AUG17	S	1	PD10NOV17	6364.00	6777.50	USD	-10,337.50	
11AUG17	S	1	PD10NOV17	6351.50	6777.50	USD	-10,650.00	
11AUG17	S	1	PD10NOV17	6421.00	6777.50	USD	-8,912.50	
11AUG17	S	1	PD10NOV17	6422.00	6777.50	USD	-8,887.50	
11AUG17	S	1	PD10NOV17	6415.50	6777.50	USD	-9,050.00	
15AUG17	B	4	PD10NOV17	6378.20	6777.50	USD	39,930.00	
18AUG17	B	10	PD10NOV17	6486.75	6777.50	USD	72,687.50	
23AUG17	B	1	PD10NOV17	6564.00	6777.50	USD	5,337.50	
	NET	0 AVG BGHT 6454.41	SOLD 6374.83				-35,807.50	0.00
14AUG17	S	1	PD14NOV17	6405.00	6776.83	USD	-9,295.75	
14AUG17	S	1	PD14NOV17	6412.50	6776.83	USD	-9,108.25	
14AUG17	S	3	PD14NOV17	6413.00	6776.83	USD	-27,287.25	
14AUG17	S	1	PD14NOV17	6420.50	6776.83	USD	-8,908.25	
14AUG17	S	1	PD14NOV17	6420.00	6776.83	USD	-8,920.75	
14AUG17	S	2	PD14NOV17	6421.00	6776.83	USD	-17,791.50	
14AUG17	S	1	PD14NOV17	6411.00	6776.83	USD	-9,145.75	
14AUG17	S	2	PD14NOV17	6402.50	6776.83	USD	-18,716.50	
14AUG17	S	1	PD14NOV17	6404.00	6776.83	USD	-9,320.75	
14AUG17	S	2	PD14NOV17	6416.00	6776.83	USD	-18,041.50	
23AUG17	B	2	PD14NOV17	6565.50	6776.83	USD	10,566.50	
24AUG17	B	13	PD14NOV17	6688.50	6776.83	USD	28,707.25	
	NET	0 AVG BGHT 6672.10	SOLD 6412.73				-97,262.50	0.00
15AUG17	B	1	PD15NOV17	6417.50	6776.50	USD	8,975.00	
15AUG17	B	1	PD15NOV17	6414.00	6776.50	USD	9,062.50	
15AUG17	B	1	PD15NOV17	6414.50	6776.50	USD	9,050.00	
15AUG17	S	1	PD15NOV17	6380.00	6776.50	USD	-9,912.50	
18AUG17	S	2	PD15NOV17	6487.80	6776.50	USD	-14,435.00	
	NET	0 AVG BGHT 6415.33	SOLD 6451.87				2,740.00	0.00
16AUG17	B	1	PD16NOV17	6384.50	6776.25	USD	9,793.75	
16AUG17	S	1	PD16NOV17	6441.50	6776.25	USD	-8,368.75	
16AUG17	B	1	PD16NOV17	6529.00	6776.25	USD	6,181.25	
18AUG17	S	1	PD16NOV17	6485.80	6776.25	USD	-7,261.25	
	NET	0 AVG BGHT 6456.75	SOLD 6463.65				345.00	0.00
17AUG17	B	1	PD17NOV17	6574.00	6776.78	USD	5,069.50	
17AUG17	B	1	PD17NOV17	6575.00	6776.78	USD	5,044.50	
17AUG17	B	1	PD17NOV17	6574.50	6776.78	USD	5,057.00	
17AUG17	B	2	PD17NOV17	6494.50	6776.78	USD	14,114.00	
17AUG17	B	1	PD17NOV17	6484.00	6776.78	USD	7,319.50	
17AUG17	B	1	PD17NOV17	6474.00	6776.78	USD	7,569.50	
18AUG17	B	1	PD17NOV17	6474.00	6776.78	USD	7,569.50	
18AUG17	B	2	PD17NOV17	6461.50	6776.78	USD	15,764.00	
18AUG17	S	7	PD17NOV17	6485.80	6776.78	USD	-50,921.50	
23AUG17	S	3	PD17NOV17	6563.49	6776.78	USD	-15,996.75	
	NET	0 AVG BGHT 6506.75	SOLD 6509.11				589.25	0.00
21AUG17	B	1	PD21NOV17	6518.00	6778.92	USD	6,523.00	
21AUG17	B	1	PD21NOV17	6518.50	6778.92	USD	6,510.50	
21AUG17	B	2	PD21NOV17	6535.00	6778.92	USD	12,196.00	
21AUG17	B	2	PD21NOV17	6534.00	6778.92	USD	12,246.00	
21AUG17	B	1	PD21NOV17	6543.00	6778.92	USD	5,898.00	
21AUG17	B	1	PD21NOV17	6555.50	6778.92	USD	5,585.50	
21AUG17	B	1	PD21NOV17	6558.00	6778.92	USD	5,523.00	
21AUG17	B	1	PD21NOV17	6571.00	6778.92	USD	5,198.00	
21AUG17	B	1	PD21NOV17	6589.00	6778.92	USD	4,748.00	
21AUG17	B	1	PD21NOV17	6591.50	6778.92	USD	4,685.50	
21AUG17	B	1	PD21NOV17	6582.50	6778.92	USD	4,910.50	

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21AUG17	B	3	PD21NOV17	6563.00	6778.92	USD	16,194.00	
21AUG17	B	1	PD21NOV17	6561.50	6778.92	USD	5,435.50	
21AUG17	B	1	PD21NOV17	6562.50	6778.92	USD	5,410.50	
21AUG17	B	1	PD21NOV17	6564.50	6778.92	USD	5,360.50	
21AUG17	B	2	PD21NOV17	6567.50	6778.92	USD	10,571.00	
21AUG17	B	1	PD21NOV17	6569.50	6778.92	USD	5,235.50	
21AUG17	B	1	PD21NOV17	6590.50	6778.92	USD	4,710.50	
21AUG17	B	1	PD21NOV17	6591.00	6778.92	USD	4,698.00	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	B	1	PD21NOV17	6567.00	6778.92	USD	5,298.00	
21AUG17	B	2	PD21NOV17	6565.50	6778.92	USD	10,671.00	
21AUG17	B	2	PD21NOV17	6569.00	6778.92	USD	10,496.00	
21AUG17	B	2	PD21NOV17	6568.50	6778.92	USD	10,521.00	
21AUG17	B	1	PD21NOV17	6599.00	6778.92	USD	4,498.00	
21AUG17	B	1	PD21NOV17	6619.50	6778.92	USD	3,985.50	
21AUG17	B	1	PD21NOV17	6622.50	6778.92	USD	3,910.50	
21AUG17	S	1	PD21NOV17	6578.50	6778.92	USD	-5,010.50	
24AUG17	S	13	PD21NOV17	6687.24	6778.92	USD	-29,796.00	
25AUG17	S	16	PD21NOV17	6665.63	6778.92	USD	-45,316.00	
04SEP17	S	5	PD21NOV17	6911.92	6778.92	USD	16,625.00	
	NET	0AVG BGHT 6566.50	SOLD 6706.35				122,370.00	0.00
22AUG17	B	1	PD22NOV17	6591.50	6779.45	USD	4,698.75	
22AUG17	B	1	PD22NOV17	6617.00	6779.45	USD	4,061.25	
22AUG17	B	1	PD22NOV17	6646.00	6779.45	USD	3,336.25	
22AUG17	S	1	PD22NOV17	6607.50	6779.45	USD	-4,298.75	
22AUG17	S	1	PD22NOV17	6611.50	6779.45	USD	-4,198.75	
04SEP17	S	1	PD22NOV17	6912.25	6779.45	USD	3,320.00	
	NET	0AVG BGHT 6618.17	SOLD 6710.42				6,918.75	0.00
24AUG17	B	1	PD24NOV17	6613.50	6780.52	USD	4,175.50	
24AUG17	S	1	PD24NOV17	6608.00	6780.52	USD	-4,313.00	
24AUG17	B	1	PD24NOV17	6628.50	6780.52	USD	3,800.50	
24AUG17	B	1	PD24NOV17	6635.50	6780.52	USD	3,625.50	
24AUG17	B	1	PD24NOV17	6625.00	6780.52	USD	3,888.00	
24AUG17	S	1	PD24NOV17	6602.50	6780.52	USD	-4,450.50	
24AUG17	S	1	PD24NOV17	6615.00	6780.52	USD	-4,138.00	
24AUG17	S	1	PD24NOV17	6621.50	6780.52	USD	-3,975.50	
24AUG17	S	1	PD24NOV17	6629.50	6780.52	USD	-3,775.50	
24AUG17	S	1	PD24NOV17	6631.00	6780.52	USD	-3,738.00	
24AUG17	S	1	PD24NOV17	6665.50	6780.52	USD	-2,875.50	
24AUG17	S	1	PD24NOV17	6683.00	6780.52	USD	-2,438.00	
24AUG17	S	1	PD24NOV17	6676.50	6780.52	USD	-2,600.50	
24AUG17	S	1	PD24NOV17	6682.50	6780.52	USD	-2,450.50	
24AUG17	S	1	PD24NOV17	6680.00	6780.52	USD	-2,513.00	
24AUG17	S	1	PD24NOV17	6699.00	6780.52	USD	-2,038.00	
24AUG17	S	1	PD24NOV17	6624.50	6780.52	USD	-3,900.50	
24AUG17	S	1	PD24NOV17	6708.50	6780.52	USD	-1,800.50	
24AUG17	S	1	PD24NOV17	6710.00	6780.52	USD	-1,763.00	
24AUG17	S	1	PD24NOV17	6709.00	6780.52	USD	-1,788.00	
24AUG17	S	1	PD24NOV17	6713.50	6780.52	USD	-1,675.50	
24AUG17	S	1	PD24NOV17	6697.50	6780.52	USD	-2,075.50	
24AUG17	S	1	PD24NOV17	6696.50	6780.52	USD	-2,100.50	
24AUG17	S	1	PD24NOV17	6698.00	6780.52	USD	-2,063.00	
25AUG17	S	1	PD24NOV17	6705.50	6780.52	USD	-1,875.50	
25AUG17	S	1	PD24NOV17	6701.50	6780.52	USD	-1,975.50	
25AUG17	S	1	PD24NOV17	6730.50	6780.52	USD	-1,250.50	
25AUG17	S	1	PD24NOV17	6725.00	6780.52	USD	-1,388.00	
25AUG17	S	1	PD24NOV17	6724.00	6780.52	USD	-1,413.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	16	PD24NOV17	6666.20	6780.52	USD	45,728.00	
04SEP17	B	5	PD24NOV17	6913.24	6780.52	USD	-16,590.00	
	NET	0AVG	BGHT 6709.12		SOLD 6677.52		-19,747.50	0.00
29AUG17	S	1	PD29NOV17	6810.50	6783.18	USD	683.00	
29AUG17	S	1	PD29NOV17	6816.00	6783.18	USD	820.50	
29AUG17	S	1	PD29NOV17	6804.50	6783.18	USD	533.00	
29AUG17	S	1	PD29NOV17	6830.00	6783.18	USD	1,170.50	
29AUG17	S	1	PD29NOV17	6841.00	6783.18	USD	1,445.50	
04SEP17	B	1	PD29NOV17	6915.22	6783.18	USD	-3,301.00	
04SEP17	B	4	PD29NOV17	6915.02	6783.18	USD	-13,184.00	
	NET	0AVG	BGHT 6915.06		SOLD 6820.40		-11,832.50	0.00
30AUG17	B	1	PD30NOV17	6815.00	6783.72	USD	-782.00	
31AUG17	S	1	PD30NOV17	6804.50	6783.72	USD	519.50	
31AUG17	B	1	PD30NOV17	6805.50	6783.72	USD	-544.50	
04SEP17	S	1	PD30NOV17	6915.22	6783.72	USD	3,287.50	
	NET	0AVG	BGHT 6810.25		SOLD 6859.86		2,480.50	0.00
01SEP17	B	1	PD01DEC17	6813.50	6784.25	USD	-731.25	
01SEP17	B	1	PD01DEC17	6812.50	6784.25	USD	-706.25	
01SEP17	B	1	PD01DEC17	6812.00	6784.25	USD	-693.75	
04SEP17	S	3	PD01DEC17	6915.61	6784.25	USD	9,852.00	
	NET	0AVG	BGHT 6812.67		SOLD 6915.61		7,720.75	0.00
04SEP17	B	1	PD04DEC17	6901.50	6785.75	USD	-2,893.75	
04SEP17	B	1	PD04DEC17	6899.50	6785.75	USD	-2,843.75	
04SEP17	B	1	PD04DEC17	6902.00	6785.75	USD	-2,906.25	
04SEP17	B	1	PD04DEC17	6897.50	6785.75	USD	-2,793.75	
04SEP17	B	3	PD04DEC17	6907.00	6785.75	USD	-9,093.75	
04SEP17	B	2	PD04DEC17	6900.00	6785.75	USD	-5,712.50	
04SEP17	B	4	PD04DEC17	6898.50	6785.75	USD	-11,275.00	
04SEP17	B	1	PD04DEC17	6894.50	6785.75	USD	-2,718.75	
04SEP17	B	1	PD04DEC17	6895.00	6785.75	USD	-2,731.25	
04SEP17	B	1	PD04DEC17	6912.50	6785.75	USD	-3,168.75	
08SEP17	S	16	PD04DEC17	6692.01	6785.75	USD	-37,496.00	
	NET	0AVG	BGHT 6901.09		SOLD 6692.01		-83,633.50	0.00
05SEP17	S	1	PD05DEC17	6927.50	6786.25	USD	3,531.25	
05SEP17	B	1	PD05DEC17	6937.50	6786.25	USD	-3,781.25	
05SEP17	B	2	PD05DEC17	6938.50	6786.25	USD	-7,612.50	
05SEP17	S	3	PD05DEC17	6932.00	6786.25	USD	10,931.25	
05SEP17	S	2	PD05DEC17	6932.50	6786.25	USD	7,312.50	
05SEP17	S	1	PD05DEC17	6939.50	6786.25	USD	3,831.25	
05SEP17	S	1	PD05DEC17	6943.50	6786.25	USD	3,931.25	
05SEP17	B	1	PD05DEC17	6955.00	6786.25	USD	-4,218.75	
05SEP17	B	1	PD05DEC17	6955.50	6786.25	USD	-4,231.25	
05SEP17	B	1	PD05DEC17	6958.00	6786.25	USD	-4,293.75	
05SEP17	B	2	PD05DEC17	6959.00	6786.25	USD	-8,637.50	
05SEP17	B	2	PD05DEC17	6957.00	6786.25	USD	-8,537.50	
05SEP17	B	1	PD05DEC17	6917.00	6786.25	USD	-3,268.75	
05SEP17	B	1	PD05DEC17	6905.50	6786.25	USD	-2,981.25	
05SEP17	B	1	PD05DEC17	6898.50	6786.25	USD	-2,806.25	
05SEP17	B	1	PD05DEC17	6885.00	6786.25	USD	-2,468.75	
05SEP17	B	2	PD05DEC17	6886.00	6786.25	USD	-4,987.50	
05SEP17	B	1	PD05DEC17	6836.00	6786.25	USD	-1,243.75	
08SEP17	S	5	PD05DEC17	6692.50	6786.25	USD	-11,718.75	
13SEP17	S	4	PD05DEC17	6550.17	6786.25	USD	-23,608.00	
	NET	0AVG	BGHT 6925.24		SOLD 6772.63		-64,858.00	0.00
06SEP17	B	1	PD06DEC17	6900.00	6786.75	USD	-2,831.25	
06SEP17	S	1	PD06DEC17	6904.00	6786.75	USD	2,931.25	

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06SEP17	S	1	PD06DEC17	6906.00	6786.75	USD	2,981.25	
06SEP17	S	1	PD06DEC17	6908.00	6786.75	USD	3,031.25	
06SEP17	S	3	PD06DEC17	6909.00	6786.75	USD	9,168.75	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	S	1	PD06DEC17	6913.00	6786.75	USD	3,156.25	
06SEP17	S	2	PD06DEC17	6909.50	6786.75	USD	6,137.50	
06SEP17	S	1	PD06DEC17	6911.50	6786.75	USD	3,118.75	
06SEP17	S	2	PD06DEC17	6912.00	6786.75	USD	6,262.50	
06SEP17	S	3	PD06DEC17	6910.50	6786.75	USD	9,281.25	
06SEP17	S	1	PD06DEC17	6908.50	6786.75	USD	3,043.75	
06SEP17	S	2	PD06DEC17	6910.00	6786.75	USD	6,162.50	
06SEP17	B	1	PD06DEC17	6895.00	6786.75	USD	-2,706.25	
06SEP17	B	3	PD06DEC17	6894.50	6786.75	USD	-8,081.25	
06SEP17	B	1	PD06DEC17	6897.50	6786.75	USD	-2,768.75	
06SEP17	B	2	PD06DEC17	6897.00	6786.75	USD	-5,512.50	
06SEP17	B	2	PD06DEC17	6899.00	6786.75	USD	-5,612.50	
06SEP17	B	1	PD06DEC17	6897.50	6786.75	USD	-2,768.75	
06SEP17	B	1	PD06DEC17	6897.00	6786.75	USD	-2,756.25	
08SEP17	B	7	PD06DEC17	6692.87	6786.75	USD	16,429.00	
	NET	0 AVG BGHT 6821.72	SOLD 6909.66				41,772.75	0.00
07SEP17	S	1	PD07DEC17	6867.00	6787.23	USD	1,994.25	
07SEP17	S	1	PD07DEC17	6868.00	6787.23	USD	2,019.25	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	1	PD07DEC17	6870.50	6787.23	USD	2,081.75	
07SEP17	S	1	PD07DEC17	6869.00	6787.23	USD	2,044.25	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	2	PD07DEC17	6879.00	6787.23	USD	4,588.50	
07SEP17	S	1	PD07DEC17	6859.00	6787.23	USD	1,794.25	
07SEP17	B	1	PD07DEC17	6895.00	6787.23	USD	-2,694.25	
07SEP17	B	1	PD07DEC17	6907.50	6787.23	USD	-3,006.75	
07SEP17	B	1	PD07DEC17	6905.50	6787.23	USD	-2,956.75	
07SEP17	B	1	PD07DEC17	6906.00	6787.23	USD	-2,969.25	
07SEP17	B	1	PD07DEC17	6904.00	6787.23	USD	-2,919.25	
07SEP17	B	1	PD07DEC17	6886.50	6787.23	USD	-2,481.75	
07SEP17	S	1	PD07DEC17	6883.00	6787.23	USD	2,394.25	
07SEP17	S	2	PD07DEC17	6880.00	6787.23	USD	4,638.50	
07SEP17	S	1	PD07DEC17	6876.00	6787.23	USD	2,219.25	
07SEP17	S	1	PD07DEC17	6885.00	6787.23	USD	2,444.25	
07SEP17	S	2	PD07DEC17	6881.00	6787.23	USD	4,688.50	
07SEP17	S	1	PD07DEC17	6884.00	6787.23	USD	2,419.25	
07SEP17	S	1	PD07DEC17	6884.50	6787.23	USD	2,431.75	
07SEP17	S	1	PD07DEC17	6901.50	6787.23	USD	2,856.75	
08SEP17	B	14	PD07DEC17	6693.03	6787.23	USD	32,970.00	
13SEP17	S	1	PD07DEC17	6551.03	6787.23	USD	-5,905.00	
	NET	0 AVG BGHT 6755.35	SOLD 6861.13				52,890.25	0.00
08SEP17	S	1	PD08DEC17	6899.50	6787.71	USD	2,794.75	
08SEP17	S	1	PD08DEC17	6895.00	6787.71	USD	2,682.25	
08SEP17	S	1	PD08DEC17	6895.50	6787.71	USD	2,694.75	
08SEP17	S	3	PD08DEC17	6898.00	6787.71	USD	8,271.75	
08SEP17	S	1	PD08DEC17	6887.00	6787.71	USD	2,482.25	
08SEP17	S	1	PD08DEC17	6875.00	6787.71	USD	2,182.25	
08SEP17	S	1	PD08DEC17	6865.00	6787.71	USD	1,932.25	
08SEP17	S	1	PD08DEC17	6845.50	6787.71	USD	1,444.75	
08SEP17	S	2	PD08DEC17	6821.00	6787.71	USD	1,664.50	
08SEP17	S	2	PD08DEC17	6823.50	6787.71	USD	1,789.50	
08SEP17	S	1	PD08DEC17	6870.00	6787.71	USD	2,057.25	
08SEP17	S	1	PD08DEC17	6798.50	6787.71	USD	269.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6800.50	6787.71	USD	319.75	
08SEP17	S	1	PD08DEC17	6802.50	6787.71	USD	369.75	
08SEP17	S	1	PD08DEC17	6750.00	6787.71	USD	-942.75	
08SEP17	S	1	PD08DEC17	6739.00	6787.71	USD	-1,217.75	
08SEP17	B	1	PD08DEC17	6739.00	6787.71	USD	1,217.75	
08SEP17	B	1	PD08DEC17	6740.50	6787.71	USD	1,180.25	
08SEP17	B	1	PD08DEC17	6729.50	6787.71	USD	1,455.25	
08SEP17	S	1	PD08DEC17	6706.00	6787.71	USD	-2,042.75	
08SEP17	S	1	PD08DEC17	6701.00	6787.71	USD	-2,167.75	
08SEP17	S	1	PD08DEC17	6693.00	6787.71	USD	-2,367.75	
08SEP17	B	3	PD08DEC17	6684.50	6787.71	USD	7,740.75	
08SEP17	B	1	PD08DEC17	6688.00	6787.71	USD	2,492.75	
13SEP17	B	5	PD08DEC17	6551.66	6787.71	USD	29,506.25	
13SEP17	B	2	PD08DEC17	6551.46	6787.71	USD	11,812.50	
15SEP17	B	9	PD08DEC17	6504.10	6787.71	USD	63,812.25	
	NET	0AVG BGHT 6580.37	SOLD 6826.35				141,434.50	0.00
11SEP17	B	1	PD11DEC17	6745.50	6789.15	USD	1,091.25	
11SEP17	B	1	PD11DEC17	6750.00	6789.15	USD	978.75	
13SEP17	S	2	PD11DEC17	6552.55	6789.15	USD	-11,830.00	
	NET	0AVG BGHT 6747.75	SOLD 6552.55				-9,760.00	0.00
12SEP17	B	3	PD12DEC17	6654.00	6789.63	USD	10,172.25	
12SEP17	B	2	PD12DEC17	6658.50	6789.63	USD	6,556.50	
12SEP17	B	2	PD12DEC17	6659.00	6789.63	USD	6,531.50	
12SEP17	B	1	PD12DEC17	6659.50	6789.63	USD	3,253.25	
12SEP17	B	1	PD12DEC17	6670.50	6789.63	USD	2,978.25	
12SEP17	B	1	PD12DEC17	6655.00	6789.63	USD	3,365.75	
12SEP17	B	1	PD12DEC17	6668.00	6789.63	USD	3,040.75	
12SEP17	B	4	PD12DEC17	6667.00	6789.63	USD	12,263.00	
12SEP17	B	2	PD12DEC17	6667.50	6789.63	USD	6,106.50	
12SEP17	B	2	PD12DEC17	6666.50	6789.63	USD	6,156.50	
15SEP17	S	9	PD12DEC17	6505.56	6789.63	USD	-63,915.75	
20SEP17	S	10	PD12DEC17	6524.06	6789.63	USD	-66,392.50	
	NET	0AVG BGHT 6662.42	SOLD 6515.30				-69,884.00	0.00
13SEP17	B	1	PD13DEC17	6562.00	6790.10	USD	5,702.50	
13SEP17	B	1	PD13DEC17	6563.00	6790.10	USD	5,677.50	
13SEP17	B	1	PD13DEC17	6562.50	6790.10	USD	5,690.00	
13SEP17	B	1	PD13DEC17	6563.50	6790.10	USD	5,665.00	
13SEP17	B	1	PD13DEC17	6565.00	6790.10	USD	5,627.50	
13SEP17	B	3	PD13DEC17	6566.50	6790.10	USD	16,770.00	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	1	PD13DEC17	6566.50	6790.10	USD	5,590.00	
13SEP17	B	1	PD13DEC17	6540.00	6790.10	USD	6,252.50	
13SEP17	B	1	PD13DEC17	6541.00	6790.10	USD	6,227.50	
13SEP17	B	1	PD13DEC17	6543.00	6790.10	USD	6,177.50	
13SEP17	B	2	PD13DEC17	6544.00	6790.10	USD	12,305.00	
13SEP17	B	1	PD13DEC17	6546.00	6790.10	USD	6,102.50	
13SEP17	B	2	PD13DEC17	6554.00	6790.10	USD	11,805.00	
13SEP17	B	2	PD13DEC17	6556.50	6790.10	USD	11,680.00	
20SEP17	S	17	PD13DEC17	6524.38	6790.10	USD	-112,931.00	
28SEP17	S	3	PD13DEC17	6516.73	6790.10	USD	-20,502.75	
	NET	0AVG BGHT 6556.28	SOLD 6523.23				-16,521.25	0.00
14SEP17	B	2	PD14DEC17	6563.00	6790.58	USD	11,379.00	
14SEP17	B	1	PD14DEC17	6561.00	6790.58	USD	5,739.50	
14SEP17	B	1	PD14DEC17	6563.50	6790.58	USD	5,677.00	
14SEP17	B	5	PD14DEC17	6524.50	6790.58	USD	33,260.00	
14SEP17	B	1	PD14DEC17	6527.00	6790.58	USD	6,589.50	

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14SEP17	B	1	PD14DEC17	6525.50	6790.58	USD	6,627.00	
14SEP17	B	1	PD14DEC17	6502.00	6790.58	USD	7,214.50	
14SEP17	B	1	PD14DEC17	6494.00	6790.58	USD	7,414.50	
14SEP17	B	1	PD14DEC17	6504.00	6790.58	USD	7,164.50	
28SEP17	S	14	PD14DEC17	6517.20	6790.58	USD	-95,683.00	
	NET	0 AVG BGHT 6530.39	SOLD 6517.20				-4,617.50	0.00
15SEP17	B	1	PD15DEC17	6510.00	6791.06	USD	7,026.50	
15SEP17	S	1	PD15DEC17	6524.50	6791.06	USD	-6,664.00	
15SEP17	S	1	PD15DEC17	6513.00	6791.06	USD	-6,951.50	
15SEP17	B	1	PD15DEC17	6509.00	6791.06	USD	7,051.50	
15SEP17	S	2	PD15DEC17	6470.00	6791.06	USD	-16,053.00	
15SEP17	S	2	PD15DEC17	6467.00	6791.06	USD	-16,203.00	
15SEP17	S	1	PD15DEC17	6462.50	6791.06	USD	-8,214.00	
15SEP17	B	2	PD15DEC17	6494.00	6791.06	USD	14,853.00	
15SEP17	B	3	PD15DEC17	6501.00	6791.06	USD	21,754.50	
15SEP17	B	1	PD15DEC17	6507.00	6791.06	USD	7,101.50	
15SEP17	B	5	PD15DEC17	6509.50	6791.06	USD	35,195.00	
15SEP17	B	1	PD15DEC17	6482.00	6791.06	USD	7,726.50	
15SEP17	B	2	PD15DEC17	6483.50	6791.06	USD	15,378.00	
28SEP17	S	9	PD15DEC17	6517.67	6791.06	USD	-61,512.75	
	NET	0 AVG BGHT 6500.84	SOLD 6502.06				488.25	0.00
18SEP17	S	1	PD18DEC17	6551.00	6792.50	USD	-6,037.50	
18SEP17	S	1	PD18DEC17	6544.00	6792.50	USD	-6,212.50	
18SEP17	S	1	PD18DEC17	6563.00	6792.50	USD	-5,737.50	
18SEP17	S	2	PD18DEC17	6563.50	6792.50	USD	-11,450.00	
18SEP17	S	2	PD18DEC17	6541.00	6792.50	USD	-12,575.00	
18SEP17	S	1	PD18DEC17	6552.50	6792.50	USD	-6,000.00	
18SEP17	S	2	PD18DEC17	6550.00	6792.50	USD	-12,125.00	
18SEP17	S	1	PD18DEC17	6550.50	6792.50	USD	-6,050.00	
18SEP17	S	1	PD18DEC17	6541.50	6792.50	USD	-6,275.00	
18SEP17	S	1	PD18DEC17	6547.00	6792.50	USD	-6,137.50	
18SEP17	S	1	PD18DEC17	6554.50	6792.50	USD	-5,950.00	
18SEP17	S	1	PD18DEC17	6517.50	6792.50	USD	-6,875.00	
18SEP17	S	1	PD18DEC17	6555.50	6792.50	USD	-5,925.00	
18SEP17	S	1	PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S	2	PD18DEC17	6525.00	6792.50	USD	-13,375.00	
18SEP17	S	7	PD18DEC17	6525.50	6792.50	USD	-46,725.00	
18SEP17	B	1	PD18DEC17	6527.00	6792.50	USD	6,637.50	
18SEP17	S	1	PD18DEC17	6525.50	6792.50	USD	-6,675.00	
18SEP17	S	1	PD18DEC17	6524.00	6792.50	USD	-6,712.50	
20SEP17	B	27	PD18DEC17	6526.14	6792.50	USD	179,793.00	
	NET	0 AVG BGHT 6526.17	SOLD 6539.29				9,180.50	0.00
19SEP17	S	1	PD19DEC17	6538.50	6792.50	USD	-6,350.00	
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
	NET	0 AVG BGHT 6542.00	SOLD 6538.50				-87.50	0.00
21SEP17	S	1	PD21DEC17	6426.50	6791.50	USD	-9,125.00	
21SEP17	B	1	PD21DEC17	6445.00	6791.50	USD	8,662.50	
	NET	0 AVG BGHT 6445.00	SOLD 6426.50				-462.50	0.00
22SEP17	B	1	PD22DEC17	6431.00	6790.50	USD	8,987.50	
22SEP17	S	1	PD22DEC17	6388.50	6790.50	USD	-10,050.00	
22SEP17	S	1	PD22DEC17	6381.00	6790.50	USD	-10,237.50	
22SEP17	S	1	PD22DEC17	6476.00	6790.50	USD	-7,862.50	
22SEP17	B	1	PD22DEC17	6478.50	6790.50	USD	7,800.00	
28SEP17	B	1	PD22DEC17	6518.20	6790.50	USD	6,807.50	
	NET	0 AVG BGHT 6475.90	SOLD 6415.17				-4,555.00	0.00

OPEN POSITION STATEMENT



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11th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	6478.50	6793.00	USD	-7,862.50	
25SEP17	S	2	PD27DEC17	6462.00	6793.00	USD	-16,550.00	
25SEP17	S	1	PD27DEC17	6457.00	6793.00	USD	-8,400.00	
25SEP17	S	2	PD27DEC17	6458.00	6793.00	USD	-16,750.00	
25SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
26SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
26SEP17	B	1	PD27DEC17	6439.00	6793.00	USD	8,850.00	
26SEP17	B	1	PD27DEC17	6435.00	6793.00	USD	8,950.00	
26SEP17	B	1	PD27DEC17	6427.00	6793.00	USD	9,150.00	
26SEP17	B	1	PD27DEC17	6417.50	6793.00	USD	9,387.50	
27SEP17	B	1	PD27DEC17	6473.50	6793.00	USD	7,987.50	
27SEP17	S	1	PD27DEC17	6434.00	6793.00	USD	-8,975.00	
27SEP17	S	2	PD27DEC17	6435.00	6793.00	USD	-17,900.00	
27SEP17	S	1	PD27DEC17	6433.00	6793.00	USD	-9,000.00	
27SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
27SEP17	S	1	PD27DEC17	6437.00	6793.00	USD	-8,900.00	
27SEP17	S	3	PD27DEC17	6436.50	6793.00	USD	-26,737.50	
27SEP17	S	1	PD27DEC17	6432.00	6793.00	USD	-9,025.00	
27SEP17	S	1	PD27DEC17	6427.50	6793.00	USD	-9,137.50	
27SEP17	S	2	PD27DEC17	6433.50	6793.00	USD	-17,975.00	
27SEP17	S	4	PD27DEC17	6434.50	6793.00	USD	-35,850.00	
27SEP17	S	4	PD27DEC17	6432.50	6793.00	USD	-36,050.00	
27SEP17	S	2	PD27DEC17	6431.00	6793.00	USD	-18,100.00	
27SEP17	S	1	PD27DEC17	6430.00	6793.00	USD	-9,075.00	
28SEP17	B	25	PD27DEC17	6521.53	6793.00	USD	169,668.75	
	NET	0 AVG BGHT 6505.49	SOLD 6440.18				-50,618.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	2308.00	2556.04	USD	6,201.00	
27JUL17	B	1	PD27OCT17	2307.00	2556.04	USD	6,226.00	
27JUL17	B	1	PD27OCT17	2305.00	2556.04	USD	6,276.00	
27JUL17	B	1	PD27OCT17	2304.00	2556.04	USD	6,301.00	
11AUG17	S	3	PD27OCT17	2322.00	2556.04	USD	-17,553.00	
15AUG17	S	1	PD27OCT17	2377.71	2556.04	USD	-4,458.25	
	NET	0 AVG BGHT 2306.00	SOLD 2335.93				2,992.75	0.00
04AUG17	B	1	PD03NOV17	2353.50	2557.04	USD	5,088.50	
18AUG17	S	1	PD03NOV17	2358.23	2557.04	USD	-4,970.25	
	NET	0 AVG BGHT 2353.50	SOLD 2358.23				118.25	0.00
07AUG17	B	1	PD07NOV17	2354.50	2557.61	USD	5,077.75	
07AUG17	B	1	PD07NOV17	2356.50	2557.61	USD	5,027.75	
07AUG17	B	1	PD07NOV17	2360.00	2557.61	USD	4,940.25	
07AUG17	S	1	PD07NOV17	2384.00	2557.61	USD	-4,340.25	
07AUG17	B	1	PD07NOV17	2372.00	2557.61	USD	4,640.25	
18AUG17	S	3	PD07NOV17	2359.15	2557.61	USD	-14,884.50	
	NET	0 AVG BGHT 2360.75	SOLD 2365.36				461.25	0.00
08AUG17	B	1	PD08NOV17	2386.50	2557.75	USD	4,281.25	
08AUG17	B	1	PD08NOV17	2347.50	2557.75	USD	5,256.25	
08AUG17	B	1	PD08NOV17	2394.50	2557.75	USD	4,081.25	
18AUG17	S	3	PD08NOV17	2359.38	2557.75	USD	-14,877.75	
	NET	0 AVG BGHT 2376.17	SOLD 2359.38				-1,259.00	0.00
09AUG17	S	1	PD09NOV17	2398.50	2557.89	USD	-3,984.75	
09AUG17	B	1	PD09NOV17	2401.00	2557.89	USD	3,922.25	
09AUG17	B	1	PD09NOV17	2414.00	2557.89	USD	3,597.25	
09AUG17	S	1	PD09NOV17	2414.50	2557.89	USD	-3,584.75	
09AUG17	B	1	PD09NOV17	2416.00	2557.89	USD	3,547.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	2420.50	2557.89	USD	-3,434.75	
09AUG17	S	1	PD09NOV17	2404.00	2557.89	USD	-3,847.25	
09AUG17	B	1	PD09NOV17	2364.00	2557.89	USD	4,847.25	
	NET	0 AVG	BGHT 2398.75				1,062.50	0.00
10AUG17	S	1	PD10NOV17	2377.50	2558.04	USD	-4,513.50	
10AUG17	S	1	PD10NOV17	2387.50	2558.04	USD	-4,263.50	
10AUG17	S	1	PD10NOV17	2357.50	2558.04	USD	-5,013.50	
11AUG17	S	1	PD10NOV17	2351.00	2558.04	USD	-5,176.00	
11AUG17	B	1	PD10NOV17	2348.50	2558.04	USD	5,238.50	
11AUG17	B	3	PD10NOV17	2326.20	2558.04	USD	17,388.00	
	NET	0 AVG	BGHT 2331.78				3,660.00	0.00
14AUG17	S	1	PD14NOV17	2336.50	2558.61	USD	-5,552.75	
15AUG17	B	1	PD14NOV17	2381.03	2558.61	USD	4,439.50	
	NET	0 AVG	BGHT 2381.03				-1,113.25	0.00
15AUG17	S	1	PD15NOV17	2363.50	2558.75	USD	-4,881.25	
15AUG17	S	1	PD15NOV17	2364.50	2558.75	USD	-4,856.25	
18AUG17	B	2	PD15NOV17	2361.20	2558.75	USD	9,877.50	
	NET	0 AVG	BGHT 2361.20				140.00	0.00
16AUG17	S	1	PD16NOV17	2386.50	2558.75	USD	-4,306.25	
16AUG17	S	1	PD16NOV17	2437.00	2558.75	USD	-3,043.75	
16AUG17	S	1	PD16NOV17	2483.00	2558.75	USD	-1,893.75	
16AUG17	S	1	PD16NOV17	2486.50	2558.75	USD	-1,806.25	
16AUG17	S	1	PD16NOV17	2484.50	2558.75	USD	-1,856.25	
18AUG17	B	5	PD16NOV17	2360.70	2558.75	USD	24,756.25	
	NET	0 AVG	BGHT 2360.70				11,850.00	0.00
18AUG17	B	1	PD17NOV17	2403.00	2558.75	USD	3,893.75	
18AUG17	B	1	PD17NOV17	2424.00	2558.75	USD	3,368.75	
18AUG17	S	1	PD17NOV17	2361.00	2558.75	USD	-4,943.75	
08SEP17	S	1	PD17NOV17	2258.09	2558.75	USD	-7,516.50	
	NET	0 AVG	BGHT 2413.50				-5,197.75	0.00
21AUG17	B	1	PD21NOV17	2365.00	2559.32	USD	4,858.00	
21AUG17	B	1	PD21NOV17	2364.50	2559.32	USD	4,870.50	
21AUG17	B	1	PD21NOV17	2391.00	2559.32	USD	4,208.00	
21AUG17	B	1	PD21NOV17	2386.50	2559.32	USD	4,320.50	
21AUG17	B	1	PD21NOV17	2386.00	2559.32	USD	4,333.00	
20SEP17	S	3	PD21NOV17	2455.44	2559.32	USD	-7,791.00	
22SEP17	S	2	PD21NOV17	2488.50	2559.32	USD	-3,541.00	
	NET	0 AVG	BGHT 2378.60				11,258.00	0.00
01SEP17	B	1	PD01DEC17	2400.00	2560.39	USD	4,009.75	
22SEP17	S	1	PD01DEC17	2488.50	2560.39	USD	-1,797.25	
	NET	0 AVG	BGHT 2400.00				2,212.50	0.00
05SEP17	S	1	PD05DEC17	2325.00	2560.75	USD	-5,893.75	
08SEP17	B	1	PD05DEC17	2264.78	2560.75	USD	7,399.25	
	NET	0 AVG	BGHT 2264.78				1,505.50	0.00
13SEP17	S	1	PD13DEC17	2297.00	2562.75	USD	-6,643.75	
13SEP17	B	1	PD13DEC17	2297.50	2562.75	USD	6,631.25	
	NET	0 AVG	BGHT 2297.50				-12.50	0.00
15SEP17	S	1	PD15DEC17	2330.00	2563.25	USD	-5,831.25	
15SEP17	S	1	PD15DEC17	2314.50	2563.25	USD	-6,218.75	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
20SEP17	B	3	PD15DEC17	2459.41	2563.25	USD	7,788.00	
	NET	0 AVG	BGHT 2459.41				-9,630.75	0.00
18SEP17	B	1	PD18DEC17	2388.00	2564.00	USD	4,400.00	
22SEP17	S	1	PD18DEC17	2488.50	2564.00	USD	-1,887.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2388.00	SOLD 2488.50				2,512.50	0.00
19SEP17	B	1 PD19DEC17		2389.50	2564.25	USD	4,368.75	
19SEP17	S	1 PD19DEC17		2415.00	2564.25	USD	-3,731.25	
19SEP17	S	1 PD19DEC17		2412.00	2564.25	USD	-3,806.25	
19SEP17	B	1 PD19DEC17		2422.50	2564.25	USD	3,543.75	
19SEP17	B	1 PD19DEC17		2423.00	2564.25	USD	3,531.25	
22SEP17	S	1 PD19DEC17		2488.50	2564.25	USD	-1,893.75	
	NET	0 AVG BGHT 2411.67	SOLD 2438.50				2,012.50	0.00
20SEP17	B	1 PD20DEC17		2453.00	2564.50	USD	2,787.50	
20SEP17	B	1 PD20DEC17		2455.00	2564.50	USD	2,737.50	
20SEP17	B	2 PD20DEC17		2456.50	2564.50	USD	5,400.00	
20SEP17	B	1 PD20DEC17		2457.00	2564.50	USD	2,687.50	
22SEP17	S	5 PD20DEC17		2488.50	2564.50	USD	-9,500.00	
	NET	0 AVG BGHT 2455.60	SOLD 2488.50				4,112.50	0.00
21SEP17	B	1 PD21DEC17		2455.00	2563.50	USD	2,712.50	
21SEP17	B	1 PD21DEC17		2455.50	2563.50	USD	2,700.00	
21SEP17	S	2 PD21DEC17		2491.00	2563.50	USD	-3,625.00	
21SEP17	S	1 PD21DEC17		2463.00	2563.50	USD	-2,512.50	
21SEP17	S	1 PD21DEC17		2465.50	2563.50	USD	-2,450.00	
21SEP17	S	1 PD21DEC17		2463.50	2563.50	USD	-2,500.00	
21SEP17	S	2 PD21DEC17		2460.50	2563.50	USD	-5,150.00	
21SEP17	S	1 PD21DEC17		2447.50	2563.50	USD	-2,900.00	
21SEP17	S	1 PD21DEC17		2446.00	2563.50	USD	-2,937.50	
21SEP17	S	1 PD21DEC17		2477.00	2563.50	USD	-2,162.50	
21SEP17	S	1 PD21DEC17		2478.00	2563.50	USD	-2,137.50	
21SEP17	S	1 PD21DEC17		2462.50	2563.50	USD	-2,525.00	
22SEP17	B	10 PD21DEC17		2485.95	2563.50	USD	19,387.50	
	NET	0 AVG BGHT 2480.83	SOLD 2467.17				-4,100.00	0.00
22SEP17	B	1 PD22DEC17		2413.50	2563.50	USD	3,750.00	
22SEP17	B	1 PD22DEC17		2415.50	2563.50	USD	3,700.00	
22SEP17	S	1 PD22DEC17		2419.00	2563.50	USD	-3,612.50	
22SEP17	B	1 PD22DEC17		2395.00	2563.50	USD	4,212.50	
22SEP17	B	2 PD22DEC17		2382.50	2563.50	USD	9,050.00	
22SEP17	B	2 PD22DEC17		2383.50	2563.50	USD	9,000.00	
22SEP17	B	1 PD22DEC17		2385.50	2563.50	USD	4,450.00	
22SEP17	B	1 PD22DEC17		2401.00	2563.50	USD	4,062.50	
22SEP17	B	1 PD22DEC17		2402.50	2563.50	USD	4,025.00	
22SEP17	S	1 PD22DEC17		2465.00	2563.50	USD	-2,462.50	
22SEP17	S	1 PD22DEC17		2457.50	2563.50	USD	-2,650.00	
22SEP17	S	1 PD22DEC17		2463.50	2563.50	USD	-2,500.00	
22SEP17	S	1 PD22DEC17		2470.50	2563.50	USD	-2,325.00	
22SEP17	S	1 PD22DEC17		2475.50	2563.50	USD	-2,200.00	
22SEP17	S	3 PD22DEC17		2475.00	2563.50	USD	-6,637.50	
22SEP17	S	1 PD22DEC17		2457.00	2563.50	USD	-2,662.50	
	NET	0 AVG BGHT 2394.50	SOLD 2463.30				17,200.00	0.00
25SEP17	B	1 PD27DEC17		2500.50	2563.50	USD	1,575.00	
25SEP17	B	1 PD27DEC17		2506.50	2563.50	USD	1,425.00	
25SEP17	B	1 PD27DEC17		2507.00	2563.50	USD	1,412.50	
25SEP17	B	1 PD27DEC17		2502.00	2563.50	USD	1,537.50	
25SEP17	S	1 PD27DEC17		2487.50	2563.50	USD	-1,900.00	
25SEP17	S	2 PD27DEC17		2499.50	2563.50	USD	-3,200.00	
25SEP17	S	1 PD27DEC17		2488.50	2563.50	USD	-1,875.00	
	NET	0 AVG BGHT 2504.00	SOLD 2493.75				-1,025.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							

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12JUL17	B	2	PD120CT17	9235.00	11060.20	USD	21,902.40	
12JUL17	B	3	PD120CT17	9225.00	11060.20	USD	33,033.60	
27JUL17	S	5	PD120CT17	10126.57	11060.20	USD	-28,008.90	
	NET	0 AVG	BGHT 9229.00				26,927.10	0.00
14JUL17	S	1	PD130CT17	9435.00	11061.00	USD	-9,756.00	
14JUL17	S	1	PD130CT17	9460.00	11061.00	USD	-9,606.00	
14JUL17	B	2	PD130CT17	9455.00	11061.00	USD	19,272.00	
	NET	0 AVG	BGHT 9455.00				-90.00	0.00
20JUL17	B	2	PD200CT17	9575.00	11066.93	USD	17,903.16	
27JUL17	S	2	PD200CT17	10130.00	11066.93	USD	-11,243.16	
	NET	0 AVG	BGHT 9575.00				6,660.00	0.00
24JUL17	B	5	PD240CT17	9540.00	11070.79	USD	45,923.70	
24JUL17	B	2	PD240CT17	9535.00	11070.79	USD	18,429.48	
24JUL17	B	2	PD240CT17	9615.00	11070.79	USD	17,469.48	
24JUL17	B	2	PD240CT17	9655.00	11070.79	USD	16,989.48	
24JUL17	B	1	PD240CT17	9760.00	11070.79	USD	7,864.74	
24JUL17	B	3	PD240CT17	9760.00	11070.79	USD	23,594.22	
27JUL17	S	4	PD240CT17	10130.00	11070.79	USD	-22,578.96	
04AUG17	S	11	PD240CT17	10245.63	11070.79	USD	-54,460.56	
	NET	0 AVG	BGHT 9623.33				53,231.58	0.00
25JUL17	B	4	PD250CT17	9825.00	11071.75	USD	29,922.00	
25JUL17	B	1	PD250CT17	9850.00	11071.75	USD	7,330.50	
25JUL17	B	3	PD250CT17	9855.00	11071.75	USD	21,901.50	
25JUL17	B	1	PD250CT17	9895.00	11071.75	USD	7,060.50	
25JUL17	B	3	PD250CT17	9900.00	11071.75	USD	21,091.50	
04AUG17	S	12	PD250CT17	10246.06	11071.75	USD	-59,449.68	
	NET	0 AVG	BGHT 9859.17				27,856.32	0.00
26JUL17	B	1	PD260CT17	9890.00	11072.71	USD	7,096.26	
26JUL17	S	4	PD260CT17	9935.00	11072.71	USD	-27,305.04	
26JUL17	S	8	PD260CT17	9990.00	11072.71	USD	-51,970.08	
27JUL17	B	11	PD260CT17	10131.00	11072.71	USD	62,152.86	
	NET	0 AVG	BGHT 10110.92				-10,026.00	0.00
27JUL17	B	4	PD270CT17	9985.00	11073.68	USD	26,128.32	
27JUL17	B	1	PD270CT17	9995.00	11073.68	USD	6,472.08	
27JUL17	B	6	PD270CT17	10010.00	11073.68	USD	38,292.48	
27JUL17	B	1	PD270CT17	10015.00	11073.68	USD	6,352.08	
27JUL17	B	1	PD270CT17	10020.00	11073.68	USD	6,322.08	
27JUL17	B	1	PD270CT17	10050.00	11073.68	USD	6,142.08	
27JUL17	S	4	PD270CT17	10055.00	11073.68	USD	-24,448.32	
27JUL17	S	4	PD270CT17	10060.00	11073.68	USD	-24,328.32	
27JUL17	S	2	PD270CT17	10065.00	11073.68	USD	-12,104.16	
27JUL17	S	2	PD270CT17	10090.00	11073.68	USD	-11,804.16	
27JUL17	B	4	PD270CT17	10080.00	11073.68	USD	23,848.32	
27JUL17	B	2	PD270CT17	9995.00	11073.68	USD	12,944.16	
27JUL17	S	2	PD270CT17	10140.00	11073.68	USD	-11,204.16	
27JUL17	S	1	PD270CT17	10140.00	11073.68	USD	-5,602.08	
27JUL17	S	3	PD270CT17	10185.00	11073.68	USD	-15,996.24	
27JUL17	S	2	PD270CT17	10170.00	11073.68	USD	-10,844.16	
27JUL17	S	1	PD270CT17	10175.00	11073.68	USD	-5,392.08	
27JUL17	B	1	PD270CT17	10135.00	11073.68	USD	5,632.08	
28JUL17	S	2	PD270CT17	10085.00	11073.68	USD	-11,864.16	
28JUL17	B	2	PD270CT17	10050.00	11073.68	USD	12,284.16	
28JUL17	B	2	PD270CT17	10110.00	11073.68	USD	11,564.16	
28JUL17	B	3	PD270CT17	10145.00	11073.68	USD	16,716.24	
28JUL17	S	2	PD270CT17	10240.00	11073.68	USD	-10,004.16	

OPEN POSITION STATEMENT



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28JUL17	S	3	PD27OCT17	10245.00	11073.68	USD	-14,916.24	
28JUL17	S	2	PD27OCT17	10280.00	11073.68	USD	-9,524.16	
28JUL17	S	2	PD27OCT17	10220.00	11073.68	USD	-10,244.16	
28JUL17	B	2	PD27OCT17	10195.00	11073.68	USD	10,544.16	
28JUL17	S	2	PD27OCT17	10190.00	11073.68	USD	-10,604.16	
28JUL17	B	4	PD27OCT17	10205.00	11073.68	USD	20,848.32	
28JUL17	S	2	PD27OCT17	10245.00	11073.68	USD	-9,944.16	
28JUL17	S	2	PD27OCT17	10250.00	11073.68	USD	-9,884.16	
28JUL17	S	1	PD27OCT17	10255.00	11073.68	USD	-4,912.08	
28JUL17	S	1	PD27OCT17	10270.00	11073.68	USD	-4,822.08	
28JUL17	B	4	PD27OCT17	10240.00	11073.68	USD	20,008.32	
28JUL17	B	4	PD27OCT17	10225.00	11073.68	USD	20,368.32	
28JUL17	B	4	PD27OCT17	10220.00	11073.68	USD	20,488.32	
28JUL17	S	7	PD27OCT17	10260.00	11073.68	USD	-34,174.56	
04AUG17	B	1	PD27OCT17	10247.94	11073.68	USD	4,954.44	
	NET	0AVG	BGHT 10116.55	SOLD 10177.87			17,292.36	0.00
31JUL17	B	4	PD31OCT17	10345.00	11077.54	USD	17,580.96	
31JUL17	B	4	PD31OCT17	10325.00	11077.54	USD	18,060.96	
31JUL17	B	1	PD31OCT17	10285.00	11077.54	USD	4,755.24	
31JUL17	S	1	PD31OCT17	10275.00	11077.54	USD	-4,815.24	
31JUL17	S	3	PD31OCT17	10260.00	11077.54	USD	-14,715.72	
31JUL17	S	2	PD31OCT17	10250.00	11077.54	USD	-9,930.48	
31JUL17	S	3	PD31OCT17	10255.00	11077.54	USD	-14,805.72	
31JUL17	S	4	PD31OCT17	10270.00	11077.54	USD	-19,380.96	
31JUL17	S	2	PD31OCT17	10290.00	11077.54	USD	-9,450.48	
31JUL17	B	2	PD31OCT17	10270.00	11077.54	USD	9,690.48	
31JUL17	B	2	PD31OCT17	10280.00	11077.54	USD	9,570.48	
31JUL17	S	1	PD31OCT17	10280.00	11077.54	USD	-4,785.24	
31JUL17	S	1	PD31OCT17	10285.00	11077.54	USD	-4,755.24	
31JUL17	B	4	PD31OCT17	10275.00	11077.54	USD	19,260.96	
31JUL17	B	2	PD31OCT17	10265.00	11077.54	USD	9,750.48	
31JUL17	B	2	PD31OCT17	10250.00	11077.54	USD	9,930.48	
31JUL17	B	4	PD31OCT17	10230.00	11077.54	USD	20,340.96	
31JUL17	B	1	PD31OCT17	10220.00	11077.54	USD	5,145.24	
04AUG17	S	9	PD31OCT17	10248.69	11077.54	USD	-44,757.90	
	NET	0AVG	BGHT 10282.12	SOLD 10260.89			-3,310.74	0.00
01AUG17	S	2	PD01NOV17	10100.00	11078.50	USD	-11,742.00	
01AUG17	S	2	PD01NOV17	10115.00	11078.50	USD	-11,562.00	
01AUG17	B	2	PD01NOV17	10095.00	11078.50	USD	11,802.00	
01AUG17	B	2	PD01NOV17	10125.00	11078.50	USD	11,442.00	
01AUG17	B	2	PD01NOV17	10135.00	11078.50	USD	11,322.00	
01AUG17	S	1	PD01NOV17	10120.00	11078.50	USD	-5,751.00	
01AUG17	S	3	PD01NOV17	10110.00	11078.50	USD	-17,433.00	
01AUG17	S	12	PD01NOV17	10200.00	11078.50	USD	-63,252.00	
01AUG17	B	1	PD01NOV17	10185.00	11078.50	USD	5,361.00	
01AUG17	B	1	PD01NOV17	10175.00	11078.50	USD	5,421.00	
01AUG17	S	2	PD01NOV17	10265.00	11078.50	USD	-9,762.00	
01AUG17	S	6	PD01NOV17	10280.00	11078.50	USD	-28,746.00	
01AUG17	B	2	PD01NOV17	10255.00	11078.50	USD	9,882.00	
01AUG17	B	4	PD01NOV17	10260.00	11078.50	USD	19,644.00	
04AUG17	B	14	PD01NOV17	10250.13	11078.50	USD	69,583.08	
	NET	0AVG	BGHT 10218.64	SOLD 10196.07			-3,790.92	0.00
02AUG17	S	1	PD02NOV17	10205.00	11079.46	USD	-5,246.76	
02AUG17	S	1	PD02NOV17	10195.00	11079.46	USD	-5,306.76	
02AUG17	S	4	PD02NOV17	10190.00	11079.46	USD	-21,347.04	
02AUG17	S	1	PD02NOV17	10200.00	11079.46	USD	-5,276.76	

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02AUG17	S	2	PD02NOV17	10145.00	11079.46	USD	-11,213.52	
02AUG17	B	2	PD02NOV17	10320.00	11079.46	USD	9,113.52	
04AUG17	B	7	PD02NOV17	10250.56	11079.46	USD	34,813.80	
	NET	0 AVG	BGHT 10265.99				-4,463.52	0.00
			SOLD 10183.33					
03AUG17	S	4	PD03NOV17	10315.00	11080.43	USD	-18,370.32	
03AUG17	S	2	PD03NOV17	10270.00	11080.43	USD	-9,725.16	
03AUG17	S	2	PD03NOV17	10430.00	11080.43	USD	-7,805.16	
03AUG17	S	1	PD03NOV17	10270.00	11080.43	USD	-4,862.58	
03AUG17	S	3	PD03NOV17	10285.00	11080.43	USD	-14,317.74	
04AUG17	B	2	PD03NOV17	10345.00	11080.43	USD	8,825.16	
04AUG17	S	1	PD03NOV17	10330.00	11080.43	USD	-4,502.58	
04AUG17	B	1	PD03NOV17	10330.00	11080.43	USD	4,502.58	
04AUG17	S	1	PD03NOV17	10300.00	11080.43	USD	-4,682.58	
04AUG17	S	1	PD03NOV17	10315.00	11080.43	USD	-4,592.58	
04AUG17	B	1	PD03NOV17	10205.00	11080.43	USD	5,252.58	
04AUG17	B	1	PD03NOV17	10215.00	11080.43	USD	5,192.58	
04AUG17	S	2	PD03NOV17	10285.00	11080.43	USD	-9,545.16	
04AUG17	B	2	PD03NOV17	10290.00	11080.43	USD	9,485.16	
04AUG17	B	10	PD03NOV17	10251.00	11080.43	USD	49,765.80	
	NET	0 AVG	BGHT 10266.47				4,620.00	0.00
			SOLD 10311.76					
07AUG17	B	2	PD07NOV17	10395.00	11084.29	USD	8,271.48	
07AUG17	S	2	PD07NOV17	10390.00	11084.29	USD	-8,331.48	
	NET	0 AVG	BGHT 10395.00				-60.00	0.00
			SOLD 10390.00					
08AUG17	B	2	PD08NOV17	10340.00	11085.25	USD	8,943.00	
08AUG17	B	4	PD08NOV17	10290.00	11085.25	USD	19,086.00	
08AUG17	S	6	PD08NOV17	10330.00	11085.25	USD	-27,189.00	
08AUG17	B	2	PD08NOV17	10445.00	11085.25	USD	7,683.00	
08AUG17	B	2	PD08NOV17	10490.00	11085.25	USD	7,143.00	
08AUG17	B	2	PD08NOV17	10485.00	11085.25	USD	7,203.00	
08AUG17	B	2	PD08NOV17	10500.00	11085.25	USD	7,023.00	
08AUG17	B	2	PD08NOV17	10690.00	11085.25	USD	4,743.00	
08AUG17	B	1	PD08NOV17	10755.00	11085.25	USD	1,981.50	
08AUG17	B	1	PD08NOV17	10760.00	11085.25	USD	1,951.50	
08AUG17	B	1	PD08NOV17	10755.00	11085.25	USD	1,981.50	
11AUG17	S	8	PD08NOV17	10658.78	11085.25	USD	-20,470.56	
15AUG17	S	5	PD08NOV17	10345.63	11085.25	USD	-22,188.60	
	NET	0 AVG	BGHT 10491.05				-2,109.66	0.00
			SOLD 10472.55					
09AUG17	S	2	PD09NOV17	10720.00	11086.21	USD	-4,394.52	
09AUG17	B	2	PD09NOV17	10810.00	11086.21	USD	3,314.52	
09AUG17	B	2	PD09NOV17	10795.00	11086.21	USD	3,494.52	
09AUG17	S	2	PD09NOV17	10755.00	11086.21	USD	-3,974.52	
09AUG17	S	2	PD09NOV17	10770.00	11086.21	USD	-3,794.52	
09AUG17	S	2	PD09NOV17	10790.00	11086.21	USD	-3,554.52	
09AUG17	S	4	PD09NOV17	10815.00	11086.21	USD	-6,509.04	
09AUG17	S	2	PD09NOV17	10750.00	11086.21	USD	-4,034.52	
09AUG17	S	2	PD09NOV17	10740.00	11086.21	USD	-4,154.52	
09AUG17	B	2	PD09NOV17	10740.00	11086.21	USD	4,154.52	
09AUG17	B	2	PD09NOV17	10735.00	11086.21	USD	4,214.52	
11AUG17	B	8	PD09NOV17	10660.39	11086.21	USD	20,439.36	
	NET	0 AVG	BGHT 10715.20				5,201.28	0.00
			SOLD 10769.38					
10AUG17	B	2	PD10NOV17	10775.00	11087.18	USD	3,746.16	
10AUG17	S	2	PD10NOV17	10820.00	11087.18	USD	-3,206.16	
10AUG17	S	2	PD10NOV17	10825.00	11087.18	USD	-3,146.16	
10AUG17	S	1	PD10NOV17	10810.00	11087.18	USD	-1,663.08	
10AUG17	S	1	PD10NOV17	10805.00	11087.18	USD	-1,693.08	
10AUG17	S	1	PD10NOV17	10790.00	11087.18	USD	-1,783.08	

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10AUG17	S	1	PD10NOV17	10780.00	11087.18	USD	-1,843.08	
10AUG17	S	2	PD10NOV17	10740.00	11087.18	USD	-4,166.16	
10AUG17	B	2	PD10NOV17	10765.00	11087.18	USD	3,866.16	
10AUG17	B	6	PD10NOV17	10770.00	11087.18	USD	11,418.48	
10AUG17	B	4	PD10NOV17	10780.00	11087.18	USD	7,372.32	
10AUG17	B	4	PD10NOV17	10850.00	11087.18	USD	5,692.32	
10AUG17	B	2	PD10NOV17	10865.00	11087.18	USD	2,666.16	
10AUG17	S	2	PD10NOV17	10935.00	11087.18	USD	-1,826.16	
10AUG17	S	4	PD10NOV17	10930.00	11087.18	USD	-3,772.32	
10AUG17	B	2	PD10NOV17	10950.00	11087.18	USD	1,646.16	
10AUG17	S	1	PD10NOV17	10900.00	11087.18	USD	-1,123.08	
10AUG17	S	2	PD10NOV17	10890.00	11087.18	USD	-2,366.16	
10AUG17	S	1	PD10NOV17	10900.00	11087.18	USD	-1,123.08	
11AUG17	S	4	PD10NOV17	10840.00	11087.18	USD	-5,932.32	
11AUG17	S	2	PD10NOV17	10800.00	11087.18	USD	-3,446.16	
11AUG17	S	4	PD10NOV17	10830.00	11087.18	USD	-6,172.32	
11AUG17	S	2	PD10NOV17	10745.00	11087.18	USD	-4,106.16	
11AUG17	S	4	PD10NOV17	10735.00	11087.18	USD	-8,452.32	
11AUG17	S	2	PD10NOV17	10655.00	11087.18	USD	-5,186.16	
11AUG17	S	2	PD10NOV17	10620.00	11087.18	USD	-5,606.16	
11AUG17	S	4	PD10NOV17	10630.00	11087.18	USD	-10,972.32	
11AUG17	B	4	PD10NOV17	10675.00	11087.18	USD	9,892.32	
11AUG17	B	2	PD10NOV17	10665.00	11087.18	USD	5,066.16	
11AUG17	B	4	PD10NOV17	10595.00	11087.18	USD	11,812.32	
11AUG17	B	6	PD10NOV17	10680.00	11087.18	USD	14,658.48	
11AUG17	B	2	PD10NOV17	10650.00	11087.18	USD	5,246.16	
11AUG17	B	2	PD10NOV17	10565.00	11087.18	USD	6,266.16	
11AUG17	B	2	PD10NOV17	10655.00	11087.18	USD	5,186.16	
	NET	0 AVG BGHT 10729.09	SOLD 10793.30				16,950.00	0.00
14AUG17	S	2	PD14NOV17	10650.00	11091.04	USD	-5,292.48	
14AUG17	S	1	PD14NOV17	10505.00	11091.04	USD	-3,516.24	
14AUG17	S	4	PD14NOV17	10500.00	11091.04	USD	-14,184.96	
14AUG17	S	2	PD14NOV17	10475.00	11091.04	USD	-7,392.48	
14AUG17	S	2	PD14NOV17	10480.00	11091.04	USD	-7,332.48	
14AUG17	B	4	PD14NOV17	10515.00	11091.04	USD	13,824.96	
14AUG17	B	2	PD14NOV17	10510.00	11091.04	USD	6,972.48	
14AUG17	S	1	PD14NOV17	10505.00	11091.04	USD	-3,516.24	
14AUG17	S	2	PD14NOV17	10440.00	11091.04	USD	-7,812.48	
15AUG17	B	5	PD14NOV17	10350.38	11091.04	USD	22,219.80	
18AUG17	B	3	PD14NOV17	10981.33	11091.04	USD	1,974.78	
	NET	0 AVG BGHT 10555.42	SOLD 10507.14				-4,055.34	0.00
15AUG17	B	2	PD15NOV17	10460.00	11092.00	USD	7,584.00	
15AUG17	S	2	PD15NOV17	10490.00	11092.00	USD	-7,224.00	
15AUG17	S	2	PD15NOV17	10405.00	11092.00	USD	-8,244.00	
15AUG17	B	4	PD15NOV17	10405.00	11092.00	USD	16,488.00	
15AUG17	S	2	PD15NOV17	10375.00	11092.00	USD	-8,604.00	
15AUG17	B	4	PD15NOV17	10390.00	11092.00	USD	16,848.00	
15AUG17	B	2	PD15NOV17	10400.00	11092.00	USD	8,304.00	
15AUG17	B	2	PD15NOV17	10355.00	11092.00	USD	8,844.00	
18AUG17	S	3	PD15NOV17	10981.00	11092.00	USD	-1,998.00	
18AUG17	S	5	PD15NOV17	10982.00	11092.00	USD	-3,300.00	
	NET	0 AVG BGHT 10400.71	SOLD 10742.36				28,698.00	0.00
16AUG17	S	2	PD16NOV17	10370.00	11092.77	USD	-8,673.24	
16AUG17	S	2	PD16NOV17	10475.00	11092.77	USD	-7,413.24	
16AUG17	B	2	PD16NOV17	10445.00	11092.77	USD	7,773.24	
16AUG17	S	2	PD16NOV17	10570.00	11092.77	USD	-6,273.24	

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16AUG17	S	2	PD16NOV17	10565.00	11092.77	USD	-6,333.24	
16AUG17	S	2	PD16NOV17	10555.00	11092.77	USD	-6,453.24	
16AUG17	S	2	PD16NOV17	10560.00	11092.77	USD	-6,393.24	
16AUG17	S	2	PD16NOV17	10600.00	11092.77	USD	-5,913.24	
16AUG17	S	3	PD16NOV17	10655.00	11092.77	USD	-7,879.86	
16AUG17	B	1	PD16NOV17	10680.00	11092.77	USD	2,476.62	
16AUG17	B	2	PD16NOV17	10685.00	11092.77	USD	4,893.24	
16AUG17	B	2	PD16NOV17	10690.00	11092.77	USD	4,833.24	
16AUG17	S	2	PD16NOV17	10725.00	11092.77	USD	-4,413.24	
16AUG17	S	2	PD16NOV17	10745.00	11092.77	USD	-4,173.24	
16AUG17	B	6	PD16NOV17	10750.00	11092.77	USD	12,339.72	
16AUG17	B	2	PD16NOV17	10735.00	11092.77	USD	4,293.24	
16AUG17	B	1	PD16NOV17	10725.00	11092.77	USD	2,206.62	
16AUG17	B	3	PD16NOV17	10730.00	11092.77	USD	6,529.86	
16AUG17	S	2	PD16NOV17	10765.00	11092.77	USD	-3,933.24	
16AUG17	S	2	PD16NOV17	10820.00	11092.77	USD	-3,273.24	
16AUG17	B	1	PD16NOV17	10780.00	11092.77	USD	1,876.62	
16AUG17	B	2	PD16NOV17	10770.00	11092.77	USD	3,873.24	
16AUG17	S	2	PD16NOV17	10770.00	11092.77	USD	-3,873.24	
16AUG17	B	1	PD16NOV17	10780.00	11092.77	USD	1,876.62	
18AUG17	B	5	PD16NOV17	10981.00	11092.77	USD	3,353.10	
23AUG17	S	1	PD16NOV17	11655.00	11092.77	USD	3,373.38	
	NET	0 AVG BGHT 10757.50	SOLD 10666.43				-15,300.00	0.00
17AUG17	B	1	PD17NOV17	10805.00	11093.54	USD	1,731.24	
17AUG17	B	4	PD17NOV17	10750.00	11093.54	USD	8,244.96	
17AUG17	S	4	PD17NOV17	10785.00	11093.54	USD	-7,404.96	
17AUG17	S	2	PD17NOV17	10775.00	11093.54	USD	-3,822.48	
17AUG17	S	2	PD17NOV17	10770.00	11093.54	USD	-3,882.48	
17AUG17	S	2	PD17NOV17	10835.00	11093.54	USD	-3,102.48	
17AUG17	S	4	PD17NOV17	10870.00	11093.54	USD	-5,364.96	
17AUG17	S	2	PD17NOV17	10865.00	11093.54	USD	-2,742.48	
17AUG17	S	6	PD17NOV17	10790.00	11093.54	USD	-10,927.44	
17AUG17	B	2	PD17NOV17	10780.00	11093.54	USD	3,762.48	
17AUG17	B	3	PD17NOV17	10800.00	11093.54	USD	5,283.72	
17AUG17	B	2	PD17NOV17	10735.00	11093.54	USD	4,302.48	
17AUG17	B	2	PD17NOV17	10720.00	11093.54	USD	4,482.48	
17AUG17	B	1	PD17NOV17	10725.00	11093.54	USD	2,211.24	
17AUG17	B	2	PD17NOV17	10755.00	11093.54	USD	4,062.48	
17AUG17	B	4	PD17NOV17	10765.00	11093.54	USD	7,884.96	
17AUG17	B	3	PD17NOV17	10800.00	11093.54	USD	5,283.72	
17AUG17	B	1	PD17NOV17	10760.00	11093.54	USD	2,001.24	
17AUG17	B	1	PD17NOV17	10725.00	11093.54	USD	2,211.24	
17AUG17	B	2	PD17NOV17	10745.00	11093.54	USD	4,182.48	
17AUG17	B	2	PD17NOV17	10690.00	11093.54	USD	4,842.48	
18AUG17	S	2	PD17NOV17	10630.00	11093.54	USD	-5,562.48	
18AUG17	S	4	PD17NOV17	10820.00	11093.54	USD	-6,564.96	
18AUG17	B	6	PD17NOV17	10880.00	11093.54	USD	7,687.44	
18AUG17	B	1	PD17NOV17	10970.00	11093.54	USD	741.24	
18AUG17	S	2	PD17NOV17	10995.00	11093.54	USD	-1,182.48	
18AUG17	S	2	PD17NOV17	11010.00	11093.54	USD	-1,002.48	
18AUG17	S	2	PD17NOV17	11020.00	11093.54	USD	-882.48	
18AUG17	B	2	PD17NOV17	10940.00	11093.54	USD	1,842.48	
18AUG17	B	4	PD17NOV17	10915.00	11093.54	USD	4,284.96	
18AUG17	B	2	PD17NOV17	10850.00	11093.54	USD	2,922.48	
18AUG17	S	4	PD17NOV17	10850.00	11093.54	USD	-5,844.96	
18AUG17	S	4	PD17NOV17	10840.00	11093.54	USD	-6,084.96	
18AUG17	B	1	PD17NOV17	10950.00	11093.54	USD	861.24	

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SAP096AG

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	4	PD17NOV17	11655.63	11093.54	USD	13,490.16	
	NET	0 AVG BGHT 10807.93	SOLD 10909.19				27,945.12	0.00
21AUG17	B	2	PD21NOV17	11235.00	11096.63	USD	-1,660.44	
21AUG17	S	2	PD21NOV17	11100.00	11096.63	USD	40.44	
21AUG17	S	2	PD21NOV17	11020.00	11096.63	USD	-919.56	
21AUG17	S	2	PD21NOV17	11210.00	11096.63	USD	1,360.44	
21AUG17	S	2	PD21NOV17	11160.00	11096.63	USD	760.44	
21AUG17	B	2	PD21NOV17	11155.00	11096.63	USD	-700.44	
21AUG17	S	4	PD21NOV17	11195.00	11096.63	USD	2,360.88	
21AUG17	B	2	PD21NOV17	11145.00	11096.63	USD	-580.44	
21AUG17	S	2	PD21NOV17	11205.00	11096.63	USD	1,300.44	
21AUG17	B	1	PD21NOV17	11170.00	11096.63	USD	-440.22	
21AUG17	S	1	PD21NOV17	11255.00	11096.63	USD	950.22	
21AUG17	B	2	PD21NOV17	11240.00	11096.63	USD	-1,720.44	
21AUG17	B	2	PD21NOV17	11220.00	11096.63	USD	-1,480.44	
21AUG17	S	2	PD21NOV17	11250.00	11096.63	USD	1,840.44	
21AUG17	S	2	PD21NOV17	11245.00	11096.63	USD	1,780.44	
21AUG17	B	2	PD21NOV17	11275.00	11096.63	USD	-2,140.44	
21AUG17	S	2	PD21NOV17	11330.00	11096.63	USD	2,800.44	
21AUG17	B	4	PD21NOV17	11315.00	11096.63	USD	-5,240.88	
21AUG17	B	2	PD21NOV17	11290.00	11096.63	USD	-2,320.44	
21AUG17	B	2	PD21NOV17	11345.00	11096.63	USD	-2,980.44	
21AUG17	B	4	PD21NOV17	11265.00	11096.63	USD	-4,040.88	
21AUG17	S	4	PD21NOV17	11275.00	11096.63	USD	4,280.88	
21AUG17	S	2	PD21NOV17	11280.00	11096.63	USD	2,200.44	
23AUG17	B	2	PD21NOV17	11659.13	11096.63	USD	-6,750.00	
	NET	0 AVG BGHT 11282.16	SOLD 11212.41				-11,299.56	0.00
22AUG17	S	2	PD22NOV17	11265.00	11097.40	USD	2,011.20	
22AUG17	S	2	PD22NOV17	11275.00	11097.40	USD	2,131.20	
22AUG17	S	2	PD22NOV17	11250.00	11097.40	USD	1,831.20	
22AUG17	S	2	PD22NOV17	11490.00	11097.40	USD	4,711.20	
22AUG17	S	2	PD22NOV17	11475.00	11097.40	USD	4,531.20	
22AUG17	S	1	PD22NOV17	11400.00	11097.40	USD	1,815.60	
22AUG17	S	1	PD22NOV17	11415.00	11097.40	USD	1,905.60	
22AUG17	B	2	PD22NOV17	11490.00	11097.40	USD	-4,711.20	
22AUG17	B	2	PD22NOV17	11485.00	11097.40	USD	-4,651.20	
22AUG17	B	2	PD22NOV17	11470.00	11097.40	USD	-4,471.20	
22AUG17	B	2	PD22NOV17	11450.00	11097.40	USD	-4,231.20	
22AUG17	B	4	PD22NOV17	11440.00	11097.40	USD	-8,222.40	
22AUG17	B	4	PD22NOV17	11405.00	11097.40	USD	-7,382.40	
22AUG17	S	4	PD22NOV17	11435.00	11097.40	USD	8,102.40	
22AUG17	S	2	PD22NOV17	11420.00	11097.40	USD	3,871.20	
22AUG17	S	2	PD22NOV17	11430.00	11097.40	USD	3,991.20	
22AUG17	S	4	PD22NOV17	11425.00	11097.40	USD	7,862.40	
22AUG17	B	2	PD22NOV17	11415.00	11097.40	USD	-3,811.20	
22AUG17	S	1	PD22NOV17	11375.00	11097.40	USD	1,665.60	
22AUG17	B	2	PD22NOV17	11325.00	11097.40	USD	-2,731.20	
22AUG17	S	2	PD22NOV17	11490.00	11097.40	USD	4,711.20	
22AUG17	S	3	PD22NOV17	11350.00	11097.40	USD	4,546.80	
22AUG17	S	2	PD22NOV17	11410.00	11097.40	USD	3,751.20	
23AUG17	B	3	PD22NOV17	11659.75	11097.40	USD	-10,122.30	
24AUG17	B	9	PD22NOV17	11743.50	11097.40	USD	-34,889.40	
	NET	0 AVG BGHT 11541.27	SOLD 11396.56				-27,784.50	0.00
23AUG17	B	2	PD24NOV17	11265.00	11098.94	USD	-1,992.72	
23AUG17	B	2	PD24NOV17	11360.00	11098.94	USD	-3,132.72	
23AUG17	B	2	PD24NOV17	11390.00	11098.94	USD	-3,492.72	

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23AUG17	S	2	PD24NOV17	11430.00	11098.94	USD	3,972.72	
23AUG17	S	4	PD24NOV17	11580.00	11098.94	USD	11,545.44	
23AUG17	B	5	PD24NOV17	11560.00	11098.94	USD	-13,831.80	
23AUG17	B	1	PD24NOV17	11550.00	11098.94	USD	-2,706.36	
23AUG17	S	2	PD24NOV17	11455.00	11098.94	USD	4,272.72	
23AUG17	B	2	PD24NOV17	11585.00	11098.94	USD	-5,832.72	
23AUG17	B	2	PD24NOV17	11690.00	11098.94	USD	-7,092.72	
23AUG17	B	4	PD24NOV17	11720.00	11098.94	USD	-14,905.44	
23AUG17	S	2	PD24NOV17	11685.00	11098.94	USD	7,032.72	
24AUG17	S	1	PD24NOV17	11675.00	11098.94	USD	3,456.36	
24AUG17	S	3	PD24NOV17	11655.00	11098.94	USD	10,009.08	
24AUG17	B	1	PD24NOV17	11665.00	11098.94	USD	-3,396.36	
24AUG17	S	9	PD24NOV17	11744.00	11098.94	USD	34,833.24	
25AUG17	B	2	PD24NOV17	11680.00	11098.94	USD	-6,972.72	
	NET	0AVG	BGHT 11558.04	SOLD 11643.30			11,766.00	0.00
29AUG17	S	1	PD29NOV17	11615.00	11102.80	USD	3,073.20	
29AUG17	B	3	PD29NOV17	11750.00	11102.80	USD	-11,649.60	
29AUG17	S	1	PD29NOV17	11630.00	11102.80	USD	3,163.20	
29AUG17	S	1	PD29NOV17	11625.00	11102.80	USD	3,133.20	
29AUG17	S	2	PD29NOV17	11610.00	11102.80	USD	6,086.40	
29AUG17	S	1	PD29NOV17	11635.00	11102.80	USD	3,193.20	
29AUG17	B	5	PD29NOV17	11830.00	11102.80	USD	-21,816.00	
29AUG17	B	10	PD29NOV17	11820.00	11102.80	USD	-43,032.00	
29AUG17	B	5	PD29NOV17	11825.00	11102.80	USD	-21,666.00	
29AUG17	S	1	PD29NOV17	11600.00	11102.80	USD	2,983.20	
29AUG17	B	19	PD29NOV17	11800.00	11102.80	USD	-79,480.80	
29AUG17	S	1	PD29NOV17	11620.00	11102.80	USD	3,103.20	
29AUG17	S	4	PD29NOV17	11645.00	11102.80	USD	13,012.80	
29AUG17	S	3	PD29NOV17	11640.00	11102.80	USD	9,669.60	
29AUG17	B	1	PD29NOV17	11800.00	11102.80	USD	-4,183.20	
29AUG17	S	1	PD29NOV17	11835.00	11102.80	USD	4,393.20	
29AUG17	S	1	PD29NOV17	11805.00	11102.80	USD	4,213.20	
29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	S	1	PD29NOV17	11830.00	11102.80	USD	4,363.20	
29AUG17	S	1	PD29NOV17	11815.00	11102.80	USD	4,273.20	
29AUG17	S	2	PD29NOV17	11720.00	11102.80	USD	7,406.40	
29AUG17	S	2	PD29NOV17	11685.00	11102.80	USD	6,986.40	
29AUG17	S	9	PD29NOV17	11695.00	11102.80	USD	31,978.80	
29AUG17	S	10	PD29NOV17	11715.00	11102.80	USD	36,732.00	
29AUG17	S	21	PD29NOV17	11710.00	11102.80	USD	76,507.20	
29AUG17	S	10	PD29NOV17	11705.00	11102.80	USD	36,132.00	
29AUG17	S	4	PD29NOV17	11700.00	11102.80	USD	14,332.80	
29AUG17	S	14	PD29NOV17	11690.00	11102.80	USD	49,324.80	
29AUG17	B	5	PD29NOV17	11730.00	11102.80	USD	-18,816.00	
04SEP17	B	44	PD29NOV17	12246.94	11102.80	USD	-302,052.96	
	NET	0AVG	BGHT 12013.48	SOLD 11697.66			-174,332.16	0.00
30AUG17	S	2	PD30NOV17	11660.00	11103.57	USD	6,677.16	
30AUG17	S	1	PD30NOV17	11640.00	11103.57	USD	3,218.58	
30AUG17	S	1	PD30NOV17	11645.00	11103.57	USD	3,248.58	
30AUG17	B	2	PD30NOV17	11690.00	11103.57	USD	-7,037.16	
30AUG17	B	2	PD30NOV17	11710.00	11103.57	USD	-7,277.16	
30AUG17	B	4	PD30NOV17	11700.00	11103.57	USD	-14,314.32	
30AUG17	B	2	PD30NOV17	11725.00	11103.57	USD	-7,457.16	
30AUG17	B	2	PD30NOV17	11790.00	11103.57	USD	-8,237.16	
30AUG17	S	2	PD30NOV17	11770.00	11103.57	USD	7,997.16	
30AUG17	S	2	PD30NOV17	11715.00	11103.57	USD	7,337.16	
30AUG17	B	2	PD30NOV17	11685.00	11103.57	USD	-6,977.16	

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30AUG17	B	1	PD30NOV17	11695.00	11103.57	USD	-3,548.58	
30AUG17	B	1	PD30NOV17	11665.00	11103.57	USD	-3,368.58	
30AUG17	B	3	PD30NOV17	11675.00	11103.57	USD	-10,285.74	
30AUG17	B	4	PD30NOV17	11670.00	11103.57	USD	-13,594.32	
30AUG17	B	1	PD30NOV17	11660.00	11103.57	USD	-3,338.58	
30AUG17	B	1	PD30NOV17	11570.00	11103.57	USD	-2,798.58	
30AUG17	B	4	PD30NOV17	11610.00	11103.57	USD	-12,154.32	
30AUG17	B	2	PD30NOV17	11620.00	11103.57	USD	-6,197.16	
30AUG17	B	1	PD30NOV17	11650.00	11103.57	USD	-3,278.58	
30AUG17	B	1	PD30NOV17	11625.00	11103.57	USD	-3,128.58	
30AUG17	B	5	PD30NOV17	11630.00	11103.57	USD	-15,792.90	
30AUG17	B	3	PD30NOV17	11605.00	11103.57	USD	-9,025.74	
30AUG17	S	2	PD30NOV17	11605.00	11103.57	USD	6,017.16	
30AUG17	S	1	PD30NOV17	11570.00	11103.57	USD	2,798.58	
30AUG17	S	2	PD30NOV17	11580.00	11103.57	USD	5,717.16	
30AUG17	B	3	PD30NOV17	11580.00	11103.57	USD	-8,575.74	
30AUG17	B	5	PD30NOV17	11600.00	11103.57	USD	-14,892.90	
30AUG17	B	4	PD30NOV17	11595.00	11103.57	USD	-11,794.32	
30AUG17	S	2	PD30NOV17	11600.00	11103.57	USD	5,957.16	
30AUG17	B	5	PD30NOV17	11590.00	11103.57	USD	-14,592.90	
30AUG17	B	5	PD30NOV17	11585.00	11103.57	USD	-14,442.90	
30AUG17	B	1	PD30NOV17	11590.00	11103.57	USD	-2,918.58	
30AUG17	B	1	PD30NOV17	11600.00	11103.57	USD	-2,978.58	
31AUG17	B	2	PD30NOV17	11615.00	11103.57	USD	-6,137.16	
31AUG17	S	2	PD30NOV17	11630.00	11103.57	USD	6,317.16	
31AUG17	B	2	PD30NOV17	11670.00	11103.57	USD	-6,797.16	
31AUG17	B	2	PD30NOV17	11645.00	11103.57	USD	-6,497.16	
31AUG17	B	2	PD30NOV17	11665.00	11103.57	USD	-6,737.16	
31AUG17	B	2	PD30NOV17	11650.00	11103.57	USD	-6,557.16	
31AUG17	B	2	PD30NOV17	11695.00	11103.57	USD	-7,097.16	
31AUG17	S	2	PD30NOV17	11675.00	11103.57	USD	6,857.16	
31AUG17	S	2	PD30NOV17	11735.00	11103.57	USD	7,577.16	
31AUG17	S	2	PD30NOV17	11700.00	11103.57	USD	7,157.16	
31AUG17	S	2	PD30NOV17	11720.00	11103.57	USD	7,397.16	
31AUG17	S	2	PD30NOV17	11790.00	11103.57	USD	8,237.16	
31AUG17	B	1	PD30NOV17	11765.00	11103.57	USD	-3,968.58	
31AUG17	S	3	PD30NOV17	11795.00	11103.57	USD	12,445.74	
31AUG17	S	4	PD30NOV17	11800.00	11103.57	USD	16,714.32	
31AUG17	B	4	PD30NOV17	11775.00	11103.57	USD	-16,114.32	
31AUG17	B	1	PD30NOV17	11760.00	11103.57	USD	-3,938.58	
31AUG17	S	2	PD30NOV17	11760.00	11103.57	USD	7,877.16	
04SEP17	S	44	PD30NOV17	12246.56	11103.57	USD	301,749.36	
04SEP17	S	3	PD30NOV17	12247.56	11103.57	USD	20,591.82	
	NET	0 AVG BGHT	11649.46	SOLD 12010.98			180,037.92	0.00
01SEP17	B	1	PD01DEC17	11790.00	11104.34	USD	-4,113.96	
01SEP17	B	1	PD01DEC17	11785.00	11104.34	USD	-4,083.96	
01SEP17	B	3	PD01DEC17	11805.00	11104.34	USD	-12,611.88	
01SEP17	B	2	PD01DEC17	11765.00	11104.34	USD	-7,927.92	
01SEP17	S	3	PD01DEC17	11795.00	11104.34	USD	12,431.88	
01SEP17	S	1	PD01DEC17	11805.00	11104.34	USD	4,203.96	
01SEP17	S	2	PD01DEC17	11810.00	11104.34	USD	8,467.92	
01SEP17	S	2	PD01DEC17	12030.00	11104.34	USD	11,107.92	
01SEP17	S	2	PD01DEC17	11935.00	11104.34	USD	9,967.92	
01SEP17	B	2	PD01DEC17	12045.00	11104.34	USD	-11,287.92	
01SEP17	S	4	PD01DEC17	12035.00	11104.34	USD	22,335.84	
01SEP17	B	1	PD01DEC17	12050.00	11104.34	USD	-5,673.96	
01SEP17	B	2	PD01DEC17	12035.00	11104.34	USD	-11,167.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	12050.00	11104.34	USD	5,673.96	
01SEP17	S	1	PD01DEC17	12040.00	11104.34	USD	5,613.96	
01SEP17	S	2	PD01DEC17	12060.00	11104.34	USD	11,467.92	
01SEP17	B	1	PD01DEC17	12050.00	11104.34	USD	-5,673.96	
04SEP17	B	3	PD01DEC17	12249.17	11104.34	USD	-20,606.94	
08SEP17	B	2	PD01DEC17	11585.89	11104.34	USD	-5,778.60	
	NET	0 AVG	BGHT 11927.74	SOLD 11949.44			2,344.26	0.00
04SEP17	S	1	PD04DEC17	12325.00	11106.66	USD	7,310.04	
04SEP17	B	3	PD04DEC17	12305.00	11106.66	USD	-21,570.12	
04SEP17	B	2	PD04DEC17	12250.00	11106.66	USD	-13,720.08	
04SEP17	B	2	PD04DEC17	12240.00	11106.66	USD	-13,600.08	
04SEP17	B	2	PD04DEC17	12200.00	11106.66	USD	-13,120.08	
04SEP17	B	2	PD04DEC17	12205.00	11106.66	USD	-13,180.08	
04SEP17	S	2	PD04DEC17	12205.00	11106.66	USD	13,180.08	
04SEP17	S	2	PD04DEC17	12225.00	11106.66	USD	13,420.08	
04SEP17	B	2	PD04DEC17	12235.00	11106.66	USD	-13,540.08	
04SEP17	B	2	PD04DEC17	12245.00	11106.66	USD	-13,660.08	
04SEP17	S	1	PD04DEC17	12255.00	11106.66	USD	6,890.04	
04SEP17	S	3	PD04DEC17	12260.00	11106.66	USD	20,760.12	
08SEP17	S	2	PD04DEC17	11586.65	11106.66	USD	5,759.88	
08SEP17	S	4	PD04DEC17	11587.65	11106.66	USD	11,543.76	
	NET	0 AVG	BGHT 12244.33	SOLD 11982.93			-23,526.60	0.00
05SEP17	S	2	PD05DEC17	12290.00	11107.43	USD	14,190.84	
05SEP17	S	2	PD05DEC17	12265.00	11107.43	USD	13,890.84	
05SEP17	B	2	PD05DEC17	12210.00	11107.43	USD	-13,230.84	
05SEP17	B	2	PD05DEC17	12230.00	11107.43	USD	-13,470.84	
05SEP17	S	2	PD05DEC17	12225.00	11107.43	USD	13,410.84	
05SEP17	B	1	PD05DEC17	12110.00	11107.43	USD	-6,015.42	
05SEP17	B	1	PD05DEC17	12105.00	11107.43	USD	-5,985.42	
05SEP17	S	2	PD05DEC17	12060.00	11107.43	USD	11,430.84	
05SEP17	B	2	PD05DEC17	12025.00	11107.43	USD	-11,010.84	
05SEP17	S	1	PD05DEC17	12030.00	11107.43	USD	5,535.42	
05SEP17	S	2	PD05DEC17	12075.00	11107.43	USD	11,610.84	
05SEP17	S	1	PD05DEC17	12020.00	11107.43	USD	5,475.42	
08SEP17	B	4	PD05DEC17	11589.24	11107.43	USD	-11,563.44	
	NET	0 AVG	BGHT 11958.50	SOLD 12156.67			14,268.24	0.00
06SEP17	B	2	PD06DEC17	12010.00	11108.20	USD	-10,821.60	
06SEP17	B	2	PD06DEC17	12060.00	11108.20	USD	-11,421.60	
06SEP17	B	8	PD06DEC17	12065.00	11108.20	USD	-45,926.40	
06SEP17	B	2	PD06DEC17	12020.00	11108.20	USD	-10,941.60	
06SEP17	B	1	PD06DEC17	12030.00	11108.20	USD	-5,530.80	
06SEP17	S	1	PD06DEC17	12015.00	11108.20	USD	5,440.80	
06SEP17	S	1	PD06DEC17	12005.00	11108.20	USD	5,380.80	
06SEP17	S	1	PD06DEC17	12050.00	11108.20	USD	5,650.80	
06SEP17	S	1	PD06DEC17	12065.00	11108.20	USD	5,740.80	
06SEP17	S	1	PD06DEC17	12055.00	11108.20	USD	5,680.80	
06SEP17	S	1	PD06DEC17	12070.00	11108.20	USD	5,770.80	
06SEP17	S	3	PD06DEC17	12080.00	11108.20	USD	17,492.40	
06SEP17	S	4	PD06DEC17	12075.00	11108.20	USD	23,203.20	
06SEP17	S	2	PD06DEC17	12175.00	11108.20	USD	12,801.60	
	NET	0 AVG	BGHT 12048.67	SOLD 12076.67			2,520.00	0.00
07SEP17	B	4	PD07DEC17	12230.00	11108.97	USD	-26,904.72	
07SEP17	S	1	PD07DEC17	12235.00	11108.97	USD	6,756.18	
07SEP17	S	2	PD07DEC17	12245.00	11108.97	USD	13,632.36	
07SEP17	S	1	PD07DEC17	12200.00	11108.97	USD	6,546.18	
07SEP17	B	7	PD07DEC17	12170.00	11108.97	USD	-44,563.26	

OPEN POSITION STATEMENT



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SAP096AG

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	12115.00	11108.97	USD	-12,072.36	
07SEP17	B	2	PD07DEC17	12055.00	11108.97	USD	-11,352.36	
07SEP17	S	2	PD07DEC17	12065.00	11108.97	USD	11,472.36	
07SEP17	B	1	PD07DEC17	12155.00	11108.97	USD	-6,276.18	
07SEP17	S	2	PD07DEC17	12015.00	11108.97	USD	10,872.36	
07SEP17	S	1	PD07DEC17	12170.00	11108.97	USD	6,366.18	
07SEP17	S	1	PD07DEC17	12165.00	11108.97	USD	6,336.18	
07SEP17	B	1	PD07DEC17	12180.00	11108.97	USD	-6,426.18	
07SEP17	S	1	PD07DEC17	12215.00	11108.97	USD	6,636.18	
07SEP17	B	2	PD07DEC17	12200.00	11108.97	USD	-13,092.36	
07SEP17	B	1	PD07DEC17	12205.00	11108.97	USD	-6,576.18	
13SEP17	S	9	PD07DEC17	11355.62	11108.97	USD	13,319.10	
	NET	0AVG	BGHT 12169.50	SOLD 11791.78			-45,326.52	0.00
08SEP17	S	1	PD08DEC17	12285.00	11109.74	USD	7,051.56	
08SEP17	S	1	PD08DEC17	12290.00	11109.74	USD	7,081.56	
08SEP17	S	1	PD08DEC17	12270.00	11109.74	USD	6,961.56	
08SEP17	S	1	PD08DEC17	12255.00	11109.74	USD	6,871.56	
08SEP17	S	1	PD08DEC17	12245.00	11109.74	USD	6,811.56	
08SEP17	S	1	PD08DEC17	12250.00	11109.74	USD	6,841.56	
08SEP17	S	1	PD08DEC17	12265.00	11109.74	USD	6,931.56	
08SEP17	B	1	PD08DEC17	12285.00	11109.74	USD	-7,051.56	
08SEP17	B	1	PD08DEC17	12295.00	11109.74	USD	-7,111.56	
08SEP17	B	1	PD08DEC17	12360.00	11109.74	USD	-7,501.56	
08SEP17	B	1	PD08DEC17	12365.00	11109.74	USD	-7,531.56	
08SEP17	B	2	PD08DEC17	12335.00	11109.74	USD	-14,703.12	
08SEP17	B	2	PD08DEC17	12340.00	11109.74	USD	-14,763.12	
08SEP17	S	1	PD08DEC17	12150.00	11109.74	USD	6,241.56	
08SEP17	S	2	PD08DEC17	12180.00	11109.74	USD	12,843.12	
08SEP17	S	1	PD08DEC17	12175.00	11109.74	USD	6,391.56	
08SEP17	S	2	PD08DEC17	12155.00	11109.74	USD	12,543.12	
08SEP17	S	1	PD08DEC17	12115.00	11109.74	USD	6,031.56	
08SEP17	S	1	PD08DEC17	12135.00	11109.74	USD	6,151.56	
08SEP17	S	1	PD08DEC17	12130.00	11109.74	USD	6,121.56	
08SEP17	S	1	PD08DEC17	12090.00	11109.74	USD	5,881.56	
08SEP17	S	2	PD08DEC17	11960.00	11109.74	USD	10,203.12	
08SEP17	S	2	PD08DEC17	11940.00	11109.74	USD	9,963.12	
08SEP17	B	2	PD08DEC17	11975.00	11109.74	USD	-10,383.12	
08SEP17	B	2	PD08DEC17	11965.00	11109.74	USD	-10,263.12	
08SEP17	S	3	PD08DEC17	11860.00	11109.74	USD	13,504.68	
08SEP17	S	7	PD08DEC17	11845.00	11109.74	USD	30,880.92	
08SEP17	S	3	PD08DEC17	11840.00	11109.74	USD	13,144.68	
08SEP17	S	3	PD08DEC17	11835.00	11109.74	USD	13,054.68	
08SEP17	S	2	PD08DEC17	11825.00	11109.74	USD	8,583.12	
08SEP17	B	4	PD08DEC17	11745.00	11109.74	USD	-15,246.24	
08SEP17	S	2	PD08DEC17	11760.00	11109.74	USD	7,803.12	
08SEP17	B	4	PD08DEC17	11760.00	11109.74	USD	-15,606.24	
08SEP17	B	4	PD08DEC17	11755.00	11109.74	USD	-15,486.24	
08SEP17	B	2	PD08DEC17	11790.00	11109.74	USD	-8,163.12	
08SEP17	B	2	PD08DEC17	11785.00	11109.74	USD	-8,103.12	
08SEP17	B	2	PD08DEC17	11780.00	11109.74	USD	-8,043.12	
08SEP17	B	1	PD08DEC17	11765.00	11109.74	USD	-3,931.56	
08SEP17	B	1	PD08DEC17	11720.00	11109.74	USD	-3,661.56	
08SEP17	B	1	PD08DEC17	11740.00	11109.74	USD	-3,781.56	
08SEP17	S	1	PD08DEC17	11530.00	11109.74	USD	2,521.56	
08SEP17	S	1	PD08DEC17	11535.00	11109.74	USD	2,551.56	
08SEP17	S	1	PD08DEC17	11550.00	11109.74	USD	2,641.56	
08SEP17	S	2	PD08DEC17	11575.00	11109.74	USD	5,583.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	11580.00	11109.74	USD	2,821.56	
08SEP17	S	3	PD08DEC17	11590.00	11109.74	USD	8,644.68	
08SEP17	S	2	PD08DEC17	11610.00	11109.74	USD	6,003.12	
13SEP17	B	9	PD08DEC17	11357.46	11109.74	USD	-13,376.88	
13SEP17	B	5	PD08DEC17	11356.46	11109.74	USD	-7,401.60	
15SEP17	B	5	PD08DEC17	11084.40	11109.74	USD	760.20	
	NET	0AVG BGHT 11690.99	SOLD 11906.73				67,311.36	0.00
11SEP17	S	1	PD11DEC17	11595.00	11112.06	USD	2,897.64	
11SEP17	S	1	PD11DEC17	11600.00	11112.06	USD	2,927.64	
11SEP17	S	3	PD11DEC17	11565.00	11112.06	USD	8,152.92	
11SEP17	S	1	PD11DEC17	11560.00	11112.06	USD	2,687.64	
11SEP17	B	1	PD11DEC17	11600.00	11112.06	USD	-2,927.64	
11SEP17	B	1	PD11DEC17	11605.00	11112.06	USD	-2,957.64	
11SEP17	S	1	PD11DEC17	11715.00	11112.06	USD	3,617.64	
11SEP17	B	1	PD11DEC17	11655.00	11112.06	USD	-3,257.64	
11SEP17	B	2	PD11DEC17	11665.00	11112.06	USD	-6,635.28	
11SEP17	B	1	PD11DEC17	11725.00	11112.06	USD	-3,677.64	
11SEP17	B	1	PD11DEC17	11740.00	11112.06	USD	-3,767.64	
11SEP17	B	1	PD11DEC17	11750.00	11112.06	USD	-3,827.64	
11SEP17	S	1	PD11DEC17	11800.00	11112.06	USD	4,127.64	
11SEP17	S	1	PD11DEC17	11805.00	11112.06	USD	4,157.64	
11SEP17	S	4	PD11DEC17	11820.00	11112.06	USD	16,990.56	
15SEP17	B	5	PD11DEC17	11086.80	11112.06	USD	757.80	
	NET	0AVG BGHT 11449.15	SOLD 11696.15				19,266.00	0.00
12SEP17	B	1	PD12DEC17	11675.00	11112.83	USD	-3,373.02	
12SEP17	B	4	PD12DEC17	11670.00	11112.83	USD	-13,372.08	
12SEP17	B	1	PD12DEC17	11655.00	11112.83	USD	-3,253.02	
12SEP17	B	2	PD12DEC17	11645.00	11112.83	USD	-6,386.04	
12SEP17	B	2	PD12DEC17	11640.00	11112.83	USD	-6,326.04	
12SEP17	B	3	PD12DEC17	11650.00	11112.83	USD	-9,669.06	
12SEP17	B	2	PD12DEC17	11725.00	11112.83	USD	-7,346.04	
12SEP17	S	1	PD12DEC17	11910.00	11112.83	USD	4,783.02	
12SEP17	S	1	PD12DEC17	11915.00	11112.83	USD	4,813.02	
12SEP17	S	2	PD12DEC17	11925.00	11112.83	USD	9,746.04	
12SEP17	S	1	PD12DEC17	11950.00	11112.83	USD	5,023.02	
12SEP17	S	1	PD12DEC17	11960.00	11112.83	USD	5,083.02	
12SEP17	S	1	PD12DEC17	11970.00	11112.83	USD	5,143.02	
12SEP17	S	3	PD12DEC17	11965.00	11112.83	USD	15,339.06	
12SEP17	S	2	PD12DEC17	11955.00	11112.83	USD	10,106.04	
12SEP17	B	2	PD12DEC17	11930.00	11112.83	USD	-9,806.04	
13SEP17	S	5	PD12DEC17	11358.50	11112.83	USD	7,370.10	
	NET	0AVG BGHT 11696.47	SOLD 11773.68				7,875.00	0.00
13SEP17	B	2	PD13DEC17	11930.00	11113.60	USD	-9,796.80	
13SEP17	B	2	PD13DEC17	11815.00	11113.60	USD	-8,416.80	
13SEP17	B	1	PD13DEC17	11770.00	11113.60	USD	-3,938.40	
13SEP17	B	2	PD13DEC17	11740.00	11113.60	USD	-7,516.80	
13SEP17	B	1	PD13DEC17	11745.00	11113.60	USD	-3,788.40	
13SEP17	B	1	PD13DEC17	11565.00	11113.60	USD	-2,708.40	
13SEP17	B	2	PD13DEC17	11575.00	11113.60	USD	-5,536.80	
13SEP17	B	2	PD13DEC17	11570.00	11113.60	USD	-5,476.80	
13SEP17	B	2	PD13DEC17	11555.00	11113.60	USD	-5,296.80	
13SEP17	B	5	PD13DEC17	11580.00	11113.60	USD	-13,992.00	
13SEP17	B	1	PD13DEC17	11380.00	11113.60	USD	-1,598.40	
13SEP17	B	3	PD13DEC17	11370.00	11113.60	USD	-4,615.20	
13SEP17	B	1	PD13DEC17	11390.00	11113.60	USD	-1,658.40	
13SEP17	B	1	PD13DEC17	11460.00	11113.60	USD	-2,078.40	

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13SEP17	B	2	PD13DEC17	11455.00	11113.60	USD	-4,096.80	
13SEP17	B	3	PD13DEC17	11385.00	11113.60	USD	-4,885.20	
13SEP17	B	3	PD13DEC17	11375.00	11113.60	USD	-4,705.20	
15SEP17	S	10	PD13DEC17	11087.40	11113.60	USD	-1,572.00	
15SEP17	S	24	PD13DEC17	11088.40	11113.60	USD	-3,628.80	
	NET	0AVG BGHT 11555.29	SOLD 11088.11				-95,306.40	0.00
14SEP17	S	1	PD14DEC17	11460.00	11114.37	USD	2,073.78	
14SEP17	S	2	PD14DEC17	11465.00	11114.37	USD	4,207.56	
14SEP17	S	1	PD14DEC17	11500.00	11114.37	USD	2,313.78	
14SEP17	S	2	PD14DEC17	11495.00	11114.37	USD	4,567.56	
14SEP17	S	1	PD14DEC17	11510.00	11114.37	USD	2,373.78	
14SEP17	S	3	PD14DEC17	11515.00	11114.37	USD	7,211.34	
14SEP17	S	2	PD14DEC17	11455.00	11114.37	USD	4,087.56	
14SEP17	S	2	PD14DEC17	11470.00	11114.37	USD	4,267.56	
14SEP17	S	2	PD14DEC17	11335.00	11114.37	USD	2,647.56	
14SEP17	B	1	PD14DEC17	11305.00	11114.37	USD	-1,143.78	
14SEP17	B	1	PD14DEC17	11290.00	11114.37	USD	-1,053.78	
14SEP17	B	1	PD14DEC17	11285.00	11114.37	USD	-1,023.78	
14SEP17	B	2	PD14DEC17	11280.00	11114.37	USD	-1,987.56	
14SEP17	B	1	PD14DEC17	11245.00	11114.37	USD	-783.78	
14SEP17	B	1	PD14DEC17	11265.00	11114.37	USD	-903.78	
14SEP17	B	4	PD14DEC17	11185.00	11114.37	USD	-1,695.12	
14SEP17	B	2	PD14DEC17	11205.00	11114.37	USD	-1,087.56	
14SEP17	S	2	PD14DEC17	11205.00	11114.37	USD	1,087.56	
14SEP17	B	1	PD14DEC17	11195.00	11114.37	USD	-483.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	S	1	PD14DEC17	11160.00	11114.37	USD	273.78	
14SEP17	S	1	PD14DEC17	11150.00	11114.37	USD	213.78	
14SEP17	S	1	PD14DEC17	11470.00	11114.37	USD	2,133.78	
14SEP17	S	3	PD14DEC17	11265.00	11114.37	USD	2,711.34	
14SEP17	S	8	PD14DEC17	11270.00	11114.37	USD	7,470.24	
14SEP17	S	6	PD14DEC17	11275.00	11114.37	USD	5,782.68	
14SEP17	S	1	PD14DEC17	11290.00	11114.37	USD	1,053.78	
14SEP17	S	4	PD14DEC17	11300.00	11114.37	USD	4,455.12	
14SEP17	S	2	PD14DEC17	11315.00	11114.37	USD	2,407.56	
14SEP17	S	2	PD14DEC17	11305.00	11114.37	USD	2,287.56	
14SEP17	S	5	PD14DEC17	11260.00	11114.37	USD	4,368.90	
14SEP17	S	3	PD14DEC17	11280.00	11114.37	USD	2,981.34	
14SEP17	B	2	PD14DEC17	11210.00	11114.37	USD	-1,147.56	
14SEP17	B	1	PD14DEC17	11225.00	11114.37	USD	-663.78	
14SEP17	B	1	PD14DEC17	11215.00	11114.37	USD	-603.78	
14SEP17	B	1	PD14DEC17	11220.00	11114.37	USD	-633.78	
14SEP17	B	6	PD14DEC17	11200.00	11114.37	USD	-3,082.68	
15SEP17	B	24	PD14DEC17	11090.20	11114.37	USD	3,480.48	
20SEP17	B	5	PD14DEC17	11375.37	11114.37	USD	-7,830.00	
	NET	0AVG BGHT 11177.85	SOLD 11329.45				50,030.10	0.00
15SEP17	B	1	PD15DEC17	11130.00	11115.14	USD	-89.16	
15SEP17	B	1	PD15DEC17	11135.00	11115.14	USD	-119.16	
15SEP17	B	1	PD15DEC17	11170.00	11115.14	USD	-329.16	
15SEP17	S	1	PD15DEC17	11100.00	11115.14	USD	-90.84	
15SEP17	S	1	PD15DEC17	11090.00	11115.14	USD	-150.84	
15SEP17	S	1	PD15DEC17	11125.00	11115.14	USD	59.16	
15SEP17	S	1	PD15DEC17	11110.00	11115.14	USD	-30.84	
15SEP17	S	1	PD15DEC17	11120.00	11115.14	USD	29.16	
15SEP17	B	1	PD15DEC17	11160.00	11115.14	USD	-269.16	
15SEP17	B	2	PD15DEC17	11140.00	11115.14	USD	-298.32	
15SEP17	S	1	PD15DEC17	10970.00	11115.14	USD	-870.84	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AG

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
15SEP17	S	1	PD15DEC17		10955.00	11115.14	USD	-960.84	
15SEP17	S	1	PD15DEC17		10930.00	11115.14	USD	-1,110.84	
15SEP17	S	1	PD15DEC17		10940.00	11115.14	USD	-1,050.84	
15SEP17	S	3	PD15DEC17		10935.00	11115.14	USD	-3,242.52	
15SEP17	S	2	PD15DEC17		11050.00	11115.14	USD	-781.68	
15SEP17	S	1	PD15DEC17		11095.00	11115.14	USD	-120.84	
15SEP17	B	4	PD15DEC17		11105.00	11115.14	USD	243.36	
20SEP17	B	5	PD15DEC17		11376.14	11115.14	USD	-7,830.00	
	NET	0AVG	BGHT 11211.71	SOLD 11022.67				-17,014.20	0.00
18SEP17	S	2	PD18DEC17		11185.00	11117.46	USD	810.48	
20SEP17	B	2	PD18DEC17		11378.46	11117.46	USD	-3,132.00	
	NET	0AVG	BGHT 11378.46	SOLD 11185.00				-2,321.52	0.00
19SEP17	S	1	PD19DEC17		11175.00	11118.23	USD	340.62	
19SEP17	B	1	PD19DEC17		11135.00	11118.23	USD	-100.62	
19SEP17	B	2	PD19DEC17		11140.00	11118.23	USD	-261.24	
19SEP17	B	4	PD19DEC17		11120.00	11118.23	USD	-42.48	
19SEP17	B	1	PD19DEC17		11110.00	11118.23	USD	49.38	
19SEP17	B	3	PD19DEC17		11115.00	11118.23	USD	58.14	
19SEP17	B	5	PD19DEC17		11100.00	11118.23	USD	546.90	
19SEP17	B	3	PD19DEC17		11095.00	11118.23	USD	418.14	
19SEP17	B	1	PD19DEC17		11040.00	11118.23	USD	469.38	
19SEP17	B	1	PD19DEC17		11035.00	11118.23	USD	499.38	
19SEP17	B	1	PD19DEC17		10985.00	11118.23	USD	799.38	
19SEP17	B	1	PD19DEC17		10995.00	11118.23	USD	739.38	
19SEP17	S	2	PD19DEC17		11000.00	11118.23	USD	-1,418.76	
19SEP17	B	2	PD19DEC17		10965.00	11118.23	USD	1,838.76	
19SEP17	S	1	PD19DEC17		11200.00	11118.23	USD	490.62	
19SEP17	S	1	PD19DEC17		11210.00	11118.23	USD	550.62	
19SEP17	S	1	PD19DEC17		11205.00	11118.23	USD	520.62	
19SEP17	S	1	PD19DEC17		11185.00	11118.23	USD	400.62	
20SEP17	S	12	PD19DEC17		11378.23	11118.23	USD	18,720.00	
22SEP17	S	6	PD19DEC17		10417.11	11118.23	USD	-25,240.32	
	NET	0AVG	BGHT 11084.80	SOLD 11080.66				-621.48	0.00
20SEP17	B	1	PD20DEC17		11370.00	11119.00	USD	-1,506.00	
20SEP17	B	1	PD20DEC17		11380.00	11119.00	USD	-1,566.00	
20SEP17	B	1	PD20DEC17		11385.00	11119.00	USD	-1,596.00	
20SEP17	B	1	PD20DEC17		11390.00	11119.00	USD	-1,626.00	
20SEP17	B	3	PD20DEC17		11395.00	11119.00	USD	-4,968.00	
20SEP17	B	1	PD20DEC17		11380.00	11119.00	USD	-1,566.00	
20SEP17	S	2	PD20DEC17		11365.00	11119.00	USD	2,952.00	
20SEP17	S	1	PD20DEC17		11395.00	11119.00	USD	1,656.00	
20SEP17	S	1	PD20DEC17		11375.00	11119.00	USD	1,536.00	
20SEP17	S	3	PD20DEC17		11355.00	11119.00	USD	4,248.00	
20SEP17	S	2	PD20DEC17		11320.00	11119.00	USD	2,412.00	
20SEP17	S	1	PD20DEC17		11310.00	11119.00	USD	1,146.00	
20SEP17	S	1	PD20DEC17		11325.00	11119.00	USD	1,236.00	
20SEP17	S	2	PD20DEC17		11330.00	11119.00	USD	2,532.00	
20SEP17	S	2	PD20DEC17		11340.00	11119.00	USD	2,652.00	
20SEP17	S	4	PD20DEC17		11350.00	11119.00	USD	5,544.00	
20SEP17	S	4	PD20DEC17		11360.00	11119.00	USD	5,784.00	
20SEP17	S	1	PD20DEC17		11325.00	11119.00	USD	1,236.00	
20SEP17	B	10	PD20DEC17		11360.00	11119.00	USD	-14,460.00	
22SEP17	B	6	PD20DEC17		10419.00	11119.00	USD	25,200.00	
	NET	0AVG	BGHT 11133.50	SOLD 11347.71				30,846.00	0.00
21SEP17	S	1	PD21DEC17		11110.00	11119.73	USD	-58.38	
21SEP17	B	1	PD21DEC17		11165.00	11119.73	USD	-271.62	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	11020.00	11119.73	USD	-598.38	
21SEP17	B	1	PD21DEC17	10975.00	11119.73	USD	868.38	
21SEP17	S	1	PD21DEC17	10965.00	11119.73	USD	-928.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	5	PD21DEC17	11005.00	11119.73	USD	3,441.90	
21SEP17	B	2	PD21DEC17	11000.00	11119.73	USD	1,436.76	
21SEP17	B	4	PD21DEC17	10995.00	11119.73	USD	2,993.52	
21SEP17	B	1	PD21DEC17	11060.00	11119.73	USD	358.38	
21SEP17	B	5	PD21DEC17	11090.00	11119.73	USD	891.90	
21SEP17	B	1	PD21DEC17	11125.00	11119.73	USD	-31.62	
21SEP17	B	3	PD21DEC17	11115.00	11119.73	USD	85.14	
21SEP17	B	5	PD21DEC17	11105.00	11119.73	USD	441.90	
21SEP17	B	3	PD21DEC17	11095.00	11119.73	USD	445.14	
21SEP17	B	4	PD21DEC17	11085.00	11119.73	USD	833.52	
21SEP17	B	1	PD21DEC17	11000.00	11119.73	USD	718.38	
21SEP17	B	1	PD21DEC17	11005.00	11119.73	USD	688.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	1	PD21DEC17	11075.00	11119.73	USD	268.38	
21SEP17	B	3	PD21DEC17	11100.00	11119.73	USD	355.14	
21SEP17	B	1	PD21DEC17	10980.00	11119.73	USD	838.38	
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	S	1	PD21DEC17	10725.00	11119.73	USD	-2,368.38	
21SEP17	S	2	PD21DEC17	10730.00	11119.73	USD	-4,676.76	
21SEP17	S	3	PD21DEC17	10655.00	11119.73	USD	-8,365.14	
21SEP17	S	1	PD21DEC17	10650.00	11119.73	USD	-2,818.38	
21SEP17	S	2	PD21DEC17	10630.00	11119.73	USD	-5,876.76	
21SEP17	S	2	PD21DEC17	10635.00	11119.73	USD	-5,816.76	
21SEP17	S	2	PD21DEC17	10750.00	11119.73	USD	-4,436.76	
28SEP17	S	29	PD21DEC17	10431.00	11119.73	USD	-119,839.02	
	NET	0 AVG BGHT 11058.67	SOLD 10542.76				-139,296.00	0.00
22SEP17	B	1	PD22DEC17	10590.00	11120.45	USD	3,182.70	
22SEP17	S	2	PD22DEC17	10615.00	11120.45	USD	-6,065.40	
22SEP17	S	2	PD22DEC17	10590.00	11120.45	USD	-6,365.40	
22SEP17	B	2	PD22DEC17	10585.00	11120.45	USD	6,425.40	
22SEP17	B	1	PD22DEC17	10600.00	11120.45	USD	3,122.70	
22SEP17	B	4	PD22DEC17	10610.00	11120.45	USD	12,250.80	
22SEP17	B	2	PD22DEC17	10580.00	11120.45	USD	6,485.40	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	B	4	PD22DEC17	10575.00	11120.45	USD	13,090.80	
22SEP17	B	1	PD22DEC17	10570.00	11120.45	USD	3,302.70	
22SEP17	B	1	PD22DEC17	10470.00	11120.45	USD	3,902.70	
22SEP17	B	1	PD22DEC17	10480.00	11120.45	USD	3,842.70	
22SEP17	B	1	PD22DEC17	10455.00	11120.45	USD	3,992.70	
22SEP17	B	1	PD22DEC17	10440.00	11120.45	USD	4,082.70	
22SEP17	B	1	PD22DEC17	10435.00	11120.45	USD	4,112.70	
22SEP17	B	2	PD22DEC17	10445.00	11120.45	USD	8,105.40	
22SEP17	B	3	PD22DEC17	10460.00	11120.45	USD	11,888.10	
22SEP17	B	1	PD22DEC17	10525.00	11120.45	USD	3,572.70	
28SEP17	S	23	PD22DEC17	10432.00	11120.45	USD	-95,006.10	
	NET	0 AVG BGHT 10537.41	SOLD 10457.26				-12,984.00	0.00
25SEP17	S	2	PD27DEC17	10605.00	11124.09	USD	-6,229.08	
25SEP17	S	2	PD27DEC17	10610.00	11124.09	USD	-6,169.08	
25SEP17	S	1	PD27DEC17	10520.00	11124.09	USD	-3,624.54	
25SEP17	S	1	PD27DEC17	10590.00	11124.09	USD	-3,204.54	
25SEP17	S	1	PD27DEC17	10585.00	11124.09	USD	-3,234.54	
25SEP17	S	2	PD27DEC17	10620.00	11124.09	USD	-6,049.08	
25SEP17	S	6	PD27DEC17	10615.00	11124.09	USD	-18,327.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	2	PD27DEC17	10575.00	11124.09	USD	-6,589.08	
25SEP17	S	2	PD27DEC17	10545.00	11124.09	USD	-6,949.08	
25SEP17	S	1	PD27DEC17	10495.00	11124.09	USD	-3,774.54	
25SEP17	S	1	PD27DEC17	10600.00	11124.09	USD	-3,144.54	
25SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
25SEP17	S	2	PD27DEC17	10525.00	11124.09	USD	-7,189.08	
25SEP17	B	3	PD27DEC17	10535.00	11124.09	USD	10,603.62	
25SEP17	B	1	PD27DEC17	10530.00	11124.09	USD	3,564.54	
25SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
25SEP17	B	1	PD27DEC17	10465.00	11124.09	USD	3,954.54	
25SEP17	B	1	PD27DEC17	10440.00	11124.09	USD	4,104.54	
25SEP17	S	4	PD27DEC17	10560.00	11124.09	USD	-13,538.16	
25SEP17	S	6	PD27DEC17	10565.00	11124.09	USD	-20,127.24	
25SEP17	S	1	PD27DEC17	10570.00	11124.09	USD	-3,324.54	
25SEP17	S	2	PD27DEC17	10595.00	11124.09	USD	-6,349.08	
25SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
25SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
25SEP17	S	1	PD27DEC17	10575.00	11124.09	USD	-3,294.54	
26SEP17	B	4	PD27DEC17	10480.00	11124.09	USD	15,458.16	
26SEP17	B	6	PD27DEC17	10475.00	11124.09	USD	23,367.24	
26SEP17	B	1	PD27DEC17	10440.00	11124.09	USD	4,104.54	
26SEP17	B	1	PD27DEC17	10430.00	11124.09	USD	4,164.54	
26SEP17	B	1	PD27DEC17	10410.00	11124.09	USD	4,284.54	
26SEP17	B	3	PD27DEC17	10415.00	11124.09	USD	12,763.62	
26SEP17	B	3	PD27DEC17	10455.00	11124.09	USD	12,043.62	
26SEP17	B	2	PD27DEC17	10445.00	11124.09	USD	8,149.08	
26SEP17	B	1	PD27DEC17	10450.00	11124.09	USD	4,044.54	
26SEP17	B	1	PD27DEC17	10510.00	11124.09	USD	3,684.54	
26SEP17	B	1	PD27DEC17	10545.00	11124.09	USD	3,474.54	
26SEP17	B	3	PD27DEC17	10500.00	11124.09	USD	11,233.62	
26SEP17	B	4	PD27DEC17	10495.00	11124.09	USD	15,098.16	
26SEP17	B	3	PD27DEC17	10505.00	11124.09	USD	11,143.62	
26SEP17	B	2	PD27DEC17	10485.00	11124.09	USD	7,669.08	
26SEP17	B	3	PD27DEC17	10490.00	11124.09	USD	11,413.62	
27SEP17	S	3	PD27DEC17	10490.00	11124.09	USD	-11,413.62	
27SEP17	S	2	PD27DEC17	10485.00	11124.09	USD	-7,669.08	
27SEP17	S	2	PD27DEC17	10505.00	11124.09	USD	-7,429.08	
27SEP17	S	9	PD27DEC17	10520.00	11124.09	USD	-32,620.86	
27SEP17	S	6	PD27DEC17	10525.00	11124.09	USD	-21,567.24	
27SEP17	S	2	PD27DEC17	10555.00	11124.09	USD	-6,829.08	
27SEP17	S	8	PD27DEC17	10560.00	11124.09	USD	-27,076.32	
27SEP17	S	3	PD27DEC17	10590.00	11124.09	USD	-9,613.62	
27SEP17	S	2	PD27DEC17	10595.00	11124.09	USD	-6,349.08	
27SEP17	S	1	PD27DEC17	10480.00	11124.09	USD	-3,864.54	
27SEP17	S	4	PD27DEC17	10485.00	11124.09	USD	-15,338.16	
27SEP17	S	3	PD27DEC17	10520.00	11124.09	USD	-10,873.62	
28SEP17	B	37	PD27DEC17	10439.67	11124.09	USD	151,941.24	
	NET	0AVG BGHT 10462.53	SOLD 10551.90				45,043.26	0.00
28SEP17	S	4	PD28DEC17	10300.00	11124.82	USD	-19,795.68	
28SEP17	S	1	PD28DEC17	10295.00	11124.82	USD	-4,978.92	
28SEP17	S	3	PD28DEC17	10275.00	11124.82	USD	-15,296.76	
28SEP17	S	2	PD28DEC17	10270.00	11124.82	USD	-10,257.84	
28SEP17	S	3	PD28DEC17	10245.00	11124.82	USD	-15,836.76	
28SEP17	S	2	PD28DEC17	10245.00	11124.82	USD	-10,557.84	
28SEP17	B	15	PD28DEC17	10441.00	11124.82	USD	61,543.80	
	NET	0AVG BGHT 10441.00	SOLD 10272.33				-15,180.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME</u>							

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18JUL17	B	1	PD18OCT17	20035.00	20990.00	USD	4,775.00	
18JUL17	B	3	PD18OCT17	20040.00	20990.00	USD	14,250.00	
20JUL17	S	2	PD18OCT17	20090.00	20990.00	USD	-9,000.00	
24JUL17	S	1	PD18OCT17	20195.00	20990.00	USD	-3,975.00	
27JUL17	S	1	PD18OCT17	20690.00	20990.00	USD	-1,500.00	
	NET	0 AVG BGHT	20038.75 SOLD 20266.25				4,550.00	0.00
19JUL17	S	1	PD19OCT17	20050.00	20988.00	USD	-4,690.00	
19JUL17	S	1	PD19OCT17	20035.00	20988.00	USD	-4,765.00	
20JUL17	B	2	PD19OCT17	20081.00	20988.00	USD	9,070.00	
	NET	0 AVG BGHT	20081.00 SOLD 20042.50				-385.00	0.00
20JUL17	S	1	PD20OCT17	20095.00	20986.00	USD	-4,455.00	
24JUL17	B	1	PD20OCT17	20166.00	20986.00	USD	4,100.00	
	NET	0 AVG BGHT	20166.00 SOLD 20095.00				-355.00	0.00
24JUL17	B	1	PD24OCT17	20120.00	20982.00	USD	4,310.00	
04AUG17	S	1	PD24OCT17	20530.00	20982.00	USD	-2,260.00	
	NET	0 AVG BGHT	20120.00 SOLD 20530.00				2,050.00	0.00
25JUL17	S	1	PD25OCT17	20325.00	20980.00	USD	-3,275.00	
27JUL17	B	1	PD25OCT17	20662.43	20980.00	USD	1,587.85	
	NET	0 AVG BGHT	20662.43 SOLD 20325.00				-1,687.15	0.00
27JUL17	B	1	PD27OCT17	20400.00	20976.00	USD	2,880.00	
27JUL17	S	1	PD27OCT17	20700.00	20976.00	USD	-1,380.00	
28JUL17	S	1	PD27OCT17	20825.00	20976.00	USD	-755.00	
28JUL17	B	2	PD27OCT17	20685.00	20976.00	USD	2,910.00	
28JUL17	B	1	PD27OCT17	20655.00	20976.00	USD	1,605.00	
04AUG17	S	2	PD27OCT17	20522.50	20976.00	USD	-4,535.00	
	NET	0 AVG BGHT	20606.25 SOLD 20642.50				725.00	0.00
31JUL17	S	1	PD31OCT17	20845.00	20972.00	USD	-635.00	
04AUG17	B	1	PD31OCT17	20518.50	20972.00	USD	2,267.50	
	NET	0 AVG BGHT	20518.50 SOLD 20845.00				1,632.50	0.00
01AUG17	S	1	PD01NOV17	20620.00	20970.00	USD	-1,750.00	
01AUG17	S	1	PD01NOV17	20650.00	20970.00	USD	-1,600.00	
04AUG17	B	2	PD01NOV17	20516.00	20970.00	USD	4,540.00	
	NET	0 AVG BGHT	20516.00 SOLD 20635.00				1,190.00	0.00
04AUG17	S	1	PD03NOV17	20620.00	20966.00	USD	-1,730.00	
04AUG17	B	1	PD03NOV17	20560.00	20966.00	USD	2,030.00	
	NET	0 AVG BGHT	20560.00 SOLD 20620.00				300.00	0.00
07AUG17	B	1	PD07NOV17	20575.00	20962.00	USD	1,935.00	
07AUG17	B	1	PD07NOV17	20560.00	20962.00	USD	2,010.00	
15AUG17	S	2	PD07NOV17	20109.00	20962.00	USD	-8,530.00	
	NET	0 AVG BGHT	20567.50 SOLD 20109.00				-4,585.00	0.00
08AUG17	B	1	PD08NOV17	20425.00	20960.00	USD	2,675.00	
08AUG17	B	1	PD08NOV17	20310.00	20960.00	USD	3,250.00	
08AUG17	B	1	PD08NOV17	20215.00	20960.00	USD	3,725.00	
08AUG17	B	1	PD08NOV17	20175.00	20960.00	USD	3,925.00	
08AUG17	B	1	PD08NOV17	20345.00	20960.00	USD	3,075.00	
15AUG17	S	5	PD08NOV17	20107.50	20960.00	USD	-21,312.50	
	NET	0 AVG BGHT	20294.00 SOLD 20107.50				-4,662.50	0.00
10AUG17	S	1	PD10NOV17	20390.00	20956.00	USD	-2,830.00	
10AUG17	B	1	PD10NOV17	20260.00	20956.00	USD	3,480.00	
10AUG17	B	1	PD10NOV17	20285.00	20956.00	USD	3,355.00	
10AUG17	S	1	PD10NOV17	20430.00	20956.00	USD	-2,630.00	
10AUG17	B	1	PD10NOV17	20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
10AUG17	B	1	PD10NOV17	20375.00	20956.00	USD	2,905.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AG

11th October 2017

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	20215.00	20956.00	USD	-3,705.00	
11AUG17	S	1	PD10NOV17	20200.00	20956.00	USD	-3,780.00	
11AUG17	B	1	PD10NOV17	20305.00	20956.00	USD	3,255.00	
11AUG17	S	1	PD10NOV17	20350.00	20956.00	USD	-3,030.00	
11AUG17	S	1	PD10NOV17	20345.00	20956.00	USD	-3,055.00	
15AUG17	B	2	PD10NOV17	20105.50	20956.00	USD	8,505.00	
	NET	0 AVG	BGHT 20248.00				2,695.00	0.00
14AUG17	S	1	PD14NOV17	20235.00	20952.00	USD	-3,585.00	
14AUG17	S	1	PD14NOV17	20285.00	20952.00	USD	-3,335.00	
14AUG17	S	1	PD14NOV17	20260.00	20952.00	USD	-3,460.00	
14AUG17	S	1	PD14NOV17	20305.00	20952.00	USD	-3,235.00	
14AUG17	S	1	PD14NOV17	20295.00	20952.00	USD	-3,285.00	
15AUG17	B	5	PD14NOV17	20102.50	20952.00	USD	21,237.50	
	NET	0 AVG	BGHT 20102.50				4,337.50	0.00
15AUG17	B	1	PD15NOV17	20115.00	20950.00	USD	4,175.00	
15AUG17	B	1	PD15NOV17	19800.00	20950.00	USD	5,750.00	
15AUG17	B	1	PD15NOV17	19970.00	20950.00	USD	4,900.00	
15AUG17	S	1	PD15NOV17	19930.00	20950.00	USD	-5,100.00	
18AUG17	S	2	PD15NOV17	20260.00	20950.00	USD	-6,900.00	
	NET	0 AVG	BGHT 19961.67				2,825.00	0.00
16AUG17	S	2	PD16NOV17	19940.00	20947.92	USD	-10,079.20	
18AUG17	B	2	PD16NOV17	20251.00	20947.92	USD	6,969.20	
	NET	0 AVG	BGHT 20251.00				-3,110.00	0.00
17AUG17	S	1	PD17NOV17	20310.00	20945.83	USD	-3,179.15	
18AUG17	S	1	PD17NOV17	20175.00	20945.83	USD	-3,854.15	
18AUG17	B	1	PD17NOV17	20165.00	20945.83	USD	3,904.15	
18AUG17	S	1	PD17NOV17	20280.00	20945.83	USD	-3,329.15	
25AUG17	B	2	PD17NOV17	20338.33	20945.83	USD	6,075.00	
	NET	0 AVG	BGHT 20280.55				-383.30	0.00
21AUG17	S	1	PD21NOV17	20400.00	20941.67	USD	-2,708.35	
04SEP17	B	1	PD21NOV17	20820.00	20941.67	USD	608.35	
	NET	0 AVG	BGHT 20820.00				-2,100.00	0.00
22AUG17	S	1	PD22NOV17	20430.00	20939.58	USD	-2,547.90	
22AUG17	S	1	PD22NOV17	20435.00	20939.58	USD	-2,522.90	
22AUG17	B	1	PD22NOV17	20300.00	20939.58	USD	3,197.90	
22AUG17	S	1	PD22NOV17	20275.00	20939.58	USD	-3,322.90	
04SEP17	B	2	PD22NOV17	20816.25	20939.58	USD	1,233.30	
	NET	0 AVG	BGHT 20644.17				-3,962.50	0.00
24AUG17	B	1	PD24NOV17	20425.00	20937.50	USD	2,562.50	
24AUG17	B	1	PD24NOV17	20400.00	20937.50	USD	2,687.50	
25AUG17	S	2	PD24NOV17	20324.00	20937.50	USD	-6,135.00	
	NET	0 AVG	BGHT 20412.50				-885.00	0.00
29AUG17	B	1	PD29NOV17	20525.00	20931.25	USD	2,031.25	
29AUG17	B	1	PD29NOV17	20500.00	20931.25	USD	2,156.25	
29AUG17	B	1	PD29NOV17	20680.00	20931.25	USD	1,256.25	
04SEP17	S	3	PD29NOV17	20800.25	20931.25	USD	-1,965.00	
	NET	0 AVG	BGHT 20568.33				3,478.75	0.00
30AUG17	B	1	PD30NOV17	20445.00	20929.17	USD	2,420.85	
04SEP17	S	1	PD30NOV17	20797.50	20929.17	USD	-658.35	
	NET	0 AVG	BGHT 20445.00				1,762.50	0.00
01SEP17	S	1	PD01DEC17	20710.00	20927.08	USD	-1,085.40	
04SEP17	B	1	PD01DEC17	20794.75	20927.08	USD	661.65	
	NET	0 AVG	BGHT 20794.75				-423.75	0.00
05SEP17	S	2	PD05DEC17	20710.00	20922.92	USD	-2,129.20	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	1	PD05DEC17	20690.00	20922.92	USD	-1,164.60	
08SEP17	B	1	PD05DEC17	20556.56	20922.92	USD	1,831.80	
20SEP17	B	1	PD05DEC17	20643.33	20922.92	USD	1,397.95	
22SEP17	B	1	PD05DEC17	20577.08	20922.92	USD	1,729.20	
	NET	0 AVG BGHT 20592.32	SOLD 20703.33				1,665.15	0.00
06SEP17	S	1	PD06DEC17	20770.00	20920.83	USD	-754.15	
22SEP17	B	1	PD06DEC17	20574.17	20920.83	USD	1,733.30	
	NET	0 AVG BGHT 20574.17	SOLD 20770.00				979.15	0.00
07SEP17	S	1	PD07DEC17	20760.00	20918.75	USD	-793.75	
07SEP17	B	2	PD07DEC17	20730.00	20918.75	USD	1,887.50	
08SEP17	S	1	PD07DEC17	20551.19	20918.75	USD	-1,837.80	
	NET	0 AVG BGHT 20730.00	SOLD 20655.60				-744.05	0.00
08SEP17	B	1	PD08DEC17	20750.00	20916.67	USD	833.35	
08SEP17	B	1	PD08DEC17	20800.00	20916.67	USD	583.35	
08SEP17	S	1	PD08DEC17	20670.00	20916.67	USD	-1,233.35	
08SEP17	S	1	PD08DEC17	20665.00	20916.67	USD	-1,258.35	
08SEP17	S	1	PD08DEC17	20550.00	20916.67	USD	-1,833.35	
28SEP17	B	1	PD08DEC17	20655.00	20916.67	USD	1,308.35	
	NET	0 AVG BGHT 20735.00	SOLD 20628.33				-1,600.00	0.00
11SEP17	S	1	PD11DEC17	20650.00	20914.58	USD	-1,322.90	
28SEP17	B	1	PD11DEC17	20653.75	20914.58	USD	1,304.15	
	NET	0 AVG BGHT 20653.75	SOLD 20650.00				-18.75	0.00
14SEP17	B	1	PD14DEC17	20675.00	20908.33	USD	1,166.65	
14SEP17	S	1	PD14DEC17	20615.00	20908.33	USD	-1,466.65	
	NET	0 AVG BGHT 20675.00	SOLD 20615.00				-300.00	0.00
15SEP17	S	1	PD15DEC17	20525.00	20906.25	USD	-1,906.25	
28SEP17	B	1	PD15DEC17	20648.75	20906.25	USD	1,287.50	
	NET	0 AVG BGHT 20648.75	SOLD 20525.00				-618.75	0.00
18SEP17	S	1	PD18DEC17	20630.00	20904.17	USD	-1,370.85	
28SEP17	B	1	PD18DEC17	20647.50	20904.17	USD	1,283.35	
	NET	0 AVG BGHT 20647.50	SOLD 20630.00				-87.50	0.00
19SEP17	B	1	PD19DEC17	20660.00	20902.08	USD	1,210.40	
20SEP17	S	1	PD19DEC17	20625.67	20902.08	USD	-1,382.05	
	NET	0 AVG BGHT 20660.00	SOLD 20625.67				-171.65	0.00
20SEP17	B	1	PD20DEC17	20720.00	20900.00	USD	900.00	
20SEP17	B	1	PD20DEC17	20765.00	20900.00	USD	675.00	
22SEP17	S	2	PD20DEC17	20544.00	20900.00	USD	-3,560.00	
	NET	0 AVG BGHT 20742.50	SOLD 20544.00				-1,985.00	0.00
28SEP17	B	1	PD28DEC17	20650.00	20890.77	USD	1,203.85	
28SEP17	B	2	PD28DEC17	20625.00	20890.77	USD	2,657.70	
28SEP17	B	1	PD28DEC17	20635.00	20890.77	USD	1,278.85	
28SEP17	S	4	PD28DEC17	20599.00	20890.77	USD	-5,835.40	
	NET	0 AVG BGHT 20633.75	SOLD 20599.00				-695.00	0.00
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14JUL17	S	1	PD13OCT17	2781.00	3295.00	USD	-12,850.00	
14JUL17	B	1	PD13OCT17	2783.50	3295.00	USD	12,787.50	
14JUL17	B	1	PD13OCT17	2788.50	3295.00	USD	12,662.50	
14JUL17	B	1	PD13OCT17	2783.50	3295.00	USD	12,787.50	
14JUL17	B	2	PD13OCT17	2782.00	3295.00	USD	25,650.00	
14JUL17	B	1	PD13OCT17	2781.50	3295.00	USD	12,837.50	
14JUL17	B	1	PD13OCT17	2788.50	3295.00	USD	12,662.50	
14JUL17	B	1	PD13OCT17	2788.00	3295.00	USD	12,675.00	
24JUL17	S	6	PD13OCT17	2788.29	3295.00	USD	-76,006.50	

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04AUG17	S	1	PD130CT17		2809.17	3295.00	USD	-12,145.75	
	NET	0 AVG	BGHT 2784.69	SOLD 2789.99				1,060.25	0.00
17JUL17	S	1	PD170CT17		2788.00	3286.33	USD	-12,458.25	
17JUL17	B	1	PD170CT17		2826.50	3286.33	USD	11,495.75	
17JUL17	B	1	PD170CT17		2830.50	3286.33	USD	11,395.75	
04AUG17	S	1	PD170CT17		2809.83	3286.33	USD	-11,912.50	
	NET	0 AVG	BGHT 2828.50	SOLD 2798.92				-1,479.25	0.00
18JUL17	S	1	PD180CT17		2791.00	3282.00	USD	-12,275.00	
18JUL17	S	1	PD180CT17		2791.50	3282.00	USD	-12,262.50	
18JUL17	B	1	PD180CT17		2787.00	3282.00	USD	12,375.00	
18JUL17	B	1	PD180CT17		2787.00	3282.00	USD	12,375.00	
	NET	0 AVG	BGHT 2787.00	SOLD 2791.25				212.50	0.00
20JUL17	B	2	PD200CT17		2756.50	3273.00	USD	25,825.00	
20JUL17	S	4	PD200CT17		2748.00	3273.00	USD	-52,500.00	
20JUL17	B	2	PD200CT17		2746.50	3273.00	USD	26,325.00	
20JUL17	S	2	PD200CT17		2745.50	3273.00	USD	-26,375.00	
20JUL17	S	2	PD200CT17		2745.00	3273.00	USD	-26,400.00	
21JUL17	S	2	PD200CT17		2774.00	3273.00	USD	-24,950.00	
24JUL17	B	6	PD200CT17		2787.80	3273.00	USD	72,780.00	
	NET	0 AVG	BGHT 2773.28	SOLD 2752.10				-5,295.00	0.00
25JUL17	B	2	PD250CT17		2785.50	3264.00	USD	23,925.00	
25JUL17	S	2	PD250CT17		2804.00	3264.00	USD	-23,000.00	
	NET	0 AVG	BGHT 2785.50	SOLD 2804.00				925.00	0.00
28JUL17	S	2	PD270CT17		2807.00	3260.67	USD	-22,683.50	
04AUG17	B	2	PD270CT17		2810.70	3260.67	USD	22,498.50	
	NET	0 AVG	BGHT 2810.70	SOLD 2807.00				-185.00	0.00
03AUG17	B	2	PD03NOV17		2812.50	3252.89	USD	22,019.50	
04AUG17	B	2	PD03NOV17		2812.00	3252.89	USD	22,044.50	
11AUG17	S	4	PD03NOV17		2895.25	3252.89	USD	-35,764.00	
	NET	0 AVG	BGHT 2812.25	SOLD 2895.25				8,300.00	0.00
07AUG17	S	2	PD07NOV17		2799.50	3250.67	USD	-22,558.50	
11AUG17	B	2	PD07NOV17		2896.16	3250.67	USD	17,725.50	
	NET	0 AVG	BGHT 2896.16	SOLD 2799.50				-4,833.00	0.00
08AUG17	B	2	PD08NOV17		2877.50	3249.56	USD	18,603.00	
08AUG17	B	1	PD08NOV17		2935.00	3249.56	USD	7,864.00	
11AUG17	S	2	PD08NOV17		2896.14	3249.56	USD	-17,671.00	
15AUG17	S	1	PD08NOV17		2958.56	3249.56	USD	-7,275.00	
	NET	0 AVG	BGHT 2896.67	SOLD 2916.95				1,521.00	0.00
09AUG17	S	2	PD09NOV17		2972.00	3248.44	USD	-13,822.00	
09AUG17	S	2	PD09NOV17		2953.50	3248.44	USD	-14,747.00	
11AUG17	B	4	PD09NOV17		2896.52	3248.44	USD	35,192.00	
	NET	0 AVG	BGHT 2896.52	SOLD 2962.75				6,623.00	0.00
10AUG17	B	2	PD10NOV17		2922.00	3247.33	USD	16,266.50	
10AUG17	B	2	PD10NOV17		2921.00	3247.33	USD	16,316.50	
11AUG17	S	2	PD10NOV17		2912.00	3247.33	USD	-16,766.50	
11AUG17	S	2	PD10NOV17		2911.00	3247.33	USD	-16,816.50	
	NET	0 AVG	BGHT 2921.50	SOLD 2911.50				-1,000.00	0.00
14AUG17	S	1	PD14NOV17		2914.50	3245.11	USD	-8,265.25	
15AUG17	B	1	PD14NOV17		2959.57	3245.11	USD	7,138.50	
	NET	0 AVG	BGHT 2959.57	SOLD 2914.50				-1,126.75	0.00
16AUG17	B	2	PD16NOV17		3049.50	3241.50	USD	9,600.00	
16AUG17	B	1	PD16NOV17		3050.00	3241.50	USD	4,787.50	
16AUG17	B	2	PD16NOV17		3089.50	3241.50	USD	7,600.00	
16AUG17	B	2	PD16NOV17		3093.00	3241.50	USD	7,425.00	

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16AUG17	B	1	PD16NOV17	3050.00	3241.50	USD	4,787.50	
16AUG17	B	2	PD16NOV17	3108.50	3241.50	USD	6,650.00	
23AUG17	S	7	PD16NOV17	3095.00	3241.50	USD	-25,637.50	
04SEP17	S	3	PD16NOV17	3201.50	3241.50	USD	-3,000.00	
	NET	0 AVG BGHT 3078.10	SOLD 3126.95				12,212.50	0.00
17AUG17	B	3	PD17NOV17	3097.00	3239.00	USD	10,650.00	
17AUG17	B	2	PD17NOV17	3096.00	3239.00	USD	7,150.00	
18AUG17	S	2	PD17NOV17	3081.50	3239.00	USD	-7,875.00	
18AUG17	S	2	PD17NOV17	3082.00	3239.00	USD	-7,850.00	
18AUG17	B	2	PD17NOV17	3104.50	3239.00	USD	6,725.00	
18AUG17	B	2	PD17NOV17	3103.00	3239.00	USD	6,800.00	
18AUG17	S	4	PD17NOV17	3102.00	3239.00	USD	-13,700.00	
18AUG17	S	2	PD17NOV17	3101.50	3239.00	USD	-6,875.00	
18AUG17	S	2	PD17NOV17	3096.00	3239.00	USD	-7,150.00	
23AUG17	B	3	PD17NOV17	3094.70	3239.00	USD	10,822.50	
	NET	0 AVG BGHT 3098.51	SOLD 3094.17				-1,302.50	0.00
22AUG17	S	1	PD22NOV17	3119.50	3236.00	USD	-2,912.50	
22AUG17	S	2	PD22NOV17	3102.50	3236.00	USD	-6,675.00	
22AUG17	S	1	PD22NOV17	3114.00	3236.00	USD	-3,050.00	
23AUG17	B	4	PD22NOV17	3096.13	3236.00	USD	13,987.00	
	NET	0 AVG BGHT 3096.13	SOLD 3109.63				1,349.50	0.00
23AUG17	B	1	PD24NOV17	3106.50	3235.00	USD	3,212.50	
23AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
23AUG17	B	1	PD24NOV17	3108.50	3235.00	USD	3,162.50	
24AUG17	S	1	PD24NOV17	3110.50	3235.00	USD	-3,112.50	
24AUG17	B	1	PD24NOV17	3120.50	3235.00	USD	2,862.50	
24AUG17	S	1	PD24NOV17	3116.50	3235.00	USD	-2,962.50	
25AUG17	S	1	PD24NOV17	3105.00	3235.00	USD	-3,250.00	
25AUG17	B	4	PD24NOV17	3134.00	3235.00	USD	10,100.00	
25AUG17	S	1	PD24NOV17	3098.50	3235.00	USD	-3,412.50	
25AUG17	S	1	PD24NOV17	3089.00	3235.00	USD	-3,650.00	
25AUG17	S	1	PD24NOV17	3077.50	3235.00	USD	-3,937.50	
25AUG17	S	1	PD24NOV17	3079.00	3235.00	USD	-3,900.00	
25AUG17	S	1	PD24NOV17	3066.50	3235.00	USD	-4,212.50	
25AUG17	S	1	PD24NOV17	3065.50	3235.00	USD	-4,237.50	
04SEP17	B	1	PD24NOV17	3203.95	3235.00	USD	776.25	
	NET	0 AVG BGHT 3131.72	SOLD 3089.78				-9,436.25	0.00
29AUG17	S	1	PD29NOV17	3105.00	3234.00	USD	-3,225.00	
29AUG17	S	1	PD29NOV17	3099.50	3234.00	USD	-3,362.50	
29AUG17	S	1	PD29NOV17	3099.00	3234.00	USD	-3,375.00	
29AUG17	B	1	PD29NOV17	3112.00	3234.00	USD	3,050.00	
29AUG17	S	2	PD29NOV17	3098.00	3234.00	USD	-6,800.00	
29AUG17	B	1	PD29NOV17	3100.50	3234.00	USD	3,337.50	
29AUG17	S	1	PD29NOV17	3124.00	3234.00	USD	-2,750.00	
29AUG17	B	1	PD29NOV17	3119.00	3234.00	USD	2,875.00	
29AUG17	B	1	PD29NOV17	3116.00	3234.00	USD	2,950.00	
29AUG17	B	1	PD29NOV17	3116.50	3234.00	USD	2,937.50	
29AUG17	S	1	PD29NOV17	3122.00	3234.00	USD	-2,800.00	
04SEP17	B	2	PD29NOV17	3204.58	3234.00	USD	1,471.00	
	NET	0 AVG BGHT 3139.02	SOLD 3106.50				-5,691.50	0.00
30AUG17	B	1	PD30NOV17	3109.50	3233.67	USD	3,104.25	
31AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
31AUG17	B	1	PD30NOV17	3150.00	3233.67	USD	2,091.75	
08SEP17	S	1	PD30NOV17	3029.45	3233.67	USD	-5,105.50	
	NET	0 AVG BGHT 3129.75	SOLD 3078.73				-2,551.25	0.00
01SEP17	B	1	PD01DEC17	3142.00	3233.33	USD	2,283.25	

OPEN POSITION STATEMENT



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SAP096AG

11th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	3166.00	3233.33	USD	-1,683.25	
01SEP17	B	1	PD01DEC17	3154.50	3233.33	USD	1,970.75	
01SEP17	B	1	PD01DEC17	3174.00	3233.33	USD	1,483.25	
08SEP17	S	2	PD01DEC17	3029.65	3233.33	USD	-10,184.00	
	NET	0 AVG	BGHT 3156.83				-6,130.00	0.00
04SEP17	S	1	PD04DEC17	3194.50	3233.00	USD	-962.50	
04SEP17	S	1	PD04DEC17	3205.00	3233.00	USD	-700.00	
08SEP17	B	2	PD04DEC17	3030.43	3233.00	USD	10,128.50	
	NET	0 AVG	BGHT 3030.43				8,466.00	0.00
05SEP17	S	1	PD05DEC17	3175.50	3232.67	USD	-1,429.25	
05SEP17	S	2	PD05DEC17	3148.00	3232.67	USD	-4,233.50	
05SEP17	S	1	PD05DEC17	3100.50	3232.67	USD	-3,304.25	
08SEP17	B	1	PD05DEC17	3030.62	3232.67	USD	5,051.25	
08SEP17	B	3	PD05DEC17	3030.42	3232.67	USD	15,168.75	
	NET	0 AVG	BGHT 3030.47				11,253.00	0.00
06SEP17	B	1	PD06DEC17	3109.00	3232.33	USD	3,083.25	
06SEP17	B	1	PD06DEC17	3107.00	3232.33	USD	3,133.25	
06SEP17	B	1	PD06DEC17	3106.50	3232.33	USD	3,145.75	
08SEP17	S	3	PD06DEC17	3030.41	3232.33	USD	-15,144.00	
	NET	0 AVG	BGHT 3107.50				-5,781.75	0.00
15SEP17	B	1	PD15DEC17	3037.00	3230.00	USD	4,825.00	
15SEP17	S	1	PD15DEC17	3045.50	3230.00	USD	-4,612.50	
	NET	0 AVG	BGHT 3037.00				212.50	0.00
18SEP17	B	2	PD18DEC17	3075.50	3229.67	USD	7,708.50	
18SEP17	S	1	PD18DEC17	3076.00	3229.67	USD	-3,841.75	
18SEP17	B	1	PD18DEC17	3089.00	3229.67	USD	3,516.75	
18SEP17	B	1	PD18DEC17	3096.50	3229.67	USD	3,329.25	
18SEP17	B	1	PD18DEC17	3098.50	3229.67	USD	3,279.25	
20SEP17	S	1	PD18DEC17	3131.47	3229.67	USD	-2,455.00	
22SEP17	S	3	PD18DEC17	3033.69	3229.67	USD	-14,698.50	
	NET	0 AVG	BGHT 3087.00				-3,161.50	0.00
19SEP17	S	1	PD19DEC17	3107.50	3229.33	USD	-3,045.75	
20SEP17	B	1	PD19DEC17	3131.93	3229.33	USD	2,435.00	
	NET	0 AVG	BGHT 3131.93				-610.75	0.00
20SEP17	B	1	PD20DEC17	3099.00	3229.00	USD	3,250.00	
20SEP17	B	1	PD20DEC17	3097.00	3229.00	USD	3,300.00	
20SEP17	B	1	PD20DEC17	3088.00	3229.00	USD	3,525.00	
20SEP17	B	1	PD20DEC17	3101.00	3229.00	USD	3,200.00	
20SEP17	B	1	PD20DEC17	3123.50	3229.00	USD	2,637.50	
20SEP17	B	1	PD20DEC17	3126.50	3229.00	USD	2,562.50	
20SEP17	B	1	PD20DEC17	3126.00	3229.00	USD	2,575.00	
20SEP17	B	1	PD20DEC17	3128.00	3229.00	USD	2,525.00	
20SEP17	B	1	PD20DEC17	3128.50	3229.00	USD	2,512.50	
20SEP17	S	1	PD20DEC17	3144.00	3229.00	USD	-2,125.00	
20SEP17	S	1	PD20DEC17	3143.00	3229.00	USD	-2,150.00	
20SEP17	S	1	PD20DEC17	3142.00	3229.00	USD	-2,175.00	
20SEP17	S	1	PD20DEC17	3141.50	3229.00	USD	-2,187.50	
20SEP17	S	1	PD20DEC17	3128.50	3229.00	USD	-2,512.50	
20SEP17	S	1	PD20DEC17	3127.50	3229.00	USD	-2,537.50	
28SEP17	S	3	PD20DEC17	3151.00	3229.00	USD	-5,850.00	
	NET	0 AVG	BGHT 3113.06				6,550.00	0.00
21SEP17	S	1	PD21DEC17	3081.50	3225.00	USD	-3,587.50	
21SEP17	S	1	PD21DEC17	3081.00	3225.00	USD	-3,600.00	
21SEP17	S	1	PD21DEC17	3027.50	3225.00	USD	-4,937.50	
22SEP17	B	3	PD21DEC17	3032.70	3225.00	USD	14,422.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3032.70	SOLD 3063.33				2,297.50	0.00
22SEP17	S	1 PD22DEC17		3042.50	3224.50	USD	-4,550.00	
22SEP17	S	1 PD22DEC17		3041.50	3224.50	USD	-4,575.00	
22SEP17	S	1 PD22DEC17		3040.00	3224.50	USD	-4,612.50	
28SEP17	B	3 PD22DEC17		3147.70	3224.50	USD	5,760.00	
	NET	0 AVG BGHT 3147.70	SOLD 3041.33				-7,977.50	0.00
27SEP17	B	1 PD27DEC17		3140.00	3224.00	USD	2,100.00	
27SEP17	B	1 PD27DEC17		3139.50	3224.00	USD	2,112.50	
27SEP17	S	1 PD27DEC17		3107.00	3224.00	USD	-2,925.00	
27SEP17	S	1 PD27DEC17		3107.50	3224.00	USD	-2,912.50	
	NET	0 AVG BGHT 3139.75	SOLD 3107.25				-1,625.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	70,940.75
LME Copper Grade A 25	FUTURES	-117,915.00
LME Lead 25 tonnes. This is an	FUTURES	38,760.00
LME Nickel 6 tonnes. This is	FUTURES	38,490.78
LME Tin 5 tonnes. This is an	FUTURES	-569.35
LME Zinc 25 tonnes. This is	FUTURES	2,795.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	70,940.75	70,940.75		
COPL	- Futures	USD	-117,915.00	-117,915.00		
LEAD	- Futures	USD	38,760.00	38,760.00		
NKL	- Futures	USD	38,490.78	38,490.78		
TIN	- Futures	USD	-569.35	-569.35		
ZINC	- Futures	USD	2,795.75	2,795.75	32,502.93	32,502.93

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-32,502.89	-32,502.89
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-32,502.89	-32,502.89
Initial Margins		0.00	0.00
Excess/(Shortage)		-32,502.89	-32,502.89
FOR INFORMATION ONLY			
Forward Unrealised P&L		32,502.93	32,502.93
Net Liquidating Value		0.04	0.04

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28JUL17	B	1	PD27OCT17	1915.00	2111.00	USD	4,900.00	
28JUL17	B	1	PD27OCT17	1910.50	2111.00	USD	5,012.50	
28JUL17	B	2	PD27OCT17	1913.00	2111.00	USD	9,900.00	
03AUG17	S	4	PD27OCT17	1914.05	2111.00	USD	-19,695.00	
	NET	0 AVG BGHT 1912.88	SOLD 1914.05				117.50	0.00
08AUG17	S	2	PD08NOV17	1997.00	2113.00	USD	-5,800.00	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	S	1	PD08NOV17	1998.50	2113.00	USD	-2,862.50	
08AUG17	S	2	PD08NOV17	2002.00	2113.00	USD	-5,550.00	
08AUG17	S	1	PD08NOV17	2002.50	2113.00	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	2003.00	2113.00	USD	-2,750.00	
08AUG17	S	2	PD08NOV17	2003.50	2113.00	USD	-5,475.00	
08AUG17	S	1	PD08NOV17	2004.00	2113.00	USD	-2,725.00	
08AUG17	S	1	PD08NOV17	2005.50	2113.00	USD	-2,687.50	
08AUG17	B	12	PD08NOV17	2030.20	2113.00	USD	24,840.00	
	NET	0 AVG BGHT 2030.20	SOLD 2001.38				-8,647.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
06OCT17	S	1	DEC17	305.450	309.550	USD	-1,025.00	
06OCT17	S	1	DEC17	305.500	309.550	USD	-1,012.50	
06OCT17	S	2	DEC17	305.150	309.550	USD	-2,200.00	
06OCT17	S	1	DEC17	305.250	309.550	USD	-1,075.00	
06OCT17	S	1	DEC17	305.100	309.550	USD	-1,112.50	
06OCT17	S	1	DEC17	305.000	309.550	USD	-1,137.50	
06OCT17	S	1	DEC17	305.050	309.550	USD	-1,125.00	
06OCT17	S	1	DEC17	304.800	309.550	USD	-1,187.50	
06OCT17	S	1	DEC17	304.750	309.550	USD	-1,200.00	
	NET	-10 AVG SOLD 305.120					-11,075.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	16	PD02NOV17	2492.15	2556.89	USD	-25,896.00	
	NET	-16 AVG SOLD 2492.15					-25,896.00	0.00
18AUG17	B	2	PD17NOV17	2409.50	2558.75	USD	7,462.50	
18AUG17	B	1	PD17NOV17	2411.00	2558.75	USD	3,693.75	
18AUG17	B	1	PD17NOV17	2413.00	2558.75	USD	3,643.75	
18AUG17	B	2	PD17NOV17	2421.00	2558.75	USD	6,887.50	
18AUG17	B	1	PD17NOV17	2423.00	2558.75	USD	3,393.75	
18AUG17	B	1	PD17NOV17	2426.00	2558.75	USD	3,318.75	
18AUG17	B	1	PD17NOV17	2427.00	2558.75	USD	3,293.75	
18AUG17	S	9	PD17NOV17	2360.80	2558.75	USD	-44,538.75	
	NET	0 AVG BGHT 2417.89	SOLD 2360.80				-12,845.00	0.00
24AUG17	B	3	PD24NOV17	2354.00	2559.75	USD	15,431.25	
24AUG17	B	1	PD24NOV17	2354.50	2559.75	USD	5,131.25	
24AUG17	B	1	PD24NOV17	2355.00	2559.75	USD	5,118.75	
24AUG17	S	5	PD24NOV17	2356.80	2559.75	USD	-25,368.75	
	NET	0 AVG BGHT 2354.30	SOLD 2356.80				312.50	0.00
06SEP17	B	2	PD06DEC17	2335.50	2561.00	USD	11,275.00	
06SEP17	B	1	PD06DEC17	2336.00	2561.00	USD	5,625.00	
06SEP17	B	2	PD06DEC17	2336.50	2561.00	USD	11,225.00	
08SEP17	S	5	PD06DEC17	2264.80	2561.00	USD	-37,025.00	
	NET	0 AVG BGHT 2336.00	SOLD 2264.80				-8,900.00	0.00
07SEP17	B	1	PD07DEC17	2347.50	2561.25	USD	5,343.75	
07SEP17	B	2	PD07DEC17	2348.50	2561.25	USD	10,637.50	
07SEP17	B	1	PD07DEC17	2346.00	2561.25	USD	5,381.25	
07SEP17	B	1	PD07DEC17	2348.00	2561.25	USD	5,331.25	
07SEP17	B	1	PD07DEC17	2341.00	2561.25	USD	5,506.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	1	PD07DEC17	2343.00	2561.25	USD	5,456.25	
07SEP17	B	1	PD07DEC17	2345.00	2561.25	USD	5,406.25	
07SEP17	B	1	PD07DEC17	2340.50	2561.25	USD	5,518.75	
08SEP17	S	9	PD07DEC17	2264.80	2561.25	USD	-66,701.25	
	NET	0 AVG BGHT 2345.33	SOLD 2264.80				-18,120.00	0.00
18SEP17	S	1	PD18DEC17	2402.50	2564.00	USD	-4,037.50	
18SEP17	S	2	PD18DEC17	2403.00	2564.00	USD	-8,050.00	
18SEP17	S	1	PD18DEC17	2405.50	2564.00	USD	-3,962.50	
18SEP17	S	2	PD18DEC17	2404.50	2564.00	USD	-7,975.00	
18SEP17	S	3	PD18DEC17	2403.50	2564.00	USD	-12,037.50	
18SEP17	S	5	PD18DEC17	2405.00	2564.00	USD	-19,875.00	
18SEP17	B	14	PD18DEC17	2390.20	2564.00	USD	60,830.00	
	NET	0 AVG BGHT 2390.20	SOLD 2404.18				4,892.50	0.00
19SEP17	S	1	PD19DEC17	2413.50	2564.25	USD	-3,768.75	
19SEP17	S	2	PD19DEC17	2414.50	2564.25	USD	-7,487.50	
19SEP17	S	1	PD19DEC17	2415.00	2564.25	USD	-3,731.25	
19SEP17	S	1	PD19DEC17	2415.50	2564.25	USD	-3,718.75	
19SEP17	S	1	PD19DEC17	2416.50	2564.25	USD	-3,693.75	
19SEP17	S	1	PD19DEC17	2419.00	2564.25	USD	-3,631.25	
19SEP17	S	2	PD19DEC17	2419.50	2564.25	USD	-7,237.50	
19SEP17	S	1	PD19DEC17	2421.50	2564.25	USD	-3,568.75	
19SEP17	S	2	PD19DEC17	2417.50	2564.25	USD	-7,337.50	
19SEP17	S	3	PD19DEC17	2420.00	2564.25	USD	-10,818.75	
21SEP17	B	15	PD19DEC17	2518.19	2564.25	USD	17,272.50	
	NET	0 AVG BGHT 2518.19	SOLD 2417.60				-37,721.25	0.00
21SEP17	S	1	PD21DEC17	2473.00	2563.50	USD	-2,262.50	
21SEP17	B	1	PD21DEC17	2513.20	2563.50	USD	1,257.50	
	NET	0 AVG BGHT 2513.20	SOLD 2473.00				-1,005.00	0.00
28SEP17	B	2	PD28DEC17	2483.50	2563.60	USD	4,005.00	
28SEP17	B	1	PD28DEC17	2484.00	2563.60	USD	1,990.00	
28SEP17	B	2	PD28DEC17	2485.50	2563.60	USD	3,905.00	
28SEP17	B	1	PD28DEC17	2484.50	2563.60	USD	1,977.50	
28SEP17	B	1	PD28DEC17	2486.00	2563.60	USD	1,940.00	
	NET	+7 AVG BGHT 2484.64					13,817.50	0.00
29SEP17	S	1	PD29DEC17	2509.00	2563.70	USD	-1,367.50	
29SEP17	S	1	PD29DEC17	2508.50	2563.70	USD	-1,380.00	
29SEP17	S	1	PD29DEC17	2511.50	2563.70	USD	-1,305.00	
29SEP17	S	1	PD29DEC17	2510.00	2563.70	USD	-1,342.50	
29SEP17	S	1	PD29DEC17	2508.00	2563.70	USD	-1,392.50	
29SEP17	S	2	PD29DEC17	2509.50	2563.70	USD	-2,710.00	
	NET	-7 AVG SOLD 2509.43					-9,497.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	11745.00	11109.74	USD	-3,811.56	
08SEP17	B	1	PD08DEC17	11735.00	11109.74	USD	-3,751.56	
08SEP17	B	1	PD08DEC17	11740.00	11109.74	USD	-3,781.56	
08SEP17	B	1	PD08DEC17	11495.00	11109.74	USD	-2,311.56	
08SEP17	B	2	PD08DEC17	11500.00	11109.74	USD	-4,683.12	
29SEP17	S	5	PD08DEC17	10478.87	11109.74	USD	-18,926.10	
	NET	+1 AVG BGHT 11619.17	SOLD 10478.87				-37,265.46	0.00
13SEP17	B	1	PD13DEC17	11570.00	11113.60	USD	-2,738.40	
13SEP17	B	2	PD13DEC17	11575.00	11113.60	USD	-5,536.80	
	NET	+3 AVG BGHT 11573.33					-8,275.20	0.00
28SEP17	S	5	PD28DEC17	10315.00	11124.82	USD	-24,294.60	
29SEP17	B	5	PD28DEC17	10499.79	11124.82	USD	18,750.90	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 10499.79 SOLD 10315.00				-5,543.70	0.00
29SEP17	B 1 PD29DEC17	10425.00	11125.55	USD	4,203.30	
29SEP17	B 1 PD29DEC17	10430.00	11125.55	USD	4,173.30	
29SEP17	B 1 PD29DEC17	10435.00	11125.55	USD	4,143.30	
	NET +3AVG BGHT 10430.00				12,519.90	0.00
05OCT17	B 1 PD05JAN18	10550.00	11130.64	USD	3,483.84	
	NET +1AVG BGHT 10550.00				3,483.84	0.00
	<u>CMX SILVER 5,000 CMX</u>					
18SEP17	B 2 DEC17	1714.5	1713.3	USD	-120.00	
19SEP17	B 2 DEC17	1719.5	1713.3	USD	-620.00	
04OCT17	B 1 DEC17	1677.0	1713.3	USD	1,815.00	
04OCT17	B 4 DEC17	1677.5	1713.3	USD	7,160.00	
04OCT17	B 1 DEC17	1678.0	1713.3	USD	1,765.00	
04OCT17	B 1 DEC17	1668.5	1713.3	USD	2,240.00	
04OCT17	B 1 DEC17	1665.0	1713.3	USD	2,415.00	
04OCT17	B 2 DEC17	1664.5	1713.3	USD	4,880.00	
06OCT17	B 1 DEC17	1636.5	1713.3	USD	3,840.00	
06OCT17	B 1 DEC17	1636.0	1713.3	USD	3,865.00	
	NET +16AVG BGHT 1679.3				27,240.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	2856.00	3299.33	USD	-11,083.25	
12JUL17	S 2 PD12OCT17	2857.00	3299.33	USD	-22,116.50	
12JUL17	S 1 PD12OCT17	2857.50	3299.33	USD	-11,045.75	
12JUL17	S 3 PD12OCT17	2858.50	3299.33	USD	-33,062.25	
12JUL17	S 1 PD12OCT17	2859.50	3299.33	USD	-10,995.75	
12JUL17	S 1 PD12OCT17	2860.00	3299.33	USD	-10,983.25	
12JUL17	S 1 PD12OCT17	2861.50	3299.33	USD	-10,945.75	
31JUL17	B 8 PD12OCT17	2792.41	3299.33	USD	101,384.00	
31JUL17	B 2 PD12OCT17	2792.21	3299.33	USD	25,356.00	
	NET 0AVG BGHT 2792.37 SOLD 2858.40				16,507.50	0.00
18JUL17	B 2 PD18OCT17	2779.00	3282.00	USD	25,150.00	
18JUL17	B 1 PD18OCT17	2777.00	3282.00	USD	12,625.00	
18JUL17	B 1 PD18OCT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B 1 PD18OCT17	2778.50	3282.00	USD	12,587.50	
18JUL17	B 1 PD18OCT17	2769.50	3282.00	USD	12,812.50	
18JUL17	B 1 PD18OCT17	2770.00	3282.00	USD	12,800.00	
18JUL17	B 1 PD18OCT17	2771.00	3282.00	USD	12,775.00	
18JUL17	B 1 PD18OCT17	2772.00	3282.00	USD	12,750.00	
18JUL17	B 1 PD18OCT17	2773.50	3282.00	USD	12,712.50	
18JUL17	S 5 PD18OCT17	2794.00	3282.00	USD	-61,000.00	
18JUL17	S 2 PD18OCT17	2794.50	3282.00	USD	-24,375.00	
18JUL17	S 1 PD18OCT17	2795.00	3282.00	USD	-12,175.00	
18JUL17	S 2 PD18OCT17	2793.50	3282.00	USD	-24,425.00	
	NET 0AVG BGHT 2774.70 SOLD 2794.10				4,850.00	0.00
28JUL17	B 1 PD27OCT17	2772.00	3260.67	USD	12,216.75	
28JUL17	B 1 PD27OCT17	2774.00	3260.67	USD	12,166.75	
28JUL17	B 2 PD27OCT17	2776.00	3260.67	USD	24,233.50	
28JUL17	B 2 PD27OCT17	2768.50	3260.67	USD	24,608.50	
28JUL17	B 1 PD27OCT17	2769.00	3260.67	USD	12,291.75	
28JUL17	B 3 PD27OCT17	2770.00	3260.67	USD	36,800.25	
28JUL17	S 1 PD27OCT17	2790.00	3260.67	USD	-11,766.75	
28JUL17	S 1 PD27OCT17	2791.50	3260.67	USD	-11,729.25	
28JUL17	S 8 PD27OCT17	2775.80	3260.67	USD	-96,974.00	
	NET 0AVG BGHT 2771.40 SOLD 2778.79				1,847.50	0.00

OPEN POSITION STATEMENT



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SAP096AH

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	2794.00	3257.33	USD	11,583.25	
31JUL17	B	1	PD31OCT17	2794.50	3257.33	USD	11,570.75	
31JUL17	S	2	PD31OCT17	2794.80	3257.33	USD	-23,126.50	
	NET	0 AVG BGHT 2794.25	SOLD 2794.80				27.50	0.00
10OCT17	S	10	PD02NOV17	3312.00	3254.00	USD	14,500.00	
	NET	-10 AVG SOLD 3312.00					14,500.00	0.00
08AUG17	S	1	PD08NOV17	2881.00	3249.56	USD	-9,214.00	
08AUG17	S	1	PD08NOV17	2882.50	3249.56	USD	-9,176.50	
08AUG17	S	2	PD08NOV17	2883.50	3249.56	USD	-18,303.00	
08AUG17	S	1	PD08NOV17	2884.50	3249.56	USD	-9,126.50	
08AUG17	S	1	PD08NOV17	2894.50	3249.56	USD	-8,876.50	
08AUG17	S	2	PD08NOV17	2894.00	3249.56	USD	-17,778.00	
08AUG17	S	1	PD08NOV17	2897.50	3249.56	USD	-8,801.50	
08AUG17	B	7	PD08NOV17	2925.20	3249.56	USD	56,763.00	
08AUG17	S	2	PD08NOV17	2882.00	3249.56	USD	-18,378.00	
09AUG17	B	4	PD08NOV17	2931.51	3249.56	USD	31,805.00	
	NET	0 AVG BGHT 2927.49	SOLD 2887.18				-11,086.00	0.00
09AUG17	S	2	PD09NOV17	2972.50	3248.44	USD	-13,797.00	
09AUG17	S	2	PD09NOV17	2974.00	3248.44	USD	-13,722.00	
09AUG17	S	1	PD09NOV17	2974.50	3248.44	USD	-6,848.50	
09AUG17	S	2	PD09NOV17	2975.00	3248.44	USD	-13,672.00	
09AUG17	S	1	PD09NOV17	2975.50	3248.44	USD	-6,823.50	
09AUG17	B	8	PD09NOV17	2931.70	3248.44	USD	63,348.00	
	NET	0 AVG BGHT 2931.70	SOLD 2974.13				8,485.00	0.00
16AUG17	S	1	PD16NOV17	2990.50	3241.50	USD	-6,275.00	
16AUG17	S	1	PD16NOV17	2991.00	3241.50	USD	-6,262.50	
16AUG17	S	2	PD16NOV17	3022.50	3241.50	USD	-10,950.00	
16AUG17	S	1	PD16NOV17	3024.00	3241.50	USD	-5,437.50	
16AUG17	S	1	PD16NOV17	3024.50	3241.50	USD	-5,425.00	
16AUG17	S	2	PD16NOV17	3029.00	3241.50	USD	-10,625.00	
16AUG17	S	1	PD16NOV17	3031.00	3241.50	USD	-5,262.50	
16AUG17	S	2	PD16NOV17	3032.00	3241.50	USD	-10,475.00	
16AUG17	S	3	PD16NOV17	2990.00	3241.50	USD	-18,862.50	
16AUG17	S	1	PD16NOV17	2989.50	3241.50	USD	-6,300.00	
16AUG17	S	1	PD16NOV17	3091.00	3241.50	USD	-3,762.50	
16AUG17	S	1	PD16NOV17	3091.50	3241.50	USD	-3,750.00	
16AUG17	B	8	PD16NOV17	3110.15	3241.50	USD	26,270.00	
16AUG17	B	9	PD16NOV17	3109.65	3241.50	USD	29,666.25	
	NET	0 AVG BGHT 3109.89	SOLD 3021.76				-37,451.25	0.00
21AUG17	S	1	PD21NOV17	3139.50	3237.00	USD	-2,437.50	
22AUG17	B	1	PD21NOV17	3118.80	3237.00	USD	2,955.00	
	NET	0 AVG BGHT 3118.80	SOLD 3139.50				517.50	0.00
22AUG17	S	3	PD22NOV17	3147.00	3236.00	USD	-6,675.00	
22AUG17	B	3	PD22NOV17	3119.20	3236.00	USD	8,760.00	
	NET	0 AVG BGHT 3119.20	SOLD 3147.00				2,085.00	0.00
30AUG17	B	1	PD30NOV17	3123.50	3233.67	USD	2,754.25	
30AUG17	B	1	PD30NOV17	3104.00	3233.67	USD	3,241.75	
30AUG17	B	1	PD30NOV17	3098.00	3233.67	USD	3,391.75	
30AUG17	S	1	PD30NOV17	3095.00	3233.67	USD	-3,466.75	
30AUG17	B	1	PD30NOV17	3095.00	3233.67	USD	3,466.75	
30AUG17	B	2	PD30NOV17	3096.00	3233.67	USD	6,883.50	
30AUG17	B	4	PD30NOV17	3097.00	3233.67	USD	13,667.00	
30AUG17	S	1	PD30NOV17	3094.00	3233.67	USD	-3,491.75	
30AUG17	S	1	PD30NOV17	3094.50	3233.67	USD	-3,479.25	
30AUG17	S	2	PD30NOV17	3095.50	3233.67	USD	-6,908.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3093.50	3233.67	USD	-3,504.25	
30AUG17	B	1	PD30NOV17	3090.00	3233.67	USD	3,591.75	
30AUG17	S	1	PD30NOV17	3093.00	3233.67	USD	-3,516.75	
30AUG17	S	2	PD30NOV17	3090.00	3233.67	USD	-7,183.50	
31AUG17	S	1	PD30NOV17	3112.00	3233.67	USD	-3,041.75	
31AUG17	B	1	PD30NOV17	3126.00	3233.67	USD	2,691.75	
31AUG17	B	5	PD30NOV17	3126.50	3233.67	USD	13,396.25	
31AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
31AUG17	S	1	PD30NOV17	3136.50	3233.67	USD	-2,429.25	
31AUG17	S	1	PD30NOV17	3139.50	3233.67	USD	-2,354.25	
31AUG17	S	1	PD30NOV17	3140.00	3233.67	USD	-2,341.75	
31AUG17	S	2	PD30NOV17	3128.00	3233.67	USD	-5,283.50	
31AUG17	S	1	PD30NOV17	3147.00	3233.67	USD	-2,166.75	
31AUG17	S	3	PD30NOV17	3155.00	3233.67	USD	-5,900.25	
31AUG17	S	1	PD30NOV17	3155.50	3233.67	USD	-1,954.25	
31AUG17	S	4	PD30NOV17	3156.50	3233.67	USD	-7,717.00	
31AUG17	S	2	PD30NOV17	3157.00	3233.67	USD	-3,833.50	
31AUG17	S	4	PD30NOV17	3158.00	3233.67	USD	-7,567.00	
31AUG17	S	13	PD30NOV17	3145.80	3233.67	USD	-28,557.75	
01SEP17	B	10	PD30NOV17	3185.06	3233.67	USD	12,152.50	
06SEP17	B	17	PD30NOV17	3093.70	3233.67	USD	59,487.25	
	NET	0 AVG BGHT 3120.28	SOLD 3135.68				16,935.00	0.00
01SEP17	S	1	PD01DEC17	3156.00	3233.33	USD	-1,933.25	
01SEP17	S	1	PD01DEC17	3156.50	3233.33	USD	-1,920.75	
01SEP17	S	1	PD01DEC17	3168.00	3233.33	USD	-1,633.25	
01SEP17	S	3	PD01DEC17	3169.00	3233.33	USD	-4,824.75	
01SEP17	S	1	PD01DEC17	3170.50	3233.33	USD	-1,570.75	
01SEP17	S	2	PD01DEC17	3184.50	3233.33	USD	-2,441.50	
06SEP17	B	9	PD01DEC17	3093.95	3233.33	USD	31,360.50	
	NET	0 AVG BGHT 3093.95	SOLD 3169.67				17,036.25	0.00
18SEP17	S	1	PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	S	2	PD18DEC17	3088.00	3229.67	USD	-7,083.50	
18SEP17	B	3	PD18DEC17	3098.20	3229.67	USD	9,860.25	
	NET	0 AVG BGHT 3098.20	SOLD 3087.67				-790.00	0.00
25SEP17	S	1	PD27DEC17	3093.50	3224.00	USD	-3,262.50	
25SEP17	S	1	PD27DEC17	3094.50	3224.00	USD	-3,237.50	
25SEP17	S	2	PD27DEC17	3097.00	3224.00	USD	-6,350.00	
25SEP17	B	3	PD27DEC17	3096.70	3224.00	USD	9,547.50	
26SEP17	B	1	PD27DEC17	3123.00	3224.00	USD	2,525.00	
	NET	0 AVG BGHT 3103.28	SOLD 3095.50				-777.50	0.00
29SEP17	B	2	PD29DEC17	3144.50	3223.00	USD	3,925.00	
29SEP17	B	3	PD29DEC17	3147.00	3223.00	USD	5,700.00	
29SEP17	B	2	PD29DEC17	3148.00	3223.00	USD	3,750.00	
29SEP17	B	2	PD29DEC17	3149.50	3223.00	USD	3,675.00	
29SEP17	B	10	PD29DEC17	3150.00	3223.00	USD	18,250.00	
29SEP17	B	1	PD29DEC17	3150.50	3223.00	USD	1,812.50	
29SEP17	B	2	PD29DEC17	3153.00	3223.00	USD	3,500.00	
29SEP17	S	2	PD29DEC17	3160.00	3223.00	USD	-3,150.00	
29SEP17	B	5	PD29DEC17	3149.00	3223.00	USD	9,250.00	
29SEP17	S	7	PD29DEC17	3150.85	3223.00	USD	-12,626.25	
29SEP17	S	20	PD29DEC17	3152.85	3223.00	USD	-35,075.00	
	NET	-2 AVG BGHT 3149.13	SOLD 3152.86				-988.75	0.00
04OCT17	S	1	PD04JAN18	3293.50	3221.50	USD	1,800.00	
04OCT17	S	1	PD04JAN18	3294.00	3221.50	USD	1,812.50	
04OCT17	S	1	PD04JAN18	3295.00	3221.50	USD	1,837.50	
04OCT17	S	1	PD04JAN18	3296.50	3221.50	USD	1,875.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	4	PD04JAN18	3281.38	3221.50	USD	-5,988.00	
	NET	0 AVG	BGHT 3281.38				1,337.00	0.00
05OCT17	S	2	PD05JAN18	3290.50	3221.00	USD	3,475.00	
05OCT17	S	1	PD05JAN18	3291.00	3221.00	USD	1,750.00	
05OCT17	S	2	PD05JAN18	3291.50	3221.00	USD	3,525.00	
05OCT17	B	1	PD05JAN18	3298.00	3221.00	USD	-1,925.00	
05OCT17	B	1	PD05JAN18	3297.00	3221.00	USD	-1,900.00	
05OCT17	B	3	PD05JAN18	3296.00	3221.00	USD	-5,625.00	
06OCT17	S	1	PD05JAN18	3289.50	3221.00	USD	1,712.50	
06OCT17	S	1	PD05JAN18	3290.00	3221.00	USD	1,725.00	
06OCT17	S	2	PD05JAN18	3288.00	3221.00	USD	3,350.00	
06OCT17	S	1	PD05JAN18	3278.50	3221.00	USD	1,437.50	
06OCT17	B	1	PD05JAN18	3250.50	3221.00	USD	-737.50	
06OCT17	S	1	PD05JAN18	3249.00	3221.00	USD	700.00	
06OCT17	B	2	PD05JAN18	3245.00	3221.00	USD	-1,200.00	
06OCT17	B	4	PD05JAN18	3244.50	3221.00	USD	-2,350.00	
06OCT17	B	1	PD05JAN18	3245.50	3221.00	USD	-612.50	
	NET	+2 AVG	BGHT 3265.15				3,325.00	0.00
09OCT17	S	1	PD09JAN18	3253.00	3220.00	USD	825.00	
10OCT17	B	1	PD09JAN18	3279.75	3220.00	USD	-1,493.75	
	NET	0 AVG	BGHT 3279.75				-668.75	0.00
10OCT17	B	1	PD10JAN18	3214.50	3219.50	USD	125.00	
10OCT17	S	1	PD10JAN18	3237.00	3219.50	USD	437.50	
10OCT17	S	1	PD10JAN18	3238.00	3219.50	USD	462.50	
10OCT17	S	1	PD10JAN18	3241.00	3219.50	USD	537.50	
10OCT17	S	1	PD10JAN18	3242.00	3219.50	USD	562.50	
10OCT17	S	1	PD10JAN18	3242.50	3219.50	USD	575.00	
10OCT17	S	1	PD10JAN18	3245.50	3219.50	USD	650.00	
10OCT17	S	1	PD10JAN18	3247.50	3219.50	USD	700.00	
10OCT17	S	1	PD10JAN18	3254.00	3219.50	USD	862.50	
10OCT17	S	1	PD10JAN18	3253.50	3219.50	USD	850.00	
10OCT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	
10OCT17	B	1	PD10JAN18	3277.50	3219.50	USD	-1,450.00	
10OCT17	B	5	PD10JAN18	3279.20	3219.50	USD	-7,462.50	
	NET	-3 AVG	BGHT 3269.71				-1,575.00	0.00
11OCT17	B	1	PD11JAN18	3200.50	3219.00	USD	462.50	
11OCT17	B	1	PD11JAN18	3207.00	3219.00	USD	300.00	
11OCT17	B	2	PD11JAN18	3253.00	3219.00	USD	-1,700.00	
11OCT17	B	1	PD11JAN18	3253.50	3219.00	USD	-862.50	
11OCT17	S	1	PD11JAN18	3233.00	3219.00	USD	350.00	
11OCT17	S	1	PD11JAN18	3235.00	3219.00	USD	400.00	
11OCT17	S	1	PD11JAN18	3235.50	3219.00	USD	412.50	
11OCT17	B	1	PD11JAN18	3226.50	3219.00	USD	-187.50	
	NET	+3 AVG	BGHT 3232.25				-825.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-8,530.00
CMX Copper	FUTURES	762,800.00
LME Lead 25 tonnes. This is an	FUTURES	927,811.25
LME Nickel 6 tonnes. This is	FUTURES	-568,827.60
CMX Silver	FUTURES	-1,343,400.00
LME Zinc 25 tonnes. This is	FUTURES	846,928.50

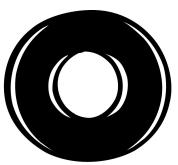
MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-11,075.00	-11,075.00		
SILV - Futures USD	27,240.00	27,240.00	16,165.00	16,165.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-8,530.00	-8,530.00		
LEAD - Futures USD	-94,962.25	-94,962.25		
NKL - Futures USD	-35,080.62	-35,080.62		
ZINC - Futures USD	33,291.00	33,291.00	-105,281.87	-105,281.87

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	21,553.80	21,553.80
- Options	0.00	0.00
TOTAL EQUITY	37,718.80	37,718.80
Initial Margins	-421,112.87	-421,112.87
Excess/(Shortage)	-383,394.07	-383,394.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-105,281.87	-105,281.87
Net Liquidating Value	-67,563.07	-67,563.07

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0096**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-46.40	1,548,912.72	1,548,857.76
Variation Margins	- Futures 0.00	20,315.00	20,315.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-46.40	1,569,227.72	1,569,172.76
Initial Margins	0.00	-1,072,250.38	-1,072,250.38
Excess/(Shortage)	-46.40	496,977.34	496,922.38
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	230,316.62	230,316.62
Net Liquidating Value	-46.40	1,799,544.34	1,799,489.38

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD120CT17	2344.00	2552.35	USD	5,208.75	
12JUL17	B	1	PD120CT17	2344.50	2552.35	USD	5,196.25	
12JUL17	B	9	PD120CT17	2345.00	2552.35	USD	46,653.75	
12JUL17	B	5	PD120CT17	2346.50	2552.35	USD	25,731.25	
12JUL17	B	5	PD120CT17	2334.00	2552.35	USD	27,293.75	
12JUL17	B	2	PD120CT17	2334.50	2552.35	USD	10,892.50	
12JUL17	B	7	PD120CT17	2336.00	2552.35	USD	37,861.25	
12JUL17	B	4	PD120CT17	2336.50	2552.35	USD	21,585.00	
12JUL17	B	5	PD120CT17	2337.50	2552.35	USD	26,856.25	
12JUL17	B	5	PD120CT17	2335.50	2552.35	USD	27,106.25	
12JUL17	B	10	PD120CT17	2338.00	2552.35	USD	53,587.50	
12JUL17	B	6	PD120CT17	2337.00	2552.35	USD	32,302.50	
12JUL17	B	15	PD120CT17	2335.00	2552.35	USD	81,506.25	
10AUG17	S	20	PD120CT17	2359.04	2552.35	USD	-96,655.00	
12SEP17	S	55	PD120CT17	2289.52	2552.35	USD	-361,391.25	
	NET	0 AVG BGHT 2338.07	SOLD 2308.06				-56,265.00	0.00
17JUL17	B	3	PD170CT17	2327.50	2554.35	USD	17,013.75	
17JUL17	B	5	PD170CT17	2316.00	2554.35	USD	29,793.75	
12SEP17	S	8	PD170CT17	2291.59	2554.35	USD	-52,552.00	
	NET	0 AVG BGHT 2320.31	SOLD 2291.59				-5,744.50	0.00
19JUL17	B	16	PD190CT17	2243.50	2554.89	USD	124,556.00	
19JUL17	B	24	PD190CT17	2244.00	2554.89	USD	186,534.00	
12SEP17	S	40	PD190CT17	2291.88	2554.89	USD	-263,010.00	
	NET	0 AVG BGHT 2243.80	SOLD 2291.88				48,080.00	0.00
24JUL17	B	8	PD240CT17	2261.00	2555.61	USD	58,922.00	
24JUL17	B	2	PD240CT17	2262.00	2555.61	USD	14,680.50	
12SEP17	S	10	PD240CT17	2293.56	2555.61	USD	-65,512.50	
	NET	0 AVG BGHT 2261.20	SOLD 2293.56				8,090.00	0.00
25JUL17	B	30	PD250CT17	2314.50	2555.75	USD	180,937.50	
12SEP17	S	30	PD250CT17	2294.01	2555.75	USD	-196,305.00	
	NET	0 AVG BGHT 2314.50	SOLD 2294.01				-15,367.50	0.00
28JUL17	S	2	PD270CT17	2296.00	2556.04	USD	-13,002.00	
28JUL17	S	1	PD270CT17	2297.00	2556.04	USD	-6,476.00	
28JUL17	S	2	PD270CT17	2296.50	2556.04	USD	-12,977.00	
28JUL17	S	1	PD270CT17	2298.00	2556.04	USD	-6,451.00	
28JUL17	S	4	PD270CT17	2301.50	2556.04	USD	-25,454.00	
10AUG17	B	10	PD270CT17	2363.14	2556.04	USD	48,225.00	
	NET	0 AVG BGHT 2363.14	SOLD 2298.60				-16,135.00	0.00
02AUG17	S	1	PD02NOV17	2323.50	2556.89	USD	-5,834.75	
02AUG17	S	2	PD02NOV17	2339.00	2556.89	USD	-10,894.50	
02AUG17	S	1	PD02NOV17	2357.00	2556.89	USD	-4,997.25	
02AUG17	S	1	PD02NOV17	2357.50	2556.89	USD	-4,984.75	
02AUG17	S	3	PD02NOV17	2359.50	2556.89	USD	-14,804.25	
02AUG17	S	1	PD02NOV17	2351.50	2556.89	USD	-5,134.75	
02AUG17	S	1	PD02NOV17	2356.50	2556.89	USD	-5,009.75	
10AUG17	B	10	PD02NOV17	2364.46	2556.89	USD	48,107.50	
	NET	0 AVG BGHT 2364.46	SOLD 2350.25				-3,552.50	0.00
04AUG17	B	5	PD03NOV17	2352.50	2557.04	USD	25,567.50	
04AUG17	B	5	PD03NOV17	2353.00	2557.04	USD	25,505.00	
12SEP17	S	10	PD03NOV17	2298.08	2557.04	USD	-64,740.00	
	NET	0 AVG BGHT 2352.75	SOLD 2298.08				-13,667.50	0.00
09AUG17	B	2	PD09NOV17	2400.00	2557.89	USD	7,894.50	
09AUG17	B	1	PD09NOV17	2400.50	2557.89	USD	3,934.75	
09AUG17	B	3	PD09NOV17	2401.00	2557.89	USD	11,766.75	
09AUG17	S	1	PD09NOV17	2400.00	2557.89	USD	-3,947.25	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	5	PD09NOV17	2381.00	2557.89	USD	-22,111.25	
09AUG17	S	10	PD09NOV17	2383.00	2557.89	USD	-43,722.50	
09AUG17	S	5	PD09NOV17	2383.50	2557.89	USD	-21,798.75	
09AUG17	S	3	PD09NOV17	2384.50	2557.89	USD	-13,004.25	
09AUG17	S	7	PD09NOV17	2386.00	2557.89	USD	-30,080.75	
09AUG17	S	3	PD09NOV17	2386.50	2557.89	USD	-12,854.25	
09AUG17	S	2	PD09NOV17	2387.00	2557.89	USD	-8,544.50	
09AUG17	S	1	PD09NOV17	2387.50	2557.89	USD	-4,259.75	
09AUG17	S	10	PD09NOV17	2375.50	2557.89	USD	-45,597.50	
09AUG17	S	10	PD09NOV17	2376.00	2557.89	USD	-45,472.50	
09AUG17	S	9	PD09NOV17	2376.50	2557.89	USD	-40,812.75	
09AUG17	S	6	PD09NOV17	2377.00	2557.89	USD	-27,133.50	
09AUG17	S	1	PD09NOV17	2377.50	2557.89	USD	-4,509.75	
09AUG17	S	1	PD09NOV17	2379.00	2557.89	USD	-4,472.25	
09AUG17	S	5	PD09NOV17	2380.50	2557.89	USD	-22,173.75	
09AUG17	B	10	PD09NOV17	2382.00	2557.89	USD	43,972.50	
09AUG17	S	5	PD09NOV17	2385.00	2557.89	USD	-21,611.25	
09AUG17	S	10	PD09NOV17	2385.50	2557.89	USD	-43,097.50	
09AUG17	S	5	PD09NOV17	2382.00	2557.89	USD	-21,986.25	
10AUG17	B	80	PD09NOV17	2366.00	2557.89	USD	383,780.00	
12SEP17	B	3	PD09NOV17	2300.79	2557.89	USD	19,282.50	
	NET	0 AVG BGHT 2367.74	SOLD 2381.25				33,440.75	0.00
11AUG17	B	5	PD10NOV17	2340.00	2558.04	USD	27,255.00	
11AUG17	B	2	PD10NOV17	2340.50	2558.04	USD	10,877.00	
11AUG17	B	5	PD10NOV17	2341.50	2558.04	USD	27,067.50	
11AUG17	B	6	PD10NOV17	2342.50	2558.04	USD	32,331.00	
11AUG17	B	1	PD10NOV17	2343.00	2558.04	USD	5,376.00	
11AUG17	B	5	PD10NOV17	2343.50	2558.04	USD	26,817.50	
11AUG17	B	4	PD10NOV17	2344.00	2558.04	USD	21,404.00	
11AUG17	B	1	PD10NOV17	2341.00	2558.04	USD	5,426.00	
11AUG17	B	1	PD10NOV17	2338.50	2558.04	USD	5,488.50	
12SEP17	S	3	PD10NOV17	2301.04	2558.04	USD	-19,275.00	
12SEP17	S	27	PD10NOV17	2301.24	2558.04	USD	-173,340.00	
	NET	0 AVG BGHT 2341.98	SOLD 2301.22				-30,572.50	0.00
15AUG17	B	10	PD15NOV17	2363.00	2558.75	USD	48,937.50	
15AUG17	B	5	PD15NOV17	2364.00	2558.75	USD	24,343.75	
15AUG17	B	5	PD15NOV17	2362.00	2558.75	USD	24,593.75	
15AUG17	B	10	PD15NOV17	2360.00	2558.75	USD	49,687.50	
15AUG17	B	2	PD15NOV17	2364.50	2558.75	USD	9,712.50	
15AUG17	B	5	PD15NOV17	2370.00	2558.75	USD	23,593.75	
15AUG17	B	1	PD15NOV17	2371.00	2558.75	USD	4,693.75	
15AUG17	B	4	PD15NOV17	2372.50	2558.75	USD	18,625.00	
15AUG17	B	5	PD15NOV17	2374.50	2558.75	USD	23,031.25	
15AUG17	B	5	PD15NOV17	2377.00	2558.75	USD	22,718.75	
15AUG17	B	30	PD15NOV17	2375.00	2558.75	USD	137,812.50	
15AUG17	S	2	PD15NOV17	2370.50	2558.75	USD	-9,412.50	
12SEP17	S	15	PD15NOV17	2303.50	2558.75	USD	-95,718.75	
	NET	+65 AVG BGHT 2369.60	SOLD 2311.38				282,618.75	0.00
18AUG17	S	12	PD17NOV17	2405.00	2558.75	USD	-46,125.00	
18AUG17	S	12	PD17NOV17	2406.00	2558.75	USD	-45,825.00	
18AUG17	S	5	PD17NOV17	2407.00	2558.75	USD	-18,968.75	
18AUG17	S	1	PD17NOV17	2407.50	2558.75	USD	-3,781.25	
18AUG17	S	2	PD17NOV17	2410.00	2558.75	USD	-7,437.50	
18AUG17	S	7	PD17NOV17	2410.50	2558.75	USD	-25,943.75	
18AUG17	S	21	PD17NOV17	2413.00	2558.75	USD	-76,518.75	
18AUG17	S	1	PD17NOV17	2416.50	2558.75	USD	-3,556.25	

OPEN POSITION STATEMENT



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18AUG17	S	3	PD17NOV17	2413.50	2558.75	USD	-10,893.75	
18AUG17	S	10	PD17NOV17	2415.50	2558.75	USD	-35,812.50	
18AUG17	S	4	PD17NOV17	2401.00	2558.75	USD	-15,775.00	
18AUG17	S	9	PD17NOV17	2412.00	2558.75	USD	-33,018.75	
18AUG17	S	3	PD17NOV17	2411.50	2558.75	USD	-11,043.75	
18AUG17	S	3	PD17NOV17	2408.00	2558.75	USD	-11,306.25	
18AUG17	S	10	PD17NOV17	2414.00	2558.75	USD	-36,187.50	
18AUG17	S	4	PD17NOV17	2411.00	2558.75	USD	-14,775.00	
18AUG17	S	3	PD17NOV17	2405.50	2558.75	USD	-11,493.75	
18AUG17	S	2	PD17NOV17	2357.00	2558.75	USD	-10,087.50	
18AUG17	S	5	PD17NOV17	2357.50	2558.75	USD	-25,156.25	
18AUG17	S	9	PD17NOV17	2360.00	2558.75	USD	-44,718.75	
18AUG17	S	3	PD17NOV17	2360.50	2558.75	USD	-14,868.75	
18AUG17	S	1	PD17NOV17	2361.00	2558.75	USD	-4,943.75	
18AUG17	S	5	PD17NOV17	2358.50	2558.75	USD	-25,031.25	
18AUG17	S	22	PD17NOV17	2358.00	2558.75	USD	-110,412.50	
18AUG17	S	4	PD17NOV17	2359.50	2558.75	USD	-19,925.00	
18AUG17	S	9	PD17NOV17	2359.00	2558.75	USD	-44,943.75	
12SEP17	B	170	PD17NOV17	2304.01	2558.75	USD	1,082,645.00	
	NET	0 AVG BGHT 2304.01	SOLD 2392.03				374,095.00	0.00
30AUG17	B	5	PD30NOV17	2378.50	2560.30	USD	22,725.00	
31AUG17	S	3	PD30NOV17	2376.50	2560.30	USD	-13,785.00	
31AUG17	S	2	PD30NOV17	2378.00	2560.30	USD	-9,115.00	
	NET	0 AVG BGHT 2378.50	SOLD 2377.10				-175.00	0.00
04SEP17	S	1	PD04DEC17	2400.50	2560.66	USD	-4,004.00	
04SEP17	S	1	PD04DEC17	2401.50	2560.66	USD	-3,979.00	
04SEP17	S	1	PD04DEC17	2405.00	2560.66	USD	-3,891.50	
04SEP17	S	4	PD04DEC17	2408.00	2560.66	USD	-15,266.00	
04SEP17	S	4	PD04DEC17	2408.50	2560.66	USD	-15,216.00	
04SEP17	S	6	PD04DEC17	2409.00	2560.66	USD	-22,749.00	
04SEP17	S	4	PD04DEC17	2411.50	2560.66	USD	-14,916.00	
04SEP17	S	2	PD04DEC17	2412.50	2560.66	USD	-7,408.00	
04SEP17	S	2	PD04DEC17	2414.00	2560.66	USD	-7,333.00	
12SEP17	B	25	PD04DEC17	2309.24	2560.66	USD	157,137.50	
	NET	0 AVG BGHT 2309.24	SOLD 2409.04				62,375.00	0.00
15SEP17	S	2	PD15DEC17	2351.50	2563.25	USD	-10,587.50	
15SEP17	S	1	PD15DEC17	2359.00	2563.25	USD	-5,106.25	
15SEP17	S	3	PD15DEC17	2357.50	2563.25	USD	-15,431.25	
15SEP17	B	2	PD15DEC17	2359.00	2563.25	USD	10,212.50	
15SEP17	B	2	PD15DEC17	2360.00	2563.25	USD	10,162.50	
15SEP17	B	1	PD15DEC17	2360.50	2563.25	USD	5,068.75	
15SEP17	S	6	PD15DEC17	2355.00	2563.25	USD	-31,237.50	
15SEP17	S	2	PD15DEC17	2355.50	2563.25	USD	-10,387.50	
15SEP17	S	4	PD15DEC17	2358.00	2563.25	USD	-20,525.00	
15SEP17	S	2	PD15DEC17	2354.00	2563.25	USD	-10,462.50	
	NET	-15 AVG BGHT 2359.70	SOLD 2355.78				-78,293.75	0.00
21SEP17	S	6	PD21DEC17	2462.00	2563.50	USD	-15,225.00	
21SEP17	S	2	PD21DEC17	2463.00	2563.50	USD	-5,025.00	
21SEP17	S	1	PD21DEC17	2465.00	2563.50	USD	-2,462.50	
21SEP17	S	1	PD21DEC17	2466.00	2563.50	USD	-2,437.50	
21SEP17	S	5	PD21DEC17	2465.50	2563.50	USD	-12,250.00	
21SEP17	S	1	PD21DEC17	2473.00	2563.50	USD	-2,262.50	
21SEP17	S	3	PD21DEC17	2467.00	2563.50	USD	-7,237.50	
21SEP17	S	2	PD21DEC17	2467.50	2563.50	USD	-4,800.00	
21SEP17	S	2	PD21DEC17	2468.50	2563.50	USD	-4,750.00	
21SEP17	S	2	PD21DEC17	2469.50	2563.50	USD	-4,700.00	

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21SEP17	S	1	PD21DEC17	2474.50	2563.50	USD	-2,225.00	
21SEP17	S	1	PD21DEC17	2475.00	2563.50	USD	-2,212.50	
21SEP17	S	1	PD21DEC17	2476.50	2563.50	USD	-2,175.00	
21SEP17	S	1	PD21DEC17	2478.00	2563.50	USD	-2,137.50	
21SEP17	S	2	PD21DEC17	2479.00	2563.50	USD	-4,225.00	
21SEP17	S	1	PD21DEC17	2472.00	2563.50	USD	-2,287.50	
	NET	-32 AVG	SOLD 2467.98				-76,412.50	0.00
22SEP17	S	4	PD22DEC17	2448.50	2563.50	USD	-11,500.00	
22SEP17	S	1	PD22DEC17	2450.50	2563.50	USD	-2,825.00	
22SEP17	S	2	PD22DEC17	2451.50	2563.50	USD	-5,600.00	
22SEP17	S	1	PD22DEC17	2452.00	2563.50	USD	-2,787.50	
22SEP17	S	1	PD22DEC17	2452.50	2563.50	USD	-2,775.00	
22SEP17	S	1	PD22DEC17	2453.00	2563.50	USD	-2,762.50	
22SEP17	S	3	PD22DEC17	2449.50	2563.50	USD	-8,550.00	
22SEP17	S	1	PD22DEC17	2449.00	2563.50	USD	-2,862.50	
22SEP17	S	4	PD22DEC17	2450.00	2563.50	USD	-11,350.00	
	NET	-18 AVG	SOLD 2450.14				-51,012.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	2857.00	3299.33	USD	-11,058.25	
12JUL17	S	1	PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	S	1	PD12OCT17	2866.00	3299.33	USD	-10,833.25	
12JUL17	S	2	PD12OCT17	2866.50	3299.33	USD	-21,641.50	
12JUL17	S	2	PD12OCT17	2867.50	3299.33	USD	-21,591.50	
12JUL17	S	10	PD12OCT17	2870.00	3299.33	USD	-107,332.50	
12JUL17	S	1	PD12OCT17	2871.00	3299.33	USD	-10,708.25	
12JUL17	S	4	PD12OCT17	2854.50	3299.33	USD	-44,483.00	
12JUL17	S	7	PD12OCT17	2855.50	3299.33	USD	-77,670.25	
12JUL17	S	11	PD12OCT17	2856.00	3299.33	USD	-121,915.75	
12JUL17	S	2	PD12OCT17	2857.50	3299.33	USD	-22,091.50	
12JUL17	S	5	PD12OCT17	2854.00	3299.33	USD	-55,666.25	
12JUL17	S	3	PD12OCT17	2851.50	3299.33	USD	-33,587.25	
12JUL17	S	10	PD12OCT17	2856.50	3299.33	USD	-110,707.50	
12JUL17	S	15	PD12OCT17	2855.00	3299.33	USD	-166,623.75	
10AUG17	B	20	PD12OCT17	2934.60	3299.33	USD	182,365.00	
12SEP17	B	55	PD12OCT17	3055.61	3299.33	USD	335,115.00	
	NET	0 AVG BGHT	3023.34	SOLD 2858.29			-309,463.75	0.00
17JUL17	S	1	PD17OCT17	2838.50	3286.33	USD	-11,195.75	
17JUL17	S	2	PD17OCT17	2839.50	3286.33	USD	-22,341.50	
17JUL17	S	5	PD17OCT17	2823.00	3286.33	USD	-57,916.25	
12SEP17	B	8	PD17OCT17	3055.73	3286.33	USD	46,120.00	
12SEP17	S	17	PD17OCT17	3055.53	3286.33	USD	-98,090.00	
	NET	-17 AVG BGHT	3055.73	SOLD 2983.06			-143,423.50	0.00
19JUL17	S	3	PD19OCT17	2775.50	3277.50	USD	-37,650.00	
19JUL17	S	10	PD19OCT17	2773.50	3277.50	USD	-126,000.00	
19JUL17	S	27	PD19OCT17	2774.00	3277.50	USD	-339,862.50	
12SEP17	B	40	PD19OCT17	3054.75	3277.50	USD	222,750.00	
	NET	0 AVG BGHT	3054.75	SOLD 2773.99			-280,762.50	0.00
24JUL17	S	2	PD24OCT17	2786.50	3267.00	USD	-24,025.00	
24JUL17	S	8	PD24OCT17	2785.50	3267.00	USD	-96,300.00	
12SEP17	B	10	PD24OCT17	3054.81	3267.00	USD	53,047.50	
	NET	0 AVG BGHT	3054.81	SOLD 2785.70			-67,277.50	0.00
25JUL17	S	3	PD25OCT17	2838.00	3264.00	USD	-31,950.00	
25JUL17	S	3	PD25OCT17	2838.50	3264.00	USD	-31,912.50	
25JUL17	S	8	PD25OCT17	2837.00	3264.00	USD	-85,400.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	6	PD25OCT17	2837.50	3264.00	USD	-63,975.00	
25JUL17	S	10	PD25OCT17	2839.50	3264.00	USD	-106,125.00	
12SEP17	B	30	PD25OCT17	3054.95	3264.00	USD	156,787.50	
	NET	0 AVG BGHT 3054.95	SOLD 2838.18				-162,575.00	0.00
28JUL17	B	3	PD27OCT17	2766.50	3260.67	USD	37,062.75	
28JUL17	B	2	PD27OCT17	2768.00	3260.67	USD	24,633.50	
28JUL17	B	1	PD27OCT17	2770.00	3260.67	USD	12,266.75	
28JUL17	B	1	PD27OCT17	2769.00	3260.67	USD	12,291.75	
28JUL17	B	2	PD27OCT17	2768.50	3260.67	USD	24,608.50	
28JUL17	B	1	PD27OCT17	2769.50	3260.67	USD	12,279.25	
10AUG17	S	10	PD27OCT17	2935.30	3260.67	USD	-81,342.50	
	NET	0 AVG BGHT 2768.10	SOLD 2935.30				41,800.00	0.00
02AUG17	B	5	PD02NOV17	2757.00	3254.00	USD	62,125.00	
02AUG17	S	4	PD02NOV17	2758.50	3254.00	USD	-49,550.00	
02AUG17	B	1	PD02NOV17	2769.50	3254.00	USD	12,112.50	
02AUG17	B	1	PD02NOV17	2771.00	3254.00	USD	12,075.00	
02AUG17	B	1	PD02NOV17	2789.00	3254.00	USD	11,625.00	
02AUG17	B	1	PD02NOV17	2790.00	3254.00	USD	11,600.00	
02AUG17	B	1	PD02NOV17	2790.50	3254.00	USD	11,587.50	
02AUG17	B	1	PD02NOV17	2783.50	3254.00	USD	11,762.50	
02AUG17	B	3	PD02NOV17	2791.50	3254.00	USD	34,687.50	
10AUG17	S	10	PD02NOV17	2936.37	3254.00	USD	-79,407.50	
	NET	0 AVG BGHT 2775.21	SOLD 2885.55				38,617.50	0.00
04AUG17	S	5	PD03NOV17	2799.00	3252.89	USD	-56,736.25	
04AUG17	S	5	PD03NOV17	2798.50	3252.89	USD	-56,798.75	
12SEP17	B	10	PD03NOV17	3056.21	3252.89	USD	49,170.00	
	NET	0 AVG BGHT 3056.21	SOLD 2798.75				-64,365.00	0.00
09AUG17	B	1	PD09NOV17	2941.50	3248.44	USD	7,673.50	
09AUG17	S	3	PD09NOV17	2941.00	3248.44	USD	-23,058.00	
09AUG17	S	1	PD09NOV17	2941.50	3248.44	USD	-7,673.50	
09AUG17	S	2	PD09NOV17	2942.00	3248.44	USD	-15,322.00	
09AUG17	B	7	PD09NOV17	2959.00	3248.44	USD	50,652.00	
09AUG17	B	3	PD09NOV17	2959.50	3248.44	USD	21,670.50	
09AUG17	B	4	PD09NOV17	2953.50	3248.44	USD	29,494.00	
09AUG17	S	10	PD09NOV17	2958.00	3248.44	USD	-72,610.00	
09AUG17	B	3	PD09NOV17	2955.50	3248.44	USD	21,970.50	
09AUG17	B	13	PD09NOV17	2956.00	3248.44	USD	95,043.00	
09AUG17	B	4	PD09NOV17	2957.50	3248.44	USD	29,094.00	
09AUG17	B	21	PD09NOV17	2957.00	3248.44	USD	153,006.00	
09AUG17	B	5	PD09NOV17	2956.50	3248.44	USD	36,492.50	
09AUG17	B	7	PD09NOV17	2954.50	3248.44	USD	51,439.50	
09AUG17	B	25	PD09NOV17	2954.00	3248.44	USD	184,025.00	
09AUG17	B	6	PD09NOV17	2952.50	3248.44	USD	44,391.00	
10AUG17	S	80	PD09NOV17	2937.62	3248.44	USD	-621,640.00	
12SEP17	S	3	PD09NOV17	3057.05	3248.44	USD	-14,354.25	
	NET	0 AVG BGHT 2955.53	SOLD 2943.53				-29,706.25	0.00
11AUG17	S	10	PD10NOV17	2897.00	3247.33	USD	-87,582.50	
11AUG17	S	1	PD10NOV17	2903.00	3247.33	USD	-8,608.25	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
11AUG17	S	1	PD10NOV17	2907.50	3247.33	USD	-8,495.75	
11AUG17	S	1	PD10NOV17	2908.50	3247.33	USD	-8,470.75	
11AUG17	S	6	PD10NOV17	2900.00	3247.33	USD	-52,099.50	
11AUG17	S	3	PD10NOV17	2896.00	3247.33	USD	-26,349.75	
11AUG17	S	5	PD10NOV17	2898.00	3247.33	USD	-43,666.25	
11AUG17	S	1	PD10NOV17	2896.50	3247.33	USD	-8,770.75	
11AUG17	S	1	PD10NOV17	2898.50	3247.33	USD	-8,720.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

11th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	3	PD10NOV17	3057.39	3247.33	USD	14,245.50	
12SEP17	B	27	PD10NOV17	3057.19	3247.33	USD	128,344.50	
	NET	0AVG BGHT 3057.21	SOLD 2898.90				-118,732.50	0.00
15AUG17	S	2	PD15NOV17	2939.00	3244.00	USD	-15,250.00	
15AUG17	S	10	PD15NOV17	2943.00	3244.00	USD	-75,250.00	
15AUG17	S	5	PD15NOV17	2944.00	3244.00	USD	-37,500.00	
15AUG17	S	2	PD15NOV17	2942.00	3244.00	USD	-15,100.00	
15AUG17	S	13	PD15NOV17	2940.00	3244.00	USD	-98,800.00	
15AUG17	S	2	PD15NOV17	2950.50	3244.00	USD	-14,675.00	
15AUG17	S	8	PD15NOV17	2951.00	3244.00	USD	-58,600.00	
15AUG17	S	15	PD15NOV17	2955.50	3244.00	USD	-108,187.50	
15AUG17	S	5	PD15NOV17	2956.00	3244.00	USD	-36,000.00	
15AUG17	S	20	PD15NOV17	2955.00	3244.00	USD	-144,500.00	
15AUG17	B	2	PD15NOV17	2951.50	3244.00	USD	14,625.00	
12SEP17	B	15	PD15NOV17	3057.50	3244.00	USD	69,937.50	
	NET	-65 AVG BGHT 3045.03	SOLD 2949.43				-519,300.00	0.00
18AUG17	B	5	PD17NOV17	3114.50	3239.00	USD	15,562.50	
18AUG17	B	1	PD17NOV17	3116.00	3239.00	USD	3,075.00	
18AUG17	B	6	PD17NOV17	3116.50	3239.00	USD	18,375.00	
18AUG17	B	9	PD17NOV17	3117.00	3239.00	USD	27,450.00	
18AUG17	B	17	PD17NOV17	3117.50	3239.00	USD	51,637.50	
18AUG17	B	2	PD17NOV17	3122.50	3239.00	USD	5,825.00	
18AUG17	B	5	PD17NOV17	3128.00	3239.00	USD	13,875.00	
18AUG17	B	10	PD17NOV17	3124.00	3239.00	USD	28,750.00	
18AUG17	B	4	PD17NOV17	3130.00	3239.00	USD	10,900.00	
18AUG17	B	10	PD17NOV17	3123.50	3239.00	USD	28,875.00	
18AUG17	B	6	PD17NOV17	3125.00	3239.00	USD	17,100.00	
18AUG17	B	13	PD17NOV17	3127.00	3239.00	USD	36,400.00	
18AUG17	B	2	PD17NOV17	3125.50	3239.00	USD	5,675.00	
18AUG17	B	2	PD17NOV17	3126.50	3239.00	USD	5,625.00	
18AUG17	B	1	PD17NOV17	3134.00	3239.00	USD	2,625.00	
18AUG17	B	3	PD17NOV17	3126.00	3239.00	USD	8,475.00	
18AUG17	B	3	PD17NOV17	3129.50	3239.00	USD	8,212.50	
18AUG17	B	7	PD17NOV17	3128.50	3239.00	USD	19,337.50	
18AUG17	B	3	PD17NOV17	3130.50	3239.00	USD	8,137.50	
18AUG17	B	2	PD17NOV17	3131.00	3239.00	USD	5,400.00	
18AUG17	B	5	PD17NOV17	3131.50	3239.00	USD	13,437.50	
18AUG17	B	5	PD17NOV17	3132.00	3239.00	USD	13,375.00	
18AUG17	B	5	PD17NOV17	3132.50	3239.00	USD	13,312.50	
18AUG17	B	7	PD17NOV17	3134.50	3239.00	USD	18,287.50	
18AUG17	B	1	PD17NOV17	3136.50	3239.00	USD	2,562.50	
18AUG17	B	2	PD17NOV17	3139.00	3239.00	USD	5,000.00	
18AUG17	B	2	PD17NOV17	3136.00	3239.00	USD	5,150.00	
18AUG17	B	11	PD17NOV17	3137.00	3239.00	USD	28,050.00	
18AUG17	B	6	PD17NOV17	3138.00	3239.00	USD	15,150.00	
18AUG17	B	9	PD17NOV17	3138.50	3239.00	USD	22,612.50	
18AUG17	B	6	PD17NOV17	3137.50	3239.00	USD	15,225.00	
12SEP17	S	153	PD17NOV17	3055.51	3239.00	USD	-701,849.25	
	NET	+17 AVG BGHT 3127.59	SOLD 3055.51				-228,374.25	0.00
30AUG17	S	5	PD30NOV17	3105.50	3233.67	USD	-16,021.25	
31AUG17	B	5	PD30NOV17	3107.50	3233.67	USD	15,771.25	
	NET	0AVG BGHT 3107.50	SOLD 3105.50				-250.00	0.00
04SEP17	B	1	PD04DEC17	3210.50	3233.00	USD	562.50	
04SEP17	B	2	PD04DEC17	3211.00	3233.00	USD	1,100.00	
04SEP17	B	2	PD04DEC17	3212.00	3233.00	USD	1,050.00	
04SEP17	B	2	PD04DEC17	3211.50	3233.00	USD	1,075.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	2	PD04DEC17	3212.50	3233.00	USD	1,025.00	
04SEP17	B	2	PD04DEC17	3213.00	3233.00	USD	1,000.00	
04SEP17	B	2	PD04DEC17	3214.50	3233.00	USD	925.00	
04SEP17	B	6	PD04DEC17	3215.00	3233.00	USD	2,700.00	
04SEP17	B	4	PD04DEC17	3216.50	3233.00	USD	1,650.00	
04SEP17	B	2	PD04DEC17	3217.00	3233.00	USD	800.00	
12SEP17	S	25	PD04DEC17	3059.11	3233.00	USD	-108,681.25	
	NET	0 AVG BGHT 3213.98	SOLD 3059.11				-96,793.75	0.00
15SEP17	B	2	PD15DEC17	3002.00	3230.00	USD	11,400.00	
15SEP17	B	6	PD15DEC17	3008.00	3230.00	USD	33,300.00	
15SEP17	B	2	PD15DEC17	3010.50	3230.00	USD	10,975.00	
15SEP17	B	2	PD15DEC17	3014.00	3230.00	USD	10,800.00	
15SEP17	B	3	PD15DEC17	3013.50	3230.00	USD	16,237.50	
15SEP17	B	2	PD15DEC17	3031.50	3230.00	USD	9,925.00	
15SEP17	S	4	PD15DEC17	3028.00	3230.00	USD	-20,200.00	
15SEP17	S	1	PD15DEC17	3028.50	3230.00	USD	-5,037.50	
15SEP17	B	3	PD15DEC17	3031.00	3230.00	USD	14,925.00	
	NET	+15 AVG BGHT 3014.88	SOLD 3028.10				82,325.00	0.00
21SEP17	B	5	PD21DEC17	3082.50	3225.00	USD	17,812.50	
21SEP17	B	1	PD21DEC17	3083.00	3225.00	USD	3,550.00	
21SEP17	B	2	PD21DEC17	3084.00	3225.00	USD	7,050.00	
21SEP17	B	1	PD21DEC17	3085.50	3225.00	USD	3,487.50	
21SEP17	B	1	PD21DEC17	3086.50	3225.00	USD	3,462.50	
21SEP17	B	3	PD21DEC17	3087.00	3225.00	USD	10,350.00	
21SEP17	B	1	PD21DEC17	3074.00	3225.00	USD	3,775.00	
21SEP17	B	2	PD21DEC17	3086.00	3225.00	USD	6,950.00	
21SEP17	B	1	PD21DEC17	3070.50	3225.00	USD	3,862.50	
21SEP17	B	1	PD21DEC17	3067.50	3225.00	USD	3,937.50	
21SEP17	B	3	PD21DEC17	3068.00	3225.00	USD	11,775.00	
21SEP17	B	1	PD21DEC17	3068.50	3225.00	USD	3,912.50	
21SEP17	B	1	PD21DEC17	3069.00	3225.00	USD	3,900.00	
21SEP17	B	1	PD21DEC17	3069.50	3225.00	USD	3,887.50	
21SEP17	B	1	PD21DEC17	3073.00	3225.00	USD	3,800.00	
21SEP17	B	2	PD21DEC17	3077.00	3225.00	USD	7,400.00	
21SEP17	B	2	PD21DEC17	3081.00	3225.00	USD	7,200.00	
21SEP17	B	1	PD21DEC17	3081.50	3225.00	USD	3,587.50	
21SEP17	B	1	PD21DEC17	3075.00	3225.00	USD	3,750.00	
21SEP17	B	1	PD21DEC17	3080.50	3225.00	USD	3,612.50	
	NET	+32 AVG BGHT 3078.67					117,062.50	0.00
22SEP17	B	2	PD22DEC17	3038.50	3224.50	USD	9,300.00	
22SEP17	B	1	PD22DEC17	3039.50	3224.50	USD	4,625.00	
22SEP17	B	1	PD22DEC17	3040.50	3224.50	USD	4,600.00	
22SEP17	B	3	PD22DEC17	3041.00	3224.50	USD	13,762.50	
22SEP17	B	1	PD22DEC17	3042.00	3224.50	USD	4,562.50	
22SEP17	B	10	PD22DEC17	3039.00	3224.50	USD	46,375.00	
	NET	+18 AVG BGHT 3039.56					83,225.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

11th October 2017

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AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	469,126.25
LME Zinc 25 tonnes. This is FUTURES	-1,608,653.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
LEAD	- Futures	USD	461,501.25	461,501.25		
ZINC	- Futures	USD	-1,657,994.00	-1,657,994.00	-1,196,492.75	-1,196,492.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-184,685.95	-184,685.95
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-184,685.95	-184,685.95
Initial Margins	-1,243,199.75	-1,243,199.75
Excess/(Shortage)	-1,427,885.70	-1,427,885.70
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,196,492.75	-1,196,492.75
Net Liquidating Value	-1,381,178.70	-1,381,178.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0097**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-184,685.95	-184,685.95
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-184,685.95	-184,685.95
Initial Margins	-1,243,199.75	-1,243,199.75
Excess/(Shortage)	-1,427,885.70	-1,427,885.70
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,196,492.75	-1,196,492.75
Net Liquidating Value	-1,381,178.70	-1,381,178.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0100

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	36,195.59	36,195.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	36,195.59	36,195.59
Initial Margins	0.00	0.00
Excess/(Shortage)	36,195.59	36,195.59
FOR INFORMATION ONLY		
Net Liquidating Value	36,195.59	36,195.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0100
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0100**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	36,195.59	36,195.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	36,195.59	36,195.59
Initial Margins	0.00	0.00
Excess/(Shortage)	36,195.59	36,195.59
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	36,195.59	36,195.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	816.58	816.58
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	816.58	816.58
Initial Margins	0.00	0.00
Excess/(Shortage)	816.58	816.58
FOR INFORMATION ONLY		
Net Liquidating Value	816.58	816.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11SEP17	S	1	PD11DEC17	2127.00	2124.28	USD	68.00	
11SEP17	S	1	PD11DEC17	2131.50	2124.28	USD	180.50	
11SEP17	S	1	PD11DEC17	2133.50	2124.28	USD	230.50	
11SEP17	S	1	PD11DEC17	2123.50	2124.28	USD	-19.50	
03OCT17	B	4	PD11DEC17	2125.31	2124.28	USD	-103.00	
	NET	0 AVG	BGHT 2125.31		SOLD 2128.88		356.50	0.00
27SEP17	B	1	PD27DEC17	2126.50	2127.29	USD	19.75	
03OCT17	S	1	PD27DEC17	2129.18	2127.29	USD	47.25	
	NET	0 AVG	BGHT 2126.50		SOLD 2129.18		67.00	0.00
28SEP17	B	1	PD28DEC17	2118.50	2127.39	USD	222.25	
28SEP17	B	1	PD28DEC17	2135.50	2127.39	USD	-202.75	
28SEP17	B	1	PD28DEC17	2137.50	2127.39	USD	-252.75	
03OCT17	S	3	PD28DEC17	2129.41	2127.39	USD	151.50	
	NET	0 AVG	BGHT 2130.50		SOLD 2129.41		-81.75	0.00
	<u>CME EURO FX 125,000 CME</u>							
12SEP17	S	2	DEC17	1.20245	1.18970	USD	3,187.50	
	NET	-2 AVG	SOLD 1.20245				3,187.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD02NOV17	3100.83	3254.00	USD	-3,829.25	
18SEP17	S	1	PD02NOV17	3082.83	3254.00	USD	-4,279.25	
18SEP17	S	1	PD02NOV17	3082.58	3254.00	USD	-4,285.50	
18SEP17	S	1	PD02NOV17	3102.00	3254.00	USD	-3,800.00	
18SEP17	S	1	PD02NOV17	3110.00	3254.00	USD	-3,600.00	
20SEP17	S	1	PD02NOV17	3151.95	3254.00	USD	-2,551.25	
20SEP17	S	2	PD02NOV17	3150.95	3254.00	USD	-5,152.50	
20SEP17	S	2	PD02NOV17	3150.45	3254.00	USD	-5,177.50	
09OCT17	B	1	PD02NOV17	3262.00	3254.00	USD	-200.00	
09OCT17	B	1	PD02NOV17	3264.00	3254.00	USD	-250.00	
09OCT17	B	1	PD02NOV17	3263.50	3254.00	USD	-237.50	
09OCT17	B	1	PD02NOV17	3263.00	3254.00	USD	-225.00	
09OCT17	B	1	PD02NOV17	3256.00	3254.00	USD	-50.00	
10OCT17	B	1	PD02NOV17	3248.15	3254.00	USD	146.25	
11OCT17	B	1	PD02NOV17	3265.00	3254.00	USD	-275.00	
11OCT17	B	1	PD02NOV17	3258.50	3254.00	USD	-112.50	
	NET	-2 AVG	BGHT 3260.02		SOLD 3123.30		-33,879.00	0.00
18SEP17	S	1	PD18DEC17	3083.00	3229.67	USD	-3,666.75	
18SEP17	S	1	PD18DEC17	3100.50	3229.67	USD	-3,229.25	
18SEP17	S	1	PD18DEC17	3099.00	3229.67	USD	-3,266.75	
18SEP17	B	1	PD18DEC17	3100.66	3229.67	USD	3,225.25	
18SEP17	B	1	PD18DEC17	3082.66	3229.67	USD	3,675.25	
18SEP17	B	1	PD18DEC17	3082.41	3229.67	USD	3,681.50	
18SEP17	S	1	PD18DEC17	3107.00	3229.67	USD	-3,066.75	
18SEP17	B	1	PD18DEC17	3102.31	3229.67	USD	3,184.00	
18SEP17	B	1	PD18DEC17	3110.31	3229.67	USD	2,984.00	
18SEP17	S	1	PD18DEC17	3088.50	3229.67	USD	-3,529.25	
	NET	0 AVG	BGHT 3095.67		SOLD 3095.60		-8.75	0.00
20SEP17	S	1	PD20DEC17	3149.00	3229.00	USD	-2,000.00	
20SEP17	S	3	PD20DEC17	3149.50	3229.00	USD	-5,962.50	
20SEP17	S	1	PD20DEC17	3150.50	3229.00	USD	-1,962.50	
20SEP17	B	1	PD20DEC17	3151.41	3229.00	USD	1,939.75	
20SEP17	B	2	PD20DEC17	3150.41	3229.00	USD	3,929.50	
20SEP17	B	2	PD20DEC17	3149.91	3229.00	USD	3,954.50	
	NET	0 AVG	BGHT 3150.41		SOLD 3149.60		-101.25	0.00
09OCT17	B	1	PD09JAN18	3242.00	3220.00	USD	-550.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	B 1 PD09JAN18	3238.00	3220.00	USD	-450.00	
09OCT17	B 1 PD09JAN18	3242.50	3220.00	USD	-562.50	
09OCT17	B 2 PD09JAN18	3243.00	3220.00	USD	-1,150.00	
09OCT17	S 1 PD09JAN18	3242.85	3220.00	USD	571.25	
09OCT17	S 1 PD09JAN18	3244.85	3220.00	USD	621.25	
09OCT17	S 1 PD09JAN18	3244.35	3220.00	USD	608.75	
09OCT17	S 1 PD09JAN18	3243.85	3220.00	USD	596.25	
09OCT17	S 1 PD09JAN18	3238.35	3220.00	USD	458.75	
	NET 0AVG BGHT 3241.70 SOLD 3242.85				143.75	0.00
10OCT17	B 1 PD10JAN18	3221.00	3219.50	USD	-37.50	
10OCT17	S 1 PD10JAN18	3223.35	3219.50	USD	96.25	
	NET 0AVG BGHT 3221.00 SOLD 3223.35				58.75	0.00
11OCT17	B 1 PD11JAN18	3200.50	3219.00	USD	462.50	
11OCT17	B 1 PD11JAN18	3218.00	3219.00	USD	25.00	
11OCT17	S 1 PD11JAN18	3224.85	3219.00	USD	146.25	
11OCT17	S 1 PD11JAN18	3221.35	3219.00	USD	58.75	
	NET 0AVG BGHT 3209.25 SOLD 3223.10				692.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	341.75
CME Euro FX	FUTURES	300,612.50
LME Zinc 25 tonnes. This is	FUTURES	129,606.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	3,187.50	3,187.50	3,187.50	3,187.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	341.75	341.75		
ZINC - Futures USD	-33,094.00	-33,094.00	-32,752.25	-32,752.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	971,917.37	971,917.37
- Options	3,187.50	3,187.50
	0.00	0.00
TOTAL EQUITY	975,104.87	975,104.87
Initial Margins	-47,795.25	-47,795.25
Excess/(Shortage)	927,309.62	927,309.62
FOR INFORMATION ONLY		
Forward Unrealised P&L	-32,752.25	-32,752.25
Net Liquidating Value	942,352.62	942,352.62

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
10OCT17	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u> S 1 NOV17 NET -1AVG SOLD 50.94	50.94	51.30	USD	-360.00 -360.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 1 DEC17	300.150	309.550	USD	-2,350.00	
05OCT17	S 1 DEC17	302.400	309.550	USD	-1,787.50	
05OCT17	S 1 DEC17	303.100	309.550	USD	-1,612.50	
	NET -3AVG SOLD 301.883				-5,750.00	0.00
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>					
04OCT17	B 2 NOV17	2.91600	2.88900	USD	-540.00	
04OCT17	B 2 NOV17	2.91700	2.88900	USD	-560.00	
	NET +4AVG BGHT 2.91650				-1,100.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
22SEP17	B 1 DEC17	1704.0	1713.3	USD	465.00	
26SEP17	B 1 DEC17	1714.5	1713.3	USD	-60.00	
	NET +2AVG BGHT 1709.3				405.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	50,940.00
CMX Copper	FUTURES	226,412.50
NYM Henry Hub Natural Gas	FUTURES	-116,660.00
CMX Silver	FUTURES	-170,925.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-360.00	-360.00		
COPR - Futures USD	-5,750.00	-5,750.00		
HGAS - Futures USD	-1,100.00	-1,100.00		
SILV - Futures USD	405.00	405.00	-6,805.00	-6,805.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	67,371.30	67,371.30
- Options	-6,805.00	-6,805.00
TOTAL EQUITY	60,566.30	60,566.30
Initial Margins	-30,700.00	-30,700.00
Excess/(Shortage)	29,866.30	29,866.30
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	60,566.30	60,566.30

OPEN POSITION STATEMENT



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SAP0103C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
26SEP17	CMX SILVER 5,000 CMX B 1 DEC17 NET +1AVG BGHT 1692.0 LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT	1692.0	1713.3	USD	1,065.00 1,065.00	0.00
21SEP17	S 1 PD02NOV17 NET -1AVG SOLD 3088.50	3088.50	3254.00	USD	-4,137.50 -4,137.50	0.00
21SEP17	S 1 PD21DEC17	3084.00	3225.00	USD	-3,525.00	
21SEP17	B 1 PD21DEC17 NET 0AVG BGHT 3087.90 SOLD 3084.00	3087.90	3225.00	USD	3,427.50 -97.50	0.00
11OCT17	B 1 PD11JAN18 NET +1AVG BGHT 3221.00	3221.00	3219.00	USD	-50.00 -50.00	0.00

OPEN POSITION VALUE

		USD
CMX Silver	FUTURES	-84,600.00
LME Zinc 25 tonnes. This is	FUTURES	-3,410.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	1,065.00	1,065.00	1,065.00	1,065.00
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-4,285.00	-4,285.00	-4,285.00	-4,285.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	54,814.80	54,814.80
- Options	1,065.00	1,065.00
TOTAL EQUITY	55,879.80	55,879.80
Initial Margins	-10,996.00	-10,996.00
Excess/(Shortage)	44,883.80	44,883.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	-4,285.00	-4,285.00
Net Liquidating Value	51,594.80	51,594.80

OPEN POSITION STATEMENT



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SAP0103D

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
05OCT17	S	1	DEC17	301.200	309.550	USD	-2,087.50	
10OCT17	S	1	DEC17	306.200	309.550	USD	-837.50	
10OCT17	S	1	DEC17	306.000	309.550	USD	-887.50	
	NET -3 AVG SOLD 304.467						-3,812.50	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM							
04OCT17	B	1	NOV17	2.91800	2.88900	USD	-290.00	
04OCT17	B	1	NOV17	2.91500	2.88900	USD	-260.00	
04OCT17	B	2	NOV17	2.91700	2.88900	USD	-560.00	
	NET +4 AVG BGHT 2.91675						-1,110.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18SEP17	S	1	PD02NOV17	3103.33	3254.00	USD	-3,766.75	
18SEP17	S	1	PD02NOV17	3103.08	3254.00	USD	-3,773.00	
18SEP17	S	2	PD02NOV17	3074.33	3254.00	USD	-8,983.50	
19SEP17	S	1	PD02NOV17	3085.75	3254.00	USD	-4,206.25	
20SEP17	S	1	PD02NOV17	3152.20	3254.00	USD	-2,545.00	
20SEP17	S	1	PD02NOV17	3131.75	3254.00	USD	-3,056.25	
20SEP17	S	1	PD02NOV17	3130.75	3254.00	USD	-3,081.25	
22SEP17	B	1	PD02NOV17	3023.63	3254.00	USD	5,759.25	
25SEP17	S	1	PD02NOV17	3103.23	3254.00	USD	-3,769.25	
09OCT17	B	1	PD02NOV17	3258.50	3254.00	USD	-112.50	
09OCT17	B	1	PD02NOV17	3246.50	3254.00	USD	187.50	
09OCT17	B	1	PD02NOV17	3254.00	3254.00	USD	0.00	
11OCT17	B	2	PD02NOV17	3259.00	3254.00	USD	-250.00	
11OCT17	B	1	PD02NOV17	3254.50	3254.00	USD	-12.50	
11OCT17	B	1	PD02NOV17	3257.00	3254.00	USD	-75.00	
	NET -1 AVG BGHT 3226.52 SOLD 3106.53						-27,684.50	0.00
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	
18SEP17	S	1	PD18DEC17	3088.00	3229.67	USD	-3,541.75	
18SEP17	S	1	PD18DEC17	3083.50	3229.67	USD	-3,654.25	
18SEP17	S	1	PD18DEC17	3090.00	3229.67	USD	-3,491.75	
18SEP17	B	1	PD18DEC17	3103.16	3229.67	USD	3,162.75	
18SEP17	B	1	PD18DEC17	3102.91	3229.67	USD	3,169.00	
18SEP17	B	2	PD18DEC17	3076.66	3229.67	USD	7,650.50	
	NET 0 AVG BGHT 3089.85 SOLD 3087.25						-259.75	0.00
19SEP17	S	1	PD19DEC17	3090.00	3229.33	USD	-3,483.25	
19SEP17	B	1	PD19DEC17	3086.65	3229.33	USD	3,567.00	
	NET 0 AVG BGHT 3086.65 SOLD 3090.00						83.75	0.00
20SEP17	S	1	PD20DEC17	3133.50	3229.00	USD	-2,387.50	
20SEP17	S	1	PD20DEC17	3128.00	3229.00	USD	-2,525.00	
20SEP17	S	1	PD20DEC17	3148.50	3229.00	USD	-2,012.50	
20SEP17	B	1	PD20DEC17	3151.66	3229.00	USD	1,933.50	
20SEP17	B	1	PD20DEC17	3132.90	3229.00	USD	2,402.50	
20SEP17	B	1	PD20DEC17	3131.90	3229.00	USD	2,427.50	
	NET 0 AVG BGHT 3138.82 SOLD 3136.67						-161.50	0.00
22SEP17	B	1	PD22DEC17	3014.00	3224.50	USD	5,262.50	
22SEP17	S	1	PD22DEC17	3015.35	3224.50	USD	-5,228.75	
	NET 0 AVG BGHT 3014.00 SOLD 3015.35						33.75	0.00
25SEP17	S	1	PD27DEC17	3095.50	3224.00	USD	-3,212.50	
25SEP17	B	1	PD27DEC17	3095.91	3224.00	USD	3,202.25	
	NET 0 AVG BGHT 3095.91 SOLD 3095.50						-10.25	0.00
09OCT17	B	1	PD09JAN18	3244.50	3220.00	USD	-612.50	
09OCT17	B	1	PD09JAN18	3231.50	3220.00	USD	-287.50	
09OCT17	B	1	PD09JAN18	3233.50	3220.00	USD	-337.50	
09OCT17	B	1	PD09JAN18	3245.50	3220.00	USD	-637.50	
09OCT17	S	1	PD09JAN18	3238.35	3220.00	USD	458.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 1 PD09JAN18	3229.35	3220.00	USD	233.75	
09OCT17	S 1 PD09JAN18	3233.85	3220.00	USD	346.25	
	NET +1AVG BGHT 3238.75 SOLD 3233.85				-836.25	0.00
10OCT17	B 1 PD10JAN18	3227.50	3219.50	USD	-200.00	
	NET +1AVG BGHT 3227.50				-200.00	0.00
11OCT17	B 1 PD11JAN18	3221.50	3219.00	USD	-62.50	
11OCT17	B 1 PD11JAN18	3221.00	3219.00	USD	-50.00	
11OCT17	B 1 PD11JAN18	3218.00	3219.00	USD	25.00	
11OCT17	S 2 PD11JAN18	3220.85	3219.00	USD	92.50	
11OCT17	S 1 PD11JAN18	3216.35	3219.00	USD	-66.25	
11OCT17	S 1 PD11JAN18	3218.85	3219.00	USD	-3.75	
	NET -1AVG BGHT 3220.17 SOLD 3219.23				-65.00	0.00

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	228,350.00
NYM Henry Hub Natural Gas	FUTURES	-116,670.00
LME Zinc 25 tonnes. This is	FUTURES	-28,262.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-3,812.50	-3,812.50		
HGAS - Futures USD	-1,110.00	-1,110.00	-4,922.50	-4,922.50
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-29,099.75	-29,099.75	-29,099.75	-29,099.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	102,770.00	102,770.00
- Options	-4,922.50	-4,922.50
	0.00	0.00
TOTAL EQUITY	97,847.50	97,847.50
Initial Margins	-46,859.75	-46,859.75
Excess/(Shortage)	50,987.75	50,987.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	-29,099.75	-29,099.75
Net Liquidating Value	68,747.75	68,747.75

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
09AUG17	S 1 DEC17	1276.9	1288.9	USD	-1,200.00	
09AUG17	S 1 DEC17	1279.1	1288.9	USD	-980.00	
	NET -2 AVG SOLD 1278.0				-2,180.00	0.00
	CMX SILVER 5,000 CMX					
30AUG17	B 1 DEC17	1750.5	1713.3	USD	-1,860.00	
	NET +1 AVG BGHT 1750.5				-1,860.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	255,600.00
CMX Silver	FUTURES	-87,525.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures	USD -2,180.00	-2,180.00		
SILV - Futures	USD -1,860.00	-1,860.00	-4,040.00	-4,040.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	90,017.61	90,017.61
Variation Margins - Futures	-4,040.00	-4,040.00
- Options	0.00	0.00
TOTAL EQUITY	85,977.61	85,977.61
Initial Margins	-7,600.00	-7,600.00
Excess/(Shortage)	78,377.61	78,377.61
FOR INFORMATION ONLY		
Net Liquidating Value	85,977.61	85,977.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	3	PD130CT17	1935.00	2104.75	USD	-12,731.25	
13JUL17	S	1	PD130CT17	1935.50	2104.75	USD	-4,231.25	
13JUL17	S	3	PD130CT17	1940.00	2104.75	USD	-12,356.25	
21JUL17	B	7	PD130CT17	1914.26	2104.75	USD	33,335.75	
	NET	0 AVG BGHT 1914.26	SOLD 1937.21				4,017.00	0.00
17JUL17	B	8	PD170CT17	1924.50	2106.75	USD	36,450.00	
21JUL17	S	7	PD170CT17	1914.85	2106.75	USD	-33,582.50	
21JUL17	S	1	PD170CT17	1915.05	2106.75	USD	-4,792.50	
	NET	0 AVG BGHT 1924.50	SOLD 1914.88				-1,925.00	0.00
18JUL17	S	1	PD180CT17	1921.00	2107.25	USD	-4,656.25	
21JUL17	B	1	PD180CT17	1915.45	2107.25	USD	4,795.00	
	NET	0 AVG BGHT 1915.45	SOLD 1921.00				138.75	0.00
21JUL17	S	1	PD200CT17	1914.00	2108.25	USD	-4,856.25	
21JUL17	S	1	PD200CT17	1915.50	2108.25	USD	-4,818.75	
21JUL17	S	1	PD200CT17	1916.50	2108.25	USD	-4,793.75	
21JUL17	S	2	PD200CT17	1918.00	2108.25	USD	-9,512.50	
21JUL17	S	1	PD200CT17	1916.00	2108.25	USD	-4,806.25	
26JUL17	B	6	PD200CT17	1940.71	2108.25	USD	25,131.00	
	NET	0 AVG BGHT 1940.71	SOLD 1916.33				-3,656.50	0.00
24JUL17	B	2	PD240CT17	1913.00	2110.25	USD	9,862.50	
24JUL17	S	2	PD240CT17	1913.00	2110.25	USD	-9,862.50	
24JUL17	B	2	PD240CT17	1912.50	2110.25	USD	9,887.50	
24JUL17	B	1	PD240CT17	1914.50	2110.25	USD	4,893.75	
24JUL17	S	1	PD240CT17	1909.50	2110.25	USD	-5,018.75	
24JUL17	B	1	PD240CT17	1909.50	2110.25	USD	5,018.75	
24JUL17	B	2	PD240CT17	1910.00	2110.25	USD	10,012.50	
24JUL17	B	1	PD240CT17	1910.50	2110.25	USD	4,993.75	
26JUL17	S	6	PD240CT17	1941.37	2110.25	USD	-25,332.00	
	NET	0 AVG BGHT 1911.72	SOLD 1931.52				4,455.50	0.00
26JUL17	S	1	PD260CT17	1958.50	2111.25	USD	-3,818.75	
26JUL17	S	2	PD260CT17	1959.00	2111.25	USD	-7,612.50	
26JUL17	S	1	PD260CT17	1959.50	2111.25	USD	-3,793.75	
26JUL17	S	1	PD260CT17	1956.50	2111.25	USD	-3,868.75	
26JUL17	S	1	PD260CT17	1957.00	2111.25	USD	-3,856.25	
26JUL17	B	1	PD260CT17	1944.00	2111.25	USD	4,181.25	
26JUL17	B	1	PD260CT17	1945.50	2111.25	USD	4,143.75	
26JUL17	B	4	PD260CT17	1943.50	2111.25	USD	16,775.00	
	NET	0 AVG BGHT 1943.92	SOLD 1958.25				2,150.00	0.00
28JUL17	B	1	PD270CT17	1925.00	2111.00	USD	4,650.00	
03AUG17	S	1	PD270CT17	1914.05	2111.00	USD	-4,923.75	
	NET	0 AVG BGHT 1925.00	SOLD 1914.05				-273.75	0.00
31JUL17	B	1	PD310CT17	1921.00	2110.50	USD	4,737.50	
31JUL17	B	1	PD310CT17	1918.00	2110.50	USD	4,812.50	
31JUL17	B	1	PD310CT17	1917.50	2110.50	USD	4,825.00	
03AUG17	S	3	PD310CT17	1915.05	2110.50	USD	-14,658.75	
	NET	0 AVG BGHT 1918.83	SOLD 1915.05				-283.75	0.00
12SEP17	S	10	PD02NOV17	2125.08	2111.13	USD	3,487.50	
	NET	-10 AVG SOLD 2125.08					3,487.50	0.00
07AUG17	S	1	PD07NOV17	1944.50	2112.69	USD	-4,204.75	
07AUG17	S	1	PD07NOV17	1945.50	2112.69	USD	-4,179.75	
07AUG17	S	1	PD07NOV17	1947.00	2112.69	USD	-4,142.25	
07AUG17	S	1	PD07NOV17	1946.00	2112.69	USD	-4,167.25	
08AUG17	B	4	PD07NOV17	2030.00	2112.69	USD	8,269.00	
	NET	0 AVG BGHT 2030.00	SOLD 1945.75				-8,425.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
08AUG17	S	1	PD08NOV17	1993.00	2113.00	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	1992.00	2113.00	USD	-3,025.00	
08AUG17	S	1	PD08NOV17	1992.50	2113.00	USD	-3,012.50	
08AUG17	S	1	PD08NOV17	1990.50	2113.00	USD	-3,062.50	
08AUG17	S	1	PD08NOV17	1991.50	2113.00	USD	-3,037.50	
08AUG17	S	1	PD08NOV17	1998.50	2113.00	USD	-2,862.50	
08AUG17	S	1	PD08NOV17	1999.00	2113.00	USD	-2,850.00	
08AUG17	S	1	PD08NOV17	2000.00	2113.00	USD	-2,825.00	
08AUG17	S	1	PD08NOV17	2009.50	2113.00	USD	-2,587.50	
08AUG17	S	3	PD08NOV17	2010.00	2113.00	USD	-7,725.00	
08AUG17	S	1	PD08NOV17	2011.00	2113.00	USD	-2,550.00	
08AUG17	S	1	PD08NOV17	2007.50	2113.00	USD	-2,637.50	
08AUG17	S	1	PD08NOV17	2010.50	2113.00	USD	-2,562.50	
08AUG17	B	1	PD08NOV17	2031.00	2113.00	USD	2,050.00	
08AUG17	B	5	PD08NOV17	2030.20	2113.00	USD	10,350.00	
09AUG17	B	9	PD08NOV17	2027.52	2113.00	USD	19,233.00	
	NET	0 AVG BGHT 2028.65	SOLD 2001.70				-10,104.50	0.00
11AUG17	S	4	PD10NOV17	2040.00	2113.93	USD	-7,393.00	
11AUG17	S	1	PD10NOV17	2040.50	2113.93	USD	-1,835.75	
11AUG17	B	1	PD10NOV17	2041.50	2113.93	USD	1,810.75	
11AUG17	B	1	PD10NOV17	2042.50	2113.93	USD	1,785.75	
11AUG17	B	2	PD10NOV17	2040.50	2113.93	USD	3,671.50	
11AUG17	B	1	PD10NOV17	2041.00	2113.93	USD	1,823.25	
	NET	0 AVG BGHT 2041.20	SOLD 2040.10				-137.50	0.00
23AUG17	B	1	PD24NOV17	2067.00	2119.03	USD	1,300.75	
23AUG17	S	1	PD24NOV17	2066.50	2119.03	USD	-1,313.25	
25AUG17	B	1	PD24NOV17	2083.00	2119.03	USD	900.75	
25AUG17	B	1	PD24NOV17	2093.50	2119.03	USD	638.25	
25AUG17	B	1	PD24NOV17	2085.50	2119.03	USD	838.25	
25AUG17	B	1	PD24NOV17	2078.50	2119.03	USD	1,013.25	
25AUG17	S	1	PD24NOV17	2078.00	2119.03	USD	-1,025.75	
30AUG17	S	3	PD24NOV17	2086.15	2119.03	USD	-2,466.00	
	NET	0 AVG BGHT 2081.50	SOLD 2080.59				-113.75	0.00
31AUG17	B	1	PD30NOV17	2097.00	2120.88	USD	597.00	
31AUG17	B	1	PD30NOV17	2097.50	2120.88	USD	584.50	
31AUG17	B	1	PD30NOV17	2099.00	2120.88	USD	547.00	
31AUG17	S	1	PD30NOV17	2104.00	2120.88	USD	-422.00	
31AUG17	S	1	PD30NOV17	2104.50	2120.88	USD	-409.50	
31AUG17	S	1	PD30NOV17	2105.00	2120.88	USD	-397.00	
31AUG17	S	2	PD30NOV17	2106.00	2120.88	USD	-744.00	
31AUG17	S	1	PD30NOV17	2111.50	2120.88	USD	-234.50	
31AUG17	S	1	PD30NOV17	2112.00	2120.88	USD	-222.00	
31AUG17	S	1	PD30NOV17	2114.00	2120.88	USD	-172.00	
31AUG17	S	1	PD30NOV17	2116.50	2120.88	USD	-109.50	
31AUG17	S	1	PD30NOV17	2115.00	2120.88	USD	-147.00	
31AUG17	B	1	PD30NOV17	2138.00	2120.88	USD	-428.00	
31AUG17	B	1	PD30NOV17	2136.50	2120.88	USD	-390.50	
31AUG17	B	2	PD30NOV17	2128.50	2120.88	USD	-381.00	
31AUG17	B	1	PD30NOV17	2130.00	2120.88	USD	-228.00	
31AUG17	B	3	PD30NOV17	2131.00	2120.88	USD	-759.00	
31AUG17	S	1	PD30NOV17	2128.50	2120.88	USD	190.50	
31AUG17	B	1	PD30NOV17	2099.50	2120.88	USD	534.50	
31AUG17	S	1	PD30NOV17	2127.00	2120.88	USD	153.00	
31AUG17	S	1	PD30NOV17	2128.00	2120.88	USD	178.00	
31AUG17	S	11	PD30NOV17	2116.80	2120.88	USD	-1,122.00	
12SEP17	B	2	PD30NOV17	2133.28	2120.88	USD	-620.00	

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12SEP17	B	10	PD30NOV17	2133.48	2120.88	USD	-3,150.00	
	NET	0 AVG	BGHT 2127.04				-7,151.50	0.00
04SEP17	B	1	PD04DEC17	2108.50	2122.12	USD	340.50	
04SEP17	B	1	PD04DEC17	2117.00	2122.12	USD	128.00	
12SEP17	S	2	PD04DEC17	2134.32	2122.12	USD	610.00	
	NET	0 AVG	BGHT 2112.75				1,078.50	0.00
20SEP17	S	1	PD20DEC17	2155.00	2126.75	USD	706.25	
20SEP17	S	1	PD20DEC17	2156.50	2126.75	USD	743.75	
20SEP17	S	1	PD20DEC17	2164.50	2126.75	USD	943.75	
20SEP17	S	1	PD20DEC17	2190.50	2126.75	USD	1,593.75	
20SEP17	S	2	PD20DEC17	2157.00	2126.75	USD	1,512.50	
20SEP17	S	2	PD20DEC17	2155.50	2126.75	USD	1,437.50	
20SEP17	S	1	PD20DEC17	2166.00	2126.75	USD	981.25	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	B	1	PD20DEC17	2165.00	2126.75	USD	-956.25	
20SEP17	B	1	PD20DEC17	2168.50	2126.75	USD	-1,043.75	
27SEP17	B	6	PD20DEC17	2129.50	2126.75	USD	-412.50	
	NET	0 AVG	BGHT 2141.50				4,600.00	0.00
21SEP17	B	2	PD21DEC17	2182.00	2126.75	USD	-2,762.50	
21SEP17	B	1	PD21DEC17	2183.00	2126.75	USD	-1,406.25	
21SEP17	B	1	PD21DEC17	2184.50	2126.75	USD	-1,443.75	
21SEP17	B	2	PD21DEC17	2186.00	2126.75	USD	-2,962.50	
27SEP17	S	6	PD21DEC17	2129.59	2126.75	USD	426.00	
	NET	0 AVG	BGHT 2183.92				-8,149.00	0.00
11OCT17	B	1	PD11JAN18	2141.00	2130.00	USD	-275.00	
11OCT17	B	1	PD11JAN18	2142.00	2130.00	USD	-300.00	
11OCT17	B	3	PD11JAN18	2143.50	2130.00	USD	-1,012.50	
11OCT17	B	3	PD11JAN18	2144.00	2130.00	USD	-1,050.00	
11OCT17	B	1	PD11JAN18	2144.50	2130.00	USD	-362.50	
	NET	+9 AVG	BGHT 2143.33				-3,000.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD13OCT17	2293.00	2552.75	USD	6,493.75	
13JUL17	B	1	PD13OCT17	2294.00	2552.75	USD	6,468.75	
14JUL17	S	1	PD13OCT17	2309.00	2552.75	USD	-6,093.75	
14JUL17	S	1	PD13OCT17	2310.00	2552.75	USD	-6,068.75	
14JUL17	S	1	PD13OCT17	2313.50	2552.75	USD	-5,981.25	
14JUL17	S	1	PD13OCT17	2315.50	2552.75	USD	-5,931.25	
14JUL17	S	2	PD13OCT17	2315.80	2552.75	USD	-11,847.50	
21JUL17	B	4	PD13OCT17	2238.77	2552.75	USD	31,398.00	
	NET	0 AVG	BGHT 2257.01				8,438.00	0.00
17JUL17	B	3	PD17OCT17	2315.50	2554.35	USD	17,913.75	
17JUL17	B	1	PD17OCT17	2316.00	2554.35	USD	5,958.75	
21JUL17	S	4	PD17OCT17	2239.35	2554.35	USD	-31,500.00	
	NET	0 AVG	BGHT 2315.63				-7,627.50	0.00
28SEP17	S	8	PD02NOV17	2470.79	2556.89	USD	-17,220.00	
29SEP17	S	12	PD02NOV17	2492.15	2556.89	USD	-19,422.00	
29SEP17	S	17	PD02NOV17	2492.35	2556.89	USD	-27,429.50	
	NET	-37 AVG	SOLD 2487.62				-64,071.50	0.00
04AUG17	S	1	PD03NOV17	2361.00	2557.04	USD	-4,901.00	
04AUG17	S	1	PD03NOV17	2362.00	2557.04	USD	-4,876.00	
04AUG17	B	2	PD03NOV17	2357.00	2557.04	USD	10,002.00	
04AUG17	S	1	PD03NOV17	2357.50	2557.04	USD	-4,988.50	
30AUG17	B	1	PD03NOV17	2362.92	2557.04	USD	4,853.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2358.97	SOLD 2360.17				89.50	0.00
10AUG17	B	1 PD10NOV17		2355.00	2558.04	USD	5,076.00	
30AUG17	S	1 PD10NOV17		2364.81	2558.04	USD	-4,830.75	
	NET	0 AVG BGHT 2355.00	SOLD 2364.81				245.25	0.00
24AUG17	B	1 PD24NOV17		2351.50	2559.75	USD	5,206.25	
24AUG17	S	1 PD24NOV17		2356.80	2559.75	USD	-5,073.75	
	NET	0 AVG BGHT 2351.50	SOLD 2356.80				132.50	0.00
31AUG17	S	1 PD30NOV17		2389.00	2560.30	USD	-4,282.50	
31AUG17	B	1 PD30NOV17		2394.20	2560.30	USD	4,152.50	
	NET	0 AVG BGHT 2394.20	SOLD 2389.00				-130.00	0.00
05SEP17	B	1 PD05DEC17		2364.00	2560.75	USD	4,918.75	
05SEP17	B	1 PD05DEC17		2365.50	2560.75	USD	4,881.25	
05SEP17	B	1 PD05DEC17		2368.00	2560.75	USD	4,818.75	
05SEP17	B	2 PD05DEC17		2367.50	2560.75	USD	9,662.50	
05SEP17	B	2 PD05DEC17		2363.50	2560.75	USD	9,862.50	
05SEP17	B	1 PD05DEC17		2353.00	2560.75	USD	5,193.75	
05SEP17	B	2 PD05DEC17		2353.50	2560.75	USD	10,362.50	
05SEP17	B	1 PD05DEC17		2348.00	2560.75	USD	5,318.75	
05SEP17	B	1 PD05DEC17		2348.50	2560.75	USD	5,306.25	
05SEP17	B	1 PD05DEC17		2340.50	2560.75	USD	5,506.25	
05SEP17	S	13 PD05DEC17		2333.80	2560.75	USD	-73,758.75	
	NET	0 AVG BGHT 2358.19	SOLD 2333.80				-7,927.50	0.00
15SEP17	S	2 PD15DEC17		2359.50	2563.25	USD	-10,187.50	
15SEP17	S	2 PD15DEC17		2343.00	2563.25	USD	-11,012.50	
15SEP17	S	1 PD15DEC17		2339.50	2563.25	USD	-5,593.75	
15SEP17	S	1 PD15DEC17		2340.50	2563.25	USD	-5,568.75	
15SEP17	S	2 PD15DEC17		2345.00	2563.25	USD	-10,912.50	
15SEP17	S	2 PD15DEC17		2347.50	2563.25	USD	-10,787.50	
15SEP17	S	2 PD15DEC17		2360.50	2563.25	USD	-10,137.50	
15SEP17	S	1 PD15DEC17		2361.00	2563.25	USD	-5,056.25	
15SEP17	B	7 PD15DEC17		2359.20	2563.25	USD	35,708.75	
18SEP17	B	6 PD15DEC17		2389.40	2563.25	USD	26,077.50	
	NET	0 AVG BGHT 2373.14	SOLD 2350.15				-7,470.00	0.00
19SEP17	S	1 PD19DEC17		2411.50	2564.25	USD	-3,818.75	
19SEP17	S	2 PD19DEC17		2412.00	2564.25	USD	-7,612.50	
19SEP17	S	2 PD19DEC17		2412.50	2564.25	USD	-7,587.50	
19SEP17	B	1 PD19DEC17		2412.50	2564.25	USD	3,793.75	
21SEP17	B	4 PD19DEC17		2518.19	2564.25	USD	4,606.00	
	NET	0 AVG BGHT 2497.05	SOLD 2412.10				-10,619.00	0.00
20SEP17	S	2 PD20DEC17		2462.50	2564.50	USD	-5,100.00	
20SEP17	B	1 PD20DEC17		2461.50	2564.50	USD	2,575.00	
20SEP17	B	1 PD20DEC17		2463.00	2564.50	USD	2,537.50	
	NET	0 AVG BGHT 2462.25	SOLD 2462.50				12.50	0.00
21SEP17	S	1 PD21DEC17		2438.50	2563.50	USD	-3,125.00	
21SEP17	S	1 PD21DEC17		2444.50	2563.50	USD	-2,975.00	
21SEP17	S	1 PD21DEC17		2445.00	2563.50	USD	-2,962.50	
21SEP17	S	1 PD21DEC17		2446.00	2563.50	USD	-2,937.50	
21SEP17	S	1 PD21DEC17		2446.50	2563.50	USD	-2,925.00	
21SEP17	S	2 PD21DEC17		2448.00	2563.50	USD	-5,775.00	
21SEP17	S	1 PD21DEC17		2444.00	2563.50	USD	-2,987.50	
21SEP17	S	1 PD21DEC17		2469.00	2563.50	USD	-2,362.50	
21SEP17	S	2 PD21DEC17		2470.00	2563.50	USD	-4,675.00	
21SEP17	S	1 PD21DEC17		2471.00	2563.50	USD	-2,312.50	
21SEP17	S	3 PD21DEC17		2447.00	2563.50	USD	-8,737.50	
21SEP17	S	1 PD21DEC17		2462.00	2563.50	USD	-2,537.50	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	S	1	PD21DEC17		2474.50	2563.50	USD	-2,225.00	
21SEP17	S	2	PD21DEC17		2475.00	2563.50	USD	-4,425.00	
21SEP17	S	3	PD21DEC17		2464.00	2563.50	USD	-7,462.50	
21SEP17	S	2	PD21DEC17		2464.50	2563.50	USD	-4,950.00	
21SEP17	B	24	PD21DEC17		2513.20	2563.50	USD	30,180.00	
	NET	0 AVG BGHT 2513.20	SOLD 2457.88					-33,195.00	0.00
22SEP17	B	1	PD22DEC17		2421.00	2563.50	USD	3,562.50	
22SEP17	B	1	PD22DEC17		2422.00	2563.50	USD	3,537.50	
22SEP17	B	1	PD22DEC17		2422.50	2563.50	USD	3,525.00	
22SEP17	B	1	PD22DEC17		2424.00	2563.50	USD	3,487.50	
22SEP17	B	1	PD22DEC17		2401.00	2563.50	USD	4,062.50	
22SEP17	B	1	PD22DEC17		2402.50	2563.50	USD	4,025.00	
22SEP17	B	1	PD22DEC17		2375.00	2563.50	USD	4,712.50	
22SEP17	B	1	PD22DEC17		2380.50	2563.50	USD	4,575.00	
22SEP17	B	1	PD22DEC17		2381.50	2563.50	USD	4,550.00	
22SEP17	B	2	PD22DEC17		2382.00	2563.50	USD	9,075.00	
22SEP17	S	1	PD22DEC17		2418.00	2563.50	USD	-3,637.50	
22SEP17	S	1	PD22DEC17		2419.00	2563.50	USD	-3,612.50	
22SEP17	S	1	PD22DEC17		2436.00	2563.50	USD	-3,187.50	
22SEP17	S	1	PD22DEC17		2436.50	2563.50	USD	-3,175.00	
22SEP17	S	1	PD22DEC17		2438.00	2563.50	USD	-3,137.50	
22SEP17	S	1	PD22DEC17		2438.50	2563.50	USD	-3,125.00	
22SEP17	S	2	PD22DEC17		2442.50	2563.50	USD	-6,050.00	
22SEP17	S	1	PD22DEC17		2445.00	2563.50	USD	-2,962.50	
22SEP17	S	1	PD22DEC17		2445.50	2563.50	USD	-2,950.00	
22SEP17	S	1	PD22DEC17		2446.00	2563.50	USD	-2,937.50	
22SEP17	S	1	PD22DEC17		2446.50	2563.50	USD	-2,925.00	
22SEP17	B	1	PD22DEC17		2382.50	2563.50	USD	4,525.00	
22SEP17	B	1	PD22DEC17		2484.00	2563.50	USD	1,987.50	
22SEP17	S	1	PD22DEC17		2481.50	2563.50	USD	-2,050.00	
22SEP17	S	1	PD22DEC17		2483.00	2563.50	USD	-2,012.50	
22SEP17	S	1	PD22DEC17		2484.00	2563.50	USD	-1,987.50	
22SEP17	S	2	PD22DEC17		2475.00	2563.50	USD	-4,425.00	
22SEP17	S	1	PD22DEC17		2476.50	2563.50	USD	-2,175.00	
22SEP17	S	2	PD22DEC17		2482.50	2563.50	USD	-4,050.00	
22SEP17	B	2	PD22DEC17		2488.00	2563.50	USD	3,775.00	
22SEP17	B	2	PD22DEC17		2489.00	2563.50	USD	3,725.00	
22SEP17	B	3	PD22DEC17		2490.00	2563.50	USD	5,512.50	
	NET	0 AVG BGHT 2434.23	SOLD 2454.70					10,237.50	0.00
26SEP17	B	1	PD27DEC17		2487.50	2563.50	USD	1,900.00	
26SEP17	B	2	PD27DEC17		2466.50	2563.50	USD	4,850.00	
26SEP17	B	1	PD27DEC17		2469.00	2563.50	USD	2,362.50	
26SEP17	B	4	PD27DEC17		2468.50	2563.50	USD	9,500.00	
26SEP17	B	1	PD27DEC17		2481.00	2563.50	USD	2,062.50	
26SEP17	B	1	PD27DEC17		2482.50	2563.50	USD	2,025.00	
27SEP17	B	1	PD27DEC17		2478.50	2563.50	USD	2,125.00	
27SEP17	B	5	PD27DEC17		2480.00	2563.50	USD	10,437.50	
27SEP17	S	10	PD27DEC17		2461.80	2563.50	USD	-25,425.00	
27SEP17	S	6	PD27DEC17		2458.59	2563.50	USD	-15,736.50	
	NET	0 AVG BGHT 2475.34	SOLD 2460.60					-5,899.00	0.00
28SEP17	S	1	PD28DEC17		2470.50	2563.60	USD	-2,327.50	
28SEP17	S	1	PD28DEC17		2472.50	2563.60	USD	-2,277.50	
28SEP17	S	1	PD28DEC17		2473.50	2563.60	USD	-2,252.50	
28SEP17	S	2	PD28DEC17		2474.00	2563.60	USD	-4,480.00	
28SEP17	S	1	PD28DEC17		2475.50	2563.60	USD	-2,202.50	
28SEP17	S	2	PD28DEC17		2476.00	2563.60	USD	-4,380.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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28SEP17	B	8	PD28DEC17	2470.20	2563.60	USD	18,680.00	
	NET	0 AVG	BGHT 2470.20				760.00	0.00
29SEP17	S	1	PD29DEC17	2498.50	2563.70	USD	-1,630.00	
29SEP17	B	1	PD29DEC17	2489.50	2563.70	USD	1,855.00	
29SEP17	B	1	PD29DEC17	2487.50	2563.70	USD	1,905.00	
29SEP17	B	2	PD29DEC17	2488.00	2563.70	USD	3,785.00	
29SEP17	S	1	PD29DEC17	2493.50	2563.70	USD	-1,755.00	
29SEP17	S	1	PD29DEC17	2496.00	2563.70	USD	-1,692.50	
29SEP17	S	1	PD29DEC17	2494.00	2563.70	USD	-1,742.50	
29SEP17	S	3	PD29DEC17	2494.50	2563.70	USD	-5,190.00	
29SEP17	S	2	PD29DEC17	2495.00	2563.70	USD	-3,435.00	
29SEP17	S	2	PD29DEC17	2501.00	2563.70	USD	-3,135.00	
29SEP17	B	4	PD29DEC17	2490.00	2563.70	USD	7,370.00	
29SEP17	B	2	PD29DEC17	2486.00	2563.70	USD	3,885.00	
29SEP17	S	4	PD29DEC17	2495.50	2563.70	USD	-6,820.00	
29SEP17	S	4	PD29DEC17	2498.00	2563.70	USD	-6,570.00	
29SEP17	S	9	PD29DEC17	2497.00	2563.70	USD	-15,007.50	
29SEP17	B	2	PD29DEC17	2489.00	2563.70	USD	3,735.00	
29SEP17	B	1	PD29DEC17	2503.50	2563.70	USD	1,505.00	
29SEP17	S	1	PD29DEC17	2496.50	2563.70	USD	-1,680.00	
29SEP17	S	1	PD29DEC17	2497.50	2563.70	USD	-1,655.00	
29SEP17	B	17	PD29DEC17	2485.20	2563.70	USD	33,362.50	
	NET	0 AVG	BGHT 2487.16				7,090.00	0.00
06OCT17	B	2	PD05JAN18	2526.50	2564.40	USD	1,895.00	
06OCT17	B	1	PD05JAN18	2522.00	2564.40	USD	1,060.00	
06OCT17	B	3	PD05JAN18	2523.00	2564.40	USD	3,105.00	
06OCT17	B	1	PD05JAN18	2511.00	2564.40	USD	1,335.00	
06OCT17	S	1	PD05JAN18	2540.50	2564.40	USD	-597.50	
06OCT17	S	1	PD05JAN18	2542.00	2564.40	USD	-560.00	
06OCT17	S	1	PD05JAN18	2544.50	2564.40	USD	-497.50	
06OCT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
06OCT17	S	2	PD05JAN18	2545.50	2564.40	USD	-945.00	
06OCT17	S	1	PD05JAN18	2546.50	2564.40	USD	-447.50	
06OCT17	B	1	PD05JAN18	2507.00	2564.40	USD	1,435.00	
06OCT17	S	1	PD05JAN18	2540.00	2564.40	USD	-610.00	
	NET	0 AVG	BGHT 2520.25				4,687.50	0.00
09OCT17	B	1	PD09JAN18	2507.50	2564.80	USD	1,432.50	
09OCT17	B	1	PD09JAN18	2503.50	2564.80	USD	1,532.50	
09OCT17	B	1	PD09JAN18	2508.50	2564.80	USD	1,407.50	
09OCT17	B	5	PD09JAN18	2505.50	2564.80	USD	7,412.50	
09OCT17	B	1	PD09JAN18	2501.50	2564.80	USD	1,582.50	
09OCT17	B	2	PD09JAN18	2502.50	2564.80	USD	3,115.00	
09OCT17	B	1	PD09JAN18	2494.00	2564.80	USD	1,770.00	
09OCT17	B	1	PD09JAN18	2496.50	2564.80	USD	1,707.50	
09OCT17	B	2	PD09JAN18	2496.00	2564.80	USD	3,440.00	
09OCT17	B	1	PD09JAN18	2489.50	2564.80	USD	1,882.50	
09OCT17	B	1	PD09JAN18	2490.50	2564.80	USD	1,857.50	
09OCT17	S	2	PD09JAN18	2515.50	2564.80	USD	-2,465.00	
	NET	+15 AVG	BGHT 2500.94				24,675.00	0.00
10OCT17	B	1	PD10JAN18	2499.50	2564.90	USD	1,635.00	
10OCT17	B	1	PD10JAN18	2498.50	2564.90	USD	1,660.00	
10OCT17	S	1	PD10JAN18	2498.50	2564.90	USD	-1,660.00	
10OCT17	S	1	PD10JAN18	2500.00	2564.90	USD	-1,622.50	
10OCT17	S	1	PD10JAN18	2501.50	2564.90	USD	-1,585.00	
10OCT17	S	1	PD10JAN18	2502.50	2564.90	USD	-1,560.00	
10OCT17	S	1	PD10JAN18	2506.00	2564.90	USD	-1,472.50	

OPEN POSITION STATEMENT



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11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
10OCT17	S 1 PD10JAN18	2517.50	2564.90	USD	-1,185.00	
10OCT17	S 1 PD10JAN18	2519.50	2564.90	USD	-1,135.00	
10OCT17	S 1 PD10JAN18	2520.00	2564.90	USD	-1,122.50	
10OCT17	S 1 PD10JAN18	2518.50	2564.90	USD	-1,160.00	
10OCT17	S 2 PD10JAN18	2513.50	2564.90	USD	-2,570.00	
10OCT17	S 1 PD10JAN18	2515.50	2564.90	USD	-1,235.00	
10OCT17	S 1 PD10JAN18	2516.50	2564.90	USD	-1,210.00	
10OCT17	S 1 PD10JAN18	2536.50	2564.90	USD	-710.00	
10OCT17	S 1 PD10JAN18	2537.00	2564.90	USD	-697.50	
10OCT17	S 1 PD10JAN18	2537.50	2564.90	USD	-685.00	
	NET -14 AVG BGHT 2499.00 SOLD 2515.88				-16,315.00	0.00
11OCT17	S 1 PD11JAN18	2555.00	2565.00	USD	-250.00	
	NET -1 AVG SOLD 2555.00				-250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	S 3 PD25OCT17	9900.00	11071.75	USD	-21,091.50	
25JUL17	S 2 PD25OCT17	9910.00	11071.75	USD	-13,941.00	
25JUL17	S 2 PD25OCT17	9905.00	11071.75	USD	-14,001.00	
26JUL17	B 7 PD25OCT17	10041.33	11071.75	USD	43,277.64	
	NET 0 AVG BGHT 10041.33 SOLD 9904.29				-5,755.86	0.00
26JUL17	S 2 PD26OCT17	9930.00	11072.71	USD	-13,712.52	
26JUL17	S 1 PD26OCT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	B 3 PD26OCT17	10041.00	11072.71	USD	18,570.78	
	NET 0 AVG BGHT 10041.00 SOLD 9933.33				-1,938.00	0.00
13SEP17	B 1 PD13DEC17	11615.00	11113.60	USD	-3,008.40	
13SEP17	B 1 PD13DEC17	11565.00	11113.60	USD	-2,708.40	
13SEP17	B 1 PD13DEC17	11590.00	11113.60	USD	-2,858.40	
21SEP17	S 3 PD13DEC17	10998.70	11113.60	USD	-2,068.20	
	NET 0 AVG BGHT 11590.00 SOLD 10998.70				-10,643.40	0.00
20SEP17	S 1 PD20DEC17	11360.00	11119.00	USD	1,446.00	
20SEP17	S 1 PD20DEC17	11385.00	11119.00	USD	1,596.00	
20SEP17	S 1 PD20DEC17	11390.00	11119.00	USD	1,626.00	
21SEP17	B 3 PD20DEC17	11005.50	11119.00	USD	2,043.00	
	NET 0 AVG BGHT 11005.50 SOLD 11378.33				6,711.00	0.00
28SEP17	B 2 PD28DEC17	10255.00	11124.82	USD	10,437.84	
28SEP17	B 2 PD28DEC17	10270.00	11124.82	USD	10,257.84	
28SEP17	B 3 PD28DEC17	10260.00	11124.82	USD	15,566.76	
28SEP17	B 1 PD28DEC17	10340.00	11124.82	USD	4,708.92	
28SEP17	B 1 PD28DEC17	10290.00	11124.82	USD	5,008.92	
28SEP17	B 1 PD28DEC17	10460.00	11124.82	USD	3,988.92	
	NET +10 AVG BGHT 10292.00				49,969.20	0.00
04OCT17	B 1 PD04JAN18	10605.00	11129.91	USD	3,149.46	
	NET +1 AVG BGHT 10605.00				3,149.46	0.00
	<u>CMX SILVER 5,000 CMX</u>					
21SEP17	B 1 DEC17	1703.0	1713.3	USD	515.00	
04OCT17	B 1 DEC17	1678.0	1713.3	USD	1,765.00	
04OCT17	B 1 DEC17	1678.5	1713.3	USD	1,740.00	
04OCT17	B 1 DEC17	1664.0	1713.3	USD	2,465.00	
	NET +4 AVG BGHT 1680.9				6,485.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 3 PD12OCT17	2870.00	3299.33	USD	-32,199.75	
12JUL17	S 1 PD12OCT17	2870.50	3299.33	USD	-10,720.75	

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12JUL17	B	1	PD120CT17	2846.50	3299.33	USD	11,320.75	
12JUL17	B	1	PD120CT17	2847.50	3299.33	USD	11,295.75	
12JUL17	B	1	PD120CT17	2845.00	3299.33	USD	11,358.25	
12JUL17	B	1	PD120CT17	2838.00	3299.33	USD	11,533.25	
12JUL17	B	1	PD120CT17	2836.50	3299.33	USD	11,570.75	
12JUL17	B	2	PD120CT17	2835.00	3299.33	USD	23,216.50	
12JUL17	B	2	PD120CT17	2835.50	3299.33	USD	23,191.50	
12JUL17	B	2	PD120CT17	2836.00	3299.33	USD	23,166.50	
12JUL17	B	2	PD120CT17	2831.50	3299.33	USD	23,391.50	
13JUL17	S	9	PD120CT17	2803.55	3299.33	USD	-111,550.50	
	NET	0 AVG BGHT 2837.65	SOLD 2824.03				-4,426.25	0.00
13JUL17	B	1	PD130CT17	2834.50	3295.00	USD	11,512.50	
13JUL17	S	1	PD130CT17	2835.00	3295.00	USD	-11,500.00	
13JUL17	S	1	PD130CT17	2837.00	3295.00	USD	-11,450.00	
13JUL17	B	1	PD130CT17	2804.00	3295.00	USD	12,275.00	
14JUL17	B	1	PD130CT17	2764.00	3295.00	USD	13,275.00	
14JUL17	B	3	PD130CT17	2765.00	3295.00	USD	39,750.00	
14JUL17	B	1	PD130CT17	2766.00	3295.00	USD	13,225.00	
14JUL17	B	1	PD130CT17	2785.50	3295.00	USD	12,737.50	
14JUL17	S	1	PD130CT17	2788.50	3295.00	USD	-12,662.50	
14JUL17	B	1	PD130CT17	2792.50	3295.00	USD	12,562.50	
14JUL17	S	1	PD130CT17	2792.50	3295.00	USD	-12,562.50	
14JUL17	S	1	PD130CT17	2794.50	3295.00	USD	-12,512.50	
21JUL17	S	4	PD130CT17	2754.96	3295.00	USD	-54,004.00	
	NET	0 AVG BGHT 2782.39	SOLD 2785.26				646.00	0.00
18JUL17	B	1	PD180CT17	2797.00	3282.00	USD	12,125.00	
18JUL17	B	1	PD180CT17	2800.50	3282.00	USD	12,037.50	
18JUL17	B	2	PD180CT17	2801.50	3282.00	USD	24,025.00	
18JUL17	B	3	PD180CT17	2799.50	3282.00	USD	36,187.50	
21JUL17	S	7	PD180CT17	2755.50	3282.00	USD	-92,137.50	
	NET	0 AVG BGHT 2799.86	SOLD 2755.50				-7,762.50	0.00
19JUL17	B	1	PD190CT17	2738.00	3277.50	USD	13,487.50	
19JUL17	S	1	PD190CT17	2735.00	3277.50	USD	-13,562.50	
	NET	0 AVG BGHT 2738.00	SOLD 2735.00				-75.00	0.00
20JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
20JUL17	S	1	PD200CT17	2738.50	3273.00	USD	-13,362.50	
20JUL17	B	1	PD200CT17	2722.00	3273.00	USD	13,775.00	
20JUL17	B	1	PD200CT17	2726.00	3273.00	USD	13,675.00	
21JUL17	S	7	PD200CT17	2740.00	3273.00	USD	-93,275.00	
21JUL17	S	1	PD200CT17	2752.00	3273.00	USD	-13,025.00	
21JUL17	S	1	PD200CT17	2752.50	3273.00	USD	-13,012.50	
21JUL17	S	2	PD200CT17	2753.00	3273.00	USD	-26,000.00	
21JUL17	S	1	PD200CT17	2771.50	3273.00	USD	-12,537.50	
21JUL17	S	1	PD200CT17	2774.00	3273.00	USD	-12,475.00	
21JUL17	S	1	PD200CT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	S	3	PD200CT17	2772.50	3273.00	USD	-37,537.50	
21JUL17	S	2	PD200CT17	2766.50	3273.00	USD	-25,325.00	
21JUL17	B	19	PD200CT17	2753.70	3273.00	USD	246,667.50	
	NET	0 AVG BGHT 2750.87	SOLD 2754.52				1,917.50	0.00
25JUL17	S	1	PD250CT17	2820.00	3264.00	USD	-11,100.00	
25JUL17	S	2	PD250CT17	2820.50	3264.00	USD	-22,175.00	
25JUL17	S	1	PD250CT17	2821.00	3264.00	USD	-11,075.00	
25JUL17	S	4	PD250CT17	2821.50	3264.00	USD	-44,250.00	
25JUL17	S	1	PD250CT17	2823.00	3264.00	USD	-11,025.00	
25JUL17	S	1	PD250CT17	2834.00	3264.00	USD	-10,750.00	
25JUL17	B	1	PD250CT17	2840.50	3264.00	USD	10,587.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	2841.50	3264.00	USD	10,562.50	
25JUL17	B	1	PD250CT17	2842.50	3264.00	USD	10,537.50	
25JUL17	B	1	PD250CT17	2847.50	3264.00	USD	10,412.50	
25JUL17	B	1	PD250CT17	2848.00	3264.00	USD	10,400.00	
25JUL17	B	3	PD250CT17	2848.50	3264.00	USD	31,162.50	
25JUL17	B	1	PD250CT17	2852.00	3264.00	USD	10,300.00	
27JUL17	B	1	PD250CT17	2800.63	3264.00	USD	11,584.25	
	NET	0 AVG BGHT 2841.81	SOLD 2822.50				-4,828.25	0.00
26JUL17	B	1	PD260CT17	2806.00	3262.33	USD	11,408.25	
26JUL17	B	1	PD260CT17	2797.00	3262.33	USD	11,633.25	
27JUL17	S	2	PD260CT17	2800.55	3262.33	USD	-23,089.00	
	NET	0 AVG BGHT 2801.50	SOLD 2800.55				-47.50	0.00
27JUL17	B	1	PD270CT17	2812.00	3260.67	USD	11,216.75	
27JUL17	B	1	PD270CT17	2821.50	3260.67	USD	10,979.25	
27JUL17	B	1	PD270CT17	2822.00	3260.67	USD	10,966.75	
27JUL17	B	2	PD270CT17	2819.50	3260.67	USD	22,058.50	
27JUL17	B	1	PD270CT17	2819.00	3260.67	USD	11,041.75	
27JUL17	B	1	PD270CT17	2804.00	3260.67	USD	11,416.75	
27JUL17	B	1	PD270CT17	2801.00	3260.67	USD	11,491.75	
27JUL17	B	3	PD270CT17	2790.00	3260.67	USD	35,300.25	
27JUL17	B	1	PD270CT17	2790.50	3260.67	USD	11,754.25	
27JUL17	B	1	PD270CT17	2791.50	3260.67	USD	11,729.25	
27JUL17	B	2	PD270CT17	2792.00	3260.67	USD	23,433.50	
27JUL17	S	16	PD270CT17	2800.80	3260.67	USD	-183,948.00	
27JUL17	B	1	PD270CT17	2789.00	3260.67	USD	11,791.75	
27JUL17	B	1	PD270CT17	2795.00	3260.67	USD	11,641.75	
28JUL17	B	1	PD270CT17	2781.50	3260.67	USD	11,979.25	
28JUL17	B	2	PD270CT17	2782.00	3260.67	USD	23,933.50	
28JUL17	B	1	PD270CT17	2783.00	3260.67	USD	11,941.75	
28JUL17	B	1	PD270CT17	2784.50	3260.67	USD	11,904.25	
28JUL17	B	2	PD270CT17	2786.50	3260.67	USD	23,708.50	
28JUL17	B	1	PD270CT17	2776.00	3260.67	USD	12,116.75	
28JUL17	B	2	PD270CT17	2777.00	3260.67	USD	24,183.50	
28JUL17	B	1	PD270CT17	2762.50	3260.67	USD	12,454.25	
28JUL17	B	1	PD270CT17	2764.00	3260.67	USD	12,416.75	
28JUL17	B	1	PD270CT17	2765.00	3260.67	USD	12,391.75	
28JUL17	S	3	PD270CT17	2791.50	3260.67	USD	-35,187.75	
28JUL17	S	1	PD270CT17	2794.50	3260.67	USD	-11,654.25	
28JUL17	S	1	PD270CT17	2796.00	3260.67	USD	-11,616.75	
28JUL17	S	2	PD270CT17	2798.50	3260.67	USD	-23,108.50	
28JUL17	S	1	PD270CT17	2800.00	3260.67	USD	-11,516.75	
28JUL17	S	3	PD270CT17	2792.50	3260.67	USD	-35,112.75	
28JUL17	S	1	PD270CT17	2794.00	3260.67	USD	-11,666.75	
28JUL17	S	1	PD270CT17	2795.00	3260.67	USD	-11,641.75	
28JUL17	S	1	PD270CT17	2795.50	3260.67	USD	-11,629.25	
28JUL17	B	1	PD270CT17	2785.00	3260.67	USD	11,891.75	
28JUL17	B	1	PD270CT17	2785.50	3260.67	USD	11,879.25	
28JUL17	B	2	PD270CT17	2784.00	3260.67	USD	23,833.50	
31JUL17	S	4	PD270CT17	2793.80	3260.67	USD	-46,687.00	
	NET	0 AVG BGHT 2790.72	SOLD 2797.41				5,687.50	0.00
31JUL17	B	1	PD310CT17	2808.50	3257.33	USD	11,220.75	
31JUL17	B	2	PD310CT17	2812.00	3257.33	USD	22,266.50	
31JUL17	S	1	PD310CT17	2807.00	3257.33	USD	-11,258.25	
31JUL17	B	1	PD310CT17	2808.00	3257.33	USD	11,233.25	
31JUL17	S	1	PD310CT17	2808.00	3257.33	USD	-11,233.25	
31JUL17	B	4	PD310CT17	2807.50	3257.33	USD	44,983.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	3	PD31OCT17	2806.00	3257.33	USD	33,849.75	
31JUL17	B	1	PD31OCT17	2803.00	3257.33	USD	11,358.25	
31JUL17	B	1	PD31OCT17	2800.50	3257.33	USD	11,420.75	
31JUL17	S	1	PD31OCT17	2809.50	3257.33	USD	-11,195.75	
31JUL17	S	2	PD31OCT17	2810.50	3257.33	USD	-22,341.50	
31JUL17	S	11	PD31OCT17	2794.80	3257.33	USD	-127,195.75	
08AUG17	B	3	PD31OCT17	2924.00	3257.33	USD	24,999.75	
	NET	0 AVG BGHT 2829.00	SOLD 2799.27				-11,892.50	0.00
01AUG17	B	1	PD01NOV17	2772.50	3255.67	USD	12,079.25	
01AUG17	B	1	PD01NOV17	2769.00	3255.67	USD	12,166.75	
01AUG17	B	2	PD01NOV17	2769.50	3255.67	USD	24,308.50	
01AUG17	B	3	PD01NOV17	2765.50	3255.67	USD	36,762.75	
01AUG17	B	1	PD01NOV17	2766.50	3255.67	USD	12,229.25	
01AUG17	B	2	PD01NOV17	2771.00	3255.67	USD	24,233.50	
01AUG17	B	2	PD01NOV17	2768.50	3255.67	USD	24,358.50	
01AUG17	S	2	PD01NOV17	2781.50	3255.67	USD	-23,708.50	
08AUG17	S	7	PD01NOV17	2924.17	3255.67	USD	-58,012.50	
08AUG17	S	3	PD01NOV17	2923.97	3255.67	USD	-24,877.50	
	NET	0 AVG BGHT 2768.54	SOLD 2900.34				39,540.00	0.00
28SEP17	S	4	PD02NOV17	3154.50	3254.00	USD	-9,950.00	
29SEP17	S	18	PD02NOV17	3170.05	3254.00	USD	-37,777.50	
29SEP17	S	6	PD02NOV17	3170.25	3254.00	USD	-12,562.50	
03OCT17	S	1	PD02NOV17	3273.50	3254.00	USD	487.50	
	NET	-29 AVG SOLD 3171.51					-59,802.50	0.00
03AUG17	S	1	PD03NOV17	2804.50	3252.89	USD	-11,209.75	
03AUG17	B	1	PD03NOV17	2792.50	3252.89	USD	11,509.75	
03AUG17	B	2	PD03NOV17	2793.50	3252.89	USD	22,969.50	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
03AUG17	S	1	PD03NOV17	2793.00	3252.89	USD	-11,497.25	
03AUG17	S	3	PD03NOV17	2793.50	3252.89	USD	-34,454.25	
03AUG17	S	4	PD03NOV17	2792.00	3252.89	USD	-46,089.00	
08AUG17	B	5	PD03NOV17	2924.70	3252.89	USD	41,023.75	
	NET	0 AVG BGHT 2866.33	SOLD 2794.00				-16,275.00	0.00
07AUG17	S	1	PD07NOV17	2864.50	3250.67	USD	-9,654.25	
07AUG17	S	1	PD07NOV17	2874.50	3250.67	USD	-9,404.25	
07AUG17	S	1	PD07NOV17	2872.50	3250.67	USD	-9,454.25	
07AUG17	S	1	PD07NOV17	2871.00	3250.67	USD	-9,491.75	
07AUG17	S	2	PD07NOV17	2872.00	3250.67	USD	-18,933.50	
07AUG17	S	4	PD07NOV17	2873.00	3250.67	USD	-37,767.00	
07AUG17	S	2	PD07NOV17	2873.50	3250.67	USD	-18,858.50	
07AUG17	S	5	PD07NOV17	2874.00	3250.67	USD	-47,083.75	
08AUG17	B	17	PD07NOV17	2925.10	3250.67	USD	138,367.25	
	NET	0 AVG BGHT 2925.10	SOLD 2872.68				-22,280.00	0.00
08AUG17	S	1	PD08NOV17	2880.00	3249.56	USD	-9,239.00	
08AUG17	S	1	PD08NOV17	2881.50	3249.56	USD	-9,201.50	
09AUG17	B	2	PD08NOV17	2931.51	3249.56	USD	15,902.50	
	NET	0 AVG BGHT 2931.51	SOLD 2880.75				-2,538.00	0.00
09AUG17	S	1	PD09NOV17	2968.50	3248.44	USD	-6,998.50	
09AUG17	S	1	PD09NOV17	2969.00	3248.44	USD	-6,986.00	
09AUG17	S	1	PD09NOV17	2971.50	3248.44	USD	-6,923.50	
09AUG17	S	1	PD09NOV17	2973.00	3248.44	USD	-6,886.00	
09AUG17	S	1	PD09NOV17	2977.00	3248.44	USD	-6,786.00	
09AUG17	S	1	PD09NOV17	2978.00	3248.44	USD	-6,761.00	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	B	1	PD09NOV17	2952.00	3248.44	USD	7,411.00	
09AUG17	B	6	PD09NOV17	2931.70	3248.44	USD	47,511.00	

OPEN POSITION STATEMENT



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SAP0103F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2934.60	SOLD	2972.07		6,557.50	0.00
10AUG17	B	1	PD10NOV17		2914.00	3247.33	USD	8,333.25	
30AUG17	S	1	PD10NOV17		3093.65	3247.33	USD	-3,842.00	
	NET	0 AVG	BGHT	2914.00	SOLD	3093.65		4,491.25	0.00
14AUG17	S	1	PD14NOV17		2914.50	3245.11	USD	-8,265.25	
30AUG17	B	1	PD14NOV17		3094.45	3245.11	USD	3,766.50	
	NET	0 AVG	BGHT	3094.45	SOLD	2914.50		-4,498.75	0.00
24AUG17	B	1	PD24NOV17		3107.50	3235.00	USD	3,187.50	
24AUG17	B	1	PD24NOV17		3108.00	3235.00	USD	3,175.00	
25AUG17	S	1	PD24NOV17		3128.50	3235.00	USD	-2,662.50	
25AUG17	S	1	PD24NOV17		3125.50	3235.00	USD	-2,737.50	
25AUG17	B	1	PD24NOV17		3068.00	3235.00	USD	4,175.00	
25AUG17	S	1	PD24NOV17		3070.00	3235.00	USD	-4,125.00	
	NET	0 AVG	BGHT	3094.50	SOLD	3108.00		1,012.50	0.00
30AUG17	B	1	PD30NOV17		3125.00	3233.67	USD	2,716.75	
30AUG17	B	1	PD30NOV17		3123.00	3233.67	USD	2,766.75	
30AUG17	B	1	PD30NOV17		3124.50	3233.67	USD	2,729.25	
30AUG17	B	1	PD30NOV17		3118.50	3233.67	USD	2,879.25	
30AUG17	B	1	PD30NOV17		3106.00	3233.67	USD	3,191.75	
30AUG17	B	1	PD30NOV17		3105.00	3233.67	USD	3,216.75	
30AUG17	B	1	PD30NOV17		3104.00	3233.67	USD	3,241.75	
30AUG17	S	1	PD30NOV17		3104.00	3233.67	USD	-3,241.75	
30AUG17	B	1	PD30NOV17		3108.00	3233.67	USD	3,141.75	
30AUG17	B	2	PD30NOV17		3124.00	3233.67	USD	5,483.50	
30AUG17	B	1	PD30NOV17		3096.50	3233.67	USD	3,429.25	
30AUG17	B	1	PD30NOV17		3099.50	3233.67	USD	3,354.25	
30AUG17	B	1	PD30NOV17		3095.50	3233.67	USD	3,454.25	
30AUG17	B	2	PD30NOV17		3098.50	3233.67	USD	6,758.50	
30AUG17	B	1	PD30NOV17		3099.00	3233.67	USD	3,366.75	
30AUG17	B	1	PD30NOV17		3100.00	3233.67	USD	3,341.75	
30AUG17	S	1	PD30NOV17		3095.00	3233.67	USD	-3,466.75	
30AUG17	S	1	PD30NOV17		3095.50	3233.67	USD	-3,454.25	
30AUG17	S	1	PD30NOV17		3094.00	3233.67	USD	-3,491.75	
30AUG17	S	1	PD30NOV17		3090.00	3233.67	USD	-3,591.75	
30AUG17	S	1	PD30NOV17		3093.00	3233.67	USD	-3,516.75	
30AUG17	S	1	PD30NOV17		3093.50	3233.67	USD	-3,504.25	
30AUG17	S	9	PD30NOV17		3094.80	3233.67	USD	-31,245.75	
30AUG17	S	1	PD30NOV17		3098.50	3233.67	USD	-3,379.25	
31AUG17	S	1	PD30NOV17		3111.00	3233.67	USD	-3,066.75	
31AUG17	S	1	PD30NOV17		3119.50	3233.67	USD	-2,854.25	
31AUG17	B	1	PD30NOV17		3121.00	3233.67	USD	2,816.75	
31AUG17	S	1	PD30NOV17		3120.50	3233.67	USD	-2,829.25	
31AUG17	S	1	PD30NOV17		3126.00	3233.67	USD	-2,691.75	
31AUG17	B	1	PD30NOV17		3126.00	3233.67	USD	2,691.75	
31AUG17	S	1	PD30NOV17		3125.00	3233.67	USD	-2,716.75	
31AUG17	B	1	PD30NOV17		3126.50	3233.67	USD	2,679.25	
31AUG17	S	1	PD30NOV17		3135.00	3233.67	USD	-2,466.75	
31AUG17	S	1	PD30NOV17		3130.00	3233.67	USD	-2,591.75	
31AUG17	S	2	PD30NOV17		3132.00	3233.67	USD	-5,083.50	
31AUG17	S	1	PD30NOV17		3132.50	3233.67	USD	-2,529.25	
31AUG17	B	1	PD30NOV17		3124.50	3233.67	USD	2,729.25	
31AUG17	B	2	PD30NOV17		3125.00	3233.67	USD	5,433.50	
31AUG17	B	4	PD30NOV17		3127.00	3233.67	USD	10,667.00	
31AUG17	B	1	PD30NOV17		3129.00	3233.67	USD	2,616.75	
31AUG17	S	1	PD30NOV17		3126.50	3233.67	USD	-2,679.25	
31AUG17	S	1	PD30NOV17		3134.00	3233.67	USD	-2,491.75	

OPEN POSITION STATEMENT



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SAP0103F

11th October 2017

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Suite A, 105 Piccadilly
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	3137.50	3233.67	USD	-2,404.25	
31AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
04SEP17	B	3	PD30NOV17	3204.70	3233.67	USD	2,172.75	
	NET	0AVG	BGHT 3124.15				-10,810.00	0.00
01SEP17	S	2	PD01DEC17	3171.00	3233.33	USD	-3,116.50	
01SEP17	S	4	PD01DEC17	3172.00	3233.33	USD	-6,133.00	
01SEP17	S	1	PD01DEC17	3172.50	3233.33	USD	-1,520.75	
01SEP17	S	2	PD01DEC17	3173.00	3233.33	USD	-3,016.50	
01SEP17	S	1	PD01DEC17	3174.00	3233.33	USD	-1,483.25	
01SEP17	S	2	PD01DEC17	3198.00	3233.33	USD	-1,766.50	
01SEP17	B	10	PD01DEC17	3185.20	3233.33	USD	12,032.50	
04SEP17	B	2	PD01DEC17	3204.83	3233.33	USD	1,425.00	
	NET	0AVG	BGHT 3188.47				-3,579.00	0.00
04SEP17	S	1	PD04DEC17	3188.00	3233.00	USD	-1,125.00	
04SEP17	S	1	PD04DEC17	3193.00	3233.00	USD	-1,000.00	
04SEP17	S	1	PD04DEC17	3192.00	3233.00	USD	-1,025.00	
04SEP17	B	1	PD04DEC17	3198.00	3233.00	USD	875.00	
04SEP17	S	1	PD04DEC17	3197.50	3233.00	USD	-887.50	
04SEP17	S	1	PD04DEC17	3196.00	3233.00	USD	-925.00	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	S	1	PD04DEC17	3198.50	3233.00	USD	-862.50	
04SEP17	B	3	PD04DEC17	3205.20	3233.00	USD	2,085.00	
27SEP17	B	3	PD04DEC17	3108.03	3233.00	USD	9,372.75	
	NET	0AVG	BGHT 3162.53				5,632.75	0.00
05SEP17	B	1	PD05DEC17	3177.50	3232.67	USD	1,379.25	
05SEP17	B	1	PD05DEC17	3174.50	3232.67	USD	1,454.25	
05SEP17	B	1	PD05DEC17	3154.00	3232.67	USD	1,966.75	
05SEP17	B	1	PD05DEC17	3100.00	3232.67	USD	3,316.75	
27SEP17	S	3	PD05DEC17	3107.89	3232.67	USD	-9,358.50	
27SEP17	S	1	PD05DEC17	3108.09	3232.67	USD	-3,114.50	
	NET	0AVG	BGHT 3151.50				-4,356.00	0.00
07SEP17	S	1	PD07DEC17	3118.00	3232.00	USD	-2,850.00	
27SEP17	B	1	PD07DEC17	3108.41	3232.00	USD	3,089.75	
	NET	0AVG	BGHT 3108.41				239.75	0.00
14SEP17	B	1	PD14DEC17	2991.00	3230.33	USD	5,983.25	
14SEP17	B	1	PD14DEC17	2992.50	3230.33	USD	5,945.75	
27SEP17	S	2	PD14DEC17	3108.64	3230.33	USD	-6,084.50	
	NET	0AVG	BGHT 2991.75				5,844.50	0.00
18SEP17	S	1	PD18DEC17	3083.50	3229.67	USD	-3,654.25	
18SEP17	S	1	PD18DEC17	3085.00	3229.67	USD	-3,616.75	
18SEP17	S	1	PD18DEC17	3074.50	3229.67	USD	-3,879.25	
18SEP17	S	1	PD18DEC17	3081.50	3229.67	USD	-3,704.25	
18SEP17	S	2	PD18DEC17	3084.50	3229.67	USD	-7,258.50	
18SEP17	S	1	PD18DEC17	3087.00	3229.67	USD	-3,566.75	
18SEP17	S	2	PD18DEC17	3073.50	3229.67	USD	-7,808.50	
18SEP17	S	2	PD18DEC17	3066.00	3229.67	USD	-8,183.50	
18SEP17	S	1	PD18DEC17	3069.00	3229.67	USD	-4,016.75	
18SEP17	S	1	PD18DEC17	3088.00	3229.67	USD	-3,541.75	
18SEP17	S	1	PD18DEC17	3088.50	3229.67	USD	-3,529.25	
18SEP17	S	2	PD18DEC17	3089.00	3229.67	USD	-7,033.50	
18SEP17	B	1	PD18DEC17	3072.00	3229.67	USD	3,941.75	
18SEP17	B	1	PD18DEC17	3072.50	3229.67	USD	3,929.25	
18SEP17	B	1	PD18DEC17	3062.50	3229.67	USD	4,179.25	
18SEP17	S	1	PD18DEC17	3076.00	3229.67	USD	-3,841.75	
18SEP17	S	4	PD18DEC17	3080.00	3229.67	USD	-14,967.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
18SEP17	S	1	PD18DEC17		3081.00	3229.67	USD	-3,716.75	
18SEP17	S	1	PD18DEC17		3082.00	3229.67	USD	-3,691.75	
18SEP17	S	1	PD18DEC17		3096.00	3229.67	USD	-3,341.75	
18SEP17	S	1	PD18DEC17		3097.00	3229.67	USD	-3,316.75	
18SEP17	B	5	PD18DEC17		3096.50	3229.67	USD	16,646.25	
18SEP17	B	1	PD18DEC17		3103.50	3229.67	USD	3,154.25	
18SEP17	B	1	PD18DEC17		3104.00	3229.67	USD	3,141.75	
18SEP17	B	1	PD18DEC17		3104.50	3229.67	USD	3,129.25	
18SEP17	S	1	PD18DEC17		3110.00	3229.67	USD	-2,991.75	
18SEP17	B	12	PD18DEC17		3098.20	3229.67	USD	39,441.00	
27SEP17	B	2	PD18DEC17		3109.08	3229.67	USD	6,029.50	
29SEP17	B	1	PD18DEC17		3165.88	3229.67	USD	1,594.75	
	NET	0 AVG BGHT	3098.61	SOLD 3082.50				-10,473.50	0.00
19SEP17	S	1	PD19DEC17		3105.00	3229.33	USD	-3,108.25	
19SEP17	S	1	PD19DEC17		3105.50	3229.33	USD	-3,095.75	
19SEP17	S	2	PD19DEC17		3106.00	3229.33	USD	-6,166.50	
19SEP17	S	1	PD19DEC17		3109.50	3229.33	USD	-2,995.75	
19SEP17	S	2	PD19DEC17		3110.50	3229.33	USD	-5,941.50	
19SEP17	B	1	PD19DEC17		3091.50	3229.33	USD	3,445.75	
19SEP17	B	2	PD19DEC17		3094.50	3229.33	USD	6,741.50	
19SEP17	B	1	PD19DEC17		3097.00	3229.33	USD	3,308.25	
19SEP17	B	1	PD19DEC17		3085.50	3229.33	USD	3,595.75	
19SEP17	B	1	PD19DEC17		3086.50	3229.33	USD	3,570.75	
19SEP17	B	1	PD19DEC17		3088.00	3229.33	USD	3,533.25	
	NET	0 AVG BGHT	3091.07	SOLD 3107.57				2,887.50	0.00
20SEP17	S	1	PD20DEC17		3145.50	3229.00	USD	-2,087.50	
20SEP17	S	1	PD20DEC17		3146.50	3229.00	USD	-2,062.50	
20SEP17	S	1	PD20DEC17		3151.00	3229.00	USD	-1,950.00	
20SEP17	B	3	PD20DEC17		3136.00	3229.00	USD	6,975.00	
	NET	0 AVG BGHT	3136.00	SOLD 3147.67				875.00	0.00
21SEP17	B	1	PD21DEC17		3066.00	3225.00	USD	3,975.00	
21SEP17	B	1	PD21DEC17		3067.50	3225.00	USD	3,937.50	
21SEP17	B	1	PD21DEC17		3073.00	3225.00	USD	3,800.00	
21SEP17	S	1	PD21DEC17		3073.00	3225.00	USD	-3,800.00	
21SEP17	S	1	PD21DEC17		3073.50	3225.00	USD	-3,787.50	
21SEP17	S	1	PD21DEC17		3092.50	3225.00	USD	-3,312.50	
	NET	0 AVG BGHT	3068.83	SOLD 3079.67				812.50	0.00
22SEP17	S	2	PD22DEC17		3033.50	3224.50	USD	-9,550.00	
22SEP17	S	1	PD22DEC17		3019.50	3224.50	USD	-5,125.00	
22SEP17	S	1	PD22DEC17		3026.50	3224.50	USD	-4,950.00	
22SEP17	S	1	PD22DEC17		3028.00	3224.50	USD	-4,912.50	
22SEP17	S	1	PD22DEC17		3029.00	3224.50	USD	-4,887.50	
22SEP17	S	1	PD22DEC17		3031.00	3224.50	USD	-4,837.50	
22SEP17	B	1	PD22DEC17		3003.00	3224.50	USD	5,537.50	
22SEP17	B	1	PD22DEC17		3005.50	3224.50	USD	5,475.00	
22SEP17	B	2	PD22DEC17		3007.00	3224.50	USD	10,875.00	
22SEP17	B	1	PD22DEC17		3010.00	3224.50	USD	5,362.50	
22SEP17	B	1	PD22DEC17		3011.50	3224.50	USD	5,325.00	
22SEP17	S	2	PD22DEC17		3038.00	3224.50	USD	-9,325.00	
22SEP17	S	1	PD22DEC17		3039.50	3224.50	USD	-4,625.00	
22SEP17	S	2	PD22DEC17		3040.50	3224.50	USD	-9,200.00	
22SEP17	S	1	PD22DEC17		3042.00	3224.50	USD	-4,562.50	
22SEP17	S	1	PD22DEC17		3035.50	3224.50	USD	-4,725.00	
22SEP17	S	1	PD22DEC17		3070.00	3224.50	USD	-3,862.50	
22SEP17	S	1	PD22DEC17		3070.50	3224.50	USD	-3,850.00	
22SEP17	S	2	PD22DEC17		3075.50	3224.50	USD	-7,450.00	

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22SEP17	S	1	PD22DEC17	3076.50	3224.50	USD	-3,700.00	
22SEP17	B	1	PD22DEC17	3012.00	3224.50	USD	5,312.50	
29SEP17	B	6	PD22DEC17	3162.56	3224.50	USD	9,291.00	
29SEP17	B	6	PD22DEC17	3162.76	3224.50	USD	9,261.00	
	NET	0 AVG BGHT 3105.68	SOLD 3044.37				-29,123.00	0.00
26SEP17	S	1	PD27DEC17	3122.00	3224.00	USD	-2,550.00	
26SEP17	S	1	PD27DEC17	3143.00	3224.00	USD	-2,025.00	
26SEP17	S	1	PD27DEC17	3145.00	3224.00	USD	-1,975.00	
26SEP17	B	1	PD27DEC17	3132.50	3224.00	USD	2,287.50	
26SEP17	B	1	PD27DEC17	3119.00	3224.00	USD	2,625.00	
26SEP17	B	1	PD27DEC17	3119.50	3224.00	USD	2,612.50	
27SEP17	B	1	PD27DEC17	3136.00	3224.00	USD	2,200.00	
27SEP17	S	2	PD27DEC17	3138.50	3224.00	USD	-4,275.00	
27SEP17	S	1	PD27DEC17	3142.50	3224.00	USD	-2,037.50	
27SEP17	S	3	PD27DEC17	3143.50	3224.00	USD	-6,037.50	
27SEP17	S	1	PD27DEC17	3145.00	3224.00	USD	-1,975.00	
27SEP17	S	1	PD27DEC17	3146.50	3224.00	USD	-1,937.50	
27SEP17	B	2	PD27DEC17	3137.50	3224.00	USD	4,325.00	
27SEP17	B	1	PD27DEC17	3138.50	3224.00	USD	2,137.50	
27SEP17	B	1	PD27DEC17	3139.00	3224.00	USD	2,125.00	
27SEP17	B	1	PD27DEC17	3139.50	3224.00	USD	2,112.50	
27SEP17	B	1	PD27DEC17	3140.00	3224.00	USD	2,100.00	
27SEP17	B	1	PD27DEC17	3141.00	3224.00	USD	2,075.00	
27SEP17	B	2	PD27DEC17	3097.50	3224.00	USD	6,325.00	
27SEP17	B	1	PD27DEC17	3100.50	3224.00	USD	3,087.50	
27SEP17	B	1	PD27DEC17	3101.50	3224.00	USD	3,062.50	
27SEP17	B	1	PD27DEC17	3103.00	3224.00	USD	3,025.00	
27SEP17	B	1	PD27DEC17	3103.50	3224.00	USD	3,012.50	
27SEP17	S	1	PD27DEC17	3122.00	3224.00	USD	-2,550.00	
27SEP17	S	1	PD27DEC17	3122.50	3224.00	USD	-2,537.50	
27SEP17	B	2	PD27DEC17	3095.50	3224.00	USD	6,425.00	
27SEP17	B	1	PD27DEC17	3101.00	3224.00	USD	3,075.00	
29SEP17	S	7	PD27DEC17	3162.18	3224.00	USD	-10,818.50	
	NET	0 AVG BGHT 3118.78	SOLD 3146.56				13,894.00	0.00
28SEP17	S	1	PD28DEC17	3114.00	3223.50	USD	-2,737.50	
28SEP17	S	1	PD28DEC17	3144.50	3223.50	USD	-1,975.00	
28SEP17	S	1	PD28DEC17	3145.00	3223.50	USD	-1,962.50	
28SEP17	S	1	PD28DEC17	3145.50	3223.50	USD	-1,950.00	
28SEP17	S	1	PD28DEC17	3146.50	3223.50	USD	-1,925.00	
28SEP17	S	1	PD28DEC17	3140.50	3223.50	USD	-2,075.00	
28SEP17	S	1	PD28DEC17	3143.00	3223.50	USD	-2,012.50	
28SEP17	S	1	PD28DEC17	3143.50	3223.50	USD	-2,000.00	
28SEP17	S	1	PD28DEC17	3144.00	3223.50	USD	-1,987.50	
28SEP17	S	1	PD28DEC17	3159.50	3223.50	USD	-1,600.00	
28SEP17	B	4	PD28DEC17	3147.20	3223.50	USD	7,630.00	
28SEP17	S	2	PD28DEC17	3162.00	3223.50	USD	-3,075.00	
28SEP17	S	1	PD28DEC17	3162.50	3223.50	USD	-1,525.00	
28SEP17	S	2	PD28DEC17	3160.00	3223.50	USD	-3,175.00	
29SEP17	B	10	PD28DEC17	3162.19	3223.50	USD	15,327.50	
03OCT17	B	1	PD28DEC17	3264.20	3223.50	USD	-1,017.50	
	NET	0 AVG BGHT 3164.99	SOLD 3148.83				-6,060.00	0.00
29SEP17	S	1	PD29DEC17	3158.00	3223.00	USD	-1,625.00	
29SEP17	B	1	PD29DEC17	3156.00	3223.00	USD	1,675.00	
29SEP17	B	2	PD29DEC17	3151.50	3223.00	USD	3,575.00	
29SEP17	B	2	PD29DEC17	3153.00	3223.00	USD	3,500.00	
29SEP17	B	2	PD29DEC17	3153.50	3223.00	USD	3,475.00	

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29SEP17	B	1	PD29DEC17	3144.50	3223.00	USD	1,962.50	
29SEP17	B	1	PD29DEC17	3149.50	3223.00	USD	1,837.50	
29SEP17	B	5	PD29DEC17	3145.50	3223.00	USD	9,687.50	
29SEP17	B	2	PD29DEC17	3148.50	3223.00	USD	3,725.00	
29SEP17	B	2	PD29DEC17	3146.00	3223.00	USD	3,850.00	
29SEP17	B	2	PD29DEC17	3145.00	3223.00	USD	3,900.00	
29SEP17	B	3	PD29DEC17	3147.50	3223.00	USD	5,662.50	
29SEP17	B	3	PD29DEC17	3151.00	3223.00	USD	5,400.00	
29SEP17	B	4	PD29DEC17	3152.00	3223.00	USD	7,100.00	
29SEP17	B	2	PD29DEC17	3148.00	3223.00	USD	3,750.00	
29SEP17	B	3	PD29DEC17	3150.50	3223.00	USD	5,437.50	
29SEP17	S	20	PD29DEC17	3148.35	3223.00	USD	-37,325.00	
29SEP17	S	4	PD29DEC17	3151.85	3223.00	USD	-7,115.00	
29SEP17	S	10	PD29DEC17	3161.80	3223.00	USD	-15,300.00	
	NET	0AVG BGHT 3149.24	SOLD 3152.87				3,172.50	0.00
04OCT17	B	1	PD04JAN18	3294.50	3221.50	USD	-1,825.00	
04OCT17	S	1	PD04JAN18	3283.50	3221.50	USD	1,550.00	
04OCT17	S	1	PD04JAN18	3284.00	3221.50	USD	1,562.50	
04OCT17	S	1	PD04JAN18	3284.50	3221.50	USD	1,575.00	
04OCT17	S	2	PD04JAN18	3287.00	3221.50	USD	3,275.00	
04OCT17	S	1	PD04JAN18	3289.00	3221.50	USD	1,687.50	
04OCT17	S	1	PD04JAN18	3294.50	3221.50	USD	1,825.00	
04OCT17	S	1	PD04JAN18	3295.00	3221.50	USD	1,837.50	
04OCT17	S	1	PD04JAN18	3295.50	3221.50	USD	1,850.00	
04OCT17	S	1	PD04JAN18	3286.50	3221.50	USD	1,625.00	
04OCT17	B	1	PD04JAN18	3279.00	3221.50	USD	-1,437.50	
04OCT17	B	2	PD04JAN18	3278.00	3221.50	USD	-2,825.00	
04OCT17	B	3	PD04JAN18	3277.50	3221.50	USD	-4,200.00	
04OCT17	B	3	PD04JAN18	3280.00	3221.50	USD	-4,387.50	
04OCT17	B	1	PD04JAN18	3279.50	3221.50	USD	-1,450.00	
04OCT17	S	1	PD04JAN18	3279.00	3221.50	USD	1,437.50	
	NET	0AVG BGHT 3280.14	SOLD 3287.77				2,100.00	0.00
05OCT17	S	1	PD05JAN18	3272.50	3221.00	USD	1,287.50	
05OCT17	S	1	PD05JAN18	3273.00	3221.00	USD	1,300.00	
05OCT17	S	1	PD05JAN18	3273.50	3221.00	USD	1,312.50	
05OCT17	S	1	PD05JAN18	3274.00	3221.00	USD	1,325.00	
05OCT17	S	1	PD05JAN18	3276.00	3221.00	USD	1,375.00	
05OCT17	S	2	PD05JAN18	3286.00	3221.00	USD	3,250.00	
05OCT17	S	1	PD05JAN18	3286.50	3221.00	USD	1,637.50	
05OCT17	S	1	PD05JAN18	3277.00	3221.00	USD	1,400.00	
05OCT17	B	1	PD05JAN18	3293.00	3221.00	USD	-1,800.00	
05OCT17	B	2	PD05JAN18	3294.00	3221.00	USD	-3,650.00	
05OCT17	B	1	PD05JAN18	3298.00	3221.00	USD	-1,925.00	
05OCT17	B	1	PD05JAN18	3298.50	3221.00	USD	-1,937.50	
05OCT17	B	1	PD05JAN18	3296.00	3221.00	USD	-1,875.00	
05OCT17	B	1	PD05JAN18	3299.00	3221.00	USD	-1,950.00	
05OCT17	B	1	PD05JAN18	3287.50	3221.00	USD	-1,662.50	
05OCT17	B	1	PD05JAN18	3288.50	3221.00	USD	-1,687.50	
06OCT17	S	1	PD05JAN18	3283.00	3221.00	USD	1,550.00	
06OCT17	S	3	PD05JAN18	3283.50	3221.00	USD	4,687.50	
06OCT17	S	1	PD05JAN18	3277.00	3221.00	USD	1,400.00	
06OCT17	S	1	PD05JAN18	3278.00	3221.00	USD	1,425.00	
06OCT17	B	2	PD05JAN18	3255.50	3221.00	USD	-1,725.00	
06OCT17	B	1	PD05JAN18	3257.00	3221.00	USD	-900.00	
06OCT17	B	1	PD05JAN18	3237.00	3221.00	USD	-400.00	
06OCT17	B	1	PD05JAN18	3240.00	3221.00	USD	-475.00	
06OCT17	B	1	PD05JAN18	3239.50	3221.00	USD	-462.50	

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	NET	0 AVG BGHT 3275.53	SOLD 3279.53				1,500.00	0.00
09OCT17	S	1 PD09JAN18		3267.00	3220.00	USD	1,175.00	
09OCT17	S	2 PD09JAN18		3268.50	3220.00	USD	2,425.00	
09OCT17	S	2 PD09JAN18		3269.00	3220.00	USD	2,450.00	
09OCT17	S	1 PD09JAN18		3270.00	3220.00	USD	1,250.00	
09OCT17	S	1 PD09JAN18		3271.50	3220.00	USD	1,287.50	
09OCT17	S	1 PD09JAN18		3267.50	3220.00	USD	1,187.50	
09OCT17	B	1 PD09JAN18		3232.00	3220.00	USD	-300.00	
09OCT17	B	1 PD09JAN18		3233.50	3220.00	USD	-337.50	
09OCT17	S	1 PD09JAN18		3246.50	3220.00	USD	662.50	
09OCT17	S	1 PD09JAN18		3248.00	3220.00	USD	700.00	
09OCT17	S	1 PD09JAN18		3248.50	3220.00	USD	712.50	
09OCT17	S	1 PD09JAN18		3250.00	3220.00	USD	750.00	
09OCT17	S	1 PD09JAN18		3258.00	3220.00	USD	950.00	
09OCT17	B	1 PD09JAN18		3232.50	3220.00	USD	-312.50	
09OCT17	B	1 PD09JAN18		3233.00	3220.00	USD	-325.00	
	NET	-9 AVG BGHT 3232.75	SOLD 3261.69				12,275.00	0.00
10OCT17	B	1 PD10JAN18		3230.50	3219.50	USD	-275.00	
10OCT17	B	1 PD10JAN18		3231.00	3219.50	USD	-287.50	
10OCT17	B	1 PD10JAN18		3232.00	3219.50	USD	-312.50	
10OCT17	B	1 PD10JAN18		3233.50	3219.50	USD	-350.00	
10OCT17	S	1 PD10JAN18		3240.00	3219.50	USD	512.50	
10OCT17	S	1 PD10JAN18		3242.00	3219.50	USD	562.50	
10OCT17	S	1 PD10JAN18		3242.50	3219.50	USD	575.00	
10OCT17	S	2 PD10JAN18		3243.00	3219.50	USD	1,175.00	
10OCT17	S	1 PD10JAN18		3244.50	3219.50	USD	625.00	
10OCT17	B	1 PD10JAN18		3277.50	3219.50	USD	-1,450.00	
10OCT17	B	1 PD10JAN18		3278.00	3219.50	USD	-1,462.50	
10OCT17	B	1 PD10JAN18		3279.00	3219.50	USD	-1,487.50	
10OCT17	B	2 PD10JAN18		3289.00	3219.50	USD	-3,475.00	
10OCT17	B	2 PD10JAN18		3289.50	3219.50	USD	-3,500.00	
	NET	+5 AVG BGHT 3265.32	SOLD 3242.50				-9,150.00	0.00
11OCT17	B	1 PD11JAN18		3198.50	3219.00	USD	512.50	
11OCT17	B	1 PD11JAN18		3207.50	3219.00	USD	287.50	
11OCT17	B	1 PD11JAN18		3214.50	3219.00	USD	112.50	
11OCT17	B	1 PD11JAN18		3215.00	3219.00	USD	100.00	
11OCT17	B	1 PD11JAN18		3216.00	3219.00	USD	75.00	
11OCT17	B	1 PD11JAN18		3217.50	3219.00	USD	37.50	
11OCT17	B	1 PD11JAN18		3221.00	3219.00	USD	-50.00	
11OCT17	B	1 PD11JAN18		3230.00	3219.00	USD	-275.00	
11OCT17	B	1 PD11JAN18		3252.50	3219.00	USD	-837.50	
11OCT17	B	3 PD11JAN18		3253.00	3219.00	USD	-2,550.00	
11OCT17	S	1 PD11JAN18		3218.00	3219.00	USD	-25.00	
11OCT17	S	1 PD11JAN18		3218.50	3219.00	USD	-12.50	
11OCT17	S	2 PD11JAN18		3219.00	3219.00	USD	0.00	
11OCT17	S	1 PD11JAN18		3230.00	3219.00	USD	275.00	
11OCT17	S	1 PD11JAN18		3231.50	3219.00	USD	312.50	
11OCT17	S	1 PD11JAN18		3232.50	3219.00	USD	337.50	
11OCT17	S	1 PD11JAN18		3235.50	3219.00	USD	412.50	
	NET	+4 AVG BGHT 3227.63	SOLD 3225.50				-1,287.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	25,239.50
LME Lead 25 tonnes. This is an	FUTURES	2,268,026.50
LME Nickel 6 tonnes. This is	FUTURES	-692,776.26
CMX Silver	FUTURES	-336,175.00
LME Zinc 25 tonnes. This is	FUTURES	2,259,133.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	6,485.00	6,485.00	6,485.00	6,485.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-23,293.00	-23,293.00		
LEAD - Futures USD	-97,136.75	-97,136.75		
NKL - Futures USD	41,492.40	41,492.40		
ZINC - Futures USD	-100,179.50	-100,179.50	-179,116.85	-179,116.85

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	44,272.97	44,272.97
Variation Margins - Futures	6,485.00	6,485.00
- Options	0.00	0.00
TOTAL EQUITY	50,757.97	50,757.97
Initial Margins	-631,026.85	-631,026.85
Excess/(Shortage)	-580,268.88	-580,268.88
FOR INFORMATION ONLY		
Forward Unrealised P&L	-179,116.85	-179,116.85
Net Liquidating Value	-128,358.88	-128,358.88

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12JUL17	B	1	PD120CT17	1886.00	2104.25	USD	5,456.25	
12JUL17	B	1	PD120CT17	1887.50	2104.25	USD	5,418.75	
12JUL17	B	2	PD120CT17	1882.50	2104.25	USD	11,087.50	
12JUL17	B	1	PD120CT17	1883.00	2104.25	USD	5,531.25	
21JUL17	S	5	PD120CT17	1914.07	2104.25	USD	-23,772.50	
	NET	0 AVG	BGHT 1884.30	SOLD 1914.07			3,721.25	0.00
13JUL17	S	1	PD130CT17	1897.50	2104.75	USD	-5,181.25	
13JUL17	S	1	PD130CT17	1898.00	2104.75	USD	-5,168.75	
13JUL17	S	2	PD130CT17	1898.50	2104.75	USD	-10,312.50	
13JUL17	S	1	PD130CT17	1938.00	2104.75	USD	-4,168.75	
13JUL17	S	3	PD130CT17	1939.00	2104.75	USD	-12,431.25	
13JUL17	S	1	PD130CT17	1939.50	2104.75	USD	-4,131.25	
13JUL17	S	5	PD130CT17	1899.00	2104.75	USD	-25,718.75	
13JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
13JUL17	S	2	PD130CT17	1932.00	2104.75	USD	-8,637.50	
13JUL17	S	1	PD130CT17	1933.00	2104.75	USD	-4,293.75	
13JUL17	S	1	PD130CT17	1934.00	2104.75	USD	-4,268.75	
13JUL17	B	2	PD130CT17	1924.00	2104.75	USD	9,037.50	
21JUL17	B	9	PD130CT17	1914.46	2104.75	USD	42,815.25	
21JUL17	B	6	PD130CT17	1914.26	2104.75	USD	28,573.50	
	NET	0 AVG	BGHT 1915.93	SOLD 1917.39			657.50	0.00
17JUL17	B	1	PD170CT17	1935.00	2106.75	USD	4,293.75	
17JUL17	B	1	PD170CT17	1935.50	2106.75	USD	4,281.25	
17JUL17	B	1	PD170CT17	1934.00	2106.75	USD	4,318.75	
17JUL17	B	3	PD170CT17	1933.00	2106.75	USD	13,031.25	
17JUL17	B	1	PD170CT17	1918.50	2106.75	USD	4,706.25	
17JUL17	B	1	PD170CT17	1919.00	2106.75	USD	4,693.75	
17JUL17	B	1	PD170CT17	1900.50	2106.75	USD	5,156.25	
17JUL17	B	1	PD170CT17	1904.50	2106.75	USD	5,056.25	
17JUL17	B	1	PD170CT17	1905.00	2106.75	USD	5,043.75	
17JUL17	B	2	PD170CT17	1905.50	2106.75	USD	10,062.50	
17JUL17	B	1	PD170CT17	1906.00	2106.75	USD	5,018.75	
17JUL17	B	1	PD170CT17	1910.00	2106.75	USD	4,918.75	
17JUL17	B	1	PD170CT17	1898.00	2106.75	USD	5,218.75	
17JUL17	B	1	PD170CT17	1900.00	2106.75	USD	5,168.75	
21JUL17	S	6	PD170CT17	1914.85	2106.75	USD	-28,785.00	
21JUL17	S	11	PD170CT17	1915.05	2106.75	USD	-52,717.50	
	NET	0 AVG	BGHT 1916.24	SOLD 1914.98			-533.75	0.00
18JUL17	S	2	PD180CT17	1911.00	2107.25	USD	-9,812.50	
18JUL17	S	1	PD180CT17	1911.50	2107.25	USD	-4,893.75	
18JUL17	S	1	PD180CT17	1912.00	2107.25	USD	-4,881.25	
18JUL17	S	1	PD180CT17	1913.00	2107.25	USD	-4,856.25	
18JUL17	S	1	PD180CT17	1913.50	2107.25	USD	-4,843.75	
18JUL17	S	5	PD180CT17	1914.00	2107.25	USD	-24,156.25	
21JUL17	B	11	PD180CT17	1915.45	2107.25	USD	52,745.00	
	NET	0 AVG	BGHT 1915.45	SOLD 1912.91			-698.75	0.00
19JUL17	S	1	PD190CT17	1933.50	2107.75	USD	-4,356.25	
19JUL17	S	1	PD190CT17	1936.50	2107.75	USD	-4,281.25	
19JUL17	S	1	PD190CT17	1938.50	2107.75	USD	-4,231.25	
19JUL17	S	1	PD190CT17	1937.00	2107.75	USD	-4,268.75	
19JUL17	S	1	PD190CT17	1937.50	2107.75	USD	-4,256.25	
19JUL17	B	1	PD190CT17	1918.00	2107.75	USD	4,743.75	
19JUL17	B	1	PD190CT17	1915.50	2107.75	USD	4,806.25	
19JUL17	B	1	PD190CT17	1916.50	2107.75	USD	4,781.25	
19JUL17	S	1	PD190CT17	1922.00	2107.75	USD	-4,643.75	
26JUL17	B	3	PD190CT17	1940.50	2107.75	USD	12,543.75	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 1928.58	SOLD 1934.17				837.50	0.00
21JUL17	S	1 PD200CT17		1932.00	2108.25	USD	-4,406.25	
21JUL17	S	1 PD200CT17		1932.50	2108.25	USD	-4,393.75	
21JUL17	S	1 PD200CT17		1916.50	2108.25	USD	-4,793.75	
26JUL17	B	1 PD200CT17		1940.71	2108.25	USD	4,188.50	
31JUL17	B	2 PD200CT17		1914.56	2108.25	USD	9,684.50	
	NET	0 AVG BGHT 1923.28	SOLD 1927.00				279.25	0.00
24JUL17	B	3 PD240CT17		1916.50	2110.25	USD	14,531.25	
24JUL17	B	1 PD240CT17		1911.00	2110.25	USD	4,981.25	
26JUL17	S	4 PD240CT17		1941.37	2110.25	USD	-16,888.00	
	NET	0 AVG BGHT 1915.13	SOLD 1941.37				2,624.50	0.00
25JUL17	B	1 PD250CT17		1917.00	2110.75	USD	4,843.75	
25JUL17	B	1 PD250CT17		1916.50	2110.75	USD	4,856.25	
25JUL17	S	1 PD250CT17		1932.50	2110.75	USD	-4,456.25	
25JUL17	S	1 PD250CT17		1933.00	2110.75	USD	-4,443.75	
25JUL17	S	5 PD250CT17		1935.00	2110.75	USD	-21,968.75	
25JUL17	S	1 PD250CT17		1935.50	2110.75	USD	-4,381.25	
25JUL17	S	1 PD250CT17		1943.50	2110.75	USD	-4,181.25	
25JUL17	S	1 PD250CT17		1945.50	2110.75	USD	-4,131.25	
25JUL17	S	3 PD250CT17		1947.50	2110.75	USD	-12,243.75	
25JUL17	S	3 PD250CT17		1940.00	2110.75	USD	-12,806.25	
31JUL17	B	14 PD250CT17		1916.13	2110.75	USD	68,117.00	
	NET	0 AVG BGHT 1916.21	SOLD 1939.22				9,204.50	0.00
26JUL17	S	1 PD260CT17		1957.50	2111.25	USD	-3,843.75	
26JUL17	B	1 PD260CT17		1943.50	2111.25	USD	4,193.75	
26JUL17	S	3 PD260CT17		1958.00	2111.25	USD	-11,493.75	
26JUL17	B	2 PD260CT17		1937.00	2111.25	USD	8,712.50	
31JUL17	B	1 PD260CT17		1916.44	2111.25	USD	4,870.25	
	NET	0 AVG BGHT 1933.49	SOLD 1957.88				2,439.00	0.00
27JUL17	B	1 PD270CT17		1932.50	2111.00	USD	4,462.50	
27JUL17	B	5 PD270CT17		1933.00	2111.00	USD	22,250.00	
27JUL17	B	1 PD270CT17		1933.50	2111.00	USD	4,437.50	
27JUL17	S	1 PD270CT17		1948.50	2111.00	USD	-4,062.50	
27JUL17	S	2 PD270CT17		1950.00	2111.00	USD	-8,050.00	
27JUL17	S	1 PD270CT17		1951.00	2111.00	USD	-4,000.00	
27JUL17	S	3 PD270CT17		1951.50	2111.00	USD	-11,962.50	
27JUL17	B	1 PD270CT17		1942.50	2111.00	USD	4,212.50	
27JUL17	B	1 PD270CT17		1938.50	2111.00	USD	4,312.50	
27JUL17	B	4 PD270CT17		1935.00	2111.00	USD	17,600.00	
28JUL17	B	1 PD270CT17		1924.00	2111.00	USD	4,675.00	
28JUL17	B	1 PD270CT17		1924.50	2111.00	USD	4,662.50	
28JUL17	B	1 PD270CT17		1925.00	2111.00	USD	4,650.00	
28JUL17	B	3 PD270CT17		1927.00	2111.00	USD	13,800.00	
28JUL17	B	1 PD270CT17		1919.00	2111.00	USD	4,800.00	
28JUL17	B	3 PD270CT17		1921.50	2111.00	USD	14,212.50	
28JUL17	B	1 PD270CT17		1919.50	2111.00	USD	4,787.50	
28JUL17	B	3 PD270CT17		1914.00	2111.00	USD	14,775.00	
28JUL17	B	1 PD270CT17		1914.50	2111.00	USD	4,912.50	
28JUL17	B	3 PD270CT17		1913.50	2111.00	USD	14,812.50	
28JUL17	B	1 PD270CT17		1913.00	2111.00	USD	4,950.00	
31JUL17	S	17 PD270CT17		1916.55	2111.00	USD	-82,641.25	
08AUG17	S	8 PD270CT17		2027.60	2111.00	USD	-16,680.00	
	NET	0 AVG BGHT 1925.61	SOLD 1951.75				20,916.25	0.00
31JUL17	S	2 PD310CT17		1925.00	2110.50	USD	-9,275.00	
31JUL17	S	2 PD310CT17		1925.50	2110.50	USD	-9,250.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31JUL17	S	1	PD31OCT17	1926.00	2110.50	USD	-4,612.50	
08AUG17	B	5	PD31OCT17	2028.60	2110.50	USD	10,237.50	
	NET	0 AVG	BGHT 2028.60				-12,900.00	0.00
12SEP17	S	3	PD02NOV17	2125.08	2111.13	USD	1,046.25	
	NET	-3 AVG	SOLD 2125.08				1,046.25	0.00
04AUG17	B	1	PD03NOV17	1914.00	2111.44	USD	4,936.00	
08AUG17	S	1	PD03NOV17	2029.00	2111.44	USD	-2,061.00	
	NET	0 AVG	BGHT 1914.00				2,875.00	0.00
07AUG17	S	2	PD07NOV17	1941.50	2112.69	USD	-8,559.50	
07AUG17	S	2	PD07NOV17	1942.00	2112.69	USD	-8,534.50	
07AUG17	S	2	PD07NOV17	1945.00	2112.69	USD	-8,384.50	
07AUG17	S	2	PD07NOV17	1945.50	2112.69	USD	-8,359.50	
07AUG17	S	2	PD07NOV17	1946.00	2112.69	USD	-8,334.50	
07AUG17	S	1	PD07NOV17	1947.00	2112.69	USD	-4,142.25	
07AUG17	S	1	PD07NOV17	1948.00	2112.69	USD	-4,117.25	
07AUG17	S	1	PD07NOV17	1949.00	2112.69	USD	-4,092.25	
07AUG17	S	1	PD07NOV17	1974.50	2112.69	USD	-3,454.75	
08AUG17	B	4	PD07NOV17	2030.00	2112.69	USD	8,269.00	
09AUG17	B	10	PD07NOV17	2027.34	2112.69	USD	21,337.50	
	NET	0 AVG	BGHT 2028.10				-28,372.50	0.00
08AUG17	S	1	PD08NOV17	1996.50	2113.00	USD	-2,912.50	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	S	2	PD08NOV17	1997.50	2113.00	USD	-5,775.00	
08AUG17	S	1	PD08NOV17	1998.50	2113.00	USD	-2,862.50	
08AUG17	S	4	PD08NOV17	1999.00	2113.00	USD	-11,400.00	
08AUG17	B	10	PD08NOV17	2030.20	2113.00	USD	20,700.00	
	NET	0 AVG	BGHT 2030.20				-8,025.00	0.00
16AUG17	S	1	PD16NOV17	2061.50	2116.56	USD	-1,376.50	
16AUG17	S	8	PD16NOV17	2062.00	2116.56	USD	-10,912.00	
16AUG17	S	1	PD16NOV17	2061.00	2116.56	USD	-1,389.00	
18AUG17	B	10	PD16NOV17	2061.95	2116.56	USD	13,652.50	
	NET	0 AVG	BGHT 2061.95				-25.00	0.00
17AUG17	B	1	PD17NOV17	2102.00	2116.87	USD	371.75	
17AUG17	B	1	PD17NOV17	2105.00	2116.87	USD	296.75	
18AUG17	S	2	PD17NOV17	2061.80	2116.87	USD	-2,753.50	
	NET	0 AVG	BGHT 2103.50				-2,085.00	0.00
23AUG17	B	2	PD24NOV17	2058.50	2119.03	USD	3,026.50	
23AUG17	S	1	PD24NOV17	2092.50	2119.03	USD	-663.25	
23AUG17	S	1	PD24NOV17	2093.00	2119.03	USD	-650.75	
25AUG17	B	2	PD24NOV17	2096.50	2119.03	USD	1,126.50	
25AUG17	B	2	PD24NOV17	2098.00	2119.03	USD	1,051.50	
25AUG17	B	3	PD24NOV17	2094.00	2119.03	USD	1,877.25	
25AUG17	B	2	PD24NOV17	2094.50	2119.03	USD	1,226.50	
25AUG17	B	2	PD24NOV17	2095.00	2119.03	USD	1,201.50	
25AUG17	B	1	PD24NOV17	2093.00	2119.03	USD	650.75	
25AUG17	S	12	PD24NOV17	2069.80	2119.03	USD	-14,769.00	
	NET	0 AVG	BGHT 2090.00				-5,922.50	0.00
31AUG17	B	4	PD30NOV17	2101.00	2120.88	USD	1,988.00	
31AUG17	S	1	PD30NOV17	2112.50	2120.88	USD	-209.50	
31AUG17	S	3	PD30NOV17	2112.00	2120.88	USD	-666.00	
31AUG17	S	5	PD30NOV17	2116.50	2120.88	USD	-547.50	
31AUG17	S	1	PD30NOV17	2117.50	2120.88	USD	-84.50	
31AUG17	B	1	PD30NOV17	2100.00	2120.88	USD	522.00	
31AUG17	B	1	PD30NOV17	2100.50	2120.88	USD	509.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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31AUG17	S	1	PD30NOV17	2131.00	2120.88	USD	253.00	
12SEP17	B	5	PD30NOV17	2133.28	2120.88	USD	-1,550.00	
	NET	0AVG	BGHT 2115.54				215.00	0.00
01SEP17	B	1	PD01DEC17	2130.00	2121.19	USD	-220.25	
01SEP17	S	1	PD01DEC17	2132.00	2121.19	USD	270.25	
01SEP17	B	2	PD01DEC17	2125.00	2121.19	USD	-190.50	
01SEP17	B	1	PD01DEC17	2125.50	2121.19	USD	-107.75	
01SEP17	B	1	PD01DEC17	2126.50	2121.19	USD	-132.75	
12SEP17	S	4	PD01DEC17	2133.39	2121.19	USD	1,220.00	
	NET	0AVG	BGHT 2126.40				839.00	0.00
04SEP17	B	1	PD04DEC17	2118.00	2122.12	USD	103.00	
12SEP17	S	1	PD04DEC17	2134.32	2122.12	USD	305.00	
	NET	0AVG	BGHT 2118.00				408.00	0.00
06SEP17	B	1	PD06DEC17	2076.00	2122.74	USD	1,168.50	
06SEP17	B	2	PD06DEC17	2076.50	2122.74	USD	2,312.00	
06SEP17	B	1	PD06DEC17	2077.00	2122.74	USD	1,143.50	
12SEP17	S	4	PD06DEC17	2135.14	2122.74	USD	1,240.00	
	NET	0AVG	BGHT 2076.50				5,864.00	0.00
07SEP17	B	2	PD07DEC17	2106.00	2123.04	USD	852.00	
12SEP17	S	2	PD07DEC17	2135.45	2123.04	USD	620.50	
	NET	0AVG	BGHT 2106.00				1,472.50	0.00
08SEP17	S	1	PD08DEC17	2118.00	2123.35	USD	-133.75	
08SEP17	S	1	PD08DEC17	2096.50	2123.35	USD	-671.25	
08SEP17	S	1	PD08DEC17	2096.00	2123.35	USD	-683.75	
08SEP17	S	3	PD08DEC17	2097.00	2123.35	USD	-1,976.25	
08SEP17	S	1	PD08DEC17	2098.00	2123.35	USD	-633.75	
12SEP17	B	7	PD08DEC17	2135.96	2123.35	USD	-2,206.75	
	NET	0AVG	BGHT 2135.96				-6,305.50	0.00
12SEP17	S	1	PD12DEC17	2117.00	2124.59	USD	-189.75	
12SEP17	S	2	PD12DEC17	2118.00	2124.59	USD	-329.50	
12SEP17	B	3	PD12DEC17	2137.20	2124.59	USD	-945.75	
	NET	0AVG	BGHT 2137.20				-1,465.00	0.00
13SEP17	B	1	PD13DEC17	2119.50	2124.90	USD	135.00	
13SEP17	B	3	PD13DEC17	2121.00	2124.90	USD	292.50	
13SEP17	B	1	PD13DEC17	2121.50	2124.90	USD	85.00	
13SEP17	B	2	PD13DEC17	2117.00	2124.90	USD	395.00	
13SEP17	B	1	PD13DEC17	2118.00	2124.90	USD	172.50	
13SEP17	B	2	PD13DEC17	2116.00	2124.90	USD	445.00	
13SEP17	B	1	PD13DEC17	2109.50	2124.90	USD	385.00	
13SEP17	B	2	PD13DEC17	2114.50	2124.90	USD	520.00	
13SEP17	B	3	PD13DEC17	2111.50	2124.90	USD	1,005.00	
13SEP17	B	1	PD13DEC17	2108.50	2124.90	USD	410.00	
14SEP17	S	9	PD13DEC17	2097.53	2124.90	USD	-6,158.25	
15SEP17	S	8	PD13DEC17	2085.30	2124.90	USD	-7,920.00	
	NET	0AVG	BGHT 2115.85				-10,233.25	0.00
14SEP17	S	1	PD14DEC17	2126.50	2125.21	USD	32.25	
14SEP17	B	2	PD14DEC17	2087.00	2125.21	USD	1,910.50	
14SEP17	S	1	PD14DEC17	2125.00	2125.21	USD	-5.25	
	NET	0AVG	BGHT 2087.00				1,937.50	0.00
11OCT17	B	1	PD11JAN18	2132.00	2130.00	USD	-50.00	
11OCT17	B	2	PD11JAN18	2133.00	2130.00	USD	-150.00	
	NET	+3AVG	BGHT 2132.67				-200.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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12JUL17	B	1	PD12OCT17	2319.50	2552.35	USD	5,821.25	
12JUL17	B	2	PD12OCT17	2321.50	2552.35	USD	11,542.50	
13JUL17	S	3	PD12OCT17	2293.93	2552.35	USD	-19,381.50	
	NET	0AVG BGHT 2320.83	SOLD 2293.93				-2,017.75	0.00
13JUL17	B	1	PD13OCT17	2308.50	2552.75	USD	6,106.25	
13JUL17	B	1	PD13OCT17	2311.50	2552.75	USD	6,031.25	
13JUL17	B	1	PD13OCT17	2294.50	2552.75	USD	6,456.25	
13JUL17	B	2	PD13OCT17	2293.50	2552.75	USD	12,962.50	
13JUL17	B	1	PD13OCT17	2312.50	2552.75	USD	6,006.25	
13JUL17	S	3	PD13OCT17	2293.80	2552.75	USD	-19,421.25	
14JUL17	S	3	PD13OCT17	2318.00	2552.75	USD	-17,606.25	
14JUL17	S	2	PD13OCT17	2318.50	2552.75	USD	-11,712.50	
14JUL17	S	2	PD13OCT17	2315.80	2552.75	USD	-11,847.50	
21JUL17	B	4	PD13OCT17	2238.77	2552.75	USD	31,398.00	
	NET	0AVG BGHT 2276.91	SOLD 2310.40				8,373.00	0.00
17JUL17	B	1	PD17OCT17	2321.50	2554.35	USD	5,821.25	
17JUL17	B	1	PD17OCT17	2323.50	2554.35	USD	5,771.25	
17JUL17	B	1	PD17OCT17	2322.00	2554.35	USD	5,808.75	
17JUL17	B	1	PD17OCT17	2320.50	2554.35	USD	5,846.25	
21JUL17	S	4	PD17OCT17	2239.35	2554.35	USD	-31,500.00	
	NET	0AVG BGHT 2321.88	SOLD 2239.35				-8,252.50	0.00
18JUL17	B	2	PD18OCT17	2279.00	2554.75	USD	13,787.50	
18JUL17	B	1	PD18OCT17	2279.50	2554.75	USD	6,881.25	
18JUL17	B	4	PD18OCT17	2270.00	2554.75	USD	28,475.00	
18JUL17	B	1	PD18OCT17	2270.50	2554.75	USD	7,106.25	
18JUL17	B	1	PD18OCT17	2269.50	2554.75	USD	7,131.25	
18JUL17	S	1	PD18OCT17	2276.00	2554.75	USD	-6,968.75	
18JUL17	S	1	PD18OCT17	2272.50	2554.75	USD	-7,056.25	
18JUL17	S	2	PD18OCT17	2273.00	2554.75	USD	-14,087.50	
18JUL17	S	1	PD18OCT17	2273.50	2554.75	USD	-7,031.25	
18JUL17	S	6	PD18OCT17	2272.00	2554.75	USD	-42,412.50	
21JUL17	B	2	PD18OCT17	2239.75	2554.75	USD	15,750.00	
	NET	0AVG BGHT 2267.00	SOLD 2272.73				1,575.00	0.00
19JUL17	B	2	PD19OCT17	2262.50	2554.89	USD	14,619.50	
19JUL17	B	1	PD19OCT17	2263.50	2554.89	USD	7,284.75	
19JUL17	B	2	PD19OCT17	2264.00	2554.89	USD	14,544.50	
19JUL17	B	1	PD19OCT17	2247.50	2554.89	USD	7,684.75	
19JUL17	B	1	PD19OCT17	2248.00	2554.89	USD	7,672.25	
19JUL17	B	1	PD19OCT17	2237.50	2554.89	USD	7,934.75	
19JUL17	B	2	PD19OCT17	2238.00	2554.89	USD	15,844.50	
19JUL17	B	1	PD19OCT17	2245.00	2554.89	USD	7,747.25	
19JUL17	B	1	PD19OCT17	2231.00	2554.89	USD	8,097.25	
19JUL17	B	1	PD19OCT17	2227.50	2554.89	USD	8,184.75	
19JUL17	B	2	PD19OCT17	2228.50	2554.89	USD	16,319.50	
19JUL17	B	1	PD19OCT17	2242.00	2554.89	USD	7,822.25	
19JUL17	B	1	PD19OCT17	2228.00	2554.89	USD	8,172.25	
19JUL17	B	1	PD19OCT17	2229.00	2554.89	USD	8,147.25	
19JUL17	S	2	PD19OCT17	2211.00	2554.89	USD	-17,194.50	
21JUL17	S	2	PD19OCT17	2239.43	2554.89	USD	-15,773.00	
21JUL17	S	7	PD19OCT17	2239.63	2554.89	USD	-55,170.50	
26JUL17	S	7	PD19OCT17	2312.25	2554.89	USD	-42,462.00	
	NET	0AVG BGHT 2243.61	SOLD 2264.67				9,475.50	0.00
20JUL17	S	1	PD20OCT17	2225.50	2555.04	USD	-8,238.50	
20JUL17	S	1	PD20OCT17	2226.00	2555.04	USD	-8,226.00	
20JUL17	S	4	PD20OCT17	2227.00	2555.04	USD	-32,804.00	
20JUL17	S	1	PD20OCT17	2227.50	2555.04	USD	-8,188.50	

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20JUL17	S	2	PD200CT17	2223.50	2555.04	USD	-16,577.00	
20JUL17	S	1	PD200CT17	2224.50	2555.04	USD	-8,263.50	
20JUL17	B	1	PD200CT17	2210.00	2555.04	USD	8,626.00	
20JUL17	B	1	PD200CT17	2211.00	2555.04	USD	8,601.00	
20JUL17	B	1	PD200CT17	2203.50	2555.04	USD	8,788.50	
21JUL17	S	1	PD200CT17	2238.00	2555.04	USD	-7,926.00	
21JUL17	S	1	PD200CT17	2238.50	2555.04	USD	-7,913.50	
21JUL17	S	5	PD200CT17	2239.00	2555.04	USD	-39,505.00	
21JUL17	S	1	PD200CT17	2249.00	2555.04	USD	-7,651.00	
21JUL17	S	2	PD200CT17	2252.00	2555.04	USD	-15,152.00	
21JUL17	S	2	PD200CT17	2251.50	2555.04	USD	-15,177.00	
21JUL17	S	1	PD200CT17	2253.00	2555.04	USD	-7,551.00	
21JUL17	B	1	PD200CT17	2238.50	2555.04	USD	7,913.50	
21JUL17	B	1	PD200CT17	2239.50	2555.04	USD	7,888.50	
21JUL17	B	1	PD200CT17	2236.00	2555.04	USD	7,976.00	
21JUL17	B	7	PD200CT17	2239.70	2555.04	USD	55,184.50	
21JUL17	B	1	PD200CT17	2241.50	2555.04	USD	7,838.50	
21JUL17	B	1	PD200CT17	2242.00	2555.04	USD	7,826.00	
21JUL17	B	1	PD200CT17	2241.00	2555.04	USD	7,851.00	
26JUL17	B	7	PD200CT17	2312.56	2555.04	USD	42,434.00	
	NET	0AVG BGHT 2257.77	SOLD 2236.48				-12,245.50	0.00
26JUL17	S	1	PD260CT17	2344.00	2555.89	USD	-5,297.25	
26JUL17	S	1	PD260CT17	2345.00	2555.89	USD	-5,272.25	
26JUL17	S	2	PD260CT17	2346.00	2555.89	USD	-10,494.50	
08AUG17	B	4	PD260CT17	2380.92	2555.89	USD	17,497.00	
	NET	0AVG BGHT 2380.92	SOLD 2345.25				-3,567.00	0.00
31JUL17	B	1	PD310CT17	2335.00	2556.61	USD	5,540.25	
31JUL17	B	2	PD310CT17	2336.00	2556.61	USD	11,030.50	
31JUL17	B	1	PD310CT17	2337.00	2556.61	USD	5,490.25	
08AUG17	S	4	PD310CT17	2381.59	2556.61	USD	-17,502.00	
	NET	0AVG BGHT 2336.00	SOLD 2381.59				4,559.00	0.00
29SEP17	S	17	PD02NOV17	2492.35	2556.89	USD	-27,429.50	
	NET	-17AVG SOLD 2492.35					-27,429.50	0.00
03AUG17	S	4	PD03NOV17	2356.50	2557.04	USD	-20,054.00	
03AUG17	S	1	PD03NOV17	2358.00	2557.04	USD	-4,976.00	
03AUG17	S	1	PD03NOV17	2358.50	2557.04	USD	-4,963.50	
03AUG17	S	1	PD03NOV17	2359.50	2557.04	USD	-4,938.50	
03AUG17	S	1	PD03NOV17	2360.50	2557.04	USD	-4,913.50	
03AUG17	S	1	PD03NOV17	2361.00	2557.04	USD	-4,901.00	
03AUG17	S	1	PD03NOV17	2362.00	2557.04	USD	-4,876.00	
03AUG17	S	1	PD03NOV17	2362.50	2557.04	USD	-4,863.50	
03AUG17	S	1	PD03NOV17	2363.00	2557.04	USD	-4,851.00	
03AUG17	B	12	PD03NOV17	2367.20	2557.04	USD	56,952.00	
04AUG17	S	1	PD03NOV17	2367.00	2557.04	USD	-4,751.00	
08AUG17	B	1	PD03NOV17	2382.31	2557.04	USD	4,368.25	
	NET	0AVG BGHT 2368.36	SOLD 2359.85				-2,767.75	0.00
07AUG17	B	3	PD07NOV17	2364.00	2557.61	USD	14,520.75	
08AUG17	S	3	PD07NOV17	2382.80	2557.61	USD	-13,110.75	
	NET	0AVG BGHT 2364.00	SOLD 2382.80				1,410.00	0.00
08AUG17	B	1	PD08NOV17	2355.50	2557.75	USD	5,056.25	
08AUG17	B	1	PD08NOV17	2356.00	2557.75	USD	5,043.75	
08AUG17	B	3	PD08NOV17	2355.00	2557.75	USD	15,206.25	
08AUG17	B	2	PD08NOV17	2354.50	2557.75	USD	10,162.50	
08AUG17	B	1	PD08NOV17	2353.50	2557.75	USD	5,106.25	
08AUG17	B	2	PD08NOV17	2354.00	2557.75	USD	10,187.50	
08AUG17	S	10	PD08NOV17	2382.80	2557.75	USD	-43,737.50	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2354.70	SOLD 2382.80				7,025.00	0.00
11AUG17	B	2 PD10NOV17		2330.00	2558.04	USD	11,402.00	
11AUG17	B	2 PD10NOV17		2330.50	2558.04	USD	11,377.00	
11AUG17	B	2 PD10NOV17		2331.50	2558.04	USD	11,327.00	
24AUG17	S	6 PD10NOV17		2352.65	2558.04	USD	-30,808.50	
	NET	0 AVG BGHT 2330.67	SOLD 2352.65				3,297.50	0.00
14AUG17	S	1 PD14NOV17		2346.50	2558.61	USD	-5,302.75	
14AUG17	S	1 PD14NOV17		2347.50	2558.61	USD	-5,277.75	
14AUG17	S	1 PD14NOV17		2343.50	2558.61	USD	-5,377.75	
24AUG17	B	3 PD14NOV17		2353.93	2558.61	USD	15,351.00	
	NET	0 AVG BGHT 2353.93	SOLD 2345.83				-607.25	0.00
16AUG17	S	2 PD16NOV17		2388.00	2558.75	USD	-8,537.50	
16AUG17	S	1 PD16NOV17		2389.00	2558.75	USD	-4,243.75	
24AUG17	B	3 PD16NOV17		2353.95	2558.75	USD	15,360.00	
	NET	0 AVG BGHT 2353.95	SOLD 2388.33				2,578.75	0.00
23AUG17	B	1 PD24NOV17		2393.50	2559.75	USD	4,156.25	
23AUG17	B	1 PD24NOV17		2394.00	2559.75	USD	4,143.75	
24AUG17	B	1 PD24NOV17		2347.50	2559.75	USD	5,306.25	
24AUG17	B	1 PD24NOV17		2348.00	2559.75	USD	5,293.75	
24AUG17	B	1 PD24NOV17		2353.50	2559.75	USD	5,156.25	
24AUG17	S	5 PD24NOV17		2356.80	2559.75	USD	-25,368.75	
	NET	0 AVG BGHT 2367.30	SOLD 2356.80				-1,312.50	0.00
31AUG17	S	1 PD30NOV17		2391.00	2560.30	USD	-4,232.50	
31AUG17	S	1 PD30NOV17		2393.50	2560.30	USD	-4,170.00	
31AUG17	S	1 PD30NOV17		2392.00	2560.30	USD	-4,207.50	
31AUG17	S	2 PD30NOV17		2392.50	2560.30	USD	-8,390.00	
31AUG17	B	5 PD30NOV17		2394.20	2560.30	USD	20,762.50	
	NET	0 AVG BGHT 2394.20	SOLD 2392.30				-237.50	0.00
05SEP17	B	1 PD05DEC17		2368.00	2560.75	USD	4,818.75	
05SEP17	B	1 PD05DEC17		2370.00	2560.75	USD	4,768.75	
05SEP17	B	1 PD05DEC17		2369.50	2560.75	USD	4,781.25	
05SEP17	S	3 PD05DEC17		2333.80	2560.75	USD	-17,021.25	
	NET	0 AVG BGHT 2369.17	SOLD 2333.80				-2,652.50	0.00
08SEP17	B	1 PD08DEC17		2352.50	2561.50	USD	5,225.00	
08SEP17	B	1 PD08DEC17		2339.00	2561.50	USD	5,562.50	
08SEP17	B	2 PD08DEC17		2312.00	2561.50	USD	12,475.00	
08SEP17	B	1 PD08DEC17		2312.50	2561.50	USD	6,225.00	
08SEP17	B	1 PD08DEC17		2314.00	2561.50	USD	6,187.50	
08SEP17	B	1 PD08DEC17		2305.00	2561.50	USD	6,412.50	
08SEP17	S	7 PD08DEC17		2264.80	2561.50	USD	-51,922.50	
	NET	0 AVG BGHT 2321.00	SOLD 2264.80				-9,835.00	0.00
13SEP17	B	1 PD13DEC17		2276.00	2562.75	USD	7,168.75	
13SEP17	S	1 PD13DEC17		2293.50	2562.75	USD	-6,731.25	
	NET	0 AVG BGHT 2276.00	SOLD 2293.50				437.50	0.00
15SEP17	S	1 PD15DEC17		2358.00	2563.25	USD	-5,131.25	
15SEP17	S	1 PD15DEC17		2358.50	2563.25	USD	-5,118.75	
15SEP17	S	4 PD15DEC17		2359.50	2563.25	USD	-20,375.00	
15SEP17	S	3 PD15DEC17		2360.50	2563.25	USD	-15,206.25	
15SEP17	S	1 PD15DEC17		2356.50	2563.25	USD	-5,168.75	
18SEP17	B	10 PD15DEC17		2389.40	2563.25	USD	43,462.50	
	NET	0 AVG BGHT 2389.40	SOLD 2359.25				-7,537.50	0.00
29SEP17	S	1 PD29DEC17		2485.00	2563.70	USD	-1,967.50	
29SEP17	S	1 PD29DEC17		2494.00	2563.70	USD	-1,742.50	
29SEP17	S	1 PD29DEC17		2486.50	2563.70	USD	-1,930.00	

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29SEP17	S	1	PD29DEC17	2487.00	2563.70	USD	-1,917.50	
29SEP17	S	1	PD29DEC17	2488.50	2563.70	USD	-1,880.00	
29SEP17	S	1	PD29DEC17	2489.50	2563.70	USD	-1,855.00	
29SEP17	S	2	PD29DEC17	2490.00	2563.70	USD	-3,685.00	
29SEP17	S	1	PD29DEC17	2493.50	2563.70	USD	-1,755.00	
29SEP17	S	1	PD29DEC17	2497.00	2563.70	USD	-1,667.50	
29SEP17	S	1	PD29DEC17	2498.00	2563.70	USD	-1,642.50	
29SEP17	S	1	PD29DEC17	2500.00	2563.70	USD	-1,592.50	
29SEP17	S	1	PD29DEC17	2504.00	2563.70	USD	-1,492.50	
29SEP17	S	2	PD29DEC17	2498.50	2563.70	USD	-3,260.00	
29SEP17	S	1	PD29DEC17	2499.00	2563.70	USD	-1,617.50	
29SEP17	S	1	PD29DEC17	2495.00	2563.70	USD	-1,717.50	
29SEP17	B	17	PD29DEC17	2485.20	2563.70	USD	33,362.50	
	NET	0 AVG BGHT 2485.20	SOLD 2493.76				3,640.00	0.00
11OCT17	S	5	PD11JAN18	2560.00	2565.00	USD	-625.00	
11OCT17	S	5	PD11JAN18	2561.00	2565.00	USD	-500.00	
11OCT17	S	1	PD11JAN18	2562.00	2565.00	USD	-75.00	
11OCT17	B	1	PD11JAN18	2558.00	2565.00	USD	175.00	
	NET	-10 AVG BGHT 2558.00	SOLD 2560.64				-1,025.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	9640.00	11065.00	USD	-8,550.00	
18JUL17	S	1	PD18OCT17	9645.00	11065.00	USD	-8,520.00	
18JUL17	S	2	PD18OCT17	9650.00	11065.00	USD	-16,980.00	
18JUL17	S	2	PD18OCT17	9730.00	11065.00	USD	-16,020.00	
18JUL17	B	1	PD18OCT17	9740.00	11065.00	USD	7,950.00	
18JUL17	S	1	PD18OCT17	9735.00	11065.00	USD	-7,980.00	
18JUL17	S	2	PD18OCT17	9740.00	11065.00	USD	-15,900.00	
18JUL17	S	1	PD18OCT17	9760.00	11065.00	USD	-7,830.00	
18JUL17	S	1	PD18OCT17	9765.00	11065.00	USD	-7,800.00	
21JUL17	B	10	PD18OCT17	9522.00	11065.00	USD	92,580.00	
	NET	0 AVG BGHT 9541.82	SOLD 9707.73				10,950.00	0.00
24JUL17	S	1	PD24OCT17	9775.00	11070.79	USD	-7,774.74	
24JUL17	S	2	PD24OCT17	9770.00	11070.79	USD	-15,609.48	
26JUL17	B	3	PD24OCT17	10041.67	11070.79	USD	18,524.16	
	NET	0 AVG BGHT 10041.67	SOLD 9771.67				-4,860.06	0.00
25JUL17	S	1	PD25OCT17	10005.00	11071.75	USD	-6,400.50	
25JUL17	S	1	PD25OCT17	10010.00	11071.75	USD	-6,370.50	
26JUL17	B	2	PD25OCT17	10041.33	11071.75	USD	12,365.04	
	NET	0 AVG BGHT 10041.33	SOLD 10007.50				-405.96	0.00
26JUL17	S	1	PD26OCT17	10065.00	11072.71	USD	-6,046.26	
26JUL17	S	1	PD26OCT17	10070.00	11072.71	USD	-6,016.26	
26JUL17	S	1	PD26OCT17	9925.00	11072.71	USD	-6,886.26	
31JUL17	B	3	PD26OCT17	10214.65	11072.71	USD	15,445.08	
	NET	0 AVG BGHT 10214.65	SOLD 10020.00				-3,503.70	0.00
27JUL17	S	1	PD27OCT17	10020.00	11073.68	USD	-6,322.08	
27JUL17	S	3	PD27OCT17	10085.00	11073.68	USD	-17,796.24	
27JUL17	S	1	PD27OCT17	10120.00	11073.68	USD	-5,722.08	
27JUL17	S	2	PD27OCT17	10125.00	11073.68	USD	-11,384.16	
31JUL17	B	7	PD27OCT17	10214.92	11073.68	USD	36,067.92	
	NET	0 AVG BGHT 10214.92	SOLD 10092.14				-5,156.64	0.00
08SEP17	B	2	PD08DEC17	11725.00	11109.74	USD	-7,383.12	
08SEP17	B	1	PD08DEC17	11730.00	11109.74	USD	-3,721.56	
08SEP17	B	1	PD08DEC17	11750.00	11109.74	USD	-3,841.56	
08SEP17	B	1	PD08DEC17	11755.00	11109.74	USD	-3,871.56	

OPEN POSITION STATEMENT



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SAP0103G

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	11780.00	11109.74	USD	-8,043.12	
08SEP17	B	1	PD08DEC17	11560.00	11109.74	USD	-2,701.56	
08SEP17	B	1	PD08DEC17	11575.00	11109.74	USD	-2,791.56	
08SEP17	B	3	PD08DEC17	11555.00	11109.74	USD	-8,014.68	
08SEP17	B	1	PD08DEC17	11570.00	11109.74	USD	-2,761.56	
27SEP17	S	3	PD08DEC17	10220.94	11109.74	USD	-15,998.40	
29SEP17	S	10	PD08DEC17	10478.87	11109.74	USD	-37,852.20	
	NET	0 AVG BGHT 11662.69	SOLD 10419.35				-96,980.88	0.00
13SEP17	B	1	PD13DEC17	11565.00	11113.60	USD	-2,708.40	
13SEP17	B	1	PD13DEC17	11420.00	11113.60	USD	-1,838.40	
13SEP17	B	1	PD13DEC17	11440.00	11113.60	USD	-1,958.40	
13SEP17	B	1	PD13DEC17	11365.00	11113.60	USD	-1,508.40	
13SEP17	B	1	PD13DEC17	11410.00	11113.60	USD	-1,778.40	
13SEP17	B	4	PD13DEC17	11385.00	11113.60	USD	-6,513.60	
29SEP17	S	7	PD13DEC17	10483.30	11113.60	USD	-26,472.60	
	NET	+2 AVG BGHT 11415.56	SOLD 10483.30				-42,778.20	0.00
20SEP17	S	1	PD20DEC17	11360.00	11119.00	USD	1,446.00	
20SEP17	S	1	PD20DEC17	11365.00	11119.00	USD	1,476.00	
27SEP17	B	2	PD20DEC17	10232.50	11119.00	USD	10,638.00	
	NET	0 AVG BGHT 10232.50	SOLD 11362.50				13,560.00	0.00
21SEP17	S	1	PD21DEC17	11130.00	11119.73	USD	61.62	
27SEP17	B	1	PD21DEC17	10233.50	11119.73	USD	5,317.38	
	NET	0 AVG BGHT 10233.50	SOLD 11130.00				5,379.00	0.00
28SEP17	S	1	PD28DEC17	10315.00	11124.82	USD	-4,858.92	
28SEP17	S	2	PD28DEC17	10320.00	11124.82	USD	-9,657.84	
28SEP17	S	1	PD28DEC17	10350.00	11124.82	USD	-4,648.92	
28SEP17	S	1	PD28DEC17	10355.00	11124.82	USD	-4,618.92	
28SEP17	S	1	PD28DEC17	10380.00	11124.82	USD	-4,468.92	
28SEP17	S	1	PD28DEC17	10405.00	11124.82	USD	-4,318.92	
28SEP17	S	1	PD28DEC17	10410.00	11124.82	USD	-4,288.92	
28SEP17	S	1	PD28DEC17	10450.00	11124.82	USD	-4,048.92	
28SEP17	S	1	PD28DEC17	10470.00	11124.82	USD	-3,928.92	
28SEP17	S	1	PD28DEC17	10475.00	11124.82	USD	-3,898.92	
28SEP17	S	1	PD28DEC17	10480.00	11124.82	USD	-3,868.92	
28SEP17	S	1	PD28DEC17	10485.00	11124.82	USD	-3,838.92	
28SEP17	S	2	PD28DEC17	10460.00	11124.82	USD	-7,977.84	
28SEP17	S	2	PD28DEC17	10465.00	11124.82	USD	-7,917.84	
29SEP17	B	17	PD28DEC17	10499.79	11124.82	USD	63,753.06	
	NET	0 AVG BGHT 10499.79	SOLD 10415.59				-8,588.58	0.00
29SEP17	B	1	PD29DEC17	10495.00	11125.55	USD	3,783.30	
29SEP17	S	1	PD29DEC17	10435.00	11125.55	USD	-4,143.30	
29SEP17	S	1	PD29DEC17	10440.00	11125.55	USD	-4,113.30	
29SEP17	B	3	PD29DEC17	10430.00	11125.55	USD	12,519.90	
	NET	+2 AVG BGHT 10446.25	SOLD 10437.50				8,046.60	0.00
	<u>CMX SILVER 5,000 CMX</u>							
12SEP17	B	1	DEC17	1780.5	1713.3	USD	-3,360.00	
	NET	+1 AVG BGHT 1780.5					-3,360.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2833.00	3299.33	USD	11,658.25	
12JUL17	B	1	PD12OCT17	2834.50	3299.33	USD	11,620.75	
12JUL17	B	3	PD12OCT17	2835.00	3299.33	USD	34,824.75	
12JUL17	B	1	PD12OCT17	2835.50	3299.33	USD	11,595.75	
12JUL17	S	1	PD12OCT17	2840.00	3299.33	USD	-11,483.25	
12JUL17	S	3	PD12OCT17	2840.50	3299.33	USD	-34,412.25	

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12JUL17	S	2	PD120CT17	2841.00	3299.33	USD	-22,916.50	
12JUL17	B	1	PD120CT17	2834.00	3299.33	USD	11,633.25	
12JUL17	B	1	PD120CT17	2848.00	3299.33	USD	11,283.25	
13JUL17	S	2	PD120CT17	2803.55	3299.33	USD	-24,789.00	
	NET	0 AVG BGHT 2836.25	SOLD 2831.33				-985.00	0.00
13JUL17	S	1	PD130CT17	2833.00	3295.00	USD	-11,550.00	
13JUL17	S	2	PD130CT17	2841.00	3295.00	USD	-22,700.00	
13JUL17	S	1	PD130CT17	2834.50	3295.00	USD	-11,512.50	
13JUL17	S	3	PD130CT17	2835.00	3295.00	USD	-34,500.00	
13JUL17	S	2	PD130CT17	2837.00	3295.00	USD	-22,900.00	
13JUL17	B	1	PD130CT17	2801.50	3295.00	USD	12,337.50	
13JUL17	B	1	PD130CT17	2802.50	3295.00	USD	12,312.50	
13JUL17	B	3	PD130CT17	2801.00	3295.00	USD	37,050.00	
14JUL17	B	1	PD130CT17	2791.50	3295.00	USD	12,587.50	
14JUL17	B	1	PD130CT17	2793.50	3295.00	USD	12,537.50	
14JUL17	B	1	PD130CT17	2768.00	3295.00	USD	13,175.00	
14JUL17	B	1	PD130CT17	2769.00	3295.00	USD	13,150.00	
14JUL17	B	1	PD130CT17	2769.50	3295.00	USD	13,137.50	
14JUL17	B	4	PD130CT17	2770.00	3295.00	USD	52,500.00	
14JUL17	B	1	PD130CT17	2773.00	3295.00	USD	13,050.00	
14JUL17	B	7	PD130CT17	2773.50	3295.00	USD	91,262.50	
14JUL17	B	1	PD130CT17	2787.50	3295.00	USD	12,687.50	
14JUL17	B	1	PD130CT17	2788.00	3295.00	USD	12,675.00	
14JUL17	S	2	PD130CT17	2790.50	3295.00	USD	-25,225.00	
14JUL17	S	1	PD130CT17	2791.00	3295.00	USD	-12,600.00	
21JUL17	S	12	PD130CT17	2754.96	3295.00	USD	-162,012.00	
	NET	0 AVG BGHT 2780.90	SOLD 2790.00				5,463.00	0.00
17JUL17	S	1	PD170CT17	2824.50	3286.33	USD	-11,545.75	
17JUL17	S	2	PD170CT17	2826.00	3286.33	USD	-23,016.50	
17JUL17	S	1	PD170CT17	2830.00	3286.33	USD	-11,408.25	
17JUL17	S	1	PD170CT17	2831.00	3286.33	USD	-11,383.25	
17JUL17	S	2	PD170CT17	2831.50	3286.33	USD	-22,741.50	
17JUL17	S	2	PD170CT17	2832.00	3286.33	USD	-22,716.50	
17JUL17	S	1	PD170CT17	2834.50	3286.33	USD	-11,295.75	
17JUL17	S	1	PD170CT17	2835.50	3286.33	USD	-11,270.75	
17JUL17	B	2	PD170CT17	2823.50	3286.33	USD	23,141.50	
17JUL17	B	1	PD170CT17	2826.00	3286.33	USD	11,508.25	
17JUL17	B	1	PD170CT17	2821.00	3286.33	USD	11,633.25	
17JUL17	B	2	PD170CT17	2822.00	3286.33	USD	23,216.50	
17JUL17	B	1	PD170CT17	2822.50	3286.33	USD	11,595.75	
17JUL17	S	5	PD170CT17	2824.00	3286.33	USD	-57,791.25	
17JUL17	S	5	PD170CT17	2825.00	3286.33	USD	-57,666.25	
17JUL17	S	1	PD170CT17	2830.50	3286.33	USD	-11,395.75	
17JUL17	B	1	PD170CT17	2831.50	3286.33	USD	11,370.75	
17JUL17	B	1	PD170CT17	2814.50	3286.33	USD	11,795.75	
17JUL17	B	1	PD170CT17	2815.00	3286.33	USD	11,783.25	
17JUL17	B	3	PD170CT17	2815.50	3286.33	USD	35,312.25	
17JUL17	B	1	PD170CT17	2816.50	3286.33	USD	11,745.75	
21JUL17	B	8	PD170CT17	2755.59	3286.33	USD	106,148.00	
	NET	0 AVG BGHT 2796.78	SOLD 2827.73				17,019.50	0.00
18JUL17	B	2	PD180CT17	2794.00	3282.00	USD	24,400.00	
18JUL17	B	1	PD180CT17	2790.50	3282.00	USD	12,287.50	
18JUL17	B	1	PD180CT17	2793.50	3282.00	USD	12,212.50	
18JUL17	B	1	PD180CT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B	4	PD180CT17	2778.00	3282.00	USD	50,400.00	
18JUL17	B	1	PD180CT17	2780.50	3282.00	USD	12,537.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD180CT17	2790.00	3282.00	USD	-12,300.00	
18JUL17	S	2	PD180CT17	2791.00	3282.00	USD	-24,550.00	
18JUL17	S	4	PD180CT17	2791.50	3282.00	USD	-49,050.00	
18JUL17	S	1	PD180CT17	2792.50	3282.00	USD	-12,237.50	
18JUL17	B	2	PD180CT17	2781.00	3282.00	USD	25,050.00	
18JUL17	S	3	PD180CT17	2793.00	3282.00	USD	-36,675.00	
18JUL17	S	1	PD180CT17	2796.00	3282.00	USD	-12,150.00	
18JUL17	S	1	PD180CT17	2795.00	3282.00	USD	-12,175.00	
18JUL17	S	1	PD180CT17	2795.50	3282.00	USD	-12,162.50	
18JUL17	S	2	PD180CT17	2794.00	3282.00	USD	-24,400.00	
21JUL17	B	4	PD180CT17	2755.70	3282.00	USD	52,630.00	
	NET	0AVG BGHT 2776.68	SOLD 2792.75				6,430.00	0.00
19JUL17	B	2	PD190CT17	2772.50	3277.50	USD	25,250.00	
19JUL17	B	2	PD190CT17	2773.00	3277.50	USD	25,225.00	
19JUL17	B	2	PD190CT17	2773.50	3277.50	USD	25,200.00	
19JUL17	B	2	PD190CT17	2766.50	3277.50	USD	25,550.00	
19JUL17	B	1	PD190CT17	2767.50	3277.50	USD	12,750.00	
19JUL17	B	1	PD190CT17	2766.00	3277.50	USD	12,787.50	
19JUL17	B	2	PD190CT17	2743.50	3277.50	USD	26,700.00	
19JUL17	B	1	PD190CT17	2743.00	3277.50	USD	13,362.50	
19JUL17	S	3	PD190CT17	2735.00	3277.50	USD	-40,687.50	
19JUL17	S	1	PD190CT17	2738.00	3277.50	USD	-13,487.50	
21JUL17	S	3	PD190CT17	2753.50	3277.50	USD	-39,300.00	
26JUL17	S	6	PD190CT17	2807.00	3277.50	USD	-70,575.00	
	NET	0AVG BGHT 2764.19	SOLD 2772.73				2,775.00	0.00
20JUL17	S	1	PD200CT17	2754.00	3273.00	USD	-12,975.00	
20JUL17	S	1	PD200CT17	2755.00	3273.00	USD	-12,950.00	
20JUL17	S	1	PD200CT17	2755.50	3273.00	USD	-12,937.50	
20JUL17	S	1	PD200CT17	2756.00	3273.00	USD	-12,925.00	
20JUL17	S	1	PD200CT17	2757.00	3273.00	USD	-12,900.00	
20JUL17	S	1	PD200CT17	2757.50	3273.00	USD	-12,887.50	
20JUL17	S	1	PD200CT17	2758.00	3273.00	USD	-12,875.00	
20JUL17	B	1	PD200CT17	2719.00	3273.00	USD	13,850.00	
20JUL17	B	2	PD200CT17	2720.50	3273.00	USD	27,625.00	
20JUL17	B	1	PD200CT17	2722.00	3273.00	USD	13,775.00	
20JUL17	B	1	PD200CT17	2723.00	3273.00	USD	13,750.00	
20JUL17	B	1	PD200CT17	2723.50	3273.00	USD	13,737.50	
20JUL17	S	2	PD200CT17	2727.00	3273.00	USD	-27,300.00	
21JUL17	S	1	PD200CT17	2746.50	3273.00	USD	-13,162.50	
21JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	1	PD200CT17	2748.50	3273.00	USD	-13,112.50	
21JUL17	S	2	PD200CT17	2749.50	3273.00	USD	-26,175.00	
21JUL17	S	1	PD200CT17	2750.00	3273.00	USD	-13,075.00	
21JUL17	S	1	PD200CT17	2762.50	3273.00	USD	-12,762.50	
21JUL17	S	2	PD200CT17	2764.00	3273.00	USD	-25,450.00	
21JUL17	B	1	PD200CT17	2764.50	3273.00	USD	12,712.50	
21JUL17	S	2	PD200CT17	2764.50	3273.00	USD	-25,425.00	
21JUL17	S	2	PD200CT17	2763.00	3273.00	USD	-25,500.00	
21JUL17	S	1	PD200CT17	2765.50	3273.00	USD	-12,687.50	
21JUL17	S	1	PD200CT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	S	1	PD200CT17	2771.50	3273.00	USD	-12,537.50	
21JUL17	S	2	PD200CT17	2772.00	3273.00	USD	-25,050.00	
21JUL17	S	2	PD200CT17	2773.00	3273.00	USD	-25,000.00	
21JUL17	S	2	PD200CT17	2773.50	3273.00	USD	-24,975.00	
21JUL17	B	13	PD200CT17	2753.70	3273.00	USD	168,772.50	
26JUL17	B	6	PD200CT17	2807.34	3273.00	USD	69,849.00	
26JUL17	B	5	PD200CT17	2807.14	3273.00	USD	58,232.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2766.80	SOLD 2759.06				-5,996.00	0.00
24JUL17	S	1 PD240CT17		2786.50	3267.00	USD	-12,012.50	
24JUL17	S	2 PD240CT17		2779.50	3267.00	USD	-24,375.00	
24JUL17	B	2 PD240CT17		2773.50	3267.00	USD	24,675.00	
24JUL17	S	1 PD240CT17		2793.50	3267.00	USD	-11,837.50	
24JUL17	S	1 PD240CT17		2794.50	3267.00	USD	-11,812.50	
24JUL17	S	2 PD240CT17		2796.00	3267.00	USD	-23,550.00	
24JUL17	S	1 PD240CT17		2795.00	3267.00	USD	-11,800.00	
24JUL17	B	5 PD240CT17		2774.50	3267.00	USD	61,562.50	
24JUL17	S	2 PD240CT17		2779.00	3267.00	USD	-24,400.00	
24JUL17	S	2 PD240CT17		2780.50	3267.00	USD	-24,325.00	
24JUL17	B	1 PD240CT17		2785.00	3267.00	USD	12,050.00	
24JUL17	B	1 PD240CT17		2784.50	3267.00	USD	12,062.50	
24JUL17	B	3 PD240CT17		2784.00	3267.00	USD	36,225.00	
	NET	0 AVG BGHT 2778.42	SOLD 2786.63				2,462.50	0.00
25JUL17	S	1 PD250CT17		2805.50	3264.00	USD	-11,462.50	
25JUL17	S	2 PD250CT17		2811.50	3264.00	USD	-22,625.00	
25JUL17	S	2 PD250CT17		2812.00	3264.00	USD	-22,600.00	
25JUL17	B	1 PD250CT17		2828.00	3264.00	USD	10,900.00	
25JUL17	B	1 PD250CT17		2824.50	3264.00	USD	10,987.50	
25JUL17	B	2 PD250CT17		2825.50	3264.00	USD	21,925.00	
25JUL17	B	1 PD250CT17		2826.50	3264.00	USD	10,937.50	
25JUL17	B	1 PD250CT17		2836.00	3264.00	USD	10,700.00	
25JUL17	B	2 PD250CT17		2835.50	3264.00	USD	21,425.00	
25JUL17	B	1 PD250CT17		2848.00	3264.00	USD	10,400.00	
25JUL17	B	1 PD250CT17		2838.00	3264.00	USD	10,650.00	
25JUL17	B	3 PD250CT17		2839.00	3264.00	USD	31,875.00	
26JUL17	S	8 PD250CT17		2807.66	3264.00	USD	-91,268.00	
	NET	0 AVG BGHT 2833.85	SOLD 2808.75				-8,155.50	0.00
26JUL17	B	1 PD260CT17		2830.50	3262.33	USD	10,795.75	
26JUL17	B	4 PD260CT17		2818.50	3262.33	USD	44,383.00	
26JUL17	B	1 PD260CT17		2826.50	3262.33	USD	10,895.75	
26JUL17	B	2 PD260CT17		2829.50	3262.33	USD	21,641.50	
26JUL17	S	1 PD260CT17		2829.50	3262.33	USD	-10,820.75	
26JUL17	B	2 PD260CT17		2805.50	3262.33	USD	22,841.50	
26JUL17	B	1 PD260CT17		2812.50	3262.33	USD	11,245.75	
26JUL17	B	4 PD260CT17		2818.00	3262.33	USD	44,433.00	
26JUL17	B	1 PD260CT17		2816.50	3262.33	USD	11,145.75	
26JUL17	B	1 PD260CT17		2820.00	3262.33	USD	11,058.25	
26JUL17	B	1 PD260CT17		2821.00	3262.33	USD	11,033.25	
26JUL17	B	1 PD260CT17		2809.50	3262.33	USD	11,320.75	
26JUL17	S	5 PD260CT17		2809.85	3262.33	USD	-56,560.00	
26JUL17	B	1 PD260CT17		2806.50	3262.33	USD	11,395.75	
26JUL17	B	1 PD260CT17		2807.00	3262.33	USD	11,383.25	
26JUL17	B	1 PD260CT17		2803.00	3262.33	USD	11,483.25	
26JUL17	B	1 PD260CT17		2803.50	3262.33	USD	11,470.75	
26JUL17	S	15 PD260CT17		2807.80	3262.33	USD	-170,448.75	
27JUL17	S	2 PD260CT17		2800.55	3262.33	USD	-23,089.00	
	NET	0 AVG BGHT 2816.20	SOLD 2808.56				-4,391.25	0.00
27JUL17	S	1 PD270CT17		2818.00	3260.67	USD	-11,066.75	
27JUL17	S	1 PD270CT17		2822.00	3260.67	USD	-10,966.75	
27JUL17	S	1 PD270CT17		2824.50	3260.67	USD	-10,904.25	
27JUL17	S	1 PD270CT17		2832.00	3260.67	USD	-10,716.75	
27JUL17	S	1 PD270CT17		2832.50	3260.67	USD	-10,704.25	
27JUL17	B	1 PD270CT17		2812.50	3260.67	USD	11,204.25	
27JUL17	B	1 PD270CT17		2813.50	3260.67	USD	11,179.25	

OPEN POSITION STATEMENT



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SAP0103G

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27JUL17	B	2	PD27OCT17		2795.00	3260.67	USD	23,283.50	
28JUL17	S	2	PD27OCT17		2790.00	3260.67	USD	-23,533.50	
08AUG17	B	3	PD27OCT17		2924.20	3260.67	USD	25,235.25	
	NET	0AVG	BGHT 2855.51	SOLD 2815.57				-6,990.00	0.00
31JUL17	B	1	PD31OCT17		2803.00	3257.33	USD	11,358.25	
31JUL17	B	1	PD31OCT17		2803.50	3257.33	USD	11,345.75	
31JUL17	B	3	PD31OCT17		2804.50	3257.33	USD	33,962.25	
31JUL17	S	2	PD31OCT17		2826.00	3257.33	USD	-21,566.50	
31JUL17	S	3	PD31OCT17		2826.50	3257.33	USD	-32,312.25	
31JUL17	S	1	PD31OCT17		2827.00	3257.33	USD	-10,758.25	
31JUL17	S	3	PD31OCT17		2827.50	3257.33	USD	-32,237.25	
31JUL17	B	1	PD31OCT17		2812.00	3257.33	USD	11,133.25	
31JUL17	B	1	PD31OCT17		2812.50	3257.33	USD	11,120.75	
31JUL17	B	1	PD31OCT17		2807.00	3257.33	USD	11,258.25	
31JUL17	B	3	PD31OCT17		2808.00	3257.33	USD	33,699.75	
31JUL17	B	1	PD31OCT17		2810.50	3257.33	USD	11,170.75	
31JUL17	B	1	PD31OCT17		2807.50	3257.33	USD	11,245.75	
31JUL17	B	2	PD31OCT17		2805.50	3257.33	USD	22,591.50	
31JUL17	B	5	PD31OCT17		2806.00	3257.33	USD	56,416.25	
31JUL17	B	1	PD31OCT17		2799.50	3257.33	USD	11,445.75	
31JUL17	B	1	PD31OCT17		2798.50	3257.33	USD	11,470.75	
31JUL17	B	1	PD31OCT17		2800.50	3257.33	USD	11,420.75	
31JUL17	B	1	PD31OCT17		2801.00	3257.33	USD	11,408.25	
31JUL17	B	1	PD31OCT17		2802.50	3257.33	USD	11,370.75	
31JUL17	B	1	PD31OCT17		2797.00	3257.33	USD	11,508.25	
31JUL17	B	1	PD31OCT17		2798.00	3257.33	USD	11,483.25	
31JUL17	B	1	PD31OCT17		2799.00	3257.33	USD	11,458.25	
31JUL17	S	4	PD31OCT17		2825.50	3257.33	USD	-43,183.00	
31JUL17	S	2	PD31OCT17		2808.50	3257.33	USD	-22,441.50	
31JUL17	S	2	PD31OCT17		2806.50	3257.33	USD	-22,541.50	
31JUL17	S	1	PD31OCT17		2807.00	3257.33	USD	-11,258.25	
31JUL17	S	2	PD31OCT17		2803.00	3257.33	USD	-22,716.50	
31JUL17	S	15	PD31OCT17		2794.80	3257.33	USD	-173,448.75	
31JUL17	B	5	PD31OCT17		2795.20	3257.33	USD	57,766.25	
08AUG17	B	2	PD31OCT17		2924.20	3257.33	USD	16,656.50	
	NET	0AVG	BGHT 2810.14	SOLD 2808.80				-1,172.50	0.00
28SEP17	S	4	PD02NOV17		3154.50	3254.00	USD	-9,950.00	
29SEP17	S	11	PD02NOV17		3170.05	3254.00	USD	-23,086.25	
29SEP17	S	5	PD02NOV17		3170.25	3254.00	USD	-10,468.75	
	NET	-20AVG	SOLD 3166.99					-43,505.00	0.00
03AUG17	S	1	PD03NOV17		2829.00	3252.89	USD	-10,597.25	
03AUG17	S	1	PD03NOV17		2830.00	3252.89	USD	-10,572.25	
03AUG17	B	1	PD03NOV17		2792.50	3252.89	USD	11,509.75	
03AUG17	B	3	PD03NOV17		2793.50	3252.89	USD	34,454.25	
03AUG17	B	1	PD03NOV17		2794.00	3252.89	USD	11,472.25	
03AUG17	B	1	PD03NOV17		2796.00	3252.89	USD	11,422.25	
03AUG17	B	1	PD03NOV17		2799.00	3252.89	USD	11,347.25	
04AUG17	S	2	PD03NOV17		2805.50	3252.89	USD	-22,369.50	
04AUG17	S	2	PD03NOV17		2807.00	3252.89	USD	-22,294.50	
04AUG17	S	1	PD03NOV17		2810.00	3252.89	USD	-11,072.25	
04AUG17	S	1	PD03NOV17		2811.50	3252.89	USD	-11,034.75	
04AUG17	S	1	PD03NOV17		2812.00	3252.89	USD	-11,022.25	
04AUG17	S	1	PD03NOV17		2813.00	3252.89	USD	-10,997.25	
04AUG17	S	4	PD03NOV17		2815.00	3252.89	USD	-43,789.00	
04AUG17	S	1	PD03NOV17		2817.50	3252.89	USD	-10,884.75	
04AUG17	S	1	PD03NOV17		2818.50	3252.89	USD	-10,859.75	

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04AUG17	S	1	PD03NOV17		2819.00	3252.89	USD	-10,847.25	
04AUG17	S	1	PD03NOV17		2822.00	3252.89	USD	-10,772.25	
04AUG17	S	2	PD03NOV17		2822.50	3252.89	USD	-21,519.50	
04AUG17	S	1	PD03NOV17		2823.50	3252.89	USD	-10,734.75	
04AUG17	S	1	PD03NOV17		2826.50	3252.89	USD	-10,659.75	
04AUG17	S	1	PD03NOV17		2828.00	3252.89	USD	-10,622.25	
04AUG17	S	1	PD03NOV17		2830.50	3252.89	USD	-10,559.75	
04AUG17	S	2	PD03NOV17		2832.00	3252.89	USD	-21,044.50	
04AUG17	S	2	PD03NOV17		2834.00	3252.89	USD	-20,944.50	
04AUG17	B	1	PD03NOV17		2819.50	3252.89	USD	10,834.75	
04AUG17	B	1	PD03NOV17		2820.50	3252.89	USD	10,809.75	
04AUG17	B	3	PD03NOV17		2820.00	3252.89	USD	32,466.75	
04AUG17	B	1	PD03NOV17		2821.00	3252.89	USD	10,797.25	
04AUG17	S	4	PD03NOV17		2833.00	3252.89	USD	-41,989.00	
04AUG17	B	2	PD03NOV17		2812.50	3252.89	USD	22,019.50	
08AUG17	B	7	PD03NOV17		2924.70	3252.89	USD	57,433.25	
09AUG17	B	2	PD03NOV17		2930.33	3252.89	USD	16,128.00	
09AUG17	B	8	PD03NOV17		2930.53	3252.89	USD	64,472.00	
	NET	0 AVG	BGHT 2871.43	SOLD 2821.41				-40,020.00	0.00
07AUG17	S	1	PD07NOV17		2830.50	3250.67	USD	-10,504.25	
07AUG17	S	3	PD07NOV17		2832.50	3250.67	USD	-31,362.75	
07AUG17	S	2	PD07NOV17		2833.00	3250.67	USD	-20,883.50	
07AUG17	S	2	PD07NOV17		2834.00	3250.67	USD	-20,833.50	
07AUG17	B	2	PD07NOV17		2868.00	3250.67	USD	19,133.50	
07AUG17	B	2	PD07NOV17		2868.50	3250.67	USD	19,108.50	
07AUG17	B	1	PD07NOV17		2869.00	3250.67	USD	9,541.75	
07AUG17	B	2	PD07NOV17		2867.50	3250.67	USD	19,158.50	
07AUG17	B	1	PD07NOV17		2865.00	3250.67	USD	9,641.75	
	NET	0 AVG	BGHT 2867.75	SOLD 2832.75				-7,000.00	0.00
08AUG17	B	2	PD08NOV17		2935.50	3249.56	USD	15,703.00	
09AUG17	S	2	PD08NOV17		2931.11	3249.56	USD	-15,922.50	
	NET	0 AVG	BGHT 2935.50	SOLD 2931.11				-219.50	0.00
10AUG17	B	1	PD10NOV17		2910.50	3247.33	USD	8,420.75	
10AUG17	B	1	PD10NOV17		2911.50	3247.33	USD	8,395.75	
10AUG17	B	3	PD10NOV17		2912.00	3247.33	USD	25,149.75	
11AUG17	B	1	PD10NOV17		2903.00	3247.33	USD	8,608.25	
11AUG17	B	2	PD10NOV17		2909.00	3247.33	USD	16,916.50	
11AUG17	B	2	PD10NOV17		2911.00	3247.33	USD	16,816.50	
11AUG17	B	2	PD10NOV17		2889.50	3247.33	USD	17,891.50	
11AUG17	B	1	PD10NOV17		2891.00	3247.33	USD	8,908.25	
11AUG17	B	1	PD10NOV17		2893.00	3247.33	USD	8,858.25	
11AUG17	B	1	PD10NOV17		2899.00	3247.33	USD	8,708.25	
11AUG17	B	2	PD10NOV17		2899.50	3247.33	USD	17,391.50	
11AUG17	B	1	PD10NOV17		2900.00	3247.33	USD	8,683.25	
11AUG17	B	1	PD10NOV17		2901.00	3247.33	USD	8,658.25	
11AUG17	B	1	PD10NOV17		2903.50	3247.33	USD	8,595.75	
11AUG17	S	1	PD10NOV17		2891.00	3247.33	USD	-8,908.25	
11AUG17	B	1	PD10NOV17		2905.00	3247.33	USD	8,558.25	
11AUG17	S	1	PD10NOV17		2918.50	3247.33	USD	-8,220.75	
11AUG17	S	2	PD10NOV17		2920.00	3247.33	USD	-16,366.50	
11AUG17	S	1	PD10NOV17		2920.50	3247.33	USD	-8,170.75	
11AUG17	S	1	PD10NOV17		2925.00	3247.33	USD	-8,058.25	
11AUG17	S	1	PD10NOV17		2924.50	3247.33	USD	-8,070.75	
11AUG17	S	1	PD10NOV17		2926.50	3247.33	USD	-8,020.75	
11AUG17	S	1	PD10NOV17		2927.00	3247.33	USD	-8,008.25	
11AUG17	S	1	PD10NOV17		2923.00	3247.33	USD	-8,108.25	

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11AUG17	S	1	PD10NOV17	2922.00	3247.33	USD	-8,133.25	
11AUG17	S	1	PD10NOV17	2921.00	3247.33	USD	-8,158.25	
11AUG17	B	1	PD10NOV17	2896.00	3247.33	USD	8,783.25	
11AUG17	B	1	PD10NOV17	2905.50	3247.33	USD	8,545.75	
11AUG17	B	3	PD10NOV17	2908.00	3247.33	USD	25,449.75	
11AUG17	B	1	PD10NOV17	2906.50	3247.33	USD	8,520.75	
11AUG17	B	3	PD10NOV17	2891.50	3247.33	USD	26,687.25	
11AUG17	B	1	PD10NOV17	2892.00	3247.33	USD	8,883.25	
11AUG17	B	1	PD10NOV17	2892.50	3247.33	USD	8,870.75	
24AUG17	S	20	PD10NOV17	3118.48	3247.33	USD	-64,425.00	
	NET	0 AVG BGHT 2901.95	SOLD 3044.02				113,652.50	0.00
14AUG17	S	1	PD14NOV17	2919.00	3245.11	USD	-8,152.75	
14AUG17	S	1	PD14NOV17	2912.00	3245.11	USD	-8,327.75	
14AUG17	S	2	PD14NOV17	2911.00	3245.11	USD	-16,705.50	
24AUG17	B	4	PD14NOV17	3119.20	3245.11	USD	12,591.00	
	NET	0 AVG BGHT 3119.20	SOLD 2913.25				-20,595.00	0.00
16AUG17	S	4	PD16NOV17	2979.50	3241.50	USD	-26,200.00	
16AUG17	S	3	PD16NOV17	2980.00	3241.50	USD	-19,612.50	
16AUG17	S	2	PD16NOV17	2982.00	3241.50	USD	-12,975.00	
16AUG17	S	2	PD16NOV17	2981.00	3241.50	USD	-13,025.00	
16AUG17	S	1	PD16NOV17	2978.50	3241.50	USD	-6,575.00	
16AUG17	S	1	PD16NOV17	2979.00	3241.50	USD	-6,562.50	
16AUG17	S	3	PD16NOV17	2982.50	3241.50	USD	-19,425.00	
24AUG17	B	16	PD16NOV17	3117.20	3241.50	USD	49,720.00	
	NET	0 AVG BGHT 3117.20	SOLD 2980.56				-54,655.00	0.00
24AUG17	B	1	PD24NOV17	3115.50	3235.00	USD	2,987.50	
24AUG17	B	1	PD24NOV17	3116.00	3235.00	USD	2,975.00	
24AUG17	B	1	PD24NOV17	3117.00	3235.00	USD	2,950.00	
24AUG17	B	1	PD24NOV17	3118.50	3235.00	USD	2,912.50	
24AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
24AUG17	B	1	PD24NOV17	3109.50	3235.00	USD	3,137.50	
24AUG17	S	1	PD24NOV17	3135.00	3235.00	USD	-2,500.00	
24AUG17	S	1	PD24NOV17	3135.50	3235.00	USD	-2,487.50	
24AUG17	S	1	PD24NOV17	3136.00	3235.00	USD	-2,475.00	
24AUG17	S	1	PD24NOV17	3136.50	3235.00	USD	-2,462.50	
25AUG17	B	1	PD24NOV17	3085.50	3235.00	USD	3,737.50	
25AUG17	B	1	PD24NOV17	3074.50	3235.00	USD	4,012.50	
25AUG17	B	1	PD24NOV17	3079.00	3235.00	USD	3,900.00	
25AUG17	B	1	PD24NOV17	3079.50	3235.00	USD	3,887.50	
25AUG17	B	1	PD24NOV17	3080.50	3235.00	USD	3,862.50	
25AUG17	B	1	PD24NOV17	3081.00	3235.00	USD	3,850.00	
25AUG17	B	2	PD24NOV17	3081.50	3235.00	USD	7,675.00	
25AUG17	B	1	PD24NOV17	3082.00	3235.00	USD	3,825.00	
25AUG17	B	1	PD24NOV17	3084.00	3235.00	USD	3,775.00	
25AUG17	B	1	PD24NOV17	3084.50	3235.00	USD	3,762.50	
25AUG17	B	2	PD24NOV17	3069.50	3235.00	USD	8,275.00	
25AUG17	B	1	PD24NOV17	3070.00	3235.00	USD	4,125.00	
25AUG17	B	1	PD24NOV17	3072.50	3235.00	USD	4,062.50	
25AUG17	B	1	PD24NOV17	3073.00	3235.00	USD	4,050.00	
25AUG17	B	1	PD24NOV17	3074.00	3235.00	USD	4,025.00	
25AUG17	B	3	PD24NOV17	3075.00	3235.00	USD	12,000.00	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
25AUG17	B	1	PD24NOV17	3067.00	3235.00	USD	4,200.00	
25AUG17	B	1	PD24NOV17	3057.00	3235.00	USD	4,450.00	
25AUG17	B	1	PD24NOV17	3064.00	3235.00	USD	4,275.00	
25AUG17	B	1	PD24NOV17	3064.50	3235.00	USD	4,262.50	

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25AUG17	S	1	PD24NOV17	3059.00	3235.00	USD	-4,400.00	
25AUG17	B	1	PD24NOV17	3071.50	3235.00	USD	4,087.50	
29AUG17	S	7	PD24NOV17	3108.80	3235.00	USD	-22,085.00	
30AUG17	S	14	PD24NOV17	3093.80	3235.00	USD	-49,420.00	
31AUG17	S	4	PD24NOV17	3145.00	3235.00	USD	-9,000.00	
	NET	0AVG BGHT 3081.18	SOLD 3108.61				21,257.50	0.00
29AUG17	B	1	PD29NOV17	3115.00	3234.00	USD	2,975.00	
29AUG17	S	1	PD29NOV17	3113.00	3234.00	USD	-3,025.00	
29AUG17	S	1	PD29NOV17	3115.50	3234.00	USD	-2,962.50	
29AUG17	S	3	PD29NOV17	3116.00	3234.00	USD	-8,850.00	
29AUG17	S	2	PD29NOV17	3116.50	3234.00	USD	-5,875.00	
31AUG17	B	4	PD29NOV17	3146.03	3234.00	USD	8,797.00	
31AUG17	B	2	PD29NOV17	3145.83	3234.00	USD	4,408.50	
	NET	0AVG BGHT 3141.54	SOLD 3115.64				-4,532.00	0.00
30AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
30AUG17	S	5	PD30NOV17	3126.00	3233.67	USD	-13,458.75	
30AUG17	S	2	PD30NOV17	3126.50	3233.67	USD	-5,358.50	
30AUG17	S	4	PD30NOV17	3127.00	3233.67	USD	-10,667.00	
30AUG17	S	2	PD30NOV17	3150.00	3233.67	USD	-4,183.50	
30AUG17	B	1	PD30NOV17	3130.50	3233.67	USD	2,579.25	
30AUG17	B	1	PD30NOV17	3131.00	3233.67	USD	2,566.75	
30AUG17	B	3	PD30NOV17	3129.00	3233.67	USD	7,850.25	
30AUG17	B	1	PD30NOV17	3129.50	3233.67	USD	2,604.25	
30AUG17	B	1	PD30NOV17	3124.50	3233.67	USD	2,729.25	
30AUG17	B	1	PD30NOV17	3123.00	3233.67	USD	2,766.75	
30AUG17	B	1	PD30NOV17	3119.00	3233.67	USD	2,866.75	
30AUG17	B	1	PD30NOV17	3121.50	3233.67	USD	2,804.25	
30AUG17	B	2	PD30NOV17	3113.50	3233.67	USD	6,008.50	
30AUG17	B	1	PD30NOV17	3110.50	3233.67	USD	3,079.25	
30AUG17	B	1	PD30NOV17	3099.00	3233.67	USD	3,366.75	
30AUG17	B	1	PD30NOV17	3104.00	3233.67	USD	3,241.75	
30AUG17	B	1	PD30NOV17	3106.00	3233.67	USD	3,191.75	
30AUG17	B	1	PD30NOV17	3104.50	3233.67	USD	3,229.25	
30AUG17	B	2	PD30NOV17	3105.50	3233.67	USD	6,408.50	
30AUG17	S	1	PD30NOV17	3112.00	3233.67	USD	-3,041.75	
30AUG17	S	2	PD30NOV17	3111.50	3233.67	USD	-6,108.50	
30AUG17	B	1	PD30NOV17	3098.50	3233.67	USD	3,379.25	
30AUG17	B	3	PD30NOV17	3097.00	3233.67	USD	10,250.25	
30AUG17	S	4	PD30NOV17	3125.35	3233.67	USD	-10,832.00	
31AUG17	S	3	PD30NOV17	3108.00	3233.67	USD	-9,425.25	
31AUG17	S	4	PD30NOV17	3109.50	3233.67	USD	-12,417.00	
31AUG17	S	4	PD30NOV17	3110.00	3233.67	USD	-12,367.00	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	B	1	PD30NOV17	3127.00	3233.67	USD	2,666.75	
31AUG17	S	9	PD30NOV17	3109.00	3233.67	USD	-28,050.75	
31AUG17	S	1	PD30NOV17	3141.00	3233.67	USD	-2,316.75	
31AUG17	S	2	PD30NOV17	3139.00	3233.67	USD	-4,733.50	
31AUG17	S	1	PD30NOV17	3155.00	3233.67	USD	-1,966.75	
31AUG17	S	1	PD30NOV17	3155.50	3233.67	USD	-1,954.25	
31AUG17	S	1	PD30NOV17	3156.50	3233.67	USD	-1,929.25	
31AUG17	S	1	PD30NOV17	3157.00	3233.67	USD	-1,916.75	
31AUG17	S	2	PD30NOV17	3158.50	3233.67	USD	-3,758.50	
31AUG17	S	2	PD30NOV17	3159.50	3233.67	USD	-3,708.50	
31AUG17	S	1	PD30NOV17	3160.00	3233.67	USD	-1,841.75	
31AUG17	S	2	PD30NOV17	3161.50	3233.67	USD	-3,608.50	
31AUG17	S	2	PD30NOV17	3145.80	3233.67	USD	-4,393.50	
31AUG17	B	7	PD30NOV17	3146.20	3233.67	USD	15,307.25	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	10	PD30NOV17	3185.06	3233.67	USD	12,152.50	
04SEP17	B	11	PD30NOV17	3204.70	3233.67	USD	7,966.75	
04SEP17	B	6	PD30NOV17	3204.50	3233.67	USD	4,375.50	
	NET	0 AVG	BGHT 3156.85				-42,105.00	0.00
01SEP17	B	2	PD01DEC17	3155.50	3233.33	USD	3,891.50	
01SEP17	B	1	PD01DEC17	3209.00	3233.33	USD	608.25	
01SEP17	B	3	PD01DEC17	3210.00	3233.33	USD	1,749.75	
04SEP17	S	6	PD01DEC17	3204.43	3233.33	USD	-4,335.00	
	NET	0 AVG	BGHT 3191.67				1,914.50	0.00
04SEP17	B	1	PD04DEC17	3198.00	3233.00	USD	875.00	
04SEP17	S	1	PD04DEC17	3198.50	3233.00	USD	-862.50	
	NET	0 AVG	BGHT 3198.00				12.50	0.00
06SEP17	B	2	PD06DEC17	3100.50	3232.33	USD	6,591.50	
06SEP17	B	2	PD06DEC17	3101.00	3232.33	USD	6,566.50	
06SEP17	B	1	PD06DEC17	3101.50	3232.33	USD	3,270.75	
06SEP17	B	2	PD06DEC17	3102.50	3232.33	USD	6,491.50	
06SEP17	B	1	PD06DEC17	3103.00	3232.33	USD	3,233.25	
06SEP17	B	1	PD06DEC17	3104.50	3232.33	USD	3,195.75	
06SEP17	S	1	PD06DEC17	3104.50	3232.33	USD	-3,195.75	
12SEP17	S	8	PD06DEC17	3059.73	3232.33	USD	-34,520.00	
	NET	0 AVG	BGHT 3101.89				-8,366.50	0.00
07SEP17	S	1	PD07DEC17	3102.50	3232.00	USD	-3,237.50	
07SEP17	S	1	PD07DEC17	3104.50	3232.00	USD	-3,187.50	
07SEP17	B	1	PD07DEC17	3075.50	3232.00	USD	3,912.50	
07SEP17	B	2	PD07DEC17	3078.00	3232.00	USD	7,700.00	
07SEP17	B	1	PD07DEC17	3078.50	3232.00	USD	3,837.50	
07SEP17	B	1	PD07DEC17	3079.50	3232.00	USD	3,812.50	
07SEP17	B	1	PD07DEC17	3081.50	3232.00	USD	3,762.50	
07SEP17	B	1	PD07DEC17	3077.50	3232.00	USD	3,862.50	
07SEP17	B	1	PD07DEC17	3079.00	3232.00	USD	3,825.00	
07SEP17	S	1	PD07DEC17	3090.50	3232.00	USD	-3,537.50	
07SEP17	S	1	PD07DEC17	3091.50	3232.00	USD	-3,512.50	
07SEP17	S	1	PD07DEC17	3092.50	3232.00	USD	-3,487.50	
07SEP17	S	1	PD07DEC17	3097.00	3232.00	USD	-3,375.00	
07SEP17	S	1	PD07DEC17	3098.00	3232.00	USD	-3,350.00	
07SEP17	S	3	PD07DEC17	3099.50	3232.00	USD	-9,937.50	
07SEP17	S	1	PD07DEC17	3100.00	3232.00	USD	-3,300.00	
07SEP17	S	1	PD07DEC17	3104.00	3232.00	USD	-3,200.00	
07SEP17	S	7	PD07DEC17	3103.00	3232.00	USD	-22,575.00	
12SEP17	B	8	PD07DEC17	3060.14	3232.00	USD	34,372.00	
12SEP17	B	3	PD07DEC17	3059.94	3232.00	USD	12,904.50	
	NET	0 AVG	BGHT 3067.81				15,289.00	0.00
08SEP17	B	1	PD08DEC17	3104.00	3231.67	USD	3,191.75	
08SEP17	B	1	PD08DEC17	3094.00	3231.67	USD	3,441.75	
08SEP17	B	2	PD08DEC17	3098.00	3231.67	USD	6,683.50	
08SEP17	B	2	PD08DEC17	3101.50	3231.67	USD	6,508.50	
08SEP17	B	1	PD08DEC17	3091.50	3231.67	USD	3,504.25	
08SEP17	B	2	PD08DEC17	3092.00	3231.67	USD	6,983.50	
08SEP17	B	1	PD08DEC17	3092.50	3231.67	USD	3,479.25	
08SEP17	B	2	PD08DEC17	3094.50	3231.67	USD	6,858.50	
08SEP17	B	3	PD08DEC17	3096.50	3231.67	USD	10,137.75	
08SEP17	B	1	PD08DEC17	3089.00	3231.67	USD	3,566.75	
08SEP17	B	2	PD08DEC17	3089.50	3231.67	USD	7,108.50	
08SEP17	B	3	PD08DEC17	3090.50	3231.67	USD	10,587.75	
08SEP17	B	1	PD08DEC17	3033.50	3231.67	USD	4,954.25	
12SEP17	S	3	PD08DEC17	3059.95	3231.67	USD	-12,879.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12SEP17	S	17	PD08DEC17		3060.15	3231.67	USD	-72,896.00	
27SEP17	S	2	PD08DEC17		3108.27	3231.67	USD	-6,170.00	
	NET	0AVG BGHT 3091.66	SOLD 3064.50					-14,939.00	0.00
11SEP17	S	1	PD11DEC17		3061.50	3231.33	USD	-4,245.75	
11SEP17	S	1	PD11DEC17		3053.00	3231.33	USD	-4,458.25	
11SEP17	S	1	PD11DEC17		3055.50	3231.33	USD	-4,395.75	
11SEP17	S	1	PD11DEC17		3057.00	3231.33	USD	-4,358.25	
11SEP17	S	1	PD11DEC17		3057.50	3231.33	USD	-4,345.75	
11SEP17	S	1	PD11DEC17		3058.00	3231.33	USD	-4,333.25	
11SEP17	S	4	PD11DEC17		3058.50	3231.33	USD	-17,283.00	
11SEP17	S	1	PD11DEC17		3060.00	3231.33	USD	-4,283.25	
11SEP17	S	2	PD11DEC17		3060.50	3231.33	USD	-8,541.50	
11SEP17	S	2	PD11DEC17		3059.00	3231.33	USD	-8,616.50	
11SEP17	S	2	PD11DEC17		3055.00	3231.33	USD	-8,816.50	
12SEP17	B	17	PD11DEC17		3060.99	3231.33	USD	72,394.50	
	NET	0AVG BGHT 3060.99	SOLD 3057.97					-1,283.25	0.00
12SEP17	B	1	PD12DEC17		3060.50	3231.00	USD	4,262.50	
12SEP17	B	1	PD12DEC17		3061.50	3231.00	USD	4,237.50	
12SEP17	B	3	PD12DEC17		3061.00	3231.00	USD	12,750.00	
12SEP17	B	1	PD12DEC17		3062.00	3231.00	USD	4,225.00	
27SEP17	S	6	PD12DEC17		3108.52	3231.00	USD	-18,372.00	
	NET	0AVG BGHT 3061.17	SOLD 3108.52					7,103.00	0.00
13SEP17	S	1	PD13DEC17		3061.00	3230.67	USD	-4,241.75	
13SEP17	S	1	PD13DEC17		3061.50	3230.67	USD	-4,229.25	
13SEP17	S	1	PD13DEC17		3063.50	3230.67	USD	-4,179.25	
13SEP17	S	1	PD13DEC17		3055.00	3230.67	USD	-4,391.75	
13SEP17	B	1	PD13DEC17		3032.50	3230.67	USD	4,954.25	
13SEP17	B	1	PD13DEC17		3034.00	3230.67	USD	4,916.75	
13SEP17	B	1	PD13DEC17		3035.00	3230.67	USD	4,891.75	
13SEP17	B	1	PD13DEC17		3035.50	3230.67	USD	4,879.25	
13SEP17	B	1	PD13DEC17		3036.00	3230.67	USD	4,866.75	
13SEP17	B	1	PD13DEC17		3030.50	3230.67	USD	5,004.25	
13SEP17	B	1	PD13DEC17		3027.50	3230.67	USD	5,079.25	
13SEP17	B	1	PD13DEC17		3029.00	3230.67	USD	5,041.75	
13SEP17	B	3	PD13DEC17		3029.50	3230.67	USD	15,087.75	
13SEP17	S	1	PD13DEC17		3038.00	3230.67	USD	-4,816.75	
13SEP17	S	2	PD13DEC17		3039.00	3230.67	USD	-9,583.50	
13SEP17	S	1	PD13DEC17		3039.50	3230.67	USD	-4,779.25	
13SEP17	S	2	PD13DEC17		3041.00	3230.67	USD	-9,483.50	
13SEP17	S	1	PD13DEC17		3040.50	3230.67	USD	-4,754.25	
13SEP17	S	1	PD13DEC17		3042.50	3230.67	USD	-4,704.25	
27SEP17	B	1	PD13DEC17		3108.78	3230.67	USD	3,047.25	
	NET	0AVG BGHT 3038.11	SOLD 3046.79					2,605.50	0.00
14SEP17	S	1	PD14DEC17		3036.50	3230.33	USD	-4,845.75	
14SEP17	B	1	PD14DEC17		2981.50	3230.33	USD	6,220.75	
14SEP17	B	2	PD14DEC17		2982.00	3230.33	USD	12,416.50	
14SEP17	B	1	PD14DEC17		2982.50	3230.33	USD	6,195.75	
14SEP17	S	3	PD14DEC17		3035.50	3230.33	USD	-14,612.25	
	NET	0AVG BGHT 2982.00	SOLD 3035.75					5,375.00	0.00
15SEP17	S	1	PD15DEC17		3011.00	3230.00	USD	-5,475.00	
15SEP17	S	1	PD15DEC17		3012.50	3230.00	USD	-5,437.50	
15SEP17	S	3	PD15DEC17		3013.00	3230.00	USD	-16,275.00	
15SEP17	S	1	PD15DEC17		3012.00	3230.00	USD	-5,450.00	
27SEP17	B	6	PD15DEC17		3108.90	3230.00	USD	18,165.00	
	NET	0AVG BGHT 3108.90	SOLD 3012.42					-14,472.50	0.00
21SEP17	B	1	PD21DEC17		3032.00	3225.00	USD	4,825.00	

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21SEP17	B	1	PD21DEC17	3038.50	3225.00	USD	4,662.50	
21SEP17	B	4	PD21DEC17	3040.00	3225.00	USD	18,500.00	
21SEP17	B	1	PD21DEC17	3041.00	3225.00	USD	4,600.00	
21SEP17	B	2	PD21DEC17	3041.50	3225.00	USD	9,175.00	
21SEP17	B	1	PD21DEC17	3025.50	3225.00	USD	4,987.50	
21SEP17	B	1	PD21DEC17	3027.00	3225.00	USD	4,950.00	
21SEP17	B	2	PD21DEC17	3028.00	3225.00	USD	9,850.00	
21SEP17	B	1	PD21DEC17	3028.50	3225.00	USD	4,912.50	
21SEP17	B	1	PD21DEC17	3029.00	3225.00	USD	4,900.00	
21SEP17	B	2	PD21DEC17	3040.50	3225.00	USD	9,225.00	
21SEP17	B	1	PD21DEC17	3027.50	3225.00	USD	4,937.50	
27SEP17	S	5	PD21DEC17	3106.50	3225.00	USD	-14,812.50	
29SEP17	S	13	PD21DEC17	3162.75	3225.00	USD	-20,231.25	
	NET	0 AVG BGHT 3034.94	SOLD 3147.13				50,481.25	0.00
22SEP17	S	2	PD22DEC17	3036.00	3224.50	USD	-9,425.00	
22SEP17	S	2	PD22DEC17	3038.00	3224.50	USD	-9,325.00	
22SEP17	S	2	PD22DEC17	3039.00	3224.50	USD	-9,275.00	
27SEP17	B	6	PD22DEC17	3105.95	3224.50	USD	17,782.50	
	NET	0 AVG BGHT 3105.95	SOLD 3037.67				-10,242.50	0.00
28SEP17	S	1	PD28DEC17	3106.00	3223.50	USD	-2,937.50	
28SEP17	S	1	PD28DEC17	3106.50	3223.50	USD	-2,925.00	
28SEP17	S	2	PD28DEC17	3109.00	3223.50	USD	-5,725.00	
28SEP17	S	1	PD28DEC17	3111.00	3223.50	USD	-2,812.50	
28SEP17	S	1	PD28DEC17	3112.00	3223.50	USD	-2,787.50	
28SEP17	B	1	PD28DEC17	3118.50	3223.50	USD	2,625.00	
28SEP17	B	1	PD28DEC17	3119.50	3223.50	USD	2,600.00	
28SEP17	B	1	PD28DEC17	3121.00	3223.50	USD	2,562.50	
28SEP17	B	1	PD28DEC17	3115.00	3223.50	USD	2,712.50	
28SEP17	S	1	PD28DEC17	3128.50	3223.50	USD	-2,375.00	
28SEP17	S	1	PD28DEC17	3130.00	3223.50	USD	-2,337.50	
28SEP17	S	1	PD28DEC17	3130.50	3223.50	USD	-2,325.00	
28SEP17	S	1	PD28DEC17	3131.50	3223.50	USD	-2,300.00	
28SEP17	B	4	PD28DEC17	3147.20	3223.50	USD	7,630.00	
29SEP17	B	2	PD28DEC17	3162.39	3223.50	USD	3,055.50	
	NET	0 AVG BGHT 3138.76	SOLD 3117.40				-5,339.50	0.00
29SEP17	S	1	PD29DEC17	3161.00	3223.00	USD	-1,550.00	
29SEP17	S	2	PD29DEC17	3161.50	3223.00	USD	-3,075.00	
29SEP17	S	3	PD29DEC17	3162.00	3223.00	USD	-4,575.00	
29SEP17	S	2	PD29DEC17	3162.50	3223.00	USD	-3,025.00	
29SEP17	S	3	PD29DEC17	3163.00	3223.00	USD	-4,500.00	
29SEP17	S	1	PD29DEC17	3170.00	3223.00	USD	-1,325.00	
29SEP17	S	1	PD29DEC17	3157.00	3223.00	USD	-1,650.00	
29SEP17	S	1	PD29DEC17	3160.00	3223.00	USD	-1,575.00	
29SEP17	B	1	PD29DEC17	3155.00	3223.00	USD	1,700.00	
29SEP17	B	3	PD29DEC17	3152.00	3223.00	USD	5,325.00	
29SEP17	B	1	PD29DEC17	3153.50	3223.00	USD	1,737.50	
29SEP17	B	1	PD29DEC17	3154.00	3223.00	USD	1,725.00	
29SEP17	B	1	PD29DEC17	3146.50	3223.00	USD	1,912.50	
29SEP17	B	1	PD29DEC17	3149.00	3223.00	USD	1,850.00	
29SEP17	B	2	PD29DEC17	3150.50	3223.00	USD	3,625.00	
29SEP17	B	2	PD29DEC17	3151.50	3223.00	USD	3,575.00	
29SEP17	S	2	PD29DEC17	3157.50	3223.00	USD	-3,275.00	
29SEP17	B	5	PD29DEC17	3151.00	3223.00	USD	9,000.00	
29SEP17	S	8	PD29DEC17	3146.85	3223.00	USD	-15,230.00	
29SEP17	S	3	PD29DEC17	3150.85	3223.00	USD	-5,411.25	
29SEP17	B	16	PD29DEC17	3162.20	3223.00	USD	24,320.00	

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29SEP17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 6 PD29DEC17	3151.85	3223.00	USD	-10,672.50	
	NET 0 AVG BGHT 3156.61 SOLD 3155.29				-1,093.75	0.00
11OCT17	B 1 PD11JAN18	3214.50	3219.00	USD	112.50	
11OCT17	B 1 PD11JAN18	3215.50	3219.00	USD	87.50	
11OCT17	B 1 PD11JAN18	3225.00	3219.00	USD	-150.00	
11OCT17	S 1 PD11JAN18	3225.00	3219.00	USD	150.00	
11OCT17	S 1 PD11JAN18	3229.50	3219.00	USD	262.50	
11OCT17	S 1 PD11JAN18	3232.50	3219.00	USD	337.50	
11OCT17	S 1 PD11JAN18	3233.00	3219.00	USD	350.00	
11OCT17	B 1 PD11JAN18	3214.00	3219.00	USD	125.00	
	NET 0 AVG BGHT 3217.25 SOLD 3230.00				1,275.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-22,844.50
LME Lead 25 tonnes. This is an	FUTURES	1,690,812.25
LME Nickel 6 tonnes. This is	FUTURES	-391,208.22
CMX Silver	FUTURES	-89,025.00
LME Zinc 25 tonnes. This is	FUTURES	1,584,057.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	-3,360.00	-3,360.00	-3,360.00	-3,360.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-21,429.25	-21,429.25		
LEAD - Futures USD	-37,116.00	-37,116.00		
NKL - Futures USD	-124,338.42	-124,338.42		
ZINC - Futures USD	-42,943.00	-42,943.00	-225,826.67	-225,826.67

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins - Futures	143,990.84	143,990.84
- Options	-3,360.00	-3,360.00
	0.00	0.00
TOTAL EQUITY	140,630.84	140,630.84
Initial Margins	-502,796.67	-502,796.67
Excess/(Shortage)	-362,165.83	-362,165.83
FOR INFORMATION ONLY		
Forward Unrealised P&L	-225,826.67	-225,826.67
Net Liquidating Value	-85,195.83	-85,195.83

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
11OCT17	S 1 DEC17	306.100	309.550	USD	-862.50	
11OCT17	S 1 DEC17	306.150	309.550	USD	-850.00	
11OCT17	S 1 DEC17	309.350	309.550	USD	-50.00	
11OCT17	S 2 DEC17	309.050	309.550	USD	-250.00	
	NET -5AVG SOLD 307.940				-2,012.50	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
10OCT17	B 1 NOV17	2.84100	2.88900	USD	480.00	
	NET +1AVG BGHT 2.84100				480.00	0.00
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD02NOV17	2475.57	2556.89	USD	-2,033.00	
22SEP17	S 1 PD02NOV17	2468.82	2556.89	USD	-2,201.75	
22SEP17	S 1 PD02NOV17	2484.00	2556.89	USD	-1,822.25	
29SEP17	B 1 PD02NOV17	2477.72	2556.89	USD	1,979.25	
29SEP17	B 2 PD02NOV17	2479.22	2556.89	USD	3,883.50	
	NET 0AVG BGHT 2478.72 SOLD 2476.13				-194.25	0.00
22SEP17	S 1 PD22DEC17	2468.50	2563.50	USD	-2,375.00	
22SEP17	S 1 PD22DEC17	2482.00	2563.50	USD	-2,037.50	
22SEP17	S 1 PD22DEC17	2478.00	2563.50	USD	-2,137.50	
22SEP17	B 1 PD22DEC17	2478.65	2563.50	USD	2,121.25	
22SEP17	B 1 PD22DEC17	2471.90	2563.50	USD	2,290.00	
22SEP17	B 1 PD22DEC17	2484.37	2563.50	USD	1,978.25	
	NET 0AVG BGHT 2478.31 SOLD 2476.17				-160.50	0.00
29SEP17	B 1 PD29DEC17	2475.50	2563.70	USD	2,205.00	
29SEP17	B 1 PD29DEC17	2474.50	2563.70	USD	2,230.00	
29SEP17	B 1 PD29DEC17	2474.00	2563.70	USD	2,242.50	
29SEP17	S 1 PD29DEC17	2471.85	2563.70	USD	-2,296.25	
29SEP17	S 2 PD29DEC17	2473.35	2563.70	USD	-4,517.50	
	NET 0AVG BGHT 2474.67 SOLD 2472.85				-136.25	0.00
	CMX SILVER 5,000 CMX					
26SEP17	B 1 DEC17	1695.5	1713.3	USD	890.00	
	NET +1AVG BGHT 1695.5				890.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD02NOV17	3030.00	3254.00	USD	-5,600.00	
18SEP17	S 1 PD02NOV17	3086.33	3254.00	USD	-4,191.75	
18SEP17	S 1 PD02NOV17	3087.83	3254.00	USD	-4,154.25	
18SEP17	S 3 PD02NOV17	3087.08	3254.00	USD	-12,519.00	
18SEP17	S 2 PD02NOV17	3079.32	3254.00	USD	-8,734.00	
18SEP17	S 2 PD02NOV17	3078.32	3254.00	USD	-8,784.00	
22SEP17	B 1 PD02NOV17	3027.13	3254.00	USD	5,671.75	
09OCT17	B 1 PD02NOV17	3261.50	3254.00	USD	-187.50	
10OCT17	B 3 PD02NOV17	3243.65	3254.00	USD	776.25	
11OCT17	B 1 PD02NOV17	3252.50	3254.00	USD	37.50	
	NET -4AVG BGHT 3212.01 SOLD 3078.07				-37,685.00	0.00
15SEP17	B 1 PD15DEC17	3034.16	3230.00	USD	4,896.00	
15SEP17	S 1 PD15DEC17	3033.50	3230.00	USD	-4,912.50	
	NET 0AVG BGHT 3034.16 SOLD 3033.50				-16.50	0.00
18SEP17	S 1 PD18DEC17	3083.00	3229.67	USD	-3,666.75	
18SEP17	S 1 PD18DEC17	3084.00	3229.67	USD	-3,641.75	
18SEP17	S 2 PD18DEC17	3081.50	3229.67	USD	-7,408.50	
18SEP17	S 3 PD18DEC17	3088.00	3229.67	USD	-10,625.25	
18SEP17	S 1 PD18DEC17	3088.50	3229.67	USD	-3,529.25	
18SEP17	S 1 PD18DEC17	3089.00	3229.67	USD	-3,516.75	
18SEP17	B 1 PD18DEC17	3088.66	3229.67	USD	3,525.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3090.16	3229.67	USD	3,487.75	
18SEP17	B	3	PD18DEC17	3089.41	3229.67	USD	10,519.50	
18SEP17	B	2	PD18DEC17	3082.65	3229.67	USD	7,351.00	
18SEP17	B	2	PD18DEC17	3081.65	3229.67	USD	7,401.00	
	NET	0 AVG BGHT	3086.18				-103.75	0.00
22SEP17	B	1	PD22DEC17	3044.00	3224.50	USD	4,512.50	
22SEP17	B	1	PD22DEC17	3018.00	3224.50	USD	5,162.50	
22SEP17	S	1	PD22DEC17	3018.85	3224.50	USD	-5,141.25	
	NET	+1 AVG BGHT	3031.00				4,533.75	0.00
09OCT17	B	1	PD09JAN18	3245.00	3220.00	USD	-625.00	
09OCT17	S	1	PD09JAN18	3242.35	3220.00	USD	558.75	
	NET	0 AVG BGHT	3245.00				-66.25	0.00
10OCT17	B	1	PD10JAN18	3242.50	3219.50	USD	-575.00	
10OCT17	B	1	PD10JAN18	3230.50	3219.50	USD	-275.00	
10OCT17	B	1	PD10JAN18	3221.00	3219.50	USD	-37.50	
10OCT17	S	3	PD10JAN18	3219.85	3219.50	USD	26.25	
	NET	0 AVG BGHT	3231.33				-861.25	0.00
11OCT17	B	1	PD11JAN18	3218.00	3219.00	USD	25.00	
11OCT17	S	1	PD11JAN18	3215.35	3219.00	USD	-91.25	
	NET	0 AVG BGHT	3218.00				-66.25	0.00

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	384,925.00
NYM Henry Hub Natural Gas	FUTURES	-28,410.00
LME Lead 25 tonnes. This is an	FUTURES	-491.00
CMX Silver	FUTURES	-84,775.00
LME Zinc 25 tonnes. This is	FUTURES	210,522.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-2,012.50	-2,012.50		
HGAS - Futures USD	480.00	480.00		
SILV - Futures USD	890.00	890.00	-642.50	-642.50
Unrealised Profits & Losses - Forwards				
LEAD - Futures USD	-491.00	-491.00		
ZINC - Futures USD	-34,265.25	-34,265.25	-34,756.25	-34,756.25

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		99,356.20	99,356.20
Variation Margins	- Futures	-642.50	-642.50
	- Options	0.00	0.00
TOTAL EQUITY		98,713.70	98,713.70
Initial Margins		-75,003.25	-75,003.25
Excess/(Shortage)		23,710.45	23,710.45
FOR INFORMATION ONLY			
Forward Unrealised P&L		-34,756.25	-34,756.25
Net Liquidating Value		63,957.45	63,957.45

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
11SEP17	S	1	PD11DEC17	2125.50	2124.28	USD	30.50	
11SEP17	S	1	PD11DEC17	2137.50	2124.28	USD	330.50	
11SEP17	S	1	PD11DEC17	2123.00	2124.28	USD	-32.00	
15SEP17	B	1	PD11DEC17	2085.00	2124.28	USD	982.00	
28SEP17	B	2	PD11DEC17	2126.05	2124.28	USD	-88.50	
	NET	0 AVG	BGHT 2112.37	SOLD 2128.67			1,222.50	0.00
12SEP17	S	1	PD12DEC17	2125.00	2124.59	USD	10.25	
12SEP17	S	1	PD12DEC17	2135.50	2124.59	USD	272.75	
28SEP17	B	2	PD12DEC17	2126.35	2124.59	USD	-88.00	
	NET	0 AVG	BGHT 2126.35	SOLD 2130.25			195.00	0.00
14SEP17	B	1	PD14DEC17	2084.00	2125.21	USD	1,030.25	
15SEP17	S	1	PD14DEC17	2085.55	2125.21	USD	-991.50	
	NET	0 AVG	BGHT 2084.00	SOLD 2085.55			38.75	0.00
15SEP17	S	1	PD15DEC17	2104.50	2125.51	USD	-525.25	
28SEP17	B	1	PD15DEC17	2127.25	2125.51	USD	-43.50	
	NET	0 AVG	BGHT 2127.25	SOLD 2104.50			-568.75	0.00
19SEP17	B	1	PD19DEC17	2096.50	2126.75	USD	756.25	
19SEP17	S	1	PD19DEC17	2112.50	2126.75	USD	-356.25	
	NET	0 AVG	BGHT 2096.50	SOLD 2112.50			400.00	0.00
25SEP17	B	2	PD27DEC17	2136.00	2127.29	USD	-435.50	
25SEP17	S	1	PD27DEC17	2153.00	2127.29	USD	642.75	
26SEP17	B	1	PD27DEC17	2125.50	2127.29	USD	44.75	
27SEP17	B	1	PD27DEC17	2126.00	2127.29	USD	32.25	
27SEP17	B	1	PD27DEC17	2127.50	2127.29	USD	-5.25	
27SEP17	B	1	PD27DEC17	2129.00	2127.29	USD	-42.75	
28SEP17	S	5	PD27DEC17	2130.52	2127.29	USD	403.75	
	NET	0 AVG	BGHT 2130.00	SOLD 2134.27			640.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	2	PD01DEC17	2405.00	2560.39	USD	-7,769.50	
13SEP17	B	2	PD01DEC17	2286.22	2560.39	USD	13,708.50	
	NET	0 AVG	BGHT 2286.22	SOLD 2405.00			5,939.00	0.00
04SEP17	B	1	PD04DEC17	2398.00	2560.66	USD	4,066.50	
04SEP17	B	1	PD04DEC17	2397.00	2560.66	USD	4,091.50	
13SEP17	S	2	PD04DEC17	2286.97	2560.66	USD	-13,684.50	
	NET	0 AVG	BGHT 2397.50	SOLD 2286.97			-5,526.50	0.00
05SEP17	S	1	PD05DEC17	2340.50	2560.75	USD	-5,506.25	
05SEP17	S	1	PD05DEC17	2338.00	2560.75	USD	-5,568.75	
05SEP17	B	1	PD05DEC17	2332.50	2560.75	USD	5,706.25	
15SEP17	B	1	PD05DEC17	2356.25	2560.75	USD	5,112.50	
	NET	0 AVG	BGHT 2344.38	SOLD 2339.25			-256.25	0.00
06SEP17	S	1	PD06DEC17	2356.00	2561.00	USD	-5,125.00	
13SEP17	B	1	PD06DEC17	2287.80	2561.00	USD	6,830.00	
	NET	0 AVG	BGHT 2287.80	SOLD 2356.00			1,705.00	0.00
07SEP17	B	2	PD07DEC17	2316.50	2561.25	USD	12,237.50	
07SEP17	S	2	PD07DEC17	2318.00	2561.25	USD	-12,162.50	
	NET	0 AVG	BGHT 2316.50	SOLD 2318.00			75.00	0.00
08SEP17	B	1	PD08DEC17	2304.00	2561.50	USD	6,437.50	
13SEP17	S	1	PD08DEC17	2288.23	2561.50	USD	-6,831.75	
	NET	0 AVG	BGHT 2304.00	SOLD 2288.23			-394.25	0.00
11SEP17	S	1	PD11DEC17	2283.00	2562.25	USD	-6,981.25	
21SEP17	B	1	PD11DEC17	2517.87	2562.25	USD	1,109.50	
	NET	0 AVG	BGHT 2517.87	SOLD 2283.00			-5,871.75	0.00

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	2314.00	2562.50	USD	-6,212.50	
21SEP17	B	1	PD12DEC17	2517.89	2562.50	USD	1,115.25	
	NET	0 AVG	BGHT 2517.89				-5,097.25	0.00
13SEP17	S	1	PD13DEC17	2298.50	2562.75	USD	-6,606.25	
21SEP17	B	1	PD13DEC17	2517.90	2562.75	USD	1,121.25	
	NET	0 AVG	BGHT 2517.90				-5,485.00	0.00
14SEP17	B	1	PD14DEC17	2300.50	2563.00	USD	6,562.50	
15SEP17	S	1	PD14DEC17	2358.53	2563.00	USD	-5,111.75	
	NET	0 AVG	BGHT 2300.50				1,450.75	0.00
20SEP17	B	1	PD20DEC17	2457.50	2564.50	USD	2,675.00	
20SEP17	B	1	PD20DEC17	2462.00	2564.50	USD	2,562.50	
20SEP17	B	1	PD20DEC17	2459.00	2564.50	USD	2,637.50	
21SEP17	S	3	PD20DEC17	2517.80	2564.50	USD	-3,502.50	
	NET	0 AVG	BGHT 2459.50				4,372.50	0.00
22SEP17	B	1	PD22DEC17	2429.00	2563.50	USD	3,362.50	
22SEP17	B	1	PD22DEC17	2397.00	2563.50	USD	4,162.50	
22SEP17	S	1	PD22DEC17	2452.00	2563.50	USD	-2,787.50	
22SEP17	S	1	PD22DEC17	2448.00	2563.50	USD	-2,887.50	
22SEP17	S	1	PD22DEC17	2467.00	2563.50	USD	-2,412.50	
03OCT17	B	1	PD22DEC17	2592.07	2563.50	USD	-714.25	
	NET	0 AVG	BGHT 2472.69				-1,276.75	0.00
28SEP17	B	1	PD28DEC17	2468.50	2563.60	USD	2,377.50	
03OCT17	S	1	PD28DEC17	2591.44	2563.60	USD	696.00	
	NET	0 AVG	BGHT 2468.50				3,073.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	3022.50	3241.50	USD	-5,475.00	
16AUG17	S	1	PD16NOV17	3023.50	3241.50	USD	-5,450.00	
16AUG17	B	1	PD16NOV17	3120.00	3241.50	USD	3,037.50	
16AUG17	B	1	PD16NOV17	3121.00	3241.50	USD	3,012.50	
	NET	0 AVG	BGHT 3120.50				-4,875.00	0.00
31AUG17	S	1	PD30NOV17	3127.50	3233.67	USD	-2,654.25	
31AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
13SEP17	B	2	PD30NOV17	3023.25	3233.67	USD	10,521.00	
	NET	0 AVG	BGHT 3023.25				5,225.00	0.00
01SEP17	B	1	PD01DEC17	3208.50	3233.33	USD	620.75	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
13SEP17	S	2	PD01DEC17	3023.11	3233.33	USD	-10,511.00	
	NET	0 AVG	BGHT 3208.25				-9,257.00	0.00
06SEP17	B	2	PD06DEC17	3097.00	3232.33	USD	6,766.50	
13SEP17	S	2	PD06DEC17	3023.63	3232.33	USD	-10,435.00	
	NET	0 AVG	BGHT 3097.00				-3,668.50	0.00
07SEP17	S	1	PD07DEC17	3085.50	3232.00	USD	-3,662.50	
07SEP17	S	1	PD07DEC17	3088.50	3232.00	USD	-3,587.50	
13SEP17	B	2	PD07DEC17	3023.89	3232.00	USD	10,405.50	
	NET	0 AVG	BGHT 3023.89				3,155.50	0.00
19SEP17	S	1	PD19DEC17	3101.00	3229.33	USD	-3,208.25	
28SEP17	B	1	PD19DEC17	3151.00	3229.33	USD	1,958.25	
	NET	0 AVG	BGHT 3151.00				-1,250.00	0.00
26SEP17	B	1	PD27DEC17	3149.00	3224.00	USD	1,875.00	
28SEP17	S	1	PD27DEC17	3147.05	3224.00	USD	-1,923.75	
	NET	0 AVG	BGHT 3149.00				-48.75	0.00
28SEP17	B	1	PD28DEC17	3163.00	3223.50	USD	1,512.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	B	1	PD28DEC17		3154.50	3223.50	USD	1,725.00	
03OCT17	S	2	PD28DEC17		3264.00	3223.50	USD	2,025.00	
	NET	0 AVG	BGHT 3158.75	SOLD 3264.00				5,262.50	0.00
29SEP17	B	1	PD29DEC17		3150.00	3223.00	USD	1,825.00	
29SEP17	S	1	PD29DEC17		3153.50	3223.00	USD	-1,737.50	
29SEP17	S	1	PD29DEC17		3149.50	3223.00	USD	-1,837.50	
29SEP17	S	1	PD29DEC17		3154.50	3223.00	USD	-1,712.50	
03OCT17	B	2	PD29DEC17		3264.20	3223.00	USD	-2,060.00	
	NET	0 AVG	BGHT 3226.13	SOLD 3152.50				-5,522.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,927.50
LME Lead 25 tonnes. This is an	FUTURES	-7,292.00
LME Zinc 25 tonnes. This is	FUTURES	-10,978.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,927.50	1,927.50		
LEAD - Futures USD	-7,292.00	-7,292.00		
ZINC - Futures USD	-10,978.75	-10,978.75	-16,343.25	-16,343.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	90,098.76	90,098.76
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	90,098.76	90,098.76
Initial Margins	-16,343.25	-16,343.25
Excess/(Shortage)	73,755.51	73,755.51
FOR INFORMATION ONLY		
Forward Unrealised P&L	-16,343.25	-16,343.25
Net Liquidating Value	73,755.51	73,755.51

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14JUL17	S	1	PD13OCT17	1924.00	2104.75	USD	-4,518.75	
07SEP17	B	1	PD13OCT17	2095.20	2104.75	USD	238.75	
	NET	0AVG	BGHT 2095.20	SOLD 1924.00			-4,280.00	0.00
03AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
03AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
03AUG17	B	1	PD03NOV17	1911.00	2111.44	USD	5,011.00	
04AUG17	B	2	PD03NOV17	1907.00	2111.44	USD	10,222.00	
04AUG17	B	1	PD03NOV17	1905.50	2111.44	USD	5,148.50	
07SEP17	S	3	PD03NOV17	2099.59	2111.44	USD	-888.75	
07SEP17	S	3	PD03NOV17	2099.79	2111.44	USD	-873.75	
	NET	0AVG	BGHT 1909.50	SOLD 2099.69			28,528.50	0.00
07AUG17	S	1	PD07NOV17	1926.00	2112.69	USD	-4,667.25	
07AUG17	S	1	PD07NOV17	1927.50	2112.69	USD	-4,629.75	
07AUG17	S	1	PD07NOV17	1928.00	2112.69	USD	-4,617.25	
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	S	1	PD07NOV17	1929.50	2112.69	USD	-4,579.75	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.25	
07AUG17	S	1	PD07NOV17	1948.50	2112.69	USD	-4,104.75	
07AUG17	S	2	PD07NOV17	1930.50	2112.69	USD	-9,109.50	
07AUG17	S	2	PD07NOV17	1926.50	2112.69	USD	-9,309.50	
07SEP17	B	5	PD07NOV17	2100.94	2112.69	USD	1,468.75	
07SEP17	B	8	PD07NOV17	2101.14	2112.69	USD	2,310.00	
03OCT17	S	1	PD07NOV17	2115.88	2112.69	USD	79.75	
	NET	0AVG	BGHT 2101.06	SOLD 1944.26			-50,961.00	0.00
10AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
10AUG17	B	1	PD10NOV17	2033.00	2113.93	USD	2,023.25	
10AUG17	B	1	PD10NOV17	2034.50	2113.93	USD	1,985.75	
10AUG17	B	2	PD10NOV17	2034.00	2113.93	USD	3,996.50	
10AUG17	B	1	PD10NOV17	2035.00	2113.93	USD	1,973.25	
07SEP17	S	6	PD10NOV17	2101.61	2113.93	USD	-1,848.00	
	NET	0AVG	BGHT 2034.00	SOLD 2101.61			10,141.50	0.00
16AUG17	B	1	PD16NOV17	2063.50	2116.56	USD	1,326.50	
16AUG17	B	1	PD16NOV17	2067.50	2116.56	USD	1,226.50	
07SEP17	S	2	PD16NOV17	2102.63	2116.56	USD	-696.50	
	NET	0AVG	BGHT 2065.50	SOLD 2102.63			1,856.50	0.00
17AUG17	S	1	PD17NOV17	2096.50	2116.87	USD	-509.25	
17AUG17	S	1	PD17NOV17	2098.00	2116.87	USD	-471.75	
07SEP17	B	2	PD17NOV17	2102.20	2116.87	USD	733.50	
	NET	0AVG	BGHT 2102.20	SOLD 2097.25			-247.50	0.00
05SEP17	S	1	PD05DEC17	2110.00	2122.43	USD	-310.75	
05SEP17	B	1	PD05DEC17	2096.00	2122.43	USD	660.75	
	NET	0AVG	BGHT 2096.00	SOLD 2110.00			350.00	0.00
07SEP17	B	1	PD07DEC17	2093.00	2123.04	USD	751.00	
07SEP17	S	1	PD07DEC17	2107.50	2123.04	USD	-388.50	
	NET	0AVG	BGHT 2093.00	SOLD 2107.50			362.50	0.00
26SEP17	B	1	PD27DEC17	2150.00	2127.29	USD	-567.75	
26SEP17	S	1	PD27DEC17	2126.00	2127.29	USD	-32.25	
	NET	0AVG	BGHT 2150.00	SOLD 2126.00			-600.00	0.00
06OCT17	B	1	PD05JAN18	2155.50	2128.85	USD	-666.25	
	NET	+1AVG	BGHT 2155.50				-666.25	0.00
09OCT17	S	1	PD09JAN18	2159.50	2129.62	USD	747.00	
09OCT17	B	1	PD09JAN18	2170.00	2129.62	USD	-1,009.50	
	NET	0AVG	BGHT 2170.00	SOLD 2159.50			-262.50	0.00
10OCT17	B	1	PD10JAN18	2165.50	2129.81	USD	-892.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET	+1AVG BGHT 2165.50					-892.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	B	1	PD13OCT17	2316.50	2552.75	USD	5,906.25	
13JUL17	B	1	PD13OCT17	2317.50	2552.75	USD	5,881.25	
14JUL17	S	1	PD13OCT17	2311.00	2552.75	USD	-6,043.75	
14JUL17	S	1	PD13OCT17	2316.50	2552.75	USD	-5,906.25	
	NET	0AVG BGHT 2317.00	SOLD 2313.75				-162.50	0.00
17JUL17	B	1	PD17OCT17	2317.50	2554.35	USD	5,921.25	
17JUL17	B	1	PD17OCT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B	1	PD17OCT17	2317.00	2554.35	USD	5,933.75	
17JUL17	B	1	PD17OCT17	2293.50	2554.35	USD	6,521.25	
07SEP17	S	4	PD17OCT17	2323.62	2554.35	USD	-23,073.00	
	NET	0AVG BGHT 2313.13	SOLD 2323.62				1,049.50	0.00
18JUL17	S	1	PD18OCT17	2292.50	2554.75	USD	-6,556.25	
18JUL17	S	1	PD18OCT17	2288.00	2554.75	USD	-6,668.75	
18JUL17	S	1	PD18OCT17	2285.00	2554.75	USD	-6,743.75	
18JUL17	B	1	PD18OCT17	2275.00	2554.75	USD	6,993.75	
18JUL17	S	1	PD18OCT17	2275.50	2554.75	USD	-6,981.25	
07SEP17	B	3	PD18OCT17	2324.20	2554.75	USD	17,291.25	
	NET	0AVG BGHT 2311.90	SOLD 2285.25				-2,665.00	0.00
21JUL17	S	1	PD20OCT17	2246.00	2555.04	USD	-7,726.00	
21JUL17	B	1	PD20OCT17	2246.50	2555.04	USD	7,713.50	
21JUL17	S	1	PD20OCT17	2237.00	2555.04	USD	-7,951.00	
07SEP17	B	1	PD20OCT17	2323.70	2555.04	USD	5,783.50	
	NET	0AVG BGHT 2285.10	SOLD 2241.50				-2,180.00	0.00
24JUL17	B	1	PD24OCT17	2260.00	2555.61	USD	7,390.25	
07SEP17	S	1	PD24OCT17	2325.12	2555.61	USD	-5,762.25	
	NET	0AVG BGHT 2260.00	SOLD 2325.12				1,628.00	0.00
25JUL17	S	1	PD25OCT17	2310.00	2555.75	USD	-6,143.75	
07SEP17	B	1	PD25OCT17	2325.72	2555.75	USD	5,750.75	
	NET	0AVG BGHT 2325.72	SOLD 2310.00				-393.00	0.00
08AUG17	B	1	PD08NOV17	2389.00	2557.75	USD	4,218.75	
08AUG17	S	1	PD08NOV17	2390.00	2557.75	USD	-4,193.75	
	NET	0AVG BGHT 2389.00	SOLD 2390.00				25.00	0.00
09AUG17	B	1	PD09NOV17	2363.50	2557.89	USD	4,859.75	
07SEP17	S	1	PD09NOV17	2331.58	2557.89	USD	-5,657.75	
	NET	0AVG BGHT 2363.50	SOLD 2331.58				-798.00	0.00
10AUG17	S	1	PD10NOV17	2386.00	2558.04	USD	-4,301.00	
10AUG17	B	1	PD10NOV17	2369.00	2558.04	USD	4,726.00	
10AUG17	S	1	PD10NOV17	2364.50	2558.04	USD	-4,838.50	
11AUG17	B	1	PD10NOV17	2356.00	2558.04	USD	5,051.00	
11AUG17	S	1	PD10NOV17	2342.00	2558.04	USD	-5,401.00	
11AUG17	B	1	PD10NOV17	2345.00	2558.04	USD	5,326.00	
11AUG17	S	1	PD10NOV17	2352.00	2558.04	USD	-5,151.00	
11AUG17	B	1	PD10NOV17	2336.50	2558.04	USD	5,538.50	
	NET	0AVG BGHT 2351.63	SOLD 2361.13				950.00	0.00
16AUG17	B	1	PD16NOV17	2392.00	2558.75	USD	4,168.75	
16AUG17	S	1	PD16NOV17	2387.50	2558.75	USD	-4,281.25	
16AUG17	S	1	PD16NOV17	2420.00	2558.75	USD	-3,468.75	
16AUG17	B	1	PD16NOV17	2421.00	2558.75	USD	3,443.75	
16AUG17	S	1	PD16NOV17	2418.50	2558.75	USD	-3,506.25	
07SEP17	B	1	PD16NOV17	2333.95	2558.75	USD	5,620.00	
	NET	0AVG BGHT 2382.32	SOLD 2408.67				1,976.25	0.00
17AUG17	B	1	PD17NOV17	2531.00	2558.75	USD	693.75	
17AUG17	S	1	PD17NOV17	2532.50	2558.75	USD	-656.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	2509.00	2558.75	USD	-1,243.75	
17AUG17	B	1	PD17NOV17	2468.00	2558.75	USD	2,268.75	
	NET	0AVG BGHT 2499.50	SOLD 2520.75				1,062.50	0.00
19SEP17	B	1	PD19DEC17	2448.00	2564.25	USD	2,906.25	
19SEP17	S	1	PD19DEC17	2448.00	2564.25	USD	-2,906.25	
	NET	0AVG BGHT 2448.00	SOLD 2448.00				0.00	0.00
21SEP17	B	1	PD21DEC17	2475.50	2563.50	USD	2,200.00	
21SEP17	S	1	PD21DEC17	2474.00	2563.50	USD	-2,237.50	
21SEP17	S	1	PD21DEC17	2475.00	2563.50	USD	-2,212.50	
21SEP17	B	1	PD21DEC17	2487.50	2563.50	USD	1,900.00	
21SEP17	S	1	PD21DEC17	2502.50	2563.50	USD	-1,525.00	
21SEP17	B	1	PD21DEC17	2477.00	2563.50	USD	2,162.50	
	NET	0AVG BGHT 2480.00	SOLD 2483.83				287.50	0.00
22SEP17	S	1	PD22DEC17	2477.50	2563.50	USD	-2,150.00	
22SEP17	S	1	PD22DEC17	2480.00	2563.50	USD	-2,087.50	
22SEP17	B	1	PD22DEC17	2488.00	2563.50	USD	1,887.50	
22SEP17	B	1	PD22DEC17	2490.00	2563.50	USD	1,837.50	
	NET	0AVG BGHT 2489.00	SOLD 2478.75				-512.50	0.00
28SEP17	B	1	PD28DEC17	2476.00	2563.60	USD	2,190.00	
03OCT17	S	1	PD28DEC17	2591.64	2563.60	USD	701.00	
	NET	0AVG BGHT 2476.00	SOLD 2591.64				2,891.00	0.00
29SEP17	S	1	PD29DEC17	2486.00	2563.70	USD	-1,942.50	
03OCT17	B	1	PD29DEC17	2591.63	2563.70	USD	-698.25	
	NET	0AVG BGHT 2591.63	SOLD 2486.00				-2,640.75	0.00
10OCT17	S	1	PD10JAN18	2555.00	2564.90	USD	-247.50	
	NET	-1AVG SOLD 2555.00					-247.50	0.00
11OCT17	B	1	PD11JAN18	2517.00	2565.00	USD	1,200.00	
	NET	+1AVG BGHT 2517.00					1,200.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD24OCT17	9610.00	11070.79	USD	8,764.74	
24JUL17	S	1	PD24OCT17	9615.00	11070.79	USD	-8,734.74	
	NET	0AVG BGHT 9610.00	SOLD 9615.00				30.00	0.00
31JUL17	B	1	PD31OCT17	10275.00	11077.54	USD	4,815.24	
31JUL17	B	1	PD31OCT17	10280.00	11077.54	USD	4,785.24	
31JUL17	B	1	PD31OCT17	10270.00	11077.54	USD	4,845.24	
31JUL17	B	1	PD31OCT17	10260.00	11077.54	USD	4,905.24	
31JUL17	B	1	PD31OCT17	10285.00	11077.54	USD	4,755.24	
31JUL17	B	1	PD31OCT17	10230.00	11077.54	USD	5,085.24	
31JUL17	B	1	PD31OCT17	10240.00	11077.54	USD	5,025.24	
31JUL17	B	1	PD31OCT17	10250.00	11077.54	USD	4,965.24	
31JUL17	B	1	PD31OCT17	10225.00	11077.54	USD	5,115.24	
07SEP17	S	9	PD31OCT17	12124.66	11077.54	USD	56,544.48	
	NET	0AVG BGHT 10257.22	SOLD 12124.66				100,841.64	0.00
01AUG17	B	1	PD01NOV17	10150.00	11078.50	USD	5,571.00	
07SEP17	S	1	PD01NOV17	12125.52	11078.50	USD	6,282.12	
	NET	0AVG BGHT 10150.00	SOLD 12125.52				11,853.12	0.00
03AUG17	S	1	PD03NOV17	10370.00	11080.43	USD	-4,262.58	
03AUG17	S	1	PD03NOV17	10375.00	11080.43	USD	-4,232.58	
03AUG17	B	1	PD03NOV17	10375.00	11080.43	USD	4,232.58	
04AUG17	S	1	PD03NOV17	10340.00	11080.43	USD	-4,442.58	
04AUG17	S	1	PD03NOV17	10390.00	11080.43	USD	-4,142.58	
04AUG17	S	1	PD03NOV17	10410.00	11080.43	USD	-4,022.58	
07SEP17	B	4	PD03NOV17	12128.23	11080.43	USD	-25,147.20	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	11777.58				-42,017.52	0.00
07AUG17	S	1	PD07NOV17		10360.00	11084.29	USD	-4,345.74	
07SEP17	B	1	PD07NOV17		12131.65	11084.29	USD	-6,284.16	
	NET	0 AVG	BGHT	12131.65				-10,629.90	0.00
10AUG17	S	2	PD10NOV17		10795.00	11087.18	USD	-3,506.16	
10AUG17	S	1	PD10NOV17		10780.00	11087.18	USD	-1,843.08	
10AUG17	S	2	PD10NOV17		10800.00	11087.18	USD	-3,446.16	
11AUG17	B	1	PD10NOV17		10640.00	11087.18	USD	2,683.08	
11AUG17	B	1	PD10NOV17		10615.00	11087.18	USD	2,833.08	
07SEP17	B	3	PD10NOV17		12134.22	11087.18	USD	-18,846.72	
	NET	0 AVG	BGHT	11531.53				-22,125.96	0.00
14AUG17	B	1	PD14NOV17		10565.00	11091.04	USD	3,156.24	
14AUG17	B	1	PD14NOV17		10550.00	11091.04	USD	3,246.24	
07SEP17	S	2	PD14NOV17		12136.64	11091.04	USD	12,547.20	
	NET	0 AVG	BGHT	10557.50				18,949.68	0.00
21AUG17	S	1	PD21NOV17		11255.00	11096.63	USD	950.22	
21AUG17	S	1	PD21NOV17		11265.00	11096.63	USD	1,010.22	
07SEP17	B	2	PD21NOV17		12142.07	11096.63	USD	-12,545.28	
	NET	0 AVG	BGHT	12142.07				-10,584.84	0.00
23AUG17	S	1	PD24NOV17		11550.00	11098.94	USD	2,706.36	
23AUG17	S	1	PD24NOV17		11555.00	11098.94	USD	2,736.36	
07SEP17	B	2	PD24NOV17		12143.86	11098.94	USD	-12,539.04	
	NET	0 AVG	BGHT	12143.86				-7,096.32	0.00
01SEP17	S	2	PD01DEC17		12065.00	11104.34	USD	11,527.92	
01SEP17	S	1	PD01DEC17		12070.00	11104.34	USD	5,793.96	
01SEP17	B	1	PD01DEC17		12020.00	11104.34	USD	-5,493.96	
01SEP17	B	1	PD01DEC17		12040.00	11104.34	USD	-5,613.96	
01SEP17	B	1	PD01DEC17		12025.00	11104.34	USD	-5,523.96	
	NET	0 AVG	BGHT	12028.33				690.00	0.00
08SEP17	B	1	PD08DEC17		12000.00	11109.74	USD	-5,341.56	
08SEP17	B	1	PD08DEC17		11990.00	11109.74	USD	-5,281.56	
03OCT17	S	2	PD08DEC17		10596.37	11109.74	USD	-6,160.44	
	NET	0 AVG	BGHT	11995.00				-16,783.56	0.00
18SEP17	S	1	PD18DEC17		11185.00	11117.46	USD	405.24	
03OCT17	B	1	PD18DEC17		10606.23	11117.46	USD	3,067.38	
	NET	0 AVG	BGHT	10606.23				3,472.62	0.00
19SEP17	S	1	PD19DEC17		11010.00	11118.23	USD	-649.38	
03OCT17	B	1	PD19DEC17		10607.11	11118.23	USD	3,066.72	
	NET	0 AVG	BGHT	10607.11				2,417.34	0.00
04OCT17	B	1	PD04JAN18		10805.00	11129.91	USD	1,949.46	
04OCT17	S	1	PD04JAN18		10620.00	11129.91	USD	-3,059.46	
	NET	0 AVG	BGHT	10805.00				-1,110.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
14JUL17	B	1	PD13OCT17		2771.00	3295.00	USD	13,100.00	
14JUL17	B	1	PD13OCT17		2765.00	3295.00	USD	13,250.00	
14JUL17	B	1	PD13OCT17		2765.50	3295.00	USD	13,237.50	
14JUL17	S	1	PD13OCT17		2790.00	3295.00	USD	-12,625.00	
14JUL17	S	1	PD13OCT17		2786.00	3295.00	USD	-12,725.00	
14JUL17	S	1	PD13OCT17		2787.00	3295.00	USD	-12,700.00	
	NET	0 AVG	BGHT	2767.17				1,537.50	0.00
17JUL17	S	1	PD17OCT17		2815.00	3286.33	USD	-11,783.25	
07SEP17	B	1	PD17OCT17		3126.00	3286.33	USD	4,008.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3126.00	SOLD 2815.00				-7,775.00	0.00
18JUL17	B	1 PD180CT17		2796.50	3282.00	USD	12,137.50	
18JUL17	S	1 PD180CT17		2795.00	3282.00	USD	-12,175.00	
	NET	0 AVG BGHT 2796.50	SOLD 2795.00				-37.50	0.00
21JUL17	B	1 PD200CT17		2756.50	3273.00	USD	12,912.50	
21JUL17	S	1 PD200CT17		2771.50	3273.00	USD	-12,537.50	
21JUL17	B	1 PD200CT17		2754.00	3273.00	USD	12,975.00	
07SEP17	S	1 PD200CT17		3124.30	3273.00	USD	-3,717.50	
	NET	0 AVG BGHT 2755.25	SOLD 2947.90				9,632.50	0.00
24JUL17	S	1 PD240CT17		2784.50	3267.00	USD	-12,062.50	
24JUL17	B	1 PD240CT17		2780.50	3267.00	USD	12,162.50	
24JUL17	B	1 PD240CT17		2787.00	3267.00	USD	12,000.00	
24JUL17	B	2 PD240CT17		2781.00	3267.00	USD	24,300.00	
24JUL17	B	2 PD240CT17		2783.00	3267.00	USD	24,200.00	
24JUL17	S	5 PD240CT17		2787.80	3267.00	USD	-59,900.00	
	NET	0 AVG BGHT 2782.58	SOLD 2787.25				700.00	0.00
25JUL17	S	1 PD250CT17		2827.50	3264.00	USD	-10,912.50	
25JUL17	B	2 PD250CT17		2839.00	3264.00	USD	21,250.00	
25JUL17	S	1 PD250CT17		2838.00	3264.00	USD	-10,650.00	
	NET	0 AVG BGHT 2839.00	SOLD 2832.75				-312.50	0.00
27JUL17	B	1 PD270CT17		2823.50	3260.67	USD	10,929.25	
27JUL17	B	2 PD270CT17		2810.50	3260.67	USD	22,508.50	
27JUL17	B	1 PD270CT17		2814.00	3260.67	USD	11,166.75	
27JUL17	S	4 PD270CT17		2800.80	3260.67	USD	-45,987.00	
28JUL17	S	2 PD270CT17		2790.00	3260.67	USD	-23,533.50	
28JUL17	S	1 PD270CT17		2776.00	3260.67	USD	-12,116.75	
07SEP17	B	3 PD270CT17		3125.24	3260.67	USD	10,157.25	
	NET	0 AVG BGHT 2947.75	SOLD 2794.17				-26,875.50	0.00
31JUL17	S	1 PD310CT17		2803.00	3257.33	USD	-11,358.25	
07SEP17	B	1 PD310CT17		3125.66	3257.33	USD	3,291.75	
	NET	0 AVG BGHT 3125.66	SOLD 2803.00				-8,066.50	0.00
01AUG17	S	1 PD01NOV17		2778.50	3255.67	USD	-11,929.25	
07SEP17	B	1 PD01NOV17		3125.76	3255.67	USD	3,247.75	
	NET	0 AVG BGHT 3125.76	SOLD 2778.50				-8,681.50	0.00
03OCT17	S	5 PD02NOV17		3264.00	3254.00	USD	1,250.00	
03OCT17	S	8 PD02NOV17		3273.50	3254.00	USD	3,900.00	
11OCT17	B	1 PD02NOV17		3259.50	3254.00	USD	-137.50	
11OCT17	B	1 PD02NOV17		3242.00	3254.00	USD	300.00	
11OCT17	B	1 PD02NOV17		3262.50	3254.00	USD	-212.50	
	NET	-10 AVG BGHT 3254.67	SOLD 3269.85				5,100.00	0.00
03AUG17	B	1 PD03NOV17		2818.00	3252.89	USD	10,872.25	
04AUG17	B	1 PD03NOV17		2821.50	3252.89	USD	10,784.75	
04AUG17	B	1 PD03NOV17		2823.00	3252.89	USD	10,747.25	
04AUG17	B	1 PD03NOV17		2813.50	3252.89	USD	10,984.75	
07SEP17	S	4 PD03NOV17		3125.77	3252.89	USD	-12,712.00	
	NET	0 AVG BGHT 2819.00	SOLD 3125.77				30,677.00	0.00
07AUG17	S	1 PD07NOV17		2826.50	3250.67	USD	-10,604.25	
07AUG17	S	1 PD07NOV17		2827.00	3250.67	USD	-10,591.75	
07AUG17	S	1 PD07NOV17		2827.50	3250.67	USD	-10,579.25	
07AUG17	S	1 PD07NOV17		2824.50	3250.67	USD	-10,654.25	
07AUG17	S	1 PD07NOV17		2831.50	3250.67	USD	-10,479.25	
07AUG17	B	2 PD07NOV17		2867.50	3250.67	USD	19,158.50	
07AUG17	B	1 PD07NOV17		2870.00	3250.67	USD	9,516.75	
07AUG17	B	1 PD07NOV17		2871.00	3250.67	USD	9,491.75	

OPEN POSITION STATEMENT



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SAP0103J

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	2	PD07NOV17	2867.00	3250.67	USD	19,183.50	
07SEP17	S	1	PD07NOV17	3126.19	3250.67	USD	-3,112.00	
07SEP17	B	1	PD07NOV17	3126.39	3250.67	USD	3,107.00	
03OCT17	S	1	PD07NOV17	3272.00	3250.67	USD	533.25	
	NET	0 AVG BGHT 2905.20	SOLD 2933.60				4,970.00	0.00
08AUG17	S	1	PD08NOV17	2923.00	3249.56	USD	-8,164.00	
08AUG17	B	1	PD08NOV17	2926.00	3249.56	USD	8,089.00	
	NET	0 AVG BGHT 2926.00	SOLD 2923.00				-75.00	0.00
09AUG17	S	1	PD09NOV17	2939.00	3248.44	USD	-7,736.00	
09AUG17	B	1	PD09NOV17	2967.00	3248.44	USD	7,036.00	
09AUG17	B	1	PD09NOV17	2979.50	3248.44	USD	6,723.50	
09AUG17	S	1	PD09NOV17	2942.00	3248.44	USD	-7,661.00	
09AUG17	S	1	PD09NOV17	2956.50	3248.44	USD	-7,298.50	
09AUG17	S	1	PD09NOV17	2957.00	3248.44	USD	-7,286.00	
09AUG17	S	1	PD09NOV17	2979.50	3248.44	USD	-6,723.50	
09AUG17	S	1	PD09NOV17	2942.50	3248.44	USD	-7,648.50	
17AUG17	B	3	PD09NOV17	3061.83	3248.44	USD	13,995.75	
03OCT17	B	1	PD09NOV17	3272.20	3248.44	USD	-594.00	
	NET	0 AVG BGHT 3067.37	SOLD 2952.75				-17,192.25	0.00
10AUG17	B	1	PD10NOV17	2949.00	3247.33	USD	7,458.25	
10AUG17	S	1	PD10NOV17	2948.50	3247.33	USD	-7,470.75	
10AUG17	S	1	PD10NOV17	2945.00	3247.33	USD	-7,558.25	
10AUG17	S	1	PD10NOV17	2943.00	3247.33	USD	-7,608.25	
10AUG17	B	1	PD10NOV17	2928.50	3247.33	USD	7,970.75	
10AUG17	S	1	PD10NOV17	2938.00	3247.33	USD	-7,733.25	
11AUG17	S	1	PD10NOV17	2926.00	3247.33	USD	-8,033.25	
11AUG17	B	1	PD10NOV17	2904.50	3247.33	USD	8,570.75	
11AUG17	S	1	PD10NOV17	2911.50	3247.33	USD	-8,395.75	
11AUG17	S	1	PD10NOV17	2914.00	3247.33	USD	-8,333.25	
11AUG17	S	1	PD10NOV17	2918.50	3247.33	USD	-8,220.75	
11AUG17	S	1	PD10NOV17	2920.00	3247.33	USD	-8,183.25	
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11AUG17	S	1	PD10NOV17	2909.00	3247.33	USD	-8,458.25	
17AUG17	B	4	PD10NOV17	3062.14	3247.33	USD	18,519.00	
07SEP17	B	2	PD10NOV17	3126.83	3247.33	USD	6,025.00	
	NET	0 AVG BGHT 3019.37	SOLD 2927.35				-23,005.50	0.00
14AUG17	B	1	PD14NOV17	2904.50	3245.11	USD	8,515.25	
14AUG17	S	2	PD14NOV17	2916.50	3245.11	USD	-16,430.50	
14AUG17	B	1	PD14NOV17	2920.00	3245.11	USD	8,127.75	
14AUG17	S	1	PD14NOV17	2917.50	3245.11	USD	-8,190.25	
14AUG17	S	1	PD14NOV17	2918.00	3245.11	USD	-8,177.75	
07SEP17	B	2	PD14NOV17	3127.50	3245.11	USD	5,880.50	
	NET	0 AVG BGHT 3019.88	SOLD 2917.13				-10,275.00	0.00
16AUG17	S	1	PD16NOV17	2978.00	3241.50	USD	-6,587.50	
16AUG17	S	1	PD16NOV17	2980.00	3241.50	USD	-6,537.50	
16AUG17	B	1	PD16NOV17	2977.00	3241.50	USD	6,612.50	
16AUG17	B	1	PD16NOV17	2981.00	3241.50	USD	6,512.50	
16AUG17	S	1	PD16NOV17	2977.00	3241.50	USD	-6,612.50	
16AUG17	S	1	PD16NOV17	3019.50	3241.50	USD	-5,550.00	
16AUG17	S	1	PD16NOV17	3024.00	3241.50	USD	-5,437.50	
16AUG17	S	1	PD16NOV17	3020.50	3241.50	USD	-5,525.00	
16AUG17	S	1	PD16NOV17	3021.00	3241.50	USD	-5,512.50	
16AUG17	S	1	PD16NOV17	3022.50	3241.50	USD	-5,475.00	
16AUG17	S	1	PD16NOV17	3024.50	3241.50	USD	-5,425.00	
16AUG17	S	1	PD16NOV17	3027.50	3241.50	USD	-5,350.00	
16AUG17	S	2	PD16NOV17	3027.00	3241.50	USD	-10,725.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	3028.00	3241.50	USD	-5,337.50	
16AUG17	B	8	PD16NOV17	3119.20	3241.50	USD	24,460.00	
07SEP17	B	3	PD16NOV17	3125.25	3241.50	USD	8,718.75	
	NET	0 AVG	BGHT 3099.03	SOLD 3013.58			-27,771.25	0.00
17AUG17	B	1	PD17NOV17	3126.00	3239.00	USD	2,825.00	
17AUG17	B	1	PD17NOV17	3129.50	3239.00	USD	2,737.50	
17AUG17	B	1	PD17NOV17	3131.50	3239.00	USD	2,687.50	
17AUG17	B	1	PD17NOV17	3115.50	3239.00	USD	3,087.50	
17AUG17	B	1	PD17NOV17	3094.00	3239.00	USD	3,625.00	
17AUG17	B	1	PD17NOV17	3093.00	3239.00	USD	3,650.00	
17AUG17	B	1	PD17NOV17	3096.00	3239.00	USD	3,575.00	
17AUG17	B	1	PD17NOV17	3098.00	3239.00	USD	3,525.00	
18AUG17	S	1	PD17NOV17	3131.50	3239.00	USD	-2,687.50	
07SEP17	S	7	PD17NOV17	3125.05	3239.00	USD	-19,941.25	
	NET	0 AVG	BGHT 3110.44	SOLD 3125.86			3,083.75	0.00
22AUG17	B	1	PD22NOV17	3151.00	3236.00	USD	2,125.00	
07SEP17	S	1	PD22NOV17	3126.48	3236.00	USD	-2,738.00	
	NET	0 AVG	BGHT 3151.00	SOLD 3126.48			-613.00	0.00
29AUG17	S	1	PD29NOV17	3108.50	3234.00	USD	-3,137.50	
29AUG17	B	1	PD29NOV17	3090.00	3234.00	USD	3,600.00	
	NET	0 AVG	BGHT 3090.00	SOLD 3108.50			462.50	0.00
31AUG17	S	3	PD30NOV17	3125.00	3233.67	USD	-8,150.25	
31AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
31AUG17	S	2	PD30NOV17	3127.00	3233.67	USD	-5,333.50	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
31AUG17	S	2	PD30NOV17	3141.50	3233.67	USD	-4,608.50	
31AUG17	S	1	PD30NOV17	3136.00	3233.67	USD	-2,441.75	
31AUG17	S	1	PD30NOV17	3123.00	3233.67	USD	-2,766.75	
31AUG17	S	2	PD30NOV17	3128.00	3233.67	USD	-5,283.50	
31AUG17	S	3	PD30NOV17	3127.50	3233.67	USD	-7,962.75	
31AUG17	B	17	PD30NOV17	3146.20	3233.67	USD	37,174.75	
	NET	0 AVG	BGHT 3146.20	SOLD 3128.56			-7,497.50	0.00
01SEP17	S	1	PD01DEC17	3186.00	3233.33	USD	-1,183.25	
01SEP17	S	1	PD01DEC17	3187.00	3233.33	USD	-1,158.25	
03OCT17	B	2	PD01DEC17	3265.46	3233.33	USD	-1,606.50	
	NET	0 AVG	BGHT 3265.46	SOLD 3186.50			-3,948.00	0.00
04SEP17	B	1	PD04DEC17	3182.00	3233.00	USD	1,275.00	
04SEP17	B	1	PD04DEC17	3192.00	3233.00	USD	1,025.00	
03OCT17	S	2	PD04DEC17	3265.59	3233.00	USD	1,629.50	
	NET	0 AVG	BGHT 3187.00	SOLD 3265.59			3,929.50	0.00
18SEP17	B	1	PD18DEC17	3065.00	3229.67	USD	4,116.75	
18SEP17	S	1	PD18DEC17	3085.50	3229.67	USD	-3,604.25	
	NET	0 AVG	BGHT 3065.00	SOLD 3085.50			512.50	0.00
03OCT17	S	2	PD03JAN18	3222.00	3222.00	USD	0.00	
03OCT17	S	1	PD03JAN18	3223.50	3222.00	USD	37.50	
03OCT17	S	1	PD03JAN18	3236.00	3222.00	USD	350.00	
03OCT17	S	1	PD03JAN18	3239.00	3222.00	USD	425.00	
03OCT17	S	1	PD03JAN18	3260.50	3222.00	USD	962.50	
03OCT17	S	1	PD03JAN18	3263.00	3222.00	USD	1,025.00	
03OCT17	S	1	PD03JAN18	3265.00	3222.00	USD	1,075.00	
03OCT17	S	1	PD03JAN18	3259.00	3222.00	USD	925.00	
03OCT17	S	1	PD03JAN18	3255.00	3222.00	USD	825.00	
03OCT17	S	1	PD03JAN18	3270.00	3222.00	USD	1,200.00	
03OCT17	S	1	PD03JAN18	3267.00	3222.00	USD	1,125.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	1	PD03JAN18	3276.00	3222.00	USD	1,350.00	
03OCT17	B	5	PD03JAN18	3258.65	3222.00	USD	-4,581.25	
03OCT17	B	8	PD03JAN18	3264.20	3222.00	USD	-8,440.00	
	NET	0 AVG BGHT 3262.07	SOLD 3250.62				-3,721.25	0.00
11OCT17	S	1	PD11JAN18	3220.35	3219.00	USD	33.75	
11OCT17	S	1	PD11JAN18	3201.85	3219.00	USD	-428.75	
11OCT17	S	1	PD11JAN18	3225.35	3219.00	USD	158.75	
11OCT17	B	1	PD11JAN18	3208.00	3219.00	USD	275.00	
11OCT17	B	1	PD11JAN18	3219.00	3219.00	USD	0.00	
11OCT17	B	1	PD11JAN18	3225.00	3219.00	USD	-150.00	
	NET	0 AVG BGHT 3217.33	SOLD 3215.85				-111.25	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-123,137.00
LME Lead 25 tonnes. This is an	FUTURES	1,468.00
LME Nickel 6 tonnes. This is	FUTURES	27,906.30
LME Zinc 25 tonnes. This is	FUTURES	728,146.75

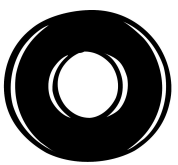
MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-16,670.50	-16,670.50		
LEAD	- Futures	USD	1,470.50	1,470.50		
NKL	- Futures	USD	27,906.30	27,906.30		
ZINC	- Futures	USD	-85,353.25	-85,353.25	-72,646.95	-72,646.95

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	100,788.04	100,788.04
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	100,788.04	100,788.04
Initial Margins	-133,938.95	-133,938.95
Excess/(Shortage)	-33,150.91	-33,150.91
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-72,646.95	-72,646.95
Net Liquidating Value	28,141.09	28,141.09

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0103**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,766,214.47	1,766,214.47
Variation Margins		
- Futures	-9,032.50	-9,032.50
- Options	0.00	0.00
TOTAL EQUITY	1,757,181.97	1,757,181.97
Initial Margins	-1,486,235.97	-1,486,235.97
Excess/(Shortage)	270,946.00	270,946.00
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-594,826.97	-594,826.97
Net Liquidating Value	1,162,355.00	1,162,355.00

OPEN POSITION STATEMENT



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SAP0104

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London W1J 7NJ UK
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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-973.87	-973.87
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-973.87	-973.87
Initial Margins	0.00	0.00
Excess/(Shortage)	-973.87	-973.87
FOR INFORMATION ONLY		
Net Liquidating Value	-973.87	-973.87

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0104A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
02OCT17	CMX GOLD 100 CMX S 1 DEC17 NET -1AVG SOLD 1273.9	1273.9	1288.9	USD	-1,500.00 -1,500.00	0.00
	NYM PLATINUM 50 NYM					
06SEP17	B 1 JAN18	1017.20	933.20	USD	-4,200.00	
06SEP17	B 10 JAN18	1016.90	933.20	USD	-41,850.00	
06SEP17	B 7 JAN18	1017.10	933.20	USD	-29,365.00	
	NET +18 AVG BGHT 1016.99				-75,415.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	127,390.00
NYM Platinum	FUTURES	-915,295.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-1,500.00	-1,500.00		
PLAT - Futures USD	-75,415.00	-75,415.00	-76,915.00	-76,915.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	2,590,254.47	2,590,254.47
Variation Margins - Futures	-76,915.00	-76,915.00
- Options	0.00	0.00
TOTAL EQUITY	2,513,339.47	2,513,339.47
Initial Margins	-30,940.00	-30,940.00
Excess/(Shortage)	2,482,399.47	2,482,399.47
FOR INFORMATION ONLY		
Net Liquidating Value	2,513,339.47	2,513,339.47

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0104**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		2,589,280.60	2,589,280.60
Variation Margins	- Futures	-76,915.00	-76,915.00
	- Options	0.00	0.00
TOTAL EQUITY		2,512,365.60	2,512,365.60
Initial Margins		-30,940.00	-30,940.00
Excess/(Shortage)		2,481,425.60	2,481,425.60
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value		2,512,365.60	2,512,365.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-463.32	-345,201.66	-345,750.42
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-463.32	-345,201.66	-345,750.42
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-463.32	-345,201.66	-345,750.42
FOR INFORMATION ONLY			
Net Liquidating Value	-463.32	-345,201.66	-345,750.42

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0107**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-463.32	-349,101.66	-349,650.42
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-463.32	-349,101.66	-349,650.42
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-463.32	-349,101.66	-349,650.42
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-463.32	-349,101.66	-349,650.42

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	982,658.51	982,658.51
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	982,658.51	982,658.51
Initial Margins	0.00	0.00
Excess/(Shortage)	982,658.51	982,658.51
FOR INFORMATION ONLY		
Net Liquidating Value	982,658.51	982,658.51

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13JUL17	S	1	PD130CT17	1902.00	2104.75	USD	-5,068.75	
13JUL17	S	1	PD130CT17	1905.00	2104.75	USD	-4,993.75	
13JUL17	S	1	PD130CT17	1909.00	2104.75	USD	-4,893.75	
13JUL17	B	2	PD130CT17	1931.00	2104.75	USD	8,687.50	
13JUL17	B	1	PD130CT17	1935.50	2104.75	USD	4,231.25	
14JUL17	B	1	PD130CT17	1926.00	2104.75	USD	4,468.75	
14JUL17	S	1	PD130CT17	1928.50	2104.75	USD	-4,406.25	
	NET	0 AVG BGHT 1930.88	SOLD 1911.13				-1,975.00	0.00
24JUL17	B	1	PD240CT17	1918.50	2110.25	USD	4,793.75	
24JUL17	B	1	PD240CT17	1920.50	2110.25	USD	4,743.75	
24JUL17	S	1	PD240CT17	1912.50	2110.25	USD	-4,943.75	
24JUL17	S	1	PD240CT17	1912.00	2110.25	USD	-4,956.25	
	NET	0 AVG BGHT 1919.50	SOLD 1912.25				-362.50	0.00
25JUL17	S	1	PD250CT17	1922.50	2110.75	USD	-4,706.25	
25JUL17	B	1	PD250CT17	1923.00	2110.75	USD	4,693.75	
	NET	0 AVG BGHT 1923.00	SOLD 1922.50				-12.50	0.00
01AUG17	B	1	PD01NOV17	1910.00	2110.81	USD	5,020.25	
01AUG17	B	1	PD01NOV17	1908.50	2110.81	USD	5,057.75	
01AUG17	B	1	PD01NOV17	1905.50	2110.81	USD	5,132.75	
01AUG17	S	1	PD01NOV17	1913.50	2110.81	USD	-4,932.75	
01AUG17	S	1	PD01NOV17	1910.00	2110.81	USD	-5,020.25	
03AUG17	S	1	PD01NOV17	1917.00	2110.81	USD	-4,845.25	
	NET	0 AVG BGHT 1908.00	SOLD 1913.50				412.50	0.00
02AUG17	S	1	PD02NOV17	1915.00	2111.13	USD	-4,903.25	
03AUG17	B	1	PD02NOV17	1917.79	2111.13	USD	4,833.50	
19SEP17	S	20	PD02NOV17	2109.96	2111.13	USD	-585.00	
20SEP17	S	4	PD02NOV17	2165.00	2111.13	USD	5,387.00	
20SEP17	S	3	PD02NOV17	2171.00	2111.13	USD	4,490.25	
20SEP17	S	5	PD02NOV17	2173.00	2111.13	USD	7,733.75	
05OCT17	B	22	PD02NOV17	2155.88	2111.13	USD	-24,612.50	
11OCT17	B	10	PD02NOV17	2137.15	2111.13	USD	-6,505.00	
	NET	0 AVG BGHT 2142.99	SOLD 2125.82				-14,161.25	0.00
03AUG17	B	1	PD03NOV17	1926.50	2111.44	USD	4,623.50	
03AUG17	B	1	PD03NOV17	1925.50	2111.44	USD	4,648.50	
03AUG17	B	1	PD03NOV17	1923.50	2111.44	USD	4,698.50	
03AUG17	B	1	PD03NOV17	1919.50	2111.44	USD	4,798.50	
03AUG17	B	1	PD03NOV17	1920.00	2111.44	USD	4,786.00	
03AUG17	B	1	PD03NOV17	1917.00	2111.44	USD	4,861.00	
03AUG17	B	1	PD03NOV17	1910.00	2111.44	USD	5,036.00	
03AUG17	S	1	PD03NOV17	1920.00	2111.44	USD	-4,786.00	
03AUG17	B	4	PD03NOV17	1913.00	2111.44	USD	19,844.00	
04AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
04AUG17	B	1	PD03NOV17	1912.50	2111.44	USD	4,973.50	
04AUG17	B	2	PD03NOV17	1909.00	2111.44	USD	10,122.00	
04AUG17	B	1	PD03NOV17	1906.00	2111.44	USD	5,136.00	
04AUG17	S	8	PD03NOV17	1910.50	2111.44	USD	-40,188.00	
04AUG17	S	1	PD03NOV17	1911.50	2111.44	USD	-4,998.50	
04AUG17	S	1	PD03NOV17	1913.00	2111.44	USD	-4,961.00	
04AUG17	B	1	PD03NOV17	1903.00	2111.44	USD	5,211.00	
04AUG17	S	1	PD03NOV17	1908.50	2111.44	USD	-5,073.50	
10AUG17	S	5	PD03NOV17	2035.81	2111.44	USD	-9,453.75	
	NET	0 AVG BGHT 1914.50	SOLD 1948.00				14,238.75	0.00
07AUG17	S	2	PD07NOV17	1923.00	2112.69	USD	-9,484.50	
07AUG17	S	1	PD07NOV17	1924.00	2112.69	USD	-4,717.25	
07AUG17	S	2	PD07NOV17	1926.00	2112.69	USD	-9,334.50	
07AUG17	B	1	PD07NOV17	1920.50	2112.69	USD	4,804.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
07AUG17	B	1	PD07NOV17	1921.50	2112.69	USD	4,779.75	
07AUG17	B	1	PD07NOV17	1924.50	2112.69	USD	4,704.75	
07AUG17	S	5	PD07NOV17	1930.00	2112.69	USD	-22,836.25	
07AUG17	S	2	PD07NOV17	1929.50	2112.69	USD	-9,159.50	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	B	2	PD07NOV17	1923.50	2112.69	USD	9,459.50	
07AUG17	S	4	PD07NOV17	1929.00	2112.69	USD	-18,369.00	
07AUG17	S	2	PD07NOV17	1937.00	2112.69	USD	-8,784.50	
07AUG17	S	1	PD07NOV17	1936.50	2112.69	USD	-4,404.75	
07AUG17	S	1	PD07NOV17	1929.50	2112.69	USD	-4,579.75	
07AUG17	S	2	PD07NOV17	1930.00	2112.69	USD	-9,134.50	
07AUG17	B	1	PD07NOV17	1923.00	2112.69	USD	4,742.25	
07AUG17	S	1	PD07NOV17	1943.00	2112.69	USD	-4,242.25	
07AUG17	S	1	PD07NOV17	1944.00	2112.69	USD	-4,217.25	
07AUG17	S	2	PD07NOV17	1948.50	2112.69	USD	-8,209.50	
07AUG17	S	4	PD07NOV17	1950.50	2112.69	USD	-16,219.00	
07AUG17	S	1	PD07NOV17	1967.50	2112.69	USD	-3,629.75	
07AUG17	S	1	PD07NOV17	1968.00	2112.69	USD	-3,617.25	
07AUG17	S	1	PD07NOV17	1972.50	2112.69	USD	-3,504.75	
07AUG17	S	2	PD07NOV17	1971.50	2112.69	USD	-7,059.50	
07AUG17	S	1	PD07NOV17	1973.50	2112.69	USD	-3,479.75	
07AUG17	S	1	PD07NOV17	1977.00	2112.69	USD	-3,392.25	
10AUG17	B	5	PD07NOV17	2036.69	2112.69	USD	9,500.00	
10AUG17	B	27	PD07NOV17	2036.49	2112.69	USD	51,435.00	
	NET	0 AVG BGHT 2018.56	SOLD 1941.18				-73,504.50	0.00
08AUG17	B	1	PD08NOV17	1974.00	2113.00	USD	3,475.00	
08AUG17	S	1	PD08NOV17	1985.00	2113.00	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	1988.50	2113.00	USD	-3,112.50	
08AUG17	S	1	PD08NOV17	1990.00	2113.00	USD	-3,075.00	
08AUG17	S	1	PD08NOV17	1992.50	2113.00	USD	-3,012.50	
08AUG17	B	2	PD08NOV17	1986.00	2113.00	USD	6,350.00	
08AUG17	B	1	PD08NOV17	1990.50	2113.00	USD	3,062.50	
08AUG17	S	1	PD08NOV17	2003.00	2113.00	USD	-2,750.00	
08AUG17	B	1	PD08NOV17	2001.00	2113.00	USD	2,800.00	
08AUG17	S	1	PD08NOV17	2000.50	2113.00	USD	-2,812.50	
08AUG17	B	1	PD08NOV17	2000.00	2113.00	USD	2,825.00	
08AUG17	S	1	PD08NOV17	2000.00	2113.00	USD	-2,825.00	
08AUG17	B	1	PD08NOV17	2010.00	2113.00	USD	2,575.00	
08AUG17	B	1	PD08NOV17	2008.00	2113.00	USD	2,625.00	
08AUG17	B	1	PD08NOV17	2009.00	2113.00	USD	2,600.00	
08AUG17	B	1	PD08NOV17	2005.00	2113.00	USD	2,700.00	
08AUG17	B	4	PD08NOV17	2001.50	2113.00	USD	11,150.00	
08AUG17	B	3	PD08NOV17	2004.00	2113.00	USD	8,175.00	
08AUG17	B	4	PD08NOV17	2003.50	2113.00	USD	10,950.00	
08AUG17	B	2	PD08NOV17	2004.50	2113.00	USD	5,425.00	
08AUG17	B	2	PD08NOV17	2014.50	2113.00	USD	4,925.00	
08AUG17	B	2	PD08NOV17	2014.00	2113.00	USD	4,950.00	
08AUG17	B	1	PD08NOV17	2012.00	2113.00	USD	2,525.00	
08AUG17	B	3	PD08NOV17	2011.50	2113.00	USD	7,612.50	
08AUG17	B	1	PD08NOV17	2013.50	2113.00	USD	2,487.50	
08AUG17	B	1	PD08NOV17	2016.00	2113.00	USD	2,425.00	
08AUG17	B	1	PD08NOV17	2004.00	2113.00	USD	2,725.00	
10AUG17	S	27	PD08NOV17	2036.46	2113.00	USD	-51,664.50	
	NET	0 AVG BGHT 2004.34	SOLD 2027.76				19,910.50	0.00
09AUG17	B	2	PD09NOV17	2034.00	2113.46	USD	3,973.00	
09AUG17	S	1	PD09NOV17	2042.00	2113.46	USD	-1,786.50	
09AUG17	B	1	PD09NOV17	2042.50	2113.46	USD	1,774.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

11th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
09AUG17	B	1	PD09NOV17	2041.50	2113.46	USD	1,799.00	
09AUG17	S	1	PD09NOV17	2041.50	2113.46	USD	-1,799.00	
09AUG17	S	2	PD09NOV17	2038.50	2113.46	USD	-3,748.00	
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	B	2	PD09NOV17	2034.50	2113.46	USD	3,948.00	
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
09AUG17	S	2	PD09NOV17	2040.00	2113.46	USD	-3,673.00	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50	
	NET	0 AVG BGHT 2035.38	SOLD 2038.88				700.00	0.00
10AUG17	B	1	PD10NOV17	2025.00	2113.93	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2019.50	2113.93	USD	2,360.75	
10AUG17	S	1	PD10NOV17	2029.00	2113.93	USD	-2,123.25	
10AUG17	S	1	PD10NOV17	2026.00	2113.93	USD	-2,198.25	
10AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2029.00	2113.93	USD	2,123.25	
10AUG17	B	1	PD10NOV17	2025.50	2113.93	USD	2,210.75	
10AUG17	B	1	PD10NOV17	2023.00	2113.93	USD	2,273.25	
10AUG17	B	1	PD10NOV17	2030.00	2113.93	USD	2,098.25	
10AUG17	B	1	PD10NOV17	2034.50	2113.93	USD	1,985.75	
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2039.50	2113.93	USD	1,860.75	
10AUG17	S	4	PD10NOV17	2039.00	2113.93	USD	-7,493.00	
10AUG17	S	4	PD10NOV17	2038.50	2113.93	USD	-7,543.00	
10AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
10AUG17	S	1	PD10NOV17	2040.50	2113.93	USD	-1,835.75	
10AUG17	S	2	PD10NOV17	2039.00	2113.93	USD	-3,746.50	
10AUG17	S	1	PD10NOV17	2040.50	2113.93	USD	-1,835.75	
11AUG17	B	1	PD10NOV17	2022.00	2113.93	USD	2,298.25	
11AUG17	B	2	PD10NOV17	2023.00	2113.93	USD	4,546.50	
11AUG17	S	1	PD10NOV17	2032.00	2113.93	USD	-2,048.25	
11AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
11AUG17	B	2	PD10NOV17	2025.00	2113.93	USD	4,446.50	
11AUG17	S	3	PD10NOV17	2034.00	2113.93	USD	-5,994.75	
11AUG17	S	1	PD10NOV17	2034.50	2113.93	USD	-1,985.75	
11AUG17	S	1	PD10NOV17	2036.50	2113.93	USD	-1,935.75	
11AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
11AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
11AUG17	B	1	PD10NOV17	2026.00	2113.93	USD	2,198.25	
11AUG17	S	1	PD10NOV17	2025.00	2113.93	USD	-2,223.25	
11AUG17	B	1	PD10NOV17	2042.00	2113.93	USD	1,798.25	
11AUG17	S	2	PD10NOV17	2041.00	2113.93	USD	-3,646.50	
11AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
11AUG17	B	1	PD10NOV17	2036.00	2113.93	USD	1,948.25	
11AUG17	S	1	PD10NOV17	2039.00	2113.93	USD	-1,873.25	
11AUG17	S	1	PD10NOV17	2045.00	2113.93	USD	-1,723.25	
11AUG17	S	2	PD10NOV17	2042.00	2113.93	USD	-3,596.50	
11AUG17	S	2	PD10NOV17	2041.50	2113.93	USD	-3,621.50	
11AUG17	S	2	PD10NOV17	2040.50	2113.93	USD	-3,671.50	
11AUG17	B	6	PD10NOV17	2048.00	2113.93	USD	9,889.50	
11AUG17	B	3	PD10NOV17	2047.50	2113.93	USD	4,982.25	
11AUG17	B	4	PD10NOV17	2046.50	2113.93	USD	6,743.00	
11AUG17	B	1	PD10NOV17	2045.50	2113.93	USD	1,710.75	
11AUG17	S	1	PD10NOV17	2043.50	2113.93	USD	-1,760.75	
	NET	0 AVG BGHT 2036.06	SOLD 2037.96				1,662.50	0.00
14AUG17	B	1	PD14NOV17	2031.50	2115.79	USD	2,107.25	

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14AUG17	B	1	PD14NOV17	2028.50	2115.79	USD	2,182.25	
14AUG17	B	1	PD14NOV17	2027.50	2115.79	USD	2,207.25	
14AUG17	B	3	PD14NOV17	2027.00	2115.79	USD	6,659.25	
14AUG17	B	2	PD14NOV17	2028.00	2115.79	USD	4,389.50	
14AUG17	B	1	PD14NOV17	2026.00	2115.79	USD	2,244.75	
14AUG17	B	1	PD14NOV17	2025.50	2115.79	USD	2,257.25	
14AUG17	S	1	PD14NOV17	2031.50	2115.79	USD	-2,107.25	
14AUG17	S	1	PD14NOV17	2030.50	2115.79	USD	-2,132.25	
14AUG17	S	1	PD14NOV17	2032.50	2115.79	USD	-2,082.25	
14AUG17	S	4	PD14NOV17	2032.00	2115.79	USD	-8,379.00	
14AUG17	S	2	PD14NOV17	2033.00	2115.79	USD	-4,139.50	
14AUG17	S	1	PD14NOV17	2034.50	2115.79	USD	-2,032.25	
	NET	0 AVG BGHT 2027.60	SOLD 2032.30				1,175.00	0.00
04SEP17	S	1	PD04DEC17	2126.50	2122.12	USD	109.50	
04SEP17	B	1	PD04DEC17	2126.50	2122.12	USD	-109.50	
	NET	0 AVG BGHT 2126.50	SOLD 2126.50				0.00	0.00
13SEP17	S	1	PD13DEC17	2133.00	2124.90	USD	202.50	
13SEP17	S	3	PD13DEC17	2136.00	2124.90	USD	832.50	
13SEP17	S	1	PD13DEC17	2135.50	2124.90	USD	265.00	
13SEP17	B	1	PD13DEC17	2128.50	2124.90	USD	-90.00	
13SEP17	B	1	PD13DEC17	2122.50	2124.90	USD	60.00	
13SEP17	B	1	PD13DEC17	2118.00	2124.90	USD	172.50	
13SEP17	B	1	PD13DEC17	2112.50	2124.90	USD	310.00	
13SEP17	S	1	PD13DEC17	2111.00	2124.90	USD	-347.50	
13SEP17	B	1	PD13DEC17	2114.00	2124.90	USD	272.50	
13SEP17	S	1	PD13DEC17	2112.50	2124.90	USD	-310.00	
15SEP17	B	1	PD13DEC17	2085.50	2124.90	USD	985.00	
19SEP17	B	1	PD13DEC17	2123.28	2124.90	USD	40.50	
	NET	0 AVG BGHT 2114.90	SOLD 2128.57				2,393.00	0.00
14SEP17	S	2	PD14DEC17	2124.00	2125.21	USD	-60.50	
14SEP17	S	3	PD14DEC17	2125.00	2125.21	USD	-15.75	
14SEP17	B	1	PD14DEC17	2115.50	2125.21	USD	242.75	
14SEP17	B	1	PD14DEC17	2113.50	2125.21	USD	292.75	
14SEP17	B	2	PD14DEC17	2112.50	2125.21	USD	635.50	
14SEP17	B	1	PD14DEC17	2112.00	2125.21	USD	330.25	
14SEP17	B	1	PD14DEC17	2090.00	2125.21	USD	880.25	
14SEP17	B	1	PD14DEC17	2088.50	2125.21	USD	917.75	
14SEP17	S	1	PD14DEC17	2104.50	2125.21	USD	-517.75	
15SEP17	S	1	PD14DEC17	2085.55	2125.21	USD	-991.50	
	NET	0 AVG BGHT 2106.36	SOLD 2116.15				1,713.75	0.00
15SEP17	B	1	PD15DEC17	2089.50	2125.51	USD	900.25	
15SEP17	S	1	PD15DEC17	2089.50	2125.51	USD	-900.25	
15SEP17	B	2	PD15DEC17	2089.00	2125.51	USD	1,825.50	
15SEP17	S	1	PD15DEC17	2093.00	2125.51	USD	-812.75	
15SEP17	S	1	PD15DEC17	2091.50	2125.51	USD	-850.25	
15SEP17	S	1	PD15DEC17	2094.50	2125.51	USD	-775.25	
15SEP17	S	1	PD15DEC17	2096.00	2125.51	USD	-737.75	
15SEP17	S	1	PD15DEC17	2100.50	2125.51	USD	-625.25	
15SEP17	S	2	PD15DEC17	2100.00	2125.51	USD	-1,275.50	
19SEP17	B	5	PD15DEC17	2123.85	2125.51	USD	207.50	
	NET	0 AVG BGHT 2110.84	SOLD 2095.63				-3,043.75	0.00
18SEP17	B	1	PD18DEC17	2094.00	2126.44	USD	811.00	
18SEP17	B	1	PD18DEC17	2096.00	2126.44	USD	761.00	
18SEP17	B	1	PD18DEC17	2092.00	2126.44	USD	861.00	
18SEP17	B	1	PD18DEC17	2091.00	2126.44	USD	886.00	
18SEP17	S	1	PD18DEC17	2102.50	2126.44	USD	-598.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18SEP17	B	1	PD18DEC17	2092.50	2126.44	USD	848.50	
18SEP17	B	1	PD18DEC17	2091.50	2126.44	USD	873.50	
18SEP17	B	1	PD18DEC17	2089.50	2126.44	USD	923.50	
19SEP17	S	6	PD18DEC17	2124.51	2126.44	USD	-289.50	
	NET	0 AVG	BGHT 2092.36				5,076.50	0.00
			SOLD 2121.37					
19SEP17	S	1	PD19DEC17	2104.50	2126.75	USD	-556.25	
19SEP17	S	1	PD19DEC17	2105.50	2126.75	USD	-531.25	
19SEP17	S	1	PD19DEC17	2106.00	2126.75	USD	-518.75	
19SEP17	S	1	PD19DEC17	2104.00	2126.75	USD	-568.75	
19SEP17	S	3	PD19DEC17	2105.00	2126.75	USD	-1,631.25	
19SEP17	S	1	PD19DEC17	2109.50	2126.75	USD	-431.25	
19SEP17	S	1	PD19DEC17	2110.00	2126.75	USD	-418.75	
19SEP17	S	1	PD19DEC17	2111.50	2126.75	USD	-381.25	
19SEP17	S	3	PD19DEC17	2112.00	2126.75	USD	-1,106.25	
19SEP17	S	1	PD19DEC17	2111.00	2126.75	USD	-393.75	
19SEP17	S	2	PD19DEC17	2115.00	2126.75	USD	-587.50	
19SEP17	S	1	PD19DEC17	2113.00	2126.75	USD	-343.75	
19SEP17	S	2	PD19DEC17	2112.50	2126.75	USD	-712.50	
19SEP17	S	1	PD19DEC17	2105.50	2126.75	USD	-531.25	
19SEP17	S	1	PD19DEC17	2129.50	2126.75	USD	68.75	
19SEP17	B	20	PD19DEC17	2125.20	2126.75	USD	775.00	
04OCT17	B	1	PD19DEC17	2162.72	2126.75	USD	-899.25	
	NET	0 AVG	BGHT 2126.99				-8,768.00	0.00
			SOLD 2110.29					
20SEP17	S	1	PD20DEC17	2142.00	2126.75	USD	381.25	
20SEP17	S	6	PD20DEC17	2144.50	2126.75	USD	2,662.50	
20SEP17	B	7	PD20DEC17	2145.00	2126.75	USD	-3,193.75	
20SEP17	S	1	PD20DEC17	2174.50	2126.75	USD	1,193.75	
20SEP17	S	2	PD20DEC17	2173.00	2126.75	USD	2,312.50	
20SEP17	S	1	PD20DEC17	2174.00	2126.75	USD	1,181.25	
20SEP17	S	2	PD20DEC17	2175.50	2126.75	USD	2,437.50	
20SEP17	S	1	PD20DEC17	2182.00	2126.75	USD	1,381.25	
20SEP17	S	1	PD20DEC17	2182.50	2126.75	USD	1,393.75	
20SEP17	S	1	PD20DEC17	2185.00	2126.75	USD	1,456.25	
20SEP17	S	1	PD20DEC17	2186.50	2126.75	USD	1,493.75	
20SEP17	S	1	PD20DEC17	2187.00	2126.75	USD	1,506.25	
20SEP17	S	1	PD20DEC17	2190.00	2126.75	USD	1,581.25	
20SEP17	S	1	PD20DEC17	2189.50	2126.75	USD	1,568.75	
20SEP17	B	1	PD20DEC17	2163.50	2126.75	USD	-918.75	
20SEP17	B	4	PD20DEC17	2180.35	2126.75	USD	-5,360.00	
20SEP17	B	3	PD20DEC17	2186.35	2126.75	USD	-4,470.00	
20SEP17	B	5	PD20DEC17	2188.35	2126.75	USD	-7,700.00	
	NET	0 AVG	BGHT 2170.04				-1,092.50	0.00
			SOLD 2167.85					
21SEP17	B	1	PD21DEC17	2159.50	2126.75	USD	-818.75	
21SEP17	S	1	PD21DEC17	2174.50	2126.75	USD	1,193.75	
21SEP17	S	1	PD21DEC17	2165.00	2126.75	USD	956.25	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	B	2	PD21DEC17	2142.00	2126.75	USD	-762.50	
	NET	0 AVG	BGHT 2147.83				1,637.50	0.00
			SOLD 2169.67					
22SEP17	B	9	PD22DEC17	2134.00	2126.75	USD	-1,631.25	
22SEP17	B	1	PD22DEC17	2131.00	2126.75	USD	-106.25	
22SEP17	B	1	PD22DEC17	2130.50	2126.75	USD	-93.75	
22SEP17	B	1	PD22DEC17	2127.50	2126.75	USD	-18.75	
22SEP17	B	1	PD22DEC17	2121.00	2126.75	USD	143.75	
22SEP17	B	2	PD22DEC17	2119.00	2126.75	USD	387.50	
22SEP17	B	1	PD22DEC17	2115.00	2126.75	USD	293.75	
22SEP17	S	1	PD22DEC17	2129.00	2126.75	USD	56.25	

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22SEP17	S	1	PD22DEC17	2130.50	2126.75	USD	93.75	
22SEP17	S	1	PD22DEC17	2140.50	2126.75	USD	343.75	
22SEP17	S	1	PD22DEC17	2146.00	2126.75	USD	481.25	
22SEP17	S	1	PD22DEC17	2148.50	2126.75	USD	543.75	
22SEP17	S	1	PD22DEC17	2149.00	2126.75	USD	556.25	
22SEP17	S	1	PD22DEC17	2149.50	2126.75	USD	568.75	
22SEP17	S	1	PD22DEC17	2153.00	2126.75	USD	656.25	
22SEP17	S	1	PD22DEC17	2154.00	2126.75	USD	681.25	
22SEP17	S	1	PD22DEC17	2153.50	2126.75	USD	668.75	
22SEP17	B	1	PD22DEC17	2151.50	2126.75	USD	-618.75	
22SEP17	S	3	PD22DEC17	2159.50	2126.75	USD	2,456.25	
22SEP17	S	1	PD22DEC17	2160.00	2126.75	USD	831.25	
22SEP17	S	1	PD22DEC17	2160.50	2126.75	USD	843.75	
22SEP17	S	2	PD22DEC17	2155.00	2126.75	USD	1,412.50	
	NET	0 AVG BGHT 2130.62	SOLD 2150.74				8,550.00	0.00
25SEP17	S	1	PD27DEC17	2154.50	2127.29	USD	680.25	
26SEP17	S	1	PD27DEC17	2158.00	2127.29	USD	767.75	
04OCT17	B	2	PD27DEC17	2164.40	2127.29	USD	-1,855.50	
	NET	0 AVG BGHT 2164.40	SOLD 2156.25				-407.50	0.00
29SEP17	S	1	PD29DEC17	2134.50	2127.50	USD	175.00	
29SEP17	S	1	PD29DEC17	2135.50	2127.50	USD	200.00	
29SEP17	S	1	PD29DEC17	2136.50	2127.50	USD	225.00	
29SEP17	B	1	PD29DEC17	2125.00	2127.50	USD	62.50	
29SEP17	B	2	PD29DEC17	2124.50	2127.50	USD	150.00	
29SEP17	B	1	PD29DEC17	2107.50	2127.50	USD	500.00	
29SEP17	B	1	PD29DEC17	2106.00	2127.50	USD	537.50	
29SEP17	B	1	PD29DEC17	2105.50	2127.50	USD	550.00	
04OCT17	S	3	PD29DEC17	2164.60	2127.50	USD	2,782.50	
	NET	0 AVG BGHT 2115.50	SOLD 2150.05				5,182.50	0.00
04OCT17	B	1	PD04JAN18	2148.00	2128.65	USD	-483.75	
04OCT17	B	1	PD04JAN18	2149.50	2128.65	USD	-521.25	
04OCT17	S	1	PD04JAN18	2158.00	2128.65	USD	733.75	
04OCT17	S	1	PD04JAN18	2160.00	2128.65	USD	783.75	
	NET	0 AVG BGHT 2148.75	SOLD 2159.00				512.50	0.00
05OCT17	B	1	PD05JAN18	2151.50	2128.85	USD	-566.25	
05OCT17	B	3	PD05JAN18	2148.50	2128.85	USD	-1,473.75	
05OCT17	B	2	PD05JAN18	2147.50	2128.85	USD	-932.50	
05OCT17	B	7	PD05JAN18	2147.00	2128.85	USD	-3,176.25	
05OCT17	B	2	PD05JAN18	2146.50	2128.85	USD	-882.50	
05OCT17	B	1	PD05JAN18	2167.50	2128.85	USD	-966.25	
05OCT17	B	1	PD05JAN18	2169.00	2128.85	USD	-1,003.75	
05OCT17	B	1	PD05JAN18	2168.50	2128.85	USD	-991.25	
05OCT17	S	22	PD05JAN18	2171.30	2128.85	USD	23,347.50	
06OCT17	B	1	PD05JAN18	2157.00	2128.85	USD	-703.75	
06OCT17	B	1	PD05JAN18	2156.00	2128.85	USD	-678.75	
06OCT17	B	1	PD05JAN18	2154.50	2128.85	USD	-641.25	
06OCT17	B	1	PD05JAN18	2155.50	2128.85	USD	-666.25	
	NET	0 AVG BGHT 2151.91	SOLD 2171.30				10,665.00	0.00
10OCT17	S	1	PD10JAN18	2160.50	2129.81	USD	767.25	
10OCT17	B	1	PD10JAN18	2158.00	2129.81	USD	-704.75	
	NET	0 AVG BGHT 2158.00	SOLD 2160.50				62.50	0.00
11OCT17	B	1	PD11JAN18	2139.50	2130.00	USD	-237.50	
11OCT17	B	1	PD11JAN18	2137.50	2130.00	USD	-187.50	
11OCT17	B	2	PD11JAN18	2136.00	2130.00	USD	-300.00	
11OCT17	B	1	PD11JAN18	2138.50	2130.00	USD	-212.50	

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11OCT17	B	1	PD11JAN18	2140.00	2130.00	USD	-250.00	
11OCT17	B	4	PD11JAN18	2143.50	2130.00	USD	-1,350.00	
11OCT17	S	10	PD11JAN18	2156.35	2130.00	USD	6,587.50	
	NET	0AVG BGHT 2140.15	SOLD 2156.35				4,050.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2309.00	2552.35	USD	6,083.75	
12JUL17	S	1	PD12OCT17	2317.00	2552.35	USD	-5,883.75	
12JUL17	B	1	PD12OCT17	2319.50	2552.35	USD	5,821.25	
12JUL17	S	1	PD12OCT17	2319.00	2552.35	USD	-5,833.75	
	NET	0AVG BGHT 2314.25	SOLD 2318.00				187.50	0.00
13JUL17	S	1	PD13OCT17	2323.00	2552.75	USD	-5,743.75	
13JUL17	B	1	PD13OCT17	2322.00	2552.75	USD	5,768.75	
13JUL17	B	1	PD13OCT17	2320.50	2552.75	USD	5,806.25	
13JUL17	B	1	PD13OCT17	2319.00	2552.75	USD	5,843.75	
13JUL17	S	1	PD13OCT17	2316.00	2552.75	USD	-5,918.75	
13JUL17	B	1	PD13OCT17	2315.00	2552.75	USD	5,943.75	
13JUL17	B	1	PD13OCT17	2327.00	2552.75	USD	5,643.75	
13JUL17	B	1	PD13OCT17	2323.00	2552.75	USD	5,743.75	
13JUL17	B	1	PD13OCT17	2319.50	2552.75	USD	5,831.25	
13JUL17	B	2	PD13OCT17	2321.00	2552.75	USD	11,587.50	
13JUL17	B	1	PD13OCT17	2310.50	2552.75	USD	6,056.25	
13JUL17	B	1	PD13OCT17	2291.50	2552.75	USD	6,531.25	
13JUL17	B	1	PD13OCT17	2290.00	2552.75	USD	6,568.75	
13JUL17	B	1	PD13OCT17	2288.50	2552.75	USD	6,606.25	
13JUL17	S	1	PD13OCT17	2290.50	2552.75	USD	-6,556.25	
14JUL17	B	1	PD13OCT17	2275.00	2552.75	USD	6,943.75	
14JUL17	B	1	PD13OCT17	2278.00	2552.75	USD	6,868.75	
14JUL17	B	1	PD13OCT17	2270.50	2552.75	USD	7,056.25	
14JUL17	B	1	PD13OCT17	2269.50	2552.75	USD	7,081.25	
14JUL17	S	1	PD13OCT17	2276.50	2552.75	USD	-6,906.25	
14JUL17	B	2	PD13OCT17	2276.50	2552.75	USD	13,812.50	
14JUL17	S	1	PD13OCT17	2276.00	2552.75	USD	-6,918.75	
14JUL17	S	1	PD13OCT17	2286.50	2552.75	USD	-6,656.25	
14JUL17	B	1	PD13OCT17	2288.00	2552.75	USD	6,618.75	
14JUL17	S	1	PD13OCT17	2301.50	2552.75	USD	-6,281.25	
14JUL17	S	2	PD13OCT17	2302.50	2552.75	USD	-12,512.50	
14JUL17	S	1	PD13OCT17	2294.50	2552.75	USD	-6,456.25	
18JUL17	S	10	PD13OCT17	2273.95	2552.75	USD	-69,700.00	
	NET	0AVG BGHT 2300.13	SOLD 2285.45				-7,337.50	0.00
18JUL17	B	1	PD18OCT17	2272.50	2554.75	USD	7,056.25	
18JUL17	S	1	PD18OCT17	2275.50	2554.75	USD	-6,981.25	
	NET	0AVG BGHT 2272.50	SOLD 2275.50				75.00	0.00
19JUL17	S	1	PD19OCT17	2273.00	2554.89	USD	-7,047.25	
19JUL17	B	1	PD19OCT17	2259.50	2554.89	USD	7,384.75	
19JUL17	B	1	PD19OCT17	2258.00	2554.89	USD	7,422.25	
19JUL17	B	1	PD19OCT17	2253.50	2554.89	USD	7,534.75	
19JUL17	B	2	PD19OCT17	2254.00	2554.89	USD	15,044.50	
19JUL17	B	1	PD19OCT17	2255.00	2554.89	USD	7,497.25	
19JUL17	B	1	PD19OCT17	2256.00	2554.89	USD	7,472.25	
19JUL17	B	2	PD19OCT17	2257.00	2554.89	USD	14,894.50	
19JUL17	B	1	PD19OCT17	2256.50	2554.89	USD	7,459.75	
19JUL17	B	1	PD19OCT17	2255.50	2554.89	USD	7,484.75	
24JUL17	S	10	PD19OCT17	2259.38	2554.89	USD	-73,877.50	
	NET	0AVG BGHT 2256.00	SOLD 2260.62				1,270.00	0.00

OPEN POSITION STATEMENT



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SAP0112A

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD200CT17	2227.00	2555.04	USD	-8,201.00	
20JUL17	S	1	PD200CT17	2225.00	2555.04	USD	-8,251.00	
20JUL17	S	1	PD200CT17	2223.00	2555.04	USD	-8,301.00	
20JUL17	S	1	PD200CT17	2223.50	2555.04	USD	-8,288.50	
20JUL17	S	2	PD200CT17	2224.00	2555.04	USD	-16,552.00	
20JUL17	S	3	PD200CT17	2224.50	2555.04	USD	-24,790.50	
20JUL17	S	1	PD200CT17	2225.50	2555.04	USD	-8,238.50	
20JUL17	S	1	PD200CT17	2215.50	2555.04	USD	-8,488.50	
20JUL17	S	1	PD200CT17	2216.00	2555.04	USD	-8,476.00	
20JUL17	S	2	PD200CT17	2217.00	2555.04	USD	-16,902.00	
20JUL17	S	1	PD200CT17	2217.50	2555.04	USD	-8,438.50	
21JUL17	S	1	PD200CT17	2236.00	2555.04	USD	-7,976.00	
21JUL17	S	1	PD200CT17	2240.00	2555.04	USD	-7,876.00	
21JUL17	S	1	PD200CT17	2238.50	2555.04	USD	-7,913.50	
21JUL17	S	1	PD200CT17	2241.00	2555.04	USD	-7,851.00	
21JUL17	S	1	PD200CT17	2254.00	2555.04	USD	-7,526.00	
21JUL17	S	1	PD200CT17	2236.00	2555.04	USD	-7,976.00	
21JUL17	B	1	PD200CT17	2238.50	2555.04	USD	7,913.50	
21JUL17	B	1	PD200CT17	2229.00	2555.04	USD	8,151.00	
21JUL17	B	1	PD200CT17	2228.00	2555.04	USD	8,176.00	
21JUL17	B	1	PD200CT17	2240.50	2555.04	USD	7,863.50	
21JUL17	B	1	PD200CT17	2244.50	2555.04	USD	7,763.50	
21JUL17	B	2	PD200CT17	2245.00	2555.04	USD	15,502.00	
21JUL17	B	2	PD200CT17	2246.50	2555.04	USD	15,427.00	
21JUL17	B	1	PD200CT17	2245.50	2555.04	USD	7,738.50	
21JUL17	B	1	PD200CT17	2241.00	2555.04	USD	7,851.00	
24JUL17	B	10	PD200CT17	2259.70	2555.04	USD	73,835.00	
	NET	0 AVG BGHT 2249.86	SOLD 2227.33				-11,825.00	0.00
24JUL17	B	1	PD240CT17	2255.00	2555.61	USD	7,515.25	
24JUL17	S	1	PD240CT17	2260.00	2555.61	USD	-7,390.25	
	NET	0 AVG BGHT 2255.00	SOLD 2260.00				125.00	0.00
25JUL17	S	1	PD250CT17	2297.50	2555.75	USD	-6,456.25	
25JUL17	S	1	PD250CT17	2298.50	2555.75	USD	-6,431.25	
25JUL17	B	1	PD250CT17	2298.50	2555.75	USD	6,431.25	
25JUL17	B	1	PD250CT17	2297.50	2555.75	USD	6,456.25	
	NET	0 AVG BGHT 2298.00	SOLD 2298.00				0.00	0.00
26JUL17	B	1	PD260CT17	2319.50	2555.89	USD	5,909.75	
26JUL17	S	1	PD260CT17	2322.00	2555.89	USD	-5,847.25	
	NET	0 AVG BGHT 2319.50	SOLD 2322.00				62.50	0.00
27JUL17	B	1	PD270CT17	2327.50	2556.04	USD	5,713.50	
27JUL17	B	1	PD270CT17	2299.50	2556.04	USD	6,413.50	
28JUL17	S	1	PD270CT17	2299.00	2556.04	USD	-6,426.00	
28JUL17	S	1	PD270CT17	2296.50	2556.04	USD	-6,488.50	
	NET	0 AVG BGHT 2313.50	SOLD 2297.75				-787.50	0.00
02AUG17	B	1	PD02NOV17	2349.00	2556.89	USD	5,197.25	
02AUG17	S	1	PD02NOV17	2358.50	2556.89	USD	-4,959.75	
22SEP17	S	10	PD02NOV17	2487.57	2556.89	USD	-17,330.00	
26SEP17	S	3	PD02NOV17	2484.26	2556.89	USD	-5,447.25	
09OCT17	B	10	PD02NOV17	2501.04	2556.89	USD	13,962.50	
10OCT17	B	3	PD02NOV17	2547.16	2556.89	USD	729.75	
	NET	0 AVG BGHT 2500.06	SOLD 2477.64				-7,847.50	0.00
03AUG17	B	1	PD03NOV17	2361.00	2557.04	USD	4,901.00	
03AUG17	S	1	PD03NOV17	2368.00	2557.04	USD	-4,726.00	
03AUG17	B	1	PD03NOV17	2363.00	2557.04	USD	4,851.00	
03AUG17	B	2	PD03NOV17	2361.50	2557.04	USD	9,777.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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03AUG17	B	1	PD03NOV17	2360.00	2557.04	USD	4,926.00	
03AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
03AUG17	S	1	PD03NOV17	2353.50	2557.04	USD	-5,088.50	
03AUG17	S	1	PD03NOV17	2359.00	2557.04	USD	-4,951.00	
03AUG17	B	1	PD03NOV17	2355.50	2557.04	USD	5,038.50	
03AUG17	B	1	PD03NOV17	2353.50	2557.04	USD	5,088.50	
03AUG17	B	1	PD03NOV17	2355.00	2557.04	USD	5,051.00	
03AUG17	S	1	PD03NOV17	2361.00	2557.04	USD	-4,901.00	
03AUG17	S	2	PD03NOV17	2361.50	2557.04	USD	-9,777.00	
03AUG17	S	1	PD03NOV17	2364.50	2557.04	USD	-4,813.50	
04AUG17	S	1	PD03NOV17	2364.50	2557.04	USD	-4,813.50	
04AUG17	S	1	PD03NOV17	2374.50	2557.04	USD	-4,563.50	
04AUG17	S	1	PD03NOV17	2371.50	2557.04	USD	-4,638.50	
04AUG17	S	1	PD03NOV17	2369.00	2557.04	USD	-4,701.00	
04AUG17	S	1	PD03NOV17	2372.00	2557.04	USD	-4,626.00	
04AUG17	B	1	PD03NOV17	2372.00	2557.04	USD	4,626.00	
04AUG17	S	1	PD03NOV17	2373.00	2557.04	USD	-4,601.00	
04AUG17	S	2	PD03NOV17	2373.50	2557.04	USD	-9,177.00	
04AUG17	B	1	PD03NOV17	2366.00	2557.04	USD	4,776.00	
04AUG17	B	1	PD03NOV17	2366.50	2557.04	USD	4,763.50	
04AUG17	B	1	PD03NOV17	2368.00	2557.04	USD	4,726.00	
04AUG17	B	1	PD03NOV17	2359.50	2557.04	USD	4,938.50	
04AUG17	S	1	PD03NOV17	2359.00	2557.04	USD	-4,951.00	
04AUG17	B	1	PD03NOV17	2357.00	2557.04	USD	5,001.00	
04AUG17	B	1	PD03NOV17	2360.50	2557.04	USD	4,913.50	
	NET	0 AVG	BGHT 2361.03	SOLD 2366.22			2,075.00	0.00
07AUG17	S	1	PD07NOV17	2358.00	2557.61	USD	-4,990.25	
07AUG17	S	1	PD07NOV17	2355.00	2557.61	USD	-5,065.25	
07AUG17	S	3	PD07NOV17	2358.50	2557.61	USD	-14,933.25	
07AUG17	S	1	PD07NOV17	2359.00	2557.61	USD	-4,965.25	
07AUG17	S	3	PD07NOV17	2359.50	2557.61	USD	-14,858.25	
07AUG17	S	1	PD07NOV17	2360.50	2557.61	USD	-4,927.75	
07AUG17	S	1	PD07NOV17	2361.50	2557.61	USD	-4,902.75	
07AUG17	S	1	PD07NOV17	2367.00	2557.61	USD	-4,765.25	
07AUG17	S	1	PD07NOV17	2368.00	2557.61	USD	-4,740.25	
07AUG17	S	1	PD07NOV17	2370.00	2557.61	USD	-4,690.25	
07AUG17	S	1	PD07NOV17	2366.00	2557.61	USD	-4,790.25	
07AUG17	B	1	PD07NOV17	2365.50	2557.61	USD	4,802.75	
07AUG17	S	1	PD07NOV17	2369.50	2557.61	USD	-4,702.75	
07AUG17	B	1	PD07NOV17	2373.50	2557.61	USD	4,602.75	
07AUG17	S	1	PD07NOV17	2379.00	2557.61	USD	-4,465.25	
07AUG17	S	1	PD07NOV17	2384.00	2557.61	USD	-4,340.25	
07AUG17	B	1	PD07NOV17	2383.50	2557.61	USD	4,352.75	
07AUG17	B	1	PD07NOV17	2388.50	2557.61	USD	4,227.75	
07AUG17	B	1	PD07NOV17	2384.00	2557.61	USD	4,340.25	
07AUG17	B	1	PD07NOV17	2385.00	2557.61	USD	4,315.25	
07AUG17	B	1	PD07NOV17	2387.00	2557.61	USD	4,265.25	
07AUG17	B	2	PD07NOV17	2387.50	2557.61	USD	8,505.50	
07AUG17	B	1	PD07NOV17	2380.00	2557.61	USD	4,440.25	
07AUG17	B	1	PD07NOV17	2380.50	2557.61	USD	4,427.75	
07AUG17	B	1	PD07NOV17	2378.50	2557.61	USD	4,477.75	
07AUG17	B	1	PD07NOV17	2382.00	2557.61	USD	4,390.25	
07AUG17	B	5	PD07NOV17	2381.00	2557.61	USD	22,076.25	
07AUG17	B	1	PD07NOV17	2376.00	2557.61	USD	4,540.25	
07AUG17	S	1	PD07NOV17	2386.00	2557.61	USD	-4,290.25	
07AUG17	B	3	PD07NOV17	2381.50	2557.61	USD	13,208.25	
07AUG17	B	1	PD07NOV17	2379.00	2557.61	USD	4,465.25	

OPEN POSITION STATEMENT



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07AUG17	B	1	PD07NOV17	2365.00	2557.61	USD	4,815.25	
07AUG17	B	1	PD07NOV17	2362.50	2557.61	USD	4,877.75	
07AUG17	S	1	PD07NOV17	2364.00	2557.61	USD	-4,840.25	
07AUG17	S	3	PD07NOV17	2364.50	2557.61	USD	-14,483.25	
07AUG17	S	1	PD07NOV17	2365.50	2557.61	USD	-4,802.75	
07AUG17	S	1	PD07NOV17	2366.50	2557.61	USD	-4,777.75	
07AUG17	S	1	PD07NOV17	2368.50	2557.61	USD	-4,727.75	
07AUG17	B	1	PD07NOV17	2369.00	2557.61	USD	4,715.25	
	NET	0AVG BGHT 2379.38	SOLD 2365.21				-9,212.50	0.00
08AUG17	B	1	PD08NOV17	2373.00	2557.75	USD	4,618.75	
08AUG17	B	1	PD08NOV17	2375.50	2557.75	USD	4,556.25	
08AUG17	B	1	PD08NOV17	2370.50	2557.75	USD	4,681.25	
08AUG17	B	1	PD08NOV17	2369.50	2557.75	USD	4,706.25	
08AUG17	B	1	PD08NOV17	2368.00	2557.75	USD	4,743.75	
08AUG17	B	1	PD08NOV17	2365.50	2557.75	USD	4,806.25	
08AUG17	B	1	PD08NOV17	2364.00	2557.75	USD	4,843.75	
08AUG17	B	1	PD08NOV17	2363.50	2557.75	USD	4,856.25	
08AUG17	S	1	PD08NOV17	2372.00	2557.75	USD	-4,643.75	
08AUG17	S	1	PD08NOV17	2371.00	2557.75	USD	-4,668.75	
08AUG17	B	1	PD08NOV17	2367.00	2557.75	USD	4,768.75	
08AUG17	S	2	PD08NOV17	2367.00	2557.75	USD	-9,537.50	
08AUG17	S	1	PD08NOV17	2367.50	2557.75	USD	-4,756.25	
08AUG17	B	2	PD08NOV17	2368.50	2557.75	USD	9,462.50	
08AUG17	B	1	PD08NOV17	2367.50	2557.75	USD	4,756.25	
08AUG17	B	1	PD08NOV17	2369.00	2557.75	USD	4,718.75	
08AUG17	S	1	PD08NOV17	2366.50	2557.75	USD	-4,781.25	
08AUG17	S	1	PD08NOV17	2348.00	2557.75	USD	-5,243.75	
08AUG17	S	1	PD08NOV17	2354.50	2557.75	USD	-5,081.25	
08AUG17	S	1	PD08NOV17	2346.00	2557.75	USD	-5,293.75	
08AUG17	S	2	PD08NOV17	2349.00	2557.75	USD	-10,437.50	
08AUG17	S	2	PD08NOV17	2352.50	2557.75	USD	-10,262.50	
	NET	0AVG BGHT 2368.46	SOLD 2358.65				-3,187.50	0.00
09AUG17	B	1	PD09NOV17	2415.50	2557.89	USD	3,559.75	
09AUG17	B	1	PD09NOV17	2415.00	2557.89	USD	3,572.25	
09AUG17	S	1	PD09NOV17	2410.50	2557.89	USD	-3,684.75	
09AUG17	B	1	PD09NOV17	2398.00	2557.89	USD	3,997.25	
09AUG17	B	1	PD09NOV17	2396.50	2557.89	USD	4,034.75	
09AUG17	S	2	PD09NOV17	2391.50	2557.89	USD	-8,319.50	
09AUG17	S	1	PD09NOV17	2392.00	2557.89	USD	-4,147.25	
09AUG17	B	1	PD09NOV17	2399.50	2557.89	USD	3,959.75	
09AUG17	S	1	PD09NOV17	2376.00	2557.89	USD	-4,547.25	
09AUG17	S	1	PD09NOV17	2403.00	2557.89	USD	-3,872.25	
09AUG17	B	1	PD09NOV17	2389.50	2557.89	USD	4,209.75	
09AUG17	S	1	PD09NOV17	2384.50	2557.89	USD	-4,334.75	
09AUG17	B	1	PD09NOV17	2371.50	2557.89	USD	4,659.75	
	NET	0AVG BGHT 2397.93	SOLD 2392.71				-912.50	0.00
10AUG17	S	1	PD10NOV17	2380.00	2558.04	USD	-4,451.00	
10AUG17	S	1	PD10NOV17	2381.00	2558.04	USD	-4,426.00	
10AUG17	S	1	PD10NOV17	2382.00	2558.04	USD	-4,401.00	
10AUG17	S	1	PD10NOV17	2380.50	2558.04	USD	-4,438.50	
10AUG17	S	1	PD10NOV17	2379.50	2558.04	USD	-4,463.50	
10AUG17	B	1	PD10NOV17	2370.00	2558.04	USD	4,701.00	
10AUG17	B	2	PD10NOV17	2370.50	2558.04	USD	9,377.00	
10AUG17	B	1	PD10NOV17	2369.00	2558.04	USD	4,726.00	
10AUG17	B	1	PD10NOV17	2376.00	2558.04	USD	4,551.00	
10AUG17	B	1	PD10NOV17	2381.00	2558.04	USD	4,426.00	

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10AUG17	B	1	PD10NOV17	2378.50	2558.04	USD	4,488.50	
10AUG17	B	1	PD10NOV17	2378.00	2558.04	USD	4,501.00	
10AUG17	S	1	PD10NOV17	2378.00	2558.04	USD	-4,501.00	
10AUG17	S	1	PD10NOV17	2376.00	2558.04	USD	-4,551.00	
10AUG17	S	1	PD10NOV17	2374.50	2558.04	USD	-4,588.50	
10AUG17	S	1	PD10NOV17	2372.50	2558.04	USD	-4,638.50	
10AUG17	S	1	PD10NOV17	2373.50	2558.04	USD	-4,613.50	
10AUG17	S	1	PD10NOV17	2369.50	2558.04	USD	-4,713.50	
10AUG17	S	1	PD10NOV17	2366.00	2558.04	USD	-4,801.00	
10AUG17	B	1	PD10NOV17	2360.50	2558.04	USD	4,938.50	
10AUG17	S	1	PD10NOV17	2358.50	2558.04	USD	-4,988.50	
10AUG17	S	1	PD10NOV17	2364.00	2558.04	USD	-4,851.00	
10AUG17	B	1	PD10NOV17	2375.00	2558.04	USD	4,576.00	
10AUG17	B	1	PD10NOV17	2368.50	2558.04	USD	4,738.50	
11AUG17	S	1	PD10NOV17	2342.50	2558.04	USD	-5,388.50	
11AUG17	B	1	PD10NOV17	2338.00	2558.04	USD	5,501.00	
11AUG17	B	1	PD10NOV17	2336.50	2558.04	USD	5,538.50	
11AUG17	B	1	PD10NOV17	2339.00	2558.04	USD	5,476.00	
11AUG17	S	1	PD10NOV17	2348.00	2558.04	USD	-5,251.00	
11AUG17	S	1	PD10NOV17	2349.00	2558.04	USD	-5,226.00	
11AUG17	S	1	PD10NOV17	2349.50	2558.04	USD	-5,213.50	
11AUG17	B	1	PD10NOV17	2346.50	2558.04	USD	5,288.50	
11AUG17	S	1	PD10NOV17	2345.50	2558.04	USD	-5,313.50	
11AUG17	B	1	PD10NOV17	2340.00	2558.04	USD	5,451.00	
11AUG17	B	1	PD10NOV17	2344.50	2558.04	USD	5,338.50	
11AUG17	S	1	PD10NOV17	2351.50	2558.04	USD	-5,163.50	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	S	2	PD10NOV17	2354.50	2558.04	USD	-10,177.00	
11AUG17	S	1	PD10NOV17	2353.50	2558.04	USD	-5,113.50	
11AUG17	B	1	PD10NOV17	2341.00	2558.04	USD	5,426.00	
11AUG17	B	1	PD10NOV17	2330.50	2558.04	USD	5,688.50	
11AUG17	B	1	PD10NOV17	2331.00	2558.04	USD	5,676.00	
11AUG17	B	1	PD10NOV17	2334.50	2558.04	USD	5,588.50	
11AUG17	B	1	PD10NOV17	2339.50	2558.04	USD	5,463.50	
11AUG17	B	1	PD10NOV17	2335.00	2558.04	USD	5,576.00	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	B	2	PD10NOV17	2336.00	2558.04	USD	11,102.00	
11AUG17	B	1	PD10NOV17	2328.50	2558.04	USD	5,738.50	
11AUG17	B	1	PD10NOV17	2329.00	2558.04	USD	5,726.00	
11AUG17	S	1	PD10NOV17	2333.00	2558.04	USD	-5,626.00	
11AUG17	S	1	PD10NOV17	2330.00	2558.04	USD	-5,701.00	
	NET	0 AVG BGHT 2351.22	SOLD 2361.37				6,850.00	0.00
14AUG17	S	1	PD14NOV17	2348.50	2558.61	USD	-5,252.75	
14AUG17	B	1	PD14NOV17	2341.00	2558.61	USD	5,440.25	
	NET	0 AVG BGHT 2341.00	SOLD 2348.50				187.50	0.00
24AUG17	B	1	PD24NOV17	2393.00	2559.75	USD	4,168.75	
24AUG17	S	1	PD24NOV17	2361.50	2559.75	USD	-4,956.25	
	NET	0 AVG BGHT 2393.00	SOLD 2361.50				-787.50	0.00
01SEP17	B	1	PD01DEC17	2386.00	2560.39	USD	4,359.75	
01SEP17	S	1	PD01DEC17	2396.50	2560.39	USD	-4,097.25	
	NET	0 AVG BGHT 2386.00	SOLD 2396.50				262.50	0.00
04SEP17	B	1	PD04DEC17	2399.50	2560.66	USD	4,029.00	
04SEP17	B	1	PD04DEC17	2406.50	2560.66	USD	3,854.00	
04SEP17	B	1	PD04DEC17	2400.00	2560.66	USD	4,016.50	
04SEP17	B	1	PD04DEC17	2393.50	2560.66	USD	4,179.00	
04SEP17	B	1	PD04DEC17	2395.00	2560.66	USD	4,141.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	2386.00	2560.66	USD	4,366.50	
04SEP17	B	1	PD04DEC17	2394.50	2560.66	USD	4,154.00	
04SEP17	B	1	PD04DEC17	2391.00	2560.66	USD	4,241.50	
04SEP17	S	1	PD04DEC17	2395.00	2560.66	USD	-4,141.50	
04SEP17	S	2	PD04DEC17	2393.50	2560.66	USD	-8,358.00	
04SEP17	S	1	PD04DEC17	2394.00	2560.66	USD	-4,166.50	
04SEP17	S	1	PD04DEC17	2393.00	2560.66	USD	-4,191.50	
06SEP17	S	3	PD04DEC17	2339.45	2560.66	USD	-16,590.75	
	NET	0 AVG BGHT 2395.75	SOLD 2373.42				-4,466.25	0.00
05SEP17	S	1	PD05DEC17	2398.50	2560.75	USD	-4,056.25	
05SEP17	S	1	PD05DEC17	2405.50	2560.75	USD	-3,881.25	
05SEP17	B	1	PD05DEC17	2386.50	2560.75	USD	4,356.25	
05SEP17	B	1	PD05DEC17	2375.00	2560.75	USD	4,643.75	
05SEP17	S	1	PD05DEC17	2374.00	2560.75	USD	-4,668.75	
05SEP17	B	1	PD05DEC17	2373.00	2560.75	USD	4,693.75	
05SEP17	B	1	PD05DEC17	2372.50	2560.75	USD	4,706.25	
05SEP17	S	1	PD05DEC17	2355.00	2560.75	USD	-5,143.75	
05SEP17	S	1	PD05DEC17	2345.50	2560.75	USD	-5,381.25	
05SEP17	S	1	PD05DEC17	2341.50	2560.75	USD	-5,481.25	
05SEP17	S	1	PD05DEC17	2328.50	2560.75	USD	-5,806.25	
06SEP17	B	3	PD05DEC17	2339.93	2560.75	USD	16,561.50	
	NET	0 AVG BGHT 2360.97	SOLD 2364.07				542.75	0.00
12SEP17	S	1	PD12DEC17	2267.00	2562.50	USD	-7,387.50	
12SEP17	S	1	PD12DEC17	2289.00	2562.50	USD	-6,837.50	
12SEP17	S	1	PD12DEC17	2288.00	2562.50	USD	-6,862.50	
12SEP17	B	3	PD12DEC17	2308.00	2562.50	USD	19,087.50	
	NET	0 AVG BGHT 2308.00	SOLD 2281.33				-2,000.00	0.00
13SEP17	S	1	PD13DEC17	2298.50	2562.75	USD	-6,606.25	
13SEP17	S	1	PD13DEC17	2296.00	2562.75	USD	-6,668.75	
13SEP17	S	2	PD13DEC17	2297.00	2562.75	USD	-13,287.50	
13SEP17	B	1	PD13DEC17	2292.00	2562.75	USD	6,768.75	
13SEP17	B	1	PD13DEC17	2291.00	2562.75	USD	6,793.75	
13SEP17	B	1	PD13DEC17	2286.00	2562.75	USD	6,918.75	
13SEP17	B	2	PD13DEC17	2285.50	2562.75	USD	13,862.50	
13SEP17	S	1	PD13DEC17	2291.00	2562.75	USD	-6,793.75	
13SEP17	B	1	PD13DEC17	2289.00	2562.75	USD	6,843.75	
13SEP17	S	2	PD13DEC17	2295.50	2562.75	USD	-13,362.50	
13SEP17	S	1	PD13DEC17	2290.00	2562.75	USD	-6,818.75	
13SEP17	S	1	PD13DEC17	2291.50	2562.75	USD	-6,781.25	
13SEP17	S	1	PD13DEC17	2299.50	2562.75	USD	-6,581.25	
13SEP17	S	1	PD13DEC17	2300.50	2562.75	USD	-6,556.25	
13SEP17	S	1	PD13DEC17	2303.50	2562.75	USD	-6,481.25	
13SEP17	B	1	PD13DEC17	2276.50	2562.75	USD	7,156.25	
13SEP17	B	1	PD13DEC17	2293.00	2562.75	USD	6,743.75	
13SEP17	B	2	PD13DEC17	2297.50	2562.75	USD	13,262.50	
13SEP17	S	1	PD13DEC17	2299.00	2562.75	USD	-6,593.75	
13SEP17	B	2	PD13DEC17	2299.00	2562.75	USD	13,187.50	
13SEP17	B	1	PD13DEC17	2299.00	2562.75	USD	6,593.75	
	NET	0 AVG BGHT 2291.58	SOLD 2296.50				1,600.00	0.00
14SEP17	S	1	PD14DEC17	2290.50	2563.00	USD	-6,812.50	
14SEP17	B	1	PD14DEC17	2286.00	2563.00	USD	6,925.00	
14SEP17	S	1	PD14DEC17	2286.00	2563.00	USD	-6,925.00	
14SEP17	S	1	PD14DEC17	2287.00	2563.00	USD	-6,900.00	
14SEP17	S	1	PD14DEC17	2288.50	2563.00	USD	-6,862.50	
14SEP17	B	1	PD14DEC17	2275.50	2563.00	USD	7,187.50	
14SEP17	B	1	PD14DEC17	2277.00	2563.00	USD	7,150.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	S	1	PD14DEC17	2277.50	2563.00	USD	-7,137.50	
14SEP17	B	1	PD14DEC17	2275.50	2563.00	USD	7,187.50	
14SEP17	B	2	PD14DEC17	2317.00	2563.00	USD	12,300.00	
14SEP17	S	1	PD14DEC17	2322.50	2563.00	USD	-6,012.50	
14SEP17	B	1	PD14DEC17	2323.50	2563.00	USD	5,987.50	
19SEP17	S	1	PD14DEC17	2420.00	2563.00	USD	-3,575.00	
	NET	0	AVG BGHT 2295.93	SOLD 2310.29			2,512.50	0.00
15SEP17	B	1	PD15DEC17	2330.00	2563.25	USD	5,831.25	
15SEP17	B	1	PD15DEC17	2327.00	2563.25	USD	5,906.25	
15SEP17	B	1	PD15DEC17	2327.50	2563.25	USD	5,893.75	
15SEP17	S	1	PD15DEC17	2328.00	2563.25	USD	-5,881.25	
15SEP17	S	1	PD15DEC17	2329.00	2563.25	USD	-5,856.25	
15SEP17	B	2	PD15DEC17	2324.50	2563.25	USD	11,937.50	
15SEP17	B	1	PD15DEC17	2323.50	2563.25	USD	5,993.75	
15SEP17	S	1	PD15DEC17	2320.50	2563.25	USD	-6,068.75	
15SEP17	B	1	PD15DEC17	2313.50	2563.25	USD	6,243.75	
15SEP17	S	2	PD15DEC17	2323.00	2563.25	USD	-12,012.50	
15SEP17	S	2	PD15DEC17	2330.50	2563.25	USD	-11,637.50	
15SEP17	S	1	PD15DEC17	2333.00	2563.25	USD	-5,756.25	
15SEP17	S	1	PD15DEC17	2334.50	2563.25	USD	-5,718.75	
15SEP17	S	2	PD15DEC17	2336.50	2563.25	USD	-11,337.50	
15SEP17	S	1	PD15DEC17	2341.50	2563.25	USD	-5,543.75	
15SEP17	S	1	PD15DEC17	2351.50	2563.25	USD	-5,293.75	
15SEP17	B	1	PD15DEC17	2327.50	2563.25	USD	5,893.75	
15SEP17	B	1	PD15DEC17	2312.00	2563.25	USD	6,281.25	
15SEP17	B	1	PD15DEC17	2347.00	2563.25	USD	5,406.25	
15SEP17	S	1	PD15DEC17	2346.00	2563.25	USD	-5,431.25	
15SEP17	B	3	PD15DEC17	2345.00	2563.25	USD	16,368.75	
19SEP17	B	1	PD15DEC17	2420.45	2563.25	USD	3,570.00	
	NET	0	AVG BGHT 2336.60	SOLD 2333.14			-1,211.25	0.00
21SEP17	S	1	PD21DEC17	2429.50	2563.50	USD	-3,350.00	
21SEP17	B	1	PD21DEC17	2459.50	2563.50	USD	2,600.00	
21SEP17	S	1	PD21DEC17	2463.00	2563.50	USD	-2,512.50	
21SEP17	S	1	PD21DEC17	2459.50	2563.50	USD	-2,600.00	
21SEP17	S	1	PD21DEC17	2464.00	2563.50	USD	-2,487.50	
21SEP17	B	1	PD21DEC17	2467.00	2563.50	USD	2,412.50	
21SEP17	B	1	PD21DEC17	2466.00	2563.50	USD	2,437.50	
21SEP17	B	1	PD21DEC17	2480.50	2563.50	USD	2,075.00	
21SEP17	S	1	PD21DEC17	2480.00	2563.50	USD	-2,087.50	
21SEP17	B	1	PD21DEC17	2465.00	2563.50	USD	2,462.50	
	NET	0	AVG BGHT 2467.60	SOLD 2459.20			-1,050.00	0.00
22SEP17	B	2	PD22DEC17	2423.50	2563.50	USD	7,000.00	
22SEP17	B	1	PD22DEC17	2427.50	2563.50	USD	3,400.00	
22SEP17	B	2	PD22DEC17	2429.00	2563.50	USD	6,725.00	
22SEP17	B	1	PD22DEC17	2410.00	2563.50	USD	3,837.50	
22SEP17	B	4	PD22DEC17	2409.00	2563.50	USD	15,450.00	
22SEP17	B	2	PD22DEC17	2406.50	2563.50	USD	7,850.00	
22SEP17	B	1	PD22DEC17	2407.00	2563.50	USD	3,912.50	
22SEP17	B	1	PD22DEC17	2399.50	2563.50	USD	4,100.00	
22SEP17	S	1	PD22DEC17	2389.50	2563.50	USD	-4,350.00	
22SEP17	S	1	PD22DEC17	2391.50	2563.50	USD	-4,300.00	
22SEP17	S	2	PD22DEC17	2387.00	2563.50	USD	-8,825.00	
22SEP17	S	1	PD22DEC17	2393.00	2563.50	USD	-4,262.50	
22SEP17	S	2	PD22DEC17	2401.00	2563.50	USD	-8,125.00	
22SEP17	S	1	PD22DEC17	2395.50	2563.50	USD	-4,200.00	
22SEP17	S	1	PD22DEC17	2396.50	2563.50	USD	-4,175.00	

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22SEP17	S	1	PD22DEC17	2405.00	2563.50	USD	-3,962.50	
22SEP17	S	3	PD22DEC17	2402.00	2563.50	USD	-12,112.50	
22SEP17	S	1	PD22DEC17	2396.00	2563.50	USD	-4,187.50	
22SEP17	S	1	PD22DEC17	2477.50	2563.50	USD	-2,150.00	
22SEP17	S	1	PD22DEC17	2476.00	2563.50	USD	-2,187.50	
22SEP17	S	1	PD22DEC17	2472.00	2563.50	USD	-2,287.50	
22SEP17	B	1	PD22DEC17	2472.50	2563.50	USD	2,275.00	
22SEP17	S	1	PD22DEC17	2472.50	2563.50	USD	-2,275.00	
22SEP17	S	1	PD22DEC17	2479.00	2563.50	USD	-2,112.50	
22SEP17	S	2	PD22DEC17	2484.00	2563.50	USD	-3,975.00	
22SEP17	S	1	PD22DEC17	2482.50	2563.50	USD	-2,025.00	
22SEP17	S	2	PD22DEC17	2480.00	2563.50	USD	-4,175.00	
22SEP17	S	1	PD22DEC17	2481.50	2563.50	USD	-2,050.00	
22SEP17	S	1	PD22DEC17	2488.50	2563.50	USD	-1,875.00	
22SEP17	S	2	PD22DEC17	2487.00	2563.50	USD	-3,825.00	
22SEP17	B	10	PD22DEC17	2483.20	2563.50	USD	20,075.00	
26SEP17	B	3	PD22DEC17	2488.87	2563.50	USD	5,597.25	
	NET	0AVG BGHT 2448.90	SOLD 2438.59				-7,215.25	0.00
25SEP17	S	1	PD27DEC17	2498.50	2563.50	USD	-1,625.00	
25SEP17	S	1	PD27DEC17	2495.50	2563.50	USD	-1,700.00	
25SEP17	B	1	PD27DEC17	2474.00	2563.50	USD	2,237.50	
25SEP17	B	1	PD27DEC17	2480.50	2563.50	USD	2,075.00	
26SEP17	S	1	PD27DEC17	2491.50	2563.50	USD	-1,800.00	
26SEP17	S	1	PD27DEC17	2496.00	2563.50	USD	-1,687.50	
26SEP17	S	1	PD27DEC17	2498.00	2563.50	USD	-1,637.50	
26SEP17	S	1	PD27DEC17	2508.50	2563.50	USD	-1,375.00	
26SEP17	S	1	PD27DEC17	2511.50	2563.50	USD	-1,300.00	
26SEP17	B	1	PD27DEC17	2497.50	2563.50	USD	1,650.00	
26SEP17	B	1	PD27DEC17	2495.50	2563.50	USD	1,700.00	
26SEP17	B	1	PD27DEC17	2492.50	2563.50	USD	1,775.00	
26SEP17	B	1	PD27DEC17	2490.00	2563.50	USD	1,837.50	
26SEP17	S	1	PD27DEC17	2485.50	2563.50	USD	-1,950.00	
27SEP17	B	1	PD27DEC17	2477.00	2563.50	USD	2,162.50	
27SEP17	S	1	PD27DEC17	2475.00	2563.50	USD	-2,212.50	
04OCT17	B	1	PD27DEC17	2559.71	2563.50	USD	94.75	
10OCT17	B	1	PD27DEC17	2554.00	2563.50	USD	237.50	
	NET	0AVG BGHT 2502.30	SOLD 2495.56				-1,517.75	0.00
28SEP17	B	1	PD28DEC17	2460.00	2563.60	USD	2,590.00	
28SEP17	S	1	PD28DEC17	2462.00	2563.60	USD	-2,540.00	
28SEP17	B	1	PD28DEC17	2474.50	2563.60	USD	2,227.50	
04OCT17	S	1	PD28DEC17	2559.55	2563.60	USD	-101.25	
	NET	0AVG BGHT 2467.25	SOLD 2510.78				2,176.25	0.00
29SEP17	B	2	PD29DEC17	2486.00	2563.70	USD	3,885.00	
29SEP17	B	2	PD29DEC17	2486.50	2563.70	USD	3,860.00	
29SEP17	B	1	PD29DEC17	2485.50	2563.70	USD	1,955.00	
29SEP17	S	1	PD29DEC17	2495.00	2563.70	USD	-1,717.50	
29SEP17	S	1	PD29DEC17	2496.50	2563.70	USD	-1,680.00	
29SEP17	S	4	PD29DEC17	2497.50	2563.70	USD	-6,620.00	
29SEP17	B	1	PD29DEC17	2495.50	2563.70	USD	1,705.00	
	NET	0AVG BGHT 2487.67	SOLD 2496.92				1,387.50	0.00
03OCT17	S	1	PD03JAN18	2523.50	2564.20	USD	-1,017.50	
03OCT17	S	1	PD03JAN18	2522.00	2564.20	USD	-1,055.00	
03OCT17	S	1	PD03JAN18	2523.00	2564.20	USD	-1,030.00	
03OCT17	S	1	PD03JAN18	2524.00	2564.20	USD	-1,005.00	
03OCT17	B	1	PD03JAN18	2525.00	2564.20	USD	980.00	
03OCT17	B	1	PD03JAN18	2526.50	2564.20	USD	942.50	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	1	PD03JAN18	2527.00	2564.20	USD	930.00	
030CT17	B	1	PD03JAN18	2528.00	2564.20	USD	905.00	
	NET	0 AVG	BGHT 2526.63				-350.00	0.00
040CT17	B	1	PD04JAN18	2544.50	2564.30	USD	495.00	
040CT17	S	1	PD04JAN18	2549.00	2564.30	USD	-382.50	
	NET	0 AVG	BGHT 2544.50				112.50	0.00
050CT17	B	1	PD05JAN18	2545.50	2564.40	USD	472.50	
050CT17	B	1	PD05JAN18	2544.00	2564.40	USD	510.00	
050CT17	S	2	PD05JAN18	2545.00	2564.40	USD	-970.00	
050CT17	B	1	PD05JAN18	2546.00	2564.40	USD	460.00	
050CT17	S	1	PD05JAN18	2545.50	2564.40	USD	-472.50	
050CT17	S	1	PD05JAN18	2584.00	2564.40	USD	490.00	
050CT17	S	1	PD05JAN18	2582.50	2564.40	USD	452.50	
050CT17	B	1	PD05JAN18	2582.50	2564.40	USD	-452.50	
050CT17	S	1	PD05JAN18	2590.00	2564.40	USD	640.00	
050CT17	B	1	PD05JAN18	2590.50	2564.40	USD	-652.50	
050CT17	S	2	PD05JAN18	2589.00	2564.40	USD	1,230.00	
060CT17	S	1	PD05JAN18	2580.50	2564.40	USD	402.50	
060CT17	S	1	PD05JAN18	2580.00	2564.40	USD	390.00	
060CT17	B	1	PD05JAN18	2577.00	2564.40	USD	-315.00	
060CT17	B	1	PD05JAN18	2579.00	2564.40	USD	-365.00	
060CT17	B	1	PD05JAN18	2579.50	2564.40	USD	-377.50	
060CT17	B	2	PD05JAN18	2581.00	2564.40	USD	-830.00	
060CT17	B	1	PD05JAN18	2575.00	2564.40	USD	-265.00	
060CT17	B	1	PD05JAN18	2564.50	2564.40	USD	-2.50	
060CT17	B	1	PD05JAN18	2561.50	2564.40	USD	72.50	
060CT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
060CT17	S	1	PD05JAN18	2543.50	2564.40	USD	-522.50	
100CT17	S	1	PD05JAN18	2555.73	2564.40	USD	-216.75	
	NET	0 AVG	BGHT 2569.77				-806.75	0.00
090CT17	B	1	PD09JAN18	2508.50	2564.80	USD	1,407.50	
090CT17	S	1	PD09JAN18	2506.50	2564.80	USD	-1,457.50	
090CT17	B	1	PD09JAN18	2508.00	2564.80	USD	1,420.00	
090CT17	S	1	PD09JAN18	2507.00	2564.80	USD	-1,445.00	
090CT17	B	1	PD09JAN18	2495.50	2564.80	USD	1,732.50	
090CT17	B	1	PD09JAN18	2493.00	2564.80	USD	1,795.00	
090CT17	B	1	PD09JAN18	2495.00	2564.80	USD	1,745.00	
090CT17	B	2	PD09JAN18	2492.50	2564.80	USD	3,615.00	
090CT17	B	1	PD09JAN18	2491.50	2564.80	USD	1,832.50	
090CT17	B	1	PD09JAN18	2489.00	2564.80	USD	1,895.00	
090CT17	B	1	PD09JAN18	2507.50	2564.80	USD	1,432.50	
090CT17	S	1	PD09JAN18	2514.50	2564.80	USD	-1,257.50	
090CT17	S	10	PD09JAN18	2511.80	2564.80	USD	-13,250.00	
090CT17	B	1	PD09JAN18	2501.00	2564.80	USD	1,595.00	
	NET	-2 AVG	BGHT 2497.64				1,060.00	0.00
100CT17	B	1	PD10JAN18	2495.00	2564.90	USD	1,747.50	
100CT17	B	2	PD10JAN18	2494.50	2564.90	USD	3,520.00	
100CT17	B	1	PD10JAN18	2497.00	2564.90	USD	1,697.50	
100CT17	S	1	PD10JAN18	2503.50	2564.90	USD	-1,535.00	
100CT17	S	1	PD10JAN18	2497.50	2564.90	USD	-1,685.00	
100CT17	B	1	PD10JAN18	2490.50	2564.90	USD	1,860.00	
100CT17	B	1	PD10JAN18	2490.00	2564.90	USD	1,872.50	
100CT17	B	1	PD10JAN18	2485.00	2564.90	USD	1,997.50	
100CT17	S	2	PD10JAN18	2520.00	2564.90	USD	-2,245.00	
100CT17	S	1	PD10JAN18	2516.50	2564.90	USD	-1,210.00	
100CT17	B	2	PD10JAN18	2510.50	2564.90	USD	2,720.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
10OCT17	B 1 PD10JAN18	2515.50	2564.90	USD	1,235.00	
10OCT17	S 3 PD10JAN18	2556.80	2564.90	USD	-607.50	
	NET +2 AVG BGHT 2498.30 SOLD 2528.49				9,367.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 2 PD12OCT17	9195.00	11060.20	USD	22,382.40	
12JUL17	B 1 PD12OCT17	9215.00	11060.20	USD	11,071.20	
12JUL17	B 1 PD12OCT17	9210.00	11060.20	USD	11,101.20	
12JUL17	S 1 PD12OCT17	9265.00	11060.20	USD	-10,771.20	
12JUL17	S 2 PD12OCT17	9255.00	11060.20	USD	-21,662.40	
12JUL17	B 1 PD12OCT17	9200.00	11060.20	USD	11,161.20	
12JUL17	S 1 PD12OCT17	9200.00	11060.20	USD	-11,161.20	
18JUL17	S 1 PD12OCT17	9776.80	11060.20	USD	-7,700.40	
	NET 0 AVG BGHT 9203.00 SOLD 9350.36				4,420.80	0.00
13JUL17	S 2 PD13OCT17	9250.00	11061.00	USD	-21,732.00	
13JUL17	S 1 PD13OCT17	9235.00	11061.00	USD	-10,956.00	
13JUL17	S 1 PD13OCT17	9265.00	11061.00	USD	-10,776.00	
13JUL17	S 4 PD13OCT17	9270.00	11061.00	USD	-42,984.00	
13JUL17	S 3 PD13OCT17	9275.00	11061.00	USD	-32,148.00	
13JUL17	B 1 PD13OCT17	9195.00	11061.00	USD	11,196.00	
13JUL17	S 1 PD13OCT17	9195.00	11061.00	USD	-11,196.00	
13JUL17	S 2 PD13OCT17	9200.00	11061.00	USD	-22,332.00	
13JUL17	S 2 PD13OCT17	9205.00	11061.00	USD	-22,272.00	
13JUL17	S 1 PD13OCT17	9220.00	11061.00	USD	-11,046.00	
13JUL17	S 3 PD13OCT17	9215.00	11061.00	USD	-33,228.00	
13JUL17	B 1 PD13OCT17	9175.00	11061.00	USD	11,316.00	
13JUL17	S 1 PD13OCT17	9220.00	11061.00	USD	-11,046.00	
13JUL17	S 1 PD13OCT17	9215.00	11061.00	USD	-11,076.00	
14JUL17	S 1 PD13OCT17	9215.00	11061.00	USD	-11,076.00	
14JUL17	S 1 PD13OCT17	9230.00	11061.00	USD	-10,986.00	
14JUL17	S 4 PD13OCT17	9270.00	11061.00	USD	-42,984.00	
14JUL17	S 3 PD13OCT17	9265.00	11061.00	USD	-32,328.00	
14JUL17	S 4 PD13OCT17	9290.00	11061.00	USD	-42,504.00	
14JUL17	S 2 PD13OCT17	9295.00	11061.00	USD	-21,192.00	
14JUL17	S 2 PD13OCT17	9300.00	11061.00	USD	-21,132.00	
14JUL17	S 1 PD13OCT17	9320.00	11061.00	USD	-10,446.00	
14JUL17	S 2 PD13OCT17	9310.00	11061.00	USD	-21,012.00	
14JUL17	S 2 PD13OCT17	9315.00	11061.00	USD	-20,952.00	
14JUL17	S 3 PD13OCT17	9335.00	11061.00	USD	-31,068.00	
14JUL17	S 1 PD13OCT17	9360.00	11061.00	USD	-10,206.00	
14JUL17	S 2 PD13OCT17	9375.00	11061.00	USD	-20,232.00	
14JUL17	S 1 PD13OCT17	9400.00	11061.00	USD	-9,966.00	
14JUL17	S 1 PD13OCT17	9410.00	11061.00	USD	-9,906.00	
14JUL17	S 3 PD13OCT17	9395.00	11061.00	USD	-29,988.00	
14JUL17	S 1 PD13OCT17	9325.00	11061.00	USD	-10,416.00	
14JUL17	S 1 PD13OCT17	9365.00	11061.00	USD	-10,176.00	
14JUL17	S 1 PD13OCT17	9390.00	11061.00	USD	-10,026.00	
14JUL17	S 1 PD13OCT17	9405.00	11061.00	USD	-9,936.00	
14JUL17	S 3 PD13OCT17	9405.00	11061.00	USD	-29,808.00	
14JUL17	B 1 PD13OCT17	9370.00	11061.00	USD	10,146.00	
14JUL17	S 1 PD13OCT17	9370.00	11061.00	USD	-10,146.00	
14JUL17	B 1 PD13OCT17	9550.00	11061.00	USD	9,066.00	
14JUL17	S 1 PD13OCT17	9560.00	11061.00	USD	-9,006.00	
14JUL17	B 1 PD13OCT17	9540.00	11061.00	USD	9,126.00	
18JUL17	B 59 PD13OCT17	9778.44	11061.00	USD	454,026.24	
	NET 0 AVG BGHT 9746.22 SOLD 9299.84				-171,407.76	0.00

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17JUL17	S	2	PD17OCT17	9625.00	11064.20	USD	-17,270.40	
17JUL17	S	1	PD17OCT17	9635.00	11064.20	USD	-8,575.20	
17JUL17	S	2	PD17OCT17	9640.00	11064.20	USD	-17,090.40	
17JUL17	S	2	PD17OCT17	9660.00	11064.20	USD	-16,850.40	
17JUL17	S	1	PD17OCT17	9695.00	11064.20	USD	-8,215.20	
17JUL17	S	1	PD17OCT17	9730.00	11064.20	USD	-8,005.20	
17JUL17	S	1	PD17OCT17	9720.00	11064.20	USD	-8,065.20	
17JUL17	B	1	PD17OCT17	9690.00	11064.20	USD	8,245.20	
17JUL17	S	5	PD17OCT17	9650.00	11064.20	USD	-42,426.00	
17JUL17	S	3	PD17OCT17	9655.00	11064.20	USD	-25,365.60	
17JUL17	B	1	PD17OCT17	9605.00	11064.20	USD	8,755.20	
17JUL17	B	1	PD17OCT17	9600.00	11064.20	USD	8,785.20	
17JUL17	B	2	PD17OCT17	9620.00	11064.20	USD	17,330.40	
17JUL17	B	1	PD17OCT17	9630.00	11064.20	USD	8,605.20	
17JUL17	B	1	PD17OCT17	9625.00	11064.20	USD	8,635.20	
18JUL17	B	8	PD17OCT17	9781.00	11064.20	USD	61,593.60	
24JUL17	B	3	PD17OCT17	9777.34	11064.20	USD	23,163.48	
	NET	0AVG BGHT 9720.56	SOLD 9658.06				-6,750.12	0.00
18JUL17	B	1	PD18OCT17	9575.00	11065.00	USD	8,940.00	
18JUL17	B	1	PD18OCT17	9555.00	11065.00	USD	9,060.00	
18JUL17	S	2	PD18OCT17	9600.00	11065.00	USD	-17,580.00	
18JUL17	S	1	PD18OCT17	9610.00	11065.00	USD	-8,730.00	
18JUL17	S	1	PD18OCT17	9620.00	11065.00	USD	-8,670.00	
18JUL17	S	1	PD18OCT17	9640.00	11065.00	USD	-8,550.00	
18JUL17	S	1	PD18OCT17	9650.00	11065.00	USD	-8,490.00	
18JUL17	S	1	PD18OCT17	9635.00	11065.00	USD	-8,580.00	
18JUL17	S	2	PD18OCT17	9645.00	11065.00	USD	-17,040.00	
18JUL17	B	1	PD18OCT17	9650.00	11065.00	USD	8,490.00	
18JUL17	B	1	PD18OCT17	9645.00	11065.00	USD	8,520.00	
18JUL17	B	1	PD18OCT17	9695.00	11065.00	USD	8,220.00	
18JUL17	S	1	PD18OCT17	9705.00	11065.00	USD	-8,160.00	
18JUL17	S	1	PD18OCT17	9745.00	11065.00	USD	-7,920.00	
18JUL17	S	1	PD18OCT17	9750.00	11065.00	USD	-7,890.00	
18JUL17	B	1	PD18OCT17	9720.00	11065.00	USD	8,070.00	
18JUL17	B	1	PD18OCT17	9725.00	11065.00	USD	8,040.00	
18JUL17	B	1	PD18OCT17	9715.00	11065.00	USD	8,100.00	
01AUG17	B	4	PD18OCT17	10284.50	11065.00	USD	18,732.00	
	NET	0AVG BGHT 9868.17	SOLD 9653.75				-15,438.00	0.00
19JUL17	B	1	PD19OCT17	9695.00	11065.96	USD	8,225.76	
19JUL17	S	1	PD19OCT17	9765.00	11065.96	USD	-7,805.76	
19JUL17	B	2	PD19OCT17	9700.00	11065.96	USD	16,391.52	
19JUL17	B	1	PD19OCT17	9710.00	11065.96	USD	8,135.76	
24JUL17	S	3	PD19OCT17	9776.00	11065.96	USD	-23,219.28	
	NET	0AVG BGHT 9701.25	SOLD 9773.25				1,728.00	0.00
20JUL17	S	1	PD20OCT17	9625.00	11066.93	USD	-8,651.58	
20JUL17	S	1	PD20OCT17	9645.00	11066.93	USD	-8,531.58	
20JUL17	S	1	PD20OCT17	9640.00	11066.93	USD	-8,561.58	
20JUL17	B	1	PD20OCT17	9595.00	11066.93	USD	8,831.58	
20JUL17	B	1	PD20OCT17	9590.00	11066.93	USD	8,861.58	
20JUL17	S	1	PD20OCT17	9585.00	11066.93	USD	-8,891.58	
20JUL17	B	1	PD20OCT17	9580.00	11066.93	USD	8,921.58	
20JUL17	B	1	PD20OCT17	9540.00	11066.93	USD	9,161.58	
20JUL17	B	1	PD20OCT17	9500.00	11066.93	USD	9,401.58	
20JUL17	B	1	PD20OCT17	9490.00	11066.93	USD	9,461.58	
20JUL17	B	1	PD20OCT17	9475.00	11066.93	USD	9,551.58	
20JUL17	B	1	PD20OCT17	9470.00	11066.93	USD	9,581.58	

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20JUL17	S	1	PD200CT17	9515.00	11066.93	USD	-9,311.58	
21JUL17	S	1	PD200CT17	9570.00	11066.93	USD	-8,981.58	
21JUL17	S	1	PD200CT17	9580.00	11066.93	USD	-8,921.58	
21JUL17	S	3	PD200CT17	9590.00	11066.93	USD	-26,584.74	
21JUL17	S	1	PD200CT17	9605.00	11066.93	USD	-8,771.58	
21JUL17	S	1	PD200CT17	9610.00	11066.93	USD	-8,741.58	
21JUL17	S	2	PD200CT17	9635.00	11066.93	USD	-17,183.16	
21JUL17	S	2	PD200CT17	9630.00	11066.93	USD	-17,243.16	
21JUL17	S	1	PD200CT17	9640.00	11066.93	USD	-8,561.58	
21JUL17	B	1	PD200CT17	9605.00	11066.93	USD	8,771.58	
21JUL17	B	1	PD200CT17	9565.00	11066.93	USD	9,011.58	
21JUL17	S	1	PD200CT17	9565.00	11066.93	USD	-9,011.58	
21JUL17	B	1	PD200CT17	9520.00	11066.93	USD	9,281.58	
01AUG17	B	7	PD200CT17	10285.29	11066.93	USD	32,828.88	
	NET	0AVG BGHT 9829.28	SOLD 9604.44				-24,282.18	0.00
24JUL17	S	1	PD240CT17	9610.00	11070.79	USD	-8,764.74	
24JUL17	S	1	PD240CT17	9640.00	11070.79	USD	-8,584.74	
24JUL17	S	1	PD240CT17	9650.00	11070.79	USD	-8,524.74	
24JUL17	S	1	PD240CT17	9655.00	11070.79	USD	-8,494.74	
24JUL17	S	1	PD240CT17	9685.00	11070.79	USD	-8,314.74	
24JUL17	S	1	PD240CT17	9695.00	11070.79	USD	-8,254.74	
24JUL17	S	1	PD240CT17	9700.00	11070.79	USD	-8,224.74	
24JUL17	S	1	PD240CT17	9710.00	11070.79	USD	-8,164.74	
24JUL17	S	1	PD240CT17	9725.00	11070.79	USD	-8,074.74	
24JUL17	S	1	PD240CT17	9655.00	11070.79	USD	-8,494.74	
01AUG17	B	10	PD240CT17	10286.86	11070.79	USD	47,035.80	
	NET	0AVG BGHT 10286.86	SOLD 9672.50				-36,861.60	0.00
25JUL17	S	1	PD250CT17	9855.00	11071.75	USD	-7,300.50	
25JUL17	S	1	PD250CT17	9890.00	11071.75	USD	-7,090.50	
25JUL17	S	2	PD250CT17	9895.00	11071.75	USD	-14,121.00	
25JUL17	B	1	PD250CT17	9935.00	11071.75	USD	6,820.50	
01AUG17	B	3	PD250CT17	10287.25	11071.75	USD	14,121.00	
	NET	0AVG BGHT 10199.19	SOLD 9883.75				-7,570.50	0.00
26JUL17	B	1	PD260CT17	10050.00	11072.71	USD	6,136.26	
26JUL17	B	2	PD260CT17	10040.00	11072.71	USD	12,392.52	
26JUL17	B	2	PD260CT17	10045.00	11072.71	USD	12,332.52	
26JUL17	B	1	PD260CT17	10035.00	11072.71	USD	6,226.26	
26JUL17	B	1	PD260CT17	9990.00	11072.71	USD	6,496.26	
26JUL17	B	1	PD260CT17	9905.00	11072.71	USD	7,006.26	
26JUL17	B	1	PD260CT17	9890.00	11072.71	USD	7,096.26	
26JUL17	B	2	PD260CT17	9885.00	11072.71	USD	14,252.52	
26JUL17	B	1	PD260CT17	9865.00	11072.71	USD	7,246.26	
26JUL17	S	1	PD260CT17	9925.00	11072.71	USD	-6,886.26	
26JUL17	S	1	PD260CT17	9940.00	11072.71	USD	-6,796.26	
26JUL17	B	1	PD260CT17	9970.00	11072.71	USD	6,616.26	
26JUL17	B	1	PD260CT17	9965.00	11072.71	USD	6,646.26	
26JUL17	B	1	PD260CT17	9955.00	11072.71	USD	6,706.26	
26JUL17	B	2	PD260CT17	9950.00	11072.71	USD	13,472.52	
26JUL17	B	1	PD260CT17	9940.00	11072.71	USD	6,796.26	
26JUL17	B	1	PD260CT17	9935.00	11072.71	USD	6,826.26	
26JUL17	S	2	PD260CT17	10015.00	11072.71	USD	-12,692.52	
26JUL17	S	1	PD260CT17	10005.00	11072.71	USD	-6,406.26	
26JUL17	S	2	PD260CT17	10045.00	11072.71	USD	-12,332.52	
26JUL17	B	1	PD260CT17	10005.00	11072.71	USD	6,406.26	
26JUL17	S	2	PD260CT17	10000.00	11072.71	USD	-12,872.52	
26JUL17	B	1	PD260CT17	9995.00	11072.71	USD	6,466.26	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	12	PD260CT17	10286.64	11072.71	USD	-56,597.04	
	NET	0 AVG BGHT 9968.57	SOLD 10163.32				24,538.08	0.00
27JUL17	B	3	PD270CT17	10010.00	11073.68	USD	19,146.24	
27JUL17	B	1	PD270CT17	10080.00	11073.68	USD	5,962.08	
27JUL17	B	4	PD270CT17	10165.00	11073.68	USD	21,808.32	
27JUL17	S	1	PD270CT17	10190.00	11073.68	USD	-5,302.08	
27JUL17	B	3	PD270CT17	10135.00	11073.68	USD	16,896.24	
27JUL17	S	1	PD270CT17	10180.00	11073.68	USD	-5,362.08	
27JUL17	B	5	PD270CT17	10170.00	11073.68	USD	27,110.40	
27JUL17	B	3	PD270CT17	10150.00	11073.68	USD	16,626.24	
27JUL17	B	4	PD270CT17	10140.00	11073.68	USD	22,408.32	
28JUL17	B	1	PD270CT17	10090.00	11073.68	USD	5,902.08	
28JUL17	B	2	PD270CT17	10030.00	11073.68	USD	12,524.16	
28JUL17	S	1	PD270CT17	10125.00	11073.68	USD	-5,692.08	
28JUL17	S	1	PD270CT17	10130.00	11073.68	USD	-5,662.08	
28JUL17	S	2	PD270CT17	10135.00	11073.68	USD	-11,264.16	
28JUL17	S	2	PD270CT17	10155.00	11073.68	USD	-11,024.16	
28JUL17	S	1	PD270CT17	10180.00	11073.68	USD	-5,362.08	
28JUL17	S	2	PD270CT17	10210.00	11073.68	USD	-10,364.16	
28JUL17	S	1	PD270CT17	10205.00	11073.68	USD	-5,212.08	
28JUL17	B	2	PD270CT17	10200.00	11073.68	USD	10,484.16	
28JUL17	B	1	PD270CT17	10200.00	11073.68	USD	5,242.08	
28JUL17	B	2	PD270CT17	10205.00	11073.68	USD	10,424.16	
28JUL17	S	1	PD270CT17	10250.00	11073.68	USD	-4,942.08	
28JUL17	B	2	PD270CT17	10275.00	11073.68	USD	9,584.16	
28JUL17	B	1	PD270CT17	10280.00	11073.68	USD	4,762.08	
01AUG17	S	12	PD270CT17	10287.04	11073.68	USD	-56,638.08	
03AUG17	S	9	PD270CT17	10378.50	11073.68	USD	-37,539.72	
	NET	0 AVG BGHT 10147.79	SOLD 10267.97				24,515.88	0.00
31JUL17	S	1	PD310CT17	10325.00	11077.54	USD	-4,515.24	
31JUL17	B	2	PD310CT17	10315.00	11077.54	USD	9,150.48	
31JUL17	S	2	PD310CT17	10300.00	11077.54	USD	-9,330.48	
31JUL17	B	1	PD310CT17	10280.00	11077.54	USD	4,785.24	
31JUL17	S	2	PD310CT17	10320.00	11077.54	USD	-9,090.48	
31JUL17	B	1	PD310CT17	10295.00	11077.54	USD	4,695.24	
31JUL17	B	1	PD310CT17	10320.00	11077.54	USD	4,545.24	
31JUL17	S	2	PD310CT17	10315.00	11077.54	USD	-9,150.48	
31JUL17	B	2	PD310CT17	10275.00	11077.54	USD	9,630.48	
31JUL17	S	1	PD310CT17	10270.00	11077.54	USD	-4,845.24	
31JUL17	B	1	PD310CT17	10265.00	11077.54	USD	4,875.24	
31JUL17	B	1	PD310CT17	10250.00	11077.54	USD	4,965.24	
31JUL17	B	2	PD310CT17	10270.00	11077.54	USD	9,690.48	
31JUL17	B	2	PD310CT17	10225.00	11077.54	USD	10,230.48	
31JUL17	B	1	PD310CT17	10220.00	11077.54	USD	5,145.24	
03AUG17	S	2	PD310CT17	10379.36	11077.54	USD	-8,378.16	
07AUG17	S	4	PD310CT17	10396.85	11077.54	USD	-16,336.56	
	NET	0 AVG BGHT 10271.43	SOLD 10343.65				6,066.72	0.00
01AUG17	B	1	PD01NOV17	10120.00	11078.50	USD	5,751.00	
01AUG17	S	1	PD01NOV17	10150.00	11078.50	USD	-5,571.00	
01AUG17	S	1	PD01NOV17	10265.00	11078.50	USD	-4,881.00	
01AUG17	S	1	PD01NOV17	10260.00	11078.50	USD	-4,911.00	
01AUG17	S	2	PD01NOV17	10270.00	11078.50	USD	-9,702.00	
01AUG17	S	1	PD01NOV17	10280.00	11078.50	USD	-4,791.00	
01AUG17	B	1	PD01NOV17	10255.00	11078.50	USD	4,941.00	
03AUG17	B	4	PD01NOV17	10380.57	11078.50	USD	16,750.32	
	NET	0 AVG BGHT 10316.21	SOLD 10249.17				-2,413.68	0.00

OPEN POSITION STATEMENT



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SAP0112A

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
02AUG17	B	1	PD02NOV17		10205.00	11079.46	USD	5,246.76	
02AUG17	S	1	PD02NOV17		10215.00	11079.46	USD	-5,186.76	
02AUG17	S	1	PD02NOV17		10190.00	11079.46	USD	-5,336.76	
02AUG17	S	1	PD02NOV17		10255.00	11079.46	USD	-4,946.76	
02AUG17	S	1	PD02NOV17		10295.00	11079.46	USD	-4,706.76	
02AUG17	S	1	PD02NOV17		10290.00	11079.46	USD	-4,736.76	
02AUG17	S	1	PD02NOV17		10310.00	11079.46	USD	-4,616.76	
02AUG17	S	2	PD02NOV17		10345.00	11079.46	USD	-8,813.52	
03AUG17	B	7	PD02NOV17		10380.79	11079.46	USD	29,344.14	
26SEP17	B	21	PD02NOV17		10426.93	11079.46	USD	82,218.78	
11OCT17	S	21	PD02NOV17		11079.46	11079.46	USD	0.00	
	NET	0 AVG	BGHT 10408.14	SOLD 10859.09				78,465.60	0.00
03AUG17	B	2	PD03NOV17		10375.00	11080.43	USD	8,465.16	
03AUG17	B	1	PD03NOV17		10360.00	11080.43	USD	4,322.58	
03AUG17	S	2	PD03NOV17		10300.00	11080.43	USD	-9,365.16	
03AUG17	S	1	PD03NOV17		10310.00	11080.43	USD	-4,622.58	
03AUG17	S	1	PD03NOV17		10320.00	11080.43	USD	-4,562.58	
03AUG17	B	1	PD03NOV17		10265.00	11080.43	USD	4,892.58	
03AUG17	B	1	PD03NOV17		10255.00	11080.43	USD	4,952.58	
03AUG17	B	1	PD03NOV17		10290.00	11080.43	USD	4,742.58	
03AUG17	S	1	PD03NOV17		10325.00	11080.43	USD	-4,532.58	
03AUG17	B	1	PD03NOV17		10325.00	11080.43	USD	4,532.58	
03AUG17	S	1	PD03NOV17		10350.00	11080.43	USD	-4,382.58	
03AUG17	S	3	PD03NOV17		10355.00	11080.43	USD	-13,057.74	
03AUG17	S	1	PD03NOV17		10385.00	11080.43	USD	-4,172.58	
03AUG17	S	1	PD03NOV17		10380.00	11080.43	USD	-4,202.58	
03AUG17	S	1	PD03NOV17		10360.00	11080.43	USD	-4,322.58	
03AUG17	B	1	PD03NOV17		10370.00	11080.43	USD	4,262.58	
04AUG17	S	1	PD03NOV17		10400.00	11080.43	USD	-4,082.58	
04AUG17	S	1	PD03NOV17		10405.00	11080.43	USD	-4,052.58	
04AUG17	B	1	PD03NOV17		10335.00	11080.43	USD	4,472.58	
04AUG17	B	1	PD03NOV17		10315.00	11080.43	USD	4,592.58	
04AUG17	B	1	PD03NOV17		10305.00	11080.43	USD	4,652.58	
04AUG17	B	1	PD03NOV17		10235.00	11080.43	USD	5,072.58	
04AUG17	B	1	PD03NOV17		10245.00	11080.43	USD	5,012.58	
04AUG17	B	1	PD03NOV17		10220.00	11080.43	USD	5,162.58	
04AUG17	S	1	PD03NOV17		10200.00	11080.43	USD	-5,282.58	
04AUG17	S	1	PD03NOV17		10310.00	11080.43	USD	-4,622.58	
04AUG17	S	2	PD03NOV17		10325.00	11080.43	USD	-9,065.16	
07AUG17	B	4	PD03NOV17		10399.20	11080.43	USD	16,349.52	
	NET	0 AVG	BGHT 10325.93	SOLD 10336.67				1,159.20	0.00
07AUG17	S	1	PD07NOV17		10350.00	11084.29	USD	-4,405.74	
07AUG17	S	2	PD07NOV17		10390.00	11084.29	USD	-8,331.48	
07AUG17	S	2	PD07NOV17		10395.00	11084.29	USD	-8,271.48	
07AUG17	B	2	PD07NOV17		10365.00	11084.29	USD	8,631.48	
07AUG17	B	1	PD07NOV17		10335.00	11084.29	USD	4,495.74	
07AUG17	S	2	PD07NOV17		10365.00	11084.29	USD	-8,631.48	
07AUG17	S	2	PD07NOV17		10355.00	11084.29	USD	-8,751.48	
07AUG17	S	1	PD07NOV17		10370.00	11084.29	USD	-4,285.74	
07AUG17	S	4	PD07NOV17		10360.00	11084.29	USD	-17,382.96	
07AUG17	B	3	PD07NOV17		10345.00	11084.29	USD	13,307.22	
07AUG17	S	2	PD07NOV17		10380.00	11084.29	USD	-8,451.48	
07AUG17	B	1	PD07NOV17		10370.00	11084.29	USD	4,285.74	
07AUG17	B	3	PD07NOV17		10360.00	11084.29	USD	13,037.22	
07AUG17	B	1	PD07NOV17		10380.00	11084.29	USD	4,225.74	
14AUG17	B	5	PD07NOV17		10445.46	11084.29	USD	19,164.90	
	NET	0 AVG	BGHT 10384.83	SOLD 10370.63					0.00

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						-1,363.80	
08AUG17	B	2	PD08NOV17	10300.00	11085.25	USD	9,423.00	
08AUG17	B	2	PD08NOV17	10295.00	11085.25	USD	9,483.00	
08AUG17	B	1	PD08NOV17	10305.00	11085.25	USD	4,681.50	
08AUG17	B	3	PD08NOV17	10290.00	11085.25	USD	14,314.50	
08AUG17	B	2	PD08NOV17	10260.00	11085.25	USD	9,903.00	
08AUG17	S	1	PD08NOV17	10325.00	11085.25	USD	-4,561.50	
08AUG17	B	1	PD08NOV17	10300.00	11085.25	USD	4,711.50	
08AUG17	S	1	PD08NOV17	10330.00	11085.25	USD	-4,531.50	
08AUG17	B	1	PD08NOV17	10325.00	11085.25	USD	4,561.50	
08AUG17	B	4	PD08NOV17	10310.00	11085.25	USD	18,606.00	
08AUG17	S	1	PD08NOV17	10335.00	11085.25	USD	-4,501.50	
08AUG17	S	2	PD08NOV17	10345.00	11085.25	USD	-8,883.00	
08AUG17	S	1	PD08NOV17	10350.00	11085.25	USD	-4,411.50	
08AUG17	S	2	PD08NOV17	10370.00	11085.25	USD	-8,583.00	
08AUG17	S	1	PD08NOV17	10365.00	11085.25	USD	-4,321.50	
08AUG17	S	2	PD08NOV17	10355.00	11085.25	USD	-8,763.00	
08AUG17	S	3	PD08NOV17	10360.00	11085.25	USD	-13,054.50	
08AUG17	B	1	PD08NOV17	10370.00	11085.25	USD	4,291.50	
08AUG17	S	1	PD08NOV17	10425.00	11085.25	USD	-3,961.50	
08AUG17	S	1	PD08NOV17	10445.00	11085.25	USD	-3,841.50	
08AUG17	S	1	PD08NOV17	10455.00	11085.25	USD	-3,781.50	
08AUG17	S	1	PD08NOV17	10500.00	11085.25	USD	-3,511.50	
08AUG17	S	1	PD08NOV17	10535.00	11085.25	USD	-3,301.50	
08AUG17	S	1	PD08NOV17	10530.00	11085.25	USD	-3,331.50	
08AUG17	S	1	PD08NOV17	10540.00	11085.25	USD	-3,271.50	
08AUG17	S	1	PD08NOV17	10530.00	11085.25	USD	-3,331.50	
08AUG17	S	1	PD08NOV17	10615.00	11085.25	USD	-2,821.50	
08AUG17	S	1	PD08NOV17	10685.00	11085.25	USD	-2,401.50	
08AUG17	B	1	PD08NOV17	10760.00	11085.25	USD	1,951.50	
08AUG17	B	1	PD08NOV17	10720.00	11085.25	USD	2,191.50	
08AUG17	S	1	PD08NOV17	10695.00	11085.25	USD	-2,341.50	
14AUG17	B	6	PD08NOV17	10446.11	11085.25	USD	23,009.04	
	NET	0AVG BGHT 10371.07	SOLD 10435.20				9,620.04	0.00
09AUG17	S	1	PD09NOV17	10725.00	11086.21	USD	-2,167.26	
09AUG17	S	1	PD09NOV17	10755.00	11086.21	USD	-1,987.26	
09AUG17	S	1	PD09NOV17	10735.00	11086.21	USD	-2,107.26	
09AUG17	S	2	PD09NOV17	10730.00	11086.21	USD	-4,274.52	
09AUG17	S	1	PD09NOV17	10750.00	11086.21	USD	-2,017.26	
09AUG17	B	1	PD09NOV17	10750.00	11086.21	USD	2,017.26	
09AUG17	B	1	PD09NOV17	10735.00	11086.21	USD	2,107.26	
14AUG17	B	4	PD09NOV17	10446.76	11086.21	USD	15,346.80	
	NET	0AVG BGHT 10545.34	SOLD 10737.50				6,917.76	0.00
10AUG17	B	1	PD10NOV17	10810.00	11087.18	USD	1,663.08	
10AUG17	B	2	PD10NOV17	10740.00	11087.18	USD	4,166.16	
10AUG17	S	1	PD10NOV17	10760.00	11087.18	USD	-1,963.08	
10AUG17	B	1	PD10NOV17	10855.00	11087.18	USD	1,393.08	
10AUG17	B	1	PD10NOV17	10850.00	11087.18	USD	1,423.08	
10AUG17	S	1	PD10NOV17	10915.00	11087.18	USD	-1,033.08	
10AUG17	S	2	PD10NOV17	10935.00	11087.18	USD	-1,826.16	
10AUG17	S	1	PD10NOV17	10910.00	11087.18	USD	-1,063.08	
10AUG17	S	1	PD10NOV17	10940.00	11087.18	USD	-883.08	
10AUG17	S	2	PD10NOV17	10945.00	11087.18	USD	-1,706.16	
10AUG17	B	1	PD10NOV17	10885.00	11087.18	USD	1,213.08	
10AUG17	B	1	PD10NOV17	10880.00	11087.18	USD	1,243.08	
10AUG17	B	1	PD10NOV17	10875.00	11087.18	USD	1,273.08	
11AUG17	B	4	PD10NOV17	10750.00	11087.18	USD	8,092.32	

OPEN POSITION STATEMENT



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11AUG17	B	5	PD10NOV17	10760.00	11087.18	USD	9,815.40	
11AUG17	B	5	PD10NOV17	10745.00	11087.18	USD	10,265.40	
11AUG17	B	1	PD10NOV17	10680.00	11087.18	USD	2,443.08	
11AUG17	B	2	PD10NOV17	10670.00	11087.18	USD	5,006.16	
11AUG17	B	2	PD10NOV17	10655.00	11087.18	USD	5,186.16	
11AUG17	B	3	PD10NOV17	10665.00	11087.18	USD	7,599.24	
11AUG17	B	1	PD10NOV17	10640.00	11087.18	USD	2,683.08	
11AUG17	B	1	PD10NOV17	10630.00	11087.18	USD	2,743.08	
11AUG17	B	2	PD10NOV17	10625.00	11087.18	USD	5,546.16	
11AUG17	B	4	PD10NOV17	10650.00	11087.18	USD	10,492.32	
11AUG17	S	1	PD10NOV17	10705.00	11087.18	USD	-2,293.08	
11AUG17	B	4	PD10NOV17	10660.00	11087.18	USD	10,252.32	
11AUG17	B	3	PD10NOV17	10645.00	11087.18	USD	7,959.24	
11AUG17	B	3	PD10NOV17	10635.00	11087.18	USD	8,139.24	
11AUG17	B	1	PD10NOV17	10615.00	11087.18	USD	2,833.08	
11AUG17	B	1	PD10NOV17	10590.00	11087.18	USD	2,983.08	
11AUG17	B	1	PD10NOV17	10745.00	11087.18	USD	2,053.08	
11AUG17	B	1	PD10NOV17	10665.00	11087.18	USD	2,533.08	
11AUG17	B	1	PD10NOV17	10640.00	11087.18	USD	2,683.08	
11AUG17	B	1	PD10NOV17	10630.00	11087.18	USD	2,743.08	
11AUG17	B	1	PD10NOV17	10675.00	11087.18	USD	2,473.08	
11AUG17	S	1	PD10NOV17	10645.00	11087.18	USD	-2,653.08	
11AUG17	S	1	PD10NOV17	10680.00	11087.18	USD	-2,443.08	
11AUG17	B	3	PD10NOV17	10620.00	11087.18	USD	8,409.24	
11AUG17	S	1	PD10NOV17	10615.00	11087.18	USD	-2,833.08	
11AUG17	S	3	PD10NOV17	10675.00	11087.18	USD	-7,419.24	
11AUG17	S	7	PD10NOV17	10665.00	11087.18	USD	-17,731.56	
11AUG17	S	1	PD10NOV17	10640.00	11087.18	USD	-2,683.08	
14AUG17	S	15	PD10NOV17	10446.41	11087.18	USD	-57,669.30	
22AUG17	S	20	PD10NOV17	11411.04	11087.18	USD	38,863.20	
	NET	0 AVG BGHT 10698.36	SOLD 10899.43				69,971.70	0.00
14AUG17	B	1	PD14NOV17	10610.00	11091.04	USD	2,886.24	
14AUG17	B	1	PD14NOV17	10615.00	11091.04	USD	2,856.24	
14AUG17	S	2	PD14NOV17	10570.00	11091.04	USD	-6,252.48	
14AUG17	B	2	PD14NOV17	10575.00	11091.04	USD	6,192.48	
14AUG17	B	1	PD14NOV17	10530.00	11091.04	USD	3,366.24	
14AUG17	B	1	PD14NOV17	10485.00	11091.04	USD	3,636.24	
14AUG17	B	1	PD14NOV17	10475.00	11091.04	USD	3,696.24	
14AUG17	B	1	PD14NOV17	10490.00	11091.04	USD	3,606.24	
14AUG17	B	1	PD14NOV17	10510.00	11091.04	USD	3,486.24	
14AUG17	S	1	PD14NOV17	10510.00	11091.04	USD	-3,486.24	
14AUG17	B	1	PD14NOV17	10495.00	11091.04	USD	3,576.24	
14AUG17	B	1	PD14NOV17	10400.00	11091.04	USD	4,146.24	
14AUG17	S	2	PD14NOV17	10435.00	11091.04	USD	-7,872.48	
14AUG17	S	2	PD14NOV17	10430.00	11091.04	USD	-7,932.48	
22AUG17	S	4	PD14NOV17	11413.81	11091.04	USD	7,746.48	
	NET	0 AVG BGHT 10523.64	SOLD 10821.39				19,651.44	0.00
21AUG17	S	3	PD21NOV17	11185.00	11096.63	USD	1,590.66	
21AUG17	S	6	PD21NOV17	11190.00	11096.63	USD	3,361.32	
21AUG17	S	1	PD21NOV17	11210.00	11096.63	USD	680.22	
21AUG17	S	3	PD21NOV17	11195.00	11096.63	USD	1,770.66	
21AUG17	S	3	PD21NOV17	11215.00	11096.63	USD	2,130.66	
21AUG17	S	3	PD21NOV17	11230.00	11096.63	USD	2,400.66	
21AUG17	S	1	PD21NOV17	11220.00	11096.63	USD	740.22	
21AUG17	S	2	PD21NOV17	11225.00	11096.63	USD	1,540.44	
21AUG17	S	2	PD21NOV17	11190.00	11096.63	USD	1,120.44	
22AUG17	B	24	PD21NOV17	11415.58	11096.63	USD	-45,928.80	

OPEN POSITION STATEMENT



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SAP0112A

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	11415.58		SOLD	11203.13	-30,593.52	0.00
22AUG17	S	1	PD22NOV17		11330.00	11097.40	USD	1,395.60	
22AUG17	S	1	PD22NOV17		11325.00	11097.40	USD	1,365.60	
22AUG17	S	1	PD22NOV17		11335.00	11097.40	USD	1,425.60	
22AUG17	S	1	PD22NOV17		11345.00	11097.40	USD	1,485.60	
22AUG17	S	1	PD22NOV17		11355.00	11097.40	USD	1,545.60	
22AUG17	S	1	PD22NOV17		11425.00	11097.40	USD	1,965.60	
22AUG17	S	1	PD22NOV17		11455.00	11097.40	USD	2,145.60	
22AUG17	B	1	PD22NOV17		11465.00	11097.40	USD	-2,205.60	
22AUG17	S	1	PD22NOV17		11450.00	11097.40	USD	2,115.60	
22AUG17	S	1	PD22NOV17		11465.00	11097.40	USD	2,205.60	
22AUG17	B	1	PD22NOV17		11420.00	11097.40	USD	-1,935.60	
22AUG17	B	2	PD22NOV17		11410.00	11097.40	USD	-3,751.20	
22AUG17	B	1	PD22NOV17		11435.00	11097.40	USD	-2,025.60	
29AUG17	B	4	PD22NOV17		11705.15	11097.40	USD	-14,586.00	
	NET	0 AVG	BGHT	11551.18		SOLD	11387.22	-8,853.60	0.00
23AUG17	B	1	PD24NOV17		11330.00	11098.94	USD	-1,386.36	
23AUG17	B	1	PD24NOV17		11335.00	11098.94	USD	-1,416.36	
23AUG17	B	1	PD24NOV17		11325.00	11098.94	USD	-1,356.36	
23AUG17	B	1	PD24NOV17		11360.00	11098.94	USD	-1,566.36	
24AUG17	S	1	PD24NOV17		11730.00	11098.94	USD	3,786.36	
24AUG17	S	1	PD24NOV17		11750.00	11098.94	USD	3,906.36	
24AUG17	S	1	PD24NOV17		11715.00	11098.94	USD	3,696.36	
24AUG17	S	1	PD24NOV17		11720.00	11098.94	USD	3,726.36	
24AUG17	S	1	PD24NOV17		11710.00	11098.94	USD	3,666.36	
24AUG17	S	1	PD24NOV17		11665.00	11098.94	USD	3,396.36	
24AUG17	S	2	PD24NOV17		11740.00	11098.94	USD	7,692.72	
24AUG17	S	1	PD24NOV17		11765.00	11098.94	USD	3,996.36	
25AUG17	B	1	PD24NOV17		11720.00	11098.94	USD	-3,726.36	
25AUG17	B	1	PD24NOV17		11685.00	11098.94	USD	-3,516.36	
25AUG17	B	2	PD24NOV17		11680.00	11098.94	USD	-6,972.72	
25AUG17	B	1	PD24NOV17		11650.00	11098.94	USD	-3,306.36	
25AUG17	B	1	PD24NOV17		11605.00	11098.94	USD	-3,036.36	
25AUG17	B	2	PD24NOV17		11575.00	11098.94	USD	-5,712.72	
25AUG17	B	1	PD24NOV17		11565.00	11098.94	USD	-2,796.36	
25AUG17	S	1	PD24NOV17		11495.00	11098.94	USD	2,376.36	
25AUG17	S	1	PD24NOV17		11540.00	11098.94	USD	2,646.36	
25AUG17	B	1	PD24NOV17		11500.00	11098.94	USD	-2,406.36	
25AUG17	B	1	PD24NOV17		11525.00	11098.94	USD	-2,556.36	
25AUG17	B	1	PD24NOV17		11515.00	11098.94	USD	-2,496.36	
25AUG17	B	1	PD24NOV17		11510.00	11098.94	USD	-2,466.36	
25AUG17	B	1	PD24NOV17		11560.00	11098.94	USD	-2,766.36	
25AUG17	B	1	PD24NOV17		11545.00	11098.94	USD	-2,676.36	
25AUG17	B	5	PD24NOV17		11550.00	11098.94	USD	-13,531.80	
25AUG17	S	1	PD24NOV17		11500.00	11098.94	USD	2,406.36	
25AUG17	S	1	PD24NOV17		11460.00	11098.94	USD	2,166.36	
25AUG17	S	1	PD24NOV17		11470.00	11098.94	USD	2,226.36	
25AUG17	S	1	PD24NOV17		11465.00	11098.94	USD	2,196.36	
29AUG17	S	4	PD24NOV17		11705.54	11098.94	USD	14,558.40	
06SEP17	S	5	PD24NOV17		12157.80	11098.94	USD	31,765.80	
	NET	0 AVG	BGHT	11541.25		SOLD	11753.17	30,516.96	0.00
29AUG17	S	1	PD29NOV17		11795.00	11102.80	USD	4,153.20	
29AUG17	S	3	PD29NOV17		11785.00	11102.80	USD	12,279.60	
29AUG17	S	1	PD29NOV17		11780.00	11102.80	USD	4,063.20	
29AUG17	S	1	PD29NOV17		11805.00	11102.80	USD	4,213.20	
29AUG17	S	1	PD29NOV17		11805.00	11102.80	USD	4,213.20	

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29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	B	2	PD29NOV17	11865.00	11102.80	USD	-9,146.40	
29AUG17	B	1	PD29NOV17	11880.00	11102.80	USD	-4,663.20	
29AUG17	B	1	PD29NOV17	11820.00	11102.80	USD	-4,303.20	
29AUG17	B	1	PD29NOV17	11805.00	11102.80	USD	-4,213.20	
29AUG17	S	2	PD29NOV17	11800.00	11102.80	USD	8,366.40	
06SEP17	B	5	PD29NOV17	12161.80	11102.80	USD	-31,770.00	
	NET	0 AVG	BGHT 12004.40				-12,504.00	0.00
30AUG17	B	1	PD30NOV17	11690.00	11103.57	USD	-3,518.58	
30AUG17	B	1	PD30NOV17	11695.00	11103.57	USD	-3,548.58	
30AUG17	B	2	PD30NOV17	11675.00	11103.57	USD	-6,857.16	
30AUG17	B	1	PD30NOV17	11670.00	11103.57	USD	-3,398.58	
30AUG17	S	1	PD30NOV17	11670.00	11103.57	USD	3,398.58	
30AUG17	B	1	PD30NOV17	11645.00	11103.57	USD	-3,248.58	
30AUG17	S	1	PD30NOV17	11645.00	11103.57	USD	3,248.58	
30AUG17	B	1	PD30NOV17	11605.00	11103.57	USD	-3,008.58	
30AUG17	S	2	PD30NOV17	11635.00	11103.57	USD	6,377.16	
30AUG17	S	1	PD30NOV17	11660.00	11103.57	USD	3,338.58	
30AUG17	S	1	PD30NOV17	11685.00	11103.57	USD	3,488.58	
30AUG17	S	1	PD30NOV17	11605.00	11103.57	USD	3,008.58	
31AUG17	B	1	PD30NOV17	11660.00	11103.57	USD	-3,338.58	
31AUG17	S	1	PD30NOV17	11675.00	11103.57	USD	3,428.58	
31AUG17	S	1	PD30NOV17	11685.00	11103.57	USD	3,488.58	
31AUG17	S	1	PD30NOV17	11680.00	11103.57	USD	3,458.58	
06SEP17	B	2	PD30NOV17	12161.40	11103.57	USD	-12,693.96	
	NET	0 AVG	BGHT 11763.78				-6,376.80	0.00
01SEP17	S	1	PD01DEC17	11970.00	11104.34	USD	5,193.96	
01SEP17	S	3	PD01DEC17	11965.00	11104.34	USD	15,491.88	
01SEP17	S	8	PD01DEC17	12050.00	11104.34	USD	45,391.68	
01SEP17	S	3	PD01DEC17	12055.00	11104.34	USD	17,111.88	
01SEP17	S	2	PD01DEC17	12075.00	11104.34	USD	11,647.92	
01SEP17	S	4	PD01DEC17	12080.00	11104.34	USD	23,415.84	
01SEP17	B	1	PD01DEC17	12060.00	11104.34	USD	-5,733.96	
01SEP17	B	1	PD01DEC17	12000.00	11104.34	USD	-5,373.96	
01SEP17	B	1	PD01DEC17	12005.00	11104.34	USD	-5,403.96	
01SEP17	B	2	PD01DEC17	11995.00	11104.34	USD	-10,687.92	
01SEP17	B	3	PD01DEC17	11985.00	11104.34	USD	-15,851.88	
01SEP17	B	1	PD01DEC17	12020.00	11104.34	USD	-5,493.96	
01SEP17	B	1	PD01DEC17	12015.00	11104.34	USD	-5,463.96	
01SEP17	S	1	PD01DEC17	12015.00	11104.34	USD	5,463.96	
01SEP17	B	3	PD01DEC17	11990.00	11104.34	USD	-15,941.88	
01SEP17	B	1	PD01DEC17	11975.00	11104.34	USD	-5,223.96	
01SEP17	S	4	PD01DEC17	11960.00	11104.34	USD	20,535.84	
01SEP17	B	1	PD01DEC17	11925.00	11104.34	USD	-4,923.96	
01SEP17	B	2	PD01DEC17	11935.00	11104.34	USD	-9,967.92	
01SEP17	B	3	PD01DEC17	11930.00	11104.34	USD	-14,861.88	
01SEP17	B	2	PD01DEC17	11955.00	11104.34	USD	-10,207.92	
01SEP17	B	1	PD01DEC17	11945.00	11104.34	USD	-5,043.96	
01SEP17	B	2	PD01DEC17	11970.00	11104.34	USD	-10,387.92	
01SEP17	B	1	PD01DEC17	12030.00	11104.34	USD	-5,553.96	
01SEP17	B	2	PD01DEC17	12010.00	11104.34	USD	-10,867.92	
01SEP17	S	1	PD01DEC17	12010.00	11104.34	USD	5,433.96	
01SEP17	S	1	PD01DEC17	12070.00	11104.34	USD	5,793.96	
01SEP17	S	1	PD01DEC17	12065.00	11104.34	USD	5,763.96	
01SEP17	S	1	PD01DEC17	12070.00	11104.34	USD	5,793.96	
01SEP17	B	2	PD01DEC17	12025.00	11104.34	USD	-11,047.92	
01SEP17	B	1	PD01DEC17	12105.00	11104.34	USD	-6,003.96	

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01SEP17	B	1	PD01DEC17		12130.00	11104.34	USD	-6,153.96	
06SEP17	S	2	PD01DEC17		12161.00	11104.34	USD	12,679.92	
	NET	0AVG	BGHT 11990.78	SOLD 12040.38				9,522.00	0.00
04SEP17	S	1	PD04DEC17		12270.00	11106.66	USD	6,980.04	
04SEP17	S	1	PD04DEC17		12280.00	11106.66	USD	7,040.04	
04SEP17	B	2	PD04DEC17		12255.00	11106.66	USD	-13,780.08	
04SEP17	S	1	PD04DEC17		12235.00	11106.66	USD	6,770.04	
04SEP17	B	2	PD04DEC17		12225.00	11106.66	USD	-13,420.08	
04SEP17	B	3	PD04DEC17		12240.00	11106.66	USD	-20,400.12	
04SEP17	S	2	PD04DEC17		12265.00	11106.66	USD	13,900.08	
04SEP17	B	3	PD04DEC17		12220.00	11106.66	USD	-20,040.12	
04SEP17	B	1	PD04DEC17		12210.00	11106.66	USD	-6,620.04	
04SEP17	B	1	PD04DEC17		12190.00	11106.66	USD	-6,500.04	
04SEP17	B	1	PD04DEC17		12150.00	11106.66	USD	-6,260.04	
04SEP17	B	1	PD04DEC17		12135.00	11106.66	USD	-6,170.04	
04SEP17	S	2	PD04DEC17		12120.00	11106.66	USD	12,160.08	
04SEP17	S	1	PD04DEC17		12140.00	11106.66	USD	6,200.04	
04SEP17	B	2	PD04DEC17		12200.00	11106.66	USD	-13,120.08	
04SEP17	S	1	PD04DEC17		12220.00	11106.66	USD	6,680.04	
04SEP17	B	1	PD04DEC17		12205.00	11106.66	USD	-6,590.04	
04SEP17	S	1	PD04DEC17		12245.00	11106.66	USD	6,830.04	
04SEP17	S	1	PD04DEC17		12255.00	11106.66	USD	6,890.04	
04SEP17	S	3	PD04DEC17		12260.00	11106.66	USD	20,760.12	
04SEP17	S	2	PD04DEC17		12250.00	11106.66	USD	13,720.08	
04SEP17	B	2	PD04DEC17		12270.00	11106.66	USD	-13,960.08	
04SEP17	S	1	PD04DEC17		12255.00	11106.66	USD	6,890.04	
06SEP17	S	1	PD04DEC17		12163.80	11106.66	USD	6,342.84	
08SEP17	S	1	PD04DEC17		11587.65	11106.66	USD	2,885.94	
	NET	0AVG	BGHT 12219.47	SOLD 12194.81				-2,811.30	0.00
05SEP17	B	2	PD05DEC17		12255.00	11107.43	USD	-13,770.84	
05SEP17	S	1	PD05DEC17		12255.00	11107.43	USD	6,885.42	
05SEP17	B	1	PD05DEC17		12210.00	11107.43	USD	-6,615.42	
05SEP17	B	1	PD05DEC17		12205.00	11107.43	USD	-6,585.42	
05SEP17	B	1	PD05DEC17		12195.00	11107.43	USD	-6,525.42	
05SEP17	S	1	PD05DEC17		12250.00	11107.43	USD	6,855.42	
05SEP17	B	1	PD05DEC17		12235.00	11107.43	USD	-6,765.42	
05SEP17	S	1	PD05DEC17		12230.00	11107.43	USD	6,735.42	
05SEP17	B	1	PD05DEC17		12220.00	11107.43	USD	-6,675.42	
05SEP17	B	1	PD05DEC17		12190.00	11107.43	USD	-6,495.42	
05SEP17	S	1	PD05DEC17		12215.00	11107.43	USD	6,645.42	
05SEP17	S	1	PD05DEC17		12225.00	11107.43	USD	6,705.42	
05SEP17	B	1	PD05DEC17		12170.00	11107.43	USD	-6,375.42	
05SEP17	S	2	PD05DEC17		12210.00	11107.43	USD	13,230.84	
05SEP17	S	1	PD05DEC17		12195.00	11107.43	USD	6,525.42	
05SEP17	B	1	PD05DEC17		12160.00	11107.43	USD	-6,315.42	
05SEP17	S	1	PD05DEC17		12180.00	11107.43	USD	6,435.42	
05SEP17	S	3	PD05DEC17		12190.00	11107.43	USD	19,486.26	
05SEP17	B	1	PD05DEC17		12125.00	11107.43	USD	-6,105.42	
06SEP17	B	1	PD05DEC17		12165.40	11107.43	USD	-6,347.82	
	NET	0AVG	BGHT 12198.78	SOLD 12211.67				927.60	0.00
06SEP17	B	1	PD06DEC17		12015.00	11108.20	USD	-5,440.80	
06SEP17	S	1	PD06DEC17		12225.00	11108.20	USD	6,700.80	
06SEP17	S	1	PD06DEC17		12245.00	11108.20	USD	6,820.80	
08SEP17	B	1	PD06DEC17		11589.83	11108.20	USD	-2,889.78	
	NET	0AVG	BGHT 11802.42	SOLD 12235.00				5,191.02	0.00
07SEP17	B	1	PD07DEC17		12145.00	11108.97	USD	-6,216.18	

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07SEP17	B	2	PD07DEC17	12125.00	11108.97	USD	-12,192.36	
07SEP17	B	1	PD07DEC17	12135.00	11108.97	USD	-6,156.18	
07SEP17	S	2	PD07DEC17	12125.00	11108.97	USD	12,192.36	
07SEP17	S	2	PD07DEC17	12120.00	11108.97	USD	12,132.36	
	NET	0AVG BGHT 12132.50	SOLD 12122.50				-240.00	0.00
08SEP17	S	1	PD08DEC17	12240.00	11109.74	USD	6,781.56	
08SEP17	S	1	PD08DEC17	12225.00	11109.74	USD	6,691.56	
08SEP17	B	1	PD08DEC17	12175.00	11109.74	USD	-6,391.56	
08SEP17	B	1	PD08DEC17	12155.00	11109.74	USD	-6,271.56	
08SEP17	B	2	PD08DEC17	12125.00	11109.74	USD	-12,183.12	
08SEP17	B	4	PD08DEC17	12120.00	11109.74	USD	-24,246.24	
08SEP17	B	1	PD08DEC17	12035.00	11109.74	USD	-5,551.56	
08SEP17	B	4	PD08DEC17	12030.00	11109.74	USD	-22,086.24	
08SEP17	B	1	PD08DEC17	12015.00	11109.74	USD	-5,431.56	
08SEP17	B	2	PD08DEC17	11995.00	11109.74	USD	-10,623.12	
08SEP17	B	1	PD08DEC17	11990.00	11109.74	USD	-5,281.56	
08SEP17	B	2	PD08DEC17	12000.00	11109.74	USD	-10,683.12	
08SEP17	B	1	PD08DEC17	11975.00	11109.74	USD	-5,191.56	
08SEP17	B	1	PD08DEC17	12005.00	11109.74	USD	-5,371.56	
08SEP17	B	1	PD08DEC17	12010.00	11109.74	USD	-5,401.56	
08SEP17	S	2	PD08DEC17	11995.00	11109.74	USD	10,623.12	
08SEP17	B	1	PD08DEC17	11945.00	11109.74	USD	-5,011.56	
08SEP17	B	1	PD08DEC17	11940.00	11109.74	USD	-4,981.56	
08SEP17	B	1	PD08DEC17	11940.00	11109.74	USD	-4,981.56	
08SEP17	B	1	PD08DEC17	11770.00	11109.74	USD	-3,961.56	
08SEP17	S	1	PD08DEC17	11760.00	11109.74	USD	3,901.56	
08SEP17	S	1	PD08DEC17	11590.00	11109.74	USD	2,881.56	
08SEP17	S	2	PD08DEC17	11595.00	11109.74	USD	5,823.12	
13SEP17	S	18	PD08DEC17	11356.46	11109.74	USD	26,645.76	
	NET	0AVG BGHT 12030.58	SOLD 11515.82				-80,302.32	0.00
11SEP17	S	1	PD11DEC17	11620.00	11112.06	USD	3,047.64	
11SEP17	S	1	PD11DEC17	11625.00	11112.06	USD	3,077.64	
11SEP17	S	1	PD11DEC17	11635.00	11112.06	USD	3,137.64	
11SEP17	S	2	PD11DEC17	11630.00	11112.06	USD	6,215.28	
11SEP17	S	1	PD11DEC17	11565.00	11112.06	USD	2,717.64	
11SEP17	S	4	PD11DEC17	11600.00	11112.06	USD	11,710.56	
11SEP17	S	1	PD11DEC17	11595.00	11112.06	USD	2,897.64	
11SEP17	S	4	PD11DEC17	11610.00	11112.06	USD	11,950.56	
11SEP17	B	1	PD11DEC17	11560.00	11112.06	USD	-2,687.64	
11SEP17	S	1	PD11DEC17	11560.00	11112.06	USD	2,687.64	
11SEP17	S	3	PD11DEC17	11605.00	11112.06	USD	8,872.92	
11SEP17	B	1	PD11DEC17	11575.00	11112.06	USD	-2,777.64	
11SEP17	S	1	PD11DEC17	11610.00	11112.06	USD	2,987.64	
11SEP17	S	1	PD11DEC17	11710.00	11112.06	USD	3,587.64	
11SEP17	S	1	PD11DEC17	11725.00	11112.06	USD	3,677.64	
11SEP17	B	1	PD11DEC17	11735.00	11112.06	USD	-3,737.64	
11SEP17	S	2	PD11DEC17	11745.00	11112.06	USD	7,595.28	
11SEP17	B	1	PD11DEC17	11725.00	11112.06	USD	-3,677.64	
11SEP17	S	1	PD11DEC17	11715.00	11112.06	USD	3,617.64	
13SEP17	B	18	PD11DEC17	11360.00	11112.06	USD	-26,777.52	
14SEP17	B	3	PD11DEC17	11197.62	11112.06	USD	-1,540.08	
	NET	0AVG BGHT 11386.71	SOLD 11630.60				36,582.84	0.00
12SEP17	B	1	PD12DEC17	11655.00	11112.83	USD	-3,253.02	
12SEP17	S	1	PD12DEC17	11700.00	11112.83	USD	3,523.02	
12SEP17	B	1	PD12DEC17	11735.00	11112.83	USD	-3,733.02	
12SEP17	S	1	PD12DEC17	11730.00	11112.83	USD	3,703.02	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	11740.00	11112.83	USD	3,763.02	
12SEP17	S	2	PD12DEC17	11735.00	11112.83	USD	7,466.04	
12SEP17	S	1	PD12DEC17	11750.00	11112.83	USD	3,823.02	
12SEP17	S	1	PD12DEC17	11755.00	11112.83	USD	3,853.02	
12SEP17	B	1	PD12DEC17	11760.00	11112.83	USD	-3,883.02	
12SEP17	B	1	PD12DEC17	11900.00	11112.83	USD	-4,723.02	
19SEP17	B	1	PD12DEC17	11134.65	11112.83	USD	-130.92	
20SEP17	B	2	PD12DEC17	11373.83	11112.83	USD	-3,132.00	
	NET	0 AVG BGHT 11561.76	SOLD 11735.00				7,276.14	0.00
13SEP17	B	1	PD13DEC17	11725.00	11113.60	USD	-3,668.40	
13SEP17	B	1	PD13DEC17	11730.00	11113.60	USD	-3,698.40	
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
13SEP17	S	1	PD13DEC17	11750.00	11113.60	USD	3,818.40	
13SEP17	S	2	PD13DEC17	11775.00	11113.60	USD	7,936.80	
13SEP17	B	1	PD13DEC17	11745.00	11113.60	USD	-3,788.40	
13SEP17	B	1	PD13DEC17	11740.00	11113.60	USD	-3,758.40	
13SEP17	B	1	PD13DEC17	11735.00	11113.60	USD	-3,728.40	
13SEP17	B	1	PD13DEC17	11710.00	11113.60	USD	-3,578.40	
13SEP17	B	1	PD13DEC17	11685.00	11113.60	USD	-3,428.40	
13SEP17	B	1	PD13DEC17	11680.00	11113.60	USD	-3,398.40	
13SEP17	B	1	PD13DEC17	11675.00	11113.60	USD	-3,368.40	
13SEP17	B	1	PD13DEC17	11690.00	11113.60	USD	-3,458.40	
13SEP17	B	1	PD13DEC17	11605.00	11113.60	USD	-2,948.40	
13SEP17	B	1	PD13DEC17	11610.00	11113.60	USD	-2,978.40	
13SEP17	B	2	PD13DEC17	11600.00	11113.60	USD	-5,836.80	
13SEP17	B	1	PD13DEC17	11570.00	11113.60	USD	-2,738.40	
13SEP17	S	1	PD13DEC17	11560.00	11113.60	USD	2,678.40	
13SEP17	B	1	PD13DEC17	11720.00	11113.60	USD	-3,638.40	
13SEP17	S	1	PD13DEC17	11535.00	11113.60	USD	2,528.40	
13SEP17	S	1	PD13DEC17	11540.00	11113.60	USD	2,558.40	
13SEP17	S	1	PD13DEC17	11565.00	11113.60	USD	2,708.40	
13SEP17	S	1	PD13DEC17	11600.00	11113.60	USD	2,918.40	
13SEP17	S	1	PD13DEC17	11610.00	11113.60	USD	2,978.40	
13SEP17	S	1	PD13DEC17	11585.00	11113.60	USD	2,828.40	
13SEP17	S	1	PD13DEC17	11580.00	11113.60	USD	2,798.40	
13SEP17	S	1	PD13DEC17	11425.00	11113.60	USD	1,868.40	
13SEP17	S	1	PD13DEC17	11440.00	11113.60	USD	1,958.40	
13SEP17	S	1	PD13DEC17	11435.00	11113.60	USD	1,928.40	
14SEP17	S	3	PD13DEC17	11198.21	11113.60	USD	1,522.98	
	NET	0 AVG BGHT 11678.82	SOLD 11515.86				-16,622.22	0.00
14SEP17	B	1	PD14DEC17	11355.00	11114.37	USD	-1,443.78	
14SEP17	S	1	PD14DEC17	11315.00	11114.37	USD	1,203.78	
14SEP17	B	1	PD14DEC17	11280.00	11114.37	USD	-993.78	
14SEP17	B	1	PD14DEC17	11185.00	11114.37	USD	-423.78	
14SEP17	B	1	PD14DEC17	11160.00	11114.37	USD	-273.78	
14SEP17	B	1	PD14DEC17	11140.00	11114.37	USD	-153.78	
14SEP17	B	2	PD14DEC17	11130.00	11114.37	USD	-187.56	
14SEP17	B	1	PD14DEC17	11135.00	11114.37	USD	-123.78	
14SEP17	S	1	PD14DEC17	11240.00	11114.37	USD	753.78	
14SEP17	S	1	PD14DEC17	11225.00	11114.37	USD	663.78	
14SEP17	S	3	PD14DEC17	11235.00	11114.37	USD	2,171.34	
14SEP17	S	1	PD14DEC17	11245.00	11114.37	USD	783.78	
14SEP17	S	1	PD14DEC17	11255.00	11114.37	USD	843.78	
14SEP17	B	1	PD14DEC17	11245.00	11114.37	USD	-783.78	
14SEP17	S	1	PD14DEC17	11285.00	11114.37	USD	1,023.78	
	NET	0 AVG BGHT 11195.56	SOLD 11252.22				3,060.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	11120.00	11115.14	USD	-29.16	
15SEP17	S	1	PD15DEC17	11140.00	11115.14	USD	149.16	
15SEP17	B	3	PD15DEC17	11165.00	11115.14	USD	-897.48	
15SEP17	B	1	PD15DEC17	11170.00	11115.14	USD	-329.16	
15SEP17	B	1	PD15DEC17	11175.00	11115.14	USD	-359.16	
15SEP17	B	1	PD15DEC17	11185.00	11115.14	USD	-419.16	
15SEP17	B	1	PD15DEC17	11190.00	11115.14	USD	-449.16	
15SEP17	S	1	PD15DEC17	11110.00	11115.14	USD	-30.84	
15SEP17	S	1	PD15DEC17	11120.00	11115.14	USD	29.16	
15SEP17	S	1	PD15DEC17	11125.00	11115.14	USD	59.16	
15SEP17	S	1	PD15DEC17	11130.00	11115.14	USD	89.16	
15SEP17	S	1	PD15DEC17	11135.00	11115.14	USD	119.16	
15SEP17	B	1	PD15DEC17	11070.00	11115.14	USD	270.84	
15SEP17	B	1	PD15DEC17	11045.00	11115.14	USD	420.84	
15SEP17	S	1	PD15DEC17	11150.00	11115.14	USD	209.16	
15SEP17	B	1	PD15DEC17	11110.00	11115.14	USD	30.84	
15SEP17	B	1	PD15DEC17	11105.00	11115.14	USD	60.84	
15SEP17	S	1	PD15DEC17	11175.00	11115.14	USD	359.16	
15SEP17	S	1	PD15DEC17	11195.00	11115.14	USD	479.16	
15SEP17	B	2	PD15DEC17	11100.00	11115.14	USD	181.68	
15SEP17	S	1	PD15DEC17	11000.00	11115.14	USD	-690.84	
15SEP17	S	1	PD15DEC17	11070.00	11115.14	USD	-270.84	
15SEP17	S	1	PD15DEC17	11090.00	11115.14	USD	-150.84	
15SEP17	S	2	PD15DEC17	11100.00	11115.14	USD	-181.68	
15SEP17	S	2	PD15DEC17	11085.00	11115.14	USD	-361.68	
15SEP17	B	1	PD15DEC17	11135.00	11115.14	USD	-119.16	
15SEP17	B	1	PD15DEC17	11130.00	11115.14	USD	-89.16	
15SEP17	S	1	PD15DEC17	11000.00	11115.14	USD	-690.84	
20SEP17	B	1	PD15DEC17	11376.14	11115.14	USD	-1,566.00	
	NET	0 AVG BGHT 11147.42	SOLD 11106.47				-4,176.84	0.00
18SEP17	B	1	PD18DEC17	11225.00	11117.46	USD	-645.24	
18SEP17	B	1	PD18DEC17	11205.00	11117.46	USD	-525.24	
18SEP17	S	1	PD18DEC17	11210.00	11117.46	USD	555.24	
18SEP17	B	2	PD18DEC17	11180.00	11117.46	USD	-750.48	
18SEP17	B	1	PD18DEC17	11185.00	11117.46	USD	-405.24	
18SEP17	B	1	PD18DEC17	11170.00	11117.46	USD	-315.24	
18SEP17	B	1	PD18DEC17	11155.00	11117.46	USD	-225.24	
18SEP17	B	1	PD18DEC17	11160.00	11117.46	USD	-255.24	
18SEP17	S	1	PD18DEC17	11215.00	11117.46	USD	585.24	
18SEP17	S	1	PD18DEC17	11220.00	11117.46	USD	615.24	
18SEP17	S	1	PD18DEC17	11225.00	11117.46	USD	645.24	
18SEP17	S	1	PD18DEC17	11185.00	11117.46	USD	405.24	
18SEP17	S	2	PD18DEC17	11170.00	11117.46	USD	630.48	
19SEP17	S	1	PD18DEC17	11138.24	11117.46	USD	124.68	
	NET	0 AVG BGHT 11182.50	SOLD 11191.66				439.44	0.00
19SEP17	S	1	PD19DEC17	11040.00	11118.23	USD	-469.38	
19SEP17	B	1	PD19DEC17	11030.00	11118.23	USD	529.38	
19SEP17	B	1	PD19DEC17	11070.00	11118.23	USD	289.38	
19SEP17	B	1	PD19DEC17	11080.00	11118.23	USD	229.38	
19SEP17	B	1	PD19DEC17	11055.00	11118.23	USD	379.38	
19SEP17	B	1	PD19DEC17	11005.00	11118.23	USD	679.38	
19SEP17	B	2	PD19DEC17	10960.00	11118.23	USD	1,898.76	
19SEP17	B	2	PD19DEC17	10970.00	11118.23	USD	1,778.76	
19SEP17	S	1	PD19DEC17	11005.00	11118.23	USD	-679.38	
19SEP17	B	2	PD19DEC17	10990.00	11118.23	USD	1,538.76	
19SEP17	B	1	PD19DEC17	10985.00	11118.23	USD	799.38	
19SEP17	S	1	PD19DEC17	11020.00	11118.23	USD	-589.38	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	10985.00	11118.23	USD	799.38	
19SEP17	S	1	PD19DEC17	10995.00	11118.23	USD	-739.38	
19SEP17	S	2	PD19DEC17	11030.00	11118.23	USD	-1,058.76	
19SEP17	S	1	PD19DEC17	11035.00	11118.23	USD	-499.38	
19SEP17	S	1	PD19DEC17	11055.00	11118.23	USD	-379.38	
19SEP17	S	1	PD19DEC17	11045.00	11118.23	USD	-439.38	
19SEP17	S	1	PD19DEC17	11050.00	11118.23	USD	-409.38	
19SEP17	S	1	PD19DEC17	11130.00	11118.23	USD	70.62	
19SEP17	S	1	PD19DEC17	11195.00	11118.23	USD	460.62	
19SEP17	S	1	PD19DEC17	11230.00	11118.23	USD	670.62	
19SEP17	B	2	PD19DEC17	11150.00	11118.23	USD	-381.24	
19SEP17	B	1	PD19DEC17	11135.00	11118.23	USD	-100.62	
20SEP17	S	3	PD19DEC17	11378.23	11118.23	USD	4,680.00	
	NET	0 AVG BGHT 11030.31	SOLD 11124.67				9,058.14	0.00
20SEP17	B	3	PD20DEC17	11225.00	11119.00	USD	-1,908.00	
20SEP17	S	1	PD20DEC17	11295.00	11119.00	USD	1,056.00	
20SEP17	S	1	PD20DEC17	11320.00	11119.00	USD	1,206.00	
20SEP17	S	2	PD20DEC17	11330.00	11119.00	USD	2,532.00	
20SEP17	B	3	PD20DEC17	11320.00	11119.00	USD	-3,618.00	
20SEP17	S	1	PD20DEC17	11370.00	11119.00	USD	1,506.00	
20SEP17	B	2	PD20DEC17	11375.00	11119.00	USD	-3,072.00	
20SEP17	B	1	PD20DEC17	11360.00	11119.00	USD	-1,446.00	
20SEP17	B	1	PD20DEC17	11355.00	11119.00	USD	-1,416.00	
20SEP17	B	1	PD20DEC17	11340.00	11119.00	USD	-1,326.00	
20SEP17	B	1	PD20DEC17	11295.00	11119.00	USD	-1,056.00	
20SEP17	B	1	PD20DEC17	11285.00	11119.00	USD	-996.00	
26SEP17	S	8	PD20DEC17	10470.00	11119.00	USD	-31,152.00	
	NET	0 AVG BGHT 11309.23	SOLD 10800.38				-39,690.00	0.00
21SEP17	B	1	PD21DEC17	11015.00	11119.73	USD	628.38	
21SEP17	S	1	PD21DEC17	11080.00	11119.73	USD	-238.38	
21SEP17	B	1	PD21DEC17	11025.00	11119.73	USD	568.38	
21SEP17	B	1	PD21DEC17	11000.00	11119.73	USD	718.38	
21SEP17	S	1	PD21DEC17	11070.00	11119.73	USD	-298.38	
21SEP17	S	1	PD21DEC17	11060.00	11119.73	USD	-358.38	
21SEP17	B	1	PD21DEC17	11005.00	11119.73	USD	688.38	
21SEP17	B	1	PD21DEC17	11010.00	11119.73	USD	658.38	
21SEP17	B	1	PD21DEC17	10990.00	11119.73	USD	778.38	
21SEP17	B	1	PD21DEC17	10915.00	11119.73	USD	1,228.38	
21SEP17	S	1	PD21DEC17	11105.00	11119.73	USD	-88.38	
21SEP17	S	1	PD21DEC17	11120.00	11119.73	USD	1.62	
21SEP17	S	1	PD21DEC17	11125.00	11119.73	USD	31.62	
21SEP17	S	1	PD21DEC17	11145.00	11119.73	USD	151.62	
21SEP17	S	2	PD21DEC17	11115.00	11119.73	USD	-56.76	
21SEP17	B	1	PD21DEC17	11090.00	11119.73	USD	178.38	
21SEP17	B	1	PD21DEC17	11050.00	11119.73	USD	418.38	
21SEP17	B	2	PD21DEC17	11055.00	11119.73	USD	776.76	
21SEP17	B	2	PD21DEC17	11040.00	11119.73	USD	956.76	
21SEP17	B	1	PD21DEC17	10970.00	11119.73	USD	898.38	
21SEP17	B	1	PD21DEC17	10845.00	11119.73	USD	1,648.38	
21SEP17	B	2	PD21DEC17	10830.00	11119.73	USD	3,476.76	
21SEP17	S	1	PD21DEC17	10830.00	11119.73	USD	-1,738.38	
21SEP17	B	1	PD21DEC17	10840.00	11119.73	USD	1,678.38	
21SEP17	B	1	PD21DEC17	10790.00	11119.73	USD	1,978.38	
21SEP17	B	1	PD21DEC17	10690.00	11119.73	USD	2,578.38	
21SEP17	B	1	PD21DEC17	10715.00	11119.73	USD	2,428.38	
21SEP17	B	1	PD21DEC17	10735.00	11119.73	USD	2,308.38	
21SEP17	B	2	PD21DEC17	10710.00	11119.73	USD	4,916.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10625.00	11119.73	USD	2,968.38	
21SEP17	B	2	PD21DEC17	10660.00	11119.73	USD	5,516.76	
21SEP17	B	1	PD21DEC17	10665.00	11119.73	USD	2,728.38	
21SEP17	B	1	PD21DEC17	10675.00	11119.73	USD	2,668.38	
21SEP17	B	1	PD21DEC17	10845.00	11119.73	USD	1,648.38	
21SEP17	B	1	PD21DEC17	10635.00	11119.73	USD	2,908.38	
26SEP17	S	21	PD21DEC17	10470.75	11119.73	USD	-81,771.48	
	NET	0AVG BGHT 10861.94	SOLD 10666.15				-36,415.50	0.00
22SEP17	B	1	PD22DEC17	10585.00	11120.45	USD	3,212.70	
22SEP17	B	1	PD22DEC17	10555.00	11120.45	USD	3,392.70	
22SEP17	B	1	PD22DEC17	10550.00	11120.45	USD	3,422.70	
22SEP17	S	1	PD22DEC17	10555.00	11120.45	USD	-3,392.70	
22SEP17	S	1	PD22DEC17	10545.00	11120.45	USD	-3,452.70	
26SEP17	S	1	PD22DEC17	10471.50	11120.45	USD	-3,893.70	
	NET	0AVG BGHT 10563.33	SOLD 10523.83				-711.00	0.00
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	S	1	PD27DEC17	10500.00	11124.09	USD	-3,744.54	
25SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
25SEP17	S	1	PD27DEC17	10630.00	11124.09	USD	-2,964.54	
25SEP17	B	1	PD27DEC17	10610.00	11124.09	USD	3,084.54	
25SEP17	B	3	PD27DEC17	10605.00	11124.09	USD	9,343.62	
25SEP17	B	1	PD27DEC17	10575.00	11124.09	USD	3,294.54	
25SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
25SEP17	S	1	PD27DEC17	10615.00	11124.09	USD	-3,054.54	
26SEP17	B	1	PD27DEC17	10640.00	11124.09	USD	2,904.54	
26SEP17	B	1	PD27DEC17	10620.00	11124.09	USD	3,024.54	
26SEP17	B	1	PD27DEC17	10585.00	11124.09	USD	3,234.54	
26SEP17	B	1	PD27DEC17	10580.00	11124.09	USD	3,264.54	
26SEP17	S	1	PD27DEC17	10600.00	11124.09	USD	-3,144.54	
26SEP17	S	2	PD27DEC17	10475.00	11124.09	USD	-7,789.08	
26SEP17	S	1	PD27DEC17	10470.00	11124.09	USD	-3,924.54	
26SEP17	S	1	PD27DEC17	10465.00	11124.09	USD	-3,954.54	
27SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
27SEP17	S	2	PD27DEC17	10585.00	11124.09	USD	-6,469.08	
27SEP17	S	1	PD27DEC17	10505.00	11124.09	USD	-3,714.54	
	NET	0AVG BGHT 10584.58	SOLD 10540.42				-3,180.00	0.00
28SEP17	S	1	PD28DEC17	10265.00	11124.82	USD	-5,158.92	
28SEP17	S	1	PD28DEC17	10345.00	11124.82	USD	-4,678.92	
28SEP17	S	1	PD28DEC17	10380.00	11124.82	USD	-4,468.92	
28SEP17	S	1	PD28DEC17	10390.00	11124.82	USD	-4,408.92	
28SEP17	B	1	PD28DEC17	10445.00	11124.82	USD	4,078.92	
28SEP17	S	1	PD28DEC17	10455.00	11124.82	USD	-4,018.92	
28SEP17	S	1	PD28DEC17	10465.00	11124.82	USD	-3,958.92	
28SEP17	S	1	PD28DEC17	10475.00	11124.82	USD	-3,898.92	
28SEP17	S	1	PD28DEC17	10485.00	11124.82	USD	-3,838.92	
28SEP17	S	2	PD28DEC17	10480.00	11124.82	USD	-7,737.84	
28SEP17	B	9	PD28DEC17	10441.00	11124.82	USD	36,926.28	
	NET	0AVG BGHT 10441.40	SOLD 10422.00				-1,164.00	0.00
29SEP17	B	1	PD29DEC17	10505.00	11125.55	USD	3,723.30	
29SEP17	S	1	PD29DEC17	10520.00	11125.55	USD	-3,633.30	
	NET	0AVG BGHT 10505.00	SOLD 10520.00				90.00	0.00
04OCT17	B	1	PD04JAN18	10760.00	11129.91	USD	2,219.46	
04OCT17	S	1	PD04JAN18	10755.00	11129.91	USD	-2,249.46	
04OCT17	B	1	PD04JAN18	10775.00	11129.91	USD	2,129.46	
04OCT17	B	1	PD04JAN18	10650.00	11129.91	USD	2,879.46	
04OCT17	S	1	PD04JAN18	10675.00	11129.91	USD	-2,729.46	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	S	1	PD04JAN18	10735.00	11129.91	USD	-2,369.46	
04OCT17	B	2	PD04JAN18	10575.00	11129.91	USD	6,658.92	
10OCT17	S	2	PD04JAN18	11051.00	11129.91	USD	-946.92	
	NET	0 AVG	BGHT 10667.00				5,592.00	0.00
			SOLD 10853.40					
05OCT17	S	1	PD05JAN18	10645.00	11130.64	USD	-2,913.84	
05OCT17	B	1	PD05JAN18	10660.00	11130.64	USD	2,823.84	
05OCT17	B	1	PD05JAN18	10630.00	11130.64	USD	3,003.84	
05OCT17	B	1	PD05JAN18	10560.00	11130.64	USD	3,423.84	
05OCT17	B	2	PD05JAN18	10540.00	11130.64	USD	7,087.68	
05OCT17	B	2	PD05JAN18	10550.00	11130.64	USD	6,967.68	
05OCT17	B	2	PD05JAN18	10545.00	11130.64	USD	7,027.68	
05OCT17	B	1	PD05JAN18	10655.00	11130.64	USD	2,853.84	
05OCT17	S	1	PD05JAN18	10635.00	11130.64	USD	-2,973.84	
05OCT17	B	3	PD05JAN18	10650.00	11130.64	USD	8,651.52	
05OCT17	B	2	PD05JAN18	10645.00	11130.64	USD	5,827.68	
05OCT17	B	2	PD05JAN18	10625.00	11130.64	USD	6,067.68	
05OCT17	B	2	PD05JAN18	10635.00	11130.64	USD	5,947.68	
05OCT17	B	1	PD05JAN18	10620.00	11130.64	USD	3,063.84	
05OCT17	S	1	PD05JAN18	10600.00	11130.64	USD	-3,183.84	
05OCT17	S	2	PD05JAN18	10595.00	11130.64	USD	-6,427.68	
05OCT17	B	1	PD05JAN18	10580.00	11130.64	USD	3,303.84	
05OCT17	B	1	PD05JAN18	10575.00	11130.64	USD	3,333.84	
05OCT17	B	2	PD05JAN18	10590.00	11130.64	USD	6,487.68	
05OCT17	B	1	PD05JAN18	10600.00	11130.64	USD	3,183.84	
05OCT17	B	1	PD05JAN18	10535.00	11130.64	USD	3,573.84	
10OCT17	S	15	PD05JAN18	11051.67	11130.64	USD	-7,107.30	
	NET	+6 AVG	BGHT 10600.96				60,023.34	0.00
			SOLD 10942.25					
09OCT17	S	1	PD09JAN18	10820.00	11133.55	USD	-1,881.30	
09OCT17	S	1	PD09JAN18	10840.00	11133.55	USD	-1,761.30	
09OCT17	S	2	PD09JAN18	10855.00	11133.55	USD	-3,342.60	
09OCT17	S	1	PD09JAN18	10880.00	11133.55	USD	-1,521.30	
09OCT17	S	1	PD09JAN18	10870.00	11133.55	USD	-1,581.30	
09OCT17	S	1	PD09JAN18	10865.00	11133.55	USD	-1,611.30	
09OCT17	B	1	PD09JAN18	10785.00	11133.55	USD	2,091.30	
09OCT17	S	1	PD09JAN18	10875.00	11133.55	USD	-1,551.30	
09OCT17	B	3	PD09JAN18	10875.00	11133.55	USD	4,653.90	
09OCT17	S	2	PD09JAN18	10925.00	11133.55	USD	-2,502.60	
09OCT17	S	1	PD09JAN18	10910.00	11133.55	USD	-1,341.30	
09OCT17	S	1	PD09JAN18	10945.00	11133.55	USD	-1,131.30	
09OCT17	S	1	PD09JAN18	10955.00	11133.55	USD	-1,071.30	
09OCT17	S	1	PD09JAN18	10985.00	11133.55	USD	-891.30	
09OCT17	B	1	PD09JAN18	10975.00	11133.55	USD	951.30	
09OCT17	S	1	PD09JAN18	11015.00	11133.55	USD	-711.30	
09OCT17	S	7	PD09JAN18	10990.00	11133.55	USD	-6,029.10	
10OCT17	B	17	PD09JAN18	11055.33	11133.55	USD	7,978.44	
	NET	0 AVG	BGHT 11014.80				-11,253.66	0.00
			SOLD 10929.55					
10OCT17	B	1	PD10JAN18	10870.00	11134.27	USD	1,585.62	
10OCT17	B	1	PD10JAN18	10865.00	11134.27	USD	1,615.62	
10OCT17	S	1	PD10JAN18	10920.00	11134.27	USD	-1,285.62	
10OCT17	S	1	PD10JAN18	10925.00	11134.27	USD	-1,255.62	
10OCT17	S	1	PD10JAN18	10930.00	11134.27	USD	-1,225.62	
10OCT17	S	1	PD10JAN18	10940.00	11134.27	USD	-1,165.62	
10OCT17	S	2	PD10JAN18	10945.00	11134.27	USD	-2,271.24	
10OCT17	S	1	PD10JAN18	11010.00	11134.27	USD	-745.62	
10OCT17	B	1	PD10JAN18	10925.00	11134.27	USD	1,255.62	
10OCT17	B	1	PD10JAN18	10905.00	11134.27	USD	1,375.62	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	10895.00	11134.27	USD	1,435.62	
10OCT17	B	1	PD10JAN18	10880.00	11134.27	USD	1,525.62	
10OCT17	B	1	PD10JAN18	10845.00	11134.27	USD	1,735.62	
10OCT17	B	1	PD10JAN18	10965.00	11134.27	USD	1,015.62	
10OCT17	B	1	PD10JAN18	10875.00	11134.27	USD	1,555.62	
10OCT17	B	1	PD10JAN18	10870.00	11134.27	USD	1,585.62	
10OCT17	S	2	PD10JAN18	11015.00	11134.27	USD	-1,431.24	
10OCT17	S	1	PD10JAN18	11020.00	11134.27	USD	-685.62	
10OCT17	S	1	PD10JAN18	11050.00	11134.27	USD	-505.62	
10OCT17	S	2	PD10JAN18	11025.00	11134.27	USD	-1,311.24	
10OCT17	S	3	PD10JAN18	11035.00	11134.27	USD	-1,786.86	
	NET	-6 AVG	BGHT 10889.50				1,016.28	0.00
11OCT17	S	1	PD11JAN18	11130.00	11135.00	USD	-30.00	
11OCT17	S	2	PD11JAN18	11110.00	11135.00	USD	-300.00	
11OCT17	S	1	PD11JAN18	11120.00	11135.00	USD	-90.00	
11OCT17	S	1	PD11JAN18	11125.00	11135.00	USD	-60.00	
11OCT17	S	2	PD11JAN18	11135.00	11135.00	USD	0.00	
11OCT17	S	1	PD11JAN18	11140.00	11135.00	USD	30.00	
11OCT17	S	2	PD11JAN18	11150.00	11135.00	USD	180.00	
11OCT17	S	2	PD11JAN18	11165.00	11135.00	USD	360.00	
11OCT17	S	2	PD11JAN18	11170.00	11135.00	USD	420.00	
11OCT17	S	2	PD11JAN18	11175.00	11135.00	USD	480.00	
11OCT17	S	1	PD11JAN18	11200.00	11135.00	USD	390.00	
11OCT17	S	4	PD11JAN18	11185.00	11135.00	USD	1,200.00	
11OCT17	S	1	PD11JAN18	11190.00	11135.00	USD	330.00	
11OCT17	S	4	PD11JAN18	11195.00	11135.00	USD	1,440.00	
11OCT17	S	1	PD11JAN18	11205.00	11135.00	USD	420.00	
11OCT17	B	3	PD11JAN18	11180.00	11135.00	USD	-810.00	
11OCT17	B	21	PD11JAN18	11136.00	11135.00	USD	-126.00	
11OCT17	B	2	PD11JAN18	11185.00	11135.00	USD	-600.00	
11OCT17	S	1	PD11JAN18	11155.00	11135.00	USD	120.00	
11OCT17	B	2	PD11JAN18	11185.00	11135.00	USD	-600.00	
	NET	0 AVG	BGHT 11147.71				2,754.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2822.00	3299.33	USD	11,933.25	
12JUL17	B	1	PD12OCT17	2821.00	3299.33	USD	11,958.25	
12JUL17	B	1	PD12OCT17	2819.50	3299.33	USD	11,995.75	
12JUL17	B	1	PD12OCT17	2818.50	3299.33	USD	12,020.75	
12JUL17	B	1	PD12OCT17	2820.00	3299.33	USD	11,983.25	
12JUL17	B	1	PD12OCT17	2824.00	3299.33	USD	11,883.25	
12JUL17	S	1	PD12OCT17	2832.50	3299.33	USD	-11,670.75	
12JUL17	S	1	PD12OCT17	2832.00	3299.33	USD	-11,683.25	
12JUL17	S	1	PD12OCT17	2833.50	3299.33	USD	-11,645.75	
12JUL17	S	1	PD12OCT17	2834.50	3299.33	USD	-11,620.75	
12JUL17	S	1	PD12OCT17	2835.50	3299.33	USD	-11,595.75	
12JUL17	S	1	PD12OCT17	2837.50	3299.33	USD	-11,545.75	
12JUL17	S	1	PD12OCT17	2841.50	3299.33	USD	-11,445.75	
12JUL17	S	1	PD12OCT17	2843.00	3299.33	USD	-11,408.25	
12JUL17	S	1	PD12OCT17	2842.00	3299.33	USD	-11,433.25	
12JUL17	B	2	PD12OCT17	2834.00	3299.33	USD	23,266.50	
12JUL17	B	1	PD12OCT17	2834.50	3299.33	USD	11,620.75	
12JUL17	S	1	PD12OCT17	2838.00	3299.33	USD	-11,533.25	
12JUL17	S	1	PD12OCT17	2836.00	3299.33	USD	-11,583.25	
12JUL17	S	3	PD12OCT17	2838.50	3299.33	USD	-34,562.25	
12JUL17	S	1	PD12OCT17	2839.00	3299.33	USD	-11,508.25	

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12JUL17	S	1	PD120CT17	2844.50	3299.33	USD	-11,370.75	
12JUL17	S	2	PD120CT17	2848.00	3299.33	USD	-22,566.50	
12JUL17	S	1	PD120CT17	2850.00	3299.33	USD	-11,233.25	
12JUL17	S	1	PD120CT17	2860.00	3299.33	USD	-10,983.25	
12JUL17	S	1	PD120CT17	2867.00	3299.33	USD	-10,808.25	
12JUL17	B	1	PD120CT17	2852.00	3299.33	USD	11,183.25	
12JUL17	B	1	PD120CT17	2851.50	3299.33	USD	11,195.75	
12JUL17	B	1	PD120CT17	2843.00	3299.33	USD	11,408.25	
12JUL17	S	1	PD120CT17	2838.50	3299.33	USD	-11,520.75	
12JUL17	S	1	PD120CT17	2871.00	3299.33	USD	-10,708.25	
12JUL17	B	1	PD120CT17	2849.00	3299.33	USD	11,258.25	
12JUL17	B	2	PD120CT17	2844.50	3299.33	USD	22,741.50	
12JUL17	B	1	PD120CT17	2849.50	3299.33	USD	11,245.75	
12JUL17	B	2	PD120CT17	2847.00	3299.33	USD	22,616.50	
12JUL17	B	1	PD120CT17	2839.50	3299.33	USD	11,495.75	
12JUL17	B	1	PD120CT17	2843.50	3299.33	USD	11,395.75	
12JUL17	B	5	PD120CT17	2841.00	3299.33	USD	57,291.25	
12JUL17	B	4	PD120CT17	2840.00	3299.33	USD	45,933.00	
12JUL17	B	1	PD120CT17	2837.00	3299.33	USD	11,558.25	
12JUL17	B	2	PD120CT17	2832.50	3299.33	USD	23,341.50	
12JUL17	B	1	PD120CT17	2837.50	3299.33	USD	11,545.75	
12JUL17	S	2	PD120CT17	2837.00	3299.33	USD	-23,116.50	
12JUL17	S	1	PD120CT17	2834.50	3299.33	USD	-11,620.75	
13JUL17	S	7	PD120CT17	2803.55	3299.33	USD	-86,761.50	
	NET	0 AVG BGHT 2837.67	SOLD 2833.97				-3,053.75	0.00
13JUL17	S	1	PD130CT17	2831.00	3295.00	USD	-11,600.00	
13JUL17	S	2	PD130CT17	2826.00	3295.00	USD	-23,450.00	
13JUL17	S	2	PD130CT17	2826.50	3295.00	USD	-23,425.00	
13JUL17	S	1	PD130CT17	2834.00	3295.00	USD	-11,525.00	
13JUL17	S	1	PD130CT17	2837.50	3295.00	USD	-11,437.50	
13JUL17	S	1	PD130CT17	2839.00	3295.00	USD	-11,400.00	
13JUL17	S	1	PD130CT17	2841.50	3295.00	USD	-11,337.50	
13JUL17	B	1	PD130CT17	2841.50	3295.00	USD	11,337.50	
13JUL17	S	1	PD130CT17	2843.00	3295.00	USD	-11,300.00	
13JUL17	B	1	PD130CT17	2839.00	3295.00	USD	11,400.00	
13JUL17	S	3	PD130CT17	2838.50	3295.00	USD	-34,237.50	
13JUL17	S	1	PD130CT17	2843.50	3295.00	USD	-11,287.50	
13JUL17	S	1	PD130CT17	2845.00	3295.00	USD	-11,250.00	
13JUL17	S	1	PD130CT17	2846.50	3295.00	USD	-11,212.50	
13JUL17	S	2	PD130CT17	2842.50	3295.00	USD	-22,625.00	
13JUL17	B	1	PD130CT17	2840.00	3295.00	USD	11,375.00	
13JUL17	S	1	PD130CT17	2839.50	3295.00	USD	-11,387.50	
13JUL17	S	2	PD130CT17	2836.00	3295.00	USD	-22,950.00	
13JUL17	B	1	PD130CT17	2836.00	3295.00	USD	11,475.00	
13JUL17	B	1	PD130CT17	2831.00	3295.00	USD	11,600.00	
13JUL17	B	3	PD130CT17	2828.50	3295.00	USD	34,987.50	
13JUL17	B	3	PD130CT17	2826.00	3295.00	USD	35,175.00	
13JUL17	B	3	PD130CT17	2827.00	3295.00	USD	35,100.00	
13JUL17	B	3	PD130CT17	2829.00	3295.00	USD	34,950.00	
13JUL17	B	1	PD130CT17	2825.00	3295.00	USD	11,750.00	
13JUL17	B	1	PD130CT17	2817.50	3295.00	USD	11,937.50	
13JUL17	B	1	PD130CT17	2804.00	3295.00	USD	12,275.00	
13JUL17	B	1	PD130CT17	2803.00	3295.00	USD	12,300.00	
13JUL17	B	1	PD130CT17	2802.50	3295.00	USD	12,312.50	
13JUL17	B	1	PD130CT17	2800.00	3295.00	USD	12,375.00	
13JUL17	B	1	PD130CT17	2800.50	3295.00	USD	12,362.50	
13JUL17	B	1	PD130CT17	2799.00	3295.00	USD	12,400.00	

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13JUL17	S	1	PD130CT17	2799.50	3295.00	USD	-12,387.50	
13JUL17	S	1	PD130CT17	2797.50	3295.00	USD	-12,437.50	
13JUL17	S	1	PD130CT17	2799.50	3295.00	USD	-12,387.50	
14JUL17	S	1	PD130CT17	2789.50	3295.00	USD	-12,637.50	
14JUL17	S	2	PD130CT17	2785.00	3295.00	USD	-25,500.00	
14JUL17	B	1	PD130CT17	2792.50	3295.00	USD	12,562.50	
14JUL17	B	1	PD130CT17	2792.00	3295.00	USD	12,575.00	
14JUL17	S	2	PD130CT17	2794.00	3295.00	USD	-25,050.00	
14JUL17	B	1	PD130CT17	2785.50	3295.00	USD	12,737.50	
14JUL17	S	1	PD130CT17	2790.00	3295.00	USD	-12,625.00	
14JUL17	S	1	PD130CT17	2791.50	3295.00	USD	-12,587.50	
14JUL17	S	2	PD130CT17	2790.50	3295.00	USD	-25,225.00	
14JUL17	S	1	PD130CT17	2795.00	3295.00	USD	-12,500.00	
14JUL17	S	1	PD130CT17	2797.00	3295.00	USD	-12,450.00	
14JUL17	S	1	PD130CT17	2801.00	3295.00	USD	-12,350.00	
14JUL17	S	1	PD130CT17	2800.00	3295.00	USD	-12,375.00	
14JUL17	S	1	PD130CT17	2787.50	3295.00	USD	-12,687.50	
14JUL17	B	2	PD130CT17	2787.00	3295.00	USD	25,400.00	
14JUL17	B	5	PD130CT17	2786.00	3295.00	USD	63,625.00	
14JUL17	B	1	PD130CT17	2776.50	3295.00	USD	12,962.50	
14JUL17	B	1	PD130CT17	2773.50	3295.00	USD	13,037.50	
14JUL17	B	1	PD130CT17	2771.00	3295.00	USD	13,100.00	
14JUL17	B	1	PD130CT17	2769.00	3295.00	USD	13,150.00	
14JUL17	B	1	PD130CT17	2765.50	3295.00	USD	13,237.50	
14JUL17	B	1	PD130CT17	2762.00	3295.00	USD	13,325.00	
14JUL17	B	1	PD130CT17	2766.00	3295.00	USD	13,225.00	
14JUL17	S	1	PD130CT17	2774.00	3295.00	USD	-13,025.00	
14JUL17	B	1	PD130CT17	2767.00	3295.00	USD	13,200.00	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	S	1	PD130CT17	2761.50	3295.00	USD	-13,337.50	
14JUL17	B	1	PD130CT17	2755.50	3295.00	USD	13,487.50	
14JUL17	S	2	PD130CT17	2764.50	3295.00	USD	-26,525.00	
14JUL17	B	2	PD130CT17	2785.50	3295.00	USD	25,475.00	
14JUL17	S	1	PD130CT17	2790.00	3295.00	USD	-12,625.00	
14JUL17	S	1	PD130CT17	2776.50	3295.00	USD	-12,962.50	
14JUL17	S	1	PD130CT17	2778.50	3295.00	USD	-12,912.50	
14JUL17	S	2	PD130CT17	2773.50	3295.00	USD	-26,075.00	
14JUL17	S	2	PD130CT17	2772.50	3295.00	USD	-26,125.00	
14JUL17	S	1	PD130CT17	2781.00	3295.00	USD	-12,850.00	
14JUL17	S	1	PD130CT17	2781.50	3295.00	USD	-12,837.50	
14JUL17	S	2	PD130CT17	2782.00	3295.00	USD	-25,650.00	
14JUL17	S	3	PD130CT17	2782.50	3295.00	USD	-38,437.50	
14JUL17	S	3	PD130CT17	2784.50	3295.00	USD	-38,287.50	
14JUL17	S	1	PD130CT17	2784.00	3295.00	USD	-12,775.00	
14JUL17	S	1	PD130CT17	2789.00	3295.00	USD	-12,650.00	
14JUL17	B	2	PD130CT17	2788.50	3295.00	USD	25,325.00	
14JUL17	B	3	PD130CT17	2787.50	3295.00	USD	38,062.50	
14JUL17	B	1	PD130CT17	2790.50	3295.00	USD	12,612.50	
18JUL17	B	1	PD130CT17	2793.05	3295.00	USD	12,548.75	
24JUL17	B	7	PD130CT17	2788.29	3295.00	USD	88,674.25	
	NET	0AVG BGHT 2798.81	SOLD 2802.74				5,985.50	0.00
17JUL17	S	1	PD170CT17	2820.00	3286.33	USD	-11,658.25	
17JUL17	B	1	PD170CT17	2813.50	3286.33	USD	11,820.75	
17JUL17	B	1	PD170CT17	2815.50	3286.33	USD	11,770.75	
17JUL17	B	2	PD170CT17	2817.50	3286.33	USD	23,441.50	
17JUL17	B	1	PD170CT17	2814.50	3286.33	USD	11,795.75	
17JUL17	S	1	PD170CT17	2826.00	3286.33	USD	-11,508.25	

OPEN POSITION STATEMENT



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SAP0112A

11th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2821.50	3286.33	USD	-11,620.75	
17JUL17	B	1	PD17OCT17	2822.50	3286.33	USD	11,595.75	
17JUL17	S	3	PD17OCT17	2829.50	3286.33	USD	-34,262.25	
17JUL17	S	1	PD17OCT17	2830.00	3286.33	USD	-11,408.25	
17JUL17	S	1	PD17OCT17	2830.50	3286.33	USD	-11,395.75	
17JUL17	S	1	PD17OCT17	2831.50	3286.33	USD	-11,370.75	
17JUL17	S	1	PD17OCT17	2837.00	3286.33	USD	-11,233.25	
17JUL17	B	1	PD17OCT17	2828.50	3286.33	USD	11,445.75	
17JUL17	B	2	PD17OCT17	2820.00	3286.33	USD	23,316.50	
17JUL17	B	1	PD17OCT17	2817.00	3286.33	USD	11,733.25	
17JUL17	B	2	PD17OCT17	2816.00	3286.33	USD	23,516.50	
17JUL17	B	2	PD17OCT17	2813.00	3286.33	USD	23,666.50	
17JUL17	S	2	PD17OCT17	2834.00	3286.33	USD	-22,616.50	
17JUL17	S	2	PD17OCT17	2834.50	3286.33	USD	-22,591.50	
17JUL17	S	1	PD17OCT17	2839.50	3286.33	USD	-11,170.75	
17JUL17	S	2	PD17OCT17	2844.50	3286.33	USD	-22,091.50	
17JUL17	S	1	PD17OCT17	2842.50	3286.33	USD	-11,095.75	
17JUL17	S	1	PD17OCT17	2844.00	3286.33	USD	-11,058.25	
17JUL17	S	3	PD17OCT17	2846.00	3286.33	USD	-33,024.75	
17JUL17	B	1	PD17OCT17	2827.50	3286.33	USD	11,470.75	
17JUL17	B	1	PD17OCT17	2815.50	3286.33	USD	11,770.75	
17JUL17	S	1	PD17OCT17	2846.00	3286.33	USD	-11,008.25	
17JUL17	B	1	PD17OCT17	2812.50	3286.33	USD	11,845.75	
17JUL17	B	2	PD17OCT17	2819.00	3286.33	USD	23,366.50	
17JUL17	B	5	PD17OCT17	2820.50	3286.33	USD	58,228.75	
18JUL17	S	1	PD17OCT17	2793.61	3286.33	USD	-12,318.00	
	NET	0	AVG BGHT 2818.35	SOLD 2833.94			9,352.75	0.00
18JUL17	B	1	PD18OCT17	2821.00	3282.00	USD	11,525.00	
18JUL17	B	2	PD18OCT17	2822.00	3282.00	USD	23,000.00	
18JUL17	B	3	PD18OCT17	2800.00	3282.00	USD	36,150.00	
18JUL17	B	1	PD18OCT17	2788.50	3282.00	USD	12,337.50	
18JUL17	B	1	PD18OCT17	2794.00	3282.00	USD	12,200.00	
18JUL17	B	1	PD18OCT17	2789.00	3282.00	USD	12,325.00	
18JUL17	B	1	PD18OCT17	2786.50	3282.00	USD	12,387.50	
18JUL17	B	1	PD18OCT17	2778.00	3282.00	USD	12,600.00	
18JUL17	B	1	PD18OCT17	2777.00	3282.00	USD	12,625.00	
18JUL17	B	1	PD18OCT17	2810.00	3282.00	USD	11,800.00	
18JUL17	B	1	PD18OCT17	2793.00	3282.00	USD	12,225.00	
18JUL17	S	1	PD18OCT17	2782.50	3282.00	USD	-12,487.50	
18JUL17	S	1	PD18OCT17	2781.50	3282.00	USD	-12,512.50	
18JUL17	S	1	PD18OCT17	2783.00	3282.00	USD	-12,475.00	
18JUL17	S	1	PD18OCT17	2784.00	3282.00	USD	-12,450.00	
18JUL17	S	1	PD18OCT17	2781.50	3282.00	USD	-12,512.50	
18JUL17	S	1	PD18OCT17	2786.00	3282.00	USD	-12,400.00	
18JUL17	S	3	PD18OCT17	2788.50	3282.00	USD	-37,012.50	
18JUL17	S	1	PD18OCT17	2790.00	3282.00	USD	-12,300.00	
18JUL17	S	1	PD18OCT17	2795.00	3282.00	USD	-12,175.00	
18JUL17	S	2	PD18OCT17	2792.50	3282.00	USD	-24,475.00	
18JUL17	S	1	PD18OCT17	2796.00	3282.00	USD	-12,150.00	
18JUL17	S	1	PD18OCT17	2797.00	3282.00	USD	-12,125.00	
18JUL17	S	2	PD18OCT17	2795.50	3282.00	USD	-24,325.00	
18JUL17	S	2	PD18OCT17	2793.50	3282.00	USD	-24,425.00	
18JUL17	S	2	PD18OCT17	2794.00	3282.00	USD	-24,400.00	
18JUL17	S	2	PD18OCT17	2794.50	3282.00	USD	-24,375.00	
18JUL17	S	1	PD18OCT17	2793.00	3282.00	USD	-12,225.00	
24JUL17	B	10	PD18OCT17	2789.00	3282.00	USD	123,250.00	
	NET	0	AVG BGHT 2794.63	SOLD 2790.63			-2,400.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	2797.00	3277.50	USD	-12,012.50	
19JUL17	B	1	PD19OCT17	2778.50	3277.50	USD	12,475.00	
19JUL17	B	1	PD19OCT17	2782.00	3277.50	USD	12,387.50	
19JUL17	B	1	PD19OCT17	2780.50	3277.50	USD	12,425.00	
19JUL17	B	1	PD19OCT17	2779.00	3277.50	USD	12,462.50	
19JUL17	B	1	PD19OCT17	2773.00	3277.50	USD	12,612.50	
19JUL17	B	2	PD19OCT17	2772.00	3277.50	USD	25,275.00	
19JUL17	B	1	PD19OCT17	2770.50	3277.50	USD	12,675.00	
19JUL17	B	1	PD19OCT17	2775.00	3277.50	USD	12,562.50	
19JUL17	B	1	PD19OCT17	2768.50	3277.50	USD	12,725.00	
19JUL17	B	1	PD19OCT17	2765.00	3277.50	USD	12,812.50	
19JUL17	B	2	PD19OCT17	2764.50	3277.50	USD	25,650.00	
19JUL17	B	1	PD19OCT17	2767.50	3277.50	USD	12,750.00	
19JUL17	B	1	PD19OCT17	2760.50	3277.50	USD	12,925.00	
19JUL17	B	1	PD19OCT17	2755.00	3277.50	USD	13,062.50	
19JUL17	B	1	PD19OCT17	2751.00	3277.50	USD	13,162.50	
19JUL17	B	1	PD19OCT17	2753.00	3277.50	USD	13,112.50	
19JUL17	S	1	PD19OCT17	2764.00	3277.50	USD	-12,837.50	
19JUL17	B	1	PD19OCT17	2756.00	3277.50	USD	13,037.50	
19JUL17	B	1	PD19OCT17	2746.00	3277.50	USD	13,287.50	
19JUL17	B	1	PD19OCT17	2766.00	3277.50	USD	12,787.50	
24JUL17	S	17	PD19OCT17	2787.30	3277.50	USD	-208,335.00	
25JUL17	S	2	PD19OCT17	2823.00	3277.50	USD	-22,725.00	
	NET	0AVG BGHT 2766.67	SOLD 2790.05				12,277.50	0.00
20JUL17	S	1	PD20OCT17	2736.50	3273.00	USD	-13,412.50	
20JUL17	S	1	PD20OCT17	2739.50	3273.00	USD	-13,337.50	
20JUL17	S	1	PD20OCT17	2741.00	3273.00	USD	-13,300.00	
20JUL17	S	1	PD20OCT17	2742.50	3273.00	USD	-13,262.50	
20JUL17	S	1	PD20OCT17	2742.00	3273.00	USD	-13,275.00	
20JUL17	S	1	PD20OCT17	2743.50	3273.00	USD	-13,237.50	
20JUL17	S	1	PD20OCT17	2744.50	3273.00	USD	-13,212.50	
20JUL17	S	2	PD20OCT17	2756.00	3273.00	USD	-25,850.00	
20JUL17	S	2	PD20OCT17	2754.50	3273.00	USD	-25,925.00	
20JUL17	S	1	PD20OCT17	2755.50	3273.00	USD	-12,937.50	
20JUL17	S	3	PD20OCT17	2758.50	3273.00	USD	-38,587.50	
20JUL17	S	3	PD20OCT17	2757.00	3273.00	USD	-38,700.00	
20JUL17	B	1	PD20OCT17	2743.00	3273.00	USD	13,250.00	
20JUL17	B	1	PD20OCT17	2741.50	3273.00	USD	13,287.50	
20JUL17	S	1	PD20OCT17	2741.00	3273.00	USD	-13,300.00	
20JUL17	S	1	PD20OCT17	2745.00	3273.00	USD	-13,200.00	
20JUL17	B	1	PD20OCT17	2740.50	3273.00	USD	13,312.50	
20JUL17	B	3	PD20OCT17	2741.00	3273.00	USD	39,900.00	
20JUL17	B	1	PD20OCT17	2739.00	3273.00	USD	13,350.00	
20JUL17	B	1	PD20OCT17	2742.50	3273.00	USD	13,262.50	
20JUL17	B	1	PD20OCT17	2746.50	3273.00	USD	13,162.50	
20JUL17	B	3	PD20OCT17	2746.00	3273.00	USD	39,525.00	
20JUL17	B	6	PD20OCT17	2744.00	3273.00	USD	79,350.00	
20JUL17	S	1	PD20OCT17	2747.50	3273.00	USD	-13,137.50	
20JUL17	B	2	PD20OCT17	2728.00	3273.00	USD	27,250.00	
20JUL17	B	1	PD20OCT17	2727.00	3273.00	USD	13,650.00	
20JUL17	B	1	PD20OCT17	2729.50	3273.00	USD	13,587.50	
20JUL17	B	1	PD20OCT17	2728.50	3273.00	USD	13,612.50	
20JUL17	B	2	PD20OCT17	2727.50	3273.00	USD	27,275.00	
20JUL17	B	2	PD20OCT17	2726.50	3273.00	USD	27,325.00	
20JUL17	B	2	PD20OCT17	2726.00	3273.00	USD	27,350.00	
21JUL17	S	1	PD20OCT17	2749.50	3273.00	USD	-13,087.50	
21JUL17	S	2	PD20OCT17	2749.00	3273.00	USD	-26,200.00	

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21JUL17	S	1	PD200CT17	2751.00	3273.00	USD	-13,050.00	
21JUL17	B	1	PD200CT17	2744.50	3273.00	USD	13,212.50	
21JUL17	B	1	PD200CT17	2744.00	3273.00	USD	13,225.00	
21JUL17	S	1	PD200CT17	2752.50	3273.00	USD	-13,012.50	
21JUL17	S	1	PD200CT17	2758.00	3273.00	USD	-12,875.00	
21JUL17	S	1	PD200CT17	2762.50	3273.00	USD	-12,762.50	
21JUL17	S	1	PD200CT17	2763.50	3273.00	USD	-12,737.50	
21JUL17	S	1	PD200CT17	2764.00	3273.00	USD	-12,725.00	
21JUL17	S	1	PD200CT17	2766.50	3273.00	USD	-12,662.50	
21JUL17	B	1	PD200CT17	2762.00	3273.00	USD	12,775.00	
21JUL17	B	1	PD200CT17	2757.50	3273.00	USD	12,887.50	
21JUL17	B	2	PD200CT17	2754.50	3273.00	USD	25,925.00	
21JUL17	S	1	PD200CT17	2766.00	3273.00	USD	-12,675.00	
21JUL17	S	1	PD200CT17	2769.50	3273.00	USD	-12,587.50	
21JUL17	S	1	PD200CT17	2770.50	3273.00	USD	-12,562.50	
21JUL17	S	1	PD200CT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	S	1	PD200CT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	B	1	PD200CT17	2761.50	3273.00	USD	12,787.50	
21JUL17	S	1	PD200CT17	2765.50	3273.00	USD	-12,687.50	
21JUL17	B	1	PD200CT17	2759.00	3273.00	USD	12,850.00	
21JUL17	B	1	PD200CT17	2755.00	3273.00	USD	12,950.00	
21JUL17	B	1	PD200CT17	2757.00	3273.00	USD	12,900.00	
21JUL17	S	1	PD200CT17	2772.00	3273.00	USD	-12,525.00	
21JUL17	B	1	PD200CT17	2750.50	3273.00	USD	13,062.50	
21JUL17	B	1	PD200CT17	2748.00	3273.00	USD	13,125.00	
25JUL17	S	1	PD200CT17	2823.00	3273.00	USD	-11,250.00	
01AUG17	S	2	PD200CT17	2776.25	3273.00	USD	-24,837.50	
	NET	0AVG BGHT 2742.12	SOLD 2757.93				16,200.00	0.00
24JUL17	B	1	PD240CT17	2784.00	3267.00	USD	12,075.00	
24JUL17	B	1	PD240CT17	2776.50	3267.00	USD	12,262.50	
24JUL17	B	1	PD240CT17	2778.50	3267.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2777.00	3267.00	USD	12,250.00	
24JUL17	S	1	PD240CT17	2782.50	3267.00	USD	-12,112.50	
24JUL17	S	1	PD240CT17	2784.50	3267.00	USD	-12,062.50	
24JUL17	S	1	PD240CT17	2785.00	3267.00	USD	-12,050.00	
24JUL17	B	1	PD240CT17	2790.00	3267.00	USD	11,925.00	
24JUL17	B	1	PD240CT17	2787.00	3267.00	USD	12,000.00	
24JUL17	B	1	PD240CT17	2783.50	3267.00	USD	12,087.50	
24JUL17	B	1	PD240CT17	2782.00	3267.00	USD	12,125.00	
24JUL17	B	1	PD240CT17	2788.50	3267.00	USD	11,962.50	
24JUL17	B	2	PD240CT17	2788.00	3267.00	USD	23,950.00	
24JUL17	S	1	PD240CT17	2778.00	3267.00	USD	-12,225.00	
24JUL17	S	1	PD240CT17	2774.00	3267.00	USD	-12,325.00	
24JUL17	S	1	PD240CT17	2775.00	3267.00	USD	-12,300.00	
24JUL17	S	2	PD240CT17	2778.50	3267.00	USD	-24,425.00	
24JUL17	S	1	PD240CT17	2779.00	3267.00	USD	-12,200.00	
24JUL17	S	2	PD240CT17	2781.50	3267.00	USD	-24,275.00	
24JUL17	S	1	PD240CT17	2781.00	3267.00	USD	-12,150.00	
24JUL17	S	2	PD240CT17	2787.00	3267.00	USD	-24,000.00	
25JUL17	B	2	PD240CT17	2824.00	3267.00	USD	22,150.00	
25JUL17	B	1	PD240CT17	2823.90	3267.00	USD	11,077.50	
	NET	0AVG BGHT 2792.49	SOLD 2780.93				-4,047.50	0.00
25JUL17	S	2	PD250CT17	2813.00	3264.00	USD	-22,550.00	
25JUL17	S	1	PD250CT17	2816.00	3264.00	USD	-11,200.00	
25JUL17	S	1	PD250CT17	2816.50	3264.00	USD	-11,187.50	
25JUL17	S	2	PD250CT17	2821.00	3264.00	USD	-22,150.00	
25JUL17	S	1	PD250CT17	2822.50	3264.00	USD	-11,037.50	

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25JUL17	S	1	PD250CT17	2821.50	3264.00	USD	-11,062.50	
25JUL17	B	1	PD250CT17	2830.00	3264.00	USD	10,850.00	
25JUL17	B	2	PD250CT17	2828.00	3264.00	USD	21,800.00	
25JUL17	B	1	PD250CT17	2827.00	3264.00	USD	10,925.00	
25JUL17	B	2	PD250CT17	2826.50	3264.00	USD	21,875.00	
25JUL17	B	1	PD250CT17	2817.50	3264.00	USD	11,162.50	
25JUL17	B	1	PD250CT17	2847.00	3264.00	USD	10,425.00	
25JUL17	B	1	PD250CT17	2844.00	3264.00	USD	10,500.00	
25JUL17	B	1	PD250CT17	2843.00	3264.00	USD	10,525.00	
25JUL17	B	1	PD250CT17	2845.50	3264.00	USD	10,462.50	
01AUG17	S	3	PD250CT17	2778.03	3264.00	USD	-36,447.75	
	NET	0AVG BGHT 2833.00	SOLD 2807.14				-7,110.25	0.00
26JUL17	B	1	PD260CT17	2847.00	3262.33	USD	10,383.25	
26JUL17	B	3	PD260CT17	2851.00	3262.33	USD	30,849.75	
26JUL17	B	1	PD260CT17	2853.00	3262.33	USD	10,233.25	
26JUL17	B	5	PD260CT17	2852.00	3262.33	USD	51,291.25	
26JUL17	B	1	PD260CT17	2850.50	3262.33	USD	10,295.75	
26JUL17	B	1	PD260CT17	2846.00	3262.33	USD	10,408.25	
26JUL17	B	1	PD260CT17	2829.00	3262.33	USD	10,833.25	
26JUL17	S	1	PD260CT17	2828.50	3262.33	USD	-10,845.75	
26JUL17	S	1	PD260CT17	2829.50	3262.33	USD	-10,820.75	
26JUL17	S	1	PD260CT17	2833.50	3262.33	USD	-10,720.75	
26JUL17	S	1	PD260CT17	2831.50	3262.33	USD	-10,770.75	
26JUL17	S	2	PD260CT17	2824.50	3262.33	USD	-21,891.50	
26JUL17	S	3	PD260CT17	2825.00	3262.33	USD	-32,799.75	
26JUL17	S	2	PD260CT17	2824.00	3262.33	USD	-21,916.50	
26JUL17	B	1	PD260CT17	2819.50	3262.33	USD	11,070.75	
26JUL17	S	1	PD260CT17	2818.00	3262.33	USD	-11,108.25	
26JUL17	S	1	PD260CT17	2827.00	3262.33	USD	-10,883.25	
26JUL17	S	2	PD260CT17	2822.00	3262.33	USD	-22,016.50	
26JUL17	S	2	PD260CT17	2823.00	3262.33	USD	-21,966.50	
26JUL17	S	3	PD260CT17	2825.50	3262.33	USD	-32,762.25	
26JUL17	S	2	PD260CT17	2826.50	3262.33	USD	-21,791.50	
26JUL17	S	1	PD260CT17	2820.00	3262.33	USD	-11,058.25	
26JUL17	S	1	PD260CT17	2817.50	3262.33	USD	-11,120.75	
26JUL17	B	1	PD260CT17	2801.50	3262.33	USD	11,520.75	
26JUL17	S	1	PD260CT17	2801.50	3262.33	USD	-11,520.75	
26JUL17	S	1	PD260CT17	2807.50	3262.33	USD	-11,370.75	
01AUG17	B	5	PD260CT17	2778.51	3262.33	USD	60,477.50	
01AUG17	B	6	PD260CT17	2778.31	3262.33	USD	72,603.00	
	NET	0AVG BGHT 2816.23	SOLD 2823.31				4,602.25	0.00
27JUL17	S	2	PD270CT17	2825.50	3260.67	USD	-21,758.50	
27JUL17	B	1	PD270CT17	2819.50	3260.67	USD	11,029.25	
27JUL17	B	1	PD270CT17	2817.50	3260.67	USD	11,079.25	
27JUL17	B	1	PD270CT17	2816.00	3260.67	USD	11,116.75	
27JUL17	B	1	PD270CT17	2813.50	3260.67	USD	11,179.25	
27JUL17	B	1	PD270CT17	2814.50	3260.67	USD	11,154.25	
27JUL17	B	1	PD270CT17	2813.00	3260.67	USD	11,191.75	
27JUL17	B	1	PD270CT17	2814.00	3260.67	USD	11,166.75	
27JUL17	S	1	PD270CT17	2822.00	3260.67	USD	-10,966.75	
27JUL17	B	2	PD270CT17	2818.50	3260.67	USD	22,108.50	
27JUL17	S	1	PD270CT17	2797.50	3260.67	USD	-11,579.25	
27JUL17	S	3	PD270CT17	2799.00	3260.67	USD	-34,625.25	
27JUL17	S	2	PD270CT17	2798.50	3260.67	USD	-23,108.50	
28JUL17	S	1	PD270CT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	S	2	PD270CT17	2787.50	3260.67	USD	-23,658.50	
28JUL17	S	1	PD270CT17	2791.00	3260.67	USD	-11,741.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	2783.00	3260.67	USD	-11,941.75	
28JUL17	B	1	PD27OCT17	2787.00	3260.67	USD	11,841.75	
28JUL17	B	1	PD27OCT17	2770.50	3260.67	USD	12,254.25	
28JUL17	B	1	PD27OCT17	2768.50	3260.67	USD	12,304.25	
28JUL17	B	1	PD27OCT17	2766.50	3260.67	USD	12,354.25	
28JUL17	B	1	PD27OCT17	2763.50	3260.67	USD	12,429.25	
28JUL17	B	1	PD27OCT17	2762.50	3260.67	USD	12,454.25	
28JUL17	B	1	PD27OCT17	2761.50	3260.67	USD	12,479.25	
28JUL17	B	1	PD27OCT17	2764.00	3260.67	USD	12,416.75	
28JUL17	B	1	PD27OCT17	2760.00	3260.67	USD	12,516.75	
28JUL17	B	3	PD27OCT17	2785.00	3260.67	USD	35,675.25	
01AUG17	S	6	PD27OCT17	2778.39	3260.67	USD	-72,342.00	
01AUG17	S	1	PD27OCT17	2778.59	3260.67	USD	-12,052.00	
	NET	0AVG BGHT 2790.67	SOLD 2792.81				1,123.25	0.00
31JUL17	S	1	PD31OCT17	2803.50	3257.33	USD	-11,345.75	
01AUG17	B	1	PD31OCT17	2779.92	3257.33	USD	11,935.25	
	NET	0AVG BGHT 2779.92	SOLD 2803.50				589.50	0.00
01AUG17	S	1	PD01NOV17	2784.50	3255.67	USD	-11,779.25	
01AUG17	S	1	PD01NOV17	2780.00	3255.67	USD	-11,891.75	
01AUG17	S	1	PD01NOV17	2782.00	3255.67	USD	-11,841.75	
01AUG17	B	1	PD01NOV17	2771.00	3255.67	USD	12,116.75	
01AUG17	B	1	PD01NOV17	2773.50	3255.67	USD	12,054.25	
01AUG17	B	1	PD01NOV17	2776.50	3255.67	USD	11,979.25	
01AUG17	B	1	PD01NOV17	2774.00	3255.67	USD	12,041.75	
01AUG17	S	2	PD01NOV17	2778.00	3255.67	USD	-23,883.50	
01AUG17	S	1	PD01NOV17	2778.50	3255.67	USD	-11,929.25	
01AUG17	B	1	PD01NOV17	2775.00	3255.67	USD	12,016.75	
01AUG17	S	2	PD01NOV17	2783.50	3255.67	USD	-23,608.50	
01AUG17	B	2	PD01NOV17	2772.00	3255.67	USD	24,183.50	
01AUG17	S	1	PD01NOV17	2774.00	3255.67	USD	-12,041.75	
01AUG17	S	2	PD01NOV17	2774.50	3255.67	USD	-24,058.50	
01AUG17	S	2	PD01NOV17	2779.00	3255.67	USD	-23,833.50	
01AUG17	B	1	PD01NOV17	2779.50	3255.67	USD	11,904.25	
07AUG17	B	1	PD01NOV17	2856.71	3255.67	USD	9,974.00	
10AUG17	B	4	PD01NOV17	2936.39	3255.67	USD	31,928.00	
	NET	0AVG BGHT 2830.44	SOLD 2779.15				-16,669.25	0.00
02AUG17	S	1	PD02NOV17	2770.50	3254.00	USD	-12,087.50	
02AUG17	B	1	PD02NOV17	2771.00	3254.00	USD	12,075.00	
02AUG17	B	1	PD02NOV17	2769.00	3254.00	USD	12,125.00	
02AUG17	B	1	PD02NOV17	2770.00	3254.00	USD	12,100.00	
02AUG17	B	1	PD02NOV17	2771.50	3254.00	USD	12,062.50	
02AUG17	B	1	PD02NOV17	2774.50	3254.00	USD	11,987.50	
02AUG17	B	2	PD02NOV17	2773.00	3254.00	USD	24,050.00	
02AUG17	B	1	PD02NOV17	2766.50	3254.00	USD	12,187.50	
02AUG17	B	1	PD02NOV17	2764.00	3254.00	USD	12,250.00	
02AUG17	B	1	PD02NOV17	2766.00	3254.00	USD	12,200.00	
02AUG17	B	1	PD02NOV17	2765.00	3254.00	USD	12,225.00	
02AUG17	S	2	PD02NOV17	2772.00	3254.00	USD	-24,100.00	
02AUG17	S	2	PD02NOV17	2775.00	3254.00	USD	-23,950.00	
02AUG17	S	1	PD02NOV17	2776.00	3254.00	USD	-11,950.00	
02AUG17	S	1	PD02NOV17	2783.00	3254.00	USD	-11,775.00	
02AUG17	S	1	PD02NOV17	2781.00	3254.00	USD	-11,825.00	
02AUG17	S	2	PD02NOV17	2781.50	3254.00	USD	-23,625.00	
02AUG17	S	1	PD02NOV17	2786.50	3254.00	USD	-11,687.50	
02AUG17	S	1	PD02NOV17	2791.50	3254.00	USD	-11,562.50	
02AUG17	S	1	PD02NOV17	2796.00	3254.00	USD	-11,450.00	

OPEN POSITION STATEMENT



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SAP0112A

11th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
02AUG17	S	1	PD02NOV17		2800.50	3254.00	USD	-11,337.50	
02AUG17	S	1	PD02NOV17		2803.50	3254.00	USD	-11,262.50	
02AUG17	S	1	PD02NOV17		2802.00	3254.00	USD	-11,300.00	
10AUG17	B	5	PD02NOV17		2936.57	3254.00	USD	39,678.75	
20SEP17	S	10	PD02NOV17		3145.50	3254.00	USD	-27,125.00	
20SEP17	S	5	PD02NOV17		3145.75	3254.00	USD	-13,531.25	
20SEP17	S	3	PD02NOV17		3132.25	3254.00	USD	-9,131.25	
03OCT17	S	5	PD02NOV17		3242.50	3254.00	USD	-1,437.50	
03OCT17	S	5	PD02NOV17		3242.25	3254.00	USD	-1,468.75	
03OCT17	S	10	PD02NOV17		3242.75	3254.00	USD	-2,812.50	
11OCT17	B	38	PD02NOV17		3254.00	3254.00	USD	0.00	
	NET	0AVG	BGHT 3125.90	SOLD 3073.69				-70,477.50	0.00
03AUG17	B	1	PD03NOV17		2823.00	3252.89	USD	10,747.25	
03AUG17	S	1	PD03NOV17		2822.00	3252.89	USD	-10,772.25	
03AUG17	S	1	PD03NOV17		2822.50	3252.89	USD	-10,759.75	
03AUG17	S	1	PD03NOV17		2823.50	3252.89	USD	-10,734.75	
03AUG17	B	2	PD03NOV17		2818.50	3252.89	USD	21,719.50	
03AUG17	B	1	PD03NOV17		2816.00	3252.89	USD	10,922.25	
03AUG17	B	3	PD03NOV17		2812.00	3252.89	USD	33,066.75	
03AUG17	B	1	PD03NOV17		2811.00	3252.89	USD	11,047.25	
03AUG17	B	2	PD03NOV17		2813.50	3252.89	USD	21,969.50	
03AUG17	B	2	PD03NOV17		2811.50	3252.89	USD	22,069.50	
03AUG17	S	2	PD03NOV17		2818.00	3252.89	USD	-21,744.50	
03AUG17	B	1	PD03NOV17		2815.00	3252.89	USD	10,947.25	
03AUG17	B	2	PD03NOV17		2816.50	3252.89	USD	21,819.50	
03AUG17	B	2	PD03NOV17		2814.00	3252.89	USD	21,944.50	
03AUG17	B	1	PD03NOV17		2790.50	3252.89	USD	11,559.75	
03AUG17	B	1	PD03NOV17		2800.00	3252.89	USD	11,322.25	
03AUG17	B	1	PD03NOV17		2801.50	3252.89	USD	11,284.75	
03AUG17	B	2	PD03NOV17		2801.00	3252.89	USD	22,594.50	
03AUG17	B	1	PD03NOV17		2800.50	3252.89	USD	11,309.75	
03AUG17	B	1	PD03NOV17		2802.00	3252.89	USD	11,272.25	
03AUG17	B	1	PD03NOV17		2799.50	3252.89	USD	11,334.75	
03AUG17	B	1	PD03NOV17		2798.50	3252.89	USD	11,359.75	
03AUG17	B	2	PD03NOV17		2798.00	3252.89	USD	22,744.50	
03AUG17	B	1	PD03NOV17		2795.50	3252.89	USD	11,434.75	
03AUG17	B	1	PD03NOV17		2791.50	3252.89	USD	11,534.75	
03AUG17	B	2	PD03NOV17		2793.00	3252.89	USD	22,994.50	
03AUG17	S	1	PD03NOV17		2793.00	3252.89	USD	-11,497.25	
03AUG17	S	1	PD03NOV17		2792.50	3252.89	USD	-11,509.75	
03AUG17	S	1	PD03NOV17		2792.00	3252.89	USD	-11,522.25	
03AUG17	S	1	PD03NOV17		2794.00	3252.89	USD	-11,472.25	
03AUG17	B	1	PD03NOV17		2797.00	3252.89	USD	11,397.25	
03AUG17	S	1	PD03NOV17		2792.00	3252.89	USD	-11,522.25	
03AUG17	S	4	PD03NOV17		2793.00	3252.89	USD	-45,989.00	
03AUG17	S	1	PD03NOV17		2795.00	3252.89	USD	-11,447.25	
04AUG17	S	1	PD03NOV17		2806.50	3252.89	USD	-11,159.75	
04AUG17	S	1	PD03NOV17		2808.00	3252.89	USD	-11,122.25	
04AUG17	S	2	PD03NOV17		2809.50	3252.89	USD	-22,169.50	
04AUG17	S	1	PD03NOV17		2812.00	3252.89	USD	-11,022.25	
04AUG17	S	1	PD03NOV17		2816.00	3252.89	USD	-10,922.25	
04AUG17	S	2	PD03NOV17		2815.00	3252.89	USD	-21,894.50	
04AUG17	S	2	PD03NOV17		2819.00	3252.89	USD	-21,694.50	
04AUG17	S	1	PD03NOV17		2823.00	3252.89	USD	-10,747.25	
04AUG17	S	1	PD03NOV17		2826.50	3252.89	USD	-10,659.75	
04AUG17	S	2	PD03NOV17		2827.50	3252.89	USD	-21,269.50	
04AUG17	S	5	PD03NOV17		2828.00	3252.89	USD	-53,111.25	

OPEN POSITION STATEMENT



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SAP0112A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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04AUG17	S	1	PD03NOV17	2829.50	3252.89	USD	-10,584.75	
04AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
04AUG17	S	1	PD03NOV17	2828.50	3252.89	USD	-10,609.75	
04AUG17	S	1	PD03NOV17	2831.50	3252.89	USD	-10,534.75	
04AUG17	S	2	PD03NOV17	2833.50	3252.89	USD	-20,969.50	
04AUG17	S	2	PD03NOV17	2834.50	3252.89	USD	-20,919.50	
04AUG17	B	1	PD03NOV17	2828.50	3252.89	USD	10,609.75	
04AUG17	B	1	PD03NOV17	2827.00	3252.89	USD	10,647.25	
04AUG17	B	1	PD03NOV17	2826.00	3252.89	USD	10,672.25	
04AUG17	B	1	PD03NOV17	2825.00	3252.89	USD	10,697.25	
04AUG17	B	1	PD03NOV17	2824.00	3252.89	USD	10,722.25	
04AUG17	B	1	PD03NOV17	2822.00	3252.89	USD	10,772.25	
04AUG17	B	2	PD03NOV17	2821.50	3252.89	USD	21,569.50	
04AUG17	B	1	PD03NOV17	2820.50	3252.89	USD	10,809.75	
04AUG17	B	2	PD03NOV17	2818.00	3252.89	USD	21,744.50	
04AUG17	S	2	PD03NOV17	2831.00	3252.89	USD	-21,094.50	
04AUG17	S	2	PD03NOV17	2825.00	3252.89	USD	-21,394.50	
04AUG17	B	1	PD03NOV17	2820.00	3252.89	USD	10,822.25	
04AUG17	B	1	PD03NOV17	2818.50	3252.89	USD	10,859.75	
04AUG17	B	1	PD03NOV17	2817.00	3252.89	USD	10,897.25	
04AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
04AUG17	S	1	PD03NOV17	2831.00	3252.89	USD	-10,547.25	
04AUG17	B	1	PD03NOV17	2825.00	3252.89	USD	10,697.25	
04AUG17	B	1	PD03NOV17	2815.50	3252.89	USD	10,934.75	
04AUG17	B	1	PD03NOV17	2813.00	3252.89	USD	10,997.25	
04AUG17	B	2	PD03NOV17	2812.00	3252.89	USD	22,044.50	
04AUG17	B	5	PD03NOV17	2811.50	3252.89	USD	55,173.75	
04AUG17	B	2	PD03NOV17	2810.50	3252.89	USD	22,119.50	
04AUG17	B	1	PD03NOV17	2805.00	3252.89	USD	11,197.25	
04AUG17	B	1	PD03NOV17	2803.00	3252.89	USD	11,247.25	
04AUG17	S	1	PD03NOV17	2803.50	3252.89	USD	-11,234.75	
04AUG17	S	1	PD03NOV17	2810.00	3252.89	USD	-11,072.25	
04AUG17	S	1	PD03NOV17	2819.00	3252.89	USD	-10,847.25	
04AUG17	S	1	PD03NOV17	2813.00	3252.89	USD	-10,997.25	
04AUG17	S	2	PD03NOV17	2813.50	3252.89	USD	-21,969.50	
04AUG17	S	2	PD03NOV17	2814.00	3252.89	USD	-21,944.50	
04AUG17	S	1	PD03NOV17	2817.50	3252.89	USD	-10,884.75	
04AUG17	B	1	PD03NOV17	2812.50	3252.89	USD	11,009.75	
04AUG17	S	1	PD03NOV17	2810.00	3252.89	USD	-11,072.25	
04AUG17	S	1	PD03NOV17	2812.00	3252.89	USD	-11,022.25	
04AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
04AUG17	S	1	PD03NOV17	2815.00	3252.89	USD	-10,947.25	
07AUG17	S	1	PD03NOV17	2856.94	3252.89	USD	-9,898.75	
	NET	0 AVG BGHT 2811.19	SOLD 2817.00				9,011.00	0.00
07AUG17	B	4	PD07NOV17	2805.00	3250.67	USD	44,567.00	
07AUG17	B	1	PD07NOV17	2806.50	3250.67	USD	11,104.25	
07AUG17	B	1	PD07NOV17	2805.50	3250.67	USD	11,129.25	
07AUG17	S	1	PD07NOV17	2815.50	3250.67	USD	-10,879.25	
07AUG17	B	1	PD07NOV17	2812.50	3250.67	USD	10,954.25	
07AUG17	S	2	PD07NOV17	2821.50	3250.67	USD	-21,458.50	
07AUG17	S	1	PD07NOV17	2820.00	3250.67	USD	-10,766.75	
07AUG17	B	3	PD07NOV17	2810.50	3250.67	USD	33,012.75	
07AUG17	S	1	PD07NOV17	2816.00	3250.67	USD	-10,866.75	
07AUG17	S	2	PD07NOV17	2821.00	3250.67	USD	-21,483.50	
07AUG17	S	1	PD07NOV17	2819.00	3250.67	USD	-10,791.75	
07AUG17	S	2	PD07NOV17	2819.50	3250.67	USD	-21,558.50	
07AUG17	S	3	PD07NOV17	2818.50	3250.67	USD	-32,412.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	2817.50	3250.67	USD	-21,658.50	
07AUG17	B	2	PD07NOV17	2817.50	3250.67	USD	21,658.50	
07AUG17	S	2	PD07NOV17	2820.50	3250.67	USD	-21,508.50	
07AUG17	S	3	PD07NOV17	2822.00	3250.67	USD	-32,150.25	
07AUG17	S	2	PD07NOV17	2824.00	3250.67	USD	-21,333.50	
07AUG17	B	2	PD07NOV17	2819.00	3250.67	USD	21,583.50	
07AUG17	B	1	PD07NOV17	2818.50	3250.67	USD	10,804.25	
07AUG17	S	1	PD07NOV17	2826.50	3250.67	USD	-10,604.25	
07AUG17	S	1	PD07NOV17	2827.50	3250.67	USD	-10,579.25	
07AUG17	S	2	PD07NOV17	2825.00	3250.67	USD	-21,283.50	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	S	1	PD07NOV17	2832.50	3250.67	USD	-10,454.25	
07AUG17	S	1	PD07NOV17	2821.50	3250.67	USD	-10,729.25	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	S	1	PD07NOV17	2832.00	3250.67	USD	-10,466.75	
07AUG17	B	1	PD07NOV17	2836.00	3250.67	USD	10,366.75	
07AUG17	B	3	PD07NOV17	2839.00	3250.67	USD	30,875.25	
07AUG17	B	2	PD07NOV17	2837.00	3250.67	USD	20,683.50	
07AUG17	B	1	PD07NOV17	2834.50	3250.67	USD	10,404.25	
07AUG17	S	1	PD07NOV17	2847.00	3250.67	USD	-10,091.75	
07AUG17	B	1	PD07NOV17	2855.00	3250.67	USD	9,891.75	
07AUG17	B	1	PD07NOV17	2862.50	3250.67	USD	9,704.25	
07AUG17	S	1	PD07NOV17	2866.50	3250.67	USD	-9,604.25	
07AUG17	S	1	PD07NOV17	2875.00	3250.67	USD	-9,391.75	
07AUG17	B	2	PD07NOV17	2878.00	3250.67	USD	18,633.50	
07AUG17	B	1	PD07NOV17	2887.50	3250.67	USD	9,079.25	
07AUG17	B	3	PD07NOV17	2888.00	3250.67	USD	27,200.25	
07AUG17	B	1	PD07NOV17	2886.50	3250.67	USD	9,104.25	
07AUG17	B	2	PD07NOV17	2887.00	3250.67	USD	18,183.50	
07AUG17	B	5	PD07NOV17	2886.00	3250.67	USD	45,583.75	
07AUG17	B	2	PD07NOV17	2885.00	3250.67	USD	18,283.50	
07AUG17	B	1	PD07NOV17	2884.50	3250.67	USD	9,154.25	
07AUG17	B	1	PD07NOV17	2884.00	3250.67	USD	9,166.75	
07AUG17	B	1	PD07NOV17	2882.50	3250.67	USD	9,204.25	
07AUG17	B	1	PD07NOV17	2880.00	3250.67	USD	9,266.75	
07AUG17	B	1	PD07NOV17	2880.50	3250.67	USD	9,254.25	
07AUG17	B	1	PD07NOV17	2870.00	3250.67	USD	9,516.75	
07AUG17	B	1	PD07NOV17	2871.00	3250.67	USD	9,491.75	
07AUG17	S	1	PD07NOV17	2872.00	3250.67	USD	-9,466.75	
07AUG17	S	3	PD07NOV17	2874.00	3250.67	USD	-28,250.25	
07AUG17	B	1	PD07NOV17	2866.50	3250.67	USD	9,604.25	
10AUG17	S	9	PD07NOV17	2937.26	3250.67	USD	-70,517.25	
10AUG17	S	1	PD07NOV17	2937.46	3250.67	USD	-7,830.25	
	NET	0 AVG BGHT 2852.78	SOLD 2853.09				370.00	0.00
08AUG17	S	1	PD08NOV17	2867.00	3249.56	USD	-9,564.00	
08AUG17	S	1	PD08NOV17	2870.50	3249.56	USD	-9,476.50	
08AUG17	B	5	PD08NOV17	2874.50	3249.56	USD	46,882.50	
08AUG17	S	1	PD08NOV17	2877.50	3249.56	USD	-9,301.50	
08AUG17	S	1	PD08NOV17	2875.00	3249.56	USD	-9,364.00	
08AUG17	S	1	PD08NOV17	2873.00	3249.56	USD	-9,414.00	
08AUG17	S	2	PD08NOV17	2871.00	3249.56	USD	-18,928.00	
08AUG17	S	2	PD08NOV17	2872.00	3249.56	USD	-18,878.00	
08AUG17	S	1	PD08NOV17	2875.50	3249.56	USD	-9,351.50	
08AUG17	S	1	PD08NOV17	2874.50	3249.56	USD	-9,376.50	
08AUG17	B	1	PD08NOV17	2878.00	3249.56	USD	9,289.00	
08AUG17	B	1	PD08NOV17	2878.50	3249.56	USD	9,276.50	
08AUG17	S	1	PD08NOV17	2886.50	3249.56	USD	-9,076.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	2875.00	3249.56	USD	9,364.00	
08AUG17	B	3	PD08NOV17	2874.00	3249.56	USD	28,167.00	
08AUG17	B	2	PD08NOV17	2873.00	3249.56	USD	18,828.00	
08AUG17	B	1	PD08NOV17	2870.00	3249.56	USD	9,489.00	
08AUG17	S	2	PD08NOV17	2869.50	3249.56	USD	-19,003.00	
08AUG17	B	1	PD08NOV17	2869.50	3249.56	USD	9,501.50	
08AUG17	B	3	PD08NOV17	2871.00	3249.56	USD	28,392.00	
08AUG17	B	1	PD08NOV17	2873.50	3249.56	USD	9,401.50	
08AUG17	B	2	PD08NOV17	2867.00	3249.56	USD	19,128.00	
08AUG17	B	1	PD08NOV17	2868.00	3249.56	USD	9,539.00	
08AUG17	B	3	PD08NOV17	2870.50	3249.56	USD	28,429.50	
08AUG17	B	1	PD08NOV17	2869.00	3249.56	USD	9,514.00	
08AUG17	S	5	PD08NOV17	2876.50	3249.56	USD	-46,632.50	
08AUG17	S	1	PD08NOV17	2878.00	3249.56	USD	-9,289.00	
08AUG17	S	7	PD08NOV17	2878.50	3249.56	USD	-64,935.50	
08AUG17	B	2	PD08NOV17	2879.00	3249.56	USD	18,528.00	
08AUG17	S	2	PD08NOV17	2879.50	3249.56	USD	-18,503.00	
08AUG17	B	1	PD08NOV17	2876.50	3249.56	USD	9,326.50	
08AUG17	B	1	PD08NOV17	2882.00	3249.56	USD	9,189.00	
08AUG17	S	5	PD08NOV17	2881.00	3249.56	USD	-46,070.00	
08AUG17	S	3	PD08NOV17	2882.00	3249.56	USD	-27,567.00	
08AUG17	S	1	PD08NOV17	2884.50	3249.56	USD	-9,126.50	
08AUG17	S	1	PD08NOV17	2887.00	3249.56	USD	-9,064.00	
08AUG17	S	1	PD08NOV17	2884.00	3249.56	USD	-9,139.00	
08AUG17	B	2	PD08NOV17	2875.50	3249.56	USD	18,703.00	
08AUG17	S	1	PD08NOV17	2866.50	3249.56	USD	-9,576.50	
08AUG17	S	1	PD08NOV17	2876.00	3249.56	USD	-9,339.00	
08AUG17	S	9	PD08NOV17	2880.50	3249.56	USD	-83,038.50	
08AUG17	S	4	PD08NOV17	2881.50	3249.56	USD	-36,806.00	
08AUG17	S	1	PD08NOV17	2885.00	3249.56	USD	-9,114.00	
08AUG17	S	1	PD08NOV17	2888.00	3249.56	USD	-9,039.00	
08AUG17	S	1	PD08NOV17	2889.00	3249.56	USD	-9,014.00	
08AUG17	S	2	PD08NOV17	2889.50	3249.56	USD	-18,003.00	
08AUG17	S	1	PD08NOV17	2890.00	3249.56	USD	-8,989.00	
08AUG17	B	2	PD08NOV17	2895.50	3249.56	USD	17,703.00	
08AUG17	B	3	PD08NOV17	2897.00	3249.56	USD	26,442.00	
08AUG17	S	1	PD08NOV17	2906.50	3249.56	USD	-8,576.50	
08AUG17	S	1	PD08NOV17	2908.50	3249.56	USD	-8,526.50	
08AUG17	S	1	PD08NOV17	2909.50	3249.56	USD	-8,501.50	
08AUG17	B	1	PD08NOV17	2910.00	3249.56	USD	8,489.00	
08AUG17	B	1	PD08NOV17	2909.50	3249.56	USD	8,501.50	
08AUG17	B	1	PD08NOV17	2910.50	3249.56	USD	8,476.50	
08AUG17	S	2	PD08NOV17	2913.50	3249.56	USD	-16,803.00	
08AUG17	S	1	PD08NOV17	2914.00	3249.56	USD	-8,389.00	
08AUG17	S	2	PD08NOV17	2912.00	3249.56	USD	-16,878.00	
08AUG17	S	1	PD08NOV17	2915.00	3249.56	USD	-8,364.00	
08AUG17	B	1	PD08NOV17	2923.50	3249.56	USD	8,151.50	
08AUG17	B	1	PD08NOV17	2920.00	3249.56	USD	8,239.00	
08AUG17	B	1	PD08NOV17	2921.50	3249.56	USD	8,201.50	
08AUG17	S	1	PD08NOV17	2931.50	3249.56	USD	-7,951.50	
08AUG17	B	2	PD08NOV17	2928.50	3249.56	USD	16,053.00	
08AUG17	S	1	PD08NOV17	2926.00	3249.56	USD	-8,089.00	
08AUG17	B	1	PD08NOV17	2872.00	3249.56	USD	9,439.00	
08AUG17	B	1	PD08NOV17	2869.00	3249.56	USD	9,514.00	
08AUG17	S	1	PD08NOV17	2880.00	3249.56	USD	-9,239.00	
08AUG17	S	1	PD08NOV17	2878.50	3249.56	USD	-9,276.50	
08AUG17	S	1	PD08NOV17	2879.50	3249.56	USD	-9,251.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	2895.50	3249.56	USD	8,851.50	
08AUG17	B	1	PD08NOV17	2925.00	3249.56	USD	8,114.00	
08AUG17	S	2	PD08NOV17	2935.50	3249.56	USD	-15,703.00	
08AUG17	B	1	PD08NOV17	2935.50	3249.56	USD	7,851.50	
08AUG17	B	1	PD08NOV17	2934.50	3249.56	USD	7,876.50	
08AUG17	S	1	PD08NOV17	2936.50	3249.56	USD	-7,826.50	
08AUG17	B	3	PD08NOV17	2934.00	3249.56	USD	23,667.00	
08AUG17	B	1	PD08NOV17	2933.00	3249.56	USD	7,914.00	
08AUG17	B	1	PD08NOV17	2935.00	3249.56	USD	7,864.00	
08AUG17	B	1	PD08NOV17	2931.00	3249.56	USD	7,964.00	
10AUG17	B	1	PD08NOV17	2937.84	3249.56	USD	7,793.00	
10AUG17	B	3	PD08NOV17	2937.64	3249.56	USD	23,394.00	
22AUG17	B	15	PD08NOV17	3118.56	3249.56	USD	49,125.00	
29AUG17	B	2	PD08NOV17	3108.85	3249.56	USD	7,035.50	
	NET	0AVG BGHT 2943.09	SOLD 2886.30				-110,746.50	0.00
09AUG17	S	1	PD09NOV17	2951.50	3248.44	USD	-7,423.50	
09AUG17	S	2	PD09NOV17	2955.50	3248.44	USD	-14,647.00	
09AUG17	B	1	PD09NOV17	2940.50	3248.44	USD	7,698.50	
09AUG17	B	1	PD09NOV17	2940.00	3248.44	USD	7,711.00	
09AUG17	S	1	PD09NOV17	2945.00	3248.44	USD	-7,586.00	
09AUG17	B	1	PD09NOV17	2941.00	3248.44	USD	7,686.00	
09AUG17	S	1	PD09NOV17	2965.00	3248.44	USD	-7,086.00	
09AUG17	S	1	PD09NOV17	2966.50	3248.44	USD	-7,048.50	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	B	2	PD09NOV17	2970.00	3248.44	USD	13,922.00	
09AUG17	B	1	PD09NOV17	2971.00	3248.44	USD	6,936.00	
09AUG17	B	1	PD09NOV17	2971.50	3248.44	USD	6,923.50	
09AUG17	S	1	PD09NOV17	2976.50	3248.44	USD	-6,798.50	
09AUG17	S	1	PD09NOV17	2979.50	3248.44	USD	-6,723.50	
09AUG17	B	1	PD09NOV17	2981.50	3248.44	USD	6,673.50	
09AUG17	B	2	PD09NOV17	2978.50	3248.44	USD	13,497.00	
09AUG17	B	1	PD09NOV17	2975.50	3248.44	USD	6,823.50	
09AUG17	S	3	PD09NOV17	2980.00	3248.44	USD	-20,133.00	
09AUG17	B	1	PD09NOV17	2969.50	3248.44	USD	6,973.50	
09AUG17	B	4	PD09NOV17	2968.00	3248.44	USD	28,044.00	
09AUG17	S	1	PD09NOV17	2966.00	3248.44	USD	-7,061.00	
09AUG17	B	1	PD09NOV17	2965.00	3248.44	USD	7,086.00	
09AUG17	B	3	PD09NOV17	2964.50	3248.44	USD	21,295.50	
09AUG17	B	4	PD09NOV17	2964.00	3248.44	USD	28,444.00	
09AUG17	B	1	PD09NOV17	2961.00	3248.44	USD	7,186.00	
09AUG17	B	1	PD09NOV17	2957.50	3248.44	USD	7,273.50	
09AUG17	S	1	PD09NOV17	2956.00	3248.44	USD	-7,311.00	
09AUG17	B	1	PD09NOV17	2956.00	3248.44	USD	7,311.00	
09AUG17	B	1	PD09NOV17	2956.50	3248.44	USD	7,298.50	
09AUG17	B	2	PD09NOV17	2957.00	3248.44	USD	14,572.00	
09AUG17	S	2	PD09NOV17	2954.00	3248.44	USD	-14,722.00	
09AUG17	B	1	PD09NOV17	2949.00	3248.44	USD	7,486.00	
09AUG17	S	1	PD09NOV17	2960.00	3248.44	USD	-7,211.00	
09AUG17	B	1	PD09NOV17	2959.50	3248.44	USD	7,223.50	
09AUG17	S	2	PD09NOV17	2959.00	3248.44	USD	-14,472.00	
09AUG17	S	1	PD09NOV17	2963.50	3248.44	USD	-7,123.50	
09AUG17	S	2	PD09NOV17	2955.00	3248.44	USD	-14,672.00	
09AUG17	S	1	PD09NOV17	2963.00	3248.44	USD	-7,136.00	
09AUG17	B	1	PD09NOV17	2960.50	3248.44	USD	7,198.50	
09AUG17	B	2	PD09NOV17	2960.00	3248.44	USD	14,422.00	
09AUG17	B	1	PD09NOV17	2954.50	3248.44	USD	7,348.50	
09AUG17	B	2	PD09NOV17	2955.50	3248.44	USD	14,647.00	

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09AUG17	S	2	PD09NOV17	2972.50	3248.44	USD	-13,797.00	
09AUG17	S	2	PD09NOV17	2973.00	3248.44	USD	-13,772.00	
09AUG17	B	1	PD09NOV17	2973.50	3248.44	USD	6,873.50	
09AUG17	S	2	PD09NOV17	2975.50	3248.44	USD	-13,647.00	
09AUG17	S	3	PD09NOV17	2973.50	3248.44	USD	-20,620.50	
09AUG17	S	2	PD09NOV17	2974.00	3248.44	USD	-13,722.00	
09AUG17	S	1	PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	S	2	PD09NOV17	2976.00	3248.44	USD	-13,622.00	
09AUG17	S	3	PD09NOV17	2974.50	3248.44	USD	-20,545.50	
09AUG17	S	1	PD09NOV17	2969.50	3248.44	USD	-6,973.50	
09AUG17	B	1	PD09NOV17	2963.00	3248.44	USD	7,136.00	
09AUG17	B	1	PD09NOV17	2945.00	3248.44	USD	7,586.00	
09AUG17	B	2	PD09NOV17	2943.50	3248.44	USD	15,247.00	
09AUG17	B	3	PD09NOV17	2942.00	3248.44	USD	22,983.00	
09AUG17	B	1	PD09NOV17	2941.50	3248.44	USD	7,673.50	
09AUG17	B	1	PD09NOV17	2937.50	3248.44	USD	7,773.50	
09AUG17	S	1	PD09NOV17	2944.00	3248.44	USD	-7,611.00	
09AUG17	S	1	PD09NOV17	2943.50	3248.44	USD	-7,623.50	
09AUG17	S	1	PD09NOV17	2946.00	3248.44	USD	-7,561.00	
09AUG17	S	1	PD09NOV17	2958.50	3248.44	USD	-7,248.50	
09AUG17	S	1	PD09NOV17	2948.50	3248.44	USD	-7,498.50	
09AUG17	S	3	PD09NOV17	2942.50	3248.44	USD	-22,945.50	
09AUG17	S	1	PD09NOV17	2945.50	3248.44	USD	-7,573.50	
09AUG17	B	1	PD09NOV17	2934.50	3248.44	USD	7,848.50	
09AUG17	B	1	PD09NOV17	2934.00	3248.44	USD	7,861.00	
09AUG17	B	1	PD09NOV17	2935.00	3248.44	USD	7,836.00	
09AUG17	B	1	PD09NOV17	2935.50	3248.44	USD	7,823.50	
09AUG17	B	1	PD09NOV17	2936.00	3248.44	USD	7,811.00	
10AUG17	S	3	PD09NOV17	2937.62	3248.44	USD	-23,311.50	
	NET	0AVG	BGHT 2957.02	SOLD 2962.34			7,046.50	0.00
10AUG17	S	1	PD10NOV17	2943.00	3247.33	USD	-7,608.25	
10AUG17	B	2	PD10NOV17	2947.50	3247.33	USD	14,991.50	
10AUG17	B	1	PD10NOV17	2946.50	3247.33	USD	7,520.75	
10AUG17	B	2	PD10NOV17	2943.00	3247.33	USD	15,216.50	
10AUG17	B	1	PD10NOV17	2946.00	3247.33	USD	7,533.25	
10AUG17	B	1	PD10NOV17	2945.50	3247.33	USD	7,545.75	
10AUG17	B	1	PD10NOV17	2944.00	3247.33	USD	7,583.25	
10AUG17	B	2	PD10NOV17	2943.50	3247.33	USD	15,191.50	
10AUG17	S	1	PD10NOV17	2935.50	3247.33	USD	-7,795.75	
10AUG17	S	1	PD10NOV17	2936.50	3247.33	USD	-7,770.75	
10AUG17	S	1	PD10NOV17	2933.50	3247.33	USD	-7,845.75	
10AUG17	S	1	PD10NOV17	2935.00	3247.33	USD	-7,808.25	
10AUG17	B	1	PD10NOV17	2934.50	3247.33	USD	7,820.75	
10AUG17	B	2	PD10NOV17	2936.00	3247.33	USD	15,566.50	
10AUG17	B	2	PD10NOV17	2935.00	3247.33	USD	15,616.50	
10AUG17	S	1	PD10NOV17	2944.50	3247.33	USD	-7,570.75	
10AUG17	B	1	PD10NOV17	2938.00	3247.33	USD	7,733.25	
10AUG17	S	1	PD10NOV17	2943.50	3247.33	USD	-7,595.75	
10AUG17	S	1	PD10NOV17	2950.00	3247.33	USD	-7,433.25	
10AUG17	S	1	PD10NOV17	2950.50	3247.33	USD	-7,420.75	
10AUG17	S	1	PD10NOV17	2949.50	3247.33	USD	-7,445.75	
10AUG17	B	1	PD10NOV17	2936.00	3247.33	USD	7,783.25	
10AUG17	S	1	PD10NOV17	2942.00	3247.33	USD	-7,633.25	
10AUG17	B	2	PD10NOV17	2937.00	3247.33	USD	15,516.50	
10AUG17	B	2	PD10NOV17	2938.50	3247.33	USD	15,441.50	
10AUG17	S	2	PD10NOV17	2939.50	3247.33	USD	-15,391.50	
10AUG17	B	1	PD10NOV17	2925.00	3247.33	USD	8,058.25	

OPEN POSITION STATEMENT



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SAP0112A

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Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	2925.00	3247.33	USD	-8,058.25	
10AUG17	S	1	PD10NOV17	2921.50	3247.33	USD	-8,145.75	
10AUG17	S	1	PD10NOV17	2923.00	3247.33	USD	-8,108.25	
10AUG17	S	2	PD10NOV17	2934.00	3247.33	USD	-15,666.50	
10AUG17	S	2	PD10NOV17	2938.50	3247.33	USD	-15,441.50	
10AUG17	S	2	PD10NOV17	2939.00	3247.33	USD	-15,416.50	
10AUG17	S	1	PD10NOV17	2924.50	3247.33	USD	-8,070.75	
10AUG17	S	1	PD10NOV17	2942.00	3247.33	USD	-7,633.25	
10AUG17	S	1	PD10NOV17	2945.50	3247.33	USD	-7,545.75	
10AUG17	S	1	PD10NOV17	2949.00	3247.33	USD	-7,458.25	
10AUG17	S	1	PD10NOV17	2952.50	3247.33	USD	-7,370.75	
10AUG17	B	2	PD10NOV17	2948.50	3247.33	USD	14,941.50	
10AUG17	B	1	PD10NOV17	2931.00	3247.33	USD	7,908.25	
11AUG17	S	2	PD10NOV17	2907.50	3247.33	USD	-16,991.50	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	S	1	PD10NOV17	2911.00	3247.33	USD	-8,408.25	
11AUG17	S	2	PD10NOV17	2908.50	3247.33	USD	-16,941.50	
11AUG17	B	2	PD10NOV17	2908.00	3247.33	USD	16,966.50	
11AUG17	B	2	PD10NOV17	2904.50	3247.33	USD	17,141.50	
11AUG17	B	1	PD10NOV17	2896.50	3247.33	USD	8,770.75	
11AUG17	S	1	PD10NOV17	2894.50	3247.33	USD	-8,820.75	
11AUG17	B	1	PD10NOV17	2896.00	3247.33	USD	8,783.25	
11AUG17	B	1	PD10NOV17	2894.50	3247.33	USD	8,820.75	
11AUG17	B	1	PD10NOV17	2894.00	3247.33	USD	8,833.25	
11AUG17	B	1	PD10NOV17	2893.50	3247.33	USD	8,845.75	
11AUG17	B	1	PD10NOV17	2893.00	3247.33	USD	8,858.25	
11AUG17	B	1	PD10NOV17	2890.50	3247.33	USD	8,920.75	
11AUG17	B	2	PD10NOV17	2889.50	3247.33	USD	17,891.50	
11AUG17	S	2	PD10NOV17	2902.00	3247.33	USD	-17,266.50	
11AUG17	B	2	PD10NOV17	2906.50	3247.33	USD	17,041.50	
11AUG17	S	1	PD10NOV17	2908.00	3247.33	USD	-8,483.25	
11AUG17	S	1	PD10NOV17	2913.00	3247.33	USD	-8,358.25	
11AUG17	S	1	PD10NOV17	2914.00	3247.33	USD	-8,333.25	
11AUG17	S	1	PD10NOV17	2912.50	3247.33	USD	-8,370.75	
11AUG17	S	1	PD10NOV17	2916.00	3247.33	USD	-8,283.25	
11AUG17	S	1	PD10NOV17	2917.00	3247.33	USD	-8,258.25	
11AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
11AUG17	S	1	PD10NOV17	2917.50	3247.33	USD	-8,245.75	
11AUG17	S	1	PD10NOV17	2920.50	3247.33	USD	-8,170.75	
11AUG17	S	1	PD10NOV17	2919.50	3247.33	USD	-8,195.75	
11AUG17	B	1	PD10NOV17	2897.50	3247.33	USD	8,745.75	
11AUG17	B	1	PD10NOV17	2911.50	3247.33	USD	8,395.75	
11AUG17	B	1	PD10NOV17	2915.00	3247.33	USD	8,308.25	
11AUG17	B	1	PD10NOV17	2910.50	3247.33	USD	8,420.75	
11AUG17	B	1	PD10NOV17	2912.00	3247.33	USD	8,383.25	
11AUG17	S	1	PD10NOV17	2912.00	3247.33	USD	-8,383.25	
11AUG17	B	2	PD10NOV17	2909.50	3247.33	USD	16,891.50	
11AUG17	B	2	PD10NOV17	2908.50	3247.33	USD	16,941.50	
11AUG17	B	1	PD10NOV17	2907.50	3247.33	USD	8,495.75	
11AUG17	B	1	PD10NOV17	2909.00	3247.33	USD	8,458.25	
11AUG17	B	1	PD10NOV17	2907.00	3247.33	USD	8,508.25	
11AUG17	B	2	PD10NOV17	2906.00	3247.33	USD	17,066.50	
11AUG17	B	1	PD10NOV17	2903.50	3247.33	USD	8,595.75	
11AUG17	B	1	PD10NOV17	2902.50	3247.33	USD	8,620.75	
11AUG17	S	1	PD10NOV17	2899.00	3247.33	USD	-8,708.25	
11AUG17	S	4	PD10NOV17	2906.00	3247.33	USD	-34,133.00	
11AUG17	S	7	PD10NOV17	2905.00	3247.33	USD	-59,907.75	

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11AUG17	S	2	PD10NOV17	2909.50	3247.33	USD	-16,891.50	
11AUG17	S	3	PD10NOV17	2904.50	3247.33	USD	-25,712.25	
11AUG17	S	2	PD10NOV17	2905.50	3247.33	USD	-17,091.50	
11AUG17	S	1	PD10NOV17	2907.00	3247.33	USD	-8,508.25	
11AUG17	B	1	PD10NOV17	2897.00	3247.33	USD	8,758.25	
11AUG17	B	2	PD10NOV17	2905.00	3247.33	USD	17,116.50	
11AUG17	B	2	PD10NOV17	2903.00	3247.33	USD	17,216.50	
11AUG17	B	1	PD10NOV17	2900.50	3247.33	USD	8,670.75	
11AUG17	B	3	PD10NOV17	2900.00	3247.33	USD	26,049.75	
11AUG17	B	3	PD10NOV17	2899.00	3247.33	USD	26,124.75	
11AUG17	B	2	PD10NOV17	2898.50	3247.33	USD	17,441.50	
11AUG17	S	3	PD10NOV17	2902.50	3247.33	USD	-25,862.25	
11AUG17	S	2	PD10NOV17	2903.50	3247.33	USD	-17,191.50	
11AUG17	S	1	PD10NOV17	2902.50	3247.33	USD	-8,620.75	
29AUG17	B	1	PD10NOV17	3109.15	3247.33	USD	3,454.50	
	NET	0AVG BGHT 2918.33	SOLD 2919.19				1,558.75	0.00
14AUG17	B	3	PD14NOV17	2914.00	3245.11	USD	24,833.25	
14AUG17	B	2	PD14NOV17	2913.50	3245.11	USD	16,580.50	
14AUG17	B	1	PD14NOV17	2907.50	3245.11	USD	8,440.25	
14AUG17	S	1	PD14NOV17	2907.50	3245.11	USD	-8,440.25	
14AUG17	B	1	PD14NOV17	2909.00	3245.11	USD	8,402.75	
14AUG17	S	1	PD14NOV17	2906.50	3245.11	USD	-8,465.25	
14AUG17	B	1	PD14NOV17	2902.50	3245.11	USD	8,565.25	
14AUG17	B	3	PD14NOV17	2903.00	3245.11	USD	25,658.25	
14AUG17	B	1	PD14NOV17	2901.50	3245.11	USD	8,590.25	
14AUG17	B	1	PD14NOV17	2900.50	3245.11	USD	8,615.25	
14AUG17	B	1	PD14NOV17	2899.50	3245.11	USD	8,640.25	
14AUG17	B	1	PD14NOV17	2899.00	3245.11	USD	8,652.75	
14AUG17	B	1	PD14NOV17	2905.50	3245.11	USD	8,490.25	
14AUG17	S	2	PD14NOV17	2910.00	3245.11	USD	-16,755.50	
14AUG17	S	1	PD14NOV17	2912.50	3245.11	USD	-8,315.25	
14AUG17	S	2	PD14NOV17	2913.50	3245.11	USD	-16,580.50	
14AUG17	B	1	PD14NOV17	2904.50	3245.11	USD	8,515.25	
14AUG17	B	2	PD14NOV17	2905.00	3245.11	USD	17,005.50	
14AUG17	B	6	PD14NOV17	2904.00	3245.11	USD	51,166.50	
14AUG17	S	2	PD14NOV17	2914.50	3245.11	USD	-16,530.50	
14AUG17	S	2	PD14NOV17	2916.50	3245.11	USD	-16,430.50	
14AUG17	S	1	PD14NOV17	2918.50	3245.11	USD	-8,165.25	
14AUG17	B	1	PD14NOV17	2922.00	3245.11	USD	8,077.75	
22AUG17	S	14	PD14NOV17	3119.17	3245.11	USD	-44,079.00	
	NET	0AVG BGHT 2906.29	SOLD 3023.94				76,472.00	0.00
21AUG17	B	1	PD21NOV17	3171.00	3237.00	USD	1,650.00	
21AUG17	B	3	PD21NOV17	3171.50	3237.00	USD	4,912.50	
21AUG17	S	1	PD21NOV17	3150.00	3237.00	USD	-2,175.00	
21AUG17	S	1	PD21NOV17	3154.00	3237.00	USD	-2,075.00	
21AUG17	S	1	PD21NOV17	3152.50	3237.00	USD	-2,112.50	
21AUG17	B	1	PD21NOV17	3138.00	3237.00	USD	2,475.00	
21AUG17	S	1	PD21NOV17	3132.50	3237.00	USD	-2,612.50	
22AUG17	S	1	PD21NOV17	3118.40	3237.00	USD	-2,965.00	
	NET	0AVG BGHT 3164.70	SOLD 3141.48				-2,902.50	0.00
22AUG17	B	1	PD22NOV17	3124.00	3236.00	USD	2,800.00	
22AUG17	B	2	PD22NOV17	3123.00	3236.00	USD	5,650.00	
22AUG17	B	1	PD22NOV17	3122.50	3236.00	USD	2,837.50	
22AUG17	S	1	PD22NOV17	3129.50	3236.00	USD	-2,662.50	
22AUG17	B	1	PD22NOV17	3143.00	3236.00	USD	2,325.00	
22AUG17	S	1	PD22NOV17	3150.50	3236.00	USD	-2,137.50	

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22AUG17	S	1	PD22NOV17	3155.50	3236.00	USD	-2,012.50	
22AUG17	B	1	PD22NOV17	3132.00	3236.00	USD	2,600.00	
22AUG17	B	1	PD22NOV17	3123.50	3236.00	USD	2,812.50	
22AUG17	S	1	PD22NOV17	3124.50	3236.00	USD	-2,787.50	
29AUG17	S	3	PD22NOV17	3108.23	3236.00	USD	-9,582.75	
	NET	0AVG BGHT 3127.29	SOLD 3126.38				-157.75	0.00
24AUG17	B	1	PD24NOV17	3119.00	3235.00	USD	2,900.00	
24AUG17	S	1	PD24NOV17	3111.50	3235.00	USD	-3,087.50	
	NET	0AVG BGHT 3119.00	SOLD 3111.50				-187.50	0.00
30AUG17	S	1	PD30NOV17	3137.50	3233.67	USD	-2,404.25	
30AUG17	S	1	PD30NOV17	3139.00	3233.67	USD	-2,366.75	
30AUG17	S	1	PD30NOV17	3141.00	3233.67	USD	-2,316.75	
30AUG17	S	2	PD30NOV17	3151.50	3233.67	USD	-4,108.50	
30AUG17	S	1	PD30NOV17	3149.50	3233.67	USD	-2,104.25	
30AUG17	B	1	PD30NOV17	3137.00	3233.67	USD	2,416.75	
30AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
30AUG17	S	1	PD30NOV17	3137.00	3233.67	USD	-2,416.75	
30AUG17	B	1	PD30NOV17	3135.50	3233.67	USD	2,454.25	
30AUG17	S	1	PD30NOV17	3134.50	3233.67	USD	-2,479.25	
30AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
30AUG17	B	1	PD30NOV17	3125.50	3233.67	USD	2,704.25	
30AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
30AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
30AUG17	S	1	PD30NOV17	3117.00	3233.67	USD	-2,916.75	
30AUG17	B	1	PD30NOV17	3113.00	3233.67	USD	3,016.75	
30AUG17	B	2	PD30NOV17	3102.00	3233.67	USD	6,583.50	
30AUG17	B	1	PD30NOV17	3093.00	3233.67	USD	3,516.75	
30AUG17	S	1	PD30NOV17	3097.50	3233.67	USD	-3,404.25	
30AUG17	B	1	PD30NOV17	3085.00	3233.67	USD	3,716.75	
30AUG17	S	1	PD30NOV17	3084.00	3233.67	USD	-3,741.75	
30AUG17	S	3	PD30NOV17	3085.00	3233.67	USD	-11,150.25	
30AUG17	S	1	PD30NOV17	3083.50	3233.67	USD	-3,754.25	
31AUG17	S	1	PD30NOV17	3109.00	3233.67	USD	-3,116.75	
31AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
31AUG17	S	2	PD30NOV17	3109.50	3233.67	USD	-6,208.50	
31AUG17	B	1	PD30NOV17	3093.00	3233.67	USD	3,516.75	
31AUG17	S	1	PD30NOV17	3104.50	3233.67	USD	-3,229.25	
31AUG17	S	1	PD30NOV17	3106.00	3233.67	USD	-3,191.75	
31AUG17	S	2	PD30NOV17	3114.50	3233.67	USD	-5,958.50	
31AUG17	S	1	PD30NOV17	3118.00	3233.67	USD	-2,891.75	
31AUG17	S	1	PD30NOV17	3119.50	3233.67	USD	-2,854.25	
31AUG17	S	1	PD30NOV17	3121.50	3233.67	USD	-2,804.25	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	S	2	PD30NOV17	3123.00	3233.67	USD	-5,533.50	
31AUG17	S	1	PD30NOV17	3122.50	3233.67	USD	-2,779.25	
31AUG17	B	1	PD30NOV17	3120.00	3233.67	USD	2,841.75	
31AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
31AUG17	S	2	PD30NOV17	3126.00	3233.67	USD	-5,383.50	
31AUG17	S	2	PD30NOV17	3132.00	3233.67	USD	-5,083.50	
31AUG17	S	1	PD30NOV17	3136.50	3233.67	USD	-2,429.25	
31AUG17	S	1	PD30NOV17	3109.50	3233.67	USD	-3,104.25	
31AUG17	S	1	PD30NOV17	3109.00	3233.67	USD	-3,116.75	
31AUG17	S	1	PD30NOV17	3138.00	3233.67	USD	-2,391.75	
31AUG17	S	1	PD30NOV17	3141.50	3233.67	USD	-2,304.25	
31AUG17	S	1	PD30NOV17	3139.50	3233.67	USD	-2,354.25	
31AUG17	B	1	PD30NOV17	3130.50	3233.67	USD	2,579.25	
31AUG17	S	1	PD30NOV17	3144.00	3233.67	USD	-2,241.75	

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31AUG17	B	1	PD30NOV17	3154.00	3233.67	USD	1,991.75	
31AUG17	B	1	PD30NOV17	3151.50	3233.67	USD	2,054.25	
31AUG17	B	4	PD30NOV17	3145.50	3233.67	USD	8,817.00	
31AUG17	B	1	PD30NOV17	3133.00	3233.67	USD	2,516.75	
31AUG17	B	1	PD30NOV17	3131.50	3233.67	USD	2,554.25	
31AUG17	B	1	PD30NOV17	3147.00	3233.67	USD	2,166.75	
31AUG17	B	1	PD30NOV17	3152.50	3233.67	USD	2,029.25	
31AUG17	B	1	PD30NOV17	3160.00	3233.67	USD	1,841.75	
06SEP17	B	24	PD30NOV17	3093.50	3233.67	USD	84,102.00	
	NET	0AVG BGHT 3110.70	SOLD 3121.63				12,575.00	0.00
01SEP17	S	1	PD01DEC17	3142.50	3233.33	USD	-2,270.75	
01SEP17	B	2	PD01DEC17	3144.50	3233.33	USD	4,441.50	
01SEP17	B	21	PD01DEC17	3145.00	3233.33	USD	46,373.25	
01SEP17	B	1	PD01DEC17	3152.00	3233.33	USD	2,033.25	
01SEP17	S	1	PD01DEC17	3155.50	3233.33	USD	-1,945.75	
01SEP17	S	1	PD01DEC17	3155.00	3233.33	USD	-1,958.25	
01SEP17	S	2	PD01DEC17	3157.50	3233.33	USD	-3,791.50	
01SEP17	S	1	PD01DEC17	3157.00	3233.33	USD	-1,908.25	
01SEP17	S	2	PD01DEC17	3154.00	3233.33	USD	-3,966.50	
01SEP17	S	3	PD01DEC17	3160.00	3233.33	USD	-5,499.75	
01SEP17	S	1	PD01DEC17	3156.50	3233.33	USD	-1,920.75	
01SEP17	S	1	PD01DEC17	3158.50	3233.33	USD	-1,870.75	
01SEP17	S	5	PD01DEC17	3158.00	3233.33	USD	-9,416.25	
01SEP17	S	3	PD01DEC17	3159.00	3233.33	USD	-5,574.75	
01SEP17	S	2	PD01DEC17	3163.50	3233.33	USD	-3,491.50	
01SEP17	S	1	PD01DEC17	3165.00	3233.33	USD	-1,708.25	
01SEP17	S	1	PD01DEC17	3166.00	3233.33	USD	-1,683.25	
01SEP17	S	1	PD01DEC17	3167.00	3233.33	USD	-1,658.25	
01SEP17	S	1	PD01DEC17	3163.00	3233.33	USD	-1,758.25	
01SEP17	B	1	PD01DEC17	3173.00	3233.33	USD	1,508.25	
01SEP17	B	1	PD01DEC17	3172.00	3233.33	USD	1,533.25	
01SEP17	S	1	PD01DEC17	3178.50	3233.33	USD	-1,370.75	
01SEP17	B	1	PD01DEC17	3170.00	3233.33	USD	1,583.25	
01SEP17	B	2	PD01DEC17	3171.50	3233.33	USD	3,091.50	
01SEP17	B	1	PD01DEC17	3179.50	3233.33	USD	1,345.75	
01SEP17	S	1	PD01DEC17	3185.50	3233.33	USD	-1,195.75	
01SEP17	S	1	PD01DEC17	3187.50	3233.33	USD	-1,145.75	
01SEP17	B	1	PD01DEC17	3187.00	3233.33	USD	1,158.25	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	B	5	PD01DEC17	3209.00	3233.33	USD	3,041.25	
01SEP17	B	3	PD01DEC17	3210.00	3233.33	USD	1,749.75	
01SEP17	B	1	PD01DEC17	3207.00	3233.33	USD	658.25	
01SEP17	B	2	PD01DEC17	3208.50	3233.33	USD	1,241.50	
01SEP17	B	2	PD01DEC17	3207.50	3233.33	USD	1,291.50	
01SEP17	B	8	PD01DEC17	3208.00	3233.33	USD	5,066.00	
01SEP17	B	1	PD01DEC17	3209.00	3233.33	USD	608.25	
06SEP17	S	24	PD01DEC17	3093.55	3233.33	USD	-83,868.00	
	NET	0AVG BGHT 3176.03	SOLD 3131.11				-60,645.00	0.00
04SEP17	B	3	PD04DEC17	3197.50	3233.00	USD	2,662.50	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	B	2	PD04DEC17	3200.00	3233.00	USD	1,650.00	
04SEP17	S	2	PD04DEC17	3194.00	3233.00	USD	-1,950.00	
04SEP17	S	2	PD04DEC17	3189.50	3233.00	USD	-2,175.00	
04SEP17	S	1	PD04DEC17	3190.50	3233.00	USD	-1,062.50	
04SEP17	S	1	PD04DEC17	3191.50	3233.00	USD	-1,037.50	
04SEP17	S	1	PD04DEC17	3192.00	3233.00	USD	-1,025.00	
04SEP17	S	3	PD04DEC17	3195.50	3233.00	USD	-2,812.50	

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SAP0112A

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	2	PD04DEC17	3186.00	3233.00	USD	2,350.00	
04SEP17	B	1	PD04DEC17	3185.00	3233.00	USD	1,200.00	
04SEP17	B	1	PD04DEC17	3184.50	3233.00	USD	1,212.50	
04SEP17	B	2	PD04DEC17	3182.50	3233.00	USD	2,525.00	
04SEP17	B	1	PD04DEC17	3177.50	3233.00	USD	1,387.50	
04SEP17	S	1	PD04DEC17	3180.50	3233.00	USD	-1,312.50	
04SEP17	B	1	PD04DEC17	3182.00	3233.00	USD	1,275.00	
04SEP17	S	1	PD04DEC17	3183.00	3233.00	USD	-1,250.00	
04SEP17	S	1	PD04DEC17	3184.00	3233.00	USD	-1,225.00	
04SEP17	S	1	PD04DEC17	3193.00	3233.00	USD	-1,000.00	
04SEP17	S	3	PD04DEC17	3193.50	3233.00	USD	-2,962.50	
04SEP17	S	1	PD04DEC17	3196.50	3233.00	USD	-912.50	
04SEP17	B	1	PD04DEC17	3198.00	3233.00	USD	875.00	
04SEP17	S	3	PD04DEC17	3195.00	3233.00	USD	-2,850.00	
04SEP17	B	1	PD04DEC17	3196.00	3233.00	USD	925.00	
04SEP17	B	1	PD04DEC17	3191.00	3233.00	USD	1,050.00	
04SEP17	S	1	PD04DEC17	3191.00	3233.00	USD	-1,050.00	
04SEP17	S	1	PD04DEC17	3184.50	3233.00	USD	-1,212.50	
04SEP17	B	1	PD04DEC17	3181.00	3233.00	USD	1,300.00	
04SEP17	S	1	PD04DEC17	3200.00	3233.00	USD	-825.00	
04SEP17	B	1	PD04DEC17	3192.50	3233.00	USD	1,012.50	
04SEP17	S	1	PD04DEC17	3197.50	3233.00	USD	-887.50	
04SEP17	B	1	PD04DEC17	3210.00	3233.00	USD	575.00	
04SEP17	B	1	PD04DEC17	3203.00	3233.00	USD	750.00	
04SEP17	S	1	PD04DEC17	3203.50	3233.00	USD	-737.50	
04SEP17	B	1	PD04DEC17	3204.50	3233.00	USD	712.50	
04SEP17	B	1	PD04DEC17	3205.50	3233.00	USD	687.50	
04SEP17	B	2	PD04DEC17	3205.00	3233.00	USD	1,400.00	
04SEP17	B	1	PD04DEC17	3204.00	3233.00	USD	725.00	
04SEP17	B	1	PD04DEC17	3203.50	3233.00	USD	737.50	
04SEP17	B	1	PD04DEC17	3201.50	3233.00	USD	787.50	
	NET	0AVG BGHT 3194.78	SOLD 3192.76				-1,362.50	0.00
05SEP17	B	1	PD05DEC17	3201.50	3232.67	USD	779.25	
05SEP17	S	1	PD05DEC17	3201.50	3232.67	USD	-779.25	
05SEP17	S	1	PD05DEC17	3204.50	3232.67	USD	-704.25	
05SEP17	B	1	PD05DEC17	3205.50	3232.67	USD	679.25	
05SEP17	S	1	PD05DEC17	3195.00	3232.67	USD	-941.75	
05SEP17	S	1	PD05DEC17	3186.00	3232.67	USD	-1,166.75	
05SEP17	B	1	PD05DEC17	3188.00	3232.67	USD	1,116.75	
05SEP17	S	2	PD05DEC17	3188.50	3232.67	USD	-2,208.50	
05SEP17	S	1	PD05DEC17	3190.00	3232.67	USD	-1,066.75	
05SEP17	S	1	PD05DEC17	3174.00	3232.67	USD	-1,466.75	
05SEP17	B	1	PD05DEC17	3168.00	3232.67	USD	1,616.75	
05SEP17	B	1	PD05DEC17	3167.50	3232.67	USD	1,629.25	
05SEP17	B	1	PD05DEC17	3167.00	3232.67	USD	1,641.75	
05SEP17	B	1	PD05DEC17	3162.50	3232.67	USD	1,754.25	
05SEP17	B	1	PD05DEC17	3160.50	3232.67	USD	1,804.25	
05SEP17	S	1	PD05DEC17	3153.50	3232.67	USD	-1,979.25	
05SEP17	S	1	PD05DEC17	3155.00	3232.67	USD	-1,941.75	
05SEP17	S	1	PD05DEC17	3156.00	3232.67	USD	-1,916.75	
05SEP17	S	1	PD05DEC17	3158.00	3232.67	USD	-1,866.75	
05SEP17	S	1	PD05DEC17	3158.50	3232.67	USD	-1,854.25	
05SEP17	S	1	PD05DEC17	3159.00	3232.67	USD	-1,841.75	
05SEP17	B	1	PD05DEC17	3150.50	3232.67	USD	2,054.25	
05SEP17	B	2	PD05DEC17	3138.00	3232.67	USD	4,733.50	
05SEP17	B	1	PD05DEC17	3134.00	3232.67	USD	2,466.75	
05SEP17	B	1	PD05DEC17	3132.50	3232.67	USD	2,504.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3135.00	3232.67	USD	2,441.75	
	NET	0 AVG BGHT 3160.61	SOLD 3176.29				5,487.50	0.00
06SEP17	B	1	PD06DEC17	3104.50	3232.33	USD	3,195.75	
06SEP17	B	2	PD06DEC17	3103.00	3232.33	USD	6,466.50	
06SEP17	S	1	PD06DEC17	3096.00	3232.33	USD	-3,408.25	
06SEP17	S	1	PD06DEC17	3096.50	3232.33	USD	-3,395.75	
06SEP17	S	1	PD06DEC17	3097.00	3232.33	USD	-3,383.25	
	NET	0 AVG BGHT 3103.50	SOLD 3096.50				-525.00	0.00
07SEP17	S	1	PD07DEC17	3090.00	3232.00	USD	-3,550.00	
07SEP17	B	1	PD07DEC17	3122.50	3232.00	USD	2,737.50	
	NET	0 AVG BGHT 3122.50	SOLD 3090.00				-812.50	0.00
08SEP17	B	2	PD08DEC17	3142.50	3231.67	USD	4,458.50	
08SEP17	B	1	PD08DEC17	3141.50	3231.67	USD	2,254.25	
08SEP17	B	4	PD08DEC17	3142.00	3231.67	USD	8,967.00	
08SEP17	B	2	PD08DEC17	3141.00	3231.67	USD	4,533.50	
08SEP17	B	1	PD08DEC17	3138.00	3231.67	USD	2,341.75	
08SEP17	B	1	PD08DEC17	3109.00	3231.67	USD	3,066.75	
08SEP17	B	1	PD08DEC17	3105.50	3231.67	USD	3,154.25	
08SEP17	B	1	PD08DEC17	3104.50	3231.67	USD	3,179.25	
08SEP17	B	1	PD08DEC17	3103.50	3231.67	USD	3,204.25	
08SEP17	B	2	PD08DEC17	3101.00	3231.67	USD	6,533.50	
08SEP17	B	1	PD08DEC17	3097.00	3231.67	USD	3,366.75	
08SEP17	B	1	PD08DEC17	3083.00	3231.67	USD	3,716.75	
08SEP17	S	1	PD08DEC17	3094.50	3231.67	USD	-3,429.25	
08SEP17	S	1	PD08DEC17	3088.00	3231.67	USD	-3,591.75	
08SEP17	B	1	PD08DEC17	3090.00	3231.67	USD	3,541.75	
08SEP17	S	1	PD08DEC17	3094.00	3231.67	USD	-3,441.75	
08SEP17	B	1	PD08DEC17	3143.00	3231.67	USD	2,216.75	
08SEP17	B	1	PD08DEC17	3103.50	3231.67	USD	3,204.25	
08SEP17	S	1	PD08DEC17	3095.50	3231.67	USD	-3,404.25	
08SEP17	S	1	PD08DEC17	3097.00	3231.67	USD	-3,366.75	
08SEP17	S	1	PD08DEC17	3097.50	3231.67	USD	-3,354.25	
08SEP17	S	1	PD08DEC17	3074.50	3231.67	USD	-3,929.25	
08SEP17	S	1	PD08DEC17	3075.50	3231.67	USD	-3,904.25	
08SEP17	S	1	PD08DEC17	3076.00	3231.67	USD	-3,891.75	
08SEP17	S	1	PD08DEC17	3076.50	3231.67	USD	-3,879.25	
08SEP17	S	1	PD08DEC17	3079.00	3231.67	USD	-3,816.75	
08SEP17	S	1	PD08DEC17	3078.00	3231.67	USD	-3,841.75	
08SEP17	S	1	PD08DEC17	3080.00	3231.67	USD	-3,791.75	
08SEP17	S	1	PD08DEC17	3085.00	3231.67	USD	-3,666.75	
08SEP17	S	4	PD08DEC17	3074.00	3231.67	USD	-15,767.00	
08SEP17	S	3	PD08DEC17	3071.50	3231.67	USD	-12,012.75	
	NET	0 AVG BGHT 3121.69	SOLD 3081.02				-21,350.00	0.00
11SEP17	S	1	PD11DEC17	3064.00	3231.33	USD	-4,183.25	
11SEP17	S	1	PD11DEC17	3076.50	3231.33	USD	-3,870.75	
11SEP17	S	1	PD11DEC17	3077.50	3231.33	USD	-3,845.75	
11SEP17	S	2	PD11DEC17	3076.00	3231.33	USD	-7,766.50	
11SEP17	B	1	PD11DEC17	3083.50	3231.33	USD	3,695.75	
11SEP17	B	1	PD11DEC17	3082.00	3231.33	USD	3,733.25	
11SEP17	B	1	PD11DEC17	3083.00	3231.33	USD	3,708.25	
11SEP17	B	1	PD11DEC17	3082.50	3231.33	USD	3,720.75	
11SEP17	S	1	PD11DEC17	3094.00	3231.33	USD	-3,433.25	
11SEP17	S	1	PD11DEC17	3095.00	3231.33	USD	-3,408.25	
11SEP17	S	1	PD11DEC17	3093.00	3231.33	USD	-3,458.25	
11SEP17	B	1	PD11DEC17	3096.50	3231.33	USD	3,370.75	
11SEP17	B	1	PD11DEC17	3102.50	3231.33	USD	3,220.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
11SEP17	B	1	PD11DEC17		3102.00	3231.33	USD	3,233.25	
11SEP17	B	1	PD11DEC17		3099.50	3231.33	USD	3,295.75	
	NET	0 AVG	BGHT 3091.44	SOLD 3081.50				-1,987.50	0.00
12SEP17	B	1	PD12DEC17		3081.00	3231.00	USD	3,750.00	
12SEP17	S	1	PD12DEC17		3082.50	3231.00	USD	-3,712.50	
12SEP17	S	1	PD12DEC17		3080.50	3231.00	USD	-3,762.50	
12SEP17	S	1	PD12DEC17		3083.50	3231.00	USD	-3,687.50	
12SEP17	S	2	PD12DEC17		3084.00	3231.00	USD	-7,350.00	
12SEP17	B	1	PD12DEC17		3088.00	3231.00	USD	3,575.00	
12SEP17	B	1	PD12DEC17		3088.50	3231.00	USD	3,562.50	
12SEP17	B	2	PD12DEC17		3089.00	3231.00	USD	7,100.00	
12SEP17	B	1	PD12DEC17		3090.00	3231.00	USD	3,525.00	
12SEP17	B	1	PD12DEC17		3091.00	3231.00	USD	3,500.00	
12SEP17	B	1	PD12DEC17		3091.50	3231.00	USD	3,487.50	
12SEP17	B	1	PD12DEC17		3087.50	3231.00	USD	3,587.50	
12SEP17	S	1	PD12DEC17		3087.00	3231.00	USD	-3,600.00	
12SEP17	S	1	PD12DEC17		3089.00	3231.00	USD	-3,550.00	
12SEP17	S	1	PD12DEC17		3091.50	3231.00	USD	-3,487.50	
12SEP17	S	1	PD12DEC17		3090.00	3231.00	USD	-3,525.00	
12SEP17	B	1	PD12DEC17		3090.50	3231.00	USD	3,512.50	
12SEP17	S	2	PD12DEC17		3089.50	3231.00	USD	-7,075.00	
12SEP17	B	2	PD12DEC17		3067.00	3231.00	USD	8,200.00	
12SEP17	S	1	PD12DEC17		3062.50	3231.00	USD	-4,212.50	
12SEP17	B	1	PD12DEC17		3092.50	3231.00	USD	3,462.50	
12SEP17	S	1	PD12DEC17		3055.00	3231.00	USD	-4,400.00	
12SEP17	S	1	PD12DEC17		3064.50	3231.00	USD	-4,162.50	
12SEP17	B	1	PD12DEC17		3073.50	3231.00	USD	3,937.50	
12SEP17	S	1	PD12DEC17		3073.50	3231.00	USD	-3,937.50	
12SEP17	B	1	PD12DEC17		3067.50	3231.00	USD	4,087.50	
12SEP17	B	1	PD12DEC17		3062.50	3231.00	USD	4,212.50	
12SEP17	S	1	PD12DEC17		3071.00	3231.00	USD	-4,000.00	
	NET	0 AVG	BGHT 3082.25	SOLD 3079.84				-962.50	0.00
13SEP17	S	2	PD13DEC17		3050.50	3230.67	USD	-9,008.50	
13SEP17	S	1	PD13DEC17		3051.50	3230.67	USD	-4,479.25	
13SEP17	S	3	PD13DEC17		3050.00	3230.67	USD	-13,550.25	
13SEP17	S	1	PD13DEC17		3063.00	3230.67	USD	-4,191.75	
13SEP17	S	1	PD13DEC17		3063.50	3230.67	USD	-4,179.25	
13SEP17	S	2	PD13DEC17		3053.50	3230.67	USD	-8,858.50	
13SEP17	B	1	PD13DEC17		3052.50	3230.67	USD	4,454.25	
13SEP17	S	2	PD13DEC17		3058.00	3230.67	USD	-8,633.50	
13SEP17	B	2	PD13DEC17		3044.00	3230.67	USD	9,333.50	
13SEP17	B	1	PD13DEC17		3045.50	3230.67	USD	4,629.25	
13SEP17	B	1	PD13DEC17		3046.00	3230.67	USD	4,616.75	
13SEP17	B	5	PD13DEC17		3044.50	3230.67	USD	23,271.25	
13SEP17	S	1	PD13DEC17		3052.50	3230.67	USD	-4,454.25	
13SEP17	B	1	PD13DEC17		3049.00	3230.67	USD	4,541.75	
13SEP17	B	1	PD13DEC17		3047.50	3230.67	USD	4,579.25	
13SEP17	S	2	PD13DEC17		3052.00	3230.67	USD	-8,933.50	
13SEP17	B	2	PD13DEC17		3053.50	3230.67	USD	8,858.50	
13SEP17	B	1	PD13DEC17		3044.50	3230.67	USD	4,654.25	
	NET	0 AVG	BGHT 3046.83	SOLD 3053.90				2,650.00	0.00
14SEP17	S	1	PD14DEC17		3031.50	3230.33	USD	-4,970.75	
14SEP17	B	1	PD14DEC17		3025.50	3230.33	USD	5,120.75	
14SEP17	B	1	PD14DEC17		3021.50	3230.33	USD	5,220.75	
14SEP17	B	2	PD14DEC17		3012.50	3230.33	USD	10,891.50	
14SEP17	S	1	PD14DEC17		3018.50	3230.33	USD	-5,295.75	

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14SEP17	S	1	PD14DEC17		3016.50	3230.33	USD	-5,345.75	
14SEP17	S	1	PD14DEC17		3015.50	3230.33	USD	-5,370.75	
14SEP17	B	1	PD14DEC17		3008.50	3230.33	USD	5,545.75	
14SEP17	S	1	PD14DEC17		3001.00	3230.33	USD	-5,733.25	
14SEP17	B	1	PD14DEC17		2983.50	3230.33	USD	6,170.75	
14SEP17	S	1	PD14DEC17		3008.00	3230.33	USD	-5,558.25	
14SEP17	B	1	PD14DEC17		3000.50	3230.33	USD	5,745.75	
19SEP17	S	1	PD14DEC17		3105.64	3230.33	USD	-3,117.25	
	NET	0 AVG	BGHT 3009.21	SOLD 3028.09				3,303.50	0.00
15SEP17	B	1	PD15DEC17		3012.50	3230.00	USD	5,437.50	
15SEP17	S	1	PD15DEC17		3012.00	3230.00	USD	-5,450.00	
15SEP17	B	1	PD15DEC17		3011.00	3230.00	USD	5,475.00	
15SEP17	B	1	PD15DEC17		3019.50	3230.00	USD	5,262.50	
15SEP17	B	2	PD15DEC17		3022.00	3230.00	USD	10,400.00	
15SEP17	B	1	PD15DEC17		3021.50	3230.00	USD	5,212.50	
15SEP17	B	1	PD15DEC17		3020.50	3230.00	USD	5,237.50	
15SEP17	B	1	PD15DEC17		3021.00	3230.00	USD	5,225.00	
15SEP17	B	1	PD15DEC17		3022.50	3230.00	USD	5,187.50	
15SEP17	S	1	PD15DEC17		3017.00	3230.00	USD	-5,325.00	
15SEP17	B	1	PD15DEC17		3015.00	3230.00	USD	5,375.00	
15SEP17	S	1	PD15DEC17		3016.50	3230.00	USD	-5,337.50	
15SEP17	S	1	PD15DEC17		3014.50	3230.00	USD	-5,387.50	
15SEP17	S	1	PD15DEC17		3021.00	3230.00	USD	-5,225.00	
15SEP17	S	2	PD15DEC17		3022.50	3230.00	USD	-10,375.00	
15SEP17	S	1	PD15DEC17		3027.00	3230.00	USD	-5,075.00	
15SEP17	S	1	PD15DEC17		3030.50	3230.00	USD	-4,987.50	
15SEP17	S	1	PD15DEC17		3031.00	3230.00	USD	-4,975.00	
15SEP17	B	1	PD15DEC17		3026.00	3230.00	USD	5,100.00	
15SEP17	B	1	PD15DEC17		3005.00	3230.00	USD	5,625.00	
15SEP17	B	1	PD15DEC17		3018.00	3230.00	USD	5,300.00	
15SEP17	S	1	PD15DEC17		3018.50	3230.00	USD	-5,287.50	
15SEP17	S	1	PD15DEC17		3035.00	3230.00	USD	-4,875.00	
15SEP17	S	1	PD15DEC17		3034.50	3230.00	USD	-4,887.50	
15SEP17	S	1	PD15DEC17		3034.00	3230.00	USD	-4,900.00	
15SEP17	B	1	PD15DEC17		3031.50	3230.00	USD	4,962.50	
15SEP17	B	3	PD15DEC17		3032.00	3230.00	USD	14,850.00	
15SEP17	B	4	PD15DEC17		3031.00	3230.00	USD	19,900.00	
15SEP17	B	2	PD15DEC17		3030.50	3230.00	USD	9,975.00	
15SEP17	B	1	PD15DEC17		3031.00	3230.00	USD	4,975.00	
15SEP17	B	1	PD15DEC17		3031.50	3230.00	USD	4,962.50	
19SEP17	S	11	PD15DEC17		3105.86	3230.00	USD	-34,138.50	
	NET	0 AVG	BGHT 3024.46	SOLD 3060.04				22,236.50	0.00
18SEP17	B	1	PD18DEC17		3074.50	3229.67	USD	3,879.25	
18SEP17	S	1	PD18DEC17		3085.50	3229.67	USD	-3,604.25	
18SEP17	B	1	PD18DEC17		3075.50	3229.67	USD	3,854.25	
18SEP17	B	1	PD18DEC17		3069.50	3229.67	USD	4,004.25	
18SEP17	B	1	PD18DEC17		3068.00	3229.67	USD	4,041.75	
18SEP17	B	1	PD18DEC17		3062.00	3229.67	USD	4,191.75	
18SEP17	B	1	PD18DEC17		3061.00	3229.67	USD	4,216.75	
18SEP17	S	2	PD18DEC17		3077.50	3229.67	USD	-7,608.50	
18SEP17	S	4	PD18DEC17		3080.50	3229.67	USD	-14,917.00	
18SEP17	S	2	PD18DEC17		3082.50	3229.67	USD	-7,358.50	
18SEP17	S	8	PD18DEC17		3081.00	3229.67	USD	-29,734.00	
18SEP17	S	2	PD18DEC17		3075.50	3229.67	USD	-7,708.50	
18SEP17	B	1	PD18DEC17		3068.00	3229.67	USD	4,041.75	
19SEP17	B	12	PD18DEC17		3106.70	3229.67	USD	36,891.00	
	NET	0 AVG	BGHT 3092.57	SOLD 3080.34				-5,810.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	2	PD19DEC17	3105.50	3229.33	USD	-6,191.50	
19SEP17	S	1	PD19DEC17	3095.50	3229.33	USD	-3,345.75	
19SEP17	B	1	PD19DEC17	3087.50	3229.33	USD	3,545.75	
19SEP17	S	1	PD19DEC17	3088.00	3229.33	USD	-3,533.25	
19SEP17	B	1	PD19DEC17	3078.00	3229.33	USD	3,783.25	
19SEP17	B	1	PD19DEC17	3076.50	3229.33	USD	3,820.75	
19SEP17	B	1	PD19DEC17	3075.50	3229.33	USD	3,845.75	
	NET	0 AVG BGHT 3079.38	SOLD 3098.63				1,925.00	0.00
20SEP17	S	1	PD20DEC17	3130.50	3229.00	USD	-2,462.50	
20SEP17	S	1	PD20DEC17	3120.50	3229.00	USD	-2,712.50	
20SEP17	S	2	PD20DEC17	3125.00	3229.00	USD	-5,200.00	
20SEP17	S	1	PD20DEC17	3124.00	3229.00	USD	-2,625.00	
20SEP17	S	5	PD20DEC17	3125.50	3229.00	USD	-12,937.50	
20SEP17	S	1	PD20DEC17	3137.50	3229.00	USD	-2,287.50	
20SEP17	S	1	PD20DEC17	3136.50	3229.00	USD	-2,312.50	
20SEP17	S	1	PD20DEC17	3138.50	3229.00	USD	-2,262.50	
20SEP17	S	1	PD20DEC17	3140.00	3229.00	USD	-2,225.00	
20SEP17	S	1	PD20DEC17	3144.00	3229.00	USD	-2,125.00	
20SEP17	S	1	PD20DEC17	3148.00	3229.00	USD	-2,025.00	
20SEP17	S	1	PD20DEC17	3149.50	3229.00	USD	-1,987.50	
20SEP17	S	1	PD20DEC17	3139.00	3229.00	USD	-2,250.00	
20SEP17	B	10	PD20DEC17	3146.65	3229.00	USD	20,587.50	
20SEP17	B	5	PD20DEC17	3146.90	3229.00	USD	10,262.50	
20SEP17	B	3	PD20DEC17	3132.20	3229.00	USD	7,260.00	
	NET	0 AVG BGHT 3144.31	SOLD 3132.53				-5,302.50	0.00
21SEP17	S	1	PD21DEC17	3078.50	3225.00	USD	-3,662.50	
21SEP17	S	1	PD21DEC17	3076.50	3225.00	USD	-3,712.50	
21SEP17	S	1	PD21DEC17	3078.00	3225.00	USD	-3,675.00	
21SEP17	S	1	PD21DEC17	3081.50	3225.00	USD	-3,587.50	
21SEP17	S	1	PD21DEC17	3084.50	3225.00	USD	-3,512.50	
21SEP17	B	1	PD21DEC17	3097.50	3225.00	USD	3,187.50	
21SEP17	B	1	PD21DEC17	3084.00	3225.00	USD	3,525.00	
21SEP17	B	1	PD21DEC17	3035.50	3225.00	USD	4,737.50	
21SEP17	B	1	PD21DEC17	3034.00	3225.00	USD	4,775.00	
21SEP17	B	1	PD21DEC17	3031.00	3225.00	USD	4,850.00	
	NET	0 AVG BGHT 3056.40	SOLD 3079.80				2,925.00	0.00
22SEP17	B	1	PD22DEC17	3027.00	3224.50	USD	4,937.50	
22SEP17	B	2	PD22DEC17	3026.50	3224.50	USD	9,900.00	
22SEP17	B	2	PD22DEC17	3030.50	3224.50	USD	9,700.00	
22SEP17	S	2	PD22DEC17	3025.00	3224.50	USD	-9,975.00	
22SEP17	B	1	PD22DEC17	3018.50	3224.50	USD	5,150.00	
22SEP17	B	1	PD22DEC17	3017.50	3224.50	USD	5,175.00	
22SEP17	B	2	PD22DEC17	3014.00	3224.50	USD	10,525.00	
22SEP17	B	2	PD22DEC17	3011.50	3224.50	USD	10,650.00	
22SEP17	B	2	PD22DEC17	3008.50	3224.50	USD	10,800.00	
22SEP17	B	1	PD22DEC17	3005.50	3224.50	USD	5,475.00	
22SEP17	B	1	PD22DEC17	3006.00	3224.50	USD	5,462.50	
22SEP17	S	2	PD22DEC17	3018.50	3224.50	USD	-10,300.00	
22SEP17	S	1	PD22DEC17	3020.00	3224.50	USD	-5,112.50	
04OCT17	S	10	PD22DEC17	3302.00	3224.50	USD	19,375.00	
	NET	0 AVG BGHT 3017.10	SOLD 3208.47				71,762.50	0.00
25SEP17	S	1	PD27DEC17	3080.00	3224.00	USD	-3,600.00	
25SEP17	S	2	PD27DEC17	3073.50	3224.00	USD	-7,525.00	
25SEP17	S	2	PD27DEC17	3074.00	3224.00	USD	-7,500.00	
25SEP17	S	1	PD27DEC17	3076.00	3224.00	USD	-3,700.00	
25SEP17	S	3	PD27DEC17	3087.50	3224.00	USD	-10,237.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	3084.50	3224.00	USD	-3,487.50	
25SEP17	S	1	PD27DEC17	3087.00	3224.00	USD	-3,425.00	
25SEP17	B	1	PD27DEC17	3100.50	3224.00	USD	3,087.50	
25SEP17	B	1	PD27DEC17	3111.50	3224.00	USD	2,812.50	
25SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
26SEP17	S	1	PD27DEC17	3121.50	3224.00	USD	-2,562.50	
26SEP17	B	1	PD27DEC17	3125.50	3224.00	USD	2,462.50	
26SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
26SEP17	S	1	PD27DEC17	3117.50	3224.00	USD	-2,662.50	
04OCT17	B	8	PD27DEC17	3302.20	3224.00	USD	-15,640.00	
	NET	0 AVG BGHT 3228.35	SOLD 3086.46				-46,115.00	0.00
28SEP17	S	1	PD28DEC17	3103.50	3223.50	USD	-3,000.00	
28SEP17	B	1	PD28DEC17	3103.50	3223.50	USD	3,000.00	
28SEP17	S	1	PD28DEC17	3110.50	3223.50	USD	-2,825.00	
28SEP17	B	1	PD28DEC17	3134.50	3223.50	USD	2,225.00	
	NET	0 AVG BGHT 3119.00	SOLD 3107.00				-600.00	0.00
29SEP17	S	1	PD29DEC17	3147.00	3223.00	USD	-1,900.00	
29SEP17	S	1	PD29DEC17	3151.00	3223.00	USD	-1,800.00	
29SEP17	S	1	PD29DEC17	3160.00	3223.00	USD	-1,575.00	
29SEP17	B	1	PD29DEC17	3160.00	3223.00	USD	1,575.00	
04OCT17	B	2	PD29DEC17	3302.20	3223.00	USD	-3,960.00	
	NET	0 AVG BGHT 3254.80	SOLD 3152.67				-7,660.00	0.00
03OCT17	S	1	PD03JAN18	3218.00	3222.00	USD	-100.00	
03OCT17	S	1	PD03JAN18	3217.50	3222.00	USD	-112.50	
03OCT17	S	1	PD03JAN18	3221.00	3222.00	USD	-25.00	
03OCT17	S	3	PD03JAN18	3220.00	3222.00	USD	-150.00	
03OCT17	S	2	PD03JAN18	3222.50	3222.00	USD	25.00	
03OCT17	S	2	PD03JAN18	3224.50	3222.00	USD	125.00	
03OCT17	S	2	PD03JAN18	3225.50	3222.00	USD	175.00	
03OCT17	S	1	PD03JAN18	3241.00	3222.00	USD	475.00	
03OCT17	S	3	PD03JAN18	3239.50	3222.00	USD	1,312.50	
03OCT17	S	1	PD03JAN18	3240.00	3222.00	USD	450.00	
03OCT17	S	1	PD03JAN18	3239.00	3222.00	USD	425.00	
03OCT17	S	2	PD03JAN18	3217.00	3222.00	USD	-250.00	
03OCT17	B	5	PD03JAN18	3239.65	3222.00	USD	-2,206.25	
03OCT17	B	5	PD03JAN18	3239.40	3222.00	USD	-2,175.00	
03OCT17	B	10	PD03JAN18	3239.90	3222.00	USD	-4,475.00	
03OCT17	B	1	PD03JAN18	3268.50	3222.00	USD	-1,162.50	
03OCT17	B	1	PD03JAN18	3277.50	3222.00	USD	-1,387.50	
03OCT17	B	16	PD03JAN18	3278.00	3222.00	USD	-22,400.00	
03OCT17	B	1	PD03JAN18	3278.50	3222.00	USD	-1,412.50	
03OCT17	B	4	PD03JAN18	3280.00	3222.00	USD	-5,800.00	
03OCT17	B	2	PD03JAN18	3279.50	3222.00	USD	-2,875.00	
03OCT17	B	1	PD03JAN18	3282.00	3222.00	USD	-1,500.00	
03OCT17	B	5	PD03JAN18	3281.00	3222.00	USD	-7,375.00	
03OCT17	B	2	PD03JAN18	3278.50	3222.00	USD	-2,825.00	
03OCT17	B	1	PD03JAN18	3279.50	3222.00	USD	-1,437.50	
03OCT17	B	2	PD03JAN18	3280.50	3222.00	USD	-2,925.00	
03OCT17	B	2	PD03JAN18	3281.00	3222.00	USD	-2,950.00	
	NET	+38 AVG BGHT 3265.38	SOLD 3226.70				-60,556.25	0.00
09OCT17	S	1	PD09JAN18	3263.50	3220.00	USD	1,087.50	
09OCT17	B	1	PD09JAN18	3264.50	3220.00	USD	-1,112.50	
	NET	0 AVG BGHT 3264.50	SOLD 3263.50				-25.00	0.00
10OCT17	S	2	PD10JAN18	3233.00	3219.50	USD	675.00	
10OCT17	S	2	PD10JAN18	3235.50	3219.50	USD	800.00	
10OCT17	S	1	PD10JAN18	3249.50	3219.50	USD	750.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	3252.00	3219.50	USD	1,625.00	
10OCT17	S	5	PD10JAN18	3249.00	3219.50	USD	3,687.50	
10OCT17	S	1	PD10JAN18	3254.50	3219.50	USD	875.00	
10OCT17	S	1	PD10JAN18	3252.50	3219.50	USD	825.00	
10OCT17	S	1	PD10JAN18	3253.00	3219.50	USD	837.50	
10OCT17	S	1	PD10JAN18	3257.50	3219.50	USD	950.00	
10OCT17	B	1	PD10JAN18	3259.00	3219.50	USD	-987.50	
10OCT17	B	1	PD10JAN18	3278.00	3219.50	USD	-1,462.50	
10OCT17	B	1	PD10JAN18	3283.00	3219.50	USD	-1,587.50	
10OCT17	B	1	PD10JAN18	3282.50	3219.50	USD	-1,575.00	
10OCT17	B	1	PD10JAN18	3285.00	3219.50	USD	-1,637.50	
10OCT17	B	1	PD10JAN18	3289.50	3219.50	USD	-1,750.00	
10OCT17	B	1	PD10JAN18	3288.00	3219.50	USD	-1,712.50	
10OCT17	B	3	PD10JAN18	3288.50	3219.50	USD	-5,175.00	
10OCT17	B	1	PD10JAN18	3287.50	3219.50	USD	-1,700.00	
10OCT17	B	4	PD10JAN18	3289.00	3219.50	USD	-6,950.00	
10OCT17	B	1	PD10JAN18	3289.50	3219.50	USD	-1,750.00	
	NET	0 AVG BGHT 3285.22	SOLD 3247.06				-15,262.50	0.00
11OCT17	B	1	PD11JAN18	3233.00	3219.00	USD	-350.00	
11OCT17	B	1	PD11JAN18	3235.00	3219.00	USD	-400.00	
11OCT17	S	1	PD11JAN18	3240.00	3219.00	USD	525.00	
11OCT17	B	1	PD11JAN18	3237.00	3219.00	USD	-450.00	
11OCT17	S	1	PD11JAN18	3238.50	3219.00	USD	487.50	
11OCT17	S	1	PD11JAN18	3237.00	3219.00	USD	450.00	
11OCT17	S	38	PD11JAN18	3218.80	3219.00	USD	-190.00	
	NET	-38 AVG BGHT 3235.00	SOLD 3220.24				72.50	0.00

OPEN POSITION VALUE

			USD
LME Aluminium 25 Tonnes.	FUTURES		-25,385.00
LME Lead 25 tonnes. This is an	FUTURES		-30,665.75
LME Nickel 6 tonnes. This is	FUTURES		-101,746.74
LME Zinc 25 tonnes. This is	FUTURES		-182,052.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-25,385.00	-25,385.00		
LEAD	- Futures	USD	-30,660.75	-30,660.75		
NKL	- Futures	USD	-101,877.42	-101,877.42		
ZINC	- Futures	USD	-179,202.25	-179,202.25	-337,125.42	-337,125.42

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	914,442.89	914,442.89
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	914,442.89	914,442.89
Initial Margins	-359,654.42	-359,654.42
Excess/(Shortage)	554,788.47	554,788.47
FOR INFORMATION ONLY		
Forward Unrealised P&L	-337,125.42	-337,125.42
Net Liquidating Value	577,317.47	577,317.47

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12JUL17	B	2	PD120CT17	1902.50	2104.25	USD	10,087.50	
12JUL17	S	1	PD120CT17	1904.00	2104.25	USD	-5,006.25	
12JUL17	B	1	PD120CT17	1896.00	2104.25	USD	5,206.25	
12JUL17	B	2	PD120CT17	1892.00	2104.25	USD	10,612.50	
12JUL17	B	1	PD120CT17	1893.50	2104.25	USD	5,268.75	
12JUL17	S	1	PD120CT17	1899.00	2104.25	USD	-5,131.25	
12JUL17	S	1	PD120CT17	1899.50	2104.25	USD	-5,118.75	
12JUL17	B	1	PD120CT17	1888.00	2104.25	USD	5,406.25	
12JUL17	S	1	PD120CT17	1885.00	2104.25	USD	-5,481.25	
12JUL17	B	1	PD120CT17	1882.50	2104.25	USD	5,543.75	
12JUL17	S	1	PD120CT17	1888.50	2104.25	USD	-5,393.75	
12JUL17	S	1	PD120CT17	1889.00	2104.25	USD	-5,381.25	
13JUL17	S	1	PD120CT17	1922.69	2104.25	USD	-4,539.00	
18JUL17	S	1	PD120CT17	1929.74	2104.25	USD	-4,362.75	
	NET	0AVG BGHT 1893.63	SOLD 1902.18				1,710.75	0.00
13JUL17	S	1	PD130CT17	1898.50	2104.75	USD	-5,156.25	
13JUL17	S	1	PD130CT17	1902.50	2104.75	USD	-5,056.25	
13JUL17	B	1	PD130CT17	1901.50	2104.75	USD	5,081.25	
13JUL17	S	1	PD130CT17	1903.00	2104.75	USD	-5,043.75	
13JUL17	S	2	PD130CT17	1908.00	2104.75	USD	-9,837.50	
13JUL17	S	1	PD130CT17	1916.50	2104.75	USD	-4,706.25	
13JUL17	S	2	PD130CT17	1923.00	2104.75	USD	-9,087.50	
13JUL17	S	1	PD130CT17	1930.00	2104.75	USD	-4,368.75	
13JUL17	S	1	PD130CT17	1940.00	2104.75	USD	-4,118.75	
13JUL17	S	3	PD130CT17	1938.00	2104.75	USD	-12,506.25	
13JUL17	S	1	PD130CT17	1931.00	2104.75	USD	-4,343.75	
13JUL17	S	1	PD130CT17	1931.50	2104.75	USD	-4,331.25	
13JUL17	S	2	PD130CT17	1933.50	2104.75	USD	-8,562.50	
13JUL17	B	1	PD130CT17	1926.00	2104.75	USD	4,468.75	
13JUL17	B	1	PD130CT17	1926.50	2104.75	USD	4,456.25	
13JUL17	B	2	PD130CT17	1920.50	2104.75	USD	9,212.50	
13JUL17	B	2	PD130CT17	1920.00	2104.75	USD	9,237.50	
13JUL17	B	1	PD130CT17	1924.50	2104.75	USD	4,506.25	
14JUL17	B	1	PD130CT17	1922.00	2104.75	USD	4,568.75	
14JUL17	B	1	PD130CT17	1920.50	2104.75	USD	4,606.25	
14JUL17	B	1	PD130CT17	1920.00	2104.75	USD	4,618.75	
14JUL17	B	1	PD130CT17	1921.50	2104.75	USD	4,581.25	
14JUL17	S	1	PD130CT17	1923.00	2104.75	USD	-4,543.75	
14JUL17	B	1	PD130CT17	1925.00	2104.75	USD	4,493.75	
14JUL17	B	1	PD130CT17	1924.00	2104.75	USD	4,518.75	
14JUL17	B	1	PD130CT17	1923.00	2104.75	USD	4,543.75	
14JUL17	B	2	PD130CT17	1922.50	2104.75	USD	9,112.50	
18JUL17	B	1	PD130CT17	1930.15	2104.75	USD	4,365.00	
	NET	0AVG BGHT 1921.70	SOLD 1923.28				708.75	0.00
17JUL17	S	1	PD170CT17	1935.00	2106.75	USD	-4,293.75	
17JUL17	S	2	PD170CT17	1934.00	2106.75	USD	-8,637.50	
17JUL17	S	1	PD170CT17	1938.50	2106.75	USD	-4,206.25	
17JUL17	S	1	PD170CT17	1939.50	2106.75	USD	-4,181.25	
17JUL17	S	1	PD170CT17	1940.00	2106.75	USD	-4,168.75	
17JUL17	B	1	PD170CT17	1917.00	2106.75	USD	4,743.75	
17JUL17	B	1	PD170CT17	1915.00	2106.75	USD	4,793.75	
17JUL17	B	1	PD170CT17	1914.50	2106.75	USD	4,806.25	
17JUL17	B	1	PD170CT17	1904.50	2106.75	USD	5,056.25	
17JUL17	S	1	PD170CT17	1912.00	2106.75	USD	-4,868.75	
19JUL17	B	3	PD170CT17	1920.03	2106.75	USD	14,004.00	
	NET	0AVG BGHT 1915.87	SOLD 1933.29				3,047.75	0.00

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18JUL17	B	1	PD18OCT17	1906.00	2107.25	USD	5,031.25	
18JUL17	B	1	PD18OCT17	1905.50	2107.25	USD	5,043.75	
18JUL17	S	1	PD18OCT17	1907.00	2107.25	USD	-5,006.25	
18JUL17	B	1	PD18OCT17	1904.00	2107.25	USD	5,081.25	
18JUL17	B	1	PD18OCT17	1904.50	2107.25	USD	5,068.75	
18JUL17	S	1	PD18OCT17	1909.00	2107.25	USD	-4,956.25	
18JUL17	B	1	PD18OCT17	1900.00	2107.25	USD	5,181.25	
18JUL17	S	1	PD18OCT17	1931.00	2107.25	USD	-4,406.25	
18JUL17	B	1	PD18OCT17	1929.50	2107.25	USD	4,443.75	
19JUL17	S	3	PD18OCT17	1920.05	2107.25	USD	-14,040.00	
	NET	0 AVG BGHT 1908.25	SOLD 1917.86				1,441.25	0.00
19JUL17	S	1	PD19OCT17	1938.00	2107.75	USD	-4,243.75	
19JUL17	B	1	PD19OCT17	1932.00	2107.75	USD	4,393.75	
19JUL17	S	1	PD19OCT17	1932.00	2107.75	USD	-4,393.75	
19JUL17	B	1	PD19OCT17	1922.00	2107.75	USD	4,643.75	
	NET	0 AVG BGHT 1927.00	SOLD 1935.00				400.00	0.00
20JUL17	S	4	PD20OCT17	1929.00	2108.25	USD	-17,925.00	
20JUL17	B	1	PD20OCT17	1921.00	2108.25	USD	4,681.25	
20JUL17	B	1	PD20OCT17	1921.50	2108.25	USD	4,668.75	
20JUL17	B	2	PD20OCT17	1920.50	2108.25	USD	9,387.50	
20JUL17	B	1	PD20OCT17	1918.50	2108.25	USD	4,743.75	
20JUL17	B	1	PD20OCT17	1922.00	2108.25	USD	4,656.25	
20JUL17	B	1	PD20OCT17	1920.00	2108.25	USD	4,706.25	
20JUL17	S	2	PD20OCT17	1921.00	2108.25	USD	-9,362.50	
21JUL17	S	1	PD20OCT17	1923.00	2108.25	USD	-4,631.25	
21JUL17	S	1	PD20OCT17	1926.00	2108.25	USD	-4,556.25	
21JUL17	S	3	PD20OCT17	1925.50	2108.25	USD	-13,706.25	
21JUL17	S	1	PD20OCT17	1927.00	2108.25	USD	-4,531.25	
21JUL17	S	1	PD20OCT17	1924.50	2108.25	USD	-4,593.75	
21JUL17	S	1	PD20OCT17	1928.00	2108.25	USD	-4,506.25	
21JUL17	S	1	PD20OCT17	1929.00	2108.25	USD	-4,481.25	
21JUL17	B	1	PD20OCT17	1927.50	2108.25	USD	4,518.75	
21JUL17	B	2	PD20OCT17	1926.00	2108.25	USD	9,112.50	
21JUL17	B	5	PD20OCT17	1928.50	2108.25	USD	22,468.75	
	NET	0 AVG BGHT 1924.40	SOLD 1926.13				650.00	0.00
25JUL17	S	1	PD25OCT17	1922.00	2110.75	USD	-4,718.75	
25JUL17	B	1	PD25OCT17	1917.50	2110.75	USD	4,831.25	
25JUL17	B	2	PD25OCT17	1916.50	2110.75	USD	9,712.50	
25JUL17	S	2	PD25OCT17	1923.50	2110.75	USD	-9,362.50	
25JUL17	S	1	PD25OCT17	1939.00	2110.75	USD	-4,293.75	
25JUL17	B	1	PD25OCT17	1931.50	2110.75	USD	4,481.25	
25JUL17	S	2	PD25OCT17	1931.00	2110.75	USD	-8,987.50	
25JUL17	S	2	PD25OCT17	1935.00	2110.75	USD	-8,787.50	
25JUL17	B	1	PD25OCT17	1940.00	2110.75	USD	4,268.75	
01AUG17	B	3	PD25OCT17	1904.98	2110.75	USD	15,432.75	
	NET	0 AVG BGHT 1917.12	SOLD 1930.00				2,576.50	0.00
26JUL17	S	3	PD26OCT17	1958.00	2111.25	USD	-11,493.75	
26JUL17	B	1	PD26OCT17	1952.00	2111.25	USD	3,981.25	
26JUL17	B	1	PD26OCT17	1943.00	2111.25	USD	4,206.25	
26JUL17	B	1	PD26OCT17	1938.50	2111.25	USD	4,318.75	
26JUL17	B	1	PD26OCT17	1939.50	2111.25	USD	4,293.75	
26JUL17	B	1	PD26OCT17	1935.00	2111.25	USD	4,406.25	
26JUL17	B	1	PD26OCT17	1945.50	2111.25	USD	4,143.75	
01AUG17	S	3	PD26OCT17	1905.07	2111.25	USD	-15,463.50	
	NET	0 AVG BGHT 1942.25	SOLD 1931.54				-1,607.25	0.00
27JUL17	B	1	PD27OCT17	1933.00	2111.00	USD	4,450.00	

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27JUL17	S	1	PD27OCT17	1939.50	2111.00	USD	-4,287.50	
27JUL17	S	1	PD27OCT17	1955.00	2111.00	USD	-3,900.00	
27JUL17	S	1	PD27OCT17	1954.50	2111.00	USD	-3,912.50	
27JUL17	S	1	PD27OCT17	1955.50	2111.00	USD	-3,887.50	
27JUL17	S	1	PD27OCT17	1956.50	2111.00	USD	-3,862.50	
27JUL17	B	1	PD27OCT17	1940.00	2111.00	USD	4,275.00	
27JUL17	B	1	PD27OCT17	1938.00	2111.00	USD	4,325.00	
28JUL17	B	1	PD27OCT17	1932.50	2111.00	USD	4,462.50	
28JUL17	B	1	PD27OCT17	1930.50	2111.00	USD	4,512.50	
28JUL17	B	1	PD27OCT17	1925.50	2111.00	USD	4,637.50	
28JUL17	S	1	PD27OCT17	1932.50	2111.00	USD	-4,462.50	
28JUL17	B	1	PD27OCT17	1919.50	2111.00	USD	4,787.50	
28JUL17	B	1	PD27OCT17	1910.50	2111.00	USD	5,012.50	
28JUL17	S	1	PD27OCT17	1910.00	2111.00	USD	-5,025.00	
28JUL17	S	1	PD27OCT17	1921.50	2111.00	USD	-4,737.50	
	NET	0 AVG BGHT 1928.69	SOLD 1940.63				2,387.50	0.00
31JUL17	S	2	PD31OCT17	1925.50	2110.50	USD	-9,250.00	
31JUL17	S	3	PD31OCT17	1924.00	2110.50	USD	-13,987.50	
31JUL17	S	1	PD31OCT17	1925.00	2110.50	USD	-4,637.50	
31JUL17	B	2	PD31OCT17	1917.00	2110.50	USD	9,675.00	
31JUL17	S	1	PD31OCT17	1922.00	2110.50	USD	-4,712.50	
31JUL17	B	1	PD31OCT17	1918.50	2110.50	USD	4,800.00	
31JUL17	B	1	PD31OCT17	1917.50	2110.50	USD	4,825.00	
31JUL17	B	3	PD31OCT17	1916.50	2110.50	USD	14,550.00	
31JUL17	B	1	PD31OCT17	1912.50	2110.50	USD	4,950.00	
31JUL17	S	1	PD31OCT17	1920.00	2110.50	USD	-4,762.50	
31JUL17	S	1	PD31OCT17	1920.50	2110.50	USD	-4,750.00	
31JUL17	B	3	PD31OCT17	1916.00	2110.50	USD	14,587.50	
03AUG17	S	1	PD31OCT17	1917.00	2110.50	USD	-4,837.50	
07AUG17	S	1	PD31OCT17	1962.34	2110.50	USD	-3,704.00	
	NET	0 AVG BGHT 1916.36	SOLD 1926.35				2,746.00	0.00
01AUG17	B	1	PD01NOV17	1917.00	2110.81	USD	4,845.25	
01AUG17	B	1	PD01NOV17	1910.00	2110.81	USD	5,020.25	
01AUG17	B	1	PD01NOV17	1911.50	2110.81	USD	4,982.75	
01AUG17	B	1	PD01NOV17	1908.50	2110.81	USD	5,057.75	
01AUG17	S	1	PD01NOV17	1908.00	2110.81	USD	-5,070.25	
07AUG17	S	3	PD01NOV17	1962.58	2110.81	USD	-11,117.25	
	NET	0 AVG BGHT 1911.75	SOLD 1948.94				3,718.50	0.00
02AUG17	S	2	PD02NOV17	1916.00	2111.13	USD	-9,756.50	
02AUG17	S	1	PD02NOV17	1921.00	2111.13	USD	-4,753.25	
02AUG17	S	1	PD02NOV17	1922.00	2111.13	USD	-4,728.25	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	S	1	PD02NOV17	1924.00	2111.13	USD	-4,678.25	
02AUG17	S	1	PD02NOV17	1927.00	2111.13	USD	-4,603.25	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	S	2	PD02NOV17	1931.00	2111.13	USD	-9,006.50	
02AUG17	B	1	PD02NOV17	1921.50	2111.13	USD	4,740.75	
02AUG17	B	1	PD02NOV17	1922.50	2111.13	USD	4,715.75	
02AUG17	S	1	PD02NOV17	1925.00	2111.13	USD	-4,653.25	
02AUG17	B	1	PD02NOV17	1927.50	2111.13	USD	4,590.75	
02AUG17	S	1	PD02NOV17	1928.00	2111.13	USD	-4,578.25	
02AUG17	B	2	PD02NOV17	1929.00	2111.13	USD	9,106.50	
02AUG17	B	1	PD02NOV17	1928.00	2111.13	USD	4,578.25	
02AUG17	B	4	PD02NOV17	1926.50	2111.13	USD	18,463.00	
02AUG17	B	1	PD02NOV17	1923.00	2111.13	USD	4,703.25	
02AUG17	B	1	PD02NOV17	1922.00	2111.13	USD	4,728.25	

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	<u>LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	B	1	PD02NOV17	1921.00	2111.13	USD	4,753.25	
02AUG17	S	1	PD02NOV17	1918.50	2111.13	USD	-4,815.75	
02AUG17	S	1	PD02NOV17	1929.00	2111.13	USD	-4,553.25	
03AUG17	B	1	PD02NOV17	1918.07	2111.13	USD	4,826.50	
	NET	0 AVG	BGHT 1924.83				-214.25	0.00
			SOLD 1924.21					
03AUG17	B	1	PD03NOV17	1926.50	2111.44	USD	4,623.50	
03AUG17	B	1	PD03NOV17	1925.50	2111.44	USD	4,648.50	
03AUG17	B	1	PD03NOV17	1923.50	2111.44	USD	4,698.50	
03AUG17	S	1	PD03NOV17	1923.50	2111.44	USD	-4,698.50	
03AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
03AUG17	B	1	PD03NOV17	1912.00	2111.44	USD	4,986.00	
03AUG17	S	2	PD03NOV17	1920.50	2111.44	USD	-9,547.00	
03AUG17	S	1	PD03NOV17	1913.50	2111.44	USD	-4,948.50	
04AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
04AUG17	B	1	PD03NOV17	1915.00	2111.44	USD	4,911.00	
04AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
04AUG17	B	1	PD03NOV17	1913.00	2111.44	USD	4,961.00	
04AUG17	B	1	PD03NOV17	1912.00	2111.44	USD	4,986.00	
04AUG17	B	3	PD03NOV17	1912.50	2111.44	USD	14,920.50	
04AUG17	B	1	PD03NOV17	1911.50	2111.44	USD	4,998.50	
04AUG17	B	1	PD03NOV17	1910.50	2111.44	USD	5,023.50	
04AUG17	B	2	PD03NOV17	1909.00	2111.44	USD	10,122.00	
04AUG17	B	1	PD03NOV17	1907.00	2111.44	USD	5,111.00	
04AUG17	B	2	PD03NOV17	1905.00	2111.44	USD	10,322.00	
04AUG17	B	3	PD03NOV17	1906.00	2111.44	USD	15,408.00	
04AUG17	B	3	PD03NOV17	1908.50	2111.44	USD	15,220.50	
04AUG17	B	4	PD03NOV17	1908.00	2111.44	USD	20,344.00	
04AUG17	B	2	PD03NOV17	1905.50	2111.44	USD	10,297.00	
04AUG17	S	1	PD03NOV17	1909.50	2111.44	USD	-5,048.50	
04AUG17	B	1	PD03NOV17	1908.00	2111.44	USD	5,086.00	
04AUG17	S	1	PD03NOV17	1912.00	2111.44	USD	-4,986.00	
04AUG17	S	2	PD03NOV17	1911.00	2111.44	USD	-10,022.00	
04AUG17	S	1	PD03NOV17	1909.00	2111.44	USD	-5,061.00	
04AUG17	S	2	PD03NOV17	1910.00	2111.44	USD	-10,072.00	
04AUG17	S	5	PD03NOV17	1911.50	2111.44	USD	-24,992.50	
04AUG17	S	7	PD03NOV17	1910.50	2111.44	USD	-35,164.50	
04AUG17	S	1	PD03NOV17	1913.00	2111.44	USD	-4,961.00	
04AUG17	S	1	PD03NOV17	1908.00	2111.44	USD	-5,086.00	
04AUG17	S	3	PD03NOV17	1903.50	2111.44	USD	-15,595.50	
04AUG17	S	1	PD03NOV17	1903.00	2111.44	USD	-5,211.00	
04AUG17	S	2	PD03NOV17	1904.00	2111.44	USD	-10,372.00	
04AUG17	S	4	PD03NOV17	1905.00	2111.44	USD	-20,644.00	
04AUG17	S	2	PD03NOV17	1903.50	2111.44	USD	-10,397.00	
07AUG17	B	4	PD03NOV17	1963.25	2111.44	USD	14,819.00	
	NET	0 AVG	BGHT 1916.50				-6,487.50	0.00
			SOLD 1909.49					
07AUG17	S	1	PD07NOV17	1928.00	2112.69	USD	-4,617.25	
07AUG17	S	1	PD07NOV17	1929.00	2112.69	USD	-4,592.25	
07AUG17	B	1	PD07NOV17	1923.50	2112.69	USD	4,729.75	
07AUG17	B	1	PD07NOV17	1921.00	2112.69	USD	4,792.25	
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	S	2	PD07NOV17	1929.50	2112.69	USD	-9,159.50	
07AUG17	S	3	PD07NOV17	1930.50	2112.69	USD	-13,664.25	
07AUG17	S	1	PD07NOV17	1934.00	2112.69	USD	-4,467.25	
07AUG17	S	1	PD07NOV17	1937.00	2112.69	USD	-4,392.25	
07AUG17	S	1	PD07NOV17	1939.00	2112.69	USD	-4,342.25	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	S	1	PD07NOV17	1931.00	2112.69	USD	-4,542.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
07AUG17	S	1	PD07NOV17	1942.50	2112.69	USD	-4,254.75	
07AUG17	S	1	PD07NOV17	1947.00	2112.69	USD	-4,142.25	
07AUG17	B	1	PD07NOV17	1942.00	2112.69	USD	4,267.25	
07AUG17	S	1	PD07NOV17	1947.00	2112.69	USD	-4,142.25	
07AUG17	B	1	PD07NOV17	1954.50	2112.69	USD	3,954.75	
07AUG17	S	2	PD07NOV17	1964.00	2112.69	USD	-7,434.50	
10AUG17	B	15	PD07NOV17	2036.49	2112.69	USD	28,575.00	
	NET	0 AVG BGHT 2015.18	SOLD 1936.87				-37,196.25	0.00
08AUG17	B	2	PD08NOV17	1975.00	2113.00	USD	6,900.00	
08AUG17	B	1	PD08NOV17	1981.00	2113.00	USD	3,300.00	
08AUG17	B	1	PD08NOV17	1990.00	2113.00	USD	3,075.00	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	1	PD08NOV17	2003.00	2113.00	USD	2,750.00	
08AUG17	B	2	PD08NOV17	1999.50	2113.00	USD	5,675.00	
08AUG17	B	1	PD08NOV17	1992.00	2113.00	USD	3,025.00	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	1999.50	2113.00	USD	-2,837.50	
08AUG17	B	1	PD08NOV17	2008.00	2113.00	USD	2,625.00	
08AUG17	B	1	PD08NOV17	2009.50	2113.00	USD	2,587.50	
08AUG17	B	1	PD08NOV17	2008.50	2113.00	USD	2,612.50	
08AUG17	B	1	PD08NOV17	2001.00	2113.00	USD	2,800.00	
08AUG17	B	1	PD08NOV17	2001.50	2113.00	USD	2,787.50	
08AUG17	B	1	PD08NOV17	2018.50	2113.00	USD	2,362.50	
08AUG17	B	2	PD08NOV17	2017.00	2113.00	USD	4,800.00	
08AUG17	B	1	PD08NOV17	2019.50	2113.00	USD	2,337.50	
08AUG17	S	1	PD08NOV17	1996.50	2113.00	USD	-2,912.50	
10AUG17	S	15	PD08NOV17	2036.46	2113.00	USD	-28,702.50	
	NET	0 AVG BGHT 2001.06	SOLD 2030.49				13,247.50	0.00
09AUG17	B	1	PD09NOV17	2034.00	2113.46	USD	1,986.50	
09AUG17	B	1	PD09NOV17	2029.00	2113.46	USD	2,111.50	
09AUG17	S	1	PD09NOV17	2025.00	2113.46	USD	-2,211.50	
09AUG17	B	1	PD09NOV17	2021.50	2113.46	USD	2,299.00	
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2029.50	2113.46	USD	-2,099.00	
09AUG17	S	1	PD09NOV17	2030.00	2113.46	USD	-2,086.50	
09AUG17	S	1	PD09NOV17	2040.00	2113.46	USD	-1,836.50	
09AUG17	B	1	PD09NOV17	2033.00	2113.46	USD	2,011.50	
09AUG17	S	1	PD09NOV17	2037.00	2113.46	USD	-1,911.50	
09AUG17	B	1	PD09NOV17	2021.00	2113.46	USD	2,311.50	
09AUG17	S	1	PD09NOV17	2020.00	2113.46	USD	-2,336.50	
	NET	0 AVG BGHT 2028.25	SOLD 2030.25				300.00	0.00
10AUG17	S	1	PD10NOV17	2029.00	2113.93	USD	-2,123.25	
10AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
10AUG17	S	2	PD10NOV17	2032.00	2113.93	USD	-4,096.50	
10AUG17	S	1	PD10NOV17	2033.00	2113.93	USD	-2,023.25	
10AUG17	B	1	PD10NOV17	2028.50	2113.93	USD	2,135.75	
10AUG17	B	1	PD10NOV17	2028.00	2113.93	USD	2,148.25	
10AUG17	B	1	PD10NOV17	2025.00	2113.93	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2022.50	2113.93	USD	2,285.75	
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2036.00	2113.93	USD	1,948.25	
10AUG17	B	1	PD10NOV17	2035.50	2113.93	USD	1,960.75	
10AUG17	S	1	PD10NOV17	2044.50	2113.93	USD	-1,735.75	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
10AUG17	S	2	PD10NOV17	2040.00	2113.93	USD	-3,696.50	
10AUG17	B	1	PD10NOV17	2039.00	2113.93	USD	1,873.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
10AUG17	B	2	PD10NOV17	2037.00	2113.93	USD	3,846.50	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
11AUG17	B	1	PD10NOV17	2019.50	2113.93	USD	2,360.75	
11AUG17	S	1	PD10NOV17	2022.50	2113.93	USD	-2,285.75	
11AUG17	S	1	PD10NOV17	2041.50	2113.93	USD	-1,810.75	
11AUG17	B	1	PD10NOV17	2041.50	2113.93	USD	1,810.75	
11AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
11AUG17	B	1	PD10NOV17	2036.00	2113.93	USD	1,948.25	
11AUG17	S	1	PD10NOV17	2040.00	2113.93	USD	-1,848.25	
11AUG17	S	1	PD10NOV17	2039.00	2113.93	USD	-1,873.25	
11AUG17	S	1	PD10NOV17	2043.50	2113.93	USD	-1,760.75	
11AUG17	S	1	PD10NOV17	2045.00	2113.93	USD	-1,723.25	
11AUG17	B	1	PD10NOV17	2047.50	2113.93	USD	1,660.75	
11AUG17	B	1	PD10NOV17	2048.00	2113.93	USD	1,648.25	
	NET	0AVG BGHT 2034.09	SOLD 2036.88				1,112.50	0.00
14AUG17	B	1	PD14NOV17	2035.00	2115.79	USD	2,019.75	
14AUG17	S	2	PD14NOV17	2034.00	2115.79	USD	-4,089.50	
14AUG17	S	1	PD14NOV17	2036.50	2115.79	USD	-1,982.25	
14AUG17	S	1	PD14NOV17	2034.50	2115.79	USD	-2,032.25	
14AUG17	S	1	PD14NOV17	2040.50	2115.79	USD	-1,882.25	
14AUG17	B	1	PD14NOV17	2031.50	2115.79	USD	2,107.25	
14AUG17	B	1	PD14NOV17	2030.50	2115.79	USD	2,132.25	
14AUG17	B	1	PD14NOV17	2029.00	2115.79	USD	2,169.75	
14AUG17	B	1	PD14NOV17	2028.50	2115.79	USD	2,182.25	
	NET	0AVG BGHT 2030.90	SOLD 2035.90				625.00	0.00
21AUG17	B	1	PD21NOV17	2081.50	2118.10	USD	915.00	
21AUG17	S	1	PD21NOV17	2076.00	2118.10	USD	-1,052.50	
21AUG17	B	1	PD21NOV17	2074.00	2118.10	USD	1,102.50	
21AUG17	S	1	PD21NOV17	2074.50	2118.10	USD	-1,090.00	
21AUG17	B	1	PD21NOV17	2074.50	2118.10	USD	1,090.00	
21AUG17	S	1	PD21NOV17	2080.50	2118.10	USD	-940.00	
21AUG17	S	1	PD21NOV17	2077.00	2118.10	USD	-1,027.50	
21AUG17	S	1	PD21NOV17	2078.50	2118.10	USD	-990.00	
21AUG17	S	1	PD21NOV17	2075.50	2118.10	USD	-1,065.00	
21AUG17	S	1	PD21NOV17	2076.50	2118.10	USD	-1,040.00	
21AUG17	B	1	PD21NOV17	2087.50	2118.10	USD	765.00	
21AUG17	B	1	PD21NOV17	2087.00	2118.10	USD	777.50	
29AUG17	B	2	PD21NOV17	2093.50	2118.10	USD	1,230.00	
	NET	0AVG BGHT 2084.50	SOLD 2076.93				-1,325.00	0.00
22AUG17	S	1	PD22NOV17	2075.00	2118.41	USD	-1,085.25	
22AUG17	S	1	PD22NOV17	2081.50	2118.41	USD	-922.75	
22AUG17	S	1	PD22NOV17	2085.00	2118.41	USD	-835.25	
22AUG17	B	1	PD22NOV17	2078.50	2118.41	USD	997.75	
22AUG17	B	1	PD22NOV17	2077.50	2118.41	USD	1,022.75	
22AUG17	B	1	PD22NOV17	2075.50	2118.41	USD	1,072.75	
22AUG17	B	2	PD22NOV17	2075.00	2118.41	USD	2,170.50	
29AUG17	S	2	PD22NOV17	2093.49	2118.41	USD	-1,246.00	
	NET	0AVG BGHT 2076.30	SOLD 2085.70				1,174.50	0.00
23AUG17	B	1	PD24NOV17	2061.50	2119.03	USD	1,438.25	
23AUG17	B	1	PD24NOV17	2060.50	2119.03	USD	1,463.25	
23AUG17	B	1	PD24NOV17	2063.00	2119.03	USD	1,400.75	
23AUG17	S	1	PD24NOV17	2064.50	2119.03	USD	-1,363.25	
23AUG17	S	1	PD24NOV17	2074.00	2119.03	USD	-1,125.75	
23AUG17	S	1	PD24NOV17	2073.50	2119.03	USD	-1,138.25	
23AUG17	S	1	PD24NOV17	2084.50	2119.03	USD	-863.25	
23AUG17	S	1	PD24NOV17	2077.50	2119.03	USD	-1,038.25	

OPEN POSITION STATEMENT



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23AUG17	S	1	PD24NOV17	2078.50	2119.03	USD	-1,013.25	
23AUG17	S	2	PD24NOV17	2082.00	2119.03	USD	-1,851.50	
23AUG17	S	1	PD24NOV17	2083.00	2119.03	USD	-900.75	
23AUG17	S	3	PD24NOV17	2083.50	2119.03	USD	-2,664.75	
23AUG17	S	1	PD24NOV17	2082.50	2119.03	USD	-913.25	
23AUG17	S	1	PD24NOV17	2084.50	2119.03	USD	-863.25	
23AUG17	S	1	PD24NOV17	2087.50	2119.03	USD	-788.25	
23AUG17	S	1	PD24NOV17	2083.50	2119.03	USD	-888.25	
23AUG17	S	1	PD24NOV17	2115.00	2119.03	USD	-100.75	
23AUG17	B	2	PD24NOV17	2118.00	2119.03	USD	51.50	
23AUG17	B	1	PD24NOV17	2110.00	2119.03	USD	225.75	
23AUG17	B	1	PD24NOV17	2107.00	2119.03	USD	300.75	
24AUG17	B	1	PD24NOV17	2100.00	2119.03	USD	475.75	
24AUG17	B	1	PD24NOV17	2099.50	2119.03	USD	488.25	
24AUG17	B	2	PD24NOV17	2095.50	2119.03	USD	1,176.50	
24AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
24AUG17	B	2	PD24NOV17	2093.50	2119.03	USD	1,276.50	
24AUG17	B	1	PD24NOV17	2093.00	2119.03	USD	650.75	
24AUG17	B	1	PD24NOV17	2092.50	2119.03	USD	663.25	
24AUG17	S	1	PD24NOV17	2103.50	2119.03	USD	-388.25	
24AUG17	S	1	PD24NOV17	2104.50	2119.03	USD	-363.25	
24AUG17	B	1	PD24NOV17	2106.50	2119.03	USD	313.25	
24AUG17	S	1	PD24NOV17	2106.50	2119.03	USD	-313.25	
24AUG17	S	2	PD24NOV17	2110.00	2119.03	USD	-451.50	
24AUG17	S	1	PD24NOV17	2110.50	2119.03	USD	-213.25	
24AUG17	S	1	PD24NOV17	2111.00	2119.03	USD	-200.75	
24AUG17	S	1	PD24NOV17	2111.50	2119.03	USD	-188.25	
24AUG17	S	1	PD24NOV17	2122.50	2119.03	USD	86.75	
24AUG17	B	1	PD24NOV17	2110.50	2119.03	USD	213.25	
24AUG17	B	1	PD24NOV17	2108.00	2119.03	USD	275.75	
24AUG17	B	2	PD24NOV17	2105.50	2119.03	USD	676.50	
24AUG17	B	1	PD24NOV17	2103.00	2119.03	USD	400.75	
24AUG17	B	2	PD24NOV17	2098.50	2119.03	USD	1,026.50	
25AUG17	B	1	PD24NOV17	2087.50	2119.03	USD	788.25	
25AUG17	B	1	PD24NOV17	2089.00	2119.03	USD	750.75	
25AUG17	B	1	PD24NOV17	2090.00	2119.03	USD	725.75	
25AUG17	S	1	PD24NOV17	2094.50	2119.03	USD	-613.25	
	NET	0 AVG BGHT 2096.24	SOLD 2092.13				-2,775.00	0.00
29AUG17	S	1	PD29NOV17	2074.00	2120.57	USD	-1,164.25	
29AUG17	S	1	PD29NOV17	2078.00	2120.57	USD	-1,064.25	
29AUG17	B	1	PD29NOV17	2072.50	2120.57	USD	1,201.75	
29AUG17	B	1	PD29NOV17	2074.00	2120.57	USD	1,164.25	
29AUG17	S	1	PD29NOV17	2083.50	2120.57	USD	-926.75	
29AUG17	S	1	PD29NOV17	2088.00	2120.57	USD	-814.25	
29AUG17	S	1	PD29NOV17	2100.00	2120.57	USD	-514.25	
06SEP17	B	3	PD29NOV17	2102.84	2120.57	USD	1,329.75	
	NET	0 AVG BGHT 2091.00	SOLD 2084.70				-788.00	0.00
30AUG17	B	1	PD30NOV17	2092.50	2120.88	USD	709.50	
30AUG17	B	1	PD30NOV17	2092.00	2120.88	USD	722.00	
30AUG17	B	1	PD30NOV17	2091.00	2120.88	USD	747.00	
30AUG17	B	1	PD30NOV17	2099.50	2120.88	USD	534.50	
30AUG17	B	1	PD30NOV17	2099.00	2120.88	USD	547.00	
30AUG17	B	1	PD30NOV17	2098.50	2120.88	USD	559.50	
30AUG17	B	1	PD30NOV17	2086.00	2120.88	USD	872.00	
30AUG17	S	1	PD30NOV17	2095.00	2120.88	USD	-647.00	
30AUG17	S	1	PD30NOV17	2093.50	2120.88	USD	-684.50	
30AUG17	S	1	PD30NOV17	2083.50	2120.88	USD	-934.50	

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30AUG17	S	1	PD30NOV17	2084.00	2120.88	USD	-922.00	
31AUG17	S	1	PD30NOV17	2102.00	2120.88	USD	-472.00	
31AUG17	S	1	PD30NOV17	2101.50	2120.88	USD	-484.50	
31AUG17	S	1	PD30NOV17	2110.50	2120.88	USD	-259.50	
31AUG17	S	1	PD30NOV17	2114.00	2120.88	USD	-172.00	
31AUG17	S	1	PD30NOV17	2121.00	2120.88	USD	3.00	
31AUG17	S	1	PD30NOV17	2129.50	2120.88	USD	215.50	
31AUG17	B	2	PD30NOV17	2117.50	2120.88	USD	169.00	
31AUG17	B	1	PD30NOV17	2117.00	2120.88	USD	97.00	
31AUG17	B	1	PD30NOV17	2127.00	2120.88	USD	-153.00	
31AUG17	B	1	PD30NOV17	2126.50	2120.88	USD	-140.50	
31AUG17	B	1	PD30NOV17	2126.00	2120.88	USD	-128.00	
06SEP17	S	3	PD30NOV17	2102.88	2120.88	USD	-1,350.00	
	NET	0 AVG BGHT 2106.92	SOLD 2103.32				-1,171.50	0.00
01SEP17	S	1	PD01DEC17	2136.50	2121.19	USD	382.75	
01SEP17	S	1	PD01DEC17	2142.00	2121.19	USD	520.25	
01SEP17	B	1	PD01DEC17	2135.50	2121.19	USD	-357.75	
01SEP17	B	1	PD01DEC17	2135.00	2121.19	USD	-345.25	
01SEP17	B	1	PD01DEC17	2133.50	2121.19	USD	-307.75	
01SEP17	B	1	PD01DEC17	2136.50	2121.19	USD	-382.75	
01SEP17	S	2	PD01DEC17	2142.00	2121.19	USD	1,040.50	
01SEP17	S	1	PD01DEC17	2132.50	2121.19	USD	282.75	
01SEP17	B	1	PD01DEC17	2129.50	2121.19	USD	-207.75	
01SEP17	S	1	PD01DEC17	2136.00	2121.19	USD	370.25	
06SEP17	B	1	PD01DEC17	2103.32	2121.19	USD	446.75	
	NET	0 AVG BGHT 2128.89	SOLD 2138.50				1,442.00	0.00
04SEP17	B	1	PD04DEC17	2130.00	2122.12	USD	-197.00	
04SEP17	B	1	PD04DEC17	2126.00	2122.12	USD	-97.00	
04SEP17	B	1	PD04DEC17	2125.50	2122.12	USD	-84.50	
04SEP17	B	1	PD04DEC17	2124.50	2122.12	USD	-59.50	
04SEP17	S	2	PD04DEC17	2123.00	2122.12	USD	44.00	
04SEP17	B	1	PD04DEC17	2123.00	2122.12	USD	-22.00	
04SEP17	B	1	PD04DEC17	2113.00	2122.12	USD	228.00	
04SEP17	S	1	PD04DEC17	2112.50	2122.12	USD	-240.50	
04SEP17	S	1	PD04DEC17	2119.00	2122.12	USD	-78.00	
04SEP17	S	1	PD04DEC17	2121.00	2122.12	USD	-28.00	
06SEP17	S	1	PD04DEC17	2103.83	2122.12	USD	-457.25	
	NET	0 AVG BGHT 2123.67	SOLD 2117.06				-991.75	0.00
05SEP17	B	1	PD05DEC17	2110.50	2122.43	USD	298.25	
05SEP17	B	1	PD05DEC17	2110.00	2122.43	USD	310.75	
05SEP17	S	1	PD05DEC17	2106.50	2122.43	USD	-398.25	
05SEP17	S	1	PD05DEC17	2107.00	2122.43	USD	-385.75	
05SEP17	S	1	PD05DEC17	2105.00	2122.43	USD	-435.75	
05SEP17	S	1	PD05DEC17	2112.00	2122.43	USD	-260.75	
05SEP17	S	2	PD05DEC17	2112.50	2122.43	USD	-496.50	
05SEP17	B	1	PD05DEC17	2105.00	2122.43	USD	435.75	
05SEP17	B	1	PD05DEC17	2089.00	2122.43	USD	835.75	
05SEP17	B	1	PD05DEC17	2087.50	2122.43	USD	873.25	
08SEP17	B	1	PD05DEC17	2098.25	2122.43	USD	604.50	
	NET	0 AVG BGHT 2100.04	SOLD 2109.25				1,381.25	0.00
06SEP17	B	1	PD06DEC17	2084.00	2122.74	USD	968.50	
06SEP17	B	1	PD06DEC17	2077.00	2122.74	USD	1,143.50	
06SEP17	B	1	PD06DEC17	2076.50	2122.74	USD	1,156.00	
06SEP17	S	1	PD06DEC17	2074.50	2122.74	USD	-1,206.00	
06SEP17	S	1	PD06DEC17	2078.00	2122.74	USD	-1,118.50	
06SEP17	S	2	PD06DEC17	2081.50	2122.74	USD	-2,062.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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06SEP17	S	1	PD06DEC17	2084.00	2122.74	USD	-968.50	
06SEP17	B	1	PD06DEC17	2086.00	2122.74	USD	918.50	
06SEP17	S	1	PD06DEC17	2088.00	2122.74	USD	-868.50	
06SEP17	S	1	PD06DEC17	2098.00	2122.74	USD	-618.50	
06SEP17	B	1	PD06DEC17	2093.00	2122.74	USD	743.50	
06SEP17	B	1	PD06DEC17	2088.50	2122.74	USD	856.00	
06SEP17	B	1	PD06DEC17	2091.50	2122.74	USD	781.00	
06SEP17	B	2	PD06DEC17	2110.00	2122.74	USD	637.00	
08SEP17	S	1	PD06DEC17	2098.30	2122.74	USD	-611.00	
08SEP17	S	1	PD06DEC17	2098.50	2122.74	USD	-606.00	
	NET	0 AVG BGHT 2090.72	SOLD 2086.92				-855.00	0.00
07SEP17	B	1	PD07DEC17	2089.00	2123.04	USD	851.00	
07SEP17	B	1	PD07DEC17	2094.50	2123.04	USD	713.50	
07SEP17	S	1	PD07DEC17	2099.00	2123.04	USD	-601.00	
07SEP17	S	1	PD07DEC17	2106.00	2123.04	USD	-426.00	
07SEP17	S	1	PD07DEC17	2107.00	2123.04	USD	-401.00	
08SEP17	B	1	PD07DEC17	2098.95	2123.04	USD	602.25	
	NET	0 AVG BGHT 2094.15	SOLD 2104.00				738.75	0.00
08SEP17	B	3	PD08DEC17	2103.50	2123.35	USD	1,488.75	
08SEP17	S	2	PD08DEC17	2096.00	2123.35	USD	-1,367.50	
08SEP17	S	2	PD08DEC17	2095.50	2123.35	USD	-1,392.50	
08SEP17	B	1	PD08DEC17	2092.00	2123.35	USD	783.75	
	NET	0 AVG BGHT 2100.63	SOLD 2095.75				-487.50	0.00
11SEP17	S	1	PD11DEC17	2124.50	2124.28	USD	5.50	
11SEP17	S	1	PD11DEC17	2126.00	2124.28	USD	43.00	
11SEP17	S	1	PD11DEC17	2135.50	2124.28	USD	280.50	
14SEP17	B	2	PD11DEC17	2097.20	2124.28	USD	1,354.00	
15SEP17	B	1	PD11DEC17	2085.00	2124.28	USD	982.00	
	NET	0 AVG BGHT 2093.13	SOLD 2128.67				2,665.00	0.00
12SEP17	B	1	PD12DEC17	2117.00	2124.59	USD	189.75	
12SEP17	S	2	PD12DEC17	2127.00	2124.59	USD	120.50	
12SEP17	S	1	PD12DEC17	2126.00	2124.59	USD	35.25	
12SEP17	S	1	PD12DEC17	2126.50	2124.59	USD	47.75	
12SEP17	S	2	PD12DEC17	2121.00	2124.59	USD	-179.50	
12SEP17	S	1	PD12DEC17	2120.00	2124.59	USD	-114.75	
12SEP17	S	1	PD12DEC17	2122.50	2124.59	USD	-52.25	
12SEP17	B	1	PD12DEC17	2114.00	2124.59	USD	264.75	
12SEP17	S	1	PD12DEC17	2142.00	2124.59	USD	435.25	
12SEP17	B	1	PD12DEC17	2136.00	2124.59	USD	-285.25	
14SEP17	B	4	PD12DEC17	2097.47	2124.59	USD	2,712.00	
15SEP17	B	2	PD12DEC17	2085.25	2124.59	USD	1,967.00	
	NET	0 AVG BGHT 2103.04	SOLD 2125.89				5,140.50	0.00
13SEP17	B	1	PD13DEC17	2112.50	2124.90	USD	310.00	
13SEP17	S	1	PD13DEC17	2115.00	2124.90	USD	-247.50	
13SEP17	B	2	PD13DEC17	2115.50	2124.90	USD	470.00	
13SEP17	B	1	PD13DEC17	2114.50	2124.90	USD	260.00	
13SEP17	B	2	PD13DEC17	2114.00	2124.90	USD	545.00	
13SEP17	B	1	PD13DEC17	2108.00	2124.90	USD	422.50	
14SEP17	S	6	PD13DEC17	2097.53	2124.90	USD	-4,105.50	
	NET	0 AVG BGHT 2113.43	SOLD 2100.03				-2,345.50	0.00
14SEP17	B	1	PD14DEC17	2116.00	2125.21	USD	230.25	
14SEP17	B	1	PD14DEC17	2114.50	2125.21	USD	267.75	
14SEP17	B	1	PD14DEC17	2110.50	2125.21	USD	367.75	
14SEP17	B	2	PD14DEC17	2097.00	2125.21	USD	1,410.50	
14SEP17	B	2	PD14DEC17	2094.00	2125.21	USD	1,560.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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14SEP17	B	1	PD14DEC17		2088.00	2125.21	USD	930.25	
14SEP17	S	1	PD14DEC17		2088.00	2125.21	USD	-930.25	
14SEP17	S	1	PD14DEC17		2096.00	2125.21	USD	-730.25	
14SEP17	S	1	PD14DEC17		2083.50	2125.21	USD	-1,042.75	
14SEP17	S	1	PD14DEC17		2091.00	2125.21	USD	-855.25	
14SEP17	S	1	PD14DEC17		2091.50	2125.21	USD	-842.75	
15SEP17	S	3	PD14DEC17		2085.55	2125.21	USD	-2,974.50	
	NET	0 AVG	BGHT 2101.38	SOLD 2088.33				-2,608.75	0.00
15SEP17	S	1	PD15DEC17		2091.50	2125.51	USD	-850.25	
15SEP17	S	1	PD15DEC17		2092.00	2125.51	USD	-837.75	
15SEP17	S	1	PD15DEC17		2096.50	2125.51	USD	-725.25	
15SEP17	S	1	PD15DEC17		2100.50	2125.51	USD	-625.25	
15SEP17	S	1	PD15DEC17		2099.00	2125.51	USD	-662.75	
15SEP17	B	1	PD15DEC17		2096.50	2125.51	USD	725.25	
15SEP17	S	1	PD15DEC17		2102.00	2125.51	USD	-587.75	
15SEP17	B	1	PD15DEC17		2095.00	2125.51	USD	762.75	
15SEP17	B	1	PD15DEC17		2093.00	2125.51	USD	812.75	
15SEP17	B	1	PD15DEC17		2088.50	2125.51	USD	925.25	
15SEP17	S	1	PD15DEC17		2085.00	2125.51	USD	-1,012.75	
15SEP17	B	1	PD15DEC17		2084.00	2125.51	USD	1,037.75	
15SEP17	B	1	PD15DEC17		2083.00	2125.51	USD	1,062.75	
15SEP17	B	2	PD15DEC17		2085.50	2125.51	USD	2,000.50	
15SEP17	B	2	PD15DEC17		2072.00	2125.51	USD	2,675.50	
15SEP17	S	1	PD15DEC17		2074.00	2125.51	USD	-1,287.75	
15SEP17	S	1	PD15DEC17		2075.00	2125.51	USD	-1,262.75	
15SEP17	S	1	PD15DEC17		2075.50	2125.51	USD	-1,250.25	
	NET	0 AVG	BGHT 2085.50	SOLD 2089.10				900.00	0.00
18SEP17	S	2	PD18DEC17		2094.00	2126.44	USD	-1,622.00	
18SEP17	S	1	PD18DEC17		2092.50	2126.44	USD	-848.50	
18SEP17	S	2	PD18DEC17		2090.00	2126.44	USD	-1,822.00	
18SEP17	S	1	PD18DEC17		2102.00	2126.44	USD	-611.00	
18SEP17	S	1	PD18DEC17		2104.50	2126.44	USD	-548.50	
18SEP17	S	1	PD18DEC17		2105.00	2126.44	USD	-536.00	
18SEP17	S	1	PD18DEC17		2093.50	2126.44	USD	-823.50	
18SEP17	B	1	PD18DEC17		2083.50	2126.44	USD	1,073.50	
18SEP17	S	1	PD18DEC17		2093.00	2126.44	USD	-836.00	
18SEP17	S	2	PD18DEC17		2093.50	2126.44	USD	-1,647.00	
18SEP17	S	1	PD18DEC17		2091.50	2126.44	USD	-873.50	
18SEP17	B	1	PD18DEC17		2091.00	2126.44	USD	886.00	
18SEP17	B	1	PD18DEC17		2093.50	2126.44	USD	823.50	
18SEP17	B	1	PD18DEC17		2093.00	2126.44	USD	836.00	
18SEP17	B	1	PD18DEC17		2092.00	2126.44	USD	861.00	
20SEP17	B	7	PD18DEC17		2176.44	2126.44	USD	-8,750.00	
	NET	-1 AVG	BGHT 2140.67	SOLD 2095.15				-14,438.00	0.00
19SEP17	S	1	PD19DEC17		2104.50	2126.75	USD	-556.25	
19SEP17	B	1	PD19DEC17		2104.50	2126.75	USD	556.25	
19SEP17	S	1	PD19DEC17		2105.00	2126.75	USD	-543.75	
19SEP17	S	1	PD19DEC17		2109.50	2126.75	USD	-431.25	
19SEP17	B	1	PD19DEC17		2102.50	2126.75	USD	606.25	
19SEP17	B	1	PD19DEC17		2103.00	2126.75	USD	593.75	
19SEP17	B	1	PD19DEC17		2097.50	2126.75	USD	731.25	
19SEP17	B	2	PD19DEC17		2098.50	2126.75	USD	1,412.50	
19SEP17	S	1	PD19DEC17		2109.00	2126.75	USD	-443.75	
19SEP17	S	2	PD19DEC17		2112.00	2126.75	USD	-737.50	
19SEP17	S	2	PD19DEC17		2113.00	2126.75	USD	-687.50	
19SEP17	S	2	PD19DEC17		2112.50	2126.75	USD	-712.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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19SEP17	S	2	PD19DEC17	2122.00	2126.75	USD	-237.50	
19SEP17	B	1	PD19DEC17	2122.00	2126.75	USD	118.75	
19SEP17	B	1	PD19DEC17	2121.50	2126.75	USD	131.25	
19SEP17	B	2	PD19DEC17	2123.50	2126.75	USD	162.50	
19SEP17	B	2	PD19DEC17	2117.50	2126.75	USD	462.50	
19SEP17	B	1	PD19DEC17	2114.00	2126.75	USD	318.75	
19SEP17	B	1	PD19DEC17	2113.00	2126.75	USD	343.75	
19SEP17	B	1	PD19DEC17	2115.00	2126.75	USD	293.75	
19SEP17	B	2	PD19DEC17	2118.50	2126.75	USD	412.50	
19SEP17	B	1	PD19DEC17	2125.00	2126.75	USD	43.75	
19SEP17	B	2	PD19DEC17	2126.00	2126.75	USD	37.50	
19SEP17	S	1	PD19DEC17	2128.50	2126.75	USD	43.75	
20SEP17	S	7	PD19DEC17	2176.52	2126.75	USD	8,709.75	
	NET	0 AVG BGHT 2114.30	SOLD 2135.56				10,628.50	0.00
20SEP17	S	1	PD20DEC17	2132.50	2126.75	USD	143.75	
20SEP17	S	2	PD20DEC17	2144.50	2126.75	USD	887.50	
20SEP17	S	1	PD20DEC17	2146.00	2126.75	USD	481.25	
20SEP17	S	1	PD20DEC17	2146.50	2126.75	USD	493.75	
20SEP17	S	2	PD20DEC17	2150.50	2126.75	USD	1,187.50	
20SEP17	B	1	PD20DEC17	2150.00	2126.75	USD	-581.25	
20SEP17	S	1	PD20DEC17	2164.00	2126.75	USD	931.25	
20SEP17	B	1	PD20DEC17	2159.00	2126.75	USD	-806.25	
20SEP17	S	1	PD20DEC17	2160.50	2126.75	USD	843.75	
20SEP17	S	2	PD20DEC17	2162.00	2126.75	USD	1,762.50	
20SEP17	S	1	PD20DEC17	2161.50	2126.75	USD	868.75	
20SEP17	S	1	PD20DEC17	2163.00	2126.75	USD	906.25	
20SEP17	S	1	PD20DEC17	2165.00	2126.75	USD	956.25	
20SEP17	S	1	PD20DEC17	2165.50	2126.75	USD	968.75	
20SEP17	S	1	PD20DEC17	2168.50	2126.75	USD	1,043.75	
20SEP17	B	2	PD20DEC17	2168.50	2126.75	USD	-2,087.50	
20SEP17	S	1	PD20DEC17	2180.50	2126.75	USD	1,343.75	
20SEP17	B	2	PD20DEC17	2190.00	2126.75	USD	-3,162.50	
20SEP17	S	2	PD20DEC17	2186.50	2126.75	USD	2,987.50	
20SEP17	S	2	PD20DEC17	2188.00	2126.75	USD	3,062.50	
20SEP17	S	2	PD20DEC17	2190.00	2126.75	USD	3,162.50	
20SEP17	S	2	PD20DEC17	2189.00	2126.75	USD	3,112.50	
20SEP17	S	1	PD20DEC17	2162.00	2126.75	USD	881.25	
20SEP17	S	1	PD20DEC17	2192.50	2126.75	USD	1,643.75	
20SEP17	B	1	PD20DEC17	2178.50	2126.75	USD	-1,293.75	
20SEP17	B	1	PD20DEC17	2174.50	2126.75	USD	-1,193.75	
20SEP17	S	1	PD20DEC17	2175.50	2126.75	USD	1,218.75	
20SEP17	B	1	PD20DEC17	2166.50	2126.75	USD	-993.75	
20SEP17	B	1	PD20DEC17	2164.00	2126.75	USD	-931.25	
20SEP17	B	1	PD20DEC17	2164.50	2126.75	USD	-943.75	
20SEP17	B	3	PD20DEC17	2165.00	2126.75	USD	-2,868.75	
20SEP17	B	2	PD20DEC17	2163.50	2126.75	USD	-1,837.50	
20SEP17	B	2	PD20DEC17	2163.00	2126.75	USD	-1,812.50	
20SEP17	B	1	PD20DEC17	2165.50	2126.75	USD	-968.75	
20SEP17	S	1	PD20DEC17	2163.00	2126.75	USD	906.25	
20SEP17	S	2	PD20DEC17	2181.00	2126.75	USD	2,712.50	
20SEP17	B	3	PD20DEC17	2187.00	2126.75	USD	-4,518.75	
20SEP17	B	1	PD20DEC17	2185.50	2126.75	USD	-1,468.75	
20SEP17	B	3	PD20DEC17	2184.50	2126.75	USD	-4,331.25	
04OCT17	B	5	PD20DEC17	2163.00	2126.75	USD	-4,531.25	
	NET	0 AVG BGHT 2171.05	SOLD 2168.69				-1,825.00	0.00
21SEP17	B	1	PD21DEC17	2158.00	2126.75	USD	-781.25	
21SEP17	B	2	PD21DEC17	2157.50	2126.75	USD	-1,537.50	

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21SEP17	B	2	PD21DEC17	2159.50	2126.75	USD	-1,637.50	
21SEP17	S	1	PD21DEC17	2166.00	2126.75	USD	981.25	
21SEP17	S	1	PD21DEC17	2165.00	2126.75	USD	956.25	
21SEP17	S	1	PD21DEC17	2167.00	2126.75	USD	1,006.25	
21SEP17	B	1	PD21DEC17	2165.50	2126.75	USD	-968.75	
21SEP17	B	1	PD21DEC17	2167.00	2126.75	USD	-1,006.25	
21SEP17	S	1	PD21DEC17	2170.50	2126.75	USD	1,093.75	
21SEP17	B	1	PD21DEC17	2170.00	2126.75	USD	-1,081.25	
21SEP17	S	1	PD21DEC17	2163.50	2126.75	USD	918.75	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	S	3	PD21DEC17	2168.00	2126.75	USD	3,093.75	
21SEP17	S	1	PD21DEC17	2167.50	2126.75	USD	1,018.75	
21SEP17	S	1	PD21DEC17	2174.00	2126.75	USD	1,181.25	
21SEP17	S	1	PD21DEC17	2177.00	2126.75	USD	1,256.25	
21SEP17	S	1	PD21DEC17	2177.50	2126.75	USD	1,268.75	
21SEP17	S	2	PD21DEC17	2178.00	2126.75	USD	2,562.50	
21SEP17	S	1	PD21DEC17	2180.00	2126.75	USD	1,331.25	
21SEP17	S	3	PD21DEC17	2182.00	2126.75	USD	4,143.75	
21SEP17	S	3	PD21DEC17	2181.50	2126.75	USD	4,106.25	
21SEP17	S	2	PD21DEC17	2182.50	2126.75	USD	2,787.50	
21SEP17	S	1	PD21DEC17	2185.00	2126.75	USD	1,456.25	
21SEP17	S	1	PD21DEC17	2189.00	2126.75	USD	1,556.25	
21SEP17	S	1	PD21DEC17	2187.00	2126.75	USD	1,506.25	
21SEP17	B	1	PD21DEC17	2181.00	2126.75	USD	-1,356.25	
21SEP17	B	1	PD21DEC17	2174.00	2126.75	USD	-1,181.25	
21SEP17	B	1	PD21DEC17	2174.50	2126.75	USD	-1,193.75	
21SEP17	B	1	PD21DEC17	2162.50	2126.75	USD	-893.75	
21SEP17	B	2	PD21DEC17	2162.00	2126.75	USD	-1,762.50	
21SEP17	B	1	PD21DEC17	2161.50	2126.75	USD	-868.75	
21SEP17	B	1	PD21DEC17	2156.50	2126.75	USD	-743.75	
21SEP17	B	1	PD21DEC17	2156.00	2126.75	USD	-731.25	
21SEP17	B	1	PD21DEC17	2154.50	2126.75	USD	-693.75	
21SEP17	B	1	PD21DEC17	2152.50	2126.75	USD	-643.75	
21SEP17	B	1	PD21DEC17	2151.00	2126.75	USD	-606.25	
21SEP17	B	1	PD21DEC17	2150.50	2126.75	USD	-593.75	
21SEP17	B	2	PD21DEC17	2147.00	2126.75	USD	-1,012.50	
21SEP17	B	1	PD21DEC17	2149.00	2126.75	USD	-556.25	
21SEP17	B	3	PD21DEC17	2148.00	2126.75	USD	-1,593.75	
21SEP17	B	2	PD21DEC17	2147.50	2126.75	USD	-1,037.50	
21SEP17	B	1	PD21DEC17	2146.50	2126.75	USD	-493.75	
21SEP17	B	1	PD21DEC17	2153.00	2126.75	USD	-656.25	
21SEP17	B	1	PD21DEC17	2153.50	2126.75	USD	-668.75	
04OCT17	S	5	PD21DEC17	2163.00	2126.75	USD	4,531.25	
	NET	0	AVG BGHT 2157.13	SOLD 2174.03			13,525.00	0.00
22SEP17	B	1	PD22DEC17	2137.00	2126.75	USD	-256.25	
22SEP17	B	1	PD22DEC17	2134.00	2126.75	USD	-181.25	
22SEP17	B	1	PD22DEC17	2122.00	2126.75	USD	118.75	
22SEP17	S	1	PD22DEC17	2122.50	2126.75	USD	-106.25	
22SEP17	S	1	PD22DEC17	2120.50	2126.75	USD	-156.25	
22SEP17	S	1	PD22DEC17	2123.00	2126.75	USD	-93.75	
22SEP17	S	1	PD22DEC17	2135.00	2126.75	USD	206.25	
22SEP17	S	1	PD22DEC17	2156.50	2126.75	USD	743.75	
22SEP17	S	2	PD22DEC17	2158.00	2126.75	USD	1,562.50	
22SEP17	S	2	PD22DEC17	2159.50	2126.75	USD	1,637.50	
22SEP17	B	1	PD22DEC17	2155.00	2126.75	USD	-706.25	
22SEP17	B	1	PD22DEC17	2139.50	2126.75	USD	-318.75	
22SEP17	B	1	PD22DEC17	2163.50	2126.75	USD	-918.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22SEP17	B	2	PD22DEC17	2162.00	2126.75	USD	-1,762.50	
22SEP17	B	1	PD22DEC17	2161.50	2126.75	USD	-868.75	
	NET	0AVG	BGHT 2148.50				-1,100.00	0.00
25SEP17	S	1	PD27DEC17	2146.00	2127.29	USD	467.75	
25SEP17	S	1	PD27DEC17	2153.50	2127.29	USD	655.25	
25SEP17	S	2	PD27DEC17	2153.00	2127.29	USD	1,285.50	
25SEP17	B	1	PD27DEC17	2139.00	2127.29	USD	-292.75	
26SEP17	B	2	PD27DEC17	2156.00	2127.29	USD	-1,435.50	
26SEP17	B	1	PD27DEC17	2157.50	2127.29	USD	-755.25	
26SEP17	B	1	PD27DEC17	2147.00	2127.29	USD	-492.75	
26SEP17	B	1	PD27DEC17	2146.00	2127.29	USD	-467.75	
26SEP17	S	2	PD27DEC17	2140.00	2127.29	USD	635.50	
26SEP17	B	1	PD27DEC17	2129.50	2127.29	USD	-55.25	
26SEP17	B	1	PD27DEC17	2127.00	2127.29	USD	7.25	
26SEP17	S	1	PD27DEC17	2133.00	2127.29	USD	142.75	
26SEP17	S	1	PD27DEC17	2125.00	2127.29	USD	-57.25	
27SEP17	S	2	PD27DEC17	2131.50	2127.29	USD	210.50	
27SEP17	S	2	PD27DEC17	2132.00	2127.29	USD	235.50	
27SEP17	S	1	PD27DEC17	2133.00	2127.29	USD	142.75	
27SEP17	S	1	PD27DEC17	2137.50	2127.29	USD	255.25	
27SEP17	S	1	PD27DEC17	2146.50	2127.29	USD	480.25	
27SEP17	S	1	PD27DEC17	2146.00	2127.29	USD	467.75	
27SEP17	B	1	PD27DEC17	2132.50	2127.29	USD	-130.25	
27SEP17	B	1	PD27DEC17	2131.50	2127.29	USD	-105.25	
27SEP17	B	1	PD27DEC17	2130.00	2127.29	USD	-67.75	
27SEP17	B	1	PD27DEC17	2129.00	2127.29	USD	-42.75	
27SEP17	B	3	PD27DEC17	2126.50	2127.29	USD	59.25	
27SEP17	B	1	PD27DEC17	2126.00	2127.29	USD	32.25	
	NET	0AVG	BGHT 2136.66				1,175.00	0.00
28SEP17	B	4	PD28DEC17	2122.00	2127.39	USD	539.00	
28SEP17	S	1	PD28DEC17	2120.50	2127.39	USD	-172.25	
28SEP17	S	1	PD28DEC17	2126.50	2127.39	USD	-22.25	
28SEP17	S	2	PD28DEC17	2127.50	2127.39	USD	5.50	
28SEP17	S	3	PD28DEC17	2130.50	2127.39	USD	233.25	
28SEP17	B	2	PD28DEC17	2123.50	2127.39	USD	194.50	
28SEP17	B	1	PD28DEC17	2119.50	2127.39	USD	197.25	
28SEP17	B	1	PD28DEC17	2119.00	2127.39	USD	209.75	
28SEP17	B	1	PD28DEC17	2118.50	2127.39	USD	222.25	
28SEP17	S	1	PD28DEC17	2128.00	2127.39	USD	15.25	
28SEP17	S	1	PD28DEC17	2128.00	2127.39	USD	15.25	
28SEP17	S	1	PD28DEC17	2134.00	2127.39	USD	165.25	
28SEP17	S	1	PD28DEC17	2136.00	2127.39	USD	215.25	
04OCT17	B	2	PD28DEC17	2164.60	2127.39	USD	-1,860.50	
	NET	0AVG	BGHT 2129.20				-42.50	0.00
29SEP17	S	1	PD29DEC17	2136.00	2127.50	USD	212.50	
29SEP17	S	1	PD29DEC17	2137.00	2127.50	USD	237.50	
29SEP17	S	1	PD29DEC17	2136.50	2127.50	USD	225.00	
29SEP17	B	1	PD29DEC17	2131.50	2127.50	USD	-100.00	
29SEP17	B	1	PD29DEC17	2132.00	2127.50	USD	-112.50	
29SEP17	B	1	PD29DEC17	2127.50	2127.50	USD	0.00	
29SEP17	B	1	PD29DEC17	2126.00	2127.50	USD	37.50	
29SEP17	B	1	PD29DEC17	2125.50	2127.50	USD	50.00	
04OCT17	S	2	PD29DEC17	2164.60	2127.50	USD	1,855.00	
	NET	0AVG	BGHT 2128.50				2,405.00	0.00
03OCT17	B	1	PD03JAN18	2106.00	2128.46	USD	561.50	
03OCT17	B	1	PD03JAN18	2105.00	2128.46	USD	586.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
030CT17	B	1	PD03JAN18	2104.50	2128.46	USD	599.00	
030CT17	S	1	PD03JAN18	2106.50	2128.46	USD	-549.00	
030CT17	S	2	PD03JAN18	2123.00	2128.46	USD	-273.00	
030CT17	S	1	PD03JAN18	2127.00	2128.46	USD	-36.50	
030CT17	B	1	PD03JAN18	2124.50	2128.46	USD	99.00	
030CT17	S	1	PD03JAN18	2123.50	2128.46	USD	-124.00	
030CT17	B	1	PD03JAN18	2120.00	2128.46	USD	211.50	
030CT17	B	1	PD03JAN18	2115.50	2128.46	USD	324.00	
030CT17	B	1	PD03JAN18	2113.50	2128.46	USD	374.00	
030CT17	S	1	PD03JAN18	2113.50	2128.46	USD	-374.00	
030CT17	B	1	PD03JAN18	2116.50	2128.46	USD	299.00	
030CT17	B	1	PD03JAN18	2122.50	2128.46	USD	149.00	
030CT17	S	2	PD03JAN18	2117.50	2128.46	USD	-548.00	
030CT17	S	1	PD03JAN18	2120.50	2128.46	USD	-199.00	
	NET	0 AVG	BGHT 2114.22	SOLD 2119.11			1,100.00	0.00
040CT17	S	1	PD04JAN18	2154.50	2128.65	USD	646.25	
040CT17	S	1	PD04JAN18	2153.00	2128.65	USD	608.75	
040CT17	B	2	PD04JAN18	2143.50	2128.65	USD	-742.50	
040CT17	S	1	PD04JAN18	2155.00	2128.65	USD	658.75	
040CT17	S	1	PD04JAN18	2157.50	2128.65	USD	721.25	
040CT17	B	1	PD04JAN18	2156.00	2128.65	USD	-683.75	
040CT17	B	1	PD04JAN18	2149.00	2128.65	USD	-508.75	
040CT17	S	1	PD04JAN18	2148.50	2128.65	USD	496.25	
040CT17	S	1	PD04JAN18	2158.00	2128.65	USD	733.75	
040CT17	S	1	PD04JAN18	2159.50	2128.65	USD	771.25	
040CT17	S	1	PD04JAN18	2160.00	2128.65	USD	783.75	
040CT17	S	1	PD04JAN18	2160.50	2128.65	USD	796.25	
100CT17	B	5	PD04JAN18	2161.75	2128.65	USD	-4,137.50	
	NET	0 AVG	BGHT 2155.64	SOLD 2156.28			143.75	0.00
050CT17	B	1	PD05JAN18	2157.00	2128.85	USD	-703.75	
050CT17	B	1	PD05JAN18	2153.00	2128.85	USD	-603.75	
050CT17	B	1	PD05JAN18	2150.00	2128.85	USD	-528.75	
050CT17	B	1	PD05JAN18	2161.50	2128.85	USD	-816.25	
050CT17	B	1	PD05JAN18	2156.50	2128.85	USD	-691.25	
100CT17	S	5	PD05JAN18	2161.76	2128.85	USD	4,113.75	
	NET	0 AVG	BGHT 2155.60	SOLD 2161.76			770.00	0.00
100CT17	S	2	PD10JAN18	2166.00	2129.81	USD	1,809.50	
100CT17	B	2	PD10JAN18	2161.00	2129.81	USD	-1,559.50	
100CT17	B	1	PD10JAN18	2161.00	2129.81	USD	-779.75	
100CT17	B	1	PD10JAN18	2157.00	2129.81	USD	-679.75	
100CT17	B	1	PD10JAN18	2153.50	2129.81	USD	-592.25	
100CT17	S	1	PD10JAN18	2165.00	2129.81	USD	879.75	
100CT17	S	1	PD10JAN18	2153.00	2129.81	USD	579.75	
100CT17	S	1	PD10JAN18	2164.50	2129.81	USD	867.25	
	NET	0 AVG	BGHT 2158.70	SOLD 2162.90			525.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	2319.00	2552.35	USD	-5,833.75	
12JUL17	S	1	PD12OCT17	2315.50	2552.35	USD	-5,921.25	
12JUL17	S	2	PD12OCT17	2316.00	2552.35	USD	-11,817.50	
12JUL17	S	1	PD12OCT17	2323.00	2552.35	USD	-5,733.75	
12JUL17	B	1	PD12OCT17	2322.50	2552.35	USD	5,746.25	
12JUL17	S	1	PD12OCT17	2321.50	2552.35	USD	-5,771.25	
12JUL17	S	2	PD12OCT17	2318.00	2552.35	USD	-11,717.50	
12JUL17	S	1	PD12OCT17	2315.00	2552.35	USD	-5,933.75	
12JUL17	S	1	PD12OCT17	2314.50	2552.35	USD	-5,946.25	

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12JUL17	S	1	PD120CT17	2312.50	2552.35	USD	-5,996.25	
12JUL17	B	1	PD120CT17	2309.50	2552.35	USD	6,071.25	
12JUL17	S	3	PD120CT17	2316.50	2552.35	USD	-17,688.75	
12JUL17	S	1	PD120CT17	2318.50	2552.35	USD	-5,846.25	
12JUL17	S	1	PD120CT17	2319.50	2552.35	USD	-5,821.25	
12JUL17	S	3	PD120CT17	2320.00	2552.35	USD	-17,426.25	
12JUL17	S	1	PD120CT17	2326.50	2552.35	USD	-5,646.25	
12JUL17	S	1	PD120CT17	2337.00	2552.35	USD	-5,383.75	
12JUL17	S	1	PD120CT17	2338.50	2552.35	USD	-5,346.25	
12JUL17	B	1	PD120CT17	2331.00	2552.35	USD	5,533.75	
12JUL17	B	1	PD120CT17	2327.50	2552.35	USD	5,621.25	
12JUL17	B	1	PD120CT17	2327.00	2552.35	USD	5,633.75	
12JUL17	B	1	PD120CT17	2326.00	2552.35	USD	5,658.75	
12JUL17	B	1	PD120CT17	2330.00	2552.35	USD	5,558.75	
12JUL17	S	1	PD120CT17	2318.00	2552.35	USD	-5,858.75	
12JUL17	B	1	PD120CT17	2330.50	2552.35	USD	5,546.25	
12JUL17	B	1	PD120CT17	2324.00	2552.35	USD	5,708.75	
12JUL17	B	1	PD120CT17	2331.50	2552.35	USD	5,521.25	
12JUL17	B	1	PD120CT17	2324.50	2552.35	USD	5,696.25	
12JUL17	B	1	PD120CT17	2318.50	2552.35	USD	5,846.25	
12JUL17	B	2	PD120CT17	2316.50	2552.35	USD	11,792.50	
12JUL17	S	3	PD120CT17	2320.50	2552.35	USD	-17,388.75	
12JUL17	B	1	PD120CT17	2319.50	2552.35	USD	5,821.25	
12JUL17	S	1	PD120CT17	2322.00	2552.35	USD	-5,758.75	
12JUL17	S	5	PD120CT17	2324.00	2552.35	USD	-28,543.75	
12JUL17	S	2	PD120CT17	2323.50	2552.35	USD	-11,442.50	
12JUL17	S	9	PD120CT17	2322.50	2552.35	USD	-51,716.25	
12JUL17	S	1	PD120CT17	2325.00	2552.35	USD	-5,683.75	
12JUL17	S	1	PD120CT17	2325.50	2552.35	USD	-5,671.25	
12JUL17	S	1	PD120CT17	2326.00	2552.35	USD	-5,658.75	
12JUL17	S	1	PD120CT17	2328.50	2552.35	USD	-5,596.25	
12JUL17	S	1	PD120CT17	2330.00	2552.35	USD	-5,558.75	
12JUL17	B	2	PD120CT17	2323.50	2552.35	USD	11,442.50	
12JUL17	B	5	PD120CT17	2322.00	2552.35	USD	28,793.75	
12JUL17	B	2	PD120CT17	2318.00	2552.35	USD	11,717.50	
12JUL17	B	2	PD120CT17	2321.50	2552.35	USD	11,542.50	
12JUL17	B	2	PD120CT17	2320.00	2552.35	USD	11,617.50	
12JUL17	B	4	PD120CT17	2321.00	2552.35	USD	23,135.00	
12JUL17	B	4	PD120CT17	2320.50	2552.35	USD	23,185.00	
12JUL17	B	2	PD120CT17	2319.00	2552.35	USD	11,667.50	
12JUL17	B	1	PD120CT17	2325.50	2552.35	USD	5,671.25	
13JUL17	B	6	PD120CT17	2294.33	2552.35	USD	38,703.00	
18JUL17	B	3	PD120CT17	2273.98	2552.35	USD	20,877.75	
	NET	0	AVG BGHT 2315.59	SOLD 2321.76			7,402.00	0.00
13JUL17	B	2	PD130CT17	2322.00	2552.75	USD	11,537.50	
13JUL17	B	2	PD130CT17	2322.50	2552.75	USD	11,512.50	
13JUL17	B	1	PD130CT17	2324.50	2552.75	USD	5,706.25	
13JUL17	B	2	PD130CT17	2323.50	2552.75	USD	11,462.50	
13JUL17	B	4	PD130CT17	2323.00	2552.75	USD	22,975.00	
13JUL17	B	1	PD130CT17	2313.00	2552.75	USD	5,993.75	
13JUL17	S	1	PD130CT17	2319.00	2552.75	USD	-5,843.75	
13JUL17	B	1	PD130CT17	2326.50	2552.75	USD	5,656.25	
13JUL17	S	1	PD130CT17	2329.00	2552.75	USD	-5,593.75	
13JUL17	S	1	PD130CT17	2328.50	2552.75	USD	-5,606.25	
13JUL17	S	1	PD130CT17	2324.50	2552.75	USD	-5,706.25	
13JUL17	S	1	PD130CT17	2322.50	2552.75	USD	-5,756.25	
13JUL17	S	1	PD130CT17	2323.00	2552.75	USD	-5,743.75	

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13JUL17	B	1	PD130CT17	2319.50	2552.75	USD	5,831.25	
13JUL17	S	1	PD130CT17	2322.00	2552.75	USD	-5,768.75	
13JUL17	S	2	PD130CT17	2323.50	2552.75	USD	-11,462.50	
13JUL17	S	3	PD130CT17	2327.00	2552.75	USD	-16,931.25	
13JUL17	S	1	PD130CT17	2326.50	2552.75	USD	-5,656.25	
13JUL17	S	3	PD130CT17	2326.00	2552.75	USD	-17,006.25	
13JUL17	S	2	PD130CT17	2327.50	2552.75	USD	-11,262.50	
13JUL17	B	1	PD130CT17	2320.00	2552.75	USD	5,818.75	
13JUL17	B	2	PD130CT17	2319.00	2552.75	USD	11,687.50	
13JUL17	B	1	PD130CT17	2318.00	2552.75	USD	5,868.75	
13JUL17	B	2	PD130CT17	2321.00	2552.75	USD	11,587.50	
13JUL17	B	4	PD130CT17	2320.50	2552.75	USD	23,225.00	
13JUL17	B	1	PD130CT17	2315.50	2552.75	USD	5,931.25	
13JUL17	B	2	PD130CT17	2315.00	2552.75	USD	11,887.50	
13JUL17	B	1	PD130CT17	2314.00	2552.75	USD	5,968.75	
13JUL17	B	1	PD130CT17	2310.50	2552.75	USD	6,056.25	
13JUL17	B	1	PD130CT17	2305.50	2552.75	USD	6,181.25	
13JUL17	S	1	PD130CT17	2307.00	2552.75	USD	-6,143.75	
13JUL17	S	1	PD130CT17	2308.00	2552.75	USD	-6,118.75	
13JUL17	S	1	PD130CT17	2307.50	2552.75	USD	-6,131.25	
13JUL17	S	2	PD130CT17	2308.50	2552.75	USD	-12,212.50	
13JUL17	S	2	PD130CT17	2309.00	2552.75	USD	-12,187.50	
13JUL17	S	1	PD130CT17	2300.50	2552.75	USD	-6,306.25	
13JUL17	S	3	PD130CT17	2298.00	2552.75	USD	-19,106.25	
13JUL17	S	1	PD130CT17	2299.00	2552.75	USD	-6,343.75	
13JUL17	S	1	PD130CT17	2305.00	2552.75	USD	-6,193.75	
13JUL17	B	1	PD130CT17	2293.00	2552.75	USD	6,493.75	
13JUL17	B	1	PD130CT17	2294.50	2552.75	USD	6,456.25	
13JUL17	B	1	PD130CT17	2295.00	2552.75	USD	6,443.75	
13JUL17	B	1	PD130CT17	2294.00	2552.75	USD	6,468.75	
13JUL17	B	4	PD130CT17	2296.50	2552.75	USD	25,625.00	
13JUL17	B	2	PD130CT17	2296.00	2552.75	USD	12,837.50	
13JUL17	B	1	PD130CT17	2314.00	2552.75	USD	5,968.75	
13JUL17	B	2	PD130CT17	2291.50	2552.75	USD	13,062.50	
13JUL17	B	2	PD130CT17	2291.00	2552.75	USD	13,087.50	
13JUL17	B	1	PD130CT17	2290.00	2552.75	USD	6,568.75	
13JUL17	B	3	PD130CT17	2293.50	2552.75	USD	19,443.75	
13JUL17	B	1	PD130CT17	2290.50	2552.75	USD	6,556.25	
13JUL17	B	1	PD130CT17	2288.50	2552.75	USD	6,606.25	
13JUL17	S	1	PD130CT17	2294.00	2552.75	USD	-6,468.75	
13JUL17	S	1	PD130CT17	2290.00	2552.75	USD	-6,568.75	
13JUL17	S	1	PD130CT17	2291.50	2552.75	USD	-6,531.25	
13JUL17	S	2	PD130CT17	2288.50	2552.75	USD	-13,212.50	
13JUL17	S	2	PD130CT17	2289.00	2552.75	USD	-13,187.50	
13JUL17	B	1	PD130CT17	2292.50	2552.75	USD	6,506.25	
14JUL17	S	1	PD130CT17	2281.00	2552.75	USD	-6,793.75	
14JUL17	S	1	PD130CT17	2280.50	2552.75	USD	-6,806.25	
14JUL17	S	3	PD130CT17	2282.00	2552.75	USD	-20,306.25	
14JUL17	B	2	PD130CT17	2285.00	2552.75	USD	13,387.50	
14JUL17	S	3	PD130CT17	2287.50	2552.75	USD	-19,893.75	
14JUL17	S	2	PD130CT17	2289.50	2552.75	USD	-13,162.50	
14JUL17	S	3	PD130CT17	2287.00	2552.75	USD	-19,931.25	
14JUL17	B	2	PD130CT17	2283.50	2552.75	USD	13,462.50	
14JUL17	B	1	PD130CT17	2280.00	2552.75	USD	6,818.75	
14JUL17	B	1	PD130CT17	2276.00	2552.75	USD	6,918.75	
14JUL17	B	1	PD130CT17	2275.50	2552.75	USD	6,931.25	
14JUL17	S	1	PD130CT17	2277.00	2552.75	USD	-6,893.75	

OPEN POSITION STATEMENT



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SAP0112C

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14JUL17	B	1	PD130CT17	2278.00	2552.75	USD	6,868.75	
14JUL17	S	1	PD130CT17	2285.50	2552.75	USD	-6,681.25	
14JUL17	B	2	PD130CT17	2277.00	2552.75	USD	13,787.50	
14JUL17	B	2	PD130CT17	2277.50	2552.75	USD	13,762.50	
14JUL17	B	2	PD130CT17	2275.00	2552.75	USD	13,887.50	
14JUL17	S	2	PD130CT17	2273.50	2552.75	USD	-13,962.50	
14JUL17	B	1	PD130CT17	2273.50	2552.75	USD	6,981.25	
14JUL17	B	1	PD130CT17	2272.50	2552.75	USD	7,006.25	
14JUL17	B	1	PD130CT17	2271.00	2552.75	USD	7,043.75	
14JUL17	B	1	PD130CT17	2270.50	2552.75	USD	7,056.25	
14JUL17	B	2	PD130CT17	2270.00	2552.75	USD	14,137.50	
14JUL17	B	1	PD130CT17	2266.00	2552.75	USD	7,168.75	
14JUL17	S	1	PD130CT17	2276.50	2552.75	USD	-6,906.25	
14JUL17	B	2	PD130CT17	2276.50	2552.75	USD	13,812.50	
14JUL17	S	2	PD130CT17	2279.50	2552.75	USD	-13,662.50	
14JUL17	S	3	PD130CT17	2281.50	2552.75	USD	-20,343.75	
14JUL17	B	1	PD130CT17	2285.50	2552.75	USD	6,681.25	
14JUL17	B	1	PD130CT17	2280.50	2552.75	USD	6,806.25	
14JUL17	B	1	PD130CT17	2281.00	2552.75	USD	6,793.75	
14JUL17	S	2	PD130CT17	2284.00	2552.75	USD	-13,437.50	
14JUL17	B	1	PD130CT17	2287.50	2552.75	USD	6,631.25	
14JUL17	S	3	PD130CT17	2289.00	2552.75	USD	-19,781.25	
14JUL17	S	1	PD130CT17	2286.00	2552.75	USD	-6,668.75	
14JUL17	S	1	PD130CT17	2288.50	2552.75	USD	-6,606.25	
14JUL17	S	2	PD130CT17	2290.50	2552.75	USD	-13,112.50	
14JUL17	S	1	PD130CT17	2291.50	2552.75	USD	-6,531.25	
14JUL17	S	1	PD130CT17	2293.50	2552.75	USD	-6,481.25	
14JUL17	S	1	PD130CT17	2295.50	2552.75	USD	-6,431.25	
14JUL17	S	1	PD130CT17	2297.00	2552.75	USD	-6,393.75	
14JUL17	S	1	PD130CT17	2296.50	2552.75	USD	-6,406.25	
14JUL17	S	1	PD130CT17	2298.50	2552.75	USD	-6,356.25	
14JUL17	S	2	PD130CT17	2298.00	2552.75	USD	-12,737.50	
14JUL17	S	2	PD130CT17	2299.00	2552.75	USD	-12,687.50	
14JUL17	S	1	PD130CT17	2304.50	2552.75	USD	-6,206.25	
14JUL17	B	1	PD130CT17	2301.50	2552.75	USD	6,281.25	
14JUL17	B	1	PD130CT17	2297.00	2552.75	USD	6,393.75	
14JUL17	B	1	PD130CT17	2298.50	2552.75	USD	6,356.25	
14JUL17	S	1	PD130CT17	2294.50	2552.75	USD	-6,456.25	
14JUL17	S	1	PD130CT17	2296.00	2552.75	USD	-6,418.75	
14JUL17	S	2	PD130CT17	2301.50	2552.75	USD	-12,562.50	
14JUL17	S	1	PD130CT17	2297.50	2552.75	USD	-6,381.25	
14JUL17	S	1	PD130CT17	2302.00	2552.75	USD	-6,268.75	
14JUL17	S	3	PD130CT17	2301.00	2552.75	USD	-18,881.25	
14JUL17	S	1	PD130CT17	2286.00	2552.75	USD	-6,668.75	
14JUL17	S	1	PD130CT17	2288.00	2552.75	USD	-6,618.75	
14JUL17	S	3	PD130CT17	2305.50	2552.75	USD	-18,543.75	
14JUL17	B	1	PD130CT17	2314.00	2552.75	USD	5,968.75	
14JUL17	B	1	PD130CT17	2310.50	2552.75	USD	6,056.25	
14JUL17	B	2	PD130CT17	2312.50	2552.75	USD	12,012.50	
14JUL17	B	2	PD130CT17	2316.00	2552.75	USD	11,837.50	
18JUL17	B	6	PD130CT17	2274.15	2552.75	USD	41,790.00	
19JUL17	B	1	PD130CT17	2216.52	2552.75	USD	8,405.75	
	NET	0	AVG BGHT 2296.73	SOLD 2298.84			5,027.00	0.00
17JUL17	B	1	PD170CT17	2318.50	2554.35	USD	5,896.25	
17JUL17	S	1	PD170CT17	2324.00	2554.35	USD	-5,758.75	
17JUL17	S	2	PD170CT17	2323.50	2554.35	USD	-11,542.50	
17JUL17	S	3	PD170CT17	2325.50	2554.35	USD	-17,163.75	

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17JUL17	S	2	PD17OCT17	2329.50	2554.35	USD	-11,242.50	
17JUL17	B	1	PD17OCT17	2319.50	2554.35	USD	5,871.25	
17JUL17	S	1	PD17OCT17	2319.50	2554.35	USD	-5,871.25	
17JUL17	B	1	PD17OCT17	2332.00	2554.35	USD	5,558.75	
17JUL17	B	1	PD17OCT17	2323.50	2554.35	USD	5,771.25	
17JUL17	B	2	PD17OCT17	2324.00	2554.35	USD	11,517.50	
17JUL17	B	4	PD17OCT17	2322.00	2554.35	USD	23,235.00	
17JUL17	B	1	PD17OCT17	2320.50	2554.35	USD	5,846.25	
17JUL17	B	2	PD17OCT17	2321.00	2554.35	USD	11,667.50	
17JUL17	S	1	PD17OCT17	2317.00	2554.35	USD	-5,933.75	
17JUL17	B	2	PD17OCT17	2316.50	2554.35	USD	11,892.50	
17JUL17	B	4	PD17OCT17	2320.00	2554.35	USD	23,435.00	
17JUL17	S	1	PD17OCT17	2320.00	2554.35	USD	-5,858.75	
17JUL17	S	1	PD17OCT17	2322.00	2554.35	USD	-5,808.75	
17JUL17	S	1	PD17OCT17	2324.50	2554.35	USD	-5,746.25	
17JUL17	B	2	PD17OCT17	2317.50	2554.35	USD	11,842.50	
17JUL17	B	1	PD17OCT17	2317.00	2554.35	USD	5,933.75	
17JUL17	B	1	PD17OCT17	2314.50	2554.35	USD	5,996.25	
17JUL17	S	1	PD17OCT17	2319.00	2554.35	USD	-5,883.75	
17JUL17	B	1	PD17OCT17	2313.50	2554.35	USD	6,021.25	
17JUL17	B	1	PD17OCT17	2306.00	2554.35	USD	6,208.75	
17JUL17	B	1	PD17OCT17	2304.50	2554.35	USD	6,246.25	
17JUL17	B	1	PD17OCT17	2302.50	2554.35	USD	6,296.25	
17JUL17	S	1	PD17OCT17	2296.00	2554.35	USD	-6,458.75	
17JUL17	S	3	PD17OCT17	2293.50	2554.35	USD	-19,563.75	
17JUL17	S	1	PD17OCT17	2294.50	2554.35	USD	-6,496.25	
17JUL17	S	1	PD17OCT17	2299.00	2554.35	USD	-6,383.75	
17JUL17	S	1	PD17OCT17	2295.00	2554.35	USD	-6,483.75	
18JUL17	S	9	PD17OCT17	2274.63	2554.35	USD	-62,937.00	
19JUL17	B	3	PD17OCT17	2217.30	2554.35	USD	25,278.75	
	NET	0	AVG BGHT 2308.33	SOLD 2302.17			-4,618.25	0.00
18JUL17	S	1	PD18OCT17	2304.00	2554.75	USD	-6,268.75	
18JUL17	B	1	PD18OCT17	2295.00	2554.75	USD	6,493.75	
18JUL17	S	2	PD18OCT17	2289.50	2554.75	USD	-13,262.50	
18JUL17	B	3	PD18OCT17	2285.50	2554.75	USD	20,193.75	
18JUL17	B	2	PD18OCT17	2286.50	2554.75	USD	13,412.50	
18JUL17	B	1	PD18OCT17	2288.00	2554.75	USD	6,668.75	
18JUL17	B	2	PD18OCT17	2287.50	2554.75	USD	13,362.50	
18JUL17	B	3	PD18OCT17	2289.00	2554.75	USD	19,931.25	
18JUL17	B	2	PD18OCT17	2288.50	2554.75	USD	13,312.50	
18JUL17	B	2	PD18OCT17	2285.00	2554.75	USD	13,487.50	
18JUL17	S	1	PD18OCT17	2288.50	2554.75	USD	-6,656.25	
18JUL17	B	1	PD18OCT17	2293.00	2554.75	USD	6,543.75	
18JUL17	B	1	PD18OCT17	2292.50	2554.75	USD	6,556.25	
18JUL17	B	1	PD18OCT17	2290.00	2554.75	USD	6,618.75	
18JUL17	S	1	PD18OCT17	2284.00	2554.75	USD	-6,768.75	
18JUL17	B	1	PD18OCT17	2281.00	2554.75	USD	6,843.75	
18JUL17	B	1	PD18OCT17	2280.00	2554.75	USD	6,868.75	
18JUL17	B	1	PD18OCT17	2287.50	2554.75	USD	6,681.25	
18JUL17	B	1	PD18OCT17	2288.00	2554.75	USD	6,668.75	
18JUL17	B	1	PD18OCT17	2281.50	2554.75	USD	6,831.25	
18JUL17	B	1	PD18OCT17	2279.00	2554.75	USD	6,893.75	
18JUL17	B	2	PD18OCT17	2279.00	2554.75	USD	13,787.50	
18JUL17	B	1	PD18OCT17	2278.50	2554.75	USD	6,906.25	
18JUL17	S	1	PD18OCT17	2272.50	2554.75	USD	-7,056.25	
18JUL17	S	1	PD18OCT17	2269.00	2554.75	USD	-7,143.75	
18JUL17	S	1	PD18OCT17	2269.50	2554.75	USD	-7,131.25	

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18JUL17	S	2	PD18OCT17	2274.50	2554.75	USD	-14,012.50	
18JUL17	S	1	PD18OCT17	2277.50	2554.75	USD	-6,931.25	
18JUL17	S	2	PD18OCT17	2278.00	2554.75	USD	-13,837.50	
18JUL17	S	1	PD18OCT17	2273.00	2554.75	USD	-7,043.75	
18JUL17	B	1	PD18OCT17	2269.50	2554.75	USD	7,131.25	
18JUL17	B	1	PD18OCT17	2271.00	2554.75	USD	7,093.75	
18JUL17	B	1	PD18OCT17	2268.00	2554.75	USD	7,168.75	
18JUL17	S	1	PD18OCT17	2267.50	2554.75	USD	-7,181.25	
18JUL17	S	1	PD18OCT17	2273.00	2554.75	USD	-7,043.75	
18JUL17	S	1	PD18OCT17	2271.50	2554.75	USD	-7,081.25	
18JUL17	B	1	PD18OCT17	2270.00	2554.75	USD	7,118.75	
18JUL17	S	4	PD18OCT17	2274.00	2554.75	USD	-28,075.00	
18JUL17	S	3	PD18OCT17	2275.00	2554.75	USD	-20,981.25	
18JUL17	S	1	PD18OCT17	2277.00	2554.75	USD	-6,943.75	
18JUL17	S	2	PD18OCT17	2276.50	2554.75	USD	-13,912.50	
19JUL17	S	4	PD18OCT17	2217.30	2554.75	USD	-33,745.00	
24JUL17	S	1	PD18OCT17	2259.25	2554.75	USD	-7,387.50	
	NET	0AVG BGHT 2284.03	SOLD 2269.17				-11,888.75	0.00
19JUL17	B	2	PD19OCT17	2263.50	2554.89	USD	14,569.50	
19JUL17	B	1	PD19OCT17	2264.50	2554.89	USD	7,259.75	
19JUL17	B	2	PD19OCT17	2265.50	2554.89	USD	14,469.50	
19JUL17	B	1	PD19OCT17	2262.00	2554.89	USD	7,322.25	
19JUL17	S	1	PD19OCT17	2271.00	2554.89	USD	-7,097.25	
19JUL17	S	2	PD19OCT17	2271.50	2554.89	USD	-14,169.50	
19JUL17	S	2	PD19OCT17	2272.00	2554.89	USD	-14,144.50	
19JUL17	S	2	PD19OCT17	2273.00	2554.89	USD	-14,094.50	
19JUL17	S	1	PD19OCT17	2275.00	2554.89	USD	-6,997.25	
19JUL17	S	2	PD19OCT17	2268.50	2554.89	USD	-14,319.50	
19JUL17	S	1	PD19OCT17	2265.00	2554.89	USD	-7,247.25	
19JUL17	B	2	PD19OCT17	2261.50	2554.89	USD	14,669.50	
19JUL17	B	2	PD19OCT17	2260.00	2554.89	USD	14,744.50	
19JUL17	B	1	PD19OCT17	2257.00	2554.89	USD	7,447.25	
19JUL17	B	2	PD19OCT17	2258.50	2554.89	USD	14,819.50	
19JUL17	B	1	PD19OCT17	2257.50	2554.89	USD	7,434.75	
19JUL17	B	1	PD19OCT17	2254.00	2554.89	USD	7,522.25	
19JUL17	B	1	PD19OCT17	2256.50	2554.89	USD	7,459.75	
19JUL17	B	1	PD19OCT17	2255.00	2554.89	USD	7,497.25	
19JUL17	B	4	PD19OCT17	2256.00	2554.89	USD	29,889.00	
19JUL17	B	4	PD19OCT17	2254.50	2554.89	USD	30,039.00	
19JUL17	B	1	PD19OCT17	2249.50	2554.89	USD	7,634.75	
19JUL17	B	2	PD19OCT17	2245.00	2554.89	USD	15,494.50	
19JUL17	B	1	PD19OCT17	2274.00	2554.89	USD	7,022.25	
19JUL17	B	2	PD19OCT17	2240.50	2554.89	USD	15,719.50	
19JUL17	S	1	PD19OCT17	2243.00	2554.89	USD	-7,797.25	
19JUL17	S	1	PD19OCT17	2243.50	2554.89	USD	-7,784.75	
19JUL17	B	1	PD19OCT17	2232.50	2554.89	USD	8,059.75	
19JUL17	B	1	PD19OCT17	2228.50	2554.89	USD	8,159.75	
19JUL17	S	1	PD19OCT17	2226.00	2554.89	USD	-8,222.25	
19JUL17	B	1	PD19OCT17	2230.00	2554.89	USD	8,122.25	
19JUL17	S	1	PD19OCT17	2227.50	2554.89	USD	-8,184.75	
19JUL17	S	1	PD19OCT17	2221.00	2554.89	USD	-8,347.25	
19JUL17	S	1	PD19OCT17	2220.50	2554.89	USD	-8,359.75	
19JUL17	S	1	PD19OCT17	2217.00	2554.89	USD	-8,447.25	
19JUL17	S	1	PD19OCT17	2228.00	2554.89	USD	-8,172.25	
19JUL17	S	2	PD19OCT17	2212.00	2554.89	USD	-17,144.50	
19JUL17	S	2	PD19OCT17	2213.00	2554.89	USD	-17,094.50	
19JUL17	S	2	PD19OCT17	2211.00	2554.89	USD	-17,194.50	

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24JUL17	S	9	PD19OCT17	2259.38	2554.89	USD	-66,489.75	
	NET	0 AVG BGHT 2254.47	SOLD 2247.47				-5,952.00	0.00
20JUL17	S	1	PD20OCT17	2230.00	2555.04	USD	-8,126.00	
20JUL17	S	5	PD20OCT17	2227.00	2555.04	USD	-41,005.00	
20JUL17	S	4	PD20OCT17	2226.50	2555.04	USD	-32,854.00	
20JUL17	S	1	PD20OCT17	2223.00	2555.04	USD	-8,301.00	
20JUL17	S	1	PD20OCT17	2225.50	2555.04	USD	-8,238.50	
20JUL17	S	1	PD20OCT17	2224.50	2555.04	USD	-8,263.50	
20JUL17	S	1	PD20OCT17	2223.50	2555.04	USD	-8,288.50	
20JUL17	S	3	PD20OCT17	2224.00	2555.04	USD	-24,828.00	
20JUL17	B	1	PD20OCT17	2223.00	2555.04	USD	8,301.00	
20JUL17	B	1	PD20OCT17	2230.00	2555.04	USD	8,126.00	
20JUL17	B	2	PD20OCT17	2226.50	2555.04	USD	16,427.00	
20JUL17	B	1	PD20OCT17	2225.50	2555.04	USD	8,238.50	
20JUL17	B	1	PD20OCT17	2219.00	2555.04	USD	8,401.00	
20JUL17	B	1	PD20OCT17	2218.00	2555.04	USD	8,426.00	
20JUL17	S	2	PD20OCT17	2222.00	2555.04	USD	-16,652.00	
20JUL17	S	1	PD20OCT17	2228.50	2555.04	USD	-8,163.50	
20JUL17	S	1	PD20OCT17	2227.50	2555.04	USD	-8,188.50	
20JUL17	S	2	PD20OCT17	2226.00	2555.04	USD	-16,452.00	
20JUL17	S	1	PD20OCT17	2231.50	2555.04	USD	-8,088.50	
20JUL17	B	1	PD20OCT17	2228.50	2555.04	USD	8,163.50	
20JUL17	B	2	PD20OCT17	2227.50	2555.04	USD	16,377.00	
20JUL17	B	2	PD20OCT17	2225.00	2555.04	USD	16,502.00	
20JUL17	B	2	PD20OCT17	2223.50	2555.04	USD	16,577.00	
20JUL17	B	1	PD20OCT17	2222.00	2555.04	USD	8,326.00	
20JUL17	B	1	PD20OCT17	2222.50	2555.04	USD	8,313.50	
20JUL17	B	2	PD20OCT17	2221.00	2555.04	USD	16,702.00	
20JUL17	S	1	PD20OCT17	2229.50	2555.04	USD	-8,138.50	
20JUL17	S	1	PD20OCT17	2215.50	2555.04	USD	-8,488.50	
20JUL17	B	1	PD20OCT17	2212.00	2555.04	USD	8,576.00	
20JUL17	B	1	PD20OCT17	2211.50	2555.04	USD	8,588.50	
20JUL17	B	1	PD20OCT17	2211.00	2555.04	USD	8,601.00	
20JUL17	B	2	PD20OCT17	2210.50	2555.04	USD	17,227.00	
20JUL17	S	2	PD20OCT17	2205.00	2555.04	USD	-17,502.00	
20JUL17	S	4	PD20OCT17	2206.00	2555.04	USD	-34,904.00	
20JUL17	S	1	PD20OCT17	2206.50	2555.04	USD	-8,713.50	
20JUL17	S	1	PD20OCT17	2207.50	2555.04	USD	-8,688.50	
20JUL17	S	2	PD20OCT17	2208.00	2555.04	USD	-17,352.00	
20JUL17	S	1	PD20OCT17	2213.50	2555.04	USD	-8,538.50	
20JUL17	S	1	PD20OCT17	2219.50	2555.04	USD	-8,388.50	
21JUL17	S	1	PD20OCT17	2236.00	2555.04	USD	-7,976.00	
21JUL17	B	1	PD20OCT17	2235.00	2555.04	USD	8,001.00	
21JUL17	S	2	PD20OCT17	2235.50	2555.04	USD	-15,977.00	
21JUL17	S	1	PD20OCT17	2236.50	2555.04	USD	-7,963.50	
21JUL17	S	1	PD20OCT17	2239.50	2555.04	USD	-7,888.50	
21JUL17	S	1	PD20OCT17	2240.00	2555.04	USD	-7,876.00	
21JUL17	S	1	PD20OCT17	2241.00	2555.04	USD	-7,851.00	
21JUL17	S	1	PD20OCT17	2241.50	2555.04	USD	-7,838.50	
21JUL17	S	1	PD20OCT17	2244.00	2555.04	USD	-7,776.00	
21JUL17	S	1	PD20OCT17	2244.50	2555.04	USD	-7,763.50	
21JUL17	S	2	PD20OCT17	2245.00	2555.04	USD	-15,502.00	
21JUL17	S	1	PD20OCT17	2245.50	2555.04	USD	-7,738.50	
21JUL17	S	1	PD20OCT17	2236.00	2555.04	USD	-7,976.00	
21JUL17	B	1	PD20OCT17	2251.50	2555.04	USD	7,588.50	
21JUL17	S	2	PD20OCT17	2238.50	2555.04	USD	-15,827.00	
21JUL17	B	1	PD20OCT17	2238.00	2555.04	USD	7,926.00	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21JUL17	B	1	PD200CT17	2237.50	2555.04	USD	7,938.50	
21JUL17	S	1	PD200CT17	2242.00	2555.04	USD	-7,826.00	
21JUL17	B	1	PD200CT17	2237.00	2555.04	USD	7,951.00	
21JUL17	B	3	PD200CT17	2238.50	2555.04	USD	23,740.50	
21JUL17	S	1	PD200CT17	2239.00	2555.04	USD	-7,901.00	
21JUL17	B	1	PD200CT17	2237.50	2555.04	USD	7,938.50	
21JUL17	B	4	PD200CT17	2234.50	2555.04	USD	32,054.00	
21JUL17	B	2	PD200CT17	2229.50	2555.04	USD	16,277.00	
21JUL17	B	1	PD200CT17	2228.00	2555.04	USD	8,176.00	
21JUL17	B	2	PD200CT17	2240.00	2555.04	USD	15,752.00	
21JUL17	B	4	PD200CT17	2240.50	2555.04	USD	31,454.00	
21JUL17	B	1	PD200CT17	2239.50	2555.04	USD	7,888.50	
24JUL17	B	10	PD200CT17	2259.70	2555.04	USD	73,835.00	
	NET	0 AVG	BGHT 2234.76	SOLD 2226.58			-11,450.00	0.00
24JUL17	B	1	PD240CT17	2262.50	2555.61	USD	7,327.75	
24JUL17	B	2	PD240CT17	2255.50	2555.61	USD	15,005.50	
24JUL17	B	1	PD240CT17	2253.00	2555.61	USD	7,565.25	
24JUL17	B	1	PD240CT17	2252.00	2555.61	USD	7,590.25	
24JUL17	B	2	PD240CT17	2251.00	2555.61	USD	15,230.50	
24JUL17	S	1	PD240CT17	2255.50	2555.61	USD	-7,502.75	
24JUL17	S	3	PD240CT17	2255.00	2555.61	USD	-22,545.75	
24JUL17	S	1	PD240CT17	2264.50	2555.61	USD	-7,277.75	
24JUL17	S	1	PD240CT17	2265.50	2555.61	USD	-7,252.75	
24JUL17	S	1	PD240CT17	2262.00	2555.61	USD	-7,340.25	
24JUL17	S	1	PD240CT17	2262.50	2555.61	USD	-7,327.75	
24JUL17	S	1	PD240CT17	2263.00	2555.61	USD	-7,315.25	
24JUL17	S	1	PD240CT17	2263.50	2555.61	USD	-7,302.75	
24JUL17	S	1	PD240CT17	2256.50	2555.61	USD	-7,477.75	
24JUL17	B	1	PD240CT17	2258.50	2555.61	USD	7,427.75	
24JUL17	B	1	PD240CT17	2253.50	2555.61	USD	7,552.75	
24JUL17	B	1	PD240CT17	2254.00	2555.61	USD	7,540.25	
24JUL17	B	1	PD240CT17	2252.50	2555.61	USD	7,577.75	
24JUL17	B	1	PD240CT17	2248.00	2555.61	USD	7,690.25	
24JUL17	S	1	PD240CT17	2251.00	2555.61	USD	-7,615.25	
24JUL17	S	1	PD240CT17	2252.50	2555.61	USD	-7,577.75	
24JUL17	S	1	PD240CT17	2256.00	2555.61	USD	-7,490.25	
26JUL17	B	2	PD240CT17	2328.00	2555.61	USD	11,380.50	
	NET	0 AVG	BGHT 2264.50	SOLD 2258.39			-2,137.50	0.00
25JUL17	B	1	PD250CT17	2272.00	2555.75	USD	7,093.75	
25JUL17	B	1	PD250CT17	2273.00	2555.75	USD	7,068.75	
25JUL17	B	1	PD250CT17	2276.00	2555.75	USD	6,993.75	
25JUL17	S	2	PD250CT17	2285.50	2555.75	USD	-13,512.50	
25JUL17	S	2	PD250CT17	2283.00	2555.75	USD	-13,637.50	
25JUL17	S	2	PD250CT17	2286.50	2555.75	USD	-13,462.50	
25JUL17	S	1	PD250CT17	2289.50	2555.75	USD	-6,656.25	
25JUL17	S	1	PD250CT17	2296.00	2555.75	USD	-6,493.75	
25JUL17	B	1	PD250CT17	2296.00	2555.75	USD	6,493.75	
25JUL17	B	1	PD250CT17	2290.00	2555.75	USD	6,643.75	
25JUL17	B	1	PD250CT17	2298.00	2555.75	USD	6,443.75	
25JUL17	B	1	PD250CT17	2300.50	2555.75	USD	6,381.25	
25JUL17	B	1	PD250CT17	2301.50	2555.75	USD	6,356.25	
25JUL17	B	1	PD250CT17	2308.50	2555.75	USD	6,181.25	
25JUL17	B	1	PD250CT17	2307.50	2555.75	USD	6,206.25	
25JUL17	S	1	PD250CT17	2306.00	2555.75	USD	-6,243.75	
25JUL17	B	1	PD250CT17	2298.50	2555.75	USD	6,431.25	
25JUL17	S	1	PD250CT17	2317.50	2555.75	USD	-5,956.25	
25JUL17	B	1	PD250CT17	2313.50	2555.75	USD	6,056.25	

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25JUL17	B	1	PD250CT17	2315.00	2555.75	USD	6,018.75	
26JUL17	S	2	PD250CT17	2327.92	2555.75	USD	-11,391.50	
01AUG17	S	1	PD250CT17	2337.25	2555.75	USD	-5,462.50	
	NET	0AVG BGHT 2296.15	SOLD 2300.93				1,552.25	0.00
26JUL17	S	1	PD260CT17	2339.00	2555.89	USD	-5,422.25	
26JUL17	B	1	PD260CT17	2332.00	2555.89	USD	5,597.25	
26JUL17	S	2	PD260CT17	2327.50	2555.89	USD	-11,419.50	
26JUL17	S	1	PD260CT17	2318.50	2555.89	USD	-5,934.75	
26JUL17	S	1	PD260CT17	2322.50	2555.89	USD	-5,834.75	
26JUL17	B	1	PD260CT17	2312.00	2555.89	USD	6,097.25	
26JUL17	B	1	PD260CT17	2311.50	2555.89	USD	6,109.75	
26JUL17	B	1	PD260CT17	2315.50	2555.89	USD	6,009.75	
26JUL17	B	1	PD260CT17	2314.00	2555.89	USD	6,047.25	
26JUL17	B	1	PD260CT17	2314.50	2555.89	USD	6,034.75	
26JUL17	B	2	PD260CT17	2315.00	2555.89	USD	12,044.50	
26JUL17	B	1	PD260CT17	2313.50	2555.89	USD	6,059.75	
26JUL17	B	1	PD260CT17	2306.50	2555.89	USD	6,234.75	
26JUL17	S	2	PD260CT17	2316.00	2555.89	USD	-11,994.50	
26JUL17	S	1	PD260CT17	2319.50	2555.89	USD	-5,909.75	
26JUL17	S	1	PD260CT17	2335.00	2555.89	USD	-5,522.25	
26JUL17	S	1	PD260CT17	2332.00	2555.89	USD	-5,597.25	
26JUL17	S	2	PD260CT17	2336.00	2555.89	USD	-10,994.50	
26JUL17	B	2	PD260CT17	2317.50	2555.89	USD	11,919.50	
26JUL17	S	1	PD260CT17	2307.50	2555.89	USD	-6,209.75	
01AUG17	B	1	PD260CT17	2337.56	2555.89	USD	5,458.25	
	NET	0AVG BGHT 2317.08	SOLD 2325.62				2,773.50	0.00
27JUL17	S	2	PD270CT17	2326.00	2556.04	USD	-11,502.00	
27JUL17	S	1	PD270CT17	2326.50	2556.04	USD	-5,738.50	
27JUL17	B	1	PD270CT17	2330.00	2556.04	USD	5,651.00	
27JUL17	B	1	PD270CT17	2334.00	2556.04	USD	5,551.00	
27JUL17	B	2	PD270CT17	2331.50	2556.04	USD	11,227.00	
27JUL17	B	1	PD270CT17	2330.50	2556.04	USD	5,638.50	
27JUL17	B	2	PD270CT17	2329.00	2556.04	USD	11,352.00	
27JUL17	S	1	PD270CT17	2325.00	2556.04	USD	-5,776.00	
27JUL17	B	1	PD270CT17	2303.00	2556.04	USD	6,326.00	
27JUL17	B	1	PD270CT17	2300.50	2556.04	USD	6,388.50	
27JUL17	B	2	PD270CT17	2302.00	2556.04	USD	12,702.00	
27JUL17	B	1	PD270CT17	2307.00	2556.04	USD	6,226.00	
27JUL17	B	1	PD270CT17	2306.00	2556.04	USD	6,251.00	
27JUL17	B	1	PD270CT17	2305.50	2556.04	USD	6,263.50	
27JUL17	B	1	PD270CT17	2306.50	2556.04	USD	6,238.50	
27JUL17	B	1	PD270CT17	2298.50	2556.04	USD	6,438.50	
27JUL17	S	2	PD270CT17	2313.00	2556.04	USD	-12,152.00	
27JUL17	S	1	PD270CT17	2304.50	2556.04	USD	-6,288.50	
27JUL17	S	1	PD270CT17	2304.00	2556.04	USD	-6,301.00	
28JUL17	S	1	PD270CT17	2296.00	2556.04	USD	-6,501.00	
28JUL17	S	2	PD270CT17	2294.00	2556.04	USD	-13,102.00	
28JUL17	S	3	PD270CT17	2294.50	2556.04	USD	-19,615.50	
28JUL17	S	2	PD270CT17	2295.00	2556.04	USD	-13,052.00	
28JUL17	S	2	PD270CT17	2299.00	2556.04	USD	-12,852.00	
28JUL17	S	2	PD270CT17	2299.50	2556.04	USD	-12,827.00	
28JUL17	S	1	PD270CT17	2297.00	2556.04	USD	-6,476.00	
28JUL17	S	1	PD270CT17	2301.00	2556.04	USD	-6,376.00	
28JUL17	B	1	PD270CT17	2293.00	2556.04	USD	6,576.00	
28JUL17	B	1	PD270CT17	2293.50	2556.04	USD	6,563.50	
28JUL17	S	1	PD270CT17	2303.00	2556.04	USD	-6,326.00	
28JUL17	B	1	PD270CT17	2291.00	2556.04	USD	6,626.00	

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28JUL17	B	2	PD27OCT17	2314.50	2556.04	USD	12,077.00	
28JUL17	B	1	PD27OCT17	2324.50	2556.04	USD	5,788.50	
28JUL17	B	1	PD27OCT17	2322.50	2556.04	USD	5,838.50	
	NET	0AVG BGHT 2313.04	SOLD 2304.07				-5,162.50	0.00
31JUL17	B	1	PD31OCT17	2335.50	2556.61	USD	5,527.75	
31JUL17	S	1	PD31OCT17	2328.50	2556.61	USD	-5,702.75	
31JUL17	S	1	PD31OCT17	2329.50	2556.61	USD	-5,677.75	
31JUL17	B	1	PD31OCT17	2334.00	2556.61	USD	5,565.25	
31JUL17	S	1	PD31OCT17	2343.00	2556.61	USD	-5,340.25	
31JUL17	S	2	PD31OCT17	2344.50	2556.61	USD	-10,605.50	
31JUL17	S	1	PD31OCT17	2338.00	2556.61	USD	-5,465.25	
31JUL17	B	1	PD31OCT17	2330.50	2556.61	USD	5,652.75	
31JUL17	B	1	PD31OCT17	2326.00	2556.61	USD	5,765.25	
31JUL17	B	1	PD31OCT17	2325.50	2556.61	USD	5,777.75	
31JUL17	B	1	PD31OCT17	2326.50	2556.61	USD	5,752.75	
31JUL17	B	1	PD31OCT17	2325.00	2556.61	USD	5,790.25	
31JUL17	B	1	PD31OCT17	2324.50	2556.61	USD	5,802.75	
31JUL17	S	1	PD31OCT17	2332.50	2556.61	USD	-5,602.75	
31JUL17	B	2	PD31OCT17	2327.50	2556.61	USD	11,455.50	
31JUL17	S	3	PD31OCT17	2329.00	2556.61	USD	-17,070.75	
31JUL17	S	1	PD31OCT17	2321.50	2556.61	USD	-5,877.75	
31JUL17	S	1	PD31OCT17	2324.00	2556.61	USD	-5,815.25	
31JUL17	S	1	PD31OCT17	2336.00	2556.61	USD	-5,515.25	
31JUL17	S	1	PD31OCT17	2331.50	2556.61	USD	-5,627.75	
31JUL17	B	1	PD31OCT17	2333.00	2556.61	USD	5,590.25	
31JUL17	S	2	PD31OCT17	2330.00	2556.61	USD	-11,330.50	
31JUL17	S	5	PD31OCT17	2330.50	2556.61	USD	-28,263.75	
03AUG17	B	10	PD31OCT17	2361.00	2556.61	USD	48,902.50	
	NET	0AVG BGHT 2344.07	SOLD 2332.05				-6,312.50	0.00
01AUG17	B	1	PD01NOV17	2314.50	2556.75	USD	6,056.25	
01AUG17	B	1	PD01NOV17	2313.50	2556.75	USD	6,081.25	
01AUG17	B	3	PD01NOV17	2315.00	2556.75	USD	18,131.25	
01AUG17	B	1	PD01NOV17	2312.50	2556.75	USD	6,106.25	
01AUG17	B	1	PD01NOV17	2314.00	2556.75	USD	6,068.75	
01AUG17	S	1	PD01NOV17	2314.50	2556.75	USD	-6,056.25	
01AUG17	B	1	PD01NOV17	2313.00	2556.75	USD	6,093.75	
01AUG17	B	2	PD01NOV17	2312.00	2556.75	USD	12,237.50	
01AUG17	B	1	PD01NOV17	2308.00	2556.75	USD	6,218.75	
01AUG17	B	1	PD01NOV17	2303.50	2556.75	USD	6,331.25	
01AUG17	S	1	PD01NOV17	2311.00	2556.75	USD	-6,143.75	
01AUG17	S	1	PD01NOV17	2322.50	2556.75	USD	-5,856.25	
01AUG17	S	1	PD01NOV17	2320.50	2556.75	USD	-5,906.25	
01AUG17	S	1	PD01NOV17	2321.00	2556.75	USD	-5,893.75	
01AUG17	S	1	PD01NOV17	2330.00	2556.75	USD	-5,668.75	
01AUG17	S	2	PD01NOV17	2331.00	2556.75	USD	-11,287.50	
01AUG17	S	1	PD01NOV17	2332.00	2556.75	USD	-5,618.75	
01AUG17	S	1	PD01NOV17	2332.50	2556.75	USD	-5,606.25	
01AUG17	S	1	PD01NOV17	2334.00	2556.75	USD	-5,568.75	
01AUG17	S	1	PD01NOV17	2326.50	2556.75	USD	-5,756.25	
01AUG17	S	2	PD01NOV17	2329.50	2556.75	USD	-11,362.50	
01AUG17	S	1	PD01NOV17	2335.50	2556.75	USD	-5,531.25	
01AUG17	S	3	PD01NOV17	2336.00	2556.75	USD	-16,556.25	
01AUG17	S	1	PD01NOV17	2337.00	2556.75	USD	-5,493.75	
01AUG17	B	1	PD01NOV17	2338.50	2556.75	USD	5,456.25	
01AUG17	B	2	PD01NOV17	2334.00	2556.75	USD	11,137.50	
01AUG17	B	2	PD01NOV17	2333.50	2556.75	USD	11,162.50	
01AUG17	B	2	PD01NOV17	2335.00	2556.75	USD	11,087.50	

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01AUG17	B	5	PD01NOV17	2334.50	2556.75	USD	27,781.25	
01AUG17	B	1	PD01NOV17	2335.50	2556.75	USD	5,531.25	
01AUG17	B	3	PD01NOV17	2336.50	2556.75	USD	16,518.75	
01AUG17	B	1	PD01NOV17	2335.50	2556.75	USD	5,531.25	
03AUG17	S	10	PD01NOV17	2360.63	2556.75	USD	-49,030.00	
	NET	0AVG BGHT 2325.67	SOLD 2339.73				10,195.00	0.00
02AUG17	S	1	PD02NOV17	2336.00	2556.89	USD	-5,522.25	
02AUG17	S	3	PD02NOV17	2338.50	2556.89	USD	-16,379.25	
02AUG17	S	1	PD02NOV17	2342.00	2556.89	USD	-5,372.25	
02AUG17	S	2	PD02NOV17	2341.00	2556.89	USD	-10,794.50	
02AUG17	B	1	PD02NOV17	2340.00	2556.89	USD	5,422.25	
02AUG17	B	1	PD02NOV17	2338.50	2556.89	USD	5,459.75	
02AUG17	B	4	PD02NOV17	2339.00	2556.89	USD	21,789.00	
02AUG17	B	1	PD02NOV17	2341.50	2556.89	USD	5,384.75	
02AUG17	S	3	PD02NOV17	2337.50	2556.89	USD	-16,454.25	
02AUG17	S	4	PD02NOV17	2335.50	2556.89	USD	-22,139.00	
02AUG17	S	1	PD02NOV17	2334.00	2556.89	USD	-5,572.25	
02AUG17	S	1	PD02NOV17	2335.00	2556.89	USD	-5,547.25	
02AUG17	S	1	PD02NOV17	2336.50	2556.89	USD	-5,509.75	
02AUG17	B	2	PD02NOV17	2337.00	2556.89	USD	10,994.50	
02AUG17	S	1	PD02NOV17	2341.50	2556.89	USD	-5,384.75	
02AUG17	S	1	PD02NOV17	2337.00	2556.89	USD	-5,497.25	
02AUG17	S	4	PD02NOV17	2340.00	2556.89	USD	-21,689.00	
02AUG17	B	3	PD02NOV17	2347.50	2556.89	USD	15,704.25	
02AUG17	S	1	PD02NOV17	2349.50	2556.89	USD	-5,184.75	
02AUG17	S	2	PD02NOV17	2349.00	2556.89	USD	-10,394.50	
02AUG17	S	3	PD02NOV17	2350.50	2556.89	USD	-15,479.25	
02AUG17	S	1	PD02NOV17	2355.00	2556.89	USD	-5,047.25	
02AUG17	B	1	PD02NOV17	2355.00	2556.89	USD	5,047.25	
02AUG17	B	1	PD02NOV17	2346.50	2556.89	USD	5,259.75	
02AUG17	B	1	PD02NOV17	2348.50	2556.89	USD	5,209.75	
02AUG17	B	2	PD02NOV17	2352.50	2556.89	USD	10,219.50	
02AUG17	B	1	PD02NOV17	2357.00	2556.89	USD	4,997.25	
02AUG17	B	1	PD02NOV17	2356.50	2556.89	USD	5,009.75	
02AUG17	B	1	PD02NOV17	2354.50	2556.89	USD	5,059.75	
02AUG17	B	1	PD02NOV17	2357.50	2556.89	USD	4,984.75	
02AUG17	B	3	PD02NOV17	2356.00	2556.89	USD	15,066.75	
07AUG17	B	5	PD02NOV17	2360.13	2556.89	USD	24,595.00	
10AUG17	B	1	PD02NOV17	2364.26	2556.89	USD	4,815.75	
	NET	0AVG BGHT 2350.20	SOLD 2340.93				-6,947.75	0.00
03AUG17	B	2	PD03NOV17	2374.00	2557.04	USD	9,152.00	
03AUG17	B	3	PD03NOV17	2373.50	2557.04	USD	13,765.50	
03AUG17	S	2	PD03NOV17	2370.50	2557.04	USD	-9,327.00	
03AUG17	B	1	PD03NOV17	2366.00	2557.04	USD	4,776.00	
03AUG17	S	3	PD03NOV17	2369.00	2557.04	USD	-14,103.00	
03AUG17	S	1	PD03NOV17	2367.50	2557.04	USD	-4,738.50	
03AUG17	S	3	PD03NOV17	2366.50	2557.04	USD	-14,290.50	
03AUG17	B	2	PD03NOV17	2357.50	2557.04	USD	9,977.00	
03AUG17	B	1	PD03NOV17	2356.50	2557.04	USD	5,013.50	
03AUG17	S	1	PD03NOV17	2365.50	2557.04	USD	-4,788.50	
03AUG17	S	6	PD03NOV17	2368.00	2557.04	USD	-28,356.00	
03AUG17	S	4	PD03NOV17	2368.50	2557.04	USD	-18,854.00	
03AUG17	B	1	PD03NOV17	2367.00	2557.04	USD	4,751.00	
03AUG17	B	1	PD03NOV17	2364.00	2557.04	USD	4,826.00	
03AUG17	B	1	PD03NOV17	2364.00	2557.04	USD	4,826.00	
03AUG17	B	3	PD03NOV17	2364.50	2557.04	USD	14,440.50	
03AUG17	B	1	PD03NOV17	2361.50	2557.04	USD	4,888.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	3	PD03NOV17	2361.00	2557.04	USD	14,703.00	
03AUG17	B	2	PD03NOV17	2360.50	2557.04	USD	9,827.00	
03AUG17	B	1	PD03NOV17	2351.00	2557.04	USD	5,151.00	
03AUG17	B	1	PD03NOV17	2349.00	2557.04	USD	5,201.00	
03AUG17	B	3	PD03NOV17	2347.00	2557.04	USD	15,753.00	
03AUG17	B	1	PD03NOV17	2349.50	2557.04	USD	5,188.50	
03AUG17	S	1	PD03NOV17	2355.50	2557.04	USD	-5,038.50	
03AUG17	S	1	PD03NOV17	2359.00	2557.04	USD	-4,951.00	
03AUG17	B	2	PD03NOV17	2356.00	2557.04	USD	10,052.00	
03AUG17	B	1	PD03NOV17	2347.50	2557.04	USD	5,238.50	
03AUG17	S	2	PD03NOV17	2360.50	2557.04	USD	-9,827.00	
03AUG17	S	3	PD03NOV17	2361.00	2557.04	USD	-14,703.00	
03AUG17	S	2	PD03NOV17	2361.50	2557.04	USD	-9,777.00	
03AUG17	S	2	PD03NOV17	2364.50	2557.04	USD	-9,627.00	
03AUG17	S	3	PD03NOV17	2365.00	2557.04	USD	-14,403.00	
03AUG17	B	1	PD03NOV17	2363.00	2557.04	USD	4,851.00	
04AUG17	B	1	PD03NOV17	2371.50	2557.04	USD	4,638.50	
04AUG17	B	1	PD03NOV17	2369.50	2557.04	USD	4,688.50	
04AUG17	B	1	PD03NOV17	2367.00	2557.04	USD	4,751.00	
04AUG17	S	1	PD03NOV17	2374.00	2557.04	USD	-4,576.00	
04AUG17	S	2	PD03NOV17	2374.50	2557.04	USD	-9,127.00	
04AUG17	S	2	PD03NOV17	2375.00	2557.04	USD	-9,102.00	
04AUG17	S	2	PD03NOV17	2370.50	2557.04	USD	-9,327.00	
04AUG17	S	1	PD03NOV17	2371.50	2557.04	USD	-4,638.50	
04AUG17	B	1	PD03NOV17	2369.00	2557.04	USD	4,701.00	
04AUG17	B	1	PD03NOV17	2366.00	2557.04	USD	4,776.00	
04AUG17	B	1	PD03NOV17	2365.50	2557.04	USD	4,788.50	
04AUG17	B	2	PD03NOV17	2367.50	2557.04	USD	9,477.00	
04AUG17	B	4	PD03NOV17	2366.50	2557.04	USD	19,054.00	
04AUG17	B	1	PD03NOV17	2371.50	2557.04	USD	4,638.50	
04AUG17	B	2	PD03NOV17	2368.50	2557.04	USD	9,427.00	
04AUG17	B	2	PD03NOV17	2368.00	2557.04	USD	9,452.00	
04AUG17	S	1	PD03NOV17	2363.50	2557.04	USD	-4,838.50	
04AUG17	S	2	PD03NOV17	2371.00	2557.04	USD	-9,302.00	
04AUG17	B	1	PD03NOV17	2358.50	2557.04	USD	4,963.50	
04AUG17	B	1	PD03NOV17	2358.00	2557.04	USD	4,976.00	
04AUG17	B	1	PD03NOV17	2356.50	2557.04	USD	5,013.50	
04AUG17	B	1	PD03NOV17	2357.00	2557.04	USD	5,001.00	
04AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
04AUG17	B	2	PD03NOV17	2355.00	2557.04	USD	10,102.00	
04AUG17	B	1	PD03NOV17	2354.50	2557.04	USD	5,063.50	
04AUG17	B	1	PD03NOV17	2353.50	2557.04	USD	5,088.50	
04AUG17	B	1	PD03NOV17	2351.00	2557.04	USD	5,151.00	
04AUG17	B	1	PD03NOV17	2350.00	2557.04	USD	5,176.00	
04AUG17	S	1	PD03NOV17	2364.50	2557.04	USD	-4,813.50	
04AUG17	S	3	PD03NOV17	2358.50	2557.04	USD	-14,890.50	
04AUG17	S	1	PD03NOV17	2360.50	2557.04	USD	-4,913.50	
04AUG17	S	3	PD03NOV17	2361.00	2557.04	USD	-14,703.00	
04AUG17	S	1	PD03NOV17	2368.00	2557.04	USD	-4,726.00	
04AUG17	B	1	PD03NOV17	2359.00	2557.04	USD	4,951.00	
04AUG17	S	1	PD03NOV17	2359.50	2557.04	USD	-4,938.50	
07AUG17	S	5	PD03NOV17	2360.11	2557.04	USD	-24,616.25	
	NET	0 AVG BGHT 2361.52	SOLD 2365.51				5,988.75	0.00
07AUG17	S	1	PD07NOV17	2360.50	2557.61	USD	-4,927.75	
07AUG17	S	1	PD07NOV17	2358.00	2557.61	USD	-4,990.25	
07AUG17	S	2	PD07NOV17	2359.50	2557.61	USD	-9,905.50	
07AUG17	B	1	PD07NOV17	2356.50	2557.61	USD	5,027.75	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07AUG17	S	1	PD07NOV17	2358.50	2557.61	USD	-4,977.75	
07AUG17	S	1	PD07NOV17	2361.50	2557.61	USD	-4,902.75	
07AUG17	S	1	PD07NOV17	2366.50	2557.61	USD	-4,777.75	
07AUG17	B	1	PD07NOV17	2365.50	2557.61	USD	4,802.75	
07AUG17	S	1	PD07NOV17	2368.50	2557.61	USD	-4,727.75	
07AUG17	S	1	PD07NOV17	2369.50	2557.61	USD	-4,702.75	
07AUG17	S	2	PD07NOV17	2370.00	2557.61	USD	-9,380.50	
07AUG17	S	1	PD07NOV17	2370.50	2557.61	USD	-4,677.75	
07AUG17	S	1	PD07NOV17	2377.50	2557.61	USD	-4,502.75	
07AUG17	B	2	PD07NOV17	2388.50	2557.61	USD	8,455.50	
07AUG17	B	1	PD07NOV17	2386.00	2557.61	USD	4,290.25	
07AUG17	B	1	PD07NOV17	2384.50	2557.61	USD	4,327.75	
07AUG17	B	2	PD07NOV17	2385.50	2557.61	USD	8,605.50	
07AUG17	B	1	PD07NOV17	2384.00	2557.61	USD	4,340.25	
07AUG17	B	1	PD07NOV17	2387.00	2557.61	USD	4,265.25	
07AUG17	B	1	PD07NOV17	2385.00	2557.61	USD	4,315.25	
07AUG17	B	2	PD07NOV17	2386.50	2557.61	USD	8,555.50	
07AUG17	B	3	PD07NOV17	2387.50	2557.61	USD	12,758.25	
07AUG17	B	1	PD07NOV17	2377.00	2557.61	USD	4,515.25	
07AUG17	B	1	PD07NOV17	2377.50	2557.61	USD	4,502.75	
07AUG17	S	2	PD07NOV17	2377.00	2557.61	USD	-9,030.50	
07AUG17	S	2	PD07NOV17	2387.00	2557.61	USD	-8,530.50	
07AUG17	S	2	PD07NOV17	2384.00	2557.61	USD	-8,680.50	
07AUG17	B	4	PD07NOV17	2376.50	2557.61	USD	18,111.00	
07AUG17	B	1	PD07NOV17	2374.50	2557.61	USD	4,577.75	
07AUG17	B	1	PD07NOV17	2374.00	2557.61	USD	4,590.25	
07AUG17	S	1	PD07NOV17	2384.50	2557.61	USD	-4,327.75	
07AUG17	S	3	PD07NOV17	2385.50	2557.61	USD	-12,908.25	
07AUG17	S	1	PD07NOV17	2386.00	2557.61	USD	-4,290.25	
07AUG17	B	1	PD07NOV17	2378.50	2557.61	USD	4,477.75	
07AUG17	B	3	PD07NOV17	2376.00	2557.61	USD	13,620.75	
07AUG17	B	1	PD07NOV17	2363.00	2557.61	USD	4,865.25	
07AUG17	B	2	PD07NOV17	2362.00	2557.61	USD	9,780.50	
07AUG17	B	2	PD07NOV17	2362.50	2557.61	USD	9,755.50	
10AUG17	S	1	PD07NOV17	2365.16	2557.61	USD	-4,811.25	
10AUG17	S	8	PD07NOV17	2365.36	2557.61	USD	-38,450.00	
	NET	0	AVG BGHT 2377.56	SOLD 2371.55			-4,961.50	0.00
08AUG17	S	2	PD08NOV17	2369.00	2557.75	USD	-9,437.50	
08AUG17	S	4	PD08NOV17	2368.00	2557.75	USD	-18,975.00	
08AUG17	S	1	PD08NOV17	2364.00	2557.75	USD	-4,843.75	
08AUG17	S	1	PD08NOV17	2371.50	2557.75	USD	-4,656.25	
08AUG17	S	1	PD08NOV17	2374.00	2557.75	USD	-4,593.75	
08AUG17	S	2	PD08NOV17	2371.00	2557.75	USD	-9,337.50	
08AUG17	S	5	PD08NOV17	2370.00	2557.75	USD	-23,468.75	
08AUG17	B	1	PD08NOV17	2366.50	2557.75	USD	4,781.25	
08AUG17	B	2	PD08NOV17	2364.00	2557.75	USD	9,687.50	
08AUG17	B	1	PD08NOV17	2368.50	2557.75	USD	4,731.25	
08AUG17	B	1	PD08NOV17	2368.00	2557.75	USD	4,743.75	
08AUG17	B	1	PD08NOV17	2367.50	2557.75	USD	4,756.25	
08AUG17	B	1	PD08NOV17	2367.00	2557.75	USD	4,768.75	
08AUG17	B	1	PD08NOV17	2369.50	2557.75	USD	4,706.25	
08AUG17	B	1	PD08NOV17	2369.00	2557.75	USD	4,718.75	
08AUG17	B	2	PD08NOV17	2363.00	2557.75	USD	9,737.50	
08AUG17	B	1	PD08NOV17	2362.00	2557.75	USD	4,893.75	
08AUG17	B	1	PD08NOV17	2357.00	2557.75	USD	5,018.75	
08AUG17	B	1	PD08NOV17	2356.00	2557.75	USD	5,043.75	
08AUG17	B	1	PD08NOV17	2355.50	2557.75	USD	5,056.25	

OPEN POSITION STATEMENT



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08AUG17	B	4	PD08NOV17	2355.00	2557.75	USD	20,275.00	
08AUG17	B	4	PD08NOV17	2354.00	2557.75	USD	20,375.00	
08AUG17	B	1	PD08NOV17	2354.50	2557.75	USD	5,081.25	
08AUG17	S	1	PD08NOV17	2347.50	2557.75	USD	-5,256.25	
08AUG17	B	1	PD08NOV17	2349.00	2557.75	USD	5,218.75	
08AUG17	S	3	PD08NOV17	2353.00	2557.75	USD	-15,356.25	
08AUG17	B	1	PD08NOV17	2349.50	2557.75	USD	5,206.25	
08AUG17	B	1	PD08NOV17	2350.00	2557.75	USD	5,193.75	
08AUG17	B	2	PD08NOV17	2350.50	2557.75	USD	10,362.50	
08AUG17	S	2	PD08NOV17	2354.50	2557.75	USD	-10,162.50	
08AUG17	B	1	PD08NOV17	2344.50	2557.75	USD	5,331.25	
08AUG17	S	1	PD08NOV17	2347.00	2557.75	USD	-5,268.75	
08AUG17	S	1	PD08NOV17	2346.50	2557.75	USD	-5,281.25	
08AUG17	S	1	PD08NOV17	2348.00	2557.75	USD	-5,243.75	
08AUG17	S	5	PD08NOV17	2348.50	2557.75	USD	-26,156.25	
08AUG17	S	1	PD08NOV17	2349.00	2557.75	USD	-5,218.75	
08AUG17	B	2	PD08NOV17	2353.00	2557.75	USD	10,237.50	
08AUG17	S	2	PD08NOV17	2353.50	2557.75	USD	-10,212.50	
08AUG17	S	1	PD08NOV17	2355.00	2557.75	USD	-5,068.75	
08AUG17	S	1	PD08NOV17	2356.50	2557.75	USD	-5,031.25	
08AUG17	S	1	PD08NOV17	2359.50	2557.75	USD	-4,956.25	
08AUG17	S	1	PD08NOV17	2362.00	2557.75	USD	-4,893.75	
08AUG17	S	2	PD08NOV17	2363.50	2557.75	USD	-9,712.50	
08AUG17	S	1	PD08NOV17	2366.00	2557.75	USD	-4,793.75	
08AUG17	B	1	PD08NOV17	2361.00	2557.75	USD	4,918.75	
08AUG17	S	2	PD08NOV17	2365.50	2557.75	USD	-9,612.50	
08AUG17	S	2	PD08NOV17	2364.50	2557.75	USD	-9,662.50	
08AUG17	S	2	PD08NOV17	2365.00	2557.75	USD	-9,637.50	
08AUG17	S	3	PD08NOV17	2367.50	2557.75	USD	-14,268.75	
08AUG17	S	1	PD08NOV17	2375.00	2557.75	USD	-4,568.75	
08AUG17	S	1	PD08NOV17	2377.00	2557.75	USD	-4,518.75	
08AUG17	S	4	PD08NOV17	2372.00	2557.75	USD	-18,575.00	
08AUG17	S	1	PD08NOV17	2376.00	2557.75	USD	-4,543.75	
08AUG17	S	2	PD08NOV17	2373.50	2557.75	USD	-9,212.50	
08AUG17	S	1	PD08NOV17	2376.50	2557.75	USD	-4,531.25	
08AUG17	S	1	PD08NOV17	2379.00	2557.75	USD	-4,468.75	
08AUG17	B	2	PD08NOV17	2380.00	2557.75	USD	8,887.50	
08AUG17	B	1	PD08NOV17	2379.50	2557.75	USD	4,456.25	
08AUG17	S	1	PD08NOV17	2385.50	2557.75	USD	-4,306.25	
08AUG17	B	2	PD08NOV17	2384.00	2557.75	USD	8,687.50	
08AUG17	B	1	PD08NOV17	2355.00	2557.75	USD	5,068.75	
08AUG17	S	2	PD08NOV17	2347.50	2557.75	USD	-10,512.50	
08AUG17	B	1	PD08NOV17	2392.50	2557.75	USD	4,131.25	
08AUG17	B	1	PD08NOV17	2393.00	2557.75	USD	4,118.75	
08AUG17	B	2	PD08NOV17	2390.50	2557.75	USD	8,362.50	
10AUG17	B	8	PD08NOV17	2365.78	2557.75	USD	38,394.00	
10AUG17	B	12	PD08NOV17	2365.58	2557.75	USD	57,651.00	
	NET	0AVG BGHT 2364.35	SOLD 2363.25				-1,742.50	0.00
09AUG17	S	1	PD09NOV17	2399.00	2557.89	USD	-3,972.25	
09AUG17	B	1	PD09NOV17	2400.50	2557.89	USD	3,934.75	
09AUG17	B	1	PD09NOV17	2402.50	2557.89	USD	3,884.75	
09AUG17	B	2	PD09NOV17	2399.50	2557.89	USD	7,919.50	
09AUG17	B	1	PD09NOV17	2405.00	2557.89	USD	3,822.25	
09AUG17	S	1	PD09NOV17	2416.00	2557.89	USD	-3,547.25	
09AUG17	S	1	PD09NOV17	2418.00	2557.89	USD	-3,497.25	
09AUG17	S	1	PD09NOV17	2418.50	2557.89	USD	-3,484.75	
09AUG17	S	3	PD09NOV17	2417.00	2557.89	USD	-10,566.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	1	PD09NOV17	2414.50	2557.89	USD	3,584.75	
09AUG17	B	4	PD09NOV17	2400.00	2557.89	USD	15,789.00	
09AUG17	B	1	PD09NOV17	2391.50	2557.89	USD	4,159.75	
09AUG17	B	1	PD09NOV17	2389.00	2557.89	USD	4,222.25	
09AUG17	B	1	PD09NOV17	2385.00	2557.89	USD	4,322.25	
09AUG17	B	1	PD09NOV17	2384.50	2557.89	USD	4,334.75	
09AUG17	B	2	PD09NOV17	2386.00	2557.89	USD	8,594.50	
09AUG17	B	1	PD09NOV17	2389.50	2557.89	USD	4,209.75	
09AUG17	B	3	PD09NOV17	2390.50	2557.89	USD	12,554.25	
09AUG17	B	2	PD09NOV17	2395.00	2557.89	USD	8,144.50	
09AUG17	B	2	PD09NOV17	2392.00	2557.89	USD	8,294.50	
09AUG17	B	1	PD09NOV17	2399.50	2557.89	USD	3,959.75	
09AUG17	B	1	PD09NOV17	2382.50	2557.89	USD	4,384.75	
09AUG17	B	2	PD09NOV17	2379.50	2557.89	USD	8,919.50	
09AUG17	B	1	PD09NOV17	2385.50	2557.89	USD	4,309.75	
09AUG17	S	1	PD09NOV17	2388.50	2557.89	USD	-4,234.75	
09AUG17	S	1	PD09NOV17	2390.00	2557.89	USD	-4,197.25	
09AUG17	S	1	PD09NOV17	2392.00	2557.89	USD	-4,147.25	
09AUG17	S	1	PD09NOV17	2392.50	2557.89	USD	-4,134.75	
09AUG17	S	1	PD09NOV17	2394.50	2557.89	USD	-4,084.75	
09AUG17	S	2	PD09NOV17	2396.00	2557.89	USD	-8,094.50	
09AUG17	S	1	PD09NOV17	2395.00	2557.89	USD	-4,072.25	
09AUG17	S	2	PD09NOV17	2395.50	2557.89	USD	-8,119.50	
09AUG17	S	2	PD09NOV17	2398.00	2557.89	USD	-7,994.50	
09AUG17	S	1	PD09NOV17	2397.50	2557.89	USD	-4,009.75	
09AUG17	S	1	PD09NOV17	2391.50	2557.89	USD	-4,159.75	
09AUG17	S	1	PD09NOV17	2405.50	2557.89	USD	-3,809.75	
09AUG17	S	1	PD09NOV17	2406.00	2557.89	USD	-3,797.25	
09AUG17	B	1	PD09NOV17	2405.50	2557.89	USD	3,809.75	
09AUG17	S	1	PD09NOV17	2410.00	2557.89	USD	-3,697.25	
09AUG17	S	1	PD09NOV17	2409.00	2557.89	USD	-3,722.25	
09AUG17	S	1	PD09NOV17	2410.50	2557.89	USD	-3,684.75	
09AUG17	B	1	PD09NOV17	2413.00	2557.89	USD	3,622.25	
09AUG17	S	1	PD09NOV17	2411.50	2557.89	USD	-3,659.75	
09AUG17	S	4	PD09NOV17	2412.50	2557.89	USD	-14,539.00	
09AUG17	S	1	PD09NOV17	2402.00	2557.89	USD	-3,897.25	
09AUG17	B	1	PD09NOV17	2387.00	2557.89	USD	4,272.25	
09AUG17	B	2	PD09NOV17	2386.50	2557.89	USD	8,569.50	
09AUG17	S	2	PD09NOV17	2374.00	2557.89	USD	-9,194.50	
09AUG17	B	1	PD09NOV17	2376.50	2557.89	USD	4,534.75	
09AUG17	B	1	PD09NOV17	2375.00	2557.89	USD	4,572.25	
09AUG17	B	1	PD09NOV17	2373.50	2557.89	USD	4,609.75	
09AUG17	B	2	PD09NOV17	2372.50	2557.89	USD	9,269.50	
09AUG17	B	2	PD09NOV17	2371.00	2557.89	USD	9,344.50	
09AUG17	B	4	PD09NOV17	2372.00	2557.89	USD	18,589.00	
09AUG17	B	2	PD09NOV17	2370.50	2557.89	USD	9,369.50	
09AUG17	B	1	PD09NOV17	2370.00	2557.89	USD	4,697.25	
09AUG17	B	1	PD09NOV17	2369.00	2557.89	USD	4,722.25	
09AUG17	B	4	PD09NOV17	2368.00	2557.89	USD	18,989.00	
09AUG17	B	7	PD09NOV17	2368.50	2557.89	USD	33,143.25	
09AUG17	B	2	PD09NOV17	2352.00	2557.89	USD	10,294.50	
09AUG17	B	1	PD09NOV17	2353.00	2557.89	USD	5,122.25	
09AUG17	S	1	PD09NOV17	2359.00	2557.89	USD	-4,972.25	
09AUG17	S	1	PD09NOV17	2361.50	2557.89	USD	-4,909.75	
09AUG17	S	1	PD09NOV17	2363.50	2557.89	USD	-4,859.75	
09AUG17	S	1	PD09NOV17	2362.50	2557.89	USD	-4,884.75	
09AUG17	S	2	PD09NOV17	2362.00	2557.89	USD	-9,794.50	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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09AUG17	S	6	PD09NOV17	2363.00	2557.89	USD	-29,233.50	
09AUG17	S	2	PD09NOV17	2360.00	2557.89	USD	-9,894.50	
09AUG17	B	1	PD09NOV17	2356.50	2557.89	USD	5,034.75	
10AUG17	S	12	PD09NOV17	2365.60	2557.89	USD	-57,687.00	
14AUG17	S	2	PD09NOV17	2334.00	2557.89	USD	-11,194.50	
22AUG17	S	2	PD09NOV17	2413.25	2557.89	USD	-7,232.00	
	NET	0 AVG BGHT 2381.70	SOLD 2384.78				4,930.00	0.00
10AUG17	S	1	PD10NOV17	2381.00	2558.04	USD	-4,426.00	
10AUG17	S	1	PD10NOV17	2377.50	2558.04	USD	-4,513.50	
10AUG17	S	1	PD10NOV17	2378.00	2558.04	USD	-4,501.00	
10AUG17	S	2	PD10NOV17	2373.50	2558.04	USD	-9,227.00	
10AUG17	S	1	PD10NOV17	2371.00	2558.04	USD	-4,676.00	
10AUG17	S	2	PD10NOV17	2370.00	2558.04	USD	-9,402.00	
10AUG17	S	1	PD10NOV17	2370.50	2558.04	USD	-4,688.50	
10AUG17	S	3	PD10NOV17	2379.00	2558.04	USD	-13,428.00	
10AUG17	S	3	PD10NOV17	2379.50	2558.04	USD	-13,390.50	
10AUG17	B	1	PD10NOV17	2370.00	2558.04	USD	4,701.00	
10AUG17	S	2	PD10NOV17	2380.50	2558.04	USD	-8,877.00	
10AUG17	S	1	PD10NOV17	2383.00	2558.04	USD	-4,376.00	
10AUG17	S	1	PD10NOV17	2366.50	2558.04	USD	-4,788.50	
10AUG17	B	2	PD10NOV17	2368.00	2558.04	USD	9,502.00	
10AUG17	B	1	PD10NOV17	2373.50	2558.04	USD	4,613.50	
10AUG17	B	1	PD10NOV17	2377.50	2558.04	USD	4,513.50	
10AUG17	B	1	PD10NOV17	2380.50	2558.04	USD	4,438.50	
10AUG17	B	4	PD10NOV17	2381.00	2558.04	USD	17,704.00	
10AUG17	B	1	PD10NOV17	2381.50	2558.04	USD	4,413.50	
10AUG17	B	1	PD10NOV17	2379.50	2558.04	USD	4,463.50	
10AUG17	B	1	PD10NOV17	2379.00	2558.04	USD	4,476.00	
10AUG17	S	1	PD10NOV17	2372.50	2558.04	USD	-4,638.50	
10AUG17	B	1	PD10NOV17	2378.00	2558.04	USD	4,501.00	
10AUG17	S	2	PD10NOV17	2374.50	2558.04	USD	-9,177.00	
10AUG17	S	1	PD10NOV17	2373.00	2558.04	USD	-4,626.00	
10AUG17	S	2	PD10NOV17	2372.00	2558.04	USD	-9,302.00	
10AUG17	S	3	PD10NOV17	2372.50	2558.04	USD	-13,915.50	
10AUG17	S	2	PD10NOV17	2369.50	2558.04	USD	-9,427.00	
10AUG17	S	1	PD10NOV17	2366.00	2558.04	USD	-4,801.00	
10AUG17	B	1	PD10NOV17	2359.00	2558.04	USD	4,976.00	
10AUG17	B	1	PD10NOV17	2358.50	2558.04	USD	4,988.50	
10AUG17	B	1	PD10NOV17	2363.50	2558.04	USD	4,863.50	
10AUG17	S	1	PD10NOV17	2362.00	2558.04	USD	-4,901.00	
10AUG17	B	3	PD10NOV17	2361.00	2558.04	USD	14,778.00	
10AUG17	B	1	PD10NOV17	2357.50	2558.04	USD	5,013.50	
10AUG17	B	2	PD10NOV17	2358.00	2558.04	USD	10,002.00	
10AUG17	S	1	PD10NOV17	2364.00	2558.04	USD	-4,851.00	
10AUG17	S	2	PD10NOV17	2375.50	2558.04	USD	-9,127.00	
10AUG17	S	2	PD10NOV17	2378.50	2558.04	USD	-8,977.00	
10AUG17	S	5	PD10NOV17	2376.00	2558.04	USD	-22,755.00	
10AUG17	S	2	PD10NOV17	2376.50	2558.04	USD	-9,077.00	
10AUG17	S	1	PD10NOV17	2377.00	2558.04	USD	-4,526.00	
10AUG17	B	1	PD10NOV17	2375.50	2558.04	USD	4,563.50	
10AUG17	B	1	PD10NOV17	2371.50	2558.04	USD	4,663.50	
10AUG17	B	2	PD10NOV17	2364.50	2558.04	USD	9,677.00	
10AUG17	B	2	PD10NOV17	2369.00	2558.04	USD	9,452.00	
10AUG17	B	1	PD10NOV17	2367.50	2558.04	USD	4,763.50	
10AUG17	B	2	PD10NOV17	2365.00	2558.04	USD	9,652.00	
10AUG17	S	1	PD10NOV17	2363.50	2558.04	USD	-4,863.50	
11AUG17	S	1	PD10NOV17	2344.00	2558.04	USD	-5,351.00	

OPEN POSITION STATEMENT



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11AUG17	S	1	PD10NOV17	2339.00	2558.04	USD	-5,476.00	
11AUG17	S	1	PD10NOV17	2338.50	2558.04	USD	-5,488.50	
11AUG17	B	1	PD10NOV17	2336.50	2558.04	USD	5,538.50	
11AUG17	S	1	PD10NOV17	2342.00	2558.04	USD	-5,401.00	
11AUG17	B	3	PD10NOV17	2337.00	2558.04	USD	16,578.00	
11AUG17	S	1	PD10NOV17	2340.00	2558.04	USD	-5,451.00	
11AUG17	B	1	PD10NOV17	2337.50	2558.04	USD	5,513.50	
11AUG17	B	5	PD10NOV17	2339.00	2558.04	USD	27,380.00	
11AUG17	B	1	PD10NOV17	2338.50	2558.04	USD	5,488.50	
11AUG17	B	2	PD10NOV17	2340.00	2558.04	USD	10,902.00	
11AUG17	B	1	PD10NOV17	2340.50	2558.04	USD	5,438.50	
11AUG17	B	1	PD10NOV17	2346.00	2558.04	USD	5,301.00	
11AUG17	B	1	PD10NOV17	2344.50	2558.04	USD	5,338.50	
11AUG17	B	3	PD10NOV17	2342.50	2558.04	USD	16,165.50	
11AUG17	S	1	PD10NOV17	2349.50	2558.04	USD	-5,213.50	
11AUG17	S	4	PD10NOV17	2350.00	2558.04	USD	-20,804.00	
11AUG17	B	1	PD10NOV17	2345.50	2558.04	USD	5,313.50	
11AUG17	S	1	PD10NOV17	2345.00	2558.04	USD	-5,326.00	
11AUG17	S	1	PD10NOV17	2347.00	2558.04	USD	-5,276.00	
11AUG17	S	1	PD10NOV17	2351.00	2558.04	USD	-5,176.00	
11AUG17	S	1	PD10NOV17	2351.50	2558.04	USD	-5,163.50	
11AUG17	S	1	PD10NOV17	2352.00	2558.04	USD	-5,151.00	
11AUG17	S	2	PD10NOV17	2355.50	2558.04	USD	-10,127.00	
11AUG17	S	1	PD10NOV17	2354.00	2558.04	USD	-5,101.00	
11AUG17	S	1	PD10NOV17	2352.50	2558.04	USD	-5,138.50	
11AUG17	B	1	PD10NOV17	2346.50	2558.04	USD	5,288.50	
11AUG17	B	2	PD10NOV17	2347.50	2558.04	USD	10,527.00	
11AUG17	B	2	PD10NOV17	2345.00	2558.04	USD	10,652.00	
11AUG17	B	2	PD10NOV17	2342.00	2558.04	USD	10,802.00	
11AUG17	B	1	PD10NOV17	2336.00	2558.04	USD	5,551.00	
11AUG17	B	2	PD10NOV17	2335.50	2558.04	USD	11,127.00	
11AUG17	B	1	PD10NOV17	2332.50	2558.04	USD	5,638.50	
11AUG17	B	1	PD10NOV17	2328.00	2558.04	USD	5,751.00	
11AUG17	B	1	PD10NOV17	2326.00	2558.04	USD	5,801.00	
11AUG17	S	1	PD10NOV17	2335.00	2558.04	USD	-5,576.00	
11AUG17	S	1	PD10NOV17	2331.00	2558.04	USD	-5,676.00	
14AUG17	B	2	PD10NOV17	2334.40	2558.04	USD	11,182.00	
	NET	0 AVG BGHT 2353.86	SOLD 2365.71				19,842.50	0.00
14AUG17	S	1	PD14NOV17	2343.50	2558.61	USD	-5,377.75	
14AUG17	S	1	PD14NOV17	2343.00	2558.61	USD	-5,390.25	
14AUG17	S	1	PD14NOV17	2345.00	2558.61	USD	-5,340.25	
14AUG17	S	2	PD14NOV17	2345.50	2558.61	USD	-10,655.50	
14AUG17	S	1	PD14NOV17	2344.00	2558.61	USD	-5,365.25	
14AUG17	S	3	PD14NOV17	2346.00	2558.61	USD	-15,945.75	
14AUG17	S	1	PD14NOV17	2335.00	2558.61	USD	-5,590.25	
14AUG17	B	2	PD14NOV17	2336.50	2558.61	USD	11,105.50	
14AUG17	B	7	PD14NOV17	2336.00	2558.61	USD	38,956.75	
14AUG17	B	5	PD14NOV17	2335.50	2558.61	USD	27,888.75	
14AUG17	B	2	PD14NOV17	2331.50	2558.61	USD	11,355.50	
14AUG17	S	1	PD14NOV17	2331.50	2558.61	USD	-5,677.75	
14AUG17	B	1	PD14NOV17	2330.00	2558.61	USD	5,715.25	
14AUG17	B	2	PD14NOV17	2330.50	2558.61	USD	11,405.50	
14AUG17	S	1	PD14NOV17	2339.50	2558.61	USD	-5,477.75	
14AUG17	S	1	PD14NOV17	2340.50	2558.61	USD	-5,452.75	
14AUG17	B	1	PD14NOV17	2347.00	2558.61	USD	5,290.25	
14AUG17	S	2	PD14NOV17	2346.50	2558.61	USD	-10,605.50	
14AUG17	S	3	PD14NOV17	2348.50	2558.61	USD	-15,758.25	

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14AUG17	S	1	PD14NOV17	2348.00	2558.61	USD	-5,265.25	
14AUG17	S	3	PD14NOV17	2347.50	2558.61	USD	-15,833.25	
14AUG17	S	3	PD14NOV17	2347.00	2558.61	USD	-15,870.75	
14AUG17	B	1	PD14NOV17	2338.50	2558.61	USD	5,502.75	
14AUG17	B	1	PD14NOV17	2329.00	2558.61	USD	5,740.25	
14AUG17	S	1	PD14NOV17	2342.00	2558.61	USD	-5,415.25	
14AUG17	S	1	PD14NOV17	2343.00	2558.61	USD	-5,390.25	
14AUG17	S	1	PD14NOV17	2343.50	2558.61	USD	-5,377.75	
14AUG17	B	2	PD14NOV17	2334.50	2558.61	USD	11,205.50	
14AUG17	B	2	PD14NOV17	2334.00	2558.61	USD	11,230.50	
14AUG17	B	1	PD14NOV17	2333.00	2558.61	USD	5,640.25	
14AUG17	B	2	PD14NOV17	2333.50	2558.61	USD	11,255.50	
14AUG17	B	1	PD14NOV17	2335.00	2558.61	USD	5,590.25	
14AUG17	B	1	PD14NOV17	2341.50	2558.61	USD	5,427.75	
14AUG17	B	1	PD14NOV17	2342.00	2558.61	USD	5,415.25	
22AUG17	S	3	PD14NOV17	2414.50	2558.61	USD	-10,808.25	
21SEP17	S	1	PD14NOV17	2517.50	2558.61	USD	-1,027.75	
	NET	0 AVG BGHT 2335.20	SOLD 2356.58				17,100.00	0.00
15AUG17	S	1	PD15NOV17	2366.00	2558.75	USD	-4,818.75	
22AUG17	B	1	PD15NOV17	2414.95	2558.75	USD	3,595.00	
	NET	0 AVG BGHT 2414.95	SOLD 2366.00				-1,223.75	0.00
21AUG17	B	1	PD21NOV17	2392.00	2559.32	USD	4,183.00	
21AUG17	S	1	PD21NOV17	2391.50	2559.32	USD	-4,195.50	
21AUG17	S	1	PD21NOV17	2391.00	2559.32	USD	-4,208.00	
21AUG17	S	1	PD21NOV17	2392.50	2559.32	USD	-4,170.50	
21AUG17	S	1	PD21NOV17	2389.50	2559.32	USD	-4,245.50	
21AUG17	S	2	PD21NOV17	2393.50	2559.32	USD	-8,291.00	
21AUG17	B	1	PD21NOV17	2388.00	2559.32	USD	4,283.00	
21AUG17	B	1	PD21NOV17	2387.50	2559.32	USD	4,295.50	
21AUG17	S	1	PD21NOV17	2389.00	2559.32	USD	-4,258.00	
21AUG17	S	1	PD21NOV17	2388.50	2559.32	USD	-4,270.50	
21AUG17	B	1	PD21NOV17	2377.50	2559.32	USD	4,545.50	
21AUG17	S	1	PD21NOV17	2380.50	2559.32	USD	-4,470.50	
21AUG17	S	1	PD21NOV17	2384.00	2559.32	USD	-4,383.00	
21AUG17	S	2	PD21NOV17	2385.00	2559.32	USD	-8,716.00	
21AUG17	S	1	PD21NOV17	2380.00	2559.32	USD	-4,483.00	
21AUG17	B	2	PD21NOV17	2376.50	2559.32	USD	9,141.00	
21AUG17	S	2	PD21NOV17	2386.50	2559.32	USD	-8,641.00	
21AUG17	S	1	PD21NOV17	2375.00	2559.32	USD	-4,608.00	
21AUG17	S	2	PD21NOV17	2375.50	2559.32	USD	-9,191.00	
21AUG17	B	1	PD21NOV17	2368.50	2559.32	USD	4,770.50	
21AUG17	S	1	PD21NOV17	2394.00	2559.32	USD	-4,133.00	
21AUG17	S	1	PD21NOV17	2385.50	2559.32	USD	-4,345.50	
21AUG17	B	1	PD21NOV17	2372.50	2559.32	USD	4,670.50	
21AUG17	S	1	PD21NOV17	2371.00	2559.32	USD	-4,708.00	
21AUG17	B	1	PD21NOV17	2379.00	2559.32	USD	4,508.00	
21AUG17	B	1	PD21NOV17	2363.00	2559.32	USD	4,908.00	
21AUG17	B	1	PD21NOV17	2360.50	2559.32	USD	4,970.50	
21AUG17	B	1	PD21NOV17	2358.50	2559.32	USD	5,020.50	
21AUG17	S	1	PD21NOV17	2371.50	2559.32	USD	-4,695.50	
21AUG17	B	2	PD21NOV17	2360.00	2559.32	USD	9,966.00	
21AUG17	B	1	PD21NOV17	2376.00	2559.32	USD	4,583.00	
21AUG17	S	2	PD21NOV17	2368.50	2559.32	USD	-9,541.00	
21AUG17	B	1	PD21NOV17	2365.50	2559.32	USD	4,845.50	
21AUG17	B	1	PD21NOV17	2353.50	2559.32	USD	5,145.50	
21AUG17	B	2	PD21NOV17	2353.00	2559.32	USD	10,316.00	
21AUG17	B	1	PD21NOV17	2351.00	2559.32	USD	5,208.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21AUG17	B	2	PD21NOV17	2355.00	2559.32	USD	10,216.00	
21AUG17	B	1	PD21NOV17	2355.50	2559.32	USD	5,095.50	
21AUG17	S	1	PD21NOV17	2369.00	2559.32	USD	-4,758.00	
21AUG17	S	1	PD21NOV17	2376.50	2559.32	USD	-4,570.50	
21AUG17	S	2	PD21NOV17	2378.00	2559.32	USD	-9,066.00	
21AUG17	S	3	PD21NOV17	2383.00	2559.32	USD	-13,224.00	
21AUG17	S	3	PD21NOV17	2381.00	2559.32	USD	-13,374.00	
21AUG17	S	1	PD21NOV17	2381.50	2559.32	USD	-4,445.50	
21AUG17	S	3	PD21NOV17	2382.00	2559.32	USD	-13,299.00	
21AUG17	S	2	PD21NOV17	2384.50	2559.32	USD	-8,741.00	
21AUG17	B	1	PD21NOV17	2375.00	2559.32	USD	4,608.00	
21AUG17	B	3	PD21NOV17	2359.50	2559.32	USD	14,986.50	
21AUG17	B	2	PD21NOV17	2359.00	2559.32	USD	10,016.00	
21AUG17	B	2	PD21NOV17	2357.50	2559.32	USD	10,091.00	
21AUG17	B	3	PD21NOV17	2358.00	2559.32	USD	15,099.00	
21AUG17	B	2	PD21NOV17	2354.50	2559.32	USD	10,241.00	
21AUG17	B	1	PD21NOV17	2365.00	2559.32	USD	4,858.00	
22AUG17	B	4	PD21NOV17	2415.95	2559.32	USD	14,337.00	
29AUG17	S	1	PD21NOV17	2376.00	2559.32	USD	-4,583.00	
	NET	0 AVG	BGHT 2369.17	SOLD 2382.13			13,292.50	0.00
22AUG17	B	2	PD22NOV17	2343.50	2559.46	USD	10,798.00	
22AUG17	B	1	PD22NOV17	2343.00	2559.46	USD	5,411.50	
22AUG17	B	1	PD22NOV17	2341.00	2559.46	USD	5,461.50	
22AUG17	B	1	PD22NOV17	2339.50	2559.46	USD	5,499.00	
22AUG17	B	1	PD22NOV17	2339.00	2559.46	USD	5,511.50	
22AUG17	B	1	PD22NOV17	2338.00	2559.46	USD	5,536.50	
22AUG17	S	1	PD22NOV17	2357.00	2559.46	USD	-5,061.50	
22AUG17	S	1	PD22NOV17	2361.00	2559.46	USD	-4,961.50	
22AUG17	S	1	PD22NOV17	2352.00	2559.46	USD	-5,186.50	
22AUG17	B	2	PD22NOV17	2356.00	2559.46	USD	10,173.00	
22AUG17	S	1	PD22NOV17	2356.50	2559.46	USD	-5,074.00	
22AUG17	S	1	PD22NOV17	2357.50	2559.46	USD	-5,049.00	
22AUG17	S	2	PD22NOV17	2356.00	2559.46	USD	-10,173.00	
22AUG17	S	1	PD22NOV17	2352.50	2559.46	USD	-5,174.00	
22AUG17	S	1	PD22NOV17	2353.00	2559.46	USD	-5,161.50	
22AUG17	B	2	PD22NOV17	2358.00	2559.46	USD	10,073.00	
22AUG17	S	1	PD22NOV17	2366.00	2559.46	USD	-4,836.50	
22AUG17	S	1	PD22NOV17	2345.50	2559.46	USD	-5,349.00	
22AUG17	S	1	PD22NOV17	2346.00	2559.46	USD	-5,336.50	
22AUG17	S	1	PD22NOV17	2353.50	2559.46	USD	-5,149.00	
22AUG17	S	1	PD22NOV17	2369.00	2559.46	USD	-4,761.50	
22AUG17	S	1	PD22NOV17	2372.00	2559.46	USD	-4,686.50	
22AUG17	B	1	PD22NOV17	2361.00	2559.46	USD	4,961.50	
22AUG17	S	4	PD22NOV17	2362.00	2559.46	USD	-19,746.00	
22AUG17	S	1	PD22NOV17	2370.50	2559.46	USD	-4,724.00	
22AUG17	S	2	PD22NOV17	2370.00	2559.46	USD	-9,473.00	
22AUG17	S	1	PD22NOV17	2371.00	2559.46	USD	-4,711.50	
22AUG17	S	1	PD22NOV17	2375.00	2559.46	USD	-4,611.50	
22AUG17	S	1	PD22NOV17	2379.00	2559.46	USD	-4,511.50	
22AUG17	S	1	PD22NOV17	2382.00	2559.46	USD	-4,436.50	
22AUG17	S	1	PD22NOV17	2391.00	2559.46	USD	-4,211.50	
22AUG17	S	1	PD22NOV17	2389.00	2559.46	USD	-4,261.50	
22AUG17	S	1	PD22NOV17	2387.50	2559.46	USD	-4,299.00	
22AUG17	S	1	PD22NOV17	2388.50	2559.46	USD	-4,274.00	
22AUG17	S	4	PD22NOV17	2389.50	2559.46	USD	-16,996.00	
22AUG17	S	1	PD22NOV17	2401.00	2559.46	USD	-3,961.50	
22AUG17	B	1	PD22NOV17	2396.50	2559.46	USD	4,074.00	

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22AUG17	B	1	PD22NOV17		2397.50	2559.46	USD	4,049.00	
22AUG17	S	1	PD22NOV17		2404.00	2559.46	USD	-3,886.50	
22AUG17	S	1	PD22NOV17		2404.50	2559.46	USD	-3,874.00	
22AUG17	B	1	PD22NOV17		2395.00	2559.46	USD	4,111.50	
22AUG17	B	1	PD22NOV17		2398.50	2559.46	USD	4,024.00	
22AUG17	S	1	PD22NOV17		2369.50	2559.46	USD	-4,749.00	
22AUG17	S	1	PD22NOV17		2421.50	2559.46	USD	-3,449.00	
22AUG17	B	1	PD22NOV17		2414.50	2559.46	USD	3,624.00	
22AUG17	B	1	PD22NOV17		2420.50	2559.46	USD	3,474.00	
29AUG17	B	1	PD22NOV17		2376.45	2559.46	USD	4,575.25	
29AUG17	B	20	PD22NOV17		2376.25	2559.46	USD	91,605.00	
	NET	0AVG	BGHT 2371.81	SOLD 2372.65				826.25	0.00
23AUG17	B	1	PD24NOV17		2416.50	2559.75	USD	3,581.25	
23AUG17	B	2	PD24NOV17		2416.00	2559.75	USD	7,187.50	
23AUG17	B	1	PD24NOV17		2415.50	2559.75	USD	3,606.25	
23AUG17	B	2	PD24NOV17		2411.00	2559.75	USD	7,437.50	
23AUG17	B	3	PD24NOV17		2408.50	2559.75	USD	11,343.75	
23AUG17	B	2	PD24NOV17		2408.00	2559.75	USD	7,587.50	
23AUG17	B	4	PD24NOV17		2405.50	2559.75	USD	15,425.00	
23AUG17	B	1	PD24NOV17		2402.50	2559.75	USD	3,931.25	
23AUG17	B	1	PD24NOV17		2402.00	2559.75	USD	3,943.75	
23AUG17	B	2	PD24NOV17		2401.00	2559.75	USD	7,937.50	
23AUG17	B	1	PD24NOV17		2398.50	2559.75	USD	4,031.25	
23AUG17	B	1	PD24NOV17		2397.50	2559.75	USD	4,056.25	
23AUG17	B	2	PD24NOV17		2396.50	2559.75	USD	8,162.50	
23AUG17	B	1	PD24NOV17		2395.50	2559.75	USD	4,106.25	
23AUG17	S	1	PD24NOV17		2403.50	2559.75	USD	-3,906.25	
23AUG17	S	2	PD24NOV17		2405.50	2559.75	USD	-7,712.50	
23AUG17	S	1	PD24NOV17		2405.00	2559.75	USD	-3,868.75	
23AUG17	S	1	PD24NOV17		2408.50	2559.75	USD	-3,781.25	
23AUG17	S	1	PD24NOV17		2409.00	2559.75	USD	-3,768.75	
23AUG17	S	1	PD24NOV17		2409.50	2559.75	USD	-3,756.25	
23AUG17	S	1	PD24NOV17		2410.50	2559.75	USD	-3,731.25	
23AUG17	S	1	PD24NOV17		2413.50	2559.75	USD	-3,656.25	
23AUG17	S	1	PD24NOV17		2415.00	2559.75	USD	-3,618.75	
23AUG17	S	2	PD24NOV17		2414.00	2559.75	USD	-7,287.50	
23AUG17	S	2	PD24NOV17		2417.00	2559.75	USD	-7,137.50	
23AUG17	S	1	PD24NOV17		2417.50	2559.75	USD	-3,556.25	
23AUG17	B	1	PD24NOV17		2410.00	2559.75	USD	3,743.75	
23AUG17	S	1	PD24NOV17		2410.00	2559.75	USD	-3,743.75	
23AUG17	S	1	PD24NOV17		2416.50	2559.75	USD	-3,581.25	
23AUG17	S	1	PD24NOV17		2409.00	2559.75	USD	-3,768.75	
23AUG17	B	3	PD24NOV17		2393.00	2559.75	USD	12,506.25	
23AUG17	B	2	PD24NOV17		2400.50	2559.75	USD	7,962.50	
23AUG17	B	3	PD24NOV17		2397.00	2559.75	USD	12,206.25	
23AUG17	B	4	PD24NOV17		2396.00	2559.75	USD	16,375.00	
23AUG17	B	1	PD24NOV17		2399.50	2559.75	USD	4,006.25	
23AUG17	B	2	PD24NOV17		2394.50	2559.75	USD	8,262.50	
23AUG17	B	2	PD24NOV17		2394.00	2559.75	USD	8,287.50	
23AUG17	B	1	PD24NOV17		2391.50	2559.75	USD	4,206.25	
23AUG17	B	1	PD24NOV17		2391.00	2559.75	USD	4,218.75	
23AUG17	S	1	PD24NOV17		2402.50	2559.75	USD	-3,931.25	
23AUG17	S	3	PD24NOV17		2404.00	2559.75	USD	-11,681.25	
23AUG17	S	1	PD24NOV17		2404.50	2559.75	USD	-3,881.25	
23AUG17	S	1	PD24NOV17		2406.00	2559.75	USD	-3,843.75	
23AUG17	S	1	PD24NOV17		2407.00	2559.75	USD	-3,818.75	
23AUG17	S	2	PD24NOV17		2401.00	2559.75	USD	-7,937.50	

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23AUG17	B	2	PD24NOV17	2389.00	2559.75	USD	8,537.50	
23AUG17	B	1	PD24NOV17	2388.50	2559.75	USD	4,281.25	
23AUG17	B	1	PD24NOV17	2386.50	2559.75	USD	4,331.25	
23AUG17	B	3	PD24NOV17	2390.50	2559.75	USD	12,693.75	
23AUG17	S	6	PD24NOV17	2387.00	2559.75	USD	-25,912.50	
23AUG17	B	2	PD24NOV17	2384.00	2559.75	USD	8,787.50	
23AUG17	B	1	PD24NOV17	2390.00	2559.75	USD	4,243.75	
23AUG17	B	3	PD24NOV17	2389.50	2559.75	USD	12,768.75	
23AUG17	B	2	PD24NOV17	2387.00	2559.75	USD	8,637.50	
23AUG17	B	1	PD24NOV17	2388.00	2559.75	USD	4,293.75	
23AUG17	B	2	PD24NOV17	2382.50	2559.75	USD	8,862.50	
23AUG17	B	1	PD24NOV17	2382.00	2559.75	USD	4,443.75	
23AUG17	S	1	PD24NOV17	2382.50	2559.75	USD	-4,431.25	
23AUG17	S	1	PD24NOV17	2382.00	2559.75	USD	-4,443.75	
23AUG17	S	4	PD24NOV17	2391.00	2559.75	USD	-16,875.00	
23AUG17	S	1	PD24NOV17	2393.00	2559.75	USD	-4,168.75	
23AUG17	S	2	PD24NOV17	2390.00	2559.75	USD	-8,487.50	
23AUG17	S	1	PD24NOV17	2386.50	2559.75	USD	-4,331.25	
23AUG17	B	3	PD24NOV17	2385.50	2559.75	USD	13,068.75	
23AUG17	B	2	PD24NOV17	2385.00	2559.75	USD	8,737.50	
23AUG17	S	1	PD24NOV17	2387.00	2559.75	USD	-4,318.75	
24AUG17	S	1	PD24NOV17	2397.50	2559.75	USD	-4,056.25	
24AUG17	S	1	PD24NOV17	2402.00	2559.75	USD	-3,943.75	
24AUG17	S	1	PD24NOV17	2402.50	2559.75	USD	-3,931.25	
24AUG17	B	1	PD24NOV17	2389.50	2559.75	USD	4,256.25	
24AUG17	S	1	PD24NOV17	2391.00	2559.75	USD	-4,218.75	
24AUG17	S	2	PD24NOV17	2394.50	2559.75	USD	-8,262.50	
24AUG17	B	1	PD24NOV17	2393.00	2559.75	USD	4,168.75	
24AUG17	S	3	PD24NOV17	2396.50	2559.75	USD	-12,243.75	
24AUG17	S	5	PD24NOV17	2397.00	2559.75	USD	-20,343.75	
24AUG17	S	1	PD24NOV17	2399.50	2559.75	USD	-4,006.25	
24AUG17	S	4	PD24NOV17	2398.50	2559.75	USD	-16,125.00	
24AUG17	B	1	PD24NOV17	2398.00	2559.75	USD	4,043.75	
24AUG17	S	1	PD24NOV17	2398.00	2559.75	USD	-4,043.75	
24AUG17	S	3	PD24NOV17	2399.00	2559.75	USD	-12,056.25	
24AUG17	S	1	PD24NOV17	2387.00	2559.75	USD	-4,318.75	
24AUG17	S	1	PD24NOV17	2389.50	2559.75	USD	-4,256.25	
24AUG17	B	1	PD24NOV17	2376.00	2559.75	USD	4,593.75	
24AUG17	B	1	PD24NOV17	2374.50	2559.75	USD	4,631.25	
24AUG17	B	1	PD24NOV17	2375.00	2559.75	USD	4,618.75	
24AUG17	S	1	PD24NOV17	2374.50	2559.75	USD	-4,631.25	
24AUG17	S	1	PD24NOV17	2375.00	2559.75	USD	-4,618.75	
24AUG17	B	1	PD24NOV17	2375.50	2559.75	USD	4,606.25	
24AUG17	B	1	PD24NOV17	2371.00	2559.75	USD	4,718.75	
24AUG17	B	1	PD24NOV17	2370.50	2559.75	USD	4,731.25	
24AUG17	B	1	PD24NOV17	2367.00	2559.75	USD	4,818.75	
24AUG17	B	1	PD24NOV17	2366.00	2559.75	USD	4,843.75	
24AUG17	B	2	PD24NOV17	2358.50	2559.75	USD	10,062.50	
24AUG17	B	2	PD24NOV17	2362.00	2559.75	USD	9,887.50	
24AUG17	B	1	PD24NOV17	2363.50	2559.75	USD	4,906.25	
24AUG17	B	1	PD24NOV17	2364.00	2559.75	USD	4,893.75	
24AUG17	B	2	PD24NOV17	2364.50	2559.75	USD	9,762.50	
24AUG17	S	1	PD24NOV17	2362.00	2559.75	USD	-4,943.75	
24AUG17	B	1	PD24NOV17	2354.50	2559.75	USD	5,131.25	
24AUG17	B	1	PD24NOV17	2355.00	2559.75	USD	5,118.75	
24AUG17	B	1	PD24NOV17	2352.50	2559.75	USD	5,181.25	
24AUG17	S	1	PD24NOV17	2355.50	2559.75	USD	-5,106.25	

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24AUG17	B	1	PD24NOV17	2354.00	2559.75	USD	5,143.75	
24AUG17	B	1	PD24NOV17	2352.00	2559.75	USD	5,193.75	
24AUG17	S	2	PD24NOV17	2358.00	2559.75	USD	-10,087.50	
24AUG17	S	2	PD24NOV17	2361.50	2559.75	USD	-9,912.50	
24AUG17	S	2	PD24NOV17	2362.50	2559.75	USD	-9,862.50	
24AUG17	B	6	PD24NOV17	2365.50	2559.75	USD	29,137.50	
24AUG17	B	1	PD24NOV17	2350.00	2559.75	USD	5,243.75	
24AUG17	S	3	PD24NOV17	2357.00	2559.75	USD	-15,206.25	
25AUG17	S	1	PD24NOV17	2368.50	2559.75	USD	-4,781.25	
25AUG17	S	1	PD24NOV17	2370.50	2559.75	USD	-4,731.25	
25AUG17	S	1	PD24NOV17	2373.00	2559.75	USD	-4,668.75	
25AUG17	S	1	PD24NOV17	2376.50	2559.75	USD	-4,581.25	
25AUG17	B	1	PD24NOV17	2372.00	2559.75	USD	4,693.75	
25AUG17	B	1	PD24NOV17	2368.50	2559.75	USD	4,781.25	
25AUG17	B	1	PD24NOV17	2366.50	2559.75	USD	4,831.25	
25AUG17	B	1	PD24NOV17	2343.00	2559.75	USD	5,418.75	
25AUG17	B	1	PD24NOV17	2355.00	2559.75	USD	5,118.75	
25AUG17	B	1	PD24NOV17	2347.50	2559.75	USD	5,306.25	
25AUG17	B	1	PD24NOV17	2348.50	2559.75	USD	5,281.25	
25AUG17	B	1	PD24NOV17	2351.50	2559.75	USD	5,206.25	
25AUG17	S	1	PD24NOV17	2333.50	2559.75	USD	-5,656.25	
25AUG17	S	1	PD24NOV17	2334.00	2559.75	USD	-5,643.75	
25AUG17	B	1	PD24NOV17	2341.00	2559.75	USD	5,468.75	
29AUG17	S	20	PD24NOV17	2376.55	2559.75	USD	-91,600.00	
	NET	0 AVG BGHT 2384.34	SOLD 2388.35				10,825.00	0.00
29AUG17	S	1	PD29NOV17	2342.00	2560.20	USD	-5,455.00	
29AUG17	S	1	PD29NOV17	2343.00	2560.20	USD	-5,430.00	
29AUG17	S	2	PD29NOV17	2345.00	2560.20	USD	-10,760.00	
29AUG17	S	1	PD29NOV17	2346.00	2560.20	USD	-5,355.00	
29AUG17	S	1	PD29NOV17	2344.50	2560.20	USD	-5,392.50	
29AUG17	S	1	PD29NOV17	2342.50	2560.20	USD	-5,442.50	
29AUG17	B	1	PD29NOV17	2349.50	2560.20	USD	5,267.50	
29AUG17	B	1	PD29NOV17	2350.00	2560.20	USD	5,255.00	
29AUG17	S	1	PD29NOV17	2350.00	2560.20	USD	-5,255.00	
29AUG17	S	1	PD29NOV17	2377.00	2560.20	USD	-4,580.00	
29AUG17	S	1	PD29NOV17	2380.50	2560.20	USD	-4,492.50	
29AUG17	S	3	PD29NOV17	2386.50	2560.20	USD	-13,027.50	
29AUG17	B	1	PD29NOV17	2386.00	2560.20	USD	4,355.00	
29AUG17	S	1	PD29NOV17	2392.00	2560.20	USD	-4,205.00	
29AUG17	S	1	PD29NOV17	2393.50	2560.20	USD	-4,167.50	
29AUG17	B	1	PD29NOV17	2399.00	2560.20	USD	4,030.00	
29AUG17	B	1	PD29NOV17	2399.50	2560.20	USD	4,017.50	
29AUG17	B	1	PD29NOV17	2402.50	2560.20	USD	3,942.50	
29AUG17	B	1	PD29NOV17	2400.00	2560.20	USD	4,005.00	
29AUG17	B	2	PD29NOV17	2396.50	2560.20	USD	8,185.00	
29AUG17	S	1	PD29NOV17	2345.50	2560.20	USD	-5,367.50	
29AUG17	S	1	PD29NOV17	2402.50	2560.20	USD	-3,942.50	
29AUG17	B	1	PD29NOV17	2395.00	2560.20	USD	4,130.00	
29AUG17	B	1	PD29NOV17	2380.50	2560.20	USD	4,492.50	
29AUG17	B	1	PD29NOV17	2384.00	2560.20	USD	4,405.00	
29AUG17	B	1	PD29NOV17	2383.00	2560.20	USD	4,430.00	
29AUG17	S	1	PD29NOV17	2388.00	2560.20	USD	-4,305.00	
29AUG17	B	1	PD29NOV17	2380.00	2560.20	USD	4,505.00	
29AUG17	B	5	PD29NOV17	2384.50	2560.20	USD	21,962.50	
29AUG17	S	2	PD29NOV17	2387.50	2560.20	USD	-8,635.00	
06SEP17	B	1	PD29NOV17	2338.08	2560.20	USD	5,553.00	
	NET	0 AVG BGHT 2383.13	SOLD 2368.58				-7,277.00	0.00

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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30AUG17	B	1	PD30NOV17	2389.50	2560.30	USD	4,270.00	
30AUG17	B	1	PD30NOV17	2393.00	2560.30	USD	4,182.50	
30AUG17	B	2	PD30NOV17	2399.00	2560.30	USD	8,065.00	
30AUG17	B	1	PD30NOV17	2399.50	2560.30	USD	4,020.00	
30AUG17	S	1	PD30NOV17	2394.00	2560.30	USD	-4,157.50	
30AUG17	S	1	PD30NOV17	2397.00	2560.30	USD	-4,082.50	
30AUG17	S	1	PD30NOV17	2395.00	2560.30	USD	-4,132.50	
30AUG17	B	1	PD30NOV17	2391.00	2560.30	USD	4,232.50	
30AUG17	B	1	PD30NOV17	2391.50	2560.30	USD	4,220.00	
30AUG17	S	2	PD30NOV17	2383.00	2560.30	USD	-8,865.00	
30AUG17	S	2	PD30NOV17	2384.00	2560.30	USD	-8,815.00	
30AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	
30AUG17	S	1	PD30NOV17	2380.50	2560.30	USD	-4,495.00	
30AUG17	B	2	PD30NOV17	2397.00	2560.30	USD	8,165.00	
30AUG17	B	1	PD30NOV17	2396.50	2560.30	USD	4,095.00	
30AUG17	B	1	PD30NOV17	2390.00	2560.30	USD	4,257.50	
30AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	
30AUG17	S	1	PD30NOV17	2371.50	2560.30	USD	-4,720.00	
30AUG17	S	1	PD30NOV17	2377.50	2560.30	USD	-4,570.00	
30AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
30AUG17	S	2	PD30NOV17	2379.00	2560.30	USD	-9,065.00	
30AUG17	S	1	PD30NOV17	2381.50	2560.30	USD	-4,470.00	
30AUG17	B	1	PD30NOV17	2387.50	2560.30	USD	4,320.00	
30AUG17	B	1	PD30NOV17	2386.00	2560.30	USD	4,357.50	
30AUG17	B	1	PD30NOV17	2385.50	2560.30	USD	4,370.00	
30AUG17	B	1	PD30NOV17	2383.00	2560.30	USD	4,432.50	
30AUG17	B	1	PD30NOV17	2382.00	2560.30	USD	4,457.50	
30AUG17	B	1	PD30NOV17	2381.50	2560.30	USD	4,470.00	
31AUG17	B	2	PD30NOV17	2374.50	2560.30	USD	9,290.00	
31AUG17	B	2	PD30NOV17	2388.50	2560.30	USD	8,590.00	
31AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	
31AUG17	S	1	PD30NOV17	2380.00	2560.30	USD	-4,507.50	
31AUG17	S	2	PD30NOV17	2390.00	2560.30	USD	-8,515.00	
31AUG17	B	1	PD30NOV17	2387.50	2560.30	USD	4,320.00	
31AUG17	S	1	PD30NOV17	2394.00	2560.30	USD	-4,157.50	
31AUG17	S	1	PD30NOV17	2395.50	2560.30	USD	-4,120.00	
31AUG17	B	1	PD30NOV17	2389.00	2560.30	USD	4,282.50	
31AUG17	B	1	PD30NOV17	2374.50	2560.30	USD	4,645.00	
31AUG17	B	1	PD30NOV17	2390.00	2560.30	USD	4,257.50	
31AUG17	S	1	PD30NOV17	2397.00	2560.30	USD	-4,082.50	
31AUG17	B	2	PD30NOV17	2383.50	2560.30	USD	8,840.00	
31AUG17	B	1	PD30NOV17	2383.00	2560.30	USD	4,432.50	
31AUG17	B	1	PD30NOV17	2381.50	2560.30	USD	4,470.00	
31AUG17	B	1	PD30NOV17	2377.00	2560.30	USD	4,582.50	
31AUG17	B	1	PD30NOV17	2374.00	2560.30	USD	4,657.50	
31AUG17	B	1	PD30NOV17	2378.00	2560.30	USD	4,557.50	
31AUG17	B	1	PD30NOV17	2393.00	2560.30	USD	4,182.50	
31AUG17	S	1	PD30NOV17	2393.00	2560.30	USD	-4,182.50	
31AUG17	S	1	PD30NOV17	2382.50	2560.30	USD	-4,445.00	
31AUG17	S	1	PD30NOV17	2390.50	2560.30	USD	-4,245.00	
31AUG17	S	1	PD30NOV17	2394.50	2560.30	USD	-4,145.00	
31AUG17	S	1	PD30NOV17	2390.50	2560.30	USD	-4,245.00	
06SEP17	S	1	PD30NOV17	2338.15	2560.30	USD	-5,553.75	
06SEP17	S	4	PD30NOV17	2338.35	2560.30	USD	-22,195.00	
	NET	0	AVG BGHT 2386.94	SOLD 2378.87			-6,661.25	0.00
01SEP17	B	1	PD01DEC17	2397.50	2560.39	USD	4,072.25	
01SEP17	B	1	PD01DEC17	2394.50	2560.39	USD	4,147.25	

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01SEP17	S	1	PD01DEC17	2391.00	2560.39	USD	-4,234.75	
01SEP17	S	1	PD01DEC17	2392.50	2560.39	USD	-4,197.25	
01SEP17	S	1	PD01DEC17	2393.50	2560.39	USD	-4,172.25	
01SEP17	S	1	PD01DEC17	2401.00	2560.39	USD	-3,984.75	
01SEP17	S	4	PD01DEC17	2398.00	2560.39	USD	-16,239.00	
01SEP17	B	1	PD01DEC17	2403.50	2560.39	USD	3,922.25	
01SEP17	S	1	PD01DEC17	2406.00	2560.39	USD	-3,859.75	
01SEP17	B	1	PD01DEC17	2398.00	2560.39	USD	4,059.75	
01SEP17	B	1	PD01DEC17	2398.50	2560.39	USD	4,047.25	
01SEP17	B	1	PD01DEC17	2392.00	2560.39	USD	4,209.75	
01SEP17	B	2	PD01DEC17	2392.50	2560.39	USD	8,394.50	
01SEP17	B	2	PD01DEC17	2391.00	2560.39	USD	8,469.50	
01SEP17	B	1	PD01DEC17	2388.00	2560.39	USD	4,309.75	
01SEP17	B	1	PD01DEC17	2387.50	2560.39	USD	4,322.25	
01SEP17	B	1	PD01DEC17	2387.00	2560.39	USD	4,334.75	
01SEP17	S	1	PD01DEC17	2390.00	2560.39	USD	-4,259.75	
01SEP17	S	1	PD01DEC17	2386.50	2560.39	USD	-4,347.25	
01SEP17	S	1	PD01DEC17	2384.50	2560.39	USD	-4,397.25	
01SEP17	S	1	PD01DEC17	2396.50	2560.39	USD	-4,097.25	
01SEP17	S	1	PD01DEC17	2400.00	2560.39	USD	-4,009.75	
01SEP17	S	1	PD01DEC17	2401.50	2560.39	USD	-3,972.25	
01SEP17	S	1	PD01DEC17	2405.00	2560.39	USD	-3,884.75	
01SEP17	S	1	PD01DEC17	2404.00	2560.39	USD	-3,909.75	
01SEP17	S	2	PD01DEC17	2405.50	2560.39	USD	-7,744.50	
01SEP17	S	6	PD01DEC17	2406.50	2560.39	USD	-23,083.50	
01SEP17	S	1	PD01DEC17	2411.00	2560.39	USD	-3,734.75	
01SEP17	S	1	PD01DEC17	2407.50	2560.39	USD	-3,822.25	
01SEP17	S	2	PD01DEC17	2407.00	2560.39	USD	-7,669.50	
01SEP17	S	2	PD01DEC17	2404.50	2560.39	USD	-7,794.50	
01SEP17	B	1	PD01DEC17	2413.00	2560.39	USD	3,684.75	
01SEP17	B	1	PD01DEC17	2412.50	2560.39	USD	3,697.25	
01SEP17	B	2	PD01DEC17	2412.00	2560.39	USD	7,419.50	
01SEP17	B	1	PD01DEC17	2411.00	2560.39	USD	3,734.75	
01SEP17	S	1	PD01DEC17	2403.00	2560.39	USD	-3,934.75	
01SEP17	B	1	PD01DEC17	2403.00	2560.39	USD	3,934.75	
01SEP17	B	1	PD01DEC17	2406.50	2560.39	USD	3,847.25	
01SEP17	B	1	PD01DEC17	2405.50	2560.39	USD	3,872.25	
01SEP17	B	1	PD01DEC17	2401.50	2560.39	USD	3,972.25	
01SEP17	B	1	PD01DEC17	2393.00	2560.39	USD	4,184.75	
01SEP17	B	2	PD01DEC17	2394.00	2560.39	USD	8,319.50	
01SEP17	B	3	PD01DEC17	2393.50	2560.39	USD	12,516.75	
01SEP17	B	1	PD01DEC17	2412.00	2560.39	USD	3,709.75	
01SEP17	S	1	PD01DEC17	2399.00	2560.39	USD	-4,034.75	
06SEP17	B	4	PD01DEC17	2338.83	2560.39	USD	22,156.00	
	NET	0	AVG BGHT 2391.49	SOLD 2401.14			7,954.50	0.00
04SEP17	B	1	PD04DEC17	2407.50	2560.66	USD	3,829.00	
04SEP17	B	1	PD04DEC17	2407.00	2560.66	USD	3,841.50	
04SEP17	B	1	PD04DEC17	2405.50	2560.66	USD	3,879.00	
04SEP17	B	1	PD04DEC17	2404.50	2560.66	USD	3,904.00	
04SEP17	B	1	PD04DEC17	2400.50	2560.66	USD	4,004.00	
04SEP17	B	1	PD04DEC17	2393.00	2560.66	USD	4,191.50	
04SEP17	B	1	PD04DEC17	2395.50	2560.66	USD	4,129.00	
04SEP17	B	1	PD04DEC17	2395.00	2560.66	USD	4,141.50	
04SEP17	B	1	PD04DEC17	2397.00	2560.66	USD	4,091.50	
04SEP17	S	1	PD04DEC17	2398.50	2560.66	USD	-4,054.00	
04SEP17	B	1	PD04DEC17	2387.50	2560.66	USD	4,329.00	
04SEP17	B	1	PD04DEC17	2386.00	2560.66	USD	4,366.50	

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04SEP17	B	1	PD04DEC17	2385.50	2560.66	USD	4,379.00	
04SEP17	S	1	PD04DEC17	2390.00	2560.66	USD	-4,266.50	
04SEP17	S	1	PD04DEC17	2391.00	2560.66	USD	-4,241.50	
04SEP17	S	1	PD04DEC17	2392.00	2560.66	USD	-4,216.50	
04SEP17	S	1	PD04DEC17	2393.00	2560.66	USD	-4,191.50	
04SEP17	S	1	PD04DEC17	2398.00	2560.66	USD	-4,066.50	
04SEP17	S	1	PD04DEC17	2401.00	2560.66	USD	-3,991.50	
04SEP17	B	4	PD04DEC17	2390.00	2560.66	USD	17,066.00	
04SEP17	S	1	PD04DEC17	2395.00	2560.66	USD	-4,141.50	
04SEP17	S	3	PD04DEC17	2394.00	2560.66	USD	-12,499.50	
04SEP17	B	2	PD04DEC17	2391.00	2560.66	USD	8,483.00	
04SEP17	S	1	PD04DEC17	2391.50	2560.66	USD	-4,229.00	
04SEP17	S	1	PD04DEC17	2392.00	2560.66	USD	-4,216.50	
04SEP17	S	1	PD04DEC17	2392.50	2560.66	USD	-4,204.00	
04SEP17	S	2	PD04DEC17	2393.00	2560.66	USD	-8,383.00	
06SEP17	S	2	PD04DEC17	2339.45	2560.66	USD	-11,060.50	
	NET	0 AVG BGHT 2394.81	SOLD 2387.86				-3,127.50	0.00
05SEP17	S	1	PD05DEC17	2394.50	2560.75	USD	-4,156.25	
05SEP17	B	1	PD05DEC17	2399.50	2560.75	USD	4,031.25	
05SEP17	S	1	PD05DEC17	2405.00	2560.75	USD	-3,893.75	
05SEP17	S	2	PD05DEC17	2405.50	2560.75	USD	-7,762.50	
05SEP17	S	2	PD05DEC17	2398.50	2560.75	USD	-8,112.50	
05SEP17	S	1	PD05DEC17	2399.50	2560.75	USD	-4,031.25	
05SEP17	S	1	PD05DEC17	2395.00	2560.75	USD	-4,143.75	
05SEP17	S	1	PD05DEC17	2393.00	2560.75	USD	-4,193.75	
05SEP17	B	1	PD05DEC17	2391.00	2560.75	USD	4,243.75	
05SEP17	B	1	PD05DEC17	2389.50	2560.75	USD	4,281.25	
05SEP17	B	1	PD05DEC17	2388.50	2560.75	USD	4,306.25	
05SEP17	B	3	PD05DEC17	2389.00	2560.75	USD	12,881.25	
05SEP17	B	3	PD05DEC17	2387.00	2560.75	USD	13,031.25	
05SEP17	B	2	PD05DEC17	2386.50	2560.75	USD	8,712.50	
05SEP17	B	1	PD05DEC17	2386.00	2560.75	USD	4,368.75	
05SEP17	B	1	PD05DEC17	2384.50	2560.75	USD	4,406.25	
05SEP17	B	3	PD05DEC17	2382.50	2560.75	USD	13,368.75	
05SEP17	B	2	PD05DEC17	2379.50	2560.75	USD	9,062.50	
05SEP17	B	4	PD05DEC17	2378.00	2560.75	USD	18,275.00	
05SEP17	B	1	PD05DEC17	2373.50	2560.75	USD	4,681.25	
05SEP17	S	1	PD05DEC17	2370.00	2560.75	USD	-4,768.75	
05SEP17	S	2	PD05DEC17	2373.00	2560.75	USD	-9,387.50	
05SEP17	S	1	PD05DEC17	2373.50	2560.75	USD	-4,681.25	
05SEP17	S	1	PD05DEC17	2366.50	2560.75	USD	-4,856.25	
05SEP17	S	1	PD05DEC17	2365.00	2560.75	USD	-4,893.75	
05SEP17	S	1	PD05DEC17	2371.00	2560.75	USD	-4,743.75	
05SEP17	B	1	PD05DEC17	2367.50	2560.75	USD	4,831.25	
05SEP17	S	1	PD05DEC17	2365.50	2560.75	USD	-4,881.25	
05SEP17	S	3	PD05DEC17	2364.00	2560.75	USD	-14,756.25	
05SEP17	B	2	PD05DEC17	2368.00	2560.75	USD	9,637.50	
05SEP17	S	1	PD05DEC17	2362.00	2560.75	USD	-4,968.75	
05SEP17	S	1	PD05DEC17	2362.50	2560.75	USD	-4,956.25	
05SEP17	B	1	PD05DEC17	2355.50	2560.75	USD	5,131.25	
05SEP17	S	1	PD05DEC17	2354.50	2560.75	USD	-5,156.25	
05SEP17	S	1	PD05DEC17	2355.00	2560.75	USD	-5,143.75	
05SEP17	B	1	PD05DEC17	2354.00	2560.75	USD	5,168.75	
05SEP17	B	1	PD05DEC17	2353.50	2560.75	USD	5,181.25	
05SEP17	B	1	PD05DEC17	2346.00	2560.75	USD	5,368.75	
05SEP17	S	1	PD05DEC17	2344.00	2560.75	USD	-5,418.75	
05SEP17	S	1	PD05DEC17	2339.00	2560.75	USD	-5,543.75	

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	<u>LME REGISTERED CLIENT CONTRACT</u>								
05SEP17	S	1	PD05DEC17		2340.00	2560.75	USD	-5,518.75	
05SEP17	S	1	PD05DEC17		2341.00	2560.75	USD	-5,493.75	
05SEP17	S	2	PD05DEC17		2341.50	2560.75	USD	-10,962.50	
05SEP17	B	1	PD05DEC17		2332.50	2560.75	USD	5,706.25	
05SEP17	B	1	PD05DEC17		2332.00	2560.75	USD	5,718.75	
05SEP17	S	1	PD05DEC17		2329.50	2560.75	USD	-5,781.25	
05SEP17	S	1	PD05DEC17		2328.50	2560.75	USD	-5,806.25	
05SEP17	S	1	PD05DEC17		2326.50	2560.75	USD	-5,856.25	
05SEP17	S	2	PD05DEC17		2327.00	2560.75	USD	-11,687.50	
05SEP17	S	1	PD05DEC17		2331.50	2560.75	USD	-5,731.25	
05SEP17	S	1	PD05DEC17		2331.00	2560.75	USD	-5,743.75	
05SEP17	S	1	PD05DEC17		2338.00	2560.75	USD	-5,568.75	
05SEP17	S	3	PD05DEC17		2336.50	2560.75	USD	-16,818.75	
05SEP17	B	1	PD05DEC17		2331.00	2560.75	USD	5,743.75	
05SEP17	S	1	PD05DEC17		2341.00	2560.75	USD	-5,493.75	
06SEP17	B	2	PD05DEC17		2339.93	2560.75	USD	11,041.00	
08SEP17	B	6	PD05DEC17		2264.58	2560.75	USD	44,425.50	
	NET	0AVG BGHT 2357.32		SOLD 2359.88				2,691.50	0.00
06SEP17	B	1	PD06DEC17		2340.00	2561.00	USD	5,525.00	
06SEP17	B	1	PD06DEC17		2339.00	2561.00	USD	5,550.00	
06SEP17	B	3	PD06DEC17		2336.00	2561.00	USD	16,875.00	
06SEP17	B	3	PD06DEC17		2338.00	2561.00	USD	16,725.00	
06SEP17	B	2	PD06DEC17		2337.00	2561.00	USD	11,200.00	
06SEP17	S	1	PD06DEC17		2323.00	2561.00	USD	-5,950.00	
06SEP17	S	1	PD06DEC17		2317.50	2561.00	USD	-6,087.50	
06SEP17	S	1	PD06DEC17		2323.50	2561.00	USD	-5,937.50	
06SEP17	S	2	PD06DEC17		2325.00	2561.00	USD	-11,800.00	
06SEP17	S	1	PD06DEC17		2329.50	2561.00	USD	-5,787.50	
06SEP17	S	2	PD06DEC17		2330.00	2561.00	USD	-11,550.00	
06SEP17	S	2	PD06DEC17		2327.00	2561.00	USD	-11,700.00	
06SEP17	S	1	PD06DEC17		2324.50	2561.00	USD	-5,912.50	
06SEP17	S	2	PD06DEC17		2339.50	2561.00	USD	-11,075.00	
06SEP17	S	1	PD06DEC17		2357.00	2561.00	USD	-5,100.00	
06SEP17	S	1	PD06DEC17		2363.00	2561.00	USD	-4,950.00	
06SEP17	B	1	PD06DEC17		2350.00	2561.00	USD	5,275.00	
06SEP17	B	3	PD06DEC17		2349.00	2561.00	USD	15,900.00	
06SEP17	B	1	PD06DEC17		2348.50	2561.00	USD	5,312.50	
06SEP17	B	1	PD06DEC17		2348.00	2561.00	USD	5,325.00	
06SEP17	B	1	PD06DEC17		2344.50	2561.00	USD	5,412.50	
06SEP17	B	2	PD06DEC17		2346.50	2561.00	USD	10,725.00	
06SEP17	S	1	PD06DEC17		2360.00	2561.00	USD	-5,025.00	
06SEP17	B	2	PD06DEC17		2341.00	2561.00	USD	11,000.00	
06SEP17	B	1	PD06DEC17		2344.00	2561.00	USD	5,425.00	
06SEP17	B	1	PD06DEC17		2341.50	2561.00	USD	5,487.50	
06SEP17	S	2	PD06DEC17		2342.50	2561.00	USD	-10,925.00	
06SEP17	S	1	PD06DEC17		2348.50	2561.00	USD	-5,312.50	
06SEP17	S	3	PD06DEC17		2343.50	2561.00	USD	-16,312.50	
06SEP17	S	1	PD06DEC17		2344.00	2561.00	USD	-5,425.00	
06SEP17	S	1	PD06DEC17		2344.50	2561.00	USD	-5,412.50	
06SEP17	S	1	PD06DEC17		2345.50	2561.00	USD	-5,387.50	
06SEP17	S	1	PD06DEC17		2351.00	2561.00	USD	-5,250.00	
06SEP17	S	1	PD06DEC17		2361.00	2561.00	USD	-5,000.00	
06SEP17	S	2	PD06DEC17		2361.50	2561.00	USD	-9,975.00	
06SEP17	S	2	PD06DEC17		2362.00	2561.00	USD	-9,950.00	
06SEP17	S	2	PD06DEC17		2359.50	2561.00	USD	-10,075.00	
06SEP17	S	1	PD06DEC17		2352.00	2561.00	USD	-5,225.00	
06SEP17	B	1	PD06DEC17		2347.50	2561.00	USD	5,337.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	2	PD06DEC17	2346.00	2561.00	USD	10,750.00	
06SEP17	B	2	PD06DEC17	2345.00	2561.00	USD	10,800.00	
06SEP17	B	1	PD06DEC17	2343.00	2561.00	USD	5,450.00	
06SEP17	B	2	PD06DEC17	2342.00	2561.00	USD	10,950.00	
06SEP17	B	4	PD06DEC17	2345.50	2561.00	USD	21,550.00	
06SEP17	B	2	PD06DEC17	2353.00	2561.00	USD	10,400.00	
06SEP17	B	1	PD06DEC17	2353.50	2561.00	USD	5,187.50	
06SEP17	B	2	PD06DEC17	2355.00	2561.00	USD	10,300.00	
08SEP17	S	6	PD06DEC17	2264.80	2561.00	USD	-44,430.00	
	NET	0 AVG BGHT 2344.54		SOLD 2331.45			-13,092.50	0.00
07SEP17	B	1	PD07DEC17	2348.50	2561.25	USD	5,318.75	
07SEP17	S	1	PD07DEC17	2343.00	2561.25	USD	-5,456.25	
07SEP17	S	2	PD07DEC17	2341.50	2561.25	USD	-10,987.50	
07SEP17	S	2	PD07DEC17	2337.50	2561.25	USD	-11,187.50	
07SEP17	B	2	PD07DEC17	2334.50	2561.25	USD	11,337.50	
07SEP17	B	1	PD07DEC17	2333.00	2561.25	USD	5,706.25	
07SEP17	B	1	PD07DEC17	2338.00	2561.25	USD	5,581.25	
07SEP17	B	1	PD07DEC17	2338.50	2561.25	USD	5,568.75	
07SEP17	B	3	PD07DEC17	2335.50	2561.25	USD	16,931.25	
07SEP17	B	1	PD07DEC17	2331.00	2561.25	USD	5,756.25	
07SEP17	B	1	PD07DEC17	2334.00	2561.25	USD	5,681.25	
07SEP17	B	3	PD07DEC17	2333.50	2561.25	USD	17,081.25	
07SEP17	B	1	PD07DEC17	2335.00	2561.25	USD	5,656.25	
07SEP17	B	1	PD07DEC17	2321.50	2561.25	USD	5,993.75	
07SEP17	S	1	PD07DEC17	2322.50	2561.25	USD	-5,968.75	
07SEP17	S	1	PD07DEC17	2332.50	2561.25	USD	-5,718.75	
07SEP17	S	1	PD07DEC17	2335.50	2561.25	USD	-5,643.75	
07SEP17	S	1	PD07DEC17	2340.50	2561.25	USD	-5,518.75	
07SEP17	S	2	PD07DEC17	2340.00	2561.25	USD	-11,062.50	
07SEP17	S	1	PD07DEC17	2342.00	2561.25	USD	-5,481.25	
07SEP17	S	2	PD07DEC17	2336.50	2561.25	USD	-11,237.50	
07SEP17	S	2	PD07DEC17	2337.00	2561.25	USD	-11,212.50	
07SEP17	S	2	PD07DEC17	2338.00	2561.25	USD	-11,162.50	
07SEP17	S	4	PD07DEC17	2339.00	2561.25	USD	-22,225.00	
07SEP17	S	3	PD07DEC17	2339.50	2561.25	USD	-16,631.25	
07SEP17	S	1	PD07DEC17	2347.00	2561.25	USD	-5,356.25	
07SEP17	S	1	PD07DEC17	2350.00	2561.25	USD	-5,281.25	
07SEP17	S	2	PD07DEC17	2362.00	2561.25	USD	-9,962.50	
07SEP17	B	1	PD07DEC17	2363.00	2561.25	USD	4,956.25	
07SEP17	B	1	PD07DEC17	2361.00	2561.25	USD	5,006.25	
07SEP17	B	2	PD07DEC17	2351.50	2561.25	USD	10,487.50	
07SEP17	B	1	PD07DEC17	2347.00	2561.25	USD	5,356.25	
07SEP17	B	1	PD07DEC17	2346.50	2561.25	USD	5,368.75	
07SEP17	S	1	PD07DEC17	2348.00	2561.25	USD	-5,331.25	
07SEP17	B	1	PD07DEC17	2342.00	2561.25	USD	5,481.25	
07SEP17	B	4	PD07DEC17	2339.50	2561.25	USD	22,175.00	
07SEP17	B	1	PD07DEC17	2341.50	2561.25	USD	5,493.75	
07SEP17	B	1	PD07DEC17	2342.50	2561.25	USD	5,468.75	
07SEP17	B	1	PD07DEC17	2340.00	2561.25	USD	5,531.25	
	NET	0 AVG BGHT 2340.00		SOLD 2340.68			512.50	0.00
08SEP17	B	1	PD08DEC17	2356.00	2561.50	USD	5,137.50	
08SEP17	B	1	PD08DEC17	2354.00	2561.50	USD	5,187.50	
08SEP17	B	1	PD08DEC17	2351.50	2561.50	USD	5,250.00	
08SEP17	B	1	PD08DEC17	2351.00	2561.50	USD	5,262.50	
08SEP17	S	1	PD08DEC17	2346.50	2561.50	USD	-5,375.00	
08SEP17	B	1	PD08DEC17	2346.00	2561.50	USD	5,387.50	
08SEP17	B	1	PD08DEC17	2346.50	2561.50	USD	5,375.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	2342.50	2561.50	USD	-10,950.00	
08SEP17	S	2	PD08DEC17	2341.00	2561.50	USD	-11,025.00	
08SEP17	S	1	PD08DEC17	2341.50	2561.50	USD	-5,500.00	
08SEP17	S	2	PD08DEC17	2342.00	2561.50	USD	-10,975.00	
08SEP17	S	2	PD08DEC17	2338.50	2561.50	USD	-11,150.00	
08SEP17	S	1	PD08DEC17	2335.50	2561.50	USD	-5,650.00	
08SEP17	B	1	PD08DEC17	2324.50	2561.50	USD	5,925.00	
08SEP17	B	1	PD08DEC17	2323.50	2561.50	USD	5,950.00	
08SEP17	B	1	PD08DEC17	2324.00	2561.50	USD	5,937.50	
08SEP17	B	1	PD08DEC17	2323.00	2561.50	USD	5,962.50	
08SEP17	B	1	PD08DEC17	2321.50	2561.50	USD	6,000.00	
08SEP17	S	1	PD08DEC17	2320.00	2561.50	USD	-6,037.50	
08SEP17	B	1	PD08DEC17	2314.50	2561.50	USD	6,175.00	
08SEP17	S	1	PD08DEC17	2321.00	2561.50	USD	-6,012.50	
08SEP17	S	1	PD08DEC17	2321.50	2561.50	USD	-6,000.00	
08SEP17	B	1	PD08DEC17	2319.50	2561.50	USD	6,050.00	
08SEP17	B	3	PD08DEC17	2316.00	2561.50	USD	18,412.50	
08SEP17	S	1	PD08DEC17	2304.50	2561.50	USD	-6,425.00	
08SEP17	B	1	PD08DEC17	2307.00	2561.50	USD	6,362.50	
08SEP17	B	2	PD08DEC17	2305.00	2561.50	USD	12,825.00	
08SEP17	B	1	PD08DEC17	2304.00	2561.50	USD	6,437.50	
08SEP17	B	1	PD08DEC17	2326.00	2561.50	USD	5,887.50	
08SEP17	B	1	PD08DEC17	2321.50	2561.50	USD	6,000.00	
08SEP17	B	1	PD08DEC17	2320.50	2561.50	USD	6,025.00	
08SEP17	B	1	PD08DEC17	2318.00	2561.50	USD	6,087.50	
08SEP17	B	1	PD08DEC17	2315.50	2561.50	USD	6,150.00	
08SEP17	S	1	PD08DEC17	2295.50	2561.50	USD	-6,650.00	
08SEP17	S	2	PD08DEC17	2296.00	2561.50	USD	-13,275.00	
08SEP17	S	1	PD08DEC17	2292.00	2561.50	USD	-6,737.50	
08SEP17	S	1	PD08DEC17	2290.50	2561.50	USD	-6,775.00	
08SEP17	S	2	PD08DEC17	2292.50	2561.50	USD	-13,450.00	
08SEP17	S	1	PD08DEC17	2291.50	2561.50	USD	-6,750.00	
08SEP17	S	2	PD08DEC17	2293.50	2561.50	USD	-13,400.00	
08SEP17	S	1	PD08DEC17	2294.50	2561.50	USD	-6,675.00	
08SEP17	S	4	PD08DEC17	2295.00	2561.50	USD	-26,650.00	
08SEP17	S	1	PD08DEC17	2300.00	2561.50	USD	-6,537.50	
08SEP17	B	1	PD08DEC17	2303.50	2561.50	USD	6,450.00	
08SEP17	S	2	PD08DEC17	2302.00	2561.50	USD	-12,975.00	
08SEP17	S	2	PD08DEC17	2305.50	2561.50	USD	-12,800.00	
08SEP17	S	1	PD08DEC17	2298.50	2561.50	USD	-6,575.00	
08SEP17	B	1	PD08DEC17	2283.50	2561.50	USD	6,950.00	
08SEP17	B	1	PD08DEC17	2281.00	2561.50	USD	7,012.50	
08SEP17	S	1	PD08DEC17	2295.50	2561.50	USD	-6,650.00	
08SEP17	S	1	PD08DEC17	2276.50	2561.50	USD	-7,125.00	
08SEP17	B	1	PD08DEC17	2270.00	2561.50	USD	7,287.50	
08SEP17	B	1	PD08DEC17	2268.50	2561.50	USD	7,325.00	
08SEP17	B	1	PD08DEC17	2268.00	2561.50	USD	7,337.50	
08SEP17	B	1	PD08DEC17	2267.50	2561.50	USD	7,350.00	
08SEP17	B	1	PD08DEC17	2266.50	2561.50	USD	7,375.00	
08SEP17	B	1	PD08DEC17	2266.00	2561.50	USD	7,387.50	
08SEP17	B	1	PD08DEC17	2265.50	2561.50	USD	7,400.00	
13SEP17	B	3	PD08DEC17	2288.43	2561.50	USD	20,480.25	
	NET	0 AVG BGHT 2308.72	SOLD 2310.84				2,017.75	0.00
11SEP17	B	1	PD11DEC17	2268.50	2562.25	USD	7,343.75	
11SEP17	B	2	PD11DEC17	2270.50	2562.25	USD	14,587.50	
11SEP17	B	1	PD11DEC17	2272.50	2562.25	USD	7,243.75	
11SEP17	B	2	PD11DEC17	2269.50	2562.25	USD	14,637.50	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11SEP17	B	1	PD11DEC17	2279.00	2562.25	USD	7,081.25	
11SEP17	S	1	PD11DEC17	2280.50	2562.25	USD	-7,043.75	
11SEP17	S	1	PD11DEC17	2296.00	2562.25	USD	-6,656.25	
11SEP17	S	1	PD11DEC17	2295.00	2562.25	USD	-6,681.25	
11SEP17	S	2	PD11DEC17	2295.50	2562.25	USD	-13,337.50	
11SEP17	S	1	PD11DEC17	2291.50	2562.25	USD	-6,768.75	
11SEP17	S	1	PD11DEC17	2292.50	2562.25	USD	-6,743.75	
11SEP17	S	3	PD11DEC17	2293.50	2562.25	USD	-20,156.25	
11SEP17	S	4	PD11DEC17	2287.50	2562.25	USD	-27,475.00	
11SEP17	S	1	PD11DEC17	2289.50	2562.25	USD	-6,818.75	
11SEP17	B	1	PD11DEC17	2284.00	2562.25	USD	6,956.25	
11SEP17	B	2	PD11DEC17	2283.50	2562.25	USD	13,937.50	
11SEP17	S	1	PD11DEC17	2267.50	2562.25	USD	-7,368.75	
11SEP17	B	4	PD11DEC17	2267.50	2562.25	USD	29,475.00	
11SEP17	B	1	PD11DEC17	2261.00	2562.25	USD	7,531.25	
11SEP17	B	1	PD11DEC17	2261.50	2562.25	USD	7,518.75	
11SEP17	B	2	PD11DEC17	2262.50	2562.25	USD	14,987.50	
11SEP17	B	1	PD11DEC17	2262.00	2562.25	USD	7,506.25	
11SEP17	S	1	PD11DEC17	2279.00	2562.25	USD	-7,081.25	
11SEP17	S	2	PD11DEC17	2283.00	2562.25	USD	-13,962.50	
11SEP17	S	2	PD11DEC17	2284.50	2562.25	USD	-13,887.50	
11SEP17	B	1	PD11DEC17	2278.00	2562.25	USD	7,106.25	
11SEP17	B	2	PD11DEC17	2277.50	2562.25	USD	14,237.50	
11SEP17	B	1	PD11DEC17	2276.00	2562.25	USD	7,156.25	
11SEP17	B	2	PD11DEC17	2279.50	2562.25	USD	14,137.50	
13SEP17	S	3	PD11DEC17	2289.17	2562.25	USD	-20,481.00	
13SEP17	S	1	PD11DEC17	2289.37	2562.25	USD	-6,822.00	
	NET	0AVG BGHT 2271.94	SOLD 2288.20				10,159.50	0.00
12SEP17	S	1	PD12DEC17	2276.50	2562.50	USD	-7,150.00	
12SEP17	S	1	PD12DEC17	2282.00	2562.50	USD	-7,012.50	
12SEP17	S	1	PD12DEC17	2285.00	2562.50	USD	-6,937.50	
12SEP17	S	1	PD12DEC17	2291.50	2562.50	USD	-6,775.00	
12SEP17	S	1	PD12DEC17	2288.00	2562.50	USD	-6,862.50	
12SEP17	B	1	PD12DEC17	2279.50	2562.50	USD	7,075.00	
12SEP17	B	2	PD12DEC17	2278.50	2562.50	USD	14,200.00	
12SEP17	B	2	PD12DEC17	2277.50	2562.50	USD	14,250.00	
12SEP17	B	1	PD12DEC17	2277.00	2562.50	USD	7,137.50	
12SEP17	B	1	PD12DEC17	2273.50	2562.50	USD	7,225.00	
12SEP17	B	1	PD12DEC17	2274.00	2562.50	USD	7,212.50	
12SEP17	B	1	PD12DEC17	2271.50	2562.50	USD	7,275.00	
12SEP17	B	1	PD12DEC17	2270.00	2562.50	USD	7,312.50	
12SEP17	S	1	PD12DEC17	2269.00	2562.50	USD	-7,337.50	
12SEP17	S	2	PD12DEC17	2271.00	2562.50	USD	-14,575.00	
12SEP17	B	2	PD12DEC17	2263.00	2562.50	USD	14,975.00	
12SEP17	B	1	PD12DEC17	2258.50	2562.50	USD	7,600.00	
12SEP17	S	3	PD12DEC17	2260.50	2562.50	USD	-22,650.00	
12SEP17	B	1	PD12DEC17	2262.50	2562.50	USD	7,500.00	
12SEP17	S	1	PD12DEC17	2263.50	2562.50	USD	-7,475.00	
12SEP17	S	1	PD12DEC17	2264.00	2562.50	USD	-7,462.50	
12SEP17	S	1	PD12DEC17	2266.00	2562.50	USD	-7,412.50	
12SEP17	S	1	PD12DEC17	2266.50	2562.50	USD	-7,400.00	
12SEP17	S	1	PD12DEC17	2268.50	2562.50	USD	-7,350.00	
12SEP17	S	3	PD12DEC17	2269.50	2562.50	USD	-21,975.00	
12SEP17	B	1	PD12DEC17	2271.00	2562.50	USD	7,287.50	
12SEP17	S	1	PD12DEC17	2271.50	2562.50	USD	-7,275.00	
12SEP17	S	1	PD12DEC17	2273.50	2562.50	USD	-7,225.00	
12SEP17	S	2	PD12DEC17	2272.50	2562.50	USD	-14,500.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	2273.00	2562.50	USD	-7,237.50	
12SEP17	S	2	PD12DEC17	2274.00	2562.50	USD	-14,425.00	
12SEP17	S	1	PD12DEC17	2274.50	2562.50	USD	-7,200.00	
12SEP17	S	1	PD12DEC17	2276.00	2562.50	USD	-7,162.50	
12SEP17	S	1	PD12DEC17	2277.50	2562.50	USD	-7,125.00	
12SEP17	S	1	PD12DEC17	2279.00	2562.50	USD	-7,087.50	
12SEP17	S	1	PD12DEC17	2287.00	2562.50	USD	-6,887.50	
12SEP17	S	2	PD12DEC17	2293.50	2562.50	USD	-13,450.00	
12SEP17	S	1	PD12DEC17	2297.00	2562.50	USD	-6,637.50	
12SEP17	S	2	PD12DEC17	2288.00	2562.50	USD	-13,725.00	
12SEP17	B	1	PD12DEC17	2307.50	2562.50	USD	6,375.00	
12SEP17	B	1	PD12DEC17	2306.00	2562.50	USD	6,412.50	
12SEP17	B	1	PD12DEC17	2305.00	2562.50	USD	6,437.50	
13SEP17	B	1	PD12DEC17	2289.89	2562.50	USD	6,815.25	
14SEP17	B	17	PD12DEC17	2306.43	2562.50	USD	108,829.75	
	NET	0AVG BGHT 2291.48	SOLD 2275.49				-14,392.50	0.00
13SEP17	B	1	PD13DEC17	2291.00	2562.75	USD	6,793.75	
13SEP17	B	2	PD13DEC17	2285.50	2562.75	USD	13,862.50	
13SEP17	B	6	PD13DEC17	2285.00	2562.75	USD	41,662.50	
13SEP17	B	2	PD13DEC17	2286.00	2562.75	USD	13,837.50	
13SEP17	B	1	PD13DEC17	2288.00	2562.75	USD	6,868.75	
13SEP17	B	1	PD13DEC17	2287.50	2562.75	USD	6,881.25	
13SEP17	B	1	PD13DEC17	2289.00	2562.75	USD	6,843.75	
13SEP17	B	2	PD13DEC17	2284.00	2562.75	USD	13,937.50	
13SEP17	B	2	PD13DEC17	2284.50	2562.75	USD	13,912.50	
13SEP17	B	2	PD13DEC17	2283.50	2562.75	USD	13,962.50	
13SEP17	B	1	PD13DEC17	2281.50	2562.75	USD	7,031.25	
13SEP17	B	1	PD13DEC17	2279.50	2562.75	USD	7,081.25	
13SEP17	S	1	PD13DEC17	2285.00	2562.75	USD	-6,943.75	
13SEP17	S	1	PD13DEC17	2292.00	2562.75	USD	-6,768.75	
13SEP17	S	2	PD13DEC17	2294.00	2562.75	USD	-13,437.50	
13SEP17	S	1	PD13DEC17	2294.50	2562.75	USD	-6,706.25	
13SEP17	S	2	PD13DEC17	2297.50	2562.75	USD	-13,262.50	
13SEP17	S	1	PD13DEC17	2296.00	2562.75	USD	-6,668.75	
13SEP17	S	1	PD13DEC17	2300.50	2562.75	USD	-6,556.25	
13SEP17	S	3	PD13DEC17	2303.50	2562.75	USD	-19,443.75	
13SEP17	S	3	PD13DEC17	2298.00	2562.75	USD	-19,856.25	
13SEP17	S	3	PD13DEC17	2299.00	2562.75	USD	-19,781.25	
13SEP17	S	4	PD13DEC17	2299.50	2562.75	USD	-26,325.00	
13SEP17	S	1	PD13DEC17	2302.00	2562.75	USD	-6,518.75	
13SEP17	S	1	PD13DEC17	2308.50	2562.75	USD	-6,356.25	
13SEP17	S	1	PD13DEC17	2304.00	2562.75	USD	-6,468.75	
13SEP17	S	1	PD13DEC17	2308.50	2562.75	USD	-6,356.25	
13SEP17	B	1	PD13DEC17	2305.00	2562.75	USD	6,443.75	
13SEP17	B	1	PD13DEC17	2291.50	2562.75	USD	6,781.25	
13SEP17	B	2	PD13DEC17	2289.50	2562.75	USD	13,662.50	
13SEP17	B	1	PD13DEC17	2296.00	2562.75	USD	6,668.75	
13SEP17	B	1	PD13DEC17	2295.50	2562.75	USD	6,681.25	
13SEP17	B	1	PD13DEC17	2295.00	2562.75	USD	6,693.75	
13SEP17	B	3	PD13DEC17	2293.50	2562.75	USD	20,193.75	
13SEP17	B	1	PD13DEC17	2293.00	2562.75	USD	6,743.75	
13SEP17	B	4	PD13DEC17	2296.50	2562.75	USD	26,625.00	
13SEP17	S	2	PD13DEC17	2296.50	2562.75	USD	-13,312.50	
13SEP17	B	2	PD13DEC17	2297.00	2562.75	USD	13,287.50	
13SEP17	B	1	PD13DEC17	2297.50	2562.75	USD	6,631.25	
13SEP17	B	3	PD13DEC17	2299.00	2562.75	USD	19,781.25	
14SEP17	S	17	PD13DEC17	2306.52	2562.75	USD	-108,897.75	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	B	2	PD13DEC17	2358.45	2562.75	USD	10,215.00	
	NET	0 AVG BGHT 2293.34					9,423.50	0.00
14SEP17	B	1	PD14DEC17	2299.00	2563.00	USD	6,600.00	
14SEP17	B	1	PD14DEC17	2290.50	2563.00	USD	6,812.50	
14SEP17	S	2	PD14DEC17	2286.50	2563.00	USD	-13,825.00	
14SEP17	S	1	PD14DEC17	2290.00	2563.00	USD	-6,825.00	
14SEP17	S	1	PD14DEC17	2292.00	2563.00	USD	-6,775.00	
14SEP17	S	2	PD14DEC17	2289.00	2563.00	USD	-13,700.00	
14SEP17	S	4	PD14DEC17	2289.50	2563.00	USD	-27,350.00	
14SEP17	S	3	PD14DEC17	2290.50	2563.00	USD	-20,437.50	
14SEP17	S	2	PD14DEC17	2291.00	2563.00	USD	-13,600.00	
14SEP17	S	1	PD14DEC17	2291.50	2563.00	USD	-6,787.50	
14SEP17	B	1	PD14DEC17	2282.00	2563.00	USD	7,025.00	
14SEP17	B	2	PD14DEC17	2281.50	2563.00	USD	14,075.00	
14SEP17	B	1	PD14DEC17	2278.50	2563.00	USD	7,112.50	
14SEP17	B	1	PD14DEC17	2274.50	2563.00	USD	7,212.50	
14SEP17	B	2	PD14DEC17	2274.00	2563.00	USD	14,450.00	
14SEP17	B	1	PD14DEC17	2272.00	2563.00	USD	7,275.00	
14SEP17	B	2	PD14DEC17	2273.50	2563.00	USD	14,475.00	
14SEP17	B	3	PD14DEC17	2273.00	2563.00	USD	21,750.00	
14SEP17	B	2	PD14DEC17	2272.50	2563.00	USD	14,525.00	
14SEP17	B	1	PD14DEC17	2279.50	2563.00	USD	7,087.50	
14SEP17	B	2	PD14DEC17	2277.00	2563.00	USD	14,300.00	
14SEP17	B	1	PD14DEC17	2275.50	2563.00	USD	7,187.50	
14SEP17	B	3	PD14DEC17	2275.00	2563.00	USD	21,600.00	
14SEP17	B	1	PD14DEC17	2275.00	2563.00	USD	7,200.00	
14SEP17	S	2	PD14DEC17	2302.50	2563.00	USD	-13,025.00	
14SEP17	S	1	PD14DEC17	2302.00	2563.00	USD	-6,525.00	
14SEP17	S	1	PD14DEC17	2304.00	2563.00	USD	-6,475.00	
14SEP17	S	2	PD14DEC17	2305.50	2563.00	USD	-12,875.00	
14SEP17	S	1	PD14DEC17	2315.50	2563.00	USD	-6,187.50	
14SEP17	S	1	PD14DEC17	2316.50	2563.00	USD	-6,162.50	
14SEP17	S	2	PD14DEC17	2319.00	2563.00	USD	-12,200.00	
14SEP17	S	1	PD14DEC17	2324.00	2563.00	USD	-5,975.00	
14SEP17	S	1	PD14DEC17	2325.00	2563.00	USD	-5,950.00	
14SEP17	S	2	PD14DEC17	2322.50	2563.00	USD	-12,025.00	
14SEP17	S	1	PD14DEC17	2317.50	2563.00	USD	-6,137.50	
14SEP17	S	1	PD14DEC17	2319.50	2563.00	USD	-6,087.50	
14SEP17	S	1	PD14DEC17	2306.00	2563.00	USD	-6,425.00	
14SEP17	B	2	PD14DEC17	2305.00	2563.00	USD	12,900.00	
14SEP17	B	1	PD14DEC17	2311.00	2563.00	USD	6,300.00	
14SEP17	B	1	PD14DEC17	2311.50	2563.00	USD	6,287.50	
14SEP17	B	2	PD14DEC17	2312.00	2563.00	USD	12,550.00	
14SEP17	B	1	PD14DEC17	2314.50	2563.00	USD	6,212.50	
14SEP17	B	1	PD14DEC17	2308.50	2563.00	USD	6,362.50	
14SEP17	S	1	PD14DEC17	2313.50	2563.00	USD	-6,237.50	
14SEP17	S	1	PD14DEC17	2315.00	2563.00	USD	-6,200.00	
14SEP17	S	1	PD14DEC17	2317.00	2563.00	USD	-6,150.00	
14SEP17	B	1	PD14DEC17	2306.50	2563.00	USD	6,412.50	
14SEP17	B	1	PD14DEC17	2303.00	2563.00	USD	6,500.00	
14SEP17	B	3	PD14DEC17	2304.50	2563.00	USD	19,387.50	
14SEP17	B	3	PD14DEC17	2305.50	2563.00	USD	19,312.50	
14SEP17	B	1	PD14DEC17	2304.00	2563.00	USD	6,475.00	
14SEP17	B	1	PD14DEC17	2302.50	2563.00	USD	6,512.50	
14SEP17	B	1	PD14DEC17	2301.00	2563.00	USD	6,550.00	
14SEP17	S	3	PD14DEC17	2308.00	2563.00	USD	-19,125.00	
14SEP17	S	2	PD14DEC17	2323.00	2563.00	USD	-12,000.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	S	1	PD14DEC17	2323.50	2563.00	USD	-5,987.50	
14SEP17	B	1	PD14DEC17	2325.50	2563.00	USD	5,937.50	
14SEP17	B	2	PD14DEC17	2324.00	2563.00	USD	11,950.00	
15SEP17	S	2	PD14DEC17	2358.53	2563.00	USD	-10,223.50	
19SEP17	S	3	PD14DEC17	2420.00	2563.00	USD	-10,725.00	
	NET	0AVG BGHT 2292.07	SOLD 2314.49				26,339.00	0.00
15SEP17	S	2	PD15DEC17	2326.00	2563.25	USD	-11,862.50	
15SEP17	S	1	PD15DEC17	2324.00	2563.25	USD	-5,981.25	
15SEP17	S	1	PD15DEC17	2321.00	2563.25	USD	-6,056.25	
15SEP17	S	3	PD15DEC17	2321.50	2563.25	USD	-18,131.25	
15SEP17	B	1	PD15DEC17	2328.00	2563.25	USD	5,881.25	
15SEP17	B	1	PD15DEC17	2327.00	2563.25	USD	5,906.25	
15SEP17	B	1	PD15DEC17	2326.00	2563.25	USD	5,931.25	
15SEP17	B	1	PD15DEC17	2316.00	2563.25	USD	6,181.25	
15SEP17	B	2	PD15DEC17	2313.50	2563.25	USD	12,487.50	
15SEP17	B	1	PD15DEC17	2315.50	2563.25	USD	6,193.75	
15SEP17	S	1	PD15DEC17	2323.00	2563.25	USD	-6,006.25	
15SEP17	S	2	PD15DEC17	2328.50	2563.25	USD	-11,737.50	
15SEP17	S	3	PD15DEC17	2328.00	2563.25	USD	-17,643.75	
15SEP17	S	1	PD15DEC17	2329.00	2563.25	USD	-5,856.25	
15SEP17	S	1	PD15DEC17	2329.50	2563.25	USD	-5,843.75	
15SEP17	S	2	PD15DEC17	2330.50	2563.25	USD	-11,637.50	
15SEP17	S	1	PD15DEC17	2331.00	2563.25	USD	-5,806.25	
15SEP17	S	1	PD15DEC17	2332.50	2563.25	USD	-5,768.75	
15SEP17	S	1	PD15DEC17	2333.00	2563.25	USD	-5,756.25	
15SEP17	S	1	PD15DEC17	2335.00	2563.25	USD	-5,706.25	
15SEP17	S	1	PD15DEC17	2333.50	2563.25	USD	-5,743.75	
15SEP17	S	1	PD15DEC17	2334.00	2563.25	USD	-5,731.25	
15SEP17	S	1	PD15DEC17	2336.50	2563.25	USD	-5,668.75	
15SEP17	S	1	PD15DEC17	2341.00	2563.25	USD	-5,556.25	
15SEP17	S	5	PD15DEC17	2342.50	2563.25	USD	-27,593.75	
15SEP17	S	1	PD15DEC17	2347.00	2563.25	USD	-5,406.25	
15SEP17	B	1	PD15DEC17	2350.00	2563.25	USD	5,331.25	
15SEP17	S	2	PD15DEC17	2350.50	2563.25	USD	-10,637.50	
15SEP17	B	1	PD15DEC17	2329.00	2563.25	USD	5,856.25	
15SEP17	B	1	PD15DEC17	2318.00	2563.25	USD	6,131.25	
15SEP17	B	1	PD15DEC17	2346.00	2563.25	USD	5,431.25	
15SEP17	S	1	PD15DEC17	2359.50	2563.25	USD	-5,093.75	
15SEP17	B	1	PD15DEC17	2345.00	2563.25	USD	5,456.25	
15SEP17	B	2	PD15DEC17	2344.00	2563.25	USD	10,962.50	
15SEP17	B	3	PD15DEC17	2341.00	2563.25	USD	16,668.75	
15SEP17	B	1	PD15DEC17	2342.50	2563.25	USD	5,518.75	
15SEP17	B	1	PD15DEC17	2335.00	2563.25	USD	5,706.25	
15SEP17	B	1	PD15DEC17	2333.50	2563.25	USD	5,743.75	
15SEP17	B	1	PD15DEC17	2332.50	2563.25	USD	5,768.75	
15SEP17	S	2	PD15DEC17	2346.00	2563.25	USD	-10,862.50	
15SEP17	B	1	PD15DEC17	2335.00	2563.25	USD	5,706.25	
15SEP17	S	1	PD15DEC17	2347.50	2563.25	USD	-5,393.75	
15SEP17	S	1	PD15DEC17	2349.00	2563.25	USD	-5,356.25	
15SEP17	S	1	PD15DEC17	2357.50	2563.25	USD	-5,143.75	
15SEP17	S	1	PD15DEC17	2362.00	2563.25	USD	-5,031.25	
15SEP17	B	3	PD15DEC17	2364.00	2563.25	USD	14,943.75	
15SEP17	B	5	PD15DEC17	2364.50	2563.25	USD	24,843.75	
15SEP17	B	1	PD15DEC17	2365.50	2563.25	USD	4,943.75	
15SEP17	B	1	PD15DEC17	2367.00	2563.25	USD	4,906.25	
15SEP17	B	5	PD15DEC17	2366.50	2563.25	USD	24,593.75	
15SEP17	B	2	PD15DEC17	2367.50	2563.25	USD	9,787.50	

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15SEP17	B	1	PD15DEC17	2366.00	2563.25	USD	4,931.25	
15SEP17	S	1	PD15DEC17	2345.00	2563.25	USD	-5,456.25	
15SEP17	S	1	PD15DEC17	2359.00	2563.25	USD	-5,106.25	
15SEP17	S	1	PD15DEC17	2360.00	2563.25	USD	-5,081.25	
19SEP17	B	3	PD15DEC17	2420.45	2563.25	USD	10,710.00	
	NET	0AVG	BGHT 2352.53	SOLD 2337.52			-16,133.75	0.00
18SEP17	S	3	PD18DEC17	2394.50	2564.00	USD	-12,712.50	
18SEP17	B	1	PD18DEC17	2391.00	2564.00	USD	4,325.00	
18SEP17	S	1	PD18DEC17	2397.50	2564.00	USD	-4,162.50	
18SEP17	B	1	PD18DEC17	2391.50	2564.00	USD	4,312.50	
18SEP17	S	1	PD18DEC17	2390.50	2564.00	USD	-4,337.50	
18SEP17	S	1	PD18DEC17	2391.50	2564.00	USD	-4,312.50	
18SEP17	S	1	PD18DEC17	2387.50	2564.00	USD	-4,412.50	
18SEP17	B	1	PD18DEC17	2386.00	2564.00	USD	4,450.00	
18SEP17	S	1	PD18DEC17	2389.50	2564.00	USD	-4,362.50	
18SEP17	S	1	PD18DEC17	2392.00	2564.00	USD	-4,300.00	
18SEP17	S	1	PD18DEC17	2392.50	2564.00	USD	-4,287.50	
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	1	PD18DEC17	2401.50	2564.00	USD	-4,062.50	
18SEP17	S	1	PD18DEC17	2403.00	2564.00	USD	-4,025.00	
18SEP17	S	1	PD18DEC17	2404.00	2564.00	USD	-4,000.00	
18SEP17	S	1	PD18DEC17	2407.00	2564.00	USD	-3,925.00	
18SEP17	S	1	PD18DEC17	2404.50	2564.00	USD	-3,987.50	
18SEP17	S	1	PD18DEC17	2406.00	2564.00	USD	-3,950.00	
18SEP17	S	1	PD18DEC17	2402.00	2564.00	USD	-4,050.00	
18SEP17	S	2	PD18DEC17	2398.50	2564.00	USD	-8,275.00	
18SEP17	B	1	PD18DEC17	2383.00	2564.00	USD	4,525.00	
18SEP17	B	1	PD18DEC17	2382.00	2564.00	USD	4,550.00	
18SEP17	B	1	PD18DEC17	2391.50	2564.00	USD	4,312.50	
18SEP17	B	1	PD18DEC17	2389.00	2564.00	USD	4,375.00	
18SEP17	B	2	PD18DEC17	2388.00	2564.00	USD	8,800.00	
18SEP17	B	1	PD18DEC17	2386.50	2564.00	USD	4,437.50	
18SEP17	S	1	PD18DEC17	2388.00	2564.00	USD	-4,400.00	
18SEP17	S	1	PD18DEC17	2393.50	2564.00	USD	-4,262.50	
18SEP17	S	1	PD18DEC17	2395.00	2564.00	USD	-4,225.00	
18SEP17	S	1	PD18DEC17	2394.00	2564.00	USD	-4,250.00	
18SEP17	S	1	PD18DEC17	2395.50	2564.00	USD	-4,212.50	
18SEP17	S	2	PD18DEC17	2389.00	2564.00	USD	-8,750.00	
18SEP17	S	1	PD18DEC17	2386.50	2564.00	USD	-4,437.50	
18SEP17	S	2	PD18DEC17	2388.50	2564.00	USD	-8,775.00	
18SEP17	B	2	PD18DEC17	2392.50	2564.00	USD	8,575.00	
18SEP17	B	1	PD18DEC17	2390.00	2564.00	USD	4,350.00	
18SEP17	B	3	PD18DEC17	2389.50	2564.00	USD	13,087.50	
18SEP17	B	3	PD18DEC17	2388.50	2564.00	USD	13,162.50	
18SEP17	B	1	PD18DEC17	2387.50	2564.00	USD	4,412.50	
18SEP17	B	4	PD18DEC17	2387.00	2564.00	USD	17,700.00	
18SEP17	B	2	PD18DEC17	2385.50	2564.00	USD	8,925.00	
18SEP17	B	2	PD18DEC17	2383.50	2564.00	USD	9,025.00	
18SEP17	B	1	PD18DEC17	2394.00	2564.00	USD	4,250.00	
18SEP17	B	1	PD18DEC17	2394.50	2564.00	USD	4,237.50	
19SEP17	S	5	PD18DEC17	2420.80	2564.00	USD	-17,900.00	
20SEP17	B	5	PD18DEC17	2459.69	2564.00	USD	13,038.75	
	NET	0AVG	BGHT 2398.46	SOLD 2398.69			201.25	0.00
19SEP17	S	1	PD19DEC17	2391.50	2564.25	USD	-4,318.75	
19SEP17	S	1	PD19DEC17	2390.00	2564.25	USD	-4,356.25	
19SEP17	S	1	PD19DEC17	2391.00	2564.25	USD	-4,331.25	
19SEP17	S	1	PD19DEC17	2392.00	2564.25	USD	-4,306.25	

OPEN POSITION STATEMENT



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SAP0112C

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19SEP17	B	1	PD19DEC17	2382.00	2564.25	USD	4,556.25	
19SEP17	S	1	PD19DEC17	2385.00	2564.25	USD	-4,481.25	
19SEP17	S	1	PD19DEC17	2388.50	2564.25	USD	-4,393.75	
19SEP17	S	1	PD19DEC17	2392.50	2564.25	USD	-4,293.75	
19SEP17	S	2	PD19DEC17	2394.00	2564.25	USD	-8,512.50	
19SEP17	S	1	PD19DEC17	2393.00	2564.25	USD	-4,281.25	
19SEP17	S	2	PD19DEC17	2405.50	2564.25	USD	-7,937.50	
19SEP17	S	1	PD19DEC17	2406.00	2564.25	USD	-3,956.25	
19SEP17	S	1	PD19DEC17	2402.50	2564.25	USD	-4,043.75	
19SEP17	S	1	PD19DEC17	2403.00	2564.25	USD	-4,031.25	
19SEP17	B	2	PD19DEC17	2400.00	2564.25	USD	8,212.50	
19SEP17	B	1	PD19DEC17	2400.50	2564.25	USD	4,093.75	
19SEP17	B	1	PD19DEC17	2398.00	2564.25	USD	4,156.25	
19SEP17	B	3	PD19DEC17	2397.00	2564.25	USD	12,543.75	
19SEP17	S	2	PD19DEC17	2411.50	2564.25	USD	-7,637.50	
19SEP17	S	1	PD19DEC17	2411.00	2564.25	USD	-3,831.25	
19SEP17	S	1	PD19DEC17	2418.00	2564.25	USD	-3,656.25	
19SEP17	S	1	PD19DEC17	2414.00	2564.25	USD	-3,756.25	
19SEP17	S	2	PD19DEC17	2414.50	2564.25	USD	-7,487.50	
19SEP17	S	1	PD19DEC17	2416.50	2564.25	USD	-3,693.75	
19SEP17	S	1	PD19DEC17	2419.00	2564.25	USD	-3,631.25	
19SEP17	S	1	PD19DEC17	2421.00	2564.25	USD	-3,581.25	
19SEP17	B	1	PD19DEC17	2438.50	2564.25	USD	3,143.75	
19SEP17	S	1	PD19DEC17	2421.00	2564.25	USD	-3,581.25	
19SEP17	B	1	PD19DEC17	2432.50	2564.25	USD	3,293.75	
19SEP17	B	2	PD19DEC17	2432.00	2564.25	USD	6,612.50	
19SEP17	B	1	PD19DEC17	2429.00	2564.25	USD	3,381.25	
19SEP17	B	2	PD19DEC17	2428.00	2564.25	USD	6,812.50	
19SEP17	B	1	PD19DEC17	2423.00	2564.25	USD	3,531.25	
19SEP17	B	2	PD19DEC17	2420.00	2564.25	USD	7,212.50	
19SEP17	B	1	PD19DEC17	2425.00	2564.25	USD	3,481.25	
19SEP17	B	1	PD19DEC17	2425.50	2564.25	USD	3,468.75	
19SEP17	B	2	PD19DEC17	2424.50	2564.25	USD	6,987.50	
19SEP17	B	2	PD19DEC17	2423.50	2564.25	USD	7,037.50	
19SEP17	S	1	PD19DEC17	2422.00	2564.25	USD	-3,556.25	
19SEP17	B	6	PD19DEC17	2422.00	2564.25	USD	21,337.50	
19SEP17	B	1	PD19DEC17	2421.00	2564.25	USD	3,581.25	
19SEP17	B	1	PD19DEC17	2420.50	2564.25	USD	3,593.75	
20SEP17	S	5	PD19DEC17	2459.64	2564.25	USD	-13,076.25	
	NET	0	AVG BGHT 2417.95				-3,695.00	0.00
20SEP17	B	1	PD20DEC17	2449.00	2564.50	USD	2,887.50	
20SEP17	S	1	PD20DEC17	2449.00	2564.50	USD	-2,887.50	
20SEP17	S	1	PD20DEC17	2449.50	2564.50	USD	-2,875.00	
20SEP17	S	1	PD20DEC17	2441.00	2564.50	USD	-3,087.50	
20SEP17	S	3	PD20DEC17	2441.50	2564.50	USD	-9,225.00	
20SEP17	S	1	PD20DEC17	2447.00	2564.50	USD	-2,937.50	
20SEP17	S	1	PD20DEC17	2448.50	2564.50	USD	-2,900.00	
20SEP17	S	1	PD20DEC17	2444.00	2564.50	USD	-3,012.50	
20SEP17	B	1	PD20DEC17	2449.50	2564.50	USD	2,875.00	
20SEP17	S	1	PD20DEC17	2455.50	2564.50	USD	-2,725.00	
20SEP17	S	2	PD20DEC17	2456.00	2564.50	USD	-5,425.00	
20SEP17	S	2	PD20DEC17	2459.00	2564.50	USD	-5,275.00	
20SEP17	S	1	PD20DEC17	2451.00	2564.50	USD	-2,837.50	
20SEP17	B	2	PD20DEC17	2455.00	2564.50	USD	5,475.00	
20SEP17	S	2	PD20DEC17	2456.50	2564.50	USD	-5,400.00	
20SEP17	B	1	PD20DEC17	2447.00	2564.50	USD	2,937.50	
20SEP17	B	1	PD20DEC17	2445.00	2564.50	USD	2,987.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20SEP17	B	2	PD20DEC17	2443.50	2564.50	USD	6,050.00	
20SEP17	B	2	PD20DEC17	2442.00	2564.50	USD	6,125.00	
20SEP17	S	2	PD20DEC17	2452.50	2564.50	USD	-5,600.00	
20SEP17	S	1	PD20DEC17	2451.50	2564.50	USD	-2,825.00	
20SEP17	B	1	PD20DEC17	2455.50	2564.50	USD	2,725.00	
20SEP17	S	1	PD20DEC17	2465.50	2564.50	USD	-2,475.00	
20SEP17	S	3	PD20DEC17	2462.50	2564.50	USD	-7,650.00	
20SEP17	S	2	PD20DEC17	2463.00	2564.50	USD	-5,075.00	
20SEP17	S	1	PD20DEC17	2468.00	2564.50	USD	-2,412.50	
20SEP17	S	1	PD20DEC17	2468.50	2564.50	USD	-2,400.00	
20SEP17	S	2	PD20DEC17	2467.50	2564.50	USD	-4,850.00	
20SEP17	S	1	PD20DEC17	2467.00	2564.50	USD	-2,437.50	
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
20SEP17	S	1	PD20DEC17	2456.50	2564.50	USD	-2,700.00	
20SEP17	S	1	PD20DEC17	2470.00	2564.50	USD	-2,362.50	
20SEP17	B	1	PD20DEC17	2451.50	2564.50	USD	2,825.00	
20SEP17	B	2	PD20DEC17	2457.00	2564.50	USD	5,375.00	
20SEP17	B	2	PD20DEC17	2450.50	2564.50	USD	5,700.00	
20SEP17	B	3	PD20DEC17	2446.00	2564.50	USD	8,887.50	
20SEP17	S	1	PD20DEC17	2457.00	2564.50	USD	-2,687.50	
20SEP17	B	2	PD20DEC17	2454.00	2564.50	USD	5,525.00	
20SEP17	B	2	PD20DEC17	2453.50	2564.50	USD	5,550.00	
20SEP17	B	5	PD20DEC17	2454.50	2564.50	USD	13,750.00	
20SEP17	B	1	PD20DEC17	2458.00	2564.50	USD	2,662.50	
20SEP17	B	1	PD20DEC17	2458.50	2564.50	USD	2,650.00	
20SEP17	B	1	PD20DEC17	2457.50	2564.50	USD	2,675.00	
20SEP17	B	2	PD20DEC17	2454.50	2564.50	USD	5,500.00	
20SEP17	B	1	PD20DEC17	2457.00	2564.50	USD	2,687.50	
21SEP17	B	1	PD20DEC17	2518.20	2564.50	USD	1,157.50	
	NET	0	AVG BGHT 2453.63	SOLD 2455.79			1,882.50	0.00
21SEP17	S	1	PD21DEC17	2439.00	2563.50	USD	-3,112.50	
21SEP17	S	1	PD21DEC17	2441.50	2563.50	USD	-3,050.00	
21SEP17	S	1	PD21DEC17	2438.50	2563.50	USD	-3,125.00	
21SEP17	S	3	PD21DEC17	2436.00	2563.50	USD	-9,562.50	
21SEP17	B	1	PD21DEC17	2429.00	2563.50	USD	3,362.50	
21SEP17	B	1	PD21DEC17	2428.50	2563.50	USD	3,375.00	
21SEP17	B	1	PD21DEC17	2428.00	2563.50	USD	3,387.50	
21SEP17	B	1	PD21DEC17	2427.50	2563.50	USD	3,400.00	
21SEP17	S	1	PD21DEC17	2432.00	2563.50	USD	-3,287.50	
21SEP17	S	1	PD21DEC17	2433.00	2563.50	USD	-3,262.50	
21SEP17	S	1	PD21DEC17	2434.00	2563.50	USD	-3,237.50	
21SEP17	S	2	PD21DEC17	2435.50	2563.50	USD	-6,400.00	
21SEP17	S	1	PD21DEC17	2440.00	2563.50	USD	-3,087.50	
21SEP17	S	1	PD21DEC17	2437.50	2563.50	USD	-3,150.00	
21SEP17	S	1	PD21DEC17	2448.00	2563.50	USD	-2,887.50	
21SEP17	B	1	PD21DEC17	2457.50	2563.50	USD	2,650.00	
21SEP17	B	1	PD21DEC17	2464.00	2563.50	USD	2,487.50	
21SEP17	B	1	PD21DEC17	2462.00	2563.50	USD	2,537.50	
21SEP17	B	6	PD21DEC17	2458.00	2563.50	USD	15,825.00	
21SEP17	S	1	PD21DEC17	2460.50	2563.50	USD	-2,575.00	
21SEP17	S	1	PD21DEC17	2474.50	2563.50	USD	-2,225.00	
21SEP17	S	3	PD21DEC17	2473.50	2563.50	USD	-6,750.00	
21SEP17	S	6	PD21DEC17	2473.00	2563.50	USD	-13,575.00	
21SEP17	S	3	PD21DEC17	2472.50	2563.50	USD	-6,825.00	
21SEP17	B	1	PD21DEC17	2474.50	2563.50	USD	2,225.00	
21SEP17	B	2	PD21DEC17	2472.00	2563.50	USD	4,575.00	
21SEP17	B	5	PD21DEC17	2469.50	2563.50	USD	11,750.00	

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21SEP17	B	1	PD21DEC17	2470.00	2563.50	USD	2,337.50	
21SEP17	S	1	PD21DEC17	2468.00	2563.50	USD	-2,387.50	
21SEP17	S	3	PD21DEC17	2469.00	2563.50	USD	-7,087.50	
21SEP17	S	1	PD21DEC17	2470.00	2563.50	USD	-2,337.50	
21SEP17	S	1	PD21DEC17	2471.50	2563.50	USD	-2,300.00	
21SEP17	B	3	PD21DEC17	2474.00	2563.50	USD	6,712.50	
21SEP17	B	1	PD21DEC17	2473.50	2563.50	USD	2,250.00	
21SEP17	B	3	PD21DEC17	2473.00	2563.50	USD	6,787.50	
21SEP17	B	1	PD21DEC17	2470.00	2563.50	USD	2,337.50	
21SEP17	B	1	PD21DEC17	2473.50	2563.50	USD	2,250.00	
21SEP17	B	3	PD21DEC17	2468.00	2563.50	USD	7,162.50	
21SEP17	B	1	PD21DEC17	2467.00	2563.50	USD	2,412.50	
21SEP17	S	1	PD21DEC17	2465.00	2563.50	USD	-2,462.50	
21SEP17	S	2	PD21DEC17	2464.00	2563.50	USD	-4,975.00	
21SEP17	S	1	PD21DEC17	2467.50	2563.50	USD	-2,400.00	
21SEP17	B	2	PD21DEC17	2467.50	2563.50	USD	4,800.00	
21SEP17	S	1	PD21DEC17	2476.50	2563.50	USD	-2,175.00	
21SEP17	S	1	PD21DEC17	2477.00	2563.50	USD	-2,162.50	
21SEP17	B	1	PD21DEC17	2477.00	2563.50	USD	2,162.50	
21SEP17	B	1	PD21DEC17	2477.50	2563.50	USD	2,150.00	
21SEP17	S	1	PD21DEC17	2483.00	2563.50	USD	-2,012.50	
21SEP17	B	1	PD21DEC17	2501.00	2563.50	USD	1,562.50	
21SEP17	B	1	PD21DEC17	2500.00	2563.50	USD	1,587.50	
21SEP17	B	3	PD21DEC17	2471.00	2563.50	USD	6,937.50	
21SEP17	B	1	PD21DEC17	2469.00	2563.50	USD	2,362.50	
21SEP17	S	1	PD21DEC17	2471.00	2563.50	USD	-2,312.50	
21SEP17	S	3	PD21DEC17	2472.00	2563.50	USD	-6,862.50	
21SEP17	S	2	PD21DEC17	2478.50	2563.50	USD	-4,250.00	
21SEP17	B	2	PD21DEC17	2464.50	2563.50	USD	4,950.00	
21SEP17	B	1	PD21DEC17	2460.00	2563.50	USD	2,587.50	
21SEP17	B	1	PD21DEC17	2447.00	2563.50	USD	2,912.50	
21SEP17	S	1	PD21DEC17	2448.50	2563.50	USD	-2,875.00	
21SEP17	B	1	PD21DEC17	2444.00	2563.50	USD	2,987.50	
21SEP17	B	1	PD21DEC17	2441.50	2563.50	USD	3,050.00	
21SEP17	B	1	PD21DEC17	2443.50	2563.50	USD	3,000.00	
21SEP17	S	1	PD21DEC17	2444.00	2563.50	USD	-2,987.50	
21SEP17	S	1	PD21DEC17	2444.50	2563.50	USD	-2,975.00	
21SEP17	S	1	PD21DEC17	2442.50	2563.50	USD	-3,025.00	
04OCT17	S	1	PD21DEC17	2559.50	2563.50	USD	-100.00	
	NET	0AVG BGHT 2464.37	SOLD 2462.12				-2,925.00	0.00
22SEP17	B	1	PD22DEC17	2412.00	2563.50	USD	3,787.50	
22SEP17	B	9	PD22DEC17	2413.00	2563.50	USD	33,862.50	
22SEP17	B	2	PD22DEC17	2413.50	2563.50	USD	7,500.00	
22SEP17	B	2	PD22DEC17	2420.50	2563.50	USD	7,150.00	
22SEP17	B	1	PD22DEC17	2418.50	2563.50	USD	3,625.00	
22SEP17	B	1	PD22DEC17	2417.00	2563.50	USD	3,662.50	
22SEP17	B	1	PD22DEC17	2416.50	2563.50	USD	3,675.00	
22SEP17	B	1	PD22DEC17	2416.00	2563.50	USD	3,687.50	
22SEP17	B	1	PD22DEC17	2423.00	2563.50	USD	3,512.50	
22SEP17	B	2	PD22DEC17	2422.00	2563.50	USD	7,075.00	
22SEP17	S	1	PD22DEC17	2408.00	2563.50	USD	-3,887.50	
22SEP17	B	1	PD22DEC17	2407.50	2563.50	USD	3,900.00	
22SEP17	B	1	PD22DEC17	2374.50	2563.50	USD	4,725.00	
22SEP17	S	1	PD22DEC17	2391.00	2563.50	USD	-4,312.50	
22SEP17	S	1	PD22DEC17	2387.50	2563.50	USD	-4,400.00	
22SEP17	S	1	PD22DEC17	2405.00	2563.50	USD	-3,962.50	
22SEP17	S	1	PD22DEC17	2405.50	2563.50	USD	-3,950.00	

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22SEP17	S	1	PD22DEC17	2407.00	2563.50	USD	-3,912.50	
22SEP17	S	1	PD22DEC17	2408.50	2563.50	USD	-3,875.00	
22SEP17	S	1	PD22DEC17	2412.50	2563.50	USD	-3,775.00	
22SEP17	S	1	PD22DEC17	2417.50	2563.50	USD	-3,650.00	
22SEP17	S	1	PD22DEC17	2421.50	2563.50	USD	-3,550.00	
22SEP17	S	1	PD22DEC17	2422.00	2563.50	USD	-3,537.50	
22SEP17	S	2	PD22DEC17	2419.50	2563.50	USD	-7,200.00	
22SEP17	S	1	PD22DEC17	2423.50	2563.50	USD	-3,500.00	
22SEP17	S	2	PD22DEC17	2420.50	2563.50	USD	-7,150.00	
22SEP17	S	2	PD22DEC17	2419.00	2563.50	USD	-7,225.00	
22SEP17	S	2	PD22DEC17	2420.00	2563.50	USD	-7,175.00	
22SEP17	S	1	PD22DEC17	2454.50	2563.50	USD	-2,725.00	
22SEP17	S	2	PD22DEC17	2453.50	2563.50	USD	-5,500.00	
22SEP17	S	2	PD22DEC17	2453.00	2563.50	USD	-5,525.00	
22SEP17	B	1	PD22DEC17	2458.00	2563.50	USD	2,637.50	
22SEP17	B	1	PD22DEC17	2478.00	2563.50	USD	2,137.50	
22SEP17	B	1	PD22DEC17	2420.00	2563.50	USD	3,587.50	
22SEP17	S	1	PD22DEC17	2382.00	2563.50	USD	-4,537.50	
22SEP17	S	1	PD22DEC17	2455.50	2563.50	USD	-2,700.00	
22SEP17	B	1	PD22DEC17	2461.00	2563.50	USD	2,562.50	
22SEP17	B	1	PD22DEC17	2460.00	2563.50	USD	2,587.50	
22SEP17	B	1	PD22DEC17	2473.50	2563.50	USD	2,250.00	
22SEP17	S	1	PD22DEC17	2471.50	2563.50	USD	-2,300.00	
22SEP17	S	2	PD22DEC17	2475.00	2563.50	USD	-4,425.00	
22SEP17	S	2	PD22DEC17	2476.00	2563.50	USD	-4,375.00	
22SEP17	S	2	PD22DEC17	2479.00	2563.50	USD	-4,225.00	
22SEP17	S	1	PD22DEC17	2481.00	2563.50	USD	-2,062.50	
22SEP17	S	1	PD22DEC17	2483.00	2563.50	USD	-2,012.50	
22SEP17	B	1	PD22DEC17	2475.50	2563.50	USD	2,200.00	
22SEP17	S	2	PD22DEC17	2477.50	2563.50	USD	-4,300.00	
22SEP17	B	1	PD22DEC17	2489.00	2563.50	USD	1,862.50	
22SEP17	B	1	PD22DEC17	2487.00	2563.50	USD	1,912.50	
22SEP17	B	2	PD22DEC17	2488.00	2563.50	USD	3,775.00	
22SEP17	B	2	PD22DEC17	2488.50	2563.50	USD	3,750.00	
22SEP17	B	1	PD22DEC17	2490.00	2563.50	USD	1,837.50	
04OCT17	B	1	PD22DEC17	2559.74	2563.50	USD	94.00	
	NET	0	AVG BGHT 2439.97	SOLD 2437.45			-2,393.50	0.00
25SEP17	B	1	PD27DEC17	2495.00	2563.50	USD	1,712.50	
25SEP17	B	1	PD27DEC17	2473.50	2563.50	USD	2,250.00	
25SEP17	B	1	PD27DEC17	2471.50	2563.50	USD	2,300.00	
25SEP17	B	2	PD27DEC17	2468.50	2563.50	USD	4,750.00	
25SEP17	B	1	PD27DEC17	2472.00	2563.50	USD	2,287.50	
25SEP17	B	1	PD27DEC17	2470.00	2563.50	USD	2,337.50	
25SEP17	S	2	PD27DEC17	2490.50	2563.50	USD	-3,650.00	
25SEP17	S	1	PD27DEC17	2482.50	2563.50	USD	-2,025.00	
25SEP17	S	1	PD27DEC17	2483.00	2563.50	USD	-2,012.50	
25SEP17	S	2	PD27DEC17	2484.50	2563.50	USD	-3,950.00	
25SEP17	S	2	PD27DEC17	2486.00	2563.50	USD	-3,875.00	
25SEP17	S	2	PD27DEC17	2487.00	2563.50	USD	-3,825.00	
25SEP17	S	2	PD27DEC17	2498.00	2563.50	USD	-3,275.00	
25SEP17	S	1	PD27DEC17	2499.00	2563.50	USD	-1,612.50	
25SEP17	S	1	PD27DEC17	2500.50	2563.50	USD	-1,575.00	
25SEP17	S	2	PD27DEC17	2502.00	2563.50	USD	-3,075.00	
25SEP17	S	2	PD27DEC17	2501.00	2563.50	USD	-3,125.00	
25SEP17	B	1	PD27DEC17	2482.50	2563.50	USD	2,025.00	
25SEP17	S	1	PD27DEC17	2500.00	2563.50	USD	-1,587.50	
25SEP17	S	1	PD27DEC17	2508.00	2563.50	USD	-1,387.50	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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25SEP17	S	2	PD27DEC17	2502.50	2563.50	USD	-3,050.00	
25SEP17	B	2	PD27DEC17	2483.50	2563.50	USD	4,000.00	
25SEP17	B	1	PD27DEC17	2483.00	2563.50	USD	2,012.50	
25SEP17	B	2	PD27DEC17	2480.00	2563.50	USD	4,175.00	
25SEP17	B	2	PD27DEC17	2473.00	2563.50	USD	4,525.00	
25SEP17	B	1	PD27DEC17	2474.00	2563.50	USD	2,237.50	
25SEP17	B	1	PD27DEC17	2477.50	2563.50	USD	2,150.00	
25SEP17	B	2	PD27DEC17	2474.50	2563.50	USD	4,450.00	
25SEP17	B	1	PD27DEC17	2481.00	2563.50	USD	2,062.50	
26SEP17	S	2	PD27DEC17	2496.00	2563.50	USD	-3,375.00	
26SEP17	S	1	PD27DEC17	2489.50	2563.50	USD	-1,850.00	
26SEP17	S	1	PD27DEC17	2494.50	2563.50	USD	-1,725.00	
26SEP17	S	1	PD27DEC17	2498.00	2563.50	USD	-1,637.50	
26SEP17	S	1	PD27DEC17	2498.50	2563.50	USD	-1,625.00	
26SEP17	S	1	PD27DEC17	2492.50	2563.50	USD	-1,775.00	
26SEP17	S	1	PD27DEC17	2508.50	2563.50	USD	-1,375.00	
26SEP17	B	1	PD27DEC17	2504.50	2563.50	USD	1,475.00	
26SEP17	B	1	PD27DEC17	2504.00	2563.50	USD	1,487.50	
26SEP17	B	1	PD27DEC17	2495.00	2563.50	USD	1,712.50	
26SEP17	B	3	PD27DEC17	2503.00	2563.50	USD	4,537.50	
26SEP17	B	1	PD27DEC17	2499.00	2563.50	USD	1,612.50	
26SEP17	B	2	PD27DEC17	2497.50	2563.50	USD	3,300.00	
26SEP17	B	1	PD27DEC17	2496.00	2563.50	USD	1,687.50	
26SEP17	B	1	PD27DEC17	2495.50	2563.50	USD	1,700.00	
26SEP17	B	1	PD27DEC17	2494.50	2563.50	USD	1,725.00	
26SEP17	B	1	PD27DEC17	2491.50	2563.50	USD	1,800.00	
26SEP17	B	1	PD27DEC17	2491.00	2563.50	USD	1,812.50	
26SEP17	B	1	PD27DEC17	2486.00	2563.50	USD	1,937.50	
26SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
26SEP17	S	1	PD27DEC17	2503.50	2563.50	USD	-1,500.00	
26SEP17	S	2	PD27DEC17	2504.00	2563.50	USD	-2,975.00	
26SEP17	S	1	PD27DEC17	2505.50	2563.50	USD	-1,450.00	
26SEP17	S	1	PD27DEC17	2506.00	2563.50	USD	-1,437.50	
26SEP17	S	2	PD27DEC17	2495.50	2563.50	USD	-3,400.00	
26SEP17	S	2	PD27DEC17	2496.50	2563.50	USD	-3,350.00	
26SEP17	S	1	PD27DEC17	2497.50	2563.50	USD	-1,650.00	
26SEP17	S	2	PD27DEC17	2494.00	2563.50	USD	-3,475.00	
26SEP17	S	1	PD27DEC17	2487.50	2563.50	USD	-1,900.00	
26SEP17	S	1	PD27DEC17	2487.00	2563.50	USD	-1,912.50	
26SEP17	S	1	PD27DEC17	2491.00	2563.50	USD	-1,812.50	
26SEP17	S	1	PD27DEC17	2484.00	2563.50	USD	-1,987.50	
26SEP17	S	1	PD27DEC17	2470.50	2563.50	USD	-2,325.00	
26SEP17	B	1	PD27DEC17	2472.50	2563.50	USD	2,275.00	
26SEP17	B	1	PD27DEC17	2469.50	2563.50	USD	2,350.00	
26SEP17	B	1	PD27DEC17	2464.50	2563.50	USD	2,475.00	
26SEP17	S	1	PD27DEC17	2485.50	2563.50	USD	-1,950.00	
26SEP17	B	1	PD27DEC17	2489.50	2563.50	USD	1,850.00	
26SEP17	B	2	PD27DEC17	2487.50	2563.50	USD	3,800.00	
26SEP17	B	1	PD27DEC17	2486.50	2563.50	USD	1,925.00	
26SEP17	B	1	PD27DEC17	2483.50	2563.50	USD	2,000.00	
26SEP17	B	1	PD27DEC17	2485.00	2563.50	USD	1,962.50	
26SEP17	B	1	PD27DEC17	2483.00	2563.50	USD	2,012.50	
26SEP17	B	1	PD27DEC17	2483.50	2563.50	USD	2,000.00	
26SEP17	B	1	PD27DEC17	2484.00	2563.50	USD	1,987.50	
27SEP17	S	1	PD27DEC17	2483.00	2563.50	USD	-2,012.50	
27SEP17	S	3	PD27DEC17	2480.00	2563.50	USD	-6,262.50	
27SEP17	S	1	PD27DEC17	2480.50	2563.50	USD	-2,075.00	

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27SEP17	B	1	PD27DEC17	2476.50	2563.50	USD	2,175.00	
27SEP17	S	1	PD27DEC17	2478.50	2563.50	USD	-2,125.00	
27SEP17	S	2	PD27DEC17	2481.50	2563.50	USD	-4,100.00	
27SEP17	S	1	PD27DEC17	2483.50	2563.50	USD	-2,000.00	
27SEP17	S	3	PD27DEC17	2484.50	2563.50	USD	-5,925.00	
27SEP17	S	2	PD27DEC17	2482.50	2563.50	USD	-4,050.00	
27SEP17	S	1	PD27DEC17	2482.00	2563.50	USD	-2,037.50	
27SEP17	S	1	PD27DEC17	2470.50	2563.50	USD	-2,325.00	
27SEP17	B	1	PD27DEC17	2459.00	2563.50	USD	2,612.50	
27SEP17	B	1	PD27DEC17	2461.00	2563.50	USD	2,562.50	
27SEP17	B	1	PD27DEC17	2459.50	2563.50	USD	2,600.00	
27SEP17	B	1	PD27DEC17	2453.00	2563.50	USD	2,762.50	
27SEP17	B	1	PD27DEC17	2447.00	2563.50	USD	2,912.50	
04OCT17	B	12	PD27DEC17	2559.71	2563.50	USD	1,137.00	
	NET	0 AVG	BGHT 2496.14	SOLD 2491.53			-7,488.00	0.00
28SEP17	B	3	PD28DEC17	2448.00	2563.60	USD	8,670.00	
28SEP17	B	2	PD28DEC17	2451.00	2563.60	USD	5,630.00	
28SEP17	B	1	PD28DEC17	2463.00	2563.60	USD	2,515.00	
28SEP17	B	1	PD28DEC17	2462.50	2563.60	USD	2,527.50	
28SEP17	B	1	PD28DEC17	2468.50	2563.60	USD	2,377.50	
28SEP17	B	1	PD28DEC17	2468.00	2563.60	USD	2,390.00	
28SEP17	B	1	PD28DEC17	2467.50	2563.60	USD	2,402.50	
28SEP17	S	1	PD28DEC17	2468.50	2563.60	USD	-2,377.50	
28SEP17	B	2	PD28DEC17	2472.00	2563.60	USD	4,580.00	
28SEP17	S	2	PD28DEC17	2473.00	2563.60	USD	-4,530.00	
28SEP17	B	1	PD28DEC17	2474.00	2563.60	USD	2,240.00	
28SEP17	B	1	PD28DEC17	2475.50	2563.60	USD	2,202.50	
28SEP17	B	1	PD28DEC17	2487.00	2563.60	USD	1,915.00	
28SEP17	B	1	PD28DEC17	2458.00	2563.60	USD	2,640.00	
28SEP17	B	1	PD28DEC17	2455.50	2563.60	USD	2,702.50	
28SEP17	S	1	PD28DEC17	2461.50	2563.60	USD	-2,552.50	
28SEP17	B	1	PD28DEC17	2457.50	2563.60	USD	2,652.50	
28SEP17	B	1	PD28DEC17	2458.50	2563.60	USD	2,627.50	
28SEP17	S	1	PD28DEC17	2470.50	2563.60	USD	-2,327.50	
28SEP17	S	1	PD28DEC17	2483.50	2563.60	USD	-2,002.50	
04OCT17	S	12	PD28DEC17	2559.55	2563.60	USD	-1,215.00	
04OCT17	S	1	PD28DEC17	2559.75	2563.60	USD	-96.25	
	NET	0 AVG	BGHT 2462.39	SOLD 2531.81			32,971.25	0.00
29SEP17	S	2	PD29DEC17	2504.00	2563.70	USD	-2,985.00	
29SEP17	S	1	PD29DEC17	2500.00	2563.70	USD	-1,592.50	
29SEP17	S	1	PD29DEC17	2503.50	2563.70	USD	-1,505.00	
29SEP17	S	1	PD29DEC17	2499.50	2563.70	USD	-1,605.00	
29SEP17	S	2	PD29DEC17	2498.50	2563.70	USD	-3,260.00	
29SEP17	S	1	PD29DEC17	2498.00	2563.70	USD	-1,642.50	
29SEP17	S	1	PD29DEC17	2496.50	2563.70	USD	-1,680.00	
29SEP17	S	1	PD29DEC17	2503.50	2563.70	USD	-1,505.00	
29SEP17	B	1	PD29DEC17	2501.00	2563.70	USD	1,567.50	
29SEP17	B	2	PD29DEC17	2500.50	2563.70	USD	3,160.00	
29SEP17	B	2	PD29DEC17	2499.00	2563.70	USD	3,235.00	
29SEP17	B	2	PD29DEC17	2497.50	2563.70	USD	3,310.00	
29SEP17	B	1	PD29DEC17	2499.50	2563.70	USD	1,605.00	
29SEP17	B	1	PD29DEC17	2494.50	2563.70	USD	1,730.00	
04OCT17	B	1	PD29DEC17	2559.99	2563.70	USD	92.75	
	NET	0 AVG	BGHT 2504.90	SOLD 2500.60			-1,074.75	0.00
02OCT17	S	1	PD02JAN18	2537.00	2564.10	USD	-677.50	
04OCT17	B	1	PD02JAN18	2559.93	2564.10	USD	104.25	

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	NET	0 AVG BGHT 2559.93	SOLD 2537.00		-573.25	0.00
030CT17	S 2 PD03JAN18	2515.50	2564.20	USD	-2,435.00	
030CT17	S 1 PD03JAN18	2523.50	2564.20	USD	-1,017.50	
030CT17	S 1 PD03JAN18	2522.00	2564.20	USD	-1,055.00	
030CT17	S 1 PD03JAN18	2522.50	2564.20	USD	-1,042.50	
030CT17	B 1 PD03JAN18	2520.50	2564.20	USD	1,092.50	
030CT17	B 2 PD03JAN18	2518.00	2564.20	USD	2,310.00	
030CT17	B 2 PD03JAN18	2519.00	2564.20	USD	2,260.00	
030CT17	B 1 PD03JAN18	2517.00	2564.20	USD	1,180.00	
030CT17	B 1 PD03JAN18	2515.00	2564.20	USD	1,230.00	
030CT17	B 1 PD03JAN18	2513.50	2564.20	USD	1,267.50	
030CT17	B 1 PD03JAN18	2513.00	2564.20	USD	1,280.00	
030CT17	B 1 PD03JAN18	2507.00	2564.20	USD	1,430.00	
030CT17	B 3 PD03JAN18	2504.00	2564.20	USD	4,515.00	
030CT17	B 1 PD03JAN18	2518.00	2564.20	USD	1,155.00	
030CT17	B 1 PD03JAN18	2488.50	2564.20	USD	1,892.50	
030CT17	S 1 PD03JAN18	2509.00	2564.20	USD	-1,380.00	
030CT17	S 1 PD03JAN18	2509.50	2564.20	USD	-1,367.50	
030CT17	S 1 PD03JAN18	2511.50	2564.20	USD	-1,317.50	
030CT17	S 1 PD03JAN18	2518.50	2564.20	USD	-1,142.50	
030CT17	S 2 PD03JAN18	2519.50	2564.20	USD	-2,235.00	
030CT17	S 2 PD03JAN18	2520.00	2564.20	USD	-2,210.00	
030CT17	S 1 PD03JAN18	2520.50	2564.20	USD	-1,092.50	
030CT17	S 4 PD03JAN18	2524.00	2564.20	USD	-4,020.00	
030CT17	S 1 PD03JAN18	2524.50	2564.20	USD	-992.50	
030CT17	S 1 PD03JAN18	2521.50	2564.20	USD	-1,067.50	
030CT17	S 3 PD03JAN18	2525.00	2564.20	USD	-2,940.00	
030CT17	S 1 PD03JAN18	2530.50	2564.20	USD	-842.50	
030CT17	S 1 PD03JAN18	2531.50	2564.20	USD	-817.50	
030CT17	S 1 PD03JAN18	2532.00	2564.20	USD	-805.00	
030CT17	S 1 PD03JAN18	2552.50	2564.20	USD	-292.50	
030CT17	S 1 PD03JAN18	2563.00	2564.20	USD	-30.00	
030CT17	S 1 PD03JAN18	2566.50	2564.20	USD	57.50	
030CT17	B 1 PD03JAN18	2555.00	2564.20	USD	230.00	
030CT17	B 1 PD03JAN18	2552.00	2564.20	USD	305.00	
030CT17	B 1 PD03JAN18	2551.00	2564.20	USD	330.00	
030CT17	B 1 PD03JAN18	2546.00	2564.20	USD	455.00	
030CT17	S 1 PD03JAN18	2555.00	2564.20	USD	-230.00	
030CT17	S 1 PD03JAN18	2562.50	2564.20	USD	-42.50	
030CT17	S 1 PD03JAN18	2568.50	2564.20	USD	107.50	
030CT17	S 1 PD03JAN18	2584.50	2564.20	USD	507.50	
030CT17	S 1 PD03JAN18	2585.50	2564.20	USD	532.50	
030CT17	S 1 PD03JAN18	2586.00	2564.20	USD	545.00	
030CT17	S 2 PD03JAN18	2587.00	2564.20	USD	1,140.00	
030CT17	S 1 PD03JAN18	2593.00	2564.20	USD	720.00	
030CT17	S 1 PD03JAN18	2591.00	2564.20	USD	670.00	
030CT17	B 2 PD03JAN18	2592.50	2564.20	USD	-1,415.00	
030CT17	B 2 PD03JAN18	2597.00	2564.20	USD	-1,640.00	
030CT17	B 1 PD03JAN18	2596.50	2564.20	USD	-807.50	
030CT17	B 1 PD03JAN18	2565.00	2564.20	USD	-20.00	
040CT17	S 1 PD03JAN18	2559.76	2564.20	USD	-111.00	
050CT17	B 6 PD03JAN18	2592.00	2564.20	USD	-4,170.00	
100CT17	B 9 PD03JAN18	2555.50	2564.20	USD	1,957.50	
	NET	0 AVG BGHT 2549.36	SOLD 2539.99		-9,368.50	0.00
040CT17	B 1 PD04JAN18	2609.00	2564.30	USD	-1,117.50	
040CT17	B 1 PD04JAN18	2611.50	2564.30	USD	-1,180.00	
040CT17	B 1 PD04JAN18	2577.50	2564.30	USD	-330.00	

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04OCT17	B	1	PD04JAN18	2577.00	2564.30	USD	-317.50	
04OCT17	S	1	PD04JAN18	2574.00	2564.30	USD	242.50	
04OCT17	B	1	PD04JAN18	2569.00	2564.30	USD	-117.50	
04OCT17	S	1	PD04JAN18	2584.50	2564.30	USD	505.00	
04OCT17	S	1	PD04JAN18	2591.00	2564.30	USD	667.50	
04OCT17	S	2	PD04JAN18	2598.00	2564.30	USD	1,685.00	
04OCT17	B	1	PD04JAN18	2600.50	2564.30	USD	-905.00	
04OCT17	B	2	PD04JAN18	2567.50	2564.30	USD	-160.00	
04OCT17	S	1	PD04JAN18	2569.50	2564.30	USD	130.00	
04OCT17	S	1	PD04JAN18	2584.00	2564.30	USD	492.50	
04OCT17	S	1	PD04JAN18	2590.50	2564.30	USD	655.00	
04OCT17	S	1	PD04JAN18	2590.00	2564.30	USD	642.50	
04OCT17	S	1	PD04JAN18	2592.00	2564.30	USD	692.50	
04OCT17	B	1	PD04JAN18	2563.50	2564.30	USD	20.00	
04OCT17	B	1	PD04JAN18	2565.00	2564.30	USD	-17.50	
04OCT17	B	1	PD04JAN18	2566.50	2564.30	USD	-55.00	
04OCT17	B	1	PD04JAN18	2558.50	2564.30	USD	145.00	
04OCT17	B	2	PD04JAN18	2555.00	2564.30	USD	465.00	
04OCT17	B	1	PD04JAN18	2550.50	2564.30	USD	345.00	
04OCT17	B	1	PD04JAN18	2545.50	2564.30	USD	470.00	
05OCT17	S	6	PD04JAN18	2591.80	2564.30	USD	4,125.00	
	NET	0AVG BGHT 2571.19	SOLD 2588.89				7,082.50	0.00
05OCT17	S	1	PD05JAN18	2544.50	2564.40	USD	-497.50	
05OCT17	S	1	PD05JAN18	2543.00	2564.40	USD	-535.00	
05OCT17	S	1	PD05JAN18	2540.50	2564.40	USD	-597.50	
05OCT17	S	1	PD05JAN18	2551.50	2564.40	USD	-322.50	
05OCT17	S	1	PD05JAN18	2557.50	2564.40	USD	-172.50	
05OCT17	S	1	PD05JAN18	2569.50	2564.40	USD	127.50	
05OCT17	S	1	PD05JAN18	2574.00	2564.40	USD	240.00	
05OCT17	B	1	PD05JAN18	2588.00	2564.40	USD	-590.00	
05OCT17	B	1	PD05JAN18	2580.50	2564.40	USD	-402.50	
06OCT17	B	1	PD05JAN18	2574.50	2564.40	USD	-252.50	
06OCT17	S	1	PD05JAN18	2573.00	2564.40	USD	215.00	
06OCT17	B	1	PD05JAN18	2564.00	2564.40	USD	10.00	
06OCT17	B	1	PD05JAN18	2563.50	2564.40	USD	22.50	
06OCT17	B	1	PD05JAN18	2561.50	2564.40	USD	72.50	
06OCT17	B	3	PD05JAN18	2559.00	2564.40	USD	405.00	
06OCT17	B	2	PD05JAN18	2558.00	2564.40	USD	320.00	
06OCT17	B	1	PD05JAN18	2562.50	2564.40	USD	47.50	
06OCT17	S	1	PD05JAN18	2543.50	2564.40	USD	-522.50	
06OCT17	S	1	PD05JAN18	2550.00	2564.40	USD	-360.00	
06OCT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
06OCT17	S	1	PD05JAN18	2544.50	2564.40	USD	-497.50	
06OCT17	B	1	PD05JAN18	2526.50	2564.40	USD	947.50	
06OCT17	B	1	PD05JAN18	2524.50	2564.40	USD	997.50	
06OCT17	S	1	PD05JAN18	2522.00	2564.40	USD	-1,060.00	
06OCT17	S	1	PD05JAN18	2521.50	2564.40	USD	-1,072.50	
06OCT17	S	1	PD05JAN18	2522.50	2564.40	USD	-1,047.50	
06OCT17	S	1	PD05JAN18	2537.50	2564.40	USD	-672.50	
06OCT17	B	1	PD05JAN18	2537.00	2564.40	USD	685.00	
06OCT17	B	1	PD05JAN18	2536.50	2564.40	USD	697.50	
06OCT17	B	1	PD05JAN18	2535.00	2564.40	USD	735.00	
10OCT17	S	1	PD05JAN18	2555.73	2564.40	USD	-216.75	
	NET	0AVG BGHT 2555.71	SOLD 2546.81				-3,781.75	0.00
09OCT17	B	2	PD09JAN18	2522.00	2564.80	USD	2,140.00	
09OCT17	B	1	PD09JAN18	2520.50	2564.80	USD	1,107.50	
09OCT17	B	1	PD09JAN18	2517.00	2564.80	USD	1,195.00	

OPEN POSITION STATEMENT



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SAP0112C

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	2506.00	2564.80	USD	1,470.00	
09OCT17	S	1	PD09JAN18	2512.00	2564.80	USD	-1,320.00	
09OCT17	B	1	PD09JAN18	2498.50	2564.80	USD	1,657.50	
09OCT17	B	1	PD09JAN18	2493.50	2564.80	USD	1,782.50	
09OCT17	B	1	PD09JAN18	2493.00	2564.80	USD	1,795.00	
09OCT17	B	1	PD09JAN18	2491.50	2564.80	USD	1,832.50	
10OCT17	S	8	PD09JAN18	2556.59	2564.80	USD	-1,642.00	
	NET	0AVG BGHT 2507.11	SOLD 2551.64				10,018.00	0.00
10OCT17	B	1	PD10JAN18	2484.50	2564.90	USD	2,010.00	
10OCT17	S	1	PD10JAN18	2505.00	2564.90	USD	-1,497.50	
10OCT17	S	1	PD10JAN18	2535.00	2564.90	USD	-747.50	
10OCT17	B	1	PD10JAN18	2558.00	2564.90	USD	172.50	
	NET	0AVG BGHT 2521.25	SOLD 2520.00				-62.50	0.00
11OCT17	B	1	PD11JAN18	2562.00	2565.00	USD	75.00	
	NET	+1AVG BGHT 2562.00					75.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	1	PD12OCT17	9205.00	11060.20	USD	-11,131.20	
12JUL17	S	1	PD12OCT17	9220.00	11060.20	USD	-11,041.20	
13JUL17	B	2	PD12OCT17	9210.43	11060.20	USD	22,197.24	
	NET	0AVG BGHT 9210.43	SOLD 9212.50				24.84	0.00
14JUL17	B	1	PD13OCT17	9365.00	11061.00	USD	10,176.00	
14JUL17	S	1	PD13OCT17	9395.00	11061.00	USD	-9,996.00	
14JUL17	B	1	PD13OCT17	9300.00	11061.00	USD	10,566.00	
18JUL17	S	1	PD13OCT17	9777.44	11061.00	USD	-7,701.36	
	NET	0AVG BGHT 9332.50	SOLD 9586.22				3,044.64	0.00
17JUL17	S	1	PD17OCT17	9680.00	11064.20	USD	-8,305.20	
18JUL17	B	1	PD17OCT17	9781.00	11064.20	USD	7,699.20	
	NET	0AVG BGHT 9781.00	SOLD 9680.00				-606.00	0.00
20JUL17	B	1	PD20OCT17	9575.00	11066.93	USD	8,951.58	
21JUL17	S	1	PD20OCT17	9580.00	11066.93	USD	-8,921.58	
	NET	0AVG BGHT 9575.00	SOLD 9580.00				30.00	0.00
31JUL17	B	1	PD31OCT17	10285.00	11077.54	USD	4,755.24	
03AUG17	S	1	PD31OCT17	10379.36	11077.54	USD	-4,189.08	
	NET	0AVG BGHT 10285.00	SOLD 10379.36				566.16	0.00
02AUG17	S	1	PD02NOV17	10270.00	11079.46	USD	-4,856.76	
03AUG17	B	1	PD02NOV17	10380.79	11079.46	USD	4,192.02	
	NET	0AVG BGHT 10380.79	SOLD 10270.00				-664.74	0.00
29AUG17	S	1	PD29NOV17	11800.00	11102.80	USD	4,183.20	
29AUG17	B	1	PD29NOV17	11695.00	11102.80	USD	-3,553.20	
	NET	0AVG BGHT 11695.00	SOLD 11800.00				630.00	0.00
28SEP17	B	1	PD28DEC17	10320.00	11124.82	USD	4,828.92	
04OCT17	S	1	PD28DEC17	10608.47	11124.82	USD	-3,098.10	
	NET	0AVG BGHT 10320.00	SOLD 10608.47				1,730.82	0.00
29SEP17	S	1	PD29DEC17	10495.00	11125.55	USD	-3,783.30	
04OCT17	B	1	PD29DEC17	10610.40	11125.55	USD	3,090.90	
	NET	0AVG BGHT 10610.40	SOLD 10495.00				-692.40	0.00
02OCT17	B	1	PD02JAN18	10340.00	11128.45	USD	4,730.70	
04OCT17	S	1	PD02JAN18	10613.13	11128.45	USD	-3,091.92	
	NET	0AVG BGHT 10340.00	SOLD 10613.13				1,638.78	0.00
03OCT17	S	1	PD03JAN18	10485.00	11129.18	USD	-3,865.08	
04OCT17	B	1	PD03JAN18	10615.07	11129.18	USD	3,084.66	
	NET	0AVG BGHT 10615.07	SOLD 10485.00				-780.42	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
05OCT17	S	1 PD05JAN18	10600.00	11130.64	USD	-3,183.84	
05OCT17	B	1 PD05JAN18	10560.00	11130.64	USD	3,423.84	
	NET	0AVG BGHT 10560.00 SOLD 10600.00				240.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
12JUL17	B	1 PD12OCT17	2836.00	3299.33	USD	11,583.25	
12JUL17	B	1 PD12OCT17	2830.50	3299.33	USD	11,720.75	
12JUL17	B	1 PD12OCT17	2824.50	3299.33	USD	11,870.75	
12JUL17	B	2 PD12OCT17	2824.00	3299.33	USD	23,766.50	
12JUL17	S	1 PD12OCT17	2824.00	3299.33	USD	-11,883.25	
12JUL17	S	1 PD12OCT17	2825.50	3299.33	USD	-11,845.75	
12JUL17	S	1 PD12OCT17	2826.50	3299.33	USD	-11,820.75	
12JUL17	S	3 PD12OCT17	2827.00	3299.33	USD	-35,424.75	
12JUL17	S	1 PD12OCT17	2829.50	3299.33	USD	-11,745.75	
12JUL17	B	2 PD12OCT17	2822.50	3299.33	USD	23,841.50	
12JUL17	B	1 PD12OCT17	2821.50	3299.33	USD	11,945.75	
12JUL17	B	1 PD12OCT17	2818.50	3299.33	USD	12,020.75	
12JUL17	B	1 PD12OCT17	2817.50	3299.33	USD	12,045.75	
12JUL17	B	1 PD12OCT17	2820.00	3299.33	USD	11,983.25	
12JUL17	B	1 PD12OCT17	2819.00	3299.33	USD	12,008.25	
12JUL17	S	3 PD12OCT17	2830.00	3299.33	USD	-35,199.75	
12JUL17	S	6 PD12OCT17	2832.00	3299.33	USD	-70,099.50	
12JUL17	S	3 PD12OCT17	2831.50	3299.33	USD	-35,087.25	
12JUL17	S	5 PD12OCT17	2830.50	3299.33	USD	-58,603.75	
12JUL17	S	2 PD12OCT17	2832.50	3299.33	USD	-23,341.50	
12JUL17	S	1 PD12OCT17	2843.50	3299.33	USD	-11,395.75	
12JUL17	S	1 PD12OCT17	2840.00	3299.33	USD	-11,483.25	
12JUL17	S	2 PD12OCT17	2836.00	3299.33	USD	-23,166.50	
12JUL17	S	2 PD12OCT17	2837.00	3299.33	USD	-23,116.50	
12JUL17	S	1 PD12OCT17	2839.00	3299.33	USD	-11,508.25	
12JUL17	S	1 PD12OCT17	2841.00	3299.33	USD	-11,458.25	
12JUL17	S	1 PD12OCT17	2843.00	3299.33	USD	-11,408.25	
12JUL17	S	1 PD12OCT17	2845.50	3299.33	USD	-11,345.75	
12JUL17	S	2 PD12OCT17	2859.50	3299.33	USD	-21,991.50	
12JUL17	S	2 PD12OCT17	2862.00	3299.33	USD	-21,866.50	
12JUL17	S	1 PD12OCT17	2872.00	3299.33	USD	-10,683.25	
12JUL17	B	1 PD12OCT17	2853.50	3299.33	USD	11,145.75	
12JUL17	B	1 PD12OCT17	2850.50	3299.33	USD	11,220.75	
12JUL17	B	1 PD12OCT17	2851.50	3299.33	USD	11,195.75	
12JUL17	B	2 PD12OCT17	2849.00	3299.33	USD	22,516.50	
12JUL17	B	2 PD12OCT17	2843.00	3299.33	USD	22,816.50	
12JUL17	B	1 PD12OCT17	2845.00	3299.33	USD	11,358.25	
12JUL17	B	2 PD12OCT17	2834.00	3299.33	USD	23,266.50	
12JUL17	S	1 PD12OCT17	2829.50	3299.33	USD	-11,745.75	
12JUL17	S	1 PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	B	1 PD12OCT17	2871.00	3299.33	USD	10,708.25	
12JUL17	B	1 PD12OCT17	2845.50	3299.33	USD	11,345.75	
12JUL17	S	1 PD12OCT17	2855.50	3299.33	USD	-11,095.75	
12JUL17	S	2 PD12OCT17	2857.00	3299.33	USD	-22,116.50	
12JUL17	S	3 PD12OCT17	2858.00	3299.33	USD	-33,099.75	
12JUL17	B	1 PD12OCT17	2856.50	3299.33	USD	11,070.75	
12JUL17	B	1 PD12OCT17	2848.00	3299.33	USD	11,283.25	
12JUL17	B	2 PD12OCT17	2848.50	3299.33	USD	22,541.50	
12JUL17	B	3 PD12OCT17	2847.00	3299.33	USD	33,924.75	
12JUL17	B	3 PD12OCT17	2846.50	3299.33	USD	33,962.25	
12JUL17	B	2 PD12OCT17	2844.50	3299.33	USD	22,741.50	
12JUL17	B	1 PD12OCT17	2849.50	3299.33	USD	11,245.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2835.50	3299.33	USD	11,595.75	
12JUL17	B	2	PD12OCT17	2832.50	3299.33	USD	23,341.50	
12JUL17	S	1	PD12OCT17	2834.50	3299.33	USD	-11,620.75	
12JUL17	S	1	PD12OCT17	2838.50	3299.33	USD	-11,520.75	
12JUL17	B	1	PD12OCT17	2837.50	3299.33	USD	11,545.75	
12JUL17	B	5	PD12OCT17	2834.50	3299.33	USD	58,103.75	
12JUL17	S	3	PD12OCT17	2831.00	3299.33	USD	-35,124.75	
12JUL17	S	1	PD12OCT17	2833.50	3299.33	USD	-11,645.75	
12JUL17	S	4	PD12OCT17	2835.50	3299.33	USD	-46,383.00	
12JUL17	S	4	PD12OCT17	2836.50	3299.33	USD	-46,283.00	
12JUL17	S	1	PD12OCT17	2840.50	3299.33	USD	-11,470.75	
12JUL17	S	1	PD12OCT17	2835.00	3299.33	USD	-11,608.25	
12JUL17	B	5	PD12OCT17	2830.00	3299.33	USD	58,666.25	
12JUL17	B	1	PD12OCT17	2827.50	3299.33	USD	11,795.75	
12JUL17	B	2	PD12OCT17	2829.00	3299.33	USD	23,516.50	
12JUL17	B	2	PD12OCT17	2828.00	3299.33	USD	23,566.50	
12JUL17	B	1	PD12OCT17	2827.00	3299.33	USD	11,808.25	
12JUL17	B	1	PD12OCT17	2826.50	3299.33	USD	11,820.75	
12JUL17	B	3	PD12OCT17	2823.50	3299.33	USD	35,687.25	
12JUL17	B	1	PD12OCT17	2823.00	3299.33	USD	11,908.25	
12JUL17	B	2	PD12OCT17	2820.50	3299.33	USD	23,941.50	
12JUL17	S	1	PD12OCT17	2820.00	3299.33	USD	-11,983.25	
12JUL17	S	1	PD12OCT17	2819.00	3299.33	USD	-12,008.25	
12JUL17	B	2	PD12OCT17	2819.50	3299.33	USD	23,991.50	
12JUL17	S	2	PD12OCT17	2819.50	3299.33	USD	-23,991.50	
12JUL17	S	1	PD12OCT17	2821.50	3299.33	USD	-11,945.75	
12JUL17	S	1	PD12OCT17	2834.50	3299.33	USD	-11,620.75	
18JUL17	B	5	PD12OCT17	2792.86	3299.33	USD	63,308.75	
	NET	0	AVG BGHT 2831.88				8,955.00	0.00
13JUL17	S	1	PD13OCT17	2830.50	3295.00	USD	-11,612.50	
13JUL17	S	2	PD13OCT17	2831.00	3295.00	USD	-23,200.00	
13JUL17	S	2	PD13OCT17	2829.00	3295.00	USD	-23,300.00	
13JUL17	S	1	PD13OCT17	2826.00	3295.00	USD	-11,725.00	
13JUL17	S	2	PD13OCT17	2826.50	3295.00	USD	-23,425.00	
13JUL17	B	1	PD13OCT17	2827.50	3295.00	USD	11,687.50	
13JUL17	S	1	PD13OCT17	2828.50	3295.00	USD	-11,662.50	
13JUL17	S	1	PD13OCT17	2833.50	3295.00	USD	-11,537.50	
13JUL17	S	1	PD13OCT17	2834.50	3295.00	USD	-11,512.50	
13JUL17	B	2	PD13OCT17	2841.50	3295.00	USD	22,675.00	
13JUL17	S	1	PD13OCT17	2838.50	3295.00	USD	-11,412.50	
13JUL17	S	3	PD13OCT17	2839.00	3295.00	USD	-34,200.00	
13JUL17	S	1	PD13OCT17	2840.00	3295.00	USD	-11,375.00	
13JUL17	S	2	PD13OCT17	2841.00	3295.00	USD	-22,700.00	
13JUL17	S	1	PD13OCT17	2841.50	3295.00	USD	-11,337.50	
13JUL17	S	3	PD13OCT17	2842.50	3295.00	USD	-33,937.50	
13JUL17	S	1	PD13OCT17	2843.00	3295.00	USD	-11,300.00	
13JUL17	B	1	PD13OCT17	2838.50	3295.00	USD	11,412.50	
13JUL17	B	1	PD13OCT17	2839.00	3295.00	USD	11,400.00	
13JUL17	S	1	PD13OCT17	2833.00	3295.00	USD	-11,550.00	
13JUL17	S	1	PD13OCT17	2839.50	3295.00	USD	-11,387.50	
13JUL17	S	1	PD13OCT17	2844.00	3295.00	USD	-11,275.00	
13JUL17	S	2	PD13OCT17	2845.50	3295.00	USD	-22,475.00	
13JUL17	S	1	PD13OCT17	2846.50	3295.00	USD	-11,212.50	
13JUL17	B	1	PD13OCT17	2833.50	3295.00	USD	11,537.50	
13JUL17	B	4	PD13OCT17	2840.00	3295.00	USD	45,500.00	
13JUL17	B	1	PD13OCT17	2835.50	3295.00	USD	11,487.50	
13JUL17	B	1	PD13OCT17	2832.00	3295.00	USD	11,575.00	

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13JUL17	S	1	PD130CT17	2835.50	3295.00	USD	-11,487.50	
13JUL17	S	2	PD130CT17	2836.00	3295.00	USD	-22,950.00	
13JUL17	S	1	PD130CT17	2838.00	3295.00	USD	-11,425.00	
13JUL17	B	1	PD130CT17	2837.00	3295.00	USD	11,450.00	
13JUL17	B	3	PD130CT17	2833.00	3295.00	USD	34,650.00	
13JUL17	B	2	PD130CT17	2832.50	3295.00	USD	23,125.00	
13JUL17	B	4	PD130CT17	2831.50	3295.00	USD	46,350.00	
13JUL17	S	1	PD130CT17	2833.00	3295.00	USD	-11,550.00	
13JUL17	S	1	PD130CT17	2837.50	3295.00	USD	-11,437.50	
13JUL17	B	2	PD130CT17	2829.50	3295.00	USD	23,275.00	
13JUL17	B	3	PD130CT17	2828.50	3295.00	USD	34,987.50	
13JUL17	B	3	PD130CT17	2828.00	3295.00	USD	35,025.00	
13JUL17	B	2	PD130CT17	2826.00	3295.00	USD	23,450.00	
13JUL17	B	3	PD130CT17	2827.00	3295.00	USD	35,100.00	
13JUL17	B	2	PD130CT17	2830.50	3295.00	USD	23,225.00	
13JUL17	S	2	PD130CT17	2834.00	3295.00	USD	-23,050.00	
13JUL17	B	6	PD130CT17	2831.00	3295.00	USD	69,600.00	
13JUL17	B	4	PD130CT17	2830.00	3295.00	USD	46,500.00	
13JUL17	B	8	PD130CT17	2829.00	3295.00	USD	93,200.00	
13JUL17	B	2	PD130CT17	2825.00	3295.00	USD	23,500.00	
13JUL17	S	1	PD130CT17	2824.00	3295.00	USD	-11,775.00	
13JUL17	S	1	PD130CT17	2823.00	3295.00	USD	-11,800.00	
13JUL17	S	3	PD130CT17	2824.50	3295.00	USD	-35,287.50	
13JUL17	S	2	PD130CT17	2825.00	3295.00	USD	-23,500.00	
13JUL17	S	2	PD130CT17	2825.50	3295.00	USD	-23,475.00	
13JUL17	B	1	PD130CT17	2817.50	3295.00	USD	11,937.50	
13JUL17	B	2	PD130CT17	2816.50	3295.00	USD	23,925.00	
13JUL17	B	1	PD130CT17	2815.00	3295.00	USD	12,000.00	
13JUL17	B	1	PD130CT17	2813.50	3295.00	USD	12,037.50	
13JUL17	B	1	PD130CT17	2812.50	3295.00	USD	12,062.50	
13JUL17	B	1	PD130CT17	2811.00	3295.00	USD	12,100.00	
13JUL17	B	1	PD130CT17	2810.00	3295.00	USD	12,125.00	
13JUL17	B	1	PD130CT17	2809.00	3295.00	USD	12,150.00	
13JUL17	B	3	PD130CT17	2809.50	3295.00	USD	36,412.50	
13JUL17	B	2	PD130CT17	2808.00	3295.00	USD	24,350.00	
13JUL17	B	2	PD130CT17	2805.50	3295.00	USD	24,475.00	
13JUL17	B	1	PD130CT17	2805.00	3295.00	USD	12,250.00	
13JUL17	B	2	PD130CT17	2804.50	3295.00	USD	24,525.00	
13JUL17	B	1	PD130CT17	2803.50	3295.00	USD	12,287.50	
13JUL17	B	1	PD130CT17	2799.50	3295.00	USD	12,387.50	
13JUL17	B	1	PD130CT17	2800.50	3295.00	USD	12,362.50	
13JUL17	S	1	PD130CT17	2806.50	3295.00	USD	-12,212.50	
13JUL17	S	1	PD130CT17	2805.00	3295.00	USD	-12,250.00	
13JUL17	S	1	PD130CT17	2805.50	3295.00	USD	-12,237.50	
13JUL17	B	2	PD130CT17	2800.00	3295.00	USD	24,750.00	
13JUL17	B	1	PD130CT17	2801.00	3295.00	USD	12,350.00	
13JUL17	S	4	PD130CT17	2798.00	3295.00	USD	-49,700.00	
13JUL17	S	1	PD130CT17	2808.50	3295.00	USD	-12,162.50	
14JUL17	S	1	PD130CT17	2787.00	3295.00	USD	-12,700.00	
14JUL17	S	4	PD130CT17	2785.00	3295.00	USD	-51,000.00	
14JUL17	B	1	PD130CT17	2792.50	3295.00	USD	12,562.50	
14JUL17	B	1	PD130CT17	2794.00	3295.00	USD	12,525.00	
14JUL17	S	1	PD130CT17	2791.00	3295.00	USD	-12,600.00	
14JUL17	B	4	PD130CT17	2788.50	3295.00	USD	50,650.00	
14JUL17	B	2	PD130CT17	2789.00	3295.00	USD	25,300.00	
14JUL17	S	4	PD130CT17	2793.00	3295.00	USD	-50,200.00	
14JUL17	S	12	PD130CT17	2793.50	3295.00	USD	-150,450.00	

OPEN POSITION STATEMENT



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SAP0112C

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Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	2	PD130CT17	2794.50	3295.00	USD	-25,025.00	
14JUL17	S	8	PD130CT17	2794.00	3295.00	USD	-100,200.00	
14JUL17	S	4	PD130CT17	2795.00	3295.00	USD	-50,000.00	
14JUL17	S	2	PD130CT17	2796.50	3295.00	USD	-24,925.00	
14JUL17	S	1	PD130CT17	2801.00	3295.00	USD	-12,350.00	
14JUL17	S	1	PD130CT17	2800.50	3295.00	USD	-12,362.50	
14JUL17	S	2	PD130CT17	2800.00	3295.00	USD	-24,750.00	
14JUL17	B	5	PD130CT17	2792.00	3295.00	USD	62,875.00	
14JUL17	B	5	PD130CT17	2788.00	3295.00	USD	63,375.00	
14JUL17	B	2	PD130CT17	2787.00	3295.00	USD	25,400.00	
14JUL17	S	3	PD130CT17	2780.00	3295.00	USD	-38,625.00	
14JUL17	B	1	PD130CT17	2778.00	3295.00	USD	12,925.00	
14JUL17	B	2	PD130CT17	2777.00	3295.00	USD	25,900.00	
14JUL17	B	1	PD130CT17	2775.00	3295.00	USD	13,000.00	
14JUL17	B	2	PD130CT17	2774.00	3295.00	USD	26,050.00	
14JUL17	B	4	PD130CT17	2773.50	3295.00	USD	52,150.00	
14JUL17	B	2	PD130CT17	2771.50	3295.00	USD	26,175.00	
14JUL17	B	1	PD130CT17	2769.00	3295.00	USD	13,150.00	
14JUL17	B	1	PD130CT17	2768.50	3295.00	USD	13,162.50	
14JUL17	B	1	PD130CT17	2770.00	3295.00	USD	13,125.00	
14JUL17	B	1	PD130CT17	2772.50	3295.00	USD	13,062.50	
14JUL17	B	2	PD130CT17	2769.50	3295.00	USD	26,275.00	
14JUL17	B	1	PD130CT17	2764.50	3295.00	USD	13,262.50	
14JUL17	B	1	PD130CT17	2767.50	3295.00	USD	13,187.50	
14JUL17	S	1	PD130CT17	2773.00	3295.00	USD	-13,050.00	
14JUL17	S	1	PD130CT17	2773.50	3295.00	USD	-13,037.50	
14JUL17	S	2	PD130CT17	2775.00	3295.00	USD	-26,000.00	
14JUL17	B	1	PD130CT17	2767.00	3295.00	USD	13,200.00	
14JUL17	B	3	PD130CT17	2766.00	3295.00	USD	39,675.00	
14JUL17	B	1	PD130CT17	2765.50	3295.00	USD	13,237.50	
14JUL17	B	1	PD130CT17	2765.00	3295.00	USD	13,250.00	
14JUL17	S	1	PD130CT17	2764.50	3295.00	USD	-13,262.50	
14JUL17	B	1	PD130CT17	2760.00	3295.00	USD	13,375.00	
14JUL17	S	1	PD130CT17	2761.50	3295.00	USD	-13,337.50	
14JUL17	S	1	PD130CT17	2761.00	3295.00	USD	-13,350.00	
14JUL17	B	1	PD130CT17	2753.50	3295.00	USD	13,537.50	
14JUL17	B	1	PD130CT17	2762.00	3295.00	USD	13,325.00	
14JUL17	S	1	PD130CT17	2770.00	3295.00	USD	-13,125.00	
14JUL17	S	1	PD130CT17	2770.50	3295.00	USD	-13,112.50	
14JUL17	S	1	PD130CT17	2771.00	3295.00	USD	-13,100.00	
14JUL17	S	3	PD130CT17	2772.00	3295.00	USD	-39,225.00	
14JUL17	S	3	PD130CT17	2787.00	3295.00	USD	-38,100.00	
14JUL17	B	1	PD130CT17	2773.00	3295.00	USD	13,050.00	
14JUL17	B	1	PD130CT17	2769.50	3295.00	USD	13,137.50	
14JUL17	B	1	PD130CT17	2767.00	3295.00	USD	13,200.00	
14JUL17	S	1	PD130CT17	2773.00	3295.00	USD	-13,050.00	
14JUL17	S	1	PD130CT17	2777.00	3295.00	USD	-12,950.00	
14JUL17	B	3	PD130CT17	2772.00	3295.00	USD	39,225.00	
14JUL17	B	4	PD130CT17	2773.00	3295.00	USD	52,200.00	
14JUL17	S	2	PD130CT17	2778.00	3295.00	USD	-25,850.00	
14JUL17	S	1	PD130CT17	2782.00	3295.00	USD	-12,825.00	
14JUL17	S	1	PD130CT17	2781.00	3295.00	USD	-12,850.00	
14JUL17	S	1	PD130CT17	2780.50	3295.00	USD	-12,862.50	
14JUL17	S	5	PD130CT17	2783.00	3295.00	USD	-64,000.00	
14JUL17	B	1	PD130CT17	2780.00	3295.00	USD	12,875.00	
14JUL17	S	3	PD130CT17	2783.50	3295.00	USD	-38,362.50	
14JUL17	S	4	PD130CT17	2781.50	3295.00	USD	-51,350.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	4	PD130CT17	2782.50	3295.00	USD	-51,250.00	
14JUL17	S	4	PD130CT17	2784.00	3295.00	USD	-51,100.00	
14JUL17	S	1	PD130CT17	2785.50	3295.00	USD	-12,737.50	
14JUL17	S	1	PD130CT17	2789.00	3295.00	USD	-12,650.00	
14JUL17	S	2	PD130CT17	2787.50	3295.00	USD	-25,375.00	
14JUL17	S	3	PD130CT17	2788.50	3295.00	USD	-37,987.50	
14JUL17	S	2	PD130CT17	2790.50	3295.00	USD	-25,225.00	
14JUL17	S	2	PD130CT17	2791.50	3295.00	USD	-25,175.00	
14JUL17	S	3	PD130CT17	2792.50	3295.00	USD	-37,687.50	
14JUL17	B	1	PD130CT17	2783.00	3295.00	USD	12,800.00	
14JUL17	S	5	PD130CT17	2784.50	3295.00	USD	-63,812.50	
14JUL17	B	11	PD130CT17	2785.50	3295.00	USD	140,112.50	
14JUL17	B	6	PD130CT17	2784.50	3295.00	USD	76,575.00	
14JUL17	B	2	PD130CT17	2785.00	3295.00	USD	25,500.00	
14JUL17	B	10	PD130CT17	2786.00	3295.00	USD	127,250.00	
14JUL17	B	4	PD130CT17	2786.50	3295.00	USD	50,850.00	
14JUL17	S	1	PD130CT17	2784.00	3295.00	USD	-12,775.00	
14JUL17	S	5	PD130CT17	2792.00	3295.00	USD	-62,875.00	
18JUL17	S	9	PD130CT17	2792.85	3295.00	USD	-112,983.75	
	NET	0 AVG BGHT 2800.53	SOLD 2799.99				-2,371.25	0.00
17JUL17	S	2	PD170CT17	2820.00	3286.33	USD	-23,316.50	
17JUL17	B	1	PD170CT17	2813.50	3286.33	USD	11,820.75	
17JUL17	S	1	PD170CT17	2821.00	3286.33	USD	-11,633.25	
17JUL17	S	1	PD170CT17	2826.00	3286.33	USD	-11,508.25	
17JUL17	S	2	PD170CT17	2815.00	3286.33	USD	-23,566.50	
17JUL17	B	2	PD170CT17	2814.50	3286.33	USD	23,591.50	
17JUL17	S	2	PD170CT17	2821.50	3286.33	USD	-23,241.50	
17JUL17	S	1	PD170CT17	2826.50	3286.33	USD	-11,495.75	
17JUL17	S	7	PD170CT17	2814.00	3286.33	USD	-82,657.75	
17JUL17	S	5	PD170CT17	2824.50	3286.33	USD	-57,728.75	
17JUL17	S	3	PD170CT17	2825.50	3286.33	USD	-34,562.25	
17JUL17	S	1	PD170CT17	2830.50	3286.33	USD	-11,395.75	
17JUL17	S	1	PD170CT17	2831.50	3286.33	USD	-11,370.75	
17JUL17	S	1	PD170CT17	2833.50	3286.33	USD	-11,320.75	
17JUL17	S	4	PD170CT17	2832.00	3286.33	USD	-45,433.00	
17JUL17	S	1	PD170CT17	2835.50	3286.33	USD	-11,270.75	
17JUL17	B	1	PD170CT17	2830.50	3286.33	USD	11,395.75	
17JUL17	B	2	PD170CT17	2828.00	3286.33	USD	22,916.50	
17JUL17	B	1	PD170CT17	2828.50	3286.33	USD	11,445.75	
17JUL17	S	1	PD170CT17	2824.00	3286.33	USD	-11,558.25	
17JUL17	B	3	PD170CT17	2821.50	3286.33	USD	34,862.25	
17JUL17	B	1	PD170CT17	2820.00	3286.33	USD	11,658.25	
17JUL17	B	1	PD170CT17	2810.00	3286.33	USD	11,908.25	
17JUL17	B	5	PD170CT17	2816.00	3286.33	USD	58,791.25	
17JUL17	S	3	PD170CT17	2823.50	3286.33	USD	-34,712.25	
17JUL17	S	5	PD170CT17	2829.00	3286.33	USD	-57,166.25	
17JUL17	S	5	PD170CT17	2829.50	3286.33	USD	-57,103.75	
17JUL17	S	1	PD170CT17	2830.00	3286.33	USD	-11,408.25	
17JUL17	S	3	PD170CT17	2834.00	3286.33	USD	-33,924.75	
17JUL17	S	1	PD170CT17	2838.00	3286.33	USD	-11,208.25	
17JUL17	S	2	PD170CT17	2839.00	3286.33	USD	-22,366.50	
17JUL17	S	1	PD170CT17	2841.00	3286.33	USD	-11,133.25	
17JUL17	S	2	PD170CT17	2842.00	3286.33	USD	-22,216.50	
17JUL17	S	4	PD170CT17	2840.00	3286.33	USD	-44,633.00	
17JUL17	S	6	PD170CT17	2843.00	3286.33	USD	-66,499.50	
17JUL17	S	3	PD170CT17	2843.50	3286.33	USD	-33,212.25	
17JUL17	S	1	PD170CT17	2846.50	3286.33	USD	-10,995.75	

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17JUL17	B	2	PD17OCT17	2827.00	3286.33	USD	22,966.50	
17JUL17	B	1	PD17OCT17	2824.00	3286.33	USD	11,558.25	
17JUL17	S	1	PD17OCT17	2814.00	3286.33	USD	-11,808.25	
17JUL17	S	3	PD17OCT17	2815.50	3286.33	USD	-35,312.25	
17JUL17	S	1	PD17OCT17	2824.00	3286.33	USD	-11,558.25	
17JUL17	S	1	PD17OCT17	2811.50	3286.33	USD	-11,870.75	
17JUL17	S	6	PD17OCT17	2831.00	3286.33	USD	-68,299.50	
17JUL17	S	4	PD17OCT17	2835.00	3286.33	USD	-45,133.00	
17JUL17	B	4	PD17OCT17	2817.00	3286.33	USD	46,933.00	
17JUL17	B	3	PD17OCT17	2816.50	3286.33	USD	35,237.25	
17JUL17	B	6	PD17OCT17	2815.50	3286.33	USD	70,624.50	
17JUL17	B	6	PD17OCT17	2813.00	3286.33	USD	70,999.50	
17JUL17	B	4	PD17OCT17	2818.50	3286.33	USD	46,783.00	
17JUL17	B	3	PD17OCT17	2818.00	3286.33	USD	35,124.75	
17JUL17	B	3	PD17OCT17	2817.50	3286.33	USD	35,162.25	
17JUL17	B	7	PD17OCT17	2819.00	3286.33	USD	81,782.75	
17JUL17	B	7	PD17OCT17	2820.50	3286.33	USD	81,520.25	
17JUL17	B	6	PD17OCT17	2819.50	3286.33	USD	70,024.50	
17JUL17	B	1	PD17OCT17	2822.00	3286.33	USD	11,608.25	
17JUL17	B	2	PD17OCT17	2822.50	3286.33	USD	23,191.50	
17JUL17	B	6	PD17OCT17	2821.00	3286.33	USD	69,799.50	
19JUL17	B	4	PD17OCT17	2747.54	3286.33	USD	53,879.00	
24JUL17	B	4	PD17OCT17	2788.86	3286.33	USD	49,747.00	
	NET	0AVG BGHT 2814.08	SOLD 2829.30				32,710.00	0.00
18JUL17	S	4	PD18OCT17	2818.50	3282.00	USD	-46,350.00	
18JUL17	S	1	PD18OCT17	2822.50	3282.00	USD	-11,487.50	
18JUL17	S	3	PD18OCT17	2825.00	3282.00	USD	-34,275.00	
18JUL17	S	2	PD18OCT17	2815.00	3282.00	USD	-23,350.00	
18JUL17	S	1	PD18OCT17	2815.50	3282.00	USD	-11,662.50	
18JUL17	B	1	PD18OCT17	2805.00	3282.00	USD	11,925.00	
18JUL17	B	1	PD18OCT17	2799.50	3282.00	USD	12,062.50	
18JUL17	B	1	PD18OCT17	2801.00	3282.00	USD	12,025.00	
18JUL17	B	2	PD18OCT17	2798.50	3282.00	USD	24,175.00	
18JUL17	B	1	PD18OCT17	2799.00	3282.00	USD	12,075.00	
18JUL17	B	2	PD18OCT17	2791.00	3282.00	USD	24,550.00	
18JUL17	B	2	PD18OCT17	2792.00	3282.00	USD	24,500.00	
18JUL17	B	1	PD18OCT17	2790.50	3282.00	USD	12,287.50	
18JUL17	B	9	PD18OCT17	2791.50	3282.00	USD	110,362.50	
18JUL17	S	1	PD18OCT17	2799.00	3282.00	USD	-12,075.00	
18JUL17	S	1	PD18OCT17	2800.00	3282.00	USD	-12,050.00	
18JUL17	S	2	PD18OCT17	2801.50	3282.00	USD	-24,025.00	
18JUL17	S	4	PD18OCT17	2802.00	3282.00	USD	-48,000.00	
18JUL17	S	1	PD18OCT17	2797.50	3282.00	USD	-12,112.50	
18JUL17	B	1	PD18OCT17	2794.00	3282.00	USD	12,200.00	
18JUL17	S	1	PD18OCT17	2795.50	3282.00	USD	-12,162.50	
18JUL17	B	1	PD18OCT17	2796.00	3282.00	USD	12,150.00	
18JUL17	B	2	PD18OCT17	2795.00	3282.00	USD	24,350.00	
18JUL17	B	5	PD18OCT17	2792.50	3282.00	USD	61,187.50	
18JUL17	S	5	PD18OCT17	2802.50	3282.00	USD	-59,937.50	
18JUL17	S	9	PD18OCT17	2803.50	3282.00	USD	-107,662.50	
18JUL17	S	1	PD18OCT17	2804.50	3282.00	USD	-11,937.50	
18JUL17	S	2	PD18OCT17	2800.50	3282.00	USD	-24,075.00	
18JUL17	B	1	PD18OCT17	2796.50	3282.00	USD	12,137.50	
18JUL17	B	2	PD18OCT17	2800.50	3282.00	USD	24,075.00	
18JUL17	B	10	PD18OCT17	2800.00	3282.00	USD	120,500.00	
18JUL17	B	3	PD18OCT17	2797.00	3282.00	USD	36,375.00	
18JUL17	B	3	PD18OCT17	2795.50	3282.00	USD	36,487.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	2	PD18OCT17	2793.50	3282.00	USD	24,425.00	
18JUL17	B	3	PD18OCT17	2793.00	3282.00	USD	36,675.00	
18JUL17	B	1	PD18OCT17	2790.00	3282.00	USD	12,300.00	
18JUL17	B	2	PD18OCT17	2789.00	3282.00	USD	24,650.00	
18JUL17	B	1	PD18OCT17	2787.50	3282.00	USD	12,362.50	
18JUL17	B	1	PD18OCT17	2786.50	3282.00	USD	12,387.50	
18JUL17	B	2	PD18OCT17	2785.50	3282.00	USD	24,825.00	
18JUL17	B	1	PD18OCT17	2784.50	3282.00	USD	12,437.50	
18JUL17	B	1	PD18OCT17	2778.00	3282.00	USD	12,600.00	
18JUL17	B	1	PD18OCT17	2778.50	3282.00	USD	12,587.50	
18JUL17	B	1	PD18OCT17	2777.50	3282.00	USD	12,612.50	
18JUL17	B	1	PD18OCT17	2774.00	3282.00	USD	12,700.00	
18JUL17	B	1	PD18OCT17	2810.00	3282.00	USD	11,800.00	
18JUL17	B	1	PD18OCT17	2809.50	3282.00	USD	11,812.50	
18JUL17	B	1	PD18OCT17	2798.00	3282.00	USD	12,100.00	
18JUL17	B	3	PD18OCT17	2793.50	3282.00	USD	36,637.50	
18JUL17	S	2	PD18OCT17	2776.50	3282.00	USD	-25,275.00	
18JUL17	S	1	PD18OCT17	2779.50	3282.00	USD	-12,562.50	
18JUL17	S	1	PD18OCT17	2780.50	3282.00	USD	-12,537.50	
18JUL17	S	1	PD18OCT17	2783.50	3282.00	USD	-12,462.50	
18JUL17	S	1	PD18OCT17	2788.50	3282.00	USD	-12,337.50	
18JUL17	S	1	PD18OCT17	2783.00	3282.00	USD	-12,475.00	
18JUL17	S	3	PD18OCT17	2784.00	3282.00	USD	-37,350.00	
18JUL17	B	1	PD18OCT17	2784.00	3282.00	USD	12,450.00	
18JUL17	S	3	PD18OCT17	2792.00	3282.00	USD	-36,750.00	
18JUL17	S	3	PD18OCT17	2787.50	3282.00	USD	-37,087.50	
18JUL17	S	3	PD18OCT17	2786.50	3282.00	USD	-37,162.50	
18JUL17	S	1	PD18OCT17	2790.00	3282.00	USD	-12,300.00	
18JUL17	S	1	PD18OCT17	2790.50	3282.00	USD	-12,287.50	
18JUL17	B	2	PD18OCT17	2789.50	3282.00	USD	24,625.00	
18JUL17	S	1	PD18OCT17	2791.00	3282.00	USD	-12,275.00	
18JUL17	S	3	PD18OCT17	2787.00	3282.00	USD	-37,125.00	
18JUL17	B	7	PD18OCT17	2779.00	3282.00	USD	88,025.00	
18JUL17	B	2	PD18OCT17	2775.50	3282.00	USD	25,325.00	
18JUL17	S	1	PD18OCT17	2786.00	3282.00	USD	-12,400.00	
18JUL17	S	10	PD18OCT17	2788.00	3282.00	USD	-123,500.00	
18JUL17	S	4	PD18OCT17	2784.50	3282.00	USD	-49,750.00	
18JUL17	B	1	PD18OCT17	2780.00	3282.00	USD	12,550.00	
18JUL17	S	1	PD18OCT17	2784.00	3282.00	USD	-12,450.00	
18JUL17	S	2	PD18OCT17	2791.50	3282.00	USD	-24,525.00	
18JUL17	S	1	PD18OCT17	2792.50	3282.00	USD	-12,237.50	
18JUL17	S	1	PD18OCT17	2793.50	3282.00	USD	-12,212.50	
18JUL17	B	3	PD18OCT17	2794.50	3282.00	USD	36,562.50	
18JUL17	B	1	PD18OCT17	2793.00	3282.00	USD	12,225.00	
19JUL17	S	5	PD18OCT17	2747.55	3282.00	USD	-66,806.25	
	NET	0AVG BGHT 2791.95	SOLD 2793.35				3,068.75	0.00
19JUL17	S	1	PD19OCT17	2779.00	3277.50	USD	-12,462.50	
19JUL17	S	1	PD19OCT17	2779.50	3277.50	USD	-12,450.00	
19JUL17	S	1	PD19OCT17	2781.00	3277.50	USD	-12,412.50	
19JUL17	S	2	PD19OCT17	2784.00	3277.50	USD	-24,675.00	
19JUL17	S	1	PD19OCT17	2784.50	3277.50	USD	-12,325.00	
19JUL17	S	2	PD19OCT17	2787.00	3277.50	USD	-24,525.00	
19JUL17	S	2	PD19OCT17	2789.00	3277.50	USD	-24,425.00	
19JUL17	S	1	PD19OCT17	2790.50	3277.50	USD	-12,175.00	
19JUL17	S	9	PD19OCT17	2789.50	3277.50	USD	-109,800.00	
19JUL17	S	1	PD19OCT17	2781.50	3277.50	USD	-12,400.00	
19JUL17	S	2	PD19OCT17	2782.50	3277.50	USD	-24,750.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	3	PD19OCT17	2783.00	3277.50	USD	-37,087.50	
19JUL17	S	2	PD19OCT17	2796.50	3277.50	USD	-24,050.00	
19JUL17	B	1	PD19OCT17	2791.00	3277.50	USD	12,162.50	
19JUL17	S	1	PD19OCT17	2795.50	3277.50	USD	-12,050.00	
19JUL17	S	4	PD19OCT17	2796.00	3277.50	USD	-48,150.00	
19JUL17	B	1	PD19OCT17	2789.50	3277.50	USD	12,200.00	
19JUL17	B	1	PD19OCT17	2783.00	3277.50	USD	12,362.50	
19JUL17	B	3	PD19OCT17	2781.50	3277.50	USD	37,200.00	
19JUL17	B	2	PD19OCT17	2781.00	3277.50	USD	24,825.00	
19JUL17	B	2	PD19OCT17	2782.00	3277.50	USD	24,775.00	
19JUL17	B	3	PD19OCT17	2780.50	3277.50	USD	37,275.00	
19JUL17	B	2	PD19OCT17	2779.00	3277.50	USD	24,925.00	
19JUL17	B	4	PD19OCT17	2779.50	3277.50	USD	49,800.00	
19JUL17	B	3	PD19OCT17	2778.50	3277.50	USD	37,425.00	
19JUL17	B	4	PD19OCT17	2778.00	3277.50	USD	49,950.00	
19JUL17	B	1	PD19OCT17	2782.50	3277.50	USD	12,375.00	
19JUL17	B	1	PD19OCT17	2784.00	3277.50	USD	12,337.50	
19JUL17	B	5	PD19OCT17	2780.00	3277.50	USD	62,187.50	
19JUL17	B	2	PD19OCT17	2772.50	3277.50	USD	25,250.00	
19JUL17	B	1	PD19OCT17	2775.50	3277.50	USD	12,550.00	
19JUL17	B	1	PD19OCT17	2774.50	3277.50	USD	12,575.00	
19JUL17	B	2	PD19OCT17	2773.50	3277.50	USD	25,200.00	
19JUL17	B	4	PD19OCT17	2775.00	3277.50	USD	50,250.00	
19JUL17	B	3	PD19OCT17	2773.00	3277.50	USD	37,837.50	
19JUL17	S	1	PD19OCT17	2783.00	3277.50	USD	-12,362.50	
19JUL17	B	2	PD19OCT17	2781.00	3277.50	USD	24,825.00	
19JUL17	B	1	PD19OCT17	2780.50	3277.50	USD	12,425.00	
19JUL17	S	1	PD19OCT17	2766.00	3277.50	USD	-12,787.50	
19JUL17	S	2	PD19OCT17	2777.50	3277.50	USD	-25,000.00	
19JUL17	B	1	PD19OCT17	2765.00	3277.50	USD	12,812.50	
19JUL17	B	2	PD19OCT17	2767.50	3277.50	USD	25,500.00	
19JUL17	S	1	PD19OCT17	2767.50	3277.50	USD	-12,750.00	
19JUL17	B	1	PD19OCT17	2760.50	3277.50	USD	12,925.00	
19JUL17	B	2	PD19OCT17	2751.50	3277.50	USD	26,300.00	
19JUL17	B	1	PD19OCT17	2753.00	3277.50	USD	13,112.50	
19JUL17	S	1	PD19OCT17	2758.50	3277.50	USD	-12,975.00	
19JUL17	S	1	PD19OCT17	2760.00	3277.50	USD	-12,937.50	
19JUL17	S	1	PD19OCT17	2762.00	3277.50	USD	-12,887.50	
19JUL17	S	1	PD19OCT17	2763.00	3277.50	USD	-12,862.50	
19JUL17	S	1	PD19OCT17	2763.50	3277.50	USD	-12,850.00	
19JUL17	S	1	PD19OCT17	2764.00	3277.50	USD	-12,837.50	
19JUL17	S	1	PD19OCT17	2765.00	3277.50	USD	-12,812.50	
19JUL17	B	3	PD19OCT17	2758.00	3277.50	USD	38,962.50	
19JUL17	S	1	PD19OCT17	2749.50	3277.50	USD	-13,200.00	
19JUL17	B	2	PD19OCT17	2767.50	3277.50	USD	25,500.00	
19JUL17	B	1	PD19OCT17	2766.00	3277.50	USD	12,787.50	
19JUL17	B	1	PD19OCT17	2745.00	3277.50	USD	13,312.50	
19JUL17	B	1	PD19OCT17	2743.50	3277.50	USD	13,350.00	
19JUL17	B	2	PD19OCT17	2743.00	3277.50	USD	26,725.00	
19JUL17	B	1	PD19OCT17	2744.00	3277.50	USD	13,337.50	
19JUL17	S	2	PD19OCT17	2740.50	3277.50	USD	-26,850.00	
19JUL17	S	2	PD19OCT17	2738.50	3277.50	USD	-26,950.00	
19JUL17	S	2	PD19OCT17	2740.00	3277.50	USD	-26,875.00	
19JUL17	B	1	PD19OCT17	2738.00	3277.50	USD	13,487.50	
19JUL17	B	3	PD19OCT17	2737.50	3277.50	USD	40,500.00	
19JUL17	S	1	PD19OCT17	2734.50	3277.50	USD	-13,575.00	
19JUL17	S	3	PD19OCT17	2741.00	3277.50	USD	-40,237.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
19JUL17	S	1	PD19OCT17		2734.50	3277.50	USD	-13,575.00	
24JUL17	S	10	PD19OCT17		2787.50	3277.50	USD	-122,500.00	
25JUL17	S	4	PD19OCT17		2823.00	3277.50	USD	-45,450.00	
	NET	0AVG	BGHT	2770.84				13,312.50	0.00
				SOLD 2778.34					
20JUL17	S	1	PD20OCT17		2741.50	3273.00	USD	-13,287.50	
20JUL17	S	1	PD20OCT17		2743.00	3273.00	USD	-13,250.00	
20JUL17	S	1	PD20OCT17		2740.50	3273.00	USD	-13,312.50	
20JUL17	S	4	PD20OCT17		2738.00	3273.00	USD	-53,500.00	
20JUL17	B	1	PD20OCT17		2733.00	3273.00	USD	13,500.00	
20JUL17	B	2	PD20OCT17		2737.50	3273.00	USD	26,775.00	
20JUL17	B	1	PD20OCT17		2736.50	3273.00	USD	13,412.50	
20JUL17	B	2	PD20OCT17		2735.00	3273.00	USD	26,900.00	
20JUL17	B	1	PD20OCT17		2735.50	3273.00	USD	13,437.50	
20JUL17	B	3	PD20OCT17		2733.50	3273.00	USD	40,462.50	
20JUL17	B	1	PD20OCT17		2729.00	3273.00	USD	13,600.00	
20JUL17	B	3	PD20OCT17		2731.00	3273.00	USD	40,650.00	
20JUL17	B	1	PD20OCT17		2728.00	3273.00	USD	13,625.00	
20JUL17	S	1	PD20OCT17		2732.00	3273.00	USD	-13,525.00	
20JUL17	S	1	PD20OCT17		2735.00	3273.00	USD	-13,450.00	
20JUL17	S	3	PD20OCT17		2736.50	3273.00	USD	-40,237.50	
20JUL17	S	1	PD20OCT17		2738.50	3273.00	USD	-13,362.50	
20JUL17	S	4	PD20OCT17		2740.00	3273.00	USD	-53,300.00	
20JUL17	S	1	PD20OCT17		2742.50	3273.00	USD	-13,262.50	
20JUL17	S	3	PD20OCT17		2741.00	3273.00	USD	-39,900.00	
20JUL17	S	5	PD20OCT17		2743.50	3273.00	USD	-66,187.50	
20JUL17	S	4	PD20OCT17		2744.00	3273.00	USD	-52,900.00	
20JUL17	S	2	PD20OCT17		2744.50	3273.00	USD	-26,425.00	
20JUL17	S	1	PD20OCT17		2745.00	3273.00	USD	-13,200.00	
20JUL17	S	2	PD20OCT17		2745.50	3273.00	USD	-26,375.00	
20JUL17	S	1	PD20OCT17		2750.50	3273.00	USD	-13,062.50	
20JUL17	S	2	PD20OCT17		2751.50	3273.00	USD	-26,075.00	
20JUL17	S	5	PD20OCT17		2751.00	3273.00	USD	-65,250.00	
20JUL17	S	7	PD20OCT17		2752.00	3273.00	USD	-91,175.00	
20JUL17	S	1	PD20OCT17		2752.50	3273.00	USD	-13,012.50	
20JUL17	S	1	PD20OCT17		2754.50	3273.00	USD	-12,962.50	
20JUL17	S	1	PD20OCT17		2755.00	3273.00	USD	-12,950.00	
20JUL17	S	1	PD20OCT17		2756.00	3273.00	USD	-12,925.00	
20JUL17	S	1	PD20OCT17		2757.00	3273.00	USD	-12,900.00	
20JUL17	S	1	PD20OCT17		2755.50	3273.00	USD	-12,937.50	
20JUL17	B	1	PD20OCT17		2750.00	3273.00	USD	13,075.00	
20JUL17	B	1	PD20OCT17		2747.00	3273.00	USD	13,150.00	
20JUL17	B	5	PD20OCT17		2746.00	3273.00	USD	65,875.00	
20JUL17	B	3	PD20OCT17		2745.50	3273.00	USD	39,562.50	
20JUL17	S	2	PD20OCT17		2749.50	3273.00	USD	-26,175.00	
20JUL17	B	1	PD20OCT17		2745.00	3273.00	USD	13,200.00	
20JUL17	B	3	PD20OCT17		2738.50	3273.00	USD	40,087.50	
20JUL17	B	7	PD20OCT17		2737.00	3273.00	USD	93,800.00	
20JUL17	S	1	PD20OCT17		2741.00	3273.00	USD	-13,300.00	
20JUL17	B	1	PD20OCT17		2745.50	3273.00	USD	13,187.50	
20JUL17	B	1	PD20OCT17		2746.50	3273.00	USD	13,162.50	
20JUL17	S	3	PD20OCT17		2748.50	3273.00	USD	-39,337.50	
20JUL17	B	1	PD20OCT17		2743.50	3273.00	USD	13,237.50	
20JUL17	B	4	PD20OCT17		2744.00	3273.00	USD	52,900.00	
20JUL17	B	6	PD20OCT17		2743.00	3273.00	USD	79,500.00	
20JUL17	B	2	PD20OCT17		2741.50	3273.00	USD	26,575.00	
20JUL17	B	5	PD20OCT17		2742.50	3273.00	USD	66,312.50	
20JUL17	B	10	PD20OCT17		2741.00	3273.00	USD	133,000.00	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	6	PD200CT17	2740.50	3273.00	USD	79,875.00	
20JUL17	B	5	PD200CT17	2740.00	3273.00	USD	66,625.00	
20JUL17	B	7	PD200CT17	2739.50	3273.00	USD	93,362.50	
20JUL17	S	5	PD200CT17	2742.00	3273.00	USD	-66,375.00	
20JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
20JUL17	B	1	PD200CT17	2748.00	3273.00	USD	13,125.00	
20JUL17	S	4	PD200CT17	2747.50	3273.00	USD	-52,550.00	
20JUL17	S	6	PD200CT17	2737.50	3273.00	USD	-80,325.00	
20JUL17	S	6	PD200CT17	2739.00	3273.00	USD	-80,100.00	
20JUL17	B	1	PD200CT17	2730.50	3273.00	USD	13,562.50	
20JUL17	S	2	PD200CT17	2727.00	3273.00	USD	-27,300.00	
20JUL17	B	2	PD200CT17	2719.00	3273.00	USD	27,700.00	
20JUL17	B	3	PD200CT17	2721.00	3273.00	USD	41,400.00	
20JUL17	B	2	PD200CT17	2720.00	3273.00	USD	27,650.00	
20JUL17	B	1	PD200CT17	2718.50	3273.00	USD	13,862.50	
20JUL17	S	2	PD200CT17	2726.00	3273.00	USD	-27,350.00	
20JUL17	S	2	PD200CT17	2726.50	3273.00	USD	-27,325.00	
20JUL17	B	2	PD200CT17	2731.50	3273.00	USD	27,075.00	
21JUL17	B	1	PD200CT17	2742.50	3273.00	USD	13,262.50	
21JUL17	S	2	PD200CT17	2750.00	3273.00	USD	-26,150.00	
21JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	4	PD200CT17	2748.50	3273.00	USD	-52,450.00	
21JUL17	S	11	PD200CT17	2749.00	3273.00	USD	-144,100.00	
21JUL17	S	5	PD200CT17	2749.50	3273.00	USD	-65,437.50	
21JUL17	B	1	PD200CT17	2744.50	3273.00	USD	13,212.50	
21JUL17	S	2	PD200CT17	2751.00	3273.00	USD	-26,100.00	
21JUL17	S	1	PD200CT17	2752.50	3273.00	USD	-13,012.50	
21JUL17	S	1	PD200CT17	2753.50	3273.00	USD	-12,987.50	
21JUL17	S	1	PD200CT17	2753.00	3273.00	USD	-13,000.00	
21JUL17	S	1	PD200CT17	2764.50	3273.00	USD	-12,712.50	
21JUL17	B	1	PD200CT17	2757.00	3273.00	USD	12,900.00	
21JUL17	B	1	PD200CT17	2756.00	3273.00	USD	12,925.00	
21JUL17	B	1	PD200CT17	2754.50	3273.00	USD	12,962.50	
21JUL17	S	1	PD200CT17	2750.00	3273.00	USD	-13,075.00	
21JUL17	S	1	PD200CT17	2749.00	3273.00	USD	-13,100.00	
21JUL17	S	2	PD200CT17	2748.50	3273.00	USD	-26,225.00	
21JUL17	B	1	PD200CT17	2751.50	3273.00	USD	13,037.50	
21JUL17	S	1	PD200CT17	2767.00	3273.00	USD	-12,650.00	
21JUL17	B	1	PD200CT17	2766.50	3273.00	USD	12,662.50	
21JUL17	B	1	PD200CT17	2764.50	3273.00	USD	12,712.50	
21JUL17	B	2	PD200CT17	2764.00	3273.00	USD	25,450.00	
21JUL17	B	1	PD200CT17	2763.50	3273.00	USD	12,737.50	
21JUL17	S	1	PD200CT17	2766.50	3273.00	USD	-12,662.50	
21JUL17	S	2	PD200CT17	2770.50	3273.00	USD	-25,125.00	
21JUL17	S	1	PD200CT17	2773.00	3273.00	USD	-12,500.00	
21JUL17	S	1	PD200CT17	2773.50	3273.00	USD	-12,487.50	
21JUL17	B	1	PD200CT17	2773.50	3273.00	USD	12,487.50	
21JUL17	S	1	PD200CT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	S	2	PD200CT17	2772.00	3273.00	USD	-25,050.00	
21JUL17	B	1	PD200CT17	2765.00	3273.00	USD	12,700.00	
21JUL17	B	1	PD200CT17	2759.00	3273.00	USD	12,850.00	
21JUL17	B	1	PD200CT17	2758.50	3273.00	USD	12,862.50	
21JUL17	B	6	PD200CT17	2754.00	3273.00	USD	77,850.00	
21JUL17	B	4	PD200CT17	2753.50	3273.00	USD	51,950.00	
21JUL17	B	1	PD200CT17	2752.50	3273.00	USD	13,012.50	
21JUL17	B	1	PD200CT17	2753.00	3273.00	USD	13,000.00	
21JUL17	S	1	PD200CT17	2754.50	3273.00	USD	-12,962.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	4	PD200CT17	2787.40	3273.00	USD	-48,560.00	
24JUL17	B	10	PD200CT17	2787.80	3273.00	USD	121,300.00	
25JUL17	B	4	PD200CT17	2823.60	3273.00	USD	44,940.00	
	NET	0AVG	BGHT 2748.02	SOLD 2748.04			67.50	0.00
24JUL17	B	1	PD240CT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	1	PD240CT17	2783.50	3267.00	USD	12,087.50	
24JUL17	B	1	PD240CT17	2785.00	3267.00	USD	12,050.00	
24JUL17	B	3	PD240CT17	2780.50	3267.00	USD	36,487.50	
24JUL17	B	4	PD240CT17	2781.50	3267.00	USD	48,550.00	
24JUL17	B	1	PD240CT17	2782.00	3267.00	USD	12,125.00	
24JUL17	B	2	PD240CT17	2774.00	3267.00	USD	24,650.00	
24JUL17	B	4	PD240CT17	2778.50	3267.00	USD	48,850.00	
24JUL17	B	1	PD240CT17	2774.50	3267.00	USD	12,312.50	
24JUL17	B	1	PD240CT17	2773.50	3267.00	USD	12,337.50	
24JUL17	B	1	PD240CT17	2775.00	3267.00	USD	12,300.00	
24JUL17	B	2	PD240CT17	2776.00	3267.00	USD	24,550.00	
24JUL17	S	1	PD240CT17	2785.50	3267.00	USD	-12,037.50	
24JUL17	S	1	PD240CT17	2792.00	3267.00	USD	-11,875.00	
24JUL17	S	1	PD240CT17	2796.00	3267.00	USD	-11,775.00	
24JUL17	S	1	PD240CT17	2797.50	3267.00	USD	-11,737.50	
24JUL17	B	1	PD240CT17	2777.50	3267.00	USD	12,237.50	
24JUL17	B	1	PD240CT17	2784.00	3267.00	USD	12,075.00	
24JUL17	S	1	PD240CT17	2776.50	3267.00	USD	-12,262.50	
24JUL17	S	1	PD240CT17	2778.50	3267.00	USD	-12,212.50	
24JUL17	S	1	PD240CT17	2777.00	3267.00	USD	-12,250.00	
24JUL17	B	1	PD240CT17	2768.50	3267.00	USD	12,462.50	
24JUL17	S	2	PD240CT17	2772.50	3267.00	USD	-24,725.00	
24JUL17	S	4	PD240CT17	2773.00	3267.00	USD	-49,400.00	
24JUL17	S	3	PD240CT17	2774.00	3267.00	USD	-36,975.00	
24JUL17	S	4	PD240CT17	2774.50	3267.00	USD	-49,250.00	
24JUL17	S	3	PD240CT17	2773.50	3267.00	USD	-37,012.50	
24JUL17	S	1	PD240CT17	2775.00	3267.00	USD	-12,300.00	
24JUL17	S	2	PD240CT17	2775.50	3267.00	USD	-24,575.00	
24JUL17	S	3	PD240CT17	2780.50	3267.00	USD	-36,487.50	
24JUL17	S	1	PD240CT17	2777.00	3267.00	USD	-12,250.00	
24JUL17	B	2	PD240CT17	2782.50	3267.00	USD	24,225.00	
26JUL17	B	3	PD240CT17	2807.71	3267.00	USD	34,446.75	
	NET	0AVG	BGHT 2781.69	SOLD 2777.50			-3,140.75	0.00
25JUL17	S	1	PD250CT17	2816.00	3264.00	USD	-11,200.00	
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2824.00	3264.00	USD	-11,000.00	
25JUL17	B	1	PD250CT17	2814.00	3264.00	USD	11,250.00	
25JUL17	B	1	PD250CT17	2814.50	3264.00	USD	11,237.50	
25JUL17	S	2	PD250CT17	2826.00	3264.00	USD	-21,900.00	
25JUL17	S	1	PD250CT17	2827.00	3264.00	USD	-10,925.00	
25JUL17	S	1	PD250CT17	2831.50	3264.00	USD	-10,812.50	
25JUL17	S	1	PD250CT17	2834.00	3264.00	USD	-10,750.00	
25JUL17	B	1	PD250CT17	2831.00	3264.00	USD	10,825.00	
25JUL17	B	1	PD250CT17	2830.00	3264.00	USD	10,850.00	
25JUL17	B	1	PD250CT17	2826.50	3264.00	USD	10,937.50	
25JUL17	B	1	PD250CT17	2827.00	3264.00	USD	10,925.00	
25JUL17	B	3	PD250CT17	2828.00	3264.00	USD	32,700.00	
25JUL17	B	1	PD250CT17	2827.50	3264.00	USD	10,912.50	
25JUL17	B	1	PD250CT17	2824.50	3264.00	USD	10,987.50	
25JUL17	B	1	PD250CT17	2823.00	3264.00	USD	11,025.00	
25JUL17	S	1	PD250CT17	2829.00	3264.00	USD	-10,875.00	
25JUL17	S	1	PD250CT17	2838.00	3264.00	USD	-10,650.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
25JUL17	S	1	PD250CT17		2848.00	3264.00	USD	-10,400.00	
25JUL17	B	1	PD250CT17		2839.00	3264.00	USD	10,625.00	
25JUL17	B	1	PD250CT17		2844.00	3264.00	USD	10,500.00	
25JUL17	B	1	PD250CT17		2842.50	3264.00	USD	10,537.50	
25JUL17	S	1	PD250CT17		2844.00	3264.00	USD	-10,500.00	
26JUL17	S	3	PD250CT17		2807.66	3264.00	USD	-34,225.50	
	NET	0AVG	BGHT 2828.50	SOLD 2825.60				-1,088.00	0.00
26JUL17	B	1	PD260CT17		2850.50	3262.33	USD	10,295.75	
26JUL17	B	2	PD260CT17		2852.00	3262.33	USD	20,516.50	
26JUL17	B	1	PD260CT17		2851.50	3262.33	USD	10,270.75	
26JUL17	B	7	PD260CT17		2851.00	3262.33	USD	71,982.75	
26JUL17	B	1	PD260CT17		2828.50	3262.33	USD	10,845.75	
26JUL17	B	1	PD260CT17		2827.50	3262.33	USD	10,870.75	
26JUL17	S	1	PD260CT17		2829.50	3262.33	USD	-10,820.75	
26JUL17	S	1	PD260CT17		2831.00	3262.33	USD	-10,783.25	
26JUL17	S	1	PD260CT17		2819.00	3262.33	USD	-11,083.25	
26JUL17	S	2	PD260CT17		2821.50	3262.33	USD	-22,041.50	
26JUL17	S	1	PD260CT17		2818.50	3262.33	USD	-11,095.75	
26JUL17	S	2	PD260CT17		2819.50	3262.33	USD	-22,141.50	
26JUL17	S	5	PD260CT17		2820.50	3262.33	USD	-55,228.75	
26JUL17	S	1	PD260CT17		2823.50	3262.33	USD	-10,970.75	
26JUL17	B	1	PD260CT17		2821.00	3262.33	USD	11,033.25	
26JUL17	S	1	PD260CT17		2824.50	3262.33	USD	-10,945.75	
26JUL17	S	1	PD260CT17		2823.00	3262.33	USD	-10,983.25	
26JUL17	S	2	PD260CT17		2824.00	3262.33	USD	-21,916.50	
26JUL17	S	1	PD260CT17		2825.00	3262.33	USD	-10,933.25	
26JUL17	B	1	PD260CT17		2819.50	3262.33	USD	11,070.75	
26JUL17	S	1	PD260CT17		2826.50	3262.33	USD	-10,895.75	
26JUL17	S	2	PD260CT17		2826.00	3262.33	USD	-21,816.50	
26JUL17	S	1	PD260CT17		2827.00	3262.33	USD	-10,883.25	
26JUL17	B	1	PD260CT17		2818.50	3262.33	USD	11,095.75	
26JUL17	B	1	PD260CT17		2811.00	3262.33	USD	11,283.25	
26JUL17	B	1	PD260CT17		2802.50	3262.33	USD	11,495.75	
26JUL17	B	2	PD260CT17		2801.00	3262.33	USD	23,066.50	
26JUL17	S	1	PD260CT17		2803.00	3262.33	USD	-11,483.25	
26JUL17	S	1	PD260CT17		2812.50	3262.33	USD	-11,245.75	
26JUL17	S	1	PD260CT17		2812.00	3262.33	USD	-11,258.25	
26JUL17	S	2	PD260CT17		2822.50	3262.33	USD	-21,991.50	
26JUL17	B	1	PD260CT17		2806.50	3262.33	USD	11,395.75	
26JUL17	S	1	PD260CT17		2815.00	3262.33	USD	-11,183.25	
26JUL17	S	2	PD260CT17		2821.00	3262.33	USD	-22,066.50	
26JUL17	S	1	PD260CT17		2828.00	3262.33	USD	-10,858.25	
26JUL17	B	2	PD260CT17		2820.50	3262.33	USD	22,091.50	
26JUL17	B	1	PD260CT17		2818.00	3262.33	USD	11,108.25	
26JUL17	B	1	PD260CT17		2816.00	3262.33	USD	11,158.25	
26JUL17	B	1	PD260CT17		2805.50	3262.33	USD	11,420.75	
26JUL17	B	3	PD260CT17		2805.00	3262.33	USD	34,299.75	
26JUL17	B	1	PD260CT17		2804.00	3262.33	USD	11,458.25	
26JUL17	B	2	PD260CT17		2803.50	3262.33	USD	22,941.50	
	NET	0AVG	BGHT 2825.20	SOLD 2821.55				-2,925.00	0.00
27JUL17	S	1	PD270CT17		2810.00	3260.67	USD	-11,266.75	
27JUL17	B	1	PD270CT17		2810.00	3260.67	USD	11,266.75	
27JUL17	B	2	PD270CT17		2811.00	3260.67	USD	22,483.50	
27JUL17	S	1	PD270CT17		2808.50	3260.67	USD	-11,304.25	
27JUL17	S	4	PD270CT17		2808.00	3260.67	USD	-45,267.00	
27JUL17	S	1	PD270CT17		2809.50	3260.67	USD	-11,279.25	
27JUL17	B	2	PD270CT17		2814.00	3260.67	USD	22,333.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	2831.50	3260.67	USD	-10,729.25	
27JUL17	S	1	PD27OCT17	2832.50	3260.67	USD	-10,704.25	
27JUL17	B	1	PD27OCT17	2821.00	3260.67	USD	10,991.75	
27JUL17	B	1	PD27OCT17	2822.00	3260.67	USD	10,966.75	
27JUL17	B	1	PD27OCT17	2821.50	3260.67	USD	10,979.25	
27JUL17	B	1	PD27OCT17	2819.00	3260.67	USD	11,041.75	
27JUL17	B	1	PD27OCT17	2822.50	3260.67	USD	10,954.25	
27JUL17	B	1	PD27OCT17	2817.50	3260.67	USD	11,079.25	
27JUL17	B	1	PD27OCT17	2816.00	3260.67	USD	11,116.75	
27JUL17	B	1	PD27OCT17	2814.50	3260.67	USD	11,154.25	
27JUL17	B	1	PD27OCT17	2813.00	3260.67	USD	11,191.75	
27JUL17	S	1	PD27OCT17	2818.00	3260.67	USD	-11,066.75	
27JUL17	B	1	PD27OCT17	2821.00	3260.67	USD	10,991.75	
27JUL17	S	2	PD27OCT17	2822.00	3260.67	USD	-21,933.50	
27JUL17	S	1	PD27OCT17	2820.00	3260.67	USD	-11,016.75	
27JUL17	B	1	PD27OCT17	2806.50	3260.67	USD	11,354.25	
27JUL17	B	1	PD27OCT17	2806.00	3260.67	USD	11,366.75	
27JUL17	B	1	PD27OCT17	2805.00	3260.67	USD	11,391.75	
27JUL17	B	1	PD27OCT17	2803.50	3260.67	USD	11,429.25	
27JUL17	S	1	PD27OCT17	2805.00	3260.67	USD	-11,391.75	
27JUL17	S	1	PD27OCT17	2806.00	3260.67	USD	-11,366.75	
27JUL17	B	1	PD27OCT17	2789.50	3260.67	USD	11,779.25	
27JUL17	B	1	PD27OCT17	2789.00	3260.67	USD	11,791.75	
27JUL17	B	1	PD27OCT17	2802.50	3260.67	USD	11,454.25	
27JUL17	B	1	PD27OCT17	2800.50	3260.67	USD	11,504.25	
27JUL17	B	3	PD27OCT17	2790.50	3260.67	USD	35,262.75	
27JUL17	S	1	PD27OCT17	2796.50	3260.67	USD	-11,604.25	
27JUL17	S	2	PD27OCT17	2797.50	3260.67	USD	-23,158.50	
27JUL17	S	2	PD27OCT17	2798.00	3260.67	USD	-23,133.50	
27JUL17	S	3	PD27OCT17	2799.00	3260.67	USD	-34,625.25	
27JUL17	S	2	PD27OCT17	2796.00	3260.67	USD	-23,233.50	
27JUL17	B	1	PD27OCT17	2795.00	3260.67	USD	11,641.75	
27JUL17	S	1	PD27OCT17	2799.00	3260.67	USD	-11,541.75	
28JUL17	S	2	PD27OCT17	2786.50	3260.67	USD	-23,708.50	
28JUL17	B	1	PD27OCT17	2785.50	3260.67	USD	11,879.25	
28JUL17	B	1	PD27OCT17	2784.00	3260.67	USD	11,916.75	
28JUL17	S	1	PD27OCT17	2793.50	3260.67	USD	-11,679.25	
28JUL17	B	1	PD27OCT17	2778.50	3260.67	USD	12,054.25	
28JUL17	B	2	PD27OCT17	2777.00	3260.67	USD	24,183.50	
28JUL17	S	1	PD27OCT17	2783.00	3260.67	USD	-11,941.75	
28JUL17	S	2	PD27OCT17	2787.50	3260.67	USD	-23,658.50	
28JUL17	S	1	PD27OCT17	2788.50	3260.67	USD	-11,804.25	
28JUL17	S	1	PD27OCT17	2789.50	3260.67	USD	-11,779.25	
28JUL17	B	1	PD27OCT17	2782.50	3260.67	USD	11,954.25	
28JUL17	S	1	PD27OCT17	2786.00	3260.67	USD	-11,866.75	
28JUL17	B	1	PD27OCT17	2778.00	3260.67	USD	12,066.75	
28JUL17	B	1	PD27OCT17	2774.50	3260.67	USD	12,154.25	
28JUL17	B	1	PD27OCT17	2771.50	3260.67	USD	12,229.25	
28JUL17	B	1	PD27OCT17	2770.50	3260.67	USD	12,254.25	
28JUL17	B	2	PD27OCT17	2773.00	3260.67	USD	24,383.50	
28JUL17	B	1	PD27OCT17	2772.00	3260.67	USD	12,216.75	
28JUL17	B	1	PD27OCT17	2768.50	3260.67	USD	12,304.25	
28JUL17	B	1	PD27OCT17	2766.50	3260.67	USD	12,354.25	
28JUL17	B	1	PD27OCT17	2758.00	3260.67	USD	12,566.75	
28JUL17	S	2	PD27OCT17	2771.50	3260.67	USD	-24,458.50	
28JUL17	S	1	PD27OCT17	2774.00	3260.67	USD	-12,166.75	
28JUL17	S	1	PD27OCT17	2772.50	3260.67	USD	-12,204.25	

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28JUL17	S	1	PD27OCT17	2778.00	3260.67	USD	-12,066.75	
28JUL17	S	1	PD27OCT17	2785.00	3260.67	USD	-11,891.75	
28JUL17	S	1	PD27OCT17	2787.00	3260.67	USD	-11,841.75	
28JUL17	S	1	PD27OCT17	2796.00	3260.67	USD	-11,616.75	
	NET	0AVG	BGHT 2795.51				2,737.50	0.00
			SOLD 2798.06					
31JUL17	S	1	PD31OCT17	2810.50	3257.33	USD	-11,170.75	
31JUL17	B	1	PD31OCT17	2812.50	3257.33	USD	11,120.75	
31JUL17	B	1	PD31OCT17	2811.50	3257.33	USD	11,145.75	
31JUL17	B	1	PD31OCT17	2805.00	3257.33	USD	11,308.25	
31JUL17	S	1	PD31OCT17	2814.00	3257.33	USD	-11,083.25	
31JUL17	S	1	PD31OCT17	2811.00	3257.33	USD	-11,158.25	
31JUL17	S	1	PD31OCT17	2808.00	3257.33	USD	-11,233.25	
31JUL17	S	2	PD31OCT17	2812.50	3257.33	USD	-22,241.50	
31JUL17	S	2	PD31OCT17	2809.00	3257.33	USD	-22,416.50	
31JUL17	S	1	PD31OCT17	2803.50	3257.33	USD	-11,345.75	
31JUL17	S	1	PD31OCT17	2815.00	3257.33	USD	-11,058.25	
31JUL17	S	1	PD31OCT17	2815.50	3257.33	USD	-11,045.75	
31JUL17	S	1	PD31OCT17	2819.00	3257.33	USD	-10,958.25	
31JUL17	S	1	PD31OCT17	2821.00	3257.33	USD	-10,908.25	
31JUL17	S	1	PD31OCT17	2821.50	3257.33	USD	-10,895.75	
31JUL17	B	1	PD31OCT17	2820.50	3257.33	USD	10,920.75	
31JUL17	S	1	PD31OCT17	2822.00	3257.33	USD	-10,883.25	
31JUL17	B	1	PD31OCT17	2815.00	3257.33	USD	11,058.25	
31JUL17	B	1	PD31OCT17	2806.50	3257.33	USD	11,270.75	
31JUL17	S	1	PD31OCT17	2806.50	3257.33	USD	-11,270.75	
31JUL17	S	1	PD31OCT17	2805.50	3257.33	USD	-11,295.75	
31JUL17	B	1	PD31OCT17	2799.00	3257.33	USD	11,458.25	
31JUL17	S	1	PD31OCT17	2798.50	3257.33	USD	-11,470.75	
31JUL17	S	2	PD31OCT17	2799.50	3257.33	USD	-22,891.50	
31JUL17	S	2	PD31OCT17	2800.50	3257.33	USD	-22,841.50	
31JUL17	S	1	PD31OCT17	2794.00	3257.33	USD	-11,583.25	
31JUL17	S	1	PD31OCT17	2822.00	3257.33	USD	-10,883.25	
31JUL17	S	2	PD31OCT17	2810.00	3257.33	USD	-22,366.50	
31JUL17	B	1	PD31OCT17	2807.00	3257.33	USD	11,258.25	
31JUL17	B	3	PD31OCT17	2795.00	3257.33	USD	34,674.75	
31JUL17	B	1	PD31OCT17	2796.50	3257.33	USD	11,520.75	
31JUL17	B	2	PD31OCT17	2797.50	3257.33	USD	22,991.50	
31JUL17	B	2	PD31OCT17	2798.00	3257.33	USD	22,966.50	
31JUL17	B	2	PD31OCT17	2798.50	3257.33	USD	22,941.50	
31JUL17	B	2	PD31OCT17	2794.50	3257.33	USD	23,141.50	
31JUL17	S	1	PD31OCT17	2798.00	3257.33	USD	-11,483.25	
31JUL17	B	1	PD31OCT17	2796.00	3257.33	USD	11,533.25	
07AUG17	B	6	PD31OCT17	2856.50	3257.33	USD	60,124.50	
	NET	0AVG	BGHT 2813.72				-3,050.00	0.00
			SOLD 2809.20					
01AUG17	B	2	PD01NOV17	2789.00	3255.67	USD	23,333.50	
01AUG17	S	2	PD01NOV17	2784.00	3255.67	USD	-23,583.50	
01AUG17	B	4	PD01NOV17	2774.00	3255.67	USD	48,167.00	
01AUG17	B	1	PD01NOV17	2773.00	3255.67	USD	12,066.75	
01AUG17	B	2	PD01NOV17	2771.50	3255.67	USD	24,208.50	
01AUG17	B	1	PD01NOV17	2771.00	3255.67	USD	12,116.75	
01AUG17	B	4	PD01NOV17	2772.50	3255.67	USD	48,317.00	
01AUG17	B	1	PD01NOV17	2776.50	3255.67	USD	11,979.25	
01AUG17	B	1	PD01NOV17	2773.50	3255.67	USD	12,054.25	
01AUG17	S	2	PD01NOV17	2778.00	3255.67	USD	-23,883.50	
01AUG17	S	3	PD01NOV17	2778.50	3255.67	USD	-35,787.75	
01AUG17	B	1	PD01NOV17	2775.00	3255.67	USD	12,016.75	
01AUG17	S	1	PD01NOV17	2777.50	3255.67	USD	-11,954.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	2782.00	3255.67	USD	-11,841.75	
01AUG17	S	4	PD01NOV17	2780.50	3255.67	USD	-47,517.00	
01AUG17	S	2	PD01NOV17	2781.00	3255.67	USD	-23,733.50	
01AUG17	S	3	PD01NOV17	2781.50	3255.67	USD	-35,562.75	
01AUG17	S	3	PD01NOV17	2782.50	3255.67	USD	-35,487.75	
01AUG17	S	6	PD01NOV17	2783.00	3255.67	USD	-70,900.50	
01AUG17	S	2	PD01NOV17	2783.50	3255.67	USD	-23,608.50	
01AUG17	S	4	PD01NOV17	2784.50	3255.67	USD	-47,117.00	
01AUG17	S	1	PD01NOV17	2779.50	3255.67	USD	-11,904.25	
01AUG17	B	4	PD01NOV17	2772.00	3255.67	USD	48,367.00	
01AUG17	S	1	PD01NOV17	2779.00	3255.67	USD	-11,916.75	
01AUG17	B	1	PD01NOV17	2781.00	3255.67	USD	11,866.75	
01AUG17	B	1	PD01NOV17	2780.50	3255.67	USD	11,879.25	
03AUG17	B	3	PD01NOV17	2791.50	3255.67	USD	34,812.75	
07AUG17	B	9	PD01NOV17	2856.71	3255.67	USD	89,766.00	
	NET	0AVG BGHT 2797.44	SOLD 2781.61				-13,847.25	0.00
02AUG17	B	1	PD02NOV17	2768.00	3254.00	USD	12,150.00	
02AUG17	B	1	PD02NOV17	2768.50	3254.00	USD	12,137.50	
02AUG17	B	1	PD02NOV17	2772.50	3254.00	USD	12,037.50	
02AUG17	B	1	PD02NOV17	2769.50	3254.00	USD	12,112.50	
02AUG17	B	1	PD02NOV17	2772.00	3254.00	USD	12,050.00	
02AUG17	B	1	PD02NOV17	2771.50	3254.00	USD	12,062.50	
02AUG17	B	3	PD02NOV17	2770.00	3254.00	USD	36,300.00	
02AUG17	B	1	PD02NOV17	2774.00	3254.00	USD	12,000.00	
02AUG17	B	1	PD02NOV17	2774.50	3254.00	USD	11,987.50	
02AUG17	B	5	PD02NOV17	2773.00	3254.00	USD	60,125.00	
02AUG17	B	2	PD02NOV17	2773.50	3254.00	USD	24,025.00	
02AUG17	B	2	PD02NOV17	2771.00	3254.00	USD	24,150.00	
02AUG17	B	1	PD02NOV17	2767.00	3254.00	USD	12,175.00	
02AUG17	B	1	PD02NOV17	2766.00	3254.00	USD	12,200.00	
02AUG17	B	2	PD02NOV17	2764.00	3254.00	USD	24,500.00	
02AUG17	B	3	PD02NOV17	2766.50	3254.00	USD	36,562.50	
02AUG17	B	4	PD02NOV17	2765.50	3254.00	USD	48,850.00	
02AUG17	B	2	PD02NOV17	2763.00	3254.00	USD	24,550.00	
02AUG17	B	3	PD02NOV17	2762.00	3254.00	USD	36,900.00	
02AUG17	B	1	PD02NOV17	2764.50	3254.00	USD	12,237.50	
02AUG17	S	1	PD02NOV17	2766.00	3254.00	USD	-12,200.00	
02AUG17	B	2	PD02NOV17	2765.00	3254.00	USD	24,450.00	
02AUG17	B	3	PD02NOV17	2769.00	3254.00	USD	36,375.00	
02AUG17	S	1	PD02NOV17	2771.00	3254.00	USD	-12,075.00	
02AUG17	S	1	PD02NOV17	2765.50	3254.00	USD	-12,212.50	
02AUG17	S	1	PD02NOV17	2769.50	3254.00	USD	-12,112.50	
02AUG17	S	2	PD02NOV17	2770.50	3254.00	USD	-24,175.00	
02AUG17	S	3	PD02NOV17	2772.00	3254.00	USD	-36,150.00	
02AUG17	S	1	PD02NOV17	2773.00	3254.00	USD	-12,025.00	
02AUG17	S	2	PD02NOV17	2773.50	3254.00	USD	-24,025.00	
02AUG17	S	2	PD02NOV17	2775.00	3254.00	USD	-23,950.00	
02AUG17	S	3	PD02NOV17	2775.50	3254.00	USD	-35,887.50	
02AUG17	S	6	PD02NOV17	2776.00	3254.00	USD	-71,700.00	
02AUG17	S	3	PD02NOV17	2777.00	3254.00	USD	-35,775.00	
02AUG17	S	1	PD02NOV17	2778.00	3254.00	USD	-11,900.00	
02AUG17	S	1	PD02NOV17	2779.50	3254.00	USD	-11,862.50	
02AUG17	S	1	PD02NOV17	2780.50	3254.00	USD	-11,837.50	
02AUG17	S	1	PD02NOV17	2783.50	3254.00	USD	-11,762.50	
02AUG17	S	5	PD02NOV17	2783.00	3254.00	USD	-58,875.00	
02AUG17	S	3	PD02NOV17	2784.00	3254.00	USD	-35,250.00	
02AUG17	S	1	PD02NOV17	2780.00	3254.00	USD	-11,850.00	

OPEN POSITION STATEMENT



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SAP0112C

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Suite A, 105 Piccadilly
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
02AUG17	S	3	PD02NOV17		2781.50	3254.00	USD	-35,437.50	
02AUG17	S	4	PD02NOV17		2781.00	3254.00	USD	-47,300.00	
02AUG17	B	1	PD02NOV17		2784.00	3254.00	USD	11,750.00	
02AUG17	S	1	PD02NOV17		2786.00	3254.00	USD	-11,700.00	
02AUG17	S	3	PD02NOV17		2786.50	3254.00	USD	-35,062.50	
02AUG17	S	1	PD02NOV17		2788.00	3254.00	USD	-11,650.00	
02AUG17	S	2	PD02NOV17		2789.00	3254.00	USD	-23,250.00	
02AUG17	S	1	PD02NOV17		2791.00	3254.00	USD	-11,575.00	
02AUG17	S	2	PD02NOV17		2787.50	3254.00	USD	-23,325.00	
02AUG17	S	1	PD02NOV17		2780.50	3254.00	USD	-11,837.50	
02AUG17	B	1	PD02NOV17		2795.00	3254.00	USD	11,475.00	
02AUG17	S	1	PD02NOV17		2800.00	3254.00	USD	-11,350.00	
02AUG17	B	1	PD02NOV17		2804.50	3254.00	USD	11,237.50	
02AUG17	B	1	PD02NOV17		2805.00	3254.00	USD	11,225.00	
02AUG17	B	4	PD02NOV17		2806.00	3254.00	USD	44,800.00	
03AUG17	S	3	PD02NOV17		2791.55	3254.00	USD	-34,683.75	
07AUG17	B	11	PD02NOV17		2856.93	3254.00	USD	109,194.25	
	NET	0AVG	BGHT 2788.68	SOLD 2780.04				-13,177.00	0.00
03AUG17	B	2	PD03NOV17		2823.00	3252.89	USD	21,494.50	
03AUG17	B	1	PD03NOV17		2820.50	3252.89	USD	10,809.75	
03AUG17	B	1	PD03NOV17		2819.50	3252.89	USD	10,834.75	
03AUG17	B	2	PD03NOV17		2816.00	3252.89	USD	21,844.50	
03AUG17	S	1	PD03NOV17		2822.50	3252.89	USD	-10,759.75	
03AUG17	S	1	PD03NOV17		2824.00	3252.89	USD	-10,722.25	
03AUG17	B	1	PD03NOV17		2818.00	3252.89	USD	10,872.25	
03AUG17	B	2	PD03NOV17		2812.00	3252.89	USD	22,044.50	
03AUG17	B	1	PD03NOV17		2814.00	3252.89	USD	10,972.25	
03AUG17	B	3	PD03NOV17		2813.50	3252.89	USD	32,954.25	
03AUG17	B	4	PD03NOV17		2811.50	3252.89	USD	44,139.00	
03AUG17	B	1	PD03NOV17		2809.00	3252.89	USD	11,097.25	
03AUG17	B	3	PD03NOV17		2810.50	3252.89	USD	33,179.25	
03AUG17	S	1	PD03NOV17		2814.00	3252.89	USD	-10,972.25	
03AUG17	S	2	PD03NOV17		2818.00	3252.89	USD	-21,744.50	
03AUG17	S	1	PD03NOV17		2821.00	3252.89	USD	-10,797.25	
03AUG17	B	4	PD03NOV17		2818.50	3252.89	USD	43,439.00	
03AUG17	B	3	PD03NOV17		2816.50	3252.89	USD	32,729.25	
03AUG17	S	1	PD03NOV17		2820.50	3252.89	USD	-10,809.75	
03AUG17	S	2	PD03NOV17		2819.50	3252.89	USD	-21,669.50	
03AUG17	B	5	PD03NOV17		2820.00	3252.89	USD	54,111.25	
03AUG17	B	1	PD03NOV17		2817.50	3252.89	USD	10,884.75	
03AUG17	B	1	PD03NOV17		2798.00	3252.89	USD	11,372.25	
03AUG17	B	1	PD03NOV17		2796.00	3252.89	USD	11,422.25	
03AUG17	B	1	PD03NOV17		2795.50	3252.89	USD	11,434.75	
03AUG17	B	2	PD03NOV17		2794.50	3252.89	USD	22,919.50	
03AUG17	B	1	PD03NOV17		2792.50	3252.89	USD	11,509.75	
03AUG17	B	1	PD03NOV17		2792.00	3252.89	USD	11,522.25	
03AUG17	B	3	PD03NOV17		2794.00	3252.89	USD	34,416.75	
03AUG17	S	2	PD03NOV17		2804.00	3252.89	USD	-22,444.50	
03AUG17	S	1	PD03NOV17		2806.50	3252.89	USD	-11,159.75	
03AUG17	S	1	PD03NOV17		2806.00	3252.89	USD	-11,172.25	
03AUG17	S	2	PD03NOV17		2807.50	3252.89	USD	-22,269.50	
03AUG17	B	1	PD03NOV17		2801.00	3252.89	USD	11,297.25	
03AUG17	B	1	PD03NOV17		2801.50	3252.89	USD	11,284.75	
03AUG17	B	3	PD03NOV17		2800.00	3252.89	USD	33,966.75	
03AUG17	S	1	PD03NOV17		2808.00	3252.89	USD	-11,122.25	
03AUG17	B	2	PD03NOV17		2802.00	3252.89	USD	22,544.50	
03AUG17	B	1	PD03NOV17		2800.50	3252.89	USD	11,309.75	

OPEN POSITION STATEMENT



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03AUG17	B	1	PD03NOV17	2799.50	3252.89	USD	11,334.75	
03AUG17	B	2	PD03NOV17	2797.50	3252.89	USD	22,769.50	
03AUG17	B	3	PD03NOV17	2793.00	3252.89	USD	34,491.75	
03AUG17	B	6	PD03NOV17	2793.50	3252.89	USD	68,908.50	
03AUG17	S	2	PD03NOV17	2792.00	3252.89	USD	-23,044.50	
03AUG17	S	1	PD03NOV17	2792.50	3252.89	USD	-11,509.75	
03AUG17	S	5	PD03NOV17	2794.00	3252.89	USD	-57,361.25	
03AUG17	B	1	PD03NOV17	2797.00	3252.89	USD	11,397.25	
03AUG17	S	2	PD03NOV17	2793.00	3252.89	USD	-22,994.50	
03AUG17	S	2	PD03NOV17	2795.00	3252.89	USD	-22,894.50	
04AUG17	S	2	PD03NOV17	2806.50	3252.89	USD	-22,319.50	
04AUG17	S	1	PD03NOV17	2809.00	3252.89	USD	-11,097.25	
04AUG17	S	3	PD03NOV17	2815.00	3252.89	USD	-32,841.75	
04AUG17	S	2	PD03NOV17	2818.50	3252.89	USD	-21,719.50	
04AUG17	S	2	PD03NOV17	2819.00	3252.89	USD	-21,694.50	
04AUG17	S	2	PD03NOV17	2819.50	3252.89	USD	-21,669.50	
04AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
04AUG17	S	4	PD03NOV17	2818.00	3252.89	USD	-43,489.00	
04AUG17	S	3	PD03NOV17	2821.00	3252.89	USD	-32,391.75	
04AUG17	S	1	PD03NOV17	2820.00	3252.89	USD	-10,822.25	
04AUG17	S	1	PD03NOV17	2823.00	3252.89	USD	-10,747.25	
04AUG17	S	3	PD03NOV17	2823.50	3252.89	USD	-32,204.25	
04AUG17	S	1	PD03NOV17	2832.00	3252.89	USD	-10,522.25	
04AUG17	B	1	PD03NOV17	2822.50	3252.89	USD	10,759.75	
04AUG17	B	1	PD03NOV17	2822.00	3252.89	USD	10,772.25	
04AUG17	B	1	PD03NOV17	2820.50	3252.89	USD	10,809.75	
04AUG17	B	2	PD03NOV17	2820.00	3252.89	USD	21,644.50	
04AUG17	B	2	PD03NOV17	2818.00	3252.89	USD	21,744.50	
04AUG17	S	1	PD03NOV17	2828.00	3252.89	USD	-10,622.25	
04AUG17	S	3	PD03NOV17	2830.00	3252.89	USD	-31,716.75	
04AUG17	S	3	PD03NOV17	2831.00	3252.89	USD	-31,641.75	
04AUG17	S	1	PD03NOV17	2824.00	3252.89	USD	-10,722.25	
04AUG17	S	1	PD03NOV17	2824.50	3252.89	USD	-10,709.75	
04AUG17	S	3	PD03NOV17	2825.00	3252.89	USD	-32,091.75	
04AUG17	S	2	PD03NOV17	2827.50	3252.89	USD	-21,269.50	
04AUG17	S	3	PD03NOV17	2826.00	3252.89	USD	-32,016.75	
04AUG17	S	2	PD03NOV17	2826.50	3252.89	USD	-21,319.50	
04AUG17	B	2	PD03NOV17	2819.50	3252.89	USD	21,669.50	
04AUG17	B	1	PD03NOV17	2817.00	3252.89	USD	10,897.25	
04AUG17	B	1	PD03NOV17	2819.00	3252.89	USD	10,847.25	
04AUG17	S	1	PD03NOV17	2820.50	3252.89	USD	-10,809.75	
04AUG17	B	2	PD03NOV17	2811.50	3252.89	USD	22,069.50	
04AUG17	B	1	PD03NOV17	2817.50	3252.89	USD	10,884.75	
04AUG17	B	1	PD03NOV17	2814.50	3252.89	USD	10,959.75	
04AUG17	B	1	PD03NOV17	2818.50	3252.89	USD	10,859.75	
04AUG17	B	1	PD03NOV17	2815.50	3252.89	USD	10,934.75	
04AUG17	B	2	PD03NOV17	2814.00	3252.89	USD	21,944.50	
04AUG17	B	2	PD03NOV17	2812.00	3252.89	USD	22,044.50	
04AUG17	B	3	PD03NOV17	2810.50	3252.89	USD	33,179.25	
04AUG17	B	2	PD03NOV17	2809.00	3252.89	USD	22,194.50	
04AUG17	B	2	PD03NOV17	2809.50	3252.89	USD	22,169.50	
04AUG17	B	3	PD03NOV17	2808.50	3252.89	USD	33,329.25	
04AUG17	B	1	PD03NOV17	2808.00	3252.89	USD	11,122.25	
04AUG17	B	1	PD03NOV17	2807.50	3252.89	USD	11,134.75	
04AUG17	B	1	PD03NOV17	2806.50	3252.89	USD	11,159.75	
04AUG17	S	2	PD03NOV17	2812.50	3252.89	USD	-22,019.50	
04AUG17	S	2	PD03NOV17	2814.00	3252.89	USD	-21,944.50	

OPEN POSITION STATEMENT



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04AUG17	B	2	PD03NOV17	2801.50	3252.89	USD	22,569.50	
04AUG17	B	2	PD03NOV17	2801.00	3252.89	USD	22,594.50	
04AUG17	B	2	PD03NOV17	2800.50	3252.89	USD	22,619.50	
04AUG17	B	2	PD03NOV17	2797.50	3252.89	USD	22,769.50	
04AUG17	B	1	PD03NOV17	2796.50	3252.89	USD	11,409.75	
04AUG17	S	1	PD03NOV17	2803.50	3252.89	USD	-11,234.75	
04AUG17	S	2	PD03NOV17	2809.50	3252.89	USD	-22,169.50	
04AUG17	B	1	PD03NOV17	2809.00	3252.89	USD	11,097.25	
04AUG17	S	1	PD03NOV17	2812.00	3252.89	USD	-11,022.25	
04AUG17	S	6	PD03NOV17	2814.50	3252.89	USD	-65,758.50	
04AUG17	S	5	PD03NOV17	2813.50	3252.89	USD	-54,923.75	
04AUG17	S	3	PD03NOV17	2811.00	3252.89	USD	-33,141.75	
04AUG17	B	1	PD03NOV17	2805.00	3252.89	USD	11,197.25	
04AUG17	B	2	PD03NOV17	2813.00	3252.89	USD	21,994.50	
04AUG17	B	10	PD03NOV17	2812.50	3252.89	USD	110,097.50	
07AUG17	S	26	PD03NOV17	2856.94	3252.89	USD	-257,367.50	
	NET	0 AVG BGHT 2808.70	SOLD 2823.95				46,511.00	0.00
07AUG17	B	1	PD07NOV17	2808.00	3250.67	USD	11,066.75	
07AUG17	B	1	PD07NOV17	2805.00	3250.67	USD	11,141.75	
07AUG17	S	1	PD07NOV17	2816.00	3250.67	USD	-10,866.75	
07AUG17	S	1	PD07NOV17	2815.50	3250.67	USD	-10,879.25	
07AUG17	S	1	PD07NOV17	2818.50	3250.67	USD	-10,804.25	
07AUG17	S	2	PD07NOV17	2820.50	3250.67	USD	-21,508.50	
07AUG17	S	1	PD07NOV17	2820.00	3250.67	USD	-10,766.75	
07AUG17	S	5	PD07NOV17	2821.00	3250.67	USD	-53,708.75	
07AUG17	B	1	PD07NOV17	2815.00	3250.67	USD	10,891.75	
07AUG17	B	2	PD07NOV17	2810.00	3250.67	USD	22,033.50	
07AUG17	S	1	PD07NOV17	2828.00	3250.67	USD	-10,566.75	
07AUG17	B	1	PD07NOV17	2819.00	3250.67	USD	10,791.75	
07AUG17	S	1	PD07NOV17	2824.50	3250.67	USD	-10,654.25	
07AUG17	S	2	PD07NOV17	2825.50	3250.67	USD	-21,258.50	
07AUG17	S	2	PD07NOV17	2826.00	3250.67	USD	-21,233.50	
07AUG17	S	2	PD07NOV17	2826.50	3250.67	USD	-21,208.50	
07AUG17	S	4	PD07NOV17	2825.00	3250.67	USD	-42,567.00	
07AUG17	S	3	PD07NOV17	2829.00	3250.67	USD	-31,625.25	
07AUG17	S	2	PD07NOV17	2832.00	3250.67	USD	-20,933.50	
07AUG17	S	2	PD07NOV17	2831.50	3250.67	USD	-20,958.50	
07AUG17	S	1	PD07NOV17	2832.50	3250.67	USD	-10,454.25	
07AUG17	S	1	PD07NOV17	2821.50	3250.67	USD	-10,729.25	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	S	1	PD07NOV17	2832.00	3250.67	USD	-10,466.75	
07AUG17	B	2	PD07NOV17	2831.50	3250.67	USD	20,958.50	
07AUG17	B	1	PD07NOV17	2831.00	3250.67	USD	10,491.75	
07AUG17	B	1	PD07NOV17	2828.50	3250.67	USD	10,554.25	
07AUG17	B	4	PD07NOV17	2836.00	3250.67	USD	41,467.00	
07AUG17	B	4	PD07NOV17	2835.50	3250.67	USD	41,517.00	
07AUG17	B	1	PD07NOV17	2837.00	3250.67	USD	10,341.75	
07AUG17	B	1	PD07NOV17	2834.50	3250.67	USD	10,404.25	
07AUG17	S	1	PD07NOV17	2842.00	3250.67	USD	-10,216.75	
07AUG17	S	1	PD07NOV17	2843.00	3250.67	USD	-10,191.75	
07AUG17	S	1	PD07NOV17	2848.00	3250.67	USD	-10,066.75	
07AUG17	S	2	PD07NOV17	2859.00	3250.67	USD	-19,583.50	
07AUG17	B	1	PD07NOV17	2855.00	3250.67	USD	9,891.75	
07AUG17	B	1	PD07NOV17	2860.50	3250.67	USD	9,754.25	
07AUG17	S	1	PD07NOV17	2864.50	3250.67	USD	-9,654.25	
07AUG17	S	2	PD07NOV17	2865.50	3250.67	USD	-19,258.50	
07AUG17	S	1	PD07NOV17	2871.00	3250.67	USD	-9,491.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2875.00	3250.67	USD	-9,391.75	
07AUG17	S	1	PD07NOV17	2876.00	3250.67	USD	-9,366.75	
07AUG17	S	1	PD07NOV17	2877.50	3250.67	USD	-9,329.25	
07AUG17	B	1	PD07NOV17	2873.00	3250.67	USD	9,441.75	
07AUG17	B	1	PD07NOV17	2872.50	3250.67	USD	9,454.25	
07AUG17	B	2	PD07NOV17	2878.00	3250.67	USD	18,633.50	
07AUG17	B	1	PD07NOV17	2878.50	3250.67	USD	9,304.25	
07AUG17	B	1	PD07NOV17	2885.00	3250.67	USD	9,141.75	
07AUG17	S	1	PD07NOV17	2883.00	3250.67	USD	-9,191.75	
07AUG17	S	1	PD07NOV17	2879.00	3250.67	USD	-9,291.75	
07AUG17	B	2	PD07NOV17	2885.50	3250.67	USD	18,258.50	
07AUG17	B	1	PD07NOV17	2884.50	3250.67	USD	9,154.25	
07AUG17	B	2	PD07NOV17	2883.50	3250.67	USD	18,358.50	
07AUG17	B	1	PD07NOV17	2881.50	3250.67	USD	9,229.25	
07AUG17	B	3	PD07NOV17	2880.50	3250.67	USD	27,762.75	
07AUG17	B	1	PD07NOV17	2866.50	3250.67	USD	9,604.25	
07AUG17	B	2	PD07NOV17	2862.50	3250.67	USD	19,408.50	
07AUG17	B	1	PD07NOV17	2867.50	3250.67	USD	9,579.25	
07AUG17	B	2	PD07NOV17	2867.00	3250.67	USD	19,183.50	
07AUG17	B	3	PD07NOV17	2868.00	3250.67	USD	28,700.25	
07AUG17	B	1	PD07NOV17	2871.50	3250.67	USD	9,479.25	
07AUG17	B	2	PD07NOV17	2870.50	3250.67	USD	19,008.50	
07AUG17	S	1	PD07NOV17	2874.00	3250.67	USD	-9,416.75	
07AUG17	B	1	PD07NOV17	2861.50	3250.67	USD	9,729.25	
10AUG17	S	1	PD07NOV17	2937.46	3250.67	USD	-7,830.25	
	NET	0 AVG	BGHT 2854.88	SOLD 2839.51			-19,213.50	0.00
08AUG17	S	2	PD08NOV17	2866.50	3249.56	USD	-19,153.00	
08AUG17	S	1	PD08NOV17	2867.00	3249.56	USD	-9,564.00	
08AUG17	S	1	PD08NOV17	2867.50	3249.56	USD	-9,551.50	
08AUG17	S	1	PD08NOV17	2869.00	3249.56	USD	-9,514.00	
08AUG17	S	4	PD08NOV17	2870.50	3249.56	USD	-37,906.00	
08AUG17	S	2	PD08NOV17	2870.00	3249.56	USD	-18,978.00	
08AUG17	S	5	PD08NOV17	2871.00	3249.56	USD	-47,320.00	
08AUG17	S	2	PD08NOV17	2880.50	3249.56	USD	-18,453.00	
08AUG17	S	1	PD08NOV17	2878.50	3249.56	USD	-9,276.50	
08AUG17	B	1	PD08NOV17	2867.00	3249.56	USD	9,564.00	
08AUG17	B	1	PD08NOV17	2877.50	3249.56	USD	9,301.50	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	B	1	PD08NOV17	2882.00	3249.56	USD	9,189.00	
08AUG17	S	2	PD08NOV17	2882.00	3249.56	USD	-18,378.00	
08AUG17	S	1	PD08NOV17	2884.00	3249.56	USD	-9,139.00	
08AUG17	B	1	PD08NOV17	2874.50	3249.56	USD	9,376.50	
08AUG17	B	1	PD08NOV17	2871.00	3249.56	USD	9,464.00	
08AUG17	B	1	PD08NOV17	2869.00	3249.56	USD	9,514.00	
08AUG17	B	3	PD08NOV17	2873.00	3249.56	USD	28,242.00	
08AUG17	B	1	PD08NOV17	2868.00	3249.56	USD	9,539.00	
08AUG17	B	1	PD08NOV17	2867.50	3249.56	USD	9,551.50	
08AUG17	S	2	PD08NOV17	2875.00	3249.56	USD	-18,728.00	
08AUG17	S	3	PD08NOV17	2876.50	3249.56	USD	-27,979.50	
08AUG17	S	2	PD08NOV17	2877.00	3249.56	USD	-18,628.00	
08AUG17	S	1	PD08NOV17	2880.00	3249.56	USD	-9,239.00	
08AUG17	S	2	PD08NOV17	2879.00	3249.56	USD	-18,528.00	
08AUG17	S	3	PD08NOV17	2878.00	3249.56	USD	-27,867.00	
08AUG17	B	2	PD08NOV17	2875.50	3249.56	USD	18,703.00	
08AUG17	S	1	PD08NOV17	2876.00	3249.56	USD	-9,339.00	
08AUG17	S	1	PD08NOV17	2886.50	3249.56	USD	-9,076.50	
08AUG17	B	1	PD08NOV17	2897.00	3249.56	USD	8,814.00	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	1	PD08NOV17		2910.50	3249.56	USD	8,476.50	
08AUG17	S	1	PD08NOV17		2910.00	3249.56	USD	-8,489.00	
08AUG17	S	1	PD08NOV17		2913.50	3249.56	USD	-8,401.50	
08AUG17	B	1	PD08NOV17		2929.00	3249.56	USD	8,014.00	
08AUG17	B	2	PD08NOV17		2926.50	3249.56	USD	16,153.00	
08AUG17	B	1	PD08NOV17		2926.00	3249.56	USD	8,089.00	
08AUG17	B	1	PD08NOV17		2924.50	3249.56	USD	8,126.50	
08AUG17	B	2	PD08NOV17		2924.00	3249.56	USD	16,278.00	
08AUG17	B	2	PD08NOV17		2925.50	3249.56	USD	16,203.00	
08AUG17	B	1	PD08NOV17		2930.00	3249.56	USD	7,989.00	
08AUG17	B	1	PD08NOV17		2928.00	3249.56	USD	8,039.00	
08AUG17	S	1	PD08NOV17		2936.00	3249.56	USD	-7,839.00	
08AUG17	B	1	PD08NOV17		2935.50	3249.56	USD	7,851.50	
08AUG17	B	2	PD08NOV17		2934.00	3249.56	USD	15,778.00	
08AUG17	B	1	PD08NOV17		2933.00	3249.56	USD	7,914.00	
08AUG17	B	1	PD08NOV17		2935.00	3249.56	USD	7,864.00	
08AUG17	B	5	PD08NOV17		2934.50	3249.56	USD	39,382.50	
08AUG17	B	1	PD08NOV17		2937.00	3249.56	USD	7,814.00	
08AUG17	B	1	PD08NOV17		2932.00	3249.56	USD	7,939.00	
10AUG17	B	1	PD08NOV17		2937.84	3249.56	USD	7,793.00	
10AUG17	B	1	PD08NOV17		2937.64	3249.56	USD	7,798.00	
14AUG17	B	1	PD08NOV17		2916.04	3249.56	USD	8,338.00	
	NET	0 AVG	BGHT 2910.93	SOLD 2878.30				-33,438.00	0.00
09AUG17	S	1	PD09NOV17		2939.50	3248.44	USD	-7,723.50	
09AUG17	S	1	PD09NOV17		2940.00	3248.44	USD	-7,711.00	
09AUG17	B	2	PD09NOV17		2939.50	3248.44	USD	15,447.00	
09AUG17	S	1	PD09NOV17		2944.00	3248.44	USD	-7,611.00	
09AUG17	S	1	PD09NOV17		2941.50	3248.44	USD	-7,673.50	
09AUG17	S	1	PD09NOV17		2952.00	3248.44	USD	-7,411.00	
09AUG17	S	3	PD09NOV17		2943.50	3248.44	USD	-22,870.50	
09AUG17	S	2	PD09NOV17		2942.00	3248.44	USD	-15,322.00	
09AUG17	S	2	PD09NOV17		2943.00	3248.44	USD	-15,272.00	
09AUG17	S	1	PD09NOV17		2944.50	3248.44	USD	-7,598.50	
09AUG17	S	2	PD09NOV17		2945.00	3248.44	USD	-15,172.00	
09AUG17	S	1	PD09NOV17		2945.50	3248.44	USD	-7,573.50	
09AUG17	S	1	PD09NOV17		2947.50	3248.44	USD	-7,523.50	
09AUG17	S	1	PD09NOV17		2958.50	3248.44	USD	-7,248.50	
09AUG17	S	1	PD09NOV17		2967.50	3248.44	USD	-7,023.50	
09AUG17	S	1	PD09NOV17		2968.50	3248.44	USD	-6,998.50	
09AUG17	S	1	PD09NOV17		2979.50	3248.44	USD	-6,723.50	
09AUG17	S	1	PD09NOV17		2981.00	3248.44	USD	-6,686.00	
09AUG17	S	1	PD09NOV17		2981.50	3248.44	USD	-6,673.50	
09AUG17	S	1	PD09NOV17		2980.00	3248.44	USD	-6,711.00	
09AUG17	B	1	PD09NOV17		2968.50	3248.44	USD	6,998.50	
09AUG17	B	1	PD09NOV17		2967.50	3248.44	USD	7,023.50	
09AUG17	B	1	PD09NOV17		2965.00	3248.44	USD	7,086.00	
09AUG17	B	5	PD09NOV17		2961.50	3248.44	USD	35,867.50	
09AUG17	B	1	PD09NOV17		2961.00	3248.44	USD	7,186.00	
09AUG17	B	2	PD09NOV17		2962.00	3248.44	USD	14,322.00	
09AUG17	B	1	PD09NOV17		2960.00	3248.44	USD	7,211.00	
09AUG17	B	2	PD09NOV17		2956.00	3248.44	USD	14,622.00	
09AUG17	B	4	PD09NOV17		2957.00	3248.44	USD	29,144.00	
09AUG17	B	1	PD09NOV17		2950.00	3248.44	USD	7,461.00	
09AUG17	B	2	PD09NOV17		2951.00	3248.44	USD	14,872.00	
09AUG17	B	1	PD09NOV17		2949.00	3248.44	USD	7,486.00	
09AUG17	B	2	PD09NOV17		2948.50	3248.44	USD	14,997.00	
09AUG17	B	1	PD09NOV17		2947.50	3248.44	USD	7,523.50	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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09AUG17	B	1	PD09NOV17	2947.00	3248.44	USD	7,536.00	
09AUG17	B	1	PD09NOV17	2953.50	3248.44	USD	7,373.50	
09AUG17	S	1	PD09NOV17	2960.00	3248.44	USD	-7,211.00	
09AUG17	S	1	PD09NOV17	2960.50	3248.44	USD	-7,198.50	
09AUG17	S	1	PD09NOV17	2964.50	3248.44	USD	-7,098.50	
09AUG17	S	2	PD09NOV17	2953.00	3248.44	USD	-14,772.00	
09AUG17	S	3	PD09NOV17	2954.50	3248.44	USD	-22,045.50	
09AUG17	S	1	PD09NOV17	2953.50	3248.44	USD	-7,373.50	
09AUG17	S	1	PD09NOV17	2955.00	3248.44	USD	-7,336.00	
09AUG17	S	1	PD09NOV17	2955.50	3248.44	USD	-7,323.50	
09AUG17	S	3	PD09NOV17	2963.50	3248.44	USD	-21,370.50	
09AUG17	S	1	PD09NOV17	2965.00	3248.44	USD	-7,086.00	
09AUG17	S	1	PD09NOV17	2967.00	3248.44	USD	-7,036.00	
09AUG17	S	1	PD09NOV17	2969.50	3248.44	USD	-6,973.50	
09AUG17	S	1	PD09NOV17	2972.50	3248.44	USD	-6,898.50	
09AUG17	S	2	PD09NOV17	2966.00	3248.44	USD	-14,122.00	
09AUG17	B	1	PD09NOV17	2964.00	3248.44	USD	7,111.00	
09AUG17	B	3	PD09NOV17	2955.00	3248.44	USD	22,008.00	
09AUG17	B	1	PD09NOV17	2952.00	3248.44	USD	7,411.00	
09AUG17	B	2	PD09NOV17	2945.00	3248.44	USD	15,172.00	
09AUG17	B	1	PD09NOV17	2944.00	3248.44	USD	7,611.00	
09AUG17	B	1	PD09NOV17	2942.00	3248.44	USD	7,661.00	
09AUG17	B	1	PD09NOV17	2939.00	3248.44	USD	7,736.00	
09AUG17	B	1	PD09NOV17	2937.50	3248.44	USD	7,773.50	
09AUG17	S	1	PD09NOV17	2967.50	3248.44	USD	-7,023.50	
09AUG17	S	2	PD09NOV17	2957.50	3248.44	USD	-14,547.00	
09AUG17	S	4	PD09NOV17	2957.00	3248.44	USD	-29,144.00	
09AUG17	S	1	PD09NOV17	2954.50	3248.44	USD	-7,348.50	
09AUG17	B	2	PD09NOV17	2938.00	3248.44	USD	15,522.00	
09AUG17	B	2	PD09NOV17	2935.00	3248.44	USD	15,672.00	
09AUG17	B	1	PD09NOV17	2934.50	3248.44	USD	7,848.50	
09AUG17	B	2	PD09NOV17	2934.00	3248.44	USD	15,722.00	
09AUG17	B	1	PD09NOV17	2935.50	3248.44	USD	7,823.50	
09AUG17	B	2	PD09NOV17	2936.00	3248.44	USD	15,622.00	
09AUG17	B	1	PD09NOV17	2936.00	3248.44	USD	7,811.00	
10AUG17	S	1	PD09NOV17	2937.62	3248.44	USD	-7,770.50	
14AUG17	B	2	PD09NOV17	2916.20	3248.44	USD	16,612.00	
	NET	0 AVG BGHT 2948.61	SOLD 2956.21				10,068.00	0.00
10AUG17	B	1	PD10NOV17	2943.00	3247.33	USD	7,608.25	
10AUG17	S	2	PD10NOV17	2941.00	3247.33	USD	-15,316.50	
10AUG17	S	1	PD10NOV17	2947.00	3247.33	USD	-7,508.25	
10AUG17	B	1	PD10NOV17	2947.50	3247.33	USD	7,495.75	
10AUG17	B	1	PD10NOV17	2947.00	3247.33	USD	7,508.25	
10AUG17	B	1	PD10NOV17	2946.50	3247.33	USD	7,520.75	
10AUG17	S	7	PD10NOV17	2939.00	3247.33	USD	-53,957.75	
10AUG17	S	1	PD10NOV17	2935.50	3247.33	USD	-7,795.75	
10AUG17	S	1	PD10NOV17	2933.50	3247.33	USD	-7,845.75	
10AUG17	S	1	PD10NOV17	2937.50	3247.33	USD	-7,745.75	
10AUG17	B	1	PD10NOV17	2934.50	3247.33	USD	7,820.75	
10AUG17	B	1	PD10NOV17	2937.50	3247.33	USD	7,745.75	
10AUG17	S	3	PD10NOV17	2943.00	3247.33	USD	-22,824.75	
10AUG17	S	1	PD10NOV17	2946.00	3247.33	USD	-7,533.25	
10AUG17	S	2	PD10NOV17	2944.50	3247.33	USD	-15,141.50	
10AUG17	B	1	PD10NOV17	2938.00	3247.33	USD	7,733.25	
10AUG17	B	1	PD10NOV17	2936.50	3247.33	USD	7,770.75	
10AUG17	S	1	PD10NOV17	2950.00	3247.33	USD	-7,433.25	
10AUG17	S	2	PD10NOV17	2950.50	3247.33	USD	-14,841.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	1	PD10NOV17	2935.50	3247.33	USD	7,795.75	
10AUG17	S	1	PD10NOV17	2942.50	3247.33	USD	-7,620.75	
10AUG17	S	2	PD10NOV17	2942.00	3247.33	USD	-15,266.50	
10AUG17	S	1	PD10NOV17	2943.00	3247.33	USD	-7,608.25	
10AUG17	B	1	PD10NOV17	2939.50	3247.33	USD	7,695.75	
10AUG17	B	3	PD10NOV17	2937.00	3247.33	USD	23,274.75	
10AUG17	S	2	PD10NOV17	2938.50	3247.33	USD	-15,441.50	
10AUG17	S	2	PD10NOV17	2940.00	3247.33	USD	-15,366.50	
10AUG17	B	2	PD10NOV17	2925.00	3247.33	USD	16,116.50	
10AUG17	B	1	PD10NOV17	2922.50	3247.33	USD	8,120.75	
10AUG17	B	3	PD10NOV17	2920.00	3247.33	USD	24,549.75	
10AUG17	B	4	PD10NOV17	2920.50	3247.33	USD	32,683.00	
10AUG17	B	1	PD10NOV17	2921.50	3247.33	USD	8,145.75	
10AUG17	B	1	PD10NOV17	2921.00	3247.33	USD	8,158.25	
10AUG17	B	1	PD10NOV17	2918.00	3247.33	USD	8,233.25	
10AUG17	B	1	PD10NOV17	2916.00	3247.33	USD	8,283.25	
10AUG17	B	1	PD10NOV17	2923.00	3247.33	USD	8,108.25	
10AUG17	B	4	PD10NOV17	2917.00	3247.33	USD	33,033.00	
10AUG17	B	2	PD10NOV17	2911.00	3247.33	USD	16,816.50	
10AUG17	B	1	PD10NOV17	2914.00	3247.33	USD	8,333.25	
10AUG17	S	2	PD10NOV17	2922.00	3247.33	USD	-16,266.50	
10AUG17	S	1	PD10NOV17	2919.00	3247.33	USD	-8,208.25	
10AUG17	S	1	PD10NOV17	2920.00	3247.33	USD	-8,183.25	
10AUG17	S	1	PD10NOV17	2917.50	3247.33	USD	-8,245.75	
10AUG17	S	1	PD10NOV17	2918.50	3247.33	USD	-8,220.75	
10AUG17	S	1	PD10NOV17	2924.50	3247.33	USD	-8,070.75	
10AUG17	S	1	PD10NOV17	2933.00	3247.33	USD	-7,858.25	
10AUG17	B	1	PD10NOV17	2913.00	3247.33	USD	8,358.25	
10AUG17	S	2	PD10NOV17	2942.00	3247.33	USD	-15,266.50	
10AUG17	S	2	PD10NOV17	2952.00	3247.33	USD	-14,766.50	
10AUG17	S	1	PD10NOV17	2953.00	3247.33	USD	-7,358.25	
10AUG17	S	2	PD10NOV17	2953.50	3247.33	USD	-14,691.50	
10AUG17	S	2	PD10NOV17	2955.00	3247.33	USD	-14,616.50	
10AUG17	S	1	PD10NOV17	2948.00	3247.33	USD	-7,483.25	
10AUG17	S	1	PD10NOV17	2949.50	3247.33	USD	-7,445.75	
10AUG17	S	3	PD10NOV17	2943.50	3247.33	USD	-22,787.25	
10AUG17	B	1	PD10NOV17	2939.00	3247.33	USD	7,708.25	
10AUG17	S	1	PD10NOV17	2940.50	3247.33	USD	-7,670.75	
10AUG17	B	1	PD10NOV17	2930.00	3247.33	USD	7,933.25	
10AUG17	B	2	PD10NOV17	2929.00	3247.33	USD	15,916.50	
10AUG17	B	1	PD10NOV17	2925.50	3247.33	USD	8,045.75	
10AUG17	B	3	PD10NOV17	2928.00	3247.33	USD	23,949.75	
10AUG17	S	1	PD10NOV17	2954.00	3247.33	USD	-7,333.25	
10AUG17	B	1	PD10NOV17	2927.50	3247.33	USD	7,995.75	
11AUG17	B	1	PD10NOV17	2916.50	3247.33	USD	8,270.75	
11AUG17	B	1	PD10NOV17	2902.50	3247.33	USD	8,620.75	
11AUG17	S	1	PD10NOV17	2903.50	3247.33	USD	-8,595.75	
11AUG17	S	2	PD10NOV17	2908.50	3247.33	USD	-16,941.50	
11AUG17	S	1	PD10NOV17	2910.50	3247.33	USD	-8,420.75	
11AUG17	S	1	PD10NOV17	2910.00	3247.33	USD	-8,433.25	
11AUG17	B	2	PD10NOV17	2901.00	3247.33	USD	17,316.50	
11AUG17	B	2	PD10NOV17	2900.50	3247.33	USD	17,341.50	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	B	2	PD10NOV17	2896.50	3247.33	USD	17,541.50	
11AUG17	B	2	PD10NOV17	2894.50	3247.33	USD	17,641.50	
11AUG17	B	1	PD10NOV17	2897.00	3247.33	USD	8,758.25	
11AUG17	B	2	PD10NOV17	2891.00	3247.33	USD	17,816.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	1	PD10NOV17	2890.50	3247.33	USD	8,920.75	
11AUG17	B	1	PD10NOV17	2889.50	3247.33	USD	8,945.75	
11AUG17	S	2	PD10NOV17	2898.00	3247.33	USD	-17,466.50	
11AUG17	S	1	PD10NOV17	2901.50	3247.33	USD	-8,645.75	
11AUG17	S	3	PD10NOV17	2907.50	3247.33	USD	-25,487.25	
11AUG17	S	1	PD10NOV17	2908.00	3247.33	USD	-8,483.25	
11AUG17	S	2	PD10NOV17	2909.50	3247.33	USD	-16,891.50	
11AUG17	S	1	PD10NOV17	2913.00	3247.33	USD	-8,358.25	
11AUG17	S	1	PD10NOV17	2913.50	3247.33	USD	-8,345.75	
11AUG17	S	8	PD10NOV17	2911.00	3247.33	USD	-67,266.00	
11AUG17	S	2	PD10NOV17	2914.50	3247.33	USD	-16,641.50	
11AUG17	S	1	PD10NOV17	2916.00	3247.33	USD	-8,283.25	
11AUG17	S	1	PD10NOV17	2925.00	3247.33	USD	-8,058.25	
11AUG17	S	1	PD10NOV17	2920.50	3247.33	USD	-8,170.75	
11AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
11AUG17	S	1	PD10NOV17	2915.50	3247.33	USD	-8,295.75	
11AUG17	S	1	PD10NOV17	2921.50	3247.33	USD	-8,145.75	
11AUG17	B	1	PD10NOV17	2921.50	3247.33	USD	8,145.75	
11AUG17	S	2	PD10NOV17	2920.00	3247.33	USD	-16,366.50	
11AUG17	S	3	PD10NOV17	2919.00	3247.33	USD	-24,624.75	
11AUG17	S	1	PD10NOV17	2919.50	3247.33	USD	-8,195.75	
11AUG17	B	1	PD10NOV17	2905.50	3247.33	USD	8,545.75	
11AUG17	B	1	PD10NOV17	2897.50	3247.33	USD	8,745.75	
11AUG17	S	1	PD10NOV17	2915.50	3247.33	USD	-8,295.75	
11AUG17	S	1	PD10NOV17	2919.00	3247.33	USD	-8,208.25	
11AUG17	B	2	PD10NOV17	2904.00	3247.33	USD	17,166.50	
11AUG17	B	1	PD10NOV17	2903.50	3247.33	USD	8,595.75	
11AUG17	B	2	PD10NOV17	2906.50	3247.33	USD	17,041.50	
11AUG17	B	3	PD10NOV17	2906.00	3247.33	USD	25,599.75	
11AUG17	B	8	PD10NOV17	2904.50	3247.33	USD	68,566.00	
11AUG17	B	1	PD10NOV17	2915.00	3247.33	USD	8,308.25	
11AUG17	B	1	PD10NOV17	2913.50	3247.33	USD	8,345.75	
11AUG17	B	1	PD10NOV17	2911.00	3247.33	USD	8,408.25	
11AUG17	B	2	PD10NOV17	2909.50	3247.33	USD	16,891.50	
11AUG17	B	2	PD10NOV17	2908.00	3247.33	USD	16,966.50	
11AUG17	B	3	PD10NOV17	2907.50	3247.33	USD	25,487.25	
11AUG17	B	4	PD10NOV17	2908.50	3247.33	USD	33,883.00	
11AUG17	S	1	PD10NOV17	2895.00	3247.33	USD	-8,808.25	
11AUG17	S	3	PD10NOV17	2906.00	3247.33	USD	-25,599.75	
11AUG17	S	1	PD10NOV17	2905.00	3247.33	USD	-8,558.25	
11AUG17	S	3	PD10NOV17	2902.50	3247.33	USD	-25,862.25	
11AUG17	S	1	PD10NOV17	2904.50	3247.33	USD	-8,570.75	
11AUG17	B	1	PD10NOV17	2899.00	3247.33	USD	8,708.25	
11AUG17	B	5	PD10NOV17	2903.00	3247.33	USD	43,041.25	
11AUG17	S	2	PD10NOV17	2903.00	3247.33	USD	-17,216.50	
11AUG17	B	2	PD10NOV17	2905.00	3247.33	USD	17,116.50	
11AUG17	B	3	PD10NOV17	2900.00	3247.33	USD	26,049.75	
14AUG17	S	3	PD10NOV17	2916.16	3247.33	USD	-24,837.75	
22AUG17	B	3	PD10NOV17	3118.83	3247.33	USD	9,637.50	
	NET	0	AVG BGHT 2919.34	SOLD 2925.82			17,512.25	0.00
14AUG17	S	1	PD14NOV17	2919.50	3245.11	USD	-8,140.25	
14AUG17	S	1	PD14NOV17	2918.00	3245.11	USD	-8,177.75	
14AUG17	S	2	PD14NOV17	2916.50	3245.11	USD	-16,430.50	
14AUG17	S	5	PD14NOV17	2920.00	3245.11	USD	-40,638.75	
14AUG17	S	1	PD14NOV17	2919.00	3245.11	USD	-8,152.75	
14AUG17	S	2	PD14NOV17	2918.50	3245.11	USD	-16,330.50	
14AUG17	S	1	PD14NOV17	2917.00	3245.11	USD	-8,202.75	

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14AUG17	B	1	PD14NOV17	2913.50	3245.11	USD	8,290.25	
14AUG17	B	1	PD14NOV17	2912.50	3245.11	USD	8,315.25	
14AUG17	B	1	PD14NOV17	2908.00	3245.11	USD	8,427.75	
14AUG17	S	1	PD14NOV17	2910.00	3245.11	USD	-8,377.75	
14AUG17	B	3	PD14NOV17	2906.00	3245.11	USD	25,433.25	
14AUG17	B	4	PD14NOV17	2906.50	3245.11	USD	33,861.00	
14AUG17	S	3	PD14NOV17	2916.00	3245.11	USD	-24,683.25	
14AUG17	B	1	PD14NOV17	2912.00	3245.11	USD	8,327.75	
14AUG17	S	1	PD14NOV17	2909.50	3245.11	USD	-8,390.25	
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
14AUG17	B	1	PD14NOV17	2908.50	3245.11	USD	8,415.25	
14AUG17	B	4	PD14NOV17	2905.00	3245.11	USD	34,011.00	
14AUG17	S	1	PD14NOV17	2906.00	3245.11	USD	-8,477.75	
14AUG17	B	1	PD14NOV17	2908.50	3245.11	USD	8,415.25	
14AUG17	S	2	PD14NOV17	2913.00	3245.11	USD	-16,605.50	
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
14AUG17	B	1	PD14NOV17	2902.00	3245.11	USD	8,577.75	
14AUG17	B	1	PD14NOV17	2899.50	3245.11	USD	8,640.25	
14AUG17	B	1	PD14NOV17	2899.00	3245.11	USD	8,652.75	
14AUG17	B	2	PD14NOV17	2898.50	3245.11	USD	17,330.50	
14AUG17	S	1	PD14NOV17	2902.00	3245.11	USD	-8,577.75	
14AUG17	S	1	PD14NOV17	2909.00	3245.11	USD	-8,402.75	
14AUG17	S	3	PD14NOV17	2912.00	3245.11	USD	-24,983.25	
14AUG17	S	7	PD14NOV17	2914.00	3245.11	USD	-57,944.25	
14AUG17	S	4	PD14NOV17	2914.50	3245.11	USD	-33,061.00	
14AUG17	S	2	PD14NOV17	2915.50	3245.11	USD	-16,480.50	
14AUG17	S	4	PD14NOV17	2917.50	3245.11	USD	-32,761.00	
14AUG17	B	1	PD14NOV17	2903.00	3245.11	USD	8,552.75	
14AUG17	B	3	PD14NOV17	2905.50	3245.11	USD	25,470.75	
14AUG17	S	2	PD14NOV17	2911.50	3245.11	USD	-16,680.50	
14AUG17	S	4	PD14NOV17	2912.50	3245.11	USD	-33,261.00	
14AUG17	B	4	PD14NOV17	2907.50	3245.11	USD	33,761.00	
14AUG17	S	1	PD14NOV17	2913.50	3245.11	USD	-8,290.25	
14AUG17	B	5	PD14NOV17	2902.50	3245.11	USD	42,826.25	
14AUG17	B	2	PD14NOV17	2903.50	3245.11	USD	17,080.50	
14AUG17	B	3	PD14NOV17	2904.00	3245.11	USD	25,583.25	
14AUG17	B	1	PD14NOV17	2923.00	3245.11	USD	8,052.75	
14AUG17	B	1	PD14NOV17	2922.50	3245.11	USD	8,065.25	
14AUG17	B	1	PD14NOV17	2920.50	3245.11	USD	8,115.25	
14AUG17	B	7	PD14NOV17	2920.00	3245.11	USD	56,894.25	
14AUG17	B	1	PD14NOV17	2920.00	3245.11	USD	8,127.75	
14AUG17	B	1	PD14NOV17	2923.50	3245.11	USD	8,040.25	
14AUG17	B	1	PD14NOV17	2924.50	3245.11	USD	8,015.25	
22AUG17	S	2	PD14NOV17	3119.17	3245.11	USD	-6,297.00	
29AUG17	B	1	PD14NOV17	3109.75	3245.11	USD	3,384.00	
	NET	0AVG BGHT 2912.76	SOLD 2922.23				12,777.25	0.00
15AUG17	B	1	PD15NOV17	2936.00	3244.00	USD	7,700.00	
22AUG17	S	1	PD15NOV17	3119.30	3244.00	USD	-3,117.50	
	NET	0AVG BGHT 2936.00	SOLD 3119.30				4,582.50	0.00
21AUG17	S	1	PD21NOV17	3164.50	3237.00	USD	-1,812.50	
21AUG17	S	2	PD21NOV17	3171.50	3237.00	USD	-3,275.00	
21AUG17	S	1	PD21NOV17	3173.50	3237.00	USD	-1,587.50	
21AUG17	S	2	PD21NOV17	3172.50	3237.00	USD	-3,225.00	
21AUG17	B	1	PD21NOV17	3178.50	3237.00	USD	1,462.50	
21AUG17	S	1	PD21NOV17	3165.50	3237.00	USD	-1,787.50	
21AUG17	B	1	PD21NOV17	3170.50	3237.00	USD	1,662.50	
21AUG17	B	1	PD21NOV17	3163.50	3237.00	USD	1,837.50	

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21AUG17	B	1	PD21NOV17	3164.50	3237.00	USD	1,812.50	
21AUG17	S	1	PD21NOV17	3170.50	3237.00	USD	-1,662.50	
21AUG17	S	2	PD21NOV17	3171.00	3237.00	USD	-3,300.00	
21AUG17	S	1	PD21NOV17	3166.50	3237.00	USD	-1,762.50	
21AUG17	B	1	PD21NOV17	3161.50	3237.00	USD	1,887.50	
21AUG17	B	1	PD21NOV17	3151.50	3237.00	USD	2,137.50	
21AUG17	B	1	PD21NOV17	3152.00	3237.00	USD	2,125.00	
21AUG17	B	1	PD21NOV17	3148.50	3237.00	USD	2,212.50	
21AUG17	B	2	PD21NOV17	3133.50	3237.00	USD	5,175.00	
21AUG17	B	1	PD21NOV17	3158.00	3237.00	USD	1,975.00	
21AUG17	B	1	PD21NOV17	3154.00	3237.00	USD	2,075.00	
21AUG17	B	2	PD21NOV17	3155.50	3237.00	USD	4,075.00	
21AUG17	B	1	PD21NOV17	3143.00	3237.00	USD	2,350.00	
21AUG17	B	2	PD21NOV17	3141.50	3237.00	USD	4,775.00	
21AUG17	B	1	PD21NOV17	3139.00	3237.00	USD	2,450.00	
21AUG17	B	1	PD21NOV17	3152.50	3237.00	USD	2,112.50	
21AUG17	B	1	PD21NOV17	3145.50	3237.00	USD	2,287.50	
21AUG17	B	1	PD21NOV17	3146.00	3237.00	USD	2,275.00	
21AUG17	S	1	PD21NOV17	3151.50	3237.00	USD	-2,137.50	
21AUG17	B	2	PD21NOV17	3147.00	3237.00	USD	4,500.00	
21AUG17	S	1	PD21NOV17	3152.00	3237.00	USD	-2,125.00	
21AUG17	S	1	PD21NOV17	3137.00	3237.00	USD	-2,500.00	
21AUG17	B	1	PD21NOV17	3132.00	3237.00	USD	2,625.00	
21AUG17	S	1	PD21NOV17	3140.00	3237.00	USD	-2,425.00	
21AUG17	S	1	PD21NOV17	3142.00	3237.00	USD	-2,375.00	
21AUG17	S	1	PD21NOV17	3153.00	3237.00	USD	-2,100.00	
21AUG17	S	1	PD21NOV17	3171.50	3237.00	USD	-1,637.50	
21AUG17	S	1	PD21NOV17	3172.00	3237.00	USD	-1,625.00	
21AUG17	S	1	PD21NOV17	3134.50	3237.00	USD	-2,562.50	
21AUG17	B	2	PD21NOV17	3129.00	3237.00	USD	5,400.00	
21AUG17	S	1	PD21NOV17	3150.00	3237.00	USD	-2,175.00	
21AUG17	S	1	PD21NOV17	3137.50	3237.00	USD	-2,487.50	
21AUG17	S	1	PD21NOV17	3131.00	3237.00	USD	-2,650.00	
21AUG17	S	2	PD21NOV17	3151.00	3237.00	USD	-4,300.00	
21AUG17	S	1	PD21NOV17	3144.00	3237.00	USD	-2,325.00	
21AUG17	S	2	PD21NOV17	3143.50	3237.00	USD	-4,675.00	
21AUG17	S	2	PD21NOV17	3152.50	3237.00	USD	-4,225.00	
21AUG17	S	2	PD21NOV17	3158.00	3237.00	USD	-3,950.00	
21AUG17	S	2	PD21NOV17	3156.50	3237.00	USD	-4,025.00	
21AUG17	B	3	PD21NOV17	3144.00	3237.00	USD	6,975.00	
21AUG17	B	1	PD21NOV17	3137.50	3237.00	USD	2,487.50	
21AUG17	B	4	PD21NOV17	3141.00	3237.00	USD	9,600.00	
21AUG17	B	1	PD21NOV17	3140.50	3237.00	USD	2,412.50	
21AUG17	B	3	PD21NOV17	3138.00	3237.00	USD	7,425.00	
21AUG17	B	2	PD21NOV17	3139.50	3237.00	USD	4,875.00	
21AUG17	B	5	PD21NOV17	3128.00	3237.00	USD	13,625.00	
21AUG17	S	2	PD21NOV17	3129.50	3237.00	USD	-5,375.00	
21AUG17	B	2	PD21NOV17	3124.00	3237.00	USD	5,650.00	
21AUG17	B	2	PD21NOV17	3122.50	3237.00	USD	5,725.00	
21AUG17	B	3	PD21NOV17	3125.50	3237.00	USD	8,362.50	
21AUG17	S	7	PD21NOV17	3140.00	3237.00	USD	-16,975.00	
21AUG17	S	3	PD21NOV17	3141.00	3237.00	USD	-7,200.00	
21AUG17	S	4	PD21NOV17	3141.50	3237.00	USD	-9,550.00	
21AUG17	S	1	PD21NOV17	3139.00	3237.00	USD	-2,450.00	
21AUG17	S	4	PD21NOV17	3139.50	3237.00	USD	-9,750.00	
21AUG17	S	2	PD21NOV17	3138.00	3237.00	USD	-4,950.00	
21AUG17	S	2	PD21NOV17	3138.50	3237.00	USD	-4,925.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21AUG17	S	1	PD21NOV17		3136.00	3237.00	USD	-2,525.00	
21AUG17	B	3	PD21NOV17		3129.50	3237.00	USD	8,062.50	
21AUG17	S	5	PD21NOV17		3131.50	3237.00	USD	-13,187.50	
21AUG17	B	2	PD21NOV17		3134.50	3237.00	USD	5,125.00	
21AUG17	B	1	PD21NOV17		3128.50	3237.00	USD	2,712.50	
21AUG17	B	2	PD21NOV17		3126.00	3237.00	USD	5,550.00	
21AUG17	B	2	PD21NOV17		3124.50	3237.00	USD	5,625.00	
21AUG17	B	3	PD21NOV17		3122.00	3237.00	USD	8,625.00	
21AUG17	S	1	PD21NOV17		3116.50	3237.00	USD	-3,012.50	
21AUG17	B	1	PD21NOV17		3128.00	3237.00	USD	2,725.00	
21AUG17	S	1	PD21NOV17		3124.50	3237.00	USD	-2,812.50	
06SEP17	B	1	PD21NOV17		3092.29	3237.00	USD	3,617.75	
	NET	0	AVG BGHT 3137.66	SOLD 3146.60				14,967.75	0.00
22AUG17	S	2	PD22NOV17		3121.00	3236.00	USD	-5,750.00	
22AUG17	S	3	PD22NOV17		3123.00	3236.00	USD	-8,475.00	
22AUG17	S	1	PD22NOV17		3124.50	3236.00	USD	-2,787.50	
22AUG17	S	1	PD22NOV17		3134.00	3236.00	USD	-2,550.00	
22AUG17	B	2	PD22NOV17		3143.50	3236.00	USD	4,625.00	
22AUG17	B	3	PD22NOV17		3145.50	3236.00	USD	6,787.50	
22AUG17	S	1	PD22NOV17		3132.50	3236.00	USD	-2,587.50	
22AUG17	S	2	PD22NOV17		3130.50	3236.00	USD	-5,275.00	
22AUG17	S	1	PD22NOV17		3137.50	3236.00	USD	-2,462.50	
22AUG17	S	3	PD22NOV17		3138.00	3236.00	USD	-7,350.00	
22AUG17	B	2	PD22NOV17		3140.00	3236.00	USD	4,800.00	
22AUG17	S	1	PD22NOV17		3143.50	3236.00	USD	-2,312.50	
22AUG17	S	1	PD22NOV17		3142.00	3236.00	USD	-2,350.00	
22AUG17	S	3	PD22NOV17		3143.00	3236.00	USD	-6,975.00	
22AUG17	S	2	PD22NOV17		3144.50	3236.00	USD	-4,575.00	
22AUG17	S	2	PD22NOV17		3144.00	3236.00	USD	-4,600.00	
22AUG17	S	2	PD22NOV17		3146.00	3236.00	USD	-4,500.00	
22AUG17	S	2	PD22NOV17		3148.50	3236.00	USD	-4,375.00	
22AUG17	S	1	PD22NOV17		3149.50	3236.00	USD	-2,162.50	
22AUG17	S	1	PD22NOV17		3150.00	3236.00	USD	-2,150.00	
22AUG17	S	1	PD22NOV17		3151.50	3236.00	USD	-2,112.50	
22AUG17	S	2	PD22NOV17		3158.50	3236.00	USD	-3,875.00	
22AUG17	S	1	PD22NOV17		3160.00	3236.00	USD	-1,900.00	
22AUG17	S	1	PD22NOV17		3160.50	3236.00	USD	-1,887.50	
22AUG17	S	1	PD22NOV17		3163.00	3236.00	USD	-1,825.00	
22AUG17	S	1	PD22NOV17		3163.50	3236.00	USD	-1,812.50	
22AUG17	S	1	PD22NOV17		3164.00	3236.00	USD	-1,800.00	
22AUG17	S	4	PD22NOV17		3162.00	3236.00	USD	-7,400.00	
22AUG17	B	3	PD22NOV17		3149.00	3236.00	USD	6,525.00	
22AUG17	B	2	PD22NOV17		3148.00	3236.00	USD	4,400.00	
22AUG17	B	2	PD22NOV17		3145.00	3236.00	USD	4,550.00	
22AUG17	B	3	PD22NOV17		3143.00	3236.00	USD	6,975.00	
22AUG17	B	1	PD22NOV17		3148.50	3236.00	USD	2,187.50	
22AUG17	S	1	PD22NOV17		3153.50	3236.00	USD	-2,062.50	
22AUG17	B	1	PD22NOV17		3155.50	3236.00	USD	2,012.50	
22AUG17	B	1	PD22NOV17		3144.00	3236.00	USD	2,300.00	
22AUG17	S	1	PD22NOV17		3133.00	3236.00	USD	-2,575.00	
22AUG17	S	1	PD22NOV17		3147.00	3236.00	USD	-2,225.00	
22AUG17	S	1	PD22NOV17		3158.50	3236.00	USD	-1,937.50	
22AUG17	S	1	PD22NOV17		3155.00	3236.00	USD	-2,025.00	
22AUG17	S	1	PD22NOV17		3164.50	3236.00	USD	-1,787.50	
22AUG17	B	1	PD22NOV17		3157.50	3236.00	USD	1,962.50	
22AUG17	B	4	PD22NOV17		3146.50	3236.00	USD	8,950.00	
22AUG17	B	1	PD22NOV17		3142.00	3236.00	USD	2,350.00	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	3137.50	3236.00	USD	2,462.50	
22AUG17	S	1	PD22NOV17	3133.50	3236.00	USD	-2,562.50	
22AUG17	B	1	PD22NOV17	3127.50	3236.00	USD	2,712.50	
22AUG17	B	1	PD22NOV17	3129.00	3236.00	USD	2,675.00	
22AUG17	B	1	PD22NOV17	3134.50	3236.00	USD	2,537.50	
22AUG17	B	1	PD22NOV17	3126.50	3236.00	USD	2,737.50	
22AUG17	B	1	PD22NOV17	3124.50	3236.00	USD	2,787.50	
22AUG17	B	1	PD22NOV17	3121.50	3236.00	USD	2,862.50	
22AUG17	B	2	PD22NOV17	3122.50	3236.00	USD	5,675.00	
22AUG17	S	1	PD22NOV17	3130.00	3236.00	USD	-2,650.00	
22AUG17	S	1	PD22NOV17	3131.00	3236.00	USD	-2,625.00	
22AUG17	B	2	PD22NOV17	3125.50	3236.00	USD	5,525.00	
22AUG17	B	1	PD22NOV17	3128.50	3236.00	USD	2,687.50	
22AUG17	B	2	PD22NOV17	3131.00	3236.00	USD	5,250.00	
22AUG17	B	2	PD22NOV17	3131.50	3236.00	USD	5,225.00	
22AUG17	B	1	PD22NOV17	3126.00	3236.00	USD	2,750.00	
22AUG17	B	5	PD22NOV17	3123.50	3236.00	USD	14,062.50	
22AUG17	S	2	PD22NOV17	3120.00	3236.00	USD	-5,800.00	
22AUG17	B	1	PD22NOV17	3120.50	3236.00	USD	2,887.50	
22AUG17	B	1	PD22NOV17	3124.00	3236.00	USD	2,800.00	
22AUG17	B	1	PD22NOV17	3116.50	3236.00	USD	2,987.50	
22AUG17	S	1	PD22NOV17	3127.00	3236.00	USD	-2,725.00	
22AUG17	B	3	PD22NOV17	3122.00	3236.00	USD	8,550.00	
22AUG17	B	3	PD22NOV17	3121.00	3236.00	USD	8,625.00	
22AUG17	B	2	PD22NOV17	3119.50	3236.00	USD	5,825.00	
22AUG17	S	1	PD22NOV17	3116.50	3236.00	USD	-2,987.50	
22AUG17	B	1	PD22NOV17	3115.00	3236.00	USD	3,025.00	
22AUG17	S	1	PD22NOV17	3112.50	3236.00	USD	-3,087.50	
22AUG17	S	2	PD22NOV17	3113.50	3236.00	USD	-6,125.00	
22AUG17	B	1	PD22NOV17	3117.50	3236.00	USD	2,962.50	
22AUG17	B	1	PD22NOV17	3115.50	3236.00	USD	3,012.50	
22AUG17	B	1	PD22NOV17	3114.00	3236.00	USD	3,050.00	
22AUG17	B	1	PD22NOV17	3112.50	3236.00	USD	3,087.50	
22AUG17	B	1	PD22NOV17	3111.50	3236.00	USD	3,112.50	
22AUG17	B	1	PD22NOV17	3103.00	3236.00	USD	3,325.00	
22AUG17	B	1	PD22NOV17	3102.00	3236.00	USD	3,350.00	
22AUG17	B	1	PD22NOV17	3101.00	3236.00	USD	3,375.00	
22AUG17	B	1	PD22NOV17	3151.00	3236.00	USD	2,125.00	
22AUG17	S	1	PD22NOV17	3116.00	3236.00	USD	-3,000.00	
22AUG17	S	2	PD22NOV17	3115.50	3236.00	USD	-6,025.00	
22AUG17	S	2	PD22NOV17	3115.00	3236.00	USD	-6,050.00	
22AUG17	S	1	PD22NOV17	3123.50	3236.00	USD	-2,812.50	
22AUG17	S	4	PD22NOV17	3121.50	3236.00	USD	-11,450.00	
29AUG17	S	1	PD22NOV17	3108.23	3236.00	USD	-3,194.25	
29AUG17	S	1	PD22NOV17	3108.43	3236.00	USD	-3,189.25	
	NET	0AVG BGHT 3131.38	SOLD 3137.02				9,729.00	0.00
23AUG17	S	2	PD24NOV17	3118.50	3235.00	USD	-5,825.00	
23AUG17	S	2	PD24NOV17	3119.50	3235.00	USD	-5,775.00	
23AUG17	S	1	PD24NOV17	3118.00	3235.00	USD	-2,925.00	
23AUG17	S	1	PD24NOV17	3116.50	3235.00	USD	-2,962.50	
23AUG17	S	2	PD24NOV17	3119.00	3235.00	USD	-5,800.00	
23AUG17	S	1	PD24NOV17	3117.00	3235.00	USD	-2,950.00	
23AUG17	S	1	PD24NOV17	3120.50	3235.00	USD	-2,862.50	
23AUG17	S	2	PD24NOV17	3129.00	3235.00	USD	-5,300.00	
23AUG17	S	2	PD24NOV17	3130.00	3235.00	USD	-5,250.00	
23AUG17	S	1	PD24NOV17	3131.00	3235.00	USD	-2,600.00	
23AUG17	S	1	PD24NOV17	3134.50	3235.00	USD	-2,512.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	4	PD24NOV17	3135.00	3235.00	USD	-10,000.00	
23AUG17	B	1	PD24NOV17	3130.50	3235.00	USD	2,612.50	
23AUG17	B	1	PD24NOV17	3128.00	3235.00	USD	2,675.00	
23AUG17	B	1	PD24NOV17	3127.00	3235.00	USD	2,700.00	
23AUG17	B	1	PD24NOV17	3126.00	3235.00	USD	2,725.00	
23AUG17	B	1	PD24NOV17	3125.00	3235.00	USD	2,750.00	
23AUG17	S	6	PD24NOV17	3124.00	3235.00	USD	-16,650.00	
23AUG17	S	1	PD24NOV17	3123.50	3235.00	USD	-2,787.50	
23AUG17	S	4	PD24NOV17	3123.00	3235.00	USD	-11,200.00	
23AUG17	S	2	PD24NOV17	3127.00	3235.00	USD	-5,400.00	
23AUG17	S	6	PD24NOV17	3127.50	3235.00	USD	-16,125.00	
23AUG17	S	4	PD24NOV17	3124.50	3235.00	USD	-11,050.00	
23AUG17	S	2	PD24NOV17	3126.50	3235.00	USD	-5,425.00	
23AUG17	S	7	PD24NOV17	3128.00	3235.00	USD	-18,725.00	
23AUG17	S	2	PD24NOV17	3125.50	3235.00	USD	-5,475.00	
23AUG17	S	3	PD24NOV17	3126.00	3235.00	USD	-8,175.00	
23AUG17	S	1	PD24NOV17	3121.50	3235.00	USD	-2,837.50	
23AUG17	S	1	PD24NOV17	3120.00	3235.00	USD	-2,875.00	
23AUG17	B	1	PD24NOV17	3123.00	3235.00	USD	2,800.00	
23AUG17	B	2	PD24NOV17	3130.00	3235.00	USD	5,250.00	
23AUG17	S	2	PD24NOV17	3141.00	3235.00	USD	-4,700.00	
23AUG17	S	1	PD24NOV17	3142.00	3235.00	USD	-2,325.00	
23AUG17	S	2	PD24NOV17	3143.00	3235.00	USD	-4,600.00	
23AUG17	S	1	PD24NOV17	3144.50	3235.00	USD	-2,262.50	
23AUG17	S	1	PD24NOV17	3145.00	3235.00	USD	-2,250.00	
23AUG17	S	2	PD24NOV17	3146.00	3235.00	USD	-4,450.00	
23AUG17	S	3	PD24NOV17	3143.50	3235.00	USD	-6,862.50	
23AUG17	B	1	PD24NOV17	3135.00	3235.00	USD	2,500.00	
23AUG17	B	1	PD24NOV17	3139.50	3235.00	USD	2,387.50	
23AUG17	B	1	PD24NOV17	3138.00	3235.00	USD	2,425.00	
23AUG17	B	1	PD24NOV17	3122.50	3235.00	USD	2,812.50	
23AUG17	B	1	PD24NOV17	3129.50	3235.00	USD	2,637.50	
23AUG17	B	1	PD24NOV17	3132.00	3235.00	USD	2,575.00	
23AUG17	B	2	PD24NOV17	3124.50	3235.00	USD	5,525.00	
23AUG17	B	3	PD24NOV17	3121.50	3235.00	USD	8,512.50	
23AUG17	B	3	PD24NOV17	3122.50	3235.00	USD	8,437.50	
23AUG17	B	3	PD24NOV17	3122.00	3235.00	USD	8,475.00	
23AUG17	B	2	PD24NOV17	3121.00	3235.00	USD	5,700.00	
23AUG17	B	6	PD24NOV17	3120.50	3235.00	USD	17,175.00	
23AUG17	B	3	PD24NOV17	3118.50	3235.00	USD	8,737.50	
23AUG17	B	3	PD24NOV17	3120.00	3235.00	USD	8,625.00	
23AUG17	B	6	PD24NOV17	3119.50	3235.00	USD	17,325.00	
23AUG17	B	5	PD24NOV17	3119.00	3235.00	USD	14,500.00	
23AUG17	B	3	PD24NOV17	3118.00	3235.00	USD	8,775.00	
23AUG17	B	3	PD24NOV17	3117.50	3235.00	USD	8,812.50	
23AUG17	B	3	PD24NOV17	3117.00	3235.00	USD	8,850.00	
23AUG17	B	1	PD24NOV17	3115.50	3235.00	USD	2,987.50	
23AUG17	B	2	PD24NOV17	3116.50	3235.00	USD	5,925.00	
23AUG17	B	1	PD24NOV17	3114.00	3235.00	USD	3,025.00	
23AUG17	B	3	PD24NOV17	3115.00	3235.00	USD	9,000.00	
23AUG17	B	1	PD24NOV17	3116.00	3235.00	USD	2,975.00	
23AUG17	B	1	PD24NOV17	3114.50	3235.00	USD	3,012.50	
23AUG17	B	2	PD24NOV17	3113.50	3235.00	USD	6,075.00	
23AUG17	B	2	PD24NOV17	3109.50	3235.00	USD	6,275.00	
23AUG17	B	2	PD24NOV17	3108.00	3235.00	USD	6,350.00	
23AUG17	B	2	PD24NOV17	3107.00	3235.00	USD	6,400.00	
23AUG17	B	2	PD24NOV17	3105.50	3235.00	USD	6,475.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	3100.50	3235.00	USD	-3,362.50	
23AUG17	B	2	PD24NOV17	3100.50	3235.00	USD	6,725.00	
23AUG17	B	1	PD24NOV17	3097.00	3235.00	USD	3,450.00	
23AUG17	B	2	PD24NOV17	3096.50	3235.00	USD	6,925.00	
23AUG17	B	1	PD24NOV17	3087.50	3235.00	USD	3,687.50	
23AUG17	S	1	PD24NOV17	3104.50	3235.00	USD	-3,262.50	
23AUG17	S	3	PD24NOV17	3105.00	3235.00	USD	-9,750.00	
23AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
23AUG17	S	2	PD24NOV17	3111.00	3235.00	USD	-6,200.00	
23AUG17	B	1	PD24NOV17	3094.50	3235.00	USD	3,512.50	
23AUG17	B	2	PD24NOV17	3093.50	3235.00	USD	7,075.00	
23AUG17	B	2	PD24NOV17	3094.00	3235.00	USD	7,050.00	
23AUG17	S	1	PD24NOV17	3098.00	3235.00	USD	-3,425.00	
23AUG17	S	5	PD24NOV17	3107.50	3235.00	USD	-15,937.50	
23AUG17	B	1	PD24NOV17	3101.50	3235.00	USD	3,337.50	
23AUG17	B	2	PD24NOV17	3103.00	3235.00	USD	6,600.00	
23AUG17	S	3	PD24NOV17	3109.00	3235.00	USD	-9,450.00	
23AUG17	B	1	PD24NOV17	3098.50	3235.00	USD	3,412.50	
23AUG17	B	2	PD24NOV17	3099.50	3235.00	USD	6,775.00	
23AUG17	B	3	PD24NOV17	3102.50	3235.00	USD	9,937.50	
23AUG17	B	3	PD24NOV17	3099.00	3235.00	USD	10,200.00	
23AUG17	B	3	PD24NOV17	3098.00	3235.00	USD	10,275.00	
24AUG17	S	2	PD24NOV17	3120.50	3235.00	USD	-5,725.00	
24AUG17	B	1	PD24NOV17	3111.50	3235.00	USD	3,087.50	
24AUG17	B	2	PD24NOV17	3101.50	3235.00	USD	6,675.00	
24AUG17	S	2	PD24NOV17	3115.00	3235.00	USD	-6,000.00	
24AUG17	S	5	PD24NOV17	3116.50	3235.00	USD	-14,812.50	
24AUG17	S	1	PD24NOV17	3117.50	3235.00	USD	-2,937.50	
24AUG17	S	5	PD24NOV17	3116.00	3235.00	USD	-14,875.00	
24AUG17	S	2	PD24NOV17	3114.50	3235.00	USD	-6,025.00	
24AUG17	S	5	PD24NOV17	3109.00	3235.00	USD	-15,750.00	
24AUG17	S	3	PD24NOV17	3109.50	3235.00	USD	-9,412.50	
24AUG17	B	2	PD24NOV17	3099.50	3235.00	USD	6,775.00	
24AUG17	B	1	PD24NOV17	3103.00	3235.00	USD	3,300.00	
24AUG17	B	1	PD24NOV17	3102.50	3235.00	USD	3,312.50	
24AUG17	S	1	PD24NOV17	3118.50	3235.00	USD	-2,912.50	
24AUG17	B	1	PD24NOV17	3102.00	3235.00	USD	3,325.00	
24AUG17	B	2	PD24NOV17	3104.00	3235.00	USD	6,550.00	
24AUG17	B	2	PD24NOV17	3106.50	3235.00	USD	6,425.00	
24AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
24AUG17	B	1	PD24NOV17	3104.50	3235.00	USD	3,262.50	
24AUG17	B	1	PD24NOV17	3099.00	3235.00	USD	3,400.00	
24AUG17	S	5	PD24NOV17	3118.00	3235.00	USD	-14,625.00	
24AUG17	S	2	PD24NOV17	3110.00	3235.00	USD	-6,250.00	
24AUG17	S	3	PD24NOV17	3112.00	3235.00	USD	-9,225.00	
24AUG17	S	4	PD24NOV17	3111.50	3235.00	USD	-12,350.00	
24AUG17	S	1	PD24NOV17	3115.50	3235.00	USD	-2,987.50	
24AUG17	S	2	PD24NOV17	3119.50	3235.00	USD	-5,775.00	
24AUG17	S	2	PD24NOV17	3121.00	3235.00	USD	-5,700.00	
24AUG17	S	1	PD24NOV17	3119.00	3235.00	USD	-2,900.00	
24AUG17	S	1	PD24NOV17	3129.00	3235.00	USD	-2,650.00	
24AUG17	B	2	PD24NOV17	3124.50	3235.00	USD	5,525.00	
24AUG17	B	2	PD24NOV17	3124.00	3235.00	USD	5,550.00	
24AUG17	B	1	PD24NOV17	3123.00	3235.00	USD	2,800.00	
24AUG17	B	1	PD24NOV17	3120.00	3235.00	USD	2,875.00	
24AUG17	B	1	PD24NOV17	3118.00	3235.00	USD	2,925.00	
24AUG17	B	1	PD24NOV17	3114.00	3235.00	USD	3,025.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	2	PD24NOV17	3112.50	3235.00	USD	6,125.00	
24AUG17	B	2	PD24NOV17	3113.00	3235.00	USD	6,100.00	
24AUG17	B	2	PD24NOV17	3113.50	3235.00	USD	6,075.00	
24AUG17	B	4	PD24NOV17	3112.00	3235.00	USD	12,300.00	
24AUG17	B	1	PD24NOV17	3109.50	3235.00	USD	3,137.50	
24AUG17	B	1	PD24NOV17	3108.50	3235.00	USD	3,162.50	
24AUG17	B	2	PD24NOV17	3108.00	3235.00	USD	6,350.00	
24AUG17	B	1	PD24NOV17	3106.00	3235.00	USD	3,225.00	
24AUG17	B	1	PD24NOV17	3105.50	3235.00	USD	3,237.50	
25AUG17	S	2	PD24NOV17	3129.00	3235.00	USD	-5,300.00	
25AUG17	S	2	PD24NOV17	3129.50	3235.00	USD	-5,275.00	
25AUG17	S	2	PD24NOV17	3130.00	3235.00	USD	-5,250.00	
25AUG17	S	1	PD24NOV17	3127.00	3235.00	USD	-2,700.00	
25AUG17	S	1	PD24NOV17	3128.50	3235.00	USD	-2,662.50	
25AUG17	S	1	PD24NOV17	3128.00	3235.00	USD	-2,675.00	
25AUG17	S	2	PD24NOV17	3135.00	3235.00	USD	-5,000.00	
25AUG17	B	1	PD24NOV17	3120.00	3235.00	USD	2,875.00	
25AUG17	B	1	PD24NOV17	3120.50	3235.00	USD	2,862.50	
25AUG17	B	1	PD24NOV17	3113.50	3235.00	USD	3,037.50	
25AUG17	B	2	PD24NOV17	3107.50	3235.00	USD	6,375.00	
25AUG17	B	1	PD24NOV17	3111.00	3235.00	USD	3,100.00	
25AUG17	B	1	PD24NOV17	3110.50	3235.00	USD	3,112.50	
25AUG17	B	3	PD24NOV17	3109.50	3235.00	USD	9,412.50	
25AUG17	S	1	PD24NOV17	3110.50	3235.00	USD	-3,112.50	
25AUG17	S	1	PD24NOV17	3108.50	3235.00	USD	-3,162.50	
25AUG17	B	1	PD24NOV17	3102.50	3235.00	USD	3,312.50	
25AUG17	B	1	PD24NOV17	3096.00	3235.00	USD	3,475.00	
25AUG17	B	1	PD24NOV17	3083.50	3235.00	USD	3,787.50	
25AUG17	S	1	PD24NOV17	3080.50	3235.00	USD	-3,862.50	
25AUG17	S	1	PD24NOV17	3082.50	3235.00	USD	-3,812.50	
25AUG17	S	1	PD24NOV17	3072.50	3235.00	USD	-4,062.50	
25AUG17	B	1	PD24NOV17	3073.00	3235.00	USD	4,050.00	
25AUG17	B	1	PD24NOV17	3072.50	3235.00	USD	4,062.50	
25AUG17	B	1	PD24NOV17	3067.00	3235.00	USD	4,200.00	
25AUG17	B	1	PD24NOV17	3065.50	3235.00	USD	4,237.50	
25AUG17	S	2	PD24NOV17	3079.00	3235.00	USD	-7,800.00	
25AUG17	S	1	PD24NOV17	3078.50	3235.00	USD	-3,912.50	
25AUG17	S	1	PD24NOV17	3082.00	3235.00	USD	-3,825.00	
25AUG17	S	1	PD24NOV17	3090.00	3235.00	USD	-3,625.00	
25AUG17	S	1	PD24NOV17	3090.50	3235.00	USD	-3,612.50	
25AUG17	S	5	PD24NOV17	3091.00	3235.00	USD	-18,000.00	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
29AUG17	B	1	PD24NOV17	3109.20	3235.00	USD	3,145.00	
	NET	0	AVG BGHT 3111.36	SOLD 3118.45			28,720.00	0.00
29AUG17	S	2	PD29NOV17	3105.00	3234.00	USD	-6,450.00	
29AUG17	S	1	PD29NOV17	3112.50	3234.00	USD	-3,037.50	
29AUG17	S	2	PD29NOV17	3099.00	3234.00	USD	-6,750.00	
29AUG17	S	2	PD29NOV17	3100.00	3234.00	USD	-6,700.00	
29AUG17	S	2	PD29NOV17	3109.00	3234.00	USD	-6,250.00	
29AUG17	S	3	PD29NOV17	3108.50	3234.00	USD	-9,412.50	
29AUG17	S	1	PD29NOV17	3104.00	3234.00	USD	-3,250.00	
29AUG17	S	2	PD29NOV17	3099.50	3234.00	USD	-6,725.00	
29AUG17	S	1	PD29NOV17	3090.50	3234.00	USD	-3,587.50	
29AUG17	S	1	PD29NOV17	3089.50	3234.00	USD	-3,612.50	
29AUG17	S	1	PD29NOV17	3096.00	3234.00	USD	-3,450.00	
29AUG17	S	1	PD29NOV17	3118.00	3234.00	USD	-2,900.00	
29AUG17	B	2	PD29NOV17	3124.00	3234.00	USD	5,500.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29AUG17	B	1	PD29NOV17		3122.00	3234.00	USD	2,800.00	
29AUG17	B	3	PD29NOV17		3122.50	3234.00	USD	8,362.50	
29AUG17	B	3	PD29NOV17		3121.50	3234.00	USD	8,437.50	
29AUG17	B	1	PD29NOV17		3117.50	3234.00	USD	2,912.50	
29AUG17	B	7	PD29NOV17		3118.50	3234.00	USD	20,212.50	
29AUG17	B	1	PD29NOV17		3116.50	3234.00	USD	2,937.50	
29AUG17	B	1	PD29NOV17		3097.50	3234.00	USD	3,412.50	
29AUG17	B	1	PD29NOV17		3099.50	3234.00	USD	3,362.50	
06SEP17	S	1	PD29NOV17		3093.05	3234.00	USD	-3,523.75	
06SEP17	S	1	PD29NOV17		3093.25	3234.00	USD	-3,518.75	
04OCT17	B	1	PD29NOV17		3305.29	3234.00	USD	-1,782.25	
	NET	0AVG	BGHT 3127.04	SOLD 3102.25				-13,012.25	0.00
30AUG17	S	1	PD30NOV17		3130.00	3233.67	USD	-2,591.75	
30AUG17	S	1	PD30NOV17		3129.00	3233.67	USD	-2,616.75	
30AUG17	S	2	PD30NOV17		3129.50	3233.67	USD	-5,208.50	
30AUG17	S	1	PD30NOV17		3127.50	3233.67	USD	-2,654.25	
30AUG17	S	1	PD30NOV17		3127.00	3233.67	USD	-2,666.75	
30AUG17	S	2	PD30NOV17		3125.50	3233.67	USD	-5,408.50	
30AUG17	S	4	PD30NOV17		3126.50	3233.67	USD	-10,717.00	
30AUG17	S	1	PD30NOV17		3142.50	3233.67	USD	-2,279.25	
30AUG17	S	1	PD30NOV17		3146.50	3233.67	USD	-2,179.25	
30AUG17	S	1	PD30NOV17		3149.50	3233.67	USD	-2,104.25	
30AUG17	B	3	PD30NOV17		3139.00	3233.67	USD	7,100.25	
30AUG17	B	2	PD30NOV17		3138.00	3233.67	USD	4,783.50	
30AUG17	B	1	PD30NOV17		3132.00	3233.67	USD	2,541.75	
30AUG17	B	1	PD30NOV17		3131.00	3233.67	USD	2,566.75	
30AUG17	S	1	PD30NOV17		3125.00	3233.67	USD	-2,716.75	
30AUG17	S	1	PD30NOV17		3128.00	3233.67	USD	-2,641.75	
30AUG17	S	1	PD30NOV17		3129.50	3233.67	USD	-2,604.25	
30AUG17	S	1	PD30NOV17		3125.50	3233.67	USD	-2,704.25	
30AUG17	S	1	PD30NOV17		3124.00	3233.67	USD	-2,741.75	
30AUG17	S	1	PD30NOV17		3115.00	3233.67	USD	-2,966.75	
30AUG17	S	1	PD30NOV17		3119.00	3233.67	USD	-2,866.75	
30AUG17	S	1	PD30NOV17		3115.50	3233.67	USD	-2,954.25	
30AUG17	B	1	PD30NOV17		3101.50	3233.67	USD	3,304.25	
30AUG17	S	1	PD30NOV17		3110.50	3233.67	USD	-3,079.25	
30AUG17	B	1	PD30NOV17		3113.00	3233.67	USD	3,016.75	
30AUG17	B	1	PD30NOV17		3112.50	3233.67	USD	3,029.25	
30AUG17	B	1	PD30NOV17		3108.50	3233.67	USD	3,129.25	
30AUG17	B	1	PD30NOV17		3101.00	3233.67	USD	3,316.75	
30AUG17	B	1	PD30NOV17		3098.00	3233.67	USD	3,391.75	
30AUG17	B	1	PD30NOV17		3097.00	3233.67	USD	3,416.75	
30AUG17	B	1	PD30NOV17		3095.00	3233.67	USD	3,466.75	
30AUG17	B	1	PD30NOV17		3095.50	3233.67	USD	3,454.25	
30AUG17	B	6	PD30NOV17		3094.00	3233.67	USD	20,950.50	
30AUG17	B	1	PD30NOV17		3094.00	3233.67	USD	3,491.75	
31AUG17	S	2	PD30NOV17		3109.50	3233.67	USD	-6,208.50	
31AUG17	S	1	PD30NOV17		3106.00	3233.67	USD	-3,191.75	
31AUG17	B	1	PD30NOV17		3094.50	3233.67	USD	3,479.25	
31AUG17	S	1	PD30NOV17		3098.50	3233.67	USD	-3,379.25	
31AUG17	S	4	PD30NOV17		3099.50	3233.67	USD	-13,417.00	
31AUG17	S	2	PD30NOV17		3102.50	3233.67	USD	-6,558.50	
31AUG17	S	2	PD30NOV17		3105.00	3233.67	USD	-6,433.50	
31AUG17	S	2	PD30NOV17		3105.50	3233.67	USD	-6,408.50	
31AUG17	S	2	PD30NOV17		3106.50	3233.67	USD	-6,358.50	
31AUG17	S	1	PD30NOV17		3120.00	3233.67	USD	-2,841.75	
31AUG17	S	2	PD30NOV17		3120.50	3233.67	USD	-5,658.50	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	3118.50	3233.67	USD	-2,879.25	
31AUG17	S	1	PD30NOV17	3119.00	3233.67	USD	-2,866.75	
31AUG17	S	1	PD30NOV17	3119.50	3233.67	USD	-2,854.25	
31AUG17	S	3	PD30NOV17	3114.00	3233.67	USD	-8,975.25	
31AUG17	S	1	PD30NOV17	3114.50	3233.67	USD	-2,979.25	
31AUG17	S	2	PD30NOV17	3115.00	3233.67	USD	-5,933.50	
31AUG17	S	2	PD30NOV17	3116.00	3233.67	USD	-5,883.50	
31AUG17	S	1	PD30NOV17	3116.50	3233.67	USD	-2,929.25	
31AUG17	S	1	PD30NOV17	3117.50	3233.67	USD	-2,904.25	
31AUG17	S	1	PD30NOV17	3121.50	3233.67	USD	-2,804.25	
31AUG17	S	1	PD30NOV17	3122.00	3233.67	USD	-2,791.75	
31AUG17	S	1	PD30NOV17	3122.50	3233.67	USD	-2,779.25	
31AUG17	S	1	PD30NOV17	3124.00	3233.67	USD	-2,741.75	
31AUG17	S	2	PD30NOV17	3125.00	3233.67	USD	-5,433.50	
31AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	S	1	PD30NOV17	3128.50	3233.67	USD	-2,629.25	
31AUG17	S	1	PD30NOV17	3132.00	3233.67	USD	-2,541.75	
31AUG17	B	1	PD30NOV17	3130.50	3233.67	USD	2,579.25	
31AUG17	B	1	PD30NOV17	3157.50	3233.67	USD	1,904.25	
31AUG17	B	1	PD30NOV17	3159.00	3233.67	USD	1,866.75	
31AUG17	B	2	PD30NOV17	3158.00	3233.67	USD	3,783.50	
31AUG17	B	1	PD30NOV17	3157.00	3233.67	USD	1,916.75	
31AUG17	B	1	PD30NOV17	3155.00	3233.67	USD	1,966.75	
31AUG17	B	1	PD30NOV17	3152.00	3233.67	USD	2,041.75	
31AUG17	B	1	PD30NOV17	3150.00	3233.67	USD	2,091.75	
31AUG17	B	1	PD30NOV17	3149.00	3233.67	USD	2,116.75	
31AUG17	B	3	PD30NOV17	3148.50	3233.67	USD	6,387.75	
31AUG17	B	3	PD30NOV17	3148.00	3233.67	USD	6,425.25	
31AUG17	B	1	PD30NOV17	3142.50	3233.67	USD	2,279.25	
31AUG17	B	1	PD30NOV17	3135.00	3233.67	USD	2,466.75	
31AUG17	B	1	PD30NOV17	3134.50	3233.67	USD	2,479.25	
31AUG17	B	3	PD30NOV17	3134.00	3233.67	USD	7,475.25	
31AUG17	B	2	PD30NOV17	3132.50	3233.67	USD	5,058.50	
31AUG17	B	1	PD30NOV17	3147.00	3233.67	USD	2,166.75	
31AUG17	B	1	PD30NOV17	3137.50	3233.67	USD	2,404.25	
31AUG17	B	1	PD30NOV17	3137.00	3233.67	USD	2,416.75	
31AUG17	B	1	PD30NOV17	3136.00	3233.67	USD	2,441.75	
31AUG17	B	2	PD30NOV17	3147.50	3233.67	USD	4,308.50	
31AUG17	B	1	PD30NOV17	3152.50	3233.67	USD	2,029.25	
06SEP17	B	1	PD30NOV17	3093.70	3233.67	USD	3,499.25	
06SEP17	B	10	PD30NOV17	3093.50	3233.67	USD	35,042.50	
	NET	0AVG BGHT 3123.62	SOLD 3118.82				-7,917.50	0.00
01SEP17	B	4	PD01DEC17	3144.50	3233.33	USD	8,883.00	
01SEP17	B	3	PD01DEC17	3145.00	3233.33	USD	6,624.75	
01SEP17	S	2	PD01DEC17	3155.50	3233.33	USD	-3,891.50	
01SEP17	S	1	PD01DEC17	3156.00	3233.33	USD	-1,933.25	
01SEP17	B	1	PD01DEC17	3152.00	3233.33	USD	2,033.25	
01SEP17	S	1	PD01DEC17	3159.00	3233.33	USD	-1,858.25	
01SEP17	S	1	PD01DEC17	3159.50	3233.33	USD	-1,845.75	
01SEP17	S	1	PD01DEC17	3172.00	3233.33	USD	-1,533.25	
01SEP17	S	1	PD01DEC17	3168.50	3233.33	USD	-1,620.75	
01SEP17	S	1	PD01DEC17	3165.00	3233.33	USD	-1,708.25	
01SEP17	B	3	PD01DEC17	3155.50	3233.33	USD	5,837.25	
01SEP17	B	1	PD01DEC17	3157.50	3233.33	USD	1,895.75	
01SEP17	B	3	PD01DEC17	3145.00	3233.33	USD	6,624.75	
01SEP17	B	2	PD01DEC17	3147.00	3233.33	USD	4,316.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	3151.00	3233.33	USD	2,058.25	
01SEP17	B	1	PD01DEC17	3139.00	3233.33	USD	2,358.25	
01SEP17	B	2	PD01DEC17	3139.50	3233.33	USD	4,691.50	
01SEP17	S	1	PD01DEC17	3152.50	3233.33	USD	-2,020.75	
01SEP17	S	1	PD01DEC17	3153.50	3233.33	USD	-1,995.75	
01SEP17	S	1	PD01DEC17	3154.50	3233.33	USD	-1,970.75	
01SEP17	S	1	PD01DEC17	3155.00	3233.33	USD	-1,958.25	
01SEP17	S	4	PD01DEC17	3156.50	3233.33	USD	-7,683.00	
01SEP17	S	1	PD01DEC17	3158.00	3233.33	USD	-1,883.25	
01SEP17	S	1	PD01DEC17	3164.00	3233.33	USD	-1,733.25	
01SEP17	S	1	PD01DEC17	3162.50	3233.33	USD	-1,770.75	
01SEP17	S	1	PD01DEC17	3162.00	3233.33	USD	-1,783.25	
01SEP17	S	1	PD01DEC17	3160.50	3233.33	USD	-1,820.75	
01SEP17	S	3	PD01DEC17	3163.50	3233.33	USD	-5,237.25	
01SEP17	S	2	PD01DEC17	3157.50	3233.33	USD	-3,791.50	
01SEP17	S	1	PD01DEC17	3158.50	3233.33	USD	-1,870.75	
01SEP17	B	1	PD01DEC17	3174.50	3233.33	USD	1,470.75	
01SEP17	B	1	PD01DEC17	3173.50	3233.33	USD	1,495.75	
01SEP17	B	1	PD01DEC17	3173.00	3233.33	USD	1,508.25	
01SEP17	S	1	PD01DEC17	3178.50	3233.33	USD	-1,370.75	
01SEP17	S	1	PD01DEC17	3179.00	3233.33	USD	-1,358.25	
01SEP17	B	1	PD01DEC17	3177.00	3233.33	USD	1,408.25	
01SEP17	B	3	PD01DEC17	3171.50	3233.33	USD	4,637.25	
01SEP17	S	1	PD01DEC17	3181.50	3233.33	USD	-1,295.75	
01SEP17	S	1	PD01DEC17	3183.00	3233.33	USD	-1,258.25	
01SEP17	S	1	PD01DEC17	3184.50	3233.33	USD	-1,220.75	
01SEP17	S	2	PD01DEC17	3184.00	3233.33	USD	-2,466.50	
01SEP17	B	2	PD01DEC17	3189.50	3233.33	USD	2,191.50	
01SEP17	B	3	PD01DEC17	3190.00	3233.33	USD	3,249.75	
01SEP17	B	1	PD01DEC17	3190.50	3233.33	USD	1,070.75	
01SEP17	B	1	PD01DEC17	3191.50	3233.33	USD	1,045.75	
01SEP17	B	2	PD01DEC17	3193.50	3233.33	USD	1,991.50	
01SEP17	B	1	PD01DEC17	3194.00	3233.33	USD	983.25	
01SEP17	B	1	PD01DEC17	3200.50	3233.33	USD	820.75	
01SEP17	B	1	PD01DEC17	3207.50	3233.33	USD	645.75	
01SEP17	B	2	PD01DEC17	3209.00	3233.33	USD	1,216.50	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	B	1	PD01DEC17	3201.00	3233.33	USD	808.25	
06SEP17	S	10	PD01DEC17	3093.55	3233.33	USD	-34,945.00	
	NET	0AVG BGHT 3169.24	SOLD 3148.03				-23,325.00	0.00
04SEP17	S	2	PD04DEC17	3196.50	3233.00	USD	-1,825.00	
04SEP17	B	1	PD04DEC17	3199.50	3233.00	USD	837.50	
04SEP17	B	1	PD04DEC17	3200.50	3233.00	USD	812.50	
04SEP17	S	2	PD04DEC17	3194.00	3233.00	USD	-1,950.00	
04SEP17	S	1	PD04DEC17	3194.50	3233.00	USD	-962.50	
04SEP17	S	2	PD04DEC17	3195.50	3233.00	USD	-1,875.00	
04SEP17	B	1	PD04DEC17	3183.00	3233.00	USD	1,250.00	
04SEP17	B	2	PD04DEC17	3177.50	3233.00	USD	2,775.00	
04SEP17	B	1	PD04DEC17	3176.50	3233.00	USD	1,412.50	
04SEP17	B	1	PD04DEC17	3175.50	3233.00	USD	1,437.50	
04SEP17	B	1	PD04DEC17	3175.00	3233.00	USD	1,450.00	
04SEP17	S	1	PD04DEC17	3178.00	3233.00	USD	-1,375.00	
04SEP17	S	1	PD04DEC17	3180.50	3233.00	USD	-1,312.50	
04SEP17	S	1	PD04DEC17	3183.00	3233.00	USD	-1,250.00	
04SEP17	S	2	PD04DEC17	3184.00	3233.00	USD	-2,450.00	
04SEP17	S	2	PD04DEC17	3185.50	3233.00	USD	-2,375.00	
04SEP17	S	2	PD04DEC17	3192.00	3233.00	USD	-2,050.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	3	PD04DEC17	3195.00	3233.00	USD	-2,850.00	
04SEP17	S	3	PD04DEC17	3196.00	3233.00	USD	-2,775.00	
04SEP17	S	1	PD04DEC17	3197.00	3233.00	USD	-900.00	
04SEP17	B	1	PD04DEC17	3175.00	3233.00	USD	1,450.00	
04SEP17	S	1	PD04DEC17	3191.00	3233.00	USD	-1,050.00	
04SEP17	S	2	PD04DEC17	3197.50	3233.00	USD	-1,775.00	
04SEP17	S	1	PD04DEC17	3198.50	3233.00	USD	-862.50	
04SEP17	B	1	PD04DEC17	3197.50	3233.00	USD	887.50	
04SEP17	B	2	PD04DEC17	3199.00	3233.00	USD	1,700.00	
04SEP17	B	1	PD04DEC17	3198.50	3233.00	USD	862.50	
04SEP17	B	2	PD04DEC17	3207.00	3233.00	USD	1,300.00	
04SEP17	S	1	PD04DEC17	3205.50	3233.00	USD	-687.50	
04SEP17	S	1	PD04DEC17	3206.00	3233.00	USD	-675.00	
04SEP17	S	1	PD04DEC17	3207.00	3233.00	USD	-650.00	
04SEP17	S	1	PD04DEC17	3206.50	3233.00	USD	-662.50	
04SEP17	S	1	PD04DEC17	3199.00	3233.00	USD	-850.00	
04SEP17	B	2	PD04DEC17	3201.00	3233.00	USD	1,600.00	
04SEP17	B	1	PD04DEC17	3205.50	3233.00	USD	687.50	
04SEP17	B	1	PD04DEC17	3205.00	3233.00	USD	700.00	
04SEP17	B	2	PD04DEC17	3202.00	3233.00	USD	1,550.00	
04SEP17	B	2	PD04DEC17	3201.50	3233.00	USD	1,575.00	
04SEP17	B	1	PD04DEC17	3200.00	3233.00	USD	825.00	
04SEP17	B	4	PD04DEC17	3203.50	3233.00	USD	2,950.00	
04SEP17	B	2	PD04DEC17	3203.00	3233.00	USD	1,500.00	
04SEP17	B	2	PD04DEC17	3202.50	3233.00	USD	1,525.00	
	NET	0 AVG BGHT 3196.64	SOLD 3194.05				-2,075.00	0.00
05SEP17	B	1	PD05DEC17	3197.50	3232.67	USD	879.25	
05SEP17	B	4	PD05DEC17	3192.50	3232.67	USD	4,017.00	
05SEP17	B	5	PD05DEC17	3192.00	3232.67	USD	5,083.75	
05SEP17	S	1	PD05DEC17	3201.50	3232.67	USD	-779.25	
05SEP17	S	2	PD05DEC17	3203.50	3232.67	USD	-1,458.50	
05SEP17	B	2	PD05DEC17	3202.00	3232.67	USD	1,533.50	
05SEP17	S	1	PD05DEC17	3204.00	3232.67	USD	-716.75	
05SEP17	S	1	PD05DEC17	3205.50	3232.67	USD	-679.25	
05SEP17	S	1	PD05DEC17	3204.50	3232.67	USD	-704.25	
05SEP17	B	1	PD05DEC17	3194.50	3232.67	USD	954.25	
05SEP17	B	1	PD05DEC17	3195.00	3232.67	USD	941.75	
05SEP17	B	2	PD05DEC17	3194.00	3232.67	USD	1,933.50	
05SEP17	B	1	PD05DEC17	3190.50	3232.67	USD	1,054.25	
05SEP17	S	1	PD05DEC17	3192.00	3232.67	USD	-1,016.75	
05SEP17	S	2	PD05DEC17	3201.00	3232.67	USD	-1,583.50	
05SEP17	S	4	PD05DEC17	3202.00	3232.67	USD	-3,067.00	
05SEP17	B	1	PD05DEC17	3191.50	3232.67	USD	1,029.25	
05SEP17	B	1	PD05DEC17	3190.00	3232.67	USD	1,066.75	
05SEP17	B	2	PD05DEC17	3186.50	3232.67	USD	2,308.50	
05SEP17	S	1	PD05DEC17	3196.50	3232.67	USD	-904.25	
05SEP17	S	3	PD05DEC17	3197.00	3232.67	USD	-2,675.25	
05SEP17	S	1	PD05DEC17	3198.50	3232.67	USD	-854.25	
05SEP17	S	2	PD05DEC17	3198.00	3232.67	USD	-1,733.50	
05SEP17	S	3	PD05DEC17	3202.50	3232.67	USD	-2,262.75	
05SEP17	S	1	PD05DEC17	3200.00	3232.67	USD	-816.75	
05SEP17	B	3	PD05DEC17	3193.00	3232.67	USD	2,975.25	
05SEP17	B	1	PD05DEC17	3188.50	3232.67	USD	1,104.25	
05SEP17	B	1	PD05DEC17	3188.00	3232.67	USD	1,116.75	
05SEP17	B	2	PD05DEC17	3187.50	3232.67	USD	2,258.50	
05SEP17	B	1	PD05DEC17	3184.00	3232.67	USD	1,216.75	
05SEP17	S	1	PD05DEC17	3184.50	3232.67	USD	-1,204.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3179.00	3232.67	USD	1,341.75	
05SEP17	B	1	PD05DEC17	3178.50	3232.67	USD	1,354.25	
05SEP17	S	1	PD05DEC17	3194.50	3232.67	USD	-954.25	
05SEP17	S	1	PD05DEC17	3194.00	3232.67	USD	-966.75	
05SEP17	S	1	PD05DEC17	3195.00	3232.67	USD	-941.75	
05SEP17	S	1	PD05DEC17	3195.50	3232.67	USD	-929.25	
05SEP17	S	1	PD05DEC17	3191.50	3232.67	USD	-1,029.25	
05SEP17	B	3	PD05DEC17	3181.00	3232.67	USD	3,875.25	
05SEP17	B	2	PD05DEC17	3180.00	3232.67	USD	2,633.50	
05SEP17	B	1	PD05DEC17	3182.00	3232.67	USD	1,266.75	
05SEP17	S	1	PD05DEC17	3189.00	3232.67	USD	-1,091.75	
05SEP17	S	1	PD05DEC17	3186.50	3232.67	USD	-1,154.25	
05SEP17	S	4	PD05DEC17	3187.50	3232.67	USD	-4,517.00	
05SEP17	S	1	PD05DEC17	3188.00	3232.67	USD	-1,116.75	
05SEP17	S	1	PD05DEC17	3191.00	3232.67	USD	-1,041.75	
05SEP17	B	2	PD05DEC17	3167.00	3232.67	USD	3,283.50	
05SEP17	B	2	PD05DEC17	3166.50	3232.67	USD	3,308.50	
05SEP17	B	1	PD05DEC17	3161.50	3232.67	USD	1,779.25	
05SEP17	B	2	PD05DEC17	3160.50	3232.67	USD	3,608.50	
05SEP17	B	1	PD05DEC17	3157.50	3232.67	USD	1,879.25	
05SEP17	B	2	PD05DEC17	3155.00	3232.67	USD	3,883.50	
05SEP17	B	2	PD05DEC17	3155.50	3232.67	USD	3,858.50	
05SEP17	B	1	PD05DEC17	3153.50	3232.67	USD	1,979.25	
05SEP17	B	1	PD05DEC17	3152.50	3232.67	USD	2,004.25	
05SEP17	S	2	PD05DEC17	3158.50	3232.67	USD	-3,708.50	
05SEP17	S	1	PD05DEC17	3159.00	3232.67	USD	-1,841.75	
05SEP17	S	2	PD05DEC17	3160.50	3232.67	USD	-3,608.50	
05SEP17	B	1	PD05DEC17	3151.00	3232.67	USD	2,041.75	
05SEP17	B	2	PD05DEC17	3150.50	3232.67	USD	4,108.50	
05SEP17	B	1	PD05DEC17	3150.00	3232.67	USD	2,066.75	
05SEP17	B	1	PD05DEC17	3147.50	3232.67	USD	2,129.25	
05SEP17	B	1	PD05DEC17	3145.50	3232.67	USD	2,179.25	
05SEP17	B	1	PD05DEC17	3145.00	3232.67	USD	2,191.75	
05SEP17	B	2	PD05DEC17	3138.00	3232.67	USD	4,733.50	
05SEP17	B	1	PD05DEC17	3134.50	3232.67	USD	2,454.25	
05SEP17	B	1	PD05DEC17	3134.00	3232.67	USD	2,466.75	
05SEP17	S	1	PD05DEC17	3141.50	3232.67	USD	-2,279.25	
05SEP17	S	1	PD05DEC17	3143.50	3232.67	USD	-2,229.25	
05SEP17	S	1	PD05DEC17	3144.50	3232.67	USD	-2,204.25	
05SEP17	B	1	PD05DEC17	3137.00	3232.67	USD	2,391.75	
05SEP17	B	1	PD05DEC17	3135.00	3232.67	USD	2,441.75	
05SEP17	S	1	PD05DEC17	3148.50	3232.67	USD	-2,104.25	
05SEP17	B	1	PD05DEC17	3140.00	3232.67	USD	2,316.75	
05SEP17	B	1	PD05DEC17	3137.50	3232.67	USD	2,379.25	
05SEP17	S	2	PD05DEC17	3115.00	3232.67	USD	-5,883.50	
05SEP17	S	2	PD05DEC17	3092.50	3232.67	USD	-7,008.50	
05SEP17	S	1	PD05DEC17	3091.50	3232.67	USD	-3,529.25	
05SEP17	S	2	PD05DEC17	3100.00	3232.67	USD	-6,633.50	
05SEP17	S	2	PD05DEC17	3103.00	3232.67	USD	-6,483.50	
05SEP17	S	2	PD05DEC17	3103.50	3232.67	USD	-6,458.50	
05SEP17	S	2	PD05DEC17	3104.00	3232.67	USD	-6,433.50	
05SEP17	B	1	PD05DEC17	3102.50	3232.67	USD	3,254.25	
05SEP17	B	2	PD05DEC17	3101.00	3232.67	USD	6,583.50	
05SEP17	B	2	PD05DEC17	3167.00	3232.67	USD	3,283.50	
05SEP17	B	1	PD05DEC17	3152.00	3232.67	USD	2,016.75	
05SEP17	B	1	PD05DEC17	3135.00	3232.67	USD	2,441.75	
05SEP17	S	3	PD05DEC17	3102.00	3232.67	USD	-9,800.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08SEP17	S	10	PD05DEC17		3030.42	3232.67	USD	-50,562.50	
	NET	0 AVG	BGHT 3168.55	SOLD 3147.76				-37,957.50	0.00
06SEP17	S	1	PD06DEC17		3124.50	3232.33	USD	-2,695.75	
06SEP17	B	1	PD06DEC17		3114.00	3232.33	USD	2,958.25	
06SEP17	B	2	PD06DEC17		3117.00	3232.33	USD	5,766.50	
06SEP17	B	4	PD06DEC17		3115.50	3232.33	USD	11,683.00	
06SEP17	B	1	PD06DEC17		3112.50	3232.33	USD	2,995.75	
06SEP17	B	4	PD06DEC17		3111.00	3232.33	USD	12,133.00	
06SEP17	B	1	PD06DEC17		3107.50	3232.33	USD	3,120.75	
06SEP17	S	1	PD06DEC17		3106.00	3232.33	USD	-3,158.25	
06SEP17	B	1	PD06DEC17		3069.50	3232.33	USD	4,070.75	
06SEP17	B	1	PD06DEC17		3068.50	3232.33	USD	4,095.75	
06SEP17	S	2	PD06DEC17		3077.00	3232.33	USD	-7,766.50	
06SEP17	S	2	PD06DEC17		3077.50	3232.33	USD	-7,741.50	
06SEP17	S	4	PD06DEC17		3079.00	3232.33	USD	-15,333.00	
06SEP17	S	2	PD06DEC17		3080.50	3232.33	USD	-7,591.50	
06SEP17	S	1	PD06DEC17		3082.00	3232.33	USD	-3,758.25	
06SEP17	S	1	PD06DEC17		3081.50	3232.33	USD	-3,770.75	
06SEP17	S	1	PD06DEC17		3082.50	3232.33	USD	-3,745.75	
06SEP17	S	2	PD06DEC17		3083.00	3232.33	USD	-7,466.50	
06SEP17	S	1	PD06DEC17		3085.50	3232.33	USD	-3,670.75	
06SEP17	B	1	PD06DEC17		3087.50	3232.33	USD	3,620.75	
06SEP17	B	1	PD06DEC17		3086.00	3232.33	USD	3,658.25	
06SEP17	S	2	PD06DEC17		3087.00	3232.33	USD	-7,266.50	
06SEP17	S	1	PD06DEC17		3107.50	3232.33	USD	-3,120.75	
06SEP17	S	1	PD06DEC17		3107.00	3232.33	USD	-3,133.25	
06SEP17	B	1	PD06DEC17		3102.00	3232.33	USD	3,258.25	
06SEP17	B	1	PD06DEC17		3103.00	3232.33	USD	3,233.25	
06SEP17	B	2	PD06DEC17		3077.50	3232.33	USD	7,741.50	
06SEP17	B	1	PD06DEC17		3073.00	3232.33	USD	3,983.25	
06SEP17	B	2	PD06DEC17		3074.50	3232.33	USD	7,891.50	
06SEP17	B	1	PD06DEC17		3075.50	3232.33	USD	3,920.75	
06SEP17	B	2	PD06DEC17		3079.00	3232.33	USD	7,666.50	
06SEP17	S	2	PD06DEC17		3087.50	3232.33	USD	-7,241.50	
06SEP17	S	2	PD06DEC17		3082.00	3232.33	USD	-7,516.50	
06SEP17	S	1	PD06DEC17		3093.50	3232.33	USD	-3,470.75	
06SEP17	S	1	PD06DEC17		3099.50	3232.33	USD	-3,320.75	
06SEP17	S	1	PD06DEC17		3100.50	3232.33	USD	-3,295.75	
06SEP17	S	1	PD06DEC17		3101.50	3232.33	USD	-3,270.75	
06SEP17	S	1	PD06DEC17		3102.00	3232.33	USD	-3,258.25	
06SEP17	S	1	PD06DEC17		3101.00	3232.33	USD	-3,283.25	
06SEP17	S	1	PD06DEC17		3097.00	3232.33	USD	-3,383.25	
06SEP17	S	1	PD06DEC17		3090.50	3232.33	USD	-3,545.75	
06SEP17	B	1	PD06DEC17		3083.00	3232.33	USD	3,733.25	
06SEP17	B	1	PD06DEC17		3081.50	3232.33	USD	3,770.75	
06SEP17	B	1	PD06DEC17		3080.00	3232.33	USD	3,808.25	
06SEP17	B	2	PD06DEC17		3078.50	3232.33	USD	7,691.50	
06SEP17	B	3	PD06DEC17		3076.50	3232.33	USD	11,687.25	
06SEP17	S	3	PD06DEC17		3089.00	3232.33	USD	-10,749.75	
06SEP17	S	2	PD06DEC17		3091.00	3232.33	USD	-7,066.50	
06SEP17	S	2	PD06DEC17		3092.00	3232.33	USD	-7,016.50	
06SEP17	S	2	PD06DEC17		3095.00	3232.33	USD	-6,866.50	
06SEP17	B	3	PD06DEC17		3095.00	3232.33	USD	10,299.75	
06SEP17	B	1	PD06DEC17		3094.00	3232.33	USD	3,458.25	
06SEP17	B	1	PD06DEC17		3093.50	3232.33	USD	3,470.75	
06SEP17	B	2	PD06DEC17		3093.00	3232.33	USD	6,966.50	
06SEP17	B	1	PD06DEC17		3087.00	3232.33	USD	3,633.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
06SEP17	B	1	PD06DEC17		3085.00	3232.33	USD	3,683.25	
06SEP17	B	1	PD06DEC17		3083.50	3232.33	USD	3,720.75	
06SEP17	S	1	PD06DEC17		3089.50	3232.33	USD	-3,570.75	
06SEP17	S	1	PD06DEC17		3092.50	3232.33	USD	-3,495.75	
06SEP17	S	1	PD06DEC17		3088.50	3232.33	USD	-3,595.75	
06SEP17	S	1	PD06DEC17		3096.50	3232.33	USD	-3,395.75	
06SEP17	S	2	PD06DEC17		3098.00	3232.33	USD	-6,716.50	
06SEP17	S	4	PD06DEC17		3099.00	3232.33	USD	-13,333.00	
06SEP17	S	1	PD06DEC17		3100.00	3232.33	USD	-3,308.25	
06SEP17	S	1	PD06DEC17		3096.00	3232.33	USD	-3,408.25	
08SEP17	B	10	PD06DEC17		3030.81	3232.33	USD	50,380.00	
	NET	0	AVG BGHT 3080.98	SOLD 3091.00				13,772.50	0.00
07SEP17	B	3	PD07DEC17		3096.00	3232.00	USD	10,200.00	
07SEP17	B	1	PD07DEC17		3093.50	3232.00	USD	3,462.50	
07SEP17	B	1	PD07DEC17		3092.50	3232.00	USD	3,487.50	
07SEP17	B	1	PD07DEC17		3092.00	3232.00	USD	3,500.00	
07SEP17	S	1	PD07DEC17		3094.50	3232.00	USD	-3,437.50	
07SEP17	B	1	PD07DEC17		3089.50	3232.00	USD	3,562.50	
07SEP17	B	2	PD07DEC17		3089.00	3232.00	USD	7,150.00	
07SEP17	B	3	PD07DEC17		3086.00	3232.00	USD	10,950.00	
07SEP17	B	1	PD07DEC17		3085.00	3232.00	USD	3,675.00	
07SEP17	B	2	PD07DEC17		3084.50	3232.00	USD	7,375.00	
07SEP17	B	1	PD07DEC17		3084.00	3232.00	USD	3,700.00	
07SEP17	B	1	PD07DEC17		3083.50	3232.00	USD	3,712.50	
07SEP17	B	3	PD07DEC17		3083.00	3232.00	USD	11,175.00	
07SEP17	B	3	PD07DEC17		3082.00	3232.00	USD	11,250.00	
07SEP17	B	1	PD07DEC17		3081.00	3232.00	USD	3,775.00	
07SEP17	S	1	PD07DEC17		3088.50	3232.00	USD	-3,587.50	
07SEP17	S	1	PD07DEC17		3089.50	3232.00	USD	-3,562.50	
07SEP17	S	2	PD07DEC17		3090.00	3232.00	USD	-7,100.00	
07SEP17	S	1	PD07DEC17		3090.50	3232.00	USD	-3,537.50	
07SEP17	S	4	PD07DEC17		3099.50	3232.00	USD	-13,250.00	
07SEP17	S	3	PD07DEC17		3100.00	3232.00	USD	-9,900.00	
07SEP17	S	1	PD07DEC17		3101.50	3232.00	USD	-3,262.50	
07SEP17	S	4	PD07DEC17		3102.50	3232.00	USD	-12,950.00	
07SEP17	S	4	PD07DEC17		3101.00	3232.00	USD	-13,100.00	
07SEP17	S	2	PD07DEC17		3099.00	3232.00	USD	-6,650.00	
07SEP17	S	1	PD07DEC17		3097.00	3232.00	USD	-3,375.00	
07SEP17	S	2	PD07DEC17		3097.50	3232.00	USD	-6,725.00	
07SEP17	S	1	PD07DEC17		3098.00	3232.00	USD	-3,350.00	
07SEP17	S	1	PD07DEC17		3094.00	3232.00	USD	-3,450.00	
07SEP17	S	2	PD07DEC17		3095.00	3232.00	USD	-6,850.00	
07SEP17	B	1	PD07DEC17		3115.50	3232.00	USD	2,912.50	
07SEP17	S	1	PD07DEC17		3115.50	3232.00	USD	-2,912.50	
07SEP17	S	2	PD07DEC17		3117.00	3232.00	USD	-5,750.00	
07SEP17	S	2	PD07DEC17		3120.00	3232.00	USD	-5,600.00	
07SEP17	S	1	PD07DEC17		3116.00	3232.00	USD	-2,900.00	
07SEP17	S	1	PD07DEC17		3116.50	3232.00	USD	-2,887.50	
07SEP17	S	2	PD07DEC17		3114.50	3232.00	USD	-5,875.00	
07SEP17	S	1	PD07DEC17		3119.00	3232.00	USD	-2,825.00	
07SEP17	S	1	PD07DEC17		3118.00	3232.00	USD	-2,850.00	
07SEP17	S	1	PD07DEC17		3118.50	3232.00	USD	-2,837.50	
07SEP17	B	2	PD07DEC17		3122.50	3232.00	USD	5,475.00	
07SEP17	B	1	PD07DEC17		3128.50	3232.00	USD	2,587.50	
07SEP17	S	1	PD07DEC17		3140.00	3232.00	USD	-2,300.00	
07SEP17	S	1	PD07DEC17		3141.00	3232.00	USD	-2,275.00	
07SEP17	B	2	PD07DEC17		3130.00	3232.00	USD	5,100.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	3129.50	3232.00	USD	5,125.00	
07SEP17	B	1	PD07DEC17	3125.00	3232.00	USD	2,675.00	
07SEP17	B	1	PD07DEC17	3123.50	3232.00	USD	2,712.50	
07SEP17	B	1	PD07DEC17	3123.00	3232.00	USD	2,725.00	
07SEP17	S	1	PD07DEC17	3128.50	3232.00	USD	-2,587.50	
07SEP17	B	1	PD07DEC17	3127.00	3232.00	USD	2,625.00	
07SEP17	B	3	PD07DEC17	3126.00	3232.00	USD	7,950.00	
07SEP17	B	1	PD07DEC17	3125.50	3232.00	USD	2,662.50	
07SEP17	B	1	PD07DEC17	3132.00	3232.00	USD	2,500.00	
07SEP17	B	3	PD07DEC17	3133.50	3232.00	USD	7,387.50	
07SEP17	B	1	PD07DEC17	3137.00	3232.00	USD	2,375.00	
07SEP17	B	1	PD07DEC17	3136.50	3232.00	USD	2,387.50	
07SEP17	B	1	PD07DEC17	3135.50	3232.00	USD	2,412.50	
07SEP17	B	2	PD07DEC17	3134.50	3232.00	USD	4,875.00	
13SEP17	S	3	PD07DEC17	3023.69	3232.00	USD	-15,623.25	
	NET	0AVG BGHT 3108.36	SOLD 3100.32				-9,848.25	0.00
08SEP17	S	1	PD08DEC17	3135.00	3231.67	USD	-2,416.75	
08SEP17	B	1	PD08DEC17	3128.00	3231.67	USD	2,591.75	
08SEP17	B	2	PD08DEC17	3126.50	3231.67	USD	5,258.50	
08SEP17	B	2	PD08DEC17	3125.00	3231.67	USD	5,333.50	
08SEP17	B	1	PD08DEC17	3124.00	3231.67	USD	2,691.75	
08SEP17	B	1	PD08DEC17	3123.00	3231.67	USD	2,716.75	
08SEP17	B	1	PD08DEC17	3122.50	3231.67	USD	2,729.25	
08SEP17	B	1	PD08DEC17	3122.00	3231.67	USD	2,741.75	
08SEP17	B	1	PD08DEC17	3121.00	3231.67	USD	2,766.75	
08SEP17	B	1	PD08DEC17	3119.50	3231.67	USD	2,804.25	
08SEP17	B	1	PD08DEC17	3116.00	3231.67	USD	2,891.75	
08SEP17	S	2	PD08DEC17	3125.00	3231.67	USD	-5,333.50	
08SEP17	S	3	PD08DEC17	3123.00	3231.67	USD	-8,150.25	
08SEP17	B	1	PD08DEC17	3117.00	3231.67	USD	2,866.75	
08SEP17	B	2	PD08DEC17	3117.50	3231.67	USD	5,708.50	
08SEP17	B	1	PD08DEC17	3111.50	3231.67	USD	3,004.25	
08SEP17	B	1	PD08DEC17	3107.50	3231.67	USD	3,104.25	
08SEP17	B	1	PD08DEC17	3106.00	3231.67	USD	3,141.75	
08SEP17	B	2	PD08DEC17	3108.50	3231.67	USD	6,158.50	
08SEP17	B	2	PD08DEC17	3105.50	3231.67	USD	6,308.50	
08SEP17	B	1	PD08DEC17	3104.50	3231.67	USD	3,179.25	
08SEP17	B	1	PD08DEC17	3104.00	3231.67	USD	3,191.75	
08SEP17	B	1	PD08DEC17	3103.50	3231.67	USD	3,204.25	
08SEP17	B	1	PD08DEC17	3105.00	3231.67	USD	3,166.75	
08SEP17	S	1	PD08DEC17	3083.00	3231.67	USD	-3,716.75	
08SEP17	S	2	PD08DEC17	3086.50	3231.67	USD	-7,258.50	
08SEP17	S	2	PD08DEC17	3088.50	3231.67	USD	-7,158.50	
08SEP17	S	1	PD08DEC17	3090.50	3231.67	USD	-3,529.25	
08SEP17	B	1	PD08DEC17	3091.50	3231.67	USD	3,504.25	
08SEP17	S	1	PD08DEC17	3097.00	3231.67	USD	-3,366.75	
08SEP17	B	1	PD08DEC17	3080.50	3231.67	USD	3,779.25	
08SEP17	B	1	PD08DEC17	3083.00	3231.67	USD	3,716.75	
08SEP17	S	2	PD08DEC17	3094.00	3231.67	USD	-6,883.50	
08SEP17	S	2	PD08DEC17	3093.00	3231.67	USD	-6,933.50	
08SEP17	S	2	PD08DEC17	3092.50	3231.67	USD	-6,958.50	
08SEP17	B	3	PD08DEC17	3084.00	3231.67	USD	11,075.25	
08SEP17	B	1	PD08DEC17	3086.50	3231.67	USD	3,629.25	
08SEP17	B	3	PD08DEC17	3088.00	3231.67	USD	10,775.25	
08SEP17	B	1	PD08DEC17	3088.50	3231.67	USD	3,579.25	
08SEP17	B	2	PD08DEC17	3086.00	3231.67	USD	7,283.50	
08SEP17	B	1	PD08DEC17	3085.00	3231.67	USD	3,666.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	3085.50	3231.67	USD	7,308.50	
08SEP17	B	1	PD08DEC17	3103.50	3231.67	USD	3,204.25	
08SEP17	B	1	PD08DEC17	3091.00	3231.67	USD	3,516.75	
08SEP17	S	1	PD08DEC17	3091.00	3231.67	USD	-3,516.75	
08SEP17	S	1	PD08DEC17	3090.00	3231.67	USD	-3,541.75	
08SEP17	S	3	PD08DEC17	3091.50	3231.67	USD	-10,512.75	
08SEP17	S	4	PD08DEC17	3094.50	3231.67	USD	-13,717.00	
08SEP17	S	3	PD08DEC17	3095.00	3231.67	USD	-10,250.25	
08SEP17	S	2	PD08DEC17	3091.00	3231.67	USD	-7,033.50	
08SEP17	S	6	PD08DEC17	3092.00	3231.67	USD	-20,950.50	
08SEP17	B	1	PD08DEC17	3081.50	3231.67	USD	3,754.25	
08SEP17	S	1	PD08DEC17	3075.50	3231.67	USD	-3,904.25	
08SEP17	S	2	PD08DEC17	3079.00	3231.67	USD	-7,633.50	
08SEP17	S	1	PD08DEC17	3078.00	3231.67	USD	-3,841.75	
08SEP17	S	1	PD08DEC17	3079.50	3231.67	USD	-3,804.25	
08SEP17	S	1	PD08DEC17	3080.00	3231.67	USD	-3,791.75	
08SEP17	S	2	PD08DEC17	3085.00	3231.67	USD	-7,333.50	
08SEP17	B	1	PD08DEC17	3072.00	3231.67	USD	3,991.75	
08SEP17	B	1	PD08DEC17	3073.00	3231.67	USD	3,966.75	
08SEP17	B	1	PD08DEC17	3069.50	3231.67	USD	4,054.25	
08SEP17	B	1	PD08DEC17	3069.00	3231.67	USD	4,066.75	
08SEP17	B	1	PD08DEC17	3070.50	3231.67	USD	4,029.25	
08SEP17	S	1	PD08DEC17	3075.00	3231.67	USD	-3,916.75	
08SEP17	B	1	PD08DEC17	3071.00	3231.67	USD	4,016.75	
08SEP17	B	2	PD08DEC17	3070.00	3231.67	USD	8,083.50	
08SEP17	B	2	PD08DEC17	3065.00	3231.67	USD	8,333.50	
08SEP17	B	1	PD08DEC17	3063.50	3231.67	USD	4,204.25	
08SEP17	B	1	PD08DEC17	3063.00	3231.67	USD	4,216.75	
08SEP17	B	2	PD08DEC17	3057.00	3231.67	USD	8,733.50	
08SEP17	S	2	PD08DEC17	3064.00	3231.67	USD	-8,383.50	
08SEP17	S	1	PD08DEC17	3065.00	3231.67	USD	-4,166.75	
08SEP17	S	1	PD08DEC17	3065.50	3231.67	USD	-4,154.25	
08SEP17	S	1	PD08DEC17	3063.50	3231.67	USD	-4,204.25	
08SEP17	B	1	PD08DEC17	3052.50	3231.67	USD	4,479.25	
08SEP17	B	1	PD08DEC17	3052.00	3231.67	USD	4,491.75	
08SEP17	B	1	PD08DEC17	3050.00	3231.67	USD	4,541.75	
08SEP17	B	1	PD08DEC17	3049.00	3231.67	USD	4,566.75	
08SEP17	B	1	PD08DEC17	3042.00	3231.67	USD	4,741.75	
08SEP17	B	1	PD08DEC17	3041.50	3231.67	USD	4,754.25	
08SEP17	S	1	PD08DEC17	3046.50	3231.67	USD	-4,629.25	
08SEP17	S	2	PD08DEC17	3048.50	3231.67	USD	-9,158.50	
08SEP17	S	2	PD08DEC17	3049.50	3231.67	USD	-9,108.50	
08SEP17	B	1	PD08DEC17	3033.00	3231.67	USD	4,966.75	
08SEP17	B	2	PD08DEC17	3035.00	3231.67	USD	9,833.50	
08SEP17	S	3	PD08DEC17	3036.50	3231.67	USD	-14,637.75	
13SEP17	S	7	PD08DEC17	3023.75	3231.67	USD	-36,386.00	
	NET	0 AVG BGHT 3087.89	SOLD 3078.56				-15,856.25	0.00
11SEP17	S	2	PD11DEC17	3053.50	3231.33	USD	-8,891.50	
11SEP17	S	1	PD11DEC17	3054.00	3231.33	USD	-4,433.25	
11SEP17	S	1	PD11DEC17	3055.00	3231.33	USD	-4,408.25	
11SEP17	S	1	PD11DEC17	3056.50	3231.33	USD	-4,370.75	
11SEP17	S	3	PD11DEC17	3057.50	3231.33	USD	-13,037.25	
11SEP17	S	1	PD11DEC17	3060.50	3231.33	USD	-4,270.75	
11SEP17	S	2	PD11DEC17	3057.00	3231.33	USD	-8,716.50	
11SEP17	S	1	PD11DEC17	3054.50	3231.33	USD	-4,420.75	
11SEP17	S	1	PD11DEC17	3059.00	3231.33	USD	-4,308.25	
11SEP17	S	1	PD11DEC17	3074.00	3231.33	USD	-3,933.25	

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11SEP17	S	1	PD11DEC17	3078.50	3231.33	USD	-3,820.75	
11SEP17	S	1	PD11DEC17	3078.00	3231.33	USD	-3,833.25	
11SEP17	B	1	PD11DEC17	3083.50	3231.33	USD	3,695.75	
11SEP17	B	1	PD11DEC17	3082.00	3231.33	USD	3,733.25	
11SEP17	B	1	PD11DEC17	3083.00	3231.33	USD	3,708.25	
11SEP17	S	3	PD11DEC17	3091.00	3231.33	USD	-10,524.75	
11SEP17	S	1	PD11DEC17	3090.50	3231.33	USD	-3,520.75	
11SEP17	S	1	PD11DEC17	3087.50	3231.33	USD	-3,595.75	
11SEP17	B	1	PD11DEC17	3100.50	3231.33	USD	3,270.75	
11SEP17	S	1	PD11DEC17	3104.50	3231.33	USD	-3,170.75	
11SEP17	S	1	PD11DEC17	3103.00	3231.33	USD	-3,208.25	
11SEP17	S	1	PD11DEC17	3100.00	3231.33	USD	-3,283.25	
11SEP17	S	1	PD11DEC17	3054.00	3231.33	USD	-4,433.25	
11SEP17	B	2	PD11DEC17	3095.50	3231.33	USD	6,791.50	
11SEP17	S	1	PD11DEC17	3094.50	3231.33	USD	-3,420.75	
11SEP17	B	2	PD11DEC17	3094.00	3231.33	USD	6,866.50	
11SEP17	B	2	PD11DEC17	3091.00	3231.33	USD	7,016.50	
11SEP17	B	1	PD11DEC17	3093.50	3231.33	USD	3,445.75	
11SEP17	B	1	PD11DEC17	3092.00	3231.33	USD	3,483.25	
11SEP17	B	1	PD11DEC17	3089.00	3231.33	USD	3,558.25	
11SEP17	B	2	PD11DEC17	3088.00	3231.33	USD	7,166.50	
11SEP17	B	1	PD11DEC17	3087.00	3231.33	USD	3,608.25	
11SEP17	S	1	PD11DEC17	3086.00	3231.33	USD	-3,633.25	
11SEP17	B	1	PD11DEC17	3084.50	3231.33	USD	3,670.75	
11SEP17	S	1	PD11DEC17	3081.00	3231.33	USD	-3,758.25	
11SEP17	S	1	PD11DEC17	3080.50	3231.33	USD	-3,770.75	
11SEP17	B	1	PD11DEC17	3076.50	3231.33	USD	3,870.75	
11SEP17	B	1	PD11DEC17	3074.00	3231.33	USD	3,933.25	
11SEP17	B	1	PD11DEC17	3061.00	3231.33	USD	4,258.25	
11SEP17	S	1	PD11DEC17	3072.50	3231.33	USD	-3,970.75	
11SEP17	B	1	PD11DEC17	3065.00	3231.33	USD	4,158.25	
11SEP17	B	2	PD11DEC17	3050.00	3231.33	USD	9,066.50	
11SEP17	S	2	PD11DEC17	3062.00	3231.33	USD	-8,466.50	
11SEP17	S	1	PD11DEC17	3071.50	3231.33	USD	-3,995.75	
11SEP17	B	2	PD11DEC17	3082.50	3231.33	USD	7,441.50	
11SEP17	S	1	PD11DEC17	3085.00	3231.33	USD	-3,658.25	
13SEP17	B	10	PD11DEC17	3023.87	3231.33	USD	51,865.00	
15SEP17	S	1	PD11DEC17	3030.38	3231.33	USD	-5,023.75	
	NET	0AVG BGHT 3066.06	SOLD 3071.47				4,729.50	0.00
12SEP17	S	2	PD12DEC17	3084.00	3231.00	USD	-7,350.00	
12SEP17	S	1	PD12DEC17	3083.00	3231.00	USD	-3,700.00	
12SEP17	S	2	PD12DEC17	3080.50	3231.00	USD	-7,525.00	
12SEP17	S	1	PD12DEC17	3083.50	3231.00	USD	-3,687.50	
12SEP17	S	1	PD12DEC17	3085.50	3231.00	USD	-3,637.50	
12SEP17	B	1	PD12DEC17	3086.00	3231.00	USD	3,625.00	
12SEP17	B	3	PD12DEC17	3090.00	3231.00	USD	10,575.00	
12SEP17	B	2	PD12DEC17	3091.00	3231.00	USD	7,000.00	
12SEP17	B	1	PD12DEC17	3088.50	3231.00	USD	3,562.50	
12SEP17	S	1	PD12DEC17	3088.50	3231.00	USD	-3,562.50	
12SEP17	S	1	PD12DEC17	3087.00	3231.00	USD	-3,600.00	
12SEP17	S	1	PD12DEC17	3089.00	3231.00	USD	-3,550.00	
12SEP17	S	1	PD12DEC17	3089.50	3231.00	USD	-3,537.50	
12SEP17	S	1	PD12DEC17	3090.50	3231.00	USD	-3,512.50	
12SEP17	S	3	PD12DEC17	3090.00	3231.00	USD	-10,575.00	
12SEP17	S	2	PD12DEC17	3091.00	3231.00	USD	-7,000.00	
12SEP17	S	2	PD12DEC17	3082.50	3231.00	USD	-7,425.00	
12SEP17	S	1	PD12DEC17	3082.00	3231.00	USD	-3,725.00	

OPEN POSITION STATEMENT



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SAP0112C

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	2	PD12DEC17	3074.50	3231.00	USD	7,825.00	
12SEP17	B	2	PD12DEC17	3073.50	3231.00	USD	7,875.00	
12SEP17	B	1	PD12DEC17	3072.50	3231.00	USD	3,962.50	
12SEP17	S	1	PD12DEC17	3078.50	3231.00	USD	-3,812.50	
12SEP17	S	1	PD12DEC17	3079.00	3231.00	USD	-3,800.00	
12SEP17	S	1	PD12DEC17	3081.00	3231.00	USD	-3,750.00	
12SEP17	B	2	PD12DEC17	3070.00	3231.00	USD	8,050.00	
12SEP17	B	2	PD12DEC17	3066.50	3231.00	USD	8,225.00	
12SEP17	B	2	PD12DEC17	3067.00	3231.00	USD	8,200.00	
12SEP17	B	1	PD12DEC17	3065.50	3231.00	USD	4,137.50	
12SEP17	B	3	PD12DEC17	3064.00	3231.00	USD	12,525.00	
12SEP17	B	1	PD12DEC17	3093.00	3231.00	USD	3,450.00	
12SEP17	B	1	PD12DEC17	3092.50	3231.00	USD	3,462.50	
12SEP17	S	1	PD12DEC17	3055.00	3231.00	USD	-4,400.00	
12SEP17	S	2	PD12DEC17	3063.00	3231.00	USD	-8,400.00	
12SEP17	S	1	PD12DEC17	3065.50	3231.00	USD	-4,137.50	
12SEP17	S	1	PD12DEC17	3065.00	3231.00	USD	-4,150.00	
12SEP17	S	2	PD12DEC17	3064.50	3231.00	USD	-8,325.00	
12SEP17	S	1	PD12DEC17	3066.50	3231.00	USD	-4,112.50	
12SEP17	B	1	PD12DEC17	3063.50	3231.00	USD	4,187.50	
12SEP17	B	2	PD12DEC17	3066.00	3231.00	USD	8,250.00	
12SEP17	S	1	PD12DEC17	3077.00	3231.00	USD	-3,850.00	
12SEP17	S	2	PD12DEC17	3075.00	3231.00	USD	-7,800.00	
12SEP17	B	3	PD12DEC17	3069.50	3231.00	USD	12,112.50	
12SEP17	B	1	PD12DEC17	3065.00	3231.00	USD	4,150.00	
12SEP17	B	2	PD12DEC17	3060.50	3231.00	USD	8,525.00	
12SEP17	B	4	PD12DEC17	3067.50	3231.00	USD	16,350.00	
12SEP17	S	1	PD12DEC17	3067.00	3231.00	USD	-4,100.00	
12SEP17	S	2	PD12DEC17	3067.50	3231.00	USD	-8,175.00	
	NET	0	AVG BGHT 3073.11	SOLD 3078.35			4,850.00	0.00
13SEP17	S	1	PD13DEC17	3053.00	3230.67	USD	-4,441.75	
13SEP17	S	1	PD13DEC17	3052.50	3230.67	USD	-4,454.25	
13SEP17	S	1	PD13DEC17	3044.50	3230.67	USD	-4,654.25	
13SEP17	S	2	PD13DEC17	3052.00	3230.67	USD	-8,933.50	
13SEP17	S	3	PD13DEC17	3050.00	3230.67	USD	-13,550.25	
13SEP17	S	1	PD13DEC17	3050.50	3230.67	USD	-4,504.25	
13SEP17	S	1	PD13DEC17	3057.50	3230.67	USD	-4,329.25	
13SEP17	S	2	PD13DEC17	3060.50	3230.67	USD	-8,508.50	
13SEP17	B	2	PD13DEC17	3052.50	3230.67	USD	8,908.50	
13SEP17	B	1	PD13DEC17	3053.50	3230.67	USD	4,429.25	
13SEP17	B	1	PD13DEC17	3055.00	3230.67	USD	4,391.75	
13SEP17	S	2	PD13DEC17	3051.50	3230.67	USD	-8,958.50	
13SEP17	B	1	PD13DEC17	3049.50	3230.67	USD	4,529.25	
13SEP17	B	1	PD13DEC17	3051.00	3230.67	USD	4,491.75	
13SEP17	B	1	PD13DEC17	3045.00	3230.67	USD	4,641.75	
13SEP17	B	2	PD13DEC17	3044.00	3230.67	USD	9,333.50	
13SEP17	B	1	PD13DEC17	3053.00	3230.67	USD	4,441.75	
13SEP17	B	2	PD13DEC17	3052.00	3230.67	USD	8,933.50	
13SEP17	B	1	PD13DEC17	3050.00	3230.67	USD	4,516.75	
13SEP17	B	2	PD13DEC17	3050.50	3230.67	USD	9,008.50	
13SEP17	B	2	PD13DEC17	3047.50	3230.67	USD	9,158.50	
13SEP17	B	2	PD13DEC17	3043.50	3230.67	USD	9,358.50	
13SEP17	B	1	PD13DEC17	3043.00	3230.67	USD	4,691.75	
13SEP17	B	1	PD13DEC17	3042.50	3230.67	USD	4,704.25	
13SEP17	B	2	PD13DEC17	3035.00	3230.67	USD	9,783.50	
13SEP17	B	1	PD13DEC17	3036.50	3230.67	USD	4,854.25	
13SEP17	B	1	PD13DEC17	3036.00	3230.67	USD	4,866.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	3033.00	3230.67	USD	4,941.75	
13SEP17	B	1	PD13DEC17	3032.00	3230.67	USD	4,966.75	
13SEP17	B	2	PD13DEC17	3029.50	3230.67	USD	10,058.50	
13SEP17	B	1	PD13DEC17	3028.50	3230.67	USD	5,054.25	
13SEP17	B	1	PD13DEC17	3026.50	3230.67	USD	5,104.25	
13SEP17	S	2	PD13DEC17	3040.00	3230.67	USD	-9,533.50	
13SEP17	S	1	PD13DEC17	3041.00	3230.67	USD	-4,741.75	
13SEP17	B	1	PD13DEC17	3039.00	3230.67	USD	4,791.75	
13SEP17	B	1	PD13DEC17	3022.50	3230.67	USD	5,204.25	
13SEP17	B	1	PD13DEC17	3021.50	3230.67	USD	5,229.25	
13SEP17	B	1	PD13DEC17	3026.00	3230.67	USD	5,116.75	
13SEP17	B	1	PD13DEC17	3025.00	3230.67	USD	5,141.75	
13SEP17	S	1	PD13DEC17	3029.50	3230.67	USD	-5,029.25	
15SEP17	S	18	PD13DEC17	3030.69	3230.67	USD	-89,991.00	
	NET	0AVG BGHT 3041.06	SOLD 3039.97				-977.00	0.00
14SEP17	S	3	PD14DEC17	3030.50	3230.33	USD	-14,987.25	
14SEP17	S	1	PD14DEC17	3031.50	3230.33	USD	-4,970.75	
14SEP17	B	1	PD14DEC17	3007.00	3230.33	USD	5,583.25	
14SEP17	S	1	PD14DEC17	3012.50	3230.33	USD	-5,445.75	
14SEP17	S	1	PD14DEC17	3015.00	3230.33	USD	-5,383.25	
14SEP17	S	1	PD14DEC17	3016.50	3230.33	USD	-5,345.75	
14SEP17	S	1	PD14DEC17	3019.50	3230.33	USD	-5,270.75	
14SEP17	B	1	PD14DEC17	3014.50	3230.33	USD	5,395.75	
14SEP17	S	1	PD14DEC17	3015.50	3230.33	USD	-5,370.75	
14SEP17	B	1	PD14DEC17	3005.50	3230.33	USD	5,620.75	
14SEP17	B	1	PD14DEC17	2999.00	3230.33	USD	5,783.25	
14SEP17	B	1	PD14DEC17	2999.50	3230.33	USD	5,770.75	
14SEP17	B	1	PD14DEC17	2992.00	3230.33	USD	5,958.25	
14SEP17	S	1	PD14DEC17	2993.00	3230.33	USD	-5,933.25	
14SEP17	S	2	PD14DEC17	3002.00	3230.33	USD	-11,416.50	
14SEP17	S	1	PD14DEC17	3003.00	3230.33	USD	-5,683.25	
14SEP17	S	1	PD14DEC17	3000.50	3230.33	USD	-5,745.75	
14SEP17	S	1	PD14DEC17	3008.00	3230.33	USD	-5,558.25	
14SEP17	S	2	PD14DEC17	3003.50	3230.33	USD	-11,341.50	
14SEP17	S	2	PD14DEC17	3002.50	3230.33	USD	-11,391.50	
14SEP17	B	3	PD14DEC17	2983.50	3230.33	USD	18,512.25	
14SEP17	B	1	PD14DEC17	2991.50	3230.33	USD	5,970.75	
14SEP17	S	1	PD14DEC17	2993.50	3230.33	USD	-5,920.75	
14SEP17	B	1	PD14DEC17	2992.50	3230.33	USD	5,945.75	
14SEP17	S	1	PD14DEC17	2996.50	3230.33	USD	-5,845.75	
14SEP17	S	2	PD14DEC17	2989.50	3230.33	USD	-12,041.50	
14SEP17	S	3	PD14DEC17	2990.00	3230.33	USD	-18,024.75	
14SEP17	S	1	PD14DEC17	2996.00	3230.33	USD	-5,858.25	
14SEP17	S	1	PD14DEC17	2998.50	3230.33	USD	-5,795.75	
14SEP17	S	1	PD14DEC17	2999.50	3230.33	USD	-5,770.75	
14SEP17	S	1	PD14DEC17	3001.00	3230.33	USD	-5,733.25	
14SEP17	S	1	PD14DEC17	3004.50	3230.33	USD	-5,645.75	
14SEP17	S	1	PD14DEC17	3007.50	3230.33	USD	-5,570.75	
14SEP17	S	1	PD14DEC17	3010.00	3230.33	USD	-5,508.25	
14SEP17	S	1	PD14DEC17	3009.00	3230.33	USD	-5,533.25	
14SEP17	S	3	PD14DEC17	3006.00	3230.33	USD	-16,824.75	
14SEP17	S	1	PD14DEC17	3009.50	3230.33	USD	-5,520.75	
14SEP17	S	1	PD14DEC17	3011.50	3230.33	USD	-5,470.75	
14SEP17	S	5	PD14DEC17	3012.00	3230.33	USD	-27,291.25	
14SEP17	B	1	PD14DEC17	3004.50	3230.33	USD	5,645.75	
14SEP17	B	1	PD14DEC17	3009.50	3230.33	USD	5,520.75	
14SEP17	B	1	PD14DEC17	3006.00	3230.33	USD	5,608.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	3002.00	3230.33	USD	5,708.25	
14SEP17	B	1	PD14DEC17	3001.00	3230.33	USD	5,733.25	
14SEP17	B	1	PD14DEC17	3000.50	3230.33	USD	5,745.75	
14SEP17	B	2	PD14DEC17	2998.00	3230.33	USD	11,616.50	
14SEP17	B	1	PD14DEC17	3001.50	3230.33	USD	5,720.75	
14SEP17	B	1	PD14DEC17	3013.00	3230.33	USD	5,433.25	
14SEP17	B	4	PD14DEC17	3006.50	3230.33	USD	22,383.00	
15SEP17	B	19	PD14DEC17	3031.04	3230.33	USD	94,662.75	
	NET	0AVG BGHT 3013.68	SOLD 3006.51				-7,881.50	0.00
15SEP17	S	1	PD15DEC17	3006.50	3230.00	USD	-5,587.50	
15SEP17	S	1	PD15DEC17	3004.00	3230.00	USD	-5,650.00	
15SEP17	B	1	PD15DEC17	3018.50	3230.00	USD	5,287.50	
15SEP17	B	1	PD15DEC17	3021.00	3230.00	USD	5,225.00	
15SEP17	B	1	PD15DEC17	3018.00	3230.00	USD	5,300.00	
15SEP17	S	2	PD15DEC17	3017.00	3230.00	USD	-10,650.00	
15SEP17	S	1	PD15DEC17	3013.50	3230.00	USD	-5,412.50	
15SEP17	S	1	PD15DEC17	3018.50	3230.00	USD	-5,287.50	
15SEP17	S	1	PD15DEC17	3014.50	3230.00	USD	-5,387.50	
15SEP17	S	1	PD15DEC17	3014.00	3230.00	USD	-5,400.00	
15SEP17	S	2	PD15DEC17	3020.50	3230.00	USD	-10,475.00	
15SEP17	S	1	PD15DEC17	3021.50	3230.00	USD	-5,212.50	
15SEP17	S	1	PD15DEC17	3027.00	3230.00	USD	-5,075.00	
15SEP17	S	1	PD15DEC17	3030.00	3230.00	USD	-5,000.00	
15SEP17	S	1	PD15DEC17	3031.00	3230.00	USD	-4,975.00	
15SEP17	S	1	PD15DEC17	3032.00	3230.00	USD	-4,950.00	
15SEP17	B	1	PD15DEC17	3031.50	3230.00	USD	4,962.50	
15SEP17	B	1	PD15DEC17	3005.00	3230.00	USD	5,625.00	
15SEP17	S	1	PD15DEC17	3018.50	3230.00	USD	-5,287.50	
15SEP17	S	1	PD15DEC17	3045.00	3230.00	USD	-4,625.00	
15SEP17	B	1	PD15DEC17	3030.00	3230.00	USD	5,000.00	
15SEP17	B	1	PD15DEC17	3027.50	3230.00	USD	5,062.50	
15SEP17	B	1	PD15DEC17	3026.50	3230.00	USD	5,087.50	
15SEP17	B	1	PD15DEC17	3025.50	3230.00	USD	5,112.50	
15SEP17	B	2	PD15DEC17	3036.50	3230.00	USD	9,675.00	
15SEP17	B	3	PD15DEC17	3033.50	3230.00	USD	14,737.50	
15SEP17	B	1	PD15DEC17	3032.50	3230.00	USD	4,937.50	
15SEP17	B	2	PD15DEC17	2991.50	3230.00	USD	11,925.00	
15SEP17	S	2	PD15DEC17	2996.00	3230.00	USD	-11,700.00	
15SEP17	S	1	PD15DEC17	2997.50	3230.00	USD	-5,812.50	
15SEP17	S	1	PD15DEC17	3001.50	3230.00	USD	-5,712.50	
15SEP17	S	1	PD15DEC17	3010.50	3230.00	USD	-5,487.50	
15SEP17	S	1	PD15DEC17	3011.00	3230.00	USD	-5,475.00	
15SEP17	S	1	PD15DEC17	2998.50	3230.00	USD	-5,787.50	
15SEP17	S	2	PD15DEC17	2999.00	3230.00	USD	-11,550.00	
15SEP17	B	1	PD15DEC17	2999.00	3230.00	USD	5,775.00	
15SEP17	B	1	PD15DEC17	2996.50	3230.00	USD	5,837.50	
15SEP17	B	3	PD15DEC17	3004.50	3230.00	USD	16,912.50	
15SEP17	S	1	PD15DEC17	3023.00	3230.00	USD	-5,175.00	
15SEP17	B	2	PD15DEC17	3028.00	3230.00	USD	10,100.00	
15SEP17	B	1	PD15DEC17	3029.50	3230.00	USD	5,012.50	
15SEP17	B	2	PD15DEC17	3030.50	3230.00	USD	9,975.00	
	NET	0AVG BGHT 3020.30	SOLD 3014.19				-4,125.00	0.00
18SEP17	B	1	PD18DEC17	3074.00	3229.67	USD	3,891.75	
18SEP17	B	1	PD18DEC17	3077.00	3229.67	USD	3,816.75	
18SEP17	S	1	PD18DEC17	3085.00	3229.67	USD	-3,616.75	
18SEP17	S	1	PD18DEC17	3077.50	3229.67	USD	-3,804.25	
18SEP17	S	1	PD18DEC17	3087.50	3229.67	USD	-3,554.25	

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18SEP17	B	1	PD18DEC17	3077.50	3229.67	USD	3,804.25	
18SEP17	B	1	PD18DEC17	3070.50	3229.67	USD	3,979.25	
18SEP17	B	1	PD18DEC17	3061.00	3229.67	USD	4,216.75	
18SEP17	B	1	PD18DEC17	3064.00	3229.67	USD	4,141.75	
18SEP17	B	3	PD18DEC17	3066.50	3229.67	USD	12,237.75	
18SEP17	S	1	PD18DEC17	3074.50	3229.67	USD	-3,879.25	
18SEP17	S	1	PD18DEC17	3079.00	3229.67	USD	-3,766.75	
18SEP17	B	1	PD18DEC17	3079.50	3229.67	USD	3,754.25	
18SEP17	S	1	PD18DEC17	3078.50	3229.67	USD	-3,779.25	
18SEP17	S	1	PD18DEC17	3080.00	3229.67	USD	-3,741.75	
18SEP17	S	2	PD18DEC17	3088.00	3229.67	USD	-7,083.50	
18SEP17	S	1	PD18DEC17	3090.50	3229.67	USD	-3,479.25	
18SEP17	S	2	PD18DEC17	3092.00	3229.67	USD	-6,883.50	
18SEP17	B	1	PD18DEC17	3076.00	3229.67	USD	3,841.75	
18SEP17	B	1	PD18DEC17	3074.50	3229.67	USD	3,879.25	
18SEP17	B	1	PD18DEC17	3073.50	3229.67	USD	3,904.25	
18SEP17	B	1	PD18DEC17	3071.50	3229.67	USD	3,954.25	
18SEP17	B	5	PD18DEC17	3071.00	3229.67	USD	19,833.75	
18SEP17	B	2	PD18DEC17	3075.00	3229.67	USD	7,733.50	
18SEP17	B	1	PD18DEC17	3065.00	3229.67	USD	4,116.75	
18SEP17	S	1	PD18DEC17	3089.50	3229.67	USD	-3,504.25	
18SEP17	B	1	PD18DEC17	3073.00	3229.67	USD	3,916.75	
18SEP17	B	2	PD18DEC17	3064.50	3229.67	USD	8,258.50	
18SEP17	S	1	PD18DEC17	3066.00	3229.67	USD	-4,091.75	
18SEP17	S	2	PD18DEC17	3070.50	3229.67	USD	-7,958.50	
18SEP17	S	1	PD18DEC17	3075.50	3229.67	USD	-3,854.25	
18SEP17	S	2	PD18DEC17	3083.50	3229.67	USD	-7,308.50	
18SEP17	S	2	PD18DEC17	3084.50	3229.67	USD	-7,258.50	
18SEP17	S	2	PD18DEC17	3085.50	3229.67	USD	-7,208.50	
18SEP17	S	4	PD18DEC17	3083.00	3229.67	USD	-14,667.00	
18SEP17	S	2	PD18DEC17	3081.00	3229.67	USD	-7,433.50	
18SEP17	S	3	PD18DEC17	3081.50	3229.67	USD	-11,112.75	
18SEP17	S	1	PD18DEC17	3076.50	3229.67	USD	-3,829.25	
18SEP17	S	3	PD18DEC17	3079.50	3229.67	USD	-11,262.75	
18SEP17	S	1	PD18DEC17	3065.00	3229.67	USD	-4,116.75	
18SEP17	S	1	PD18DEC17	3082.00	3229.67	USD	-3,691.75	
18SEP17	S	3	PD18DEC17	3082.50	3229.67	USD	-11,037.75	
18SEP17	B	3	PD18DEC17	3090.00	3229.67	USD	10,475.25	
18SEP17	S	1	PD18DEC17	3100.00	3229.67	USD	-3,241.75	
18SEP17	S	1	PD18DEC17	3101.00	3229.67	USD	-3,216.75	
18SEP17	B	2	PD18DEC17	3094.50	3229.67	USD	6,758.50	
18SEP17	B	2	PD18DEC17	3096.00	3229.67	USD	6,683.50	
18SEP17	B	2	PD18DEC17	3098.00	3229.67	USD	6,583.50	
18SEP17	B	1	PD18DEC17	3097.00	3229.67	USD	3,316.75	
18SEP17	B	2	PD18DEC17	3096.50	3229.67	USD	6,658.50	
18SEP17	B	1	PD18DEC17	3101.50	3229.67	USD	3,204.25	
18SEP17	B	1	PD18DEC17	3102.00	3229.67	USD	3,191.75	
18SEP17	B	1	PD18DEC17	3103.00	3229.67	USD	3,166.75	
18SEP17	B	2	PD18DEC17	3102.50	3229.67	USD	6,358.50	
18SEP17	B	1	PD18DEC17	3103.50	3229.67	USD	3,154.25	
18SEP17	B	3	PD18DEC17	3104.00	3229.67	USD	9,425.25	
19SEP17	S	3	PD18DEC17	3106.30	3229.67	USD	-9,252.75	
	NET	0 AVG BGHT 3083.36	SOLD 3083.90				622.50	0.00
19SEP17	B	1	PD19DEC17	3104.00	3229.33	USD	3,133.25	
19SEP17	B	1	PD19DEC17	3095.00	3229.33	USD	3,358.25	
19SEP17	S	1	PD19DEC17	3096.00	3229.33	USD	-3,333.25	
19SEP17	B	1	PD19DEC17	3088.50	3229.33	USD	3,520.75	

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	2	PD19DEC17	3095.00	3229.33	USD	-6,716.50	
19SEP17	S	1	PD19DEC17	3096.50	3229.33	USD	-3,320.75	
19SEP17	B	1	PD19DEC17	3090.00	3229.33	USD	3,483.25	
19SEP17	S	1	PD19DEC17	3080.00	3229.33	USD	-3,733.25	
19SEP17	S	1	PD19DEC17	3086.50	3229.33	USD	-3,570.75	
19SEP17	S	1	PD19DEC17	3105.50	3229.33	USD	-3,095.75	
19SEP17	S	1	PD19DEC17	3109.50	3229.33	USD	-2,995.75	
19SEP17	S	1	PD19DEC17	3111.00	3229.33	USD	-2,958.25	
19SEP17	B	1	PD19DEC17	3102.50	3229.33	USD	3,170.75	
19SEP17	B	1	PD19DEC17	3107.00	3229.33	USD	3,058.25	
19SEP17	B	1	PD19DEC17	3106.00	3229.33	USD	3,083.25	
19SEP17	B	1	PD19DEC17	3110.50	3229.33	USD	2,970.75	
19SEP17	B	1	PD19DEC17	3111.00	3229.33	USD	2,958.25	
	NET	0AVG BGHT 3101.61	SOLD 3097.22				-987.50	0.00
20SEP17	S	1	PD20DEC17	3097.50	3229.00	USD	-3,287.50	
20SEP17	B	1	PD20DEC17	3098.00	3229.00	USD	3,275.00	
20SEP17	B	1	PD20DEC17	3098.50	3229.00	USD	3,262.50	
20SEP17	B	1	PD20DEC17	3105.00	3229.00	USD	3,100.00	
20SEP17	S	2	PD20DEC17	3108.00	3229.00	USD	-6,050.00	
20SEP17	S	2	PD20DEC17	3121.00	3229.00	USD	-5,400.00	
20SEP17	S	1	PD20DEC17	3122.00	3229.00	USD	-2,675.00	
20SEP17	S	1	PD20DEC17	3122.50	3229.00	USD	-2,662.50	
20SEP17	S	1	PD20DEC17	3124.00	3229.00	USD	-2,625.00	
20SEP17	S	6	PD20DEC17	3125.00	3229.00	USD	-15,600.00	
20SEP17	S	1	PD20DEC17	3128.00	3229.00	USD	-2,525.00	
20SEP17	B	2	PD20DEC17	3119.50	3229.00	USD	5,475.00	
20SEP17	S	2	PD20DEC17	3129.00	3229.00	USD	-5,000.00	
20SEP17	S	2	PD20DEC17	3129.50	3229.00	USD	-4,975.00	
20SEP17	S	1	PD20DEC17	3127.50	3229.00	USD	-2,537.50	
20SEP17	B	1	PD20DEC17	3123.00	3229.00	USD	2,650.00	
20SEP17	B	1	PD20DEC17	3120.00	3229.00	USD	2,725.00	
20SEP17	S	1	PD20DEC17	3137.50	3229.00	USD	-2,287.50	
20SEP17	S	2	PD20DEC17	3138.00	3229.00	USD	-4,550.00	
20SEP17	S	2	PD20DEC17	3144.00	3229.00	USD	-4,250.00	
20SEP17	S	1	PD20DEC17	3151.50	3229.00	USD	-1,937.50	
20SEP17	B	1	PD20DEC17	3133.50	3229.00	USD	2,387.50	
20SEP17	B	3	PD20DEC17	3118.50	3229.00	USD	8,287.50	
20SEP17	B	1	PD20DEC17	3128.50	3229.00	USD	2,512.50	
04OCT17	B	14	PD20DEC17	3306.50	3229.00	USD	-27,125.00	
	NET	0AVG BGHT 3218.92	SOLD 3126.90				-59,812.50	0.00
21SEP17	B	1	PD21DEC17	3099.50	3225.00	USD	3,137.50	
21SEP17	B	2	PD21DEC17	3087.50	3225.00	USD	6,875.00	
21SEP17	B	1	PD21DEC17	3086.00	3225.00	USD	3,475.00	
21SEP17	B	2	PD21DEC17	3085.50	3225.00	USD	6,975.00	
21SEP17	B	2	PD21DEC17	3081.50	3225.00	USD	7,175.00	
21SEP17	B	1	PD21DEC17	3082.50	3225.00	USD	3,562.50	
21SEP17	B	1	PD21DEC17	3082.00	3225.00	USD	3,575.00	
21SEP17	B	1	PD21DEC17	3079.50	3225.00	USD	3,637.50	
21SEP17	B	1	PD21DEC17	3079.00	3225.00	USD	3,650.00	
21SEP17	B	2	PD21DEC17	3077.50	3225.00	USD	7,375.00	
21SEP17	B	1	PD21DEC17	3076.00	3225.00	USD	3,725.00	
21SEP17	B	1	PD21DEC17	3072.00	3225.00	USD	3,825.00	
21SEP17	B	1	PD21DEC17	3070.50	3225.00	USD	3,862.50	
21SEP17	B	1	PD21DEC17	3068.50	3225.00	USD	3,912.50	
21SEP17	B	1	PD21DEC17	3068.00	3225.00	USD	3,925.00	
21SEP17	B	3	PD21DEC17	3069.00	3225.00	USD	11,700.00	
21SEP17	B	3	PD21DEC17	3070.00	3225.00	USD	11,625.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3067.50	3225.00	USD	3,937.50	
21SEP17	B	1	PD21DEC17	3065.00	3225.00	USD	4,000.00	
21SEP17	S	1	PD21DEC17	3072.50	3225.00	USD	-3,812.50	
21SEP17	S	2	PD21DEC17	3071.00	3225.00	USD	-7,700.00	
21SEP17	S	1	PD21DEC17	3072.00	3225.00	USD	-3,825.00	
21SEP17	S	1	PD21DEC17	3070.50	3225.00	USD	-3,862.50	
21SEP17	S	1	PD21DEC17	3071.50	3225.00	USD	-3,837.50	
21SEP17	B	1	PD21DEC17	3069.50	3225.00	USD	3,887.50	
21SEP17	S	1	PD21DEC17	3073.50	3225.00	USD	-3,787.50	
21SEP17	S	5	PD21DEC17	3073.00	3225.00	USD	-19,000.00	
21SEP17	S	1	PD21DEC17	3077.00	3225.00	USD	-3,700.00	
21SEP17	S	1	PD21DEC17	3077.50	3225.00	USD	-3,687.50	
21SEP17	B	1	PD21DEC17	3072.50	3225.00	USD	3,812.50	
21SEP17	S	2	PD21DEC17	3085.00	3225.00	USD	-7,000.00	
21SEP17	S	3	PD21DEC17	3088.00	3225.00	USD	-10,275.00	
21SEP17	S	1	PD21DEC17	3084.50	3225.00	USD	-3,512.50	
21SEP17	S	1	PD21DEC17	3081.00	3225.00	USD	-3,600.00	
21SEP17	B	1	PD21DEC17	3074.00	3225.00	USD	3,775.00	
21SEP17	B	1	PD21DEC17	3073.50	3225.00	USD	3,787.50	
21SEP17	B	1	PD21DEC17	3073.00	3225.00	USD	3,800.00	
21SEP17	S	3	PD21DEC17	3078.00	3225.00	USD	-11,025.00	
21SEP17	S	3	PD21DEC17	3080.50	3225.00	USD	-10,837.50	
21SEP17	S	1	PD21DEC17	3082.00	3225.00	USD	-3,575.00	
21SEP17	B	1	PD21DEC17	3077.00	3225.00	USD	3,700.00	
21SEP17	B	1	PD21DEC17	3078.50	3225.00	USD	3,662.50	
21SEP17	B	1	PD21DEC17	3078.00	3225.00	USD	3,675.00	
21SEP17	B	2	PD21DEC17	3098.50	3225.00	USD	6,325.00	
21SEP17	B	1	PD21DEC17	3097.50	3225.00	USD	3,187.50	
21SEP17	B	1	PD21DEC17	3097.00	3225.00	USD	3,200.00	
21SEP17	B	1	PD21DEC17	3094.50	3225.00	USD	3,262.50	
21SEP17	B	1	PD21DEC17	3084.00	3225.00	USD	3,525.00	
21SEP17	B	1	PD21DEC17	3083.50	3225.00	USD	3,537.50	
21SEP17	B	2	PD21DEC17	3075.00	3225.00	USD	7,500.00	
21SEP17	S	1	PD21DEC17	3089.50	3225.00	USD	-3,387.50	
21SEP17	S	1	PD21DEC17	3098.00	3225.00	USD	-3,175.00	
21SEP17	S	1	PD21DEC17	3109.50	3225.00	USD	-2,887.50	
21SEP17	S	1	PD21DEC17	3106.00	3225.00	USD	-2,975.00	
21SEP17	B	1	PD21DEC17	3101.00	3225.00	USD	3,100.00	
21SEP17	B	1	PD21DEC17	3110.00	3225.00	USD	2,875.00	
21SEP17	B	1	PD21DEC17	3096.00	3225.00	USD	3,225.00	
21SEP17	B	4	PD21DEC17	3094.00	3225.00	USD	13,100.00	
21SEP17	S	1	PD21DEC17	3089.00	3225.00	USD	-3,400.00	
21SEP17	B	1	PD21DEC17	3029.50	3225.00	USD	4,887.50	
21SEP17	S	1	PD21DEC17	3041.00	3225.00	USD	-4,600.00	
21SEP17	S	1	PD21DEC17	3040.00	3225.00	USD	-4,625.00	
21SEP17	S	1	PD21DEC17	3040.50	3225.00	USD	-4,612.50	
21SEP17	S	1	PD21DEC17	3042.50	3225.00	USD	-4,562.50	
21SEP17	S	2	PD21DEC17	3043.00	3225.00	USD	-9,100.00	
21SEP17	S	1	PD21DEC17	3043.50	3225.00	USD	-4,537.50	
21SEP17	B	1	PD21DEC17	3036.50	3225.00	USD	4,712.50	
21SEP17	B	1	PD21DEC17	3041.00	3225.00	USD	4,600.00	
21SEP17	B	1	PD21DEC17	3042.00	3225.00	USD	4,575.00	
04OCT17	S	15	PD21DEC17	3301.80	3225.00	USD	28,800.00	
	NET	0AVG BGHT 3078.34	SOLD 3136.20				79,562.50	0.00
22SEP17	B	1	PD22DEC17	3031.50	3224.50	USD	4,825.00	
22SEP17	B	1	PD22DEC17	3042.00	3224.50	USD	4,562.50	
22SEP17	B	1	PD22DEC17	3041.00	3224.50	USD	4,587.50	

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22SEP17	B	1	PD22DEC17	3040.00	3224.50	USD	4,612.50	
22SEP17	B	1	PD22DEC17	3039.00	3224.50	USD	4,637.50	
22SEP17	B	1	PD22DEC17	3039.50	3224.50	USD	4,625.00	
22SEP17	S	1	PD22DEC17	3034.00	3224.50	USD	-4,762.50	
22SEP17	S	1	PD22DEC17	3028.00	3224.50	USD	-4,912.50	
22SEP17	S	2	PD22DEC17	3028.50	3224.50	USD	-9,800.00	
22SEP17	S	2	PD22DEC17	3032.00	3224.50	USD	-9,625.00	
22SEP17	B	1	PD22DEC17	3030.50	3224.50	USD	4,850.00	
22SEP17	B	1	PD22DEC17	3029.00	3224.50	USD	4,887.50	
22SEP17	B	1	PD22DEC17	3030.00	3224.50	USD	4,862.50	
22SEP17	B	1	PD22DEC17	3027.50	3224.50	USD	4,925.00	
22SEP17	B	2	PD22DEC17	3026.50	3224.50	USD	9,900.00	
22SEP17	B	3	PD22DEC17	3031.00	3224.50	USD	14,512.50	
22SEP17	B	2	PD22DEC17	3028.00	3224.50	USD	9,825.00	
22SEP17	B	1	PD22DEC17	3027.00	3224.50	USD	4,937.50	
22SEP17	B	1	PD22DEC17	3024.50	3224.50	USD	5,000.00	
22SEP17	B	1	PD22DEC17	3019.50	3224.50	USD	5,125.00	
22SEP17	B	1	PD22DEC17	3016.50	3224.50	USD	5,200.00	
22SEP17	B	1	PD22DEC17	3015.50	3224.50	USD	5,225.00	
22SEP17	B	1	PD22DEC17	3013.50	3224.50	USD	5,275.00	
22SEP17	B	2	PD22DEC17	3005.00	3224.50	USD	10,975.00	
22SEP17	S	1	PD22DEC17	3025.00	3224.50	USD	-4,987.50	
22SEP17	S	1	PD22DEC17	3019.50	3224.50	USD	-5,125.00	
22SEP17	S	1	PD22DEC17	3036.00	3224.50	USD	-4,712.50	
22SEP17	S	2	PD22DEC17	3037.50	3224.50	USD	-9,350.00	
22SEP17	S	1	PD22DEC17	3039.00	3224.50	USD	-4,637.50	
22SEP17	S	1	PD22DEC17	3039.50	3224.50	USD	-4,625.00	
22SEP17	S	1	PD22DEC17	3041.50	3224.50	USD	-4,575.00	
22SEP17	S	1	PD22DEC17	3042.50	3224.50	USD	-4,550.00	
22SEP17	S	1	PD22DEC17	3044.50	3224.50	USD	-4,500.00	
22SEP17	S	1	PD22DEC17	3045.00	3224.50	USD	-4,487.50	
22SEP17	S	1	PD22DEC17	3045.50	3224.50	USD	-4,475.00	
22SEP17	S	1	PD22DEC17	3047.50	3224.50	USD	-4,425.00	
22SEP17	S	1	PD22DEC17	3048.50	3224.50	USD	-4,400.00	
22SEP17	S	1	PD22DEC17	3065.00	3224.50	USD	-3,987.50	
22SEP17	B	1	PD22DEC17	3071.00	3224.50	USD	3,837.50	
22SEP17	S	1	PD22DEC17	3069.00	3224.50	USD	-3,887.50	
22SEP17	S	1	PD22DEC17	3072.00	3224.50	USD	-3,812.50	
22SEP17	S	1	PD22DEC17	3072.50	3224.50	USD	-3,800.00	
22SEP17	S	1	PD22DEC17	3075.00	3224.50	USD	-3,737.50	
22SEP17	B	1	PD22DEC17	3065.00	3224.50	USD	3,987.50	
22SEP17	B	1	PD22DEC17	3057.00	3224.50	USD	4,187.50	
22SEP17	B	1	PD22DEC17	3053.00	3224.50	USD	4,287.50	
22SEP17	S	1	PD22DEC17	3055.50	3224.50	USD	-4,225.00	
22SEP17	S	1	PD22DEC17	3051.50	3224.50	USD	-4,325.00	
22SEP17	S	2	PD22DEC17	3051.00	3224.50	USD	-8,675.00	
22SEP17	S	1	PD22DEC17	3049.50	3224.50	USD	-4,375.00	
22SEP17	B	1	PD22DEC17	3051.00	3224.50	USD	4,337.50	
22SEP17	B	1	PD22DEC17	3014.50	3224.50	USD	5,250.00	
22SEP17	S	1	PD22DEC17	3029.50	3224.50	USD	-4,875.00	
	NET	0AVG BGHT 3031.94	SOLD 3044.31				9,587.50	0.00
25SEP17	B	1	PD27DEC17	3080.00	3224.00	USD	3,600.00	
25SEP17	S	2	PD27DEC17	3073.00	3224.00	USD	-7,550.00	
25SEP17	S	1	PD27DEC17	3078.00	3224.00	USD	-3,650.00	
25SEP17	S	1	PD27DEC17	3080.00	3224.00	USD	-3,600.00	
25SEP17	S	1	PD27DEC17	3077.00	3224.00	USD	-3,675.00	
25SEP17	B	1	PD27DEC17	3081.50	3224.00	USD	3,562.50	

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25SEP17	B	2	PD27DEC17	3082.50	3224.00	USD	7,075.00	
25SEP17	S	1	PD27DEC17	3087.00	3224.00	USD	-3,425.00	
25SEP17	S	1	PD27DEC17	3086.50	3224.00	USD	-3,437.50	
25SEP17	B	1	PD27DEC17	3078.00	3224.00	USD	3,650.00	
25SEP17	S	1	PD27DEC17	3104.00	3224.00	USD	-3,000.00	
25SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
25SEP17	B	1	PD27DEC17	3095.00	3224.00	USD	3,225.00	
25SEP17	B	1	PD27DEC17	3092.00	3224.00	USD	3,300.00	
25SEP17	S	2	PD27DEC17	3097.00	3224.00	USD	-6,350.00	
25SEP17	S	1	PD27DEC17	3096.50	3224.00	USD	-3,187.50	
25SEP17	B	1	PD27DEC17	3099.50	3224.00	USD	3,112.50	
25SEP17	B	2	PD27DEC17	3097.00	3224.00	USD	6,350.00	
26SEP17	S	1	PD27DEC17	3126.00	3224.00	USD	-2,450.00	
26SEP17	S	1	PD27DEC17	3127.50	3224.00	USD	-2,412.50	
26SEP17	S	1	PD27DEC17	3127.00	3224.00	USD	-2,425.00	
26SEP17	S	1	PD27DEC17	3134.00	3224.00	USD	-2,250.00	
26SEP17	S	1	PD27DEC17	3132.50	3224.00	USD	-2,287.50	
26SEP17	B	1	PD27DEC17	3136.00	3224.00	USD	2,200.00	
26SEP17	B	1	PD27DEC17	3133.00	3224.00	USD	2,275.00	
26SEP17	B	1	PD27DEC17	3131.00	3224.00	USD	2,325.00	
26SEP17	B	2	PD27DEC17	3132.00	3224.00	USD	4,600.00	
26SEP17	S	2	PD27DEC17	3143.50	3224.00	USD	-4,025.00	
26SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
26SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	
26SEP17	S	1	PD27DEC17	3110.00	3224.00	USD	-2,850.00	
26SEP17	B	1	PD27DEC17	3129.50	3224.00	USD	2,362.50	
26SEP17	B	1	PD27DEC17	3113.50	3224.00	USD	2,762.50	
26SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
27SEP17	S	1	PD27DEC17	3116.50	3224.00	USD	-2,687.50	
27SEP17	S	1	PD27DEC17	3131.00	3224.00	USD	-2,325.00	
27SEP17	S	1	PD27DEC17	3132.50	3224.00	USD	-2,287.50	
27SEP17	S	1	PD27DEC17	3137.50	3224.00	USD	-2,162.50	
27SEP17	S	1	PD27DEC17	3147.00	3224.00	USD	-1,925.00	
27SEP17	S	2	PD27DEC17	3144.50	3224.00	USD	-3,975.00	
27SEP17	S	2	PD27DEC17	3145.50	3224.00	USD	-3,925.00	
27SEP17	S	2	PD27DEC17	3145.00	3224.00	USD	-3,950.00	
27SEP17	S	1	PD27DEC17	3138.50	3224.00	USD	-2,137.50	
27SEP17	S	1	PD27DEC17	3138.00	3224.00	USD	-2,150.00	
27SEP17	S	2	PD27DEC17	3140.50	3224.00	USD	-4,175.00	
27SEP17	S	2	PD27DEC17	3143.50	3224.00	USD	-4,025.00	
27SEP17	S	1	PD27DEC17	3141.00	3224.00	USD	-2,075.00	
27SEP17	B	1	PD27DEC17	3139.50	3224.00	USD	2,112.50	
27SEP17	B	2	PD27DEC17	3137.50	3224.00	USD	4,325.00	
27SEP17	B	2	PD27DEC17	3137.00	3224.00	USD	4,350.00	
27SEP17	S	1	PD27DEC17	3124.00	3224.00	USD	-2,500.00	
27SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
27SEP17	S	1	PD27DEC17	3121.00	3224.00	USD	-2,575.00	
27SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
27SEP17	B	2	PD27DEC17	3119.50	3224.00	USD	5,225.00	
27SEP17	B	2	PD27DEC17	3101.00	3224.00	USD	6,150.00	
27SEP17	B	1	PD27DEC17	3100.00	3224.00	USD	3,100.00	
27SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
27SEP17	B	2	PD27DEC17	3097.00	3224.00	USD	6,350.00	
27SEP17	B	1	PD27DEC17	3100.50	3224.00	USD	3,087.50	
27SEP17	B	1	PD27DEC17	3102.00	3224.00	USD	3,050.00	
27SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
27SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	

OPEN POSITION STATEMENT



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SAP0112C

11th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	B	1	PD27DEC17		3115.50	3224.00	USD	2,712.50	
27SEP17	B	1	PD27DEC17		3114.00	3224.00	USD	2,750.00	
27SEP17	B	1	PD27DEC17		3117.00	3224.00	USD	2,675.00	
	NET	0AVG	BGHT 3110.46	SOLD 3121.65				11,462.50	0.00
28SEP17	B	1	PD28DEC17		3102.00	3223.50	USD	3,037.50	
28SEP17	B	1	PD28DEC17		3107.50	3223.50	USD	2,900.00	
28SEP17	B	1	PD28DEC17		3105.50	3223.50	USD	2,950.00	
28SEP17	B	1	PD28DEC17		3104.50	3223.50	USD	2,975.00	
28SEP17	S	1	PD28DEC17		3109.50	3223.50	USD	-2,850.00	
28SEP17	S	1	PD28DEC17		3118.50	3223.50	USD	-2,625.00	
28SEP17	B	1	PD28DEC17		3118.00	3223.50	USD	2,637.50	
28SEP17	S	1	PD28DEC17		3119.50	3223.50	USD	-2,600.00	
28SEP17	S	1	PD28DEC17		3119.00	3223.50	USD	-2,612.50	
28SEP17	S	1	PD28DEC17		3120.00	3223.50	USD	-2,587.50	
28SEP17	S	1	PD28DEC17		3123.00	3223.50	USD	-2,512.50	
28SEP17	S	1	PD28DEC17		3131.00	3223.50	USD	-2,312.50	
28SEP17	S	1	PD28DEC17		3136.00	3223.50	USD	-2,187.50	
28SEP17	S	1	PD28DEC17		3133.50	3223.50	USD	-2,250.00	
28SEP17	S	1	PD28DEC17		3135.00	3223.50	USD	-2,212.50	
28SEP17	S	1	PD28DEC17		3134.00	3223.50	USD	-2,237.50	
28SEP17	B	1	PD28DEC17		3130.00	3223.50	USD	2,337.50	
28SEP17	S	1	PD28DEC17		3121.50	3223.50	USD	-2,550.00	
28SEP17	B	1	PD28DEC17		3123.50	3223.50	USD	2,500.00	
28SEP17	B	1	PD28DEC17		3128.00	3223.50	USD	2,387.50	
28SEP17	B	2	PD28DEC17		3135.00	3223.50	USD	4,425.00	
28SEP17	B	2	PD28DEC17		3134.50	3223.50	USD	4,450.00	
28SEP17	S	1	PD28DEC17		3143.50	3223.50	USD	-2,000.00	
28SEP17	B	1	PD28DEC17		3132.50	3223.50	USD	2,275.00	
28SEP17	B	1	PD28DEC17		3122.00	3223.50	USD	2,537.50	
28SEP17	B	2	PD28DEC17		3113.00	3223.50	USD	5,525.00	
28SEP17	B	1	PD28DEC17		3116.50	3223.50	USD	2,675.00	
28SEP17	B	1	PD28DEC17		3114.00	3223.50	USD	2,737.50	
28SEP17	S	1	PD28DEC17		3126.00	3223.50	USD	-2,437.50	
28SEP17	S	1	PD28DEC17		3137.50	3223.50	USD	-2,150.00	
28SEP17	S	1	PD28DEC17		3138.00	3223.50	USD	-2,137.50	
28SEP17	S	1	PD28DEC17		3138.50	3223.50	USD	-2,125.00	
28SEP17	S	1	PD28DEC17		3137.00	3223.50	USD	-2,162.50	
28SEP17	S	1	PD28DEC17		3140.00	3223.50	USD	-2,087.50	
28SEP17	S	1	PD28DEC17		3142.50	3223.50	USD	-2,025.00	
28SEP17	S	1	PD28DEC17		3144.00	3223.50	USD	-1,987.50	
28SEP17	S	1	PD28DEC17		3146.50	3223.50	USD	-1,925.00	
28SEP17	S	1	PD28DEC17		3147.50	3223.50	USD	-1,900.00	
28SEP17	S	1	PD28DEC17		3140.50	3223.50	USD	-2,075.00	
28SEP17	B	1	PD28DEC17		3140.00	3223.50	USD	2,087.50	
28SEP17	S	4	PD28DEC17		3153.50	3223.50	USD	-7,000.00	
28SEP17	S	3	PD28DEC17		3154.00	3223.50	USD	-5,212.50	
28SEP17	S	1	PD28DEC17		3151.50	3223.50	USD	-1,800.00	
28SEP17	S	1	PD28DEC17		3153.00	3223.50	USD	-1,762.50	
04OCT17	B	14	PD28DEC17		3302.00	3223.50	USD	-27,475.00	
	NET	0AVG	BGHT 3198.09	SOLD 3138.26				-49,362.50	0.00
29SEP17	B	1	PD29DEC17		3149.50	3223.00	USD	1,837.50	
29SEP17	B	1	PD29DEC17		3147.00	3223.00	USD	1,900.00	
29SEP17	B	1	PD29DEC17		3141.00	3223.00	USD	2,050.00	
29SEP17	B	1	PD29DEC17		3140.00	3223.00	USD	2,075.00	
29SEP17	B	1	PD29DEC17		3144.00	3223.00	USD	1,975.00	
29SEP17	S	2	PD29DEC17		3160.00	3223.00	USD	-3,150.00	
29SEP17	S	1	PD29DEC17		3169.50	3223.00	USD	-1,337.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	3152.00	3223.00	USD	-1,775.00	
29SEP17	S	2	PD29DEC17	3163.00	3223.00	USD	-3,000.00	
29SEP17	S	1	PD29DEC17	3161.00	3223.00	USD	-1,550.00	
29SEP17	S	1	PD29DEC17	3171.50	3223.00	USD	-1,287.50	
29SEP17	S	1	PD29DEC17	3162.50	3223.00	USD	-1,512.50	
29SEP17	S	1	PD29DEC17	3164.00	3223.00	USD	-1,475.00	
29SEP17	S	2	PD29DEC17	3151.00	3223.00	USD	-3,600.00	
29SEP17	B	1	PD29DEC17	3154.00	3223.00	USD	1,725.00	
29SEP17	B	1	PD29DEC17	3155.00	3223.00	USD	1,700.00	
29SEP17	B	2	PD29DEC17	3152.50	3223.00	USD	3,525.00	
29SEP17	B	1	PD29DEC17	3162.00	3223.00	USD	1,525.00	
29SEP17	B	2	PD29DEC17	3151.50	3223.00	USD	3,575.00	
29SEP17	B	1	PD29DEC17	3150.50	3223.00	USD	1,812.50	
29SEP17	B	1	PD29DEC17	3148.50	3223.00	USD	1,862.50	
29SEP17	B	3	PD29DEC17	3147.50	3223.00	USD	5,662.50	
04OCT17	S	5	PD29DEC17	3301.80	3223.00	USD	9,850.00	
	NET	0 AVG BGHT 3149.53	SOLD 3202.21				22,387.50	0.00
03OCT17	S	1	PD03JAN18	3225.00	3222.00	USD	75.00	
03OCT17	S	2	PD03JAN18	3225.50	3222.00	USD	175.00	
03OCT17	S	1	PD03JAN18	3226.00	3222.00	USD	100.00	
03OCT17	S	1	PD03JAN18	3227.00	3222.00	USD	125.00	
03OCT17	S	1	PD03JAN18	3230.00	3222.00	USD	200.00	
03OCT17	S	1	PD03JAN18	3236.00	3222.00	USD	350.00	
03OCT17	S	1	PD03JAN18	3238.50	3222.00	USD	412.50	
03OCT17	S	1	PD03JAN18	3239.50	3222.00	USD	437.50	
03OCT17	S	2	PD03JAN18	3262.00	3222.00	USD	2,000.00	
03OCT17	B	1	PD03JAN18	3262.50	3222.00	USD	-1,012.50	
03OCT17	B	1	PD03JAN18	3259.50	3222.00	USD	-937.50	
03OCT17	B	1	PD03JAN18	3267.50	3222.00	USD	-1,137.50	
03OCT17	B	1	PD03JAN18	3258.50	3222.00	USD	-912.50	
03OCT17	S	1	PD03JAN18	3264.50	3222.00	USD	1,062.50	
03OCT17	S	2	PD03JAN18	3271.00	3222.00	USD	2,450.00	
03OCT17	B	1	PD03JAN18	3275.50	3222.00	USD	-1,337.50	
03OCT17	B	1	PD03JAN18	3277.00	3222.00	USD	-1,375.00	
03OCT17	B	3	PD03JAN18	3276.00	3222.00	USD	-4,050.00	
03OCT17	B	1	PD03JAN18	3286.00	3222.00	USD	-1,600.00	
03OCT17	B	1	PD03JAN18	3287.50	3222.00	USD	-1,637.50	
03OCT17	B	3	PD03JAN18	3288.00	3222.00	USD	-4,950.00	
03OCT17	B	1	PD03JAN18	3290.00	3222.00	USD	-1,700.00	
03OCT17	B	1	PD03JAN18	3290.50	3222.00	USD	-1,712.50	
03OCT17	S	1	PD03JAN18	3288.50	3222.00	USD	1,662.50	
03OCT17	S	1	PD03JAN18	3288.00	3222.00	USD	1,650.00	
03OCT17	S	1	PD03JAN18	3287.50	3222.00	USD	1,637.50	
03OCT17	B	1	PD03JAN18	3287.00	3222.00	USD	-1,625.00	
03OCT17	B	1	PD03JAN18	3287.50	3222.00	USD	-1,637.50	
03OCT17	S	1	PD03JAN18	3289.00	3222.00	USD	1,675.00	
03OCT17	S	1	PD03JAN18	3288.50	3222.00	USD	1,662.50	
03OCT17	S	1	PD03JAN18	3280.00	3222.00	USD	1,450.00	
03OCT17	B	1	PD03JAN18	3266.50	3222.00	USD	-1,112.50	
03OCT17	B	1	PD03JAN18	3265.50	3222.00	USD	-1,087.50	
03OCT17	S	1	PD03JAN18	3255.00	3222.00	USD	825.00	
03OCT17	S	1	PD03JAN18	3262.50	3222.00	USD	1,012.50	
03OCT17	B	2	PD03JAN18	3261.50	3222.00	USD	-1,975.00	
03OCT17	B	1	PD03JAN18	3272.00	3222.00	USD	-1,250.00	
03OCT17	B	1	PD03JAN18	3279.00	3222.00	USD	-1,425.00	
03OCT17	B	2	PD03JAN18	3278.50	3222.00	USD	-2,825.00	
03OCT17	B	2	PD03JAN18	3277.50	3222.00	USD	-2,775.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	2	PD03JAN18	3278.00	3222.00	USD	-2,800.00	
030CT17	B	1	PD03JAN18	3267.00	3222.00	USD	-1,125.00	
040CT17	S	9	PD03JAN18	3301.80	3222.00	USD	17,955.00	
	NET	0 AVG BGHT 3276.19	SOLD 3269.64				-5,082.50	0.00
040CT17	B	1	PD04JAN18	3286.00	3221.50	USD	-1,612.50	
040CT17	S	1	PD04JAN18	3294.50	3221.50	USD	1,825.00	
040CT17	B	1	PD04JAN18	3284.00	3221.50	USD	-1,562.50	
040CT17	S	1	PD04JAN18	3279.50	3221.50	USD	1,450.00	
	NET	0 AVG BGHT 3285.00	SOLD 3287.00				100.00	0.00
050CT17	S	1	PD05JAN18	3307.00	3221.00	USD	2,150.00	
060CT17	S	2	PD05JAN18	3286.00	3221.00	USD	3,250.00	
060CT17	B	1	PD05JAN18	3255.00	3221.00	USD	-850.00	
100CT17	B	1	PD05JAN18	3280.64	3221.00	USD	-1,491.00	
	NET	-1 AVG BGHT 3267.82	SOLD 3293.00				3,059.00	0.00
090CT17	S	1	PD09JAN18	3263.00	3220.00	USD	1,075.00	
090CT17	B	1	PD09JAN18	3255.50	3220.00	USD	-887.50	
090CT17	B	1	PD09JAN18	3254.00	3220.00	USD	-850.00	
100CT17	S	1	PD09JAN18	3279.35	3220.00	USD	1,483.75	
	NET	0 AVG BGHT 3254.75	SOLD 3271.18				821.25	0.00
100CT17	B	1	PD10JAN18	3223.00	3219.50	USD	-87.50	
100CT17	S	1	PD10JAN18	3281.50	3219.50	USD	1,550.00	
100CT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	
100CT17	B	1	PD10JAN18	3278.00	3219.50	USD	-1,462.50	
100CT17	B	1	PD10JAN18	3278.50	3219.50	USD	-1,475.00	
100CT17	S	1	PD10JAN18	3278.00	3219.50	USD	1,462.50	
100CT17	B	1	PD10JAN18	3278.00	3219.50	USD	-1,462.50	
	NET	+1 AVG BGHT 3264.38	SOLD 3280.67				100.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	55,288.50
LME Lead 25 tonnes. This is an	FUTURES	-7,485.25
LME Nickel 6 tonnes. This is	FUTURES	5,161.68
LME Zinc 25 tonnes. This is	FUTURES	26,340.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 2,127.50	2,127.50		
LEAD - Futures	USD 56,639.75	56,639.75		
NKL - Futures	USD 5,161.68	5,161.68		
ZINC - Futures	USD 26,302.75	26,302.75	90,231.68	90,231.68

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,001,340.70	-1,001,340.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-1,001,340.70	-1,001,340.70
Initial Margins		0.00	0.00
Excess/(Shortage)		-1,001,340.70	-1,001,340.70
FOR INFORMATION ONLY			
Forward Unrealised P&L		90,231.68	90,231.68
Net Liquidating Value		-911,109.02	-911,109.02

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	B	1	PD120CT17	1902.50	2104.25	USD	5,043.75	
12JUL17	B	1	PD120CT17	1900.50	2104.25	USD	5,093.75	
12JUL17	B	1	PD120CT17	1896.50	2104.25	USD	5,193.75	
12JUL17	B	1	PD120CT17	1898.00	2104.25	USD	5,156.25	
12JUL17	B	2	PD120CT17	1893.50	2104.25	USD	10,537.50	
12JUL17	B	1	PD120CT17	1893.00	2104.25	USD	5,281.25	
12JUL17	S	1	PD120CT17	1899.50	2104.25	USD	-5,118.75	
12JUL17	B	1	PD120CT17	1890.00	2104.25	USD	5,356.25	
12JUL17	B	1	PD120CT17	1882.50	2104.25	USD	5,543.75	
12JUL17	S	1	PD120CT17	1889.00	2104.25	USD	-5,381.25	
13JUL17	S	1	PD120CT17	1922.69	2104.25	USD	-4,539.00	
18JUL17	S	6	PD120CT17	1929.74	2104.25	USD	-26,176.50	
	NET	0	AVG BGHT 1894.44				5,990.75	0.00
			SOLD 1921.07					
13JUL17	S	1	PD130CT17	1900.50	2104.75	USD	-5,106.25	
13JUL17	S	2	PD130CT17	1899.50	2104.75	USD	-10,262.50	
13JUL17	S	4	PD130CT17	1898.50	2104.75	USD	-20,625.00	
13JUL17	S	1	PD130CT17	1899.00	2104.75	USD	-5,143.75	
13JUL17	S	1	PD130CT17	1904.50	2104.75	USD	-5,006.25	
13JUL17	S	1	PD130CT17	1905.00	2104.75	USD	-4,993.75	
13JUL17	S	1	PD130CT17	1907.00	2104.75	USD	-4,943.75	
13JUL17	S	1	PD130CT17	1908.00	2104.75	USD	-4,918.75	
13JUL17	B	1	PD130CT17	1908.00	2104.75	USD	4,918.75	
13JUL17	B	1	PD130CT17	1905.00	2104.75	USD	4,993.75	
13JUL17	S	2	PD130CT17	1922.00	2104.75	USD	-9,137.50	
13JUL17	S	3	PD130CT17	1922.50	2104.75	USD	-13,668.75	
13JUL17	S	1	PD130CT17	1923.00	2104.75	USD	-4,543.75	
13JUL17	S	2	PD130CT17	1925.00	2104.75	USD	-8,987.50	
13JUL17	S	1	PD130CT17	1926.50	2104.75	USD	-4,456.25	
13JUL17	S	1	PD130CT17	1930.00	2104.75	USD	-4,368.75	
13JUL17	S	1	PD130CT17	1938.00	2104.75	USD	-4,168.75	
13JUL17	S	1	PD130CT17	1942.50	2104.75	USD	-4,056.25	
13JUL17	S	2	PD130CT17	1941.50	2104.75	USD	-8,162.50	
13JUL17	S	1	PD130CT17	1940.00	2104.75	USD	-4,118.75	
13JUL17	B	2	PD130CT17	1934.00	2104.75	USD	8,537.50	
13JUL17	B	1	PD130CT17	1927.50	2104.75	USD	4,431.25	
13JUL17	B	1	PD130CT17	1922.00	2104.75	USD	4,568.75	
13JUL17	S	1	PD130CT17	1931.00	2104.75	USD	-4,343.75	
13JUL17	B	1	PD130CT17	1931.00	2104.75	USD	4,343.75	
13JUL17	B	1	PD130CT17	1924.50	2104.75	USD	4,506.25	
13JUL17	B	2	PD130CT17	1925.00	2104.75	USD	8,987.50	
13JUL17	B	2	PD130CT17	1923.50	2104.75	USD	9,062.50	
13JUL17	S	1	PD130CT17	1927.00	2104.75	USD	-4,443.75	
13JUL17	S	1	PD130CT17	1929.00	2104.75	USD	-4,393.75	
13JUL17	S	1	PD130CT17	1931.50	2104.75	USD	-4,331.25	
13JUL17	S	1	PD130CT17	1933.50	2104.75	USD	-4,281.25	
13JUL17	S	4	PD130CT17	1932.50	2104.75	USD	-17,225.00	
13JUL17	S	2	PD130CT17	1935.00	2104.75	USD	-8,487.50	
13JUL17	B	3	PD130CT17	1926.00	2104.75	USD	13,406.25	
13JUL17	B	1	PD130CT17	1925.50	2104.75	USD	4,481.25	
13JUL17	B	2	PD130CT17	1924.00	2104.75	USD	9,037.50	
13JUL17	B	4	PD130CT17	1923.00	2104.75	USD	18,175.00	
13JUL17	B	3	PD130CT17	1920.50	2104.75	USD	13,818.75	
13JUL17	B	3	PD130CT17	1918.50	2104.75	USD	13,968.75	
14JUL17	B	1	PD130CT17	1928.00	2104.75	USD	4,418.75	
14JUL17	B	1	PD130CT17	1922.50	2104.75	USD	4,556.25	
14JUL17	S	1	PD130CT17	1928.00	2104.75	USD	-4,418.75	
14JUL17	S	1	PD130CT17	1928.50	2104.75	USD	-4,406.25	

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14JUL17	B	2	PD130CT17	1923.00	2104.75	USD	9,087.50	
14JUL17	B	1	PD130CT17	1921.50	2104.75	USD	4,581.25	
14JUL17	B	1	PD130CT17	1921.00	2104.75	USD	4,593.75	
14JUL17	S	1	PD130CT17	1923.00	2104.75	USD	-4,543.75	
14JUL17	S	3	PD130CT17	1925.00	2104.75	USD	-13,481.25	
14JUL17	S	1	PD130CT17	1924.50	2104.75	USD	-4,506.25	
14JUL17	B	1	PD130CT17	1924.00	2104.75	USD	4,518.75	
18JUL17	B	6	PD130CT17	1930.15	2104.75	USD	26,190.00	
18JUL17	B	4	PD130CT17	1929.95	2104.75	USD	17,480.00	
	NET	0AVG BGHT 1924.60	SOLD 1922.06				-2,867.50	0.00
17JUL17	S	1	PD170CT17	1935.00	2106.75	USD	-4,293.75	
17JUL17	B	1	PD170CT17	1932.00	2106.75	USD	4,368.75	
17JUL17	S	4	PD170CT17	1938.50	2106.75	USD	-16,825.00	
17JUL17	S	1	PD170CT17	1940.50	2106.75	USD	-4,156.25	
17JUL17	B	1	PD170CT17	1928.00	2106.75	USD	4,468.75	
17JUL17	S	1	PD170CT17	1939.50	2106.75	USD	-4,181.25	
17JUL17	S	1	PD170CT17	1928.50	2106.75	USD	-4,456.25	
17JUL17	B	2	PD170CT17	1924.00	2106.75	USD	9,137.50	
17JUL17	B	2	PD170CT17	1924.50	2106.75	USD	9,112.50	
17JUL17	B	2	PD170CT17	1923.50	2106.75	USD	9,162.50	
17JUL17	B	2	PD170CT17	1922.50	2106.75	USD	9,212.50	
17JUL17	B	1	PD170CT17	1906.50	2106.75	USD	5,006.25	
17JUL17	B	2	PD170CT17	1904.50	2106.75	USD	10,112.50	
17JUL17	B	1	PD170CT17	1898.50	2106.75	USD	5,206.25	
17JUL17	S	1	PD170CT17	1902.50	2106.75	USD	-5,106.25	
17JUL17	S	1	PD170CT17	1904.00	2106.75	USD	-5,068.75	
17JUL17	S	1	PD170CT17	1904.50	2106.75	USD	-5,056.25	
17JUL17	S	1	PD170CT17	1913.50	2106.75	USD	-4,831.25	
18JUL17	S	4	PD170CT17	1930.59	2106.75	USD	-17,616.00	
24JUL17	B	2	PD170CT17	1911.30	2106.75	USD	9,772.50	
	NET	0AVG BGHT 1917.85	SOLD 1927.77				3,969.00	0.00
18JUL17	B	1	PD180CT17	1900.00	2107.25	USD	5,181.25	
18JUL17	S	1	PD180CT17	1906.50	2107.25	USD	-5,018.75	
18JUL17	S	1	PD180CT17	1907.50	2107.25	USD	-4,993.75	
18JUL17	S	1	PD180CT17	1908.00	2107.25	USD	-4,981.25	
18JUL17	S	1	PD180CT17	1905.00	2107.25	USD	-5,056.25	
18JUL17	S	1	PD180CT17	1907.00	2107.25	USD	-5,006.25	
18JUL17	S	1	PD180CT17	1916.50	2107.25	USD	-4,768.75	
18JUL17	S	2	PD180CT17	1917.00	2107.25	USD	-9,512.50	
18JUL17	S	1	PD180CT17	1917.50	2107.25	USD	-4,743.75	
18JUL17	S	1	PD180CT17	1919.00	2107.25	USD	-4,706.25	
18JUL17	S	1	PD180CT17	1918.50	2107.25	USD	-4,718.75	
18JUL17	S	1	PD180CT17	1920.00	2107.25	USD	-4,681.25	
18JUL17	S	1	PD180CT17	1921.50	2107.25	USD	-4,643.75	
18JUL17	S	1	PD180CT17	1927.00	2107.25	USD	-4,506.25	
18JUL17	S	1	PD180CT17	1927.50	2107.25	USD	-4,493.75	
18JUL17	S	2	PD180CT17	1931.50	2107.25	USD	-8,787.50	
18JUL17	S	3	PD180CT17	1930.50	2107.25	USD	-13,256.25	
24JUL17	B	19	PD180CT17	1911.50	2107.25	USD	92,981.25	
	NET	0AVG BGHT 1910.93	SOLD 1919.50				4,287.50	0.00
19JUL17	B	1	PD190CT17	1928.50	2107.75	USD	4,481.25	
19JUL17	B	1	PD190CT17	1937.00	2107.75	USD	4,268.75	
19JUL17	B	2	PD190CT17	1931.50	2107.75	USD	8,812.50	
19JUL17	B	2	PD190CT17	1933.00	2107.75	USD	8,737.50	
19JUL17	B	1	PD190CT17	1932.50	2107.75	USD	4,381.25	
19JUL17	B	1	PD190CT17	1933.50	2107.75	USD	4,356.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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19JUL17	B	3	PD19OCT17	1932.00	2107.75	USD	13,181.25	
19JUL17	B	1	PD19OCT17	1930.50	2107.75	USD	4,431.25	
19JUL17	B	3	PD19OCT17	1928.00	2107.75	USD	13,481.25	
19JUL17	B	1	PD19OCT17	1927.00	2107.75	USD	4,518.75	
19JUL17	B	1	PD19OCT17	1924.50	2107.75	USD	4,581.25	
19JUL17	B	1	PD19OCT17	1922.00	2107.75	USD	4,643.75	
19JUL17	B	1	PD19OCT17	1918.50	2107.75	USD	4,731.25	
19JUL17	B	1	PD19OCT17	1918.00	2107.75	USD	4,743.75	
19JUL17	S	1	PD19OCT17	1922.00	2107.75	USD	-4,643.75	
19JUL17	S	1	PD19OCT17	1925.00	2107.75	USD	-4,568.75	
24JUL17	S	18	PD19OCT17	1911.38	2107.75	USD	-88,366.50	
	NET	0AVG BGHT 1929.05	SOLD 1912.59				-8,229.00	0.00
20JUL17	S	2	PD20OCT17	1928.00	2108.25	USD	-9,012.50	
20JUL17	S	1	PD20OCT17	1928.50	2108.25	USD	-4,493.75	
20JUL17	B	1	PD20OCT17	1925.00	2108.25	USD	4,581.25	
20JUL17	B	1	PD20OCT17	1924.00	2108.25	USD	4,606.25	
20JUL17	S	4	PD20OCT17	1929.00	2108.25	USD	-17,925.00	
20JUL17	S	4	PD20OCT17	1926.50	2108.25	USD	-18,175.00	
20JUL17	B	3	PD20OCT17	1923.50	2108.25	USD	13,856.25	
20JUL17	B	1	PD20OCT17	1921.50	2108.25	USD	4,668.75	
20JUL17	B	1	PD20OCT17	1923.00	2108.25	USD	4,631.25	
20JUL17	B	3	PD20OCT17	1920.50	2108.25	USD	14,081.25	
20JUL17	B	1	PD20OCT17	1919.50	2108.25	USD	4,718.75	
20JUL17	B	1	PD20OCT17	1918.50	2108.25	USD	4,743.75	
20JUL17	B	1	PD20OCT17	1917.00	2108.25	USD	4,781.25	
20JUL17	B	1	PD20OCT17	1916.50	2108.25	USD	4,793.75	
20JUL17	B	1	PD20OCT17	1915.50	2108.25	USD	4,818.75	
20JUL17	B	2	PD20OCT17	1922.00	2108.25	USD	9,312.50	
20JUL17	B	2	PD20OCT17	1920.00	2108.25	USD	9,412.50	
20JUL17	S	1	PD20OCT17	1918.00	2108.25	USD	-4,756.25	
20JUL17	B	1	PD20OCT17	1918.00	2108.25	USD	4,756.25	
20JUL17	S	1	PD20OCT17	1919.50	2108.25	USD	-4,718.75	
20JUL17	S	1	PD20OCT17	1916.50	2108.25	USD	-4,793.75	
20JUL17	S	1	PD20OCT17	1921.50	2108.25	USD	-4,668.75	
20JUL17	S	2	PD20OCT17	1921.00	2108.25	USD	-9,362.50	
21JUL17	S	1	PD20OCT17	1926.00	2108.25	USD	-4,556.25	
21JUL17	S	1	PD20OCT17	1925.50	2108.25	USD	-4,568.75	
21JUL17	S	1	PD20OCT17	1927.00	2108.25	USD	-4,531.25	
21JUL17	S	1	PD20OCT17	1927.50	2108.25	USD	-4,518.75	
21JUL17	S	2	PD20OCT17	1928.00	2108.25	USD	-9,012.50	
21JUL17	S	2	PD20OCT17	1929.00	2108.25	USD	-8,962.50	
21JUL17	S	1	PD20OCT17	1931.50	2108.25	USD	-4,418.75	
21JUL17	S	1	PD20OCT17	1932.00	2108.25	USD	-4,406.25	
21JUL17	S	3	PD20OCT17	1931.00	2108.25	USD	-13,293.75	
21JUL17	B	1	PD20OCT17	1927.50	2108.25	USD	4,518.75	
21JUL17	B	3	PD20OCT17	1926.00	2108.25	USD	13,668.75	
21JUL17	B	1	PD20OCT17	1928.00	2108.25	USD	4,506.25	
21JUL17	B	2	PD20OCT17	1921.00	2108.25	USD	9,362.50	
21JUL17	B	1	PD20OCT17	1925.00	2108.25	USD	4,581.25	
21JUL17	B	1	PD20OCT17	1913.50	2108.25	USD	4,868.75	
21JUL17	B	1	PD20OCT17	1916.50	2108.25	USD	4,793.75	
21JUL17	B	1	PD20OCT17	1916.00	2108.25	USD	4,806.25	
21JUL17	B	1	PD20OCT17	1918.50	2108.25	USD	4,743.75	
21JUL17	B	1	PD20OCT17	1918.00	2108.25	USD	4,756.25	
24JUL17	S	3	PD20OCT17	1911.47	2108.25	USD	-14,758.50	
	NET	0AVG BGHT 1921.14	SOLD 1925.30				3,435.25	0.00
24JUL17	B	5	PD24OCT17	1921.50	2110.25	USD	23,593.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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24JUL17	B	2	PD240CT17	1920.50	2110.25	USD	9,487.50	
24JUL17	B	1	PD240CT17	1917.00	2110.25	USD	4,831.25	
24JUL17	B	1	PD240CT17	1913.50	2110.25	USD	4,918.75	
24JUL17	B	2	PD240CT17	1912.00	2110.25	USD	9,912.50	
24JUL17	S	1	PD240CT17	1914.50	2110.25	USD	-4,893.75	
24JUL17	S	3	PD240CT17	1914.00	2110.25	USD	-14,718.75	
24JUL17	B	1	PD240CT17	1910.50	2110.25	USD	4,993.75	
24JUL17	B	1	PD240CT17	1912.50	2110.25	USD	4,943.75	
24JUL17	B	9	PD240CT17	1913.00	2110.25	USD	44,381.25	
26JUL17	S	12	PD240CT17	1947.00	2110.25	USD	-48,975.00	
01AUG17	B	2	PD240CT17	1904.69	2110.25	USD	10,278.00	
	NET	+8 AVG	BGHT 1914.68	SOLD 1938.78			48,753.00	0.00
25JUL17	S	2	PD250CT17	1918.50	2110.75	USD	-9,612.50	
25JUL17	S	1	PD250CT17	1919.00	2110.75	USD	-4,793.75	
25JUL17	S	1	PD250CT17	1922.00	2110.75	USD	-4,718.75	
25JUL17	B	1	PD250CT17	1917.50	2110.75	USD	4,831.25	
25JUL17	B	1	PD250CT17	1916.50	2110.75	USD	4,856.25	
25JUL17	S	1	PD250CT17	1924.50	2110.75	USD	-4,656.25	
25JUL17	S	2	PD250CT17	1923.00	2110.75	USD	-9,387.50	
25JUL17	S	4	PD250CT17	1921.00	2110.75	USD	-18,975.00	
25JUL17	S	5	PD250CT17	1921.50	2110.75	USD	-23,656.25	
25JUL17	S	2	PD250CT17	1922.50	2110.75	USD	-9,412.50	
25JUL17	S	2	PD250CT17	1923.50	2110.75	USD	-9,362.50	
25JUL17	B	5	PD250CT17	1918.50	2110.75	USD	24,031.25	
25JUL17	B	5	PD250CT17	1919.50	2110.75	USD	23,906.25	
25JUL17	B	2	PD250CT17	1920.00	2110.75	USD	9,537.50	
25JUL17	S	1	PD250CT17	1929.00	2110.75	USD	-4,543.75	
25JUL17	S	1	PD250CT17	1930.00	2110.75	USD	-4,518.75	
25JUL17	S	1	PD250CT17	1931.00	2110.75	USD	-4,493.75	
25JUL17	S	1	PD250CT17	1935.00	2110.75	USD	-4,393.75	
25JUL17	B	1	PD250CT17	1935.50	2110.75	USD	4,381.25	
25JUL17	B	1	PD250CT17	1940.00	2110.75	USD	4,268.75	
25JUL17	B	1	PD250CT17	1939.50	2110.75	USD	4,281.25	
26JUL17	B	12	PD250CT17	1947.46	2110.75	USD	48,987.00	
01AUG17	B	3	PD250CT17	1904.98	2110.75	USD	15,432.75	
	NET	+8 AVG	BGHT 1930.11	SOLD 1923.21			31,988.50	0.00
26JUL17	B	1	PD260CT17	1954.50	2111.25	USD	3,918.75	
26JUL17	B	1	PD260CT17	1952.50	2111.25	USD	3,968.75	
26JUL17	B	1	PD260CT17	1950.00	2111.25	USD	4,031.25	
26JUL17	B	1	PD260CT17	1943.00	2111.25	USD	4,206.25	
26JUL17	B	1	PD260CT17	1941.00	2111.25	USD	4,256.25	
26JUL17	B	1	PD260CT17	1940.00	2111.25	USD	4,281.25	
26JUL17	B	1	PD260CT17	1936.00	2111.25	USD	4,381.25	
26JUL17	S	1	PD260CT17	1941.00	2111.25	USD	-4,256.25	
26JUL17	S	1	PD260CT17	1942.00	2111.25	USD	-4,231.25	
26JUL17	S	1	PD260CT17	1948.50	2111.25	USD	-4,068.75	
26JUL17	S	1	PD260CT17	1949.00	2111.25	USD	-4,056.25	
26JUL17	S	1	PD260CT17	1940.50	2111.25	USD	-4,268.75	
26JUL17	S	1	PD260CT17	1941.00	2111.25	USD	-4,256.25	
26JUL17	B	1	PD260CT17	1948.00	2111.25	USD	4,081.25	
26JUL17	B	1	PD260CT17	1945.50	2111.25	USD	4,143.75	
26JUL17	B	1	PD260CT17	1934.50	2111.25	USD	4,418.75	
01AUG17	S	4	PD260CT17	1905.07	2111.25	USD	-20,618.00	
	NET	0 AVG	BGHT 1944.50	SOLD 1928.23			-4,068.00	0.00
27JUL17	S	1	PD270CT17	1939.50	2111.00	USD	-4,287.50	
27JUL17	S	1	PD270CT17	1941.00	2111.00	USD	-4,250.00	

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
27JUL17	S	1	PD27OCT17	1944.00	2111.00	USD	-4,175.00	
27JUL17	B	1	PD27OCT17	1945.00	2111.00	USD	4,150.00	
27JUL17	B	1	PD27OCT17	1944.50	2111.00	USD	4,162.50	
27JUL17	B	1	PD27OCT17	1942.00	2111.00	USD	4,225.00	
27JUL17	B	2	PD27OCT17	1941.50	2111.00	USD	8,475.00	
27JUL17	B	3	PD27OCT17	1944.00	2111.00	USD	12,525.00	
27JUL17	S	1	PD27OCT17	1952.50	2111.00	USD	-3,962.50	
27JUL17	S	1	PD27OCT17	1956.00	2111.00	USD	-3,875.00	
27JUL17	S	1	PD27OCT17	1955.00	2111.00	USD	-3,900.00	
27JUL17	S	1	PD27OCT17	1950.50	2111.00	USD	-4,012.50	
27JUL17	S	2	PD27OCT17	1951.00	2111.00	USD	-8,000.00	
27JUL17	S	1	PD27OCT17	1949.00	2111.00	USD	-4,050.00	
27JUL17	S	1	PD27OCT17	1948.50	2111.00	USD	-4,062.50	
27JUL17	B	1	PD27OCT17	1946.00	2111.00	USD	4,125.00	
27JUL17	B	1	PD27OCT17	1938.50	2111.00	USD	4,312.50	
28JUL17	B	1	PD27OCT17	1926.50	2111.00	USD	4,612.50	
28JUL17	B	1	PD27OCT17	1924.00	2111.00	USD	4,675.00	
28JUL17	S	1	PD27OCT17	1933.00	2111.00	USD	-4,450.00	
28JUL17	B	3	PD27OCT17	1925.00	2111.00	USD	13,950.00	
28JUL17	B	1	PD27OCT17	1924.50	2111.00	USD	4,662.50	
28JUL17	S	1	PD27OCT17	1931.00	2111.00	USD	-4,500.00	
28JUL17	B	1	PD27OCT17	1919.50	2111.00	USD	4,787.50	
28JUL17	B	1	PD27OCT17	1918.50	2111.00	USD	4,812.50	
28JUL17	B	1	PD27OCT17	1917.50	2111.00	USD	4,837.50	
28JUL17	B	2	PD27OCT17	1917.00	2111.00	USD	9,700.00	
28JUL17	B	1	PD27OCT17	1916.00	2111.00	USD	4,875.00	
28JUL17	B	2	PD27OCT17	1914.00	2111.00	USD	9,850.00	
28JUL17	B	1	PD27OCT17	1914.50	2111.00	USD	4,912.50	
28JUL17	S	1	PD27OCT17	1919.00	2111.00	USD	-4,800.00	
28JUL17	S	1	PD27OCT17	1931.00	2111.00	USD	-4,500.00	
28JUL17	B	1	PD27OCT17	1926.50	2111.00	USD	4,612.50	
28JUL17	B	3	PD27OCT17	1910.50	2111.00	USD	15,037.50	
28JUL17	S	1	PD27OCT17	1913.50	2111.00	USD	-4,937.50	
28JUL17	S	1	PD27OCT17	1914.50	2111.00	USD	-4,912.50	
28JUL17	S	1	PD27OCT17	1915.50	2111.00	USD	-4,887.50	
28JUL17	S	1	PD27OCT17	1917.00	2111.00	USD	-4,850.00	
28JUL17	S	1	PD27OCT17	1925.00	2111.00	USD	-4,650.00	
28JUL17	B	1	PD27OCT17	1916.50	2111.00	USD	4,862.50	
28JUL17	B	15	PD27OCT17	1910.00	2111.00	USD	75,375.00	
28JUL17	S	1	PD27OCT17	1913.00	2111.00	USD	-4,950.00	
28JUL17	S	5	PD27OCT17	1910.50	2111.00	USD	-25,062.50	
28JUL17	B	1	PD27OCT17	1910.00	2111.00	USD	5,025.00	
28JUL17	S	3	PD27OCT17	1910.00	2111.00	USD	-15,075.00	
01AUG17	S	1	PD27OCT17	1905.36	2111.00	USD	-5,141.00	
	NET	+16 AVG BGHT 1920.95	SOLD 1927.95				81,271.50	0.00
31JUL17	B	1	PD31OCT17	1914.00	2110.50	USD	4,912.50	
31JUL17	S	1	PD31OCT17	1921.00	2110.50	USD	-4,737.50	
31JUL17	S	1	PD31OCT17	1926.00	2110.50	USD	-4,612.50	
31JUL17	B	2	PD31OCT17	1916.00	2110.50	USD	9,725.00	
31JUL17	S	2	PD31OCT17	1922.00	2110.50	USD	-9,425.00	
31JUL17	S	3	PD31OCT17	1923.50	2110.50	USD	-14,025.00	
31JUL17	B	2	PD31OCT17	1916.50	2110.50	USD	9,700.00	
31JUL17	B	1	PD31OCT17	1913.00	2110.50	USD	4,937.50	
31JUL17	B	2	PD31OCT17	1912.50	2110.50	USD	9,900.00	
31JUL17	S	1	PD31OCT17	1920.00	2110.50	USD	-4,762.50	
	NET	0 AVG BGHT 1914.63	SOLD 1922.69				1,612.50	0.00
01AUG17	B	1	PD01NOV17	1915.00	2110.81	USD	4,895.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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01AUG17	B	1	PD01NOV17	1916.50	2110.81	USD	4,857.75	
01AUG17	B	1	PD01NOV17	1917.00	2110.81	USD	4,845.25	
01AUG17	B	1	PD01NOV17	1913.00	2110.81	USD	4,945.25	
01AUG17	B	1	PD01NOV17	1911.00	2110.81	USD	4,995.25	
01AUG17	B	2	PD01NOV17	1910.50	2110.81	USD	10,015.50	
01AUG17	B	1	PD01NOV17	1909.00	2110.81	USD	5,045.25	
01AUG17	B	1	PD01NOV17	1906.00	2110.81	USD	5,120.25	
01AUG17	B	2	PD01NOV17	1905.50	2110.81	USD	10,265.50	
01AUG17	B	1	PD01NOV17	1908.00	2110.81	USD	5,070.25	
01AUG17	B	1	PD01NOV17	1908.50	2110.81	USD	5,057.75	
01AUG17	B	1	PD01NOV17	1907.50	2110.81	USD	5,082.75	
03AUG17	S	8	PD01NOV17	1917.00	2110.81	USD	-38,762.00	
07AUG17	S	5	PD01NOV17	1962.58	2110.81	USD	-18,528.75	
10AUG17	S	1	PD01NOV17	2035.47	2110.81	USD	-1,883.50	
	NET	0AVG	BGHT 1910.25	SOLD 1941.74			11,021.75	0.00
02AUG17	S	2	PD02NOV17	1915.50	2111.13	USD	-9,781.50	
02AUG17	S	1	PD02NOV17	1914.50	2111.13	USD	-4,915.75	
02AUG17	S	4	PD02NOV17	1915.00	2111.13	USD	-19,613.00	
02AUG17	S	1	PD02NOV17	1921.00	2111.13	USD	-4,753.25	
02AUG17	S	1	PD02NOV17	1921.50	2111.13	USD	-4,740.75	
02AUG17	S	1	PD02NOV17	1931.00	2111.13	USD	-4,503.25	
02AUG17	S	1	PD02NOV17	1933.00	2111.13	USD	-4,453.25	
02AUG17	S	1	PD02NOV17	1936.00	2111.13	USD	-4,378.25	
02AUG17	B	1	PD02NOV17	1926.50	2111.13	USD	4,615.75	
02AUG17	B	1	PD02NOV17	1921.00	2111.13	USD	4,753.25	
02AUG17	S	1	PD02NOV17	1923.00	2111.13	USD	-4,703.25	
02AUG17	S	1	PD02NOV17	1925.50	2111.13	USD	-4,640.75	
02AUG17	S	1	PD02NOV17	1926.50	2111.13	USD	-4,615.75	
02AUG17	S	1	PD02NOV17	1930.50	2111.13	USD	-4,515.75	
02AUG17	B	2	PD02NOV17	1930.00	2111.13	USD	9,056.50	
02AUG17	B	2	PD02NOV17	1929.00	2111.13	USD	9,106.50	
02AUG17	B	1	PD02NOV17	1927.00	2111.13	USD	4,603.25	
02AUG17	B	3	PD02NOV17	1925.50	2111.13	USD	13,922.25	
02AUG17	B	1	PD02NOV17	1925.00	2111.13	USD	4,653.25	
02AUG17	B	1	PD02NOV17	1923.50	2111.13	USD	4,690.75	
02AUG17	B	1	PD02NOV17	1924.50	2111.13	USD	4,665.75	
02AUG17	B	2	PD02NOV17	1922.00	2111.13	USD	9,456.50	
02AUG17	B	1	PD02NOV17	1920.50	2111.13	USD	4,765.75	
02AUG17	S	2	PD02NOV17	1918.50	2111.13	USD	-9,631.50	
02AUG17	S	1	PD02NOV17	1917.50	2111.13	USD	-4,840.75	
02AUG17	S	1	PD02NOV17	1929.00	2111.13	USD	-4,553.25	
02AUG17	S	1	PD02NOV17	1930.00	2111.13	USD	-4,528.25	
02AUG17	B	1	PD02NOV17	1922.50	2111.13	USD	4,715.75	
02AUG17	S	1	PD02NOV17	1927.50	2111.13	USD	-4,590.75	
02AUG17	S	1	PD02NOV17	1927.00	2111.13	USD	-4,603.25	
02AUG17	S	2	PD02NOV17	1926.00	2111.13	USD	-9,256.50	
03AUG17	B	8	PD02NOV17	1917.79	2111.13	USD	38,668.00	
	NET	0AVG	BGHT 1922.85	SOLD 1922.94			54.50	0.00
03AUG17	B	1	PD03NOV17	1926.50	2111.44	USD	4,623.50	
03AUG17	B	3	PD03NOV17	1925.50	2111.44	USD	13,945.50	
03AUG17	B	1	PD03NOV17	1923.50	2111.44	USD	4,698.50	
03AUG17	B	1	PD03NOV17	1919.50	2111.44	USD	4,798.50	
03AUG17	S	1	PD03NOV17	1923.50	2111.44	USD	-4,698.50	
03AUG17	S	2	PD03NOV17	1922.00	2111.44	USD	-9,472.00	
03AUG17	B	2	PD03NOV17	1919.00	2111.44	USD	9,622.00	
03AUG17	B	2	PD03NOV17	1917.50	2111.44	USD	9,697.00	
03AUG17	B	3	PD03NOV17	1917.00	2111.44	USD	14,583.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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03AUG17	S	1	PD03NOV17	1919.00	2111.44	USD	-4,811.00	
03AUG17	B	1	PD03NOV17	1913.50	2111.44	USD	4,948.50	
03AUG17	B	1	PD03NOV17	1910.00	2111.44	USD	5,036.00	
03AUG17	B	3	PD03NOV17	1912.50	2111.44	USD	14,920.50	
03AUG17	S	2	PD03NOV17	1920.50	2111.44	USD	-9,547.00	
03AUG17	B	2	PD03NOV17	1911.50	2111.44	USD	9,997.00	
03AUG17	B	2	PD03NOV17	1913.00	2111.44	USD	9,922.00	
04AUG17	B	1	PD03NOV17	1914.50	2111.44	USD	4,923.50	
04AUG17	B	1	PD03NOV17	1912.50	2111.44	USD	4,973.50	
04AUG17	B	1	PD03NOV17	1909.00	2111.44	USD	5,061.00	
04AUG17	B	1	PD03NOV17	1905.50	2111.44	USD	5,148.50	
04AUG17	S	2	PD03NOV17	1914.50	2111.44	USD	-9,847.00	
04AUG17	S	2	PD03NOV17	1915.00	2111.44	USD	-9,822.00	
04AUG17	S	1	PD03NOV17	1915.50	2111.44	USD	-4,898.50	
04AUG17	S	4	PD03NOV17	1911.50	2111.44	USD	-19,994.00	
04AUG17	B	1	PD03NOV17	1909.50	2111.44	USD	5,048.50	
04AUG17	B	1	PD03NOV17	1910.00	2111.44	USD	5,036.00	
04AUG17	S	3	PD03NOV17	1912.00	2111.44	USD	-14,958.00	
04AUG17	S	5	PD03NOV17	1912.50	2111.44	USD	-24,867.50	
04AUG17	B	2	PD03NOV17	1904.50	2111.44	USD	10,347.00	
04AUG17	B	3	PD03NOV17	1905.00	2111.44	USD	15,483.00	
04AUG17	S	4	PD03NOV17	1910.00	2111.44	USD	-20,144.00	
04AUG17	S	3	PD03NOV17	1911.00	2111.44	USD	-15,033.00	
04AUG17	S	1	PD03NOV17	1905.50	2111.44	USD	-5,148.50	
04AUG17	S	2	PD03NOV17	1907.00	2111.44	USD	-10,222.00	
04AUG17	S	2	PD03NOV17	1908.50	2111.44	USD	-10,147.00	
07AUG17	B	5	PD03NOV17	1963.25	2111.44	USD	18,523.75	
10AUG17	S	3	PD03NOV17	2035.81	2111.44	USD	-5,672.25	
	NET	0 AVG BGHT 1920.56	SOLD 1922.72				2,054.50	0.00
07AUG17	S	2	PD07NOV17	1923.00	2112.69	USD	-9,484.50	
07AUG17	S	3	PD07NOV17	1923.50	2112.69	USD	-14,189.25	
07AUG17	S	3	PD07NOV17	1927.00	2112.69	USD	-13,926.75	
07AUG17	B	1	PD07NOV17	1925.00	2112.69	USD	4,692.25	
07AUG17	B	1	PD07NOV17	1923.50	2112.69	USD	4,729.75	
07AUG17	B	1	PD07NOV17	1921.00	2112.69	USD	4,792.25	
07AUG17	B	2	PD07NOV17	1921.50	2112.69	USD	9,559.50	
07AUG17	S	2	PD07NOV17	1927.50	2112.69	USD	-9,259.50	
07AUG17	S	2	PD07NOV17	1928.50	2112.69	USD	-9,209.50	
07AUG17	S	3	PD07NOV17	1929.50	2112.69	USD	-13,739.25	
07AUG17	S	5	PD07NOV17	1929.00	2112.69	USD	-22,961.25	
07AUG17	S	3	PD07NOV17	1930.50	2112.69	USD	-13,664.25	
07AUG17	S	1	PD07NOV17	1929.50	2112.69	USD	-4,579.75	
07AUG17	S	1	PD07NOV17	1930.50	2112.69	USD	-4,554.75	
07AUG17	S	1	PD07NOV17	1939.50	2112.69	USD	-4,329.75	
07AUG17	B	1	PD07NOV17	1952.50	2112.69	USD	4,004.75	
07AUG17	B	1	PD07NOV17	1950.00	2112.69	USD	4,067.25	
07AUG17	S	1	PD07NOV17	1956.50	2112.69	USD	-3,904.75	
07AUG17	S	1	PD07NOV17	1957.00	2112.69	USD	-3,892.25	
07AUG17	S	1	PD07NOV17	1960.50	2112.69	USD	-3,804.75	
07AUG17	S	1	PD07NOV17	1961.00	2112.69	USD	-3,792.25	
07AUG17	B	1	PD07NOV17	1955.00	2112.69	USD	3,942.25	
07AUG17	S	1	PD07NOV17	1964.50	2112.69	USD	-3,704.75	
07AUG17	B	1	PD07NOV17	1975.00	2112.69	USD	3,442.25	
07AUG17	B	1	PD07NOV17	1974.00	2112.69	USD	3,467.25	
10AUG17	B	4	PD07NOV17	2036.69	2112.69	USD	7,600.00	
10AUG17	B	17	PD07NOV17	2036.49	2112.69	USD	32,385.00	
	NET	0 AVG BGHT 2006.00	SOLD 1933.34				-56,314.75	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
08AUG17	B	1	PD08NOV17	1975.00	2113.00	USD	3,450.00	
08AUG17	B	1	PD08NOV17	1978.00	2113.00	USD	3,375.00	
08AUG17	B	1	PD08NOV17	1981.00	2113.00	USD	3,300.00	
08AUG17	B	1	PD08NOV17	1976.00	2113.00	USD	3,425.00	
08AUG17	B	1	PD08NOV17	1974.00	2113.00	USD	3,475.00	
08AUG17	B	1	PD08NOV17	1974.50	2113.00	USD	3,462.50	
08AUG17	S	1	PD08NOV17	1987.00	2113.00	USD	-3,150.00	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	B	1	PD08NOV17	2000.00	2113.00	USD	2,825.00	
08AUG17	S	1	PD08NOV17	2006.00	2113.00	USD	-2,675.00	
08AUG17	B	1	PD08NOV17	1990.50	2113.00	USD	3,062.50	
08AUG17	B	1	PD08NOV17	1995.00	2113.00	USD	2,950.00	
08AUG17	B	1	PD08NOV17	1991.50	2113.00	USD	3,037.50	
08AUG17	B	2	PD08NOV17	1994.50	2113.00	USD	5,925.00	
08AUG17	B	1	PD08NOV17	2008.50	2113.00	USD	2,612.50	
08AUG17	B	1	PD08NOV17	2007.50	2113.00	USD	2,637.50	
08AUG17	B	1	PD08NOV17	2001.00	2113.00	USD	2,800.00	
08AUG17	B	2	PD08NOV17	2002.00	2113.00	USD	5,550.00	
08AUG17	B	1	PD08NOV17	2004.00	2113.00	USD	2,725.00	
08AUG17	B	3	PD08NOV17	2003.50	2113.00	USD	8,212.50	
08AUG17	B	1	PD08NOV17	2012.00	2113.00	USD	2,525.00	
08AUG17	B	1	PD08NOV17	2013.50	2113.00	USD	2,487.50	
10AUG17	S	17	PD08NOV17	2036.46	2113.00	USD	-32,529.50	
10AUG17	S	1	PD08NOV17	2036.66	2113.00	USD	-1,908.50	
14AUG17	S	2	PD08NOV17	2021.90	2113.00	USD	-4,555.00	
	NET	0 AVG BGHT 1995.02	SOLD 2030.40				20,344.50	0.00
09AUG17	S	3	PD09NOV17	2032.50	2113.46	USD	-6,072.00	
09AUG17	S	1	PD09NOV17	2033.50	2113.46	USD	-1,999.00	
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2042.00	2113.46	USD	-1,786.50	
09AUG17	S	2	PD09NOV17	2038.50	2113.46	USD	-3,748.00	
09AUG17	B	1	PD09NOV17	2036.00	2113.46	USD	1,936.50	
09AUG17	B	3	PD09NOV17	2030.00	2113.46	USD	6,259.50	
09AUG17	B	1	PD09NOV17	2029.00	2113.46	USD	2,111.50	
09AUG17	S	7	PD09NOV17	2025.50	2113.46	USD	-15,393.00	
09AUG17	B	1	PD09NOV17	2034.50	2113.46	USD	1,974.00	
09AUG17	B	1	PD09NOV17	2031.00	2113.46	USD	2,061.50	
09AUG17	B	1	PD09NOV17	2022.50	2113.46	USD	2,274.00	
09AUG17	S	1	PD09NOV17	2029.50	2113.46	USD	-2,099.00	
09AUG17	S	3	PD09NOV17	2030.00	2113.46	USD	-6,259.50	
09AUG17	B	1	PD09NOV17	2035.50	2113.46	USD	1,949.00	
09AUG17	S	1	PD09NOV17	2035.50	2113.46	USD	-1,949.00	
09AUG17	S	1	PD09NOV17	2039.50	2113.46	USD	-1,849.00	
09AUG17	B	5	PD09NOV17	2034.00	2113.46	USD	9,932.50	
09AUG17	B	1	PD09NOV17	2032.50	2113.46	USD	2,024.00	
09AUG17	B	1	PD09NOV17	2014.00	2113.46	USD	2,486.50	
09AUG17	S	1	PD09NOV17	2031.50	2113.46	USD	-2,049.00	
09AUG17	S	1	PD09NOV17	2033.00	2113.46	USD	-2,011.50	
09AUG17	S	1	PD09NOV17	2027.50	2113.46	USD	-2,149.00	
09AUG17	B	1	PD09NOV17	2020.00	2113.46	USD	2,336.50	
09AUG17	B	1	PD09NOV17	2021.00	2113.46	USD	2,311.50	
09AUG17	B	2	PD09NOV17	2015.00	2113.46	USD	4,923.00	
09AUG17	S	1	PD09NOV17	2021.50	2113.46	USD	-2,299.00	
09AUG17	B	1	PD09NOV17	2021.50	2113.46	USD	2,299.00	
09AUG17	B	1	PD09NOV17	2015.50	2113.46	USD	2,449.00	
10AUG17	B	1	PD09NOV17	2037.03	2113.46	USD	1,910.75	
	NET	0 AVG BGHT 2027.96	SOLD 2030.69				1,636.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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10AUG17	B	2	PD10NOV17	2024.00	2113.93	USD	4,496.50	
10AUG17	B	1	PD10NOV17	2021.50	2113.93	USD	2,310.75	
10AUG17	B	1	PD10NOV17	2021.00	2113.93	USD	2,323.25	
10AUG17	S	1	PD10NOV17	2025.00	2113.93	USD	-2,223.25	
10AUG17	S	1	PD10NOV17	2026.50	2113.93	USD	-2,185.75	
10AUG17	S	3	PD10NOV17	2030.00	2113.93	USD	-6,294.75	
10AUG17	S	1	PD10NOV17	2028.50	2113.93	USD	-2,135.75	
10AUG17	S	2	PD10NOV17	2029.00	2113.93	USD	-4,246.50	
10AUG17	S	2	PD10NOV17	2029.50	2113.93	USD	-4,221.50	
10AUG17	S	1	PD10NOV17	2033.50	2113.93	USD	-2,010.75	
10AUG17	S	1	PD10NOV17	2031.00	2113.93	USD	-2,073.25	
10AUG17	S	1	PD10NOV17	2032.00	2113.93	USD	-2,048.25	
10AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
10AUG17	S	2	PD10NOV17	2034.50	2113.93	USD	-3,971.50	
10AUG17	S	1	PD10NOV17	2037.00	2113.93	USD	-1,923.25	
10AUG17	S	2	PD10NOV17	2039.50	2113.93	USD	-3,721.50	
10AUG17	S	3	PD10NOV17	2040.00	2113.93	USD	-5,544.75	
10AUG17	B	1	PD10NOV17	2033.00	2113.93	USD	2,023.25	
10AUG17	B	1	PD10NOV17	2030.50	2113.93	USD	2,085.75	
10AUG17	S	1	PD10NOV17	2031.50	2113.93	USD	-2,060.75	
10AUG17	B	1	PD10NOV17	2028.00	2113.93	USD	2,148.25	
10AUG17	B	3	PD10NOV17	2026.00	2113.93	USD	6,594.75	
10AUG17	B	1	PD10NOV17	2028.50	2113.93	USD	2,135.75	
10AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
10AUG17	B	2	PD10NOV17	2025.00	2113.93	USD	4,446.50	
10AUG17	B	1	PD10NOV17	2024.50	2113.93	USD	2,235.75	
10AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
10AUG17	B	2	PD10NOV17	2036.00	2113.93	USD	3,896.50	
10AUG17	B	1	PD10NOV17	2034.00	2113.93	USD	1,998.25	
10AUG17	B	1	PD10NOV17	2038.00	2113.93	USD	1,898.25	
10AUG17	S	2	PD10NOV17	2038.50	2113.93	USD	-3,771.50	
10AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
10AUG17	S	2	PD10NOV17	2039.00	2113.93	USD	-3,746.50	
10AUG17	S	1	PD10NOV17	2039.50	2113.93	USD	-1,860.75	
10AUG17	S	1	PD10NOV17	2038.50	2113.93	USD	-1,885.75	
11AUG17	B	1	PD10NOV17	2023.50	2113.93	USD	2,260.75	
11AUG17	B	2	PD10NOV17	2022.00	2113.93	USD	4,596.50	
11AUG17	B	1	PD10NOV17	2021.00	2113.93	USD	2,323.25	
11AUG17	B	1	PD10NOV17	2020.50	2113.93	USD	2,335.75	
11AUG17	B	1	PD10NOV17	2020.00	2113.93	USD	2,348.25	
11AUG17	S	1	PD10NOV17	2019.50	2113.93	USD	-2,360.75	
11AUG17	S	1	PD10NOV17	2022.50	2113.93	USD	-2,285.75	
11AUG17	S	1	PD10NOV17	2031.50	2113.93	USD	-2,060.75	
11AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
11AUG17	S	2	PD10NOV17	2031.00	2113.93	USD	-4,146.50	
11AUG17	S	2	PD10NOV17	2034.50	2113.93	USD	-3,971.50	
11AUG17	S	1	PD10NOV17	2037.50	2113.93	USD	-1,910.75	
11AUG17	S	1	PD10NOV17	2038.00	2113.93	USD	-1,898.25	
11AUG17	S	1	PD10NOV17	2040.50	2113.93	USD	-1,835.75	
11AUG17	S	1	PD10NOV17	2041.50	2113.93	USD	-1,810.75	
11AUG17	S	1	PD10NOV17	2042.00	2113.93	USD	-1,798.25	
11AUG17	B	1	PD10NOV17	2034.50	2113.93	USD	1,985.75	
11AUG17	S	1	PD10NOV17	2025.00	2113.93	USD	-2,223.25	
11AUG17	B	1	PD10NOV17	2037.50	2113.93	USD	1,910.75	
11AUG17	B	2	PD10NOV17	2036.00	2113.93	USD	3,896.50	
11AUG17	B	1	PD10NOV17	2037.00	2113.93	USD	1,923.25	
11AUG17	S	1	PD10NOV17	2045.00	2113.93	USD	-1,723.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11AUG17	B	1	PD10NOV17	2041.50	2113.93	USD	1,810.75	
11AUG17	B	3	PD10NOV17	2040.50	2113.93	USD	5,507.25	
11AUG17	B	1	PD10NOV17	2046.50	2113.93	USD	1,685.75	
11AUG17	B	3	PD10NOV17	2045.50	2113.93	USD	5,132.25	
11AUG17	B	1	PD10NOV17	2045.00	2113.93	USD	1,723.25	
11AUG17	B	1	PD10NOV17	2044.50	2113.93	USD	1,735.75	
11AUG17	B	2	PD10NOV17	2044.00	2113.93	USD	3,496.50	
11AUG17	S	2	PD10NOV17	2043.50	2113.93	USD	-3,521.50	
14AUG17	B	2	PD10NOV17	2022.47	2113.93	USD	4,573.00	
	NET	0 AVG BGHT 2032.25	SOLD 2034.33				2,389.00	0.00
14AUG17	S	2	PD14NOV17	2042.00	2115.79	USD	-3,689.50	
14AUG17	B	2	PD14NOV17	2034.50	2115.79	USD	4,064.50	
14AUG17	B	2	PD14NOV17	2037.00	2115.79	USD	3,939.50	
14AUG17	B	1	PD14NOV17	2029.00	2115.79	USD	2,169.75	
14AUG17	B	3	PD14NOV17	2028.50	2115.79	USD	6,546.75	
14AUG17	B	2	PD14NOV17	2027.50	2115.79	USD	4,414.50	
14AUG17	S	2	PD14NOV17	2033.00	2115.79	USD	-4,139.50	
14AUG17	S	1	PD14NOV17	2035.00	2115.79	USD	-2,019.75	
14AUG17	S	2	PD14NOV17	2036.00	2115.79	USD	-3,989.50	
14AUG17	S	3	PD14NOV17	2037.00	2115.79	USD	-5,909.25	
14AUG17	B	1	PD14NOV17	2032.00	2115.79	USD	2,094.75	
14AUG17	S	6	PD14NOV17	2034.00	2115.79	USD	-12,268.50	
14AUG17	S	4	PD14NOV17	2034.50	2115.79	USD	-8,129.00	
14AUG17	S	1	PD14NOV17	2036.50	2115.79	USD	-1,982.25	
14AUG17	B	2	PD14NOV17	2035.50	2115.79	USD	4,014.50	
14AUG17	S	1	PD14NOV17	2041.50	2115.79	USD	-1,857.25	
14AUG17	B	4	PD14NOV17	2031.50	2115.79	USD	8,429.00	
14AUG17	B	2	PD14NOV17	2030.00	2115.79	USD	4,289.50	
14AUG17	B	3	PD14NOV17	2030.50	2115.79	USD	6,396.75	
14AUG17	B	2	PD14NOV17	2029.50	2115.79	USD	4,314.50	
14AUG17	B	1	PD14NOV17	2024.50	2115.79	USD	2,282.25	
14AUG17	B	1	PD14NOV17	2025.00	2115.79	USD	2,269.75	
14AUG17	B	1	PD14NOV17	2023.50	2115.79	USD	2,307.25	
14AUG17	S	4	PD14NOV17	2025.00	2115.79	USD	-9,079.00	
14AUG17	S	2	PD14NOV17	2025.50	2115.79	USD	-4,514.50	
14AUG17	B	2	PD14NOV17	2027.00	2115.79	USD	4,439.50	
14AUG17	S	1	PD14NOV17	2025.50	2115.79	USD	-2,257.25	
	NET	0 AVG BGHT 2030.31	SOLD 2033.26				2,137.50	0.00
21AUG17	S	1	PD21NOV17	2097.00	2118.10	USD	-527.50	
21AUG17	S	2	PD21NOV17	2091.50	2118.10	USD	-1,330.00	
21AUG17	S	1	PD21NOV17	2098.00	2118.10	USD	-502.50	
21AUG17	B	1	PD21NOV17	2087.50	2118.10	USD	765.00	
21AUG17	S	1	PD21NOV17	2083.00	2118.10	USD	-877.50	
21AUG17	S	1	PD21NOV17	2078.00	2118.10	USD	-1,002.50	
21AUG17	S	2	PD21NOV17	2076.50	2118.10	USD	-2,080.00	
21AUG17	S	2	PD21NOV17	2080.50	2118.10	USD	-1,880.00	
21AUG17	S	1	PD21NOV17	2075.50	2118.10	USD	-1,065.00	
21AUG17	S	3	PD21NOV17	2077.00	2118.10	USD	-3,082.50	
21AUG17	S	1	PD21NOV17	2079.00	2118.10	USD	-977.50	
21AUG17	S	1	PD21NOV17	2084.50	2118.10	USD	-840.00	
29AUG17	B	15	PD21NOV17	2093.50	2118.10	USD	9,225.00	
	NET	0 AVG BGHT 2093.13	SOLD 2082.69				-4,175.00	0.00
22AUG17	B	2	PD22NOV17	2073.00	2118.41	USD	2,270.50	
22AUG17	B	1	PD22NOV17	2072.00	2118.41	USD	1,160.25	
22AUG17	S	1	PD22NOV17	2075.50	2118.41	USD	-1,072.75	
22AUG17	S	2	PD22NOV17	2074.00	2118.41	USD	-2,220.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22AUG17	S	2	PD22NOV17	2085.00	2118.41	USD	-1,670.50	
22AUG17	S	1	PD22NOV17	2078.50	2118.41	USD	-997.75	
22AUG17	B	2	PD22NOV17	2075.00	2118.41	USD	2,170.50	
22AUG17	B	1	PD22NOV17	2074.50	2118.41	USD	1,097.75	
22AUG17	B	1	PD22NOV17	2083.50	2118.41	USD	872.75	
22AUG17	B	4	PD22NOV17	2081.00	2118.41	USD	3,741.00	
22AUG17	B	1	PD22NOV17	2080.50	2118.41	USD	947.75	
22AUG17	B	1	PD22NOV17	2079.50	2118.41	USD	972.75	
22AUG17	B	1	PD22NOV17	2082.00	2118.41	USD	910.25	
22AUG17	B	1	PD22NOV17	2077.50	2118.41	USD	1,022.75	
22AUG17	B	2	PD22NOV17	2078.00	2118.41	USD	2,020.50	
22AUG17	B	1	PD22NOV17	2073.00	2118.41	USD	1,135.25	
22AUG17	B	1	PD22NOV17	2080.50	2118.41	USD	947.75	
22AUG17	S	1	PD22NOV17	2076.50	2118.41	USD	-1,047.75	
22AUG17	B	6	PD22NOV17	2076.50	2118.41	USD	6,286.50	
22AUG17	S	3	PD22NOV17	2078.00	2118.41	USD	-3,030.75	
22AUG17	S	1	PD22NOV17	2079.00	2118.41	USD	-985.25	
22AUG17	S	1	PD22NOV17	2079.50	2118.41	USD	-972.75	
22AUG17	B	1	PD22NOV17	2075.50	2118.41	USD	1,072.75	
22AUG17	S	1	PD22NOV17	2076.00	2118.41	USD	-1,060.25	
29AUG17	S	13	PD22NOV17	2093.49	2118.41	USD	-8,099.00	
	NET	0AVG BGHT 2077.44	SOLD 2085.86				5,471.75	0.00
23AUG17	B	1	PD24NOV17	2073.00	2119.03	USD	1,150.75	
23AUG17	S	1	PD24NOV17	2076.50	2119.03	USD	-1,063.25	
23AUG17	B	2	PD24NOV17	2062.00	2119.03	USD	2,851.50	
23AUG17	S	1	PD24NOV17	2060.00	2119.03	USD	-1,475.75	
23AUG17	S	1	PD24NOV17	2061.00	2119.03	USD	-1,450.75	
23AUG17	S	1	PD24NOV17	2064.00	2119.03	USD	-1,375.75	
23AUG17	S	1	PD24NOV17	2074.50	2119.03	USD	-1,113.25	
23AUG17	S	1	PD24NOV17	2075.00	2119.03	USD	-1,100.75	
23AUG17	S	1	PD24NOV17	2084.00	2119.03	USD	-875.75	
23AUG17	S	1	PD24NOV17	2078.50	2119.03	USD	-1,013.25	
23AUG17	S	1	PD24NOV17	2084.50	2119.03	USD	-863.25	
23AUG17	S	1	PD24NOV17	2087.50	2119.03	USD	-788.25	
23AUG17	S	3	PD24NOV17	2092.00	2119.03	USD	-2,027.25	
23AUG17	S	1	PD24NOV17	2089.50	2119.03	USD	-738.25	
23AUG17	S	2	PD24NOV17	2091.50	2119.03	USD	-1,376.50	
23AUG17	S	1	PD24NOV17	2098.50	2119.03	USD	-513.25	
23AUG17	S	1	PD24NOV17	2101.00	2119.03	USD	-450.75	
23AUG17	S	1	PD24NOV17	2101.50	2119.03	USD	-438.25	
23AUG17	B	1	PD24NOV17	2101.50	2119.03	USD	438.25	
23AUG17	B	1	PD24NOV17	2101.00	2119.03	USD	450.75	
23AUG17	S	1	PD24NOV17	2102.00	2119.03	USD	-425.75	
23AUG17	B	1	PD24NOV17	2102.00	2119.03	USD	425.75	
23AUG17	S	1	PD24NOV17	2114.00	2119.03	USD	-125.75	
23AUG17	B	2	PD24NOV17	2120.00	2119.03	USD	-48.50	
23AUG17	B	3	PD24NOV17	2118.00	2119.03	USD	77.25	
23AUG17	B	1	PD24NOV17	2114.50	2119.03	USD	113.25	
23AUG17	B	1	PD24NOV17	2113.50	2119.03	USD	138.25	
24AUG17	S	1	PD24NOV17	2099.50	2119.03	USD	-488.25	
24AUG17	B	1	PD24NOV17	2099.50	2119.03	USD	488.25	
24AUG17	B	1	PD24NOV17	2097.50	2119.03	USD	538.25	
24AUG17	B	2	PD24NOV17	2093.00	2119.03	USD	1,301.50	
24AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
24AUG17	S	4	PD24NOV17	2097.00	2119.03	USD	-2,203.00	
24AUG17	B	1	PD24NOV17	2099.00	2119.03	USD	500.75	
24AUG17	B	2	PD24NOV17	2092.00	2119.03	USD	1,351.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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24AUG17	B	1	PD24NOV17	2091.00	2119.03	USD	700.75	
24AUG17	B	3	PD24NOV17	2091.50	2119.03	USD	2,064.75	
24AUG17	S	6	PD24NOV17	2099.00	2119.03	USD	-3,004.50	
24AUG17	S	3	PD24NOV17	2100.50	2119.03	USD	-1,389.75	
24AUG17	S	1	PD24NOV17	2102.50	2119.03	USD	-413.25	
24AUG17	S	1	PD24NOV17	2101.50	2119.03	USD	-438.25	
24AUG17	S	1	PD24NOV17	2103.00	2119.03	USD	-400.75	
24AUG17	S	3	PD24NOV17	2102.00	2119.03	USD	-1,277.25	
24AUG17	S	2	PD24NOV17	2103.50	2119.03	USD	-776.50	
24AUG17	S	1	PD24NOV17	2105.50	2119.03	USD	-338.25	
24AUG17	S	2	PD24NOV17	2112.00	2119.03	USD	-351.50	
24AUG17	S	1	PD24NOV17	2119.50	2119.03	USD	11.75	
24AUG17	B	1	PD24NOV17	2120.00	2119.03	USD	-24.25	
24AUG17	S	2	PD24NOV17	2121.50	2119.03	USD	123.50	
24AUG17	S	1	PD24NOV17	2118.50	2119.03	USD	-13.25	
24AUG17	S	1	PD24NOV17	2119.00	2119.03	USD	-0.75	
24AUG17	S	2	PD24NOV17	2120.00	2119.03	USD	48.50	
24AUG17	B	1	PD24NOV17	2111.00	2119.03	USD	200.75	
24AUG17	B	2	PD24NOV17	2108.00	2119.03	USD	551.50	
24AUG17	B	3	PD24NOV17	2106.00	2119.03	USD	977.25	
24AUG17	B	1	PD24NOV17	2104.50	2119.03	USD	363.25	
24AUG17	B	5	PD24NOV17	2105.50	2119.03	USD	1,691.25	
24AUG17	B	3	PD24NOV17	2105.00	2119.03	USD	1,052.25	
24AUG17	B	5	PD24NOV17	2104.00	2119.03	USD	1,878.75	
24AUG17	B	2	PD24NOV17	2103.50	2119.03	USD	776.50	
24AUG17	B	2	PD24NOV17	2103.00	2119.03	USD	801.50	
24AUG17	B	3	PD24NOV17	2102.00	2119.03	USD	1,277.25	
24AUG17	B	1	PD24NOV17	2101.50	2119.03	USD	438.25	
24AUG17	B	1	PD24NOV17	2100.50	2119.03	USD	463.25	
24AUG17	B	1	PD24NOV17	2098.50	2119.03	USD	513.25	
25AUG17	B	1	PD24NOV17	2100.50	2119.03	USD	463.25	
25AUG17	B	1	PD24NOV17	2098.50	2119.03	USD	513.25	
25AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
25AUG17	B	1	PD24NOV17	2087.50	2119.03	USD	788.25	
25AUG17	B	1	PD24NOV17	2095.50	2119.03	USD	588.25	
25AUG17	B	1	PD24NOV17	2076.00	2119.03	USD	1,075.75	
25AUG17	B	1	PD24NOV17	2070.00	2119.03	USD	1,225.75	
25AUG17	S	1	PD24NOV17	2077.00	2119.03	USD	-1,050.75	
25AUG17	B	1	PD24NOV17	2068.50	2119.03	USD	1,263.25	
29AUG17	S	2	PD24NOV17	2093.86	2119.03	USD	-1,258.50	
06SEP17	S	6	PD24NOV17	2101.66	2119.03	USD	-2,605.50	
	NET	0AVG BGHT 2099.95	SOLD 2097.69				-3,558.00	0.00
29AUG17	S	2	PD29NOV17	2079.50	2120.57	USD	-2,053.50	
29AUG17	S	2	PD29NOV17	2072.50	2120.57	USD	-2,403.50	
29AUG17	S	2	PD29NOV17	2072.00	2120.57	USD	-2,428.50	
29AUG17	S	1	PD29NOV17	2074.50	2120.57	USD	-1,151.75	
29AUG17	S	1	PD29NOV17	2078.00	2120.57	USD	-1,064.25	
29AUG17	S	2	PD29NOV17	2077.00	2120.57	USD	-2,178.50	
29AUG17	B	3	PD29NOV17	2071.50	2120.57	USD	3,680.25	
29AUG17	B	1	PD29NOV17	2072.00	2120.57	USD	1,214.25	
29AUG17	B	1	PD29NOV17	2075.00	2120.57	USD	1,139.25	
29AUG17	B	1	PD29NOV17	2070.00	2120.57	USD	1,264.25	
29AUG17	B	1	PD29NOV17	2072.50	2120.57	USD	1,201.75	
29AUG17	B	1	PD29NOV17	2073.00	2120.57	USD	1,189.25	
29AUG17	B	1	PD29NOV17	2074.00	2120.57	USD	1,164.25	
29AUG17	S	2	PD29NOV17	2081.50	2120.57	USD	-1,953.50	
29AUG17	S	1	PD29NOV17	2087.50	2120.57	USD	-826.75	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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29AUG17	B	1	PD29NOV17	2087.00	2120.57	USD	839.25	
29AUG17	S	1	PD29NOV17	2092.50	2120.57	USD	-701.75	
29AUG17	S	1	PD29NOV17	2093.00	2120.57	USD	-689.25	
29AUG17	S	1	PD29NOV17	2094.50	2120.57	USD	-651.75	
29AUG17	S	4	PD29NOV17	2094.00	2120.57	USD	-2,657.00	
29AUG17	S	2	PD29NOV17	2095.50	2120.57	USD	-1,253.50	
29AUG17	S	2	PD29NOV17	2096.00	2120.57	USD	-1,228.50	
29AUG17	B	1	PD29NOV17	2095.00	2120.57	USD	639.25	
06SEP17	B	6	PD29NOV17	2103.04	2120.57	USD	2,629.50	
06SEP17	B	7	PD29NOV17	2102.84	2120.57	USD	3,102.75	
	NET	0 AVG BGHT 2090.46	SOLD 2085.17				-3,178.00	0.00
30AUG17	B	1	PD30NOV17	2091.00	2120.88	USD	747.00	
30AUG17	S	1	PD30NOV17	2101.00	2120.88	USD	-497.00	
30AUG17	B	1	PD30NOV17	2090.50	2120.88	USD	759.50	
30AUG17	B	4	PD30NOV17	2090.00	2120.88	USD	3,088.00	
30AUG17	S	4	PD30NOV17	2095.50	2120.88	USD	-2,538.00	
30AUG17	S	1	PD30NOV17	2096.00	2120.88	USD	-622.00	
30AUG17	S	1	PD30NOV17	2095.00	2120.88	USD	-647.00	
30AUG17	B	1	PD30NOV17	2094.00	2120.88	USD	672.00	
30AUG17	B	2	PD30NOV17	2087.00	2120.88	USD	1,694.00	
30AUG17	S	2	PD30NOV17	2090.50	2120.88	USD	-1,519.00	
30AUG17	S	1	PD30NOV17	2097.00	2120.88	USD	-597.00	
30AUG17	S	6	PD30NOV17	2097.50	2120.88	USD	-3,507.00	
30AUG17	S	1	PD30NOV17	2092.50	2120.88	USD	-709.50	
31AUG17	S	1	PD30NOV17	2101.50	2120.88	USD	-484.50	
31AUG17	S	1	PD30NOV17	2100.00	2120.88	USD	-522.00	
31AUG17	S	1	PD30NOV17	2104.00	2120.88	USD	-422.00	
31AUG17	S	1	PD30NOV17	2103.00	2120.88	USD	-447.00	
31AUG17	S	2	PD30NOV17	2105.00	2120.88	USD	-794.00	
31AUG17	S	2	PD30NOV17	2105.50	2120.88	USD	-769.00	
31AUG17	S	1	PD30NOV17	2106.50	2120.88	USD	-359.50	
31AUG17	S	1	PD30NOV17	2107.00	2120.88	USD	-347.00	
31AUG17	S	2	PD30NOV17	2107.50	2120.88	USD	-669.00	
31AUG17	S	1	PD30NOV17	2109.00	2120.88	USD	-297.00	
31AUG17	S	2	PD30NOV17	2108.50	2120.88	USD	-619.00	
31AUG17	S	3	PD30NOV17	2110.00	2120.88	USD	-816.00	
31AUG17	S	2	PD30NOV17	2112.00	2120.88	USD	-444.00	
31AUG17	S	1	PD30NOV17	2112.50	2120.88	USD	-209.50	
31AUG17	S	1	PD30NOV17	2114.00	2120.88	USD	-172.00	
31AUG17	S	1	PD30NOV17	2114.50	2120.88	USD	-159.50	
31AUG17	S	2	PD30NOV17	2116.50	2120.88	USD	-219.00	
31AUG17	S	1	PD30NOV17	2120.00	2120.88	USD	-22.00	
31AUG17	S	1	PD30NOV17	2121.00	2120.88	USD	3.00	
31AUG17	S	2	PD30NOV17	2132.00	2120.88	USD	556.00	
31AUG17	B	1	PD30NOV17	2134.50	2120.88	USD	-340.50	
31AUG17	B	1	PD30NOV17	2131.00	2120.88	USD	-253.00	
31AUG17	S	3	PD30NOV17	2136.50	2120.88	USD	1,171.50	
31AUG17	S	1	PD30NOV17	2098.00	2120.88	USD	-572.00	
31AUG17	S	3	PD30NOV17	2131.00	2120.88	USD	759.00	
31AUG17	B	1	PD30NOV17	2128.50	2120.88	USD	-190.50	
31AUG17	B	1	PD30NOV17	2130.50	2120.88	USD	-240.50	
31AUG17	B	3	PD30NOV17	2128.00	2120.88	USD	-534.00	
31AUG17	B	4	PD30NOV17	2124.50	2120.88	USD	-362.00	
31AUG17	B	1	PD30NOV17	2122.50	2120.88	USD	-40.50	
31AUG17	B	4	PD30NOV17	2119.00	2120.88	USD	188.00	
31AUG17	B	1	PD30NOV17	2116.00	2120.88	USD	122.00	
31AUG17	B	3	PD30NOV17	2117.00	2120.88	USD	291.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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31AUG17	B	2	PD30NOV17	2118.50	2120.88	USD	119.00	
31AUG17	B	2	PD30NOV17	2120.00	2120.88	USD	44.00	
31AUG17	B	2	PD30NOV17	2116.50	2120.88	USD	219.00	
31AUG17	B	4	PD30NOV17	2118.00	2120.88	USD	288.00	
31AUG17	B	3	PD30NOV17	2117.50	2120.88	USD	253.50	
31AUG17	B	2	PD30NOV17	2125.50	2120.88	USD	-231.00	
31AUG17	B	2	PD30NOV17	2125.00	2120.88	USD	-206.00	
31AUG17	B	2	PD30NOV17	2126.50	2120.88	USD	-281.00	
31AUG17	B	3	PD30NOV17	2127.00	2120.88	USD	-459.00	
31AUG17	B	1	PD30NOV17	2126.00	2120.88	USD	-128.00	
06SEP17	S	1	PD30NOV17	2102.88	2120.88	USD	-450.00	
08SEP17	B	1	PD30NOV17	2097.00	2120.88	USD	597.00	
14SEP17	B	1	PD30NOV17	2094.26	2120.88	USD	665.50	
	NET	0 AVG	BGHT 2116.08	SOLD 2108.33			-10,459.50	0.00
01SEP17	S	1	PD01DEC17	2126.00	2121.19	USD	120.25	
01SEP17	B	1	PD01DEC17	2126.00	2121.19	USD	-120.25	
01SEP17	S	1	PD01DEC17	2134.00	2121.19	USD	320.25	
01SEP17	S	1	PD01DEC17	2136.50	2121.19	USD	382.75	
01SEP17	B	1	PD01DEC17	2134.00	2121.19	USD	-320.25	
01SEP17	B	1	PD01DEC17	2132.00	2121.19	USD	-270.25	
01SEP17	B	1	PD01DEC17	2137.00	2121.19	USD	-395.25	
01SEP17	S	1	PD01DEC17	2142.00	2121.19	USD	520.25	
01SEP17	B	1	PD01DEC17	2144.00	2121.19	USD	-570.25	
01SEP17	B	1	PD01DEC17	2142.00	2121.19	USD	-520.25	
01SEP17	B	1	PD01DEC17	2143.00	2121.19	USD	-545.25	
01SEP17	B	2	PD01DEC17	2135.50	2121.19	USD	-715.50	
01SEP17	B	1	PD01DEC17	2134.50	2121.19	USD	-332.75	
01SEP17	B	2	PD01DEC17	2141.00	2121.19	USD	-990.50	
01SEP17	S	2	PD01DEC17	2141.00	2121.19	USD	990.50	
01SEP17	S	1	PD01DEC17	2141.50	2121.19	USD	507.75	
01SEP17	B	2	PD01DEC17	2136.00	2121.19	USD	-740.50	
01SEP17	S	1	PD01DEC17	2138.00	2121.19	USD	420.25	
01SEP17	B	2	PD01DEC17	2133.50	2121.19	USD	-615.50	
01SEP17	B	2	PD01DEC17	2133.00	2121.19	USD	-590.50	
01SEP17	B	1	PD01DEC17	2131.50	2121.19	USD	-257.75	
01SEP17	B	2	PD01DEC17	2131.00	2121.19	USD	-490.50	
01SEP17	S	1	PD01DEC17	2132.50	2121.19	USD	282.75	
01SEP17	B	2	PD01DEC17	2125.00	2121.19	USD	-190.50	
01SEP17	S	1	PD01DEC17	2129.50	2121.19	USD	207.75	
01SEP17	S	2	PD01DEC17	2130.00	2121.19	USD	440.50	
01SEP17	S	2	PD01DEC17	2134.50	2121.19	USD	665.50	
01SEP17	S	1	PD01DEC17	2133.50	2121.19	USD	307.75	
01SEP17	S	1	PD01DEC17	2137.00	2121.19	USD	395.25	
06SEP17	S	5	PD01DEC17	2103.12	2121.19	USD	-2,258.75	
08SEP17	S	1	PD01DEC17	2097.05	2121.19	USD	-603.50	
21SEP17	S	1	PD01DEC17	2166.21	2121.19	USD	1,125.50	
	NET	0 AVG	BGHT 2134.52	SOLD 2127.84			-3,841.00	0.00
04SEP17	B	1	PD04DEC17	2128.00	2122.12	USD	-147.00	
04SEP17	B	1	PD04DEC17	2125.50	2122.12	USD	-84.50	
04SEP17	B	1	PD04DEC17	2125.00	2122.12	USD	-72.00	
04SEP17	S	1	PD04DEC17	2122.50	2122.12	USD	9.50	
04SEP17	S	1	PD04DEC17	2125.00	2122.12	USD	72.00	
04SEP17	S	1	PD04DEC17	2125.50	2122.12	USD	84.50	
04SEP17	S	1	PD04DEC17	2121.50	2122.12	USD	-15.50	
04SEP17	S	1	PD04DEC17	2119.00	2122.12	USD	-78.00	
04SEP17	S	1	PD04DEC17	2128.00	2122.12	USD	147.00	
04SEP17	S	1	PD04DEC17	2129.50	2122.12	USD	184.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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04SEP17	B	2	PD04DEC17	2126.50	2122.12	USD	-219.00	
04SEP17	B	1	PD04DEC17	2124.00	2122.12	USD	-47.00	
04SEP17	B	1	PD04DEC17	2121.50	2122.12	USD	15.50	
04SEP17	S	1	PD04DEC17	2126.00	2122.12	USD	97.00	
04SEP17	B	1	PD04DEC17	2115.50	2122.12	USD	165.50	
04SEP17	B	1	PD04DEC17	2113.00	2122.12	USD	228.00	
04SEP17	S	1	PD04DEC17	2117.50	2122.12	USD	-115.50	
04SEP17	B	8	PD04DEC17	2116.00	2122.12	USD	1,224.00	
04SEP17	S	4	PD04DEC17	2115.50	2122.12	USD	-662.00	
04SEP17	S	1	PD04DEC17	2118.00	2122.12	USD	-103.00	
04SEP17	S	1	PD04DEC17	2118.50	2122.12	USD	-90.50	
04SEP17	S	1	PD04DEC17	2121.00	2122.12	USD	-28.00	
04SEP17	S	1	PD04DEC17	2122.00	2122.12	USD	-3.00	
06SEP17	S	1	PD04DEC17	2103.83	2122.12	USD	-457.25	
08SEP17	B	5	PD04DEC17	2098.00	2122.12	USD	3,015.00	
	NET	+4 AVG BGHT 2114.70	SOLD 2119.99				3,120.25	0.00
05SEP17	B	1	PD05DEC17	2107.00	2122.43	USD	385.75	
05SEP17	B	2	PD05DEC17	2110.50	2122.43	USD	596.50	
05SEP17	S	1	PD05DEC17	2113.50	2122.43	USD	-223.25	
05SEP17	S	1	PD05DEC17	2118.00	2122.43	USD	-110.75	
05SEP17	S	1	PD05DEC17	2114.00	2122.43	USD	-210.75	
05SEP17	S	3	PD05DEC17	2116.50	2122.43	USD	-444.75	
05SEP17	S	1	PD05DEC17	2117.00	2122.43	USD	-135.75	
05SEP17	B	1	PD05DEC17	2109.50	2122.43	USD	323.25	
05SEP17	B	5	PD05DEC17	2108.00	2122.43	USD	1,803.75	
05SEP17	B	1	PD05DEC17	2103.50	2122.43	USD	473.25	
05SEP17	S	1	PD05DEC17	2104.00	2122.43	USD	-460.75	
05SEP17	B	1	PD05DEC17	2108.50	2122.43	USD	348.25	
05SEP17	B	1	PD05DEC17	2106.50	2122.43	USD	398.25	
05SEP17	S	1	PD05DEC17	2106.00	2122.43	USD	-410.75	
05SEP17	S	2	PD05DEC17	2108.50	2122.43	USD	-696.50	
05SEP17	S	1	PD05DEC17	2110.00	2122.43	USD	-310.75	
05SEP17	S	1	PD05DEC17	2111.50	2122.43	USD	-273.25	
05SEP17	S	1	PD05DEC17	2110.50	2122.43	USD	-298.25	
08SEP17	B	2	PD05DEC17	2098.25	2122.43	USD	1,209.00	
	NET	0 AVG BGHT 2106.61	SOLD 2112.21				1,962.50	0.00
06SEP17	B	1	PD06DEC17	2084.00	2122.74	USD	968.50	
06SEP17	B	1	PD06DEC17	2077.00	2122.74	USD	1,143.50	
06SEP17	B	1	PD06DEC17	2076.50	2122.74	USD	1,156.00	
06SEP17	S	1	PD06DEC17	2081.00	2122.74	USD	-1,043.50	
06SEP17	S	1	PD06DEC17	2081.50	2122.74	USD	-1,031.00	
06SEP17	B	1	PD06DEC17	2082.00	2122.74	USD	1,018.50	
06SEP17	S	1	PD06DEC17	2088.00	2122.74	USD	-868.50	
06SEP17	B	1	PD06DEC17	2083.00	2122.74	USD	993.50	
06SEP17	B	1	PD06DEC17	2085.00	2122.74	USD	943.50	
06SEP17	S	1	PD06DEC17	2098.00	2122.74	USD	-618.50	
06SEP17	S	1	PD06DEC17	2097.50	2122.74	USD	-631.00	
06SEP17	B	1	PD06DEC17	2095.00	2122.74	USD	693.50	
06SEP17	B	1	PD06DEC17	2093.50	2122.74	USD	731.00	
06SEP17	B	2	PD06DEC17	2088.00	2122.74	USD	1,737.00	
06SEP17	B	1	PD06DEC17	2086.50	2122.74	USD	906.00	
06SEP17	S	1	PD06DEC17	2104.00	2122.74	USD	-468.50	
06SEP17	B	1	PD06DEC17	2110.50	2122.74	USD	306.00	
06SEP17	B	1	PD06DEC17	2110.00	2122.74	USD	318.50	
06SEP17	B	1	PD06DEC17	2109.50	2122.74	USD	331.00	
08SEP17	S	8	PD06DEC17	2098.30	2122.74	USD	-4,888.00	
	NET	0 AVG BGHT 2090.61	SOLD 2095.46				1,697.50	0.00

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07SEP17	B	4	PD07DEC17	2105.00	2123.04	USD	1,804.00	
07SEP17	B	1	PD07DEC17	2103.50	2123.04	USD	488.50	
07SEP17	B	1	PD07DEC17	2102.00	2123.04	USD	526.00	
07SEP17	B	1	PD07DEC17	2101.50	2123.04	USD	538.50	
07SEP17	B	4	PD07DEC17	2093.50	2123.04	USD	2,954.00	
07SEP17	B	1	PD07DEC17	2089.50	2123.04	USD	838.50	
07SEP17	S	1	PD07DEC17	2089.50	2123.04	USD	-838.50	
07SEP17	B	5	PD07DEC17	2094.00	2123.04	USD	3,630.00	
07SEP17	S	1	PD07DEC17	2100.00	2123.04	USD	-576.00	
07SEP17	S	1	PD07DEC17	2101.50	2123.04	USD	-538.50	
07SEP17	S	2	PD07DEC17	2109.00	2123.04	USD	-702.00	
07SEP17	S	4	PD07DEC17	2109.50	2123.04	USD	-1,354.00	
07SEP17	S	4	PD07DEC17	2110.00	2123.04	USD	-1,304.00	
	NET	+4 AVG BGHT 2097.68	SOLD 2106.69				5,466.50	0.00
08SEP17	S	1	PD08DEC17	2100.00	2123.35	USD	-583.75	
08SEP17	S	1	PD08DEC17	2094.00	2123.35	USD	-733.75	
08SEP17	B	1	PD08DEC17	2096.00	2123.35	USD	683.75	
08SEP17	S	1	PD08DEC17	2095.50	2123.35	USD	-696.25	
08SEP17	S	1	PD08DEC17	2095.00	2123.35	USD	-708.75	
14SEP17	B	1	PD08DEC17	2096.40	2123.35	USD	673.75	
19SEP17	B	2	PD08DEC17	2121.85	2123.35	USD	75.00	
	NET	0 AVG BGHT 2109.03	SOLD 2096.13				-1,290.00	0.00
11SEP17	B	1	PD11DEC17	2102.00	2124.28	USD	557.00	
11SEP17	S	1	PD11DEC17	2112.50	2124.28	USD	-294.50	
11SEP17	S	1	PD11DEC17	2113.50	2124.28	USD	-269.50	
11SEP17	S	1	PD11DEC17	2116.00	2124.28	USD	-207.00	
11SEP17	B	1	PD11DEC17	2128.00	2124.28	USD	-93.00	
11SEP17	S	2	PD11DEC17	2126.00	2124.28	USD	86.00	
11SEP17	S	1	PD11DEC17	2127.00	2124.28	USD	68.00	
11SEP17	S	1	PD11DEC17	2128.50	2124.28	USD	105.50	
11SEP17	S	1	PD11DEC17	2131.50	2124.28	USD	180.50	
11SEP17	S	1	PD11DEC17	2133.00	2124.28	USD	218.00	
11SEP17	B	4	PD11DEC17	2129.00	2124.28	USD	-472.00	
11SEP17	S	1	PD11DEC17	2111.00	2124.28	USD	-332.00	
11SEP17	S	1	PD11DEC17	2125.50	2124.28	USD	30.50	
11SEP17	B	1	PD11DEC17	2120.00	2124.28	USD	107.00	
11SEP17	B	1	PD11DEC17	2117.00	2124.28	USD	182.00	
11SEP17	B	1	PD11DEC17	2114.00	2124.28	USD	257.00	
11SEP17	S	1	PD11DEC17	2120.00	2124.28	USD	-107.00	
11SEP17	S	1	PD11DEC17	2121.50	2124.28	USD	-69.50	
11SEP17	S	1	PD11DEC17	2127.50	2124.28	USD	80.50	
11SEP17	S	3	PD11DEC17	2123.50	2124.28	USD	-58.50	
11SEP17	S	1	PD11DEC17	2122.00	2124.28	USD	-57.00	
13SEP17	B	1	PD11DEC17	2109.66	2124.28	USD	365.50	
14SEP17	B	11	PD11DEC17	2097.20	2124.28	USD	7,447.00	
19SEP17	B	1	PD11DEC17	2122.71	2124.28	USD	39.25	
	NET	+4 AVG BGHT 2109.03	SOLD 2122.89				7,763.75	0.00
12SEP17	B	2	PD12DEC17	2117.50	2124.59	USD	354.50	
12SEP17	S	1	PD12DEC17	2127.00	2124.59	USD	60.25	
12SEP17	B	1	PD12DEC17	2123.00	2124.59	USD	39.75	
12SEP17	S	1	PD12DEC17	2122.50	2124.59	USD	-52.25	
12SEP17	S	4	PD12DEC17	2120.00	2124.59	USD	-459.00	
12SEP17	S	3	PD12DEC17	2122.00	2124.59	USD	-194.25	
12SEP17	S	1	PD12DEC17	2123.50	2124.59	USD	-27.25	
12SEP17	B	4	PD12DEC17	2117.00	2124.59	USD	759.00	
12SEP17	B	1	PD12DEC17	2109.50	2124.59	USD	377.25	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12SEP17	S	2	PD12DEC17	2132.50	2124.59	USD	395.50	
12SEP17	S	3	PD12DEC17	2133.00	2124.59	USD	630.75	
12SEP17	S	1	PD12DEC17	2141.00	2124.59	USD	410.25	
14SEP17	B	5	PD12DEC17	2097.47	2124.59	USD	3,390.00	
15SEP17	B	3	PD12DEC17	2085.25	2124.59	USD	2,950.50	
	NET	0AVG BGHT 2104.91	SOLD 2126.50				8,635.00	0.00
13SEP17	B	1	PD13DEC17	2132.00	2124.90	USD	-177.50	
13SEP17	S	1	PD13DEC17	2135.50	2124.90	USD	265.00	
13SEP17	B	1	PD13DEC17	2132.50	2124.90	USD	-190.00	
13SEP17	S	1	PD13DEC17	2136.50	2124.90	USD	290.00	
13SEP17	B	1	PD13DEC17	2128.00	2124.90	USD	-77.50	
13SEP17	B	1	PD13DEC17	2127.50	2124.90	USD	-65.00	
13SEP17	B	2	PD13DEC17	2127.00	2124.90	USD	-105.00	
13SEP17	B	1	PD13DEC17	2126.50	2124.90	USD	-40.00	
13SEP17	B	1	PD13DEC17	2126.00	2124.90	USD	-27.50	
13SEP17	B	1	PD13DEC17	2125.50	2124.90	USD	-15.00	
13SEP17	B	3	PD13DEC17	2125.00	2124.90	USD	-7.50	
13SEP17	B	4	PD13DEC17	2124.00	2124.90	USD	90.00	
13SEP17	B	2	PD13DEC17	2123.50	2124.90	USD	70.00	
13SEP17	B	1	PD13DEC17	2117.50	2124.90	USD	185.00	
13SEP17	B	2	PD13DEC17	2128.00	2124.90	USD	-155.00	
13SEP17	S	1	PD13DEC17	2113.50	2124.90	USD	-285.00	
13SEP17	S	4	PD13DEC17	2120.50	2124.90	USD	-440.00	
13SEP17	S	1	PD13DEC17	2116.00	2124.90	USD	-222.50	
13SEP17	B	1	PD13DEC17	2114.00	2124.90	USD	272.50	
13SEP17	B	2	PD13DEC17	2113.50	2124.90	USD	570.00	
13SEP17	B	1	PD13DEC17	2111.00	2124.90	USD	347.50	
13SEP17	B	1	PD13DEC17	2108.00	2124.90	USD	422.50	
14SEP17	S	18	PD13DEC17	2097.53	2124.90	USD	-12,316.50	
	NET	0AVG BGHT 2123.21	SOLD 2105.35				-11,611.50	0.00
14SEP17	S	2	PD14DEC17	2125.00	2125.21	USD	-10.50	
14SEP17	S	2	PD14DEC17	2123.50	2125.21	USD	-85.50	
14SEP17	B	1	PD14DEC17	2110.50	2125.21	USD	367.75	
14SEP17	B	1	PD14DEC17	2111.00	2125.21	USD	355.25	
14SEP17	B	1	PD14DEC17	2110.00	2125.21	USD	380.25	
14SEP17	S	1	PD14DEC17	2117.50	2125.21	USD	-192.75	
14SEP17	S	2	PD14DEC17	2116.00	2125.21	USD	-460.50	
14SEP17	B	1	PD14DEC17	2108.50	2125.21	USD	417.75	
14SEP17	B	1	PD14DEC17	2107.00	2125.21	USD	455.25	
14SEP17	B	1	PD14DEC17	2097.00	2125.21	USD	705.25	
14SEP17	B	1	PD14DEC17	2095.00	2125.21	USD	755.25	
14SEP17	B	1	PD14DEC17	2093.50	2125.21	USD	792.75	
14SEP17	B	1	PD14DEC17	2094.50	2125.21	USD	767.75	
14SEP17	B	2	PD14DEC17	2088.00	2125.21	USD	1,860.50	
14SEP17	S	1	PD14DEC17	2088.00	2125.21	USD	-930.25	
14SEP17	B	2	PD14DEC17	2091.50	2125.21	USD	1,685.50	
14SEP17	B	4	PD14DEC17	2088.50	2125.21	USD	3,671.00	
14SEP17	S	1	PD14DEC17	2091.50	2125.21	USD	-842.75	
14SEP17	S	1	PD14DEC17	2099.50	2125.21	USD	-642.75	
14SEP17	S	2	PD14DEC17	2099.00	2125.21	USD	-1,310.50	
14SEP17	B	1	PD14DEC17	2099.00	2125.21	USD	655.25	
14SEP17	S	1	PD14DEC17	2094.50	2125.21	USD	-767.75	
14SEP17	S	1	PD14DEC17	2104.00	2125.21	USD	-530.25	
15SEP17	S	3	PD14DEC17	2085.55	2125.21	USD	-2,974.50	
19SEP17	B	3	PD14DEC17	2123.57	2125.21	USD	123.00	
	NET	+4AVG BGHT 2100.46	SOLD 2104.63				4,244.50	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15SEP17	B	1	PD15DEC17	2090.00	2125.51	USD	887.75	
15SEP17	B	3	PD15DEC17	2090.50	2125.51	USD	2,625.75	
15SEP17	B	1	PD15DEC17	2089.50	2125.51	USD	900.25	
15SEP17	S	1	PD15DEC17	2089.50	2125.51	USD	-900.25	
15SEP17	S	1	PD15DEC17	2090.00	2125.51	USD	-887.75	
15SEP17	S	1	PD15DEC17	2092.00	2125.51	USD	-837.75	
15SEP17	S	1	PD15DEC17	2100.50	2125.51	USD	-625.25	
15SEP17	S	1	PD15DEC17	2105.00	2125.51	USD	-512.75	
15SEP17	S	1	PD15DEC17	2100.00	2125.51	USD	-637.75	
15SEP17	S	1	PD15DEC17	2099.00	2125.51	USD	-662.75	
15SEP17	B	1	PD15DEC17	2096.50	2125.51	USD	725.25	
15SEP17	B	1	PD15DEC17	2093.00	2125.51	USD	812.75	
15SEP17	B	1	PD15DEC17	2085.50	2125.51	USD	1,000.25	
15SEP17	B	1	PD15DEC17	2084.00	2125.51	USD	1,037.75	
15SEP17	B	1	PD15DEC17	2083.00	2125.51	USD	1,062.75	
15SEP17	B	2	PD15DEC17	2086.00	2125.51	USD	1,975.50	
15SEP17	B	1	PD15DEC17	2083.50	2125.51	USD	1,050.25	
19SEP17	S	6	PD15DEC17	2123.65	2125.51	USD	-279.00	
	NET	0 AVG BGHT 2088.35	SOLD 2109.07				6,735.00	0.00
18SEP17	B	1	PD18DEC17	2096.00	2126.44	USD	761.00	
18SEP17	B	1	PD18DEC17	2092.00	2126.44	USD	861.00	
18SEP17	B	1	PD18DEC17	2092.50	2126.44	USD	848.50	
18SEP17	S	1	PD18DEC17	2099.00	2126.44	USD	-686.00	
18SEP17	S	1	PD18DEC17	2100.00	2126.44	USD	-661.00	
18SEP17	S	1	PD18DEC17	2102.00	2126.44	USD	-611.00	
18SEP17	S	1	PD18DEC17	2102.50	2126.44	USD	-598.50	
18SEP17	S	1	PD18DEC17	2094.00	2126.44	USD	-811.00	
18SEP17	B	1	PD18DEC17	2090.50	2126.44	USD	898.50	
21SEP17	B	1	PD18DEC17	2171.14	2126.44	USD	-1,117.50	
04OCT17	B	1	PD18DEC17	2162.44	2126.44	USD	-900.00	
	NET	+1 AVG BGHT 2117.43	SOLD 2099.50				-2,016.00	0.00
19SEP17	S	1	PD19DEC17	2104.00	2126.75	USD	-568.75	
19SEP17	S	1	PD19DEC17	2105.00	2126.75	USD	-543.75	
19SEP17	B	1	PD19DEC17	2098.00	2126.75	USD	718.75	
19SEP17	S	1	PD19DEC17	2111.00	2126.75	USD	-393.75	
19SEP17	S	3	PD19DEC17	2112.50	2126.75	USD	-1,068.75	
19SEP17	S	1	PD19DEC17	2113.00	2126.75	USD	-343.75	
19SEP17	S	2	PD19DEC17	2112.00	2126.75	USD	-737.50	
19SEP17	S	1	PD19DEC17	2113.50	2126.75	USD	-331.25	
19SEP17	S	1	PD19DEC17	2116.50	2126.75	USD	-256.25	
19SEP17	B	2	PD19DEC17	2123.50	2126.75	USD	162.50	
19SEP17	S	2	PD19DEC17	2130.00	2126.75	USD	162.50	
19SEP17	B	6	PD19DEC17	2127.00	2126.75	USD	-37.50	
21SEP17	B	1	PD19DEC17	2171.22	2126.75	USD	-1,111.75	
04OCT17	B	3	PD19DEC17	2162.72	2126.75	USD	-2,697.75	
	NET	0 AVG BGHT 2135.88	SOLD 2114.19				-7,047.00	0.00
20SEP17	S	2	PD20DEC17	2132.50	2126.75	USD	287.50	
20SEP17	S	2	PD20DEC17	2133.00	2126.75	USD	312.50	
20SEP17	B	1	PD20DEC17	2138.00	2126.75	USD	-281.25	
20SEP17	S	1	PD20DEC17	2143.00	2126.75	USD	406.25	
20SEP17	S	1	PD20DEC17	2143.50	2126.75	USD	418.75	
20SEP17	S	2	PD20DEC17	2166.50	2126.75	USD	1,987.50	
20SEP17	S	2	PD20DEC17	2165.50	2126.75	USD	1,937.50	
20SEP17	B	2	PD20DEC17	2164.00	2126.75	USD	-1,862.50	
20SEP17	B	1	PD20DEC17	2163.00	2126.75	USD	-906.25	
20SEP17	B	1	PD20DEC17	2162.00	2126.75	USD	-881.25	

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20SEP17	B	1	PD20DEC17	2161.50	2126.75	USD	-868.75	
20SEP17	B	1	PD20DEC17	2160.00	2126.75	USD	-831.25	
20SEP17	B	3	PD20DEC17	2158.50	2126.75	USD	-2,381.25	
20SEP17	B	3	PD20DEC17	2159.00	2126.75	USD	-2,418.75	
20SEP17	B	1	PD20DEC17	2158.00	2126.75	USD	-781.25	
20SEP17	B	1	PD20DEC17	2159.50	2126.75	USD	-818.75	
20SEP17	B	1	PD20DEC17	2157.00	2126.75	USD	-756.25	
20SEP17	S	1	PD20DEC17	2161.00	2126.75	USD	856.25	
20SEP17	S	1	PD20DEC17	2162.00	2126.75	USD	881.25	
20SEP17	S	2	PD20DEC17	2163.00	2126.75	USD	1,812.50	
20SEP17	S	1	PD20DEC17	2164.00	2126.75	USD	931.25	
20SEP17	S	2	PD20DEC17	2169.00	2126.75	USD	2,112.50	
20SEP17	S	1	PD20DEC17	2172.50	2126.75	USD	1,143.75	
20SEP17	S	9	PD20DEC17	2167.00	2126.75	USD	9,056.25	
20SEP17	S	4	PD20DEC17	2168.00	2126.75	USD	4,125.00	
20SEP17	S	2	PD20DEC17	2175.00	2126.75	USD	2,412.50	
20SEP17	S	1	PD20DEC17	2178.00	2126.75	USD	1,281.25	
20SEP17	S	1	PD20DEC17	2180.50	2126.75	USD	1,343.75	
20SEP17	B	1	PD20DEC17	2171.50	2126.75	USD	-1,118.75	
20SEP17	B	2	PD20DEC17	2171.00	2126.75	USD	-2,212.50	
20SEP17	B	1	PD20DEC17	2166.50	2126.75	USD	-993.75	
20SEP17	S	1	PD20DEC17	2177.00	2126.75	USD	1,256.25	
20SEP17	S	2	PD20DEC17	2171.50	2126.75	USD	2,237.50	
20SEP17	S	3	PD20DEC17	2172.00	2126.75	USD	3,393.75	
20SEP17	S	2	PD20DEC17	2171.00	2126.75	USD	2,212.50	
20SEP17	B	1	PD20DEC17	2172.50	2126.75	USD	-1,143.75	
20SEP17	S	2	PD20DEC17	2177.50	2126.75	USD	2,537.50	
20SEP17	S	1	PD20DEC17	2187.50	2126.75	USD	1,518.75	
20SEP17	S	1	PD20DEC17	2185.50	2126.75	USD	1,468.75	
20SEP17	S	1	PD20DEC17	2186.00	2126.75	USD	1,481.25	
20SEP17	S	1	PD20DEC17	2191.50	2126.75	USD	1,618.75	
20SEP17	B	1	PD20DEC17	2188.00	2126.75	USD	-1,531.25	
20SEP17	B	1	PD20DEC17	2185.00	2126.75	USD	-1,456.25	
20SEP17	B	1	PD20DEC17	2184.00	2126.75	USD	-1,431.25	
20SEP17	B	1	PD20DEC17	2179.50	2126.75	USD	-1,318.75	
20SEP17	B	2	PD20DEC17	2178.00	2126.75	USD	-2,562.50	
20SEP17	B	3	PD20DEC17	2176.50	2126.75	USD	-3,731.25	
20SEP17	B	1	PD20DEC17	2175.50	2126.75	USD	-1,218.75	
20SEP17	B	2	PD20DEC17	2175.00	2126.75	USD	-2,412.50	
20SEP17	B	1	PD20DEC17	2174.50	2126.75	USD	-1,193.75	
20SEP17	B	1	PD20DEC17	2178.50	2126.75	USD	-1,293.75	
20SEP17	B	4	PD20DEC17	2177.50	2126.75	USD	-5,075.00	
20SEP17	B	1	PD20DEC17	2169.50	2126.75	USD	-1,068.75	
20SEP17	B	1	PD20DEC17	2167.50	2126.75	USD	-1,018.75	
20SEP17	B	1	PD20DEC17	2166.00	2126.75	USD	-981.25	
20SEP17	B	2	PD20DEC17	2164.50	2126.75	USD	-1,887.50	
20SEP17	B	4	PD20DEC17	2165.00	2126.75	USD	-3,825.00	
20SEP17	B	2	PD20DEC17	2163.50	2126.75	USD	-1,837.50	
20SEP17	B	1	PD20DEC17	2165.50	2126.75	USD	-968.75	
20SEP17	S	2	PD20DEC17	2181.00	2126.75	USD	2,712.50	
20SEP17	S	1	PD20DEC17	2182.50	2126.75	USD	1,393.75	
20SEP17	B	2	PD20DEC17	2187.00	2126.75	USD	-3,012.50	
21SEP17	S	1	PD20DEC17	2171.30	2126.75	USD	1,113.75	
	NET	0	AVG BGHT 2169.08	SOLD 2167.69			-1,830.00	0.00
21SEP17	S	1	PD21DEC17	2170.50	2126.75	USD	1,093.75	
21SEP17	B	2	PD21DEC17	2158.50	2126.75	USD	-1,587.50	
21SEP17	B	2	PD21DEC17	2157.00	2126.75	USD	-1,512.50	

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21SEP17	S	1	PD21DEC17	2166.50	2126.75	USD	993.75	
21SEP17	S	2	PD21DEC17	2167.50	2126.75	USD	2,037.50	
21SEP17	B	3	PD21DEC17	2159.50	2126.75	USD	-2,456.25	
21SEP17	B	1	PD21DEC17	2163.00	2126.75	USD	-906.25	
21SEP17	S	2	PD21DEC17	2165.00	2126.75	USD	1,912.50	
21SEP17	S	1	PD21DEC17	2166.00	2126.75	USD	981.25	
21SEP17	B	1	PD21DEC17	2162.50	2126.75	USD	-893.75	
21SEP17	B	1	PD21DEC17	2164.50	2126.75	USD	-943.75	
21SEP17	B	2	PD21DEC17	2165.00	2126.75	USD	-1,912.50	
21SEP17	S	1	PD21DEC17	2171.50	2126.75	USD	1,118.75	
21SEP17	S	4	PD21DEC17	2174.00	2126.75	USD	4,725.00	
21SEP17	B	1	PD21DEC17	2168.50	2126.75	USD	-1,043.75	
21SEP17	S	1	PD21DEC17	2167.00	2126.75	USD	1,006.25	
21SEP17	S	1	PD21DEC17	2163.50	2126.75	USD	918.75	
21SEP17	B	2	PD21DEC17	2163.50	2126.75	USD	-1,837.50	
21SEP17	S	2	PD21DEC17	2169.00	2126.75	USD	2,112.50	
21SEP17	S	2	PD21DEC17	2168.00	2126.75	USD	2,062.50	
21SEP17	B	1	PD21DEC17	2171.00	2126.75	USD	-1,106.25	
21SEP17	S	2	PD21DEC17	2173.00	2126.75	USD	2,312.50	
21SEP17	S	1	PD21DEC17	2173.50	2126.75	USD	1,168.75	
21SEP17	S	1	PD21DEC17	2166.50	2126.75	USD	993.75	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	B	1	PD21DEC17	2171.50	2126.75	USD	-1,118.75	
21SEP17	B	1	PD21DEC17	2172.50	2126.75	USD	-1,143.75	
21SEP17	B	1	PD21DEC17	2182.50	2126.75	USD	-1,393.75	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	S	2	PD21DEC17	2181.50	2126.75	USD	2,737.50	
21SEP17	S	1	PD21DEC17	2182.00	2126.75	USD	1,381.25	
21SEP17	S	1	PD21DEC17	2196.50	2126.75	USD	1,743.75	
21SEP17	S	1	PD21DEC17	2189.00	2126.75	USD	1,556.25	
21SEP17	S	1	PD21DEC17	2186.50	2126.75	USD	1,493.75	
21SEP17	B	1	PD21DEC17	2176.50	2126.75	USD	-1,243.75	
21SEP17	B	2	PD21DEC17	2176.00	2126.75	USD	-2,462.50	
21SEP17	B	3	PD21DEC17	2178.50	2126.75	USD	-3,881.25	
21SEP17	B	1	PD21DEC17	2173.50	2126.75	USD	-1,168.75	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	S	3	PD21DEC17	2170.00	2126.75	USD	3,243.75	
21SEP17	S	3	PD21DEC17	2168.50	2126.75	USD	3,131.25	
21SEP17	S	1	PD21DEC17	2171.00	2126.75	USD	1,106.25	
21SEP17	B	1	PD21DEC17	2161.00	2126.75	USD	-856.25	
21SEP17	B	1	PD21DEC17	2156.00	2126.75	USD	-731.25	
21SEP17	B	1	PD21DEC17	2149.00	2126.75	USD	-556.25	
21SEP17	S	1	PD21DEC17	2146.50	2126.75	USD	493.75	
21SEP17	B	1	PD21DEC17	2148.50	2126.75	USD	-543.75	
21SEP17	B	1	PD21DEC17	2148.00	2126.75	USD	-531.25	
21SEP17	B	1	PD21DEC17	2143.00	2126.75	USD	-406.25	
21SEP17	B	2	PD21DEC17	2142.00	2126.75	USD	-762.50	
21SEP17	B	1	PD21DEC17	2141.50	2126.75	USD	-368.75	
21SEP17	B	1	PD21DEC17	2142.50	2126.75	USD	-393.75	
21SEP17	B	1	PD21DEC17	2150.00	2126.75	USD	-581.25	
21SEP17	B	1	PD21DEC17	2180.00	2126.75	USD	-1,331.25	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	B	1	PD21DEC17	2148.50	2126.75	USD	-543.75	
04OCT17	S	3	PD21DEC17	2163.00	2126.75	USD	2,718.75	
	NET	0	AVG BGHT 2162.83	SOLD 2170.83			8,200.00	0.00
22SEP17	B	1	PD22DEC17	2136.50	2126.75	USD	-243.75	
22SEP17	B	3	PD22DEC17	2137.00	2126.75	USD	-768.75	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22SEP17	B	1	PD22DEC17	2135.50	2126.75	USD	-218.75	
22SEP17	S	2	PD22DEC17	2135.00	2126.75	USD	412.50	
22SEP17	B	1	PD22DEC17	2124.50	2126.75	USD	56.25	
22SEP17	S	1	PD22DEC17	2148.50	2126.75	USD	543.75	
22SEP17	S	1	PD22DEC17	2150.00	2126.75	USD	581.25	
22SEP17	S	1	PD22DEC17	2153.00	2126.75	USD	656.25	
22SEP17	S	1	PD22DEC17	2161.00	2126.75	USD	856.25	
22SEP17	S	1	PD22DEC17	2161.50	2126.75	USD	868.75	
22SEP17	B	1	PD22DEC17	2153.50	2126.75	USD	-668.75	
22SEP17	S	1	PD22DEC17	2163.00	2126.75	USD	906.25	
22SEP17	S	2	PD22DEC17	2164.50	2126.75	USD	1,887.50	
22SEP17	S	1	PD22DEC17	2165.00	2126.75	USD	956.25	
22SEP17	B	1	PD22DEC17	2158.00	2126.75	USD	-781.25	
22SEP17	B	1	PD22DEC17	2163.50	2126.75	USD	-918.75	
22SEP17	B	2	PD22DEC17	2164.00	2126.75	USD	-1,862.50	
	NET	0AVG BGHT 2146.41	SOLD 2154.64				2,262.50	0.00
25SEP17	B	1	PD27DEC17	2154.50	2127.29	USD	-680.25	
25SEP17	S	1	PD27DEC17	2149.00	2127.29	USD	542.75	
25SEP17	B	2	PD27DEC17	2138.50	2127.29	USD	-560.50	
25SEP17	S	1	PD27DEC17	2145.00	2127.29	USD	442.75	
25SEP17	S	1	PD27DEC17	2141.00	2127.29	USD	342.75	
25SEP17	S	1	PD27DEC17	2146.00	2127.29	USD	467.75	
25SEP17	S	1	PD27DEC17	2148.00	2127.29	USD	517.75	
25SEP17	S	1	PD27DEC17	2153.50	2127.29	USD	655.25	
25SEP17	B	1	PD27DEC17	2149.50	2127.29	USD	-555.25	
26SEP17	B	1	PD27DEC17	2156.00	2127.29	USD	-717.75	
26SEP17	B	1	PD27DEC17	2155.50	2127.29	USD	-705.25	
26SEP17	B	1	PD27DEC17	2146.00	2127.29	USD	-467.75	
26SEP17	S	1	PD27DEC17	2139.50	2127.29	USD	305.25	
26SEP17	B	1	PD27DEC17	2138.00	2127.29	USD	-267.75	
26SEP17	S	1	PD27DEC17	2128.50	2127.29	USD	30.25	
26SEP17	S	1	PD27DEC17	2132.50	2127.29	USD	130.25	
26SEP17	B	1	PD27DEC17	2131.00	2127.29	USD	-92.75	
26SEP17	B	1	PD27DEC17	2125.00	2127.29	USD	57.25	
27SEP17	S	1	PD27DEC17	2131.00	2127.29	USD	92.75	
27SEP17	S	2	PD27DEC17	2131.50	2127.29	USD	210.50	
27SEP17	S	1	PD27DEC17	2132.50	2127.29	USD	130.25	
27SEP17	S	1	PD27DEC17	2133.00	2127.29	USD	142.75	
27SEP17	S	1	PD27DEC17	2145.50	2127.29	USD	455.25	
27SEP17	B	1	PD27DEC17	2129.50	2127.29	USD	-55.25	
27SEP17	B	1	PD27DEC17	2129.00	2127.29	USD	-42.75	
27SEP17	B	1	PD27DEC17	2128.00	2127.29	USD	-17.75	
27SEP17	B	3	PD27DEC17	2127.00	2127.29	USD	21.75	
04OCT17	S	1	PD27DEC17	2164.20	2127.29	USD	922.75	
	NET	0AVG BGHT 2137.50	SOLD 2140.76				1,305.00	0.00
28SEP17	B	1	PD28DEC17	2126.00	2127.39	USD	34.75	
28SEP17	B	1	PD28DEC17	2122.00	2127.39	USD	134.75	
28SEP17	S	1	PD28DEC17	2132.00	2127.39	USD	115.25	
28SEP17	S	1	PD28DEC17	2130.50	2127.39	USD	77.75	
28SEP17	B	1	PD28DEC17	2123.50	2127.39	USD	97.25	
28SEP17	S	1	PD28DEC17	2127.00	2127.39	USD	-9.75	
28SEP17	S	2	PD28DEC17	2132.50	2127.39	USD	255.50	
28SEP17	S	1	PD28DEC17	2133.50	2127.39	USD	152.75	
28SEP17	B	1	PD28DEC17	2136.50	2127.39	USD	-227.75	
04OCT17	B	3	PD28DEC17	2164.60	2127.39	USD	-2,790.75	
	NET	+1AVG BGHT 2143.11	SOLD 2131.33				-2,160.25	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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29SEP17	S	1	PD29DEC17	2134.50	2127.50	USD	175.00	
29SEP17	B	1	PD29DEC17	2125.00	2127.50	USD	62.50	
29SEP17	S	1	PD29DEC17	2127.00	2127.50	USD	-12.50	
29SEP17	B	2	PD29DEC17	2130.00	2127.50	USD	-125.00	
29SEP17	B	1	PD29DEC17	2127.50	2127.50	USD	0.00	
29SEP17	B	1	PD29DEC17	2123.50	2127.50	USD	100.00	
04OCT17	S	3	PD29DEC17	2164.60	2127.50	USD	2,782.50	
	NET	0 AVG BGHT 2127.20	SOLD 2151.06				2,982.50	0.00
02OCT17	B	1	PD02JAN18	2108.00	2128.27	USD	506.75	
02OCT17	B	1	PD02JAN18	2107.00	2128.27	USD	531.75	
04OCT17	S	1	PD02JAN18	2165.60	2128.27	USD	933.25	
05OCT17	S	1	PD02JAN18	2170.79	2128.27	USD	1,063.00	
	NET	0 AVG BGHT 2107.50	SOLD 2168.20				3,034.75	0.00
03OCT17	B	3	PD03JAN18	2119.50	2128.46	USD	672.00	
03OCT17	B	1	PD03JAN18	2120.50	2128.46	USD	199.00	
03OCT17	S	1	PD03JAN18	2121.50	2128.46	USD	-174.00	
03OCT17	S	1	PD03JAN18	2123.00	2128.46	USD	-136.50	
03OCT17	S	1	PD03JAN18	2123.50	2128.46	USD	-124.00	
03OCT17	S	1	PD03JAN18	2131.50	2128.46	USD	76.00	
03OCT17	S	1	PD03JAN18	2144.00	2128.46	USD	388.50	
04OCT17	B	1	PD03JAN18	2166.00	2128.46	USD	-938.50	
	NET	0 AVG BGHT 2129.00	SOLD 2128.70				-37.50	0.00
04OCT17	B	1	PD04JAN18	2148.00	2128.65	USD	-483.75	
04OCT17	S	1	PD04JAN18	2153.00	2128.65	USD	608.75	
04OCT17	S	1	PD04JAN18	2151.00	2128.65	USD	558.75	
04OCT17	B	4	PD04JAN18	2144.50	2128.65	USD	-1,585.00	
04OCT17	S	1	PD04JAN18	2148.50	2128.65	USD	496.25	
04OCT17	S	1	PD04JAN18	2155.00	2128.65	USD	658.75	
04OCT17	S	1	PD04JAN18	2156.50	2128.65	USD	696.25	
04OCT17	S	1	PD04JAN18	2165.00	2128.65	USD	908.75	
04OCT17	B	1	PD04JAN18	2156.50	2128.65	USD	-696.25	
04OCT17	B	1	PD04JAN18	2155.00	2128.65	USD	-658.75	
04OCT17	S	1	PD04JAN18	2158.50	2128.65	USD	746.25	
04OCT17	S	1	PD04JAN18	2159.00	2128.65	USD	758.75	
04OCT17	B	1	PD04JAN18	2150.00	2128.65	USD	-533.75	
04OCT17	S	2	PD04JAN18	2158.00	2128.65	USD	1,467.50	
04OCT17	S	1	PD04JAN18	2157.00	2128.65	USD	708.75	
04OCT17	S	1	PD04JAN18	2167.00	2128.65	USD	958.75	
04OCT17	B	1	PD04JAN18	2160.50	2128.65	USD	-796.25	
04OCT17	B	1	PD04JAN18	2157.00	2128.65	USD	-708.75	
05OCT17	B	1	PD04JAN18	2171.45	2128.65	USD	-1,070.00	
10OCT17	B	1	PD04JAN18	2161.75	2128.65	USD	-827.50	
	NET	0 AVG BGHT 2153.18	SOLD 2157.21				1,207.50	0.00
05OCT17	B	1	PD05JAN18	2159.00	2128.85	USD	-753.75	
05OCT17	B	1	PD05JAN18	2163.50	2128.85	USD	-866.25	
05OCT17	B	6	PD05JAN18	2160.00	2128.85	USD	-4,672.50	
05OCT17	B	1	PD05JAN18	2159.50	2128.85	USD	-766.25	
05OCT17	B	1	PD05JAN18	2157.00	2128.85	USD	-703.75	
05OCT17	B	1	PD05JAN18	2153.50	2128.85	USD	-616.25	
05OCT17	B	1	PD05JAN18	2154.50	2128.85	USD	-641.25	
05OCT17	B	2	PD05JAN18	2150.00	2128.85	USD	-1,057.50	
05OCT17	S	1	PD05JAN18	2151.50	2128.85	USD	566.25	
05OCT17	S	1	PD05JAN18	2154.50	2128.85	USD	641.25	
05OCT17	S	3	PD05JAN18	2165.00	2128.85	USD	2,711.25	
05OCT17	S	2	PD05JAN18	2168.00	2128.85	USD	1,957.50	
05OCT17	S	3	PD05JAN18	2165.50	2128.85	USD	2,748.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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05OCT17	S	3	PD05JAN18	2166.50	2128.85	USD	2,823.75	
05OCT17	S	1	PD05JAN18	2159.50	2128.85	USD	766.25	
05OCT17	B	2	PD05JAN18	2156.50	2128.85	USD	-1,382.50	
05OCT17	S	1	PD05JAN18	2171.00	2128.85	USD	1,053.75	
05OCT17	B	1	PD05JAN18	2167.50	2128.85	USD	-966.25	
05OCT17	S	2	PD05JAN18	2168.50	2128.85	USD	1,982.50	
06OCT17	B	1	PD05JAN18	2154.00	2128.85	USD	-628.75	
06OCT17	B	1	PD05JAN18	2155.00	2128.85	USD	-653.75	
06OCT17	B	1	PD05JAN18	2154.50	2128.85	USD	-641.25	
06OCT17	S	1	PD05JAN18	2149.50	2128.85	USD	516.25	
06OCT17	S	1	PD05JAN18	2146.00	2128.85	USD	428.75	
06OCT17	S	1	PD05JAN18	2137.50	2128.85	USD	216.25	
06OCT17	S	2	PD05JAN18	2150.50	2128.85	USD	1,082.50	
06OCT17	S	1	PD05JAN18	2147.00	2128.85	USD	453.75	
06OCT17	S	1	PD05JAN18	2152.50	2128.85	USD	591.25	
06OCT17	B	1	PD05JAN18	2140.00	2128.85	USD	-278.75	
06OCT17	B	1	PD05JAN18	2145.00	2128.85	USD	-403.75	
10OCT17	S	1	PD05JAN18	2161.76	2128.85	USD	822.75	
	NET	-3 AVG BGHT 2156.18	SOLD 2159.83				4,330.25	0.00
09OCT17	B	2	PD09JAN18	2149.50	2129.62	USD	-994.00	
09OCT17	B	1	PD09JAN18	2151.00	2129.62	USD	-534.50	
09OCT17	B	1	PD09JAN18	2152.50	2129.62	USD	-572.00	
09OCT17	B	1	PD09JAN18	2153.00	2129.62	USD	-584.50	
09OCT17	B	1	PD09JAN18	2146.50	2129.62	USD	-422.00	
09OCT17	S	2	PD09JAN18	2157.00	2129.62	USD	1,369.00	
09OCT17	S	1	PD09JAN18	2156.50	2129.62	USD	672.00	
09OCT17	S	1	PD09JAN18	2159.00	2129.62	USD	734.50	
09OCT17	S	1	PD09JAN18	2160.00	2129.62	USD	759.50	
09OCT17	S	1	PD09JAN18	2161.00	2129.62	USD	784.50	
09OCT17	S	2	PD09JAN18	2158.50	2129.62	USD	1,444.00	
09OCT17	S	3	PD09JAN18	2156.00	2129.62	USD	1,978.50	
09OCT17	S	1	PD09JAN18	2166.00	2129.62	USD	909.50	
09OCT17	B	1	PD09JAN18	2166.00	2129.62	USD	-909.50	
09OCT17	S	1	PD09JAN18	2174.00	2129.62	USD	1,109.50	
09OCT17	B	2	PD09JAN18	2169.50	2129.62	USD	-1,994.00	
	NET	-4 AVG BGHT 2156.33	SOLD 2159.65				3,750.50	0.00
10OCT17	B	1	PD10JAN18	2165.50	2129.81	USD	-892.25	
10OCT17	B	3	PD10JAN18	2166.00	2129.81	USD	-2,714.25	
10OCT17	B	1	PD10JAN18	2166.50	2129.81	USD	-917.25	
10OCT17	B	1	PD10JAN18	2160.50	2129.81	USD	-767.25	
10OCT17	B	3	PD10JAN18	2165.00	2129.81	USD	-2,639.25	
10OCT17	B	1	PD10JAN18	2163.50	2129.81	USD	-842.25	
10OCT17	B	2	PD10JAN18	2161.00	2129.81	USD	-1,559.50	
10OCT17	B	1	PD10JAN18	2164.00	2129.81	USD	-854.75	
10OCT17	B	3	PD10JAN18	2162.00	2129.81	USD	-2,414.25	
10OCT17	B	1	PD10JAN18	2156.50	2129.81	USD	-667.25	
10OCT17	B	1	PD10JAN18	2153.50	2129.81	USD	-592.25	
10OCT17	B	1	PD10JAN18	2155.00	2129.81	USD	-629.75	
10OCT17	S	2	PD10JAN18	2166.50	2129.81	USD	1,834.50	
10OCT17	B	1	PD10JAN18	2165.00	2129.81	USD	-879.75	
10OCT17	S	1	PD10JAN18	2164.50	2129.81	USD	867.25	
10OCT17	S	1	PD10JAN18	2164.00	2129.81	USD	854.75	
10OCT17	S	2	PD10JAN18	2162.50	2129.81	USD	1,634.50	
10OCT17	S	1	PD10JAN18	2163.50	2129.81	USD	842.25	
	NET	+13 AVG BGHT 2162.55	SOLD 2164.29				-10,336.75	0.00
11OCT17	B	4	PD11JAN18	2153.00	2130.00	USD	-2,300.00	

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11OCT17	B	2	PD11JAN18	2150.00	2130.00	USD	-1,000.00	
11OCT17	S	1	PD11JAN18	2158.50	2130.00	USD	712.50	
11OCT17	B	1	PD11JAN18	2149.50	2130.00	USD	-487.50	
11OCT17	B	1	PD11JAN18	2144.50	2130.00	USD	-362.50	
11OCT17	B	1	PD11JAN18	2143.50	2130.00	USD	-337.50	
11OCT17	S	1	PD11JAN18	2142.50	2130.00	USD	312.50	
11OCT17	S	1	PD11JAN18	2144.00	2130.00	USD	350.00	
11OCT17	S	1	PD11JAN18	2142.00	2130.00	USD	300.00	
11OCT17	S	1	PD11JAN18	2146.50	2130.00	USD	412.50	
11OCT17	S	2	PD11JAN18	2146.00	2130.00	USD	800.00	
11OCT17	S	2	PD11JAN18	2153.50	2130.00	USD	1,175.00	
11OCT17	B	2	PD11JAN18	2148.50	2130.00	USD	-925.00	
11OCT17	B	2	PD11JAN18	2139.00	2130.00	USD	-450.00	
11OCT17	B	2	PD11JAN18	2138.50	2130.00	USD	-425.00	
11OCT17	B	1	PD11JAN18	2134.50	2130.00	USD	-112.50	
11OCT17	B	2	PD11JAN18	2133.00	2130.00	USD	-150.00	
11OCT17	S	1	PD11JAN18	2139.00	2130.00	USD	225.00	
11OCT17	S	1	PD11JAN18	2138.00	2130.00	USD	200.00	
	NET	+7 AVG BGHT 2144.56	SOLD 2146.32				-2,062.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	2	PD22NOV17	6590.00	6779.45	USD	-9,472.50	
06SEP17	B	1	PD22NOV17	6895.75	6779.45	USD	-2,907.50	
13SEP17	B	1	PD22NOV17	6544.58	6779.45	USD	5,871.75	
	NET	0AVG BGHT 6720.17	SOLD 6590.00				-6,508.25	0.00
30AUG17	B	1	PD30NOV17	6780.00	6783.72	USD	93.00	
31AUG17	S	1	PD30NOV17	6828.00	6783.72	USD	1,107.00	
31AUG17	B	1	PD30NOV17	6792.00	6783.72	USD	-207.00	
06SEP17	S	1	PD30NOV17	6898.55	6783.72	USD	2,870.75	
	NET	0AVG BGHT 6786.00	SOLD 6863.28				3,863.75	0.00
08SEP17	B	1	PD08DEC17	6803.00	6787.71	USD	-382.25	
08SEP17	B	1	PD08DEC17	6702.00	6787.71	USD	2,142.75	
13SEP17	S	1	PD08DEC17	6551.26	6787.71	USD	-5,911.25	
04OCT17	S	1	PD08DEC17	6514.50	6787.71	USD	-6,830.25	
	NET	0AVG BGHT 6752.50	SOLD 6532.88				-10,981.00	0.00
20SEP17	B	1	PD20DEC17	6556.00	6792.50	USD	5,912.50	
20SEP17	S	1	PD20DEC17	6523.50	6792.50	USD	-6,725.00	
20SEP17	B	1	PD20DEC17	6523.00	6792.50	USD	6,737.50	
10OCT17	S	1	PD20DEC17	6752.00	6792.50	USD	-1,012.50	
	NET	0AVG BGHT 6539.50	SOLD 6637.75				4,912.50	0.00
26SEP17	B	1	PD27DEC17	6425.00	6793.00	USD	9,200.00	
10OCT17	S	1	PD27DEC17	6752.50	6793.00	USD	-1,012.50	
	NET	0AVG BGHT 6425.00	SOLD 6752.50				8,187.50	0.00
28SEP17	S	1	PD28DEC17	6510.00	6793.47	USD	-7,086.75	
04OCT17	B	1	PD28DEC17	6519.05	6793.47	USD	6,860.50	
	NET	0AVG BGHT 6519.05	SOLD 6510.00				-226.25	0.00
05OCT17	S	1	PD05JAN18	6637.50	6797.20	USD	-3,992.50	
05OCT17	S	1	PD05JAN18	6695.00	6797.20	USD	-2,555.00	
10OCT17	B	2	PD05JAN18	6757.52	6797.20	USD	1,984.00	
	NET	0AVG BGHT 6757.52	SOLD 6666.25				-4,563.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	2	PD12OCT17	2314.50	2552.35	USD	-11,892.50	
12JUL17	S	1	PD12OCT17	2319.50	2552.35	USD	-5,821.25	

OPEN POSITION STATEMENT



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SAP0112D

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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12JUL17	S	1	PD120CT17	2320.00	2552.35	USD	-5,808.75	
12JUL17	S	1	PD120CT17	2321.00	2552.35	USD	-5,783.75	
12JUL17	S	2	PD120CT17	2322.00	2552.35	USD	-11,517.50	
12JUL17	S	1	PD120CT17	2326.50	2552.35	USD	-5,646.25	
12JUL17	S	1	PD120CT17	2329.00	2552.35	USD	-5,583.75	
12JUL17	S	2	PD120CT17	2338.50	2552.35	USD	-10,692.50	
12JUL17	S	1	PD120CT17	2335.50	2552.35	USD	-5,421.25	
12JUL17	S	1	PD120CT17	2337.00	2552.35	USD	-5,383.75	
12JUL17	S	2	PD120CT17	2338.00	2552.35	USD	-10,717.50	
12JUL17	S	1	PD120CT17	2345.50	2552.35	USD	-5,171.25	
12JUL17	B	1	PD120CT17	2333.00	2552.35	USD	5,483.75	
12JUL17	B	2	PD120CT17	2332.50	2552.35	USD	10,992.50	
12JUL17	B	1	PD120CT17	2331.00	2552.35	USD	5,533.75	
12JUL17	B	1	PD120CT17	2326.00	2552.35	USD	5,658.75	
12JUL17	S	1	PD120CT17	2330.00	2552.35	USD	-5,558.75	
12JUL17	B	1	PD120CT17	2330.00	2552.35	USD	5,558.75	
12JUL17	B	2	PD120CT17	2322.50	2552.35	USD	11,492.50	
12JUL17	B	1	PD120CT17	2320.00	2552.35	USD	5,808.75	
12JUL17	B	4	PD120CT17	2319.50	2552.35	USD	23,285.00	
12JUL17	S	3	PD120CT17	2323.00	2552.35	USD	-17,201.25	
12JUL17	S	2	PD120CT17	2324.50	2552.35	USD	-11,392.50	
12JUL17	S	2	PD120CT17	2323.50	2552.35	USD	-11,442.50	
12JUL17	S	4	PD120CT17	2322.50	2552.35	USD	-22,985.00	
12JUL17	S	1	PD120CT17	2331.00	2552.35	USD	-5,533.75	
12JUL17	B	2	PD120CT17	2324.00	2552.35	USD	11,417.50	
12JUL17	B	2	PD120CT17	2323.50	2552.35	USD	11,442.50	
12JUL17	B	2	PD120CT17	2321.50	2552.35	USD	11,542.50	
12JUL17	B	1	PD120CT17	2319.00	2552.35	USD	5,833.75	
12JUL17	S	1	PD120CT17	2324.00	2552.35	USD	-5,708.75	
12JUL17	B	5	PD120CT17	2322.00	2552.35	USD	28,793.75	
12JUL17	B	2	PD120CT17	2321.00	2552.35	USD	11,567.50	
12JUL17	S	1	PD120CT17	2316.00	2552.35	USD	-5,908.75	
12JUL17	S	1	PD120CT17	2315.50	2552.35	USD	-5,921.25	
12JUL17	B	1	PD120CT17	2324.00	2552.35	USD	5,708.75	
13JUL17	B	3	PD120CT17	2294.33	2552.35	USD	19,351.50	
18JUL17	S	1	PD120CT17	2273.98	2552.35	USD	-6,959.25	
24JUL17	B	2	PD120CT17	2258.18	2552.35	USD	14,708.50	
	NET	0 AVG BGHT 2316.98	SOLD 2324.41				6,128.25	0.00
13JUL17	S	1	PD130CT17	2320.50	2552.75	USD	-5,806.25	
13JUL17	B	1	PD130CT17	2316.50	2552.75	USD	5,906.25	
13JUL17	B	1	PD130CT17	2321.50	2552.75	USD	5,781.25	
13JUL17	B	2	PD130CT17	2322.00	2552.75	USD	11,537.50	
13JUL17	B	1	PD130CT17	2315.00	2552.75	USD	5,943.75	
13JUL17	B	1	PD130CT17	2313.50	2552.75	USD	5,981.25	
13JUL17	S	3	PD130CT17	2319.50	2552.75	USD	-17,493.75	
13JUL17	S	1	PD130CT17	2324.00	2552.75	USD	-5,718.75	
13JUL17	B	1	PD130CT17	2327.50	2552.75	USD	5,631.25	
13JUL17	B	1	PD130CT17	2327.00	2552.75	USD	5,643.75	
13JUL17	S	1	PD130CT17	2329.00	2552.75	USD	-5,593.75	
13JUL17	B	1	PD130CT17	2321.00	2552.75	USD	5,793.75	
13JUL17	S	3	PD130CT17	2322.50	2552.75	USD	-17,268.75	
13JUL17	S	2	PD130CT17	2323.00	2552.75	USD	-11,487.50	
13JUL17	S	6	PD130CT17	2326.50	2552.75	USD	-33,937.50	
13JUL17	S	3	PD130CT17	2327.00	2552.75	USD	-16,931.25	
13JUL17	S	3	PD130CT17	2327.50	2552.75	USD	-16,893.75	
13JUL17	B	1	PD130CT17	2318.50	2552.75	USD	5,856.25	
13JUL17	B	1	PD130CT17	2317.00	2552.75	USD	5,893.75	

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13JUL17	B	4	PD130CT17	2316.00	2552.75	USD	23,675.00	
13JUL17	B	1	PD130CT17	2319.00	2552.75	USD	5,843.75	
13JUL17	S	1	PD130CT17	2322.00	2552.75	USD	-5,768.75	
13JUL17	B	6	PD130CT17	2315.50	2552.75	USD	35,587.50	
13JUL17	B	2	PD130CT17	2310.50	2552.75	USD	12,112.50	
13JUL17	B	1	PD130CT17	2309.50	2552.75	USD	6,081.25	
13JUL17	S	1	PD130CT17	2308.00	2552.75	USD	-6,118.75	
13JUL17	S	1	PD130CT17	2308.50	2552.75	USD	-6,106.25	
13JUL17	S	1	PD130CT17	2309.00	2552.75	USD	-6,093.75	
13JUL17	S	1	PD130CT17	2298.50	2552.75	USD	-6,356.25	
13JUL17	S	1	PD130CT17	2298.00	2552.75	USD	-6,368.75	
13JUL17	S	2	PD130CT17	2299.50	2552.75	USD	-12,662.50	
13JUL17	S	1	PD130CT17	2300.00	2552.75	USD	-6,318.75	
13JUL17	S	1	PD130CT17	2300.50	2552.75	USD	-6,306.25	
13JUL17	S	1	PD130CT17	2302.00	2552.75	USD	-6,268.75	
13JUL17	S	2	PD130CT17	2304.50	2552.75	USD	-12,412.50	
13JUL17	B	1	PD130CT17	2294.50	2552.75	USD	6,456.25	
13JUL17	B	2	PD130CT17	2294.00	2552.75	USD	12,937.50	
13JUL17	B	3	PD130CT17	2296.00	2552.75	USD	19,256.25	
13JUL17	S	1	PD130CT17	2295.00	2552.75	USD	-6,443.75	
13JUL17	B	1	PD130CT17	2291.50	2552.75	USD	6,531.25	
13JUL17	B	1	PD130CT17	2290.00	2552.75	USD	6,568.75	
13JUL17	B	3	PD130CT17	2293.50	2552.75	USD	19,443.75	
13JUL17	B	1	PD130CT17	2292.50	2552.75	USD	6,506.25	
13JUL17	B	2	PD130CT17	2291.00	2552.75	USD	13,087.50	
13JUL17	B	3	PD130CT17	2289.50	2552.75	USD	19,743.75	
13JUL17	B	1	PD130CT17	2288.00	2552.75	USD	6,618.75	
13JUL17	B	1	PD130CT17	2285.00	2552.75	USD	6,693.75	
13JUL17	S	1	PD130CT17	2288.50	2552.75	USD	-6,606.25	
13JUL17	S	2	PD130CT17	2289.00	2552.75	USD	-13,187.50	
14JUL17	B	1	PD130CT17	2283.50	2552.75	USD	6,731.25	
14JUL17	S	2	PD130CT17	2281.50	2552.75	USD	-13,562.50	
14JUL17	S	1	PD130CT17	2290.50	2552.75	USD	-6,556.25	
14JUL17	B	1	PD130CT17	2280.00	2552.75	USD	6,818.75	
14JUL17	B	1	PD130CT17	2276.50	2552.75	USD	6,906.25	
14JUL17	S	1	PD130CT17	2285.00	2552.75	USD	-6,693.75	
14JUL17	B	3	PD130CT17	2275.00	2552.75	USD	20,831.25	
14JUL17	S	1	PD130CT17	2275.50	2552.75	USD	-6,931.25	
14JUL17	B	1	PD130CT17	2270.50	2552.75	USD	7,056.25	
14JUL17	B	1	PD130CT17	2269.00	2552.75	USD	7,093.75	
14JUL17	B	1	PD130CT17	2269.50	2552.75	USD	7,081.25	
14JUL17	S	1	PD130CT17	2275.00	2552.75	USD	-6,943.75	
14JUL17	S	1	PD130CT17	2278.50	2552.75	USD	-6,856.25	
14JUL17	S	2	PD130CT17	2276.00	2552.75	USD	-13,837.50	
14JUL17	S	2	PD130CT17	2287.50	2552.75	USD	-13,262.50	
14JUL17	B	1	PD130CT17	2277.00	2552.75	USD	6,893.75	
14JUL17	S	1	PD130CT17	2286.50	2552.75	USD	-6,656.25	
14JUL17	B	1	PD130CT17	2288.50	2552.75	USD	6,606.25	
14JUL17	S	1	PD130CT17	2303.50	2552.75	USD	-6,231.25	
14JUL17	S	1	PD130CT17	2295.00	2552.75	USD	-6,443.75	
14JUL17	S	2	PD130CT17	2301.50	2552.75	USD	-12,562.50	
14JUL17	S	1	PD130CT17	2289.50	2552.75	USD	-6,581.25	
14JUL17	S	1	PD130CT17	2305.50	2552.75	USD	-6,181.25	
14JUL17	S	1	PD130CT17	2307.00	2552.75	USD	-6,143.75	
14JUL17	S	1	PD130CT17	2316.50	2552.75	USD	-5,906.25	
14JUL17	S	1	PD130CT17	2317.00	2552.75	USD	-5,893.75	
14JUL17	S	2	PD130CT17	2316.00	2552.75	USD	-11,837.50	

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14JUL17	B	1	PD130CT17	2315.50	2552.75	USD	5,931.25	
18JUL17	B	1	PD130CT17	2274.35	2552.75	USD	6,960.00	
19JUL17	B	1	PD130CT17	2216.52	2552.75	USD	8,405.75	
24JUL17	B	5	PD130CT17	2258.36	2552.75	USD	36,798.75	
	NET	0 AVG	BGHT 2295.46				17,995.75	0.00
			SOLD 2306.89					
17JUL17	B	2	PD170CT17	2319.50	2554.35	USD	11,742.50	
17JUL17	S	1	PD170CT17	2326.00	2554.35	USD	-5,708.75	
17JUL17	S	2	PD170CT17	2325.00	2554.35	USD	-11,467.50	
17JUL17	S	3	PD170CT17	2326.50	2554.35	USD	-17,088.75	
17JUL17	S	2	PD170CT17	2329.00	2554.35	USD	-11,267.50	
17JUL17	S	2	PD170CT17	2329.50	2554.35	USD	-11,242.50	
17JUL17	S	4	PD170CT17	2330.00	2554.35	USD	-22,435.00	
17JUL17	S	1	PD170CT17	2330.50	2554.35	USD	-5,596.25	
17JUL17	B	1	PD170CT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B	1	PD170CT17	2326.00	2554.35	USD	5,708.75	
17JUL17	B	1	PD170CT17	2324.00	2554.35	USD	5,758.75	
17JUL17	B	1	PD170CT17	2323.50	2554.35	USD	5,771.25	
17JUL17	B	5	PD170CT17	2321.50	2554.35	USD	29,106.25	
17JUL17	B	2	PD170CT17	2322.00	2554.35	USD	11,617.50	
17JUL17	B	1	PD170CT17	2321.00	2554.35	USD	5,833.75	
17JUL17	B	3	PD170CT17	2320.50	2554.35	USD	17,538.75	
17JUL17	B	3	PD170CT17	2318.50	2554.35	USD	17,688.75	
17JUL17	B	5	PD170CT17	2320.00	2554.35	USD	29,293.75	
17JUL17	B	1	PD170CT17	2320.00	2554.35	USD	5,858.75	
17JUL17	S	1	PD170CT17	2325.00	2554.35	USD	-5,733.75	
17JUL17	B	2	PD170CT17	2317.00	2554.35	USD	11,867.50	
17JUL17	S	1	PD170CT17	2317.00	2554.35	USD	-5,933.75	
17JUL17	B	1	PD170CT17	2317.50	2554.35	USD	5,921.25	
17JUL17	B	1	PD170CT17	2317.00	2554.35	USD	5,933.75	
17JUL17	B	3	PD170CT17	2318.00	2554.35	USD	17,726.25	
17JUL17	S	2	PD170CT17	2320.00	2554.35	USD	-11,717.50	
17JUL17	S	2	PD170CT17	2317.50	2554.35	USD	-11,842.50	
17JUL17	S	1	PD170CT17	2320.50	2554.35	USD	-5,846.25	
17JUL17	S	2	PD170CT17	2321.00	2554.35	USD	-11,667.50	
17JUL17	S	1	PD170CT17	2319.00	2554.35	USD	-5,883.75	
17JUL17	B	1	PD170CT17	2315.00	2554.35	USD	5,983.75	
17JUL17	B	1	PD170CT17	2313.50	2554.35	USD	6,021.25	
17JUL17	S	2	PD170CT17	2303.50	2554.35	USD	-12,542.50	
17JUL17	S	2	PD170CT17	2299.00	2554.35	USD	-12,767.50	
17JUL17	S	1	PD170CT17	2296.50	2554.35	USD	-6,446.25	
17JUL17	S	1	PD170CT17	2295.00	2554.35	USD	-6,483.75	
17JUL17	S	2	PD170CT17	2295.50	2554.35	USD	-12,942.50	
17JUL17	B	1	PD170CT17	2293.00	2554.35	USD	6,533.75	
17JUL17	S	2	PD170CT17	2294.00	2554.35	USD	-13,017.50	
17JUL17	S	2	PD170CT17	2294.50	2554.35	USD	-12,992.50	
17JUL17	S	2	PD170CT17	2297.00	2554.35	USD	-12,867.50	
17JUL17	S	2	PD170CT17	2296.00	2554.35	USD	-12,917.50	
17JUL17	S	1	PD170CT17	2298.50	2554.35	USD	-6,396.25	
17JUL17	S	1	PD170CT17	2294.50	2554.35	USD	-6,496.25	
17JUL17	S	1	PD170CT17	2295.00	2554.35	USD	-6,483.75	
24JUL17	B	8	PD170CT17	2259.07	2554.35	USD	59,056.00	
	NET	0 AVG	BGHT 2308.25				4,923.50	0.00
			SOLD 2312.73					
18JUL17	S	1	PD180CT17	2292.00	2554.75	USD	-6,568.75	
18JUL17	B	1	PD180CT17	2285.00	2554.75	USD	6,743.75	
18JUL17	B	1	PD180CT17	2286.50	2554.75	USD	6,706.25	
18JUL17	B	2	PD180CT17	2291.50	2554.75	USD	13,162.50	
18JUL17	B	1	PD180CT17	2293.00	2554.75	USD	6,543.75	

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18JUL17	S	1	PD18OCT17	2294.50	2554.75	USD	-6,506.25	
18JUL17	B	3	PD18OCT17	2290.00	2554.75	USD	19,856.25	
18JUL17	B	1	PD18OCT17	2287.50	2554.75	USD	6,681.25	
18JUL17	S	3	PD18OCT17	2284.00	2554.75	USD	-20,306.25	
18JUL17	S	2	PD18OCT17	2285.00	2554.75	USD	-13,487.50	
18JUL17	B	2	PD18OCT17	2283.50	2554.75	USD	13,562.50	
18JUL17	B	2	PD18OCT17	2281.00	2554.75	USD	13,687.50	
18JUL17	B	1	PD18OCT17	2279.00	2554.75	USD	6,893.75	
18JUL17	S	1	PD18OCT17	2282.00	2554.75	USD	-6,818.75	
18JUL17	B	1	PD18OCT17	2275.00	2554.75	USD	6,993.75	
18JUL17	B	1	PD18OCT17	2281.50	2554.75	USD	6,831.25	
18JUL17	S	1	PD18OCT17	2280.00	2554.75	USD	-6,868.75	
18JUL17	B	2	PD18OCT17	2278.50	2554.75	USD	13,812.50	
18JUL17	B	1	PD18OCT17	2270.00	2554.75	USD	7,118.75	
18JUL17	S	1	PD18OCT17	2277.50	2554.75	USD	-6,931.25	
18JUL17	B	1	PD18OCT17	2274.00	2554.75	USD	7,018.75	
18JUL17	B	1	PD18OCT17	2272.50	2554.75	USD	7,056.25	
18JUL17	B	2	PD18OCT17	2267.00	2554.75	USD	14,387.50	
18JUL17	B	1	PD18OCT17	2266.00	2554.75	USD	7,218.75	
18JUL17	B	1	PD18OCT17	2268.00	2554.75	USD	7,168.75	
18JUL17	S	3	PD18OCT17	2273.00	2554.75	USD	-21,131.25	
18JUL17	B	1	PD18OCT17	2266.00	2554.75	USD	7,218.75	
18JUL17	S	1	PD18OCT17	2276.00	2554.75	USD	-6,968.75	
18JUL17	S	3	PD18OCT17	2274.50	2554.75	USD	-21,018.75	
18JUL17	S	1	PD18OCT17	2272.00	2554.75	USD	-7,068.75	
18JUL17	S	4	PD18OCT17	2272.50	2554.75	USD	-28,225.00	
18JUL17	S	2	PD18OCT17	2273.50	2554.75	USD	-14,062.50	
18JUL17	S	1	PD18OCT17	2274.00	2554.75	USD	-7,018.75	
18JUL17	S	5	PD18OCT17	2273.00	2554.75	USD	-35,218.75	
18JUL17	S	1	PD18OCT17	2275.00	2554.75	USD	-6,993.75	
19JUL17	S	1	PD18OCT17	2217.30	2554.75	USD	-8,436.25	
24JUL17	B	6	PD18OCT17	2259.25	2554.75	USD	44,325.00	
	NET	0	AVG BGHT 2276.02	SOLD 2275.21			-642.50	0.00
19JUL17	B	4	PD19OCT17	2263.50	2554.89	USD	29,139.00	
19JUL17	B	1	PD19OCT17	2262.00	2554.89	USD	7,322.25	
19JUL17	S	1	PD19OCT17	2272.00	2554.89	USD	-7,072.25	
19JUL17	S	2	PD19OCT17	2269.50	2554.89	USD	-14,269.50	
19JUL17	B	1	PD19OCT17	2259.50	2554.89	USD	7,384.75	
19JUL17	B	1	PD19OCT17	2258.00	2554.89	USD	7,422.25	
19JUL17	B	1	PD19OCT17	2252.50	2554.89	USD	7,559.75	
19JUL17	B	2	PD19OCT17	2257.00	2554.89	USD	14,894.50	
19JUL17	B	1	PD19OCT17	2256.50	2554.89	USD	7,459.75	
19JUL17	B	1	PD19OCT17	2255.50	2554.89	USD	7,484.75	
19JUL17	B	1	PD19OCT17	2255.00	2554.89	USD	7,497.25	
19JUL17	B	2	PD19OCT17	2254.50	2554.89	USD	15,019.50	
19JUL17	B	3	PD19OCT17	2254.00	2554.89	USD	22,566.75	
19JUL17	B	2	PD19OCT17	2253.00	2554.89	USD	15,094.50	
19JUL17	B	1	PD19OCT17	2251.00	2554.89	USD	7,597.25	
19JUL17	B	1	PD19OCT17	2249.50	2554.89	USD	7,634.75	
19JUL17	B	2	PD19OCT17	2247.00	2554.89	USD	15,394.50	
19JUL17	S	1	PD19OCT17	2245.50	2554.89	USD	-7,734.75	
19JUL17	B	1	PD19OCT17	2243.50	2554.89	USD	7,784.75	
19JUL17	B	1	PD19OCT17	2246.50	2554.89	USD	7,709.75	
19JUL17	B	5	PD19OCT17	2246.00	2554.89	USD	38,611.25	
19JUL17	B	2	PD19OCT17	2245.50	2554.89	USD	15,469.50	
19JUL17	B	1	PD19OCT17	2242.00	2554.89	USD	7,822.25	
19JUL17	B	3	PD19OCT17	2241.50	2554.89	USD	23,504.25	

OPEN POSITION STATEMENT



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SAP0112D

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19JUL17	B	3	PD19OCT17	2243.00	2554.89	USD	23,391.75	
19JUL17	B	5	PD19OCT17	2244.00	2554.89	USD	38,861.25	
19JUL17	B	3	PD19OCT17	2244.50	2554.89	USD	23,279.25	
19JUL17	S	1	PD19OCT17	2243.50	2554.89	USD	-7,784.75	
19JUL17	B	1	PD19OCT17	2240.00	2554.89	USD	7,872.25	
19JUL17	B	1	PD19OCT17	2227.50	2554.89	USD	8,184.75	
19JUL17	B	1	PD19OCT17	2226.00	2554.89	USD	8,222.25	
19JUL17	S	1	PD19OCT17	2229.00	2554.89	USD	-8,147.25	
19JUL17	S	1	PD19OCT17	2231.00	2554.89	USD	-8,097.25	
19JUL17	S	1	PD19OCT17	2220.50	2554.89	USD	-8,359.75	
19JUL17	S	1	PD19OCT17	2221.00	2554.89	USD	-8,347.25	
19JUL17	S	2	PD19OCT17	2214.50	2554.89	USD	-17,019.50	
19JUL17	S	1	PD19OCT17	2213.50	2554.89	USD	-8,534.75	
19JUL17	S	1	PD19OCT17	2217.00	2554.89	USD	-8,447.25	
19JUL17	S	1	PD19OCT17	2218.00	2554.89	USD	-8,422.25	
19JUL17	S	1	PD19OCT17	2218.50	2554.89	USD	-8,409.75	
19JUL17	S	1	PD19OCT17	2219.00	2554.89	USD	-8,397.25	
19JUL17	S	2	PD19OCT17	2216.50	2554.89	USD	-16,919.50	
19JUL17	S	1	PD19OCT17	2211.50	2554.89	USD	-8,584.75	
19JUL17	S	2	PD19OCT17	2211.00	2554.89	USD	-17,194.50	
24JUL17	S	21	PD19OCT17	2259.18	2554.89	USD	-155,247.75	
24JUL17	S	9	PD19OCT17	2259.38	2554.89	USD	-66,489.75	
	NET	0 AVG BGHT 2248.86	SOLD 2246.28				-3,295.00	0.00
20JUL17	S	1	PD20OCT17	2227.00	2555.04	USD	-8,201.00	
20JUL17	S	1	PD20OCT17	2230.00	2555.04	USD	-8,126.00	
20JUL17	S	1	PD20OCT17	2226.50	2555.04	USD	-8,213.50	
20JUL17	S	1	PD20OCT17	2222.50	2555.04	USD	-8,313.50	
20JUL17	S	3	PD20OCT17	2223.00	2555.04	USD	-24,903.00	
20JUL17	S	3	PD20OCT17	2224.50	2555.04	USD	-24,790.50	
20JUL17	S	1	PD20OCT17	2226.00	2555.04	USD	-8,226.00	
20JUL17	S	1	PD20OCT17	2225.00	2555.04	USD	-8,251.00	
20JUL17	S	1	PD20OCT17	2223.50	2555.04	USD	-8,288.50	
20JUL17	B	1	PD20OCT17	2225.50	2555.04	USD	8,238.50	
20JUL17	B	2	PD20OCT17	2225.00	2555.04	USD	16,502.00	
20JUL17	B	1	PD20OCT17	2219.50	2555.04	USD	8,388.50	
20JUL17	B	1	PD20OCT17	2218.00	2555.04	USD	8,426.00	
20JUL17	S	2	PD20OCT17	2225.50	2555.04	USD	-16,477.00	
20JUL17	S	2	PD20OCT17	2222.00	2555.04	USD	-16,652.00	
20JUL17	S	2	PD20OCT17	2228.50	2555.04	USD	-16,327.00	
20JUL17	S	2	PD20OCT17	2228.00	2555.04	USD	-16,352.00	
20JUL17	S	1	PD20OCT17	2231.50	2555.04	USD	-8,088.50	
20JUL17	B	1	PD20OCT17	2227.00	2555.04	USD	8,201.00	
20JUL17	S	5	PD20OCT17	2229.00	2555.04	USD	-40,755.00	
20JUL17	S	1	PD20OCT17	2228.50	2555.04	USD	-8,163.50	
20JUL17	B	1	PD20OCT17	2223.50	2555.04	USD	8,288.50	
20JUL17	B	1	PD20OCT17	2221.50	2555.04	USD	8,338.50	
20JUL17	B	1	PD20OCT17	2221.00	2555.04	USD	8,351.00	
20JUL17	B	1	PD20OCT17	2220.50	2555.04	USD	8,363.50	
20JUL17	B	1	PD20OCT17	2210.00	2555.04	USD	8,626.00	
20JUL17	B	1	PD20OCT17	2209.50	2555.04	USD	8,638.50	
20JUL17	B	1	PD20OCT17	2208.00	2555.04	USD	8,676.00	
20JUL17	S	1	PD20OCT17	2208.00	2555.04	USD	-8,676.00	
20JUL17	S	1	PD20OCT17	2213.00	2555.04	USD	-8,551.00	
20JUL17	S	2	PD20OCT17	2212.00	2555.04	USD	-17,152.00	
20JUL17	S	1	PD20OCT17	2212.50	2555.04	USD	-8,563.50	
20JUL17	S	1	PD20OCT17	2213.50	2555.04	USD	-8,538.50	
20JUL17	S	2	PD20OCT17	2219.00	2555.04	USD	-16,802.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20JUL17	S	1	PD200CT17	2219.00	2555.04	USD	-8,401.00	
21JUL17	B	1	PD200CT17	2226.50	2555.04	USD	8,213.50	
21JUL17	B	1	PD200CT17	2225.00	2555.04	USD	8,251.00	
21JUL17	B	1	PD200CT17	2225.50	2555.04	USD	8,238.50	
21JUL17	S	2	PD200CT17	2236.00	2555.04	USD	-15,952.00	
21JUL17	S	1	PD200CT17	2237.50	2555.04	USD	-7,938.50	
21JUL17	S	1	PD200CT17	2239.00	2555.04	USD	-7,901.00	
21JUL17	S	2	PD200CT17	2238.50	2555.04	USD	-15,827.00	
21JUL17	S	1	PD200CT17	2241.00	2555.04	USD	-7,851.00	
21JUL17	S	1	PD200CT17	2241.50	2555.04	USD	-7,838.50	
21JUL17	S	2	PD200CT17	2245.50	2555.04	USD	-15,477.00	
21JUL17	S	1	PD200CT17	2246.50	2555.04	USD	-7,713.50	
21JUL17	S	3	PD200CT17	2245.00	2555.04	USD	-23,253.00	
21JUL17	S	2	PD200CT17	2236.00	2555.04	USD	-15,952.00	
21JUL17	B	1	PD200CT17	2253.50	2555.04	USD	7,538.50	
21JUL17	B	1	PD200CT17	2252.00	2555.04	USD	7,576.00	
21JUL17	S	1	PD200CT17	2249.00	2555.04	USD	-7,651.00	
21JUL17	B	1	PD200CT17	2243.00	2555.04	USD	7,801.00	
21JUL17	B	1	PD200CT17	2240.00	2555.04	USD	7,876.00	
21JUL17	B	1	PD200CT17	2237.00	2555.04	USD	7,951.00	
21JUL17	B	2	PD200CT17	2236.50	2555.04	USD	15,927.00	
21JUL17	B	2	PD200CT17	2234.50	2555.04	USD	16,027.00	
21JUL17	B	1	PD200CT17	2235.50	2555.04	USD	7,988.50	
21JUL17	B	5	PD200CT17	2235.00	2555.04	USD	40,005.00	
21JUL17	S	3	PD200CT17	2240.00	2555.04	USD	-23,628.00	
21JUL17	S	1	PD200CT17	2239.50	2555.04	USD	-7,888.50	
21JUL17	B	2	PD200CT17	2239.00	2555.04	USD	15,802.00	
21JUL17	B	1	PD200CT17	2236.00	2555.04	USD	7,976.00	
21JUL17	S	1	PD200CT17	2234.50	2555.04	USD	-8,013.50	
21JUL17	B	2	PD200CT17	2229.00	2555.04	USD	16,302.00	
21JUL17	B	1	PD200CT17	2240.50	2555.04	USD	7,863.50	
21JUL17	B	2	PD200CT17	2242.00	2555.04	USD	15,652.00	
21JUL17	B	1	PD200CT17	2242.50	2555.04	USD	7,813.50	
21JUL17	B	2	PD200CT17	2244.50	2555.04	USD	15,527.00	
21JUL17	B	1	PD200CT17	2245.00	2555.04	USD	7,751.00	
21JUL17	B	1	PD200CT17	2246.50	2555.04	USD	7,713.50	
21JUL17	B	2	PD200CT17	2245.50	2555.04	USD	15,477.00	
24JUL17	B	9	PD200CT17	2259.70	2555.04	USD	66,451.50	
25JUL17	B	4	PD200CT17	2293.00	2555.04	USD	26,204.00	
	NET	0AVG BGHT 2241.17	SOLD 2229.82				-16,732.50	0.00
24JUL17	B	1	PD240CT17	2260.50	2555.61	USD	7,377.75	
24JUL17	B	1	PD240CT17	2260.00	2555.61	USD	7,390.25	
24JUL17	B	1	PD240CT17	2255.00	2555.61	USD	7,515.25	
24JUL17	B	3	PD240CT17	2258.50	2555.61	USD	22,283.25	
24JUL17	B	1	PD240CT17	2252.50	2555.61	USD	7,577.75	
24JUL17	B	2	PD240CT17	2262.00	2555.61	USD	14,680.50	
24JUL17	B	4	PD240CT17	2257.50	2555.61	USD	29,811.00	
24JUL17	B	3	PD240CT17	2259.00	2555.61	USD	22,245.75	
24JUL17	B	3	PD240CT17	2259.50	2555.61	USD	22,208.25	
24JUL17	B	3	PD240CT17	2258.00	2555.61	USD	22,320.75	
24JUL17	B	1	PD240CT17	2249.00	2555.61	USD	7,665.25	
24JUL17	B	1	PD240CT17	2252.00	2555.61	USD	7,590.25	
24JUL17	S	1	PD240CT17	2255.50	2555.61	USD	-7,502.75	
24JUL17	S	2	PD240CT17	2264.50	2555.61	USD	-14,555.50	
24JUL17	S	1	PD240CT17	2262.00	2555.61	USD	-7,340.25	
24JUL17	S	2	PD240CT17	2263.00	2555.61	USD	-14,630.50	
24JUL17	S	1	PD240CT17	2263.50	2555.61	USD	-7,302.75	

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24JUL17	S	2	PD240CT17	2259.50	2555.61	USD	-14,805.50	
24JUL17	S	1	PD240CT17	2260.50	2555.61	USD	-7,377.75	
24JUL17	S	2	PD240CT17	2256.50	2555.61	USD	-14,955.50	
24JUL17	S	3	PD240CT17	2249.50	2555.61	USD	-22,958.25	
24JUL17	S	1	PD240CT17	2250.50	2555.61	USD	-7,627.75	
24JUL17	S	1	PD240CT17	2251.00	2555.61	USD	-7,615.25	
24JUL17	S	1	PD240CT17	2252.00	2555.61	USD	-7,590.25	
24JUL17	S	3	PD240CT17	2256.00	2555.61	USD	-22,470.75	
24JUL17	S	3	PD240CT17	2255.00	2555.61	USD	-22,545.75	
24JUL17	S	2	PD240CT17	2252.50	2555.61	USD	-15,155.50	
24JUL17	B	1	PD240CT17	2247.50	2555.61	USD	7,702.75	
24JUL17	B	2	PD240CT17	2257.00	2555.61	USD	14,930.50	
25JUL17	S	4	PD240CT17	2293.00	2555.61	USD	-26,261.00	
26JUL17	B	3	PD240CT17	2328.00	2555.61	USD	17,070.75	
	NET	0AVG BGHT 2264.45	SOLD 2261.35				-2,325.00	0.00
25JUL17	S	1	PD250CT17	2274.00	2555.75	USD	-7,043.75	
25JUL17	S	2	PD250CT17	2273.00	2555.75	USD	-14,137.50	
25JUL17	S	1	PD250CT17	2272.50	2555.75	USD	-7,081.25	
25JUL17	B	1	PD250CT17	2273.00	2555.75	USD	7,068.75	
25JUL17	B	2	PD250CT17	2275.00	2555.75	USD	14,037.50	
25JUL17	S	2	PD250CT17	2280.50	2555.75	USD	-13,762.50	
25JUL17	S	2	PD250CT17	2282.50	2555.75	USD	-13,662.50	
25JUL17	S	1	PD250CT17	2281.00	2555.75	USD	-6,868.75	
25JUL17	S	1	PD250CT17	2281.50	2555.75	USD	-6,856.25	
25JUL17	S	2	PD250CT17	2284.50	2555.75	USD	-13,562.50	
25JUL17	B	1	PD250CT17	2283.00	2555.75	USD	6,818.75	
25JUL17	S	3	PD250CT17	2285.00	2555.75	USD	-20,306.25	
25JUL17	S	1	PD250CT17	2285.50	2555.75	USD	-6,756.25	
25JUL17	S	2	PD250CT17	2286.00	2555.75	USD	-13,487.50	
25JUL17	S	1	PD250CT17	2286.50	2555.75	USD	-6,731.25	
25JUL17	S	1	PD250CT17	2287.00	2555.75	USD	-6,718.75	
25JUL17	S	1	PD250CT17	2287.50	2555.75	USD	-6,706.25	
25JUL17	S	1	PD250CT17	2290.00	2555.75	USD	-6,643.75	
25JUL17	S	1	PD250CT17	2291.50	2555.75	USD	-6,606.25	
25JUL17	S	1	PD250CT17	2293.50	2555.75	USD	-6,556.25	
25JUL17	S	1	PD250CT17	2294.00	2555.75	USD	-6,543.75	
25JUL17	S	1	PD250CT17	2290.50	2555.75	USD	-6,631.25	
25JUL17	B	1	PD250CT17	2286.50	2555.75	USD	6,731.25	
25JUL17	B	1	PD250CT17	2291.00	2555.75	USD	6,618.75	
25JUL17	S	2	PD250CT17	2295.50	2555.75	USD	-13,012.50	
25JUL17	S	3	PD250CT17	2297.00	2555.75	USD	-19,406.25	
25JUL17	S	3	PD250CT17	2296.50	2555.75	USD	-19,443.75	
25JUL17	B	1	PD250CT17	2296.50	2555.75	USD	6,481.25	
25JUL17	B	1	PD250CT17	2297.00	2555.75	USD	6,468.75	
25JUL17	B	1	PD250CT17	2294.00	2555.75	USD	6,543.75	
25JUL17	B	1	PD250CT17	2295.50	2555.75	USD	6,506.25	
25JUL17	S	2	PD250CT17	2293.00	2555.75	USD	-13,137.50	
25JUL17	S	1	PD250CT17	2293.50	2555.75	USD	-6,556.25	
25JUL17	S	1	PD250CT17	2272.00	2555.75	USD	-7,093.75	
25JUL17	B	1	PD250CT17	2296.00	2555.75	USD	6,493.75	
25JUL17	S	2	PD250CT17	2297.50	2555.75	USD	-12,912.50	
25JUL17	B	3	PD250CT17	2293.50	2555.75	USD	19,668.75	
25JUL17	B	1	PD250CT17	2292.50	2555.75	USD	6,581.25	
25JUL17	B	1	PD250CT17	2293.00	2555.75	USD	6,568.75	
25JUL17	B	5	PD250CT17	2292.00	2555.75	USD	32,968.75	
25JUL17	S	1	PD250CT17	2291.00	2555.75	USD	-6,618.75	
25JUL17	B	2	PD250CT17	2298.00	2555.75	USD	12,887.50	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	2	PD250CT17	2301.00	2555.75	USD	12,737.50	
25JUL17	B	1	PD250CT17	2308.50	2555.75	USD	6,181.25	
25JUL17	B	1	PD250CT17	2307.00	2555.75	USD	6,218.75	
25JUL17	B	9	PD250CT17	2302.00	2555.75	USD	57,093.75	
25JUL17	B	1	PD250CT17	2303.50	2555.75	USD	6,306.25	
25JUL17	B	1	PD250CT17	2305.00	2555.75	USD	6,268.75	
25JUL17	B	1	PD250CT17	2296.00	2555.75	USD	6,493.75	
25JUL17	B	1	PD250CT17	2302.00	2555.75	USD	6,343.75	
25JUL17	S	1	PD250CT17	2300.00	2555.75	USD	-6,393.75	
25JUL17	S	1	PD250CT17	2311.50	2555.75	USD	-6,106.25	
25JUL17	S	1	PD250CT17	2313.00	2555.75	USD	-6,068.75	
25JUL17	S	1	PD250CT17	2316.00	2555.75	USD	-5,993.75	
25JUL17	S	3	PD250CT17	2317.50	2555.75	USD	-17,868.75	
25JUL17	B	1	PD250CT17	2311.50	2555.75	USD	6,106.25	
25JUL17	B	1	PD250CT17	2313.50	2555.75	USD	6,056.25	
25JUL17	B	1	PD250CT17	2312.50	2555.75	USD	6,081.25	
25JUL17	B	5	PD250CT17	2314.00	2555.75	USD	30,218.75	
25JUL17	B	1	PD250CT17	2313.00	2555.75	USD	6,068.75	
25JUL17	B	1	PD250CT17	2315.00	2555.75	USD	6,018.75	
25JUL17	B	1	PD250CT17	2315.50	2555.75	USD	6,006.25	
25JUL17	B	1	PD250CT17	2313.50	2555.75	USD	6,056.25	
25JUL17	B	1	PD250CT17	2322.50	2555.75	USD	5,831.25	
26JUL17	S	3	PD250CT17	2327.92	2555.75	USD	-17,087.25	
01AUG17	S	2	PD250CT17	2337.25	2555.75	USD	-10,925.00	
	NET	0 AVG BGHT 2300.25	SOLD 2295.16				-6,756.00	0.00
26JUL17	B	1	PD260CT17	2329.50	2555.89	USD	5,659.75	
26JUL17	B	1	PD260CT17	2330.00	2555.89	USD	5,647.25	
26JUL17	B	3	PD260CT17	2332.50	2555.89	USD	16,754.25	
26JUL17	B	3	PD260CT17	2333.00	2555.89	USD	16,716.75	
26JUL17	B	1	PD260CT17	2337.50	2555.89	USD	5,459.75	
26JUL17	S	2	PD260CT17	2345.00	2555.89	USD	-10,544.50	
26JUL17	B	1	PD260CT17	2340.00	2555.89	USD	5,397.25	
26JUL17	B	1	PD260CT17	2339.50	2555.89	USD	5,409.75	
26JUL17	B	2	PD260CT17	2325.00	2555.89	USD	11,544.50	
26JUL17	B	1	PD260CT17	2323.50	2555.89	USD	5,809.75	
26JUL17	S	1	PD260CT17	2327.50	2555.89	USD	-5,709.75	
26JUL17	S	1	PD260CT17	2328.00	2555.89	USD	-5,697.25	
26JUL17	S	1	PD260CT17	2329.00	2555.89	USD	-5,672.25	
26JUL17	B	1	PD260CT17	2321.50	2555.89	USD	5,859.75	
26JUL17	S	2	PD260CT17	2318.00	2555.89	USD	-11,894.50	
26JUL17	S	1	PD260CT17	2315.50	2555.89	USD	-6,009.75	
26JUL17	S	1	PD260CT17	2316.00	2555.89	USD	-5,997.25	
26JUL17	S	2	PD260CT17	2317.00	2555.89	USD	-11,944.50	
26JUL17	S	1	PD260CT17	2317.50	2555.89	USD	-5,959.75	
26JUL17	S	2	PD260CT17	2318.50	2555.89	USD	-11,869.50	
26JUL17	S	3	PD260CT17	2313.50	2555.89	USD	-18,179.25	
26JUL17	S	1	PD260CT17	2314.00	2555.89	USD	-6,047.25	
26JUL17	S	2	PD260CT17	2316.50	2555.89	USD	-11,969.50	
26JUL17	S	1	PD260CT17	2321.50	2555.89	USD	-5,859.75	
26JUL17	S	1	PD260CT17	2322.50	2555.89	USD	-5,834.75	
26JUL17	B	1	PD260CT17	2319.50	2555.89	USD	5,909.75	
26JUL17	S	1	PD260CT17	2320.00	2555.89	USD	-5,897.25	
26JUL17	B	2	PD260CT17	2314.50	2555.89	USD	12,069.50	
26JUL17	S	1	PD260CT17	2319.50	2555.89	USD	-5,909.75	
26JUL17	B	1	PD260CT17	2318.50	2555.89	USD	5,934.75	
26JUL17	S	1	PD260CT17	2312.50	2555.89	USD	-6,084.75	
26JUL17	B	1	PD260CT17	2313.00	2555.89	USD	6,072.25	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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26JUL17	B	2	PD260CT17	2312.50	2555.89	USD	12,169.50	
26JUL17	B	4	PD260CT17	2312.00	2555.89	USD	24,389.00	
26JUL17	S	1	PD260CT17	2319.00	2555.89	USD	-5,922.25	
26JUL17	B	1	PD260CT17	2311.00	2555.89	USD	6,122.25	
26JUL17	B	1	PD260CT17	2305.50	2555.89	USD	6,259.75	
26JUL17	B	2	PD260CT17	2305.00	2555.89	USD	12,544.50	
26JUL17	S	1	PD260CT17	2336.00	2555.89	USD	-5,497.25	
26JUL17	S	2	PD260CT17	2332.00	2555.89	USD	-11,194.50	
26JUL17	S	1	PD260CT17	2332.50	2555.89	USD	-5,584.75	
26JUL17	S	2	PD260CT17	2335.00	2555.89	USD	-11,044.50	
26JUL17	S	2	PD260CT17	2335.50	2555.89	USD	-11,019.50	
26JUL17	B	5	PD260CT17	2332.00	2555.89	USD	27,986.25	
26JUL17	B	1	PD260CT17	2320.00	2555.89	USD	5,897.25	
26JUL17	B	1	PD260CT17	2321.00	2555.89	USD	5,872.25	
26JUL17	B	3	PD260CT17	2315.00	2555.89	USD	18,066.75	
26JUL17	B	1	PD260CT17	2308.50	2555.89	USD	6,184.75	
26JUL17	B	1	PD260CT17	2311.00	2555.89	USD	6,122.25	
26JUL17	S	1	PD260CT17	2311.00	2555.89	USD	-6,122.25	
26JUL17	B	2	PD260CT17	2310.50	2555.89	USD	12,269.50	
26JUL17	B	1	PD260CT17	2310.00	2555.89	USD	6,147.25	
26JUL17	B	1	PD260CT17	2307.50	2555.89	USD	6,209.75	
01AUG17	S	11	PD260CT17	2337.36	2555.89	USD	-60,095.75	
	NET	0 AVG BGHT 2320.68	SOLD 2326.71				6,924.00	0.00
27JUL17	S	2	PD270CT17	2325.50	2556.04	USD	-11,527.00	
27JUL17	S	4	PD270CT17	2326.50	2556.04	USD	-22,954.00	
27JUL17	S	6	PD270CT17	2326.00	2556.04	USD	-34,506.00	
27JUL17	S	1	PD270CT17	2330.00	2556.04	USD	-5,651.00	
27JUL17	B	3	PD270CT17	2330.50	2556.04	USD	16,915.50	
27JUL17	B	1	PD270CT17	2331.50	2556.04	USD	5,613.50	
27JUL17	B	2	PD270CT17	2331.00	2556.04	USD	11,252.00	
27JUL17	S	1	PD270CT17	2332.00	2556.04	USD	-5,601.00	
27JUL17	S	1	PD270CT17	2332.50	2556.04	USD	-5,588.50	
27JUL17	S	1	PD270CT17	2331.50	2556.04	USD	-5,613.50	
27JUL17	S	1	PD270CT17	2328.50	2556.04	USD	-5,688.50	
27JUL17	S	1	PD270CT17	2333.00	2556.04	USD	-5,576.00	
27JUL17	S	2	PD270CT17	2333.50	2556.04	USD	-11,127.00	
27JUL17	B	1	PD270CT17	2325.50	2556.04	USD	5,763.50	
27JUL17	B	1	PD270CT17	2324.00	2556.04	USD	5,801.00	
27JUL17	B	1	PD270CT17	2320.00	2556.04	USD	5,901.00	
27JUL17	B	1	PD270CT17	2321.50	2556.04	USD	5,863.50	
27JUL17	S	1	PD270CT17	2318.00	2556.04	USD	-5,951.00	
27JUL17	S	1	PD270CT17	2325.00	2556.04	USD	-5,776.00	
27JUL17	S	1	PD270CT17	2321.50	2556.04	USD	-5,863.50	
27JUL17	S	1	PD270CT17	2313.50	2556.04	USD	-6,063.50	
27JUL17	B	1	PD270CT17	2299.50	2556.04	USD	6,413.50	
27JUL17	S	1	PD270CT17	2313.00	2556.04	USD	-6,076.00	
27JUL17	S	1	PD270CT17	2305.50	2556.04	USD	-6,263.50	
27JUL17	B	1	PD270CT17	2303.00	2556.04	USD	6,326.00	
27JUL17	B	1	PD270CT17	2303.50	2556.04	USD	6,313.50	
28JUL17	S	3	PD270CT17	2295.00	2556.04	USD	-19,578.00	
28JUL17	B	1	PD270CT17	2297.50	2556.04	USD	6,463.50	
28JUL17	B	3	PD270CT17	2293.50	2556.04	USD	19,690.50	
28JUL17	S	1	PD270CT17	2299.00	2556.04	USD	-6,426.00	
28JUL17	S	1	PD270CT17	2297.00	2556.04	USD	-6,476.00	
28JUL17	S	1	PD270CT17	2301.00	2556.04	USD	-6,376.00	
28JUL17	S	1	PD270CT17	2302.50	2556.04	USD	-6,338.50	
28JUL17	S	1	PD270CT17	2303.50	2556.04	USD	-6,313.50	

OPEN POSITION STATEMENT



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28JUL17	S	2	PD27OCT17	2304.50	2556.04	USD	-12,577.00	
28JUL17	B	1	PD27OCT17	2296.00	2556.04	USD	6,501.00	
28JUL17	S	1	PD27OCT17	2298.00	2556.04	USD	-6,451.00	
28JUL17	B	1	PD27OCT17	2293.00	2556.04	USD	6,576.00	
28JUL17	B	1	PD27OCT17	2292.00	2556.04	USD	6,601.00	
28JUL17	B	1	PD27OCT17	2291.00	2556.04	USD	6,626.00	
28JUL17	S	1	PD27OCT17	2302.00	2556.04	USD	-6,351.00	
28JUL17	S	1	PD27OCT17	2303.00	2556.04	USD	-6,326.00	
28JUL17	S	1	PD27OCT17	2296.00	2556.04	USD	-6,501.00	
28JUL17	B	1	PD27OCT17	2291.00	2556.04	USD	6,626.00	
28JUL17	B	1	PD27OCT17	2292.00	2556.04	USD	6,601.00	
28JUL17	S	1	PD27OCT17	2326.50	2556.04	USD	-5,738.50	
28JUL17	S	1	PD27OCT17	2327.50	2556.04	USD	-5,713.50	
28JUL17	B	1	PD27OCT17	2321.50	2556.04	USD	5,863.50	
28JUL17	S	1	PD27OCT17	2316.00	2556.04	USD	-6,001.00	
28JUL17	B	1	PD27OCT17	2317.00	2556.04	USD	5,976.00	
28JUL17	B	1	PD27OCT17	2316.00	2556.04	USD	6,001.00	
28JUL17	B	2	PD27OCT17	2315.00	2556.04	USD	12,052.00	
28JUL17	B	1	PD27OCT17	2314.00	2556.04	USD	6,051.00	
28JUL17	S	1	PD27OCT17	2322.50	2556.04	USD	-5,838.50	
28JUL17	B	2	PD27OCT17	2328.00	2556.04	USD	11,402.00	
01AUG17	B	13	PD27OCT17	2337.66	2556.04	USD	70,973.50	
	NET	0 AVG BGHT 2319.52	SOLD 2317.10				-2,664.50	0.00
31JUL17	S	1	PD31OCT17	2340.00	2556.61	USD	-5,415.25	
31JUL17	S	1	PD31OCT17	2330.50	2556.61	USD	-5,652.75	
31JUL17	B	1	PD31OCT17	2326.00	2556.61	USD	5,765.25	
31JUL17	B	1	PD31OCT17	2324.00	2556.61	USD	5,815.25	
31JUL17	S	1	PD31OCT17	2332.50	2556.61	USD	-5,602.75	
31JUL17	S	1	PD31OCT17	2326.00	2556.61	USD	-5,765.25	
31JUL17	S	1	PD31OCT17	2324.00	2556.61	USD	-5,815.25	
31JUL17	S	2	PD31OCT17	2323.50	2556.61	USD	-11,655.50	
31JUL17	S	1	PD31OCT17	2321.00	2556.61	USD	-5,890.25	
31JUL17	S	1	PD31OCT17	2321.50	2556.61	USD	-5,877.75	
31JUL17	S	1	PD31OCT17	2325.00	2556.61	USD	-5,790.25	
31JUL17	S	1	PD31OCT17	2331.50	2556.61	USD	-5,627.75	
31JUL17	S	1	PD31OCT17	2336.50	2556.61	USD	-5,502.75	
31JUL17	S	1	PD31OCT17	2343.50	2556.61	USD	-5,327.75	
31JUL17	B	1	PD31OCT17	2331.50	2556.61	USD	5,627.75	
31JUL17	B	1	PD31OCT17	2331.00	2556.61	USD	5,640.25	
31JUL17	B	3	PD31OCT17	2330.00	2556.61	USD	16,995.75	
31JUL17	S	1	PD31OCT17	2336.00	2556.61	USD	-5,515.25	
31JUL17	S	2	PD31OCT17	2329.50	2556.61	USD	-11,355.50	
31JUL17	S	2	PD31OCT17	2330.00	2556.61	USD	-11,330.50	
31JUL17	S	4	PD31OCT17	2329.00	2556.61	USD	-22,761.00	
03AUG17	B	15	PD31OCT17	2361.00	2556.61	USD	73,353.75	
	NET	0 AVG BGHT 2350.80	SOLD 2329.55				-11,687.50	0.00
01AUG17	B	1	PD01NOV17	2314.00	2556.75	USD	6,068.75	
01AUG17	B	5	PD01NOV17	2314.50	2556.75	USD	30,281.25	
01AUG17	B	2	PD01NOV17	2313.00	2556.75	USD	12,187.50	
01AUG17	B	1	PD01NOV17	2312.50	2556.75	USD	6,106.25	
01AUG17	B	1	PD01NOV17	2309.50	2556.75	USD	6,181.25	
01AUG17	B	2	PD01NOV17	2305.00	2556.75	USD	12,587.50	
01AUG17	B	2	PD01NOV17	2304.00	2556.75	USD	12,637.50	
01AUG17	B	1	PD01NOV17	2303.50	2556.75	USD	6,331.25	
01AUG17	S	1	PD01NOV17	2311.00	2556.75	USD	-6,143.75	
01AUG17	S	1	PD01NOV17	2312.50	2556.75	USD	-6,106.25	
01AUG17	S	1	PD01NOV17	2317.50	2556.75	USD	-5,981.25	

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01AUG17	S	1	PD01NOV17	2318.50	2556.75	USD	-5,956.25	
01AUG17	S	1	PD01NOV17	2320.00	2556.75	USD	-5,918.75	
01AUG17	S	1	PD01NOV17	2321.50	2556.75	USD	-5,881.25	
01AUG17	S	1	PD01NOV17	2322.50	2556.75	USD	-5,856.25	
01AUG17	S	2	PD01NOV17	2310.00	2556.75	USD	-12,337.50	
01AUG17	B	1	PD01NOV17	2315.00	2556.75	USD	6,043.75	
01AUG17	S	2	PD01NOV17	2320.50	2556.75	USD	-11,812.50	
01AUG17	S	1	PD01NOV17	2321.00	2556.75	USD	-5,893.75	
01AUG17	S	1	PD01NOV17	2323.00	2556.75	USD	-5,843.75	
01AUG17	B	1	PD01NOV17	2322.50	2556.75	USD	5,856.25	
01AUG17	B	1	PD01NOV17	2327.00	2556.75	USD	5,743.75	
01AUG17	S	1	PD01NOV17	2331.00	2556.75	USD	-5,643.75	
01AUG17	S	1	PD01NOV17	2332.00	2556.75	USD	-5,618.75	
01AUG17	S	1	PD01NOV17	2334.50	2556.75	USD	-5,556.25	
01AUG17	S	2	PD01NOV17	2337.50	2556.75	USD	-10,962.50	
01AUG17	B	3	PD01NOV17	2338.00	2556.75	USD	16,406.25	
01AUG17	B	1	PD01NOV17	2338.50	2556.75	USD	5,456.25	
01AUG17	B	2	PD01NOV17	2334.00	2556.75	USD	11,137.50	
01AUG17	B	4	PD01NOV17	2335.00	2556.75	USD	22,175.00	
01AUG17	B	2	PD01NOV17	2334.50	2556.75	USD	11,112.50	
01AUG17	B	3	PD01NOV17	2336.00	2556.75	USD	16,556.25	
03AUG17	S	15	PD01NOV17	2360.63	2556.75	USD	-73,545.00	
	NET	0 AVG BGHT 2322.97	SOLD 2339.71				13,811.25	0.00
02AUG17	S	1	PD02NOV17	2331.50	2556.89	USD	-5,634.75	
02AUG17	S	3	PD02NOV17	2338.50	2556.89	USD	-16,379.25	
02AUG17	B	1	PD02NOV17	2339.50	2556.89	USD	5,434.75	
02AUG17	B	2	PD02NOV17	2339.00	2556.89	USD	10,894.50	
02AUG17	B	1	PD02NOV17	2338.00	2556.89	USD	5,472.25	
02AUG17	S	2	PD02NOV17	2334.50	2556.89	USD	-11,119.50	
02AUG17	S	2	PD02NOV17	2341.50	2556.89	USD	-10,769.50	
02AUG17	B	1	PD02NOV17	2341.50	2556.89	USD	5,384.75	
02AUG17	B	2	PD02NOV17	2349.50	2556.89	USD	10,369.50	
02AUG17	S	1	PD02NOV17	2348.50	2556.89	USD	-5,209.75	
02AUG17	S	1	PD02NOV17	2349.50	2556.89	USD	-5,184.75	
02AUG17	S	2	PD02NOV17	2350.50	2556.89	USD	-10,319.50	
02AUG17	S	2	PD02NOV17	2355.00	2556.89	USD	-10,094.50	
02AUG17	S	2	PD02NOV17	2360.50	2556.89	USD	-9,819.50	
02AUG17	S	1	PD02NOV17	2361.00	2556.89	USD	-4,897.25	
02AUG17	S	1	PD02NOV17	2362.50	2556.89	USD	-4,859.75	
02AUG17	B	1	PD02NOV17	2346.00	2556.89	USD	5,272.25	
02AUG17	B	1	PD02NOV17	2345.50	2556.89	USD	5,284.75	
02AUG17	B	1	PD02NOV17	2348.50	2556.89	USD	5,209.75	
02AUG17	B	1	PD02NOV17	2348.00	2556.89	USD	5,222.25	
02AUG17	S	1	PD02NOV17	2363.50	2556.89	USD	-4,834.75	
02AUG17	S	2	PD02NOV17	2350.00	2556.89	USD	-10,344.50	
02AUG17	B	2	PD02NOV17	2352.50	2556.89	USD	10,219.50	
02AUG17	B	1	PD02NOV17	2354.50	2556.89	USD	5,059.75	
02AUG17	B	1	PD02NOV17	2354.00	2556.89	USD	5,072.25	
02AUG17	S	1	PD02NOV17	2362.00	2556.89	USD	-4,872.25	
02AUG17	B	2	PD02NOV17	2356.00	2556.89	USD	10,044.50	
02AUG17	B	2	PD02NOV17	2353.50	2556.89	USD	10,169.50	
02AUG17	B	1	PD02NOV17	2360.50	2556.89	USD	4,909.75	
07AUG17	B	1	PD02NOV17	2360.13	2556.89	USD	4,919.00	
10AUG17	B	1	PD02NOV17	2364.26	2556.89	USD	4,815.75	
	NET	0 AVG BGHT 2350.06	SOLD 2349.00				-584.75	0.00
03AUG17	S	1	PD03NOV17	2376.00	2557.04	USD	-4,526.00	
03AUG17	B	4	PD03NOV17	2373.50	2557.04	USD	18,354.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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03AUG17	B	1	PD03NOV17	2372.50	2557.04	USD	4,613.50	
03AUG17	B	1	PD03NOV17	2371.50	2557.04	USD	4,638.50	
03AUG17	B	1	PD03NOV17	2370.50	2557.04	USD	4,663.50	
03AUG17	B	1	PD03NOV17	2366.00	2557.04	USD	4,776.00	
03AUG17	B	1	PD03NOV17	2365.50	2557.04	USD	4,788.50	
03AUG17	S	3	PD03NOV17	2369.00	2557.04	USD	-14,103.00	
03AUG17	S	1	PD03NOV17	2369.50	2557.04	USD	-4,688.50	
03AUG17	B	1	PD03NOV17	2361.50	2557.04	USD	4,888.50	
03AUG17	S	1	PD03NOV17	2363.00	2557.04	USD	-4,851.00	
03AUG17	B	1	PD03NOV17	2358.00	2557.04	USD	4,976.00	
03AUG17	B	2	PD03NOV17	2356.50	2557.04	USD	10,027.00	
03AUG17	S	1	PD03NOV17	2362.00	2557.04	USD	-4,876.00	
03AUG17	S	2	PD03NOV17	2364.00	2557.04	USD	-9,652.00	
03AUG17	B	1	PD03NOV17	2365.00	2557.04	USD	4,801.00	
03AUG17	S	7	PD03NOV17	2368.50	2557.04	USD	-32,994.50	
03AUG17	B	1	PD03NOV17	2367.00	2557.04	USD	4,751.00	
03AUG17	B	1	PD03NOV17	2364.50	2557.04	USD	4,813.50	
03AUG17	B	1	PD03NOV17	2364.00	2557.04	USD	4,826.00	
03AUG17	B	1	PD03NOV17	2361.00	2557.04	USD	4,901.00	
03AUG17	B	3	PD03NOV17	2364.00	2557.04	USD	14,478.00	
03AUG17	B	1	PD03NOV17	2363.50	2557.04	USD	4,838.50	
03AUG17	B	4	PD03NOV17	2360.50	2557.04	USD	19,654.00	
03AUG17	S	1	PD03NOV17	2361.00	2557.04	USD	-4,901.00	
03AUG17	B	1	PD03NOV17	2349.00	2557.04	USD	5,201.00	
03AUG17	B	1	PD03NOV17	2347.00	2557.04	USD	5,251.00	
03AUG17	S	1	PD03NOV17	2353.50	2557.04	USD	-5,088.50	
03AUG17	S	2	PD03NOV17	2356.00	2557.04	USD	-10,052.00	
03AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
03AUG17	S	4	PD03NOV17	2365.50	2557.04	USD	-19,154.00	
03AUG17	S	4	PD03NOV17	2364.50	2557.04	USD	-19,254.00	
03AUG17	S	4	PD03NOV17	2365.00	2557.04	USD	-19,204.00	
03AUG17	B	1	PD03NOV17	2363.00	2557.04	USD	4,851.00	
03AUG17	B	1	PD03NOV17	2363.50	2557.04	USD	4,838.50	
04AUG17	B	2	PD03NOV17	2369.50	2557.04	USD	9,377.00	
04AUG17	B	1	PD03NOV17	2368.00	2557.04	USD	4,726.00	
04AUG17	B	1	PD03NOV17	2366.00	2557.04	USD	4,776.00	
04AUG17	S	2	PD03NOV17	2374.00	2557.04	USD	-9,152.00	
04AUG17	S	2	PD03NOV17	2375.00	2557.04	USD	-9,102.00	
04AUG17	S	1	PD03NOV17	2373.50	2557.04	USD	-4,588.50	
04AUG17	S	1	PD03NOV17	2374.50	2557.04	USD	-4,563.50	
04AUG17	S	2	PD03NOV17	2371.50	2557.04	USD	-9,277.00	
04AUG17	S	2	PD03NOV17	2369.50	2557.04	USD	-9,377.00	
04AUG17	S	2	PD03NOV17	2372.50	2557.04	USD	-9,227.00	
04AUG17	S	1	PD03NOV17	2373.00	2557.04	USD	-4,601.00	
04AUG17	S	4	PD03NOV17	2372.00	2557.04	USD	-18,504.00	
04AUG17	B	1	PD03NOV17	2365.50	2557.04	USD	4,788.50	
04AUG17	B	1	PD03NOV17	2367.50	2557.04	USD	4,738.50	
04AUG17	S	1	PD03NOV17	2367.50	2557.04	USD	-4,738.50	
04AUG17	B	5	PD03NOV17	2366.50	2557.04	USD	23,817.50	
04AUG17	B	1	PD03NOV17	2363.00	2557.04	USD	4,851.00	
04AUG17	S	1	PD03NOV17	2369.00	2557.04	USD	-4,701.00	
04AUG17	S	4	PD03NOV17	2371.00	2557.04	USD	-18,604.00	
04AUG17	B	1	PD03NOV17	2357.00	2557.04	USD	5,001.00	
04AUG17	B	2	PD03NOV17	2356.50	2557.04	USD	10,027.00	
04AUG17	B	1	PD03NOV17	2356.00	2557.04	USD	5,026.00	
04AUG17	B	2	PD03NOV17	2355.50	2557.04	USD	10,077.00	
04AUG17	B	3	PD03NOV17	2355.00	2557.04	USD	15,153.00	

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04AUG17	B	3	PD03NOV17	2351.00	2557.04	USD	15,453.00	
04AUG17	B	1	PD03NOV17	2350.00	2557.04	USD	5,176.00	
04AUG17	B	2	PD03NOV17	2352.50	2557.04	USD	10,227.00	
04AUG17	S	1	PD03NOV17	2360.00	2557.04	USD	-4,926.00	
04AUG17	S	1	PD03NOV17	2361.50	2557.04	USD	-4,888.50	
04AUG17	S	1	PD03NOV17	2358.50	2557.04	USD	-4,963.50	
04AUG17	S	3	PD03NOV17	2361.00	2557.04	USD	-14,703.00	
04AUG17	S	2	PD03NOV17	2362.50	2557.04	USD	-9,727.00	
04AUG17	S	1	PD03NOV17	2363.50	2557.04	USD	-4,838.50	
04AUG17	S	1	PD03NOV17	2367.00	2557.04	USD	-4,751.00	
04AUG17	S	1	PD03NOV17	2368.00	2557.04	USD	-4,726.00	
04AUG17	B	1	PD03NOV17	2360.50	2557.04	USD	4,913.50	
04AUG17	B	2	PD03NOV17	2359.50	2557.04	USD	9,877.00	
04AUG17	B	2	PD03NOV17	2358.50	2557.04	USD	9,927.00	
04AUG17	B	1	PD03NOV17	2357.50	2557.04	USD	4,988.50	
04AUG17	B	3	PD03NOV17	2353.50	2557.04	USD	15,265.50	
04AUG17	B	1	PD03NOV17	2354.00	2557.04	USD	5,076.00	
04AUG17	S	1	PD03NOV17	2359.00	2557.04	USD	-4,951.00	
07AUG17	S	1	PD03NOV17	2360.11	2557.04	USD	-4,923.25	
	NET	0 AVG	BGHT 2361.03	SOLD 2366.94			10,040.25	0.00
07AUG17	S	1	PD07NOV17	2358.00	2557.61	USD	-4,990.25	
07AUG17	S	1	PD07NOV17	2358.50	2557.61	USD	-4,977.75	
07AUG17	S	1	PD07NOV17	2355.00	2557.61	USD	-5,065.25	
07AUG17	S	1	PD07NOV17	2355.50	2557.61	USD	-5,052.75	
07AUG17	S	2	PD07NOV17	2354.50	2557.61	USD	-10,155.50	
07AUG17	S	1	PD07NOV17	2360.00	2557.61	USD	-4,940.25	
07AUG17	S	1	PD07NOV17	2360.50	2557.61	USD	-4,927.75	
07AUG17	S	1	PD07NOV17	2359.50	2557.61	USD	-4,952.75	
07AUG17	S	1	PD07NOV17	2357.50	2557.61	USD	-5,002.75	
07AUG17	S	1	PD07NOV17	2361.50	2557.61	USD	-4,902.75	
07AUG17	S	1	PD07NOV17	2366.50	2557.61	USD	-4,777.75	
07AUG17	S	1	PD07NOV17	2369.00	2557.61	USD	-4,715.25	
07AUG17	S	3	PD07NOV17	2369.50	2557.61	USD	-14,108.25	
07AUG17	S	6	PD07NOV17	2370.00	2557.61	USD	-28,141.50	
07AUG17	S	1	PD07NOV17	2371.50	2557.61	USD	-4,652.75	
07AUG17	S	2	PD07NOV17	2372.50	2557.61	USD	-9,255.50	
07AUG17	S	1	PD07NOV17	2377.00	2557.61	USD	-4,515.25	
07AUG17	B	1	PD07NOV17	2372.50	2557.61	USD	4,627.75	
07AUG17	S	2	PD07NOV17	2377.50	2557.61	USD	-9,005.50	
07AUG17	B	2	PD07NOV17	2377.50	2557.61	USD	9,005.50	
07AUG17	B	1	PD07NOV17	2388.50	2557.61	USD	4,227.75	
07AUG17	B	1	PD07NOV17	2383.50	2557.61	USD	4,352.75	
07AUG17	B	2	PD07NOV17	2389.00	2557.61	USD	8,430.50	
07AUG17	B	2	PD07NOV17	2388.00	2557.61	USD	8,480.50	
07AUG17	B	2	PD07NOV17	2384.00	2557.61	USD	8,680.50	
07AUG17	B	1	PD07NOV17	2385.50	2557.61	USD	4,302.75	
07AUG17	B	1	PD07NOV17	2386.00	2557.61	USD	4,290.25	
07AUG17	B	6	PD07NOV17	2387.50	2557.61	USD	25,516.50	
07AUG17	B	4	PD07NOV17	2387.00	2557.61	USD	17,061.00	
07AUG17	B	1	PD07NOV17	2386.50	2557.61	USD	4,277.75	
07AUG17	B	2	PD07NOV17	2385.00	2557.61	USD	8,630.50	
07AUG17	B	1	PD07NOV17	2380.50	2557.61	USD	4,427.75	
07AUG17	B	1	PD07NOV17	2378.00	2557.61	USD	4,490.25	
07AUG17	B	1	PD07NOV17	2377.00	2557.61	USD	4,515.25	
07AUG17	S	1	PD07NOV17	2378.00	2557.61	USD	-4,490.25	
07AUG17	B	1	PD07NOV17	2381.50	2557.61	USD	4,402.75	
07AUG17	B	1	PD07NOV17	2382.50	2557.61	USD	4,377.75	

OPEN POSITION STATEMENT



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07AUG17	B	2	PD07NOV17	2378.50	2557.61	USD	8,955.50	
07AUG17	S	1	PD07NOV17	2386.50	2557.61	USD	-4,277.75	
07AUG17	B	2	PD07NOV17	2376.00	2557.61	USD	9,080.50	
07AUG17	B	1	PD07NOV17	2374.00	2557.61	USD	4,590.25	
07AUG17	S	2	PD07NOV17	2385.50	2557.61	USD	-8,605.50	
07AUG17	S	1	PD07NOV17	2384.50	2557.61	USD	-4,327.75	
07AUG17	S	2	PD07NOV17	2388.00	2557.61	USD	-8,480.50	
07AUG17	B	1	PD07NOV17	2367.00	2557.61	USD	4,765.25	
07AUG17	B	1	PD07NOV17	2364.00	2557.61	USD	4,840.25	
07AUG17	B	3	PD07NOV17	2362.50	2557.61	USD	14,633.25	
07AUG17	B	2	PD07NOV17	2362.00	2557.61	USD	9,780.50	
07AUG17	B	1	PD07NOV17	2361.50	2557.61	USD	4,902.75	
07AUG17	S	1	PD07NOV17	2363.00	2557.61	USD	-4,865.25	
07AUG17	S	2	PD07NOV17	2364.50	2557.61	USD	-9,655.50	
07AUG17	S	2	PD07NOV17	2365.00	2557.61	USD	-9,630.50	
07AUG17	S	2	PD07NOV17	2368.00	2557.61	USD	-9,480.50	
07AUG17	S	1	PD07NOV17	2368.50	2557.61	USD	-4,727.75	
07AUG17	S	1	PD07NOV17	2371.00	2557.61	USD	-4,665.25	
07AUG17	B	1	PD07NOV17	2368.50	2557.61	USD	4,727.75	
10AUG17	S	1	PD07NOV17	2365.16	2557.61	USD	-4,811.25	
	NET	0AVG	BGHT 2379.50	SOLD 2369.03			-11,783.50	0.00
08AUG17	S	1	PD08NOV17	2366.50	2557.75	USD	-4,781.25	
08AUG17	S	1	PD08NOV17	2365.50	2557.75	USD	-4,806.25	
08AUG17	S	3	PD08NOV17	2366.00	2557.75	USD	-14,381.25	
08AUG17	B	1	PD08NOV17	2373.00	2557.75	USD	4,618.75	
08AUG17	B	1	PD08NOV17	2375.00	2557.75	USD	4,568.75	
08AUG17	B	1	PD08NOV17	2363.00	2557.75	USD	4,868.75	
08AUG17	S	1	PD08NOV17	2374.00	2557.75	USD	-4,593.75	
08AUG17	S	2	PD08NOV17	2370.50	2557.75	USD	-9,362.50	
08AUG17	S	1	PD08NOV17	2368.00	2557.75	USD	-4,743.75	
08AUG17	B	1	PD08NOV17	2368.50	2557.75	USD	4,731.25	
08AUG17	B	2	PD08NOV17	2368.00	2557.75	USD	9,487.50	
08AUG17	B	2	PD08NOV17	2367.50	2557.75	USD	9,512.50	
08AUG17	B	1	PD08NOV17	2365.50	2557.75	USD	4,806.25	
08AUG17	B	4	PD08NOV17	2369.00	2557.75	USD	18,875.00	
08AUG17	B	1	PD08NOV17	2369.50	2557.75	USD	4,706.25	
08AUG17	S	1	PD08NOV17	2366.50	2557.75	USD	-4,781.25	
08AUG17	B	1	PD08NOV17	2357.50	2557.75	USD	5,006.25	
08AUG17	B	1	PD08NOV17	2355.50	2557.75	USD	5,056.25	
08AUG17	B	1	PD08NOV17	2356.00	2557.75	USD	5,043.75	
08AUG17	B	2	PD08NOV17	2354.50	2557.75	USD	10,162.50	
08AUG17	B	1	PD08NOV17	2353.00	2557.75	USD	5,118.75	
08AUG17	S	2	PD08NOV17	2348.00	2557.75	USD	-10,487.50	
08AUG17	B	2	PD08NOV17	2349.00	2557.75	USD	10,437.50	
08AUG17	B	2	PD08NOV17	2350.50	2557.75	USD	10,362.50	
08AUG17	S	2	PD08NOV17	2347.00	2557.75	USD	-10,537.50	
08AUG17	S	1	PD08NOV17	2346.50	2557.75	USD	-5,281.25	
08AUG17	S	1	PD08NOV17	2349.50	2557.75	USD	-5,206.25	
08AUG17	S	1	PD08NOV17	2350.50	2557.75	USD	-5,181.25	
08AUG17	B	1	PD08NOV17	2353.50	2557.75	USD	5,106.25	
08AUG17	S	1	PD08NOV17	2357.50	2557.75	USD	-5,006.25	
08AUG17	S	1	PD08NOV17	2362.50	2557.75	USD	-4,881.25	
08AUG17	S	1	PD08NOV17	2364.50	2557.75	USD	-4,831.25	
08AUG17	S	2	PD08NOV17	2377.00	2557.75	USD	-9,037.50	
08AUG17	B	1	PD08NOV17	2378.00	2557.75	USD	4,493.75	
08AUG17	S	1	PD08NOV17	2372.50	2557.75	USD	-4,631.25	
08AUG17	S	1	PD08NOV17	2373.00	2557.75	USD	-4,618.75	

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08AUG17	S	1	PD08NOV17		2376.50	2557.75	USD	-4,531.25	
08AUG17	B	1	PD08NOV17		2379.00	2557.75	USD	4,468.75	
08AUG17	S	1	PD08NOV17		2384.00	2557.75	USD	-4,343.75	
08AUG17	S	1	PD08NOV17		2388.00	2557.75	USD	-4,243.75	
08AUG17	S	1	PD08NOV17		2389.50	2557.75	USD	-4,206.25	
08AUG17	S	1	PD08NOV17		2386.50	2557.75	USD	-4,281.25	
08AUG17	S	1	PD08NOV17		2389.00	2557.75	USD	-4,218.75	
08AUG17	S	1	PD08NOV17		2390.00	2557.75	USD	-4,193.75	
08AUG17	S	2	PD08NOV17		2388.50	2557.75	USD	-8,462.50	
08AUG17	S	1	PD08NOV17		2387.50	2557.75	USD	-4,256.25	
08AUG17	B	1	PD08NOV17		2379.50	2557.75	USD	4,456.25	
08AUG17	S	2	PD08NOV17		2385.00	2557.75	USD	-8,637.50	
08AUG17	B	2	PD08NOV17		2380.00	2557.75	USD	8,887.50	
08AUG17	B	1	PD08NOV17		2387.50	2557.75	USD	4,256.25	
08AUG17	B	2	PD08NOV17		2383.50	2557.75	USD	8,712.50	
08AUG17	S	1	PD08NOV17		2391.00	2557.75	USD	-4,168.75	
08AUG17	S	1	PD08NOV17		2391.50	2557.75	USD	-4,156.25	
08AUG17	B	1	PD08NOV17		2390.50	2557.75	USD	4,181.25	
08AUG17	B	1	PD08NOV17		2391.50	2557.75	USD	4,156.25	
10AUG17	B	3	PD08NOV17		2365.58	2557.75	USD	14,412.75	
	NET		0AVG BGHT 2367.76	SOLD 2371.59				3,644.00	0.00
09AUG17	S	2	PD09NOV17		2398.50	2557.89	USD	-7,969.50	
09AUG17	S	1	PD09NOV17		2397.50	2557.89	USD	-4,009.75	
09AUG17	S	1	PD09NOV17		2398.00	2557.89	USD	-3,997.25	
09AUG17	S	4	PD09NOV17		2399.00	2557.89	USD	-15,889.00	
09AUG17	B	1	PD09NOV17		2398.50	2557.89	USD	3,984.75	
09AUG17	S	2	PD09NOV17		2404.00	2557.89	USD	-7,694.50	
09AUG17	B	2	PD09NOV17		2405.00	2557.89	USD	7,644.50	
09AUG17	S	2	PD09NOV17		2414.50	2557.89	USD	-7,169.50	
09AUG17	S	1	PD09NOV17		2415.00	2557.89	USD	-3,572.25	
09AUG17	S	2	PD09NOV17		2418.00	2557.89	USD	-6,994.50	
09AUG17	S	1	PD09NOV17		2419.50	2557.89	USD	-3,459.75	
09AUG17	S	1	PD09NOV17		2421.50	2557.89	USD	-3,409.75	
09AUG17	S	1	PD09NOV17		2420.00	2557.89	USD	-3,447.25	
09AUG17	S	2	PD09NOV17		2415.50	2557.89	USD	-7,119.50	
09AUG17	S	2	PD09NOV17		2410.50	2557.89	USD	-7,369.50	
09AUG17	S	1	PD09NOV17		2407.00	2557.89	USD	-3,772.25	
09AUG17	B	2	PD09NOV17		2402.50	2557.89	USD	7,769.50	
09AUG17	B	3	PD09NOV17		2400.00	2557.89	USD	11,841.75	
09AUG17	B	1	PD09NOV17		2399.00	2557.89	USD	3,972.25	
09AUG17	B	1	PD09NOV17		2398.00	2557.89	USD	3,997.25	
09AUG17	B	1	PD09NOV17		2396.50	2557.89	USD	4,034.75	
09AUG17	B	1	PD09NOV17		2394.00	2557.89	USD	4,097.25	
09AUG17	B	1	PD09NOV17		2391.00	2557.89	USD	4,172.25	
09AUG17	B	2	PD09NOV17		2389.50	2557.89	USD	8,419.50	
09AUG17	B	1	PD09NOV17		2389.00	2557.89	USD	4,222.25	
09AUG17	B	1	PD09NOV17		2388.00	2557.89	USD	4,247.25	
09AUG17	B	1	PD09NOV17		2386.50	2557.89	USD	4,284.75	
09AUG17	B	1	PD09NOV17		2385.00	2557.89	USD	4,322.25	
09AUG17	B	1	PD09NOV17		2384.50	2557.89	USD	4,334.75	
09AUG17	B	5	PD09NOV17		2392.00	2557.89	USD	20,736.25	
09AUG17	S	1	PD09NOV17		2391.00	2557.89	USD	-4,172.25	
09AUG17	B	2	PD09NOV17		2391.50	2557.89	USD	8,319.50	
09AUG17	B	3	PD09NOV17		2390.50	2557.89	USD	12,554.25	
09AUG17	B	1	PD09NOV17		2399.50	2557.89	USD	3,959.75	
09AUG17	B	4	PD09NOV17		2386.00	2557.89	USD	17,189.00	
09AUG17	S	1	PD09NOV17		2388.50	2557.89	USD	-4,234.75	

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SAP0112D

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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09AUG17	S	1	PD09NOV17	2396.00	2557.89	USD	-4,047.25	
09AUG17	S	1	PD09NOV17	2386.50	2557.89	USD	-4,284.75	
09AUG17	S	3	PD09NOV17	2389.50	2557.89	USD	-12,629.25	
09AUG17	S	1	PD09NOV17	2400.00	2557.89	USD	-3,947.25	
09AUG17	S	3	PD09NOV17	2404.50	2557.89	USD	-11,504.25	
09AUG17	S	3	PD09NOV17	2405.00	2557.89	USD	-11,466.75	
09AUG17	S	1	PD09NOV17	2409.50	2557.89	USD	-3,709.75	
09AUG17	B	1	PD09NOV17	2412.00	2557.89	USD	3,647.25	
09AUG17	S	2	PD09NOV17	2413.00	2557.89	USD	-7,244.50	
09AUG17	B	1	PD09NOV17	2390.00	2557.89	USD	4,197.25	
09AUG17	B	1	PD09NOV17	2376.00	2557.89	USD	4,547.25	
09AUG17	S	1	PD09NOV17	2369.50	2557.89	USD	-4,709.75	
09AUG17	B	1	PD09NOV17	2370.50	2557.89	USD	4,684.75	
09AUG17	B	1	PD09NOV17	2370.00	2557.89	USD	4,697.25	
09AUG17	B	1	PD09NOV17	2367.50	2557.89	USD	4,759.75	
09AUG17	B	1	PD09NOV17	2368.50	2557.89	USD	4,734.75	
09AUG17	B	1	PD09NOV17	2369.00	2557.89	USD	4,722.25	
09AUG17	B	2	PD09NOV17	2368.00	2557.89	USD	9,494.50	
09AUG17	B	1	PD09NOV17	2365.00	2557.89	USD	4,822.25	
09AUG17	B	1	PD09NOV17	2362.50	2557.89	USD	4,884.75	
09AUG17	B	1	PD09NOV17	2352.00	2557.89	USD	5,147.25	
09AUG17	S	1	PD09NOV17	2353.00	2557.89	USD	-5,122.25	
09AUG17	B	2	PD09NOV17	2352.50	2557.89	USD	10,269.50	
09AUG17	B	1	PD09NOV17	2353.00	2557.89	USD	5,122.25	
09AUG17	B	1	PD09NOV17	2353.50	2557.89	USD	5,109.75	
09AUG17	S	2	PD09NOV17	2362.00	2557.89	USD	-9,794.50	
09AUG17	S	2	PD09NOV17	2362.50	2557.89	USD	-9,769.50	
09AUG17	S	1	PD09NOV17	2363.00	2557.89	USD	-4,872.25	
09AUG17	S	1	PD09NOV17	2359.00	2557.89	USD	-4,972.25	
09AUG17	S	1	PD09NOV17	2358.50	2557.89	USD	-4,984.75	
09AUG17	B	1	PD09NOV17	2356.00	2557.89	USD	5,047.25	
09AUG17	B	1	PD09NOV17	2352.00	2557.89	USD	5,147.25	
10AUG17	S	3	PD09NOV17	2365.60	2557.89	USD	-14,421.75	
14AUG17	B	1	PD09NOV17	2334.00	2557.89	USD	5,597.25	
22AUG17	S	3	PD09NOV17	2413.25	2557.89	USD	-10,848.00	
	NET	0AVG BGHT 2382.81	SOLD 2395.99				18,126.25	0.00
10AUG17	S	2	PD10NOV17	2379.50	2558.04	USD	-8,927.00	
10AUG17	S	2	PD10NOV17	2373.50	2558.04	USD	-9,227.00	
10AUG17	S	1	PD10NOV17	2371.00	2558.04	USD	-4,676.00	
10AUG17	S	1	PD10NOV17	2378.00	2558.04	USD	-4,501.00	
10AUG17	S	3	PD10NOV17	2378.50	2558.04	USD	-13,465.50	
10AUG17	S	1	PD10NOV17	2375.00	2558.04	USD	-4,576.00	
10AUG17	S	1	PD10NOV17	2380.50	2558.04	USD	-4,438.50	
10AUG17	B	2	PD10NOV17	2378.00	2558.04	USD	9,002.00	
10AUG17	B	3	PD10NOV17	2380.00	2558.04	USD	13,353.00	
10AUG17	B	1	PD10NOV17	2379.00	2558.04	USD	4,476.00	
10AUG17	B	1	PD10NOV17	2378.50	2558.04	USD	4,488.50	
10AUG17	S	1	PD10NOV17	2376.50	2558.04	USD	-4,538.50	
10AUG17	S	1	PD10NOV17	2376.00	2558.04	USD	-4,551.00	
10AUG17	S	2	PD10NOV17	2377.50	2558.04	USD	-9,027.00	
10AUG17	S	1	PD10NOV17	2374.50	2558.04	USD	-4,588.50	
10AUG17	S	1	PD10NOV17	2374.00	2558.04	USD	-4,601.00	
10AUG17	S	1	PD10NOV17	2369.50	2558.04	USD	-4,713.50	
10AUG17	S	2	PD10NOV17	2366.00	2558.04	USD	-9,602.00	
10AUG17	B	1	PD10NOV17	2359.00	2558.04	USD	4,976.00	
10AUG17	S	1	PD10NOV17	2358.50	2558.04	USD	-4,988.50	
10AUG17	B	1	PD10NOV17	2357.00	2558.04	USD	5,026.00	

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10AUG17	S	1	PD10NOV17	2357.00	2558.04	USD	-5,026.00	
10AUG17	S	1	PD10NOV17	2364.50	2558.04	USD	-4,838.50	
10AUG17	S	1	PD10NOV17	2372.50	2558.04	USD	-4,638.50	
10AUG17	S	4	PD10NOV17	2376.00	2558.04	USD	-18,204.00	
10AUG17	S	2	PD10NOV17	2375.50	2558.04	USD	-9,127.00	
10AUG17	S	3	PD10NOV17	2377.00	2558.04	USD	-13,578.00	
10AUG17	S	2	PD10NOV17	2376.50	2558.04	USD	-9,077.00	
10AUG17	S	2	PD10NOV17	2382.50	2558.04	USD	-8,777.00	
10AUG17	S	2	PD10NOV17	2391.00	2558.04	USD	-8,352.00	
10AUG17	B	1	PD10NOV17	2379.50	2558.04	USD	4,463.50	
10AUG17	B	1	PD10NOV17	2377.00	2558.04	USD	4,526.00	
10AUG17	B	1	PD10NOV17	2372.50	2558.04	USD	4,638.50	
10AUG17	B	2	PD10NOV17	2369.50	2558.04	USD	9,427.00	
10AUG17	B	1	PD10NOV17	2368.50	2558.04	USD	4,738.50	
10AUG17	B	1	PD10NOV17	2367.50	2558.04	USD	4,763.50	
10AUG17	B	1	PD10NOV17	2365.00	2558.04	USD	4,826.00	
10AUG17	B	1	PD10NOV17	2370.50	2558.04	USD	4,688.50	
10AUG17	B	1	PD10NOV17	2371.00	2558.04	USD	4,676.00	
10AUG17	B	1	PD10NOV17	2370.00	2558.04	USD	4,701.00	
10AUG17	B	1	PD10NOV17	2367.00	2558.04	USD	4,776.00	
11AUG17	S	2	PD10NOV17	2342.00	2558.04	USD	-10,802.00	
11AUG17	S	1	PD10NOV17	2350.00	2558.04	USD	-5,201.00	
11AUG17	B	1	PD10NOV17	2347.50	2558.04	USD	5,263.50	
11AUG17	B	2	PD10NOV17	2345.50	2558.04	USD	10,627.00	
11AUG17	S	1	PD10NOV17	2345.00	2558.04	USD	-5,326.00	
11AUG17	B	1	PD10NOV17	2342.50	2558.04	USD	5,388.50	
11AUG17	B	1	PD10NOV17	2340.50	2558.04	USD	5,438.50	
11AUG17	B	1	PD10NOV17	2340.00	2558.04	USD	5,451.00	
11AUG17	S	6	PD10NOV17	2351.00	2558.04	USD	-31,056.00	
11AUG17	S	1	PD10NOV17	2351.50	2558.04	USD	-5,163.50	
11AUG17	S	1	PD10NOV17	2356.00	2558.04	USD	-5,051.00	
11AUG17	S	1	PD10NOV17	2352.50	2558.04	USD	-5,138.50	
11AUG17	S	1	PD10NOV17	2354.50	2558.04	USD	-5,088.50	
11AUG17	S	1	PD10NOV17	2353.50	2558.04	USD	-5,113.50	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	S	1	PD10NOV17	2348.50	2558.04	USD	-5,238.50	
11AUG17	B	3	PD10NOV17	2344.50	2558.04	USD	16,015.50	
11AUG17	B	4	PD10NOV17	2342.00	2558.04	USD	21,604.00	
11AUG17	B	1	PD10NOV17	2341.50	2558.04	USD	5,413.50	
11AUG17	B	5	PD10NOV17	2341.00	2558.04	USD	27,130.00	
11AUG17	B	1	PD10NOV17	2338.00	2558.04	USD	5,501.00	
11AUG17	B	2	PD10NOV17	2337.00	2558.04	USD	11,052.00	
11AUG17	B	5	PD10NOV17	2336.50	2558.04	USD	27,692.50	
11AUG17	B	2	PD10NOV17	2335.50	2558.04	USD	11,127.00	
11AUG17	B	1	PD10NOV17	2333.00	2558.04	USD	5,626.00	
11AUG17	B	1	PD10NOV17	2331.50	2558.04	USD	5,663.50	
11AUG17	B	1	PD10NOV17	2339.00	2558.04	USD	5,476.00	
11AUG17	B	3	PD10NOV17	2338.50	2558.04	USD	16,465.50	
11AUG17	B	2	PD10NOV17	2335.00	2558.04	USD	11,152.00	
11AUG17	B	1	PD10NOV17	2334.00	2558.04	USD	5,601.00	
11AUG17	B	1	PD10NOV17	2333.50	2558.04	USD	5,613.50	
11AUG17	S	1	PD10NOV17	2355.00	2558.04	USD	-5,076.00	
11AUG17	B	5	PD10NOV17	2336.00	2558.04	USD	27,755.00	
11AUG17	B	2	PD10NOV17	2332.00	2558.04	USD	11,302.00	
11AUG17	S	1	PD10NOV17	2335.50	2558.04	USD	-5,563.50	
11AUG17	S	1	PD10NOV17	2329.50	2558.04	USD	-5,713.50	
11AUG17	S	1	PD10NOV17	2330.00	2558.04	USD	-5,701.00	

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11AUG17	S	2	PD10NOV17	2331.50	2558.04	USD	-11,327.00	
11AUG17	S	1	PD10NOV17	2332.00	2558.04	USD	-5,651.00	
11AUG17	S	2	PD10NOV17	2330.50	2558.04	USD	-11,377.00	
11AUG17	S	1	PD10NOV17	2334.00	2558.04	USD	-5,601.00	
11AUG17	B	1	PD10NOV17	2334.00	2558.04	USD	5,601.00	
14AUG17	S	1	PD10NOV17	2334.00	2558.04	USD	-5,601.00	
22AUG17	S	1	PD10NOV17	2413.50	2558.04	USD	-3,613.50	
	NET	0 AVG	BGHT 2348.92				23,987.50	0.00
			SOLD 2363.03					
14AUG17	S	2	PD14NOV17	2348.50	2558.61	USD	-10,505.50	
14AUG17	S	2	PD14NOV17	2344.50	2558.61	USD	-10,705.50	
14AUG17	S	3	PD14NOV17	2344.00	2558.61	USD	-16,095.75	
14AUG17	S	5	PD14NOV17	2346.00	2558.61	USD	-26,576.25	
14AUG17	B	5	PD14NOV17	2338.00	2558.61	USD	27,576.25	
14AUG17	S	4	PD14NOV17	2341.50	2558.61	USD	-21,711.00	
14AUG17	B	5	PD14NOV17	2337.00	2558.61	USD	27,701.25	
14AUG17	B	2	PD14NOV17	2335.00	2558.61	USD	11,180.50	
14AUG17	B	1	PD14NOV17	2336.00	2558.61	USD	5,565.25	
14AUG17	B	4	PD14NOV17	2335.50	2558.61	USD	22,311.00	
14AUG17	B	1	PD14NOV17	2330.50	2558.61	USD	5,702.75	
14AUG17	S	2	PD14NOV17	2347.00	2558.61	USD	-10,580.50	
14AUG17	S	4	PD14NOV17	2346.50	2558.61	USD	-21,211.00	
14AUG17	B	1	PD14NOV17	2339.50	2558.61	USD	5,477.75	
14AUG17	B	1	PD14NOV17	2338.50	2558.61	USD	5,502.75	
14AUG17	B	2	PD14NOV17	2339.00	2558.61	USD	10,980.50	
14AUG17	B	2	PD14NOV17	2340.00	2558.61	USD	10,930.50	
14AUG17	B	5	PD14NOV17	2337.50	2558.61	USD	27,638.75	
14AUG17	B	1	PD14NOV17	2334.50	2558.61	USD	5,602.75	
14AUG17	B	2	PD14NOV17	2334.00	2558.61	USD	11,230.50	
14AUG17	S	1	PD14NOV17	2335.50	2558.61	USD	-5,577.75	
14AUG17	B	1	PD14NOV17	2333.50	2558.61	USD	5,627.75	
14AUG17	S	1	PD14NOV17	2335.00	2558.61	USD	-5,590.25	
14AUG17	S	1	PD14NOV17	2339.50	2558.61	USD	-5,477.75	
14AUG17	S	1	PD14NOV17	2340.50	2558.61	USD	-5,452.75	
14AUG17	S	2	PD14NOV17	2342.00	2558.61	USD	-10,830.50	
14AUG17	S	5	PD14NOV17	2343.00	2558.61	USD	-26,951.25	
14AUG17	S	5	PD14NOV17	2345.50	2558.61	USD	-26,638.75	
14AUG17	B	2	PD14NOV17	2345.00	2558.61	USD	10,680.50	
14AUG17	B	1	PD14NOV17	2345.50	2558.61	USD	5,327.75	
14AUG17	B	1	PD14NOV17	2344.00	2558.61	USD	5,365.25	
14AUG17	B	2	PD14NOV17	2342.00	2558.61	USD	10,830.50	
14AUG17	B	3	PD14NOV17	2343.00	2558.61	USD	16,170.75	
22AUG17	S	3	PD14NOV17	2414.50	2558.61	USD	-10,808.25	
29AUG17	S	1	PD14NOV17	2374.69	2558.61	USD	-4,598.00	
	NET	0 AVG	BGHT 2338.23				12,092.25	0.00
			SOLD 2349.74					
15AUG17	B	1	PD15NOV17	2369.00	2558.75	USD	4,743.75	
15AUG17	S	1	PD15NOV17	2365.00	2558.75	USD	-4,843.75	
15AUG17	B	1	PD15NOV17	2376.00	2558.75	USD	4,568.75	
15AUG17	S	2	PD15NOV17	2386.00	2558.75	USD	-8,637.50	
15AUG17	S	2	PD15NOV17	2366.00	2558.75	USD	-9,637.50	
15AUG17	B	1	PD15NOV17	2362.50	2558.75	USD	4,906.25	
15AUG17	S	1	PD15NOV17	2378.00	2558.75	USD	-4,518.75	
15AUG17	B	1	PD15NOV17	2372.00	2558.75	USD	4,668.75	
15AUG17	S	1	PD15NOV17	2381.00	2558.75	USD	-4,443.75	
22AUG17	B	3	PD15NOV17	2414.95	2558.75	USD	10,785.00	
	NET	0 AVG	BGHT 2389.19				-2,408.75	0.00
			SOLD 2375.43					
21AUG17	B	1	PD21NOV17	2387.50	2559.32	USD	4,295.50	

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21AUG17	B	2	PD21NOV17	2388.00	2559.32	USD	8,566.00	
21AUG17	S	2	PD21NOV17	2388.50	2559.32	USD	-8,541.00	
21AUG17	S	1	PD21NOV17	2380.50	2559.32	USD	-4,470.50	
21AUG17	S	2	PD21NOV17	2391.00	2559.32	USD	-8,416.00	
21AUG17	S	1	PD21NOV17	2386.00	2559.32	USD	-4,333.00	
21AUG17	B	1	PD21NOV17	2378.00	2559.32	USD	4,533.00	
21AUG17	S	2	PD21NOV17	2375.50	2559.32	USD	-9,191.00	
21AUG17	S	1	PD21NOV17	2372.00	2559.32	USD	-4,683.00	
21AUG17	S	1	PD21NOV17	2374.50	2559.32	USD	-4,620.50	
21AUG17	S	1	PD21NOV17	2385.00	2559.32	USD	-4,358.00	
21AUG17	B	1	PD21NOV17	2362.50	2559.32	USD	4,920.50	
21AUG17	S	1	PD21NOV17	2361.50	2559.32	USD	-4,945.50	
21AUG17	S	3	PD21NOV17	2384.50	2559.32	USD	-13,111.50	
21AUG17	S	1	PD21NOV17	2367.50	2559.32	USD	-4,795.50	
21AUG17	S	2	PD21NOV17	2389.00	2559.32	USD	-8,516.00	
21AUG17	S	2	PD21NOV17	2387.50	2559.32	USD	-8,591.00	
21AUG17	S	4	PD21NOV17	2386.50	2559.32	USD	-17,282.00	
21AUG17	S	1	PD21NOV17	2368.00	2559.32	USD	-4,783.00	
21AUG17	S	2	PD21NOV17	2380.00	2559.32	USD	-8,966.00	
21AUG17	B	4	PD21NOV17	2365.00	2559.32	USD	19,432.00	
21AUG17	B	2	PD21NOV17	2366.00	2559.32	USD	9,666.00	
21AUG17	B	2	PD21NOV17	2365.50	2559.32	USD	9,691.00	
21AUG17	B	1	PD21NOV17	2359.50	2559.32	USD	4,995.50	
21AUG17	B	1	PD21NOV17	2359.00	2559.32	USD	5,008.00	
21AUG17	B	1	PD21NOV17	2358.50	2559.32	USD	5,020.50	
21AUG17	B	2	PD21NOV17	2358.00	2559.32	USD	10,066.00	
21AUG17	B	1	PD21NOV17	2352.50	2559.32	USD	5,170.50	
21AUG17	B	1	PD21NOV17	2350.50	2559.32	USD	5,220.50	
21AUG17	B	2	PD21NOV17	2353.00	2559.32	USD	10,316.00	
21AUG17	B	3	PD21NOV17	2356.00	2559.32	USD	15,249.00	
21AUG17	B	3	PD21NOV17	2355.50	2559.32	USD	15,286.50	
21AUG17	B	2	PD21NOV17	2355.00	2559.32	USD	10,216.00	
21AUG17	B	3	PD21NOV17	2354.50	2559.32	USD	15,361.50	
21AUG17	B	2	PD21NOV17	2351.50	2559.32	USD	10,391.00	
21AUG17	B	3	PD21NOV17	2360.00	2559.32	USD	14,949.00	
21AUG17	S	2	PD21NOV17	2369.00	2559.32	USD	-9,516.00	
21AUG17	S	2	PD21NOV17	2368.50	2559.32	USD	-9,541.00	
21AUG17	S	4	PD21NOV17	2369.50	2559.32	USD	-18,982.00	
21AUG17	S	1	PD21NOV17	2371.50	2559.32	USD	-4,695.50	
21AUG17	S	2	PD21NOV17	2377.00	2559.32	USD	-9,116.00	
21AUG17	S	2	PD21NOV17	2377.50	2559.32	USD	-9,091.00	
21AUG17	S	2	PD21NOV17	2375.00	2559.32	USD	-9,216.00	
21AUG17	S	4	PD21NOV17	2378.00	2559.32	USD	-18,132.00	
21AUG17	S	1	PD21NOV17	2382.00	2559.32	USD	-4,433.00	
21AUG17	B	1	PD21NOV17	2374.00	2559.32	USD	4,633.00	
21AUG17	B	1	PD21NOV17	2373.50	2559.32	USD	4,645.50	
21AUG17	B	1	PD21NOV17	2363.00	2559.32	USD	4,908.00	
21AUG17	B	1	PD21NOV17	2364.00	2559.32	USD	4,883.00	
21AUG17	B	1	PD21NOV17	2349.50	2559.32	USD	5,245.50	
21AUG17	B	1	PD21NOV17	2349.00	2559.32	USD	5,258.00	
22AUG17	B	4	PD21NOV17	2415.95	2559.32	USD	14,337.00	
29AUG17	S	1	PD21NOV17	2376.00	2559.32	USD	-4,583.00	
	NET	0 AVG BGHT 2365.77	SOLD 2378.56				15,355.00	0.00
22AUG17	B	1	PD22NOV17	2342.50	2559.46	USD	5,424.00	
22AUG17	B	1	PD22NOV17	2343.50	2559.46	USD	5,399.00	
22AUG17	B	1	PD22NOV17	2338.50	2559.46	USD	5,524.00	
22AUG17	S	1	PD22NOV17	2344.50	2559.46	USD	-5,374.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22AUG17	S	1	PD22NOV17	2343.50	2559.46	USD	-5,399.00	
22AUG17	B	1	PD22NOV17	2340.00	2559.46	USD	5,486.50	
22AUG17	B	5	PD22NOV17	2359.00	2559.46	USD	25,057.50	
22AUG17	S	2	PD22NOV17	2353.00	2559.46	USD	-10,323.00	
22AUG17	S	1	PD22NOV17	2362.00	2559.46	USD	-4,936.50	
22AUG17	S	1	PD22NOV17	2366.50	2559.46	USD	-4,824.00	
22AUG17	S	1	PD22NOV17	2346.00	2559.46	USD	-5,336.50	
22AUG17	S	2	PD22NOV17	2344.50	2559.46	USD	-10,748.00	
22AUG17	S	1	PD22NOV17	2353.50	2559.46	USD	-5,149.00	
22AUG17	S	1	PD22NOV17	2373.00	2559.46	USD	-4,661.50	
22AUG17	B	1	PD22NOV17	2357.50	2559.46	USD	5,049.00	
22AUG17	S	1	PD22NOV17	2354.50	2559.46	USD	-5,124.00	
22AUG17	S	1	PD22NOV17	2355.50	2559.46	USD	-5,099.00	
22AUG17	S	1	PD22NOV17	2362.50	2559.46	USD	-4,924.00	
22AUG17	S	1	PD22NOV17	2366.00	2559.46	USD	-4,836.50	
22AUG17	S	2	PD22NOV17	2367.00	2559.46	USD	-9,623.00	
22AUG17	S	2	PD22NOV17	2369.50	2559.46	USD	-9,498.00	
22AUG17	S	2	PD22NOV17	2370.50	2559.46	USD	-9,448.00	
22AUG17	S	2	PD22NOV17	2371.00	2559.46	USD	-9,423.00	
22AUG17	S	5	PD22NOV17	2372.00	2559.46	USD	-23,432.50	
22AUG17	S	1	PD22NOV17	2370.00	2559.46	USD	-4,736.50	
22AUG17	S	2	PD22NOV17	2371.50	2559.46	USD	-9,398.00	
22AUG17	S	1	PD22NOV17	2372.50	2559.46	USD	-4,674.00	
22AUG17	S	2	PD22NOV17	2389.00	2559.46	USD	-8,523.00	
22AUG17	S	1	PD22NOV17	2395.00	2559.46	USD	-4,111.50	
22AUG17	S	1	PD22NOV17	2400.50	2559.46	USD	-3,974.00	
22AUG17	S	1	PD22NOV17	2397.50	2559.46	USD	-4,049.00	
22AUG17	S	1	PD22NOV17	2399.00	2559.46	USD	-4,011.50	
22AUG17	S	1	PD22NOV17	2401.00	2559.46	USD	-3,961.50	
22AUG17	S	1	PD22NOV17	2401.50	2559.46	USD	-3,949.00	
22AUG17	S	1	PD22NOV17	2407.50	2559.46	USD	-3,799.00	
22AUG17	S	1	PD22NOV17	2423.00	2559.46	USD	-3,411.50	
22AUG17	B	1	PD22NOV17	2401.50	2559.46	USD	3,949.00	
22AUG17	B	1	PD22NOV17	2397.50	2559.46	USD	4,049.00	
22AUG17	B	1	PD22NOV17	2402.00	2559.46	USD	3,936.50	
22AUG17	S	1	PD22NOV17	2417.50	2559.46	USD	-3,549.00	
22AUG17	S	2	PD22NOV17	2418.50	2559.46	USD	-7,048.00	
22AUG17	S	1	PD22NOV17	2418.00	2559.46	USD	-3,536.50	
22AUG17	B	1	PD22NOV17	2395.00	2559.46	USD	4,111.50	
22AUG17	B	2	PD22NOV17	2396.00	2559.46	USD	8,173.00	
22AUG17	B	4	PD22NOV17	2395.50	2559.46	USD	16,396.00	
22AUG17	B	1	PD22NOV17	2398.00	2559.46	USD	4,036.50	
22AUG17	B	1	PD22NOV17	2400.50	2559.46	USD	3,974.00	
22AUG17	B	3	PD22NOV17	2399.00	2559.46	USD	12,034.50	
22AUG17	S	1	PD22NOV17	2371.00	2559.46	USD	-4,711.50	
22AUG17	S	1	PD22NOV17	2373.00	2559.46	USD	-4,661.50	
22AUG17	B	1	PD22NOV17	2395.00	2559.46	USD	4,111.50	
22AUG17	S	1	PD22NOV17	2416.00	2559.46	USD	-3,586.50	
22AUG17	S	2	PD22NOV17	2416.50	2559.46	USD	-7,148.00	
22AUG17	S	2	PD22NOV17	2417.00	2559.46	USD	-7,123.00	
22AUG17	B	1	PD22NOV17	2414.50	2559.46	USD	3,624.00	
22AUG17	B	2	PD22NOV17	2408.00	2559.46	USD	7,573.00	
22AUG17	B	2	PD22NOV17	2407.50	2559.46	USD	7,598.00	
22AUG17	B	1	PD22NOV17	2407.00	2559.46	USD	3,811.50	
22AUG17	B	1	PD22NOV17	2408.50	2559.46	USD	3,774.00	
22AUG17	B	6	PD22NOV17	2409.50	2559.46	USD	22,494.00	
22AUG17	B	1	PD22NOV17	2410.50	2559.46	USD	3,724.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22AUG17	B	2	PD22NOV17	2410.00	2559.46	USD	7,473.00	
22AUG17	B	1	PD22NOV17	2411.50	2559.46	USD	3,699.00	
22AUG17	B	1	PD22NOV17	2422.00	2559.46	USD	3,436.50	
22AUG17	B	1	PD22NOV17	2421.50	2559.46	USD	3,449.00	
22AUG17	B	1	PD22NOV17	2420.50	2559.46	USD	3,474.00	
22AUG17	B	2	PD22NOV17	2422.50	2559.46	USD	6,848.00	
29AUG17	B	2	PD22NOV17	2376.45	2559.46	USD	9,150.50	
29AUG17	B	3	PD22NOV17	2376.25	2559.46	USD	13,740.75	
	NET	0	AVG BGHT 2392.98				SOLD 2379.75	0.00
23AUG17	S	1	PD24NOV17	2415.00	2559.75	USD	-3,618.75	
23AUG17	S	2	PD24NOV17	2413.00	2559.75	USD	-7,337.50	
23AUG17	S	1	PD24NOV17	2410.00	2559.75	USD	-3,743.75	
23AUG17	S	1	PD24NOV17	2404.00	2559.75	USD	-3,893.75	
23AUG17	B	1	PD24NOV17	2401.50	2559.75	USD	3,956.25	
23AUG17	B	1	PD24NOV17	2400.50	2559.75	USD	3,981.25	
23AUG17	B	1	PD24NOV17	2398.50	2559.75	USD	4,031.25	
23AUG17	B	1	PD24NOV17	2397.00	2559.75	USD	4,068.75	
23AUG17	B	1	PD24NOV17	2395.50	2559.75	USD	4,106.25	
23AUG17	B	1	PD24NOV17	2393.00	2559.75	USD	4,168.75	
23AUG17	S	1	PD24NOV17	2402.00	2559.75	USD	-3,943.75	
23AUG17	S	1	PD24NOV17	2401.50	2559.75	USD	-3,956.25	
23AUG17	S	1	PD24NOV17	2406.00	2559.75	USD	-3,843.75	
23AUG17	S	2	PD24NOV17	2404.50	2559.75	USD	-7,762.50	
23AUG17	S	2	PD24NOV17	2403.50	2559.75	USD	-7,812.50	
23AUG17	S	1	PD24NOV17	2405.00	2559.75	USD	-3,868.75	
23AUG17	S	3	PD24NOV17	2413.50	2559.75	USD	-10,968.75	
23AUG17	S	1	PD24NOV17	2415.50	2559.75	USD	-3,606.25	
23AUG17	S	1	PD24NOV17	2416.50	2559.75	USD	-3,581.25	
23AUG17	B	1	PD24NOV17	2394.50	2559.75	USD	4,131.25	
23AUG17	S	4	PD24NOV17	2405.50	2559.75	USD	-15,425.00	
23AUG17	B	3	PD24NOV17	2396.00	2559.75	USD	12,281.25	
23AUG17	B	1	PD24NOV17	2388.50	2559.75	USD	4,281.25	
23AUG17	B	4	PD24NOV17	2389.00	2559.75	USD	17,075.00	
23AUG17	B	1	PD24NOV17	2387.50	2559.75	USD	4,306.25	
23AUG17	B	1	PD24NOV17	2386.50	2559.75	USD	4,331.25	
23AUG17	B	1	PD24NOV17	2386.00	2559.75	USD	4,343.75	
23AUG17	B	1	PD24NOV17	2385.00	2559.75	USD	4,368.75	
23AUG17	B	4	PD24NOV17	2390.00	2559.75	USD	16,975.00	
23AUG17	B	3	PD24NOV17	2382.50	2559.75	USD	13,293.75	
23AUG17	S	1	PD24NOV17	2389.00	2559.75	USD	-4,268.75	
23AUG17	S	1	PD24NOV17	2393.00	2559.75	USD	-4,168.75	
23AUG17	S	1	PD24NOV17	2391.00	2559.75	USD	-4,218.75	
23AUG17	B	3	PD24NOV17	2385.50	2559.75	USD	13,068.75	
23AUG17	B	1	PD24NOV17	2376.50	2559.75	USD	4,581.25	
24AUG17	S	1	PD24NOV17	2396.50	2559.75	USD	-4,081.25	
24AUG17	S	1	PD24NOV17	2401.50	2559.75	USD	-3,956.25	
24AUG17	S	2	PD24NOV17	2402.00	2559.75	USD	-7,887.50	
24AUG17	S	2	PD24NOV17	2402.50	2559.75	USD	-7,862.50	
24AUG17	S	4	PD24NOV17	2397.00	2559.75	USD	-16,275.00	
24AUG17	S	1	PD24NOV17	2399.50	2559.75	USD	-4,006.25	
24AUG17	S	7	PD24NOV17	2398.50	2559.75	USD	-28,218.75	
24AUG17	B	1	PD24NOV17	2398.50	2559.75	USD	4,031.25	
24AUG17	S	3	PD24NOV17	2397.50	2559.75	USD	-12,168.75	
24AUG17	S	1	PD24NOV17	2398.00	2559.75	USD	-4,043.75	
24AUG17	S	2	PD24NOV17	2399.00	2559.75	USD	-8,037.50	
24AUG17	S	1	PD24NOV17	2396.00	2559.75	USD	-4,093.75	
24AUG17	S	1	PD24NOV17	2384.00	2559.75	USD	-4,393.75	

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24AUG17	S	1	PD24NOV17	2385.50	2559.75	USD	-4,356.25	
24AUG17	S	2	PD24NOV17	2385.00	2559.75	USD	-8,737.50	
24AUG17	S	1	PD24NOV17	2386.50	2559.75	USD	-4,331.25	
24AUG17	S	1	PD24NOV17	2388.50	2559.75	USD	-4,281.25	
24AUG17	S	1	PD24NOV17	2387.50	2559.75	USD	-4,306.25	
24AUG17	S	3	PD24NOV17	2388.00	2559.75	USD	-12,881.25	
24AUG17	S	2	PD24NOV17	2389.00	2559.75	USD	-8,537.50	
24AUG17	B	1	PD24NOV17	2377.00	2559.75	USD	4,568.75	
24AUG17	B	1	PD24NOV17	2376.50	2559.75	USD	4,581.25	
24AUG17	B	1	PD24NOV17	2376.00	2559.75	USD	4,593.75	
24AUG17	B	2	PD24NOV17	2375.50	2559.75	USD	9,212.50	
24AUG17	B	1	PD24NOV17	2370.50	2559.75	USD	4,731.25	
24AUG17	B	1	PD24NOV17	2366.00	2559.75	USD	4,843.75	
24AUG17	S	1	PD24NOV17	2358.00	2559.75	USD	-5,043.75	
24AUG17	B	1	PD24NOV17	2364.00	2559.75	USD	4,893.75	
24AUG17	B	2	PD24NOV17	2364.50	2559.75	USD	9,762.50	
24AUG17	B	1	PD24NOV17	2365.00	2559.75	USD	4,868.75	
24AUG17	B	4	PD24NOV17	2365.50	2559.75	USD	19,425.00	
24AUG17	B	1	PD24NOV17	2361.50	2559.75	USD	4,956.25	
24AUG17	B	2	PD24NOV17	2358.00	2559.75	USD	10,087.50	
24AUG17	B	2	PD24NOV17	2356.50	2559.75	USD	10,162.50	
24AUG17	B	1	PD24NOV17	2356.00	2559.75	USD	5,093.75	
24AUG17	B	1	PD24NOV17	2353.00	2559.75	USD	5,168.75	
24AUG17	B	1	PD24NOV17	2349.00	2559.75	USD	5,268.75	
24AUG17	S	2	PD24NOV17	2356.00	2559.75	USD	-10,187.50	
24AUG17	B	1	PD24NOV17	2351.50	2559.75	USD	5,206.25	
24AUG17	B	3	PD24NOV17	2352.00	2559.75	USD	15,581.25	
24AUG17	S	1	PD24NOV17	2357.50	2559.75	USD	-5,056.25	
24AUG17	S	2	PD24NOV17	2358.50	2559.75	USD	-10,062.50	
24AUG17	S	1	PD24NOV17	2359.50	2559.75	USD	-5,006.25	
24AUG17	S	1	PD24NOV17	2356.50	2559.75	USD	-5,081.25	
24AUG17	S	3	PD24NOV17	2361.00	2559.75	USD	-14,906.25	
24AUG17	S	2	PD24NOV17	2360.00	2559.75	USD	-9,987.50	
24AUG17	S	1	PD24NOV17	2360.50	2559.75	USD	-4,981.25	
24AUG17	S	1	PD24NOV17	2362.50	2559.75	USD	-4,931.25	
24AUG17	S	1	PD24NOV17	2374.50	2559.75	USD	-4,631.25	
24AUG17	S	1	PD24NOV17	2375.00	2559.75	USD	-4,618.75	
24AUG17	S	3	PD24NOV17	2372.00	2559.75	USD	-14,081.25	
24AUG17	S	1	PD24NOV17	2356.50	2559.75	USD	-5,081.25	
24AUG17	S	2	PD24NOV17	2373.00	2559.75	USD	-9,337.50	
24AUG17	B	1	PD24NOV17	2353.50	2559.75	USD	5,156.25	
24AUG17	B	3	PD24NOV17	2352.50	2559.75	USD	15,543.75	
24AUG17	B	2	PD24NOV17	2351.00	2559.75	USD	10,437.50	
24AUG17	S	1	PD24NOV17	2357.00	2559.75	USD	-5,068.75	
24AUG17	S	2	PD24NOV17	2371.50	2559.75	USD	-9,412.50	
24AUG17	B	1	PD24NOV17	2349.00	2559.75	USD	5,268.75	
25AUG17	B	1	PD24NOV17	2365.00	2559.75	USD	4,868.75	
25AUG17	B	1	PD24NOV17	2366.00	2559.75	USD	4,843.75	
25AUG17	B	2	PD24NOV17	2366.50	2559.75	USD	9,662.50	
25AUG17	B	1	PD24NOV17	2365.50	2559.75	USD	4,856.25	
25AUG17	B	1	PD24NOV17	2361.50	2559.75	USD	4,956.25	
25AUG17	B	1	PD24NOV17	2361.00	2559.75	USD	4,968.75	
25AUG17	S	3	PD24NOV17	2364.00	2559.75	USD	-14,681.25	
25AUG17	S	1	PD24NOV17	2363.00	2559.75	USD	-4,918.75	
25AUG17	S	1	PD24NOV17	2362.50	2559.75	USD	-4,931.25	
25AUG17	B	1	PD24NOV17	2363.00	2559.75	USD	4,918.75	
25AUG17	S	1	PD24NOV17	2368.50	2559.75	USD	-4,781.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	2	PD24NOV17	2369.00	2559.75	USD	-9,537.50	
25AUG17	B	1	PD24NOV17	2375.50	2559.75	USD	4,606.25	
25AUG17	B	1	PD24NOV17	2369.00	2559.75	USD	4,768.75	
25AUG17	B	2	PD24NOV17	2372.00	2559.75	USD	9,387.50	
25AUG17	B	1	PD24NOV17	2370.00	2559.75	USD	4,743.75	
25AUG17	B	2	PD24NOV17	2368.00	2559.75	USD	9,587.50	
25AUG17	B	1	PD24NOV17	2367.00	2559.75	USD	4,818.75	
25AUG17	B	1	PD24NOV17	2364.50	2559.75	USD	4,881.25	
25AUG17	B	1	PD24NOV17	2364.00	2559.75	USD	4,893.75	
25AUG17	B	2	PD24NOV17	2362.50	2559.75	USD	9,862.50	
25AUG17	B	1	PD24NOV17	2360.50	2559.75	USD	4,981.25	
25AUG17	B	1	PD24NOV17	2360.00	2559.75	USD	4,993.75	
25AUG17	B	1	PD24NOV17	2362.00	2559.75	USD	4,943.75	
25AUG17	B	2	PD24NOV17	2358.00	2559.75	USD	10,087.50	
25AUG17	B	2	PD24NOV17	2346.00	2559.75	USD	10,687.50	
25AUG17	B	1	PD24NOV17	2345.50	2559.75	USD	5,356.25	
25AUG17	B	1	PD24NOV17	2337.50	2559.75	USD	5,556.25	
25AUG17	B	1	PD24NOV17	2342.50	2559.75	USD	5,431.25	
25AUG17	B	2	PD24NOV17	2341.00	2559.75	USD	10,937.50	
25AUG17	B	1	PD24NOV17	2348.00	2559.75	USD	5,293.75	
25AUG17	S	1	PD24NOV17	2352.50	2559.75	USD	-5,181.25	
25AUG17	B	1	PD24NOV17	2351.00	2559.75	USD	5,218.75	
25AUG17	S	1	PD24NOV17	2351.50	2559.75	USD	-5,206.25	
25AUG17	B	2	PD24NOV17	2347.50	2559.75	USD	10,612.50	
25AUG17	B	1	PD24NOV17	2347.00	2559.75	USD	5,318.75	
25AUG17	B	2	PD24NOV17	2341.50	2559.75	USD	10,912.50	
25AUG17	B	1	PD24NOV17	2340.50	2559.75	USD	5,481.25	
25AUG17	S	1	PD24NOV17	2335.50	2559.75	USD	-5,606.25	
25AUG17	S	2	PD24NOV17	2334.00	2559.75	USD	-11,287.50	
25AUG17	S	3	PD24NOV17	2333.50	2559.75	USD	-16,968.75	
25AUG17	B	1	PD24NOV17	2371.50	2559.75	USD	4,706.25	
25AUG17	B	1	PD24NOV17	2342.00	2559.75	USD	5,443.75	
25AUG17	B	1	PD24NOV17	2332.50	2559.75	USD	5,681.25	
25AUG17	B	1	PD24NOV17	2321.00	2559.75	USD	5,968.75	
25AUG17	S	2	PD24NOV17	2323.50	2559.75	USD	-11,812.50	
25AUG17	S	1	PD24NOV17	2328.50	2559.75	USD	-5,781.25	
25AUG17	S	1	PD24NOV17	2328.00	2559.75	USD	-5,793.75	
25AUG17	S	3	PD24NOV17	2325.50	2559.75	USD	-17,568.75	
25AUG17	B	2	PD24NOV17	2326.50	2559.75	USD	11,662.50	
29AUG17	S	3	PD24NOV17	2376.55	2559.75	USD	-13,740.00	
06SEP17	B	3	PD24NOV17	2336.70	2559.75	USD	16,728.75	
	NET	0 AVG BGHT 2365.57	SOLD 2379.49				39,676.25	0.00
29AUG17	B	1	PD29NOV17	2341.50	2560.20	USD	5,467.50	
29AUG17	S	1	PD29NOV17	2342.00	2560.20	USD	-5,455.00	
29AUG17	B	1	PD29NOV17	2345.00	2560.20	USD	5,380.00	
29AUG17	S	1	PD29NOV17	2343.00	2560.20	USD	-5,430.00	
29AUG17	S	1	PD29NOV17	2347.00	2560.20	USD	-5,330.00	
29AUG17	B	1	PD29NOV17	2345.50	2560.20	USD	5,367.50	
29AUG17	S	1	PD29NOV17	2345.50	2560.20	USD	-5,367.50	
29AUG17	B	3	PD29NOV17	2343.50	2560.20	USD	16,252.50	
29AUG17	B	1	PD29NOV17	2350.50	2560.20	USD	5,242.50	
29AUG17	B	2	PD29NOV17	2349.50	2560.20	USD	10,535.00	
29AUG17	B	2	PD29NOV17	2348.00	2560.20	USD	10,610.00	
29AUG17	B	2	PD29NOV17	2350.00	2560.20	USD	10,510.00	
29AUG17	S	1	PD29NOV17	2355.00	2560.20	USD	-5,130.00	
29AUG17	S	2	PD29NOV17	2355.50	2560.20	USD	-10,235.00	
29AUG17	S	2	PD29NOV17	2353.00	2560.20	USD	-10,360.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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29AUG17	S	1	PD29NOV17	2350.50	2560.20	USD	-5,242.50	
29AUG17	S	2	PD29NOV17	2354.50	2560.20	USD	-10,285.00	
29AUG17	S	2	PD29NOV17	2360.50	2560.20	USD	-9,985.00	
29AUG17	S	3	PD29NOV17	2362.00	2560.20	USD	-14,865.00	
29AUG17	S	1	PD29NOV17	2374.50	2560.20	USD	-4,642.50	
29AUG17	S	1	PD29NOV17	2377.00	2560.20	USD	-4,580.00	
29AUG17	S	1	PD29NOV17	2375.00	2560.20	USD	-4,630.00	
29AUG17	S	1	PD29NOV17	2383.50	2560.20	USD	-4,417.50	
29AUG17	S	1	PD29NOV17	2386.50	2560.20	USD	-4,342.50	
29AUG17	S	1	PD29NOV17	2391.00	2560.20	USD	-4,230.00	
29AUG17	S	1	PD29NOV17	2392.00	2560.20	USD	-4,205.00	
29AUG17	S	1	PD29NOV17	2394.00	2560.20	USD	-4,155.00	
29AUG17	B	1	PD29NOV17	2398.00	2560.20	USD	4,055.00	
29AUG17	B	1	PD29NOV17	2403.50	2560.20	USD	3,917.50	
29AUG17	B	1	PD29NOV17	2396.00	2560.20	USD	4,105.00	
29AUG17	B	1	PD29NOV17	2391.00	2560.20	USD	4,230.00	
29AUG17	S	1	PD29NOV17	2398.50	2560.20	USD	-4,042.50	
29AUG17	B	2	PD29NOV17	2393.00	2560.20	USD	8,360.00	
29AUG17	B	1	PD29NOV17	2395.50	2560.20	USD	4,117.50	
29AUG17	B	1	PD29NOV17	2384.50	2560.20	USD	4,392.50	
29AUG17	B	2	PD29NOV17	2383.50	2560.20	USD	8,835.00	
29AUG17	B	3	PD29NOV17	2381.50	2560.20	USD	13,402.50	
29AUG17	B	2	PD29NOV17	2383.00	2560.20	USD	8,860.00	
29AUG17	B	1	PD29NOV17	2379.00	2560.20	USD	4,530.00	
29AUG17	S	2	PD29NOV17	2386.00	2560.20	USD	-8,710.00	
29AUG17	B	1	PD29NOV17	2382.50	2560.20	USD	4,442.50	
06SEP17	S	2	PD29NOV17	2337.88	2560.20	USD	-11,116.00	
	NET	0 AVG BGHT 2370.05	SOLD 2364.53				-4,143.50	0.00
30AUG17	B	2	PD30NOV17	2390.00	2560.30	USD	8,515.00	
30AUG17	S	1	PD30NOV17	2399.50	2560.30	USD	-4,020.00	
30AUG17	B	1	PD30NOV17	2399.50	2560.30	USD	4,020.00	
30AUG17	B	1	PD30NOV17	2394.50	2560.30	USD	4,145.00	
30AUG17	B	1	PD30NOV17	2392.00	2560.30	USD	4,207.50	
30AUG17	B	4	PD30NOV17	2391.00	2560.30	USD	16,930.00	
30AUG17	S	1	PD30NOV17	2383.50	2560.30	USD	-4,420.00	
30AUG17	S	1	PD30NOV17	2387.00	2560.30	USD	-4,332.50	
30AUG17	S	1	PD30NOV17	2386.00	2560.30	USD	-4,357.50	
30AUG17	S	2	PD30NOV17	2384.50	2560.30	USD	-8,790.00	
30AUG17	S	1	PD30NOV17	2385.50	2560.30	USD	-4,370.00	
30AUG17	S	2	PD30NOV17	2384.00	2560.30	USD	-8,815.00	
30AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	
30AUG17	S	1	PD30NOV17	2380.50	2560.30	USD	-4,495.00	
30AUG17	B	1	PD30NOV17	2397.50	2560.30	USD	4,070.00	
30AUG17	B	1	PD30NOV17	2396.00	2560.30	USD	4,107.50	
30AUG17	S	2	PD30NOV17	2382.00	2560.30	USD	-8,915.00	
30AUG17	S	1	PD30NOV17	2381.00	2560.30	USD	-4,482.50	
30AUG17	S	3	PD30NOV17	2375.50	2560.30	USD	-13,860.00	
30AUG17	S	1	PD30NOV17	2372.00	2560.30	USD	-4,707.50	
30AUG17	S	1	PD30NOV17	2371.00	2560.30	USD	-4,732.50	
30AUG17	S	1	PD30NOV17	2374.50	2560.30	USD	-4,645.00	
30AUG17	S	1	PD30NOV17	2376.00	2560.30	USD	-4,607.50	
30AUG17	S	6	PD30NOV17	2380.00	2560.30	USD	-27,045.00	
30AUG17	S	3	PD30NOV17	2383.00	2560.30	USD	-13,297.50	
30AUG17	S	1	PD30NOV17	2388.00	2560.30	USD	-4,307.50	
30AUG17	S	1	PD30NOV17	2392.00	2560.30	USD	-4,207.50	
30AUG17	S	2	PD30NOV17	2398.50	2560.30	USD	-8,090.00	
30AUG17	B	2	PD30NOV17	2388.00	2560.30	USD	8,615.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
30AUG17	B 1 PD30NOV17	2385.50	2560.30	USD	4,370.00	
30AUG17	B 1 PD30NOV17	2384.00	2560.30	USD	4,407.50	
30AUG17	B 1 PD30NOV17	2382.50	2560.30	USD	4,445.00	
30AUG17	B 1 PD30NOV17	2381.50	2560.30	USD	4,470.00	
30AUG17	B 1 PD30NOV17	2378.50	2560.30	USD	4,545.00	
30AUG17	B 1 PD30NOV17	2379.00	2560.30	USD	4,532.50	
30AUG17	B 1 PD30NOV17	2376.50	2560.30	USD	4,595.00	
30AUG17	B 1 PD30NOV17	2374.50	2560.30	USD	4,645.00	
30AUG17	B 1 PD30NOV17	2373.00	2560.30	USD	4,682.50	
30AUG17	B 2 PD30NOV17	2368.50	2560.30	USD	9,590.00	
30AUG17	B 1 PD30NOV17	2370.50	2560.30	USD	4,745.00	
30AUG17	B 2 PD30NOV17	2375.00	2560.30	USD	9,265.00	
30AUG17	B 2 PD30NOV17	2377.50	2560.30	USD	9,140.00	
30AUG17	B 3 PD30NOV17	2377.00	2560.30	USD	13,747.50	
30AUG17	S 2 PD30NOV17	2381.50	2560.30	USD	-8,940.00	
30AUG17	B 1 PD30NOV17	2379.50	2560.30	USD	4,520.00	
30AUG17	B 1 PD30NOV17	2378.00	2560.30	USD	4,557.50	
30AUG17	B 1 PD30NOV17	2377.50	2560.30	USD	4,570.00	
30AUG17	B 1 PD30NOV17	2379.00	2560.30	USD	4,532.50	
31AUG17	B 1 PD30NOV17	2384.50	2560.30	USD	4,395.00	
31AUG17	B 1 PD30NOV17	2385.50	2560.30	USD	4,370.00	
31AUG17	S 1 PD30NOV17	2383.50	2560.30	USD	-4,420.00	
31AUG17	B 2 PD30NOV17	2386.00	2560.30	USD	8,715.00	
31AUG17	B 3 PD30NOV17	2377.00	2560.30	USD	13,747.50	
31AUG17	B 2 PD30NOV17	2376.50	2560.30	USD	9,190.00	
31AUG17	B 1 PD30NOV17	2373.00	2560.30	USD	4,682.50	
31AUG17	S 2 PD30NOV17	2371.50	2560.30	USD	-9,440.00	
31AUG17	S 2 PD30NOV17	2373.00	2560.30	USD	-9,365.00	
31AUG17	B 1 PD30NOV17	2375.00	2560.30	USD	4,632.50	
31AUG17	B 1 PD30NOV17	2382.00	2560.30	USD	4,457.50	
31AUG17	B 3 PD30NOV17	2386.50	2560.30	USD	13,035.00	
31AUG17	S 2 PD30NOV17	2379.50	2560.30	USD	-9,040.00	
31AUG17	B 2 PD30NOV17	2382.50	2560.30	USD	8,890.00	
31AUG17	B 2 PD30NOV17	2388.00	2560.30	USD	8,615.00	
31AUG17	B 1 PD30NOV17	2387.50	2560.30	USD	4,320.00	
31AUG17	S 1 PD30NOV17	2390.00	2560.30	USD	-4,257.50	
31AUG17	B 1 PD30NOV17	2390.50	2560.30	USD	4,245.00	
31AUG17	B 1 PD30NOV17	2392.00	2560.30	USD	4,207.50	
31AUG17	B 2 PD30NOV17	2389.00	2560.30	USD	8,565.00	
31AUG17	B 2 PD30NOV17	2389.50	2560.30	USD	8,540.00	
31AUG17	S 1 PD30NOV17	2388.50	2560.30	USD	-4,295.00	
31AUG17	S 1 PD30NOV17	2389.50	2560.30	USD	-4,270.00	
31AUG17	S 1 PD30NOV17	2397.50	2560.30	USD	-4,070.00	
31AUG17	S 1 PD30NOV17	2400.00	2560.30	USD	-4,007.50	
31AUG17	B 1 PD30NOV17	2391.00	2560.30	USD	4,232.50	
31AUG17	B 1 PD30NOV17	2383.00	2560.30	USD	4,432.50	
31AUG17	B 2 PD30NOV17	2375.50	2560.30	USD	9,240.00	
31AUG17	B 4 PD30NOV17	2374.00	2560.30	USD	18,630.00	
31AUG17	B 1 PD30NOV17	2374.50	2560.30	USD	4,645.00	
31AUG17	B 1 PD30NOV17	2378.00	2560.30	USD	4,557.50	
31AUG17	S 1 PD30NOV17	2384.50	2560.30	USD	-4,395.00	
31AUG17	B 1 PD30NOV17	2392.50	2560.30	USD	4,195.00	
31AUG17	B 5 PD30NOV17	2388.50	2560.30	USD	21,475.00	
31AUG17	S 2 PD30NOV17	2392.00	2560.30	USD	-8,415.00	
31AUG17	S 1 PD30NOV17	2381.00	2560.30	USD	-4,482.50	
31AUG17	S 1 PD30NOV17	2384.00	2560.30	USD	-4,407.50	
31AUG17	S 1 PD30NOV17	2385.00	2560.30	USD	-4,382.50	

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31AUG17	S	1	PD30NOV17		2385.50	2560.30	USD	-4,370.00	
31AUG17	S	3	PD30NOV17		2386.50	2560.30	USD	-13,035.00	
31AUG17	S	1	PD30NOV17		2389.00	2560.30	USD	-4,282.50	
31AUG17	S	2	PD30NOV17		2391.00	2560.30	USD	-8,465.00	
31AUG17	S	3	PD30NOV17		2394.50	2560.30	USD	-12,435.00	
31AUG17	B	1	PD30NOV17		2395.00	2560.30	USD	4,132.50	
31AUG17	S	2	PD30NOV17		2383.00	2560.30	USD	-8,865.00	
31AUG17	S	2	PD30NOV17		2384.50	2560.30	USD	-8,790.00	
06SEP17	S	1	PD30NOV17		2338.15	2560.30	USD	-5,553.75	
06SEP17	S	10	PD30NOV17		2338.35	2560.30	USD	-55,487.50	
	NET	0AVG BGHT 2383.03	SOLD 2377.77					-10,371.25	0.00
01SEP17	S	1	PD01DEC17		2395.00	2560.39	USD	-4,134.75	
01SEP17	S	1	PD01DEC17		2393.50	2560.39	USD	-4,172.25	
01SEP17	S	2	PD01DEC17		2393.00	2560.39	USD	-8,369.50	
01SEP17	S	1	PD01DEC17		2391.00	2560.39	USD	-4,234.75	
01SEP17	S	1	PD01DEC17		2397.50	2560.39	USD	-4,072.25	
01SEP17	S	2	PD01DEC17		2398.50	2560.39	USD	-8,094.50	
01SEP17	S	1	PD01DEC17		2404.00	2560.39	USD	-3,909.75	
01SEP17	S	2	PD01DEC17		2405.00	2560.39	USD	-7,769.50	
01SEP17	B	2	PD01DEC17		2397.50	2560.39	USD	8,144.50	
01SEP17	B	2	PD01DEC17		2398.50	2560.39	USD	8,094.50	
01SEP17	B	1	PD01DEC17		2397.00	2560.39	USD	4,084.75	
01SEP17	B	3	PD01DEC17		2392.00	2560.39	USD	12,629.25	
01SEP17	B	1	PD01DEC17		2391.50	2560.39	USD	4,222.25	
01SEP17	B	3	PD01DEC17		2390.50	2560.39	USD	12,741.75	
01SEP17	S	1	PD01DEC17		2389.00	2560.39	USD	-4,284.75	
01SEP17	B	1	PD01DEC17		2387.00	2560.39	USD	4,334.75	
01SEP17	B	7	PD01DEC17		2388.00	2560.39	USD	30,168.25	
01SEP17	S	2	PD01DEC17		2381.00	2560.39	USD	-8,969.50	
01SEP17	S	1	PD01DEC17		2380.50	2560.39	USD	-4,497.25	
01SEP17	S	1	PD01DEC17		2382.50	2560.39	USD	-4,447.25	
01SEP17	S	1	PD01DEC17		2388.50	2560.39	USD	-4,297.25	
01SEP17	S	1	PD01DEC17		2390.00	2560.39	USD	-4,259.75	
01SEP17	S	1	PD01DEC17		2389.50	2560.39	USD	-4,272.25	
01SEP17	S	3	PD01DEC17		2388.00	2560.39	USD	-12,929.25	
01SEP17	S	1	PD01DEC17		2386.50	2560.39	USD	-4,347.25	
01SEP17	S	2	PD01DEC17		2387.00	2560.39	USD	-8,669.50	
01SEP17	S	1	PD01DEC17		2391.50	2560.39	USD	-4,222.25	
01SEP17	S	1	PD01DEC17		2394.50	2560.39	USD	-4,147.25	
01SEP17	S	2	PD01DEC17		2395.50	2560.39	USD	-8,244.50	
01SEP17	S	2	PD01DEC17		2396.00	2560.39	USD	-8,219.50	
01SEP17	S	3	PD01DEC17		2396.50	2560.39	USD	-12,291.75	
01SEP17	S	1	PD01DEC17		2397.00	2560.39	USD	-4,084.75	
01SEP17	S	2	PD01DEC17		2402.50	2560.39	USD	-7,894.50	
01SEP17	S	1	PD01DEC17		2404.50	2560.39	USD	-3,897.25	
01SEP17	S	3	PD01DEC17		2406.50	2560.39	USD	-11,541.75	
01SEP17	S	6	PD01DEC17		2405.50	2560.39	USD	-23,233.50	
01SEP17	S	1	PD01DEC17		2408.50	2560.39	USD	-3,797.25	
01SEP17	S	1	PD01DEC17		2407.00	2560.39	USD	-3,834.75	
01SEP17	S	2	PD01DEC17		2411.00	2560.39	USD	-7,469.50	
01SEP17	S	2	PD01DEC17		2406.00	2560.39	USD	-7,719.50	
01SEP17	S	1	PD01DEC17		2407.50	2560.39	USD	-3,822.25	
01SEP17	S	3	PD01DEC17		2400.00	2560.39	USD	-12,029.25	
01SEP17	B	1	PD01DEC17		2396.00	2560.39	USD	4,109.75	
01SEP17	S	3	PD01DEC17		2401.00	2560.39	USD	-11,954.25	
01SEP17	B	2	PD01DEC17		2408.00	2560.39	USD	7,619.50	
01SEP17	B	1	PD01DEC17		2411.00	2560.39	USD	3,734.75	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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01SEP17	B	1	PD01DEC17	2409.50	2560.39	USD	3,772.25	
01SEP17	B	1	PD01DEC17	2411.50	2560.39	USD	3,722.25	
01SEP17	S	1	PD01DEC17	2390.00	2560.39	USD	-4,259.75	
01SEP17	S	1	PD01DEC17	2403.50	2560.39	USD	-3,922.25	
01SEP17	S	1	PD01DEC17	2408.00	2560.39	USD	-3,809.75	
01SEP17	B	1	PD01DEC17	2404.50	2560.39	USD	3,897.25	
01SEP17	B	1	PD01DEC17	2406.50	2560.39	USD	3,847.25	
01SEP17	B	1	PD01DEC17	2406.00	2560.39	USD	3,859.75	
01SEP17	B	2	PD01DEC17	2401.00	2560.39	USD	7,969.50	
01SEP17	B	2	PD01DEC17	2401.50	2560.39	USD	7,944.50	
01SEP17	B	3	PD01DEC17	2402.50	2560.39	USD	11,841.75	
01SEP17	B	2	PD01DEC17	2394.00	2560.39	USD	8,319.50	
01SEP17	B	2	PD01DEC17	2395.00	2560.39	USD	8,269.50	
01SEP17	B	4	PD01DEC17	2394.50	2560.39	USD	16,589.00	
01SEP17	B	2	PD01DEC17	2393.50	2560.39	USD	8,344.50	
01SEP17	B	1	PD01DEC17	2393.00	2560.39	USD	4,184.75	
01SEP17	B	10	PD01DEC17	2392.50	2560.39	USD	41,972.50	
01SEP17	B	4	PD01DEC17	2391.00	2560.39	USD	16,939.00	
01SEP17	S	4	PD01DEC17	2398.00	2560.39	USD	-16,239.00	
01SEP17	B	3	PD01DEC17	2399.00	2560.39	USD	12,104.25	
06SEP17	B	3	PD01DEC17	2338.83	2560.39	USD	16,617.00	
	NET	0AVG BGHT 2393.18	SOLD 2397.78				7,712.75	0.00
04SEP17	S	1	PD04DEC17	2398.50	2560.66	USD	-4,054.00	
04SEP17	S	4	PD04DEC17	2399.00	2560.66	USD	-16,166.00	
04SEP17	B	2	PD04DEC17	2406.50	2560.66	USD	7,708.00	
04SEP17	B	3	PD04DEC17	2407.50	2560.66	USD	11,487.00	
04SEP17	B	2	PD04DEC17	2400.50	2560.66	USD	8,008.00	
04SEP17	B	1	PD04DEC17	2393.50	2560.66	USD	4,179.00	
04SEP17	B	2	PD04DEC17	2395.00	2560.66	USD	8,283.00	
04SEP17	B	1	PD04DEC17	2388.50	2560.66	USD	4,304.00	
04SEP17	B	1	PD04DEC17	2387.50	2560.66	USD	4,329.00	
04SEP17	B	1	PD04DEC17	2387.00	2560.66	USD	4,341.50	
04SEP17	B	2	PD04DEC17	2386.50	2560.66	USD	8,708.00	
04SEP17	B	1	PD04DEC17	2386.00	2560.66	USD	4,366.50	
04SEP17	B	1	PD04DEC17	2384.00	2560.66	USD	4,416.50	
04SEP17	B	1	PD04DEC17	2399.00	2560.66	USD	4,041.50	
04SEP17	B	1	PD04DEC17	2391.50	2560.66	USD	4,229.00	
04SEP17	B	1	PD04DEC17	2390.50	2560.66	USD	4,254.00	
04SEP17	B	1	PD04DEC17	2392.00	2560.66	USD	4,216.50	
04SEP17	B	1	PD04DEC17	2391.00	2560.66	USD	4,241.50	
04SEP17	B	1	PD04DEC17	2389.50	2560.66	USD	4,279.00	
04SEP17	S	1	PD04DEC17	2391.50	2560.66	USD	-4,229.00	
04SEP17	S	2	PD04DEC17	2397.50	2560.66	USD	-8,158.00	
04SEP17	S	5	PD04DEC17	2398.00	2560.66	USD	-20,332.50	
04SEP17	S	1	PD04DEC17	2401.00	2560.66	USD	-3,991.50	
04SEP17	S	2	PD04DEC17	2402.00	2560.66	USD	-7,933.00	
04SEP17	S	1	PD04DEC17	2400.00	2560.66	USD	-4,016.50	
04SEP17	S	3	PD04DEC17	2401.50	2560.66	USD	-11,937.00	
04SEP17	S	1	PD04DEC17	2399.50	2560.66	USD	-4,029.00	
04SEP17	B	2	PD04DEC17	2390.00	2560.66	USD	8,533.00	
04SEP17	S	1	PD04DEC17	2393.00	2560.66	USD	-4,191.50	
04SEP17	S	1	PD04DEC17	2395.00	2560.66	USD	-4,141.50	
04SEP17	B	1	PD04DEC17	2391.50	2560.66	USD	4,229.00	
04SEP17	S	1	PD04DEC17	2392.50	2560.66	USD	-4,204.00	
06SEP17	S	2	PD04DEC17	2339.45	2560.66	USD	-11,060.50	
	NET	0AVG BGHT 2394.27	SOLD 2393.82				-290.00	0.00
05SEP17	S	1	PD05DEC17	2400.50	2560.75	USD	-4,006.25	

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05SEP17	S	1	PD05DEC17	2396.50	2560.75	USD	-4,106.25	
05SEP17	S	5	PD05DEC17	2394.50	2560.75	USD	-20,781.25	
05SEP17	S	1	PD05DEC17	2397.50	2560.75	USD	-4,081.25	
05SEP17	S	2	PD05DEC17	2398.50	2560.75	USD	-8,112.50	
05SEP17	B	2	PD05DEC17	2399.50	2560.75	USD	8,062.50	
05SEP17	S	1	PD05DEC17	2404.50	2560.75	USD	-3,906.25	
05SEP17	S	3	PD05DEC17	2403.50	2560.75	USD	-11,793.75	
05SEP17	S	1	PD05DEC17	2401.50	2560.75	USD	-3,981.25	
05SEP17	S	1	PD05DEC17	2402.50	2560.75	USD	-3,956.25	
05SEP17	S	1	PD05DEC17	2403.00	2560.75	USD	-3,943.75	
05SEP17	S	1	PD05DEC17	2405.50	2560.75	USD	-3,881.25	
05SEP17	S	1	PD05DEC17	2405.00	2560.75	USD	-3,893.75	
05SEP17	B	1	PD05DEC17	2396.00	2560.75	USD	4,118.75	
05SEP17	S	4	PD05DEC17	2396.00	2560.75	USD	-16,475.00	
05SEP17	S	2	PD05DEC17	2395.50	2560.75	USD	-8,262.50	
05SEP17	S	6	PD05DEC17	2395.00	2560.75	USD	-24,862.50	
05SEP17	S	1	PD05DEC17	2394.00	2560.75	USD	-4,168.75	
05SEP17	S	3	PD05DEC17	2393.00	2560.75	USD	-12,581.25	
05SEP17	B	1	PD05DEC17	2393.00	2560.75	USD	4,193.75	
05SEP17	B	1	PD05DEC17	2392.00	2560.75	USD	4,218.75	
05SEP17	B	4	PD05DEC17	2391.00	2560.75	USD	16,975.00	
05SEP17	B	3	PD05DEC17	2388.00	2560.75	USD	12,956.25	
05SEP17	B	4	PD05DEC17	2389.50	2560.75	USD	17,125.00	
05SEP17	B	2	PD05DEC17	2390.00	2560.75	USD	8,537.50	
05SEP17	B	10	PD05DEC17	2389.00	2560.75	USD	42,937.50	
05SEP17	B	3	PD05DEC17	2388.50	2560.75	USD	12,918.75	
05SEP17	B	4	PD05DEC17	2386.00	2560.75	USD	17,475.00	
05SEP17	B	2	PD05DEC17	2387.00	2560.75	USD	8,687.50	
05SEP17	B	1	PD05DEC17	2386.50	2560.75	USD	4,356.25	
05SEP17	B	5	PD05DEC17	2385.50	2560.75	USD	21,906.25	
05SEP17	B	2	PD05DEC17	2384.50	2560.75	USD	8,812.50	
05SEP17	B	1	PD05DEC17	2382.50	2560.75	USD	4,456.25	
05SEP17	B	2	PD05DEC17	2382.00	2560.75	USD	8,937.50	
05SEP17	B	1	PD05DEC17	2374.00	2560.75	USD	4,668.75	
05SEP17	B	1	PD05DEC17	2373.00	2560.75	USD	4,693.75	
05SEP17	B	1	PD05DEC17	2372.50	2560.75	USD	4,706.25	
05SEP17	S	1	PD05DEC17	2375.00	2560.75	USD	-4,643.75	
05SEP17	S	1	PD05DEC17	2376.00	2560.75	USD	-4,618.75	
05SEP17	B	1	PD05DEC17	2370.50	2560.75	USD	4,756.25	
05SEP17	B	1	PD05DEC17	2371.00	2560.75	USD	4,743.75	
05SEP17	B	1	PD05DEC17	2368.50	2560.75	USD	4,806.25	
05SEP17	S	1	PD05DEC17	2382.50	2560.75	USD	-4,456.25	
05SEP17	S	3	PD05DEC17	2374.00	2560.75	USD	-14,006.25	
05SEP17	S	2	PD05DEC17	2364.00	2560.75	USD	-9,837.50	
05SEP17	S	1	PD05DEC17	2372.00	2560.75	USD	-4,718.75	
05SEP17	S	1	PD05DEC17	2365.50	2560.75	USD	-4,881.25	
05SEP17	S	2	PD05DEC17	2364.50	2560.75	USD	-9,812.50	
05SEP17	B	1	PD05DEC17	2368.00	2560.75	USD	4,818.75	
05SEP17	S	1	PD05DEC17	2361.50	2560.75	USD	-4,981.25	
05SEP17	S	1	PD05DEC17	2362.00	2560.75	USD	-4,968.75	
05SEP17	B	1	PD05DEC17	2356.00	2560.75	USD	5,118.75	
05SEP17	S	1	PD05DEC17	2360.00	2560.75	USD	-5,018.75	
05SEP17	S	2	PD05DEC17	2354.50	2560.75	USD	-10,312.50	
05SEP17	B	2	PD05DEC17	2354.00	2560.75	USD	10,337.50	
05SEP17	B	1	PD05DEC17	2353.50	2560.75	USD	5,181.25	
05SEP17	S	1	PD05DEC17	2347.00	2560.75	USD	-5,343.75	
05SEP17	S	2	PD05DEC17	2339.00	2560.75	USD	-11,087.50	

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05SEP17	S	3	PD05DEC17	2341.50	2560.75	USD	-16,443.75	
05SEP17	S	4	PD05DEC17	2345.00	2560.75	USD	-21,575.00	
05SEP17	B	3	PD05DEC17	2346.00	2560.75	USD	16,106.25	
05SEP17	B	1	PD05DEC17	2345.00	2560.75	USD	5,393.75	
05SEP17	B	2	PD05DEC17	2339.00	2560.75	USD	11,087.50	
05SEP17	B	1	PD05DEC17	2341.50	2560.75	USD	5,481.25	
05SEP17	B	1	PD05DEC17	2338.50	2560.75	USD	5,556.25	
05SEP17	S	1	PD05DEC17	2338.50	2560.75	USD	-5,556.25	
05SEP17	S	1	PD05DEC17	2336.00	2560.75	USD	-5,618.75	
05SEP17	B	2	PD05DEC17	2331.50	2560.75	USD	11,462.50	
05SEP17	B	1	PD05DEC17	2332.50	2560.75	USD	5,706.25	
05SEP17	S	1	PD05DEC17	2327.00	2560.75	USD	-5,843.75	
05SEP17	S	1	PD05DEC17	2329.50	2560.75	USD	-5,781.25	
05SEP17	S	1	PD05DEC17	2327.50	2560.75	USD	-5,831.25	
05SEP17	S	3	PD05DEC17	2328.00	2560.75	USD	-17,456.25	
05SEP17	S	1	PD05DEC17	2331.50	2560.75	USD	-5,731.25	
05SEP17	S	2	PD05DEC17	2330.00	2560.75	USD	-11,537.50	
05SEP17	S	3	PD05DEC17	2338.00	2560.75	USD	-16,706.25	
05SEP17	S	1	PD05DEC17	2342.50	2560.75	USD	-5,456.25	
05SEP17	S	1	PD05DEC17	2343.00	2560.75	USD	-5,443.75	
05SEP17	S	2	PD05DEC17	2340.00	2560.75	USD	-11,037.50	
05SEP17	S	2	PD05DEC17	2340.50	2560.75	USD	-11,012.50	
05SEP17	S	2	PD05DEC17	2326.00	2560.75	USD	-11,737.50	
05SEP17	S	1	PD05DEC17	2326.50	2560.75	USD	-5,856.25	
05SEP17	S	1	PD05DEC17	2325.00	2560.75	USD	-5,893.75	
05SEP17	S	2	PD05DEC17	2329.00	2560.75	USD	-11,587.50	
05SEP17	S	2	PD05DEC17	2336.50	2560.75	USD	-11,212.50	
05SEP17	B	1	PD05DEC17	2332.00	2560.75	USD	5,718.75	
06SEP17	B	9	PD05DEC17	2339.93	2560.75	USD	49,684.50	
08SEP17	B	10	PD05DEC17	2264.58	2560.75	USD	74,042.50	
	NET	0 AVG BGHT 2360.42	SOLD 2365.73				11,945.75	0.00
06SEP17	B	1	PD06DEC17	2339.00	2561.00	USD	5,550.00	
06SEP17	B	2	PD06DEC17	2338.00	2561.00	USD	11,150.00	
06SEP17	B	2	PD06DEC17	2337.50	2561.00	USD	11,175.00	
06SEP17	B	1	PD06DEC17	2326.50	2561.00	USD	5,862.50	
06SEP17	S	1	PD06DEC17	2320.50	2561.00	USD	-6,012.50	
06SEP17	S	1	PD06DEC17	2318.00	2561.00	USD	-6,075.00	
06SEP17	S	1	PD06DEC17	2319.00	2561.00	USD	-6,050.00	
06SEP17	S	2	PD06DEC17	2320.00	2561.00	USD	-12,050.00	
06SEP17	S	2	PD06DEC17	2323.00	2561.00	USD	-11,900.00	
06SEP17	S	1	PD06DEC17	2323.50	2561.00	USD	-5,937.50	
06SEP17	S	1	PD06DEC17	2324.50	2561.00	USD	-5,912.50	
06SEP17	S	3	PD06DEC17	2327.00	2561.00	USD	-17,550.00	
06SEP17	S	1	PD06DEC17	2330.00	2561.00	USD	-5,775.00	
06SEP17	S	1	PD06DEC17	2329.50	2561.00	USD	-5,787.50	
06SEP17	S	2	PD06DEC17	2326.00	2561.00	USD	-11,750.00	
06SEP17	S	2	PD06DEC17	2324.00	2561.00	USD	-11,850.00	
06SEP17	S	4	PD06DEC17	2325.00	2561.00	USD	-23,600.00	
06SEP17	B	1	PD06DEC17	2324.00	2561.00	USD	5,925.00	
06SEP17	S	1	PD06DEC17	2358.00	2561.00	USD	-5,075.00	
06SEP17	B	2	PD06DEC17	2345.00	2561.00	USD	10,800.00	
06SEP17	B	1	PD06DEC17	2341.00	2561.00	USD	5,500.00	
06SEP17	B	1	PD06DEC17	2340.50	2561.00	USD	5,512.50	
06SEP17	B	1	PD06DEC17	2340.00	2561.00	USD	5,525.00	
06SEP17	B	1	PD06DEC17	2341.50	2561.00	USD	5,487.50	
06SEP17	S	1	PD06DEC17	2342.00	2561.00	USD	-5,475.00	
06SEP17	B	2	PD06DEC17	2342.00	2561.00	USD	10,950.00	

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06SEP17	S	5	PD06DEC17	2342.50	2561.00	USD	-27,312.50	
06SEP17	B	3	PD06DEC17	2346.00	2561.00	USD	16,125.00	
06SEP17	S	1	PD06DEC17	2361.00	2561.00	USD	-5,000.00	
06SEP17	B	1	PD06DEC17	2351.00	2561.00	USD	5,250.00	
06SEP17	B	1	PD06DEC17	2351.50	2561.00	USD	5,237.50	
06SEP17	B	1	PD06DEC17	2350.00	2561.00	USD	5,275.00	
06SEP17	B	1	PD06DEC17	2350.50	2561.00	USD	5,262.50	
06SEP17	B	1	PD06DEC17	2343.00	2561.00	USD	5,450.00	
06SEP17	B	2	PD06DEC17	2347.00	2561.00	USD	10,700.00	
06SEP17	B	1	PD06DEC17	2353.00	2561.00	USD	5,200.00	
06SEP17	B	1	PD06DEC17	2355.00	2561.00	USD	5,150.00	
06SEP17	B	2	PD06DEC17	2355.50	2561.00	USD	10,275.00	
06SEP17	B	1	PD06DEC17	2354.00	2561.00	USD	5,175.00	
06SEP17	B	1	PD06DEC17	2352.00	2561.00	USD	5,225.00	
06SEP17	B	1	PD06DEC17	2349.00	2561.00	USD	5,300.00	
06SEP17	B	1	PD06DEC17	2347.50	2561.00	USD	5,337.50	
06SEP17	B	2	PD06DEC17	2343.50	2561.00	USD	10,875.00	
06SEP17	S	1	PD06DEC17	2346.50	2561.00	USD	-5,362.50	
06SEP17	B	3	PD06DEC17	2344.00	2561.00	USD	16,275.00	
06SEP17	B	2	PD06DEC17	2345.50	2561.00	USD	10,775.00	
06SEP17	B	1	PD06DEC17	2346.00	2561.00	USD	5,375.00	
06SEP17	B	1	PD06DEC17	2344.50	2561.00	USD	5,412.50	
08SEP17	S	10	PD06DEC17	2264.80	2561.00	USD	-74,050.00	
08SEP17	S	2	PD06DEC17	2265.00	2561.00	USD	-14,800.00	
14SEP17	B	1	PD06DEC17	2304.72	2561.00	USD	6,407.00	
	NET	0 AVG BGHT 2343.77	SOLD 2312.33				-33,805.50	0.00
07SEP17	S	2	PD07DEC17	2343.50	2561.25	USD	-10,887.50	
07SEP17	S	2	PD07DEC17	2341.50	2561.25	USD	-10,987.50	
07SEP17	B	1	PD07DEC17	2332.00	2561.25	USD	5,731.25	
07SEP17	B	2	PD07DEC17	2334.50	2561.25	USD	11,337.50	
07SEP17	B	2	PD07DEC17	2333.50	2561.25	USD	11,387.50	
07SEP17	S	2	PD07DEC17	2336.00	2561.25	USD	-11,262.50	
07SEP17	B	1	PD07DEC17	2340.50	2561.25	USD	5,518.75	
07SEP17	B	1	PD07DEC17	2338.50	2561.25	USD	5,568.75	
07SEP17	B	3	PD07DEC17	2335.50	2561.25	USD	16,931.25	
07SEP17	B	4	PD07DEC17	2331.50	2561.25	USD	22,975.00	
07SEP17	B	4	PD07DEC17	2330.50	2561.25	USD	23,075.00	
07SEP17	B	4	PD07DEC17	2331.00	2561.25	USD	23,025.00	
07SEP17	B	2	PD07DEC17	2332.50	2561.25	USD	11,437.50	
07SEP17	B	5	PD07DEC17	2333.00	2561.25	USD	28,531.25	
07SEP17	B	1	PD07DEC17	2330.00	2561.25	USD	5,781.25	
07SEP17	B	3	PD07DEC17	2329.00	2561.25	USD	17,418.75	
07SEP17	B	1	PD07DEC17	2328.00	2561.25	USD	5,831.25	
07SEP17	B	2	PD07DEC17	2321.00	2561.25	USD	12,012.50	
07SEP17	S	1	PD07DEC17	2331.00	2561.25	USD	-5,756.25	
07SEP17	S	1	PD07DEC17	2330.50	2561.25	USD	-5,768.75	
07SEP17	S	1	PD07DEC17	2333.00	2561.25	USD	-5,706.25	
07SEP17	S	1	PD07DEC17	2335.00	2561.25	USD	-5,656.25	
07SEP17	S	3	PD07DEC17	2334.50	2561.25	USD	-17,006.25	
07SEP17	S	3	PD07DEC17	2344.00	2561.25	USD	-16,293.75	
07SEP17	S	2	PD07DEC17	2342.50	2561.25	USD	-10,937.50	
07SEP17	S	2	PD07DEC17	2338.50	2561.25	USD	-11,137.50	
07SEP17	B	1	PD07DEC17	2340.00	2561.25	USD	5,531.25	
07SEP17	S	3	PD07DEC17	2337.50	2561.25	USD	-16,781.25	
07SEP17	S	5	PD07DEC17	2336.50	2561.25	USD	-28,093.75	
07SEP17	S	3	PD07DEC17	2337.00	2561.25	USD	-16,818.75	
07SEP17	S	2	PD07DEC17	2342.00	2561.25	USD	-10,962.50	

OPEN POSITION STATEMENT



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SAP0112D

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07SEP17	S	3	PD07DEC17	2338.00	2561.25	USD	-16,743.75	
07SEP17	S	4	PD07DEC17	2339.00	2561.25	USD	-22,225.00	
07SEP17	S	4	PD07DEC17	2340.00	2561.25	USD	-22,125.00	
07SEP17	S	5	PD07DEC17	2339.50	2561.25	USD	-27,718.75	
07SEP17	S	1	PD07DEC17	2340.50	2561.25	USD	-5,518.75	
07SEP17	S	5	PD07DEC17	2341.00	2561.25	USD	-27,531.25	
07SEP17	S	2	PD07DEC17	2345.00	2561.25	USD	-10,812.50	
07SEP17	S	1	PD07DEC17	2346.50	2561.25	USD	-5,368.75	
07SEP17	S	1	PD07DEC17	2350.50	2561.25	USD	-5,268.75	
07SEP17	S	1	PD07DEC17	2352.00	2561.25	USD	-5,231.25	
07SEP17	S	1	PD07DEC17	2352.50	2561.25	USD	-5,218.75	
07SEP17	S	1	PD07DEC17	2354.50	2561.25	USD	-5,168.75	
07SEP17	S	1	PD07DEC17	2356.00	2561.25	USD	-5,131.25	
07SEP17	S	3	PD07DEC17	2355.50	2561.25	USD	-15,431.25	
07SEP17	S	1	PD07DEC17	2360.50	2561.25	USD	-5,018.75	
07SEP17	S	1	PD07DEC17	2362.00	2561.25	USD	-4,981.25	
07SEP17	B	1	PD07DEC17	2349.50	2561.25	USD	5,293.75	
07SEP17	S	1	PD07DEC17	2355.00	2561.25	USD	-5,156.25	
07SEP17	B	1	PD07DEC17	2345.00	2561.25	USD	5,406.25	
07SEP17	B	4	PD07DEC17	2339.50	2561.25	USD	22,175.00	
07SEP17	B	2	PD07DEC17	2337.50	2561.25	USD	11,187.50	
07SEP17	B	3	PD07DEC17	2339.00	2561.25	USD	16,668.75	
07SEP17	B	1	PD07DEC17	2343.00	2561.25	USD	5,456.25	
07SEP17	B	2	PD07DEC17	2342.00	2561.25	USD	10,962.50	
07SEP17	B	1	PD07DEC17	2341.50	2561.25	USD	5,493.75	
07SEP17	B	1	PD07DEC17	2345.50	2561.25	USD	5,393.75	
07SEP17	B	2	PD07DEC17	2346.00	2561.25	USD	10,762.50	
07SEP17	B	1	PD07DEC17	2344.00	2561.25	USD	5,431.25	
07SEP17	S	2	PD07DEC17	2346.00	2561.25	USD	-10,762.50	
07SEP17	B	2	PD07DEC17	2347.00	2561.25	USD	10,712.50	
07SEP17	B	2	PD07DEC17	2347.50	2561.25	USD	10,687.50	
07SEP17	B	1	PD07DEC17	2348.00	2561.25	USD	5,331.25	
07SEP17	B	2	PD07DEC17	2346.50	2561.25	USD	10,737.50	
07SEP17	B	1	PD07DEC17	2344.00	2561.25	USD	5,431.25	
07SEP17	B	1	PD07DEC17	2346.00	2561.25	USD	5,381.25	
08SEP17	B	2	PD07DEC17	2265.20	2561.25	USD	14,802.50	
13SEP17	B	1	PD07DEC17	2288.11	2561.25	USD	6,828.50	
14SEP17	B	3	PD07DEC17	2305.01	2561.25	USD	19,218.00	
	NET	0AVG	BGHT 2332.82	SOLD 2341.83			15,986.50	0.00
08SEP17	B	1	PD08DEC17	2356.00	2561.50	USD	5,137.50	
08SEP17	B	1	PD08DEC17	2354.50	2561.50	USD	5,175.00	
08SEP17	B	1	PD08DEC17	2354.00	2561.50	USD	5,187.50	
08SEP17	B	2	PD08DEC17	2352.00	2561.50	USD	10,475.00	
08SEP17	S	1	PD08DEC17	2347.00	2561.50	USD	-5,362.50	
08SEP17	S	1	PD08DEC17	2341.50	2561.50	USD	-5,500.00	
08SEP17	S	1	PD08DEC17	2342.50	2561.50	USD	-5,475.00	
08SEP17	S	1	PD08DEC17	2337.50	2561.50	USD	-5,600.00	
08SEP17	S	1	PD08DEC17	2339.50	2561.50	USD	-5,550.00	
08SEP17	S	4	PD08DEC17	2338.50	2561.50	USD	-22,300.00	
08SEP17	S	1	PD08DEC17	2338.00	2561.50	USD	-5,587.50	
08SEP17	S	2	PD08DEC17	2335.50	2561.50	USD	-11,300.00	
08SEP17	S	1	PD08DEC17	2330.50	2561.50	USD	-5,775.00	
08SEP17	S	1	PD08DEC17	2332.00	2561.50	USD	-5,737.50	
08SEP17	B	2	PD08DEC17	2325.50	2561.50	USD	11,800.00	
08SEP17	B	1	PD08DEC17	2321.00	2561.50	USD	6,012.50	
08SEP17	S	1	PD08DEC17	2318.00	2561.50	USD	-6,087.50	
08SEP17	S	1	PD08DEC17	2319.00	2561.50	USD	-6,062.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	B	1	PD08DEC17	2318.00	2561.50	USD	6,087.50	
08SEP17	S	1	PD08DEC17	2321.50	2561.50	USD	-6,000.00	
08SEP17	S	2	PD08DEC17	2317.50	2561.50	USD	-12,200.00	
08SEP17	S	1	PD08DEC17	2323.50	2561.50	USD	-5,950.00	
08SEP17	B	1	PD08DEC17	2316.00	2561.50	USD	6,137.50	
08SEP17	B	2	PD08DEC17	2315.50	2561.50	USD	12,300.00	
08SEP17	B	3	PD08DEC17	2314.50	2561.50	USD	18,525.00	
08SEP17	B	1	PD08DEC17	2313.00	2561.50	USD	6,212.50	
08SEP17	B	3	PD08DEC17	2311.50	2561.50	USD	18,750.00	
08SEP17	B	1	PD08DEC17	2309.50	2561.50	USD	6,300.00	
08SEP17	B	1	PD08DEC17	2309.00	2561.50	USD	6,312.50	
08SEP17	B	1	PD08DEC17	2298.00	2561.50	USD	6,587.50	
08SEP17	S	1	PD08DEC17	2302.50	2561.50	USD	-6,475.00	
08SEP17	S	1	PD08DEC17	2309.00	2561.50	USD	-6,312.50	
08SEP17	S	3	PD08DEC17	2308.50	2561.50	USD	-18,975.00	
08SEP17	S	2	PD08DEC17	2308.00	2561.50	USD	-12,675.00	
08SEP17	B	1	PD08DEC17	2306.50	2561.50	USD	6,375.00	
08SEP17	B	1	PD08DEC17	2306.00	2561.50	USD	6,387.50	
08SEP17	B	2	PD08DEC17	2307.50	2561.50	USD	12,700.00	
08SEP17	B	3	PD08DEC17	2304.00	2561.50	USD	19,312.50	
08SEP17	B	1	PD08DEC17	2301.00	2561.50	USD	6,512.50	
08SEP17	B	3	PD08DEC17	2298.50	2561.50	USD	19,725.00	
08SEP17	B	1	PD08DEC17	2303.50	2561.50	USD	6,450.00	
08SEP17	S	2	PD08DEC17	2305.50	2561.50	USD	-12,800.00	
08SEP17	S	1	PD08DEC17	2306.50	2561.50	USD	-6,375.00	
08SEP17	B	1	PD08DEC17	2305.00	2561.50	USD	6,412.50	
08SEP17	B	2	PD08DEC17	2302.50	2561.50	USD	12,950.00	
08SEP17	B	3	PD08DEC17	2301.50	2561.50	USD	19,500.00	
08SEP17	B	1	PD08DEC17	2300.00	2561.50	USD	6,537.50	
08SEP17	B	1	PD08DEC17	2299.50	2561.50	USD	6,550.00	
08SEP17	B	1	PD08DEC17	2299.00	2561.50	USD	6,562.50	
08SEP17	B	2	PD08DEC17	2296.00	2561.50	USD	13,275.00	
08SEP17	B	1	PD08DEC17	2296.50	2561.50	USD	6,625.00	
08SEP17	B	1	PD08DEC17	2293.00	2561.50	USD	6,712.50	
08SEP17	B	1	PD08DEC17	2356.50	2561.50	USD	5,125.00	
08SEP17	B	1	PD08DEC17	2340.00	2561.50	USD	5,537.50	
08SEP17	B	1	PD08DEC17	2321.50	2561.50	USD	6,000.00	
08SEP17	B	1	PD08DEC17	2320.50	2561.50	USD	6,025.00	
08SEP17	B	1	PD08DEC17	2317.00	2561.50	USD	6,112.50	
08SEP17	S	1	PD08DEC17	2300.50	2561.50	USD	-6,525.00	
08SEP17	S	2	PD08DEC17	2291.50	2561.50	USD	-13,500.00	
08SEP17	S	1	PD08DEC17	2295.00	2561.50	USD	-6,662.50	
08SEP17	S	1	PD08DEC17	2294.50	2561.50	USD	-6,675.00	
08SEP17	S	2	PD08DEC17	2295.50	2561.50	USD	-13,300.00	
08SEP17	S	1	PD08DEC17	2292.50	2561.50	USD	-6,725.00	
08SEP17	S	1	PD08DEC17	2293.00	2561.50	USD	-6,712.50	
08SEP17	S	1	PD08DEC17	2294.00	2561.50	USD	-6,687.50	
08SEP17	S	2	PD08DEC17	2293.50	2561.50	USD	-13,400.00	
08SEP17	S	1	PD08DEC17	2297.00	2561.50	USD	-6,612.50	
08SEP17	S	5	PD08DEC17	2298.50	2561.50	USD	-32,875.00	
08SEP17	S	2	PD08DEC17	2298.00	2561.50	USD	-13,175.00	
08SEP17	S	4	PD08DEC17	2299.50	2561.50	USD	-26,200.00	
08SEP17	S	4	PD08DEC17	2307.00	2561.50	USD	-25,450.00	
08SEP17	S	6	PD08DEC17	2300.00	2561.50	USD	-39,225.00	
08SEP17	S	3	PD08DEC17	2297.50	2561.50	USD	-19,800.00	
08SEP17	B	1	PD08DEC17	2286.00	2561.50	USD	6,887.50	
08SEP17	B	1	PD08DEC17	2283.50	2561.50	USD	6,950.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	S	1	PD08DEC17	2279.50	2561.50	USD	-7,050.00	
08SEP17	B	1	PD08DEC17	2279.00	2561.50	USD	7,062.50	
08SEP17	S	1	PD08DEC17	2286.00	2561.50	USD	-6,887.50	
08SEP17	S	1	PD08DEC17	2287.00	2561.50	USD	-6,862.50	
08SEP17	B	1	PD08DEC17	2275.50	2561.50	USD	7,150.00	
08SEP17	B	1	PD08DEC17	2267.50	2561.50	USD	7,350.00	
08SEP17	B	1	PD08DEC17	2269.00	2561.50	USD	7,312.50	
08SEP17	B	2	PD08DEC17	2268.00	2561.50	USD	14,675.00	
08SEP17	S	1	PD08DEC17	2269.00	2561.50	USD	-7,312.50	
08SEP17	B	4	PD08DEC17	2266.50	2561.50	USD	29,500.00	
08SEP17	B	3	PD08DEC17	2266.00	2561.50	USD	22,162.50	
14SEP17	B	1	PD08DEC17	2305.29	2561.50	USD	6,405.25	
15SEP17	B	2	PD08DEC17	2357.08	2561.50	USD	10,221.00	
19SEP17	B	1	PD08DEC17	2418.50	2561.50	USD	3,575.00	
	NET	0AVG BGHT 2307.06	SOLD 2308.13				1,901.25	0.00
11SEP17	S	1	PD11DEC17	2275.50	2562.25	USD	-7,168.75	
11SEP17	S	1	PD11DEC17	2274.50	2562.25	USD	-7,193.75	
11SEP17	S	1	PD11DEC17	2274.00	2562.25	USD	-7,206.25	
11SEP17	B	1	PD11DEC17	2268.50	2562.25	USD	7,343.75	
11SEP17	S	1	PD11DEC17	2268.00	2562.25	USD	-7,356.25	
11SEP17	S	1	PD11DEC17	2268.50	2562.25	USD	-7,343.75	
11SEP17	S	3	PD11DEC17	2267.50	2562.25	USD	-22,106.25	
11SEP17	B	4	PD11DEC17	2270.50	2562.25	USD	29,175.00	
11SEP17	B	2	PD11DEC17	2270.00	2562.25	USD	14,612.50	
11SEP17	B	7	PD11DEC17	2269.50	2562.25	USD	51,231.25	
11SEP17	S	3	PD11DEC17	2285.00	2562.25	USD	-20,793.75	
11SEP17	B	4	PD11DEC17	2280.00	2562.25	USD	28,225.00	
11SEP17	B	1	PD11DEC17	2279.00	2562.25	USD	7,081.25	
11SEP17	B	1	PD11DEC17	2276.00	2562.25	USD	7,156.25	
11SEP17	B	4	PD11DEC17	2276.50	2562.25	USD	28,575.00	
11SEP17	B	2	PD11DEC17	2272.50	2562.25	USD	14,487.50	
11SEP17	B	1	PD11DEC17	2272.00	2562.25	USD	7,256.25	
11SEP17	S	1	PD11DEC17	2281.50	2562.25	USD	-7,018.75	
11SEP17	S	1	PD11DEC17	2288.00	2562.25	USD	-6,856.25	
11SEP17	S	1	PD11DEC17	2288.50	2562.25	USD	-6,843.75	
11SEP17	S	2	PD11DEC17	2289.50	2562.25	USD	-13,637.50	
11SEP17	S	1	PD11DEC17	2291.50	2562.25	USD	-6,768.75	
11SEP17	B	1	PD11DEC17	2293.50	2562.25	USD	6,718.75	
11SEP17	S	2	PD11DEC17	2293.50	2562.25	USD	-13,437.50	
11SEP17	S	5	PD11DEC17	2295.00	2562.25	USD	-33,406.25	
11SEP17	S	5	PD11DEC17	2296.00	2562.25	USD	-33,281.25	
11SEP17	S	2	PD11DEC17	2297.00	2562.25	USD	-13,262.50	
11SEP17	S	1	PD11DEC17	2297.50	2562.25	USD	-6,618.75	
11SEP17	S	3	PD11DEC17	2295.50	2562.25	USD	-20,006.25	
11SEP17	S	4	PD11DEC17	2292.50	2562.25	USD	-26,975.00	
11SEP17	S	3	PD11DEC17	2287.50	2562.25	USD	-20,606.25	
11SEP17	S	4	PD11DEC17	2287.00	2562.25	USD	-27,525.00	
11SEP17	S	1	PD11DEC17	2283.00	2562.25	USD	-6,981.25	
11SEP17	S	2	PD11DEC17	2283.50	2562.25	USD	-13,937.50	
11SEP17	S	1	PD11DEC17	2287.00	2562.25	USD	-6,881.25	
11SEP17	S	2	PD11DEC17	2287.50	2562.25	USD	-13,737.50	
11SEP17	S	1	PD11DEC17	2291.50	2562.25	USD	-6,768.75	
11SEP17	S	1	PD11DEC17	2292.00	2562.25	USD	-6,756.25	
11SEP17	S	1	PD11DEC17	2277.00	2562.25	USD	-7,131.25	
11SEP17	S	1	PD11DEC17	2277.50	2562.25	USD	-7,118.75	
11SEP17	S	1	PD11DEC17	2280.00	2562.25	USD	-7,056.25	
11SEP17	B	1	PD11DEC17	2270.50	2562.25	USD	7,293.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	2294.00	2562.25	USD	-6,706.25	
11SEP17	B	1	PD11DEC17	2282.00	2562.25	USD	7,006.25	
11SEP17	B	4	PD11DEC17	2267.00	2562.25	USD	29,525.00	
11SEP17	S	1	PD11DEC17	2265.50	2562.25	USD	-7,418.75	
11SEP17	B	1	PD11DEC17	2264.00	2562.25	USD	7,456.25	
11SEP17	B	1	PD11DEC17	2262.50	2562.25	USD	7,493.75	
11SEP17	B	1	PD11DEC17	2260.50	2562.25	USD	7,543.75	
11SEP17	B	1	PD11DEC17	2257.50	2562.25	USD	7,618.75	
11SEP17	B	2	PD11DEC17	2260.00	2562.25	USD	15,112.50	
11SEP17	B	1	PD11DEC17	2261.50	2562.25	USD	7,518.75	
11SEP17	B	2	PD11DEC17	2261.00	2562.25	USD	15,062.50	
11SEP17	B	1	PD11DEC17	2265.50	2562.25	USD	7,418.75	
11SEP17	B	2	PD11DEC17	2266.50	2562.25	USD	14,787.50	
11SEP17	B	1	PD11DEC17	2264.50	2562.25	USD	7,443.75	
11SEP17	S	1	PD11DEC17	2273.50	2562.25	USD	-7,218.75	
11SEP17	B	1	PD11DEC17	2271.50	2562.25	USD	7,268.75	
11SEP17	B	2	PD11DEC17	2274.00	2562.25	USD	14,412.50	
11SEP17	B	1	PD11DEC17	2278.50	2562.25	USD	7,093.75	
11SEP17	B	3	PD11DEC17	2277.50	2562.25	USD	21,356.25	
11SEP17	B	1	PD11DEC17	2279.50	2562.25	USD	7,068.75	
11SEP17	B	3	PD11DEC17	2280.50	2562.25	USD	21,131.25	
11SEP17	B	1	PD11DEC17	2279.50	2562.25	USD	7,068.75	
11SEP17	B	1	PD11DEC17	2278.50	2562.25	USD	7,093.75	
13SEP17	S	1	PD11DEC17	2289.17	2562.25	USD	-6,827.00	
14SEP17	B	1	PD11DEC17	2306.15	2562.25	USD	6,402.50	
	NET	0	AVG BGHT 2272.39		SOLD 2286.87		22,088.00	0.00
12SEP17	S	1	PD12DEC17	2276.50	2562.50	USD	-7,150.00	
12SEP17	S	1	PD12DEC17	2273.50	2562.50	USD	-7,225.00	
12SEP17	S	1	PD12DEC17	2275.00	2562.50	USD	-7,187.50	
12SEP17	S	1	PD12DEC17	2273.00	2562.50	USD	-7,237.50	
12SEP17	S	3	PD12DEC17	2275.50	2562.50	USD	-21,525.00	
12SEP17	S	2	PD12DEC17	2276.00	2562.50	USD	-14,325.00	
12SEP17	S	3	PD12DEC17	2274.50	2562.50	USD	-21,600.00	
12SEP17	B	1	PD12DEC17	2279.00	2562.50	USD	7,087.50	
12SEP17	B	1	PD12DEC17	2278.50	2562.50	USD	7,100.00	
12SEP17	S	2	PD12DEC17	2281.00	2562.50	USD	-14,075.00	
12SEP17	S	1	PD12DEC17	2292.00	2562.50	USD	-6,762.50	
12SEP17	S	1	PD12DEC17	2290.00	2562.50	USD	-6,812.50	
12SEP17	S	3	PD12DEC17	2291.00	2562.50	USD	-20,362.50	
12SEP17	S	1	PD12DEC17	2291.50	2562.50	USD	-6,775.00	
12SEP17	S	1	PD12DEC17	2286.00	2562.50	USD	-6,912.50	
12SEP17	S	1	PD12DEC17	2284.50	2562.50	USD	-6,950.00	
12SEP17	B	6	PD12DEC17	2277.50	2562.50	USD	42,750.00	
12SEP17	B	2	PD12DEC17	2276.50	2562.50	USD	14,300.00	
12SEP17	B	3	PD12DEC17	2275.00	2562.50	USD	21,562.50	
12SEP17	B	1	PD12DEC17	2274.00	2562.50	USD	7,212.50	
12SEP17	B	6	PD12DEC17	2274.50	2562.50	USD	43,200.00	
12SEP17	B	1	PD12DEC17	2273.50	2562.50	USD	7,225.00	
12SEP17	B	2	PD12DEC17	2273.00	2562.50	USD	14,475.00	
12SEP17	B	2	PD12DEC17	2272.50	2562.50	USD	14,500.00	
12SEP17	B	2	PD12DEC17	2271.50	2562.50	USD	14,550.00	
12SEP17	B	1	PD12DEC17	2271.00	2562.50	USD	7,287.50	
12SEP17	B	2	PD12DEC17	2270.00	2562.50	USD	14,625.00	
12SEP17	S	1	PD12DEC17	2267.50	2562.50	USD	-7,375.00	
12SEP17	S	2	PD12DEC17	2269.50	2562.50	USD	-14,650.00	
12SEP17	S	3	PD12DEC17	2270.00	2562.50	USD	-21,937.50	
12SEP17	S	1	PD12DEC17	2272.50	2562.50	USD	-7,250.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	2264.00	2562.50	USD	7,462.50	
12SEP17	B	1	PD12DEC17	2263.50	2562.50	USD	7,475.00	
12SEP17	B	3	PD12DEC17	2264.50	2562.50	USD	22,350.00	
12SEP17	B	1	PD12DEC17	2262.50	2562.50	USD	7,500.00	
12SEP17	B	1	PD12DEC17	2261.50	2562.50	USD	7,525.00	
12SEP17	B	1	PD12DEC17	2259.00	2562.50	USD	7,587.50	
12SEP17	B	3	PD12DEC17	2261.00	2562.50	USD	22,612.50	
12SEP17	B	1	PD12DEC17	2260.00	2562.50	USD	7,562.50	
12SEP17	B	2	PD12DEC17	2258.50	2562.50	USD	15,200.00	
12SEP17	S	1	PD12DEC17	2258.00	2562.50	USD	-7,612.50	
12SEP17	S	2	PD12DEC17	2260.50	2562.50	USD	-15,100.00	
12SEP17	S	1	PD12DEC17	2263.50	2562.50	USD	-7,475.00	
12SEP17	B	1	PD12DEC17	2257.50	2562.50	USD	7,625.00	
12SEP17	B	1	PD12DEC17	2257.00	2562.50	USD	7,637.50	
12SEP17	S	3	PD12DEC17	2266.50	2562.50	USD	-22,200.00	
12SEP17	S	1	PD12DEC17	2267.00	2562.50	USD	-7,387.50	
12SEP17	S	2	PD12DEC17	2271.00	2562.50	USD	-14,575.00	
12SEP17	S	1	PD12DEC17	2270.50	2562.50	USD	-7,300.00	
12SEP17	S	3	PD12DEC17	2274.00	2562.50	USD	-21,637.50	
12SEP17	S	1	PD12DEC17	2279.00	2562.50	USD	-7,087.50	
12SEP17	S	1	PD12DEC17	2287.50	2562.50	USD	-6,875.00	
12SEP17	S	2	PD12DEC17	2285.00	2562.50	USD	-13,875.00	
12SEP17	B	3	PD12DEC17	2280.50	2562.50	USD	21,150.00	
12SEP17	B	4	PD12DEC17	2281.00	2562.50	USD	28,150.00	
12SEP17	S	2	PD12DEC17	2285.50	2562.50	USD	-13,850.00	
12SEP17	S	2	PD12DEC17	2288.00	2562.50	USD	-13,725.00	
12SEP17	S	1	PD12DEC17	2289.00	2562.50	USD	-6,837.50	
12SEP17	S	2	PD12DEC17	2289.50	2562.50	USD	-13,650.00	
12SEP17	S	2	PD12DEC17	2293.00	2562.50	USD	-13,475.00	
12SEP17	S	2	PD12DEC17	2286.50	2562.50	USD	-13,800.00	
12SEP17	S	1	PD12DEC17	2294.50	2562.50	USD	-6,700.00	
12SEP17	S	1	PD12DEC17	2294.00	2562.50	USD	-6,712.50	
12SEP17	S	1	PD12DEC17	2297.50	2562.50	USD	-6,625.00	
12SEP17	S	1	PD12DEC17	2299.50	2562.50	USD	-6,575.00	
12SEP17	S	1	PD12DEC17	2300.00	2562.50	USD	-6,562.50	
12SEP17	S	4	PD12DEC17	2298.00	2562.50	USD	-26,450.00	
12SEP17	S	2	PD12DEC17	2299.00	2562.50	USD	-13,175.00	
12SEP17	S	1	PD12DEC17	2301.00	2562.50	USD	-6,537.50	
12SEP17	S	2	PD12DEC17	2309.00	2562.50	USD	-12,675.00	
12SEP17	S	1	PD12DEC17	2308.00	2562.50	USD	-6,362.50	
12SEP17	S	1	PD12DEC17	2304.00	2562.50	USD	-6,462.50	
12SEP17	S	2	PD12DEC17	2305.50	2562.50	USD	-12,850.00	
12SEP17	S	1	PD12DEC17	2320.00	2562.50	USD	-6,062.50	
12SEP17	B	2	PD12DEC17	2310.00	2562.50	USD	12,625.00	
12SEP17	B	1	PD12DEC17	2309.00	2562.50	USD	6,337.50	
12SEP17	S	3	PD12DEC17	2304.50	2562.50	USD	-19,350.00	
12SEP17	S	1	PD12DEC17	2287.00	2562.50	USD	-6,887.50	
12SEP17	S	1	PD12DEC17	2288.00	2562.50	USD	-6,862.50	
12SEP17	B	1	PD12DEC17	2305.50	2562.50	USD	6,425.00	
12SEP17	B	2	PD12DEC17	2306.00	2562.50	USD	12,825.00	
12SEP17	B	2	PD12DEC17	2305.00	2562.50	USD	12,875.00	
12SEP17	B	1	PD12DEC17	2307.50	2562.50	USD	6,375.00	
12SEP17	B	3	PD12DEC17	2308.00	2562.50	USD	19,087.50	
12SEP17	S	1	PD12DEC17	2308.50	2562.50	USD	-6,350.00	
14SEP17	B	12	PD12DEC17	2306.43	2562.50	USD	76,821.00	
15SEP17	B	6	PD12DEC17	2358.18	2562.50	USD	30,648.00	
	NET	0AVG BGHT 2287.93		SOLD 2285.02			-6,043.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	2297.00	2562.75	USD	-6,643.75	
13SEP17	S	1	PD13DEC17	2298.00	2562.75	USD	-6,618.75	
13SEP17	B	1	PD13DEC17	2291.00	2562.75	USD	6,793.75	
13SEP17	B	3	PD13DEC17	2290.00	2562.75	USD	20,456.25	
13SEP17	B	2	PD13DEC17	2289.00	2562.75	USD	13,687.50	
13SEP17	B	1	PD13DEC17	2286.00	2562.75	USD	6,918.75	
13SEP17	B	1	PD13DEC17	2284.50	2562.75	USD	6,956.25	
13SEP17	B	5	PD13DEC17	2285.50	2562.75	USD	34,656.25	
13SEP17	B	1	PD13DEC17	2287.00	2562.75	USD	6,893.75	
13SEP17	B	3	PD13DEC17	2290.50	2562.75	USD	20,418.75	
13SEP17	B	2	PD13DEC17	2286.50	2562.75	USD	13,812.50	
13SEP17	B	1	PD13DEC17	2283.50	2562.75	USD	6,981.25	
13SEP17	B	1	PD13DEC17	2282.00	2562.75	USD	7,018.75	
13SEP17	B	1	PD13DEC17	2278.00	2562.75	USD	7,118.75	
13SEP17	B	1	PD13DEC17	2276.50	2562.75	USD	7,156.25	
13SEP17	B	1	PD13DEC17	2276.00	2562.75	USD	7,168.75	
13SEP17	B	1	PD13DEC17	2275.50	2562.75	USD	7,181.25	
13SEP17	S	2	PD13DEC17	2295.00	2562.75	USD	-13,387.50	
13SEP17	S	1	PD13DEC17	2296.50	2562.75	USD	-6,656.25	
13SEP17	S	3	PD13DEC17	2296.00	2562.75	USD	-20,006.25	
13SEP17	S	1	PD13DEC17	2299.00	2562.75	USD	-6,593.75	
13SEP17	S	1	PD13DEC17	2300.00	2562.75	USD	-6,568.75	
13SEP17	S	1	PD13DEC17	2301.50	2562.75	USD	-6,531.25	
13SEP17	S	1	PD13DEC17	2309.00	2562.75	USD	-6,343.75	
13SEP17	S	2	PD13DEC17	2305.50	2562.75	USD	-12,862.50	
13SEP17	S	3	PD13DEC17	2306.00	2562.75	USD	-19,256.25	
13SEP17	S	2	PD13DEC17	2303.50	2562.75	USD	-12,962.50	
13SEP17	S	2	PD13DEC17	2304.50	2562.75	USD	-12,912.50	
13SEP17	S	1	PD13DEC17	2306.50	2562.75	USD	-6,406.25	
13SEP17	S	1	PD13DEC17	2307.50	2562.75	USD	-6,381.25	
13SEP17	S	2	PD13DEC17	2307.00	2562.75	USD	-12,787.50	
13SEP17	S	1	PD13DEC17	2308.50	2562.75	USD	-6,356.25	
13SEP17	S	3	PD13DEC17	2299.50	2562.75	USD	-19,743.75	
13SEP17	S	1	PD13DEC17	2300.50	2562.75	USD	-6,556.25	
13SEP17	S	1	PD13DEC17	2302.50	2562.75	USD	-6,506.25	
13SEP17	S	1	PD13DEC17	2304.00	2562.75	USD	-6,468.75	
13SEP17	B	3	PD13DEC17	2292.50	2562.75	USD	20,268.75	
13SEP17	B	1	PD13DEC17	2298.00	2562.75	USD	6,618.75	
13SEP17	B	2	PD13DEC17	2292.00	2562.75	USD	13,537.50	
13SEP17	B	3	PD13DEC17	2296.00	2562.75	USD	20,006.25	
13SEP17	B	1	PD13DEC17	2294.00	2562.75	USD	6,718.75	
13SEP17	B	2	PD13DEC17	2295.00	2562.75	USD	13,387.50	
13SEP17	B	5	PD13DEC17	2294.50	2562.75	USD	33,531.25	
13SEP17	B	4	PD13DEC17	2291.50	2562.75	USD	27,125.00	
13SEP17	B	3	PD13DEC17	2293.00	2562.75	USD	20,231.25	
13SEP17	B	2	PD13DEC17	2293.50	2562.75	USD	13,462.50	
13SEP17	B	3	PD13DEC17	2296.50	2562.75	USD	19,968.75	
13SEP17	B	2	PD13DEC17	2299.00	2562.75	USD	13,187.50	
13SEP17	B	1	PD13DEC17	2289.00	2562.75	USD	6,843.75	
13SEP17	S	2	PD13DEC17	2299.00	2562.75	USD	-13,187.50	
13SEP17	B	1	PD13DEC17	2298.00	2562.75	USD	6,618.75	
14SEP17	S	18	PD13DEC17	2306.52	2562.75	USD	-115,303.50	
15SEP17	S	6	PD13DEC17	2358.25	2562.75	USD	-30,675.00	
	NET	0	AVG BGHT 2290.53	SOLD 2309.15			27,009.00	0.00
14SEP17	B	3	PD14DEC17	2300.00	2563.00	USD	19,725.00	
14SEP17	B	1	PD14DEC17	2294.50	2563.00	USD	6,712.50	
14SEP17	B	1	PD14DEC17	2286.50	2563.00	USD	6,912.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	2287.50	2563.00	USD	-6,887.50	
14SEP17	B	1	PD14DEC17	2291.00	2563.00	USD	6,800.00	
14SEP17	S	1	PD14DEC17	2287.00	2563.00	USD	-6,900.00	
14SEP17	S	1	PD14DEC17	2288.50	2563.00	USD	-6,862.50	
14SEP17	S	1	PD14DEC17	2289.00	2563.00	USD	-6,850.00	
14SEP17	S	3	PD14DEC17	2289.50	2563.00	USD	-20,512.50	
14SEP17	S	2	PD14DEC17	2290.00	2563.00	USD	-13,650.00	
14SEP17	S	2	PD14DEC17	2290.50	2563.00	USD	-13,625.00	
14SEP17	S	2	PD14DEC17	2292.50	2563.00	USD	-13,525.00	
14SEP17	S	2	PD14DEC17	2292.00	2563.00	USD	-13,550.00	
14SEP17	S	1	PD14DEC17	2294.50	2563.00	USD	-6,712.50	
14SEP17	S	1	PD14DEC17	2295.50	2563.00	USD	-6,687.50	
14SEP17	S	1	PD14DEC17	2293.50	2563.00	USD	-6,737.50	
14SEP17	S	4	PD14DEC17	2293.00	2563.00	USD	-27,000.00	
14SEP17	S	1	PD14DEC17	2291.00	2563.00	USD	-6,800.00	
14SEP17	B	1	PD14DEC17	2285.00	2563.00	USD	6,950.00	
14SEP17	B	3	PD14DEC17	2285.50	2563.00	USD	20,812.50	
14SEP17	B	1	PD14DEC17	2284.50	2563.00	USD	6,962.50	
14SEP17	B	4	PD14DEC17	2282.00	2563.00	USD	28,100.00	
14SEP17	B	3	PD14DEC17	2279.00	2563.00	USD	21,300.00	
14SEP17	B	1	PD14DEC17	2278.50	2563.00	USD	7,112.50	
14SEP17	B	3	PD14DEC17	2273.50	2563.00	USD	21,712.50	
14SEP17	B	1	PD14DEC17	2272.50	2563.00	USD	7,262.50	
14SEP17	B	2	PD14DEC17	2273.00	2563.00	USD	14,500.00	
14SEP17	B	1	PD14DEC17	2276.00	2563.00	USD	7,175.00	
14SEP17	B	2	PD14DEC17	2277.00	2563.00	USD	14,300.00	
14SEP17	B	3	PD14DEC17	2274.50	2563.00	USD	21,637.50	
14SEP17	B	1	PD14DEC17	2275.50	2563.00	USD	7,187.50	
14SEP17	S	1	PD14DEC17	2284.50	2563.00	USD	-6,962.50	
14SEP17	B	5	PD14DEC17	2275.00	2563.00	USD	36,000.00	
14SEP17	B	2	PD14DEC17	2274.00	2563.00	USD	14,450.00	
14SEP17	B	2	PD14DEC17	2286.00	2563.00	USD	13,850.00	
14SEP17	S	2	PD14DEC17	2302.50	2563.00	USD	-13,025.00	
14SEP17	S	1	PD14DEC17	2303.00	2563.00	USD	-6,500.00	
14SEP17	S	1	PD14DEC17	2303.50	2563.00	USD	-6,487.50	
14SEP17	S	5	PD14DEC17	2304.00	2563.00	USD	-32,375.00	
14SEP17	S	2	PD14DEC17	2305.00	2563.00	USD	-12,900.00	
14SEP17	S	1	PD14DEC17	2305.50	2563.00	USD	-6,437.50	
14SEP17	S	1	PD14DEC17	2306.00	2563.00	USD	-6,425.00	
14SEP17	S	1	PD14DEC17	2307.00	2563.00	USD	-6,400.00	
14SEP17	B	1	PD14DEC17	2307.00	2563.00	USD	6,400.00	
14SEP17	S	2	PD14DEC17	2306.50	2563.00	USD	-12,825.00	
14SEP17	S	1	PD14DEC17	2310.50	2563.00	USD	-6,312.50	
14SEP17	B	1	PD14DEC17	2313.00	2563.00	USD	6,250.00	
14SEP17	S	1	PD14DEC17	2313.50	2563.00	USD	-6,237.50	
14SEP17	S	1	PD14DEC17	2314.50	2563.00	USD	-6,212.50	
14SEP17	S	1	PD14DEC17	2316.00	2563.00	USD	-6,175.00	
14SEP17	S	2	PD14DEC17	2319.00	2563.00	USD	-12,200.00	
14SEP17	S	3	PD14DEC17	2318.00	2563.00	USD	-18,375.00	
14SEP17	S	2	PD14DEC17	2312.50	2563.00	USD	-12,525.00	
14SEP17	B	3	PD14DEC17	2305.00	2563.00	USD	19,350.00	
14SEP17	B	1	PD14DEC17	2307.50	2563.00	USD	6,387.50	
14SEP17	B	1	PD14DEC17	2312.00	2563.00	USD	6,275.00	
14SEP17	S	1	PD14DEC17	2316.50	2563.00	USD	-6,162.50	
14SEP17	B	3	PD14DEC17	2308.50	2563.00	USD	19,087.50	
14SEP17	B	3	PD14DEC17	2311.00	2563.00	USD	18,900.00	
14SEP17	B	1	PD14DEC17	2309.50	2563.00	USD	6,337.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	2304.50	2563.00	USD	6,462.50	
14SEP17	B	5	PD14DEC17	2306.00	2563.00	USD	32,125.00	
14SEP17	B	2	PD14DEC17	2303.00	2563.00	USD	13,000.00	
14SEP17	B	1	PD14DEC17	2304.00	2563.00	USD	6,475.00	
14SEP17	S	2	PD14DEC17	2304.50	2563.00	USD	-12,925.00	
14SEP17	S	1	PD14DEC17	2308.50	2563.00	USD	-6,362.50	
14SEP17	S	1	PD14DEC17	2313.00	2563.00	USD	-6,250.00	
14SEP17	B	1	PD14DEC17	2310.00	2563.00	USD	6,325.00	
14SEP17	B	1	PD14DEC17	2308.00	2563.00	USD	6,375.00	
14SEP17	B	1	PD14DEC17	2293.00	2563.00	USD	6,750.00	
14SEP17	B	1	PD14DEC17	2289.00	2563.00	USD	6,850.00	
14SEP17	B	1	PD14DEC17	2288.50	2563.00	USD	6,862.50	
14SEP17	S	1	PD14DEC17	2309.50	2563.00	USD	-6,337.50	
14SEP17	S	3	PD14DEC17	2323.00	2563.00	USD	-18,000.00	
14SEP17	B	1	PD14DEC17	2324.00	2563.00	USD	5,975.00	
14SEP17	B	1	PD14DEC17	2325.00	2563.00	USD	5,950.00	
14SEP17	B	1	PD14DEC17	2323.00	2563.00	USD	6,000.00	
14SEP17	B	1	PD14DEC17	2324.50	2563.00	USD	5,962.50	
15SEP17	S	2	PD14DEC17	2358.53	2563.00	USD	-10,223.50	
19SEP17	S	1	PD14DEC17	2419.80	2563.00	USD	-3,580.00	
19SEP17	S	10	PD14DEC17	2420.00	2563.00	USD	-35,750.00	
	NET	0	AVG BGHT 2292.55	SOLD 2321.76			53,296.50	0.00
15SEP17	S	1	PD15DEC17	2341.00	2563.25	USD	-5,556.25	
15SEP17	S	4	PD15DEC17	2325.00	2563.25	USD	-23,825.00	
15SEP17	S	3	PD15DEC17	2323.50	2563.25	USD	-17,981.25	
15SEP17	S	1	PD15DEC17	2322.50	2563.25	USD	-6,018.75	
15SEP17	S	2	PD15DEC17	2320.00	2563.25	USD	-12,162.50	
15SEP17	S	3	PD15DEC17	2320.50	2563.25	USD	-18,206.25	
15SEP17	S	4	PD15DEC17	2324.00	2563.25	USD	-23,925.00	
15SEP17	S	3	PD15DEC17	2325.50	2563.25	USD	-17,831.25	
15SEP17	B	1	PD15DEC17	2329.00	2563.25	USD	5,856.25	
15SEP17	B	1	PD15DEC17	2328.50	2563.25	USD	5,868.75	
15SEP17	B	1	PD15DEC17	2328.00	2563.25	USD	5,881.25	
15SEP17	B	1	PD15DEC17	2326.00	2563.25	USD	5,931.25	
15SEP17	B	1	PD15DEC17	2324.50	2563.25	USD	5,968.75	
15SEP17	B	1	PD15DEC17	2327.50	2563.25	USD	5,893.75	
15SEP17	B	9	PD15DEC17	2327.00	2563.25	USD	53,156.25	
15SEP17	B	4	PD15DEC17	2326.50	2563.25	USD	23,675.00	
15SEP17	B	2	PD15DEC17	2322.50	2563.25	USD	12,037.50	
15SEP17	S	3	PD15DEC17	2327.00	2563.25	USD	-17,718.75	
15SEP17	S	1	PD15DEC17	2326.50	2563.25	USD	-5,918.75	
15SEP17	B	3	PD15DEC17	2323.50	2563.25	USD	17,981.25	
15SEP17	B	1	PD15DEC17	2315.50	2563.25	USD	6,193.75	
15SEP17	B	2	PD15DEC17	2311.50	2563.25	USD	12,587.50	
15SEP17	B	2	PD15DEC17	2313.50	2563.25	USD	12,487.50	
15SEP17	B	1	PD15DEC17	2315.00	2563.25	USD	6,206.25	
15SEP17	B	1	PD15DEC17	2312.50	2563.25	USD	6,268.75	
15SEP17	S	2	PD15DEC17	2321.50	2563.25	USD	-12,087.50	
15SEP17	S	2	PD15DEC17	2321.00	2563.25	USD	-12,112.50	
15SEP17	S	2	PD15DEC17	2322.00	2563.25	USD	-12,062.50	
15SEP17	S	2	PD15DEC17	2323.00	2563.25	USD	-12,012.50	
15SEP17	S	4	PD15DEC17	2327.50	2563.25	USD	-23,575.00	
15SEP17	S	1	PD15DEC17	2328.50	2563.25	USD	-5,868.75	
15SEP17	S	2	PD15DEC17	2328.00	2563.25	USD	-11,762.50	
15SEP17	S	1	PD15DEC17	2329.00	2563.25	USD	-5,856.25	
15SEP17	S	1	PD15DEC17	2330.00	2563.25	USD	-5,831.25	
15SEP17	S	1	PD15DEC17	2330.50	2563.25	USD	-5,818.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	S	1	PD15DEC17	2333.50	2563.25	USD	-5,743.75	
15SEP17	S	2	PD15DEC17	2334.00	2563.25	USD	-11,462.50	
15SEP17	S	2	PD15DEC17	2335.00	2563.25	USD	-11,412.50	
15SEP17	S	2	PD15DEC17	2335.50	2563.25	USD	-11,387.50	
15SEP17	S	2	PD15DEC17	2336.00	2563.25	USD	-11,362.50	
15SEP17	S	3	PD15DEC17	2336.50	2563.25	USD	-17,006.25	
15SEP17	S	1	PD15DEC17	2339.50	2563.25	USD	-5,593.75	
15SEP17	S	2	PD15DEC17	2338.50	2563.25	USD	-11,237.50	
15SEP17	S	1	PD15DEC17	2339.00	2563.25	USD	-5,606.25	
15SEP17	S	1	PD15DEC17	2340.00	2563.25	USD	-5,581.25	
15SEP17	S	1	PD15DEC17	2341.50	2563.25	USD	-5,543.75	
15SEP17	S	1	PD15DEC17	2340.50	2563.25	USD	-5,568.75	
15SEP17	S	1	PD15DEC17	2342.00	2563.25	USD	-5,531.25	
15SEP17	S	2	PD15DEC17	2342.50	2563.25	USD	-11,037.50	
15SEP17	S	2	PD15DEC17	2345.00	2563.25	USD	-10,912.50	
15SEP17	S	2	PD15DEC17	2345.50	2563.25	USD	-10,887.50	
15SEP17	S	1	PD15DEC17	2346.50	2563.25	USD	-5,418.75	
15SEP17	S	1	PD15DEC17	2347.50	2563.25	USD	-5,393.75	
15SEP17	S	1	PD15DEC17	2348.00	2563.25	USD	-5,381.25	
15SEP17	S	4	PD15DEC17	2351.50	2563.25	USD	-21,175.00	
15SEP17	S	1	PD15DEC17	2352.00	2563.25	USD	-5,281.25	
15SEP17	B	1	PD15DEC17	2329.00	2563.25	USD	5,856.25	
15SEP17	B	1	PD15DEC17	2328.00	2563.25	USD	5,881.25	
15SEP17	B	1	PD15DEC17	2327.00	2563.25	USD	5,906.25	
15SEP17	B	1	PD15DEC17	2339.00	2563.25	USD	5,606.25	
15SEP17	B	1	PD15DEC17	2346.50	2563.25	USD	5,418.75	
15SEP17	B	1	PD15DEC17	2345.00	2563.25	USD	5,456.25	
15SEP17	B	1	PD15DEC17	2343.00	2563.25	USD	5,506.25	
15SEP17	B	2	PD15DEC17	2341.00	2563.25	USD	11,112.50	
15SEP17	B	1	PD15DEC17	2340.50	2563.25	USD	5,568.75	
15SEP17	B	1	PD15DEC17	2342.50	2563.25	USD	5,518.75	
15SEP17	B	1	PD15DEC17	2342.00	2563.25	USD	5,531.25	
15SEP17	B	3	PD15DEC17	2341.50	2563.25	USD	16,631.25	
15SEP17	B	1	PD15DEC17	2334.50	2563.25	USD	5,718.75	
15SEP17	B	1	PD15DEC17	2336.00	2563.25	USD	5,681.25	
15SEP17	B	2	PD15DEC17	2334.00	2563.25	USD	11,462.50	
15SEP17	B	1	PD15DEC17	2348.50	2563.25	USD	5,368.75	
15SEP17	B	1	PD15DEC17	2334.50	2563.25	USD	5,718.75	
15SEP17	B	2	PD15DEC17	2335.00	2563.25	USD	11,412.50	
15SEP17	S	1	PD15DEC17	2350.00	2563.25	USD	-5,331.25	
15SEP17	S	2	PD15DEC17	2344.00	2563.25	USD	-10,962.50	
15SEP17	S	1	PD15DEC17	2348.50	2563.25	USD	-5,368.75	
15SEP17	S	2	PD15DEC17	2354.50	2563.25	USD	-10,437.50	
15SEP17	B	1	PD15DEC17	2355.50	2563.25	USD	5,193.75	
15SEP17	B	3	PD15DEC17	2363.50	2563.25	USD	14,981.25	
15SEP17	B	2	PD15DEC17	2361.00	2563.25	USD	10,112.50	
15SEP17	B	2	PD15DEC17	2365.00	2563.25	USD	9,912.50	
15SEP17	B	4	PD15DEC17	2364.00	2563.25	USD	19,925.00	
15SEP17	B	1	PD15DEC17	2366.50	2563.25	USD	4,918.75	
15SEP17	B	4	PD15DEC17	2368.00	2563.25	USD	19,525.00	
15SEP17	B	2	PD15DEC17	2367.00	2563.25	USD	9,812.50	
15SEP17	S	1	PD15DEC17	2354.50	2563.25	USD	-5,218.75	
19SEP17	B	10	PD15DEC17	2420.45	2563.25	USD	35,700.00	
20SEP17	B	1	PD15DEC17	2459.21	2563.25	USD	2,601.00	
	NET	0 AVG BGHT 2349.90	SOLD 2333.74				-33,942.75	0.00
18SEP17	B	1	PD18DEC17	2390.00	2564.00	USD	4,350.00	
18SEP17	S	3	PD18DEC17	2394.50	2564.00	USD	-12,712.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18SEP17	S	1	PD18DEC17	2398.50	2564.00	USD	-4,137.50	
18SEP17	S	1	PD18DEC17	2390.00	2564.00	USD	-4,350.00	
18SEP17	S	2	PD18DEC17	2392.50	2564.00	USD	-8,575.00	
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	2	PD18DEC17	2397.50	2564.00	USD	-8,325.00	
18SEP17	S	4	PD18DEC17	2398.00	2564.00	USD	-16,600.00	
18SEP17	S	1	PD18DEC17	2402.00	2564.00	USD	-4,050.00	
18SEP17	S	1	PD18DEC17	2402.50	2564.00	USD	-4,037.50	
18SEP17	S	1	PD18DEC17	2403.50	2564.00	USD	-4,012.50	
18SEP17	S	2	PD18DEC17	2405.00	2564.00	USD	-7,950.00	
18SEP17	B	1	PD18DEC17	2386.00	2564.00	USD	4,450.00	
18SEP17	B	1	PD18DEC17	2389.50	2564.00	USD	4,362.50	
18SEP17	B	1	PD18DEC17	2381.50	2564.00	USD	4,562.50	
18SEP17	B	3	PD18DEC17	2389.00	2564.00	USD	13,125.00	
18SEP17	S	1	PD18DEC17	2396.50	2564.00	USD	-4,187.50	
18SEP17	B	4	PD18DEC17	2388.00	2564.00	USD	17,600.00	
18SEP17	B	1	PD18DEC17	2388.50	2564.00	USD	4,387.50	
18SEP17	S	1	PD18DEC17	2396.00	2564.00	USD	-4,200.00	
19SEP17	B	5	PD18DEC17	2421.20	2564.00	USD	17,850.00	
20SEP17	B	3	PD18DEC17	2459.69	2564.00	USD	7,823.25	
21SEP17	B	1	PD18DEC17	2517.97	2564.00	USD	1,150.75	
	NET	0AVG	BGHT 2412.26	SOLD 2397.50			-7,751.00	0.00
19SEP17	S	1	PD19DEC17	2386.00	2564.25	USD	-4,456.25	
19SEP17	S	1	PD19DEC17	2387.00	2564.25	USD	-4,431.25	
19SEP17	S	3	PD19DEC17	2386.50	2564.25	USD	-13,331.25	
19SEP17	S	1	PD19DEC17	2390.00	2564.25	USD	-4,356.25	
19SEP17	S	3	PD19DEC17	2391.50	2564.25	USD	-12,956.25	
19SEP17	S	1	PD19DEC17	2395.50	2564.25	USD	-4,218.75	
19SEP17	S	1	PD19DEC17	2395.00	2564.25	USD	-4,231.25	
19SEP17	B	1	PD19DEC17	2390.00	2564.25	USD	4,356.25	
19SEP17	S	3	PD19DEC17	2382.50	2564.25	USD	-13,631.25	
19SEP17	S	1	PD19DEC17	2383.50	2564.25	USD	-4,518.75	
19SEP17	B	3	PD19DEC17	2384.00	2564.25	USD	13,518.75	
19SEP17	B	1	PD19DEC17	2382.00	2564.25	USD	4,556.25	
19SEP17	B	1	PD19DEC17	2379.50	2564.25	USD	4,618.75	
19SEP17	S	2	PD19DEC17	2387.50	2564.25	USD	-8,837.50	
19SEP17	S	3	PD19DEC17	2388.00	2564.25	USD	-13,218.75	
19SEP17	S	2	PD19DEC17	2394.50	2564.25	USD	-8,487.50	
19SEP17	S	1	PD19DEC17	2389.50	2564.25	USD	-4,368.75	
19SEP17	S	6	PD19DEC17	2393.50	2564.25	USD	-25,612.50	
19SEP17	S	1	PD19DEC17	2404.50	2564.25	USD	-3,993.75	
19SEP17	S	2	PD19DEC17	2405.50	2564.25	USD	-7,937.50	
19SEP17	B	1	PD19DEC17	2403.50	2564.25	USD	4,018.75	
19SEP17	B	1	PD19DEC17	2402.50	2564.25	USD	4,043.75	
19SEP17	B	1	PD19DEC17	2406.50	2564.25	USD	3,943.75	
19SEP17	B	2	PD19DEC17	2405.50	2564.25	USD	7,937.50	
19SEP17	B	1	PD19DEC17	2405.00	2564.25	USD	3,981.25	
19SEP17	S	1	PD19DEC17	2408.00	2564.25	USD	-3,906.25	
19SEP17	S	1	PD19DEC17	2416.00	2564.25	USD	-3,706.25	
19SEP17	S	1	PD19DEC17	2417.00	2564.25	USD	-3,681.25	
19SEP17	S	1	PD19DEC17	2413.00	2564.25	USD	-3,781.25	
19SEP17	B	1	PD19DEC17	2417.00	2564.25	USD	3,681.25	
19SEP17	B	1	PD19DEC17	2414.50	2564.25	USD	3,743.75	
19SEP17	B	1	PD19DEC17	2414.00	2564.25	USD	3,756.25	
19SEP17	B	1	PD19DEC17	2411.50	2564.25	USD	3,818.75	
19SEP17	B	2	PD19DEC17	2410.00	2564.25	USD	7,712.50	
19SEP17	B	1	PD19DEC17	2409.50	2564.25	USD	3,868.75	

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19SEP17	B	4	PD19DEC17	2408.50	2564.25	USD	15,575.00	
19SEP17	B	1	PD19DEC17	2404.00	2564.25	USD	4,006.25	
19SEP17	B	1	PD19DEC17	2403.00	2564.25	USD	4,031.25	
19SEP17	B	1	PD19DEC17	2397.50	2564.25	USD	4,168.75	
19SEP17	B	2	PD19DEC17	2400.00	2564.25	USD	8,212.50	
19SEP17	B	3	PD19DEC17	2398.00	2564.25	USD	12,468.75	
19SEP17	B	1	PD19DEC17	2399.50	2564.25	USD	4,118.75	
19SEP17	B	5	PD19DEC17	2398.50	2564.25	USD	20,718.75	
19SEP17	S	1	PD19DEC17	2412.50	2564.25	USD	-3,793.75	
19SEP17	S	1	PD19DEC17	2414.00	2564.25	USD	-3,756.25	
19SEP17	S	2	PD19DEC17	2414.50	2564.25	USD	-7,487.50	
19SEP17	S	3	PD19DEC17	2415.50	2564.25	USD	-11,156.25	
19SEP17	S	1	PD19DEC17	2419.00	2564.25	USD	-3,631.25	
19SEP17	S	1	PD19DEC17	2440.00	2564.25	USD	-3,106.25	
19SEP17	S	1	PD19DEC17	2449.50	2564.25	USD	-2,868.75	
19SEP17	B	1	PD19DEC17	2450.00	2564.25	USD	2,856.25	
19SEP17	S	1	PD19DEC17	2420.00	2564.25	USD	-3,606.25	
19SEP17	S	1	PD19DEC17	2420.50	2564.25	USD	-3,593.75	
19SEP17	B	1	PD19DEC17	2439.00	2564.25	USD	3,131.25	
19SEP17	B	1	PD19DEC17	2438.50	2564.25	USD	3,143.75	
19SEP17	B	2	PD19DEC17	2438.00	2564.25	USD	6,312.50	
19SEP17	B	1	PD19DEC17	2437.00	2564.25	USD	3,181.25	
19SEP17	B	1	PD19DEC17	2437.50	2564.25	USD	3,168.75	
19SEP17	B	1	PD19DEC17	2435.50	2564.25	USD	3,218.75	
19SEP17	B	1	PD19DEC17	2434.50	2564.25	USD	3,243.75	
19SEP17	B	2	PD19DEC17	2432.00	2564.25	USD	6,612.50	
19SEP17	B	1	PD19DEC17	2428.00	2564.25	USD	3,406.25	
19SEP17	B	1	PD19DEC17	2426.50	2564.25	USD	3,443.75	
19SEP17	B	1	PD19DEC17	2421.50	2564.25	USD	3,568.75	
19SEP17	B	1	PD19DEC17	2423.50	2564.25	USD	3,518.75	
19SEP17	B	3	PD19DEC17	2420.50	2564.25	USD	10,781.25	
19SEP17	B	2	PD19DEC17	2421.00	2564.25	USD	7,162.50	
19SEP17	S	1	PD19DEC17	2423.50	2564.25	USD	-3,518.75	
19SEP17	S	2	PD19DEC17	2424.50	2564.25	USD	-6,987.50	
19SEP17	S	1	PD19DEC17	2424.50	2564.25	USD	-3,493.75	
19SEP17	S	1	PD19DEC17	2425.00	2564.25	USD	-3,481.25	
20SEP17	S	4	PD19DEC17	2459.64	2564.25	USD	-10,461.00	
	NET	0 AVG BGHT 2411.54	SOLD 2406.63				-6,998.50	0.00
20SEP17	S	1	PD20DEC17	2445.00	2564.50	USD	-2,987.50	
20SEP17	S	7	PD20DEC17	2444.00	2564.50	USD	-21,087.50	
20SEP17	S	1	PD20DEC17	2442.50	2564.50	USD	-3,050.00	
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
20SEP17	S	1	PD20DEC17	2441.00	2564.50	USD	-3,087.50	
20SEP17	S	2	PD20DEC17	2441.50	2564.50	USD	-6,150.00	
20SEP17	S	1	PD20DEC17	2440.50	2564.50	USD	-3,100.00	
20SEP17	S	2	PD20DEC17	2446.00	2564.50	USD	-5,925.00	
20SEP17	S	1	PD20DEC17	2447.50	2564.50	USD	-2,925.00	
20SEP17	S	1	PD20DEC17	2448.00	2564.50	USD	-2,912.50	
20SEP17	S	2	PD20DEC17	2452.00	2564.50	USD	-5,625.00	
20SEP17	S	1	PD20DEC17	2454.00	2564.50	USD	-2,762.50	
20SEP17	S	2	PD20DEC17	2456.00	2564.50	USD	-5,425.00	
20SEP17	B	1	PD20DEC17	2461.00	2564.50	USD	2,587.50	
20SEP17	B	1	PD20DEC17	2456.50	2564.50	USD	2,700.00	
20SEP17	B	2	PD20DEC17	2454.00	2564.50	USD	5,525.00	
20SEP17	B	1	PD20DEC17	2449.50	2564.50	USD	2,875.00	
20SEP17	B	1	PD20DEC17	2451.00	2564.50	USD	2,837.50	
20SEP17	B	4	PD20DEC17	2450.00	2564.50	USD	11,450.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20SEP17	B	2	PD20DEC17	2450.50	2564.50	USD	5,700.00	
20SEP17	S	1	PD20DEC17	2453.50	2564.50	USD	-2,775.00	
20SEP17	S	1	PD20DEC17	2453.00	2564.50	USD	-2,787.50	
20SEP17	S	1	PD20DEC17	2449.50	2564.50	USD	-2,875.00	
20SEP17	S	1	PD20DEC17	2450.50	2564.50	USD	-2,850.00	
20SEP17	S	1	PD20DEC17	2458.00	2564.50	USD	-2,662.50	
20SEP17	S	2	PD20DEC17	2468.50	2564.50	USD	-4,800.00	
20SEP17	S	3	PD20DEC17	2466.00	2564.50	USD	-7,387.50	
20SEP17	S	2	PD20DEC17	2467.50	2564.50	USD	-4,850.00	
20SEP17	B	1	PD20DEC17	2468.50	2564.50	USD	2,400.00	
20SEP17	S	1	PD20DEC17	2442.00	2564.50	USD	-3,062.50	
20SEP17	S	5	PD20DEC17	2463.00	2564.50	USD	-12,687.50	
20SEP17	S	3	PD20DEC17	2469.00	2564.50	USD	-7,162.50	
20SEP17	S	1	PD20DEC17	2470.00	2564.50	USD	-2,362.50	
20SEP17	S	2	PD20DEC17	2467.00	2564.50	USD	-4,875.00	
20SEP17	S	1	PD20DEC17	2468.00	2564.50	USD	-2,412.50	
20SEP17	S	3	PD20DEC17	2466.50	2564.50	USD	-7,350.00	
20SEP17	S	1	PD20DEC17	2461.50	2564.50	USD	-2,575.00	
20SEP17	B	2	PD20DEC17	2454.50	2564.50	USD	5,500.00	
20SEP17	B	6	PD20DEC17	2453.50	2564.50	USD	16,650.00	
20SEP17	B	2	PD20DEC17	2453.00	2564.50	USD	5,575.00	
20SEP17	B	1	PD20DEC17	2452.00	2564.50	USD	2,812.50	
20SEP17	B	2	PD20DEC17	2458.50	2564.50	USD	5,300.00	
20SEP17	B	2	PD20DEC17	2463.00	2564.50	USD	5,075.00	
20SEP17	B	3	PD20DEC17	2459.50	2564.50	USD	7,875.00	
20SEP17	B	1	PD20DEC17	2457.50	2564.50	USD	2,675.00	
20SEP17	B	4	PD20DEC17	2457.00	2564.50	USD	10,750.00	
20SEP17	B	2	PD20DEC17	2456.00	2564.50	USD	5,425.00	
20SEP17	B	1	PD20DEC17	2449.00	2564.50	USD	2,887.50	
20SEP17	B	1	PD20DEC17	2448.50	2564.50	USD	2,900.00	
20SEP17	B	2	PD20DEC17	2447.00	2564.50	USD	5,875.00	
20SEP17	B	1	PD20DEC17	2444.50	2564.50	USD	3,000.00	
20SEP17	B	1	PD20DEC17	2445.50	2564.50	USD	2,975.00	
20SEP17	B	1	PD20DEC17	2445.00	2564.50	USD	2,987.50	
20SEP17	B	1	PD20DEC17	2446.00	2564.50	USD	2,962.50	
20SEP17	B	6	PD20DEC17	2455.00	2564.50	USD	16,425.00	
20SEP17	B	2	PD20DEC17	2455.50	2564.50	USD	5,450.00	
20SEP17	S	1	PD20DEC17	2464.00	2564.50	USD	-2,512.50	
21SEP17	S	1	PD20DEC17	2517.80	2564.50	USD	-1,167.50	
	NET	0 AVG BGHT 2454.00	SOLD 2456.90				3,920.00	0.00
21SEP17	S	3	PD21DEC17	2444.00	2563.50	USD	-8,962.50	
21SEP17	B	1	PD21DEC17	2437.00	2563.50	USD	3,162.50	
21SEP17	B	1	PD21DEC17	2435.50	2563.50	USD	3,200.00	
21SEP17	S	3	PD21DEC17	2441.00	2563.50	USD	-9,187.50	
21SEP17	B	1	PD21DEC17	2427.50	2563.50	USD	3,400.00	
21SEP17	B	1	PD21DEC17	2424.00	2563.50	USD	3,487.50	
21SEP17	S	1	PD21DEC17	2434.00	2563.50	USD	-3,237.50	
21SEP17	S	2	PD21DEC17	2435.50	2563.50	USD	-6,400.00	
21SEP17	S	4	PD21DEC17	2438.50	2563.50	USD	-12,500.00	
21SEP17	B	1	PD21DEC17	2439.00	2563.50	USD	3,112.50	
21SEP17	S	2	PD21DEC17	2439.00	2563.50	USD	-6,225.00	
21SEP17	S	1	PD21DEC17	2442.00	2563.50	USD	-3,037.50	
21SEP17	B	1	PD21DEC17	2458.50	2563.50	USD	2,625.00	
21SEP17	B	2	PD21DEC17	2458.00	2563.50	USD	5,275.00	
21SEP17	B	1	PD21DEC17	2462.00	2563.50	USD	2,537.50	
21SEP17	S	1	PD21DEC17	2465.00	2563.50	USD	-2,462.50	
21SEP17	S	2	PD21DEC17	2466.00	2563.50	USD	-4,875.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	B	2	PD21DEC17	2471.00	2563.50	USD	4,625.00	
21SEP17	S	3	PD21DEC17	2471.00	2563.50	USD	-6,937.50	
21SEP17	S	1	PD21DEC17	2471.50	2563.50	USD	-2,300.00	
21SEP17	S	2	PD21DEC17	2472.00	2563.50	USD	-4,575.00	
21SEP17	S	1	PD21DEC17	2474.00	2563.50	USD	-2,237.50	
21SEP17	S	2	PD21DEC17	2473.50	2563.50	USD	-4,500.00	
21SEP17	S	1	PD21DEC17	2473.00	2563.50	USD	-2,262.50	
21SEP17	S	4	PD21DEC17	2472.50	2563.50	USD	-9,100.00	
21SEP17	S	3	PD21DEC17	2469.00	2563.50	USD	-7,087.50	
21SEP17	B	1	PD21DEC17	2473.50	2563.50	USD	2,250.00	
21SEP17	B	1	PD21DEC17	2470.00	2563.50	USD	2,337.50	
21SEP17	B	1	PD21DEC17	2469.50	2563.50	USD	2,350.00	
21SEP17	B	1	PD21DEC17	2469.00	2563.50	USD	2,362.50	
21SEP17	B	1	PD21DEC17	2468.50	2563.50	USD	2,375.00	
21SEP17	B	1	PD21DEC17	2467.00	2563.50	USD	2,412.50	
21SEP17	B	3	PD21DEC17	2464.50	2563.50	USD	7,425.00	
21SEP17	B	2	PD21DEC17	2464.00	2563.50	USD	4,975.00	
21SEP17	S	1	PD21DEC17	2430.50	2563.50	USD	-3,325.00	
21SEP17	S	1	PD21DEC17	2432.00	2563.50	USD	-3,287.50	
21SEP17	S	1	PD21DEC17	2431.00	2563.50	USD	-3,312.50	
21SEP17	S	1	PD21DEC17	2431.50	2563.50	USD	-3,300.00	
21SEP17	S	1	PD21DEC17	2433.50	2563.50	USD	-3,250.00	
21SEP17	B	1	PD21DEC17	2470.00	2563.50	USD	2,337.50	
21SEP17	B	3	PD21DEC17	2468.00	2563.50	USD	7,162.50	
21SEP17	B	2	PD21DEC17	2467.50	2563.50	USD	4,800.00	
21SEP17	B	2	PD21DEC17	2466.50	2563.50	USD	4,850.00	
21SEP17	S	1	PD21DEC17	2465.50	2563.50	USD	-2,450.00	
21SEP17	B	4	PD21DEC17	2465.50	2563.50	USD	9,800.00	
21SEP17	S	2	PD21DEC17	2464.00	2563.50	USD	-4,975.00	
21SEP17	S	1	PD21DEC17	2482.50	2563.50	USD	-2,025.00	
21SEP17	B	1	PD21DEC17	2484.00	2563.50	USD	1,987.50	
21SEP17	B	1	PD21DEC17	2482.00	2563.50	USD	2,037.50	
21SEP17	B	1	PD21DEC17	2500.00	2563.50	USD	1,587.50	
21SEP17	B	1	PD21DEC17	2466.50	2563.50	USD	2,425.00	
21SEP17	B	1	PD21DEC17	2465.50	2563.50	USD	2,450.00	
21SEP17	B	1	PD21DEC17	2465.00	2563.50	USD	2,462.50	
21SEP17	B	1	PD21DEC17	2506.00	2563.50	USD	1,437.50	
21SEP17	B	1	PD21DEC17	2491.00	2563.50	USD	1,812.50	
21SEP17	B	1	PD21DEC17	2489.00	2563.50	USD	1,862.50	
21SEP17	B	1	PD21DEC17	2486.00	2563.50	USD	1,937.50	
21SEP17	S	2	PD21DEC17	2480.00	2563.50	USD	-4,175.00	
21SEP17	B	2	PD21DEC17	2471.50	2563.50	USD	4,600.00	
21SEP17	B	1	PD21DEC17	2472.00	2563.50	USD	2,287.50	
21SEP17	B	2	PD21DEC17	2460.50	2563.50	USD	5,150.00	
21SEP17	S	1	PD21DEC17	2458.00	2563.50	USD	-2,637.50	
21SEP17	B	1	PD21DEC17	2451.00	2563.50	USD	2,812.50	
21SEP17	B	2	PD21DEC17	2445.50	2563.50	USD	5,900.00	
21SEP17	S	1	PD21DEC17	2453.50	2563.50	USD	-2,750.00	
21SEP17	S	1	PD21DEC17	2445.50	2563.50	USD	-2,950.00	
21SEP17	S	2	PD21DEC17	2444.50	2563.50	USD	-5,950.00	
21SEP17	S	1	PD21DEC17	2454.50	2563.50	USD	-2,725.00	
21SEP17	B	4	PD21DEC17	2460.00	2563.50	USD	10,350.00	
21SEP17	B	2	PD21DEC17	2463.50	2563.50	USD	5,000.00	
21SEP17	B	1	PD21DEC17	2462.50	2563.50	USD	2,525.00	
21SEP17	B	1	PD21DEC17	2490.00	2563.50	USD	1,837.50	
26SEP17	S	7	PD21DEC17	2489.33	2563.50	USD	-12,979.75	
	NET	0AVG BGHT 2465.28		SOLD 2459.51			-8,654.75	0.00

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22SEP17	B	1	PD22DEC17	2412.50	2563.50	USD	3,775.00	
22SEP17	B	1	PD22DEC17	2418.00	2563.50	USD	3,637.50	
22SEP17	B	1	PD22DEC17	2421.00	2563.50	USD	3,562.50	
22SEP17	B	1	PD22DEC17	2416.50	2563.50	USD	3,675.00	
22SEP17	B	2	PD22DEC17	2416.00	2563.50	USD	7,375.00	
22SEP17	B	2	PD22DEC17	2414.50	2563.50	USD	7,450.00	
22SEP17	B	1	PD22DEC17	2423.50	2563.50	USD	3,500.00	
22SEP17	B	4	PD22DEC17	2421.50	2563.50	USD	14,200.00	
22SEP17	B	1	PD22DEC17	2422.50	2563.50	USD	3,525.00	
22SEP17	B	1	PD22DEC17	2423.00	2563.50	USD	3,512.50	
22SEP17	B	3	PD22DEC17	2419.50	2563.50	USD	10,800.00	
22SEP17	B	1	PD22DEC17	2429.00	2563.50	USD	3,362.50	
22SEP17	B	1	PD22DEC17	2426.00	2563.50	USD	3,437.50	
22SEP17	B	1	PD22DEC17	2410.00	2563.50	USD	3,837.50	
22SEP17	S	4	PD22DEC17	2400.00	2563.50	USD	-16,350.00	
22SEP17	B	6	PD22DEC17	2399.00	2563.50	USD	24,675.00	
22SEP17	S	1	PD22DEC17	2389.50	2563.50	USD	-4,350.00	
22SEP17	S	1	PD22DEC17	2393.50	2563.50	USD	-4,250.00	
22SEP17	S	1	PD22DEC17	2395.00	2563.50	USD	-4,212.50	
22SEP17	S	1	PD22DEC17	2401.00	2563.50	USD	-4,062.50	
22SEP17	S	2	PD22DEC17	2399.50	2563.50	USD	-8,200.00	
22SEP17	S	1	PD22DEC17	2405.50	2563.50	USD	-3,950.00	
22SEP17	S	1	PD22DEC17	2406.00	2563.50	USD	-3,937.50	
22SEP17	S	1	PD22DEC17	2407.00	2563.50	USD	-3,912.50	
22SEP17	S	3	PD22DEC17	2407.50	2563.50	USD	-11,700.00	
22SEP17	S	1	PD22DEC17	2408.50	2563.50	USD	-3,875.00	
22SEP17	S	1	PD22DEC17	2416.00	2563.50	USD	-3,687.50	
22SEP17	S	1	PD22DEC17	2415.50	2563.50	USD	-3,700.00	
22SEP17	S	2	PD22DEC17	2412.50	2563.50	USD	-7,550.00	
22SEP17	S	1	PD22DEC17	2414.50	2563.50	USD	-3,725.00	
22SEP17	S	2	PD22DEC17	2417.00	2563.50	USD	-7,325.00	
22SEP17	S	2	PD22DEC17	2420.00	2563.50	USD	-7,175.00	
22SEP17	S	2	PD22DEC17	2423.00	2563.50	USD	-7,025.00	
22SEP17	S	1	PD22DEC17	2425.00	2563.50	USD	-3,462.50	
22SEP17	S	1	PD22DEC17	2424.50	2563.50	USD	-3,475.00	
22SEP17	S	2	PD22DEC17	2421.50	2563.50	USD	-7,100.00	
22SEP17	S	2	PD22DEC17	2421.00	2563.50	USD	-7,125.00	
22SEP17	S	1	PD22DEC17	2472.00	2563.50	USD	-2,287.50	
22SEP17	S	1	PD22DEC17	2459.00	2563.50	USD	-2,612.50	
22SEP17	B	1	PD22DEC17	2420.50	2563.50	USD	3,575.00	
22SEP17	B	1	PD22DEC17	2420.00	2563.50	USD	3,587.50	
22SEP17	B	1	PD22DEC17	2422.00	2563.50	USD	3,537.50	
22SEP17	S	1	PD22DEC17	2400.00	2563.50	USD	-4,087.50	
22SEP17	S	1	PD22DEC17	2402.00	2563.50	USD	-4,037.50	
22SEP17	S	1	PD22DEC17	2405.50	2563.50	USD	-3,950.00	
22SEP17	B	1	PD22DEC17	2453.50	2563.50	USD	2,750.00	
22SEP17	S	1	PD22DEC17	2482.00	2563.50	USD	-2,037.50	
22SEP17	B	1	PD22DEC17	2458.00	2563.50	USD	2,637.50	
22SEP17	B	1	PD22DEC17	2454.00	2563.50	USD	2,737.50	
22SEP17	S	2	PD22DEC17	2481.50	2563.50	USD	-4,100.00	
22SEP17	B	1	PD22DEC17	2476.50	2563.50	USD	2,175.00	
22SEP17	B	1	PD22DEC17	2478.50	2563.50	USD	2,125.00	
26SEP17	B	7	PD22DEC17	2488.87	2563.50	USD	13,060.25	
	NET	0	AVG BGHT 2433.49	SOLD 2417.54			-16,752.25	0.00
25SEP17	B	1	PD27DEC17	2471.00	2563.50	USD	2,312.50	
25SEP17	B	1	PD27DEC17	2468.00	2563.50	USD	2,387.50	
25SEP17	S	1	PD27DEC17	2488.50	2563.50	USD	-1,875.00	

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25SEP17	S	1	PD27DEC17	2481.00	2563.50	USD	-2,062.50	
25SEP17	S	1	PD27DEC17	2486.50	2563.50	USD	-1,925.00	
25SEP17	S	1	PD27DEC17	2498.50	2563.50	USD	-1,625.00	
25SEP17	B	1	PD27DEC17	2498.50	2563.50	USD	1,625.00	
25SEP17	S	1	PD27DEC17	2499.50	2563.50	USD	-1,600.00	
25SEP17	S	1	PD27DEC17	2503.50	2563.50	USD	-1,500.00	
25SEP17	S	1	PD27DEC17	2509.50	2563.50	USD	-1,350.00	
25SEP17	B	1	PD27DEC17	2493.50	2563.50	USD	1,750.00	
25SEP17	B	1	PD27DEC17	2489.50	2563.50	USD	1,850.00	
25SEP17	B	2	PD27DEC17	2489.00	2563.50	USD	3,725.00	
25SEP17	S	1	PD27DEC17	2485.50	2563.50	USD	-1,950.00	
25SEP17	B	1	PD27DEC17	2479.50	2563.50	USD	2,100.00	
26SEP17	S	1	PD27DEC17	2496.00	2563.50	USD	-1,687.50	
26SEP17	S	1	PD27DEC17	2498.00	2563.50	USD	-1,637.50	
26SEP17	S	1	PD27DEC17	2489.00	2563.50	USD	-1,862.50	
26SEP17	S	1	PD27DEC17	2494.00	2563.50	USD	-1,737.50	
26SEP17	S	1	PD27DEC17	2497.00	2563.50	USD	-1,662.50	
26SEP17	S	1	PD27DEC17	2495.00	2563.50	USD	-1,712.50	
26SEP17	S	1	PD27DEC17	2495.50	2563.50	USD	-1,700.00	
26SEP17	S	2	PD27DEC17	2502.50	2563.50	USD	-3,050.00	
26SEP17	S	1	PD27DEC17	2508.50	2563.50	USD	-1,375.00	
26SEP17	B	1	PD27DEC17	2503.50	2563.50	USD	1,500.00	
26SEP17	B	1	PD27DEC17	2500.50	2563.50	USD	1,575.00	
26SEP17	B	1	PD27DEC17	2497.50	2563.50	USD	1,650.00	
26SEP17	B	1	PD27DEC17	2495.00	2563.50	USD	1,712.50	
26SEP17	S	2	PD27DEC17	2499.50	2563.50	USD	-3,200.00	
26SEP17	S	1	PD27DEC17	2500.00	2563.50	USD	-1,587.50	
26SEP17	S	2	PD27DEC17	2501.50	2563.50	USD	-3,100.00	
26SEP17	B	1	PD27DEC17	2486.50	2563.50	USD	1,925.00	
26SEP17	S	3	PD27DEC17	2484.00	2563.50	USD	-5,962.50	
26SEP17	S	1	PD27DEC17	2481.50	2563.50	USD	-2,050.00	
27SEP17	B	1	PD27DEC17	2478.00	2563.50	USD	2,137.50	
27SEP17	B	1	PD27DEC17	2479.50	2563.50	USD	2,100.00	
27SEP17	B	1	PD27DEC17	2480.00	2563.50	USD	2,087.50	
27SEP17	S	1	PD27DEC17	2487.50	2563.50	USD	-1,900.00	
27SEP17	S	1	PD27DEC17	2478.00	2563.50	USD	-2,137.50	
27SEP17	S	1	PD27DEC17	2481.50	2563.50	USD	-2,050.00	
27SEP17	S	1	PD27DEC17	2484.50	2563.50	USD	-1,975.00	
27SEP17	S	2	PD27DEC17	2483.50	2563.50	USD	-4,000.00	
27SEP17	B	1	PD27DEC17	2478.50	2563.50	USD	2,125.00	
27SEP17	B	1	PD27DEC17	2476.50	2563.50	USD	2,175.00	
27SEP17	B	2	PD27DEC17	2474.50	2563.50	USD	4,450.00	
27SEP17	S	1	PD27DEC17	2471.00	2563.50	USD	-2,312.50	
27SEP17	S	1	PD27DEC17	2470.50	2563.50	USD	-2,325.00	
27SEP17	B	1	PD27DEC17	2460.50	2563.50	USD	2,575.00	
27SEP17	S	1	PD27DEC17	2453.50	2563.50	USD	-2,750.00	
27SEP17	B	1	PD27DEC17	2452.00	2563.50	USD	2,787.50	
27SEP17	S	1	PD27DEC17	2463.00	2563.50	USD	-2,512.50	
27SEP17	S	1	PD27DEC17	2464.50	2563.50	USD	-2,475.00	
27SEP17	S	1	PD27DEC17	2463.50	2563.50	USD	-2,500.00	
27SEP17	B	1	PD27DEC17	2460.00	2563.50	USD	2,587.50	
27SEP17	B	2	PD27DEC17	2459.50	2563.50	USD	5,200.00	
27SEP17	B	1	PD27DEC17	2461.00	2563.50	USD	2,562.50	
04OCT17	B	13	PD27DEC17	2559.71	2563.50	USD	1,231.75	
	NET	0	AVG BGHT 2505.93	SOLD 2488.47			-17,018.25	0.00
28SEP17	S	1	PD28DEC17	2453.50	2563.60	USD	-2,752.50	
28SEP17	S	2	PD28DEC17	2451.50	2563.60	USD	-5,605.00	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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28SEP17	S	1	PD28DEC17	2451.00	2563.60	USD	-2,815.00	
28SEP17	S	2	PD28DEC17	2452.00	2563.60	USD	-5,580.00	
28SEP17	B	1	PD28DEC17	2468.50	2563.60	USD	2,377.50	
28SEP17	S	1	PD28DEC17	2472.50	2563.60	USD	-2,277.50	
28SEP17	S	1	PD28DEC17	2464.50	2563.60	USD	-2,477.50	
28SEP17	B	1	PD28DEC17	2475.50	2563.60	USD	2,202.50	
28SEP17	B	2	PD28DEC17	2474.50	2563.60	USD	4,455.00	
28SEP17	B	1	PD28DEC17	2487.50	2563.60	USD	1,902.50	
28SEP17	B	1	PD28DEC17	2472.00	2563.60	USD	2,290.00	
28SEP17	B	2	PD28DEC17	2471.50	2563.60	USD	4,605.00	
28SEP17	B	1	PD28DEC17	2464.00	2563.60	USD	2,490.00	
28SEP17	B	1	PD28DEC17	2459.50	2563.60	USD	2,602.50	
28SEP17	B	1	PD28DEC17	2462.00	2563.60	USD	2,540.00	
28SEP17	S	1	PD28DEC17	2463.00	2563.60	USD	-2,515.00	
28SEP17	B	1	PD28DEC17	2461.50	2563.60	USD	2,552.50	
28SEP17	B	1	PD28DEC17	2459.00	2563.60	USD	2,615.00	
28SEP17	B	1	PD28DEC17	2458.00	2563.60	USD	2,640.00	
28SEP17	B	1	PD28DEC17	2457.00	2563.60	USD	2,665.00	
28SEP17	B	1	PD28DEC17	2462.50	2563.60	USD	2,527.50	
28SEP17	B	1	PD28DEC17	2463.00	2563.60	USD	2,515.00	
28SEP17	B	1	PD28DEC17	2460.50	2563.60	USD	2,577.50	
28SEP17	B	1	PD28DEC17	2460.00	2563.60	USD	2,590.00	
28SEP17	B	2	PD28DEC17	2474.00	2563.60	USD	4,480.00	
28SEP17	B	3	PD28DEC17	2473.00	2563.60	USD	6,795.00	
28SEP17	B	1	PD28DEC17	2482.00	2563.60	USD	2,040.00	
28SEP17	B	1	PD28DEC17	2480.00	2563.60	USD	2,090.00	
28SEP17	S	1	PD28DEC17	2483.50	2563.60	USD	-2,002.50	
28SEP17	B	1	PD28DEC17	2483.00	2563.60	USD	2,015.00	
28SEP17	B	1	PD28DEC17	2481.00	2563.60	USD	2,065.00	
28SEP17	B	1	PD28DEC17	2484.00	2563.60	USD	1,990.00	
28SEP17	S	1	PD28DEC17	2484.50	2563.60	USD	-1,977.50	
28SEP17	S	1	PD28DEC17	2486.00	2563.60	USD	-1,940.00	
04OCT17	S	13	PD28DEC17	2559.55	2563.60	USD	-1,316.25	
04OCT17	S	4	PD28DEC17	2559.75	2563.60	USD	-385.00	
	NET	0 AVG BGHT 2470.33	SOLD 2519.95				35,978.75	0.00
29SEP17	S	1	PD29DEC17	2485.50	2563.70	USD	-1,955.00	
29SEP17	S	2	PD29DEC17	2485.00	2563.70	USD	-3,935.00	
29SEP17	S	1	PD29DEC17	2486.00	2563.70	USD	-1,942.50	
29SEP17	S	1	PD29DEC17	2488.00	2563.70	USD	-1,892.50	
29SEP17	B	1	PD29DEC17	2496.00	2563.70	USD	1,692.50	
29SEP17	B	1	PD29DEC17	2488.00	2563.70	USD	1,892.50	
29SEP17	S	1	PD29DEC17	2496.50	2563.70	USD	-1,680.00	
29SEP17	S	1	PD29DEC17	2494.50	2563.70	USD	-1,730.00	
29SEP17	S	1	PD29DEC17	2495.50	2563.70	USD	-1,705.00	
29SEP17	S	1	PD29DEC17	2505.50	2563.70	USD	-1,455.00	
29SEP17	S	1	PD29DEC17	2513.50	2563.70	USD	-1,255.00	
29SEP17	S	2	PD29DEC17	2492.50	2563.70	USD	-3,560.00	
29SEP17	S	3	PD29DEC17	2512.00	2563.70	USD	-3,877.50	
29SEP17	S	2	PD29DEC17	2512.50	2563.70	USD	-2,560.00	
29SEP17	B	1	PD29DEC17	2498.50	2563.70	USD	1,630.00	
29SEP17	B	1	PD29DEC17	2501.00	2563.70	USD	1,567.50	
29SEP17	B	2	PD29DEC17	2499.00	2563.70	USD	3,235.00	
29SEP17	B	1	PD29DEC17	2493.00	2563.70	USD	1,767.50	
29SEP17	B	1	PD29DEC17	2494.00	2563.70	USD	1,742.50	
29SEP17	B	2	PD29DEC17	2490.00	2563.70	USD	3,685.00	
29SEP17	B	1	PD29DEC17	2494.50	2563.70	USD	1,730.00	
29SEP17	B	1	PD29DEC17	2493.50	2563.70	USD	1,755.00	

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29SEP17	B	1	PD29DEC17		2500.00	2563.70	USD	1,592.50	
04OCT17	B	4	PD29DEC17		2559.99	2563.70	USD	371.00	
	NET	0AVG	BGHT 2510.38	SOLD 2498.88				-4,886.50	0.00
03OCT17	S	3	PD03JAN18		2522.50	2564.20	USD	-3,127.50	
03OCT17	S	5	PD03JAN18		2522.00	2564.20	USD	-5,275.00	
03OCT17	S	1	PD03JAN18		2515.50	2564.20	USD	-1,217.50	
03OCT17	S	3	PD03JAN18		2523.00	2564.20	USD	-3,090.00	
03OCT17	S	2	PD03JAN18		2521.50	2564.20	USD	-2,135.00	
03OCT17	B	1	PD03JAN18		2526.00	2564.20	USD	955.00	
03OCT17	B	2	PD03JAN18		2525.50	2564.20	USD	1,935.00	
03OCT17	B	4	PD03JAN18		2527.00	2564.20	USD	3,720.00	
03OCT17	B	2	PD03JAN18		2528.00	2564.20	USD	1,810.00	
03OCT17	B	1	PD03JAN18		2524.00	2564.20	USD	1,005.00	
03OCT17	B	1	PD03JAN18		2523.50	2564.20	USD	1,017.50	
03OCT17	B	1	PD03JAN18		2519.00	2564.20	USD	1,130.00	
03OCT17	B	2	PD03JAN18		2514.50	2564.20	USD	2,485.00	
03OCT17	B	1	PD03JAN18		2514.00	2564.20	USD	1,255.00	
03OCT17	B	1	PD03JAN18		2513.00	2564.20	USD	1,280.00	
03OCT17	B	2	PD03JAN18		2510.50	2564.20	USD	2,685.00	
03OCT17	B	1	PD03JAN18		2505.00	2564.20	USD	1,480.00	
03OCT17	B	1	PD03JAN18		2506.00	2564.20	USD	1,455.00	
03OCT17	B	1	PD03JAN18		2501.50	2564.20	USD	1,567.50	
03OCT17	B	1	PD03JAN18		2500.00	2564.20	USD	1,605.00	
03OCT17	B	2	PD03JAN18		2502.00	2564.20	USD	3,110.00	
03OCT17	B	1	PD03JAN18		2501.00	2564.20	USD	1,580.00	
03OCT17	S	1	PD03JAN18		2522.50	2564.20	USD	-1,042.50	
03OCT17	S	1	PD03JAN18		2521.00	2564.20	USD	-1,080.00	
03OCT17	B	1	PD03JAN18		2497.50	2564.20	USD	1,667.50	
03OCT17	B	1	PD03JAN18		2488.50	2564.20	USD	1,892.50	
03OCT17	B	1	PD03JAN18		2489.50	2564.20	USD	1,867.50	
03OCT17	B	1	PD03JAN18		2498.00	2564.20	USD	1,655.00	
03OCT17	B	1	PD03JAN18		2499.50	2564.20	USD	1,617.50	
03OCT17	S	1	PD03JAN18		2497.00	2564.20	USD	-1,680.00	
03OCT17	S	1	PD03JAN18		2503.00	2564.20	USD	-1,530.00	
03OCT17	S	1	PD03JAN18		2509.50	2564.20	USD	-1,367.50	
03OCT17	S	2	PD03JAN18		2518.00	2564.20	USD	-2,310.00	
03OCT17	S	2	PD03JAN18		2518.50	2564.20	USD	-2,285.00	
03OCT17	S	1	PD03JAN18		2519.00	2564.20	USD	-1,130.00	
03OCT17	S	7	PD03JAN18		2520.50	2564.20	USD	-7,647.50	
03OCT17	S	1	PD03JAN18		2520.00	2564.20	USD	-1,105.00	
03OCT17	S	3	PD03JAN18		2523.50	2564.20	USD	-3,052.50	
03OCT17	S	2	PD03JAN18		2521.00	2564.20	USD	-2,160.00	
03OCT17	B	1	PD03JAN18		2521.00	2564.20	USD	1,080.00	
03OCT17	S	4	PD03JAN18		2524.00	2564.20	USD	-4,020.00	
03OCT17	S	1	PD03JAN18		2528.00	2564.20	USD	-905.00	
03OCT17	S	1	PD03JAN18		2529.00	2564.20	USD	-880.00	
03OCT17	S	1	PD03JAN18		2530.50	2564.20	USD	-842.50	
03OCT17	S	1	PD03JAN18		2531.00	2564.20	USD	-830.00	
03OCT17	S	2	PD03JAN18		2534.50	2564.20	USD	-1,485.00	
03OCT17	S	1	PD03JAN18		2540.50	2564.20	USD	-592.50	
03OCT17	S	1	PD03JAN18		2542.00	2564.20	USD	-555.00	
03OCT17	S	1	PD03JAN18		2544.50	2564.20	USD	-492.50	
03OCT17	S	2	PD03JAN18		2548.50	2564.20	USD	-785.00	
03OCT17	S	1	PD03JAN18		2550.00	2564.20	USD	-355.00	
03OCT17	S	1	PD03JAN18		2550.50	2564.20	USD	-342.50	
03OCT17	S	1	PD03JAN18		2553.50	2564.20	USD	-267.50	
03OCT17	S	1	PD03JAN18		2554.50	2564.20	USD	-242.50	

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030CT17	S	1	PD03JAN18		2558.50	2564.20	USD	-142.50	
030CT17	B	1	PD03JAN18		2565.50	2564.20	USD	-32.50	
030CT17	S	1	PD03JAN18		2562.00	2564.20	USD	-55.00	
030CT17	B	2	PD03JAN18		2506.00	2564.20	USD	2,910.00	
030CT17	S	1	PD03JAN18		2565.00	2564.20	USD	20.00	
030CT17	B	1	PD03JAN18		2554.50	2564.20	USD	242.50	
030CT17	B	1	PD03JAN18		2551.50	2564.20	USD	317.50	
030CT17	B	1	PD03JAN18		2552.50	2564.20	USD	292.50	
030CT17	S	1	PD03JAN18		2559.00	2564.20	USD	-130.00	
030CT17	S	1	PD03JAN18		2556.50	2564.20	USD	-192.50	
030CT17	S	1	PD03JAN18		2563.50	2564.20	USD	-17.50	
030CT17	S	1	PD03JAN18		2572.00	2564.20	USD	195.00	
030CT17	S	3	PD03JAN18		2587.00	2564.20	USD	1,710.00	
030CT17	B	2	PD03JAN18		2597.00	2564.20	USD	-1,640.00	
030CT17	B	1	PD03JAN18		2565.00	2564.20	USD	-20.00	
050CT17	B	23	PD03JAN18		2592.00	2564.20	USD	-15,985.00	
100CT17	B	3	PD03JAN18		2555.50	2564.20	USD	652.50	
	NET	0AVG	BGHT 2548.08	SOLD 2532.42				-25,850.00	0.00
040CT17	B	1	PD04JAN18		2591.00	2564.30	USD	-667.50	
040CT17	B	1	PD04JAN18		2591.50	2564.30	USD	-680.00	
040CT17	B	1	PD04JAN18		2590.50	2564.30	USD	-655.00	
040CT17	B	2	PD04JAN18		2589.50	2564.30	USD	-1,260.00	
040CT17	B	1	PD04JAN18		2588.00	2564.30	USD	-592.50	
040CT17	B	1	PD04JAN18		2582.50	2564.30	USD	-455.00	
040CT17	B	1	PD04JAN18		2581.50	2564.30	USD	-430.00	
040CT17	B	1	PD04JAN18		2577.50	2564.30	USD	-330.00	
040CT17	B	1	PD04JAN18		2577.00	2564.30	USD	-317.50	
040CT17	B	1	PD04JAN18		2575.00	2564.30	USD	-267.50	
040CT17	B	1	PD04JAN18		2574.00	2564.30	USD	-242.50	
040CT17	B	1	PD04JAN18		2574.50	2564.30	USD	-255.00	
040CT17	B	1	PD04JAN18		2585.00	2564.30	USD	-517.50	
040CT17	B	1	PD04JAN18		2584.00	2564.30	USD	-492.50	
040CT17	B	1	PD04JAN18		2584.50	2564.30	USD	-505.00	
040CT17	B	2	PD04JAN18		2587.00	2564.30	USD	-1,135.00	
040CT17	B	1	PD04JAN18		2586.50	2564.30	USD	-555.00	
040CT17	B	4	PD04JAN18		2583.50	2564.30	USD	-1,920.00	
040CT17	B	1	PD04JAN18		2570.00	2564.30	USD	-142.50	
040CT17	S	1	PD04JAN18		2591.00	2564.30	USD	667.50	
040CT17	S	1	PD04JAN18		2589.50	2564.30	USD	630.00	
040CT17	S	2	PD04JAN18		2592.00	2564.30	USD	1,385.00	
040CT17	S	1	PD04JAN18		2595.00	2564.30	USD	767.50	
040CT17	S	1	PD04JAN18		2598.00	2564.30	USD	842.50	
040CT17	S	4	PD04JAN18		2606.00	2564.30	USD	4,170.00	
040CT17	S	1	PD04JAN18		2606.50	2564.30	USD	1,055.00	
040CT17	S	3	PD04JAN18		2605.00	2564.30	USD	3,052.50	
040CT17	S	2	PD04JAN18		2606.50	2564.30	USD	2,110.00	
040CT17	S	2	PD04JAN18		2606.00	2564.30	USD	2,085.00	
040CT17	B	1	PD04JAN18		2569.00	2564.30	USD	-117.50	
040CT17	B	1	PD04JAN18		2571.00	2564.30	USD	-167.50	
040CT17	S	1	PD04JAN18		2581.00	2564.30	USD	417.50	
040CT17	B	1	PD04JAN18		2572.50	2564.30	USD	-205.00	
040CT17	B	2	PD04JAN18		2567.50	2564.30	USD	-160.00	
040CT17	S	1	PD04JAN18		2580.00	2564.30	USD	392.50	
040CT17	S	1	PD04JAN18		2580.50	2564.30	USD	405.00	
040CT17	S	1	PD04JAN18		2583.50	2564.30	USD	480.00	
040CT17	S	2	PD04JAN18		2589.00	2564.30	USD	1,235.00	
040CT17	S	1	PD04JAN18		2590.00	2564.30	USD	642.50	

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04OCT17	S	1	PD04JAN18	2591.50	2564.30	USD	680.00	
04OCT17	B	1	PD04JAN18	2573.00	2564.30	USD	-217.50	
04OCT17	B	2	PD04JAN18	2572.00	2564.30	USD	-385.00	
04OCT17	B	4	PD04JAN18	2570.50	2564.30	USD	-620.00	
04OCT17	B	1	PD04JAN18	2565.50	2564.30	USD	-30.00	
04OCT17	B	5	PD04JAN18	2571.50	2564.30	USD	-900.00	
04OCT17	B	3	PD04JAN18	2567.00	2564.30	USD	-202.50	
04OCT17	B	1	PD04JAN18	2564.50	2564.30	USD	-5.00	
04OCT17	B	1	PD04JAN18	2563.00	2564.30	USD	32.50	
04OCT17	B	1	PD04JAN18	2561.00	2564.30	USD	82.50	
04OCT17	B	2	PD04JAN18	2558.00	2564.30	USD	315.00	
04OCT17	B	1	PD04JAN18	2556.50	2564.30	USD	195.00	
04OCT17	B	1	PD04JAN18	2556.00	2564.30	USD	207.50	
04OCT17	B	1	PD04JAN18	2555.50	2564.30	USD	220.00	
04OCT17	B	1	PD04JAN18	2554.00	2564.30	USD	257.50	
04OCT17	B	1	PD04JAN18	2550.00	2564.30	USD	357.50	
04OCT17	B	1	PD04JAN18	2545.50	2564.30	USD	470.00	
04OCT17	S	2	PD04JAN18	2549.50	2564.30	USD	-740.00	
04OCT17	S	3	PD04JAN18	2548.50	2564.30	USD	-1,185.00	
05OCT17	S	23	PD04JAN18	2591.80	2564.30	USD	15,812.50	
19OCT17	S	2	PD04JAN18	2555.51	2564.30	USD	-439.50	
	NET	0AVG BGHT 2573.08	SOLD 2588.92				22,173.00	0.00
05OCT17	B	1	PD05JAN18	2545.50	2564.40	USD	472.50	
05OCT17	B	1	PD05JAN18	2544.50	2564.40	USD	497.50	
05OCT17	S	2	PD05JAN18	2545.00	2564.40	USD	-970.00	
05OCT17	B	1	PD05JAN18	2546.00	2564.40	USD	460.00	
05OCT17	S	1	PD05JAN18	2550.00	2564.40	USD	-360.00	
05OCT17	S	1	PD05JAN18	2550.50	2564.40	USD	-347.50	
05OCT17	S	1	PD05JAN18	2552.00	2564.40	USD	-310.00	
05OCT17	S	2	PD05JAN18	2552.50	2564.40	USD	-595.00	
05OCT17	S	2	PD05JAN18	2555.00	2564.40	USD	-470.00	
05OCT17	S	3	PD05JAN18	2556.00	2564.40	USD	-630.00	
05OCT17	S	2	PD05JAN18	2557.00	2564.40	USD	-370.00	
05OCT17	S	1	PD05JAN18	2557.50	2564.40	USD	-172.50	
05OCT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
05OCT17	S	2	PD05JAN18	2558.50	2564.40	USD	-295.00	
05OCT17	S	1	PD05JAN18	2566.00	2564.40	USD	40.00	
05OCT17	S	1	PD05JAN18	2563.50	2564.40	USD	-22.50	
05OCT17	S	1	PD05JAN18	2565.50	2564.40	USD	27.50	
05OCT17	S	1	PD05JAN18	2571.00	2564.40	USD	165.00	
05OCT17	S	2	PD05JAN18	2572.00	2564.40	USD	380.00	
05OCT17	S	1	PD05JAN18	2572.50	2564.40	USD	202.50	
05OCT17	S	1	PD05JAN18	2568.50	2564.40	USD	102.50	
05OCT17	S	1	PD05JAN18	2580.50	2564.40	USD	402.50	
05OCT17	S	2	PD05JAN18	2581.00	2564.40	USD	830.00	
05OCT17	S	2	PD05JAN18	2583.00	2564.40	USD	930.00	
05OCT17	S	1	PD05JAN18	2587.00	2564.40	USD	565.00	
05OCT17	B	1	PD05JAN18	2590.00	2564.40	USD	-640.00	
05OCT17	B	1	PD05JAN18	2588.00	2564.40	USD	-590.00	
05OCT17	B	2	PD05JAN18	2582.50	2564.40	USD	-905.00	
05OCT17	B	1	PD05JAN18	2580.50	2564.40	USD	-402.50	
05OCT17	B	1	PD05JAN18	2582.00	2564.40	USD	-440.00	
05OCT17	B	3	PD05JAN18	2589.00	2564.40	USD	-1,845.00	
05OCT17	B	1	PD05JAN18	2587.50	2564.40	USD	-577.50	
05OCT17	B	1	PD05JAN18	2590.50	2564.40	USD	-652.50	
05OCT17	S	2	PD05JAN18	2590.50	2564.40	USD	1,305.00	
05OCT17	B	1	PD05JAN18	2592.00	2564.40	USD	-690.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	5	PD05JAN18	2589.00	2564.40	USD	3,075.00	
06OCT17	B	1	PD05JAN18	2576.50	2564.40	USD	-302.50	
06OCT17	B	2	PD05JAN18	2577.00	2564.40	USD	-630.00	
06OCT17	B	3	PD05JAN18	2578.00	2564.40	USD	-1,020.00	
06OCT17	B	2	PD05JAN18	2579.00	2564.40	USD	-730.00	
06OCT17	B	1	PD05JAN18	2575.50	2564.40	USD	-277.50	
06OCT17	B	2	PD05JAN18	2575.00	2564.40	USD	-530.00	
06OCT17	S	1	PD05JAN18	2574.00	2564.40	USD	240.00	
06OCT17	B	1	PD05JAN18	2574.00	2564.40	USD	-240.00	
06OCT17	S	1	PD05JAN18	2572.50	2564.40	USD	202.50	
06OCT17	S	1	PD05JAN18	2573.00	2564.40	USD	215.00	
06OCT17	B	2	PD05JAN18	2569.00	2564.40	USD	-230.00	
06OCT17	B	1	PD05JAN18	2568.00	2564.40	USD	-90.00	
06OCT17	B	2	PD05JAN18	2568.50	2564.40	USD	-205.00	
06OCT17	B	1	PD05JAN18	2570.00	2564.40	USD	-140.00	
06OCT17	B	3	PD05JAN18	2569.50	2564.40	USD	-382.50	
06OCT17	B	2	PD05JAN18	2567.50	2564.40	USD	-155.00	
06OCT17	B	2	PD05JAN18	2564.50	2564.40	USD	-5.00	
06OCT17	B	1	PD05JAN18	2561.50	2564.40	USD	72.50	
06OCT17	B	1	PD05JAN18	2558.00	2564.40	USD	160.00	
06OCT17	B	1	PD05JAN18	2559.00	2564.40	USD	135.00	
06OCT17	B	1	PD05JAN18	2558.50	2564.40	USD	147.50	
06OCT17	B	1	PD05JAN18	2563.00	2564.40	USD	35.00	
06OCT17	B	1	PD05JAN18	2547.00	2564.40	USD	435.00	
06OCT17	S	1	PD05JAN18	2547.50	2564.40	USD	-422.50	
06OCT17	S	1	PD05JAN18	2543.50	2564.40	USD	-522.50	
06OCT17	S	2	PD05JAN18	2544.50	2564.40	USD	-995.00	
06OCT17	S	1	PD05JAN18	2545.00	2564.40	USD	-485.00	
06OCT17	S	2	PD05JAN18	2545.50	2564.40	USD	-945.00	
06OCT17	S	1	PD05JAN18	2546.50	2564.40	USD	-447.50	
06OCT17	B	1	PD05JAN18	2540.50	2564.40	USD	597.50	
06OCT17	B	1	PD05JAN18	2532.00	2564.40	USD	810.00	
06OCT17	B	1	PD05JAN18	2526.50	2564.40	USD	947.50	
06OCT17	B	2	PD05JAN18	2525.50	2564.40	USD	1,945.00	
06OCT17	B	1	PD05JAN18	2524.50	2564.40	USD	997.50	
06OCT17	S	1	PD05JAN18	2526.00	2564.40	USD	-960.00	
06OCT17	S	1	PD05JAN18	2522.00	2564.40	USD	-1,060.00	
06OCT17	S	2	PD05JAN18	2512.50	2564.40	USD	-2,595.00	
06OCT17	S	1	PD05JAN18	2516.00	2564.40	USD	-1,210.00	
06OCT17	S	1	PD05JAN18	2518.50	2564.40	USD	-1,147.50	
06OCT17	S	1	PD05JAN18	2521.00	2564.40	USD	-1,085.00	
06OCT17	S	1	PD05JAN18	2520.50	2564.40	USD	-1,097.50	
06OCT17	B	1	PD05JAN18	2519.50	2564.40	USD	1,122.50	
06OCT17	S	1	PD05JAN18	2522.50	2564.40	USD	-1,047.50	
06OCT17	S	1	PD05JAN18	2523.00	2564.40	USD	-1,035.00	
06OCT17	S	2	PD05JAN18	2525.50	2564.40	USD	-1,945.00	
06OCT17	S	1	PD05JAN18	2528.50	2564.40	USD	-897.50	
06OCT17	S	1	PD05JAN18	2531.50	2564.40	USD	-822.50	
06OCT17	S	1	PD05JAN18	2529.50	2564.40	USD	-872.50	
06OCT17	S	2	PD05JAN18	2527.00	2564.40	USD	-1,870.00	
06OCT17	S	1	PD05JAN18	2533.00	2564.40	USD	-785.00	
06OCT17	S	1	PD05JAN18	2534.50	2564.40	USD	-747.50	
06OCT17	S	1	PD05JAN18	2535.00	2564.40	USD	-735.00	
06OCT17	S	1	PD05JAN18	2541.50	2564.40	USD	-572.50	
06OCT17	B	1	PD05JAN18	2542.00	2564.40	USD	560.00	
06OCT17	B	1	PD05JAN18	2536.50	2564.40	USD	697.50	
06OCT17	B	2	PD05JAN18	2536.00	2564.40	USD	1,420.00	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
06OCT17	S	1	PD05JAN18		2529.00	2564.40	USD	-885.00	
10OCT17	B	13	PD05JAN18		2555.93	2564.40	USD	2,752.75	
	NET	-2 AVG	BGHT 2562.92	SOLD 2552.44				-18,947.25	0.00
09OCT17	B	1	PD09JAN18		2521.50	2564.80	USD	1,082.50	
09OCT17	B	2	PD09JAN18		2525.50	2564.80	USD	1,965.00	
09OCT17	B	1	PD09JAN18		2524.50	2564.80	USD	1,007.50	
09OCT17	B	1	PD09JAN18		2517.00	2564.80	USD	1,195.00	
09OCT17	B	1	PD09JAN18		2530.50	2564.80	USD	857.50	
09OCT17	B	1	PD09JAN18		2529.00	2564.80	USD	895.00	
09OCT17	S	1	PD09JAN18		2532.50	2564.80	USD	-807.50	
09OCT17	B	2	PD09JAN18		2515.50	2564.80	USD	2,465.00	
09OCT17	B	1	PD09JAN18		2520.00	2564.80	USD	1,120.00	
09OCT17	B	2	PD09JAN18		2516.50	2564.80	USD	2,415.00	
09OCT17	B	2	PD09JAN18		2516.00	2564.80	USD	2,440.00	
09OCT17	B	5	PD09JAN18		2517.50	2564.80	USD	5,912.50	
09OCT17	B	6	PD09JAN18		2518.50	2564.80	USD	6,945.00	
09OCT17	B	3	PD09JAN18		2518.00	2564.80	USD	3,510.00	
09OCT17	S	1	PD09JAN18		2514.00	2564.80	USD	-1,270.00	
09OCT17	B	2	PD09JAN18		2508.50	2564.80	USD	2,815.00	
09OCT17	B	1	PD09JAN18		2502.50	2564.80	USD	1,557.50	
09OCT17	S	1	PD09JAN18		2516.00	2564.80	USD	-1,220.00	
09OCT17	S	1	PD09JAN18		2506.50	2564.80	USD	-1,457.50	
09OCT17	S	3	PD09JAN18		2509.50	2564.80	USD	-4,147.50	
09OCT17	S	2	PD09JAN18		2513.50	2564.80	USD	-2,565.00	
09OCT17	S	1	PD09JAN18		2511.50	2564.80	USD	-1,332.50	
09OCT17	S	2	PD09JAN18		2512.50	2564.80	USD	-2,615.00	
09OCT17	S	1	PD09JAN18		2520.00	2564.80	USD	-1,120.00	
09OCT17	S	1	PD09JAN18		2523.50	2564.80	USD	-1,032.50	
09OCT17	S	1	PD09JAN18		2525.00	2564.80	USD	-995.00	
09OCT17	B	1	PD09JAN18		2521.00	2564.80	USD	1,095.00	
09OCT17	S	1	PD09JAN18		2507.00	2564.80	USD	-1,445.00	
09OCT17	S	1	PD09JAN18		2508.50	2564.80	USD	-1,407.50	
09OCT17	S	1	PD09JAN18		2507.50	2564.80	USD	-1,432.50	
09OCT17	B	1	PD09JAN18		2509.00	2564.80	USD	1,395.00	
09OCT17	B	2	PD09JAN18		2504.00	2564.80	USD	3,040.00	
09OCT17	B	4	PD09JAN18		2495.50	2564.80	USD	6,930.00	
09OCT17	B	2	PD09JAN18		2492.50	2564.80	USD	3,615.00	
09OCT17	B	1	PD09JAN18		2493.50	2564.80	USD	1,782.50	
09OCT17	B	1	PD09JAN18		2487.50	2564.80	USD	1,932.50	
09OCT17	S	1	PD09JAN18		2502.50	2564.80	USD	-1,557.50	
09OCT17	S	1	PD09JAN18		2503.00	2564.80	USD	-1,545.00	
09OCT17	S	1	PD09JAN18		2504.00	2564.80	USD	-1,520.00	
09OCT17	S	2	PD09JAN18		2507.00	2564.80	USD	-2,890.00	
09OCT17	S	1	PD09JAN18		2510.50	2564.80	USD	-1,357.50	
09OCT17	S	2	PD09JAN18		2513.00	2564.80	USD	-2,590.00	
09OCT17	S	1	PD09JAN18		2512.00	2564.80	USD	-1,320.00	
09OCT17	S	1	PD09JAN18		2515.00	2564.80	USD	-1,245.00	
09OCT17	S	1	PD09JAN18		2514.00	2564.80	USD	-1,270.00	
10OCT17	S	14	PD09JAN18		2556.59	2564.80	USD	-2,873.50	
	NET	0 AVG	BGHT 2512.73	SOLD 2526.65				14,956.50	0.00
10OCT17	B	1	PD10JAN18		2494.50	2564.90	USD	1,760.00	
10OCT17	B	2	PD10JAN18		2495.50	2564.90	USD	3,470.00	
10OCT17	B	2	PD10JAN18		2494.00	2564.90	USD	3,545.00	
10OCT17	B	1	PD10JAN18		2501.50	2564.90	USD	1,585.00	
10OCT17	B	2	PD10JAN18		2497.50	2564.90	USD	3,370.00	
10OCT17	B	2	PD10JAN18		2496.50	2564.90	USD	3,420.00	
10OCT17	S	1	PD10JAN18		2502.00	2564.90	USD	-1,572.50	

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10OCT17	S	3	PD10JAN18	2501.50	2564.90	USD	-4,755.00	
10OCT17	S	1	PD10JAN18	2501.00	2564.90	USD	-1,597.50	
10OCT17	S	1	PD10JAN18	2497.00	2564.90	USD	-1,697.50	
10OCT17	B	1	PD10JAN18	2490.00	2564.90	USD	1,872.50	
10OCT17	B	1	PD10JAN18	2489.50	2564.90	USD	1,885.00	
10OCT17	B	3	PD10JAN18	2490.50	2564.90	USD	5,580.00	
10OCT17	B	2	PD10JAN18	2491.00	2564.90	USD	3,695.00	
10OCT17	B	2	PD10JAN18	2487.00	2564.90	USD	3,895.00	
10OCT17	B	2	PD10JAN18	2486.50	2564.90	USD	3,920.00	
10OCT17	S	1	PD10JAN18	2502.50	2564.90	USD	-1,560.00	
10OCT17	S	3	PD10JAN18	2503.00	2564.90	USD	-4,642.50	
10OCT17	B	2	PD10JAN18	2497.00	2564.90	USD	3,395.00	
10OCT17	S	1	PD10JAN18	2489.50	2564.90	USD	-1,885.00	
10OCT17	S	1	PD10JAN18	2517.00	2564.90	USD	-1,197.50	
10OCT17	S	1	PD10JAN18	2517.50	2564.90	USD	-1,185.00	
10OCT17	S	1	PD10JAN18	2518.00	2564.90	USD	-1,172.50	
10OCT17	S	1	PD10JAN18	2518.50	2564.90	USD	-1,160.00	
10OCT17	S	2	PD10JAN18	2519.00	2564.90	USD	-2,295.00	
10OCT17	S	1	PD10JAN18	2520.50	2564.90	USD	-1,110.00	
10OCT17	S	1	PD10JAN18	2520.00	2564.90	USD	-1,122.50	
10OCT17	S	1	PD10JAN18	2515.00	2564.90	USD	-1,247.50	
10OCT17	S	1	PD10JAN18	2515.50	2564.90	USD	-1,235.00	
10OCT17	S	1	PD10JAN18	2505.50	2564.90	USD	-1,485.00	
10OCT17	S	3	PD10JAN18	2516.00	2564.90	USD	-3,667.50	
10OCT17	S	2	PD10JAN18	2524.00	2564.90	USD	-2,045.00	
10OCT17	S	1	PD10JAN18	2524.50	2564.90	USD	-1,010.00	
10OCT17	B	3	PD10JAN18	2507.00	2564.90	USD	4,342.50	
10OCT17	B	1	PD10JAN18	2508.50	2564.90	USD	1,410.00	
10OCT17	B	4	PD10JAN18	2507.50	2564.90	USD	5,740.00	
10OCT17	B	1	PD10JAN18	2513.50	2564.90	USD	1,285.00	
10OCT17	B	3	PD10JAN18	2508.00	2564.90	USD	4,267.50	
10OCT17	S	1	PD10JAN18	2528.00	2564.90	USD	-922.50	
10OCT17	S	1	PD10JAN18	2529.00	2564.90	USD	-897.50	
10OCT17	S	1	PD10JAN18	2530.50	2564.90	USD	-860.00	
10OCT17	S	1	PD10JAN18	2533.00	2564.90	USD	-797.50	
10OCT17	S	2	PD10JAN18	2533.50	2564.90	USD	-1,570.00	
10OCT17	S	2	PD10JAN18	2534.50	2564.90	USD	-1,520.00	
10OCT17	S	1	PD10JAN18	2535.50	2564.90	USD	-735.00	
10OCT17	B	1	PD10JAN18	2502.00	2564.90	USD	1,572.50	
10OCT17	S	1	PD10JAN18	2539.50	2564.90	USD	-635.00	
10OCT17	S	1	PD10JAN18	2550.00	2564.90	USD	-372.50	
10OCT17	B	1	PD10JAN18	2548.50	2564.90	USD	410.00	
10OCT17	B	1	PD10JAN18	2546.50	2564.90	USD	460.00	
10OCT17	B	1	PD10JAN18	2548.00	2564.90	USD	422.50	
10OCT17	S	1	PD10JAN18	2552.00	2564.90	USD	-322.50	
10OCT17	S	1	PD10JAN18	2557.00	2564.90	USD	-197.50	
10OCT17	S	1	PD10JAN18	2557.50	2564.90	USD	-185.00	
10OCT17	B	3	PD10JAN18	2559.00	2564.90	USD	442.50	
10OCT17	B	2	PD10JAN18	2558.00	2564.90	USD	345.00	
10OCT17	B	1	PD10JAN18	2558.50	2564.90	USD	160.00	
10OCT17	S	1	PD10JAN18	2559.50	2564.90	USD	-135.00	
	NET	+2 AVG BGHT 2509.57	SOLD 2521.37				15,457.50	0.00
11OCT17	B	1	PD11JAN18	2539.50	2565.00	USD	637.50	
11OCT17	S	1	PD11JAN18	2540.50	2565.00	USD	-612.50	
11OCT17	S	2	PD11JAN18	2541.00	2565.00	USD	-1,200.00	
11OCT17	B	2	PD11JAN18	2533.50	2565.00	USD	1,575.00	
11OCT17	B	2	PD11JAN18	2532.00	2565.00	USD	1,650.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	3	PD11JAN18	2532.50	2565.00	USD	2,437.50	
11OCT17	B	1	PD11JAN18	2536.00	2565.00	USD	725.00	
11OCT17	B	1	PD11JAN18	2535.00	2565.00	USD	750.00	
11OCT17	B	1	PD11JAN18	2534.50	2565.00	USD	762.50	
11OCT17	B	2	PD11JAN18	2527.50	2565.00	USD	1,875.00	
11OCT17	B	3	PD11JAN18	2527.00	2565.00	USD	2,850.00	
11OCT17	B	1	PD11JAN18	2526.00	2565.00	USD	975.00	
11OCT17	B	2	PD11JAN18	2524.00	2565.00	USD	2,050.00	
11OCT17	B	1	PD11JAN18	2521.00	2565.00	USD	1,100.00	
11OCT17	B	1	PD11JAN18	2516.00	2565.00	USD	1,225.00	
11OCT17	B	2	PD11JAN18	2511.00	2565.00	USD	2,700.00	
11OCT17	B	1	PD11JAN18	2513.00	2565.00	USD	1,300.00	
11OCT17	B	5	PD11JAN18	2511.50	2565.00	USD	6,687.50	
11OCT17	B	2	PD11JAN18	2512.00	2565.00	USD	2,650.00	
11OCT17	B	4	PD11JAN18	2517.00	2565.00	USD	4,800.00	
11OCT17	S	1	PD11JAN18	2507.50	2565.00	USD	-1,437.50	
11OCT17	S	1	PD11JAN18	2508.50	2565.00	USD	-1,412.50	
11OCT17	S	2	PD11JAN18	2513.00	2565.00	USD	-2,600.00	
11OCT17	S	1	PD11JAN18	2512.50	2565.00	USD	-1,312.50	
11OCT17	S	1	PD11JAN18	2515.50	2565.00	USD	-1,237.50	
11OCT17	S	4	PD11JAN18	2515.00	2565.00	USD	-5,000.00	
11OCT17	S	1	PD11JAN18	2512.00	2565.00	USD	-1,325.00	
11OCT17	S	1	PD11JAN18	2521.50	2565.00	USD	-1,087.50	
11OCT17	S	2	PD11JAN18	2522.00	2565.00	USD	-2,150.00	
11OCT17	S	1	PD11JAN18	2523.50	2565.00	USD	-1,037.50	
11OCT17	S	2	PD11JAN18	2524.00	2565.00	USD	-2,050.00	
11OCT17	S	4	PD11JAN18	2524.50	2565.00	USD	-4,050.00	
11OCT17	S	2	PD11JAN18	2525.00	2565.00	USD	-2,000.00	
11OCT17	S	1	PD11JAN18	2527.00	2565.00	USD	-950.00	
11OCT17	S	1	PD11JAN18	2529.00	2565.00	USD	-900.00	
11OCT17	B	2	PD11JAN18	2531.00	2565.00	USD	1,700.00	
11OCT17	S	2	PD11JAN18	2532.50	2565.00	USD	-1,625.00	
11OCT17	S	4	PD11JAN18	2534.00	2565.00	USD	-3,100.00	
11OCT17	S	1	PD11JAN18	2529.50	2565.00	USD	-887.50	
11OCT17	S	2	PD11JAN18	2531.00	2565.00	USD	-1,700.00	
11OCT17	S	3	PD11JAN18	2533.00	2565.00	USD	-2,400.00	
11OCT17	S	1	PD11JAN18	2535.50	2565.00	USD	-737.50	
11OCT17	S	3	PD11JAN18	2535.00	2565.00	USD	-2,250.00	
11OCT17	S	1	PD11JAN18	2536.00	2565.00	USD	-725.00	
11OCT17	S	4	PD11JAN18	2531.50	2565.00	USD	-3,350.00	
11OCT17	S	4	PD11JAN18	2532.00	2565.00	USD	-3,300.00	
11OCT17	S	1	PD11JAN18	2516.50	2565.00	USD	-1,212.50	
11OCT17	S	1	PD11JAN18	2520.50	2565.00	USD	-1,112.50	
11OCT17	B	1	PD11JAN18	2539.00	2565.00	USD	650.00	
11OCT17	B	3	PD11JAN18	2543.50	2565.00	USD	1,612.50	
11OCT17	B	1	PD11JAN18	2531.00	2565.00	USD	850.00	
11OCT17	B	2	PD11JAN18	2528.50	2565.00	USD	1,825.00	
11OCT17	B	4	PD11JAN18	2524.50	2565.00	USD	4,050.00	
11OCT17	B	2	PD11JAN18	2525.50	2565.00	USD	1,975.00	
11OCT17	B	3	PD11JAN18	2525.00	2565.00	USD	3,000.00	
11OCT17	B	2	PD11JAN18	2520.00	2565.00	USD	2,250.00	
11OCT17	B	3	PD11JAN18	2523.00	2565.00	USD	3,150.00	
11OCT17	B	1	PD11JAN18	2520.50	2565.00	USD	1,112.50	
11OCT17	B	4	PD11JAN18	2519.50	2565.00	USD	4,550.00	
11OCT17	S	1	PD11JAN18	2533.50	2565.00	USD	-787.50	
11OCT17	S	5	PD11JAN18	2528.50	2565.00	USD	-4,562.50	
11OCT17	B	3	PD11JAN18	2530.50	2565.00	USD	2,587.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	2517.50	2565.00	USD	1,187.50	
11OCT17	S 8 PD11JAN18	2530.50	2565.00	USD	-6,900.00	
11OCT17	S 1 PD11JAN18	2560.00	2565.00	USD	-125.00	
11OCT17	S 5 PD11JAN18	2562.00	2565.00	USD	-375.00	
11OCT17	S 1 PD11JAN18	2561.00	2565.00	USD	-100.00	
11OCT17	B 1 PD11JAN18	2555.50	2565.00	USD	237.50	
11OCT17	B 1 PD11JAN18	2556.00	2565.00	USD	225.00	
11OCT17	B 1 PD11JAN18	2556.50	2565.00	USD	212.50	
11OCT17	B 3 PD11JAN18	2555.00	2565.00	USD	750.00	
	NET -3AVG BGHT 2527.37 SOLD 2530.47				3,062.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	B 1 PD13OCT17	9365.00	11061.00	USD	10,176.00	
18JUL17	S 1 PD13OCT17	9777.44	11061.00	USD	-7,701.36	
	NET 0AVG BGHT 9365.00 SOLD 9777.44				2,474.64	0.00
17JUL17	S 1 PD17OCT17	9695.00	11064.20	USD	-8,215.20	
18JUL17	B 1 PD17OCT17	9781.00	11064.20	USD	7,699.20	
	NET 0AVG BGHT 9781.00 SOLD 9695.00				-516.00	0.00
20JUL17	B 1 PD20OCT17	9635.00	11066.93	USD	8,591.58	
01AUG17	S 1 PD20OCT17	10285.29	11066.93	USD	-4,689.84	
	NET 0AVG BGHT 9635.00 SOLD 10285.29				3,901.74	0.00
25JUL17	B 1 PD25OCT17	9900.00	11071.75	USD	7,030.50	
01AUG17	S 1 PD25OCT17	10287.25	11071.75	USD	-4,707.00	
	NET 0AVG BGHT 9900.00 SOLD 10287.25				2,323.50	0.00
27JUL17	S 1 PD27OCT17	10110.00	11073.68	USD	-5,782.08	
27JUL17	S 1 PD27OCT17	10155.00	11073.68	USD	-5,512.08	
01AUG17	B 2 PD27OCT17	10289.04	11073.68	USD	9,415.68	
	NET 0AVG BGHT 10289.04 SOLD 10132.50				-1,878.48	0.00
01AUG17	B 1 PD01NOV17	10180.00	11078.50	USD	5,391.00	
03AUG17	S 1 PD01NOV17	10379.57	11078.50	USD	-4,193.58	
	NET 0AVG BGHT 10180.00 SOLD 10379.57				1,197.42	0.00
02AUG17	S 1 PD02NOV17	10290.00	11079.46	USD	-4,736.76	
03AUG17	B 1 PD02NOV17	10380.79	11079.46	USD	4,192.02	
	NET 0AVG BGHT 10380.79 SOLD 10290.00				-544.74	0.00
04AUG17	B 1 PD03NOV17	10295.00	11080.43	USD	4,712.58	
10AUG17	S 1 PD03NOV17	10980.89	11080.43	USD	-597.24	
	NET 0AVG BGHT 10295.00 SOLD 10980.89				4,115.34	0.00
08AUG17	S 1 PD08NOV17	10725.00	11085.25	USD	-2,161.50	
10AUG17	B 1 PD08NOV17	10984.83	11085.25	USD	602.52	
	NET 0AVG BGHT 10984.83 SOLD 10725.00				-1,558.98	0.00
11AUG17	B 1 PD10NOV17	10640.00	11087.18	USD	2,683.08	
22AUG17	S 1 PD10NOV17	11410.04	11087.18	USD	1,937.16	
	NET 0AVG BGHT 10640.00 SOLD 11410.04				4,620.24	0.00
14AUG17	B 1 PD14NOV17	10555.00	11091.04	USD	3,216.24	
22AUG17	S 1 PD14NOV17	11412.81	11091.04	USD	1,930.62	
	NET 0AVG BGHT 10555.00 SOLD 11412.81				5,146.86	0.00
22AUG17	S 1 PD22NOV17	11435.00	11097.40	USD	2,025.60	
22AUG17	S 1 PD22NOV17	11430.00	11097.40	USD	1,995.60	
29AUG17	B 1 PD22NOV17	11705.15	11097.40	USD	-3,646.50	
13SEP17	B 1 PD22NOV17	11343.92	11097.40	USD	-1,479.12	
	NET 0AVG BGHT 11524.54 SOLD 11432.50				-1,104.42	0.00
23AUG17	B 1 PD24NOV17	11340.00	11098.94	USD	-1,446.36	
23AUG17	S 1 PD24NOV17	11325.00	11098.94	USD	1,356.36	

OPEN POSITION STATEMENT



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23AUG17	B	1	PD24NOV17	11455.00	11098.94	USD	-2,136.36	
23AUG17	S	1	PD24NOV17	11515.00	11098.94	USD	2,496.36	
23AUG17	S	1	PD24NOV17	11580.00	11098.94	USD	2,886.36	
23AUG17	B	1	PD24NOV17	11615.00	11098.94	USD	-3,096.36	
25AUG17	B	1	PD24NOV17	11430.00	11098.94	USD	-1,986.36	
29AUG17	S	1	PD24NOV17	11705.54	11098.94	USD	3,639.60	
	NET	0 AVG	BGHT 11460.00				1,713.24	0.00
29AUG17	S	1	PD29NOV17	11770.00	11102.80	USD	4,003.20	
29AUG17	B	1	PD29NOV17	11845.00	11102.80	USD	-4,453.20	
29AUG17	S	1	PD29NOV17	11810.00	11102.80	USD	4,243.20	
06SEP17	B	1	PD29NOV17	12160.80	11102.80	USD	-6,348.00	
	NET	0 AVG	BGHT 12002.90				-2,554.80	0.00
30AUG17	B	1	PD30NOV17	11775.00	11103.57	USD	-4,028.58	
30AUG17	B	1	PD30NOV17	11660.00	11103.57	USD	-3,338.58	
30AUG17	S	1	PD30NOV17	11570.00	11103.57	USD	2,798.58	
31AUG17	S	1	PD30NOV17	11700.00	11103.57	USD	3,578.58	
	NET	0 AVG	BGHT 11717.50				-990.00	0.00
01SEP17	B	1	PD01DEC17	12065.00	11104.34	USD	-5,763.96	
06SEP17	S	1	PD01DEC17	12161.00	11104.34	USD	6,339.96	
	NET	0 AVG	BGHT 12065.00				576.00	0.00
08SEP17	B	1	PD08DEC17	11575.00	11109.74	USD	-2,791.56	
08SEP17	S	1	PD08DEC17	11505.00	11109.74	USD	2,371.56	
08SEP17	B	1	PD08DEC17	11500.00	11109.74	USD	-2,341.56	
13SEP17	S	1	PD08DEC17	11356.46	11109.74	USD	1,480.32	
	NET	0 AVG	BGHT 11537.50				-1,281.24	0.00
11SEP17	S	1	PD11DEC17	11745.00	11112.06	USD	3,797.64	
13SEP17	B	1	PD11DEC17	11360.00	11112.06	USD	-1,487.64	
	NET	0 AVG	BGHT 11360.00				2,310.00	0.00
13SEP17	B	1	PD13DEC17	11515.00	11113.60	USD	-2,408.40	
15SEP17	S	1	PD13DEC17	11087.40	11113.60	USD	-157.20	
	NET	0 AVG	BGHT 11515.00				-2,565.60	0.00
06OCT17	S	1	PD05JAN18	10670.00	11130.64	USD	-2,763.84	
	NET	-1 AVG	SOLD 10670.00				-2,763.84	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	B	1	PD12OCT17	2836.00	3299.33	USD	11,583.25	
12JUL17	B	1	PD12OCT17	2831.50	3299.33	USD	11,695.75	
12JUL17	B	1	PD12OCT17	2830.50	3299.33	USD	11,720.75	
12JUL17	B	1	PD12OCT17	2824.50	3299.33	USD	11,870.75	
12JUL17	S	1	PD12OCT17	2824.50	3299.33	USD	-11,870.75	
12JUL17	S	1	PD12OCT17	2824.00	3299.33	USD	-11,883.25	
12JUL17	S	1	PD12OCT17	2825.50	3299.33	USD	-11,845.75	
12JUL17	S	1	PD12OCT17	2826.50	3299.33	USD	-11,820.75	
12JUL17	S	1	PD12OCT17	2827.00	3299.33	USD	-11,808.25	
12JUL17	S	1	PD12OCT17	2828.50	3299.33	USD	-11,770.75	
12JUL17	S	1	PD12OCT17	2829.50	3299.33	USD	-11,745.75	
12JUL17	S	1	PD12OCT17	2829.00	3299.33	USD	-11,758.25	
12JUL17	B	1	PD12OCT17	2822.00	3299.33	USD	11,933.25	
12JUL17	B	1	PD12OCT17	2821.50	3299.33	USD	11,945.75	
12JUL17	B	1	PD12OCT17	2818.00	3299.33	USD	12,033.25	
12JUL17	B	2	PD12OCT17	2819.50	3299.33	USD	23,991.50	
12JUL17	B	2	PD12OCT17	2820.00	3299.33	USD	23,966.50	
12JUL17	B	2	PD12OCT17	2818.50	3299.33	USD	24,041.50	
12JUL17	S	3	PD12OCT17	2831.50	3299.33	USD	-35,087.25	
12JUL17	S	3	PD12OCT17	2830.00	3299.33	USD	-35,199.75	

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12JUL17	S	4	PD12OCT17	2830.50	3299.33	USD	-46,883.00	
12JUL17	S	2	PD12OCT17	2831.00	3299.33	USD	-23,416.50	
12JUL17	S	3	PD12OCT17	2828.00	3299.33	USD	-35,349.75	
12JUL17	S	1	PD12OCT17	2833.00	3299.33	USD	-11,658.25	
12JUL17	S	1	PD12OCT17	2835.50	3299.33	USD	-11,595.75	
12JUL17	S	1	PD12OCT17	2836.00	3299.33	USD	-11,583.25	
12JUL17	S	1	PD12OCT17	2837.50	3299.33	USD	-11,545.75	
12JUL17	S	1	PD12OCT17	2838.50	3299.33	USD	-11,520.75	
12JUL17	S	2	PD12OCT17	2839.00	3299.33	USD	-23,016.50	
12JUL17	S	1	PD12OCT17	2839.50	3299.33	USD	-11,495.75	
12JUL17	S	1	PD12OCT17	2841.00	3299.33	USD	-11,458.25	
12JUL17	S	5	PD12OCT17	2840.50	3299.33	USD	-57,353.75	
12JUL17	S	2	PD12OCT17	2842.00	3299.33	USD	-22,866.50	
12JUL17	S	1	PD12OCT17	2844.00	3299.33	USD	-11,383.25	
12JUL17	S	1	PD12OCT17	2846.50	3299.33	USD	-11,320.75	
12JUL17	S	3	PD12OCT17	2847.50	3299.33	USD	-33,887.25	
12JUL17	S	1	PD12OCT17	2848.00	3299.33	USD	-11,283.25	
12JUL17	S	1	PD12OCT17	2849.00	3299.33	USD	-11,258.25	
12JUL17	S	7	PD12OCT17	2859.00	3299.33	USD	-77,057.75	
12JUL17	S	1	PD12OCT17	2858.50	3299.33	USD	-11,020.75	
12JUL17	S	1	PD12OCT17	2857.00	3299.33	USD	-11,058.25	
12JUL17	S	1	PD12OCT17	2856.00	3299.33	USD	-11,083.25	
12JUL17	S	3	PD12OCT17	2859.50	3299.33	USD	-32,987.25	
12JUL17	S	1	PD12OCT17	2861.00	3299.33	USD	-10,958.25	
12JUL17	B	1	PD12OCT17	2859.50	3299.33	USD	10,995.75	
12JUL17	S	1	PD12OCT17	2861.50	3299.33	USD	-10,945.75	
12JUL17	B	1	PD12OCT17	2851.50	3299.33	USD	11,195.75	
12JUL17	S	1	PD12OCT17	2847.00	3299.33	USD	-11,308.25	
12JUL17	B	2	PD12OCT17	2843.00	3299.33	USD	22,816.50	
12JUL17	B	1	PD12OCT17	2839.00	3299.33	USD	11,508.25	
12JUL17	S	1	PD12OCT17	2828.00	3299.33	USD	-11,783.25	
12JUL17	B	1	PD12OCT17	2820.50	3299.33	USD	11,970.75	
12JUL17	S	1	PD12OCT17	2858.00	3299.33	USD	-11,033.25	
12JUL17	S	4	PD12OCT17	2858.00	3299.33	USD	-44,133.00	
12JUL17	B	2	PD12OCT17	2850.00	3299.33	USD	22,466.50	
12JUL17	B	2	PD12OCT17	2848.50	3299.33	USD	22,541.50	
12JUL17	B	2	PD12OCT17	2844.50	3299.33	USD	22,741.50	
12JUL17	S	2	PD12OCT17	2845.50	3299.33	USD	-22,691.50	
12JUL17	B	3	PD12OCT17	2846.50	3299.33	USD	33,962.25	
12JUL17	B	1	PD12OCT17	2849.50	3299.33	USD	11,245.75	
12JUL17	B	3	PD12OCT17	2847.00	3299.33	USD	33,924.75	
12JUL17	B	1	PD12OCT17	2847.50	3299.33	USD	11,295.75	
12JUL17	B	1	PD12OCT17	2845.00	3299.33	USD	11,358.25	
12JUL17	B	2	PD12OCT17	2843.50	3299.33	USD	22,791.50	
12JUL17	B	1	PD12OCT17	2841.00	3299.33	USD	11,458.25	
12JUL17	B	2	PD12OCT17	2840.00	3299.33	USD	22,966.50	
12JUL17	B	5	PD12OCT17	2834.00	3299.33	USD	58,166.25	
12JUL17	B	1	PD12OCT17	2832.00	3299.33	USD	11,683.25	
12JUL17	S	1	PD12OCT17	2837.00	3299.33	USD	-11,558.25	
12JUL17	B	2	PD12OCT17	2838.50	3299.33	USD	23,041.50	
12JUL17	B	2	PD12OCT17	2836.50	3299.33	USD	23,141.50	
12JUL17	S	1	PD12OCT17	2838.00	3299.33	USD	-11,533.25	
12JUL17	B	5	PD12OCT17	2837.00	3299.33	USD	57,791.25	
12JUL17	B	2	PD12OCT17	2833.00	3299.33	USD	23,316.50	
12JUL17	B	4	PD12OCT17	2834.50	3299.33	USD	46,483.00	
12JUL17	B	2	PD12OCT17	2833.50	3299.33	USD	23,291.50	
12JUL17	S	6	PD12OCT17	2832.00	3299.33	USD	-70,099.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12JUL17	S	6	PD120CT17	2832.50	3299.33	USD	-70,024.50	
12JUL17	B	1	PD120CT17	2858.00	3299.33	USD	11,033.25	
12JUL17	S	1	PD120CT17	2848.00	3299.33	USD	-11,283.25	
12JUL17	B	1	PD120CT17	2838.00	3299.33	USD	11,533.25	
12JUL17	B	3	PD120CT17	2832.50	3299.33	USD	35,012.25	
12JUL17	B	2	PD120CT17	2831.00	3299.33	USD	23,416.50	
12JUL17	B	1	PD120CT17	2826.50	3299.33	USD	11,820.75	
12JUL17	B	3	PD120CT17	2823.50	3299.33	USD	35,687.25	
12JUL17	B	1	PD120CT17	2823.00	3299.33	USD	11,908.25	
12JUL17	S	1	PD120CT17	2823.50	3299.33	USD	-11,895.75	
12JUL17	S	1	PD120CT17	2823.00	3299.33	USD	-11,908.25	
12JUL17	B	3	PD120CT17	2821.00	3299.33	USD	35,874.75	
12JUL17	B	7	PD120CT17	2820.50	3299.33	USD	83,795.25	
12JUL17	S	1	PD120CT17	2819.00	3299.33	USD	-12,008.25	
13JUL17	S	2	PD120CT17	2803.55	3299.33	USD	-24,789.00	
18JUL17	B	6	PD120CT17	2792.86	3299.33	USD	75,970.50	
	NET	0	AVG BGHT 2831.34				18,161.00	0.00
			SOLD 2839.41					
13JUL17	B	1	PD130CT17	2823.00	3295.00	USD	11,800.00	
13JUL17	S	3	PD130CT17	2829.00	3295.00	USD	-34,950.00	
13JUL17	S	1	PD130CT17	2828.00	3295.00	USD	-11,675.00	
13JUL17	S	2	PD130CT17	2826.00	3295.00	USD	-23,450.00	
13JUL17	S	1	PD130CT17	2826.50	3295.00	USD	-11,712.50	
13JUL17	S	2	PD130CT17	2827.00	3295.00	USD	-23,400.00	
13JUL17	S	5	PD130CT17	2825.50	3295.00	USD	-58,687.50	
13JUL17	B	1	PD130CT17	2841.00	3295.00	USD	11,350.00	
13JUL17	S	2	PD130CT17	2839.00	3295.00	USD	-22,800.00	
13JUL17	S	1	PD130CT17	2840.00	3295.00	USD	-11,375.00	
13JUL17	S	1	PD130CT17	2842.50	3295.00	USD	-11,312.50	
13JUL17	B	2	PD130CT17	2829.00	3295.00	USD	23,300.00	
13JUL17	S	1	PD130CT17	2836.00	3295.00	USD	-11,475.00	
13JUL17	S	3	PD130CT17	2839.50	3295.00	USD	-34,162.50	
13JUL17	S	1	PD130CT17	2843.50	3295.00	USD	-11,287.50	
13JUL17	S	1	PD130CT17	2844.00	3295.00	USD	-11,275.00	
13JUL17	S	2	PD130CT17	2845.00	3295.00	USD	-22,500.00	
13JUL17	S	1	PD130CT17	2846.00	3295.00	USD	-11,225.00	
13JUL17	B	1	PD130CT17	2840.50	3295.00	USD	11,362.50	
13JUL17	B	1	PD130CT17	2840.00	3295.00	USD	11,375.00	
13JUL17	B	2	PD130CT17	2839.50	3295.00	USD	22,775.00	
13JUL17	B	1	PD130CT17	2835.00	3295.00	USD	11,500.00	
13JUL17	B	3	PD130CT17	2837.00	3295.00	USD	34,350.00	
13JUL17	B	7	PD130CT17	2837.50	3295.00	USD	80,062.50	
13JUL17	B	4	PD130CT17	2833.00	3295.00	USD	46,200.00	
13JUL17	B	2	PD130CT17	2832.50	3295.00	USD	23,125.00	
13JUL17	B	2	PD130CT17	2831.50	3295.00	USD	23,175.00	
13JUL17	B	1	PD130CT17	2833.50	3295.00	USD	11,537.50	
13JUL17	B	5	PD130CT17	2832.00	3295.00	USD	57,875.00	
13JUL17	B	2	PD130CT17	2824.50	3295.00	USD	23,525.00	
13JUL17	B	1	PD130CT17	2825.50	3295.00	USD	11,737.50	
13JUL17	B	1	PD130CT17	2826.00	3295.00	USD	11,725.00	
13JUL17	S	1	PD130CT17	2837.50	3295.00	USD	-11,437.50	
13JUL17	B	1	PD130CT17	2828.00	3295.00	USD	11,675.00	
13JUL17	B	1	PD130CT17	2830.50	3295.00	USD	11,612.50	
13JUL17	B	1	PD130CT17	2828.50	3295.00	USD	11,662.50	
13JUL17	B	2	PD130CT17	2827.00	3295.00	USD	23,400.00	
13JUL17	B	1	PD130CT17	2826.50	3295.00	USD	11,712.50	
13JUL17	B	1	PD130CT17	2827.50	3295.00	USD	11,687.50	
13JUL17	B	2	PD130CT17	2829.50	3295.00	USD	23,275.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD130CT17	2824.00	3295.00	USD	-11,775.00	
13JUL17	S	1	PD130CT17	2823.50	3295.00	USD	-11,787.50	
13JUL17	S	7	PD130CT17	2824.50	3295.00	USD	-82,337.50	
13JUL17	S	2	PD130CT17	2823.00	3295.00	USD	-23,600.00	
13JUL17	B	1	PD130CT17	2817.50	3295.00	USD	11,937.50	
13JUL17	B	1	PD130CT17	2815.00	3295.00	USD	12,000.00	
13JUL17	B	4	PD130CT17	2811.50	3295.00	USD	48,350.00	
13JUL17	B	1	PD130CT17	2806.50	3295.00	USD	12,212.50	
13JUL17	B	1	PD130CT17	2805.00	3295.00	USD	12,250.00	
13JUL17	B	1	PD130CT17	2804.50	3295.00	USD	12,262.50	
13JUL17	B	1	PD130CT17	2799.00	3295.00	USD	12,400.00	
13JUL17	B	3	PD130CT17	2803.00	3295.00	USD	36,900.00	
13JUL17	S	1	PD130CT17	2808.00	3295.00	USD	-12,175.00	
13JUL17	B	1	PD130CT17	2807.50	3295.00	USD	12,187.50	
13JUL17	B	2	PD130CT17	2808.00	3295.00	USD	24,350.00	
13JUL17	B	1	PD130CT17	2811.00	3295.00	USD	12,100.00	
13JUL17	B	4	PD130CT17	2804.00	3295.00	USD	49,100.00	
13JUL17	B	2	PD130CT17	2803.50	3295.00	USD	24,575.00	
13JUL17	B	2	PD130CT17	2800.00	3295.00	USD	24,750.00	
13JUL17	B	2	PD130CT17	2802.00	3295.00	USD	24,650.00	
13JUL17	B	5	PD130CT17	2801.50	3295.00	USD	61,687.50	
13JUL17	B	5	PD130CT17	2800.50	3295.00	USD	61,812.50	
13JUL17	B	1	PD130CT17	2801.00	3295.00	USD	12,350.00	
13JUL17	S	1	PD130CT17	2796.50	3295.00	USD	-12,462.50	
13JUL17	S	1	PD130CT17	2797.50	3295.00	USD	-12,437.50	
13JUL17	S	2	PD130CT17	2798.00	3295.00	USD	-24,850.00	
14JUL17	B	1	PD130CT17	2790.00	3295.00	USD	12,625.00	
14JUL17	S	4	PD130CT17	2787.00	3295.00	USD	-50,800.00	
14JUL17	S	4	PD130CT17	2784.50	3295.00	USD	-51,050.00	
14JUL17	S	2	PD130CT17	2793.00	3295.00	USD	-25,100.00	
14JUL17	S	6	PD130CT17	2794.00	3295.00	USD	-75,150.00	
14JUL17	S	2	PD130CT17	2790.50	3295.00	USD	-25,225.00	
14JUL17	S	2	PD130CT17	2792.00	3295.00	USD	-25,150.00	
14JUL17	S	4	PD130CT17	2794.50	3295.00	USD	-50,050.00	
14JUL17	B	1	PD130CT17	2793.00	3295.00	USD	12,550.00	
14JUL17	B	1	PD130CT17	2786.50	3295.00	USD	12,712.50	
14JUL17	B	1	PD130CT17	2786.00	3295.00	USD	12,725.00	
14JUL17	S	1	PD130CT17	2782.00	3295.00	USD	-12,825.00	
14JUL17	B	1	PD130CT17	2775.00	3295.00	USD	13,000.00	
14JUL17	B	1	PD130CT17	2774.00	3295.00	USD	13,025.00	
14JUL17	B	3	PD130CT17	2773.00	3295.00	USD	39,150.00	
14JUL17	B	1	PD130CT17	2768.50	3295.00	USD	13,162.50	
14JUL17	B	7	PD130CT17	2770.00	3295.00	USD	91,875.00	
14JUL17	B	9	PD130CT17	2769.00	3295.00	USD	118,350.00	
14JUL17	B	2	PD130CT17	2770.50	3295.00	USD	26,225.00	
14JUL17	B	5	PD130CT17	2773.50	3295.00	USD	65,187.50	
14JUL17	B	3	PD130CT17	2771.00	3295.00	USD	39,300.00	
14JUL17	S	1	PD130CT17	2774.00	3295.00	USD	-13,025.00	
14JUL17	S	1	PD130CT17	2774.50	3295.00	USD	-13,012.50	
14JUL17	B	1	PD130CT17	2766.50	3295.00	USD	13,212.50	
14JUL17	B	2	PD130CT17	2765.50	3295.00	USD	26,475.00	
14JUL17	B	1	PD130CT17	2759.50	3295.00	USD	13,387.50	
14JUL17	B	1	PD130CT17	2756.50	3295.00	USD	13,462.50	
14JUL17	B	2	PD130CT17	2755.00	3295.00	USD	27,000.00	
14JUL17	S	1	PD130CT17	2771.50	3295.00	USD	-13,087.50	
14JUL17	S	8	PD130CT17	2772.00	3295.00	USD	-104,600.00	
14JUL17	S	1	PD130CT17	2767.00	3295.00	USD	-13,200.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14JUL17	S	1	PD130CT17	2787.00	3295.00	USD	-12,700.00	
14JUL17	S	1	PD130CT17	2772.50	3295.00	USD	-13,062.50	
14JUL17	S	3	PD130CT17	2771.00	3295.00	USD	-39,300.00	
14JUL17	S	1	PD130CT17	2776.00	3295.00	USD	-12,975.00	
14JUL17	S	2	PD130CT17	2772.50	3295.00	USD	-26,125.00	
14JUL17	S	2	PD130CT17	2773.00	3295.00	USD	-26,100.00	
14JUL17	S	2	PD130CT17	2770.50	3295.00	USD	-26,225.00	
14JUL17	S	2	PD130CT17	2781.50	3295.00	USD	-25,675.00	
14JUL17	S	1	PD130CT17	2783.50	3295.00	USD	-12,787.50	
14JUL17	S	1	PD130CT17	2788.00	3295.00	USD	-12,675.00	
14JUL17	S	1	PD130CT17	2787.50	3295.00	USD	-12,687.50	
14JUL17	S	1	PD130CT17	2796.50	3295.00	USD	-12,462.50	
14JUL17	B	3	PD130CT17	2787.00	3295.00	USD	38,100.00	
14JUL17	S	1	PD130CT17	2783.50	3295.00	USD	-12,787.50	
14JUL17	S	1	PD130CT17	2796.00	3295.00	USD	-12,475.00	
14JUL17	S	2	PD130CT17	2792.50	3295.00	USD	-25,125.00	
14JUL17	S	1	PD130CT17	2797.00	3295.00	USD	-12,450.00	
14JUL17	S	2	PD130CT17	2789.00	3295.00	USD	-25,300.00	
14JUL17	S	1	PD130CT17	2791.00	3295.00	USD	-12,600.00	
14JUL17	B	1	PD130CT17	2789.50	3295.00	USD	12,637.50	
14JUL17	S	2	PD130CT17	2785.50	3295.00	USD	-25,475.00	
14JUL17	S	3	PD130CT17	2790.00	3295.00	USD	-37,875.00	
14JUL17	B	3	PD130CT17	2788.00	3295.00	USD	38,025.00	
14JUL17	S	2	PD130CT17	2789.50	3295.00	USD	-25,275.00	
18JUL17	S	13	PD130CT17	2792.85	3295.00	USD	-163,198.75	
18JUL17	S	7	PD130CT17	2793.05	3295.00	USD	-87,841.25	
	NET	0AVG BGHT 2802.50	SOLD 2799.60				-9,740.00	0.00
17JUL17	S	2	PD170CT17	2828.00	3286.33	USD	-22,916.50	
17JUL17	S	2	PD170CT17	2825.50	3286.33	USD	-23,041.50	
17JUL17	S	1	PD170CT17	2829.50	3286.33	USD	-11,420.75	
17JUL17	S	1	PD170CT17	2826.00	3286.33	USD	-11,508.25	
17JUL17	S	1	PD170CT17	2827.00	3286.33	USD	-11,483.25	
17JUL17	S	2	PD170CT17	2826.50	3286.33	USD	-22,991.50	
17JUL17	S	3	PD170CT17	2831.50	3286.33	USD	-34,112.25	
17JUL17	S	3	PD170CT17	2830.50	3286.33	USD	-34,187.25	
17JUL17	S	1	PD170CT17	2833.00	3286.33	USD	-11,333.25	
17JUL17	S	3	PD170CT17	2832.00	3286.33	USD	-34,074.75	
17JUL17	B	1	PD170CT17	2827.00	3286.33	USD	11,483.25	
17JUL17	B	3	PD170CT17	2820.00	3286.33	USD	34,974.75	
17JUL17	B	2	PD170CT17	2818.50	3286.33	USD	23,391.50	
17JUL17	S	1	PD170CT17	2817.00	3286.33	USD	-11,733.25	
17JUL17	S	1	PD170CT17	2819.00	3286.33	USD	-11,683.25	
17JUL17	B	1	PD170CT17	2817.00	3286.33	USD	11,733.25	
17JUL17	B	3	PD170CT17	2815.50	3286.33	USD	35,312.25	
17JUL17	B	3	PD170CT17	2815.00	3286.33	USD	35,349.75	
17JUL17	B	1	PD170CT17	2824.50	3286.33	USD	11,545.75	
17JUL17	S	2	PD170CT17	2833.50	3286.33	USD	-22,641.50	
17JUL17	S	1	PD170CT17	2836.50	3286.33	USD	-11,245.75	
17JUL17	S	4	PD170CT17	2838.50	3286.33	USD	-44,783.00	
17JUL17	S	2	PD170CT17	2839.00	3286.33	USD	-22,366.50	
17JUL17	S	2	PD170CT17	2839.50	3286.33	USD	-22,341.50	
17JUL17	S	1	PD170CT17	2841.50	3286.33	USD	-11,120.75	
17JUL17	S	1	PD170CT17	2844.50	3286.33	USD	-11,045.75	
17JUL17	S	3	PD170CT17	2842.00	3286.33	USD	-33,324.75	
17JUL17	S	2	PD170CT17	2842.50	3286.33	USD	-22,191.50	
17JUL17	S	4	PD170CT17	2840.00	3286.33	USD	-44,633.00	
17JUL17	S	4	PD170CT17	2843.00	3286.33	USD	-44,333.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2846.00	3286.33	USD	-11,008.25	
17JUL17	S	1	PD17OCT17	2846.50	3286.33	USD	-10,995.75	
17JUL17	S	3	PD17OCT17	2844.00	3286.33	USD	-33,174.75	
17JUL17	S	4	PD17OCT17	2845.00	3286.33	USD	-44,133.00	
17JUL17	S	8	PD17OCT17	2843.50	3286.33	USD	-88,566.00	
17JUL17	S	3	PD17OCT17	2838.00	3286.33	USD	-33,624.75	
17JUL17	S	4	PD17OCT17	2837.00	3286.33	USD	-44,933.00	
17JUL17	B	1	PD17OCT17	2833.50	3286.33	USD	11,320.75	
17JUL17	B	1	PD17OCT17	2832.00	3286.33	USD	11,358.25	
17JUL17	B	1	PD17OCT17	2831.50	3286.33	USD	11,370.75	
17JUL17	B	1	PD17OCT17	2827.50	3286.33	USD	11,470.75	
17JUL17	B	2	PD17OCT17	2826.00	3286.33	USD	23,016.50	
17JUL17	B	1	PD17OCT17	2825.50	3286.33	USD	11,520.75	
17JUL17	B	1	PD17OCT17	2828.00	3286.33	USD	11,458.25	
17JUL17	B	3	PD17OCT17	2823.50	3286.33	USD	34,712.25	
17JUL17	S	1	PD17OCT17	2829.50	3286.33	USD	-11,420.75	
17JUL17	S	1	PD17OCT17	2836.00	3286.33	USD	-11,258.25	
17JUL17	S	1	PD17OCT17	2835.00	3286.33	USD	-11,283.25	
17JUL17	B	2	PD17OCT17	2825.00	3286.33	USD	23,066.50	
17JUL17	B	2	PD17OCT17	2828.50	3286.33	USD	22,891.50	
17JUL17	S	4	PD17OCT17	2830.00	3286.33	USD	-45,633.00	
17JUL17	S	2	PD17OCT17	2831.00	3286.33	USD	-22,766.50	
17JUL17	S	3	PD17OCT17	2832.50	3286.33	USD	-34,037.25	
17JUL17	S	4	PD17OCT17	2835.00	3286.33	USD	-45,133.00	
17JUL17	S	5	PD17OCT17	2835.50	3286.33	USD	-56,353.75	
17JUL17	S	5	PD17OCT17	2836.00	3286.33	USD	-56,291.25	
17JUL17	B	2	PD17OCT17	2833.00	3286.33	USD	22,666.50	
17JUL17	B	1	PD17OCT17	2830.50	3286.33	USD	11,395.75	
17JUL17	B	1	PD17OCT17	2830.00	3286.33	USD	11,408.25	
17JUL17	B	5	PD17OCT17	2832.50	3286.33	USD	56,728.75	
17JUL17	B	3	PD17OCT17	2822.00	3286.33	USD	34,824.75	
17JUL17	B	2	PD17OCT17	2816.00	3286.33	USD	23,516.50	
17JUL17	B	2	PD17OCT17	2814.00	3286.33	USD	23,616.50	
17JUL17	B	5	PD17OCT17	2813.00	3286.33	USD	59,166.25	
17JUL17	B	1	PD17OCT17	2812.00	3286.33	USD	11,858.25	
17JUL17	S	1	PD17OCT17	2814.00	3286.33	USD	-11,808.25	
17JUL17	B	7	PD17OCT17	2818.00	3286.33	USD	81,957.75	
17JUL17	B	4	PD17OCT17	2817.50	3286.33	USD	46,883.00	
17JUL17	B	5	PD17OCT17	2820.50	3286.33	USD	58,228.75	
17JUL17	B	7	PD17OCT17	2819.00	3286.33	USD	81,782.75	
17JUL17	B	5	PD17OCT17	2819.50	3286.33	USD	58,353.75	
17JUL17	B	4	PD17OCT17	2821.00	3286.33	USD	46,533.00	
18JUL17	B	7	PD17OCT17	2794.01	3286.33	USD	86,156.00	
19JUL17	B	6	PD17OCT17	2747.54	3286.33	USD	80,818.50	
24JUL17	B	2	PD17OCT17	2788.86	3286.33	USD	24,873.50	
	NET	0AVG BGHT 2814.19	SOLD 2836.15				53,811.75	0.00
18JUL17	B	1	PD18OCT17	2822.50	3282.00	USD	11,487.50	
18JUL17	B	2	PD18OCT17	2823.50	3282.00	USD	22,925.00	
18JUL17	B	4	PD18OCT17	2822.00	3282.00	USD	46,000.00	
18JUL17	S	1	PD18OCT17	2815.50	3282.00	USD	-11,662.50	
18JUL17	S	1	PD18OCT17	2811.00	3282.00	USD	-11,775.00	
18JUL17	B	1	PD18OCT17	2810.00	3282.00	USD	11,800.00	
18JUL17	B	1	PD18OCT17	2810.50	3282.00	USD	11,787.50	
18JUL17	B	2	PD18OCT17	2811.00	3282.00	USD	23,550.00	
18JUL17	B	2	PD18OCT17	2799.50	3282.00	USD	24,125.00	
18JUL17	B	2	PD18OCT17	2798.50	3282.00	USD	24,175.00	
18JUL17	B	1	PD18OCT17	2798.00	3282.00	USD	12,100.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	2	PD18OCT17	2801.50	3282.00	USD	24,025.00	
18JUL17	B	5	PD18OCT17	2795.50	3282.00	USD	60,812.50	
18JUL17	B	2	PD18OCT17	2791.00	3282.00	USD	24,550.00	
18JUL17	B	4	PD18OCT17	2792.00	3282.00	USD	49,000.00	
18JUL17	B	4	PD18OCT17	2791.50	3282.00	USD	49,050.00	
18JUL17	B	1	PD18OCT17	2794.00	3282.00	USD	12,200.00	
18JUL17	B	3	PD18OCT17	2795.00	3282.00	USD	36,525.00	
18JUL17	B	2	PD18OCT17	2792.50	3282.00	USD	24,475.00	
18JUL17	S	1	PD18OCT17	2804.00	3282.00	USD	-11,950.00	
18JUL17	B	1	PD18OCT17	2800.50	3282.00	USD	12,037.50	
18JUL17	B	5	PD18OCT17	2800.00	3282.00	USD	60,250.00	
18JUL17	B	2	PD18OCT17	2797.00	3282.00	USD	24,250.00	
18JUL17	B	1	PD18OCT17	2793.00	3282.00	USD	12,225.00	
18JUL17	B	1	PD18OCT17	2785.50	3282.00	USD	12,412.50	
18JUL17	B	1	PD18OCT17	2785.00	3282.00	USD	12,425.00	
18JUL17	B	1	PD18OCT17	2779.50	3282.00	USD	12,562.50	
18JUL17	B	1	PD18OCT17	2778.50	3282.00	USD	12,587.50	
18JUL17	B	2	PD18OCT17	2779.00	3282.00	USD	25,150.00	
18JUL17	B	1	PD18OCT17	2778.00	3282.00	USD	12,600.00	
18JUL17	B	4	PD18OCT17	2777.50	3282.00	USD	50,450.00	
18JUL17	B	1	PD18OCT17	2809.00	3282.00	USD	11,825.00	
18JUL17	B	1	PD18OCT17	2798.00	3282.00	USD	12,100.00	
18JUL17	B	4	PD18OCT17	2793.50	3282.00	USD	48,850.00	
18JUL17	B	1	PD18OCT17	2777.50	3282.00	USD	12,612.50	
18JUL17	S	4	PD18OCT17	2783.50	3282.00	USD	-49,850.00	
18JUL17	S	2	PD18OCT17	2782.50	3282.00	USD	-24,975.00	
18JUL17	S	1	PD18OCT17	2783.00	3282.00	USD	-12,475.00	
18JUL17	S	1	PD18OCT17	2781.00	3282.00	USD	-12,525.00	
18JUL17	S	1	PD18OCT17	2780.50	3282.00	USD	-12,537.50	
18JUL17	S	2	PD18OCT17	2782.00	3282.00	USD	-25,000.00	
18JUL17	S	3	PD18OCT17	2788.00	3282.00	USD	-37,050.00	
18JUL17	S	2	PD18OCT17	2788.50	3282.00	USD	-24,675.00	
18JUL17	S	4	PD18OCT17	2787.00	3282.00	USD	-49,500.00	
18JUL17	S	2	PD18OCT17	2792.00	3282.00	USD	-24,500.00	
18JUL17	S	6	PD18OCT17	2785.50	3282.00	USD	-74,475.00	
18JUL17	S	6	PD18OCT17	2786.00	3282.00	USD	-74,400.00	
18JUL17	S	3	PD18OCT17	2784.50	3282.00	USD	-37,312.50	
18JUL17	S	3	PD18OCT17	2784.00	3282.00	USD	-37,350.00	
18JUL17	S	1	PD18OCT17	2782.00	3282.00	USD	-12,500.00	
18JUL17	S	1	PD18OCT17	2784.00	3282.00	USD	-12,450.00	
18JUL17	S	2	PD18OCT17	2781.50	3282.00	USD	-25,025.00	
18JUL17	S	1	PD18OCT17	2786.00	3282.00	USD	-12,400.00	
18JUL17	S	1	PD18OCT17	2793.50	3282.00	USD	-12,212.50	
18JUL17	S	4	PD18OCT17	2786.50	3282.00	USD	-49,550.00	
18JUL17	S	2	PD18OCT17	2787.50	3282.00	USD	-24,725.00	
18JUL17	S	2	PD18OCT17	2790.50	3282.00	USD	-24,575.00	
18JUL17	S	3	PD18OCT17	2791.50	3282.00	USD	-36,787.50	
18JUL17	S	2	PD18OCT17	2793.50	3282.00	USD	-24,425.00	
18JUL17	S	1	PD18OCT17	2795.50	3282.00	USD	-12,162.50	
18JUL17	S	1	PD18OCT17	2795.00	3282.00	USD	-12,175.00	
19JUL17	S	6	PD18OCT17	2747.55	3282.00	USD	-80,167.50	
24JUL17	B	4	PD18OCT17	2789.00	3282.00	USD	49,300.00	
	NET	0 AVG BGHT 2796.16	SOLD 2784.19				-20,942.50	0.00
19JUL17	S	2	PD19OCT17	2779.00	3277.50	USD	-24,925.00	
19JUL17	S	1	PD19OCT17	2778.00	3277.50	USD	-12,487.50	
19JUL17	S	1	PD19OCT17	2791.50	3277.50	USD	-12,150.00	
19JUL17	S	1	PD19OCT17	2783.00	3277.50	USD	-12,362.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	5	PD19OCT17	2782.50	3277.50	USD	-61,875.00	
19JUL17	S	4	PD19OCT17	2785.50	3277.50	USD	-49,200.00	
19JUL17	S	1	PD19OCT17	2786.00	3277.50	USD	-12,287.50	
19JUL17	S	2	PD19OCT17	2788.00	3277.50	USD	-24,475.00	
19JUL17	S	3	PD19OCT17	2789.50	3277.50	USD	-36,600.00	
19JUL17	S	1	PD19OCT17	2794.00	3277.50	USD	-12,087.50	
19JUL17	B	1	PD19OCT17	2796.50	3277.50	USD	12,025.00	
19JUL17	S	2	PD19OCT17	2796.50	3277.50	USD	-24,050.00	
19JUL17	S	3	PD19OCT17	2796.00	3277.50	USD	-36,112.50	
19JUL17	S	1	PD19OCT17	2797.00	3277.50	USD	-12,012.50	
19JUL17	S	1	PD19OCT17	2797.50	3277.50	USD	-12,000.00	
19JUL17	B	1	PD19OCT17	2798.00	3277.50	USD	11,987.50	
19JUL17	S	3	PD19OCT17	2786.50	3277.50	USD	-36,825.00	
19JUL17	B	3	PD19OCT17	2781.50	3277.50	USD	37,200.00	
19JUL17	B	3	PD19OCT17	2778.50	3277.50	USD	37,425.00	
19JUL17	B	1	PD19OCT17	2777.50	3277.50	USD	12,500.00	
19JUL17	B	1	PD19OCT17	2782.00	3277.50	USD	12,387.50	
19JUL17	B	4	PD19OCT17	2779.00	3277.50	USD	49,850.00	
19JUL17	B	5	PD19OCT17	2780.50	3277.50	USD	62,125.00	
19JUL17	B	5	PD19OCT17	2780.00	3277.50	USD	62,187.50	
19JUL17	B	1	PD19OCT17	2778.00	3277.50	USD	12,487.50	
19JUL17	B	2	PD19OCT17	2776.00	3277.50	USD	25,075.00	
19JUL17	B	5	PD19OCT17	2773.00	3277.50	USD	63,062.50	
19JUL17	B	6	PD19OCT17	2772.50	3277.50	USD	75,750.00	
19JUL17	B	3	PD19OCT17	2772.00	3277.50	USD	37,912.50	
19JUL17	B	1	PD19OCT17	2770.50	3277.50	USD	12,675.00	
19JUL17	B	3	PD19OCT17	2771.50	3277.50	USD	37,950.00	
19JUL17	B	1	PD19OCT17	2781.00	3277.50	USD	12,412.50	
19JUL17	S	3	PD19OCT17	2775.00	3277.50	USD	-37,687.50	
19JUL17	S	1	PD19OCT17	2777.00	3277.50	USD	-12,512.50	
19JUL17	S	1	PD19OCT17	2777.50	3277.50	USD	-12,500.00	
19JUL17	S	1	PD19OCT17	2776.50	3277.50	USD	-12,525.00	
19JUL17	B	2	PD19OCT17	2773.50	3277.50	USD	25,200.00	
19JUL17	B	1	PD19OCT17	2768.50	3277.50	USD	12,725.00	
19JUL17	B	1	PD19OCT17	2765.00	3277.50	USD	12,812.50	
19JUL17	B	2	PD19OCT17	2767.00	3277.50	USD	25,525.00	
19JUL17	S	1	PD19OCT17	2773.50	3277.50	USD	-12,600.00	
19JUL17	B	1	PD19OCT17	2755.00	3277.50	USD	13,062.50	
19JUL17	B	2	PD19OCT17	2753.50	3277.50	USD	26,200.00	
19JUL17	B	1	PD19OCT17	2751.50	3277.50	USD	13,150.00	
19JUL17	S	1	PD19OCT17	2756.00	3277.50	USD	-13,037.50	
19JUL17	S	1	PD19OCT17	2757.50	3277.50	USD	-13,000.00	
19JUL17	S	3	PD19OCT17	2759.50	3277.50	USD	-38,850.00	
19JUL17	S	2	PD19OCT17	2760.00	3277.50	USD	-25,875.00	
19JUL17	S	2	PD19OCT17	2761.00	3277.50	USD	-25,825.00	
19JUL17	S	2	PD19OCT17	2760.50	3277.50	USD	-25,850.00	
19JUL17	S	1	PD19OCT17	2762.00	3277.50	USD	-12,887.50	
19JUL17	S	1	PD19OCT17	2763.00	3277.50	USD	-12,862.50	
19JUL17	S	1	PD19OCT17	2765.00	3277.50	USD	-12,812.50	
19JUL17	S	1	PD19OCT17	2750.00	3277.50	USD	-13,187.50	
19JUL17	B	1	PD19OCT17	2746.00	3277.50	USD	13,287.50	
19JUL17	B	1	PD19OCT17	2767.00	3277.50	USD	12,762.50	
19JUL17	S	1	PD19OCT17	2757.00	3277.50	USD	-13,012.50	
19JUL17	B	1	PD19OCT17	2766.00	3277.50	USD	12,787.50	
19JUL17	B	4	PD19OCT17	2774.00	3277.50	USD	50,350.00	
19JUL17	S	2	PD19OCT17	2740.50	3277.50	USD	-26,850.00	
19JUL17	B	1	PD19OCT17	2737.00	3277.50	USD	13,512.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	2737.50	3277.50	USD	13,500.00	
19JUL17	B	2	PD19OCT17	2739.00	3277.50	USD	26,925.00	
19JUL17	S	1	PD19OCT17	2737.00	3277.50	USD	-13,512.50	
19JUL17	S	3	PD19OCT17	2736.00	3277.50	USD	-40,612.50	
19JUL17	S	2	PD19OCT17	2735.50	3277.50	USD	-27,100.00	
19JUL17	S	2	PD19OCT17	2741.00	3277.50	USD	-26,825.00	
19JUL17	B	1	PD19OCT17	2737.50	3277.50	USD	13,500.00	
24JUL17	S	4	PD19OCT17	2787.30	3277.50	USD	-49,020.00	
	NET	0	AVG BGHT 2771.43		SOLD 2772.56		1,917.50	0.00
20JUL17	B	1	PD20OCT17	2732.50	3273.00	USD	13,512.50	
20JUL17	B	1	PD20OCT17	2737.50	3273.00	USD	13,387.50	
20JUL17	B	2	PD20OCT17	2736.50	3273.00	USD	26,825.00	
20JUL17	B	1	PD20OCT17	2734.00	3273.00	USD	13,475.00	
20JUL17	B	4	PD20OCT17	2733.50	3273.00	USD	53,950.00	
20JUL17	S	2	PD20OCT17	2736.50	3273.00	USD	-26,825.00	
20JUL17	S	1	PD20OCT17	2739.50	3273.00	USD	-13,337.50	
20JUL17	S	1	PD20OCT17	2743.00	3273.00	USD	-13,250.00	
20JUL17	S	1	PD20OCT17	2743.50	3273.00	USD	-13,237.50	
20JUL17	S	1	PD20OCT17	2745.00	3273.00	USD	-13,200.00	
20JUL17	S	2	PD20OCT17	2745.50	3273.00	USD	-26,375.00	
20JUL17	S	1	PD20OCT17	2746.50	3273.00	USD	-13,162.50	
20JUL17	S	2	PD20OCT17	2747.00	3273.00	USD	-26,300.00	
20JUL17	S	1	PD20OCT17	2751.00	3273.00	USD	-13,050.00	
20JUL17	S	1	PD20OCT17	2752.50	3273.00	USD	-13,012.50	
20JUL17	S	1	PD20OCT17	2753.00	3273.00	USD	-13,000.00	
20JUL17	S	3	PD20OCT17	2755.00	3273.00	USD	-38,850.00	
20JUL17	S	1	PD20OCT17	2754.50	3273.00	USD	-12,962.50	
20JUL17	S	2	PD20OCT17	2752.00	3273.00	USD	-26,050.00	
20JUL17	S	3	PD20OCT17	2751.50	3273.00	USD	-39,112.50	
20JUL17	S	2	PD20OCT17	2747.50	3273.00	USD	-26,275.00	
20JUL17	S	1	PD20OCT17	2749.00	3273.00	USD	-13,100.00	
20JUL17	B	1	PD20OCT17	2747.50	3273.00	USD	13,137.50	
20JUL17	S	1	PD20OCT17	2749.50	3273.00	USD	-13,087.50	
20JUL17	B	1	PD20OCT17	2738.50	3273.00	USD	13,362.50	
20JUL17	S	1	PD20OCT17	2741.00	3273.00	USD	-13,300.00	
20JUL17	B	2	PD20OCT17	2746.00	3273.00	USD	26,350.00	
20JUL17	B	2	PD20OCT17	2746.50	3273.00	USD	26,325.00	
20JUL17	B	2	PD20OCT17	2740.00	3273.00	USD	26,650.00	
20JUL17	B	4	PD20OCT17	2741.00	3273.00	USD	53,200.00	
20JUL17	B	1	PD20OCT17	2742.00	3273.00	USD	13,275.00	
20JUL17	B	5	PD20OCT17	2742.50	3273.00	USD	66,312.50	
20JUL17	B	2	PD20OCT17	2743.50	3273.00	USD	26,475.00	
20JUL17	B	3	PD20OCT17	2744.00	3273.00	USD	39,675.00	
20JUL17	B	5	PD20OCT17	2743.00	3273.00	USD	66,250.00	
20JUL17	S	1	PD20OCT17	2742.00	3273.00	USD	-13,275.00	
20JUL17	S	1	PD20OCT17	2737.50	3273.00	USD	-13,387.50	
20JUL17	S	2	PD20OCT17	2727.00	3273.00	USD	-27,300.00	
20JUL17	B	1	PD20OCT17	2720.50	3273.00	USD	13,812.50	
20JUL17	S	1	PD20OCT17	2720.00	3273.00	USD	-13,825.00	
20JUL17	B	1	PD20OCT17	2721.00	3273.00	USD	13,800.00	
20JUL17	S	1	PD20OCT17	2725.00	3273.00	USD	-13,700.00	
20JUL17	S	2	PD20OCT17	2726.50	3273.00	USD	-27,325.00	
20JUL17	B	2	PD20OCT17	2729.00	3273.00	USD	27,200.00	
20JUL17	B	5	PD20OCT17	2727.00	3273.00	USD	68,250.00	
20JUL17	B	5	PD20OCT17	2731.00	3273.00	USD	67,750.00	
20JUL17	B	3	PD20OCT17	2728.00	3273.00	USD	40,875.00	
20JUL17	B	4	PD20OCT17	2728.50	3273.00	USD	54,450.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	2727.00	3273.00	USD	13,650.00	
20JUL17	B	1	PD200CT17	2729.00	3273.00	USD	13,600.00	
21JUL17	B	1	PD200CT17	2744.00	3273.00	USD	13,225.00	
21JUL17	B	1	PD200CT17	2743.50	3273.00	USD	13,237.50	
21JUL17	S	3	PD200CT17	2749.00	3273.00	USD	-39,300.00	
21JUL17	S	2	PD200CT17	2751.00	3273.00	USD	-26,100.00	
21JUL17	B	1	PD200CT17	2744.50	3273.00	USD	13,212.50	
21JUL17	B	2	PD200CT17	2741.50	3273.00	USD	26,575.00	
21JUL17	S	3	PD200CT17	2748.50	3273.00	USD	-39,337.50	
21JUL17	S	5	PD200CT17	2749.50	3273.00	USD	-65,437.50	
21JUL17	S	3	PD200CT17	2758.00	3273.00	USD	-38,625.00	
21JUL17	S	1	PD200CT17	2759.50	3273.00	USD	-12,837.50	
21JUL17	S	1	PD200CT17	2762.50	3273.00	USD	-12,762.50	
21JUL17	S	3	PD200CT17	2764.50	3273.00	USD	-38,137.50	
21JUL17	S	1	PD200CT17	2766.50	3273.00	USD	-12,662.50	
21JUL17	S	1	PD200CT17	2765.00	3273.00	USD	-12,700.00	
21JUL17	B	1	PD200CT17	2759.00	3273.00	USD	12,850.00	
21JUL17	B	2	PD200CT17	2757.50	3273.00	USD	25,775.00	
21JUL17	B	2	PD200CT17	2757.00	3273.00	USD	25,800.00	
21JUL17	B	3	PD200CT17	2756.50	3273.00	USD	38,737.50	
21JUL17	B	2	PD200CT17	2754.00	3273.00	USD	25,950.00	
21JUL17	S	4	PD200CT17	2761.00	3273.00	USD	-51,200.00	
21JUL17	B	1	PD200CT17	2760.00	3273.00	USD	12,825.00	
21JUL17	B	1	PD200CT17	2748.00	3273.00	USD	13,125.00	
21JUL17	S	2	PD200CT17	2759.50	3273.00	USD	-25,675.00	
21JUL17	S	1	PD200CT17	2760.00	3273.00	USD	-12,825.00	
21JUL17	S	1	PD200CT17	2760.50	3273.00	USD	-12,812.50	
21JUL17	S	2	PD200CT17	2770.00	3273.00	USD	-25,150.00	
21JUL17	B	1	PD200CT17	2767.50	3273.00	USD	12,637.50	
21JUL17	B	1	PD200CT17	2766.50	3273.00	USD	12,662.50	
21JUL17	S	1	PD200CT17	2766.00	3273.00	USD	-12,675.00	
21JUL17	S	1	PD200CT17	2768.50	3273.00	USD	-12,612.50	
21JUL17	S	1	PD200CT17	2772.50	3273.00	USD	-12,512.50	
21JUL17	S	1	PD200CT17	2773.00	3273.00	USD	-12,500.00	
21JUL17	S	1	PD200CT17	2773.50	3273.00	USD	-12,487.50	
21JUL17	S	1	PD200CT17	2774.00	3273.00	USD	-12,475.00	
21JUL17	B	1	PD200CT17	2774.00	3273.00	USD	12,475.00	
21JUL17	B	1	PD200CT17	2773.50	3273.00	USD	12,487.50	
21JUL17	B	2	PD200CT17	2773.00	3273.00	USD	25,000.00	
21JUL17	S	3	PD200CT17	2771.00	3273.00	USD	-37,650.00	
21JUL17	S	2	PD200CT17	2767.50	3273.00	USD	-25,275.00	
21JUL17	B	1	PD200CT17	2763.50	3273.00	USD	12,737.50	
21JUL17	S	1	PD200CT17	2767.00	3273.00	USD	-12,650.00	
21JUL17	B	1	PD200CT17	2764.50	3273.00	USD	12,712.50	
21JUL17	B	3	PD200CT17	2762.00	3273.00	USD	38,325.00	
21JUL17	B	1	PD200CT17	2759.50	3273.00	USD	12,837.50	
21JUL17	B	2	PD200CT17	2755.00	3273.00	USD	25,900.00	
21JUL17	B	1	PD200CT17	2771.50	3273.00	USD	12,537.50	
21JUL17	B	1	PD200CT17	2766.50	3273.00	USD	12,662.50	
21JUL17	B	2	PD200CT17	2752.50	3273.00	USD	26,025.00	
21JUL17	B	1	PD200CT17	2750.00	3273.00	USD	13,075.00	
21JUL17	B	3	PD200CT17	2748.00	3273.00	USD	39,375.00	
21JUL17	B	1	PD200CT17	2747.00	3273.00	USD	13,150.00	
21JUL17	B	2	PD200CT17	2746.50	3273.00	USD	26,325.00	
21JUL17	B	1	PD200CT17	2745.50	3273.00	USD	13,187.50	
21JUL17	S	1	PD200CT17	2748.00	3273.00	USD	-13,125.00	
21JUL17	S	1	PD200CT17	2754.00	3273.00	USD	-12,975.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	2755.50	3273.00	USD	-12,937.50	
21JUL17	S	2	PD200CT17	2753.00	3273.00	USD	-26,000.00	
24JUL17	S	2	PD200CT17	2787.40	3273.00	USD	-24,280.00	
25JUL17	S	7	PD200CT17	2823.00	3273.00	USD	-78,750.00	
26JUL17	S	7	PD200CT17	2807.14	3273.00	USD	-81,525.50	
01AUG17	S	1	PD200CT17	2776.25	3273.00	USD	-12,418.75	
	NET	0AVG BGHT 2744.47	SOLD 2762.43				46,263.25	0.00
24JUL17	S	2	PD240CT17	2777.50	3267.00	USD	-24,475.00	
24JUL17	B	1	PD240CT17	2780.00	3267.00	USD	12,175.00	
24JUL17	S	2	PD240CT17	2778.50	3267.00	USD	-24,425.00	
24JUL17	S	1	PD240CT17	2780.00	3267.00	USD	-12,175.00	
24JUL17	B	1	PD240CT17	2778.50	3267.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2776.00	3267.00	USD	12,275.00	
24JUL17	B	3	PD240CT17	2773.50	3267.00	USD	37,012.50	
24JUL17	B	2	PD240CT17	2775.00	3267.00	USD	24,600.00	
24JUL17	B	1	PD240CT17	2774.50	3267.00	USD	12,312.50	
24JUL17	B	1	PD240CT17	2777.00	3267.00	USD	12,250.00	
24JUL17	S	1	PD240CT17	2779.50	3267.00	USD	-12,187.50	
24JUL17	S	2	PD240CT17	2785.50	3267.00	USD	-24,075.00	
24JUL17	S	1	PD240CT17	2794.00	3267.00	USD	-11,825.00	
24JUL17	S	2	PD240CT17	2795.50	3267.00	USD	-23,575.00	
24JUL17	B	1	PD240CT17	2787.50	3267.00	USD	11,987.50	
24JUL17	B	1	PD240CT17	2782.50	3267.00	USD	12,112.50	
24JUL17	B	1	PD240CT17	2783.00	3267.00	USD	12,100.00	
24JUL17	B	1	PD240CT17	2779.50	3267.00	USD	12,187.50	
24JUL17	S	1	PD240CT17	2777.50	3267.00	USD	-12,237.50	
24JUL17	B	1	PD240CT17	2787.50	3267.00	USD	11,987.50	
24JUL17	B	1	PD240CT17	2777.00	3267.00	USD	12,250.00	
24JUL17	B	1	PD240CT17	2772.50	3267.00	USD	12,362.50	
24JUL17	S	1	PD240CT17	2775.50	3267.00	USD	-12,287.50	
24JUL17	B	1	PD240CT17	2775.50	3267.00	USD	12,287.50	
24JUL17	B	2	PD240CT17	2775.00	3267.00	USD	24,600.00	
24JUL17	S	1	PD240CT17	2781.00	3267.00	USD	-12,150.00	
24JUL17	S	1	PD240CT17	2781.50	3267.00	USD	-12,137.50	
24JUL17	S	1	PD240CT17	2784.00	3267.00	USD	-12,075.00	
24JUL17	S	2	PD240CT17	2787.00	3267.00	USD	-24,000.00	
24JUL17	S	1	PD240CT17	2787.50	3267.00	USD	-11,987.50	
25JUL17	B	7	PD240CT17	2823.90	3267.00	USD	77,542.50	
26JUL17	S	3	PD240CT17	2807.71	3267.00	USD	-34,446.75	
01AUG17	S	5	PD240CT17	2777.75	3267.00	USD	-61,156.25	
	NET	0AVG BGHT 2789.59	SOLD 2785.20				-2,960.50	0.00
25JUL17	S	2	PD250CT17	2813.00	3264.00	USD	-22,550.00	
25JUL17	S	1	PD250CT17	2812.00	3264.00	USD	-11,300.00	
25JUL17	B	1	PD250CT17	2810.00	3264.00	USD	11,350.00	
25JUL17	S	1	PD250CT17	2816.00	3264.00	USD	-11,200.00	
25JUL17	S	1	PD250CT17	2817.50	3264.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2818.50	3264.00	USD	-11,137.50	
25JUL17	S	2	PD250CT17	2820.00	3264.00	USD	-22,200.00	
25JUL17	S	2	PD250CT17	2821.00	3264.00	USD	-22,150.00	
25JUL17	S	3	PD250CT17	2821.50	3264.00	USD	-33,187.50	
25JUL17	S	2	PD250CT17	2822.00	3264.00	USD	-22,100.00	
25JUL17	B	3	PD250CT17	2817.00	3264.00	USD	33,525.00	
25JUL17	B	3	PD250CT17	2815.50	3264.00	USD	33,637.50	
25JUL17	S	1	PD250CT17	2822.50	3264.00	USD	-11,037.50	
25JUL17	S	3	PD250CT17	2823.50	3264.00	USD	-33,037.50	
25JUL17	B	1	PD250CT17	2816.50	3264.00	USD	11,187.50	
25JUL17	B	2	PD250CT17	2816.00	3264.00	USD	22,400.00	

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25JUL17	B	1	PD250CT17	2814.00	3264.00	USD	11,250.00	
25JUL17	B	4	PD250CT17	2815.00	3264.00	USD	44,900.00	
25JUL17	B	2	PD250CT17	2814.50	3264.00	USD	22,475.00	
25JUL17	S	2	PD250CT17	2820.50	3264.00	USD	-22,175.00	
25JUL17	S	3	PD250CT17	2823.00	3264.00	USD	-33,075.00	
25JUL17	S	1	PD250CT17	2824.00	3264.00	USD	-11,000.00	
25JUL17	S	3	PD250CT17	2824.50	3264.00	USD	-32,962.50	
25JUL17	S	1	PD250CT17	2825.50	3264.00	USD	-10,962.50	
25JUL17	S	2	PD250CT17	2826.00	3264.00	USD	-21,900.00	
25JUL17	S	1	PD250CT17	2828.50	3264.00	USD	-10,887.50	
25JUL17	S	1	PD250CT17	2829.50	3264.00	USD	-10,862.50	
25JUL17	S	2	PD250CT17	2827.00	3264.00	USD	-21,850.00	
25JUL17	S	1	PD250CT17	2831.50	3264.00	USD	-10,812.50	
25JUL17	S	1	PD250CT17	2838.50	3264.00	USD	-10,637.50	
25JUL17	B	1	PD250CT17	2830.00	3264.00	USD	10,850.00	
25JUL17	B	3	PD250CT17	2826.00	3264.00	USD	32,850.00	
25JUL17	B	1	PD250CT17	2825.50	3264.00	USD	10,962.50	
25JUL17	B	2	PD250CT17	2826.50	3264.00	USD	21,875.00	
25JUL17	B	4	PD250CT17	2827.00	3264.00	USD	43,700.00	
25JUL17	B	2	PD250CT17	2828.00	3264.00	USD	21,800.00	
25JUL17	S	1	PD250CT17	2812.50	3264.00	USD	-11,287.50	
25JUL17	B	1	PD250CT17	2828.50	3264.00	USD	10,887.50	
25JUL17	B	1	PD250CT17	2818.00	3264.00	USD	11,150.00	
25JUL17	S	1	PD250CT17	2832.50	3264.00	USD	-10,787.50	
25JUL17	S	5	PD250CT17	2834.00	3264.00	USD	-53,750.00	
25JUL17	S	2	PD250CT17	2838.00	3264.00	USD	-21,300.00	
25JUL17	S	2	PD250CT17	2847.50	3264.00	USD	-20,825.00	
25JUL17	S	1	PD250CT17	2852.50	3264.00	USD	-10,287.50	
25JUL17	S	1	PD250CT17	2856.00	3264.00	USD	-10,200.00	
25JUL17	B	1	PD250CT17	2844.00	3264.00	USD	10,500.00	
25JUL17	B	1	PD250CT17	2844.50	3264.00	USD	10,487.50	
25JUL17	B	2	PD250CT17	2839.50	3264.00	USD	21,225.00	
25JUL17	B	1	PD250CT17	2837.00	3264.00	USD	10,675.00	
25JUL17	B	1	PD250CT17	2821.00	3264.00	USD	11,075.00	
25JUL17	B	1	PD250CT17	2845.00	3264.00	USD	10,475.00	
25JUL17	B	2	PD250CT17	2843.50	3264.00	USD	21,025.00	
25JUL17	B	3	PD250CT17	2840.00	3264.00	USD	31,800.00	
25JUL17	B	1	PD250CT17	2842.00	3264.00	USD	10,550.00	
25JUL17	S	2	PD250CT17	2846.50	3264.00	USD	-20,875.00	
25JUL17	S	1	PD250CT17	2845.00	3264.00	USD	-10,475.00	
26JUL17	B	10	PD250CT17	2808.06	3264.00	USD	113,985.00	
01AUG17	S	2	PD250CT17	2778.03	3264.00	USD	-24,298.50	
	NET	0	AVG BGHT 2822.84	SOLD 2825.98			4,324.00	0.00
26JUL17	B	1	PD260CT17	2848.50	3262.33	USD	10,345.75	
26JUL17	B	2	PD260CT17	2846.50	3262.33	USD	20,791.50	
26JUL17	B	2	PD260CT17	2845.00	3262.33	USD	20,866.50	
26JUL17	B	1	PD260CT17	2843.50	3262.33	USD	10,470.75	
26JUL17	B	1	PD260CT17	2844.50	3262.33	USD	10,445.75	
26JUL17	B	2	PD260CT17	2848.00	3262.33	USD	20,716.50	
26JUL17	B	1	PD260CT17	2847.50	3262.33	USD	10,370.75	
26JUL17	B	1	PD260CT17	2852.50	3262.33	USD	10,245.75	
26JUL17	B	3	PD260CT17	2851.00	3262.33	USD	30,849.75	
26JUL17	B	1	PD260CT17	2850.50	3262.33	USD	10,295.75	
26JUL17	B	1	PD260CT17	2850.00	3262.33	USD	10,308.25	
26JUL17	B	1	PD260CT17	2852.00	3262.33	USD	10,258.25	
26JUL17	B	5	PD260CT17	2851.50	3262.33	USD	51,353.75	
26JUL17	B	2	PD260CT17	2849.50	3262.33	USD	20,641.50	

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26JUL17	B	1	PD260CT17	2846.00	3262.33	USD	10,408.25	
26JUL17	B	1	PD260CT17	2828.00	3262.33	USD	10,858.25	
26JUL17	B	1	PD260CT17	2827.00	3262.33	USD	10,883.25	
26JUL17	S	1	PD260CT17	2829.50	3262.33	USD	-10,820.75	
26JUL17	S	1	PD260CT17	2832.50	3262.33	USD	-10,745.75	
26JUL17	S	1	PD260CT17	2833.50	3262.33	USD	-10,720.75	
26JUL17	S	2	PD260CT17	2830.00	3262.33	USD	-21,616.50	
26JUL17	B	1	PD260CT17	2818.00	3262.33	USD	11,108.25	
26JUL17	B	3	PD260CT17	2820.50	3262.33	USD	33,137.25	
26JUL17	S	3	PD260CT17	2820.00	3262.33	USD	-33,174.75	
26JUL17	S	3	PD260CT17	2824.50	3262.33	USD	-32,837.25	
26JUL17	S	3	PD260CT17	2823.00	3262.33	USD	-32,949.75	
26JUL17	S	2	PD260CT17	2824.00	3262.33	USD	-21,916.50	
26JUL17	S	1	PD260CT17	2825.00	3262.33	USD	-10,933.25	
26JUL17	B	2	PD260CT17	2816.50	3262.33	USD	22,291.50	
26JUL17	B	1	PD260CT17	2819.50	3262.33	USD	11,070.75	
26JUL17	B	1	PD260CT17	2815.50	3262.33	USD	11,170.75	
26JUL17	S	1	PD260CT17	2827.00	3262.33	USD	-10,883.25	
26JUL17	S	1	PD260CT17	2825.50	3262.33	USD	-10,920.75	
26JUL17	B	1	PD260CT17	2802.50	3262.33	USD	11,495.75	
26JUL17	B	1	PD260CT17	2800.50	3262.33	USD	11,545.75	
26JUL17	S	5	PD260CT17	2822.50	3262.33	USD	-54,978.75	
26JUL17	B	1	PD260CT17	2821.50	3262.33	USD	11,020.75	
26JUL17	S	1	PD260CT17	2821.50	3262.33	USD	-11,020.75	
26JUL17	B	2	PD260CT17	2807.00	3262.33	USD	22,766.50	
26JUL17	B	1	PD260CT17	2806.50	3262.33	USD	11,395.75	
26JUL17	S	1	PD260CT17	2814.00	3262.33	USD	-11,208.25	
26JUL17	S	1	PD260CT17	2814.50	3262.33	USD	-11,195.75	
26JUL17	S	2	PD260CT17	2818.50	3262.33	USD	-22,191.50	
26JUL17	S	7	PD260CT17	2821.00	3262.33	USD	-77,232.75	
26JUL17	S	6	PD260CT17	2821.50	3262.33	USD	-66,124.50	
26JUL17	S	3	PD260CT17	2820.50	3262.33	USD	-33,137.25	
26JUL17	S	2	PD260CT17	2819.00	3262.33	USD	-22,166.50	
26JUL17	S	6	PD260CT17	2822.00	3262.33	USD	-66,049.50	
26JUL17	S	3	PD260CT17	2819.50	3262.33	USD	-33,212.25	
26JUL17	S	2	PD260CT17	2823.50	3262.33	USD	-21,941.50	
26JUL17	S	2	PD260CT17	2826.50	3262.33	USD	-21,791.50	
26JUL17	B	1	PD260CT17	2827.50	3262.33	USD	10,870.75	
26JUL17	S	1	PD260CT17	2829.00	3262.33	USD	-10,833.25	
26JUL17	B	1	PD260CT17	2824.00	3262.33	USD	10,958.25	
26JUL17	B	3	PD260CT17	2822.00	3262.33	USD	33,024.75	
26JUL17	B	1	PD260CT17	2821.50	3262.33	USD	11,020.75	
26JUL17	S	1	PD260CT17	2810.50	3262.33	USD	-11,295.75	
26JUL17	B	2	PD260CT17	2803.00	3262.33	USD	22,966.50	
26JUL17	S	1	PD260CT17	2799.00	3262.33	USD	-11,583.25	
01AUG17	B	8	PD260CT17	2778.51	3262.33	USD	96,764.00	
01AUG17	B	5	PD260CT17	2778.31	3262.33	USD	60,502.50	
03AUG17	B	1	PD260CT17	2790.00	3262.33	USD	11,808.25	
	NET	0	AVG BGHT 2821.04	SOLD 2822.02			1,546.75	0.00
27JUL17	S	2	PD270CT17	2805.50	3260.67	USD	-22,758.50	
27JUL17	S	1	PD270CT17	2806.50	3260.67	USD	-11,354.25	
27JUL17	S	1	PD270CT17	2807.00	3260.67	USD	-11,341.75	
27JUL17	S	1	PD270CT17	2809.50	3260.67	USD	-11,279.25	
27JUL17	S	2	PD270CT17	2808.50	3260.67	USD	-22,608.50	
27JUL17	S	1	PD270CT17	2814.50	3260.67	USD	-11,154.25	
27JUL17	B	1	PD270CT17	2816.00	3260.67	USD	11,116.75	
27JUL17	S	1	PD270CT17	2827.00	3260.67	USD	-10,841.75	

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27JUL17	S	1	PD27OCT17	2831.00	3260.67	USD	-10,741.75	
27JUL17	S	1	PD27OCT17	2832.50	3260.67	USD	-10,704.25	
27JUL17	B	1	PD27OCT17	2828.00	3260.67	USD	10,816.75	
27JUL17	B	1	PD27OCT17	2825.50	3260.67	USD	10,879.25	
27JUL17	B	2	PD27OCT17	2824.00	3260.67	USD	21,833.50	
27JUL17	B	1	PD27OCT17	2822.50	3260.67	USD	10,954.25	
27JUL17	B	4	PD27OCT17	2821.00	3260.67	USD	43,967.00	
27JUL17	B	3	PD27OCT17	2821.50	3260.67	USD	32,937.75	
27JUL17	B	3	PD27OCT17	2820.50	3260.67	USD	33,012.75	
27JUL17	B	5	PD27OCT17	2820.00	3260.67	USD	55,083.75	
27JUL17	B	2	PD27OCT17	2819.50	3260.67	USD	22,058.50	
27JUL17	B	3	PD27OCT17	2819.00	3260.67	USD	33,125.25	
27JUL17	B	1	PD27OCT17	2818.50	3260.67	USD	11,054.25	
27JUL17	B	1	PD27OCT17	2818.00	3260.67	USD	11,066.75	
27JUL17	B	1	PD27OCT17	2815.50	3260.67	USD	11,129.25	
27JUL17	B	2	PD27OCT17	2812.50	3260.67	USD	22,408.50	
27JUL17	S	1	PD27OCT17	2808.50	3260.67	USD	-11,304.25	
27JUL17	B	1	PD27OCT17	2830.00	3260.67	USD	10,766.75	
27JUL17	B	7	PD27OCT17	2811.00	3260.67	USD	78,692.25	
27JUL17	S	1	PD27OCT17	2812.50	3260.67	USD	-11,204.25	
27JUL17	S	3	PD27OCT17	2818.50	3260.67	USD	-33,162.75	
27JUL17	S	1	PD27OCT17	2819.50	3260.67	USD	-11,029.25	
27JUL17	S	1	PD27OCT17	2824.00	3260.67	USD	-10,916.75	
27JUL17	S	3	PD27OCT17	2822.00	3260.67	USD	-32,900.25	
27JUL17	S	1	PD27OCT17	2823.00	3260.67	USD	-10,941.75	
27JUL17	S	2	PD27OCT17	2820.00	3260.67	USD	-22,033.50	
27JUL17	B	1	PD27OCT17	2806.50	3260.67	USD	11,354.25	
27JUL17	B	1	PD27OCT17	2805.50	3260.67	USD	11,379.25	
27JUL17	B	1	PD27OCT17	2801.00	3260.67	USD	11,491.75	
27JUL17	B	1	PD27OCT17	2800.50	3260.67	USD	11,504.25	
27JUL17	S	2	PD27OCT17	2806.00	3260.67	USD	-22,733.50	
27JUL17	S	1	PD27OCT17	2804.50	3260.67	USD	-11,404.25	
27JUL17	B	3	PD27OCT17	2789.00	3260.67	USD	35,375.25	
27JUL17	B	1	PD27OCT17	2800.50	3260.67	USD	11,504.25	
27JUL17	B	2	PD27OCT17	2790.00	3260.67	USD	23,533.50	
27JUL17	S	1	PD27OCT17	2800.50	3260.67	USD	-11,504.25	
27JUL17	S	5	PD27OCT17	2798.50	3260.67	USD	-57,771.25	
27JUL17	S	2	PD27OCT17	2797.50	3260.67	USD	-23,158.50	
27JUL17	S	5	PD27OCT17	2799.00	3260.67	USD	-57,708.75	
27JUL17	S	1	PD27OCT17	2799.00	3260.67	USD	-11,541.75	
28JUL17	S	1	PD27OCT17	2788.00	3260.67	USD	-11,816.75	
28JUL17	S	1	PD27OCT17	2786.00	3260.67	USD	-11,866.75	
28JUL17	S	1	PD27OCT17	2785.50	3260.67	USD	-11,879.25	
28JUL17	S	3	PD27OCT17	2787.50	3260.67	USD	-35,487.75	
28JUL17	S	1	PD27OCT17	2789.00	3260.67	USD	-11,791.75	
28JUL17	S	1	PD27OCT17	2790.50	3260.67	USD	-11,754.25	
28JUL17	B	1	PD27OCT17	2783.00	3260.67	USD	11,941.75	
28JUL17	B	2	PD27OCT17	2781.00	3260.67	USD	23,983.50	
28JUL17	B	1	PD27OCT17	2778.00	3260.67	USD	12,066.75	
28JUL17	B	1	PD27OCT17	2774.50	3260.67	USD	12,154.25	
28JUL17	B	1	PD27OCT17	2771.00	3260.67	USD	12,241.75	
28JUL17	B	1	PD27OCT17	2770.50	3260.67	USD	12,254.25	
28JUL17	S	1	PD27OCT17	2777.50	3260.67	USD	-12,079.25	
28JUL17	B	1	PD27OCT17	2772.50	3260.67	USD	12,204.25	
28JUL17	B	3	PD27OCT17	2768.50	3260.67	USD	36,912.75	
28JUL17	B	2	PD27OCT17	2769.00	3260.67	USD	24,583.50	
28JUL17	B	1	PD27OCT17	2767.00	3260.67	USD	12,341.75	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	2766.50	3260.67	USD	12,354.25	
28JUL17	B	3	PD27OCT17	2765.00	3260.67	USD	37,175.25	
28JUL17	B	1	PD27OCT17	2764.50	3260.67	USD	12,404.25	
28JUL17	B	2	PD27OCT17	2763.50	3260.67	USD	24,858.50	
28JUL17	B	2	PD27OCT17	2763.00	3260.67	USD	24,883.50	
28JUL17	B	2	PD27OCT17	2762.00	3260.67	USD	24,933.50	
28JUL17	B	1	PD27OCT17	2761.00	3260.67	USD	12,491.75	
28JUL17	B	1	PD27OCT17	2764.00	3260.67	USD	12,416.75	
28JUL17	S	1	PD27OCT17	2769.00	3260.67	USD	-12,291.75	
28JUL17	S	1	PD27OCT17	2770.00	3260.67	USD	-12,266.75	
28JUL17	S	1	PD27OCT17	2771.00	3260.67	USD	-12,241.75	
28JUL17	S	2	PD27OCT17	2768.00	3260.67	USD	-24,633.50	
28JUL17	S	2	PD27OCT17	2774.00	3260.67	USD	-24,333.50	
28JUL17	S	2	PD27OCT17	2774.50	3260.67	USD	-24,308.50	
28JUL17	B	1	PD27OCT17	2760.50	3260.67	USD	12,504.25	
28JUL17	S	1	PD27OCT17	2772.00	3260.67	USD	-12,216.75	
28JUL17	S	4	PD27OCT17	2771.50	3260.67	USD	-48,917.00	
28JUL17	B	2	PD27OCT17	2773.00	3260.67	USD	24,383.50	
28JUL17	B	1	PD27OCT17	2773.50	3260.67	USD	12,179.25	
28JUL17	S	1	PD27OCT17	2777.00	3260.67	USD	-12,091.75	
28JUL17	S	1	PD27OCT17	2775.50	3260.67	USD	-12,129.25	
28JUL17	S	3	PD27OCT17	2776.00	3260.67	USD	-36,350.25	
28JUL17	B	1	PD27OCT17	2777.00	3260.67	USD	12,091.75	
28JUL17	S	1	PD27OCT17	2786.50	3260.67	USD	-11,854.25	
28JUL17	S	3	PD27OCT17	2785.00	3260.67	USD	-35,675.25	
28JUL17	S	1	PD27OCT17	2793.50	3260.67	USD	-11,679.25	
28JUL17	S	1	PD27OCT17	2795.50	3260.67	USD	-11,629.25	
28JUL17	S	1	PD27OCT17	2796.00	3260.67	USD	-11,616.75	
28JUL17	B	2	PD27OCT17	2779.50	3260.67	USD	24,058.50	
28JUL17	B	1	PD27OCT17	2780.00	3260.67	USD	12,016.75	
28JUL17	S	1	PD27OCT17	2772.00	3260.67	USD	-12,216.75	
28JUL17	S	2	PD27OCT17	2775.00	3260.67	USD	-24,283.50	
28JUL17	S	2	PD27OCT17	2784.50	3260.67	USD	-23,808.50	
28JUL17	B	2	PD27OCT17	2784.00	3260.67	USD	23,833.50	
01AUG17	S	5	PD27OCT17	2778.39	3260.67	USD	-60,285.00	
	NET	0	AVG BGHT 2795.41	SOLD 2793.88			-3,288.75	0.00
31JUL17	B	1	PD31OCT17	2805.00	3257.33	USD	11,308.25	
31JUL17	S	1	PD31OCT17	2808.00	3257.33	USD	-11,233.25	
31JUL17	S	1	PD31OCT17	2809.00	3257.33	USD	-11,208.25	
31JUL17	S	1	PD31OCT17	2813.50	3257.33	USD	-11,095.75	
31JUL17	S	3	PD31OCT17	2815.50	3257.33	USD	-33,137.25	
31JUL17	S	1	PD31OCT17	2819.50	3257.33	USD	-10,945.75	
31JUL17	S	1	PD31OCT17	2820.50	3257.33	USD	-10,920.75	
31JUL17	S	1	PD31OCT17	2821.50	3257.33	USD	-10,895.75	
31JUL17	S	1	PD31OCT17	2822.50	3257.33	USD	-10,870.75	
31JUL17	S	1	PD31OCT17	2822.00	3257.33	USD	-10,883.25	
31JUL17	S	1	PD31OCT17	2827.00	3257.33	USD	-10,758.25	
31JUL17	S	1	PD31OCT17	2828.00	3257.33	USD	-10,733.25	
31JUL17	B	1	PD31OCT17	2814.50	3257.33	USD	11,070.75	
31JUL17	S	2	PD31OCT17	2815.00	3257.33	USD	-22,116.50	
31JUL17	B	1	PD31OCT17	2798.50	3257.33	USD	11,470.75	
31JUL17	S	1	PD31OCT17	2799.50	3257.33	USD	-11,445.75	
31JUL17	S	2	PD31OCT17	2801.00	3257.33	USD	-22,816.50	
31JUL17	S	2	PD31OCT17	2810.00	3257.33	USD	-22,366.50	
31JUL17	S	1	PD31OCT17	2805.50	3257.33	USD	-11,295.75	
31JUL17	S	2	PD31OCT17	2804.00	3257.33	USD	-22,666.50	
31JUL17	B	2	PD31OCT17	2807.50	3257.33	USD	22,491.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	2	PD31OCT17	2804.00	3257.33	USD	22,666.50	
31JUL17	B	1	PD31OCT17	2801.50	3257.33	USD	11,395.75	
31JUL17	B	1	PD31OCT17	2800.50	3257.33	USD	11,420.75	
31JUL17	B	1	PD31OCT17	2797.00	3257.33	USD	11,508.25	
31JUL17	S	1	PD31OCT17	2796.50	3257.33	USD	-11,520.75	
31JUL17	S	1	PD31OCT17	2797.00	3257.33	USD	-11,508.25	
31JUL17	B	1	PD31OCT17	2792.50	3257.33	USD	11,620.75	
31JUL17	B	1	PD31OCT17	2792.00	3257.33	USD	11,633.25	
31JUL17	S	1	PD31OCT17	2793.00	3257.33	USD	-11,608.25	
31JUL17	B	2	PD31OCT17	2794.00	3257.33	USD	23,166.50	
31JUL17	S	1	PD31OCT17	2795.00	3257.33	USD	-11,558.25	
31JUL17	B	2	PD31OCT17	2796.50	3257.33	USD	23,041.50	
31JUL17	B	4	PD31OCT17	2796.00	3257.33	USD	46,133.00	
31JUL17	B	2	PD31OCT17	2798.00	3257.33	USD	22,966.50	
31JUL17	B	3	PD31OCT17	2795.00	3257.33	USD	34,674.75	
03AUG17	B	2	PD31OCT17	2791.25	3257.33	USD	23,304.00	
	NET	0 AVG BGHT 2798.26	SOLD 2810.54				8,287.50	0.00
01AUG17	B	1	PD01NOV17	2789.00	3255.67	USD	11,666.75	
01AUG17	B	1	PD01NOV17	2774.50	3255.67	USD	12,029.25	
01AUG17	B	2	PD01NOV17	2773.00	3255.67	USD	24,133.50	
01AUG17	B	2	PD01NOV17	2771.50	3255.67	USD	24,208.50	
01AUG17	B	4	PD01NOV17	2769.00	3255.67	USD	48,667.00	
01AUG17	B	1	PD01NOV17	2767.00	3255.67	USD	12,216.75	
01AUG17	B	1	PD01NOV17	2765.50	3255.67	USD	12,254.25	
01AUG17	S	1	PD01NOV17	2777.00	3255.67	USD	-11,966.75	
01AUG17	S	4	PD01NOV17	2781.00	3255.67	USD	-47,467.00	
01AUG17	S	2	PD01NOV17	2784.50	3255.67	USD	-23,558.50	
01AUG17	S	3	PD01NOV17	2781.50	3255.67	USD	-35,562.75	
01AUG17	S	2	PD01NOV17	2782.00	3255.67	USD	-23,683.50	
01AUG17	S	3	PD01NOV17	2779.50	3255.67	USD	-35,712.75	
01AUG17	B	1	PD01NOV17	2772.00	3255.67	USD	12,091.75	
01AUG17	S	1	PD01NOV17	2774.50	3255.67	USD	-12,029.25	
01AUG17	S	6	PD01NOV17	2778.00	3255.67	USD	-71,650.50	
01AUG17	S	4	PD01NOV17	2778.50	3255.67	USD	-47,717.00	
01AUG17	S	2	PD01NOV17	2779.00	3255.67	USD	-23,833.50	
01AUG17	S	3	PD01NOV17	2777.50	3255.67	USD	-35,862.75	
01AUG17	S	2	PD01NOV17	2783.00	3255.67	USD	-23,633.50	
01AUG17	B	1	PD01NOV17	2782.00	3255.67	USD	11,841.75	
01AUG17	B	2	PD01NOV17	2780.50	3255.67	USD	23,758.50	
01AUG17	B	1	PD01NOV17	2781.00	3255.67	USD	11,866.75	
03AUG17	B	1	PD01NOV17	2791.50	3255.67	USD	11,604.25	
07AUG17	B	15	PD01NOV17	2856.71	3255.67	USD	149,610.00	
	NET	0 AVG BGHT 2812.10	SOLD 2779.70				-26,728.75	0.00
02AUG17	S	2	PD02NOV17	2766.50	3254.00	USD	-24,375.00	
02AUG17	B	3	PD02NOV17	2773.00	3254.00	USD	36,075.00	
02AUG17	B	1	PD02NOV17	2769.00	3254.00	USD	12,125.00	
02AUG17	B	5	PD02NOV17	2770.00	3254.00	USD	60,500.00	
02AUG17	B	1	PD02NOV17	2771.50	3254.00	USD	12,062.50	
02AUG17	B	2	PD02NOV17	2770.50	3254.00	USD	24,175.00	
02AUG17	B	4	PD02NOV17	2774.00	3254.00	USD	48,000.00	
02AUG17	B	4	PD02NOV17	2774.50	3254.00	USD	47,950.00	
02AUG17	B	3	PD02NOV17	2771.00	3254.00	USD	36,225.00	
02AUG17	B	1	PD02NOV17	2768.00	3254.00	USD	12,150.00	
02AUG17	B	2	PD02NOV17	2766.00	3254.00	USD	24,400.00	
02AUG17	B	1	PD02NOV17	2764.00	3254.00	USD	12,250.00	
02AUG17	B	1	PD02NOV17	2762.50	3254.00	USD	12,287.50	
02AUG17	B	2	PD02NOV17	2765.50	3254.00	USD	24,425.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	1	PD02NOV17	2762.00	3254.00	USD	12,300.00	
02AUG17	B	2	PD02NOV17	2768.50	3254.00	USD	24,275.00	
02AUG17	B	3	PD02NOV17	2766.50	3254.00	USD	36,562.50	
02AUG17	B	2	PD02NOV17	2767.50	3254.00	USD	24,325.00	
02AUG17	B	5	PD02NOV17	2767.00	3254.00	USD	60,875.00	
02AUG17	S	1	PD02NOV17	2775.00	3254.00	USD	-11,975.00	
02AUG17	B	1	PD02NOV17	2774.50	3254.00	USD	11,987.50	
02AUG17	S	1	PD02NOV17	2766.00	3254.00	USD	-12,200.00	
02AUG17	B	1	PD02NOV17	2769.00	3254.00	USD	12,125.00	
02AUG17	S	1	PD02NOV17	2765.00	3254.00	USD	-12,225.00	
02AUG17	S	2	PD02NOV17	2765.50	3254.00	USD	-24,425.00	
02AUG17	S	1	PD02NOV17	2767.00	3254.00	USD	-12,175.00	
02AUG17	S	1	PD02NOV17	2767.50	3254.00	USD	-12,162.50	
02AUG17	S	2	PD02NOV17	2769.00	3254.00	USD	-24,250.00	
02AUG17	S	2	PD02NOV17	2775.00	3254.00	USD	-23,950.00	
02AUG17	S	2	PD02NOV17	2775.50	3254.00	USD	-23,925.00	
02AUG17	S	3	PD02NOV17	2776.00	3254.00	USD	-35,850.00	
02AUG17	S	2	PD02NOV17	2777.00	3254.00	USD	-23,850.00	
02AUG17	S	1	PD02NOV17	2778.00	3254.00	USD	-11,900.00	
02AUG17	S	1	PD02NOV17	2779.00	3254.00	USD	-11,875.00	
02AUG17	S	2	PD02NOV17	2780.50	3254.00	USD	-23,675.00	
02AUG17	S	1	PD02NOV17	2781.50	3254.00	USD	-11,812.50	
02AUG17	S	2	PD02NOV17	2782.50	3254.00	USD	-23,575.00	
02AUG17	S	2	PD02NOV17	2783.50	3254.00	USD	-23,525.00	
02AUG17	S	1	PD02NOV17	2785.00	3254.00	USD	-11,725.00	
02AUG17	S	2	PD02NOV17	2779.50	3254.00	USD	-23,725.00	
02AUG17	S	1	PD02NOV17	2780.00	3254.00	USD	-11,850.00	
02AUG17	S	2	PD02NOV17	2781.00	3254.00	USD	-23,650.00	
02AUG17	B	2	PD02NOV17	2784.00	3254.00	USD	23,500.00	
02AUG17	S	6	PD02NOV17	2784.00	3254.00	USD	-70,500.00	
02AUG17	S	1	PD02NOV17	2785.50	3254.00	USD	-11,712.50	
02AUG17	S	2	PD02NOV17	2786.50	3254.00	USD	-23,375.00	
02AUG17	S	1	PD02NOV17	2792.50	3254.00	USD	-11,537.50	
02AUG17	S	2	PD02NOV17	2784.50	3254.00	USD	-23,475.00	
02AUG17	B	1	PD02NOV17	2782.00	3254.00	USD	11,800.00	
02AUG17	S	1	PD02NOV17	2791.50	3254.00	USD	-11,562.50	
02AUG17	S	1	PD02NOV17	2792.00	3254.00	USD	-11,550.00	
02AUG17	S	1	PD02NOV17	2793.00	3254.00	USD	-11,525.00	
02AUG17	S	1	PD02NOV17	2796.00	3254.00	USD	-11,450.00	
02AUG17	S	1	PD02NOV17	2800.50	3254.00	USD	-11,337.50	
02AUG17	B	1	PD02NOV17	2801.50	3254.00	USD	11,312.50	
02AUG17	S	1	PD02NOV17	2801.00	3254.00	USD	-11,325.00	
02AUG17	B	1	PD02NOV17	2805.50	3254.00	USD	11,212.50	
02AUG17	B	2	PD02NOV17	2808.50	3254.00	USD	22,275.00	
02AUG17	B	2	PD02NOV17	2806.50	3254.00	USD	22,375.00	
02AUG17	B	2	PD02NOV17	2806.00	3254.00	USD	22,400.00	
02AUG17	B	3	PD02NOV17	2804.50	3254.00	USD	33,712.50	
03AUG17	S	4	PD02NOV17	2791.55	3254.00	USD	-46,245.00	
07AUG17	S	2	PD02NOV17	2856.73	3254.00	USD	-19,863.50	
	NET	0 AVG BGHT 2776.94	SOLD 2783.40				9,529.00	0.00
03AUG17	S	2	PD03NOV17	2830.50	3252.89	USD	-21,119.50	
03AUG17	S	1	PD03NOV17	2830.00	3252.89	USD	-10,572.25	
03AUG17	S	2	PD03NOV17	2826.50	3252.89	USD	-21,319.50	
03AUG17	B	1	PD03NOV17	2811.50	3252.89	USD	11,034.75	
03AUG17	B	1	PD03NOV17	2812.00	3252.89	USD	11,022.25	
03AUG17	B	2	PD03NOV17	2810.50	3252.89	USD	22,119.50	
03AUG17	B	1	PD03NOV17	2809.00	3252.89	USD	11,097.25	

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03AUG17	B	1	PD03NOV17	2809.50	3252.89	USD	11,084.75	
03AUG17	B	2	PD03NOV17	2808.50	3252.89	USD	22,219.50	
03AUG17	B	1	PD03NOV17	2808.00	3252.89	USD	11,122.25	
03AUG17	S	1	PD03NOV17	2814.00	3252.89	USD	-10,972.25	
03AUG17	S	2	PD03NOV17	2819.50	3252.89	USD	-21,669.50	
03AUG17	S	2	PD03NOV17	2817.00	3252.89	USD	-21,794.50	
03AUG17	S	3	PD03NOV17	2817.50	3252.89	USD	-32,654.25	
03AUG17	S	2	PD03NOV17	2821.00	3252.89	USD	-21,594.50	
03AUG17	B	2	PD03NOV17	2815.00	3252.89	USD	21,894.50	
03AUG17	B	3	PD03NOV17	2817.50	3252.89	USD	32,654.25	
03AUG17	B	2	PD03NOV17	2816.50	3252.89	USD	21,819.50	
03AUG17	B	2	PD03NOV17	2815.50	3252.89	USD	21,869.50	
03AUG17	B	1	PD03NOV17	2814.50	3252.89	USD	10,959.75	
03AUG17	B	1	PD03NOV17	2808.50	3252.89	USD	11,109.75	
03AUG17	B	3	PD03NOV17	2814.00	3252.89	USD	32,916.75	
03AUG17	B	3	PD03NOV17	2813.00	3252.89	USD	32,991.75	
03AUG17	B	2	PD03NOV17	2811.00	3252.89	USD	22,094.50	
03AUG17	B	1	PD03NOV17	2805.50	3252.89	USD	11,184.75	
03AUG17	B	2	PD03NOV17	2806.00	3252.89	USD	22,344.50	
03AUG17	B	1	PD03NOV17	2797.00	3252.89	USD	11,397.25	
03AUG17	B	1	PD03NOV17	2791.50	3252.89	USD	11,534.75	
03AUG17	B	1	PD03NOV17	2794.00	3252.89	USD	11,472.25	
03AUG17	B	3	PD03NOV17	2793.00	3252.89	USD	34,491.75	
03AUG17	S	1	PD03NOV17	2806.50	3252.89	USD	-11,159.75	
03AUG17	S	1	PD03NOV17	2806.00	3252.89	USD	-11,172.25	
03AUG17	S	1	PD03NOV17	2807.50	3252.89	USD	-11,134.75	
03AUG17	B	1	PD03NOV17	2801.00	3252.89	USD	11,297.25	
03AUG17	B	2	PD03NOV17	2798.00	3252.89	USD	22,744.50	
03AUG17	B	1	PD03NOV17	2799.00	3252.89	USD	11,347.25	
03AUG17	S	2	PD03NOV17	2793.50	3252.89	USD	-22,969.50	
03AUG17	S	1	PD03NOV17	2793.00	3252.89	USD	-11,497.25	
03AUG17	S	1	PD03NOV17	2792.50	3252.89	USD	-11,509.75	
03AUG17	S	2	PD03NOV17	2792.00	3252.89	USD	-23,044.50	
03AUG17	S	3	PD03NOV17	2794.00	3252.89	USD	-34,416.75	
03AUG17	S	1	PD03NOV17	2792.00	3252.89	USD	-11,522.25	
03AUG17	S	1	PD03NOV17	2795.00	3252.89	USD	-11,447.25	
04AUG17	S	1	PD03NOV17	2809.00	3252.89	USD	-11,097.25	
04AUG17	S	1	PD03NOV17	2816.00	3252.89	USD	-10,922.25	
04AUG17	S	2	PD03NOV17	2819.00	3252.89	USD	-21,694.50	
04AUG17	S	1	PD03NOV17	2819.50	3252.89	USD	-10,834.75	
04AUG17	S	1	PD03NOV17	2823.00	3252.89	USD	-10,747.25	
04AUG17	S	3	PD03NOV17	2827.50	3252.89	USD	-31,904.25	
04AUG17	S	1	PD03NOV17	2828.50	3252.89	USD	-10,609.75	
04AUG17	S	3	PD03NOV17	2828.00	3252.89	USD	-31,866.75	
04AUG17	S	1	PD03NOV17	2829.50	3252.89	USD	-10,584.75	
04AUG17	S	1	PD03NOV17	2830.50	3252.89	USD	-10,559.75	
04AUG17	S	1	PD03NOV17	2834.00	3252.89	USD	-10,472.25	
04AUG17	S	2	PD03NOV17	2833.50	3252.89	USD	-20,969.50	
04AUG17	S	1	PD03NOV17	2832.50	3252.89	USD	-10,509.75	
04AUG17	S	4	PD03NOV17	2833.00	3252.89	USD	-41,989.00	
04AUG17	S	3	PD03NOV17	2834.50	3252.89	USD	-31,379.25	
04AUG17	B	2	PD03NOV17	2826.00	3252.89	USD	21,344.50	
04AUG17	B	3	PD03NOV17	2825.50	3252.89	USD	32,054.25	
04AUG17	B	2	PD03NOV17	2822.50	3252.89	USD	21,519.50	
04AUG17	B	1	PD03NOV17	2822.00	3252.89	USD	10,772.25	
04AUG17	B	1	PD03NOV17	2821.00	3252.89	USD	10,797.25	
04AUG17	B	6	PD03NOV17	2821.50	3252.89	USD	64,708.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	3	PD03NOV17	2820.50	3252.89	USD	32,429.25	
04AUG17	S	3	PD03NOV17	2830.00	3252.89	USD	-31,716.75	
04AUG17	S	5	PD03NOV17	2831.00	3252.89	USD	-52,736.25	
04AUG17	B	2	PD03NOV17	2823.00	3252.89	USD	21,494.50	
04AUG17	S	2	PD03NOV17	2825.00	3252.89	USD	-21,394.50	
04AUG17	S	2	PD03NOV17	2825.50	3252.89	USD	-21,369.50	
04AUG17	S	1	PD03NOV17	2826.50	3252.89	USD	-10,659.75	
04AUG17	B	1	PD03NOV17	2819.50	3252.89	USD	10,834.75	
04AUG17	B	2	PD03NOV17	2818.50	3252.89	USD	21,719.50	
04AUG17	B	3	PD03NOV17	2818.00	3252.89	USD	32,616.75	
04AUG17	B	1	PD03NOV17	2817.00	3252.89	USD	10,897.25	
04AUG17	B	5	PD03NOV17	2820.00	3252.89	USD	54,111.25	
04AUG17	B	2	PD03NOV17	2819.00	3252.89	USD	21,694.50	
04AUG17	B	1	PD03NOV17	2812.50	3252.89	USD	11,009.75	
04AUG17	B	1	PD03NOV17	2826.00	3252.89	USD	10,672.25	
04AUG17	B	1	PD03NOV17	2821.50	3252.89	USD	10,784.75	
04AUG17	B	2	PD03NOV17	2811.50	3252.89	USD	22,069.50	
04AUG17	B	1	PD03NOV17	2805.00	3252.89	USD	11,197.25	
04AUG17	B	1	PD03NOV17	2803.00	3252.89	USD	11,247.25	
04AUG17	S	1	PD03NOV17	2803.50	3252.89	USD	-11,234.75	
04AUG17	S	1	PD03NOV17	2809.50	3252.89	USD	-11,084.75	
04AUG17	S	2	PD03NOV17	2811.00	3252.89	USD	-22,094.50	
04AUG17	S	2	PD03NOV17	2811.50	3252.89	USD	-22,069.50	
04AUG17	S	1	PD03NOV17	2819.00	3252.89	USD	-10,847.25	
04AUG17	S	3	PD03NOV17	2814.50	3252.89	USD	-32,879.25	
04AUG17	B	3	PD03NOV17	2812.00	3252.89	USD	33,066.75	
04AUG17	B	3	PD03NOV17	2813.00	3252.89	USD	32,991.75	
04AUG17	B	3	PD03NOV17	2812.50	3252.89	USD	33,029.25	
04AUG17	S	1	PD03NOV17	2811.00	3252.89	USD	-11,047.25	
07AUG17	S	13	PD03NOV17	2856.94	3252.89	USD	-128,683.75	
10AUG17	B	1	PD03NOV17	2936.75	3252.89	USD	7,903.50	
	NET	0 AVG	BGHT 2815.15	SOLD 2824.40			21,261.75	0.00
07AUG17	B	1	PD07NOV17	2806.00	3250.67	USD	11,116.75	
07AUG17	S	1	PD07NOV17	2814.50	3250.67	USD	-10,904.25	
07AUG17	S	1	PD07NOV17	2816.00	3250.67	USD	-10,866.75	
07AUG17	S	1	PD07NOV17	2815.00	3250.67	USD	-10,891.75	
07AUG17	S	1	PD07NOV17	2815.50	3250.67	USD	-10,879.25	
07AUG17	S	1	PD07NOV17	2816.50	3250.67	USD	-10,854.25	
07AUG17	S	2	PD07NOV17	2822.50	3250.67	USD	-21,408.50	
07AUG17	B	2	PD07NOV17	2810.50	3250.67	USD	22,008.50	
07AUG17	B	2	PD07NOV17	2810.00	3250.67	USD	22,033.50	
07AUG17	S	3	PD07NOV17	2818.50	3250.67	USD	-32,412.75	
07AUG17	S	14	PD07NOV17	2821.00	3250.67	USD	-150,384.50	
07AUG17	S	1	PD07NOV17	2821.50	3250.67	USD	-10,729.25	
07AUG17	S	5	PD07NOV17	2820.50	3250.67	USD	-53,771.25	
07AUG17	S	1	PD07NOV17	2819.50	3250.67	USD	-10,779.25	
07AUG17	B	1	PD07NOV17	2817.50	3250.67	USD	10,829.25	
07AUG17	S	2	PD07NOV17	2819.00	3250.67	USD	-21,583.50	
07AUG17	S	1	PD07NOV17	2824.00	3250.67	USD	-10,666.75	
07AUG17	S	1	PD07NOV17	2825.50	3250.67	USD	-10,629.25	
07AUG17	S	1	PD07NOV17	2827.00	3250.67	USD	-10,591.75	
07AUG17	B	2	PD07NOV17	2820.00	3250.67	USD	21,533.50	
07AUG17	B	1	PD07NOV17	2819.50	3250.67	USD	10,779.25	
07AUG17	B	1	PD07NOV17	2819.00	3250.67	USD	10,791.75	
07AUG17	B	1	PD07NOV17	2818.50	3250.67	USD	10,804.25	
07AUG17	B	1	PD07NOV17	2818.00	3250.67	USD	10,816.75	
07AUG17	S	1	PD07NOV17	2825.00	3250.67	USD	-10,641.75	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2828.50	3250.67	USD	-10,554.25	
07AUG17	S	2	PD07NOV17	2832.00	3250.67	USD	-20,933.50	
07AUG17	S	1	PD07NOV17	2832.50	3250.67	USD	-10,454.25	
07AUG17	S	1	PD07NOV17	2819.50	3250.67	USD	-10,779.25	
07AUG17	S	2	PD07NOV17	2832.00	3250.67	USD	-20,933.50	
07AUG17	S	1	PD07NOV17	2831.50	3250.67	USD	-10,479.25	
07AUG17	S	1	PD07NOV17	2833.00	3250.67	USD	-10,441.75	
07AUG17	S	2	PD07NOV17	2833.50	3250.67	USD	-20,858.50	
07AUG17	S	1	PD07NOV17	2834.50	3250.67	USD	-10,404.25	
07AUG17	B	1	PD07NOV17	2832.50	3250.67	USD	10,454.25	
07AUG17	B	1	PD07NOV17	2831.50	3250.67	USD	10,479.25	
07AUG17	B	1	PD07NOV17	2829.50	3250.67	USD	10,529.25	
07AUG17	B	3	PD07NOV17	2830.00	3250.67	USD	31,550.25	
07AUG17	B	6	PD07NOV17	2829.00	3250.67	USD	63,250.50	
07AUG17	B	2	PD07NOV17	2836.00	3250.67	USD	20,733.50	
07AUG17	B	1	PD07NOV17	2835.00	3250.67	USD	10,391.75	
07AUG17	B	2	PD07NOV17	2835.50	3250.67	USD	20,758.50	
07AUG17	B	3	PD07NOV17	2838.50	3250.67	USD	30,912.75	
07AUG17	B	1	PD07NOV17	2838.00	3250.67	USD	10,316.75	
07AUG17	B	1	PD07NOV17	2837.50	3250.67	USD	10,329.25	
07AUG17	B	3	PD07NOV17	2837.00	3250.67	USD	31,025.25	
07AUG17	B	2	PD07NOV17	2834.50	3250.67	USD	20,808.50	
07AUG17	S	1	PD07NOV17	2847.00	3250.67	USD	-10,091.75	
07AUG17	S	1	PD07NOV17	2847.50	3250.67	USD	-10,079.25	
07AUG17	B	1	PD07NOV17	2852.00	3250.67	USD	9,966.75	
07AUG17	B	1	PD07NOV17	2857.00	3250.67	USD	9,841.75	
07AUG17	B	2	PD07NOV17	2855.00	3250.67	USD	19,783.50	
07AUG17	B	1	PD07NOV17	2861.50	3250.67	USD	9,729.25	
07AUG17	S	1	PD07NOV17	2863.50	3250.67	USD	-9,679.25	
07AUG17	S	1	PD07NOV17	2865.50	3250.67	USD	-9,629.25	
07AUG17	S	1	PD07NOV17	2866.50	3250.67	USD	-9,604.25	
07AUG17	S	6	PD07NOV17	2865.00	3250.67	USD	-57,850.50	
07AUG17	S	2	PD07NOV17	2866.00	3250.67	USD	-19,233.50	
07AUG17	S	2	PD07NOV17	2867.50	3250.67	USD	-19,158.50	
07AUG17	S	1	PD07NOV17	2870.00	3250.67	USD	-9,516.75	
07AUG17	S	2	PD07NOV17	2869.00	3250.67	USD	-19,083.50	
07AUG17	S	1	PD07NOV17	2871.00	3250.67	USD	-9,491.75	
07AUG17	S	1	PD07NOV17	2873.00	3250.67	USD	-9,441.75	
07AUG17	S	3	PD07NOV17	2871.50	3250.67	USD	-28,437.75	
07AUG17	S	2	PD07NOV17	2874.00	3250.67	USD	-18,833.50	
07AUG17	S	1	PD07NOV17	2876.00	3250.67	USD	-9,366.75	
07AUG17	S	1	PD07NOV17	2877.50	3250.67	USD	-9,329.25	
07AUG17	S	2	PD07NOV17	2875.00	3250.67	USD	-18,783.50	
07AUG17	S	1	PD07NOV17	2877.00	3250.67	USD	-9,341.75	
07AUG17	S	2	PD07NOV17	2872.50	3250.67	USD	-18,908.50	
07AUG17	S	5	PD07NOV17	2874.50	3250.67	USD	-47,021.25	
07AUG17	B	2	PD07NOV17	2878.50	3250.67	USD	18,608.50	
07AUG17	B	1	PD07NOV17	2877.50	3250.67	USD	9,329.25	
07AUG17	B	1	PD07NOV17	2878.00	3250.67	USD	9,316.75	
07AUG17	B	4	PD07NOV17	2885.00	3250.67	USD	36,567.00	
07AUG17	S	1	PD07NOV17	2883.00	3250.67	USD	-9,191.75	
07AUG17	S	1	PD07NOV17	2880.50	3250.67	USD	-9,254.25	
07AUG17	S	1	PD07NOV17	2879.00	3250.67	USD	-9,291.75	
07AUG17	S	1	PD07NOV17	2882.50	3250.67	USD	-9,204.25	
07AUG17	S	2	PD07NOV17	2881.50	3250.67	USD	-18,458.50	
07AUG17	S	1	PD07NOV17	2886.00	3250.67	USD	-9,116.75	
07AUG17	S	1	PD07NOV17	2885.00	3250.67	USD	-9,141.75	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	2884.50	3250.67	USD	-18,308.50	
07AUG17	S	2	PD07NOV17	2885.50	3250.67	USD	-18,258.50	
07AUG17	S	2	PD07NOV17	2865.00	3250.67	USD	-19,283.50	
07AUG17	S	1	PD07NOV17	2883.50	3250.67	USD	-9,179.25	
07AUG17	B	2	PD07NOV17	2887.50	3250.67	USD	18,158.50	
07AUG17	B	1	PD07NOV17	2886.50	3250.67	USD	9,104.25	
07AUG17	B	2	PD07NOV17	2883.50	3250.67	USD	18,358.50	
07AUG17	B	1	PD07NOV17	2882.50	3250.67	USD	9,204.25	
07AUG17	B	1	PD07NOV17	2881.50	3250.67	USD	9,229.25	
07AUG17	B	1	PD07NOV17	2882.00	3250.67	USD	9,216.75	
07AUG17	B	1	PD07NOV17	2883.00	3250.67	USD	9,191.75	
07AUG17	B	2	PD07NOV17	2880.50	3250.67	USD	18,508.50	
07AUG17	B	1	PD07NOV17	2881.00	3250.67	USD	9,241.75	
07AUG17	B	5	PD07NOV17	2880.00	3250.67	USD	46,333.75	
07AUG17	B	1	PD07NOV17	2879.00	3250.67	USD	9,291.75	
07AUG17	B	2	PD07NOV17	2877.00	3250.67	USD	18,683.50	
07AUG17	B	2	PD07NOV17	2875.50	3250.67	USD	18,758.50	
07AUG17	B	1	PD07NOV17	2873.00	3250.67	USD	9,441.75	
07AUG17	S	5	PD07NOV17	2872.00	3250.67	USD	-47,333.75	
07AUG17	B	2	PD07NOV17	2874.50	3250.67	USD	18,808.50	
07AUG17	B	1	PD07NOV17	2874.00	3250.67	USD	9,416.75	
07AUG17	B	1	PD07NOV17	2873.50	3250.67	USD	9,429.25	
07AUG17	B	1	PD07NOV17	2870.50	3250.67	USD	9,504.25	
07AUG17	B	1	PD07NOV17	2869.00	3250.67	USD	9,541.75	
07AUG17	B	1	PD07NOV17	2866.00	3250.67	USD	9,616.75	
07AUG17	B	1	PD07NOV17	2866.50	3250.67	USD	9,604.25	
07AUG17	B	1	PD07NOV17	2862.00	3250.67	USD	9,716.75	
07AUG17	B	1	PD07NOV17	2864.00	3250.67	USD	9,666.75	
07AUG17	S	4	PD07NOV17	2864.00	3250.67	USD	-38,667.00	
07AUG17	B	1	PD07NOV17	2863.00	3250.67	USD	9,691.75	
07AUG17	B	3	PD07NOV17	2861.00	3250.67	USD	29,225.25	
07AUG17	B	1	PD07NOV17	2863.50	3250.67	USD	9,679.25	
07AUG17	S	1	PD07NOV17	2868.00	3250.67	USD	-9,566.75	
07AUG17	B	1	PD07NOV17	2868.50	3250.67	USD	9,554.25	
07AUG17	B	2	PD07NOV17	2865.00	3250.67	USD	19,283.50	
07AUG17	B	7	PD07NOV17	2867.00	3250.67	USD	67,142.25	
07AUG17	B	5	PD07NOV17	2868.00	3250.67	USD	47,833.75	
07AUG17	B	2	PD07NOV17	2871.00	3250.67	USD	18,983.50	
07AUG17	B	2	PD07NOV17	2871.50	3250.67	USD	18,958.50	
07AUG17	B	1	PD07NOV17	2869.50	3250.67	USD	9,529.25	
07AUG17	B	1	PD07NOV17	2870.50	3250.67	USD	9,504.25	
07AUG17	B	2	PD07NOV17	2861.50	3250.67	USD	19,458.50	
10AUG17	S	1	PD07NOV17	2937.26	3250.67	USD	-7,835.25	
10AUG17	S	1	PD07NOV17	2937.46	3250.67	USD	-7,830.25	
	NET	0 AVG BGHT 2856.95	SOLD 2851.86				-14,369.50	0.00
08AUG17	S	1	PD08NOV17	2867.00	3249.56	USD	-9,564.00	
08AUG17	S	2	PD08NOV17	2867.50	3249.56	USD	-19,103.00	
08AUG17	S	1	PD08NOV17	2870.50	3249.56	USD	-9,476.50	
08AUG17	S	4	PD08NOV17	2870.00	3249.56	USD	-37,956.00	
08AUG17	S	1	PD08NOV17	2871.50	3249.56	USD	-9,451.50	
08AUG17	S	4	PD08NOV17	2878.50	3249.56	USD	-37,106.00	
08AUG17	S	4	PD08NOV17	2877.50	3249.56	USD	-37,206.00	
08AUG17	S	1	PD08NOV17	2875.50	3249.56	USD	-9,351.50	
08AUG17	S	3	PD08NOV17	2869.50	3249.56	USD	-28,504.50	
08AUG17	S	1	PD08NOV17	2872.50	3249.56	USD	-9,426.50	
08AUG17	S	2	PD08NOV17	2873.00	3249.56	USD	-18,828.00	
08AUG17	S	1	PD08NOV17	2873.50	3249.56	USD	-9,401.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	2	PD08NOV17	2871.00	3249.56	USD	-18,928.00	
08AUG17	S	3	PD08NOV17	2872.00	3249.56	USD	-28,317.00	
08AUG17	B	1	PD08NOV17	2872.50	3249.56	USD	9,426.50	
08AUG17	S	5	PD08NOV17	2875.00	3249.56	USD	-46,820.00	
08AUG17	S	9	PD08NOV17	2876.50	3249.56	USD	-83,938.50	
08AUG17	S	1	PD08NOV17	2881.00	3249.56	USD	-9,214.00	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	B	2	PD08NOV17	2878.00	3249.56	USD	18,578.00	
08AUG17	B	1	PD08NOV17	2879.00	3249.56	USD	9,264.00	
08AUG17	S	1	PD08NOV17	2887.00	3249.56	USD	-9,064.00	
08AUG17	S	1	PD08NOV17	2882.00	3249.56	USD	-9,189.00	
08AUG17	S	2	PD08NOV17	2882.50	3249.56	USD	-18,353.00	
08AUG17	B	2	PD08NOV17	2875.00	3249.56	USD	18,728.00	
08AUG17	B	2	PD08NOV17	2873.50	3249.56	USD	18,803.00	
08AUG17	B	5	PD08NOV17	2873.00	3249.56	USD	47,070.00	
08AUG17	S	3	PD08NOV17	2878.00	3249.56	USD	-27,867.00	
08AUG17	B	1	PD08NOV17	2874.50	3249.56	USD	9,376.50	
08AUG17	B	2	PD08NOV17	2874.00	3249.56	USD	18,778.00	
08AUG17	B	1	PD08NOV17	2869.00	3249.56	USD	9,514.00	
08AUG17	B	1	PD08NOV17	2876.50	3249.56	USD	9,326.50	
08AUG17	S	1	PD08NOV17	2883.00	3249.56	USD	-9,164.00	
08AUG17	B	2	PD08NOV17	2871.00	3249.56	USD	18,928.00	
08AUG17	B	3	PD08NOV17	2870.50	3249.56	USD	28,429.50	
08AUG17	B	2	PD08NOV17	2868.50	3249.56	USD	19,053.00	
08AUG17	B	3	PD08NOV17	2867.50	3249.56	USD	28,654.50	
08AUG17	B	2	PD08NOV17	2866.00	3249.56	USD	19,178.00	
08AUG17	S	1	PD08NOV17	2866.00	3249.56	USD	-9,589.00	
08AUG17	S	3	PD08NOV17	2880.50	3249.56	USD	-27,679.50	
08AUG17	S	1	PD08NOV17	2881.50	3249.56	USD	-9,201.50	
08AUG17	S	1	PD08NOV17	2884.00	3249.56	USD	-9,139.00	
08AUG17	S	1	PD08NOV17	2884.50	3249.56	USD	-9,126.50	
08AUG17	S	1	PD08NOV17	2885.50	3249.56	USD	-9,101.50	
08AUG17	S	1	PD08NOV17	2887.00	3249.56	USD	-9,064.00	
08AUG17	S	2	PD08NOV17	2888.00	3249.56	USD	-18,078.00	
08AUG17	S	1	PD08NOV17	2888.50	3249.56	USD	-9,026.50	
08AUG17	S	2	PD08NOV17	2886.50	3249.56	USD	-18,153.00	
08AUG17	S	2	PD08NOV17	2889.00	3249.56	USD	-18,028.00	
08AUG17	S	2	PD08NOV17	2889.50	3249.56	USD	-18,003.00	
08AUG17	S	1	PD08NOV17	2890.50	3249.56	USD	-8,976.50	
08AUG17	S	2	PD08NOV17	2891.50	3249.56	USD	-17,903.00	
08AUG17	S	1	PD08NOV17	2893.50	3249.56	USD	-8,901.50	
08AUG17	S	1	PD08NOV17	2894.50	3249.56	USD	-8,876.50	
08AUG17	S	1	PD08NOV17	2895.50	3249.56	USD	-8,851.50	
08AUG17	S	1	PD08NOV17	2899.50	3249.56	USD	-8,751.50	
08AUG17	B	1	PD08NOV17	2895.00	3249.56	USD	8,864.00	
08AUG17	B	1	PD08NOV17	2898.50	3249.56	USD	8,776.50	
08AUG17	B	3	PD08NOV17	2898.00	3249.56	USD	26,367.00	
08AUG17	B	1	PD08NOV17	2897.50	3249.56	USD	8,801.50	
08AUG17	B	1	PD08NOV17	2899.00	3249.56	USD	8,764.00	
08AUG17	S	1	PD08NOV17	2915.00	3249.56	USD	-8,364.00	
08AUG17	S	1	PD08NOV17	2910.50	3249.56	USD	-8,476.50	
08AUG17	S	1	PD08NOV17	2913.00	3249.56	USD	-8,414.00	
08AUG17	S	2	PD08NOV17	2912.00	3249.56	USD	-16,878.00	
08AUG17	S	3	PD08NOV17	2914.00	3249.56	USD	-25,167.00	
08AUG17	S	1	PD08NOV17	2914.50	3249.56	USD	-8,376.50	
08AUG17	B	1	PD08NOV17	2921.00	3249.56	USD	8,214.00	
08AUG17	B	1	PD08NOV17	2920.00	3249.56	USD	8,239.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	1	PD08NOV17		2919.00	3249.56	USD	8,264.00	
08AUG17	B	1	PD08NOV17		2922.00	3249.56	USD	8,189.00	
08AUG17	B	3	PD08NOV17		2929.00	3249.56	USD	24,042.00	
08AUG17	B	3	PD08NOV17		2926.50	3249.56	USD	24,229.50	
08AUG17	B	1	PD08NOV17		2930.50	3249.56	USD	7,976.50	
08AUG17	B	1	PD08NOV17		2929.50	3249.56	USD	8,001.50	
08AUG17	B	1	PD08NOV17		2927.00	3249.56	USD	8,064.00	
08AUG17	S	1	PD08NOV17		2878.00	3249.56	USD	-9,289.00	
08AUG17	B	1	PD08NOV17		2879.50	3249.56	USD	9,251.50	
08AUG17	B	2	PD08NOV17		2926.00	3249.56	USD	16,178.00	
08AUG17	B	2	PD08NOV17		2924.00	3249.56	USD	16,278.00	
08AUG17	B	1	PD08NOV17		2923.50	3249.56	USD	8,151.50	
08AUG17	B	6	PD08NOV17		2925.50	3249.56	USD	48,609.00	
08AUG17	B	3	PD08NOV17		2925.00	3249.56	USD	24,342.00	
08AUG17	B	3	PD08NOV17		2930.00	3249.56	USD	23,967.00	
08AUG17	B	6	PD08NOV17		2928.50	3249.56	USD	48,159.00	
08AUG17	B	7	PD08NOV17		2928.00	3249.56	USD	56,273.00	
08AUG17	B	2	PD08NOV17		2927.50	3249.56	USD	16,103.00	
08AUG17	B	2	PD08NOV17		2925.50	3249.56	USD	16,203.00	
08AUG17	S	1	PD08NOV17		2936.00	3249.56	USD	-7,839.00	
08AUG17	S	1	PD08NOV17		2935.50	3249.56	USD	-7,851.50	
10AUG17	B	1	PD08NOV17		2937.84	3249.56	USD	7,793.00	
10AUG17	B	2	PD08NOV17		2937.64	3249.56	USD	15,596.00	
14AUG17	B	2	PD08NOV17		2916.04	3249.56	USD	16,676.00	
22AUG17	B	1	PD08NOV17		3118.56	3249.56	USD	3,275.00	
	NET	0	AVG BGHT 2908.13	SOLD 2883.62				-55,769.00	0.00
09AUG17	B	1	PD09NOV17		2936.50	3248.44	USD	7,798.50	
09AUG17	B	2	PD09NOV17		2939.50	3248.44	USD	15,447.00	
09AUG17	B	3	PD09NOV17		2941.00	3248.44	USD	23,058.00	
09AUG17	S	1	PD09NOV17		2944.00	3248.44	USD	-7,611.00	
09AUG17	S	2	PD09NOV17		2952.00	3248.44	USD	-14,822.00	
09AUG17	S	1	PD09NOV17		2951.00	3248.44	USD	-7,436.00	
09AUG17	S	2	PD09NOV17		2952.50	3248.44	USD	-14,797.00	
09AUG17	S	1	PD09NOV17		2954.00	3248.44	USD	-7,361.00	
09AUG17	S	3	PD09NOV17		2953.50	3248.44	USD	-22,120.50	
09AUG17	S	2	PD09NOV17		2955.50	3248.44	USD	-14,647.00	
09AUG17	S	2	PD09NOV17		2942.00	3248.44	USD	-15,322.00	
09AUG17	S	2	PD09NOV17		2945.00	3248.44	USD	-15,172.00	
09AUG17	S	1	PD09NOV17		2951.50	3248.44	USD	-7,423.50	
09AUG17	S	2	PD09NOV17		2950.50	3248.44	USD	-14,897.00	
09AUG17	S	2	PD09NOV17		2957.00	3248.44	USD	-14,572.00	
09AUG17	S	1	PD09NOV17		2958.00	3248.44	USD	-7,261.00	
09AUG17	S	1	PD09NOV17		2958.50	3248.44	USD	-7,248.50	
09AUG17	S	2	PD09NOV17		2961.00	3248.44	USD	-14,372.00	
09AUG17	S	1	PD09NOV17		2963.00	3248.44	USD	-7,136.00	
09AUG17	S	1	PD09NOV17		2963.50	3248.44	USD	-7,123.50	
09AUG17	S	4	PD09NOV17		2964.00	3248.44	USD	-28,444.00	
09AUG17	S	3	PD09NOV17		2967.50	3248.44	USD	-21,070.50	
09AUG17	S	5	PD09NOV17		2966.50	3248.44	USD	-35,242.50	
09AUG17	B	1	PD09NOV17		2970.50	3248.44	USD	6,948.50	
09AUG17	S	1	PD09NOV17		2980.50	3248.44	USD	-6,698.50	
09AUG17	B	3	PD09NOV17		2967.50	3248.44	USD	21,070.50	
09AUG17	B	1	PD09NOV17		2965.00	3248.44	USD	7,086.00	
09AUG17	B	1	PD09NOV17		2963.00	3248.44	USD	7,136.00	
09AUG17	B	2	PD09NOV17		2961.50	3248.44	USD	14,347.00	
09AUG17	B	1	PD09NOV17		2961.00	3248.44	USD	7,186.00	
09AUG17	B	4	PD09NOV17		2962.00	3248.44	USD	28,644.00	

OPEN POSITION STATEMENT



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09AUG17	S	1	PD09NOV17	2959.00	3248.44	USD	-7,236.00	
09AUG17	B	2	PD09NOV17	2950.00	3248.44	USD	14,922.00	
09AUG17	B	1	PD09NOV17	2948.00	3248.44	USD	7,511.00	
09AUG17	B	2	PD09NOV17	2948.50	3248.44	USD	14,997.00	
09AUG17	B	2	PD09NOV17	2947.00	3248.44	USD	15,072.00	
09AUG17	S	3	PD09NOV17	2947.50	3248.44	USD	-22,570.50	
09AUG17	S	1	PD09NOV17	2948.00	3248.44	USD	-7,511.00	
09AUG17	B	2	PD09NOV17	2951.00	3248.44	USD	14,872.00	
09AUG17	B	9	PD09NOV17	2950.50	3248.44	USD	67,036.50	
09AUG17	B	3	PD09NOV17	2955.00	3248.44	USD	22,008.00	
09AUG17	B	1	PD09NOV17	2954.00	3248.44	USD	7,361.00	
09AUG17	S	2	PD09NOV17	2965.00	3248.44	USD	-14,172.00	
09AUG17	S	2	PD09NOV17	2956.00	3248.44	USD	-14,622.00	
09AUG17	S	1	PD09NOV17	2953.00	3248.44	USD	-7,386.00	
09AUG17	S	1	PD09NOV17	2962.00	3248.44	USD	-7,161.00	
09AUG17	S	7	PD09NOV17	2965.50	3248.44	USD	-49,514.50	
09AUG17	S	3	PD09NOV17	2966.00	3248.44	USD	-21,183.00	
09AUG17	S	1	PD09NOV17	2972.50	3248.44	USD	-6,898.50	
09AUG17	S	1	PD09NOV17	2973.00	3248.44	USD	-6,886.00	
09AUG17	S	2	PD09NOV17	2964.50	3248.44	USD	-14,197.00	
09AUG17	S	8	PD09NOV17	2967.00	3248.44	USD	-56,288.00	
09AUG17	S	1	PD09NOV17	2971.50	3248.44	USD	-6,923.50	
09AUG17	S	2	PD09NOV17	2975.00	3248.44	USD	-13,672.00	
09AUG17	B	3	PD09NOV17	2973.00	3248.44	USD	20,658.00	
09AUG17	S	1	PD09NOV17	2975.50	3248.44	USD	-6,823.50	
09AUG17	B	1	PD09NOV17	2966.00	3248.44	USD	7,061.00	
09AUG17	B	1	PD09NOV17	2964.00	3248.44	USD	7,111.00	
09AUG17	B	1	PD09NOV17	2952.50	3248.44	USD	7,398.50	
09AUG17	B	1	PD09NOV17	2952.00	3248.44	USD	7,411.00	
09AUG17	B	2	PD09NOV17	2956.00	3248.44	USD	14,622.00	
09AUG17	B	2	PD09NOV17	2959.00	3248.44	USD	14,472.00	
09AUG17	B	2	PD09NOV17	2957.50	3248.44	USD	14,547.00	
09AUG17	B	3	PD09NOV17	2957.00	3248.44	USD	21,858.00	
09AUG17	B	1	PD09NOV17	2958.00	3248.44	USD	7,261.00	
09AUG17	B	5	PD09NOV17	2956.50	3248.44	USD	36,492.50	
09AUG17	S	8	PD09NOV17	2955.00	3248.44	USD	-58,688.00	
09AUG17	B	1	PD09NOV17	2945.50	3248.44	USD	7,573.50	
09AUG17	B	1	PD09NOV17	2944.50	3248.44	USD	7,598.50	
09AUG17	B	2	PD09NOV17	2945.00	3248.44	USD	15,172.00	
09AUG17	B	1	PD09NOV17	2944.00	3248.44	USD	7,611.00	
09AUG17	B	4	PD09NOV17	2943.00	3248.44	USD	30,544.00	
09AUG17	B	3	PD09NOV17	2942.50	3248.44	USD	22,945.50	
09AUG17	B	2	PD09NOV17	2941.50	3248.44	USD	15,347.00	
09AUG17	B	5	PD09NOV17	2942.00	3248.44	USD	38,305.00	
09AUG17	S	2	PD09NOV17	2943.50	3248.44	USD	-15,247.00	
09AUG17	B	1	PD09NOV17	2937.50	3248.44	USD	7,773.50	
09AUG17	S	2	PD09NOV17	2966.50	3248.44	USD	-14,097.00	
09AUG17	S	1	PD09NOV17	2954.50	3248.44	USD	-7,348.50	
09AUG17	S	1	PD09NOV17	2953.00	3248.44	USD	-7,386.00	
09AUG17	S	1	PD09NOV17	2964.50	3248.44	USD	-7,098.50	
09AUG17	S	1	PD09NOV17	2963.00	3248.44	USD	-7,136.00	
09AUG17	S	1	PD09NOV17	2940.50	3248.44	USD	-7,698.50	
09AUG17	B	2	PD09NOV17	2934.50	3248.44	USD	15,697.00	
09AUG17	B	1	PD09NOV17	2933.00	3248.44	USD	7,886.00	
09AUG17	B	3	PD09NOV17	2935.00	3248.44	USD	23,508.00	
09AUG17	B	2	PD09NOV17	2934.00	3248.44	USD	15,722.00	
09AUG17	B	1	PD09NOV17	2932.50	3248.44	USD	7,898.50	

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09AUG17	B	1	PD09NOV17		2933.00	3248.44	USD	7,886.00	
09AUG17	B	1	PD09NOV17		2938.50	3248.44	USD	7,748.50	
10AUG17	S	2	PD09NOV17		2937.62	3248.44	USD	-15,541.00	
22AUG17	B	2	PD09NOV17		3118.69	3248.44	USD	6,487.50	
	NET	0 AVG	BGHT 2953.82	SOLD 2959.25				13,034.00	0.00
10AUG17	B	1	PD10NOV17		2943.50	3247.33	USD	7,595.75	
10AUG17	B	2	PD10NOV17		2945.50	3247.33	USD	15,091.50	
10AUG17	B	1	PD10NOV17		2945.00	3247.33	USD	7,558.25	
10AUG17	S	1	PD10NOV17		2941.00	3247.33	USD	-7,658.25	
10AUG17	S	1	PD10NOV17		2947.00	3247.33	USD	-7,508.25	
10AUG17	B	1	PD10NOV17		2948.50	3247.33	USD	7,470.75	
10AUG17	B	5	PD10NOV17		2947.50	3247.33	USD	37,478.75	
10AUG17	B	2	PD10NOV17		2947.00	3247.33	USD	15,016.50	
10AUG17	B	2	PD10NOV17		2946.50	3247.33	USD	15,041.50	
10AUG17	S	1	PD10NOV17		2933.50	3247.33	USD	-7,845.75	
10AUG17	S	2	PD10NOV17		2934.00	3247.33	USD	-15,666.50	
10AUG17	S	2	PD10NOV17		2935.00	3247.33	USD	-15,616.50	
10AUG17	S	1	PD10NOV17		2937.50	3247.33	USD	-7,745.75	
10AUG17	B	1	PD10NOV17		2938.50	3247.33	USD	7,720.75	
10AUG17	B	1	PD10NOV17		2936.00	3247.33	USD	7,783.25	
10AUG17	B	3	PD10NOV17		2935.00	3247.33	USD	23,424.75	
10AUG17	B	1	PD10NOV17		2938.00	3247.33	USD	7,733.25	
10AUG17	S	1	PD10NOV17		2945.50	3247.33	USD	-7,545.75	
10AUG17	S	1	PD10NOV17		2946.50	3247.33	USD	-7,520.75	
10AUG17	S	1	PD10NOV17		2947.50	3247.33	USD	-7,495.75	
10AUG17	S	1	PD10NOV17		2946.00	3247.33	USD	-7,533.25	
10AUG17	S	5	PD10NOV17		2943.00	3247.33	USD	-38,041.25	
10AUG17	B	3	PD10NOV17		2943.00	3247.33	USD	22,824.75	
10AUG17	B	1	PD10NOV17		2942.50	3247.33	USD	7,620.75	
10AUG17	B	1	PD10NOV17		2952.00	3247.33	USD	7,383.25	
10AUG17	B	1	PD10NOV17		2948.00	3247.33	USD	7,483.25	
10AUG17	S	1	PD10NOV17		2950.00	3247.33	USD	-7,433.25	
10AUG17	S	1	PD10NOV17		2949.50	3247.33	USD	-7,445.75	
10AUG17	S	1	PD10NOV17		2948.50	3247.33	USD	-7,470.75	
10AUG17	S	1	PD10NOV17		2949.00	3247.33	USD	-7,458.25	
10AUG17	S	7	PD10NOV17		2945.00	3247.33	USD	-52,907.75	
10AUG17	S	3	PD10NOV17		2944.50	3247.33	USD	-22,712.25	
10AUG17	S	1	PD10NOV17		2937.50	3247.33	USD	-7,745.75	
10AUG17	S	1	PD10NOV17		2950.00	3247.33	USD	-7,433.25	
10AUG17	S	5	PD10NOV17		2942.00	3247.33	USD	-38,166.25	
10AUG17	S	1	PD10NOV17		2941.50	3247.33	USD	-7,645.75	
10AUG17	S	3	PD10NOV17		2943.00	3247.33	USD	-22,824.75	
10AUG17	S	3	PD10NOV17		2944.00	3247.33	USD	-22,749.75	
10AUG17	S	5	PD10NOV17		2942.00	3247.33	USD	-38,166.25	
10AUG17	S	3	PD10NOV17		2938.50	3247.33	USD	-23,162.25	
10AUG17	S	3	PD10NOV17		2939.00	3247.33	USD	-23,124.75	
10AUG17	S	2	PD10NOV17		2939.50	3247.33	USD	-15,391.50	
10AUG17	S	2	PD10NOV17		2940.00	3247.33	USD	-15,366.50	
10AUG17	B	1	PD10NOV17		2925.00	3247.33	USD	8,058.25	
10AUG17	B	1	PD10NOV17		2920.00	3247.33	USD	8,183.25	
10AUG17	B	1	PD10NOV17		2917.50	3247.33	USD	8,245.75	
10AUG17	B	2	PD10NOV17		2915.00	3247.33	USD	16,616.50	
10AUG17	B	1	PD10NOV17		2916.50	3247.33	USD	8,270.75	
10AUG17	S	1	PD10NOV17		2925.00	3247.33	USD	-8,058.25	
10AUG17	B	1	PD10NOV17		2922.50	3247.33	USD	8,120.75	
10AUG17	B	1	PD10NOV17		2918.50	3247.33	USD	8,220.75	
10AUG17	S	1	PD10NOV17		2909.00	3247.33	USD	-8,458.25	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	2915.00	3247.33	USD	-8,308.25	
10AUG17	S	1	PD10NOV17	2916.50	3247.33	USD	-8,270.75	
10AUG17	B	1	PD10NOV17	2916.00	3247.33	USD	8,283.25	
10AUG17	B	4	PD10NOV17	2924.50	3247.33	USD	32,283.00	
10AUG17	B	1	PD10NOV17	2913.00	3247.33	USD	8,358.25	
10AUG17	B	1	PD10NOV17	2912.50	3247.33	USD	8,370.75	
10AUG17	B	1	PD10NOV17	2924.50	3247.33	USD	8,070.75	
10AUG17	B	1	PD10NOV17	2942.00	3247.33	USD	7,633.25	
10AUG17	B	1	PD10NOV17	2941.00	3247.33	USD	7,658.25	
10AUG17	S	1	PD10NOV17	2951.50	3247.33	USD	-7,395.75	
10AUG17	S	2	PD10NOV17	2952.50	3247.33	USD	-14,741.50	
10AUG17	S	2	PD10NOV17	2951.00	3247.33	USD	-14,816.50	
10AUG17	S	2	PD10NOV17	2952.00	3247.33	USD	-14,766.50	
10AUG17	S	1	PD10NOV17	2953.00	3247.33	USD	-7,358.25	
10AUG17	B	1	PD10NOV17	2955.00	3247.33	USD	7,308.25	
10AUG17	S	1	PD10NOV17	2955.00	3247.33	USD	-7,308.25	
10AUG17	S	1	PD10NOV17	2948.00	3247.33	USD	-7,483.25	
10AUG17	S	2	PD10NOV17	2943.50	3247.33	USD	-15,191.50	
10AUG17	B	1	PD10NOV17	2939.50	3247.33	USD	7,695.75	
10AUG17	S	2	PD10NOV17	2940.50	3247.33	USD	-15,341.50	
10AUG17	B	1	PD10NOV17	2929.50	3247.33	USD	7,945.75	
10AUG17	B	1	PD10NOV17	2930.00	3247.33	USD	7,933.25	
10AUG17	B	1	PD10NOV17	2927.00	3247.33	USD	8,008.25	
10AUG17	B	1	PD10NOV17	2926.00	3247.33	USD	8,033.25	
10AUG17	B	1	PD10NOV17	2925.50	3247.33	USD	8,045.75	
11AUG17	B	1	PD10NOV17	2908.50	3247.33	USD	8,470.75	
11AUG17	B	1	PD10NOV17	2907.50	3247.33	USD	8,495.75	
11AUG17	S	1	PD10NOV17	2906.00	3247.33	USD	-8,533.25	
11AUG17	S	1	PD10NOV17	2902.00	3247.33	USD	-8,633.25	
11AUG17	S	1	PD10NOV17	2911.00	3247.33	USD	-8,408.25	
11AUG17	B	1	PD10NOV17	2891.50	3247.33	USD	8,895.75	
11AUG17	B	1	PD10NOV17	2891.00	3247.33	USD	8,908.25	
11AUG17	B	1	PD10NOV17	2906.50	3247.33	USD	8,520.75	
11AUG17	B	1	PD10NOV17	2903.00	3247.33	USD	8,608.25	
11AUG17	B	2	PD10NOV17	2902.00	3247.33	USD	17,266.50	
11AUG17	B	1	PD10NOV17	2898.00	3247.33	USD	8,733.25	
11AUG17	B	1	PD10NOV17	2899.50	3247.33	USD	8,695.75	
11AUG17	B	2	PD10NOV17	2898.50	3247.33	USD	17,441.50	
11AUG17	B	1	PD10NOV17	2896.50	3247.33	USD	8,770.75	
11AUG17	B	1	PD10NOV17	2895.50	3247.33	USD	8,795.75	
11AUG17	B	2	PD10NOV17	2895.00	3247.33	USD	17,616.50	
11AUG17	B	1	PD10NOV17	2894.00	3247.33	USD	8,833.25	
11AUG17	B	1	PD10NOV17	2897.00	3247.33	USD	8,758.25	
11AUG17	B	2	PD10NOV17	2890.50	3247.33	USD	17,841.50	
11AUG17	B	1	PD10NOV17	2889.50	3247.33	USD	8,945.75	
11AUG17	B	4	PD10NOV17	2890.00	3247.33	USD	35,733.00	
11AUG17	S	4	PD10NOV17	2898.00	3247.33	USD	-34,933.00	
11AUG17	S	1	PD10NOV17	2902.50	3247.33	USD	-8,620.75	
11AUG17	S	5	PD10NOV17	2907.50	3247.33	USD	-42,478.75	
11AUG17	S	2	PD10NOV17	2908.00	3247.33	USD	-16,966.50	
11AUG17	S	1	PD10NOV17	2908.50	3247.33	USD	-8,470.75	
11AUG17	S	4	PD10NOV17	2913.00	3247.33	USD	-33,433.00	
11AUG17	S	2	PD10NOV17	2912.00	3247.33	USD	-16,766.50	
11AUG17	S	1	PD10NOV17	2914.00	3247.33	USD	-8,333.25	
11AUG17	S	2	PD10NOV17	2914.50	3247.33	USD	-16,641.50	
11AUG17	S	1	PD10NOV17	2915.00	3247.33	USD	-8,308.25	
11AUG17	S	1	PD10NOV17	2918.00	3247.33	USD	-8,233.25	

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11AUG17	S	1	PD10NOV17	2918.50	3247.33	USD	-8,220.75	
11AUG17	S	1	PD10NOV17	2920.50	3247.33	USD	-8,170.75	
11AUG17	S	2	PD10NOV17	2916.00	3247.33	USD	-16,566.50	
11AUG17	S	2	PD10NOV17	2917.00	3247.33	USD	-16,516.50	
11AUG17	S	2	PD10NOV17	2915.50	3247.33	USD	-16,591.50	
11AUG17	S	1	PD10NOV17	2921.00	3247.33	USD	-8,158.25	
11AUG17	S	1	PD10NOV17	2923.00	3247.33	USD	-8,108.25	
11AUG17	S	1	PD10NOV17	2923.50	3247.33	USD	-8,095.75	
11AUG17	S	4	PD10NOV17	2919.00	3247.33	USD	-32,833.00	
11AUG17	S	2	PD10NOV17	2920.00	3247.33	USD	-16,366.50	
11AUG17	B	5	PD10NOV17	2922.00	3247.33	USD	40,666.25	
11AUG17	S	2	PD10NOV17	2919.50	3247.33	USD	-16,391.50	
11AUG17	S	1	PD10NOV17	2922.50	3247.33	USD	-8,120.75	
11AUG17	B	1	PD10NOV17	2912.50	3247.33	USD	8,370.75	
11AUG17	B	2	PD10NOV17	2905.50	3247.33	USD	17,091.50	
11AUG17	B	1	PD10NOV17	2907.00	3247.33	USD	8,508.25	
11AUG17	B	4	PD10NOV17	2905.00	3247.33	USD	34,233.00	
11AUG17	B	1	PD10NOV17	2904.00	3247.33	USD	8,583.25	
11AUG17	B	1	PD10NOV17	2913.50	3247.33	USD	8,345.75	
11AUG17	B	2	PD10NOV17	2913.00	3247.33	USD	16,716.50	
11AUG17	B	1	PD10NOV17	2915.00	3247.33	USD	8,308.25	
11AUG17	B	1	PD10NOV17	2911.50	3247.33	USD	8,395.75	
11AUG17	B	1	PD10NOV17	2909.50	3247.33	USD	8,445.75	
11AUG17	B	2	PD10NOV17	2910.00	3247.33	USD	16,866.50	
11AUG17	B	2	PD10NOV17	2909.00	3247.33	USD	16,916.50	
11AUG17	B	2	PD10NOV17	2906.00	3247.33	USD	17,066.50	
11AUG17	B	5	PD10NOV17	2904.50	3247.33	USD	42,853.75	
11AUG17	B	2	PD10NOV17	2905.50	3247.33	USD	17,091.50	
11AUG17	B	3	PD10NOV17	2902.50	3247.33	USD	25,862.25	
11AUG17	B	6	PD10NOV17	2903.50	3247.33	USD	51,574.50	
11AUG17	B	2	PD10NOV17	2894.50	3247.33	USD	17,641.50	
11AUG17	B	1	PD10NOV17	2892.00	3247.33	USD	8,883.25	
11AUG17	S	1	PD10NOV17	2904.50	3247.33	USD	-8,570.75	
11AUG17	S	1	PD10NOV17	2906.50	3247.33	USD	-8,520.75	
11AUG17	S	5	PD10NOV17	2904.00	3247.33	USD	-42,916.25	
11AUG17	S	2	PD10NOV17	2905.00	3247.33	USD	-17,116.50	
11AUG17	S	3	PD10NOV17	2909.00	3247.33	USD	-25,374.75	
11AUG17	S	2	PD10NOV17	2909.50	3247.33	USD	-16,891.50	
11AUG17	B	1	PD10NOV17	2912.00	3247.33	USD	8,383.25	
11AUG17	B	2	PD10NOV17	2909.00	3247.33	USD	16,916.50	
11AUG17	S	4	PD10NOV17	2910.00	3247.33	USD	-33,733.00	
11AUG17	B	3	PD10NOV17	2899.00	3247.33	USD	26,124.75	
11AUG17	B	4	PD10NOV17	2900.00	3247.33	USD	34,733.00	
11AUG17	B	3	PD10NOV17	2901.50	3247.33	USD	25,937.25	
11AUG17	B	3	PD10NOV17	2900.50	3247.33	USD	26,012.25	
11AUG17	S	1	PD10NOV17	2903.50	3247.33	USD	-8,595.75	
11AUG17	B	1	PD10NOV17	2902.50	3247.33	USD	8,620.75	
14AUG17	S	2	PD10NOV17	2916.16	3247.33	USD	-16,558.50	
22AUG17	B	8	PD10NOV17	3118.83	3247.33	USD	25,700.00	
	NET	0	AVG BGHT 2926.03	SOLD 2927.86			6,692.00	0.00
14AUG17	S	1	PD14NOV17	2910.50	3245.11	USD	-8,365.25	
14AUG17	S	1	PD14NOV17	2918.50	3245.11	USD	-8,165.25	
14AUG17	S	3	PD14NOV17	2920.00	3245.11	USD	-24,383.25	
14AUG17	B	4	PD14NOV17	2913.50	3245.11	USD	33,161.00	
14AUG17	B	1	PD14NOV17	2912.50	3245.11	USD	8,315.25	
14AUG17	B	1	PD14NOV17	2911.00	3245.11	USD	8,352.75	
14AUG17	B	3	PD14NOV17	2909.00	3245.11	USD	25,208.25	

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14AUG17	B	1	PD14NOV17	2908.00	3245.11	USD	8,427.75	
14AUG17	B	1	PD14NOV17	2907.50	3245.11	USD	8,440.25	
14AUG17	B	3	PD14NOV17	2912.00	3245.11	USD	24,983.25	
14AUG17	S	1	PD14NOV17	2913.00	3245.11	USD	-8,302.75	
14AUG17	B	2	PD14NOV17	2915.00	3245.11	USD	16,505.50	
14AUG17	S	1	PD14NOV17	2910.00	3245.11	USD	-8,377.75	
14AUG17	S	1	PD14NOV17	2906.50	3245.11	USD	-8,465.25	
14AUG17	B	1	PD14NOV17	2905.50	3245.11	USD	8,490.25	
14AUG17	S	3	PD14NOV17	2912.00	3245.11	USD	-24,983.25	
14AUG17	S	3	PD14NOV17	2917.50	3245.11	USD	-24,570.75	
14AUG17	S	2	PD14NOV17	2915.00	3245.11	USD	-16,505.50	
14AUG17	B	1	PD14NOV17	2914.50	3245.11	USD	8,265.25	
14AUG17	S	1	PD14NOV17	2916.00	3245.11	USD	-8,227.75	
14AUG17	S	1	PD14NOV17	2917.00	3245.11	USD	-8,202.75	
14AUG17	B	2	PD14NOV17	2904.00	3245.11	USD	17,055.50	
14AUG17	B	4	PD14NOV17	2903.00	3245.11	USD	34,211.00	
14AUG17	S	2	PD14NOV17	2911.50	3245.11	USD	-16,680.50	
14AUG17	S	2	PD14NOV17	2912.50	3245.11	USD	-16,630.50	
14AUG17	S	2	PD14NOV17	2913.50	3245.11	USD	-16,580.50	
14AUG17	S	1	PD14NOV17	2915.50	3245.11	USD	-8,240.25	
14AUG17	B	1	PD14NOV17	2903.50	3245.11	USD	8,540.25	
14AUG17	B	2	PD14NOV17	2905.00	3245.11	USD	17,005.50	
14AUG17	S	5	PD14NOV17	2914.00	3245.11	USD	-41,388.75	
14AUG17	S	1	PD14NOV17	2914.50	3245.11	USD	-8,265.25	
14AUG17	S	3	PD14NOV17	2916.50	3245.11	USD	-24,645.75	
14AUG17	B	2	PD14NOV17	2919.50	3245.11	USD	16,280.50	
14AUG17	B	1	PD14NOV17	2922.50	3245.11	USD	8,065.25	
14AUG17	B	1	PD14NOV17	2922.00	3245.11	USD	8,077.75	
14AUG17	B	2	PD14NOV17	2920.00	3245.11	USD	16,255.50	
14AUG17	B	1	PD14NOV17	2920.00	3245.11	USD	8,127.75	
14AUG17	S	1	PD14NOV17	2924.50	3245.11	USD	-8,015.25	
22AUG17	S	1	PD14NOV17	3119.17	3245.11	USD	-3,148.50	
29AUG17	B	2	PD14NOV17	3109.75	3245.11	USD	6,768.00	
	NET	0 AVG BGHT 2922.29	SOLD 2920.50				-1,608.25	0.00
15AUG17	S	1	PD15NOV17	2953.00	3244.00	USD	-7,275.00	
15AUG17	B	1	PD15NOV17	2940.00	3244.00	USD	7,600.00	
15AUG17	S	1	PD15NOV17	2960.00	3244.00	USD	-7,100.00	
15AUG17	B	2	PD15NOV17	2960.00	3244.00	USD	14,200.00	
15AUG17	B	1	PD15NOV17	2939.00	3244.00	USD	7,625.00	
15AUG17	B	1	PD15NOV17	2937.00	3244.00	USD	7,675.00	
15AUG17	S	1	PD15NOV17	2944.50	3244.00	USD	-7,487.50	
15AUG17	B	1	PD15NOV17	2951.00	3244.00	USD	7,325.00	
15AUG17	S	1	PD15NOV17	2957.00	3244.00	USD	-7,175.00	
15AUG17	B	1	PD15NOV17	2955.00	3244.00	USD	7,225.00	
22AUG17	S	3	PD15NOV17	3119.30	3244.00	USD	-9,352.50	
	NET	0 AVG BGHT 2948.86	SOLD 3024.63				13,260.00	0.00
21AUG17	S	1	PD21NOV17	3165.00	3237.00	USD	-1,800.00	
21AUG17	B	1	PD21NOV17	3155.00	3237.00	USD	2,050.00	
21AUG17	S	1	PD21NOV17	3170.00	3237.00	USD	-1,675.00	
21AUG17	S	1	PD21NOV17	3179.50	3237.00	USD	-1,437.50	
21AUG17	S	1	PD21NOV17	3171.50	3237.00	USD	-1,637.50	
21AUG17	B	2	PD21NOV17	3174.50	3237.00	USD	3,125.00	
21AUG17	B	6	PD21NOV17	3173.50	3237.00	USD	9,525.00	
21AUG17	S	4	PD21NOV17	3173.50	3237.00	USD	-6,350.00	
21AUG17	S	1	PD21NOV17	3172.50	3237.00	USD	-1,612.50	
21AUG17	S	2	PD21NOV17	3165.50	3237.00	USD	-3,575.00	
21AUG17	S	1	PD21NOV17	3166.50	3237.00	USD	-1,762.50	

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21AUG17	S	2	PD21NOV17	3167.00	3237.00	USD	-3,500.00	
21AUG17	B	1	PD21NOV17	3171.50	3237.00	USD	1,637.50	
21AUG17	B	1	PD21NOV17	3165.00	3237.00	USD	1,800.00	
21AUG17	B	1	PD21NOV17	3164.50	3237.00	USD	1,812.50	
21AUG17	B	1	PD21NOV17	3160.50	3237.00	USD	1,912.50	
21AUG17	B	1	PD21NOV17	3146.00	3237.00	USD	2,275.00	
21AUG17	B	1	PD21NOV17	3148.50	3237.00	USD	2,212.50	
21AUG17	B	1	PD21NOV17	3156.00	3237.00	USD	2,025.00	
21AUG17	B	1	PD21NOV17	3150.00	3237.00	USD	2,175.00	
21AUG17	S	1	PD21NOV17	3145.00	3237.00	USD	-2,300.00	
21AUG17	B	2	PD21NOV17	3149.00	3237.00	USD	4,400.00	
21AUG17	B	1	PD21NOV17	3153.50	3237.00	USD	2,087.50	
21AUG17	B	3	PD21NOV17	3154.00	3237.00	USD	6,225.00	
21AUG17	S	1	PD21NOV17	3151.50	3237.00	USD	-2,137.50	
21AUG17	B	1	PD21NOV17	3133.00	3237.00	USD	2,600.00	
21AUG17	B	2	PD21NOV17	3150.50	3237.00	USD	4,325.00	
21AUG17	B	2	PD21NOV17	3149.50	3237.00	USD	4,375.00	
21AUG17	B	1	PD21NOV17	3147.00	3237.00	USD	2,250.00	
21AUG17	B	2	PD21NOV17	3151.00	3237.00	USD	4,300.00	
21AUG17	B	2	PD21NOV17	3143.50	3237.00	USD	4,675.00	
21AUG17	B	4	PD21NOV17	3146.50	3237.00	USD	9,050.00	
21AUG17	S	1	PD21NOV17	3141.00	3237.00	USD	-2,400.00	
21AUG17	S	2	PD21NOV17	3153.50	3237.00	USD	-4,175.00	
21AUG17	S	1	PD21NOV17	3134.00	3237.00	USD	-2,575.00	
21AUG17	B	1	PD21NOV17	3132.50	3237.00	USD	2,612.50	
21AUG17	S	2	PD21NOV17	3152.00	3237.00	USD	-4,250.00	
21AUG17	S	1	PD21NOV17	3150.00	3237.00	USD	-2,175.00	
21AUG17	S	1	PD21NOV17	3150.50	3237.00	USD	-2,162.50	
21AUG17	S	2	PD21NOV17	3141.50	3237.00	USD	-4,775.00	
21AUG17	S	3	PD21NOV17	3138.50	3237.00	USD	-7,387.50	
21AUG17	S	1	PD21NOV17	3145.50	3237.00	USD	-2,287.50	
21AUG17	S	1	PD21NOV17	3144.00	3237.00	USD	-2,325.00	
21AUG17	S	1	PD21NOV17	3143.00	3237.00	USD	-2,350.00	
21AUG17	B	2	PD21NOV17	3126.50	3237.00	USD	5,525.00	
21AUG17	S	9	PD21NOV17	3140.00	3237.00	USD	-21,825.00	
21AUG17	S	2	PD21NOV17	3143.50	3237.00	USD	-4,675.00	
21AUG17	S	3	PD21NOV17	3141.00	3237.00	USD	-7,200.00	
21AUG17	S	3	PD21NOV17	3139.50	3237.00	USD	-7,312.50	
21AUG17	S	2	PD21NOV17	3152.50	3237.00	USD	-4,225.00	
21AUG17	S	1	PD21NOV17	3156.00	3237.00	USD	-2,025.00	
21AUG17	S	3	PD21NOV17	3156.50	3237.00	USD	-6,037.50	
21AUG17	S	1	PD21NOV17	3158.00	3237.00	USD	-1,975.00	
21AUG17	B	1	PD21NOV17	3144.00	3237.00	USD	2,325.00	
21AUG17	B	1	PD21NOV17	3138.50	3237.00	USD	2,462.50	
21AUG17	B	1	PD21NOV17	3145.50	3237.00	USD	2,287.50	
21AUG17	B	1	PD21NOV17	3145.00	3237.00	USD	2,300.00	
21AUG17	B	1	PD21NOV17	3142.50	3237.00	USD	2,362.50	
21AUG17	B	1	PD21NOV17	3141.00	3237.00	USD	2,400.00	
21AUG17	B	4	PD21NOV17	3141.50	3237.00	USD	9,550.00	
21AUG17	B	1	PD21NOV17	3140.50	3237.00	USD	2,412.50	
21AUG17	B	1	PD21NOV17	3137.50	3237.00	USD	2,487.50	
21AUG17	B	1	PD21NOV17	3139.50	3237.00	USD	2,437.50	
21AUG17	B	1	PD21NOV17	3125.00	3237.00	USD	2,800.00	
21AUG17	B	2	PD21NOV17	3126.00	3237.00	USD	5,550.00	
21AUG17	B	1	PD21NOV17	3123.50	3237.00	USD	2,837.50	
21AUG17	B	1	PD21NOV17	3123.00	3237.00	USD	2,850.00	
21AUG17	B	3	PD21NOV17	3122.50	3237.00	USD	8,587.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	4	PD21NOV17	3125.50	3237.00	USD	11,150.00	
21AUG17	S	1	PD21NOV17	3142.00	3237.00	USD	-2,375.00	
21AUG17	S	4	PD21NOV17	3139.00	3237.00	USD	-9,800.00	
21AUG17	S	1	PD21NOV17	3135.50	3237.00	USD	-2,537.50	
21AUG17	S	7	PD21NOV17	3138.00	3237.00	USD	-17,325.00	
21AUG17	S	2	PD21NOV17	3134.50	3237.00	USD	-5,125.00	
21AUG17	B	1	PD21NOV17	3132.00	3237.00	USD	2,625.00	
21AUG17	B	1	PD21NOV17	3130.50	3237.00	USD	2,662.50	
21AUG17	B	1	PD21NOV17	3134.00	3237.00	USD	2,575.00	
21AUG17	B	4	PD21NOV17	3134.50	3237.00	USD	10,250.00	
21AUG17	S	2	PD21NOV17	3137.00	3237.00	USD	-5,000.00	
21AUG17	S	4	PD21NOV17	3137.50	3237.00	USD	-9,950.00	
21AUG17	B	2	PD21NOV17	3124.50	3237.00	USD	5,625.00	
21AUG17	B	1	PD21NOV17	3122.00	3237.00	USD	2,875.00	
21AUG17	B	1	PD21NOV17	3116.00	3237.00	USD	3,025.00	
21AUG17	B	1	PD21NOV17	3115.50	3237.00	USD	3,037.50	
21AUG17	S	3	PD21NOV17	3117.50	3237.00	USD	-8,962.50	
21AUG17	S	3	PD21NOV17	3117.00	3237.00	USD	-9,000.00	
21AUG17	S	2	PD21NOV17	3115.50	3237.00	USD	-6,075.00	
21AUG17	S	2	PD21NOV17	3114.50	3237.00	USD	-6,125.00	
21AUG17	S	3	PD21NOV17	3116.50	3237.00	USD	-9,037.50	
21AUG17	S	1	PD21NOV17	3116.00	3237.00	USD	-3,025.00	
21AUG17	S	1	PD21NOV17	3126.00	3237.00	USD	-2,775.00	
21AUG17	S	1	PD21NOV17	3127.50	3237.00	USD	-2,737.50	
21AUG17	B	5	PD21NOV17	3129.50	3237.00	USD	13,437.50	
21AUG17	B	4	PD21NOV17	3129.00	3237.00	USD	10,800.00	
21AUG17	B	4	PD21NOV17	3128.50	3237.00	USD	10,850.00	
21AUG17	S	1	PD21NOV17	3129.50	3237.00	USD	-2,687.50	
21AUG17	S	1	PD21NOV17	3131.00	3237.00	USD	-2,650.00	
21AUG17	B	4	PD21NOV17	3128.00	3237.00	USD	10,900.00	
21AUG17	S	1	PD21NOV17	3115.50	3237.00	USD	-3,037.50	
21AUG17	B	2	PD21NOV17	3129.50	3237.00	USD	5,375.00	
22AUG17	S	7	PD21NOV17	3118.40	3237.00	USD	-20,755.00	
29AUG17	B	7	PD21NOV17	3108.14	3237.00	USD	22,550.50	
	NET	0 AVG BGHT 3138.22	SOLD 3140.34				5,458.00	0.00
22AUG17	S	1	PD22NOV17	3116.50	3236.00	USD	-2,987.50	
22AUG17	S	1	PD22NOV17	3121.00	3236.00	USD	-2,875.00	
22AUG17	B	2	PD22NOV17	3122.00	3236.00	USD	5,700.00	
22AUG17	S	1	PD22NOV17	3119.50	3236.00	USD	-2,912.50	
22AUG17	S	1	PD22NOV17	3128.50	3236.00	USD	-2,687.50	
22AUG17	S	1	PD22NOV17	3129.50	3236.00	USD	-2,662.50	
22AUG17	S	2	PD22NOV17	3134.50	3236.00	USD	-5,075.00	
22AUG17	B	4	PD22NOV17	3148.50	3236.00	USD	8,750.00	
22AUG17	B	3	PD22NOV17	3143.50	3236.00	USD	6,937.50	
22AUG17	S	2	PD22NOV17	3130.50	3236.00	USD	-5,275.00	
22AUG17	S	1	PD22NOV17	3135.50	3236.00	USD	-2,512.50	
22AUG17	S	1	PD22NOV17	3136.50	3236.00	USD	-2,487.50	
22AUG17	S	1	PD22NOV17	3138.50	3236.00	USD	-2,437.50	
22AUG17	S	3	PD22NOV17	3139.00	3236.00	USD	-7,275.00	
22AUG17	S	1	PD22NOV17	3137.50	3236.00	USD	-2,462.50	
22AUG17	S	4	PD22NOV17	3137.00	3236.00	USD	-9,900.00	
22AUG17	S	4	PD22NOV17	3138.00	3236.00	USD	-9,800.00	
22AUG17	S	1	PD22NOV17	3139.50	3236.00	USD	-2,412.50	
22AUG17	S	1	PD22NOV17	3147.50	3236.00	USD	-2,212.50	
22AUG17	S	1	PD22NOV17	3148.50	3236.00	USD	-2,187.50	
22AUG17	S	2	PD22NOV17	3150.00	3236.00	USD	-4,300.00	
22AUG17	S	1	PD22NOV17	3155.00	3236.00	USD	-2,025.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	1	PD22NOV17	3156.50	3236.00	USD	-1,987.50	
22AUG17	S	1	PD22NOV17	3158.50	3236.00	USD	-1,937.50	
22AUG17	S	1	PD22NOV17	3162.00	3236.00	USD	-1,850.00	
22AUG17	S	1	PD22NOV17	3161.50	3236.00	USD	-1,862.50	
22AUG17	S	3	PD22NOV17	3161.00	3236.00	USD	-5,625.00	
22AUG17	S	1	PD22NOV17	3159.50	3236.00	USD	-1,912.50	
22AUG17	S	1	PD22NOV17	3152.50	3236.00	USD	-2,087.50	
22AUG17	S	1	PD22NOV17	3154.00	3236.00	USD	-2,050.00	
22AUG17	B	6	PD22NOV17	3145.50	3236.00	USD	13,575.00	
22AUG17	B	1	PD22NOV17	3144.50	3236.00	USD	2,287.50	
22AUG17	B	4	PD22NOV17	3143.00	3236.00	USD	9,300.00	
22AUG17	B	2	PD22NOV17	3142.00	3236.00	USD	4,700.00	
22AUG17	B	1	PD22NOV17	3145.00	3236.00	USD	2,275.00	
22AUG17	B	1	PD22NOV17	3149.00	3236.00	USD	2,175.00	
22AUG17	B	1	PD22NOV17	3148.00	3236.00	USD	2,200.00	
22AUG17	S	1	PD22NOV17	3120.00	3236.00	USD	-2,900.00	
22AUG17	S	1	PD22NOV17	3122.50	3236.00	USD	-2,837.50	
22AUG17	S	1	PD22NOV17	3147.50	3236.00	USD	-2,212.50	
22AUG17	S	2	PD22NOV17	3152.50	3236.00	USD	-4,175.00	
22AUG17	S	1	PD22NOV17	3149.50	3236.00	USD	-2,162.50	
22AUG17	B	1	PD22NOV17	3151.50	3236.00	USD	2,112.50	
22AUG17	B	2	PD22NOV17	3150.00	3236.00	USD	4,300.00	
22AUG17	B	2	PD22NOV17	3152.00	3236.00	USD	4,200.00	
22AUG17	S	2	PD22NOV17	3158.00	3236.00	USD	-3,900.00	
22AUG17	B	1	PD22NOV17	3157.50	3236.00	USD	1,962.50	
22AUG17	S	2	PD22NOV17	3163.00	3236.00	USD	-3,650.00	
22AUG17	B	2	PD22NOV17	3150.50	3236.00	USD	4,275.00	
22AUG17	B	1	PD22NOV17	3139.00	3236.00	USD	2,425.00	
22AUG17	B	2	PD22NOV17	3138.00	3236.00	USD	4,900.00	
22AUG17	B	1	PD22NOV17	3133.50	3236.00	USD	2,562.50	
22AUG17	B	1	PD22NOV17	3135.00	3236.00	USD	2,525.00	
22AUG17	B	1	PD22NOV17	3132.50	3236.00	USD	2,587.50	
22AUG17	B	1	PD22NOV17	3131.00	3236.00	USD	2,625.00	
22AUG17	B	1	PD22NOV17	3130.00	3236.00	USD	2,650.00	
22AUG17	S	1	PD22NOV17	3135.00	3236.00	USD	-2,525.00	
22AUG17	S	4	PD22NOV17	3136.00	3236.00	USD	-10,000.00	
22AUG17	B	2	PD22NOV17	3125.50	3236.00	USD	5,525.00	
22AUG17	B	2	PD22NOV17	3127.00	3236.00	USD	5,450.00	
22AUG17	B	2	PD22NOV17	3131.50	3236.00	USD	5,225.00	
22AUG17	B	1	PD22NOV17	3130.50	3236.00	USD	2,637.50	
22AUG17	B	1	PD22NOV17	3126.50	3236.00	USD	2,737.50	
22AUG17	B	2	PD22NOV17	3123.50	3236.00	USD	5,625.00	
22AUG17	B	1	PD22NOV17	3122.50	3236.00	USD	2,837.50	
22AUG17	B	1	PD22NOV17	3121.00	3236.00	USD	2,875.00	
22AUG17	B	1	PD22NOV17	3118.50	3236.00	USD	2,937.50	
22AUG17	B	1	PD22NOV17	3117.50	3236.00	USD	2,962.50	
22AUG17	B	3	PD22NOV17	3128.50	3236.00	USD	8,062.50	
22AUG17	B	4	PD22NOV17	3124.50	3236.00	USD	11,150.00	
22AUG17	B	2	PD22NOV17	3123.00	3236.00	USD	5,650.00	
22AUG17	B	4	PD22NOV17	3121.50	3236.00	USD	11,450.00	
22AUG17	B	1	PD22NOV17	3119.00	3236.00	USD	2,925.00	
22AUG17	B	2	PD22NOV17	3119.50	3236.00	USD	5,825.00	
22AUG17	B	3	PD22NOV17	3120.50	3236.00	USD	8,662.50	
22AUG17	B	1	PD22NOV17	3120.00	3236.00	USD	2,900.00	
22AUG17	B	3	PD22NOV17	3124.00	3236.00	USD	8,400.00	
22AUG17	B	2	PD22NOV17	3116.00	3236.00	USD	6,000.00	
22AUG17	B	2	PD22NOV17	3115.00	3236.00	USD	6,050.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	B	1	PD22NOV17		3114.50	3236.00	USD	3,037.50	
22AUG17	S	1	PD22NOV17		3128.00	3236.00	USD	-2,700.00	
22AUG17	S	1	PD22NOV17		3113.50	3236.00	USD	-3,062.50	
22AUG17	S	1	PD22NOV17		3115.00	3236.00	USD	-3,025.00	
22AUG17	S	1	PD22NOV17		3117.50	3236.00	USD	-2,962.50	
22AUG17	B	1	PD22NOV17		3104.00	3236.00	USD	3,300.00	
22AUG17	B	1	PD22NOV17		3102.50	3236.00	USD	3,337.50	
22AUG17	S	1	PD22NOV17		3163.00	3236.00	USD	-1,825.00	
22AUG17	B	1	PD22NOV17		3151.00	3236.00	USD	2,125.00	
22AUG17	S	1	PD22NOV17		3120.50	3236.00	USD	-2,887.50	
22AUG17	S	1	PD22NOV17		3121.50	3236.00	USD	-2,862.50	
22AUG17	S	3	PD22NOV17		3122.00	3236.00	USD	-8,550.00	
29AUG17	S	9	PD22NOV17		3108.23	3236.00	USD	-28,748.25	
29AUG17	S	12	PD22NOV17		3108.43	3236.00	USD	-38,271.00	
	NET	0AVG BGHT 3132.41		SOLD 3132.25				-344.25	0.00
23AUG17	S	1	PD24NOV17		3119.50	3235.00	USD	-2,887.50	
23AUG17	S	1	PD24NOV17		3118.50	3235.00	USD	-2,912.50	
23AUG17	S	1	PD24NOV17		3116.50	3235.00	USD	-2,962.50	
23AUG17	S	1	PD24NOV17		3117.50	3235.00	USD	-2,937.50	
23AUG17	S	2	PD24NOV17		3118.00	3235.00	USD	-5,850.00	
23AUG17	S	4	PD24NOV17		3119.00	3235.00	USD	-11,600.00	
23AUG17	S	1	PD24NOV17		3117.00	3235.00	USD	-2,950.00	
23AUG17	S	1	PD24NOV17		3129.00	3235.00	USD	-2,650.00	
23AUG17	S	1	PD24NOV17		3133.00	3235.00	USD	-2,550.00	
23AUG17	S	1	PD24NOV17		3134.50	3235.00	USD	-2,512.50	
23AUG17	S	3	PD24NOV17		3135.00	3235.00	USD	-7,500.00	
23AUG17	B	1	PD24NOV17		3130.00	3235.00	USD	2,625.00	
23AUG17	B	4	PD24NOV17		3128.00	3235.00	USD	10,700.00	
23AUG17	B	1	PD24NOV17		3127.50	3235.00	USD	2,687.50	
23AUG17	B	1	PD24NOV17		3127.00	3235.00	USD	2,700.00	
23AUG17	B	2	PD24NOV17		3126.00	3235.00	USD	5,450.00	
23AUG17	B	1	PD24NOV17		3125.50	3235.00	USD	2,737.50	
23AUG17	S	3	PD24NOV17		3124.00	3235.00	USD	-8,325.00	
23AUG17	S	1	PD24NOV17		3123.00	3235.00	USD	-2,800.00	
23AUG17	S	1	PD24NOV17		3128.00	3235.00	USD	-2,675.00	
23AUG17	S	2	PD24NOV17		3127.00	3235.00	USD	-5,400.00	
23AUG17	S	1	PD24NOV17		3124.50	3235.00	USD	-2,762.50	
23AUG17	S	1	PD24NOV17		3125.50	3235.00	USD	-2,737.50	
23AUG17	S	3	PD24NOV17		3125.00	3235.00	USD	-8,250.00	
23AUG17	S	2	PD24NOV17		3126.00	3235.00	USD	-5,450.00	
23AUG17	S	1	PD24NOV17		3121.50	3235.00	USD	-2,837.50	
23AUG17	S	3	PD24NOV17		3120.50	3235.00	USD	-8,587.50	
23AUG17	S	1	PD24NOV17		3120.00	3235.00	USD	-2,875.00	
23AUG17	S	1	PD24NOV17		3121.00	3235.00	USD	-2,850.00	
23AUG17	B	1	PD24NOV17		3141.50	3235.00	USD	2,337.50	
23AUG17	S	1	PD24NOV17		3146.00	3235.00	USD	-2,225.00	
23AUG17	S	1	PD24NOV17		3143.50	3235.00	USD	-2,287.50	
23AUG17	S	1	PD24NOV17		3142.50	3235.00	USD	-2,312.50	
23AUG17	B	1	PD24NOV17		3136.00	3235.00	USD	2,475.00	
23AUG17	B	1	PD24NOV17		3135.00	3235.00	USD	2,500.00	
23AUG17	B	1	PD24NOV17		3134.50	3235.00	USD	2,512.50	
23AUG17	B	2	PD24NOV17		3137.50	3235.00	USD	4,875.00	
23AUG17	B	1	PD24NOV17		3138.50	3235.00	USD	2,412.50	
23AUG17	S	1	PD24NOV17		3138.50	3235.00	USD	-2,412.50	
23AUG17	S	1	PD24NOV17		3139.00	3235.00	USD	-2,400.00	
23AUG17	S	1	PD24NOV17		3120.50	3235.00	USD	-2,862.50	
23AUG17	B	1	PD24NOV17		3124.00	3235.00	USD	2,775.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	6	PD24NOV17	3123.00	3235.00	USD	16,800.00	
23AUG17	B	2	PD24NOV17	3122.50	3235.00	USD	5,625.00	
23AUG17	B	1	PD24NOV17	3122.00	3235.00	USD	2,825.00	
23AUG17	B	1	PD24NOV17	3121.00	3235.00	USD	2,850.00	
23AUG17	B	1	PD24NOV17	3120.50	3235.00	USD	2,862.50	
23AUG17	B	2	PD24NOV17	3118.00	3235.00	USD	5,850.00	
23AUG17	B	3	PD24NOV17	3117.50	3235.00	USD	8,812.50	
23AUG17	B	4	PD24NOV17	3118.50	3235.00	USD	11,650.00	
23AUG17	B	2	PD24NOV17	3120.00	3235.00	USD	5,750.00	
23AUG17	B	6	PD24NOV17	3119.00	3235.00	USD	17,400.00	
23AUG17	B	3	PD24NOV17	3119.50	3235.00	USD	8,662.50	
23AUG17	B	1	PD24NOV17	3115.00	3235.00	USD	3,000.00	
23AUG17	B	1	PD24NOV17	3116.00	3235.00	USD	2,975.00	
23AUG17	B	1	PD24NOV17	3113.50	3235.00	USD	3,037.50	
23AUG17	B	1	PD24NOV17	3113.00	3235.00	USD	3,050.00	
23AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
23AUG17	B	2	PD24NOV17	3108.00	3235.00	USD	6,350.00	
23AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
23AUG17	B	1	PD24NOV17	3106.00	3235.00	USD	3,225.00	
23AUG17	B	1	PD24NOV17	3098.00	3235.00	USD	3,425.00	
23AUG17	B	1	PD24NOV17	3097.50	3235.00	USD	3,437.50	
23AUG17	B	3	PD24NOV17	3094.00	3235.00	USD	10,575.00	
23AUG17	B	1	PD24NOV17	3092.00	3235.00	USD	3,575.00	
23AUG17	B	1	PD24NOV17	3088.50	3235.00	USD	3,662.50	
23AUG17	B	1	PD24NOV17	3090.00	3235.00	USD	3,625.00	
23AUG17	S	1	PD24NOV17	3096.50	3235.00	USD	-3,462.50	
23AUG17	B	2	PD24NOV17	3097.00	3235.00	USD	6,900.00	
23AUG17	S	1	PD24NOV17	3098.50	3235.00	USD	-3,412.50	
23AUG17	B	1	PD24NOV17	3098.50	3235.00	USD	3,412.50	
23AUG17	B	1	PD24NOV17	3096.50	3235.00	USD	3,462.50	
23AUG17	B	2	PD24NOV17	3093.50	3235.00	USD	7,075.00	
23AUG17	B	1	PD24NOV17	3095.50	3235.00	USD	3,487.50	
23AUG17	B	1	PD24NOV17	3096.00	3235.00	USD	3,475.00	
23AUG17	S	1	PD24NOV17	3104.00	3235.00	USD	-3,275.00	
23AUG17	B	4	PD24NOV17	3099.00	3235.00	USD	13,600.00	
23AUG17	B	2	PD24NOV17	3100.00	3235.00	USD	6,750.00	
23AUG17	S	1	PD24NOV17	3103.00	3235.00	USD	-3,300.00	
23AUG17	S	3	PD24NOV17	3105.00	3235.00	USD	-9,750.00	
23AUG17	S	1	PD24NOV17	3109.50	3235.00	USD	-3,137.50	
24AUG17	S	2	PD24NOV17	3111.50	3235.00	USD	-6,175.00	
24AUG17	B	1	PD24NOV17	3114.50	3235.00	USD	3,012.50	
24AUG17	B	1	PD24NOV17	3114.00	3235.00	USD	3,025.00	
24AUG17	B	1	PD24NOV17	3111.50	3235.00	USD	3,087.50	
24AUG17	B	1	PD24NOV17	3097.00	3235.00	USD	3,450.00	
24AUG17	S	1	PD24NOV17	3115.00	3235.00	USD	-3,000.00	
24AUG17	S	2	PD24NOV17	3109.00	3235.00	USD	-6,300.00	
24AUG17	S	1	PD24NOV17	3110.00	3235.00	USD	-3,125.00	
24AUG17	S	2	PD24NOV17	3109.50	3235.00	USD	-6,275.00	
24AUG17	S	2	PD24NOV17	3112.00	3235.00	USD	-6,150.00	
24AUG17	B	1	PD24NOV17	3101.00	3235.00	USD	3,350.00	
24AUG17	B	1	PD24NOV17	3103.50	3235.00	USD	3,287.50	
24AUG17	B	2	PD24NOV17	3104.50	3235.00	USD	6,525.00	
24AUG17	B	1	PD24NOV17	3115.00	3235.00	USD	3,000.00	
24AUG17	S	1	PD24NOV17	3115.50	3235.00	USD	-2,987.50	
24AUG17	S	2	PD24NOV17	3112.50	3235.00	USD	-6,125.00	
24AUG17	S	2	PD24NOV17	3113.50	3235.00	USD	-6,075.00	
24AUG17	S	1	PD24NOV17	3114.00	3235.00	USD	-3,025.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	3	PD24NOV17	3114.50	3235.00	USD	-9,037.50	
24AUG17	S	2	PD24NOV17	3118.50	3235.00	USD	-5,825.00	
24AUG17	S	4	PD24NOV17	3116.50	3235.00	USD	-11,850.00	
24AUG17	S	3	PD24NOV17	3118.00	3235.00	USD	-8,775.00	
24AUG17	S	6	PD24NOV17	3117.50	3235.00	USD	-17,625.00	
24AUG17	S	4	PD24NOV17	3120.50	3235.00	USD	-11,450.00	
24AUG17	S	1	PD24NOV17	3120.00	3235.00	USD	-2,875.00	
24AUG17	S	7	PD24NOV17	3119.00	3235.00	USD	-20,300.00	
24AUG17	S	5	PD24NOV17	3116.00	3235.00	USD	-14,875.00	
24AUG17	S	6	PD24NOV17	3121.00	3235.00	USD	-17,100.00	
24AUG17	S	1	PD24NOV17	3123.50	3235.00	USD	-2,787.50	
24AUG17	S	1	PD24NOV17	3117.00	3235.00	USD	-2,950.00	
24AUG17	B	1	PD24NOV17	3117.00	3235.00	USD	2,950.00	
24AUG17	B	1	PD24NOV17	3133.00	3235.00	USD	2,550.00	
24AUG17	S	1	PD24NOV17	3132.00	3235.00	USD	-2,575.00	
24AUG17	B	1	PD24NOV17	3124.00	3235.00	USD	2,775.00	
24AUG17	B	1	PD24NOV17	3118.50	3235.00	USD	2,912.50	
24AUG17	B	5	PD24NOV17	3120.00	3235.00	USD	14,375.00	
24AUG17	B	2	PD24NOV17	3119.00	3235.00	USD	5,800.00	
24AUG17	B	2	PD24NOV17	3118.00	3235.00	USD	5,850.00	
24AUG17	B	1	PD24NOV17	3116.50	3235.00	USD	2,962.50	
24AUG17	B	1	PD24NOV17	3111.00	3235.00	USD	3,100.00	
24AUG17	B	1	PD24NOV17	3112.50	3235.00	USD	3,062.50	
24AUG17	B	5	PD24NOV17	3113.50	3235.00	USD	15,187.50	
24AUG17	B	1	PD24NOV17	3112.00	3235.00	USD	3,075.00	
24AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
24AUG17	B	3	PD24NOV17	3108.50	3235.00	USD	9,487.50	
24AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	
24AUG17	B	3	PD24NOV17	3107.00	3235.00	USD	9,600.00	
24AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
24AUG17	B	2	PD24NOV17	3105.50	3235.00	USD	6,475.00	
24AUG17	B	2	PD24NOV17	3105.00	3235.00	USD	6,500.00	
24AUG17	B	2	PD24NOV17	3102.50	3235.00	USD	6,625.00	
24AUG17	B	3	PD24NOV17	3106.00	3235.00	USD	9,675.00	
25AUG17	S	3	PD24NOV17	3130.00	3235.00	USD	-7,875.00	
25AUG17	S	1	PD24NOV17	3122.00	3235.00	USD	-2,825.00	
25AUG17	S	1	PD24NOV17	3122.50	3235.00	USD	-2,812.50	
25AUG17	S	1	PD24NOV17	3123.00	3235.00	USD	-2,800.00	
25AUG17	S	1	PD24NOV17	3128.00	3235.00	USD	-2,675.00	
25AUG17	S	1	PD24NOV17	3125.50	3235.00	USD	-2,737.50	
25AUG17	S	1	PD24NOV17	3126.50	3235.00	USD	-2,712.50	
25AUG17	S	1	PD24NOV17	3132.00	3235.00	USD	-2,575.00	
25AUG17	B	1	PD24NOV17	3130.50	3235.00	USD	2,612.50	
25AUG17	S	3	PD24NOV17	3126.00	3235.00	USD	-8,175.00	
25AUG17	S	4	PD24NOV17	3131.50	3235.00	USD	-10,350.00	
25AUG17	S	1	PD24NOV17	3132.50	3235.00	USD	-2,562.50	
25AUG17	S	1	PD24NOV17	3133.00	3235.00	USD	-2,550.00	
25AUG17	S	1	PD24NOV17	3135.00	3235.00	USD	-2,500.00	
25AUG17	S	1	PD24NOV17	3135.50	3235.00	USD	-2,487.50	
25AUG17	S	3	PD24NOV17	3127.50	3235.00	USD	-8,062.50	
25AUG17	S	2	PD24NOV17	3128.50	3235.00	USD	-5,325.00	
25AUG17	S	1	PD24NOV17	3129.00	3235.00	USD	-2,650.00	
25AUG17	S	1	PD24NOV17	3130.50	3235.00	USD	-2,612.50	
25AUG17	S	2	PD24NOV17	3129.50	3235.00	USD	-5,275.00	
25AUG17	B	1	PD24NOV17	3113.00	3235.00	USD	3,050.00	
25AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
25AUG17	B	1	PD24NOV17	3107.50	3235.00	USD	3,187.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
25AUG17	B	3	PD24NOV17	3110.50	3235.00	USD	9,337.50	
25AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	
25AUG17	B	2	PD24NOV17	3096.00	3235.00	USD	6,950.00	
25AUG17	B	2	PD24NOV17	3083.50	3235.00	USD	7,575.00	
25AUG17	B	1	PD24NOV17	3078.00	3235.00	USD	3,925.00	
25AUG17	B	1	PD24NOV17	3078.50	3235.00	USD	3,912.50	
25AUG17	B	2	PD24NOV17	3079.50	3235.00	USD	7,775.00	
25AUG17	B	1	PD24NOV17	3064.50	3235.00	USD	4,262.50	
25AUG17	B	1	PD24NOV17	3066.00	3235.00	USD	4,225.00	
25AUG17	B	1	PD24NOV17	3077.00	3235.00	USD	3,950.00	
25AUG17	B	1	PD24NOV17	3068.50	3235.00	USD	4,162.50	
25AUG17	B	1	PD24NOV17	3066.50	3235.00	USD	4,212.50	
25AUG17	B	2	PD24NOV17	3065.00	3235.00	USD	8,500.00	
25AUG17	B	1	PD24NOV17	3070.50	3235.00	USD	4,112.50	
25AUG17	B	1	PD24NOV17	3068.00	3235.00	USD	4,175.00	
25AUG17	S	1	PD24NOV17	3079.00	3235.00	USD	-3,900.00	
25AUG17	S	2	PD24NOV17	3078.50	3235.00	USD	-7,825.00	
25AUG17	S	2	PD24NOV17	3079.50	3235.00	USD	-7,775.00	
25AUG17	S	1	PD24NOV17	3081.50	3235.00	USD	-3,837.50	
25AUG17	S	1	PD24NOV17	3080.00	3235.00	USD	-3,875.00	
25AUG17	S	2	PD24NOV17	3082.00	3235.00	USD	-7,650.00	
25AUG17	S	1	PD24NOV17	3086.50	3235.00	USD	-3,712.50	
25AUG17	S	1	PD24NOV17	3088.50	3235.00	USD	-3,662.50	
25AUG17	S	1	PD24NOV17	3090.00	3235.00	USD	-3,625.00	
25AUG17	S	2	PD24NOV17	3092.00	3235.00	USD	-7,150.00	
25AUG17	S	8	PD24NOV17	3090.50	3235.00	USD	-28,900.00	
25AUG17	S	4	PD24NOV17	3091.50	3235.00	USD	-14,350.00	
25AUG17	S	3	PD24NOV17	3092.50	3235.00	USD	-10,687.50	
25AUG17	S	2	PD24NOV17	3091.00	3235.00	USD	-7,200.00	
25AUG17	S	1	PD24NOV17	3089.50	3235.00	USD	-3,637.50	
25AUG17	B	1	PD24NOV17	3122.50	3235.00	USD	2,812.50	
25AUG17	B	1	PD24NOV17	3088.50	3235.00	USD	3,662.50	
25AUG17	B	2	PD24NOV17	3069.00	3235.00	USD	8,300.00	
25AUG17	B	2	PD24NOV17	3069.50	3235.00	USD	8,275.00	
25AUG17	B	1	PD24NOV17	3062.50	3235.00	USD	4,312.50	
25AUG17	B	1	PD24NOV17	3063.50	3235.00	USD	4,287.50	
25AUG17	B	1	PD24NOV17	3060.50	3235.00	USD	4,362.50	
25AUG17	B	1	PD24NOV17	3056.50	3235.00	USD	4,462.50	
25AUG17	B	1	PD24NOV17	3057.50	3235.00	USD	4,437.50	
25AUG17	S	1	PD24NOV17	3061.00	3235.00	USD	-4,350.00	
25AUG17	S	1	PD24NOV17	3062.00	3235.00	USD	-4,325.00	
25AUG17	B	1	PD24NOV17	3053.50	3235.00	USD	4,537.50	
25AUG17	S	2	PD24NOV17	3059.50	3235.00	USD	-8,775.00	
25AUG17	S	1	PD24NOV17	3064.50	3235.00	USD	-4,262.50	
25AUG17	S	1	PD24NOV17	3066.50	3235.00	USD	-4,212.50	
25AUG17	S	1	PD24NOV17	3068.00	3235.00	USD	-4,175.00	
25AUG17	S	1	PD24NOV17	3070.50	3235.00	USD	-4,112.50	
25AUG17	S	1	PD24NOV17	3065.50	3235.00	USD	-4,237.50	
29AUG17	B	12	PD24NOV17	3109.20	3235.00	USD	37,740.00	
06SEP17	B	3	PD24NOV17	3093.25	3235.00	USD	10,631.25	
	NET	0AVG BGHT 3106.51	SOLD 3112.63				27,883.75	0.00
29AUG17	S	1	PD29NOV17	3099.00	3234.00	USD	-3,375.00	
29AUG17	S	1	PD29NOV17	3097.50	3234.00	USD	-3,412.50	
29AUG17	S	2	PD29NOV17	3108.50	3234.00	USD	-6,275.00	
29AUG17	B	2	PD29NOV17	3112.50	3234.00	USD	6,075.00	
29AUG17	S	1	PD29NOV17	3105.50	3234.00	USD	-3,212.50	

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29AUG17	S	1	PD29NOV17	3086.50	3234.00	USD	-3,687.50	
29AUG17	S	1	PD29NOV17	3090.00	3234.00	USD	-3,600.00	
29AUG17	S	1	PD29NOV17	3094.00	3234.00	USD	-3,500.00	
29AUG17	B	1	PD29NOV17	3096.00	3234.00	USD	3,450.00	
29AUG17	S	1	PD29NOV17	3098.50	3234.00	USD	-3,387.50	
29AUG17	S	1	PD29NOV17	3099.50	3234.00	USD	-3,362.50	
29AUG17	S	1	PD29NOV17	3100.50	3234.00	USD	-3,337.50	
29AUG17	S	1	PD29NOV17	3101.00	3234.00	USD	-3,325.00	
29AUG17	S	1	PD29NOV17	3105.00	3234.00	USD	-3,225.00	
29AUG17	S	2	PD29NOV17	3103.00	3234.00	USD	-6,550.00	
29AUG17	S	2	PD29NOV17	3104.50	3234.00	USD	-6,475.00	
29AUG17	B	1	PD29NOV17	3106.50	3234.00	USD	3,187.50	
29AUG17	B	1	PD29NOV17	3107.00	3234.00	USD	3,175.00	
29AUG17	S	1	PD29NOV17	3111.50	3234.00	USD	-3,062.50	
29AUG17	S	3	PD29NOV17	3112.50	3234.00	USD	-9,112.50	
29AUG17	S	1	PD29NOV17	3109.50	3234.00	USD	-3,112.50	
29AUG17	B	1	PD29NOV17	3094.50	3234.00	USD	3,487.50	
29AUG17	S	2	PD29NOV17	3112.00	3234.00	USD	-6,100.00	
29AUG17	S	1	PD29NOV17	3119.00	3234.00	USD	-2,875.00	
29AUG17	B	1	PD29NOV17	3115.00	3234.00	USD	2,975.00	
29AUG17	B	1	PD29NOV17	3114.50	3234.00	USD	2,987.50	
29AUG17	B	1	PD29NOV17	3113.00	3234.00	USD	3,025.00	
29AUG17	B	2	PD29NOV17	3118.50	3234.00	USD	5,775.00	
29AUG17	B	2	PD29NOV17	3118.00	3234.00	USD	5,800.00	
29AUG17	B	1	PD29NOV17	3115.50	3234.00	USD	2,962.50	
29AUG17	B	1	PD29NOV17	3120.50	3234.00	USD	2,837.50	
29AUG17	B	3	PD29NOV17	3121.00	3234.00	USD	8,475.00	
29AUG17	B	1	PD29NOV17	3120.00	3234.00	USD	2,850.00	
29AUG17	B	2	PD29NOV17	3122.00	3234.00	USD	5,600.00	
29AUG17	B	1	PD29NOV17	3113.50	3234.00	USD	3,012.50	
29AUG17	B	1	PD29NOV17	3121.00	3234.00	USD	2,825.00	
29AUG17	B	3	PD29NOV17	3120.00	3234.00	USD	8,550.00	
29AUG17	B	1	PD29NOV17	3105.50	3234.00	USD	3,212.50	
29AUG17	B	2	PD29NOV17	3099.00	3234.00	USD	6,750.00	
29AUG17	B	1	PD29NOV17	3102.00	3234.00	USD	3,300.00	
29AUG17	B	1	PD29NOV17	3097.50	3234.00	USD	3,412.50	
29AUG17	B	1	PD29NOV17	3099.50	3234.00	USD	3,362.50	
29AUG17	B	1	PD29NOV17	3109.50	3234.00	USD	3,112.50	
29AUG17	B	1	PD29NOV17	3108.50	3234.00	USD	3,137.50	
29AUG17	B	1	PD29NOV17	3110.50	3234.00	USD	3,087.50	
29AUG17	B	2	PD29NOV17	3111.50	3234.00	USD	6,125.00	
06SEP17	S	3	PD29NOV17	3093.05	3234.00	USD	-10,571.25	
06SEP17	S	9	PD29NOV17	3093.25	3234.00	USD	-31,668.75	
	NET	0 AVG BGHT 3112.32	SOLD 3100.78				-10,677.50	0.00
30AUG17	S	1	PD30NOV17	3131.50	3233.67	USD	-2,554.25	
30AUG17	S	1	PD30NOV17	3132.00	3233.67	USD	-2,541.75	
30AUG17	S	1	PD30NOV17	3137.50	3233.67	USD	-2,404.25	
30AUG17	S	1	PD30NOV17	3139.50	3233.67	USD	-2,354.25	
30AUG17	S	2	PD30NOV17	3139.00	3233.67	USD	-4,733.50	
30AUG17	S	1	PD30NOV17	3141.00	3233.67	USD	-2,316.75	
30AUG17	S	2	PD30NOV17	3144.50	3233.67	USD	-4,458.50	
30AUG17	S	2	PD30NOV17	3145.00	3233.67	USD	-4,433.50	
30AUG17	B	1	PD30NOV17	3148.00	3233.67	USD	2,141.75	
30AUG17	S	3	PD30NOV17	3144.00	3233.67	USD	-6,725.25	
30AUG17	S	1	PD30NOV17	3147.50	3233.67	USD	-2,154.25	
30AUG17	S	1	PD30NOV17	3150.00	3233.67	USD	-2,091.75	
30AUG17	B	1	PD30NOV17	3151.00	3233.67	USD	2,066.75	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3151.50	3233.67	USD	-2,054.25	
30AUG17	S	1	PD30NOV17	3146.00	3233.67	USD	-2,191.75	
30AUG17	S	1	PD30NOV17	3151.00	3233.67	USD	-2,066.75	
30AUG17	S	2	PD30NOV17	3150.50	3233.67	USD	-4,158.50	
30AUG17	S	2	PD30NOV17	3148.50	3233.67	USD	-4,258.50	
30AUG17	S	2	PD30NOV17	3149.00	3233.67	USD	-4,233.50	
30AUG17	B	1	PD30NOV17	3141.50	3233.67	USD	2,304.25	
30AUG17	B	1	PD30NOV17	3144.00	3233.67	USD	2,241.75	
30AUG17	B	3	PD30NOV17	3142.50	3233.67	USD	6,837.75	
30AUG17	B	1	PD30NOV17	3142.00	3233.67	USD	2,291.75	
30AUG17	B	2	PD30NOV17	3139.50	3233.67	USD	4,708.50	
30AUG17	B	3	PD30NOV17	3139.00	3233.67	USD	7,100.25	
30AUG17	B	2	PD30NOV17	3136.50	3233.67	USD	4,858.50	
30AUG17	B	2	PD30NOV17	3137.50	3233.67	USD	4,808.50	
30AUG17	B	1	PD30NOV17	3136.00	3233.67	USD	2,441.75	
30AUG17	S	1	PD30NOV17	3134.00	3233.67	USD	-2,491.75	
30AUG17	S	2	PD30NOV17	3130.50	3233.67	USD	-5,158.50	
30AUG17	B	1	PD30NOV17	3121.00	3233.67	USD	2,816.75	
30AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
30AUG17	S	1	PD30NOV17	3145.50	3233.67	USD	-2,204.25	
30AUG17	B	1	PD30NOV17	3144.50	3233.67	USD	2,229.25	
30AUG17	S	1	PD30NOV17	3147.50	3233.67	USD	-2,154.25	
30AUG17	B	1	PD30NOV17	3149.00	3233.67	USD	2,116.75	
30AUG17	S	1	PD30NOV17	3149.00	3233.67	USD	-2,116.75	
30AUG17	S	1	PD30NOV17	3124.00	3233.67	USD	-2,741.75	
30AUG17	S	2	PD30NOV17	3129.50	3233.67	USD	-5,208.50	
30AUG17	S	2	PD30NOV17	3128.50	3233.67	USD	-5,258.50	
30AUG17	S	2	PD30NOV17	3127.00	3233.67	USD	-5,333.50	
30AUG17	S	4	PD30NOV17	3128.00	3233.67	USD	-10,567.00	
30AUG17	S	5	PD30NOV17	3127.50	3233.67	USD	-13,271.25	
30AUG17	S	2	PD30NOV17	3124.50	3233.67	USD	-5,458.50	
30AUG17	B	2	PD30NOV17	3111.00	3233.67	USD	6,133.50	
30AUG17	S	2	PD30NOV17	3113.50	3233.67	USD	-6,008.50	
30AUG17	B	2	PD30NOV17	3110.00	3233.67	USD	6,183.50	
30AUG17	B	1	PD30NOV17	3109.00	3233.67	USD	3,116.75	
30AUG17	B	1	PD30NOV17	3108.50	3233.67	USD	3,129.25	
30AUG17	B	2	PD30NOV17	3106.50	3233.67	USD	6,358.50	
30AUG17	B	1	PD30NOV17	3102.50	3233.67	USD	3,279.25	
30AUG17	B	1	PD30NOV17	3102.00	3233.67	USD	3,291.75	
30AUG17	S	2	PD30NOV17	3104.00	3233.67	USD	-6,483.50	
30AUG17	S	1	PD30NOV17	3110.50	3233.67	USD	-3,079.25	
30AUG17	B	1	PD30NOV17	3106.00	3233.67	USD	3,191.75	
30AUG17	S	1	PD30NOV17	3108.50	3233.67	USD	-3,129.25	
30AUG17	S	1	PD30NOV17	3112.50	3233.67	USD	-3,029.25	
30AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
30AUG17	B	1	PD30NOV17	3112.50	3233.67	USD	3,029.25	
30AUG17	B	1	PD30NOV17	3095.00	3233.67	USD	3,466.75	
30AUG17	B	1	PD30NOV17	3093.50	3233.67	USD	3,504.25	
30AUG17	B	1	PD30NOV17	3093.00	3233.67	USD	3,516.75	
30AUG17	B	2	PD30NOV17	3095.50	3233.67	USD	6,908.50	
30AUG17	B	1	PD30NOV17	3094.00	3233.67	USD	3,491.75	
30AUG17	B	2	PD30NOV17	3094.50	3233.67	USD	6,958.50	
30AUG17	B	1	PD30NOV17	3092.00	3233.67	USD	3,541.75	
30AUG17	B	1	PD30NOV17	3091.50	3233.67	USD	3,554.25	
30AUG17	B	2	PD30NOV17	3097.00	3233.67	USD	6,833.50	
30AUG17	S	1	PD30NOV17	3096.50	3233.67	USD	-3,429.25	
30AUG17	S	1	PD30NOV17	3093.50	3233.67	USD	-3,504.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3092.50	3233.67	USD	-3,529.25	
30AUG17	B	1	PD30NOV17	3084.50	3233.67	USD	3,729.25	
30AUG17	B	1	PD30NOV17	3086.00	3233.67	USD	3,691.75	
30AUG17	S	1	PD30NOV17	3086.00	3233.67	USD	-3,691.75	
30AUG17	S	3	PD30NOV17	3085.00	3233.67	USD	-11,150.25	
30AUG17	B	1	PD30NOV17	3090.00	3233.67	USD	3,591.75	
30AUG17	B	1	PD30NOV17	3096.00	3233.67	USD	3,441.75	
30AUG17	B	1	PD30NOV17	3095.50	3233.67	USD	3,454.25	
30AUG17	S	1	PD30NOV17	3084.00	3233.67	USD	-3,741.75	
30AUG17	S	1	PD30NOV17	3084.50	3233.67	USD	-3,729.25	
31AUG17	S	1	PD30NOV17	3110.00	3233.67	USD	-3,091.75	
31AUG17	S	1	PD30NOV17	3109.00	3233.67	USD	-3,116.75	
31AUG17	S	2	PD30NOV17	3109.50	3233.67	USD	-6,208.50	
31AUG17	B	1	PD30NOV17	3109.00	3233.67	USD	3,116.75	
31AUG17	S	1	PD30NOV17	3103.00	3233.67	USD	-3,266.75	
31AUG17	S	2	PD30NOV17	3103.50	3233.67	USD	-6,508.50	
31AUG17	S	1	PD30NOV17	3102.50	3233.67	USD	-3,279.25	
31AUG17	S	1	PD30NOV17	3106.50	3233.67	USD	-3,179.25	
31AUG17	S	2	PD30NOV17	3105.00	3233.67	USD	-6,433.50	
31AUG17	S	2	PD30NOV17	3105.50	3233.67	USD	-6,408.50	
31AUG17	S	1	PD30NOV17	3107.00	3233.67	USD	-3,166.75	
31AUG17	S	1	PD30NOV17	3107.50	3233.67	USD	-3,154.25	
31AUG17	S	4	PD30NOV17	3106.00	3233.67	USD	-12,767.00	
31AUG17	S	1	PD30NOV17	3123.50	3233.67	USD	-2,754.25	
31AUG17	S	3	PD30NOV17	3119.50	3233.67	USD	-8,562.75	
31AUG17	S	1	PD30NOV17	3120.50	3233.67	USD	-2,829.25	
31AUG17	S	3	PD30NOV17	3114.50	3233.67	USD	-8,937.75	
31AUG17	S	1	PD30NOV17	3115.50	3233.67	USD	-2,954.25	
31AUG17	S	2	PD30NOV17	3115.00	3233.67	USD	-5,933.50	
31AUG17	S	2	PD30NOV17	3121.00	3233.67	USD	-5,633.50	
31AUG17	S	1	PD30NOV17	3122.50	3233.67	USD	-2,779.25	
31AUG17	S	1	PD30NOV17	3124.00	3233.67	USD	-2,741.75	
31AUG17	S	5	PD30NOV17	3124.50	3233.67	USD	-13,646.25	
31AUG17	S	1	PD30NOV17	3125.50	3233.67	USD	-2,704.25	
31AUG17	S	1	PD30NOV17	3125.00	3233.67	USD	-2,716.75	
31AUG17	B	1	PD30NOV17	3130.00	3233.67	USD	2,591.75	
31AUG17	S	2	PD30NOV17	3130.00	3233.67	USD	-5,183.50	
31AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
31AUG17	B	1	PD30NOV17	3128.00	3233.67	USD	2,641.75	
31AUG17	S	2	PD30NOV17	3129.50	3233.67	USD	-5,208.50	
31AUG17	S	1	PD30NOV17	3131.00	3233.67	USD	-2,566.75	
31AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
31AUG17	S	1	PD30NOV17	3124.50	3233.67	USD	-2,729.25	
31AUG17	B	1	PD30NOV17	3124.50	3233.67	USD	2,729.25	
31AUG17	B	1	PD30NOV17	3126.00	3233.67	USD	2,691.75	
31AUG17	S	1	PD30NOV17	3128.00	3233.67	USD	-2,641.75	
31AUG17	S	1	PD30NOV17	3126.00	3233.67	USD	-2,691.75	
31AUG17	S	1	PD30NOV17	3126.50	3233.67	USD	-2,679.25	
31AUG17	S	1	PD30NOV17	3128.50	3233.67	USD	-2,629.25	
31AUG17	S	1	PD30NOV17	3135.00	3233.67	USD	-2,466.75	
31AUG17	S	2	PD30NOV17	3141.50	3233.67	USD	-4,608.50	
31AUG17	S	2	PD30NOV17	3122.00	3233.67	USD	-5,583.50	
31AUG17	S	1	PD30NOV17	3159.50	3233.67	USD	-1,854.25	
31AUG17	B	1	PD30NOV17	3156.00	3233.67	USD	1,941.75	
31AUG17	B	1	PD30NOV17	3157.50	3233.67	USD	1,904.25	
31AUG17	B	1	PD30NOV17	3158.00	3233.67	USD	1,891.75	
31AUG17	B	1	PD30NOV17	3149.50	3233.67	USD	2,104.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	3148.00	3233.67	USD	2,141.75	
31AUG17	S	1	PD30NOV17	3147.50	3233.67	USD	-2,154.25	
31AUG17	B	1	PD30NOV17	3147.00	3233.67	USD	2,166.75	
31AUG17	B	2	PD30NOV17	3145.00	3233.67	USD	4,433.50	
31AUG17	B	1	PD30NOV17	3144.00	3233.67	USD	2,241.75	
31AUG17	B	1	PD30NOV17	3142.50	3233.67	USD	2,279.25	
31AUG17	B	1	PD30NOV17	3142.00	3233.67	USD	2,291.75	
31AUG17	B	1	PD30NOV17	3141.50	3233.67	USD	2,304.25	
31AUG17	B	1	PD30NOV17	3138.50	3233.67	USD	2,379.25	
31AUG17	B	1	PD30NOV17	3133.50	3233.67	USD	2,504.25	
31AUG17	B	1	PD30NOV17	3129.50	3233.67	USD	2,604.25	
31AUG17	B	1	PD30NOV17	3132.00	3233.67	USD	2,541.75	
31AUG17	B	2	PD30NOV17	3132.50	3233.67	USD	5,058.50	
31AUG17	B	1	PD30NOV17	3134.00	3233.67	USD	2,491.75	
31AUG17	B	3	PD30NOV17	3133.00	3233.67	USD	7,550.25	
31AUG17	B	1	PD30NOV17	3137.50	3233.67	USD	2,404.25	
31AUG17	B	1	PD30NOV17	3139.50	3233.67	USD	2,354.25	
31AUG17	S	1	PD30NOV17	3147.00	3233.67	USD	-2,166.75	
31AUG17	B	2	PD30NOV17	3134.50	3233.67	USD	4,958.50	
31AUG17	B	3	PD30NOV17	3137.00	3233.67	USD	7,250.25	
31AUG17	B	6	PD30NOV17	3136.00	3233.67	USD	14,650.50	
31AUG17	B	1	PD30NOV17	3140.00	3233.67	USD	2,341.75	
31AUG17	B	1	PD30NOV17	3139.00	3233.67	USD	2,366.75	
31AUG17	B	2	PD30NOV17	3154.00	3233.67	USD	3,983.50	
31AUG17	B	1	PD30NOV17	3155.00	3233.67	USD	1,966.75	
31AUG17	B	2	PD30NOV17	3154.50	3233.67	USD	3,958.50	
31AUG17	B	2	PD30NOV17	3159.00	3233.67	USD	3,733.50	
31AUG17	B	2	PD30NOV17	3160.50	3233.67	USD	3,658.50	
31AUG17	B	1	PD30NOV17	3160.00	3233.67	USD	1,841.75	
06SEP17	B	9	PD30NOV17	3093.70	3233.67	USD	31,493.25	
06SEP17	B	17	PD30NOV17	3093.50	3233.67	USD	59,572.25	
	NET	0	AVG BGHT 3121.95				6,655.00	0.00
01SEP17	B	2	PD01DEC17	3144.50	3233.33	USD	4,441.50	
01SEP17	B	12	PD01DEC17	3145.00	3233.33	USD	26,499.00	
01SEP17	S	3	PD01DEC17	3156.50	3233.33	USD	-5,762.25	
01SEP17	B	1	PD01DEC17	3150.00	3233.33	USD	2,083.25	
01SEP17	S	2	PD01DEC17	3157.00	3233.33	USD	-3,816.50	
01SEP17	S	3	PD01DEC17	3161.50	3233.33	USD	-5,387.25	
01SEP17	S	3	PD01DEC17	3162.00	3233.33	USD	-5,349.75	
01SEP17	S	1	PD01DEC17	3163.00	3233.33	USD	-1,758.25	
01SEP17	S	1	PD01DEC17	3164.50	3233.33	USD	-1,720.75	
01SEP17	S	1	PD01DEC17	3167.00	3233.33	USD	-1,658.25	
01SEP17	S	2	PD01DEC17	3171.50	3233.33	USD	-3,091.50	
01SEP17	S	2	PD01DEC17	3172.00	3233.33	USD	-3,066.50	
01SEP17	S	1	PD01DEC17	3172.50	3233.33	USD	-1,520.75	
01SEP17	S	1	PD01DEC17	3173.50	3233.33	USD	-1,495.75	
01SEP17	S	1	PD01DEC17	3174.00	3233.33	USD	-1,483.25	
01SEP17	S	3	PD01DEC17	3173.00	3233.33	USD	-4,524.75	
01SEP17	S	2	PD01DEC17	3170.50	3233.33	USD	-3,141.50	
01SEP17	S	2	PD01DEC17	3168.00	3233.33	USD	-3,266.50	
01SEP17	S	1	PD01DEC17	3165.00	3233.33	USD	-1,708.25	
01SEP17	B	1	PD01DEC17	3157.50	3233.33	USD	1,895.75	
01SEP17	B	1	PD01DEC17	3161.00	3233.33	USD	1,808.25	
01SEP17	B	1	PD01DEC17	3160.00	3233.33	USD	1,833.25	
01SEP17	B	3	PD01DEC17	3154.00	3233.33	USD	5,949.75	
01SEP17	B	3	PD01DEC17	3155.50	3233.33	USD	5,837.25	
01SEP17	B	8	PD01DEC17	3155.00	3233.33	USD	15,666.00	

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01SEP17	B	2	PD01DEC17	3145.00	3233.33	USD	4,416.50	
01SEP17	S	1	PD01DEC17	3162.50	3233.33	USD	-1,770.75	
01SEP17	S	1	PD01DEC17	3164.00	3233.33	USD	-1,733.25	
01SEP17	S	1	PD01DEC17	3160.00	3233.33	USD	-1,833.25	
01SEP17	B	1	PD01DEC17	3160.00	3233.33	USD	1,833.25	
01SEP17	B	4	PD01DEC17	3152.00	3233.33	USD	8,133.00	
01SEP17	B	1	PD01DEC17	3146.50	3233.33	USD	2,170.75	
01SEP17	B	3	PD01DEC17	3147.00	3233.33	USD	6,474.75	
01SEP17	B	2	PD01DEC17	3151.00	3233.33	USD	4,116.50	
01SEP17	B	1	PD01DEC17	3149.50	3233.33	USD	2,095.75	
01SEP17	B	1	PD01DEC17	3148.50	3233.33	USD	2,120.75	
01SEP17	B	1	PD01DEC17	3145.50	3233.33	USD	2,195.75	
01SEP17	B	1	PD01DEC17	3148.00	3233.33	USD	2,133.25	
01SEP17	B	5	PD01DEC17	3149.00	3233.33	USD	10,541.25	
01SEP17	S	1	PD01DEC17	3153.00	3233.33	USD	-2,008.25	
01SEP17	B	2	PD01DEC17	3151.50	3233.33	USD	4,091.50	
01SEP17	S	1	PD01DEC17	3155.00	3233.33	USD	-1,958.25	
01SEP17	S	2	PD01DEC17	3158.00	3233.33	USD	-3,766.50	
01SEP17	S	4	PD01DEC17	3164.00	3233.33	USD	-6,933.00	
01SEP17	S	2	PD01DEC17	3158.50	3233.33	USD	-3,741.50	
01SEP17	S	1	PD01DEC17	3160.00	3233.33	USD	-1,833.25	
01SEP17	S	3	PD01DEC17	3157.50	3233.33	USD	-5,687.25	
01SEP17	S	2	PD01DEC17	3161.00	3233.33	USD	-3,616.50	
01SEP17	S	2	PD01DEC17	3159.50	3233.33	USD	-3,691.50	
01SEP17	S	2	PD01DEC17	3159.00	3233.33	USD	-3,716.50	
01SEP17	S	2	PD01DEC17	3166.50	3233.33	USD	-3,341.50	
01SEP17	S	3	PD01DEC17	3163.50	3233.33	USD	-5,237.25	
01SEP17	S	3	PD01DEC17	3160.50	3233.33	USD	-5,462.25	
01SEP17	S	2	PD01DEC17	3166.00	3233.33	USD	-3,366.50	
01SEP17	S	2	PD01DEC17	3167.50	3233.33	USD	-3,291.50	
01SEP17	S	1	PD01DEC17	3165.50	3233.33	USD	-1,695.75	
01SEP17	B	1	PD01DEC17	3171.00	3233.33	USD	1,558.25	
01SEP17	B	1	PD01DEC17	3174.00	3233.33	USD	1,483.25	
01SEP17	B	1	PD01DEC17	3174.50	3233.33	USD	1,470.75	
01SEP17	B	3	PD01DEC17	3173.00	3233.33	USD	4,524.75	
01SEP17	B	2	PD01DEC17	3171.50	3233.33	USD	3,091.50	
01SEP17	B	2	PD01DEC17	3172.00	3233.33	USD	3,066.50	
01SEP17	S	1	PD01DEC17	3170.00	3233.33	USD	-1,583.25	
01SEP17	S	2	PD01DEC17	3178.50	3233.33	USD	-2,741.50	
01SEP17	S	1	PD01DEC17	3176.50	3233.33	USD	-1,420.75	
01SEP17	S	1	PD01DEC17	3176.00	3233.33	USD	-1,433.25	
01SEP17	B	2	PD01DEC17	3170.00	3233.33	USD	3,166.50	
01SEP17	S	2	PD01DEC17	3179.00	3233.33	USD	-2,716.50	
01SEP17	S	1	PD01DEC17	3181.00	3233.33	USD	-1,308.25	
01SEP17	S	1	PD01DEC17	3182.50	3233.33	USD	-1,270.75	
01SEP17	S	2	PD01DEC17	3184.00	3233.33	USD	-2,466.50	
01SEP17	S	3	PD01DEC17	3185.00	3233.33	USD	-3,624.75	
01SEP17	B	2	PD01DEC17	3193.00	3233.33	USD	2,016.50	
01SEP17	S	1	PD01DEC17	3197.00	3233.33	USD	-908.25	
01SEP17	S	2	PD01DEC17	3198.00	3233.33	USD	-1,766.50	
01SEP17	B	3	PD01DEC17	3202.00	3233.33	USD	2,349.75	
01SEP17	B	1	PD01DEC17	3208.00	3233.33	USD	633.25	
01SEP17	S	2	PD01DEC17	3209.00	3233.33	USD	-1,216.50	
01SEP17	B	1	PD01DEC17	3206.00	3233.33	USD	683.25	
01SEP17	B	1	PD01DEC17	3204.00	3233.33	USD	733.25	
01SEP17	B	6	PD01DEC17	3207.50	3233.33	USD	3,874.50	
01SEP17	B	1	PD01DEC17	3208.50	3233.33	USD	620.75	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
01SEP17	B	1	PD01DEC17		3209.00	3233.33	USD	608.25	
01SEP17	B	1	PD01DEC17		3207.50	3233.33	USD	645.75	
01SEP17	B	2	PD01DEC17		3208.00	3233.33	USD	1,266.50	
01SEP17	B	1	PD01DEC17		3209.00	3233.33	USD	608.25	
06SEP17	S	4	PD01DEC17		3093.55	3233.33	USD	-13,978.00	
	NET	0	AVG BGHT 3165.72	SOLD 3165.21				-1,132.50	0.00
04SEP17	B	1	PD04DEC17		3217.00	3233.00	USD	400.00	
04SEP17	S	1	PD04DEC17		3200.00	3233.00	USD	-825.00	
04SEP17	B	1	PD04DEC17		3193.50	3233.00	USD	987.50	
04SEP17	B	1	PD04DEC17		3195.00	3233.00	USD	950.00	
04SEP17	B	1	PD04DEC17		3198.00	3233.00	USD	875.00	
04SEP17	B	2	PD04DEC17		3199.50	3233.00	USD	1,675.00	
04SEP17	B	3	PD04DEC17		3200.00	3233.00	USD	2,475.00	
04SEP17	S	2	PD04DEC17		3194.00	3233.00	USD	-1,950.00	
04SEP17	B	1	PD04DEC17		3193.00	3233.00	USD	1,000.00	
04SEP17	B	1	PD04DEC17		3191.00	3233.00	USD	1,050.00	
04SEP17	S	1	PD04DEC17		3189.00	3233.00	USD	-1,100.00	
04SEP17	S	1	PD04DEC17		3190.50	3233.00	USD	-1,062.50	
04SEP17	S	4	PD04DEC17		3189.50	3233.00	USD	-4,350.00	
04SEP17	S	1	PD04DEC17		3190.00	3233.00	USD	-1,075.00	
04SEP17	S	1	PD04DEC17		3192.00	3233.00	USD	-1,025.00	
04SEP17	S	3	PD04DEC17		3195.50	3233.00	USD	-2,812.50	
04SEP17	S	1	PD04DEC17		3195.00	3233.00	USD	-950.00	
04SEP17	B	1	PD04DEC17		3189.50	3233.00	USD	1,087.50	
04SEP17	B	1	PD04DEC17		3186.00	3233.00	USD	1,175.00	
04SEP17	B	1	PD04DEC17		3185.50	3233.00	USD	1,187.50	
04SEP17	B	1	PD04DEC17		3185.00	3233.00	USD	1,200.00	
04SEP17	B	3	PD04DEC17		3184.50	3233.00	USD	3,637.50	
04SEP17	B	2	PD04DEC17		3183.50	3233.00	USD	2,475.00	
04SEP17	B	1	PD04DEC17		3183.00	3233.00	USD	1,250.00	
04SEP17	B	1	PD04DEC17		3182.50	3233.00	USD	1,262.50	
04SEP17	B	1	PD04DEC17		3179.00	3233.00	USD	1,350.00	
04SEP17	B	3	PD04DEC17		3177.50	3233.00	USD	4,162.50	
04SEP17	B	2	PD04DEC17		3176.50	3233.00	USD	2,825.00	
04SEP17	B	1	PD04DEC17		3175.50	3233.00	USD	1,437.50	
04SEP17	S	1	PD04DEC17		3174.50	3233.00	USD	-1,462.50	
04SEP17	S	1	PD04DEC17		3174.00	3233.00	USD	-1,475.00	
04SEP17	B	1	PD04DEC17		3177.00	3233.00	USD	1,400.00	
04SEP17	S	4	PD04DEC17		3176.50	3233.00	USD	-5,650.00	
04SEP17	S	1	PD04DEC17		3180.50	3233.00	USD	-1,312.50	
04SEP17	S	1	PD04DEC17		3181.00	3233.00	USD	-1,300.00	
04SEP17	S	1	PD04DEC17		3181.50	3233.00	USD	-1,287.50	
04SEP17	S	2	PD04DEC17		3182.00	3233.00	USD	-2,550.00	
04SEP17	S	3	PD04DEC17		3183.50	3233.00	USD	-3,712.50	
04SEP17	S	1	PD04DEC17		3185.00	3233.00	USD	-1,200.00	
04SEP17	S	2	PD04DEC17		3185.50	3233.00	USD	-2,375.00	
04SEP17	S	1	PD04DEC17		3184.00	3233.00	USD	-1,225.00	
04SEP17	S	2	PD04DEC17		3188.50	3233.00	USD	-2,225.00	
04SEP17	S	3	PD04DEC17		3193.00	3233.00	USD	-3,000.00	
04SEP17	S	2	PD04DEC17		3193.50	3233.00	USD	-1,975.00	
04SEP17	S	1	PD04DEC17		3196.00	3233.00	USD	-925.00	
04SEP17	S	1	PD04DEC17		3197.50	3233.00	USD	-887.50	
04SEP17	S	4	PD04DEC17		3194.50	3233.00	USD	-3,850.00	
04SEP17	B	1	PD04DEC17		3175.00	3233.00	USD	1,450.00	
04SEP17	S	2	PD04DEC17		3182.00	3233.00	USD	-2,550.00	
04SEP17	S	2	PD04DEC17		3183.00	3233.00	USD	-2,500.00	
04SEP17	S	1	PD04DEC17		3182.50	3233.00	USD	-1,262.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	1	PD04DEC17	3184.50	3233.00	USD	-1,212.50	
04SEP17	S	2	PD04DEC17	3191.00	3233.00	USD	-2,100.00	
04SEP17	B	1	PD04DEC17	3192.50	3233.00	USD	1,012.50	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	B	5	PD04DEC17	3197.50	3233.00	USD	4,437.50	
04SEP17	S	2	PD04DEC17	3206.50	3233.00	USD	-1,325.00	
04SEP17	S	1	PD04DEC17	3213.00	3233.00	USD	-500.00	
04SEP17	S	1	PD04DEC17	3198.00	3233.00	USD	-875.00	
04SEP17	B	5	PD04DEC17	3206.50	3233.00	USD	3,312.50	
04SEP17	B	2	PD04DEC17	3205.50	3233.00	USD	1,375.00	
04SEP17	B	7	PD04DEC17	3206.00	3233.00	USD	4,725.00	
04SEP17	B	4	PD04DEC17	3205.00	3233.00	USD	2,800.00	
04SEP17	B	2	PD04DEC17	3204.50	3233.00	USD	1,425.00	
04SEP17	B	1	PD04DEC17	3204.00	3233.00	USD	725.00	
04SEP17	B	1	PD04DEC17	3203.50	3233.00	USD	737.50	
04SEP17	B	1	PD04DEC17	3203.00	3233.00	USD	750.00	
04SEP17	B	6	PD04DEC17	3201.00	3233.00	USD	4,800.00	
04SEP17	B	2	PD04DEC17	3202.00	3233.00	USD	1,550.00	
04SEP17	B	1	PD04DEC17	3202.50	3233.00	USD	762.50	
04SEP17	S	1	PD04DEC17	3208.50	3233.00	USD	-612.50	
04SEP17	B	1	PD04DEC17	3208.50	3233.00	USD	612.50	
06SEP17	S	10	PD04DEC17	3094.30	3233.00	USD	-34,675.00	
	NET	0 AVG BGHT 3196.24	SOLD 3175.83				-35,712.50	0.00
05SEP17	B	1	PD05DEC17	3203.50	3232.67	USD	729.25	
05SEP17	S	3	PD05DEC17	3203.50	3232.67	USD	-2,187.75	
05SEP17	S	1	PD05DEC17	3203.00	3232.67	USD	-741.75	
05SEP17	S	1	PD05DEC17	3204.00	3232.67	USD	-716.75	
05SEP17	B	4	PD05DEC17	3202.00	3232.67	USD	3,067.00	
05SEP17	B	1	PD05DEC17	3195.50	3232.67	USD	929.25	
05SEP17	B	1	PD05DEC17	3194.50	3232.67	USD	954.25	
05SEP17	B	1	PD05DEC17	3196.00	3232.67	USD	916.75	
05SEP17	B	1	PD05DEC17	3197.00	3232.67	USD	891.75	
05SEP17	B	1	PD05DEC17	3198.00	3232.67	USD	866.75	
05SEP17	S	2	PD05DEC17	3201.00	3232.67	USD	-1,583.50	
05SEP17	S	2	PD05DEC17	3202.50	3232.67	USD	-1,508.50	
05SEP17	S	3	PD05DEC17	3202.00	3232.67	USD	-2,300.25	
05SEP17	S	2	PD05DEC17	3199.00	3232.67	USD	-1,683.50	
05SEP17	S	1	PD05DEC17	3200.00	3232.67	USD	-816.75	
05SEP17	B	2	PD05DEC17	3193.00	3232.67	USD	1,983.50	
05SEP17	B	1	PD05DEC17	3192.00	3232.67	USD	1,016.75	
05SEP17	S	1	PD05DEC17	3190.50	3232.67	USD	-1,054.25	
05SEP17	B	1	PD05DEC17	3194.00	3232.67	USD	966.75	
05SEP17	B	2	PD05DEC17	3193.50	3232.67	USD	1,958.50	
05SEP17	B	1	PD05DEC17	3185.50	3232.67	USD	1,179.25	
05SEP17	B	1	PD05DEC17	3187.00	3232.67	USD	1,141.75	
05SEP17	S	2	PD05DEC17	3196.00	3232.67	USD	-1,833.50	
05SEP17	S	2	PD05DEC17	3196.50	3232.67	USD	-1,808.50	
05SEP17	S	2	PD05DEC17	3197.00	3232.67	USD	-1,783.50	
05SEP17	S	2	PD05DEC17	3197.50	3232.67	USD	-1,758.50	
05SEP17	S	1	PD05DEC17	3198.00	3232.67	USD	-866.75	
05SEP17	S	3	PD05DEC17	3200.50	3232.67	USD	-2,412.75	
05SEP17	S	3	PD05DEC17	3201.50	3232.67	USD	-2,337.75	
05SEP17	S	1	PD05DEC17	3198.50	3232.67	USD	-854.25	
05SEP17	S	4	PD05DEC17	3199.50	3232.67	USD	-3,317.00	
05SEP17	B	1	PD05DEC17	3191.00	3232.67	USD	1,041.75	
05SEP17	B	2	PD05DEC17	3190.00	3232.67	USD	2,133.50	
05SEP17	B	3	PD05DEC17	3192.50	3232.67	USD	3,012.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	3	PD05DEC17	3191.50	3232.67	USD	3,087.75	
05SEP17	B	1	PD05DEC17	3188.50	3232.67	USD	1,104.25	
05SEP17	B	3	PD05DEC17	3188.00	3232.67	USD	3,350.25	
05SEP17	B	2	PD05DEC17	3187.50	3232.67	USD	2,258.50	
05SEP17	B	2	PD05DEC17	3185.00	3232.67	USD	2,383.50	
05SEP17	B	2	PD05DEC17	3183.50	3232.67	USD	2,458.50	
05SEP17	B	1	PD05DEC17	3182.50	3232.67	USD	1,254.25	
05SEP17	B	1	PD05DEC17	3182.00	3232.67	USD	1,266.75	
05SEP17	B	2	PD05DEC17	3184.50	3232.67	USD	2,408.50	
05SEP17	B	2	PD05DEC17	3184.00	3232.67	USD	2,433.50	
05SEP17	B	2	PD05DEC17	3179.50	3232.67	USD	2,658.50	
05SEP17	B	1	PD05DEC17	3177.50	3232.67	USD	1,379.25	
05SEP17	B	1	PD05DEC17	3176.50	3232.67	USD	1,404.25	
05SEP17	B	1	PD05DEC17	3176.00	3232.67	USD	1,416.75	
05SEP17	B	1	PD05DEC17	3173.00	3232.67	USD	1,491.75	
05SEP17	B	3	PD05DEC17	3174.00	3232.67	USD	4,400.25	
05SEP17	B	1	PD05DEC17	3175.50	3232.67	USD	1,429.25	
05SEP17	B	1	PD05DEC17	3179.00	3232.67	USD	1,341.75	
05SEP17	S	1	PD05DEC17	3194.00	3232.67	USD	-966.75	
05SEP17	S	1	PD05DEC17	3193.50	3232.67	USD	-979.25	
05SEP17	S	3	PD05DEC17	3195.00	3232.67	USD	-2,825.25	
05SEP17	S	1	PD05DEC17	3192.00	3232.67	USD	-1,016.75	
05SEP17	B	1	PD05DEC17	3180.00	3232.67	USD	1,316.75	
05SEP17	S	1	PD05DEC17	3180.00	3232.67	USD	-1,316.75	
05SEP17	S	1	PD05DEC17	3186.00	3232.67	USD	-1,166.75	
05SEP17	S	3	PD05DEC17	3189.00	3232.67	USD	-3,275.25	
05SEP17	S	2	PD05DEC17	3188.50	3232.67	USD	-2,208.50	
05SEP17	S	2	PD05DEC17	3186.50	3232.67	USD	-2,308.50	
05SEP17	S	4	PD05DEC17	3187.50	3232.67	USD	-4,517.00	
05SEP17	S	2	PD05DEC17	3188.00	3232.67	USD	-2,233.50	
05SEP17	B	1	PD05DEC17	3199.00	3232.67	USD	841.75	
05SEP17	S	1	PD05DEC17	3172.00	3232.67	USD	-1,516.75	
05SEP17	S	1	PD05DEC17	3174.00	3232.67	USD	-1,466.75	
05SEP17	B	2	PD05DEC17	3167.00	3232.67	USD	3,283.50	
05SEP17	B	1	PD05DEC17	3166.50	3232.67	USD	1,654.25	
05SEP17	B	1	PD05DEC17	3161.50	3232.67	USD	1,779.25	
05SEP17	B	1	PD05DEC17	3162.50	3232.67	USD	1,754.25	
05SEP17	B	1	PD05DEC17	3158.50	3232.67	USD	1,854.25	
05SEP17	B	1	PD05DEC17	3155.50	3232.67	USD	1,929.25	
05SEP17	B	1	PD05DEC17	3152.00	3232.67	USD	2,016.75	
05SEP17	S	1	PD05DEC17	3151.50	3232.67	USD	-2,029.25	
05SEP17	S	1	PD05DEC17	3153.50	3232.67	USD	-1,979.25	
05SEP17	S	1	PD05DEC17	3156.00	3232.67	USD	-1,916.75	
05SEP17	S	1	PD05DEC17	3157.50	3232.67	USD	-1,879.25	
05SEP17	B	1	PD05DEC17	3151.50	3232.67	USD	2,029.25	
05SEP17	B	2	PD05DEC17	3151.00	3232.67	USD	4,083.50	
05SEP17	B	3	PD05DEC17	3150.50	3232.67	USD	6,162.75	
05SEP17	B	1	PD05DEC17	3147.50	3232.67	USD	2,129.25	
05SEP17	B	1	PD05DEC17	3139.00	3232.67	USD	2,341.75	
05SEP17	B	2	PD05DEC17	3138.00	3232.67	USD	4,733.50	
05SEP17	B	1	PD05DEC17	3133.50	3232.67	USD	2,479.25	
05SEP17	B	1	PD05DEC17	3137.00	3232.67	USD	2,391.75	
05SEP17	B	1	PD05DEC17	3136.50	3232.67	USD	2,404.25	
05SEP17	S	2	PD05DEC17	3144.50	3232.67	USD	-4,408.50	
05SEP17	B	2	PD05DEC17	3140.00	3232.67	USD	4,633.50	
05SEP17	B	1	PD05DEC17	3137.50	3232.67	USD	2,379.25	
05SEP17	B	7	PD05DEC17	3138.50	3232.67	USD	16,479.75	

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05SEP17	B	2	PD05DEC17		3135.00	3232.67	USD	4,883.50	
05SEP17	B	1	PD05DEC17		3134.50	3232.67	USD	2,454.25	
05SEP17	S	1	PD05DEC17		3115.00	3232.67	USD	-2,941.75	
05SEP17	S	1	PD05DEC17		3092.00	3232.67	USD	-3,516.75	
05SEP17	S	1	PD05DEC17		3091.50	3232.67	USD	-3,529.25	
05SEP17	B	1	PD05DEC17		3092.00	3232.67	USD	3,516.75	
05SEP17	B	1	PD05DEC17		3096.50	3232.67	USD	3,404.25	
05SEP17	S	1	PD05DEC17		3099.00	3232.67	USD	-3,341.75	
05SEP17	S	1	PD05DEC17		3103.50	3232.67	USD	-3,229.25	
05SEP17	S	1	PD05DEC17		3104.50	3232.67	USD	-3,204.25	
05SEP17	S	2	PD05DEC17		3104.00	3232.67	USD	-6,433.50	
05SEP17	B	1	PD05DEC17		3102.50	3232.67	USD	3,254.25	
05SEP17	B	1	PD05DEC17		3103.00	3232.67	USD	3,241.75	
05SEP17	B	1	PD05DEC17		3101.50	3232.67	USD	3,279.25	
05SEP17	B	1	PD05DEC17		3174.00	3232.67	USD	1,466.75	
06SEP17	S	3	PD05DEC17		3094.55	3232.67	USD	-10,359.00	
08SEP17	S	20	PD05DEC17		3030.42	3232.67	USD	-101,125.00	
	NET	0 AVG BGHT 3168.30	SOLD 3147.15					-50,761.25	0.00
06SEP17	S	1	PD06DEC17		3119.00	3232.33	USD	-2,833.25	
06SEP17	B	2	PD06DEC17		3124.50	3232.33	USD	5,391.50	
06SEP17	B	1	PD06DEC17		3117.50	3232.33	USD	2,870.75	
06SEP17	B	1	PD06DEC17		3117.00	3232.33	USD	2,883.25	
06SEP17	B	3	PD06DEC17		3115.50	3232.33	USD	8,762.25	
06SEP17	B	6	PD06DEC17		3111.00	3232.33	USD	18,199.50	
06SEP17	B	1	PD06DEC17		3110.00	3232.33	USD	3,058.25	
06SEP17	B	2	PD06DEC17		3103.00	3232.33	USD	6,466.50	
06SEP17	S	1	PD06DEC17		3106.00	3232.33	USD	-3,158.25	
06SEP17	B	1	PD06DEC17		3069.50	3232.33	USD	4,070.75	
06SEP17	B	1	PD06DEC17		3069.00	3232.33	USD	4,083.25	
06SEP17	B	1	PD06DEC17		3072.00	3232.33	USD	4,008.25	
06SEP17	S	1	PD06DEC17		3077.00	3232.33	USD	-3,883.25	
06SEP17	S	1	PD06DEC17		3077.50	3232.33	USD	-3,870.75	
06SEP17	S	2	PD06DEC17		3079.00	3232.33	USD	-7,666.50	
06SEP17	S	2	PD06DEC17		3080.50	3232.33	USD	-7,591.50	
06SEP17	S	1	PD06DEC17		3081.50	3232.33	USD	-3,770.75	
06SEP17	S	2	PD06DEC17		3081.00	3232.33	USD	-7,566.50	
06SEP17	B	2	PD06DEC17		3084.00	3232.33	USD	7,416.50	
06SEP17	S	1	PD06DEC17		3084.50	3232.33	USD	-3,695.75	
06SEP17	S	3	PD06DEC17		3082.50	3232.33	USD	-11,237.25	
06SEP17	S	3	PD06DEC17		3083.00	3232.33	USD	-11,199.75	
06SEP17	S	1	PD06DEC17		3085.00	3232.33	USD	-3,683.25	
06SEP17	S	1	PD06DEC17		3087.50	3232.33	USD	-3,620.75	
06SEP17	S	1	PD06DEC17		3095.50	3232.33	USD	-3,420.75	
06SEP17	B	1	PD06DEC17		3092.00	3232.33	USD	3,508.25	
06SEP17	S	1	PD06DEC17		3106.50	3232.33	USD	-3,145.75	
06SEP17	S	1	PD06DEC17		3107.00	3232.33	USD	-3,133.25	
06SEP17	B	1	PD06DEC17		3108.00	3232.33	USD	3,108.25	
06SEP17	B	1	PD06DEC17		3102.50	3232.33	USD	3,245.75	
06SEP17	B	1	PD06DEC17		3095.50	3232.33	USD	3,420.75	
06SEP17	B	2	PD06DEC17		3086.50	3232.33	USD	7,291.50	
06SEP17	B	1	PD06DEC17		3085.50	3232.33	USD	3,670.75	
06SEP17	B	1	PD06DEC17		3082.50	3232.33	USD	3,745.75	
06SEP17	B	2	PD06DEC17		3084.50	3232.33	USD	7,391.50	
06SEP17	B	2	PD06DEC17		3083.50	3232.33	USD	7,441.50	
06SEP17	B	4	PD06DEC17		3081.00	3232.33	USD	15,133.00	
06SEP17	S	2	PD06DEC17		3076.00	3232.33	USD	-7,816.50	
06SEP17	B	3	PD06DEC17		3075.50	3232.33	USD	11,762.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	2	PD06DEC17	3075.00	3232.33	USD	7,866.50	
06SEP17	B	2	PD06DEC17	3076.00	3232.33	USD	7,816.50	
06SEP17	B	1	PD06DEC17	3077.50	3232.33	USD	3,870.75	
06SEP17	S	2	PD06DEC17	3084.00	3232.33	USD	-7,416.50	
06SEP17	S	3	PD06DEC17	3089.50	3232.33	USD	-10,712.25	
06SEP17	S	2	PD06DEC17	3089.00	3232.33	USD	-7,166.50	
06SEP17	B	2	PD06DEC17	3085.00	3232.33	USD	7,366.50	
06SEP17	S	1	PD06DEC17	3081.00	3232.33	USD	-3,783.25	
06SEP17	S	1	PD06DEC17	3090.50	3232.33	USD	-3,545.75	
06SEP17	S	2	PD06DEC17	3091.00	3232.33	USD	-7,066.50	
06SEP17	S	2	PD06DEC17	3091.50	3232.33	USD	-7,041.50	
06SEP17	S	2	PD06DEC17	3093.50	3232.33	USD	-6,941.50	
06SEP17	S	1	PD06DEC17	3092.50	3232.33	USD	-3,495.75	
06SEP17	S	1	PD06DEC17	3094.00	3232.33	USD	-3,458.25	
06SEP17	S	1	PD06DEC17	3096.50	3232.33	USD	-3,395.75	
06SEP17	B	1	PD06DEC17	3096.00	3232.33	USD	3,408.25	
06SEP17	S	2	PD06DEC17	3093.00	3232.33	USD	-6,966.50	
06SEP17	B	3	PD06DEC17	3082.00	3232.33	USD	11,274.75	
06SEP17	B	5	PD06DEC17	3080.50	3232.33	USD	18,978.75	
06SEP17	B	2	PD06DEC17	3080.00	3232.33	USD	7,616.50	
06SEP17	B	1	PD06DEC17	3078.50	3232.33	USD	3,845.75	
06SEP17	S	2	PD06DEC17	3086.50	3232.33	USD	-7,291.50	
06SEP17	S	3	PD06DEC17	3087.00	3232.33	USD	-10,899.75	
06SEP17	S	4	PD06DEC17	3088.00	3232.33	USD	-14,433.00	
06SEP17	S	5	PD06DEC17	3095.00	3232.33	USD	-17,166.25	
06SEP17	S	2	PD06DEC17	3094.50	3232.33	USD	-6,891.50	
06SEP17	B	1	PD06DEC17	3095.00	3232.33	USD	3,433.25	
06SEP17	B	3	PD06DEC17	3094.50	3232.33	USD	10,337.25	
06SEP17	S	4	PD06DEC17	3090.00	3232.33	USD	-14,233.00	
06SEP17	B	1	PD06DEC17	3090.00	3232.33	USD	3,558.25	
06SEP17	S	8	PD06DEC17	3088.50	3232.33	USD	-28,766.00	
06SEP17	S	1	PD06DEC17	3099.00	3232.33	USD	-3,333.25	
06SEP17	S	1	PD06DEC17	3101.00	3232.33	USD	-3,283.25	
06SEP17	S	1	PD06DEC17	3102.00	3232.33	USD	-3,258.25	
06SEP17	S	1	PD06DEC17	3101.50	3232.33	USD	-3,270.75	
06SEP17	B	1	PD06DEC17	3101.50	3232.33	USD	3,270.75	
06SEP17	B	2	PD06DEC17	3104.00	3232.33	USD	6,416.50	
06SEP17	S	1	PD06DEC17	3104.00	3232.33	USD	-3,208.25	
06SEP17	S	1	PD06DEC17	3104.50	3232.33	USD	-3,195.75	
06SEP17	S	1	PD06DEC17	3105.00	3232.33	USD	-3,183.25	
08SEP17	B	15	PD06DEC17	3030.81	3232.33	USD	75,570.00	
13SEP17	S	2	PD06DEC17	3023.63	3232.33	USD	-10,435.00	
	NET	0AVG BGHT 3080.35	SOLD 3088.36				16,427.75	0.00
07SEP17	S	1	PD07DEC17	3105.00	3232.00	USD	-3,175.00	
07SEP17	B	1	PD07DEC17	3107.50	3232.00	USD	3,112.50	
07SEP17	B	1	PD07DEC17	3103.50	3232.00	USD	3,212.50	
07SEP17	B	1	PD07DEC17	3099.50	3232.00	USD	3,312.50	
07SEP17	B	1	PD07DEC17	3094.00	3232.00	USD	3,450.00	
07SEP17	B	1	PD07DEC17	3092.50	3232.00	USD	3,487.50	
07SEP17	B	1	PD07DEC17	3092.00	3232.00	USD	3,500.00	
07SEP17	B	1	PD07DEC17	3091.50	3232.00	USD	3,512.50	
07SEP17	B	1	PD07DEC17	3090.50	3232.00	USD	3,537.50	
07SEP17	B	1	PD07DEC17	3089.50	3232.00	USD	3,562.50	
07SEP17	B	1	PD07DEC17	3087.00	3232.00	USD	3,625.00	
07SEP17	B	1	PD07DEC17	3089.00	3232.00	USD	3,575.00	
07SEP17	B	1	PD07DEC17	3088.50	3232.00	USD	3,587.50	
07SEP17	B	1	PD07DEC17	3088.00	3232.00	USD	3,600.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	3086.50	3232.00	USD	7,275.00	
07SEP17	B	1	PD07DEC17	3086.00	3232.00	USD	3,650.00	
07SEP17	B	1	PD07DEC17	3085.50	3232.00	USD	3,662.50	
07SEP17	B	1	PD07DEC17	3084.50	3232.00	USD	3,687.50	
07SEP17	B	1	PD07DEC17	3084.00	3232.00	USD	3,700.00	
07SEP17	B	1	PD07DEC17	3083.00	3232.00	USD	3,725.00	
07SEP17	B	3	PD07DEC17	3082.00	3232.00	USD	11,250.00	
07SEP17	B	1	PD07DEC17	3081.00	3232.00	USD	3,775.00	
07SEP17	B	1	PD07DEC17	3075.50	3232.00	USD	3,912.50	
07SEP17	B	1	PD07DEC17	3077.00	3232.00	USD	3,875.00	
07SEP17	B	1	PD07DEC17	3076.50	3232.00	USD	3,887.50	
07SEP17	B	1	PD07DEC17	3080.00	3232.00	USD	3,800.00	
07SEP17	B	1	PD07DEC17	3079.00	3232.00	USD	3,825.00	
07SEP17	B	2	PD07DEC17	3077.50	3232.00	USD	7,725.00	
07SEP17	B	1	PD07DEC17	3085.00	3232.00	USD	3,675.00	
07SEP17	S	2	PD07DEC17	3093.00	3232.00	USD	-6,950.00	
07SEP17	S	2	PD07DEC17	3088.50	3232.00	USD	-7,175.00	
07SEP17	S	2	PD07DEC17	3089.00	3232.00	USD	-7,150.00	
07SEP17	S	1	PD07DEC17	3089.50	3232.00	USD	-3,562.50	
07SEP17	S	1	PD07DEC17	3090.50	3232.00	USD	-3,537.50	
07SEP17	S	1	PD07DEC17	3090.00	3232.00	USD	-3,550.00	
07SEP17	S	5	PD07DEC17	3088.00	3232.00	USD	-18,000.00	
07SEP17	S	1	PD07DEC17	3092.50	3232.00	USD	-3,487.50	
07SEP17	B	1	PD07DEC17	3099.00	3232.00	USD	3,325.00	
07SEP17	S	1	PD07DEC17	3097.00	3232.00	USD	-3,375.00	
07SEP17	S	3	PD07DEC17	3101.50	3232.00	USD	-9,787.50	
07SEP17	S	1	PD07DEC17	3101.00	3232.00	USD	-3,275.00	
07SEP17	S	6	PD07DEC17	3103.00	3232.00	USD	-19,350.00	
07SEP17	S	1	PD07DEC17	3103.50	3232.00	USD	-3,212.50	
07SEP17	S	3	PD07DEC17	3100.50	3232.00	USD	-9,862.50	
07SEP17	S	1	PD07DEC17	3102.00	3232.00	USD	-3,250.00	
07SEP17	S	3	PD07DEC17	3099.00	3232.00	USD	-9,975.00	
07SEP17	S	2	PD07DEC17	3097.50	3232.00	USD	-6,725.00	
07SEP17	S	6	PD07DEC17	3099.50	3232.00	USD	-19,875.00	
07SEP17	S	2	PD07DEC17	3098.50	3232.00	USD	-6,675.00	
07SEP17	S	1	PD07DEC17	3098.00	3232.00	USD	-3,350.00	
07SEP17	S	1	PD07DEC17	3094.50	3232.00	USD	-3,437.50	
07SEP17	S	2	PD07DEC17	3095.00	3232.00	USD	-6,850.00	
07SEP17	S	1	PD07DEC17	3096.50	3232.00	USD	-3,387.50	
07SEP17	S	2	PD07DEC17	3095.50	3232.00	USD	-6,825.00	
07SEP17	S	2	PD07DEC17	3100.00	3232.00	USD	-6,600.00	
07SEP17	S	1	PD07DEC17	3116.50	3232.00	USD	-2,887.50	
07SEP17	B	1	PD07DEC17	3116.00	3232.00	USD	2,900.00	
07SEP17	B	1	PD07DEC17	3118.00	3232.00	USD	2,850.00	
07SEP17	B	2	PD07DEC17	3117.50	3232.00	USD	5,725.00	
07SEP17	B	1	PD07DEC17	3115.50	3232.00	USD	2,912.50	
07SEP17	S	1	PD07DEC17	3121.00	3232.00	USD	-2,775.00	
07SEP17	B	2	PD07DEC17	3111.50	3232.00	USD	6,025.00	
07SEP17	B	3	PD07DEC17	3110.00	3232.00	USD	9,150.00	
07SEP17	S	1	PD07DEC17	3110.00	3232.00	USD	-3,050.00	
07SEP17	B	2	PD07DEC17	3108.00	3232.00	USD	6,200.00	
07SEP17	B	3	PD07DEC17	3105.00	3232.00	USD	9,525.00	
07SEP17	B	2	PD07DEC17	3106.00	3232.00	USD	6,300.00	
07SEP17	B	1	PD07DEC17	3105.50	3232.00	USD	3,162.50	
07SEP17	B	1	PD07DEC17	3106.50	3232.00	USD	3,137.50	
07SEP17	S	1	PD07DEC17	3120.00	3232.00	USD	-2,800.00	
07SEP17	S	3	PD07DEC17	3116.00	3232.00	USD	-8,700.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	2	PD07DEC17	3112.50	3232.00	USD	-5,975.00	
07SEP17	S	1	PD07DEC17	3114.00	3232.00	USD	-2,950.00	
07SEP17	S	3	PD07DEC17	3117.00	3232.00	USD	-8,625.00	
07SEP17	S	1	PD07DEC17	3119.50	3232.00	USD	-2,812.50	
07SEP17	S	1	PD07DEC17	3122.50	3232.00	USD	-2,737.50	
07SEP17	S	1	PD07DEC17	3121.50	3232.00	USD	-2,762.50	
07SEP17	B	1	PD07DEC17	3122.00	3232.00	USD	2,750.00	
07SEP17	B	2	PD07DEC17	3122.50	3232.00	USD	5,475.00	
07SEP17	B	2	PD07DEC17	3137.50	3232.00	USD	4,725.00	
07SEP17	S	1	PD07DEC17	3138.00	3232.00	USD	-2,350.00	
07SEP17	S	1	PD07DEC17	3138.50	3232.00	USD	-2,337.50	
07SEP17	S	1	PD07DEC17	3140.00	3232.00	USD	-2,300.00	
07SEP17	S	1	PD07DEC17	3139.50	3232.00	USD	-2,312.50	
07SEP17	B	1	PD07DEC17	3141.00	3232.00	USD	2,275.00	
07SEP17	S	2	PD07DEC17	3097.00	3232.00	USD	-6,750.00	
07SEP17	B	1	PD07DEC17	3131.50	3232.00	USD	2,512.50	
07SEP17	B	2	PD07DEC17	3130.50	3232.00	USD	5,075.00	
07SEP17	B	1	PD07DEC17	3130.00	3232.00	USD	2,550.00	
07SEP17	B	1	PD07DEC17	3129.50	3232.00	USD	2,562.50	
07SEP17	B	1	PD07DEC17	3124.50	3232.00	USD	2,687.50	
07SEP17	S	1	PD07DEC17	3123.00	3232.00	USD	-2,725.00	
07SEP17	B	1	PD07DEC17	3127.50	3232.00	USD	2,612.50	
07SEP17	B	1	PD07DEC17	3126.50	3232.00	USD	2,637.50	
07SEP17	B	3	PD07DEC17	3125.00	3232.00	USD	8,025.00	
07SEP17	B	2	PD07DEC17	3126.00	3232.00	USD	5,300.00	
07SEP17	B	2	PD07DEC17	3135.00	3232.00	USD	4,850.00	
07SEP17	B	1	PD07DEC17	3135.50	3232.00	USD	2,412.50	
07SEP17	B	1	PD07DEC17	3136.00	3232.00	USD	2,400.00	
08SEP17	B	5	PD07DEC17	3031.01	3232.00	USD	25,123.75	
13SEP17	S	3	PD07DEC17	3023.69	3232.00	USD	-15,623.25	
	NET	0	AVG BGHT 3101.16	SOLD 3100.56			-1,187.00	0.00
08SEP17	S	1	PD08DEC17	3139.50	3231.67	USD	-2,304.25	
08SEP17	B	1	PD08DEC17	3140.50	3231.67	USD	2,279.25	
08SEP17	B	1	PD08DEC17	3139.00	3231.67	USD	2,316.75	
08SEP17	B	2	PD08DEC17	3139.50	3231.67	USD	4,608.50	
08SEP17	B	1	PD08DEC17	3135.00	3231.67	USD	2,416.75	
08SEP17	S	2	PD08DEC17	3133.50	3231.67	USD	-4,908.50	
08SEP17	S	4	PD08DEC17	3134.00	3231.67	USD	-9,767.00	
08SEP17	S	1	PD08DEC17	3134.50	3231.67	USD	-2,429.25	
08SEP17	S	2	PD08DEC17	3135.50	3231.67	USD	-4,808.50	
08SEP17	B	1	PD08DEC17	3130.50	3231.67	USD	2,529.25	
08SEP17	B	1	PD08DEC17	3128.50	3231.67	USD	2,579.25	
08SEP17	B	2	PD08DEC17	3127.00	3231.67	USD	5,233.50	
08SEP17	B	1	PD08DEC17	3126.00	3231.67	USD	2,641.75	
08SEP17	B	3	PD08DEC17	3125.50	3231.67	USD	7,962.75	
08SEP17	B	1	PD08DEC17	3123.50	3231.67	USD	2,704.25	
08SEP17	B	4	PD08DEC17	3123.00	3231.67	USD	10,867.00	
08SEP17	B	1	PD08DEC17	3122.50	3231.67	USD	2,729.25	
08SEP17	B	3	PD08DEC17	3120.50	3231.67	USD	8,337.75	
08SEP17	B	1	PD08DEC17	3120.00	3231.67	USD	2,791.75	
08SEP17	S	1	PD08DEC17	3124.00	3231.67	USD	-2,691.75	
08SEP17	S	1	PD08DEC17	3123.50	3231.67	USD	-2,704.25	
08SEP17	S	1	PD08DEC17	3125.00	3231.67	USD	-2,666.75	
08SEP17	S	2	PD08DEC17	3124.50	3231.67	USD	-5,358.50	
08SEP17	S	3	PD08DEC17	3123.00	3231.67	USD	-8,150.25	
08SEP17	S	1	PD08DEC17	3121.00	3231.67	USD	-2,766.75	
08SEP17	B	3	PD08DEC17	3122.00	3231.67	USD	8,225.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	3121.00	3231.67	USD	2,766.75	
08SEP17	B	2	PD08DEC17	3119.00	3231.67	USD	5,633.50	
08SEP17	B	7	PD08DEC17	3119.50	3231.67	USD	19,629.75	
08SEP17	B	1	PD08DEC17	3116.50	3231.67	USD	2,879.25	
08SEP17	B	2	PD08DEC17	3118.00	3231.67	USD	5,683.50	
08SEP17	B	4	PD08DEC17	3117.50	3231.67	USD	11,417.00	
08SEP17	B	2	PD08DEC17	3116.00	3231.67	USD	5,783.50	
08SEP17	B	1	PD08DEC17	3115.50	3231.67	USD	2,904.25	
08SEP17	B	2	PD08DEC17	3114.00	3231.67	USD	5,883.50	
08SEP17	B	1	PD08DEC17	3112.00	3231.67	USD	2,991.75	
08SEP17	B	1	PD08DEC17	3111.50	3231.67	USD	3,004.25	
08SEP17	B	1	PD08DEC17	3110.00	3231.67	USD	3,041.75	
08SEP17	B	2	PD08DEC17	3107.50	3231.67	USD	6,208.50	
08SEP17	B	1	PD08DEC17	3106.50	3231.67	USD	3,129.25	
08SEP17	B	2	PD08DEC17	3109.50	3231.67	USD	6,108.50	
08SEP17	B	2	PD08DEC17	3108.00	3231.67	USD	6,183.50	
08SEP17	B	2	PD08DEC17	3104.00	3231.67	USD	6,383.50	
08SEP17	B	2	PD08DEC17	3106.00	3231.67	USD	6,283.50	
08SEP17	B	1	PD08DEC17	3107.00	3231.67	USD	3,116.75	
08SEP17	B	2	PD08DEC17	3105.50	3231.67	USD	6,308.50	
08SEP17	B	1	PD08DEC17	3102.50	3231.67	USD	3,229.25	
08SEP17	B	1	PD08DEC17	3098.50	3231.67	USD	3,329.25	
08SEP17	B	1	PD08DEC17	3099.50	3231.67	USD	3,304.25	
08SEP17	B	2	PD08DEC17	3099.00	3231.67	USD	6,633.50	
08SEP17	B	1	PD08DEC17	3093.50	3231.67	USD	3,454.25	
08SEP17	S	1	PD08DEC17	3083.50	3231.67	USD	-3,704.25	
08SEP17	S	2	PD08DEC17	3090.50	3231.67	USD	-7,058.50	
08SEP17	S	2	PD08DEC17	3088.50	3231.67	USD	-7,158.50	
08SEP17	S	3	PD08DEC17	3092.50	3231.67	USD	-10,437.75	
08SEP17	B	1	PD08DEC17	3085.50	3231.67	USD	3,654.25	
08SEP17	B	2	PD08DEC17	3081.00	3231.67	USD	7,533.50	
08SEP17	B	1	PD08DEC17	3080.50	3231.67	USD	3,779.25	
08SEP17	B	1	PD08DEC17	3081.50	3231.67	USD	3,754.25	
08SEP17	B	4	PD08DEC17	3083.00	3231.67	USD	14,867.00	
08SEP17	B	2	PD08DEC17	3088.00	3231.67	USD	7,183.50	
08SEP17	S	2	PD08DEC17	3093.50	3231.67	USD	-6,908.50	
08SEP17	S	2	PD08DEC17	3093.00	3231.67	USD	-6,933.50	
08SEP17	B	2	PD08DEC17	3084.50	3231.67	USD	7,358.50	
08SEP17	B	2	PD08DEC17	3084.00	3231.67	USD	7,383.50	
08SEP17	B	1	PD08DEC17	3086.50	3231.67	USD	3,629.25	
08SEP17	B	1	PD08DEC17	3083.50	3231.67	USD	3,704.25	
08SEP17	B	1	PD08DEC17	3086.00	3231.67	USD	3,641.75	
08SEP17	S	1	PD08DEC17	3134.00	3231.67	USD	-2,441.75	
08SEP17	B	1	PD08DEC17	3121.00	3231.67	USD	2,766.75	
08SEP17	B	1	PD08DEC17	3104.00	3231.67	USD	3,191.75	
08SEP17	B	1	PD08DEC17	3105.00	3231.67	USD	3,166.75	
08SEP17	S	1	PD08DEC17	3091.50	3231.67	USD	-3,504.25	
08SEP17	S	4	PD08DEC17	3092.00	3231.67	USD	-13,967.00	
08SEP17	S	6	PD08DEC17	3094.00	3231.67	USD	-20,650.50	
08SEP17	S	3	PD08DEC17	3094.50	3231.67	USD	-10,287.75	
08SEP17	S	1	PD08DEC17	3095.00	3231.67	USD	-3,416.75	
08SEP17	S	6	PD08DEC17	3095.50	3231.67	USD	-20,425.50	
08SEP17	S	1	PD08DEC17	3098.50	3231.67	USD	-3,329.25	
08SEP17	S	1	PD08DEC17	3098.00	3231.67	USD	-3,341.75	
08SEP17	S	1	PD08DEC17	3097.50	3231.67	USD	-3,354.25	
08SEP17	B	1	PD08DEC17	3087.00	3231.67	USD	3,616.75	
08SEP17	B	1	PD08DEC17	3082.00	3231.67	USD	3,741.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	3074.50	3231.67	USD	-3,929.25	
08SEP17	S	1	PD08DEC17	3080.00	3231.67	USD	-3,791.75	
08SEP17	S	2	PD08DEC17	3079.00	3231.67	USD	-7,633.50	
08SEP17	S	2	PD08DEC17	3078.00	3231.67	USD	-7,683.50	
08SEP17	S	1	PD08DEC17	3079.50	3231.67	USD	-3,804.25	
08SEP17	S	1	PD08DEC17	3081.00	3231.67	USD	-3,766.75	
08SEP17	S	1	PD08DEC17	3083.00	3231.67	USD	-3,716.75	
08SEP17	S	1	PD08DEC17	3084.00	3231.67	USD	-3,691.75	
08SEP17	S	2	PD08DEC17	3085.00	3231.67	USD	-7,333.50	
08SEP17	S	3	PD08DEC17	3087.50	3231.67	USD	-10,812.75	
08SEP17	S	1	PD08DEC17	3089.00	3231.67	USD	-3,566.75	
08SEP17	B	1	PD08DEC17	3071.00	3231.67	USD	4,016.75	
08SEP17	B	1	PD08DEC17	3073.50	3231.67	USD	3,954.25	
08SEP17	B	1	PD08DEC17	3073.00	3231.67	USD	3,966.75	
08SEP17	B	1	PD08DEC17	3071.50	3231.67	USD	4,004.25	
08SEP17	B	1	PD08DEC17	3070.50	3231.67	USD	4,029.25	
08SEP17	B	1	PD08DEC17	3068.50	3231.67	USD	4,079.25	
08SEP17	B	1	PD08DEC17	3069.00	3231.67	USD	4,066.75	
08SEP17	B	3	PD08DEC17	3070.00	3231.67	USD	12,125.25	
08SEP17	S	2	PD08DEC17	3076.50	3231.67	USD	-7,758.50	
08SEP17	S	1	PD08DEC17	3075.00	3231.67	USD	-3,916.75	
08SEP17	S	2	PD08DEC17	3077.00	3231.67	USD	-7,733.50	
08SEP17	S	2	PD08DEC17	3078.50	3231.67	USD	-7,658.50	
08SEP17	B	3	PD08DEC17	3072.00	3231.67	USD	11,975.25	
08SEP17	S	1	PD08DEC17	3069.00	3231.67	USD	-4,066.75	
08SEP17	S	1	PD08DEC17	3064.50	3231.67	USD	-4,179.25	
08SEP17	S	1	PD08DEC17	3065.00	3231.67	USD	-4,166.75	
08SEP17	S	1	PD08DEC17	3059.00	3231.67	USD	-4,316.75	
08SEP17	S	2	PD08DEC17	3060.00	3231.67	USD	-8,583.50	
08SEP17	S	1	PD08DEC17	3062.00	3231.67	USD	-4,241.75	
08SEP17	S	2	PD08DEC17	3063.50	3231.67	USD	-8,408.50	
08SEP17	S	2	PD08DEC17	3064.00	3231.67	USD	-8,383.50	
08SEP17	S	1	PD08DEC17	3061.00	3231.67	USD	-4,266.75	
08SEP17	S	1	PD08DEC17	3061.50	3231.67	USD	-4,254.25	
08SEP17	B	1	PD08DEC17	3056.00	3231.67	USD	4,391.75	
08SEP17	B	1	PD08DEC17	3041.00	3231.67	USD	4,766.75	
08SEP17	S	1	PD08DEC17	3045.00	3231.67	USD	-4,666.75	
08SEP17	S	1	PD08DEC17	3046.50	3231.67	USD	-4,629.25	
08SEP17	S	1	PD08DEC17	3047.00	3231.67	USD	-4,616.75	
08SEP17	S	4	PD08DEC17	3050.50	3231.67	USD	-18,117.00	
08SEP17	S	2	PD08DEC17	3050.00	3231.67	USD	-9,083.50	
08SEP17	S	2	PD08DEC17	3048.50	3231.67	USD	-9,158.50	
08SEP17	B	2	PD08DEC17	3035.00	3231.67	USD	9,833.50	
08SEP17	S	1	PD08DEC17	3036.50	3231.67	USD	-4,879.25	
08SEP17	S	2	PD08DEC17	3037.00	3231.67	USD	-9,733.50	
08SEP17	S	2	PD08DEC17	3037.50	3231.67	USD	-9,708.50	
08SEP17	B	1	PD08DEC17	3032.00	3231.67	USD	4,991.75	
08SEP17	B	1	PD08DEC17	3030.00	3231.67	USD	5,041.75	
08SEP17	B	1	PD08DEC17	3029.00	3231.67	USD	5,066.75	
13SEP17	S	2	PD08DEC17	3023.75	3231.67	USD	-10,396.00	
19SEP17	S	4	PD08DEC17	3104.36	3231.67	USD	-12,731.00	
	NET	0AVG BGHT 3100.86	SOLD 3086.10				-42,064.00	0.00
11SEP17	S	2	PD11DEC17	3053.50	3231.33	USD	-8,891.50	
11SEP17	S	1	PD11DEC17	3056.00	3231.33	USD	-4,383.25	
11SEP17	S	1	PD11DEC17	3055.00	3231.33	USD	-4,408.25	
11SEP17	S	4	PD11DEC17	3057.50	3231.33	USD	-17,383.00	
11SEP17	S	1	PD11DEC17	3057.00	3231.33	USD	-4,358.25	

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11SEP17	S	1	PD11DEC17	3054.00	3231.33	USD	-4,433.25	
11SEP17	S	4	PD11DEC17	3054.50	3231.33	USD	-17,683.00	
11SEP17	S	1	PD11DEC17	3055.50	3231.33	USD	-4,395.75	
11SEP17	S	3	PD11DEC17	3059.00	3231.33	USD	-12,924.75	
11SEP17	S	2	PD11DEC17	3061.00	3231.33	USD	-8,516.50	
11SEP17	S	1	PD11DEC17	3063.00	3231.33	USD	-4,208.25	
11SEP17	S	1	PD11DEC17	3064.00	3231.33	USD	-4,183.25	
11SEP17	S	2	PD11DEC17	3065.00	3231.33	USD	-8,316.50	
11SEP17	S	1	PD11DEC17	3067.00	3231.33	USD	-4,108.25	
11SEP17	S	1	PD11DEC17	3075.00	3231.33	USD	-3,908.25	
11SEP17	S	1	PD11DEC17	3075.50	3231.33	USD	-3,895.75	
11SEP17	S	4	PD11DEC17	3076.00	3231.33	USD	-15,533.00	
11SEP17	B	1	PD11DEC17	3075.50	3231.33	USD	3,895.75	
11SEP17	S	1	PD11DEC17	3089.50	3231.33	USD	-3,545.75	
11SEP17	S	1	PD11DEC17	3090.50	3231.33	USD	-3,520.75	
11SEP17	S	1	PD11DEC17	3092.50	3231.33	USD	-3,470.75	
11SEP17	S	1	PD11DEC17	3094.00	3231.33	USD	-3,433.25	
11SEP17	S	1	PD11DEC17	3095.00	3231.33	USD	-3,408.25	
11SEP17	S	1	PD11DEC17	3093.00	3231.33	USD	-3,458.25	
11SEP17	S	1	PD11DEC17	3102.50	3231.33	USD	-3,220.75	
11SEP17	S	1	PD11DEC17	3103.00	3231.33	USD	-3,208.25	
11SEP17	S	1	PD11DEC17	3054.00	3231.33	USD	-4,433.25	
11SEP17	S	1	PD11DEC17	3070.50	3231.33	USD	-4,020.75	
11SEP17	S	1	PD11DEC17	3102.00	3231.33	USD	-3,233.25	
11SEP17	B	1	PD11DEC17	3098.50	3231.33	USD	3,320.75	
11SEP17	B	2	PD11DEC17	3097.50	3231.33	USD	6,691.50	
11SEP17	B	1	PD11DEC17	3097.00	3231.33	USD	3,358.25	
11SEP17	B	2	PD11DEC17	3094.50	3231.33	USD	6,841.50	
11SEP17	B	1	PD11DEC17	3094.00	3231.33	USD	3,433.25	
11SEP17	B	1	PD11DEC17	3091.50	3231.33	USD	3,495.75	
11SEP17	B	1	PD11DEC17	3092.00	3231.33	USD	3,483.25	
11SEP17	S	1	PD11DEC17	3089.00	3231.33	USD	-3,558.25	
11SEP17	B	1	PD11DEC17	3089.50	3231.33	USD	3,545.75	
11SEP17	B	1	PD11DEC17	3089.00	3231.33	USD	3,558.25	
11SEP17	B	2	PD11DEC17	3083.50	3231.33	USD	7,391.50	
11SEP17	B	3	PD11DEC17	3086.00	3231.33	USD	10,899.75	
11SEP17	B	3	PD11DEC17	3082.50	3231.33	USD	11,162.25	
11SEP17	B	1	PD11DEC17	3082.00	3231.33	USD	3,733.25	
11SEP17	B	2	PD11DEC17	3081.50	3231.33	USD	7,491.50	
11SEP17	B	1	PD11DEC17	3078.50	3231.33	USD	3,820.75	
11SEP17	B	1	PD11DEC17	3079.00	3231.33	USD	3,808.25	
11SEP17	B	1	PD11DEC17	3077.00	3231.33	USD	3,858.25	
11SEP17	B	3	PD11DEC17	3078.00	3231.33	USD	11,499.75	
11SEP17	B	1	PD11DEC17	3077.50	3231.33	USD	3,845.75	
11SEP17	B	3	PD11DEC17	3076.50	3231.33	USD	11,612.25	
11SEP17	B	1	PD11DEC17	3074.00	3231.33	USD	3,933.25	
11SEP17	B	1	PD11DEC17	3067.00	3231.33	USD	4,108.25	
11SEP17	B	1	PD11DEC17	3071.50	3231.33	USD	3,995.75	
11SEP17	B	1	PD11DEC17	3071.00	3231.33	USD	4,008.25	
11SEP17	B	1	PD11DEC17	3070.00	3231.33	USD	4,033.25	
11SEP17	B	1	PD11DEC17	3069.00	3231.33	USD	4,058.25	
11SEP17	B	1	PD11DEC17	3068.50	3231.33	USD	4,070.75	
11SEP17	B	1	PD11DEC17	3050.00	3231.33	USD	4,533.25	
11SEP17	S	1	PD11DEC17	3051.50	3231.33	USD	-4,495.75	
11SEP17	B	2	PD11DEC17	3101.00	3231.33	USD	6,516.50	
11SEP17	B	1	PD11DEC17	3069.00	3231.33	USD	4,058.25	
11SEP17	S	3	PD11DEC17	3076.50	3231.33	USD	-11,612.25	

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11SEP17	B	2	PD11DEC17	3079.50	3231.33	USD	7,591.50	
11SEP17	S	1	PD11DEC17	3082.50	3231.33	USD	-3,720.75	
11SEP17	S	1	PD11DEC17	3084.00	3231.33	USD	-3,683.25	
13SEP17	B	3	PD11DEC17	3023.87	3231.33	USD	15,559.50	
	NET	0AVG BGHT 3078.50	SOLD 3070.06				-10,340.25	0.00
12SEP17	S	1	PD12DEC17	3087.00	3231.00	USD	-3,600.00	
12SEP17	S	3	PD12DEC17	3084.50	3231.00	USD	-10,987.50	
12SEP17	B	2	PD12DEC17	3088.00	3231.00	USD	7,150.00	
12SEP17	B	2	PD12DEC17	3086.00	3231.00	USD	7,250.00	
12SEP17	B	1	PD12DEC17	3086.50	3231.00	USD	3,612.50	
12SEP17	B	2	PD12DEC17	3087.00	3231.00	USD	7,200.00	
12SEP17	B	4	PD12DEC17	3090.00	3231.00	USD	14,100.00	
12SEP17	B	3	PD12DEC17	3093.00	3231.00	USD	10,350.00	
12SEP17	B	4	PD12DEC17	3092.50	3231.00	USD	13,850.00	
12SEP17	B	4	PD12DEC17	3089.00	3231.00	USD	14,200.00	
12SEP17	B	4	PD12DEC17	3088.50	3231.00	USD	14,250.00	
12SEP17	B	6	PD12DEC17	3091.50	3231.00	USD	20,925.00	
12SEP17	S	1	PD12DEC17	3093.50	3231.00	USD	-3,437.50	
12SEP17	S	1	PD12DEC17	3091.50	3231.00	USD	-3,487.50	
12SEP17	S	4	PD12DEC17	3091.00	3231.00	USD	-14,000.00	
12SEP17	S	1	PD12DEC17	3089.50	3231.00	USD	-3,537.50	
12SEP17	S	4	PD12DEC17	3090.50	3231.00	USD	-14,050.00	
12SEP17	S	9	PD12DEC17	3090.00	3231.00	USD	-31,725.00	
12SEP17	S	4	PD12DEC17	3085.50	3231.00	USD	-14,550.00	
12SEP17	S	15	PD12DEC17	3084.00	3231.00	USD	-55,125.00	
12SEP17	S	4	PD12DEC17	3083.50	3231.00	USD	-14,750.00	
12SEP17	S	3	PD12DEC17	3083.00	3231.00	USD	-11,100.00	
12SEP17	S	9	PD12DEC17	3082.50	3231.00	USD	-33,412.50	
12SEP17	S	1	PD12DEC17	3081.50	3231.00	USD	-3,737.50	
12SEP17	S	2	PD12DEC17	3082.00	3231.00	USD	-7,450.00	
12SEP17	B	1	PD12DEC17	3075.00	3231.00	USD	3,900.00	
12SEP17	B	1	PD12DEC17	3073.00	3231.00	USD	3,950.00	
12SEP17	B	1	PD12DEC17	3072.50	3231.00	USD	3,962.50	
12SEP17	S	2	PD12DEC17	3081.00	3231.00	USD	-7,500.00	
12SEP17	S	4	PD12DEC17	3080.00	3231.00	USD	-15,100.00	
12SEP17	S	3	PD12DEC17	3078.00	3231.00	USD	-11,475.00	
12SEP17	S	2	PD12DEC17	3078.50	3231.00	USD	-7,625.00	
12SEP17	S	3	PD12DEC17	3079.50	3231.00	USD	-11,362.50	
12SEP17	B	11	PD12DEC17	3070.00	3231.00	USD	44,275.00	
12SEP17	B	1	PD12DEC17	3068.50	3231.00	USD	4,062.50	
12SEP17	B	5	PD12DEC17	3067.50	3231.00	USD	20,437.50	
12SEP17	B	2	PD12DEC17	3067.00	3231.00	USD	8,200.00	
12SEP17	B	1	PD12DEC17	3062.50	3231.00	USD	4,212.50	
12SEP17	S	1	PD12DEC17	3066.00	3231.00	USD	-4,125.00	
12SEP17	S	1	PD12DEC17	3057.50	3231.00	USD	-4,337.50	
12SEP17	S	1	PD12DEC17	3060.50	3231.00	USD	-4,262.50	
12SEP17	S	1	PD12DEC17	3061.00	3231.00	USD	-4,250.00	
12SEP17	S	1	PD12DEC17	3064.00	3231.00	USD	-4,175.00	
12SEP17	B	1	PD12DEC17	3089.00	3231.00	USD	3,550.00	
12SEP17	B	4	PD12DEC17	3093.00	3231.00	USD	13,800.00	
12SEP17	S	1	PD12DEC17	3080.00	3231.00	USD	-3,775.00	
12SEP17	B	1	PD12DEC17	3068.00	3231.00	USD	4,075.00	
12SEP17	S	1	PD12DEC17	3062.50	3231.00	USD	-4,212.50	
12SEP17	S	2	PD12DEC17	3064.50	3231.00	USD	-8,325.00	
12SEP17	B	2	PD12DEC17	3073.50	3231.00	USD	7,875.00	
12SEP17	B	2	PD12DEC17	3076.50	3231.00	USD	7,725.00	
12SEP17	S	1	PD12DEC17	3075.50	3231.00	USD	-3,887.50	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	3077.00	3231.00	USD	-3,850.00	
12SEP17	S	2	PD12DEC17	3072.50	3231.00	USD	-7,925.00	
12SEP17	B	2	PD12DEC17	3071.50	3231.00	USD	7,975.00	
12SEP17	B	4	PD12DEC17	3070.50	3231.00	USD	16,050.00	
12SEP17	B	10	PD12DEC17	3069.50	3231.00	USD	40,375.00	
12SEP17	B	2	PD12DEC17	3068.00	3231.00	USD	8,150.00	
12SEP17	S	2	PD12DEC17	3068.50	3231.00	USD	-8,125.00	
12SEP17	S	1	PD12DEC17	3063.50	3231.00	USD	-4,187.50	
12SEP17	B	2	PD12DEC17	3063.50	3231.00	USD	8,375.00	
12SEP17	S	1	PD12DEC17	3063.00	3231.00	USD	-4,200.00	
12SEP17	B	3	PD12DEC17	3064.00	3231.00	USD	12,525.00	
12SEP17	B	2	PD12DEC17	3062.00	3231.00	USD	8,450.00	
12SEP17	B	2	PD12DEC17	3059.50	3231.00	USD	8,575.00	
12SEP17	B	1	PD12DEC17	3058.50	3231.00	USD	4,312.50	
12SEP17	B	7	PD12DEC17	3065.00	3231.00	USD	29,050.00	
12SEP17	B	2	PD12DEC17	3064.50	3231.00	USD	8,325.00	
12SEP17	S	1	PD12DEC17	3075.00	3231.00	USD	-3,900.00	
12SEP17	S	5	PD12DEC17	3079.00	3231.00	USD	-19,000.00	
12SEP17	B	3	PD12DEC17	3074.50	3231.00	USD	11,737.50	
12SEP17	S	1	PD12DEC17	3072.00	3231.00	USD	-3,975.00	
12SEP17	B	1	PD12DEC17	3061.50	3231.00	USD	4,237.50	
12SEP17	B	2	PD12DEC17	3063.00	3231.00	USD	8,400.00	
12SEP17	S	1	PD12DEC17	3069.50	3231.00	USD	-4,037.50	
12SEP17	S	3	PD12DEC17	3070.00	3231.00	USD	-12,075.00	
12SEP17	S	1	PD12DEC17	3066.50	3231.00	USD	-4,112.50	
12SEP17	S	1	PD12DEC17	3067.00	3231.00	USD	-4,100.00	
13SEP17	B	4	PD12DEC17	3023.78	3231.00	USD	20,722.00	
15SEP17	S	6	PD12DEC17	3030.53	3231.00	USD	-30,070.50	
	NET	0 AVG BGHT 3073.80	SOLD 3077.81				11,251.50	0.00
13SEP17	S	4	PD13DEC17	3053.00	3230.67	USD	-17,767.00	
13SEP17	S	1	PD13DEC17	3048.00	3230.67	USD	-4,566.75	
13SEP17	S	3	PD13DEC17	3050.00	3230.67	USD	-13,550.25	
13SEP17	S	1	PD13DEC17	3050.50	3230.67	USD	-4,504.25	
13SEP17	S	1	PD13DEC17	3051.00	3230.67	USD	-4,491.75	
13SEP17	B	1	PD13DEC17	3053.00	3230.67	USD	4,441.75	
13SEP17	S	1	PD13DEC17	3058.00	3230.67	USD	-4,316.75	
13SEP17	S	1	PD13DEC17	3063.50	3230.67	USD	-4,179.25	
13SEP17	S	1	PD13DEC17	3059.50	3230.67	USD	-4,279.25	
13SEP17	S	2	PD13DEC17	3060.50	3230.67	USD	-8,508.50	
13SEP17	S	1	PD13DEC17	3063.00	3230.67	USD	-4,191.75	
13SEP17	B	3	PD13DEC17	3052.50	3230.67	USD	13,362.75	
13SEP17	S	1	PD13DEC17	3056.00	3230.67	USD	-4,366.75	
13SEP17	S	3	PD13DEC17	3058.50	3230.67	USD	-12,912.75	
13SEP17	B	1	PD13DEC17	3055.00	3230.67	USD	4,391.75	
13SEP17	B	3	PD13DEC17	3051.50	3230.67	USD	13,437.75	
13SEP17	B	2	PD13DEC17	3052.00	3230.67	USD	8,933.50	
13SEP17	B	4	PD13DEC17	3051.00	3230.67	USD	17,967.00	
13SEP17	B	5	PD13DEC17	3050.00	3230.67	USD	22,583.75	
13SEP17	B	1	PD13DEC17	3049.00	3230.67	USD	4,541.75	
13SEP17	B	2	PD13DEC17	3046.00	3230.67	USD	9,233.50	
13SEP17	B	3	PD13DEC17	3044.00	3230.67	USD	14,000.25	
13SEP17	B	3	PD13DEC17	3045.00	3230.67	USD	13,925.25	
13SEP17	S	3	PD13DEC17	3052.50	3230.67	USD	-13,362.75	
13SEP17	B	1	PD13DEC17	3047.50	3230.67	USD	4,579.25	
13SEP17	B	1	PD13DEC17	3044.50	3230.67	USD	4,654.25	
13SEP17	S	2	PD13DEC17	3051.50	3230.67	USD	-8,958.50	
13SEP17	S	3	PD13DEC17	3053.50	3230.67	USD	-13,287.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	3	PD13DEC17	3052.00	3230.67	USD	-13,400.25	
13SEP17	B	4	PD13DEC17	3050.50	3230.67	USD	18,017.00	
13SEP17	B	2	PD13DEC17	3049.50	3230.67	USD	9,058.50	
13SEP17	B	3	PD13DEC17	3043.50	3230.67	USD	14,037.75	
13SEP17	B	2	PD13DEC17	3042.50	3230.67	USD	9,408.50	
13SEP17	B	1	PD13DEC17	3039.00	3230.67	USD	4,791.75	
13SEP17	B	2	PD13DEC17	3035.00	3230.67	USD	9,783.50	
13SEP17	B	3	PD13DEC17	3034.50	3230.67	USD	14,712.75	
13SEP17	B	1	PD13DEC17	3037.50	3230.67	USD	4,829.25	
13SEP17	B	1	PD13DEC17	3036.50	3230.67	USD	4,854.25	
13SEP17	B	3	PD13DEC17	3036.00	3230.67	USD	14,600.25	
13SEP17	B	1	PD13DEC17	3029.50	3230.67	USD	5,029.25	
13SEP17	B	2	PD13DEC17	3033.00	3230.67	USD	9,883.50	
13SEP17	B	1	PD13DEC17	3028.00	3230.67	USD	5,066.75	
13SEP17	S	1	PD13DEC17	3035.50	3230.67	USD	-4,879.25	
13SEP17	S	1	PD13DEC17	3037.00	3230.67	USD	-4,841.75	
13SEP17	S	1	PD13DEC17	3026.50	3230.67	USD	-5,104.25	
13SEP17	S	1	PD13DEC17	3030.50	3230.67	USD	-5,004.25	
13SEP17	S	1	PD13DEC17	3033.00	3230.67	USD	-4,941.75	
13SEP17	S	2	PD13DEC17	3034.00	3230.67	USD	-9,833.50	
13SEP17	S	3	PD13DEC17	3037.50	3230.67	USD	-14,487.75	
13SEP17	S	1	PD13DEC17	3039.00	3230.67	USD	-4,791.75	
13SEP17	S	3	PD13DEC17	3040.00	3230.67	USD	-14,300.25	
13SEP17	S	3	PD13DEC17	3039.50	3230.67	USD	-14,337.75	
13SEP17	S	1	PD13DEC17	3042.00	3230.67	USD	-4,716.75	
13SEP17	S	1	PD13DEC17	3042.50	3230.67	USD	-4,704.25	
13SEP17	B	1	PD13DEC17	3041.50	3230.67	USD	4,729.25	
13SEP17	B	1	PD13DEC17	3028.50	3230.67	USD	5,054.25	
13SEP17	B	1	PD13DEC17	3027.50	3230.67	USD	5,079.25	
13SEP17	B	3	PD13DEC17	3026.50	3230.67	USD	15,312.75	
13SEP17	S	1	PD13DEC17	3026.00	3230.67	USD	-5,116.75	
13SEP17	S	2	PD13DEC17	3029.00	3230.67	USD	-10,083.50	
13SEP17	S	3	PD13DEC17	3030.00	3230.67	USD	-15,050.25	
13SEP17	S	5	PD13DEC17	3029.50	3230.67	USD	-25,146.25	
13SEP17	B	1	PD13DEC17	3030.50	3230.67	USD	5,004.25	
13SEP17	B	1	PD13DEC17	3030.00	3230.67	USD	5,016.75	
13SEP17	S	1	PD13DEC17	3028.50	3230.67	USD	-5,054.25	
13SEP17	S	1	PD13DEC17	3029.50	3230.67	USD	-5,029.25	
15SEP17	B	2	PD13DEC17	3030.89	3230.67	USD	9,989.00	
19SEP17	S	2	PD13DEC17	3105.43	3230.67	USD	-6,262.00	
04OCT17	S	1	PD13DEC17	3306.10	3230.67	USD	1,885.75	
	NET	0AVG BGHT 3042.60	SOLD 3049.79				11,867.00	0.00
14SEP17	B	4	PD14DEC17	3036.00	3230.33	USD	19,433.00	
14SEP17	S	1	PD14DEC17	3035.00	3230.33	USD	-4,883.25	
14SEP17	S	1	PD14DEC17	3034.50	3230.33	USD	-4,895.75	
14SEP17	S	1	PD14DEC17	3031.00	3230.33	USD	-4,983.25	
14SEP17	S	4	PD14DEC17	3032.00	3230.33	USD	-19,833.00	
14SEP17	S	2	PD14DEC17	3030.50	3230.33	USD	-9,991.50	
14SEP17	S	4	PD14DEC17	3031.50	3230.33	USD	-19,883.00	
14SEP17	B	1	PD14DEC17	3025.50	3230.33	USD	5,120.75	
14SEP17	B	1	PD14DEC17	3022.00	3230.33	USD	5,208.25	
14SEP17	B	1	PD14DEC17	3020.50	3230.33	USD	5,245.75	
14SEP17	B	3	PD14DEC17	3021.50	3230.33	USD	15,662.25	
14SEP17	B	7	PD14DEC17	3021.00	3230.33	USD	36,632.75	
14SEP17	S	1	PD14DEC17	3021.50	3230.33	USD	-5,220.75	
14SEP17	B	2	PD14DEC17	3020.00	3230.33	USD	10,516.50	
14SEP17	B	3	PD14DEC17	3019.50	3230.33	USD	15,812.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	2	PD14DEC17	3018.00	3230.33	USD	10,616.50	
14SEP17	B	1	PD14DEC17	3016.50	3230.33	USD	5,345.75	
14SEP17	B	2	PD14DEC17	3017.00	3230.33	USD	10,666.50	
14SEP17	B	1	PD14DEC17	3015.50	3230.33	USD	5,370.75	
14SEP17	B	3	PD14DEC17	3012.50	3230.33	USD	16,337.25	
14SEP17	B	1	PD14DEC17	3007.00	3230.33	USD	5,583.25	
14SEP17	B	1	PD14DEC17	3006.50	3230.33	USD	5,595.75	
14SEP17	S	2	PD14DEC17	3016.00	3230.33	USD	-10,716.50	
14SEP17	B	3	PD14DEC17	3011.50	3230.33	USD	16,412.25	
14SEP17	S	1	PD14DEC17	3019.50	3230.33	USD	-5,270.75	
14SEP17	B	1	PD14DEC17	3014.50	3230.33	USD	5,395.75	
14SEP17	B	4	PD14DEC17	3014.00	3230.33	USD	21,633.00	
14SEP17	B	4	PD14DEC17	3013.50	3230.33	USD	21,683.00	
14SEP17	B	1	PD14DEC17	3011.00	3230.33	USD	5,483.25	
14SEP17	S	5	PD14DEC17	3015.00	3230.33	USD	-26,916.25	
14SEP17	S	1	PD14DEC17	3014.00	3230.33	USD	-5,408.25	
14SEP17	B	1	PD14DEC17	3005.50	3230.33	USD	5,620.75	
14SEP17	B	2	PD14DEC17	2998.00	3230.33	USD	11,616.50	
14SEP17	B	2	PD14DEC17	2999.50	3230.33	USD	11,541.50	
14SEP17	S	1	PD14DEC17	2998.50	3230.33	USD	-5,795.75	
14SEP17	B	1	PD14DEC17	2987.50	3230.33	USD	6,070.75	
14SEP17	S	1	PD14DEC17	2989.50	3230.33	USD	-6,020.75	
14SEP17	B	1	PD14DEC17	2989.50	3230.33	USD	6,020.75	
14SEP17	B	1	PD14DEC17	2989.00	3230.33	USD	6,033.25	
14SEP17	B	1	PD14DEC17	2992.50	3230.33	USD	5,945.75	
14SEP17	S	1	PD14DEC17	3002.00	3230.33	USD	-5,708.25	
14SEP17	S	1	PD14DEC17	3000.00	3230.33	USD	-5,758.25	
14SEP17	S	1	PD14DEC17	3000.50	3230.33	USD	-5,745.75	
14SEP17	S	1	PD14DEC17	3009.00	3230.33	USD	-5,533.25	
14SEP17	S	2	PD14DEC17	3003.00	3230.33	USD	-11,366.50	
14SEP17	B	1	PD14DEC17	2985.50	3230.33	USD	6,120.75	
14SEP17	S	1	PD14DEC17	2987.00	3230.33	USD	-6,083.25	
14SEP17	S	1	PD14DEC17	2977.50	3230.33	USD	-6,320.75	
14SEP17	S	1	PD14DEC17	2978.00	3230.33	USD	-6,308.25	
14SEP17	S	1	PD14DEC17	2980.00	3230.33	USD	-6,258.25	
14SEP17	S	2	PD14DEC17	2984.00	3230.33	USD	-12,316.50	
14SEP17	S	1	PD14DEC17	2987.50	3230.33	USD	-6,070.75	
14SEP17	S	1	PD14DEC17	2990.00	3230.33	USD	-6,008.25	
14SEP17	S	1	PD14DEC17	2989.00	3230.33	USD	-6,033.25	
14SEP17	S	1	PD14DEC17	2991.00	3230.33	USD	-5,983.25	
14SEP17	B	1	PD14DEC17	3012.50	3230.33	USD	5,445.75	
14SEP17	S	1	PD14DEC17	2999.00	3230.33	USD	-5,783.25	
14SEP17	B	1	PD14DEC17	2998.50	3230.33	USD	5,795.75	
14SEP17	B	1	PD14DEC17	2991.00	3230.33	USD	5,983.25	
14SEP17	B	1	PD14DEC17	2993.00	3230.33	USD	5,933.25	
14SEP17	S	2	PD14DEC17	2994.00	3230.33	USD	-11,816.50	
14SEP17	B	1	PD14DEC17	2996.50	3230.33	USD	5,845.75	
14SEP17	B	1	PD14DEC17	2994.00	3230.33	USD	5,908.25	
14SEP17	S	1	PD14DEC17	2995.00	3230.33	USD	-5,883.25	
14SEP17	S	2	PD14DEC17	2996.00	3230.33	USD	-11,716.50	
14SEP17	S	1	PD14DEC17	2996.50	3230.33	USD	-5,845.75	
14SEP17	S	2	PD14DEC17	2998.00	3230.33	USD	-11,616.50	
14SEP17	S	2	PD14DEC17	2999.50	3230.33	USD	-11,541.50	
14SEP17	S	2	PD14DEC17	3001.00	3230.33	USD	-11,466.50	
14SEP17	S	3	PD14DEC17	3003.50	3230.33	USD	-17,012.25	
14SEP17	S	2	PD14DEC17	3005.50	3230.33	USD	-11,241.50	
14SEP17	S	2	PD14DEC17	3006.00	3230.33	USD	-11,216.50	

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14SEP17	S	2	PD14DEC17	3005.00	3230.33	USD	-11,266.50	
14SEP17	S	2	PD14DEC17	3007.00	3230.33	USD	-11,166.50	
14SEP17	S	3	PD14DEC17	3007.50	3230.33	USD	-16,712.25	
14SEP17	S	2	PD14DEC17	3006.50	3230.33	USD	-11,191.50	
14SEP17	S	3	PD14DEC17	3004.00	3230.33	USD	-16,974.75	
14SEP17	S	3	PD14DEC17	3009.50	3230.33	USD	-16,562.25	
14SEP17	S	1	PD14DEC17	3011.00	3230.33	USD	-5,483.25	
14SEP17	S	1	PD14DEC17	3017.00	3230.33	USD	-5,333.25	
14SEP17	S	1	PD14DEC17	3016.50	3230.33	USD	-5,345.75	
14SEP17	S	7	PD14DEC17	3012.50	3230.33	USD	-38,120.25	
14SEP17	S	2	PD14DEC17	3013.00	3230.33	USD	-10,866.50	
14SEP17	S	1	PD14DEC17	3014.50	3230.33	USD	-5,395.75	
14SEP17	B	2	PD14DEC17	3015.00	3230.33	USD	10,766.50	
14SEP17	B	2	PD14DEC17	3013.00	3230.33	USD	10,866.50	
14SEP17	S	1	PD14DEC17	3013.50	3230.33	USD	-5,420.75	
14SEP17	S	1	PD14DEC17	3015.50	3230.33	USD	-5,370.75	
14SEP17	B	1	PD14DEC17	3008.50	3230.33	USD	5,545.75	
14SEP17	S	1	PD14DEC17	2991.00	3230.33	USD	-5,983.25	
14SEP17	S	1	PD14DEC17	2997.00	3230.33	USD	-5,833.25	
14SEP17	B	1	PD14DEC17	3008.00	3230.33	USD	5,558.25	
14SEP17	B	1	PD14DEC17	3003.00	3230.33	USD	5,683.25	
14SEP17	B	2	PD14DEC17	3002.00	3230.33	USD	11,416.50	
14SEP17	B	1	PD14DEC17	3004.00	3230.33	USD	5,658.25	
14SEP17	B	2	PD14DEC17	3003.50	3230.33	USD	11,341.50	
14SEP17	B	3	PD14DEC17	3002.50	3230.33	USD	17,087.25	
14SEP17	B	1	PD14DEC17	3000.50	3230.33	USD	5,745.75	
14SEP17	B	2	PD14DEC17	2997.50	3230.33	USD	11,641.50	
14SEP17	B	3	PD14DEC17	3006.00	3230.33	USD	16,824.75	
14SEP17	B	5	PD14DEC17	3004.50	3230.33	USD	28,228.75	
14SEP17	B	5	PD14DEC17	3005.00	3230.33	USD	28,166.25	
15SEP17	B	4	PD14DEC17	3031.04	3230.33	USD	19,929.00	
19SEP17	S	4	PD14DEC17	3105.64	3230.33	USD	-12,469.00	
	NET	0	AVG BGHT 3010.91				2,147.50	0.00
15SEP17	B	1	PD15DEC17	3044.00	3230.00	USD	4,650.00	
15SEP17	S	2	PD15DEC17	3013.00	3230.00	USD	-10,850.00	
15SEP17	B	1	PD15DEC17	3014.00	3230.00	USD	5,400.00	
15SEP17	S	3	PD15DEC17	3007.00	3230.00	USD	-16,725.00	
15SEP17	B	2	PD15DEC17	3004.50	3230.00	USD	11,275.00	
15SEP17	S	1	PD15DEC17	3006.00	3230.00	USD	-5,600.00	
15SEP17	S	2	PD15DEC17	3006.50	3230.00	USD	-11,175.00	
15SEP17	S	1	PD15DEC17	3009.00	3230.00	USD	-5,525.00	
15SEP17	S	1	PD15DEC17	3010.00	3230.00	USD	-5,500.00	
15SEP17	S	4	PD15DEC17	3012.50	3230.00	USD	-21,750.00	
15SEP17	B	1	PD15DEC17	3010.50	3230.00	USD	5,487.50	
15SEP17	B	1	PD15DEC17	3010.00	3230.00	USD	5,500.00	
15SEP17	B	1	PD15DEC17	3022.00	3230.00	USD	5,200.00	
15SEP17	S	1	PD15DEC17	3017.00	3230.00	USD	-5,325.00	
15SEP17	B	1	PD15DEC17	3019.50	3230.00	USD	5,262.50	
15SEP17	B	3	PD15DEC17	3018.50	3230.00	USD	15,862.50	
15SEP17	B	1	PD15DEC17	3006.00	3230.00	USD	5,600.00	
15SEP17	B	1	PD15DEC17	3004.00	3230.00	USD	5,650.00	
15SEP17	B	3	PD15DEC17	3013.00	3230.00	USD	16,275.00	
15SEP17	S	2	PD15DEC17	3021.00	3230.00	USD	-10,450.00	
15SEP17	S	2	PD15DEC17	3023.00	3230.00	USD	-10,350.00	
15SEP17	S	1	PD15DEC17	3026.00	3230.00	USD	-5,100.00	
15SEP17	S	1	PD15DEC17	3030.50	3230.00	USD	-4,987.50	
15SEP17	S	1	PD15DEC17	3031.00	3230.00	USD	-4,975.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	2	PD15DEC17	3029.00	3230.00	USD	10,050.00	
15SEP17	B	2	PD15DEC17	3032.50	3230.00	USD	9,875.00	
15SEP17	B	1	PD15DEC17	3024.00	3230.00	USD	5,150.00	
15SEP17	B	4	PD15DEC17	3025.00	3230.00	USD	20,500.00	
15SEP17	B	1	PD15DEC17	3024.50	3230.00	USD	5,137.50	
15SEP17	B	1	PD15DEC17	3005.00	3230.00	USD	5,625.00	
15SEP17	S	1	PD15DEC17	3012.50	3230.00	USD	-5,437.50	
15SEP17	S	1	PD15DEC17	3012.00	3230.00	USD	-5,450.00	
15SEP17	S	2	PD15DEC17	3018.50	3230.00	USD	-10,575.00	
15SEP17	S	1	PD15DEC17	3038.00	3230.00	USD	-4,800.00	
15SEP17	S	2	PD15DEC17	3040.00	3230.00	USD	-9,500.00	
15SEP17	S	1	PD15DEC17	3039.50	3230.00	USD	-4,762.50	
15SEP17	S	1	PD15DEC17	3040.50	3230.00	USD	-4,737.50	
15SEP17	S	1	PD15DEC17	3045.00	3230.00	USD	-4,625.00	
15SEP17	B	1	PD15DEC17	3039.50	3230.00	USD	4,762.50	
15SEP17	B	1	PD15DEC17	3034.00	3230.00	USD	4,900.00	
15SEP17	B	2	PD15DEC17	3033.50	3230.00	USD	9,825.00	
15SEP17	B	1	PD15DEC17	3027.00	3230.00	USD	5,075.00	
15SEP17	S	1	PD15DEC17	3034.50	3230.00	USD	-4,887.50	
15SEP17	S	1	PD15DEC17	3034.00	3230.00	USD	-4,900.00	
15SEP17	S	2	PD15DEC17	3035.00	3230.00	USD	-9,750.00	
15SEP17	B	1	PD15DEC17	3035.50	3230.00	USD	4,862.50	
15SEP17	B	1	PD15DEC17	3032.00	3230.00	USD	4,950.00	
15SEP17	B	1	PD15DEC17	3031.50	3230.00	USD	4,962.50	
15SEP17	S	1	PD15DEC17	3028.00	3230.00	USD	-5,050.00	
15SEP17	B	4	PD15DEC17	3021.50	3230.00	USD	20,850.00	
15SEP17	B	4	PD15DEC17	3018.00	3230.00	USD	21,200.00	
15SEP17	B	1	PD15DEC17	3014.50	3230.00	USD	5,387.50	
15SEP17	B	1	PD15DEC17	3009.00	3230.00	USD	5,525.00	
15SEP17	S	1	PD15DEC17	2998.50	3230.00	USD	-5,787.50	
15SEP17	B	1	PD15DEC17	2991.50	3230.00	USD	5,962.50	
15SEP17	B	1	PD15DEC17	2991.00	3230.00	USD	5,975.00	
15SEP17	B	1	PD15DEC17	2992.50	3230.00	USD	5,937.50	
15SEP17	S	1	PD15DEC17	3001.50	3230.00	USD	-5,712.50	
15SEP17	S	1	PD15DEC17	3011.00	3230.00	USD	-5,475.00	
15SEP17	S	4	PD15DEC17	3011.50	3230.00	USD	-21,850.00	
15SEP17	S	1	PD15DEC17	3015.50	3230.00	USD	-5,362.50	
15SEP17	S	1	PD15DEC17	3015.00	3230.00	USD	-5,375.00	
15SEP17	S	3	PD15DEC17	3014.50	3230.00	USD	-16,162.50	
15SEP17	S	1	PD15DEC17	3013.50	3230.00	USD	-5,412.50	
15SEP17	B	2	PD15DEC17	2995.50	3230.00	USD	11,725.00	
15SEP17	B	1	PD15DEC17	2994.00	3230.00	USD	5,900.00	
15SEP17	B	1	PD15DEC17	2994.50	3230.00	USD	5,887.50	
15SEP17	B	1	PD15DEC17	3019.00	3230.00	USD	5,275.00	
15SEP17	S	1	PD15DEC17	3009.50	3230.00	USD	-5,512.50	
15SEP17	S	1	PD15DEC17	3010.00	3230.00	USD	-5,500.00	
15SEP17	S	1	PD15DEC17	3014.00	3230.00	USD	-5,400.00	
15SEP17	B	3	PD15DEC17	2993.00	3230.00	USD	17,775.00	
15SEP17	B	1	PD15DEC17	2996.00	3230.00	USD	5,850.00	
15SEP17	S	1	PD15DEC17	3008.00	3230.00	USD	-5,550.00	
15SEP17	S	1	PD15DEC17	3009.50	3230.00	USD	-5,512.50	
15SEP17	S	1	PD15DEC17	3012.00	3230.00	USD	-5,450.00	
15SEP17	S	2	PD15DEC17	3016.00	3230.00	USD	-10,700.00	
15SEP17	S	1	PD15DEC17	3020.00	3230.00	USD	-5,250.00	
15SEP17	S	2	PD15DEC17	3022.50	3230.00	USD	-10,375.00	
15SEP17	S	1	PD15DEC17	3021.50	3230.00	USD	-5,212.50	
15SEP17	S	1	PD15DEC17	3022.00	3230.00	USD	-5,200.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
15SEP17	S	1	PD15DEC17		3023.50	3230.00	USD	-5,162.50	
15SEP17	S	3	PD15DEC17		3030.00	3230.00	USD	-15,000.00	
15SEP17	B	2	PD15DEC17		3030.00	3230.00	USD	10,000.00	
15SEP17	B	1	PD15DEC17		3030.50	3230.00	USD	4,987.50	
19SEP17	B	9	PD15DEC17		3106.06	3230.00	USD	27,886.50	
04OCT17	S	2	PD15DEC17		3306.21	3230.00	USD	3,810.50	
	NET	0	AVG BGHT 3028.28	SOLD 3027.14				-1,978.00	0.00
18SEP17	S	1	PD18DEC17		3071.50	3229.67	USD	-3,954.25	
18SEP17	B	3	PD18DEC17		3075.50	3229.67	USD	11,562.75	
18SEP17	S	2	PD18DEC17		3075.00	3229.67	USD	-7,733.50	
18SEP17	S	2	PD18DEC17		3084.50	3229.67	USD	-7,258.50	
18SEP17	B	1	PD18DEC17		3074.50	3229.67	USD	3,879.25	
18SEP17	B	3	PD18DEC17		3075.00	3229.67	USD	11,600.25	
18SEP17	S	3	PD18DEC17		3083.00	3229.67	USD	-11,000.25	
18SEP17	S	1	PD18DEC17		3070.00	3229.67	USD	-3,991.75	
18SEP17	S	2	PD18DEC17		3080.50	3229.67	USD	-7,458.50	
18SEP17	B	4	PD18DEC17		3076.00	3229.67	USD	15,367.00	
18SEP17	B	2	PD18DEC17		3067.50	3229.67	USD	8,108.50	
18SEP17	S	1	PD18DEC17		3084.00	3229.67	USD	-3,641.75	
18SEP17	B	4	PD18DEC17		3078.00	3229.67	USD	15,167.00	
18SEP17	B	1	PD18DEC17		3066.00	3229.67	USD	4,091.75	
18SEP17	B	1	PD18DEC17		3066.50	3229.67	USD	4,079.25	
18SEP17	S	1	PD18DEC17		3077.00	3229.67	USD	-3,816.75	
18SEP17	S	1	PD18DEC17		3066.00	3229.67	USD	-4,091.75	
18SEP17	S	1	PD18DEC17		3079.50	3229.67	USD	-3,754.25	
18SEP17	S	1	PD18DEC17		3079.00	3229.67	USD	-3,766.75	
18SEP17	S	1	PD18DEC17		3078.50	3229.67	USD	-3,779.25	
18SEP17	S	2	PD18DEC17		3082.00	3229.67	USD	-7,383.50	
18SEP17	S	1	PD18DEC17		3082.50	3229.67	USD	-3,679.25	
18SEP17	S	1	PD18DEC17		3083.50	3229.67	USD	-3,654.25	
18SEP17	S	5	PD18DEC17		3081.50	3229.67	USD	-18,521.25	
18SEP17	S	1	PD18DEC17		3090.00	3229.67	USD	-3,491.75	
18SEP17	S	1	PD18DEC17		3090.50	3229.67	USD	-3,479.25	
18SEP17	S	4	PD18DEC17		3094.00	3229.67	USD	-13,567.00	
18SEP17	S	1	PD18DEC17		3089.50	3229.67	USD	-3,504.25	
18SEP17	B	1	PD18DEC17		3089.00	3229.67	USD	3,516.75	
18SEP17	B	1	PD18DEC17		3081.00	3229.67	USD	3,716.75	
18SEP17	S	5	PD18DEC17		3081.00	3229.67	USD	-18,583.75	
18SEP17	B	2	PD18DEC17		3073.00	3229.67	USD	7,833.50	
18SEP17	B	2	PD18DEC17		3070.50	3229.67	USD	7,958.50	
18SEP17	B	4	PD18DEC17		3071.50	3229.67	USD	15,817.00	
18SEP17	B	2	PD18DEC17		3071.00	3229.67	USD	7,933.50	
18SEP17	B	6	PD18DEC17		3076.50	3229.67	USD	22,975.50	
18SEP17	B	1	PD18DEC17		3073.50	3229.67	USD	3,904.25	
18SEP17	B	1	PD18DEC17		3066.50	3229.67	USD	4,079.25	
18SEP17	B	1	PD18DEC17		3071.50	3229.67	USD	3,954.25	
18SEP17	B	1	PD18DEC17		3079.00	3229.67	USD	3,766.75	
18SEP17	B	1	PD18DEC17		3065.00	3229.67	USD	4,116.75	
18SEP17	S	1	PD18DEC17		3087.00	3229.67	USD	-3,566.75	
18SEP17	S	1	PD18DEC17		3087.50	3229.67	USD	-3,554.25	
18SEP17	S	1	PD18DEC17		3088.50	3229.67	USD	-3,529.25	
18SEP17	B	1	PD18DEC17		3088.00	3229.67	USD	3,541.75	
18SEP17	S	2	PD18DEC17		3088.00	3229.67	USD	-7,083.50	
18SEP17	S	1	PD18DEC17		3074.00	3229.67	USD	-3,891.75	
18SEP17	S	1	PD18DEC17		3087.50	3229.67	USD	-3,554.25	
18SEP17	B	1	PD18DEC17		3101.50	3229.67	USD	3,204.25	
18SEP17	S	1	PD18DEC17		3100.00	3229.67	USD	-3,241.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	3105.00	3229.67	USD	-3,116.75	
18SEP17	S	1	PD18DEC17	3112.00	3229.67	USD	-2,941.75	
19SEP17	B	4	PD18DEC17	3106.70	3229.67	USD	12,297.00	
	NET	0AVG BGHT 3077.61	SOLD 3084.18				7,880.00	0.00
19SEP17	S	1	PD19DEC17	3100.00	3229.33	USD	-3,233.25	
19SEP17	S	2	PD19DEC17	3102.00	3229.33	USD	-6,366.50	
19SEP17	S	1	PD19DEC17	3105.50	3229.33	USD	-3,095.75	
19SEP17	B	1	PD19DEC17	3096.50	3229.33	USD	3,320.75	
19SEP17	B	1	PD19DEC17	3095.00	3229.33	USD	3,358.25	
19SEP17	B	1	PD19DEC17	3091.00	3229.33	USD	3,458.25	
19SEP17	B	1	PD19DEC17	3093.50	3229.33	USD	3,395.75	
19SEP17	B	1	PD19DEC17	3089.50	3229.33	USD	3,495.75	
19SEP17	B	1	PD19DEC17	3092.50	3229.33	USD	3,420.75	
19SEP17	S	1	PD19DEC17	3095.50	3229.33	USD	-3,345.75	
19SEP17	S	3	PD19DEC17	3095.00	3229.33	USD	-10,074.75	
19SEP17	B	1	PD19DEC17	3099.00	3229.33	USD	3,258.25	
19SEP17	B	1	PD19DEC17	3081.00	3229.33	USD	3,708.25	
19SEP17	S	2	PD19DEC17	3078.50	3229.33	USD	-7,541.50	
19SEP17	S	1	PD19DEC17	3079.00	3229.33	USD	-3,758.25	
19SEP17	B	1	PD19DEC17	3078.00	3229.33	USD	3,783.25	
19SEP17	B	1	PD19DEC17	3067.50	3229.33	USD	4,045.75	
19SEP17	B	1	PD19DEC17	3069.00	3229.33	USD	4,008.25	
19SEP17	B	2	PD19DEC17	3063.50	3229.33	USD	8,291.50	
19SEP17	B	1	PD19DEC17	3077.00	3229.33	USD	3,808.25	
19SEP17	S	1	PD19DEC17	3074.00	3229.33	USD	-3,883.25	
19SEP17	S	1	PD19DEC17	3077.50	3229.33	USD	-3,795.75	
19SEP17	S	1	PD19DEC17	3078.00	3229.33	USD	-3,783.25	
19SEP17	S	1	PD19DEC17	3087.00	3229.33	USD	-3,558.25	
19SEP17	S	1	PD19DEC17	3099.00	3229.33	USD	-3,258.25	
26SEP17	B	2	PD19DEC17	3119.00	3229.33	USD	5,516.50	
	NET	0AVG BGHT 3087.16	SOLD 3090.09				1,175.00	0.00
20SEP17	B	1	PD20DEC17	3101.50	3229.00	USD	3,187.50	
20SEP17	B	1	PD20DEC17	3102.00	3229.00	USD	3,175.00	
20SEP17	S	1	PD20DEC17	3109.50	3229.00	USD	-2,987.50	
20SEP17	B	1	PD20DEC17	3110.00	3229.00	USD	2,975.00	
20SEP17	S	1	PD20DEC17	3120.00	3229.00	USD	-2,725.00	
20SEP17	S	1	PD20DEC17	3124.50	3229.00	USD	-2,612.50	
20SEP17	B	1	PD20DEC17	3119.50	3229.00	USD	2,737.50	
20SEP17	S	2	PD20DEC17	3133.50	3229.00	USD	-4,775.00	
20SEP17	S	1	PD20DEC17	3135.50	3229.00	USD	-2,337.50	
20SEP17	S	1	PD20DEC17	3125.00	3229.00	USD	-2,600.00	
20SEP17	B	1	PD20DEC17	3124.00	3229.00	USD	2,625.00	
20SEP17	S	1	PD20DEC17	3122.50	3229.00	USD	-2,662.50	
20SEP17	S	1	PD20DEC17	3127.50	3229.00	USD	-2,537.50	
20SEP17	S	3	PD20DEC17	3130.50	3229.00	USD	-7,387.50	
20SEP17	S	1	PD20DEC17	3134.00	3229.00	USD	-2,375.00	
20SEP17	S	4	PD20DEC17	3128.00	3229.00	USD	-10,100.00	
20SEP17	S	3	PD20DEC17	3129.00	3229.00	USD	-7,500.00	
20SEP17	B	1	PD20DEC17	3119.00	3229.00	USD	2,750.00	
20SEP17	B	4	PD20DEC17	3120.00	3229.00	USD	10,900.00	
20SEP17	B	2	PD20DEC17	3122.50	3229.00	USD	5,325.00	
20SEP17	B	2	PD20DEC17	3123.50	3229.00	USD	5,275.00	
20SEP17	B	4	PD20DEC17	3122.00	3229.00	USD	10,700.00	
20SEP17	B	1	PD20DEC17	3125.00	3229.00	USD	2,600.00	
20SEP17	S	1	PD20DEC17	3137.50	3229.00	USD	-2,287.50	
20SEP17	S	2	PD20DEC17	3138.00	3229.00	USD	-4,550.00	
20SEP17	S	3	PD20DEC17	3138.50	3229.00	USD	-6,787.50	

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20SEP17	S	2	PD20DEC17	3139.50	3229.00	USD	-4,475.00	
20SEP17	B	1	PD20DEC17	3140.00	3229.00	USD	2,225.00	
20SEP17	S	3	PD20DEC17	3142.50	3229.00	USD	-6,487.50	
20SEP17	S	1	PD20DEC17	3143.00	3229.00	USD	-2,150.00	
20SEP17	S	1	PD20DEC17	3144.50	3229.00	USD	-2,112.50	
20SEP17	S	1	PD20DEC17	3145.50	3229.00	USD	-2,087.50	
20SEP17	S	1	PD20DEC17	3146.50	3229.00	USD	-2,062.50	
20SEP17	S	1	PD20DEC17	3149.50	3229.00	USD	-1,987.50	
20SEP17	S	1	PD20DEC17	3148.50	3229.00	USD	-2,012.50	
20SEP17	S	1	PD20DEC17	3131.50	3229.00	USD	-2,437.50	
20SEP17	S	1	PD20DEC17	3150.00	3229.00	USD	-1,975.00	
20SEP17	B	1	PD20DEC17	3135.50	3229.00	USD	2,337.50	
20SEP17	B	2	PD20DEC17	3140.50	3229.00	USD	4,425.00	
20SEP17	B	2	PD20DEC17	3139.00	3229.00	USD	4,500.00	
20SEP17	B	3	PD20DEC17	3136.50	3229.00	USD	6,937.50	
20SEP17	B	2	PD20DEC17	3133.50	3229.00	USD	4,775.00	
20SEP17	B	2	PD20DEC17	3134.50	3229.00	USD	4,725.00	
20SEP17	S	3	PD20DEC17	3140.00	3229.00	USD	-6,675.00	
20SEP17	S	1	PD20DEC17	3127.00	3229.00	USD	-2,550.00	
20SEP17	B	5	PD20DEC17	3118.50	3229.00	USD	13,812.50	
20SEP17	B	2	PD20DEC17	3124.50	3229.00	USD	5,225.00	
20SEP17	B	1	PD20DEC17	3133.00	3229.00	USD	2,400.00	
20SEP17	B	1	PD20DEC17	3131.00	3229.00	USD	2,450.00	
20SEP17	B	2	PD20DEC17	3128.50	3229.00	USD	5,025.00	
	NET	0	AVG BGHT 3125.66	SOLD 3134.83			9,850.00	0.00
21SEP17	S	2	PD21DEC17	3097.50	3225.00	USD	-6,375.00	
21SEP17	S	1	PD21DEC17	3093.50	3225.00	USD	-3,287.50	
21SEP17	S	3	PD21DEC17	3093.00	3225.00	USD	-9,900.00	
21SEP17	S	1	PD21DEC17	3091.00	3225.00	USD	-3,350.00	
21SEP17	B	1	PD21DEC17	3087.50	3225.00	USD	3,437.50	
21SEP17	B	1	PD21DEC17	3082.00	3225.00	USD	3,575.00	
21SEP17	B	1	PD21DEC17	3077.50	3225.00	USD	3,687.50	
21SEP17	B	1	PD21DEC17	3076.00	3225.00	USD	3,725.00	
21SEP17	B	1	PD21DEC17	3072.00	3225.00	USD	3,825.00	
21SEP17	B	2	PD21DEC17	3070.00	3225.00	USD	7,750.00	
21SEP17	B	3	PD21DEC17	3068.00	3225.00	USD	11,775.00	
21SEP17	S	1	PD21DEC17	3072.50	3225.00	USD	-3,812.50	
21SEP17	S	1	PD21DEC17	3073.00	3225.00	USD	-3,800.00	
21SEP17	S	1	PD21DEC17	3070.50	3225.00	USD	-3,862.50	
21SEP17	B	3	PD21DEC17	3070.50	3225.00	USD	11,587.50	
21SEP17	S	2	PD21DEC17	3073.50	3225.00	USD	-7,575.00	
21SEP17	B	1	PD21DEC17	3073.50	3225.00	USD	3,787.50	
21SEP17	S	1	PD21DEC17	3074.50	3225.00	USD	-3,762.50	
21SEP17	S	2	PD21DEC17	3076.00	3225.00	USD	-7,450.00	
21SEP17	S	1	PD21DEC17	3078.50	3225.00	USD	-3,662.50	
21SEP17	S	3	PD21DEC17	3078.00	3225.00	USD	-11,025.00	
21SEP17	S	1	PD21DEC17	3077.00	3225.00	USD	-3,700.00	
21SEP17	S	1	PD21DEC17	3082.00	3225.00	USD	-3,575.00	
21SEP17	S	1	PD21DEC17	3079.00	3225.00	USD	-3,650.00	
21SEP17	S	1	PD21DEC17	3079.50	3225.00	USD	-3,637.50	
21SEP17	B	1	PD21DEC17	3071.00	3225.00	USD	3,850.00	
21SEP17	S	2	PD21DEC17	3085.00	3225.00	USD	-7,000.00	
21SEP17	S	2	PD21DEC17	3083.50	3225.00	USD	-7,075.00	
21SEP17	S	1	PD21DEC17	3080.50	3225.00	USD	-3,612.50	
21SEP17	S	1	PD21DEC17	3086.00	3225.00	USD	-3,475.00	
21SEP17	S	1	PD21DEC17	3087.50	3225.00	USD	-3,437.50	
21SEP17	B	2	PD21DEC17	3089.50	3225.00	USD	6,775.00	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3098.50	3225.00	USD	3,162.50	
21SEP17	B	1	PD21DEC17	3098.00	3225.00	USD	3,175.00	
21SEP17	B	1	PD21DEC17	3091.50	3225.00	USD	3,337.50	
21SEP17	B	2	PD21DEC17	3085.50	3225.00	USD	6,975.00	
21SEP17	B	3	PD21DEC17	3086.00	3225.00	USD	10,425.00	
21SEP17	B	1	PD21DEC17	3087.00	3225.00	USD	3,450.00	
21SEP17	S	1	PD21DEC17	3075.00	3225.00	USD	-3,750.00	
21SEP17	B	1	PD21DEC17	3075.00	3225.00	USD	3,750.00	
21SEP17	B	2	PD21DEC17	3067.50	3225.00	USD	7,875.00	
21SEP17	S	1	PD21DEC17	3067.50	3225.00	USD	-3,937.50	
21SEP17	B	1	PD21DEC17	3075.00	3225.00	USD	3,750.00	
21SEP17	S	1	PD21DEC17	3077.50	3225.00	USD	-3,687.50	
21SEP17	B	2	PD21DEC17	3073.00	3225.00	USD	7,600.00	
21SEP17	B	3	PD21DEC17	3082.50	3225.00	USD	10,687.50	
21SEP17	B	1	PD21DEC17	3081.50	3225.00	USD	3,587.50	
21SEP17	B	1	PD21DEC17	3077.00	3225.00	USD	3,700.00	
21SEP17	B	1	PD21DEC17	3075.50	3225.00	USD	3,737.50	
21SEP17	S	2	PD21DEC17	3084.00	3225.00	USD	-7,050.00	
21SEP17	S	1	PD21DEC17	3084.50	3225.00	USD	-3,512.50	
21SEP17	S	1	PD21DEC17	3085.50	3225.00	USD	-3,487.50	
21SEP17	S	1	PD21DEC17	3086.50	3225.00	USD	-3,462.50	
21SEP17	S	1	PD21DEC17	3091.50	3225.00	USD	-3,337.50	
21SEP17	S	1	PD21DEC17	3099.50	3225.00	USD	-3,137.50	
21SEP17	S	1	PD21DEC17	3098.50	3225.00	USD	-3,162.50	
21SEP17	S	1	PD21DEC17	3107.00	3225.00	USD	-2,950.00	
21SEP17	S	1	PD21DEC17	3105.50	3225.00	USD	-2,987.50	
21SEP17	S	2	PD21DEC17	3109.00	3225.00	USD	-5,800.00	
21SEP17	S	1	PD21DEC17	3106.00	3225.00	USD	-2,975.00	
21SEP17	B	1	PD21DEC17	3100.00	3225.00	USD	3,125.00	
21SEP17	B	1	PD21DEC17	3101.00	3225.00	USD	3,100.00	
21SEP17	B	1	PD21DEC17	3100.50	3225.00	USD	3,112.50	
21SEP17	B	1	PD21DEC17	3102.50	3225.00	USD	3,062.50	
21SEP17	S	1	PD21DEC17	3097.50	3225.00	USD	-3,187.50	
21SEP17	S	1	PD21DEC17	3108.00	3225.00	USD	-2,925.00	
21SEP17	S	1	PD21DEC17	3117.00	3225.00	USD	-2,700.00	
21SEP17	S	2	PD21DEC17	3120.00	3225.00	USD	-5,250.00	
21SEP17	B	1	PD21DEC17	3112.00	3225.00	USD	2,825.00	
21SEP17	B	1	PD21DEC17	3099.50	3225.00	USD	3,137.50	
21SEP17	S	1	PD21DEC17	3096.00	3225.00	USD	-3,225.00	
21SEP17	B	1	PD21DEC17	3104.50	3225.00	USD	3,012.50	
21SEP17	B	2	PD21DEC17	3103.50	3225.00	USD	6,075.00	
21SEP17	B	2	PD21DEC17	3088.00	3225.00	USD	6,850.00	
21SEP17	B	3	PD21DEC17	3084.00	3225.00	USD	10,575.00	
21SEP17	B	3	PD21DEC17	3079.00	3225.00	USD	10,950.00	
21SEP17	B	2	PD21DEC17	3080.50	3225.00	USD	7,225.00	
21SEP17	B	1	PD21DEC17	3081.00	3225.00	USD	3,600.00	
21SEP17	B	1	PD21DEC17	3079.50	3225.00	USD	3,637.50	
21SEP17	B	1	PD21DEC17	3076.50	3225.00	USD	3,712.50	
21SEP17	B	5	PD21DEC17	3074.00	3225.00	USD	18,875.00	
21SEP17	B	1	PD21DEC17	3046.00	3225.00	USD	4,475.00	
21SEP17	B	1	PD21DEC17	3045.50	3225.00	USD	4,487.50	
21SEP17	B	1	PD21DEC17	3035.00	3225.00	USD	4,750.00	
21SEP17	B	1	PD21DEC17	3034.50	3225.00	USD	4,762.50	
21SEP17	B	1	PD21DEC17	3032.00	3225.00	USD	4,825.00	
21SEP17	B	1	PD21DEC17	3029.50	3225.00	USD	4,887.50	
21SEP17	S	1	PD21DEC17	3033.50	3225.00	USD	-4,787.50	
21SEP17	S	1	PD21DEC17	3041.50	3225.00	USD	-4,587.50	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	B	1	PD21DEC17		3040.00	3225.00	USD	4,625.00	
21SEP17	S	1	PD21DEC17		3042.50	3225.00	USD	-4,562.50	
21SEP17	S	1	PD21DEC17		3044.50	3225.00	USD	-4,512.50	
21SEP17	S	2	PD21DEC17		3040.00	3225.00	USD	-9,250.00	
21SEP17	S	2	PD21DEC17		3042.00	3225.00	USD	-9,150.00	
21SEP17	S	2	PD21DEC17		3045.00	3225.00	USD	-9,000.00	
26SEP17	S	2	PD21DEC17		3117.80	3225.00	USD	-5,360.00	
26SEP17	S	4	PD21DEC17		3118.00	3225.00	USD	-10,700.00	
04OCT17	S	4	PD21DEC17		3302.00	3225.00	USD	7,700.00	
	NET	0	AVG BGHT 3077.96	SOLD 3096.24				32,915.00	0.00
22SEP17	S	1	PD22DEC17		3035.00	3224.50	USD	-4,737.50	
22SEP17	B	1	PD22DEC17		3031.50	3224.50	USD	4,825.00	
22SEP17	S	2	PD22DEC17		3030.00	3224.50	USD	-9,725.00	
22SEP17	B	1	PD22DEC17		3037.00	3224.50	USD	4,687.50	
22SEP17	B	1	PD22DEC17		3039.50	3224.50	USD	4,625.00	
22SEP17	B	1	PD22DEC17		3040.00	3224.50	USD	4,612.50	
22SEP17	S	2	PD22DEC17		3029.50	3224.50	USD	-9,750.00	
22SEP17	S	2	PD22DEC17		3031.50	3224.50	USD	-9,650.00	
22SEP17	S	7	PD22DEC17		3034.00	3224.50	USD	-33,337.50	
22SEP17	B	1	PD22DEC17		3026.00	3224.50	USD	4,962.50	
22SEP17	B	1	PD22DEC17		3027.50	3224.50	USD	4,925.00	
22SEP17	B	1	PD22DEC17		3027.00	3224.50	USD	4,937.50	
22SEP17	B	1	PD22DEC17		3026.50	3224.50	USD	4,950.00	
22SEP17	B	1	PD22DEC17		3015.50	3224.50	USD	5,225.00	
22SEP17	B	2	PD22DEC17		3014.00	3224.50	USD	10,525.00	
22SEP17	B	1	PD22DEC17		3011.50	3224.50	USD	5,325.00	
22SEP17	B	1	PD22DEC17		3012.50	3224.50	USD	5,300.00	
22SEP17	B	4	PD22DEC17		3011.00	3224.50	USD	21,350.00	
22SEP17	B	1	PD22DEC17		3010.50	3224.50	USD	5,350.00	
22SEP17	B	1	PD22DEC17		3008.50	3224.50	USD	5,400.00	
22SEP17	B	2	PD22DEC17		3007.50	3224.50	USD	10,850.00	
22SEP17	B	1	PD22DEC17		3004.50	3224.50	USD	5,500.00	
22SEP17	B	1	PD22DEC17		3002.50	3224.50	USD	5,550.00	
22SEP17	S	1	PD22DEC17		3016.00	3224.50	USD	-5,212.50	
22SEP17	S	2	PD22DEC17		3018.00	3224.50	USD	-10,325.00	
22SEP17	S	1	PD22DEC17		3013.00	3224.50	USD	-5,287.50	
22SEP17	S	1	PD22DEC17		3026.00	3224.50	USD	-4,962.50	
22SEP17	S	2	PD22DEC17		3025.50	3224.50	USD	-9,950.00	
22SEP17	S	1	PD22DEC17		3024.50	3224.50	USD	-5,000.00	
22SEP17	S	2	PD22DEC17		3025.00	3224.50	USD	-9,975.00	
22SEP17	S	2	PD22DEC17		3029.00	3224.50	USD	-9,775.00	
22SEP17	S	2	PD22DEC17		3028.00	3224.50	USD	-9,825.00	
22SEP17	S	2	PD22DEC17		3028.50	3224.50	USD	-9,800.00	
22SEP17	B	1	PD22DEC17		3019.50	3224.50	USD	5,125.00	
22SEP17	B	1	PD22DEC17		3018.00	3224.50	USD	5,162.50	
22SEP17	S	1	PD22DEC17		3022.00	3224.50	USD	-5,062.50	
22SEP17	B	1	PD22DEC17		3053.00	3224.50	USD	4,287.50	
22SEP17	S	1	PD22DEC17		3064.00	3224.50	USD	-4,012.50	
22SEP17	S	1	PD22DEC17		3070.50	3224.50	USD	-3,850.00	
22SEP17	S	1	PD22DEC17		3071.50	3224.50	USD	-3,825.00	
22SEP17	S	1	PD22DEC17		3036.00	3224.50	USD	-4,712.50	
22SEP17	B	1	PD22DEC17		3015.50	3224.50	USD	5,225.00	
22SEP17	B	1	PD22DEC17		3064.50	3224.50	USD	4,000.00	
22SEP17	B	1	PD22DEC17		3066.00	3224.50	USD	3,962.50	
22SEP17	B	3	PD22DEC17		3067.50	3224.50	USD	11,775.00	
22SEP17	S	3	PD22DEC17		3058.00	3224.50	USD	-12,487.50	
22SEP17	B	2	PD22DEC17		3052.50	3224.50	USD	8,600.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	3052.00	3224.50	USD	-4,312.50	
22SEP17	S	7	PD22DEC17	3050.50	3224.50	USD	-30,450.00	
22SEP17	B	3	PD22DEC17	3039.00	3224.50	USD	13,912.50	
22SEP17	B	4	PD22DEC17	3013.50	3224.50	USD	21,100.00	
22SEP17	B	1	PD22DEC17	3013.00	3224.50	USD	5,287.50	
22SEP17	B	2	PD22DEC17	3031.00	3224.50	USD	9,675.00	
22SEP17	S	1	PD22DEC17	3042.50	3224.50	USD	-4,550.00	
22SEP17	S	1	PD22DEC17	3054.50	3224.50	USD	-4,250.00	
22SEP17	S	2	PD22DEC17	3049.00	3224.50	USD	-8,775.00	
22SEP17	S	1	PD22DEC17	3048.00	3224.50	USD	-4,412.50	
22SEP17	S	2	PD22DEC17	3061.00	3224.50	USD	-8,175.00	
22SEP17	S	1	PD22DEC17	3062.00	3224.50	USD	-4,062.50	
22SEP17	S	1	PD22DEC17	3063.00	3224.50	USD	-4,037.50	
22SEP17	B	1	PD22DEC17	3013.00	3224.50	USD	5,287.50	
26SEP17	B	4	PD22DEC17	3117.20	3224.50	USD	10,730.00	
04OCT17	B	6	PD22DEC17	3302.20	3224.50	USD	-11,655.00	
	NET	0 AVG BGHT 3063.50	SOLD 3039.56				-32,912.50	0.00
25SEP17	S	1	PD27DEC17	3089.00	3224.00	USD	-3,375.00	
25SEP17	S	1	PD27DEC17	3071.00	3224.00	USD	-3,825.00	
25SEP17	S	1	PD27DEC17	3073.00	3224.00	USD	-3,775.00	
25SEP17	B	1	PD27DEC17	3072.00	3224.00	USD	3,800.00	
25SEP17	B	1	PD27DEC17	3071.00	3224.00	USD	3,825.00	
25SEP17	S	1	PD27DEC17	3077.00	3224.00	USD	-3,675.00	
25SEP17	B	1	PD27DEC17	3083.00	3224.00	USD	3,525.00	
25SEP17	B	1	PD27DEC17	3089.50	3224.00	USD	3,362.50	
25SEP17	S	1	PD27DEC17	3084.00	3224.00	USD	-3,500.00	
25SEP17	S	1	PD27DEC17	3104.00	3224.00	USD	-3,000.00	
25SEP17	B	1	PD27DEC17	3097.50	3224.00	USD	3,162.50	
25SEP17	B	1	PD27DEC17	3082.00	3224.00	USD	3,550.00	
25SEP17	B	1	PD27DEC17	3078.50	3224.00	USD	3,637.50	
25SEP17	B	1	PD27DEC17	3077.00	3224.00	USD	3,675.00	
25SEP17	B	1	PD27DEC17	3082.50	3224.00	USD	3,537.50	
25SEP17	S	1	PD27DEC17	3081.50	3224.00	USD	-3,562.50	
25SEP17	B	1	PD27DEC17	3077.50	3224.00	USD	3,662.50	
25SEP17	S	1	PD27DEC17	3103.50	3224.00	USD	-3,012.50	
25SEP17	S	1	PD27DEC17	3096.50	3224.00	USD	-3,187.50	
25SEP17	S	3	PD27DEC17	3097.50	3224.00	USD	-9,487.50	
25SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
25SEP17	B	1	PD27DEC17	3099.50	3224.00	USD	3,112.50	
25SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
26SEP17	S	5	PD27DEC17	3122.00	3224.00	USD	-12,750.00	
26SEP17	S	2	PD27DEC17	3122.50	3224.00	USD	-5,075.00	
26SEP17	S	1	PD27DEC17	3124.00	3224.00	USD	-2,500.00	
26SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
26SEP17	S	2	PD27DEC17	3126.00	3224.00	USD	-4,900.00	
26SEP17	B	2	PD27DEC17	3127.50	3224.00	USD	4,825.00	
26SEP17	S	1	PD27DEC17	3135.50	3224.00	USD	-2,212.50	
26SEP17	S	1	PD27DEC17	3137.50	3224.00	USD	-2,162.50	
26SEP17	B	1	PD27DEC17	3139.50	3224.00	USD	2,112.50	
26SEP17	B	2	PD27DEC17	3134.50	3224.00	USD	4,475.00	
26SEP17	B	1	PD27DEC17	3129.50	3224.00	USD	2,362.50	
26SEP17	B	1	PD27DEC17	3150.00	3224.00	USD	1,850.00	
26SEP17	B	1	PD27DEC17	3132.50	3224.00	USD	2,287.50	
26SEP17	B	1	PD27DEC17	3143.50	3224.00	USD	2,012.50	
26SEP17	B	1	PD27DEC17	3141.50	3224.00	USD	2,062.50	
26SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
26SEP17	S	1	PD27DEC17	3114.00	3224.00	USD	-2,750.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3106.00	3224.00	USD	2,950.00	
26SEP17	S	1	PD27DEC17	3111.00	3224.00	USD	-2,825.00	
26SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
26SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
26SEP17	S	2	PD27DEC17	3115.50	3224.00	USD	-5,425.00	
26SEP17	S	5	PD27DEC17	3115.00	3224.00	USD	-13,625.00	
26SEP17	S	1	PD27DEC17	3110.00	3224.00	USD	-2,850.00	
26SEP17	S	1	PD27DEC17	3108.50	3224.00	USD	-2,887.50	
26SEP17	S	3	PD27DEC17	3125.50	3224.00	USD	-7,387.50	
26SEP17	B	1	PD27DEC17	3108.00	3224.00	USD	2,900.00	
26SEP17	B	2	PD27DEC17	3112.00	3224.00	USD	5,600.00	
26SEP17	B	1	PD27DEC17	3110.00	3224.00	USD	2,850.00	
26SEP17	B	1	PD27DEC17	3112.50	3224.00	USD	2,787.50	
26SEP17	B	1	PD27DEC17	3114.50	3224.00	USD	2,737.50	
26SEP17	B	4	PD27DEC17	3113.50	3224.00	USD	11,050.00	
26SEP17	B	2	PD27DEC17	3113.50	3224.00	USD	5,525.00	
26SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
26SEP17	B	1	PD27DEC17	3109.50	3224.00	USD	2,862.50	
26SEP17	B	1	PD27DEC17	3110.00	3224.00	USD	2,850.00	
26SEP17	B	1	PD27DEC17	3108.00	3224.00	USD	2,900.00	
27SEP17	S	1	PD27DEC17	3117.00	3224.00	USD	-2,675.00	
27SEP17	S	1	PD27DEC17	3116.00	3224.00	USD	-2,700.00	
27SEP17	S	2	PD27DEC17	3116.50	3224.00	USD	-5,375.00	
27SEP17	S	5	PD27DEC17	3115.50	3224.00	USD	-13,562.50	
27SEP17	S	1	PD27DEC17	3114.50	3224.00	USD	-2,737.50	
27SEP17	S	1	PD27DEC17	3114.00	3224.00	USD	-2,750.00	
27SEP17	S	2	PD27DEC17	3112.50	3224.00	USD	-5,575.00	
27SEP17	S	1	PD27DEC17	3113.50	3224.00	USD	-2,762.50	
27SEP17	S	3	PD27DEC17	3115.00	3224.00	USD	-8,175.00	
27SEP17	B	1	PD27DEC17	3116.00	3224.00	USD	2,700.00	
27SEP17	S	1	PD27DEC17	3125.00	3224.00	USD	-2,475.00	
27SEP17	S	1	PD27DEC17	3126.50	3224.00	USD	-2,437.50	
27SEP17	S	1	PD27DEC17	3130.50	3224.00	USD	-2,337.50	
27SEP17	S	1	PD27DEC17	3143.50	3224.00	USD	-2,012.50	
27SEP17	S	1	PD27DEC17	3147.00	3224.00	USD	-1,925.00	
27SEP17	S	1	PD27DEC17	3140.50	3224.00	USD	-2,087.50	
27SEP17	B	1	PD27DEC17	3139.00	3224.00	USD	2,125.00	
27SEP17	B	1	PD27DEC17	3133.50	3224.00	USD	2,262.50	
27SEP17	B	1	PD27DEC17	3132.00	3224.00	USD	2,300.00	
27SEP17	B	1	PD27DEC17	3134.50	3224.00	USD	2,237.50	
27SEP17	B	1	PD27DEC17	3136.50	3224.00	USD	2,187.50	
27SEP17	S	2	PD27DEC17	3134.00	3224.00	USD	-4,500.00	
27SEP17	S	1	PD27DEC17	3115.50	3224.00	USD	-2,712.50	
27SEP17	S	1	PD27DEC17	3116.00	3224.00	USD	-2,700.00	
27SEP17	S	1	PD27DEC17	3144.00	3224.00	USD	-2,000.00	
27SEP17	S	1	PD27DEC17	3129.50	3224.00	USD	-2,362.50	
27SEP17	S	1	PD27DEC17	3133.50	3224.00	USD	-2,262.50	
27SEP17	S	1	PD27DEC17	3134.50	3224.00	USD	-2,237.50	
27SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
27SEP17	B	1	PD27DEC17	3114.50	3224.00	USD	2,737.50	
27SEP17	B	1	PD27DEC17	3107.00	3224.00	USD	2,925.00	
27SEP17	B	1	PD27DEC17	3106.50	3224.00	USD	2,937.50	
27SEP17	B	2	PD27DEC17	3113.50	3224.00	USD	5,525.00	
27SEP17	B	1	PD27DEC17	3111.00	3224.00	USD	2,825.00	
27SEP17	B	1	PD27DEC17	3113.00	3224.00	USD	2,775.00	
27SEP17	B	1	PD27DEC17	3101.00	3224.00	USD	3,075.00	
27SEP17	B	1	PD27DEC17	3106.00	3224.00	USD	2,950.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	B	1	PD27DEC17		3102.00	3224.00	USD	3,050.00	
27SEP17	B	1	PD27DEC17		3097.50	3224.00	USD	3,162.50	
27SEP17	S	1	PD27DEC17		3112.00	3224.00	USD	-2,800.00	
27SEP17	B	2	PD27DEC17		3121.00	3224.00	USD	5,150.00	
27SEP17	B	1	PD27DEC17		3120.50	3224.00	USD	2,587.50	
27SEP17	B	3	PD27DEC17		3123.50	3224.00	USD	7,537.50	
27SEP17	B	2	PD27DEC17		3122.00	3224.00	USD	5,100.00	
27SEP17	B	4	PD27DEC17		3120.00	3224.00	USD	10,400.00	
27SEP17	B	1	PD27DEC17		3119.50	3224.00	USD	2,612.50	
27SEP17	B	2	PD27DEC17		3121.50	3224.00	USD	5,125.00	
27SEP17	B	1	PD27DEC17		3121.00	3224.00	USD	2,575.00	
04OCT17	S	2	PD27DEC17		3302.00	3224.00	USD	3,900.00	
	NET	0AVG	BGHT 3113.70	SOLD 3120.92				13,537.50	0.00
28SEP17	B	2	PD28DEC17		3114.00	3223.50	USD	5,475.00	
28SEP17	B	1	PD28DEC17		3112.50	3223.50	USD	2,775.00	
28SEP17	B	2	PD28DEC17		3111.50	3223.50	USD	5,600.00	
28SEP17	S	1	PD28DEC17		3110.50	3223.50	USD	-2,825.00	
28SEP17	S	2	PD28DEC17		3109.50	3223.50	USD	-5,700.00	
28SEP17	S	1	PD28DEC17		3105.50	3223.50	USD	-2,950.00	
28SEP17	S	4	PD28DEC17		3106.00	3223.50	USD	-11,750.00	
28SEP17	S	2	PD28DEC17		3111.50	3223.50	USD	-5,600.00	
28SEP17	S	2	PD28DEC17		3112.50	3223.50	USD	-5,550.00	
28SEP17	S	1	PD28DEC17		3114.50	3223.50	USD	-2,725.00	
28SEP17	B	3	PD28DEC17		3113.50	3223.50	USD	8,250.00	
28SEP17	B	2	PD28DEC17		3112.00	3223.50	USD	5,575.00	
28SEP17	S	4	PD28DEC17		3112.00	3223.50	USD	-11,150.00	
28SEP17	S	1	PD28DEC17		3120.00	3223.50	USD	-2,587.50	
28SEP17	B	2	PD28DEC17		3133.00	3223.50	USD	4,525.00	
28SEP17	S	1	PD28DEC17		3134.50	3223.50	USD	-2,225.00	
28SEP17	S	1	PD28DEC17		3105.50	3223.50	USD	-2,950.00	
28SEP17	B	1	PD28DEC17		3136.50	3223.50	USD	2,175.00	
28SEP17	S	3	PD28DEC17		3145.00	3223.50	USD	-5,887.50	
28SEP17	S	1	PD28DEC17		3146.50	3223.50	USD	-1,925.00	
28SEP17	S	1	PD28DEC17		3148.50	3223.50	USD	-1,875.00	
28SEP17	S	1	PD28DEC17		3138.00	3223.50	USD	-2,137.50	
28SEP17	B	1	PD28DEC17		3134.50	3223.50	USD	2,225.00	
28SEP17	B	2	PD28DEC17		3130.00	3223.50	USD	4,675.00	
28SEP17	S	1	PD28DEC17		3134.00	3223.50	USD	-2,237.50	
28SEP17	B	2	PD28DEC17		3130.50	3223.50	USD	4,650.00	
28SEP17	B	1	PD28DEC17		3134.00	3223.50	USD	2,237.50	
28SEP17	B	3	PD28DEC17		3129.50	3223.50	USD	7,050.00	
28SEP17	B	1	PD28DEC17		3128.00	3223.50	USD	2,387.50	
28SEP17	B	1	PD28DEC17		3123.50	3223.50	USD	2,500.00	
28SEP17	B	1	PD28DEC17		3122.50	3223.50	USD	2,525.00	
28SEP17	B	1	PD28DEC17		3120.50	3223.50	USD	2,575.00	
28SEP17	B	1	PD28DEC17		3117.50	3223.50	USD	2,650.00	
28SEP17	B	3	PD28DEC17		3114.50	3223.50	USD	8,175.00	
28SEP17	S	1	PD28DEC17		3126.00	3223.50	USD	-2,437.50	
28SEP17	S	1	PD28DEC17		3132.00	3223.50	USD	-2,287.50	
28SEP17	S	1	PD28DEC17		3125.00	3223.50	USD	-2,462.50	
28SEP17	S	2	PD28DEC17		3138.50	3223.50	USD	-4,250.00	
28SEP17	S	1	PD28DEC17		3137.00	3223.50	USD	-2,162.50	
28SEP17	S	2	PD28DEC17		3140.00	3223.50	USD	-4,175.00	
28SEP17	S	1	PD28DEC17		3141.00	3223.50	USD	-2,062.50	
28SEP17	S	2	PD28DEC17		3144.00	3223.50	USD	-3,975.00	
28SEP17	S	1	PD28DEC17		3145.50	3223.50	USD	-1,950.00	
28SEP17	B	1	PD28DEC17		3145.00	3223.50	USD	1,962.50	

OPEN POSITION STATEMENT



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SAP0112D

11th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	S	1	PD28DEC17		3141.50	3223.50	USD	-2,050.00	
28SEP17	B	1	PD28DEC17		3141.00	3223.50	USD	2,062.50	
28SEP17	S	1	PD28DEC17		3148.00	3223.50	USD	-1,887.50	
28SEP17	B	1	PD28DEC17		3147.50	3223.50	USD	1,900.00	
28SEP17	S	1	PD28DEC17		3154.00	3223.50	USD	-1,737.50	
28SEP17	S	1	PD28DEC17		3154.50	3223.50	USD	-1,725.00	
28SEP17	S	1	PD28DEC17		3158.50	3223.50	USD	-1,625.00	
28SEP17	S	1	PD28DEC17		3159.00	3223.50	USD	-1,612.50	
28SEP17	S	1	PD28DEC17		3162.50	3223.50	USD	-1,525.00	
28SEP17	S	1	PD28DEC17		3163.00	3223.50	USD	-1,512.50	
28SEP17	B	1	PD28DEC17		3164.50	3223.50	USD	1,475.00	
28SEP17	S	1	PD28DEC17		3163.50	3223.50	USD	-1,500.00	
28SEP17	S	2	PD28DEC17		3164.50	3223.50	USD	-2,950.00	
28SEP17	S	1	PD28DEC17		3159.50	3223.50	USD	-1,600.00	
28SEP17	B	1	PD28DEC17		3164.00	3223.50	USD	1,487.50	
28SEP17	S	1	PD28DEC17		3164.00	3223.50	USD	-1,487.50	
04OCT17	B	3	PD28DEC17		3302.20	3223.50	USD	-5,902.50	
04OCT17	B	14	PD28DEC17		3302.00	3223.50	USD	-27,475.00	
	NET	0AVG BGHT 3183.86		SOLD 3133.46				-65,515.00	0.00
29SEP17	B	2	PD29DEC17		3147.00	3223.00	USD	3,800.00	
29SEP17	B	1	PD29DEC17		3149.50	3223.00	USD	1,837.50	
29SEP17	B	1	PD29DEC17		3141.00	3223.00	USD	2,050.00	
29SEP17	B	1	PD29DEC17		3140.50	3223.00	USD	2,062.50	
29SEP17	B	1	PD29DEC17		3143.50	3223.00	USD	1,987.50	
29SEP17	B	2	PD29DEC17		3145.50	3223.00	USD	3,875.00	
29SEP17	B	1	PD29DEC17		3143.00	3223.00	USD	2,000.00	
29SEP17	S	2	PD29DEC17		3154.00	3223.00	USD	-3,450.00	
29SEP17	S	3	PD29DEC17		3161.50	3223.00	USD	-4,612.50	
29SEP17	S	1	PD29DEC17		3164.50	3223.00	USD	-1,462.50	
29SEP17	S	2	PD29DEC17		3166.00	3223.00	USD	-2,850.00	
29SEP17	S	2	PD29DEC17		3167.00	3223.00	USD	-2,800.00	
29SEP17	S	1	PD29DEC17		3167.50	3223.00	USD	-1,387.50	
29SEP17	S	1	PD29DEC17		3160.50	3223.00	USD	-1,562.50	
29SEP17	S	2	PD29DEC17		3161.00	3223.00	USD	-3,100.00	
29SEP17	B	1	PD29DEC17		3160.50	3223.00	USD	1,562.50	
29SEP17	S	2	PD29DEC17		3170.00	3223.00	USD	-2,650.00	
29SEP17	S	2	PD29DEC17		3151.50	3223.00	USD	-3,575.00	
29SEP17	S	1	PD29DEC17		3150.00	3223.00	USD	-1,825.00	
29SEP17	S	2	PD29DEC17		3148.00	3223.00	USD	-3,750.00	
29SEP17	B	3	PD29DEC17		3148.00	3223.00	USD	5,625.00	
29SEP17	S	2	PD29DEC17		3149.00	3223.00	USD	-3,700.00	
29SEP17	S	1	PD29DEC17		3152.50	3223.00	USD	-1,762.50	
29SEP17	S	2	PD29DEC17		3153.50	3223.00	USD	-3,475.00	
29SEP17	S	1	PD29DEC17		3154.50	3223.00	USD	-1,712.50	
29SEP17	S	1	PD29DEC17		3156.00	3223.00	USD	-1,675.00	
29SEP17	S	1	PD29DEC17		3166.50	3223.00	USD	-1,412.50	
29SEP17	S	1	PD29DEC17		3151.00	3223.00	USD	-1,800.00	
29SEP17	B	1	PD29DEC17		3152.00	3223.00	USD	1,775.00	
29SEP17	S	1	PD29DEC17		3165.00	3223.00	USD	-1,450.00	
29SEP17	S	1	PD29DEC17		3173.50	3223.00	USD	-1,237.50	
29SEP17	B	7	PD29DEC17		3150.00	3223.00	USD	12,775.00	
29SEP17	B	4	PD29DEC17		3149.00	3223.00	USD	7,400.00	
29SEP17	B	1	PD29DEC17		3153.00	3223.00	USD	1,750.00	
29SEP17	B	3	PD29DEC17		3152.00	3223.00	USD	5,325.00	
29SEP17	S	4	PD29DEC17		3162.50	3223.00	USD	-6,050.00	
29SEP17	B	3	PD29DEC17		3151.00	3223.00	USD	5,400.00	
29SEP17	B	1	PD29DEC17		3153.50	3223.00	USD	1,737.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD29DEC17	3154.00	3223.00	USD	3,450.00	
29SEP17	B	1	PD29DEC17	3155.00	3223.00	USD	1,700.00	
29SEP17	S	1	PD29DEC17	3158.50	3223.00	USD	-1,612.50	
29SEP17	S	2	PD29DEC17	3159.50	3223.00	USD	-3,175.00	
29SEP17	S	4	PD29DEC17	3160.00	3223.00	USD	-6,300.00	
29SEP17	B	1	PD29DEC17	3162.50	3223.00	USD	1,512.50	
29SEP17	B	1	PD29DEC17	3166.00	3223.00	USD	1,425.00	
29SEP17	B	2	PD29DEC17	3165.00	3223.00	USD	2,900.00	
29SEP17	B	1	PD29DEC17	3163.50	3223.00	USD	1,487.50	
29SEP17	B	1	PD29DEC17	3157.50	3223.00	USD	1,637.50	
29SEP17	B	1	PD29DEC17	3156.50	3223.00	USD	1,662.50	
29SEP17	B	2	PD29DEC17	3161.50	3223.00	USD	3,075.00	
29SEP17	B	1	PD29DEC17	3164.50	3223.00	USD	1,462.50	
29SEP17	B	2	PD29DEC17	3167.50	3223.00	USD	2,775.00	
04OCT17	S	5	PD29DEC17	3301.80	3223.00	USD	9,850.00	
	NET	0AVG BGHT 3152.96	SOLD 3174.22				25,512.50	0.00
02OCT17	B	2	PD02JAN18	3166.00	3222.50	USD	2,825.00	
04OCT17	S	2	PD02JAN18	3301.80	3222.50	USD	3,965.00	
	NET	0AVG BGHT 3166.00	SOLD 3301.80				6,790.00	0.00
03OCT17	S	1	PD03JAN18	3231.50	3222.00	USD	237.50	
03OCT17	S	2	PD03JAN18	3229.00	3222.00	USD	350.00	
03OCT17	S	3	PD03JAN18	3229.50	3222.00	USD	562.50	
03OCT17	S	1	PD03JAN18	3224.50	3222.00	USD	62.50	
03OCT17	S	1	PD03JAN18	3223.50	3222.00	USD	37.50	
03OCT17	S	1	PD03JAN18	3225.50	3222.00	USD	87.50	
03OCT17	S	6	PD03JAN18	3227.00	3222.00	USD	750.00	
03OCT17	S	2	PD03JAN18	3230.00	3222.00	USD	400.00	
03OCT17	S	1	PD03JAN18	3228.50	3222.00	USD	162.50	
03OCT17	S	1	PD03JAN18	3233.00	3222.00	USD	275.00	
03OCT17	S	1	PD03JAN18	3239.50	3222.00	USD	437.50	
03OCT17	S	1	PD03JAN18	3239.00	3222.00	USD	425.00	
03OCT17	S	2	PD03JAN18	3241.00	3222.00	USD	950.00	
03OCT17	S	2	PD03JAN18	3240.50	3222.00	USD	925.00	
03OCT17	S	1	PD03JAN18	3242.00	3222.00	USD	500.00	
03OCT17	S	1	PD03JAN18	3262.00	3222.00	USD	1,000.00	
03OCT17	B	1	PD03JAN18	3262.00	3222.00	USD	-1,000.00	
03OCT17	B	3	PD03JAN18	3261.00	3222.00	USD	-2,925.00	
03OCT17	B	2	PD03JAN18	3259.50	3222.00	USD	-1,875.00	
03OCT17	S	1	PD03JAN18	3258.50	3222.00	USD	912.50	
03OCT17	B	1	PD03JAN18	3255.50	3222.00	USD	-837.50	
03OCT17	S	3	PD03JAN18	3260.00	3222.00	USD	2,850.00	
03OCT17	S	1	PD03JAN18	3270.00	3222.00	USD	1,200.00	
03OCT17	B	1	PD03JAN18	3267.50	3222.00	USD	-1,137.50	
03OCT17	B	1	PD03JAN18	3267.00	3222.00	USD	-1,125.00	
03OCT17	B	1	PD03JAN18	3256.50	3222.00	USD	-862.50	
03OCT17	B	1	PD03JAN18	3257.50	3222.00	USD	-887.50	
03OCT17	B	1	PD03JAN18	3275.50	3222.00	USD	-1,337.50	
03OCT17	B	1	PD03JAN18	3276.50	3222.00	USD	-1,362.50	
03OCT17	B	1	PD03JAN18	3279.00	3222.00	USD	-1,425.00	
03OCT17	S	1	PD03JAN18	3280.00	3222.00	USD	1,450.00	
03OCT17	B	3	PD03JAN18	3286.00	3222.00	USD	-4,800.00	
03OCT17	B	4	PD03JAN18	3287.50	3222.00	USD	-6,550.00	
03OCT17	B	2	PD03JAN18	3289.50	3222.00	USD	-3,375.00	
03OCT17	B	1	PD03JAN18	3288.50	3222.00	USD	-1,662.50	
03OCT17	B	10	PD03JAN18	3288.00	3222.00	USD	-16,500.00	
03OCT17	B	2	PD03JAN18	3286.50	3222.00	USD	-3,225.00	
03OCT17	B	2	PD03JAN18	3285.00	3222.00	USD	-3,150.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	2	PD03JAN18	3287.00	3222.00	USD	-3,250.00	
030CT17	B	1	PD03JAN18	3283.50	3222.00	USD	-1,537.50	
030CT17	S	1	PD03JAN18	3286.00	3222.00	USD	1,600.00	
030CT17	B	1	PD03JAN18	3254.50	3222.00	USD	-812.50	
030CT17	S	1	PD03JAN18	3280.00	3222.00	USD	1,450.00	
030CT17	B	1	PD03JAN18	3266.00	3222.00	USD	-1,100.00	
030CT17	B	1	PD03JAN18	3265.50	3222.00	USD	-1,087.50	
030CT17	S	1	PD03JAN18	3265.00	3222.00	USD	1,075.00	
030CT17	S	1	PD03JAN18	3269.00	3222.00	USD	1,175.00	
030CT17	S	1	PD03JAN18	3274.00	3222.00	USD	1,300.00	
030CT17	B	1	PD03JAN18	3263.50	3222.00	USD	-1,037.50	
030CT17	B	2	PD03JAN18	3263.00	3222.00	USD	-2,050.00	
030CT17	B	1	PD03JAN18	3280.50	3222.00	USD	-1,462.50	
030CT17	S	1	PD03JAN18	3281.00	3222.00	USD	1,475.00	
030CT17	B	1	PD03JAN18	3290.00	3222.00	USD	-1,700.00	
040CT17	S	7	PD03JAN18	3301.80	3222.00	USD	13,965.00	
100CT17	S	2	PD03JAN18	3281.73	3222.00	USD	2,986.50	
	NET	+1AVG BGHT 3277.57	SOLD 3254.17				-29,473.50	0.00
040CT17	B	1	PD04JAN18	3281.50	3221.50	USD	-1,500.00	
040CT17	S	1	PD04JAN18	3284.50	3221.50	USD	1,575.00	
040CT17	S	1	PD04JAN18	3289.50	3221.50	USD	1,700.00	
040CT17	B	3	PD04JAN18	3295.00	3221.50	USD	-5,512.50	
040CT17	B	2	PD04JAN18	3290.50	3221.50	USD	-3,450.00	
040CT17	S	1	PD04JAN18	3278.00	3221.50	USD	1,412.50	
040CT17	B	1	PD04JAN18	3279.50	3221.50	USD	-1,450.00	
040CT17	B	1	PD04JAN18	3275.50	3221.50	USD	-1,350.00	
040CT17	B	1	PD04JAN18	3285.00	3221.50	USD	-1,587.50	
040CT17	S	1	PD04JAN18	3291.00	3221.50	USD	1,737.50	
040CT17	S	1	PD04JAN18	3292.00	3221.50	USD	1,762.50	
040CT17	S	2	PD04JAN18	3293.50	3221.50	USD	3,600.00	
040CT17	S	1	PD04JAN18	3292.50	3221.50	USD	1,775.00	
040CT17	S	1	PD04JAN18	3299.50	3221.50	USD	1,950.00	
040CT17	B	1	PD04JAN18	3293.00	3221.50	USD	-1,787.50	
040CT17	B	1	PD04JAN18	3302.00	3221.50	USD	-2,012.50	
040CT17	S	1	PD04JAN18	3287.50	3221.50	USD	1,650.00	
040CT17	B	1	PD04JAN18	3296.00	3221.50	USD	-1,862.50	
040CT17	S	1	PD04JAN18	3296.00	3221.50	USD	1,862.50	
040CT17	S	2	PD04JAN18	3294.50	3221.50	USD	3,650.00	
040CT17	S	1	PD04JAN18	3291.50	3221.50	USD	1,750.00	
040CT17	B	1	PD04JAN18	3290.00	3221.50	USD	-1,712.50	
040CT17	S	2	PD04JAN18	3282.50	3221.50	USD	3,050.00	
040CT17	B	1	PD04JAN18	3282.00	3221.50	USD	-1,512.50	
040CT17	B	1	PD04JAN18	3282.50	3221.50	USD	-1,525.00	
040CT17	S	1	PD04JAN18	3282.00	3221.50	USD	1,512.50	
040CT17	B	1	PD04JAN18	3276.50	3221.50	USD	-1,375.00	
040CT17	B	1	PD04JAN18	3277.00	3221.50	USD	-1,387.50	
	NET	0AVG BGHT 3287.44	SOLD 3289.71				962.50	0.00
050CT17	S	3	PD05JAN18	3275.00	3221.00	USD	4,050.00	
050CT17	S	1	PD05JAN18	3273.50	3221.00	USD	1,312.50	
050CT17	S	1	PD05JAN18	3280.50	3221.00	USD	1,487.50	
050CT17	S	1	PD05JAN18	3281.00	3221.00	USD	1,500.00	
050CT17	S	1	PD05JAN18	3279.50	3221.00	USD	1,462.50	
050CT17	S	1	PD05JAN18	3289.00	3221.00	USD	1,700.00	
050CT17	S	1	PD05JAN18	3288.00	3221.00	USD	1,675.00	
050CT17	S	2	PD05JAN18	3288.50	3221.00	USD	3,375.00	
050CT17	S	3	PD05JAN18	3291.00	3221.00	USD	5,250.00	
050CT17	S	2	PD05JAN18	3291.50	3221.00	USD	3,525.00	

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05OCT17	B	2	PD05JAN18	3281.00	3221.00	USD	-3,000.00	
05OCT17	S	1	PD05JAN18	3289.50	3221.00	USD	1,712.50	
05OCT17	S	1	PD05JAN18	3292.50	3221.00	USD	1,787.50	
05OCT17	S	3	PD05JAN18	3292.00	3221.00	USD	5,325.00	
05OCT17	B	1	PD05JAN18	3292.00	3221.00	USD	-1,775.00	
05OCT17	B	1	PD05JAN18	3306.00	3221.00	USD	-2,125.00	
05OCT17	B	3	PD05JAN18	3307.00	3221.00	USD	-6,450.00	
05OCT17	B	1	PD05JAN18	3304.50	3221.00	USD	-2,087.50	
05OCT17	B	2	PD05JAN18	3297.00	3221.00	USD	-3,800.00	
05OCT17	B	2	PD05JAN18	3296.50	3221.00	USD	-3,775.00	
05OCT17	B	2	PD05JAN18	3296.00	3221.00	USD	-3,750.00	
05OCT17	B	1	PD05JAN18	3297.50	3221.00	USD	-1,912.50	
05OCT17	B	1	PD05JAN18	3295.50	3221.00	USD	-1,862.50	
05OCT17	S	1	PD05JAN18	3296.00	3221.00	USD	1,875.00	
05OCT17	S	1	PD05JAN18	3305.00	3221.00	USD	2,100.00	
05OCT17	S	1	PD05JAN18	3306.50	3221.00	USD	2,137.50	
05OCT17	B	3	PD05JAN18	3288.00	3221.00	USD	-5,025.00	
05OCT17	B	1	PD05JAN18	3295.00	3221.00	USD	-1,850.00	
05OCT17	B	1	PD05JAN18	3294.00	3221.00	USD	-1,825.00	
05OCT17	B	3	PD05JAN18	3298.00	3221.00	USD	-5,775.00	
05OCT17	B	1	PD05JAN18	3300.00	3221.00	USD	-1,975.00	
05OCT17	B	1	PD05JAN18	3296.00	3221.00	USD	-1,875.00	
05OCT17	S	1	PD05JAN18	3299.00	3221.00	USD	1,950.00	
06OCT17	S	1	PD05JAN18	3288.50	3221.00	USD	1,687.50	
06OCT17	S	1	PD05JAN18	3291.00	3221.00	USD	1,750.00	
06OCT17	S	2	PD05JAN18	3289.00	3221.00	USD	3,400.00	
06OCT17	S	1	PD05JAN18	3285.00	3221.00	USD	1,600.00	
06OCT17	S	1	PD05JAN18	3285.50	3221.00	USD	1,612.50	
06OCT17	S	2	PD05JAN18	3279.50	3221.00	USD	2,925.00	
06OCT17	B	1	PD05JAN18	3282.00	3221.00	USD	-1,525.00	
06OCT17	S	2	PD05JAN18	3279.00	3221.00	USD	2,900.00	
06OCT17	B	2	PD05JAN18	3262.00	3221.00	USD	-2,050.00	
06OCT17	B	1	PD05JAN18	3260.00	3221.00	USD	-975.00	
06OCT17	B	1	PD05JAN18	3257.00	3221.00	USD	-900.00	
06OCT17	B	1	PD05JAN18	3255.00	3221.00	USD	-850.00	
06OCT17	B	1	PD05JAN18	3253.50	3221.00	USD	-812.50	
06OCT17	S	1	PD05JAN18	3269.50	3221.00	USD	1,212.50	
06OCT17	S	1	PD05JAN18	3265.00	3221.00	USD	1,100.00	
06OCT17	B	1	PD05JAN18	3265.00	3221.00	USD	-1,100.00	
06OCT17	S	1	PD05JAN18	3281.00	3221.00	USD	1,500.00	
06OCT17	S	1	PD05JAN18	3240.00	3221.00	USD	475.00	
06OCT17	S	1	PD05JAN18	3243.00	3221.00	USD	550.00	
06OCT17	B	1	PD05JAN18	3240.50	3221.00	USD	-487.50	
06OCT17	B	1	PD05JAN18	3243.00	3221.00	USD	-550.00	
06OCT17	B	1	PD05JAN18	3244.00	3221.00	USD	-575.00	
10OCT17	B	2	PD05JAN18	3280.84	3221.00	USD	-2,992.00	
10OCT17	B	1	PD05JAN18	3280.64	3221.00	USD	-1,491.00	
	NET	0AVG BGHT 3284.17	SOLD 3283.94				-233.00	0.00
09OCT17	S	1	PD09JAN18	3252.50	3220.00	USD	812.50	
09OCT17	B	1	PD09JAN18	3266.50	3220.00	USD	-1,162.50	
09OCT17	B	3	PD09JAN18	3267.00	3220.00	USD	-3,525.00	
09OCT17	S	1	PD09JAN18	3267.00	3220.00	USD	1,175.00	
09OCT17	B	3	PD09JAN18	3267.50	3220.00	USD	-3,562.50	
09OCT17	S	1	PD09JAN18	3277.00	3220.00	USD	1,425.00	
09OCT17	B	1	PD09JAN18	3264.00	3220.00	USD	-1,100.00	
09OCT17	S	2	PD09JAN18	3265.00	3220.00	USD	2,250.00	
09OCT17	S	1	PD09JAN18	3268.50	3220.00	USD	1,212.50	

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09OCT17	S	1	PD09JAN18	3269.50	3220.00	USD	1,237.50	
09OCT17	S	1	PD09JAN18	3271.00	3220.00	USD	1,275.00	
09OCT17	S	1	PD09JAN18	3270.50	3220.00	USD	1,262.50	
09OCT17	S	1	PD09JAN18	3274.50	3220.00	USD	1,362.50	
09OCT17	S	1	PD09JAN18	3275.50	3220.00	USD	1,387.50	
09OCT17	S	1	PD09JAN18	3275.00	3220.00	USD	1,375.00	
09OCT17	S	1	PD09JAN18	3272.00	3220.00	USD	1,300.00	
09OCT17	B	1	PD09JAN18	3258.00	3220.00	USD	-950.00	
09OCT17	B	1	PD09JAN18	3258.50	3220.00	USD	-962.50	
09OCT17	B	1	PD09JAN18	3256.00	3220.00	USD	-900.00	
09OCT17	B	3	PD09JAN18	3255.50	3220.00	USD	-2,662.50	
09OCT17	B	1	PD09JAN18	3257.00	3220.00	USD	-925.00	
09OCT17	S	1	PD09JAN18	3255.50	3220.00	USD	887.50	
09OCT17	B	1	PD09JAN18	3253.00	3220.00	USD	-825.00	
09OCT17	S	2	PD09JAN18	3268.00	3220.00	USD	2,400.00	
09OCT17	S	1	PD09JAN18	3255.00	3220.00	USD	875.00	
09OCT17	B	4	PD09JAN18	3263.50	3220.00	USD	-4,350.00	
09OCT17	B	1	PD09JAN18	3262.50	3220.00	USD	-1,062.50	
09OCT17	B	4	PD09JAN18	3259.00	3220.00	USD	-3,900.00	
09OCT17	B	2	PD09JAN18	3254.50	3220.00	USD	-1,725.00	
09OCT17	B	1	PD09JAN18	3247.50	3220.00	USD	-687.50	
09OCT17	B	1	PD09JAN18	3246.50	3220.00	USD	-662.50	
09OCT17	B	1	PD09JAN18	3245.50	3220.00	USD	-637.50	
09OCT17	S	2	PD09JAN18	3246.00	3220.00	USD	1,300.00	
09OCT17	S	1	PD09JAN18	3256.50	3220.00	USD	912.50	
09OCT17	B	1	PD09JAN18	3239.50	3220.00	USD	-487.50	
09OCT17	B	1	PD09JAN18	3237.00	3220.00	USD	-425.00	
09OCT17	B	1	PD09JAN18	3239.00	3220.00	USD	-475.00	
09OCT17	B	2	PD09JAN18	3237.50	3220.00	USD	-875.00	
09OCT17	B	1	PD09JAN18	3235.50	3220.00	USD	-387.50	
09OCT17	B	4	PD09JAN18	3245.00	3220.00	USD	-2,500.00	
09OCT17	S	2	PD09JAN18	3259.00	3220.00	USD	1,950.00	
09OCT17	S	1	PD09JAN18	3261.50	3220.00	USD	1,037.50	
09OCT17	S	2	PD09JAN18	3253.50	3220.00	USD	1,675.00	
09OCT17	S	1	PD09JAN18	3252.00	3220.00	USD	800.00	
09OCT17	B	1	PD09JAN18	3247.50	3220.00	USD	-687.50	
10OCT17	S	1	PD09JAN18	3279.35	3220.00	USD	1,483.75	
	NET	+14 AVG BGHT 3254.57	SOLD 3263.55				-6,041.25	0.00
10OCT17	S	2	PD10JAN18	3250.00	3219.50	USD	1,525.00	
10OCT17	S	1	PD10JAN18	3242.00	3219.50	USD	562.50	
10OCT17	B	1	PD10JAN18	3233.00	3219.50	USD	-337.50	
10OCT17	B	4	PD10JAN18	3232.50	3219.50	USD	-1,300.00	
10OCT17	S	2	PD10JAN18	3239.50	3219.50	USD	1,000.00	
10OCT17	B	2	PD10JAN18	3231.00	3219.50	USD	-575.00	
10OCT17	B	1	PD10JAN18	3228.00	3219.50	USD	-212.50	
10OCT17	S	1	PD10JAN18	3220.00	3219.50	USD	12.50	
10OCT17	S	1	PD10JAN18	3223.50	3219.50	USD	100.00	
10OCT17	S	5	PD10JAN18	3222.50	3219.50	USD	375.00	
10OCT17	S	1	PD10JAN18	3221.50	3219.50	USD	50.00	
10OCT17	S	4	PD10JAN18	3221.00	3219.50	USD	150.00	
10OCT17	S	1	PD10JAN18	3222.00	3219.50	USD	62.50	
10OCT17	S	1	PD10JAN18	3224.50	3219.50	USD	125.00	
10OCT17	S	3	PD10JAN18	3223.00	3219.50	USD	262.50	
10OCT17	B	1	PD10JAN18	3230.00	3219.50	USD	-262.50	
10OCT17	S	1	PD10JAN18	3232.00	3219.50	USD	312.50	
10OCT17	B	1	PD10JAN18	3212.50	3219.50	USD	175.00	
10OCT17	S	1	PD10JAN18	3219.00	3219.50	USD	-12.50	

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10OCT17	B	1	PD10JAN18	3220.50	3219.50	USD	-25.00	
10OCT17	S	1	PD10JAN18	3249.50	3219.50	USD	750.00	
10OCT17	S	2	PD10JAN18	3249.00	3219.50	USD	1,475.00	
10OCT17	S	2	PD10JAN18	3248.50	3219.50	USD	1,450.00	
10OCT17	B	2	PD10JAN18	3239.00	3219.50	USD	-975.00	
10OCT17	S	1	PD10JAN18	3259.50	3219.50	USD	1,000.00	
10OCT17	B	1	PD10JAN18	3260.00	3219.50	USD	-1,012.50	
10OCT17	S	1	PD10JAN18	3278.00	3219.50	USD	1,462.50	
10OCT17	S	3	PD10JAN18	3282.00	3219.50	USD	4,687.50	
10OCT17	S	1	PD10JAN18	3281.50	3219.50	USD	1,550.00	
10OCT17	S	1	PD10JAN18	3282.50	3219.50	USD	1,575.00	
10OCT17	S	1	PD10JAN18	3280.00	3219.50	USD	1,512.50	
10OCT17	B	2	PD10JAN18	3271.00	3219.50	USD	-2,575.00	
10OCT17	S	1	PD10JAN18	3249.00	3219.50	USD	737.50	
10OCT17	S	1	PD10JAN18	3275.50	3219.50	USD	1,400.00	
10OCT17	B	2	PD10JAN18	3277.50	3219.50	USD	-2,900.00	
10OCT17	B	1	PD10JAN18	3277.00	3219.50	USD	-1,437.50	
10OCT17	B	2	PD10JAN18	3276.50	3219.50	USD	-2,850.00	
10OCT17	B	1	PD10JAN18	3276.50	3219.50	USD	-1,425.00	
10OCT17	B	2	PD10JAN18	3281.00	3219.50	USD	-3,075.00	
	NET	-15 AVG BGHT 3250.81	SOLD 3242.19				3,337.50	0.00
11OCT17	B	1	PD11JAN18	3245.50	3219.00	USD	-662.50	
11OCT17	B	1	PD11JAN18	3247.00	3219.00	USD	-700.00	
11OCT17	B	1	PD11JAN18	3237.50	3219.00	USD	-462.50	
11OCT17	S	1	PD11JAN18	3236.50	3219.00	USD	437.50	
11OCT17	S	1	PD11JAN18	3241.00	3219.00	USD	550.00	
11OCT17	S	1	PD11JAN18	3243.50	3219.00	USD	612.50	
11OCT17	B	1	PD11JAN18	3240.00	3219.00	USD	-525.00	
11OCT17	B	1	PD11JAN18	3232.50	3219.00	USD	-337.50	
11OCT17	B	2	PD11JAN18	3234.00	3219.00	USD	-750.00	
11OCT17	B	1	PD11JAN18	3231.00	3219.00	USD	-300.00	
11OCT17	B	1	PD11JAN18	3225.50	3219.00	USD	-162.50	
11OCT17	B	1	PD11JAN18	3233.50	3219.00	USD	-362.50	
11OCT17	B	1	PD11JAN18	3220.00	3219.00	USD	-25.00	
11OCT17	B	1	PD11JAN18	3217.00	3219.00	USD	50.00	
11OCT17	B	1	PD11JAN18	3203.50	3219.00	USD	387.50	
11OCT17	B	1	PD11JAN18	3196.50	3219.00	USD	562.50	
11OCT17	B	1	PD11JAN18	3219.00	3219.00	USD	0.00	
11OCT17	S	1	PD11JAN18	3235.00	3219.00	USD	400.00	
11OCT17	S	1	PD11JAN18	3234.50	3219.00	USD	387.50	
11OCT17	S	1	PD11JAN18	3230.00	3219.00	USD	275.00	
11OCT17	S	1	PD11JAN18	3220.00	3219.00	USD	25.00	
11OCT17	S	2	PD11JAN18	3220.50	3219.00	USD	75.00	
11OCT17	S	2	PD11JAN18	3215.00	3219.00	USD	-200.00	
11OCT17	S	1	PD11JAN18	3215.50	3219.00	USD	-87.50	
11OCT17	S	1	PD11JAN18	3217.50	3219.00	USD	-37.50	
11OCT17	S	1	PD11JAN18	3222.50	3219.00	USD	87.50	
11OCT17	S	2	PD11JAN18	3223.00	3219.00	USD	200.00	
11OCT17	S	2	PD11JAN18	3221.00	3219.00	USD	100.00	
11OCT17	S	1	PD11JAN18	3222.00	3219.00	USD	75.00	
	NET	-4 AVG BGHT 3227.77	SOLD 3225.11				-387.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-3,178,689.25
LME Copper Grade A 25	FUTURES	-5,315.25
LME Lead 25 tonnes. This is an	FUTURES	328,666.00
LME Nickel 6 tonnes. This is	FUTURES	79,404.72
LME Zinc 25 tonnes. This is	FUTURES	279,234.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 158,034.00	158,034.00		
COPL - Futures	USD -5,315.25	-5,315.25		
LEAD - Futures	USD 136,316.00	136,316.00		
NKL - Futures	USD 12,620.88	12,620.88		
ZINC - Futures	USD -42,428.25	-42,428.25	259,227.38	259,227.38

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-149,484.69	-149,484.69
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-149,484.69	-149,484.69
Initial Margins	0.00	0.00
Excess/(Shortage)	-149,484.69	-149,484.69
FOR INFORMATION ONLY		
Forward Unrealised P&L	259,227.38	259,227.38
Net Liquidating Value	109,742.69	109,742.69

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	228.69	228.69
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	228.69	228.69
Initial Margins	0.00	0.00
Excess/(Shortage)	228.69	228.69
FOR INFORMATION ONLY		
Net Liquidating Value	228.69	228.69

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
04OCT17	S	1	PD04JAN18	2152.00	2128.65	USD	583.75	
04OCT17	B	1	PD04JAN18	2145.00	2128.65	USD	-408.75	
04OCT17	B	1	PD04JAN18	2155.50	2128.65	USD	-671.25	
04OCT17	S	1	PD04JAN18	2158.50	2128.65	USD	746.25	
04OCT17	B	1	PD04JAN18	2149.50	2128.65	USD	-521.25	
10OCT17	S	1	PD04JAN18	2161.75	2128.65	USD	827.50	
	NET	0 AVG	BGHT 2150.00				556.25	0.00
	SOLD 2157.42							
05OCT17	B	1	PD05JAN18	2149.50	2128.85	USD	-516.25	
05OCT17	S	2	PD05JAN18	2164.00	2128.85	USD	1,757.50	
10OCT17	B	1	PD05JAN18	2162.16	2128.85	USD	-832.75	
	NET	0 AVG	BGHT 2155.83				408.50	0.00
	SOLD 2164.00							
09OCT17	B	1	PD09JAN18	2149.50	2129.62	USD	-497.00	
09OCT17	S	1	PD09JAN18	2157.50	2129.62	USD	697.00	
	NET	0 AVG	BGHT 2149.50				200.00	0.00
	SOLD 2157.50							
10OCT17	B	1	PD10JAN18	2159.00	2129.81	USD	-729.75	
10OCT17	B	1	PD10JAN18	2159.50	2129.81	USD	-742.25	
10OCT17	B	1	PD10JAN18	2155.00	2129.81	USD	-629.75	
10OCT17	B	1	PD10JAN18	2165.00	2129.81	USD	-879.75	
10OCT17	B	1	PD10JAN18	2158.00	2129.81	USD	-704.75	
10OCT17	S	2	PD10JAN18	2164.50	2129.81	USD	1,734.50	
10OCT17	S	1	PD10JAN18	2163.50	2129.81	USD	842.25	
10OCT17	S	1	PD10JAN18	2163.50	2129.81	USD	842.25	
	NET	+1 AVG	BGHT 2159.30				-267.25	0.00
	SOLD 2164.00							
11OCT17	S	1	PD11JAN18	2157.50	2130.00	USD	687.50	
11OCT17	B	3	PD11JAN18	2153.00	2130.00	USD	-1,725.00	
11OCT17	B	1	PD11JAN18	2144.50	2130.00	USD	-362.50	
11OCT17	B	1	PD11JAN18	2143.50	2130.00	USD	-337.50	
11OCT17	S	2	PD11JAN18	2151.00	2130.00	USD	1,050.00	
11OCT17	S	2	PD11JAN18	2152.00	2130.00	USD	1,100.00	
11OCT17	S	1	PD11JAN18	2153.50	2130.00	USD	587.50	
11OCT17	B	1	PD11JAN18	2133.50	2130.00	USD	-87.50	
11OCT17	S	1	PD11JAN18	2138.50	2130.00	USD	212.50	
	NET	-1 AVG	BGHT 2146.75				1,125.00	0.00
	SOLD 2150.79							
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	S	1	PD05JAN18	2563.50	2564.40	USD	-22.50	
05OCT17	S	1	PD05JAN18	2572.50	2564.40	USD	202.50	
05OCT17	B	1	PD05JAN18	2588.50	2564.40	USD	-602.50	
06OCT17	S	1	PD05JAN18	2583.00	2564.40	USD	465.00	
06OCT17	B	3	PD05JAN18	2581.00	2564.40	USD	-1,245.00	
06OCT17	S	1	PD05JAN18	2573.00	2564.40	USD	215.00	
06OCT17	S	2	PD05JAN18	2571.50	2564.40	USD	355.00	
06OCT17	B	1	PD05JAN18	2569.00	2564.40	USD	-115.00	
06OCT17	B	1	PD05JAN18	2568.50	2564.40	USD	-102.50	
06OCT17	B	1	PD05JAN18	2568.00	2564.40	USD	-90.00	
06OCT17	B	2	PD05JAN18	2570.50	2564.40	USD	-305.00	
06OCT17	B	1	PD05JAN18	2569.50	2564.40	USD	-127.50	
06OCT17	B	1	PD05JAN18	2563.50	2564.40	USD	22.50	
06OCT17	S	1	PD05JAN18	2550.50	2564.40	USD	-347.50	
06OCT17	S	1	PD05JAN18	2545.50	2564.40	USD	-472.50	
06OCT17	S	1	PD05JAN18	2520.50	2564.40	USD	-1,097.50	
06OCT17	S	1	PD05JAN18	2523.50	2564.40	USD	-1,022.50	
06OCT17	B	1	PD05JAN18	2527.50	2564.40	USD	922.50	
06OCT17	B	1	PD05JAN18	2538.00	2564.40	USD	660.00	
06OCT17	B	1	PD05JAN18	2539.00	2564.40	USD	635.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	4	PD05JAN18	2555.93	2564.40	USD	-847.00	
	NET	0 AVG BGHT 2565.39	SOLD 2557.05				-2,919.50	0.00
09OCT17	B	1	PD09JAN18	2520.00	2564.80	USD	1,120.00	
09OCT17	S	1	PD09JAN18	2528.00	2564.80	USD	-920.00	
09OCT17	S	1	PD09JAN18	2530.00	2564.80	USD	-870.00	
09OCT17	S	1	PD09JAN18	2530.50	2564.80	USD	-857.50	
09OCT17	S	1	PD09JAN18	2531.50	2564.80	USD	-832.50	
09OCT17	S	1	PD09JAN18	2532.50	2564.80	USD	-807.50	
09OCT17	B	2	PD09JAN18	2515.50	2564.80	USD	2,465.00	
09OCT17	B	1	PD09JAN18	2517.00	2564.80	USD	1,195.00	
09OCT17	B	1	PD09JAN18	2518.00	2564.80	USD	1,170.00	
09OCT17	B	1	PD09JAN18	2518.50	2564.80	USD	1,157.50	
09OCT17	S	1	PD09JAN18	2513.50	2564.80	USD	-1,282.50	
09OCT17	B	1	PD09JAN18	2509.50	2564.80	USD	1,382.50	
09OCT17	S	1	PD09JAN18	2514.50	2564.80	USD	-1,257.50	
09OCT17	S	3	PD09JAN18	2514.00	2564.80	USD	-3,810.00	
09OCT17	S	1	PD09JAN18	2509.00	2564.80	USD	-1,395.00	
09OCT17	S	2	PD09JAN18	2512.50	2564.80	USD	-2,615.00	
09OCT17	B	3	PD09JAN18	2509.00	2564.80	USD	4,185.00	
09OCT17	B	1	PD09JAN18	2508.50	2564.80	USD	1,407.50	
09OCT17	B	2	PD09JAN18	2516.50	2564.80	USD	2,415.00	
09OCT17	B	1	PD09JAN18	2515.00	2564.80	USD	1,245.00	
09OCT17	B	3	PD09JAN18	2517.50	2564.80	USD	3,547.50	
09OCT17	B	1	PD09JAN18	2512.00	2564.80	USD	1,320.00	
09OCT17	B	1	PD09JAN18	2511.00	2564.80	USD	1,345.00	
09OCT17	B	2	PD09JAN18	2511.50	2564.80	USD	2,665.00	
09OCT17	S	1	PD09JAN18	2510.00	2564.80	USD	-1,370.00	
09OCT17	S	1	PD09JAN18	2512.00	2564.80	USD	-1,320.00	
09OCT17	S	1	PD09JAN18	2519.00	2564.80	USD	-1,145.00	
09OCT17	S	8	PD09JAN18	2513.00	2564.80	USD	-10,360.00	
10OCT17	B	4	PD09JAN18	2556.99	2564.80	USD	781.00	
	NET	+1 AVG BGHT 2520.96	SOLD 2516.73				-1,441.50	0.00
10OCT17	B	2	PD10JAN18	2495.00	2564.90	USD	3,495.00	
10OCT17	B	1	PD10JAN18	2494.00	2564.90	USD	1,772.50	
10OCT17	B	1	PD10JAN18	2501.00	2564.90	USD	1,597.50	
10OCT17	B	1	PD10JAN18	2503.50	2564.90	USD	1,535.00	
10OCT17	B	1	PD10JAN18	2504.00	2564.90	USD	1,522.50	
10OCT17	B	3	PD10JAN18	2497.50	2564.90	USD	5,055.00	
10OCT17	B	1	PD10JAN18	2496.50	2564.90	USD	1,710.00	
10OCT17	B	1	PD10JAN18	2497.00	2564.90	USD	1,697.50	
10OCT17	S	1	PD10JAN18	2500.50	2564.90	USD	-1,610.00	
10OCT17	S	4	PD10JAN18	2502.00	2564.90	USD	-6,290.00	
10OCT17	S	1	PD10JAN18	2501.50	2564.90	USD	-1,585.00	
10OCT17	S	1	PD10JAN18	2497.00	2564.90	USD	-1,697.50	
10OCT17	S	2	PD10JAN18	2498.50	2564.90	USD	-3,320.00	
10OCT17	S	1	PD10JAN18	2497.50	2564.90	USD	-1,685.00	
10OCT17	B	1	PD10JAN18	2493.50	2564.90	USD	1,785.00	
10OCT17	B	2	PD10JAN18	2490.50	2564.90	USD	3,720.00	
10OCT17	B	1	PD10JAN18	2491.00	2564.90	USD	1,847.50	
10OCT17	B	1	PD10JAN18	2491.50	2564.90	USD	1,835.00	
10OCT17	B	1	PD10JAN18	2492.00	2564.90	USD	1,822.50	
10OCT17	S	4	PD10JAN18	2501.00	2564.90	USD	-6,390.00	
10OCT17	S	2	PD10JAN18	2503.50	2564.90	USD	-3,070.00	
10OCT17	B	2	PD10JAN18	2500.00	2564.90	USD	3,245.00	
10OCT17	S	1	PD10JAN18	2506.00	2564.90	USD	-1,472.50	
10OCT17	B	1	PD10JAN18	2492.50	2564.90	USD	1,810.00	
10OCT17	S	1	PD10JAN18	2510.50	2564.90	USD	-1,360.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	2519.00	2564.90	USD	-2,295.00	
10OCT17	S	1	PD10JAN18	2516.00	2564.90	USD	-1,222.50	
10OCT17	S	2	PD10JAN18	2517.50	2564.90	USD	-2,370.00	
10OCT17	S	1	PD10JAN18	2520.00	2564.90	USD	-1,122.50	
10OCT17	S	1	PD10JAN18	2516.50	2564.90	USD	-1,210.00	
10OCT17	B	1	PD10JAN18	2510.00	2564.90	USD	1,372.50	
10OCT17	S	1	PD10JAN18	2515.00	2564.90	USD	-1,247.50	
10OCT17	B	1	PD10JAN18	2501.50	2564.90	USD	1,585.00	
10OCT17	S	1	PD10JAN18	2505.00	2564.90	USD	-1,497.50	
10OCT17	S	1	PD10JAN18	2523.00	2564.90	USD	-1,047.50	
10OCT17	S	1	PD10JAN18	2524.00	2564.90	USD	-1,022.50	
10OCT17	B	1	PD10JAN18	2511.50	2564.90	USD	1,335.00	
10OCT17	B	1	PD10JAN18	2509.00	2564.90	USD	1,397.50	
10OCT17	B	1	PD10JAN18	2506.50	2564.90	USD	1,460.00	
10OCT17	B	1	PD10JAN18	2511.00	2564.90	USD	1,347.50	
10OCT17	B	3	PD10JAN18	2508.50	2564.90	USD	4,230.00	
10OCT17	S	1	PD10JAN18	2520.50	2564.90	USD	-1,110.00	
10OCT17	S	1	PD10JAN18	2529.00	2564.90	USD	-897.50	
10OCT17	S	2	PD10JAN18	2530.50	2564.90	USD	-1,720.00	
10OCT17	S	1	PD10JAN18	2534.50	2564.90	USD	-760.00	
10OCT17	S	2	PD10JAN18	2535.00	2564.90	USD	-1,495.00	
10OCT17	S	1	PD10JAN18	2540.50	2564.90	USD	-610.00	
10OCT17	B	1	PD10JAN18	2544.50	2564.90	USD	510.00	
10OCT17	B	1	PD10JAN18	2547.00	2564.90	USD	447.50	
10OCT17	B	1	PD10JAN18	2548.50	2564.90	USD	410.00	
10OCT17	B	1	PD10JAN18	2548.00	2564.90	USD	422.50	
10OCT17	B	1	PD10JAN18	2559.50	2564.90	USD	135.00	
10OCT17	B	1	PD10JAN18	2559.00	2564.90	USD	147.50	
10OCT17	B	1	PD10JAN18	2558.50	2564.90	USD	160.00	
	NET	-1	AVG BGHT 2510.00	SOLD 2512.89			1,302.50	0.00
11OCT17	S	1	PD11JAN18	2541.00	2565.00	USD	-600.00	
11OCT17	B	1	PD11JAN18	2533.50	2565.00	USD	787.50	
11OCT17	B	1	PD11JAN18	2527.00	2565.00	USD	950.00	
11OCT17	B	1	PD11JAN18	2536.00	2565.00	USD	725.00	
11OCT17	B	1	PD11JAN18	2535.50	2565.00	USD	737.50	
11OCT17	B	1	PD11JAN18	2535.00	2565.00	USD	750.00	
11OCT17	B	1	PD11JAN18	2534.00	2565.00	USD	775.00	
11OCT17	B	1	PD11JAN18	2532.50	2565.00	USD	812.50	
11OCT17	B	1	PD11JAN18	2530.50	2565.00	USD	862.50	
11OCT17	B	2	PD11JAN18	2526.00	2565.00	USD	1,950.00	
11OCT17	B	1	PD11JAN18	2525.50	2565.00	USD	987.50	
11OCT17	B	2	PD11JAN18	2524.50	2565.00	USD	2,025.00	
11OCT17	B	2	PD11JAN18	2524.00	2565.00	USD	2,050.00	
11OCT17	B	1	PD11JAN18	2511.00	2565.00	USD	1,350.00	
11OCT17	B	1	PD11JAN18	2514.00	2565.00	USD	1,275.00	
11OCT17	S	1	PD11JAN18	2522.00	2565.00	USD	-1,075.00	
11OCT17	S	1	PD11JAN18	2525.00	2565.00	USD	-1,000.00	
11OCT17	S	2	PD11JAN18	2530.00	2565.00	USD	-1,750.00	
11OCT17	S	1	PD11JAN18	2532.00	2565.00	USD	-825.00	
11OCT17	S	1	PD11JAN18	2530.50	2565.00	USD	-862.50	
11OCT17	S	1	PD11JAN18	2529.00	2565.00	USD	-900.00	
11OCT17	S	1	PD11JAN18	2533.00	2565.00	USD	-800.00	
11OCT17	S	1	PD11JAN18	2534.00	2565.00	USD	-775.00	
11OCT17	S	1	PD11JAN18	2534.50	2565.00	USD	-762.50	
11OCT17	S	2	PD11JAN18	2533.50	2565.00	USD	-1,575.00	
11OCT17	S	1	PD11JAN18	2535.00	2565.00	USD	-750.00	
11OCT17	S	1	PD11JAN18	2518.50	2565.00	USD	-1,162.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	2517.50	2565.00	USD	-1,187.50	
11OCT17	S	3	PD11JAN18	2531.00	2565.00	USD	-2,550.00	
11OCT17	B	1	PD11JAN18	2543.00	2565.00	USD	550.00	
11OCT17	B	1	PD11JAN18	2543.50	2565.00	USD	537.50	
11OCT17	S	1	PD11JAN18	2537.50	2565.00	USD	-687.50	
11OCT17	B	1	PD11JAN18	2519.00	2565.00	USD	1,150.00	
11OCT17	B	3	PD11JAN18	2523.00	2565.00	USD	3,150.00	
11OCT17	B	1	PD11JAN18	2519.50	2565.00	USD	1,137.50	
11OCT17	S	1	PD11JAN18	2528.50	2565.00	USD	-912.50	
11OCT17	B	1	PD11JAN18	2517.00	2565.00	USD	1,200.00	
11OCT17	S	2	PD11JAN18	2528.00	2565.00	USD	-1,850.00	
11OCT17	S	1	PD11JAN18	2538.50	2565.00	USD	-662.50	
11OCT17	S	1	PD11JAN18	2551.00	2565.00	USD	-350.00	
11OCT17	S	1	PD11JAN18	2554.00	2565.00	USD	-275.00	
11OCT17	S	1	PD11JAN18	2555.50	2565.00	USD	-237.50	
11OCT17	S	1	PD11JAN18	2562.00	2565.00	USD	-75.00	
11OCT17	B	1	PD11JAN18	2561.00	2565.00	USD	100.00	
11OCT17	B	1	PD11JAN18	2554.50	2565.00	USD	262.50	
11OCT17	B	1	PD11JAN18	2556.50	2565.00	USD	212.50	
	NET	0AVG BGHT 2530.23		SOLD 2534.11			2,712.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3033.00	3230.00	USD	-4,925.00	
15SEP17	B	1	PD15DEC17	3033.00	3230.00	USD	4,925.00	
	NET	0AVG BGHT 3033.00		SOLD 3033.00			0.00	0.00
04OCT17	B	1	PD04JAN18	3289.00	3221.50	USD	-1,687.50	
04OCT17	S	1	PD04JAN18	3295.00	3221.50	USD	1,837.50	
04OCT17	B	1	PD04JAN18	3295.00	3221.50	USD	-1,837.50	
04OCT17	S	1	PD04JAN18	3276.50	3221.50	USD	1,375.00	
04OCT17	B	1	PD04JAN18	3275.50	3221.50	USD	-1,350.00	
04OCT17	B	1	PD04JAN18	3285.00	3221.50	USD	-1,587.50	
04OCT17	S	1	PD04JAN18	3279.50	3221.50	USD	1,450.00	
04OCT17	S	1	PD04JAN18	3292.00	3221.50	USD	1,762.50	
04OCT17	S	1	PD04JAN18	3295.50	3221.50	USD	1,850.00	
04OCT17	S	1	PD04JAN18	3291.50	3221.50	USD	1,750.00	
04OCT17	B	1	PD04JAN18	3283.50	3221.50	USD	-1,550.00	
04OCT17	B	1	PD04JAN18	3282.00	3221.50	USD	-1,512.50	
	NET	0AVG BGHT 3285.00		SOLD 3288.33			500.00	0.00
05OCT17	S	2	PD05JAN18	3290.00	3221.00	USD	3,450.00	
05OCT17	S	1	PD05JAN18	3291.50	3221.00	USD	1,762.50	
05OCT17	S	1	PD05JAN18	3290.50	3221.00	USD	1,737.50	
05OCT17	S	1	PD05JAN18	3302.50	3221.00	USD	2,037.50	
05OCT17	B	1	PD05JAN18	3307.00	3221.00	USD	-2,150.00	
05OCT17	B	1	PD05JAN18	3297.00	3221.00	USD	-1,900.00	
05OCT17	B	1	PD05JAN18	3296.00	3221.00	USD	-1,875.00	
05OCT17	B	1	PD05JAN18	3295.50	3221.00	USD	-1,862.50	
05OCT17	B	1	PD05JAN18	3292.50	3221.00	USD	-1,787.50	
05OCT17	B	1	PD05JAN18	3290.50	3221.00	USD	-1,737.50	
05OCT17	B	1	PD05JAN18	3291.00	3221.00	USD	-1,750.00	
05OCT17	S	1	PD05JAN18	3296.00	3221.00	USD	1,875.00	
05OCT17	S	1	PD05JAN18	3304.50	3221.00	USD	2,087.50	
05OCT17	S	1	PD05JAN18	3303.00	3221.00	USD	2,050.00	
05OCT17	B	2	PD05JAN18	3287.50	3221.00	USD	-3,325.00	
06OCT17	B	1	PD05JAN18	3267.50	3221.00	USD	-1,162.50	
06OCT17	B	1	PD05JAN18	3265.00	3221.00	USD	-1,100.00	
06OCT17	B	2	PD05JAN18	3262.50	3221.00	USD	-2,075.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	B	1	PD05JAN18	3260.50	3221.00	USD	-987.50	
06OCT17	B	2	PD05JAN18	3255.50	3221.00	USD	-1,725.00	
06OCT17	B	1	PD05JAN18	3252.00	3221.00	USD	-775.00	
06OCT17	B	1	PD05JAN18	3251.50	3221.00	USD	-762.50	
06OCT17	B	1	PD05JAN18	3257.00	3221.00	USD	-900.00	
06OCT17	B	1	PD05JAN18	3256.00	3221.00	USD	-875.00	
06OCT17	B	1	PD05JAN18	3255.00	3221.00	USD	-850.00	
06OCT17	S	2	PD05JAN18	3241.50	3221.00	USD	1,025.00	
06OCT17	B	1	PD05JAN18	3243.50	3221.00	USD	-562.50	
06OCT17	S	1	PD05JAN18	3241.00	3221.00	USD	500.00	
06OCT17	S	1	PD05JAN18	3246.50	3221.00	USD	637.50	
06OCT17	S	1	PD05JAN18	3244.00	3221.00	USD	575.00	
10OCT17	S	6	PD05JAN18	3280.64	3221.00	USD	8,946.00	
	NET	+3 AVG BGHT 3272.20	SOLD 3277.18				-1,479.00	0.00
09OCT17	S	2	PD09JAN18	3265.50	3220.00	USD	2,275.00	
09OCT17	S	1	PD09JAN18	3266.00	3220.00	USD	1,150.00	
09OCT17	S	1	PD09JAN18	3268.00	3220.00	USD	1,200.00	
09OCT17	B	1	PD09JAN18	3250.50	3220.00	USD	-762.50	
09OCT17	S	2	PD09JAN18	3250.50	3220.00	USD	1,525.00	
09OCT17	S	6	PD09JAN18	3251.50	3220.00	USD	4,725.00	
09OCT17	S	2	PD09JAN18	3252.00	3220.00	USD	1,600.00	
09OCT17	S	2	PD09JAN18	3249.50	3220.00	USD	1,475.00	
09OCT17	S	1	PD09JAN18	3267.50	3220.00	USD	1,187.50	
09OCT17	B	1	PD09JAN18	3261.50	3220.00	USD	-1,037.50	
09OCT17	S	1	PD09JAN18	3268.50	3220.00	USD	1,212.50	
09OCT17	S	1	PD09JAN18	3274.00	3220.00	USD	1,350.00	
09OCT17	B	1	PD09JAN18	3268.00	3220.00	USD	-1,200.00	
09OCT17	B	3	PD09JAN18	3266.50	3220.00	USD	-3,487.50	
09OCT17	B	4	PD09JAN18	3267.50	3220.00	USD	-4,750.00	
09OCT17	B	3	PD09JAN18	3267.00	3220.00	USD	-3,525.00	
09OCT17	S	1	PD09JAN18	3271.00	3220.00	USD	1,275.00	
09OCT17	S	3	PD09JAN18	3272.00	3220.00	USD	3,900.00	
09OCT17	S	2	PD09JAN18	3273.00	3220.00	USD	2,650.00	
09OCT17	S	4	PD09JAN18	3276.00	3220.00	USD	5,600.00	
09OCT17	S	1	PD09JAN18	3276.50	3220.00	USD	1,412.50	
09OCT17	S	4	PD09JAN18	3275.00	3220.00	USD	5,500.00	
09OCT17	S	3	PD09JAN18	3273.50	3220.00	USD	4,012.50	
09OCT17	S	1	PD09JAN18	3269.00	3220.00	USD	1,225.00	
09OCT17	B	1	PD09JAN18	3263.00	3220.00	USD	-1,075.00	
09OCT17	B	1	PD09JAN18	3265.00	3220.00	USD	-1,125.00	
09OCT17	B	1	PD09JAN18	3261.00	3220.00	USD	-1,025.00	
09OCT17	B	1	PD09JAN18	3260.50	3220.00	USD	-1,012.50	
09OCT17	B	1	PD09JAN18	3259.50	3220.00	USD	-987.50	
09OCT17	B	1	PD09JAN18	3258.50	3220.00	USD	-962.50	
09OCT17	B	4	PD09JAN18	3257.50	3220.00	USD	-3,750.00	
09OCT17	B	2	PD09JAN18	3256.00	3220.00	USD	-1,800.00	
09OCT17	B	1	PD09JAN18	3255.50	3220.00	USD	-887.50	
09OCT17	B	1	PD09JAN18	3254.50	3220.00	USD	-862.50	
09OCT17	B	1	PD09JAN18	3253.50	3220.00	USD	-837.50	
09OCT17	B	3	PD09JAN18	3253.00	3220.00	USD	-2,475.00	
09OCT17	B	1	PD09JAN18	3237.50	3220.00	USD	-437.50	
09OCT17	S	1	PD09JAN18	3241.50	3220.00	USD	537.50	
09OCT17	S	1	PD09JAN18	3256.50	3220.00	USD	912.50	
09OCT17	B	1	PD09JAN18	3237.00	3220.00	USD	-425.00	
09OCT17	B	1	PD09JAN18	3239.00	3220.00	USD	-475.00	
10OCT17	B	6	PD09JAN18	3279.75	3220.00	USD	-8,962.50	
	NET	0 AVG BGHT 3261.86	SOLD 3264.73				2,862.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

11th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	2	PD10JAN18	3248.50	3219.50	USD	-1,450.00	
10OCT17	B	1	PD10JAN18	3246.00	3219.50	USD	-662.50	
10OCT17	B	1	PD10JAN18	3247.50	3219.50	USD	-700.00	
10OCT17	B	1	PD10JAN18	3245.50	3219.50	USD	-650.00	
10OCT17	B	1	PD10JAN18	3244.50	3219.50	USD	-625.00	
10OCT17	B	3	PD10JAN18	3244.00	3219.50	USD	-1,837.50	
10OCT17	B	1	PD10JAN18	3242.50	3219.50	USD	-575.00	
10OCT17	B	1	PD10JAN18	3242.00	3219.50	USD	-562.50	
10OCT17	B	2	PD10JAN18	3233.00	3219.50	USD	-675.00	
10OCT17	B	1	PD10JAN18	3221.00	3219.50	USD	-37.50	
10OCT17	B	1	PD10JAN18	3220.50	3219.50	USD	-25.00	
10OCT17	S	1	PD10JAN18	3227.50	3219.50	USD	200.00	
10OCT17	S	1	PD10JAN18	3222.00	3219.50	USD	62.50	
10OCT17	S	2	PD10JAN18	3224.50	3219.50	USD	250.00	
10OCT17	S	2	PD10JAN18	3232.50	3219.50	USD	650.00	
10OCT17	S	1	PD10JAN18	3231.50	3219.50	USD	300.00	
10OCT17	S	1	PD10JAN18	3233.00	3219.50	USD	337.50	
10OCT17	S	1	PD10JAN18	3243.00	3219.50	USD	587.50	
10OCT17	S	2	PD10JAN18	3285.50	3219.50	USD	3,300.00	
10OCT17	S	1	PD10JAN18	3286.50	3219.50	USD	1,675.00	
10OCT17	S	1	PD10JAN18	3292.50	3219.50	USD	1,825.00	
10OCT17	S	1	PD10JAN18	3291.50	3219.50	USD	1,800.00	
10OCT17	S	2	PD10JAN18	3291.00	3219.50	USD	3,575.00	
10OCT17	S	1	PD10JAN18	3291.50	3219.50	USD	1,800.00	
10OCT17	S	1	PD10JAN18	3292.00	3219.50	USD	1,812.50	
	NET	-3 AVG BGHT 3240.30	SOLD 3259.89				10,375.00	0.00
11OCT17	B	6	PD11JAN18	3252.00	3219.00	USD	-4,950.00	
11OCT17	B	2	PD11JAN18	3242.50	3219.00	USD	-1,175.00	
11OCT17	B	2	PD11JAN18	3243.50	3219.00	USD	-1,225.00	
11OCT17	S	2	PD11JAN18	3254.50	3219.00	USD	1,775.00	
11OCT17	B	1	PD11JAN18	3250.00	3219.00	USD	-775.00	
11OCT17	B	1	PD11JAN18	3245.50	3219.00	USD	-662.50	
11OCT17	B	1	PD11JAN18	3239.50	3219.00	USD	-512.50	
11OCT17	B	2	PD11JAN18	3233.00	3219.00	USD	-700.00	
11OCT17	S	1	PD11JAN18	3230.50	3219.00	USD	287.50	
11OCT17	S	1	PD11JAN18	3231.50	3219.00	USD	312.50	
11OCT17	S	1	PD11JAN18	3239.50	3219.00	USD	512.50	
11OCT17	S	2	PD11JAN18	3223.00	3219.00	USD	200.00	
11OCT17	S	2	PD11JAN18	3222.00	3219.00	USD	150.00	
11OCT17	S	1	PD11JAN18	3221.50	3219.00	USD	62.50	
11OCT17	S	2	PD11JAN18	3221.00	3219.00	USD	100.00	
11OCT17	S	3	PD11JAN18	3222.00	3219.00	USD	225.00	
	NET	0 AVG BGHT 3245.67	SOLD 3228.67				-6,375.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	2,027.25
LME Lead 25 tonnes. This is an	FUTURES	-343.50
LME Zinc 25 tonnes. This is	FUTURES	5,771.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	2,022.50	2,022.50		
LEAD	- Futures	USD	-346.00	-346.00		
ZINC	- Futures	USD	5,883.50	5,883.50	7,560.00	7,560.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,563.24	-1,563.24
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,563.24	-1,563.24
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,563.24	-1,563.24
FOR INFORMATION ONLY		
Forward Unrealised P&L	7,560.00	7,560.00
Net Liquidating Value	5,996.76	5,996.76

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112H

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
29SEP17	B 1 PD29DEC17	2486.00	2563.70	USD	1,942.50	
29SEP17	S 1 PD29DEC17	2486.00	2563.70	USD	-1,942.50	
	NET 0AVG BGHT 2486.00 SOLD 2486.00				0.00	0.00

OPEN POSITION VALUE

LME Lead 25 tonnes. This is an FUTURES USD
0.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	0.00	0.00	0.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-8.60	-8.60
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-8.60	-8.60
Initial Margins	0.00	0.00
Excess/(Shortage)	-8.60	-8.60
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-8.60	-8.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0112**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	744,932.86	744,932.86
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	744,932.86	744,932.86
Initial Margins	-251,936.36	-251,936.36
Excess/(Shortage)	492,996.50	492,996.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	19,893.64	19,893.64
Net Liquidating Value	764,826.50	764,826.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0113A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
27SEP17	CMX GOLD 100 CMX S 5 NOV17 1260.0 Put	6.6	1.8	USD	-900.00	2,400.00
	NET -5 AVG SOLD 6.6				-900.00	2,400.00
27SEP17	B 11 DEC17	1287.3	1288.9	USD	1,760.00	
	NET +11 AVG BGHT 1287.3				1,760.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	-1,416,030.00
CMX Gold	OPTIONS	3,300.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	1,760.00	1,760.00	1,760.00	1,760.00
Net Premium Options Market Value				
GOLD - Options USD	-900.00	2,400.00	-900.00	2,400.00

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
CASH BALANCE	(1.31890)	(1.00000)	(1.00000)
Variation Margins - Futures	50,000.00	15,039.58	80,984.58
- Options	0.00	1,760.00	1,760.00
TOTAL EQUITY	50,000.00	16,799.58	82,744.58
Initial Margins	0.00	-73,481.00	-73,481.00
Excess/(Shortage)	50,000.00	-56,681.42	9,263.58
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-900.00	-900.00
Net Liquidating Value	50,000.00	15,899.58	81,844.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0113**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.31890)	(1.00000)	(1.00000)
CASH BALANCE	50,000.00	15,039.58	80,984.58
Variation Margins	- Futures 0.00	1,760.00	1,760.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	50,000.00	16,799.58	82,744.58
Initial Margins	0.00	-73,481.00	-73,481.00
Excess/(Shortage)	50,000.00	-56,681.42	9,263.58
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	0.00	-900.00	-900.00
Net Liquidating Value	50,000.00	15,899.58	81,844.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12JUL17	S	1	PD120CT17	1900.00	2104.25	USD	-5,106.25	
04OCT17	B	1	PD120CT17	2145.95	2104.25	USD	-1,042.50	
	NET	0 AVG	BGHT 2145.95				-6,148.75	0.00
13JUL17	S	1	PD130CT17	1936.50	2104.75	USD	-4,206.25	
13JUL17	S	2	PD130CT17	1929.50	2104.75	USD	-8,762.50	
04OCT17	B	3	PD130CT17	2146.28	2104.75	USD	-3,114.75	
	NET	0 AVG	BGHT 2146.28				-16,083.50	0.00
17JUL17	B	1	PD170CT17	1939.00	2106.75	USD	4,193.75	
17JUL17	B	1	PD170CT17	1923.50	2106.75	USD	4,581.25	
04OCT17	S	2	PD170CT17	2147.42	2106.75	USD	2,033.50	
	NET	0 AVG	BGHT 1931.25				10,808.50	0.00
25JUL17	B	1	PD250CT17	1920.00	2110.75	USD	4,768.75	
04OCT17	S	2	PD250CT17	2150.47	2110.75	USD	1,986.00	
	NET	-1 AVG	BGHT 1920.00				6,754.75	0.00
26JUL17	S	1	PD260CT17	1958.00	2111.25	USD	-3,831.25	
26JUL17	S	1	PD260CT17	1961.50	2111.25	USD	-3,743.75	
26JUL17	S	1	PD260CT17	1958.50	2111.25	USD	-3,818.75	
26JUL17	B	1	PD260CT17	1939.50	2111.25	USD	4,293.75	
04OCT17	B	2	PD260CT17	2151.06	2111.25	USD	-1,990.50	
	NET	0 AVG	BGHT 2080.54				-9,090.50	0.00
28JUL17	B	1	PD270CT17	1936.00	2111.00	USD	4,375.00	
28JUL17	B	1	PD270CT17	1936.50	2111.00	USD	4,362.50	
28JUL17	S	1	PD270CT17	1936.50	2111.00	USD	-4,362.50	
	NET	+1 AVG	BGHT 1936.25				4,375.00	0.00
31JUL17	B	2	PD310CT17	1920.00	2110.50	USD	9,525.00	
	NET	+2 AVG	BGHT 1920.00				9,525.00	0.00
01AUG17	B	1	PD01NOV17	1907.00	2110.81	USD	5,095.25	
01AUG17	S	3	PD01NOV17	1909.50	2110.81	USD	-15,098.25	
04OCT17	B	2	PD01NOV17	2150.65	2110.81	USD	-1,992.00	
	NET	0 AVG	BGHT 2069.43				-11,995.00	0.00
02AUG17	S	1	PD02NOV17	1935.50	2111.13	USD	-4,390.75	
02AUG17	B	1	PD02NOV17	1928.00	2111.13	USD	4,578.25	
	NET	0 AVG	BGHT 1928.00				187.50	0.00
03AUG17	B	1	PD03NOV17	1924.00	2111.44	USD	4,686.00	
04AUG17	S	2	PD03NOV17	1910.00	2111.44	USD	-10,072.00	
	NET	-1 AVG	BGHT 1924.00				-5,386.00	0.00
08AUG17	S	1	PD08NOV17	1974.50	2113.00	USD	-3,462.50	
	NET	-1 AVG	SOLD 1974.50				-3,462.50	0.00
22AUG17	B	1	PD22NOV17	2080.50	2118.41	USD	947.75	
22AUG17	B	2	PD22NOV17	2076.50	2118.41	USD	2,095.50	
22AUG17	B	1	PD22NOV17	2082.00	2118.41	USD	910.25	
	NET	+4 AVG	BGHT 2078.88				3,953.50	0.00
23AUG17	S	1	PD24NOV17	2089.50	2119.03	USD	-738.25	
23AUG17	S	1	PD24NOV17	2090.50	2119.03	USD	-713.25	
23AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
23AUG17	S	1	PD24NOV17	2091.50	2119.03	USD	-688.25	
23AUG17	S	1	PD24NOV17	2097.50	2119.03	USD	-538.25	
23AUG17	B	1	PD24NOV17	2097.00	2119.03	USD	550.75	
23AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
23AUG17	S	1	PD24NOV17	2096.00	2119.03	USD	-575.75	
24AUG17	B	1	PD24NOV17	2102.50	2119.03	USD	413.25	
24AUG17	B	1	PD24NOV17	2102.00	2119.03	USD	425.75	
25AUG17	B	2	PD24NOV17	2070.50	2119.03	USD	2,426.50	
	NET	-2 AVG	BGHT 2088.50				-701.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
29AUG17	S 1 PD29NOV17	2100.50	2120.57	USD	-501.75	
29AUG17	S 1 PD29NOV17	2102.50	2120.57	USD	-451.75	
	NET -2 AVG SOLD 2101.50				-953.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	5976.50	6759.20	USD	-19,567.50	
17JUL17	S 1 PD17OCT17	6012.00	6759.20	USD	-18,680.00	
04OCT17	B 2 PD17OCT17	6485.00	6759.20	USD	13,710.00	
	NET 0 AVG BGHT 6485.00 SOLD 5994.25				-24,537.50	0.00
25JUL17	S 1 PD25OCT17	6220.50	6765.68	USD	-13,629.50	
04OCT17	B 1 PD25OCT17	6491.04	6765.68	USD	6,866.00	
	NET 0 AVG BGHT 6491.04 SOLD 6220.50				-6,763.50	0.00
26JUL17	B 1 PD26OCT17	6356.00	6766.11	USD	10,252.75	
26JUL17	B 1 PD26OCT17	6392.50	6766.11	USD	9,340.25	
26JUL17	B 1 PD26OCT17	6347.50	6766.11	USD	10,465.25	
04OCT17	S 3 PD26OCT17	6491.37	6766.11	USD	-20,605.50	
	NET 0 AVG BGHT 6365.33 SOLD 6491.37				9,452.75	0.00
28JUL17	S 1 PD27OCT17	6300.00	6766.54	USD	-11,663.50	
28JUL17	B 1 PD27OCT17	6320.50	6766.54	USD	11,151.00	
	NET 0 AVG BGHT 6320.50 SOLD 6300.00				-512.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	B 1 PD13OCT17	2321.00	2552.75	USD	5,793.75	
14JUL17	S 1 PD13OCT17	2311.00	2552.75	USD	-6,043.75	
	NET 0 AVG BGHT 2321.00 SOLD 2311.00				-250.00	0.00
17JUL17	B 1 PD17OCT17	2324.50	2554.35	USD	5,746.25	
17JUL17	B 1 PD17OCT17	2330.00	2554.35	USD	5,608.75	
04OCT17	S 2 PD17OCT17	2554.27	2554.35	USD	-4.00	
	NET 0 AVG BGHT 2327.25 SOLD 2554.27				11,351.00	0.00
19JUL17	B 1 PD19OCT17	2241.50	2554.89	USD	7,834.75	
	NET +1 AVG BGHT 2241.50				7,834.75	0.00
21JUL17	S 1 PD20OCT17	2246.00	2555.04	USD	-7,726.00	
21JUL17	S 1 PD20OCT17	2251.00	2555.04	USD	-7,601.00	
04OCT17	B 2 PD20OCT17	2554.84	2555.04	USD	10.00	
	NET 0 AVG BGHT 2554.84 SOLD 2248.50				-15,317.00	0.00
26JUL17	S 1 PD26OCT17	2332.00	2555.89	USD	-5,597.25	
26JUL17	B 1 PD26OCT17	2310.00	2555.89	USD	6,147.25	
	NET 0 AVG BGHT 2310.00 SOLD 2332.00				550.00	0.00
28JUL17	S 1 PD27OCT17	2301.50	2556.04	USD	-6,363.50	
04OCT17	B 1 PD27OCT17	2555.34	2556.04	USD	17.50	
	NET 0 AVG BGHT 2555.34 SOLD 2301.50				-6,346.00	0.00
01AUG17	S 1 PD01NOV17	2335.50	2556.75	USD	-5,531.25	
	NET -1 AVG SOLD 2335.50				-5,531.25	0.00
09AUG17	B 1 PD09NOV17	2415.50	2557.89	USD	3,559.75	
09AUG17	B 1 PD09NOV17	2414.50	2557.89	USD	3,584.75	
09AUG17	B 1 PD09NOV17	2385.00	2557.89	USD	4,322.25	
09AUG17	B 1 PD09NOV17	2388.50	2557.89	USD	4,234.75	
09AUG17	B 1 PD09NOV17	2390.50	2557.89	USD	4,184.75	
	NET +5 AVG BGHT 2398.80				19,886.25	0.00
17AUG17	S 2 PD17NOV17	2411.50	2558.75	USD	-7,362.50	
18AUG17	S 1 PD17NOV17	2411.00	2558.75	USD	-3,693.75	
18AUG17	S 1 PD17NOV17	2359.50	2558.75	USD	-4,981.25	

OPEN POSITION STATEMENT



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SAP0118A

11th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -4 AVG SOLD 2398.38				-16,037.50	0.00
21AUG17	S 1 PD21NOV17	2350.50	2559.32	USD	-5,220.50	
	NET -1 AVG SOLD 2350.50				-5,220.50	0.00
22AUG17	B 1 PD22NOV17	2418.00	2559.46	USD	3,536.50	
22AUG17	S 1 PD22NOV17	2410.50	2559.46	USD	-3,724.00	
22AUG17	S 1 PD22NOV17	2416.00	2559.46	USD	-3,586.50	
22AUG17	S 1 PD22NOV17	2402.50	2559.46	USD	-3,924.00	
	NET -2 AVG BGHT 2418.00 SOLD 2409.67				-7,698.00	0.00
23AUG17	B 1 PD24NOV17	2397.00	2559.75	USD	4,068.75	
23AUG17	B 1 PD24NOV17	2389.50	2559.75	USD	4,256.25	
23AUG17	S 1 PD24NOV17	2383.00	2559.75	USD	-4,418.75	
23AUG17	S 1 PD24NOV17	2386.00	2559.75	USD	-4,343.75	
24AUG17	B 1 PD24NOV17	2353.00	2559.75	USD	5,168.75	
24AUG17	B 1 PD24NOV17	2356.00	2559.75	USD	5,093.75	
25AUG17	B 1 PD24NOV17	2352.00	2559.75	USD	5,193.75	
	NET +3 AVG BGHT 2369.50 SOLD 2384.50				15,018.75	0.00
29AUG17	S 1 PD29NOV17	2401.50	2560.20	USD	-3,967.50	
	NET -1 AVG SOLD 2401.50				-3,967.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	S 1 PD12OCT17	9225.00	11060.20	USD	-11,011.20	
04OCT17	B 1 PD12OCT17	10533.75	11060.20	USD	3,158.70	
	NET 0 AVG BGHT 10533.75 SOLD 9225.00				-7,852.50	0.00
13JUL17	B 1 PD13OCT17	9190.00	11061.00	USD	11,226.00	
14JUL17	S 1 PD13OCT17	9490.00	11061.00	USD	-9,426.00	
	NET 0 AVG BGHT 9190.00 SOLD 9490.00				1,800.00	0.00
19JUL17	S 2 PD19OCT17	9695.00	11065.96	USD	-16,451.52	
19JUL17	S 1 PD19OCT17	9650.00	11065.96	USD	-8,495.76	
	NET -3 AVG SOLD 9680.00				-24,947.28	0.00
20JUL17	B 1 PD20OCT17	9495.00	11066.93	USD	9,431.58	
	NET +1 AVG BGHT 9495.00				9,431.58	0.00
24JUL17	S 1 PD24OCT17	9725.00	11070.79	USD	-8,074.74	
	NET -1 AVG SOLD 9725.00				-8,074.74	0.00
26JUL17	B 1 PD26OCT17	9940.00	11072.71	USD	6,796.26	
	NET +1 AVG BGHT 9940.00				6,796.26	0.00
28JUL17	B 2 PD27OCT17	10205.00	11073.68	USD	10,424.16	
28JUL17	S 1 PD27OCT17	10250.00	11073.68	USD	-4,942.08	
	NET +1 AVG BGHT 10205.00 SOLD 10250.00				5,482.08	0.00
31JUL17	B 1 PD31OCT17	10320.00	11077.54	USD	4,545.24	
	NET +1 AVG BGHT 10320.00				4,545.24	0.00
01AUG17	S 1 PD01NOV17	10165.00	11078.50	USD	-5,481.00	
	NET -1 AVG SOLD 10165.00				-5,481.00	0.00
09AUG17	S 1 PD09NOV17	10735.00	11086.21	USD	-2,107.26	
	NET -1 AVG SOLD 10735.00				-2,107.26	0.00
11AUG17	B 1 PD10NOV17	10620.00	11087.18	USD	2,803.08	
	NET +1 AVG BGHT 10620.00				2,803.08	0.00
14AUG17	B 1 PD14NOV17	10420.00	11091.04	USD	4,026.24	
	NET +1 AVG BGHT 10420.00				4,026.24	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	19945.00	20990.00	USD	5,225.00	

OPEN POSITION STATEMENT



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SAP0118A

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	S 1 PD12OCT17	20923.71	20990.00	USD	-331.45	
	NET 0AVG BGHT 19945.00 SOLD 20923.71				4,893.55	0.00
13JUL17	S 1 PD13OCT17	19875.00	20990.00	USD	-5,575.00	
14JUL17	B 1 PD13OCT17	19895.00	20990.00	USD	5,475.00	
	NET 0AVG BGHT 19895.00 SOLD 19875.00				-100.00	0.00
19JUL17	B 1 PD19OCT17	20080.00	20988.00	USD	4,540.00	
19JUL17	B 1 PD19OCT17	20125.00	20988.00	USD	4,315.00	
19JUL17	B 1 PD19OCT17	20120.00	20988.00	USD	4,340.00	
	NET +3AVG BGHT 20108.33				13,195.00	0.00
20JUL17	S 1 PD20OCT17	20090.00	20986.00	USD	-4,480.00	
	NET -1AVG SOLD 20090.00				-4,480.00	0.00
24JUL17	B 1 PD24OCT17	20260.00	20982.00	USD	3,610.00	
	NET +1AVG BGHT 20260.00				3,610.00	0.00
26JUL17	S 1 PD26OCT17	20350.00	20978.00	USD	-3,140.00	
	NET -1AVG SOLD 20350.00				-3,140.00	0.00
28JUL17	S 1 PD27OCT17	20845.00	20976.00	USD	-655.00	
28JUL17	S 1 PD27OCT17	20855.00	20976.00	USD	-605.00	
28JUL17	B 1 PD27OCT17	20635.00	20976.00	USD	1,705.00	
	NET -1AVG BGHT 20635.00 SOLD 20850.00				445.00	0.00
31JUL17	S 1 PD31OCT17	20815.00	20972.00	USD	-785.00	
	NET -1AVG SOLD 20815.00				-785.00	0.00
01AUG17	B 1 PD01NOV17	20410.00	20970.00	USD	2,800.00	
	NET +1AVG BGHT 20410.00				2,800.00	0.00
09AUG17	B 1 PD09NOV17	20250.00	20958.00	USD	3,540.00	
	NET +1AVG BGHT 20250.00				3,540.00	0.00
11AUG17	S 1 PD10NOV17	20310.00	20956.00	USD	-3,230.00	
	NET -1AVG SOLD 20310.00				-3,230.00	0.00
14AUG17	S 1 PD14NOV17	20310.00	20952.00	USD	-3,210.00	
	NET -1AVG SOLD 20310.00				-3,210.00	0.00
23AUG17	B 1 PD24NOV17	20545.00	20937.50	USD	1,962.50	
25AUG17	S 1 PD24NOV17	20405.00	20937.50	USD	-2,662.50	
	NET 0AVG BGHT 20545.00 SOLD 20405.00				-700.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12JUL17	B 1 PD12OCT17	2861.50	3299.33	USD	10,945.75	
10OCT17	S 1 PD12OCT17	3352.00	3299.33	USD	1,316.75	
	NET 0AVG BGHT 2861.50 SOLD 3352.00				12,262.50	0.00
13JUL17	B 1 PD13OCT17	2839.50	3295.00	USD	11,387.50	
13JUL17	S 1 PD13OCT17	2835.00	3295.00	USD	-11,500.00	
13JUL17	B 1 PD13OCT17	2829.50	3295.00	USD	11,637.50	
13JUL17	B 1 PD13OCT17	2827.00	3295.00	USD	11,700.00	
14JUL17	B 1 PD13OCT17	2790.00	3295.00	USD	12,625.00	
04OCT17	S 3 PD13OCT17	3346.59	3295.00	USD	3,869.25	
	NET 0AVG BGHT 2821.50 SOLD 3218.69				39,719.25	0.00
17JUL17	S 1 PD17OCT17	2829.00	3286.33	USD	-11,433.25	
17JUL17	S 1 PD17OCT17	2841.00	3286.33	USD	-11,133.25	
10OCT17	B 1 PD17OCT17	3340.95	3286.33	USD	-1,365.50	
	NET -1AVG BGHT 3340.95 SOLD 2835.00				-23,932.00	0.00
19JUL17	S 1 PD19OCT17	2770.00	3277.50	USD	-12,687.50	
	NET -1AVG SOLD 2770.00				-12,687.50	0.00
21JUL17	B 1 PD20OCT17	2764.00	3273.00	USD	12,725.00	
21JUL17	B 1 PD20OCT17	2764.50	3273.00	USD	12,712.50	

OPEN POSITION STATEMENT



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SAP0118A

11th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	S 2 PD20OCT17	3326.30	3273.00	USD	2,665.00	
	NET 0AVG BGHT 2764.25 SOLD 3326.30				28,102.50	0.00
26JUL17	B 1 PD26OCT17	2846.00	3262.33	USD	10,408.25	
26JUL17	S 2 PD26OCT17	2806.00	3262.33	USD	-22,816.50	
	NET -1AVG BGHT 2846.00 SOLD 2806.00				-12,408.25	0.00
28JUL17	S 1 PD27OCT17	2786.00	3260.67	USD	-11,866.75	
28JUL17	B 1 PD27OCT17	2769.00	3260.67	USD	12,291.75	
	NET 0AVG BGHT 2769.00 SOLD 2786.00				425.00	0.00
31JUL17	S 1 PD31OCT17	2818.50	3257.33	USD	-10,970.75	
31JUL17	S 1 PD31OCT17	2819.00	3257.33	USD	-10,958.25	
	NET -2AVG SOLD 2818.75				-21,929.00	0.00
01AUG17	B 3 PD01NOV17	2783.50	3255.67	USD	35,412.75	
	NET +3AVG BGHT 2783.50				35,412.75	0.00
02AUG17	B 1 PD02NOV17	2775.00	3254.00	USD	11,975.00	
02AUG17	S 1 PD02NOV17	2785.00	3254.00	USD	-11,725.00	
	NET 0AVG BGHT 2775.00 SOLD 2785.00				250.00	0.00
03AUG17	S 1 PD03NOV17	2828.50	3252.89	USD	-10,609.75	
04AUG17	B 1 PD03NOV17	2816.00	3252.89	USD	10,922.25	
04AUG17	B 1 PD03NOV17	2815.50	3252.89	USD	10,934.75	
	NET +1AVG BGHT 2815.75 SOLD 2828.50				11,247.25	0.00
08AUG17	B 1 PD08NOV17	2868.00	3249.56	USD	9,539.00	
	NET +1AVG BGHT 2868.00				9,539.00	0.00
09AUG17	S 1 PD09NOV17	2973.50	3248.44	USD	-6,873.50	
09AUG17	S 1 PD09NOV17	2975.00	3248.44	USD	-6,836.00	
09AUG17	S 1 PD09NOV17	2958.00	3248.44	USD	-7,261.00	
09AUG17	S 1 PD09NOV17	2960.00	3248.44	USD	-7,211.00	
09AUG17	S 1 PD09NOV17	2950.50	3248.44	USD	-7,448.50	
	NET -5AVG SOLD 2963.40				-35,630.00	0.00
17AUG17	B 1 PD17NOV17	3091.00	3239.00	USD	3,700.00	
17AUG17	B 1 PD17NOV17	3062.50	3239.00	USD	4,412.50	
17AUG17	B 1 PD17NOV17	3059.50	3239.00	USD	4,487.50	
18AUG17	B 2 PD17NOV17	3128.50	3239.00	USD	5,525.00	
	NET +5AVG BGHT 3094.00				18,125.00	0.00
22AUG17	S 1 PD22NOV17	3125.00	3236.00	USD	-2,775.00	
22AUG17	S 1 PD22NOV17	3115.50	3236.00	USD	-3,012.50	
	NET -2AVG SOLD 3120.25				-5,787.50	0.00
23AUG17	B 1 PD24NOV17	3103.50	3235.00	USD	3,287.50	
23AUG17	B 1 PD24NOV17	3098.50	3235.00	USD	3,412.50	
23AUG17	B 3 PD24NOV17	3102.50	3235.00	USD	9,937.50	
23AUG17	B 3 PD24NOV17	3099.50	3235.00	USD	10,162.50	
23AUG17	S 2 PD24NOV17	3100.50	3235.00	USD	-6,725.00	
23AUG17	B 1 PD24NOV17	3099.00	3235.00	USD	3,400.00	
23AUG17	S 1 PD24NOV17	3100.00	3235.00	USD	-3,375.00	
24AUG17	S 1 PD24NOV17	3104.50	3235.00	USD	-3,262.50	
24AUG17	S 1 PD24NOV17	3107.00	3235.00	USD	-3,200.00	
24AUG17	S 1 PD24NOV17	3107.50	3235.00	USD	-3,187.50	
24AUG17	S 1 PD24NOV17	3105.50	3235.00	USD	-3,237.50	
25AUG17	S 1 PD24NOV17	3089.50	3235.00	USD	-3,637.50	
25AUG17	S 2 PD24NOV17	3064.00	3235.00	USD	-8,550.00	
	NET -1AVG BGHT 3100.78 SOLD 3094.30				-4,975.00	0.00
29AUG17	B 1 PD29NOV17	3121.50	3234.00	USD	2,812.50	
29AUG17	B 2 PD29NOV17	3122.50	3234.00	USD	5,575.00	
	NET +3AVG BGHT 3122.17				8,387.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-17,998.25
LME Copper Grade A 25	FUTURES	-22,360.75
LME Lead 25 tonnes. This is an	FUTURES	-5,562.00
LME Nickel 6 tonnes. This is	FUTURES	-13,792.50
LME Tin 5 tonnes. This is an	FUTURES	12,568.55
LME Zinc 25 tonnes. This is	FUTURES	49,210.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-18,217.00	-18,217.00		
COPL - Futures USD	-22,360.75	-22,360.75		
LEAD - Futures USD	-5,727.00	-5,727.00		
NKL - Futures USD	-13,578.30	-13,578.30		
TIN - Futures USD	12,838.55	12,838.55		
ZINC - Futures USD	46,121.50	46,121.50	-923.00	-923.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins - Futures	-41,326.55	-41,326.55
- Options	0.00	0.00
TOTAL EQUITY	-41,326.55	-41,326.55
Initial Margins	-14,928.00	-14,928.00
Excess/(Shortage)	-56,254.55	-56,254.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	-923.00	-923.00
Net Liquidating Value	-42,249.55	-42,249.55

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118C

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
01AUG17	B 1 PD01NOV17	1908.50	2110.81	USD	5,057.75	
	NET +1AVG BGHT 1908.50				5,057.75	0.00
02AUG17	S 1 PD02NOV17	1916.00	2111.13	USD	-4,878.25	
	NET -1AVG SOLD 1916.00				-4,878.25	0.00
03AUG17	B 1 PD03NOV17	1927.00	2111.44	USD	4,611.00	
03AUG17	S 1 PD03NOV17	1927.00	2111.44	USD	-4,611.00	
	NET 0AVG BGHT 1927.00 SOLD 1927.00				0.00	0.00
07AUG17	B 1 PD07NOV17	1953.00	2112.69	USD	3,992.25	
07AUG17	S 1 PD07NOV17	1963.50	2112.69	USD	-3,729.75	
	NET 0AVG BGHT 1953.00 SOLD 1963.50				262.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	B 1 PD27OCT17	2320.00	2556.04	USD	5,901.00	
27JUL17	S 1 PD27OCT17	2309.50	2556.04	USD	-6,163.50	
	NET 0AVG BGHT 2320.00 SOLD 2309.50				-262.50	0.00
01AUG17	S 1 PD01NOV17	2337.50	2556.75	USD	-5,481.25	
	NET -1AVG SOLD 2337.50				-5,481.25	0.00
02AUG17	B 1 PD02NOV17	2329.50	2556.89	USD	5,684.75	
	NET +1AVG BGHT 2329.50				5,684.75	0.00
07AUG17	B 1 PD07NOV17	2382.00	2557.61	USD	4,390.25	
07AUG17	B 1 PD07NOV17	2371.50	2557.61	USD	4,652.75	
	NET +2AVG BGHT 2376.75				9,043.00	0.00
09AUG17	B 1 PD09NOV17	2360.50	2557.89	USD	4,934.75	
	NET +1AVG BGHT 2360.50				4,934.75	0.00
10AUG17	S 1 PD10NOV17	2385.50	2558.04	USD	-4,313.50	
11AUG17	B 1 PD10NOV17	2330.00	2558.04	USD	5,701.00	
	NET 0AVG BGHT 2330.00 SOLD 2385.50				1,387.50	0.00
18AUG17	S 1 PD17NOV17	2445.50	2558.75	USD	-2,831.25	
18AUG17	B 1 PD17NOV17	2407.00	2558.75	USD	3,793.75	
18AUG17	S 1 PD17NOV17	2368.00	2558.75	USD	-4,768.75	
18AUG17	B 1 PD17NOV17	2366.00	2558.75	USD	4,818.75	
18AUG17	S 1 PD17NOV17	2378.00	2558.75	USD	-4,518.75	
18AUG17	S 1 PD17NOV17	2371.00	2558.75	USD	-4,693.75	
18AUG17	S 1 PD17NOV17	2374.00	2558.75	USD	-4,618.75	
	NET -3AVG BGHT 2386.50 SOLD 2387.30				-12,818.75	0.00
23AUG17	S 1 PD24NOV17	2415.50	2559.75	USD	-3,606.25	
23AUG17	B 1 PD24NOV17	2412.00	2559.75	USD	3,693.75	
24AUG17	B 1 PD24NOV17	2355.50	2559.75	USD	5,106.25	
24AUG17	S 1 PD24NOV17	2353.00	2559.75	USD	-5,168.75	
	NET 0AVG BGHT 2383.75 SOLD 2384.25				25.00	0.00
31AUG17	B 1 PD30NOV17	2390.50	2560.30	USD	4,245.00	
31AUG17	S 1 PD30NOV17	2379.00	2560.30	USD	-4,532.50	
	NET 0AVG BGHT 2390.50 SOLD 2379.00				-287.50	0.00
11SEP17	B 1 PD11DEC17	2287.50	2562.25	USD	6,868.75	
11SEP17	S 1 PD11DEC17	2281.00	2562.25	USD	-7,031.25	
	NET 0AVG BGHT 2287.50 SOLD 2281.00				-162.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
10AUG17	S 1 PD10NOV17	10825.00	11087.18	USD	-1,573.08	
10AUG17	B 1 PD10NOV17	10835.00	11087.18	USD	1,513.08	
	NET 0AVG BGHT 10835.00 SOLD 10825.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME</u>					

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28JUL17	S	1	PD27OCT17		20715.00	20976.00	USD	-1,305.00	
28JUL17	B	1	PD27OCT17		20750.00	20976.00	USD	1,130.00	
28JUL17	S	1	PD27OCT17		20725.00	20976.00	USD	-1,255.00	
28JUL17	B	1	PD27OCT17		20790.00	20976.00	USD	930.00	
28JUL17	B	1	PD27OCT17		20650.00	20976.00	USD	1,630.00	
28JUL17	S	1	PD27OCT17		20690.00	20976.00	USD	-1,430.00	
28JUL17	S	1	PD27OCT17		20675.00	20976.00	USD	-1,505.00	
	NET	-1 AVG	BGHT 20730.00	SOLD 20701.25				-1,805.00	0.00
31JUL17	B	1	PD31OCT17		20740.00	20972.00	USD	1,160.00	
	NET	+1 AVG	BGHT 20740.00					1,160.00	0.00
04AUG17	S	1	PD03NOV17		20745.00	20966.00	USD	-1,105.00	
04AUG17	B	1	PD03NOV17		20785.00	20966.00	USD	905.00	
	NET	0 AVG	BGHT 20785.00	SOLD 20745.00				-200.00	0.00
14AUG17	B	1	PD14NOV17		20345.00	20952.00	USD	3,035.00	
14AUG17	S	1	PD14NOV17		20305.00	20952.00	USD	-3,235.00	
	NET	0 AVG	BGHT 20345.00	SOLD 20305.00				-200.00	0.00
21AUG17	B	1	PD21NOV17		20500.00	20941.67	USD	2,208.35	
21AUG17	S	1	PD21NOV17		20495.00	20941.67	USD	-2,233.35	
	NET	0 AVG	BGHT 20500.00	SOLD 20495.00				-25.00	0.00
31AUG17	B	1	PD30NOV17		20645.00	20929.17	USD	1,420.85	
31AUG17	S	1	PD30NOV17		20645.00	20929.17	USD	-1,420.85	
	NET	0 AVG	BGHT 20645.00	SOLD 20645.00				0.00	0.00
08SEP17	B	1	PD08DEC17		20625.00	20916.67	USD	1,458.35	
08SEP17	S	1	PD08DEC17		20525.00	20916.67	USD	-1,958.35	
	NET	0 AVG	BGHT 20625.00	SOLD 20525.00				-500.00	0.00
26SEP17	S	1	PD27DEC17		20735.00	20893.08	USD	-790.40	
26SEP17	B	1	PD27DEC17		20750.00	20893.08	USD	715.40	
	NET	0 AVG	BGHT 20750.00	SOLD 20735.00				-75.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27JUL17	S	1	PD27OCT17		2822.00	3260.67	USD	-10,966.75	
27JUL17	B	1	PD27OCT17		2796.00	3260.67	USD	11,616.75	
	NET	0 AVG	BGHT 2796.00	SOLD 2822.00				650.00	0.00
03AUG17	B	1	PD03NOV17		2838.00	3252.89	USD	10,372.25	
03AUG17	S	1	PD03NOV17		2839.00	3252.89	USD	-10,347.25	
	NET	0 AVG	BGHT 2838.00	SOLD 2839.00				25.00	0.00
07AUG17	S	1	PD07NOV17		2874.00	3250.67	USD	-9,416.75	
07AUG17	S	1	PD07NOV17		2872.00	3250.67	USD	-9,466.75	
07AUG17	S	1	PD07NOV17		2876.00	3250.67	USD	-9,366.75	
07AUG17	B	1	PD07NOV17		2868.50	3250.67	USD	9,554.25	
	NET	-2 AVG	BGHT 2868.50	SOLD 2874.00				-18,696.00	0.00
09AUG17	S	1	PD09NOV17		2936.50	3248.44	USD	-7,798.50	
	NET	-1 AVG	SOLD 2936.50					-7,798.50	0.00
10AUG17	B	1	PD10NOV17		2950.00	3247.33	USD	7,433.25	
11AUG17	S	1	PD10NOV17		2903.00	3247.33	USD	-8,608.25	
	NET	0 AVG	BGHT 2950.00	SOLD 2903.00				-1,175.00	0.00
18AUG17	B	1	PD17NOV17		3127.50	3239.00	USD	2,787.50	
18AUG17	S	1	PD17NOV17		3126.00	3239.00	USD	-2,825.00	
18AUG17	B	1	PD17NOV17		3091.50	3239.00	USD	3,687.50	
18AUG17	S	1	PD17NOV17		3099.00	3239.00	USD	-3,500.00	
18AUG17	B	1	PD17NOV17		3125.00	3239.00	USD	2,850.00	
18AUG17	B	1	PD17NOV17		3123.00	3239.00	USD	2,900.00	
18AUG17	B	1	PD17NOV17		3123.50	3239.00	USD	2,887.50	

OPEN POSITION STATEMENT



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SAP0118C

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	+3 AVG BGHT 3118.10	SOLD 3112.50				8,787.50	0.00
23AUG17	S	1 PD24NOV17		3130.00	3235.00	USD	-2,625.00	
23AUG17	B	1 PD24NOV17		3142.50	3235.00	USD	2,312.50	
24AUG17	S	1 PD24NOV17		3104.00	3235.00	USD	-3,275.00	
24AUG17	B	1 PD24NOV17		3118.00	3235.00	USD	2,925.00	
	NET	0 AVG BGHT 3130.25	SOLD 3117.00				-662.50	0.00
31AUG17	S	1 PD30NOV17		3137.00	3233.67	USD	-2,416.75	
31AUG17	B	1 PD30NOV17		3129.00	3233.67	USD	2,616.75	
	NET	0 AVG BGHT 3129.00	SOLD 3137.00				200.00	0.00
11SEP17	S	1 PD11DEC17		3094.00	3231.33	USD	-3,433.25	
11SEP17	B	1 PD11DEC17		3094.00	3231.33	USD	3,433.25	
	NET	0 AVG BGHT 3094.00	SOLD 3094.00				0.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	450.00
LME Lead 25 tonnes. This is an	FUTURES	2,137.50
LME Nickel 6 tonnes. This is	FUTURES	-60.00
LME Tin 5 tonnes. This is an	FUTURES	-1,625.00
LME Zinc 25 tonnes. This is	FUTURES	-17,850.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	442.00	442.00		
LEAD	- Futures	USD	2,062.50	2,062.50		
NKL	- Futures	USD	-60.00	-60.00		
TIN	- Futures	USD	-1,645.00	-1,645.00		
ZINC	- Futures	USD	-18,669.50	-18,669.50	-17,870.00	-17,870.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
	(	1.00000)	(1.00000)
CASH BALANCE		-926.80	-926.80
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-926.80	-926.80
Initial Margins		-22,007.00	-22,007.00
Excess/(Shortage)		-22,933.80	-22,933.80
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-17,870.00	-17,870.00
Net Liquidating Value		-18,796.80	-18,796.80

OPEN POSITION STATEMENT



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SAP0118H

11th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
12JUL17	S 1 PD120CT17	1893.00	2104.25	USD	-5,281.25	
04OCT17	B 1 PD120CT17	2145.95	2104.25	USD	-1,042.50	
	NET 0AVG BGHT 2145.95 SOLD 1893.00				-6,323.75	0.00
10OCT17	B 1 PD10JAN18	2162.50	2129.81	USD	-817.25	
	NET +1AVG BGHT 2162.50				-817.25	0.00
11OCT17	S 1 PD11JAN18	2157.50	2130.00	USD	687.50	
	NET -1AVG SOLD 2157.50				687.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
01AUG17	B 1 PD01NOV17	6357.00	6769.18	USD	10,304.50	
01AUG17	S 1 PD01NOV17	6352.50	6769.18	USD	-10,417.00	
	NET 0AVG BGHT 6357.00 SOLD 6352.50				-112.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13JUL17	B 1 PD130CT17	2316.00	2552.75	USD	5,918.75	
14JUL17	S 1 PD130CT17	2287.50	2552.75	USD	-6,631.25	
	NET 0AVG BGHT 2316.00 SOLD 2287.50				-712.50	0.00
17JUL17	B 1 PD170CT17	2324.00	2554.35	USD	5,758.75	
	NET +1AVG BGHT 2324.00				5,758.75	0.00
18JUL17	S 1 PD180CT17	2286.00	2554.75	USD	-6,718.75	
18JUL17	B 1 PD180CT17	2275.00	2554.75	USD	6,993.75	
	NET 0AVG BGHT 2275.00 SOLD 2286.00				275.00	0.00
19JUL17	S 1 PD190CT17	2227.50	2554.89	USD	-8,184.75	
19JUL17	B 1 PD190CT17	2220.00	2554.89	USD	8,372.25	
	NET 0AVG BGHT 2220.00 SOLD 2227.50				187.50	0.00
20JUL17	S 1 PD200CT17	2224.00	2555.04	USD	-8,276.00	
04OCT17	B 1 PD200CT17	2554.84	2555.04	USD	5.00	
	NET 0AVG BGHT 2554.84 SOLD 2224.00				-8,271.00	0.00
24JUL17	B 1 PD240CT17	2248.50	2555.61	USD	7,677.75	
24JUL17	S 1 PD240CT17	2256.50	2555.61	USD	-7,477.75	
	NET 0AVG BGHT 2248.50 SOLD 2256.50				200.00	0.00
25JUL17	B 1 PD250CT17	2271.00	2555.75	USD	7,118.75	
25JUL17	S 1 PD250CT17	2273.50	2555.75	USD	-7,056.25	
25JUL17	B 1 PD250CT17	2296.00	2555.75	USD	6,493.75	
25JUL17	S 1 PD250CT17	2297.00	2555.75	USD	-6,468.75	
	NET 0AVG BGHT 2283.50 SOLD 2285.25				87.50	0.00
26JUL17	S 1 PD260CT17	2311.50	2555.89	USD	-6,109.75	
	NET -1AVG SOLD 2311.50				-6,109.75	0.00
08AUG17	B 1 PD08NOV17	2356.00	2557.75	USD	5,043.75	
	NET +1AVG BGHT 2356.00				5,043.75	0.00
18AUG17	S 1 PD17NOV17	2372.50	2558.75	USD	-4,656.25	
	NET -1AVG SOLD 2372.50				-4,656.25	0.00
22AUG17	B 3 PD22NOV17	2391.00	2559.46	USD	12,634.50	
	NET +3AVG BGHT 2391.00				12,634.50	0.00
14SEP17	S 3 PD14DEC17	2312.50	2563.00	USD	-18,787.50	
	NET -3AVG SOLD 2312.50				-18,787.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
14JUL17	S 1 PD130CT17	9400.00	11061.00	USD	-9,966.00	
14JUL17	B 1 PD130CT17	9425.00	11061.00	USD	9,816.00	
	NET 0AVG BGHT 9425.00 SOLD 9400.00				-150.00	0.00

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	9645.00	11065.00	USD	-8,520.00	
18JUL17	B	1	PD18OCT17	9650.00	11065.00	USD	8,490.00	
	NET	0 AVG	BGHT 9650.00	SOLD 9645.00			-30.00	0.00
28JUL17	S	1	PD27OCT17	10140.00	11073.68	USD	-5,602.08	
28JUL17	B	1	PD27OCT17	10160.00	11073.68	USD	5,482.08	
	NET	0 AVG	BGHT 10160.00	SOLD 10140.00			-120.00	0.00
01AUG17	B	1	PD01NOV17	10125.00	11078.50	USD	5,721.00	
01AUG17	S	1	PD01NOV17	10155.00	11078.50	USD	-5,541.00	
01AUG17	B	1	PD01NOV17	10175.00	11078.50	USD	5,421.00	
01AUG17	B	1	PD01NOV17	10200.00	11078.50	USD	5,271.00	
01AUG17	S	2	PD01NOV17	10200.00	11078.50	USD	-10,542.00	
	NET	0 AVG	BGHT 10166.67	SOLD 10185.00			330.00	0.00
04AUG17	S	1	PD03NOV17	10335.00	11080.43	USD	-4,472.58	
04AUG17	B	1	PD03NOV17	10330.00	11080.43	USD	4,502.58	
	NET	0 AVG	BGHT 10330.00	SOLD 10335.00			30.00	0.00
07AUG17	B	1	PD07NOV17	10275.00	11084.29	USD	4,855.74	
07AUG17	B	1	PD07NOV17	10295.00	11084.29	USD	4,735.74	
07AUG17	B	1	PD07NOV17	10305.00	11084.29	USD	4,675.74	
07AUG17	S	1	PD07NOV17	10255.00	11084.29	USD	-4,975.74	
07AUG17	S	1	PD07NOV17	10305.00	11084.29	USD	-4,675.74	
07AUG17	S	1	PD07NOV17	10325.00	11084.29	USD	-4,555.74	
07AUG17	B	1	PD07NOV17	10335.00	11084.29	USD	4,495.74	
07AUG17	S	1	PD07NOV17	10330.00	11084.29	USD	-4,525.74	
	NET	0 AVG	BGHT 10302.50	SOLD 10303.75			30.00	0.00
08AUG17	B	1	PD08NOV17	10355.00	11085.25	USD	4,381.50	
08AUG17	S	1	PD08NOV17	10350.00	11085.25	USD	-4,411.50	
	NET	0 AVG	BGHT 10355.00	SOLD 10350.00			-30.00	0.00
09AUG17	S	1	PD09NOV17	10800.00	11086.21	USD	-1,717.26	
09AUG17	S	1	PD09NOV17	10805.00	11086.21	USD	-1,687.26	
09AUG17	B	2	PD09NOV17	10805.00	11086.21	USD	3,374.52	
	NET	0 AVG	BGHT 10805.00	SOLD 10802.50			-30.00	0.00
10AUG17	S	1	PD10NOV17	10830.00	11087.18	USD	-1,543.08	
10AUG17	B	1	PD10NOV17	10845.00	11087.18	USD	1,453.08	
	NET	0 AVG	BGHT 10845.00	SOLD 10830.00			-90.00	0.00
16AUG17	B	1	PD16NOV17	10580.00	11092.77	USD	3,076.62	
16AUG17	S	1	PD16NOV17	10555.00	11092.77	USD	-3,226.62	
16AUG17	B	1	PD16NOV17	10635.00	11092.77	USD	2,746.62	
16AUG17	S	1	PD16NOV17	10635.00	11092.77	USD	-2,746.62	
	NET	0 AVG	BGHT 10607.50	SOLD 10595.00			-150.00	0.00
07SEP17	B	1	PD07DEC17	12180.00	11108.97	USD	-6,426.18	
07SEP17	S	1	PD07DEC17	12155.00	11108.97	USD	6,276.18	
07SEP17	B	1	PD07DEC17	12110.00	11108.97	USD	-6,006.18	
07SEP17	S	1	PD07DEC17	12090.00	11108.97	USD	5,886.18	
	NET	0 AVG	BGHT 12145.00	SOLD 12122.50			-270.00	0.00
13SEP17	B	1	PD13DEC17	11555.00	11113.60	USD	-2,648.40	
13SEP17	S	1	PD13DEC17	11555.00	11113.60	USD	2,648.40	
13SEP17	B	1	PD13DEC17	11575.00	11113.60	USD	-2,768.40	
13SEP17	S	1	PD13DEC17	11550.00	11113.60	USD	2,618.40	
	NET	0 AVG	BGHT 11565.00	SOLD 11552.50			-150.00	0.00
15SEP17	B	1	PD15DEC17	11175.00	11115.14	USD	-359.16	
15SEP17	S	1	PD15DEC17	11160.00	11115.14	USD	269.16	
15SEP17	B	1	PD15DEC17	11050.00	11115.14	USD	390.84	
15SEP17	S	1	PD15DEC17	11095.00	11115.14	USD	-120.84	
	NET	0 AVG	BGHT 11112.50	SOLD 11127.50			180.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	11165.00	11118.23	USD	-280.62	
19SEP17	S	1	PD19DEC17	11150.00	11118.23	USD	190.62	
	NET	0AVG BGHT 11165.00	SOLD 11150.00				-90.00	0.00
21SEP17	B	1	PD21DEC17	11225.00	11119.73	USD	-631.62	
21SEP17	S	1	PD21DEC17	11205.00	11119.73	USD	511.62	
21SEP17	S	1	PD21DEC17	11130.00	11119.73	USD	61.62	
21SEP17	B	1	PD21DEC17	11165.00	11119.73	USD	-271.62	
	NET	0AVG BGHT 11195.00	SOLD 11167.50				-330.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13JUL17	S	1	PD130CT17	19895.00	20990.00	USD	-5,475.00	
13JUL17	B	1	PD130CT17	19940.00	20990.00	USD	5,250.00	
13JUL17	B	1	PD130CT17	19920.00	20990.00	USD	5,350.00	
13JUL17	S	1	PD130CT17	19955.00	20990.00	USD	-5,175.00	
13JUL17	B	1	PD130CT17	19925.00	20990.00	USD	5,325.00	
13JUL17	S	1	PD130CT17	19915.00	20990.00	USD	-5,375.00	
13JUL17	B	1	PD130CT17	19835.00	20990.00	USD	5,775.00	
13JUL17	S	1	PD130CT17	19860.00	20990.00	USD	-5,650.00	
14JUL17	S	1	PD130CT17	19865.00	20990.00	USD	-5,625.00	
14JUL17	B	1	PD130CT17	19855.00	20990.00	USD	5,675.00	
	NET	0AVG BGHT 19895.00	SOLD 19898.00				75.00	0.00
17JUL17	B	1	PD170CT17	20050.00	20990.00	USD	4,700.00	
17JUL17	S	1	PD170CT17	20065.00	20990.00	USD	-4,625.00	
17JUL17	B	1	PD170CT17	19945.00	20990.00	USD	5,225.00	
17JUL17	S	1	PD170CT17	19880.00	20990.00	USD	-5,550.00	
	NET	0AVG BGHT 19997.50	SOLD 19972.50				-250.00	0.00
18JUL17	B	1	PD180CT17	20050.00	20990.00	USD	4,700.00	
18JUL17	S	1	PD180CT17	20045.00	20990.00	USD	-4,725.00	
	NET	0AVG BGHT 20050.00	SOLD 20045.00				-25.00	0.00
19JUL17	B	1	PD190CT17	20100.00	20988.00	USD	4,440.00	
19JUL17	S	1	PD190CT17	20095.00	20988.00	USD	-4,465.00	
19JUL17	S	1	PD190CT17	20105.00	20988.00	USD	-4,415.00	
19JUL17	B	1	PD190CT17	20055.00	20988.00	USD	4,665.00	
19JUL17	S	1	PD190CT17	20135.00	20988.00	USD	-4,265.00	
	NET	-1AVG BGHT 20077.50	SOLD 20111.67				-4,040.00	0.00
20JUL17	B	1	PD200CT17	20100.00	20986.00	USD	4,430.00	
	NET	+1AVG BGHT 20100.00					4,430.00	0.00
24JUL17	B	1	PD240CT17	20230.00	20982.00	USD	3,760.00	
24JUL17	S	1	PD240CT17	20245.00	20982.00	USD	-3,685.00	
24JUL17	S	1	PD240CT17	20225.00	20982.00	USD	-3,785.00	
24JUL17	B	1	PD240CT17	20235.00	20982.00	USD	3,735.00	
	NET	0AVG BGHT 20232.50	SOLD 20235.00				25.00	0.00
25JUL17	S	1	PD250CT17	20340.00	20980.00	USD	-3,200.00	
25JUL17	B	1	PD250CT17	20360.00	20980.00	USD	3,100.00	
	NET	0AVG BGHT 20360.00	SOLD 20340.00				-100.00	0.00
26JUL17	S	1	PD260CT17	20365.00	20978.00	USD	-3,065.00	
26JUL17	B	1	PD260CT17	20375.00	20978.00	USD	3,015.00	
	NET	0AVG BGHT 20375.00	SOLD 20365.00				-50.00	0.00
27JUL17	B	1	PD270CT17	20600.00	20976.00	USD	1,880.00	
27JUL17	S	1	PD270CT17	20585.00	20976.00	USD	-1,955.00	
27JUL17	B	1	PD270CT17	20650.00	20976.00	USD	1,630.00	
27JUL17	S	1	PD270CT17	20645.00	20976.00	USD	-1,655.00	
27JUL17	B	1	PD270CT17	20640.00	20976.00	USD	1,680.00	
27JUL17	S	1	PD270CT17	20695.00	20976.00	USD	-1,405.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	20675.00	20976.00	USD	1,505.00	
27JUL17	S	1	PD27OCT17	20670.00	20976.00	USD	-1,530.00	
27JUL17	S	1	PD27OCT17	20700.00	20976.00	USD	-1,380.00	
28JUL17	B	1	PD27OCT17	20650.00	20976.00	USD	1,630.00	
28JUL17	B	1	PD27OCT17	20585.00	20976.00	USD	1,955.00	
28JUL17	S	1	PD27OCT17	20740.00	20976.00	USD	-1,180.00	
28JUL17	B	1	PD27OCT17	20670.00	20976.00	USD	1,530.00	
28JUL17	S	2	PD27OCT17	20715.00	20976.00	USD	-2,610.00	
28JUL17	B	1	PD27OCT17	20735.00	20976.00	USD	1,205.00	
28JUL17	S	1	PD27OCT17	20725.00	20976.00	USD	-1,255.00	
28JUL17	B	1	PD27OCT17	20800.00	20976.00	USD	880.00	
28JUL17	B	1	PD27OCT17	20750.00	20976.00	USD	1,130.00	
28JUL17	S	1	PD27OCT17	20830.00	20976.00	USD	-730.00	
	NET	0 AVG	BGHT 20675.50				1,325.00	0.00
31JUL17	B	1	PD31OCT17	20655.00	20972.00	USD	1,585.00	
31JUL17	S	1	PD31OCT17	20655.00	20972.00	USD	-1,585.00	
	NET	0 AVG	BGHT 20655.00				0.00	0.00
01AUG17	S	1	PD01NOV17	20565.00	20970.00	USD	-2,025.00	
01AUG17	B	1	PD01NOV17	20570.00	20970.00	USD	2,000.00	
01AUG17	S	1	PD01NOV17	20545.00	20970.00	USD	-2,125.00	
01AUG17	B	1	PD01NOV17	20555.00	20970.00	USD	2,075.00	
01AUG17	B	1	PD01NOV17	20525.00	20970.00	USD	2,225.00	
01AUG17	S	1	PD01NOV17	20525.00	20970.00	USD	-2,225.00	
01AUG17	S	1	PD01NOV17	20455.00	20970.00	USD	-2,575.00	
01AUG17	B	1	PD01NOV17	20450.00	20970.00	USD	2,600.00	
	NET	0 AVG	BGHT 20525.00				-50.00	0.00
03AUG17	B	1	PD03NOV17	20545.00	20966.00	USD	2,105.00	
03AUG17	B	1	PD03NOV17	20620.00	20966.00	USD	1,730.00	
03AUG17	S	1	PD03NOV17	20595.00	20966.00	USD	-1,855.00	
03AUG17	S	1	PD03NOV17	20615.00	20966.00	USD	-1,755.00	
04AUG17	B	1	PD03NOV17	20660.00	20966.00	USD	1,530.00	
04AUG17	S	1	PD03NOV17	20725.00	20966.00	USD	-1,205.00	
04AUG17	S	1	PD03NOV17	20655.00	20966.00	USD	-1,555.00	
04AUG17	B	1	PD03NOV17	20780.00	20966.00	USD	930.00	
	NET	0 AVG	BGHT 20651.25				-75.00	0.00
07AUG17	B	1	PD07NOV17	20580.00	20962.00	USD	1,910.00	
07AUG17	S	1	PD07NOV17	20640.00	20962.00	USD	-1,610.00	
07AUG17	B	1	PD07NOV17	20560.00	20962.00	USD	2,010.00	
07AUG17	S	1	PD07NOV17	20575.00	20962.00	USD	-1,935.00	
	NET	0 AVG	BGHT 20570.00				375.00	0.00
08AUG17	S	1	PD08NOV17	20375.00	20960.00	USD	-2,925.00	
08AUG17	S	1	PD08NOV17	20445.00	20960.00	USD	-2,575.00	
08AUG17	B	1	PD08NOV17	20470.00	20960.00	USD	2,450.00	
08AUG17	B	1	PD08NOV17	20475.00	20960.00	USD	2,425.00	
08AUG17	S	1	PD08NOV17	20460.00	20960.00	USD	-2,500.00	
08AUG17	B	2	PD08NOV17	20405.00	20960.00	USD	5,550.00	
08AUG17	S	1	PD08NOV17	20390.00	20960.00	USD	-2,850.00	
08AUG17	B	1	PD08NOV17	20310.00	20960.00	USD	3,250.00	
08AUG17	S	1	PD08NOV17	20280.00	20960.00	USD	-3,400.00	
	NET	0 AVG	BGHT 20413.00				-575.00	0.00
09AUG17	B	1	PD09NOV17	20405.00	20958.00	USD	2,765.00	
09AUG17	S	1	PD09NOV17	20400.00	20958.00	USD	-2,790.00	
09AUG17	B	1	PD09NOV17	20415.00	20958.00	USD	2,715.00	
09AUG17	S	1	PD09NOV17	20415.00	20958.00	USD	-2,715.00	
09AUG17	S	1	PD09NOV17	20230.00	20958.00	USD	-3,640.00	
09AUG17	B	1	PD09NOV17	20230.00	20958.00	USD	3,640.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20350.00	SOLD	20348.33		-25.00	0.00
10AUG17	S	1	PD10NOV17		20270.00	20956.00	USD	-3,430.00	
10AUG17	B	1	PD10NOV17		20350.00	20956.00	USD	3,030.00	
10AUG17	B	1	PD10NOV17		20320.00	20956.00	USD	3,180.00	
10AUG17	S	1	PD10NOV17		20300.00	20956.00	USD	-3,280.00	
10AUG17	B	1	PD10NOV17		20300.00	20956.00	USD	3,280.00	
10AUG17	S	1	PD10NOV17		20290.00	20956.00	USD	-3,330.00	
10AUG17	B	1	PD10NOV17		20265.00	20956.00	USD	3,455.00	
10AUG17	S	1	PD10NOV17		20285.00	20956.00	USD	-3,355.00	
11AUG17	S	1	PD10NOV17		20335.00	20956.00	USD	-3,105.00	
11AUG17	B	1	PD10NOV17		20350.00	20956.00	USD	3,030.00	
	NET	0 AVG	BGHT	20317.00	SOLD	20296.00		-525.00	0.00
16AUG17	S	1	PD16NOV17		20055.00	20947.92	USD	-4,464.60	
16AUG17	B	1	PD16NOV17		19980.00	20947.92	USD	4,839.60	
	NET	0 AVG	BGHT	19980.00	SOLD	20055.00		375.00	0.00
18AUG17	S	1	PD17NOV17		20270.00	20945.83	USD	-3,379.15	
18AUG17	B	1	PD17NOV17		20275.00	20945.83	USD	3,354.15	
	NET	0 AVG	BGHT	20275.00	SOLD	20270.00		-25.00	0.00
21AUG17	S	1	PD21NOV17		20470.00	20941.67	USD	-2,358.35	
21AUG17	B	1	PD21NOV17		20495.00	20941.67	USD	2,233.35	
	NET	0 AVG	BGHT	20495.00	SOLD	20470.00		-125.00	0.00
31AUG17	B	1	PD30NOV17		20615.00	20929.17	USD	1,570.85	
31AUG17	B	1	PD30NOV17		20640.00	20929.17	USD	1,445.85	
31AUG17	S	2	PD30NOV17		20620.00	20929.17	USD	-3,091.70	
	NET	0 AVG	BGHT	20627.50	SOLD	20620.00		-75.00	0.00
01SEP17	S	1	PD01DEC17		20680.00	20927.08	USD	-1,235.40	
01SEP17	B	1	PD01DEC17		20700.00	20927.08	USD	1,135.40	
	NET	0 AVG	BGHT	20700.00	SOLD	20680.00		-100.00	0.00
06SEP17	B	1	PD06DEC17		20610.00	20920.83	USD	1,554.15	
06SEP17	B	1	PD06DEC17		20640.00	20920.83	USD	1,404.15	
06SEP17	S	2	PD06DEC17		20630.00	20920.83	USD	-2,908.30	
	NET	0 AVG	BGHT	20625.00	SOLD	20630.00		50.00	0.00
07SEP17	B	1	PD07DEC17		20730.00	20918.75	USD	943.75	
07SEP17	S	1	PD07DEC17		20725.00	20918.75	USD	-968.75	
	NET	0 AVG	BGHT	20730.00	SOLD	20725.00		-25.00	0.00
08SEP17	B	1	PD08DEC17		20550.00	20916.67	USD	1,833.35	
08SEP17	S	1	PD08DEC17		20550.00	20916.67	USD	-1,833.35	
	NET	0 AVG	BGHT	20550.00	SOLD	20550.00		0.00	0.00
11SEP17	B	1	PD11DEC17		20665.00	20914.58	USD	1,247.90	
11SEP17	S	1	PD11DEC17		20630.00	20914.58	USD	-1,422.90	
	NET	0 AVG	BGHT	20665.00	SOLD	20630.00		-175.00	0.00
13SEP17	B	1	PD13DEC17		20565.00	20910.42	USD	1,727.10	
13SEP17	S	1	PD13DEC17		20595.00	20910.42	USD	-1,577.10	
13SEP17	B	1	PD13DEC17		20580.00	20910.42	USD	1,652.10	
13SEP17	S	1	PD13DEC17		20550.00	20910.42	USD	-1,802.10	
	NET	0 AVG	BGHT	20572.50	SOLD	20572.50		0.00	0.00
15SEP17	S	1	PD15DEC17		20505.00	20906.25	USD	-2,006.25	
15SEP17	B	1	PD15DEC17		20520.00	20906.25	USD	1,931.25	
15SEP17	S	1	PD15DEC17		20605.00	20906.25	USD	-1,506.25	
15SEP17	B	1	PD15DEC17		20510.00	20906.25	USD	1,981.25	
	NET	0 AVG	BGHT	20515.00	SOLD	20555.00		400.00	0.00
18SEP17	B	1	PD18DEC17		20670.00	20904.17	USD	1,170.85	
18SEP17	S	1	PD18DEC17		20655.00	20904.17	USD	-1,245.85	

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	NET	0 AVG	BGHT	20670.00	SOLD	20655.00		-75.00	0.00
19SEP17	S	1	PD19DEC17		20710.00	20902.08	USD	-960.40	
19SEP17	B	1	PD19DEC17		20740.00	20902.08	USD	810.40	
	NET	0 AVG	BGHT	20740.00	SOLD	20710.00		-150.00	0.00
20SEP17	S	1	PD20DEC17		20750.00	20900.00	USD	-750.00	
20SEP17	B	1	PD20DEC17		20750.00	20900.00	USD	750.00	
20SEP17	B	1	PD20DEC17		20660.00	20900.00	USD	1,200.00	
20SEP17	S	1	PD20DEC17		20660.00	20900.00	USD	-1,200.00	
	NET	0 AVG	BGHT	20705.00	SOLD	20705.00		0.00	0.00
21SEP17	B	1	PD21DEC17		20500.00	20897.69	USD	1,988.45	
21SEP17	B	1	PD21DEC17		20595.00	20897.69	USD	1,513.45	
21SEP17	S	1	PD21DEC17		20585.00	20897.69	USD	-1,563.45	
21SEP17	S	1	PD21DEC17		20595.00	20897.69	USD	-1,513.45	
	NET	0 AVG	BGHT	20547.50	SOLD	20590.00		425.00	0.00
22SEP17	B	1	PD22DEC17		20450.00	20895.38	USD	2,226.90	
22SEP17	S	1	PD22DEC17		20510.00	20895.38	USD	-1,926.90	
22SEP17	S	1	PD22DEC17		20620.00	20895.38	USD	-1,376.90	
22SEP17	S	1	PD22DEC17		20565.00	20895.38	USD	-1,651.90	
22SEP17	B	2	PD22DEC17		20565.00	20895.38	USD	3,303.80	
	NET	0 AVG	BGHT	20526.67	SOLD	20565.00		575.00	0.00
25SEP17	S	1	PD27DEC17		20610.00	20893.08	USD	-1,415.40	
25SEP17	B	1	PD27DEC17		20630.00	20893.08	USD	1,315.40	
26SEP17	B	1	PD27DEC17		20750.00	20893.08	USD	715.40	
26SEP17	S	1	PD27DEC17		20740.00	20893.08	USD	-765.40	
27SEP17	S	1	PD27DEC17		20710.00	20893.08	USD	-915.40	
27SEP17	B	1	PD27DEC17		20690.00	20893.08	USD	1,015.40	
27SEP17	S	1	PD27DEC17		20665.00	20893.08	USD	-1,140.40	
27SEP17	B	1	PD27DEC17		20680.00	20893.08	USD	1,065.40	
	NET	0 AVG	BGHT	20687.50	SOLD	20681.25		-125.00	0.00
28SEP17	B	1	PD28DEC17		20660.00	20890.77	USD	1,153.85	
28SEP17	S	1	PD28DEC17		20730.00	20890.77	USD	-803.85	
28SEP17	S	1	PD28DEC17		20630.00	20890.77	USD	-1,303.85	
	NET	-1 AVG	BGHT	20660.00	SOLD	20680.00		-953.85	0.00
29SEP17	B	1	PD29DEC17		20655.00	20888.46	USD	1,167.30	
	NET	+1 AVG	BGHT	20655.00				1,167.30	0.00
09OCT17	B	1	PD09JAN18		20790.00	20874.62	USD	423.10	
09OCT17	S	1	PD09JAN18		20755.00	20874.62	USD	-598.10	
	NET	0 AVG	BGHT	20790.00	SOLD	20755.00		-175.00	0.00
11OCT17	S	1	PD11JAN18		20740.00	20870.00	USD	-650.00	
11OCT17	S	1	PD11JAN18		20745.00	20870.00	USD	-625.00	
11OCT17	B	3	PD11JAN18		20735.00	20870.00	USD	2,025.00	
11OCT17	B	1	PD11JAN18		20755.00	20870.00	USD	575.00	
11OCT17	S	2	PD11JAN18		20750.00	20870.00	USD	-1,200.00	
	NET	0 AVG	BGHT	20740.00	SOLD	20746.25		125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12JUL17	B	1	PD12OCT17		2843.50	3299.33	USD	11,395.75	
12JUL17	B	1	PD12OCT17		2844.00	3299.33	USD	11,383.25	
12JUL17	S	1	PD12OCT17		2843.50	3299.33	USD	-11,395.75	
04OCT17	S	1	PD12OCT17		3351.01	3299.33	USD	1,292.00	
	NET	0 AVG	BGHT	2843.75	SOLD	3097.26		12,675.25	0.00
13JUL17	S	1	PD13OCT17		2834.50	3295.00	USD	-11,512.50	
14JUL17	B	1	PD13OCT17		2788.00	3295.00	USD	12,675.00	
	NET	0 AVG	BGHT	2788.00	SOLD	2834.50		1,162.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2837.00	3286.33	USD	-11,233.25	
04OCT17	B 1 PD17OCT17	3337.93	3286.33	USD	-1,290.00	
	NET 0 AVG BGHT 3337.93 SOLD 2837.00				-12,523.25	0.00
18JUL17	B 1 PD18OCT17	2786.00	3282.00	USD	12,400.00	
18JUL17	S 1 PD18OCT17	2791.00	3282.00	USD	-12,275.00	
	NET 0 AVG BGHT 2786.00 SOLD 2791.00				125.00	0.00
19JUL17	B 1 PD19OCT17	2758.00	3277.50	USD	12,987.50	
19JUL17	S 1 PD19OCT17	2748.00	3277.50	USD	-13,237.50	
	NET 0 AVG BGHT 2758.00 SOLD 2748.00				-250.00	0.00
20JUL17	B 1 PD20OCT17	2738.50	3273.00	USD	13,362.50	
04OCT17	S 1 PD20OCT17	3326.30	3273.00	USD	1,332.50	
	NET 0 AVG BGHT 2738.50 SOLD 3326.30				14,695.00	0.00
24JUL17	S 1 PD24OCT17	2778.00	3267.00	USD	-12,225.00	
24JUL17	B 1 PD24OCT17	2778.50	3267.00	USD	12,212.50	
	NET 0 AVG BGHT 2778.50 SOLD 2778.00				-12.50	0.00
25JUL17	B 1 PD25OCT17	2806.50	3264.00	USD	11,437.50	
25JUL17	S 1 PD25OCT17	2804.00	3264.00	USD	-11,500.00	
25JUL17	S 1 PD25OCT17	2841.50	3264.00	USD	-10,562.50	
25JUL17	B 1 PD25OCT17	2840.00	3264.00	USD	10,600.00	
	NET 0 AVG BGHT 2823.25 SOLD 2822.75				-25.00	0.00
26JUL17	B 1 PD26OCT17	2811.50	3262.33	USD	11,270.75	
04OCT17	S 1 PD26OCT17	3320.57	3262.33	USD	1,456.00	
	NET 0 AVG BGHT 2811.50 SOLD 3320.57				12,726.75	0.00
31JUL17	S 1 PD31OCT17	2807.00	3257.33	USD	-11,258.25	
31JUL17	B 1 PD31OCT17	2810.50	3257.33	USD	11,170.75	
	NET 0 AVG BGHT 2810.50 SOLD 2807.00				-87.50	0.00
08AUG17	S 1 PD08NOV17	2874.50	3249.56	USD	-9,376.50	
	NET -1 AVG SOLD 2874.50				-9,376.50	0.00
18AUG17	B 1 PD17NOV17	3125.00	3239.00	USD	2,850.00	
	NET +1 AVG BGHT 3125.00				2,850.00	0.00
22AUG17	S 1 PD22NOV17	3133.00	3236.00	USD	-2,575.00	
22AUG17	S 1 PD22NOV17	3134.00	3236.00	USD	-2,550.00	
22AUG17	S 1 PD22NOV17	3132.00	3236.00	USD	-2,600.00	
	NET -3 AVG SOLD 3133.00				-7,725.00	0.00
14SEP17	B 3 PD14DEC17	3007.00	3230.33	USD	16,749.75	
	NET +3 AVG BGHT 3007.00				16,749.75	0.00
10OCT17	S 1 PD10JAN18	3287.50	3219.50	USD	1,700.00	
	NET -1 AVG SOLD 3287.50				1,700.00	0.00
11OCT17	B 1 PD11JAN18	3252.00	3219.00	USD	-825.00	
	NET +1 AVG BGHT 3252.00				-825.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

11th October 2017

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AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-6,448.75
LME Copper Grade A 25	FUTURES	-112.50
LME Lead 25 tonnes. This is an	FUTURES	-14,021.00
LME Nickel 6 tonnes. This is	FUTURES	-870.00
LME Tin 5 tonnes. This is an	FUTURES	1,650.00
LME Zinc 25 tonnes. This is	FUTURES	32,561.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-6,453.50	-6,453.50		
COPL	- Futures	USD	-112.50	-112.50		
LEAD	- Futures	USD	-14,350.00	-14,350.00		
NKL	- Futures	USD	-870.00	-870.00		
TIN	- Futures	USD	1,628.45	1,628.45		
ZINC	- Futures	USD	31,859.50	31,859.50	11,701.95	11,701.95

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-18,864.22	-18,864.22
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-18,864.22	-18,864.22
Initial Margins		0.00	0.00
Excess/(Shortage)		-18,864.22	-18,864.22
FOR INFORMATION ONLY			
Forward Unrealised P&L		11,701.95	11,701.95
Net Liquidating Value		-7,162.27	-7,162.27

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0118**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-61,117.57	-61,117.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-61,117.57	-61,117.57
Initial Margins	-27,654.05	-27,654.05
Excess/(Shortage)	-88,771.62	-88,771.62
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-7,091.05	-7,091.05
Net Liquidating Value	-68,208.62	-68,208.62

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	916,581.73	916,581.73
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	916,581.73	916,581.73
Initial Margins	0.00	0.00
Excess/(Shortage)	916,581.73	916,581.73
FOR INFORMATION ONLY		
Net Liquidating Value	916,581.73	916,581.73

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
04AUG17	B	3	PD03NOV17	1910.00	2111.44	USD	15,108.00	
04AUG17	B	4	PD03NOV17	1910.50	2111.44	USD	20,094.00	
04AUG17	B	1	PD03NOV17	1908.00	2111.44	USD	5,086.00	
04AUG17	B	3	PD03NOV17	1907.50	2111.44	USD	15,295.50	
04AUG17	B	1	PD03NOV17	1906.00	2111.44	USD	5,136.00	
04AUG17	B	4	PD03NOV17	1909.00	2111.44	USD	20,244.00	
04AUG17	B	6	PD03NOV17	1907.50	2111.44	USD	30,591.00	
04AUG17	B	8	PD03NOV17	1908.50	2111.44	USD	40,588.00	
04AUG17	B	1	PD03NOV17	1909.50	2111.44	USD	5,048.50	
04AUG17	B	2	PD03NOV17	1906.50	2111.44	USD	10,247.00	
04AUG17	B	1	PD03NOV17	1903.50	2111.44	USD	5,198.50	
14AUG17	S	8	PD03NOV17	2020.99	2111.44	USD	-18,090.00	
18AUG17	S	21	PD03NOV17	2059.46	2111.44	USD	-27,289.50	
22AUG17	S	2	PD03NOV17	2071.36	2111.44	USD	-2,004.00	
23AUG17	S	3	PD03NOV17	2093.36	2111.44	USD	-1,356.00	
	NET	0AVG BGHT 1908.34	SOLD 2054.10				123,897.00	0.00
07AUG17	B	3	PD07NOV17	1920.00	2112.69	USD	14,451.75	
07AUG17	S	1	PD07NOV17	1915.00	2112.69	USD	-4,942.25	
07AUG17	B	1	PD07NOV17	1918.00	2112.69	USD	4,867.25	
07AUG17	B	1	PD07NOV17	1915.50	2112.69	USD	4,929.75	
07AUG17	B	3	PD07NOV17	1917.00	2112.69	USD	14,676.75	
07AUG17	S	2	PD07NOV17	1925.00	2112.69	USD	-9,384.50	
07AUG17	B	1	PD07NOV17	1930.00	2112.69	USD	4,567.25	
07AUG17	B	1	PD07NOV17	1923.00	2112.69	USD	4,742.25	
07AUG17	S	4	PD07NOV17	1924.50	2112.69	USD	-18,819.00	
07AUG17	B	1	PD07NOV17	1920.00	2112.69	USD	4,817.25	
07AUG17	B	1	PD07NOV17	1929.00	2112.69	USD	4,592.25	
07AUG17	S	1	PD07NOV17	1943.00	2112.69	USD	-4,242.25	
07AUG17	S	1	PD07NOV17	1946.00	2112.69	USD	-4,167.25	
07AUG17	B	5	PD07NOV17	1948.50	2112.69	USD	20,523.75	
07AUG17	B	2	PD07NOV17	1952.50	2112.69	USD	8,009.50	
07AUG17	B	1	PD07NOV17	1953.00	2112.69	USD	3,992.25	
07AUG17	S	2	PD07NOV17	1951.00	2112.69	USD	-8,084.50	
07AUG17	B	1	PD07NOV17	1955.00	2112.69	USD	3,942.25	
07AUG17	B	3	PD07NOV17	1955.50	2112.69	USD	11,789.25	
07AUG17	B	1	PD07NOV17	1954.00	2112.69	USD	3,967.25	
07AUG17	S	3	PD07NOV17	1953.00	2112.69	USD	-11,976.75	
07AUG17	S	2	PD07NOV17	1952.50	2112.69	USD	-8,009.50	
07AUG17	S	2	PD07NOV17	1952.00	2112.69	USD	-8,034.50	
07AUG17	S	1	PD07NOV17	1954.00	2112.69	USD	-3,967.25	
07AUG17	B	1	PD07NOV17	1947.00	2112.69	USD	4,142.25	
07AUG17	B	1	PD07NOV17	1951.00	2112.69	USD	4,042.25	
07AUG17	B	1	PD07NOV17	1955.50	2112.69	USD	3,929.75	
07AUG17	S	1	PD07NOV17	1971.50	2112.69	USD	-3,529.75	
07AUG17	S	1	PD07NOV17	1972.50	2112.69	USD	-3,504.75	
18AUG17	S	7	PD07NOV17	2060.25	2112.69	USD	-9,177.00	
	NET	0AVG BGHT 1938.43	SOLD 1972.92				24,143.75	0.00
08AUG17	S	2	PD08NOV17	1985.50	2113.00	USD	-6,375.00	
08AUG17	S	3	PD08NOV17	1983.50	2113.00	USD	-9,712.50	
08AUG17	S	4	PD08NOV17	1983.00	2113.00	USD	-13,000.00	
08AUG17	S	3	PD08NOV17	1982.50	2113.00	USD	-9,787.50	
08AUG17	B	1	PD08NOV17	1975.50	2113.00	USD	3,437.50	
08AUG17	B	3	PD08NOV17	1976.00	2113.00	USD	10,275.00	
08AUG17	B	1	PD08NOV17	1974.50	2113.00	USD	3,462.50	
08AUG17	B	4	PD08NOV17	1975.00	2113.00	USD	13,800.00	
08AUG17	B	3	PD08NOV17	1976.50	2113.00	USD	10,237.50	
08AUG17	B	2	PD08NOV17	1979.00	2113.00	USD	6,700.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	1	PD08NOV17	1978.50	2113.00	USD	-3,362.50	
08AUG17	S	1	PD08NOV17	1981.00	2113.00	USD	-3,300.00	
08AUG17	S	1	PD08NOV17	1980.50	2113.00	USD	-3,312.50	
08AUG17	S	1	PD08NOV17	1984.00	2113.00	USD	-3,225.00	
08AUG17	S	1	PD08NOV17	1992.50	2113.00	USD	-3,012.50	
08AUG17	S	3	PD08NOV17	1993.50	2113.00	USD	-8,962.50	
08AUG17	S	2	PD08NOV17	1994.50	2113.00	USD	-5,925.00	
08AUG17	S	1	PD08NOV17	1995.00	2113.00	USD	-2,950.00	
08AUG17	S	1	PD08NOV17	1997.00	2113.00	USD	-2,900.00	
08AUG17	S	1	PD08NOV17	1996.50	2113.00	USD	-2,912.50	
08AUG17	S	1	PD08NOV17	1996.00	2113.00	USD	-2,925.00	
08AUG17	B	1	PD08NOV17	1997.50	2113.00	USD	2,887.50	
08AUG17	B	2	PD08NOV17	1999.50	2113.00	USD	5,675.00	
08AUG17	B	12	PD08NOV17	1999.00	2113.00	USD	34,200.00	
08AUG17	S	1	PD08NOV17	1998.00	2113.00	USD	-2,875.00	
08AUG17	B	1	PD08NOV17	2001.50	2113.00	USD	2,787.50	
08AUG17	S	1	PD08NOV17	2001.50	2113.00	USD	-2,787.50	
08AUG17	B	1	PD08NOV17	2003.50	2113.00	USD	2,737.50	
08AUG17	B	1	PD08NOV17	2016.50	2113.00	USD	2,412.50	
08AUG17	B	2	PD08NOV17	2017.00	2113.00	USD	4,800.00	
08AUG17	S	1	PD08NOV17	1994.00	2113.00	USD	-2,975.00	
14AUG17	B	4	PD08NOV17	2022.10	2113.00	USD	9,090.00	
18AUG17	S	9	PD08NOV17	2060.50	2113.00	USD	-11,812.50	
	NET	0AVG BGHT 1994.58	SOLD 2005.51				10,390.00	0.00
09AUG17	B	1	PD09NOV17	2036.50	2113.46	USD	1,924.00	
09AUG17	S	1	PD09NOV17	2027.50	2113.46	USD	-2,149.00	
09AUG17	S	1	PD09NOV17	2026.50	2113.46	USD	-2,174.00	
09AUG17	S	1	PD09NOV17	2025.50	2113.46	USD	-2,199.00	
09AUG17	S	1	PD09NOV17	2025.00	2113.46	USD	-2,211.50	
09AUG17	B	1	PD09NOV17	2020.00	2113.46	USD	2,336.50	
09AUG17	B	1	PD09NOV17	2018.50	2113.46	USD	2,374.00	
09AUG17	S	1	PD09NOV17	2026.00	2113.46	USD	-2,186.50	
18AUG17	B	2	PD09NOV17	2060.95	2113.46	USD	2,625.50	
	NET	0AVG BGHT 2039.38	SOLD 2026.10				-1,660.00	0.00
10AUG17	B	3	PD10NOV17	2032.00	2113.93	USD	6,144.75	
10AUG17	B	1	PD10NOV17	2033.50	2113.93	USD	2,010.75	
10AUG17	B	4	PD10NOV17	2035.00	2113.93	USD	7,893.00	
10AUG17	S	2	PD10NOV17	2027.50	2113.93	USD	-4,321.50	
10AUG17	S	2	PD10NOV17	2027.00	2113.93	USD	-4,346.50	
10AUG17	S	2	PD10NOV17	2037.00	2113.93	USD	-3,846.50	
10AUG17	S	2	PD10NOV17	2026.00	2113.93	USD	-4,396.50	
10AUG17	S	2	PD10NOV17	2028.50	2113.93	USD	-4,271.50	
10AUG17	S	3	PD10NOV17	2029.50	2113.93	USD	-6,332.25	
10AUG17	S	1	PD10NOV17	2029.00	2113.93	USD	-2,123.25	
10AUG17	S	4	PD10NOV17	2026.50	2113.93	USD	-8,743.00	
10AUG17	B	4	PD10NOV17	2038.50	2113.93	USD	7,543.00	
10AUG17	B	4	PD10NOV17	2040.00	2113.93	USD	7,393.00	
10AUG17	B	1	PD10NOV17	2040.50	2113.93	USD	1,835.75	
10AUG17	B	11	PD10NOV17	2039.00	2113.93	USD	20,605.75	
10AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
10AUG17	S	1	PD10NOV17	2032.50	2113.93	USD	-2,035.75	
11AUG17	S	1	PD10NOV17	2027.50	2113.93	USD	-2,160.75	
11AUG17	S	1	PD10NOV17	2028.00	2113.93	USD	-2,148.25	
11AUG17	S	3	PD10NOV17	2032.50	2113.93	USD	-6,107.25	
11AUG17	S	4	PD10NOV17	2033.00	2113.93	USD	-8,093.00	
11AUG17	S	2	PD10NOV17	2037.00	2113.93	USD	-3,846.50	
11AUG17	S	1	PD10NOV17	2023.00	2113.93	USD	-2,273.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
11AUG17	S	1	PD10NOV17	2022.50	2113.93	USD	-2,285.75	
11AUG17	B	1	PD10NOV17	2024.50	2113.93	USD	2,235.75	
11AUG17	B	2	PD10NOV17	2025.00	2113.93	USD	4,446.50	
11AUG17	B	2	PD10NOV17	2027.50	2113.93	USD	4,321.50	
11AUG17	B	1	PD10NOV17	2027.00	2113.93	USD	2,173.25	
11AUG17	B	1	PD10NOV17	2026.50	2113.93	USD	2,185.75	
11AUG17	S	6	PD10NOV17	2040.50	2113.93	USD	-11,014.50	
11AUG17	S	2	PD10NOV17	2040.00	2113.93	USD	-3,696.50	
11AUG17	S	2	PD10NOV17	2039.00	2113.93	USD	-3,746.50	
11AUG17	S	2	PD10NOV17	2041.00	2113.93	USD	-3,646.50	
14AUG17	B	4	PD10NOV17	2022.47	2113.93	USD	9,146.00	
22AUG17	B	2	PD10NOV17	2073.20	2113.93	USD	2,036.50	
22AUG17	B	4	PD10NOV17	2073.00	2113.93	USD	4,093.00	
	NET	0 AVG BGHT 2039.21	SOLD 2032.62				-7,407.00	0.00
14AUG17	S	1	PD14NOV17	2030.00	2115.79	USD	-2,144.75	
14AUG17	S	2	PD14NOV17	2030.50	2115.79	USD	-4,264.50	
14AUG17	S	1	PD14NOV17	2037.50	2115.79	USD	-1,957.25	
14AUG17	S	1	PD14NOV17	2029.00	2115.79	USD	-2,169.75	
14AUG17	S	1	PD14NOV17	2028.00	2115.79	USD	-2,194.75	
14AUG17	S	1	PD14NOV17	2027.50	2115.79	USD	-2,207.25	
14AUG17	S	3	PD14NOV17	2027.00	2115.79	USD	-6,659.25	
14AUG17	B	4	PD14NOV17	2039.50	2115.79	USD	7,629.00	
14AUG17	S	1	PD14NOV17	2045.00	2115.79	USD	-1,769.75	
14AUG17	S	1	PD14NOV17	2031.50	2115.79	USD	-2,107.25	
14AUG17	B	3	PD14NOV17	2029.50	2115.79	USD	6,471.75	
14AUG17	S	3	PD14NOV17	2045.50	2115.79	USD	-5,271.75	
14AUG17	S	1	PD14NOV17	2030.50	2115.79	USD	-2,132.25	
14AUG17	S	1	PD14NOV17	2029.00	2115.79	USD	-2,169.75	
14AUG17	S	1	PD14NOV17	2033.50	2115.79	USD	-2,057.25	
14AUG17	S	5	PD14NOV17	2033.00	2115.79	USD	-10,348.75	
14AUG17	S	6	PD14NOV17	2032.00	2115.79	USD	-12,568.50	
14AUG17	S	3	PD14NOV17	2031.00	2115.79	USD	-6,359.25	
14AUG17	S	1	PD14NOV17	2033.50	2115.79	USD	-2,057.25	
14AUG17	B	2	PD14NOV17	2028.00	2115.79	USD	4,389.50	
18AUG17	B	26	PD14NOV17	2062.20	2115.79	USD	34,833.50	
22AUG17	S	2	PD14NOV17	2074.00	2115.79	USD	-2,089.50	
	NET	0 AVG BGHT 2054.85	SOLD 2035.19				-17,205.00	0.00
15AUG17	B	2	PD15NOV17	2040.00	2116.25	USD	3,812.50	
15AUG17	S	1	PD15NOV17	2041.50	2116.25	USD	-1,868.75	
15AUG17	S	1	PD15NOV17	2044.50	2116.25	USD	-1,793.75	
18AUG17	B	2	PD15NOV17	2062.45	2116.25	USD	2,690.00	
22AUG17	S	2	PD15NOV17	2074.05	2116.25	USD	-2,110.00	
	NET	0 AVG BGHT 2051.23	SOLD 2058.53				730.00	0.00
16AUG17	B	2	PD16NOV17	2051.50	2116.56	USD	3,253.00	
16AUG17	B	8	PD16NOV17	2052.00	2116.56	USD	12,912.00	
16AUG17	B	1	PD16NOV17	2053.00	2116.56	USD	1,589.00	
16AUG17	B	5	PD16NOV17	2054.00	2116.56	USD	7,820.00	
16AUG17	S	1	PD16NOV17	2089.50	2116.56	USD	-676.50	
16AUG17	S	3	PD16NOV17	2087.50	2116.56	USD	-2,179.50	
16AUG17	B	2	PD16NOV17	2085.00	2116.56	USD	1,578.00	
16AUG17	B	1	PD16NOV17	2086.00	2116.56	USD	764.00	
16AUG17	B	1	PD16NOV17	2086.50	2116.56	USD	751.50	
16AUG17	B	2	PD16NOV17	2099.00	2116.56	USD	878.00	
16AUG17	B	2	PD16NOV17	2096.50	2116.56	USD	1,003.00	
16AUG17	B	3	PD16NOV17	2097.00	2116.56	USD	1,467.00	
16AUG17	B	7	PD16NOV17	2101.50	2116.56	USD	2,635.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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16AUG17	B	1	PD16NOV17	2094.50	2116.56	USD	551.50	
16AUG17	B	2	PD16NOV17	2095.00	2116.56	USD	1,078.00	
16AUG17	B	2	PD16NOV17	2088.50	2116.56	USD	1,403.00	
16AUG17	B	3	PD16NOV17	2088.00	2116.56	USD	2,142.00	
18AUG17	S	16	PD16NOV17	2061.75	2116.56	USD	-21,924.00	
22AUG17	S	2	PD16NOV17	2073.55	2116.56	USD	-2,150.50	
23AUG17	S	20	PD16NOV17	2095.75	2116.56	USD	-10,405.00	
	NET	0AVG BGHT 2078.63	SOLD 2081.00				2,490.00	0.00
17AUG17	B	1	PD17NOV17	2091.00	2116.87	USD	646.75	
17AUG17	S	2	PD17NOV17	2101.50	2116.87	USD	-768.50	
17AUG17	S	1	PD17NOV17	2102.00	2116.87	USD	-371.75	
17AUG17	S	1	PD17NOV17	2099.50	2116.87	USD	-434.25	
17AUG17	S	1	PD17NOV17	2101.00	2116.87	USD	-396.75	
17AUG17	S	2	PD17NOV17	2079.50	2116.87	USD	-1,868.50	
17AUG17	B	1	PD17NOV17	2070.50	2116.87	USD	1,159.25	
17AUG17	B	1	PD17NOV17	2068.50	2116.87	USD	1,209.25	
17AUG17	S	2	PD17NOV17	2071.00	2116.87	USD	-2,293.50	
17AUG17	S	1	PD17NOV17	2070.50	2116.87	USD	-1,159.25	
17AUG17	S	1	PD17NOV17	2068.50	2116.87	USD	-1,209.25	
17AUG17	S	1	PD17NOV17	2068.00	2116.87	USD	-1,221.75	
17AUG17	S	4	PD17NOV17	2067.50	2116.87	USD	-4,937.00	
17AUG17	S	1	PD17NOV17	2076.00	2116.87	USD	-1,021.75	
17AUG17	S	2	PD17NOV17	2075.50	2116.87	USD	-2,068.50	
17AUG17	S	1	PD17NOV17	2074.50	2116.87	USD	-1,059.25	
17AUG17	S	1	PD17NOV17	2073.50	2116.87	USD	-1,084.25	
17AUG17	S	1	PD17NOV17	2071.00	2116.87	USD	-1,146.75	
17AUG17	S	4	PD17NOV17	2073.00	2116.87	USD	-4,387.00	
17AUG17	S	4	PD17NOV17	2074.00	2116.87	USD	-4,287.00	
18AUG17	B	2	PD17NOV17	2071.00	2116.87	USD	2,293.50	
18AUG17	B	3	PD17NOV17	2076.00	2116.87	USD	3,065.25	
18AUG17	B	12	PD17NOV17	2083.50	2116.87	USD	10,011.00	
18AUG17	B	1	PD17NOV17	2085.00	2116.87	USD	796.75	
18AUG17	B	2	PD17NOV17	2086.00	2116.87	USD	1,543.50	
18AUG17	B	6	PD17NOV17	2084.50	2116.87	USD	4,855.50	
18AUG17	B	2	PD17NOV17	2084.50	2116.87	USD	1,618.50	
18AUG17	B	1	PD17NOV17	2088.50	2116.87	USD	709.25	
18AUG17	B	1	PD17NOV17	2091.50	2116.87	USD	634.25	
18AUG17	S	2	PD17NOV17	2070.00	2116.87	USD	-2,343.50	
18AUG17	B	2	PD17NOV17	2061.00	2116.87	USD	2,793.50	
18AUG17	B	2	PD17NOV17	2061.50	2116.87	USD	2,768.50	
18AUG17	B	1	PD17NOV17	2060.00	2116.87	USD	1,421.75	
18AUG17	B	1	PD17NOV17	2060.50	2116.87	USD	1,409.25	
18AUG17	B	1	PD17NOV17	2063.00	2116.87	USD	1,346.75	
18AUG17	B	1	PD17NOV17	2062.00	2116.87	USD	1,371.75	
18AUG17	B	23	PD17NOV17	2062.20	2116.87	USD	31,435.25	
22AUG17	B	2	PD17NOV17	2074.16	2116.87	USD	2,135.50	
23AUG17	S	6	PD17NOV17	2095.91	2116.87	USD	-3,144.00	
30AUG17	S	3	PD17NOV17	2085.00	2116.87	USD	-2,390.25	
11SEP17	S	25	PD17NOV17	2115.25	2116.87	USD	-1,012.50	
	NET	0AVG BGHT 2072.49	SOLD 2093.47				34,619.75	0.00
21AUG17	B	1	PD21NOV17	2096.50	2118.10	USD	540.00	
21AUG17	B	2	PD21NOV17	2097.50	2118.10	USD	1,030.00	
21AUG17	B	3	PD21NOV17	2098.00	2118.10	USD	1,507.50	
21AUG17	B	1	PD21NOV17	2078.00	2118.10	USD	1,002.50	
21AUG17	B	1	PD21NOV17	2078.50	2118.10	USD	990.00	
21AUG17	B	2	PD21NOV17	2069.50	2118.10	USD	2,430.00	
21AUG17	B	1	PD21NOV17	2077.00	2118.10	USD	1,027.50	

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21AUG17	B	1	PD21NOV17	2077.50	2118.10	USD	1,015.00	
21AUG17	B	1	PD21NOV17	2075.00	2118.10	USD	1,077.50	
21AUG17	B	2	PD21NOV17	2074.00	2118.10	USD	2,205.00	
21AUG17	B	1	PD21NOV17	2076.00	2118.10	USD	1,052.50	
11SEP17	S	16	PD21NOV17	2116.38	2118.10	USD	-688.00	
	NET	0AVG BGHT 2083.41	SOLD 2116.38				13,189.50	0.00
22AUG17	S	2	PD22NOV17	2069.50	2118.41	USD	-2,445.50	
22AUG17	S	1	PD22NOV17	2067.00	2118.41	USD	-1,285.25	
22AUG17	S	1	PD22NOV17	2066.50	2118.41	USD	-1,297.75	
22AUG17	S	3	PD22NOV17	2064.00	2118.41	USD	-4,080.75	
22AUG17	S	1	PD22NOV17	2064.50	2118.41	USD	-1,347.75	
22AUG17	S	1	PD22NOV17	2074.00	2118.41	USD	-1,110.25	
22AUG17	S	3	PD22NOV17	2075.00	2118.41	USD	-3,255.75	
22AUG17	B	1	PD22NOV17	2084.00	2118.41	USD	860.25	
22AUG17	B	1	PD22NOV17	2083.50	2118.41	USD	872.75	
22AUG17	S	2	PD22NOV17	2080.00	2118.41	USD	-1,920.50	
22AUG17	S	1	PD22NOV17	2076.50	2118.41	USD	-1,047.75	
22AUG17	S	1	PD22NOV17	2075.50	2118.41	USD	-1,072.75	
22AUG17	S	1	PD22NOV17	2077.50	2118.41	USD	-1,022.75	
22AUG17	S	2	PD22NOV17	2079.00	2118.41	USD	-1,970.50	
22AUG17	S	2	PD22NOV17	2082.00	2118.41	USD	-1,820.50	
22AUG17	S	2	PD22NOV17	2082.50	2118.41	USD	-1,795.50	
22AUG17	S	3	PD22NOV17	2076.00	2118.41	USD	-3,180.75	
22AUG17	S	1	PD22NOV17	2072.50	2118.41	USD	-1,147.75	
22AUG17	S	4	PD22NOV17	2071.00	2118.41	USD	-4,741.00	
23AUG17	B	29	PD22NOV17	2096.89	2118.41	USD	15,602.00	
	NET	0AVG BGHT 2096.04	SOLD 2073.84				-17,207.75	0.00
23AUG17	B	1	PD24NOV17	2081.50	2119.03	USD	938.25	
23AUG17	B	5	PD24NOV17	2082.00	2119.03	USD	4,628.75	
23AUG17	B	3	PD24NOV17	2083.00	2119.03	USD	2,702.25	
23AUG17	B	2	PD24NOV17	2083.50	2119.03	USD	1,776.50	
23AUG17	B	3	PD24NOV17	2082.50	2119.03	USD	2,739.75	
23AUG17	B	2	PD24NOV17	2084.00	2119.03	USD	1,751.50	
23AUG17	B	5	PD24NOV17	2086.00	2119.03	USD	4,128.75	
23AUG17	B	1	PD24NOV17	2086.50	2119.03	USD	813.25	
23AUG17	B	5	PD24NOV17	2089.00	2119.03	USD	3,753.75	
23AUG17	B	9	PD24NOV17	2089.50	2119.03	USD	6,644.25	
23AUG17	B	10	PD24NOV17	2090.00	2119.03	USD	7,257.50	
23AUG17	B	2	PD24NOV17	2093.50	2119.03	USD	1,276.50	
23AUG17	B	1	PD24NOV17	2094.00	2119.03	USD	625.75	
23AUG17	B	6	PD24NOV17	2093.00	2119.03	USD	3,904.50	
23AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
23AUG17	B	1	PD24NOV17	2092.50	2119.03	USD	663.25	
23AUG17	B	1	PD24NOV17	2083.50	2119.03	USD	888.25	
24AUG17	S	1	PD24NOV17	2104.00	2119.03	USD	-375.75	
24AUG17	S	2	PD24NOV17	2103.50	2119.03	USD	-776.50	
24AUG17	S	2	PD24NOV17	2102.00	2119.03	USD	-851.50	
24AUG17	S	2	PD24NOV17	2103.00	2119.03	USD	-801.50	
24AUG17	S	1	PD24NOV17	2106.50	2119.03	USD	-313.25	
24AUG17	S	2	PD24NOV17	2102.50	2119.03	USD	-826.50	
24AUG17	S	1	PD24NOV17	2098.00	2119.03	USD	-525.75	
24AUG17	S	2	PD24NOV17	2098.50	2119.03	USD	-1,026.50	
24AUG17	S	8	PD24NOV17	2099.00	2119.03	USD	-4,006.00	
24AUG17	S	5	PD24NOV17	2097.50	2119.03	USD	-2,691.25	
24AUG17	S	3	PD24NOV17	2097.00	2119.03	USD	-1,652.25	
24AUG17	S	1	PD24NOV17	2096.50	2119.03	USD	-563.25	
24AUG17	S	1	PD24NOV17	2096.00	2119.03	USD	-575.75	

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24AUG17	S	2	PD24NOV17	2095.50	2119.03	USD	-1,176.50	
24AUG17	S	5	PD24NOV17	2094.50	2119.03	USD	-3,066.25	
24AUG17	S	3	PD24NOV17	2095.00	2119.03	USD	-1,802.25	
24AUG17	S	1	PD24NOV17	2091.50	2119.03	USD	-688.25	
24AUG17	S	1	PD24NOV17	2090.50	2119.03	USD	-713.25	
24AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
24AUG17	B	1	PD24NOV17	2093.00	2119.03	USD	650.75	
24AUG17	S	1	PD24NOV17	2092.00	2119.03	USD	-675.75	
24AUG17	S	1	PD24NOV17	2103.00	2119.03	USD	-400.75	
24AUG17	S	3	PD24NOV17	2101.50	2119.03	USD	-1,314.75	
24AUG17	S	2	PD24NOV17	2100.50	2119.03	USD	-926.50	
24AUG17	S	3	PD24NOV17	2100.00	2119.03	USD	-1,427.25	
24AUG17	S	2	PD24NOV17	2099.50	2119.03	USD	-976.50	
24AUG17	B	2	PD24NOV17	2103.50	2119.03	USD	776.50	
24AUG17	B	2	PD24NOV17	2104.00	2119.03	USD	751.50	
25AUG17	B	1	PD24NOV17	2097.50	2119.03	USD	538.25	
25AUG17	B	2	PD24NOV17	2096.50	2119.03	USD	1,126.50	
25AUG17	B	1	PD24NOV17	2097.00	2119.03	USD	550.75	
25AUG17	B	2	PD24NOV17	2095.00	2119.03	USD	1,201.50	
25AUG17	B	6	PD24NOV17	2096.00	2119.03	USD	3,454.50	
25AUG17	B	5	PD24NOV17	2095.50	2119.03	USD	2,941.25	
25AUG17	S	1	PD24NOV17	2087.00	2119.03	USD	-800.75	
25AUG17	S	1	PD24NOV17	2086.50	2119.03	USD	-813.25	
25AUG17	B	1	PD24NOV17	2085.50	2119.03	USD	838.25	
25AUG17	S	1	PD24NOV17	2085.50	2119.03	USD	-838.25	
25AUG17	S	7	PD24NOV17	2086.00	2119.03	USD	-5,780.25	
25AUG17	S	1	PD24NOV17	2091.00	2119.03	USD	-700.75	
25AUG17	S	3	PD24NOV17	2090.50	2119.03	USD	-2,139.75	
25AUG17	B	2	PD24NOV17	2096.00	2119.03	USD	1,151.50	
25AUG17	B	1	PD24NOV17	2095.50	2119.03	USD	588.25	
25AUG17	B	1	PD24NOV17	2095.00	2119.03	USD	600.75	
25AUG17	S	1	PD24NOV17	2088.00	2119.03	USD	-775.75	
25AUG17	S	3	PD24NOV17	2087.50	2119.03	USD	-2,364.75	
25AUG17	B	1	PD24NOV17	2071.00	2119.03	USD	1,200.75	
25AUG17	B	3	PD24NOV17	2070.50	2119.03	USD	3,639.75	
25AUG17	S	4	PD24NOV17	2076.50	2119.03	USD	-4,253.00	
11SEP17	S	9	PD24NOV17	2117.22	2119.03	USD	-407.25	
	NET	0 AVG BGHT 2089.71	SOLD 2097.02				16,074.50	0.00
29AUG17	S	1	PD29NOV17	2074.00	2120.57	USD	-1,164.25	
29AUG17	B	2	PD29NOV17	2079.00	2120.57	USD	2,078.50	
29AUG17	S	1	PD29NOV17	2074.50	2120.57	USD	-1,151.75	
29AUG17	B	5	PD29NOV17	2077.50	2120.57	USD	5,383.75	
29AUG17	B	1	PD29NOV17	2087.00	2120.57	USD	839.25	
29AUG17	B	3	PD29NOV17	2086.50	2120.57	USD	2,555.25	
29AUG17	B	1	PD29NOV17	2074.00	2120.57	USD	1,164.25	
29AUG17	B	1	PD29NOV17	2083.00	2120.57	USD	939.25	
29AUG17	S	2	PD29NOV17	2094.50	2120.57	USD	-1,303.50	
29AUG17	S	1	PD29NOV17	2096.00	2120.57	USD	-614.25	
29AUG17	S	4	PD29NOV17	2098.50	2120.57	USD	-2,207.00	
29AUG17	S	1	PD29NOV17	2096.50	2120.57	USD	-601.75	
29AUG17	S	3	PD29NOV17	2092.50	2120.57	USD	-2,105.25	
29AUG17	S	3	PD29NOV17	2093.50	2120.57	USD	-2,030.25	
30AUG17	B	3	PD29NOV17	2087.51	2120.57	USD	2,479.50	
	NET	0 AVG BGHT 2081.97	SOLD 2092.63				4,261.75	0.00
30AUG17	B	2	PD30NOV17	2102.50	2120.88	USD	919.00	
30AUG17	S	2	PD30NOV17	2085.50	2120.88	USD	-1,769.00	
30AUG17	S	1	PD30NOV17	2086.00	2120.88	USD	-872.00	

OPEN POSITION STATEMENT



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SAP0119B

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Suite A, 105 Piccadilly
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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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30AUG17	S	1	PD30NOV17	2084.50	2120.88	USD	-909.50	
30AUG17	B	1	PD30NOV17	2089.00	2120.88	USD	797.00	
30AUG17	B	5	PD30NOV17	2088.50	2120.88	USD	4,047.50	
31AUG17	B	6	PD30NOV17	2093.00	2120.88	USD	4,182.00	
31AUG17	B	2	PD30NOV17	2098.00	2120.88	USD	1,144.00	
31AUG17	B	1	PD30NOV17	2102.50	2120.88	USD	459.50	
31AUG17	S	1	PD30NOV17	2100.00	2120.88	USD	-522.00	
31AUG17	B	2	PD30NOV17	2100.00	2120.88	USD	1,044.00	
31AUG17	B	2	PD30NOV17	2100.50	2120.88	USD	1,019.00	
31AUG17	B	1	PD30NOV17	2095.00	2120.88	USD	647.00	
31AUG17	S	1	PD30NOV17	2131.50	2120.88	USD	265.50	
31AUG17	S	3	PD30NOV17	2130.00	2120.88	USD	684.00	
31AUG17	S	1	PD30NOV17	2131.00	2120.88	USD	253.00	
31AUG17	S	7	PD30NOV17	2130.50	2120.88	USD	1,683.50	
31AUG17	S	2	PD30NOV17	2128.50	2120.88	USD	381.00	
31AUG17	B	4	PD30NOV17	2124.00	2120.88	USD	-312.00	
31AUG17	B	2	PD30NOV17	2122.00	2120.88	USD	-56.00	
31AUG17	B	1	PD30NOV17	2121.00	2120.88	USD	-3.00	
31AUG17	S	1	PD30NOV17	2130.50	2120.88	USD	240.50	
11SEP17	S	5	PD30NOV17	2118.91	2120.88	USD	-246.25	
15SEP17	S	4	PD30NOV17	2081.99	2120.88	USD	-3,889.00	
	NET	0AVG BGHT 2101.72	SOLD 2114.40				9,187.75	0.00
01SEP17	S	1	PD01DEC17	2136.50	2121.19	USD	382.75	
01SEP17	S	1	PD01DEC17	2136.00	2121.19	USD	370.25	
01SEP17	S	1	PD01DEC17	2135.00	2121.19	USD	345.25	
01SEP17	S	2	PD01DEC17	2138.00	2121.19	USD	840.50	
01SEP17	S	1	PD01DEC17	2139.00	2121.19	USD	445.25	
01SEP17	S	1	PD01DEC17	2141.00	2121.19	USD	495.25	
01SEP17	S	2	PD01DEC17	2140.50	2121.19	USD	965.50	
01SEP17	B	1	PD01DEC17	2122.50	2121.19	USD	-32.75	
01SEP17	B	2	PD01DEC17	2123.00	2121.19	USD	-90.50	
01SEP17	B	1	PD01DEC17	2123.50	2121.19	USD	-57.75	
01SEP17	B	14	PD01DEC17	2128.50	2121.19	USD	-2,558.50	
01SEP17	B	4	PD01DEC17	2127.00	2121.19	USD	-581.00	
01SEP17	B	5	PD01DEC17	2127.50	2121.19	USD	-788.75	
01SEP17	B	5	PD01DEC17	2130.00	2121.19	USD	-1,101.25	
01SEP17	B	3	PD01DEC17	2126.50	2121.19	USD	-398.25	
01SEP17	B	6	PD01DEC17	2128.00	2121.19	USD	-1,021.50	
01SEP17	S	1	PD01DEC17	2127.00	2121.19	USD	145.25	
01SEP17	S	1	PD01DEC17	2126.50	2121.19	USD	132.75	
11SEP17	S	26	PD01DEC17	2119.19	2121.19	USD	-1,300.00	
22SEP17	S	4	PD01DEC17	2153.71	2121.19	USD	3,252.00	
	NET	0AVG BGHT 2127.66	SOLD 2127.12				-555.50	0.00
04SEP17	S	1	PD04DEC17	2117.50	2122.12	USD	-115.50	
04SEP17	S	1	PD04DEC17	2117.00	2122.12	USD	-128.00	
04SEP17	S	1	PD04DEC17	2122.00	2122.12	USD	-3.00	
04SEP17	S	4	PD04DEC17	2123.00	2122.12	USD	88.00	
04SEP17	S	6	PD04DEC17	2122.50	2122.12	USD	57.00	
04SEP17	S	2	PD04DEC17	2124.50	2122.12	USD	119.00	
04SEP17	S	1	PD04DEC17	2125.00	2122.12	USD	72.00	
04SEP17	S	3	PD04DEC17	2125.50	2122.12	USD	253.50	
04SEP17	B	3	PD04DEC17	2114.00	2122.12	USD	609.00	
04SEP17	B	2	PD04DEC17	2115.00	2122.12	USD	356.00	
04SEP17	B	2	PD04DEC17	2116.00	2122.12	USD	306.00	
04SEP17	B	2	PD04DEC17	2116.50	2122.12	USD	281.00	
04SEP17	B	3	PD04DEC17	2118.00	2122.12	USD	309.00	
04SEP17	B	1	PD04DEC17	2117.50	2122.12	USD	115.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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04SEP17	B	2	PD04DEC17		2115.50	2122.12	USD	331.00	
04SEP17	B	3	PD04DEC17		2113.00	2122.12	USD	684.00	
04SEP17	B	1	PD04DEC17		2114.50	2122.12	USD	190.50	
04SEP17	B	1	PD04DEC17		2116.50	2122.12	USD	140.50	
04SEP17	B	1	PD04DEC17		2117.50	2122.12	USD	115.50	
11SEP17	S	2	PD04DEC17		2120.03	2122.12	USD	-104.50	
	NET	0 AVG	BGHT 2115.57	SOLD 2122.57				3,676.50	0.00
05SEP17	S	3	PD05DEC17		2121.00	2122.43	USD	-107.25	
05SEP17	S	2	PD05DEC17		2120.50	2122.43	USD	-96.50	
05SEP17	S	3	PD05DEC17		2117.00	2122.43	USD	-407.25	
05SEP17	S	1	PD05DEC17		2116.50	2122.43	USD	-148.25	
05SEP17	S	2	PD05DEC17		2118.50	2122.43	USD	-196.50	
05SEP17	S	1	PD05DEC17		2118.00	2122.43	USD	-110.75	
05SEP17	B	1	PD05DEC17		2112.50	2122.43	USD	248.25	
05SEP17	B	1	PD05DEC17		2112.00	2122.43	USD	260.75	
05SEP17	B	1	PD05DEC17		2111.50	2122.43	USD	273.25	
05SEP17	S	1	PD05DEC17		2112.00	2122.43	USD	-260.75	
05SEP17	B	1	PD05DEC17		2109.00	2122.43	USD	335.75	
05SEP17	B	3	PD05DEC17		2108.00	2122.43	USD	1,082.25	
05SEP17	B	1	PD05DEC17		2108.50	2122.43	USD	348.25	
05SEP17	B	4	PD05DEC17		2107.50	2122.43	USD	1,493.00	
05SEP17	B	3	PD05DEC17		2114.00	2122.43	USD	632.25	
05SEP17	S	4	PD05DEC17		2104.00	2122.43	USD	-1,843.00	
05SEP17	S	3	PD05DEC17		2104.50	2122.43	USD	-1,344.75	
05SEP17	S	5	PD05DEC17		2105.00	2122.43	USD	-2,178.75	
05SEP17	S	4	PD05DEC17		2105.50	2122.43	USD	-1,693.00	
05SEP17	B	3	PD05DEC17		2111.00	2122.43	USD	857.25	
05SEP17	S	1	PD05DEC17		2110.00	2122.43	USD	-310.75	
05SEP17	S	1	PD05DEC17		2115.00	2122.43	USD	-185.75	
05SEP17	S	5	PD05DEC17		2117.50	2122.43	USD	-616.25	
05SEP17	S	1	PD05DEC17		2106.50	2122.43	USD	-398.25	
11SEP17	B	19	PD05DEC17		2120.51	2122.43	USD	912.00	
	NET	0 AVG	BGHT 2115.46	SOLD 2111.73				-3,454.75	0.00
06SEP17	B	1	PD06DEC17		2092.00	2122.74	USD	768.50	
06SEP17	B	1	PD06DEC17		2091.50	2122.74	USD	781.00	
06SEP17	B	2	PD06DEC17		2093.00	2122.74	USD	1,487.00	
06SEP17	B	1	PD06DEC17		2092.50	2122.74	USD	756.00	
06SEP17	B	2	PD06DEC17		2094.00	2122.74	USD	1,437.00	
06SEP17	B	4	PD06DEC17		2093.50	2122.74	USD	2,924.00	
06SEP17	B	1	PD06DEC17		2095.50	2122.74	USD	681.00	
06SEP17	B	4	PD06DEC17		2096.00	2122.74	USD	2,674.00	
06SEP17	S	1	PD06DEC17		2093.00	2122.74	USD	-743.50	
06SEP17	S	1	PD06DEC17		2089.00	2122.74	USD	-843.50	
06SEP17	S	1	PD06DEC17		2088.50	2122.74	USD	-856.00	
06SEP17	S	5	PD06DEC17		2088.00	2122.74	USD	-4,342.50	
06SEP17	S	2	PD06DEC17		2087.50	2122.74	USD	-1,762.00	
06SEP17	S	1	PD06DEC17		2086.00	2122.74	USD	-918.50	
06SEP17	S	4	PD06DEC17		2086.50	2122.74	USD	-3,624.00	
06SEP17	S	1	PD06DEC17		2085.00	2122.74	USD	-943.50	
06SEP17	S	1	PD06DEC17		2085.50	2122.74	USD	-931.00	
06SEP17	S	3	PD06DEC17		2087.00	2122.74	USD	-2,680.50	
06SEP17	S	1	PD06DEC17		2090.00	2122.74	USD	-818.50	
06SEP17	S	6	PD06DEC17		2091.00	2122.74	USD	-4,761.00	
06SEP17	S	3	PD06DEC17		2092.00	2122.74	USD	-2,305.50	
06SEP17	S	2	PD06DEC17		2103.00	2122.74	USD	-987.00	
06SEP17	S	1	PD06DEC17		2110.50	2122.74	USD	-306.00	
06SEP17	S	1	PD06DEC17		2112.50	2122.74	USD	-256.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11SEP17	B	18	PD06DEC17	2120.79	2122.74	USD	877.50	
	NET	0 AVG BGHT 2108.17	SOLD 2090.88				-14,693.00	0.00
07SEP17	S	1	PD07DEC17	2105.00	2123.04	USD	-451.00	
07SEP17	B	1	PD07DEC17	2104.50	2123.04	USD	463.50	
07SEP17	S	4	PD07DEC17	2097.00	2123.04	USD	-2,604.00	
07SEP17	S	2	PD07DEC17	2091.00	2123.04	USD	-1,602.00	
07SEP17	S	4	PD07DEC17	2093.00	2123.04	USD	-3,004.00	
07SEP17	S	11	PD07DEC17	2092.00	2123.04	USD	-8,536.00	
07SEP17	S	1	PD07DEC17	2092.50	2123.04	USD	-763.50	
07SEP17	S	1	PD07DEC17	2111.00	2123.04	USD	-301.00	
07SEP17	S	3	PD07DEC17	2110.50	2123.04	USD	-940.50	
07SEP17	S	2	PD07DEC17	2109.50	2123.04	USD	-677.00	
07SEP17	S	2	PD07DEC17	2109.00	2123.04	USD	-702.00	
07SEP17	S	4	PD07DEC17	2110.00	2123.04	USD	-1,304.00	
07SEP17	S	1	PD07DEC17	2112.00	2123.04	USD	-276.00	
07SEP17	S	1	PD07DEC17	2111.50	2123.04	USD	-288.50	
07SEP17	S	6	PD07DEC17	2112.50	2123.04	USD	-1,581.00	
07SEP17	B	2	PD07DEC17	2108.00	2123.04	USD	752.00	
11SEP17	B	36	PD07DEC17	2121.08	2123.04	USD	1,764.00	
15SEP17	B	4	PD07DEC17	2084.17	2123.04	USD	3,887.00	
	NET	0 AVG BGHT 2116.65	SOLD 2101.62				-16,164.00	0.00
08SEP17	B	6	PD08DEC17	2117.00	2123.35	USD	952.50	
08SEP17	B	4	PD08DEC17	2119.00	2123.35	USD	435.00	
08SEP17	B	16	PD08DEC17	2119.50	2123.35	USD	1,540.00	
08SEP17	S	1	PD08DEC17	2121.50	2123.35	USD	-46.25	
08SEP17	S	1	PD08DEC17	2121.00	2123.35	USD	-58.75	
08SEP17	S	3	PD08DEC17	2114.50	2123.35	USD	-663.75	
08SEP17	S	1	PD08DEC17	2115.50	2123.35	USD	-196.25	
08SEP17	S	1	PD08DEC17	2115.00	2123.35	USD	-208.75	
08SEP17	S	3	PD08DEC17	2109.00	2123.35	USD	-1,076.25	
08SEP17	S	3	PD08DEC17	2111.00	2123.35	USD	-926.25	
08SEP17	S	6	PD08DEC17	2111.50	2123.35	USD	-1,777.50	
08SEP17	S	4	PD08DEC17	2110.00	2123.35	USD	-1,335.00	
08SEP17	S	2	PD08DEC17	2110.50	2123.35	USD	-642.50	
08SEP17	S	6	PD08DEC17	2109.50	2123.35	USD	-2,077.50	
08SEP17	S	1	PD08DEC17	2095.50	2123.35	USD	-696.25	
08SEP17	S	2	PD08DEC17	2095.00	2123.35	USD	-1,417.50	
08SEP17	S	2	PD08DEC17	2097.50	2123.35	USD	-1,292.50	
08SEP17	B	1	PD08DEC17	2093.50	2123.35	USD	746.25	
08SEP17	B	2	PD08DEC17	2096.50	2123.35	USD	1,342.50	
08SEP17	B	1	PD08DEC17	2095.00	2123.35	USD	708.75	
08SEP17	B	1	PD08DEC17	2095.50	2123.35	USD	696.25	
08SEP17	B	1	PD08DEC17	2089.00	2123.35	USD	858.75	
08SEP17	B	1	PD08DEC17	2095.00	2123.35	USD	708.75	
08SEP17	S	1	PD08DEC17	2111.00	2123.35	USD	-308.75	
08SEP17	B	1	PD08DEC17	2096.00	2123.35	USD	683.75	
08SEP17	S	7	PD08DEC17	2101.00	2123.35	USD	-3,911.25	
08SEP17	S	1	PD08DEC17	2102.50	2123.35	USD	-521.25	
08SEP17	S	2	PD08DEC17	2101.50	2123.35	USD	-1,092.50	
08SEP17	S	2	PD08DEC17	2100.00	2123.35	USD	-1,167.50	
08SEP17	S	1	PD08DEC17	2099.00	2123.35	USD	-608.75	
08SEP17	S	3	PD08DEC17	2099.50	2123.35	USD	-1,788.75	
08SEP17	S	5	PD08DEC17	2097.00	2123.35	USD	-3,293.75	
08SEP17	B	1	PD08DEC17	2099.00	2123.35	USD	608.75	
08SEP17	B	4	PD08DEC17	2099.50	2123.35	USD	2,385.00	
08SEP17	B	4	PD08DEC17	2093.00	2123.35	USD	3,035.00	
08SEP17	S	1	PD08DEC17	2096.50	2123.35	USD	-671.25	

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08SEP17	B	5	PD08DEC17	2094.00	2123.35	USD	3,668.75	
08SEP17	S	3	PD08DEC17	2093.00	2123.35	USD	-2,276.25	
08SEP17	B	2	PD08DEC17	2092.00	2123.35	USD	1,567.50	
08SEP17	S	2	PD08DEC17	2091.00	2123.35	USD	-1,617.50	
08SEP17	S	3	PD08DEC17	2096.00	2123.35	USD	-2,051.25	
08SEP17	B	5	PD08DEC17	2096.00	2123.35	USD	3,418.75	
11SEP17	B	10	PD08DEC17	2121.36	2123.35	USD	497.50	
15SEP17	B	2	PD08DEC17	2084.25	2123.35	USD	1,955.00	
	NET	0AVG BGHT 2107.94	SOLD 2104.41				-5,915.00	0.00
11SEP17	B	1	PD11DEC17	2099.50	2124.28	USD	619.50	
11SEP17	B	1	PD11DEC17	2100.00	2124.28	USD	607.00	
11SEP17	B	4	PD11DEC17	2102.00	2124.28	USD	2,228.00	
11SEP17	B	7	PD11DEC17	2102.50	2124.28	USD	3,811.50	
11SEP17	B	6	PD11DEC17	2103.00	2124.28	USD	3,192.00	
11SEP17	B	9	PD11DEC17	2103.50	2124.28	USD	4,675.50	
11SEP17	B	1	PD11DEC17	2106.00	2124.28	USD	457.00	
11SEP17	B	2	PD11DEC17	2109.50	2124.28	USD	739.00	
11SEP17	B	2	PD11DEC17	2110.50	2124.28	USD	689.00	
11SEP17	B	1	PD11DEC17	2111.00	2124.28	USD	332.00	
11SEP17	B	1	PD11DEC17	2111.50	2124.28	USD	319.50	
11SEP17	B	5	PD11DEC17	2112.00	2124.28	USD	1,535.00	
11SEP17	B	1	PD11DEC17	2127.00	2124.28	USD	-68.00	
11SEP17	B	1	PD11DEC17	2126.50	2124.28	USD	-55.50	
11SEP17	B	1	PD11DEC17	2111.00	2124.28	USD	332.00	
11SEP17	B	1	PD11DEC17	2127.50	2124.28	USD	-80.50	
11SEP17	B	1	PD11DEC17	2121.50	2124.28	USD	69.50	
11SEP17	B	1	PD11DEC17	2124.50	2124.28	USD	-5.50	
11SEP17	B	8	PD11DEC17	2126.00	2124.28	USD	-344.00	
11SEP17	B	2	PD11DEC17	2129.00	2124.28	USD	-236.00	
11SEP17	B	1	PD11DEC17	2127.50	2124.28	USD	-80.50	
11SEP17	B	13	PD11DEC17	2128.00	2124.28	USD	-1,209.00	
11SEP17	B	1	PD11DEC17	2124.00	2124.28	USD	7.00	
11SEP17	B	3	PD11DEC17	2125.50	2124.28	USD	-91.50	
11SEP17	B	1	PD11DEC17	2122.50	2124.28	USD	44.50	
11SEP17	B	1	PD11DEC17	2123.00	2124.28	USD	32.00	
11SEP17	B	1	PD11DEC17	2119.50	2124.28	USD	119.50	
11SEP17	B	1	PD11DEC17	2120.00	2124.28	USD	107.00	
11SEP17	B	1	PD11DEC17	2117.00	2124.28	USD	182.00	
11SEP17	B	1	PD11DEC17	2116.00	2124.28	USD	207.00	
11SEP17	B	2	PD11DEC17	2116.50	2124.28	USD	389.00	
11SEP17	B	4	PD11DEC17	2117.50	2124.28	USD	678.00	
11SEP17	B	3	PD11DEC17	2118.00	2124.28	USD	471.00	
11SEP17	B	1	PD11DEC17	2116.00	2124.28	USD	207.00	
15SEP17	S	2	PD11DEC17	2084.80	2124.28	USD	-1,974.00	
15SEP17	S	6	PD11DEC17	2085.00	2124.28	USD	-5,892.00	
19SEP17	S	3	PD11DEC17	2122.71	2124.28	USD	-117.75	
22SEP17	S	79	PD11DEC17	2156.65	2124.28	USD	63,930.75	
	NET	0AVG BGHT 2115.44	SOLD 2149.15				75,827.00	0.00
12SEP17	S	3	PD12DEC17	2118.00	2124.59	USD	-494.25	
12SEP17	S	1	PD12DEC17	2118.50	2124.59	USD	-152.25	
12SEP17	S	3	PD12DEC17	2117.50	2124.59	USD	-531.75	
12SEP17	S	3	PD12DEC17	2117.00	2124.59	USD	-569.25	
12SEP17	S	2	PD12DEC17	2116.50	2124.59	USD	-404.50	
12SEP17	S	3	PD12DEC17	2115.00	2124.59	USD	-719.25	
12SEP17	S	2	PD12DEC17	2114.00	2124.59	USD	-529.50	
12SEP17	S	1	PD12DEC17	2114.50	2124.59	USD	-252.25	
12SEP17	S	1	PD12DEC17	2116.00	2124.59	USD	-214.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
12SEP17	B	2	PD12DEC17	2120.00	2124.59	USD	229.50	
12SEP17	S	1	PD12DEC17	2125.50	2124.59	USD	22.75	
12SEP17	B	1	PD12DEC17	2114.50	2124.59	USD	252.25	
12SEP17	B	2	PD12DEC17	2116.00	2124.59	USD	429.50	
12SEP17	B	2	PD12DEC17	2115.00	2124.59	USD	479.50	
12SEP17	B	2	PD12DEC17	2115.50	2124.59	USD	454.50	
12SEP17	B	1	PD12DEC17	2116.50	2124.59	USD	202.25	
12SEP17	B	1	PD12DEC17	2118.50	2124.59	USD	152.25	
12SEP17	B	1	PD12DEC17	2121.50	2124.59	USD	77.25	
12SEP17	B	1	PD12DEC17	2122.00	2124.59	USD	64.75	
12SEP17	B	1	PD12DEC17	2122.50	2124.59	USD	52.25	
12SEP17	B	4	PD12DEC17	2123.50	2124.59	USD	109.00	
12SEP17	B	7	PD12DEC17	2124.00	2124.59	USD	103.25	
12SEP17	B	1	PD12DEC17	2125.00	2124.59	USD	-10.25	
12SEP17	B	2	PD12DEC17	2124.50	2124.59	USD	4.50	
12SEP17	S	1	PD12DEC17	2125.00	2124.59	USD	10.25	
12SEP17	S	1	PD12DEC17	2131.00	2124.59	USD	160.25	
12SEP17	S	1	PD12DEC17	2130.50	2124.59	USD	147.75	
12SEP17	S	1	PD12DEC17	2133.00	2124.59	USD	210.25	
12SEP17	S	1	PD12DEC17	2134.50	2124.59	USD	247.75	
12SEP17	S	3	PD12DEC17	2129.50	2124.59	USD	368.25	
12SEP17	S	1	PD12DEC17	2128.50	2124.59	USD	97.75	
12SEP17	S	2	PD12DEC17	2131.50	2124.59	USD	345.50	
12SEP17	S	5	PD12DEC17	2129.00	2124.59	USD	551.25	
12SEP17	S	1	PD12DEC17	2130.00	2124.59	USD	135.25	
12SEP17	S	1	PD12DEC17	2129.00	2124.59	USD	110.25	
15SEP17	B	2	PD12DEC17	2085.45	2124.59	USD	1,957.00	
22SEP17	B	8	PD12DEC17	2157.14	2124.59	USD	-6,510.00	
	NET	0 AVG BGHT 2126.65	SOLD 2123.05				-3,413.00	0.00
13SEP17	S	5	PD13DEC17	2140.00	2124.90	USD	1,887.50	
13SEP17	S	1	PD13DEC17	2138.50	2124.90	USD	340.00	
13SEP17	S	2	PD13DEC17	2139.00	2124.90	USD	705.00	
13SEP17	S	1	PD13DEC17	2135.50	2124.90	USD	265.00	
13SEP17	S	2	PD13DEC17	2131.00	2124.90	USD	305.00	
13SEP17	S	4	PD13DEC17	2131.50	2124.90	USD	660.00	
13SEP17	S	1	PD13DEC17	2129.50	2124.90	USD	115.00	
13SEP17	S	6	PD13DEC17	2129.00	2124.90	USD	615.00	
13SEP17	S	4	PD13DEC17	2130.00	2124.90	USD	510.00	
13SEP17	S	1	PD13DEC17	2135.00	2124.90	USD	252.50	
13SEP17	B	1	PD13DEC17	2117.50	2124.90	USD	185.00	
13SEP17	B	3	PD13DEC17	2117.00	2124.90	USD	592.50	
13SEP17	S	1	PD13DEC17	2118.00	2124.90	USD	-172.50	
13SEP17	S	1	PD13DEC17	2117.50	2124.90	USD	-185.00	
13SEP17	B	1	PD13DEC17	2118.50	2124.90	USD	160.00	
13SEP17	S	4	PD13DEC17	2116.00	2124.90	USD	-890.00	
13SEP17	S	7	PD13DEC17	2114.00	2124.90	USD	-1,907.50	
13SEP17	S	1	PD13DEC17	2112.00	2124.90	USD	-322.50	
13SEP17	S	3	PD13DEC17	2108.00	2124.90	USD	-1,267.50	
13SEP17	B	1	PD13DEC17	2112.00	2124.90	USD	322.50	
13SEP17	B	2	PD13DEC17	2113.00	2124.90	USD	595.00	
13SEP17	B	1	PD13DEC17	2114.00	2124.90	USD	272.50	
13SEP17	B	2	PD13DEC17	2118.00	2124.90	USD	345.00	
13SEP17	B	1	PD13DEC17	2120.50	2124.90	USD	110.00	
13SEP17	B	3	PD13DEC17	2121.00	2124.90	USD	292.50	
15SEP17	B	2	PD13DEC17	2085.70	2124.90	USD	1,960.00	
19SEP17	B	1	PD13DEC17	2123.48	2124.90	USD	35.50	
22SEP17	B	26	PD13DEC17	2157.44	2124.90	USD	-21,151.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2139.70	SOLD	2125.73		-15,370.50	0.00
14SEP17	B	1	PD14DEC17		2119.50	2125.21	USD	142.75	
14SEP17	B	5	PD14DEC17		2120.00	2125.21	USD	651.25	
14SEP17	S	1	PD14DEC17		2120.50	2125.21	USD	-117.75	
14SEP17	S	1	PD14DEC17		2113.50	2125.21	USD	-292.75	
14SEP17	B	1	PD14DEC17		2114.00	2125.21	USD	280.25	
14SEP17	S	1	PD14DEC17		2114.50	2125.21	USD	-267.75	
14SEP17	B	1	PD14DEC17		2117.50	2125.21	USD	192.75	
14SEP17	B	1	PD14DEC17		2117.00	2125.21	USD	205.25	
14SEP17	S	2	PD14DEC17		2116.50	2125.21	USD	-435.50	
14SEP17	B	1	PD14DEC17		2094.00	2125.21	USD	780.25	
19SEP17	S	1	PD14DEC17		2123.57	2125.21	USD	-41.00	
22SEP17	S	4	PD14DEC17		2157.53	2125.21	USD	3,232.00	
	NET	0 AVG	BGHT	2116.20	SOLD	2133.52		4,329.75	0.00
15SEP17	S	1	PD15DEC17		2092.00	2125.51	USD	-837.75	
15SEP17	S	1	PD15DEC17		2084.50	2125.51	USD	-1,025.25	
15SEP17	S	1	PD15DEC17		2084.00	2125.51	USD	-1,037.75	
15SEP17	S	2	PD15DEC17		2091.00	2125.51	USD	-1,725.50	
15SEP17	S	3	PD15DEC17		2090.00	2125.51	USD	-2,663.25	
15SEP17	S	1	PD15DEC17		2087.50	2125.51	USD	-950.25	
15SEP17	S	7	PD15DEC17		2090.50	2125.51	USD	-6,126.75	
15SEP17	S	1	PD15DEC17		2088.50	2125.51	USD	-925.25	
15SEP17	S	2	PD15DEC17		2089.00	2125.51	USD	-1,825.50	
15SEP17	S	4	PD15DEC17		2095.50	2125.51	USD	-3,001.00	
15SEP17	S	1	PD15DEC17		2095.00	2125.51	USD	-762.75	
15SEP17	S	1	PD15DEC17		2094.50	2125.51	USD	-775.25	
15SEP17	S	1	PD15DEC17		2078.50	2125.51	USD	-1,175.25	
15SEP17	S	1	PD15DEC17		2078.00	2125.51	USD	-1,187.75	
15SEP17	S	1	PD15DEC17		2082.00	2125.51	USD	-1,087.75	
15SEP17	S	2	PD15DEC17		2081.50	2125.51	USD	-2,200.50	
15SEP17	S	1	PD15DEC17		2083.50	2125.51	USD	-1,050.25	
15SEP17	B	3	PD15DEC17		2088.50	2125.51	USD	2,775.75	
15SEP17	B	1	PD15DEC17		2089.00	2125.51	USD	912.75	
15SEP17	B	1	PD15DEC17		2090.00	2125.51	USD	887.75	
15SEP17	B	1	PD15DEC17		2087.00	2125.51	USD	962.75	
15SEP17	B	2	PD15DEC17		2086.20	2125.51	USD	1,965.50	
19SEP17	B	3	PD15DEC17		2124.05	2125.51	USD	109.50	
22SEP17	B	20	PD15DEC17		2158.02	2125.51	USD	-16,255.00	
	NET	0 AVG	BGHT	2136.66	SOLD	2088.92		-36,998.75	0.00
18SEP17	S	1	PD18DEC17		2100.00	2126.44	USD	-661.00	
18SEP17	B	4	PD18DEC17		2099.00	2126.44	USD	2,744.00	
18SEP17	S	2	PD18DEC17		2096.50	2126.44	USD	-1,497.00	
18SEP17	S	1	PD18DEC17		2098.00	2126.44	USD	-711.00	
18SEP17	B	2	PD18DEC17		2097.00	2126.44	USD	1,472.00	
18SEP17	S	2	PD18DEC17		2093.50	2126.44	USD	-1,647.00	
18SEP17	B	2	PD18DEC17		2091.50	2126.44	USD	1,747.00	
18SEP17	S	1	PD18DEC17		2090.50	2126.44	USD	-898.50	
18SEP17	S	1	PD18DEC17		2092.00	2126.44	USD	-861.00	
18SEP17	S	1	PD18DEC17		2091.00	2126.44	USD	-886.00	
18SEP17	B	2	PD18DEC17		2089.50	2126.44	USD	1,847.00	
18SEP17	B	2	PD18DEC17		2086.50	2126.44	USD	1,997.00	
18SEP17	B	1	PD18DEC17		2087.50	2126.44	USD	973.50	
18SEP17	B	2	PD18DEC17		2088.50	2126.44	USD	1,897.00	
18SEP17	B	2	PD18DEC17		2089.00	2126.44	USD	1,872.00	
18SEP17	B	2	PD18DEC17		2091.00	2126.44	USD	1,772.00	
18SEP17	B	1	PD18DEC17		2092.50	2126.44	USD	848.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18SEP17	B	1	PD18DEC17	2092.00	2126.44	USD	861.00	
18SEP17	B	2	PD18DEC17	2094.50	2126.44	USD	1,597.00	
18SEP17	B	3	PD18DEC17	2095.00	2126.44	USD	2,358.00	
20SEP17	S	2	PD18DEC17	2176.44	2126.44	USD	2,500.00	
22SEP17	S	15	PD18DEC17	2158.71	2126.44	USD	12,101.25	
	NET	0AVG BGHT 2092.62	SOLD 2137.89				29,425.75	0.00
19SEP17	B	4	PD19DEC17	2100.00	2126.75	USD	2,675.00	
19SEP17	B	3	PD19DEC17	2103.50	2126.75	USD	1,743.75	
19SEP17	B	4	PD19DEC17	2104.00	2126.75	USD	2,275.00	
19SEP17	B	1	PD19DEC17	2104.50	2126.75	USD	556.25	
19SEP17	B	1	PD19DEC17	2105.00	2126.75	USD	543.75	
19SEP17	S	1	PD19DEC17	2106.00	2126.75	USD	-518.75	
19SEP17	S	1	PD19DEC17	2108.00	2126.75	USD	-468.75	
19SEP17	S	1	PD19DEC17	2107.00	2126.75	USD	-493.75	
19SEP17	S	5	PD19DEC17	2107.50	2126.75	USD	-2,406.25	
19SEP17	S	3	PD19DEC17	2105.50	2126.75	USD	-1,593.75	
19SEP17	B	2	PD19DEC17	2110.00	2126.75	USD	837.50	
19SEP17	B	1	PD19DEC17	2111.00	2126.75	USD	393.75	
19SEP17	B	1	PD19DEC17	2110.50	2126.75	USD	406.25	
19SEP17	B	1	PD19DEC17	2112.00	2126.75	USD	368.75	
19SEP17	B	1	PD19DEC17	2112.50	2126.75	USD	356.25	
19SEP17	B	4	PD19DEC17	2113.00	2126.75	USD	1,375.00	
19SEP17	B	1	PD19DEC17	2107.00	2126.75	USD	493.75	
19SEP17	B	4	PD19DEC17	2106.00	2126.75	USD	2,075.00	
19SEP17	S	1	PD19DEC17	2103.00	2126.75	USD	-593.75	
19SEP17	S	2	PD19DEC17	2102.50	2126.75	USD	-1,212.50	
19SEP17	S	5	PD19DEC17	2102.00	2126.75	USD	-3,093.75	
19SEP17	B	1	PD19DEC17	2102.50	2126.75	USD	606.25	
19SEP17	S	3	PD19DEC17	2123.50	2126.75	USD	-243.75	
19SEP17	S	12	PD19DEC17	2124.00	2126.75	USD	-825.00	
19SEP17	S	2	PD19DEC17	2125.00	2126.75	USD	-87.50	
19SEP17	S	6	PD19DEC17	2125.50	2126.75	USD	-187.50	
19SEP17	S	3	PD19DEC17	2124.50	2126.75	USD	-168.75	
19SEP17	S	1	PD19DEC17	2122.00	2126.75	USD	-118.75	
19SEP17	S	4	PD19DEC17	2119.00	2126.75	USD	-775.00	
19SEP17	S	1	PD19DEC17	2118.50	2126.75	USD	-206.25	
19SEP17	S	2	PD19DEC17	2118.00	2126.75	USD	-437.50	
19SEP17	S	1	PD19DEC17	2117.50	2126.75	USD	-231.25	
19SEP17	S	4	PD19DEC17	2121.00	2126.75	USD	-575.00	
19SEP17	S	6	PD19DEC17	2120.00	2126.75	USD	-1,012.50	
19SEP17	S	2	PD19DEC17	2120.50	2126.75	USD	-312.50	
19SEP17	S	3	PD19DEC17	2119.50	2126.75	USD	-543.75	
19SEP17	S	2	PD19DEC17	2124.00	2126.75	USD	-137.50	
19SEP17	S	1	PD19DEC17	2123.50	2126.75	USD	-81.25	
19SEP17	B	1	PD19DEC17	2127.00	2126.75	USD	-6.25	
19SEP17	B	1	PD19DEC17	2127.50	2126.75	USD	-18.75	
19SEP17	S	1	PD19DEC17	2126.50	2126.75	USD	-6.25	
20SEP17	B	2	PD19DEC17	2176.92	2126.75	USD	-2,508.50	
22SEP17	B	40	PD19DEC17	2159.20	2126.75	USD	-32,450.00	
	NET	0AVG BGHT 2137.86	SOLD 2117.80				-36,608.50	0.00
20SEP17	B	1	PD20DEC17	2129.50	2126.75	USD	-68.75	
20SEP17	B	3	PD20DEC17	2130.00	2126.75	USD	-243.75	
20SEP17	B	1	PD20DEC17	2131.00	2126.75	USD	-106.25	
20SEP17	B	1	PD20DEC17	2132.00	2126.75	USD	-131.25	
20SEP17	S	2	PD20DEC17	2137.00	2126.75	USD	512.50	
20SEP17	B	3	PD20DEC17	2139.00	2126.75	USD	-918.75	
20SEP17	B	2	PD20DEC17	2143.00	2126.75	USD	-812.50	

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20SEP17	B	1	PD20DEC17	2143.50	2126.75	USD	-418.75	
20SEP17	B	2	PD20DEC17	2146.50	2126.75	USD	-987.50	
20SEP17	B	3	PD20DEC17	2147.50	2126.75	USD	-1,556.25	
20SEP17	B	2	PD20DEC17	2148.50	2126.75	USD	-1,087.50	
20SEP17	B	3	PD20DEC17	2149.00	2126.75	USD	-1,668.75	
20SEP17	B	1	PD20DEC17	2151.00	2126.75	USD	-606.25	
20SEP17	B	1	PD20DEC17	2152.00	2126.75	USD	-631.25	
20SEP17	B	5	PD20DEC17	2152.50	2126.75	USD	-3,218.75	
20SEP17	B	3	PD20DEC17	2153.00	2126.75	USD	-1,968.75	
20SEP17	B	2	PD20DEC17	2158.50	2126.75	USD	-1,587.50	
20SEP17	B	2	PD20DEC17	2157.50	2126.75	USD	-1,537.50	
20SEP17	B	4	PD20DEC17	2156.00	2126.75	USD	-2,925.00	
20SEP17	B	4	PD20DEC17	2158.00	2126.75	USD	-3,125.00	
20SEP17	B	12	PD20DEC17	2160.00	2126.75	USD	-9,975.00	
20SEP17	B	6	PD20DEC17	2161.50	2126.75	USD	-5,212.50	
20SEP17	B	2	PD20DEC17	2164.50	2126.75	USD	-1,887.50	
20SEP17	B	3	PD20DEC17	2164.00	2126.75	USD	-2,793.75	
20SEP17	B	1	PD20DEC17	2162.50	2126.75	USD	-893.75	
20SEP17	B	6	PD20DEC17	2163.00	2126.75	USD	-5,437.50	
20SEP17	B	2	PD20DEC17	2165.00	2126.75	USD	-1,912.50	
20SEP17	B	2	PD20DEC17	2167.50	2126.75	USD	-2,037.50	
20SEP17	B	3	PD20DEC17	2161.00	2126.75	USD	-2,568.75	
20SEP17	B	4	PD20DEC17	2159.50	2126.75	USD	-3,275.00	
20SEP17	B	5	PD20DEC17	2159.00	2126.75	USD	-4,031.25	
20SEP17	S	1	PD20DEC17	2168.00	2126.75	USD	1,031.25	
20SEP17	S	2	PD20DEC17	2174.50	2126.75	USD	2,387.50	
20SEP17	B	1	PD20DEC17	2143.00	2126.75	USD	-406.25	
20SEP17	B	1	PD20DEC17	2153.00	2126.75	USD	-656.25	
20SEP17	B	1	PD20DEC17	2162.00	2126.75	USD	-881.25	
20SEP17	B	1	PD20DEC17	2138.50	2126.75	USD	-293.75	
20SEP17	B	1	PD20DEC17	2185.00	2126.75	USD	-1,456.25	
20SEP17	B	1	PD20DEC17	2186.00	2126.75	USD	-1,481.25	
20SEP17	B	2	PD20DEC17	2185.50	2126.75	USD	-2,937.50	
20SEP17	B	1	PD20DEC17	2186.50	2126.75	USD	-1,493.75	
20SEP17	S	1	PD20DEC17	2184.00	2126.75	USD	1,431.25	
20SEP17	S	4	PD20DEC17	2182.50	2126.75	USD	5,575.00	
20SEP17	S	1	PD20DEC17	2181.00	2126.75	USD	1,356.25	
20SEP17	S	1	PD20DEC17	2178.00	2126.75	USD	1,281.25	
20SEP17	S	1	PD20DEC17	2176.50	2126.75	USD	1,243.75	
20SEP17	S	2	PD20DEC17	2175.50	2126.75	USD	2,437.50	
20SEP17	S	1	PD20DEC17	2165.50	2126.75	USD	968.75	
20SEP17	B	1	PD20DEC17	2168.50	2126.75	USD	-1,043.75	
20SEP17	S	2	PD20DEC17	2163.50	2126.75	USD	1,837.50	
20SEP17	S	26	PD20DEC17	2165.00	2126.75	USD	24,862.50	
20SEP17	S	1	PD20DEC17	2164.50	2126.75	USD	943.75	
20SEP17	S	2	PD20DEC17	2164.00	2126.75	USD	1,862.50	
20SEP17	S	5	PD20DEC17	2163.00	2126.75	USD	4,531.25	
20SEP17	S	2	PD20DEC17	2166.00	2126.75	USD	1,962.50	
20SEP17	B	1	PD20DEC17	2172.00	2126.75	USD	-1,131.25	
20SEP17	S	5	PD20DEC17	2175.00	2126.75	USD	6,031.25	
20SEP17	S	1	PD20DEC17	2164.50	2126.75	USD	943.75	
20SEP17	S	1	PD20DEC17	2173.50	2126.75	USD	1,168.75	
20SEP17	S	3	PD20DEC17	2171.50	2126.75	USD	3,356.25	
20SEP17	S	3	PD20DEC17	2170.50	2126.75	USD	3,281.25	
20SEP17	S	1	PD20DEC17	2171.00	2126.75	USD	1,106.25	
20SEP17	S	1	PD20DEC17	2174.00	2126.75	USD	1,181.25	
20SEP17	S	4	PD20DEC17	2176.00	2126.75	USD	4,925.00	

OPEN POSITION STATEMENT



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SAP0119B

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	S	4	PD20DEC17	2180.50	2126.75	USD	5,375.00	
20SEP17	B	1	PD20DEC17	2181.00	2126.75	USD	-1,356.25	
20SEP17	S	5	PD20DEC17	2180.00	2126.75	USD	6,656.25	
20SEP17	S	5	PD20DEC17	2181.50	2126.75	USD	6,843.75	
20SEP17	B	1	PD20DEC17	2177.50	2126.75	USD	-1,268.75	
20SEP17	B	1	PD20DEC17	2179.00	2126.75	USD	-1,306.25	
20SEP17	S	2	PD20DEC17	2177.50	2126.75	USD	2,537.50	
20SEP17	S	9	PD20DEC17	2177.00	2126.75	USD	11,306.25	
20SEP17	S	3	PD20DEC17	2178.50	2126.75	USD	3,881.25	
20SEP17	S	4	PD20DEC17	2182.00	2126.75	USD	5,525.00	
29SEP17	B	1	PD20DEC17	2099.50	2126.75	USD	681.25	
	NET	0 AVG BGHT 2156.71	SOLD 2171.83				39,687.50	0.00
21SEP17	S	1	PD21DEC17	2178.50	2126.75	USD	1,293.75	
21SEP17	S	1	PD21DEC17	2177.50	2126.75	USD	1,268.75	
21SEP17	S	1	PD21DEC17	2175.00	2126.75	USD	1,206.25	
21SEP17	S	1	PD21DEC17	2172.00	2126.75	USD	1,131.25	
21SEP17	S	1	PD21DEC17	2171.50	2126.75	USD	1,118.75	
21SEP17	S	3	PD21DEC17	2169.00	2126.75	USD	3,168.75	
21SEP17	S	1	PD21DEC17	2169.50	2126.75	USD	1,068.75	
21SEP17	S	1	PD21DEC17	2176.50	2126.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2174.00	2126.75	USD	-1,181.25	
21SEP17	S	1	PD21DEC17	2183.50	2126.75	USD	1,418.75	
21SEP17	B	1	PD21DEC17	2185.50	2126.75	USD	-1,468.75	
21SEP17	B	4	PD21DEC17	2193.50	2126.75	USD	-6,675.00	
21SEP17	B	1	PD21DEC17	2189.50	2126.75	USD	-1,568.75	
21SEP17	S	1	PD21DEC17	2187.00	2126.75	USD	1,506.25	
21SEP17	S	1	PD21DEC17	2186.00	2126.75	USD	1,481.25	
21SEP17	S	2	PD21DEC17	2186.50	2126.75	USD	2,987.50	
21SEP17	S	1	PD21DEC17	2185.50	2126.75	USD	1,468.75	
21SEP17	S	1	PD21DEC17	2185.00	2126.75	USD	1,456.25	
21SEP17	B	1	PD21DEC17	2184.50	2126.75	USD	-1,443.75	
21SEP17	B	2	PD21DEC17	2177.00	2126.75	USD	-2,512.50	
21SEP17	B	2	PD21DEC17	2180.00	2126.75	USD	-2,662.50	
21SEP17	B	3	PD21DEC17	2179.50	2126.75	USD	-3,956.25	
21SEP17	B	1	PD21DEC17	2178.00	2126.75	USD	-1,281.25	
21SEP17	B	1	PD21DEC17	2178.50	2126.75	USD	-1,293.75	
21SEP17	B	1	PD21DEC17	2181.50	2126.75	USD	-1,368.75	
21SEP17	B	1	PD21DEC17	2182.00	2126.75	USD	-1,381.25	
21SEP17	B	4	PD21DEC17	2182.50	2126.75	USD	-5,575.00	
21SEP17	B	1	PD21DEC17	2197.00	2126.75	USD	-1,756.25	
21SEP17	B	1	PD21DEC17	2175.50	2126.75	USD	-1,218.75	
21SEP17	B	2	PD21DEC17	2172.00	2126.75	USD	-2,262.50	
21SEP17	B	2	PD21DEC17	2172.50	2126.75	USD	-2,287.50	
21SEP17	S	2	PD21DEC17	2167.00	2126.75	USD	2,012.50	
21SEP17	S	4	PD21DEC17	2166.50	2126.75	USD	3,975.00	
21SEP17	S	1	PD21DEC17	2170.50	2126.75	USD	1,093.75	
21SEP17	S	11	PD21DEC17	2166.00	2126.75	USD	10,793.75	
21SEP17	S	1	PD21DEC17	2165.50	2126.75	USD	968.75	
21SEP17	S	2	PD21DEC17	2165.00	2126.75	USD	1,912.50	
21SEP17	S	1	PD21DEC17	2163.50	2126.75	USD	918.75	
21SEP17	S	1	PD21DEC17	2163.00	2126.75	USD	906.25	
21SEP17	S	2	PD21DEC17	2161.00	2126.75	USD	1,712.50	
21SEP17	S	4	PD21DEC17	2162.00	2126.75	USD	3,525.00	
21SEP17	S	4	PD21DEC17	2161.50	2126.75	USD	3,475.00	
21SEP17	S	2	PD21DEC17	2160.00	2126.75	USD	1,662.50	
21SEP17	S	3	PD21DEC17	2148.00	2126.75	USD	1,593.75	
21SEP17	S	4	PD21DEC17	2146.00	2126.75	USD	1,925.00	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2145.00	2126.75	USD	456.25	
21SEP17	S	2	PD21DEC17	2146.50	2126.75	USD	987.50	
21SEP17	S	1	PD21DEC17	2144.50	2126.75	USD	443.75	
21SEP17	B	1	PD21DEC17	2148.50	2126.75	USD	-543.75	
21SEP17	B	1	PD21DEC17	2144.50	2126.75	USD	-443.75	
21SEP17	B	1	PD21DEC17	2144.00	2126.75	USD	-431.25	
21SEP17	B	3	PD21DEC17	2142.50	2126.75	USD	-1,181.25	
21SEP17	B	1	PD21DEC17	2142.00	2126.75	USD	-381.25	
21SEP17	B	1	PD21DEC17	2150.50	2126.75	USD	-593.75	
21SEP17	B	1	PD21DEC17	2147.50	2126.75	USD	-518.75	
21SEP17	B	2	PD21DEC17	2149.00	2126.75	USD	-1,112.50	
21SEP17	B	2	PD21DEC17	2145.00	2126.75	USD	-912.50	
21SEP17	S	1	PD21DEC17	2148.50	2126.75	USD	543.75	
22SEP17	B	8	PD21DEC17	2157.95	2126.75	USD	-6,240.00	
29SEP17	B	14	PD21DEC17	2099.78	2126.75	USD	9,439.50	
	NET	0AVG BGHT 2153.51	SOLD 2164.70				17,912.00	0.00
22SEP17	B	1	PD22DEC17	2152.00	2126.75	USD	-631.25	
22SEP17	B	1	PD22DEC17	2150.50	2126.75	USD	-593.75	
22SEP17	S	1	PD22DEC17	2141.00	2126.75	USD	356.25	
22SEP17	B	1	PD22DEC17	2140.50	2126.75	USD	-343.75	
22SEP17	S	1	PD22DEC17	2134.50	2126.75	USD	193.75	
22SEP17	S	1	PD22DEC17	2133.50	2126.75	USD	168.75	
22SEP17	S	2	PD22DEC17	2130.50	2126.75	USD	187.50	
22SEP17	S	1	PD22DEC17	2123.00	2126.75	USD	-93.75	
22SEP17	S	1	PD22DEC17	2122.00	2126.75	USD	-118.75	
22SEP17	B	1	PD22DEC17	2120.00	2126.75	USD	168.75	
22SEP17	B	1	PD22DEC17	2118.50	2126.75	USD	206.25	
22SEP17	B	3	PD22DEC17	2119.50	2126.75	USD	543.75	
22SEP17	B	2	PD22DEC17	2121.50	2126.75	USD	262.50	
22SEP17	S	1	PD22DEC17	2125.50	2126.75	USD	-31.25	
22SEP17	B	1	PD22DEC17	2152.50	2126.75	USD	-643.75	
22SEP17	S	2	PD22DEC17	2152.00	2126.75	USD	1,262.50	
22SEP17	S	4	PD22DEC17	2153.50	2126.75	USD	2,675.00	
22SEP17	S	1	PD22DEC17	2154.50	2126.75	USD	693.75	
22SEP17	S	1	PD22DEC17	2158.00	2126.75	USD	781.25	
22SEP17	S	3	PD22DEC17	2159.00	2126.75	USD	2,418.75	
22SEP17	S	1	PD22DEC17	2161.00	2126.75	USD	856.25	
22SEP17	B	1	PD22DEC17	2162.00	2126.75	USD	-881.25	
22SEP17	B	1	PD22DEC17	2159.50	2126.75	USD	-818.75	
22SEP17	B	1	PD22DEC17	2158.50	2126.75	USD	-793.75	
22SEP17	S	1	PD22DEC17	2142.00	2126.75	USD	381.25	
22SEP17	S	1	PD22DEC17	2148.00	2126.75	USD	531.25	
22SEP17	S	2	PD22DEC17	2164.50	2126.75	USD	1,887.50	
22SEP17	S	1	PD22DEC17	2165.50	2126.75	USD	968.75	
22SEP17	S	1	PD22DEC17	2166.00	2126.75	USD	981.25	
22SEP17	S	2	PD22DEC17	2168.00	2126.75	USD	2,062.50	
22SEP17	S	1	PD22DEC17	2154.00	2126.75	USD	681.25	
22SEP17	B	1	PD22DEC17	2153.00	2126.75	USD	-656.25	
22SEP17	B	2	PD22DEC17	2149.00	2126.75	USD	-1,112.50	
22SEP17	B	1	PD22DEC17	2150.00	2126.75	USD	-581.25	
22SEP17	S	5	PD22DEC17	2156.00	2126.75	USD	3,656.25	
22SEP17	B	3	PD22DEC17	2159.00	2126.75	USD	-2,418.75	
29SEP17	B	13	PD22DEC17	2100.06	2126.75	USD	8,674.25	
	NET	0AVG BGHT 2126.30	SOLD 2150.87				20,880.50	0.00
25SEP17	S	1	PD27DEC17	2147.50	2127.29	USD	505.25	
25SEP17	B	1	PD27DEC17	2173.50	2127.29	USD	-1,155.25	
25SEP17	S	1	PD27DEC17	2154.00	2127.29	USD	667.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	S	1	PD27DEC17	2167.00	2127.29	USD	992.75	
25SEP17	B	2	PD27DEC17	2170.00	2127.29	USD	-2,135.50	
25SEP17	B	1	PD27DEC17	2170.50	2127.29	USD	-1,080.25	
25SEP17	S	3	PD27DEC17	2149.50	2127.29	USD	1,665.75	
25SEP17	B	1	PD27DEC17	2149.50	2127.29	USD	-555.25	
25SEP17	S	1	PD27DEC17	2146.00	2127.29	USD	467.75	
25SEP17	S	1	PD27DEC17	2146.50	2127.29	USD	480.25	
25SEP17	S	1	PD27DEC17	2152.00	2127.29	USD	617.75	
25SEP17	S	2	PD27DEC17	2166.00	2127.29	USD	1,935.50	
25SEP17	S	2	PD27DEC17	2165.00	2127.29	USD	1,885.50	
25SEP17	B	1	PD27DEC17	2143.50	2127.29	USD	-405.25	
25SEP17	B	3	PD27DEC17	2143.00	2127.29	USD	-1,178.25	
25SEP17	B	3	PD27DEC17	2137.50	2127.29	USD	-765.75	
25SEP17	S	5	PD27DEC17	2144.00	2127.29	USD	2,088.75	
25SEP17	B	3	PD27DEC17	2152.50	2127.29	USD	-1,890.75	
25SEP17	B	3	PD27DEC17	2151.00	2127.29	USD	-1,778.25	
25SEP17	B	2	PD27DEC17	2145.50	2127.29	USD	-910.50	
25SEP17	B	4	PD27DEC17	2144.00	2127.29	USD	-1,671.00	
25SEP17	B	1	PD27DEC17	2138.00	2127.29	USD	-267.75	
25SEP17	B	2	PD27DEC17	2152.00	2127.29	USD	-1,235.50	
25SEP17	B	1	PD27DEC17	2153.00	2127.29	USD	-642.75	
25SEP17	B	4	PD27DEC17	2151.50	2127.29	USD	-2,421.00	
25SEP17	B	1	PD27DEC17	2150.00	2127.29	USD	-567.75	
26SEP17	S	1	PD27DEC17	2155.00	2127.29	USD	692.75	
26SEP17	S	3	PD27DEC17	2153.50	2127.29	USD	1,965.75	
26SEP17	S	2	PD27DEC17	2154.00	2127.29	USD	1,335.50	
26SEP17	B	1	PD27DEC17	2146.50	2127.29	USD	-480.25	
26SEP17	S	1	PD27DEC17	2128.50	2127.29	USD	30.25	
26SEP17	S	1	PD27DEC17	2129.00	2127.29	USD	42.75	
26SEP17	S	1	PD27DEC17	2131.00	2127.29	USD	92.75	
26SEP17	B	1	PD27DEC17	2134.00	2127.29	USD	-167.75	
26SEP17	B	1	PD27DEC17	2132.50	2127.29	USD	-130.25	
26SEP17	B	1	PD27DEC17	2127.50	2127.29	USD	-5.25	
26SEP17	B	2	PD27DEC17	2127.00	2127.29	USD	14.50	
26SEP17	B	6	PD27DEC17	2125.00	2127.29	USD	343.50	
27SEP17	B	1	PD27DEC17	2132.00	2127.29	USD	-117.75	
27SEP17	S	1	PD27DEC17	2131.00	2127.29	USD	92.75	
27SEP17	S	1	PD27DEC17	2132.00	2127.29	USD	117.75	
27SEP17	B	3	PD27DEC17	2131.00	2127.29	USD	-278.25	
27SEP17	B	1	PD27DEC17	2131.50	2127.29	USD	-105.25	
27SEP17	S	1	PD27DEC17	2141.00	2127.29	USD	342.75	
27SEP17	S	1	PD27DEC17	2139.00	2127.29	USD	292.75	
27SEP17	S	1	PD27DEC17	2139.50	2127.29	USD	305.25	
27SEP17	S	4	PD27DEC17	2140.50	2127.29	USD	1,321.00	
27SEP17	S	1	PD27DEC17	2138.00	2127.29	USD	267.75	
27SEP17	S	1	PD27DEC17	2137.50	2127.29	USD	255.25	
27SEP17	S	1	PD27DEC17	2134.00	2127.29	USD	167.75	
27SEP17	S	1	PD27DEC17	2132.50	2127.29	USD	130.25	
27SEP17	S	1	PD27DEC17	2140.50	2127.29	USD	330.25	
27SEP17	S	1	PD27DEC17	2131.50	2127.29	USD	105.25	
27SEP17	S	1	PD27DEC17	2128.50	2127.29	USD	30.25	
27SEP17	S	1	PD27DEC17	2127.50	2127.29	USD	5.25	
27SEP17	S	2	PD27DEC17	2127.00	2127.29	USD	-14.50	
27SEP17	S	1	PD27DEC17	2126.50	2127.29	USD	-19.75	
27SEP17	B	2	PD27DEC17	2130.50	2127.29	USD	-160.50	
27SEP17	B	1	PD27DEC17	2127.50	2127.29	USD	-5.25	
27SEP17	B	1	PD27DEC17	2126.50	2127.29	USD	19.75	

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27SEP17	B	4	PD27DEC17	2126.00	2127.29	USD	129.00	
27SEP17	B	1	PD27DEC17	2129.00	2127.29	USD	-42.75	
27SEP17	B	4	PD27DEC17	2128.00	2127.29	USD	-71.00	
29SEP17	S	16	PD27DEC17	2101.24	2127.29	USD	-10,420.00	
	NET	0AVG BGHT 2139.81	SOLD 2132.86				-10,941.50	0.00
28SEP17	S	1	PD28DEC17	2135.00	2127.39	USD	190.25	
28SEP17	S	1	PD28DEC17	2128.50	2127.39	USD	27.75	
28SEP17	B	1	PD28DEC17	2137.50	2127.39	USD	-252.75	
28SEP17	S	3	PD28DEC17	2133.00	2127.39	USD	420.75	
28SEP17	B	3	PD28DEC17	2128.00	2127.39	USD	-45.75	
28SEP17	B	1	PD28DEC17	2129.00	2127.39	USD	-40.25	
28SEP17	S	1	PD28DEC17	2121.00	2127.39	USD	-159.75	
28SEP17	S	1	PD28DEC17	2120.50	2127.39	USD	-172.25	
28SEP17	S	2	PD28DEC17	2120.00	2127.39	USD	-369.50	
28SEP17	S	1	PD28DEC17	2119.00	2127.39	USD	-209.75	
28SEP17	S	1	PD28DEC17	2118.50	2127.39	USD	-222.25	
28SEP17	S	2	PD28DEC17	2130.00	2127.39	USD	130.50	
28SEP17	S	1	PD28DEC17	2132.50	2127.39	USD	127.75	
28SEP17	S	2	PD28DEC17	2129.50	2127.39	USD	105.50	
28SEP17	S	2	PD28DEC17	2129.00	2127.39	USD	80.50	
28SEP17	B	1	PD28DEC17	2131.00	2127.39	USD	-90.25	
28SEP17	B	1	PD28DEC17	2136.00	2127.39	USD	-215.25	
28SEP17	B	1	PD28DEC17	2135.50	2127.39	USD	-202.75	
28SEP17	B	6	PD28DEC17	2136.50	2127.39	USD	-1,366.50	
29SEP17	B	4	PD28DEC17	2101.72	2127.39	USD	2,567.00	
	NET	0AVG BGHT 2126.60	SOLD 2127.28				303.00	0.00
29SEP17	B	2	PD29DEC17	2135.50	2127.50	USD	-400.00	
29SEP17	B	2	PD29DEC17	2136.50	2127.50	USD	-450.00	
29SEP17	B	3	PD29DEC17	2137.00	2127.50	USD	-712.50	
29SEP17	B	2	PD29DEC17	2134.00	2127.50	USD	-325.00	
29SEP17	B	1	PD29DEC17	2135.00	2127.50	USD	-187.50	
29SEP17	B	5	PD29DEC17	2136.00	2127.50	USD	-1,062.50	
29SEP17	B	1	PD29DEC17	2134.50	2127.50	USD	-175.00	
29SEP17	S	16	PD29DEC17	2101.80	2127.50	USD	-10,280.00	
	NET	0AVG BGHT 2135.78	SOLD 2101.80				-13,592.50	0.00
09OCT17	B	1	PD09JAN18	2146.00	2129.62	USD	-409.50	
09OCT17	B	3	PD09JAN18	2145.00	2129.62	USD	-1,153.50	
09OCT17	S	4	PD09JAN18	2145.50	2129.62	USD	1,588.00	
09OCT17	B	1	PD09JAN18	2167.00	2129.62	USD	-934.50	
09OCT17	B	1	PD09JAN18	2168.50	2129.62	USD	-972.00	
09OCT17	B	1	PD09JAN18	2166.00	2129.62	USD	-909.50	
	NET	+3AVG BGHT 2154.64	SOLD 2145.50				-2,791.00	0.00
10OCT17	B	1	PD10JAN18	2164.50	2129.81	USD	-867.25	
10OCT17	B	2	PD10JAN18	2157.00	2129.81	USD	-1,359.50	
10OCT17	S	1	PD10JAN18	2158.50	2129.81	USD	717.25	
10OCT17	B	1	PD10JAN18	2166.50	2129.81	USD	-917.25	
10OCT17	S	1	PD10JAN18	2166.50	2129.81	USD	917.25	
10OCT17	S	1	PD10JAN18	2165.00	2129.81	USD	879.75	
10OCT17	S	1	PD10JAN18	2167.00	2129.81	USD	929.75	
10OCT17	S	1	PD10JAN18	2160.00	2129.81	USD	754.75	
10OCT17	S	1	PD10JAN18	2164.50	2129.81	USD	867.25	
10OCT17	B	1	PD10JAN18	2160.00	2129.81	USD	-754.75	
10OCT17	S	4	PD10JAN18	2162.00	2129.81	USD	3,219.00	
10OCT17	S	1	PD10JAN18	2160.50	2129.81	USD	767.25	
10OCT17	S	1	PD10JAN18	2163.50	2129.81	USD	842.25	
10OCT17	B	2	PD10JAN18	2154.00	2129.81	USD	-1,209.50	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
10OCT17	B 1 PD10JAN18	2155.00	2129.81	USD	-629.75	
10OCT17	B 1 PD10JAN18	2155.50	2129.81	USD	-642.25	
	NET -3AVG BGHT 2158.17 SOLD 2162.79				3,514.25	0.00
11OCT17	S 1 PD11JAN18	2155.00	2130.00	USD	625.00	
11OCT17	S 1 PD11JAN18	2153.00	2130.00	USD	575.00	
11OCT17	S 2 PD11JAN18	2155.50	2130.00	USD	1,275.00	
11OCT17	S 1 PD11JAN18	2156.50	2130.00	USD	662.50	
11OCT17	S 1 PD11JAN18	2154.50	2130.00	USD	612.50	
11OCT17	S 2 PD11JAN18	2151.00	2130.00	USD	1,050.00	
11OCT17	S 2 PD11JAN18	2158.50	2130.00	USD	1,425.00	
11OCT17	S 1 PD11JAN18	2157.00	2130.00	USD	675.00	
11OCT17	B 1 PD11JAN18	2155.00	2130.00	USD	-625.00	
11OCT17	B 2 PD11JAN18	2149.50	2130.00	USD	-975.00	
11OCT17	B 1 PD11JAN18	2156.50	2130.00	USD	-662.50	
11OCT17	B 1 PD11JAN18	2150.00	2130.00	USD	-500.00	
11OCT17	B 2 PD11JAN18	2148.50	2130.00	USD	-925.00	
11OCT17	B 1 PD11JAN18	2148.00	2130.00	USD	-450.00	
11OCT17	B 1 PD11JAN18	2145.50	2130.00	USD	-387.50	
11OCT17	B 1 PD11JAN18	2146.50	2130.00	USD	-412.50	
11OCT17	B 1 PD11JAN18	2132.00	2130.00	USD	-50.00	
11OCT17	B 1 PD11JAN18	2131.50	2130.00	USD	-37.50	
11OCT17	B 1 PD11JAN18	2130.50	2130.00	USD	-12.50	
11OCT17	S 1 PD11JAN18	2130.50	2130.00	USD	12.50	
11OCT17	S 1 PD11JAN18	2131.00	2130.00	USD	25.00	
	NET 0AVG BGHT 2145.50 SOLD 2151.35				1,900.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
10OCT17	S 2 DEC17	50.91	51.60	USD	-1,380.00	
10OCT17	S 1 DEC17	50.95	51.60	USD	-650.00	
10OCT17	S 1 DEC17	50.99	51.60	USD	-610.00	
10OCT17	S 2 DEC17	51.17	51.60	USD	-860.00	
10OCT17	S 3 DEC17	51.16	51.60	USD	-1,320.00	
10OCT17	S 1 DEC17	51.19	51.60	USD	-410.00	
10OCT17	S 2 DEC17	51.14	51.60	USD	-920.00	
10OCT17	S 1 DEC17	51.12	51.60	USD	-480.00	
10OCT17	S 1 DEC17	51.18	51.60	USD	-420.00	
10OCT17	S 2 DEC17	51.26	51.60	USD	-680.00	
10OCT17	S 2 DEC17	51.25	51.60	USD	-700.00	
10OCT17	S 1 DEC17	51.29	51.60	USD	-310.00	
10OCT17	S 1 DEC17	51.32	51.60	USD	-280.00	
	NET -20AVG SOLD 51.15				-9,020.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04AUG17	B 1 PD03NOV17	6355.00	6771.03	USD	10,400.75	
04AUG17	B 1 PD03NOV17	6355.50	6771.03	USD	10,388.25	
04AUG17	S 1 PD03NOV17	6364.50	6771.03	USD	-10,163.25	
04AUG17	S 1 PD03NOV17	6367.50	6771.03	USD	-10,088.25	
	NET 0AVG BGHT 6355.25 SOLD 6366.00				537.50	0.00
07AUG17	B 1 PD07NOV17	6428.00	6774.73	USD	8,668.25	
07AUG17	S 1 PD07NOV17	6362.50	6774.73	USD	-10,305.75	
07AUG17	S 1 PD07NOV17	6360.50	6774.73	USD	-10,355.75	
07AUG17	S 1 PD07NOV17	6349.50	6774.73	USD	-10,630.75	
07AUG17	S 1 PD07NOV17	6346.50	6774.73	USD	-10,705.75	
07AUG17	S 1 PD07NOV17	6347.50	6774.73	USD	-10,680.75	
07AUG17	S 1 PD07NOV17	6357.50	6774.73	USD	-10,430.75	
07AUG17	S 1 PD07NOV17	6353.00	6774.73	USD	-10,543.25	
07AUG17	B 1 PD07NOV17	6409.50	6774.73	USD	9,130.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	2	PD07NOV17	6418.00	6774.73	USD	17,836.50	
07AUG17	B	1	PD07NOV17	6419.50	6774.73	USD	8,880.75	
07AUG17	B	1	PD07NOV17	6418.50	6774.73	USD	8,905.75	
07AUG17	S	2	PD07NOV17	6424.50	6774.73	USD	-17,511.50	
07AUG17	B	1	PD07NOV17	6439.00	6774.73	USD	8,393.25	
07AUG17	B	1	PD07NOV17	6440.50	6774.73	USD	8,355.75	
07AUG17	B	1	PD07NOV17	6439.50	6774.73	USD	8,380.75	
07AUG17	B	1	PD07NOV17	6438.50	6774.73	USD	8,405.75	
07AUG17	B	1	PD07NOV17	6425.00	6774.73	USD	8,743.25	
07AUG17	S	1	PD07NOV17	6420.00	6774.73	USD	-8,868.25	
07AUG17	S	1	PD07NOV17	6421.00	6774.73	USD	-8,843.25	
07AUG17	S	1	PD07NOV17	6395.50	6774.73	USD	-9,480.75	
07AUG17	S	1	PD07NOV17	6397.00	6774.73	USD	-9,443.25	
07AUG17	S	1	PD07NOV17	6404.50	6774.73	USD	-9,255.75	
14AUG17	S	1	PD07NOV17	6394.51	6774.73	USD	-9,505.50	
18AUG17	B	2	PD07NOV17	6485.21	6774.73	USD	14,476.00	
30AUG17	B	2	PD07NOV17	6763.72	6774.73	USD	550.50	
	NET	0 AVG BGHT 6479.46	SOLD 6383.90				-35,833.75	0.00
08AUG17	S	2	PD08NOV17	6434.50	6775.65	USD	-17,057.50	
08AUG17	S	1	PD08NOV17	6427.00	6775.65	USD	-8,716.25	
08AUG17	B	1	PD08NOV17	6432.00	6775.65	USD	8,591.25	
08AUG17	B	1	PD08NOV17	6409.00	6775.65	USD	9,166.25	
08AUG17	B	1	PD08NOV17	6397.00	6775.65	USD	9,466.25	
08AUG17	S	1	PD08NOV17	6397.50	6775.65	USD	-9,453.75	
08AUG17	S	1	PD08NOV17	6387.00	6775.65	USD	-9,716.25	
08AUG17	S	1	PD08NOV17	6381.00	6775.65	USD	-9,866.25	
08AUG17	S	1	PD08NOV17	6394.00	6775.65	USD	-9,541.25	
08AUG17	B	1	PD08NOV17	6393.00	6775.65	USD	9,566.25	
08AUG17	S	1	PD08NOV17	6399.00	6775.65	USD	-9,416.25	
08AUG17	B	1	PD08NOV17	6429.00	6775.65	USD	8,666.25	
08AUG17	B	2	PD08NOV17	6435.50	6775.65	USD	17,007.50	
08AUG17	B	2	PD08NOV17	6437.50	6775.65	USD	16,907.50	
08AUG17	B	1	PD08NOV17	6440.50	6775.65	USD	8,378.75	
08AUG17	B	1	PD08NOV17	6442.00	6775.65	USD	8,341.25	
08AUG17	B	1	PD08NOV17	6437.00	6775.65	USD	8,466.25	
14AUG17	S	5	PD08NOV17	6394.87	6775.65	USD	-47,597.50	
18AUG17	B	3	PD08NOV17	6485.75	6775.65	USD	21,742.50	
22AUG17	S	2	PD08NOV17	6578.25	6775.65	USD	-9,870.00	
	NET	0 AVG BGHT 6438.85	SOLD 6425.69				-4,935.00	0.00
09AUG17	S	1	PD09NOV17	6435.50	6776.58	USD	-8,527.00	
09AUG17	S	1	PD09NOV17	6457.50	6776.58	USD	-7,977.00	
09AUG17	S	1	PD09NOV17	6458.00	6776.58	USD	-7,964.00	
09AUG17	S	2	PD09NOV17	6455.50	6776.58	USD	-16,054.00	
14AUG17	B	4	PD09NOV17	6395.42	6776.58	USD	38,116.00	
18AUG17	B	1	PD09NOV17	6486.25	6776.58	USD	7,258.25	
	NET	0 AVG BGHT 6413.59	SOLD 6452.40				4,851.75	0.00
10AUG17	B	1	PD10NOV17	6463.00	6777.50	USD	7,862.50	
10AUG17	S	1	PD10NOV17	6449.00	6777.50	USD	-8,212.50	
10AUG17	B	1	PD10NOV17	6446.50	6777.50	USD	8,275.00	
10AUG17	B	1	PD10NOV17	6442.50	6777.50	USD	8,375.00	
10AUG17	B	1	PD10NOV17	6441.50	6777.50	USD	8,400.00	
10AUG17	B	1	PD10NOV17	6426.00	6777.50	USD	8,787.50	
10AUG17	B	1	PD10NOV17	6422.50	6777.50	USD	8,875.00	
10AUG17	B	1	PD10NOV17	6431.50	6777.50	USD	8,650.00	
10AUG17	B	1	PD10NOV17	6429.50	6777.50	USD	8,700.00	
10AUG17	B	1	PD10NOV17	6419.50	6777.50	USD	8,950.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	5	PD10NOV17	6405.00	6777.50	USD	-46,562.50	
10AUG17	S	1	PD10NOV17	6401.50	6777.50	USD	-9,400.00	
10AUG17	S	1	PD10NOV17	6398.50	6777.50	USD	-9,475.00	
10AUG17	S	1	PD10NOV17	6388.00	6777.50	USD	-9,737.50	
10AUG17	S	1	PD10NOV17	6389.50	6777.50	USD	-9,700.00	
10AUG17	S	1	PD10NOV17	6414.00	6777.50	USD	-9,087.50	
10AUG17	B	1	PD10NOV17	6420.50	6777.50	USD	8,925.00	
10AUG17	B	2	PD10NOV17	6421.50	6777.50	USD	17,800.00	
10AUG17	B	1	PD10NOV17	6418.00	6777.50	USD	8,987.50	
10AUG17	B	1	PD10NOV17	6413.00	6777.50	USD	9,112.50	
10AUG17	B	4	PD10NOV17	6417.00	6777.50	USD	36,050.00	
10AUG17	B	2	PD10NOV17	6412.00	6777.50	USD	18,275.00	
11AUG17	S	4	PD10NOV17	6365.50	6777.50	USD	-41,200.00	
11AUG17	S	1	PD10NOV17	6367.00	6777.50	USD	-10,262.50	
11AUG17	S	2	PD10NOV17	6364.50	6777.50	USD	-20,650.00	
11AUG17	B	1	PD10NOV17	6364.00	6777.50	USD	10,337.50	
11AUG17	S	2	PD10NOV17	6362.50	6777.50	USD	-20,750.00	
11AUG17	S	1	PD10NOV17	6361.50	6777.50	USD	-10,400.00	
11AUG17	S	2	PD10NOV17	6353.50	6777.50	USD	-21,200.00	
11AUG17	S	1	PD10NOV17	6342.00	6777.50	USD	-10,887.50	
11AUG17	S	1	PD10NOV17	6349.50	6777.50	USD	-10,700.00	
11AUG17	S	1	PD10NOV17	6350.50	6777.50	USD	-10,675.00	
11AUG17	S	1	PD10NOV17	6346.00	6777.50	USD	-10,787.50	
11AUG17	S	4	PD10NOV17	6350.00	6777.50	USD	-42,750.00	
11AUG17	S	1	PD10NOV17	6367.50	6777.50	USD	-10,250.00	
11AUG17	B	1	PD10NOV17	6365.00	6777.50	USD	10,312.50	
11AUG17	B	2	PD10NOV17	6364.00	6777.50	USD	20,675.00	
11AUG17	S	2	PD10NOV17	6399.50	6777.50	USD	-18,900.00	
11AUG17	B	1	PD10NOV17	6397.00	6777.50	USD	9,512.50	
11AUG17	S	1	PD10NOV17	6417.50	6777.50	USD	-9,000.00	
11AUG17	B	1	PD10NOV17	6419.50	6777.50	USD	8,950.00	
11AUG17	B	1	PD10NOV17	6414.00	6777.50	USD	9,087.50	
11AUG17	S	1	PD10NOV17	6412.50	6777.50	USD	-9,125.00	
11AUG17	S	3	PD10NOV17	6415.00	6777.50	USD	-27,187.50	
11AUG17	S	1	PD10NOV17	6429.50	6777.50	USD	-8,700.00	
11AUG17	S	2	PD10NOV17	6424.50	6777.50	USD	-17,650.00	
11AUG17	S	1	PD10NOV17	6401.00	6777.50	USD	-9,412.50	
14AUG17	B	2	PD10NOV17	6395.78	6777.50	USD	19,086.00	
18AUG17	B	6	PD10NOV17	6486.75	6777.50	USD	43,612.50	
22AUG17	B	2	PD10NOV17	6579.45	6777.50	USD	9,902.50	
22AUG17	B	6	PD10NOV17	6579.25	6777.50	USD	29,737.50	
	NET	0AVG BGHT 6454.49	SOLD 6384.33				-75,424.00	0.00
14AUG17	S	1	PD14NOV17	6397.00	6776.83	USD	-9,495.75	
14AUG17	S	1	PD14NOV17	6400.00	6776.83	USD	-9,420.75	
14AUG17	S	1	PD14NOV17	6401.50	6776.83	USD	-9,383.25	
14AUG17	S	1	PD14NOV17	6402.50	6776.83	USD	-9,358.25	
14AUG17	S	1	PD14NOV17	6405.00	6776.83	USD	-9,295.75	
14AUG17	S	1	PD14NOV17	6406.50	6776.83	USD	-9,258.25	
18AUG17	B	6	PD14NOV17	6488.00	6776.83	USD	43,324.50	
	NET	0AVG BGHT 6488.00	SOLD 6402.08				-12,887.50	0.00
15AUG17	S	2	PD15NOV17	6395.50	6776.50	USD	-19,050.00	
15AUG17	B	1	PD15NOV17	6409.00	6776.50	USD	9,187.50	
15AUG17	B	1	PD15NOV17	6413.50	6776.50	USD	9,075.00	
15AUG17	B	2	PD15NOV17	6418.00	6776.50	USD	17,925.00	
15AUG17	B	1	PD15NOV17	6412.00	6776.50	USD	9,112.50	
15AUG17	B	1	PD15NOV17	6418.50	6776.50	USD	8,950.00	
15AUG17	B	2	PD15NOV17	6375.00	6776.50	USD	20,075.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	B	1	PD15NOV17	6374.50	6776.50	USD	10,050.00	
15AUG17	B	1	PD15NOV17	6374.00	6776.50	USD	10,062.50	
15AUG17	S	1	PD15NOV17	6376.50	6776.50	USD	-10,000.00	
15AUG17	B	1	PD15NOV17	6365.00	6776.50	USD	10,287.50	
18AUG17	S	8	PD15NOV17	6487.80	6776.50	USD	-57,740.00	
	NET	0AVG	BGHT 6395.68	SOLD 6460.90			17,935.00	0.00
16AUG17	B	1	PD16NOV17	6375.00	6776.25	USD	10,031.25	
16AUG17	B	1	PD16NOV17	6374.00	6776.25	USD	10,056.25	
16AUG17	B	1	PD16NOV17	6380.00	6776.25	USD	9,906.25	
16AUG17	B	1	PD16NOV17	6379.00	6776.25	USD	9,931.25	
16AUG17	B	2	PD16NOV17	6386.50	6776.25	USD	19,487.50	
16AUG17	S	1	PD16NOV17	6446.50	6776.25	USD	-8,243.75	
16AUG17	S	2	PD16NOV17	6456.00	6776.25	USD	-16,012.50	
16AUG17	S	1	PD16NOV17	6485.00	6776.25	USD	-7,281.25	
16AUG17	B	1	PD16NOV17	6494.50	6776.25	USD	7,043.75	
16AUG17	B	1	PD16NOV17	6493.00	6776.25	USD	7,081.25	
16AUG17	B	1	PD16NOV17	6509.50	6776.25	USD	6,668.75	
16AUG17	B	1	PD16NOV17	6515.00	6776.25	USD	6,531.25	
16AUG17	S	1	PD16NOV17	6531.00	6776.25	USD	-6,131.25	
16AUG17	S	1	PD16NOV17	6533.50	6776.25	USD	-6,068.75	
16AUG17	S	1	PD16NOV17	6534.00	6776.25	USD	-6,056.25	
16AUG17	S	1	PD16NOV17	6537.00	6776.25	USD	-5,981.25	
16AUG17	S	3	PD16NOV17	6541.00	6776.25	USD	-17,643.75	
16AUG17	B	1	PD16NOV17	6546.00	6776.25	USD	5,756.25	
16AUG17	S	1	PD16NOV17	6541.50	6776.25	USD	-5,868.75	
16AUG17	S	2	PD16NOV17	6540.00	6776.25	USD	-11,812.50	
16AUG17	S	1	PD16NOV17	6539.50	6776.25	USD	-5,918.75	
16AUG17	S	1	PD16NOV17	6547.00	6776.25	USD	-5,731.25	
16AUG17	B	1	PD16NOV17	6488.00	6776.25	USD	7,206.25	
16AUG17	B	2	PD16NOV17	6562.00	6776.25	USD	10,712.50	
16AUG17	B	1	PD16NOV17	6538.00	6776.25	USD	5,956.25	
16AUG17	B	1	PD16NOV17	6540.50	6776.25	USD	5,893.75	
16AUG17	B	1	PD16NOV17	6539.00	6776.25	USD	5,931.25	
18AUG17	S	1	PD16NOV17	6485.80	6776.25	USD	-7,261.25	
	NET	0AVG	BGHT 6474.62	SOLD 6517.40			18,182.50	0.00
17AUG17	B	1	PD17NOV17	6555.50	6776.78	USD	5,532.00	
17AUG17	S	1	PD17NOV17	6550.00	6776.78	USD	-5,669.50	
17AUG17	B	1	PD17NOV17	6555.00	6776.78	USD	5,544.50	
17AUG17	B	1	PD17NOV17	6562.00	6776.78	USD	5,369.50	
17AUG17	B	1	PD17NOV17	6567.00	6776.78	USD	5,244.50	
17AUG17	B	1	PD17NOV17	6572.50	6776.78	USD	5,107.00	
17AUG17	B	1	PD17NOV17	6575.00	6776.78	USD	5,044.50	
17AUG17	B	1	PD17NOV17	6579.50	6776.78	USD	4,932.00	
17AUG17	S	3	PD17NOV17	6545.00	6776.78	USD	-17,383.50	
17AUG17	S	1	PD17NOV17	6548.00	6776.78	USD	-5,719.50	
17AUG17	S	1	PD17NOV17	6549.00	6776.78	USD	-5,694.50	
17AUG17	B	4	PD17NOV17	6548.50	6776.78	USD	22,828.00	
17AUG17	B	1	PD17NOV17	6500.50	6776.78	USD	6,907.00	
17AUG17	B	1	PD17NOV17	6512.00	6776.78	USD	6,619.50	
17AUG17	B	2	PD17NOV17	6513.50	6776.78	USD	13,164.00	
17AUG17	B	1	PD17NOV17	6501.50	6776.78	USD	6,882.00	
17AUG17	B	1	PD17NOV17	6510.50	6776.78	USD	6,657.00	
17AUG17	B	1	PD17NOV17	6507.00	6776.78	USD	6,744.50	
17AUG17	B	1	PD17NOV17	6484.00	6776.78	USD	7,319.50	
17AUG17	B	1	PD17NOV17	6481.00	6776.78	USD	7,394.50	
17AUG17	B	1	PD17NOV17	6480.00	6776.78	USD	7,419.50	
17AUG17	B	2	PD17NOV17	6492.50	6776.78	USD	14,214.00	

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	6486.50	6776.78	USD	7,257.00	
17AUG17	B	1	PD17NOV17	6485.50	6776.78	USD	7,282.00	
17AUG17	B	1	PD17NOV17	6486.00	6776.78	USD	7,269.50	
17AUG17	B	1	PD17NOV17	6484.50	6776.78	USD	7,307.00	
17AUG17	B	1	PD17NOV17	6485.00	6776.78	USD	7,294.50	
17AUG17	B	2	PD17NOV17	6477.50	6776.78	USD	14,964.00	
17AUG17	B	1	PD17NOV17	6477.00	6776.78	USD	7,494.50	
17AUG17	B	1	PD17NOV17	6476.00	6776.78	USD	7,519.50	
17AUG17	S	2	PD17NOV17	6488.50	6776.78	USD	-14,414.00	
17AUG17	B	1	PD17NOV17	6485.00	6776.78	USD	7,294.50	
18AUG17	B	1	PD17NOV17	6456.50	6776.78	USD	8,007.00	
18AUG17	B	1	PD17NOV17	6457.00	6776.78	USD	7,994.50	
18AUG17	B	1	PD17NOV17	6454.00	6776.78	USD	8,069.50	
18AUG17	B	1	PD17NOV17	6453.00	6776.78	USD	8,094.50	
18AUG17	B	1	PD17NOV17	6443.50	6776.78	USD	8,332.00	
18AUG17	S	1	PD17NOV17	6451.00	6776.78	USD	-8,144.50	
18AUG17	S	1	PD17NOV17	6454.50	6776.78	USD	-8,057.00	
18AUG17	S	1	PD17NOV17	6455.00	6776.78	USD	-8,044.50	
18AUG17	S	1	PD17NOV17	6452.00	6776.78	USD	-8,119.50	
18AUG17	B	1	PD17NOV17	6468.50	6776.78	USD	7,707.00	
18AUG17	B	1	PD17NOV17	6476.50	6776.78	USD	7,507.00	
18AUG17	S	1	PD17NOV17	6490.50	6776.78	USD	-7,157.00	
18AUG17	S	1	PD17NOV17	6492.00	6776.78	USD	-7,119.50	
18AUG17	S	1	PD17NOV17	6489.50	6776.78	USD	-7,182.00	
18AUG17	S	9	PD17NOV17	6496.00	6776.78	USD	-63,175.50	
18AUG17	S	1	PD17NOV17	6505.50	6776.78	USD	-6,782.00	
18AUG17	S	1	PD17NOV17	6506.50	6776.78	USD	-6,757.00	
18AUG17	S	1	PD17NOV17	6509.00	6776.78	USD	-6,694.50	
18AUG17	S	1	PD17NOV17	6502.50	6776.78	USD	-6,857.00	
18AUG17	S	4	PD17NOV17	6502.00	6776.78	USD	-27,478.00	
18AUG17	B	1	PD17NOV17	6456.50	6776.78	USD	8,007.00	
18AUG17	B	1	PD17NOV17	6508.50	6776.78	USD	6,707.00	
18AUG17	B	1	PD17NOV17	6507.00	6776.78	USD	6,744.50	
18AUG17	S	5	PD17NOV17	6508.00	6776.78	USD	-33,597.50	
18AUG17	S	3	PD17NOV17	6510.00	6776.78	USD	-20,008.50	
18AUG17	S	4	PD17NOV17	6515.00	6776.78	USD	-26,178.00	
18AUG17	B	1	PD17NOV17	6519.00	6776.78	USD	6,444.50	
18AUG17	B	1	PD17NOV17	6520.00	6776.78	USD	6,419.50	
18AUG17	B	1	PD17NOV17	6519.50	6776.78	USD	6,432.00	
18AUG17	B	1	PD17NOV17	6516.00	6776.78	USD	6,519.50	
18AUG17	B	1	PD17NOV17	6516.50	6776.78	USD	6,507.00	
18AUG17	B	1	PD17NOV17	6518.00	6776.78	USD	6,469.50	
18AUG17	B	1	PD17NOV17	6526.50	6776.78	USD	6,257.00	
18AUG17	S	3	PD17NOV17	6524.00	6776.78	USD	-18,958.50	
18AUG17	S	4	PD17NOV17	6530.00	6776.78	USD	-24,678.00	
18AUG17	B	2	PD17NOV17	6533.50	6776.78	USD	12,164.00	
18AUG17	B	1	PD17NOV17	6528.00	6776.78	USD	6,219.50	
18AUG17	S	4	PD17NOV17	6528.50	6776.78	USD	-24,828.00	
18AUG17	B	1	PD17NOV17	6517.50	6776.78	USD	6,482.00	
18AUG17	B	1	PD17NOV17	6515.50	6776.78	USD	6,532.00	
18AUG17	B	1	PD17NOV17	6513.50	6776.78	USD	6,582.00	
18AUG17	S	1	PD17NOV17	6480.50	6776.78	USD	-7,407.00	
18AUG17	S	1	PD17NOV17	6473.50	6776.78	USD	-7,582.00	
18AUG17	S	2	PD17NOV17	6472.50	6776.78	USD	-15,214.00	
18AUG17	B	1	PD17NOV17	6480.50	6776.78	USD	7,407.00	
18AUG17	B	1	PD17NOV17	6490.50	6776.78	USD	7,157.00	
18AUG17	B	1	PD17NOV17	6489.00	6776.78	USD	7,194.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6486.50	6776.78	USD	7,257.00	
18AUG17	S	1	PD17NOV17	6508.00	6776.78	USD	-6,719.50	
18AUG17	S	1	PD17NOV17	6510.00	6776.78	USD	-6,669.50	
18AUG17	S	1	PD17NOV17	6524.00	6776.78	USD	-6,319.50	
18AUG17	S	9	PD17NOV17	6485.80	6776.78	USD	-65,470.50	
22AUG17	S	6	PD17NOV17	6578.76	6776.78	USD	-29,703.00	
23AUG17	B	3	PD17NOV17	6563.69	6776.78	USD	15,981.75	
30AUG17	B	18	PD17NOV17	6765.75	6776.78	USD	4,963.50	
15SEP17	S	4	PD17NOV17	6495.41	6776.78	USD	-28,137.00	
	NET	0	AVG BGHT 6566.03	SOLD 6509.17			-115,154.25	0.00
21AUG17	B	2	PD21NOV17	6518.50	6778.92	USD	13,021.00	
21AUG17	S	1	PD21NOV17	6517.50	6778.92	USD	-6,535.50	
21AUG17	B	1	PD21NOV17	6570.50	6778.92	USD	5,210.50	
21AUG17	B	1	PD21NOV17	6571.00	6778.92	USD	5,198.00	
21AUG17	B	3	PD21NOV17	6571.50	6778.92	USD	15,556.50	
21AUG17	B	1	PD21NOV17	6580.50	6778.92	USD	4,960.50	
21AUG17	B	2	PD21NOV17	6583.00	6778.92	USD	9,796.00	
21AUG17	B	1	PD21NOV17	6581.00	6778.92	USD	4,948.00	
21AUG17	B	1	PD21NOV17	6584.50	6778.92	USD	4,860.50	
21AUG17	B	1	PD21NOV17	6587.00	6778.92	USD	4,798.00	
21AUG17	B	1	PD21NOV17	6584.00	6778.92	USD	4,873.00	
21AUG17	B	2	PD21NOV17	6581.50	6778.92	USD	9,871.00	
21AUG17	S	1	PD21NOV17	6559.50	6778.92	USD	-5,485.50	
21AUG17	S	1	PD21NOV17	6540.00	6778.92	USD	-5,973.00	
21AUG17	S	2	PD21NOV17	6543.00	6778.92	USD	-11,796.00	
21AUG17	S	1	PD21NOV17	6547.50	6778.92	USD	-5,785.50	
21AUG17	B	1	PD21NOV17	6563.50	6778.92	USD	5,385.50	
21AUG17	S	1	PD21NOV17	6556.00	6778.92	USD	-5,573.00	
21AUG17	S	2	PD21NOV17	6542.50	6778.92	USD	-11,821.00	
21AUG17	S	1	PD21NOV17	6558.50	6778.92	USD	-5,510.50	
21AUG17	S	1	PD21NOV17	6540.50	6778.92	USD	-5,960.50	
21AUG17	B	2	PD21NOV17	6563.00	6778.92	USD	10,796.00	
21AUG17	S	2	PD21NOV17	6557.00	6778.92	USD	-11,096.00	
21AUG17	S	2	PD21NOV17	6543.00	6778.92	USD	-11,796.00	
21AUG17	B	1	PD21NOV17	6553.50	6778.92	USD	5,635.50	
21AUG17	B	2	PD21NOV17	6564.00	6778.92	USD	10,746.00	
21AUG17	B	1	PD21NOV17	6561.00	6778.92	USD	5,448.00	
21AUG17	B	2	PD21NOV17	6564.50	6778.92	USD	10,721.00	
21AUG17	B	2	PD21NOV17	6565.50	6778.92	USD	10,671.00	
21AUG17	B	2	PD21NOV17	6568.00	6778.92	USD	10,546.00	
21AUG17	B	2	PD21NOV17	6566.50	6778.92	USD	10,621.00	
21AUG17	B	1	PD21NOV17	6566.00	6778.92	USD	5,323.00	
21AUG17	B	2	PD21NOV17	6567.50	6778.92	USD	10,571.00	
21AUG17	B	1	PD21NOV17	6575.00	6778.92	USD	5,098.00	
21AUG17	B	2	PD21NOV17	6586.50	6778.92	USD	9,621.00	
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	S	1	PD21NOV17	6571.50	6778.92	USD	-5,185.50	
21AUG17	B	1	PD21NOV17	6612.50	6778.92	USD	4,160.50	
21AUG17	B	1	PD21NOV17	6618.00	6778.92	USD	4,023.00	
21AUG17	B	1	PD21NOV17	6620.00	6778.92	USD	3,973.00	
21AUG17	S	1	PD21NOV17	6621.00	6778.92	USD	-3,948.00	
21AUG17	B	1	PD21NOV17	6607.50	6778.92	USD	4,285.50	
21AUG17	S	1	PD21NOV17	6600.00	6778.92	USD	-4,473.00	
21AUG17	S	2	PD21NOV17	6582.50	6778.92	USD	-9,821.00	
21AUG17	S	1	PD21NOV17	6566.50	6778.92	USD	-5,310.50	
30AUG17	S	13	PD21NOV17	6766.55	6778.92	USD	-4,020.25	
11SEP17	S	4	PD21NOV17	6738.67	6778.92	USD	-4,025.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	4	PD21NOV17	6497.07	6778.92	USD	-28,185.00	
	NET	0 AVG BGHT 6573.62	SOLD 6633.87				63,265.25	0.00
22AUG17	S	1	PD22NOV17	6594.00	6779.45	USD	-4,636.25	
22AUG17	S	2	PD22NOV17	6569.00	6779.45	USD	-10,522.50	
22AUG17	S	1	PD22NOV17	6563.00	6779.45	USD	-5,411.25	
22AUG17	S	1	PD22NOV17	6563.50	6779.45	USD	-5,398.75	
22AUG17	S	2	PD22NOV17	6559.50	6779.45	USD	-10,997.50	
22AUG17	S	1	PD22NOV17	6575.00	6779.45	USD	-5,111.25	
22AUG17	B	1	PD22NOV17	6583.00	6779.45	USD	4,911.25	
22AUG17	B	4	PD22NOV17	6592.50	6779.45	USD	18,695.00	
22AUG17	B	4	PD22NOV17	6591.00	6779.45	USD	18,845.00	
22AUG17	B	1	PD22NOV17	6586.50	6779.45	USD	4,823.75	
22AUG17	B	1	PD22NOV17	6636.00	6779.45	USD	3,586.25	
22AUG17	B	1	PD22NOV17	6638.50	6779.45	USD	3,523.75	
22AUG17	B	1	PD22NOV17	6637.50	6779.45	USD	3,548.75	
22AUG17	B	1	PD22NOV17	6636.50	6779.45	USD	3,573.75	
22AUG17	S	1	PD22NOV17	6628.50	6779.45	USD	-3,773.75	
22AUG17	B	1	PD22NOV17	6612.00	6779.45	USD	4,186.25	
22AUG17	B	1	PD22NOV17	6623.50	6779.45	USD	3,898.75	
22AUG17	B	1	PD22NOV17	6622.50	6779.45	USD	3,923.75	
22AUG17	B	2	PD22NOV17	6626.00	6779.45	USD	7,672.50	
22AUG17	B	1	PD22NOV17	6630.00	6779.45	USD	3,736.25	
22AUG17	B	1	PD22NOV17	6628.00	6779.45	USD	3,786.25	
22AUG17	S	1	PD22NOV17	6631.50	6779.45	USD	-3,698.75	
22AUG17	S	1	PD22NOV17	6613.50	6779.45	USD	-4,148.75	
22AUG17	S	1	PD22NOV17	6617.50	6779.45	USD	-4,048.75	
22AUG17	S	1	PD22NOV17	6614.50	6779.45	USD	-4,123.75	
22AUG17	S	1	PD22NOV17	6612.50	6779.45	USD	-4,173.75	
22AUG17	S	1	PD22NOV17	6604.00	6779.45	USD	-4,386.25	
22AUG17	S	2	PD22NOV17	6592.50	6779.45	USD	-9,347.50	
22AUG17	S	1	PD22NOV17	6593.00	6779.45	USD	-4,661.25	
22AUG17	S	2	PD22NOV17	6593.50	6779.45	USD	-9,297.50	
22AUG17	S	1	PD22NOV17	6608.00	6779.45	USD	-4,286.25	
22AUG17	S	1	PD22NOV17	6606.50	6779.45	USD	-4,323.75	
22AUG17	S	1	PD22NOV17	6607.50	6779.45	USD	-4,298.75	
22AUG17	S	1	PD22NOV17	6609.00	6779.45	USD	-4,261.25	
22AUG17	S	2	PD22NOV17	6610.00	6779.45	USD	-8,472.50	
22AUG17	S	2	PD22NOV17	6611.00	6779.45	USD	-8,422.50	
22AUG17	S	1	PD22NOV17	6597.00	6779.45	USD	-4,561.25	
22AUG17	S	1	PD22NOV17	6595.00	6779.45	USD	-4,611.25	
22AUG17	B	1	PD22NOV17	6582.50	6779.45	USD	4,923.75	
22AUG17	B	2	PD22NOV17	6580.00	6779.45	USD	9,972.50	
22AUG17	B	1	PD22NOV17	6578.50	6779.45	USD	5,023.75	
22AUG17	B	3	PD22NOV17	6583.50	6779.45	USD	14,696.25	
22AUG17	B	2	PD22NOV17	6585.00	6779.45	USD	9,722.50	
22AUG17	B	2	PD22NOV17	6590.00	6779.45	USD	9,472.50	
22AUG17	B	1	PD22NOV17	6588.50	6779.45	USD	4,773.75	
22AUG17	B	1	PD22NOV17	6569.50	6779.45	USD	5,248.75	
22AUG17	B	2	PD22NOV17	6574.50	6779.45	USD	10,247.50	
22AUG17	B	1	PD22NOV17	6576.50	6779.45	USD	5,073.75	
22AUG17	S	1	PD22NOV17	6561.50	6779.45	USD	-5,448.75	
22AUG17	S	1	PD22NOV17	6562.00	6779.45	USD	-5,436.25	
22AUG17	S	1	PD22NOV17	6567.00	6779.45	USD	-5,311.25	
22AUG17	S	1	PD22NOV17	6567.50	6779.45	USD	-5,298.75	
22AUG17	S	1	PD22NOV17	6579.00	6779.45	USD	-5,011.25	
23AUG17	S	3	PD22NOV17	6564.43	6779.45	USD	-16,126.50	
30AUG17	B	1	PD22NOV17	6767.00	6779.45	USD	311.25	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0	AVG	BGHT 6602.42				-11,430.25	0.00
				SOLD 6590.39					
23AUG17	S	1	PD24NOV17		6580.50	6780.52	USD	-5,000.50	
23AUG17	B	3	PD24NOV17		6558.00	6780.52	USD	16,689.00	
23AUG17	B	1	PD24NOV17		6558.50	6780.52	USD	5,550.50	
23AUG17	B	1	PD24NOV17		6555.50	6780.52	USD	5,625.50	
23AUG17	B	1	PD24NOV17		6552.50	6780.52	USD	5,700.50	
23AUG17	B	1	PD24NOV17		6548.50	6780.52	USD	5,800.50	
23AUG17	B	1	PD24NOV17		6541.50	6780.52	USD	5,975.50	
23AUG17	S	1	PD24NOV17		6540.00	6780.52	USD	-6,013.00	
23AUG17	S	1	PD24NOV17		6543.00	6780.52	USD	-5,938.00	
23AUG17	B	1	PD24NOV17		6549.50	6780.52	USD	5,775.50	
23AUG17	B	1	PD24NOV17		6554.00	6780.52	USD	5,663.00	
23AUG17	B	2	PD24NOV17		6552.00	6780.52	USD	11,426.00	
23AUG17	B	1	PD24NOV17		6570.50	6780.52	USD	5,250.50	
23AUG17	S	1	PD24NOV17		6563.00	6780.52	USD	-5,438.00	
23AUG17	S	1	PD24NOV17		6566.50	6780.52	USD	-5,350.50	
23AUG17	S	1	PD24NOV17		6565.00	6780.52	USD	-5,388.00	
23AUG17	B	1	PD24NOV17		6564.50	6780.52	USD	5,400.50	
23AUG17	B	3	PD24NOV17		6565.00	6780.52	USD	16,164.00	
23AUG17	B	1	PD24NOV17		6539.50	6780.52	USD	6,025.50	
23AUG17	B	1	PD24NOV17		6573.00	6780.52	USD	5,188.00	
23AUG17	S	2	PD24NOV17		6570.00	6780.52	USD	-10,526.00	
24AUG17	S	4	PD24NOV17		6606.00	6780.52	USD	-17,452.00	
24AUG17	S	1	PD24NOV17		6610.00	6780.52	USD	-4,263.00	
24AUG17	S	5	PD24NOV17		6608.50	6780.52	USD	-21,502.50	
24AUG17	B	1	PD24NOV17		6634.50	6780.52	USD	3,650.50	
24AUG17	B	2	PD24NOV17		6634.00	6780.52	USD	7,326.00	
24AUG17	B	2	PD24NOV17		6629.50	6780.52	USD	7,551.00	
24AUG17	B	1	PD24NOV17		6628.00	6780.52	USD	3,813.00	
24AUG17	B	1	PD24NOV17		6627.50	6780.52	USD	3,825.50	
24AUG17	B	2	PD24NOV17		6635.00	6780.52	USD	7,276.00	
24AUG17	B	1	PD24NOV17		6635.50	6780.52	USD	3,625.50	
24AUG17	B	1	PD24NOV17		6639.00	6780.52	USD	3,538.00	
24AUG17	B	2	PD24NOV17		6629.00	6780.52	USD	7,576.00	
24AUG17	S	2	PD24NOV17		6604.50	6780.52	USD	-8,801.00	
24AUG17	S	1	PD24NOV17		6602.50	6780.52	USD	-4,450.50	
24AUG17	S	1	PD24NOV17		6603.00	6780.52	USD	-4,438.00	
24AUG17	S	1	PD24NOV17		6616.50	6780.52	USD	-4,100.50	
24AUG17	S	2	PD24NOV17		6619.00	6780.52	USD	-8,076.00	
24AUG17	S	1	PD24NOV17		6617.00	6780.52	USD	-4,088.00	
24AUG17	S	1	PD24NOV17		6631.50	6780.52	USD	-3,725.50	
24AUG17	S	1	PD24NOV17		6623.50	6780.52	USD	-3,925.50	
24AUG17	S	1	PD24NOV17		6620.00	6780.52	USD	-4,013.00	
24AUG17	S	2	PD24NOV17		6621.50	6780.52	USD	-7,951.00	
24AUG17	S	2	PD24NOV17		6621.00	6780.52	USD	-7,976.00	
24AUG17	S	1	PD24NOV17		6628.00	6780.52	USD	-3,813.00	
24AUG17	S	1	PD24NOV17		6634.50	6780.52	USD	-3,650.50	
24AUG17	S	2	PD24NOV17		6630.50	6780.52	USD	-7,501.00	
24AUG17	S	1	PD24NOV17		6627.00	6780.52	USD	-3,838.00	
24AUG17	S	1	PD24NOV17		6630.00	6780.52	USD	-3,763.00	
24AUG17	S	1	PD24NOV17		6668.00	6780.52	USD	-2,813.00	
24AUG17	S	1	PD24NOV17		6668.50	6780.52	USD	-2,800.50	
24AUG17	S	1	PD24NOV17		6669.00	6780.52	USD	-2,788.00	
24AUG17	S	1	PD24NOV17		6683.00	6780.52	USD	-2,438.00	
24AUG17	S	10	PD24NOV17		6669.50	6780.52	USD	-27,755.00	
24AUG17	S	1	PD24NOV17		6674.50	6780.52	USD	-2,650.50	
24AUG17	S	1	PD24NOV17		6675.50	6780.52	USD	-2,625.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	10	PD24NOV17	6680.00	6780.52	USD	-25,130.00	
24AUG17	S	1	PD24NOV17	6700.00	6780.52	USD	-2,013.00	
24AUG17	S	1	PD24NOV17	6706.00	6780.52	USD	-1,863.00	
24AUG17	B	1	PD24NOV17	6708.50	6780.52	USD	1,800.50	
24AUG17	S	1	PD24NOV17	6705.50	6780.52	USD	-1,875.50	
24AUG17	B	5	PD24NOV17	6710.50	6780.52	USD	8,752.50	
24AUG17	B	5	PD24NOV17	6701.00	6780.52	USD	9,940.00	
24AUG17	B	5	PD24NOV17	6695.50	6780.52	USD	10,627.50	
24AUG17	B	5	PD24NOV17	6693.00	6780.52	USD	10,940.00	
24AUG17	S	2	PD24NOV17	6693.50	6780.52	USD	-4,351.00	
24AUG17	S	1	PD24NOV17	6694.00	6780.52	USD	-2,163.00	
24AUG17	S	2	PD24NOV17	6692.50	6780.52	USD	-4,401.00	
24AUG17	S	1	PD24NOV17	6696.00	6780.52	USD	-2,113.00	
24AUG17	S	1	PD24NOV17	6698.00	6780.52	USD	-2,063.00	
24AUG17	S	1	PD24NOV17	6697.50	6780.52	USD	-2,075.50	
24AUG17	S	1	PD24NOV17	6696.50	6780.52	USD	-2,100.50	
25AUG17	S	1	PD24NOV17	6702.00	6780.52	USD	-1,963.00	
25AUG17	S	1	PD24NOV17	6699.00	6780.52	USD	-2,038.00	
25AUG17	S	1	PD24NOV17	6700.50	6780.52	USD	-2,000.50	
25AUG17	S	1	PD24NOV17	6699.50	6780.52	USD	-2,025.50	
25AUG17	S	1	PD24NOV17	6703.50	6780.52	USD	-1,925.50	
25AUG17	S	1	PD24NOV17	6724.00	6780.52	USD	-1,413.00	
25AUG17	S	1	PD24NOV17	6724.50	6780.52	USD	-1,400.50	
25AUG17	S	1	PD24NOV17	6700.00	6780.52	USD	-2,013.00	
25AUG17	B	1	PD24NOV17	6732.00	6780.52	USD	1,213.00	
25AUG17	B	1	PD24NOV17	6728.50	6780.52	USD	1,300.50	
25AUG17	S	4	PD24NOV17	6672.50	6780.52	USD	-10,802.00	
30AUG17	B	14	PD24NOV17	6767.50	6780.52	USD	4,557.00	
31AUG17	B	4	PD24NOV17	6786.50	6780.52	USD	-598.00	
11SEP17	B	4	PD24NOV17	6740.17	6780.52	USD	4,035.00	
15SEP17	B	8	PD24NOV17	6498.51	6780.52	USD	56,402.00	
15SEP17	B	4	PD24NOV17	6498.31	6780.52	USD	28,221.00	
	NET	0AVG BGHT 6649.46	SOLD 6649.92				1,025.00	0.00
29AUG17	S	1	PD29NOV17	6792.00	6783.18	USD	220.50	
29AUG17	B	1	PD29NOV17	6771.50	6783.18	USD	292.00	
29AUG17	B	1	PD29NOV17	6765.50	6783.18	USD	442.00	
29AUG17	B	1	PD29NOV17	6766.00	6783.18	USD	429.50	
29AUG17	B	6	PD29NOV17	6764.50	6783.18	USD	2,802.00	
29AUG17	S	2	PD29NOV17	6791.00	6783.18	USD	391.00	
29AUG17	S	1	PD29NOV17	6792.50	6783.18	USD	233.00	
29AUG17	B	2	PD29NOV17	6764.00	6783.18	USD	959.00	
29AUG17	B	1	PD29NOV17	6763.50	6783.18	USD	492.00	
29AUG17	B	1	PD29NOV17	6770.00	6783.18	USD	329.50	
29AUG17	S	1	PD29NOV17	6823.00	6783.18	USD	995.50	
29AUG17	B	1	PD29NOV17	6811.00	6783.18	USD	-695.50	
29AUG17	B	4	PD29NOV17	6812.00	6783.18	USD	-2,882.00	
29AUG17	B	3	PD29NOV17	6811.50	6783.18	USD	-2,124.00	
29AUG17	B	1	PD29NOV17	6802.00	6783.18	USD	-470.50	
29AUG17	B	1	PD29NOV17	6807.00	6783.18	USD	-595.50	
29AUG17	S	1	PD29NOV17	6815.00	6783.18	USD	795.50	
29AUG17	B	1	PD29NOV17	6822.50	6783.18	USD	-983.00	
29AUG17	B	3	PD29NOV17	6823.50	6783.18	USD	-3,024.00	
30AUG17	S	22	PD29NOV17	6768.55	6783.18	USD	-8,046.50	
31AUG17	B	1	PD29NOV17	6787.75	6783.18	USD	-114.25	
	NET	0AVG BGHT 6790.53	SOLD 6775.45				-10,553.75	0.00
30AUG17	B	1	PD30NOV17	6787.00	6783.72	USD	-82.00	
30AUG17	B	1	PD30NOV17	6784.50	6783.72	USD	-19.50	

OPEN POSITION STATEMENT



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30AUG17	B	1	PD30NOV17	6776.00	6783.72	USD	193.00	
30AUG17	B	1	PD30NOV17	6774.50	6783.72	USD	230.50	
30AUG17	S	2	PD30NOV17	6814.50	6783.72	USD	1,539.00	
30AUG17	S	2	PD30NOV17	6814.00	6783.72	USD	1,514.00	
30AUG17	B	1	PD30NOV17	6814.50	6783.72	USD	-769.50	
30AUG17	B	1	PD30NOV17	6766.00	6783.72	USD	443.00	
30AUG17	B	2	PD30NOV17	6769.50	6783.72	USD	711.00	
30AUG17	B	1	PD30NOV17	6769.50	6783.72	USD	355.50	
31AUG17	S	4	PD30NOV17	6805.00	6783.72	USD	2,128.00	
31AUG17	S	1	PD30NOV17	6799.00	6783.72	USD	382.00	
31AUG17	B	2	PD30NOV17	6801.00	6783.72	USD	-864.00	
31AUG17	B	1	PD30NOV17	6803.50	6783.72	USD	-494.50	
31AUG17	B	1	PD30NOV17	6804.00	6783.72	USD	-507.00	
31AUG17	B	1	PD30NOV17	6799.00	6783.72	USD	-382.00	
31AUG17	B	1	PD30NOV17	6807.50	6783.72	USD	-594.50	
31AUG17	B	1	PD30NOV17	6789.50	6783.72	USD	-144.50	
31AUG17	S	2	PD30NOV17	6783.00	6783.72	USD	-36.00	
31AUG17	B	1	PD30NOV17	6797.00	6783.72	USD	-332.00	
31AUG17	B	1	PD30NOV17	6790.50	6783.72	USD	-169.50	
31AUG17	B	2	PD30NOV17	6790.00	6783.72	USD	-314.00	
31AUG17	B	1	PD30NOV17	6786.50	6783.72	USD	-69.50	
31AUG17	B	1	PD30NOV17	6788.50	6783.72	USD	-119.50	
31AUG17	B	2	PD30NOV17	6788.00	6783.72	USD	-214.00	
31AUG17	B	1	PD30NOV17	6784.50	6783.72	USD	-19.50	
31AUG17	B	3	PD30NOV17	6785.00	6783.72	USD	-96.00	
31AUG17	B	1	PD30NOV17	6776.50	6783.72	USD	180.50	
31AUG17	S	5	PD30NOV17	6787.80	6783.72	USD	510.00	
31AUG17	B	2	PD30NOV17	6791.50	6783.72	USD	-389.00	
31AUG17	B	1	PD30NOV17	6785.00	6783.72	USD	-32.00	
11SEP17	S	16	PD30NOV17	6742.57	6783.72	USD	-16,460.00	
	NET	0AVG BGHT 6788.09	SOLD 6770.69				-13,922.00	0.00
01SEP17	S	2	PD01DEC17	6803.50	6784.25	USD	962.50	
01SEP17	S	4	PD01DEC17	6804.00	6784.25	USD	1,975.00	
01SEP17	S	3	PD01DEC17	6805.50	6784.25	USD	1,593.75	
01SEP17	S	2	PD01DEC17	6806.00	6784.25	USD	1,087.50	
01SEP17	B	1	PD01DEC17	6804.00	6784.25	USD	-493.75	
01SEP17	B	2	PD01DEC17	6798.00	6784.25	USD	-687.50	
01SEP17	B	1	PD01DEC17	6797.50	6784.25	USD	-331.25	
01SEP17	B	1	PD01DEC17	6794.00	6784.25	USD	-243.75	
01SEP17	B	1	PD01DEC17	6796.00	6784.25	USD	-293.75	
01SEP17	B	1	PD01DEC17	6799.50	6784.25	USD	-381.25	
01SEP17	B	1	PD01DEC17	6799.00	6784.25	USD	-368.75	
01SEP17	S	1	PD01DEC17	6805.00	6784.25	USD	518.75	
01SEP17	S	2	PD01DEC17	6802.50	6784.25	USD	912.50	
01SEP17	B	1	PD01DEC17	6805.50	6784.25	USD	-531.25	
01SEP17	S	4	PD01DEC17	6812.50	6784.25	USD	2,825.00	
01SEP17	S	1	PD01DEC17	6809.00	6784.25	USD	618.75	
01SEP17	S	1	PD01DEC17	6811.50	6784.25	USD	681.25	
01SEP17	S	1	PD01DEC17	6802.50	6784.25	USD	456.25	
01SEP17	B	1	PD01DEC17	6802.50	6784.25	USD	-456.25	
01SEP17	B	1	PD01DEC17	6800.50	6784.25	USD	-406.25	
01SEP17	B	2	PD01DEC17	6801.00	6784.25	USD	-837.50	
01SEP17	B	1	PD01DEC17	6803.00	6784.25	USD	-468.75	
01SEP17	B	1	PD01DEC17	6815.50	6784.25	USD	-781.25	
01SEP17	B	1	PD01DEC17	6813.50	6784.25	USD	-731.25	
01SEP17	B	1	PD01DEC17	6814.50	6784.25	USD	-756.25	
01SEP17	B	1	PD01DEC17	6814.00	6784.25	USD	-743.75	

OPEN POSITION STATEMENT



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SAP0119B

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01SEP17	B	1	PD01DEC17	6810.50	6784.25	USD	-656.25	
01SEP17	B	1	PD01DEC17	6811.50	6784.25	USD	-681.25	
01SEP17	B	2	PD01DEC17	6811.00	6784.25	USD	-1,337.50	
01SEP17	B	4	PD01DEC17	6813.00	6784.25	USD	-2,875.00	
01SEP17	B	1	PD01DEC17	6812.50	6784.25	USD	-706.25	
01SEP17	S	1	PD01DEC17	6825.00	6784.25	USD	1,018.75	
01SEP17	S	3	PD01DEC17	6823.00	6784.25	USD	2,906.25	
01SEP17	S	1	PD01DEC17	6817.50	6784.25	USD	831.25	
01SEP17	B	1	PD01DEC17	6817.50	6784.25	USD	-831.25	
01SEP17	S	1	PD01DEC17	6818.50	6784.25	USD	856.25	
01SEP17	S	1	PD01DEC17	6821.50	6784.25	USD	931.25	
01SEP17	S	2	PD01DEC17	6821.00	6784.25	USD	1,837.50	
11SEP17	B	4	PD01DEC17	6743.20	6784.25	USD	4,105.00	
22SEP17	S	2	PD01DEC17	6450.50	6784.25	USD	-16,687.50	
	NET	0AVG BGHT 6798.62	SOLD 6788.41				-8,170.00	0.00
04SEP17	S	1	PD04DEC17	6869.00	6785.75	USD	2,081.25	
04SEP17	S	1	PD04DEC17	6866.50	6785.75	USD	2,018.75	
04SEP17	S	1	PD04DEC17	6866.00	6785.75	USD	2,006.25	
04SEP17	S	1	PD04DEC17	6870.00	6785.75	USD	2,106.25	
04SEP17	S	1	PD04DEC17	6875.00	6785.75	USD	2,231.25	
04SEP17	S	1	PD04DEC17	6874.50	6785.75	USD	2,218.75	
04SEP17	S	1	PD04DEC17	6861.50	6785.75	USD	1,893.75	
04SEP17	S	1	PD04DEC17	6863.00	6785.75	USD	1,931.25	
04SEP17	S	2	PD04DEC17	6863.50	6785.75	USD	3,887.50	
04SEP17	S	1	PD04DEC17	6865.50	6785.75	USD	1,993.75	
04SEP17	S	1	PD04DEC17	6872.00	6785.75	USD	2,156.25	
04SEP17	S	1	PD04DEC17	6876.00	6785.75	USD	2,256.25	
04SEP17	S	1	PD04DEC17	6883.50	6785.75	USD	2,443.75	
04SEP17	B	1	PD04DEC17	6894.00	6785.75	USD	-2,706.25	
04SEP17	B	1	PD04DEC17	6894.50	6785.75	USD	-2,718.75	
04SEP17	B	1	PD04DEC17	6891.50	6785.75	USD	-2,643.75	
04SEP17	S	2	PD04DEC17	6907.00	6785.75	USD	6,062.50	
04SEP17	B	1	PD04DEC17	6905.00	6785.75	USD	-2,981.25	
04SEP17	B	1	PD04DEC17	6904.50	6785.75	USD	-2,968.75	
04SEP17	B	2	PD04DEC17	6911.00	6785.75	USD	-6,262.50	
04SEP17	S	1	PD04DEC17	6910.00	6785.75	USD	3,106.25	
04SEP17	S	3	PD04DEC17	6912.00	6785.75	USD	9,468.75	
04SEP17	B	1	PD04DEC17	6921.50	6785.75	USD	-3,393.75	
04SEP17	B	1	PD04DEC17	6909.50	6785.75	USD	-3,093.75	
04SEP17	B	1	PD04DEC17	6910.50	6785.75	USD	-3,118.75	
04SEP17	B	2	PD04DEC17	6912.50	6785.75	USD	-6,337.50	
04SEP17	B	1	PD04DEC17	6913.00	6785.75	USD	-3,181.25	
11SEP17	B	8	PD04DEC17	6744.70	6785.75	USD	8,210.00	
22SEP17	S	1	PD04DEC17	6452.13	6785.75	USD	-8,340.50	
	NET	0AVG BGHT 6845.17	SOLD 6861.03				8,325.75	0.00
05SEP17	S	1	PD05DEC17	6931.50	6786.25	USD	3,631.25	
05SEP17	S	1	PD05DEC17	6930.50	6786.25	USD	3,606.25	
05SEP17	S	2	PD05DEC17	6929.00	6786.25	USD	7,137.50	
05SEP17	S	3	PD05DEC17	6928.50	6786.25	USD	10,668.75	
05SEP17	B	1	PD05DEC17	6936.50	6786.25	USD	-3,756.25	
05SEP17	B	1	PD05DEC17	6937.00	6786.25	USD	-3,768.75	
05SEP17	B	1	PD05DEC17	6941.00	6786.25	USD	-3,868.75	
05SEP17	B	1	PD05DEC17	6944.00	6786.25	USD	-3,943.75	
05SEP17	B	1	PD05DEC17	6943.00	6786.25	USD	-3,918.75	
05SEP17	B	1	PD05DEC17	6939.00	6786.25	USD	-3,818.75	
05SEP17	B	2	PD05DEC17	6938.00	6786.25	USD	-7,587.50	
05SEP17	B	1	PD05DEC17	6942.00	6786.25	USD	-3,893.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	2	PD05DEC17	6942.50	6786.25	USD	-7,812.50	
05SEP17	B	1	PD05DEC17	6940.50	6786.25	USD	-3,856.25	
05SEP17	B	1	PD05DEC17	6940.00	6786.25	USD	-3,843.75	
05SEP17	B	1	PD05DEC17	6939.50	6786.25	USD	-3,831.25	
05SEP17	S	1	PD05DEC17	6941.00	6786.25	USD	3,868.75	
05SEP17	B	1	PD05DEC17	6941.50	6786.25	USD	-3,881.25	
05SEP17	S	1	PD05DEC17	6940.50	6786.25	USD	3,856.25	
05SEP17	S	1	PD05DEC17	6940.00	6786.25	USD	3,843.75	
05SEP17	S	2	PD05DEC17	6935.50	6786.25	USD	7,462.50	
05SEP17	S	1	PD05DEC17	6934.00	6786.25	USD	3,693.75	
05SEP17	S	1	PD05DEC17	6933.00	6786.25	USD	3,668.75	
05SEP17	S	3	PD05DEC17	6932.00	6786.25	USD	10,931.25	
05SEP17	S	5	PD05DEC17	6932.50	6786.25	USD	18,281.25	
05SEP17	S	1	PD05DEC17	6939.50	6786.25	USD	3,831.25	
05SEP17	B	1	PD05DEC17	6949.50	6786.25	USD	-4,081.25	
05SEP17	B	2	PD05DEC17	6959.00	6786.25	USD	-8,637.50	
05SEP17	B	1	PD05DEC17	6964.50	6786.25	USD	-4,456.25	
05SEP17	B	1	PD05DEC17	6968.00	6786.25	USD	-4,543.75	
05SEP17	B	1	PD05DEC17	6965.50	6786.25	USD	-4,481.25	
05SEP17	B	2	PD05DEC17	6964.00	6786.25	USD	-8,887.50	
05SEP17	B	2	PD05DEC17	6966.00	6786.25	USD	-8,987.50	
05SEP17	B	1	PD05DEC17	6961.00	6786.25	USD	-4,368.75	
05SEP17	S	1	PD05DEC17	6959.50	6786.25	USD	4,331.25	
05SEP17	B	2	PD05DEC17	6958.50	6786.25	USD	-8,612.50	
05SEP17	B	2	PD05DEC17	6957.00	6786.25	USD	-8,537.50	
05SEP17	B	1	PD05DEC17	6958.00	6786.25	USD	-4,293.75	
05SEP17	B	1	PD05DEC17	6959.50	6786.25	USD	-4,331.25	
05SEP17	B	2	PD05DEC17	6960.00	6786.25	USD	-8,687.50	
05SEP17	B	2	PD05DEC17	6956.50	6786.25	USD	-8,512.50	
05SEP17	B	2	PD05DEC17	6957.50	6786.25	USD	-8,562.50	
05SEP17	S	1	PD05DEC17	6930.00	6786.25	USD	3,593.75	
05SEP17	S	1	PD05DEC17	6928.50	6786.25	USD	3,556.25	
05SEP17	B	1	PD05DEC17	6938.00	6786.25	USD	-3,793.75	
05SEP17	B	2	PD05DEC17	6958.00	6786.25	USD	-8,587.50	
05SEP17	B	1	PD05DEC17	6921.50	6786.25	USD	-3,381.25	
05SEP17	B	1	PD05DEC17	6923.50	6786.25	USD	-3,431.25	
05SEP17	B	1	PD05DEC17	6915.00	6786.25	USD	-3,218.75	
05SEP17	B	1	PD05DEC17	6916.50	6786.25	USD	-3,256.25	
05SEP17	B	2	PD05DEC17	6915.50	6786.25	USD	-6,462.50	
05SEP17	B	1	PD05DEC17	6919.00	6786.25	USD	-3,318.75	
05SEP17	B	1	PD05DEC17	6917.50	6786.25	USD	-3,281.25	
05SEP17	B	1	PD05DEC17	6919.50	6786.25	USD	-3,331.25	
05SEP17	B	1	PD05DEC17	6920.50	6786.25	USD	-3,356.25	
05SEP17	B	1	PD05DEC17	6925.50	6786.25	USD	-3,481.25	
05SEP17	S	1	PD05DEC17	6919.50	6786.25	USD	3,331.25	
05SEP17	B	2	PD05DEC17	6916.00	6786.25	USD	-6,487.50	
05SEP17	S	1	PD05DEC17	6920.50	6786.25	USD	3,356.25	
05SEP17	S	1	PD05DEC17	6923.00	6786.25	USD	3,418.75	
05SEP17	S	1	PD05DEC17	6924.50	6786.25	USD	3,456.25	
05SEP17	B	1	PD05DEC17	6905.50	6786.25	USD	-2,981.25	
05SEP17	B	1	PD05DEC17	6902.50	6786.25	USD	-2,906.25	
05SEP17	B	1	PD05DEC17	6884.50	6786.25	USD	-2,456.25	
05SEP17	B	2	PD05DEC17	6885.50	6786.25	USD	-4,962.50	
05SEP17	B	2	PD05DEC17	6886.00	6786.25	USD	-4,987.50	
11SEP17	S	26	PD05DEC17	6745.00	6786.25	USD	-26,812.50	
15SEP17	S	4	PD05DEC17	6502.66	6786.25	USD	-28,359.00	
22SEP17	S	1	PD05DEC17	6452.67	6786.25	USD	-8,339.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6938.02	SOLD 6816.42				-185,429.75	0.00
06SEP17	B	3	PD06DEC17	6898.50	6786.75	USD	-8,381.25	
06SEP17	S	1	PD06DEC17	6896.50	6786.75	USD	2,743.75	
06SEP17	S	13	PD06DEC17	6898.00	6786.75	USD	36,156.25	
06SEP17	S	3	PD06DEC17	6900.00	6786.75	USD	8,493.75	
06SEP17	S	1	PD06DEC17	6900.50	6786.75	USD	2,843.75	
06SEP17	S	1	PD06DEC17	6904.50	6786.75	USD	2,943.75	
06SEP17	S	1	PD06DEC17	6906.50	6786.75	USD	2,993.75	
06SEP17	S	3	PD06DEC17	6909.50	6786.75	USD	9,206.25	
06SEP17	S	1	PD06DEC17	6913.00	6786.75	USD	3,156.25	
06SEP17	S	3	PD06DEC17	6911.50	6786.75	USD	9,356.25	
06SEP17	S	5	PD06DEC17	6911.00	6786.75	USD	15,531.25	
06SEP17	S	3	PD06DEC17	6912.00	6786.75	USD	9,393.75	
06SEP17	S	2	PD06DEC17	6912.50	6786.75	USD	6,287.50	
06SEP17	S	1	PD06DEC17	6906.00	6786.75	USD	2,981.25	
06SEP17	S	1	PD06DEC17	6910.00	6786.75	USD	3,081.25	
06SEP17	S	5	PD06DEC17	6905.50	6786.75	USD	14,843.75	
06SEP17	S	1	PD06DEC17	6907.50	6786.75	USD	3,018.75	
06SEP17	S	4	PD06DEC17	6909.00	6786.75	USD	12,225.00	
06SEP17	B	2	PD06DEC17	6890.00	6786.75	USD	-5,162.50	
06SEP17	B	1	PD06DEC17	6891.50	6786.75	USD	-2,618.75	
06SEP17	B	2	PD06DEC17	6901.00	6786.75	USD	-5,712.50	
06SEP17	B	2	PD06DEC17	6899.50	6786.75	USD	-5,637.50	
06SEP17	B	2	PD06DEC17	6877.00	6786.75	USD	-4,512.50	
06SEP17	B	1	PD06DEC17	6876.00	6786.75	USD	-2,231.25	
06SEP17	B	1	PD06DEC17	6889.50	6786.75	USD	-2,568.75	
06SEP17	B	3	PD06DEC17	6898.00	6786.75	USD	-8,343.75	
06SEP17	B	5	PD06DEC17	6896.00	6786.75	USD	-13,656.25	
06SEP17	B	1	PD06DEC17	6896.50	6786.75	USD	-2,743.75	
06SEP17	B	3	PD06DEC17	6895.50	6786.75	USD	-8,156.25	
06SEP17	B	1	PD06DEC17	6898.00	6786.75	USD	-2,781.25	
06SEP17	B	1	PD06DEC17	6895.50	6786.75	USD	-2,718.75	
11SEP17	B	25	PD06DEC17	6745.70	6786.75	USD	25,656.25	
15SEP17	S	4	PD06DEC17	6503.28	6786.75	USD	-28,347.00	
	NET	0 AVG BGHT 6824.16	SOLD 6874.98				67,340.50	0.00
07SEP17	S	1	PD07DEC17	6885.50	6787.23	USD	2,456.75	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	
07SEP17	S	1	PD07DEC17	6875.50	6787.23	USD	2,206.75	
07SEP17	S	2	PD07DEC17	6876.00	6787.23	USD	4,438.50	
07SEP17	S	1	PD07DEC17	6872.50	6787.23	USD	2,131.75	
07SEP17	S	1	PD07DEC17	6868.50	6787.23	USD	2,031.75	
07SEP17	S	1	PD07DEC17	6869.00	6787.23	USD	2,044.25	
07SEP17	S	2	PD07DEC17	6870.50	6787.23	USD	4,163.50	
07SEP17	S	1	PD07DEC17	6858.50	6787.23	USD	1,781.75	
07SEP17	S	1	PD07DEC17	6859.00	6787.23	USD	1,794.25	
07SEP17	B	1	PD07DEC17	6884.50	6787.23	USD	-2,431.75	
07SEP17	B	1	PD07DEC17	6880.50	6787.23	USD	-2,331.75	
07SEP17	B	1	PD07DEC17	6879.50	6787.23	USD	-2,306.75	
07SEP17	B	1	PD07DEC17	6880.00	6787.23	USD	-2,319.25	
07SEP17	B	1	PD07DEC17	6882.50	6787.23	USD	-2,381.75	
07SEP17	B	4	PD07DEC17	6883.50	6787.23	USD	-9,627.00	
07SEP17	B	1	PD07DEC17	6889.00	6787.23	USD	-2,544.25	
07SEP17	B	1	PD07DEC17	6889.50	6787.23	USD	-2,556.75	
07SEP17	B	1	PD07DEC17	6890.00	6787.23	USD	-2,569.25	
07SEP17	B	1	PD07DEC17	6871.00	6787.23	USD	-2,094.25	
07SEP17	B	1	PD07DEC17	6869.00	6787.23	USD	-2,044.25	
07SEP17	B	1	PD07DEC17	6868.50	6787.23	USD	-2,031.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	4	PD07DEC17	6872.00	6787.23	USD	8,477.00	
07SEP17	S	1	PD07DEC17	6870.00	6787.23	USD	2,069.25	
07SEP17	B	1	PD07DEC17	6877.50	6787.23	USD	-2,256.75	
07SEP17	B	3	PD07DEC17	6876.50	6787.23	USD	-6,695.25	
07SEP17	B	1	PD07DEC17	6879.00	6787.23	USD	-2,294.25	
07SEP17	S	2	PD07DEC17	6880.00	6787.23	USD	4,638.50	
07SEP17	S	2	PD07DEC17	6875.00	6787.23	USD	4,388.50	
07SEP17	S	5	PD07DEC17	6877.50	6787.23	USD	11,283.75	
07SEP17	S	1	PD07DEC17	6886.00	6787.23	USD	2,469.25	
07SEP17	S	1	PD07DEC17	6876.50	6787.23	USD	2,231.75	
07SEP17	S	3	PD07DEC17	6879.00	6787.23	USD	6,882.75	
07SEP17	S	1	PD07DEC17	6883.50	6787.23	USD	2,406.75	
07SEP17	S	2	PD07DEC17	6900.00	6787.23	USD	5,638.50	
07SEP17	S	2	PD07DEC17	6905.00	6787.23	USD	5,888.50	
07SEP17	B	1	PD07DEC17	6885.50	6787.23	USD	-2,456.75	
07SEP17	B	1	PD07DEC17	6890.00	6787.23	USD	-2,569.25	
07SEP17	B	3	PD07DEC17	6879.00	6787.23	USD	-6,882.75	
07SEP17	B	1	PD07DEC17	6906.50	6787.23	USD	-2,981.75	
07SEP17	B	1	PD07DEC17	6905.50	6787.23	USD	-2,956.75	
11SEP17	B	4	PD07DEC17	6746.00	6787.23	USD	4,123.00	
11SEP17	B	5	PD07DEC17	6746.20	6787.23	USD	5,128.75	
	NET	0AVG BGHT 6848.43	SOLD 6878.00				26,612.50	0.00
08SEP17	S	2	PD08DEC17	6906.50	6787.71	USD	5,939.50	
08SEP17	S	1	PD08DEC17	6896.50	6787.71	USD	2,719.75	
08SEP17	S	1	PD08DEC17	6901.00	6787.71	USD	2,832.25	
08SEP17	B	2	PD08DEC17	6918.50	6787.71	USD	-6,539.50	
08SEP17	S	1	PD08DEC17	6918.00	6787.71	USD	3,257.25	
08SEP17	B	1	PD08DEC17	6919.00	6787.71	USD	-3,282.25	
08SEP17	S	2	PD08DEC17	6917.00	6787.71	USD	6,464.50	
08SEP17	B	1	PD08DEC17	6926.00	6787.71	USD	-3,457.25	
08SEP17	B	1	PD08DEC17	6921.50	6787.71	USD	-3,344.75	
08SEP17	B	3	PD08DEC17	6930.00	6787.71	USD	-10,671.75	
08SEP17	B	1	PD08DEC17	6928.50	6787.71	USD	-3,519.75	
08SEP17	B	2	PD08DEC17	6930.50	6787.71	USD	-7,139.50	
08SEP17	B	3	PD08DEC17	6929.00	6787.71	USD	-10,596.75	
08SEP17	B	1	PD08DEC17	6948.50	6787.71	USD	-4,019.75	
08SEP17	B	1	PD08DEC17	6948.00	6787.71	USD	-4,007.25	
08SEP17	B	1	PD08DEC17	6946.50	6787.71	USD	-3,969.75	
08SEP17	B	1	PD08DEC17	6947.00	6787.71	USD	-3,982.25	
08SEP17	B	4	PD08DEC17	6947.50	6787.71	USD	-15,979.00	
08SEP17	S	2	PD08DEC17	6937.00	6787.71	USD	7,464.50	
08SEP17	S	1	PD08DEC17	6936.00	6787.71	USD	3,707.25	
08SEP17	S	2	PD08DEC17	6935.50	6787.71	USD	7,389.50	
08SEP17	S	2	PD08DEC17	6934.50	6787.71	USD	7,339.50	
08SEP17	S	3	PD08DEC17	6935.00	6787.71	USD	11,046.75	
08SEP17	S	1	PD08DEC17	6930.00	6787.71	USD	3,557.25	
08SEP17	S	1	PD08DEC17	6897.50	6787.71	USD	2,744.75	
08SEP17	S	3	PD08DEC17	6898.00	6787.71	USD	8,271.75	
08SEP17	S	3	PD08DEC17	6898.50	6787.71	USD	8,309.25	
08SEP17	S	1	PD08DEC17	6892.50	6787.71	USD	2,619.75	
08SEP17	S	2	PD08DEC17	6888.50	6787.71	USD	5,039.50	
08SEP17	S	2	PD08DEC17	6890.00	6787.71	USD	5,114.50	
08SEP17	S	2	PD08DEC17	6865.00	6787.71	USD	3,864.50	
08SEP17	S	1	PD08DEC17	6856.00	6787.71	USD	1,707.25	
08SEP17	S	2	PD08DEC17	6853.00	6787.71	USD	3,264.50	
08SEP17	S	1	PD08DEC17	6855.50	6787.71	USD	1,694.75	
08SEP17	S	1	PD08DEC17	6857.00	6787.71	USD	1,732.25	

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08SEP17	S	1	PD08DEC17	6858.50	6787.71	USD	1,769.75	
08SEP17	S	1	PD08DEC17	6858.00	6787.71	USD	1,757.25	
08SEP17	S	2	PD08DEC17	6853.50	6787.71	USD	3,289.50	
08SEP17	S	1	PD08DEC17	6852.00	6787.71	USD	1,607.25	
08SEP17	S	2	PD08DEC17	6854.50	6787.71	USD	3,339.50	
08SEP17	B	1	PD08DEC17	6833.50	6787.71	USD	-1,144.75	
08SEP17	B	1	PD08DEC17	6829.00	6787.71	USD	-1,032.25	
08SEP17	B	1	PD08DEC17	6830.00	6787.71	USD	-1,057.25	
08SEP17	B	1	PD08DEC17	6831.00	6787.71	USD	-1,082.25	
08SEP17	B	1	PD08DEC17	6825.50	6787.71	USD	-944.75	
08SEP17	S	1	PD08DEC17	6820.00	6787.71	USD	807.25	
08SEP17	S	1	PD08DEC17	6821.00	6787.71	USD	832.25	
08SEP17	S	1	PD08DEC17	6837.00	6787.71	USD	1,232.25	
08SEP17	S	1	PD08DEC17	6836.50	6787.71	USD	1,219.75	
08SEP17	B	1	PD08DEC17	6824.00	6787.71	USD	-907.25	
08SEP17	S	1	PD08DEC17	6812.50	6787.71	USD	619.75	
08SEP17	B	2	PD08DEC17	6828.50	6787.71	USD	-2,039.50	
08SEP17	B	1	PD08DEC17	6828.00	6787.71	USD	-1,007.25	
08SEP17	B	1	PD08DEC17	6829.00	6787.71	USD	-1,032.25	
08SEP17	S	1	PD08DEC17	6747.00	6787.71	USD	-1,017.75	
08SEP17	S	2	PD08DEC17	6751.50	6787.71	USD	-1,810.50	
08SEP17	B	1	PD08DEC17	6738.50	6787.71	USD	1,230.25	
08SEP17	B	1	PD08DEC17	6739.50	6787.71	USD	1,205.25	
08SEP17	B	1	PD08DEC17	6736.50	6787.71	USD	1,280.25	
08SEP17	B	1	PD08DEC17	6739.00	6787.71	USD	1,217.75	
08SEP17	B	2	PD08DEC17	6740.50	6787.71	USD	2,360.50	
08SEP17	B	1	PD08DEC17	6730.50	6787.71	USD	1,430.25	
08SEP17	S	1	PD08DEC17	6712.00	6787.71	USD	-1,892.75	
08SEP17	S	1	PD08DEC17	6710.00	6787.71	USD	-1,942.75	
08SEP17	S	2	PD08DEC17	6702.50	6787.71	USD	-4,260.50	
08SEP17	S	1	PD08DEC17	6695.00	6787.71	USD	-2,317.75	
08SEP17	S	1	PD08DEC17	6709.50	6787.71	USD	-1,955.25	
08SEP17	B	1	PD08DEC17	6697.00	6787.71	USD	2,267.75	
08SEP17	B	1	PD08DEC17	6700.00	6787.71	USD	2,192.75	
08SEP17	B	1	PD08DEC17	6699.50	6787.71	USD	2,205.25	
08SEP17	B	1	PD08DEC17	6686.00	6787.71	USD	2,542.75	
08SEP17	B	2	PD08DEC17	6684.50	6787.71	USD	5,160.50	
08SEP17	B	1	PD08DEC17	6687.00	6787.71	USD	2,517.75	
08SEP17	B	1	PD08DEC17	6686.50	6787.71	USD	2,530.25	
08SEP17	B	1	PD08DEC17	6688.50	6787.71	USD	2,480.25	
08SEP17	B	1	PD08DEC17	6688.00	6787.71	USD	2,492.75	
08SEP17	B	1	PD08DEC17	6687.50	6787.71	USD	2,505.25	
08SEP17	B	2	PD08DEC17	6685.50	6787.71	USD	5,110.50	
08SEP17	B	5	PD08DEC17	6685.00	6787.71	USD	12,838.75	
08SEP17	B	1	PD08DEC17	6683.00	6787.71	USD	2,617.75	
11SEP17	S	4	PD08DEC17	6746.30	6787.71	USD	-4,141.00	
15SEP17	B	4	PD08DEC17	6504.30	6787.71	USD	28,341.00	
15SEP17	B	6	PD08DEC17	6504.10	6787.71	USD	42,541.50	
22SEP17	S	5	PD08DEC17	6454.17	6787.71	USD	-41,692.50	
29SEP17	S	1	PD08DEC17	6473.65	6787.71	USD	-7,851.50	
	NET	0AVG BGHT 6766.35	SOLD 6819.28				89,985.00	0.00
11SEP17	S	1	PD11DEC17	6674.50	6789.15	USD	-2,866.25	
11SEP17	S	1	PD11DEC17	6698.50	6789.15	USD	-2,266.25	
11SEP17	S	3	PD11DEC17	6687.00	6789.15	USD	-7,661.25	
11SEP17	S	1	PD11DEC17	6682.50	6789.15	USD	-2,666.25	
11SEP17	S	2	PD11DEC17	6688.00	6789.15	USD	-5,057.50	
11SEP17	S	1	PD11DEC17	6689.50	6789.15	USD	-2,491.25	

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	3	PD11DEC17	6686.50	6789.15	USD	-7,698.75	
11SEP17	S	1	PD11DEC17	6711.00	6789.15	USD	-1,953.75	
11SEP17	S	3	PD11DEC17	6741.50	6789.15	USD	-3,573.75	
11SEP17	S	1	PD11DEC17	6742.00	6789.15	USD	-1,178.75	
11SEP17	B	1	PD11DEC17	6736.00	6789.15	USD	1,328.75	
11SEP17	B	3	PD11DEC17	6725.50	6789.15	USD	4,773.75	
11SEP17	B	1	PD11DEC17	6726.00	6789.15	USD	1,578.75	
11SEP17	B	1	PD11DEC17	6732.00	6789.15	USD	1,428.75	
11SEP17	B	1	PD11DEC17	6731.00	6789.15	USD	1,453.75	
11SEP17	S	1	PD11DEC17	6728.50	6789.15	USD	-1,516.25	
11SEP17	B	1	PD11DEC17	6744.50	6789.15	USD	1,116.25	
11SEP17	B	1	PD11DEC17	6747.00	6789.15	USD	1,053.75	
11SEP17	B	3	PD11DEC17	6745.00	6789.15	USD	3,311.25	
11SEP17	B	1	PD11DEC17	6748.50	6789.15	USD	1,016.25	
11SEP17	B	2	PD11DEC17	6749.50	6789.15	USD	1,982.50	
22SEP17	B	3	PD11DEC17	6455.62	6789.15	USD	25,014.75	
	NET	0 AVG BGHT 6691.24	SOLD 6702.64				5,128.50	0.00
12SEP17	S	3	PD12DEC17	6734.00	6789.63	USD	-4,172.25	
12SEP17	S	1	PD12DEC17	6731.50	6789.63	USD	-1,453.25	
12SEP17	S	1	PD12DEC17	6728.00	6789.63	USD	-1,540.75	
12SEP17	S	1	PD12DEC17	6735.00	6789.63	USD	-1,365.75	
12SEP17	S	1	PD12DEC17	6722.50	6789.63	USD	-1,678.25	
12SEP17	S	1	PD12DEC17	6712.50	6789.63	USD	-1,928.25	
12SEP17	S	1	PD12DEC17	6714.00	6789.63	USD	-1,890.75	
12SEP17	S	1	PD12DEC17	6695.50	6789.63	USD	-2,353.25	
12SEP17	S	1	PD12DEC17	6698.50	6789.63	USD	-2,278.25	
12SEP17	S	1	PD12DEC17	6695.00	6789.63	USD	-2,365.75	
12SEP17	B	1	PD12DEC17	6720.00	6789.63	USD	1,740.75	
12SEP17	B	1	PD12DEC17	6719.50	6789.63	USD	1,753.25	
12SEP17	B	3	PD12DEC17	6718.00	6789.63	USD	5,372.25	
12SEP17	B	1	PD12DEC17	6721.00	6789.63	USD	1,715.75	
12SEP17	B	1	PD12DEC17	6641.00	6789.63	USD	3,715.75	
12SEP17	B	1	PD12DEC17	6640.00	6789.63	USD	3,740.75	
12SEP17	B	1	PD12DEC17	6641.50	6789.63	USD	3,703.25	
12SEP17	B	5	PD12DEC17	6642.00	6789.63	USD	18,453.75	
12SEP17	B	1	PD12DEC17	6653.00	6789.63	USD	3,415.75	
12SEP17	B	2	PD12DEC17	6654.50	6789.63	USD	6,756.50	
12SEP17	B	1	PD12DEC17	6656.50	6789.63	USD	3,328.25	
12SEP17	B	1	PD12DEC17	6656.00	6789.63	USD	3,340.75	
12SEP17	B	2	PD12DEC17	6671.00	6789.63	USD	5,931.50	
12SEP17	B	1	PD12DEC17	6669.50	6789.63	USD	3,003.25	
12SEP17	B	8	PD12DEC17	6668.00	6789.63	USD	24,326.00	
12SEP17	B	2	PD12DEC17	6668.50	6789.63	USD	6,056.50	
12SEP17	B	1	PD12DEC17	6667.50	6789.63	USD	3,053.25	
12SEP17	B	1	PD12DEC17	6670.50	6789.63	USD	2,978.25	
12SEP17	S	1	PD12DEC17	6668.50	6789.63	USD	-3,028.25	
15SEP17	S	4	PD12DEC17	6505.56	6789.63	USD	-28,407.00	
19SEP17	S	2	PD12DEC17	6536.23	6789.63	USD	-12,670.00	
22SEP17	S	14	PD12DEC17	6455.83	6789.63	USD	-116,830.00	
29SEP17	S	1	PD12DEC17	6475.52	6789.63	USD	-7,852.75	
	NET	0 AVG BGHT 6669.18	SOLD 6566.32				-87,429.00	0.00
13SEP17	S	4	PD13DEC17	6677.00	6790.10	USD	-11,310.00	
13SEP17	B	1	PD13DEC17	6684.00	6790.10	USD	2,652.50	
13SEP17	S	1	PD13DEC17	6686.50	6790.10	USD	-2,590.00	
13SEP17	S	1	PD13DEC17	6674.50	6790.10	USD	-2,890.00	
13SEP17	S	2	PD13DEC17	6673.50	6790.10	USD	-5,830.00	
13SEP17	S	3	PD13DEC17	6674.00	6790.10	USD	-8,707.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6640.50	6790.10	USD	3,740.00	
13SEP17	B	1	PD13DEC17	6630.00	6790.10	USD	4,002.50	
13SEP17	B	1	PD13DEC17	6562.00	6790.10	USD	5,702.50	
13SEP17	B	1	PD13DEC17	6564.50	6790.10	USD	5,640.00	
13SEP17	B	1	PD13DEC17	6567.50	6790.10	USD	5,565.00	
13SEP17	B	1	PD13DEC17	6569.50	6790.10	USD	5,515.00	
13SEP17	B	2	PD13DEC17	6570.50	6790.10	USD	10,980.00	
13SEP17	B	2	PD13DEC17	6571.00	6790.10	USD	10,955.00	
13SEP17	B	1	PD13DEC17	6572.00	6790.10	USD	5,452.50	
13SEP17	B	1	PD13DEC17	6572.50	6790.10	USD	5,440.00	
13SEP17	B	1	PD13DEC17	6574.00	6790.10	USD	5,402.50	
13SEP17	B	2	PD13DEC17	6568.50	6790.10	USD	11,080.00	
13SEP17	B	1	PD13DEC17	6567.00	6790.10	USD	5,577.50	
13SEP17	B	1	PD13DEC17	6574.50	6790.10	USD	5,390.00	
13SEP17	B	1	PD13DEC17	6573.50	6790.10	USD	5,415.00	
13SEP17	S	1	PD13DEC17	6563.50	6790.10	USD	-5,665.00	
13SEP17	S	1	PD13DEC17	6560.50	6790.10	USD	-5,740.00	
13SEP17	S	2	PD13DEC17	6560.00	6790.10	USD	-11,505.00	
13SEP17	B	3	PD13DEC17	6563.50	6790.10	USD	16,995.00	
13SEP17	B	1	PD13DEC17	6563.00	6790.10	USD	5,677.50	
13SEP17	S	1	PD13DEC17	6551.50	6790.10	USD	-5,965.00	
13SEP17	S	1	PD13DEC17	6550.00	6790.10	USD	-6,002.50	
13SEP17	B	1	PD13DEC17	6568.00	6790.10	USD	5,552.50	
13SEP17	B	1	PD13DEC17	6569.50	6790.10	USD	5,515.00	
19SEP17	S	4	PD13DEC17	6536.63	6790.10	USD	-25,347.00	
22SEP17	B	1	PD13DEC17	6456.45	6790.10	USD	8,341.25	
29SEP17	S	5	PD13DEC17	6475.98	6790.10	USD	-39,265.00	
	NET	0AVG BGHT 6573.81	SOLD 6588.84				9,774.25	0.00
14SEP17	B	1	PD14DEC17	6560.00	6790.58	USD	5,764.50	
14SEP17	B	1	PD14DEC17	6559.50	6790.58	USD	5,777.00	
14SEP17	B	1	PD14DEC17	6558.50	6790.58	USD	5,802.00	
14SEP17	S	1	PD14DEC17	6556.00	6790.58	USD	-5,864.50	
14SEP17	B	2	PD14DEC17	6566.00	6790.58	USD	11,229.00	
14SEP17	S	1	PD14DEC17	6566.50	6790.58	USD	-5,602.00	
14SEP17	B	2	PD14DEC17	6564.00	6790.58	USD	11,329.00	
14SEP17	S	1	PD14DEC17	6557.50	6790.58	USD	-5,827.00	
14SEP17	B	1	PD14DEC17	6526.50	6790.58	USD	6,602.00	
14SEP17	B	1	PD14DEC17	6506.50	6790.58	USD	7,102.00	
14SEP17	S	1	PD14DEC17	6516.00	6790.58	USD	-6,864.50	
14SEP17	B	1	PD14DEC17	6506.00	6790.58	USD	7,114.50	
14SEP17	S	2	PD14DEC17	6503.50	6790.58	USD	-14,354.00	
14SEP17	B	1	PD14DEC17	6504.50	6790.58	USD	7,152.00	
15SEP17	S	2	PD14DEC17	6506.39	6790.58	USD	-14,209.50	
19SEP17	S	2	PD14DEC17	6537.02	6790.58	USD	-12,678.00	
29SEP17	S	1	PD14DEC17	6476.45	6790.58	USD	-7,853.25	
	NET	0AVG BGHT 6543.77	SOLD 6524.21				-5,380.75	0.00
15SEP17	B	1	PD15DEC17	6489.50	6791.06	USD	7,539.00	
15SEP17	B	1	PD15DEC17	6488.50	6791.06	USD	7,564.00	
15SEP17	B	1	PD15DEC17	6489.00	6791.06	USD	7,551.50	
15SEP17	B	1	PD15DEC17	6490.00	6791.06	USD	7,526.50	
15SEP17	B	1	PD15DEC17	6497.00	6791.06	USD	7,351.50	
15SEP17	B	1	PD15DEC17	6488.00	6791.06	USD	7,576.50	
15SEP17	B	1	PD15DEC17	6475.00	6791.06	USD	7,901.50	
15SEP17	B	1	PD15DEC17	6476.00	6791.06	USD	7,876.50	
15SEP17	B	1	PD15DEC17	6476.50	6791.06	USD	7,864.00	
15SEP17	S	1	PD15DEC17	6467.00	6791.06	USD	-8,101.50	
15SEP17	S	1	PD15DEC17	6467.50	6791.06	USD	-8,089.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	6461.00	6791.06	USD	-16,503.00	
15SEP17	S	1	PD15DEC17	6462.00	6791.06	USD	-8,226.50	
15SEP17	S	1	PD15DEC17	6469.50	6791.06	USD	-8,039.00	
15SEP17	S	1	PD15DEC17	6465.00	6791.06	USD	-8,151.50	
15SEP17	S	1	PD15DEC17	6463.50	6791.06	USD	-8,189.00	
15SEP17	S	1	PD15DEC17	6459.00	6791.06	USD	-8,301.50	
15SEP17	S	2	PD15DEC17	6455.00	6791.06	USD	-16,803.00	
15SEP17	S	1	PD15DEC17	6457.00	6791.06	USD	-8,351.50	
15SEP17	B	1	PD15DEC17	6475.50	6791.06	USD	7,889.00	
15SEP17	B	1	PD15DEC17	6478.00	6791.06	USD	7,826.50	
15SEP17	S	2	PD15DEC17	6460.50	6791.06	USD	-16,528.00	
15SEP17	B	1	PD15DEC17	6495.00	6791.06	USD	7,401.50	
15SEP17	B	3	PD15DEC17	6497.50	6791.06	USD	22,017.00	
15SEP17	B	2	PD15DEC17	6502.00	6791.06	USD	14,453.00	
15SEP17	B	1	PD15DEC17	6501.00	6791.06	USD	7,251.50	
15SEP17	B	1	PD15DEC17	6501.50	6791.06	USD	7,239.00	
15SEP17	B	1	PD15DEC17	6512.00	6791.06	USD	6,976.50	
15SEP17	B	1	PD15DEC17	6511.50	6791.06	USD	6,989.00	
15SEP17	B	1	PD15DEC17	6510.50	6791.06	USD	7,014.00	
15SEP17	B	2	PD15DEC17	6506.50	6791.06	USD	14,228.00	
15SEP17	B	1	PD15DEC17	6482.00	6791.06	USD	7,726.50	
15SEP17	B	1	PD15DEC17	6483.00	6791.06	USD	7,701.50	
19SEP17	S	6	PD15DEC17	6537.42	6791.06	USD	-38,046.00	
29SEP17	S	6	PD15DEC17	6476.92	6791.06	USD	-47,121.00	
	NET	0 AVG BGHT 6493.42	SOLD 6482.67				-6,986.50	0.00
18SEP17	S	2	PD18DEC17	6533.50	6792.50	USD	-12,950.00	
18SEP17	S	1	PD18DEC17	6548.50	6792.50	USD	-6,100.00	
18SEP17	B	3	PD18DEC17	6550.00	6792.50	USD	18,187.50	
18SEP17	S	2	PD18DEC17	6547.00	6792.50	USD	-12,275.00	
18SEP17	S	1	PD18DEC17	6548.00	6792.50	USD	-6,112.50	
18SEP17	S	1	PD18DEC17	6550.50	6792.50	USD	-6,050.00	
18SEP17	S	1	PD18DEC17	6533.00	6792.50	USD	-6,487.50	
18SEP17	S	1	PD18DEC17	6541.00	6792.50	USD	-6,287.50	
18SEP17	S	1	PD18DEC17	6541.50	6792.50	USD	-6,275.00	
18SEP17	S	2	PD18DEC17	6552.00	6792.50	USD	-12,025.00	
18SEP17	S	1	PD18DEC17	6545.00	6792.50	USD	-6,187.50	
18SEP17	S	1	PD18DEC17	6547.50	6792.50	USD	-6,125.00	
18SEP17	S	2	PD18DEC17	6551.00	6792.50	USD	-12,075.00	
18SEP17	S	3	PD18DEC17	6550.00	6792.50	USD	-18,187.50	
18SEP17	S	1	PD18DEC17	6553.50	6792.50	USD	-5,975.00	
18SEP17	S	1	PD18DEC17	6536.00	6792.50	USD	-6,412.50	
18SEP17	S	1	PD18DEC17	6537.00	6792.50	USD	-6,387.50	
18SEP17	S	1	PD18DEC17	6535.50	6792.50	USD	-6,425.00	
19SEP17	B	12	PD18DEC17	6538.80	6792.50	USD	76,110.00	
22SEP17	B	8	PD18DEC17	6458.53	6792.50	USD	66,794.00	
	NET	0 AVG BGHT 6512.34	SOLD 6544.96				18,754.00	0.00
19SEP17	S	1	PD19DEC17	6536.00	6792.50	USD	-6,412.50	
19SEP17	S	1	PD19DEC17	6540.00	6792.50	USD	-6,312.50	
19SEP17	S	1	PD19DEC17	6523.50	6792.50	USD	-6,725.00	
19SEP17	S	1	PD19DEC17	6539.00	6792.50	USD	-6,337.50	
19SEP17	S	1	PD19DEC17	6539.50	6792.50	USD	-6,325.00	
19SEP17	S	2	PD19DEC17	6538.00	6792.50	USD	-12,725.00	
19SEP17	B	1	PD19DEC17	6513.50	6792.50	USD	6,975.00	
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
19SEP17	B	2	PD19DEC17	6539.20	6792.50	USD	12,665.00	
22SEP17	B	4	PD19DEC17	6458.95	6792.50	USD	33,355.00	
29SEP17	S	1	PD19DEC17	6478.78	6792.50	USD	-7,843.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6496.21	SOLD	6529.10		6,577.00	0.00
20SEP17	S	1	PD20DEC17		6569.00	6792.50	USD	-5,587.50	
20SEP17	S	1	PD20DEC17		6570.50	6792.50	USD	-5,550.00	
20SEP17	S	1	PD20DEC17		6576.00	6792.50	USD	-5,412.50	
20SEP17	S	1	PD20DEC17		6574.50	6792.50	USD	-5,450.00	
20SEP17	S	1	PD20DEC17		6573.00	6792.50	USD	-5,487.50	
20SEP17	B	1	PD20DEC17		6535.00	6792.50	USD	6,437.50	
20SEP17	B	1	PD20DEC17		6534.50	6792.50	USD	6,450.00	
20SEP17	B	1	PD20DEC17		6532.00	6792.50	USD	6,512.50	
20SEP17	B	2	PD20DEC17		6532.50	6792.50	USD	13,000.00	
20SEP17	B	1	PD20DEC17		6535.00	6792.50	USD	6,437.50	
29SEP17	S	1	PD20DEC17		6479.25	6792.50	USD	-7,831.25	
	NET	0 AVG	BGHT	6533.58	SOLD	6557.04		3,518.75	0.00
21SEP17	S	1	PD21DEC17		6508.00	6791.50	USD	-7,087.50	
21SEP17	S	1	PD21DEC17		6501.50	6791.50	USD	-7,250.00	
21SEP17	S	2	PD21DEC17		6496.50	6791.50	USD	-14,750.00	
21SEP17	S	1	PD21DEC17		6492.00	6791.50	USD	-7,487.50	
21SEP17	S	1	PD21DEC17		6480.00	6791.50	USD	-7,787.50	
21SEP17	S	1	PD21DEC17		6479.00	6791.50	USD	-7,812.50	
21SEP17	B	1	PD21DEC17		6440.00	6791.50	USD	8,787.50	
21SEP17	B	1	PD21DEC17		6439.00	6791.50	USD	8,812.50	
21SEP17	B	1	PD21DEC17		6440.50	6791.50	USD	8,775.00	
21SEP17	B	1	PD21DEC17		6442.00	6791.50	USD	8,737.50	
21SEP17	B	1	PD21DEC17		6466.00	6791.50	USD	8,137.50	
21SEP17	B	1	PD21DEC17		6470.50	6791.50	USD	8,025.00	
21SEP17	B	1	PD21DEC17		6468.00	6791.50	USD	8,087.50	
21SEP17	B	1	PD21DEC17		6476.00	6791.50	USD	7,887.50	
21SEP17	B	1	PD21DEC17		6475.00	6791.50	USD	7,912.50	
21SEP17	S	1	PD21DEC17		6477.50	6791.50	USD	-7,850.00	
21SEP17	B	1	PD21DEC17		6470.00	6791.50	USD	8,037.50	
21SEP17	S	1	PD21DEC17		6429.00	6791.50	USD	-9,062.50	
21SEP17	S	1	PD21DEC17		6427.50	6791.50	USD	-9,100.00	
21SEP17	S	1	PD21DEC17		6426.00	6791.50	USD	-9,137.50	
21SEP17	S	2	PD21DEC17		6427.00	6791.50	USD	-18,225.00	
21SEP17	S	1	PD21DEC17		6428.50	6791.50	USD	-9,075.00	
21SEP17	S	1	PD21DEC17		6430.50	6791.50	USD	-9,025.00	
21SEP17	S	1	PD21DEC17		6431.50	6791.50	USD	-9,000.00	
21SEP17	S	1	PD21DEC17		6432.00	6791.50	USD	-8,987.50	
21SEP17	S	1	PD21DEC17		6426.50	6791.50	USD	-9,125.00	
21SEP17	B	1	PD21DEC17		6445.00	6791.50	USD	8,662.50	
22SEP17	B	7	PD21DEC17		6456.95	6791.50	USD	58,546.25	
	NET	0 AVG	BGHT	6457.26	SOLD	6456.47		-353.75	0.00
22SEP17	B	1	PD22DEC17		6440.50	6790.50	USD	8,750.00	
22SEP17	B	1	PD22DEC17		6438.50	6790.50	USD	8,800.00	
22SEP17	B	1	PD22DEC17		6442.50	6790.50	USD	8,700.00	
22SEP17	B	1	PD22DEC17		6444.50	6790.50	USD	8,650.00	
22SEP17	B	1	PD22DEC17		6431.50	6790.50	USD	8,975.00	
22SEP17	B	2	PD22DEC17		6431.00	6790.50	USD	17,975.00	
22SEP17	S	1	PD22DEC17		6401.50	6790.50	USD	-9,725.00	
22SEP17	S	1	PD22DEC17		6408.50	6790.50	USD	-9,550.00	
22SEP17	S	2	PD22DEC17		6405.50	6790.50	USD	-19,250.00	
22SEP17	S	1	PD22DEC17		6412.50	6790.50	USD	-9,450.00	
22SEP17	S	1	PD22DEC17		6410.00	6790.50	USD	-9,512.50	
22SEP17	S	2	PD22DEC17		6410.50	6790.50	USD	-19,000.00	
22SEP17	S	1	PD22DEC17		6412.00	6790.50	USD	-9,462.50	
22SEP17	S	1	PD22DEC17		6414.00	6790.50	USD	-9,412.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	6405.00	6790.50	USD	-9,637.50	
22SEP17	S	2	PD22DEC17	6440.50	6790.50	USD	-17,500.00	
22SEP17	S	6	PD22DEC17	6440.00	6790.50	USD	-52,575.00	
22SEP17	S	2	PD22DEC17	6439.00	6790.50	USD	-17,575.00	
22SEP17	B	1	PD22DEC17	6467.50	6790.50	USD	8,075.00	
22SEP17	B	1	PD22DEC17	6466.00	6790.50	USD	8,112.50	
22SEP17	B	1	PD22DEC17	6468.00	6790.50	USD	8,062.50	
22SEP17	B	2	PD22DEC17	6469.00	6790.50	USD	16,075.00	
22SEP17	B	1	PD22DEC17	6468.50	6790.50	USD	8,050.00	
22SEP17	B	1	PD22DEC17	6472.50	6790.50	USD	7,950.00	
22SEP17	B	1	PD22DEC17	6472.00	6790.50	USD	7,962.50	
22SEP17	B	1	PD22DEC17	6473.00	6790.50	USD	7,937.50	
22SEP17	B	1	PD22DEC17	6470.00	6790.50	USD	8,012.50	
22SEP17	B	1	PD22DEC17	6459.00	6790.50	USD	8,287.50	
22SEP17	B	2	PD22DEC17	6456.00	6790.50	USD	16,725.00	
22SEP17	B	2	PD22DEC17	6457.50	6790.50	USD	16,650.00	
22SEP17	B	1	PD22DEC17	6452.50	6790.50	USD	8,450.00	
29SEP17	S	2	PD22DEC17	6477.25	6790.50	USD	-15,662.50	
	NET	0	AVG BGHT 6456.24	SOLD 6428.22			-16,112.50	0.00
25SEP17	B	1	PD27DEC17	6506.00	6793.00	USD	7,175.00	
25SEP17	B	1	PD27DEC17	6476.00	6793.00	USD	7,925.00	
25SEP17	S	4	PD27DEC17	6456.50	6793.00	USD	-33,650.00	
25SEP17	S	1	PD27DEC17	6455.50	6793.00	USD	-8,437.50	
25SEP17	S	2	PD27DEC17	6457.00	6793.00	USD	-16,800.00	
25SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
25SEP17	S	1	PD27DEC17	6464.00	6793.00	USD	-8,225.00	
25SEP17	B	1	PD27DEC17	6459.00	6793.00	USD	8,350.00	
25SEP17	B	4	PD27DEC17	6457.50	6793.00	USD	33,550.00	
25SEP17	S	1	PD27DEC17	6466.00	6793.00	USD	-8,175.00	
26SEP17	B	1	PD27DEC17	6499.00	6793.00	USD	7,350.00	
26SEP17	B	1	PD27DEC17	6500.00	6793.00	USD	7,325.00	
26SEP17	B	2	PD27DEC17	6499.50	6793.00	USD	14,675.00	
26SEP17	B	2	PD27DEC17	6500.50	6793.00	USD	14,625.00	
26SEP17	S	2	PD27DEC17	6524.50	6793.00	USD	-13,425.00	
26SEP17	S	1	PD27DEC17	6526.00	6793.00	USD	-6,675.00	
26SEP17	S	1	PD27DEC17	6519.50	6793.00	USD	-6,837.50	
26SEP17	S	1	PD27DEC17	6518.50	6793.00	USD	-6,862.50	
26SEP17	S	1	PD27DEC17	6518.00	6793.00	USD	-6,875.00	
26SEP17	S	1	PD27DEC17	6514.50	6793.00	USD	-6,962.50	
26SEP17	S	2	PD27DEC17	6522.00	6793.00	USD	-13,550.00	
26SEP17	B	1	PD27DEC17	6519.00	6793.00	USD	6,850.00	
26SEP17	B	2	PD27DEC17	6517.00	6793.00	USD	13,800.00	
26SEP17	S	1	PD27DEC17	6514.00	6793.00	USD	-6,975.00	
26SEP17	B	1	PD27DEC17	6507.50	6793.00	USD	7,137.50	
26SEP17	B	2	PD27DEC17	6505.00	6793.00	USD	14,400.00	
26SEP17	S	4	PD27DEC17	6517.00	6793.00	USD	-27,600.00	
26SEP17	S	2	PD27DEC17	6512.50	6793.00	USD	-14,025.00	
26SEP17	S	1	PD27DEC17	6510.00	6793.00	USD	-7,075.00	
26SEP17	B	6	PD27DEC17	6508.00	6793.00	USD	42,750.00	
26SEP17	B	4	PD27DEC17	6507.00	6793.00	USD	28,600.00	
26SEP17	B	1	PD27DEC17	6457.50	6793.00	USD	8,387.50	
26SEP17	B	1	PD27DEC17	6457.00	6793.00	USD	8,400.00	
26SEP17	B	1	PD27DEC17	6455.00	6793.00	USD	8,450.00	
26SEP17	B	2	PD27DEC17	6454.50	6793.00	USD	16,925.00	
26SEP17	B	2	PD27DEC17	6455.50	6793.00	USD	16,875.00	
26SEP17	B	1	PD27DEC17	6451.50	6793.00	USD	8,537.50	
26SEP17	B	1	PD27DEC17	6446.50	6793.00	USD	8,662.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6443.50	6793.00	USD	8,737.50	
26SEP17	B	2	PD27DEC17	6453.00	6793.00	USD	17,000.00	
26SEP17	B	1	PD27DEC17	6451.00	6793.00	USD	8,550.00	
26SEP17	B	1	PD27DEC17	6452.50	6793.00	USD	8,512.50	
26SEP17	B	1	PD27DEC17	6435.00	6793.00	USD	8,950.00	
26SEP17	B	1	PD27DEC17	6434.00	6793.00	USD	8,975.00	
26SEP17	B	2	PD27DEC17	6434.50	6793.00	USD	17,925.00	
26SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
26SEP17	B	1	PD27DEC17	6442.50	6793.00	USD	8,762.50	
26SEP17	B	1	PD27DEC17	6441.00	6793.00	USD	8,800.00	
26SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
26SEP17	B	1	PD27DEC17	6439.50	6793.00	USD	8,837.50	
26SEP17	B	1	PD27DEC17	6435.50	6793.00	USD	8,937.50	
26SEP17	B	1	PD27DEC17	6432.00	6793.00	USD	9,025.00	
26SEP17	B	1	PD27DEC17	6430.00	6793.00	USD	9,075.00	
26SEP17	B	2	PD27DEC17	6426.00	6793.00	USD	18,350.00	
26SEP17	B	1	PD27DEC17	6424.00	6793.00	USD	9,225.00	
26SEP17	B	1	PD27DEC17	6434.50	6793.00	USD	8,962.50	
27SEP17	S	1	PD27DEC17	6437.00	6793.00	USD	-8,900.00	
27SEP17	S	2	PD27DEC17	6436.50	6793.00	USD	-17,825.00	
27SEP17	S	1	PD27DEC17	6438.50	6793.00	USD	-8,862.50	
27SEP17	S	1	PD27DEC17	6422.00	6793.00	USD	-9,275.00	
27SEP17	S	1	PD27DEC17	6417.50	6793.00	USD	-9,387.50	
27SEP17	S	3	PD27DEC17	6418.50	6793.00	USD	-28,087.50	
27SEP17	S	4	PD27DEC17	6419.50	6793.00	USD	-37,350.00	
27SEP17	S	1	PD27DEC17	6423.50	6793.00	USD	-9,237.50	
27SEP17	S	1	PD27DEC17	6425.50	6793.00	USD	-9,187.50	
27SEP17	S	1	PD27DEC17	6443.50	6793.00	USD	-8,737.50	
27SEP17	S	1	PD27DEC17	6440.00	6793.00	USD	-8,825.00	
27SEP17	S	4	PD27DEC17	6435.00	6793.00	USD	-35,800.00	
27SEP17	S	2	PD27DEC17	6441.50	6793.00	USD	-17,575.00	
27SEP17	S	1	PD27DEC17	6434.50	6793.00	USD	-8,962.50	
27SEP17	S	4	PD27DEC17	6438.00	6793.00	USD	-35,500.00	
27SEP17	S	1	PD27DEC17	6421.00	6793.00	USD	-9,300.00	
27SEP17	S	2	PD27DEC17	6441.50	6793.00	USD	-17,575.00	
29SEP17	S	1	PD27DEC17	6479.93	6793.00	USD	-7,826.75	
	NET	0	AVG BGHT 6469.62	SOLD 6461.65			-11,751.75	0.00
28SEP17	B	4	PD28DEC17	6463.00	6793.47	USD	33,047.00	
28SEP17	B	5	PD28DEC17	6458.00	6793.47	USD	41,933.75	
28SEP17	B	2	PD28DEC17	6461.00	6793.47	USD	16,623.50	
28SEP17	B	1	PD28DEC17	6462.00	6793.47	USD	8,286.75	
28SEP17	B	2	PD28DEC17	6459.00	6793.47	USD	16,723.50	
28SEP17	S	1	PD28DEC17	6412.00	6793.47	USD	-9,536.75	
28SEP17	S	4	PD28DEC17	6404.00	6793.47	USD	-38,947.00	
28SEP17	S	4	PD28DEC17	6405.50	6793.47	USD	-38,797.00	
28SEP17	S	3	PD28DEC17	6423.00	6793.47	USD	-27,785.25	
28SEP17	B	3	PD28DEC17	6426.00	6793.47	USD	27,560.25	
28SEP17	B	4	PD28DEC17	6406.00	6793.47	USD	38,747.00	
28SEP17	S	11	PD28DEC17	6408.50	6793.47	USD	-105,866.75	
28SEP17	S	1	PD28DEC17	6409.50	6793.47	USD	-9,599.25	
28SEP17	S	2	PD28DEC17	6409.00	6793.47	USD	-19,223.50	
28SEP17	S	4	PD28DEC17	6408.00	6793.47	USD	-38,547.00	
28SEP17	S	2	PD28DEC17	6401.50	6793.47	USD	-19,598.50	
28SEP17	S	1	PD28DEC17	6400.50	6793.47	USD	-9,824.25	
28SEP17	S	2	PD28DEC17	6400.00	6793.47	USD	-19,673.50	
28SEP17	S	1	PD28DEC17	6406.00	6793.47	USD	-9,686.75	
28SEP17	B	2	PD28DEC17	6433.00	6793.47	USD	18,023.50	

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28SEP17	B	1	PD28DEC17	6434.00	6793.47	USD	8,986.75	
28SEP17	B	1	PD28DEC17	6432.50	6793.47	USD	9,024.25	
28SEP17	B	1	PD28DEC17	6428.00	6793.47	USD	9,136.75	
28SEP17	B	1	PD28DEC17	6437.00	6793.47	USD	8,911.75	
28SEP17	B	1	PD28DEC17	6436.50	6793.47	USD	8,924.25	
28SEP17	B	2	PD28DEC17	6440.00	6793.47	USD	17,673.50	
28SEP17	B	4	PD28DEC17	6441.50	6793.47	USD	35,197.00	
28SEP17	B	2	PD28DEC17	6440.50	6793.47	USD	17,648.50	
28SEP17	B	8	PD28DEC17	6441.00	6793.47	USD	70,494.00	
28SEP17	B	6	PD28DEC17	6442.00	6793.47	USD	52,720.50	
28SEP17	B	1	PD28DEC17	6438.50	6793.47	USD	8,874.25	
28SEP17	B	2	PD28DEC17	6438.00	6793.47	USD	17,773.50	
28SEP17	B	4	PD28DEC17	6433.50	6793.47	USD	35,997.00	
28SEP17	S	1	PD28DEC17	6433.00	6793.47	USD	-9,011.75	
28SEP17	B	1	PD28DEC17	6466.50	6793.47	USD	8,174.25	
28SEP17	S	1	PD28DEC17	6462.50	6793.47	USD	-8,274.25	
28SEP17	B	2	PD28DEC17	6471.00	6793.47	USD	16,123.50	
28SEP17	B	1	PD28DEC17	6471.50	6793.47	USD	8,049.25	
28SEP17	B	1	PD28DEC17	6479.00	6793.47	USD	7,861.75	
28SEP17	B	2	PD28DEC17	6460.00	6793.47	USD	16,673.50	
28SEP17	S	1	PD28DEC17	6461.00	6793.47	USD	-8,311.75	
28SEP17	B	3	PD28DEC17	6472.00	6793.47	USD	24,110.25	
28SEP17	B	3	PD28DEC17	6475.00	6793.47	USD	23,885.25	
28SEP17	B	2	PD28DEC17	6475.50	6793.47	USD	15,898.50	
28SEP17	B	2	PD28DEC17	6478.00	6793.47	USD	15,773.50	
28SEP17	B	1	PD28DEC17	6486.00	6793.47	USD	7,686.75	
28SEP17	B	1	PD28DEC17	6488.00	6793.47	USD	7,636.75	
28SEP17	B	1	PD28DEC17	6515.00	6793.47	USD	6,961.75	
28SEP17	S	1	PD28DEC17	6509.00	6793.47	USD	-7,111.75	
28SEP17	B	2	PD28DEC17	6514.50	6793.47	USD	13,948.50	
28SEP17	B	1	PD28DEC17	6517.00	6793.47	USD	6,911.75	
28SEP17	B	1	PD28DEC17	6516.00	6793.47	USD	6,936.75	
28SEP17	B	1	PD28DEC17	6517.50	6793.47	USD	6,899.25	
28SEP17	B	2	PD28DEC17	6527.50	6793.47	USD	13,298.50	
28SEP17	B	1	PD28DEC17	6526.00	6793.47	USD	6,686.75	
28SEP17	B	2	PD28DEC17	6522.00	6793.47	USD	13,573.50	
28SEP17	B	2	PD28DEC17	6524.50	6793.47	USD	13,448.50	
28SEP17	B	1	PD28DEC17	6524.00	6793.47	USD	6,736.75	
28SEP17	S	1	PD28DEC17	6522.00	6793.47	USD	-6,786.75	
28SEP17	S	2	PD28DEC17	6521.50	6793.47	USD	-13,598.50	
28SEP17	S	8	PD28DEC17	6517.00	6793.47	USD	-55,294.00	
28SEP17	S	1	PD28DEC17	6510.00	6793.47	USD	-7,086.75	
28SEP17	S	3	PD28DEC17	6510.50	6793.47	USD	-21,222.75	
28SEP17	S	4	PD28DEC17	6508.50	6793.47	USD	-28,497.00	
28SEP17	S	1	PD28DEC17	6511.00	6793.47	USD	-7,061.75	
28SEP17	S	2	PD28DEC17	6514.00	6793.47	USD	-13,973.50	
28SEP17	S	1	PD28DEC17	6514.50	6793.47	USD	-6,974.25	
28SEP17	S	5	PD28DEC17	6513.00	6793.47	USD	-35,058.75	
28SEP17	S	4	PD28DEC17	6516.50	6793.47	USD	-27,697.00	
28SEP17	S	1	PD28DEC17	6517.50	6793.47	USD	-6,899.25	
28SEP17	S	2	PD28DEC17	6523.50	6793.47	USD	-13,498.50	
28SEP17	S	4	PD28DEC17	6527.00	6793.47	USD	-26,647.00	
28SEP17	S	1	PD28DEC17	6531.50	6793.47	USD	-6,549.25	
28SEP17	S	1	PD28DEC17	6533.50	6793.47	USD	-6,499.25	
28SEP17	S	1	PD28DEC17	6534.50	6793.47	USD	-6,474.25	
28SEP17	B	1	PD28DEC17	6561.00	6793.47	USD	5,811.75	
28SEP17	S	1	PD28DEC17	6518.00	6793.47	USD	-6,886.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	6533.50	6793.47	USD	-6,499.25	
28SEP17	S	1	PD28DEC17	6533.00	6793.47	USD	-6,511.75	
28SEP17	S	1	PD28DEC17	6573.00	6793.47	USD	-5,511.75	
28SEP17	S	1	PD28DEC17	6572.50	6793.47	USD	-5,524.25	
29SEP17	S	4	PD28DEC17	6480.46	6793.47	USD	-31,301.00	
	NET	0 AVG BGHT 6461.43	SOLD 6471.78				23,546.00	0.00
29SEP17	S	1	PD29DEC17	6539.00	6793.93	USD	-6,373.25	
29SEP17	S	1	PD29DEC17	6539.50	6793.93	USD	-6,360.75	
29SEP17	S	1	PD29DEC17	6540.50	6793.93	USD	-6,335.75	
29SEP17	S	1	PD29DEC17	6537.50	6793.93	USD	-6,410.75	
29SEP17	S	4	PD29DEC17	6536.00	6793.93	USD	-25,793.00	
29SEP17	S	4	PD29DEC17	6535.00	6793.93	USD	-25,893.00	
29SEP17	S	1	PD29DEC17	6531.00	6793.93	USD	-6,573.25	
29SEP17	S	1	PD29DEC17	6534.50	6793.93	USD	-6,485.75	
29SEP17	B	2	PD29DEC17	6534.50	6793.93	USD	12,971.50	
29SEP17	S	2	PD29DEC17	6530.50	6793.93	USD	-13,171.50	
29SEP17	S	1	PD29DEC17	6530.00	6793.93	USD	-6,598.25	
29SEP17	B	1	PD29DEC17	6530.50	6793.93	USD	6,585.75	
29SEP17	S	6	PD29DEC17	6534.00	6793.93	USD	-38,989.50	
29SEP17	S	3	PD29DEC17	6525.50	6793.93	USD	-20,132.25	
29SEP17	B	23	PD29DEC17	6481.20	6793.93	USD	179,819.75	
	NET	0 AVG BGHT 6487.20	SOLD 6533.75				30,260.00	0.00
09OCT17	S	1	PD09JAN18	6674.00	6799.07	USD	-3,126.75	
09OCT17	B	1	PD09JAN18	6644.50	6799.07	USD	3,864.25	
09OCT17	S	1	PD09JAN18	6641.00	6799.07	USD	-3,951.75	
09OCT17	B	1	PD09JAN18	6649.50	6799.07	USD	3,739.25	
09OCT17	B	1	PD09JAN18	6679.00	6799.07	USD	3,001.75	
09OCT17	B	1	PD09JAN18	6682.00	6799.07	USD	2,926.75	
09OCT17	B	3	PD09JAN18	6643.50	6799.07	USD	11,667.75	
09OCT17	S	1	PD09JAN18	6677.00	6799.07	USD	-3,051.75	
09OCT17	B	1	PD09JAN18	6679.50	6799.07	USD	2,989.25	
09OCT17	B	2	PD09JAN18	6681.00	6799.07	USD	5,903.50	
09OCT17	S	1	PD09JAN18	6679.00	6799.07	USD	-3,001.75	
09OCT17	S	1	PD09JAN18	6678.50	6799.07	USD	-3,014.25	
09OCT17	S	1	PD09JAN18	6675.50	6799.07	USD	-3,089.25	
09OCT17	S	2	PD09JAN18	6678.00	6799.07	USD	-6,053.50	
09OCT17	B	2	PD09JAN18	6680.00	6799.07	USD	5,953.50	
09OCT17	B	2	PD09JAN18	6681.50	6799.07	USD	5,878.50	
09OCT17	B	2	PD09JAN18	6682.50	6799.07	USD	5,828.50	
09OCT17	B	1	PD09JAN18	6683.50	6799.07	USD	2,889.25	
09OCT17	S	2	PD09JAN18	6680.00	6799.07	USD	-5,953.50	
09OCT17	B	1	PD09JAN18	6677.50	6799.07	USD	3,039.25	
09OCT17	B	1	PD09JAN18	6678.00	6799.07	USD	3,026.75	
09OCT17	S	3	PD09JAN18	6638.00	6799.07	USD	-12,080.25	
09OCT17	S	1	PD09JAN18	6676.00	6799.07	USD	-3,076.75	
09OCT17	S	1	PD09JAN18	6673.00	6799.07	USD	-3,151.75	
09OCT17	S	2	PD09JAN18	6675.00	6799.07	USD	-6,203.50	
09OCT17	S	1	PD09JAN18	6673.50	6799.07	USD	-3,139.25	
09OCT17	S	1	PD09JAN18	6678.50	6799.07	USD	-3,014.25	
09OCT17	B	1	PD09JAN18	6678.50	6799.07	USD	3,014.25	
09OCT17	B	1	PD09JAN18	6666.00	6799.07	USD	3,326.75	
09OCT17	B	2	PD09JAN18	6667.50	6799.07	USD	6,578.50	
09OCT17	B	3	PD09JAN18	6669.00	6799.07	USD	9,755.25	
09OCT17	B	1	PD09JAN18	6671.00	6799.07	USD	3,201.75	
09OCT17	S	1	PD09JAN18	6669.50	6799.07	USD	-3,239.25	
	NET	+7 AVG BGHT 6670.80	SOLD 6668.78				21,437.25	0.00

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	6688.50	6799.53	USD	-2,775.75	
10OCT17	B	1	PD10JAN18	6692.50	6799.53	USD	2,675.75	
10OCT17	B	2	PD10JAN18	6693.50	6799.53	USD	5,301.50	
10OCT17	B	2	PD10JAN18	6695.50	6799.53	USD	5,201.50	
10OCT17	B	5	PD10JAN18	6696.00	6799.53	USD	12,941.25	
10OCT17	B	2	PD10JAN18	6704.50	6799.53	USD	4,751.50	
10OCT17	B	1	PD10JAN18	6707.00	6799.53	USD	2,313.25	
10OCT17	B	3	PD10JAN18	6705.00	6799.53	USD	7,089.75	
10OCT17	B	2	PD10JAN18	6725.00	6799.53	USD	3,726.50	
10OCT17	B	1	PD10JAN18	6726.00	6799.53	USD	1,838.25	
10OCT17	B	1	PD10JAN18	6723.00	6799.53	USD	1,913.25	
10OCT17	S	1	PD10JAN18	6715.50	6799.53	USD	-2,100.75	
10OCT17	S	1	PD10JAN18	6714.50	6799.53	USD	-2,125.75	
10OCT17	S	1	PD10JAN18	6713.00	6799.53	USD	-2,163.25	
10OCT17	S	1	PD10JAN18	6704.00	6799.53	USD	-2,388.25	
10OCT17	S	1	PD10JAN18	6700.00	6799.53	USD	-2,488.25	
10OCT17	S	2	PD10JAN18	6706.00	6799.53	USD	-4,676.50	
10OCT17	S	3	PD10JAN18	6706.50	6799.53	USD	-6,977.25	
10OCT17	B	2	PD10JAN18	6705.50	6799.53	USD	4,701.50	
10OCT17	B	3	PD10JAN18	6709.00	6799.53	USD	6,789.75	
10OCT17	B	1	PD10JAN18	6708.50	6799.53	USD	2,275.75	
10OCT17	B	1	PD10JAN18	6708.00	6799.53	USD	2,288.25	
10OCT17	S	2	PD10JAN18	6686.00	6799.53	USD	-5,676.50	
10OCT17	S	1	PD10JAN18	6686.50	6799.53	USD	-2,825.75	
10OCT17	S	1	PD10JAN18	6687.50	6799.53	USD	-2,800.75	
10OCT17	B	1	PD10JAN18	6686.50	6799.53	USD	2,825.75	
10OCT17	B	1	PD10JAN18	6684.00	6799.53	USD	2,888.25	
10OCT17	B	1	PD10JAN18	6683.00	6799.53	USD	2,913.25	
10OCT17	B	1	PD10JAN18	6682.50	6799.53	USD	2,925.75	
10OCT17	B	2	PD10JAN18	6690.50	6799.53	USD	5,451.50	
10OCT17	B	2	PD10JAN18	6695.00	6799.53	USD	5,226.50	
10OCT17	B	1	PD10JAN18	6698.00	6799.53	USD	2,538.25	
10OCT17	B	2	PD10JAN18	6689.00	6799.53	USD	5,526.50	
10OCT17	B	1	PD10JAN18	6694.50	6799.53	USD	2,625.75	
10OCT17	B	1	PD10JAN18	6747.00	6799.53	USD	1,313.25	
10OCT17	B	2	PD10JAN18	6749.00	6799.53	USD	2,526.50	
10OCT17	B	1	PD10JAN18	6759.50	6799.53	USD	1,000.75	
10OCT17	B	2	PD10JAN18	6747.50	6799.53	USD	2,601.50	
10OCT17	B	3	PD10JAN18	6753.00	6799.53	USD	3,489.75	
10OCT17	B	1	PD10JAN18	6752.50	6799.53	USD	1,175.75	
10OCT17	B	1	PD10JAN18	6751.50	6799.53	USD	1,200.75	
10OCT17	B	1	PD10JAN18	6751.00	6799.53	USD	1,213.25	
10OCT17	B	2	PD10JAN18	6754.00	6799.53	USD	2,276.50	
10OCT17	B	3	PD10JAN18	6748.00	6799.53	USD	3,864.75	
10OCT17	B	7	PD10JAN18	6748.50	6799.53	USD	8,930.25	
10OCT17	B	1	PD10JAN18	6743.50	6799.53	USD	1,400.75	
10OCT17	B	2	PD10JAN18	6741.00	6799.53	USD	2,926.50	
10OCT17	B	2	PD10JAN18	6746.00	6799.53	USD	2,676.50	
	NET	+53 AVG BGHT 6721.10	SOLD 6700.87				96,327.25	0.00
11OCT17	B	1	PD11JAN18	6742.00	6800.00	USD	1,450.00	
11OCT17	B	1	PD11JAN18	6734.50	6800.00	USD	1,637.50	
11OCT17	B	1	PD11JAN18	6732.50	6800.00	USD	1,687.50	
11OCT17	B	1	PD11JAN18	6740.00	6800.00	USD	1,500.00	
11OCT17	S	1	PD11JAN18	6751.00	6800.00	USD	-1,225.00	
11OCT17	S	1	PD11JAN18	6750.50	6800.00	USD	-1,237.50	
11OCT17	S	1	PD11JAN18	6754.50	6800.00	USD	-1,137.50	
11OCT17	S	1	PD11JAN18	6760.50	6800.00	USD	-987.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	6759.00	6800.00	USD	-1,025.00	
11OCT17	S	2	PD11JAN18	6760.00	6800.00	USD	-2,000.00	
11OCT17	S	3	PD11JAN18	6759.50	6800.00	USD	-3,037.50	
11OCT17	S	2	PD11JAN18	6758.50	6800.00	USD	-2,075.00	
11OCT17	S	3	PD11JAN18	6758.00	6800.00	USD	-3,150.00	
11OCT17	S	2	PD11JAN18	6756.00	6800.00	USD	-2,200.00	
11OCT17	S	1	PD11JAN18	6745.50	6800.00	USD	-1,362.50	
11OCT17	B	1	PD11JAN18	6761.00	6800.00	USD	975.00	
11OCT17	B	2	PD11JAN18	6773.00	6800.00	USD	1,350.00	
11OCT17	B	1	PD11JAN18	6771.00	6800.00	USD	725.00	
11OCT17	B	1	PD11JAN18	6771.50	6800.00	USD	712.50	
11OCT17	B	1	PD11JAN18	6769.50	6800.00	USD	762.50	
11OCT17	B	1	PD11JAN18	6767.50	6800.00	USD	812.50	
11OCT17	B	1	PD11JAN18	6768.50	6800.00	USD	787.50	
11OCT17	B	4	PD11JAN18	6770.00	6800.00	USD	3,000.00	
11OCT17	B	1	PD11JAN18	6766.50	6800.00	USD	837.50	
11OCT17	B	3	PD11JAN18	6772.00	6800.00	USD	2,100.00	
11OCT17	B	3	PD11JAN18	6774.50	6800.00	USD	1,912.50	
11OCT17	B	1	PD11JAN18	6781.50	6800.00	USD	462.50	
11OCT17	B	1	PD11JAN18	6784.00	6800.00	USD	400.00	
11OCT17	B	1	PD11JAN18	6784.50	6800.00	USD	387.50	
11OCT17	B	1	PD11JAN18	6783.50	6800.00	USD	412.50	
11OCT17	B	1	PD11JAN18	6788.00	6800.00	USD	300.00	
11OCT17	B	1	PD11JAN18	6789.00	6800.00	USD	275.00	
11OCT17	S	1	PD11JAN18	6788.50	6800.00	USD	-287.50	
11OCT17	S	1	PD11JAN18	6792.50	6800.00	USD	-187.50	
11OCT17	B	1	PD11JAN18	6792.00	6800.00	USD	200.00	
11OCT17	B	1	PD11JAN18	6791.50	6800.00	USD	212.50	
11OCT17	S	1	PD11JAN18	6791.00	6800.00	USD	-225.00	
11OCT17	S	1	PD11JAN18	6774.50	6800.00	USD	-637.50	
11OCT17	S	3	PD11JAN18	6773.00	6800.00	USD	-2,025.00	
11OCT17	B	1	PD11JAN18	6769.00	6800.00	USD	775.00	
11OCT17	S	1	PD11JAN18	6788.50	6800.00	USD	-287.50	
11OCT17	S	1	PD11JAN18	6789.00	6800.00	USD	-275.00	
11OCT17	B	1	PD11JAN18	6792.50	6800.00	USD	187.50	
11OCT17	B	1	PD11JAN18	6782.50	6800.00	USD	437.50	
11OCT17	B	1	PD11JAN18	6787.00	6800.00	USD	325.00	
11OCT17	B	2	PD11JAN18	6793.50	6800.00	USD	325.00	
11OCT17	B	1	PD11JAN18	6794.50	6800.00	USD	137.50	
11OCT17	B	1	PD11JAN18	6799.50	6800.00	USD	12.50	
11OCT17	B	2	PD11JAN18	6804.00	6800.00	USD	-200.00	
11OCT17	B	1	PD11JAN18	6803.50	6800.00	USD	-87.50	
11OCT17	B	2	PD11JAN18	6807.00	6800.00	USD	-350.00	
11OCT17	B	1	PD11JAN18	6809.50	6800.00	USD	-237.50	
11OCT17	S	1	PD11JAN18	6810.50	6800.00	USD	262.50	
11OCT17	B	1	PD11JAN18	6810.00	6800.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	6798.00	6800.00	USD	50.00	
11OCT17	B	1	PD11JAN18	6796.50	6800.00	USD	87.50	
11OCT17	B	1	PD11JAN18	6802.00	6800.00	USD	-50.00	
11OCT17	S	1	PD11JAN18	6802.00	6800.00	USD	50.00	
11OCT17	B	8	PD11JAN18	6803.00	6800.00	USD	-600.00	
11OCT17	B	4	PD11JAN18	6802.50	6800.00	USD	-250.00	
11OCT17	B	1	PD11JAN18	6808.00	6800.00	USD	-200.00	
11OCT17	S	2	PD11JAN18	6809.00	6800.00	USD	450.00	
	NET	+31 AVG BGHT 6785.15	SOLD 6770.84				412.50	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	2	DEC17	1283.4	1288.9	USD	1,100.00	

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	CMX GOLD 100 CMX					
09OCT17	B 1 DEC17	1283.8	1288.9	USD	510.00	
09OCT17	B 1 DEC17	1283.6	1288.9	USD	530.00	
09OCT17	B 1 DEC17	1283.1	1288.9	USD	580.00	
09OCT17	B 2 DEC17	1283.0	1288.9	USD	1,180.00	
09OCT17	B 5 DEC17	1283.2	1288.9	USD	2,850.00	
09OCT17	B 5 DEC17	1284.1	1288.9	USD	2,400.00	
09OCT17	B 1 DEC17	1283.9	1288.9	USD	500.00	
09OCT17	B 4 DEC17	1284.0	1288.9	USD	1,960.00	
09OCT17	B 2 DEC17	1283.7	1288.9	USD	1,040.00	
	NET +24 AVG BGHT 1283.6				12,650.00	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
09OCT17	B 1 NOV17	2.86800	2.88900	USD	210.00	
09OCT17	B 1 NOV17	2.87100	2.88900	USD	180.00	
09OCT17	B 4 NOV17	2.86500	2.88900	USD	960.00	
10OCT17	B 17 NOV17	2.84100	2.88900	USD	8,160.00	
10OCT17	B 6 NOV17	2.84200	2.88900	USD	2,820.00	
10OCT17	B 1 NOV17	2.84300	2.88900	USD	460.00	
	NET +30 AVG BGHT 2.84637				12,790.00	0.00
	LME LEAD 25 TONNES. THIS IS AN					
	LME REGISTERED CLIENT CONTRACT					
29SEP17	S 5 PD02NOV17	2515.49	2556.89	USD	-5,175.00	
	NET -5 AVG SOLD 2515.49				-5,175.00	0.00
04AUG17	B 2 PD03NOV17	2366.50	2557.04	USD	9,527.00	
04AUG17	B 1 PD03NOV17	2364.00	2557.04	USD	4,826.00	
04AUG17	B 2 PD03NOV17	2365.00	2557.04	USD	9,602.00	
04AUG17	B 2 PD03NOV17	2355.00	2557.04	USD	10,102.00	
04AUG17	B 5 PD03NOV17	2355.50	2557.04	USD	25,192.50	
04AUG17	B 7 PD03NOV17	2356.00	2557.04	USD	35,182.00	
04AUG17	B 1 PD03NOV17	2357.00	2557.04	USD	5,001.00	
04AUG17	B 8 PD03NOV17	2356.50	2557.04	USD	40,108.00	
04AUG17	B 2 PD03NOV17	2354.50	2557.04	USD	10,127.00	
04AUG17	B 4 PD03NOV17	2350.50	2557.04	USD	20,654.00	
04AUG17	B 3 PD03NOV17	2351.00	2557.04	USD	15,453.00	
04AUG17	B 3 PD03NOV17	2350.00	2557.04	USD	15,528.00	
04AUG17	B 6 PD03NOV17	2366.00	2557.04	USD	28,656.00	
04AUG17	B 4 PD03NOV17	2365.50	2557.04	USD	19,154.00	
14AUG17	S 34 PD03NOV17	2332.80	2557.04	USD	-190,604.00	
18AUG17	S 8 PD03NOV17	2358.23	2557.04	USD	-39,762.00	
22AUG17	S 3 PD03NOV17	2411.75	2557.04	USD	-10,896.75	
23AUG17	S 5 PD03NOV17	2372.25	2557.04	USD	-23,098.75	
	NET 0 AVG BGHT 2357.75 SOLD 2345.55				-15,249.00	0.00
07AUG17	B 3 PD07NOV17	2384.50	2557.61	USD	12,983.25	
07AUG17	B 1 PD07NOV17	2385.00	2557.61	USD	4,315.25	
07AUG17	B 1 PD07NOV17	2381.00	2557.61	USD	4,415.25	
07AUG17	B 1 PD07NOV17	2380.50	2557.61	USD	4,427.75	
07AUG17	S 2 PD07NOV17	2380.50	2557.61	USD	-8,855.50	
07AUG17	B 2 PD07NOV17	2383.00	2557.61	USD	8,730.50	
07AUG17	S 2 PD07NOV17	2381.00	2557.61	USD	-8,830.50	
07AUG17	B 2 PD07NOV17	2375.00	2557.61	USD	9,130.50	
07AUG17	B 2 PD07NOV17	2382.50	2557.61	USD	8,755.50	
07AUG17	B 2 PD07NOV17	2374.50	2557.61	USD	9,155.50	
07AUG17	B 1 PD07NOV17	2373.50	2557.61	USD	4,602.75	
07AUG17	B 1 PD07NOV17	2366.00	2557.61	USD	4,790.25	
07AUG17	B 1 PD07NOV17	2364.00	2557.61	USD	4,840.25	
07AUG17	B 1 PD07NOV17	2363.50	2557.61	USD	4,852.75	
07AUG17	B 1 PD07NOV17	2363.00	2557.61	USD	4,865.25	
07AUG17	S 2 PD07NOV17	2369.00	2557.61	USD	-9,430.50	

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14AUG17	S	11	PD07NOV17		2333.60	2557.61	USD	-61,602.75	
22AUG17	S	2	PD07NOV17		2412.75	2557.61	USD	-7,243.00	
	NET	0AVG	BGHT	2376.84				SOLD 2355.58	0.00
08AUG17	B	1	PD08NOV17		2378.50	2557.75	USD	4,481.25	
08AUG17	B	1	PD08NOV17		2379.50	2557.75	USD	4,456.25	
08AUG17	B	1	PD08NOV17		2346.00	2557.75	USD	5,293.75	
08AUG17	B	1	PD08NOV17		2345.50	2557.75	USD	5,306.25	
08AUG17	S	1	PD08NOV17		2345.00	2557.75	USD	-5,318.75	
08AUG17	B	1	PD08NOV17		2393.50	2557.75	USD	4,106.25	
08AUG17	B	3	PD08NOV17		2394.00	2557.75	USD	12,281.25	
14AUG17	S	7	PD08NOV17		2333.80	2557.75	USD	-39,191.25	
	NET	0AVG	BGHT	2378.13				SOLD 2335.20	0.00
09AUG17	S	2	PD09NOV17		2385.50	2557.89	USD	-8,619.50	
09AUG17	S	1	PD09NOV17		2395.50	2557.89	USD	-4,059.75	
09AUG17	S	2	PD09NOV17		2411.50	2557.89	USD	-7,319.50	
09AUG17	S	2	PD09NOV17		2411.00	2557.89	USD	-7,344.50	
09AUG17	S	1	PD09NOV17		2406.50	2557.89	USD	-3,784.75	
09AUG17	B	1	PD09NOV17		2405.00	2557.89	USD	3,822.25	
09AUG17	S	1	PD09NOV17		2406.00	2557.89	USD	-3,797.25	
09AUG17	S	1	PD09NOV17		2402.00	2557.89	USD	-3,897.25	
09AUG17	S	9	PD09NOV17		2403.00	2557.89	USD	-34,850.25	
09AUG17	S	3	PD09NOV17		2401.50	2557.89	USD	-11,729.25	
09AUG17	S	3	PD09NOV17		2402.50	2557.89	USD	-11,654.25	
09AUG17	S	1	PD09NOV17		2402.50	2557.89	USD	-3,884.75	
09AUG17	S	2	PD09NOV17		2367.00	2557.89	USD	-9,544.50	
09AUG17	S	1	PD09NOV17		2368.00	2557.89	USD	-4,747.25	
09AUG17	S	1	PD09NOV17		2348.00	2557.89	USD	-5,247.25	
09AUG17	S	1	PD09NOV17		2348.50	2557.89	USD	-5,234.75	
09AUG17	S	1	PD09NOV17		2350.50	2557.89	USD	-5,184.75	
09AUG17	S	1	PD09NOV17		2352.50	2557.89	USD	-5,134.75	
09AUG17	S	2	PD09NOV17		2351.00	2557.89	USD	-10,344.50	
09AUG17	S	4	PD09NOV17		2352.00	2557.89	USD	-20,589.00	
09AUG17	S	2	PD09NOV17		2351.50	2557.89	USD	-10,319.50	
09AUG17	B	2	PD09NOV17		2354.00	2557.89	USD	10,194.50	
09AUG17	S	1	PD09NOV17		2358.50	2557.89	USD	-4,984.75	
09AUG17	S	1	PD09NOV17		2359.00	2557.89	USD	-4,972.25	
09AUG17	S	1	PD09NOV17		2350.00	2557.89	USD	-5,197.25	
09AUG17	S	1	PD09NOV17		2355.00	2557.89	USD	-5,072.25	
14AUG17	B	36	PD09NOV17		2334.20	2557.89	USD	201,321.00	
22AUG17	B	5	PD09NOV17		2413.45	2557.89	USD	18,055.00	
15SEP17	B	1	PD09NOV17		2348.82	2557.89	USD	5,226.75	
	NET	0AVG	BGHT	2345.78				SOLD 2382.32	0.00
10AUG17	S	1	PD10NOV17		2385.50	2558.04	USD	-4,313.50	
10AUG17	S	1	PD10NOV17		2386.50	2558.04	USD	-4,288.50	
10AUG17	S	1	PD10NOV17		2390.50	2558.04	USD	-4,188.50	
10AUG17	S	1	PD10NOV17		2391.50	2558.04	USD	-4,163.50	
10AUG17	S	6	PD10NOV17		2388.00	2558.04	USD	-25,506.00	
10AUG17	S	1	PD10NOV17		2390.00	2558.04	USD	-4,201.00	
10AUG17	B	1	PD10NOV17		2375.00	2558.04	USD	4,576.00	
10AUG17	B	1	PD10NOV17		2370.00	2558.04	USD	4,701.00	
10AUG17	B	3	PD10NOV17		2371.50	2558.04	USD	13,990.50	
10AUG17	S	1	PD10NOV17		2389.00	2558.04	USD	-4,226.00	
10AUG17	S	3	PD10NOV17		2388.00	2558.04	USD	-12,753.00	
10AUG17	S	1	PD10NOV17		2356.00	2558.04	USD	-5,051.00	
10AUG17	S	3	PD10NOV17		2355.50	2558.04	USD	-15,190.50	
10AUG17	S	3	PD10NOV17		2358.00	2558.04	USD	-15,003.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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10AUG17	S	3	PD10NOV17	2357.50	2558.04	USD	-15,040.50	
10AUG17	S	1	PD10NOV17	2387.00	2558.04	USD	-4,276.00	
10AUG17	S	2	PD10NOV17	2388.50	2558.04	USD	-8,477.00	
10AUG17	S	2	PD10NOV17	2389.00	2558.04	USD	-8,452.00	
10AUG17	B	2	PD10NOV17	2376.00	2558.04	USD	9,102.00	
10AUG17	B	3	PD10NOV17	2374.00	2558.04	USD	13,803.00	
10AUG17	B	2	PD10NOV17	2373.50	2558.04	USD	9,227.00	
10AUG17	B	1	PD10NOV17	2365.00	2558.04	USD	4,826.00	
10AUG17	B	1	PD10NOV17	2364.50	2558.04	USD	4,838.50	
11AUG17	S	4	PD10NOV17	2356.00	2558.04	USD	-20,204.00	
11AUG17	S	1	PD10NOV17	2341.50	2558.04	USD	-5,413.50	
11AUG17	B	2	PD10NOV17	2338.00	2558.04	USD	11,002.00	
11AUG17	S	2	PD10NOV17	2342.00	2558.04	USD	-10,802.00	
11AUG17	S	1	PD10NOV17	2340.50	2558.04	USD	-5,438.50	
11AUG17	S	1	PD10NOV17	2338.00	2558.04	USD	-5,501.00	
11AUG17	B	1	PD10NOV17	2345.00	2558.04	USD	5,326.00	
11AUG17	B	2	PD10NOV17	2346.00	2558.04	USD	10,602.00	
11AUG17	B	2	PD10NOV17	2345.50	2558.04	USD	10,627.00	
11AUG17	B	1	PD10NOV17	2349.00	2558.04	USD	5,226.00	
11AUG17	B	1	PD10NOV17	2351.00	2558.04	USD	5,176.00	
11AUG17	S	1	PD10NOV17	2350.00	2558.04	USD	-5,201.00	
11AUG17	B	1	PD10NOV17	2344.00	2558.04	USD	5,351.00	
11AUG17	B	1	PD10NOV17	2344.50	2558.04	USD	5,338.50	
11AUG17	B	5	PD10NOV17	2347.00	2558.04	USD	26,380.00	
11AUG17	S	1	PD10NOV17	2340.00	2558.04	USD	-5,451.00	
11AUG17	B	1	PD10NOV17	2337.00	2558.04	USD	5,526.00	
11AUG17	B	1	PD10NOV17	2336.50	2558.04	USD	5,538.50	
11AUG17	B	1	PD10NOV17	2334.00	2558.04	USD	5,601.00	
11AUG17	S	1	PD10NOV17	2333.00	2558.04	USD	-5,626.00	
11AUG17	B	1	PD10NOV17	2349.50	2558.04	USD	5,213.50	
11AUG17	S	1	PD10NOV17	2335.50	2558.04	USD	-5,563.50	
11AUG17	B	1	PD10NOV17	2330.50	2558.04	USD	5,688.50	
14AUG17	B	16	PD10NOV17	2334.40	2558.04	USD	89,456.00	
18AUG17	S	8	PD10NOV17	2359.85	2558.04	USD	-39,638.00	
	NET	0 AVG BGHT 2348.54	SOLD 2366.69				23,147.50	0.00
14AUG17	S	1	PD14NOV17	2333.50	2558.61	USD	-5,627.75	
14AUG17	S	1	PD14NOV17	2333.00	2558.61	USD	-5,640.25	
14AUG17	S	2	PD14NOV17	2336.00	2558.61	USD	-11,130.50	
14AUG17	S	1	PD14NOV17	2339.50	2558.61	USD	-5,477.75	
14AUG17	S	3	PD14NOV17	2340.00	2558.61	USD	-16,395.75	
14AUG17	B	4	PD14NOV17	2342.50	2558.61	USD	21,611.00	
14AUG17	B	1	PD14NOV17	2343.50	2558.61	USD	5,377.75	
14AUG17	B	1	PD14NOV17	2345.00	2558.61	USD	5,340.25	
14AUG17	B	1	PD14NOV17	2346.50	2558.61	USD	5,302.75	
14AUG17	B	1	PD14NOV17	2342.00	2558.61	USD	5,415.25	
14AUG17	B	1	PD14NOV17	2343.00	2558.61	USD	5,390.25	
14AUG17	B	1	PD14NOV17	2343.50	2558.61	USD	5,377.75	
14AUG17	S	3	PD14NOV17	2341.50	2558.61	USD	-16,283.25	
14AUG17	S	1	PD14NOV17	2342.00	2558.61	USD	-5,415.25	
14AUG17	S	4	PD14NOV17	2340.50	2558.61	USD	-21,811.00	
18AUG17	B	6	PD14NOV17	2360.97	2558.61	USD	29,646.00	
	NET	0 AVG BGHT 2349.96	SOLD 2339.16				-4,320.50	0.00
15AUG17	S	2	PD15NOV17	2345.00	2558.75	USD	-10,687.50	
18AUG17	B	2	PD15NOV17	2361.20	2558.75	USD	9,877.50	
	NET	0 AVG BGHT 2361.20	SOLD 2345.00				-810.00	0.00
16AUG17	S	2	PD16NOV17	2379.50	2558.75	USD	-8,962.50	

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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16AUG17	S	1	PD16NOV17	2387.50	2558.75	USD	-4,281.25	
16AUG17	S	1	PD16NOV17	2386.50	2558.75	USD	-4,306.25	
16AUG17	S	2	PD16NOV17	2387.00	2558.75	USD	-8,587.50	
16AUG17	S	1	PD16NOV17	2391.00	2558.75	USD	-4,193.75	
16AUG17	S	1	PD16NOV17	2391.00	2558.75	USD	-4,193.75	
16AUG17	S	1	PD16NOV17	2430.00	2558.75	USD	-3,218.75	
16AUG17	S	1	PD16NOV17	2432.00	2558.75	USD	-3,168.75	
16AUG17	S	1	PD16NOV17	2431.50	2558.75	USD	-3,181.25	
16AUG17	S	1	PD16NOV17	2437.50	2558.75	USD	-3,031.25	
16AUG17	S	1	PD16NOV17	2436.50	2558.75	USD	-3,056.25	
16AUG17	S	1	PD16NOV17	2437.00	2558.75	USD	-3,043.75	
16AUG17	S	1	PD16NOV17	2438.00	2558.75	USD	-3,018.75	
16AUG17	S	2	PD16NOV17	2447.00	2558.75	USD	-5,587.50	
16AUG17	S	1	PD16NOV17	2482.00	2558.75	USD	-1,918.75	
16AUG17	S	1	PD16NOV17	2478.50	2558.75	USD	-2,006.25	
16AUG17	S	1	PD16NOV17	2480.00	2558.75	USD	-1,968.75	
16AUG17	B	1	PD16NOV17	2477.50	2558.75	USD	2,031.25	
16AUG17	B	1	PD16NOV17	2478.00	2558.75	USD	2,018.75	
16AUG17	B	1	PD16NOV17	2478.50	2558.75	USD	2,006.25	
16AUG17	B	1	PD16NOV17	2480.50	2558.75	USD	1,956.25	
16AUG17	B	1	PD16NOV17	2470.00	2558.75	USD	2,218.75	
16AUG17	B	1	PD16NOV17	2469.50	2558.75	USD	2,231.25	
16AUG17	S	1	PD16NOV17	2427.50	2558.75	USD	-3,281.25	
16AUG17	S	1	PD16NOV17	2482.00	2558.75	USD	-1,918.75	
16AUG17	B	1	PD16NOV17	2490.50	2558.75	USD	1,706.25	
16AUG17	B	2	PD16NOV17	2494.00	2558.75	USD	3,237.50	
16AUG17	S	1	PD16NOV17	2514.00	2558.75	USD	-1,118.75	
18AUG17	B	8	PD16NOV17	2360.70	2558.75	USD	39,610.00	
18AUG17	B	4	PD16NOV17	2360.50	2558.75	USD	19,825.00	
22AUG17	B	2	PD16NOV17	2414.50	2558.75	USD	7,212.50	
	NET	0	AVG BGHT 2412.57	SOLD 2429.98			10,010.00	0.00
17AUG17	B	2	PD17NOV17	2528.50	2558.75	USD	1,512.50	
17AUG17	B	1	PD17NOV17	2528.00	2558.75	USD	768.75	
17AUG17	B	2	PD17NOV17	2529.50	2558.75	USD	1,462.50	
17AUG17	B	1	PD17NOV17	2530.00	2558.75	USD	718.75	
17AUG17	S	2	PD17NOV17	2527.50	2558.75	USD	-1,562.50	
17AUG17	B	2	PD17NOV17	2526.00	2558.75	USD	1,637.50	
17AUG17	B	2	PD17NOV17	2526.50	2558.75	USD	1,612.50	
17AUG17	B	1	PD17NOV17	2525.00	2558.75	USD	843.75	
17AUG17	B	1	PD17NOV17	2524.50	2558.75	USD	856.25	
17AUG17	B	1	PD17NOV17	2511.00	2558.75	USD	1,193.75	
17AUG17	B	1	PD17NOV17	2509.50	2558.75	USD	1,231.25	
17AUG17	S	1	PD17NOV17	2508.00	2558.75	USD	-1,268.75	
17AUG17	S	1	PD17NOV17	2506.50	2558.75	USD	-1,306.25	
17AUG17	S	2	PD17NOV17	2521.00	2558.75	USD	-1,887.50	
17AUG17	S	1	PD17NOV17	2528.50	2558.75	USD	-756.25	
17AUG17	S	3	PD17NOV17	2463.50	2558.75	USD	-7,143.75	
17AUG17	S	1	PD17NOV17	2464.50	2558.75	USD	-2,356.25	
17AUG17	B	2	PD17NOV17	2466.50	2558.75	USD	4,612.50	
17AUG17	B	1	PD17NOV17	2469.50	2558.75	USD	2,231.25	
17AUG17	B	1	PD17NOV17	2470.00	2558.75	USD	2,218.75	
17AUG17	B	2	PD17NOV17	2468.50	2558.75	USD	4,512.50	
17AUG17	B	2	PD17NOV17	2435.00	2558.75	USD	6,187.50	
17AUG17	B	1	PD17NOV17	2427.00	2558.75	USD	3,293.75	
17AUG17	B	3	PD17NOV17	2426.00	2558.75	USD	9,956.25	
17AUG17	B	2	PD17NOV17	2413.50	2558.75	USD	7,262.50	
17AUG17	B	2	PD17NOV17	2412.50	2558.75	USD	7,312.50	

OPEN POSITION STATEMENT



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SAP0119B

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	<u>LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	2	PD17NOV17	2412.00	2558.75	USD	7,337.50	
17AUG17	B	2	PD17NOV17	2414.00	2558.75	USD	7,237.50	
18AUG17	S	2	PD17NOV17	2396.00	2558.75	USD	-8,137.50	
18AUG17	B	1	PD17NOV17	2429.50	2558.75	USD	3,231.25	
18AUG17	B	1	PD17NOV17	2430.50	2558.75	USD	3,206.25	
18AUG17	B	2	PD17NOV17	2428.00	2558.75	USD	6,537.50	
18AUG17	B	2	PD17NOV17	2435.00	2558.75	USD	6,187.50	
18AUG17	S	2	PD17NOV17	2432.00	2558.75	USD	-6,337.50	
18AUG17	B	1	PD17NOV17	2411.50	2558.75	USD	3,681.25	
18AUG17	B	2	PD17NOV17	2412.50	2558.75	USD	7,312.50	
18AUG17	B	1	PD17NOV17	2416.00	2558.75	USD	3,568.75	
18AUG17	B	1	PD17NOV17	2413.00	2558.75	USD	3,643.75	
18AUG17	B	2	PD17NOV17	2414.00	2558.75	USD	7,237.50	
18AUG17	B	2	PD17NOV17	2413.50	2558.75	USD	7,262.50	
18AUG17	B	2	PD17NOV17	2420.50	2558.75	USD	6,912.50	
18AUG17	B	3	PD17NOV17	2423.00	2558.75	USD	10,181.25	
18AUG17	B	2	PD17NOV17	2423.50	2558.75	USD	6,762.50	
18AUG17	B	3	PD17NOV17	2424.00	2558.75	USD	10,106.25	
18AUG17	B	1	PD17NOV17	2425.50	2558.75	USD	3,331.25	
18AUG17	B	1	PD17NOV17	2422.00	2558.75	USD	3,418.75	
18AUG17	B	1	PD17NOV17	2422.50	2558.75	USD	3,406.25	
18AUG17	B	3	PD17NOV17	2415.50	2558.75	USD	10,743.75	
18AUG17	B	4	PD17NOV17	2415.00	2558.75	USD	14,375.00	
18AUG17	S	1	PD17NOV17	2411.50	2558.75	USD	-3,681.25	
18AUG17	S	1	PD17NOV17	2409.00	2558.75	USD	-3,743.75	
18AUG17	S	1	PD17NOV17	2406.50	2558.75	USD	-3,806.25	
18AUG17	S	1	PD17NOV17	2376.50	2558.75	USD	-4,556.25	
18AUG17	S	2	PD17NOV17	2370.00	2558.75	USD	-9,437.50	
18AUG17	S	1	PD17NOV17	2369.50	2558.75	USD	-4,731.25	
18AUG17	S	1	PD17NOV17	2360.50	2558.75	USD	-4,956.25	
18AUG17	S	2	PD17NOV17	2366.00	2558.75	USD	-9,637.50	
18AUG17	S	1	PD17NOV17	2367.00	2558.75	USD	-4,793.75	
18AUG17	S	1	PD17NOV17	2366.50	2558.75	USD	-4,806.25	
18AUG17	S	2	PD17NOV17	2375.50	2558.75	USD	-9,162.50	
18AUG17	S	2	PD17NOV17	2373.00	2558.75	USD	-9,287.50	
18AUG17	B	1	PD17NOV17	2372.00	2558.75	USD	4,668.75	
18AUG17	B	1	PD17NOV17	2370.00	2558.75	USD	4,718.75	
18AUG17	B	1	PD17NOV17	2370.50	2558.75	USD	4,706.25	
18AUG17	B	2	PD17NOV17	2371.00	2558.75	USD	9,387.50	
18AUG17	B	1	PD17NOV17	2371.50	2558.75	USD	4,681.25	
18AUG17	B	1	PD17NOV17	2414.00	2558.75	USD	3,618.75	
18AUG17	S	1	PD17NOV17	2382.50	2558.75	USD	-4,406.25	
18AUG17	S	3	PD17NOV17	2383.00	2558.75	USD	-13,181.25	
18AUG17	B	3	PD17NOV17	2365.00	2558.75	USD	14,531.25	
18AUG17	B	1	PD17NOV17	2364.50	2558.75	USD	4,856.25	
18AUG17	B	1	PD17NOV17	2362.00	2558.75	USD	4,918.75	
18AUG17	B	1	PD17NOV17	2362.50	2558.75	USD	4,906.25	
18AUG17	B	1	PD17NOV17	2363.50	2558.75	USD	4,881.25	
18AUG17	B	2	PD17NOV17	2363.00	2558.75	USD	9,787.50	
18AUG17	S	4	PD17NOV17	2360.80	2558.75	USD	-19,795.00	
22AUG17	S	2	PD17NOV17	2414.55	2558.75	USD	-7,210.00	
23AUG17	S	28	PD17NOV17	2375.25	2558.75	USD	-128,450.00	
30AUG17	S	14	PD17NOV17	2366.52	2558.75	USD	-67,280.50	
11SEP17	S	2	PD17NOV17	2269.32	2558.75	USD	-14,471.50	
	NET	0	AVG BGHT 2431.33	SOLD 2390.21			-87,382.00	0.00
21AUG17	S	1	PD21NOV17	2386.50	2559.32	USD	-4,320.50	
21AUG17	S	1	PD21NOV17	2388.00	2559.32	USD	-4,283.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21AUG17	B	1	PD21NOV17	2390.00	2559.32	USD	4,233.00	
21AUG17	B	1	PD21NOV17	2389.00	2559.32	USD	4,258.00	
21AUG17	S	2	PD21NOV17	2385.50	2559.32	USD	-8,691.00	
21AUG17	S	1	PD21NOV17	2387.00	2559.32	USD	-4,308.00	
21AUG17	S	1	PD21NOV17	2377.00	2559.32	USD	-4,558.00	
21AUG17	S	2	PD21NOV17	2378.50	2559.32	USD	-9,041.00	
21AUG17	S	2	PD21NOV17	2380.00	2559.32	USD	-8,966.00	
21AUG17	B	3	PD21NOV17	2387.50	2559.32	USD	12,886.50	
21AUG17	B	1	PD21NOV17	2389.50	2559.32	USD	4,245.50	
21AUG17	B	1	PD21NOV17	2384.50	2559.32	USD	4,370.50	
21AUG17	B	1	PD21NOV17	2383.50	2559.32	USD	4,395.50	
21AUG17	B	2	PD21NOV17	2384.00	2559.32	USD	8,766.00	
21AUG17	B	1	PD21NOV17	2386.00	2559.32	USD	4,333.00	
21AUG17	B	1	PD21NOV17	2385.50	2559.32	USD	4,345.50	
21AUG17	B	1	PD21NOV17	2374.00	2559.32	USD	4,633.00	
21AUG17	B	1	PD21NOV17	2376.50	2559.32	USD	4,570.50	
21AUG17	B	2	PD21NOV17	2376.00	2559.32	USD	9,166.00	
21AUG17	B	1	PD21NOV17	2375.50	2559.32	USD	4,595.50	
21AUG17	B	1	PD21NOV17	2373.00	2559.32	USD	4,658.00	
21AUG17	B	1	PD21NOV17	2356.50	2559.32	USD	5,070.50	
21AUG17	B	2	PD21NOV17	2357.00	2559.32	USD	10,116.00	
21AUG17	S	1	PD21NOV17	2356.00	2559.32	USD	-5,083.00	
21AUG17	S	2	PD21NOV17	2369.00	2559.32	USD	-9,516.00	
21AUG17	S	2	PD21NOV17	2370.50	2559.32	USD	-9,441.00	
21AUG17	S	2	PD21NOV17	2379.00	2559.32	USD	-9,016.00	
21AUG17	S	3	PD21NOV17	2376.00	2559.32	USD	-13,749.00	
21AUG17	B	1	PD21NOV17	2361.50	2559.32	USD	4,945.50	
21AUG17	B	3	PD21NOV17	2362.00	2559.32	USD	14,799.00	
21AUG17	B	3	PD21NOV17	2362.50	2559.32	USD	14,761.50	
21AUG17	S	2	PD21NOV17	2361.00	2559.32	USD	-9,916.00	
21AUG17	B	1	PD21NOV17	2350.00	2559.32	USD	5,233.00	
21AUG17	B	2	PD21NOV17	2351.00	2559.32	USD	10,416.00	
21AUG17	B	1	PD21NOV17	2349.50	2559.32	USD	5,245.50	
21AUG17	S	1	PD21NOV17	2352.00	2559.32	USD	-5,183.00	
23AUG17	S	2	PD21NOV17	2376.25	2559.32	USD	-9,153.50	
30AUG17	S	3	PD21NOV17	2367.59	2559.32	USD	-14,379.75	
11SEP17	S	3	PD21NOV17	2270.60	2559.32	USD	-21,654.00	
15SEP17	S	1	PD21NOV17	2352.20	2559.32	USD	-5,178.00	
	NET	0AVG	BGHT 2371.77	SOLD 2363.77			-6,393.25	0.00
22AUG17	S	2	PD22NOV17	2354.50	2559.46	USD	-10,248.00	
22AUG17	S	1	PD22NOV17	2348.00	2559.46	USD	-5,286.50	
22AUG17	S	2	PD22NOV17	2346.50	2559.46	USD	-10,648.00	
22AUG17	S	1	PD22NOV17	2345.00	2559.46	USD	-5,361.50	
22AUG17	S	2	PD22NOV17	2342.50	2559.46	USD	-10,848.00	
22AUG17	S	1	PD22NOV17	2345.50	2559.46	USD	-5,349.00	
22AUG17	S	2	PD22NOV17	2338.50	2559.46	USD	-11,048.00	
22AUG17	S	2	PD22NOV17	2341.00	2559.46	USD	-10,923.00	
22AUG17	S	1	PD22NOV17	2340.50	2559.46	USD	-5,474.00	
22AUG17	S	1	PD22NOV17	2340.00	2559.46	USD	-5,486.50	
22AUG17	S	3	PD22NOV17	2337.50	2559.46	USD	-16,647.00	
22AUG17	S	1	PD22NOV17	2336.50	2559.46	USD	-5,574.00	
22AUG17	S	1	PD22NOV17	2338.00	2559.46	USD	-5,536.50	
22AUG17	S	2	PD22NOV17	2328.50	2559.46	USD	-11,548.00	
22AUG17	S	1	PD22NOV17	2323.00	2559.46	USD	-5,911.50	
22AUG17	S	1	PD22NOV17	2322.50	2559.46	USD	-5,924.00	
22AUG17	S	1	PD22NOV17	2322.00	2559.46	USD	-5,936.50	
22AUG17	S	1	PD22NOV17	2321.50	2559.46	USD	-5,949.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22AUG17	B	2	PD22NOV17	2334.50	2559.46	USD	11,248.00	
22AUG17	B	1	PD22NOV17	2344.50	2559.46	USD	5,374.00	
22AUG17	B	1	PD22NOV17	2345.00	2559.46	USD	5,361.50	
22AUG17	B	2	PD22NOV17	2338.00	2559.46	USD	11,073.00	
22AUG17	B	1	PD22NOV17	2345.50	2559.46	USD	5,349.00	
22AUG17	B	1	PD22NOV17	2346.00	2559.46	USD	5,336.50	
22AUG17	B	3	PD22NOV17	2343.50	2559.46	USD	16,197.00	
22AUG17	B	1	PD22NOV17	2344.00	2559.46	USD	5,386.50	
22AUG17	S	4	PD22NOV17	2358.00	2559.46	USD	-20,146.00	
22AUG17	S	1	PD22NOV17	2361.00	2559.46	USD	-4,961.50	
22AUG17	S	1	PD22NOV17	2374.50	2559.46	USD	-4,624.00	
22AUG17	S	2	PD22NOV17	2373.50	2559.46	USD	-9,298.00	
22AUG17	B	1	PD22NOV17	2374.00	2559.46	USD	4,636.50	
22AUG17	B	1	PD22NOV17	2372.50	2559.46	USD	4,674.00	
22AUG17	B	1	PD22NOV17	2372.00	2559.46	USD	4,686.50	
22AUG17	B	1	PD22NOV17	2367.00	2559.46	USD	4,811.50	
22AUG17	B	1	PD22NOV17	2365.50	2559.46	USD	4,849.00	
22AUG17	B	1	PD22NOV17	2368.50	2559.46	USD	4,774.00	
22AUG17	B	1	PD22NOV17	2369.50	2559.46	USD	4,749.00	
22AUG17	S	3	PD22NOV17	2353.00	2559.46	USD	-15,484.50	
22AUG17	S	1	PD22NOV17	2351.50	2559.46	USD	-5,199.00	
22AUG17	S	2	PD22NOV17	2351.00	2559.46	USD	-10,423.00	
22AUG17	S	6	PD22NOV17	2352.50	2559.46	USD	-31,044.00	
22AUG17	S	4	PD22NOV17	2352.00	2559.46	USD	-20,746.00	
22AUG17	S	2	PD22NOV17	2353.50	2559.46	USD	-10,298.00	
22AUG17	S	2	PD22NOV17	2369.50	2559.46	USD	-9,498.00	
22AUG17	S	1	PD22NOV17	2370.00	2559.46	USD	-4,736.50	
22AUG17	S	1	PD22NOV17	2371.00	2559.46	USD	-4,711.50	
22AUG17	S	3	PD22NOV17	2370.50	2559.46	USD	-14,172.00	
22AUG17	B	1	PD22NOV17	2377.50	2559.46	USD	4,549.00	
22AUG17	B	1	PD22NOV17	2381.00	2559.46	USD	4,461.50	
22AUG17	B	2	PD22NOV17	2381.50	2559.46	USD	8,898.00	
22AUG17	B	1	PD22NOV17	2383.00	2559.46	USD	4,411.50	
22AUG17	B	1	PD22NOV17	2382.50	2559.46	USD	4,424.00	
22AUG17	B	4	PD22NOV17	2382.00	2559.46	USD	17,746.00	
22AUG17	B	1	PD22NOV17	2394.50	2559.46	USD	4,124.00	
22AUG17	B	3	PD22NOV17	2395.00	2559.46	USD	12,334.50	
22AUG17	B	5	PD22NOV17	2393.00	2559.46	USD	20,807.50	
22AUG17	B	1	PD22NOV17	2395.50	2559.46	USD	4,099.00	
22AUG17	B	1	PD22NOV17	2396.00	2559.46	USD	4,086.50	
22AUG17	B	1	PD22NOV17	2396.50	2559.46	USD	4,074.00	
22AUG17	S	2	PD22NOV17	2408.50	2559.46	USD	-7,548.00	
22AUG17	S	2	PD22NOV17	2406.50	2559.46	USD	-7,648.00	
22AUG17	S	2	PD22NOV17	2406.00	2559.46	USD	-7,673.00	
22AUG17	S	1	PD22NOV17	2407.00	2559.46	USD	-3,811.50	
22AUG17	S	1	PD22NOV17	2408.00	2559.46	USD	-3,786.50	
22AUG17	S	3	PD22NOV17	2407.50	2559.46	USD	-11,397.00	
22AUG17	S	1	PD22NOV17	2405.00	2559.46	USD	-3,861.50	
22AUG17	S	2	PD22NOV17	2405.50	2559.46	USD	-7,698.00	
22AUG17	S	1	PD22NOV17	2400.00	2559.46	USD	-3,986.50	
22AUG17	S	3	PD22NOV17	2400.50	2559.46	USD	-11,922.00	
22AUG17	S	1	PD22NOV17	2401.00	2559.46	USD	-3,961.50	
22AUG17	B	1	PD22NOV17	2404.50	2559.46	USD	3,874.00	
22AUG17	B	1	PD22NOV17	2401.50	2559.46	USD	3,949.00	
22AUG17	S	3	PD22NOV17	2417.00	2559.46	USD	-10,684.50	
22AUG17	S	4	PD22NOV17	2415.50	2559.46	USD	-14,396.00	
22AUG17	B	1	PD22NOV17	2406.00	2559.46	USD	3,836.50	

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22AUG17	B	3	PD22NOV17	2408.00	2559.46	USD	11,359.50	
22AUG17	B	3	PD22NOV17	2407.50	2559.46	USD	11,397.00	
22AUG17	B	2	PD22NOV17	2406.50	2559.46	USD	7,648.00	
22AUG17	B	2	PD22NOV17	2405.00	2559.46	USD	7,723.00	
22AUG17	S	1	PD22NOV17	2396.00	2559.46	USD	-4,086.50	
22AUG17	S	6	PD22NOV17	2394.50	2559.46	USD	-24,744.00	
22AUG17	S	5	PD22NOV17	2395.00	2559.46	USD	-20,557.50	
22AUG17	S	2	PD22NOV17	2395.50	2559.46	USD	-8,198.00	
22AUG17	B	1	PD22NOV17	2399.50	2559.46	USD	3,999.00	
22AUG17	S	1	PD22NOV17	2397.50	2559.46	USD	-4,049.00	
22AUG17	B	2	PD22NOV17	2398.50	2559.46	USD	8,048.00	
22AUG17	B	7	PD22NOV17	2401.00	2559.46	USD	27,730.50	
22AUG17	B	6	PD22NOV17	2400.50	2559.46	USD	23,844.00	
22AUG17	B	1	PD22NOV17	2402.00	2559.46	USD	3,936.50	
22AUG17	B	1	PD22NOV17	2404.00	2559.46	USD	3,886.50	
22AUG17	B	7	PD22NOV17	2403.50	2559.46	USD	27,293.00	
22AUG17	B	7	PD22NOV17	2405.50	2559.46	USD	26,943.00	
22AUG17	B	1	PD22NOV17	2373.00	2559.46	USD	4,661.50	
22AUG17	B	1	PD22NOV17	2369.50	2559.46	USD	4,749.00	
22AUG17	S	1	PD22NOV17	2352.50	2559.46	USD	-5,174.00	
22AUG17	S	1	PD22NOV17	2371.00	2559.46	USD	-4,711.50	
22AUG17	B	3	PD22NOV17	2382.50	2559.46	USD	13,272.00	
22AUG17	B	1	PD22NOV17	2394.50	2559.46	USD	4,124.00	
22AUG17	S	1	PD22NOV17	2395.00	2559.46	USD	-4,111.50	
22AUG17	B	1	PD22NOV17	2408.50	2559.46	USD	3,774.00	
22AUG17	B	2	PD22NOV17	2409.50	2559.46	USD	7,498.00	
22AUG17	B	1	PD22NOV17	2409.00	2559.46	USD	3,761.50	
22AUG17	B	2	PD22NOV17	2413.00	2559.46	USD	7,323.00	
22AUG17	S	1	PD22NOV17	2421.50	2559.46	USD	-3,449.00	
22AUG17	S	1	PD22NOV17	2422.00	2559.46	USD	-3,436.50	
22AUG17	S	4	PD22NOV17	2418.50	2559.46	USD	-14,096.00	
22AUG17	S	2	PD22NOV17	2415.00	2559.46	USD	-7,223.00	
22AUG17	S	2	PD22NOV17	2414.00	2559.46	USD	-7,273.00	
22AUG17	S	1	PD22NOV17	2416.00	2559.46	USD	-3,586.50	
22AUG17	S	2	PD22NOV17	2416.50	2559.46	USD	-7,148.00	
22AUG17	S	1	PD22NOV17	2413.00	2559.46	USD	-3,661.50	
22AUG17	S	2	PD22NOV17	2413.50	2559.46	USD	-7,298.00	
22AUG17	S	2	PD22NOV17	2412.50	2559.46	USD	-7,348.00	
22AUG17	S	1	PD22NOV17	2411.00	2559.46	USD	-3,711.50	
22AUG17	S	1	PD22NOV17	2410.50	2559.46	USD	-3,724.00	
22AUG17	S	3	PD22NOV17	2410.00	2559.46	USD	-11,209.50	
22AUG17	S	2	PD22NOV17	2411.50	2559.46	USD	-7,398.00	
22AUG17	S	3	PD22NOV17	2414.50	2559.46	USD	-10,872.00	
22AUG17	S	2	PD22NOV17	2412.00	2559.46	USD	-7,373.00	
23AUG17	B	35	PD22NOV17	2376.70	2559.46	USD	159,915.00	
	NET	0	AVG BGHT 2385.91	SOLD 2381.46			-14,787.50	0.00
23AUG17	S	2	PD24NOV17	2410.50	2559.75	USD	-7,462.50	
23AUG17	S	2	PD24NOV17	2410.00	2559.75	USD	-7,487.50	
23AUG17	S	3	PD24NOV17	2411.00	2559.75	USD	-11,156.25	
23AUG17	S	1	PD24NOV17	2402.50	2559.75	USD	-3,931.25	
23AUG17	S	1	PD24NOV17	2400.00	2559.75	USD	-3,993.75	
23AUG17	S	1	PD24NOV17	2400.50	2559.75	USD	-3,981.25	
23AUG17	S	4	PD24NOV17	2397.50	2559.75	USD	-16,225.00	
23AUG17	S	1	PD24NOV17	2406.50	2559.75	USD	-3,831.25	
23AUG17	S	1	PD24NOV17	2406.00	2559.75	USD	-3,843.75	
23AUG17	B	2	PD24NOV17	2403.50	2559.75	USD	7,812.50	
23AUG17	B	1	PD24NOV17	2395.50	2559.75	USD	4,106.25	

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23AUG17	B	2	PD24NOV17	2396.50	2559.75	USD	8,162.50	
23AUG17	B	1	PD24NOV17	2399.50	2559.75	USD	4,006.25	
23AUG17	B	3	PD24NOV17	2399.00	2559.75	USD	12,056.25	
23AUG17	B	1	PD24NOV17	2400.00	2559.75	USD	3,993.75	
23AUG17	B	1	PD24NOV17	2400.50	2559.75	USD	3,981.25	
23AUG17	B	1	PD24NOV17	2404.50	2559.75	USD	3,881.25	
23AUG17	S	1	PD24NOV17	2399.00	2559.75	USD	-4,018.75	
23AUG17	S	1	PD24NOV17	2398.50	2559.75	USD	-4,031.25	
23AUG17	S	2	PD24NOV17	2397.00	2559.75	USD	-8,137.50	
23AUG17	B	1	PD24NOV17	2397.50	2559.75	USD	4,056.25	
23AUG17	B	4	PD24NOV17	2397.00	2559.75	USD	16,275.00	
23AUG17	B	1	PD24NOV17	2396.00	2559.75	USD	4,093.75	
23AUG17	B	1	PD24NOV17	2398.00	2559.75	USD	4,043.75	
23AUG17	B	1	PD24NOV17	2393.00	2559.75	USD	4,168.75	
23AUG17	B	1	PD24NOV17	2382.50	2559.75	USD	4,431.25	
23AUG17	B	1	PD24NOV17	2378.50	2559.75	USD	4,531.25	
23AUG17	S	1	PD24NOV17	2381.50	2559.75	USD	-4,456.25	
23AUG17	S	2	PD24NOV17	2387.50	2559.75	USD	-8,612.50	
23AUG17	S	1	PD24NOV17	2384.00	2559.75	USD	-4,393.75	
23AUG17	S	1	PD24NOV17	2383.00	2559.75	USD	-4,418.75	
23AUG17	S	2	PD24NOV17	2384.50	2559.75	USD	-8,762.50	
23AUG17	S	2	PD24NOV17	2383.50	2559.75	USD	-8,812.50	
23AUG17	S	2	PD24NOV17	2382.50	2559.75	USD	-8,862.50	
24AUG17	B	1	PD24NOV17	2386.00	2559.75	USD	4,343.75	
24AUG17	B	1	PD24NOV17	2385.00	2559.75	USD	4,368.75	
24AUG17	B	2	PD24NOV17	2384.50	2559.75	USD	8,762.50	
24AUG17	S	1	PD24NOV17	2403.50	2559.75	USD	-3,906.25	
24AUG17	B	1	PD24NOV17	2402.50	2559.75	USD	3,931.25	
24AUG17	S	1	PD24NOV17	2398.00	2559.75	USD	-4,043.75	
24AUG17	S	1	PD24NOV17	2401.50	2559.75	USD	-3,956.25	
24AUG17	S	1	PD24NOV17	2402.00	2559.75	USD	-3,943.75	
24AUG17	S	3	PD24NOV17	2399.00	2559.75	USD	-12,056.25	
24AUG17	S	1	PD24NOV17	2400.00	2559.75	USD	-3,993.75	
24AUG17	S	1	PD24NOV17	2401.00	2559.75	USD	-3,968.75	
24AUG17	S	1	PD24NOV17	2389.00	2559.75	USD	-4,268.75	
24AUG17	B	1	PD24NOV17	2351.50	2559.75	USD	5,206.25	
24AUG17	B	2	PD24NOV17	2351.00	2559.75	USD	10,437.50	
24AUG17	B	1	PD24NOV17	2354.00	2559.75	USD	5,143.75	
24AUG17	B	1	PD24NOV17	2356.50	2559.75	USD	5,081.25	
24AUG17	B	1	PD24NOV17	2354.50	2559.75	USD	5,131.25	
24AUG17	B	2	PD24NOV17	2355.00	2559.75	USD	10,237.50	
24AUG17	B	1	PD24NOV17	2352.50	2559.75	USD	5,181.25	
24AUG17	B	1	PD24NOV17	2353.00	2559.75	USD	5,168.75	
24AUG17	B	3	PD24NOV17	2353.50	2559.75	USD	15,468.75	
24AUG17	B	5	PD24NOV17	2352.00	2559.75	USD	25,968.75	
24AUG17	B	2	PD24NOV17	2357.00	2559.75	USD	10,137.50	
24AUG17	B	1	PD24NOV17	2360.50	2559.75	USD	4,981.25	
24AUG17	B	1	PD24NOV17	2361.00	2559.75	USD	4,968.75	
24AUG17	B	1	PD24NOV17	2361.50	2559.75	USD	4,956.25	
24AUG17	B	1	PD24NOV17	2368.00	2559.75	USD	4,793.75	
24AUG17	B	3	PD24NOV17	2352.00	2559.75	USD	15,581.25	
24AUG17	B	2	PD24NOV17	2359.50	2559.75	USD	10,012.50	
24AUG17	B	4	PD24NOV17	2358.00	2559.75	USD	20,175.00	
24AUG17	S	2	PD24NOV17	2355.00	2559.75	USD	-10,237.50	
25AUG17	B	1	PD24NOV17	2372.00	2559.75	USD	4,693.75	
25AUG17	B	2	PD24NOV17	2375.00	2559.75	USD	9,237.50	
25AUG17	B	1	PD24NOV17	2370.00	2559.75	USD	4,743.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	2	PD24NOV17	2371.00	2559.75	USD	-9,437.50	
25AUG17	B	4	PD24NOV17	2373.50	2559.75	USD	18,625.00	
25AUG17	B	5	PD24NOV17	2374.00	2559.75	USD	23,218.75	
25AUG17	B	2	PD24NOV17	2374.50	2559.75	USD	9,262.50	
25AUG17	B	1	PD24NOV17	2372.50	2559.75	USD	4,681.25	
25AUG17	B	2	PD24NOV17	2373.00	2559.75	USD	9,337.50	
25AUG17	S	1	PD24NOV17	2361.50	2559.75	USD	-4,956.25	
25AUG17	S	1	PD24NOV17	2361.00	2559.75	USD	-4,968.75	
25AUG17	S	2	PD24NOV17	2360.50	2559.75	USD	-9,962.50	
25AUG17	S	2	PD24NOV17	2360.00	2559.75	USD	-9,987.50	
25AUG17	S	1	PD24NOV17	2358.00	2559.75	USD	-5,043.75	
25AUG17	S	1	PD24NOV17	2355.50	2559.75	USD	-5,106.25	
25AUG17	S	2	PD24NOV17	2355.00	2559.75	USD	-10,237.50	
25AUG17	S	1	PD24NOV17	2356.50	2559.75	USD	-5,081.25	
25AUG17	S	1	PD24NOV17	2349.00	2559.75	USD	-5,268.75	
25AUG17	S	1	PD24NOV17	2347.50	2559.75	USD	-5,306.25	
25AUG17	S	1	PD24NOV17	2349.50	2559.75	USD	-5,256.25	
25AUG17	S	1	PD24NOV17	2347.00	2559.75	USD	-5,318.75	
25AUG17	S	1	PD24NOV17	2348.00	2559.75	USD	-5,293.75	
25AUG17	S	1	PD24NOV17	2338.00	2559.75	USD	-5,543.75	
25AUG17	S	1	PD24NOV17	2338.50	2559.75	USD	-5,531.25	
25AUG17	S	1	PD24NOV17	2340.00	2559.75	USD	-5,493.75	
25AUG17	S	1	PD24NOV17	2339.00	2559.75	USD	-5,518.75	
25AUG17	S	4	PD24NOV17	2339.50	2559.75	USD	-22,025.00	
25AUG17	S	2	PD24NOV17	2340.50	2559.75	USD	-10,962.50	
25AUG17	S	6	PD24NOV17	2341.00	2559.75	USD	-32,812.50	
25AUG17	S	3	PD24NOV17	2342.00	2559.75	USD	-16,331.25	
25AUG17	S	5	PD24NOV17	2343.00	2559.75	USD	-27,093.75	
25AUG17	S	3	PD24NOV17	2343.50	2559.75	USD	-16,218.75	
25AUG17	S	2	PD24NOV17	2348.50	2559.75	USD	-10,562.50	
25AUG17	S	2	PD24NOV17	2344.00	2559.75	USD	-10,787.50	
25AUG17	B	1	PD24NOV17	2339.00	2559.75	USD	5,518.75	
25AUG17	S	1	PD24NOV17	2371.50	2559.75	USD	-4,706.25	
25AUG17	B	4	PD24NOV17	2332.00	2559.75	USD	22,775.00	
25AUG17	B	1	PD24NOV17	2331.00	2559.75	USD	5,718.75	
25AUG17	S	1	PD24NOV17	2320.50	2559.75	USD	-5,981.25	
25AUG17	S	1	PD24NOV17	2321.50	2559.75	USD	-5,956.25	
25AUG17	S	7	PD24NOV17	2320.00	2559.75	USD	-41,956.25	
25AUG17	S	1	PD24NOV17	2321.00	2559.75	USD	-5,968.75	
30AUG17	B	17	PD24NOV17	2368.59	2559.75	USD	81,243.00	
15SEP17	B	2	PD24NOV17	2353.23	2559.75	USD	10,326.00	
	NET	0 AVG BGHT 2369.84	SOLD 2365.00				-12,449.75	0.00
29AUG17	B	2	PD29NOV17	2343.50	2560.20	USD	10,835.00	
29AUG17	S	1	PD29NOV17	2343.50	2560.20	USD	-5,417.50	
29AUG17	B	1	PD29NOV17	2351.50	2560.20	USD	5,217.50	
29AUG17	S	1	PD29NOV17	2344.00	2560.20	USD	-5,405.00	
29AUG17	B	1	PD29NOV17	2342.00	2560.20	USD	5,455.00	
29AUG17	B	1	PD29NOV17	2341.50	2560.20	USD	5,467.50	
29AUG17	B	1	PD29NOV17	2344.00	2560.20	USD	5,405.00	
29AUG17	B	3	PD29NOV17	2345.00	2560.20	USD	16,140.00	
29AUG17	B	1	PD29NOV17	2353.50	2560.20	USD	5,167.50	
29AUG17	B	3	PD29NOV17	2353.00	2560.20	USD	15,540.00	
29AUG17	B	3	PD29NOV17	2352.50	2560.20	USD	15,577.50	
29AUG17	B	1	PD29NOV17	2348.50	2560.20	USD	5,292.50	
29AUG17	B	1	PD29NOV17	2349.00	2560.20	USD	5,280.00	
29AUG17	B	6	PD29NOV17	2348.00	2560.20	USD	31,830.00	
29AUG17	B	1	PD29NOV17	2347.50	2560.20	USD	5,317.50	

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29AUG17	B	1	PD29NOV17	2350.50	2560.20	USD	5,242.50	
29AUG17	S	4	PD29NOV17	2402.50	2560.20	USD	-15,770.00	
29AUG17	S	1	PD29NOV17	2395.50	2560.20	USD	-4,117.50	
29AUG17	S	2	PD29NOV17	2395.00	2560.20	USD	-8,260.00	
29AUG17	S	3	PD29NOV17	2396.50	2560.20	USD	-12,277.50	
29AUG17	S	4	PD29NOV17	2397.50	2560.20	USD	-16,270.00	
29AUG17	S	4	PD29NOV17	2398.00	2560.20	USD	-16,220.00	
29AUG17	S	3	PD29NOV17	2398.50	2560.20	USD	-12,127.50	
29AUG17	S	1	PD29NOV17	2396.00	2560.20	USD	-4,105.00	
15SEP17	S	2	PD29NOV17	2354.40	2560.20	USD	-10,290.00	
	NET	0AVG BGHT 2348.25		SOLD 2390.57			27,507.50	0.00
30AUG17	S	1	PD30NOV17	2375.50	2560.30	USD	-4,620.00	
30AUG17	B	1	PD30NOV17	2393.00	2560.30	USD	4,182.50	
30AUG17	B	1	PD30NOV17	2394.00	2560.30	USD	4,157.50	
30AUG17	B	3	PD30NOV17	2397.50	2560.30	USD	12,210.00	
30AUG17	B	2	PD30NOV17	2398.00	2560.30	USD	8,115.00	
30AUG17	B	4	PD30NOV17	2388.00	2560.30	USD	17,230.00	
30AUG17	B	1	PD30NOV17	2390.00	2560.30	USD	4,257.50	
30AUG17	B	3	PD30NOV17	2389.50	2560.30	USD	12,810.00	
30AUG17	B	1	PD30NOV17	2387.50	2560.30	USD	4,320.00	
30AUG17	B	2	PD30NOV17	2392.50	2560.30	USD	8,390.00	
30AUG17	S	1	PD30NOV17	2394.00	2560.30	USD	-4,157.50	
30AUG17	B	3	PD30NOV17	2373.00	2560.30	USD	14,047.50	
30AUG17	B	1	PD30NOV17	2375.50	2560.30	USD	4,620.00	
30AUG17	B	3	PD30NOV17	2375.00	2560.30	USD	13,897.50	
30AUG17	B	1	PD30NOV17	2371.50	2560.30	USD	4,720.00	
30AUG17	B	1	PD30NOV17	2372.00	2560.30	USD	4,707.50	
30AUG17	B	1	PD30NOV17	2373.50	2560.30	USD	4,670.00	
30AUG17	B	1	PD30NOV17	2376.50	2560.30	USD	4,595.00	
30AUG17	B	2	PD30NOV17	2380.50	2560.30	USD	8,990.00	
30AUG17	S	2	PD30NOV17	2398.00	2560.30	USD	-8,115.00	
30AUG17	B	1	PD30NOV17	2377.00	2560.30	USD	4,582.50	
30AUG17	B	1	PD30NOV17	2401.00	2560.30	USD	3,982.50	
30AUG17	B	1	PD30NOV17	2395.50	2560.30	USD	4,120.00	
30AUG17	B	1	PD30NOV17	2388.50	2560.30	USD	4,295.00	
30AUG17	B	1	PD30NOV17	2386.00	2560.30	USD	4,357.50	
30AUG17	B	2	PD30NOV17	2387.00	2560.30	USD	8,665.00	
30AUG17	B	1	PD30NOV17	2380.00	2560.30	USD	4,507.50	
30AUG17	B	1	PD30NOV17	2379.50	2560.30	USD	4,520.00	
30AUG17	B	4	PD30NOV17	2379.00	2560.30	USD	18,130.00	
30AUG17	B	1	PD30NOV17	2370.00	2560.30	USD	4,757.50	
30AUG17	B	2	PD30NOV17	2371.00	2560.30	USD	9,465.00	
30AUG17	B	4	PD30NOV17	2377.00	2560.30	USD	18,330.00	
31AUG17	S	5	PD30NOV17	2384.50	2560.30	USD	-21,975.00	
31AUG17	S	3	PD30NOV17	2384.00	2560.30	USD	-13,222.50	
31AUG17	S	1	PD30NOV17	2382.50	2560.30	USD	-4,445.00	
31AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
31AUG17	S	2	PD30NOV17	2375.00	2560.30	USD	-9,265.00	
31AUG17	S	3	PD30NOV17	2374.50	2560.30	USD	-13,935.00	
31AUG17	S	2	PD30NOV17	2388.50	2560.30	USD	-8,590.00	
31AUG17	S	3	PD30NOV17	2385.00	2560.30	USD	-13,147.50	
31AUG17	S	2	PD30NOV17	2386.50	2560.30	USD	-8,690.00	
31AUG17	S	1	PD30NOV17	2387.00	2560.30	USD	-4,332.50	
31AUG17	S	5	PD30NOV17	2385.50	2560.30	USD	-21,850.00	
31AUG17	S	2	PD30NOV17	2386.00	2560.30	USD	-8,715.00	
31AUG17	S	3	PD30NOV17	2382.00	2560.30	USD	-13,372.50	
31AUG17	S	1	PD30NOV17	2381.50	2560.30	USD	-4,470.00	

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31AUG17	S	4	PD30NOV17	2375.50	2560.30	USD	-18,480.00	
31AUG17	S	3	PD30NOV17	2380.00	2560.30	USD	-13,522.50	
31AUG17	S	1	PD30NOV17	2379.50	2560.30	USD	-4,520.00	
31AUG17	S	1	PD30NOV17	2378.00	2560.30	USD	-4,557.50	
31AUG17	S	1	PD30NOV17	2390.50	2560.30	USD	-4,245.00	
11SEP17	S	1	PD30NOV17	2273.48	2560.30	USD	-7,170.50	
15SEP17	S	2	PD30NOV17	2354.88	2560.30	USD	-10,271.00	
	NET	0 AVG BGHT 2383.33	SOLD 2379.73				-4,594.00	0.00
01SEP17	B	2	PD01DEC17	2393.50	2560.39	USD	8,344.50	
01SEP17	B	2	PD01DEC17	2394.00	2560.39	USD	8,319.50	
01SEP17	B	2	PD01DEC17	2392.50	2560.39	USD	8,394.50	
01SEP17	B	2	PD01DEC17	2391.00	2560.39	USD	8,469.50	
01SEP17	B	1	PD01DEC17	2392.00	2560.39	USD	4,209.75	
01SEP17	S	2	PD01DEC17	2407.50	2560.39	USD	-7,644.50	
01SEP17	B	2	PD01DEC17	2405.50	2560.39	USD	7,744.50	
01SEP17	B	1	PD01DEC17	2389.00	2560.39	USD	4,284.75	
01SEP17	B	5	PD01DEC17	2393.00	2560.39	USD	20,923.75	
01SEP17	B	2	PD01DEC17	2401.00	2560.39	USD	7,969.50	
01SEP17	B	3	PD01DEC17	2401.50	2560.39	USD	11,916.75	
01SEP17	S	1	PD01DEC17	2404.00	2560.39	USD	-3,909.75	
01SEP17	B	4	PD01DEC17	2406.50	2560.39	USD	15,389.00	
01SEP17	B	4	PD01DEC17	2406.00	2560.39	USD	15,439.00	
01SEP17	B	2	PD01DEC17	2404.50	2560.39	USD	7,794.50	
01SEP17	B	1	PD01DEC17	2403.00	2560.39	USD	3,934.75	
01SEP17	S	1	PD01DEC17	2402.00	2560.39	USD	-3,959.75	
01SEP17	B	1	PD01DEC17	2410.50	2560.39	USD	3,747.25	
01SEP17	B	1	PD01DEC17	2413.00	2560.39	USD	3,684.75	
01SEP17	S	2	PD01DEC17	2412.00	2560.39	USD	-7,419.50	
01SEP17	B	1	PD01DEC17	2403.00	2560.39	USD	3,934.75	
01SEP17	B	1	PD01DEC17	2405.00	2560.39	USD	3,884.75	
01SEP17	B	3	PD01DEC17	2396.00	2560.39	USD	12,329.25	
01SEP17	S	1	PD01DEC17	2397.00	2560.39	USD	-4,084.75	
01SEP17	B	1	PD01DEC17	2390.50	2560.39	USD	4,247.25	
11SEP17	S	28	PD01DEC17	2273.80	2560.39	USD	-200,613.00	
15SEP17	S	2	PD01DEC17	2355.15	2560.39	USD	-10,262.00	
22SEP17	S	4	PD01DEC17	2488.50	2560.39	USD	-7,189.00	
	NET	0 AVG BGHT 2399.45	SOLD 2321.29				-80,120.00	0.00
04SEP17	B	1	PD04DEC17	2415.00	2560.66	USD	3,641.50	
04SEP17	S	1	PD04DEC17	2396.00	2560.66	USD	-4,116.50	
04SEP17	B	1	PD04DEC17	2408.50	2560.66	USD	3,804.00	
04SEP17	S	1	PD04DEC17	2401.50	2560.66	USD	-3,979.00	
04SEP17	S	1	PD04DEC17	2393.50	2560.66	USD	-4,179.00	
04SEP17	S	2	PD04DEC17	2394.00	2560.66	USD	-8,333.00	
11SEP17	B	3	PD04DEC17	2274.96	2560.66	USD	21,427.50	
	NET	0 AVG BGHT 2329.68	SOLD 2395.80				8,265.50	0.00
05SEP17	S	1	PD05DEC17	2402.00	2560.75	USD	-3,968.75	
05SEP17	S	1	PD05DEC17	2401.50	2560.75	USD	-3,981.25	
05SEP17	S	1	PD05DEC17	2400.00	2560.75	USD	-4,018.75	
05SEP17	S	2	PD05DEC17	2404.00	2560.75	USD	-7,837.50	
05SEP17	B	1	PD05DEC17	2405.00	2560.75	USD	3,893.75	
05SEP17	B	1	PD05DEC17	2404.50	2560.75	USD	3,906.25	
05SEP17	B	1	PD05DEC17	2370.00	2560.75	USD	4,768.75	
05SEP17	B	2	PD05DEC17	2349.00	2560.75	USD	10,587.50	
05SEP17	B	2	PD05DEC17	2338.50	2560.75	USD	11,112.50	
05SEP17	B	1	PD05DEC17	2340.50	2560.75	USD	5,506.25	
05SEP17	B	3	PD05DEC17	2339.00	2560.75	USD	16,631.25	

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05SEP17	S	1	PD05DEC17		2328.50	2560.75	USD	-5,806.25	
05SEP17	S	1	PD05DEC17		2337.00	2560.75	USD	-5,593.75	
05SEP17	S	1	PD05DEC17		2339.00	2560.75	USD	-5,543.75	
05SEP17	S	4	PD05DEC17		2338.00	2560.75	USD	-22,275.00	
05SEP17	S	1	PD05DEC17		2329.00	2560.75	USD	-5,793.75	
05SEP17	S	1	PD05DEC17		2329.50	2560.75	USD	-5,781.25	
05SEP17	S	2	PD05DEC17		2327.50	2560.75	USD	-11,662.50	
05SEP17	S	2	PD05DEC17		2325.50	2560.75	USD	-11,762.50	
05SEP17	S	3	PD05DEC17		2325.00	2560.75	USD	-17,681.25	
05SEP17	S	2	PD05DEC17		2323.00	2560.75	USD	-11,887.50	
05SEP17	S	2	PD05DEC17		2324.50	2560.75	USD	-11,812.50	
05SEP17	S	1	PD05DEC17		2326.50	2560.75	USD	-5,856.25	
05SEP17	S	2	PD05DEC17		2327.00	2560.75	USD	-11,687.50	
05SEP17	S	1	PD05DEC17		2330.50	2560.75	USD	-5,756.25	
05SEP17	S	4	PD05DEC17		2328.00	2560.75	USD	-23,275.00	
05SEP17	S	1	PD05DEC17		2337.50	2560.75	USD	-5,581.25	
11SEP17	B	21	PD05DEC17		2275.28	2560.75	USD	149,871.75	
15SEP17	B	2	PD05DEC17		2356.45	2560.75	USD	10,215.00	
	NET	0AVG BGHT 2306.05		SOLD 2340.09				28,930.50	0.00
06SEP17	B	1	PD06DEC17		2331.00	2561.00	USD	5,750.00	
06SEP17	B	1	PD06DEC17		2330.00	2561.00	USD	5,775.00	
06SEP17	B	1	PD06DEC17		2327.50	2561.00	USD	5,837.50	
06SEP17	B	1	PD06DEC17		2328.00	2561.00	USD	5,825.00	
06SEP17	B	2	PD06DEC17		2328.50	2561.00	USD	11,625.00	
06SEP17	B	3	PD06DEC17		2329.00	2561.00	USD	17,400.00	
06SEP17	B	1	PD06DEC17		2333.00	2561.00	USD	5,700.00	
06SEP17	B	1	PD06DEC17		2331.50	2561.00	USD	5,737.50	
06SEP17	B	1	PD06DEC17		2332.00	2561.00	USD	5,725.00	
06SEP17	S	1	PD06DEC17		2332.00	2561.00	USD	-5,725.00	
06SEP17	B	2	PD06DEC17		2330.50	2561.00	USD	11,525.00	
06SEP17	S	2	PD06DEC17		2340.00	2561.00	USD	-11,050.00	
06SEP17	S	4	PD06DEC17		2340.50	2561.00	USD	-22,050.00	
06SEP17	B	1	PD06DEC17		2340.00	2561.00	USD	5,525.00	
06SEP17	B	1	PD06DEC17		2339.00	2561.00	USD	5,550.00	
06SEP17	B	1	PD06DEC17		2340.50	2561.00	USD	5,512.50	
06SEP17	B	2	PD06DEC17		2342.50	2561.00	USD	10,925.00	
06SEP17	B	1	PD06DEC17		2343.50	2561.00	USD	5,437.50	
06SEP17	B	2	PD06DEC17		2342.00	2561.00	USD	10,950.00	
06SEP17	B	1	PD06DEC17		2342.00	2561.00	USD	5,475.00	
06SEP17	B	1	PD06DEC17		2342.50	2561.00	USD	5,462.50	
06SEP17	B	1	PD06DEC17		2343.50	2561.00	USD	5,437.50	
06SEP17	B	1	PD06DEC17		2341.50	2561.00	USD	5,487.50	
06SEP17	S	1	PD06DEC17		2344.00	2561.00	USD	-5,425.00	
06SEP17	S	4	PD06DEC17		2343.50	2561.00	USD	-21,750.00	
06SEP17	S	1	PD06DEC17		2344.50	2561.00	USD	-5,412.50	
06SEP17	S	1	PD06DEC17		2346.50	2561.00	USD	-5,362.50	
06SEP17	S	1	PD06DEC17		2351.00	2561.00	USD	-5,250.00	
06SEP17	S	4	PD06DEC17		2347.50	2561.00	USD	-21,350.00	
06SEP17	S	4	PD06DEC17		2348.00	2561.00	USD	-21,300.00	
06SEP17	S	1	PD06DEC17		2361.50	2561.00	USD	-4,987.50	
06SEP17	S	1	PD06DEC17		2362.50	2561.00	USD	-4,962.50	
06SEP17	S	1	PD06DEC17		2363.50	2561.00	USD	-4,937.50	
06SEP17	S	7	PD06DEC17		2361.00	2561.00	USD	-35,000.00	
06SEP17	B	1	PD06DEC17		2346.50	2561.00	USD	5,362.50	
06SEP17	B	1	PD06DEC17		2345.50	2561.00	USD	5,387.50	
06SEP17	B	1	PD06DEC17		2343.00	2561.00	USD	5,450.00	
06SEP17	B	3	PD06DEC17		2347.50	2561.00	USD	16,012.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	1	PD06DEC17	2347.00	2561.00	USD	5,350.00	
11SEP17	B	5	PD06DEC17	2275.60	2561.00	USD	35,675.00	
22SEP17	S	5	PD06DEC17	2488.50	2561.00	USD	-9,062.50	
	NET	0	AVG BGHT 2329.53				36,275.00	0.00
			SOLD 2367.71					
07SEP17	S	2	PD07DEC17	2346.00	2561.25	USD	-10,762.50	
07SEP17	S	2	PD07DEC17	2346.50	2561.25	USD	-10,737.50	
07SEP17	S	3	PD07DEC17	2345.00	2561.25	USD	-16,218.75	
07SEP17	S	2	PD07DEC17	2344.50	2561.25	USD	-10,837.50	
07SEP17	S	1	PD07DEC17	2344.00	2561.25	USD	-5,431.25	
07SEP17	B	2	PD07DEC17	2341.50	2561.25	USD	10,987.50	
07SEP17	S	1	PD07DEC17	2334.00	2561.25	USD	-5,681.25	
07SEP17	S	2	PD07DEC17	2333.00	2561.25	USD	-11,412.50	
07SEP17	S	2	PD07DEC17	2332.50	2561.25	USD	-11,437.50	
07SEP17	B	2	PD07DEC17	2332.50	2561.25	USD	11,437.50	
07SEP17	S	1	PD07DEC17	2333.00	2561.25	USD	-5,706.25	
07SEP17	B	2	PD07DEC17	2337.50	2561.25	USD	11,187.50	
07SEP17	B	1	PD07DEC17	2337.00	2561.25	USD	5,606.25	
07SEP17	B	1	PD07DEC17	2341.00	2561.25	USD	5,506.25	
07SEP17	B	2	PD07DEC17	2338.00	2561.25	USD	11,162.50	
07SEP17	B	1	PD07DEC17	2339.00	2561.25	USD	5,556.25	
07SEP17	B	2	PD07DEC17	2339.50	2561.25	USD	11,087.50	
07SEP17	S	1	PD07DEC17	2356.00	2561.25	USD	-5,131.25	
07SEP17	B	1	PD07DEC17	2361.50	2561.25	USD	4,993.75	
07SEP17	B	1	PD07DEC17	2362.00	2561.25	USD	4,981.25	
07SEP17	B	1	PD07DEC17	2362.50	2561.25	USD	4,968.75	
07SEP17	B	1	PD07DEC17	2364.00	2561.25	USD	4,931.25	
07SEP17	B	1	PD07DEC17	2363.50	2561.25	USD	4,943.75	
07SEP17	B	1	PD07DEC17	2360.50	2561.25	USD	5,018.75	
07SEP17	B	3	PD07DEC17	2361.00	2561.25	USD	15,018.75	
07SEP17	B	1	PD07DEC17	2354.50	2561.25	USD	5,168.75	
07SEP17	B	3	PD07DEC17	2354.00	2561.25	USD	15,543.75	
07SEP17	B	1	PD07DEC17	2351.50	2561.25	USD	5,243.75	
07SEP17	B	1	PD07DEC17	2351.00	2561.25	USD	5,256.25	
07SEP17	B	1	PD07DEC17	2337.50	2561.25	USD	5,593.75	
07SEP17	B	1	PD07DEC17	2340.00	2561.25	USD	5,531.25	
07SEP17	B	1	PD07DEC17	2341.50	2561.25	USD	5,493.75	
07SEP17	B	1	PD07DEC17	2363.50	2561.25	USD	4,943.75	
07SEP17	B	1	PD07DEC17	2359.00	2561.25	USD	5,056.25	
07SEP17	B	2	PD07DEC17	2348.00	2561.25	USD	10,662.50	
07SEP17	B	1	PD07DEC17	2344.00	2561.25	USD	5,431.25	
07SEP17	B	2	PD07DEC17	2343.50	2561.25	USD	10,887.50	
07SEP17	B	2	PD07DEC17	2343.00	2561.25	USD	10,912.50	
07SEP17	B	5	PD07DEC17	2342.00	2561.25	USD	27,406.25	
07SEP17	B	5	PD07DEC17	2340.00	2561.25	USD	27,656.25	
07SEP17	S	1	PD07DEC17	2343.00	2561.25	USD	-5,456.25	
07SEP17	S	1	PD07DEC17	2345.50	2561.25	USD	-5,393.75	
07SEP17	S	1	PD07DEC17	2343.00	2561.25	USD	-5,456.25	
07SEP17	S	1	PD07DEC17	2344.00	2561.25	USD	-5,431.25	
11SEP17	S	15	PD07DEC17	2275.72	2561.25	USD	-107,073.75	
22SEP17	S	14	PD07DEC17	2488.50	2561.25	USD	-25,462.50	
	NET	0	AVG BGHT 2346.71				20,545.00	0.00
			SOLD 2363.15					
08SEP17	B	1	PD08DEC17	2345.00	2561.50	USD	5,412.50	
08SEP17	B	1	PD08DEC17	2347.00	2561.50	USD	5,362.50	
08SEP17	B	3	PD08DEC17	2346.50	2561.50	USD	16,125.00	
08SEP17	B	1	PD08DEC17	2351.00	2561.50	USD	5,262.50	
08SEP17	B	5	PD08DEC17	2350.50	2561.50	USD	26,375.00	
08SEP17	B	3	PD08DEC17	2349.50	2561.50	USD	15,900.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	B	3	PD08DEC17	2350.00	2561.50	USD	15,862.50	
08SEP17	S	2	PD08DEC17	2342.00	2561.50	USD	-10,975.00	
08SEP17	S	1	PD08DEC17	2339.00	2561.50	USD	-5,562.50	
08SEP17	S	1	PD08DEC17	2333.50	2561.50	USD	-5,700.00	
08SEP17	S	1	PD08DEC17	2332.50	2561.50	USD	-5,725.00	
08SEP17	S	1	PD08DEC17	2334.50	2561.50	USD	-5,675.00	
08SEP17	S	2	PD08DEC17	2334.00	2561.50	USD	-11,375.00	
08SEP17	S	1	PD08DEC17	2332.00	2561.50	USD	-5,737.50	
08SEP17	S	1	PD08DEC17	2331.50	2561.50	USD	-5,750.00	
08SEP17	S	1	PD08DEC17	2329.50	2561.50	USD	-5,800.00	
08SEP17	S	1	PD08DEC17	2329.00	2561.50	USD	-5,812.50	
08SEP17	S	1	PD08DEC17	2327.50	2561.50	USD	-5,850.00	
08SEP17	S	1	PD08DEC17	2327.00	2561.50	USD	-5,862.50	
08SEP17	S	2	PD08DEC17	2325.50	2561.50	USD	-11,800.00	
08SEP17	S	1	PD08DEC17	2323.00	2561.50	USD	-5,962.50	
08SEP17	S	1	PD08DEC17	2322.00	2561.50	USD	-5,987.50	
08SEP17	S	1	PD08DEC17	2322.50	2561.50	USD	-5,975.00	
08SEP17	S	1	PD08DEC17	2317.00	2561.50	USD	-6,112.50	
08SEP17	S	2	PD08DEC17	2316.50	2561.50	USD	-12,250.00	
08SEP17	S	1	PD08DEC17	2315.50	2561.50	USD	-6,150.00	
08SEP17	S	2	PD08DEC17	2314.00	2561.50	USD	-12,375.00	
08SEP17	S	3	PD08DEC17	2315.00	2561.50	USD	-18,487.50	
08SEP17	S	6	PD08DEC17	2313.50	2561.50	USD	-37,200.00	
08SEP17	S	2	PD08DEC17	2316.00	2561.50	USD	-12,275.00	
08SEP17	S	1	PD08DEC17	2317.50	2561.50	USD	-6,100.00	
08SEP17	B	1	PD08DEC17	2321.00	2561.50	USD	6,012.50	
08SEP17	B	1	PD08DEC17	2319.00	2561.50	USD	6,062.50	
08SEP17	B	1	PD08DEC17	2317.50	2561.50	USD	6,100.00	
08SEP17	B	3	PD08DEC17	2318.50	2561.50	USD	18,225.00	
08SEP17	B	2	PD08DEC17	2321.50	2561.50	USD	12,000.00	
08SEP17	B	1	PD08DEC17	2322.50	2561.50	USD	5,975.00	
08SEP17	S	1	PD08DEC17	2317.00	2561.50	USD	-6,112.50	
08SEP17	S	1	PD08DEC17	2315.50	2561.50	USD	-6,150.00	
08SEP17	B	2	PD08DEC17	2294.00	2561.50	USD	13,375.00	
08SEP17	B	1	PD08DEC17	2294.50	2561.50	USD	6,675.00	
08SEP17	S	2	PD08DEC17	2291.50	2561.50	USD	-13,500.00	
08SEP17	S	1	PD08DEC17	2301.00	2561.50	USD	-6,512.50	
08SEP17	S	1	PD08DEC17	2302.00	2561.50	USD	-6,487.50	
08SEP17	S	1	PD08DEC17	2280.00	2561.50	USD	-7,037.50	
08SEP17	S	1	PD08DEC17	2279.50	2561.50	USD	-7,050.00	
08SEP17	S	1	PD08DEC17	2290.50	2561.50	USD	-6,775.00	
08SEP17	B	3	PD08DEC17	2270.50	2561.50	USD	21,825.00	
08SEP17	S	1	PD08DEC17	2269.00	2561.50	USD	-7,312.50	
11SEP17	B	20	PD08DEC17	2276.24	2561.50	USD	142,630.00	
22SEP17	S	5	PD08DEC17	2488.50	2561.50	USD	-9,125.00	
	NET	0AVG BGHT 2308.28	SOLD 2333.38				32,617.50	0.00
11SEP17	S	3	PD11DEC17	2271.50	2562.25	USD	-21,806.25	
11SEP17	B	1	PD11DEC17	2270.50	2562.25	USD	7,293.75	
11SEP17	B	1	PD11DEC17	2267.50	2562.25	USD	7,368.75	
11SEP17	B	1	PD11DEC17	2268.00	2562.25	USD	7,356.25	
11SEP17	B	1	PD11DEC17	2270.00	2562.25	USD	7,306.25	
11SEP17	S	3	PD11DEC17	2272.00	2562.25	USD	-21,768.75	
11SEP17	S	4	PD11DEC17	2272.50	2562.25	USD	-28,975.00	
11SEP17	S	2	PD11DEC17	2273.00	2562.25	USD	-14,462.50	
11SEP17	S	2	PD11DEC17	2270.00	2562.25	USD	-14,612.50	
11SEP17	B	1	PD11DEC17	2271.50	2562.25	USD	7,268.75	
11SEP17	B	1	PD11DEC17	2281.00	2562.25	USD	7,031.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11SEP17	B	1	PD11DEC17	2283.00	2562.25	USD	6,981.25	
11SEP17	B	1	PD11DEC17	2267.50	2562.25	USD	7,368.75	
11SEP17	B	1	PD11DEC17	2270.50	2562.25	USD	7,293.75	
11SEP17	B	1	PD11DEC17	2270.00	2562.25	USD	7,306.25	
11SEP17	B	2	PD11DEC17	2284.50	2562.25	USD	13,887.50	
11SEP17	B	2	PD11DEC17	2286.00	2562.25	USD	13,812.50	
11SEP17	S	1	PD11DEC17	2282.50	2562.25	USD	-6,993.75	
11SEP17	S	3	PD11DEC17	2281.50	2562.25	USD	-21,056.25	
11SEP17	B	1	PD11DEC17	2283.50	2562.25	USD	6,968.75	
11SEP17	B	1	PD11DEC17	2278.50	2562.25	USD	7,093.75	
11SEP17	B	2	PD11DEC17	2284.00	2562.25	USD	13,912.50	
11SEP17	B	2	PD11DEC17	2282.00	2562.25	USD	14,012.50	
11SEP17	B	1	PD11DEC17	2281.50	2562.25	USD	7,018.75	
11SEP17	B	2	PD11DEC17	2282.50	2562.25	USD	13,987.50	
11SEP17	B	1	PD11DEC17	2276.50	2562.25	USD	7,143.75	
11SEP17	B	1	PD11DEC17	2279.50	2562.25	USD	7,068.75	
11SEP17	B	2	PD11DEC17	2279.00	2562.25	USD	14,162.50	
11SEP17	B	3	PD11DEC17	2274.00	2562.25	USD	21,618.75	
11SEP17	B	1	PD11DEC17	2277.00	2562.25	USD	7,131.25	
11SEP17	B	1	PD11DEC17	2277.50	2562.25	USD	7,118.75	
11SEP17	B	3	PD11DEC17	2269.00	2562.25	USD	21,993.75	
11SEP17	B	2	PD11DEC17	2268.50	2562.25	USD	14,687.50	
11SEP17	B	2	PD11DEC17	2267.00	2562.25	USD	14,762.50	
11SEP17	B	2	PD11DEC17	2266.00	2562.25	USD	14,812.50	
11SEP17	B	1	PD11DEC17	2265.50	2562.25	USD	7,418.75	
11SEP17	B	1	PD11DEC17	2261.00	2562.25	USD	7,531.25	
11SEP17	B	1	PD11DEC17	2262.00	2562.25	USD	7,506.25	
11SEP17	B	1	PD11DEC17	2262.50	2562.25	USD	7,493.75	
11SEP17	B	4	PD11DEC17	2261.50	2562.25	USD	30,075.00	
11SEP17	B	2	PD11DEC17	2265.00	2562.25	USD	14,862.50	
11SEP17	B	3	PD11DEC17	2272.00	2562.25	USD	21,768.75	
11SEP17	B	1	PD11DEC17	2273.50	2562.25	USD	7,218.75	
11SEP17	B	5	PD11DEC17	2274.50	2562.25	USD	35,968.75	
11SEP17	B	2	PD11DEC17	2275.00	2562.25	USD	14,362.50	
15SEP17	S	2	PD11DEC17	2357.90	2562.25	USD	-10,217.50	
22SEP17	S	42	PD11DEC17	2488.50	2562.25	USD	-77,437.50	
	NET	0AVG BGHT 2273.23	SOLD 2422.04				230,645.00	0.00
12SEP17	S	1	PD12DEC17	2278.00	2562.50	USD	-7,112.50	
12SEP17	S	1	PD12DEC17	2276.00	2562.50	USD	-7,162.50	
12SEP17	S	1	PD12DEC17	2276.50	2562.50	USD	-7,150.00	
12SEP17	S	3	PD12DEC17	2277.50	2562.50	USD	-21,375.00	
12SEP17	S	1	PD12DEC17	2277.00	2562.50	USD	-7,137.50	
12SEP17	S	1	PD12DEC17	2273.00	2562.50	USD	-7,237.50	
12SEP17	S	6	PD12DEC17	2278.50	2562.50	USD	-42,600.00	
12SEP17	S	2	PD12DEC17	2279.50	2562.50	USD	-14,150.00	
12SEP17	S	1	PD12DEC17	2279.00	2562.50	USD	-7,087.50	
12SEP17	S	3	PD12DEC17	2281.50	2562.50	USD	-21,075.00	
12SEP17	S	1	PD12DEC17	2280.50	2562.50	USD	-7,050.00	
12SEP17	S	3	PD12DEC17	2281.00	2562.50	USD	-21,112.50	
12SEP17	S	1	PD12DEC17	2280.00	2562.50	USD	-7,062.50	
12SEP17	B	1	PD12DEC17	2276.00	2562.50	USD	7,162.50	
12SEP17	B	2	PD12DEC17	2277.00	2562.50	USD	14,275.00	
12SEP17	B	1	PD12DEC17	2280.00	2562.50	USD	7,062.50	
12SEP17	B	1	PD12DEC17	2285.00	2562.50	USD	6,937.50	
12SEP17	B	1	PD12DEC17	2288.50	2562.50	USD	6,850.00	
12SEP17	B	3	PD12DEC17	2289.00	2562.50	USD	20,512.50	
12SEP17	B	2	PD12DEC17	2284.00	2562.50	USD	13,925.00	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	2278.50	2562.50	USD	-7,100.00	
12SEP17	S	1	PD12DEC17	2276.00	2562.50	USD	-7,162.50	
12SEP17	B	1	PD12DEC17	2258.50	2562.50	USD	7,600.00	
12SEP17	B	1	PD12DEC17	2272.00	2562.50	USD	7,262.50	
12SEP17	B	3	PD12DEC17	2271.50	2562.50	USD	21,825.00	
12SEP17	B	1	PD12DEC17	2271.00	2562.50	USD	7,287.50	
12SEP17	B	1	PD12DEC17	2275.00	2562.50	USD	7,187.50	
12SEP17	B	2	PD12DEC17	2274.50	2562.50	USD	14,400.00	
12SEP17	B	2	PD12DEC17	2281.00	2562.50	USD	14,075.00	
12SEP17	B	1	PD12DEC17	2280.50	2562.50	USD	7,050.00	
12SEP17	B	1	PD12DEC17	2288.00	2562.50	USD	6,862.50	
12SEP17	B	3	PD12DEC17	2287.50	2562.50	USD	20,625.00	
12SEP17	B	7	PD12DEC17	2287.00	2562.50	USD	48,212.50	
12SEP17	S	1	PD12DEC17	2292.00	2562.50	USD	-6,762.50	
12SEP17	S	1	PD12DEC17	2291.00	2562.50	USD	-6,787.50	
12SEP17	B	1	PD12DEC17	2291.00	2562.50	USD	6,787.50	
12SEP17	B	2	PD12DEC17	2289.50	2562.50	USD	13,650.00	
12SEP17	B	1	PD12DEC17	2287.00	2562.50	USD	6,887.50	
15SEP17	B	4	PD12DEC17	2358.38	2562.50	USD	20,412.00	
22SEP17	S	13	PD12DEC17	2488.50	2562.50	USD	-24,050.00	
	NET	0AVG BGHT 2289.31	SOLD 2344.24				57,674.50	0.00
13SEP17	B	2	PD13DEC17	2291.00	2562.75	USD	13,587.50	
13SEP17	B	1	PD13DEC17	2289.50	2562.75	USD	6,831.25	
13SEP17	B	2	PD13DEC17	2290.00	2562.75	USD	13,637.50	
13SEP17	S	1	PD13DEC17	2290.50	2562.75	USD	-6,806.25	
13SEP17	B	7	PD13DEC17	2290.50	2562.75	USD	47,643.75	
13SEP17	B	3	PD13DEC17	2287.50	2562.75	USD	20,643.75	
13SEP17	B	3	PD13DEC17	2288.00	2562.75	USD	20,606.25	
13SEP17	S	3	PD13DEC17	2307.00	2562.75	USD	-19,181.25	
13SEP17	S	1	PD13DEC17	2288.50	2562.75	USD	-6,856.25	
13SEP17	S	5	PD13DEC17	2290.00	2562.75	USD	-34,093.75	
13SEP17	S	1	PD13DEC17	2291.00	2562.75	USD	-6,793.75	
13SEP17	S	1	PD13DEC17	2292.00	2562.75	USD	-6,768.75	
13SEP17	S	2	PD13DEC17	2303.50	2562.75	USD	-12,962.50	
13SEP17	B	3	PD13DEC17	2301.50	2562.75	USD	19,593.75	
13SEP17	B	1	PD13DEC17	2302.00	2562.75	USD	6,518.75	
13SEP17	B	5	PD13DEC17	2300.50	2562.75	USD	32,781.25	
13SEP17	B	1	PD13DEC17	2298.50	2562.75	USD	6,606.25	
13SEP17	B	1	PD13DEC17	2299.00	2562.75	USD	6,593.75	
13SEP17	B	1	PD13DEC17	2299.50	2562.75	USD	6,581.25	
13SEP17	B	1	PD13DEC17	2300.00	2562.75	USD	6,568.75	
13SEP17	B	2	PD13DEC17	2294.00	2562.75	USD	13,437.50	
13SEP17	B	2	PD13DEC17	2292.00	2562.75	USD	13,537.50	
19SEP17	S	4	PD13DEC17	2419.75	2562.75	USD	-14,300.00	
22SEP17	S	17	PD13DEC17	2488.50	2562.75	USD	-31,556.25	
	NET	0AVG BGHT 2293.99	SOLD 2403.53				95,850.00	0.00
14SEP17	B	1	PD14DEC17	2299.50	2563.00	USD	6,587.50	
14SEP17	B	1	PD14DEC17	2299.00	2563.00	USD	6,600.00	
14SEP17	S	3	PD14DEC17	2297.00	2563.00	USD	-19,950.00	
14SEP17	S	1	PD14DEC17	2294.50	2563.00	USD	-6,712.50	
14SEP17	S	1	PD14DEC17	2307.50	2563.00	USD	-6,387.50	
14SEP17	S	3	PD14DEC17	2308.50	2563.00	USD	-19,087.50	
14SEP17	B	1	PD14DEC17	2307.50	2563.00	USD	6,387.50	
14SEP17	S	1	PD14DEC17	2303.50	2563.00	USD	-6,487.50	
22SEP17	B	6	PD14DEC17	2488.70	2563.00	USD	11,145.00	
	NET	0AVG BGHT 2426.47	SOLD 2302.44				-27,905.00	0.00

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	S	1	PD15DEC17	2323.50	2563.25	USD	-5,993.75	
15SEP17	S	3	PD15DEC17	2322.50	2563.25	USD	-18,056.25	
15SEP17	S	2	PD15DEC17	2326.50	2563.25	USD	-11,837.50	
15SEP17	S	5	PD15DEC17	2326.00	2563.25	USD	-29,656.25	
15SEP17	S	1	PD15DEC17	2327.50	2563.25	USD	-5,893.75	
15SEP17	S	1	PD15DEC17	2317.50	2563.25	USD	-6,143.75	
15SEP17	S	3	PD15DEC17	2317.00	2563.25	USD	-18,468.75	
15SEP17	S	1	PD15DEC17	2316.00	2563.25	USD	-6,181.25	
15SEP17	S	2	PD15DEC17	2315.50	2563.25	USD	-12,387.50	
15SEP17	S	1	PD15DEC17	2317.00	2563.25	USD	-6,156.25	
15SEP17	S	1	PD15DEC17	2313.50	2563.25	USD	-6,243.75	
15SEP17	S	1	PD15DEC17	2312.00	2563.25	USD	-6,281.25	
15SEP17	S	1	PD15DEC17	2347.00	2563.25	USD	-5,406.25	
15SEP17	S	1	PD15DEC17	2348.00	2563.25	USD	-5,381.25	
15SEP17	S	1	PD15DEC17	2342.00	2563.25	USD	-5,531.25	
15SEP17	S	1	PD15DEC17	2342.50	2563.25	USD	-5,518.75	
15SEP17	S	1	PD15DEC17	2340.50	2563.25	USD	-5,568.75	
15SEP17	S	1	PD15DEC17	2341.50	2563.25	USD	-5,543.75	
15SEP17	S	1	PD15DEC17	2339.50	2563.25	USD	-5,593.75	
15SEP17	S	1	PD15DEC17	2339.00	2563.25	USD	-5,606.25	
15SEP17	S	2	PD15DEC17	2336.50	2563.25	USD	-11,337.50	
15SEP17	S	2	PD15DEC17	2358.50	2563.25	USD	-10,237.50	
15SEP17	S	2	PD15DEC17	2359.50	2563.25	USD	-10,187.50	
15SEP17	S	1	PD15DEC17	2358.00	2563.25	USD	-5,131.25	
15SEP17	S	4	PD15DEC17	2359.00	2563.25	USD	-20,425.00	
15SEP17	S	4	PD15DEC17	2345.50	2563.25	USD	-21,775.00	
15SEP17	S	3	PD15DEC17	2346.00	2563.25	USD	-16,293.75	
15SEP17	S	3	PD15DEC17	2346.50	2563.25	USD	-16,256.25	
15SEP17	S	1	PD15DEC17	2335.00	2563.25	USD	-5,706.25	
15SEP17	S	1	PD15DEC17	2347.50	2563.25	USD	-5,393.75	
15SEP17	S	1	PD15DEC17	2348.00	2563.25	USD	-5,381.25	
15SEP17	S	1	PD15DEC17	2345.50	2563.25	USD	-5,443.75	
15SEP17	S	1	PD15DEC17	2334.50	2563.25	USD	-5,718.75	
15SEP17	S	1	PD15DEC17	2335.00	2563.25	USD	-5,706.25	
15SEP17	S	2	PD15DEC17	2358.50	2563.25	USD	-10,237.50	
15SEP17	S	1	PD15DEC17	2359.50	2563.25	USD	-5,093.75	
15SEP17	S	1	PD15DEC17	2339.50	2563.25	USD	-5,593.75	
15SEP17	S	1	PD15DEC17	2353.50	2563.25	USD	-5,243.75	
15SEP17	S	1	PD15DEC17	2353.00	2563.25	USD	-5,256.25	
15SEP17	S	4	PD15DEC17	2346.50	2563.25	USD	-21,675.00	
15SEP17	S	1	PD15DEC17	2355.50	2563.25	USD	-5,193.75	
15SEP17	S	2	PD15DEC17	2354.50	2563.25	USD	-10,437.50	
19SEP17	B	4	PD15DEC17	2420.45	2563.25	USD	14,280.00	
22SEP17	B	66	PD15DEC17	2488.70	2563.25	USD	123,007.50	
	NET	0AVG BGHT 2484.80	SOLD 2339.72				-253,887.50	0.00
18SEP17	B	1	PD18DEC17	2385.50	2564.00	USD	4,462.50	
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	1	PD18DEC17	2394.50	2564.00	USD	-4,237.50	
18SEP17	S	1	PD18DEC17	2394.00	2564.00	USD	-4,250.00	
18SEP17	S	1	PD18DEC17	2393.50	2564.00	USD	-4,262.50	
18SEP17	S	1	PD18DEC17	2392.50	2564.00	USD	-4,287.50	
18SEP17	S	1	PD18DEC17	2397.50	2564.00	USD	-4,162.50	
18SEP17	S	1	PD18DEC17	2393.00	2564.00	USD	-4,275.00	
18SEP17	S	2	PD18DEC17	2394.00	2564.00	USD	-8,500.00	
22SEP17	B	8	PD18DEC17	2488.70	2564.00	USD	15,060.00	
	NET	0AVG BGHT 2477.23	SOLD 2394.00				-18,727.50	0.00
19SEP17	B	1	PD19DEC17	2393.50	2564.25	USD	4,268.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	2	PD19DEC17	2390.50	2564.25	USD	-8,687.50	
19SEP17	S	2	PD19DEC17	2390.00	2564.25	USD	-8,712.50	
19SEP17	B	4	PD19DEC17	2391.50	2564.25	USD	17,275.00	
19SEP17	B	1	PD19DEC17	2389.00	2564.25	USD	4,381.25	
19SEP17	B	2	PD19DEC17	2389.50	2564.25	USD	8,737.50	
19SEP17	B	1	PD19DEC17	2390.00	2564.25	USD	4,356.25	
19SEP17	B	1	PD19DEC17	2385.50	2564.25	USD	4,468.75	
19SEP17	S	1	PD19DEC17	2385.00	2564.25	USD	-4,481.25	
19SEP17	S	1	PD19DEC17	2411.50	2564.25	USD	-3,818.75	
19SEP17	S	3	PD19DEC17	2413.50	2564.25	USD	-11,306.25	
19SEP17	S	1	PD19DEC17	2413.00	2564.25	USD	-3,781.25	
19SEP17	S	1	PD19DEC17	2409.00	2564.25	USD	-3,881.25	
19SEP17	S	5	PD19DEC17	2412.50	2564.25	USD	-18,968.75	
19SEP17	S	1	PD19DEC17	2412.00	2564.25	USD	-3,806.25	
19SEP17	S	3	PD19DEC17	2399.50	2564.25	USD	-12,356.25	
19SEP17	S	1	PD19DEC17	2399.00	2564.25	USD	-4,131.25	
19SEP17	B	1	PD19DEC17	2437.00	2564.25	USD	3,181.25	
19SEP17	S	1	PD19DEC17	2412.00	2564.25	USD	-3,806.25	
19SEP17	B	1	PD19DEC17	2422.50	2564.25	USD	3,543.75	
19SEP17	B	1	PD19DEC17	2423.50	2564.25	USD	3,518.75	
19SEP17	B	1	PD19DEC17	2423.00	2564.25	USD	3,531.25	
22SEP17	B	8	PD19DEC17	2488.70	2564.25	USD	15,110.00	
	NET	0 AVG BGHT 2432.66	SOLD 2404.73				-15,365.00	0.00
20SEP17	B	1	PD20DEC17	2440.00	2564.50	USD	3,112.50	
20SEP17	B	1	PD20DEC17	2443.00	2564.50	USD	3,037.50	
20SEP17	B	1	PD20DEC17	2446.50	2564.50	USD	2,950.00	
20SEP17	B	3	PD20DEC17	2445.50	2564.50	USD	8,925.00	
20SEP17	B	2	PD20DEC17	2446.00	2564.50	USD	5,925.00	
20SEP17	B	2	PD20DEC17	2449.00	2564.50	USD	5,775.00	
20SEP17	S	2	PD20DEC17	2455.00	2564.50	USD	-5,475.00	
20SEP17	B	3	PD20DEC17	2453.00	2564.50	USD	8,362.50	
20SEP17	B	3	PD20DEC17	2454.00	2564.50	USD	8,287.50	
20SEP17	S	1	PD20DEC17	2453.00	2564.50	USD	-2,787.50	
20SEP17	S	1	PD20DEC17	2459.50	2564.50	USD	-2,625.00	
20SEP17	B	2	PD20DEC17	2454.50	2564.50	USD	5,500.00	
20SEP17	S	1	PD20DEC17	2455.50	2564.50	USD	-2,725.00	
20SEP17	S	1	PD20DEC17	2454.50	2564.50	USD	-2,750.00	
20SEP17	S	2	PD20DEC17	2469.00	2564.50	USD	-4,775.00	
20SEP17	S	1	PD20DEC17	2467.00	2564.50	USD	-2,437.50	
20SEP17	S	1	PD20DEC17	2465.00	2564.50	USD	-2,487.50	
20SEP17	B	2	PD20DEC17	2470.50	2564.50	USD	4,700.00	
20SEP17	S	1	PD20DEC17	2463.00	2564.50	USD	-2,537.50	
20SEP17	S	1	PD20DEC17	2461.50	2564.50	USD	-2,575.00	
20SEP17	S	2	PD20DEC17	2458.00	2564.50	USD	-5,325.00	
22SEP17	S	6	PD20DEC17	2488.50	2564.50	USD	-11,400.00	
	NET	0 AVG BGHT 2451.35	SOLD 2468.70				8,675.00	0.00
21SEP17	B	1	PD21DEC17	2452.50	2563.50	USD	2,775.00	
21SEP17	S	2	PD21DEC17	2444.00	2563.50	USD	-5,975.00	
21SEP17	S	4	PD21DEC17	2445.50	2563.50	USD	-11,800.00	
21SEP17	S	1	PD21DEC17	2435.50	2563.50	USD	-3,200.00	
21SEP17	S	1	PD21DEC17	2434.00	2563.50	USD	-3,237.50	
21SEP17	S	1	PD21DEC17	2431.50	2563.50	USD	-3,300.00	
21SEP17	S	2	PD21DEC17	2432.00	2563.50	USD	-6,575.00	
21SEP17	S	2	PD21DEC17	2434.50	2563.50	USD	-6,450.00	
21SEP17	S	2	PD21DEC17	2432.50	2563.50	USD	-6,550.00	
21SEP17	S	1	PD21DEC17	2475.00	2563.50	USD	-2,212.50	
21SEP17	B	1	PD21DEC17	2481.50	2563.50	USD	2,050.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2502.00	2563.50	USD	-1,537.50	
21SEP17	S	1	PD21DEC17	2479.00	2563.50	USD	-2,112.50	
21SEP17	S	3	PD21DEC17	2445.00	2563.50	USD	-8,887.50	
21SEP17	S	2	PD21DEC17	2447.50	2563.50	USD	-5,800.00	
21SEP17	B	2	PD21DEC17	2443.50	2563.50	USD	6,000.00	
21SEP17	B	1	PD21DEC17	2454.00	2563.50	USD	2,737.50	
22SEP17	B	18	PD21DEC17	2485.95	2563.50	USD	34,897.50	
	NET	0 AVG BGHT 2479.22	SOLD 2445.87				-19,177.50	0.00
22SEP17	B	2	PD22DEC17	2386.00	2563.50	USD	8,875.00	
22SEP17	S	1	PD22DEC17	2463.50	2563.50	USD	-2,500.00	
22SEP17	S	1	PD22DEC17	2470.50	2563.50	USD	-2,325.00	
22SEP17	S	1	PD22DEC17	2469.00	2563.50	USD	-2,362.50	
22SEP17	S	3	PD22DEC17	2468.50	2563.50	USD	-7,125.00	
22SEP17	S	5	PD22DEC17	2475.50	2563.50	USD	-11,000.00	
22SEP17	B	1	PD22DEC17	2485.00	2563.50	USD	1,962.50	
22SEP17	B	3	PD22DEC17	2486.00	2563.50	USD	5,812.50	
29SEP17	B	5	PD22DEC17	2488.00	2563.50	USD	9,437.50	
	NET	0 AVG BGHT 2468.64	SOLD 2471.45				775.00	0.00
25SEP17	B	2	PD27DEC17	2501.00	2563.50	USD	3,125.00	
25SEP17	B	1	PD27DEC17	2491.00	2563.50	USD	1,812.50	
25SEP17	S	1	PD27DEC17	2487.50	2563.50	USD	-1,900.00	
25SEP17	S	1	PD27DEC17	2488.50	2563.50	USD	-1,875.00	
25SEP17	B	1	PD27DEC17	2490.00	2563.50	USD	1,837.50	
25SEP17	S	3	PD27DEC17	2502.00	2563.50	USD	-4,612.50	
25SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
25SEP17	S	3	PD27DEC17	2503.00	2563.50	USD	-4,537.50	
25SEP17	B	1	PD27DEC17	2490.50	2563.50	USD	1,825.00	
25SEP17	B	4	PD27DEC17	2489.50	2563.50	USD	7,400.00	
25SEP17	B	3	PD27DEC17	2493.00	2563.50	USD	5,287.50	
25SEP17	B	3	PD27DEC17	2494.00	2563.50	USD	5,212.50	
25SEP17	S	1	PD27DEC17	2502.50	2563.50	USD	-1,525.00	
26SEP17	S	1	PD27DEC17	2475.00	2563.50	USD	-2,212.50	
26SEP17	S	1	PD27DEC17	2474.50	2563.50	USD	-2,225.00	
26SEP17	S	4	PD27DEC17	2471.00	2563.50	USD	-9,250.00	
26SEP17	S	2	PD27DEC17	2470.50	2563.50	USD	-4,650.00	
26SEP17	B	3	PD27DEC17	2466.00	2563.50	USD	7,312.50	
26SEP17	B	1	PD27DEC17	2465.00	2563.50	USD	2,462.50	
26SEP17	S	1	PD27DEC17	2484.00	2563.50	USD	-1,987.50	
26SEP17	S	2	PD27DEC17	2483.50	2563.50	USD	-4,000.00	
26SEP17	S	1	PD27DEC17	2479.50	2563.50	USD	-2,100.00	
26SEP17	B	2	PD27DEC17	2484.50	2563.50	USD	3,950.00	
26SEP17	B	2	PD27DEC17	2484.00	2563.50	USD	3,975.00	
27SEP17	B	1	PD27DEC17	2479.50	2563.50	USD	2,100.00	
27SEP17	B	1	PD27DEC17	2480.00	2563.50	USD	2,087.50	
27SEP17	B	1	PD27DEC17	2480.50	2563.50	USD	2,075.00	
27SEP17	B	1	PD27DEC17	2476.50	2563.50	USD	2,175.00	
27SEP17	B	3	PD27DEC17	2461.50	2563.50	USD	7,650.00	
27SEP17	B	1	PD27DEC17	2461.00	2563.50	USD	2,562.50	
27SEP17	S	2	PD27DEC17	2462.50	2563.50	USD	-5,050.00	
27SEP17	S	1	PD27DEC17	2460.50	2563.50	USD	-2,575.00	
27SEP17	S	1	PD27DEC17	2460.50	2563.50	USD	-2,575.00	
27SEP17	S	1	PD27DEC17	2464.50	2563.50	USD	-2,475.00	
27SEP17	S	3	PD27DEC17	2465.00	2563.50	USD	-7,387.50	
27SEP17	B	4	PD27DEC17	2465.50	2563.50	USD	9,800.00	
29SEP17	S	5	PD27DEC17	2486.80	2563.50	USD	-9,587.50	
	NET	0 AVG BGHT 2480.47	SOLD 2481.16				600.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	2508.50	2563.70	USD	-1,380.00	
29SEP17	S	2	PD29DEC17	2507.00	2563.70	USD	-2,835.00	
29SEP17	S	1	PD29DEC17	2506.00	2563.70	USD	-1,442.50	
29SEP17	S	1	PD29DEC17	2509.00	2563.70	USD	-1,367.50	
29SEP17	B	5	PD29DEC17	2512.16	2563.70	USD	6,442.50	
	NET	0AVG BGHT 2512.16	SOLD 2507.50				-582.50	0.00
09OCT17	B	1	PD09JAN18	2538.00	2564.80	USD	670.00	
09OCT17	B	1	PD09JAN18	2538.50	2564.80	USD	657.50	
09OCT17	B	1	PD09JAN18	2536.50	2564.80	USD	707.50	
09OCT17	B	1	PD09JAN18	2534.00	2564.80	USD	770.00	
09OCT17	S	1	PD09JAN18	2528.50	2564.80	USD	-907.50	
09OCT17	S	1	PD09JAN18	2535.50	2564.80	USD	-732.50	
09OCT17	B	3	PD09JAN18	2529.50	2564.80	USD	2,647.50	
09OCT17	B	1	PD09JAN18	2530.00	2564.80	USD	870.00	
09OCT17	S	5	PD09JAN18	2528.00	2564.80	USD	-4,600.00	
09OCT17	S	1	PD09JAN18	2527.50	2564.80	USD	-932.50	
	NET	0AVG BGHT 2533.19	SOLD 2528.94				-850.00	0.00
10OCT17	B	1	PD10JAN18	2516.50	2564.90	USD	1,210.00	
10OCT17	S	1	PD10JAN18	2501.50	2564.90	USD	-1,585.00	
10OCT17	B	1	PD10JAN18	2502.00	2564.90	USD	1,572.50	
10OCT17	S	1	PD10JAN18	2502.00	2564.90	USD	-1,572.50	
10OCT17	S	1	PD10JAN18	2515.00	2564.90	USD	-1,247.50	
10OCT17	S	1	PD10JAN18	2507.50	2564.90	USD	-1,435.00	
10OCT17	B	1	PD10JAN18	2507.50	2564.90	USD	1,435.00	
10OCT17	B	1	PD10JAN18	2509.00	2564.90	USD	1,397.50	
10OCT17	S	2	PD10JAN18	2531.00	2564.90	USD	-1,695.00	
10OCT17	S	2	PD10JAN18	2538.00	2564.90	USD	-1,345.00	
	NET	-4AVG BGHT 2508.75	SOLD 2520.50				-3,265.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	5	PD02NOV17	10481.62	11079.46	USD	17,935.20	
11OCT17	S	5	PD02NOV17	11079.46	11079.46	USD	0.00	
	NET	0AVG BGHT 10481.62	SOLD 11079.46				17,935.20	0.00
11AUG17	B	1	PD10NOV17	10635.00	11087.18	USD	2,713.08	
11AUG17	B	1	PD10NOV17	10615.00	11087.18	USD	2,833.08	
11AUG17	S	1	PD10NOV17	10610.00	11087.18	USD	-2,863.08	
11AUG17	B	1	PD10NOV17	10600.00	11087.18	USD	2,923.08	
22AUG17	S	2	PD10NOV17	11410.04	11087.18	USD	3,874.32	
	NET	0AVG BGHT 10616.67	SOLD 11143.36				9,480.48	0.00
16AUG17	B	1	PD16NOV17	10415.00	11092.77	USD	4,066.62	
16AUG17	B	4	PD16NOV17	10425.00	11092.77	USD	16,026.48	
16AUG17	B	1	PD16NOV17	10450.00	11092.77	USD	3,856.62	
16AUG17	S	1	PD16NOV17	10770.00	11092.77	USD	-1,936.62	
22AUG17	S	2	PD16NOV17	11411.50	11092.77	USD	3,824.76	
22AUG17	S	3	PD16NOV17	11412.50	11092.77	USD	5,755.14	
	NET	0AVG BGHT 10427.50	SOLD 11305.08				31,593.00	0.00
17AUG17	B	4	PD17NOV17	10700.00	11093.54	USD	9,444.96	
17AUG17	B	1	PD17NOV17	10685.00	11093.54	USD	2,451.24	
18AUG17	S	1	PD17NOV17	10740.00	11093.54	USD	-2,121.24	
18AUG17	S	1	PD17NOV17	10735.00	11093.54	USD	-2,151.24	
18AUG17	B	2	PD17NOV17	10760.00	11093.54	USD	4,002.48	
18AUG17	S	2	PD17NOV17	10800.00	11093.54	USD	-3,522.48	
18AUG17	S	1	PD17NOV17	10805.00	11093.54	USD	-1,731.24	
18AUG17	S	1	PD17NOV17	10810.00	11093.54	USD	-1,701.24	
18AUG17	S	1	PD17NOV17	10815.00	11093.54	USD	-1,671.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	1	PD17NOV17	11035.00	11093.54	USD	-351.24	
22AUG17	B	1	PD17NOV17	11413.92	11093.54	USD	-1,922.28	
	NET	0 AVG	BGHT 10802.37				726.48	0.00
21AUG17	S	1	PD21NOV17	11210.00	11096.63	USD	680.22	
21AUG17	S	1	PD21NOV17	11195.00	11096.63	USD	590.22	
21AUG17	B	1	PD21NOV17	11035.00	11096.63	USD	369.78	
21AUG17	B	1	PD21NOV17	10980.00	11096.63	USD	699.78	
21AUG17	B	1	PD21NOV17	11040.00	11096.63	USD	339.78	
21AUG17	B	1	PD21NOV17	11165.00	11096.63	USD	-410.22	
21AUG17	S	2	PD21NOV17	11185.00	11096.63	USD	1,060.44	
21AUG17	S	1	PD21NOV17	11215.00	11096.63	USD	710.22	
21AUG17	S	1	PD21NOV17	11230.00	11096.63	USD	800.22	
21AUG17	S	1	PD21NOV17	11240.00	11096.63	USD	860.22	
21AUG17	B	1	PD21NOV17	11240.00	11096.63	USD	-860.22	
21AUG17	S	2	PD21NOV17	11235.00	11096.63	USD	1,660.44	
21AUG17	S	1	PD21NOV17	11255.00	11096.63	USD	950.22	
21AUG17	B	1	PD21NOV17	11230.00	11096.63	USD	-800.22	
21AUG17	S	1	PD21NOV17	11220.00	11096.63	USD	740.22	
21AUG17	S	1	PD21NOV17	11245.00	11096.63	USD	890.22	
21AUG17	B	4	PD21NOV17	11221.00	11096.63	USD	-2,984.88	
22AUG17	B	2	PD21NOV17	11415.58	11096.63	USD	-3,827.40	
	NET	0 AVG	BGHT 11200.43				1,469.04	0.00
22AUG17	S	1	PD22NOV17	11460.00	11097.40	USD	2,175.60	
22AUG17	S	1	PD22NOV17	11480.00	11097.40	USD	2,295.60	
22AUG17	B	1	PD22NOV17	11475.00	11097.40	USD	-2,265.60	
22AUG17	B	1	PD22NOV17	11470.00	11097.40	USD	-2,235.60	
22AUG17	B	1	PD22NOV17	11445.00	11097.40	USD	-2,085.60	
22AUG17	B	1	PD22NOV17	11420.00	11097.40	USD	-1,935.60	
22AUG17	S	1	PD22NOV17	11420.00	11097.40	USD	1,935.60	
22AUG17	S	1	PD22NOV17	11425.00	11097.40	USD	1,965.60	
22AUG17	S	2	PD22NOV17	11395.00	11097.40	USD	3,571.20	
22AUG17	S	1	PD22NOV17	11405.00	11097.40	USD	1,845.60	
30AUG17	B	3	PD22NOV17	11579.00	11097.40	USD	-8,668.80	
	NET	0 AVG	BGHT 11506.71				-3,402.00	0.00
23AUG17	B	1	PD24NOV17	11265.00	11098.94	USD	-996.36	
23AUG17	B	1	PD24NOV17	11385.00	11098.94	USD	-1,716.36	
23AUG17	B	1	PD24NOV17	11415.00	11098.94	USD	-1,896.36	
23AUG17	B	1	PD24NOV17	11425.00	11098.94	USD	-1,956.36	
23AUG17	B	1	PD24NOV17	11370.00	11098.94	USD	-1,626.36	
23AUG17	S	2	PD24NOV17	11460.00	11098.94	USD	4,332.72	
23AUG17	S	2	PD24NOV17	11450.00	11098.94	USD	4,212.72	
23AUG17	S	2	PD24NOV17	11455.00	11098.94	USD	4,272.72	
23AUG17	B	1	PD24NOV17	11505.00	11098.94	USD	-2,436.36	
23AUG17	S	1	PD24NOV17	11505.00	11098.94	USD	2,436.36	
23AUG17	S	2	PD24NOV17	11660.00	11098.94	USD	6,732.72	
23AUG17	S	2	PD24NOV17	11655.00	11098.94	USD	6,672.72	
23AUG17	S	1	PD24NOV17	11665.00	11098.94	USD	3,396.36	
23AUG17	S	1	PD24NOV17	11685.00	11098.94	USD	3,516.36	
23AUG17	S	1	PD24NOV17	11695.00	11098.94	USD	3,576.36	
23AUG17	B	1	PD24NOV17	11735.00	11098.94	USD	-3,816.36	
23AUG17	B	3	PD24NOV17	11725.00	11098.94	USD	-11,269.08	
23AUG17	B	1	PD24NOV17	11720.00	11098.94	USD	-3,726.36	
23AUG17	B	1	PD24NOV17	11750.00	11098.94	USD	-3,906.36	
23AUG17	B	1	PD24NOV17	11755.00	11098.94	USD	-3,936.36	
23AUG17	S	1	PD24NOV17	11805.00	11098.94	USD	4,236.36	
23AUG17	B	1	PD24NOV17	11775.00	11098.94	USD	-4,056.36	

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23AUG17	S	1	PD24NOV17	11785.00	11098.94	USD	4,116.36	
24AUG17	B	1	PD24NOV17	11705.00	11098.94	USD	-3,636.36	
24AUG17	B	1	PD24NOV17	11700.00	11098.94	USD	-3,606.36	
24AUG17	B	1	PD24NOV17	11680.00	11098.94	USD	-3,486.36	
24AUG17	B	1	PD24NOV17	11640.00	11098.94	USD	-3,246.36	
24AUG17	B	1	PD24NOV17	11660.00	11098.94	USD	-3,366.36	
30AUG17	S	3	PD24NOV17	11579.50	11098.94	USD	8,650.08	
	NET	0 AVG	BGHT 11613.68				SOLD 11591.50	0.00
29AUG17	S	1	PD29NOV17	11620.00	11102.80	USD	3,103.20	
29AUG17	S	1	PD29NOV17	11650.00	11102.80	USD	3,283.20	
29AUG17	B	1	PD29NOV17	11815.00	11102.80	USD	-4,273.20	
29AUG17	B	2	PD29NOV17	11805.00	11102.80	USD	-8,426.40	
29AUG17	S	1	PD29NOV17	11615.00	11102.80	USD	3,073.20	
29AUG17	S	1	PD29NOV17	11605.00	11102.80	USD	3,013.20	
29AUG17	B	1	PD29NOV17	11625.00	11102.80	USD	-3,133.20	
29AUG17	B	1	PD29NOV17	11715.00	11102.80	USD	-3,673.20	
29AUG17	B	1	PD29NOV17	11725.00	11102.80	USD	-3,733.20	
29AUG17	S	1	PD29NOV17	11855.00	11102.80	USD	4,513.20	
29AUG17	S	1	PD29NOV17	11865.00	11102.80	USD	4,573.20	
29AUG17	S	1	PD29NOV17	11695.00	11102.80	USD	3,553.20	
31AUG17	B	1	PD29NOV17	11799.32	11102.80	USD	-4,179.12	
	NET	0 AVG	BGHT 11755.62				SOLD 11700.71	0.00
30AUG17	B	1	PD30NOV17	11695.00	11103.57	USD	-3,548.58	
30AUG17	B	2	PD30NOV17	11700.00	11103.57	USD	-7,157.16	
30AUG17	B	1	PD30NOV17	11640.00	11103.57	USD	-3,218.58	
30AUG17	B	1	PD30NOV17	11610.00	11103.57	USD	-3,038.58	
30AUG17	B	1	PD30NOV17	11655.00	11103.57	USD	-3,308.58	
31AUG17	B	1	PD30NOV17	11675.00	11103.57	USD	-3,428.58	
31AUG17	B	1	PD30NOV17	11665.00	11103.57	USD	-3,368.58	
31AUG17	B	1	PD30NOV17	11715.00	11103.57	USD	-3,668.58	
31AUG17	S	1	PD30NOV17	11705.00	11103.57	USD	3,608.58	
31AUG17	S	1	PD30NOV17	11750.00	11103.57	USD	3,878.58	
31AUG17	S	1	PD30NOV17	11720.00	11103.57	USD	3,698.58	
31AUG17	S	1	PD30NOV17	11755.00	11103.57	USD	3,908.58	
31AUG17	S	1	PD30NOV17	11785.00	11103.57	USD	4,088.58	
31AUG17	S	1	PD30NOV17	11795.00	11103.57	USD	4,148.58	
31AUG17	S	1	PD30NOV17	11800.00	11103.57	USD	4,178.58	
31AUG17	S	1	PD30NOV17	11810.00	11103.57	USD	4,238.58	
31AUG17	S	1	PD30NOV17	11820.00	11103.57	USD	4,298.58	
31AUG17	B	1	PD30NOV17	11770.00	11103.57	USD	-3,998.58	
31AUG17	B	1	PD30NOV17	11765.00	11103.57	USD	-3,968.58	
31AUG17	S	1	PD30NOV17	11799.00	11103.57	USD	4,172.58	
11SEP17	S	1	PD30NOV17	11757.81	11103.57	USD	3,925.44	
	NET	0 AVG	BGHT 11690.00				SOLD 11772.44	0.00
01SEP17	B	1	PD01DEC17	11770.00	11104.34	USD	-3,993.96	
01SEP17	S	1	PD01DEC17	11830.00	11104.34	USD	4,353.96	
01SEP17	B	1	PD01DEC17	11890.00	11104.34	USD	-4,713.96	
01SEP17	S	1	PD01DEC17	11935.00	11104.34	USD	4,983.96	
01SEP17	B	2	PD01DEC17	11915.00	11104.34	USD	-9,727.92	
01SEP17	S	1	PD01DEC17	11880.00	11104.34	USD	4,653.96	
01SEP17	S	1	PD01DEC17	11885.00	11104.34	USD	4,683.96	
01SEP17	S	2	PD01DEC17	11990.00	11104.34	USD	10,627.92	
01SEP17	S	1	PD01DEC17	11915.00	11104.34	USD	4,863.96	
01SEP17	B	1	PD01DEC17	12060.00	11104.34	USD	-5,733.96	
01SEP17	S	1	PD01DEC17	12035.00	11104.34	USD	5,583.96	
01SEP17	B	1	PD01DEC17	12045.00	11104.34	USD	-5,643.96	

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01SEP17	B	1	PD01DEC17	12035.00	11104.34	USD	-5,583.96	
01SEP17	B	1	PD01DEC17	12025.00	11104.34	USD	-5,523.96	
01SEP17	S	1	PD01DEC17	12030.00	11104.34	USD	5,553.96	
11SEP17	B	1	PD01DEC17	11759.46	11104.34	USD	-3,930.72	
	NET	0 AVG	BGHT 11934.94	SOLD 11943.33			453.24	0.00
04SEP17	S	1	PD04DEC17	12295.00	11106.66	USD	7,130.04	
04SEP17	B	1	PD04DEC17	12325.00	11106.66	USD	-7,310.04	
04SEP17	S	1	PD04DEC17	12300.00	11106.66	USD	7,160.04	
04SEP17	B	1	PD04DEC17	12305.00	11106.66	USD	-7,190.04	
04SEP17	B	1	PD04DEC17	12230.00	11106.66	USD	-6,740.04	
04SEP17	S	1	PD04DEC17	12220.00	11106.66	USD	6,680.04	
04SEP17	S	1	PD04DEC17	12240.00	11106.66	USD	6,800.04	
04SEP17	B	1	PD04DEC17	12235.00	11106.66	USD	-6,770.04	
04SEP17	B	2	PD04DEC17	12245.00	11106.66	USD	-13,660.08	
04SEP17	B	1	PD04DEC17	12205.00	11106.66	USD	-6,590.04	
04SEP17	B	1	PD04DEC17	12210.00	11106.66	USD	-6,620.04	
04SEP17	S	1	PD04DEC17	12225.00	11106.66	USD	6,710.04	
04SEP17	S	1	PD04DEC17	12210.00	11106.66	USD	6,620.04	
04SEP17	S	1	PD04DEC17	12260.00	11106.66	USD	6,920.04	
04SEP17	B	1	PD04DEC17	12220.00	11106.66	USD	-6,680.04	
04SEP17	S	2	PD04DEC17	12250.00	11106.66	USD	13,720.08	
	NET	0 AVG	BGHT 12246.67	SOLD 12250.00			180.00	0.00
05SEP17	B	1	PD05DEC17	12165.00	11107.43	USD	-6,345.42	
05SEP17	S	1	PD05DEC17	12215.00	11107.43	USD	6,645.42	
05SEP17	S	1	PD05DEC17	12255.00	11107.43	USD	6,885.42	
05SEP17	B	1	PD05DEC17	12110.00	11107.43	USD	-6,015.42	
05SEP17	S	1	PD05DEC17	12075.00	11107.43	USD	5,805.42	
05SEP17	B	1	PD05DEC17	12010.00	11107.43	USD	-5,415.42	
	NET	0 AVG	BGHT 12095.00	SOLD 12181.67			1,560.00	0.00
06SEP17	B	1	PD06DEC17	12005.00	11108.20	USD	-5,380.80	
06SEP17	B	1	PD06DEC17	12015.00	11108.20	USD	-5,440.80	
06SEP17	B	1	PD06DEC17	12075.00	11108.20	USD	-5,800.80	
06SEP17	B	1	PD06DEC17	12060.00	11108.20	USD	-5,710.80	
06SEP17	S	1	PD06DEC17	12045.00	11108.20	USD	5,620.80	
06SEP17	B	1	PD06DEC17	12025.00	11108.20	USD	-5,500.80	
06SEP17	B	1	PD06DEC17	12020.00	11108.20	USD	-5,470.80	
06SEP17	S	2	PD06DEC17	12000.00	11108.20	USD	10,701.60	
06SEP17	S	1	PD06DEC17	12060.00	11108.20	USD	5,710.80	
06SEP17	S	1	PD06DEC17	12095.00	11108.20	USD	5,920.80	
06SEP17	S	1	PD06DEC17	12100.00	11108.20	USD	5,950.80	
	NET	0 AVG	BGHT 12033.33	SOLD 12050.00			600.00	0.00
07SEP17	B	1	PD07DEC17	12295.00	11108.97	USD	-7,116.18	
07SEP17	S	1	PD07DEC17	12235.00	11108.97	USD	6,756.18	
07SEP17	B	1	PD07DEC17	12175.00	11108.97	USD	-6,396.18	
07SEP17	S	1	PD07DEC17	12185.00	11108.97	USD	6,456.18	
07SEP17	B	1	PD07DEC17	12100.00	11108.97	USD	-5,946.18	
07SEP17	B	1	PD07DEC17	12110.00	11108.97	USD	-6,006.18	
07SEP17	B	1	PD07DEC17	12105.00	11108.97	USD	-5,976.18	
07SEP17	B	1	PD07DEC17	12115.00	11108.97	USD	-6,036.18	
07SEP17	B	1	PD07DEC17	12070.00	11108.97	USD	-5,766.18	
11SEP17	S	5	PD07DEC17	11762.38	11108.97	USD	19,602.30	
	NET	0 AVG	BGHT 12138.57	SOLD 11890.27			-10,428.60	0.00
08SEP17	S	1	PD08DEC17	12265.00	11109.74	USD	6,931.56	
08SEP17	B	1	PD08DEC17	12320.00	11109.74	USD	-7,261.56	
08SEP17	B	1	PD08DEC17	12315.00	11109.74	USD	-7,231.56	
08SEP17	S	1	PD08DEC17	12180.00	11109.74	USD	6,421.56	

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SAP0119B

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	12160.00	11109.74	USD	6,301.56	
08SEP17	S	1	PD08DEC17	12155.00	11109.74	USD	6,271.56	
08SEP17	S	1	PD08DEC17	12095.00	11109.74	USD	5,911.56	
08SEP17	S	1	PD08DEC17	12100.00	11109.74	USD	5,941.56	
08SEP17	S	1	PD08DEC17	12070.00	11109.74	USD	5,761.56	
08SEP17	S	1	PD08DEC17	12055.00	11109.74	USD	5,671.56	
08SEP17	B	1	PD08DEC17	12000.00	11109.74	USD	-5,341.56	
08SEP17	S	1	PD08DEC17	11960.00	11109.74	USD	5,101.56	
08SEP17	S	1	PD08DEC17	11970.00	11109.74	USD	5,161.56	
08SEP17	B	1	PD08DEC17	11935.00	11109.74	USD	-4,951.56	
08SEP17	B	1	PD08DEC17	11930.00	11109.74	USD	-4,921.56	
08SEP17	S	1	PD08DEC17	11855.00	11109.74	USD	4,471.56	
08SEP17	S	1	PD08DEC17	11835.00	11109.74	USD	4,351.56	
08SEP17	B	1	PD08DEC17	11800.00	11109.74	USD	-4,141.56	
08SEP17	B	1	PD08DEC17	11790.00	11109.74	USD	-4,081.56	
08SEP17	S	1	PD08DEC17	11580.00	11109.74	USD	2,821.56	
08SEP17	S	1	PD08DEC17	11600.00	11109.74	USD	2,941.56	
08SEP17	S	1	PD08DEC17	11515.00	11109.74	USD	2,431.56	
08SEP17	B	1	PD08DEC17	11510.00	11109.74	USD	-2,401.56	
11SEP17	B	5	PD08DEC17	11764.04	11109.74	USD	-19,629.00	
19SEP17	B	2	PD08DEC17	11131.59	11109.74	USD	-262.20	
	NET	0 AVG BGHT 11778.89	SOLD 11959.67				16,269.72	0.00
11SEP17	S	1	PD11DEC17	11510.00	11112.06	USD	2,387.64	
11SEP17	B	1	PD11DEC17	11495.00	11112.06	USD	-2,297.64	
11SEP17	B	2	PD11DEC17	11510.00	11112.06	USD	-4,775.28	
11SEP17	B	1	PD11DEC17	11590.00	11112.06	USD	-2,867.64	
11SEP17	B	1	PD11DEC17	11490.00	11112.06	USD	-2,267.64	
11SEP17	S	1	PD11DEC17	11625.00	11112.06	USD	3,077.64	
11SEP17	S	1	PD11DEC17	11635.00	11112.06	USD	3,137.64	
11SEP17	S	1	PD11DEC17	11580.00	11112.06	USD	2,807.64	
11SEP17	B	1	PD11DEC17	11610.00	11112.06	USD	-2,987.64	
11SEP17	B	1	PD11DEC17	11595.00	11112.06	USD	-2,897.64	
11SEP17	S	1	PD11DEC17	11600.00	11112.06	USD	2,927.64	
11SEP17	B	2	PD11DEC17	11575.00	11112.06	USD	-5,555.28	
11SEP17	B	1	PD11DEC17	11580.00	11112.06	USD	-2,807.64	
11SEP17	S	1	PD11DEC17	11650.00	11112.06	USD	3,227.64	
11SEP17	S	1	PD11DEC17	11710.00	11112.06	USD	3,587.64	
11SEP17	S	1	PD11DEC17	11705.00	11112.06	USD	3,557.64	
11SEP17	B	1	PD11DEC17	11740.00	11112.06	USD	-3,767.64	
11SEP17	B	1	PD11DEC17	11700.00	11112.06	USD	-3,527.64	
11SEP17	B	1	PD11DEC17	11605.00	11112.06	USD	-2,957.64	
11SEP17	S	1	PD11DEC17	11645.00	11112.06	USD	3,197.64	
11SEP17	B	1	PD11DEC17	11725.00	11112.06	USD	-3,677.64	
11SEP17	S	1	PD11DEC17	11790.00	11112.06	USD	4,067.64	
11SEP17	S	1	PD11DEC17	11830.00	11112.06	USD	4,307.64	
11SEP17	S	1	PD11DEC17	11800.00	11112.06	USD	4,127.64	
19SEP17	S	2	PD11DEC17	11132.88	11112.06	USD	249.84	
	NET	0 AVG BGHT 11592.86	SOLD 11596.13				274.56	0.00
12SEP17	B	1	PD12DEC17	11670.00	11112.83	USD	-3,343.02	
12SEP17	B	4	PD12DEC17	11650.00	11112.83	USD	-12,892.08	
12SEP17	B	1	PD12DEC17	11700.00	11112.83	USD	-3,523.02	
12SEP17	B	1	PD12DEC17	11630.00	11112.83	USD	-3,103.02	
12SEP17	S	1	PD12DEC17	11720.00	11112.83	USD	3,643.02	
12SEP17	S	1	PD12DEC17	11730.00	11112.83	USD	3,703.02	
12SEP17	S	1	PD12DEC17	11885.00	11112.83	USD	4,633.02	
12SEP17	S	1	PD12DEC17	11890.00	11112.83	USD	4,663.02	
12SEP17	S	1	PD12DEC17	11900.00	11112.83	USD	4,723.02	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	11910.00	11112.83	USD	4,783.02	
12SEP17	S	1	PD12DEC17	11925.00	11112.83	USD	4,873.02	
	NET	0AVG	BGHT 11657.14				8,160.00	0.00
13SEP17	B	1	PD13DEC17	11895.00	11113.60	USD	-4,688.40	
13SEP17	B	1	PD13DEC17	11920.00	11113.60	USD	-4,838.40	
13SEP17	S	1	PD13DEC17	11930.00	11113.60	USD	4,898.40	
13SEP17	B	2	PD13DEC17	11715.00	11113.60	USD	-7,216.80	
13SEP17	B	1	PD13DEC17	11730.00	11113.60	USD	-3,698.40	
13SEP17	B	1	PD13DEC17	11460.00	11113.60	USD	-2,078.40	
13SEP17	S	1	PD13DEC17	11460.00	11113.60	USD	2,078.40	
19SEP17	S	4	PD13DEC17	11135.41	11113.60	USD	523.44	
	NET	0AVG	BGHT 11739.17				-15,020.16	0.00
14SEP17	B	1	PD14DEC17	11460.00	11114.37	USD	-2,073.78	
14SEP17	S	1	PD14DEC17	11460.00	11114.37	USD	2,073.78	
14SEP17	B	1	PD14DEC17	11165.00	11114.37	USD	-303.78	
14SEP17	S	1	PD14DEC17	11305.00	11114.37	USD	1,143.78	
14SEP17	S	1	PD14DEC17	11280.00	11114.37	USD	993.78	
14SEP17	S	1	PD14DEC17	11270.00	11114.37	USD	933.78	
14SEP17	S	1	PD14DEC17	11225.00	11114.37	USD	663.78	
14SEP17	S	1	PD14DEC17	11280.00	11114.37	USD	993.78	
19SEP17	B	4	PD14DEC17	11137.18	11114.37	USD	-547.44	
	NET	0AVG	BGHT 11195.62				3,877.68	0.00
15SEP17	B	1	PD15DEC17	11080.00	11115.14	USD	210.84	
15SEP17	S	2	PD15DEC17	11090.00	11115.14	USD	-301.68	
15SEP17	S	1	PD15DEC17	11095.00	11115.14	USD	-120.84	
15SEP17	B	1	PD15DEC17	11120.00	11115.14	USD	-29.16	
15SEP17	S	1	PD15DEC17	11150.00	11115.14	USD	209.16	
15SEP17	B	1	PD15DEC17	11105.00	11115.14	USD	60.84	
19SEP17	B	1	PD15DEC17	11136.94	11115.14	USD	-130.80	
	NET	0AVG	BGHT 11110.49				-101.64	0.00
18SEP17	B	1	PD18DEC17	11110.00	11117.46	USD	44.76	
18SEP17	B	1	PD18DEC17	11175.00	11117.46	USD	-345.24	
18SEP17	S	1	PD18DEC17	11230.00	11117.46	USD	675.24	
19SEP17	S	1	PD18DEC17	11138.24	11117.46	USD	124.68	
	NET	0AVG	BGHT 11142.50				499.44	0.00
19SEP17	B	1	PD19DEC17	11100.00	11118.23	USD	109.38	
19SEP17	B	3	PD19DEC17	11095.00	11118.23	USD	418.14	
19SEP17	B	1	PD19DEC17	11060.00	11118.23	USD	349.38	
19SEP17	S	1	PD19DEC17	11010.00	11118.23	USD	-649.38	
19SEP17	B	1	PD19DEC17	10980.00	11118.23	USD	829.38	
19SEP17	B	1	PD19DEC17	10990.00	11118.23	USD	769.38	
19SEP17	S	1	PD19DEC17	10990.00	11118.23	USD	-769.38	
19SEP17	S	1	PD19DEC17	11005.00	11118.23	USD	-679.38	
19SEP17	B	1	PD19DEC17	10895.00	11118.23	USD	1,339.38	
19SEP17	B	1	PD19DEC17	10975.00	11118.23	USD	859.38	
19SEP17	S	1	PD19DEC17	10965.00	11118.23	USD	-919.38	
19SEP17	S	3	PD19DEC17	11055.00	11118.23	USD	-1,138.14	
19SEP17	S	1	PD19DEC17	11190.00	11118.23	USD	430.62	
19SEP17	S	1	PD19DEC17	11210.00	11118.23	USD	550.62	
	NET	0AVG	BGHT 11031.67				1,500.00	0.00
20SEP17	B	1	PD20DEC17	11190.00	11119.00	USD	-426.00	
20SEP17	B	1	PD20DEC17	11225.00	11119.00	USD	-636.00	
20SEP17	B	1	PD20DEC17	11235.00	11119.00	USD	-696.00	
20SEP17	B	1	PD20DEC17	11285.00	11119.00	USD	-996.00	
20SEP17	S	1	PD20DEC17	11395.00	11119.00	USD	1,656.00	
20SEP17	S	1	PD20DEC17	11355.00	11119.00	USD	1,416.00	

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20SEP17	S	1	PD20DEC17	11385.00	11119.00	USD	1,596.00	
20SEP17	B	2	PD20DEC17	11385.00	11119.00	USD	-3,192.00	
20SEP17	S	3	PD20DEC17	11360.00	11119.00	USD	4,338.00	
20SEP17	S	1	PD20DEC17	11375.00	11119.00	USD	1,536.00	
20SEP17	B	2	PD20DEC17	11365.00	11119.00	USD	-2,952.00	
20SEP17	S	1	PD20DEC17	11350.00	11119.00	USD	1,386.00	
	NET	0 AVG BGHT 11304.38	SOLD 11367.50				3,030.00	0.00
21SEP17	B	1	PD21DEC17	10985.00	11119.73	USD	808.38	
21SEP17	B	1	PD21DEC17	11005.00	11119.73	USD	688.38	
21SEP17	B	1	PD21DEC17	11000.00	11119.73	USD	718.38	
21SEP17	B	1	PD21DEC17	10995.00	11119.73	USD	748.38	
21SEP17	S	1	PD21DEC17	10955.00	11119.73	USD	-988.38	
21SEP17	B	1	PD21DEC17	11055.00	11119.73	USD	388.38	
21SEP17	B	1	PD21DEC17	11105.00	11119.73	USD	88.38	
21SEP17	S	1	PD21DEC17	11095.00	11119.73	USD	-148.38	
21SEP17	B	1	PD21DEC17	11080.00	11119.73	USD	238.38	
21SEP17	B	1	PD21DEC17	11090.00	11119.73	USD	178.38	
21SEP17	B	1	PD21DEC17	11110.00	11119.73	USD	58.38	
21SEP17	B	1	PD21DEC17	10995.00	11119.73	USD	748.38	
21SEP17	S	1	PD21DEC17	11115.00	11119.73	USD	-28.38	
21SEP17	S	1	PD21DEC17	10890.00	11119.73	USD	-1,378.38	
21SEP17	B	1	PD21DEC17	10825.00	11119.73	USD	1,768.38	
21SEP17	S	1	PD21DEC17	10805.00	11119.73	USD	-1,888.38	
21SEP17	B	2	PD21DEC17	10810.00	11119.73	USD	3,716.76	
21SEP17	S	1	PD21DEC17	10735.00	11119.73	USD	-2,308.38	
21SEP17	B	1	PD21DEC17	10680.00	11119.73	USD	2,638.38	
21SEP17	S	1	PD21DEC17	10745.00	11119.73	USD	-2,248.38	
21SEP17	B	1	PD21DEC17	10725.00	11119.73	USD	2,368.38	
21SEP17	S	1	PD21DEC17	10640.00	11119.73	USD	-2,878.38	
21SEP17	S	1	PD21DEC17	10740.00	11119.73	USD	-2,278.38	
22SEP17	S	3	PD21DEC17	10584.55	11119.73	USD	-9,633.24	
29SEP17	S	3	PD21DEC17	10490.50	11119.73	USD	-11,326.14	
	NET	0 AVG BGHT 10951.33	SOLD 10729.68				-19,949.10	0.00
22SEP17	B	1	PD22DEC17	10725.00	11120.45	USD	2,372.70	
22SEP17	B	1	PD22DEC17	10790.00	11120.45	USD	1,982.70	
22SEP17	S	1	PD22DEC17	10695.00	11120.45	USD	-2,552.70	
22SEP17	S	1	PD22DEC17	10700.00	11120.45	USD	-2,522.70	
22SEP17	B	1	PD22DEC17	10660.00	11120.45	USD	2,762.70	
22SEP17	B	1	PD22DEC17	10605.00	11120.45	USD	3,092.70	
22SEP17	S	1	PD22DEC17	10580.00	11120.45	USD	-3,242.70	
22SEP17	B	1	PD22DEC17	10560.00	11120.45	USD	3,362.70	
22SEP17	S	1	PD22DEC17	10565.00	11120.45	USD	-3,332.70	
22SEP17	S	1	PD22DEC17	10545.00	11120.45	USD	-3,452.70	
22SEP17	S	1	PD22DEC17	10550.00	11120.45	USD	-3,422.70	
22SEP17	B	1	PD22DEC17	10525.00	11120.45	USD	3,572.70	
22SEP17	B	1	PD22DEC17	10765.00	11120.45	USD	2,132.70	
22SEP17	S	1	PD22DEC17	10620.00	11120.45	USD	-3,002.70	
22SEP17	B	1	PD22DEC17	10580.00	11120.45	USD	3,242.70	
22SEP17	B	1	PD22DEC17	10590.00	11120.45	USD	3,182.70	
22SEP17	B	1	PD22DEC17	10595.00	11120.45	USD	3,152.70	
22SEP17	B	1	PD22DEC17	10575.00	11120.45	USD	3,272.70	
22SEP17	B	1	PD22DEC17	10510.00	11120.45	USD	3,662.70	
22SEP17	B	1	PD22DEC17	10465.00	11120.45	USD	3,932.70	
22SEP17	B	2	PD22DEC17	10450.00	11120.45	USD	8,045.40	
22SEP17	S	1	PD22DEC17	10535.00	11120.45	USD	-3,512.70	
22SEP17	B	1	PD22DEC17	10565.00	11120.45	USD	3,332.70	
22SEP17	S	3	PD22DEC17	10487.99	11120.45	USD	-11,384.28	

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29SEP17	S	5	PD22DEC17	10491.50	11120.45	USD	-18,868.50	
	NET	0 AVG BGHT 10588.13	SOLD 10544.47				-4,191.18	0.00
25SEP17	S	1	PD27DEC17	10605.00	11124.09	USD	-3,114.54	
25SEP17	S	1	PD27DEC17	10620.00	11124.09	USD	-3,024.54	
25SEP17	S	1	PD27DEC17	10600.00	11124.09	USD	-3,144.54	
25SEP17	S	2	PD27DEC17	10630.00	11124.09	USD	-5,929.08	
25SEP17	B	1	PD27DEC17	10500.00	11124.09	USD	3,744.54	
25SEP17	B	1	PD27DEC17	10525.00	11124.09	USD	3,594.54	
25SEP17	B	1	PD27DEC17	10530.00	11124.09	USD	3,564.54	
25SEP17	B	1	PD27DEC17	10520.00	11124.09	USD	3,624.54	
25SEP17	B	1	PD27DEC17	10470.00	11124.09	USD	3,924.54	
25SEP17	B	1	PD27DEC17	10480.00	11124.09	USD	3,864.54	
25SEP17	B	1	PD27DEC17	10490.00	11124.09	USD	3,804.54	
25SEP17	B	2	PD27DEC17	10505.00	11124.09	USD	7,429.08	
25SEP17	B	1	PD27DEC17	10550.00	11124.09	USD	3,444.54	
25SEP17	S	1	PD27DEC17	10550.00	11124.09	USD	-3,444.54	
25SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
25SEP17	S	1	PD27DEC17	10570.00	11124.09	USD	-3,324.54	
25SEP17	S	1	PD27DEC17	10580.00	11124.09	USD	-3,264.54	
25SEP17	S	6	PD27DEC17	10488.40	11124.09	USD	-22,884.84	
26SEP17	S	1	PD27DEC17	10620.00	11124.09	USD	-3,024.54	
26SEP17	S	1	PD27DEC17	10615.00	11124.09	USD	-3,054.54	
26SEP17	B	1	PD27DEC17	10630.00	11124.09	USD	2,964.54	
26SEP17	S	1	PD27DEC17	10570.00	11124.09	USD	-3,324.54	
26SEP17	S	1	PD27DEC17	10565.00	11124.09	USD	-3,354.54	
26SEP17	B	1	PD27DEC17	10460.00	11124.09	USD	3,984.54	
26SEP17	B	1	PD27DEC17	10475.00	11124.09	USD	3,894.54	
26SEP17	B	1	PD27DEC17	10470.00	11124.09	USD	3,924.54	
26SEP17	B	1	PD27DEC17	10450.00	11124.09	USD	4,044.54	
26SEP17	B	1	PD27DEC17	10405.00	11124.09	USD	4,314.54	
26SEP17	B	1	PD27DEC17	10505.00	11124.09	USD	3,714.54	
26SEP17	B	1	PD27DEC17	10540.00	11124.09	USD	3,504.54	
26SEP17	B	1	PD27DEC17	10545.00	11124.09	USD	3,474.54	
26SEP17	S	1	PD27DEC17	10530.00	11124.09	USD	-3,564.54	
26SEP17	B	1	PD27DEC17	10525.00	11124.09	USD	3,594.54	
26SEP17	B	1	PD27DEC17	10515.00	11124.09	USD	3,654.54	
26SEP17	B	1	PD27DEC17	10495.00	11124.09	USD	3,774.54	
27SEP17	S	1	PD27DEC17	10490.00	11124.09	USD	-3,804.54	
27SEP17	S	1	PD27DEC17	10505.00	11124.09	USD	-3,714.54	
27SEP17	S	1	PD27DEC17	10510.00	11124.09	USD	-3,684.54	
27SEP17	S	1	PD27DEC17	10525.00	11124.09	USD	-3,594.54	
27SEP17	S	1	PD27DEC17	10545.00	11124.09	USD	-3,474.54	
27SEP17	S	1	PD27DEC17	10560.00	11124.09	USD	-3,384.54	
27SEP17	S	1	PD27DEC17	10525.00	11124.09	USD	-3,594.54	
27SEP17	S	1	PD27DEC17	10535.00	11124.09	USD	-3,534.54	
27SEP17	S	2	PD27DEC17	10560.00	11124.09	USD	-6,769.08	
29SEP17	B	8	PD27DEC17	10498.57	11124.09	USD	30,024.96	
	NET	0 AVG BGHT 10502.62	SOLD 10549.85				8,501.04	0.00
28SEP17	B	1	PD28DEC17	10445.00	11124.82	USD	4,078.92	
28SEP17	S	1	PD28DEC17	10455.00	11124.82	USD	-4,018.92	
	NET	0 AVG BGHT 10445.00	SOLD 10455.00				60.00	0.00
29SEP17	S	2	PD29DEC17	10455.00	11125.55	USD	-8,046.60	
29SEP17	S	1	PD29DEC17	10445.00	11125.55	USD	-4,083.30	
29SEP17	S	2	PD29DEC17	10440.00	11125.55	USD	-8,226.60	
29SEP17	S	1	PD29DEC17	10435.00	11125.55	USD	-4,143.30	
29SEP17	B	6	PD29DEC17	10437.00	11125.55	USD	24,787.80	

OPEN POSITION STATEMENT



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SAP0119B

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10437.00	SOLD 10445.00				288.00	0.00
05OCT17	B	1 PD05JAN18		10620.00	11130.64	USD	3,063.84	
05OCT17	B	2 PD05JAN18		10540.00	11130.64	USD	7,087.68	
05OCT17	B	2 PD05JAN18		10550.00	11130.64	USD	6,967.68	
05OCT17	S	5 PD05JAN18		10540.50	11130.64	USD	-17,704.20	
	NET	0 AVG BGHT 10560.00	SOLD 10540.50				-585.00	0.00
09OCT17	B	1 PD09JAN18		10745.00	11133.55	USD	2,331.30	
09OCT17	B	2 PD09JAN18		10750.00	11133.55	USD	4,602.60	
09OCT17	B	1 PD09JAN18		10620.00	11133.55	USD	3,081.30	
09OCT17	B	1 PD09JAN18		10735.00	11133.55	USD	2,391.30	
09OCT17	B	1 PD09JAN18		10795.00	11133.55	USD	2,031.30	
09OCT17	B	1 PD09JAN18		10575.00	11133.55	USD	3,351.30	
09OCT17	B	1 PD09JAN18		10610.00	11133.55	USD	3,141.30	
09OCT17	B	1 PD09JAN18		10740.00	11133.55	USD	2,361.30	
09OCT17	B	2 PD09JAN18		10605.00	11133.55	USD	6,342.60	
09OCT17	S	1 PD09JAN18		10775.00	11133.55	USD	-2,151.30	
09OCT17	S	1 PD09JAN18		10770.00	11133.55	USD	-2,181.30	
09OCT17	S	1 PD09JAN18		10855.00	11133.55	USD	-1,671.30	
09OCT17	S	1 PD09JAN18		10860.00	11133.55	USD	-1,641.30	
09OCT17	S	1 PD09JAN18		10895.00	11133.55	USD	-1,431.30	
09OCT17	S	2 PD09JAN18		10900.00	11133.55	USD	-2,802.60	
09OCT17	S	1 PD09JAN18		10920.00	11133.55	USD	-1,281.30	
09OCT17	S	1 PD09JAN18		10885.00	11133.55	USD	-1,491.30	
09OCT17	S	1 PD09JAN18		10905.00	11133.55	USD	-1,371.30	
09OCT17	S	1 PD09JAN18		10910.00	11133.55	USD	-1,341.30	
	NET	0 AVG BGHT 10684.55	SOLD 10870.45				12,270.00	0.00
10OCT17	B	1 PD10JAN18		10960.00	11134.27	USD	1,045.62	
10OCT17	B	1 PD10JAN18		10955.00	11134.27	USD	1,075.62	
10OCT17	B	2 PD10JAN18		10945.00	11134.27	USD	2,271.24	
10OCT17	B	1 PD10JAN18		10930.00	11134.27	USD	1,225.62	
10OCT17	B	1 PD10JAN18		10935.00	11134.27	USD	1,195.62	
10OCT17	B	1 PD10JAN18		10965.00	11134.27	USD	1,015.62	
10OCT17	S	1 PD10JAN18		11035.00	11134.27	USD	-595.62	
10OCT17	S	1 PD10JAN18		11050.00	11134.27	USD	-505.62	
	NET	+5 AVG BGHT 10947.86	SOLD 11042.50				6,728.10	0.00
11OCT17	S	2 PD11JAN18		11105.00	11135.00	USD	-360.00	
11OCT17	S	1 PD11JAN18		11115.00	11135.00	USD	-120.00	
11OCT17	S	1 PD11JAN18		11185.00	11135.00	USD	300.00	
11OCT17	S	1 PD11JAN18		11230.00	11135.00	USD	570.00	
11OCT17	S	1 PD11JAN18		11235.00	11135.00	USD	600.00	
11OCT17	S	2 PD11JAN18		11225.00	11135.00	USD	1,080.00	
11OCT17	S	1 PD11JAN18		11215.00	11135.00	USD	480.00	
11OCT17	B	5 PD11JAN18		11136.00	11135.00	USD	-30.00	
	NET	-4 AVG BGHT 11136.00	SOLD 11182.22				2,520.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
26SEP17	B	2 DEC17		1682.0	1713.3	USD	3,130.00	
27SEP17	B	3 DEC17		1679.0	1713.3	USD	5,145.00	
27SEP17	B	4 DEC17		1679.5	1713.3	USD	6,760.00	
27SEP17	B	1 DEC17		1680.5	1713.3	USD	1,640.00	
27SEP17	B	1 DEC17		1683.5	1713.3	USD	1,490.00	
27SEP17	B	3 DEC17		1687.0	1713.3	USD	3,945.00	
27SEP17	B	3 DEC17		1685.5	1713.3	USD	4,170.00	
27SEP17	B	4 DEC17		1685.0	1713.3	USD	5,660.00	
27SEP17	B	1 DEC17		1682.0	1713.3	USD	1,565.00	
27SEP17	B	1 DEC17		1681.5	1713.3	USD	1,590.00	
27SEP17	B	4 DEC17		1681.0	1713.3	USD	6,460.00	

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	CMX SILVER 5,000 CMX							
28SEP17	B	1	DEC17	1681.0	1713.3	USD	1,615.00	
28SEP17	B	1	DEC17	1680.5	1713.3	USD	1,640.00	
29SEP17	B	1	DEC17	1674.0	1713.3	USD	1,965.00	
29SEP17	B	1	DEC17	1672.5	1713.3	USD	2,040.00	
29SEP17	B	1	DEC17	1669.5	1713.3	USD	2,190.00	
04OCT17	B	1	DEC17	1678.5	1713.3	USD	1,740.00	
04OCT17	B	2	DEC17	1678.0	1713.3	USD	3,530.00	
04OCT17	B	1	DEC17	1677.5	1713.3	USD	1,790.00	
04OCT17	B	1	DEC17	1673.0	1713.3	USD	2,015.00	
04OCT17	B	1	DEC17	1672.5	1713.3	USD	2,040.00	
04OCT17	B	3	DEC17	1674.0	1713.3	USD	5,895.00	
04OCT17	B	3	DEC17	1674.5	1713.3	USD	5,820.00	
04OCT17	B	8	DEC17	1673.5	1713.3	USD	15,920.00	
04OCT17	B	1	DEC17	1672.0	1713.3	USD	2,065.00	
04OCT17	B	1	DEC17	1670.0	1713.3	USD	2,165.00	
04OCT17	B	2	DEC17	1670.5	1713.3	USD	4,280.00	
04OCT17	B	1	DEC17	1668.0	1713.3	USD	2,265.00	
04OCT17	B	1	DEC17	1667.0	1713.3	USD	2,315.00	
04OCT17	B	1	DEC17	1664.5	1713.3	USD	2,440.00	
04OCT17	B	1	DEC17	1664.0	1713.3	USD	2,465.00	
04OCT17	B	1	DEC17	1661.0	1713.3	USD	2,615.00	
04OCT17	B	1	DEC17	1660.5	1713.3	USD	2,640.00	
11OCT17	B	1	DEC17	1709.0	1713.3	USD	215.00	
11OCT17	B	1	DEC17	1710.0	1713.3	USD	165.00	
11OCT17	B	1	DEC17	1709.5	1713.3	USD	190.00	
11OCT17	B	2	DEC17	1708.5	1713.3	USD	480.00	
	NET	+67 AVG BGHT 1679.3					114,055.00	0.00
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06SEP17	S	1	PD06DEC17	20650.00	20920.83	USD	-1,354.15	
11SEP17	B	1	PD06DEC17	20756.18	20920.83	USD	823.25	
	NET	0 AVG BGHT 20756.18 SOLD 20650.00					-530.90	0.00
07SEP17	B	1	PD07DEC17	20730.00	20918.75	USD	943.75	
11SEP17	S	1	PD07DEC17	20753.12	20918.75	USD	-828.15	
	NET	0 AVG BGHT 20730.00 SOLD 20753.12					115.60	0.00
08SEP17	S	1	PD08DEC17	20665.00	20916.67	USD	-1,258.35	
08SEP17	B	1	PD08DEC17	20525.00	20916.67	USD	1,958.35	
08SEP17	S	1	PD08DEC17	20615.00	20916.67	USD	-1,508.35	
20SEP17	B	1	PD08DEC17	20638.33	20916.67	USD	1,391.70	
	NET	0 AVG BGHT 20581.67 SOLD 20640.00					583.35	0.00
15SEP17	S	1	PD15DEC17	20500.00	20906.25	USD	-2,031.25	
20SEP17	B	1	PD15DEC17	20630.00	20906.25	USD	1,381.25	
	NET	0 AVG BGHT 20630.00 SOLD 20500.00					-650.00	0.00
19SEP17	B	2	PD19DEC17	20670.00	20902.08	USD	2,320.80	
20SEP17	S	2	PD19DEC17	20625.67	20902.08	USD	-2,764.10	
	NET	0 AVG BGHT 20670.00 SOLD 20625.67					-443.30	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04AUG17	S	9	PD03NOV17	2826.00	3252.89	USD	-96,050.25	
04AUG17	S	12	PD03NOV17	2817.50	3252.89	USD	-130,617.00	
04AUG17	B	24	PD03NOV17	2810.00	3252.89	USD	265,734.00	
18AUG17	S	5	PD03NOV17	3124.88	3252.89	USD	-16,001.25	
22AUG17	B	9	PD03NOV17	3118.08	3252.89	USD	30,332.25	
23AUG17	S	7	PD03NOV17	3095.62	3252.89	USD	-27,522.25	
	NET	0 AVG BGHT 2894.02 SOLD 2925.39					25,875.50	0.00
07AUG17	S	1	PD07NOV17	2818.00	3250.67	USD	-10,816.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2816.50	3250.67	USD	-10,854.25	
07AUG17	S	1	PD07NOV17	2816.00	3250.67	USD	-10,866.75	
07AUG17	S	1	PD07NOV17	2831.00	3250.67	USD	-10,491.75	
07AUG17	B	1	PD07NOV17	2885.50	3250.67	USD	9,129.25	
07AUG17	B	2	PD07NOV17	2888.50	3250.67	USD	18,108.50	
07AUG17	B	1	PD07NOV17	2889.00	3250.67	USD	9,041.75	
	NET	0 AVG	BGHT 2887.88	SOLD 2820.38			-6,750.00	0.00
09AUG17	B	1	PD09NOV17	2970.00	3248.44	USD	6,961.00	
09AUG17	B	1	PD09NOV17	2972.50	3248.44	USD	6,898.50	
09AUG17	B	1	PD09NOV17	2974.00	3248.44	USD	6,861.00	
09AUG17	S	2	PD09NOV17	2972.00	3248.44	USD	-13,822.00	
09AUG17	S	1	PD09NOV17	2974.00	3248.44	USD	-6,861.00	
09AUG17	S	2	PD09NOV17	2971.50	3248.44	USD	-13,847.00	
09AUG17	S	3	PD09NOV17	2973.00	3248.44	USD	-20,658.00	
09AUG17	S	3	PD09NOV17	2959.00	3248.44	USD	-21,708.00	
09AUG17	S	1	PD09NOV17	2957.00	3248.44	USD	-7,286.00	
09AUG17	S	1	PD09NOV17	2972.00	3248.44	USD	-6,911.00	
09AUG17	B	3	PD09NOV17	2936.00	3248.44	USD	23,433.00	
09AUG17	B	1	PD09NOV17	2936.50	3248.44	USD	7,798.50	
09AUG17	B	1	PD09NOV17	2940.00	3248.44	USD	7,711.00	
09AUG17	B	2	PD09NOV17	2941.00	3248.44	USD	15,372.00	
09AUG17	B	1	PD09NOV17	2941.50	3248.44	USD	7,673.50	
09AUG17	B	1	PD09NOV17	2942.50	3248.44	USD	7,648.50	
18AUG17	S	4	PD09NOV17	3125.69	3248.44	USD	-12,275.00	
24AUG17	B	5	PD09NOV17	3112.71	3248.44	USD	16,966.25	
	NET	0 AVG	BGHT 2995.91	SOLD 3005.22			3,955.25	0.00
10AUG17	B	1	PD10NOV17	2907.50	3247.33	USD	8,495.75	
10AUG17	B	1	PD10NOV17	2911.00	3247.33	USD	8,408.25	
10AUG17	B	1	PD10NOV17	2910.50	3247.33	USD	8,420.75	
10AUG17	B	1	PD10NOV17	2910.00	3247.33	USD	8,433.25	
10AUG17	B	4	PD10NOV17	2911.50	3247.33	USD	33,583.00	
23AUG17	S	2	PD10NOV17	3096.42	3247.33	USD	-7,545.50	
30AUG17	S	6	PD10NOV17	3093.65	3247.33	USD	-23,052.00	
	NET	0 AVG	BGHT 2910.63	SOLD 3094.34			36,743.50	0.00
14AUG17	S	1	PD14NOV17	2914.00	3245.11	USD	-8,277.75	
14AUG17	S	1	PD14NOV17	2915.00	3245.11	USD	-8,252.75	
14AUG17	S	2	PD14NOV17	2914.50	3245.11	USD	-16,530.50	
14AUG17	B	1	PD14NOV17	2909.50	3245.11	USD	8,390.25	
14AUG17	B	2	PD14NOV17	2907.50	3245.11	USD	16,880.50	
14AUG17	B	1	PD14NOV17	2909.00	3245.11	USD	8,402.75	
	NET	0 AVG	BGHT 2908.38	SOLD 2914.50			612.50	0.00
15AUG17	S	1	PD15NOV17	2909.00	3244.00	USD	-8,375.00	
15AUG17	S	1	PD15NOV17	2946.00	3244.00	USD	-7,450.00	
15AUG17	S	1	PD15NOV17	2945.00	3244.00	USD	-7,475.00	
15AUG17	S	2	PD15NOV17	2944.00	3244.00	USD	-15,000.00	
15AUG17	S	4	PD15NOV17	2947.00	3244.00	USD	-29,700.00	
18AUG17	B	9	PD15NOV17	3126.70	3244.00	USD	26,392.50	
	NET	0 AVG	BGHT 3126.70	SOLD 2941.78			-41,607.50	0.00
16AUG17	B	1	PD16NOV17	2982.00	3241.50	USD	6,487.50	
16AUG17	B	1	PD16NOV17	2991.00	3241.50	USD	6,262.50	
16AUG17	B	1	PD16NOV17	2989.50	3241.50	USD	6,300.00	
16AUG17	B	4	PD16NOV17	2990.00	3241.50	USD	25,150.00	
16AUG17	B	1	PD16NOV17	2989.50	3241.50	USD	6,300.00	
16AUG17	B	1	PD16NOV17	2990.00	3241.50	USD	6,287.50	
16AUG17	B	2	PD16NOV17	3078.00	3241.50	USD	8,175.00	
16AUG17	B	1	PD16NOV17	3087.50	3241.50	USD	3,850.00	

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16AUG17	B	2	PD16NOV17	3091.00	3241.50	USD	7,525.00	
16AUG17	B	3	PD16NOV17	3090.50	3241.50	USD	11,325.00	
16AUG17	S	2	PD16NOV17	3089.00	3241.50	USD	-7,625.00	
16AUG17	S	2	PD16NOV17	3091.00	3241.50	USD	-7,525.00	
16AUG17	S	1	PD16NOV17	3090.50	3241.50	USD	-3,775.00	
16AUG17	S	2	PD16NOV17	3088.00	3241.50	USD	-7,675.00	
16AUG17	S	1	PD16NOV17	3087.00	3241.50	USD	-3,862.50	
16AUG17	S	1	PD16NOV17	3086.50	3241.50	USD	-3,875.00	
16AUG17	S	1	PD16NOV17	3086.00	3241.50	USD	-3,887.50	
16AUG17	B	5	PD16NOV17	3107.50	3241.50	USD	16,750.00	
16AUG17	B	2	PD16NOV17	3107.00	3241.50	USD	6,725.00	
16AUG17	B	1	PD16NOV17	3108.00	3241.50	USD	3,337.50	
16AUG17	B	5	PD16NOV17	3091.15	3241.50	USD	18,793.75	
16AUG17	B	5	PD16NOV17	3090.40	3241.50	USD	18,887.50	
23AUG17	S	12	PD16NOV17	3095.00	3241.50	USD	-43,950.00	
30AUG17	S	5	PD16NOV17	3092.00	3241.50	USD	-18,687.50	
11SEP17	S	8	PD16NOV17	3078.50	3241.50	USD	-32,600.00	
	NET	0AVG BGHT 3067.61	SOLD 3088.97				18,693.75	0.00
17AUG17	B	1	PD17NOV17	3095.50	3239.00	USD	3,587.50	
17AUG17	B	1	PD17NOV17	3097.00	3239.00	USD	3,550.00	
17AUG17	B	1	PD17NOV17	3096.50	3239.00	USD	3,562.50	
17AUG17	B	3	PD17NOV17	3097.50	3239.00	USD	10,612.50	
17AUG17	S	2	PD17NOV17	3096.50	3239.00	USD	-7,125.00	
17AUG17	B	1	PD17NOV17	3104.50	3239.00	USD	3,362.50	
17AUG17	B	3	PD17NOV17	3105.00	3239.00	USD	10,050.00	
17AUG17	B	1	PD17NOV17	3103.00	3239.00	USD	3,400.00	
17AUG17	B	1	PD17NOV17	3055.50	3239.00	USD	4,587.50	
17AUG17	B	1	PD17NOV17	3055.00	3239.00	USD	4,600.00	
17AUG17	B	1	PD17NOV17	3068.50	3239.00	USD	4,262.50	
17AUG17	B	1	PD17NOV17	3056.50	3239.00	USD	4,562.50	
18AUG17	S	1	PD17NOV17	3094.00	3239.00	USD	-3,625.00	
18AUG17	B	2	PD17NOV17	3102.00	3239.00	USD	6,850.00	
18AUG17	B	2	PD17NOV17	3104.50	3239.00	USD	6,725.00	
18AUG17	B	1	PD17NOV17	3112.00	3239.00	USD	3,175.00	
18AUG17	B	1	PD17NOV17	3138.00	3239.00	USD	2,525.00	
18AUG17	B	2	PD17NOV17	3137.50	3239.00	USD	5,075.00	
18AUG17	S	1	PD17NOV17	3096.00	3239.00	USD	-3,575.00	
18AUG17	S	2	PD17NOV17	3099.00	3239.00	USD	-7,000.00	
18AUG17	S	1	PD17NOV17	3100.50	3239.00	USD	-3,462.50	
30AUG17	S	1	PD17NOV17	3092.00	3239.00	USD	-3,675.00	
11SEP17	S	15	PD17NOV17	3078.68	3239.00	USD	-60,120.00	
	NET	0AVG BGHT 3099.02	SOLD 3084.94				-8,095.00	0.00
21AUG17	B	1	PD21NOV17	3165.50	3237.00	USD	1,787.50	
21AUG17	S	1	PD21NOV17	3168.00	3237.00	USD	-1,725.00	
21AUG17	S	3	PD21NOV17	3175.00	3237.00	USD	-4,650.00	
21AUG17	B	1	PD21NOV17	3171.00	3237.00	USD	1,650.00	
21AUG17	B	1	PD21NOV17	3169.50	3237.00	USD	1,687.50	
21AUG17	B	2	PD21NOV17	3169.00	3237.00	USD	3,400.00	
21AUG17	B	3	PD21NOV17	3172.00	3237.00	USD	4,875.00	
21AUG17	S	3	PD21NOV17	3167.50	3237.00	USD	-5,212.50	
21AUG17	S	1	PD21NOV17	3168.50	3237.00	USD	-1,712.50	
21AUG17	S	1	PD21NOV17	3150.50	3237.00	USD	-2,162.50	
21AUG17	S	1	PD21NOV17	3144.50	3237.00	USD	-2,312.50	
21AUG17	S	1	PD21NOV17	3154.50	3237.00	USD	-2,062.50	
21AUG17	S	3	PD21NOV17	3148.00	3237.00	USD	-6,675.00	
21AUG17	S	1	PD21NOV17	3143.50	3237.00	USD	-2,337.50	
21AUG17	S	1	PD21NOV17	3150.00	3237.00	USD	-2,175.00	

OPEN POSITION STATEMENT



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SAP0119B

11th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	3138.00	3237.00	USD	2,475.00	
21AUG17	B	1	PD21NOV17	3133.00	3237.00	USD	2,600.00	
21AUG17	B	1	PD21NOV17	3131.00	3237.00	USD	2,650.00	
21AUG17	B	2	PD21NOV17	3126.00	3237.00	USD	5,550.00	
21AUG17	B	1	PD21NOV17	3125.50	3237.00	USD	2,787.50	
21AUG17	B	1	PD21NOV17	3125.00	3237.00	USD	2,800.00	
21AUG17	B	4	PD21NOV17	3137.00	3237.00	USD	10,000.00	
21AUG17	B	2	PD21NOV17	3137.50	3237.00	USD	4,975.00	
21AUG17	B	1	PD21NOV17	3138.50	3237.00	USD	2,462.50	
21AUG17	S	1	PD21NOV17	3139.00	3237.00	USD	-2,450.00	
11SEP17	S	5	PD21NOV17	3079.40	3237.00	USD	-19,700.00	
	NET	0	AVG BGHT 3146.64	SOLD 3140.32			-3,475.00	0.00
22AUG17	S	2	PD22NOV17	3114.50	3236.00	USD	-6,075.00	
22AUG17	S	1	PD22NOV17	3118.00	3236.00	USD	-2,950.00	
22AUG17	S	1	PD22NOV17	3152.50	3236.00	USD	-2,087.50	
22AUG17	S	1	PD22NOV17	3160.00	3236.00	USD	-1,900.00	
22AUG17	S	1	PD22NOV17	3159.00	3236.00	USD	-1,925.00	
22AUG17	S	1	PD22NOV17	3161.00	3236.00	USD	-1,875.00	
22AUG17	S	1	PD22NOV17	3162.50	3236.00	USD	-1,837.50	
22AUG17	S	1	PD22NOV17	3164.00	3236.00	USD	-1,800.00	
22AUG17	S	2	PD22NOV17	3158.50	3236.00	USD	-3,875.00	
22AUG17	S	2	PD22NOV17	3159.50	3236.00	USD	-3,825.00	
22AUG17	S	1	PD22NOV17	3143.00	3236.00	USD	-2,325.00	
22AUG17	S	1	PD22NOV17	3132.00	3236.00	USD	-2,600.00	
22AUG17	S	1	PD22NOV17	3132.50	3236.00	USD	-2,587.50	
22AUG17	S	1	PD22NOV17	3134.00	3236.00	USD	-2,550.00	
22AUG17	S	1	PD22NOV17	3130.50	3236.00	USD	-2,637.50	
22AUG17	S	1	PD22NOV17	3131.00	3236.00	USD	-2,625.00	
22AUG17	S	1	PD22NOV17	3135.50	3236.00	USD	-2,512.50	
22AUG17	S	1	PD22NOV17	3134.50	3236.00	USD	-2,537.50	
22AUG17	S	1	PD22NOV17	3116.50	3236.00	USD	-2,987.50	
22AUG17	S	1	PD22NOV17	3119.00	3236.00	USD	-2,925.00	
22AUG17	S	1	PD22NOV17	3117.50	3236.00	USD	-2,962.50	
22AUG17	S	2	PD22NOV17	3113.50	3236.00	USD	-6,125.00	
22AUG17	S	2	PD22NOV17	3114.00	3236.00	USD	-6,100.00	
22AUG17	S	3	PD22NOV17	3116.00	3236.00	USD	-9,000.00	
22AUG17	B	10	PD22NOV17	3154.65	3236.00	USD	20,337.50	
23AUG17	B	21	PD22NOV17	3096.13	3236.00	USD	73,431.75	
	NET	0	AVG BGHT 3115.01	SOLD 3134.55			15,144.25	0.00
23AUG17	B	3	PD24NOV17	3111.50	3235.00	USD	9,262.50	
23AUG17	B	1	PD24NOV17	3110.50	3235.00	USD	3,112.50	
23AUG17	B	1	PD24NOV17	3114.00	3235.00	USD	3,025.00	
23AUG17	B	1	PD24NOV17	3113.50	3235.00	USD	3,037.50	
23AUG17	B	3	PD24NOV17	3112.50	3235.00	USD	9,187.50	
23AUG17	B	3	PD24NOV17	3112.00	3235.00	USD	9,225.00	
23AUG17	B	4	PD24NOV17	3110.00	3235.00	USD	12,500.00	
23AUG17	B	1	PD24NOV17	3106.50	3235.00	USD	3,212.50	
23AUG17	B	1	PD24NOV17	3106.00	3235.00	USD	3,225.00	
23AUG17	B	1	PD24NOV17	3108.00	3235.00	USD	3,175.00	
23AUG17	B	1	PD24NOV17	3105.00	3235.00	USD	3,250.00	
23AUG17	B	4	PD24NOV17	3100.50	3235.00	USD	13,450.00	
24AUG17	S	1	PD24NOV17	3113.00	3235.00	USD	-3,050.00	
24AUG17	S	1	PD24NOV17	3114.00	3235.00	USD	-3,025.00	
24AUG17	S	1	PD24NOV17	3115.50	3235.00	USD	-2,987.50	
24AUG17	S	1	PD24NOV17	3114.50	3235.00	USD	-3,012.50	
24AUG17	B	1	PD24NOV17	3109.00	3235.00	USD	3,150.00	
24AUG17	B	1	PD24NOV17	3110.00	3235.00	USD	3,125.00	

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24AUG17	B	3	PD24NOV17	3112.50	3235.00	USD	9,187.50	
24AUG17	B	2	PD24NOV17	3111.50	3235.00	USD	6,175.00	
24AUG17	B	1	PD24NOV17	3111.00	3235.00	USD	3,100.00	
25AUG17	S	4	PD24NOV17	3102.50	3235.00	USD	-13,250.00	
25AUG17	S	4	PD24NOV17	3103.50	3235.00	USD	-13,150.00	
25AUG17	B	1	PD24NOV17	3126.50	3235.00	USD	2,712.50	
25AUG17	S	1	PD24NOV17	3131.00	3235.00	USD	-2,600.00	
25AUG17	S	3	PD24NOV17	3065.00	3235.00	USD	-12,750.00	
25AUG17	S	2	PD24NOV17	3065.50	3235.00	USD	-8,475.00	
25AUG17	S	2	PD24NOV17	3066.50	3235.00	USD	-8,425.00	
25AUG17	S	1	PD24NOV17	3067.00	3235.00	USD	-4,200.00	
25AUG17	S	1	PD24NOV17	3069.50	3235.00	USD	-4,137.50	
25AUG17	S	1	PD24NOV17	3071.00	3235.00	USD	-4,100.00	
25AUG17	S	1	PD24NOV17	3064.50	3235.00	USD	-4,262.50	
25AUG17	B	3	PD24NOV17	3073.00	3235.00	USD	12,150.00	
25AUG17	B	1	PD24NOV17	3070.50	3235.00	USD	4,112.50	
25AUG17	B	1	PD24NOV17	3069.00	3235.00	USD	4,150.00	
25AUG17	S	3	PD24NOV17	3063.50	3235.00	USD	-12,862.50	
25AUG17	S	3	PD24NOV17	3060.00	3235.00	USD	-13,125.00	
25AUG17	B	1	PD24NOV17	3060.00	3235.00	USD	4,375.00	
25AUG17	S	1	PD24NOV17	3056.50	3235.00	USD	-4,462.50	
25AUG17	B	1	PD24NOV17	3056.00	3235.00	USD	4,475.00	
25AUG17	S	2	PD24NOV17	3054.50	3235.00	USD	-9,025.00	
25AUG17	B	1	PD24NOV17	3071.50	3235.00	USD	4,087.50	
11SEP17	S	8	PD24NOV17	3079.94	3235.00	USD	-31,012.00	
	NET	0 AVG	BGHT 3101.87				SOLD 3080.94	0.00
29AUG17	S	1	PD29NOV17	3103.50	3234.00	USD	-3,262.50	
29AUG17	S	1	PD29NOV17	3104.00	3234.00	USD	-3,250.00	
29AUG17	S	2	PD29NOV17	3103.00	3234.00	USD	-6,550.00	
29AUG17	S	1	PD29NOV17	3125.00	3234.00	USD	-2,725.00	
29AUG17	S	1	PD29NOV17	3123.00	3234.00	USD	-2,775.00	
29AUG17	S	1	PD29NOV17	3122.50	3234.00	USD	-2,787.50	
29AUG17	S	2	PD29NOV17	3121.50	3234.00	USD	-5,625.00	
29AUG17	B	2	PD29NOV17	3120.00	3234.00	USD	5,700.00	
29AUG17	B	2	PD29NOV17	3119.50	3234.00	USD	5,725.00	
29AUG17	B	1	PD29NOV17	3118.50	3234.00	USD	2,887.50	
29AUG17	B	1	PD29NOV17	3117.00	3234.00	USD	2,925.00	
29AUG17	B	1	PD29NOV17	3116.00	3234.00	USD	2,950.00	
29AUG17	B	2	PD29NOV17	3113.50	3234.00	USD	6,025.00	
29AUG17	B	4	PD29NOV17	3114.00	3234.00	USD	12,000.00	
29AUG17	B	1	PD29NOV17	3115.00	3234.00	USD	2,975.00	
29AUG17	B	1	PD29NOV17	3113.00	3234.00	USD	3,025.00	
29AUG17	S	1	PD29NOV17	3124.00	3234.00	USD	-2,750.00	
29AUG17	S	2	PD29NOV17	3125.50	3234.00	USD	-5,425.00	
29AUG17	B	1	PD29NOV17	3114.50	3234.00	USD	2,987.50	
29AUG17	B	2	PD29NOV17	3103.00	3234.00	USD	6,550.00	
29AUG17	B	1	PD29NOV17	3104.00	3234.00	USD	3,250.00	
29AUG17	B	2	PD29NOV17	3106.50	3234.00	USD	6,375.00	
29AUG17	B	1	PD29NOV17	3097.00	3234.00	USD	3,425.00	
29AUG17	B	1	PD29NOV17	3095.50	3234.00	USD	3,462.50	
29AUG17	B	1	PD29NOV17	3096.50	3234.00	USD	3,437.50	
29AUG17	B	1	PD29NOV17	3096.00	3234.00	USD	3,450.00	
29AUG17	B	1	PD29NOV17	3098.00	3234.00	USD	3,400.00	
29AUG17	B	2	PD29NOV17	3099.00	3234.00	USD	6,750.00	
29AUG17	B	1	PD29NOV17	3098.50	3234.00	USD	3,387.50	
29AUG17	B	2	PD29NOV17	3102.50	3234.00	USD	6,575.00	
29AUG17	B	5	PD29NOV17	3105.00	3234.00	USD	16,125.00	

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29AUG17	B	1	PD29NOV17	3102.00	3234.00	USD	3,300.00	
29AUG17	B	1	PD29NOV17	3100.00	3234.00	USD	3,350.00	
29AUG17	S	1	PD29NOV17	3106.00	3234.00	USD	-3,200.00	
29AUG17	S	1	PD29NOV17	3105.00	3234.00	USD	-3,225.00	
29AUG17	S	2	PD29NOV17	3105.50	3234.00	USD	-6,425.00	
29AUG17	S	7	PD29NOV17	3103.09	3234.00	USD	-22,909.25	
29AUG17	S	5	PD29NOV17	3104.09	3234.00	USD	-16,238.75	
29AUG17	S	10	PD29NOV17	3103.34	3234.00	USD	-32,665.00	
29AUG17	S	12	PD29NOV17	3109.80	3234.00	USD	-37,260.00	
30AUG17	B	12	PD29NOV17	3095.03	3234.00	USD	41,691.00	
	NET	0 AVG BGHT 3104.62	SOLD 3108.34				4,655.50	0.00
30AUG17	B	4	PD30NOV17	3145.50	3233.67	USD	8,817.00	
31AUG17	S	1	PD30NOV17	3137.00	3233.67	USD	-2,416.75	
31AUG17	S	1	PD30NOV17	3141.50	3233.67	USD	-2,304.25	
31AUG17	S	2	PD30NOV17	3140.00	3233.67	USD	-4,683.50	
31AUG17	S	1	PD30NOV17	3152.50	3233.67	USD	-2,029.25	
31AUG17	B	1	PD30NOV17	3155.50	3233.67	USD	1,954.25	
31AUG17	B	1	PD30NOV17	3159.00	3233.67	USD	1,866.75	
31AUG17	S	1	PD30NOV17	3158.00	3233.67	USD	-1,891.75	
31AUG17	B	2	PD30NOV17	3158.00	3233.67	USD	3,783.50	
31AUG17	S	1	PD30NOV17	3162.00	3233.67	USD	-1,791.75	
31AUG17	S	1	PD30NOV17	3156.00	3233.67	USD	-1,941.75	
31AUG17	S	1	PD30NOV17	3156.50	3233.67	USD	-1,929.25	
31AUG17	S	1	PD30NOV17	3157.00	3233.67	USD	-1,916.75	
31AUG17	S	4	PD30NOV17	3154.00	3233.67	USD	-7,967.00	
31AUG17	B	3	PD30NOV17	3158.66	3233.67	USD	5,625.75	
31AUG17	B	7	PD30NOV17	3158.16	3233.67	USD	13,214.25	
11SEP17	S	4	PD30NOV17	3081.02	3233.67	USD	-15,265.00	
	NET	0 AVG BGHT 3155.31	SOLD 3135.59				-8,875.50	0.00
01SEP17	B	1	PD01DEC17	3142.00	3233.33	USD	2,283.25	
01SEP17	B	4	PD01DEC17	3141.50	3233.33	USD	9,183.00	
01SEP17	B	3	PD01DEC17	3145.00	3233.33	USD	6,624.75	
01SEP17	B	1	PD01DEC17	3151.50	3233.33	USD	2,045.75	
01SEP17	B	1	PD01DEC17	3153.00	3233.33	USD	2,008.25	
01SEP17	B	2	PD01DEC17	3152.50	3233.33	USD	4,041.50	
01SEP17	S	2	PD01DEC17	3147.00	3233.33	USD	-4,316.50	
01SEP17	S	1	PD01DEC17	3146.00	3233.33	USD	-2,183.25	
01SEP17	S	1	PD01DEC17	3145.50	3233.33	USD	-2,195.75	
01SEP17	B	1	PD01DEC17	3155.50	3233.33	USD	1,945.75	
01SEP17	B	1	PD01DEC17	3184.00	3233.33	USD	1,233.25	
01SEP17	S	1	PD01DEC17	3178.50	3233.33	USD	-1,370.75	
11SEP17	S	9	PD01DEC17	3081.20	3233.33	USD	-34,229.25	
	NET	0 AVG BGHT 3149.43	SOLD 3106.77				-14,930.00	0.00
04SEP17	S	4	PD04DEC17	3210.00	3233.00	USD	-2,300.00	
04SEP17	S	1	PD04DEC17	3194.50	3233.00	USD	-962.50	
04SEP17	S	4	PD04DEC17	3205.00	3233.00	USD	-2,800.00	
11SEP17	B	9	PD04DEC17	3081.94	3233.00	USD	33,988.50	
	NET	0 AVG BGHT 3081.94	SOLD 3206.06				27,926.00	0.00
05SEP17	S	1	PD05DEC17	3202.50	3232.67	USD	-754.25	
05SEP17	S	3	PD05DEC17	3203.00	3232.67	USD	-2,225.25	
05SEP17	S	3	PD05DEC17	3202.00	3232.67	USD	-2,300.25	
05SEP17	S	1	PD05DEC17	3201.50	3232.67	USD	-779.25	
05SEP17	S	2	PD05DEC17	3176.00	3232.67	USD	-2,833.50	
05SEP17	S	1	PD05DEC17	3176.50	3232.67	USD	-1,404.25	
05SEP17	S	2	PD05DEC17	3137.50	3232.67	USD	-4,758.50	
05SEP17	S	1	PD05DEC17	3136.00	3232.67	USD	-2,416.75	

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05SEP17	S	1	PD05DEC17	3135.50	3232.67	USD	-2,429.25	
05SEP17	S	1	PD05DEC17	3146.50	3232.67	USD	-2,154.25	
05SEP17	S	2	PD05DEC17	3142.50	3232.67	USD	-4,508.50	
05SEP17	S	1	PD05DEC17	3124.50	3232.67	USD	-2,704.25	
05SEP17	S	1	PD05DEC17	3125.50	3232.67	USD	-2,679.25	
05SEP17	S	1	PD05DEC17	3124.00	3232.67	USD	-2,716.75	
05SEP17	B	4	PD05DEC17	3110.00	3232.67	USD	12,267.00	
05SEP17	S	1	PD05DEC17	3095.50	3232.67	USD	-3,429.25	
05SEP17	S	3	PD05DEC17	3095.00	3232.67	USD	-10,325.25	
05SEP17	S	1	PD05DEC17	3100.50	3232.67	USD	-3,304.25	
05SEP17	S	3	PD05DEC17	3100.00	3232.67	USD	-9,950.25	
05SEP17	S	1	PD05DEC17	3174.00	3232.67	USD	-1,466.75	
05SEP17	S	1	PD05DEC17	3141.00	3232.67	USD	-2,291.75	
05SEP17	S	1	PD05DEC17	3125.00	3232.67	USD	-2,691.75	
11SEP17	B	28	PD05DEC17	3082.12	3232.67	USD	105,385.00	
	NET	0AVG BGHT 3085.61	SOLD 3147.52				49,528.50	0.00
06SEP17	B	2	PD06DEC17	3101.50	3232.33	USD	6,541.50	
06SEP17	B	2	PD06DEC17	3107.00	3232.33	USD	6,266.50	
06SEP17	B	3	PD06DEC17	3108.00	3232.33	USD	9,324.75	
06SEP17	B	1	PD06DEC17	3108.50	3232.33	USD	3,095.75	
06SEP17	B	4	PD06DEC17	3123.50	3232.33	USD	10,883.00	
06SEP17	S	1	PD06DEC17	3104.00	3232.33	USD	-3,208.25	
06SEP17	S	3	PD06DEC17	3102.50	3232.33	USD	-9,737.25	
06SEP17	B	2	PD06DEC17	3093.00	3232.33	USD	6,966.50	
06SEP17	B	3	PD06DEC17	3093.50	3232.33	USD	10,412.25	
06SEP17	B	1	PD06DEC17	3097.00	3232.33	USD	3,383.25	
06SEP17	B	2	PD06DEC17	3098.00	3232.33	USD	6,716.50	
06SEP17	B	3	PD06DEC17	3084.50	3232.33	USD	11,087.25	
06SEP17	B	1	PD06DEC17	3088.00	3232.33	USD	3,608.25	
22SEP17	S	13	PD06DEC17	3031.83	3232.33	USD	-65,162.50	
29SEP17	S	7	PD06DEC17	3165.13	3232.33	USD	-11,760.00	
	NET	0AVG BGHT 3101.85	SOLD 3082.55				-11,582.50	0.00
07SEP17	S	1	PD07DEC17	3096.50	3232.00	USD	-3,387.50	
07SEP17	S	3	PD07DEC17	3097.00	3232.00	USD	-10,125.00	
07SEP17	S	3	PD07DEC17	3079.00	3232.00	USD	-11,475.00	
07SEP17	S	1	PD07DEC17	3080.50	3232.00	USD	-3,787.50	
07SEP17	S	2	PD07DEC17	3133.50	3232.00	USD	-4,925.00	
07SEP17	S	1	PD07DEC17	3135.50	3232.00	USD	-2,412.50	
07SEP17	S	1	PD07DEC17	3135.00	3232.00	USD	-2,425.00	
07SEP17	S	1	PD07DEC17	3139.00	3232.00	USD	-2,325.00	
07SEP17	S	3	PD07DEC17	3142.50	3232.00	USD	-6,712.50	
07SEP17	B	2	PD07DEC17	3126.00	3232.00	USD	5,300.00	
07SEP17	B	2	PD07DEC17	3125.50	3232.00	USD	5,325.00	
11SEP17	B	12	PD07DEC17	3082.48	3232.00	USD	44,856.00	
	NET	0AVG BGHT 3093.30	SOLD 3113.06				7,906.00	0.00
08SEP17	S	1	PD08DEC17	3137.50	3231.67	USD	-2,354.25	
08SEP17	S	1	PD08DEC17	3133.00	3231.67	USD	-2,466.75	
08SEP17	S	1	PD08DEC17	3133.50	3231.67	USD	-2,454.25	
08SEP17	S	1	PD08DEC17	3134.00	3231.67	USD	-2,441.75	
08SEP17	S	1	PD08DEC17	3139.00	3231.67	USD	-2,316.75	
08SEP17	B	1	PD08DEC17	3149.00	3231.67	USD	2,066.75	
08SEP17	B	4	PD08DEC17	3146.50	3231.67	USD	8,517.00	
08SEP17	B	2	PD08DEC17	3142.50	3231.67	USD	4,458.50	
08SEP17	B	1	PD08DEC17	3141.50	3231.67	USD	2,254.25	
08SEP17	B	1	PD08DEC17	3131.00	3231.67	USD	2,516.75	
08SEP17	S	1	PD08DEC17	3130.50	3231.67	USD	-2,529.25	

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	3120.00	3231.67	USD	-5,583.50	
08SEP17	S	5	PD08DEC17	3117.00	3231.67	USD	-14,333.75	
08SEP17	S	1	PD08DEC17	3090.00	3231.67	USD	-3,541.75	
08SEP17	S	1	PD08DEC17	3091.50	3231.67	USD	-3,504.25	
08SEP17	B	2	PD08DEC17	3089.00	3231.67	USD	7,133.50	
08SEP17	B	1	PD08DEC17	3088.50	3231.67	USD	3,579.25	
08SEP17	B	1	PD08DEC17	3084.50	3231.67	USD	3,679.25	
08SEP17	B	2	PD08DEC17	3080.00	3231.67	USD	7,583.50	
08SEP17	B	2	PD08DEC17	3081.00	3231.67	USD	7,533.50	
08SEP17	S	1	PD08DEC17	3091.50	3231.67	USD	-3,504.25	
08SEP17	S	1	PD08DEC17	3090.00	3231.67	USD	-3,541.75	
08SEP17	S	1	PD08DEC17	3094.00	3231.67	USD	-3,441.75	
08SEP17	S	1	PD08DEC17	3073.00	3231.67	USD	-3,966.75	
08SEP17	S	2	PD08DEC17	3073.50	3231.67	USD	-7,908.50	
08SEP17	S	1	PD08DEC17	3074.00	3231.67	USD	-3,941.75	
08SEP17	S	1	PD08DEC17	3078.50	3231.67	USD	-3,829.25	
08SEP17	B	1	PD08DEC17	3070.00	3231.67	USD	4,041.75	
08SEP17	B	1	PD08DEC17	3078.50	3231.67	USD	3,829.25	
08SEP17	B	1	PD08DEC17	3078.00	3231.67	USD	3,841.75	
08SEP17	B	1	PD08DEC17	3080.50	3231.67	USD	3,779.25	
08SEP17	S	1	PD08DEC17	3076.00	3231.67	USD	-3,891.75	
08SEP17	B	1	PD08DEC17	3074.00	3231.67	USD	3,941.75	
08SEP17	B	1	PD08DEC17	3075.50	3231.67	USD	3,904.25	
08SEP17	B	3	PD08DEC17	3071.00	3231.67	USD	12,050.25	
08SEP17	B	2	PD08DEC17	3072.00	3231.67	USD	7,983.50	
08SEP17	B	1	PD08DEC17	3060.00	3231.67	USD	4,291.75	
08SEP17	S	1	PD08DEC17	3052.50	3231.67	USD	-4,479.25	
08SEP17	S	2	PD08DEC17	3053.00	3231.67	USD	-8,933.50	
08SEP17	S	1	PD08DEC17	3052.00	3231.67	USD	-4,491.75	
08SEP17	S	1	PD08DEC17	3053.00	3231.67	USD	-4,466.75	
08SEP17	S	1	PD08DEC17	3044.50	3231.67	USD	-4,679.25	
08SEP17	B	1	PD08DEC17	3034.00	3231.67	USD	4,941.75	
08SEP17	S	1	PD08DEC17	3033.00	3231.67	USD	-4,966.75	
08SEP17	S	1	PD08DEC17	3032.00	3231.67	USD	-4,991.75	
08SEP17	S	1	PD08DEC17	3032.50	3231.67	USD	-4,979.25	
08SEP17	B	1	PD08DEC17	3031.50	3231.67	USD	5,004.25	
08SEP17	B	3	PD08DEC17	3034.50	3231.67	USD	14,787.75	
08SEP17	B	1	PD08DEC17	3033.50	3231.67	USD	4,954.25	
08SEP17	B	1	PD08DEC17	3036.50	3231.67	USD	4,879.25	
08SEP17	B	1	PD08DEC17	3037.00	3231.67	USD	4,866.75	
08SEP17	B	1	PD08DEC17	3036.00	3231.67	USD	4,891.75	
08SEP17	B	3	PD08DEC17	3032.00	3231.67	USD	14,975.25	
29SEP17	S	8	PD08DEC17	3165.25	3231.67	USD	-13,284.00	
	NET	0	AVG BGHT 3079.20	SOLD 3104.04			25,462.50	0.00
11SEP17	S	1	PD11DEC17	3058.00	3231.33	USD	-4,333.25	
11SEP17	S	3	PD11DEC17	3059.00	3231.33	USD	-12,924.75	
11SEP17	B	1	PD11DEC17	3050.00	3231.33	USD	4,533.25	
11SEP17	B	2	PD11DEC17	3051.50	3231.33	USD	8,991.50	
11SEP17	B	3	PD11DEC17	3051.00	3231.33	USD	13,524.75	
11SEP17	B	2	PD11DEC17	3049.50	3231.33	USD	9,091.50	
11SEP17	B	1	PD11DEC17	3071.00	3231.33	USD	4,008.25	
11SEP17	B	1	PD11DEC17	3068.50	3231.33	USD	4,070.75	
11SEP17	B	4	PD11DEC17	3067.00	3231.33	USD	16,433.00	
11SEP17	B	2	PD11DEC17	3067.50	3231.33	USD	8,191.50	
29SEP17	S	12	PD11DEC17	3165.44	3231.33	USD	-19,767.00	
	NET	0	AVG BGHT 3059.22	SOLD 3138.77			31,819.50	0.00
12SEP17	B	2	PD12DEC17	3070.00	3231.00	USD	8,050.00	

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12SEP17	B	1	PD12DEC17	3067.00	3231.00	USD	4,100.00	
12SEP17	B	1	PD12DEC17	3066.00	3231.00	USD	4,125.00	
12SEP17	B	2	PD12DEC17	3065.50	3231.00	USD	8,275.00	
12SEP17	B	3	PD12DEC17	3065.00	3231.00	USD	12,450.00	
19SEP17	S	1	PD12DEC17	3105.21	3231.00	USD	-3,144.75	
29SEP17	S	8	PD12DEC17	3165.50	3231.00	USD	-13,100.00	
	NET	0 AVG BGHT 3066.56	SOLD 3158.80				20,755.25	0.00
13SEP17	S	1	PD13DEC17	3058.00	3230.67	USD	-4,316.75	
13SEP17	S	1	PD13DEC17	3055.00	3230.67	USD	-4,391.75	
13SEP17	S	1	PD13DEC17	3055.50	3230.67	USD	-4,379.25	
13SEP17	S	2	PD13DEC17	3056.00	3230.67	USD	-8,733.50	
13SEP17	S	4	PD13DEC17	3056.50	3230.67	USD	-17,417.00	
19SEP17	B	1	PD13DEC17	3105.63	3230.67	USD	3,126.00	
22SEP17	B	8	PD13DEC17	3033.12	3230.67	USD	39,510.00	
	NET	0 AVG BGHT 3041.18	SOLD 3056.28				3,397.75	0.00
14SEP17	B	1	PD14DEC17	3034.50	3230.33	USD	4,895.75	
14SEP17	B	1	PD14DEC17	3041.00	3230.33	USD	4,733.25	
14SEP17	S	1	PD14DEC17	3039.50	3230.33	USD	-4,770.75	
29SEP17	S	1	PD14DEC17	3165.63	3230.33	USD	-1,617.50	
	NET	0 AVG BGHT 3037.75	SOLD 3102.57				3,240.75	0.00
15SEP17	B	1	PD15DEC17	2998.00	3230.00	USD	5,800.00	
15SEP17	B	2	PD15DEC17	2991.00	3230.00	USD	11,950.00	
15SEP17	B	1	PD15DEC17	2991.50	3230.00	USD	5,962.50	
15SEP17	B	1	PD15DEC17	2992.50	3230.00	USD	5,937.50	
15SEP17	B	2	PD15DEC17	3028.00	3230.00	USD	10,100.00	
15SEP17	B	2	PD15DEC17	3028.50	3230.00	USD	10,075.00	
15SEP17	S	5	PD15DEC17	3030.80	3230.00	USD	-24,900.00	
15SEP17	B	1	PD15DEC17	3028.00	3230.00	USD	5,050.00	
29SEP17	S	5	PD15DEC17	3165.49	3230.00	USD	-8,063.75	
	NET	0 AVG BGHT 3010.50	SOLD 3098.15				21,911.25	0.00
18SEP17	B	2	PD18DEC17	3074.50	3229.67	USD	7,758.50	
18SEP17	B	1	PD18DEC17	3075.50	3229.67	USD	3,854.25	
18SEP17	B	5	PD18DEC17	3075.00	3229.67	USD	19,333.75	
18SEP17	B	2	PD18DEC17	3075.50	3229.67	USD	7,708.50	
18SEP17	S	1	PD18DEC17	3079.00	3229.67	USD	-3,766.75	
18SEP17	B	1	PD18DEC17	3061.50	3229.67	USD	4,204.25	
18SEP17	S	1	PD18DEC17	3068.00	3229.67	USD	-4,041.75	
18SEP17	B	1	PD18DEC17	3087.00	3229.67	USD	3,566.75	
20SEP17	S	1	PD18DEC17	3131.47	3229.67	USD	-2,455.00	
29SEP17	S	9	PD18DEC17	3165.88	3229.67	USD	-14,352.75	
	NET	0 AVG BGHT 3074.92	SOLD 3147.62				21,809.75	0.00
19SEP17	S	1	PD19DEC17	3113.50	3229.33	USD	-2,895.75	
19SEP17	S	2	PD19DEC17	3088.50	3229.33	USD	-7,041.50	
19SEP17	B	1	PD19DEC17	3110.00	3229.33	USD	2,983.25	
19SEP17	B	2	PD19DEC17	3107.50	3229.33	USD	6,091.50	
20SEP17	B	1	PD19DEC17	3131.93	3229.33	USD	2,435.00	
22SEP17	B	1	PD19DEC17	3034.05	3229.33	USD	4,882.00	
29SEP17	S	2	PD19DEC17	3165.94	3229.33	USD	-3,169.50	
	NET	0 AVG BGHT 3098.20	SOLD 3124.48				3,285.00	0.00
20SEP17	B	1	PD20DEC17	3099.00	3229.00	USD	3,250.00	
20SEP17	B	1	PD20DEC17	3092.50	3229.00	USD	3,412.50	
20SEP17	B	1	PD20DEC17	3110.00	3229.00	USD	2,975.00	
20SEP17	B	1	PD20DEC17	3111.00	3229.00	USD	2,950.00	
20SEP17	B	1	PD20DEC17	3112.00	3229.00	USD	2,925.00	
20SEP17	B	1	PD20DEC17	3127.50	3229.00	USD	2,537.50	
20SEP17	S	1	PD20DEC17	3134.00	3229.00	USD	-2,375.00	

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20SEP17	S	5	PD20DEC17	3132.50	3229.00	USD	-12,062.50	
	NET	0 AVG BGHT 3108.67	SOLD 3132.75				3,612.50	0.00
21SEP17	S	2	PD21DEC17	3078.00	3225.00	USD	-7,350.00	
21SEP17	S	2	PD21DEC17	3077.50	3225.00	USD	-7,375.00	
21SEP17	B	1	PD21DEC17	3101.50	3225.00	USD	3,087.50	
21SEP17	B	2	PD21DEC17	3102.00	3225.00	USD	6,150.00	
21SEP17	S	2	PD21DEC17	3105.50	3225.00	USD	-5,975.00	
21SEP17	B	1	PD21DEC17	3097.50	3225.00	USD	3,187.50	
21SEP17	S	1	PD21DEC17	3121.00	3225.00	USD	-2,600.00	
21SEP17	S	1	PD21DEC17	3119.50	3225.00	USD	-2,637.50	
22SEP17	B	4	PD21DEC17	3032.70	3225.00	USD	19,230.00	
	NET	0 AVG BGHT 3066.73	SOLD 3095.31				5,717.50	0.00
22SEP17	S	1	PD22DEC17	3016.00	3224.50	USD	-5,212.50	
22SEP17	S	1	PD22DEC17	3006.00	3224.50	USD	-5,462.50	
22SEP17	S	1	PD22DEC17	3002.50	3224.50	USD	-5,550.00	
22SEP17	S	4	PD22DEC17	3012.00	3224.50	USD	-21,250.00	
22SEP17	S	1	PD22DEC17	3013.00	3224.50	USD	-5,287.50	
22SEP17	B	1	PD22DEC17	3058.00	3224.50	USD	4,162.50	
22SEP17	B	2	PD22DEC17	3057.50	3224.50	USD	8,350.00	
22SEP17	B	4	PD22DEC17	3056.50	3224.50	USD	16,800.00	
22SEP17	B	1	PD22DEC17	3046.00	3224.50	USD	4,462.50	
22SEP17	S	1	PD22DEC17	3047.00	3224.50	USD	-4,437.50	
22SEP17	S	1	PD22DEC17	3036.00	3224.50	USD	-4,712.50	
22SEP17	S	1	PD22DEC17	3037.50	3224.50	USD	-4,675.00	
22SEP17	S	1	PD22DEC17	3039.00	3224.50	USD	-4,637.50	
22SEP17	S	1	PD22DEC17	3039.50	3224.50	USD	-4,625.00	
22SEP17	S	5	PD22DEC17	3038.00	3224.50	USD	-23,312.50	
22SEP17	S	2	PD22DEC17	3013.50	3224.50	USD	-10,550.00	
22SEP17	S	2	PD22DEC17	3014.00	3224.50	USD	-10,525.00	
22SEP17	S	2	PD22DEC17	3014.50	3224.50	USD	-10,500.00	
22SEP17	S	5	PD22DEC17	3012.50	3224.50	USD	-26,500.00	
22SEP17	B	1	PD22DEC17	3053.50	3224.50	USD	4,275.00	
22SEP17	B	3	PD22DEC17	3057.00	3224.50	USD	12,562.50	
22SEP17	B	1	PD22DEC17	3061.00	3224.50	USD	4,087.50	
22SEP17	B	1	PD22DEC17	3061.50	3224.50	USD	4,075.00	
22SEP17	S	1	PD22DEC17	3012.00	3224.50	USD	-5,312.50	
22SEP17	B	1	PD22DEC17	3058.50	3224.50	USD	4,150.00	
22SEP17	B	2	PD22DEC17	3062.00	3224.50	USD	8,125.00	
22SEP17	B	1	PD22DEC17	3061.00	3224.50	USD	4,087.50	
29SEP17	B	12	PD22DEC17	3162.76	3224.50	USD	18,522.00	
	NET	0 AVG BGHT 3099.62	SOLD 3021.10				-58,890.50	0.00
25SEP17	B	2	PD27DEC17	3082.50	3224.00	USD	7,075.00	
25SEP17	B	1	PD27DEC17	3078.00	3224.00	USD	3,650.00	
25SEP17	B	1	PD27DEC17	3079.00	3224.00	USD	3,625.00	
25SEP17	B	1	PD27DEC17	3075.00	3224.00	USD	3,725.00	
25SEP17	B	1	PD27DEC17	3072.50	3224.00	USD	3,787.50	
25SEP17	S	1	PD27DEC17	3071.00	3224.00	USD	-3,825.00	
25SEP17	S	1	PD27DEC17	3096.50	3224.00	USD	-3,187.50	
25SEP17	S	1	PD27DEC17	3086.00	3224.00	USD	-3,450.00	
25SEP17	S	1	PD27DEC17	3083.00	3224.00	USD	-3,525.00	
25SEP17	S	1	PD27DEC17	3084.00	3224.00	USD	-3,500.00	
25SEP17	B	1	PD27DEC17	3099.50	3224.00	USD	3,112.50	
25SEP17	B	1	PD27DEC17	3096.00	3224.00	USD	3,200.00	
25SEP17	B	2	PD27DEC17	3098.50	3224.00	USD	6,275.00	
25SEP17	B	1	PD27DEC17	3098.00	3224.00	USD	3,150.00	
25SEP17	B	2	PD27DEC17	3100.50	3224.00	USD	6,175.00	

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25SEP17	B	1	PD27DEC17	3100.00	3224.00	USD	3,100.00	
25SEP17	B	1	PD27DEC17	3101.00	3224.00	USD	3,075.00	
25SEP17	B	2	PD27DEC17	3099.00	3224.00	USD	6,250.00	
25SEP17	B	3	PD27DEC17	3097.50	3224.00	USD	9,487.50	
25SEP17	B	1	PD27DEC17	3097.00	3224.00	USD	3,175.00	
25SEP17	B	1	PD27DEC17	3095.50	3224.00	USD	3,212.50	
25SEP17	S	1	PD27DEC17	3094.00	3224.00	USD	-3,250.00	
25SEP17	S	1	PD27DEC17	3097.50	3224.00	USD	-3,162.50	
25SEP17	B	5	PD27DEC17	3098.89	3224.00	USD	15,638.75	
26SEP17	B	1	PD27DEC17	3135.00	3224.00	USD	2,225.00	
26SEP17	S	1	PD27DEC17	3113.00	3224.00	USD	-2,775.00	
26SEP17	S	1	PD27DEC17	3110.50	3224.00	USD	-2,837.50	
26SEP17	S	1	PD27DEC17	3110.00	3224.00	USD	-2,850.00	
26SEP17	S	1	PD27DEC17	3108.00	3224.00	USD	-2,900.00	
26SEP17	S	1	PD27DEC17	3108.50	3224.00	USD	-2,887.50	
26SEP17	S	1	PD27DEC17	3106.50	3224.00	USD	-2,937.50	
26SEP17	S	1	PD27DEC17	3115.00	3224.00	USD	-2,725.00	
26SEP17	S	2	PD27DEC17	3114.00	3224.00	USD	-5,500.00	
26SEP17	S	4	PD27DEC17	3114.50	3224.00	USD	-10,950.00	
26SEP17	S	4	PD27DEC17	3116.00	3224.00	USD	-10,800.00	
26SEP17	S	1	PD27DEC17	3118.50	3224.00	USD	-2,637.50	
26SEP17	S	2	PD27DEC17	3113.50	3224.00	USD	-5,525.00	
26SEP17	S	2	PD27DEC17	3117.50	3224.00	USD	-5,325.00	
26SEP17	S	2	PD27DEC17	3124.50	3224.00	USD	-4,975.00	
26SEP17	S	1	PD27DEC17	3126.00	3224.00	USD	-2,450.00	
26SEP17	S	3	PD27DEC17	3125.50	3224.00	USD	-7,387.50	
26SEP17	S	2	PD27DEC17	3130.50	3224.00	USD	-4,675.00	
26SEP17	S	1	PD27DEC17	3129.00	3224.00	USD	-2,375.00	
26SEP17	S	5	PD27DEC17	3128.50	3224.00	USD	-11,937.50	
26SEP17	B	1	PD27DEC17	3120.00	3224.00	USD	2,600.00	
26SEP17	B	1	PD27DEC17	3116.50	3224.00	USD	2,687.50	
26SEP17	B	5	PD27DEC17	3117.00	3224.00	USD	13,375.00	
26SEP17	B	1	PD27DEC17	3115.00	3224.00	USD	2,725.00	
26SEP17	B	7	PD27DEC17	3113.50	3224.00	USD	19,337.50	
26SEP17	B	1	PD27DEC17	3114.00	3224.00	USD	2,750.00	
26SEP17	B	1	PD27DEC17	3109.00	3224.00	USD	2,875.00	
26SEP17	B	2	PD27DEC17	3110.00	3224.00	USD	5,700.00	
26SEP17	B	4	PD27DEC17	3111.50	3224.00	USD	11,250.00	
26SEP17	B	3	PD27DEC17	3114.50	3224.00	USD	8,212.50	
26SEP17	B	2	PD27DEC17	3116.50	3224.00	USD	5,375.00	
26SEP17	B	1	PD27DEC17	3109.00	3224.00	USD	2,875.00	
26SEP17	B	2	PD27DEC17	3111.50	3224.00	USD	5,625.00	
26SEP17	B	1	PD27DEC17	3111.00	3224.00	USD	2,825.00	
27SEP17	B	2	PD27DEC17	3139.00	3224.00	USD	4,250.00	
27SEP17	B	1	PD27DEC17	3142.50	3224.00	USD	2,037.50	
27SEP17	B	1	PD27DEC17	3146.00	3224.00	USD	1,950.00	
27SEP17	B	1	PD27DEC17	3145.00	3224.00	USD	1,975.00	
27SEP17	B	1	PD27DEC17	3145.50	3224.00	USD	1,962.50	
27SEP17	B	2	PD27DEC17	3147.50	3224.00	USD	3,825.00	
27SEP17	B	3	PD27DEC17	3149.00	3224.00	USD	5,625.00	
27SEP17	B	1	PD27DEC17	3141.50	3224.00	USD	2,062.50	
27SEP17	B	1	PD27DEC17	3143.50	3224.00	USD	2,012.50	
27SEP17	B	1	PD27DEC17	3142.00	3224.00	USD	2,050.00	
27SEP17	B	1	PD27DEC17	3137.00	3224.00	USD	2,175.00	
27SEP17	B	1	PD27DEC17	3144.00	3224.00	USD	2,000.00	
27SEP17	S	1	PD27DEC17	3137.50	3224.00	USD	-2,162.50	
27SEP17	S	1	PD27DEC17	3124.50	3224.00	USD	-2,487.50	

OPEN POSITION STATEMENT



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SAP0119B

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Sapien Capital Limited
Suite A, 105 Piccadilly
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	S	1	PD27DEC17		3133.00	3224.00	USD	-2,275.00	
27SEP17	S	3	PD27DEC17		3114.50	3224.00	USD	-8,212.50	
27SEP17	S	1	PD27DEC17		3110.50	3224.00	USD	-2,837.50	
27SEP17	S	1	PD27DEC17		3110.00	3224.00	USD	-2,850.00	
27SEP17	S	1	PD27DEC17		3111.00	3224.00	USD	-2,825.00	
27SEP17	S	2	PD27DEC17		3102.00	3224.00	USD	-6,100.00	
27SEP17	S	1	PD27DEC17		3102.50	3224.00	USD	-3,037.50	
27SEP17	S	2	PD27DEC17		3104.50	3224.00	USD	-5,975.00	
27SEP17	S	3	PD27DEC17		3112.00	3224.00	USD	-8,400.00	
27SEP17	S	2	PD27DEC17		3111.50	3224.00	USD	-5,625.00	
27SEP17	S	2	PD27DEC17		3114.00	3224.00	USD	-5,500.00	
27SEP17	S	4	PD27DEC17		3120.00	3224.00	USD	-10,400.00	
27SEP17	S	4	PD27DEC17		3121.00	3224.00	USD	-10,300.00	
27SEP17	S	2	PD27DEC17		3118.50	3224.00	USD	-5,275.00	
27SEP17	S	4	PD27DEC17		3109.50	3224.00	USD	-11,450.00	
27SEP17	S	1	PD27DEC17		3106.00	3224.00	USD	-2,950.00	
27SEP17	S	2	PD27DEC17		3107.50	3224.00	USD	-5,825.00	
27SEP17	S	1	PD27DEC17		3105.00	3224.00	USD	-2,975.00	
27SEP17	S	2	PD27DEC17		3106.50	3224.00	USD	-5,875.00	
27SEP17	S	7	PD27DEC17		3107.00	3224.00	USD	-20,475.00	
27SEP17	B	1	PD27DEC17		3111.50	3224.00	USD	2,812.50	
27SEP17	B	2	PD27DEC17		3114.50	3224.00	USD	5,475.00	
27SEP17	B	1	PD27DEC17		3116.00	3224.00	USD	2,700.00	
27SEP17	S	2	PD27DEC17		3115.00	3224.00	USD	-5,450.00	
27SEP17	S	4	PD27DEC17		3112.50	3224.00	USD	-11,150.00	
27SEP17	B	4	PD27DEC17		3118.50	3224.00	USD	10,550.00	
27SEP17	B	1	PD27DEC17		3117.50	3224.00	USD	2,662.50	
27SEP17	B	2	PD27DEC17		3117.00	3224.00	USD	5,350.00	
27SEP17	B	6	PD27DEC17		3118.00	3224.00	USD	15,900.00	
27SEP17	B	2	PD27DEC17		3120.50	3224.00	USD	5,175.00	
27SEP17	S	2	PD27DEC17		3105.00	3224.00	USD	-5,950.00	
27SEP17	B	1	PD27DEC17		3117.50	3224.00	USD	2,662.50	
29SEP17	B	3	PD27DEC17		3162.58	3224.00	USD	4,606.50	
	NET	0	AVG BGHT 3115.73	SOLD 3113.01				-6,742.25	0.00
28SEP17	S	3	PD28DEC17		3117.00	3223.50	USD	-7,987.50	
28SEP17	S	1	PD28DEC17		3117.50	3223.50	USD	-2,650.00	
28SEP17	S	1	PD28DEC17		3119.50	3223.50	USD	-2,600.00	
28SEP17	S	3	PD28DEC17		3122.00	3223.50	USD	-7,612.50	
28SEP17	S	1	PD28DEC17		3124.00	3223.50	USD	-2,487.50	
28SEP17	S	1	PD28DEC17		3113.50	3223.50	USD	-2,750.00	
28SEP17	S	1	PD28DEC17		3118.00	3223.50	USD	-2,637.50	
28SEP17	S	1	PD28DEC17		3107.50	3223.50	USD	-2,900.00	
28SEP17	S	4	PD28DEC17		3106.50	3223.50	USD	-11,700.00	
28SEP17	S	3	PD28DEC17		3107.00	3223.50	USD	-8,737.50	
28SEP17	S	1	PD28DEC17		3106.00	3223.50	USD	-2,937.50	
28SEP17	S	2	PD28DEC17		3131.50	3223.50	USD	-4,600.00	
28SEP17	S	1	PD28DEC17		3132.00	3223.50	USD	-2,287.50	
28SEP17	S	1	PD28DEC17		3131.00	3223.50	USD	-2,312.50	
28SEP17	S	2	PD28DEC17		3130.50	3223.50	USD	-4,650.00	
28SEP17	S	1	PD28DEC17		3129.00	3223.50	USD	-2,362.50	
28SEP17	S	1	PD28DEC17		3127.00	3223.50	USD	-2,412.50	
28SEP17	S	2	PD28DEC17		3128.00	3223.50	USD	-4,775.00	
28SEP17	B	1	PD28DEC17		3133.50	3223.50	USD	2,250.00	
28SEP17	B	5	PD28DEC17		3134.50	3223.50	USD	11,125.00	
28SEP17	B	2	PD28DEC17		3135.00	3223.50	USD	4,425.00	
28SEP17	B	2	PD28DEC17		3139.50	3223.50	USD	4,200.00	
28SEP17	B	2	PD28DEC17		3138.50	3223.50	USD	4,250.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	2	PD28DEC17	3137.00	3223.50	USD	4,325.00	
28SEP17	B	2	PD28DEC17	3138.00	3223.50	USD	4,275.00	
28SEP17	S	1	PD28DEC17	3143.50	3223.50	USD	-2,000.00	
28SEP17	S	4	PD28DEC17	3142.50	3223.50	USD	-8,100.00	
28SEP17	S	1	PD28DEC17	3137.50	3223.50	USD	-2,150.00	
28SEP17	S	2	PD28DEC17	3136.00	3223.50	USD	-4,375.00	
28SEP17	S	1	PD28DEC17	3135.50	3223.50	USD	-2,200.00	
28SEP17	S	1	PD28DEC17	3134.50	3223.50	USD	-2,225.00	
28SEP17	S	3	PD28DEC17	3134.00	3223.50	USD	-6,712.50	
28SEP17	S	1	PD28DEC17	3131.50	3223.50	USD	-2,300.00	
28SEP17	S	1	PD28DEC17	3131.00	3223.50	USD	-2,312.50	
28SEP17	B	2	PD28DEC17	3146.50	3223.50	USD	3,850.00	
28SEP17	B	1	PD28DEC17	3147.00	3223.50	USD	1,912.50	
28SEP17	S	1	PD28DEC17	3145.00	3223.50	USD	-1,962.50	
28SEP17	S	1	PD28DEC17	3140.50	3223.50	USD	-2,075.00	
28SEP17	S	3	PD28DEC17	3141.50	3223.50	USD	-6,150.00	
28SEP17	S	3	PD28DEC17	3142.00	3223.50	USD	-6,112.50	
28SEP17	B	1	PD28DEC17	3146.00	3223.50	USD	1,937.50	
28SEP17	B	1	PD28DEC17	3147.50	3223.50	USD	1,900.00	
29SEP17	B	32	PD28DEC17	3162.39	3223.50	USD	48,888.00	
	NET	0 AVG BGHT 3153.06	SOLD 3127.59				-33,737.00	0.00
09OCT17	S	1	PD09JAN18	3264.50	3220.00	USD	1,112.50	
09OCT17	B	1	PD09JAN18	3270.00	3220.00	USD	-1,250.00	
09OCT17	B	1	PD09JAN18	3256.00	3220.00	USD	-900.00	
09OCT17	S	1	PD09JAN18	3238.00	3220.00	USD	450.00	
09OCT17	B	1	PD09JAN18	3233.50	3220.00	USD	-337.50	
09OCT17	B	1	PD09JAN18	3248.00	3220.00	USD	-700.00	
09OCT17	B	1	PD09JAN18	3245.00	3220.00	USD	-625.00	
09OCT17	B	1	PD09JAN18	3248.50	3220.00	USD	-712.50	
	NET	+4 AVG BGHT 3250.17	SOLD 3251.25				-2,962.50	0.00
10OCT17	B	1	PD10JAN18	3262.50	3219.50	USD	-1,075.00	
10OCT17	S	1	PD10JAN18	3246.00	3219.50	USD	662.50	
10OCT17	S	2	PD10JAN18	3228.50	3219.50	USD	450.00	
10OCT17	S	1	PD10JAN18	3225.50	3219.50	USD	150.00	
10OCT17	S	1	PD10JAN18	3226.00	3219.50	USD	162.50	
	NET	-4 AVG BGHT 3262.50	SOLD 3230.90				350.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	232,476.75
NYM Light Sweet Crude Oil	FUTURES	1,022,980.00
LME Copper Grade A 25	FUTURES	-15,557,172.75
CMX Gold	FUTURES	-3,080,710.00
NYM Henry Hub Natural Gas	FUTURES	-853,910.00
LME Lead 25 tonnes. This is an	FUTURES	609,001.50
LME Nickel 6 tonnes. This is	FUTURES	8,116.14
CMX Silver	FUTURES	-5,625,500.00
LME Tin 5 tonnes. This is an	FUTURES	-925.25
LME Zinc 25 tonnes. This is	FUTURES	113,255.25

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-9,020.00	-9,020.00		
GOLD - Futures USD	12,650.00	12,650.00		
HGAS - Futures USD	12,790.00	12,790.00		
SILV - Futures USD	114,055.00	114,055.00	130,475.00	130,475.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	232,462.50	232,462.50		
COPL - Futures USD	-87,958.25	-87,958.25		
LEAD - Futures USD	32,900.25	32,900.25		
NKL - Futures USD	74,904.24	74,904.24		
TIN - Futures USD	-925.25	-925.25		
ZINC - Futures USD	113,305.25	113,305.25	364,688.74	364,688.74

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	81,474.42	81,474.42
Variation Margins - Futures	130,475.00	130,475.00
- Options	0.00	0.00
TOTAL EQUITY	211,949.42	211,949.42
Initial Margins	-1,436,472.26	-1,436,472.26
Excess/(Shortage)	-1,224,522.84	-1,224,522.84
FOR INFORMATION ONLY		
Forward Unrealised P&L	364,688.74	364,688.74
Net Liquidating Value	576,638.16	576,638.16

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	<u>CME CATTLE 400 CME</u>					
09OCT17	B 1 DEC17	116.9750	118.5250	USD	620.00	
	NET +1AVG BGHT 116.9750				620.00	0.00
09OCT17	S 1 FEB18	121.2000	122.1750	USD	-390.00	
09OCT17	S 1 FEB18	121.1500	122.1750	USD	-410.00	
	NET -2AVG SOLD 121.1750				-800.00	0.00
06OCT17	B 1 APR18	121.7000	122.6750	USD	390.00	
06OCT17	B 1 APR18	121.5000	122.6750	USD	470.00	
06OCT17	B 1 APR18	121.5500	122.6750	USD	450.00	
09OCT17	B 1 APR18	121.7500	122.6750	USD	370.00	
	NET +4AVG BGHT 121.6250				1,680.00	0.00
05OCT17	S 1 JUN18	114.0250	116.1500	USD	-850.00	
05OCT17	S 1 JUN18	114.0000	116.1500	USD	-860.00	
06OCT17	S 1 JUN18	115.1750	116.1500	USD	-390.00	
06OCT17	S 1 JUN18	115.2000	116.1500	USD	-380.00	
06OCT17	S 1 JUN18	115.3250	116.1500	USD	-330.00	
06OCT17	S 1 JUN18	115.3000	116.1500	USD	-340.00	
	NET -6AVG SOLD 114.8375				-3,150.00	0.00
05OCT17	B 1 AUG18	111.3250	113.2000	USD	750.00	
06OCT17	B 1 AUG18	112.3250	113.2000	USD	350.00	
06OCT17	B 1 AUG18	112.4250	113.2000	USD	310.00	
	NET +3AVG BGHT 112.0250				1,410.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
06OCT17	B 1 NOV17	155.9500	155.6750	USD	-137.50	
06OCT17	B 1 NOV17	155.7000	155.6750	USD	-12.50	
09OCT17	B 1 NOV17	156.1000	155.6750	USD	-212.50	
11OCT17	B 1 NOV17	156.1250	155.6750	USD	-225.00	
	NET +4AVG BGHT 155.9688				-587.50	0.00
06OCT17	S 2 JAN18	153.5000	154.2500	USD	-750.00	
06OCT17	S 2 JAN18	153.7000	154.2500	USD	-550.00	
09OCT17	S 2 JAN18	154.2750	154.2500	USD	25.00	
11OCT17	S 1 JAN18	154.5750	154.2500	USD	162.50	
11OCT17	S 1 JAN18	154.6000	154.2500	USD	175.00	
	NET -8AVG SOLD 154.0156				-937.50	0.00
06OCT17	B 1 MAR18	151.0500	152.1000	USD	525.00	
06OCT17	B 1 MAR18	151.7000	152.1000	USD	200.00	
09OCT17	B 1 MAR18	152.2500	152.1000	USD	-75.00	
11OCT17	B 1 MAR18	152.6000	152.1000	USD	-250.00	
	NET +4AVG BGHT 151.9000				400.00	0.00
	<u>IEU GAS OIL 100 IPE</u>					
02OCT17	B 2 JAN18	519.250	515.250	USD	-800.00	
03OCT17	B 2 JAN18	511.000	515.250	USD	850.00	
	NET +4AVG BGHT 515.125				50.00	0.00
06OCT17	B 4 FEB18	509.750	514.000	USD	1,700.00	
	NET +4AVG BGHT 509.750				1,700.00	0.00
04OCT17	S 4 MAR18	507.750	512.250	USD	-1,800.00	
04OCT17	S 4 MAR18	510.000	512.250	USD	-900.00	
04OCT17	S 6 MAR18	509.750	512.250	USD	-1,500.00	
04OCT17	S 2 MAR18	509.500	512.250	USD	-550.00	
	NET -16AVG SOLD 509.281				-4,750.00	0.00
04OCT17	B 4 APR18	507.500	510.000	USD	1,000.00	
10OCT17	B 4 APR18	512.500	510.000	USD	-1,000.00	
	NET +8AVG BGHT 510.000				0.00	0.00
04OCT17	B 2 MAY18	505.250	508.250	USD	600.00	
04OCT17	B 2 MAY18	505.000	508.250	USD	650.00	
11OCT17	B 4 MAY18	510.500	508.250	USD	-900.00	

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	<u>IEU GAS OIL 100 IPE</u>					
	NET +8 AVG BGHT 507.813				350.00	0.00
03OCT17	S 4 JUL18	503.000	506.500	USD	-1,400.00	
04OCT17	S 8 JUL18	504.250	506.500	USD	-1,800.00	
11OCT17	S 1 JUL18	509.000	506.500	USD	250.00	
11OCT17	S 3 JUL18	510.000	506.500	USD	1,050.00	
	NET -16 AVG SOLD 505.313				-1,900.00	0.00
04OCT17	B 4 AUG18	504.500	506.750	USD	900.00	
10OCT17	B 4 AUG18	507.250	506.750	USD	-200.00	
11OCT17	B 1 AUG18	509.000	506.750	USD	-225.00	
11OCT17	B 3 AUG18	510.000	506.750	USD	-975.00	
	NET +12 AVG BGHT 507.167				-500.00	0.00
10OCT17	S 4 SEP18	507.250	506.750	USD	200.00	
11OCT17	S 4 SEP18	510.750	506.750	USD	1,600.00	
	NET -8 AVG SOLD 509.000				1,800.00	0.00
11OCT17	B 4 OCT18	511.000	507.000	USD	-1,600.00	
	NET +4 AVG BGHT 511.000				-1,600.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
04OCT17	B 1 DEC17	62.2750	62.5000	USD	90.00	
10OCT17	B 1 DEC17	62.2000	62.5000	USD	120.00	
10OCT17	B 1 DEC17	61.8750	62.5000	USD	250.00	
	NET +3 AVG BGHT 62.1167				460.00	0.00
04OCT17	S 2 FEB18	67.7250	67.7750	USD	-40.00	
10OCT17	S 2 FEB18	67.7000	67.7750	USD	-60.00	
10OCT17	S 2 FEB18	67.6750	67.7750	USD	-80.00	
	NET -6 AVG SOLD 67.7000				-180.00	0.00
04OCT17	B 1 APR18	72.2000	72.0250	USD	-70.00	
10OCT17	B 1 APR18	72.0000	72.0250	USD	10.00	
10OCT17	B 1 APR18	72.0500	72.0250	USD	-10.00	
	NET +3 AVG BGHT 72.0833				-70.00	0.00
19SEP17	S 1 MAY18	75.4500	77.1250	USD	-670.00	
02OCT17	S 1 MAY18	76.7750	77.1250	USD	-140.00	
	NET -2 AVG SOLD 76.1125				-810.00	0.00
02OCT17	B 1 JUN18	79.6250	80.2000	USD	230.00	
02OCT17	B 1 JUN18	79.9500	80.2000	USD	100.00	
	NET +2 AVG BGHT 79.7875				330.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-3,270.00
CME Feeder Cattle	FUTURES	325.00
IEU Gas Oil	FUTURES	-6,650.00
CME Lean Hog	FUTURES	-1,500.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-240.00	-240.00		
FCAT - Futures USD	-1,125.00	-1,125.00		
GASO - Futures USD	-4,850.00	-4,850.00		
HOGS - Futures USD	-270.00	-270.00	-6,485.00	-6,485.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	5,600.00	5,600.00
Variation Margins - Futures	-6,485.00	-6,485.00
- Options	0.00	0.00
TOTAL EQUITY	-885.00	-885.00
Initial Margins	-16,221.00	-16,221.00
Excess/(Shortage)	-17,106.00	-17,106.00
FOR INFORMATION ONLY		
Net Liquidating Value	-885.00	-885.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
060CT17	B 1 DEC17	116.9750	118.5250	USD	620.00	
	NET +1AVG BGHT 116.9750				620.00	0.00
060CT17	S 1 FEB18	120.7500	122.1750	USD	-570.00	
090CT17	S 1 FEB18	121.3500	122.1750	USD	-330.00	
	NET -2AVG SOLD 121.0500				-900.00	0.00
090CT17	B 1 APR18	121.5750	122.6750	USD	440.00	
	NET +1AVG BGHT 121.5750				440.00	0.00
050CT17	S 1 JUN18	113.9750	116.1500	USD	-870.00	
050CT17	S 1 JUN18	114.5000	116.1500	USD	-660.00	
	NET -2AVG SOLD 114.2375				-1,530.00	0.00
100CT17	S 2 AUG18	113.3250	113.2000	USD	100.00	
	NET -2AVG SOLD 113.3250				100.00	0.00
040CT17	B 1 OCT18	112.0000	113.6000	USD	640.00	
040CT17	B 1 OCT18	112.0500	113.6000	USD	620.00	
040CT17	B 1 OCT18	111.3500	113.6000	USD	900.00	
090CT17	B 1 OCT18	113.1000	113.6000	USD	200.00	
090CT17	B 1 OCT18	112.6750	113.6000	USD	370.00	
	NET +5AVG BGHT 112.2350				2,730.00	0.00
040CT17	S 1 DEC18	112.3250	114.3000	USD	-790.00	
	NET -1AVG SOLD 112.3250				-790.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
090CT17	B 1 MAR18	151.6500	152.1000	USD	225.00	
	NET +1AVG BGHT 151.6500				225.00	0.00
040CT17	S 1 APR18	149.3500	151.6000	USD	-1,125.00	
040CT17	S 1 APR18	148.8000	151.6000	USD	-1,400.00	
050CT17	S 1 APR18	149.3500	151.6000	USD	-1,125.00	
050CT17	S 1 APR18	150.2250	151.6000	USD	-687.50	
110CT17	S 1 APR18	151.1000	151.6000	USD	-250.00	
	NET -5AVG SOLD 149.7650				-4,587.50	0.00
050CT17	B 1 MAY18	148.8500	150.2750	USD	712.50	
050CT17	B 1 MAY18	149.4250	150.2750	USD	425.00	
090CT17	B 1 MAY18	150.0250	150.2750	USD	125.00	
110CT17	B 1 MAY18	149.8250	150.2750	USD	225.00	
	NET +4AVG BGHT 149.5313				1,487.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
110CT17	S 1 JUN18	80.4250	80.2000	USD	90.00	
110CT17	S 1 JUN18	80.3500	80.2000	USD	60.00	
110CT17	S 1 JUN18	80.2250	80.2000	USD	10.00	
	NET -3AVG SOLD 80.3333				160.00	0.00
110CT17	B 1 JUL18	80.5250	80.3250	USD	-80.00	
110CT17	B 1 JUL18	80.4500	80.3250	USD	-50.00	
110CT17	B 1 JUL18	80.3500	80.3250	USD	-10.00	
	NET +3AVG BGHT 80.4417				-140.00	0.00

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	670.00	670.00		
FCAT - Futures USD	-2,875.00	-2,875.00		
HOGS - Futures USD	20.00	20.00	-2,185.00	-2,185.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,597.50	-3,597.50
Variation Margins - Futures	-2,185.00	-2,185.00
- Options	0.00	0.00
TOTAL EQUITY	-5,782.50	-5,782.50
Initial Margins	-11,125.00	-11,125.00
Excess/(Shortage)	-16,907.50	-16,907.50
FOR INFORMATION ONLY		
Net Liquidating Value	-5,782.50	-5,782.50

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
06OCT17	B 1 APR18	121.6500	122.6750	USD	410.00	
	NET +1AVG BGHT 121.6500				410.00	0.00
05OCT17	S 2 JUN18	114.4750	116.1500	USD	-1,340.00	
11OCT17	S 1 JUN18	116.2250	116.1500	USD	30.00	
	NET -3AVG SOLD 115.0583				-1,310.00	0.00
06OCT17	B 1 OCT18	112.3000	113.6000	USD	520.00	
11OCT17	B 1 OCT18	113.3750	113.6000	USD	90.00	
	NET +2AVG BGHT 112.8375				610.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
06OCT17	B 1 NOV17	155.7500	155.6750	USD	-37.50	
09OCT17	B 1 NOV17	155.6000	155.6750	USD	37.50	
11OCT17	B 1 NOV17	156.0500	155.6750	USD	-187.50	
	NET +3AVG BGHT 155.8000				-187.50	0.00
09OCT17	S 2 JAN18	153.7500	154.2500	USD	-500.00	
11OCT17	S 2 JAN18	154.5000	154.2500	USD	250.00	
	NET -4AVG SOLD 154.1250				-250.00	0.00
09OCT17	B 1 MAR18	151.7500	152.1000	USD	175.00	
11OCT17	B 1 MAR18	152.5000	152.1000	USD	-200.00	
	NET +2AVG BGHT 152.1250				-25.00	0.00
05OCT17	S 1 APR18	149.5750	151.6000	USD	-1,012.50	
10OCT17	S 1 APR18	151.3750	151.6000	USD	-112.50	
10OCT17	S 1 APR18	151.8750	151.6000	USD	137.50	
	NET -3AVG SOLD 150.9417				-987.50	0.00
05OCT17	B 1 MAY18	148.7250	150.2750	USD	775.00	
10OCT17	B 1 MAY18	150.4000	150.2750	USD	-62.50	
	NET +2AVG BGHT 149.5625				712.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
09OCT17	B 1 DEC17	61.0000	62.5000	USD	600.00	
	NET +1AVG BGHT 61.0000				600.00	0.00
09OCT17	S 1 FEB18	66.8500	67.7750	USD	-370.00	
09OCT17	S 1 FEB18	66.8750	67.7750	USD	-360.00	
	NET -2AVG SOLD 66.8625				-730.00	0.00
09OCT17	B 1 APR18	71.7250	72.0250	USD	120.00	
	NET +1AVG BGHT 71.7250				120.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-860.00
CME Feeder Cattle	FUTURES	-725.00
CME Lean Hog	FUTURES	400.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-290.00	-290.00		
FCAT - Futures USD	-737.50	-737.50		
HOGS - Futures USD	-10.00	-10.00	-1,037.50	-1,037.50

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-4,900.00	-4,900.00
Variation Margins	-1,037.50	-1,037.50
- Futures	0.00	0.00
- Options	-5,937.50	-5,937.50
TOTAL EQUITY		
Initial Margins	-9,025.00	-9,025.00
Excess/(Shortage)	-14,962.50	-14,962.50
FOR INFORMATION ONLY		
Net Liquidating Value	-5,937.50	-5,937.50

OPEN POSITION STATEMENT



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11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6797.00	6783.72	USD	332.00	
31AUG17	S	1	PD30NOV17	6823.00	6783.72	USD	982.00	
31AUG17	B	1	PD30NOV17	6839.50	6783.72	USD	-1,394.50	
31AUG17	B	2	PD30NOV17	6844.00	6783.72	USD	-3,014.00	
31AUG17	B	1	PD30NOV17	6845.50	6783.72	USD	-1,544.50	
31AUG17	S	1	PD30NOV17	6828.00	6783.72	USD	1,107.00	
31AUG17	S	1	PD30NOV17	6850.00	6783.72	USD	1,657.00	
	NET	0 AVG	BGHT 6843.25	SOLD 6824.50			-1,875.00	0.00
01SEP17	S	1	PD01DEC17	6823.50	6784.25	USD	981.25	
01SEP17	S	1	PD01DEC17	6824.50	6784.25	USD	1,006.25	
01SEP17	B	1	PD01DEC17	6802.00	6784.25	USD	-443.75	
01SEP17	B	1	PD01DEC17	6804.00	6784.25	USD	-493.75	
01SEP17	S	1	PD01DEC17	6817.00	6784.25	USD	818.75	
01SEP17	B	1	PD01DEC17	6805.50	6784.25	USD	-531.25	
01SEP17	S	1	PD01DEC17	6822.00	6784.25	USD	943.75	
01SEP17	S	1	PD01DEC17	6824.00	6784.25	USD	993.75	
29SEP17	B	2	PD01DEC17	6471.50	6784.25	USD	15,637.50	
	NET	0 AVG	BGHT 6670.90	SOLD 6822.20			18,912.50	0.00
04SEP17	S	1	PD04DEC17	6891.00	6785.75	USD	2,631.25	
04SEP17	B	1	PD04DEC17	6917.00	6785.75	USD	-3,281.25	
	NET	0 AVG	BGHT 6917.00	SOLD 6891.00			-650.00	0.00
05SEP17	B	1	PD05DEC17	6955.50	6786.25	USD	-4,231.25	
05SEP17	B	1	PD05DEC17	6956.00	6786.25	USD	-4,243.75	
05SEP17	S	1	PD05DEC17	6893.00	6786.25	USD	2,668.75	
05SEP17	B	1	PD05DEC17	6894.00	6786.25	USD	-2,693.75	
05SEP17	S	1	PD05DEC17	6878.00	6786.25	USD	2,293.75	
05SEP17	B	1	PD05DEC17	6870.50	6786.25	USD	-2,106.25	
29SEP17	S	2	PD05DEC17	6472.05	6786.25	USD	-15,710.00	
	NET	0 AVG	BGHT 6919.00	SOLD 6678.78			-24,022.50	0.00
06SEP17	B	2	PD06DEC17	6880.50	6786.75	USD	-4,687.50	
06SEP17	B	1	PD06DEC17	6890.50	6786.75	USD	-2,593.75	
06SEP17	S	2	PD06DEC17	6880.50	6786.75	USD	4,687.50	
06SEP17	S	2	PD06DEC17	6907.00	6786.75	USD	6,012.50	
06SEP17	S	1	PD06DEC17	6909.00	6786.75	USD	3,056.25	
06SEP17	S	1	PD06DEC17	6910.00	6786.75	USD	3,081.25	
06SEP17	S	1	PD06DEC17	6924.00	6786.75	USD	3,431.25	
06SEP17	B	2	PD06DEC17	6886.00	6786.75	USD	-4,962.50	
06SEP17	B	1	PD06DEC17	6887.00	6786.75	USD	-2,506.25	
06SEP17	B	1	PD06DEC17	6889.00	6786.75	USD	-2,556.25	
06SEP17	S	1	PD06DEC17	6878.00	6786.75	USD	2,281.25	
06SEP17	S	1	PD06DEC17	6892.00	6786.75	USD	2,631.25	
06SEP17	S	1	PD06DEC17	6899.00	6786.75	USD	2,806.25	
06SEP17	B	1	PD06DEC17	6900.50	6786.75	USD	-2,843.75	
06SEP17	S	1	PD06DEC17	6894.50	6786.75	USD	2,693.75	
29SEP17	B	3	PD06DEC17	6472.72	6786.75	USD	23,552.25	
	NET	0 AVG	BGHT 6774.38	SOLD 6898.32			34,083.50	0.00
07SEP17	B	1	PD07DEC17	6892.00	6787.23	USD	-2,619.25	
07SEP17	B	2	PD07DEC17	6900.50	6787.23	USD	-5,663.50	
29SEP17	S	3	PD07DEC17	6472.98	6787.23	USD	-23,568.75	
	NET	0 AVG	BGHT 6897.67	SOLD 6472.98			-31,851.50	0.00
08SEP17	B	1	PD08DEC17	6736.50	6787.71	USD	1,280.25	
08SEP17	S	1	PD08DEC17	6747.00	6787.71	USD	-1,017.75	
08SEP17	B	1	PD08DEC17	6715.50	6787.71	USD	1,805.25	
08SEP17	B	1	PD08DEC17	6702.50	6787.71	USD	2,130.25	
08SEP17	S	2	PD08DEC17	6712.00	6787.71	USD	-3,785.50	
	NET	0 AVG	BGHT 6718.17	SOLD 6723.67				0.00

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							412.50	
11SEP17	B	1	PD11DEC17		6738.50	6789.15	USD	1,266.25	
11SEP17	S	1	PD11DEC17		6744.00	6789.15	USD	-1,128.75	
	NET	0	AVG BGHT 6738.50	SOLD 6744.00				137.50	0.00
13SEP17	B	1	PD13DEC17		6620.50	6790.10	USD	4,240.00	
13SEP17	B	1	PD13DEC17		6621.00	6790.10	USD	4,227.50	
13SEP17	B	1	PD13DEC17		6631.50	6790.10	USD	3,965.00	
13SEP17	B	1	PD13DEC17		6645.50	6790.10	USD	3,615.00	
13SEP17	S	2	PD13DEC17		6629.50	6790.10	USD	-8,030.00	
13SEP17	S	1	PD13DEC17		6631.00	6790.10	USD	-3,977.50	
13SEP17	B	1	PD13DEC17		6610.50	6790.10	USD	4,490.00	
13SEP17	B	2	PD13DEC17		6608.00	6790.10	USD	9,105.00	
13SEP17	B	2	PD13DEC17		6612.00	6790.10	USD	8,905.00	
13SEP17	S	2	PD13DEC17		6604.50	6790.10	USD	-9,280.00	
13SEP17	S	2	PD13DEC17		6595.00	6790.10	USD	-9,755.00	
13SEP17	B	2	PD13DEC17		6590.50	6790.10	USD	9,980.00	
13SEP17	B	1	PD13DEC17		6576.00	6790.10	USD	5,352.50	
13SEP17	S	1	PD13DEC17		6558.50	6790.10	USD	-5,790.00	
13SEP17	S	1	PD13DEC17		6629.00	6790.10	USD	-4,027.50	
13SEP17	S	2	PD13DEC17		6544.00	6790.10	USD	-12,305.00	
13SEP17	S	1	PD13DEC17		6544.50	6790.10	USD	-6,140.00	
13SEP17	B	1	PD13DEC17		6556.00	6790.10	USD	5,852.50	
13SEP17	S	1	PD13DEC17		6569.00	6790.10	USD	-5,527.50	
13SEP17	B	1	PD13DEC17		6555.50	6790.10	USD	5,865.00	
13SEP17	B	1	PD13DEC17		6560.00	6790.10	USD	5,752.50	
13SEP17	B	1	PD13DEC17		6570.50	6790.10	USD	5,490.00	
29SEP17	S	3	PD13DEC17		6475.98	6790.10	USD	-23,559.00	
	NET	0	AVG BGHT 6598.00	SOLD 6569.12				-11,551.50	0.00
14SEP17	B	1	PD14DEC17		6512.00	6790.58	USD	6,964.50	
14SEP17	S	1	PD14DEC17		6513.50	6790.58	USD	-6,927.00	
14SEP17	S	1	PD14DEC17		6490.50	6790.58	USD	-7,502.00	
14SEP17	S	1	PD14DEC17		6492.50	6790.58	USD	-7,452.00	
14SEP17	S	1	PD14DEC17		6496.00	6790.58	USD	-7,364.50	
14SEP17	S	1	PD14DEC17		6499.00	6790.58	USD	-7,289.50	
14SEP17	B	2	PD14DEC17		6494.50	6790.58	USD	14,804.00	
14SEP17	S	1	PD14DEC17		6473.00	6790.58	USD	-7,939.50	
14SEP17	B	1	PD14DEC17		6501.00	6790.58	USD	7,239.50	
14SEP17	B	3	PD14DEC17		6502.50	6790.58	USD	21,606.00	
14SEP17	S	1	PD14DEC17		6499.50	6790.58	USD	-7,277.00	
14SEP17	S	1	PD14DEC17		6508.00	6790.58	USD	-7,064.50	
14SEP17	S	1	PD14DEC17		6511.00	6790.58	USD	-6,989.50	
14SEP17	S	1	PD14DEC17		6512.00	6790.58	USD	-6,964.50	
14SEP17	S	1	PD14DEC17		6514.00	6790.58	USD	-6,914.50	
14SEP17	S	1	PD14DEC17		6529.00	6790.58	USD	-6,539.50	
14SEP17	B	1	PD14DEC17		6522.00	6790.58	USD	6,714.50	
14SEP17	B	1	PD14DEC17		6522.50	6790.58	USD	6,702.00	
29SEP17	B	3	PD14DEC17		6476.65	6790.58	USD	23,544.75	
	NET	0	AVG BGHT 6498.66	SOLD 6503.17				1,351.25	0.00
15SEP17	S	2	PD15DEC17		6495.50	6791.06	USD	-14,778.00	
15SEP17	B	1	PD15DEC17		6488.00	6791.06	USD	7,576.50	
15SEP17	B	1	PD15DEC17		6490.00	6791.06	USD	7,526.50	
15SEP17	B	2	PD15DEC17		6492.00	6791.06	USD	14,953.00	
15SEP17	S	1	PD15DEC17		6484.50	6791.06	USD	-7,664.00	
15SEP17	S	1	PD15DEC17		6488.50	6791.06	USD	-7,564.00	
15SEP17	B	1	PD15DEC17		6491.00	6791.06	USD	7,501.50	
15SEP17	S	1	PD15DEC17		6488.00	6791.06	USD	-7,576.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

11th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	6477.00	6791.06	USD	-15,703.00	
15SEP17	S	1	PD15DEC17	6478.50	6791.06	USD	-7,814.00	
15SEP17	B	3	PD15DEC17	6482.50	6791.06	USD	23,142.00	
	NET	0AVG BGHT 6487.56	SOLD 6485.56				-400.00	0.00
18SEP17	B	1	PD18DEC17	6545.50	6792.50	USD	6,175.00	
18SEP17	S	1	PD18DEC17	6552.50	6792.50	USD	-6,000.00	
18SEP17	B	1	PD18DEC17	6536.00	6792.50	USD	6,412.50	
18SEP17	B	1	PD18DEC17	6532.00	6792.50	USD	6,512.50	
18SEP17	S	1	PD18DEC17	6540.00	6792.50	USD	-6,312.50	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
	NET	0AVG BGHT 6537.83	SOLD 6544.83				525.00	0.00
19SEP17	B	1	PD19DEC17	6515.50	6792.50	USD	6,925.00	
19SEP17	S	1	PD19DEC17	6521.00	6792.50	USD	-6,787.50	
19SEP17	B	1	PD19DEC17	6529.50	6792.50	USD	6,575.00	
19SEP17	B	1	PD19DEC17	6530.00	6792.50	USD	6,562.50	
19SEP17	S	2	PD19DEC17	6529.50	6792.50	USD	-13,150.00	
19SEP17	B	2	PD19DEC17	6530.50	6792.50	USD	13,100.00	
19SEP17	S	1	PD19DEC17	6527.00	6792.50	USD	-6,637.50	
19SEP17	S	1	PD19DEC17	6516.50	6792.50	USD	-6,900.00	
19SEP17	S	1	PD19DEC17	6529.00	6792.50	USD	-6,587.50	
19SEP17	B	1	PD19DEC17	6533.00	6792.50	USD	6,487.50	
19SEP17	B	1	PD19DEC17	6532.00	6792.50	USD	6,512.50	
19SEP17	B	1	PD19DEC17	6542.00	6792.50	USD	6,262.50	
19SEP17	S	1	PD19DEC17	6525.00	6792.50	USD	-6,687.50	
19SEP17	S	1	PD19DEC17	6525.50	6792.50	USD	-6,675.00	
19SEP17	B	3	PD19DEC17	6525.00	6792.50	USD	20,062.50	
19SEP17	S	1	PD19DEC17	6517.50	6792.50	USD	-6,875.00	
19SEP17	B	1	PD19DEC17	6516.00	6792.50	USD	6,912.50	
19SEP17	S	1	PD19DEC17	6508.00	6792.50	USD	-7,112.50	
19SEP17	S	1	PD19DEC17	6508.50	6792.50	USD	-7,100.00	
19SEP17	B	1	PD19DEC17	6518.50	6792.50	USD	6,850.00	
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
29SEP17	S	3	PD19DEC17	6478.78	6792.50	USD	-23,529.00	
	NET	0AVG BGHT 6526.61	SOLD 6512.38				-4,979.00	0.00
20SEP17	B	1	PD20DEC17	6560.00	6792.50	USD	5,812.50	
20SEP17	S	1	PD20DEC17	6559.50	6792.50	USD	-5,825.00	
20SEP17	S	1	PD20DEC17	6548.50	6792.50	USD	-6,100.00	
20SEP17	S	1	PD20DEC17	6549.50	6792.50	USD	-6,075.00	
29SEP17	B	2	PD20DEC17	6479.45	6792.50	USD	15,652.50	
	NET	0AVG BGHT 6506.30	SOLD 6552.50				3,465.00	0.00
21SEP17	B	1	PD21DEC17	6430.50	6791.50	USD	9,025.00	
21SEP17	B	1	PD21DEC17	6460.00	6791.50	USD	8,287.50	
21SEP17	S	1	PD21DEC17	6458.50	6791.50	USD	-8,325.00	
21SEP17	B	2	PD21DEC17	6450.00	6791.50	USD	17,075.00	
21SEP17	S	3	PD21DEC17	6455.00	6791.50	USD	-25,237.50	
21SEP17	S	2	PD21DEC17	6458.00	6791.50	USD	-16,675.00	
21SEP17	B	1	PD21DEC17	6460.50	6791.50	USD	8,275.00	
21SEP17	B	1	PD21DEC17	6431.00	6791.50	USD	9,012.50	
21SEP17	S	2	PD21DEC17	6470.00	6791.50	USD	-16,075.00	
21SEP17	B	1	PD21DEC17	6465.50	6791.50	USD	8,150.00	
21SEP17	B	1	PD21DEC17	6467.00	6791.50	USD	8,112.50	
21SEP17	S	1	PD21DEC17	6469.00	6791.50	USD	-8,062.50	
21SEP17	B	1	PD21DEC17	6477.00	6791.50	USD	7,862.50	
21SEP17	S	1	PD21DEC17	6484.00	6791.50	USD	-7,687.50	
29SEP17	B	1	PD21DEC17	6478.45	6791.50	USD	7,826.25	
	NET	0AVG BGHT 6457.00	SOLD 6463.25				1,563.75	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	6371.00	6790.50	USD	10,487.50	
22SEP17	S	1	PD22DEC17	6378.00	6790.50	USD	-10,312.50	
22SEP17	S	1	PD22DEC17	6398.00	6790.50	USD	-9,812.50	
22SEP17	S	1	PD22DEC17	6438.50	6790.50	USD	-8,800.00	
22SEP17	B	1	PD22DEC17	6442.00	6790.50	USD	8,712.50	
22SEP17	B	1	PD22DEC17	6391.00	6790.50	USD	9,987.50	
	NET	0 AVG BGHT 6401.33	SOLD 6404.83				262.50	0.00
25SEP17	B	1	PD27DEC17	6441.50	6793.00	USD	8,787.50	
25SEP17	S	1	PD27DEC17	6447.00	6793.00	USD	-8,650.00	
26SEP17	S	1	PD27DEC17	6518.50	6793.00	USD	-6,862.50	
26SEP17	S	1	PD27DEC17	6520.00	6793.00	USD	-6,825.00	
26SEP17	B	1	PD27DEC17	6501.50	6793.00	USD	7,287.50	
26SEP17	B	1	PD27DEC17	6502.00	6793.00	USD	7,275.00	
26SEP17	B	1	PD27DEC17	6450.50	6793.00	USD	8,562.50	
26SEP17	B	2	PD27DEC17	6440.50	6793.00	USD	17,625.00	
26SEP17	S	1	PD27DEC17	6436.00	6793.00	USD	-8,925.00	
26SEP17	S	2	PD27DEC17	6439.00	6793.00	USD	-17,700.00	
26SEP17	B	1	PD27DEC17	6446.50	6793.00	USD	8,662.50	
26SEP17	B	1	PD27DEC17	6410.50	6793.00	USD	9,562.50	
26SEP17	B	2	PD27DEC17	6408.00	6793.00	USD	19,250.00	
26SEP17	S	1	PD27DEC17	6434.50	6793.00	USD	-8,962.50	
27SEP17	S	3	PD27DEC17	6452.00	6793.00	USD	-25,575.00	
27SEP17	S	1	PD27DEC17	6444.00	6793.00	USD	-8,725.00	
27SEP17	B	1	PD27DEC17	6436.50	6793.00	USD	8,912.50	
	NET	0 AVG BGHT 6444.18	SOLD 6457.64				3,700.00	0.00
28SEP17	S	1	PD28DEC17	6398.00	6793.47	USD	-9,886.75	
28SEP17	S	1	PD28DEC17	6415.00	6793.47	USD	-9,461.75	
28SEP17	S	1	PD28DEC17	6427.00	6793.47	USD	-9,161.75	
28SEP17	B	1	PD28DEC17	6427.00	6793.47	USD	9,161.75	
28SEP17	B	1	PD28DEC17	6430.50	6793.47	USD	9,074.25	
28SEP17	B	1	PD28DEC17	6431.00	6793.47	USD	9,061.75	
28SEP17	B	1	PD28DEC17	6432.50	6793.47	USD	9,024.25	
28SEP17	S	1	PD28DEC17	6438.00	6793.47	USD	-8,886.75	
	NET	0 AVG BGHT 6430.25	SOLD 6419.50				-1,075.00	0.00
29SEP17	B	1	PD29DEC17	6569.00	6793.93	USD	5,623.25	
29SEP17	S	1	PD29DEC17	6578.50	6793.93	USD	-5,385.75	
	NET	0 AVG BGHT 6569.00	SOLD 6578.50				237.50	0.00
05OCT17	S	1	PD05JAN18	6629.00	6797.20	USD	-4,205.00	
05OCT17	S	1	PD05JAN18	6642.00	6797.20	USD	-3,880.00	
05OCT17	S	1	PD05JAN18	6643.00	6797.20	USD	-3,855.00	
	NET	-3 AVG SOLD 6638.00					-11,940.00	0.00
10OCT17	B	1	PD10JAN18	6707.00	6799.53	USD	2,313.25	
10OCT17	B	1	PD10JAN18	6705.00	6799.53	USD	2,363.25	
10OCT17	B	1	PD10JAN18	6710.00	6799.53	USD	2,238.25	
	NET	+3 AVG BGHT 6707.33					6,914.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	2322.00	2561.00	USD	-5,975.00	
06SEP17	S	1	PD06DEC17	2352.50	2561.00	USD	-5,212.50	
06SEP17	B	1	PD06DEC17	2365.00	2561.00	USD	4,900.00	
06SEP17	B	1	PD06DEC17	2332.50	2561.00	USD	5,712.50	
	NET	0 AVG BGHT 2348.75	SOLD 2337.25				-575.00	0.00
14SEP17	S	1	PD14DEC17	2309.00	2563.00	USD	-6,350.00	
14SEP17	B	1	PD14DEC17	2309.50	2563.00	USD	6,337.50	
	NET	0 AVG BGHT 2309.50	SOLD 2309.00				-12.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	2415.00	2564.25	USD	-3,731.25	
19SEP17	B	1	PD19DEC17	2400.00	2564.25	USD	4,106.25	
19SEP17	S	1	PD19DEC17	2414.00	2564.25	USD	-3,756.25	
19SEP17	B	1	PD19DEC17	2423.50	2564.25	USD	3,518.75	
	NET	0 AVG BGHT 2411.75	SOLD 2414.50				137.50	0.00
21SEP17	B	1	PD21DEC17	2432.50	2563.50	USD	3,275.00	
21SEP17	S	1	PD21DEC17	2445.50	2563.50	USD	-2,950.00	
	NET	0 AVG BGHT 2432.50	SOLD 2445.50				325.00	0.00
02OCT17	B	1	PD02JAN18	2512.50	2564.10	USD	1,290.00	
02OCT17	S	1	PD02JAN18	2522.50	2564.10	USD	-1,040.00	
	NET	0 AVG BGHT 2512.50	SOLD 2522.50				250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	11830.00	11109.74	USD	-4,321.56	
08SEP17	S	1	PD08DEC17	11780.00	11109.74	USD	4,021.56	
08SEP17	B	1	PD08DEC17	11545.00	11109.74	USD	-2,611.56	
08SEP17	B	1	PD08DEC17	11550.00	11109.74	USD	-2,641.56	
08SEP17	S	1	PD08DEC17	11600.00	11109.74	USD	2,941.56	
08SEP17	S	1	PD08DEC17	11485.00	11109.74	USD	2,251.56	
	NET	0 AVG BGHT 11641.67	SOLD 11621.67				-360.00	0.00
14SEP17	S	1	PD14DEC17	11410.00	11114.37	USD	1,773.78	
14SEP17	B	1	PD14DEC17	11260.00	11114.37	USD	-873.78	
	NET	0 AVG BGHT 11260.00	SOLD 11410.00				900.00	0.00
21SEP17	B	1	PD21DEC17	11090.00	11119.73	USD	178.38	
21SEP17	S	1	PD21DEC17	11130.00	11119.73	USD	61.62	
	NET	0 AVG BGHT 11090.00	SOLD 11130.00				240.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	20415.00	20931.25	USD	2,581.25	
29AUG17	S	1	PD29NOV17	20510.00	20931.25	USD	-2,106.25	
	NET	0 AVG BGHT 20415.00	SOLD 20510.00				475.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3135.50	3232.67	USD	2,429.25	
05SEP17	S	1	PD05DEC17	3145.00	3232.67	USD	-2,191.75	
	NET	0 AVG BGHT 3135.50	SOLD 3145.00				237.50	0.00
15SEP17	S	1	PD15DEC17	3039.00	3230.00	USD	-4,775.00	
15SEP17	B	1	PD15DEC17	3030.50	3230.00	USD	4,987.50	
	NET	0 AVG BGHT 3030.50	SOLD 3039.00				212.50	0.00
22SEP17	B	1	PD22DEC17	3024.00	3224.50	USD	5,012.50	
22SEP17	S	1	PD22DEC17	3024.00	3224.50	USD	-5,012.50	
	NET	0 AVG BGHT 3024.00	SOLD 3024.00				0.00	0.00
02OCT17	S	1	PD02JAN18	3208.00	3222.50	USD	-362.50	
02OCT17	B	1	PD02JAN18	3214.00	3222.50	USD	212.50	
02OCT17	S	4	PD02JAN18	3233.00	3222.50	USD	1,050.00	
02OCT17	B	3	PD02JAN18	3229.50	3222.50	USD	-525.00	
02OCT17	B	1	PD02JAN18	3246.50	3222.50	USD	-600.00	
	NET	0 AVG BGHT 3229.80	SOLD 3228.00				-225.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-16,953.50
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	780.00
LME Tin 5 tonnes. This is an	FUTURES	475.00
LME Zinc 25 tonnes. This is	FUTURES	225.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-16,778.75	-16,778.75		
LEAD - Futures USD	125.00	125.00		
NKL - Futures USD	780.00	780.00		
TIN - Futures USD	475.00	475.00		
ZINC - Futures USD	225.00	225.00	-15,173.75	-15,173.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	12,442.36	12,442.36
- Options	0.00	0.00
TOTAL EQUITY	12,442.36	12,442.36
Initial Margins	-15,704.75	-15,704.75
Excess/(Shortage)	-3,262.39	-3,262.39
FOR INFORMATION ONLY		
Forward Unrealised P&L	-15,173.75	-15,173.75
Net Liquidating Value	-2,731.39	-2,731.39

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
20SEP17	B	1	PD20DEC17	2180.50	2126.75	USD	-1,343.75	
20SEP17	S	1	PD20DEC17	2177.00	2126.75	USD	1,256.25	
	NET	0AVG	BGHT 2180.50				-87.50	0.00
22SEP17	S	1	PD22DEC17	2165.50	2126.75	USD	968.75	
22SEP17	B	1	PD22DEC17	2153.50	2126.75	USD	-668.75	
	NET	0AVG	BGHT 2153.50				300.00	0.00
29SEP17	B	1	PD29DEC17	2140.00	2127.50	USD	-312.50	
29SEP17	S	1	PD29DEC17	2134.50	2127.50	USD	175.00	
	NET	0AVG	BGHT 2140.00				-137.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6470.50	6776.78	USD	7,657.00	
18AUG17	S	1	PD17NOV17	6460.00	6776.78	USD	-7,919.50	
18AUG17	S	1	PD17NOV17	6464.50	6776.78	USD	-7,807.00	
18AUG17	B	1	PD17NOV17	6465.00	6776.78	USD	7,794.50	
	NET	0AVG	BGHT 6467.75				-275.00	0.00
21AUG17	B	1	PD21NOV17	6585.00	6778.92	USD	4,848.00	
21AUG17	S	1	PD21NOV17	6554.00	6778.92	USD	-5,623.00	
21AUG17	S	1	PD21NOV17	6542.00	6778.92	USD	-5,923.00	
21AUG17	S	1	PD21NOV17	6592.00	6778.92	USD	-4,673.00	
21AUG17	B	2	PD21NOV17	6543.00	6778.92	USD	11,796.00	
21AUG17	B	1	PD21NOV17	6595.00	6778.92	USD	4,598.00	
21AUG17	B	1	PD21NOV17	6599.50	6778.92	USD	4,485.50	
21AUG17	S	1	PD21NOV17	6595.00	6778.92	USD	-4,598.00	
21AUG17	S	1	PD21NOV17	6598.50	6778.92	USD	-4,510.50	
	NET	0AVG	BGHT 6573.10				400.00	0.00
22AUG17	B	2	PD22NOV17	6605.50	6779.45	USD	8,697.50	
22AUG17	B	1	PD22NOV17	6626.00	6779.45	USD	3,836.25	
22AUG17	B	1	PD22NOV17	6637.00	6779.45	USD	3,561.25	
22AUG17	S	2	PD22NOV17	6603.00	6779.45	USD	-8,822.50	
22AUG17	S	1	PD22NOV17	6627.50	6779.45	USD	-3,798.75	
22AUG17	S	2	PD22NOV17	6637.00	6779.45	USD	-7,122.50	
22AUG17	S	1	PD22NOV17	6627.00	6779.45	USD	-3,811.25	
22AUG17	B	1	PD22NOV17	6631.00	6779.45	USD	3,711.25	
22AUG17	B	1	PD22NOV17	6643.50	6779.45	USD	3,398.75	
	NET	0AVG	BGHT 6624.75				-350.00	0.00
23AUG17	B	1	PD24NOV17	6544.00	6780.52	USD	5,913.00	
23AUG17	S	1	PD24NOV17	6564.50	6780.52	USD	-5,400.50	
24AUG17	S	1	PD24NOV17	6705.00	6780.52	USD	-1,888.00	
24AUG17	S	1	PD24NOV17	6720.00	6780.52	USD	-1,513.00	
24AUG17	S	1	PD24NOV17	6729.50	6780.52	USD	-1,275.50	
25AUG17	B	1	PD24NOV17	6735.00	6780.52	USD	1,138.00	
25AUG17	B	2	PD24NOV17	6735.50	6780.52	USD	2,251.00	
	NET	0AVG	BGHT 6687.50				-775.00	0.00
31AUG17	S	1	PD30NOV17	6807.00	6783.72	USD	582.00	
31AUG17	B	1	PD30NOV17	6827.00	6783.72	USD	-1,082.00	
31AUG17	B	1	PD30NOV17	6844.00	6783.72	USD	-1,507.00	
31AUG17	B	1	PD30NOV17	6846.00	6783.72	USD	-1,557.00	
31AUG17	B	1	PD30NOV17	6851.00	6783.72	USD	-1,682.00	
31AUG17	S	1	PD30NOV17	6869.50	6783.72	USD	2,144.50	
31AUG17	B	1	PD30NOV17	6828.00	6783.72	USD	-1,107.00	
31AUG17	S	1	PD30NOV17	6828.50	6783.72	USD	1,119.50	
31AUG17	S	1	PD30NOV17	6829.00	6783.72	USD	1,132.00	
31AUG17	B	1	PD30NOV17	6825.00	6783.72	USD	-1,032.00	
31AUG17	S	2	PD30NOV17	6855.50	6783.72	USD	3,589.00	

OPEN POSITION STATEMENT



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SAP0119G

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
31AUG17	S	1	PD30NOV17		6822.50	6783.72	USD	969.50	
31AUG17	B	1	PD30NOV17		6786.00	6783.72	USD	-57.00	
	NET	0 AVG	BGHT 6829.57	SOLD 6838.21				1,512.50	0.00
01SEP17	S	1	PD01DEC17		6823.00	6784.25	USD	968.75	
01SEP17	B	1	PD01DEC17		6804.00	6784.25	USD	-493.75	
01SEP17	S	1	PD01DEC17		6821.00	6784.25	USD	918.75	
01SEP17	S	1	PD01DEC17		6832.00	6784.25	USD	1,193.75	
29SEP17	B	2	PD01DEC17		6471.50	6784.25	USD	15,637.50	
	NET	0 AVG	BGHT 6582.33	SOLD 6825.33				18,225.00	0.00
04SEP17	S	1	PD04DEC17		6904.00	6785.75	USD	2,956.25	
04SEP17	B	1	PD04DEC17		6917.00	6785.75	USD	-3,281.25	
04SEP17	S	1	PD04DEC17		6913.00	6785.75	USD	3,181.25	
29SEP17	B	1	PD04DEC17		6472.06	6785.75	USD	7,842.25	
	NET	0 AVG	BGHT 6694.53	SOLD 6908.50				10,698.50	0.00
05SEP17	B	1	PD05DEC17		6954.00	6786.25	USD	-4,193.75	
05SEP17	B	1	PD05DEC17		6955.00	6786.25	USD	-4,218.75	
05SEP17	B	1	PD05DEC17		6901.00	6786.25	USD	-2,868.75	
29SEP17	S	3	PD05DEC17		6472.05	6786.25	USD	-23,565.00	
	NET	0 AVG	BGHT 6936.67	SOLD 6472.05				-34,846.25	0.00
06SEP17	B	1	PD06DEC17		6886.00	6786.75	USD	-2,481.25	
06SEP17	S	1	PD06DEC17		6910.00	6786.75	USD	3,081.25	
06SEP17	S	1	PD06DEC17		6924.00	6786.75	USD	3,431.25	
06SEP17	B	1	PD06DEC17		6884.00	6786.75	USD	-2,431.25	
06SEP17	S	1	PD06DEC17		6890.00	6786.75	USD	2,581.25	
06SEP17	S	1	PD06DEC17		6894.00	6786.75	USD	2,681.25	
06SEP17	B	1	PD06DEC17		6873.00	6786.75	USD	-2,156.25	
06SEP17	B	1	PD06DEC17		6871.00	6786.75	USD	-2,106.25	
06SEP17	S	1	PD06DEC17		6891.00	6786.75	USD	2,606.25	
06SEP17	S	1	PD06DEC17		6892.00	6786.75	USD	2,631.25	
06SEP17	S	1	PD06DEC17		6906.00	6786.75	USD	2,981.25	
29SEP17	B	3	PD06DEC17		6472.72	6786.75	USD	23,552.25	
	NET	0 AVG	BGHT 6704.59	SOLD 6901.00				34,371.00	0.00
07SEP17	B	1	PD07DEC17		6865.00	6787.23	USD	-1,944.25	
07SEP17	S	1	PD07DEC17		6900.00	6787.23	USD	2,819.25	
	NET	0 AVG	BGHT 6865.00	SOLD 6900.00				875.00	0.00
08SEP17	B	1	PD08DEC17		6925.00	6787.71	USD	-3,432.25	
08SEP17	B	1	PD08DEC17		6928.00	6787.71	USD	-3,507.25	
08SEP17	B	1	PD08DEC17		6885.00	6787.71	USD	-2,432.25	
08SEP17	B	1	PD08DEC17		6793.00	6787.71	USD	-132.25	
08SEP17	B	2	PD08DEC17		6785.00	6787.71	USD	135.50	
08SEP17	S	1	PD08DEC17		6749.00	6787.71	USD	-967.75	
08SEP17	S	1	PD08DEC17		6747.00	6787.71	USD	-1,017.75	
08SEP17	S	1	PD08DEC17		6750.00	6787.71	USD	-942.75	
08SEP17	B	1	PD08DEC17		6714.00	6787.71	USD	1,842.75	
08SEP17	B	1	PD08DEC17		6683.00	6787.71	USD	2,617.75	
08SEP17	S	1	PD08DEC17		6675.00	6787.71	USD	-2,817.75	
08SEP17	S	1	PD08DEC17		6688.00	6787.71	USD	-2,492.75	
29SEP17	S	3	PD08DEC17		6473.45	6787.71	USD	-23,569.50	
	NET	0 AVG	BGHT 6812.25	SOLD 6628.67				-36,716.25	0.00
13SEP17	B	2	PD13DEC17		6605.00	6790.10	USD	9,255.00	
13SEP17	B	1	PD13DEC17		6608.00	6790.10	USD	4,552.50	
13SEP17	S	2	PD13DEC17		6595.00	6790.10	USD	-9,755.00	
13SEP17	B	1	PD13DEC17		6600.00	6790.10	USD	4,752.50	
13SEP17	B	1	PD13DEC17		6601.00	6790.10	USD	4,727.50	
13SEP17	S	1	PD13DEC17		6579.50	6790.10	USD	-5,265.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6569.00	6790.10	USD	5,527.50	
13SEP17	S	1	PD13DEC17	6544.00	6790.10	USD	-6,152.50	
13SEP17	S	1	PD13DEC17	6570.50	6790.10	USD	-5,490.00	
13SEP17	B	1	PD13DEC17	6556.00	6790.10	USD	5,852.50	
13SEP17	B	2	PD13DEC17	6565.00	6790.10	USD	11,255.00	
13SEP17	S	1	PD13DEC17	6564.00	6790.10	USD	-5,652.50	
29SEP17	S	3	PD13DEC17	6475.98	6790.10	USD	-23,559.00	
	NET	0 AVG	BGHT 6586.00	SOLD 6541.77			-9,951.50	0.00
14SEP17	S	1	PD14DEC17	6491.50	6790.58	USD	-7,477.00	
14SEP17	S	2	PD14DEC17	6493.00	6790.58	USD	-14,879.00	
14SEP17	S	1	PD14DEC17	6499.00	6790.58	USD	-7,289.50	
14SEP17	B	1	PD14DEC17	6490.50	6790.58	USD	7,502.00	
14SEP17	B	1	PD14DEC17	6477.00	6790.58	USD	7,839.50	
14SEP17	S	1	PD14DEC17	6483.00	6790.58	USD	-7,689.50	
14SEP17	B	1	PD14DEC17	6503.00	6790.58	USD	7,189.50	
14SEP17	S	1	PD14DEC17	6506.00	6790.58	USD	-7,114.50	
14SEP17	B	1	PD14DEC17	6529.00	6790.58	USD	6,539.50	
14SEP17	S	1	PD14DEC17	6526.00	6790.58	USD	-6,614.50	
29SEP17	B	3	PD14DEC17	6476.65	6790.58	USD	23,544.75	
	NET	0 AVG	BGHT 6489.92	SOLD 6498.79			1,551.25	0.00
15SEP17	S	2	PD15DEC17	6498.00	6791.06	USD	-14,653.00	
15SEP17	B	1	PD15DEC17	6511.50	6791.06	USD	6,989.00	
15SEP17	B	1	PD15DEC17	6512.00	6791.06	USD	6,976.50	
	NET	0 AVG	BGHT 6511.75	SOLD 6498.00			-687.50	0.00
18SEP17	B	2	PD18DEC17	6552.00	6792.50	USD	12,025.00	
18SEP17	S	1	PD18DEC17	6557.00	6792.50	USD	-5,887.50	
18SEP17	S	1	PD18DEC17	6559.00	6792.50	USD	-5,837.50	
18SEP17	B	1	PD18DEC17	6535.00	6792.50	USD	6,437.50	
18SEP17	S	1	PD18DEC17	6538.00	6792.50	USD	-6,362.50	
	NET	0 AVG	BGHT 6546.33	SOLD 6551.33			375.00	0.00
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
19SEP17	B	1	PD19DEC17	6532.50	6792.50	USD	6,500.00	
19SEP17	S	1	PD19DEC17	6518.00	6792.50	USD	-6,862.50	
19SEP17	S	1	PD19DEC17	6527.00	6792.50	USD	-6,637.50	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	S	1	PD19DEC17	6508.50	6792.50	USD	-7,100.00	
19SEP17	B	1	PD19DEC17	6524.00	6792.50	USD	6,712.50	
19SEP17	B	1	PD19DEC17	6527.00	6792.50	USD	6,637.50	
29SEP17	S	2	PD19DEC17	6478.78	6792.50	USD	-15,686.00	
	NET	0 AVG	BGHT 6523.50	SOLD 6502.21			-2,661.00	0.00
20SEP17	S	3	PD20DEC17	6555.00	6792.50	USD	-17,812.50	
20SEP17	B	1	PD20DEC17	6561.00	6792.50	USD	5,787.50	
20SEP17	S	2	PD20DEC17	6560.00	6792.50	USD	-11,625.00	
20SEP17	B	2	PD20DEC17	6555.00	6792.50	USD	11,875.00	
29SEP17	B	2	PD20DEC17	6479.45	6792.50	USD	15,652.50	
	NET	0 AVG	BGHT 6525.98	SOLD 6557.00			3,877.50	0.00
21SEP17	B	1	PD21DEC17	6461.00	6791.50	USD	8,262.50	
21SEP17	S	1	PD21DEC17	6469.00	6791.50	USD	-8,062.50	
	NET	0 AVG	BGHT 6461.00	SOLD 6469.00			200.00	0.00
22SEP17	B	1	PD22DEC17	6397.00	6790.50	USD	9,837.50	
22SEP17	S	1	PD22DEC17	6396.00	6790.50	USD	-9,862.50	
22SEP17	B	1	PD22DEC17	6464.00	6790.50	USD	8,162.50	
22SEP17	S	2	PD22DEC17	6469.00	6790.50	USD	-16,075.00	
22SEP17	B	1	PD22DEC17	6462.50	6790.50	USD	8,200.00	
	NET	0 AVG	BGHT 6441.17	SOLD 6444.67			262.50	0.00

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26SEP17	S	1	PD27DEC17	6518.00	6793.00	USD	-6,875.00	
26SEP17	S	1	PD27DEC17	6520.00	6793.00	USD	-6,825.00	
26SEP17	B	1	PD27DEC17	6506.00	6793.00	USD	7,175.00	
26SEP17	B	1	PD27DEC17	6512.00	6793.00	USD	7,025.00	
26SEP17	S	1	PD27DEC17	6438.00	6793.00	USD	-8,875.00	
26SEP17	B	2	PD27DEC17	6411.00	6793.00	USD	19,100.00	
26SEP17	B	1	PD27DEC17	6409.00	6793.00	USD	9,600.00	
26SEP17	B	1	PD27DEC17	6411.50	6793.00	USD	9,537.50	
27SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
27SEP17	S	2	PD27DEC17	6454.50	6793.00	USD	-16,925.00	
	NET	0 AVG	BGHT 6443.42		SOLD 6472.83		4,412.50	0.00
28SEP17	B	1	PD28DEC17	6433.00	6793.47	USD	9,011.75	
28SEP17	S	1	PD28DEC17	6437.50	6793.47	USD	-8,899.25	
	NET	0 AVG	BGHT 6433.00		SOLD 6437.50		112.50	0.00
03OCT17	B	1	PD03JAN18	6521.00	6796.27	USD	6,881.75	
03OCT17	S	1	PD03JAN18	6527.50	6796.27	USD	-6,719.25	
	NET	0 AVG	BGHT 6521.00		SOLD 6527.50		162.50	0.00
05OCT17	S	2	PD05JAN18	6589.50	6797.20	USD	-10,385.00	
05OCT17	S	1	PD05JAN18	6628.00	6797.20	USD	-4,230.00	
05OCT17	B	3	PD05JAN18	6636.00	6797.20	USD	12,090.00	
05OCT17	S	1	PD05JAN18	6634.00	6797.20	USD	-4,080.00	
05OCT17	S	1	PD05JAN18	6642.00	6797.20	USD	-3,880.00	
05OCT17	S	1	PD05JAN18	6643.00	6797.20	USD	-3,855.00	
05OCT17	S	1	PD05JAN18	6644.00	6797.20	USD	-3,830.00	
05OCT17	B	1	PD05JAN18	6661.00	6797.20	USD	3,405.00	
	NET	-3 AVG	BGHT 6642.25		SOLD 6624.29		-14,765.00	0.00
10OCT17	B	1	PD10JAN18	6705.50	6799.53	USD	2,350.75	
10OCT17	B	1	PD10JAN18	6707.50	6799.53	USD	2,300.75	
10OCT17	B	2	PD10JAN18	6706.00	6799.53	USD	4,676.50	
10OCT17	S	1	PD10JAN18	6704.00	6799.53	USD	-2,388.25	
	NET	+3 AVG	BGHT 6706.25		SOLD 6704.00		6,939.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	2363.50	2558.75	USD	4,881.25	
18AUG17	S	1	PD17NOV17	2359.00	2558.75	USD	-4,993.75	
	NET	0 AVG	BGHT 2363.50		SOLD 2359.00		-112.50	0.00
22AUG17	B	1	PD22NOV17	2423.00	2559.46	USD	3,411.50	
22AUG17	S	1	PD22NOV17	2418.00	2559.46	USD	-3,536.50	
22AUG17	B	1	PD22NOV17	2405.00	2559.46	USD	3,861.50	
22AUG17	S	1	PD22NOV17	2417.50	2559.46	USD	-3,549.00	
	NET	0 AVG	BGHT 2414.00		SOLD 2417.75		187.50	0.00
08SEP17	S	1	PD08DEC17	2309.50	2561.50	USD	-6,300.00	
08SEP17	B	1	PD08DEC17	2269.00	2561.50	USD	7,312.50	
	NET	0 AVG	BGHT 2269.00		SOLD 2309.50		1,012.50	0.00
22SEP17	B	1	PD22DEC17	2423.00	2563.50	USD	3,512.50	
22SEP17	S	1	PD22DEC17	2452.00	2563.50	USD	-2,787.50	
22SEP17	B	1	PD22DEC17	2455.00	2563.50	USD	2,712.50	
22SEP17	S	1	PD22DEC17	2420.50	2563.50	USD	-3,575.00	
	NET	0 AVG	BGHT 2439.00		SOLD 2436.25		-137.50	0.00
03OCT17	S	1	PD03JAN18	2572.00	2564.20	USD	195.00	
03OCT17	B	1	PD03JAN18	2560.50	2564.20	USD	92.50	
03OCT17	B	1	PD03JAN18	2590.50	2564.20	USD	-657.50	
03OCT17	S	1	PD03JAN18	2587.00	2564.20	USD	570.00	
	NET	0 AVG	BGHT 2575.50		SOLD 2579.50		200.00	0.00

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04OCT17	B 1 PD04JAN18	2558.50	2564.30	USD	145.00	
04OCT17	S 1 PD04JAN18	2555.50	2564.30	USD	-220.00	
	NET 0AVG BGHT 2558.50 SOLD 2555.50				-75.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22AUG17	S 1 PD22NOV17	11400.00	11097.40	USD	1,815.60	
22AUG17	B 1 PD22NOV17	11465.00	11097.40	USD	-2,205.60	
	NET 0AVG BGHT 11465.00 SOLD 11400.00				-390.00	0.00
08SEP17	B 1 PD08DEC17	11750.00	11109.74	USD	-3,841.56	
08SEP17	S 1 PD08DEC17	11695.00	11109.74	USD	3,511.56	
08SEP17	B 2 PD08DEC17	11545.00	11109.74	USD	-5,223.12	
08SEP17	B 2 PD08DEC17	11560.00	11109.74	USD	-5,403.12	
08SEP17	S 1 PD08DEC17	11595.00	11109.74	USD	2,911.56	
08SEP17	S 2 PD08DEC17	11480.00	11109.74	USD	4,443.12	
08SEP17	S 2 PD08DEC17	11540.00	11109.74	USD	5,163.12	
08SEP17	B 1 PD08DEC17	11580.00	11109.74	USD	-2,821.56	
	NET 0AVG BGHT 11590.00 SOLD 11555.00				-1,260.00	0.00
15SEP17	S 1 PD15DEC17	11095.00	11115.14	USD	-120.84	
15SEP17	B 1 PD15DEC17	11115.00	11115.14	USD	0.84	
15SEP17	B 1 PD15DEC17	10875.00	11115.14	USD	1,440.84	
15SEP17	S 1 PD15DEC17	10845.00	11115.14	USD	-1,620.84	
	NET 0AVG BGHT 10995.00 SOLD 10970.00				-300.00	0.00
20SEP17	B 1 PD20DEC17	11300.00	11119.00	USD	-1,086.00	
20SEP17	S 1 PD20DEC17	11365.00	11119.00	USD	1,476.00	
	NET 0AVG BGHT 11300.00 SOLD 11365.00				390.00	0.00
29SEP17	B 1 PD29DEC17	10510.00	11125.55	USD	3,693.30	
29SEP17	S 1 PD29DEC17	10500.00	11125.55	USD	-3,753.30	
	NET 0AVG BGHT 10510.00 SOLD 10500.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	S 2 PD17NOV17	20200.00	20945.83	USD	-7,458.30	
18AUG17	B 2 PD17NOV17	20230.00	20945.83	USD	7,158.30	
	NET 0AVG BGHT 20230.00 SOLD 20200.00				-300.00	0.00
22AUG17	S 2 PD22NOV17	20415.00	20939.58	USD	-5,245.80	
22AUG17	B 2 PD22NOV17	20390.00	20939.58	USD	5,495.80	
	NET 0AVG BGHT 20390.00 SOLD 20415.00				250.00	0.00
25AUG17	S 1 PD24NOV17	20430.00	20937.50	USD	-2,537.50	
25AUG17	B 1 PD24NOV17	20405.00	20937.50	USD	2,662.50	
25AUG17	S 1 PD24NOV17	20340.00	20937.50	USD	-2,987.50	
25AUG17	B 1 PD24NOV17	20325.00	20937.50	USD	3,062.50	
	NET 0AVG BGHT 20365.00 SOLD 20385.00				200.00	0.00
29AUG17	S 1 PD29NOV17	20590.00	20931.25	USD	-1,706.25	
29AUG17	B 1 PD29NOV17	20555.00	20931.25	USD	1,881.25	
29AUG17	S 1 PD29NOV17	20570.00	20931.25	USD	-1,806.25	
29AUG17	B 1 PD29NOV17	20530.00	20931.25	USD	2,006.25	
29AUG17	S 1 PD29NOV17	20435.00	20931.25	USD	-2,481.25	
29AUG17	B 1 PD29NOV17	20510.00	20931.25	USD	2,106.25	
	NET 0AVG BGHT 20531.67 SOLD 20531.67				0.00	0.00
30AUG17	B 1 PD30NOV17	20555.00	20929.17	USD	1,870.85	
30AUG17	S 2 PD30NOV17	20530.00	20929.17	USD	-3,991.70	
30AUG17	S 2 PD30NOV17	20545.00	20929.17	USD	-3,841.70	
30AUG17	B 3 PD30NOV17	20550.00	20929.17	USD	5,687.55	
	NET 0AVG BGHT 20551.25 SOLD 20537.50				-275.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119G

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	20725.00	20927.08	USD	-1,010.40	
01SEP17	B	1	PD01DEC17	20705.00	20927.08	USD	1,110.40	
	NET	0 AVG BGHT	20705.00	SOLD 20725.00			100.00	0.00
04SEP17	S	1	PD04DEC17	20730.00	20925.00	USD	-975.00	
04SEP17	B	1	PD04DEC17	20760.00	20925.00	USD	825.00	
	NET	0 AVG BGHT	20760.00	SOLD 20730.00			-150.00	0.00
07SEP17	B	1	PD07DEC17	20730.00	20918.75	USD	943.75	
07SEP17	S	1	PD07DEC17	20730.00	20918.75	USD	-943.75	
07SEP17	B	1	PD07DEC17	20700.00	20918.75	USD	1,093.75	
07SEP17	S	1	PD07DEC17	20735.00	20918.75	USD	-918.75	
	NET	0 AVG BGHT	20715.00	SOLD 20732.50			175.00	0.00
13SEP17	S	1	PD13DEC17	20735.00	20910.42	USD	-877.10	
13SEP17	B	1	PD13DEC17	20720.00	20910.42	USD	952.10	
	NET	0 AVG BGHT	20720.00	SOLD 20735.00			75.00	0.00
14SEP17	B	1	PD14DEC17	20630.00	20908.33	USD	1,391.65	
14SEP17	S	1	PD14DEC17	20650.00	20908.33	USD	-1,291.65	
	NET	0 AVG BGHT	20630.00	SOLD 20650.00			100.00	0.00
15SEP17	S	2	PD15DEC17	20500.00	20906.25	USD	-4,062.50	
15SEP17	B	1	PD15DEC17	20515.00	20906.25	USD	1,956.25	
15SEP17	B	1	PD15DEC17	20520.00	20906.25	USD	1,931.25	
	NET	0 AVG BGHT	20517.50	SOLD 20500.00			-175.00	0.00
22SEP17	S	1	PD22DEC17	20470.00	20895.38	USD	-2,126.90	
22SEP17	B	1	PD22DEC17	20430.00	20895.38	USD	2,326.90	
	NET	0 AVG BGHT	20430.00	SOLD 20470.00			200.00	0.00
05OCT17	B	2	PD05JAN18	20900.00	20879.23	USD	-207.70	
05OCT17	S	1	PD05JAN18	20945.00	20879.23	USD	328.85	
05OCT17	S	1	PD05JAN18	20960.00	20879.23	USD	403.85	
05OCT17	S	1	PD05JAN18	20970.00	20879.23	USD	453.85	
05OCT17	B	1	PD05JAN18	21030.00	20879.23	USD	-753.85	
05OCT17	B	1	PD05JAN18	21145.00	20879.23	USD	-1,328.85	
05OCT17	S	1	PD05JAN18	21110.00	20879.23	USD	1,153.85	
06OCT17	B	1	PD05JAN18	21005.00	20879.23	USD	-628.85	
06OCT17	S	1	PD05JAN18	21025.00	20879.23	USD	728.85	
06OCT17	S	2	PD05JAN18	20800.00	20879.23	USD	-792.30	
06OCT17	B	2	PD05JAN18	20630.00	20879.23	USD	2,492.30	
	NET	0 AVG BGHT	20891.43	SOLD 20944.29			1,850.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	3050.00	3224.50	USD	4,362.50	
22SEP17	S	1	PD22DEC17	3058.00	3224.50	USD	-4,162.50	
22SEP17	B	1	PD22DEC17	3011.00	3224.50	USD	5,337.50	
22SEP17	S	1	PD22DEC17	3024.00	3224.50	USD	-5,012.50	
22SEP17	B	1	PD22DEC17	3010.50	3224.50	USD	5,350.00	
22SEP17	S	1	PD22DEC17	3021.00	3224.50	USD	-5,087.50	
	NET	0 AVG BGHT	3023.83	SOLD 3034.33			787.50	0.00
29SEP17	S	1	PD29DEC17	3149.00	3223.00	USD	-1,850.00	
29SEP17	B	1	PD29DEC17	3161.00	3223.00	USD	1,550.00	
	NET	0 AVG BGHT	3161.00	SOLD 3149.00			-300.00	0.00
02OCT17	S	2	PD02JAN18	3243.00	3222.50	USD	1,025.00	
02OCT17	S	2	PD02JAN18	3244.00	3222.50	USD	1,075.00	
02OCT17	B	1	PD02JAN18	3232.00	3222.50	USD	-237.50	
02OCT17	B	2	PD02JAN18	3235.00	3222.50	USD	-625.00	
02OCT17	B	1	PD02JAN18	3235.50	3222.50	USD	-325.00	
	NET	0 AVG BGHT	3234.38	SOLD 3243.50			912.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	S 1 PD03JAN18	3275.00	3222.00	USD	1,325.00	
03OCT17	B 1 PD03JAN18	3290.50	3222.00	USD	-1,712.50	
03OCT17	S 1 PD03JAN18	3287.50	3222.00	USD	1,637.50	
03OCT17	S 1 PD03JAN18	3288.50	3222.00	USD	1,662.50	
03OCT17	B 1 PD03JAN18	3282.00	3222.00	USD	-1,500.00	
03OCT17	B 1 PD03JAN18	3275.00	3222.00	USD	-1,325.00	
	NET 0 AVG BGHT 3282.50 SOLD 3283.67				87.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	75.00
LME Copper Grade A 25	FUTURES	-17,226.75
LME Lead 25 tonnes. This is an	FUTURES	1,075.00
LME Nickel 6 tonnes. This is	FUTURES	-1,620.00
LME Tin 5 tonnes. This is an	FUTURES	2,050.00
LME Zinc 25 tonnes. This is	FUTURES	1,487.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	75.00	75.00		
COPL - Futures USD	-17,052.00	-17,052.00		
LEAD - Futures USD	1,075.00	1,075.00		
NKL - Futures USD	-1,620.00	-1,620.00		
TIN - Futures USD	2,050.00	2,050.00		
ZINC - Futures USD	1,487.50	1,487.50	-13,984.50	-13,984.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	13,941.36	13,941.36
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	13,941.36	13,941.36
Initial Margins	-14,515.50	-14,515.50
Excess/(Shortage)	-574.14	-574.14
FOR INFORMATION ONLY		
Forward Unrealised P&L	-13,984.50	-13,984.50
Net Liquidating Value	-43.14	-43.14

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	1	PD21NOV17	6557.00	6778.92	USD	-5,548.00	
21AUG17	B	1	PD21NOV17	6545.00	6778.92	USD	5,848.00	
21AUG17	B	1	PD21NOV17	6552.00	6778.92	USD	5,673.00	
21AUG17	S	1	PD21NOV17	6545.00	6778.92	USD	-5,848.00	
21AUG17	B	1	PD21NOV17	6595.00	6778.92	USD	4,598.00	
21AUG17	S	1	PD21NOV17	6591.00	6778.92	USD	-4,698.00	
	NET	0 AVG	BGHT 6564.00	SOLD 6564.33			25.00	0.00
22AUG17	S	1	PD22NOV17	6626.00	6779.45	USD	-3,836.25	
22AUG17	B	1	PD22NOV17	6620.00	6779.45	USD	3,986.25	
22AUG17	B	1	PD22NOV17	6575.00	6779.45	USD	5,111.25	
22AUG17	S	1	PD22NOV17	6589.50	6779.45	USD	-4,748.75	
	NET	0 AVG	BGHT 6597.50	SOLD 6607.75			512.50	0.00
23AUG17	B	1	PD24NOV17	6547.00	6780.52	USD	5,838.00	
23AUG17	B	1	PD24NOV17	6549.00	6780.52	USD	5,788.00	
23AUG17	S	1	PD24NOV17	6547.00	6780.52	USD	-5,838.00	
23AUG17	S	1	PD24NOV17	6570.00	6780.52	USD	-5,263.00	
	NET	0 AVG	BGHT 6548.00	SOLD 6558.50			525.00	0.00
30AUG17	B	1	PD30NOV17	6810.50	6783.72	USD	-669.50	
30AUG17	B	1	PD30NOV17	6811.00	6783.72	USD	-682.00	
30AUG17	B	1	PD30NOV17	6796.00	6783.72	USD	-307.00	
30AUG17	S	3	PD30NOV17	6793.00	6783.72	USD	696.00	
31AUG17	S	1	PD30NOV17	6808.00	6783.72	USD	607.00	
31AUG17	B	1	PD30NOV17	6827.00	6783.72	USD	-1,082.00	
31AUG17	B	1	PD30NOV17	6828.50	6783.72	USD	-1,119.50	
31AUG17	S	1	PD30NOV17	6860.00	6783.72	USD	1,907.00	
31AUG17	S	1	PD30NOV17	6822.50	6783.72	USD	969.50	
31AUG17	B	1	PD30NOV17	6793.00	6783.72	USD	-232.00	
	NET	0 AVG	BGHT 6811.00	SOLD 6811.58			87.50	0.00
01SEP17	B	1	PD01DEC17	6807.00	6784.25	USD	-568.75	
01SEP17	S	1	PD01DEC17	6820.00	6784.25	USD	893.75	
01SEP17	S	1	PD01DEC17	6825.00	6784.25	USD	1,018.75	
29SEP17	B	1	PD01DEC17	6471.50	6784.25	USD	7,818.75	
	NET	0 AVG	BGHT 6639.25	SOLD 6822.50			9,162.50	0.00
04SEP17	S	1	PD04DEC17	6904.50	6785.75	USD	2,968.75	
04SEP17	B	1	PD04DEC17	6913.00	6785.75	USD	-3,181.25	
04SEP17	S	1	PD04DEC17	6914.00	6785.75	USD	3,206.25	
29SEP17	B	1	PD04DEC17	6472.06	6785.75	USD	7,842.25	
	NET	0 AVG	BGHT 6692.53	SOLD 6909.25			10,836.00	0.00
05SEP17	B	2	PD05DEC17	6951.00	6786.25	USD	-8,237.50	
29SEP17	S	2	PD05DEC17	6472.05	6786.25	USD	-15,710.00	
	NET	0 AVG	BGHT 6951.00	SOLD 6472.05			-23,947.50	0.00
06SEP17	S	1	PD06DEC17	6886.00	6786.75	USD	2,481.25	
06SEP17	S	1	PD06DEC17	6924.00	6786.75	USD	3,431.25	
06SEP17	B	1	PD06DEC17	6886.50	6786.75	USD	-2,493.75	
06SEP17	B	1	PD06DEC17	6892.00	6786.75	USD	-2,631.25	
06SEP17	S	1	PD06DEC17	6880.00	6786.75	USD	2,331.25	
06SEP17	B	1	PD06DEC17	6869.00	6786.75	USD	-2,056.25	
06SEP17	S	1	PD06DEC17	6890.00	6786.75	USD	2,581.25	
06SEP17	S	1	PD06DEC17	6922.50	6786.75	USD	3,393.75	
29SEP17	B	2	PD06DEC17	6472.72	6786.75	USD	15,701.50	
	NET	0 AVG	BGHT 6718.59	SOLD 6900.50			22,739.00	0.00
07SEP17	B	1	PD07DEC17	6900.00	6787.23	USD	-2,819.25	
07SEP17	S	1	PD07DEC17	6899.50	6787.23	USD	2,806.75	
07SEP17	B	1	PD07DEC17	6869.00	6787.23	USD	-2,044.25	
07SEP17	S	1	PD07DEC17	6885.00	6787.23	USD	2,444.25	

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07SEP17	S	1	PD07DEC17	6892.00	6787.23	USD	2,619.25	
29SEP17	B	1	PD07DEC17	6473.18	6787.23	USD	7,851.25	
	NET	0AVG	BGHT 6747.39				10,858.00	0.00
08SEP17	B	1	PD08DEC17	6900.00	6787.71	USD	-2,807.25	
08SEP17	B	1	PD08DEC17	6922.00	6787.71	USD	-3,357.25	
08SEP17	B	1	PD08DEC17	6926.00	6787.71	USD	-3,457.25	
08SEP17	B	1	PD08DEC17	6789.00	6787.71	USD	-32.25	
08SEP17	B	1	PD08DEC17	6702.00	6787.71	USD	2,142.75	
08SEP17	S	2	PD08DEC17	6700.00	6787.71	USD	-4,385.50	
08SEP17	B	1	PD08DEC17	6706.00	6787.71	USD	2,042.75	
08SEP17	S	1	PD08DEC17	6702.00	6787.71	USD	-2,142.75	
08SEP17	B	1	PD08DEC17	6694.00	6787.71	USD	2,342.75	
08SEP17	B	1	PD08DEC17	6695.00	6787.71	USD	2,317.75	
08SEP17	B	1	PD08DEC17	6670.50	6787.71	USD	2,930.25	
08SEP17	B	1	PD08DEC17	6683.00	6787.71	USD	2,617.75	
08SEP17	S	1	PD08DEC17	6679.00	6787.71	USD	-2,717.75	
08SEP17	S	1	PD08DEC17	6689.00	6787.71	USD	-2,467.75	
08SEP17	S	1	PD08DEC17	6669.00	6787.71	USD	-2,967.75	
29SEP17	S	3	PD08DEC17	6473.45	6787.71	USD	-23,569.50	
29SEP17	S	1	PD08DEC17	6473.65	6787.71	USD	-7,851.50	
	NET	0AVG	BGHT 6768.75				-41,362.50	0.00
11SEP17	S	1	PD11DEC17	6700.00	6789.15	USD	-2,228.75	
29SEP17	B	1	PD11DEC17	6475.25	6789.15	USD	7,847.50	
	NET	0AVG	BGHT 6475.25				5,618.75	0.00
13SEP17	B	1	PD13DEC17	6605.00	6790.10	USD	4,627.50	
13SEP17	B	2	PD13DEC17	6561.00	6790.10	USD	11,455.00	
13SEP17	S	1	PD13DEC17	6558.00	6790.10	USD	-5,802.50	
13SEP17	S	1	PD13DEC17	6550.00	6790.10	USD	-6,002.50	
13SEP17	S	1	PD13DEC17	6556.00	6790.10	USD	-5,852.50	
	NET	0AVG	BGHT 6575.67				-1,575.00	0.00
14SEP17	B	1	PD14DEC17	6565.00	6790.58	USD	5,639.50	
14SEP17	B	1	PD14DEC17	6520.00	6790.58	USD	6,764.50	
14SEP17	B	1	PD14DEC17	6465.00	6790.58	USD	8,139.50	
14SEP17	S	1	PD14DEC17	6467.00	6790.58	USD	-8,089.50	
14SEP17	B	1	PD14DEC17	6504.00	6790.58	USD	7,164.50	
14SEP17	S	1	PD14DEC17	6490.00	6790.58	USD	-7,514.50	
14SEP17	S	1	PD14DEC17	6504.00	6790.58	USD	-7,164.50	
14SEP17	S	2	PD14DEC17	6514.50	6790.58	USD	-13,804.00	
14SEP17	B	1	PD14DEC17	6516.00	6790.58	USD	6,864.50	
14SEP17	S	1	PD14DEC17	6526.00	6790.58	USD	-6,614.50	
14SEP17	B	1	PD14DEC17	6526.00	6790.58	USD	6,614.50	
	NET	0AVG	BGHT 6516.00				-2,000.00	0.00
15SEP17	B	1	PD15DEC17	6490.00	6791.06	USD	7,526.50	
15SEP17	S	1	PD15DEC17	6489.00	6791.06	USD	-7,551.50	
15SEP17	S	1	PD15DEC17	6495.00	6791.06	USD	-7,401.50	
15SEP17	B	1	PD15DEC17	6475.00	6791.06	USD	7,901.50	
15SEP17	B	1	PD15DEC17	6482.00	6791.06	USD	7,726.50	
15SEP17	S	1	PD15DEC17	6478.50	6791.06	USD	-7,814.00	
15SEP17	B	1	PD15DEC17	6491.50	6791.06	USD	7,489.00	
15SEP17	S	1	PD15DEC17	6479.50	6791.06	USD	-7,789.00	
	NET	0AVG	BGHT 6484.63				87.50	0.00
18SEP17	B	1	PD18DEC17	6542.00	6792.50	USD	6,262.50	
18SEP17	B	1	PD18DEC17	6544.00	6792.50	USD	6,212.50	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
18SEP17	B	2	PD18DEC17	6539.50	6792.50	USD	12,650.00	
18SEP17	S	3	PD18DEC17	6538.00	6792.50	USD	-19,087.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6527.00	6792.50	USD	6,637.50	
18SEP17	S	1	PD18DEC17	6520.00	6792.50	USD	-6,812.50	
18SEP17	B	1	PD18DEC17	6519.00	6792.50	USD	6,837.50	
18SEP17	B	1	PD18DEC17	6520.50	6792.50	USD	6,800.00	
18SEP17	S	2	PD18DEC17	6518.50	6792.50	USD	-13,700.00	
	NET	0AVG BGHT 6533.07	SOLD 6530.43				-462.50	0.00
19SEP17	B	1	PD19DEC17	6521.50	6792.50	USD	6,775.00	
19SEP17	B	1	PD19DEC17	6530.00	6792.50	USD	6,562.50	
19SEP17	S	1	PD19DEC17	6518.00	6792.50	USD	-6,862.50	
19SEP17	S	1	PD19DEC17	6526.00	6792.50	USD	-6,662.50	
19SEP17	B	1	PD19DEC17	6526.50	6792.50	USD	6,650.00	
19SEP17	S	1	PD19DEC17	6525.50	6792.50	USD	-6,675.00	
19SEP17	B	1	PD19DEC17	6514.00	6792.50	USD	6,962.50	
19SEP17	B	1	PD19DEC17	6520.00	6792.50	USD	6,812.50	
19SEP17	S	1	PD19DEC17	6510.00	6792.50	USD	-7,062.50	
19SEP17	B	1	PD19DEC17	6515.00	6792.50	USD	6,937.50	
29SEP17	S	2	PD19DEC17	6478.78	6792.50	USD	-15,686.00	
	NET	0AVG BGHT 6521.17	SOLD 6506.18				-2,248.50	0.00
20SEP17	S	1	PD20DEC17	6554.00	6792.50	USD	-5,962.50	
20SEP17	S	1	PD20DEC17	6554.50	6792.50	USD	-5,950.00	
20SEP17	B	1	PD20DEC17	6558.00	6792.50	USD	5,862.50	
20SEP17	S	1	PD20DEC17	6555.00	6792.50	USD	-5,937.50	
20SEP17	B	1	PD20DEC17	6512.00	6792.50	USD	7,012.50	
29SEP17	B	1	PD20DEC17	6479.45	6792.50	USD	7,826.25	
	NET	0AVG BGHT 6516.48	SOLD 6554.50				2,851.25	0.00
21SEP17	S	1	PD21DEC17	6450.00	6791.50	USD	-8,537.50	
21SEP17	B	1	PD21DEC17	6460.00	6791.50	USD	8,287.50	
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	
21SEP17	S	1	PD21DEC17	6449.50	6791.50	USD	-8,550.00	
21SEP17	B	1	PD21DEC17	6444.00	6791.50	USD	8,687.50	
29SEP17	B	1	PD21DEC17	6478.45	6791.50	USD	7,826.25	
	NET	0AVG BGHT 6460.82	SOLD 6451.50				-698.75	0.00
22SEP17	B	1	PD22DEC17	6408.00	6790.50	USD	9,562.50	
22SEP17	B	1	PD22DEC17	6418.00	6790.50	USD	9,312.50	
22SEP17	S	1	PD22DEC17	6415.00	6790.50	USD	-9,387.50	
22SEP17	S	1	PD22DEC17	6405.00	6790.50	USD	-9,637.50	
22SEP17	B	1	PD22DEC17	6375.00	6790.50	USD	10,387.50	
22SEP17	S	1	PD22DEC17	6370.00	6790.50	USD	-10,512.50	
22SEP17	S	1	PD22DEC17	6396.00	6790.50	USD	-9,862.50	
22SEP17	B	1	PD22DEC17	6401.00	6790.50	USD	9,737.50	
22SEP17	S	1	PD22DEC17	6409.50	6790.50	USD	-9,525.00	
22SEP17	B	1	PD22DEC17	6413.00	6790.50	USD	9,437.50	
	NET	0AVG BGHT 6403.00	SOLD 6399.10				-487.50	0.00
25SEP17	B	1	PD27DEC17	6457.50	6793.00	USD	8,387.50	
25SEP17	S	1	PD27DEC17	6459.00	6793.00	USD	-8,350.00	
26SEP17	S	1	PD27DEC17	6521.00	6793.00	USD	-6,800.00	
26SEP17	S	1	PD27DEC17	6525.00	6793.00	USD	-6,700.00	
26SEP17	B	1	PD27DEC17	6505.00	6793.00	USD	7,200.00	
26SEP17	S	1	PD27DEC17	6516.00	6793.00	USD	-6,925.00	
26SEP17	B	1	PD27DEC17	6500.00	6793.00	USD	7,325.00	
26SEP17	B	1	PD27DEC17	6501.00	6793.00	USD	7,300.00	
26SEP17	B	1	PD27DEC17	6431.50	6793.00	USD	9,037.50	
26SEP17	S	1	PD27DEC17	6433.50	6793.00	USD	-8,987.50	
26SEP17	S	1	PD27DEC17	6439.50	6793.00	USD	-8,837.50	
26SEP17	S	1	PD27DEC17	6427.50	6793.00	USD	-9,137.50	
26SEP17	B	1	PD27DEC17	6413.00	6793.00	USD	9,500.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6411.00	6793.00	USD	9,550.00	
26SEP17	B	1	PD27DEC17	6428.50	6793.00	USD	9,112.50	
26SEP17	B	1	PD27DEC17	6438.00	6793.00	USD	8,875.00	
27SEP17	S	1	PD27DEC17	6425.00	6793.00	USD	-9,200.00	
27SEP17	B	1	PD27DEC17	6449.00	6793.00	USD	8,600.00	
27SEP17	S	1	PD27DEC17	6448.00	6793.00	USD	-8,625.00	
27SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
	NET	0 AVG	BGHT 6453.45				2,800.00	0.00
28SEP17	B	1	PD28DEC17	6395.50	6793.47	USD	9,949.25	
28SEP17	S	1	PD28DEC17	6400.50	6793.47	USD	-9,824.25	
28SEP17	B	1	PD28DEC17	6410.00	6793.47	USD	9,586.75	
28SEP17	S	1	PD28DEC17	6425.50	6793.47	USD	-9,199.25	
28SEP17	S	1	PD28DEC17	6442.00	6793.47	USD	-8,786.75	
28SEP17	B	1	PD28DEC17	6443.00	6793.47	USD	8,761.75	
28SEP17	B	1	PD28DEC17	6443.50	6793.47	USD	8,749.25	
28SEP17	S	1	PD28DEC17	6447.50	6793.47	USD	-8,649.25	
28SEP17	B	1	PD28DEC17	6461.50	6793.47	USD	8,299.25	
28SEP17	S	1	PD28DEC17	6457.00	6793.47	USD	-8,411.75	
	NET	0 AVG	BGHT 6430.70				475.00	0.00
29SEP17	S	1	PD29DEC17	6568.50	6793.93	USD	-5,635.75	
29SEP17	B	1	PD29DEC17	6547.00	6793.93	USD	6,173.25	
	NET	0 AVG	BGHT 6547.00				537.50	0.00
05OCT17	S	2	PD05JAN18	6679.00	6797.20	USD	-5,910.00	
05OCT17	S	1	PD05JAN18	6680.00	6797.20	USD	-2,930.00	
05OCT17	B	1	PD05JAN18	6680.00	6797.20	USD	2,930.00	
05OCT17	S	1	PD05JAN18	6684.00	6797.20	USD	-2,830.00	
	NET	-3 AVG	BGHT 6680.00				-8,740.00	0.00
10OCT17	B	1	PD10JAN18	6721.50	6799.53	USD	1,950.75	
10OCT17	B	1	PD10JAN18	6704.00	6799.53	USD	2,388.25	
10OCT17	B	2	PD10JAN18	6706.00	6799.53	USD	4,676.50	
10OCT17	S	1	PD10JAN18	6729.50	6799.53	USD	-1,750.75	
	NET	+3 AVG	BGHT 6709.38				7,264.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	1	PD22NOV17	2410.00	2559.46	USD	-3,736.50	
22AUG17	B	1	PD22NOV17	2400.50	2559.46	USD	3,974.00	
	NET	0 AVG	BGHT 2400.50				237.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	11015.00	11093.54	USD	471.24	
18AUG17	B	1	PD17NOV17	11030.00	11093.54	USD	381.24	
18AUG17	S	2	PD17NOV17	10995.00	11093.54	USD	-1,182.48	
	NET	0 AVG	BGHT 11022.50				-330.00	0.00
29AUG17	S	1	PD29NOV17	11820.00	11102.80	USD	4,303.20	
29AUG17	B	1	PD29NOV17	11720.00	11102.80	USD	-3,703.20	
	NET	0 AVG	BGHT 11720.00				600.00	0.00
09OCT17	S	1	PD09JAN18	10705.00	11133.55	USD	-2,571.30	
09OCT17	B	1	PD09JAN18	10705.00	11133.55	USD	2,571.30	
	NET	0 AVG	BGHT 10705.00				0.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	20170.00	20945.83	USD	3,879.15	
18AUG17	B	1	PD17NOV17	20260.00	20945.83	USD	3,429.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	20290.00	20945.83	USD	3,279.15	
18AUG17	B	1	PD17NOV17	20300.00	20945.83	USD	3,229.15	
18AUG17	B	1	PD17NOV17	20325.00	20945.83	USD	3,104.15	
18AUG17	S	1	PD17NOV17	20245.00	20945.83	USD	-3,504.15	
18AUG17	S	2	PD17NOV17	20285.00	20945.83	USD	-6,608.30	
18AUG17	S	2	PD17NOV17	20295.00	20945.83	USD	-6,508.30	
18AUG17	S	1	PD17NOV17	20330.00	20945.83	USD	-3,079.15	
18AUG17	B	1	PD17NOV17	20240.00	20945.83	USD	3,529.15	
	NET	0AVG BGHT 20264.17	SOLD 20289.17				750.00	0.00
21AUG17	S	1	PD21NOV17	20350.00	20941.67	USD	-2,958.35	
21AUG17	B	1	PD21NOV17	20325.00	20941.67	USD	3,083.35	
21AUG17	B	2	PD21NOV17	20335.00	20941.67	USD	6,066.70	
21AUG17	S	2	PD21NOV17	20360.00	20941.67	USD	-5,816.70	
21AUG17	B	2	PD21NOV17	20355.00	20941.67	USD	5,866.70	
21AUG17	S	1	PD21NOV17	20345.00	20941.67	USD	-2,983.35	
21AUG17	S	1	PD21NOV17	20380.00	20941.67	USD	-2,808.35	
21AUG17	S	1	PD21NOV17	20400.00	20941.67	USD	-2,708.35	
21AUG17	S	1	PD21NOV17	20445.00	20941.67	USD	-2,483.35	
21AUG17	B	1	PD21NOV17	20360.00	20941.67	USD	2,908.35	
21AUG17	B	2	PD21NOV17	20415.00	20941.67	USD	5,266.70	
21AUG17	S	1	PD21NOV17	20415.00	20941.67	USD	-2,633.35	
21AUG17	S	1	PD21NOV17	20480.00	20941.67	USD	-2,308.35	
21AUG17	B	1	PD21NOV17	20450.00	20941.67	USD	2,458.35	
	NET	0AVG BGHT 20371.67	SOLD 20392.78				950.00	0.00
22AUG17	S	1	PD22NOV17	20430.00	20939.58	USD	-2,547.90	
22AUG17	B	1	PD22NOV17	20470.00	20939.58	USD	2,347.90	
22AUG17	S	1	PD22NOV17	20485.00	20939.58	USD	-2,272.90	
22AUG17	B	1	PD22NOV17	20430.00	20939.58	USD	2,547.90	
22AUG17	S	2	PD22NOV17	20490.00	20939.58	USD	-4,495.80	
22AUG17	B	1	PD22NOV17	20480.00	20939.58	USD	2,297.90	
22AUG17	B	1	PD22NOV17	20460.00	20939.58	USD	2,397.90	
22AUG17	S	2	PD22NOV17	20450.00	20939.58	USD	-4,895.80	
22AUG17	B	1	PD22NOV17	20355.00	20939.58	USD	2,922.90	
22AUG17	S	1	PD22NOV17	20370.00	20939.58	USD	-2,847.90	
22AUG17	S	2	PD22NOV17	20270.00	20939.58	USD	-6,695.80	
22AUG17	B	2	PD22NOV17	20255.00	20939.58	USD	6,845.80	
22AUG17	B	1	PD22NOV17	20265.00	20939.58	USD	3,372.90	
22AUG17	B	2	PD22NOV17	20420.00	20939.58	USD	5,195.80	
22AUG17	S	1	PD22NOV17	20330.00	20939.58	USD	-3,047.90	
	NET	0AVG BGHT 20381.00	SOLD 20403.50				1,125.00	0.00
23AUG17	S	1	PD24NOV17	20330.00	20937.50	USD	-3,037.50	
23AUG17	B	1	PD24NOV17	20350.00	20937.50	USD	2,937.50	
23AUG17	S	1	PD24NOV17	20340.00	20937.50	USD	-2,987.50	
23AUG17	B	1	PD24NOV17	20270.00	20937.50	USD	3,337.50	
23AUG17	B	1	PD24NOV17	20320.00	20937.50	USD	3,087.50	
23AUG17	S	1	PD24NOV17	20270.00	20937.50	USD	-3,337.50	
23AUG17	S	2	PD24NOV17	20400.00	20937.50	USD	-5,375.00	
23AUG17	B	1	PD24NOV17	20385.00	20937.50	USD	2,762.50	
23AUG17	S	1	PD24NOV17	20445.00	20937.50	USD	-2,462.50	
23AUG17	S	1	PD24NOV17	20465.00	20937.50	USD	-2,362.50	
23AUG17	B	1	PD24NOV17	20380.00	20937.50	USD	2,787.50	
23AUG17	B	2	PD24NOV17	20505.00	20937.50	USD	4,325.00	
	NET	0AVG BGHT 20387.86	SOLD 20378.57				-325.00	0.00
29AUG17	B	1	PD29NOV17	20570.00	20931.25	USD	1,806.25	
29AUG17	S	2	PD29NOV17	20590.00	20931.25	USD	-3,412.50	
29AUG17	B	2	PD29NOV17	20530.00	20931.25	USD	4,012.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	2	PD29NOV17	20570.00	20931.25	USD	-3,612.50	
29AUG17	B	2	PD29NOV17	20415.00	20931.25	USD	5,162.50	
29AUG17	B	1	PD29NOV17	20545.00	20931.25	USD	1,931.25	
29AUG17	S	1	PD29NOV17	20415.00	20931.25	USD	-2,581.25	
29AUG17	S	1	PD29NOV17	20445.00	20931.25	USD	-2,431.25	
29AUG17	B	2	PD29NOV17	20525.00	20931.25	USD	4,062.50	
29AUG17	S	1	PD29NOV17	20545.00	20931.25	USD	-1,931.25	
29AUG17	B	1	PD29NOV17	20450.00	20931.25	USD	2,406.25	
29AUG17	S	2	PD29NOV17	20490.00	20931.25	USD	-4,412.50	
	NET	0AVG	BGHT 20500.56	SOLD 20522.78			1,000.00	0.00
30AUG17	S	1	PD30NOV17	20440.00	20929.17	USD	-2,445.85	
30AUG17	B	1	PD30NOV17	20395.00	20929.17	USD	2,670.85	
30AUG17	B	1	PD30NOV17	20365.00	20929.17	USD	2,820.85	
30AUG17	B	1	PD30NOV17	20370.00	20929.17	USD	2,795.85	
30AUG17	S	1	PD30NOV17	20350.00	20929.17	USD	-2,895.85	
30AUG17	S	1	PD30NOV17	20390.00	20929.17	USD	-2,695.85	
30AUG17	S	1	PD30NOV17	20405.00	20929.17	USD	-2,620.85	
30AUG17	S	2	PD30NOV17	20500.00	20929.17	USD	-4,291.70	
30AUG17	S	1	PD30NOV17	20525.00	20929.17	USD	-2,020.85	
30AUG17	B	3	PD30NOV17	20600.00	20929.17	USD	4,937.55	
30AUG17	B	1	PD30NOV17	20650.00	20929.17	USD	1,395.85	
31AUG17	S	1	PD30NOV17	20620.00	20929.17	USD	-1,545.85	
31AUG17	S	1	PD30NOV17	20645.00	20929.17	USD	-1,420.85	
31AUG17	B	2	PD30NOV17	20660.00	20929.17	USD	2,691.70	
	NET	0AVG	BGHT 20544.44	SOLD 20486.11			-2,625.00	0.00
01SEP17	S	1	PD01DEC17	20730.00	20927.08	USD	-985.40	
01SEP17	B	1	PD01DEC17	20715.00	20927.08	USD	1,060.40	
01SEP17	B	2	PD01DEC17	20755.00	20927.08	USD	1,720.80	
01SEP17	S	1	PD01DEC17	20705.00	20927.08	USD	-1,110.40	
01SEP17	B	1	PD01DEC17	20700.00	20927.08	USD	1,135.40	
01SEP17	S	1	PD01DEC17	20735.00	20927.08	USD	-960.40	
01SEP17	S	2	PD01DEC17	20750.00	20927.08	USD	-1,770.80	
01SEP17	B	1	PD01DEC17	20705.00	20927.08	USD	1,110.40	
	NET	0AVG	BGHT 20726.00	SOLD 20734.00			200.00	0.00
04SEP17	S	1	PD04DEC17	20750.00	20925.00	USD	-875.00	
04SEP17	B	1	PD04DEC17	20675.00	20925.00	USD	1,250.00	
	NET	0AVG	BGHT 20675.00	SOLD 20750.00			375.00	0.00
05SEP17	B	1	PD05DEC17	20800.00	20922.92	USD	614.60	
05SEP17	B	1	PD05DEC17	20705.00	20922.92	USD	1,089.60	
05SEP17	B	1	PD05DEC17	20745.00	20922.92	USD	889.60	
05SEP17	S	1	PD05DEC17	20740.00	20922.92	USD	-914.60	
05SEP17	S	2	PD05DEC17	20750.00	20922.92	USD	-1,729.20	
	NET	0AVG	BGHT 20750.00	SOLD 20746.67			-50.00	0.00
06SEP17	S	1	PD06DEC17	20650.00	20920.83	USD	-1,354.15	
06SEP17	B	1	PD06DEC17	20655.00	20920.83	USD	1,329.15	
06SEP17	S	1	PD06DEC17	20765.00	20920.83	USD	-779.15	
29SEP17	B	1	PD06DEC17	20740.83	20920.83	USD	900.00	
	NET	0AVG	BGHT 20697.92	SOLD 20707.50			95.85	0.00
07SEP17	B	1	PD07DEC17	20740.00	20918.75	USD	893.75	
29SEP17	S	1	PD07DEC17	20737.75	20918.75	USD	-905.00	
	NET	0AVG	BGHT 20740.00	SOLD 20737.75			-11.25	0.00
08SEP17	S	1	PD08DEC17	20615.00	20916.67	USD	-1,508.35	
08SEP17	B	1	PD08DEC17	20620.00	20916.67	USD	1,483.35	
	NET	0AVG	BGHT 20620.00	SOLD 20615.00			-25.00	0.00
12SEP17	S	1	PD12DEC17	20700.00	20912.50	USD	-1,062.50	

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12SEP17	B	1	PD12DEC17	20695.00	20912.50	USD	1,087.50	
	NET	0 AVG	BGHT 20695.00	SOLD 20700.00			25.00	0.00
14SEP17	B	1	PD14DEC17	20570.00	20908.33	USD	1,691.65	
14SEP17	S	1	PD14DEC17	20595.00	20908.33	USD	-1,566.65	
14SEP17	S	2	PD14DEC17	20600.00	20908.33	USD	-3,083.30	
14SEP17	B	1	PD14DEC17	20580.00	20908.33	USD	1,641.65	
14SEP17	B	1	PD14DEC17	20615.00	20908.33	USD	1,466.65	
	NET	0 AVG	BGHT 20588.33	SOLD 20598.33			150.00	0.00
15SEP17	S	1	PD15DEC17	20575.00	20906.25	USD	-1,656.25	
15SEP17	B	1	PD15DEC17	20545.00	20906.25	USD	1,806.25	
15SEP17	S	1	PD15DEC17	20570.00	20906.25	USD	-1,681.25	
15SEP17	B	1	PD15DEC17	20520.00	20906.25	USD	1,931.25	
	NET	0 AVG	BGHT 20532.50	SOLD 20572.50			400.00	0.00
18SEP17	S	1	PD18DEC17	20680.00	20904.17	USD	-1,120.85	
18SEP17	B	2	PD18DEC17	20680.00	20904.17	USD	2,241.70	
18SEP17	S	1	PD18DEC17	20735.00	20904.17	USD	-845.85	
18SEP17	B	1	PD18DEC17	20640.00	20904.17	USD	1,320.85	
18SEP17	S	1	PD18DEC17	20650.00	20904.17	USD	-1,270.85	
	NET	0 AVG	BGHT 20666.67	SOLD 20688.33			325.00	0.00
19SEP17	B	1	PD19DEC17	20645.00	20902.08	USD	1,285.40	
19SEP17	B	2	PD19DEC17	20675.00	20902.08	USD	2,270.80	
19SEP17	S	2	PD19DEC17	20695.00	20902.08	USD	-2,070.80	
19SEP17	S	1	PD19DEC17	20670.00	20902.08	USD	-1,160.40	
19SEP17	B	1	PD19DEC17	20715.00	20902.08	USD	935.40	
19SEP17	S	1	PD19DEC17	20735.00	20902.08	USD	-835.40	
19SEP17	B	1	PD19DEC17	20705.00	20902.08	USD	985.40	
19SEP17	S	1	PD19DEC17	20725.00	20902.08	USD	-885.40	
19SEP17	S	1	PD19DEC17	20705.00	20902.08	USD	-985.40	
19SEP17	B	1	PD19DEC17	20685.00	20902.08	USD	1,085.40	
	NET	0 AVG	BGHT 20683.33	SOLD 20704.17			625.00	0.00
20SEP17	S	1	PD20DEC17	20740.00	20900.00	USD	-800.00	
20SEP17	B	1	PD20DEC17	20750.00	20900.00	USD	750.00	
20SEP17	S	1	PD20DEC17	20725.00	20900.00	USD	-875.00	
20SEP17	B	1	PD20DEC17	20760.00	20900.00	USD	700.00	
20SEP17	S	1	PD20DEC17	20770.00	20900.00	USD	-650.00	
20SEP17	B	1	PD20DEC17	20655.00	20900.00	USD	1,225.00	
20SEP17	B	1	PD20DEC17	20630.00	20900.00	USD	1,350.00	
20SEP17	S	2	PD20DEC17	20675.00	20900.00	USD	-2,250.00	
20SEP17	B	1	PD20DEC17	20645.00	20900.00	USD	1,275.00	
	NET	0 AVG	BGHT 20688.00	SOLD 20717.00			725.00	0.00
21SEP17	B	1	PD21DEC17	20510.00	20897.69	USD	1,938.45	
21SEP17	S	5	PD21DEC17	20560.00	20897.69	USD	-8,442.25	
21SEP17	B	1	PD21DEC17	20535.00	20897.69	USD	1,813.45	
21SEP17	B	1	PD21DEC17	20540.00	20897.69	USD	1,788.45	
21SEP17	B	1	PD21DEC17	20550.00	20897.69	USD	1,738.45	
21SEP17	B	1	PD21DEC17	20560.00	20897.69	USD	1,688.45	
21SEP17	B	1	PD21DEC17	20500.00	20897.69	USD	1,988.45	
21SEP17	S	1	PD21DEC17	20480.00	20897.69	USD	-2,088.45	
	NET	0 AVG	BGHT 20532.50	SOLD 20546.67			425.00	0.00
22SEP17	B	1	PD22DEC17	20580.00	20895.38	USD	1,576.90	
22SEP17	S	1	PD22DEC17	20580.00	20895.38	USD	-1,576.90	
	NET	0 AVG	BGHT 20580.00	SOLD 20580.00			0.00	0.00
25SEP17	B	2	PD27DEC17	20625.00	20893.08	USD	2,680.80	
25SEP17	S	1	PD27DEC17	20605.00	20893.08	USD	-1,440.40	
25SEP17	B	1	PD27DEC17	20545.00	20893.08	USD	1,740.40	

OPEN POSITION STATEMENT



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SAP0119H

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	20585.00	20893.08	USD	-1,540.40	
25SEP17	S	2	PD27DEC17	20570.00	20893.08	USD	-3,230.80	
25SEP17	B	1	PD27DEC17	20570.00	20893.08	USD	1,615.40	
26SEP17	S	1	PD27DEC17	20740.00	20893.08	USD	-765.40	
26SEP17	B	1	PD27DEC17	20700.00	20893.08	USD	965.40	
26SEP17	B	1	PD27DEC17	20660.00	20893.08	USD	1,165.40	
26SEP17	S	1	PD27DEC17	20685.00	20893.08	USD	-1,040.40	
27SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
27SEP17	S	1	PD27DEC17	20680.00	20893.08	USD	-1,065.40	
27SEP17	S	1	PD27DEC17	20685.00	20893.08	USD	-1,040.40	
27SEP17	B	1	PD27DEC17	20700.00	20893.08	USD	965.40	
	NET	0 AVG BGHT	20635.00 SOLD 20640.00				200.00	0.00
28SEP17	S	1	PD28DEC17	20695.00	20890.77	USD	-978.85	
28SEP17	B	1	PD28DEC17	20710.00	20890.77	USD	903.85	
	NET	0 AVG BGHT	20710.00 SOLD 20695.00				-75.00	0.00
09OCT17	B	1	PD09JAN18	20700.00	20874.62	USD	873.10	
09OCT17	S	1	PD09JAN18	20735.00	20874.62	USD	-698.10	
09OCT17	B	1	PD09JAN18	20850.00	20874.62	USD	123.10	
09OCT17	S	1	PD09JAN18	20885.00	20874.62	USD	51.90	
09OCT17	S	1	PD09JAN18	20995.00	20874.62	USD	601.90	
09OCT17	B	1	PD09JAN18	20800.00	20874.62	USD	373.10	
09OCT17	B	1	PD09JAN18	20820.00	20874.62	USD	273.10	
09OCT17	B	1	PD09JAN18	20775.00	20874.62	USD	498.10	
09OCT17	S	1	PD09JAN18	20770.00	20874.62	USD	-523.10	
09OCT17	S	1	PD09JAN18	20740.00	20874.62	USD	-673.10	
	NET	0 AVG BGHT	20789.00 SOLD 20825.00				900.00	0.00
10OCT17	B	1	PD10JAN18	20860.00	20872.31	USD	61.55	
10OCT17	S	1	PD10JAN18	20835.00	20872.31	USD	-186.55	
	NET	0 AVG BGHT	20860.00 SOLD 20835.00				-125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	3032.00	3231.67	USD	4,991.75	
08SEP17	S	1	PD08DEC17	3028.50	3231.67	USD	-5,079.25	
	NET	0 AVG BGHT	3032.00 SOLD 3028.50				-87.50	0.00
09OCT17	B	1	PD09JAN18	3261.00	3220.00	USD	-1,025.00	
09OCT17	S	1	PD09JAN18	3271.00	3220.00	USD	1,275.00	
	NET	0 AVG BGHT	3261.00 SOLD 3271.00				250.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,316.75
LME Lead 25 tonnes. This is an	FUTURES	237.50
LME Nickel 6 tonnes. This is	FUTURES	270.00
LME Tin 5 tonnes. This is an	FUTURES	5,034.60
LME Zinc 25 tonnes. This is	FUTURES	162.50

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	-7,142.00	-7,142.00		
LEAD	- Futures	USD	237.50	237.50		
NKL	- Futures	USD	270.00	270.00		
TIN	- Futures	USD	5,034.60	5,034.60		
ZINC	- Futures	USD	162.50	162.50	-1,437.40	-1,437.40

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	5,005.36	5,005.36
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	5,005.36	5,005.36
Initial Margins	-1,968.40	-1,968.40
Excess/(Shortage)	3,036.96	3,036.96
FOR INFORMATION ONLY		
Forward Unrealised P&L	-1,437.40	-1,437.40
Net Liquidating Value	3,567.96	3,567.96

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	6564.50	6780.52	USD	-5,400.50	
23AUG17	B	1	PD24NOV17	6550.00	6780.52	USD	5,763.00	
24AUG17	B	1	PD24NOV17	6650.00	6780.52	USD	3,263.00	
24AUG17	S	1	PD24NOV17	6638.50	6780.52	USD	-3,550.50	
24AUG17	S	2	PD24NOV17	6705.00	6780.52	USD	-3,776.00	
24AUG17	S	1	PD24NOV17	6710.00	6780.52	USD	-1,763.00	
29SEP17	B	3	PD24NOV17	6467.42	6780.52	USD	23,482.50	
	NET	0 AVG	BGHT 6520.45	SOLD 6664.60			18,018.50	0.00
30AUG17	B	1	PD30NOV17	6769.00	6783.72	USD	368.00	
31AUG17	B	1	PD30NOV17	6844.00	6783.72	USD	-1,507.00	
31AUG17	B	1	PD30NOV17	6846.00	6783.72	USD	-1,557.00	
31AUG17	S	1	PD30NOV17	6855.50	6783.72	USD	1,794.50	
31AUG17	S	1	PD30NOV17	6856.00	6783.72	USD	1,807.00	
31AUG17	B	1	PD30NOV17	6795.00	6783.72	USD	-282.00	
31AUG17	B	1	PD30NOV17	6800.00	6783.72	USD	-407.00	
29SEP17	S	3	PD30NOV17	6470.72	6783.72	USD	-23,475.00	
	NET	0 AVG	BGHT 6810.80	SOLD 6624.73			-23,258.50	0.00
01SEP17	S	1	PD01DEC17	6824.00	6784.25	USD	993.75	
01SEP17	B	2	PD01DEC17	6803.00	6784.25	USD	-937.50	
01SEP17	S	1	PD01DEC17	6812.00	6784.25	USD	693.75	
01SEP17	S	2	PD01DEC17	6823.00	6784.25	USD	1,937.50	
01SEP17	S	1	PD01DEC17	6818.00	6784.25	USD	843.75	
29SEP17	B	3	PD01DEC17	6471.50	6784.25	USD	23,456.25	
	NET	0 AVG	BGHT 6604.10	SOLD 6820.00			26,987.50	0.00
04SEP17	B	1	PD04DEC17	6885.00	6785.75	USD	-2,481.25	
04SEP17	S	1	PD04DEC17	6895.00	6785.75	USD	2,731.25	
04SEP17	S	1	PD04DEC17	6915.00	6785.75	USD	3,231.25	
04SEP17	B	1	PD04DEC17	6916.50	6785.75	USD	-3,268.75	
	NET	0 AVG	BGHT 6900.75	SOLD 6905.00			212.50	0.00
05SEP17	B	1	PD05DEC17	6954.00	6786.25	USD	-4,193.75	
05SEP17	B	1	PD05DEC17	6955.00	6786.25	USD	-4,218.75	
05SEP17	B	1	PD05DEC17	6901.00	6786.25	USD	-2,868.75	
29SEP17	S	3	PD05DEC17	6472.05	6786.25	USD	-23,565.00	
	NET	0 AVG	BGHT 6936.67	SOLD 6472.05			-34,846.25	0.00
06SEP17	S	1	PD06DEC17	6887.00	6786.75	USD	2,506.25	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	S	2	PD06DEC17	6910.00	6786.75	USD	6,162.50	
06SEP17	S	1	PD06DEC17	6917.00	6786.75	USD	3,256.25	
06SEP17	S	1	PD06DEC17	6924.00	6786.75	USD	3,431.25	
06SEP17	B	1	PD06DEC17	6917.00	6786.75	USD	-3,256.25	
06SEP17	B	2	PD06DEC17	6885.00	6786.75	USD	-4,912.50	
06SEP17	B	3	PD06DEC17	6886.00	6786.75	USD	-7,443.75	
06SEP17	S	1	PD06DEC17	6879.00	6786.75	USD	2,306.25	
06SEP17	S	1	PD06DEC17	6886.00	6786.75	USD	2,481.25	
06SEP17	B	1	PD06DEC17	6870.00	6786.75	USD	-2,081.25	
06SEP17	B	1	PD06DEC17	6870.50	6786.75	USD	-2,093.75	
06SEP17	S	2	PD06DEC17	6892.00	6786.75	USD	5,262.50	
06SEP17	S	1	PD06DEC17	6900.00	6786.75	USD	2,831.25	
29SEP17	B	3	PD06DEC17	6472.72	6786.75	USD	23,552.25	
	NET	0 AVG	BGHT 6773.06	SOLD 6900.73			35,108.50	0.00
07SEP17	B	1	PD07DEC17	6858.00	6787.23	USD	-1,769.25	
07SEP17	B	1	PD07DEC17	6860.00	6787.23	USD	-1,819.25	
07SEP17	B	1	PD07DEC17	6856.00	6787.23	USD	-1,719.25	
07SEP17	B	1	PD07DEC17	6875.00	6787.23	USD	-2,194.25	
07SEP17	S	2	PD07DEC17	6875.00	6787.23	USD	4,388.50	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6905.00	6787.23	USD	2,944.25	
	NET	0 AVG BGHT 6862.25	SOLD 6883.25				2,100.00	0.00
08SEP17	B	1	PD08DEC17	6910.00	6787.71	USD	-3,057.25	
08SEP17	B	1	PD08DEC17	6922.00	6787.71	USD	-3,357.25	
08SEP17	B	1	PD08DEC17	6924.00	6787.71	USD	-3,407.25	
08SEP17	B	1	PD08DEC17	6845.00	6787.71	USD	-1,432.25	
08SEP17	B	2	PD08DEC17	6850.00	6787.71	USD	-3,114.50	
08SEP17	S	1	PD08DEC17	6829.00	6787.71	USD	1,032.25	
08SEP17	S	2	PD08DEC17	6837.00	6787.71	USD	2,464.50	
08SEP17	B	1	PD08DEC17	6815.00	6787.71	USD	-682.25	
08SEP17	S	1	PD08DEC17	6835.00	6787.71	USD	1,182.25	
08SEP17	B	1	PD08DEC17	6793.00	6787.71	USD	-132.25	
08SEP17	B	1	PD08DEC17	6785.00	6787.71	USD	67.75	
08SEP17	B	1	PD08DEC17	6835.00	6787.71	USD	-1,182.25	
08SEP17	S	2	PD08DEC17	6750.00	6787.71	USD	-1,885.50	
08SEP17	B	1	PD08DEC17	6715.00	6787.71	USD	1,817.75	
08SEP17	B	1	PD08DEC17	6695.00	6787.71	USD	2,317.75	
08SEP17	S	3	PD08DEC17	6675.50	6787.71	USD	-8,415.75	
08SEP17	S	2	PD08DEC17	6685.00	6787.71	USD	-5,135.50	
08SEP17	B	2	PD08DEC17	6685.00	6787.71	USD	5,135.50	
29SEP17	S	3	PD08DEC17	6473.45	6787.71	USD	-23,569.50	
	NET	0 AVG BGHT 6807.79	SOLD 6689.63				-41,353.75	0.00
11SEP17	B	1	PD11DEC17	6726.00	6789.15	USD	1,578.75	
11SEP17	S	1	PD11DEC17	6734.00	6789.15	USD	-1,378.75	
11SEP17	B	1	PD11DEC17	6725.00	6789.15	USD	1,603.75	
11SEP17	S	1	PD11DEC17	6724.00	6789.15	USD	-1,628.75	
11SEP17	S	1	PD11DEC17	6738.00	6789.15	USD	-1,278.75	
11SEP17	B	1	PD11DEC17	6730.00	6789.15	USD	1,478.75	
	NET	0 AVG BGHT 6727.00	SOLD 6732.00				375.00	0.00
12SEP17	S	1	PD12DEC17	6706.50	6789.63	USD	-2,078.25	
12SEP17	B	1	PD12DEC17	6691.00	6789.63	USD	2,465.75	
12SEP17	B	1	PD12DEC17	6695.00	6789.63	USD	2,365.75	
12SEP17	S	1	PD12DEC17	6705.00	6789.63	USD	-2,115.75	
12SEP17	B	1	PD12DEC17	6647.00	6789.63	USD	3,565.75	
12SEP17	S	1	PD12DEC17	6656.50	6789.63	USD	-3,328.25	
	NET	0 AVG BGHT 6677.67	SOLD 6689.33				875.00	0.00
13SEP17	B	1	PD13DEC17	6632.00	6790.10	USD	3,952.50	
13SEP17	B	1	PD13DEC17	6645.00	6790.10	USD	3,627.50	
13SEP17	S	1	PD13DEC17	6627.00	6790.10	USD	-4,077.50	
13SEP17	S	1	PD13DEC17	6629.00	6790.10	USD	-4,027.50	
13SEP17	B	1	PD13DEC17	6609.00	6790.10	USD	4,527.50	
13SEP17	B	2	PD13DEC17	6612.00	6790.10	USD	8,905.00	
13SEP17	S	1	PD13DEC17	6600.00	6790.10	USD	-4,752.50	
13SEP17	B	1	PD13DEC17	6561.00	6790.10	USD	5,727.50	
13SEP17	S	1	PD13DEC17	6578.00	6790.10	USD	-5,302.50	
13SEP17	B	1	PD13DEC17	6569.00	6790.10	USD	5,527.50	
13SEP17	S	2	PD13DEC17	6570.00	6790.10	USD	-11,005.00	
13SEP17	S	1	PD13DEC17	6575.00	6790.10	USD	-5,377.50	
13SEP17	B	1	PD13DEC17	6555.00	6790.10	USD	5,877.50	
13SEP17	B	2	PD13DEC17	6560.00	6790.10	USD	11,505.00	
29SEP17	S	3	PD13DEC17	6475.98	6790.10	USD	-23,559.00	
	NET	0 AVG BGHT 6591.50	SOLD 6557.69				-8,451.50	0.00
14SEP17	S	1	PD14DEC17	6493.00	6790.58	USD	-7,439.50	
14SEP17	S	1	PD14DEC17	6495.00	6790.58	USD	-7,389.50	
14SEP17	S	1	PD14DEC17	6496.50	6790.58	USD	-7,352.00	
14SEP17	B	1	PD14DEC17	6489.00	6790.58	USD	7,539.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6461.00	6790.58	USD	8,239.50	
14SEP17	S	1	PD14DEC17	6488.00	6790.58	USD	-7,564.50	
14SEP17	S	2	PD14DEC17	6499.00	6790.58	USD	-14,579.00	
14SEP17	S	1	PD14DEC17	6511.00	6790.58	USD	-6,989.50	
14SEP17	B	1	PD14DEC17	6496.00	6790.58	USD	7,364.50	
14SEP17	B	1	PD14DEC17	6500.00	6790.58	USD	7,264.50	
14SEP17	B	1	PD14DEC17	6514.00	6790.58	USD	6,914.50	
14SEP17	S	1	PD14DEC17	6513.00	6790.58	USD	-6,939.50	
14SEP17	S	1	PD14DEC17	6525.50	6790.58	USD	-6,627.00	
14SEP17	B	1	PD14DEC17	6522.50	6790.58	USD	6,702.00	
29SEP17	B	3	PD14DEC17	6476.65	6790.58	USD	23,544.75	
	NET	0AVG BGHT 6490.27	SOLD 6502.22				2,688.75	0.00
15SEP17	S	2	PD15DEC17	6498.50	6791.06	USD	-14,628.00	
15SEP17	B	1	PD15DEC17	6488.50	6791.06	USD	7,564.00	
15SEP17	B	1	PD15DEC17	6506.00	6791.06	USD	7,126.50	
15SEP17	S	1	PD15DEC17	6504.00	6791.06	USD	-7,176.50	
15SEP17	B	1	PD15DEC17	6512.00	6791.06	USD	6,976.50	
15SEP17	B	1	PD15DEC17	6503.00	6791.06	USD	7,201.50	
15SEP17	B	1	PD15DEC17	6490.00	6791.06	USD	7,526.50	
15SEP17	S	1	PD15DEC17	6478.00	6791.06	USD	-7,826.50	
15SEP17	B	1	PD15DEC17	6472.00	6791.06	USD	7,976.50	
15SEP17	B	2	PD15DEC17	6473.00	6791.06	USD	15,903.00	
15SEP17	S	3	PD15DEC17	6469.00	6791.06	USD	-24,154.50	
15SEP17	S	1	PD15DEC17	6467.50	6791.06	USD	-8,089.00	
15SEP17	B	1	PD15DEC17	6465.00	6791.06	USD	8,151.50	
15SEP17	S	1	PD15DEC17	6455.50	6791.06	USD	-8,389.00	
	NET	0AVG BGHT 6486.94	SOLD 6478.78				-1,837.50	0.00
18SEP17	S	1	PD18DEC17	6551.50	6792.50	USD	-6,025.00	
18SEP17	B	2	PD18DEC17	6550.00	6792.50	USD	12,125.00	
18SEP17	S	1	PD18DEC17	6556.00	6792.50	USD	-5,912.50	
18SEP17	S	1	PD18DEC17	6560.50	6792.50	USD	-5,800.00	
18SEP17	B	1	PD18DEC17	6535.50	6792.50	USD	6,425.00	
18SEP17	B	1	PD18DEC17	6537.50	6792.50	USD	6,375.00	
18SEP17	B	1	PD18DEC17	6545.00	6792.50	USD	6,187.50	
18SEP17	S	1	PD18DEC17	6540.00	6792.50	USD	-6,312.50	
18SEP17	S	1	PD18DEC17	6542.00	6792.50	USD	-6,262.50	
	NET	0AVG BGHT 6543.60	SOLD 6550.00				800.00	0.00
19SEP17	B	1	PD19DEC17	6517.50	6792.50	USD	6,875.00	
19SEP17	B	1	PD19DEC17	6533.00	6792.50	USD	6,487.50	
19SEP17	S	1	PD19DEC17	6518.00	6792.50	USD	-6,862.50	
19SEP17	S	1	PD19DEC17	6519.50	6792.50	USD	-6,825.00	
19SEP17	B	1	PD19DEC17	6537.00	6792.50	USD	6,387.50	
19SEP17	S	1	PD19DEC17	6532.00	6792.50	USD	-6,512.50	
19SEP17	S	1	PD19DEC17	6520.00	6792.50	USD	-6,812.50	
19SEP17	B	4	PD19DEC17	6525.00	6792.50	USD	26,750.00	
19SEP17	B	1	PD19DEC17	6509.00	6792.50	USD	7,087.50	
19SEP17	S	2	PD19DEC17	6509.00	6792.50	USD	-14,175.00	
19SEP17	B	1	PD19DEC17	6519.00	6792.50	USD	6,837.50	
29SEP17	S	3	PD19DEC17	6478.78	6792.50	USD	-23,529.00	
	NET	0AVG BGHT 6523.94	SOLD 6504.87				-4,291.50	0.00
20SEP17	S	1	PD20DEC17	6565.00	6792.50	USD	-5,687.50	
20SEP17	B	1	PD20DEC17	6558.00	6792.50	USD	5,862.50	
20SEP17	S	1	PD20DEC17	6558.00	6792.50	USD	-5,862.50	
20SEP17	B	1	PD20DEC17	6515.00	6792.50	USD	6,937.50	
	NET	0AVG BGHT 6536.50	SOLD 6561.50				1,250.00	0.00
21SEP17	S	1	PD21DEC17	6455.00	6791.50	USD	-8,412.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6445.00	6791.50	USD	-8,662.50	
21SEP17	B	1	PD21DEC17	6430.00	6791.50	USD	9,037.50	
21SEP17	S	1	PD21DEC17	6450.00	6791.50	USD	-8,537.50	
21SEP17	S	2	PD21DEC17	6460.50	6791.50	USD	-16,550.00	
21SEP17	B	1	PD21DEC17	6466.00	6791.50	USD	8,137.50	
21SEP17	S	1	PD21DEC17	6474.00	6791.50	USD	-7,937.50	
21SEP17	B	1	PD21DEC17	6462.00	6791.50	USD	8,237.50	
21SEP17	B	1	PD21DEC17	6477.00	6791.50	USD	7,862.50	
21SEP17	B	1	PD21DEC17	6479.00	6791.50	USD	7,812.50	
21SEP17	S	1	PD21DEC17	6479.00	6791.50	USD	-7,812.50	
21SEP17	S	1	PD21DEC17	6482.00	6791.50	USD	-7,737.50	
29SEP17	B	3	PD21DEC17	6478.45	6791.50	USD	23,478.75	
	NET	0 AVG BGHT 6468.67	SOLD 6463.25				-1,083.75	0.00
22SEP17	B	1	PD22DEC17	6430.00	6790.50	USD	9,012.50	
22SEP17	S	1	PD22DEC17	6426.00	6790.50	USD	-9,112.50	
22SEP17	B	1	PD22DEC17	6428.00	6790.50	USD	9,062.50	
22SEP17	S	1	PD22DEC17	6438.00	6790.50	USD	-8,812.50	
22SEP17	B	1	PD22DEC17	6416.00	6790.50	USD	9,362.50	
22SEP17	B	1	PD22DEC17	6420.00	6790.50	USD	9,262.50	
22SEP17	B	1	PD22DEC17	6425.00	6790.50	USD	9,137.50	
22SEP17	S	1	PD22DEC17	6417.00	6790.50	USD	-9,337.50	
22SEP17	B	1	PD22DEC17	6375.00	6790.50	USD	10,387.50	
22SEP17	S	2	PD22DEC17	6396.00	6790.50	USD	-19,725.00	
22SEP17	S	1	PD22DEC17	6420.00	6790.50	USD	-9,262.50	
22SEP17	S	1	PD22DEC17	6428.00	6790.50	USD	-9,062.50	
22SEP17	B	1	PD22DEC17	6435.00	6790.50	USD	8,887.50	
22SEP17	S	1	PD22DEC17	6435.00	6790.50	USD	-8,887.50	
22SEP17	B	1	PD22DEC17	6442.00	6790.50	USD	8,712.50	
22SEP17	S	1	PD22DEC17	6439.00	6790.50	USD	-8,787.50	
22SEP17	B	1	PD22DEC17	6425.50	6790.50	USD	9,125.00	
	NET	0 AVG BGHT 6421.83	SOLD 6421.67				-37.50	0.00
25SEP17	B	1	PD27DEC17	6462.00	6793.00	USD	8,275.00	
25SEP17	S	1	PD27DEC17	6458.00	6793.00	USD	-8,375.00	
26SEP17	S	1	PD27DEC17	6520.00	6793.00	USD	-6,825.00	
26SEP17	S	1	PD27DEC17	6524.00	6793.00	USD	-6,725.00	
26SEP17	B	1	PD27DEC17	6501.00	6793.00	USD	7,300.00	
26SEP17	B	1	PD27DEC17	6505.00	6793.00	USD	7,200.00	
26SEP17	B	1	PD27DEC17	6440.00	6793.00	USD	8,825.00	
26SEP17	B	1	PD27DEC17	6450.00	6793.00	USD	8,575.00	
26SEP17	S	2	PD27DEC17	6435.00	6793.00	USD	-17,900.00	
26SEP17	B	1	PD27DEC17	6415.00	6793.00	USD	9,450.00	
26SEP17	B	2	PD27DEC17	6410.00	6793.00	USD	19,150.00	
27SEP17	S	1	PD27DEC17	6452.00	6793.00	USD	-8,525.00	
27SEP17	S	2	PD27DEC17	6453.00	6793.00	USD	-17,000.00	
27SEP17	S	1	PD27DEC17	6443.00	6793.00	USD	-8,750.00	
27SEP17	B	1	PD27DEC17	6438.00	6793.00	USD	8,875.00	
	NET	0 AVG BGHT 6447.89	SOLD 6463.67				3,550.00	0.00
05OCT17	S	1	PD05JAN18	6635.00	6797.20	USD	-4,055.00	
05OCT17	S	1	PD05JAN18	6638.00	6797.20	USD	-3,980.00	
05OCT17	S	1	PD05JAN18	6642.00	6797.20	USD	-3,880.00	
05OCT17	B	1	PD05JAN18	6661.00	6797.20	USD	3,405.00	
05OCT17	S	1	PD05JAN18	6684.00	6797.20	USD	-2,830.00	
	NET	-3 AVG BGHT 6661.00	SOLD 6649.75				-11,340.00	0.00
10OCT17	B	3	PD10JAN18	6704.00	6799.53	USD	7,164.75	
	NET	+3 AVG BGHT 6704.00					7,164.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	2415.00	2564.25	USD	-3,731.25	
19SEP17	B	1	PD19DEC17	2410.00	2564.25	USD	3,856.25	
	NET	0AVG BGHT 2410.00	SOLD 2415.00				125.00	0.00
25SEP17	B	1	PD27DEC17	2500.00	2563.50	USD	1,587.50	
25SEP17	S	1	PD27DEC17	2500.00	2563.50	USD	-1,587.50	
	NET	0AVG BGHT 2500.00	SOLD 2500.00				0.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	11935.00	11104.34	USD	4,983.96	
01SEP17	B	1	PD01DEC17	12020.00	11104.34	USD	-5,493.96	
	NET	0AVG BGHT 12020.00	SOLD 11935.00				-510.00	0.00
04SEP17	B	1	PD04DEC17	12120.00	11106.66	USD	-6,080.04	
04SEP17	S	1	PD04DEC17	12220.00	11106.66	USD	6,680.04	
	NET	0AVG BGHT 12120.00	SOLD 12220.00				600.00	0.00
06SEP17	S	1	PD06DEC17	12070.00	11108.20	USD	5,770.80	
06SEP17	B	1	PD06DEC17	11970.00	11108.20	USD	-5,170.80	
06SEP17	B	1	PD06DEC17	12005.00	11108.20	USD	-5,380.80	
06SEP17	S	1	PD06DEC17	12075.00	11108.20	USD	5,800.80	
	NET	0AVG BGHT 11987.50	SOLD 12072.50				1,020.00	0.00
08SEP17	S	1	PD08DEC17	11870.00	11109.74	USD	4,561.56	
08SEP17	B	1	PD08DEC17	11955.00	11109.74	USD	-5,071.56	
08SEP17	B	2	PD08DEC17	11545.00	11109.74	USD	-5,223.12	
08SEP17	S	1	PD08DEC17	11540.00	11109.74	USD	2,581.56	
08SEP17	S	1	PD08DEC17	11580.00	11109.74	USD	2,821.56	
	NET	0AVG BGHT 11681.67	SOLD 11663.33				-330.00	0.00
13SEP17	B	1	PD13DEC17	11450.00	11113.60	USD	-2,018.40	
13SEP17	S	1	PD13DEC17	11390.00	11113.60	USD	1,658.40	
	NET	0AVG BGHT 11450.00	SOLD 11390.00				-360.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	20355.00	20937.50	USD	2,912.50	
23AUG17	S	1	PD24NOV17	20390.00	20937.50	USD	-2,737.50	
24AUG17	S	1	PD24NOV17	20470.00	20937.50	USD	-2,337.50	
24AUG17	B	1	PD24NOV17	20445.00	20937.50	USD	2,462.50	
24AUG17	B	1	PD24NOV17	20420.00	20937.50	USD	2,587.50	
24AUG17	S	1	PD24NOV17	20465.00	20937.50	USD	-2,362.50	
24AUG17	S	1	PD24NOV17	20475.00	20937.50	USD	-2,312.50	
24AUG17	B	1	PD24NOV17	20450.00	20937.50	USD	2,437.50	
25AUG17	B	1	PD24NOV17	20410.00	20937.50	USD	2,637.50	
25AUG17	B	1	PD24NOV17	20400.00	20937.50	USD	2,687.50	
25AUG17	S	1	PD24NOV17	20415.00	20937.50	USD	-2,612.50	
25AUG17	S	1	PD24NOV17	20420.00	20937.50	USD	-2,587.50	
	NET	0AVG BGHT 20413.33	SOLD 20439.17				775.00	0.00
29AUG17	B	2	PD29NOV17	20430.00	20931.25	USD	5,012.50	
29AUG17	S	1	PD29NOV17	20430.00	20931.25	USD	-2,506.25	
29AUG17	S	1	PD29NOV17	20465.00	20931.25	USD	-2,331.25	
	NET	0AVG BGHT 20430.00	SOLD 20447.50				175.00	0.00
06SEP17	B	2	PD06DEC17	20600.00	20920.83	USD	3,208.30	
06SEP17	S	1	PD06DEC17	20650.00	20920.83	USD	-1,354.15	
06SEP17	S	1	PD06DEC17	20640.00	20920.83	USD	-1,404.15	
	NET	0AVG BGHT 20600.00	SOLD 20645.00				450.00	0.00
22SEP17	S	2	PD22DEC17	20455.00	20895.38	USD	-4,403.80	
22SEP17	B	1	PD22DEC17	20450.00	20895.38	USD	2,226.90	
22SEP17	B	1	PD22DEC17	20520.00	20895.38	USD	1,876.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 20485.00 SOLD 20455.00				-300.00	0.00
25SEP17	B 1 PD27DEC17	20625.00	20893.08	USD	1,340.40	
25SEP17	S 1 PD27DEC17	20605.00	20893.08	USD	-1,440.40	
	NET 0AVG BGHT 20625.00 SOLD 20605.00				-100.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 1 PD04DEC17	3185.00	3233.00	USD	1,200.00	
04SEP17	S 1 PD04DEC17	3173.00	3233.00	USD	-1,500.00	
	NET 0AVG BGHT 3185.00 SOLD 3173.00				-300.00	0.00
11SEP17	S 1 PD11DEC17	3090.00	3231.33	USD	-3,533.25	
11SEP17	B 1 PD11DEC17	3103.00	3231.33	USD	3,208.25	
	NET 0AVG BGHT 3103.00 SOLD 3090.00				-325.00	0.00
13SEP17	S 1 PD13DEC17	3027.00	3230.67	USD	-5,091.75	
13SEP17	B 1 PD13DEC17	3032.00	3230.67	USD	4,966.75	
	NET 0AVG BGHT 3032.00 SOLD 3027.00				-125.00	0.00
25SEP17	S 1 PD27DEC17	3097.50	3224.00	USD	-3,162.50	
25SEP17	B 1 PD27DEC17	3092.00	3224.00	USD	3,300.00	
	NET 0AVG BGHT 3092.00 SOLD 3097.50				137.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-27,544.50
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	420.00
LME Tin 5 tonnes. This is an	FUTURES	1,000.00
LME Zinc 25 tonnes. This is	FUTURES	-612.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-27,369.75	-27,369.75		
LEAD - Futures USD	125.00	125.00		
NKL - Futures USD	420.00	420.00		
TIN - Futures USD	1,000.00	1,000.00		
ZINC - Futures USD	-612.50	-612.50	-26,437.25	-26,437.25

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		22,826.68	22,826.68
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		22,826.68	22,826.68
Initial Margins		-26,968.25	-26,968.25
Excess/(Shortage)		-4,141.57	-4,141.57
FOR INFORMATION ONLY			
Forward Unrealised P&L		-26,437.25	-26,437.25
Net Liquidating Value		-3,610.57	-3,610.57

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	6547.00	6780.52	USD	5,838.00	
23AUG17	S	1	PD24NOV17	6564.00	6780.52	USD	-5,413.00	
24AUG17	S	1	PD24NOV17	6608.50	6780.52	USD	-4,300.50	
24AUG17	S	1	PD24NOV17	6616.00	6780.52	USD	-4,113.00	
24AUG17	B	2	PD24NOV17	6620.00	6780.52	USD	8,026.00	
24AUG17	S	1	PD24NOV17	6679.50	6780.52	USD	-2,525.50	
24AUG17	B	1	PD24NOV17	6680.50	6780.52	USD	2,500.50	
24AUG17	S	1	PD24NOV17	6711.00	6780.52	USD	-1,738.00	
24AUG17	S	1	PD24NOV17	6705.00	6780.52	USD	-1,888.00	
25AUG17	B	1	PD24NOV17	6728.50	6780.52	USD	1,300.50	
29SEP17	B	1	PD24NOV17	6467.42	6780.52	USD	7,827.50	
	NET	0 AVG	BGHT 6610.57	SOLD 6647.33			5,514.50	0.00
29AUG17	B	1	PD29NOV17	6755.00	6783.18	USD	704.50	
29AUG17	S	1	PD29NOV17	6803.50	6783.18	USD	508.00	
	NET	0 AVG	BGHT 6755.00	SOLD 6803.50			1,212.50	0.00
30AUG17	B	1	PD30NOV17	6775.00	6783.72	USD	218.00	
30AUG17	B	1	PD30NOV17	6802.50	6783.72	USD	-469.50	
30AUG17	S	1	PD30NOV17	6801.00	6783.72	USD	432.00	
29SEP17	S	1	PD30NOV17	6470.72	6783.72	USD	-7,825.00	
	NET	0 AVG	BGHT 6788.75	SOLD 6635.86			-7,644.50	0.00
01SEP17	S	1	PD01DEC17	6824.00	6784.25	USD	993.75	
01SEP17	B	1	PD01DEC17	6804.00	6784.25	USD	-493.75	
01SEP17	S	1	PD01DEC17	6823.00	6784.25	USD	968.75	
01SEP17	S	1	PD01DEC17	6840.00	6784.25	USD	1,393.75	
29SEP17	B	2	PD01DEC17	6471.50	6784.25	USD	15,637.50	
	NET	0 AVG	BGHT 6582.33	SOLD 6829.00			18,500.00	0.00
04SEP17	B	1	PD04DEC17	6900.50	6785.75	USD	-2,868.75	
04SEP17	S	1	PD04DEC17	6904.00	6785.75	USD	2,956.25	
04SEP17	S	1	PD04DEC17	6890.50	6785.75	USD	2,618.75	
04SEP17	B	1	PD04DEC17	6914.50	6785.75	USD	-3,218.75	
04SEP17	S	1	PD04DEC17	6913.00	6785.75	USD	3,181.25	
04SEP17	B	1	PD04DEC17	6890.00	6785.75	USD	-2,606.25	
	NET	0 AVG	BGHT 6901.67	SOLD 6902.50			62.50	0.00
05SEP17	B	1	PD05DEC17	6932.50	6786.25	USD	-3,656.25	
05SEP17	B	1	PD05DEC17	6950.00	6786.25	USD	-4,093.75	
29SEP17	S	2	PD05DEC17	6472.05	6786.25	USD	-15,710.00	
	NET	0 AVG	BGHT 6941.25	SOLD 6472.05			-23,460.00	0.00
06SEP17	S	2	PD06DEC17	6886.00	6786.75	USD	4,962.50	
06SEP17	S	1	PD06DEC17	6911.00	6786.75	USD	3,106.25	
06SEP17	S	1	PD06DEC17	6910.00	6786.75	USD	3,081.25	
06SEP17	S	1	PD06DEC17	6919.50	6786.75	USD	3,318.75	
06SEP17	B	1	PD06DEC17	6885.00	6786.75	USD	-2,456.25	
06SEP17	B	3	PD06DEC17	6886.00	6786.75	USD	-7,443.75	
06SEP17	B	1	PD06DEC17	6887.00	6786.75	USD	-2,506.25	
06SEP17	S	1	PD06DEC17	6889.50	6786.75	USD	2,568.75	
06SEP17	B	1	PD06DEC17	6870.50	6786.75	USD	-2,093.75	
06SEP17	S	1	PD06DEC17	6890.00	6786.75	USD	2,581.25	
06SEP17	S	1	PD06DEC17	6891.00	6786.75	USD	2,606.25	
06SEP17	S	1	PD06DEC17	6899.00	6786.75	USD	2,806.25	
29SEP17	B	3	PD06DEC17	6472.72	6786.75	USD	23,552.25	
	NET	0 AVG	BGHT 6746.52	SOLD 6898.00			34,083.50	0.00
07SEP17	B	1	PD07DEC17	6864.00	6787.23	USD	-1,919.25	
07SEP17	B	1	PD07DEC17	6856.00	6787.23	USD	-1,719.25	
07SEP17	S	1	PD07DEC17	6878.00	6787.23	USD	2,269.25	
07SEP17	S	1	PD07DEC17	6905.00	6787.23	USD	2,944.25	

OPEN POSITION STATEMENT



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SAP0119J

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6860.00	SOLD 6891.50				1,575.00	0.00
08SEP17	B	1 PD08DEC17		6928.00	6787.71	USD	-3,507.25	
08SEP17	B	2 PD08DEC17		6928.50	6787.71	USD	-7,039.50	
08SEP17	B	1 PD08DEC17		6785.00	6787.71	USD	67.75	
08SEP17	S	1 PD08DEC17		6748.50	6787.71	USD	-980.25	
08SEP17	B	1 PD08DEC17		6702.50	6787.71	USD	2,130.25	
08SEP17	S	1 PD08DEC17		6696.00	6787.71	USD	-2,292.75	
08SEP17	B	1 PD08DEC17		6694.00	6787.71	USD	2,342.75	
29SEP17	S	3 PD08DEC17		6473.45	6787.71	USD	-23,569.50	
29SEP17	S	1 PD08DEC17		6473.65	6787.71	USD	-7,851.50	
	NET	0 AVG BGHT 6827.75	SOLD 6556.42				-40,700.00	0.00
11SEP17	S	1 PD11DEC17		6713.00	6789.15	USD	-1,903.75	
29SEP17	B	1 PD11DEC17		6475.25	6789.15	USD	7,847.50	
	NET	0 AVG BGHT 6475.25	SOLD 6713.00				5,943.75	0.00
12SEP17	S	1 PD12DEC17		6707.00	6789.63	USD	-2,065.75	
12SEP17	B	1 PD12DEC17		6692.50	6789.63	USD	2,428.25	
	NET	0 AVG BGHT 6692.50	SOLD 6707.00				362.50	0.00
13SEP17	B	1 PD13DEC17		6613.00	6790.10	USD	4,427.50	
13SEP17	S	1 PD13DEC17		6558.50	6790.10	USD	-5,790.00	
13SEP17	B	1 PD13DEC17		6560.50	6790.10	USD	5,740.00	
13SEP17	B	1 PD13DEC17		6550.50	6790.10	USD	5,990.00	
13SEP17	S	1 PD13DEC17		6548.00	6790.10	USD	-6,052.50	
13SEP17	S	1 PD13DEC17		6569.00	6790.10	USD	-5,527.50	
13SEP17	S	1 PD13DEC17		6574.50	6790.10	USD	-5,390.00	
13SEP17	B	3 PD13DEC17		6563.00	6790.10	USD	17,032.50	
13SEP17	S	1 PD13DEC17		6565.00	6790.10	USD	-5,627.50	
13SEP17	B	1 PD13DEC17		6550.00	6790.10	USD	6,002.50	
29SEP17	S	2 PD13DEC17		6475.98	6790.10	USD	-15,706.00	
	NET	0 AVG BGHT 6566.14	SOLD 6538.14				-4,901.00	0.00
14SEP17	S	1 PD14DEC17		6494.00	6790.58	USD	-7,414.50	
14SEP17	S	1 PD14DEC17		6498.00	6790.58	USD	-7,314.50	
14SEP17	B	1 PD14DEC17		6506.50	6790.58	USD	7,102.00	
14SEP17	S	1 PD14DEC17		6502.50	6790.58	USD	-7,202.00	
14SEP17	S	1 PD14DEC17		6504.00	6790.58	USD	-7,164.50	
14SEP17	B	1 PD14DEC17		6514.00	6790.58	USD	6,914.50	
14SEP17	S	1 PD14DEC17		6514.00	6790.58	USD	-6,914.50	
14SEP17	S	1 PD14DEC17		6527.00	6790.58	USD	-6,589.50	
14SEP17	B	1 PD14DEC17		6522.50	6790.58	USD	6,702.00	
14SEP17	B	1 PD14DEC17		6505.00	6790.58	USD	7,139.50	
29SEP17	B	2 PD14DEC17		6476.65	6790.58	USD	15,696.50	
	NET	0 AVG BGHT 6500.22	SOLD 6506.58				955.00	0.00
15SEP17	B	1 PD15DEC17		6510.00	6791.06	USD	7,026.50	
15SEP17	S	1 PD15DEC17		6507.00	6791.06	USD	-7,101.50	
	NET	0 AVG BGHT 6510.00	SOLD 6507.00				-75.00	0.00
18SEP17	B	1 PD18DEC17		6528.00	6792.50	USD	6,612.50	
18SEP17	B	1 PD18DEC17		6530.00	6792.50	USD	6,562.50	
18SEP17	S	1 PD18DEC17		6538.00	6792.50	USD	-6,362.50	
18SEP17	S	1 PD18DEC17		6543.50	6792.50	USD	-6,225.00	
	NET	0 AVG BGHT 6529.00	SOLD 6540.75				587.50	0.00
19SEP17	B	1 PD19DEC17		6515.00	6792.50	USD	6,937.50	
29SEP17	S	1 PD19DEC17		6478.78	6792.50	USD	-7,843.00	
	NET	0 AVG BGHT 6515.00	SOLD 6478.78				-905.50	0.00
20SEP17	B	1 PD20DEC17		6538.50	6792.50	USD	6,350.00	
20SEP17	B	1 PD20DEC17		6539.50	6792.50	USD	6,325.00	
20SEP17	S	1 PD20DEC17		6565.00	6792.50	USD	-5,687.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
20SEP17	S 1 PD20DEC17	6552.50	6792.50	USD	-6,000.00	
	NET 0 AVG BGHT 6539.00 SOLD 6558.75				987.50	0.00
21SEP17	S 1 PD21DEC17	6499.00	6791.50	USD	-7,312.50	
21SEP17	S 1 PD21DEC17	6469.00	6791.50	USD	-8,062.50	
21SEP17	B 1 PD21DEC17	6463.00	6791.50	USD	8,212.50	
29SEP17	B 1 PD21DEC17	6478.45	6791.50	USD	7,826.25	
	NET 0 AVG BGHT 6470.73 SOLD 6484.00				663.75	0.00
22SEP17	B 1 PD22DEC17	6375.00	6790.50	USD	10,387.50	
22SEP17	S 2 PD22DEC17	6408.00	6790.50	USD	-19,125.00	
22SEP17	B 1 PD22DEC17	6411.50	6790.50	USD	9,475.00	
22SEP17	S 1 PD22DEC17	6420.50	6790.50	USD	-9,250.00	
22SEP17	B 1 PD22DEC17	6466.00	6790.50	USD	8,112.50	
22SEP17	S 1 PD22DEC17	6476.00	6790.50	USD	-7,862.50	
22SEP17	B 1 PD22DEC17	6412.50	6790.50	USD	9,450.00	
	NET 0 AVG BGHT 6416.25 SOLD 6428.13				1,187.50	0.00
26SEP17	B 1 PD27DEC17	6431.50	6793.00	USD	9,037.50	
26SEP17	S 1 PD27DEC17	6409.50	6793.00	USD	-9,587.50	
	NET 0 AVG BGHT 6431.50 SOLD 6409.50				-550.00	0.00
28SEP17	B 1 PD28DEC17	6510.00	6793.47	USD	7,086.75	
28SEP17	S 1 PD28DEC17	6528.00	6793.47	USD	-6,636.75	
28SEP17	B 1 PD28DEC17	6520.50	6793.47	USD	6,824.25	
28SEP17	S 1 PD28DEC17	6504.00	6793.47	USD	-7,236.75	
	NET 0 AVG BGHT 6515.25 SOLD 6516.00				37.50	0.00
03OCT17	B 1 PD03JAN18	6503.00	6796.27	USD	7,331.75	
03OCT17	S 1 PD03JAN18	6499.00	6796.27	USD	-7,431.75	
	NET 0 AVG BGHT 6503.00 SOLD 6499.00				-100.00	0.00
05OCT17	S 1 PD05JAN18	6629.00	6797.20	USD	-4,205.00	
05OCT17	S 1 PD05JAN18	6630.00	6797.20	USD	-4,180.00	
05OCT17	S 1 PD05JAN18	6632.00	6797.20	USD	-4,130.00	
	NET -3 AVG SOLD 6630.33				-12,515.00	0.00
10OCT17	B 1 PD10JAN18	6709.00	6799.53	USD	2,263.25	
10OCT17	B 2 PD10JAN18	6695.50	6799.53	USD	5,201.50	
	NET +3 AVG BGHT 6700.00				7,464.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
06SEP17	S 1 PD06DEC17	2324.50	2561.00	USD	-5,912.50	
06SEP17	B 1 PD06DEC17	2331.00	2561.00	USD	5,750.00	
06SEP17	S 1 PD06DEC17	2333.00	2561.00	USD	-5,700.00	
06SEP17	S 1 PD06DEC17	2345.00	2561.00	USD	-5,400.00	
06SEP17	S 1 PD06DEC17	2353.50	2561.00	USD	-5,187.50	
06SEP17	B 2 PD06DEC17	2359.00	2561.00	USD	10,100.00	
06SEP17	S 1 PD06DEC17	2358.00	2561.00	USD	-5,075.00	
06SEP17	S 1 PD06DEC17	2357.50	2561.00	USD	-5,087.50	
06SEP17	B 2 PD06DEC17	2360.00	2561.00	USD	10,050.00	
06SEP17	B 1 PD06DEC17	2362.00	2561.00	USD	4,975.00	
	NET 0 AVG BGHT 2355.17 SOLD 2345.25				-1,487.50	0.00
15SEP17	S 1 PD15DEC17	2343.00	2563.25	USD	-5,506.25	
15SEP17	B 1 PD15DEC17	2358.00	2563.25	USD	5,131.25	
	NET 0 AVG BGHT 2358.00 SOLD 2343.00				-375.00	0.00
22SEP17	S 1 PD22DEC17	2467.00	2563.50	USD	-2,412.50	
22SEP17	B 1 PD22DEC17	2471.00	2563.50	USD	2,312.50	
22SEP17	S 1 PD22DEC17	2483.00	2563.50	USD	-2,012.50	
22SEP17	B 1 PD22DEC17	2467.00	2563.50	USD	2,412.50	
	NET 0 AVG BGHT 2469.00 SOLD 2475.00				300.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	2485.00	2563.50	USD	-1,962.50	
25SEP17	B	1	PD27DEC17	2480.50	2563.50	USD	2,075.00	
	NET	0AVG BGHT 2480.50	SOLD 2485.00				112.50	0.00
02OCT17	B	1	PD02JAN18	2530.00	2564.10	USD	852.50	
02OCT17	S	1	PD02JAN18	2529.50	2564.10	USD	-865.00	
	NET	0AVG BGHT 2530.00	SOLD 2529.50				-12.50	0.00
03OCT17	S	1	PD03JAN18	2518.00	2564.20	USD	-1,155.00	
03OCT17	B	1	PD03JAN18	2522.00	2564.20	USD	1,055.00	
03OCT17	S	1	PD03JAN18	2529.50	2564.20	USD	-867.50	
03OCT17	B	1	PD03JAN18	2532.50	2564.20	USD	792.50	
03OCT17	S	1	PD03JAN18	2561.50	2564.20	USD	-67.50	
03OCT17	B	1	PD03JAN18	2553.00	2564.20	USD	280.00	
	NET	0AVG BGHT 2535.83	SOLD 2536.33				37.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	1	PD22NOV17	11525.00	11097.40	USD	2,565.60	
22AUG17	B	1	PD22NOV17	11535.00	11097.40	USD	-2,625.60	
	NET	0AVG BGHT 11535.00	SOLD 11525.00				-60.00	0.00
29AUG17	S	1	PD29NOV17	11805.00	11102.80	USD	4,213.20	
29AUG17	B	1	PD29NOV17	11840.00	11102.80	USD	-4,423.20	
	NET	0AVG BGHT 11840.00	SOLD 11805.00				-210.00	0.00
05SEP17	B	1	PD05DEC17	12035.00	11107.43	USD	-5,565.42	
05SEP17	S	1	PD05DEC17	12020.00	11107.43	USD	5,475.42	
	NET	0AVG BGHT 12035.00	SOLD 12020.00				-90.00	0.00
12SEP17	S	1	PD12DEC17	11880.00	11112.83	USD	4,603.02	
12SEP17	B	1	PD12DEC17	11915.00	11112.83	USD	-4,813.02	
	NET	0AVG BGHT 11915.00	SOLD 11880.00				-210.00	0.00
14SEP17	S	1	PD14DEC17	11340.00	11114.37	USD	1,353.78	
14SEP17	B	1	PD14DEC17	11355.00	11114.37	USD	-1,443.78	
	NET	0AVG BGHT 11355.00	SOLD 11340.00				-90.00	0.00
18SEP17	S	1	PD18DEC17	11185.00	11117.46	USD	405.24	
18SEP17	B	1	PD18DEC17	11190.00	11117.46	USD	-435.24	
	NET	0AVG BGHT 11190.00	SOLD 11185.00				-30.00	0.00
21SEP17	B	1	PD21DEC17	11140.00	11119.73	USD	-121.62	
21SEP17	S	1	PD21DEC17	11130.00	11119.73	USD	61.62	
21SEP17	B	1	PD21DEC17	10725.00	11119.73	USD	2,368.38	
21SEP17	S	1	PD21DEC17	10690.00	11119.73	USD	-2,578.38	
	NET	0AVG BGHT 10932.50	SOLD 10910.00				-270.00	0.00
02OCT17	B	1	PD02JAN18	10430.00	11128.45	USD	4,190.70	
02OCT17	S	1	PD02JAN18	10450.00	11128.45	USD	-4,070.70	
02OCT17	S	1	PD02JAN18	10360.00	11128.45	USD	-4,610.70	
02OCT17	B	1	PD02JAN18	10375.00	11128.45	USD	4,520.70	
	NET	0AVG BGHT 10402.50	SOLD 10405.00				30.00	0.00
03OCT17	B	1	PD03JAN18	10750.00	11129.18	USD	2,275.08	
03OCT17	S	1	PD03JAN18	10740.00	11129.18	USD	-2,335.08	
03OCT17	B	1	PD03JAN18	10625.00	11129.18	USD	3,025.08	
03OCT17	S	1	PD03JAN18	10575.00	11129.18	USD	-3,325.08	
03OCT17	B	1	PD03JAN18	10555.00	11129.18	USD	3,445.08	
03OCT17	S	1	PD03JAN18	10585.00	11129.18	USD	-3,265.08	
	NET	0AVG BGHT 10643.33	SOLD 10633.33				-180.00	0.00
04OCT17	S	1	PD04JAN18	10800.00	11129.91	USD	-1,979.46	
04OCT17	B	1	PD04JAN18	10805.00	11129.91	USD	1,949.46	
	NET	0AVG BGHT 10805.00	SOLD 10800.00				-30.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	10785.00	11133.55	USD	-2,091.30	
09OCT17	B	1	PD09JAN18	10850.00	11133.55	USD	1,701.30	
	NET	0AVG BGHT 10850.00	SOLD 10785.00				-390.00	0.00
10OCT17	B	1	PD10JAN18	10960.00	11134.27	USD	1,045.62	
10OCT17	S	1	PD10JAN18	10870.00	11134.27	USD	-1,585.62	
10OCT17	B	1	PD10JAN18	10905.00	11134.27	USD	1,375.62	
	NET	+1AVG BGHT 10932.50	SOLD 10870.00				835.62	0.00
11OCT17	S	1	PD11JAN18	11180.00	11135.00	USD	270.00	
	NET	-1AVG SOLD 11180.00					270.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	20300.00	20945.83	USD	6,458.30	
18AUG17	S	1	PD17NOV17	20200.00	20945.83	USD	-3,729.15	
18AUG17	B	1	PD17NOV17	20230.00	20945.83	USD	3,579.15	
18AUG17	S	2	PD17NOV17	20290.00	20945.83	USD	-6,558.30	
18AUG17	S	1	PD17NOV17	20325.00	20945.83	USD	-3,104.15	
18AUG17	S	1	PD17NOV17	20335.00	20945.83	USD	-3,054.15	
18AUG17	B	1	PD17NOV17	20265.00	20945.83	USD	3,404.15	
18AUG17	B	1	PD17NOV17	20220.00	20945.83	USD	3,629.15	
18AUG17	B	1	PD17NOV17	20200.00	20945.83	USD	3,729.15	
18AUG17	S	1	PD17NOV17	20230.00	20945.83	USD	-3,579.15	
	NET	0AVG BGHT 20252.50	SOLD 20278.33				775.00	0.00
21AUG17	B	1	PD21NOV17	20330.00	20941.67	USD	3,058.35	
21AUG17	S	1	PD21NOV17	20375.00	20941.67	USD	-2,833.35	
21AUG17	B	1	PD21NOV17	20350.00	20941.67	USD	2,958.35	
21AUG17	S	1	PD21NOV17	20390.00	20941.67	USD	-2,758.35	
21AUG17	S	1	PD21NOV17	20370.00	20941.67	USD	-2,858.35	
21AUG17	S	2	PD21NOV17	20360.00	20941.67	USD	-5,816.70	
21AUG17	B	4	PD21NOV17	20335.00	20941.67	USD	12,133.40	
21AUG17	S	1	PD21NOV17	20355.00	20941.67	USD	-2,933.35	
21AUG17	S	1	PD21NOV17	20335.00	20941.67	USD	-3,033.35	
21AUG17	B	1	PD21NOV17	20320.00	20941.67	USD	3,108.35	
21AUG17	S	1	PD21NOV17	20415.00	20941.67	USD	-2,633.35	
21AUG17	B	1	PD21NOV17	20400.00	20941.67	USD	2,708.35	
	NET	0AVG BGHT 20342.50	SOLD 20370.00				1,100.00	0.00
22AUG17	B	1	PD22NOV17	20480.00	20939.58	USD	2,297.90	
22AUG17	S	1	PD22NOV17	20485.00	20939.58	USD	-2,272.90	
22AUG17	S	1	PD22NOV17	20450.00	20939.58	USD	-2,447.90	
22AUG17	S	1	PD22NOV17	20295.00	20939.58	USD	-3,222.90	
22AUG17	B	1	PD22NOV17	20265.00	20939.58	USD	3,372.90	
22AUG17	B	1	PD22NOV17	20425.00	20939.58	USD	2,572.90	
	NET	0AVG BGHT 20390.00	SOLD 20410.00				300.00	0.00
23AUG17	B	1	PD24NOV17	20290.00	20937.50	USD	3,237.50	
23AUG17	S	1	PD24NOV17	20360.00	20937.50	USD	-2,887.50	
23AUG17	S	1	PD24NOV17	20385.00	20937.50	USD	-2,762.50	
23AUG17	S	1	PD24NOV17	20395.00	20937.50	USD	-2,712.50	
23AUG17	B	1	PD24NOV17	20455.00	20937.50	USD	2,412.50	
23AUG17	B	1	PD24NOV17	20350.00	20937.50	USD	2,937.50	
24AUG17	B	1	PD24NOV17	20550.00	20937.50	USD	1,937.50	
24AUG17	S	1	PD24NOV17	20570.00	20937.50	USD	-1,837.50	
24AUG17	S	1	PD24NOV17	20515.00	20937.50	USD	-2,112.50	
24AUG17	B	1	PD24NOV17	20490.00	20937.50	USD	2,237.50	
24AUG17	B	1	PD24NOV17	20505.00	20937.50	USD	2,162.50	
24AUG17	B	1	PD24NOV17	20430.00	20937.50	USD	2,537.50	
24AUG17	S	1	PD24NOV17	20465.00	20937.50	USD	-2,362.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

11th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	20425.00	20937.50	USD	2,562.50	
24AUG17	S	1	PD24NOV17	20460.00	20937.50	USD	-2,387.50	
24AUG17	S	2	PD24NOV17	20495.00	20937.50	USD	-4,425.00	
24AUG17	B	1	PD24NOV17	20500.00	20937.50	USD	2,187.50	
24AUG17	S	2	PD24NOV17	20525.00	20937.50	USD	-4,125.00	
24AUG17	B	1	PD24NOV17	20515.00	20937.50	USD	2,112.50	
24AUG17	B	1	PD24NOV17	20510.00	20937.50	USD	2,137.50	
24AUG17	B	1	PD24NOV17	20475.00	20937.50	USD	2,312.50	
24AUG17	B	2	PD24NOV17	20495.00	20937.50	USD	4,425.00	
24AUG17	S	2	PD24NOV17	20530.00	20937.50	USD	-4,075.00	
24AUG17	S	1	PD24NOV17	20450.00	20937.50	USD	-2,437.50	
25AUG17	S	1	PD24NOV17	20425.00	20937.50	USD	-2,562.50	
25AUG17	B	1	PD24NOV17	20415.00	20937.50	USD	2,612.50	
25AUG17	B	1	PD24NOV17	20420.00	20937.50	USD	2,587.50	
25AUG17	S	1	PD24NOV17	20385.00	20937.50	USD	-2,762.50	
	NET	0AVG	BGHT 20457.50	SOLD 20469.38			950.00	0.00
29AUG17	B	1	PD29NOV17	20550.00	20931.25	USD	1,906.25	
29AUG17	S	1	PD29NOV17	20610.00	20931.25	USD	-1,606.25	
29AUG17	S	1	PD29NOV17	20425.00	20931.25	USD	-2,531.25	
29AUG17	B	1	PD29NOV17	20475.00	20931.25	USD	2,281.25	
29AUG17	S	1	PD29NOV17	20520.00	20931.25	USD	-2,056.25	
29AUG17	B	1	PD29NOV17	20500.00	20931.25	USD	2,156.25	
	NET	0AVG	BGHT 20508.33	SOLD 20518.33			150.00	0.00
30AUG17	B	1	PD30NOV17	20400.00	20929.17	USD	2,645.85	
30AUG17	S	1	PD30NOV17	20435.00	20929.17	USD	-2,470.85	
30AUG17	S	1	PD30NOV17	20500.00	20929.17	USD	-2,145.85	
30AUG17	S	1	PD30NOV17	20530.00	20929.17	USD	-1,995.85	
30AUG17	S	2	PD30NOV17	20595.00	20929.17	USD	-3,341.70	
30AUG17	B	4	PD30NOV17	20600.00	20929.17	USD	6,583.40	
30AUG17	B	1	PD30NOV17	20545.00	20929.17	USD	1,920.85	
30AUG17	B	1	PD30NOV17	20550.00	20929.17	USD	1,895.85	
30AUG17	S	1	PD30NOV17	20645.00	20929.17	USD	-1,420.85	
30AUG17	S	1	PD30NOV17	20650.00	20929.17	USD	-1,395.85	
	NET	0AVG	BGHT 20556.43	SOLD 20564.29			275.00	0.00
01SEP17	S	1	PD01DEC17	20720.00	20927.08	USD	-1,035.40	
01SEP17	S	1	PD01DEC17	20730.00	20927.08	USD	-985.40	
01SEP17	S	1	PD01DEC17	20750.00	20927.08	USD	-885.40	
01SEP17	B	1	PD01DEC17	20760.00	20927.08	USD	835.40	
01SEP17	B	2	PD01DEC17	20710.00	20927.08	USD	2,170.80	
01SEP17	B	1	PD01DEC17	20705.00	20927.08	USD	1,110.40	
01SEP17	B	2	PD01DEC17	20750.00	20927.08	USD	1,770.80	
01SEP17	S	1	PD01DEC17	20765.00	20927.08	USD	-810.40	
01SEP17	S	1	PD01DEC17	20775.00	20927.08	USD	-760.40	
01SEP17	B	1	PD01DEC17	20725.00	20927.08	USD	1,010.40	
01SEP17	S	1	PD01DEC17	20715.00	20927.08	USD	-1,060.40	
01SEP17	S	2	PD01DEC17	20705.00	20927.08	USD	-2,220.80	
01SEP17	S	1	PD01DEC17	20710.00	20927.08	USD	-1,085.40	
01SEP17	B	2	PD01DEC17	20700.00	20927.08	USD	2,270.80	
01SEP17	B	1	PD01DEC17	20675.00	20927.08	USD	1,260.40	
01SEP17	S	1	PD01DEC17	20695.00	20927.08	USD	-1,160.40	
	NET	0AVG	BGHT 20718.50	SOLD 20727.00			425.00	0.00
04SEP17	B	1	PD04DEC17	20735.00	20925.00	USD	950.00	
04SEP17	B	1	PD04DEC17	20700.00	20925.00	USD	1,125.00	
04SEP17	S	1	PD04DEC17	20690.00	20925.00	USD	-1,175.00	
04SEP17	S	4	PD04DEC17	20730.00	20925.00	USD	-3,900.00	
04SEP17	B	1	PD04DEC17	20760.00	20925.00	USD	825.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD04DEC17	20745.00	20925.00	USD	1,800.00	
	NET	0 AVG BGHT 20737.00	SOLD 20722.00				-375.00	0.00
05SEP17	B	2	PD05DEC17	20800.00	20922.92	USD	1,229.20	
05SEP17	S	2	PD05DEC17	20700.00	20922.92	USD	-2,229.20	
05SEP17	B	1	PD05DEC17	20740.00	20922.92	USD	914.60	
05SEP17	B	1	PD05DEC17	20765.00	20922.92	USD	789.60	
05SEP17	S	1	PD05DEC17	20710.00	20922.92	USD	-1,064.60	
05SEP17	B	1	PD05DEC17	20685.00	20922.92	USD	1,189.60	
05SEP17	B	1	PD05DEC17	20700.00	20922.92	USD	1,114.60	
05SEP17	S	1	PD05DEC17	20695.00	20922.92	USD	-1,139.60	
29SEP17	S	2	PD05DEC17	20741.92	20922.92	USD	-1,810.00	
	NET	0 AVG BGHT 20748.33	SOLD 20714.81				-1,005.80	0.00
06SEP17	B	1	PD06DEC17	20685.00	20920.83	USD	1,179.15	
06SEP17	S	1	PD06DEC17	20690.00	20920.83	USD	-1,154.15	
06SEP17	B	1	PD06DEC17	20605.00	20920.83	USD	1,579.15	
06SEP17	B	1	PD06DEC17	20640.00	20920.83	USD	1,404.15	
06SEP17	S	2	PD06DEC17	20630.00	20920.83	USD	-2,908.30	
	NET	0 AVG BGHT 20643.33	SOLD 20650.00				100.00	0.00
07SEP17	S	1	PD07DEC17	20740.00	20918.75	USD	-893.75	
07SEP17	B	1	PD07DEC17	20730.00	20918.75	USD	943.75	
07SEP17	S	1	PD07DEC17	20715.00	20918.75	USD	-1,018.75	
07SEP17	B	2	PD07DEC17	20700.00	20918.75	USD	2,187.50	
07SEP17	S	2	PD07DEC17	20770.00	20918.75	USD	-1,487.50	
07SEP17	B	1	PD07DEC17	20760.00	20918.75	USD	793.75	
	NET	0 AVG BGHT 20722.50	SOLD 20748.75				525.00	0.00
08SEP17	S	1	PD08DEC17	20800.00	20916.67	USD	-583.35	
08SEP17	B	1	PD08DEC17	20740.00	20916.67	USD	883.35	
08SEP17	S	1	PD08DEC17	20695.00	20916.67	USD	-1,108.35	
08SEP17	B	1	PD08DEC17	20700.00	20916.67	USD	1,083.35	
08SEP17	S	1	PD08DEC17	20700.00	20916.67	USD	-1,083.35	
08SEP17	B	1	PD08DEC17	20710.00	20916.67	USD	1,033.35	
08SEP17	B	1	PD08DEC17	20755.00	20916.67	USD	808.35	
08SEP17	S	1	PD08DEC17	20745.00	20916.67	USD	-858.35	
08SEP17	B	1	PD08DEC17	20630.00	20916.67	USD	1,433.35	
08SEP17	S	1	PD08DEC17	20615.00	20916.67	USD	-1,508.35	
	NET	0 AVG BGHT 20707.00	SOLD 20711.00				100.00	0.00
11SEP17	S	1	PD11DEC17	20740.00	20914.58	USD	-872.90	
11SEP17	B	1	PD11DEC17	20745.00	20914.58	USD	847.90	
11SEP17	B	1	PD11DEC17	20705.00	20914.58	USD	1,047.90	
11SEP17	S	1	PD11DEC17	20720.00	20914.58	USD	-972.90	
	NET	0 AVG BGHT 20725.00	SOLD 20730.00				50.00	0.00
12SEP17	B	1	PD12DEC17	20670.00	20912.50	USD	1,212.50	
12SEP17	B	1	PD12DEC17	20695.00	20912.50	USD	1,087.50	
12SEP17	S	1	PD12DEC17	20690.00	20912.50	USD	-1,112.50	
12SEP17	S	1	PD12DEC17	20710.00	20912.50	USD	-1,012.50	
	NET	0 AVG BGHT 20682.50	SOLD 20700.00				175.00	0.00
13SEP17	S	1	PD13DEC17	20665.00	20910.42	USD	-1,227.10	
13SEP17	B	1	PD13DEC17	20635.00	20910.42	USD	1,377.10	
13SEP17	B	1	PD13DEC17	20620.00	20910.42	USD	1,452.10	
13SEP17	S	1	PD13DEC17	20635.00	20910.42	USD	-1,377.10	
13SEP17	B	1	PD13DEC17	20550.00	20910.42	USD	1,802.10	
13SEP17	S	1	PD13DEC17	20595.00	20910.42	USD	-1,577.10	
	NET	0 AVG BGHT 20601.67	SOLD 20631.67				450.00	0.00
14SEP17	B	1	PD14DEC17	20570.00	20908.33	USD	1,691.65	
14SEP17	S	1	PD14DEC17	20590.00	20908.33	USD	-1,591.65	

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14SEP17	S	1	PD14DEC17	20675.00	20908.33	USD	-1,166.65	
14SEP17	B	1	PD14DEC17	20635.00	20908.33	USD	1,366.65	
14SEP17	B	1	PD14DEC17	20550.00	20908.33	USD	1,791.65	
14SEP17	S	1	PD14DEC17	20555.00	20908.33	USD	-1,766.65	
	NET	0 AVG BGHT 20585.00	SOLD 20606.67				325.00	0.00
15SEP17	S	1	PD15DEC17	20595.00	20906.25	USD	-1,556.25	
15SEP17	B	1	PD15DEC17	20580.00	20906.25	USD	1,631.25	
15SEP17	S	1	PD15DEC17	20550.00	20906.25	USD	-1,781.25	
15SEP17	B	1	PD15DEC17	20535.00	20906.25	USD	1,856.25	
	NET	0 AVG BGHT 20557.50	SOLD 20572.50				150.00	0.00
18SEP17	S	1	PD18DEC17	20735.00	20904.17	USD	-845.85	
18SEP17	B	1	PD18DEC17	20640.00	20904.17	USD	1,320.85	
18SEP17	B	1	PD18DEC17	20635.00	20904.17	USD	1,345.85	
18SEP17	S	1	PD18DEC17	20650.00	20904.17	USD	-1,270.85	
18SEP17	B	1	PD18DEC17	20655.00	20904.17	USD	1,245.85	
18SEP17	S	1	PD18DEC17	20615.00	20904.17	USD	-1,445.85	
	NET	0 AVG BGHT 20643.33	SOLD 20666.67				350.00	0.00
19SEP17	S	1	PD19DEC17	20720.00	20902.08	USD	-910.40	
19SEP17	S	1	PD19DEC17	20715.00	20902.08	USD	-935.40	
19SEP17	B	1	PD19DEC17	20700.00	20902.08	USD	1,010.40	
19SEP17	B	1	PD19DEC17	20695.00	20902.08	USD	1,035.40	
	NET	0 AVG BGHT 20697.50	SOLD 20717.50				200.00	0.00
20SEP17	B	1	PD20DEC17	20750.00	20900.00	USD	750.00	
20SEP17	S	1	PD20DEC17	20645.00	20900.00	USD	-1,275.00	
	NET	0 AVG BGHT 20750.00	SOLD 20645.00				-525.00	0.00
21SEP17	B	1	PD21DEC17	20560.00	20897.69	USD	1,688.45	
21SEP17	S	1	PD21DEC17	20540.00	20897.69	USD	-1,788.45	
	NET	0 AVG BGHT 20560.00	SOLD 20540.00				-100.00	0.00
22SEP17	S	1	PD22DEC17	20455.00	20895.38	USD	-2,201.90	
22SEP17	B	1	PD22DEC17	20445.00	20895.38	USD	2,251.90	
22SEP17	S	1	PD22DEC17	20615.00	20895.38	USD	-1,401.90	
22SEP17	S	1	PD22DEC17	20640.00	20895.38	USD	-1,276.90	
22SEP17	B	1	PD22DEC17	20585.00	20895.38	USD	1,551.90	
22SEP17	B	1	PD22DEC17	20605.00	20895.38	USD	1,451.90	
22SEP17	S	2	PD22DEC17	20575.00	20895.38	USD	-3,203.80	
22SEP17	B	2	PD22DEC17	20555.00	20895.38	USD	3,403.80	
	NET	0 AVG BGHT 20549.00	SOLD 20572.00				575.00	0.00
25SEP17	B	2	PD27DEC17	20625.00	20893.08	USD	2,680.80	
25SEP17	S	1	PD27DEC17	20605.00	20893.08	USD	-1,440.40	
25SEP17	S	1	PD27DEC17	20580.00	20893.08	USD	-1,565.40	
25SEP17	S	1	PD27DEC17	20585.00	20893.08	USD	-1,540.40	
25SEP17	B	1	PD27DEC17	20600.00	20893.08	USD	1,465.40	
25SEP17	S	1	PD27DEC17	20645.00	20893.08	USD	-1,240.40	
25SEP17	B	1	PD27DEC17	20675.00	20893.08	USD	1,090.40	
25SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
25SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
26SEP17	S	1	PD27DEC17	20645.00	20893.08	USD	-1,240.40	
26SEP17	B	1	PD27DEC17	20655.00	20893.08	USD	1,190.40	
27SEP17	S	1	PD27DEC17	20670.00	20893.08	USD	-1,115.40	
27SEP17	S	1	PD27DEC17	20675.00	20893.08	USD	-1,090.40	
27SEP17	S	1	PD27DEC17	20690.00	20893.08	USD	-1,015.40	
27SEP17	B	1	PD27DEC17	20635.00	20893.08	USD	1,290.40	
27SEP17	B	1	PD27DEC17	20665.00	20893.08	USD	1,140.40	
27SEP17	S	1	PD27DEC17	20680.00	20893.08	USD	-1,065.40	
27SEP17	B	2	PD27DEC17	20650.00	20893.08	USD	2,430.80	

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	NET	0 AVG BGHT 20643.50	SOLD 20645.00				75.00	0.00
29SEP17	S	1 PD29DEC17		20695.00	20888.46	USD	-967.30	
29SEP17	B	1 PD29DEC17		20705.00	20888.46	USD	917.30	
29SEP17	S	1 PD29DEC17		20770.00	20888.46	USD	-592.30	
29SEP17	B	1 PD29DEC17		20720.00	20888.46	USD	842.30	
	NET	0 AVG BGHT 20712.50	SOLD 20732.50				200.00	0.00
02OCT17	B	1 PD02JAN18		20670.00	20886.15	USD	1,080.75	
02OCT17	S	1 PD02JAN18		20690.00	20886.15	USD	-980.75	
02OCT17	S	2 PD02JAN18		20725.00	20886.15	USD	-1,611.50	
02OCT17	B	2 PD02JAN18		20755.00	20886.15	USD	1,311.50	
02OCT17	B	1 PD02JAN18		20685.00	20886.15	USD	1,005.75	
02OCT17	S	1 PD02JAN18		20695.00	20886.15	USD	-955.75	
	NET	0 AVG BGHT 20716.25	SOLD 20708.75				-150.00	0.00
03OCT17	S	1 PD03JAN18		20730.00	20883.85	USD	-769.25	
03OCT17	B	1 PD03JAN18		20750.00	20883.85	USD	669.25	
03OCT17	S	1 PD03JAN18		20740.00	20883.85	USD	-719.25	
03OCT17	S	1 PD03JAN18		20770.00	20883.85	USD	-569.25	
03OCT17	S	1 PD03JAN18		20745.00	20883.85	USD	-694.25	
03OCT17	B	2 PD03JAN18		20730.00	20883.85	USD	1,538.50	
03OCT17	B	2 PD03JAN18		20790.00	20883.85	USD	938.50	
03OCT17	B	1 PD03JAN18		20755.00	20883.85	USD	644.25	
03OCT17	S	1 PD03JAN18		20780.00	20883.85	USD	-519.25	
03OCT17	S	1 PD03JAN18		20785.00	20883.85	USD	-494.25	
	NET	0 AVG BGHT 20757.50	SOLD 20758.33				25.00	0.00
05OCT17	B	3 PD05JAN18		20875.00	20879.23	USD	63.45	
05OCT17	S	1 PD05JAN18		20930.00	20879.23	USD	253.85	
05OCT17	S	1 PD05JAN18		20940.00	20879.23	USD	303.85	
05OCT17	B	2 PD05JAN18		20975.00	20879.23	USD	-957.70	
05OCT17	S	2 PD05JAN18		20965.00	20879.23	USD	857.70	
05OCT17	S	1 PD05JAN18		21110.00	20879.23	USD	1,153.85	
05OCT17	B	1 PD05JAN18		21055.00	20879.23	USD	-878.85	
05OCT17	B	1 PD05JAN18		21155.00	20879.23	USD	-1,378.85	
05OCT17	S	1 PD05JAN18		21135.00	20879.23	USD	1,278.85	
05OCT17	B	1 PD05JAN18		21005.00	20879.23	USD	-628.85	
05OCT17	B	1 PD05JAN18		21040.00	20879.23	USD	-803.85	
05OCT17	S	1 PD05JAN18		21150.00	20879.23	USD	1,353.85	
05OCT17	S	2 PD05JAN18		21090.00	20879.23	USD	2,107.70	
06OCT17	B	1 PD05JAN18		20990.00	20879.23	USD	-553.85	
06OCT17	B	1 PD05JAN18		21000.00	20879.23	USD	-603.85	
06OCT17	S	1 PD05JAN18		20975.00	20879.23	USD	478.85	
06OCT17	B	1 PD05JAN18		20970.00	20879.23	USD	-453.85	
06OCT17	S	1 PD05JAN18		21000.00	20879.23	USD	603.85	
06OCT17	B	1 PD05JAN18		20835.00	20879.23	USD	221.15	
06OCT17	B	1 PD05JAN18		20920.00	20879.23	USD	-203.85	
06OCT17	S	1 PD05JAN18		20845.00	20879.23	USD	-171.15	
06OCT17	B	1 PD05JAN18		20850.00	20879.23	USD	146.15	
06OCT17	S	3 PD05JAN18		21020.00	20879.23	USD	2,111.55	
06OCT17	B	1 PD05JAN18		20810.00	20879.23	USD	346.15	
06OCT17	B	1 PD05JAN18		20940.00	20879.23	USD	-303.85	
06OCT17	B	1 PD05JAN18		20965.00	20879.23	USD	-428.85	
06OCT17	S	1 PD05JAN18		20965.00	20879.23	USD	428.85	
06OCT17	S	1 PD05JAN18		20635.00	20879.23	USD	-1,221.15	
06OCT17	S	1 PD05JAN18		20640.00	20879.23	USD	-1,196.15	
	NET	0 AVG BGHT 20950.56	SOLD 20971.94				1,925.00	0.00
09OCT17	B	1 PD09JAN18		20750.00	20874.62	USD	623.10	
09OCT17	S	1 PD09JAN18		20790.00	20874.62	USD	-423.10	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	20885.00	20874.62	USD	51.90	
09OCT17	B	1	PD09JAN18	20850.00	20874.62	USD	123.10	
09OCT17	B	1	PD09JAN18	20835.00	20874.62	USD	198.10	
09OCT17	S	1	PD09JAN18	20745.00	20874.62	USD	-648.10	
	NET	0 AVG BGHT	20811.67				-75.00	0.00
10OCT17	B	1	PD10JAN18	20855.00	20872.31	USD	86.55	
10OCT17	S	1	PD10JAN18	20895.00	20872.31	USD	113.45	
10OCT17	B	1	PD10JAN18	20840.00	20872.31	USD	161.55	
10OCT17	B	1	PD10JAN18	20750.00	20872.31	USD	611.55	
10OCT17	S	1	PD10JAN18	20795.00	20872.31	USD	-386.55	
10OCT17	S	1	PD10JAN18	20885.00	20872.31	USD	63.45	
10OCT17	B	2	PD10JAN18	20800.00	20872.31	USD	723.10	
10OCT17	S	2	PD10JAN18	20765.00	20872.31	USD	-1,073.10	
10OCT17	B	1	PD10JAN18	20835.00	20872.31	USD	186.55	
10OCT17	S	1	PD10JAN18	20775.00	20872.31	USD	-486.55	
	NET	0 AVG BGHT	20813.33				0.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	3016.50	3230.33	USD	-5,345.75	
14SEP17	B	1	PD14DEC17	3005.00	3230.33	USD	5,633.25	
	NET	0 AVG BGHT	3005.00				287.50	0.00
21SEP17	B	1	PD21DEC17	3066.00	3225.00	USD	3,975.00	
21SEP17	S	1	PD21DEC17	3058.50	3225.00	USD	-4,162.50	
21SEP17	B	1	PD21DEC17	3041.00	3225.00	USD	4,600.00	
21SEP17	S	1	PD21DEC17	3032.00	3225.00	USD	-4,825.00	
	NET	0 AVG BGHT	3053.50				-412.50	0.00
22SEP17	B	1	PD22DEC17	3028.00	3224.50	USD	4,912.50	
22SEP17	S	1	PD22DEC17	3024.00	3224.50	USD	-5,012.50	
22SEP17	S	1	PD22DEC17	3059.50	3224.50	USD	-4,125.00	
22SEP17	B	1	PD22DEC17	3066.50	3224.50	USD	3,950.00	
	NET	0 AVG BGHT	3047.25				-275.00	0.00
02OCT17	S	1	PD02JAN18	3208.50	3222.50	USD	-350.00	
02OCT17	S	1	PD02JAN18	3212.00	3222.50	USD	-262.50	
02OCT17	B	2	PD02JAN18	3212.50	3222.50	USD	500.00	
02OCT17	S	1	PD02JAN18	3213.50	3222.50	USD	-225.00	
02OCT17	B	1	PD02JAN18	3216.00	3222.50	USD	162.50	
02OCT17	S	2	PD02JAN18	3233.00	3222.50	USD	525.00	
02OCT17	S	2	PD02JAN18	3245.50	3222.50	USD	1,150.00	
02OCT17	B	2	PD02JAN18	3235.50	3222.50	USD	-650.00	
02OCT17	B	1	PD02JAN18	3238.00	3222.50	USD	-387.50	
02OCT17	B	1	PD02JAN18	3247.50	3222.50	USD	-625.00	
	NET	0 AVG BGHT	3228.21				-162.50	0.00
03OCT17	B	1	PD03JAN18	3255.50	3222.00	USD	-837.50	
03OCT17	S	1	PD03JAN18	3269.00	3222.00	USD	1,175.00	
03OCT17	S	1	PD03JAN18	3247.00	3222.00	USD	625.00	
03OCT17	B	1	PD03JAN18	3263.00	3222.00	USD	-1,025.00	
03OCT17	S	1	PD03JAN18	3275.50	3222.00	USD	1,337.50	
03OCT17	B	1	PD03JAN18	3271.50	3222.00	USD	-1,237.50	
	NET	0 AVG BGHT	3263.33				37.50	0.00
10OCT17	S	1	PD10JAN18	3276.00	3219.50	USD	1,412.50	
10OCT17	B	1	PD10JAN18	3282.00	3219.50	USD	-1,562.50	
	NET	0 AVG BGHT	3282.00				-150.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-11,888.00
LME Lead 25 tonnes. This is an	FUTURES	-1,425.00
LME Nickel 6 tonnes. This is	FUTURES	-420.00
LME Tin 5 tonnes. This is an	FUTURES	6,969.20
LME Zinc 25 tonnes. This is	FUTURES	-675.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD -11,713.25	-11,713.25		
LEAD - Futures	USD -1,425.00	-1,425.00		
NKL - Futures	USD -424.38	-424.38		
TIN - Futures	USD 6,969.20	6,969.20		
ZINC - Futures	USD -675.00	-675.00	-7,268.43	-7,268.43

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	11,352.18	11,352.18
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	11,352.18	11,352.18
Initial Margins	-8,032.43	-8,032.43
Excess/(Shortage)	3,319.75	3,319.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	-7,268.43	-7,268.43
Net Liquidating Value	4,083.75	4,083.75

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
11OCT17	S 1 AUG18	113.2500	113.2000	USD	20.00	
	NET -1AVG SOLD 113.2500				20.00	0.00
09OCT17	B 1 OCT18	113.0000	113.6000	USD	240.00	
	NET +1AVG BGHT 113.0000				240.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
09OCT17	B 1 NOV17	155.2750	155.6750	USD	200.00	
10OCT17	B 1 NOV17	156.1750	155.6750	USD	-250.00	
	NET +2AVG BGHT 155.7250				-50.00	0.00
09OCT17	S 1 JAN18	153.6250	154.2500	USD	-312.50	
09OCT17	S 1 JAN18	153.6750	154.2500	USD	-287.50	
10OCT17	S 1 JAN18	154.4750	154.2500	USD	112.50	
11OCT17	S 1 JAN18	154.2500	154.2500	USD	0.00	
	NET -4AVG SOLD 154.0063				-487.50	0.00
09OCT17	B 1 MAR18	151.9750	152.1000	USD	62.50	
11OCT17	B 1 MAR18	152.1000	152.1000	USD	0.00	
	NET +2AVG BGHT 152.0375				62.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
10OCT17	B 1 DEC17	61.7250	62.5000	USD	310.00	
	NET +1AVG BGHT 61.7250				310.00	0.00
09OCT17	S 2 FEB18	66.8000	67.7750	USD	-780.00	
	NET -2AVG SOLD 66.8000				-780.00	0.00
09OCT17	B 1 APR18	71.6000	72.0250	USD	170.00	
	NET +1AVG BGHT 71.6000				170.00	0.00
	<u>CBT WHEAT 5,000 CBT</u>					
09OCT17	B 1 MAY18	4.73750	4.64750	USD	-450.00	
	NET +1AVG BGHT 4.73750				-450.00	0.00
09OCT17	S 2 JUL18	4.87000	4.78500	USD	850.00	
	NET -2AVG SOLD 4.87000				850.00	0.00
09OCT17	B 1 SEP18	5.02000	4.94250	USD	-387.50	
	NET +1AVG BGHT 5.02000				-387.50	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	100.00
CME Feeder Cattle	FUTURES	250.00
CME Lean Hog	FUTURES	110.00
CBT Wheat	FUTURES	-87.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	260.00	260.00		
FCAT - Futures USD	-475.00	-475.00		
HOGS - Futures USD	-300.00	-300.00		
WHET - Futures USD	12.50	12.50	-502.50	-502.50

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,712.50	-1,712.50
Variation Margins	- Futures	-502.50	-502.50
	- Options	0.00	0.00
TOTAL EQUITY		-2,215.00	-2,215.00
Initial Margins		-5,055.00	-5,055.00
Excess/(Shortage)		-7,270.00	-7,270.00
FOR INFORMATION ONLY			
Net Liquidating Value		-2,215.00	-2,215.00

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
04OCT17	B	1	JUN18	51.05	52.06	USD	1,010.00	
04OCT17	B	1	JUN18	51.00	52.06	USD	1,060.00	
04OCT17	B	1	JUN18	51.02	52.06	USD	1,040.00	
04OCT17	B	1	JUN18	51.18	52.06	USD	880.00	
04OCT17	B	1	JUN18	51.24	52.06	USD	820.00	
04OCT17	B	1	JUN18	51.32	52.06	USD	740.00	
04OCT17	B	1	JUN18	51.20	52.06	USD	860.00	
04OCT17	B	2	JUN18	51.21	52.06	USD	1,700.00	
05OCT17	B	1	JUN18	51.05	52.06	USD	1,010.00	
05OCT17	B	1	JUN18	51.73	52.06	USD	330.00	
05OCT17	B	4	JUN18	51.81	52.06	USD	1,000.00	
05OCT17	B	1	JUN18	51.72	52.06	USD	340.00	
05OCT17	B	1	JUN18	51.74	52.06	USD	320.00	
05OCT17	B	1	JUN18	51.82	52.06	USD	240.00	
06OCT17	B	3	JUN18	51.72	52.06	USD	1,020.00	
06OCT17	B	1	JUN18	51.22	52.06	USD	840.00	
06OCT17	B	1	JUN18	51.13	52.06	USD	930.00	
06OCT17	B	3	JUN18	50.82	52.06	USD	3,720.00	
06OCT17	B	1	JUN18	50.44	52.06	USD	1,620.00	
06OCT17	B	2	JUN18	50.43	52.06	USD	3,260.00	
06OCT17	B	1	JUN18	50.46	52.06	USD	1,600.00	
06OCT17	B	3	JUN18	50.42	52.06	USD	4,920.00	
06OCT17	B	4	JUN18	50.39	52.06	USD	6,680.00	
06OCT17	B	1	JUN18	50.38	52.06	USD	1,680.00	
09OCT17	B	2	JUN18	50.55	52.06	USD	3,020.00	
09OCT17	B	1	JUN18	50.47	52.06	USD	1,590.00	
09OCT17	B	1	JUN18	50.70	52.06	USD	1,360.00	
09OCT17	B	1	JUN18	50.64	52.06	USD	1,420.00	
09OCT17	B	1	JUN18	50.63	52.06	USD	1,430.00	
10OCT17	B	1	JUN18	51.72	52.06	USD	340.00	
10OCT17	B	4	JUN18	51.63	52.06	USD	1,720.00	
10OCT17	B	1	JUN18	51.61	52.06	USD	450.00	
10OCT17	B	3	JUN18	51.66	52.06	USD	1,200.00	
	NET	+53 AVG BGHT 51.11					50,150.00	0.00
04OCT17	S	1	DEC18	50.52	51.65	USD	-1,130.00	
04OCT17	S	3	DEC18	50.54	51.65	USD	-3,330.00	
04OCT17	S	2	DEC18	50.71	51.65	USD	-1,880.00	
04OCT17	S	2	DEC18	50.70	51.65	USD	-1,900.00	
04OCT17	S	2	DEC18	50.69	51.65	USD	-1,920.00	
04OCT17	S	2	DEC18	50.79	51.65	USD	-1,720.00	
04OCT17	S	3	DEC18	50.73	51.65	USD	-2,760.00	
04OCT17	S	2	DEC18	50.74	51.65	USD	-1,820.00	
05OCT17	S	1	DEC18	50.77	51.65	USD	-880.00	
05OCT17	S	2	DEC18	50.74	51.65	USD	-1,820.00	
05OCT17	S	3	DEC18	51.26	51.65	USD	-1,170.00	
05OCT17	S	9	DEC18	51.37	51.65	USD	-2,520.00	
05OCT17	S	3	DEC18	51.30	51.65	USD	-1,050.00	
05OCT17	S	3	DEC18	51.32	51.65	USD	-990.00	
05OCT17	S	3	DEC18	51.40	51.65	USD	-750.00	
06OCT17	S	7	DEC18	51.29	51.65	USD	-2,520.00	
06OCT17	S	3	DEC18	50.87	51.65	USD	-2,340.00	
06OCT17	S	2	DEC18	50.78	51.65	USD	-1,740.00	
06OCT17	S	1	DEC18	50.79	51.65	USD	-860.00	
06OCT17	S	2	DEC18	50.54	51.65	USD	-2,220.00	
06OCT17	S	5	DEC18	50.50	51.65	USD	-5,750.00	
06OCT17	S	4	DEC18	50.18	51.65	USD	-5,880.00	
06OCT17	S	1	DEC18	50.23	51.65	USD	-1,420.00	
06OCT17	S	1	DEC18	50.25	51.65	USD	-1,400.00	

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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
06OCT17	S 5 DEC18	50.21	51.65	USD	-7,200.00	
06OCT17	S 3 DEC18	50.19	51.65	USD	-4,380.00	
06OCT17	S 10 DEC18	50.20	51.65	USD	-14,500.00	
09OCT17	S 3 DEC18	50.34	51.65	USD	-3,930.00	
09OCT17	S 1 DEC18	50.28	51.65	USD	-1,370.00	
09OCT17	S 1 DEC18	50.30	51.65	USD	-1,350.00	
09OCT17	S 1 DEC18	50.51	51.65	USD	-1,140.00	
09OCT17	S 2 DEC18	50.48	51.65	USD	-2,340.00	
09OCT17	S 2 DEC18	50.43	51.65	USD	-2,440.00	
09OCT17	S 4 DEC18	50.42	51.65	USD	-4,920.00	
10OCT17	S 2 DEC18	51.33	51.65	USD	-640.00	
10OCT17	S 9 DEC18	51.23	51.65	USD	-3,780.00	
10OCT17	S 2 DEC18	51.20	51.65	USD	-900.00	
10OCT17	S 5 DEC18	51.26	51.65	USD	-1,950.00	
	NET -117 AVG SOLD 50.79				-100,610.00	0.00
05OCT17	B 2 JUN19	50.91	51.13	USD	440.00	
05OCT17	B 3 JUN19	50.85	51.13	USD	840.00	
05OCT17	B 2 JUN19	50.87	51.13	USD	520.00	
05OCT17	B 1 JUN19	50.88	51.13	USD	250.00	
05OCT17	B 3 JUN19	50.94	51.13	USD	570.00	
06OCT17	B 5 JUN19	50.81	51.13	USD	1,600.00	
06OCT17	B 3 JUN19	50.45	51.13	USD	2,040.00	
06OCT17	B 2 JUN19	50.37	51.13	USD	1,520.00	
06OCT17	B 1 JUN19	50.34	51.13	USD	790.00	
06OCT17	B 3 JUN19	50.16	51.13	USD	2,910.00	
06OCT17	B 5 JUN19	49.89	51.13	USD	6,200.00	
09OCT17	B 2 JUN19	50.17	51.13	USD	1,920.00	
09OCT17	B 1 JUN19	50.14	51.13	USD	990.00	
09OCT17	B 1 JUN19	50.11	51.13	USD	1,020.00	
09OCT17	B 1 JUN19	50.10	51.13	USD	1,030.00	
09OCT17	B 4 JUN19	50.08	51.13	USD	4,200.00	
10OCT17	B 5 JUN19	50.72	51.13	USD	2,050.00	
11OCT17	B 3 JUN19	50.83	51.13	USD	900.00	
	NET +47 AVG BGHT 50.50				29,790.00	0.00
03OCT17	B 1 DEC19	49.98	50.76	USD	780.00	
03OCT17	B 1 DEC19	50.08	50.76	USD	680.00	
03OCT17	B 2 DEC19	50.07	50.76	USD	1,380.00	
03OCT17	B 1 DEC19	49.96	50.76	USD	800.00	
04OCT17	B 1 DEC19	50.01	50.76	USD	750.00	
04OCT17	B 1 DEC19	49.99	50.76	USD	770.00	
04OCT17	B 1 DEC19	50.00	50.76	USD	760.00	
06OCT17	B 1 DEC19	49.64	50.76	USD	1,120.00	
06OCT17	B 1 DEC19	49.70	50.76	USD	1,060.00	
06OCT17	B 3 DEC19	49.68	50.76	USD	3,240.00	
10OCT17	B 1 DEC19	50.48	50.76	USD	280.00	
10OCT17	B 1 DEC19	50.30	50.76	USD	460.00	
10OCT17	B 2 DEC19	50.36	50.76	USD	800.00	
	NET +17 AVG BGHT 50.00				12,880.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 50 MAR18	302.650	311.550	USD	-111,250.00	
	NET -50 AVG SOLD 302.650				-111,250.00	0.00
05OCT17	B 50 MAY18	303.900	312.750	USD	110,625.00	
	NET +50 AVG BGHT 303.900				110,625.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
28SEP17	S 4 JUN18	55.89	56.04	USD	-600.00	
28SEP17	S 1 JUN18	55.81	56.04	USD	-230.00	
28SEP17	S 2 JUN18	55.78	56.04	USD	-520.00	

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	<u>IEU BRENT CRUDE 1,000 IPE</u>							
28SEP17	S	7	JUN18	55.82	56.04	USD	-1,540.00	
03OCT17	S	2	JUN18	55.23	56.04	USD	-1,620.00	
03OCT17	S	1	JUN18	55.24	56.04	USD	-800.00	
03OCT17	S	2	JUN18	55.20	56.04	USD	-1,680.00	
03OCT17	S	1	JUN18	55.01	56.04	USD	-1,030.00	
03OCT17	S	1	JUN18	55.19	56.04	USD	-850.00	
06OCT17	S	2	JUN18	54.58	56.04	USD	-2,920.00	
06OCT17	S	2	JUN18	54.57	56.04	USD	-2,940.00	
06OCT17	S	2	JUN18	54.84	56.04	USD	-2,400.00	
06OCT17	S	1	JUN18	54.87	56.04	USD	-1,170.00	
06OCT17	S	1	JUN18	54.75	56.04	USD	-1,290.00	
06OCT17	S	1	JUN18	54.65	56.04	USD	-1,390.00	
	NET	-30 AVG SOLD 55.34					-20,980.00	0.00
28SEP17	B	5	SEP18	55.46	55.67	USD	1,050.00	
28SEP17	B	2	SEP18	55.42	55.67	USD	500.00	
28SEP17	B	3	SEP18	55.43	55.67	USD	720.00	
28SEP17	B	5	SEP18	55.47	55.67	USD	1,000.00	
28SEP17	B	5	SEP18	55.48	55.67	USD	950.00	
	NET	+20 AVG BGHT 55.46					4,220.00	0.00
03OCT17	B	1	DEC18	54.66	55.34	USD	680.00	
03OCT17	B	1	DEC18	54.62	55.34	USD	720.00	
03OCT17	B	1	DEC18	54.49	55.34	USD	850.00	
03OCT17	B	5	DEC18	54.63	55.34	USD	3,550.00	
06OCT17	B	2	DEC18	54.06	55.34	USD	2,560.00	
06OCT17	B	5	DEC18	54.07	55.34	USD	6,350.00	
06OCT17	B	3	DEC18	54.24	55.34	USD	3,300.00	
06OCT17	B	2	DEC18	54.26	55.34	USD	2,160.00	
06OCT17	B	2	DEC18	54.22	55.34	USD	2,240.00	
06OCT17	B	1	DEC18	54.14	55.34	USD	1,200.00	
06OCT17	B	1	DEC18	54.12	55.34	USD	1,220.00	
09OCT17	B	3	DEC18	54.45	55.34	USD	2,670.00	
11OCT17	B	1	DEC18	55.23	55.34	USD	110.00	
	NET	+28 AVG BGHT 54.35					27,610.00	0.00
10OCT17	B	3	JUN19	54.49	54.90	USD	1,230.00	
10OCT17	B	2	JUN19	54.50	54.90	USD	800.00	
11OCT17	B	3	JUN19	54.88	54.90	USD	60.00	
11OCT17	B	1	JUN19	54.90	54.90	USD	0.00	
	NET	+9 AVG BGHT 54.67					2,090.00	0.00
04OCT17	S	1	DEC19	54.27	54.65	USD	-380.00	
04OCT17	S	1	DEC19	54.20	54.65	USD	-450.00	
04OCT17	S	2	DEC19	54.21	54.65	USD	-880.00	
04OCT17	S	1	DEC19	54.22	54.65	USD	-430.00	
04OCT17	S	1	DEC19	54.28	54.65	USD	-370.00	
04OCT17	S	2	DEC19	54.24	54.65	USD	-820.00	
05OCT17	S	1	DEC19	54.78	54.65	USD	130.00	
06OCT17	S	1	DEC19	53.92	54.65	USD	-730.00	
06OCT17	S	2	DEC19	53.68	54.65	USD	-1,940.00	
06OCT17	S	1	DEC19	53.78	54.65	USD	-870.00	
06OCT17	S	1	DEC19	53.83	54.65	USD	-820.00	
06OCT17	S	2	DEC19	53.79	54.65	USD	-1,720.00	
06OCT17	S	1	DEC19	53.85	54.65	USD	-800.00	
06OCT17	S	2	DEC19	53.76	54.65	USD	-1,780.00	
10OCT17	S	2	DEC19	54.41	54.65	USD	-480.00	
10OCT17	S	1	DEC19	54.26	54.65	USD	-390.00	
10OCT17	S	1	DEC19	54.28	54.65	USD	-370.00	
10OCT17	S	1	DEC19	54.27	54.65	USD	-380.00	
10OCT17	S	1	DEC19	54.24	54.65	USD	-410.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
11OCT17	S 1 DEC19	54.64	54.65	USD	-10.00	
11OCT17	S 1 DEC19	54.66	54.65	USD	10.00	
	NET -27 AVG SOLD 54.14				-13,890.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	10,050.00
CMX Copper	FUTURES	-15,625.00
IEU Brent Crude	FUTURES	-1,220.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-7,790.00	-7,790.00		
COPR - Futures USD	-625.00	-625.00		
LCO - Futures USD	-950.00	-950.00	-9,365.00	-9,365.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	7,435.00	7,435.00
- Options	-9,365.00	-9,365.00
	0.00	0.00
TOTAL EQUITY	-1,930.00	-1,930.00
Initial Margins	-40,486.00	-40,486.00
Excess/(Shortage)	-42,416.00	-42,416.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-1,930.00	-1,930.00

OPEN POSITION STATEMENT



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SAP0119M

11th October 2017

Sapien Capital Limited
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
06OCT17	S 1 FEB18	120.7250	122.1750	USD	-580.00	
09OCT17	S 2 FEB18	121.3250	122.1750	USD	-680.00	
	NET -3 AVG SOLD 121.1250				-1,260.00	0.00
04OCT17	B 1 APR18	120.2250	122.6750	USD	980.00	
05OCT17	B 1 APR18	121.1500	122.6750	USD	610.00	
06OCT17	B 1 APR18	121.4750	122.6750	USD	480.00	
06OCT17	B 1 APR18	121.5250	122.6750	USD	460.00	
06OCT17	B 2 APR18	121.6000	122.6750	USD	860.00	
	NET +6 AVG BGHT 121.2625				3,390.00	0.00
05OCT17	S 1 JUN18	114.2000	116.1500	USD	-780.00	
05OCT17	S 1 JUN18	114.5000	116.1500	USD	-660.00	
06OCT17	S 2 JUN18	115.2000	116.1500	USD	-760.00	
11OCT17	S 1 JUN18	115.6750	116.1500	USD	-190.00	
11OCT17	S 2 JUN18	115.8000	116.1500	USD	-280.00	
11OCT17	S 1 JUN18	115.9750	116.1500	USD	-70.00	
	NET -8 AVG SOLD 115.2938				-2,740.00	0.00
06OCT17	B 1 OCT18	112.1000	113.6000	USD	600.00	
06OCT17	B 1 OCT18	112.2250	113.6000	USD	550.00	
09OCT17	B 1 OCT18	113.0000	113.6000	USD	240.00	
10OCT17	B 2 OCT18	113.6250	113.6000	USD	-20.00	
	NET +5 AVG BGHT 112.9150				1,370.00	0.00
10OCT17	S 2 DEC18	114.4500	114.3000	USD	120.00	
	NET -2 AVG SOLD 114.4500				120.00	0.00
10OCT17	B 2 FEB19	114.7500	114.5000	USD	-200.00	
	NET +2 AVG BGHT 114.7500				-200.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
05OCT17	B 1 NOV17	154.4250	155.6750	USD	625.00	
09OCT17	B 1 NOV17	155.7500	155.6750	USD	-37.50	
09OCT17	B 1 NOV17	155.7000	155.6750	USD	-12.50	
09OCT17	B 1 NOV17	155.2750	155.6750	USD	200.00	
	NET +4 AVG BGHT 155.2875				775.00	0.00
09OCT17	S 1 JAN18	153.7750	154.2500	USD	-237.50	
09OCT17	S 1 JAN18	153.8500	154.2500	USD	-200.00	
09OCT17	S 1 JAN18	153.7250	154.2500	USD	-262.50	
09OCT17	S 1 JAN18	153.6750	154.2500	USD	-287.50	
09OCT17	S 1 JAN18	153.9250	154.2500	USD	-162.50	
09OCT17	S 3 JAN18	153.8750	154.2500	USD	-562.50	
	NET -8 AVG SOLD 153.8219				-1,712.50	0.00
06OCT17	B 1 MAR18	150.9500	152.1000	USD	575.00	
09OCT17	B 1 MAR18	151.4750	152.1000	USD	312.50	
09OCT17	B 1 MAR18	151.8250	152.1000	USD	137.50	
09OCT17	B 1 MAR18	151.7000	152.1000	USD	200.00	
09OCT17	B 1 MAR18	152.0000	152.1000	USD	50.00	
09OCT17	B 3 MAR18	151.9750	152.1000	USD	187.50	
	NET +8 AVG BGHT 151.7344				1,462.50	0.00
29SEP17	S 1 APR18	148.5750	151.6000	USD	-1,512.50	
29SEP17	S 2 APR18	148.9000	151.6000	USD	-2,700.00	
29SEP17	S 1 APR18	148.7250	151.6000	USD	-1,437.50	
29SEP17	S 1 APR18	148.7000	151.6000	USD	-1,450.00	
09OCT17	S 1 APR18	151.1250	151.6000	USD	-237.50	
09OCT17	S 1 APR18	150.9000	151.6000	USD	-350.00	
09OCT17	S 1 APR18	151.2000	151.6000	USD	-200.00	
11OCT17	S 1 APR18	151.3750	151.6000	USD	-112.50	
	NET -9 AVG SOLD 149.8222				-8,000.00	0.00
04OCT17	B 1 MAY18	148.1750	150.2750	USD	1,050.00	

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME FEEDER CATTLE 500 CME</u>					
05OCT17	B 2 MAY18	148.3250	150.2750	USD	1,950.00	
09OCT17	B 1 MAY18	149.8750	150.2750	USD	200.00	
11OCT17	B 1 MAY18	150.1500	150.2750	USD	62.50	
	NET +5 AVG BGHT 148.9700				3,262.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
09OCT17	B 1 DEC17	60.9000	62.5000	USD	640.00	
	NET +1 AVG BGHT 60.9000				640.00	0.00
09OCT17	S 1 FEB18	66.8000	67.7750	USD	-390.00	
09OCT17	S 1 FEB18	66.7500	67.7750	USD	-410.00	
	NET -2 AVG SOLD 66.7750				-800.00	0.00
11OCT17	S 1 APR18	72.3500	72.0250	USD	130.00	
	NET -1 AVG SOLD 72.3500				130.00	0.00
05OCT17	B 1 MAY18	76.7500	77.1250	USD	150.00	
	NET +1 AVG BGHT 76.7500				150.00	0.00
11OCT17	S 1 JUN18	80.4250	80.2000	USD	90.00	
11OCT17	S 1 JUN18	80.3250	80.2000	USD	50.00	
	NET -2 AVG SOLD 80.3750				140.00	0.00
11OCT17	B 2 JUL18	80.5000	80.3250	USD	-140.00	
	NET +2 AVG BGHT 80.5000				-140.00	0.00
10OCT17	S 1 OCT18	67.4250	66.9250	USD	200.00	
	NET -1 AVG SOLD 67.4250				200.00	0.00
29SEP17	B 1 DEC18	59.9000	61.5500	USD	660.00	
10OCT17	B 1 DEC18	61.8750	61.5500	USD	-130.00	
	NET +2 AVG BGHT 60.8875				530.00	0.00
	<u>CME RANDOM LENGTH LUMBER 110 CME</u>					
05OCT17	S 1 JAN18	396.40	398.40	USD	-220.00	
09OCT17	S 1 JAN18	401.60	398.40	USD	352.00	
11OCT17	S 1 JAN18	399.40	398.40	USD	110.00	
	NET -3 AVG SOLD 399.13				242.00	0.00
05OCT17	B 1 MAR18	387.70	389.20	USD	165.00	
09OCT17	B 1 MAR18	390.00	389.20	USD	-88.00	
11OCT17	B 1 MAR18	389.50	389.20	USD	-33.00	
	NET +3 AVG BGHT 389.07				44.00	0.00
	<u>CBT OATS 5,000 CBT</u>					
06OCT17	S 1 MAR18	2.53750	2.55250	USD	-75.00	
	NET -1 AVG SOLD 2.53750				-75.00	0.00
06OCT17	B 1 MAY18	2.52000	2.54500	USD	125.00	
	NET +1 AVG BGHT 2.52000				125.00	0.00
	<u>WHITE SUGAR 50 LDN</u>					
10OCT17	S 1 MAR18	372.40	377.80	USD	-270.00	
10OCT17	S 1 MAR18	371.40	377.80	USD	-320.00	
10OCT17	S 1 MAR18	374.40	377.80	USD	-170.00	
	NET -3 AVG SOLD 372.73				-760.00	0.00
10OCT17	B 1 MAY18	377.70	382.90	USD	260.00	
10OCT17	B 1 MAY18	376.70	382.90	USD	310.00	
10OCT17	B 1 MAY18	379.60	382.90	USD	165.00	
	NET +3 AVG BGHT 378.00				735.00	0.00

OPEN POSITION STATEMENT



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Sapien Capital Limited
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OPEN POSITION VALUE

		<u>USD</u>
CME Cattle	FUTURES	-2,810.00
CME Feeder Cattle	FUTURES	-450.00
CME Lean Hog	FUTURES	5,460.00
CME Random Length Lumber	FUTURES	3,322.00
CBT Oats	FUTURES	87.50
White Sugar	FUTURES	-790.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	680.00	680.00		
FCAT - Futures USD	-4,212.50	-4,212.50		
HOGS - Futures USD	850.00	850.00		
LUMB - Futures USD	286.00	286.00		
OATS - Futures USD	50.00	50.00		
SUGL - Futures USD	-25.00	-25.00	-2,371.50	-2,371.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,655.30	-3,655.30
Variation Margins - Futures	-2,371.50	-2,371.50
- Options	0.00	0.00
TOTAL EQUITY	-6,026.80	-6,026.80
Initial Margins	-32,574.00	-32,574.00
Excess/(Shortage)	-38,600.80	-38,600.80
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-6,026.80	-6,026.80

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
09OCT17	S 1 FEB18	120.8500	122.1750	USD	-530.00	
	NET -1AVG SOLD 120.8500				-530.00	0.00
09OCT17	B 1 APR18	121.3500	122.6750	USD	530.00	
	NET +1AVG BGHT 121.3500				530.00	0.00
06OCT17	S 2 JUN18	115.3250	116.1500	USD	-660.00	
	NET -2AVG SOLD 115.3250				-660.00	0.00
04OCT17	B 1 OCT18	111.5750	113.6000	USD	810.00	
04OCT17	B 1 OCT18	111.4250	113.6000	USD	870.00	
09OCT17	B 1 OCT18	113.0000	113.6000	USD	240.00	
09OCT17	B 1 OCT18	112.9750	113.6000	USD	250.00	
	NET +4AVG BGHT 112.2438				2,170.00	0.00
04OCT17	S 1 DEC18	112.5250	114.3000	USD	-710.00	
04OCT17	S 1 DEC18	112.3750	114.3000	USD	-770.00	
	NET -2AVG SOLD 112.4500				-1,480.00	0.00
	<u>ICE COTTON NO.2 500 FIN</u>					
11OCT17	B 1 DEC17	69.19000	68.73000	USD	-230.00	
	NET +1AVG BGHT 69.19000				-230.00	0.00
11OCT17	S 1 MAY18	68.95000	69.00000	USD	-25.00	
	NET -1AVG SOLD 68.95000				-25.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
06OCT17	B 1 NOV17	155.7250	155.6750	USD	-25.00	
09OCT17	B 1 NOV17	155.4000	155.6750	USD	137.50	
11OCT17	B 1 NOV17	156.4000	155.6750	USD	-362.50	
	NET +3AVG BGHT 155.8417				-250.00	0.00
09OCT17	S 1 JAN18	153.6250	154.2500	USD	-312.50	
09OCT17	S 1 JAN18	153.7000	154.2500	USD	-275.00	
09OCT17	S 2 JAN18	153.8250	154.2500	USD	-425.00	
11OCT17	S 2 JAN18	154.8500	154.2500	USD	600.00	
	NET -6AVG SOLD 154.1125				-412.50	0.00
09OCT17	B 1 MAR18	151.8750	152.1000	USD	112.50	
10OCT17	B 1 MAR18	152.0000	152.1000	USD	50.00	
11OCT17	B 1 MAR18	152.8750	152.1000	USD	-387.50	
11OCT17	B 1 MAR18	151.9000	152.1000	USD	100.00	
	NET +4AVG BGHT 152.1625				-125.00	0.00
10OCT17	S 1 APR18	151.4750	151.6000	USD	-62.50	
11OCT17	S 1 APR18	151.3750	151.6000	USD	-112.50	
	NET -2AVG SOLD 151.4250				-175.00	0.00
29SEP17	B 1 MAY18	148.1250	150.2750	USD	1,075.00	
	NET +1AVG BGHT 148.1250				1,075.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
10OCT17	S 1 FEB18	67.7250	67.7750	USD	-20.00	
	NET -1AVG SOLD 67.7250				-20.00	0.00
09OCT17	S 1 JUN18	80.3000	80.2000	USD	40.00	
	NET -1AVG SOLD 80.3000				40.00	0.00
11OCT17	B 1 JUL18	80.5250	80.3250	USD	-80.00	
	NET +1AVG BGHT 80.5250				-80.00	0.00
03OCT17	B 1 AUG18	79.4750	79.6000	USD	50.00	
	NET +1AVG BGHT 79.4750				50.00	0.00
	<u>ICE FROZEN CONCENTRATED OJ 150 FIN</u>					
10OCT17	S 1 NOV17	160.05	162.60	USD	-382.50	
	NET -1AVG SOLD 160.05				-382.50	0.00
10OCT17	B 1 MAR18	157.50	160.35	USD	427.50	
	NET +1AVG BGHT 157.50				427.50	0.00

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	ICE FROZEN CONCENTRATED OJ 150 FIN					

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	2,430.00
ICE Cotton No.2	FUTURES	-120.00
CME Feeder Cattle	FUTURES	1,612.50
CME Lean Hog	FUTURES	-4,790.00
ICE Frozen Concentrated OJ	FUTURES	382.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	30.00	30.00		
COTT - Futures USD	-255.00	-255.00		
FCAT - Futures USD	112.50	112.50		
HOGS - Futures USD	-10.00	-10.00		
ORAN - Futures USD	45.00	45.00	-77.50	-77.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-3,559.00	-3,559.00
Variation Margins - Futures	-77.50	-77.50
- Options	0.00	0.00
TOTAL EQUITY	-3,636.50	-3,636.50
Initial Margins	-14,300.00	-14,300.00
Excess/(Shortage)	-17,936.50	-17,936.50
FOR INFORMATION ONLY		
Net Liquidating Value	-3,636.50	-3,636.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP01190

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 49 MAR18	302.650	311.550	USD	-109,025.00	
11OCT17	S 50 MAR18	310.800	311.550	USD	-9,375.00	
	NET -99 AVG SOLD 306.766				-118,400.00	0.00
05OCT17	B 49 MAY18	303.900	312.750	USD	108,412.50	
11OCT17	B 50 MAY18	312.000	312.750	USD	9,375.00	
	NET +99 AVG BGHT 307.991				117,787.50	0.00

OPEN POSITION VALUE

CMX Copper	FUTURES	<u>USD</u> -30,312.50
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-612.50	-612.50	-612.50	-612.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-505.00	-505.00
- Options	-612.50	-612.50
TOTAL EQUITY	-1,117.50	-1,117.50
Initial Margins	-9,900.00	-9,900.00
Excess/(Shortage)	-11,017.50	-11,017.50
FOR INFORMATION ONLY		
Net Liquidating Value	-1,117.50	-1,117.50

OPEN POSITION STATEMENT



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Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
11OCT17	CME CATTLE 400 CME S 1 JUN18 NET -1AVG SOLD 115.7250	115.7250	116.1500	USD	-170.00 -170.00	0.00
11OCT17	B 1 AUG18 NET +1AVG BGHT 112.8750	112.8750	113.2000	USD	130.00 130.00	0.00

OPEN POSITION VALUE

CME Cattle FUTURES **USD 1,140.00**

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-40.00	-40.00	-40.00	-40.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-2,895.00	-2,895.00
- Futures	-40.00	-40.00
- Options	0.00	0.00
TOTAL EQUITY	-2,935.00	-2,935.00
Initial Margins	-825.00	-825.00
Excess/(Shortage)	-3,760.00	-3,760.00
FOR INFORMATION ONLY		
Net Liquidating Value	-2,935.00	-2,935.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
060CT17	S 1 JUN18	114.7000	116.1500	USD	-580.00	
110CT17	S 1 JUN18	115.0500	116.1500	USD	-440.00	
110CT17	S 1 JUN18	115.7500	116.1500	USD	-160.00	
	NET -3AVG SOLD 115.1667				-1,180.00	0.00
060CT17	B 1 AUG18	111.7000	113.2000	USD	600.00	
110CT17	B 1 AUG18	112.2250	113.2000	USD	390.00	
110CT17	B 1 AUG18	112.8500	113.2000	USD	140.00	
	NET +3AVG BGHT 112.2583				1,130.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
050CT17	B 1 NOV17	154.0000	155.6750	USD	837.50	
060CT17	B 1 NOV17	155.6750	155.6750	USD	0.00	
	NET +2AVG BGHT 154.8375				837.50	0.00
050CT17	S 1 JAN18	151.5750	154.2500	USD	-1,337.50	
	NET -1AVG SOLD 151.5750				-1,337.50	0.00
060CT17	S 1 MAR18	151.1250	152.1000	USD	-487.50	
	NET -1AVG SOLD 151.1250				-487.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
100CT17	B 1 DEC17	61.9500	62.5000	USD	220.00	
	NET +1AVG BGHT 61.9500				220.00	0.00
040CT17	S 1 FEB18	68.0500	67.7750	USD	110.00	
040CT17	S 1 FEB18	67.8750	67.7750	USD	40.00	
100CT17	S 1 FEB18	67.7750	67.7750	USD	0.00	
110CT17	S 1 FEB18	67.9500	67.7750	USD	70.00	
	NET -4AVG SOLD 67.9125				220.00	0.00
040CT17	B 1 APR18	72.2500	72.0250	USD	-90.00	
110CT17	B 2 APR18	72.0250	72.0250	USD	0.00	
	NET +3AVG BGHT 72.1000				-90.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	3,490.00
CME Feeder Cattle	FUTURES	-3,487.50
CME Lean Hog	FUTURES	-2,640.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-50.00	-50.00		
FCAT - Futures USD	-987.50	-987.50		
HOGS - Futures USD	350.00	350.00	-687.50	-687.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	867.50	867.50
Variation Margins		
- Futures	-687.50	-687.50
- Options	0.00	0.00
TOTAL EQUITY	180.00	180.00
Initial Margins	-6,425.00	-6,425.00
Excess/(Shortage)	-6,245.00	-6,245.00
FOR INFORMATION ONLY		
Net Liquidating Value	180.00	180.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

11th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
04OCT17	B 1 APR18	120.2500	122.6750	USD	970.00	
	NET +1AVG BGHT 120.2500				970.00	0.00
04OCT17	S 1 JUN18	113.7000	116.1500	USD	-980.00	
04OCT17	S 1 JUN18	113.7250	116.1500	USD	-970.00	
	NET -2AVG SOLD 113.7125				-1,950.00	0.00
04OCT17	B 1 AUG18	111.1250	113.2000	USD	830.00	
	NET +1AVG BGHT 111.1250				830.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
06OCT17	B 1 NOV17	155.8250	155.6750	USD	-75.00	
09OCT17	B 1 NOV17	155.4000	155.6750	USD	137.50	
	NET +2AVG BGHT 155.6125				62.50	0.00
06OCT17	S 1 JAN18	153.8250	154.2500	USD	-212.50	
09OCT17	S 1 JAN18	153.8000	154.2500	USD	-225.00	
	NET -2AVG SOLD 153.8125				-437.50	0.00
	<u>IEU GAS OIL 100 IPE</u>					
11OCT17	B 4 AUG18	506.000	506.750	USD	300.00	
11OCT17	B 1 AUG18	506.500	506.750	USD	25.00	
	NET +5AVG BGHT 506.100				325.00	0.00
11OCT17	S 4 SEP18	506.000	506.750	USD	-300.00	
11OCT17	S 1 SEP18	506.500	506.750	USD	-25.00	
11OCT17	S 5 SEP18	510.750	506.750	USD	2,000.00	
	NET -10AVG SOLD 508.425				1,675.00	0.00
11OCT17	B 5 OCT18	511.000	507.000	USD	-2,000.00	
	NET +5AVG BGHT 511.000				-2,000.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
10OCT17	S 1 FEB18	67.7000	67.7750	USD	-30.00	
	NET -1AVG SOLD 67.7000				-30.00	0.00
02OCT17	B 1 JUN18	79.9250	80.2000	USD	110.00	
06OCT17	B 1 JUN18	79.4750	80.2000	USD	290.00	
	NET +2AVG BGHT 79.7000				400.00	0.00
06OCT17	S 2 JUL18	80.0500	80.3250	USD	-220.00	
	NET -2AVG SOLD 80.0500				-220.00	0.00
25SEP17	B 1 OCT18	64.9750	66.9250	USD	780.00	
	NET +1AVG BGHT 64.9750				780.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
10OCT17	S 2 JUN18	55.41	56.04	USD	-1,260.00	
10OCT17	S 1 JUN18	55.51	56.04	USD	-530.00	
10OCT17	S 1 JUN18	55.50	56.04	USD	-540.00	
10OCT17	S 1 JUN18	55.71	56.04	USD	-330.00	
	NET -5AVG SOLD 55.51				-2,660.00	0.00
10OCT17	B 1 SEP18	55.07	55.67	USD	600.00	
10OCT17	B 2 SEP18	55.16	55.67	USD	1,020.00	
10OCT17	B 1 SEP18	55.17	55.67	USD	500.00	
10OCT17	B 1 SEP18	55.15	55.67	USD	520.00	
10OCT17	B 1 SEP18	55.06	55.67	USD	610.00	
10OCT17	B 1 SEP18	55.35	55.67	USD	320.00	
10OCT17	B 1 SEP18	55.45	55.67	USD	220.00	
10OCT17	B 1 SEP18	55.38	55.67	USD	290.00	
10OCT17	B 1 SEP18	55.19	55.67	USD	480.00	
	NET +10AVG BGHT 55.21				4,560.00	0.00
10OCT17	S 2 DEC18	54.89	55.34	USD	-900.00	
10OCT17	S 1 DEC18	54.80	55.34	USD	-540.00	
10OCT17	S 1 DEC18	55.16	55.34	USD	-180.00	
10OCT17	S 1 DEC18	55.09	55.34	USD	-250.00	

OPEN POSITION STATEMENT



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SAP0119R

11th October 2017

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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU BRENT CRUDE 1,000 IPE					
	NET -5 AVG SOLD 54.97				-1,870.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-1,580.00
CME Feeder Cattle	FUTURES	-1,800.00
IEU Gas Oil	FUTURES	-125.00
CME Lean Hog	FUTURES	1,370.00
IEU Brent Crude	FUTURES	230.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-150.00	-150.00		
FCAT - Futures USD	-375.00	-375.00		
GASO - Futures USD	0.00	0.00		
HOGS - Futures USD	930.00	930.00		
LCO - Futures USD	30.00	30.00	435.00	435.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-6,016.50	-6,016.50
- Options	435.00	435.00
	0.00	0.00
TOTAL EQUITY	-5,581.50	-5,581.50
Initial Margins	-5,440.00	-5,440.00
Excess/(Shortage)	-11,021.50	-11,021.50
FOR INFORMATION ONLY		
Net Liquidating Value	-5,581.50	-5,581.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
04OCT17	B	1	JUN18	51.18	52.06	USD	880.00	
04OCT17	B	1	JUN18	51.12	52.06	USD	940.00	
04OCT17	B	1	JUN18	51.24	52.06	USD	820.00	
05OCT17	B	3	JUN18	51.81	52.06	USD	750.00	
05OCT17	B	1	JUN18	51.72	52.06	USD	340.00	
05OCT17	B	1	JUN18	51.82	52.06	USD	240.00	
06OCT17	B	2	JUN18	50.80	52.06	USD	2,520.00	
06OCT17	B	1	JUN18	50.35	52.06	USD	1,710.00	
06OCT17	B	3	JUN18	50.43	52.06	USD	4,890.00	
06OCT17	B	3	JUN18	50.41	52.06	USD	4,950.00	
09OCT17	B	2	JUN18	50.59	52.06	USD	2,940.00	
10OCT17	B	1	JUN18	51.73	52.06	USD	330.00	
10OCT17	B	6	JUN18	51.72	52.06	USD	2,040.00	
10OCT17	B	1	JUN18	51.62	52.06	USD	440.00	
10OCT17	B	1	JUN18	51.61	52.06	USD	450.00	
10OCT17	B	4	JUN18	51.66	52.06	USD	1,600.00	
	NET	+32 AVG BGHT 51.25					25,840.00	0.00
04OCT17	S	1	DEC18	50.71	51.65	USD	-940.00	
04OCT17	S	1	DEC18	50.69	51.65	USD	-960.00	
04OCT17	S	1	DEC18	50.64	51.65	USD	-1,010.00	
04OCT17	S	1	DEC18	50.66	51.65	USD	-990.00	
04OCT17	S	2	DEC18	50.80	51.65	USD	-1,700.00	
05OCT17	S	7	DEC18	51.37	51.65	USD	-1,960.00	
05OCT17	S	3	DEC18	51.30	51.65	USD	-1,050.00	
05OCT17	S	3	DEC18	51.40	51.65	USD	-750.00	
06OCT17	S	2	DEC18	50.87	51.65	USD	-1,560.00	
06OCT17	S	5	DEC18	50.50	51.65	USD	-5,750.00	
06OCT17	S	1	DEC18	50.10	51.65	USD	-1,550.00	
06OCT17	S	1	DEC18	50.11	51.65	USD	-1,540.00	
06OCT17	S	5	DEC18	50.17	51.65	USD	-7,400.00	
06OCT17	S	3	DEC18	50.21	51.65	USD	-4,320.00	
06OCT17	S	2	DEC18	50.20	51.65	USD	-2,900.00	
09OCT17	S	7	DEC18	50.38	51.65	USD	-8,890.00	
10OCT17	S	4	DEC18	51.32	51.65	USD	-1,320.00	
10OCT17	S	8	DEC18	51.33	51.65	USD	-2,560.00	
10OCT17	S	2	DEC18	51.24	51.65	USD	-820.00	
10OCT17	S	1	DEC18	51.23	51.65	USD	-420.00	
10OCT17	S	2	DEC18	51.20	51.65	USD	-900.00	
10OCT17	S	7	DEC18	51.26	51.65	USD	-2,730.00	
	NET	-69 AVG SOLD 50.90					-52,020.00	0.00
05OCT17	B	2	JUN19	50.91	51.13	USD	440.00	
05OCT17	B	3	JUN19	50.85	51.13	USD	840.00	
05OCT17	B	2	JUN19	50.95	51.13	USD	360.00	
05OCT17	B	1	JUN19	50.96	51.13	USD	170.00	
06OCT17	B	5	JUN19	50.57	51.13	USD	2,800.00	
06OCT17	B	3	JUN19	50.45	51.13	USD	2,040.00	
09OCT17	B	3	JUN19	50.08	51.13	USD	3,150.00	
09OCT17	B	5	JUN19	50.04	51.13	USD	5,450.00	
10OCT17	B	3	JUN19	50.74	51.13	USD	1,170.00	
	NET	+27 AVG BGHT 50.52					16,420.00	0.00
06OCT17	B	2	DEC19	49.67	50.76	USD	2,180.00	
10OCT17	B	1	DEC19	50.58	50.76	USD	180.00	
10OCT17	B	1	DEC19	50.60	50.76	USD	160.00	
10OCT17	B	2	DEC19	50.48	50.76	USD	560.00	
10OCT17	B	1	DEC19	50.31	50.76	USD	450.00	
10OCT17	B	3	DEC19	50.36	50.76	USD	1,200.00	
	NET	+10 AVG BGHT 50.29					4,730.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 40 MAR18	302.650	311.550	USD	-89,000.00	
	NET -40 AVG SOLD 302.650				-89,000.00	0.00
05OCT17	B 40 MAY18	303.900	312.750	USD	88,500.00	
	NET +40 AVG BGHT 303.900				88,500.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
28SEP17	S 1 JUN18	55.78	56.04	USD	-260.00	
28SEP17	S 4 JUN18	55.82	56.04	USD	-880.00	
28SEP17	S 1 JUN18	56.04	56.04	USD	0.00	
02OCT17	S 1 JUN18	55.24	56.04	USD	-800.00	
03OCT17	S 1 JUN18	55.21	56.04	USD	-830.00	
03OCT17	S 1 JUN18	55.17	56.04	USD	-870.00	
03OCT17	S 1 JUN18	55.24	56.04	USD	-800.00	
03OCT17	S 1 JUN18	55.19	56.04	USD	-850.00	
06OCT17	S 1 JUN18	54.40	56.04	USD	-1,640.00	
06OCT17	S 2 JUN18	54.54	56.04	USD	-3,000.00	
06OCT17	S 1 JUN18	54.57	56.04	USD	-1,470.00	
06OCT17	S 4 JUN18	54.56	56.04	USD	-5,920.00	
	NET -19 AVG SOLD 55.13				-17,320.00	0.00
28SEP17	B 2 SEP18	55.46	55.67	USD	420.00	
28SEP17	B 2 SEP18	55.44	55.67	USD	460.00	
28SEP17	B 2 SEP18	55.45	55.67	USD	440.00	
28SEP17	B 2 SEP18	55.43	55.67	USD	480.00	
28SEP17	B 2 SEP18	55.42	55.67	USD	500.00	
28SEP17	B 4 SEP18	55.48	55.67	USD	760.00	
28SEP17	B 4 SEP18	55.47	55.67	USD	800.00	
28SEP17	B 2 SEP18	55.67	55.67	USD	0.00	
	NET +20 AVG BGHT 55.48				3,860.00	0.00
06OCT17	B 5 DEC18	54.05	55.34	USD	6,450.00	
	NET +5 AVG BGHT 54.05				6,450.00	0.00
10OCT17	B 1 JUN19	54.60	54.90	USD	300.00	
10OCT17	B 1 JUN19	54.63	54.90	USD	270.00	
10OCT17	B 3 JUN19	54.65	54.90	USD	750.00	
11OCT17	B 1 JUN19	54.79	54.90	USD	110.00	
11OCT17	B 1 JUN19	54.77	54.90	USD	130.00	
11OCT17	B 1 JUN19	54.82	54.90	USD	80.00	
11OCT17	B 1 JUN19	54.81	54.90	USD	90.00	
11OCT17	B 2 JUN19	54.83	54.90	USD	140.00	
	NET +11 AVG BGHT 54.73				1,870.00	0.00
04OCT17	S 1 DEC19	54.29	54.65	USD	-360.00	
04OCT17	S 2 DEC19	54.26	54.65	USD	-780.00	
04OCT17	S 1 DEC19	54.33	54.65	USD	-320.00	
06OCT17	S 1 DEC19	53.84	54.65	USD	-810.00	
06OCT17	S 2 DEC19	53.69	54.65	USD	-1,920.00	
06OCT17	S 2 DEC19	53.81	54.65	USD	-1,680.00	
06OCT17	S 1 DEC19	53.85	54.65	USD	-800.00	
10OCT17	S 1 DEC19	54.28	54.65	USD	-370.00	
10OCT17	S 1 DEC19	54.50	54.65	USD	-150.00	
10OCT17	S 1 DEC19	54.24	54.65	USD	-410.00	
10OCT17	S 1 DEC19	54.35	54.65	USD	-300.00	
11OCT17	S 1 DEC19	54.61	54.65	USD	-40.00	
11OCT17	S 2 DEC19	54.58	54.65	USD	-140.00	
	NET -17 AVG SOLD 54.17				-8,080.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	4,790.00
CMX Copper	FUTURES	-12,500.00
IEU Brent Crude	FUTURES	-13,410.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-5,030.00	-5,030.00		
COPR - Futures USD	-500.00	-500.00		
LCO - Futures USD	-13,220.00	-13,220.00	-18,750.00	-18,750.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	16,045.00	16,045.00
Variation Margins - Futures	-18,750.00	-18,750.00
- Options	0.00	0.00
TOTAL EQUITY	-2,705.00	-2,705.00
Initial Margins	-24,892.00	-24,892.00
Excess/(Shortage)	-27,597.00	-27,597.00
FOR INFORMATION ONLY		
Net Liquidating Value	-2,705.00	-2,705.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119T

11th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-138.56	-138.56
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-138.56	-138.56
Initial Margins	0.00	0.00
Excess/(Shortage)	-138.56	-138.56
FOR INFORMATION ONLY		
Net Liquidating Value	-138.56	-138.56

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0119**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,066,592.23	1,066,592.23
Variation Margins		
- Futures	88,796.00	88,796.00
- Options	0.00	0.00
TOTAL EQUITY	1,155,388.23	1,155,388.23
Initial Margins	-1,671,195.59	-1,671,195.59
Excess/(Shortage)	-515,807.36	-515,807.36
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	300,387.41	300,387.41
Net Liquidating Value	1,455,775.64	1,455,775.64

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0120

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	133.96	28,291.97	2,944.04
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	133.96	28,291.97	2,944.04
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	133.96	28,291.97	2,944.04
FOR INFORMATION ONLY			
Net Liquidating Value	133.96	28,291.97	2,944.04
	NETT USD		
	(1.00000)		
CASH BALANCE	40,416.98		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	40,416.98		
Initial Margins	0.00		
Excess/(Shortage)	40,416.98		
FOR INFORMATION ONLY			
Net Liquidating Value	40,416.98		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0120A

11th October 2017

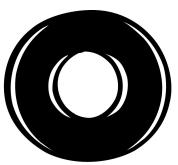
Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	-1,025.87	-3,009.90	-4,224.94
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-1,025.87	-3,009.90	-4,224.94
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-1,025.87	-3,009.90	-4,224.94
FOR INFORMATION ONLY			
Net Liquidating Value	-1,025.87	-3,009.90	-4,224.94

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0120
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0120**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	-891.91	28,291.97	-65.86
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-891.91	28,291.97	-65.86
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-891.91	28,291.97	-65.86
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-891.91	28,291.97	-65.86

	NET USD
	(1.00000)
CASH BALANCE	36,192.04
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	36,192.04
Initial Margins	0.00
Excess/(Shortage)	36,192.04
<u>FOR INFORMATION ONLY</u>	
Net Liquidating Value	36,192.04

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	638.55	4,594.80	5,351.10
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	638.55	4,594.80	5,351.10
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	638.55	4,594.80	5,351.10
FOR INFORMATION ONLY			
Net Liquidating Value	638.55	4,594.80	5,351.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
22SEP17	S 20 DEC17 3500.00 Call	105.50	140.40	EUR	-28,080.00	-6,980.00
	NET -20 AVG SOLD 105.50				-28,080.00	-6,980.00
22SEP17	S 20 DEC17 3700.00 Call	17.80	25.10	EUR	-5,020.00	-1,460.00
	NET -20 AVG SOLD 17.80				-5,020.00	-1,460.00
22SEP17	B 80 DEC17 3800.00 Call	4.60	5.60	EUR	4,480.00	800.00
	NET +80 AVG BGHT 4.60				4,480.00	800.00
22SEP17	B 80 DEC17 3200.00 Put	17.10	10.30	EUR	8,240.00	-5,440.00
	NET +80 AVG BGHT 17.10				8,240.00	-5,440.00
22SEP17	S 20 DEC17 3300.00 Put	26.10	15.80	EUR	-3,160.00	2,060.00
	NET -20 AVG SOLD 26.10				-3,160.00	2,060.00
22SEP17	S 20 DEC17 3500.00 Put	68.50	41.40	EUR	-8,280.00	5,420.00
	NET -20 AVG SOLD 68.50				-8,280.00	5,420.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
21SEP17	S 5 NOV17 51.00 Call	1.14	0.78	USD	-3,900.00	1,800.00
	NET -5 AVG SOLD 1.14				-3,900.00	1,800.00
21SEP17	S 5 NOV17 54.00 Call	0.31	0.05	USD	-250.00	1,300.00
	NET -5 AVG SOLD 0.31				-250.00	1,300.00
21SEP17	B 20 NOV17 55.00 Call	0.20	0.03	USD	600.00	-3,400.00
	NET +20 AVG BGHT 0.20				600.00	-3,400.00
21SEP17	B 20 NOV17 47.00 Put	0.27	0.01	USD	200.00	-5,200.00
	NET +20 AVG BGHT 0.27				200.00	-5,200.00
21SEP17	S 5 NOV17 48.00 Put	0.42	0.03	USD	-150.00	1,950.00
	NET -5 AVG SOLD 0.42				-150.00	1,950.00
21SEP17	S 5 NOV17 51.00 Put	1.51	0.48	USD	-2,400.00	5,150.00
	NET -5 AVG SOLD 1.51				-2,400.00	5,150.00
	<u>CME E-MINI S&P 500 50 CME</u>					
21SEP17	S 6 DEC17 2500.00 Call	45.25	74.80	USD	-22,440.00	-8,865.00
	NET -6 AVG SOLD 45.25				-22,440.00	-8,865.00
21SEP17	S 6 DEC17 2600.00 Call	4.50	12.50	USD	-3,750.00	-2,400.00
	NET -6 AVG SOLD 4.50				-3,750.00	-2,400.00
21SEP17	B 18 DEC17 2650.00 Call	1.40	3.00	USD	2,700.00	1,440.00
	NET +18 AVG BGHT 1.40				2,700.00	1,440.00
21SEP17	B 18 DEC17 2350.00 Put	16.50	8.00	USD	7,200.00	-7,650.00
	NET +18 AVG BGHT 16.50				7,200.00	-7,650.00
21SEP17	S 6 DEC17 2400.00 Put	22.00	10.80	USD	-3,240.00	3,360.00
	NET -6 AVG SOLD 22.00				-3,240.00	3,360.00
21SEP17	S 6 DEC17 2500.00 Put	44.25	21.90	USD	-6,570.00	6,705.00
	NET -6 AVG SOLD 44.25				-6,570.00	6,705.00

OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	26,220.00	
NYM Light Sweet Crude Oil	OPTIONS		7,500.00
CME E-Mini S&P 500	OPTIONS		18,690.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122B

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Net Premium	Options	Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX	- Options	EUR	-31,820.00	-5,600.00	-31,820.00	-5,600.00
COIL	- Options	USD	-5,900.00	1,600.00		
MSNP	- Options	USD	-26,100.00	-7,410.00	-32,000.00	-5,810.00

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	26,573.00	136,307.36	167,780.42
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	26,573.00	136,307.36	167,780.42
Initial Margins	-51,316.88	-60,025.00	-120,804.71
Excess/(Shortage)	-24,743.88	76,282.36	46,975.71
FOR INFORMATION ONLY			
Net Premium Option Market Value	-31,820.00	-32,000.00	-69,687.61
Net Liquidating Value	-5,247.00	104,307.36	98,092.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0122**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18440)	(1.00000)	(1.00000)
CASH BALANCE	27,211.55	140,902.16	173,131.52
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	27,211.55	140,902.16	173,131.52
Initial Margins	-51,316.88	-60,025.00	-120,804.71
Excess/(Shortage)	-24,105.33	80,877.16	52,326.81
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-31,820.00	-32,000.00	-69,687.61
Net Liquidating Value	-4,608.45	108,902.16	103,443.91

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0123A

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
25AUG17	S 25 OCT17 2450.00 Put	0.60	0.10	EUR	-25.00	125.00
29AUG17	S 25 OCT17 2450.00 Put	1.00	0.10	EUR	-25.00	225.00
	NET -50 AVG SOLD 0.80				-50.00	350.00
	<u>CME E-MINI S&P 500 WEEK 3 OPTIONS 50 CME</u>					
27SEP17	S 25 NOV17 1600.00 Put	0.20	0.10	USD	-125.00	125.00
	NET -25 AVG SOLD 0.20				-125.00	125.00
02OCT17	S 25 NOV17 1610.00 Put	0.15	0.10	USD	-125.00	62.50
	NET -25 AVG SOLD 0.15				-125.00	62.50

OPEN POSITION VALUE

		<u>EUR</u>	<u>USD</u>
Dow Jones EURO Stoxx 50	OPTIONS	400.00	
CME E-MINI S&P 500 Week 3	OPTIONS		437.50

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-50.00	350.00	-50.00	350.00
EW33 - Options USD	-250.00	187.50	-250.00	187.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0123A

11th October 2017

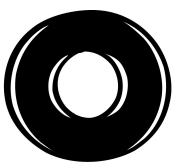
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	0.00	18,880.09	393.50
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	0.00	18,880.09	393.50
Initial Margins	-224.12	0.00	-2,050.00
Excess/(Shortage)	-224.12	18,880.09	-1,656.50
FOR INFORMATION ONLY			
Net Premium Option Market Value	-50.00	0.00	-250.00
Net Liquidating Value	-50.00	18,880.09	143.50
	NETT USD		
	(1.00000)		
CASH BALANCE	25,294.45		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	25,294.45		
Initial Margins	-2,315.45		
Excess/(Shortage)	22,979.00		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-309.22		
Net Liquidating Value	24,985.23		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0123
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0123**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18440)	(1.31890)	(1.00000)
CASH BALANCE	0.00	18,880.09	393.50
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	0.00	18,880.09	393.50
Initial Margins	-224.12	0.00	-2,050.00
Excess/(Shortage)	-224.12	18,880.09	-1,656.50

FOR INFORMATION ONLY

Net Premium Option Market Value	-50.00	0.00	-250.00
Net Liquidating Value	-50.00	18,880.09	143.50

	NET USD
	(1.00000)
CASH BALANCE	25,294.45
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	25,294.45
Initial Margins	-2,315.45
Excess/(Shortage)	22,979.00

FOR INFORMATION ONLY

Net Premium Option Market Value	-309.22
Net Liquidating Value	24,985.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0124

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	4,220.63	4,220.63
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	4,220.63	4,220.63
Initial Margins	0.00	0.00
Excess/(Shortage)	4,220.63	4,220.63
FOR INFORMATION ONLY		
Net Liquidating Value	4,220.63	4,220.63

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0124
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0124**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	4,220.63	4,220.63
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	4,220.63	4,220.63
Initial Margins	0.00	0.00
Excess/(Shortage)	4,220.63	4,220.63
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	4,220.63	4,220.63

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,069,381.00	1,069,381.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,069,381.00	1,069,381.00
Initial Margins	0.00	0.00
Excess/(Shortage)	1,069,381.00	1,069,381.00
FOR INFORMATION ONLY		
Net Liquidating Value	1,069,381.00	1,069,381.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127C

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,315.60	-1,315.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,315.60	-1,315.60
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,315.60	-1,315.60
FOR INFORMATION ONLY		
Net Liquidating Value	-1,315.60	-1,315.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127D

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-938.40	-938.40
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-938.40	-938.40
Initial Margins	0.00	0.00
Excess/(Shortage)	-938.40	-938.40
FOR INFORMATION ONLY		
Net Liquidating Value	-938.40	-938.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0127**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,067,127.00	1,067,127.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,067,127.00	1,067,127.00
Initial Margins	0.00	0.00
Excess/(Shortage)	1,067,127.00	1,067,127.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	1,067,127.00	1,067,127.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0066

11th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,791.57	-3,791.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-3,791.57	-3,791.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-3,791.57	-3,791.57
FOR INFORMATION ONLY		
Net Liquidating Value	-3,791.57	-3,791.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0066
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG066**

11th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,791.57	-3,791.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-3,791.57	-3,791.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-3,791.57	-3,791.57
FOR INFORMATION ONLY		
Net Liquidating Value	-3,791.57	-3,791.57